

**ESTRO**

*School*

# Advanced Treatment Planning

23-27 September – Athens, Greece

# Faculty

## ***Course Director***

- Gert Meijer, Medical Physicist, Utrecht (NL)

## ***Co-chair***

- Neil Burnet, Radiation Oncologist, Cambridge (UK)

## ***Teachers***

- Nicola Dinapoli, Radiation Oncologist, Rome (IT)
- Ursula Nestle, Radiation Oncologist, Freiburg (DE)
- Markus Stock, Medical Physicist, Vienna (AT)
- Desirée van den Bongard, Utrecht (NL)
- Marcel van Herk, Radiotherapy Physicist, Manchester (UK)

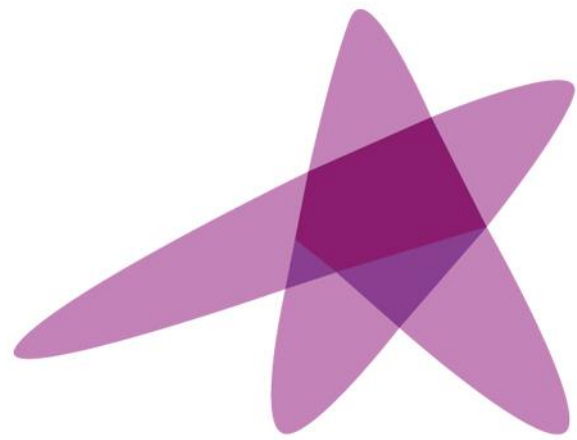
## ***Local organiser***

- Efi Koutsoveli

# Hands-on sessions

## *Treatment planning systems thanks to*

- Eclipse by Varian Medical Systems
- Monaco by Elekta
- Pinnacle by Philips Healthcare
- RayStation by RaySearch
- TomoTherapy by Accuray



**ESTRO**

*School*

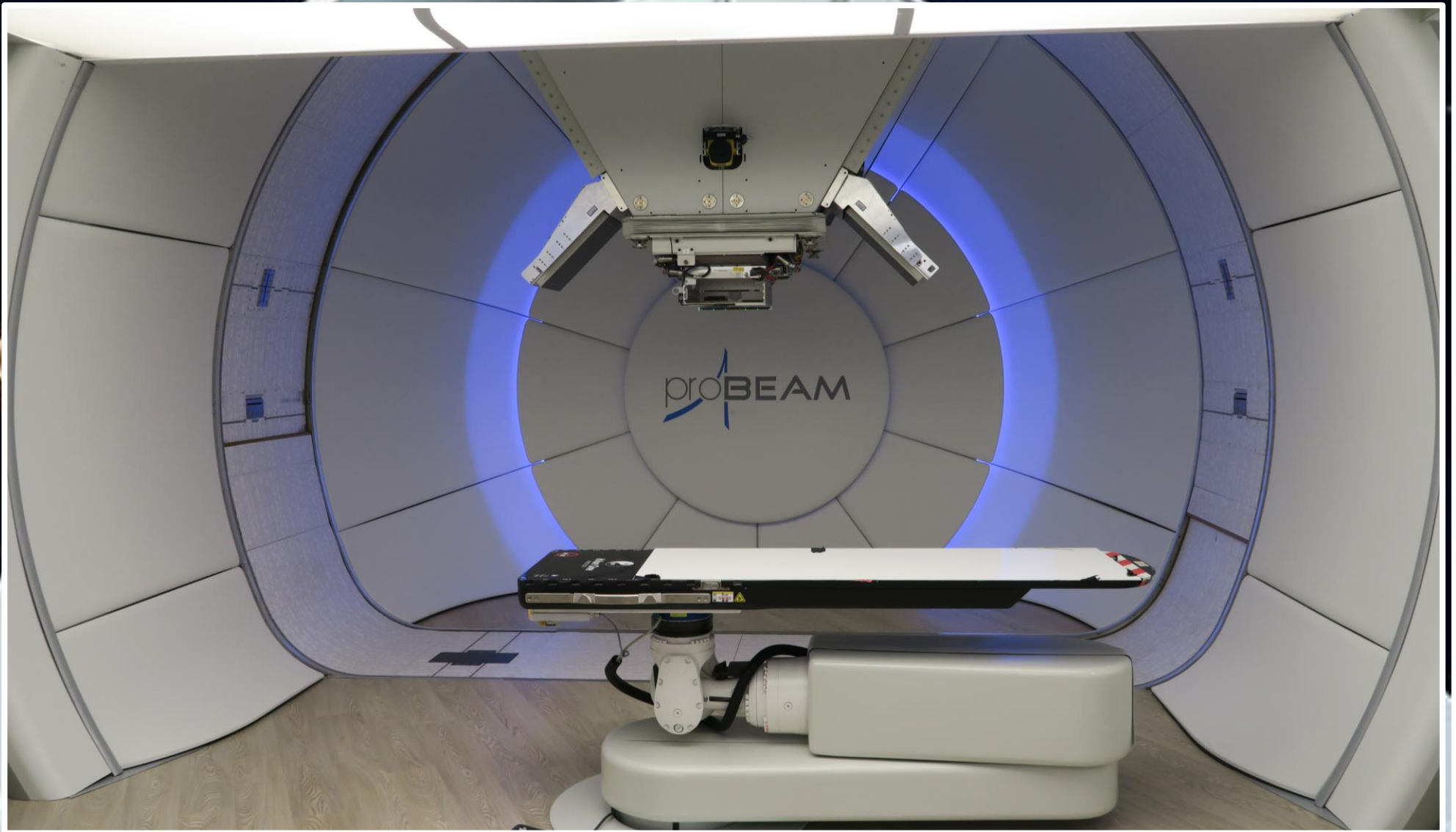
# Broadening the therapeutic band width

Neil Burnet



Manchester Cancer Research Centre,  
University of Manchester and Christie Hospital,  
Manchester, UK

ATP  
Athens 2018



Radiotherapy technology is advancing rapidly

# Introduction

**Radiotherapy (RT) is a hugely important cancer treatment**

- Improvements will have a major effect to benefit society
- Small improvements in dosimetry translate into significant improvements in outcome for individual patients

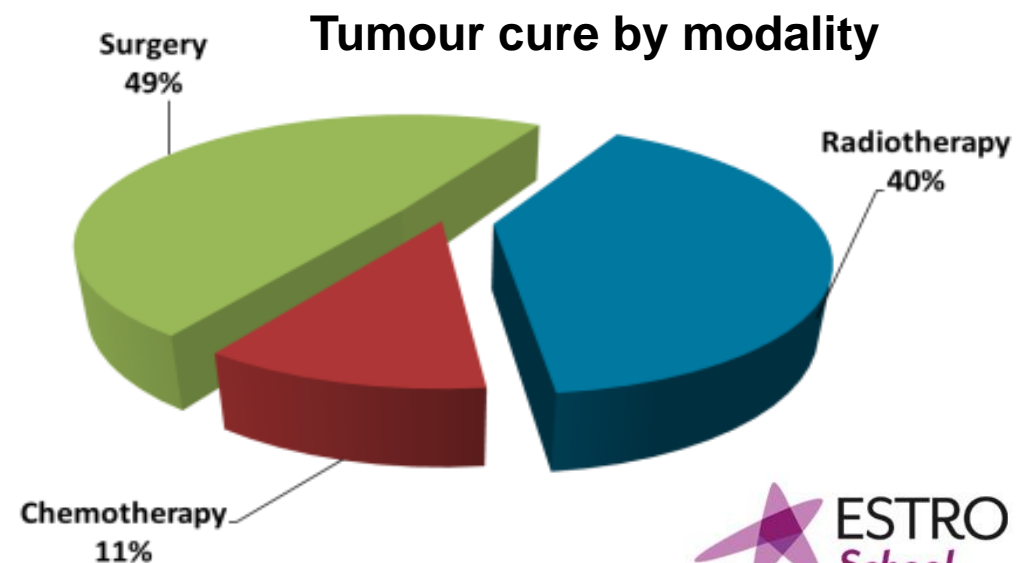


# Introduction

## RT is potent and cost-effective

- 50% of cancer patients require RT
- 60% treated with curative intent
- UK 66M population
- ~ 100,000 patients receive RT with curative intent in each year

Treatment modality	Annual spend
Surgery	£2.1 billion
Chemotherapy	£1.7 billion
Radiotherapy	£0.5 billion



# Introduction

- Broadening the therapeutic bandwidth = Improving the therapeutic ratio
- Equivalent to the therapeutic window for drugs
- TCP = Tumour control probability = local control
- NTCP = Normal tissue complication probability = toxicity
- RT is always a balance



# Quality of RT affects outcome

# Quality of RT affects outcome

VOLUME 28 · NUMBER 18 · JUNE 20 2010

(2010; 28(18): 2996-3001)

JOURNAL OF CLINICAL ONCOLOGY

ORIGINAL REPORT

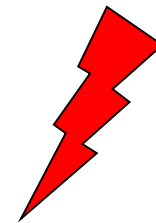
## Critical Impact of Radiotherapy Protocol Compliance and Quality in the Treatment of Advanced Head and Neck Cancer: Results From TROG 02.02

*Lester J. Peters, Brian O'Sullivan, Jordi Giralt, Thomas J. Fitzgerald, Andy Trotti, Jacques Bernier, Jean Bourhis, Kally Yuen, Richard Fisher, and Danny Rischin*

- Very scary results
- Poor radiotherapy

20% ↓ in OS

24% ↓ in DFS



# Quality of RT affects outcome

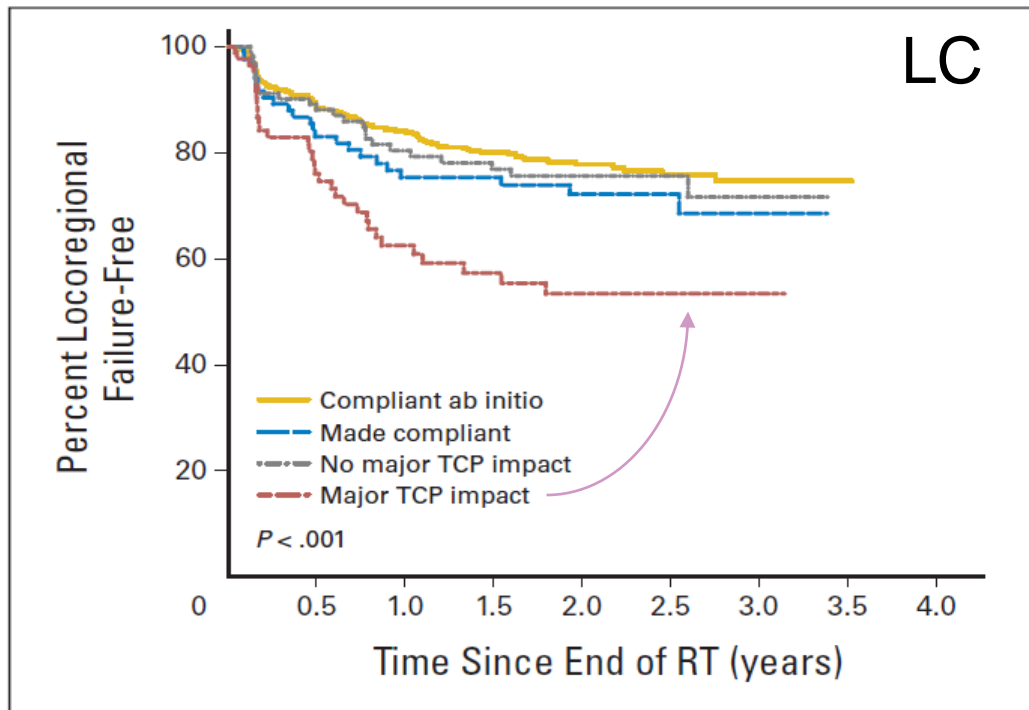


Fig 3. Time to locoregional failure by deviation status

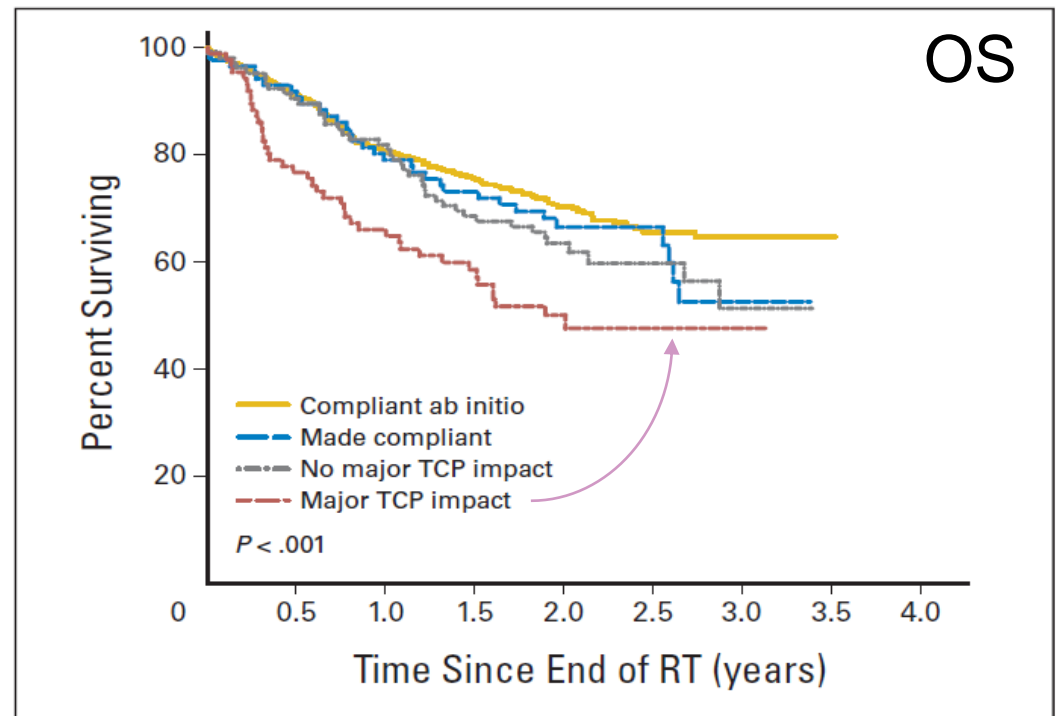


Fig 2. Overall survival by deviation status:

- Poor radiotherapy in 12% of patients in study
  - Considered likely to have a major impact on outcome

# Quality of RT affects outcome

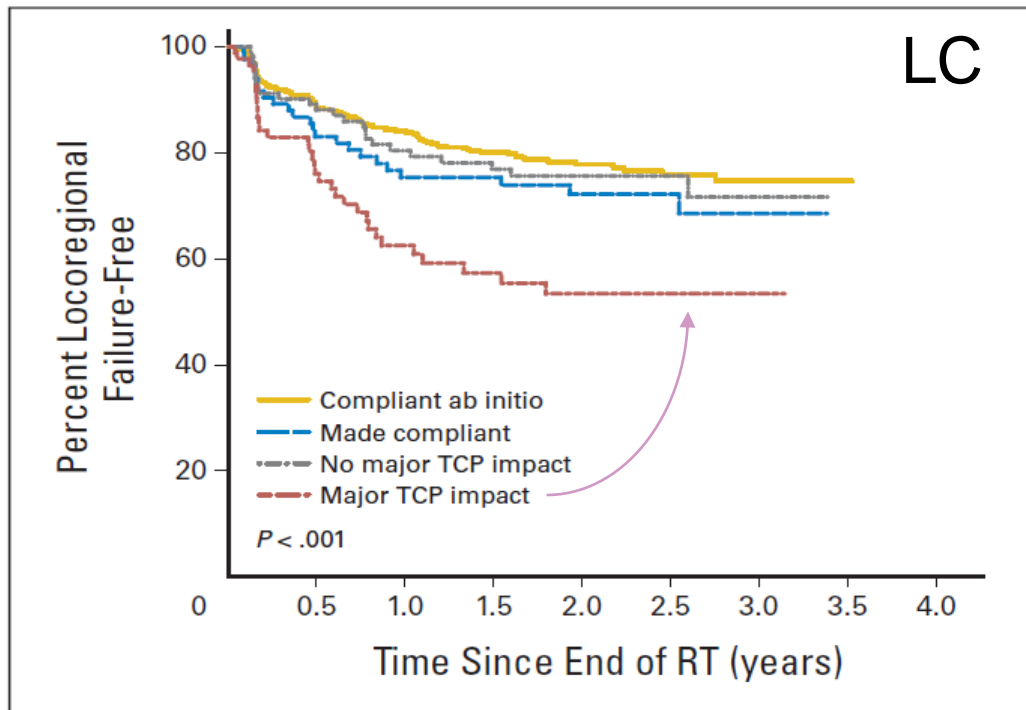


Fig 3. Time to locoregional failure by deviation status

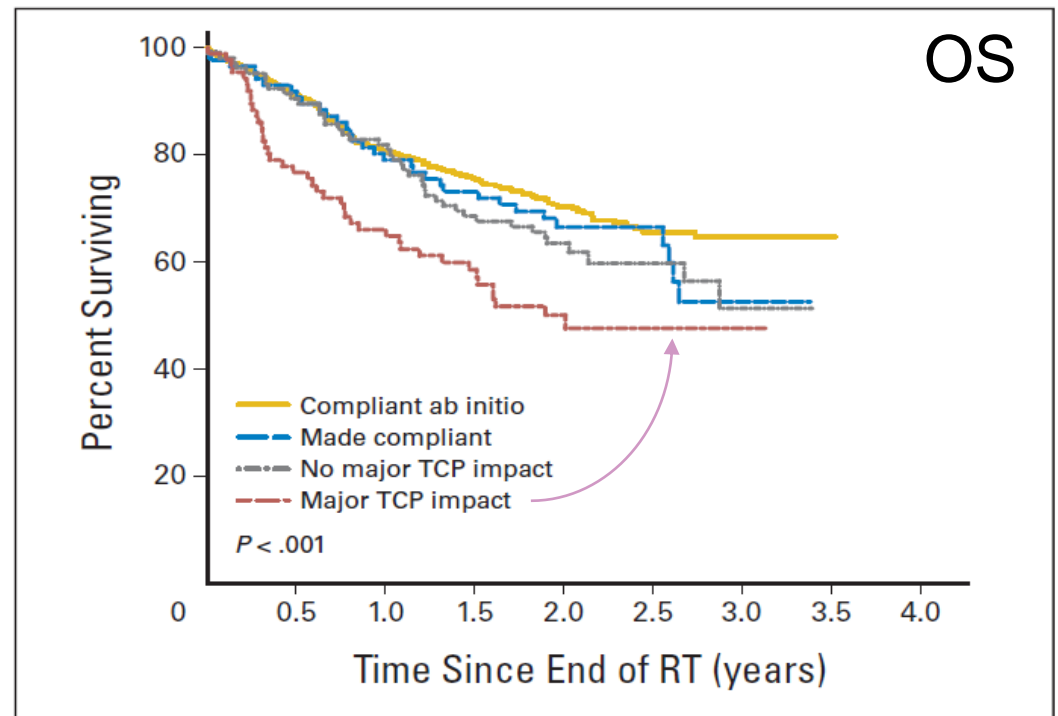


Fig 2. Overall survival by deviation status:

- Poor radiotherapy in 12% of patients in study
  - Considered likely to have a major impact on outcome
    - 3% poor contouring
    - 5% poor plan preparation

# Broadening RT band width

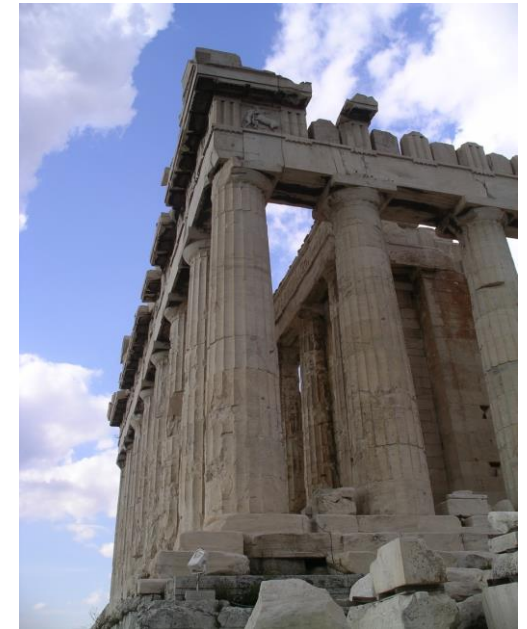
# Broadening RT band width

- Physical – dose distributions - individualising treatment
  - IMRT
  - IGRT
  - Adaptive RT
  - Imaging including for target volume delineation
  - Proton beam therapy – PBT
- Biological strategies
  - Fractionation
  - Exploiting individual variation in normal tissue toxicity
  - Drugs – sensitise tumours & protect normal tissues
  - Immune response modifiers
  - Synergy from conventional chemotherapy



# Broadening RT band width

- Improving the therapeutic ratio is based on *individualisation*
- Focus on physical dose individualisation
  - Integral part of RT for many years – actually > 100 years!
  - IMRT is main component - of course
  - Accurate delivery essential, so IGRT relevant
  - Proton beam therapy becoming available



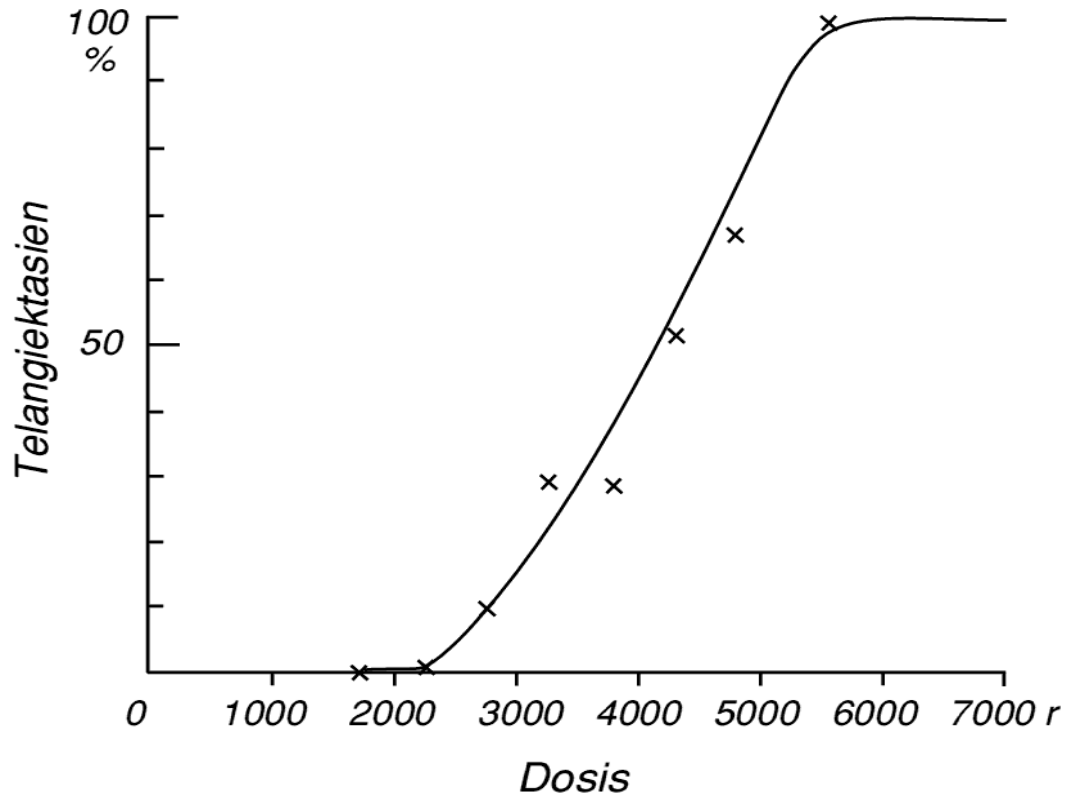
# Broadening RT band width

- Local control will translate into overall cure in many patients
  - For breast –1 life saved for every 4 recurrences prevented
- Three variations on improved therapeutic ratio
  - Same cure, lower toxicity
  - Higher cure, same toxicity
  - Higher cure, lower toxicity (if we can !)
- Visually described by dose-response curves (population curves)

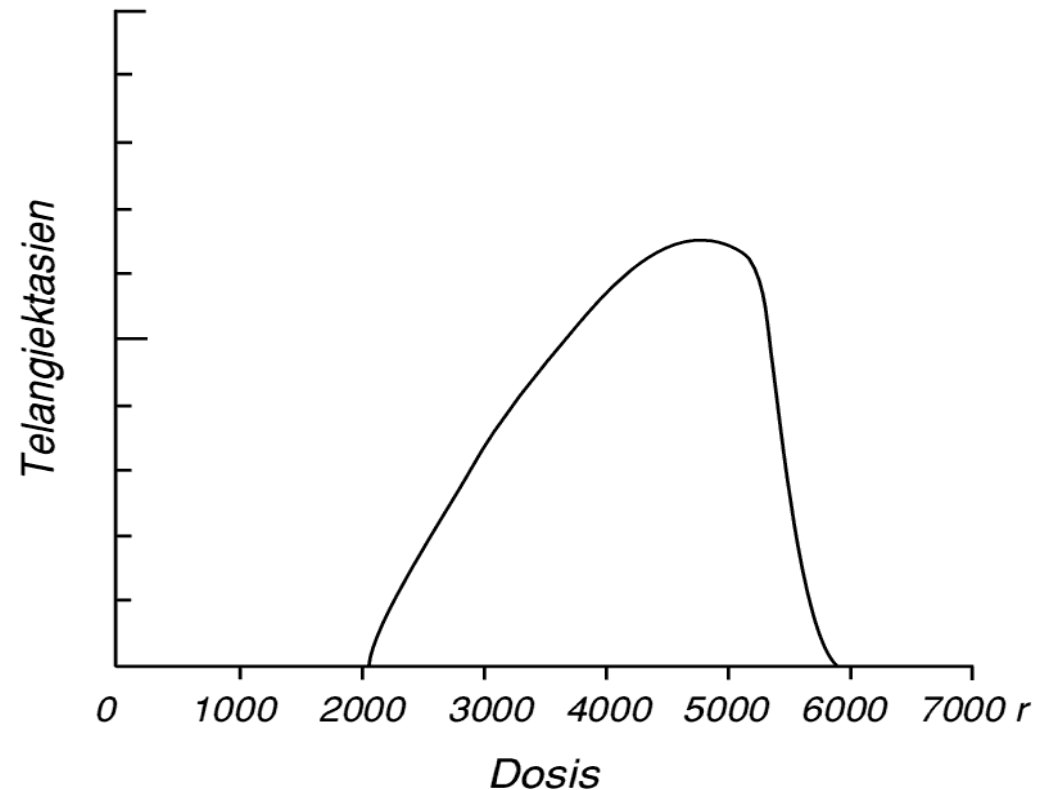
# The first normal tissue dose response curve

Holthusen - Strahlentherapie 1936

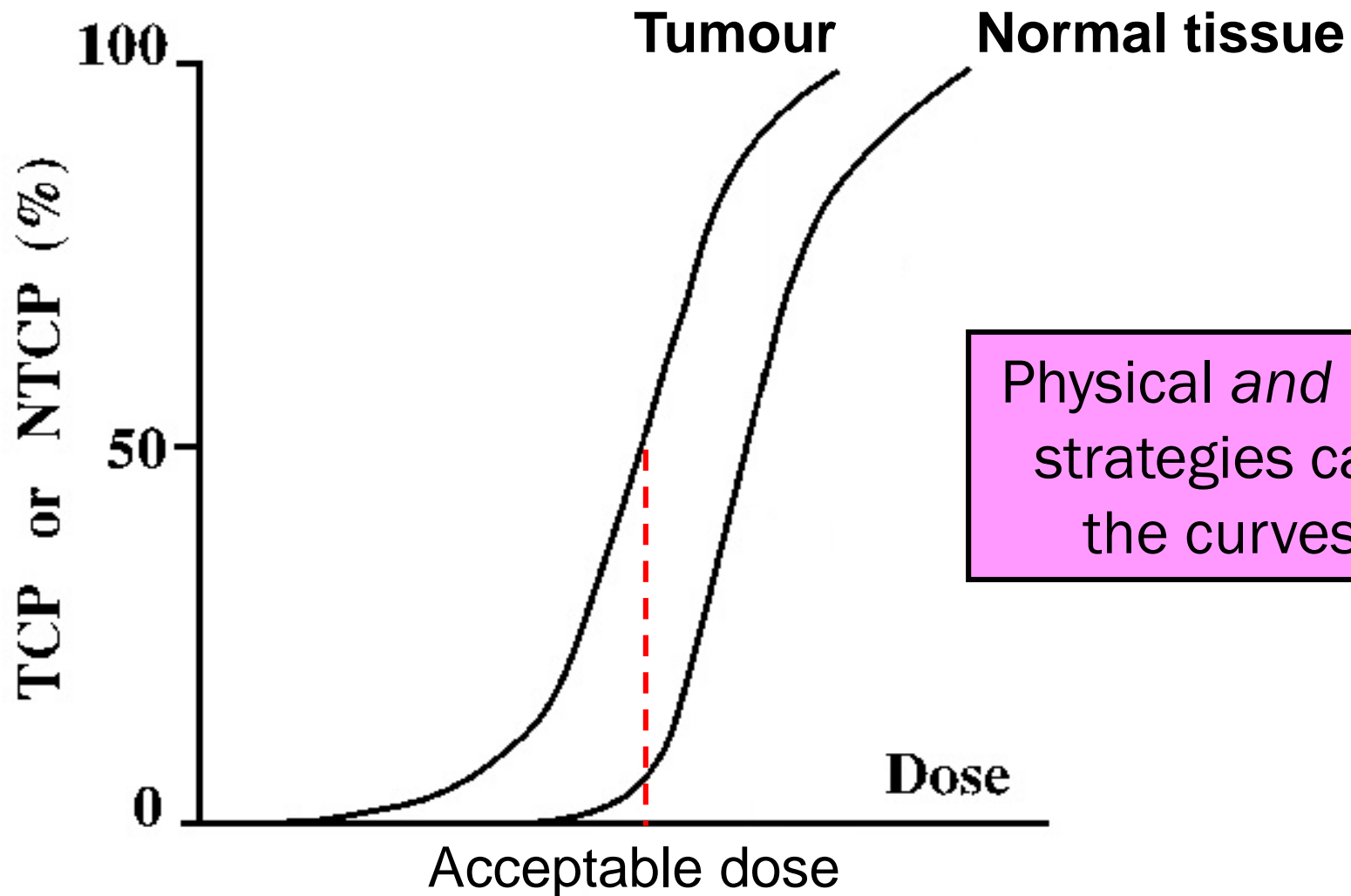
**Radiation dose-response  
for the endpoint of telangiectasia**



**Distribution of skin sensitivity  
for the endpoint of telangiectasia**

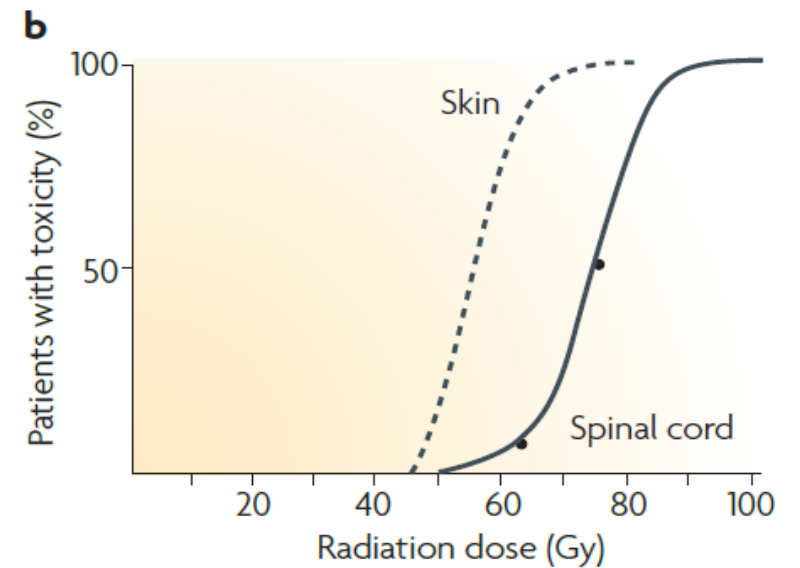
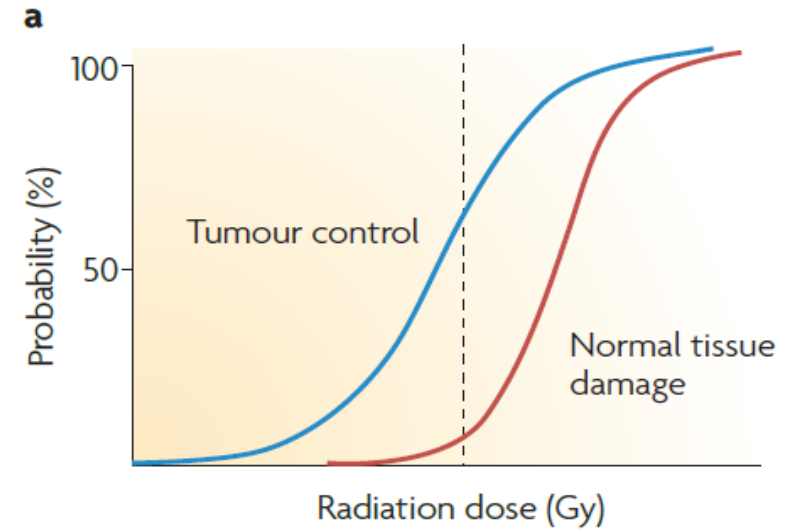
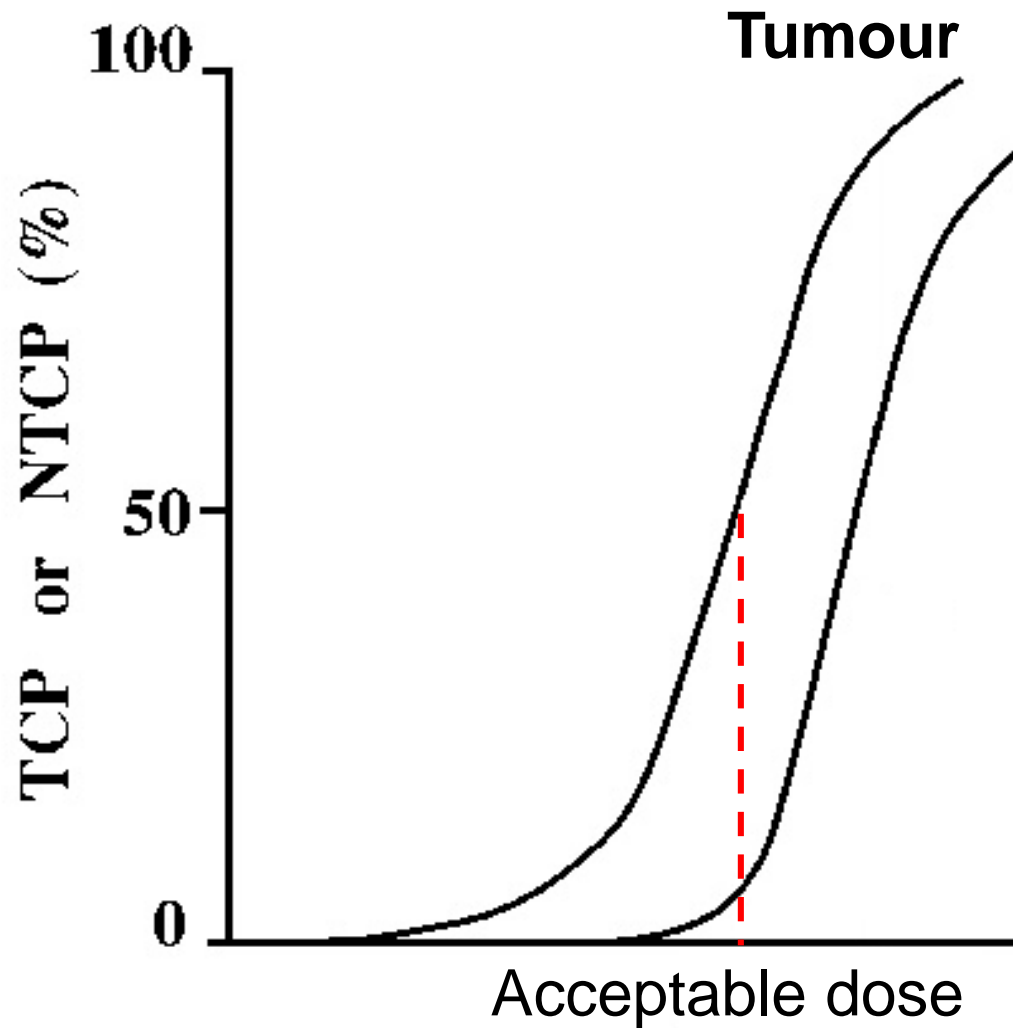


# Increase the therapeutic ratio

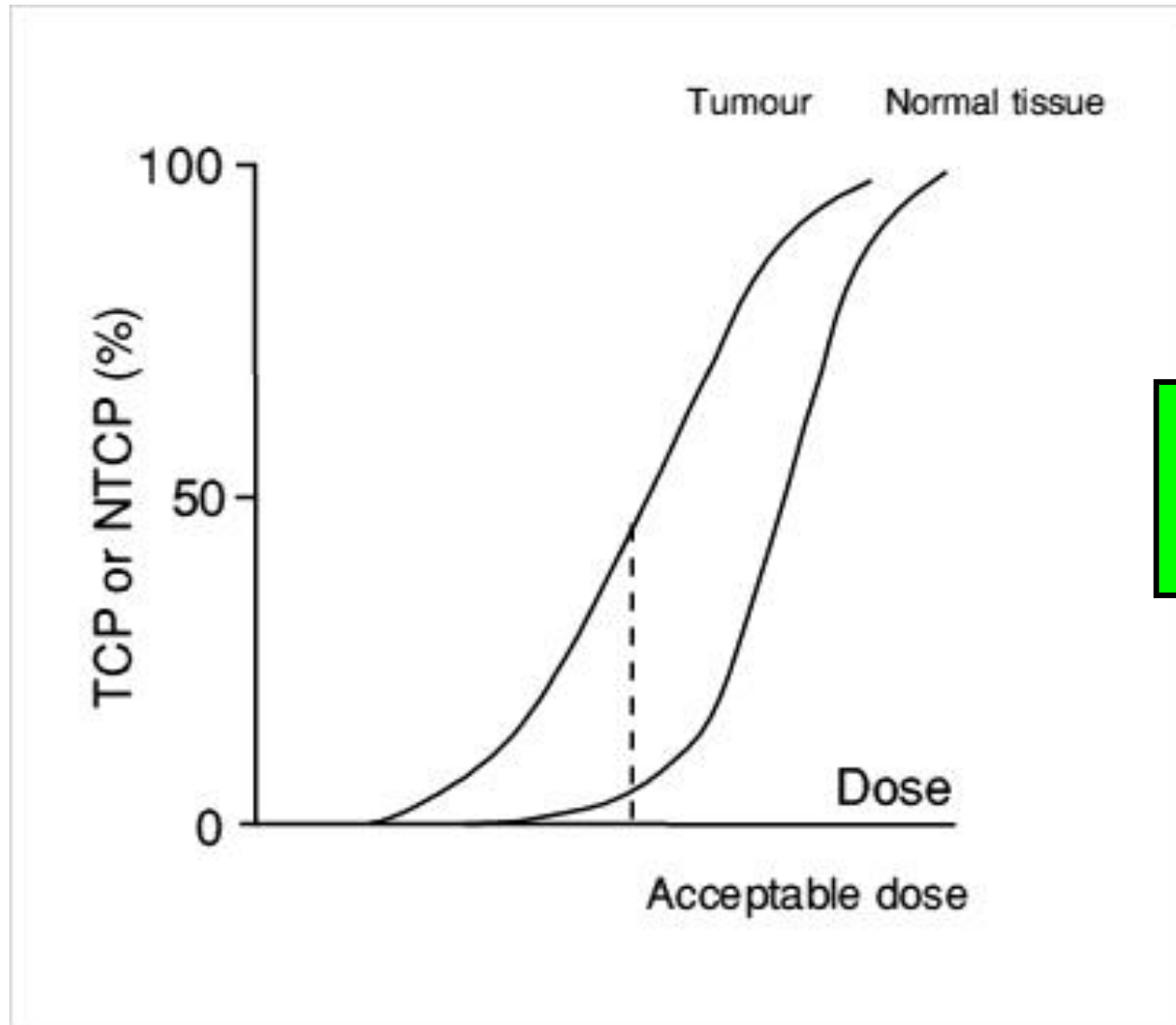


Physical *and* biological strategies can move the curves apart

# Increase the therapeutic ratio



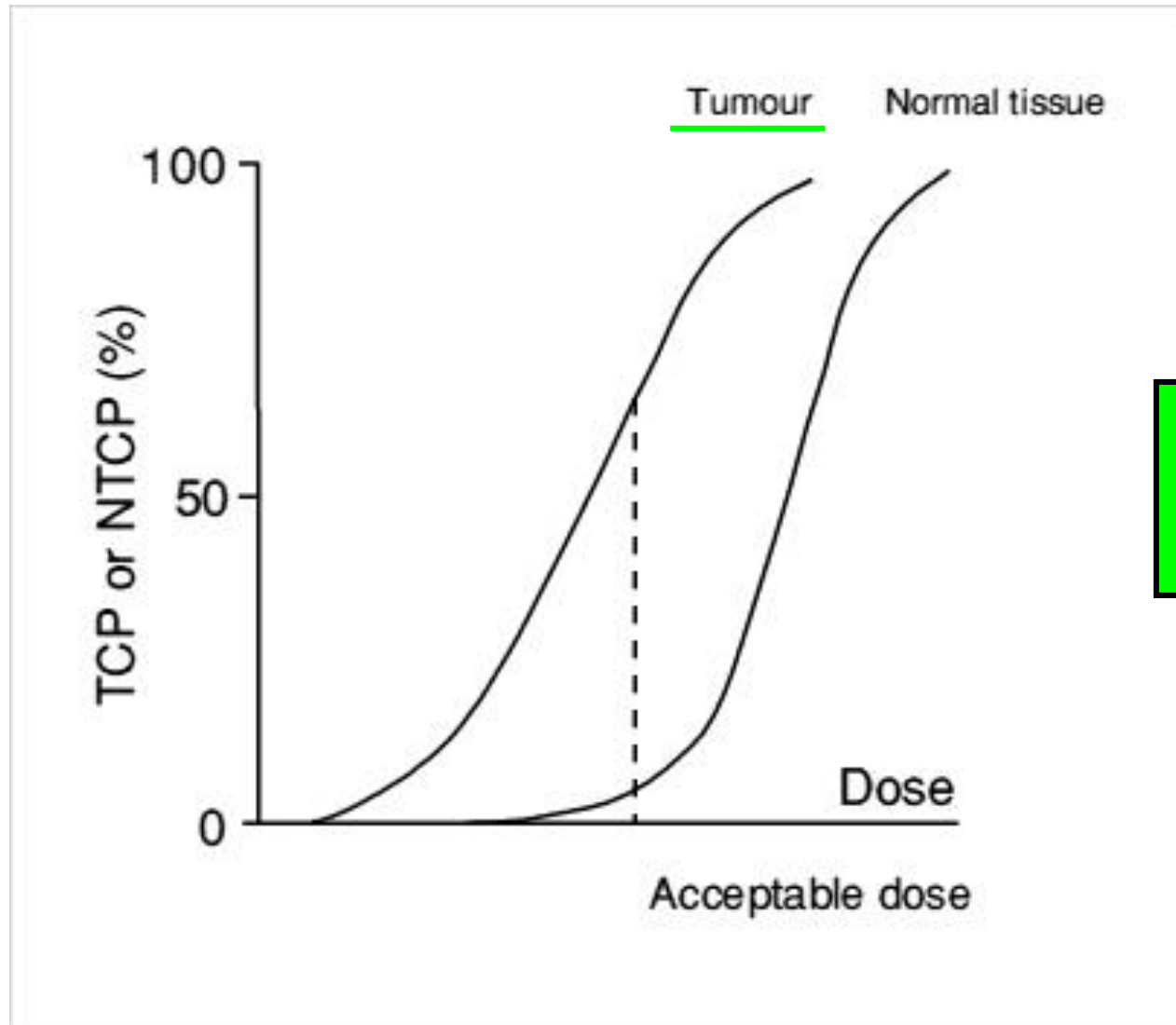
# Increase the therapeutic ratio



TCP 50%  
NTCP 5%

(a)

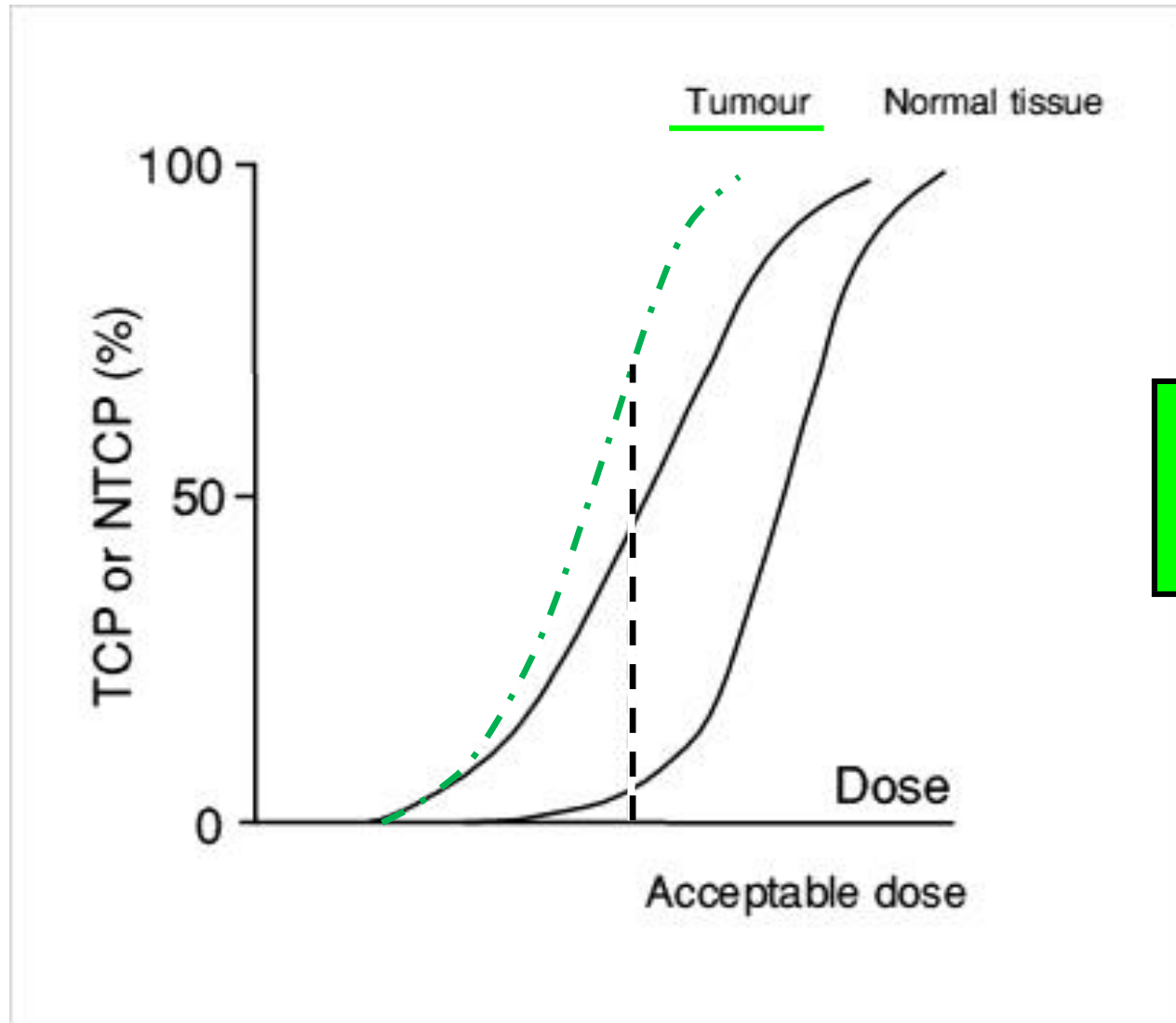
# Increase the therapeutic ratio



TCP 70%  
NTCP 5%

(b)

# Increase the therapeutic ratio



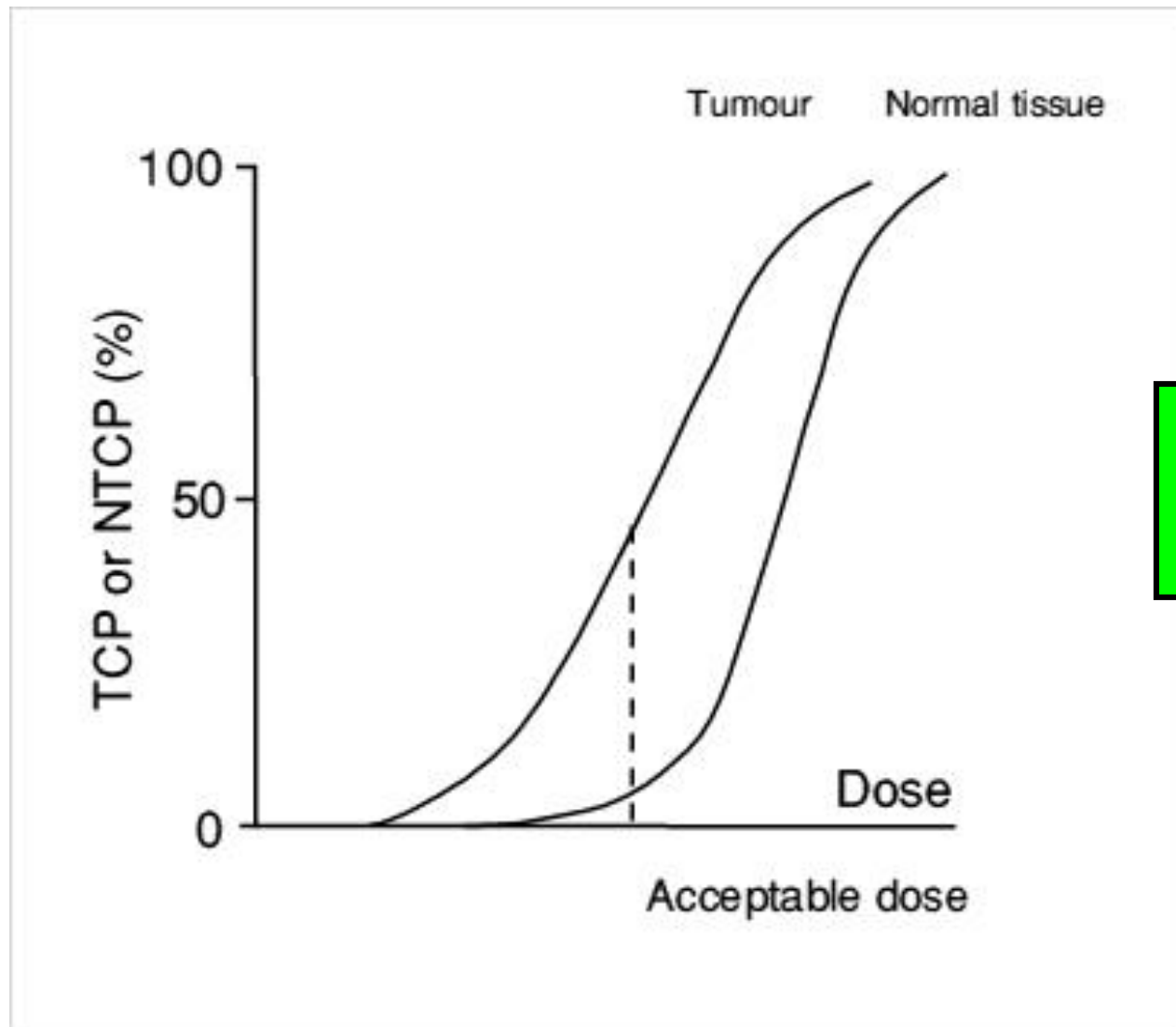
Most approaches steepen the TCP curve

**TCP 70%**  
**NTCP 5%**

(b)



# Increase the therapeutic ratio

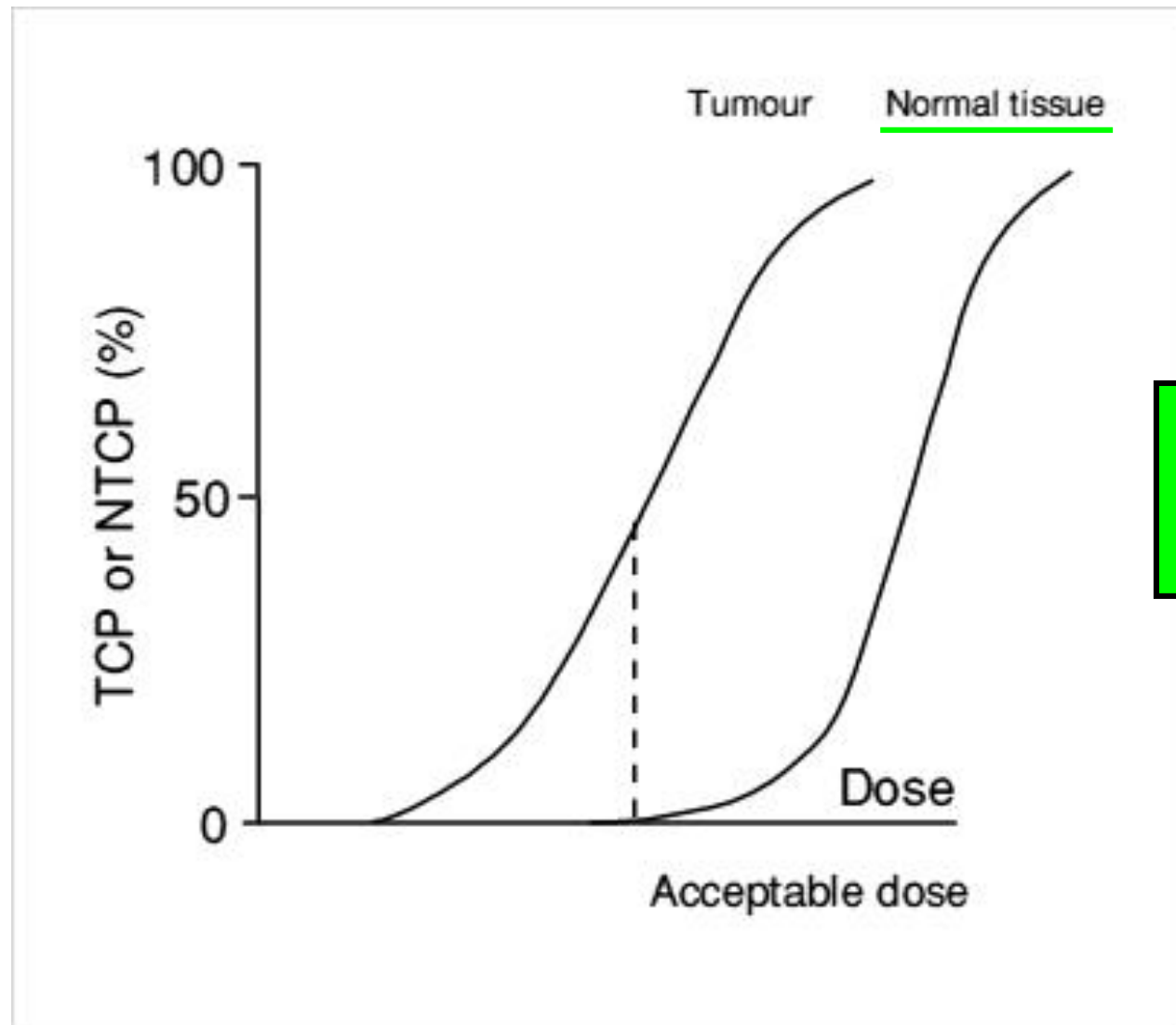


TCP 50%  
NTCP 5%

(a)

Back to the beginning

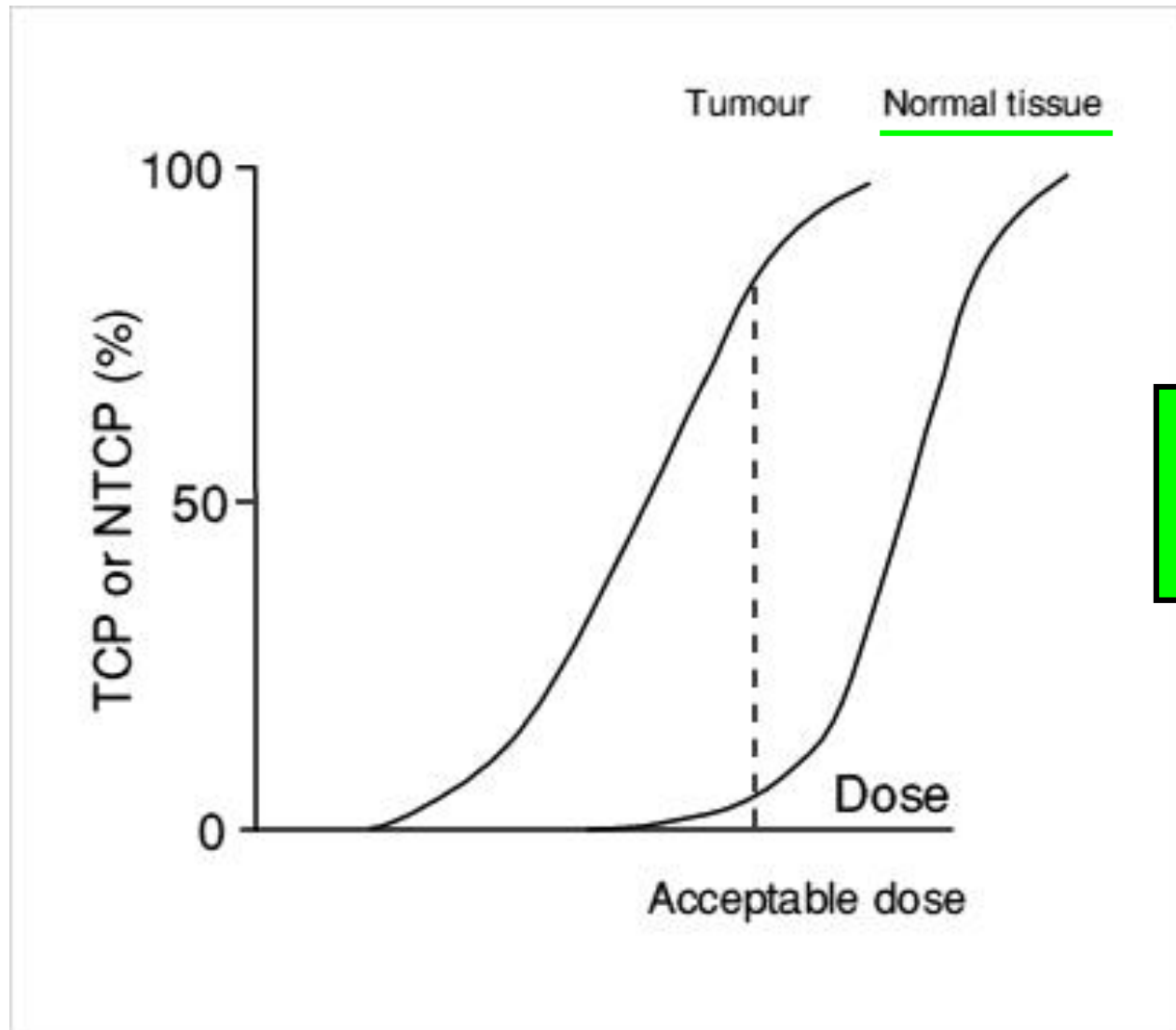
# Increase the therapeutic ratio



TCP 50%  
NTCP ~0%

(c)

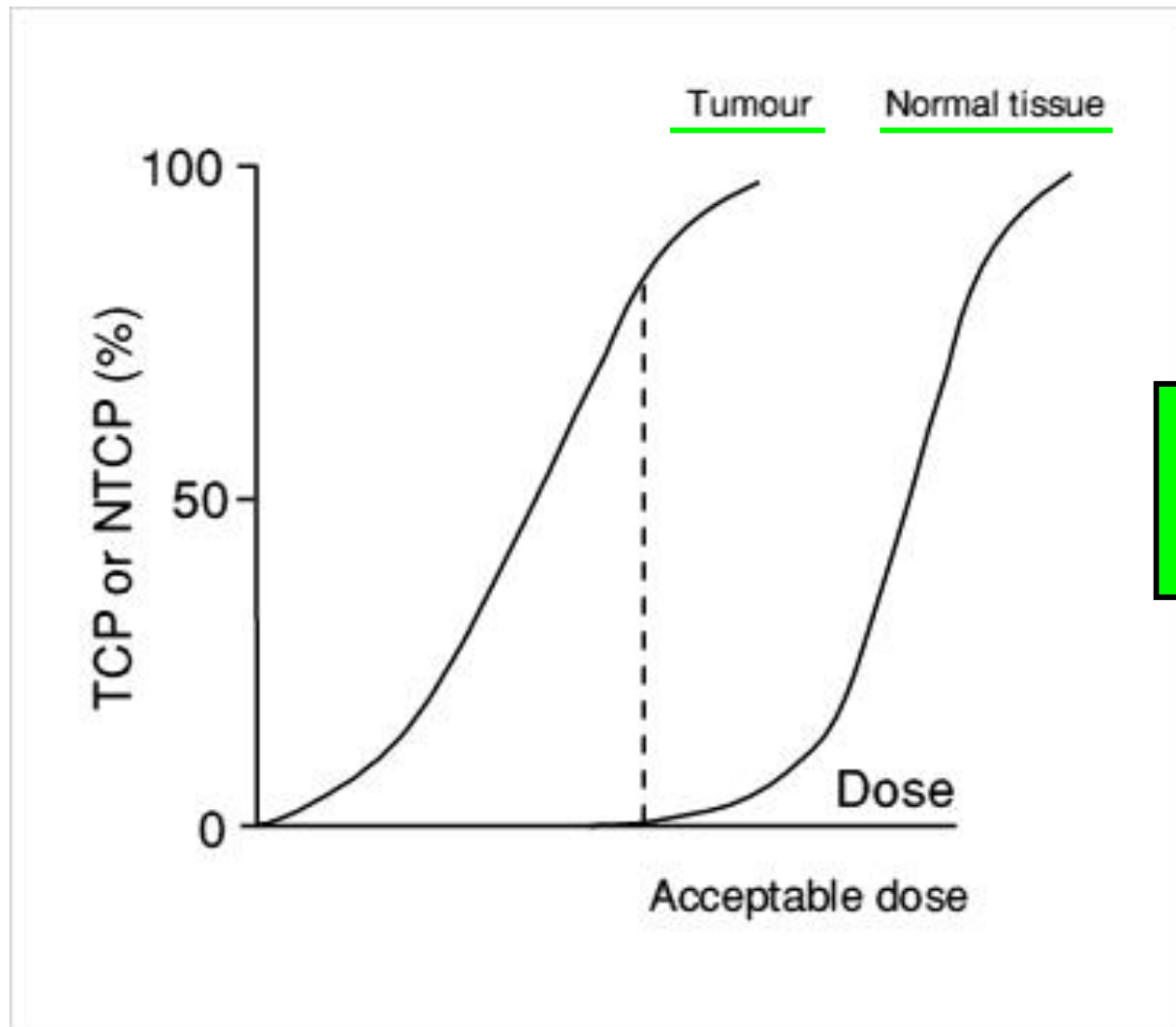
# Increase the therapeutic ratio



TCP 80%  
NTCP 5%

(d)

# Increase the therapeutic ratio



TCP 80%  
NTCP ~0%

(e)

# Normal tissue toxicities

- Toxicity largely relates to **late normal tissue effects**
  - Tissue specific
- Some **acute toxicities** also important
  - Especially applies to concurrent chemo-RT
- **Very late effects** of second malignancy
  - Difficult to estimate reliably
  - For IMRT, need to balance risk from larger irradiated volume against lower risk of organ damage
  - Role for PBT in children

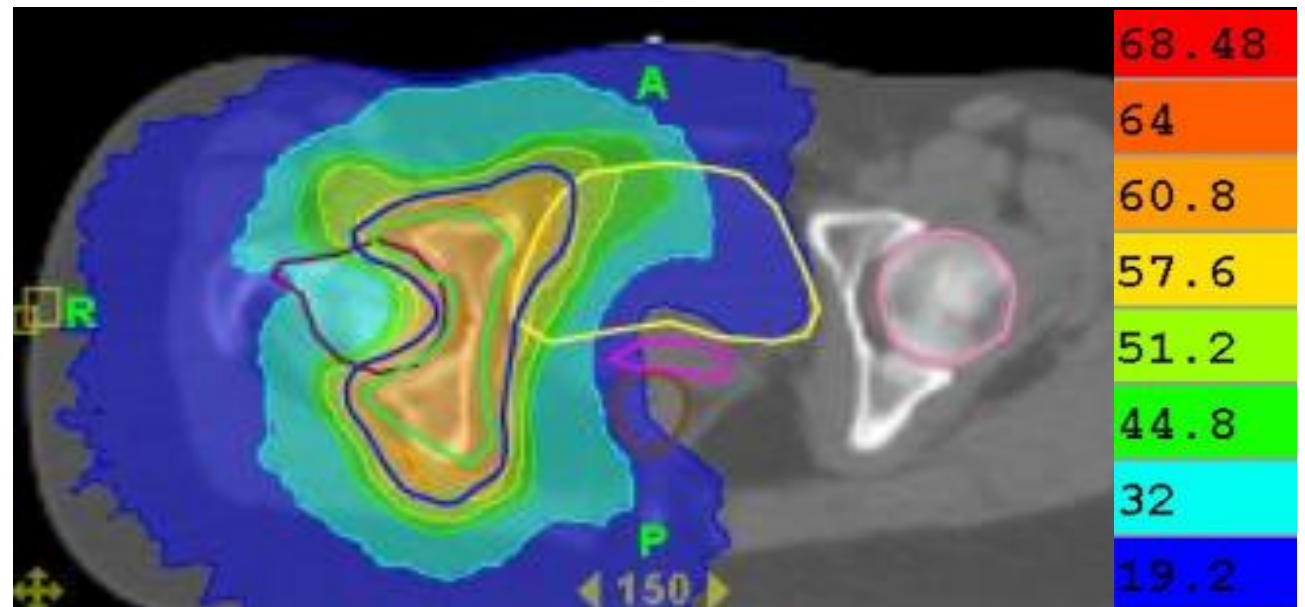
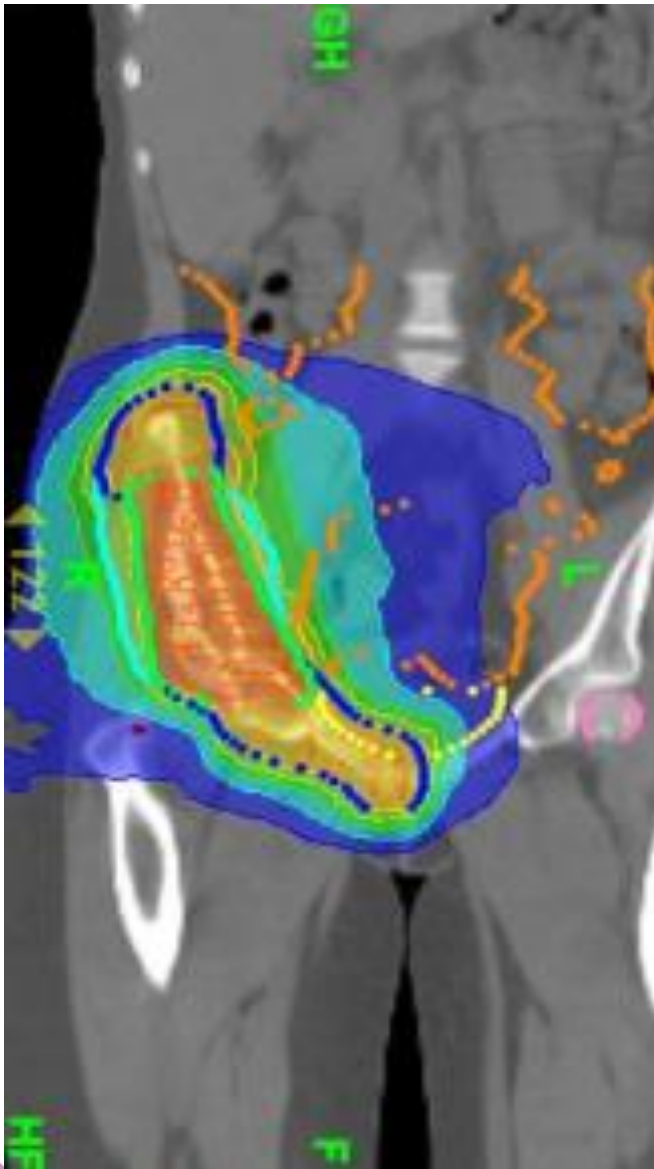
# Normal tissue toxicities

- A balance in time
- Balance risks of:
  - **late** normal tissue/organ damage against
  - **very late** second malignancy



# Pelvic Ewing's sarcoma

- Age 15. Female. Dose 64/60 Gy
- Sparing of central pelvic organs
  - Reduced acute & late toxicities



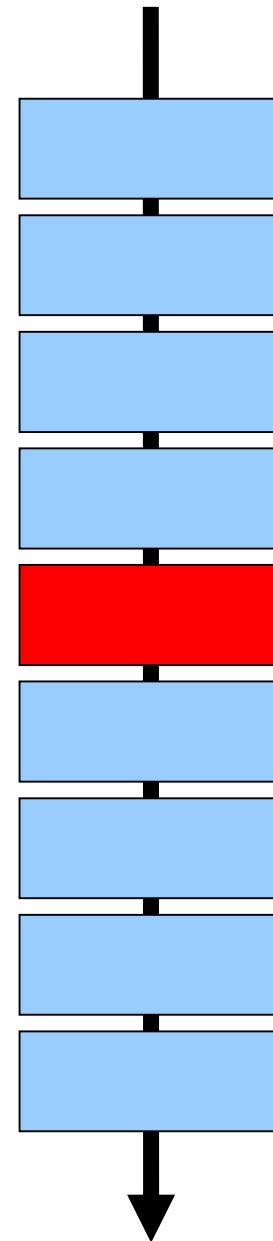
# Normal tissue response

- Toxicity is related to dose
- Volume effect seen in many tissues/organs
- Tissue architecture also relevant
  - Serial organs - eg ...
  - Parallel organs - eg ...



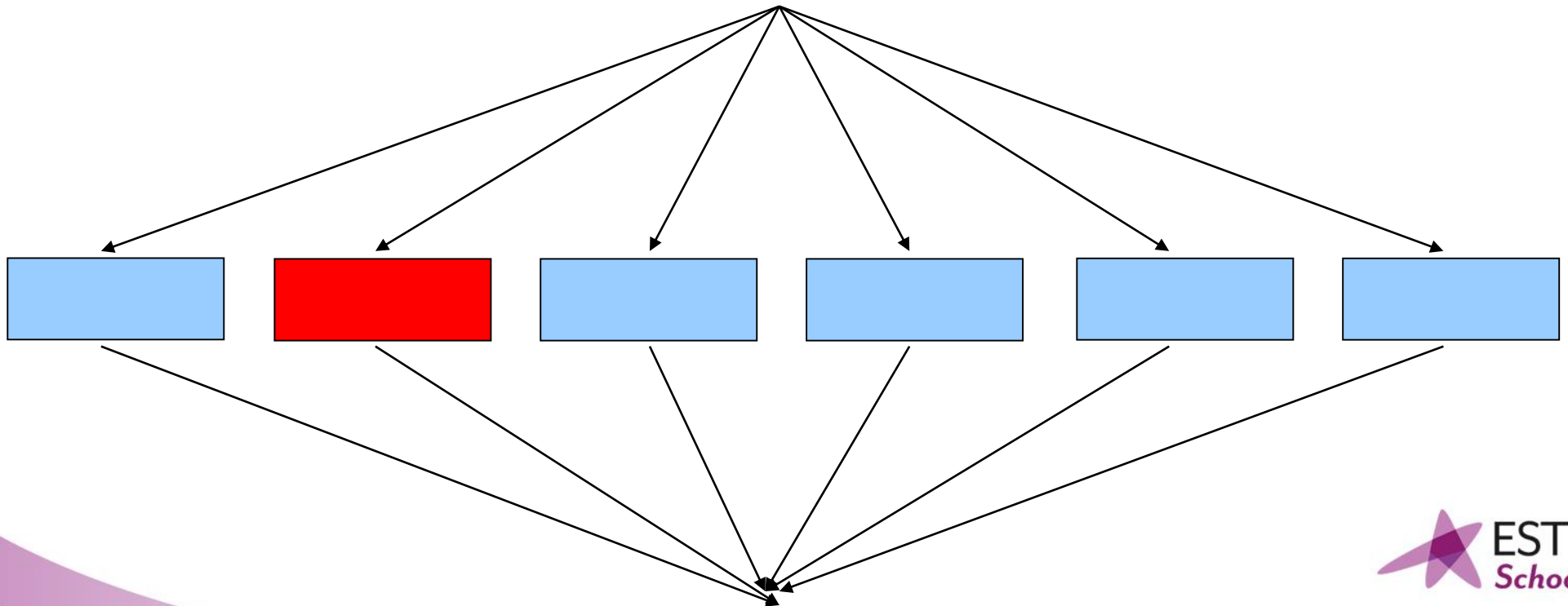
# Normal tissue response

- Serial organ
- Damage to 1 part causes failure
- Serious clinical consequence
- High dose most important
- For example ...
  - ... spinal cord,  
brainstem,  
optic nerves
  - ... ? oesophagus



# Normal tissue response

- Parallel organ
- Damage to 1 part does not compromise function
- Low dose (and volume) usually most important
- For example ...
  - ... lung, liver, salivary glands, skin ...

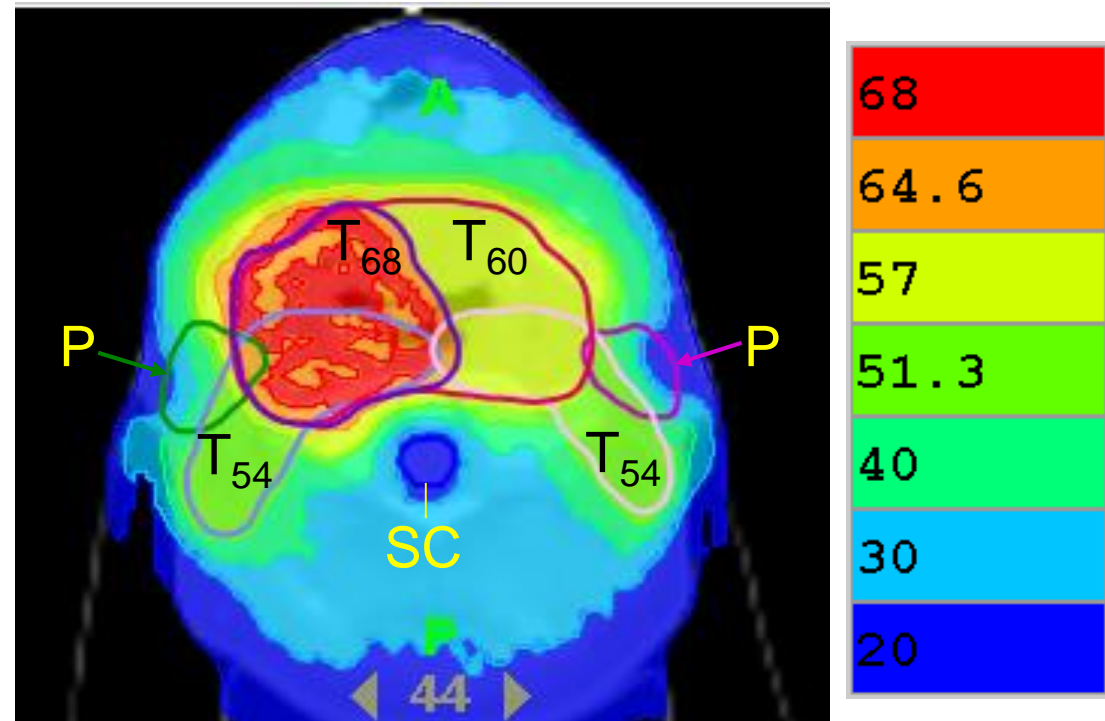


# Normal tissue response

- Volume and architecture important
- If medium dose destroys function, then:
  - Must irradiate only small volume beyond that dose
  - No penalty from higher dose
- If high dose destroys function, then:
  - Avoid high dose
  - Can accept larger volume of irradiation

# Broadening the band width

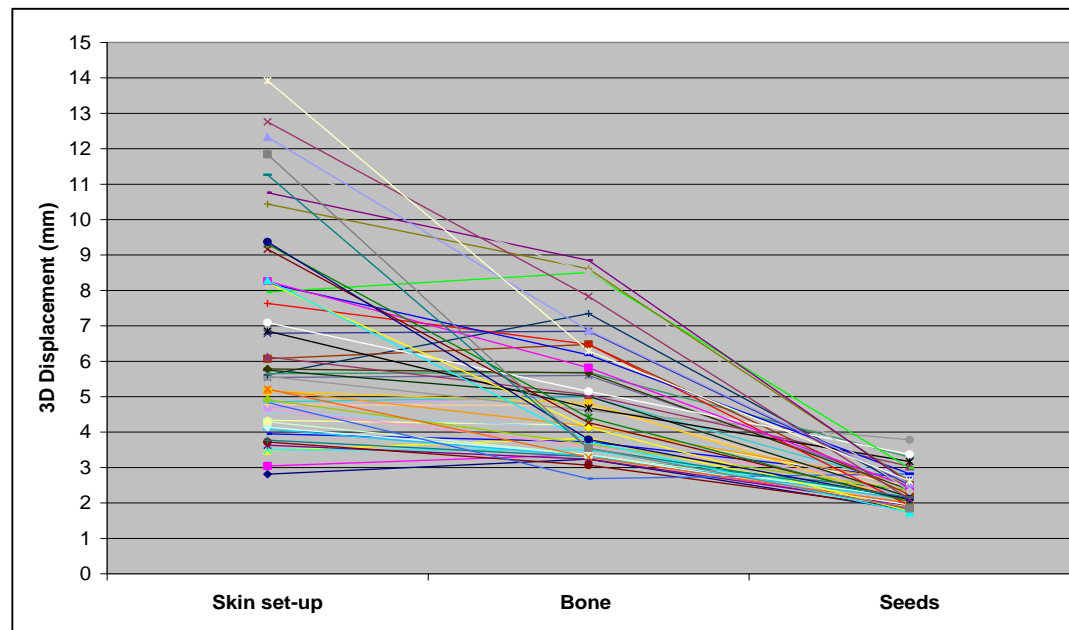
- IMRT for Head and neck cancer
- Sparing parotids reduces toxicity ¶
- Restricting dose to spinal cord allows high dose



¶ Nutting et al Lancet Oncol.  
2011; 12(2): 127-36

# Image guidance

- Patients position less well than we think
- IGRT allows more accurate delivery of dose
  - Deliver the dose to where you planned
  - ? Reduce PTV margins (don't over-reduce)
    - *Reduces* total patient dose (integral dose)
  - Delivers dose more precisely to target and normal tissue
  - Especially important with steep dose gradients



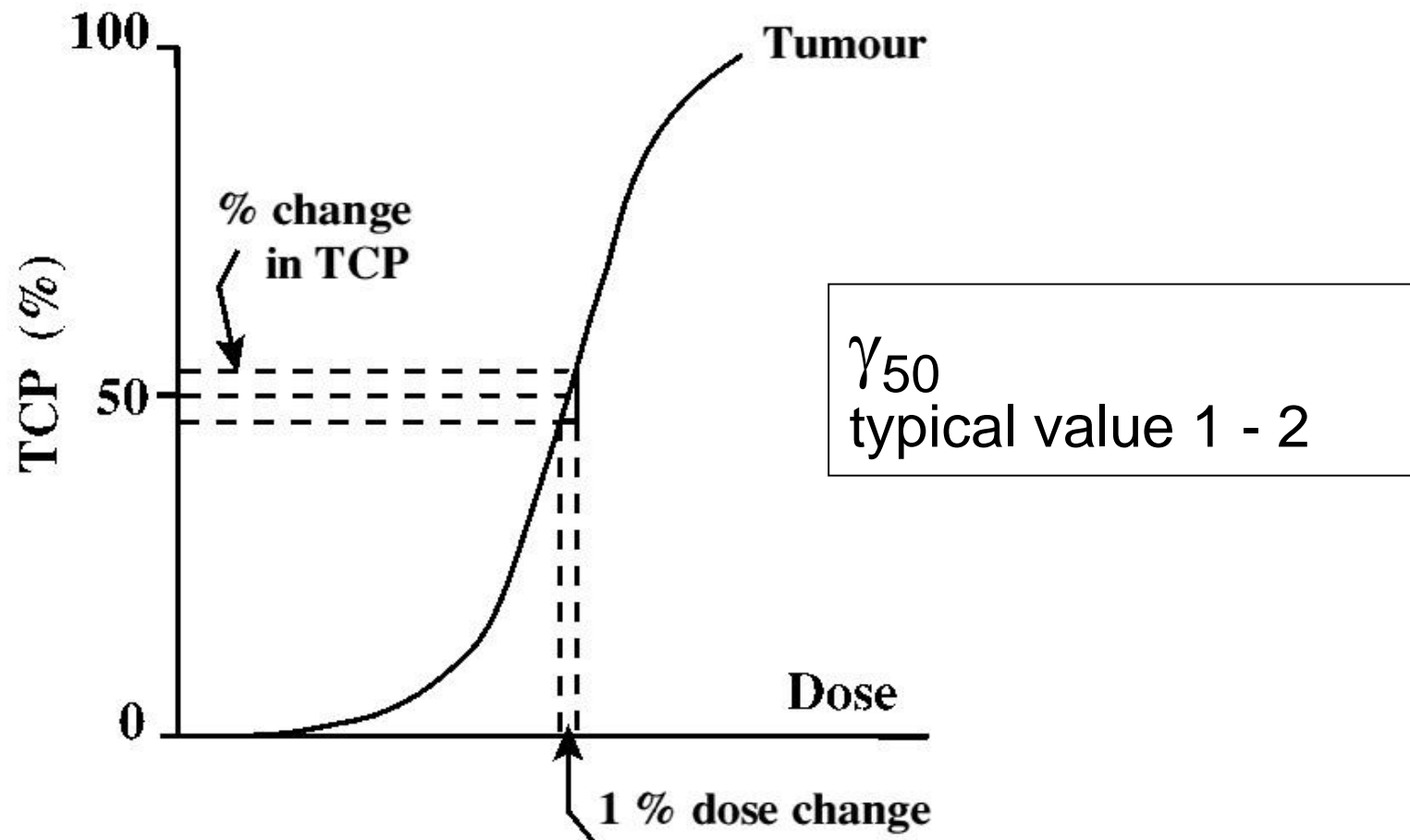
- Prostate
- Skin set up
- Pelvic bone EPID
- Seed IGRT
  
- (Dr Yvonne Rimmer)

# Broadening the band width

- Dose response curves are ***steep*** for both tumour and normal tissue
- Therefore a ***small*** dose difference can produce a ***large*** difference in outcome
- This applies to
  - individual patients
  - populations

# Broadening the band width

## Gamma 50 and TCP



# Broadening the band width

- A 5% dose increase will achieve a 5 – 10% improvement in tumour control
- Toxicity – normal tissue complications – show the same effect
- Small steps of improvement are very worthwhile
- Attention to detail will pay dividends



# Broadening the band width

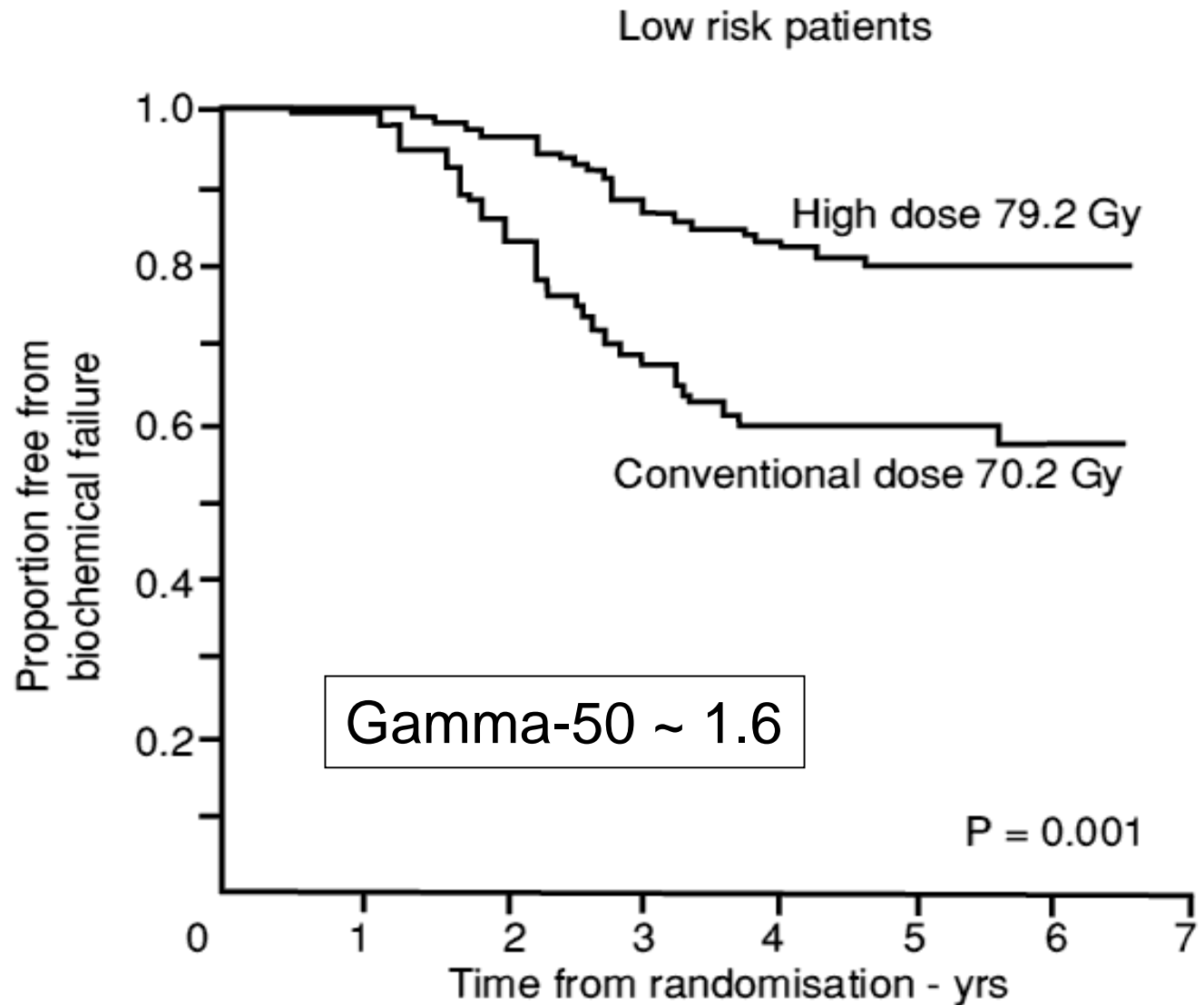
- Small differences matter
- Concept of ‘marginal gains’
- Application of the concept has been shown to be *very* successful in cycling
- The same applies to what we do ...
- Attention to details will benefit patients



Mike Sharpe  
'Mike on his bike'

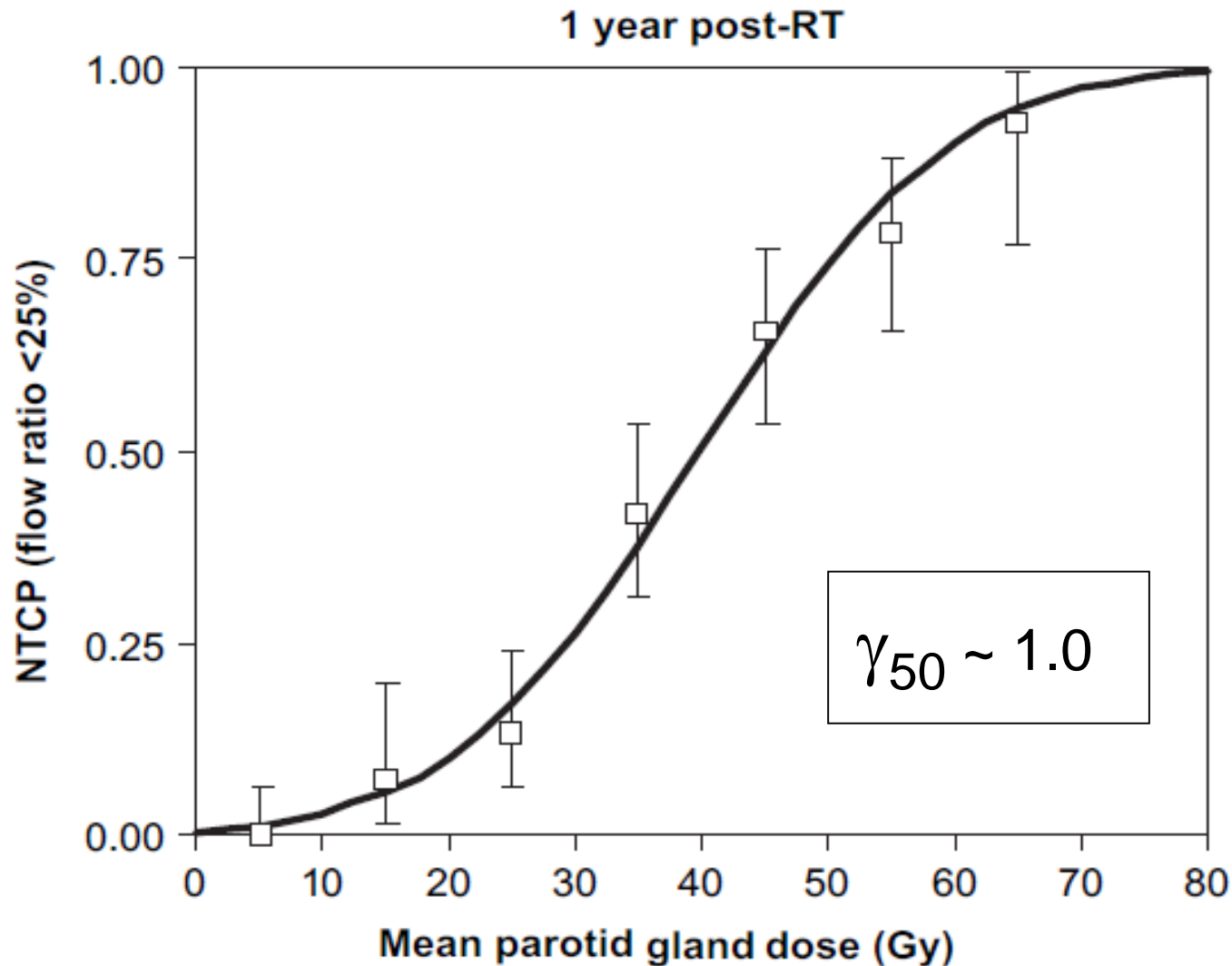
# Broadening the band width

- Prostate cancer, randomised trial
- 70.2 : 79.2 Gy
- 12% dose diff
- Zietman et al
- JAMA 2005; 294(10): 1233-9
- (Used protons in both arms)



# Broadening the band width

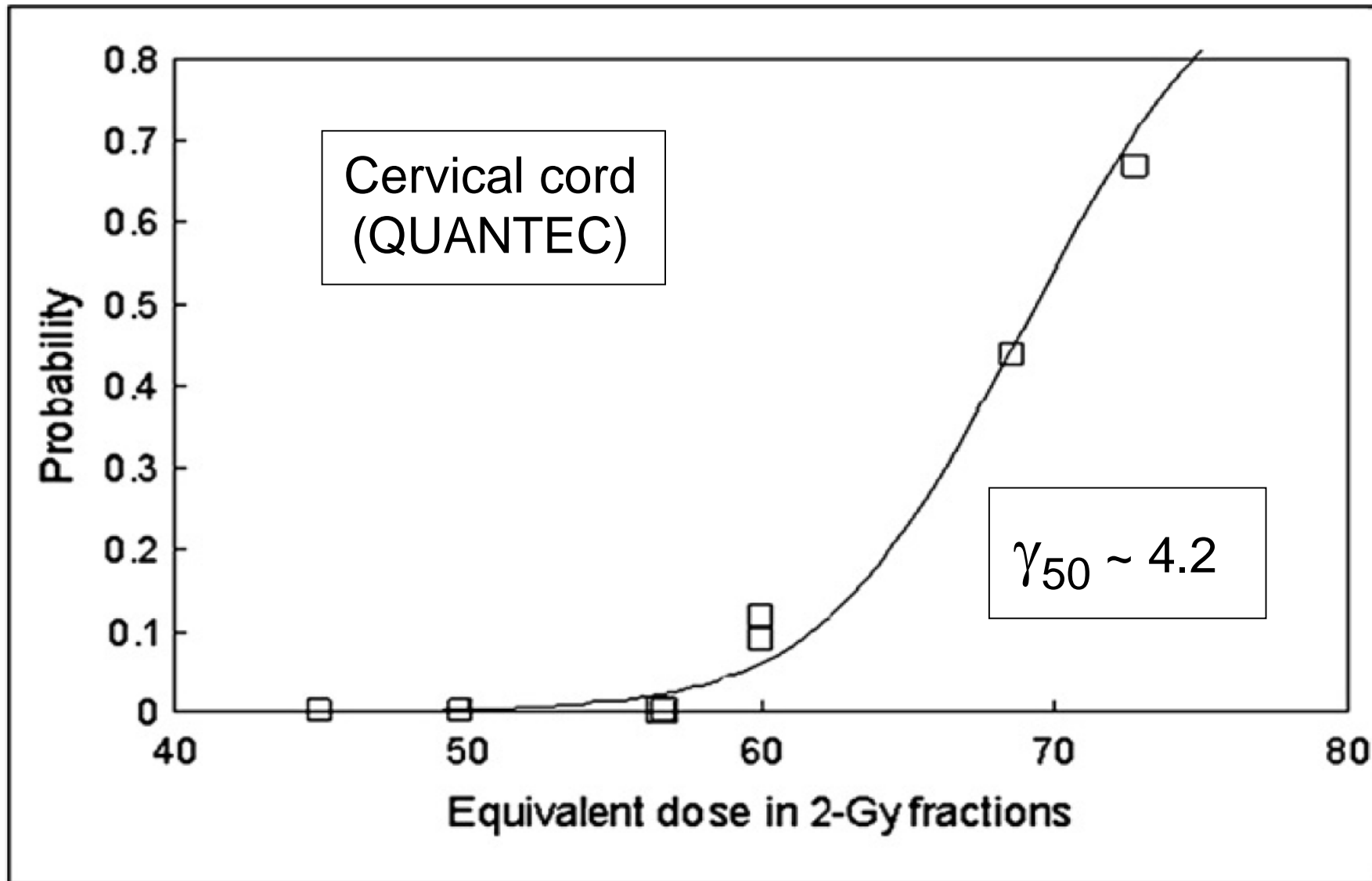
Parotid  
toxicity



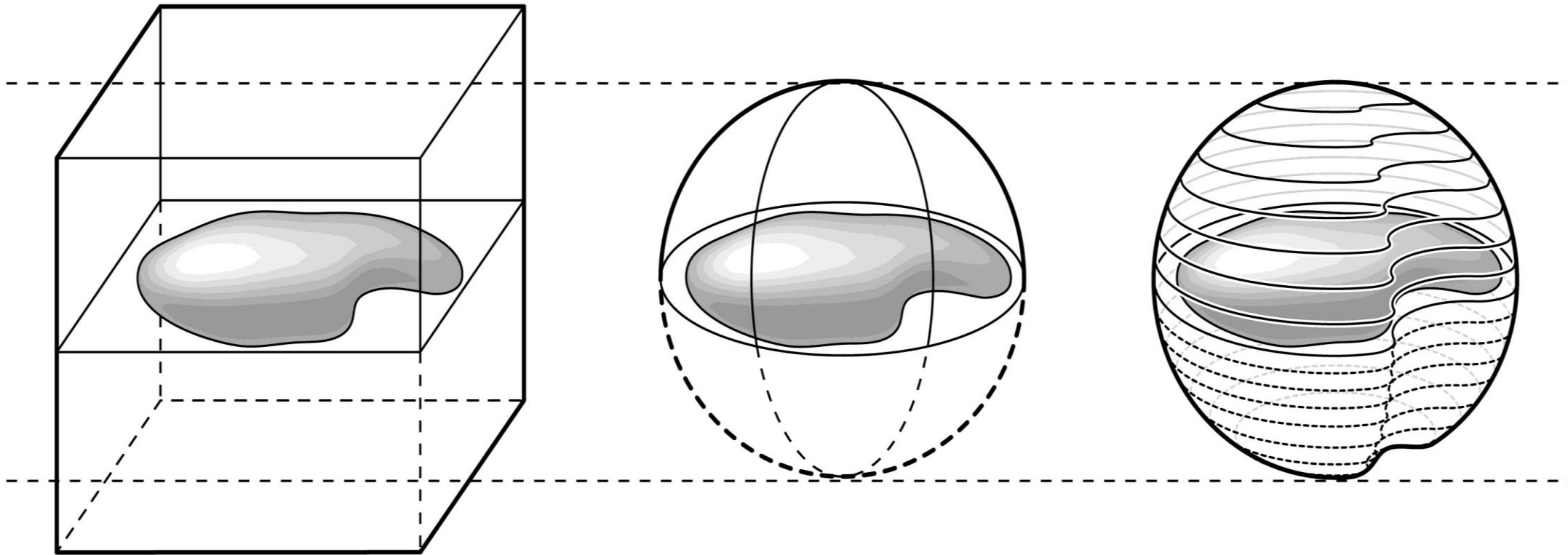
Dijkema et al  
IJROBP  
2010; 78(2):  
449-453

Combined  
Michigan &  
Utrecht data

# Broadening the band width



# Treatment volumes compared



Conventional  
'square' plan

3D CRT plan

IMRT plan

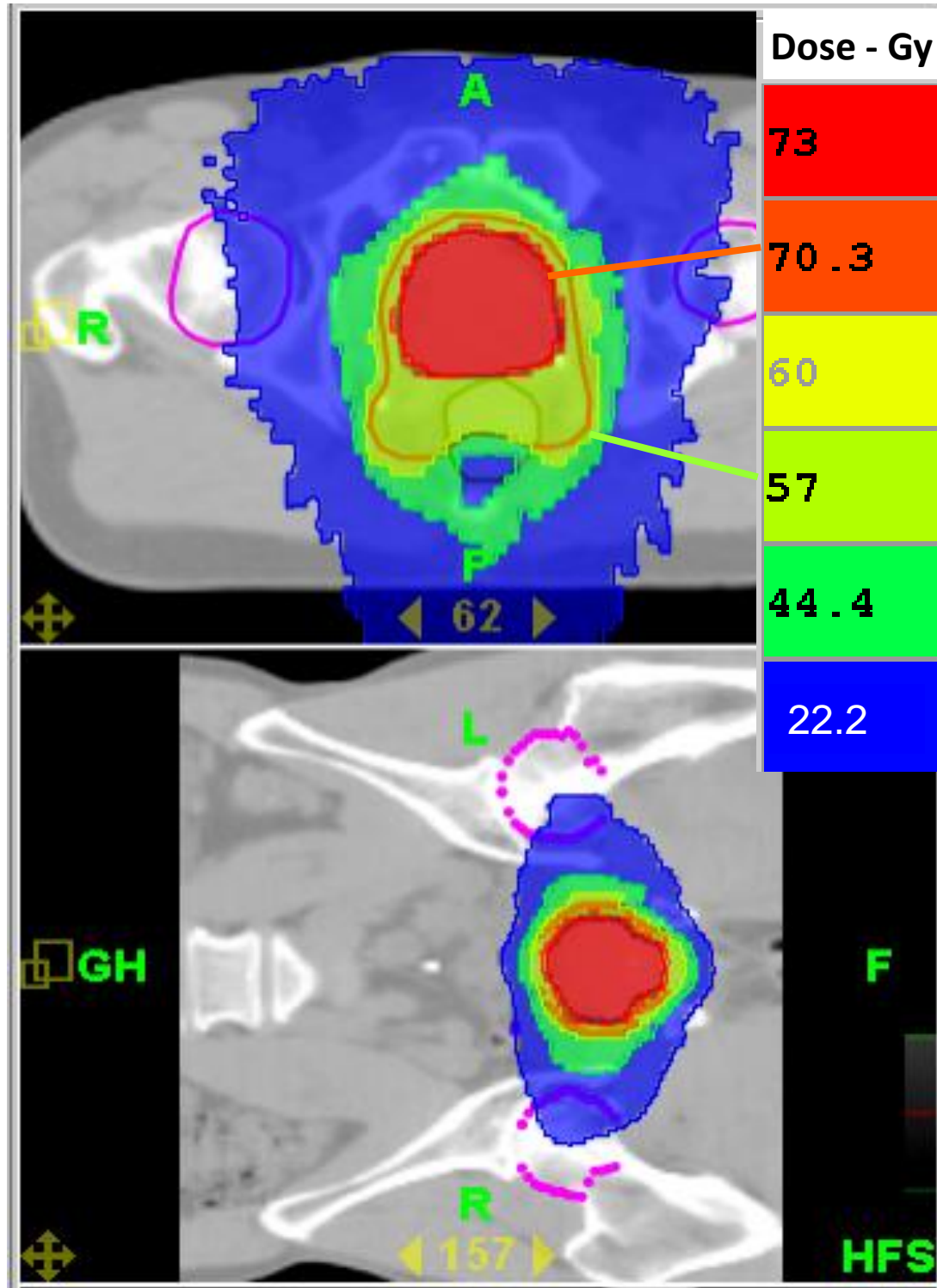
# Use the best equipment you can!



- Old equipment
- Poor maintenance
- Bad choice!

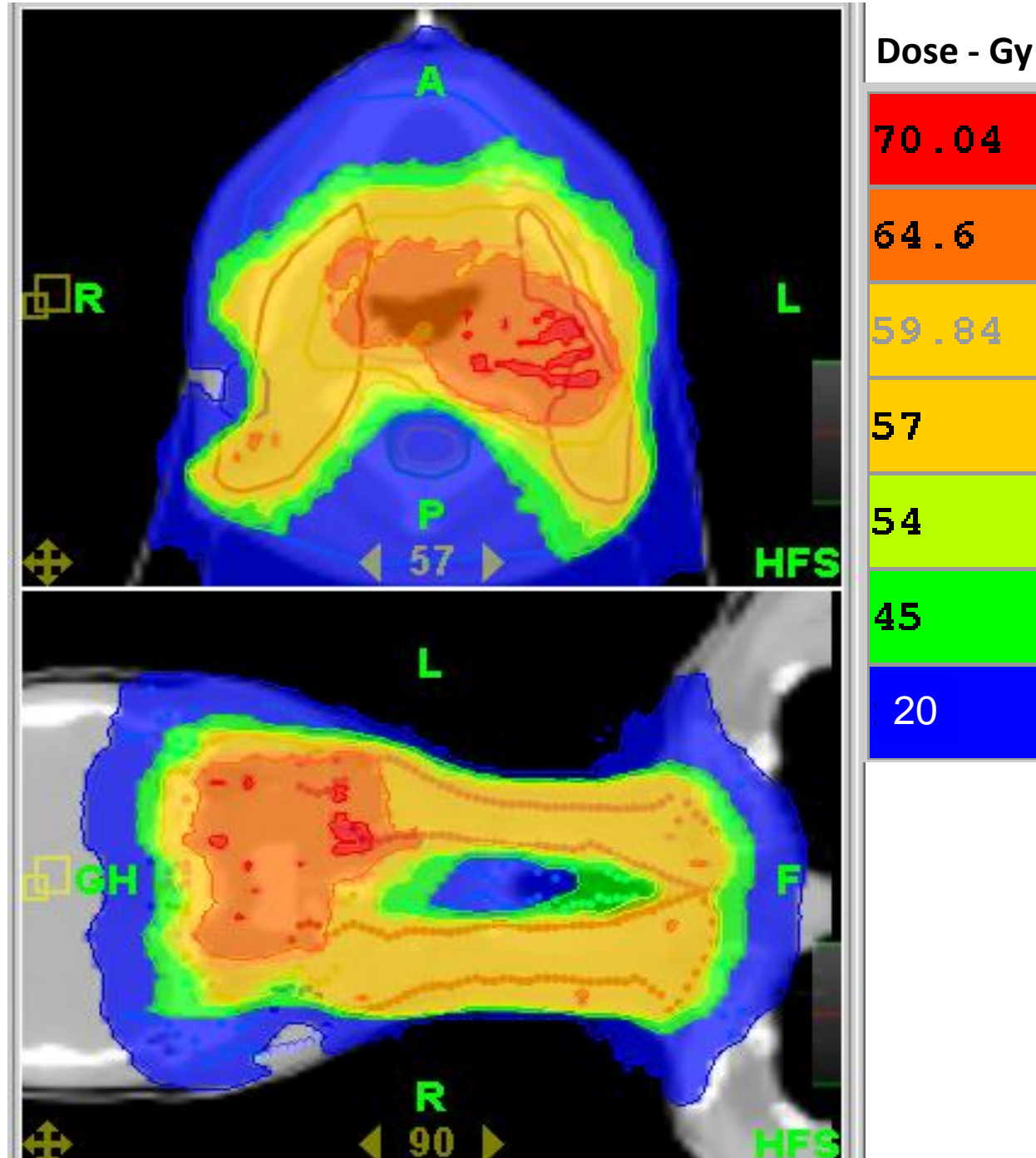
# Ca prostate

- Ca prostate
- 74 Gy to primary (37#)
- 60 Gy to seminal vesicles
- Rectal sparing behind PTV



# Ca nasopharynx

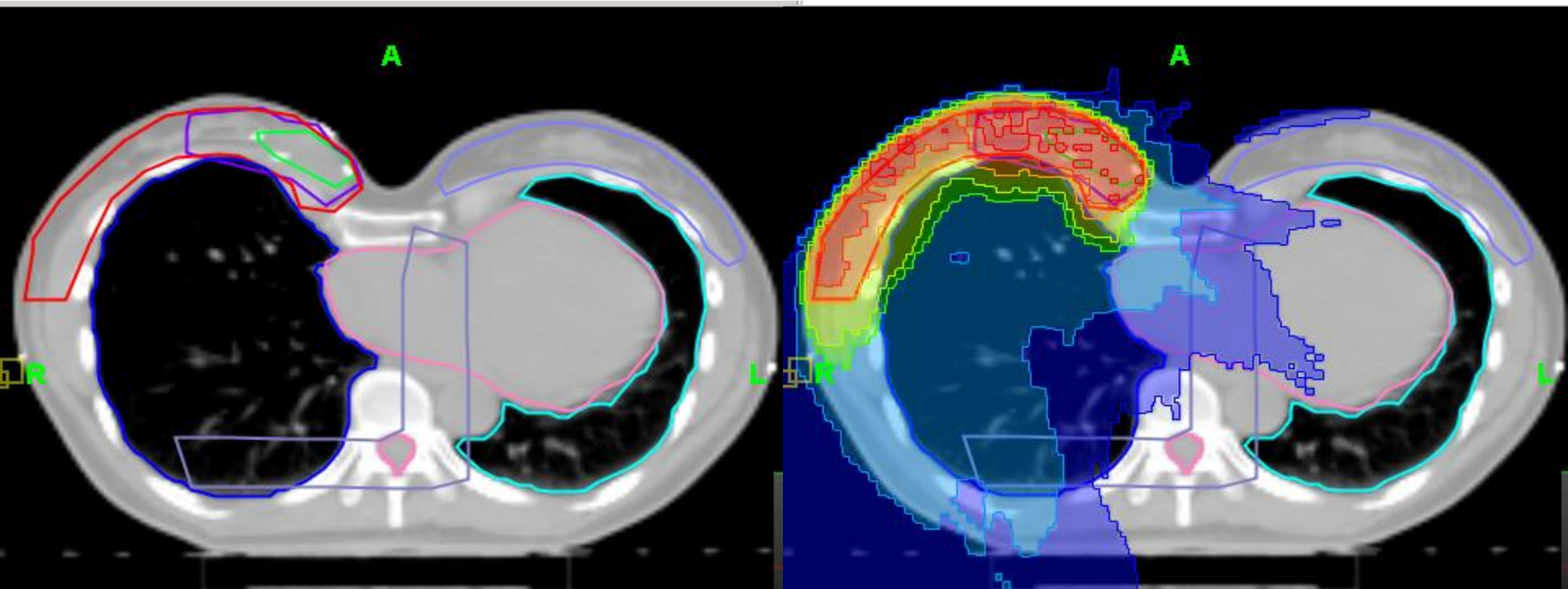
- 68 Gy to primary (34#)
- 60 Gy to nodes
- Cord dose < 45 Gy
- No field junctions
- No electrons





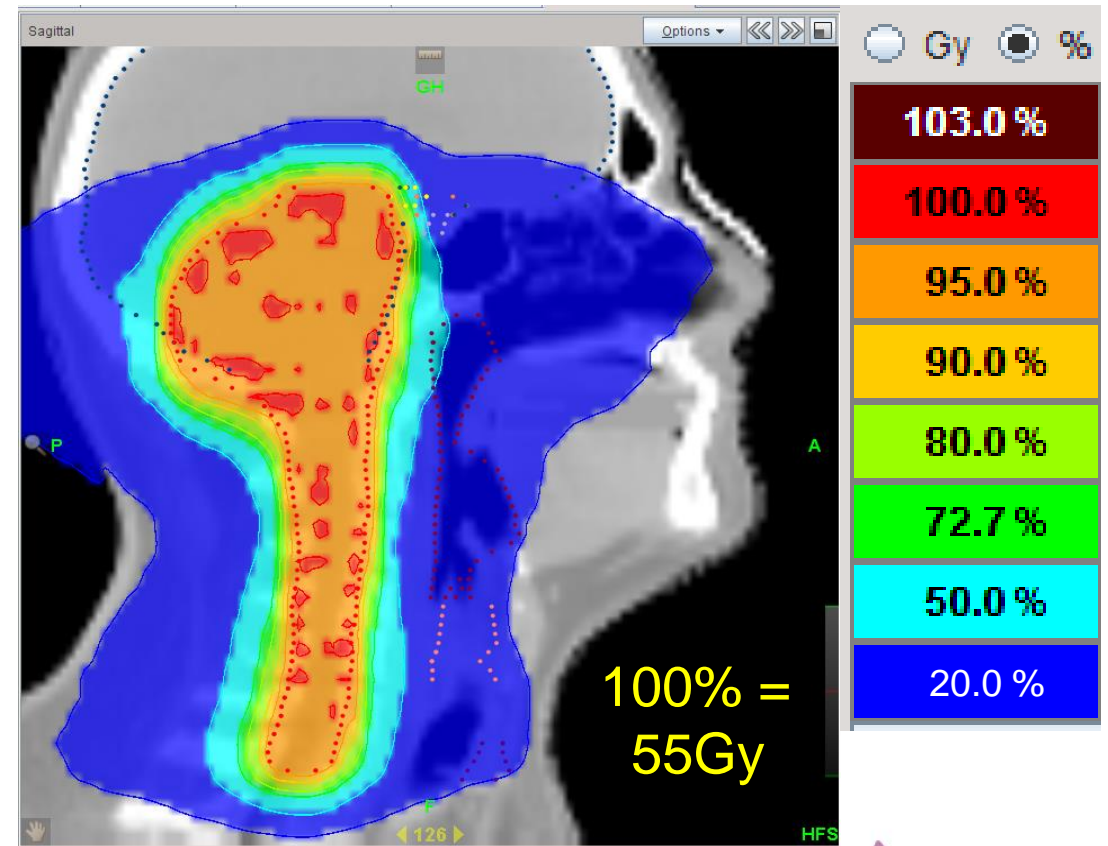
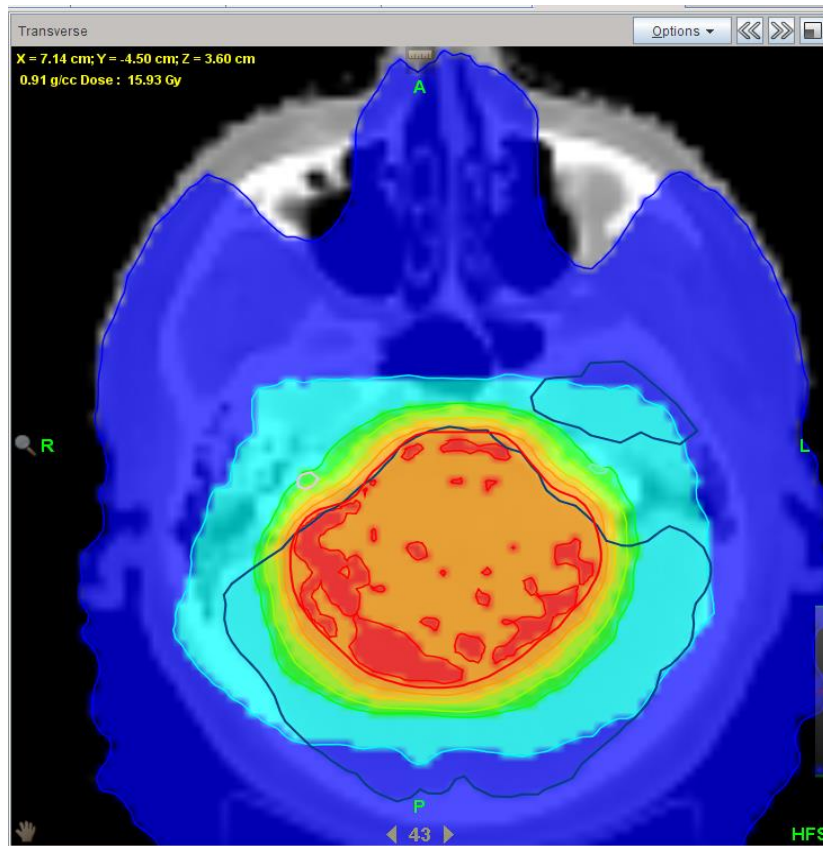
# Ca breast

- Ca breast
- Pectus excavatum
- 40 Gy / 15 #

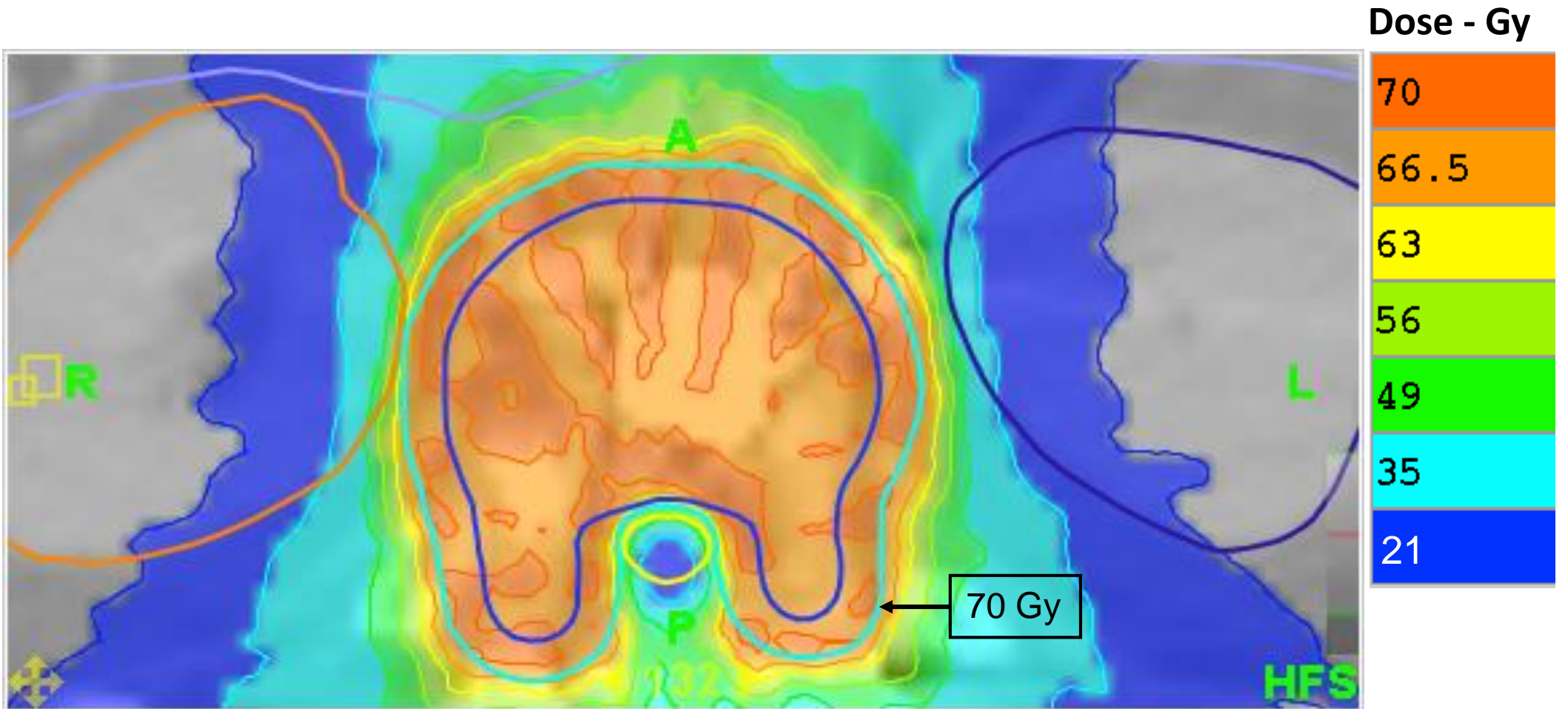


# Brainstem + upper cord glioma

- Low grade glioma (clinical and radiological diagnosis)
- Huge volume, variable body contour
- 55 Gy / 33 #



# IMRT for chordoma

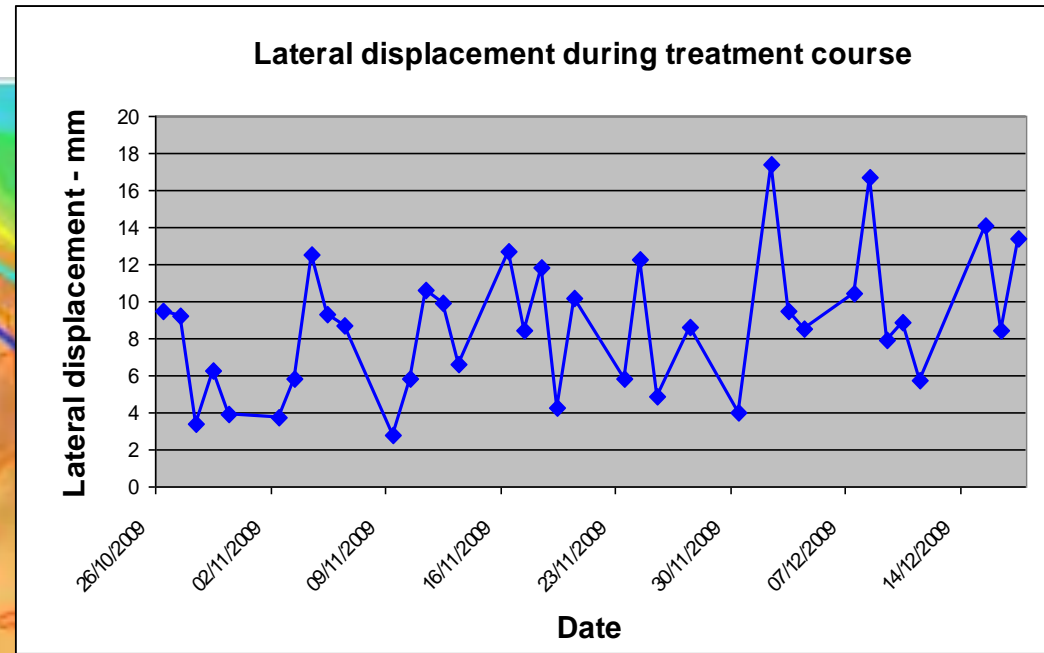
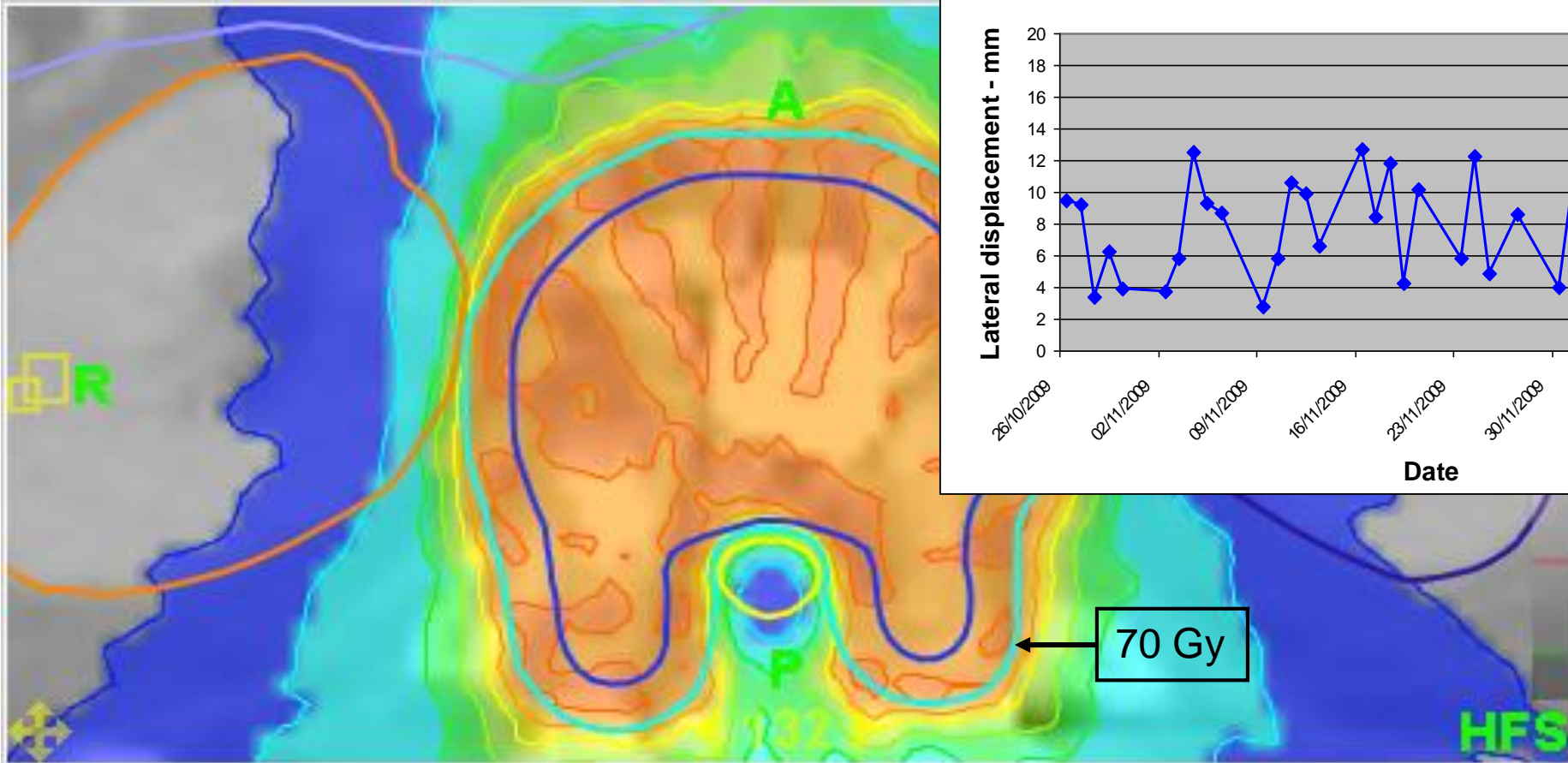


— CTV  
— PTV-PRV

— PRV cord

70 Gy / 39#  
(+ IGRT)

# IMRT for chordoma



— CTV  
— PTV-PRV  
— PRV cord

70 Gy / 39#  
(+ IGRT)

# Bandwidth

- Advanced technology is for patient benefit
- Tumour control with minimal toxicity

Photo of patient in the treatment room having just completed course of high dose RT to para-aortic nodes

# Conclusions

- Small steps of dose improvement are worthwhile
- Increasing radiotherapy band width requires modern treatment approaches
- Attention to detail translates into clinical advantage for patients
- Lots more to do ...



Thank you









# **Dose calculation algorithms & their differences in clinical impact**

**Advanced Treatment Planning Course**  
23-27 September 2018 – Athens, Greece

Markus Stock

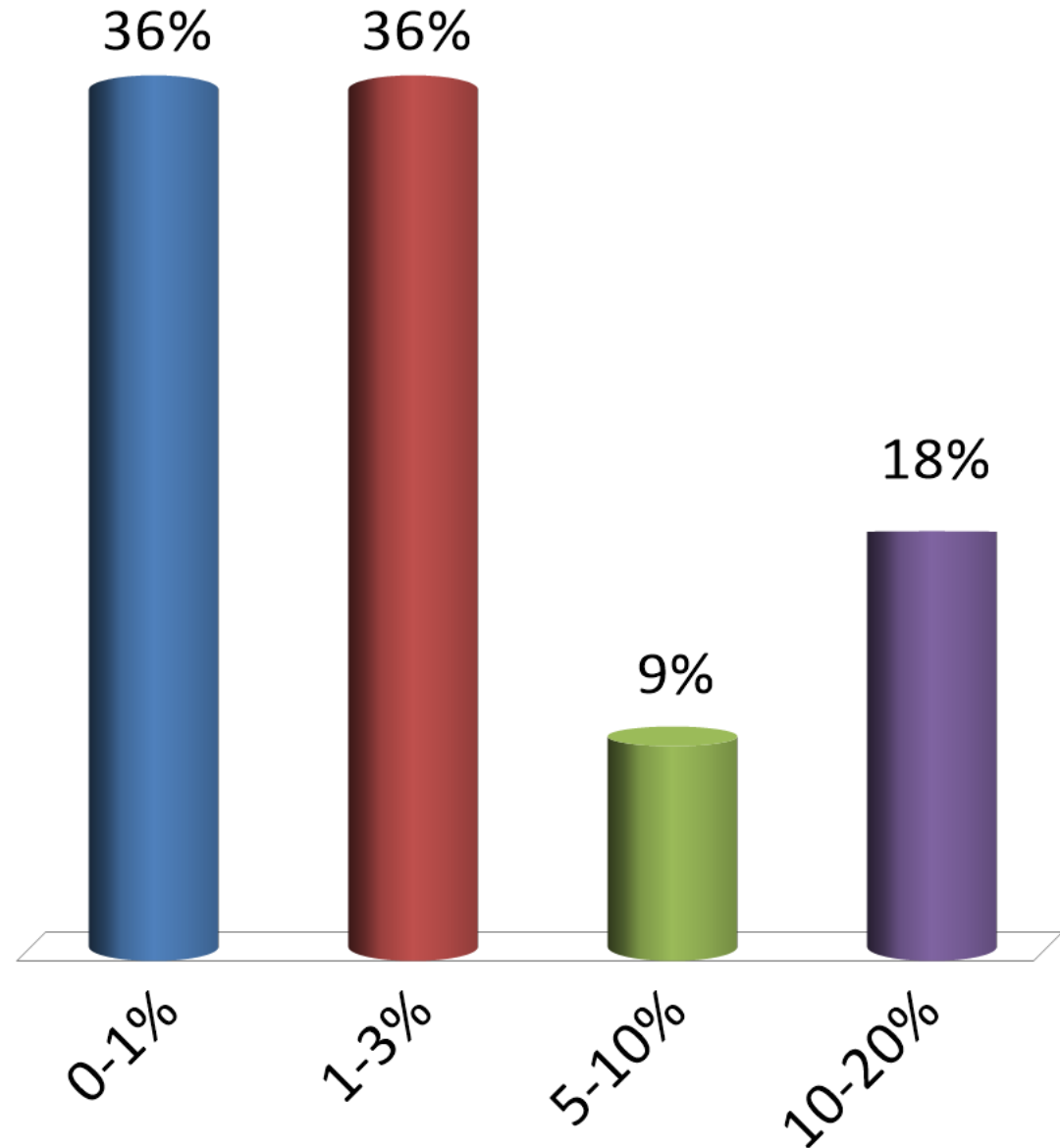
# Content



- Motivation
- Physics of dose deposition
- Dose calculation for photons
  - Model based methods (PBK)
  - Analytical Anisotropic Algorithm and Point Kernel
  - Linear Boltzmann Transport Equation and Monte Carlo Algorithm
  - Comparison of algorithms
- Calculation algorithm and the clinical impact – things to consider when switching
- Dose calculation for protons

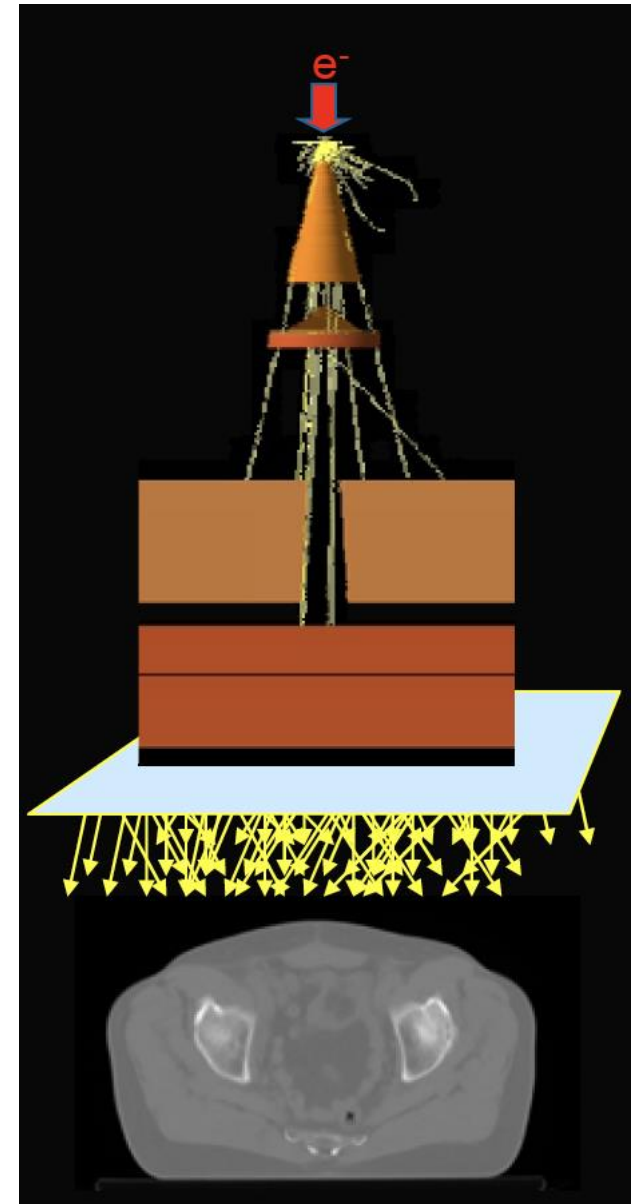
# Which dose deviation is clinically relevant?

- A. 0-1%
- B. 1-3%
- C. 5-10%
- D. 10-20%



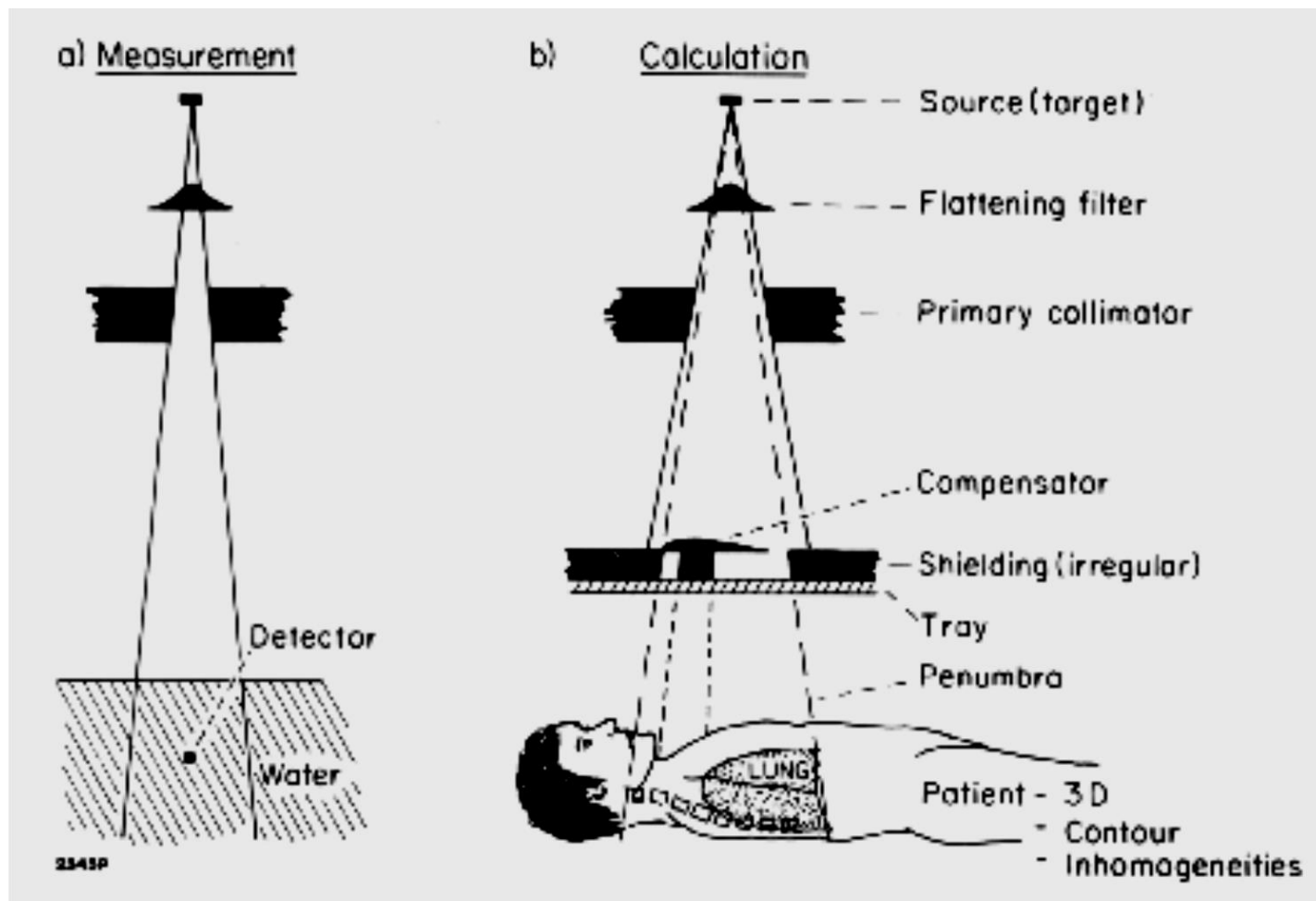
# Motivation

- **accuracy of dose calculations** is crucial to quality of treatment planning and consequently to doses delivered to patients
- evidence exists that dose differences on the order of 7% are clinically detectable. Moreover, several studies have shown that 5% changes in dose can result in 10%–20% changes in tumor control probability (TCP) or up to 20–30% changes in normal tissue complication probabilities (NCTP)
- The problem is:
  - To model the treatment machine (**source models** or **MC**)
  - To model dose deposition in patient





# Relate dose calculation in patient to beam calibration conditions



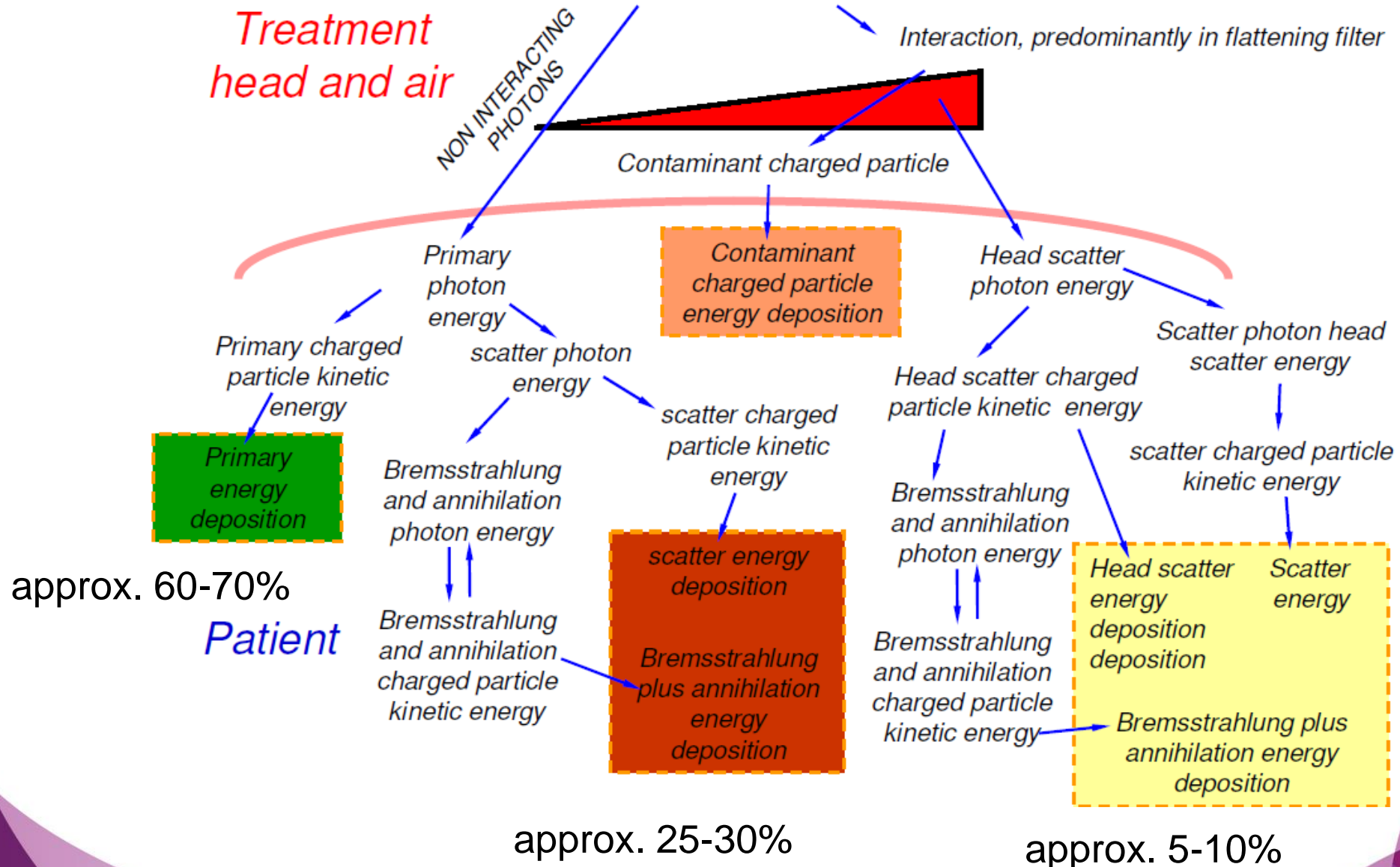
# Expectations

- *More demanding treatment techniques as well as more complex delivery techniques require more accurate and predictive dose calculations.*
- ICRU 83 recommendation:
  - RTP systems must estimate absorbed dose accurately for:
    - Small fields
    - Tissue heterogeneities
    - Regions with disequilibrium
      - especially high energy photons



# Complexity of dose calculation

## Photon radiant energy exiting the target



# Physics considerations

## SCATTER SOURCES

primary collimator

flattening filter

collimator scatter

(secondary coll., blocks, MLC)

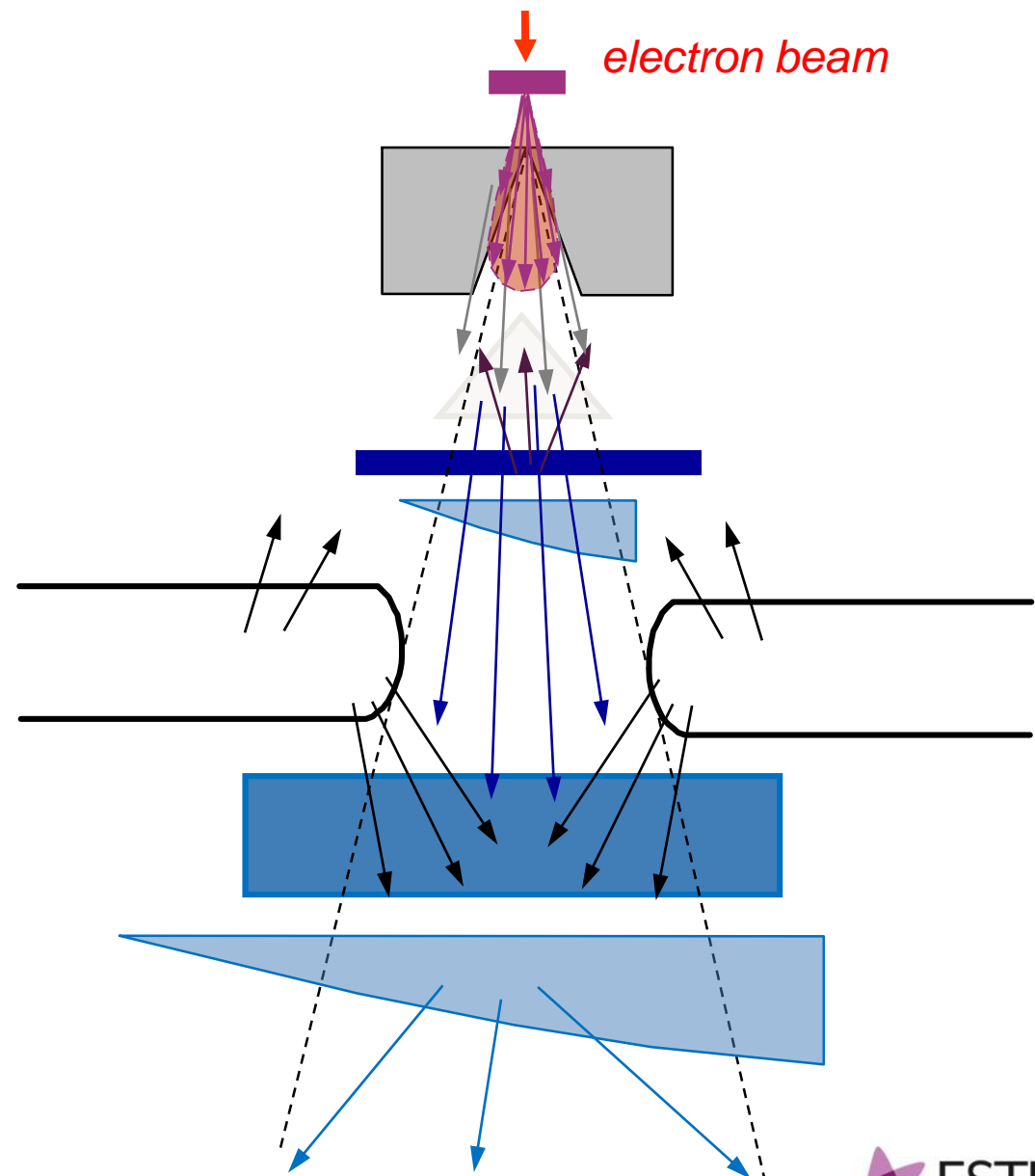
backscatter into monitor chamber

wedges, compensators

blocks, trays, .....

□ **all effects together determine  
the incident energy fluence**

$\Psi_0$  !!!





# X-Rays: Energy Deposition in a Nutshell

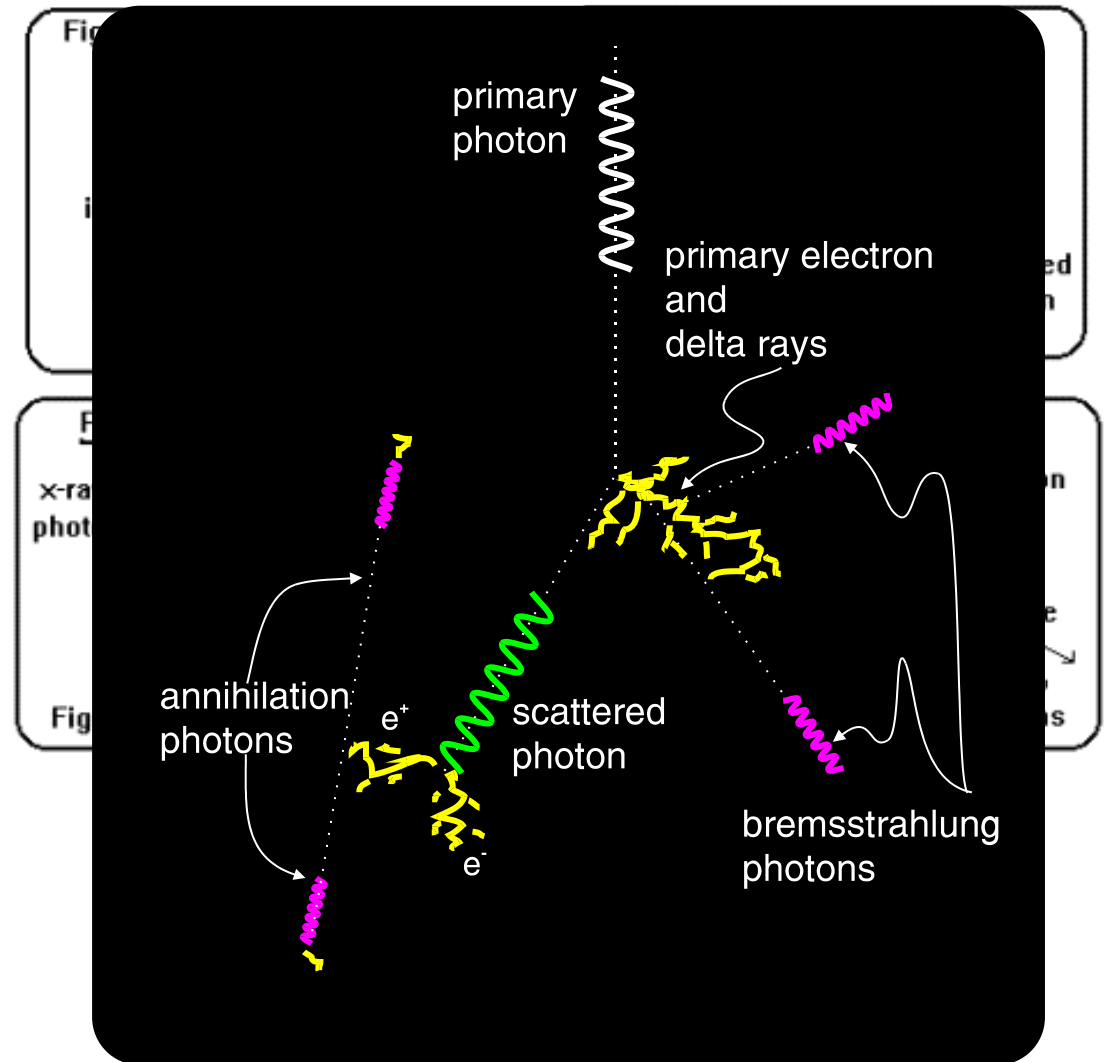
X rays do ionize *indirectly*.

On interaction, energy is scattered or transferred to electrons, then absorbed.

Biological effect depends on the amount of energy absorbed (*dose*).

**Tracking electrons is highly important** for accurate dose calculations.

One treatment (2 Gy) requires  $\sim 10^{8-9}$  incident x rays per  $\text{mm}^2$ .



# Dose Calculation Methods



Absolute Calibration  
in water

Relative Distribution in water

Tabulate & Interpolate

Model & fit parameters to emulate  
measurements

Reconstitute distribution in water by  
distance, depth, & field size

Compute dose directly from beam  
geometry & CT images

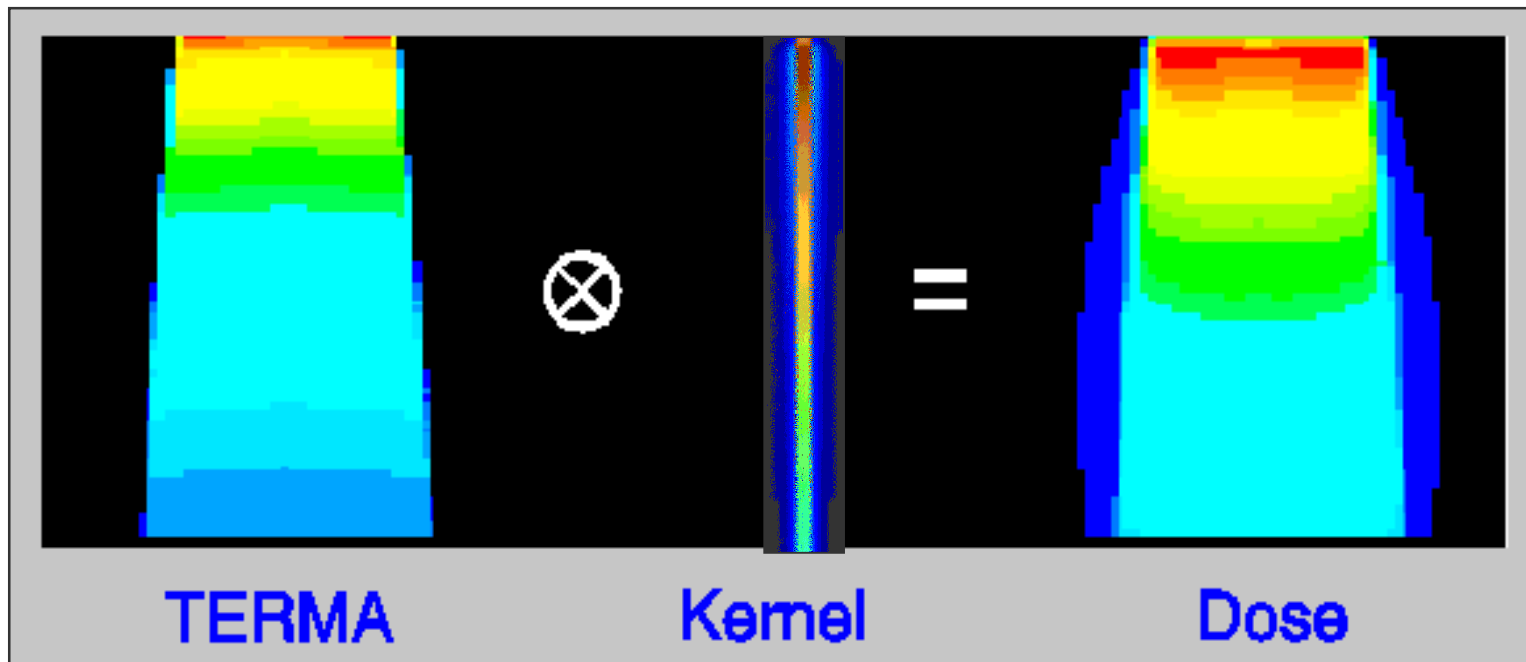
Apply correction factors (inhomogeneity,  
contour)

***“Correction” based methods***

***“Model” based methods***

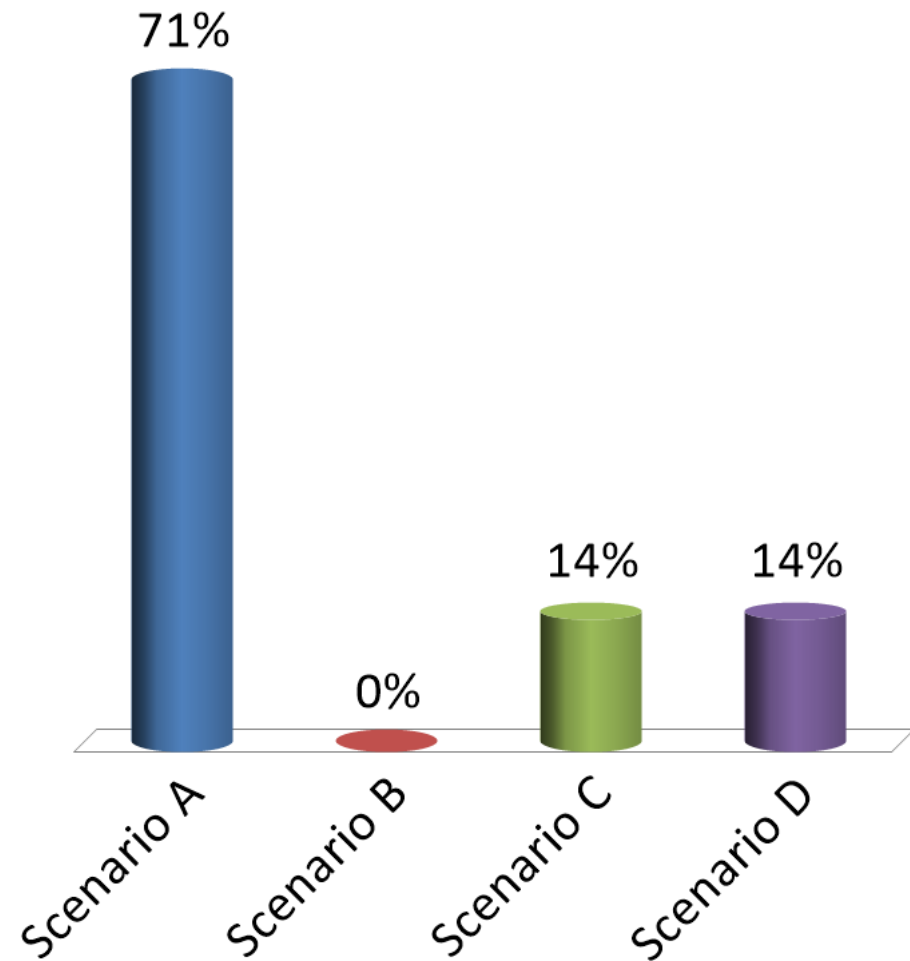
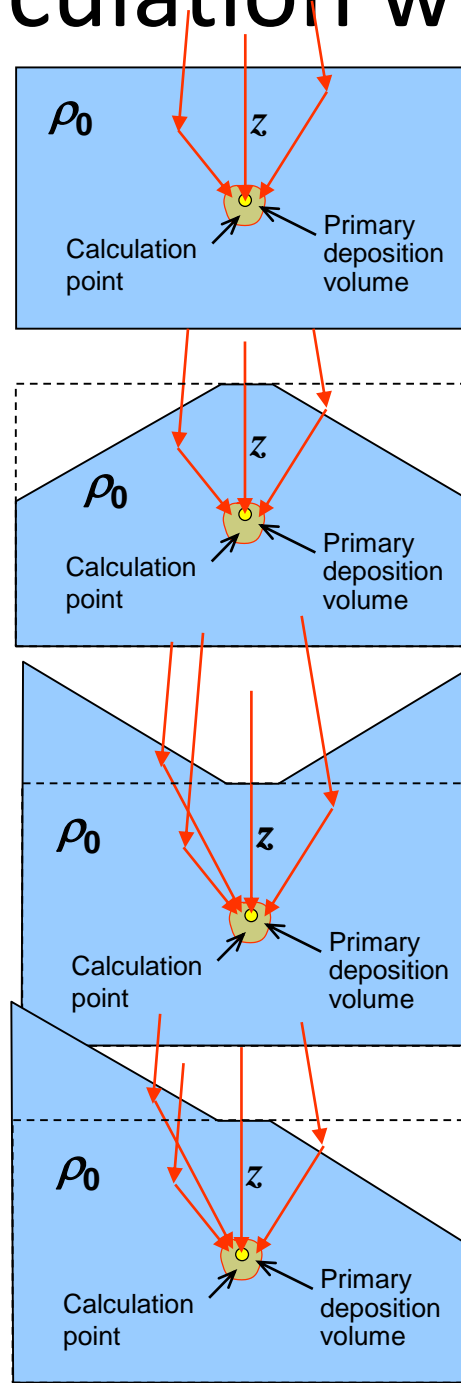
# Convolution – Pencil Beam Kernel

$$D(x, y, z) = \iint F(x', y', z) K_z(x - x', y - y') dx dy$$



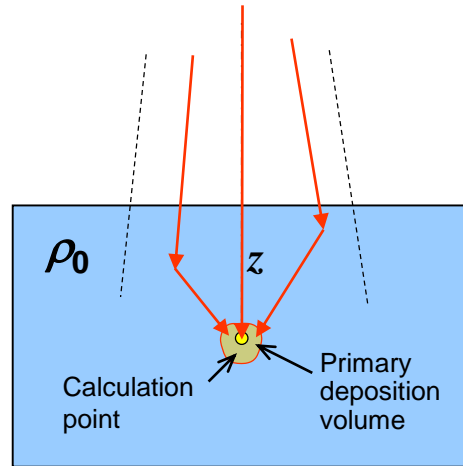
# Correct calculation with a PB algorithm?

- A. Scenario A
- B. Scenario B
- C. Scenario C
- D. Scenario D

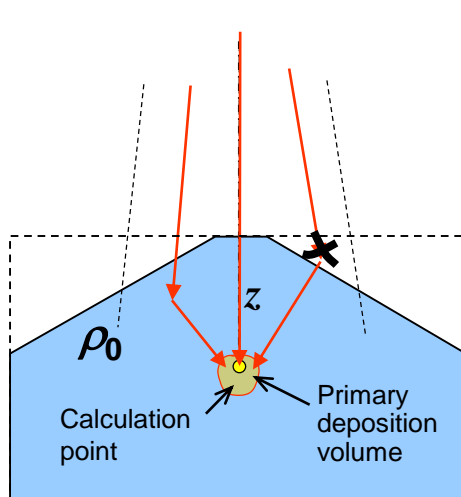


# Pencil beam kernel

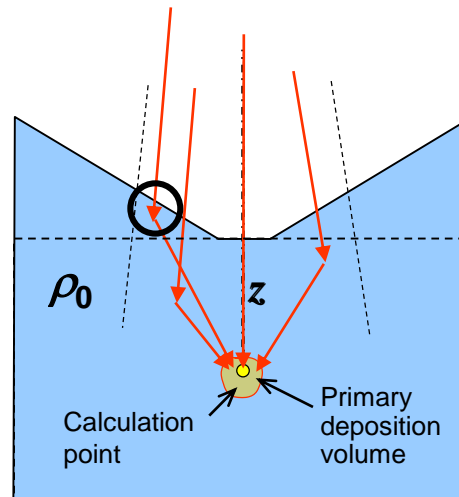
## Calculation object approximations



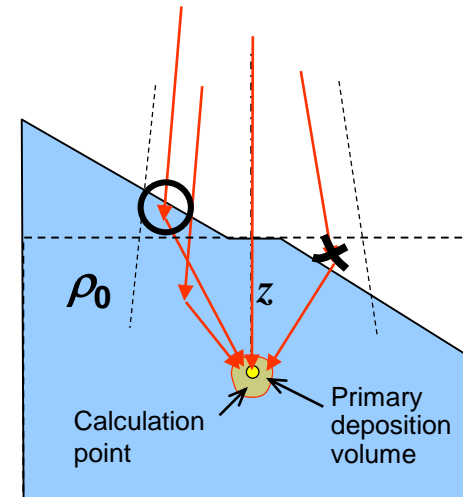
The depth ( $z$ ) is generally assumed to be constant within the lateral integration plane during calculation of the scatter dose to a point.



Scatter overestimated



Scatter underestimated

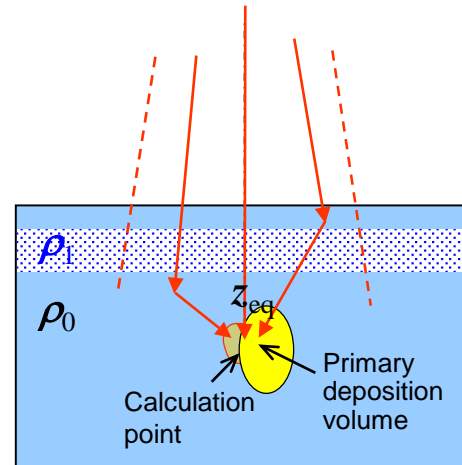


Errors cancel (roughly)

# Pencil beam kernel

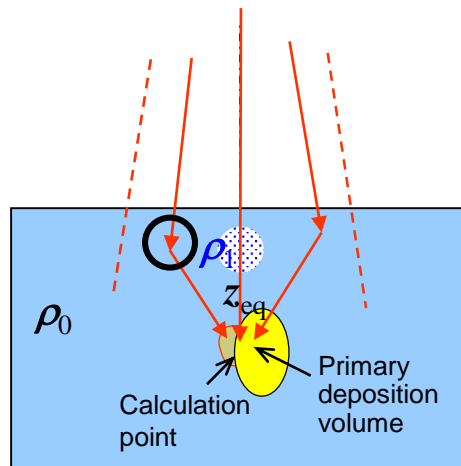
## Calculation object approximations with heterogeneities

$\rho_1$  illustrates a low density region, e.g. lung tissue.

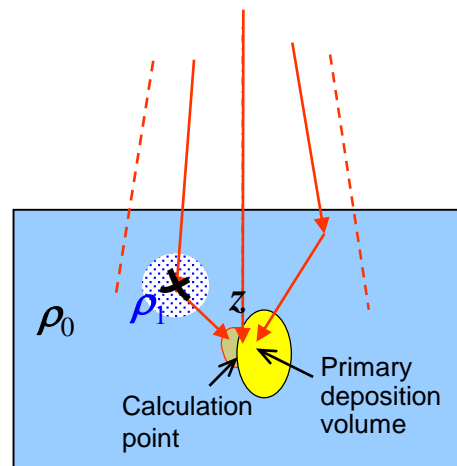


Heterogeneous slab phantom

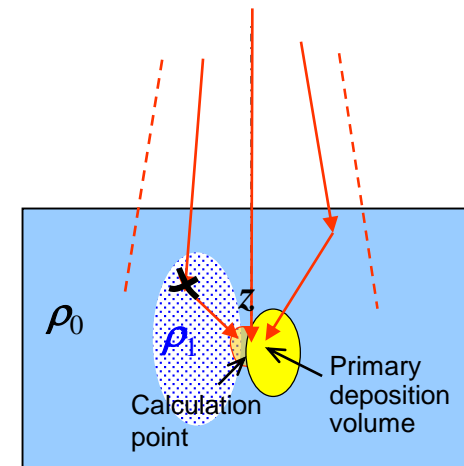
Effects of heterogeneities are generally modelled in pencil kernel algorithms through depth scaling along rayline (and no lateral scaling). Correct handling of heterogeneities requires proper 3D modelling of the secondary particle transport.



Scatter underestimated



Scatter overestimated



Scatter and primary overestimated

# Analytical Anisotropic Algorithm (AAA)

superposition of pencil beams, which are modified/scaled anisotropically based on tissue electron densities (3D PB kernel)

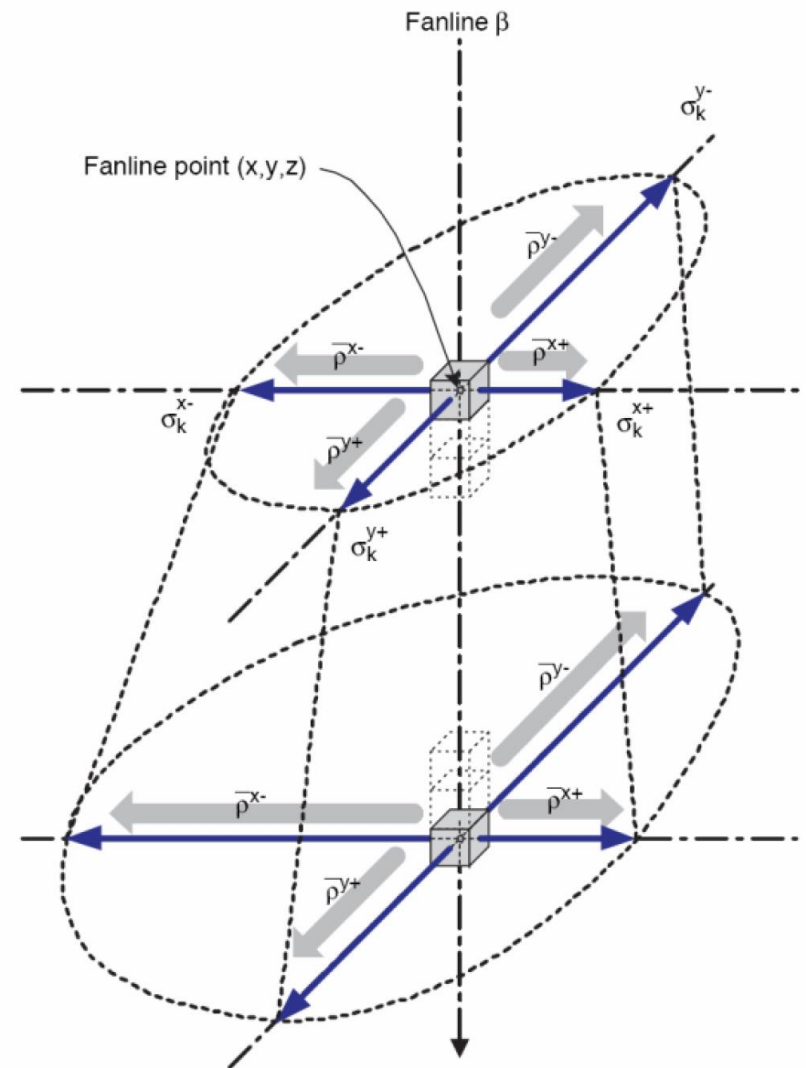
- PB separated into depth-directed (total energy deposited by the pencil beam) and lateral components (sum of N radial exponential function)

Build up and down correction needed

source model for

- Primary photon source
- extra-focal source for photons scattered in accelerator head
- electron contamination source

- Reduced computation time

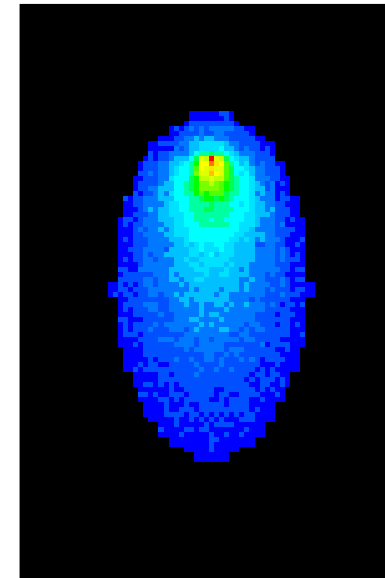
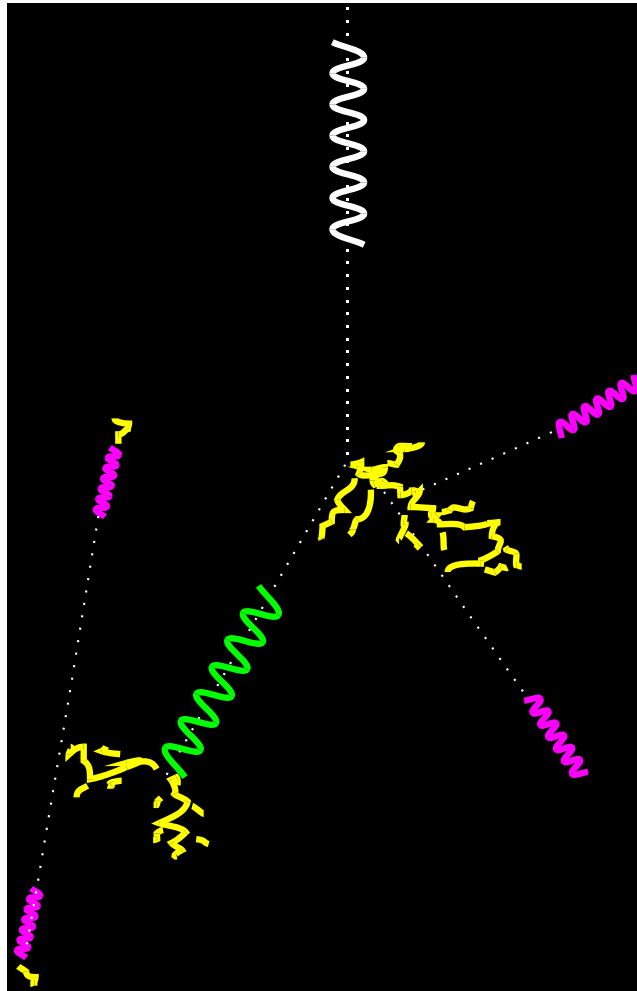


# Dose Spread Point Kernel



Mackie *et al*, PMB 33(1) (1988).

**Average energy deposition pattern  
( $10^6$  interacting photons)**



**One incident photon interacts at a point**





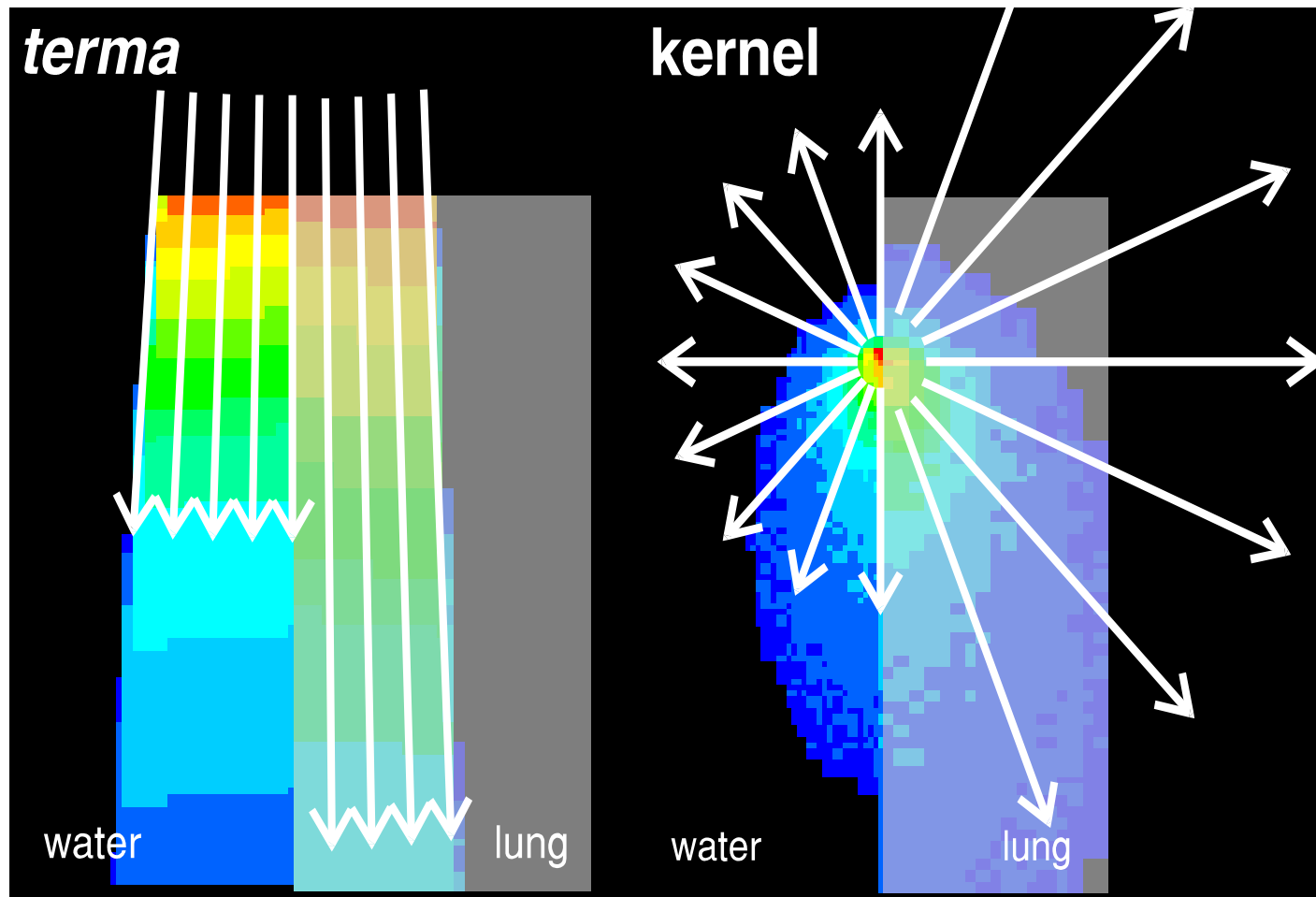
$$D(\vec{r}) = \iiint \phi(\vec{r}') K_{3D}(\vec{r}', \vec{r}) d^3r$$

Ideal  
Conditions  
Only

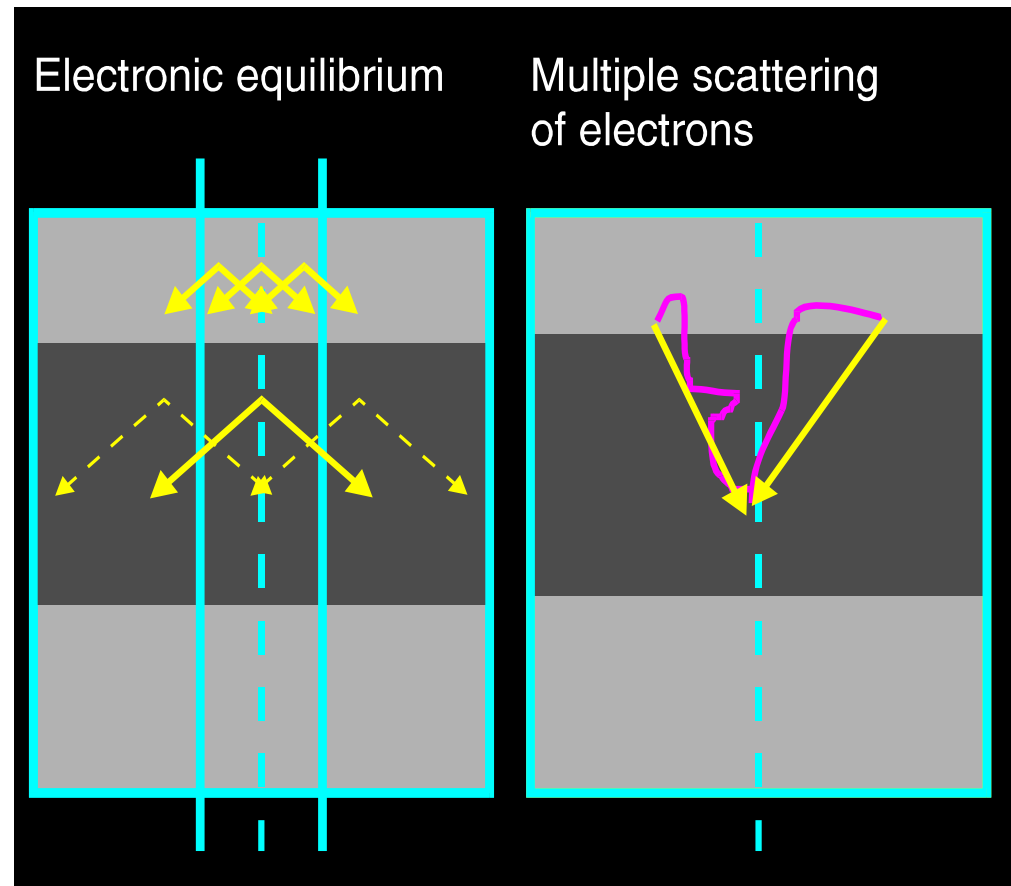
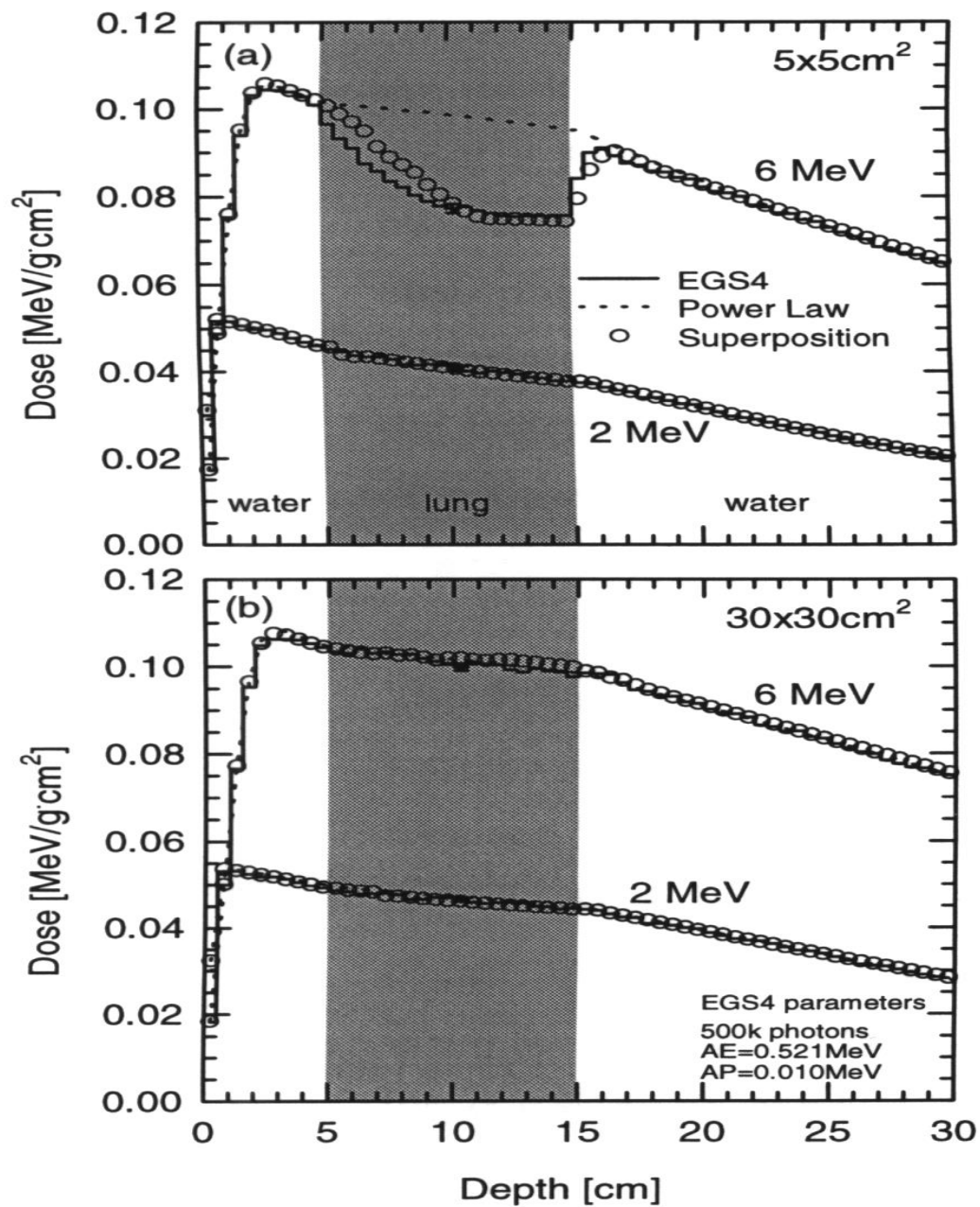


# Density Scaling Approximation

TERMA and kernel are computed for water and scaled by the average density computed along raylines.



# Electronic Disequilibrium



# Deterministic linear Boltzmann transport equation (D-LBTE) algorithm

- Model based approach have problem to account for the **effect of electron transport - secondary electron transport** only modeled macroscopically by **scaling of kernels**
- **LBTE** is the governing equation that describes the macroscopic behavior of ionizing particles as they travel through and interact with media

$$\hat{\Omega} \cdot \vec{\nabla} \Phi^\gamma + \sigma_t^\gamma \Phi^\gamma = q^{\gamma\gamma} + q^\gamma,$$

$$\hat{\Omega} \cdot \vec{\nabla} \Phi^e + \sigma_t^e \Phi^e - \frac{\partial}{\partial E} (S_R \Phi^e) = q^{ee} + q^{\gamma e} + q^e$$

- system of the **coupled LBTE is solved** to determine the **energy deposition of photon and electron transport**
- once the **electron angular fluence** is solved, the dose in any region,  $i$ , of the problem may be obtained through the following

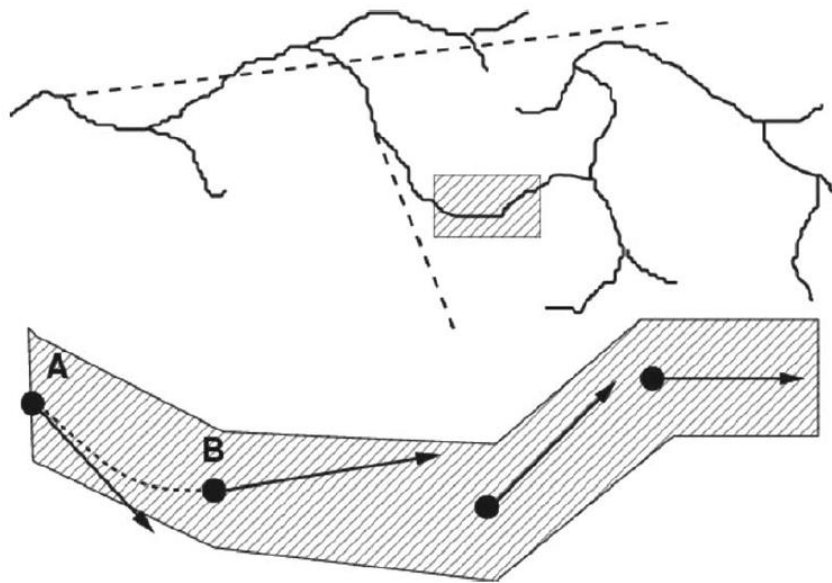
$$D_i = \int_0^\infty dE \int_{4\pi} d\hat{\Omega} \frac{\sigma_{ED}^e(\vec{r}, E)}{\rho} \Phi^e(\vec{r}, E, \hat{\Omega})$$

- Commercialized as Acuros XB

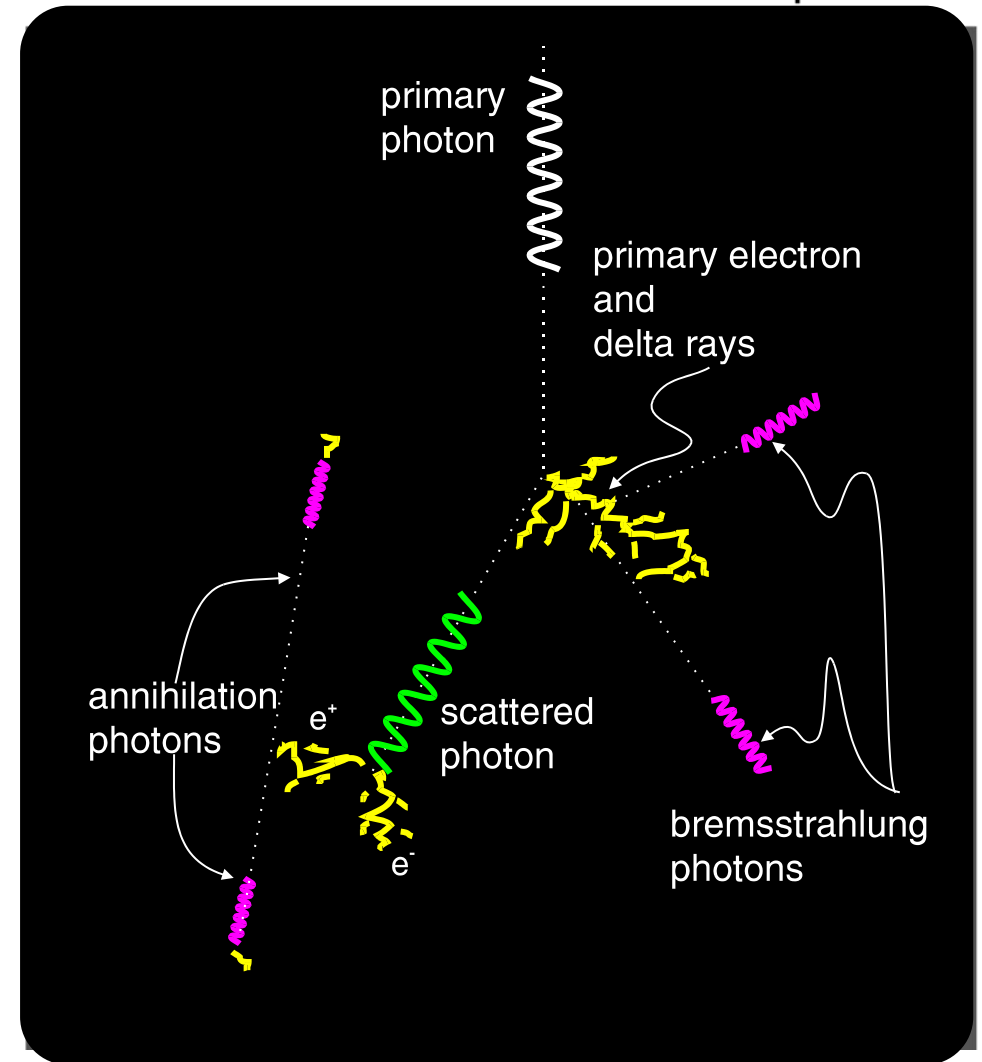
# Monte Carlo Simulation



- developed and named at the end of the second world war. The motivation was to apply MC techniques to radiation transport, specifically for nuclear weapons.
- Uses photon & electron transport physics
- **Condensed history** simulation to speed up



## Monte Carlo Simulation Example

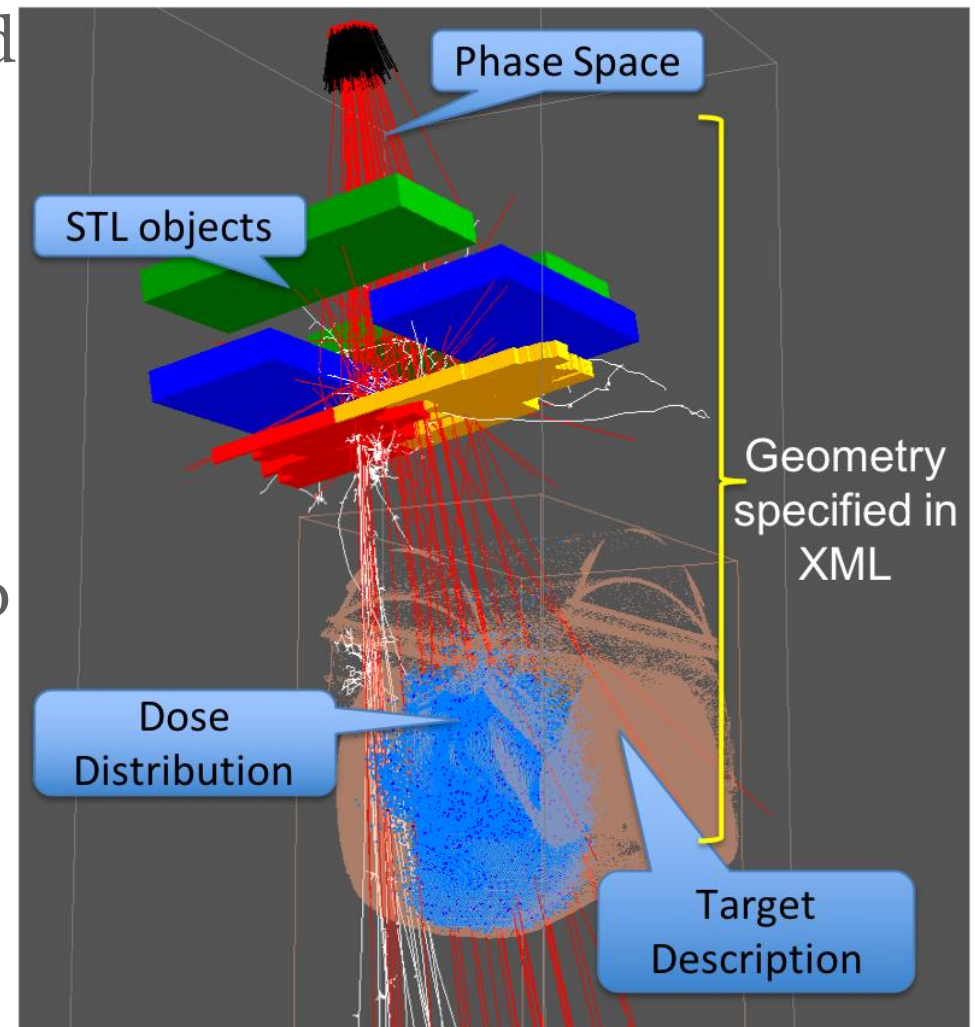


radialis.com

# Monte Carlo Simulation

- More efficient by performing the simulation of patient-independent structures and to store what is called a **phase-space file** → can be reused as often as necessary
- **Variance reduction** techniques (low interest particles like electrons created from photon interactions in treatment head are eliminated with a given probability) help to speed up
- Parallelization via GPU improves speed as well
- Example codes are: EGS, ITS, PEREGRINE (first FDA approved), VMC (Monaco, PrecisePlan, iPlan), MCNP, PENELOPE, GEANT4

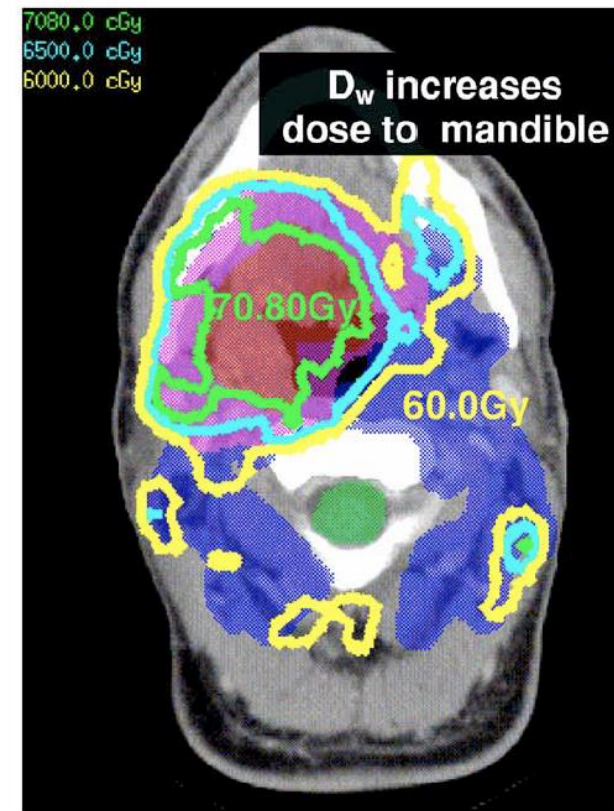
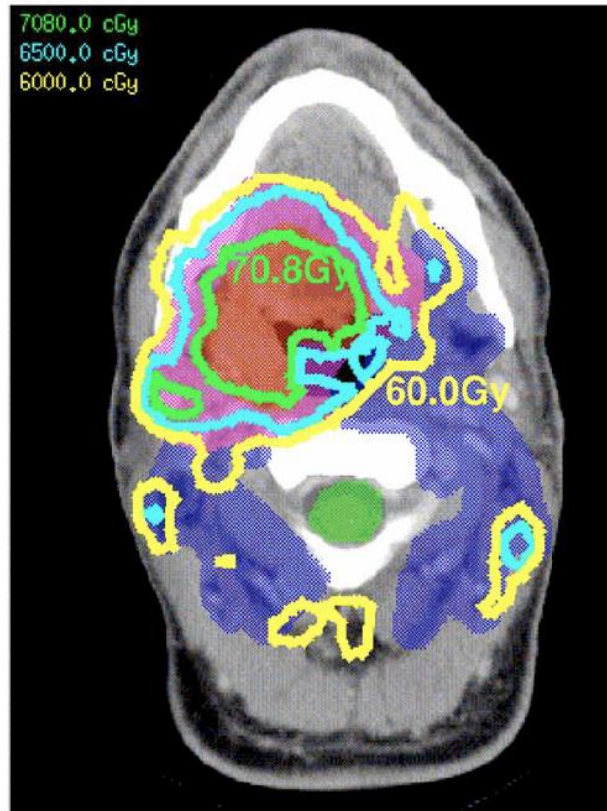
Monte Carlo Simulation Example



# Monte Carlo - $D_w$ vs $D_m$



- MC per nature **delivers  $D_m$**
- For higher density materials, such as cortical bone, the difference in dose can be as large as 15%
- To use MC simulation in the current clinical practice so as to be able to **compare  $D_m$  with historical  $D_w$**  results, requires a **conversion of  $D_m$  to  $D_w$**  for dose prescriptions, isodose coverage, dose-volume histograms
- converted  $D_w$  represents the dose to a small volume of water embedded in the actual medium

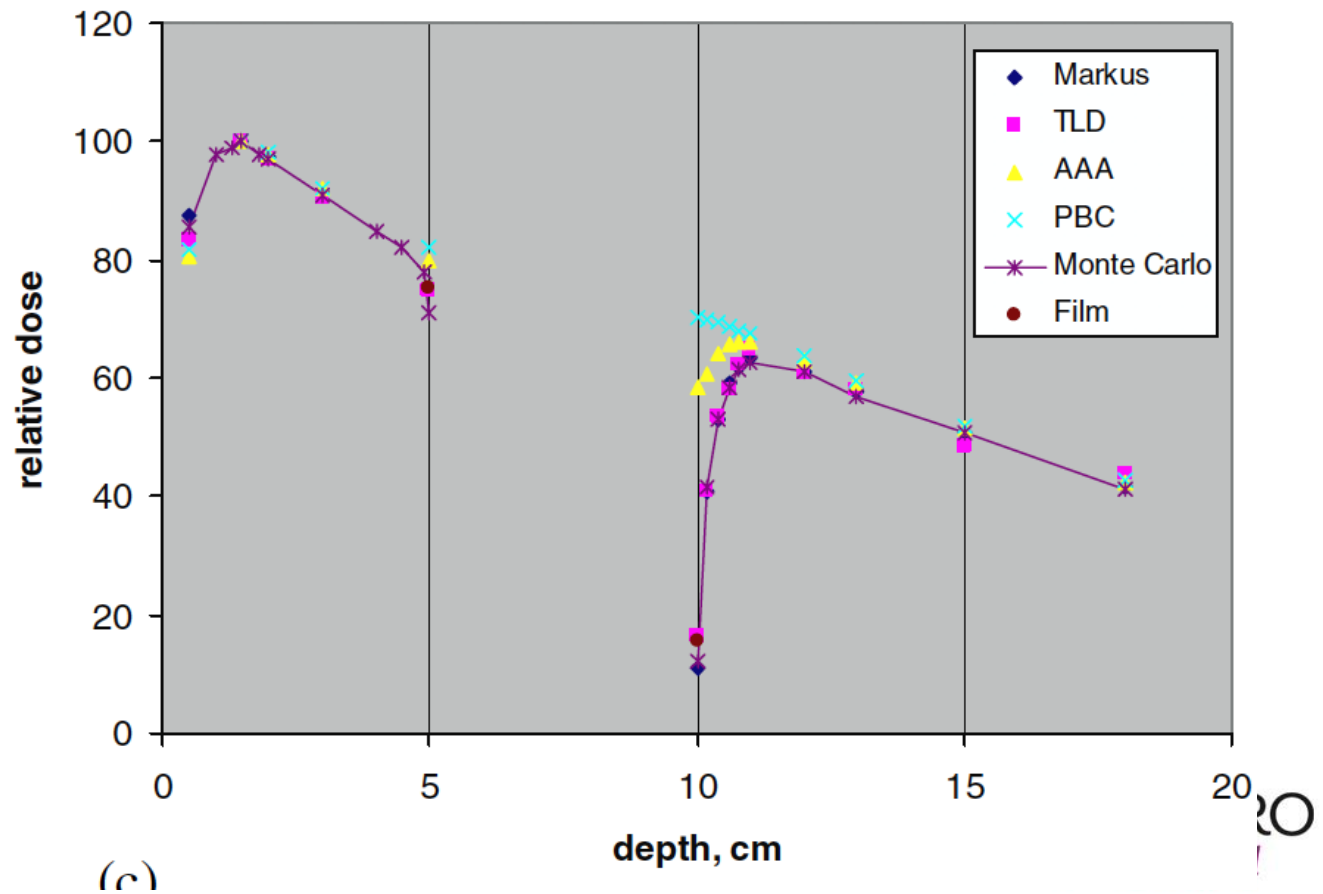


# Analytical Anisotropic Algorithm (AAA)

2x2 cm<sup>2</sup> field with 6MV at air-cavity phantom

AAA overestimates dose (5-8%) near air-tissue interface when small beam segments are used with the presence of large air cavities.

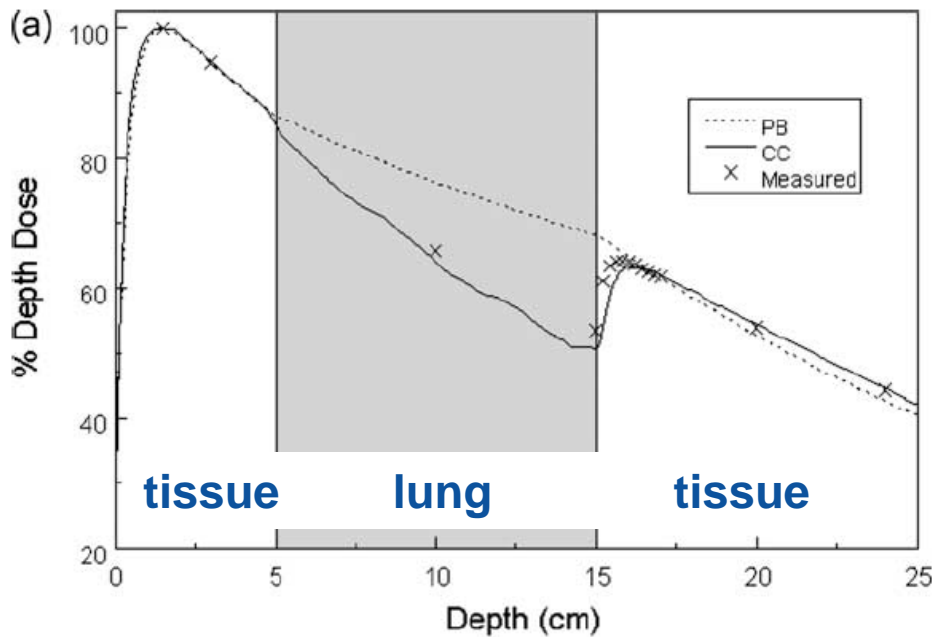
Kan – PMB 2011



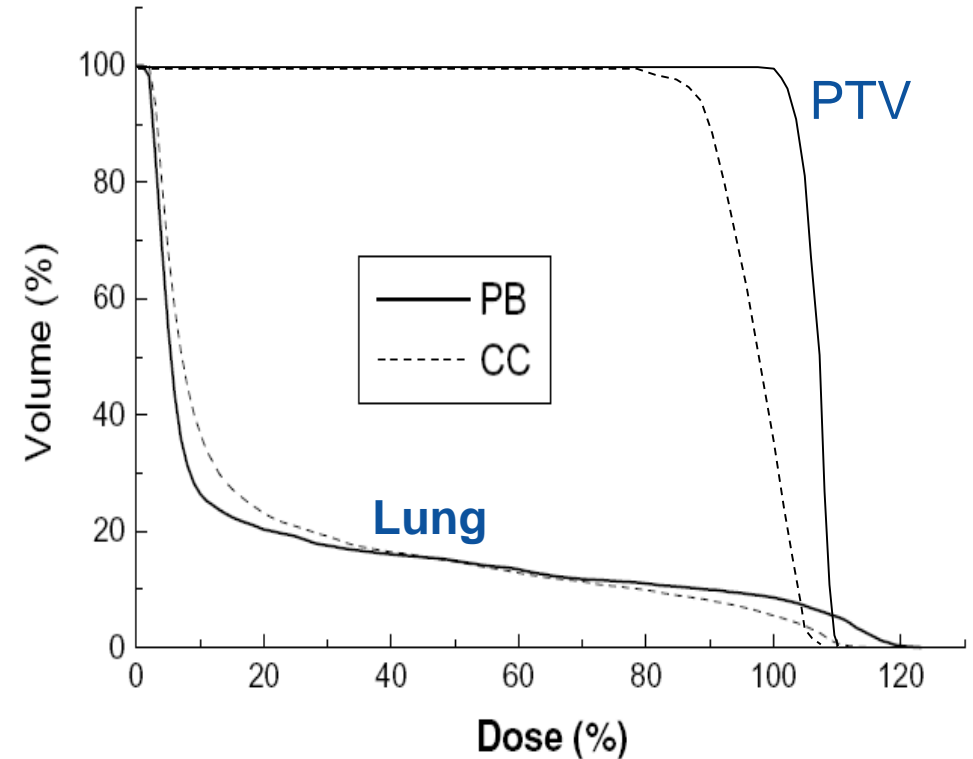


# Clinical impact of dose calculation

- E.g. inaccurate dose calculation in low density regions (lung)

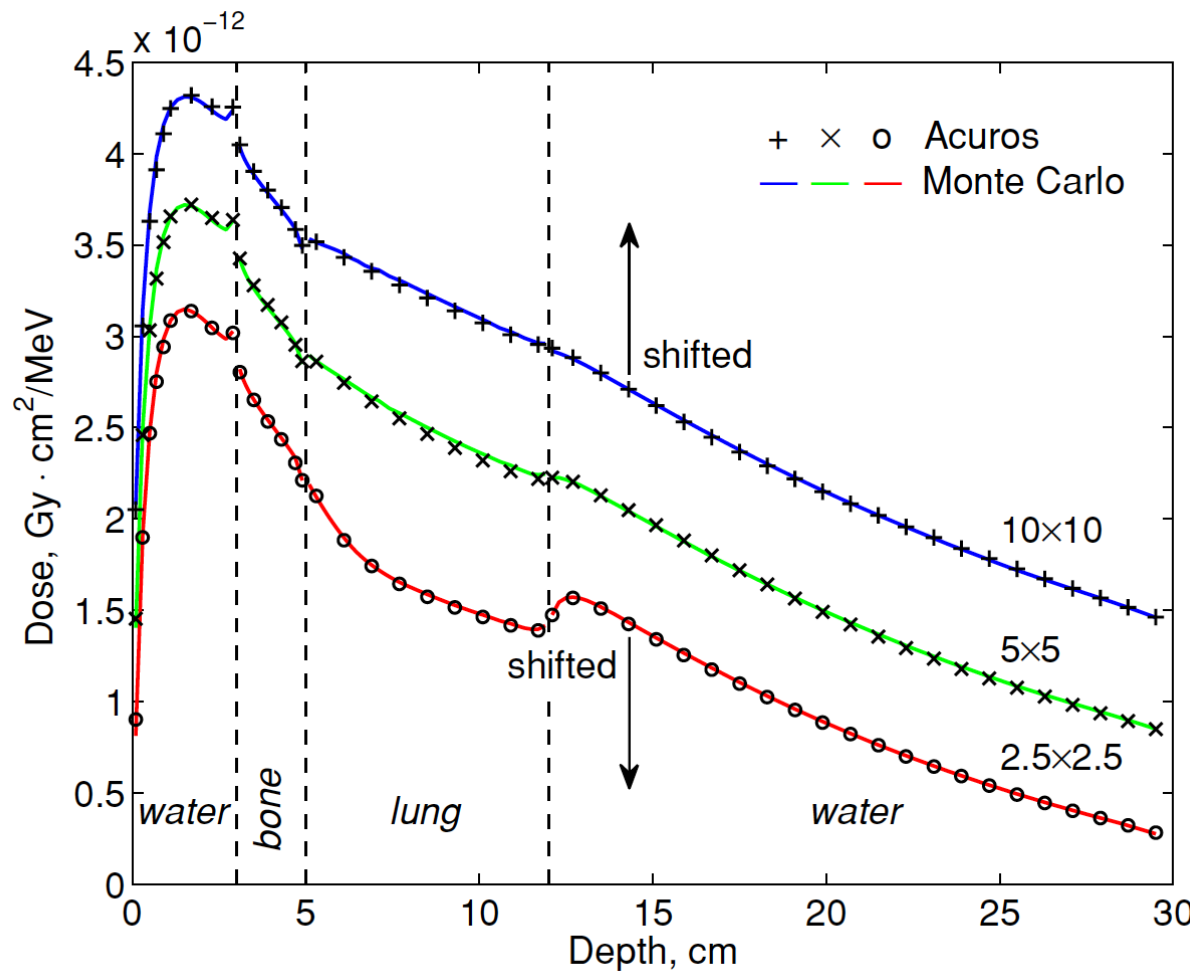


Nisbet *et al* RadOnc 73 (2004) p79  
TMS



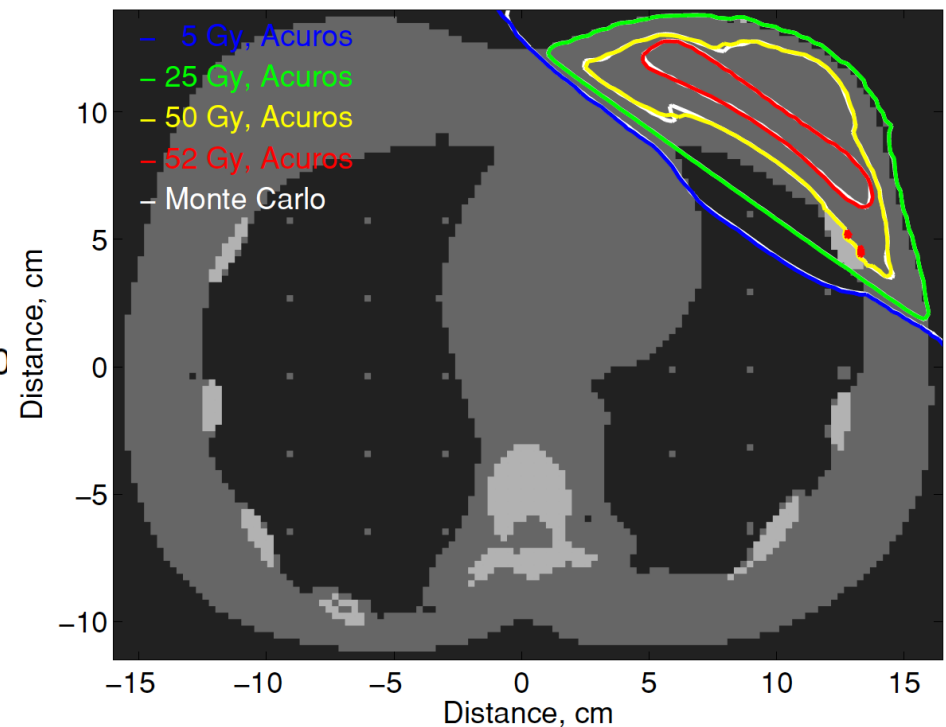
Irvine *et al* ClinOnc 16 (2004) p148

# Deterministic linear Boltzmann transport equation (D-LBTE) algorithm



Vassiliev *et al* PMB 55 (2010) 581

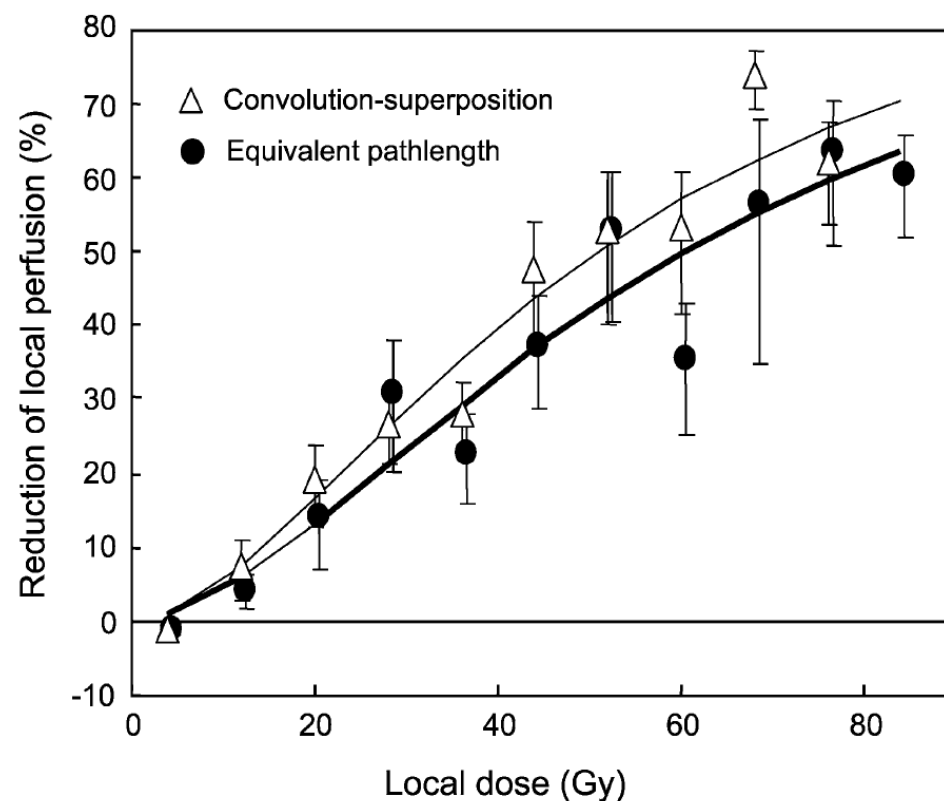
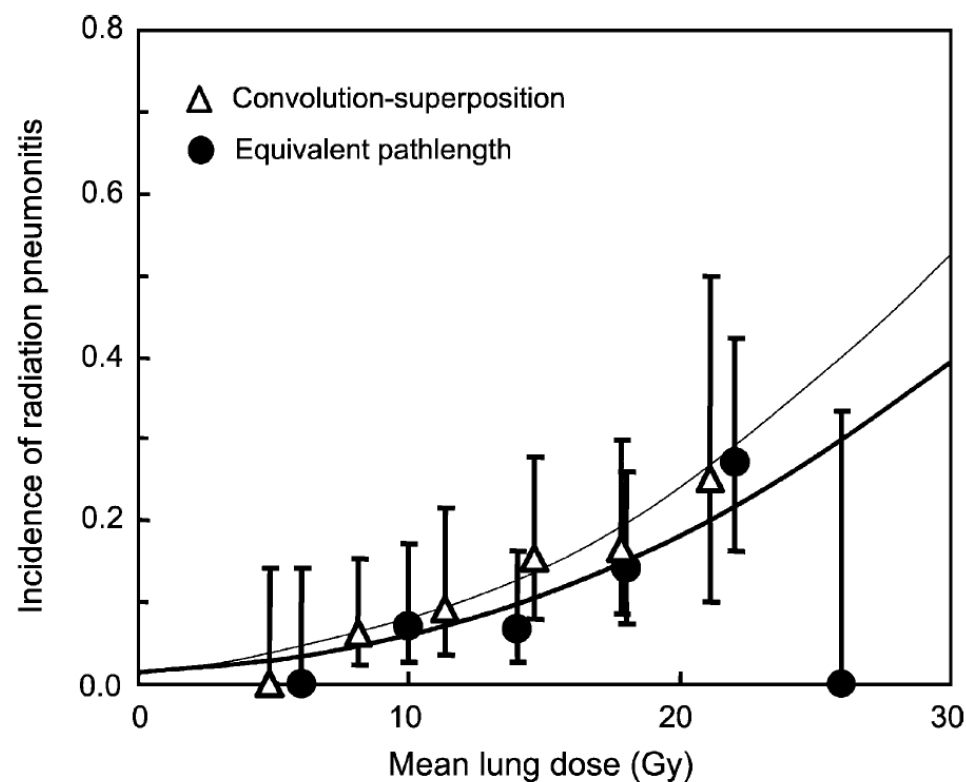
- For 6MV maximum relative differences between Acuros and Monte Carlo were less than 1.5% (local dose difference) and 2.3% for 18MV
- excellent agreement between both Acuros and Monte Carlo



# Clinical Impact - Conversion



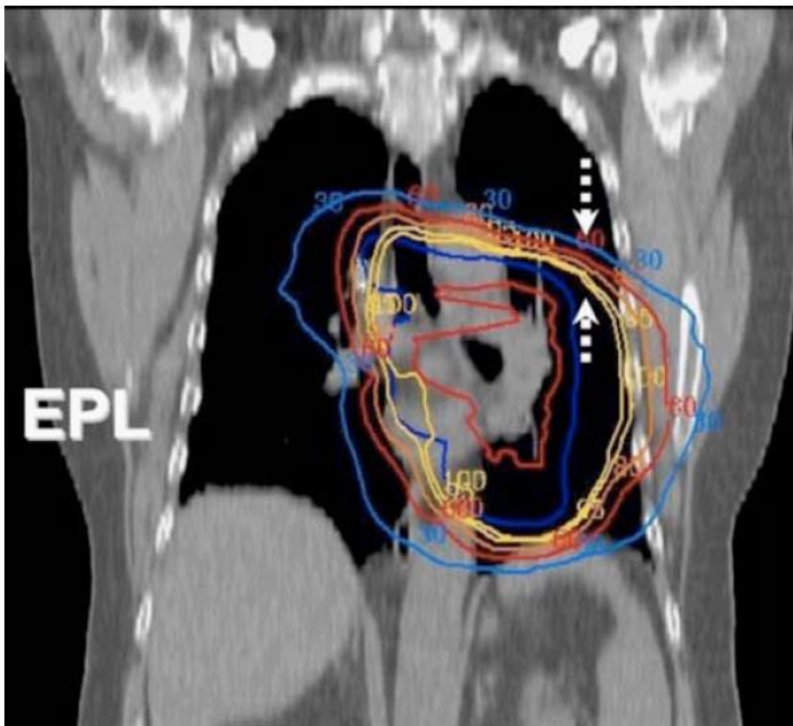
- PB Algorithm is not able to account for the electron transport in lung tissue → underestimate penumbra width and overestimate dose to the lung
- Dosimetric parameters for lung injury (like the MLD and V20) calculated with the two algorithms, are strongly correlated thus allowing a straightforward conversion of these parameters.



# Clinical Impact



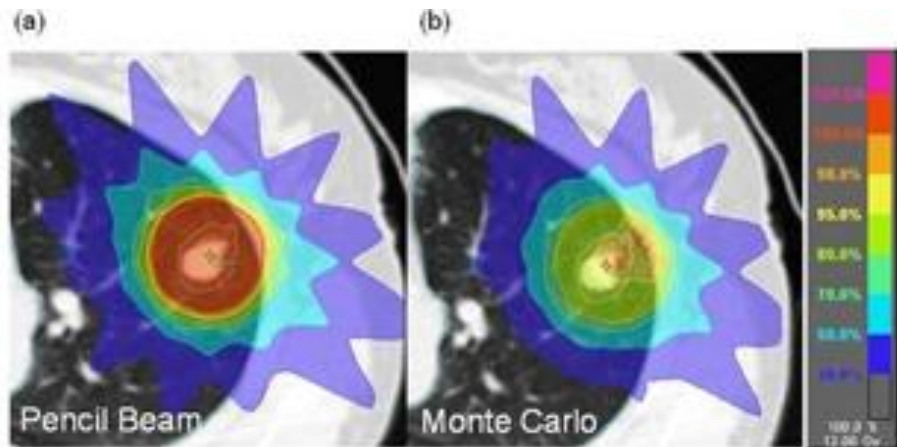
- MC method is likely to add a higher degree of accuracy to the dose-effect relationships.
- To address clinical impact of more accurate dose calculation can be done by using retrospective dose assessments of already existing local tumor control and normal tissue complications, using doses recalculated with MC algorithms.



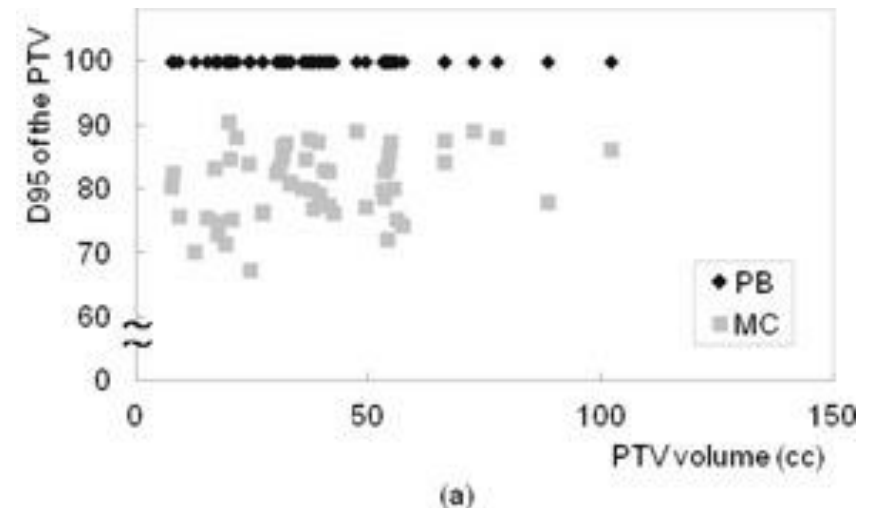
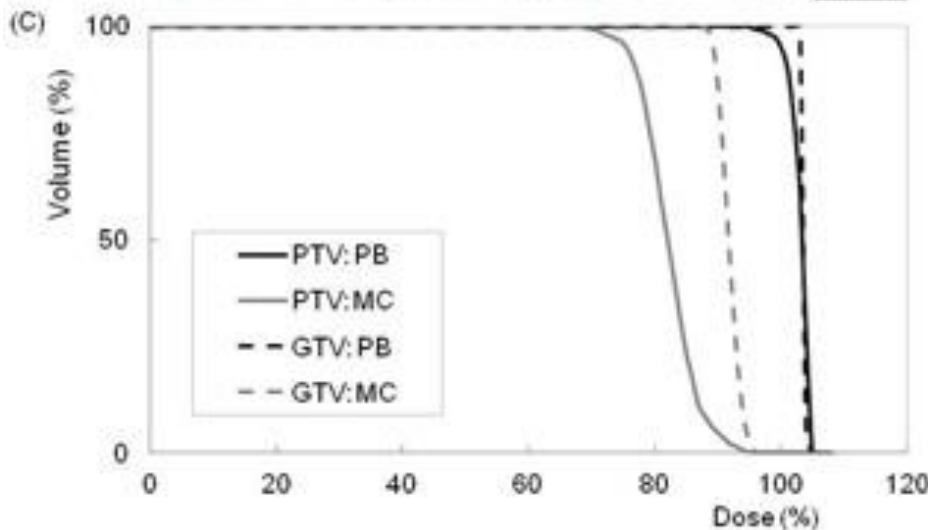
# SBRT of lung tumor – PB vs MC



- Impact of algorithm on dose prescription

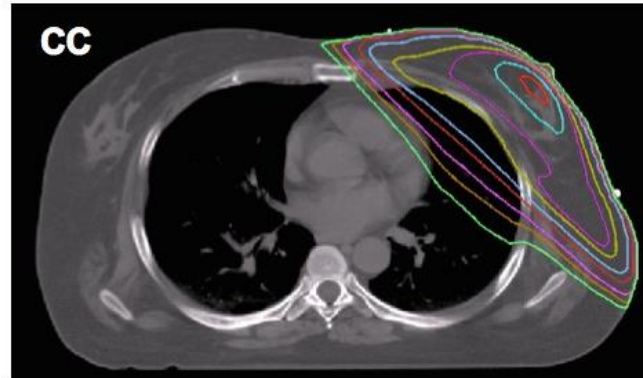
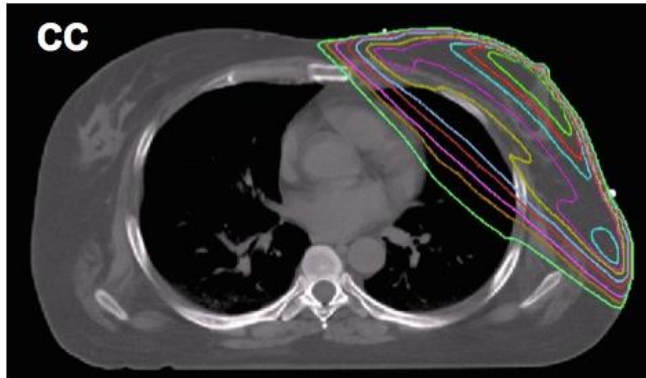
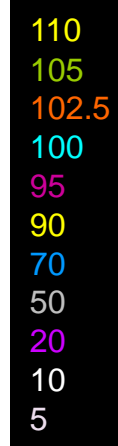
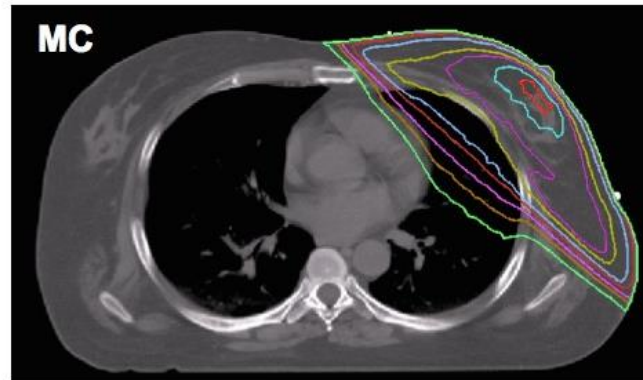
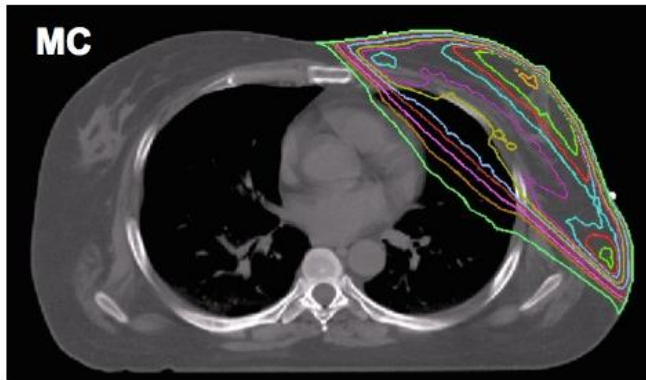


- Decrease in dose to the target for MC
- $D_{95}$  of PTV



- Need to be cautious for multicenter clinical trials

# Breast Tangent Example



**6 MV**

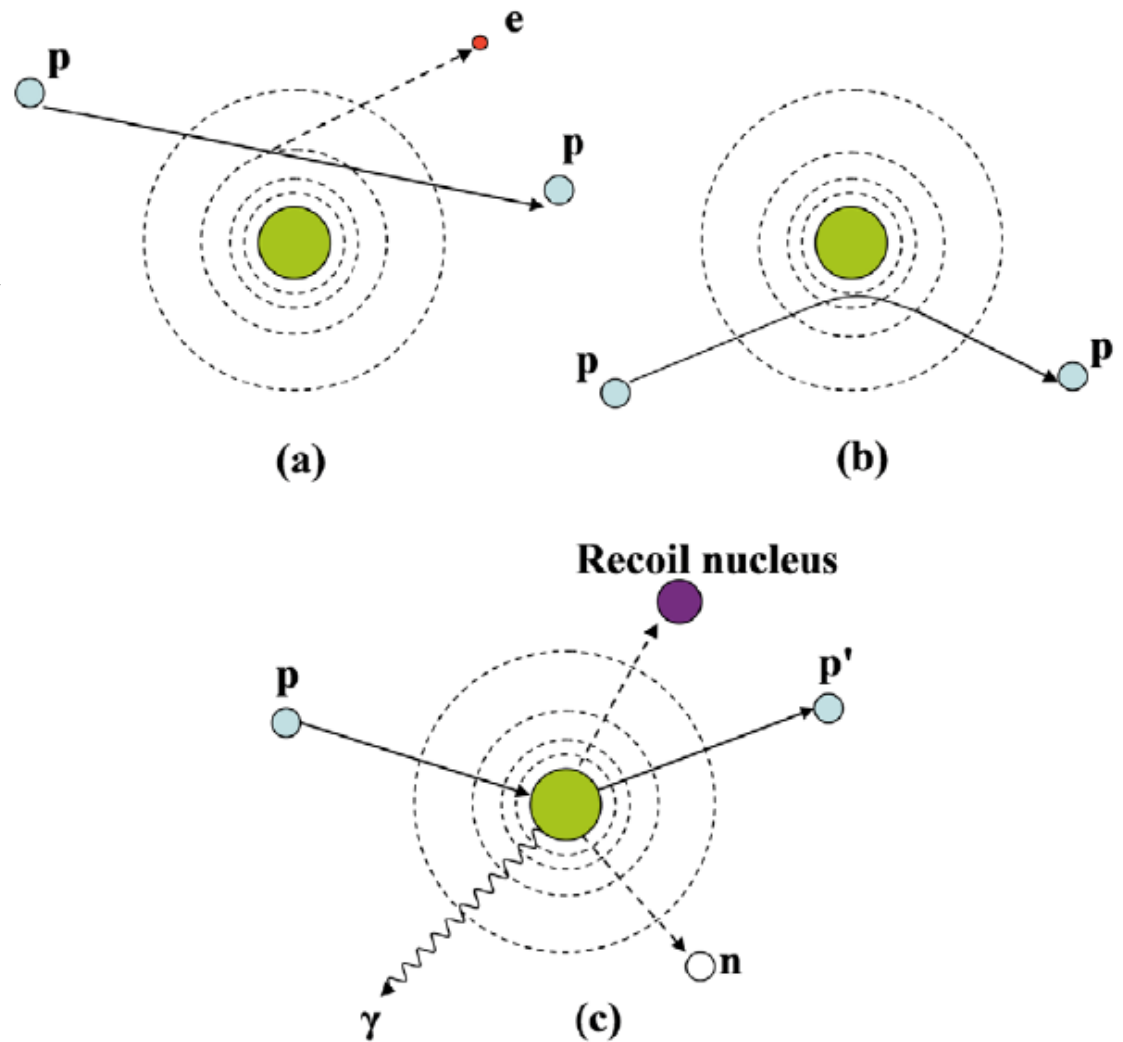
**18 MV**

# Proton interaction mechanism

Energy loss via **inelastic Coulomb interaction with electron**

deflection of proton trajectory by repulsive **Coulomb elastic scattering with nucleus** (small angle – Multiple Coulomb Scattering, large angle)

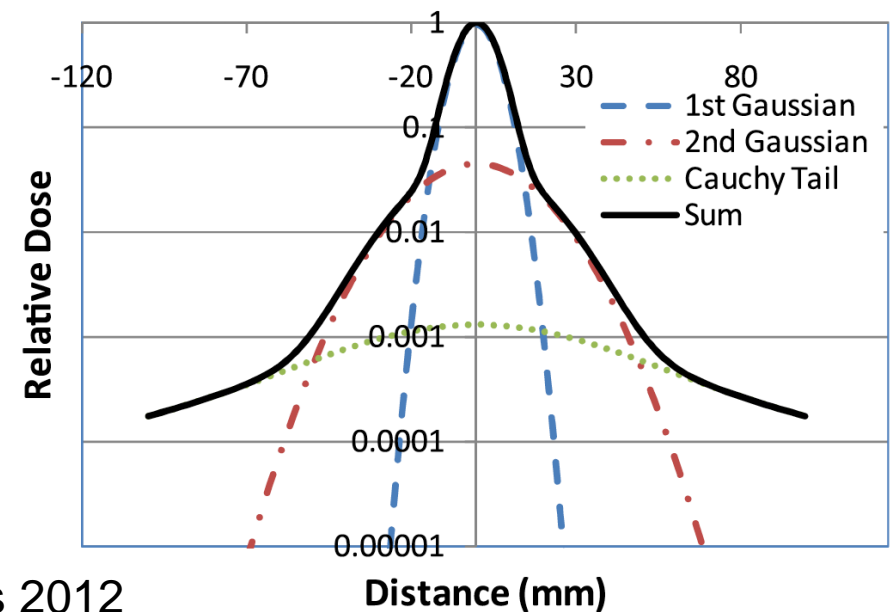
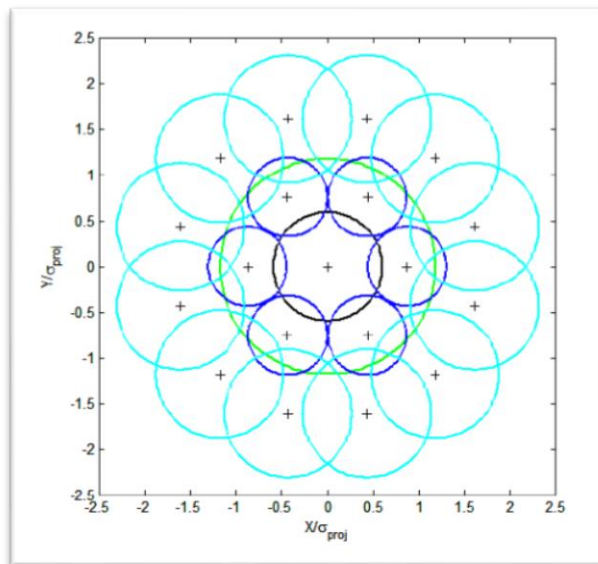
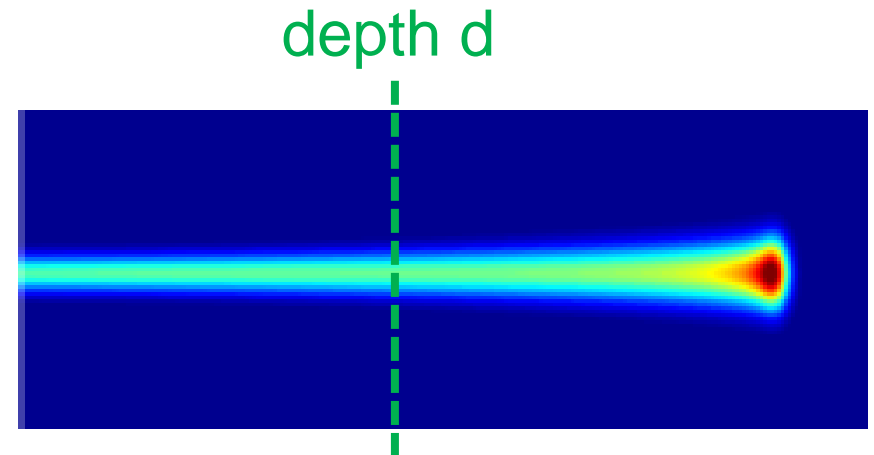
removal of primary proton and creation of secondary particles via non-elastic **nuclear interaction**



# Analytical proton dose calculation

- $D(x, y, z) = I(d(z)) \times LAT(x, y, d(z))$
- $I(d)$  is integral depth dose
- $LAT(x, y, d)$  is lateral dose profile
- Lateral has two components

- Multiple Coulomb Scattering (1<sup>st</sup> and 2<sup>nd</sup> Gaussian)
- Nuclear Interaction (Halo) due to large angle inelastic nuclear fragments (3<sup>rd</sup> Gaussian)
- Usually multiple sub-PB



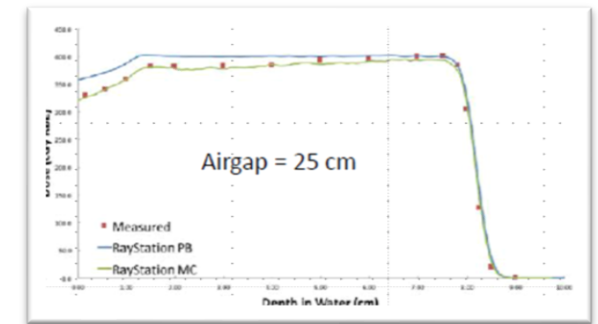


# Why switch to MC dose computation?

PB algorithm (especially in combination with range shifter) inaccurate for two reasons:

## ➤ Nuclear halo effect

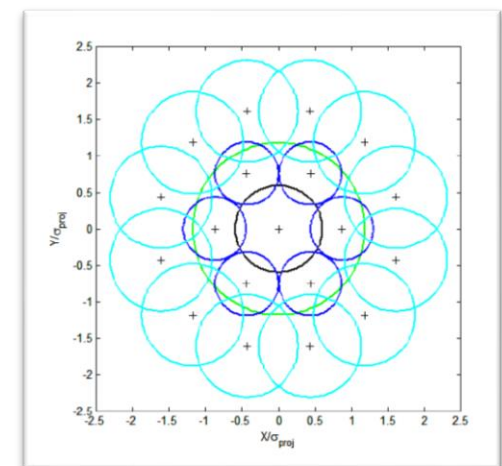
- Each pencil beam is modelled by 2 Gaussians (MCS, nuclear halo)
- Lack of handling nuclear halo properly within the range shifter, then transporting the beam through vacuum (instead of air) and large heterogeneities (patient surface): causes lack of modelling accuracy especially for low energies where a greater angular spread of the protons is expected.



Courtesy N. Schreuder, ProVision Knoxville, 2017

## ➤ Lateral heterogeneities

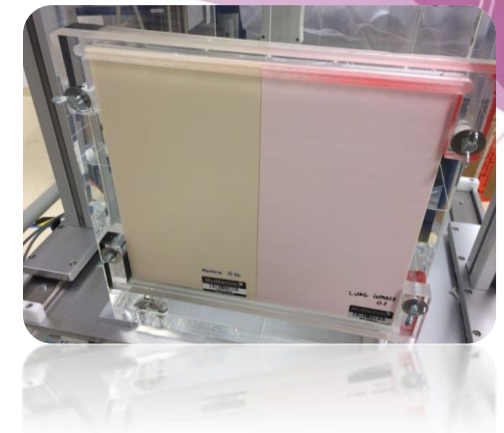
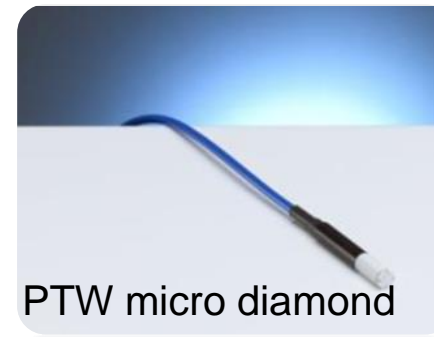
- Each spot is split into 19 sub-pencil beams.
- In case of large spot sizes (combination of range shifter and larger gaps) the distance between subspots becomes larger than anatomic density variations within the patient.



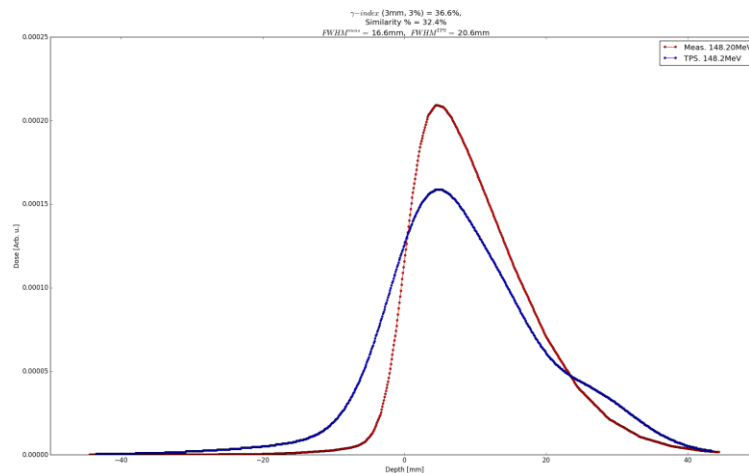
Source: RS5 reference manual, RSL

# Validation of algorithms

- Lateral profiles



## PBv4.1

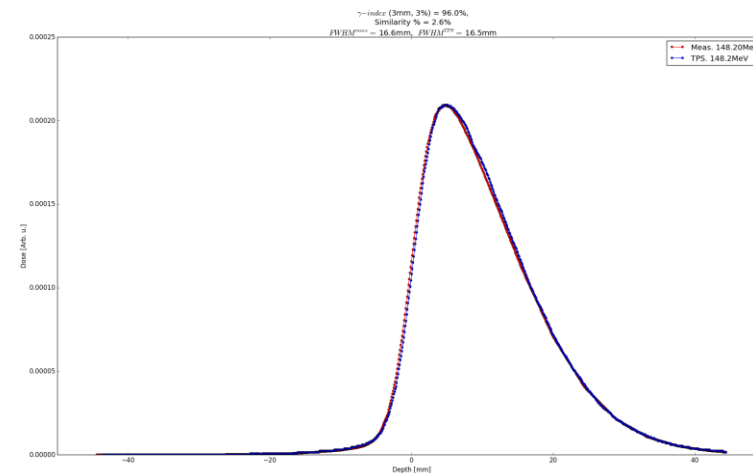


1cm bone | 1cm air



148,2 MeV, with RaShi

## MCv4.0



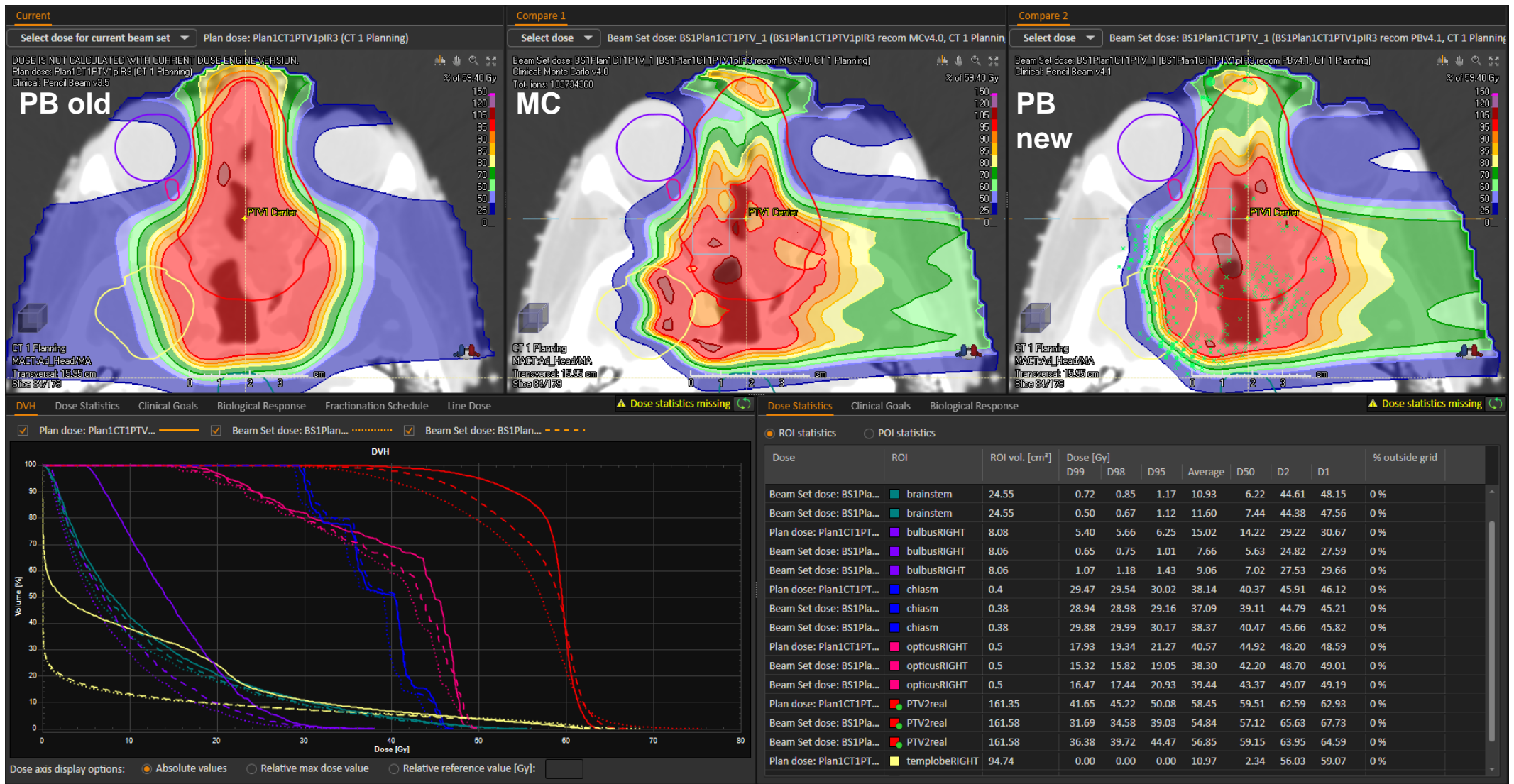
1cm bone | 1cm air



148,2 MeV, with RaShi

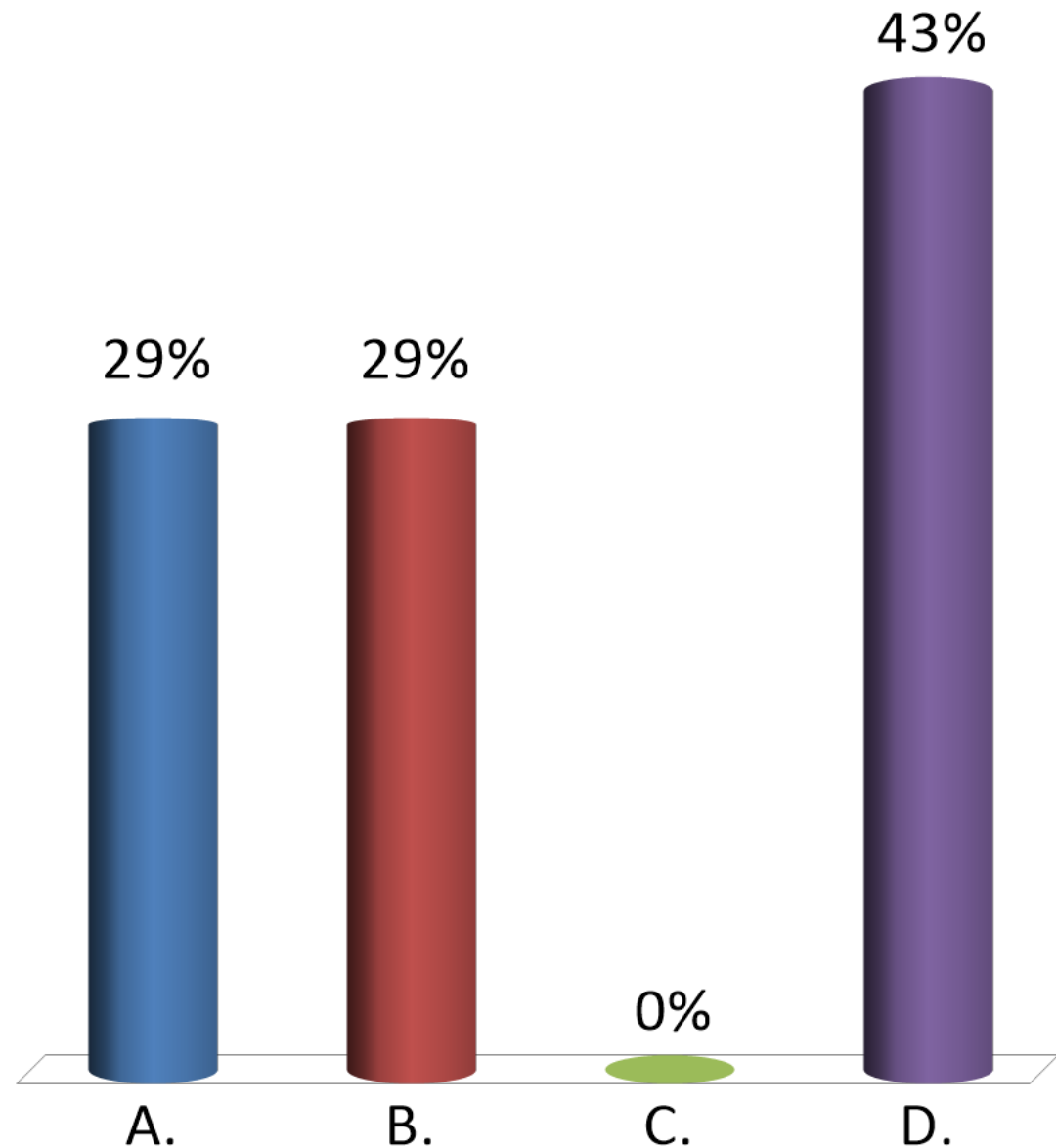
# Comparison MC vs PB

## Complex Case



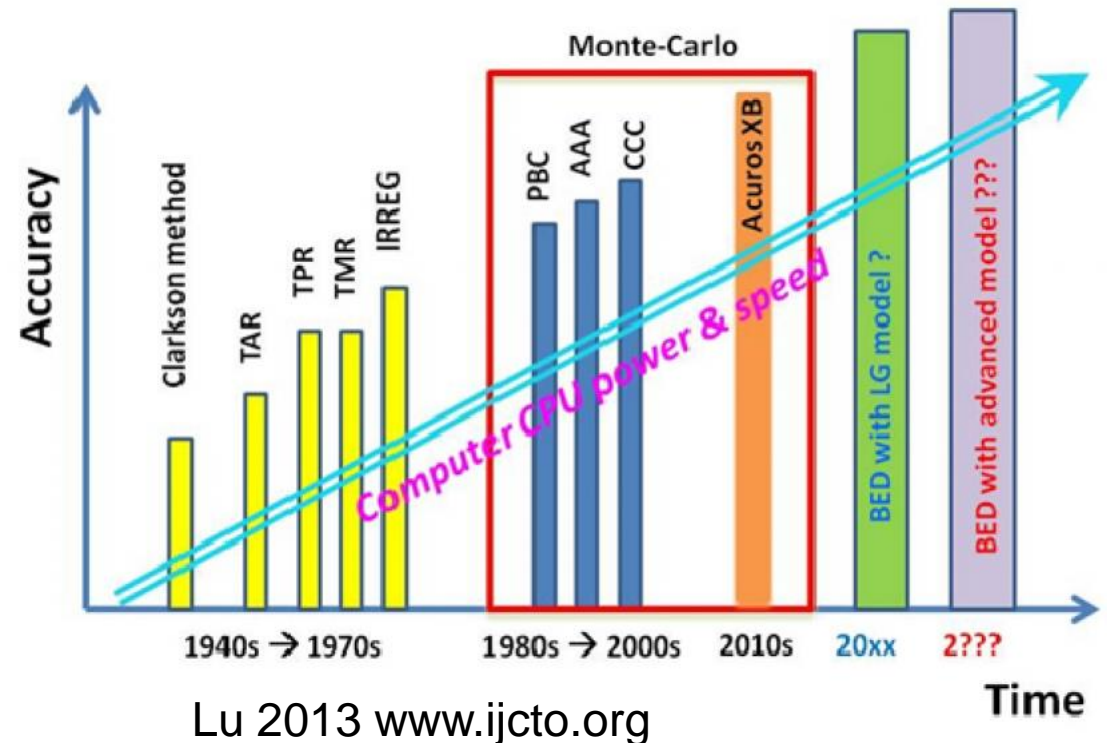
# Order algorithms with increasing accuracy

- A. MC, PK, AAA, PBK
- B. PBK, AAA, PK, MC
- C. AAA, PK, PBK, MC
- D. PBK, PK, MC, AAA



# Summary – Evolution, not Revolution

- Point Kernel algorithms more accurate than Pencil Kernel models
- Modern algorithms are hybrids of deterministic numerical and Monte Carlo methods. They can predict dose in heterogeneous tissues more accurately.
- Speed optimized MC clinically available without large compromise on accuracy – for photons, electrons and protons. Errors are stochastic.
- In both Monte Carlo and LBTE methods, a trade-off exists between speed and accuracy.





**ESTRO**

*School*

# ICRU guidance on planning and prescribing

Neil  
Burnet



Manchester Cancer Research Centre,  
University of Manchester and Christie Hospital,  
Manchester, UK

ATP  
Athens 2018

# Summary

- Prescribing
  - Prescription points
- Definition of planning volumes
  - GTV, CTV, PTV (Other volumes)
  - Organs at Risk (OARs)
  - Planning organ at Risk Volume (PRV)
  - Optimising volumes
- Planning objectives and constraints
- Overlapping volumes
- Questions





# The history of radiotherapy

- 1895 - Röntgen discovered X-rays
- 1896 - first treatment of cancer with X-rays
  
- 100+ years later the technology has changed!
- ICRU reports are here to help us
  
- Series began with Report 50 and Supplement 62 (1993 + 1999)
- ICRU 71 (2004) added a few details
  
- ICRU 83 (2010) was designed for IMRT

# ICRU guidance

- ICRU 83 specifically dedicated to IMRT
- Recommendations for prescribing changed
- Emphasises need for clear nomenclature for different targets, both GTV and CTV
- Introduces some specific aspects of reporting of dose to normal tissues



# ICRU guidance

- Advice on dose planning in the build up region or if PTV extends outside the body contour is given
- Concept of adaptive review introduced
  - Possible to review dose and dose change during treatment
- Comments on QA given
  - **Not** discussed here

# Prescribing

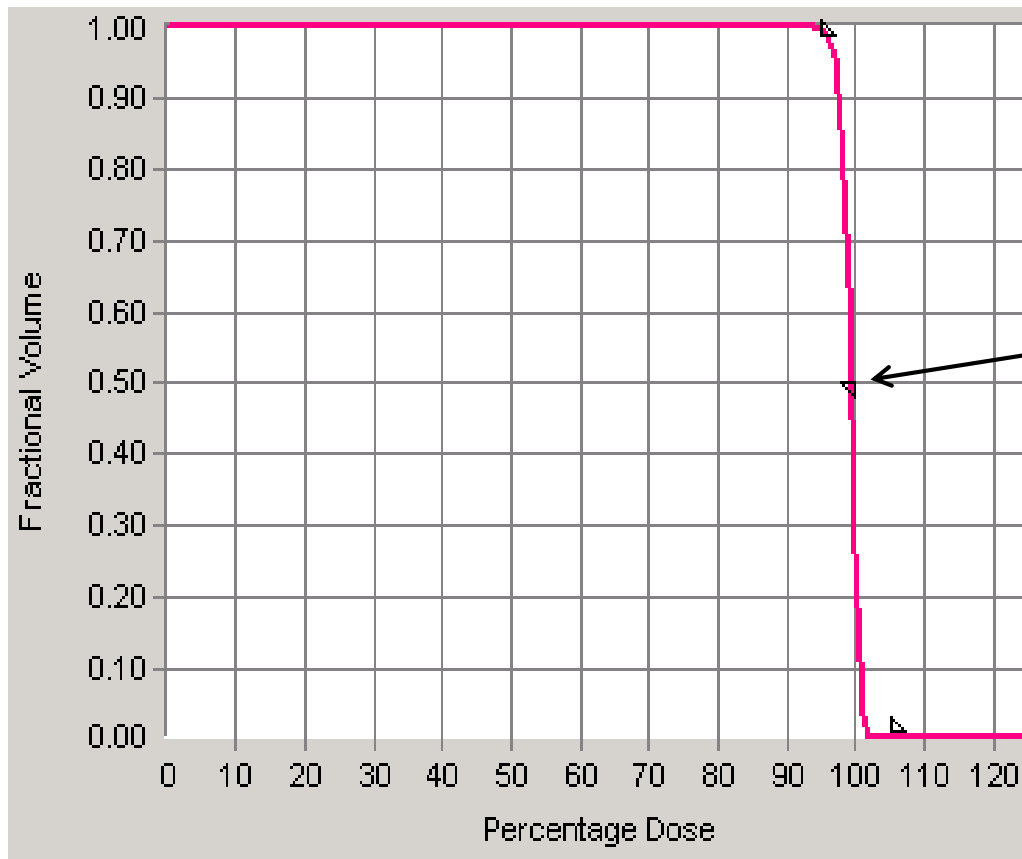
- Key changes in prescribing
  - Prescribe to **median dose** rather than ICRU reference point ( $\approx$  isocentre dose)
    - median dose =  $D_{50\%}$
    - = dose to 50% of the volume
  - Report **near-maximum** and **near-minimum**, rather than actual max & min
  - Still need to be aware of target coverage

# Prescribing

- Specify median dose -  $D_{\text{median}} = D_{50\%}$ 
  - Corresponds best to previous ICRU reference point dose ( $\approx$  isocentre dose)
  - Often close to mean dose
  - Not influenced by 'tails' on the DVH
  - Accurately calculated in TPSs
  
  - Possible to move from isocentre dose (CRT) to median dose (IMRT) with confidence
  
- NB useful to add units e.g  $D_{50\%}$  or  $V_{20\text{ Gy}}$

# Prescribing

- Median dose =  $D_{\text{median}} = D_{50\%}$



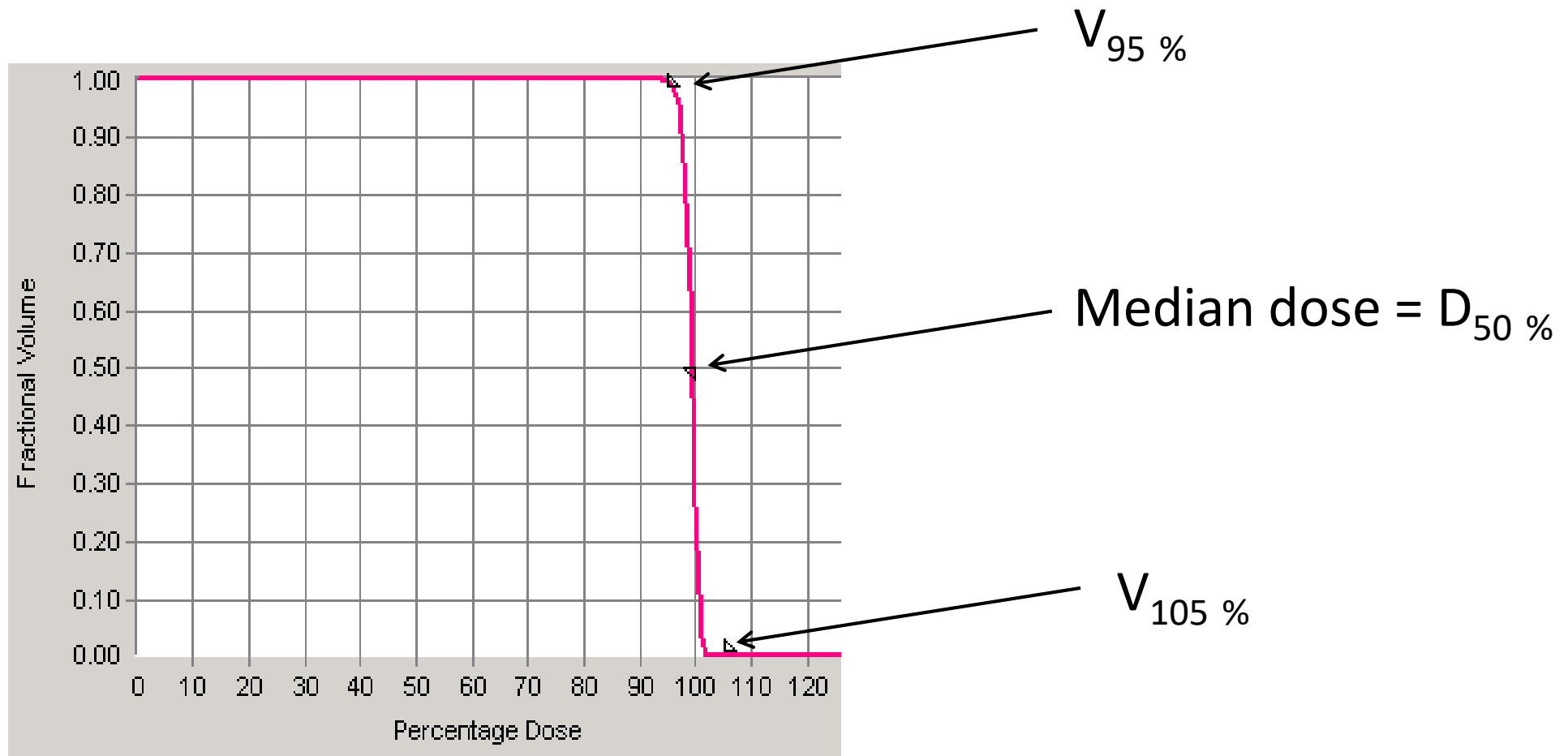
Median dose =  $D_{50\%}$

# Prescribing

- Prescribing to median dose without some restriction on the slope of the target DVH could allow a shallow slope and low target minimum dose
- Need some agreement on minimum acceptable
  - At least 99% of the volume ( $D_{99\%}$ ) to receive >95% of dose
  - At least 98% of the volume ( $D_{98\%}$ ) to receive >95% of dose
- Limit on maximum also needed, for example
  - Less than 1% of the volume >105% of dose

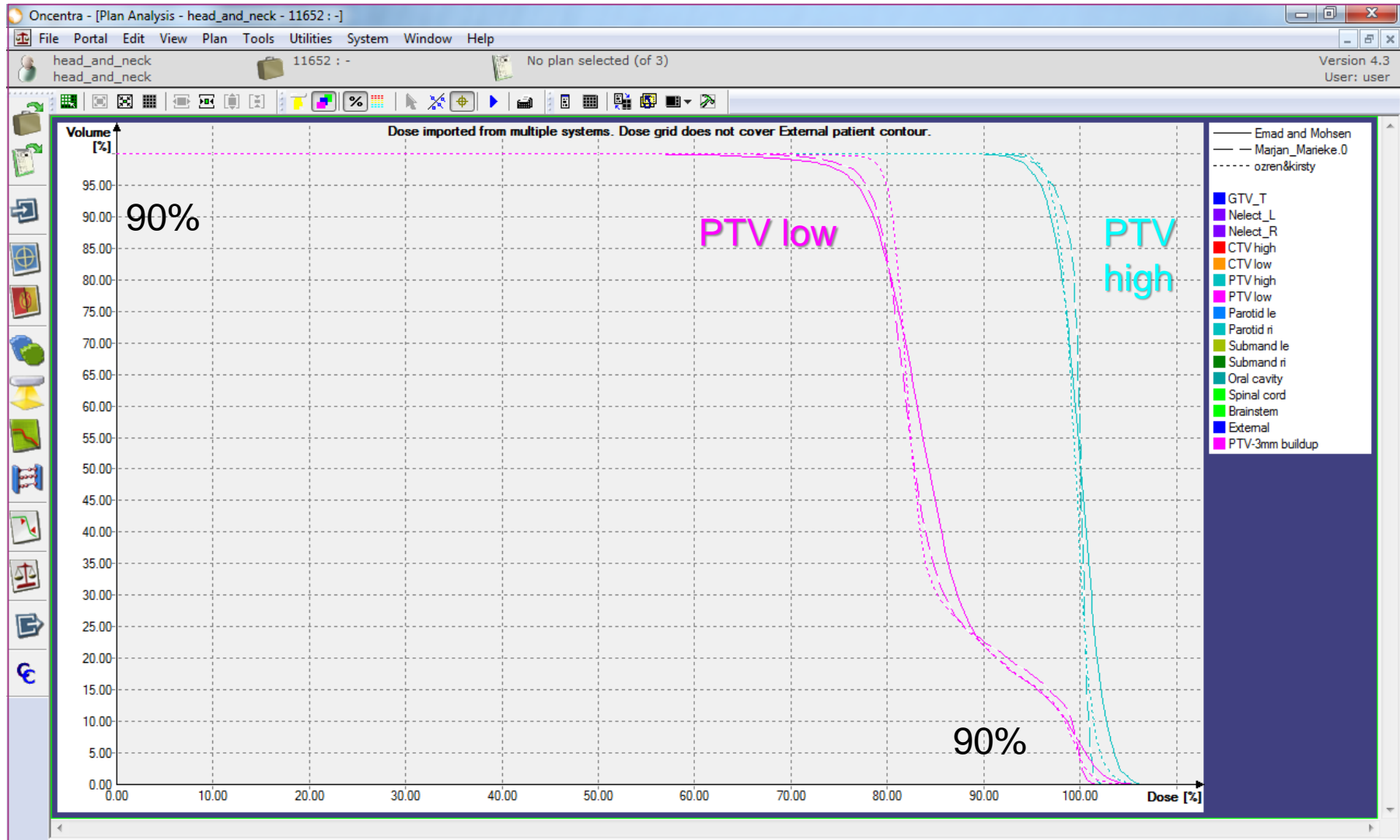
# Prescribing

- Dose constraints (objectives) for min & max included (and median)



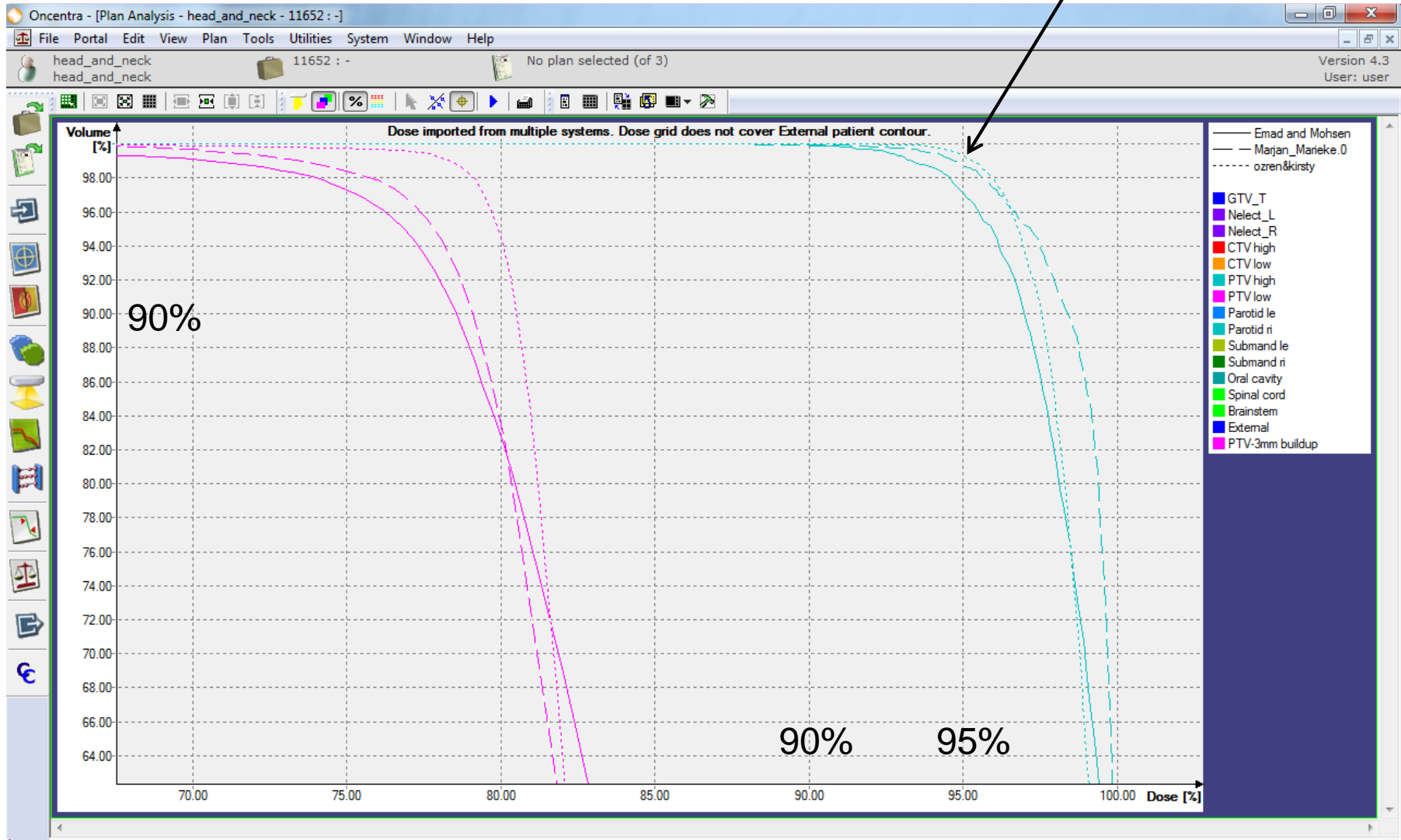


# Prescribing



# Prescribing

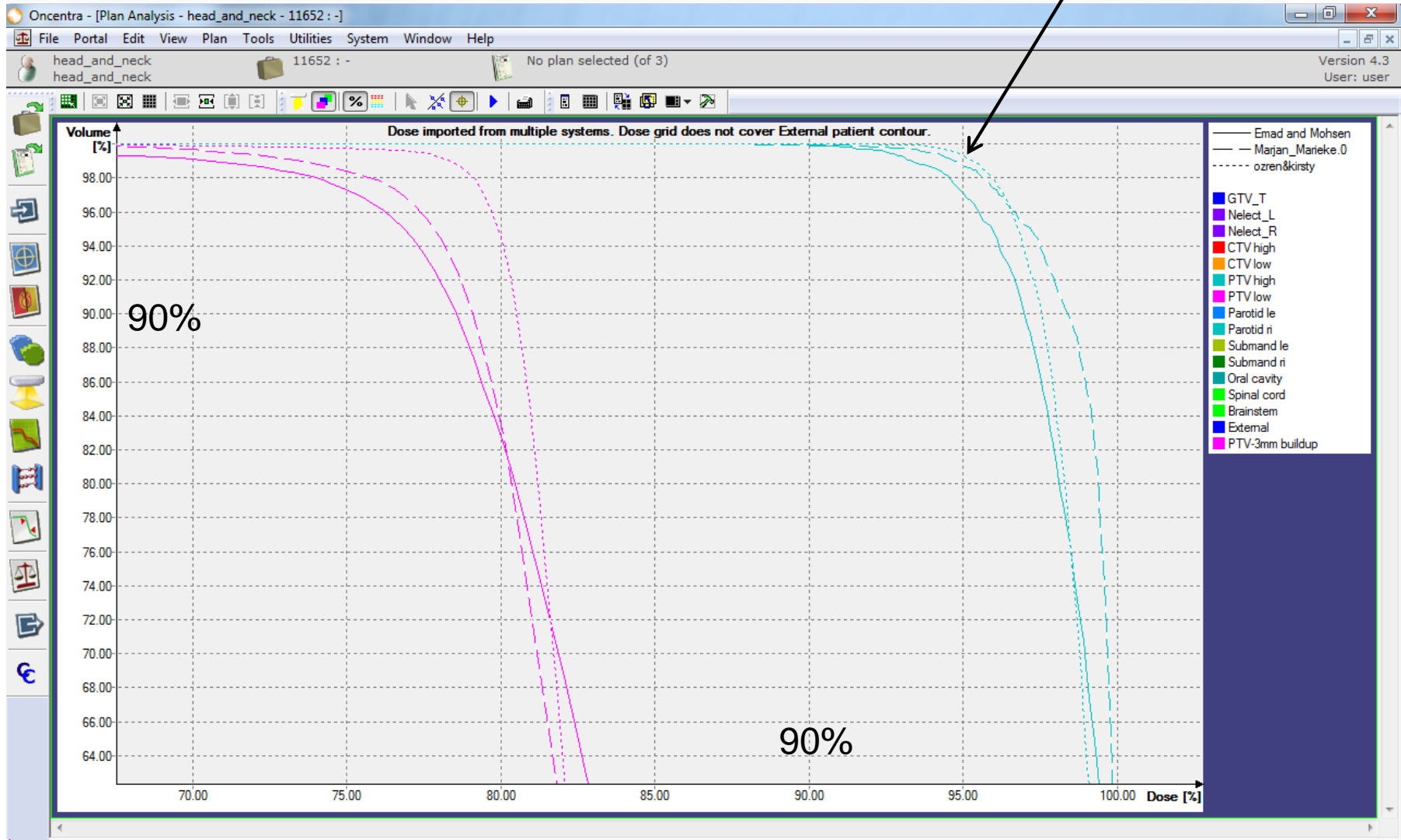
$D_{99\%} > 95\%$   
(of prescription dose)



# Prescribing

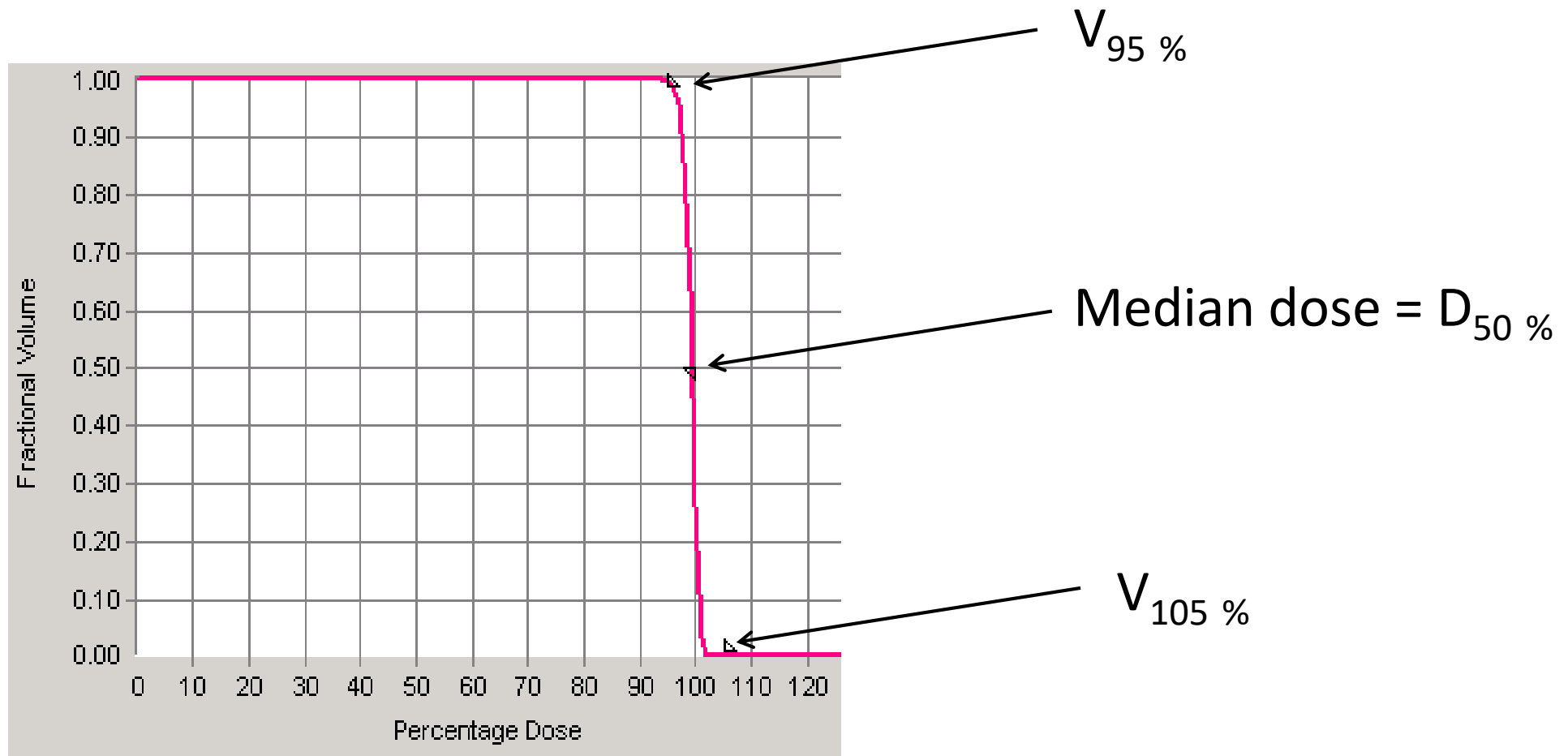
$D_{99\%} > 95\%$   
(of prescription dose)

$V_{95\%} > 99\%$   
(of target volume)



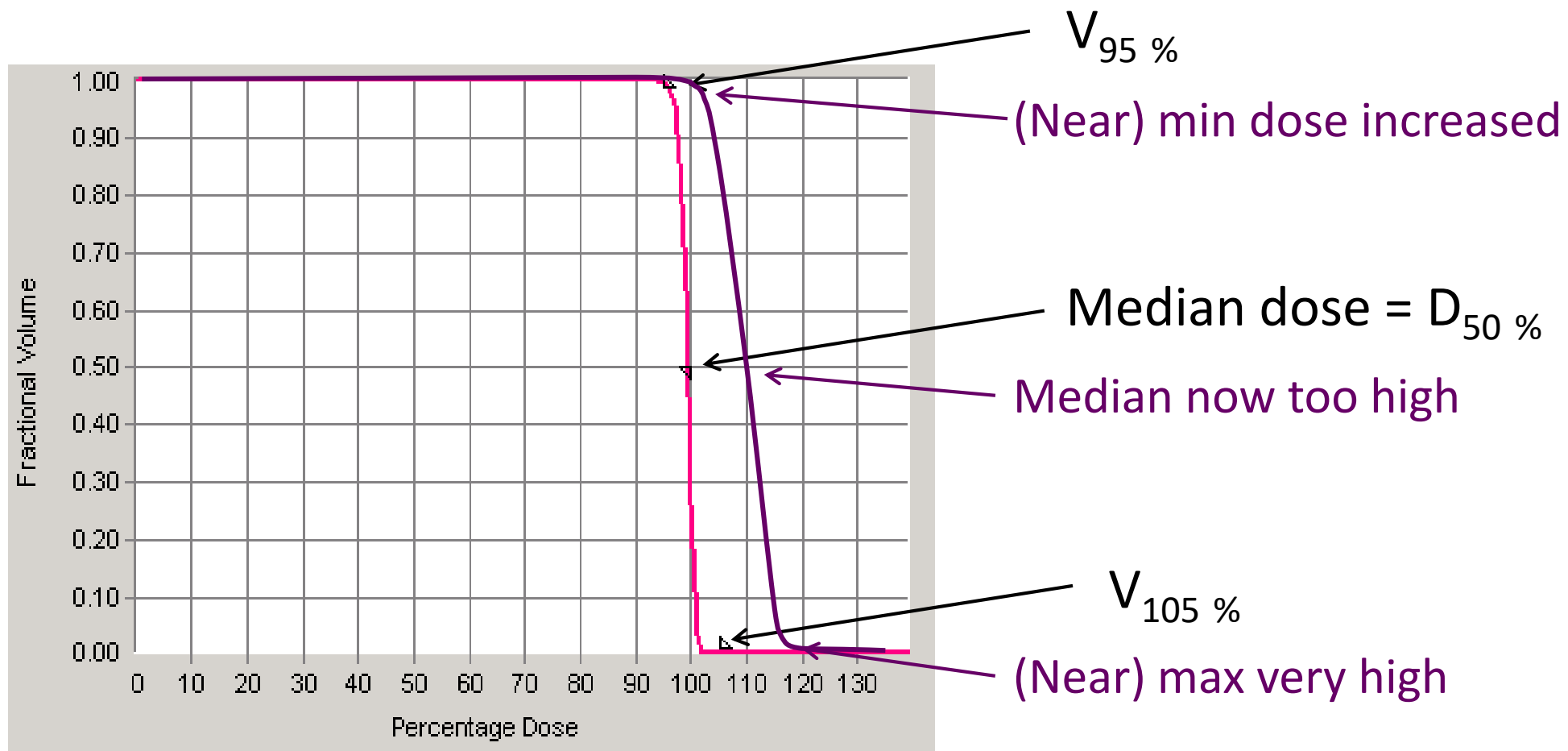
# Prescribing

- Dose constraints (objectives) for min & max included (and median)



# Prescribing

- Dose constraints (objectives) for min & max included (and median)

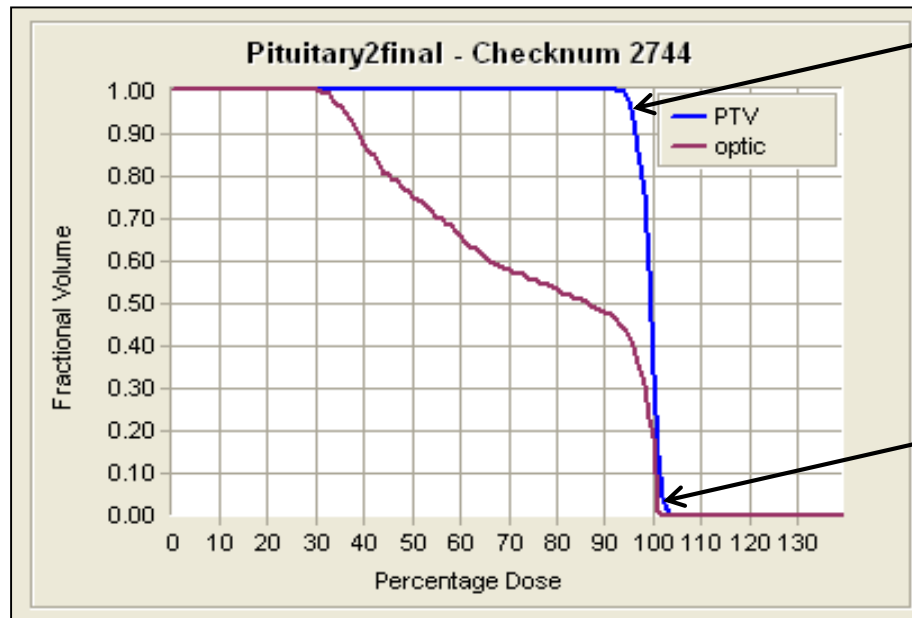


# Prescribing

- Report near-maximum and near-minimum in target volume, rather than actual max & min
  - $D_{2\%}$  for near-max,  $D_{98\%}$  for near-min

# Prescribing

- Report near-maximum and near-minimum in target volume, rather than actual max & min
  - $D_2\%$  for near-max,  $D_{98\%}$  for near-min



$D_{98\%}$  = target near-min  
(dose covering 98% of target volume)

$D_2\%$  = target near-max  
(dose covering 2% of target volume)

# Prescribing

- Clinical relevance of minimum (near-min) dose point may depend on its position within the PTV
  - Minimum dose in edge of PTV may be of marginal significance
  - Minimum dose in centre (in GTV) may be rather important

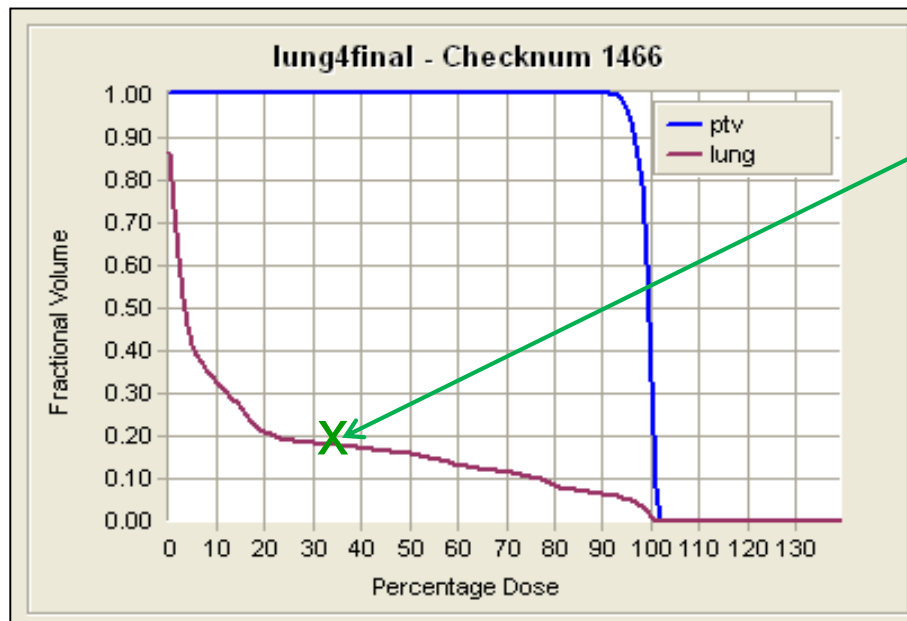


# Prescribing

- Concept of using dose volume histograms for dose specification is introduced in ICRU 83
  - Dose-volume prescribing in place of dose
  - Dose-at-a-point specification is retained for purposes of comparison
- Contains worked examples, which may be helpful

# Prescribing

- Add volume parameters where relevant
  - e.g.  $V_{20 \text{ Gy}}$  for lung



$V_{20 \text{ Gy}}$

Relates to clinical outcome

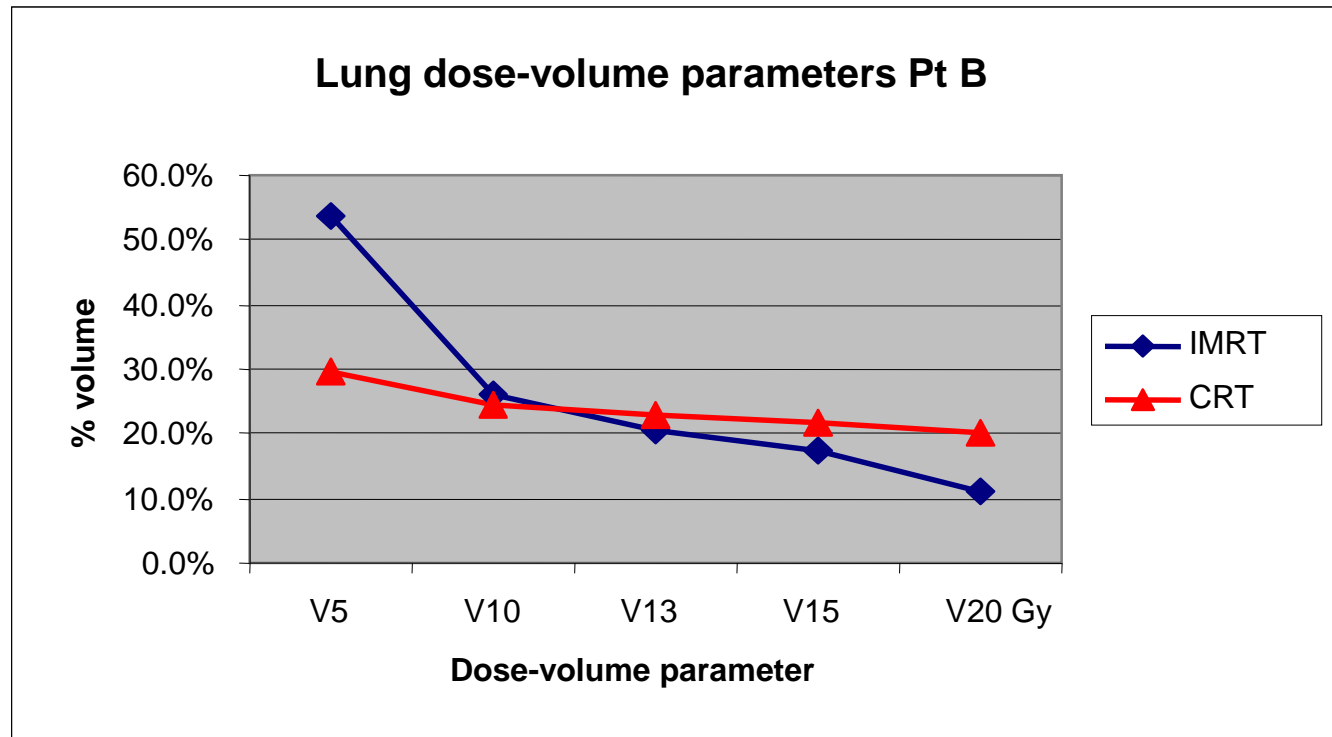
NB  $V_{20 \text{ Gy}} = V_{33 \%}$  (for 60 Gy)

# Prescribing

- Add volume parameters where relevant
  - e.g.  $V_{20 \text{ Gy}}$  for lung
- For parallel structures, worth reporting more than 1 dose point
  - i.e. moving towards dose-volume reporting
- Essential to add units e.g  $D_{50 \%}$  or  $V_{20 \text{ Gy}}$ 
  - $D_{50 \%}$  = dose covering 50% of the target volume
  - $V_{20 \text{ Gy}}$  = volume receiving 20 Gy (or less)

# Lung doses

- 2 plans compared
  - IMRT : 'CRT'
- Mean lung dose same = 9 Gy
- DVH different
- In reporting, the DVH (or some points on it) may be useful

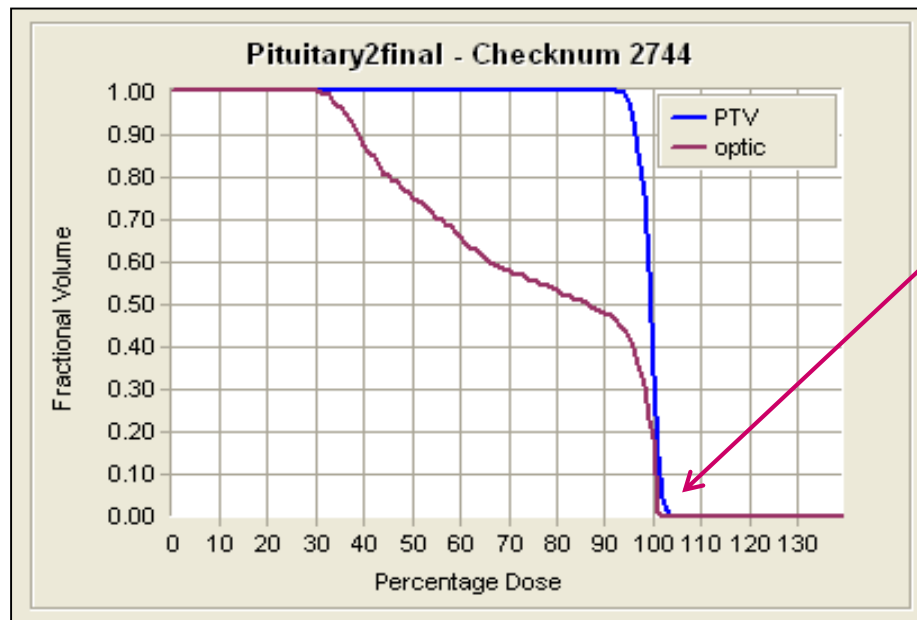


# Prescribing

- For serial organs, maximum (near-max) dose is relevant parameter
  - ICRU recommends  $D_{2\%}$  rather than  $D_{Max}$  ( $D_{0\%}$ )
  - Overcomes problem of defining (knowing!) what volume of the structure is important
  - Note that  $D_{2\%}$  not validated (yet); caution given !
  - But ... it is logical
  - However, effect will depend on total volume of structure
  - In gynae brachtherapy often use  $D_{2\text{ cm}^3}$

# Prescribing

- Report near-maximum
  - $D_2\%$  for near-max



$D_2\%$  = OAR near-max  
(dose covering 2% of target volume)

No PRV used here because

- OAR enclosed within PTV
- dose < OAR tolerance

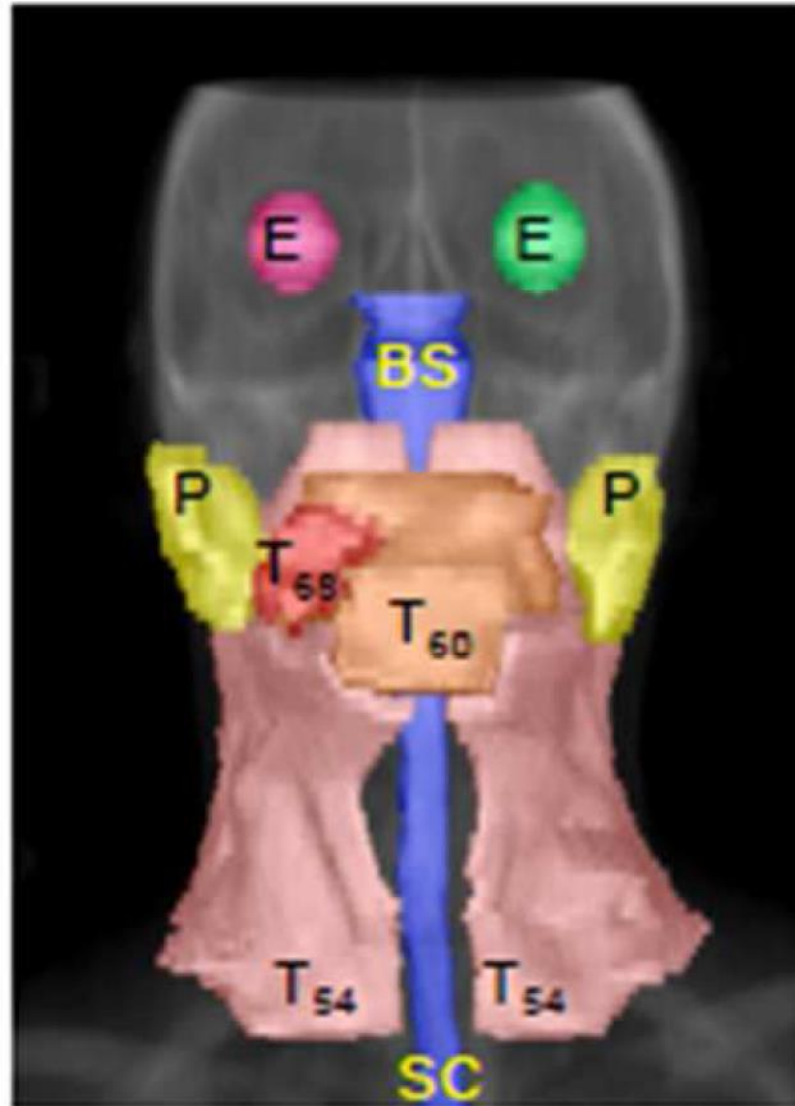
# ICRU guidance

- ICRU 83 mentions the possibility of adding some additional parameters relating to dose
- Optional, but may become interesting
  - Homogeneity Index & Conformity Index
  - EUD – Equivalent Uniform Dose
  - TCP, NTCP
  - Probability of uncomplicated tumour control (PUC)
- Some details at end of lecture notes



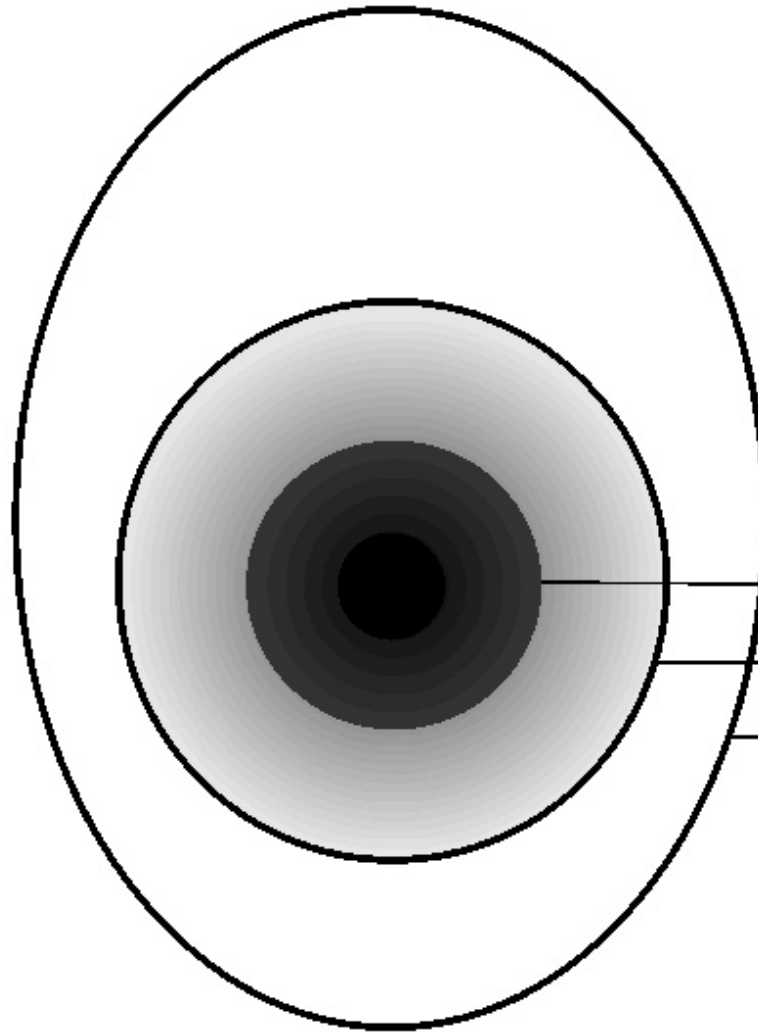


# Target volumes

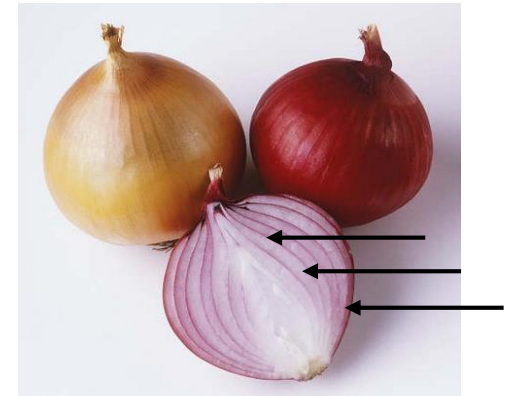


# Target volumes

- ICRU 50 target volumes
- The PTV can be eccentric



GTV, CTV, PTV

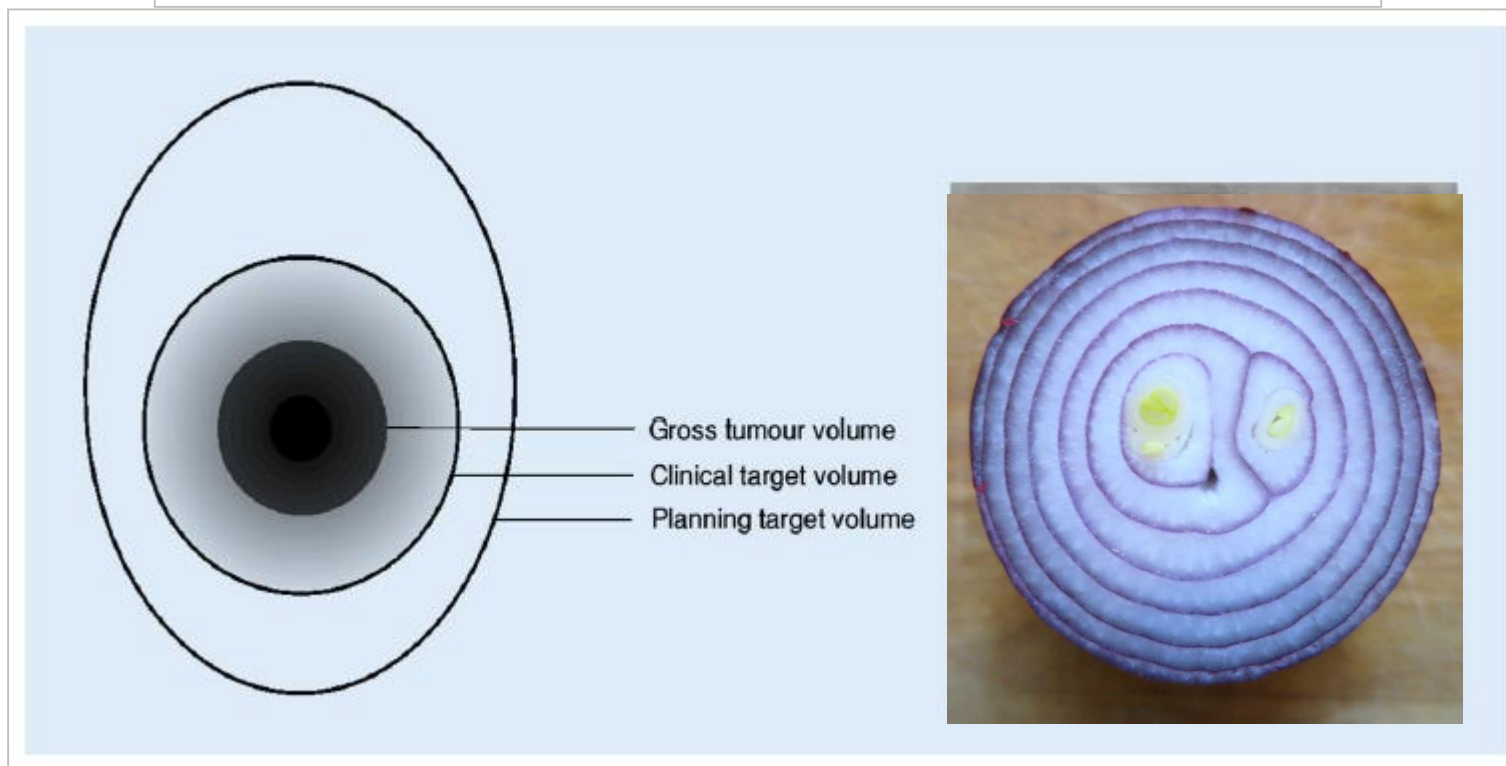


Gross tumour volume  
Clinical target volume  
Planning target volume

# Target volumes

## Zielvolumenkonzepte in der Strahlentherapie und ihre Bedeutung für die Bildgebung

Burnet NG, Noble DJ, Paul A, Whitfield GA, Delorme S. Radiologe. 2018; 58(8): 708-721. Review. German.



# Summary

- GTV is tumour you can **See - Feel – Image**
  - Outline what you see !
- CTV - contains GTV and/or sub-clinical disease
  - Tumour **cannot** be seen or imaged
  - Can be individualised to anatomy
- PTV is a geometric volume
  - Ensures prescription dose is delivered to the CTV
  - Includes systematic + random error components

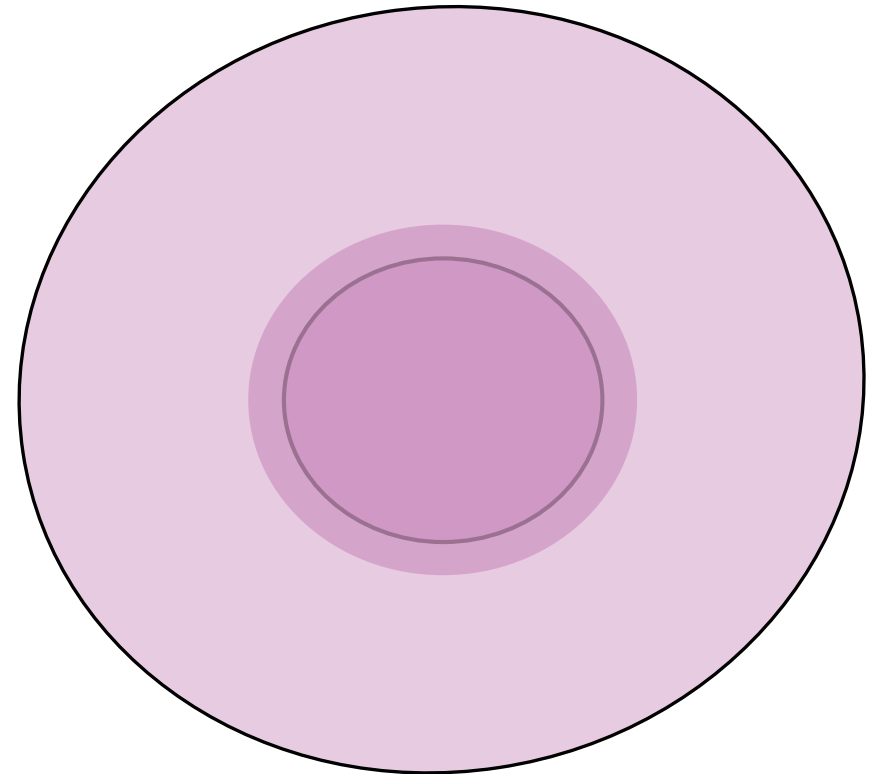
# Target volumes - CTV

# Target volumes - CTV

- CTV is based on historical data
  - Derived from population data
  - Margin *not* individualised
- Some individualisation according to anatomical boundaries is possible
  - Implies that isotropic growing is often not appropriate to derive the CTV

# Target volumes - CTV

- Newer imaging may push the edge of the GTV outwards into the CTV
- If CTV stays the same, the margin will change
- May need new definitions
- Useful to define imaging used for GTV contouring



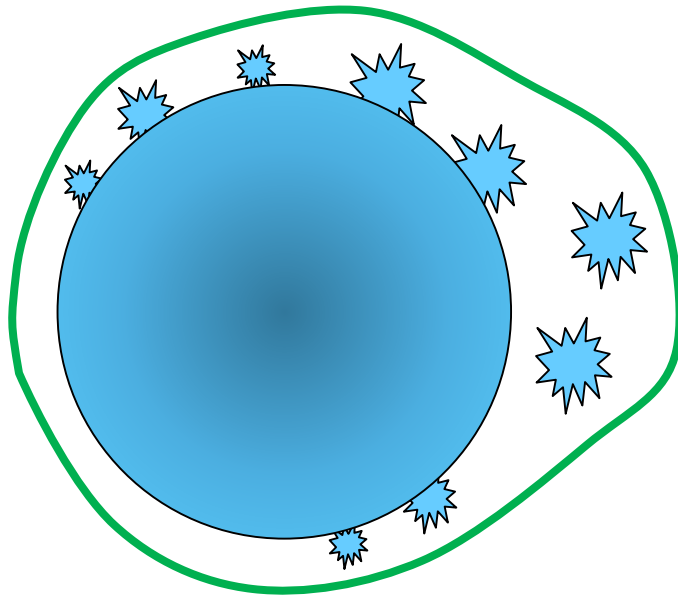
# Target volumes - CTV

- Concept that the CTV contains all the sub-clinical disease with a certain probability
  - Introduced in ICRU 83 (2010)
- No consensus as to what that probability is
  - Probability of ~ 90-95% may be reasonable
  - Should it be lower or higher?
  - (i.e. don't treat if probability <5% or 10%)
- Might depend on dose at edge of treated volume ...



# Target volumes - CTV

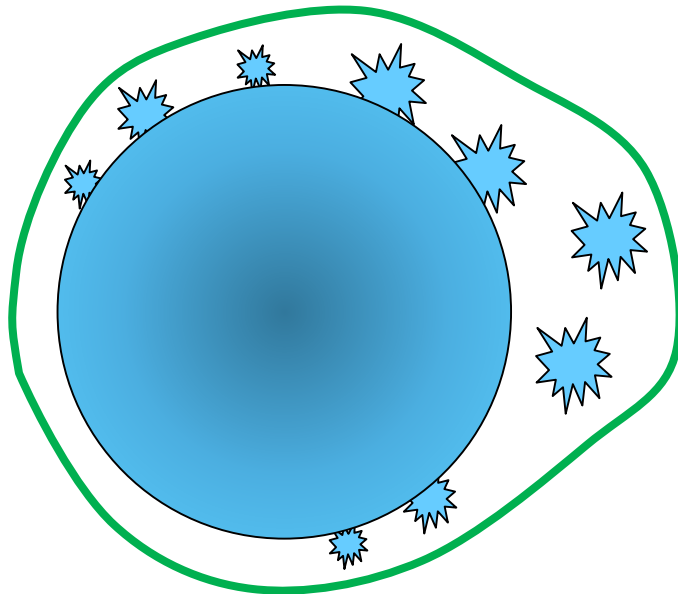
- Microscopic disease not imageable
- Probability of all microscopic tumour included in CTV ...
- Is there a dose gradient? Where?



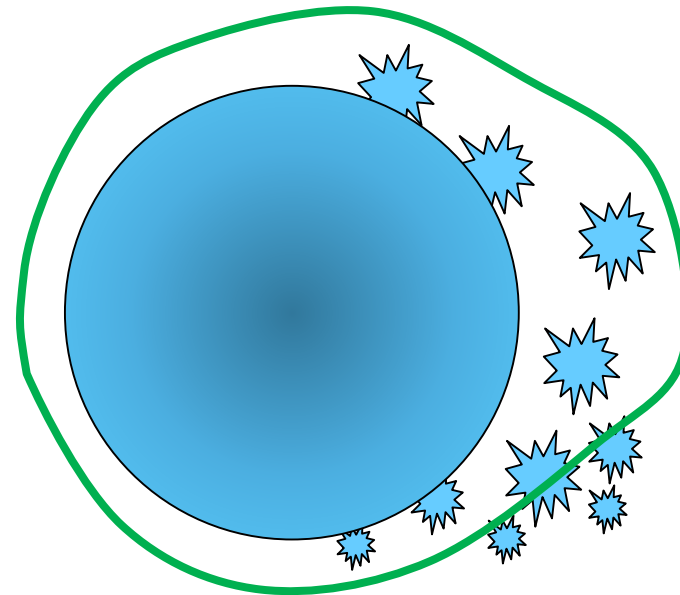
100%  
(good work!)

# Target volumes - CTV

- Microscopic disease not imageable
- Probability of all microscopic tumour included in CTV ...
- Is there a dose gradient? Where?



100%  
(good work!)



95%  
(not right)

# Target volumes - PTV

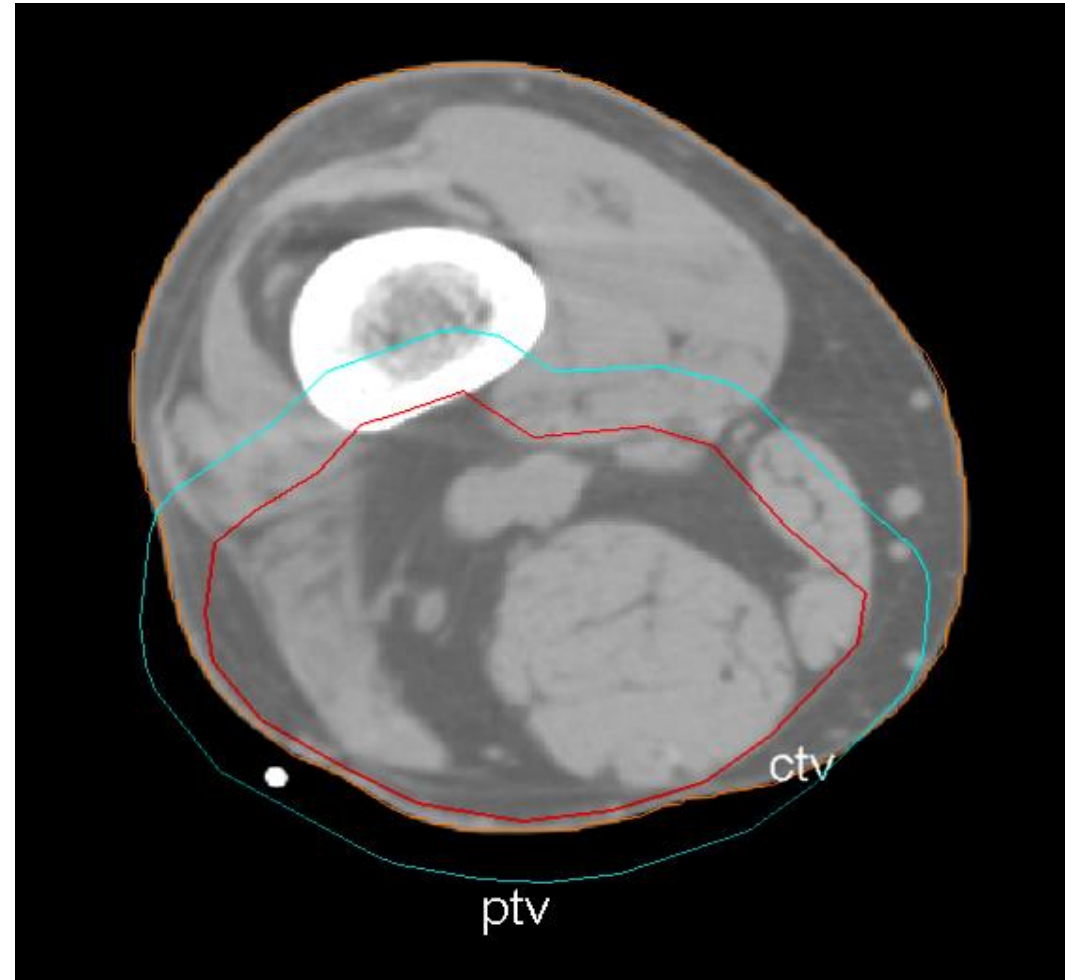
# Target volumes - PTV

- PTV is a geometric concept designed to ensure that the prescription dose is actually delivered to the CTV
- In a sense, it is a volume in space, rather than in the patient
- PTV may extend beyond bony margins, and even outside the patient
- ***Systematic*** and ***random*** errors need to be quantified to produce the PTV margin
  - **$PTV = 2.5\Sigma + 0.7\sigma$**



# Target volumes - PTV

- PTV extend into
  - the build up region
  - outside the patient
- NB problem of IMRT optimisation
- Also a challenge in PBT





# Target volumes – OARs

- Organs at Risk are normal tissues whose radiation tolerance influences
  - treatment planning, and /or
  - prescribed dose
- Now know as OARs (not ORs)
- Could be any normal tissue

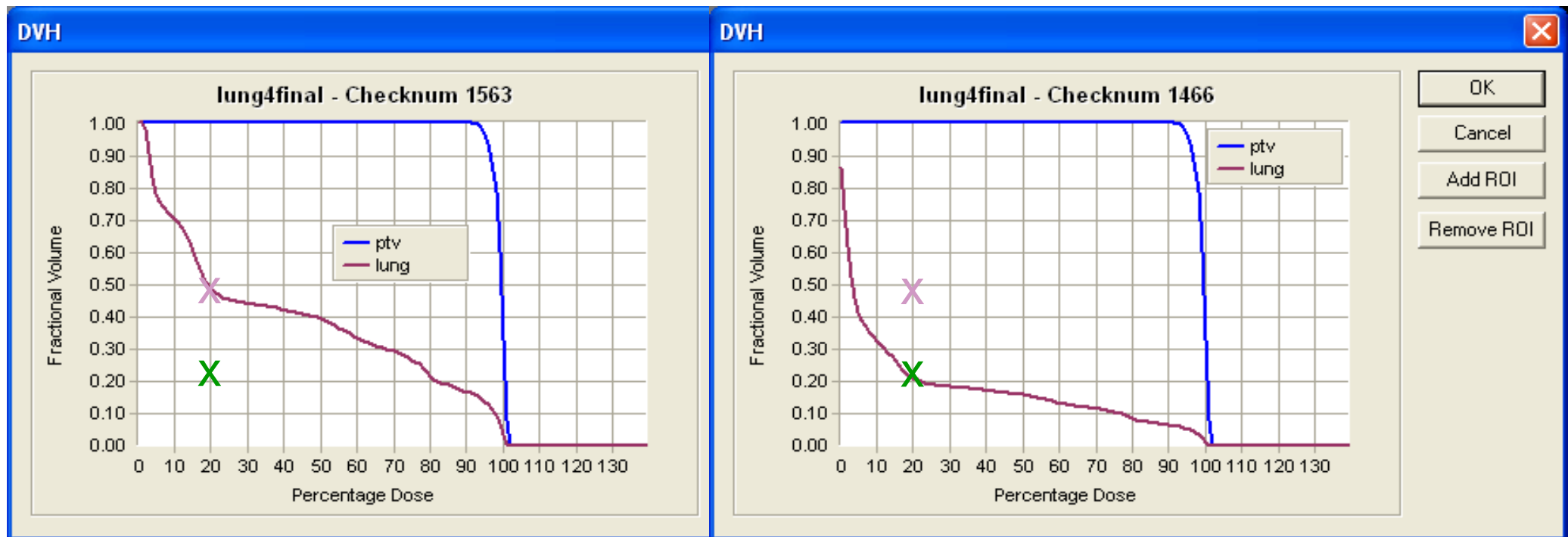
# Target volumes – OARs

- Best available data is given in the QUANTEC review
- Marks LB, Ten Kaken R, and guest editors  
Int. J. Radiat Oncol Biol. Phys. 2010; 76; 3 (Suppl): S1 - 159



# Target volumes – OARs

- For parallel organs, comparison between plans, patients or centres requires the **whole** organ to be delineated, according to an agreed **protocol**

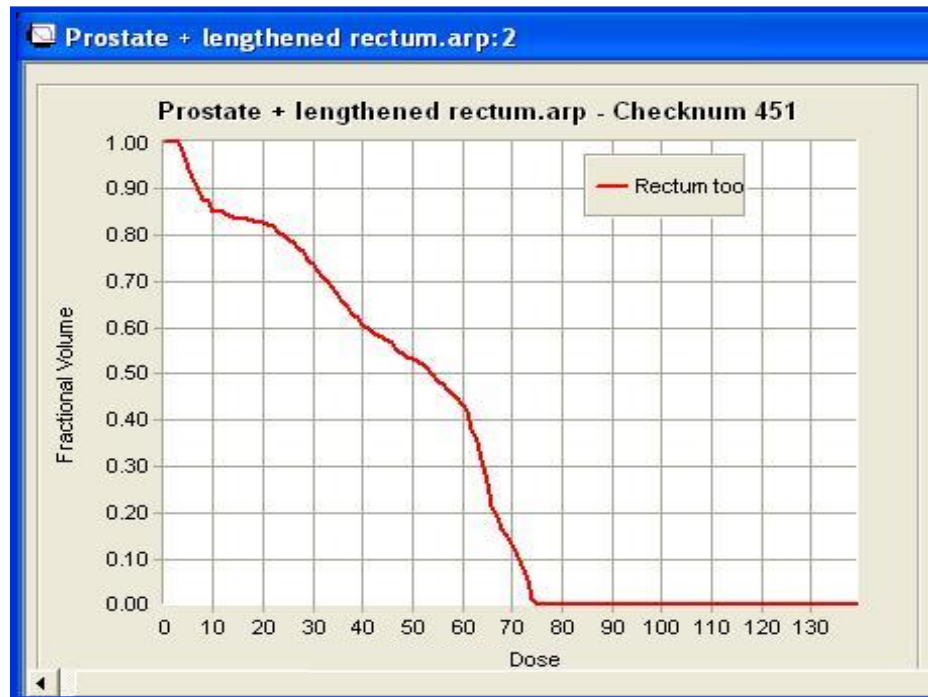


- Whole lung not outlined

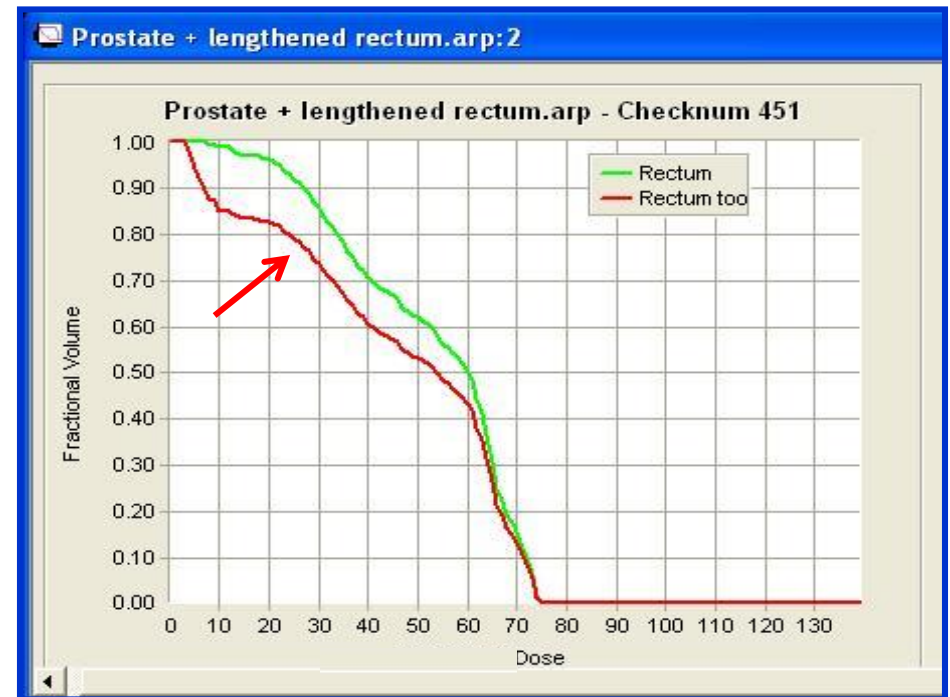
- Better !

# Target volumes – OARs

- For other parallel organs, over-contouring may lead to DVHs which appear better – but are incorrect
- Rectum – needs clear delineated, according to an agreed protocol



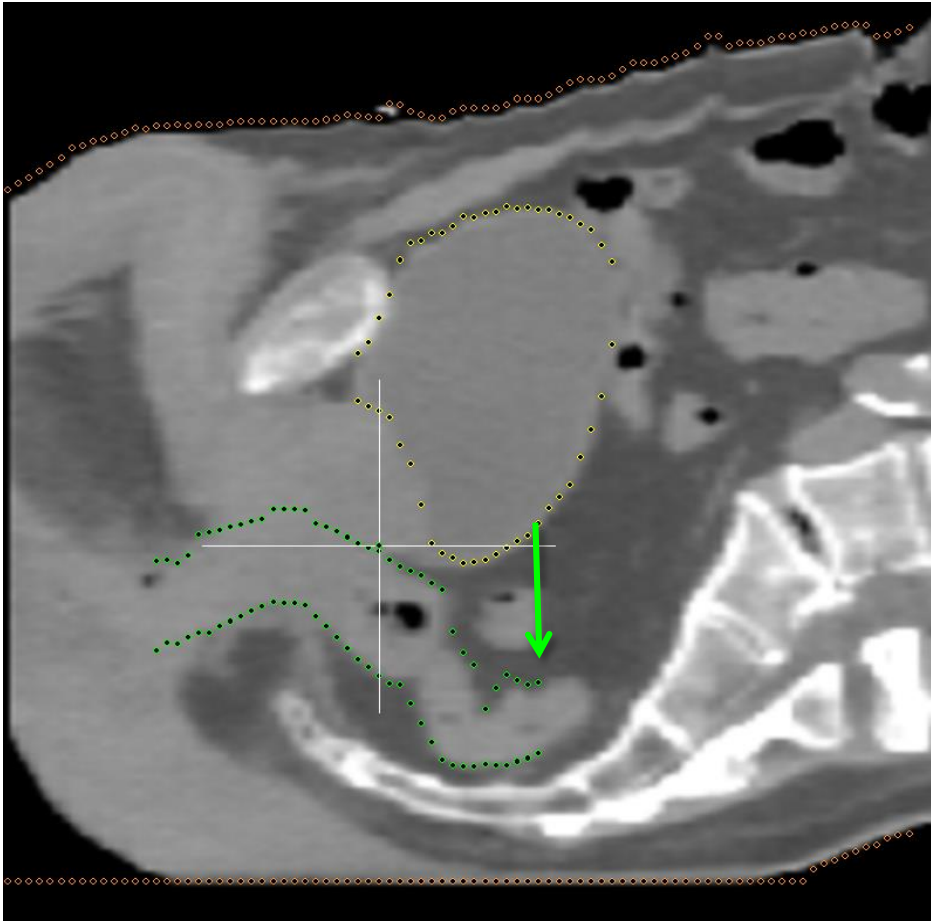
- Rectum 'over-contoured'



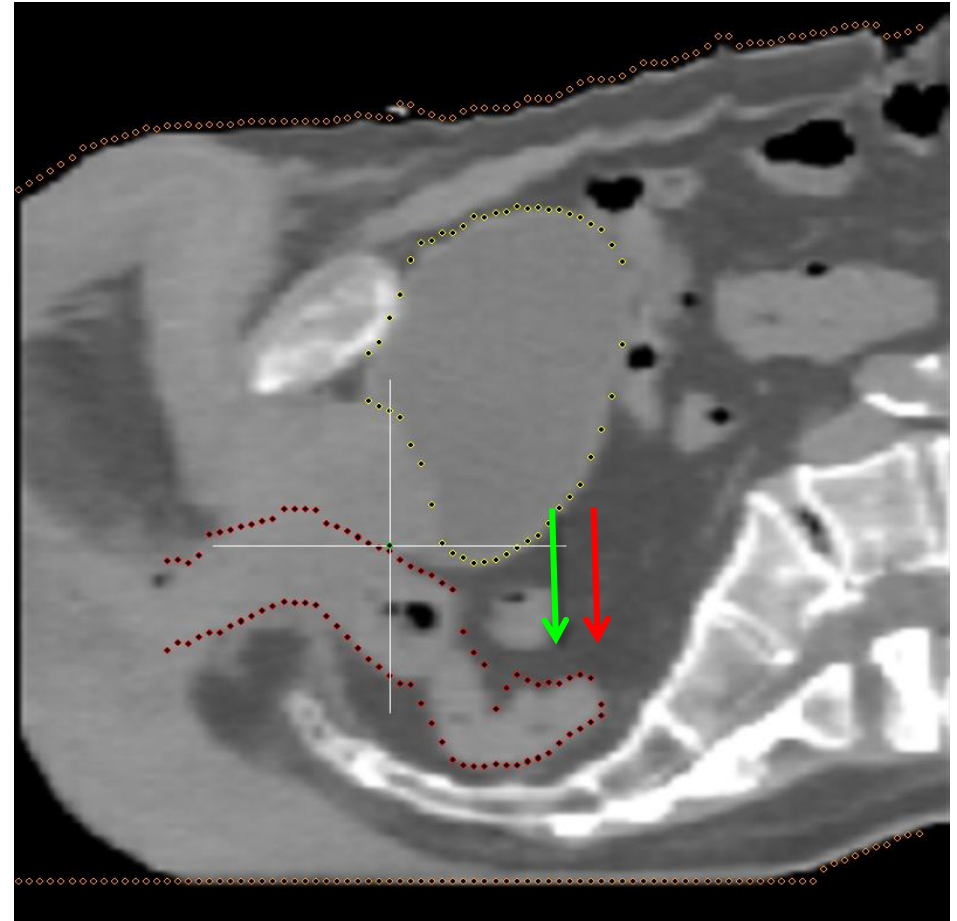
- 'Better' DVH is incorrect

# Target volumes – OARs

- Rectum–clear delineation, according to an agreed protocol



- Rectum correct

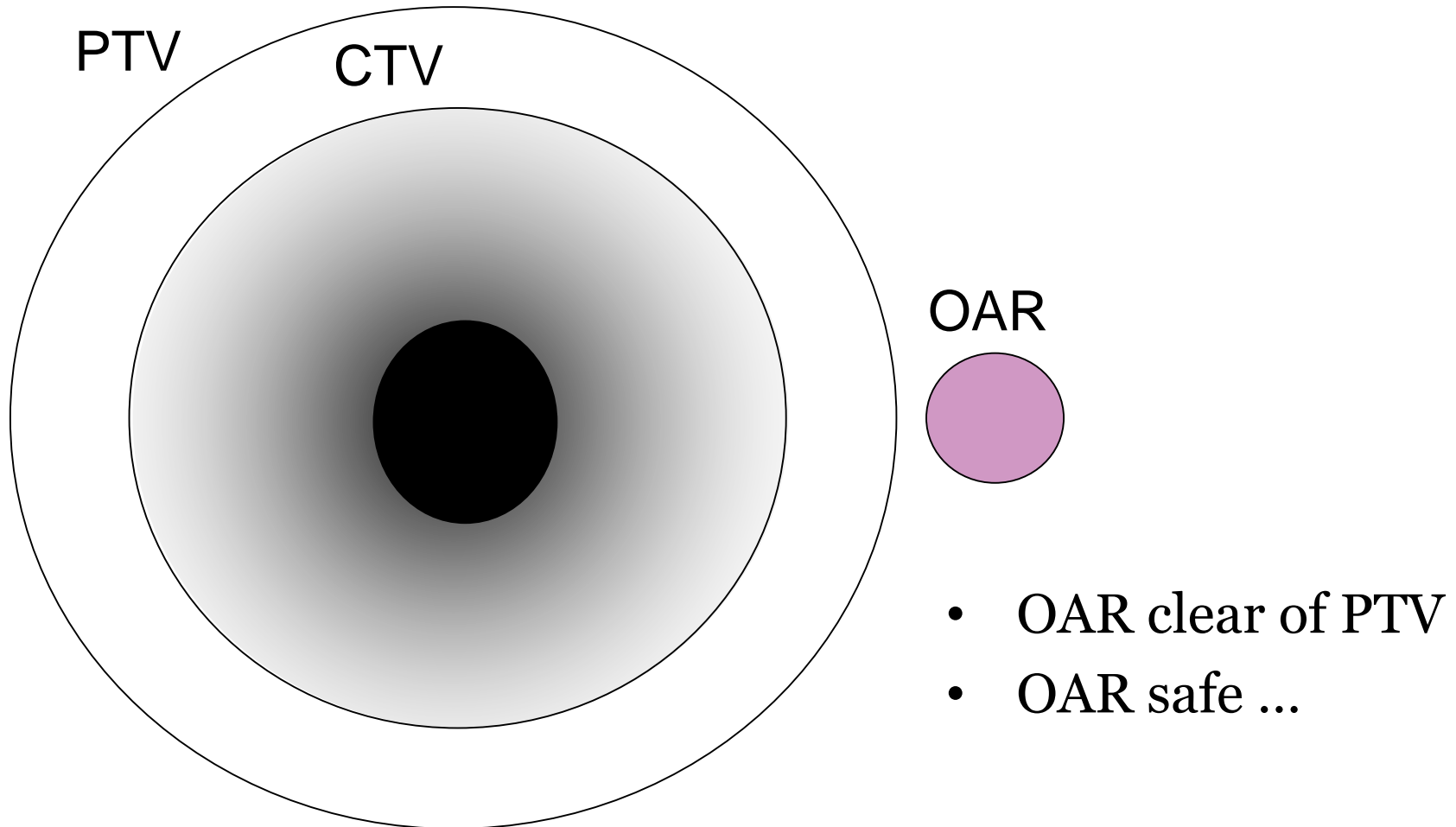


- Rectum on 4 slices more

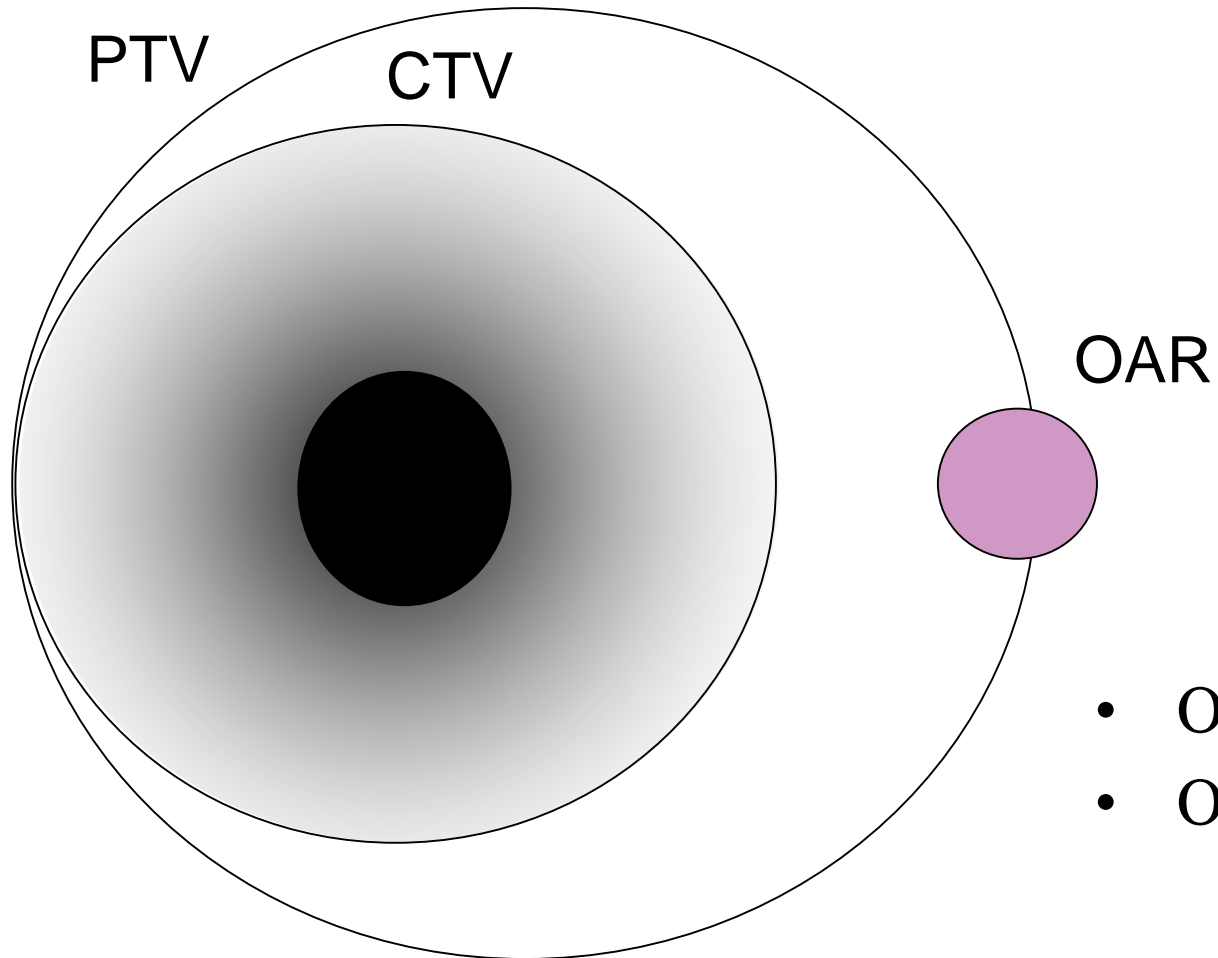
# Target volumes – OARs + PRVs

- Uncertainties apply to the OAR ... so a 'PTV margin' can be added around it - to give the Planning organ at Risk Volume (PRV)
- But ... the use of this technique will substantially increase the volume of normal structures
- May be smaller than PTV margin
  - Component for systematic error can often be smaller

# Target volumes – OARs + PRVs

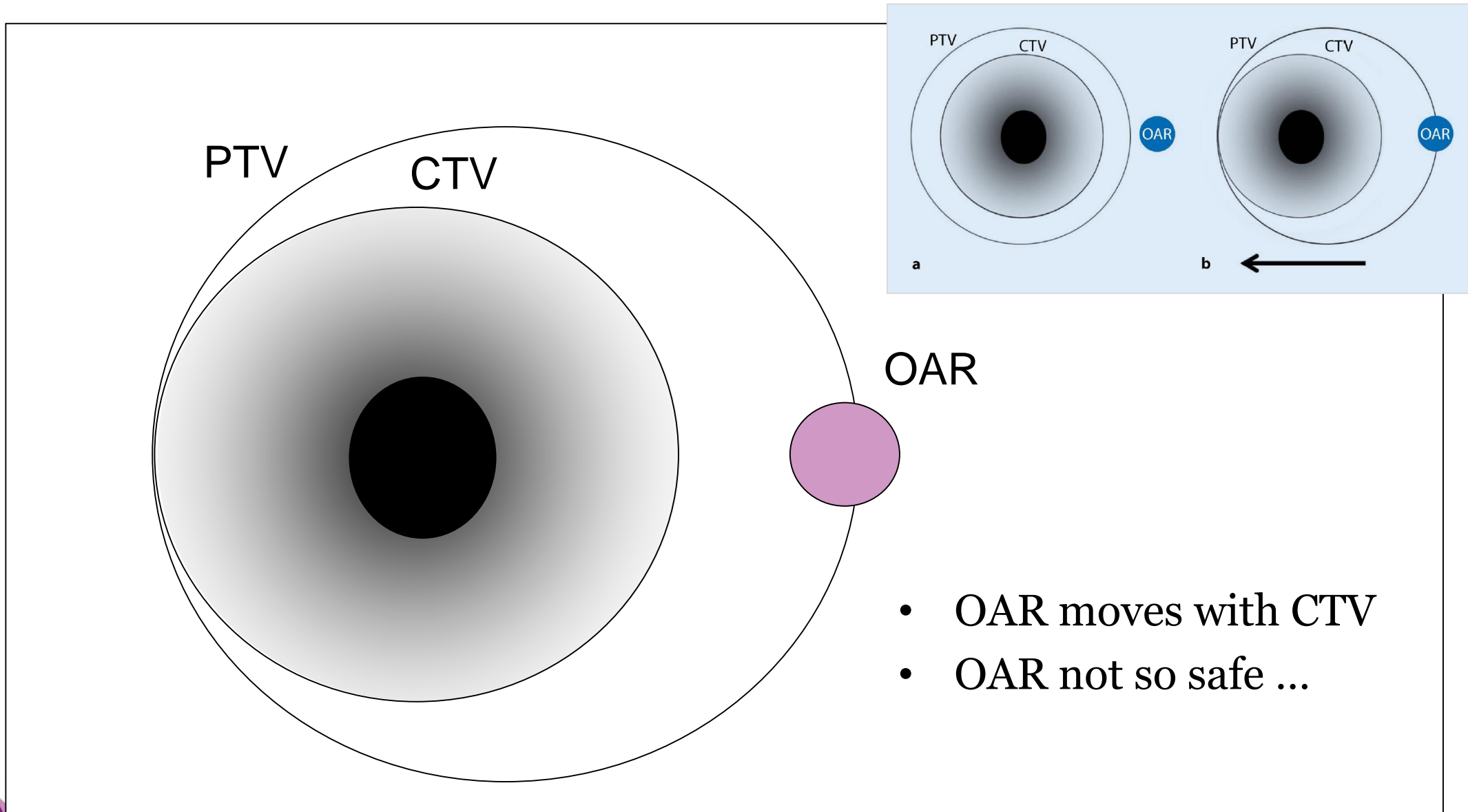


# Target volumes – OARs + PRVs



- OAR moves with CTV
- OAR not so safe ...

# Target volumes – OARs + PRVs



- OAR moves with CTV
- OAR not so safe ...

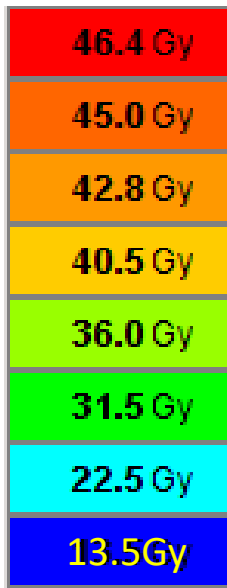
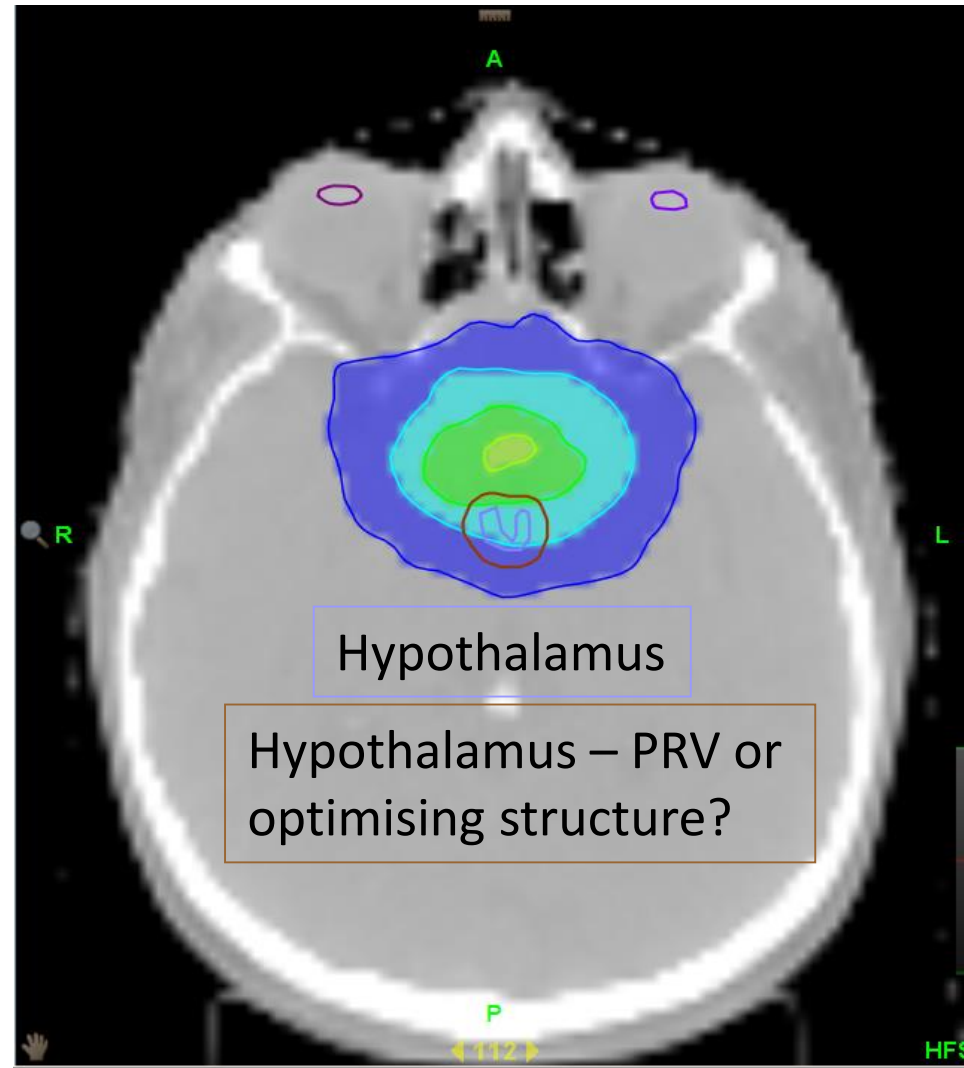
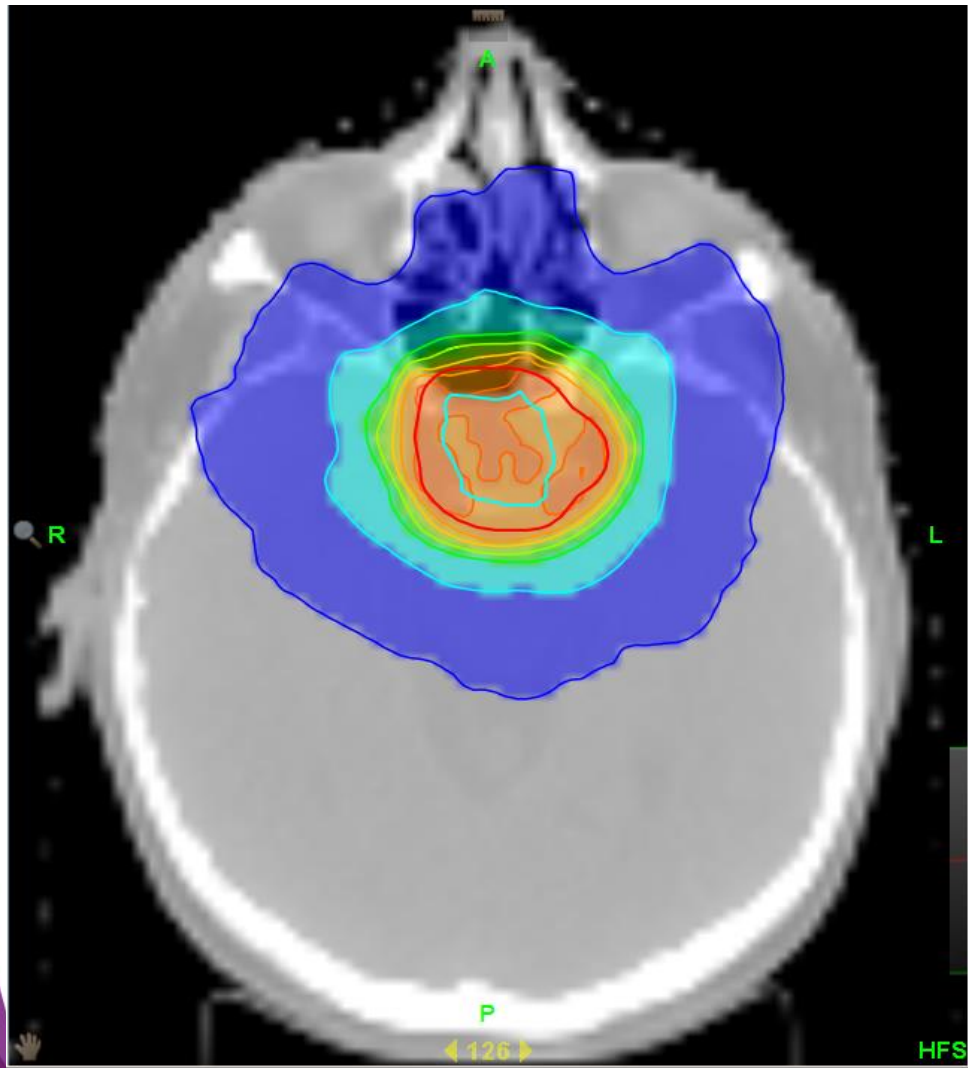
# Target volumes – PRV

- The use of a PRV around an Organ at Risk is relevant for OARs whose damage is especially dangerous
- This applies to organs where loss of a **small** amount of tissue would produce a **severe** clinical manifestation
- A PRV is relevant for an OAR with serial organisation (almost exclusively)
  - Spinal cord
  - Brain stem
  - Optic pathway
- A PRV is **not** the same as a plan optimising volume

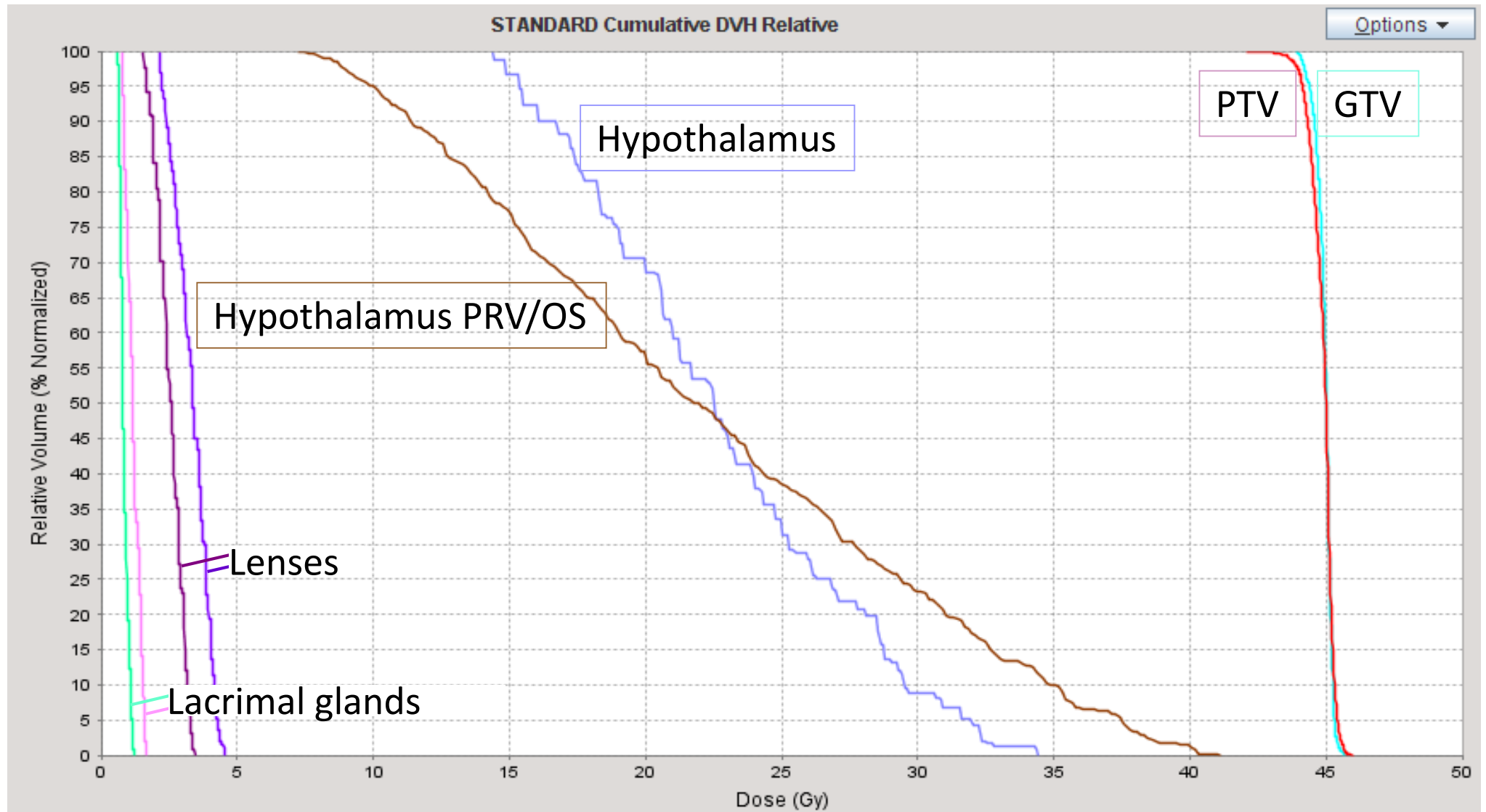


# Target volumes – PRV or optimising structure?

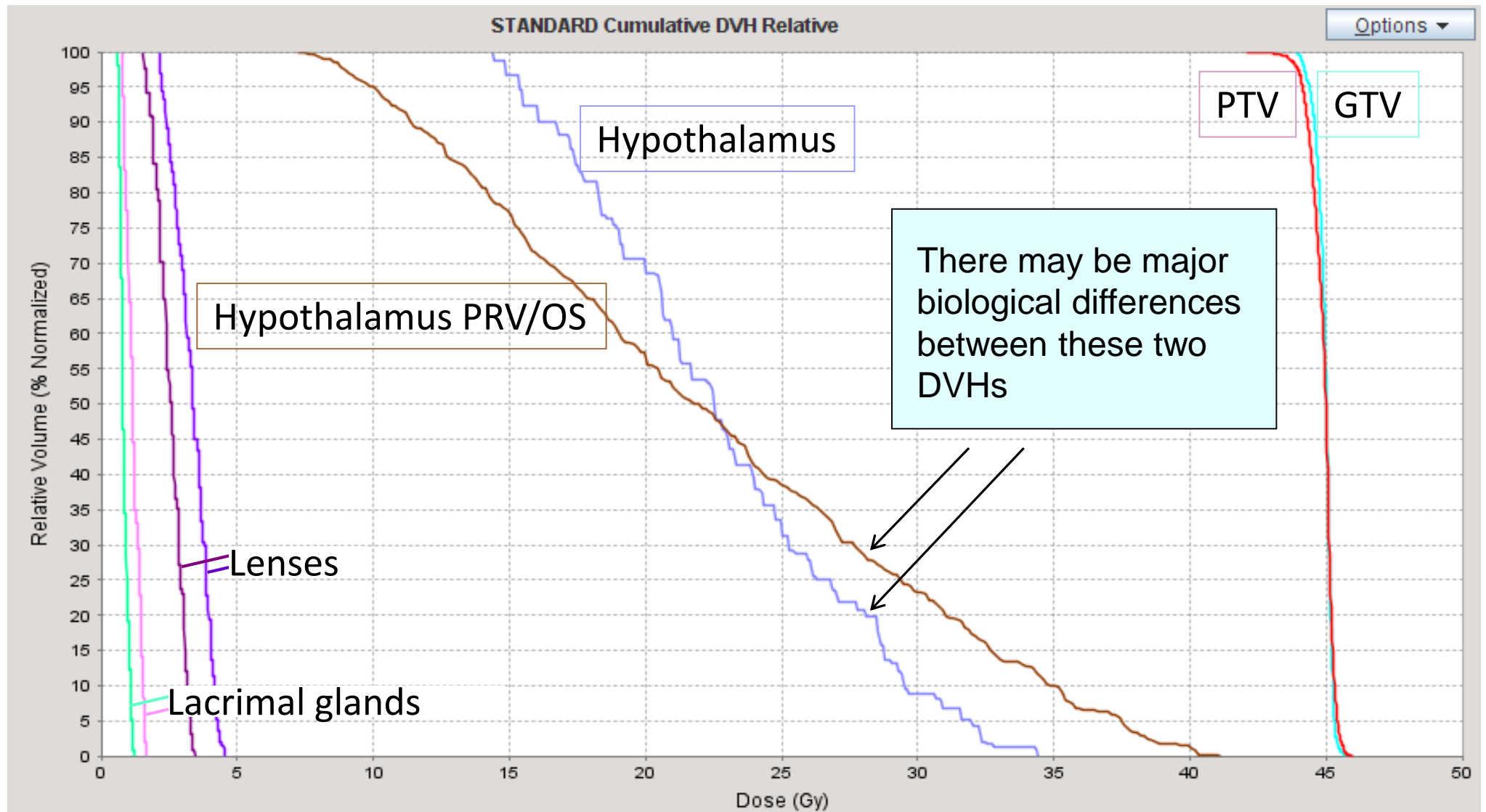
# Hypothalamus DVHs



# Hypothalamus DVHs



# Hypothalamus DVHs



# Planning dose limits

# Planning limits

- Planning dose limits are either
  - Objectives
  - Constraints = absolute
- Important to consider dose limits as one or other type
- Not quite as easy as it seems to set values for them

# Planning constraints

- Objectives
  - What we would *like* to achieve
  - We should try to meet them
  - Allow greater dose (or volume) if no alternative
  
- Constraints
  - What we *must* achieve
  - These are like a 'wall'
  - We must meet them
  - Absolute limits (e.g. no areas of higher dose)

# Planning constraints

- For a 'class solution' it should be possible to set good values
  - Values are based on experience from other cases
  - Typically apply to most of the patients
  - Not fully individualised



# Planning constraints

- For an uncommon (challenging) case, there may be no experience
  - Objective
    - If set too low allows computer (planner) to accept plan less good than is really possible
    - If set too high then effectively fails to guide the plan
  - Constraint
    - If set too low, then drives the plan away from optimal solution
    - If this is a normal tissue constraint then typically drives down dose in PTV
    - If too high then may not protect normal tissue

# Prioritising

- Constraints also need to be *prioritised*
  - Primary constraint = PTV dose
  - Primary constraint = normal tissue absolute constraint
  - Balance of prioritisation for different normal tissues may be needed
  - Different solutions may be possible

# Planning sheet

- Pre-printed sheet for CNS cases
- 2 clear columns
- Absolute = constraint

## Radiotherapy Physics

Cancer Division & Haematology Directorate

### CT Volume Definition – CNS Standard

Diagnosis			
Planning Date		Radical	<input type="checkbox"/>
		Palliative	<input type="checkbox"/>
<b>Volume</b>	<b>PTV1</b>	<b>PTV2</b>	<b>PTV3</b>
<b>Dose</b>			
<b>Fractions</b>			

**Hospital no:** {Ident.IDA@U}  
**Surname:** {Patient.Last\_Name@U}  
**First names:** {Patient.First\_Name@U}  
**Date of birth:** {Admin.Birth\_Date@d6b}  
**NHS No:** {Ident.IDB@U}

Volumes defined in Prosoma

SP		ProSoma Comment	
----	--	-----------------	--

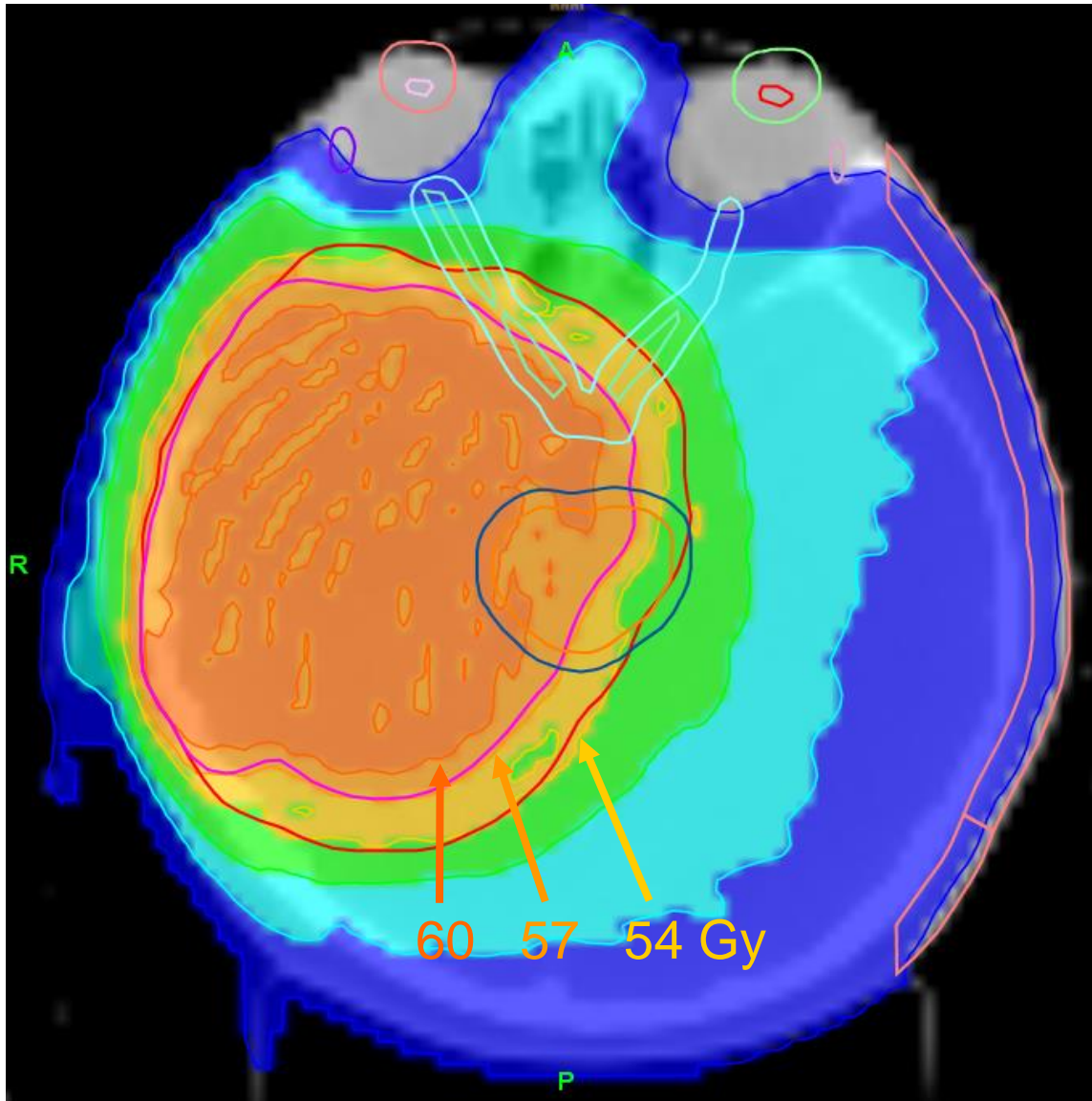
Margins to be used (cm)

	All	AP	Lateral	Sup-Inf
CTV1 – PTV1	cm	cm	cm	cm
CTV2 – PTV2	cm	cm	cm	cm
CTV3 – PTV3	cm	cm	cm	cm

All dose constraints are maximum point dose unless otherwise specified

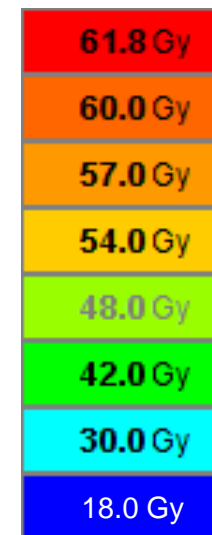
Use?	Organ	Objective (Gy)	Absolute (Gy)
<input type="checkbox"/>	PRV Spinal Cord	48	50
<input type="checkbox"/>	PRV Brainstem	50	52
<input type="checkbox"/>	PRV –Optic Chiasm	50	54
<input type="checkbox"/>	PRV Lt Optic Nerve	50	54
<input type="checkbox"/>	PRV Rt Optic Nerve	50	54
<input type="checkbox"/>	Hippocampus / Eloquent cortex (1cc)		
<input type="checkbox"/>	Pituitary		
<input type="checkbox"/>	Lt Globe	40	45
<input type="checkbox"/>	Rt Globe	40	45
<input type="checkbox"/>	Lt Lens	6	
<input type="checkbox"/>	Rt Lens	6	
<input type="checkbox"/>	Lt Cornea	30	
<input type="checkbox"/>	Rt Cornea	30	
<input type="checkbox"/>	Lt parotid (mean)	20	-
<input type="checkbox"/>	Rt parotid (mean)	20	-
<input type="checkbox"/>	PRV Lt Cochlea (mean)	35	45
<input type="checkbox"/>	PRV Rt Cochlea (mean)	35	45
<input type="checkbox"/>	Mandible	60	-
<input type="checkbox"/>	Lt Lacrimal gland (mean)	26	-
<input type="checkbox"/>	Rt Lacrimal gland (mean)	26	-
<input type="checkbox"/>	Skin		

# Objectives and Priorities



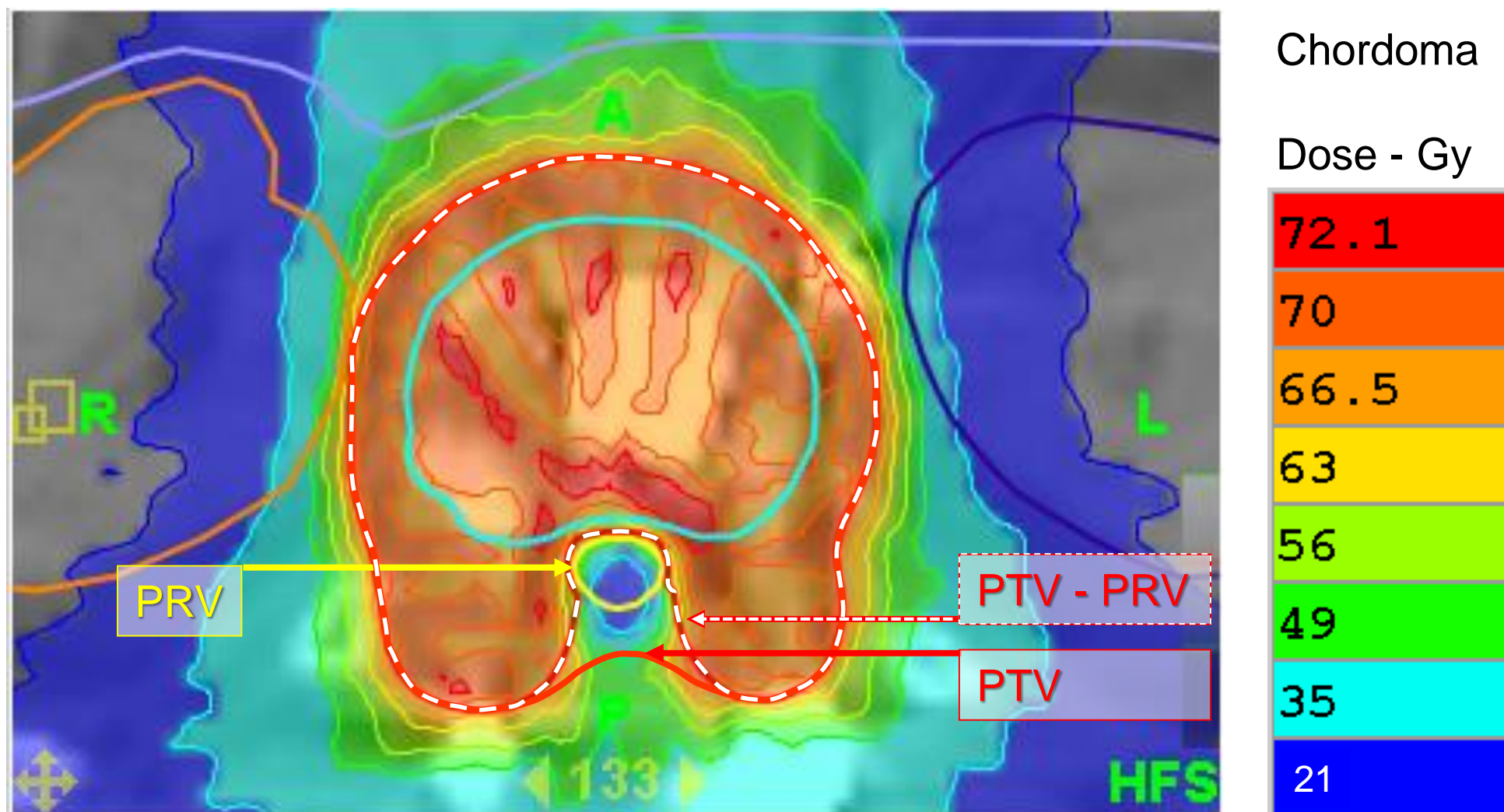
## Glioblastoma

### Dose - Gy



- Objectives for PTV doses
- Constraint for max dose in optic nerves
- Prioritise PTV > PRV

# Constraints and Priorities



- Absolute dose constraint for cord PRV (58.6 Gy for 70 Gy/39#)
- Priority PRV > PTV

# Target volumes – overlaps

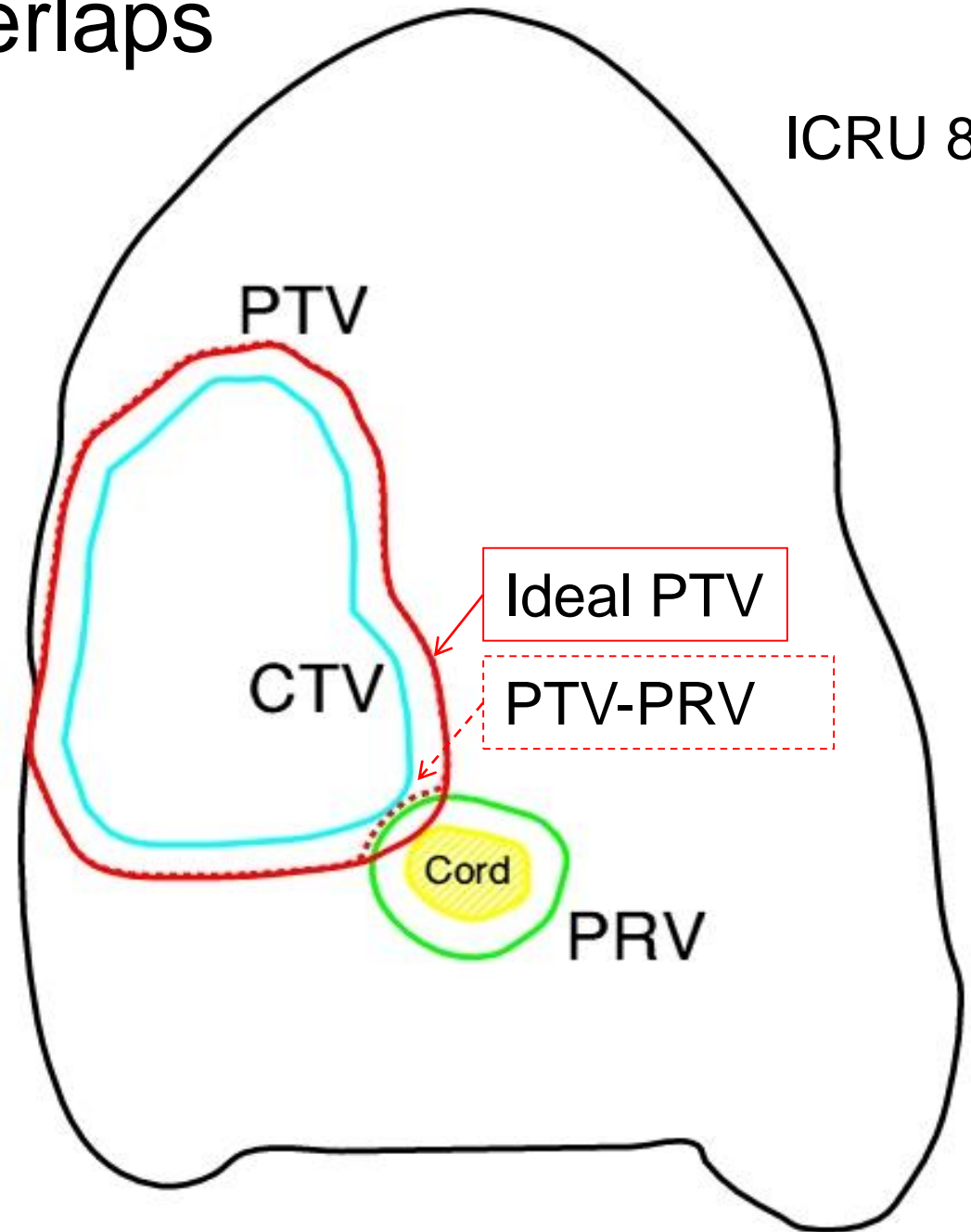
# Target volumes – overlaps

- There are always occasions when the PTV and OARs/PRVs overlap
- What is the best strategy?
- The planning concept has changed between ICRU 62 and 83
- In fact it changed completely in ICRU 83
- ICRU 62 – edit PTV (even CTV) – fine for CRT
- ICRU 83 – **do not** edit – better for IMRT

# Target volumes – overlaps

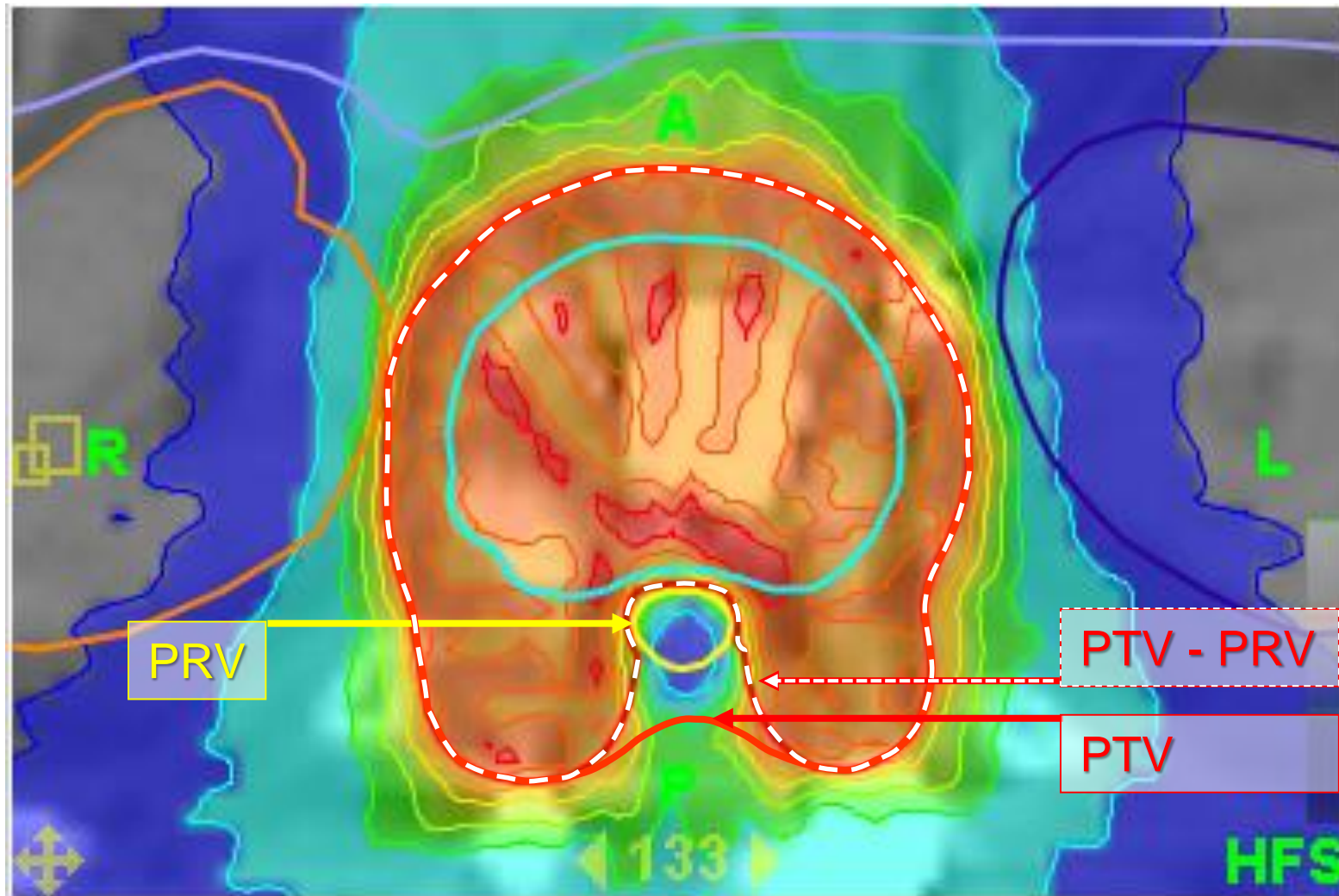
ICRU 83

- ICRU 83 approach for IMRT
- Add 2nd volume avoiding overlap
- Specify priorities and doses





# Target volumes – overlaps



- PRV essential here to protect cord (so is IGRT)
- Priority PRV > PTV

# Target volumes – overlaps

- Advantages of not editing PTV (ICRU 83)
  - Clear to planner what is required
  - Clear on subsequent review what target was intended
  - Doses can be adjusted by dose constraints
  - More clearly matches the real clinical objectives
  - Ideal for IMRT delivery

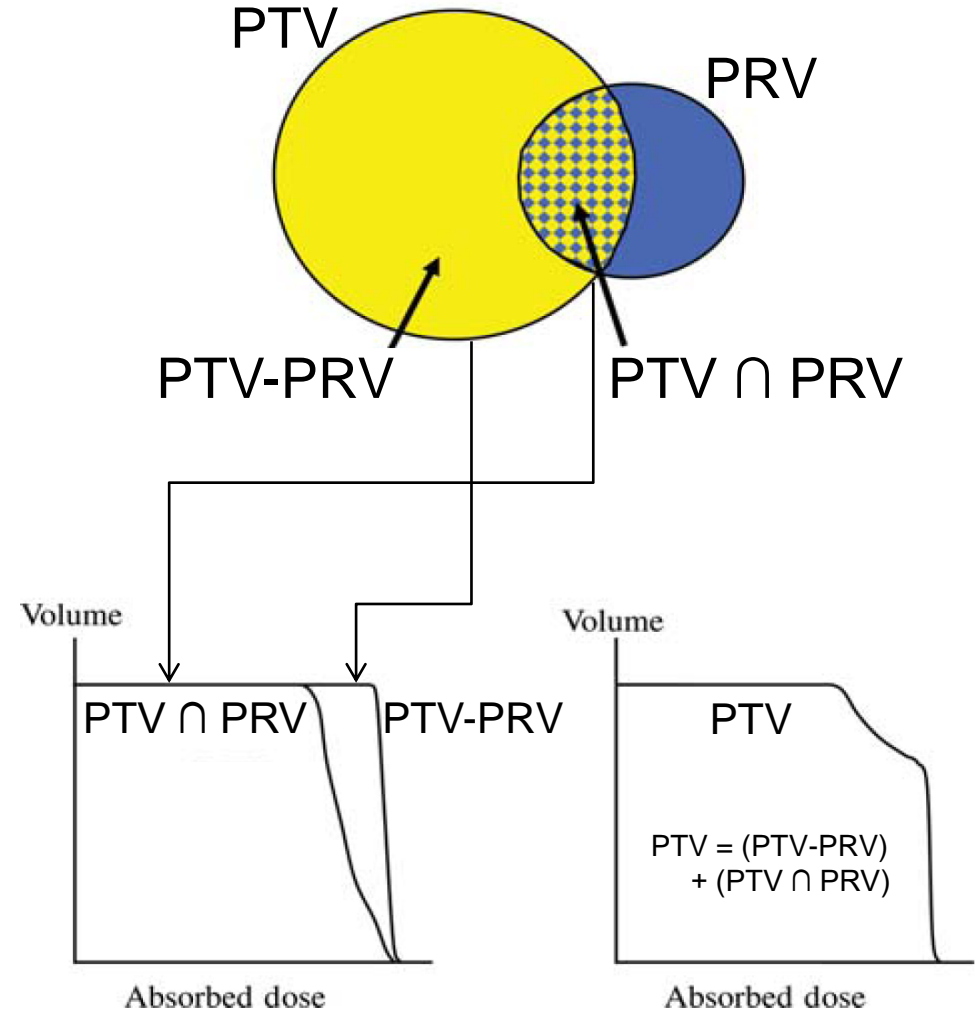
# Target volumes – overlaps

- Overlapping volumes requires:
  - Very clear objective setting
  - Good communication between clinician & planner  
Dialogue (i.e. 2 way communication) is recommended !
  - Use the optimiser to deliver different doses to different parts of the target
  - May make assessment of plan using DVH for the PTV more difficult

# Target volumes – overlaps

From ICRU 83

- Review DVHs carefully
- Overall, more robust method



# Take home messages

- Median dose closest to 'old' ICRU isocentre prescription point
- Use GTV/CTV/PTV volumes carefully
- Contour OARs carefully, with protocol & add PRV if appropriate
- Define
  - Planning objectives and constraints - carefully & interactively
  - Prioritisation
- Overlaps can occur between PTV and OAR (or PRV)
  - Do not edit
  - Construct additional exclusion volumes
  - Use IMRT

# Radiation oncology - a team effort



Olympic  
OARsmen

GB men  
4-  
2016

# Additional resources

# Other volumes - TD

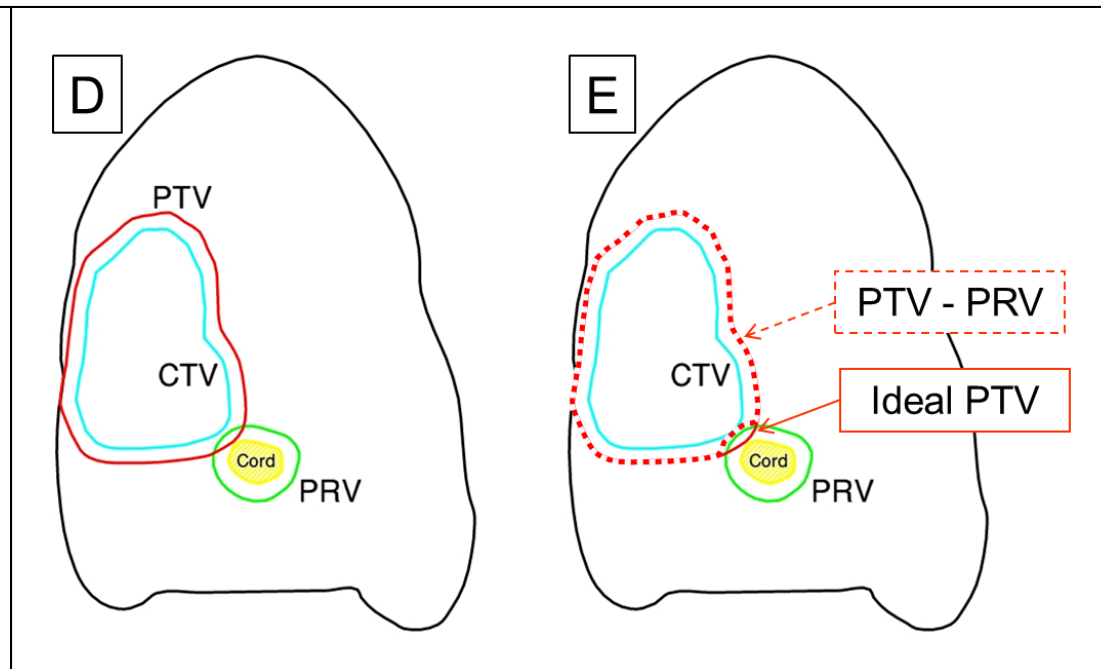
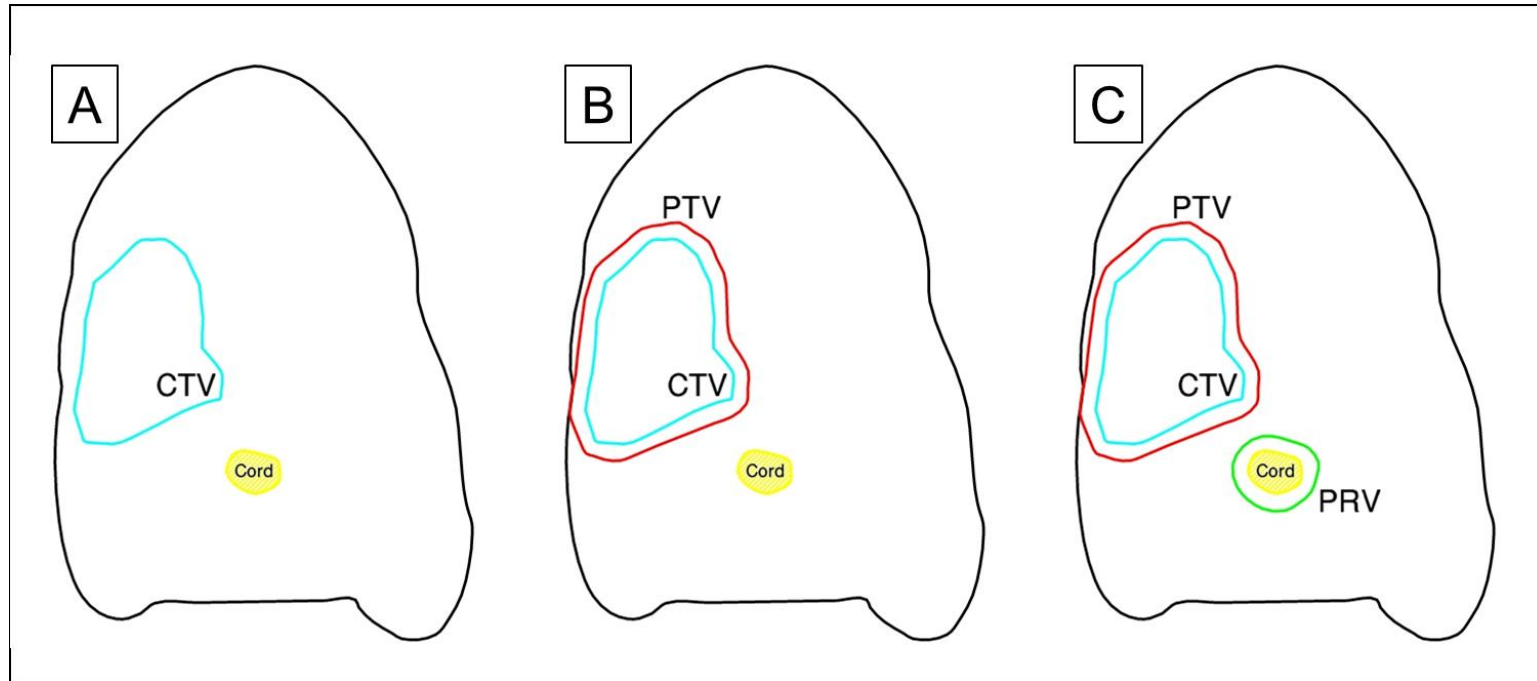
- **Treated volume – TD**
- Recognises that specified isodose does not conform perfectly to the PTV
  - Can be larger or smaller
- $D_{98\%}$  could be used
- Needs to report size, shape & position relative to PTV
  - Can help evaluation of causes for local recurrences



# Other volumes - RVR

- **Remaining Volume at Risk – RVR**
- Volume of the patient excluding the CTV and OARs
- Relevant because unexpected high dose can occur within it
- Can be useful for IMRT optimisation
- Might be useful for estimating risks of late carcinogenesis

# Target volumes - overlaps



Zielvolumenkonzepte  
Burnet et al.

Radiologe. 2018;  
58(8): 708-721

# ICRU guidance

- ICRU 83 mentions the possibility of adding some additional parameters relating to dose
- Optional, but may become interesting
  - Homogeneity Index & Conformity Index
  - EUD – Equivalent Uniform Dose
  - TCP, NTCP
  - Probability of uncomplicated tumour control (PUC)

# Homogeneity Index

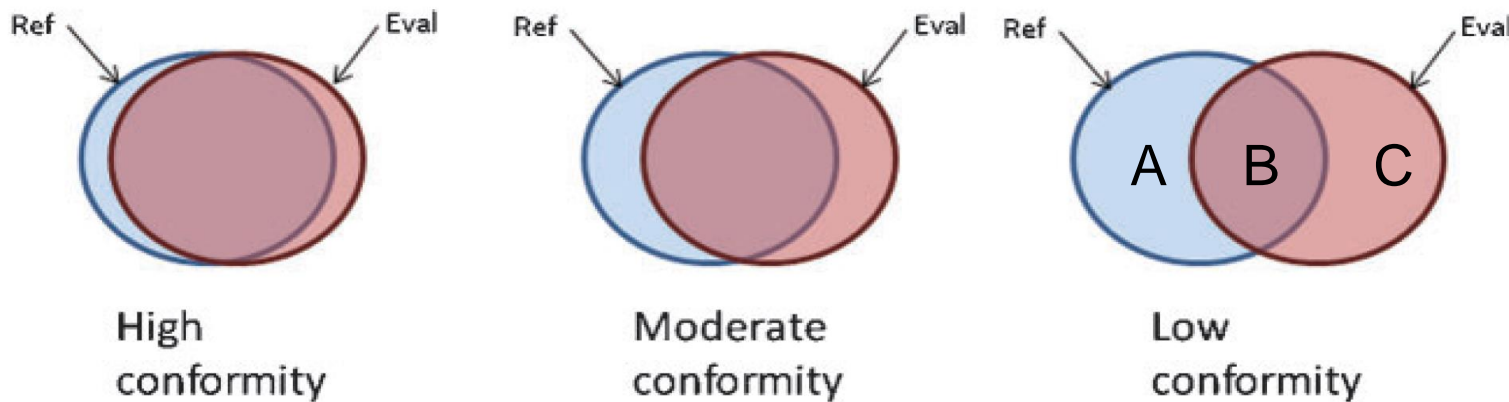
- Designed to show level of homogeneity

$$HI = \frac{D_{2\%} - D_{98\%}}{D_{50\%}}.$$

- Difficult to relate to experience (for me)
- Requires further investigation

# Conformity Index

- Conformity index
  - Describes how well high dose isodoses 'conform' to the PTV
  - Compares specified isodose to PTV



$$\text{Conformity Index} = \frac{B}{(A+B+C)}$$

# Equivalent Uniform Dose - EUD

- Reduces an inhomogeneous dose distribution to an equivalent homogeneous dose
- Can then be described by a single dose parameter
- Useful and worth understanding
- Gay HA, Niemierko A. A free program for calculating EUD-based NTCP and TCP in external beam radiotherapy. *Phys Med.* 2007; 23(3-4): 115-25
- Niemierko A. Reporting and analyzing dose distributions: a concept of equivalent uniform dose. *Med Phys.* 1997; 24(1): 103-10.

# Equivalent Uniform Dose - EUD

- Depends on 'knowing' the value of the exponent 'a'

$$EUD = \left( \sum_i v_i D_i^a \right)^{1/a}$$

- $v_i$  = volume of the dose-volume bin  $D_i$
- 'a' = response-specific parameter

# Equivalent Uniform Dose - EUD

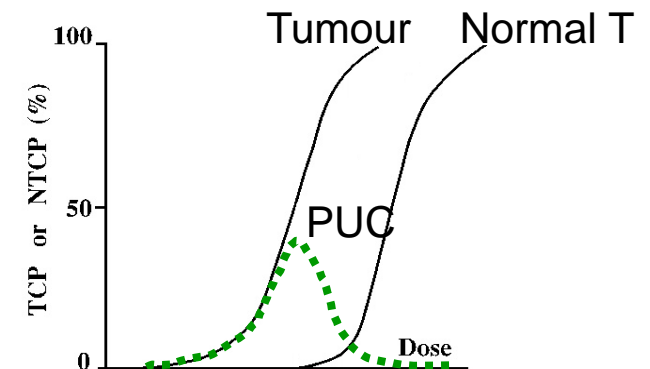
- For tumours 'a' is negative
  - Typical range -5 ('less malignant') – meningioma
  - to -15 ('more malignant') - chordoma
- For normal tissues 'a' is positive
  - Parallel - near 1
  - Serial – larger e.g. up to 20 for spinal cord
  - 'a' =  $1/n$  in the LKB formulation



# TCP, NTCP, PUC

- TCP, NTCP
  - Require assumptions and estimates in models
  - An obvious development
  - Requires more hard dose-volume response data
- Probability of uncomplicated (PUC)
  - ‘ideal’ parameter ?
  - May suggest lower doses

tumour control





**ESTRO**

*School*

# **Non-IMRT planning** ***from simple to complex***

**Advanced Treatment Planning Course**  
23-27 September 2018 – Athens, Greece

Markus Stock

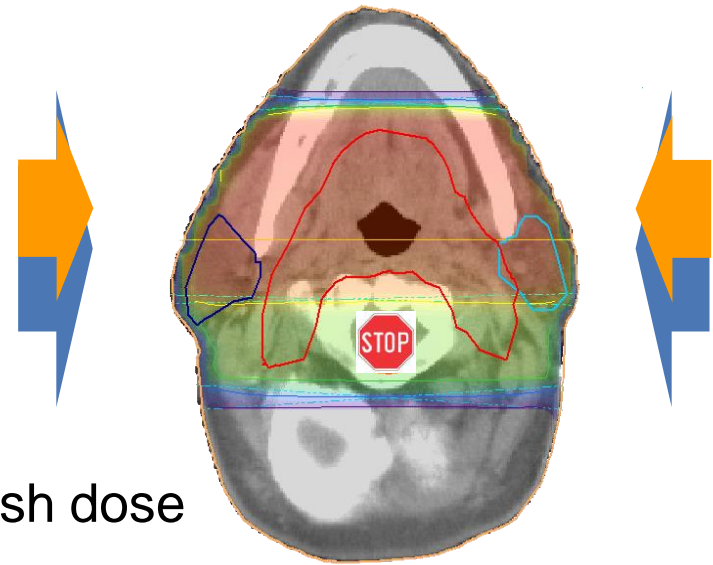
# Content

- Basics 3D-CRT and IMRT
- General planning aspects
- Clinical examples
  - head and neck:
    - 3D conformal
  - cranio-spinal lesions:
    - beam set-up non-IMRT
    - challenges in planning
- advanced treatment planning – how to do it?

# Basics and general planning aspects

# Limitations of 3DCRT

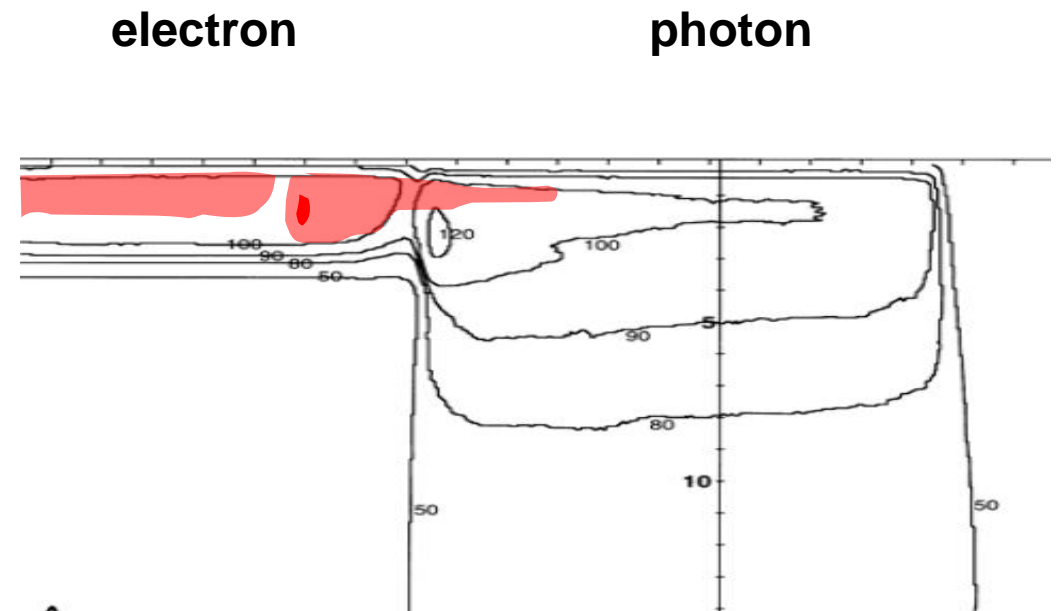
- Hard to get acceptable plans for concave targets
- One needs a large number of beams to accomplish dose coverage for complicated target volumes
- limited possible beam directions in regions with large number of critical structures
- optimal beam angles often non- coplanar and can be difficult to apply without collisions, and moreover: *difficult to find*



Courtesy Marika Enmark

# Use of abutting beams

- Electron - electron beam matching
  - difficult to match without hot- or cold-spots due to influence on isodose lines of patient curvature
- Electron – photon beam matching
  - beams abutted on the surface gives a hot spot on the photon side and a cold spot on the electron side
  - caused by out-scattering of electrons from the electron fields



# Choice of optimal beam energy

## Aspects

- penetration depth
- dose delivered to normal tissue
- penumbra broadening

	4MV	6MV	8MV	10MV	15MV	≥18MV
Cranial						
HN						
Thorax						
Pelvic						

## Higher energy in low density regions

- higher energies means larger penumbra due to increase in lateral electron transport ( $\geq 10\text{MV}$ )
- sufficiently accurate planning calculation algorithms are required for decisions on optimal beam energy

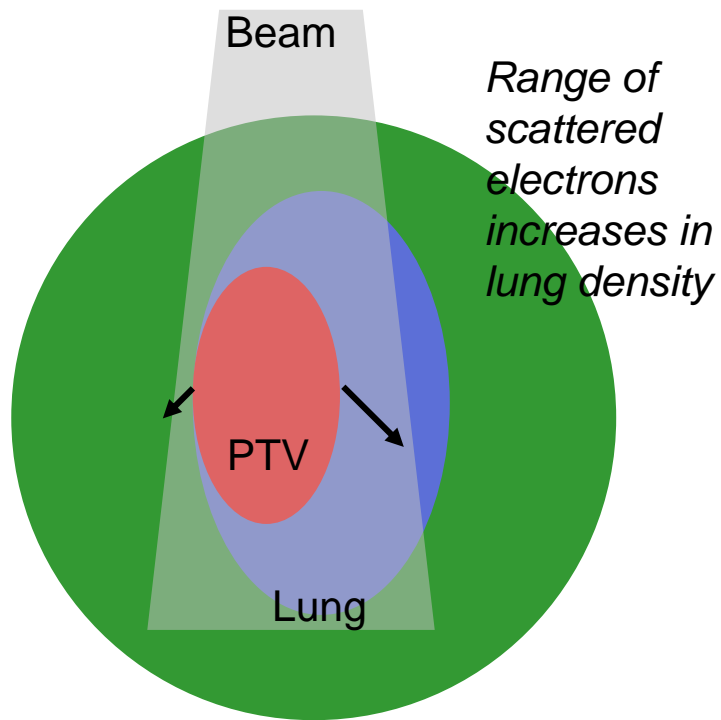


# Choice of optimal beam energy in the thorax region

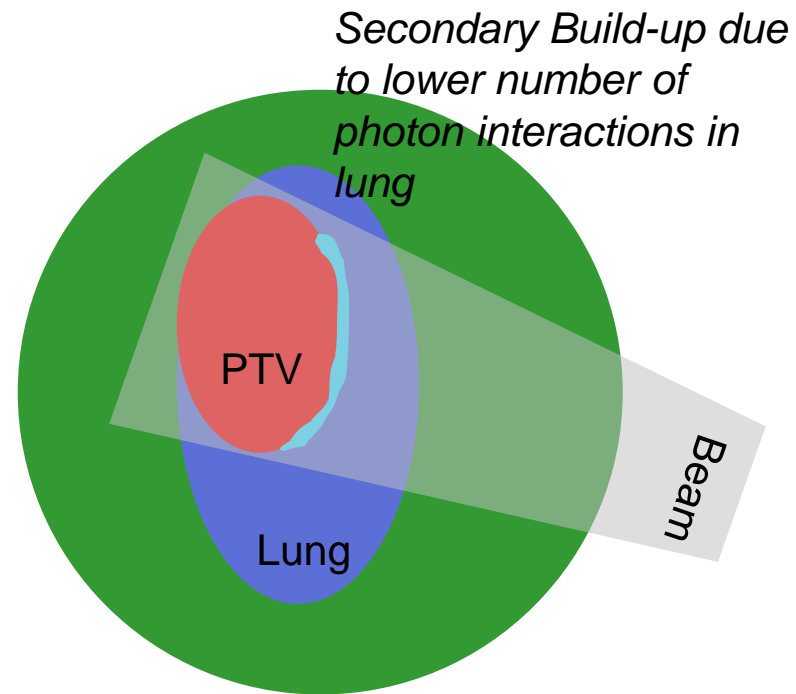
- Low energy beam is preferable
  - tighter margins, sharp dose gradient
  - no significant difference between 6 and 18MV treatment plan (# beams!)
- High energy may be used
  - central tumor location or consolidated lung

# Interface effects

- Broadening penumbra in low density area



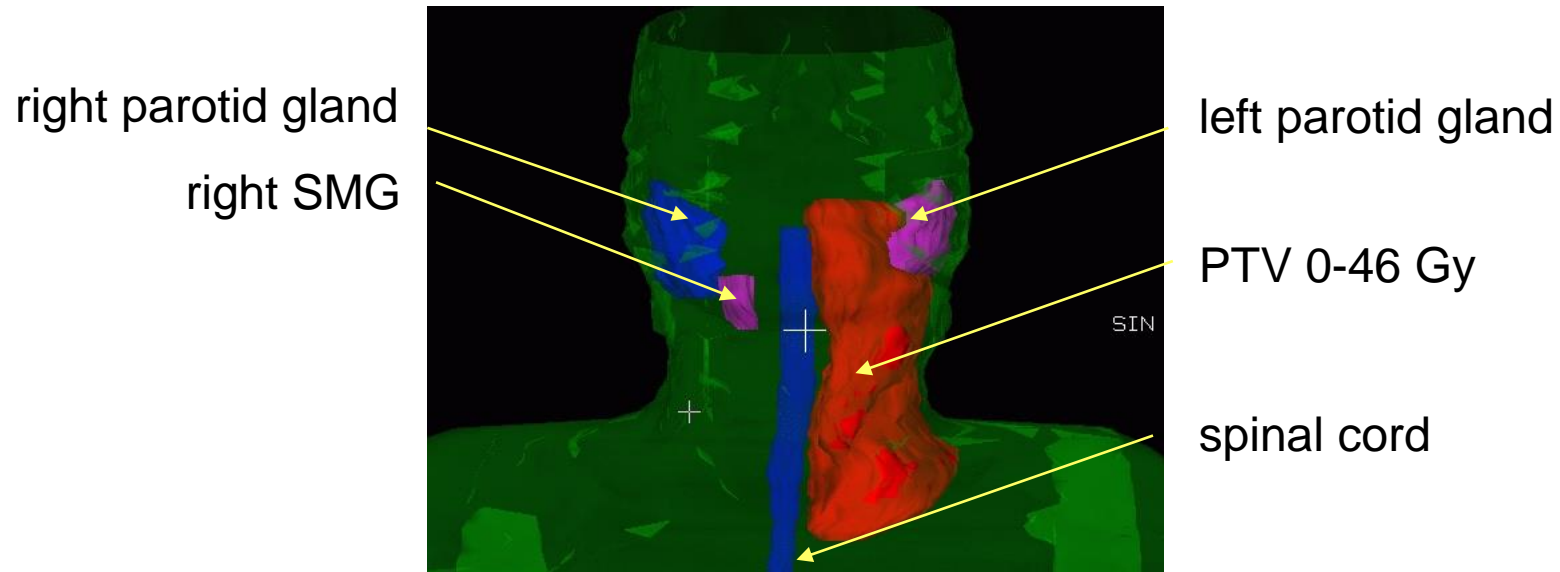
- Build-up and build-down in low density area



# Head & Neck 3D

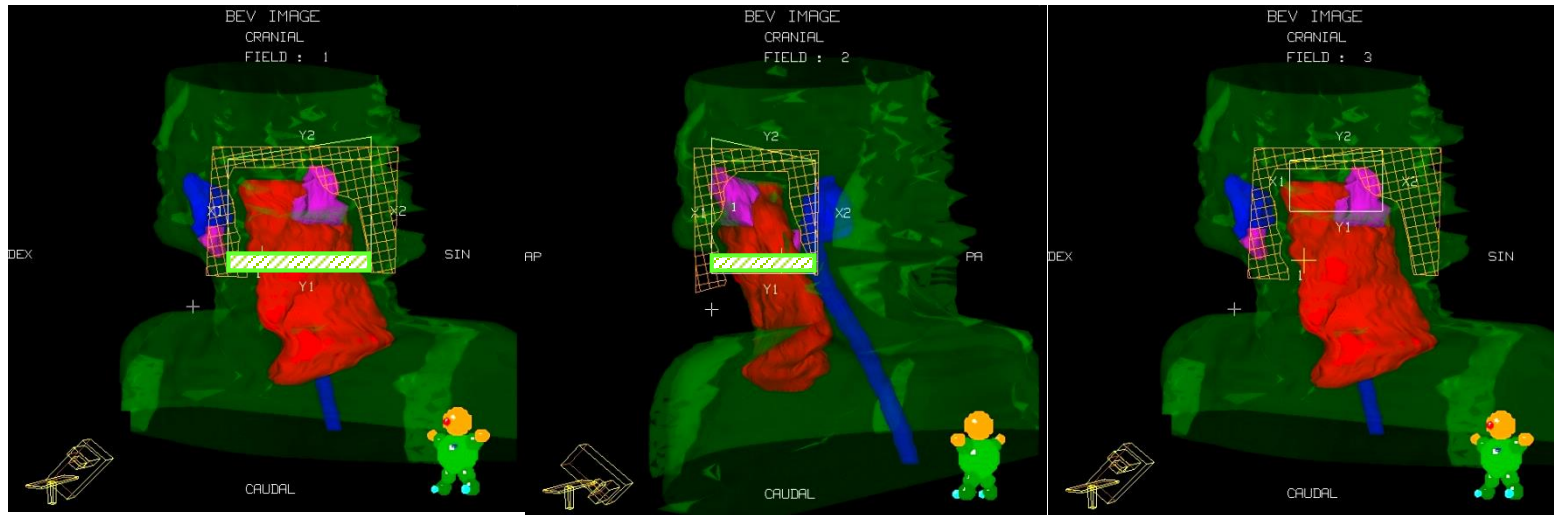
# Head and neck 3D-CRT example: Tonsillar fossa Ca.

- T1-T3, N0
- CTV = primary tumor + uni-lateral neck (level II-IV)
- 46 Gy 3D-CRT
- BT boost



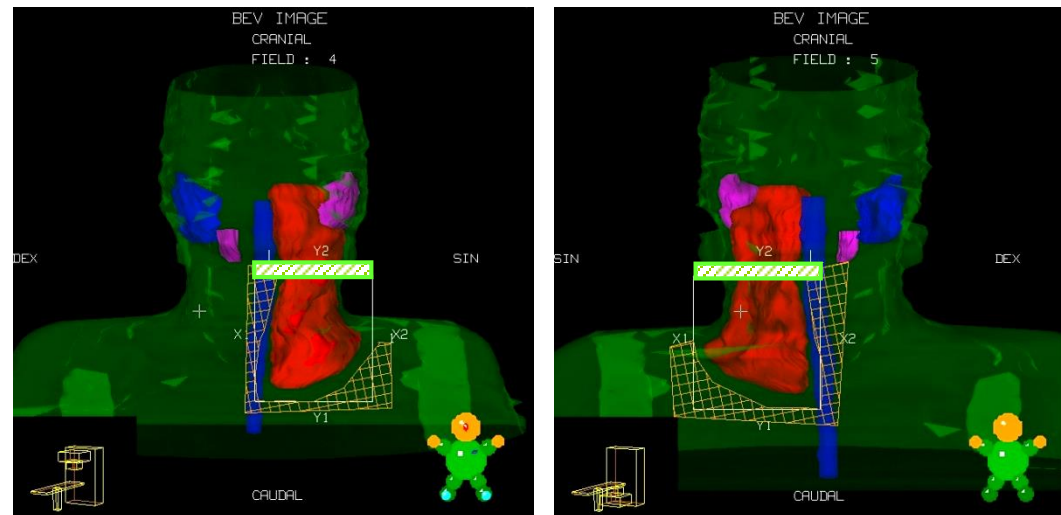
'simple' 3D CRT plan

# Head and neck: Tonsillar fossa Ca.



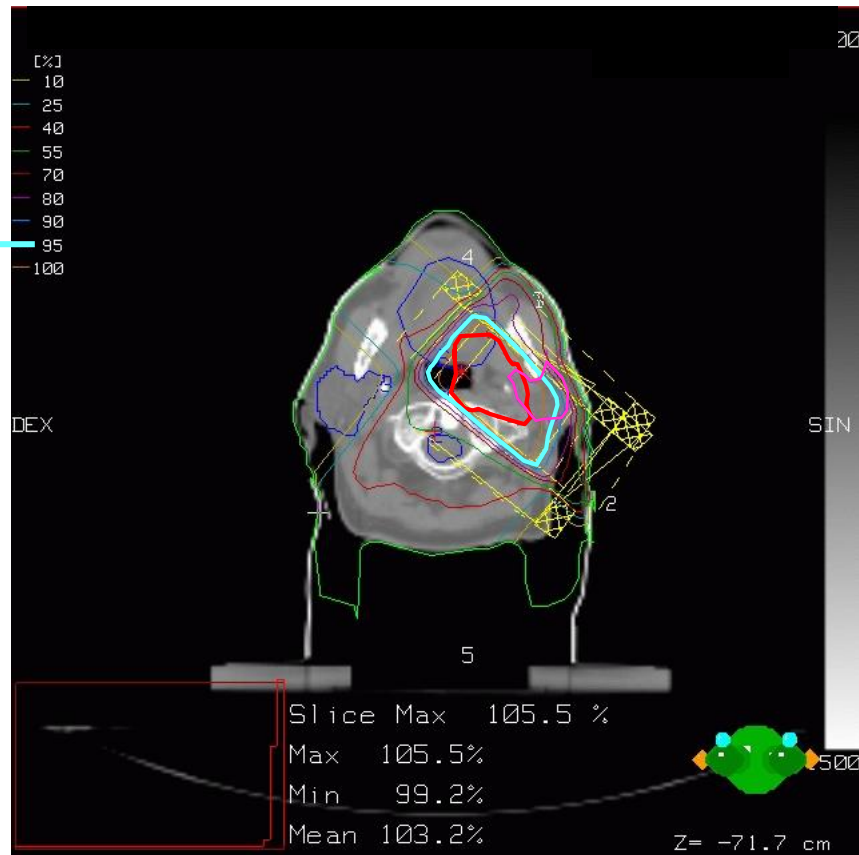
5 fields:  
3 cranial fields  
2 caudal fields  
sliding junction } \*

\* total: 9 fields



# Head and neck: Tonsillar fossa Ca.

## 9-field 3D-CRT



## 4-field IMRT



## Head and neck: Tonsillar fossa Ca.

mean dose (Gy)	3D-CRT	4 field IMRT
right parotid gland	2.6 Gy	4.0 Gy
left parotid gland	40 Gy	27 Gy
ri SMG	18 Gy	10 Gy
oral cavity	24 Gy	24 Gy

## Head and neck: Tonsillar fossa Ca.

do we really need IMRT for this case?

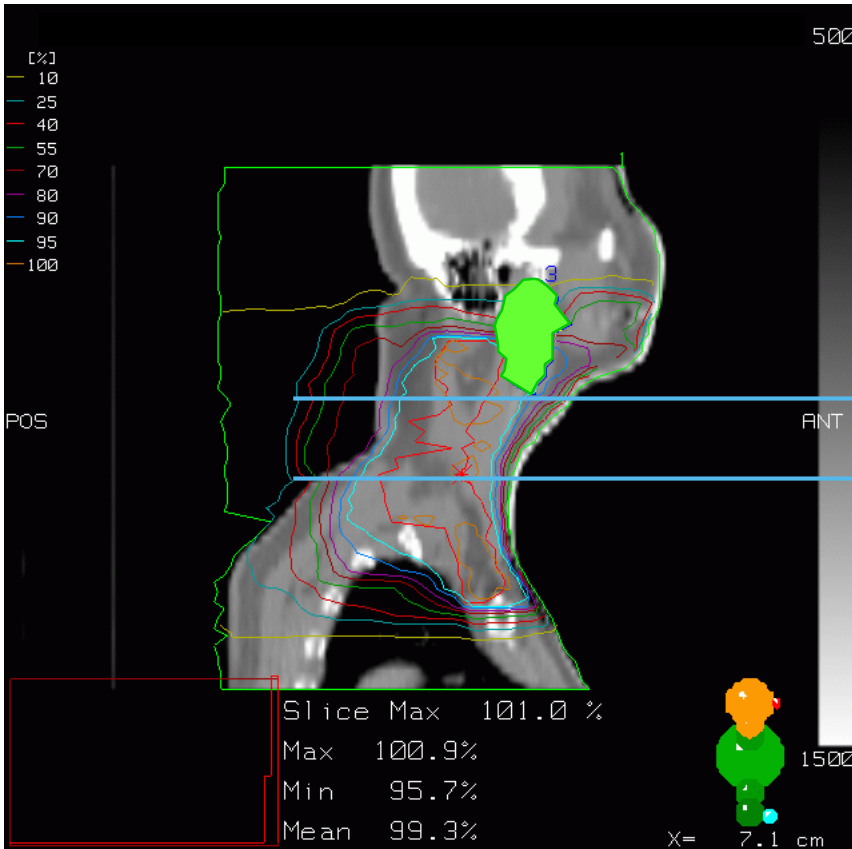
no we don't, but application of IMRT results in:

- more OAR sparing
- less treatment planning time
- less delivery time
- no use of a sliding junction, so less risk



# Head and neck: Tonsillar fossa Ca.

position of the isocenter



2 identical IMRT plans except for the isocenter position

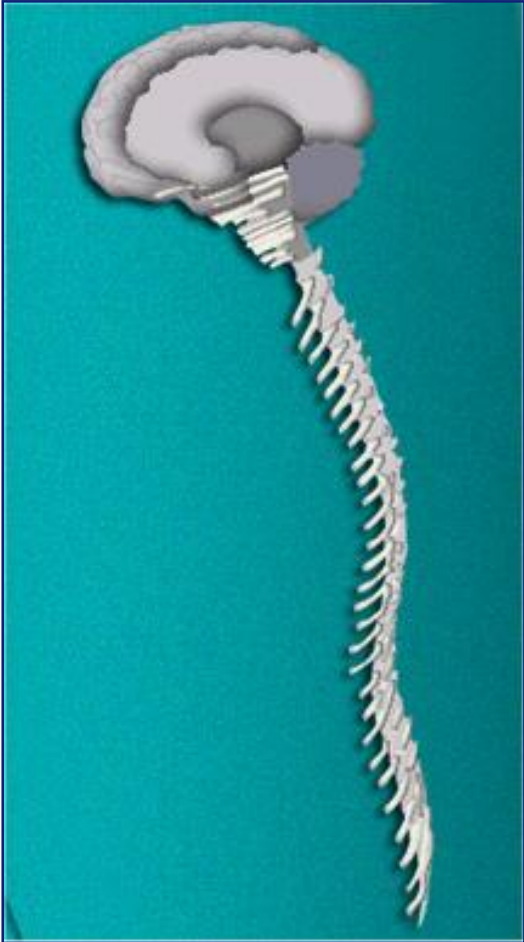
mean dose parotid 27 Gy

mean dose parotid 30 Gy

divergence of the beam in OAR direction

# Cranio-spinal lesions

# Cranio-spinal lesions



clinical target volume for cranio-spinal irradiation:

- meningeal surfaces of the brain
- spinal cord

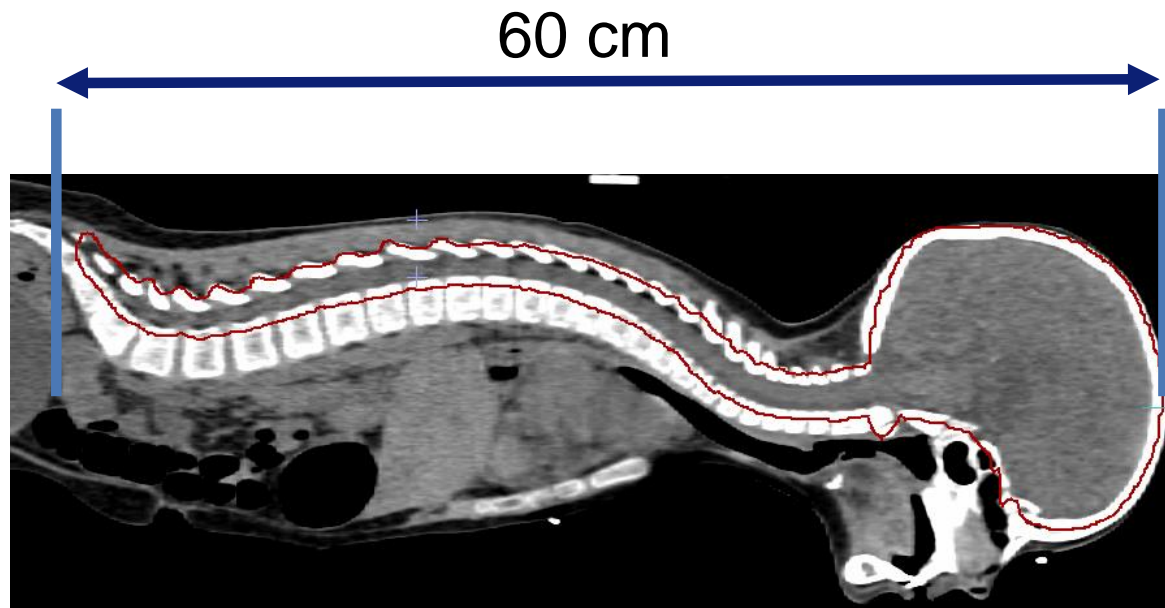
# Cranio-spinal lesions

- small number of patients, lack of planning experience
- hardware limitations of TPS?
  - max number of CT slices ? (300+)
  - calculation time / grid size
- beam set-up cranio-spinal treatment
  - need for IMRT? combination 3D-CRT + IMRT?
    - multiple energy, sliding junction etc.

# Cranio-spinal lesions

## Challenges:

- limitation in maximum field size
- junction area lateral cranial fields – posterior spinal field
- dose distribution spinal field?





# Cranio-spinal lesions

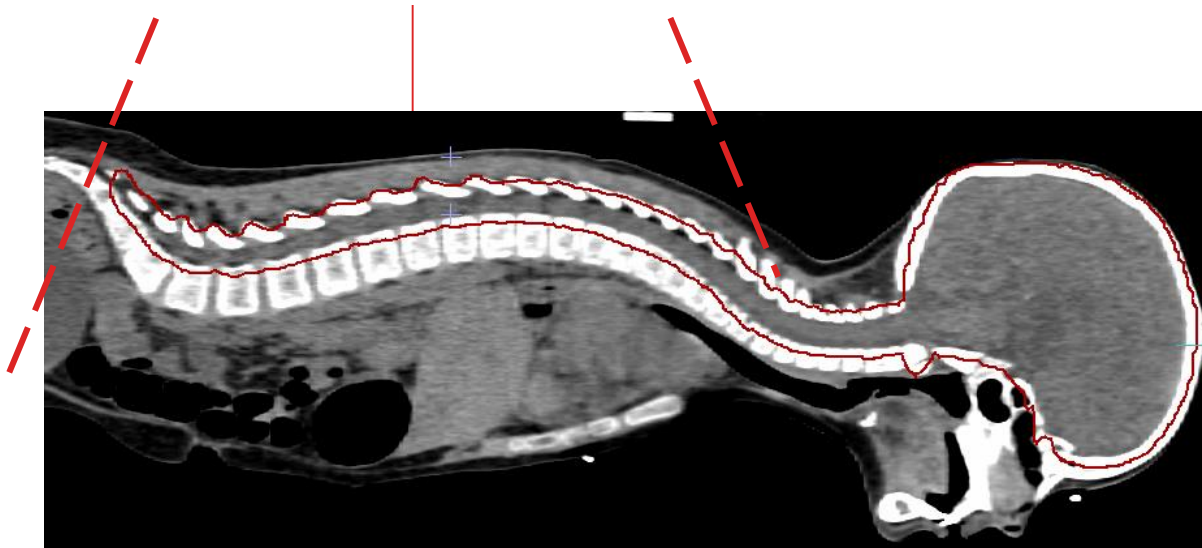


Challenges spinal field:

maximum field size:

40 cm at focus isocenter distance 100 cm

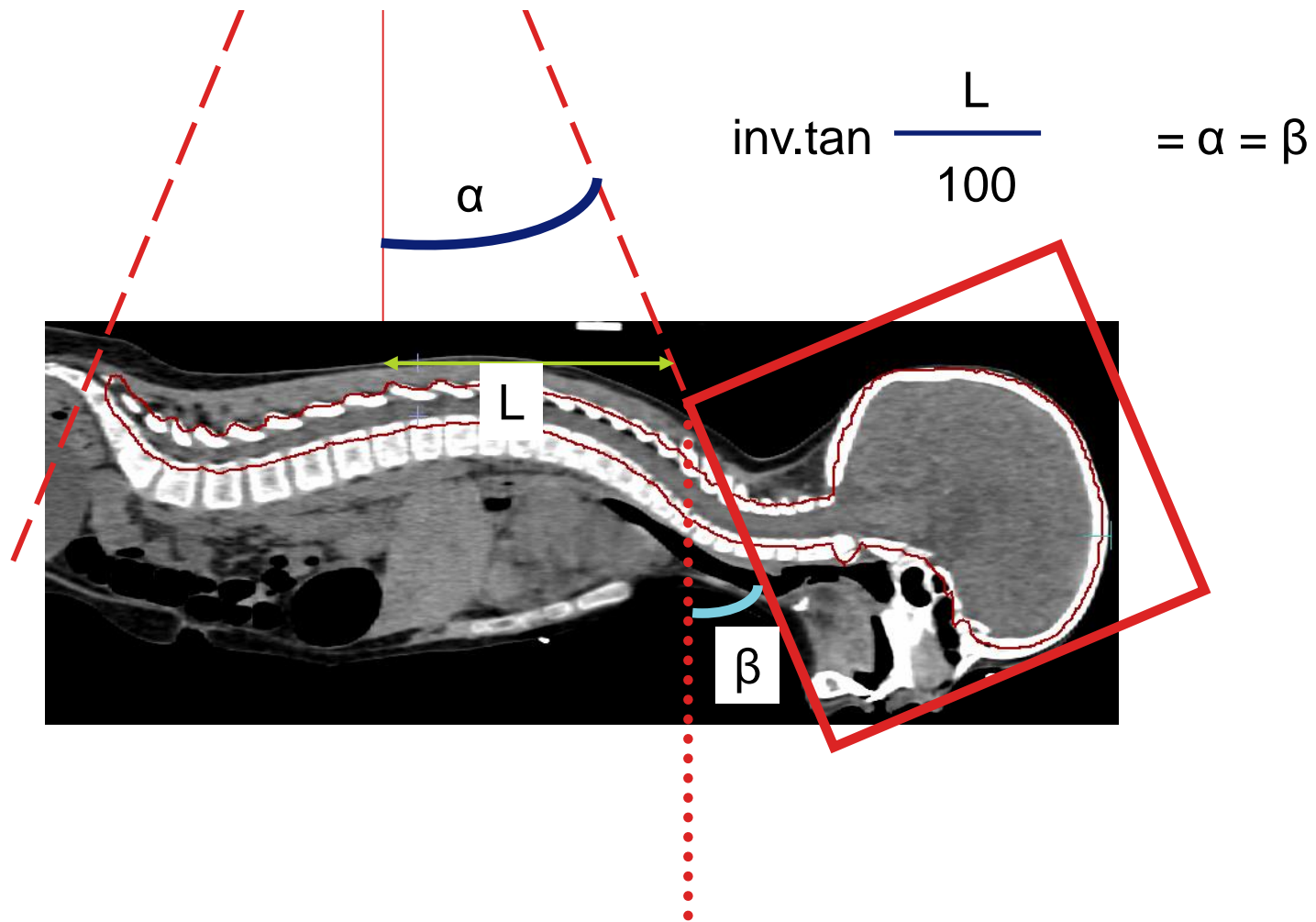
1 or 2 spinal fields (1=supine, 2= prone)





# Cranio-spinal lesions

collimator angle cranial field = 'half top angle' spinal field

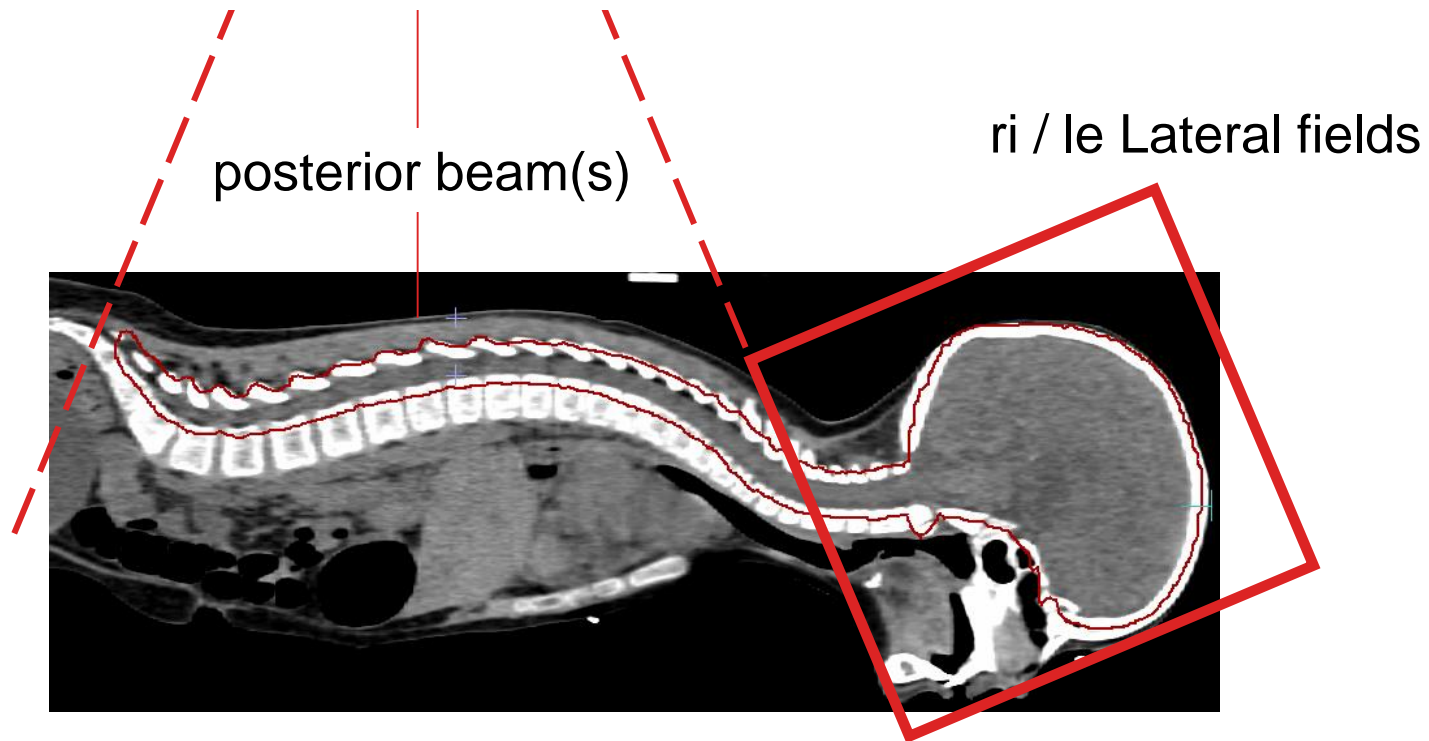




# Cranio-spinal lesions

Challenges non-IMRT:

- junction lateral fields – PA spinal field

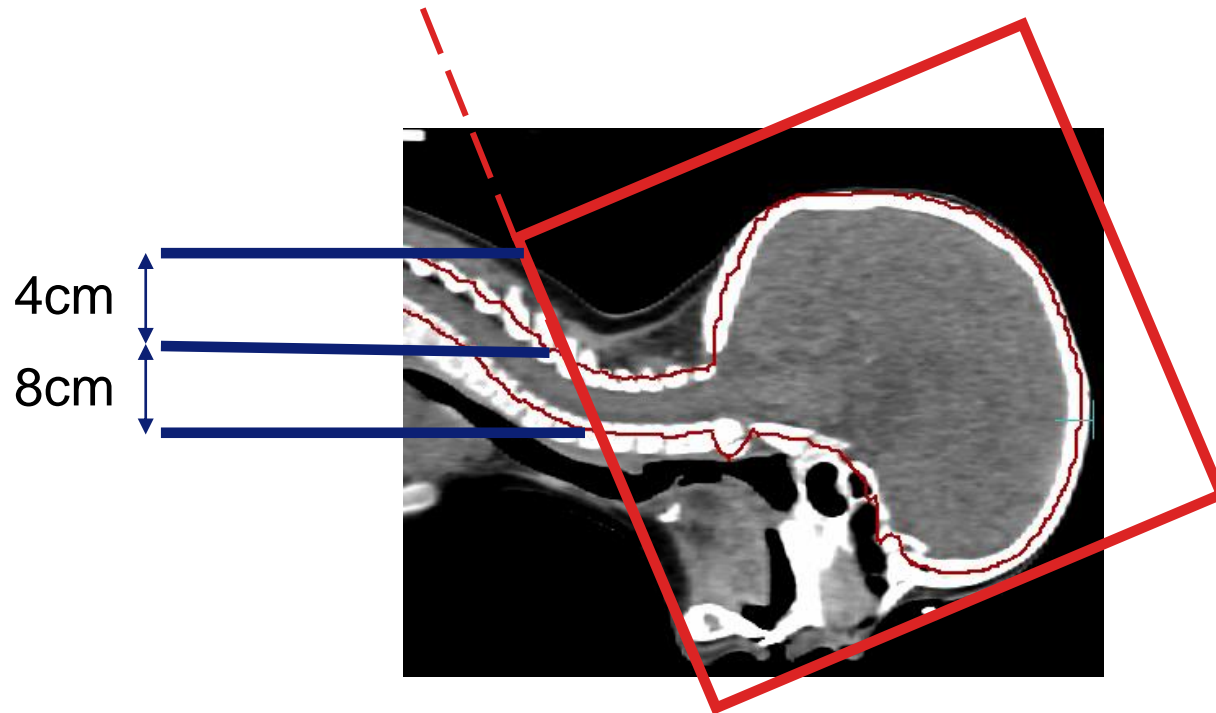




# Cranio-spinal lesions

Challenges non-IMRT:

- junction lateral fields – PA spinal field  
difficult due to differences in depth in junction area

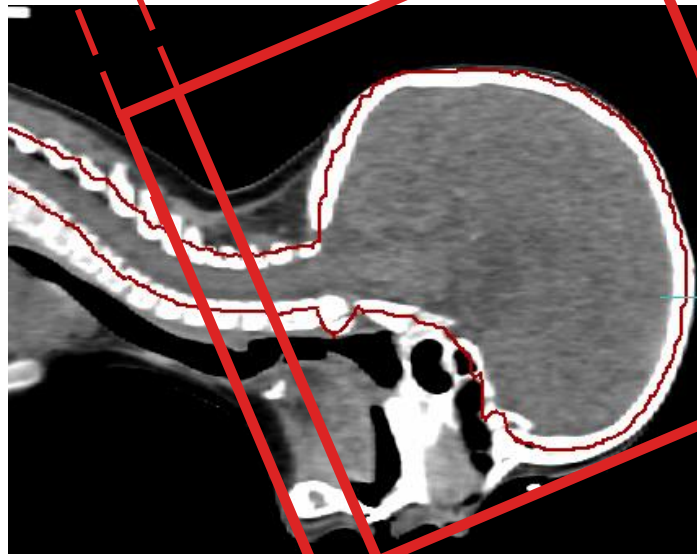


additional sub-fields , multiple energies?

# Cranio-spinal lesions: cranial fields

Challenges non-IMRT:

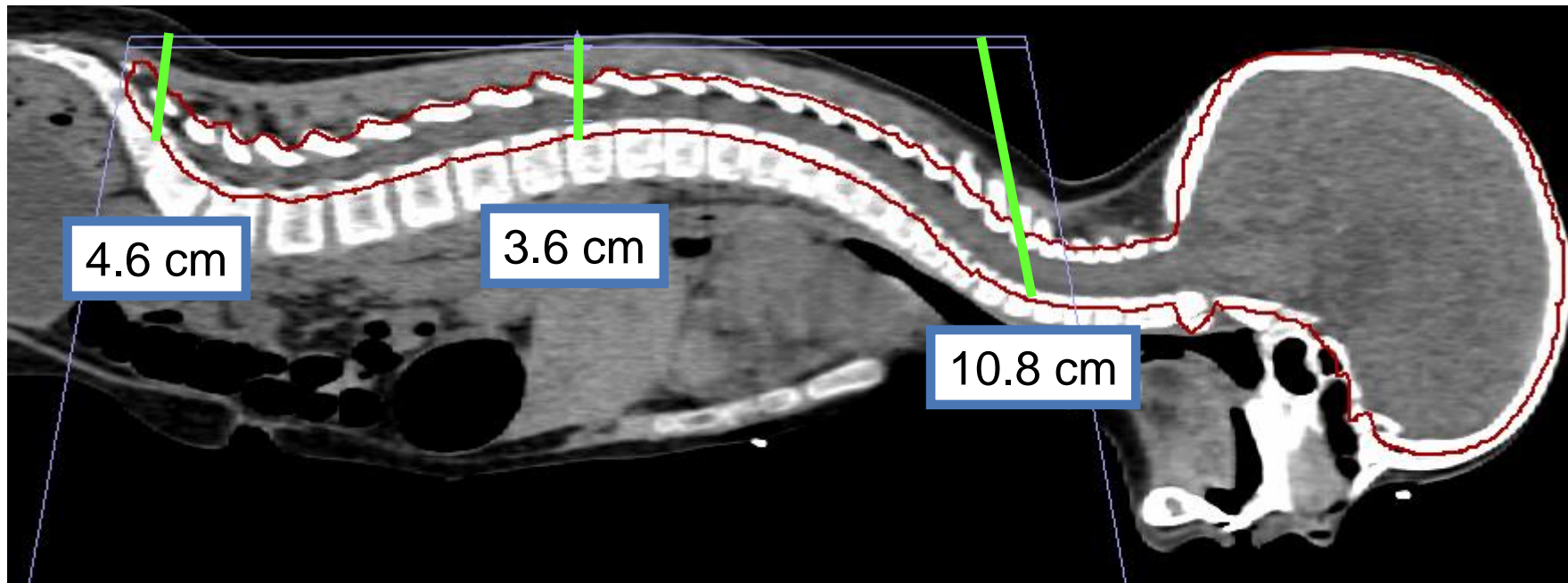
- junction lateral fields – PA spinal field  
better dose-distribution in junction, broader penumbra  
→ sliding junction



# Cranio-spinal lesions: spinal field

## Challenges Non-IMRT:

- differences in depth of spinal PTV
- different focus skin distances

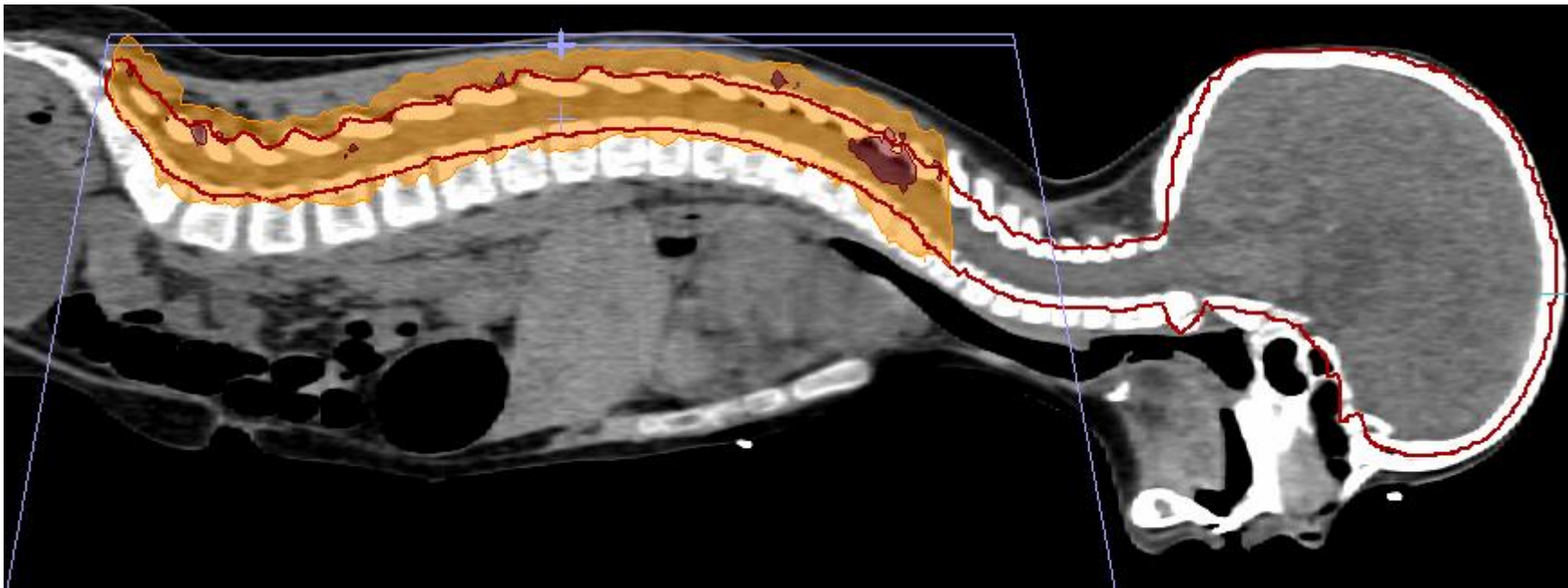


prescribing dose at mean depth, or additional sub-fields needed  
multiple energy fields

# Cranio-spinal lesions: need for IMRT??

IMRT planning:

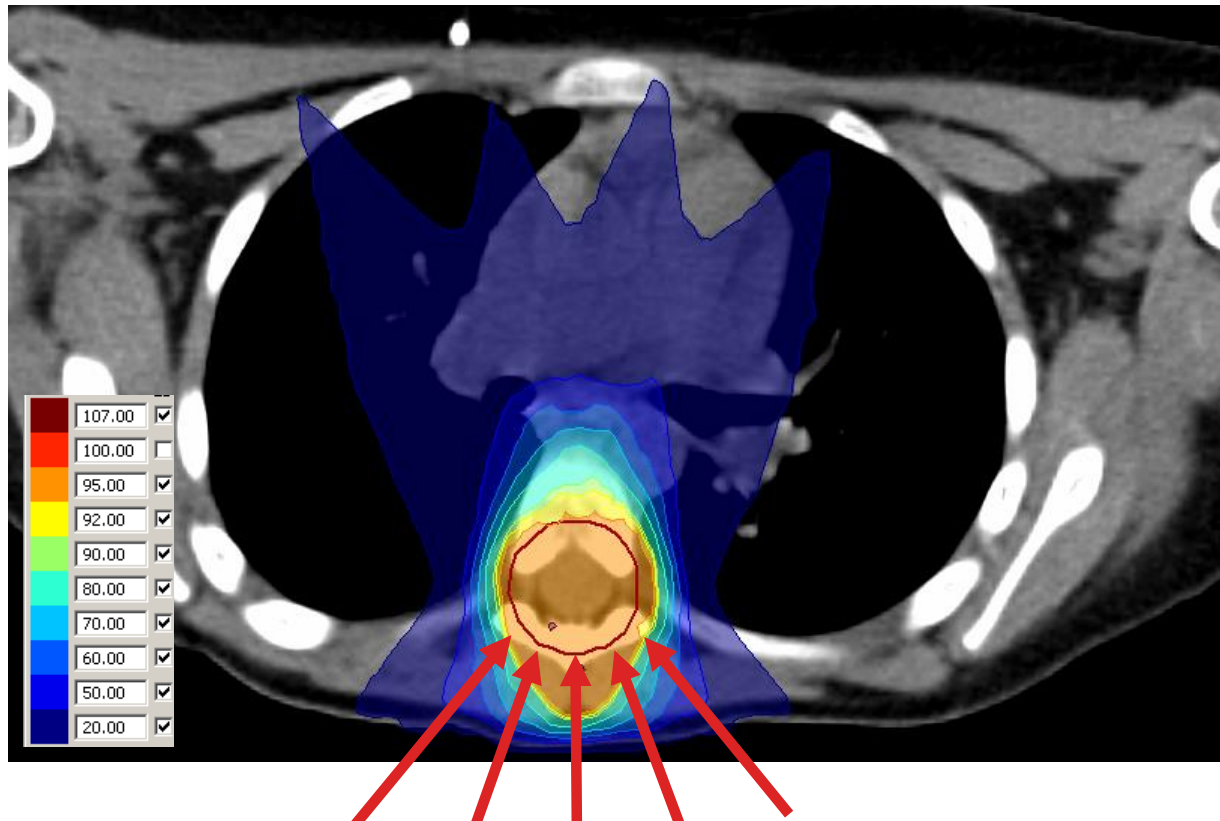
- differences in depth of spinal PTV
- differences in focus skin distances



■ 107%  
■ 95%

# Cranio-spinal lesions: 3D-CRT or IMRT for spinal fields

5 field IMRT / 3D-CRT spinal fields

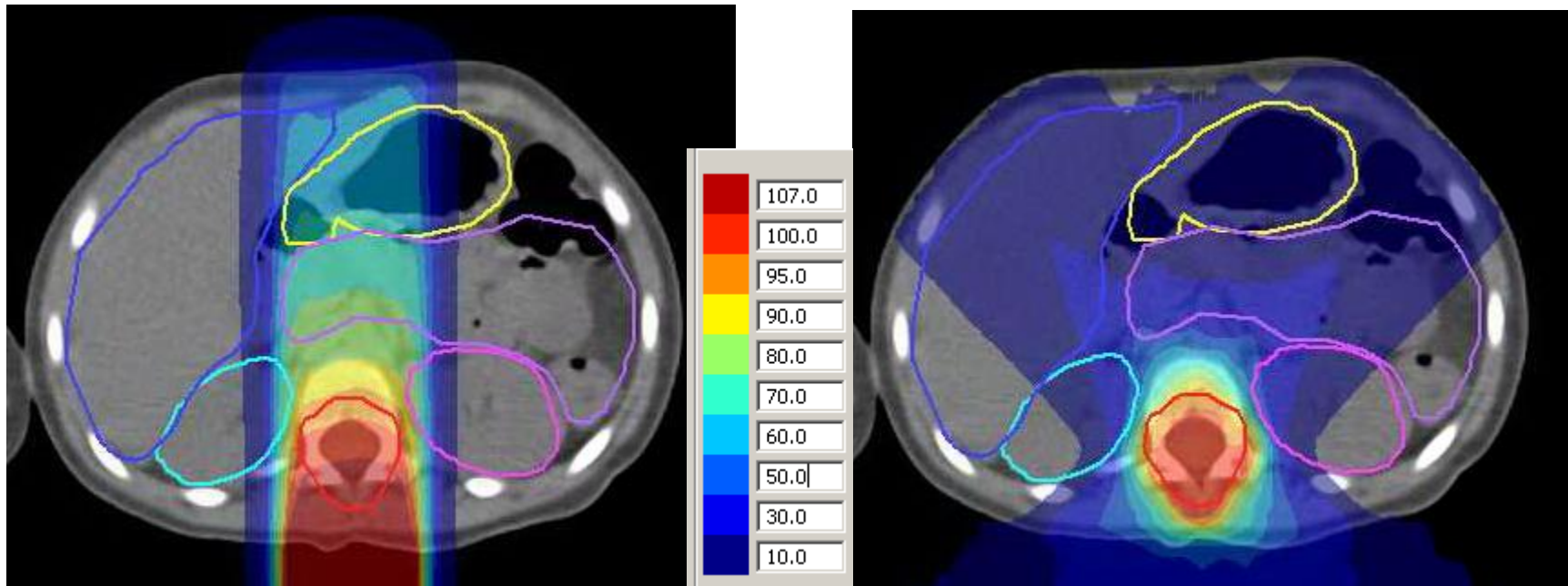


- lower dose in superficial area
- lower dose 'behind' the PTV

# Cranio-spinal lesions: 3D-CRT vs IMRT

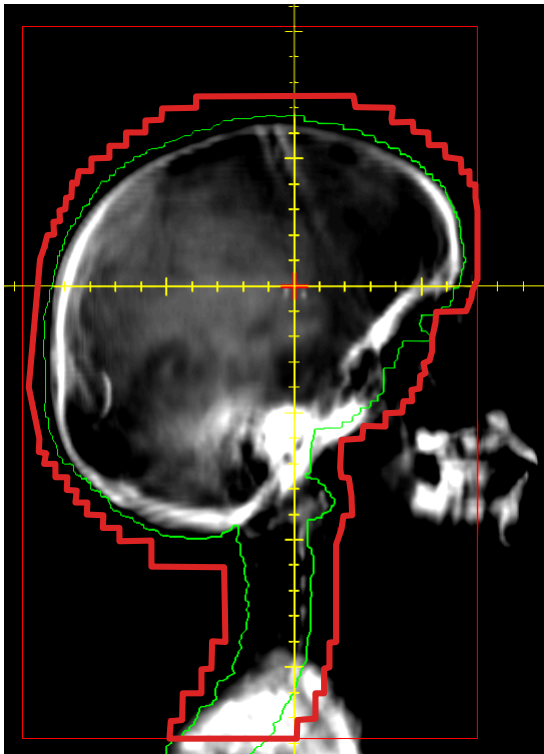
'simple' 3D-CRT

5 field IMRT / 3D-CRT

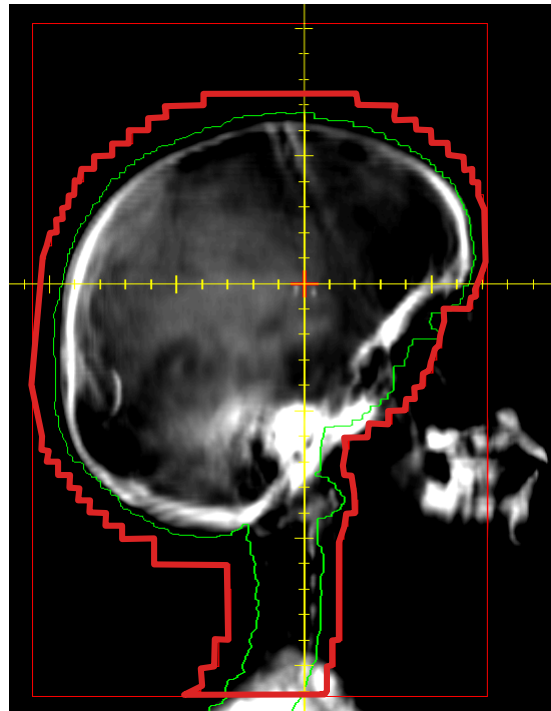


# Cranio-spinal lesions: junction with lateral cranial beams

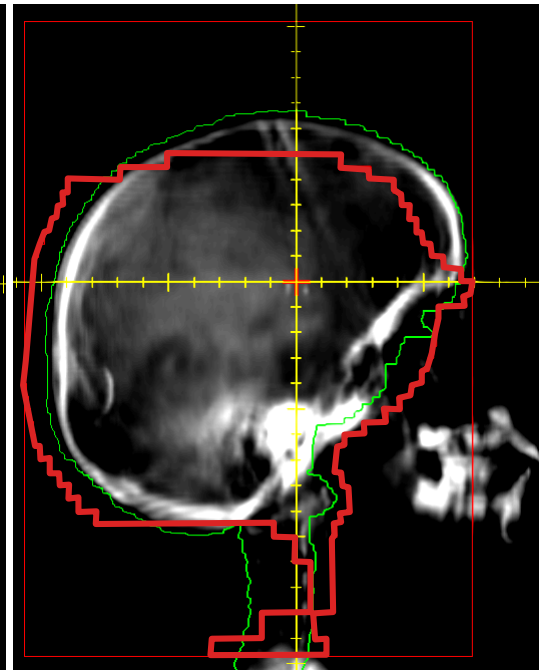
3D-CRT cranial plan with a broad caudal penumbra



ri lat: 1a

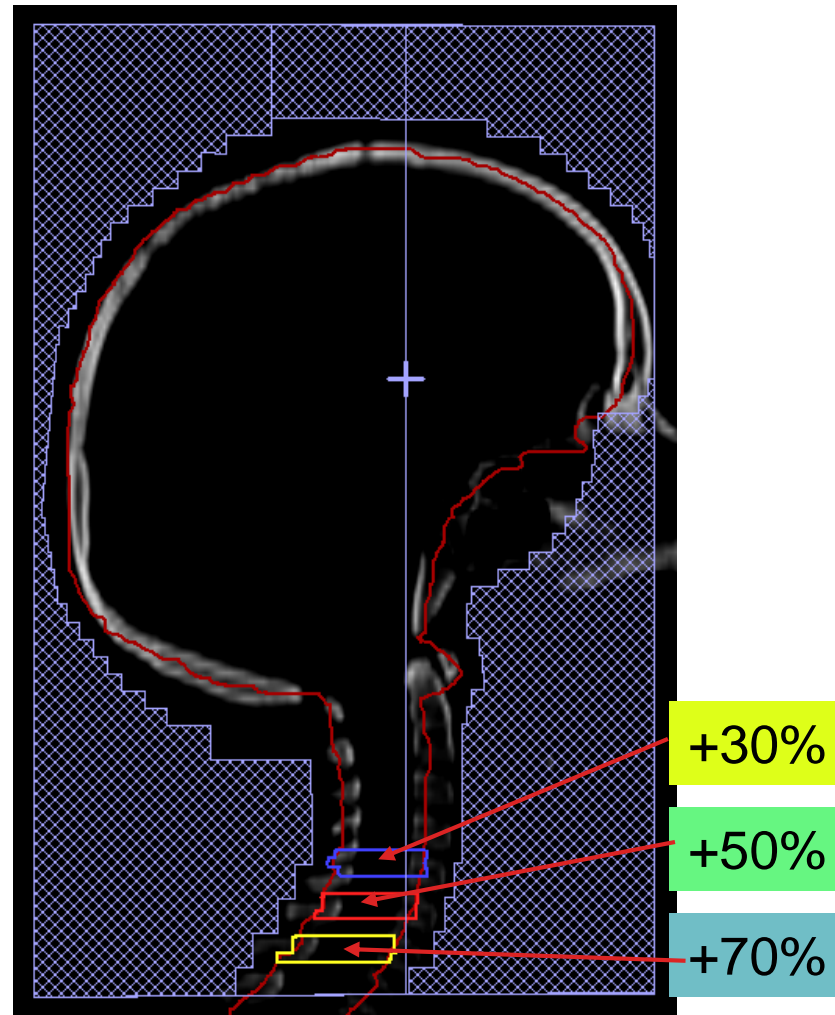
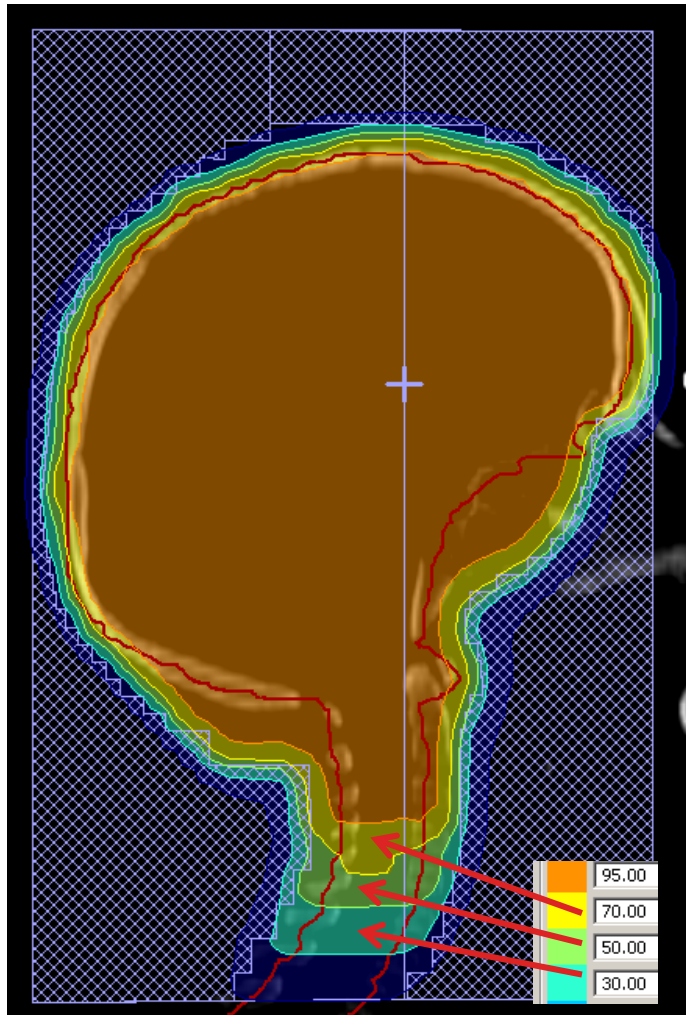


ri lat: 1b



ri lat: 1c

# Cranio-spinal lesions: junction with lateral cranial beams



‘dose modulation volumes’

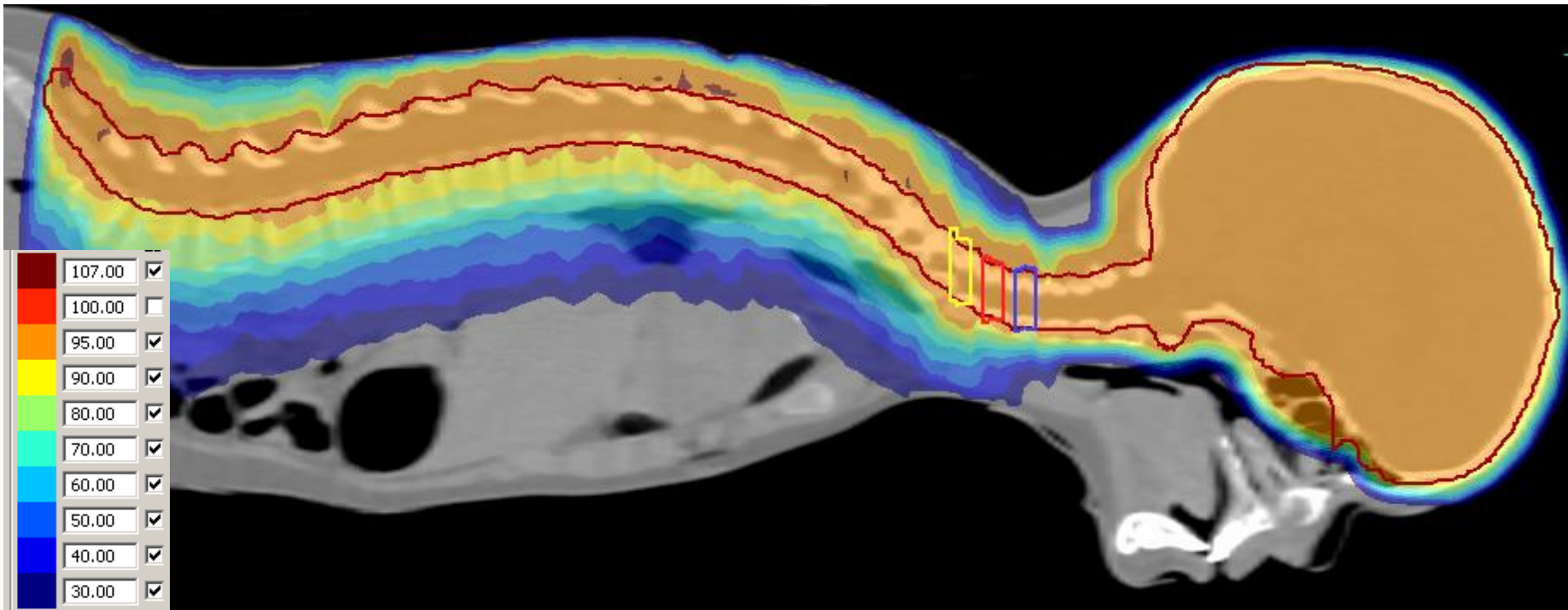


# Cranio-spinal lesions: 3D-CRT solution

6 3D-CRT cranial beams (start planning)

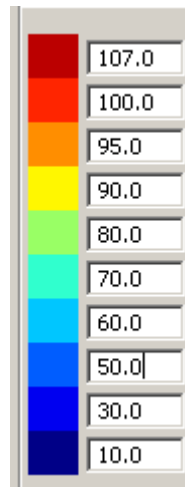
5 3D-CRT spinal fields (x 3 for broad penumbra)

—————> so ... 21 fields

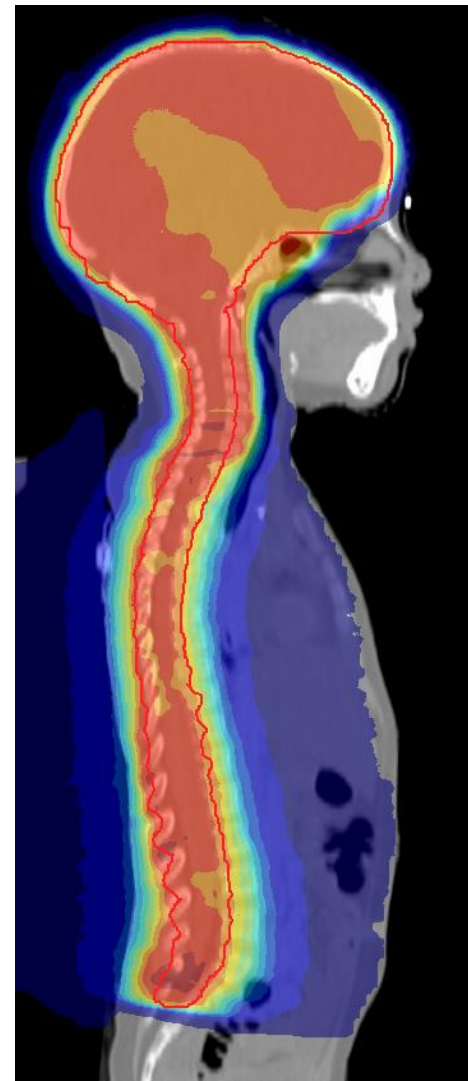


# Cranio-spinal lesions: 3D-CRT old vs new

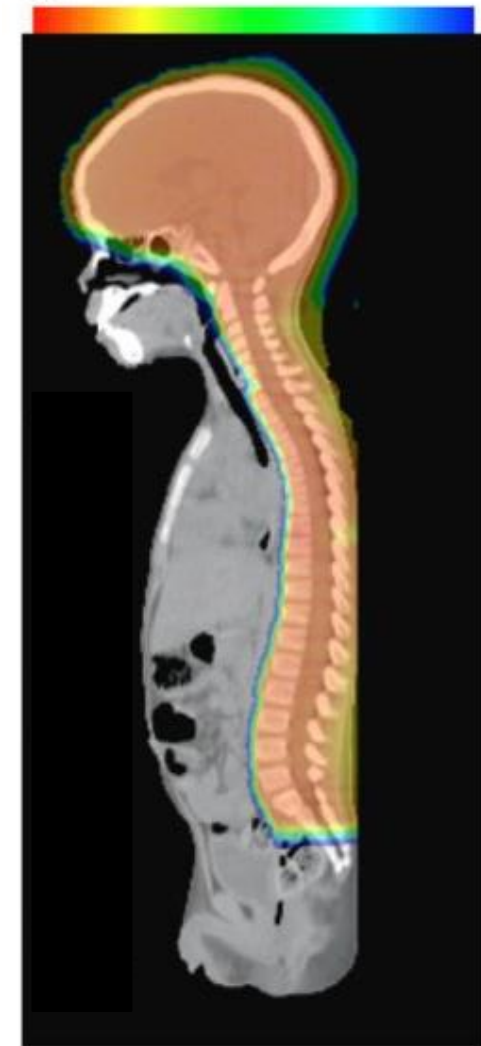
3D-CRT old (single PA)



3D-CRT new



25.8 Gy (RBE)



2.58 Gy (RBE)

# Cranio-spinal lesions: 3D-CRT old vs new

mean dose (Gy)	old	new
thyroid gland	19.1	11.4
heart	7.8	4.4
lungs	3.5	4.7
small bowel	8.1	5.7
liver	4.6	3.8
le kidney	3.2	4.1
stomach	8.1	5.7

# General start of a treatment plan

# General start of a treatment plan

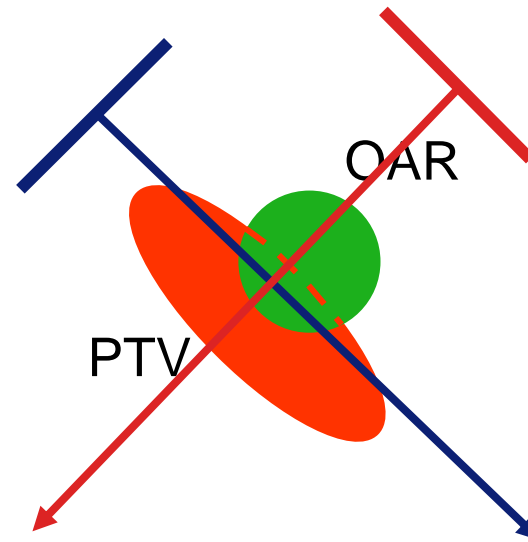
- where to place the isocenter?
- how to select the proper beam angles?
- how many fields?
- type of collimation?

# Where to place the isocenter?

- high dose region is the most favorite place for the physicist 😊  
(and normally it is a very good choice!)
- find the best isocenter location with respect to:
  - MLC limits
  - use of wedges
  - build up area, air cavities, bone
- isocenter position outside the high dose region often results in a more complicated plan
- apply a-priori patient set-up translations if necessary

# How to select the proper beam angles?

- think about the dose distribution you want to achieve
- geometrical avoidance



steep dose gradients can only be made using a beam penumbra !

# How to select the proper beam angles? Single lung:



ELSEVIER

Radiotherapy and Oncology 62 (2002) 21–25

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RADIOTHERAPY  
& ONCOLOGY  
JOURNAL OF THE EUROPEAN SOCIETY FOR  
THERAPEUTIC RADIOLOGY AND ONCOLOGY

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[www.elsevier.com/locate/radonline](http://www.elsevier.com/locate/radonline)

## Curative radiotherapy for a second primary lung cancer arising after pneumonectomy — techniques and results<sup>☆</sup>

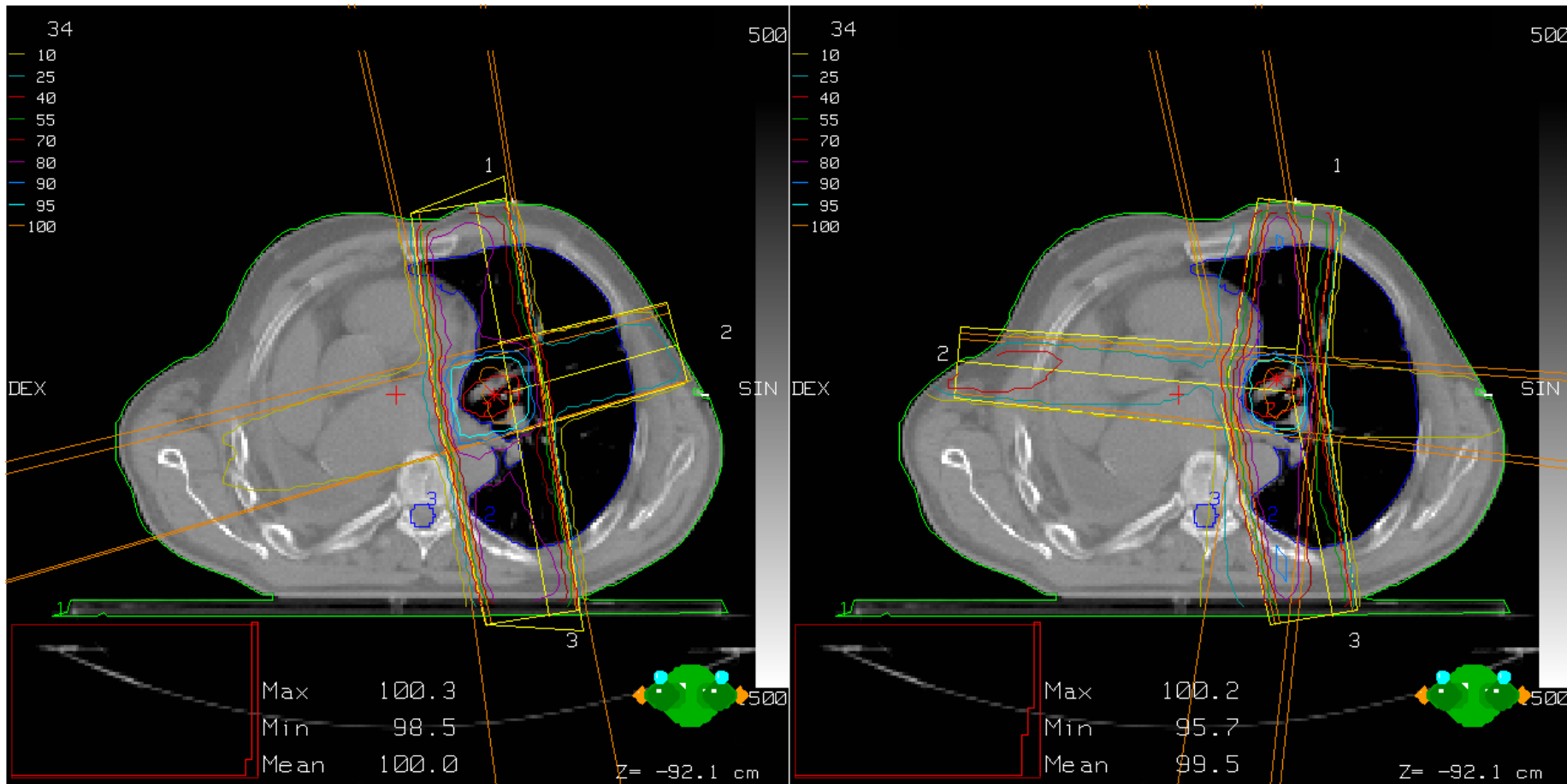
Frank J. Lagerwaard, Peter W.J. Voet, Jan P. van Meerbeeck, Sjaak A. Burgers, Suresh Senan\*

*University Hospital Rotterdam, Groene Hilledijk 301, 3075 EA Rotterdam, The Netherlands*

Received 15 May 2001; received in revised form 20 July 2001; accepted 7 August 2001



# How to select the proper beam angles? Single lung:

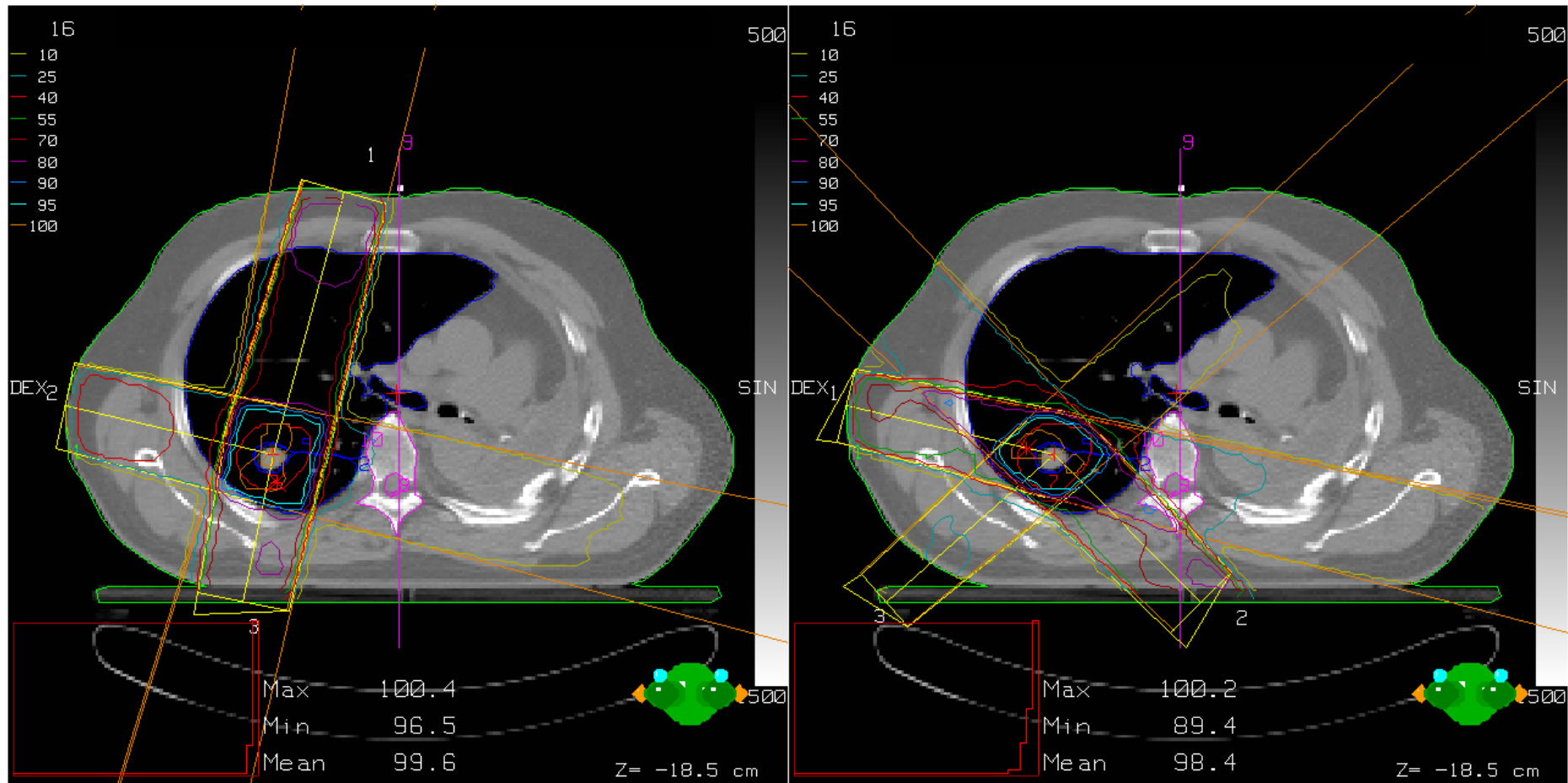


$$V_{20} = 25 \%$$

$$V_{20} = 19 \%$$

Lagerwaard et al: R&O, 2001

# How to select the proper beam angles? Single Lung:

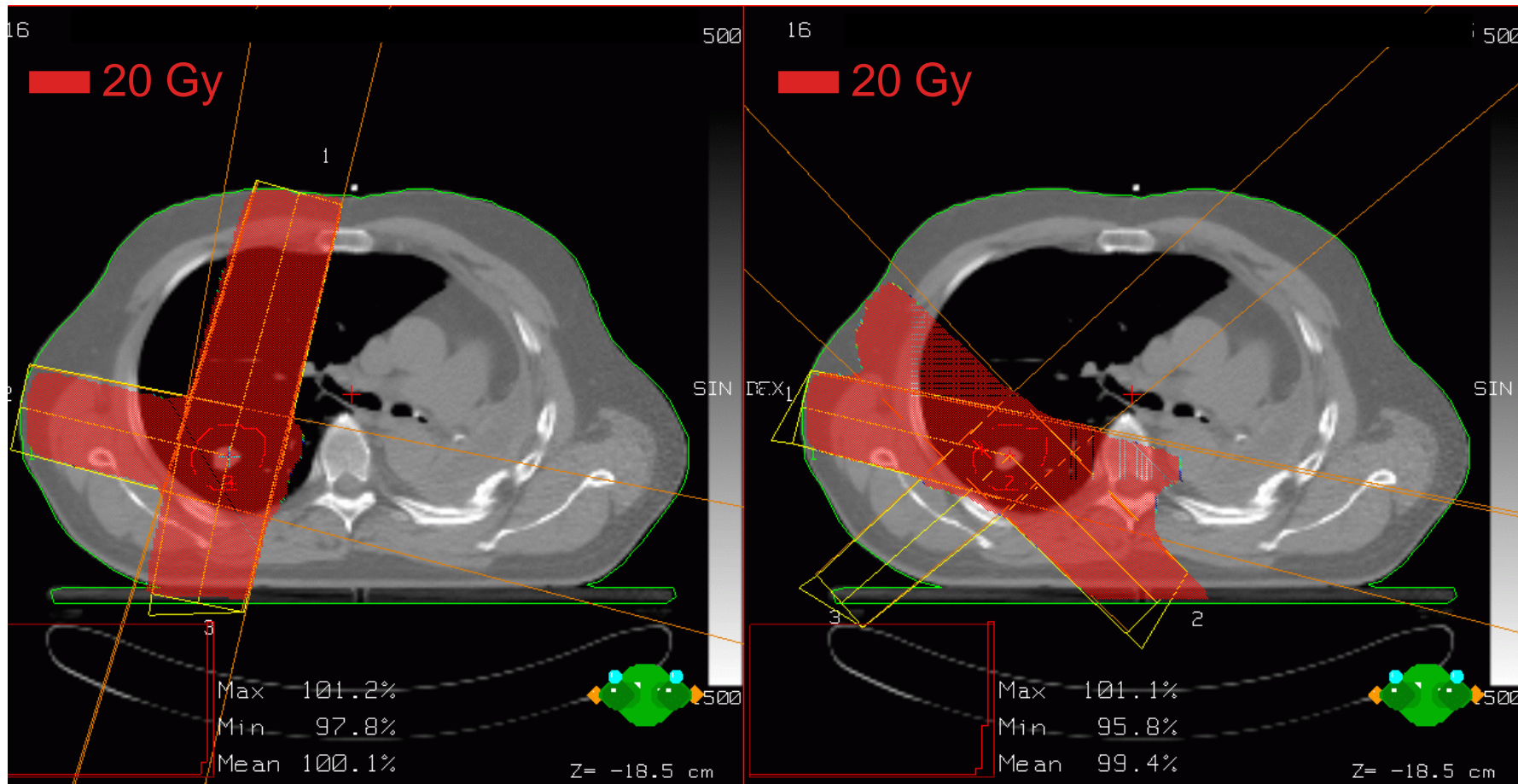


$$V_{20} = 27\%$$

$$V_{20} = 15\%$$

Lagerwaard et al: R&O, 2001

# How to select the proper beam angles? Single Lung:



$$V_{20} = 27 \%$$

$$V_{20} = 15 \%$$

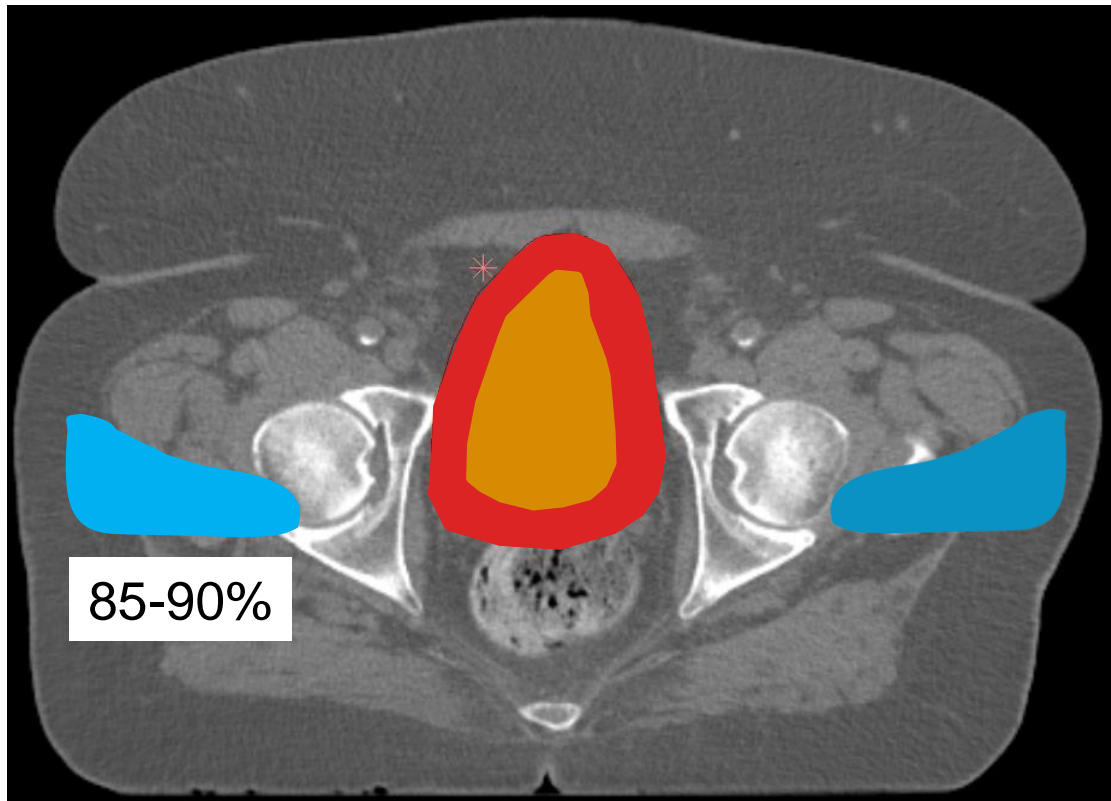
Lagerwaard et al: R&O, 2001

# How many fields?

- depends on the complexity of the case
- size of the PTV, size of the patient

'Standard' 3D-CRT bladder treatment : 33 x 2.0 Gy:

- 3 field (18MV) 3D CRT: CTV bladder + 15mm = PTV



4-5 field technique reduces high dose areas.....  
but increases low dose areas  
do not be afraid of adding beams

# Making the 'best plan'

- finding '*optimal*' plans is time consuming
  - plan approach is based on 'common sense' and experience,  
and allotted time
  - class solutions may generally result into good plans,  
however,  
specific patients may benefit from an individual  
approach
  - do not be afraid of additional beams



**ESTRO**

*School*

Gemelli



ART  
Advanced Radiation  
Therapy

Radiotherapy & Physics department  
Policlinico A. Gemelli, Rome (Italy)

# Relationships between 3D dose distributions and clinical toxicities (H&N and Pelvis)

N. Dinapoli

# Dosimetry, Biology and Clinic

- **Dosimetry: planning related data**

- Dose distribution
- Fractionation
- Volume irradiated
- Hot-Cold spots
- DVH (and related indicators)

V-values  
D-values  
Mean dose  
Maximum dose  
Minimum dose

- **Biology: OAR**

- Dose/Response models  
(Lyman, Log-Logistic...)
- Volume effect
- Reliability of radiobiological prediction

- **Clinic: factors that can affect the outcome**

- Patient related: Age, Smoke, HPV status (for H&N), comorbidities...
- Treatment related: chemo, hormonal therapy...
- Prognosis, treatment aim (definitive, local control, palliation)



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# Dose volume histograms

1st time shown in 1979!

*Proton Radiation as Boost Therapy for  
Localized Prostatic Carcinoma*

*William U. Shipley. JAMA 241: 1912-1915, 1979*

*...A quantitative analysis of the posterior rectal-wall  
dose received by the two treatment techniques is shown in  
Fig 3...*

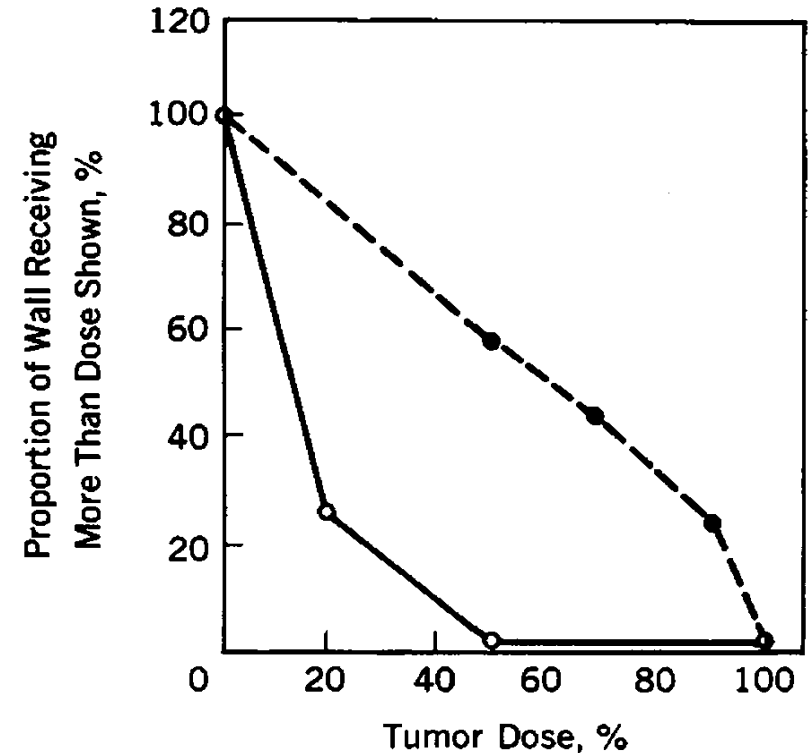
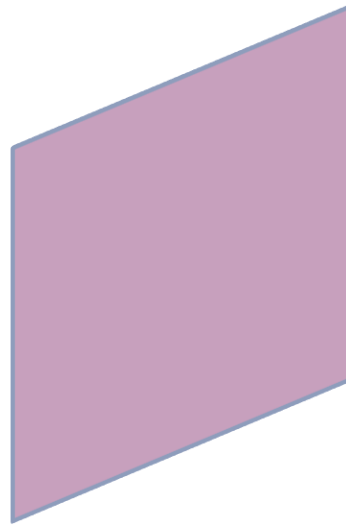
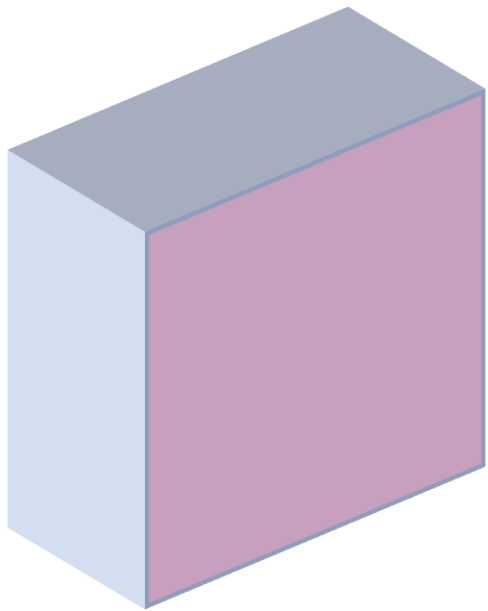


Fig 3.—Comparison of radiation dose to posterior rectal wall by 10-MV x-rays and 160-MV protons. Proportion of the wall is plotted vs dose it received. Dose is expressed as percentage of tumor dose. Solid line indicates protons; dashed line, 10-MV x-rays.

# DVH related indicators

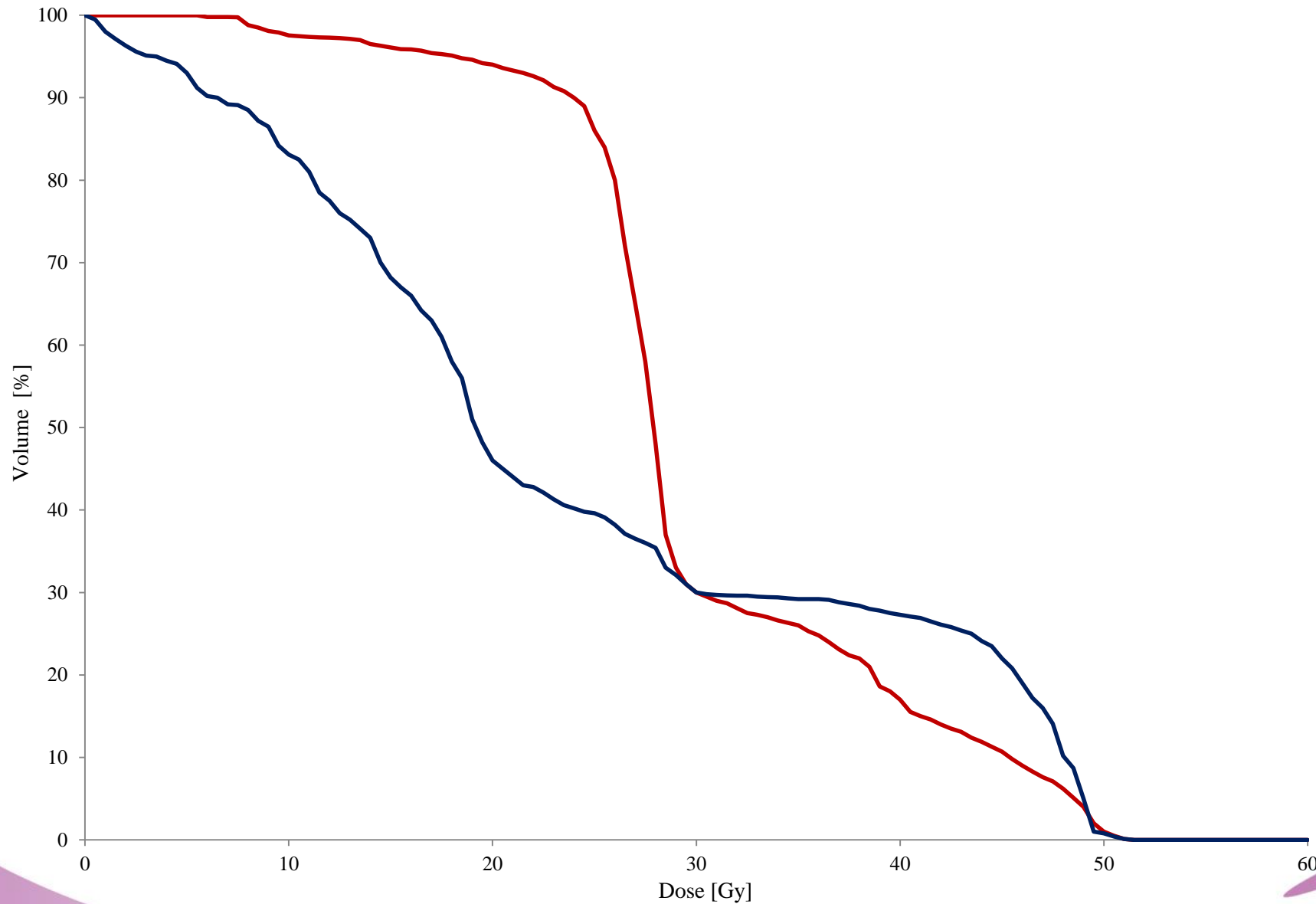


- **3D**
  - **Dose distribution**

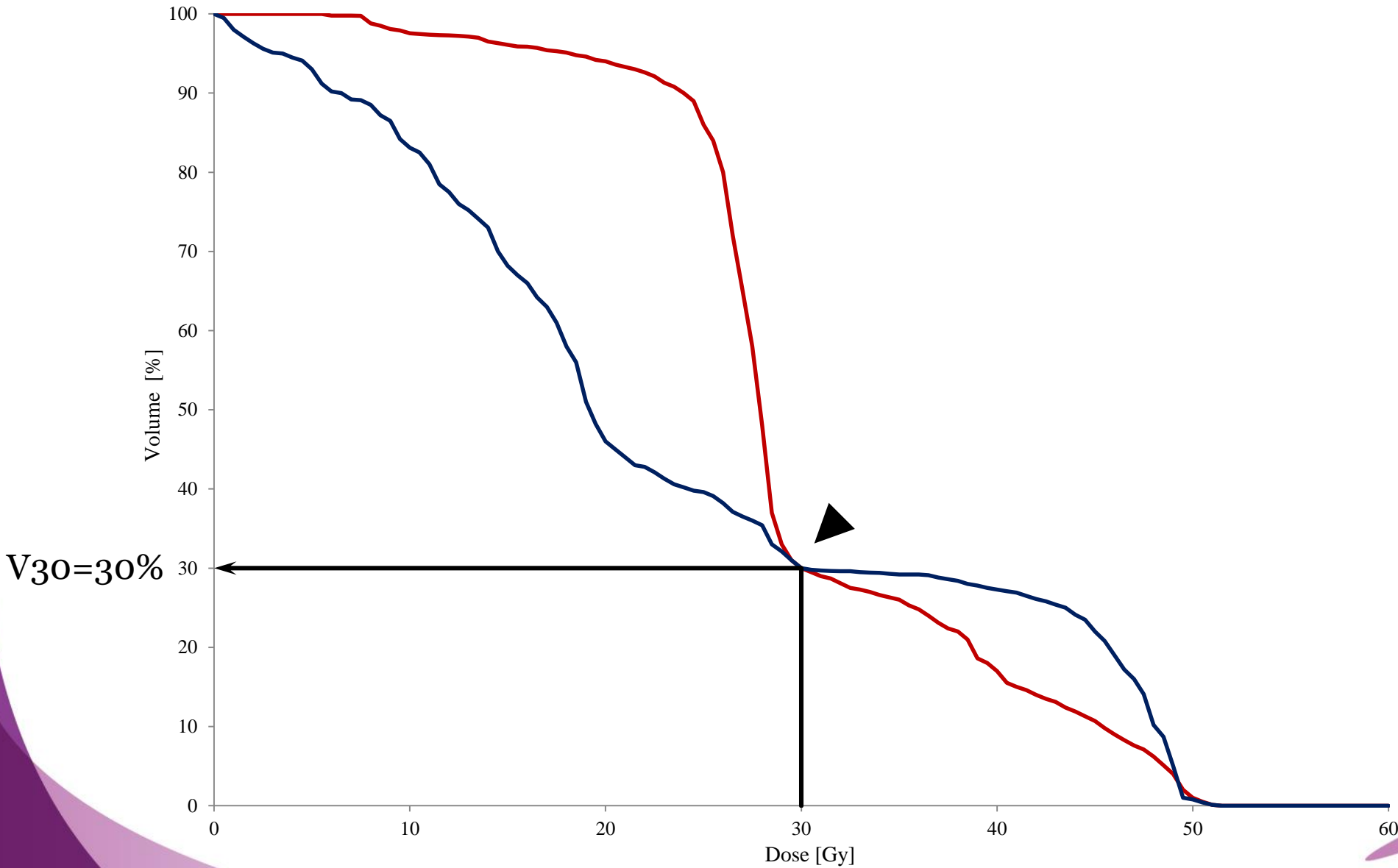
- **2D**
  - **DVH**

- **1D**
  - **Mean Dose**
  - **Max, Min dose**
  - **$V_{[dose]}$ ,  $D_{[volume]}$**

# Be careful using single point indicators...



# Be careful using single point indicators...



# Dosimetry, Biology and Clinic

- **Dosimetry: planning related data**

- Dose distribution
- Fractionation
- Volume irradiated
- Hot-Cold spots
- DVH (and related indicators)

V-values  
D-values  
Mean dose  
Maximum dose  
Minimum dose

- **Biology: OAR**

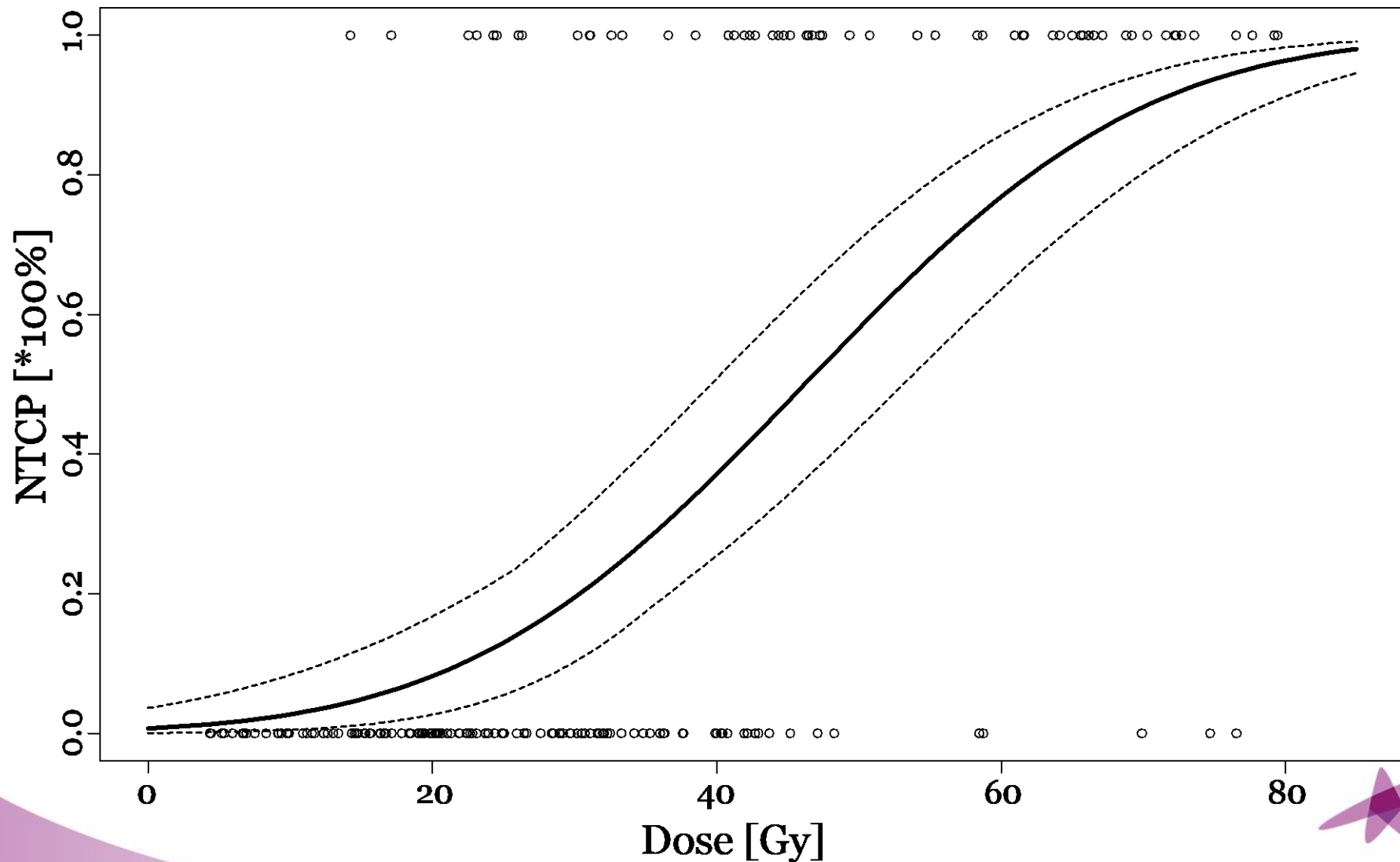
- Dose/Response models  
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- Volume effect
- Reliability of radiobiological prediction

- **Clinic: factors that can affect the outcome**

- Patient related: Age, Smoke, HPV status (for H&N), comorbidities...
- Treatment related: chemo, hormonal therapy...
- Prognosis, treatment aim (definitive, local control, palliation)

# Dose/response models

- Dose-response models are tools for calculating the **probability** of a given outcome related to the delivered «**dose**»



# NTCP models formalisms

• *Probit:*  
*Lyman*

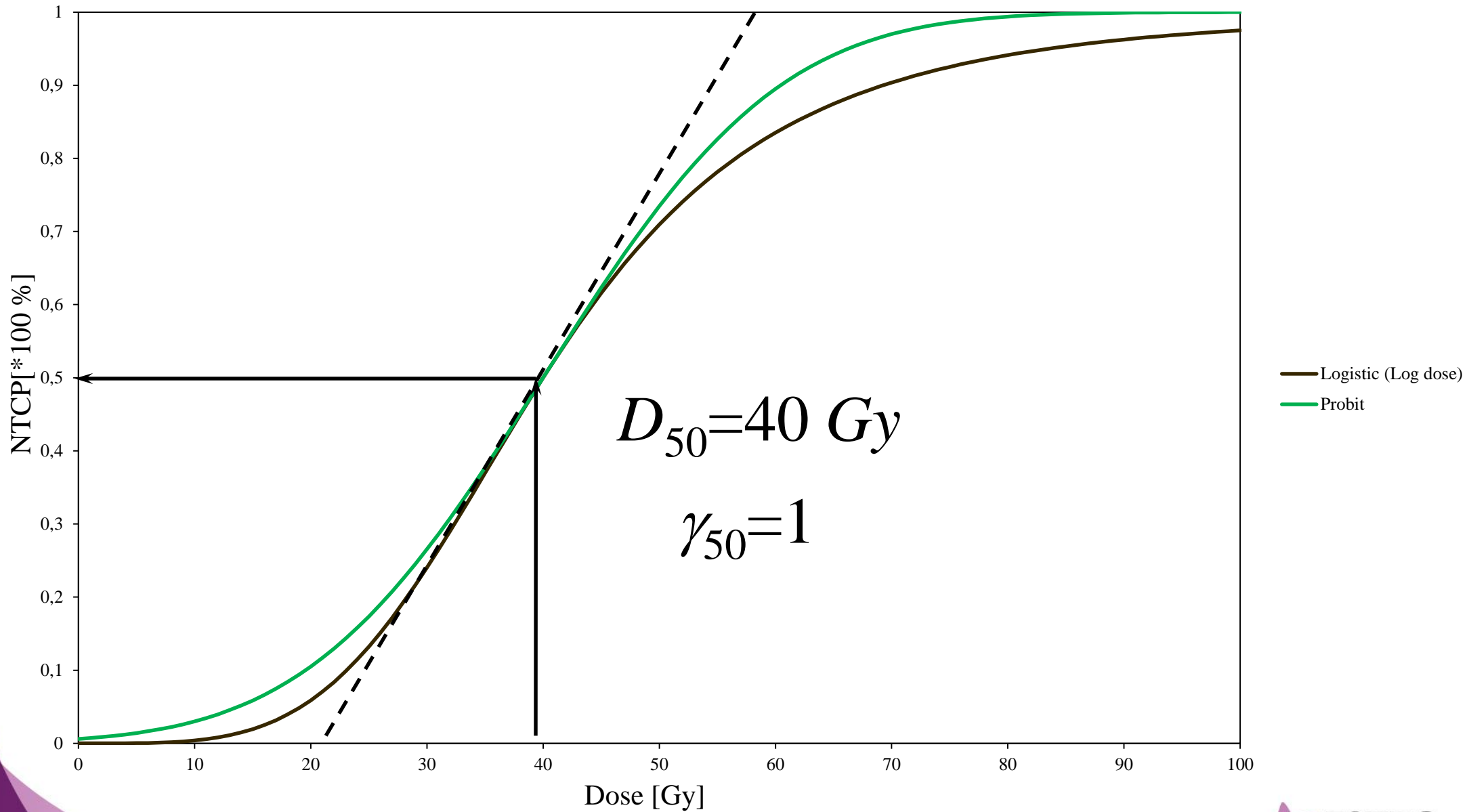
$$NTCP = \frac{1}{2\sqrt{\pi}} \int_{-\infty}^t e^{-\frac{x^2}{2}} dx \quad t = \frac{D - D_{50}}{D_{50}} \cdot (\gamma_{50} \sqrt{2\pi})$$

• *Logistic (log dose):*  
*Niemierko*

$$NTCP = \frac{1}{1 + \left( \frac{D}{D_{50}} \right)^{4\gamma_{50}}}$$



# NTCP models

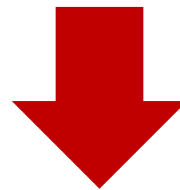


# Which dose should be used within NTCP models?

- Dose extracted from DVH
  - Maximum ( $D_{vol}$ )
  - $D_{volume}$
  - Mean dose

**But...**

- **Dose** in OAR is usually **heterogeneous**
- **Dose/response** relation in OAR **changes with the organ** considered
- Need to define a number that can summarize the different contribution of dose in the OAR volume



**Equivalent Uniform Dose**

# Equivalent Uniform Dose

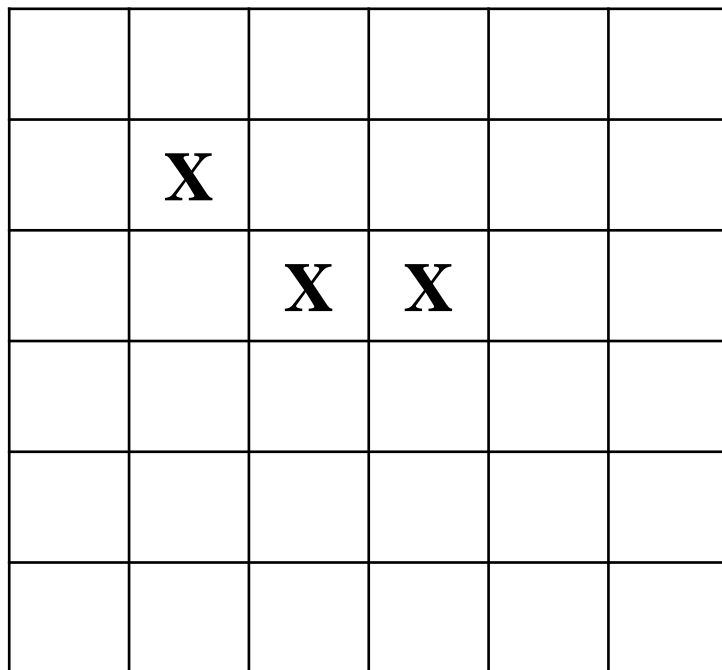
- The **EUD** is based on the assumption that **two dose distributions are equivalent if they produce the same radiobiological or clinical effect** (end-point)
- $D_j$  : the dose in the volume bin
- $v_j$  : volume bin
- $a$  : parameter that describes the serial/parallel structure of the organ

$$EUD = \left( \sum_{j=1} v_j D_j^a \right)^{\frac{1}{a}}$$

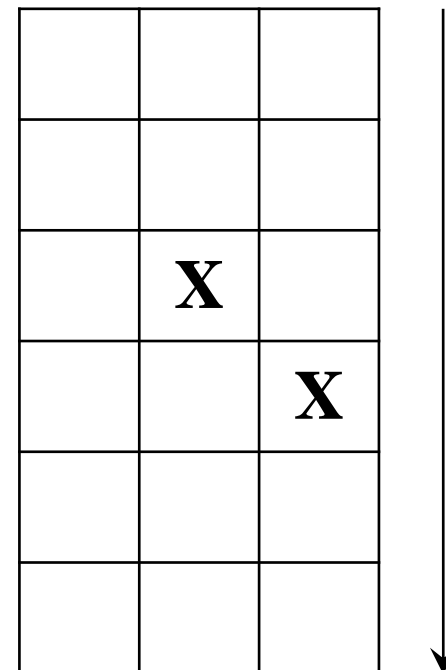
*Niemierko A. A Concept of Equivalent Uniform Dose (EUD). Volume & Kinetics in Tumor Control & Normal Tissue Complications. 5<sup>th</sup> International Conference on Dose, Time and Fractionation in Radiation Oncology. 1998*

# The Volume Effect in OAR

Parallel structure of functional subunits



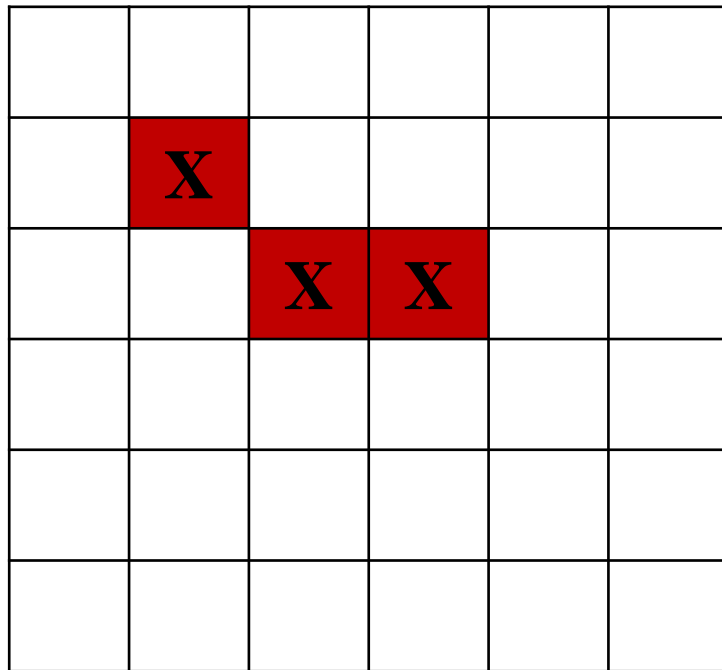
Serial structure of functional subunits



*Withers HR. et al. Treatment volume and tissue tolerance. Int. J. Radiat. Oncol. Biol. Phys. 1988 (14): 751-759.*

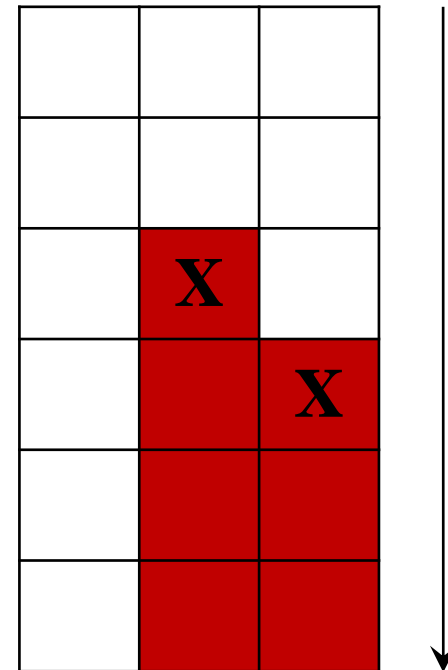
# The Volume Effect in OAR

Parallel structure of functional subunits



Lung, liver, kidney

Serial structure of functional subunits

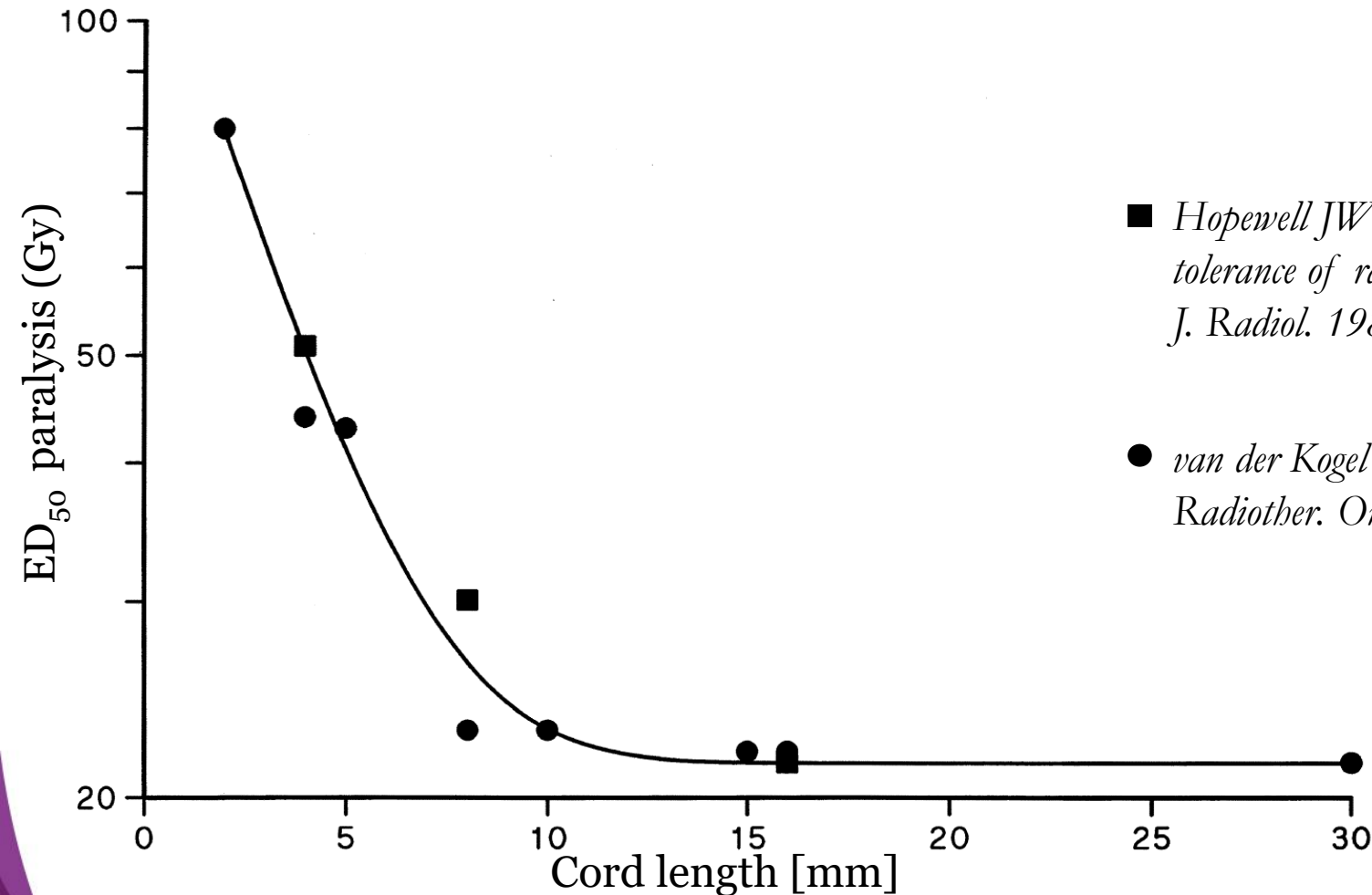


Spine, bowel loops

*Withers HR. et al. Treatment volume and tissue tolerance. Int. J. Radiat. Oncol. Biol. Phys. 1988 (14): 751-759.*

# The Volume Effect in OAR

Rat spinal cord: endpoint white matter necrosis



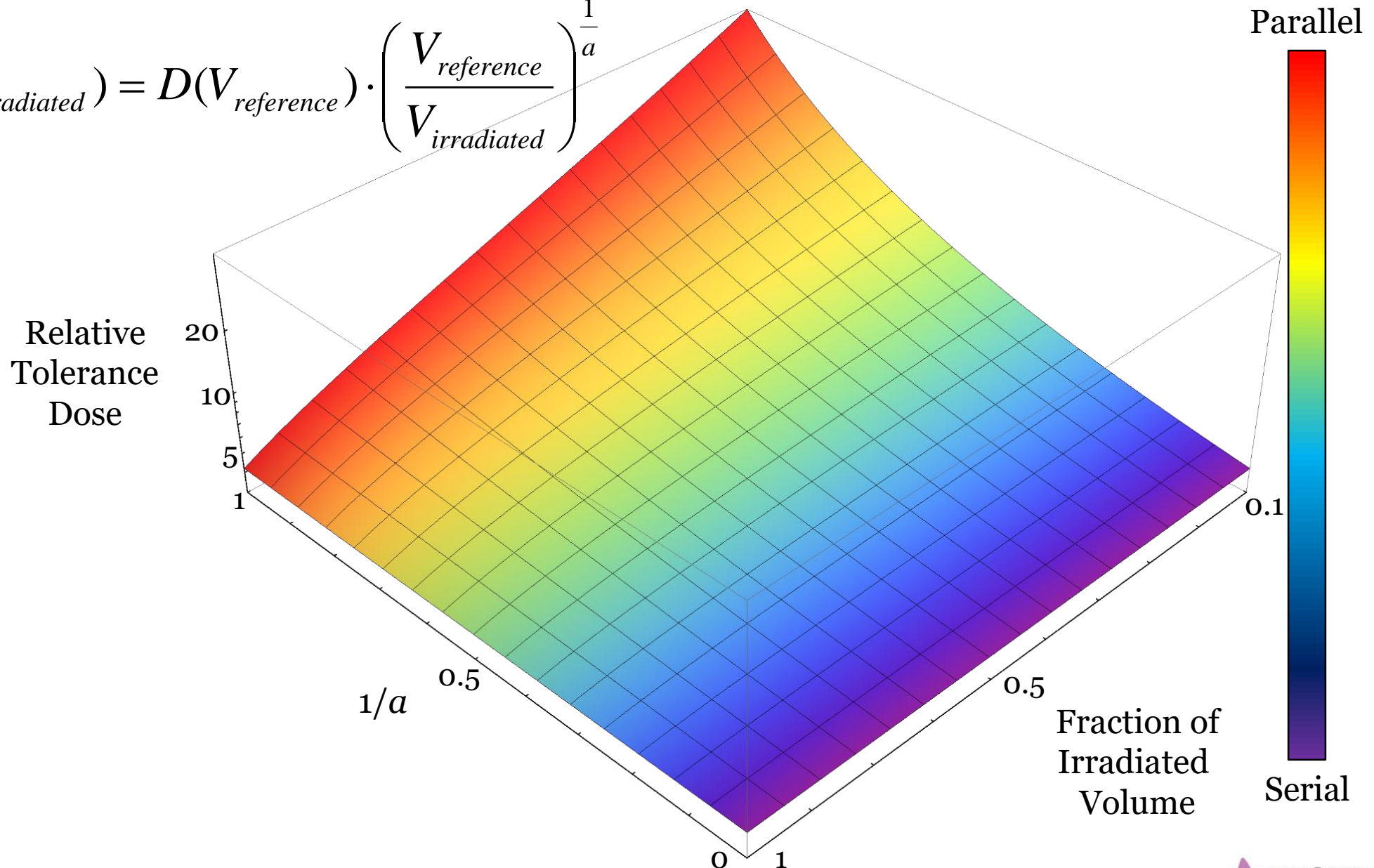
■ Hopewell JW et al. The influence of field size on the late tolerance of rat spinal cord to single doses of X-rays. *Br. J. Radiol.* 1987(60):1099-1108.

● van der Kogel AJ. Dose volume effects in the spinal cord. *Radiother. Oncol.* 1993(29):105-109.

Hopewell JW, Trott KR. Volume effects in radiobiology as applied to radiotherapy. *Radiat. Oncol.* 2000 (56): 283-288.

# The Volume Effect in OAR

$$D(V_{irradiated}) = D(V_{reference}) \cdot \left( \frac{V_{reference}}{V_{irradiated}} \right)^{\frac{1}{a}}$$



Adapted and redrawn from: Marks LB, et al. Use of normal tissue complication probability models in the clinic. *Int. J. Radiat. Oncol. Biol. Phys.* 2010 (76-3): S10-S19.

# The Volume Effect in OAR

$$D(V_{irradiated}) = D(V_{reference}) \cdot \left( \frac{V_{reference}}{V_{irradiated}} \right)^{\frac{1}{a}}$$

1.  $a$  value is function of the structure:

▲ Spinal cord (>20)

▼ Lung (1)

2. Within a structure  $a$  can be function of the effect:

▲ Rectal bleeding (Rectum)

▼ Proctitis (Rectum)



Necrosis (Brain)

Cognitive impairment (Brain)

3. Within a structure  $a$  can be function of the anatomy



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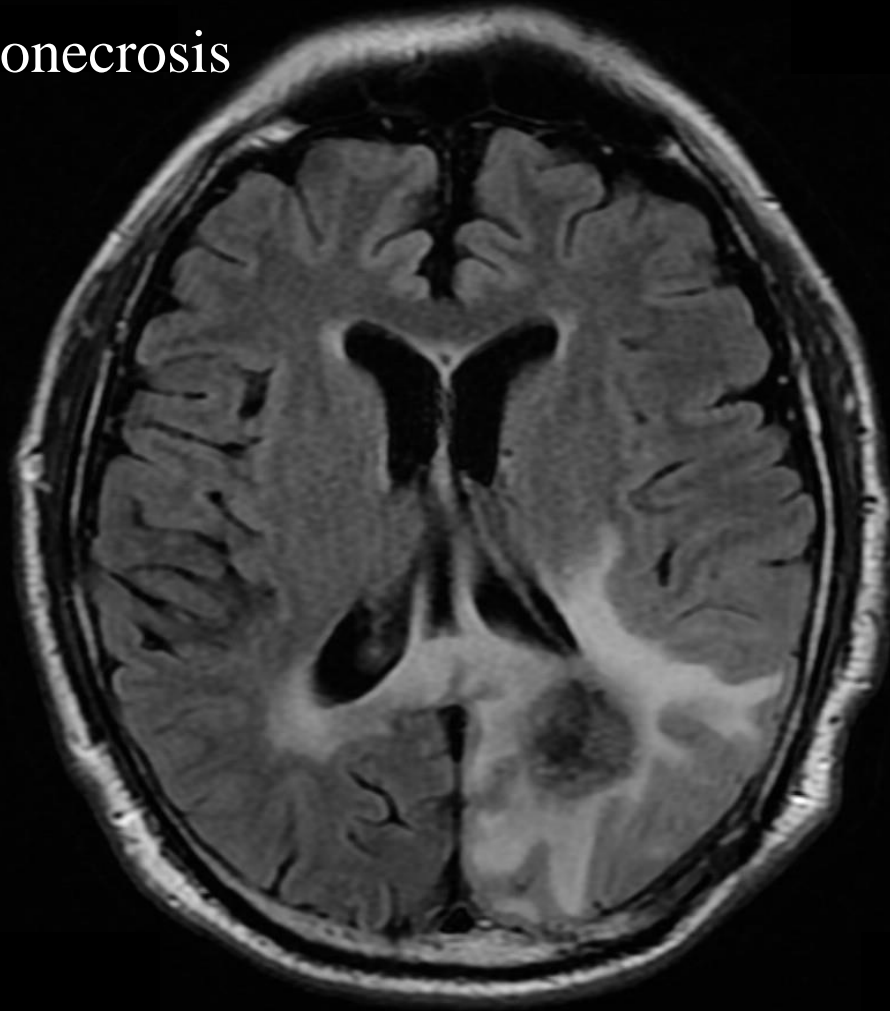
Necrosis (Brain)

Cognitive impairment (Brain)

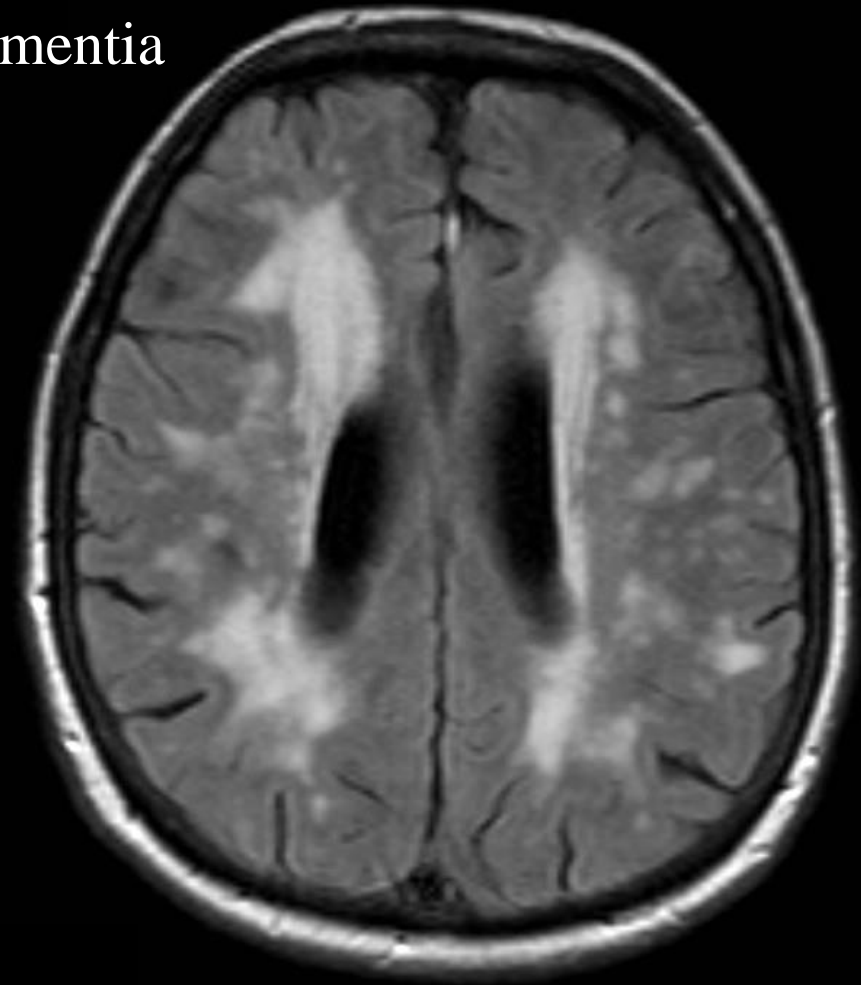
3. Within a structure  $a$  can be function of the anatomy

# The Volume Effect in OAR

Radionecrosis



Dementia



# The Volume Effect in OAR

$$D(V_{irradiated}) = D(V_{reference}) \cdot \left( \frac{V_{reference}}{V_{irradiated}} \right)^{\frac{1}{a}}$$

1.  $a$  value is function of the structure:

▲ Spinal cord (>20)

▼ Lung (1)

2. Within a structure  $a$  can be function of the effect:

▲ Rectal bleeding (Rectum)

▼ Proctitis (Rectum)



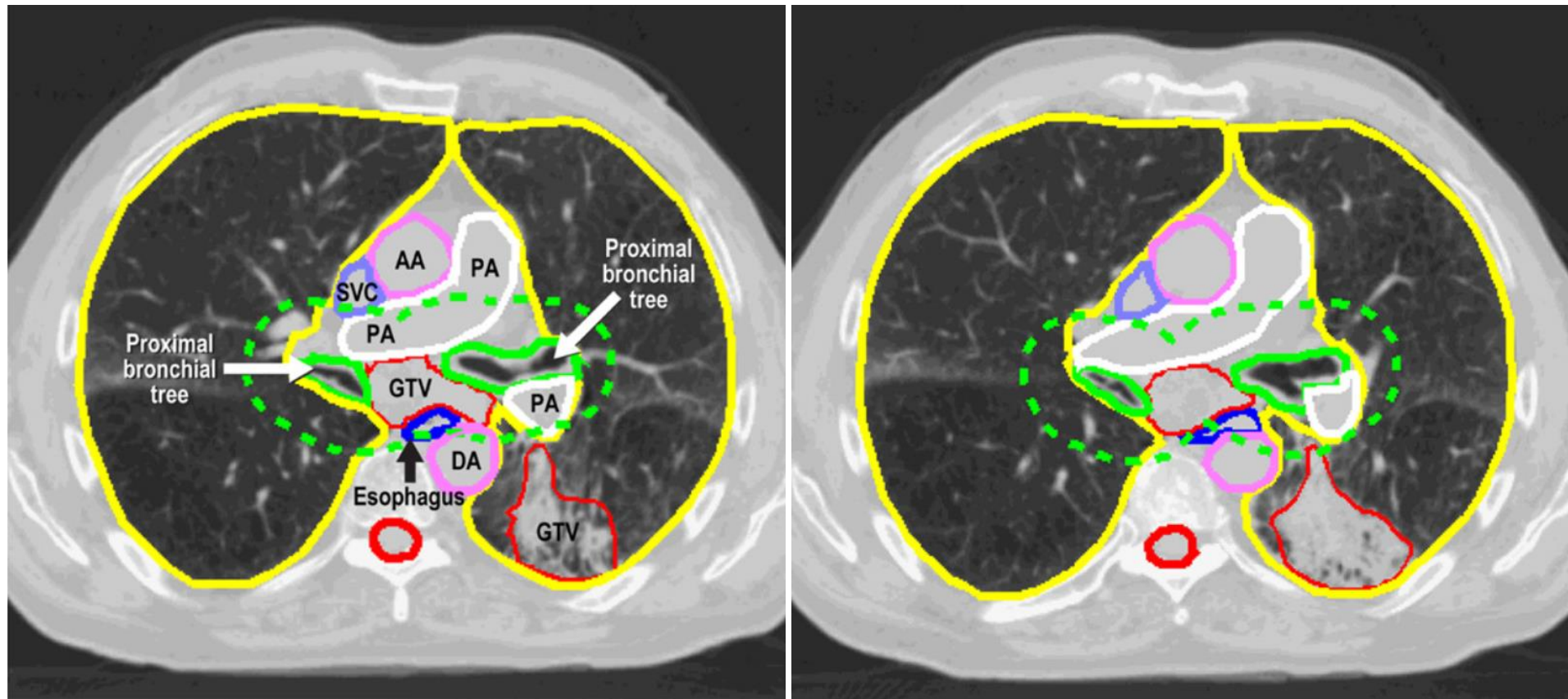
Necrosis (Brain)

Dementia (Brain)

3. Within a structure  $a$  can be function of the anatomy

# The Volume Effect in OAR

$$D(V_{irradiated}) = D(V_{reference}) \cdot \left( \frac{V_{reference}}{V_{irradiated}} \right)^{\frac{1}{a}}$$



Kong FM et al. Consideration of dose limits for organs at risk of thoracic radiotherapy: Atlas for lung, proximal bronchial tree, esophagus, spinal cord, ribs, and brachial plexus. *Int J Radiat Oncol Biol Phys* 2011;81:1442–57.

# How to consider the volume effect in dose-response models?

- *Probit:*  $NTCP = \frac{1}{2\sqrt{\pi}} \int_{-\infty}^t e^{-\frac{x^2}{2}} dx$      $t = \frac{D - D_{50}}{D_{50}} \cdot (\gamma_{50} \sqrt{2\pi})$   
*Lyman*

$$EUD = \left( \sum_{j=1} v_j D_j^a \right)^{\frac{1}{a}}$$

- *Logistic (log dose):*  $NTCP = \frac{1}{1 + \left( \frac{D}{D_{50}} \right)^{4\gamma_{50}}}$   
*Niemierko*

# Are DVHs (and DVHs derived indicators) the best tool for evaluating treatments?



**Evaluation of treatment plans using target and normal tissue DVHs is no longer appropriate**

Christopher F. Njeh, Brent C. Parker, and Colin G. Orton

Citation: [Medical Physics](#) **42**, 2099 (2015); doi: 10.1118/1.4903902

View online: <http://dx.doi.org/10.1118/1.4903902>

View Table of Contents: <http://scitation.aip.org/content/aapm/journal/medphys/42/5?ver=pdfcov>

Published by the [American Association of Physicists in Medicine](#)

# Are DVHs (and DVHs derived indicators) the best tool for evaluating treatments?

- Point:

- Long history and **huge literature**
- **IGRT** and modern **high precision techniques** can be helpful in making DVH estimation more stable
- **Deformable registration** could improve the DVH accuracy during treatment
- Many **biological metrics** (considered very useful) are substantially based on (differential) DVH data
- The DVH is not *the* appropriate choice for plan evaluation but it is still *an* appropriate choice

- Counterpoint:

- **Loss of spatial information** (from 3D to 2D)
- The calculation of DVH strongly depends from **delineation accuracy** (and OAR choices by the doctors)
- For some structures (e.g. bladder) **different metrics** can be used (DSH) because of the lack of importance of irradiation of organ content
- **Interpretation** of the plot might be **subjective**
- It can't carry **clinical informations** about conditions that could affect the outcome



# Beyond the DVHs

- DVHs are based only on **anatomy** (knowledge and interpretation) and **dose distribution** reduced to a 2D estimate
- Dose-response model based on few geometrical parameters could **omit clinical conditions** differentiating the patients
- When referring **outcome prediction** on parameters derived from literature try to compare your evaluation to the **same conditions** used by publications authors (if available!)
- New methods for **patients classification** are required to achieve a robust and reliable evaluation

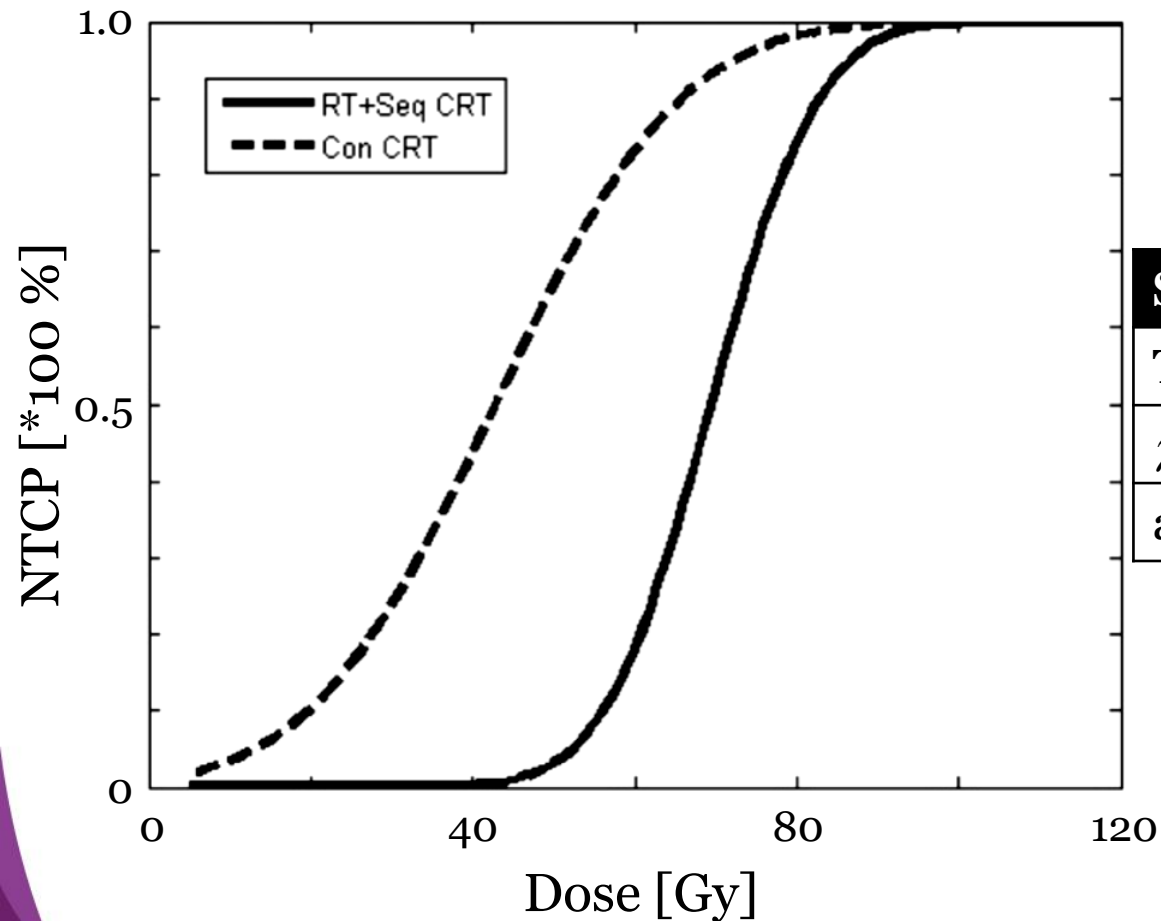
# Beyond the DVHs

I.	Host	Age Comorbid conditions Host response to radiation Smoking KPS
II	Organ	Pre-radiation organ condition (Poor PFTs; LFTs; COPD) Regional variation of radiosensitivity with the organ Impact of other organs Hierarchical organization of the organ: Serial: dose effect: spinal cord Parallel: volume effect: lung, liver Both: kidney
III	Natural history of tumor	
IV	Treatment	A—Radiation Dose (max, min, mean) Fractionation (fractional dose): BED Dose rate Overall treatment time Treatment energy Volume (V dose: absolute or relative)
IV	Treatment	B—Nonradiation Chemotherapy (drug type, dose, schedule) Radiation modifiers (type, dose, schedule) Surgery (interval)
V	End points ACUTE	Type: Clinical Radiographical: anatomical, functional Biochemical (blood test, functional test) Degree of severity Degree of frequency Impact on quality of life (QOL)
VI	Issues on reporting of toxicity	
		LATE

*Emami B. Tolerance of Normal Tissue to Therapeutic Radiation. Reports Radiother Oncol. 2013; 1:36–48.*

# Reliability of radiobiological evaluation

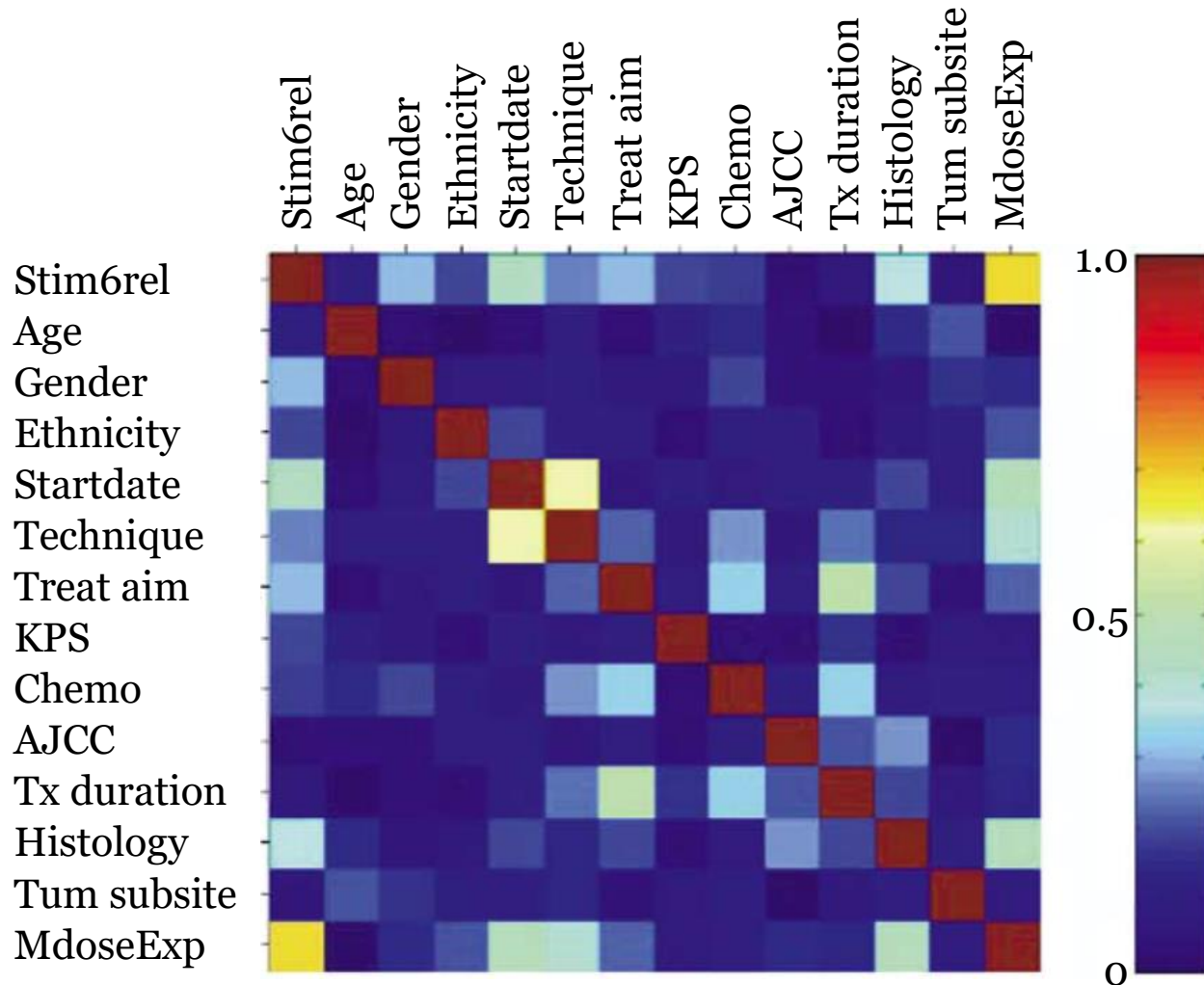
- Solution 1: different populations, different parameters to be used in dose-response model (Lyman)



Sequential CRT		Concomitant CRT	
TD <sub>50</sub>	46 (42 – 50)	TD <sub>50</sub>	36 (34 – 56)
$\gamma_{50}$	2.7 (1.25 – 5)	$\gamma_{50}$	0.95 (0.71 – 1.11)
a	3.4 (2.4 – 5)	a	11.1 (1.3 – 14.3)

# Reliability of radiobiological evaluation

- Solution 2: multivariate regression modeling

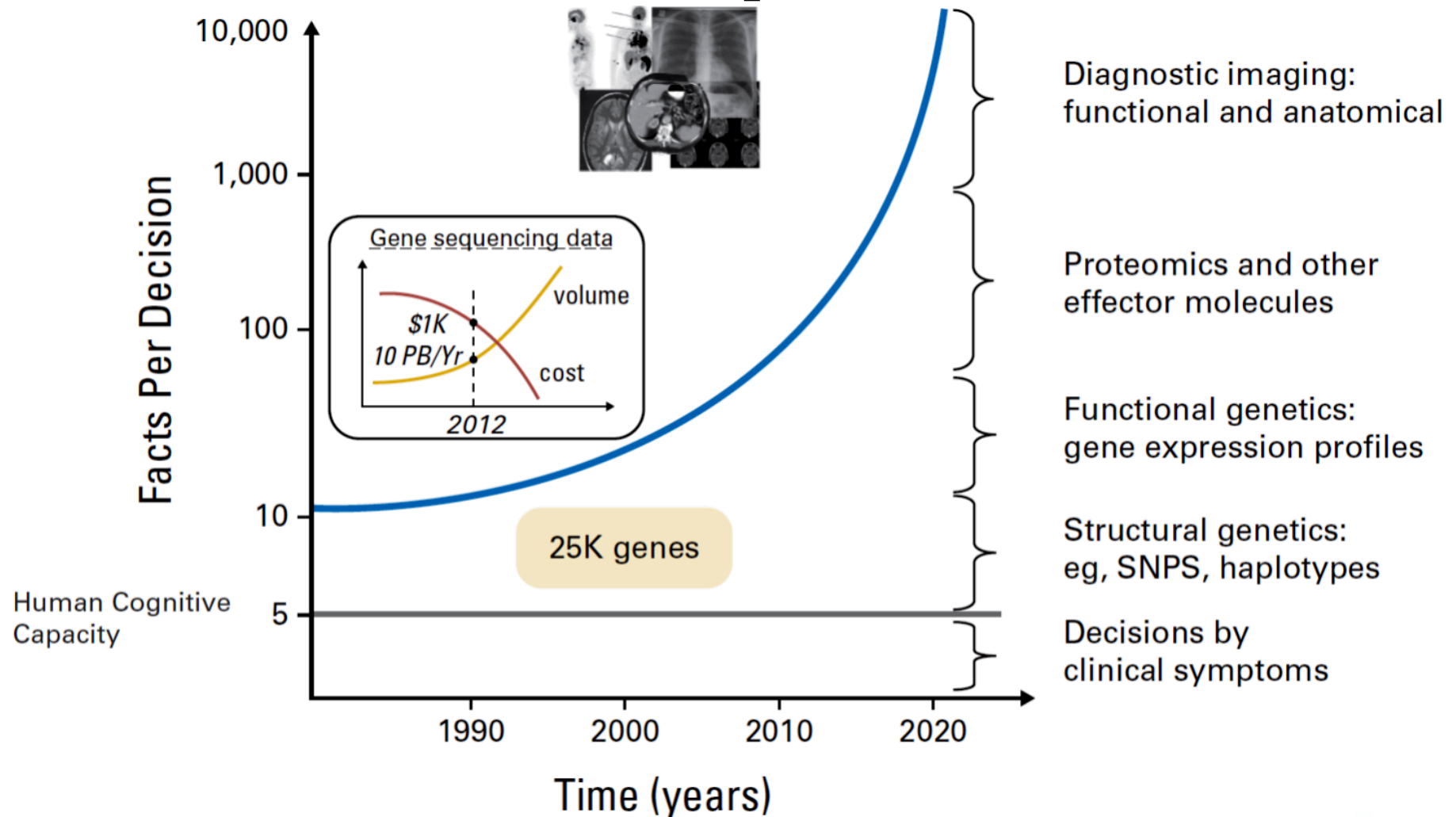


Creation of a correlation matrix to establish the relationships among different analyzed factors

*J El Naqa et al. Multivariable modeling of radiotherapy outcomes, including dose-volume and clinical factors. Int J Radiat Oncol Biol Phys. 64, (4), 1275–1286, 2006.*

# Reliability of radiobiological evaluation

- How many variables can be analyzed for treatment evaluation and outcome prediction?



# ATP: treatment planning evaluation summary

- Yes

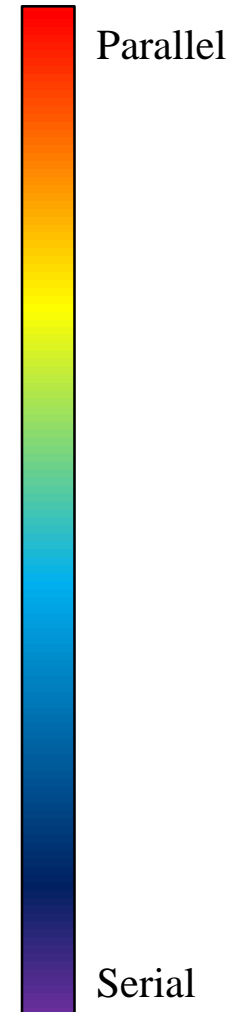
1. Standard fractionation
2. Combined modality data
3. QUANTEC
4. QUANTEC updates

- No

1. SBRT
2. Hypofractionation
3. Protons/Heavy particles
4. BRT

# ATP: treatment planning evaluation summary

- Mean Dose
- V[Gy] Dose
- D[cc/%] Volume
- Maximum Dose



# Beyond the theory: QUANTEC and more...

## **INTRODUCTORY PAPER**

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### **GUEST EDITOR'S INTRODUCTION TO QUANTEC: A USERS GUIDE**

LAWRENCE B. MARKS, M.D.,\* RANDALL K. TEN HAKEN, PH.D.,<sup>†</sup> GUEST EDITORS,  
AND MARY K. MARTEL, PH.D.,<sup>‡</sup> ASSOCIATE GUEST EDITOR

\*University of North Carolina, Chapel Hill, North Carolina; <sup>†</sup>University of Michigan, Ann Arbor, Michigan; and <sup>‡</sup>M. D. Anderson  
Cancer Center, Houston, Texas

*...this special issue of the International Journal of Radiation Oncology & Biology & Physics, (is) dedicated to the Quantitative Analysis of Normal Tissue Effects in the Clinic (QUANTEC)...*



# Beyond the theory: QUANTEC and more...

## **Tolerance of Normal Tissue to Therapeutic Radiation**

Dr Emami B

Department of Radiation Oncology, Loyola University Medical Center, Maywood, Illinois, USA

---

*Reports Radiother Oncol. 2013; 1:36–48.*

# Clinical evaluation: comparison of toxicity data from different protocols

## • Biologically Effective Dose

- A parameter that is independent from the fractionation
- It doesn't express a real delivered dose

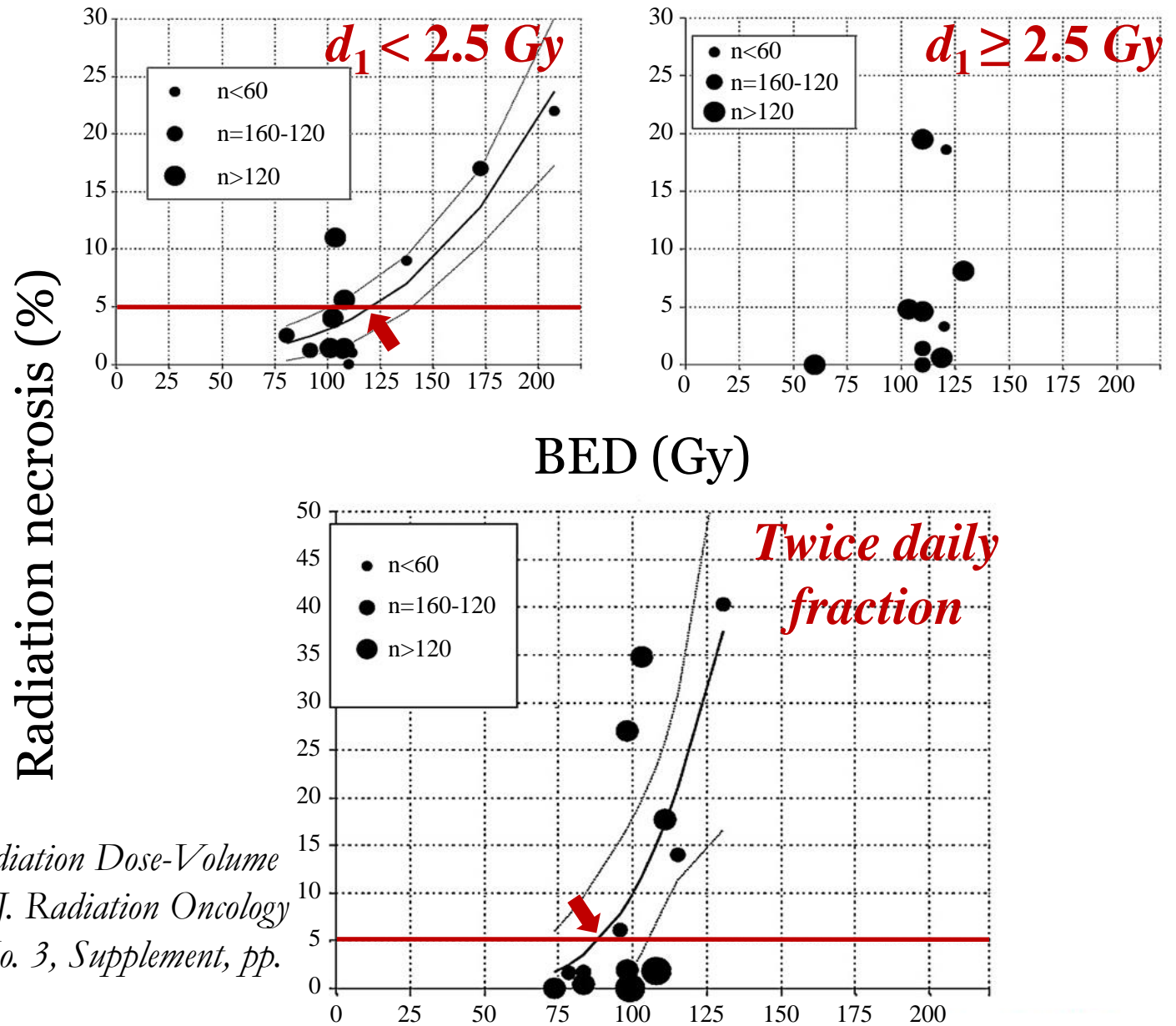
$$\textcircled{1} \frac{D_2}{D_1} = \frac{d_1 + \alpha / \beta}{d_2 + \alpha / \beta}$$

$$\textcircled{2} \frac{BED}{D_1} = \frac{d_1 + \alpha / \beta}{0 + \alpha / \beta}$$

$$\textcircled{3} BED = D_1 \left( \frac{d_1}{\alpha / \beta} + 1 \right) = N \cdot d_1 \left( \frac{d_1}{\alpha / \beta} + 1 \right)$$

$N$ : fraction number     $\alpha/\beta$ : alfa-beta ratio  
 $d_1$ : fraction number    for the given effect

# Parameters for clinical outcome: Brain



Lawrence YR et al. Radiation Dose-Volume effects in the brain. *Int. J. Radiation Oncology Biol. Phys.*, Vol. 76, No. 3, Supplement, pp. S20–S27, 2010.

# Parameters for clinical outcome: Brain

Volume segmented	Irradiation type (partial organ unless otherwise stated)	Endpoint	Dose (Gy), or dose/volume parameters	Rate (%)	Notes on dose/volume parameters
Whole organ	3D-CRT	Symptomatic necrosis	Dmax <60 Dmax = 72 Dmax = 90	<3 5 10	Data at 72 and 90 Gy, extrapolated from BED models
Whole organ	SRS (single fraction)	Symptomatic necrosis	V12 <5–10 cc	<20	Rapid rise when V12 > 5–10 cc

$$BED = N \cdot d_1 \left( \frac{d_1}{\alpha / \beta} + 1 \right) \Rightarrow 120 = D_1 \left( \frac{2}{2} + 1 \right) \Rightarrow D_1 = 120 / 2 = 60 \text{ Gy}$$

- High sensitivity for **fraction doses > 2 Gy**
- High sensitivity for **multi fractions** per day treatments
- Evidence for neurocognitive injury is weak in adults
- For **children** the cutoff for **neurocognitive injury** is about **18-24 Gy** (whole brain irradiation for medulloblastoma)

# ATP: brain summary

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**Dose (Gy), or  
dose/volume  
parameters**

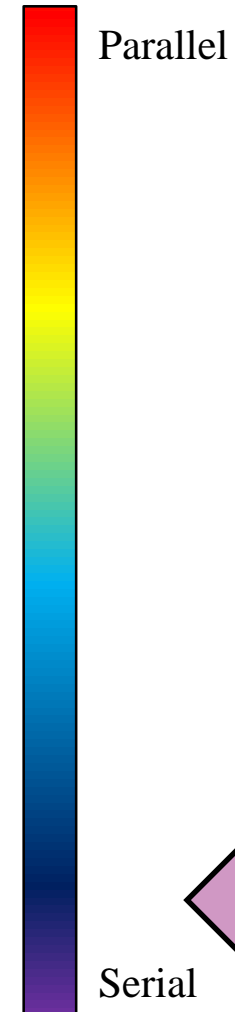
**Rate (%)**

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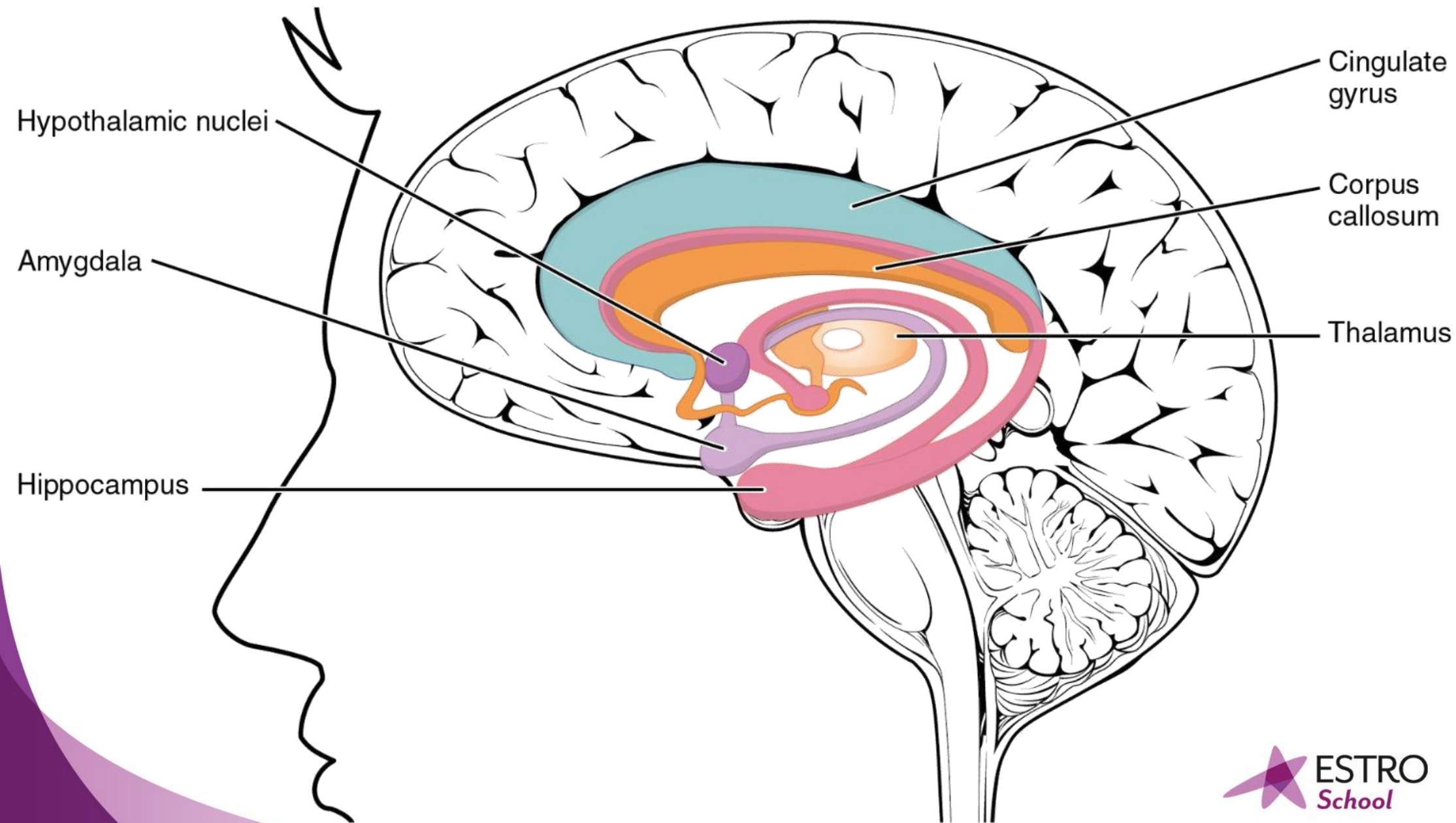
$D_{\max} < 60$	<3
$D_{\max} = 72$	5
$D_{\max} = 90$	10

---

- Mean Dose
- V[Gy] Dose
- D[cc/%] Volume
- Maximum Dose



# Parameters for clinical outcome: hippocampus



# Parameters for clinical outcome: hippocampus

Main cognitive test results in patients treated with in Intensity Modulated Radiotherapy using hippocampal avoidance approaches.

Authors	Number of patients	Prescribed total dose	Hippocampi dose constraints	Delivered dose to the hippocampi	Hippocampus $\alpha/\beta$	Median follow-up (months)	Main cognitive test results
Gondi et al. [53]	113	WBRT for BM: 30 Gy in 10 fractions	$D_{max} < 16$ Gy $D_{100\%} < 9$ Gy	/	/	/	Significant reduction in HVLt-R Delayed Recall (verbal learning and memory) decline at 4 months compared to historical control Over time: significant decline in HVLt-R Delayed Recall; No significant decline in HVLt-R Total Recall and Immediate Recognition; D100% predictive of decline in HVLt-R Delayed Recall (univariate analysis)
Redmond et al. [54]	20	PCI: 25 Gy in 10 fractions	$D_{mean} < 8$ Gy	Hippocampi: $D_{mean}$ : 7.4 Gy Avoidance structure: $D_{mean}$ : 10.25 Gy	/	16.7	At 6 and 12 months following the completion of IMRT: No significant decline in HVLt-R Delayed Recall, Trail Making Test (information processing speed, executive function), Controlled Oral Word Association Test (verbal fluency) compared to baseline
Ma et al. [55]	60	PCI: 25 Gy in 10 fractions (n = 21) GBM: 60 Gy in 30 fractions (n = 39; 30 treated using HAA)	PCI: $D_{mean} < 8$ Gy GBM: Dose reduced as much as possible to the NPC (patients treated using HAA)	PCI: Mean D50%: 5.1 Gy Mean D100%: 4.2 Gy Mean $D_{max}$ : 7.6 Gy GBM HAA: Mean D50%: 23.6 Gy Mean D100%: 12.0 Gy Mean $D_{max}$ : 42.8 Gy GBM without HAA: Mean D50%: 54.5 Gy Mean D100%: 44.7 Gy Mean $D_{max}$ : 61.7 Gy	2 Gy	/	D50% of 22.1 Gy and 62.9 Gy (EUD) exposed to 20% and 50% probabilities of HVLt-R Delayed Recall decline, respectively D100% of 10.9 Gy and 59.3 Gy (EUD) exposed to 20% and 50% probabilities of HVLt-R Delayed Recall decline, respectively GBM: $D_{max}$ associated to HVLt-R Delayed Recall results' change (univariate and multivariate analyses)

BM: Brain metastases, D50%: Dose delivered to 50% of the hippocampi, D100%: Dose delivered to 100% of the hippocampi,  $D_{max}$ : Maximal dose,  $D_{mean}$ : Mean dose, EUD: Equivalent Uniform Dose, GBM: Glioblastoma Multiforme, HAA: Hippocampal avoidance approach, HVLt-R: Hopkins Verbal Learning Test-Revised, NPC: Neural Progenitor Cells, PCI: Prophylactic Cranial Irradiation, WBRT: Whole Brain Radiotherapy.

Jacob J, Durand T, Feuvret L, et al. Cognitive impairment and morphological changes after radiation therapy in brain tumors: A review. *Radiother Oncol*. Elsevier B.V.; 2018;128:221–228.

# ATP: hippocampus summary

WB-RT 30 Gy @ 3 Gy/fr

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**Dose (Gy), or  
dose/volume  
parameters**

**Outcome**

---

$D_{\max} < 16$

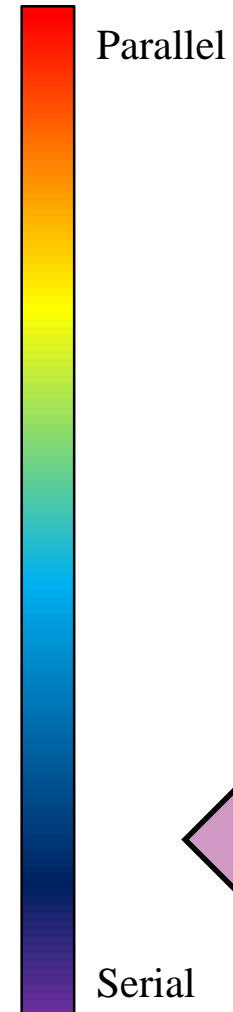
$D_{100\%} < 9$

Decrease of  
cognitive  
impairment at  
4 months

---

Gondi et al.

- Mean Dose
- V[Gy] Dose
- D[cc/%] Volume
- Maximum Dose





# ATP: brain summary

WB-RT 25 Gy @ 2.5 Gy/fr

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**Dose (Gy), or  
dose/volume  
parameters**

---

**Outcome**

---

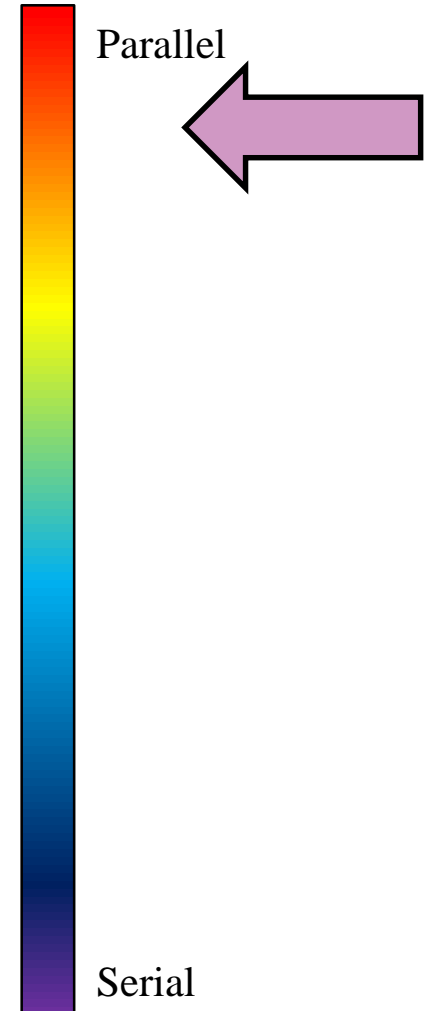
$D_{\text{mean}} < 9$

No cognitive  
impairment at  
12 months

---

Redmond et al.

- Mean Dose
- V[Gy] Dose
- D[cc/%] Volume
- Maximum Dose



# ATP: hippocampus summary

WB-RT 25 Gy @ 2.5 Gy/fr  
3D CRT (GBM) 60 Gy @ 2 Gy/fr

---

<b>Dose (Gy), or dose/volume parameters (EUD)</b>	<b>Outcome</b>
---	----------------

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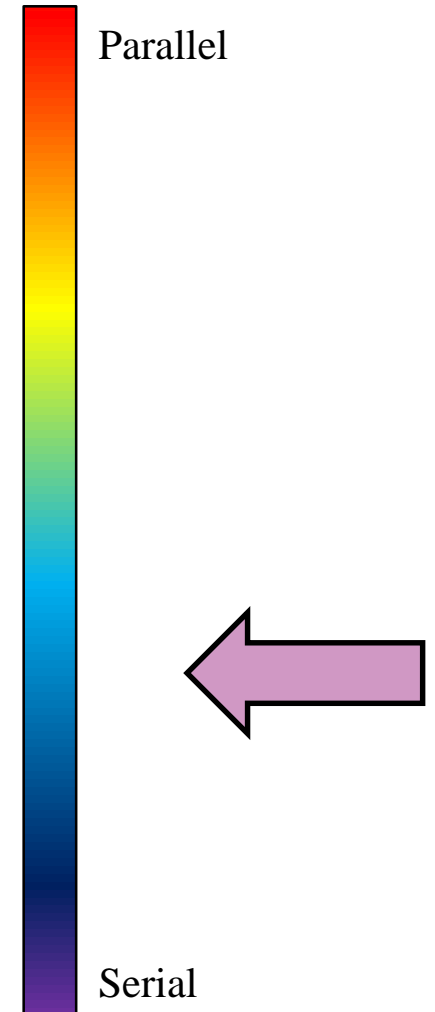
$D_{50\%} < 22,1$	20%
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$D_{50\%} < 62,9$	50%
-------------------	-----

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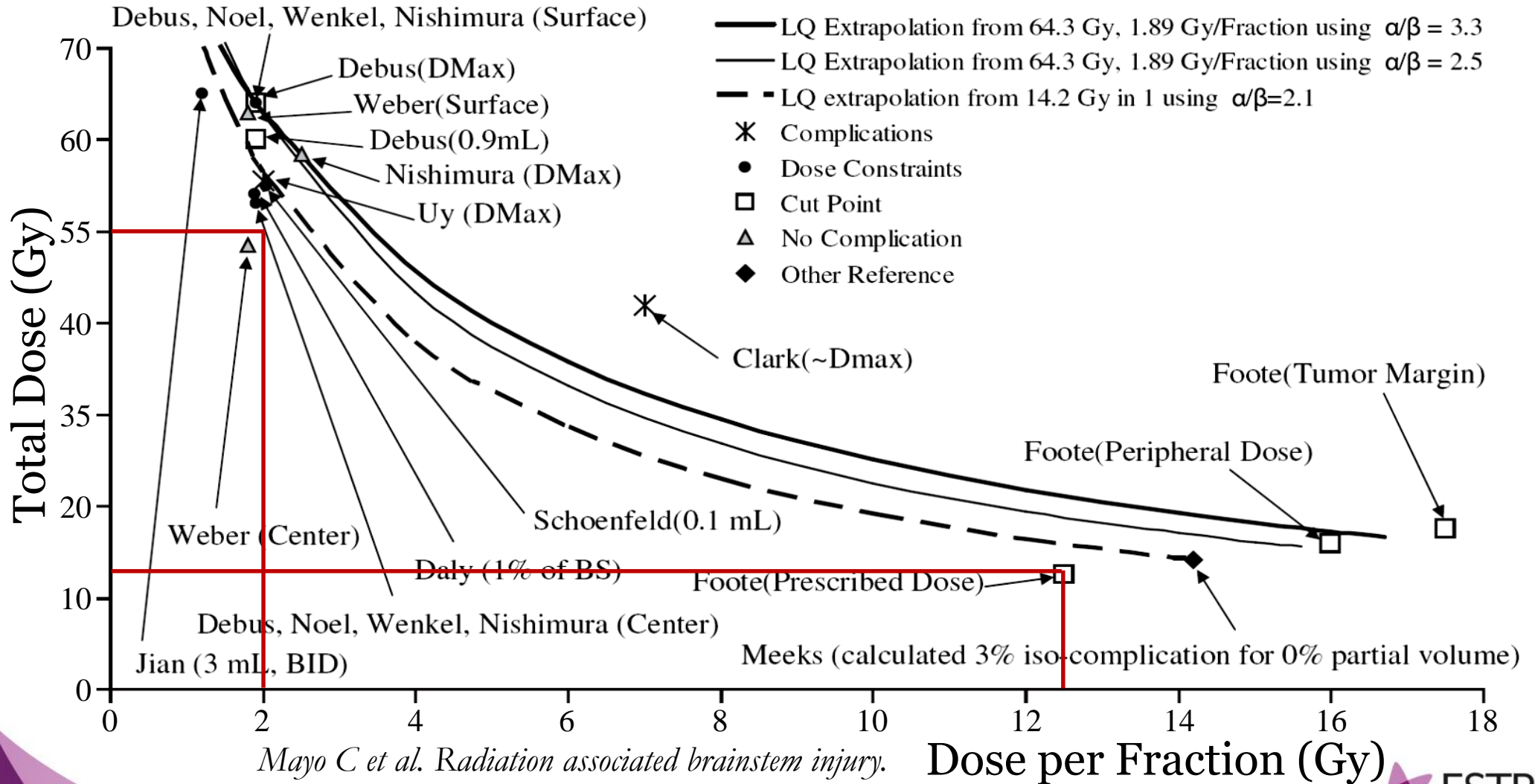
Report of cognitive impairment  
Ma et al.

- Mean Dose
- V[Gy] Dose
- D[cc/%] Volume
- Maximum Dose



# Parameters for clinical outcome: Brainstem

## Endpoint: Brainstem necrosis or neuropathy



Mayo C et al. Radiation associated brainstem injury.  
*Int. J. Radiation Oncology Biol. Phys.*,  
 Vol. 76, No. 3, Supplement, pp. S36–S41, 2010.

Dose per Fraction (Gy)

# Parameters for clinical outcome: Brainstem

Volume segmented	Irradiation type (partial organ unless otherwise stated)	Endpoint	Dose (Gy), or dose/volume parameters	Rate (%)	Notes on dose/volume parameters
Whole organ	Whole organ 3D-CRT	Permanent cranial neuropathy or necrosis	D <sub>max</sub> <54 D <sub>1-10 cc</sub> ≤ 59	<5 <5	
Whole organ	3D-CRT	Permanent cranial neuropathy or necrosis	D <sub>max</sub> <64	<5	Point dose <<1 cc
Whole organ	SRS (single fraction)	Permanent cranial neuropathy or necrosis	D <sub>max</sub> <12.5	<5	For patients with acoustic tumors

- Lack of information for dose per fraction in the 4 to 8 Gy range and so **there are not affordable recommendations to be followed in the middle fractionations area**
- The extrapolation of LQ model to the highest doses may however be incorrect

*Mayo C et al. Radiation associated brainstem injury.  
Int. J. Radiation Oncology Biol. Phys.,  
Vol. 76, No. 3, Supplement, pp. S36–S41, 2010.*

# ATP: brainstem summary

WB-RT 25 Gy @ 2.5 Gy/fr  
3D CRT (GBM) 60 Gy @ 2 Gy/fr

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<b>Dose (Gy), or dose/volume parameters (EUD)</b>	<b>Outcome</b>
---	----------------

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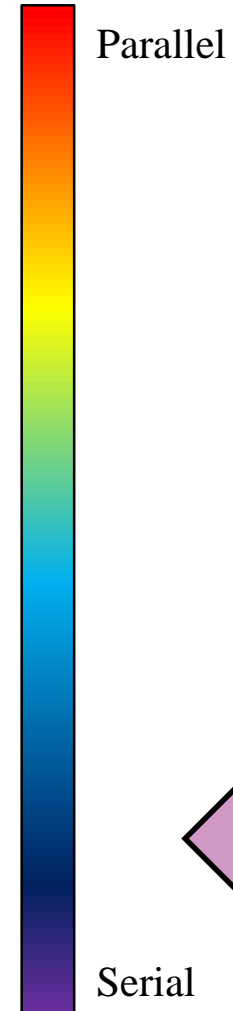
$D_{\max}$ < 54	<5%
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$D_{1-10\text{cc}}$ < 59	<5%
--------------------------	-----

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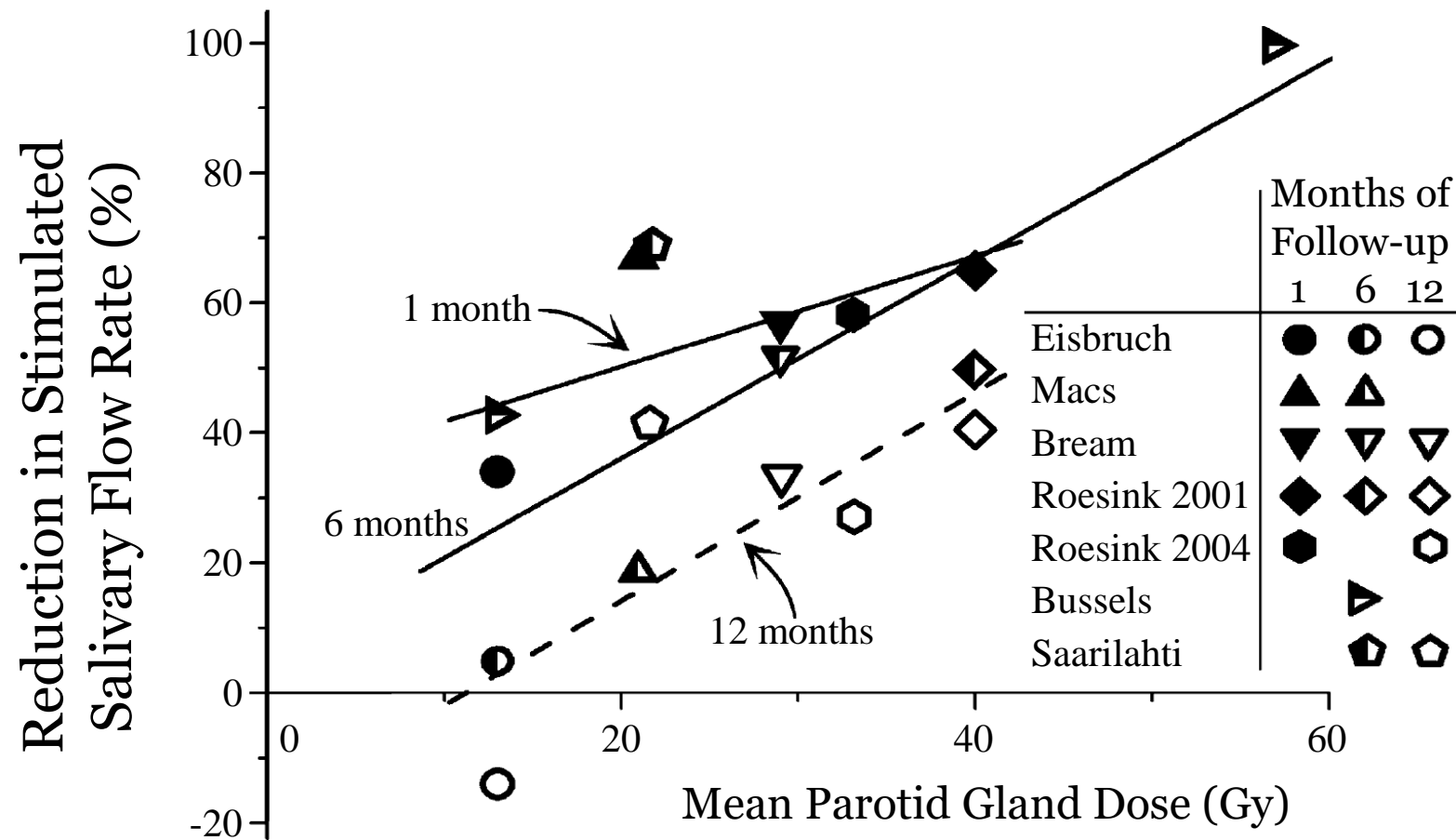
Permanent cranial neuropathy  
or necrosis

- Mean Dose
- V[Gy] Dose
- D[cc/%] Volume
- Maximum Dose



# Parameters for clinical outcome: Salivary glands

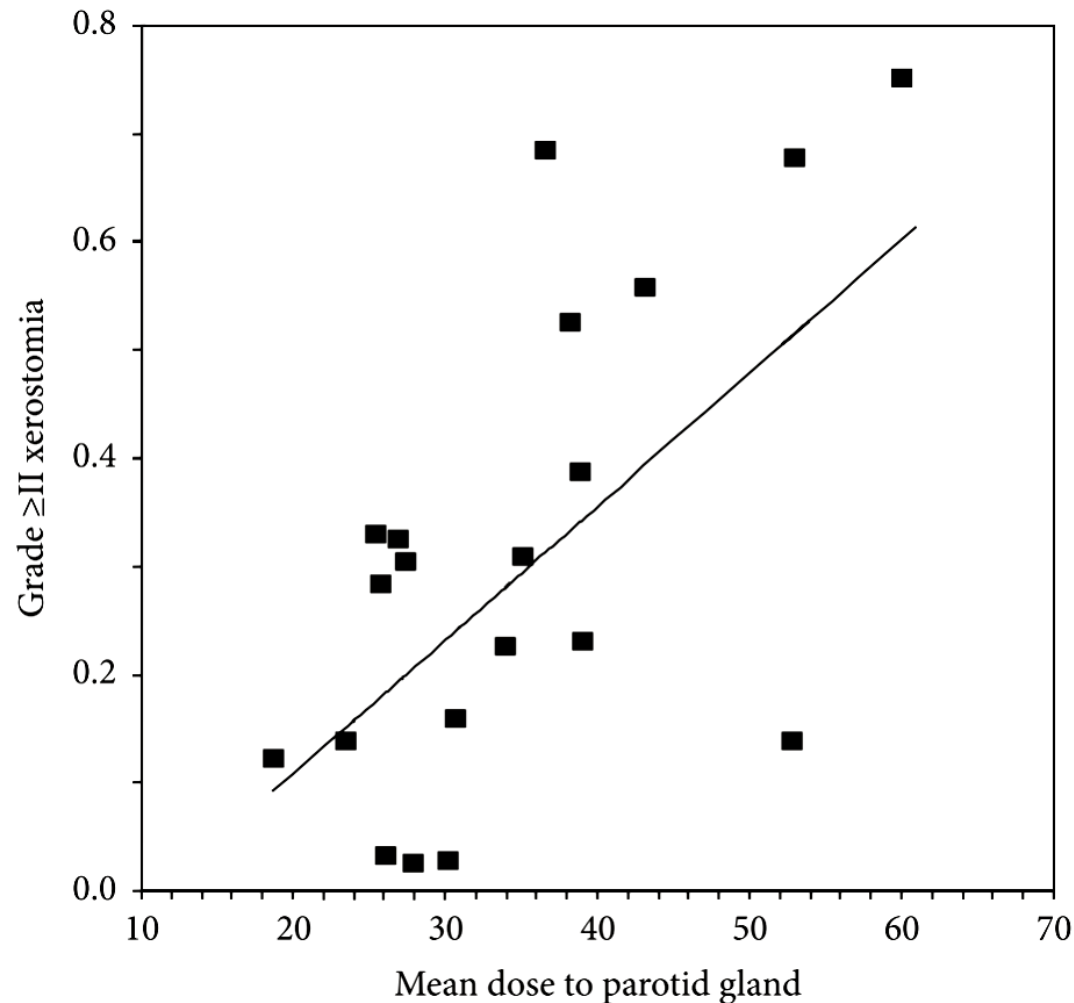
**Mean percentage of reduction in stimulated salivary flow rate vs. mean parotid gland dose for different follow-up durations**



*Deasy JO et al. Radiation Dose-Volume effects on the salivary gland function. Int. J. Radiation Oncology Biol. Phys., Vol. 76, No. 3, Supplement, pp. S58–S63, 2010.*

# Parameters for clinical outcome: Salivary glands

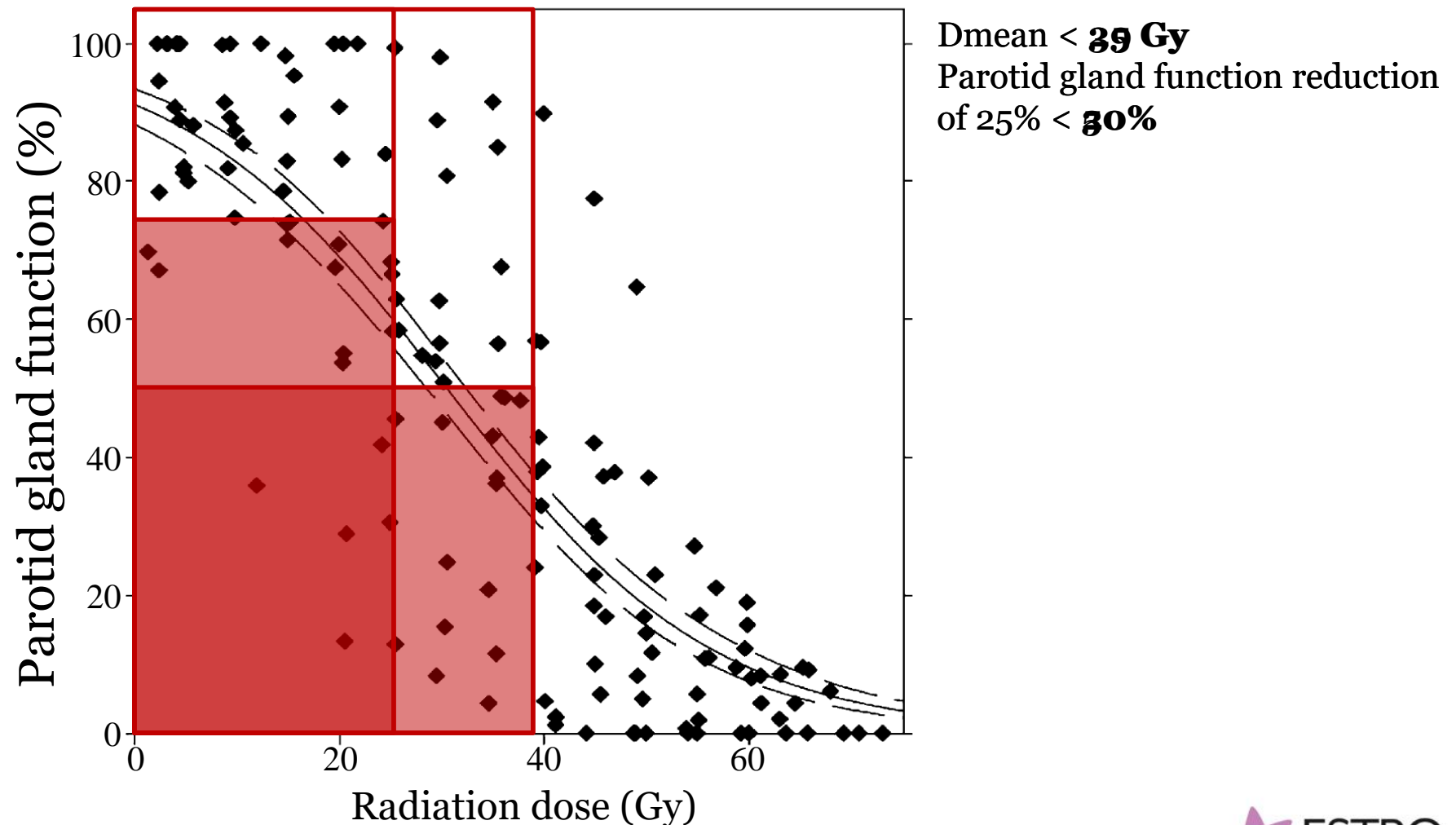
**Clinical estimation of RTOG grade 2 (moderate dryness of mouth; poor response on stimulation): toxicity related to mean parotid glands dose**



*Kouloulis V et al. The treatment outcome and radiation-induced toxicity for patients with head and neck carcinoma in the IMRT era: a systematic review with dosimetric and clinical parameters. BioMed Research International, Volume 2013, Article ID 401261.*

# Parameters for clinical outcome: Salivary glands

**Population-based dose vs. local function response (salivary function on rest) from imaging study**



*Deasy JO et al. Radiation Dose-Volume effects on the salivary gland function.*

*Int. J. Radiation Oncology Biol. Phys., Vol. 76, No. 3, Supplement, pp. S58–S63, 2010.*



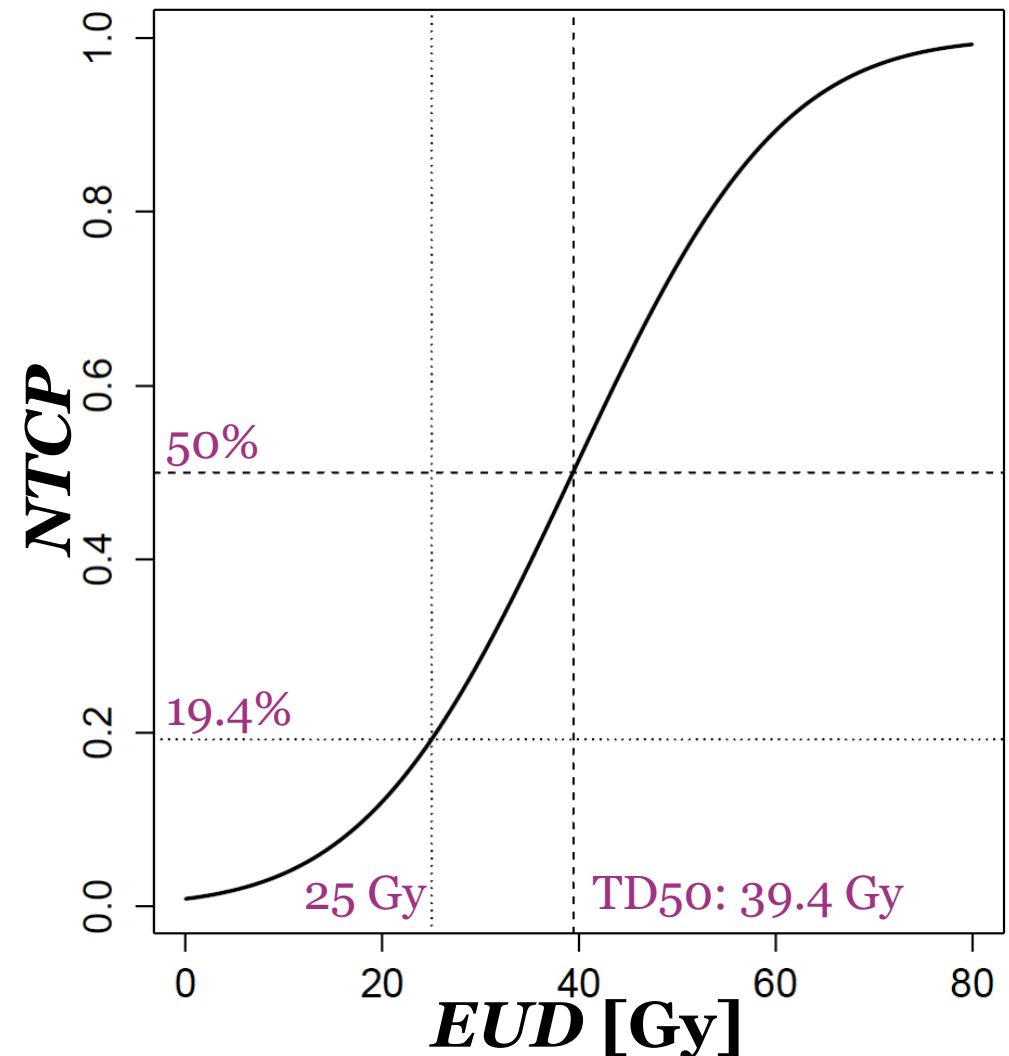
# Parameters for clinical outcome: Salivary glands

## NTCP dose-response models evaluation for analysis of parotid gland function:

Table 2. Model parameters and goodness of fit values of the models

Model	Parameter	Value	95% CI	$\Delta_{LL}$	Monte Carlo
LKB	n	1.13	0.75–14.25	340.63	0.51
	TD <sub>50</sub>	39.4	33.8–41.8		
	m	0.42	0.36–0.58		
Mean dose	TD <sub>50</sub>	39.9	37.3–42.8	339.19	0.59
	m	0.40	0.34–0.51		
Relative seriality	s	0.08	0.00–0.65	342.56	0.71
	TD <sub>50</sub>	38.8	36.5–43.5		
Critical volume	$\gamma$	0.95	0.70–1.30		
	$\alpha$	0.03	0.06–0.20	357.73	0.66
	N <sub>0</sub>	1	2–32		
	$\lambda$	0.65	0.60–0.90		
Parallel FSU	N <sub>FSU</sub>	219	18–298		
	D <sub>50</sub>	32.5	15.0–95.0	336.44	0.55
	k	2.75	0.50–4.50		
	TD <sub>50</sub>	37.0	32.0–44.0		
V <sub>Dth</sub>	m	0.35	0.30–0.60		
	D <sub>th</sub>	30.5	25.0–37.0	342.98	0.58
	rdV <sub>50</sub>	0.68	0.60–0.80		
	m	0.48	0.35–0.65		

Abbreviations: CI = confidence interval;  $\Delta_{LL}$  = deviance.



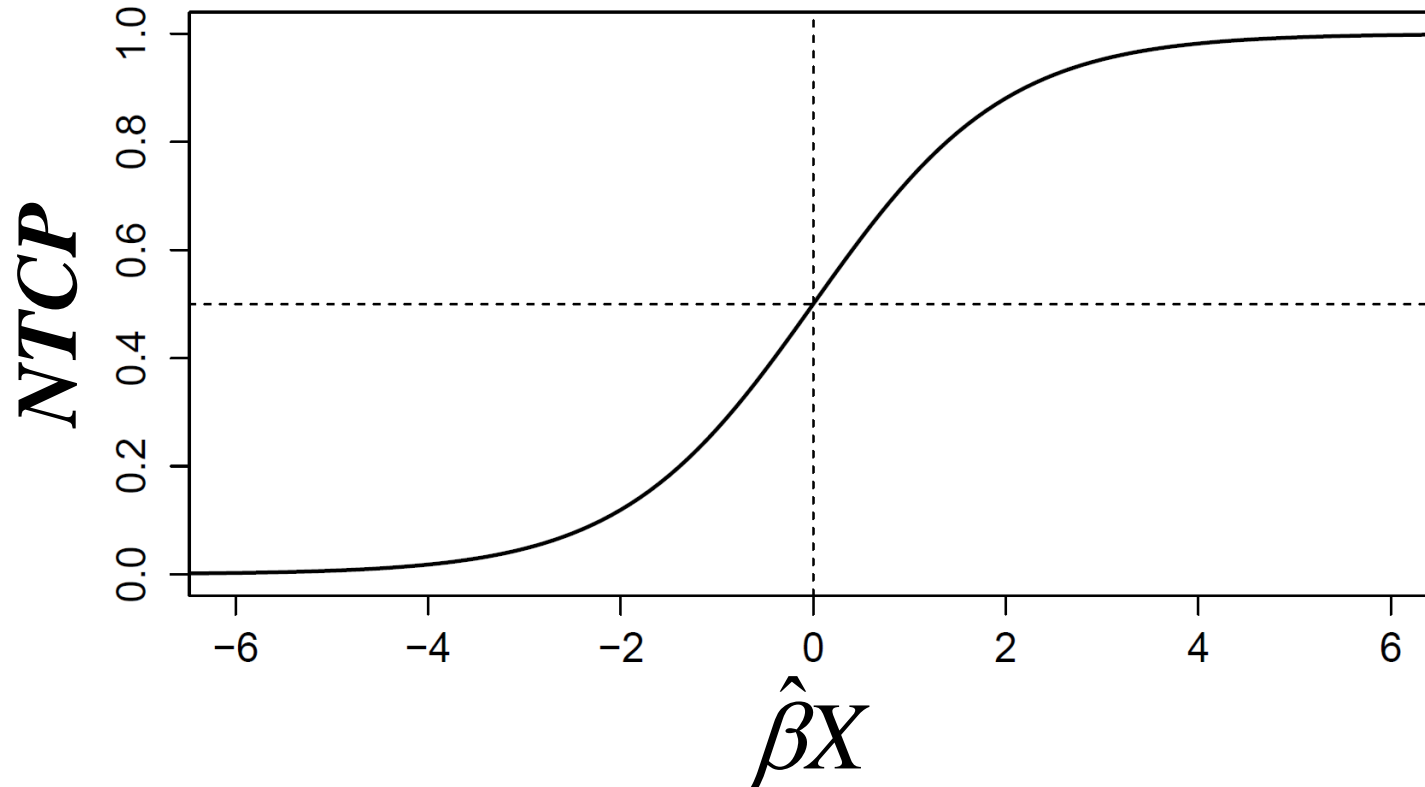
Houweling AC et al. A comparison of dose-response models for the parotid gland in a large group of head-and-neck cancer patients. *Int. J. Radiation Oncology Biol. Phys.*, Vol. 76, No. 4, pp. 1259–1265, 2010.

# Parameters for clinical outcome: Salivary glands

## Multivariate NTCP model:

use of logistic regression for fitting different covariates (in addition to dose):

$$NTCP = \frac{1}{1 + e^{-\hat{\beta}X}} \quad \hat{\beta}X = \beta_0 + \beta_1 x_1 + \beta_2 x_2 + \dots + \beta_n x_n$$



Beetz I et al. Development of NTCP models for head and neck cancer patients treated with three-dimensional conformal radiotherapy for xerostomia and sticky saliva: The role of dosimetric and clinical factors. *Radiother. Oncol.* Volume 105, Issue 1, Pages 86–93.

# Parameters for clinical outcome: Salivary glands

## Multivariate NTCP model:

### Analysis of covariates:

Predictor	Xerostomia					Sticky saliva				
	$\beta$	OR	95% CI	p-value	AUC	$\beta$	OR	95% CI	p-value	AUC
Mean dose parotid glands (Gy)	0.06	1.06	1.04–1.08	<0.01	0.79	0.03	1.03	1.02–1.05	<0.01	0.69
Mean dose submandibular glands (Gy)	0.05	1.05	1.03–1.07	<0.01	0.75	0.04	1.04	1.02–1.05	<0.01	0.68
Mean dose sublingual glands (Gy)	0.02	1.02	1.01–1.04	<0.01	0.72	0.00	1.00	0.99–1.01	0.67	0.57
Mean dose cheeks (Gy)	0.04	1.04	1.02–1.07	<0.01	0.72	0.00	1.00	0.99–1.02	0.77	0.55
Mean dose inner surface lower lip (Gy)	0.02	1.02	1.00–1.05	0.07	0.67	-0.13	0.99	0.97–1.01	0.21	0.51
Mean dose inner surface upper lip (Gy)	0.03	1.03	1.00–1.07	0.06	0.65	-0.15	0.99	0.96–1.01	0.30	0.52
Mean dose soft palate (Gy)	0.03	1.03	1.02–1.05	<0.01	0.75	0.01	1.01	1.00–1.02	0.06	0.61
Sex	0.24	1.27	0.67–2.40	0.46	0.56	0.31	1.37	0.68–2.74	0.38	0.53
Age	0.01	1.01	0.98–1.04	0.54	0.51	0.03	1.03	1.00–1.06	0.06	0.57
Chemotherapy	0.93	2.53	1.15–5.58	0.02	0.58	0.21	1.24	0.59–2.59	0.57	0.52
Accelerated radiotherapy	-0.29	0.75	0.40–1.42	0.38	0.53	0.02	1.02	0.54–1.91	0.96	0.50
Baseline xerostomia score	1.01	2.75	1.39–5.47	<0.01	0.61	0.63	1.87	1.15–3.04	0.01	0.61
Baseline sticky saliva score	0.59	1.81	1.01–3.23	0.05	0.57	0.94	2.57	1.27–5.17	<0.01	0.59
Bilateral neck irradiation	1.80	6.06	2.90–12.66	<0.01	0.68	1.97	7.15	3.19–16.01	<0.01	0.69
Medical centre (UMCG vs. VUMC)	1.09	2.98	1.43–6.21	<0.01	0.60	1.54	4.67	2.0–10.9	<0.01	0.63

Beetz I et al. Development of NTCP models for head and neck cancer patients treated with three-dimensional conformal radiotherapy for xerostomia and sticky saliva: The role of dosimetric and clinical factors. *Radiother. Oncol.* Volume 105, Issue 1, Pages 86–93.

# Parameters for clinical outcome: Salivary glands

Volume segmented	Irradiation type (partial organ unless otherwise stated)	Endpoint	Dose (Gy), or dose/volume parameters	Rate (%)	Notes on dose/volume parameters
Bilateral whole parotid glands	3D-CRT	Long term parotid salivary function reduced to <25% of pre-RT level	Mean dose <25	<20	For combined parotid glands
Unilateral whole parotid gland	3D-CRT	Long term parotid salivary function reduced to <25% of pre-RT level	Mean dose <20	<20	For single parotid gland. At least one parotid gland spared to <20 Gy
Bilateral whole parotid glands	3D-CRT	Long term parotid salivary function reduced to <25% of pre-RT level	Mean dose <39	<50	For combined parotid glands

- Severe xerostomia is related to additional factors including the doses to the submandibular glands
- But submandibular glands should be included in the CTV for Ib nodes irradiation (oropharynx, oral cavity, N3)

*Deasy JO et al. Radiation Dose-Volume effects on the salivary gland function. Int. J. Radiation Oncology Biol. Phys., Vol. 76, No. 3, Supplement, pp. S58–S63, 2010.*

# ATP: parotid glands summary

Bilateral parotid irradiation  
at standard fractionation

---

**Dose (Gy), or  
dose/volume  
parameters**

**Outcome**

---

$D_{\text{mean}} < 25$

$<20\%$

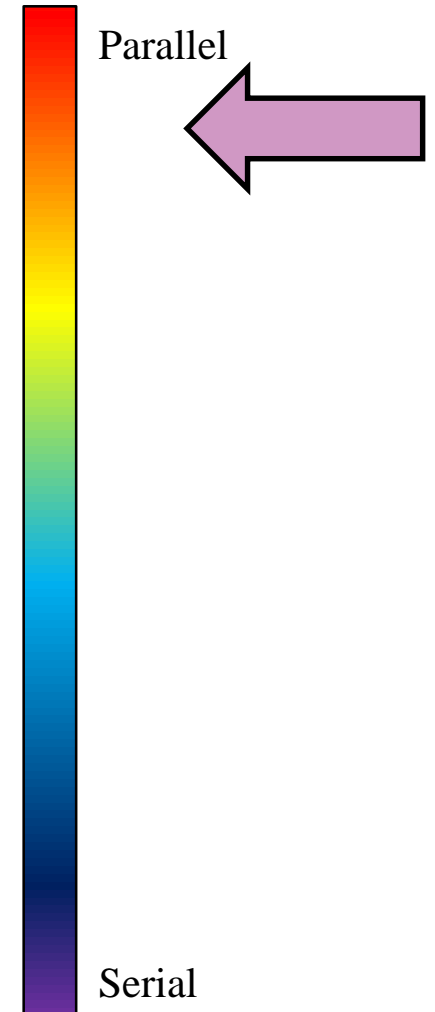
$D_{\text{mean}} < 39$

$<50\%$

---

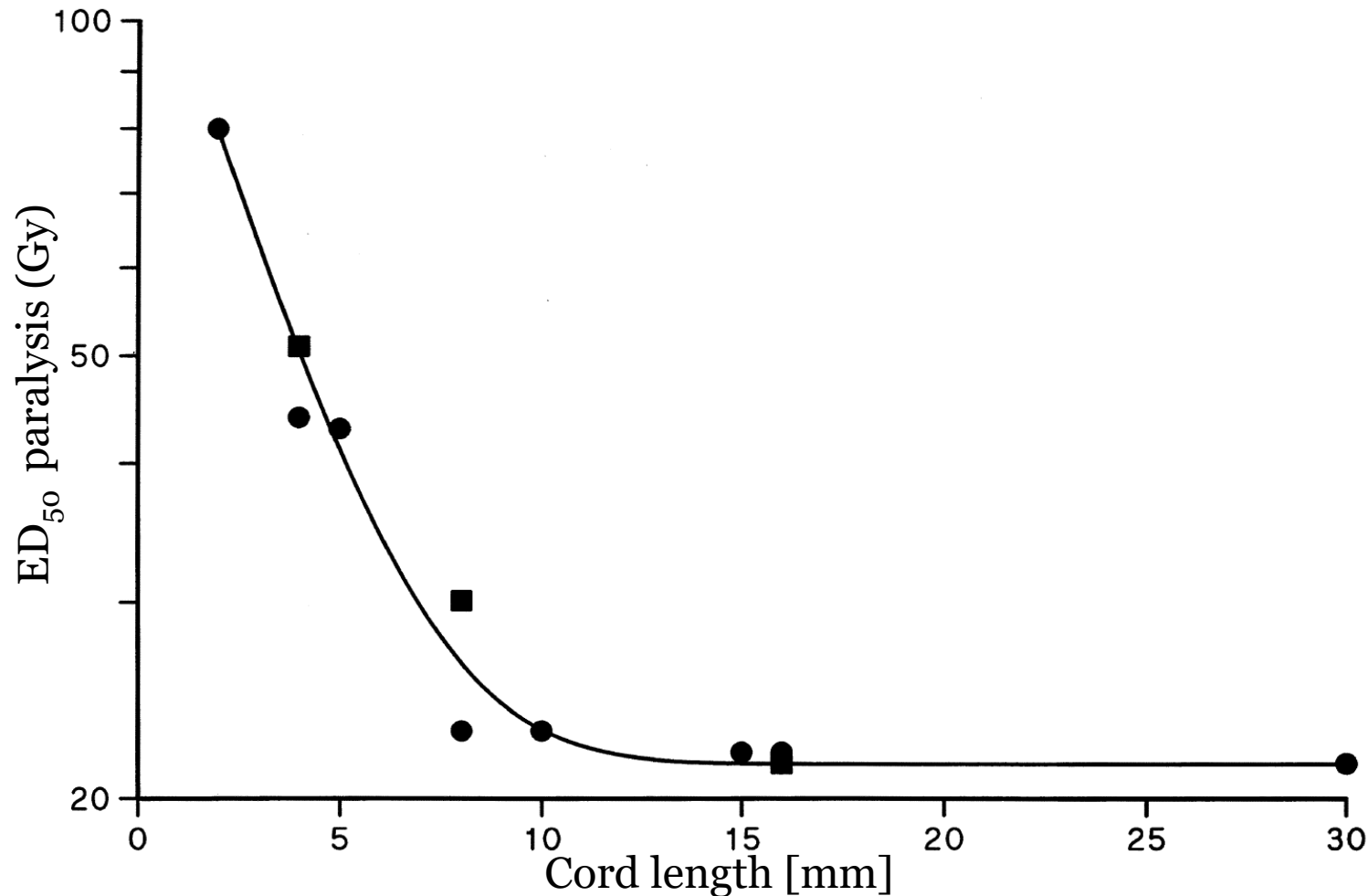
Long term parotid salivary  
function reduced to  $<25\%$  of  
pre-RT level

- Mean Dose
- V[Gy] Dose
- D[cc/%] Volume
- Maximum Dose



# Parameters for clinical outcome: Small bowel

## Baglan-Robertson threshold model for risk of acute small bowel toxicity



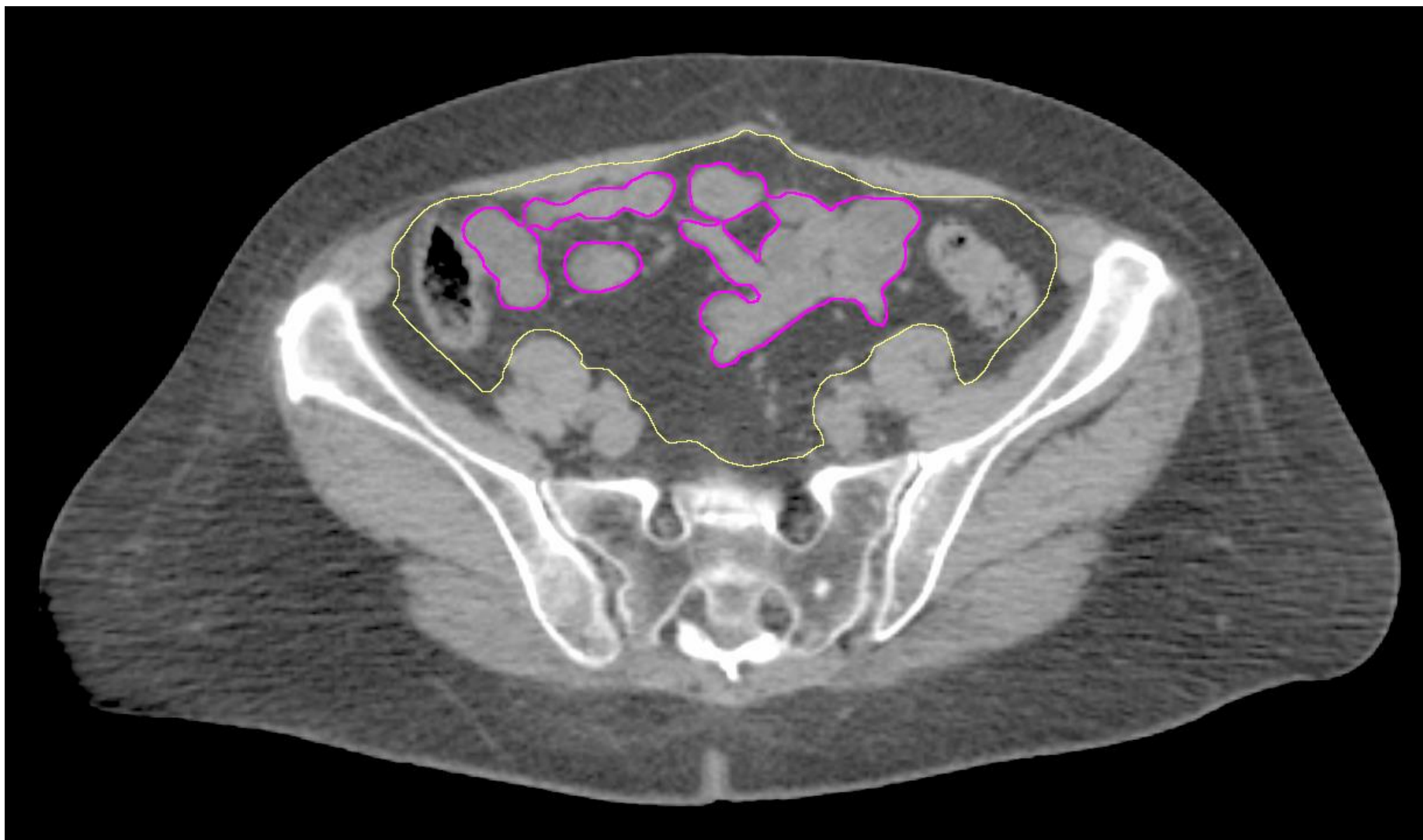
; Abdominal pain, fever,  
owel habits with ileus;  
igns

# Parameters for clinical outcome: Small bowel

## Problems in evaluating small bowel toxicity:

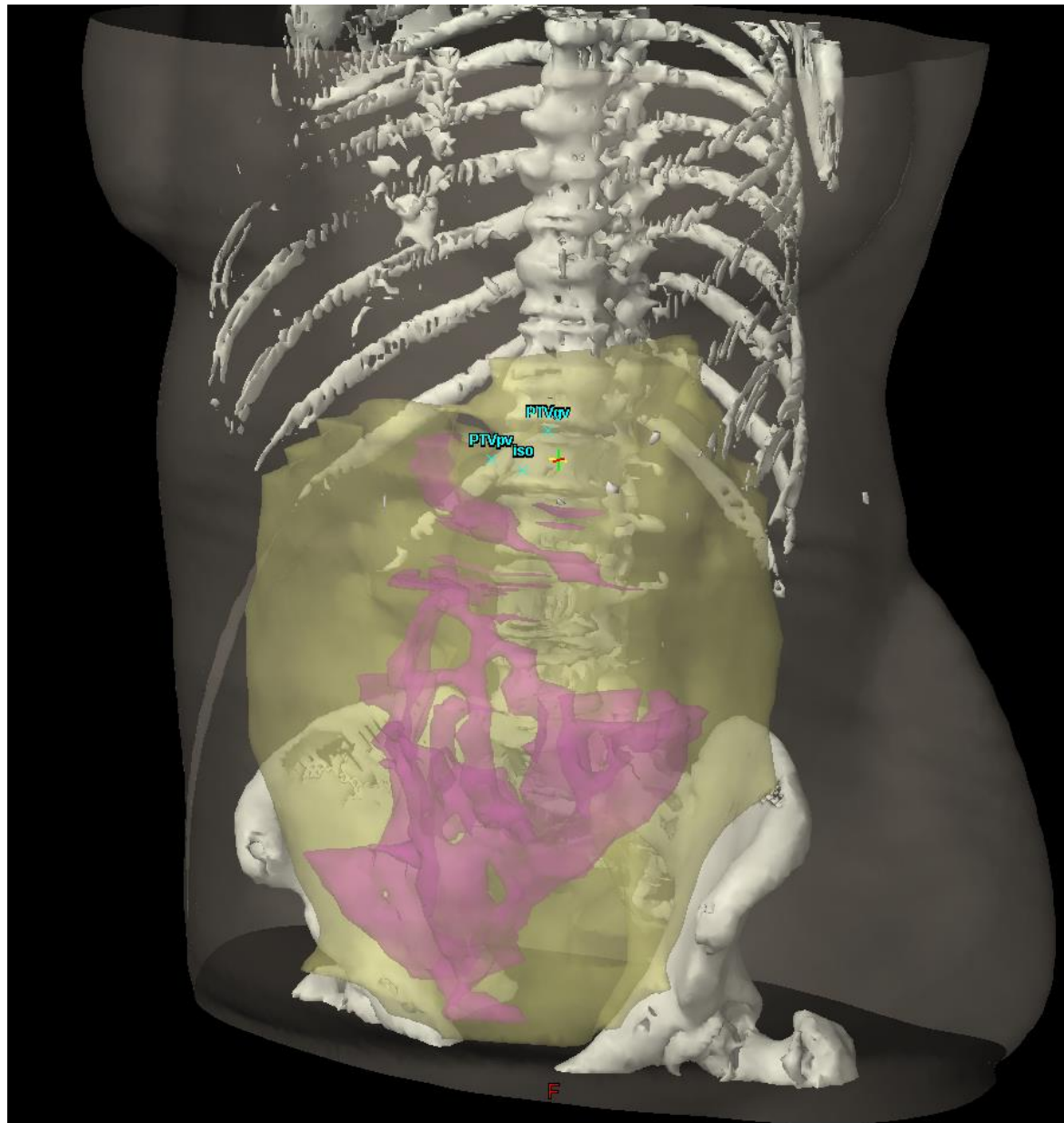
- 1) Different types of treatment can involve small bowel according the **primary tumor site** (gastric, pancreas, rectum, prostate, cervical cancer)
- 2) Different types of **combined treatment** according to the primary site
  - 1) Chemotherapy (5-Fu, CDDP, Capecitabine, Gemcitabine)
- 3) **Intrinsic movements of small bowel** (filling, emptying, peristalsis)
- 4) Presence of **surgery** (before radiotherapy)
  - 1) Fixed bowel loops
  - 2) Bowel loops hypovascularization
  - 3) Bowel loops injury

# Parameters for clinical outcome: Small bowel



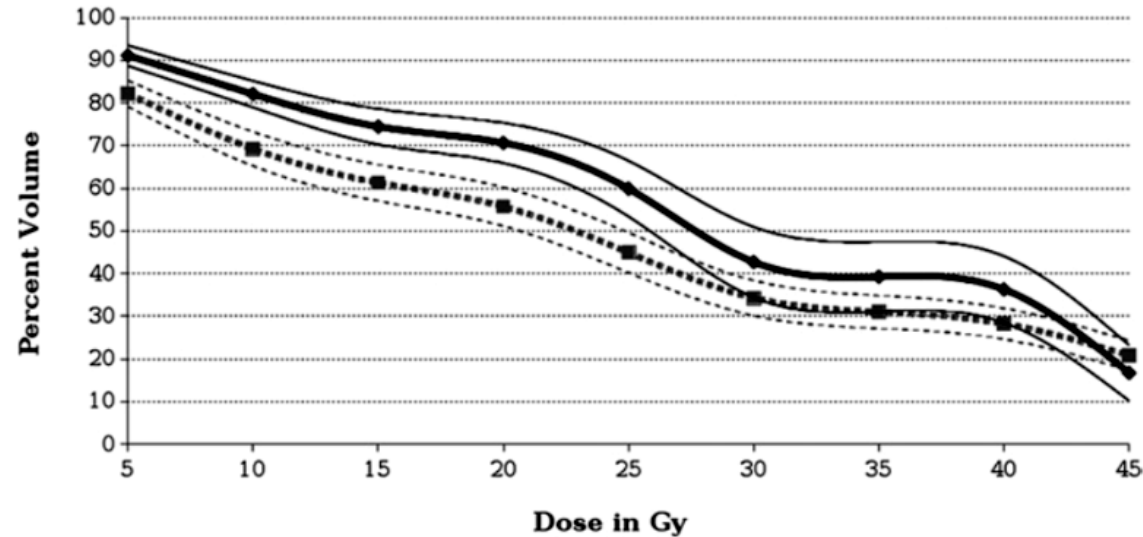
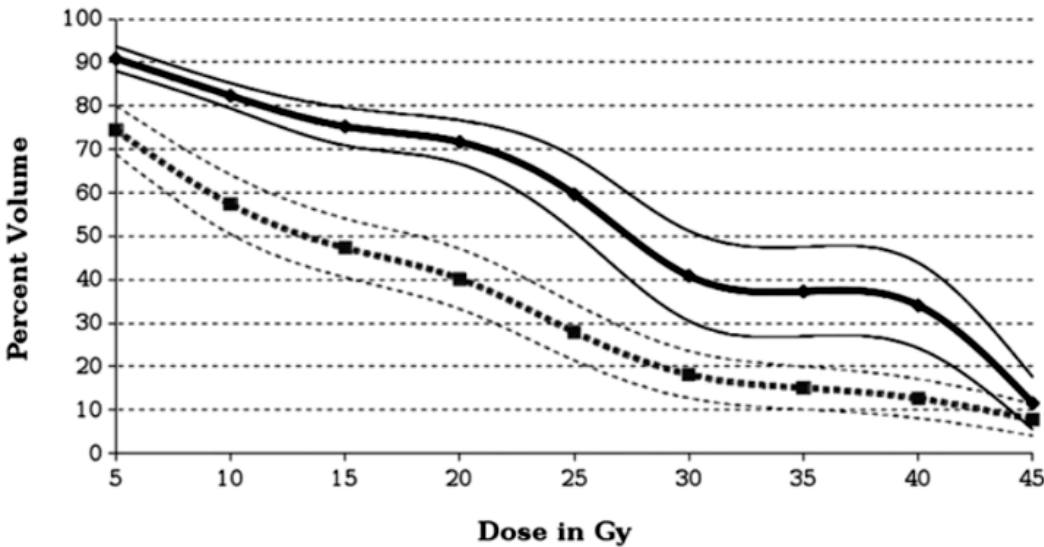


# Parameters for clinical outcome: Small bowel



# Parameters for clinical outcome: Small bowel

## Small bowel toxicity (G0-2 ..... vs G<sub>≥3</sub> — ) different OAR delineation procedures



**Table 3** ROC analysis for small bowel and peritoneal space volumes and association with grade  $\geq 3$  acute small bowel toxicity

Small bowel	AUC	SE	P value	Peritoneal space	AUC	SE	P value
SB V5	.937	.033	.000	PS V5	.865	.046	.000
SB V10	.946	.031	.000	PS V10	.883	.043	.000
SB V15	.951	.026	.000	PS V15	.883	.050	.000
SB V20	.955	.025	.000	PS V20	.881	.053	.000
SB V25	.964	.021	.000	PS V25	.896	.045	.000
SB V30	.948	.028	.000	PS V30	.839	.062	.000
SB V35	.943	.030	.000	PS V35	.847	.061	.000
SB V40	.950	.028	.000	PS V40	.844	.062	.000
SB V45	.812	.073	.001	PS V45	.567	.094	.488

Abbreviations: AUC = area under the curve; SB = small bowel; SE = standard error; PS = peritoneal space.

R Banerjee et al. *Small Bowel Dose Parameters Predicting Grade  $\geq 3$  Acute Toxicity in Rectal Cancer Patients Treated With Neoadjuvant Chemoradiation: An Independent Validation Study Comparing Peritoneal Space Versus Small Bowel Loop Contouring Techniques*  
*Int. J. Radiation Oncology Biol. Phys., Vol. 85, No. 5, pp. 1226–1231, 2013.*

# Parameters for clinical outcome: Small bowel

## Small bowel toxicity in patients with GYN tumors undergone or not to abdominal surgery:

- 1) 95 patients with GYN malignancies
- 2) 34 patients after surgery, 61 patients without prior surgery
- 3) Use of LASSO for modeling logistic regression over Vdose parameters

$$NTCP = \frac{1}{1 + e^{-\hat{\beta}X}} = \left[ 1 + \left( \frac{TV_{50}}{V} \right)^{4\gamma} \right]^{-1}$$

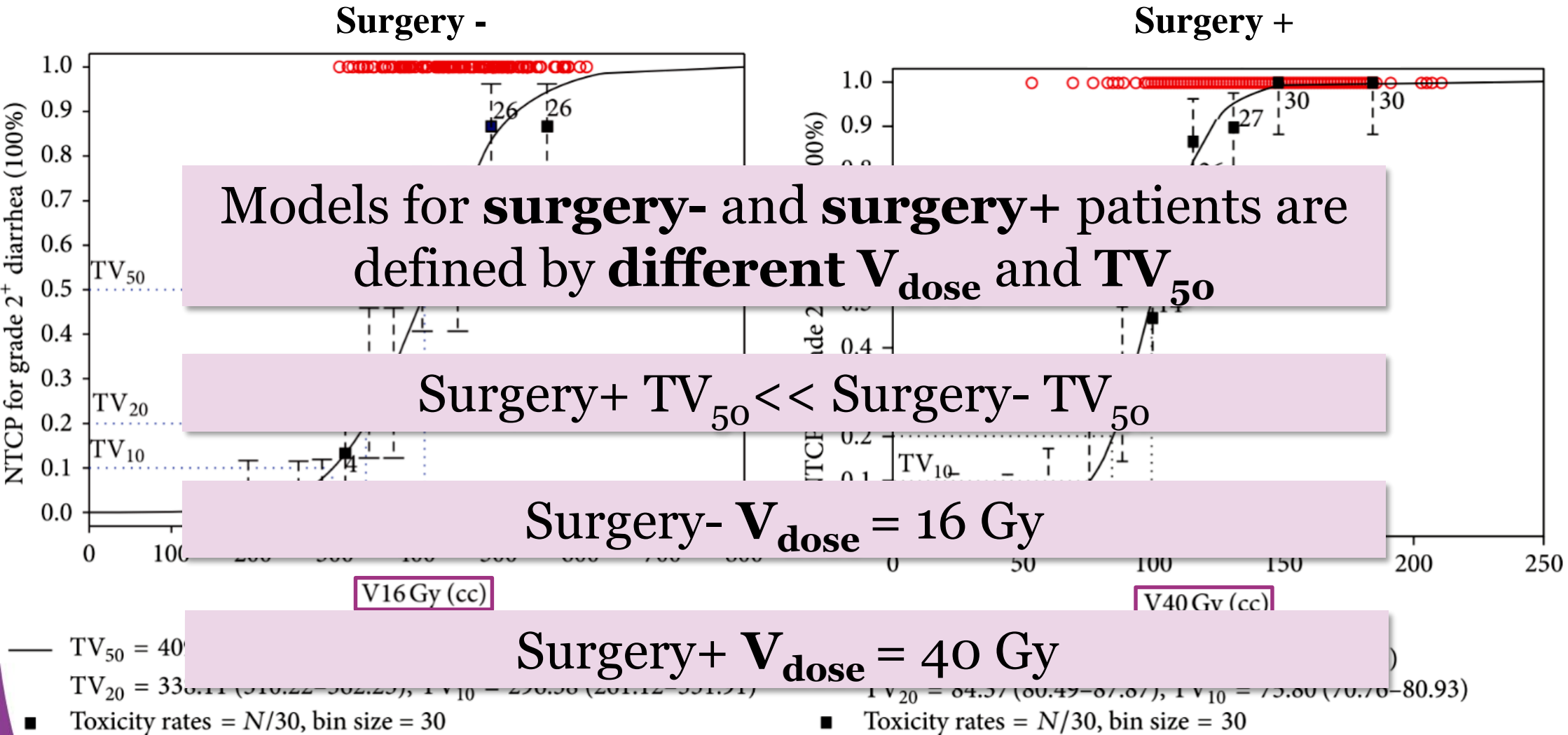
$TV_{50}$  = tolerance volume corresponding to 50% incidence of complications

$V$  = volume of small bowel receiving a given dose level

$\gamma$  = normalized slope of the volume response curve

*TF Lee et al. The Different Dose-Volume Effects of Normal Tissue Complication Probability Using LASSO for Acute Small-Bowel Toxicity during Radiotherapy in Gynecological Patients with or without Prior Abdominal Surgery. BioMed Research International Volume 2014, Article ID 143020.*

# Parameters for clinical outcome: Small bowel



TF Lee et al. *The Different Dose-Volume Effects of Normal Tissue Complication Probability Using LASSO for Acute Small-Bowel Toxicity during Radiotherapy in Gynecological Patients with or without Prior Abdominal Surgery.*  
*BioMed Research International Volume 2014, Article ID 143020.*

# Parameters for clinical outcome: Small bowel

Volume segmented	Irradiation type (partial organ unless otherwise stated)	Endpoint	Dose (Gy), or dose/volume parameters	Rate (%)	Notes on dose/volume parameters
Individual small bowel loops	3D-CRT	Grade $\geq 3$ acute toxicity	V <sub>15</sub> <120 cc	<10	Volume based on segmentation of the <b>individual loops of bowel</b> , not the entire potential peritoneal space
Entire potential space within peritoneal cavity	3D-CRT	Grade $\geq 3$ acute toxicity	V <sub>45</sub> <195 cc	<10	Volume based on the <b>entire potential space within the peritoneal cavity</b>

- All data based on series with **concurrent chemotherapy**
- For single fraction **SBRT (25 Gy) data are poor**, but the cutoff seems to set down to V<sub>12.5</sub><30 cc without bowel toxicity

*Kavanagh BD et al. Radiation Dose-Volume effects in the stomach and small bowel. Int. J. Radiation Oncology Biol. Phys., Vol. 76, No. 3, Supplement, pp. S101–S107, 2010.*

# ATP: small bowel summary

Small bowel single loops<sup>(1)</sup>  
 Small bowel as peritoneal space<sup>(2)</sup>

---

**Dose (Gy), or  
 dose/volume  
 parameters**

**Outcome**

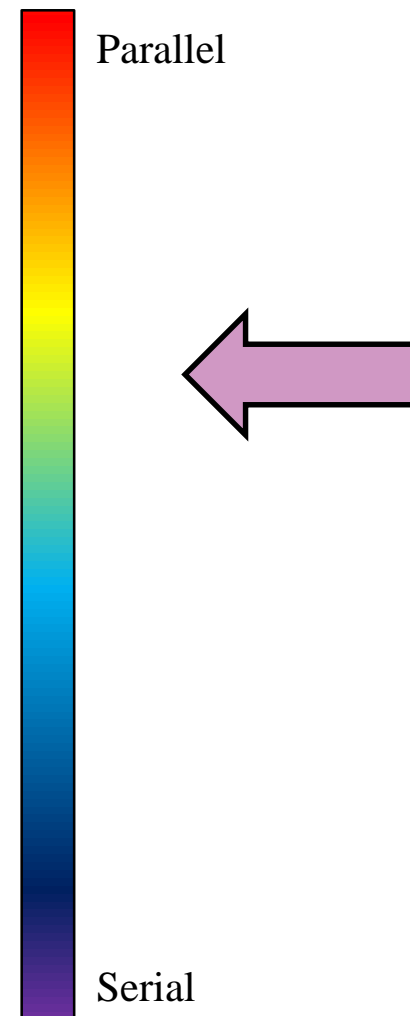
<sup>(1)</sup> $V_{15} < 120$  cc <10%

<sup>(2)</sup> $V_{45} < 195$  cc <10%

---

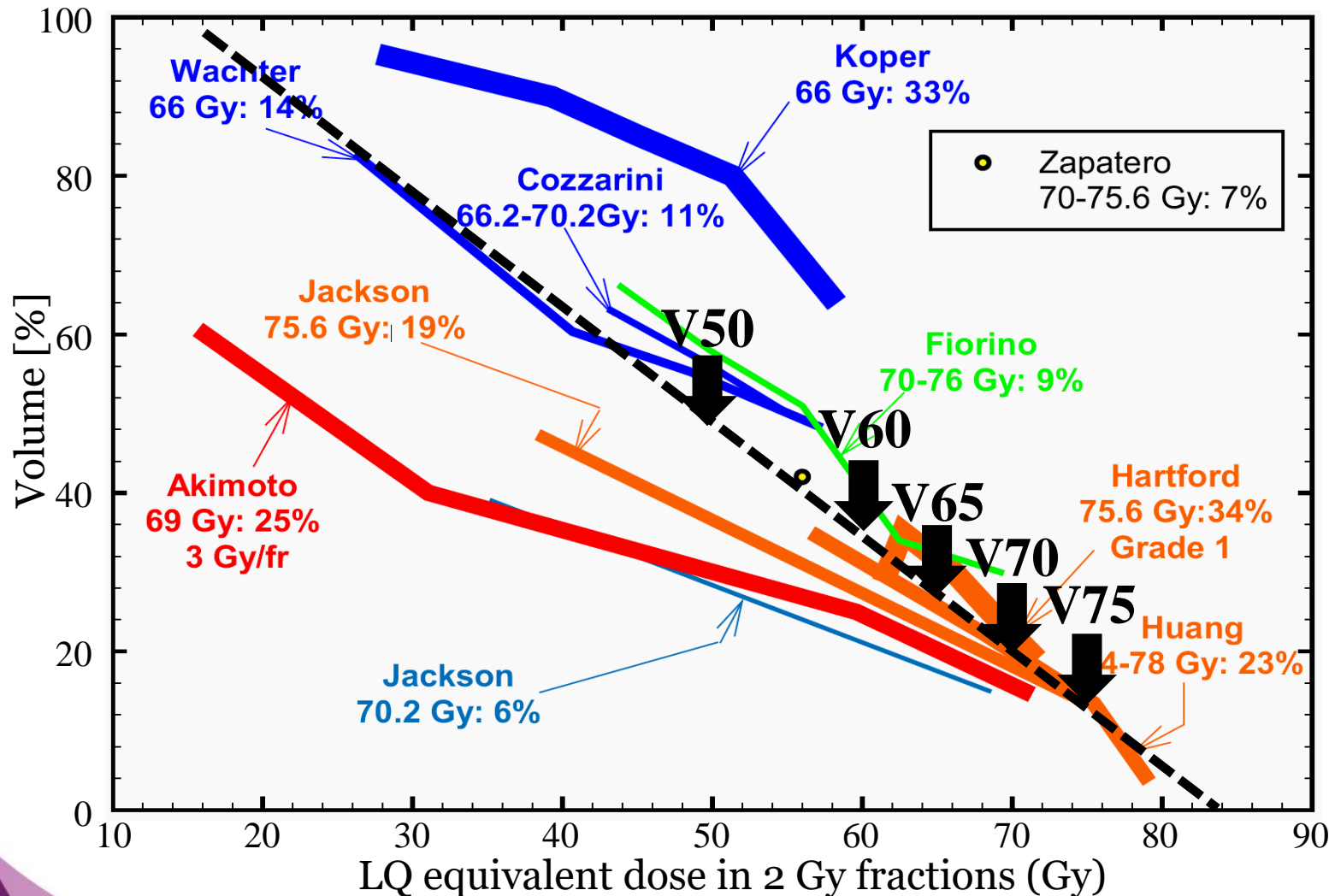
Grade 3 or worse acute toxicity

- Mean Dose
- V[Gy] Dose
- D[cc/%] Volume
- Maximum Dose



# Parameters for clinical outcome: Rectum

**Dose-Volume limits for  $\geq$  G2 rectal toxicity with LQ corrected doses ( $\alpha/\beta = 3$  Gy)**



**RTOG G2:** Increase of 4-6 stools/day, or nocturnal stools, or moderate cramping

**CTCAE G2:** Symptoms not interfering with ADL; medical intervention indicated

# Parameters for clinical outcome: Rectum

Volume segmented	Irradiation type (partial organ unless otherwise stated)	Endpoint	Dose (Gy), or dose/volume parameters	Rate (%)	Notes on dose/volume parameters
Whole organ	3D-CRT	Grade $\geq 2$ late rectal toxicity,	V50 <50%	<15	
		Grade $\geq 3$ late rectal toxicity		<10	
Whole organ	3D-CRT	Grade $\geq 2$ late rectal toxicity,	V60 <35%	<15	
		Grade $\geq 3$ late rectal toxicity		<10	
Whole organ	3D-CRT	Grade $\geq 2$ late rectal toxicity,	V65 <25%	<15	Prostate cancer treatment
		Grade $\geq 3$ late rectal toxicity		<10	
Whole organ	3D-CRT	Grade $\geq 2$ late rectal toxicity,	V70 <20%	<15	
		Grade $\geq 3$ late rectal toxicity		<10	
Whole organ	3D-CRT	Grade $\geq 2$ late rectal toxicity,	V75 <15%	<15	
		Grade $\geq 3$ late rectal toxicity		<10	

- Rectal segmentation from above the anal verge to the turn into sigmoid colon
- The evaluation of **rectal bleeding** seems to have an *a* higher lower than other endpoints (11)
- The reduction of V75 from 15% to 10% is more effective than reduction of V50 from 50% to 45% respectively

*Michalski JM et al. Radiation Dose-Volume effects in radiation-induced rectal injury. Int. J. Radiation Oncology Biol. Phys., Vol. 76, No. 3, Supplement, pp. S123–S129, 2010.*



# ATP: rectum summary

Rectum from anal verge to the turn into sigmoid colon

---

**Dose (Gy), or  
dose/volume  
parameters**

---

**Outcome**

---

$V_{50} < 50\%$

$V_{60} < 35\%$

$V_{65} < 25\%$

$V_{70} < 20\%$

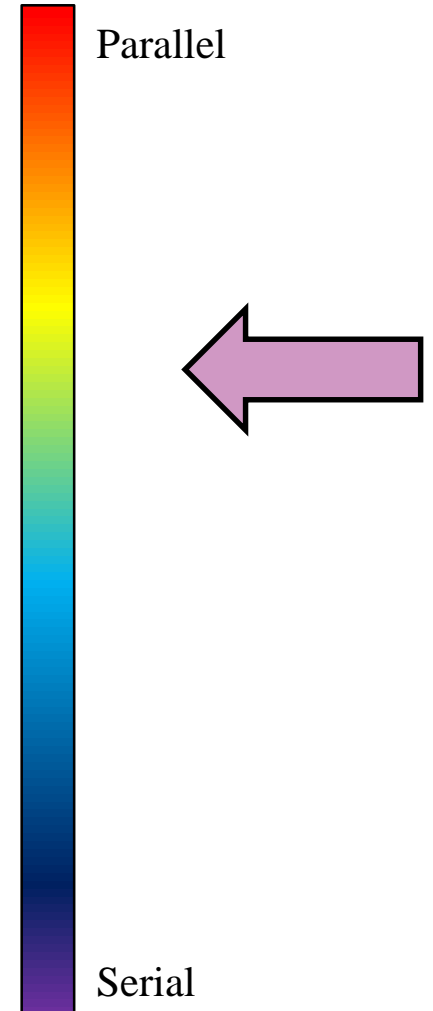
$V_{75} < 20\%$

**<15%**

---

**Grade 2** or worse late toxicity

- Mean Dose
- V[Gy] Dose
- D[cc/%] Volume
- Maximum Dose



# ATP: rectum summary

Rectum from anal verge to the turn into sigmoid colon

---

**Dose (Gy), or  
dose/volume  
parameters**

---

**Outcome**

---

$V_{50} < 50\%$

$V_{60} < 35\%$

$V_{65} < 25\%$

$V_{70} < 20\%$

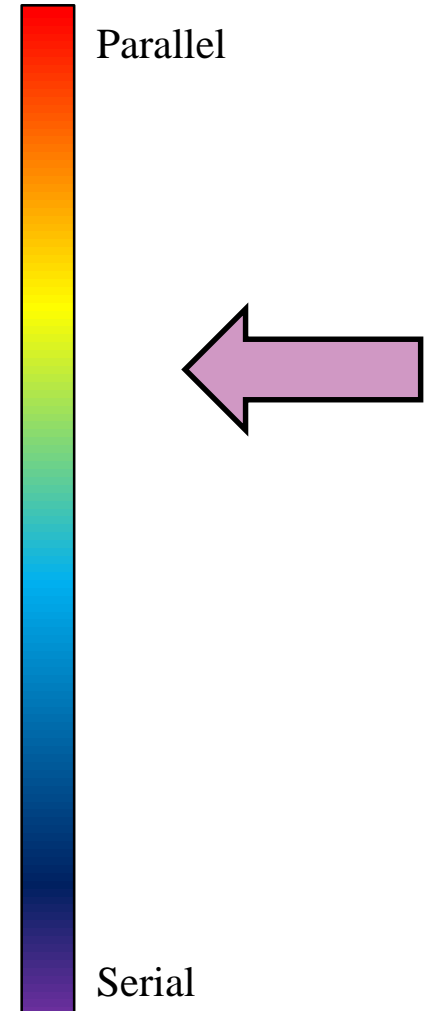
$V_{75} < 20\%$

**<10%**

---

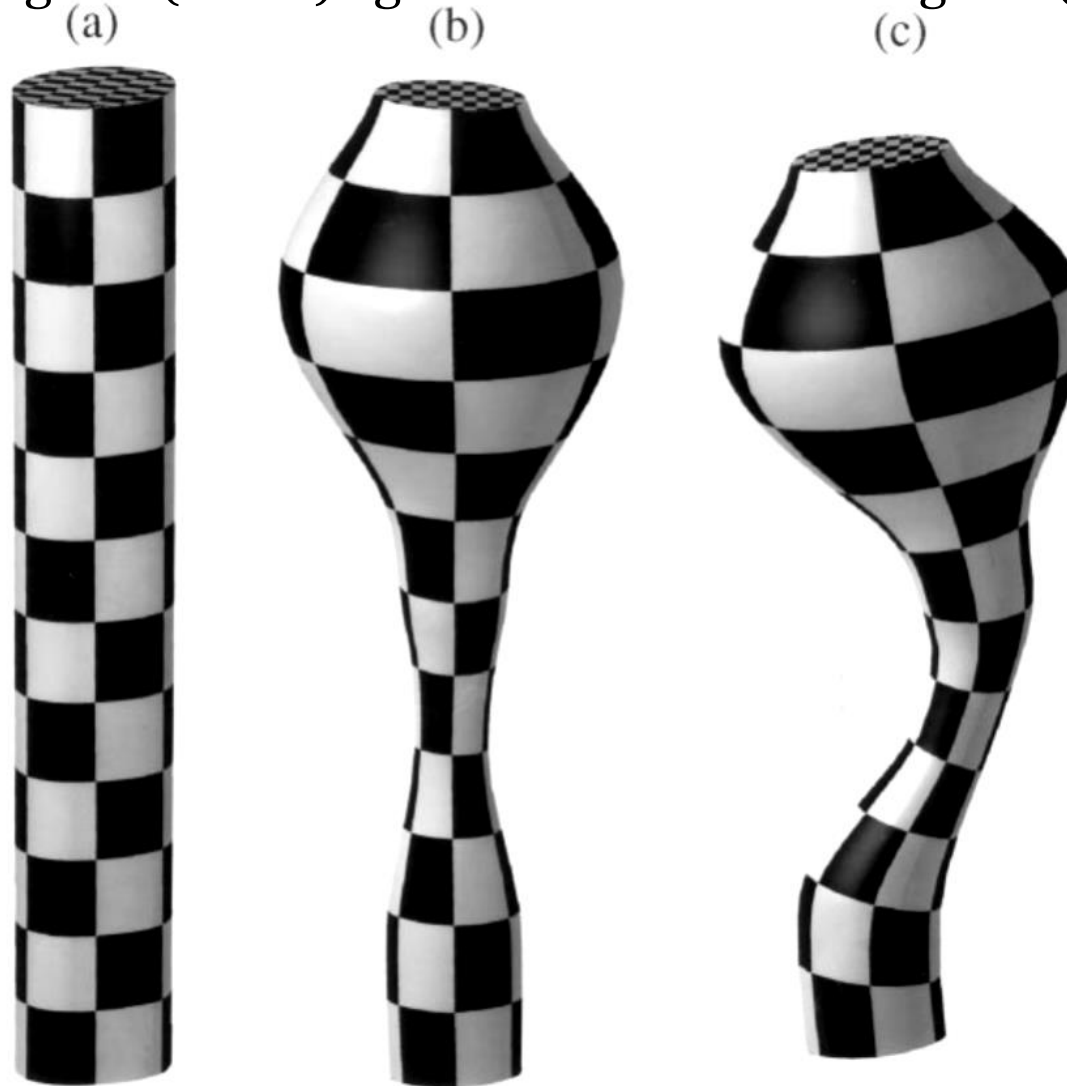
**Grade 3** or worse late toxicity

- Mean Dose
- V[Gy] Dose
- D[cc/%] Volume
- Maximum Dose



# Parameters for clinical outcome: Rectum

- Dose-Volume histogram (**DVH**) against Dose-Wall Histogram (**DWH**)



*Meijer GJ et al. Dose-wall histograms and normalized dose-surface histograms for the rectum: A new method to analyze the dose distribution over the rectum in conformal radiotherapy. Int J Radiat Oncol Biol Phys 1999;45:1073–80.*

# Parameters for clinical outcome: Rectum

- Dose-Volume histogram (**DVH**) against Dose-Wall Histogram (**DWH**)

Model	Parameter	DVH data	DWH data	Model	AIC	AUC
Lyman	$\log_{10}n$	0.013 (-1.55, 5.47)	4.95 (-0.35, 5.50)			
		0.160 (0.020, 0.299)	0.167 (0.080, 0.200)			
Mean dose	$MD_{50}$ (Gy)	50.0 (35.1, 65.2)	55.2 (31.2, 57.7)	Lyman model	126.9	121.4
	$s$ (Gy <sup>-1</sup> )	0.112 (0.056, 0.174)	0.154 (0.083, 0.230)	Mean-dose model	124.9	121.0
Cutoff dose	LL	-60.47	-58.40			
Parallel model						
	$MD_{50}$	0.000 (0.010, 1)	0.720 (0.070, 0.921)			
	LL	-60.46	-57.83			

DWH better predicts Rectal Toxicity than DVH

The difference in model accuracy is not so high

$$AvAUC_{DVH} = 0,73$$

$$AvAUC_{DWH} = 0,76$$

	DVH	DWH
	0.731	0.763
	0.733	0.765
	0.727	0.761
	0.731	0.759

Criterion; AUC =  
togram; DWH =  
g characteristic.

Abbreviations: DVH = dose-volume histogram; DWH = dose-wall histogram; NTCP = normal tissue complication probability.

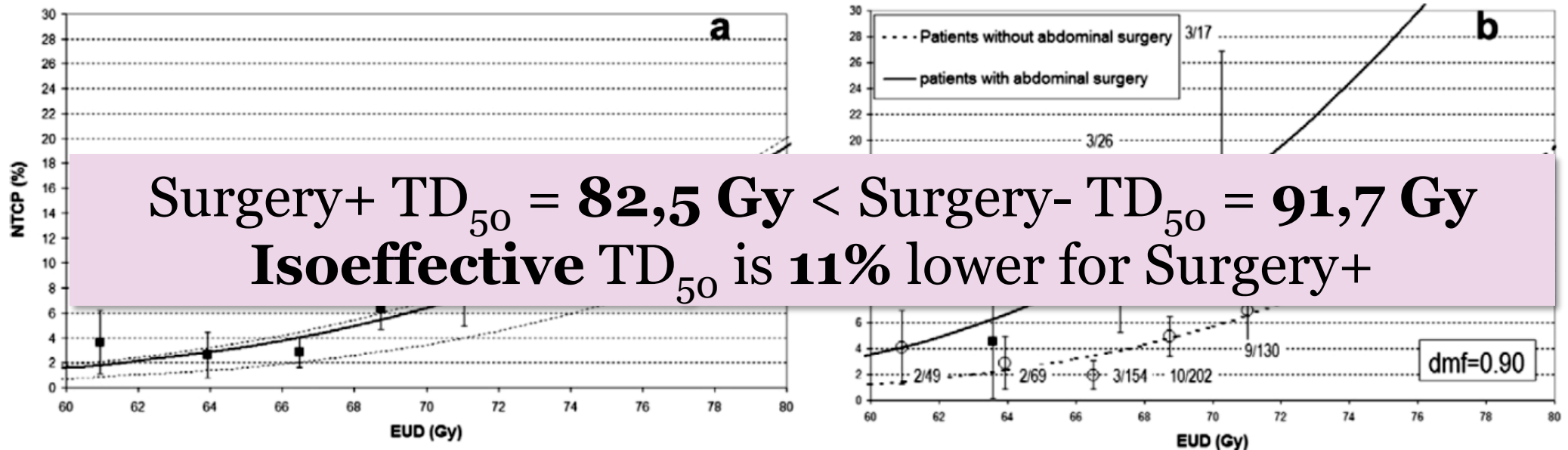
- Toxicity scored with a modified RTOG score
- Endpoint G2 or higher within 2 years from the end of the treatment

Tucker SL et al. Comparison of rectal dose-wall histogram versus dose-volume histogram for modeling the incidence of late rectal bleeding after radiotherapy. *Int J Radiat Oncol Biol Phys* 2004;60:1589-601.



# Parameters for clinical outcome: Rectum

## Multivariate modeling for detecting rectal toxicity (G3 late rectal bleeding)



**Fig. 2.** Incidence of G3 late rectal bleeding vs EUD: (a) unmodified model (without inclusion of clinical risk factor), solid curve predicted NTCP curve, dashed curves 68% confidence interval, see text for the definition of confidence interval; (b) inclusion of previous abdominal surgery. Observed complication rates [symbols] and predicted NTCP curve [continuous lines] are plotted. Description of symbols: (a) solid squares (■) = all patients; (b) open circles (○) = patients without abdominal surgery, solid squares (■) = patients with abdominal surgery.

$$\text{Logistic regression: } NTCP = \frac{1}{1 + \left(\frac{TD_{50}}{EUD}\right)^k}; \quad EUD = \left(\sum_i v_i \cdot D_i^{\frac{1}{n}}\right)^n$$

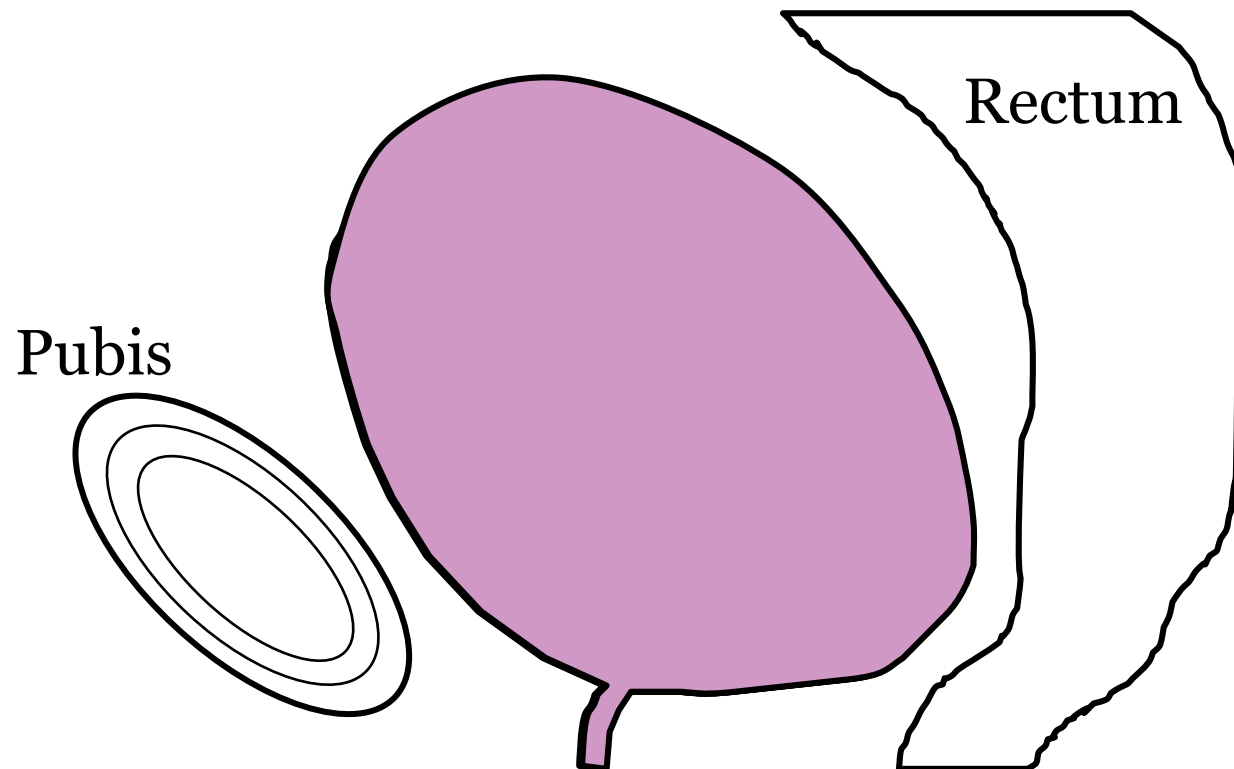
$n:$	0.046	}	$TD_{50}: \quad 82.5 \text{ Gy}$
$TD_{50}:$	93.1 Gy		$TD_{50}: \quad 91.7 \text{ Gy}$
$k:$	10.4		

*T Rancati et al. Inclusion of clinical risk factors into NTCP modeling of late rectal toxicity after high dose radiotherapy for prostate cancer. Radiother Oncol 100 (2011) 124–130.*

# Parameters for clinical outcome: Urinary bladder

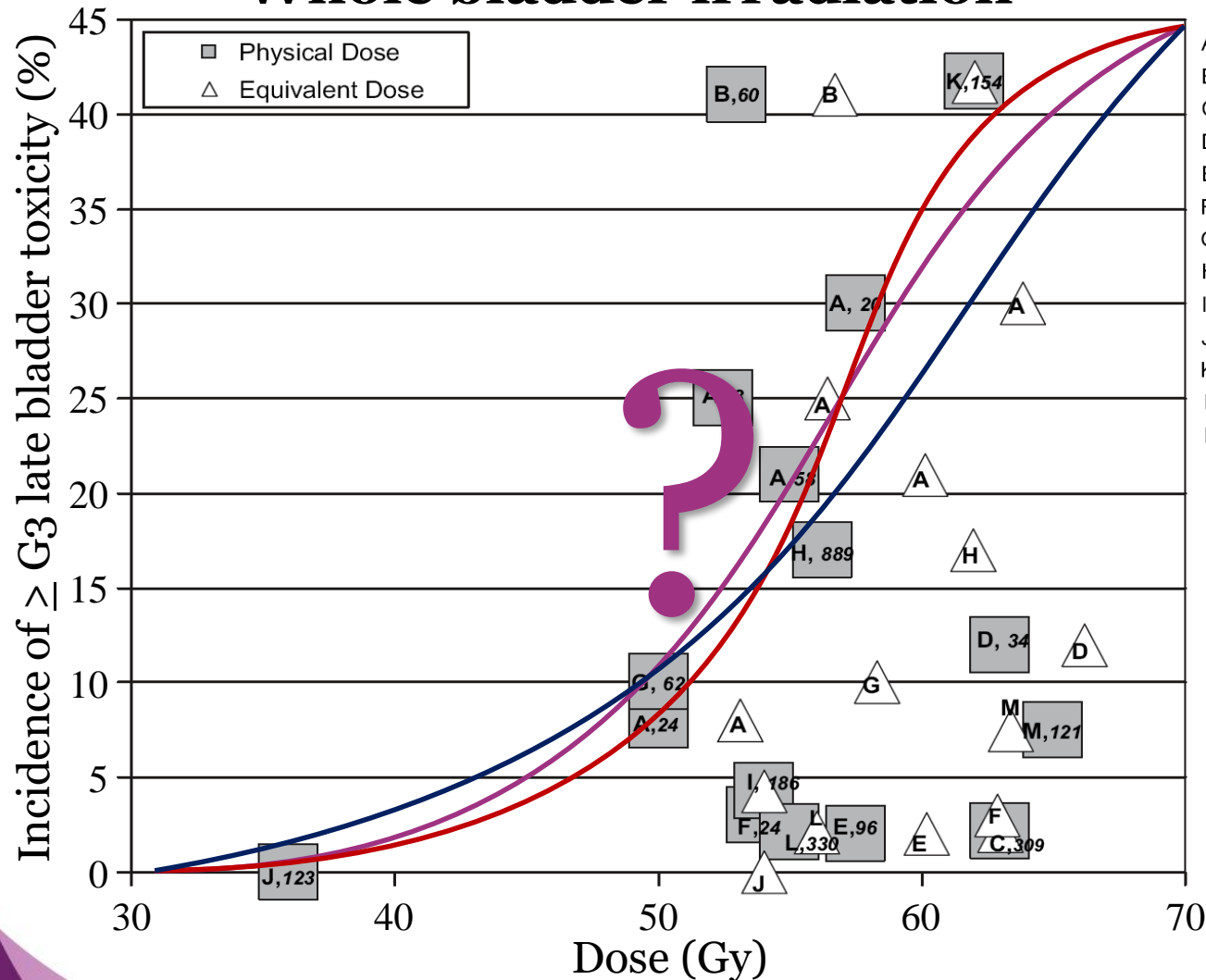
## Problems in urinary bladder toxicity evaluation:

- 1) Heterogeneous evidences
- 2) Poor reliability
- 3) Problems in volume stability during treatment duration:  
Definition of  $V_{dose}$  and  $D_{volume}$  not reliable with a single CT scan
- 4) Asymmetric emptying filling process



# Parameters for clinical outcome: Urinary bladder

## Whole bladder irradiation



- A. Quilty<sup>20</sup>
- B. Duncan<sup>21</sup>
- C. Yu<sup>22</sup>
- D. Corcoran<sup>23</sup>
- E. Marcial<sup>24</sup>
- F. Pointon<sup>25</sup>
- G. Goodman<sup>26</sup>
- H. Duncan<sup>29</sup>
- I. Rödel<sup>11</sup>
- J. Scholten<sup>12</sup>
- K. Mangar<sup>15</sup>
- L. Mameghan<sup>13</sup>
- M. Perdoni<sup>14</sup>

**RTOG G3: gross hematuria/no clots**

- Average dose
- △ EQD2 dose ( $\alpha/\beta = 6$  Gy)

# Parameters for clinical outcome: Urinary bladder

Volume segmented	Irradiation type (partial organ unless otherwise stated)	Endpoint	Dose (Gy), or dose/volume parameters	Rate (%)	Notes on dose/volume parameters
Whole organ	3D-CRT	Grade $\geq 3$ late RTOG	Dmax <65	<6	Bladder cancer treatment. Variations in bladder size/shape/ location during RT hamper ability to generate accurate data
Whole organ	3D-CRT	Grade $\geq 3$ late RTOG	V65 $\leq 50$ % V70 $\leq 35$ % V75 $\leq 25$ % V80 $\leq 15$ %	?	Prostate cancer treatment Based on current RTOG 0415 recommendation

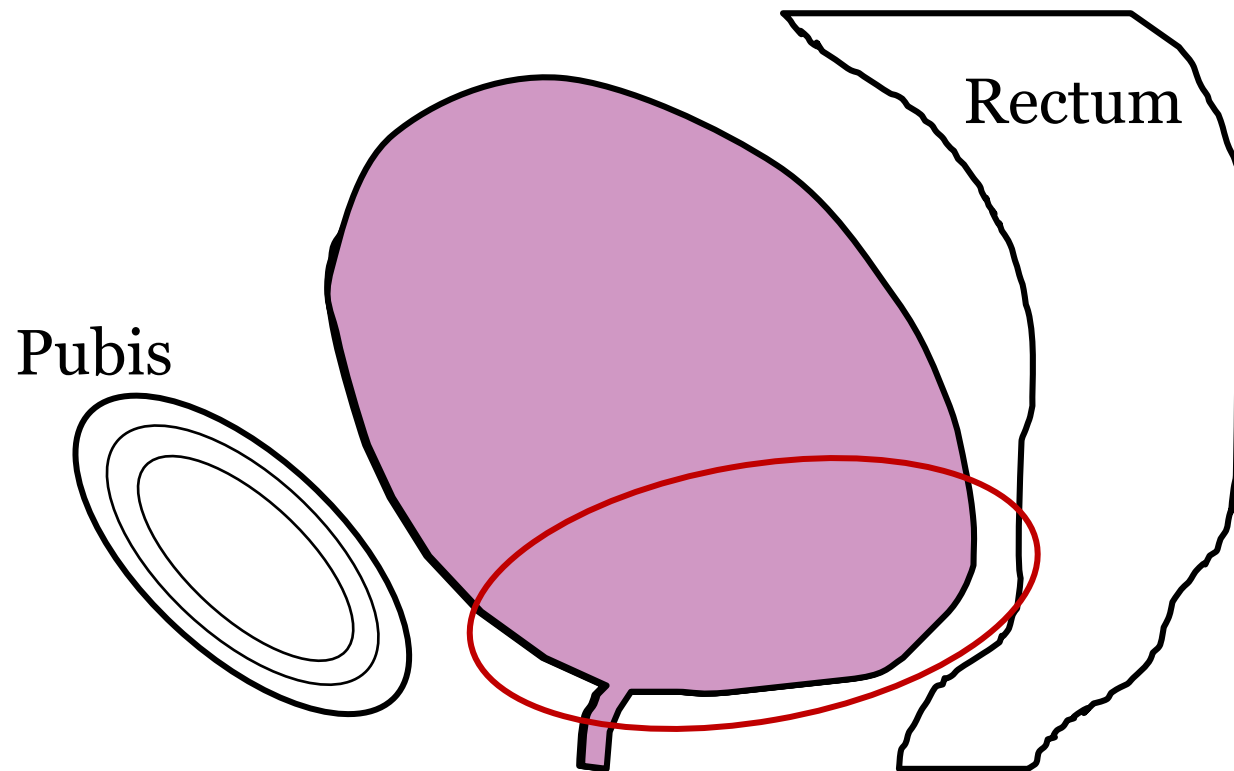
- In the absence of any reliable data, clinicians might consider the dose limits listed in the conventional fractionation arm of the **Radiation Therapy Oncology Group (RTOG) 0415 study**



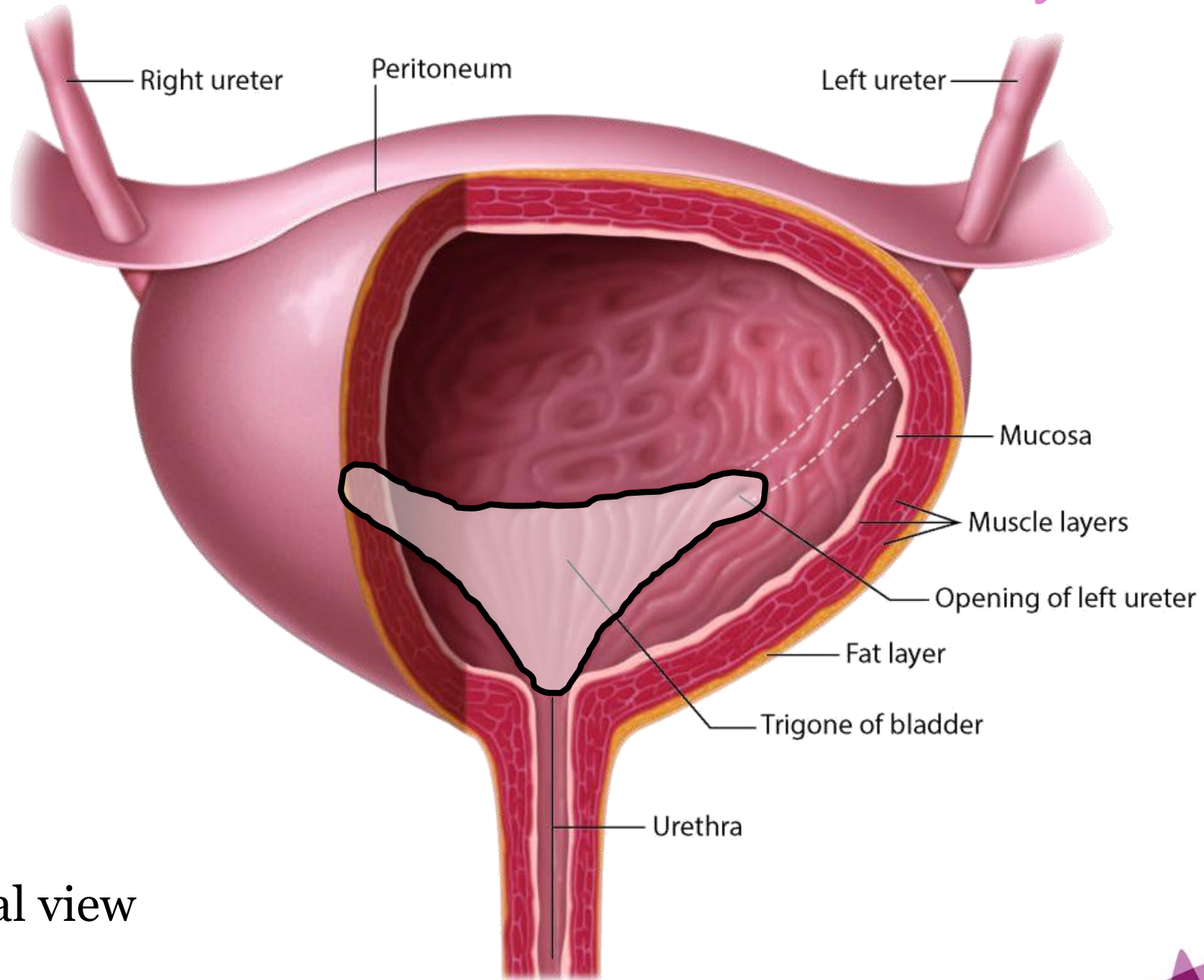
# Parameters for clinical outcome: Urinary bladder

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- 1) Heterogeneous evidences
- 2) Poor reliability
- 3) Problems in volume stability during treatment duration:  
Definition of  $V_{dose}$  and  $D_{volume}$  not reliable with a single CT scan
- 4) Asymmetric emptying filling process

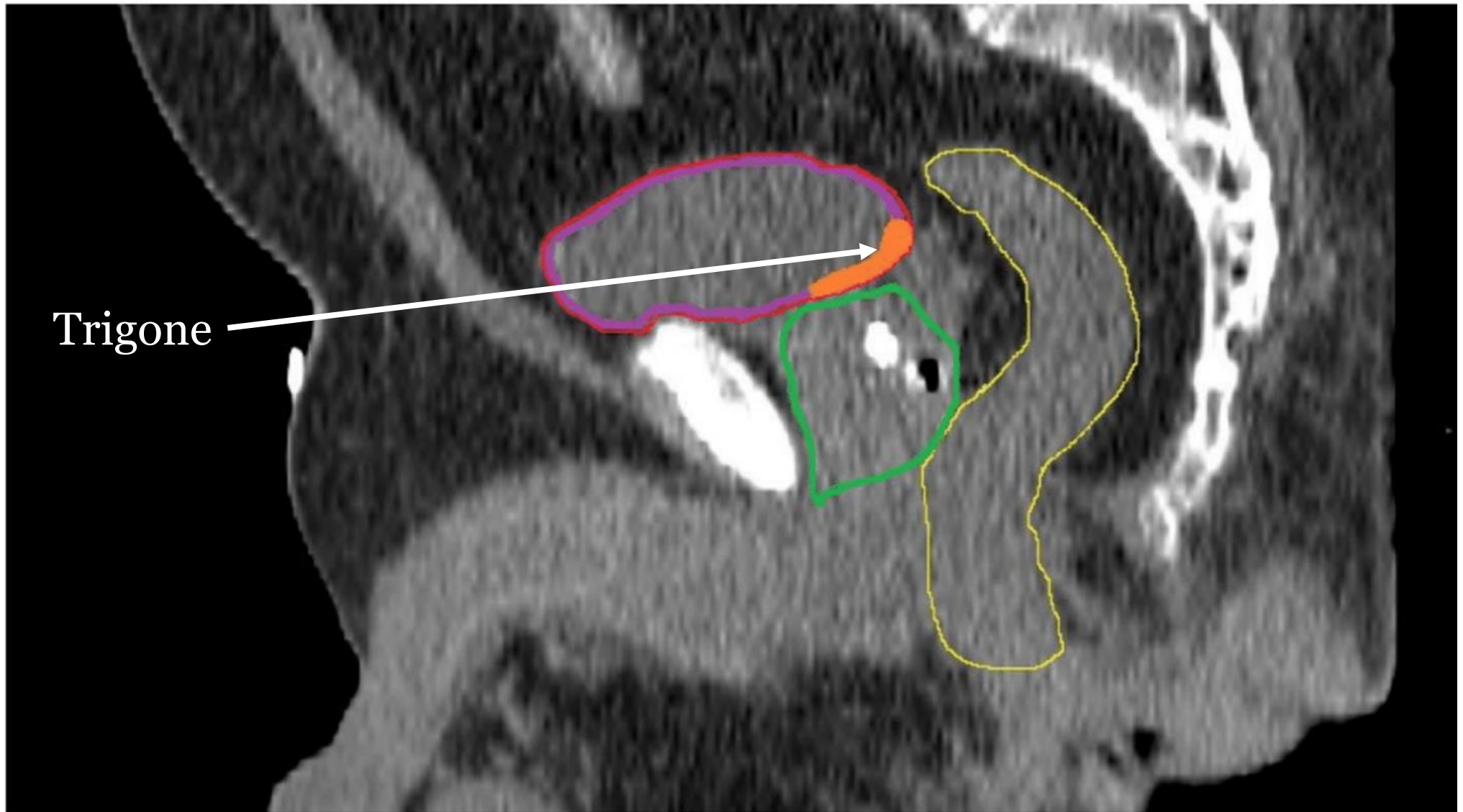


# Parameters for clinical outcome: Urinary bladder



Frontal view

# Parameters for clinical outcome: Urinary bladder

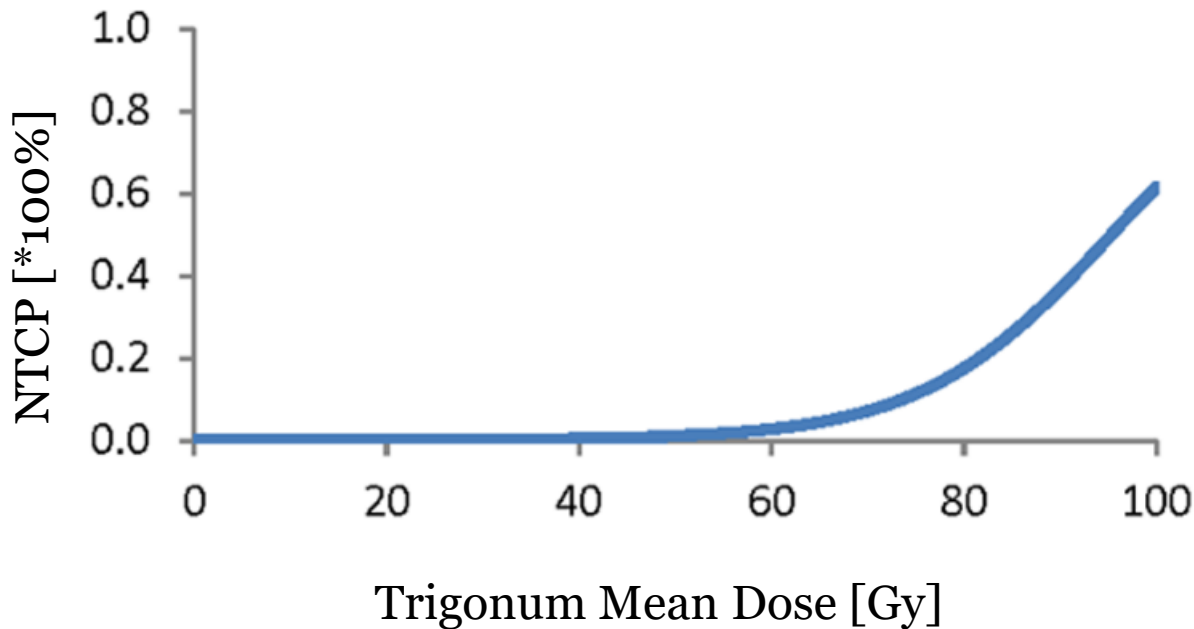


Trigone

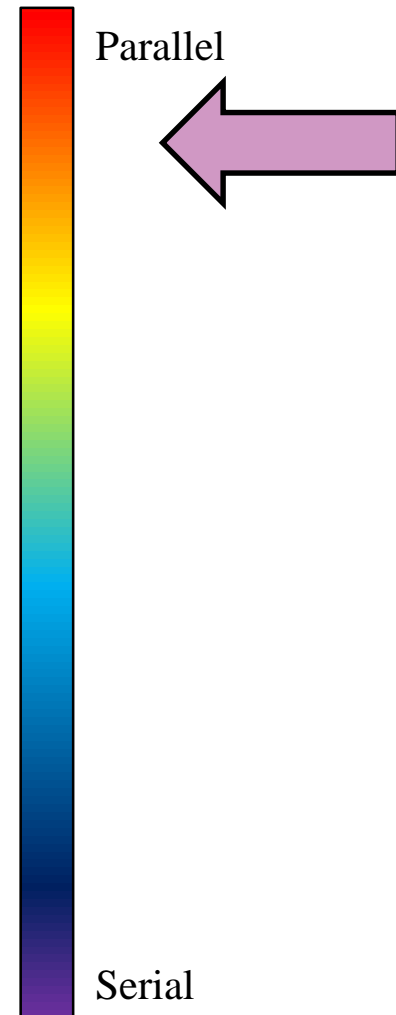
*Schaake W, Van Der Schaaf A, Van Dijk L V., van den Bergh ACM, Langendijk JA. Development of a prediction model for late urinary incontinence, hematuria, pain and voiding frequency among irradiated prostate cancer patients. PLoS One. 2018;13:1–12.*

# Parameters for clinical outcome: Urinary bladder

## Incontinence



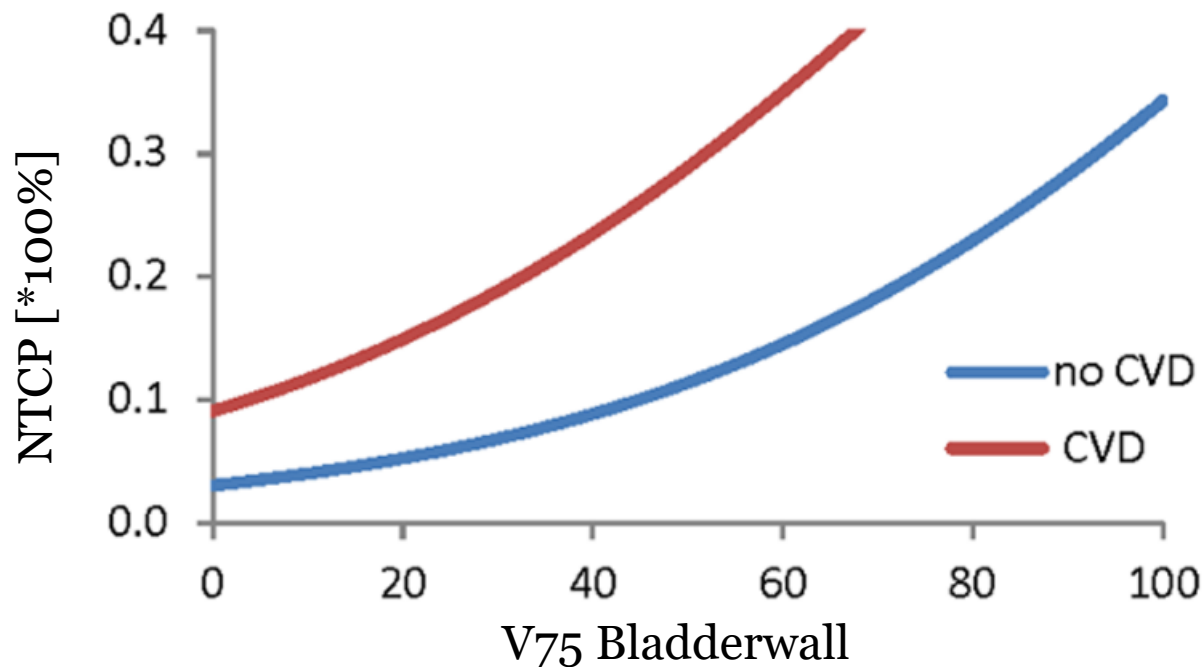
- Mean Dose
- V[Gy] Dose
- D[cc/%] Volume
- Maximum Dose



*Schaake W, Van Der Schaaf A, Van Dijk L V., van den Bergh ACM, Langendijk JA. Development of a prediction model for late urinary incontinence, hematuria, pain and voiding frequency among irradiated prostate cancer patients. PLoS One. 2018;13:1–12.*

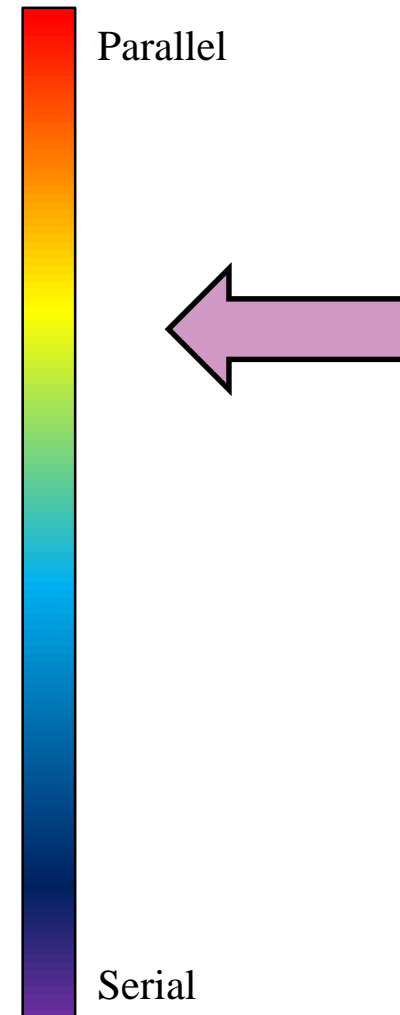
# Parameters for clinical outcome: Urinary bladder

## Hematuria



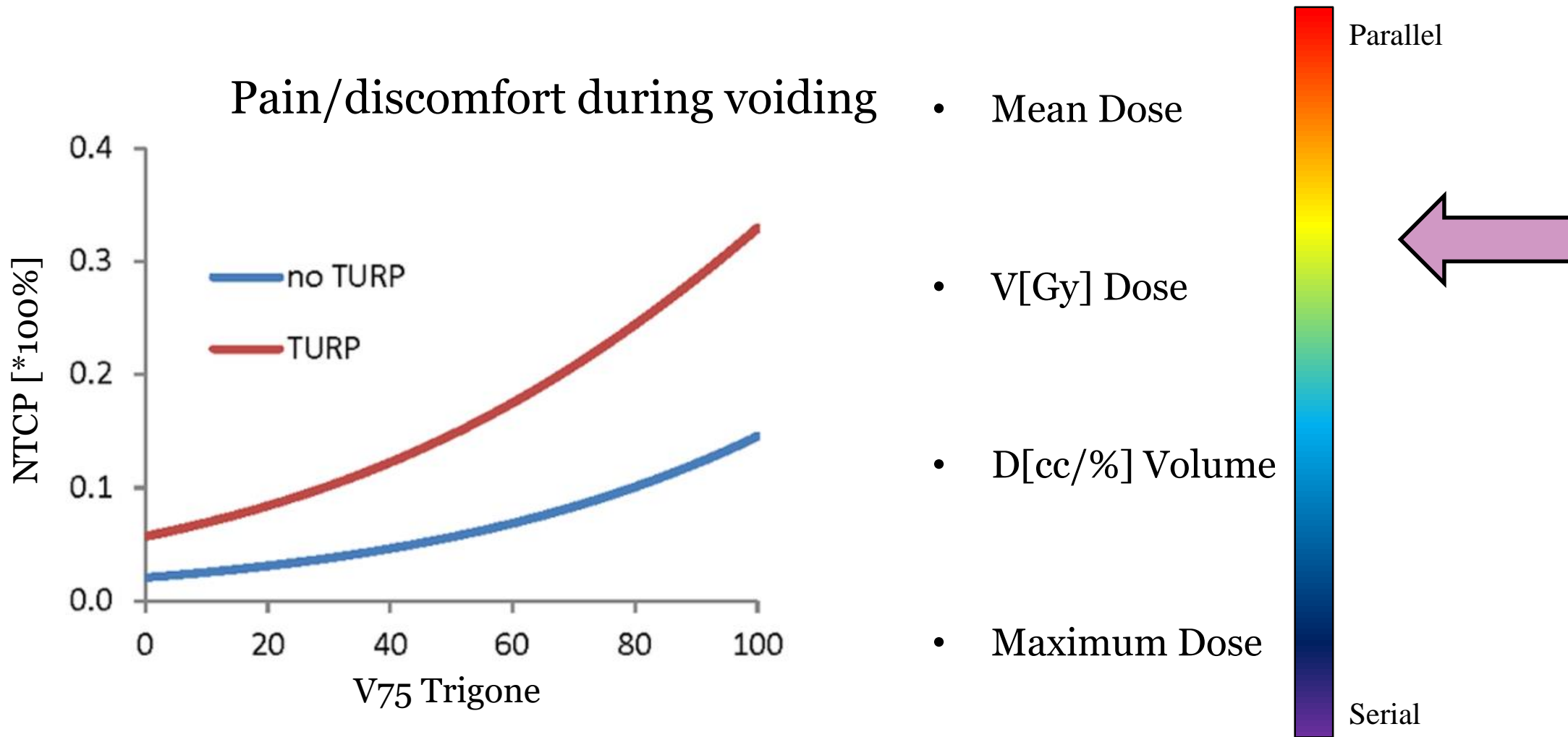
\* CVD: cardiovascular disease

- Mean Dose
- V[Gy] Dose
- D[cc/%] Volume
- Maximum Dose



*Schaake W, Van Der Schaaf A, Van Dijk L V., van den Bergh ACM, Langendijk JA. Development of a prediction model for late urinary incontinence, hematuria, pain and voiding frequency among irradiated prostate cancer patients. PLoS One. 2018;13:1–12.*

# Parameters for clinical outcome: Urinary bladder



*Schaake W, Van Der Schaaf A, Van Dijk L V., van den Bergh ACM, Langendijk JA. Development of a prediction model for late urinary incontinence, hematuria, pain and voiding frequency among irradiated prostate cancer patients. PLoS One. 2018;13:1–12.*



**thank you!**

**grazie!**

**ευχαριστίες!**



**ESTRO**

*School*

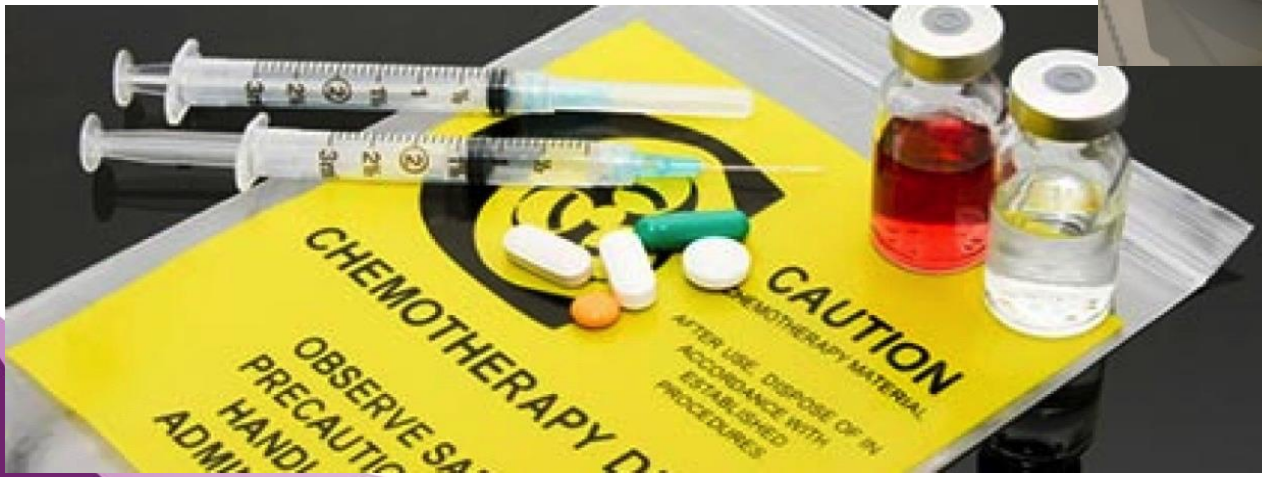


# Planning aspects in breast irradiation



Desirée van den Bongard  
Radiation Oncologist, MD PhD  
UMC Utrecht, the Netherlands

# Breast cancer - Multidisciplinary treatment



# Introduction - Breast cancer radiotherapy

## Local treatment:

- Breast-conserving therapy:

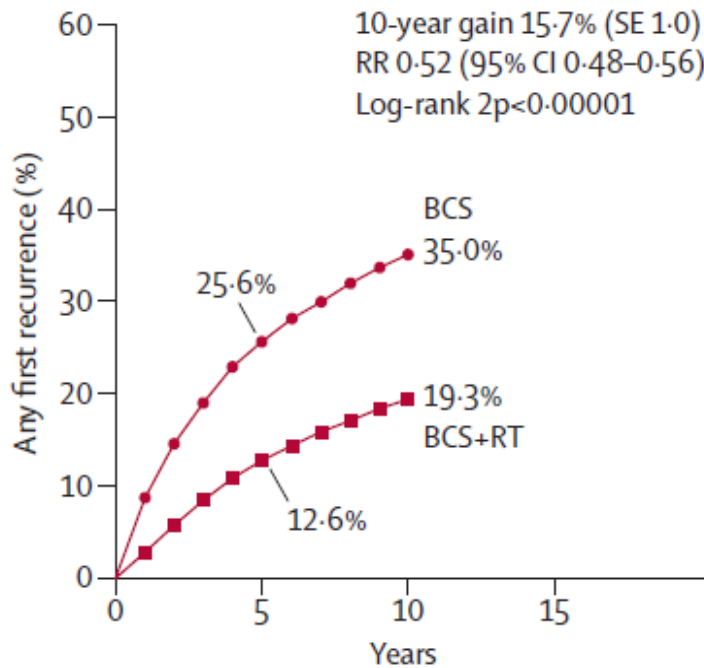
Breast-conserving surgery →

Whole breast irradiation +/- boost tumor bed

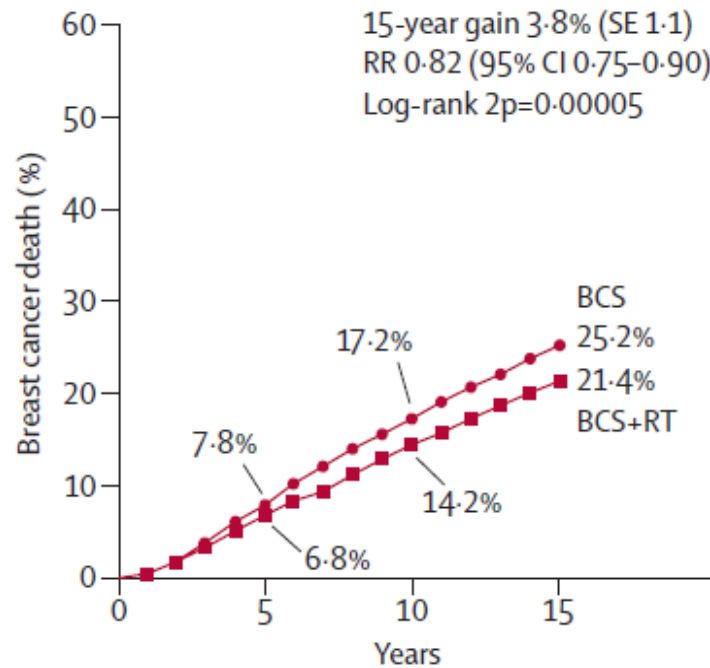


# Breast-conserving surgery +/- whole breast RT

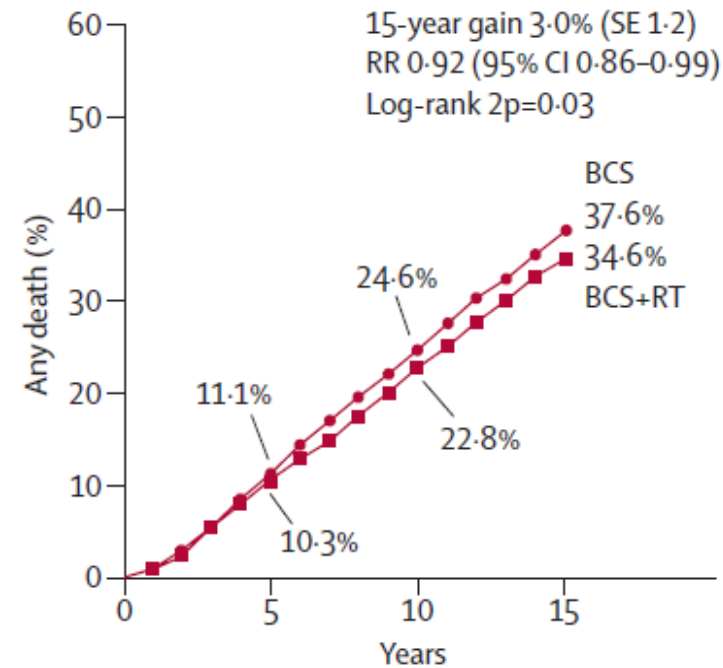
Any first recurrence



Breast cancer death



Any death



# Breast cancer treatment

## Local treatment:

- Breast-conserving therapy:

Breast-conserving surgery →

Whole breast irradiation +/- boost tumor bed

- Mastectomy +/- Radiotherapy Chest wall



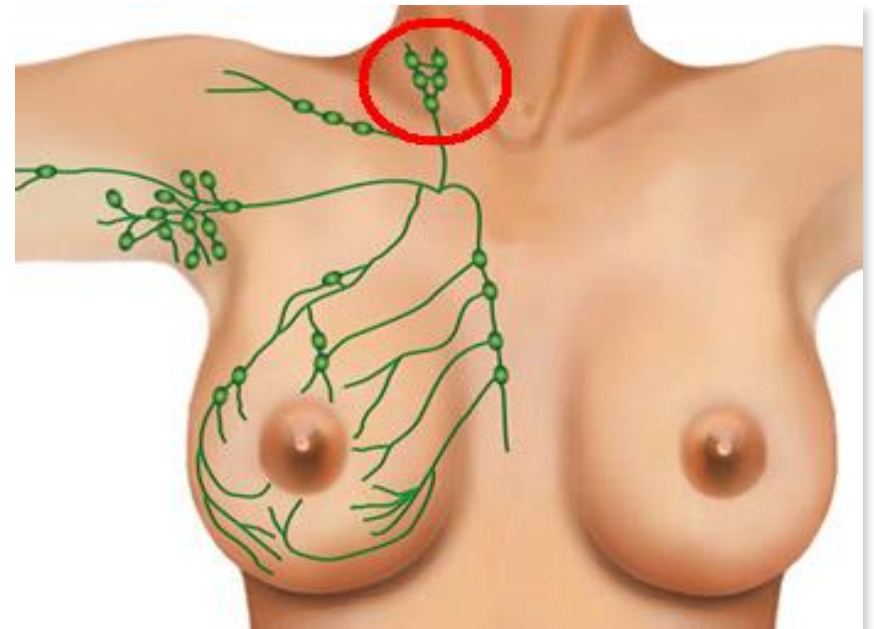
# Breast cancer treatment

- **Local treatment:**

- Breast-conserving therapy
- Mastectomy +/- Radiotherapy chest wall

- **Regional lymph node treatment:**

- Axillary lymph node dissection
- Lymph node irradiation:
  - axilla
  - supraclavicular fossa
  - internal mammary nodes



# Introduction – Survival and Toxicity

During the last decades: **Improved survival**

- Breast cancer screening
- Improved imaging, e.g. digital mammography, tomography, MRI
- Improved surgical and radiotherapeutic techniques
- Increased use of and more effective systemic treatment

# Introduction – Survival and Toxicity

During the last decades: **Improved survival**

- Breast cancer screening
- Improved imaging, e.g. digital mammography
- Improved surgical and radiotherapeutic techniques
- Increased use of and more effective systemic treatment

Treatment-induced **toxicity** in breast cancer survivors:

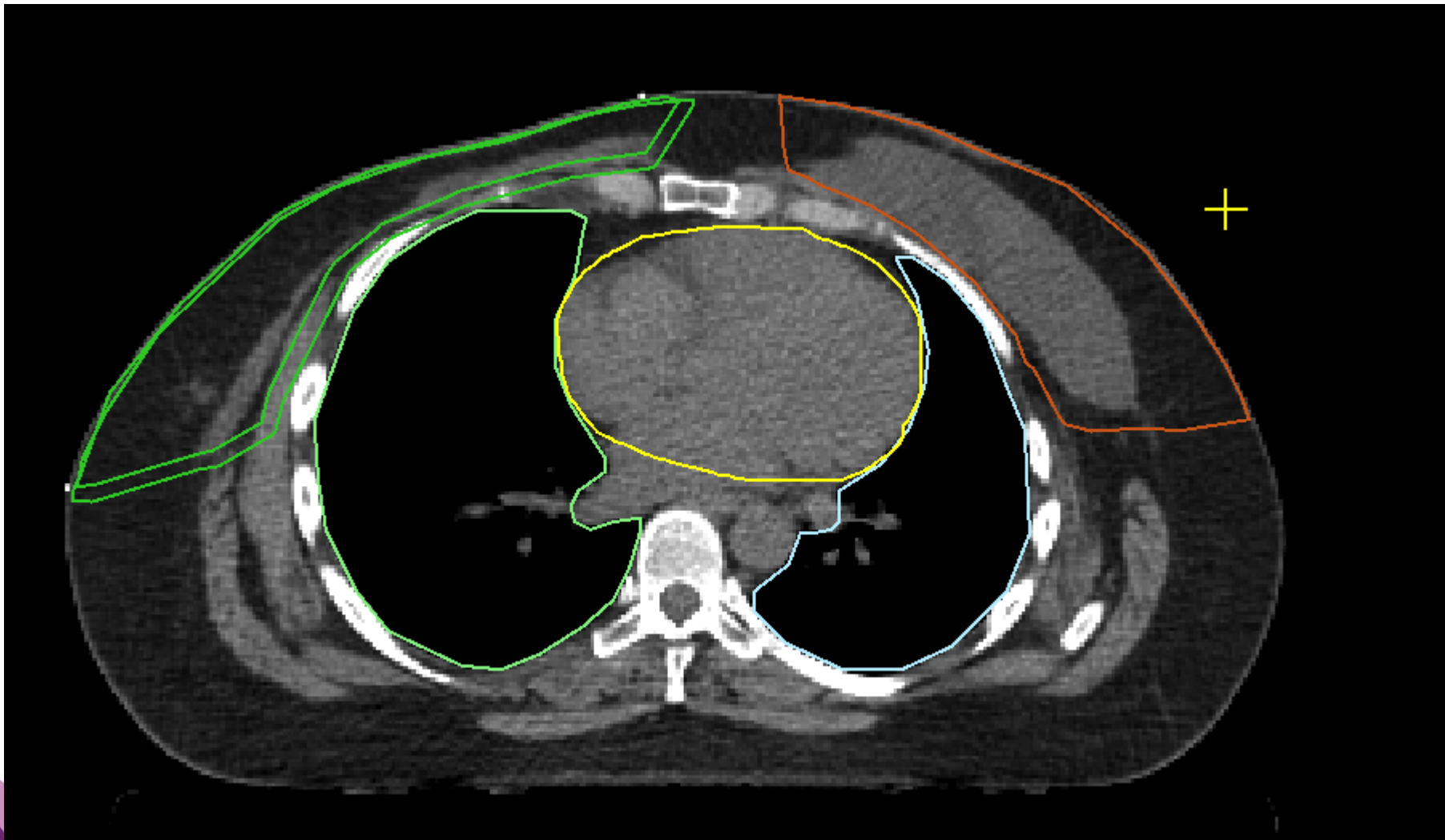
- Cardiac morbidity → decreased quality of life
- Non-breast cancer mortality



# Radiotherapy-induced toxicity

## Local radiotherapy (Breast / Chest wall)

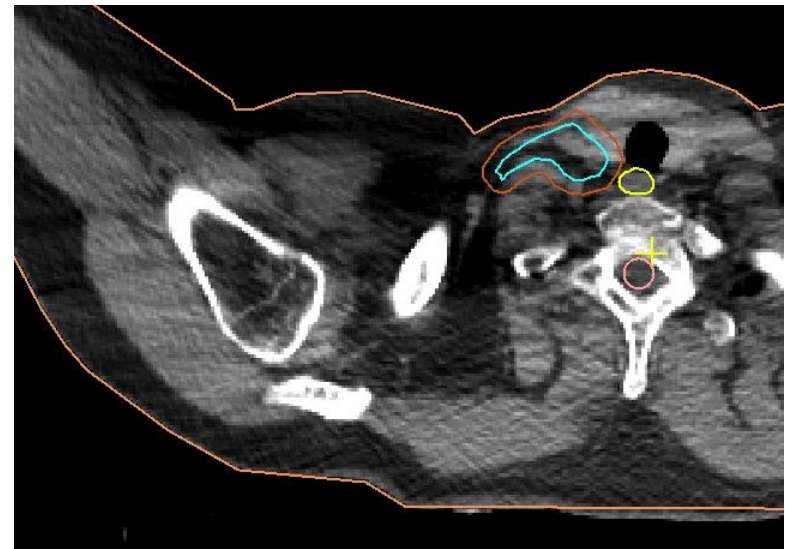
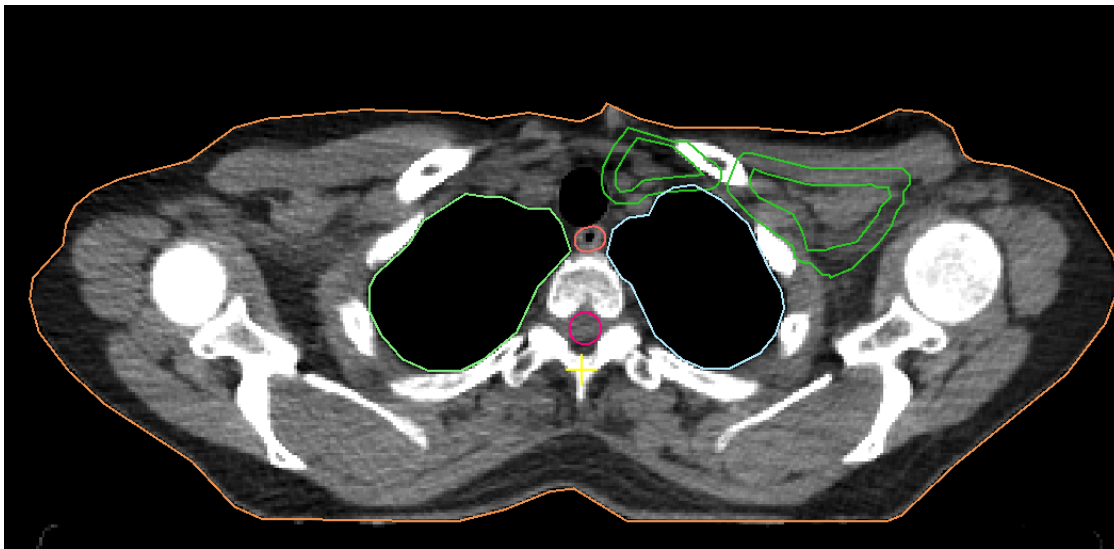
- Organs at risk: skin, lung, heart, contralateral breast



# Radiotherapy-induced toxicity

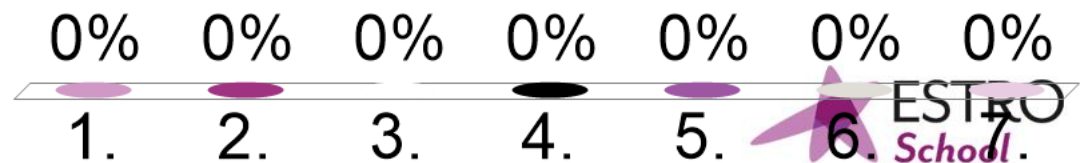
## Regional (lymph node) radiotherapy

- Organs at risk: lung, spinal cord, esophagus, trachea



# Which is the most important normal tissue in RT breast cancer?

1. Brachial plexus
2. Skin
3. Contralateral breast
4. Heart
5. Ipsilateral breast
6. Lung
7. Esophagus



# Acute toxicity skin - Radiation dermatitis



# Late skin / breast toxicity

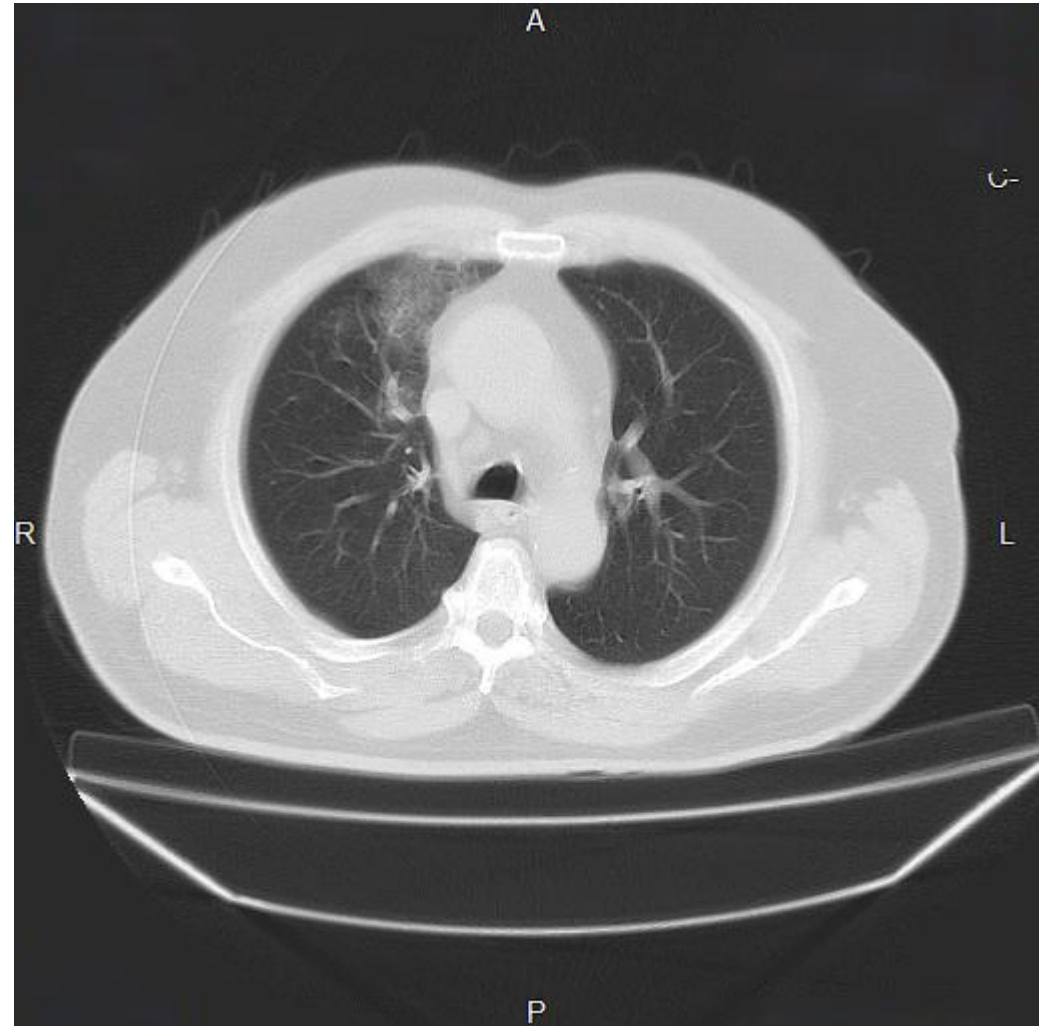
Telangiectasia



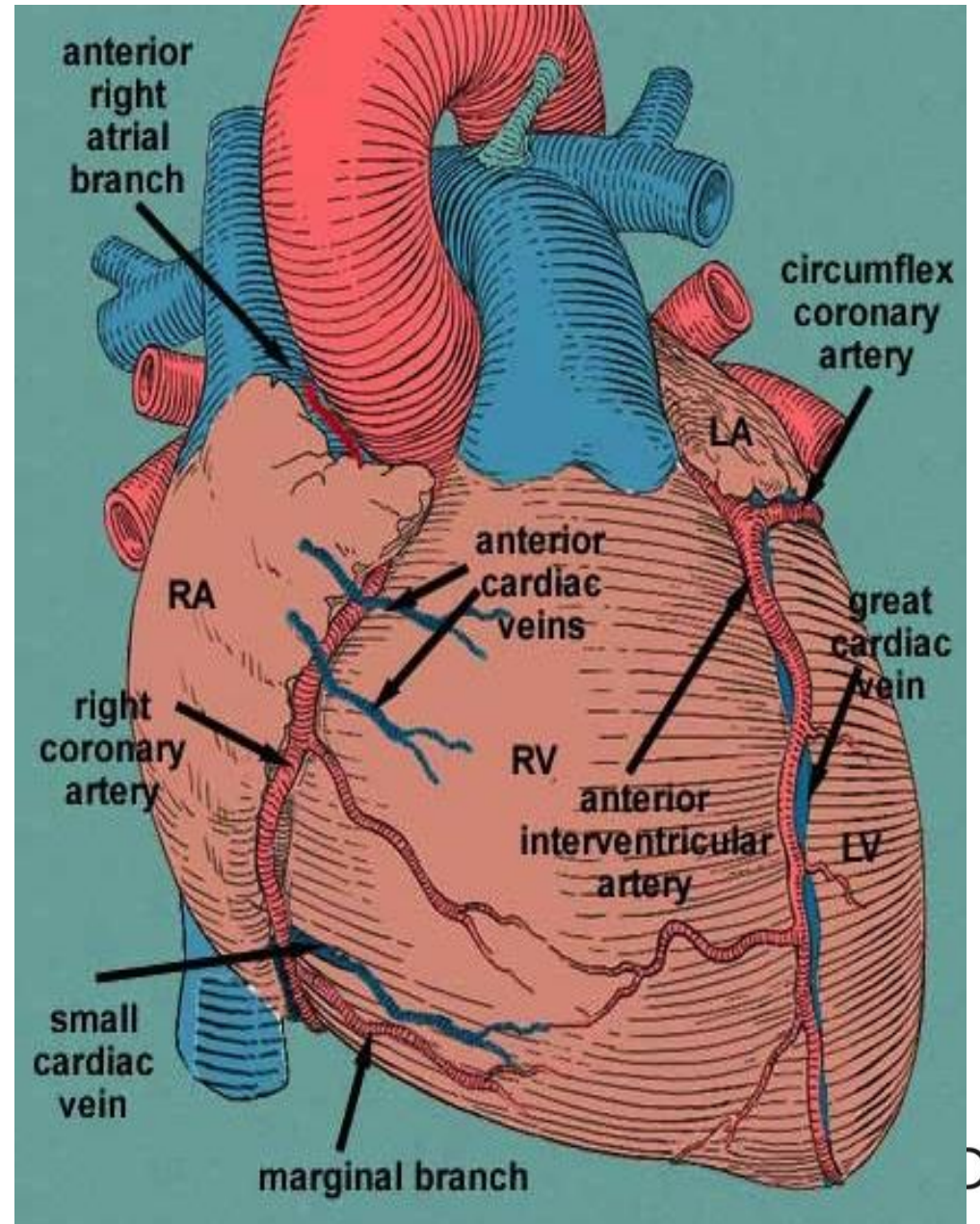
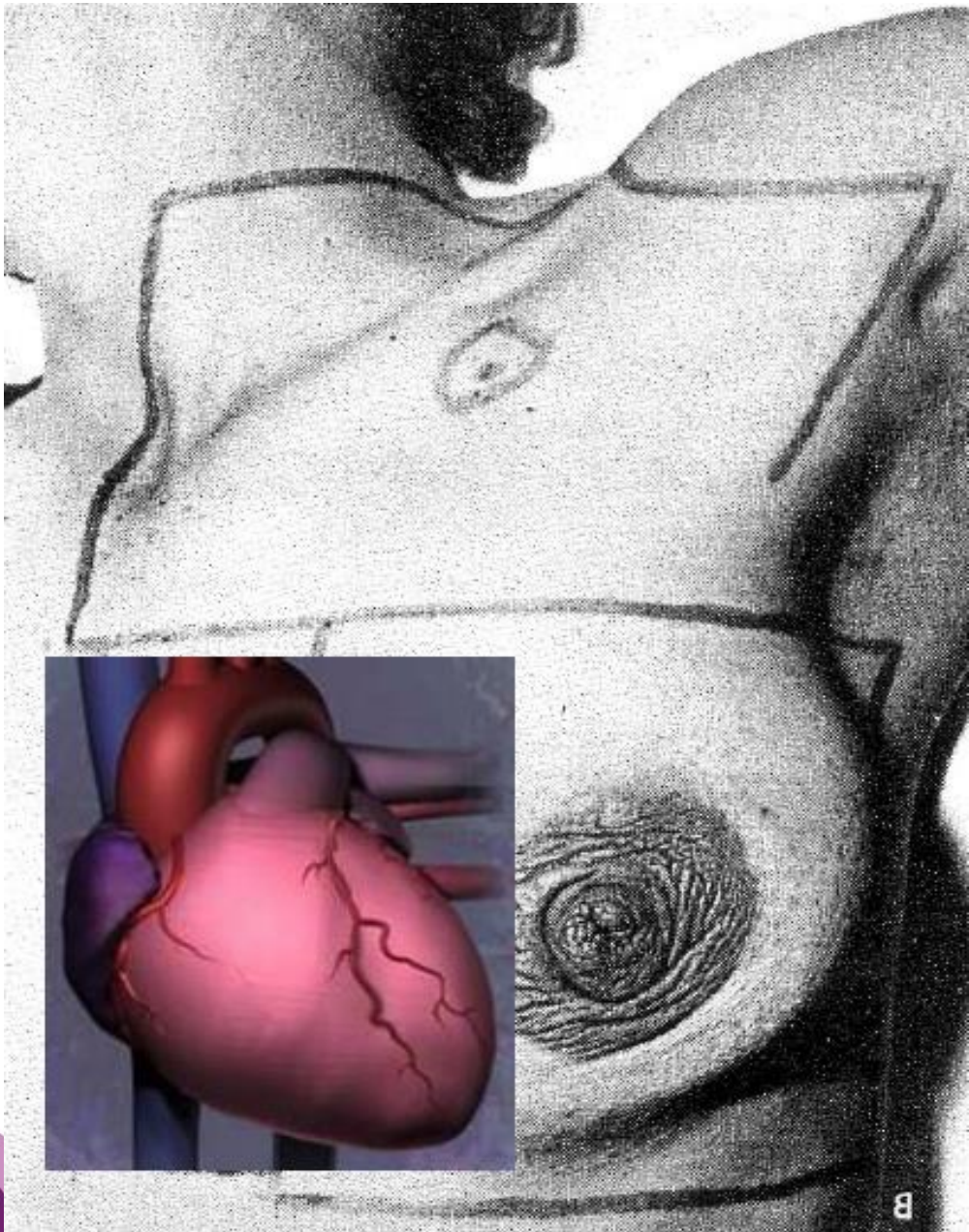
Breast fibrosis:



# Lung - Radiation pneumonitis (subacute toxicity)



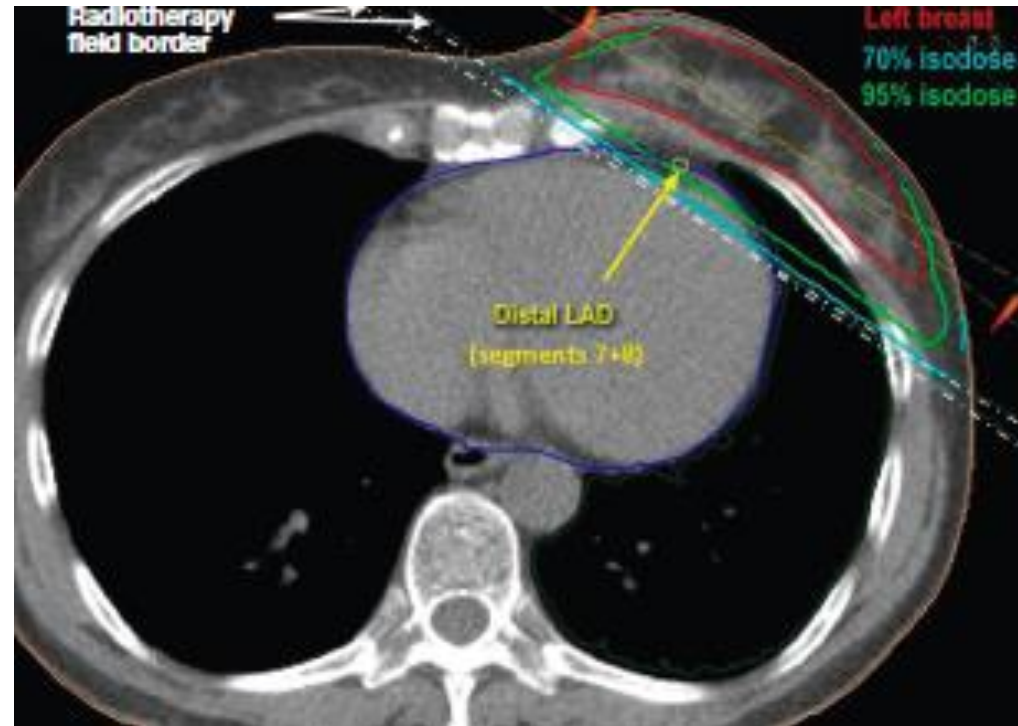
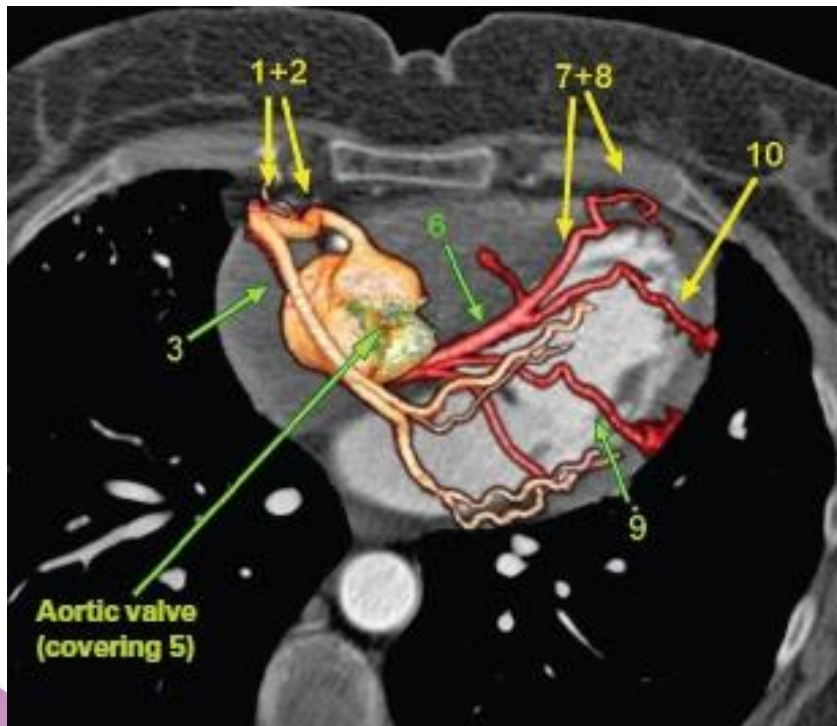
# Heart - Left-sided breast radiotherapy



# Radiation-induced heart disease

5-20 years after RT

- Coronary artery disease (most common)
- Cardiac valve dysfunction
- Myocardial fibrosis, conduction defects



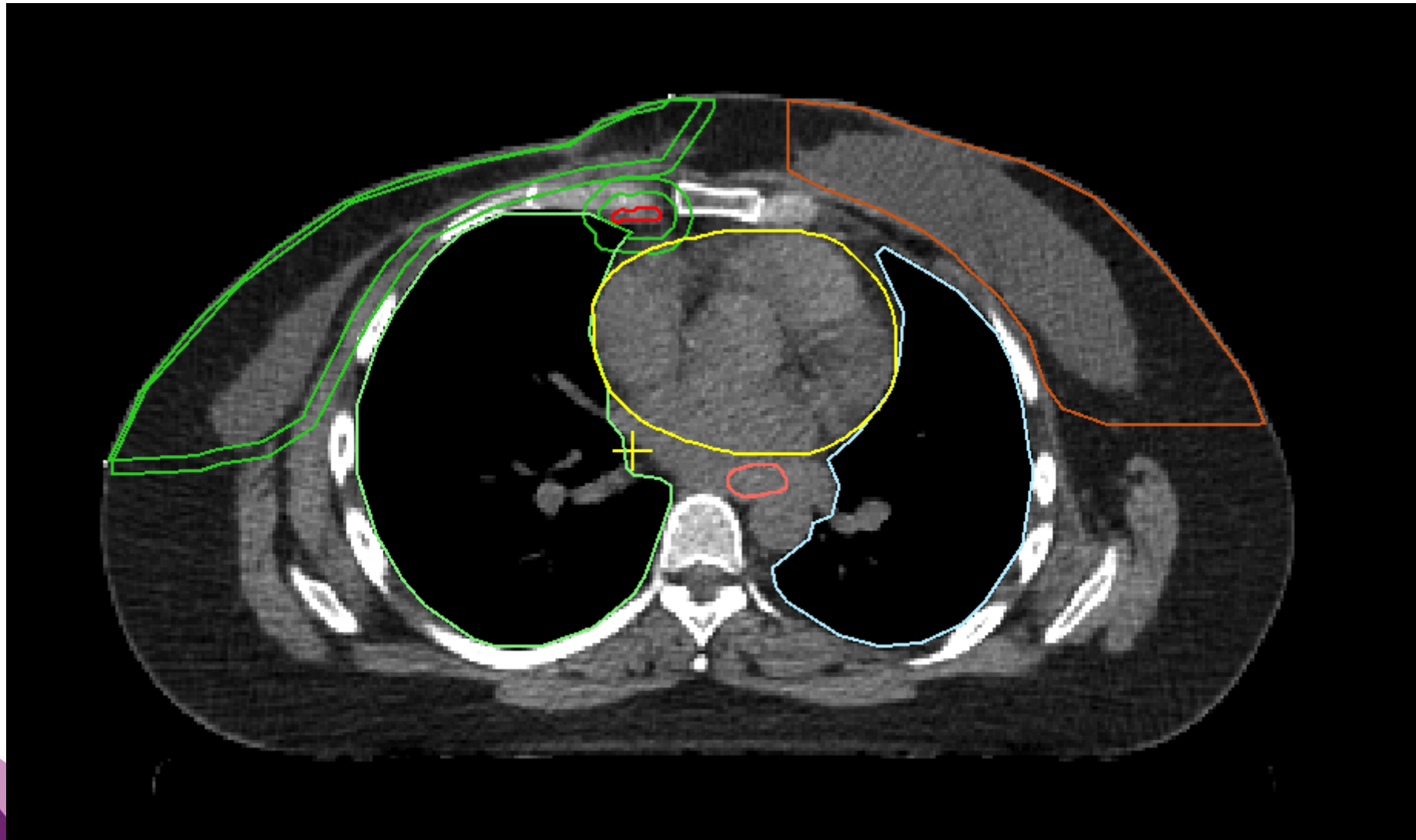
*Nilsson JCO 2012, Senkus-Konefka Cancer Treatment Rev 2007, Adams Crit Rev Oncol/Hematol 2003, Darby NEJM 2013*



# Radiation-induced heart disease

## Regional radiotherapy

Internal mammary nodes: including heart

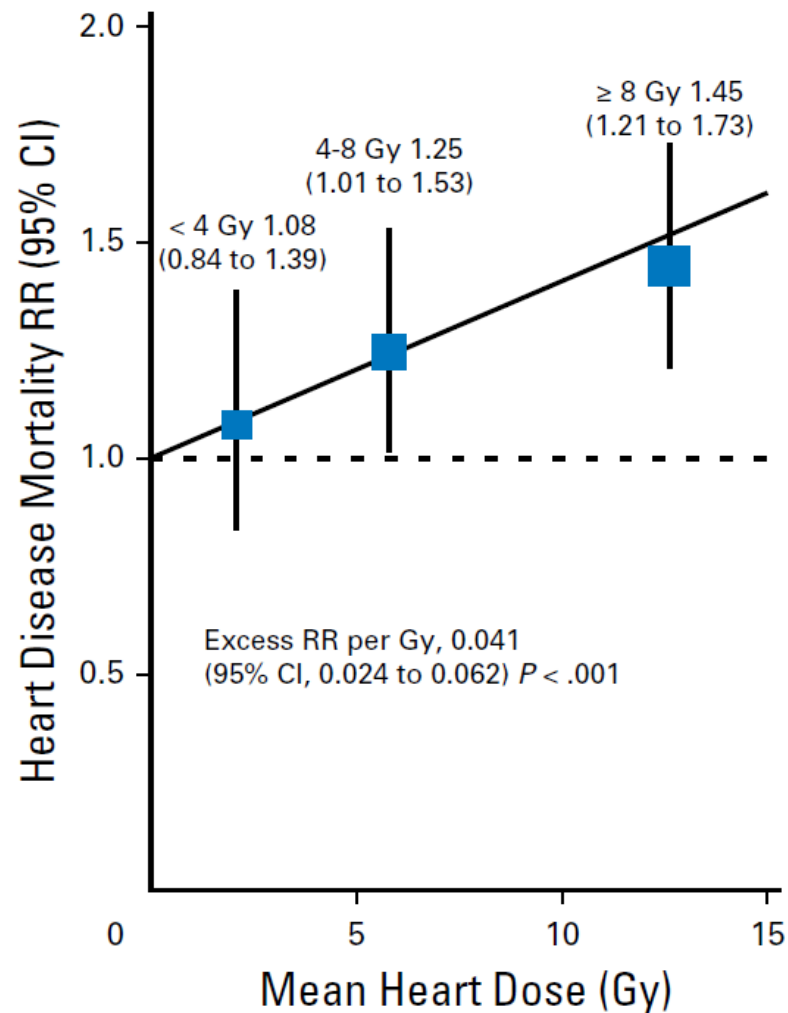


# Cardiac toxicity and mortality due to RT

- 1 Gy increase in mean heart dose  $\rightarrow$  7% increased risk on cardiac events  
↑
- Increased mean heart dose: risk of cardiac disease mortality

# Cardiac toxicity and mortality due to RT

- 7% increased risk on cardiac events per 1 Gy increase in mean heart dose
- Increased mean heart dose: ↑ risk of cardiac disease mortality



# Cardiac toxicity and mortality due to RT

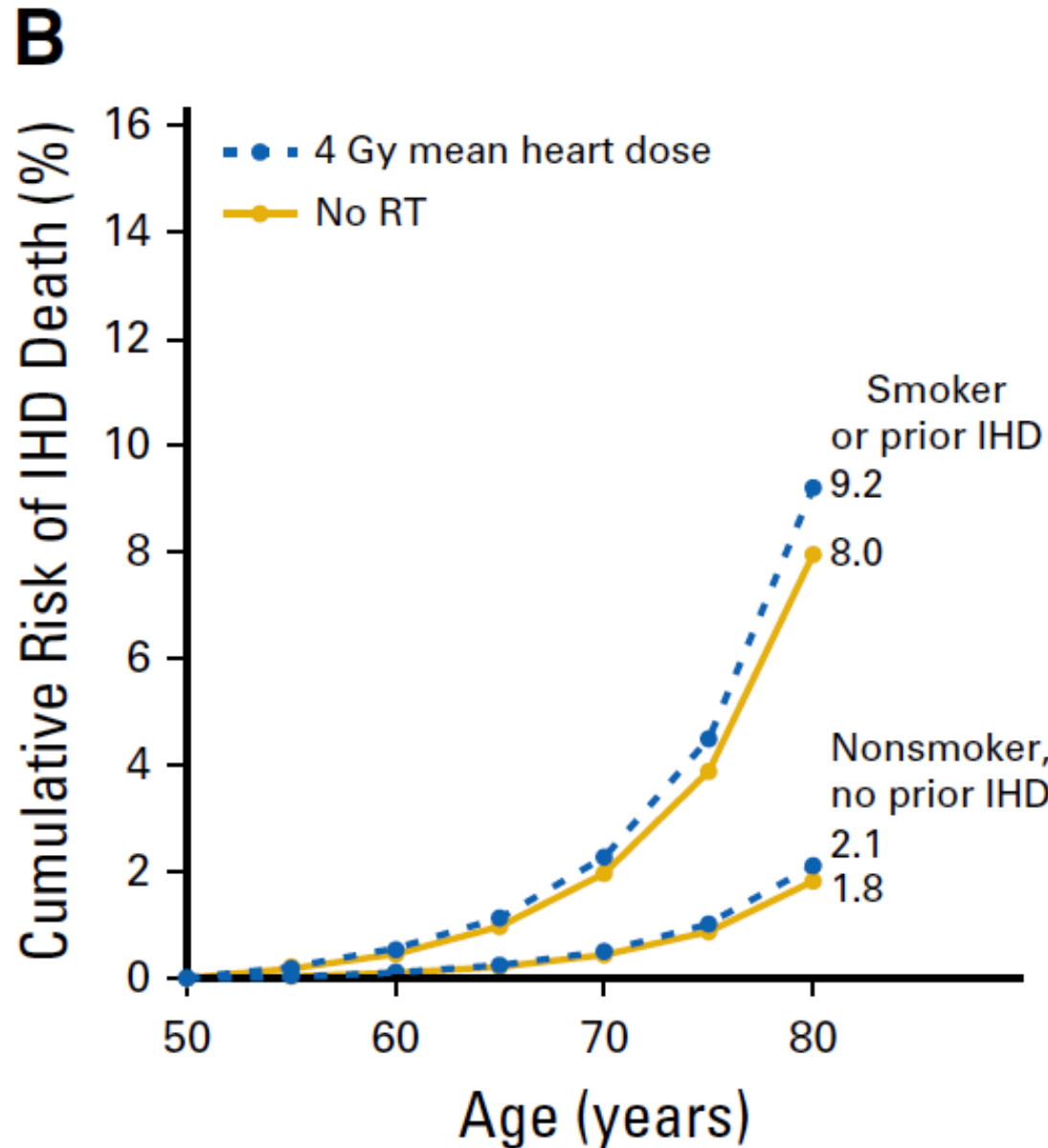
- 7% increased risk on cardiac events per 1 Gy increase in mean heart dose
- Increased mean heart dose: ↑ risk of cardiac disease mortality
- Higher risk in patients treated with systemic therapy, e.g. chemotherapy, trastuzumab

# Cardiac toxicity and mortality due to RT

- 7% increased risk on cardiac events per 1 Gy increase in mean heart dose
- Increased mean heart dose: ↑ risk of cardiac disease mortality
- Higher risk in patients treated with systemic therapy, e.g. chemotherapy, trastuzumab
- Other cardiac risk factors:
  - pre-existing cardiac disease
  - comorbidity (diabetes, hypertension, hypercholesterolaemia)
  - older age
  - family history of cardiac disease
  - lifestyle (smoking, obesity)

# Cardiac mortality due to RT +/- smoking

- 7% incr  
→ A
- Higher  
trastuzi
- Cardiac



mean heart dose

!

g. chemotherapy,

sterolaemia)

# Arm oedema - After axillary surgery +/- regional radiotherapy



# Increased use of regional radiotherapy instead of axillary surgery



# Increased use of regional radiotherapy instead of axillary surgery

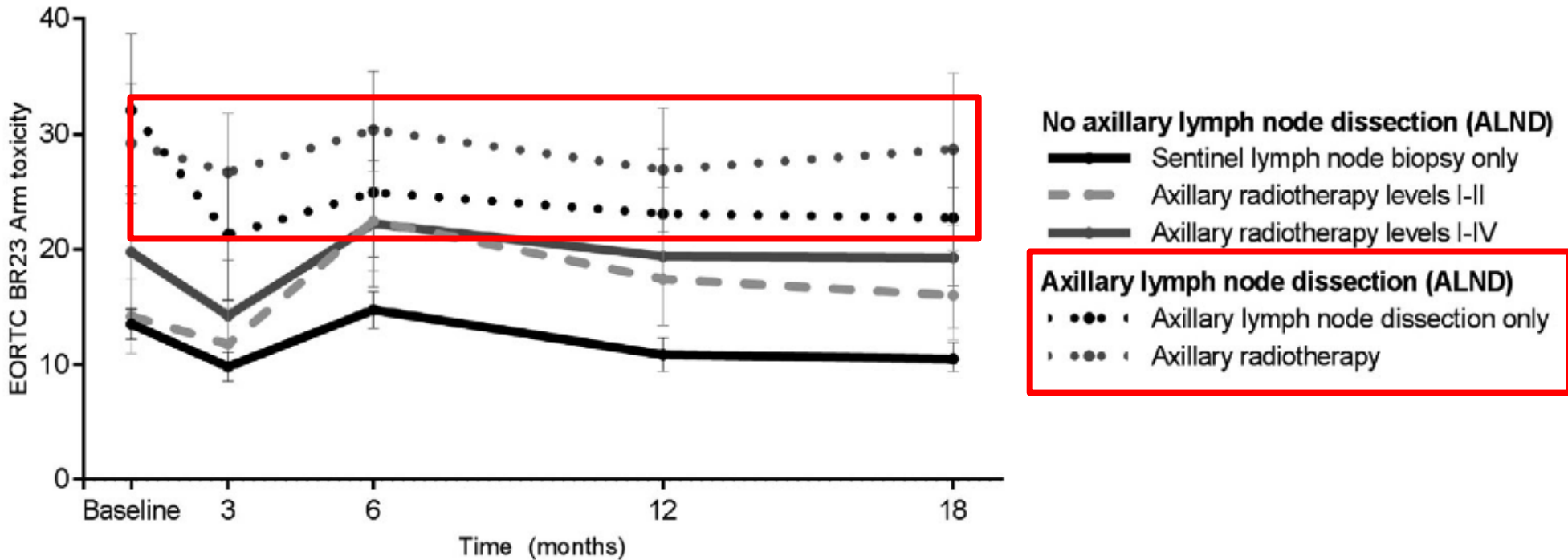
Patient-reported outcomes

N=964

# Last decade – Regional radiotherapy instead of axillary surgery

## Arm symptoms

Higher scores indicate high level of symptomatology



Group	Baseline	3	6	12	18
<b>No axillary lymph node dissection (ALND)</b>					
Sentinel lymph node biopsy only (n)	563	546	517	466	430
Axillary radiotherapy levels I-II (n)	81	76	76	74	69
Axillary radiotherapy levels I-IV (n)	59	57	57	53	45
<b>Axillary lymph node dissection (ALND)</b>					
Axillary lymph node dissection only (n)	45	52	45	50	43
Axillary radiotherapy (n)	73	72	65	58	44

### No axillary lymph node dissection

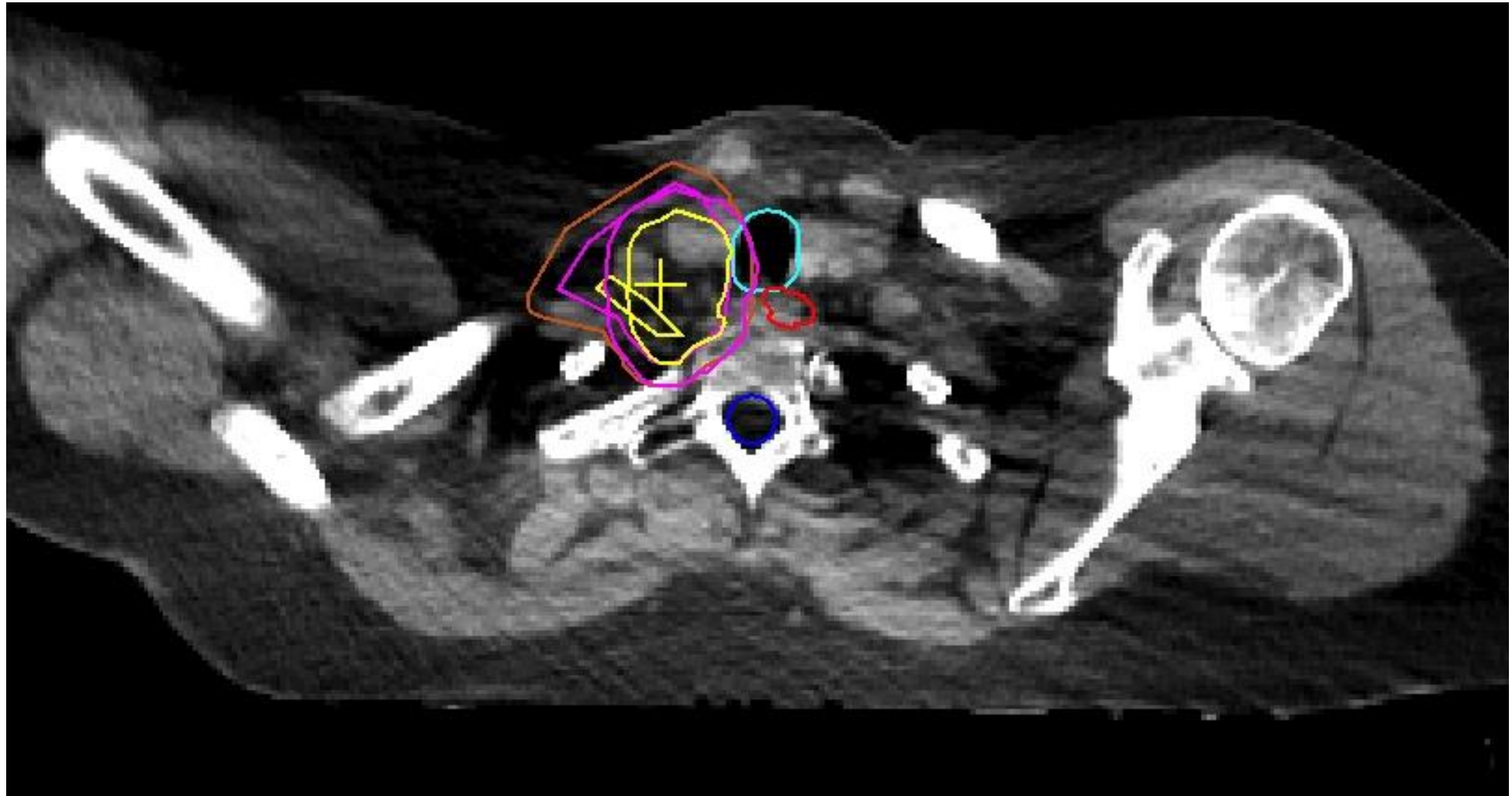
Sentinel lymph node biopsy only (n)  
Axillary radiotherapy levels I-II (n)  
Axillary radiotherapy levels I-IV (n)

### Axillary lymph node dissection

Axillary lymph node dissection only (n)  
Axillary radiotherapy (n)

# Brachial plexus

## Regional radiotherapy boost



- Plexopathy: paresthesias, decreased muscular strength, paralysis

# Radiation-induced secondary cancer after breast cancer radiotherapy

- Most second cancers after radiotherapy are attributed to other factors, e.g. lifestyle and genetics

*Berrington de Gonzales Lancet Oncol 2011*

# Radiation-induced secondary cancer after breast cancer radiotherapy

- Most second cancers after radiotherapy are attributed to other factors, e.g. lifestyle and genetics

*Berrington de Gonzales Lancet Oncol 2011*

- Contralateral breast cancer:

In patients < 40 years: if mean dose > 1 Gy

*Stovall IJROBP 2008*

# Radiation-induced secondary cancer after breast cancer radiotherapy

- Most second cancers after radiotherapy are attributed to other factors, e.g. lifestyle and genetics

*Berrington de Gonzales Lancet Oncol 2011*

- Contralateral breast cancer:

In patients < 40 years: if mean dose > 1 Gy (dose-dependent)

*Stovall IJROBP 2008*

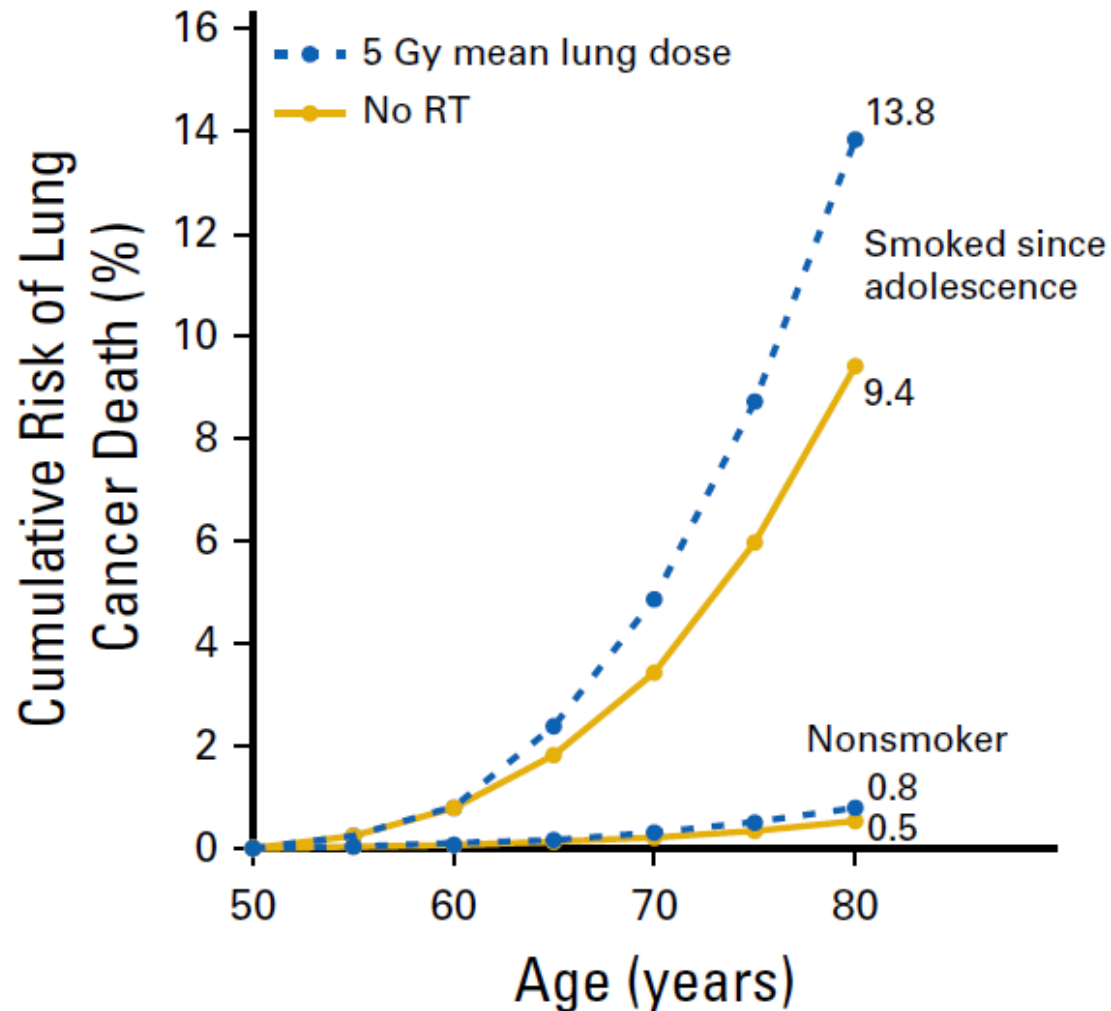
- Induction of non-breast cancer, e.g. lung, esophagus

Low risk compared to benefit of radiotherapy

*Grantzau RO 2015, Taylor JCO 2017*

# Radiation-induced lung cancer after breast cancer radiotherapy +/- smoking

**A**



# Innovation in breast RT planning to reduce RT-induced toxicity





# Innovation in breast RT planning to reduce RT-induced toxicity

- **Hypofractionation**

*instead of conventional scheme 25x2 Gy*

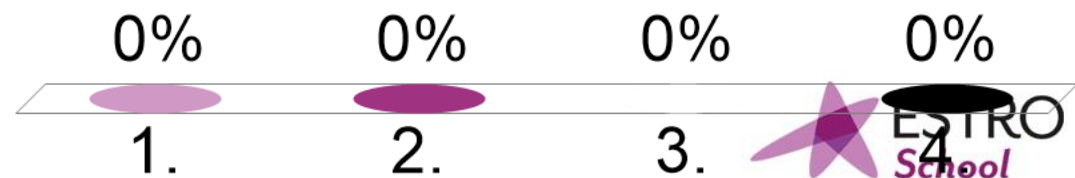


# Do you use hypofractionated schedules in breast RT?

1. Yes, in local RT  
(breast / chest wall)
2. Yes, in local and/or regional RT
3. No
4. I don't know

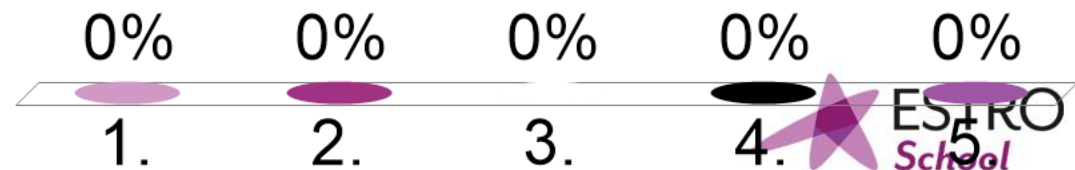
[www.responseware.eu](http://www.responseware.eu)

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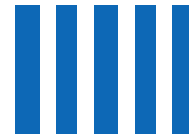




















# How many fractions do you use in local / (loco)regional RT?

1. 10-16 fractions or less (if no boost)
2. Less than 10 fractions (if no boost)
3. 25 (if no boost)
4. More than 25 fractions
5. I don't know



# Hypofractionation – whole breast irradiation

	Week 1	Week 2	Week 3	Week 4	Week 5	Total dose	Fractionation
Standard fractionation						50 Gy	2 Gy × 25
RMH/GOC						39 Gy 42.9 Gy	3 Gy × 13 3.3 Gy × 13
START A						39 Gy 41.6	3 Gy × 13 3.2 Gy × 13
START B						40 Gy	2.67 Gy × 15
Canadian						42.5 Gy	2.66 Gy × 16

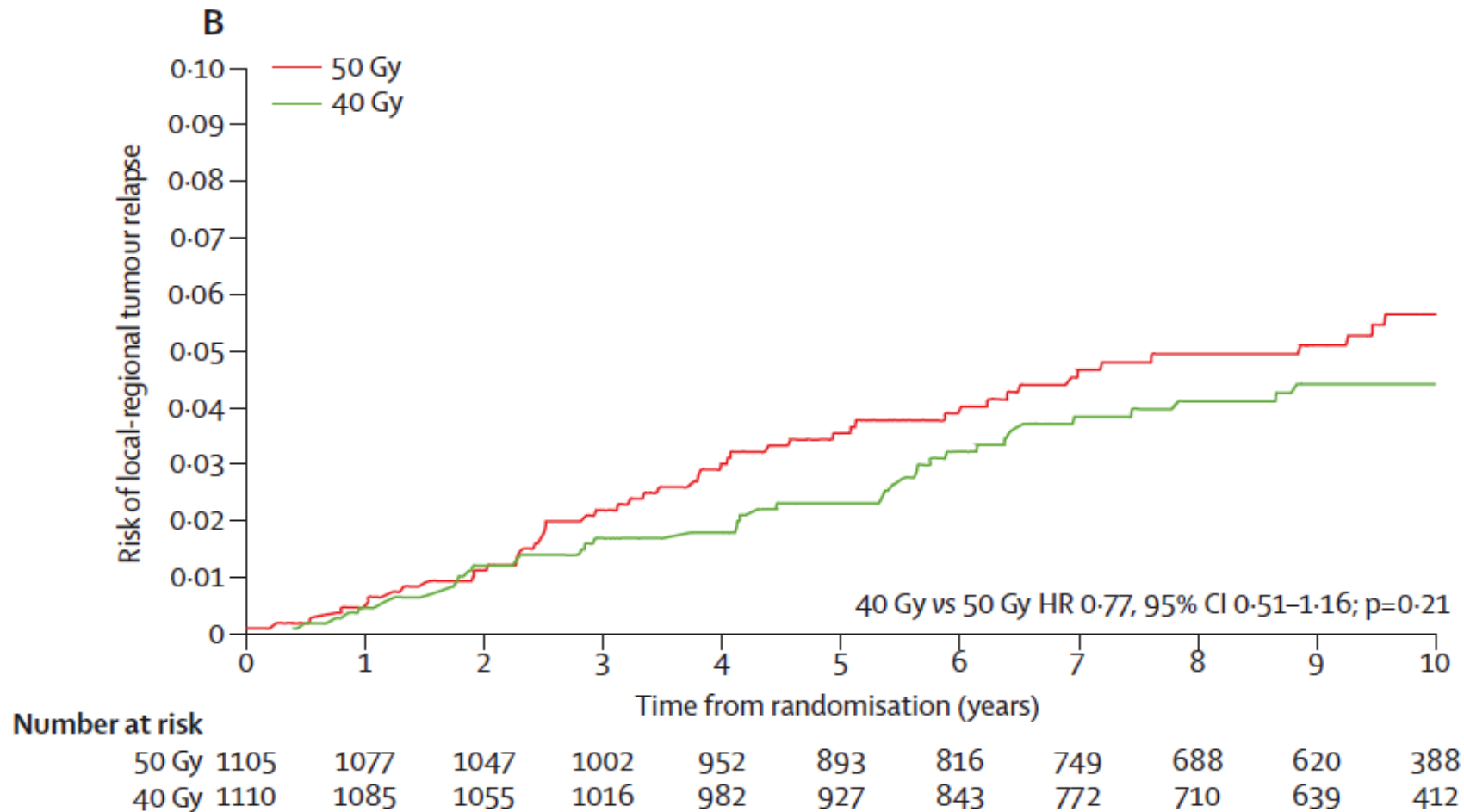
Fisher JCO 2014, Haviland Lancet Oncol 2013, Yarnold RO 2005, Whelan NEJM 2010, START B Lancet 2008, START A Lancet Oncol 2008, Owen Lancet Oncol 2006

# Hypofractionation – Breast cancer Radiotherapy

- 4 phase III studies whole breast irradiation:  
Standard fractionation (25 x 2 Gy) vs. Hypofractionation  
Canada: 16 x 2.66 Gy  
UK: 15x 2.67 Gy / 13x 3, 3.2 or 3.3 Gy
- Adjusted  $\alpha/\beta$  3.5  
Breast cancer is more sensitive to fraction size:  
No advantage in using  $\leq 2$  Gy fractions
- n = 7,000 patients; median follow-up 10 years

# UK START B- Locoregional recurrence

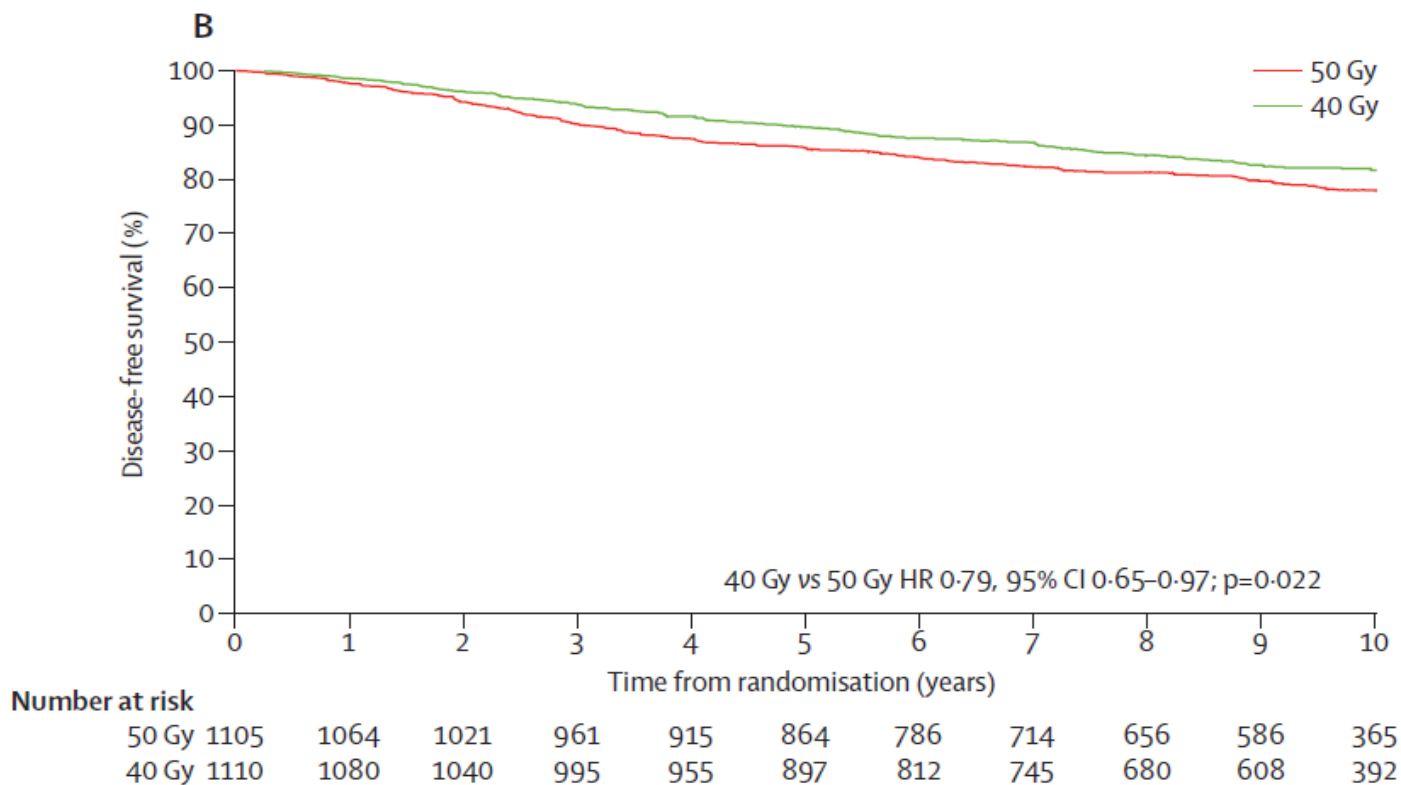
START B: 50 Gy/25# vs. 40 Gy/15#



Trend: ↓ Locoregional recurrences in 40 Gy arm

# UK START B – Disease-free survival

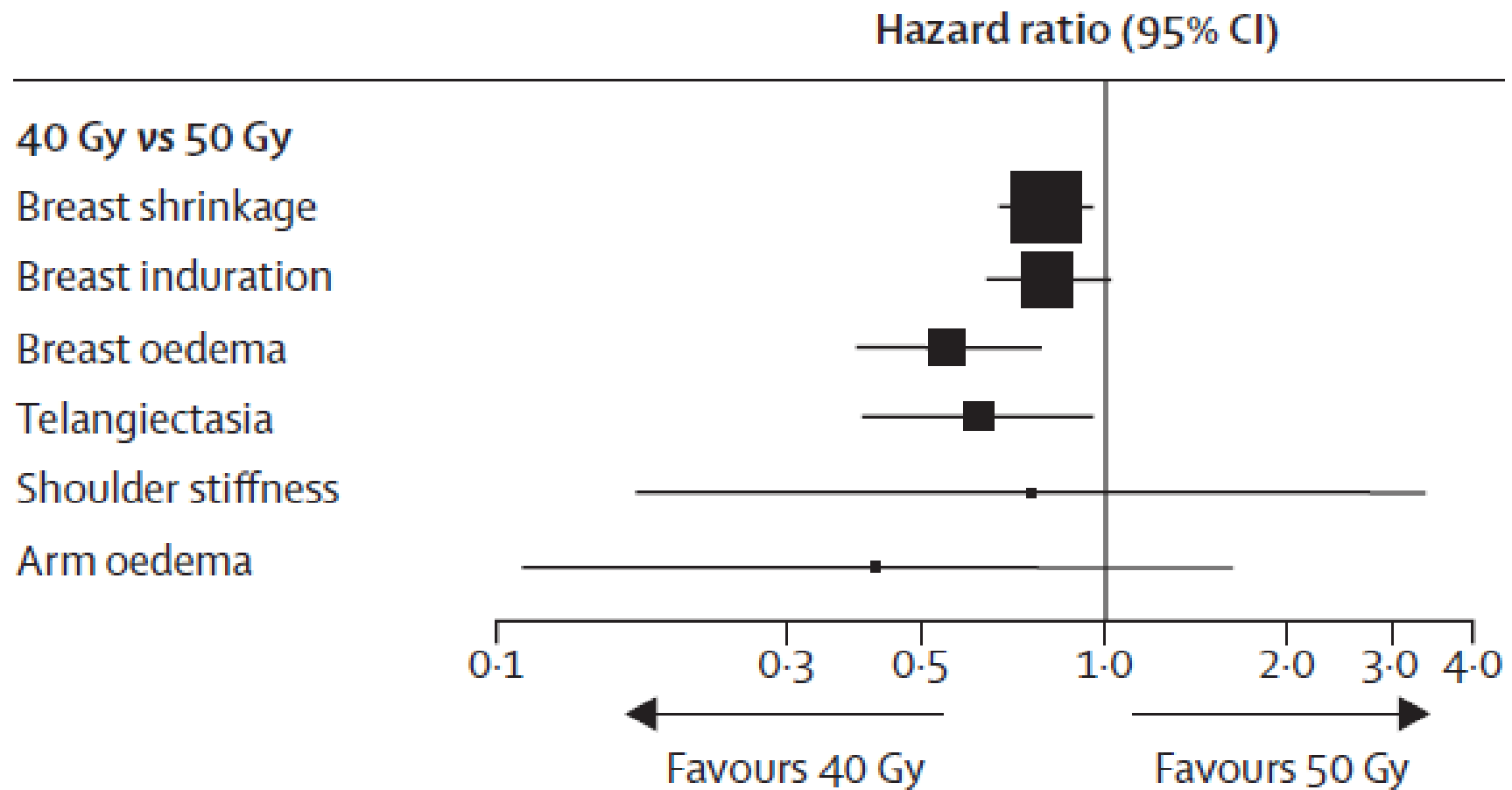
START B: 50 Gy/25# vs. 40 Gy/15#



Significant better disease-free survival in 40 Gy arm

# UK START B - Toxicity

B































40 Gy: less breast oedema and shrinkage and telangiectasia



# Hypofractionation – Clinical practice

In the Netherlands: 15 x 2.67 Gy (5x/week)

# Hypofractionation – FAST (FORWARD)

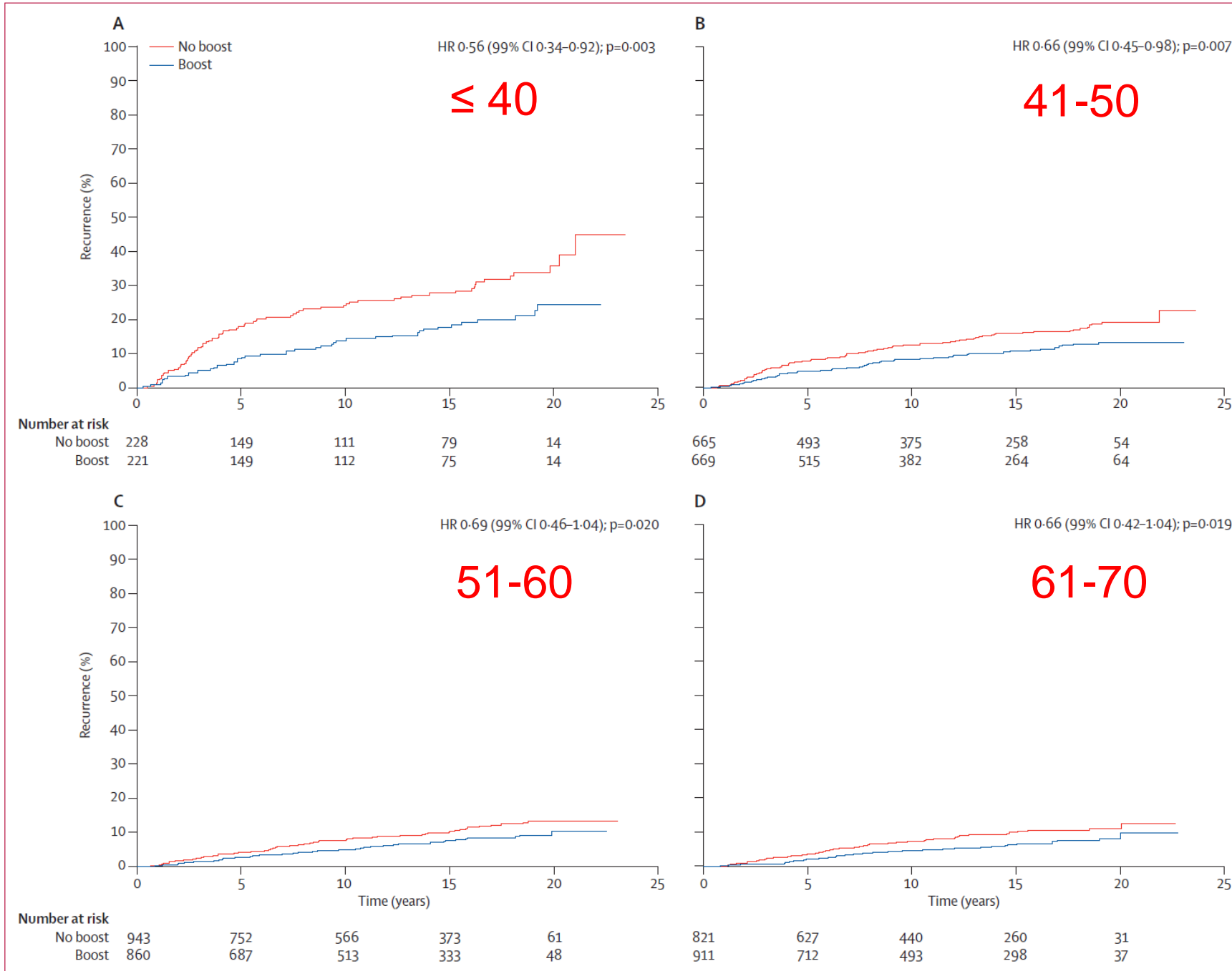
	Week 1	Week 2	Week 3	Week 4	Week 5	Total dose	Fractionation
Standard fractionation						50 Gy	2 Gy × 25
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START A						39 Gy 41.6	3 Gy × 13 3.2 Gy × 13
START B						40 Gy	2.67 Gy × 15
Canadian						42.5 Gy	2.66 Gy × 16
UK FAST						28.5 Gy 30 Gy	5.7 Gy × 5 6 Gy × 5
FAST-Forward						26 Gy 27 Gy	5.2 Gy × 5 5.4 Gy × 5

# Innovation in breast RT planning to reduce RT-induced toxicity

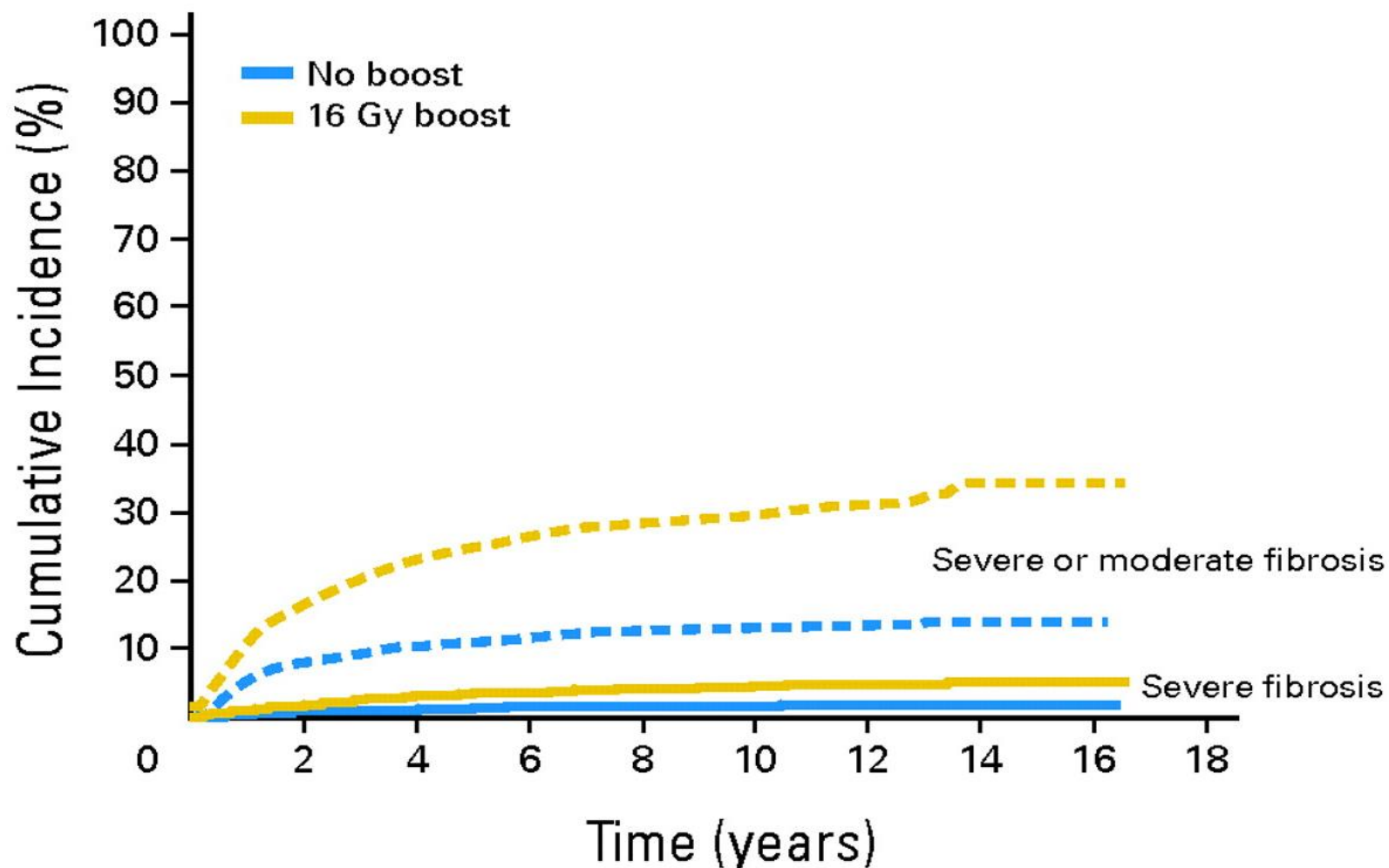
- Hypofractionation
- **Simultaneously integrated boost (SIB)**



# Boost on tumor bed: decreased local recurrence



# Boost on tumor bed – breast fibrosis



Boost tumor bed:

increased rates of moderate-severe breast fibrosis by 15% at 10 years

# Breast fibrosis – Risk factors

- RT boost on tumor bed

# Breast fibrosis – Risk factors

- RT boost on tumor bed
- RT boost **volume**
- 
- RT boost **dose** on tumor bed

# Breast fibrosis – RT boost dose

Risk is in

- H
- R'
- R'

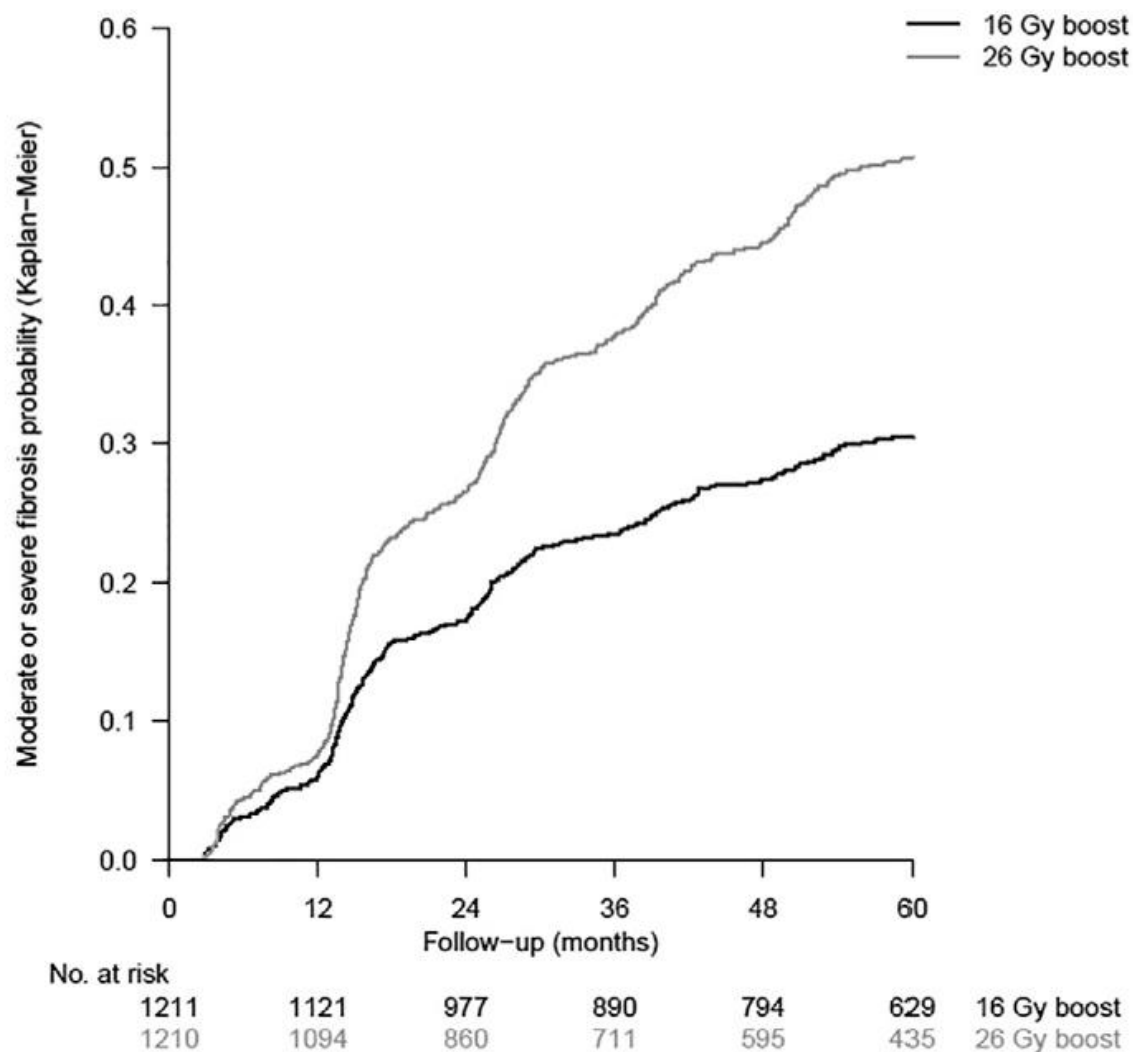
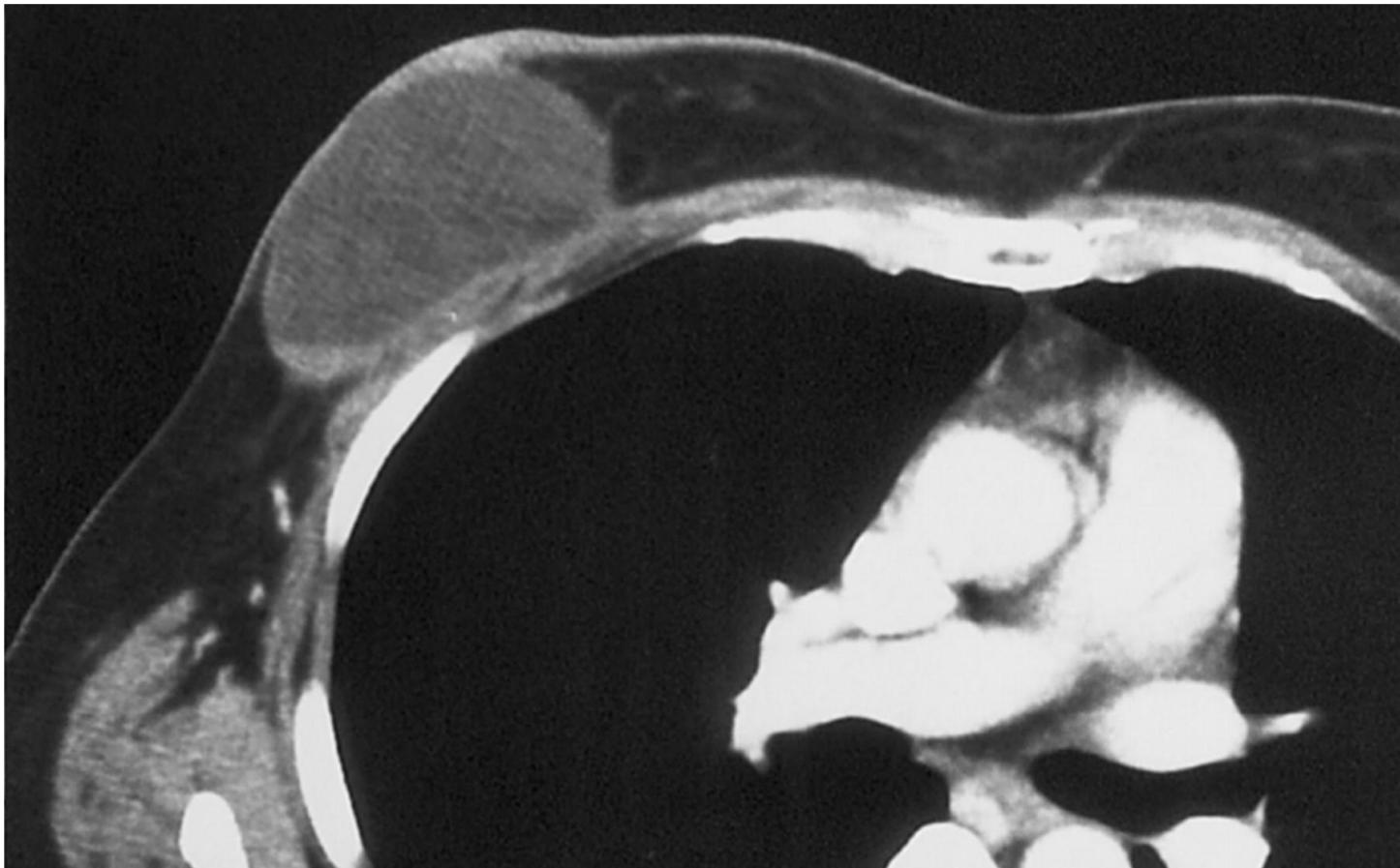


Fig. 2. Cumulative incidence of moderate or severe fibrosis in the boost area.



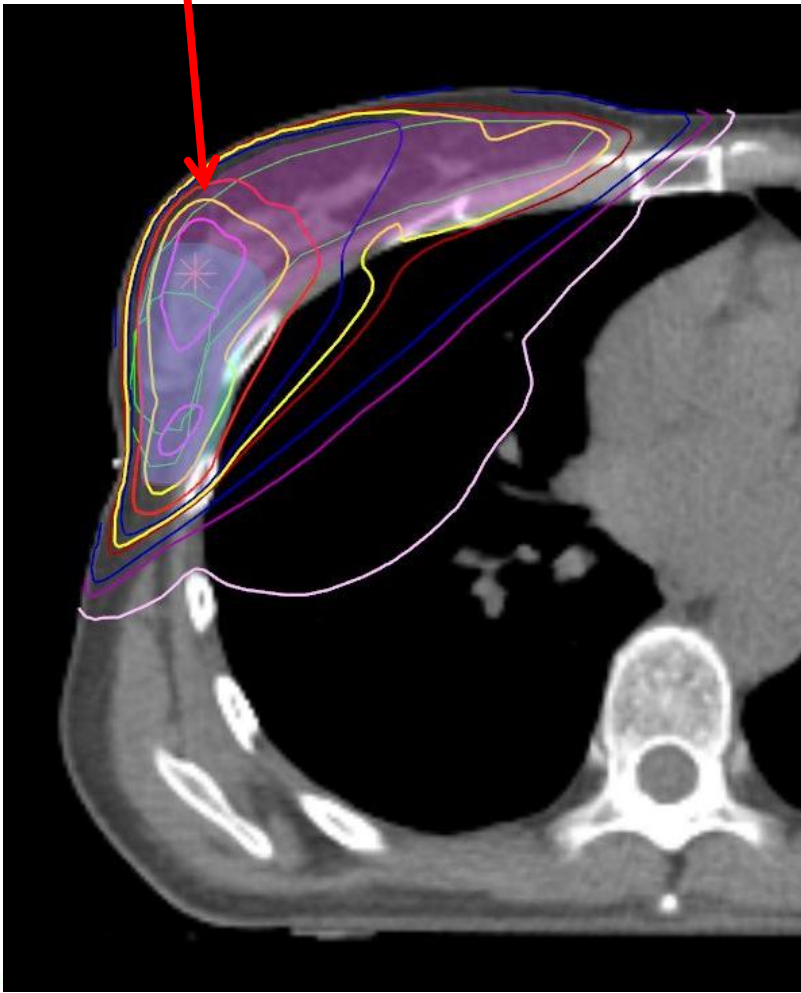
# Breast fibrosis – non-RT risk factors

- Adjuvant systemic therapy
- Post-operative breast oedema or hematoma / seroma in tumor bed



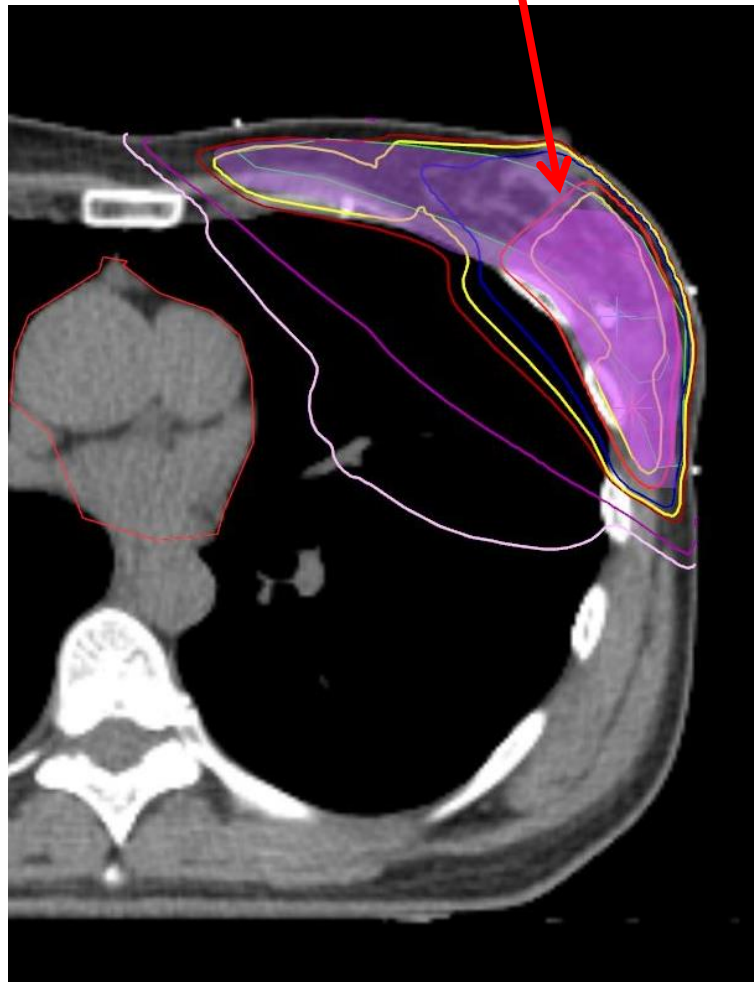
# Sequential boost vs. SIB

95%



Sequential boost

95%

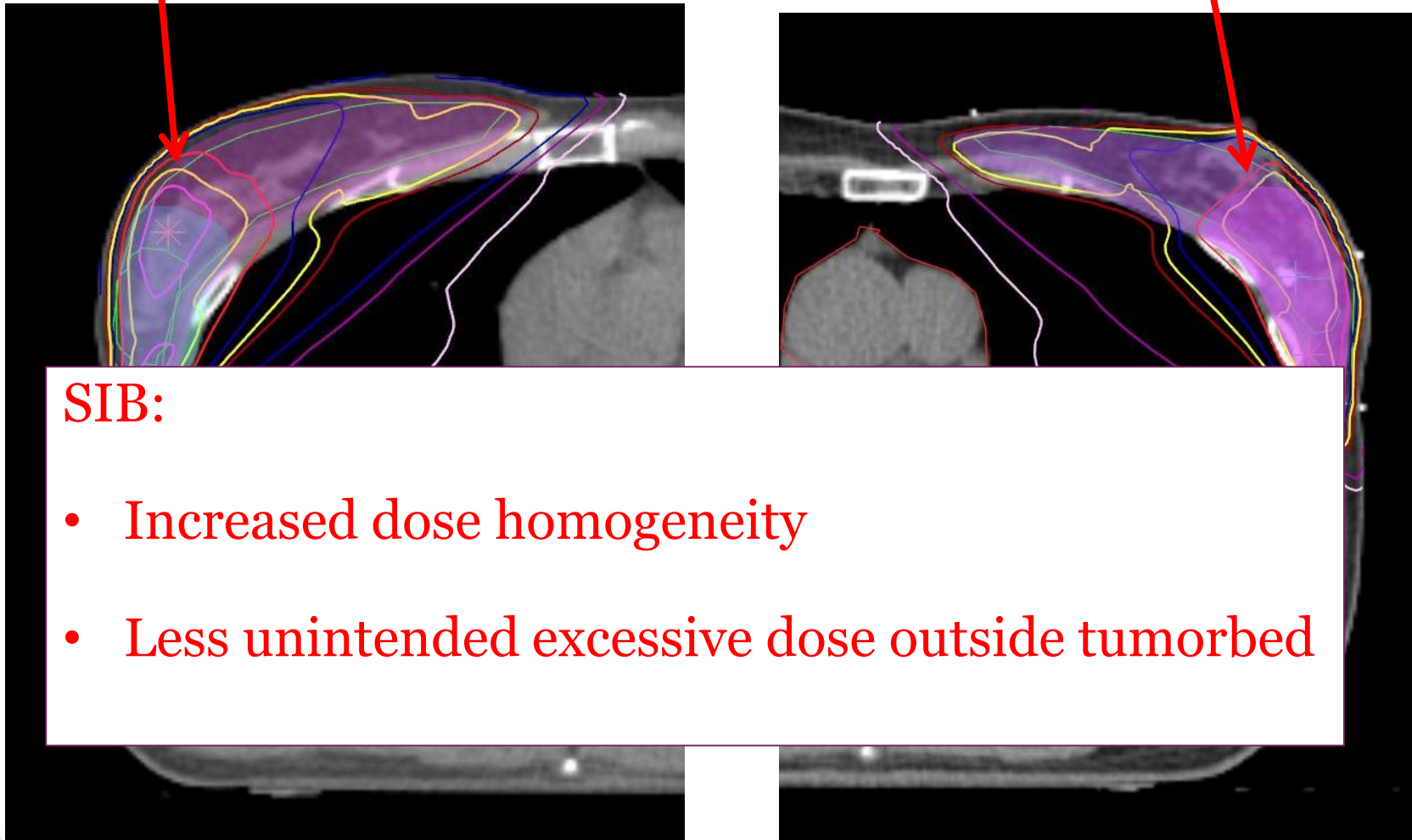


SIB

# Sequential boost vs. SIB

95%

95%



SIB:

- Increased dose homogeneity
- Less unintended excessive dose outside tumor bed

Sequential boost

SIB

# Simultaneously integrated boost (SIB)

Results SIB tumor bed (stage I-III breast cancer patients):

- Excellent 5-year control (99%)

*Bantema-Joppe RO 2013*

- Higher dose per fraction to tumor bed →  
Equal toxicity and cosmetic result

*Bantema-Joppe IJROBP 2012*

# Innovation in breast RT planning to reduce RT-induced toxicity

- Hypofractionation
- Simultaneously integrated boost (SIB)
- **(Accelerated) partial breast RT**



# Do you use (accelerated) partial breast RT?

1. Yes, is standard treatment (in low-risk patients)
2. Only in trials
3. No
4. I don't know

[www.responseware.eu](http://www.responseware.eu)

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# Partial breast irradiation (PBI) - Rationale

- Recurrences occur mainly in or near excision cavity
- Occurrence of 'elsewhere recurrences' is equal after Breast-conserving surgery +/- whole breast irradiation (WBI)

# PBI - Smaller target volumes

PBI



WBI





# PBI – smaller target volumes

- Shorter treatment time due to decreased number of RT fractions
- Decreased dose to surrounding organs, e.g heart and lungs  
→ less RT-induced toxicity → better Quality of Life

# Partial breast irradiation – which patients?

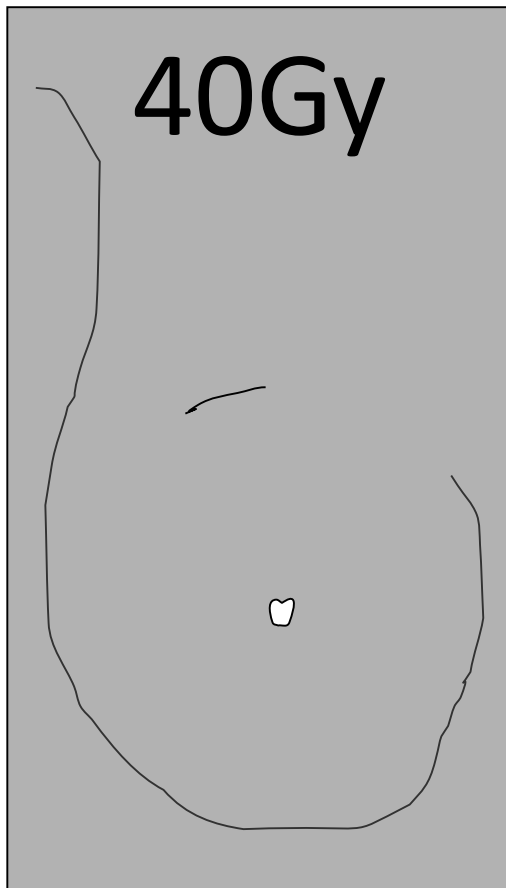
	Age	Tumor size	Histology	Lymph node status	Margin status
<b>ASTRO</b> <i>Correa et al.</i> PRO 2017	$\geq 50$	$\leq 2$ cm	Non-lobular DCIS Grade 1-2 Unifocal ER+ No LVI	Negative	Negative ( $> 2$ mm)
<b>GEC-ESTRO</b> <i>Polgar et al.</i> RO 2010	$\geq 50$	$\leq 3$ cm	Non-lobular Unifocal Any ER status No LVI	Negative	Negative ( $\geq 2$ mm)

# Partial breast irradiation – low-risk breast cancer

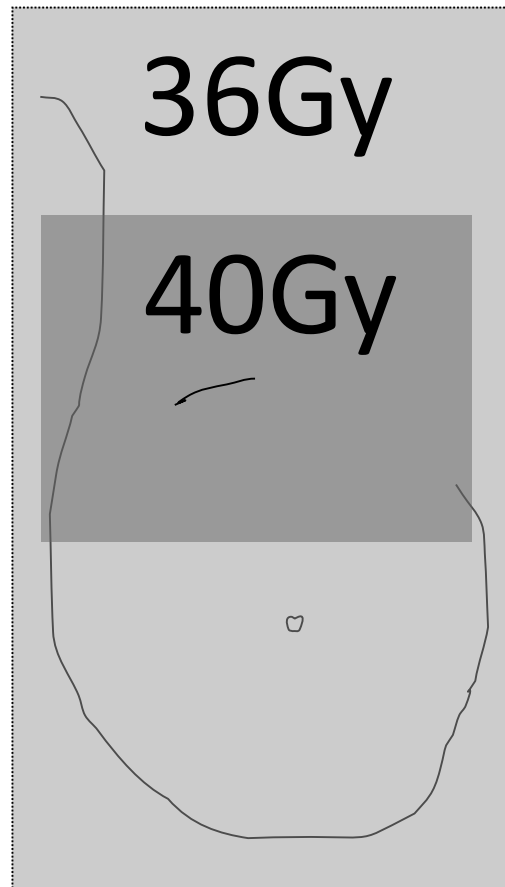
	Age	Tumor size	Histology	Lymph node status	Margin status
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<b>GEC-ESTRO</b> <i>Polgar et al.</i> RO 2010	$\geq 50$	$\leq 3$ cm	Non-lobular Unifocal Any ER status No LVI	Negative	Negative ( $\geq 2$ mm)

# IMPORT LOW study

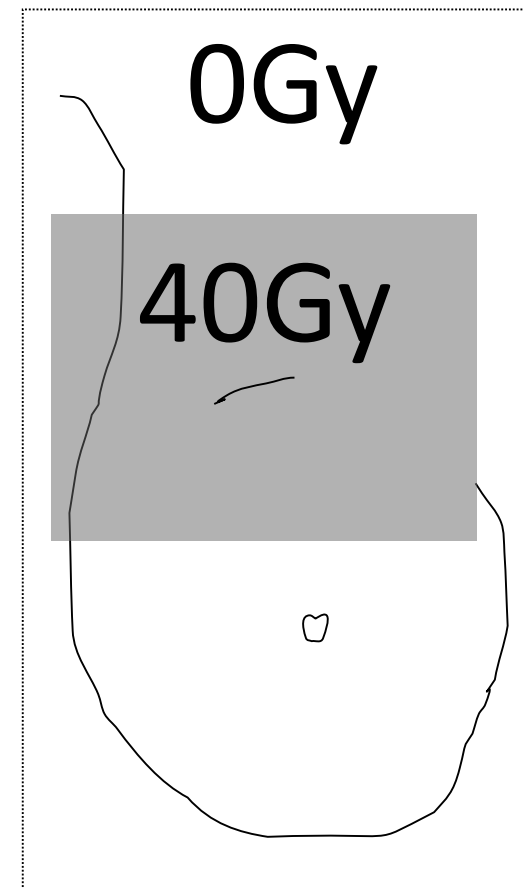
**Whole Breast**



**Reduced Dose**

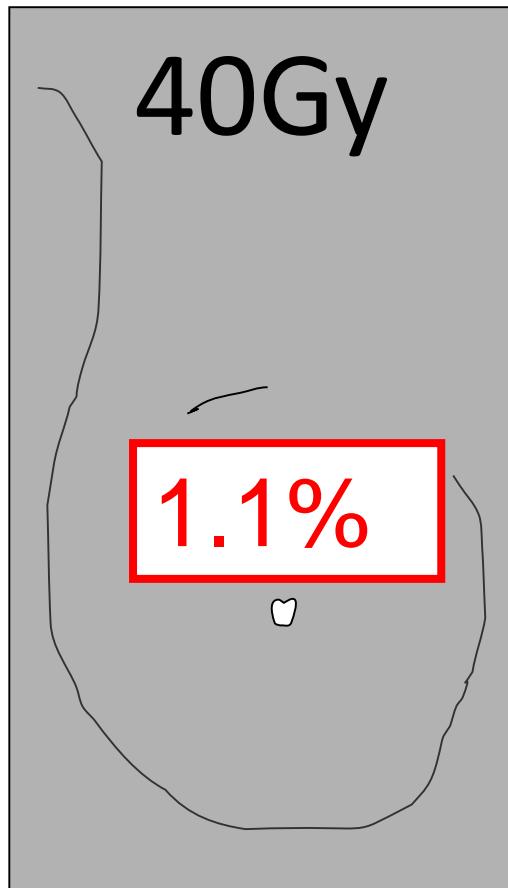


**Partial-breast**

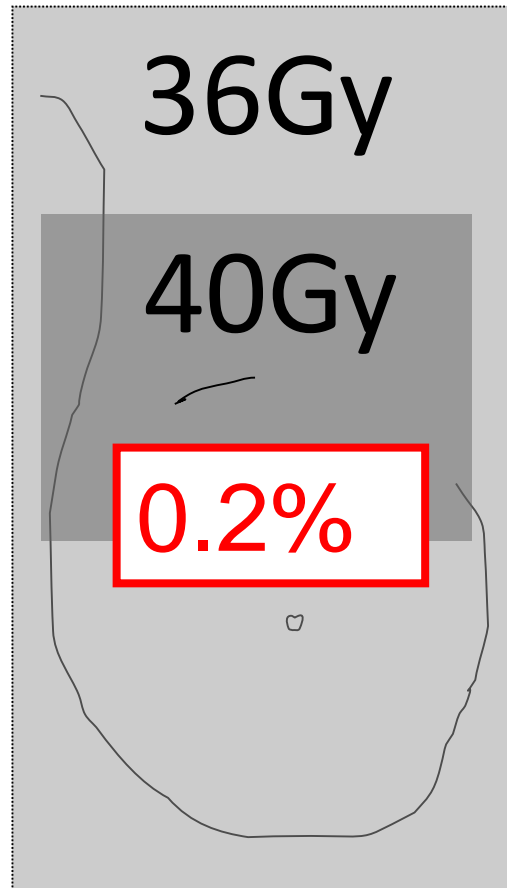


# IMPORT LOW study – local relapse at 5 years

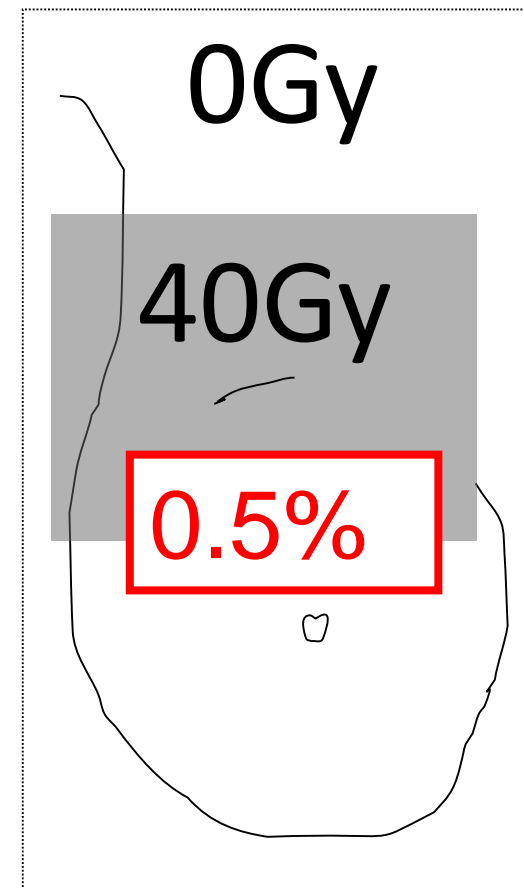
**Whole Breast**



**Reduced Dose**



**Partial-breast**

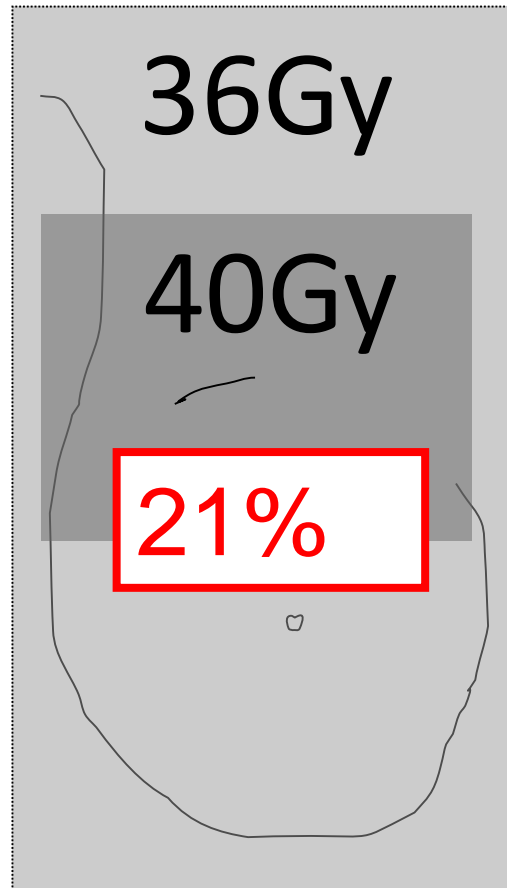


# IMPORT LOW study – breast firmness

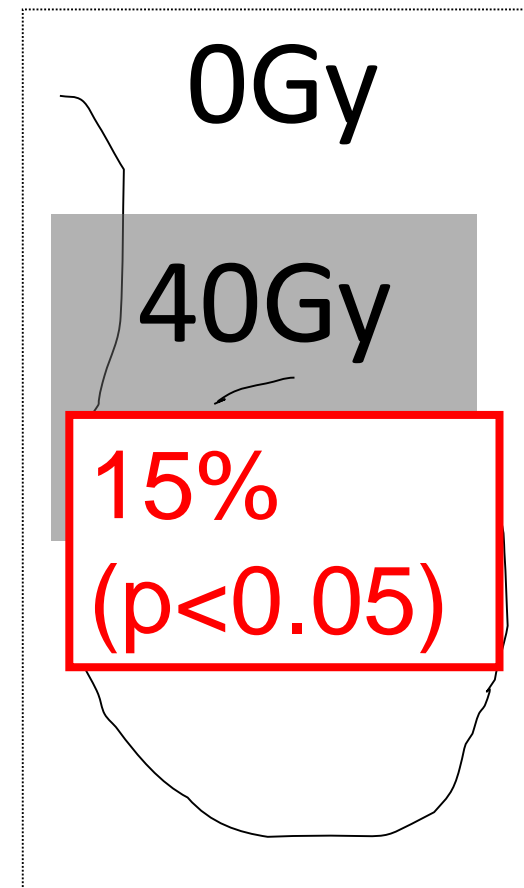
**Whole Breast**



**Reduced Dose**



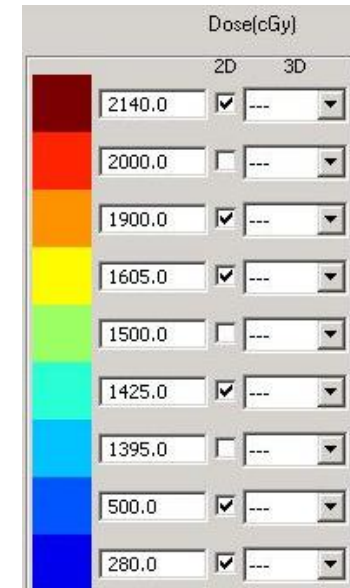
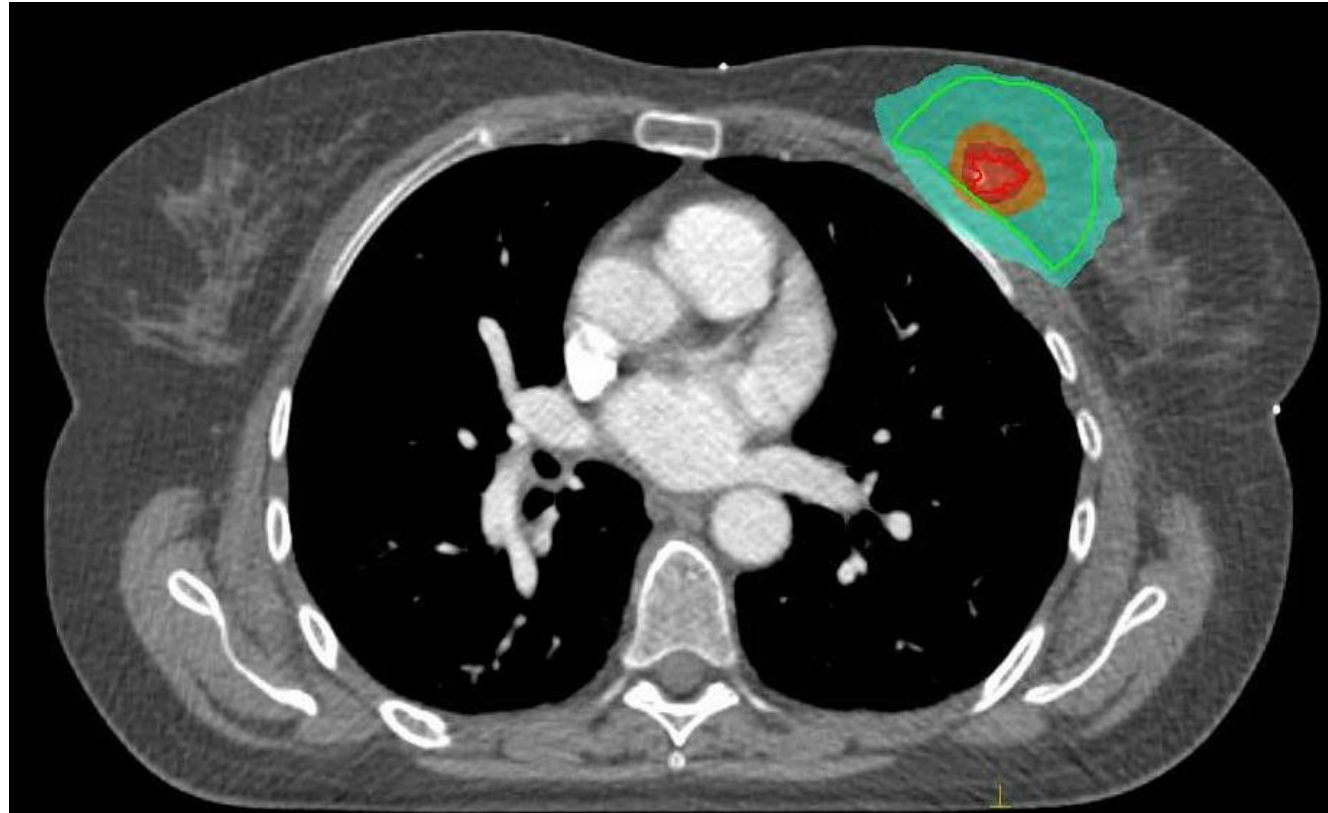
**Partial-breast**



# (Accelerated) partial breast irradiation – standard care

- Low local recurrence risk in selected low-risk patients
- Toxicity and cosmetic outcome: In **PBI** similar or less toxicity
- Ongoing phase III trials (i.e. NSABP B-39, RAPID)

# Extreme breast hypofractionation – pre-operative single-dose ablative RT



- Feasibility study (n=15)
- 1x20 Gy tumor, 1x15 Gy tumor bed
- At 6 months after RT: lumpectomy



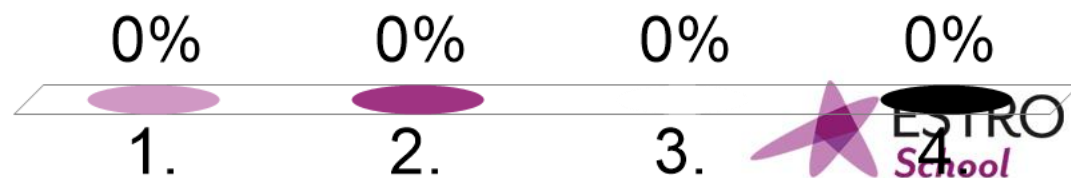
# Innovation in breast RT planning to reduce RT-induced toxicity

- Hypofractionation
- Simultaneously integrated boost (SIB)
- (Accelerated) partial breast RT
- **Breath hold technique**



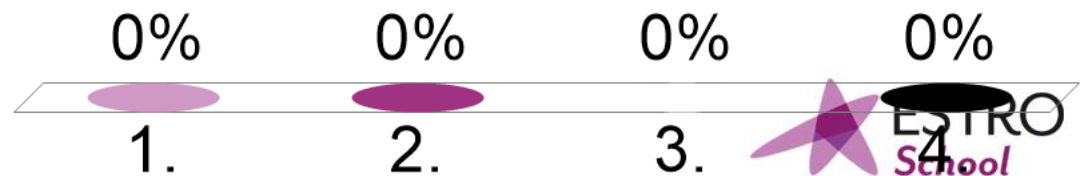
# Do you use breath hold technique?

1. Yes, only in local RT (breast / chest wall)
2. Yes, in local and (loco)regional RT
3. No
4. I don't know



# Do you use breath-hold technique in right-sided breast cancer patients?

1. Yes
2. No, only in left-sided breast cancer patients
3. No, at our institute we do not use breath-hold technique
4. I don't know



# Breath-hold techniques

- ABC-technique: Active breathing coordinator™  
Spirometry trace is visualized on a monitor and inspiration is held at a predetermined lung volume
- Gating:  
RT is delivered only when patient is in inspiratory phase of breathing cycle
- Voluntary breath-hold technique



# Optimal cardiac sparing – Breath-hold technique



Free breathing



v\_DIBH

# Free-breathing vs. voluntary breath-hold (VBH) techniques

**Table 1**

Mean normal tissue doses (Gy) for free-breathing and voluntary breath-hold (VBH) techniques with 95% confidence intervals in parentheses

	Free-breathing	VBH	<i>P</i>
Heart	1.79 (1.66–1.91)	1.04 (0.97–1.12)	<0.001
LAD	11.9 (10.8–13.1)	5.3 (4.5–6.1)	<0.001
LAD <sub>max</sub>	35.2 (33.4–37.1)	24.0 (20.8–27.1)	<0.001
Ipsilateral lung	3.9 (3.6–4.2)	4.0 (3.7–4.2)	0.762
Whole lung	1.9 (1.8–2.1)	2.0 (1.9–2.1)	0.374

LAD, left anterior descending coronary artery.

# Free-breathing vs. voluntary breath-hold techniques

**Table 1**

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LAD, left anterior descending coronary artery.

# Compliance Breath hold technique

High (99%), except for:

- Pulmonary disease, e.g. COPD
- Unable to follow breathing instructions, e.g. language barrier



# Innovation in breast RT planning to reduce RT-induced toxicity

- Hypofractionation
- Simultaneously integrated boost (SIB)
- (Accelerated) partial breast RT
- Breath hold technique
- **Introduction of VMAT/IMRT/Tomotherapy**

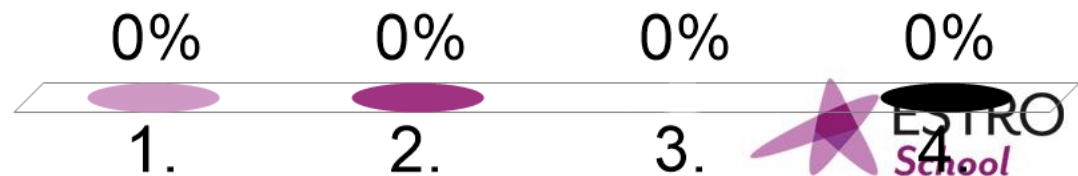


# Which planning technique do you use for breast cancer patients?

1. Only 3DCRT / f-IMRT
2. IMRT/VMAT/Tomo
3. 3DCRT or f-IMRT  
+IMRT/VMAT/Tomo
4. I don't know

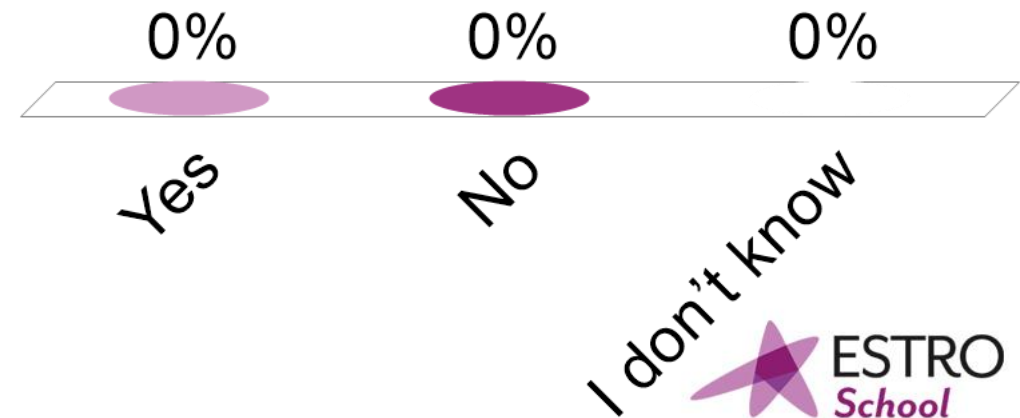
[www.responseware.eu](http://www.responseware.eu)

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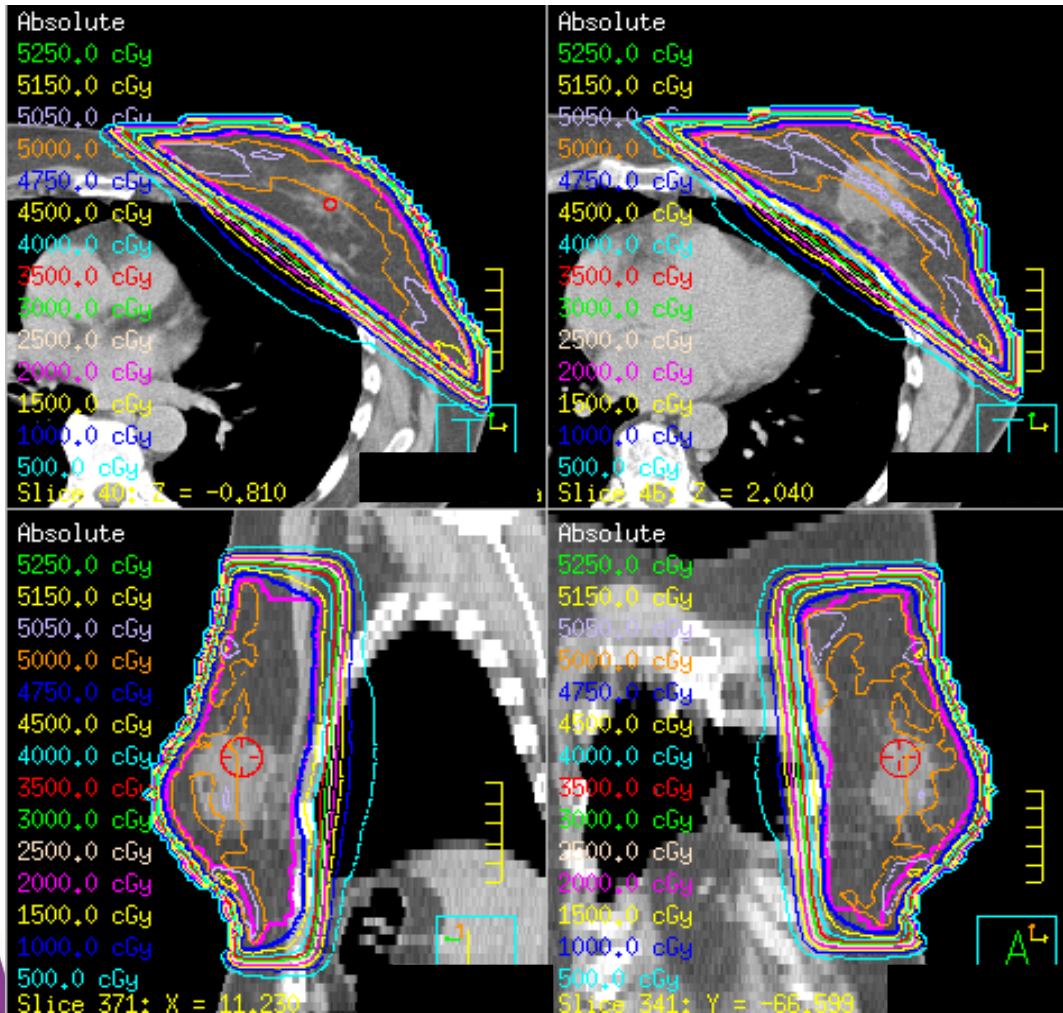


# Do you use protons for (selected) breast patients?

1. Yes
2. No
3. I don't know



# Forward IMRT / 3DCRT



## Field-in-field technique / forward IMRT:

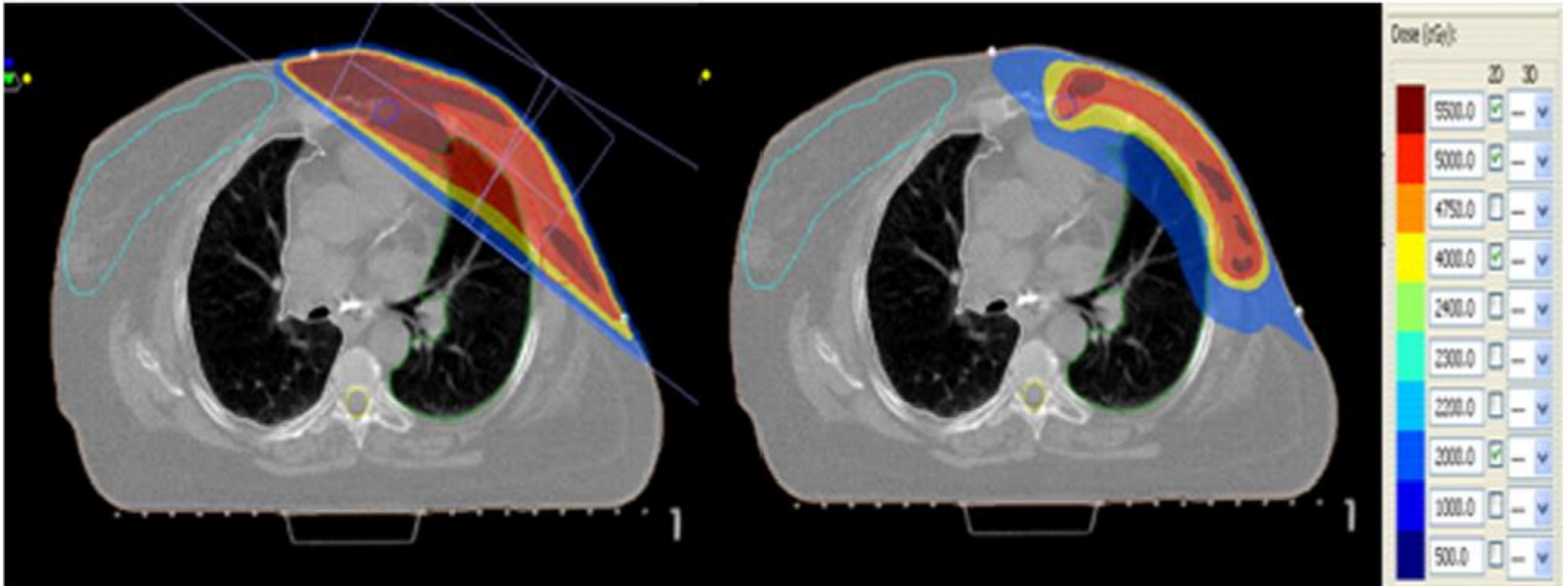
- 2 Tangential mediolateral and lateromedial fields
- Small segments are added to achieve a more homogeneous dose distribution instead of wedges
- Mixture of 6 and 10 MV photon beams

# More advanced planning techniques in breast cancer patients

## **Aim: Reduction of RT-induced toxicity**

- IMRT and VMAT (instead of 3DCRT / f-IMRT)  
+/- breath-hold technique

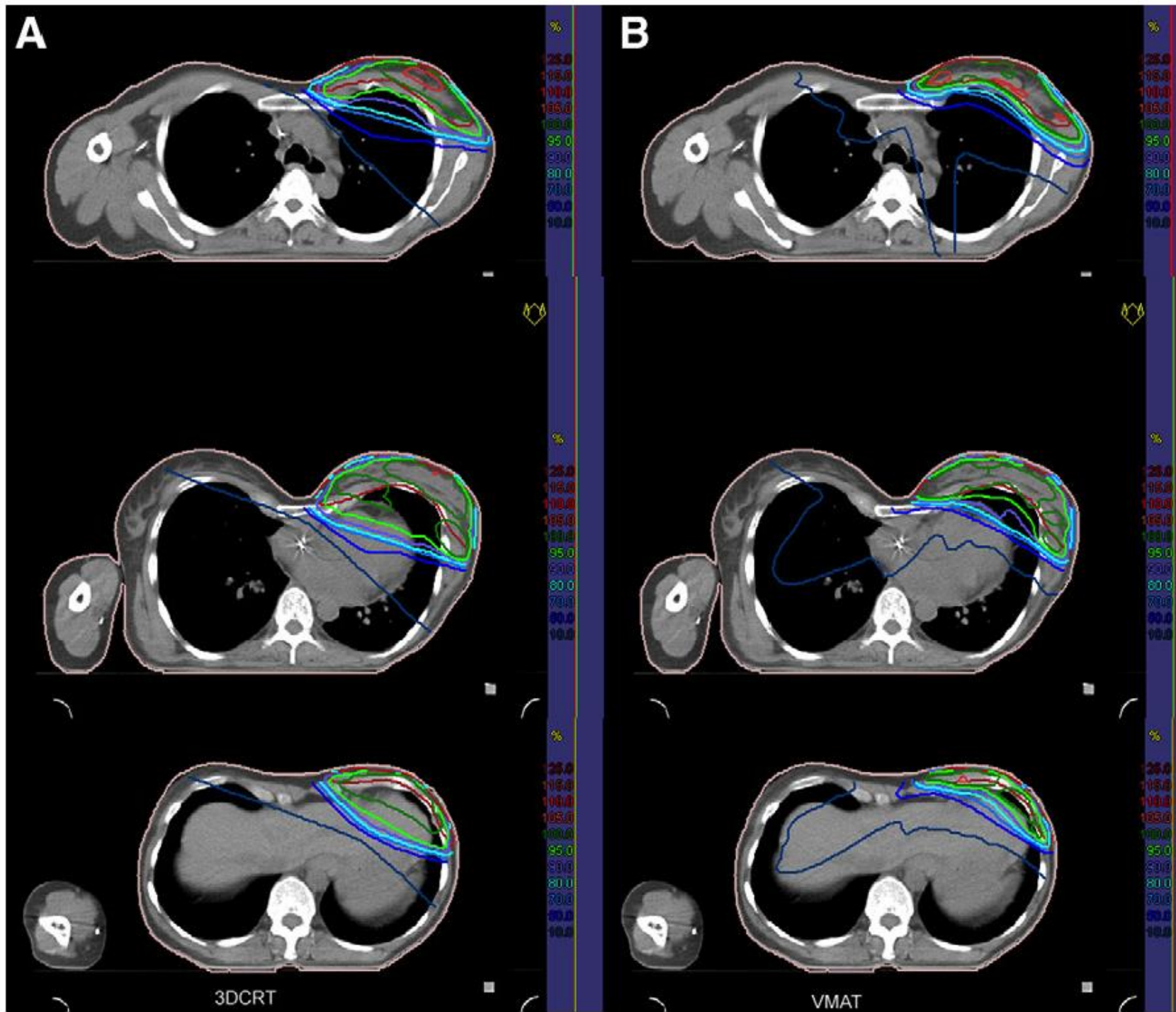
# 3D-CRT compared with VMAT



3D-CRT

VMAT

# Funnel chest – Heartl 2014



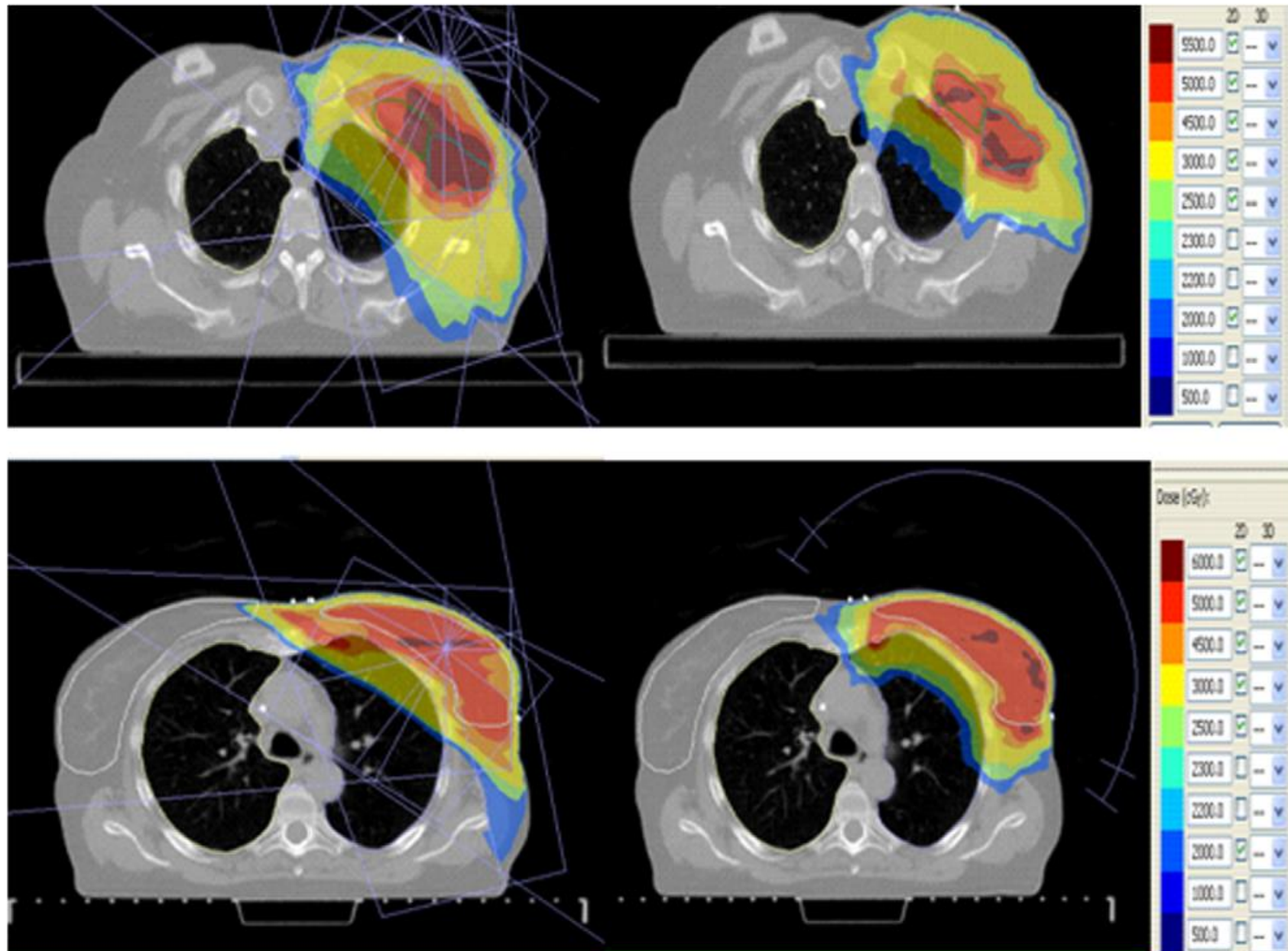
# Introduction of IMRT and VMAT

## Breast cancer radiotherapy

- Improved dose conformity (compared with 3D-CRT or forward-IMRT)
- Reduction in dose to heart and coronary arteries, lowest in combination with breath hold technique



# Multibeam-IMRT compared with VMAT



# Comparison of IMRT and VMAT *local / locoregional RT*

- VMAT compared to IMRT:
  - Shorter delivery time
  - Reduced number of monitor units in VMAT compared to IMRT

# Conclusions – Innovations in breast RT planning

Focus on reduction of radiotherapy-induced toxicity:

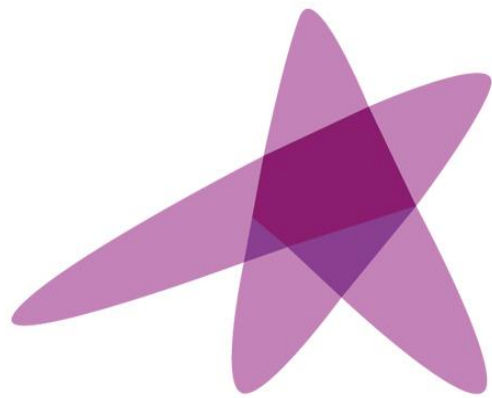
- Hypofractionation
- Breath-hold technique
- (Accelerated) partial breast RT
- IMRT / VMAT with Breath hold technique

*Hypofractionation and APBI →*

*Shorter duration of overall treatment time*

Thank you for your attention!





**ESTRO**

*School*

# Case 1: Breast



*ESTRO Athens  
September 2018*

**Introduction case 1:  
Breast and regional lymph nodes  
(including internal mammary nodes)**

## **Mrs V, 61 years old**

- May 2017: Screening for breast cancer → referred to hospital
- Medical history: Hypertension, stenosis carotid artery (left)



## **Mrs V, 61 years old**

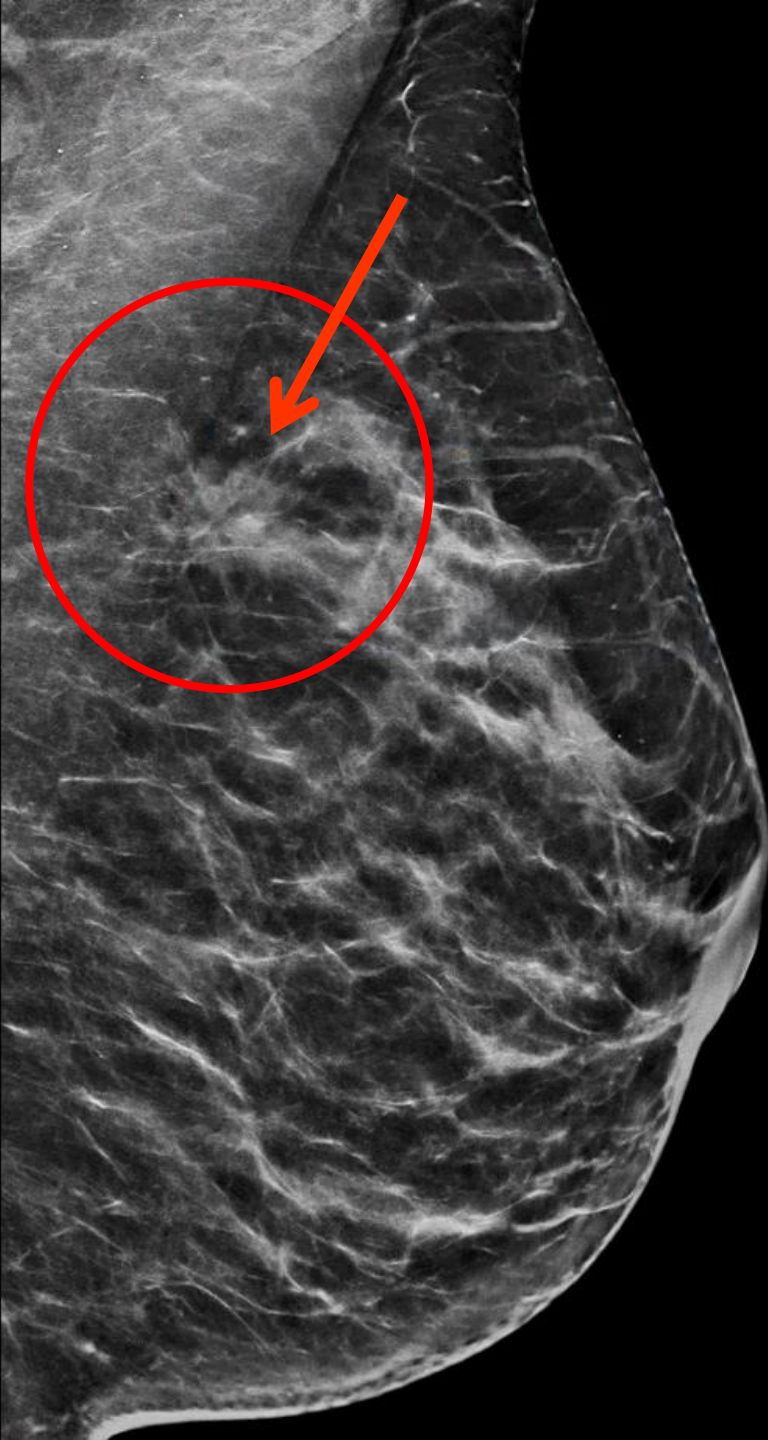
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- Physical examination:
  - Left breast: tumor 2x2 cm
  - Left axilla: palpable lymph node

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- May 2017: Screening for breast cancer → referred to hospital
- Physical examination:
  - Left breast: tumor 2x2 cm
  - Right axilla: palpable lymph node
- Mammography:
  - Lesion in left breast
  - In upper-outer quadrant
  - 19 mm

**Mammography**  
**Mediolateral oblique view**

LMLO



**Mammography**  
**- Craniocaudal view**



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- Mammography:
  - Lesion in left breast, in upper-outer quadrant, 19 mm
  - Birads-IV**

# BI-RADS: Breast Imaging-reporting and data system

Final Assessment Categories			
	Category	Management	Likelihood of cancer
0	Need additional imaging or prior examinations	Recall for additional imaging and/or await prior examinations	n/a
1	Negative	Routine screening	Essentially 0%
2	Benign	Routine screening	Essentially 0%
3	Probably Benign	Short interval-follow-up (6 month) or continued	>0 % but ≤ 2%
4	Suspicious	Tissue diagnosis	4a. low suspicion for malignancy (>2% to ≤ 10%) 4b. moderate suspicion for malignancy (>10% to ≤ 50%) 4c. high suspicion for malignancy (>50% to <95%)
5	Highly suggestive of malignancy	Tissue diagnosis	≥95%
6	Known biopsy-proven	Surgical excision when clinical appropriate	n/a

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# Mrs V, 61 years old - Diagnostics

- Ultrasound: 1 pathologically enlarged lymph nodes in right axilla
- Ultrasound-guided biopsy left breast  
Histology left breast: infiltrating ductal carcinoma, grade 2, ER100%, PR80%, HER2 negative
- Fine needle aspiration (FNA) left axilla: metastasis
- MRI:  
Tumor in left breast, 2x2 cm  
BIRADS-6



# MRI - BI-RADS classification

Final Assessment Categories			
Category		Management	Likelihood of cancer
0	Need additional imaging or prior examinations	Recall for additional imaging and/or await prior examinations	n/a
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Tumor in left breast, 2x2 cm  
BIRADS-6
- <sup>18</sup>F<sup>18</sup>FDG-PET-CT

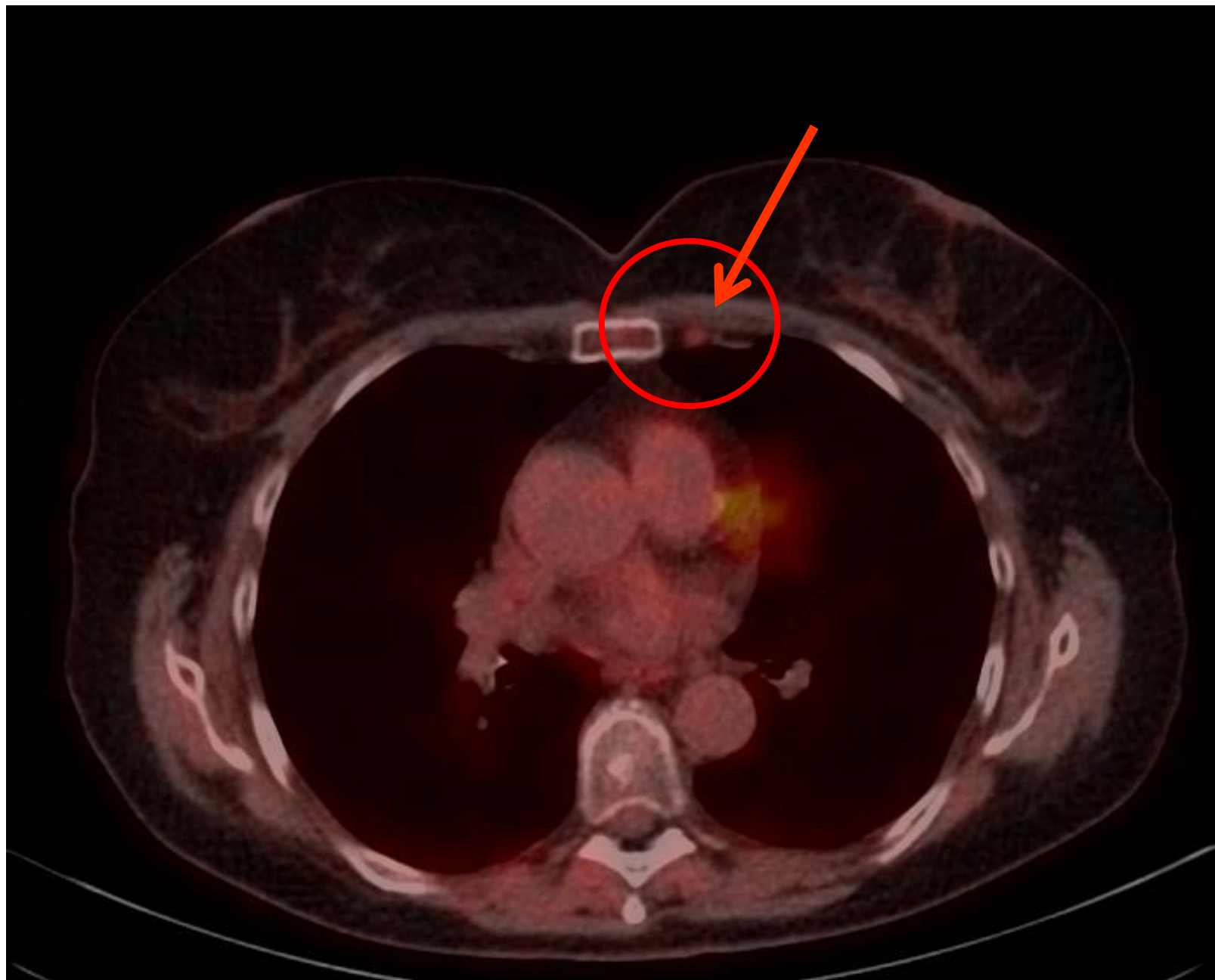
# Mrs V, 61 years old – <sup>18</sup>F<sup>18</sup>FDG-PET-CT

- uptake:
  - In tumor left breast
  - In 6 lymph nodes:
    - axillary lymph nodes levels I and II
    - internal mammary lymph nodes
  - No distant metastases

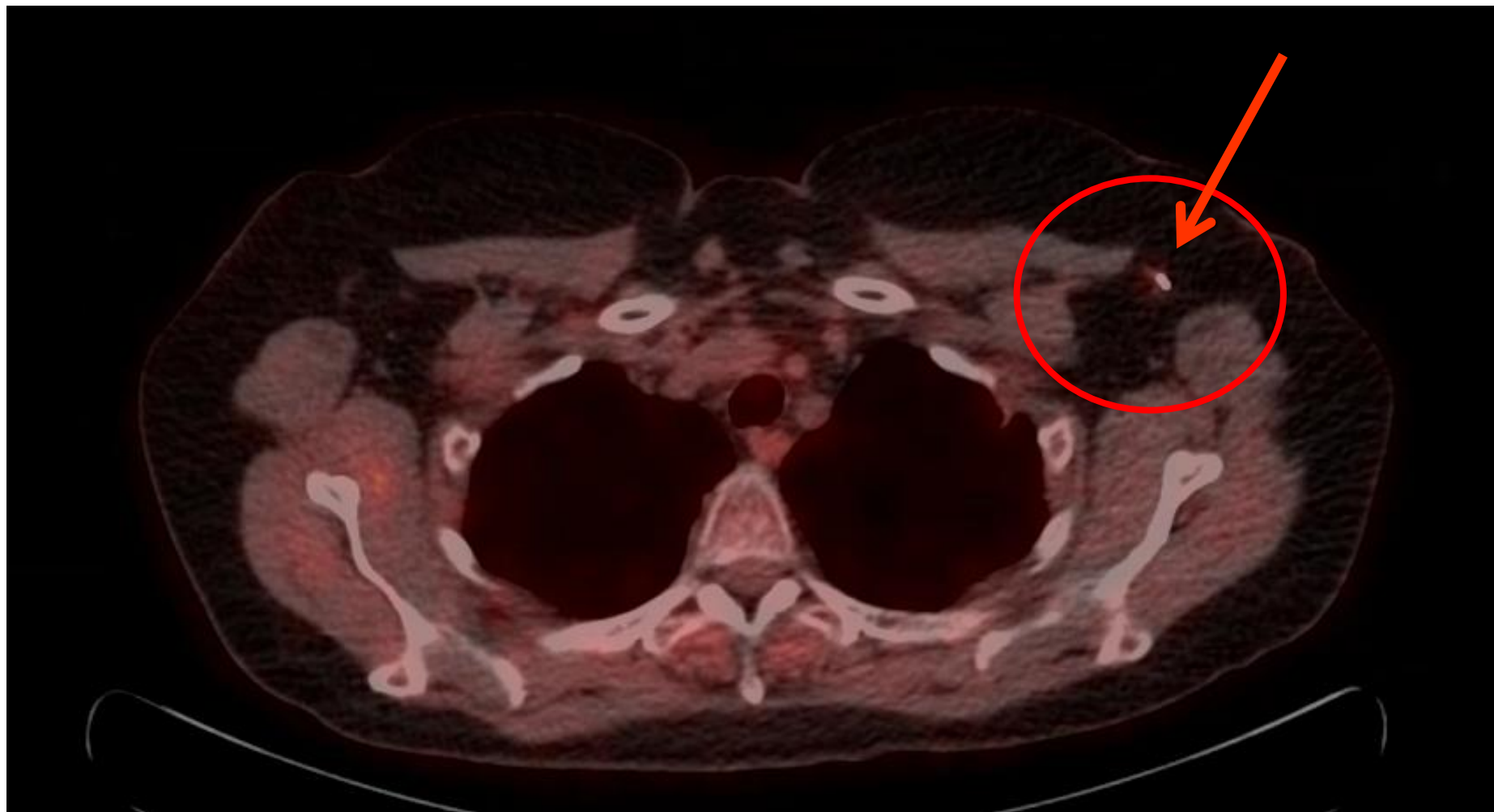
# $^{18}\text{F}$ FDG-PET-CT



# $^{18}\text{F}$ FDG-PET-CT



# $^{18}\text{F}$ FDG-PET-CT



# Mrs V, 61 years old – Clinical stage

- <sup>18</sup>F-FDG-PET-CT, uptake:
  - In tumor left breast
  - In 6 lymph nodes:
    - axillary lymph nodes levels I and II
    - internal mammary lymph nodes
  - No distant metastases

Clinical stage: cT1N3bM0 left-sided breast cancer

# Mrs V, 61 years old - Treatment

- **Neo-adjuvant chemotherapy**  
until October 2017
  - Imaging **after** neo-adjuvant chemotherapy:
    - MRI:** decreased enhancement of tumor, diameter 15 mm
    - <sup>18</sup>FDG-PET-CT:** residual uptake in breast tumor, lymph nodes
- No new lesions



# Mrs V, 61 years old - Treatment

- Neo-adjuvant chemotherapy until Oktober 2017
- **Breast-conserving surgery including targeted axillary dissection**  
Microscopy: no response, tumor diameter 1.5 cm  
1 lymph nodes: tumorpositive
- **Axillary lymph node dissection: 12/27 tumorpositive nodes**  
  
→ Breast cancer cT1N3b → ypT1cpN3

# Mrs V, 61 years old - Treatment

Breast cancer cT1N3b → ypT1cpN3

## **Post-operative treatment:**

- Locoregional radiotherapy

Breast + boost

Axilla level I I–IV (Level IV = supraclavicular region)

Internal mammary nodes + boost

# Mrs V, 61 years old - Treatment

Breast cancer cT1N3b → ypT1cpN3

Post-operative treatment:

- Locoregional radiotherapy – SIB and breath hold technique
  - Breast + boost 21x2.66 Gy → converted to 23 fractions: **23x2.57 Gy**
  - Axilla level II –IV: **23x2.03 Gy**
  - Internal mammary nodes + boost: **23x2.66 Gy**

# Mrs V, 61 years old - Treatment

Breast cancer cT1N3b → ypT1cpN3

Post-operative treatment:

- Locoregional radiotherapy – SIB and breath hold technique
  - Breast + boost: 23x2.57 Gy
  - Axilla level I –IV: 23x2.03 Gy
  - Internal mammary nodes + boost: 23x2.66 Gy
- Adjuvant endocrine therapy, biphosphonates

# Breast planning – session objectives

- Target volumes
  - Breast + **boost**: 21x2.66 Gy → converted to 23 fractions **23x2.57 Gy**
  - Axilla level II –IV: **23x2.03 Gy**
  - Internal mammary nodes + **boost**: **23x2.66 Gy**
- Dmean 99%-101%, V95% PTV's > 99%, D2cc <107%
- Techniques:
  - 3D CRT / Forward IMRT /
  - VMAT / IMRT /
  - Tomotherapy /
  - Hybrid technique

## Locoregional RT – Organs at risk

Organ at risk	Acute toxicity	Late toxicity	Dose constraint
Skin	radiation dermatitis	Teleangiectasia	ALARA*
(Contralateral) breast	oedema	tumor induction, teleangiectasia, fibrosis	ALARA* < 1 Gy if age ≤ 40 year < 5 Gy if age > 40 year
Heart	pericarditis	valvular dysfunction cardiomyopathy atherosclerosis	V10Gy < 5% V5Gy < 10% mean heart dose < 3 Gy (V25Gy < 10%)
Lungs	radiation pneumonitis	lung fibrosis	Mean lung dose < 7 Gy
Esophagus	radiation esophagitis	stenosis, fistula	ALARA* (Dmean < 45 Gy)
Spinal cord		myelopathy	Dmax 50 Gy ( $\alpha/\beta$ 2)
Brachial plexus		plexopathy (paralysis)	Dmax 66 Gy ( $\alpha/\beta$ 2)
Upper extremity (musculature)	Pain, limited mobility, oedema		ALARA*

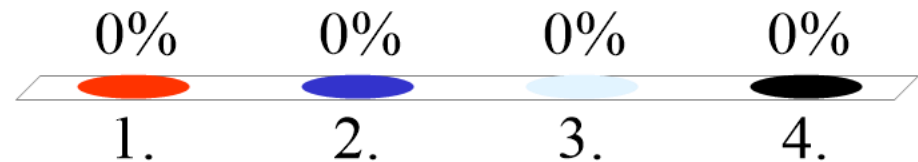
\*ALARA: As Low As Reasonably Achievable

# Which is the most important part PTV in this patient?

1. Breast
2. Tumor bed
3. Internal mammary lymph nodes
4. Axillary lymph nodes

[www.responseware.eu](http://www.responseware.eu)

ID: ATP18

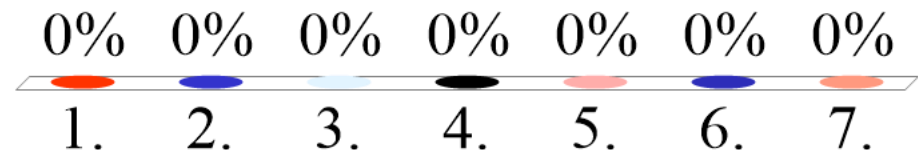


# Which is the most important normal tissue in this patient?

1. Brachial plexus
2. Chest wall
3. Contralateral breast
4. Heart
5. Ipsilateral breast
6. Left lung
7. Esophagus

[www.responseware.eu](http://www.responseware.eu)

ID: ATP18





# Planning – breast case

- VMAT
  - 1<sup>st</sup> arc: 0-270 degrees
  - 2<sup>nd</sup> arc: 270-180 degrees
  - 3<sup>rd</sup> arc: 180-90 degrees

# Planning – breast case

- VMAT
  - 1<sup>st</sup> arc 0-270 degrees
  - 2<sup>nd</sup> arc 270-180 degrees
  - 3<sup>rd</sup> arc: 180-90 degrees
- Breath-hold technique; small beams to optimize heart sparing
  - 14 breath holds (without treatment verification)

# Planning – breast case

- VMAT
  - 1<sup>st</sup> arc 0-270 degrees
  - 2<sup>nd</sup> arc 270-180 degrees
  - 3<sup>rd</sup> arc: 180-90 degrees
- Breath-hold technique; small beams to optimize heart sparing  
14 breath holds (without treatment verification)
- Adaptation of the PTV internal mammary lymph nodes (imn)  
→ PTV imn evaluation, i.e. PTV imn minus lung

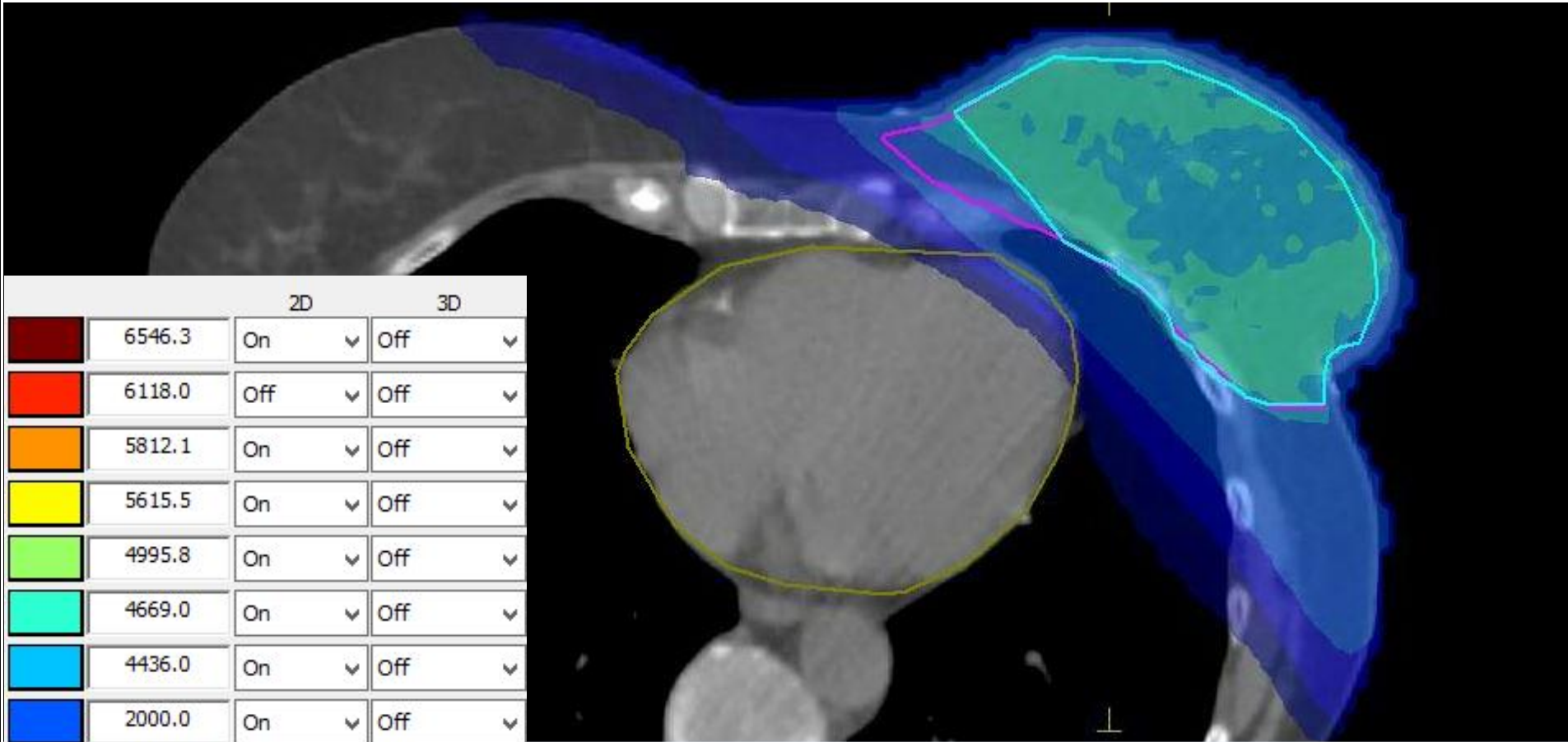
# Planning – breast case











- Autoflash 2.5 cm → contour changes (e.g. breast oedema)
- Robustness of the plan: shiftplan  
Isocenter was shifted (5 mm)

# Planning – breast case

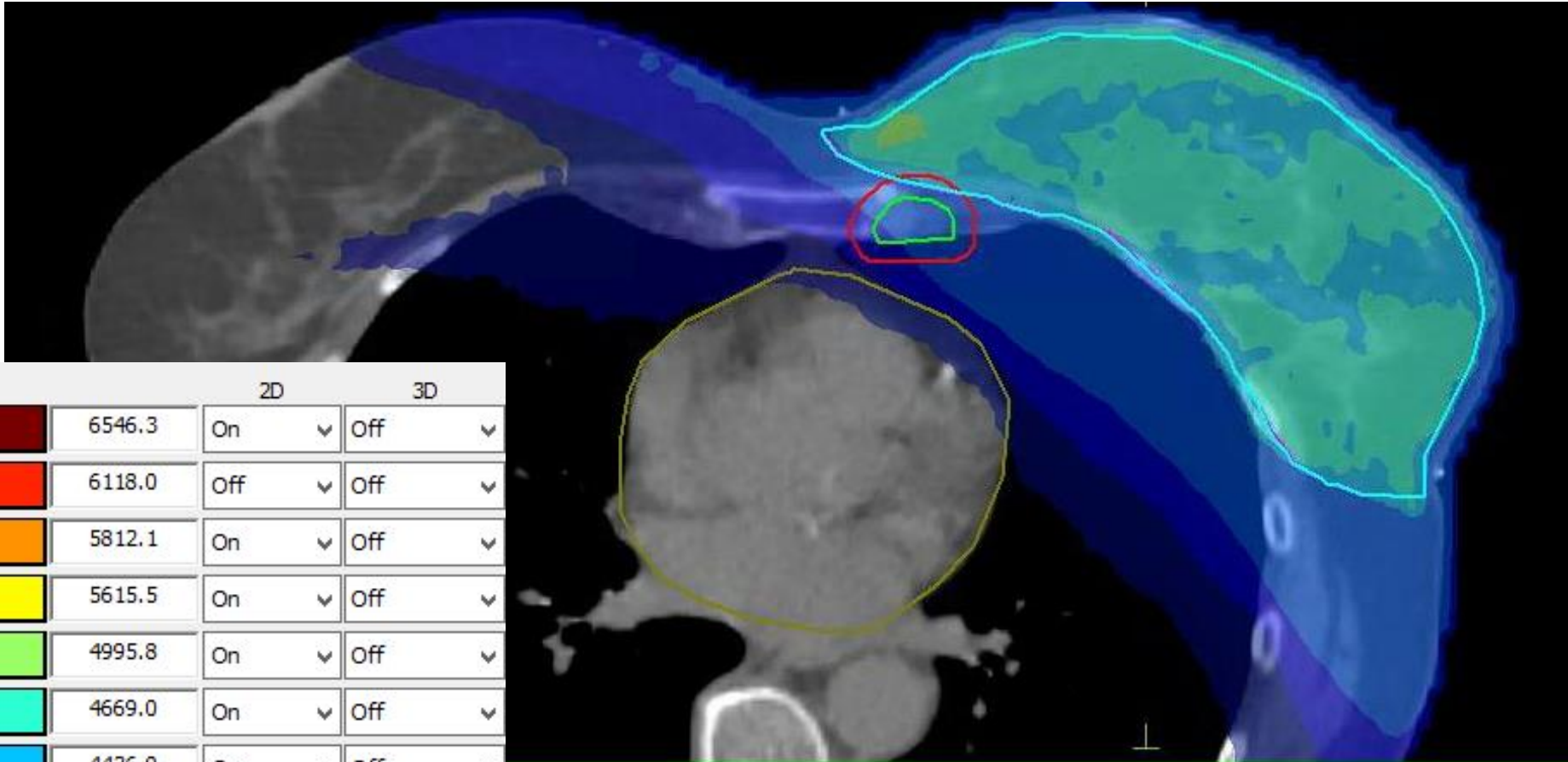
- First plan: heart dose was too high
- Compromise:
- ‘Elective fields’
  - internal mammary lymph nodes
  - caudal part of the breast











# Breast planning – cropped breast



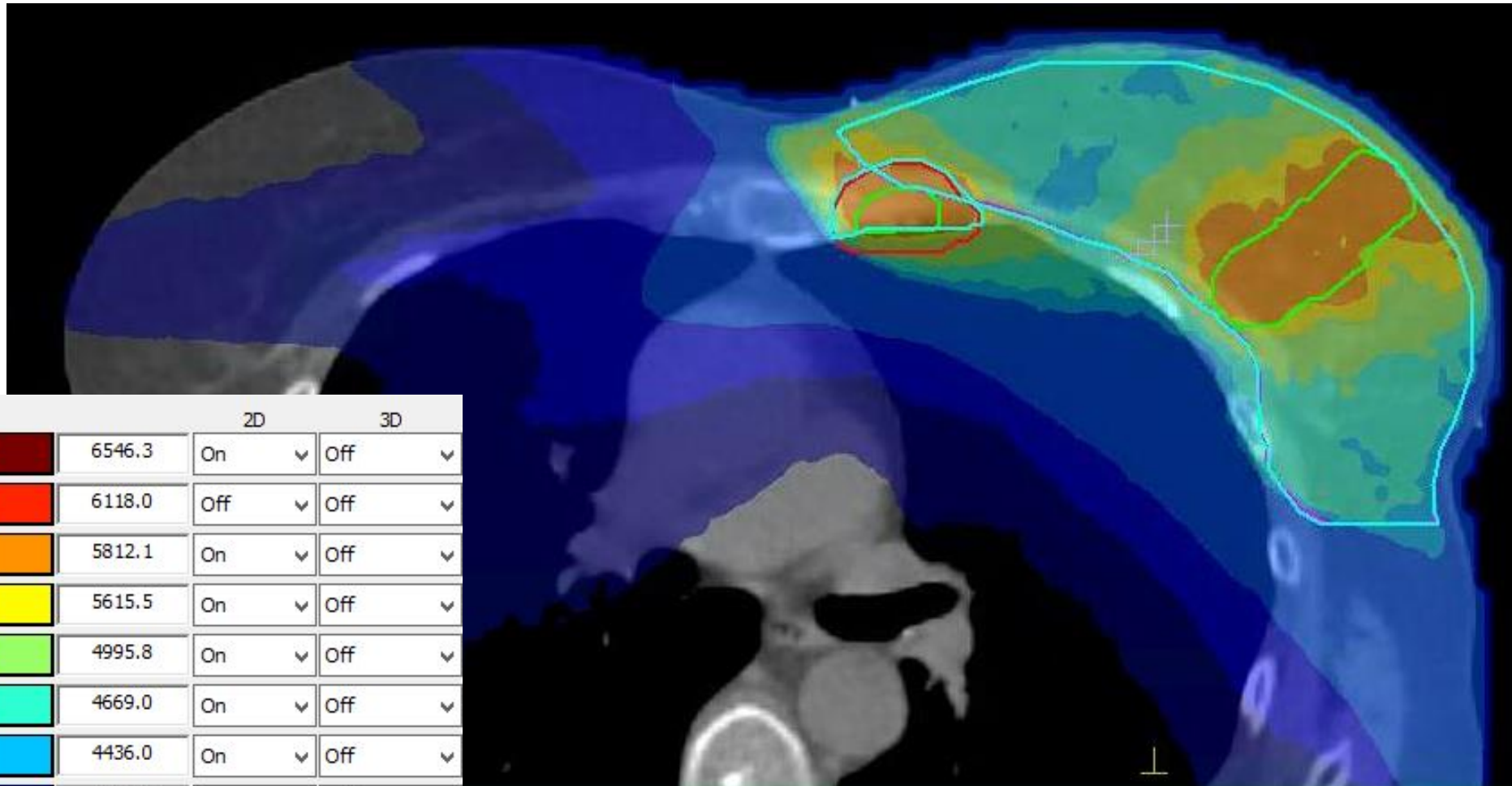
		2D		3D	
	6546.3	On	▼	Off	▼
	6118.0	Off	▼	Off	▼
	5812.1	On	▼	Off	▼
	5615.5	On	▼	Off	▼
	4995.8	On	▼	Off	▼
	4669.0	On	▼	Off	▼
	4436.0	On	▼	Off	▼
	2000.0	On	▼	Off	▼
	1000.0	On	▼	Off	▼
	500.0	On	▼	Off	▼











# Breast planning – cropped IMN



		2D		3D	
	6546.3	On	▼	Off	▼
	6118.0	Off	▼	Off	▼
	5812.1	On	▼	Off	▼
	5615.5	On	▼	Off	▼
	4995.8	On	▼	Off	▼
	4669.0	On	▼	Off	▼
	4436.0	On	▼	Off	▼
	2000.0	On	▼	Off	▼
	1000.0	On	▼	Off	▼
	500.0	On	▼	Off	▼

# Breast planning – cropped IMN (II)



		2D	3D
	6546.3	On <input type="checkbox"/>	Off <input type="checkbox"/>
	6118.0	Off <input type="checkbox"/>	Off <input type="checkbox"/>
	5812.1	On <input type="checkbox"/>	Off <input type="checkbox"/>
	5615.5	On <input type="checkbox"/>	Off <input type="checkbox"/>
	4995.8	On <input type="checkbox"/>	Off <input type="checkbox"/>
	4669.0	On <input type="checkbox"/>	Off <input type="checkbox"/>
	4436.0	On <input type="checkbox"/>	Off <input type="checkbox"/>
	2000.0	On <input type="checkbox"/>	Off <input type="checkbox"/>
	1000.0	On <input type="checkbox"/>	Off <input type="checkbox"/>
	500.0	On <input type="checkbox"/>	Off <input type="checkbox"/>

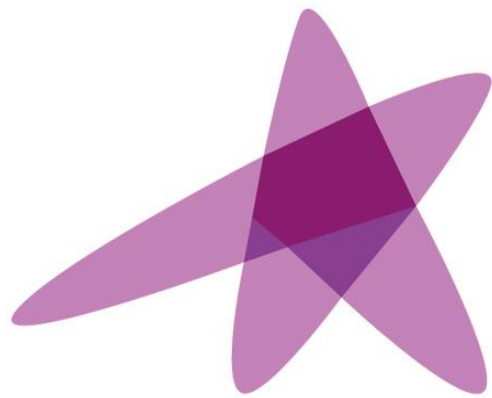


# Happy Planning!



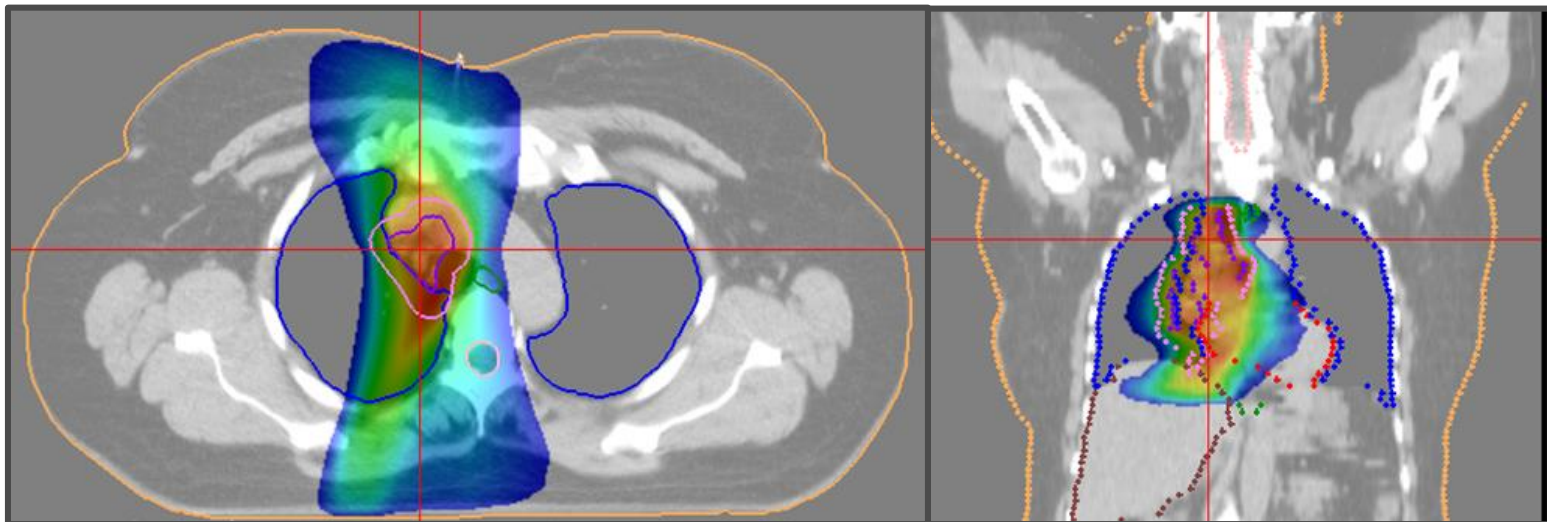
# Treatment delivery and verification – breast case

- 1,050 MU  
delivery time 164 seconds



# ESTRO

*School*

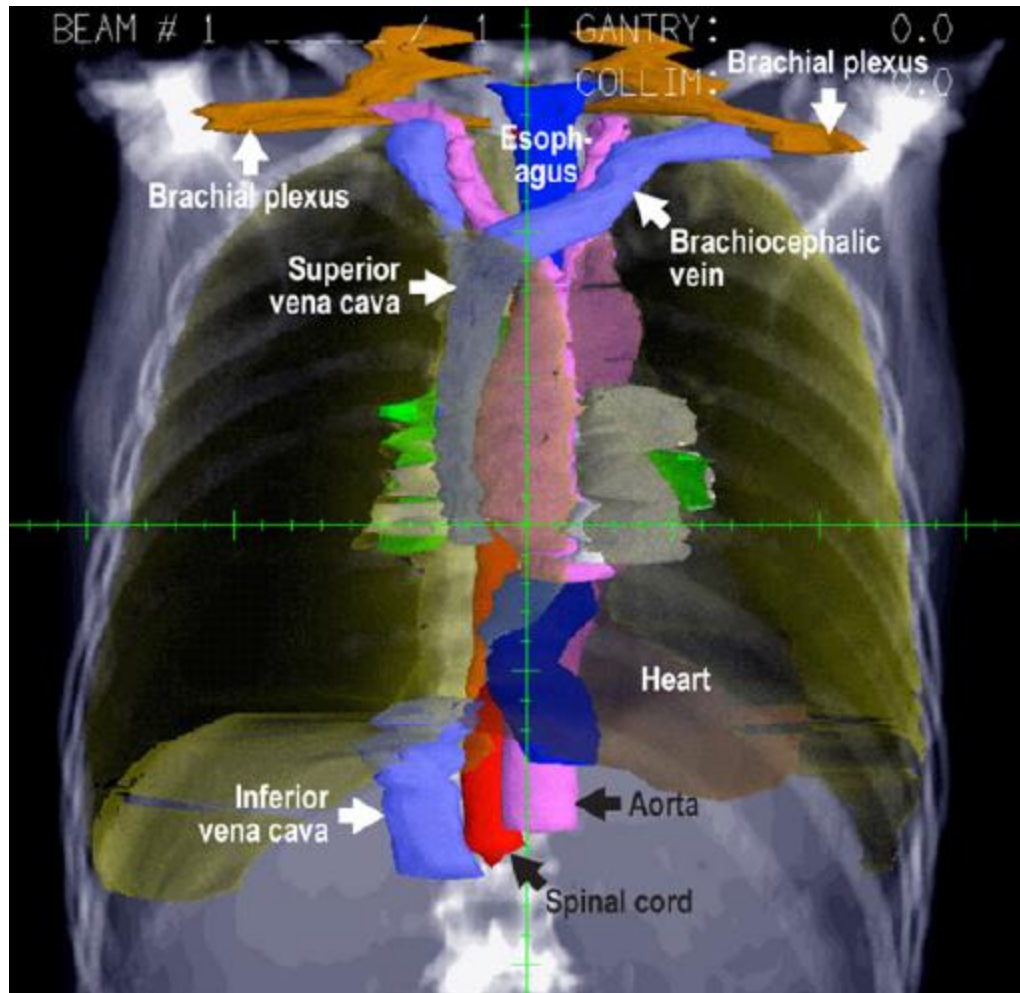


## Relationships between 3D dose distributions and clinical toxicities - Chest

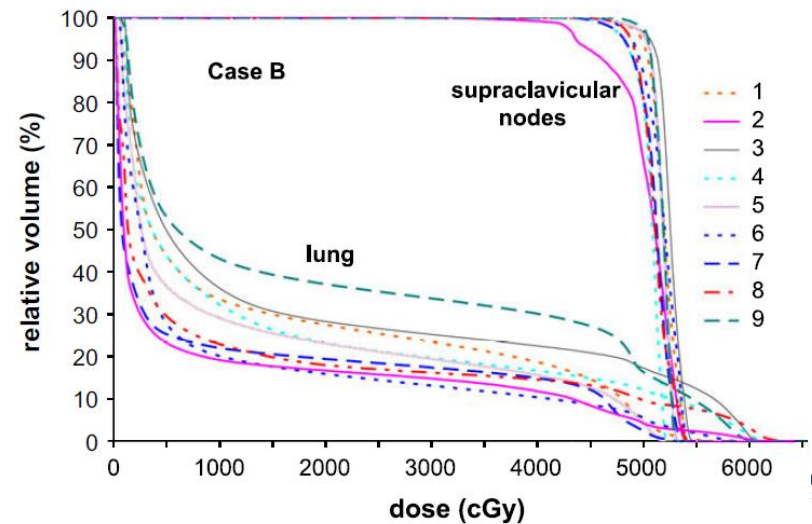
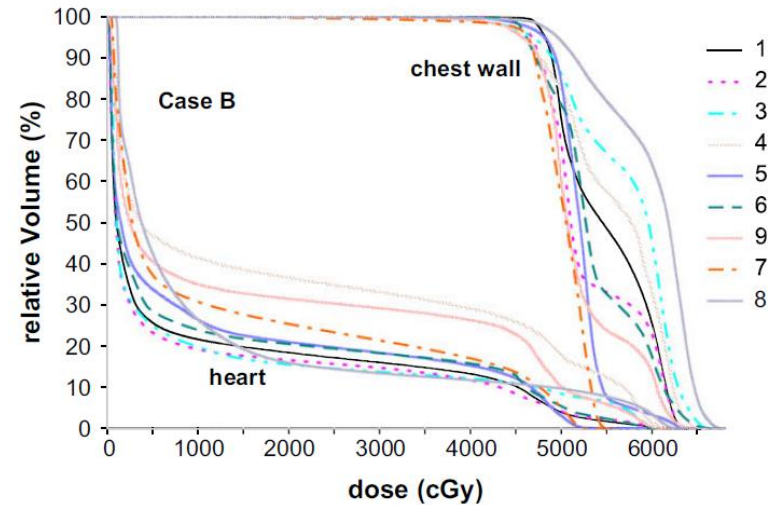
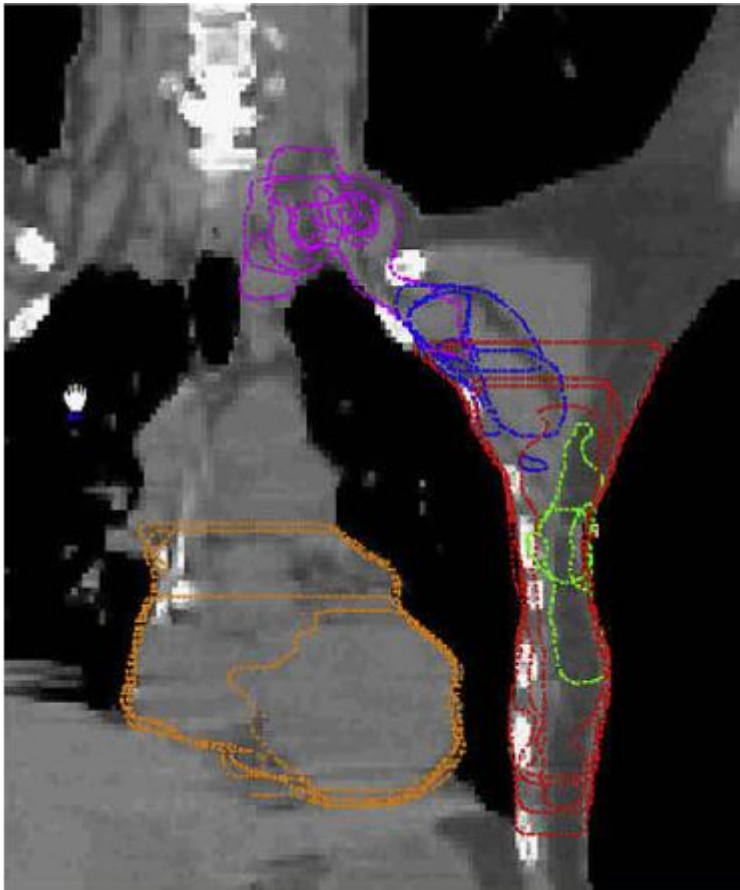
Prof. Dr. med Ursula Nestle

KMH Mönchengladbach  
and UK Freiburg, Germany

# Normal tissues in the chest



# IOV in NT contouring: impact on dose calculation and plan optimisation



# Dose limits for normal tissues in the chest

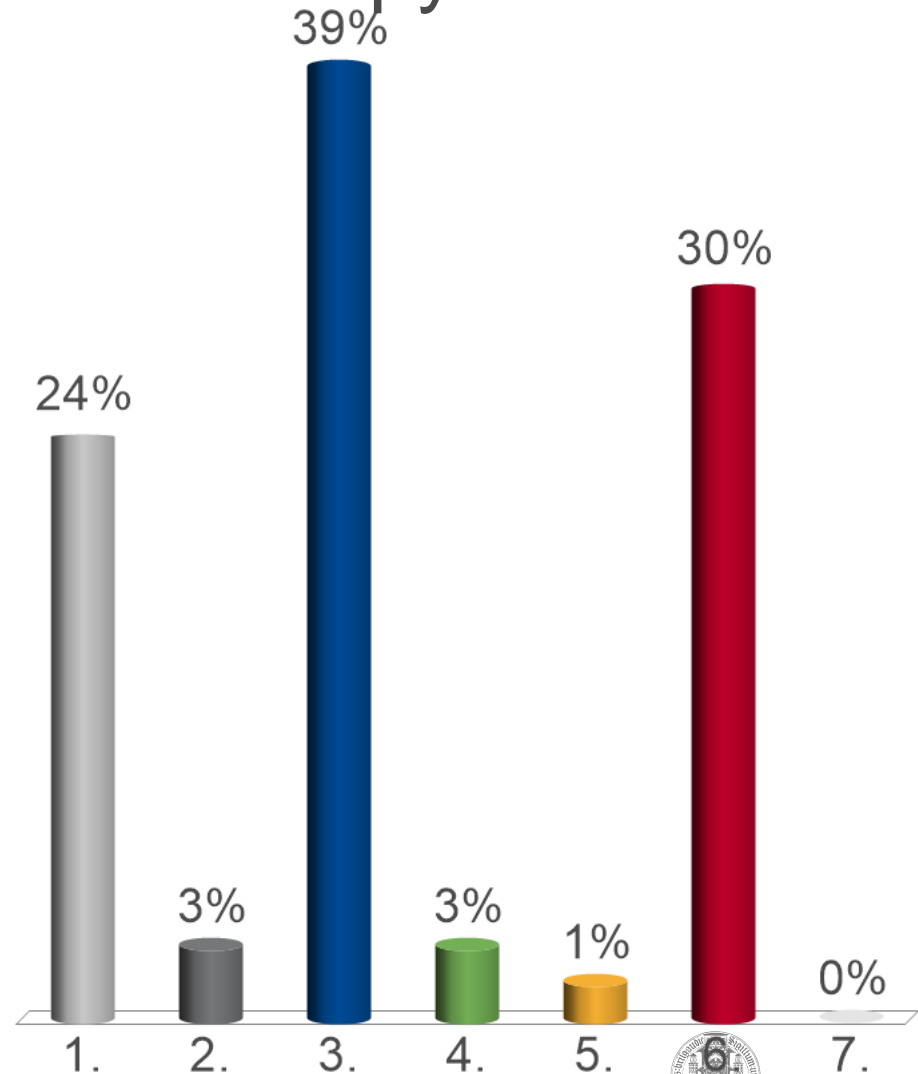
Table 1. Dosimetric limits for thoracic organs at risk

Dose limits for OARs	3D-CRT (RTOG 0617)	3D-CRT (RTOG 0972/CALGB 36050)	SBRT (RTOG 0618, 3 fx)	SBRT (ROSEL European trial, 3 or 5 fx)
Spinal cord (point dose)	Point dose $\leq 50.5$ Gy	Any portion $\leq 50$ Gy	$\leq 18$ Gy (6 Gy/fx)	18 Gy (3 fx) 25 Gy (5fx)
Lung	Mean lung dose $\leq 20$ Gy, $V_{20} \leq 37\%$	$V_{20} \leq 35\%$	$V_{20} \leq 10\%^*$	$V_{20} < 5-10\%^{\dagger}$
Esophagus	Mean dose $\leq 34$ Gy	Not limited	$\leq 27$ Gy (9 Gy/fx)	24 Gy (3 fx) 27 Gy (5 fx)
Brachial plexus (point dose)	$\leq 66$ Gy	Not limited	$\leq 24$ Gy (8 Gy/fx)	24 Gy (3 fx) 27 Gy (5 fx)
Heart <sup>‡</sup>	$\leq 60, \leq 45, \leq 40$ Gy for 1/3, 2/3, 3/3 of heart	$\leq 60, \leq 45, \leq 40$ Gy for 1/3, 2/3, 3/3 of heart	$\leq 30$ Gy (10 Gy/fx)	24 Gy (3 fx) 27 Gy (5 fx)
Trachea, bronchus	Not limited	Not limited	$\leq 30$ Gy (10 Gy/fx)	30 Gy (3 fx) 32 Gy (5 fx)
Ribs	Not limited	Not limited	Not limited <sup>§</sup>	Not limited
Skin	Not limited	Not limited	$\leq 24$ Gy (8 Gy/fx)	Not limited

Kong, IJROBP 2011; 81(5); 1442-57

# Q1: What do you consider the most critical normal tissue for chest radiotherapy?

1. lung
2. esophagus
3. spinal cord
4. brachial plexus
5. thoracic wall
6. heart
7. central bronchi





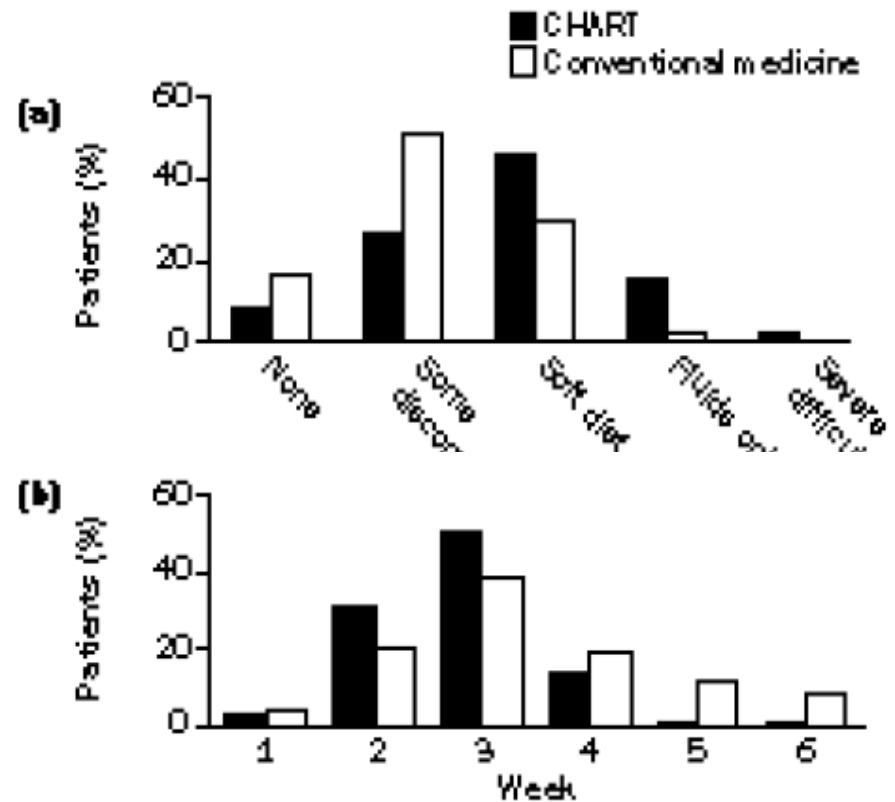
# Esophagus: acute reactions

Acute esophagitis  
from ca. 30 Gy/2 Gy  
ca. 3%/ 60 Gy fluid only

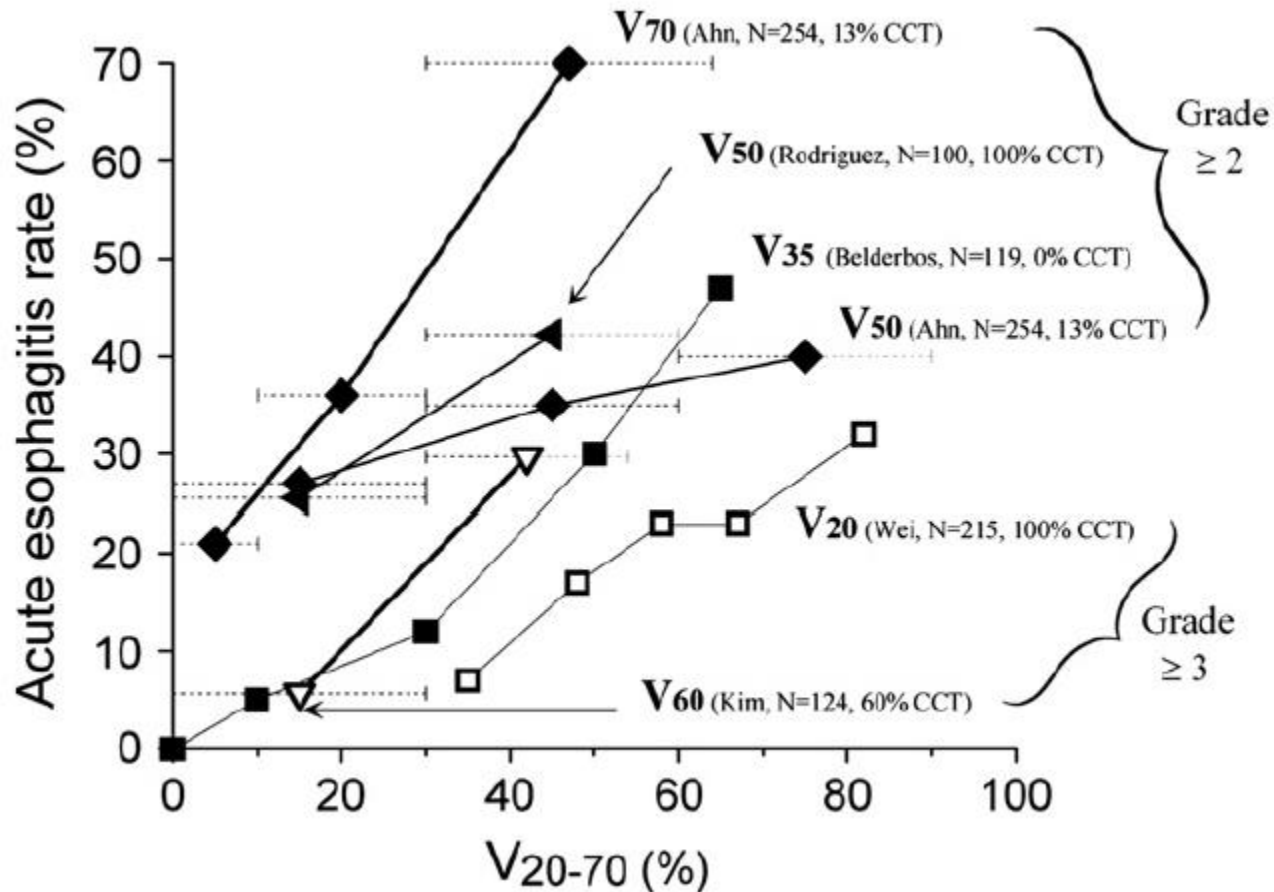
Influencing factors:

- Dose
- Fractionation
- Chemotherapy

Therapy:  
symptomatic



# Acute esophagitis: dose/volume effects



# Esophagus: late reactions

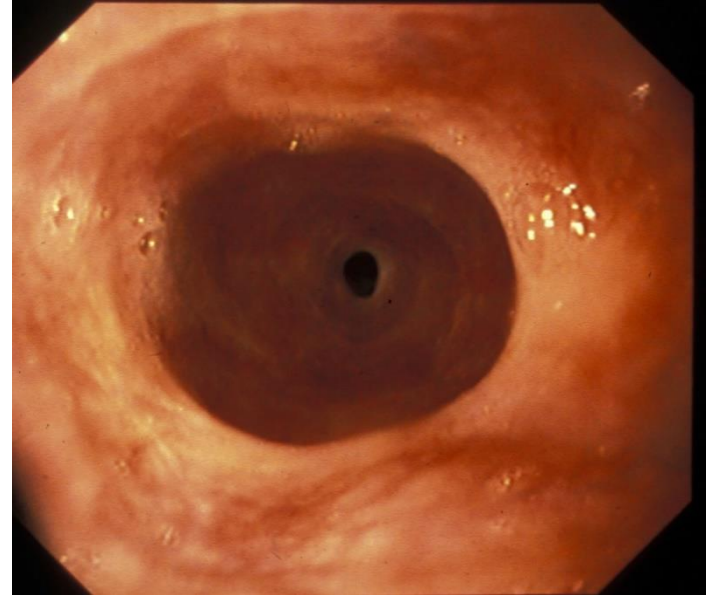
Fibrosis

Stricture < 2% < 60 Gy

Influence factors:

- Dose
- Fractionation
- Volume

Therapy:  
symptomatic



Thanks to M. Baumann

Grade 1 radiation-induced esophagitis was observed 1 week after the start of IGRT in 1 patient with metastatic lung cancer who received 48 Gy/8 Fr to the 3.5-cm tumor located posterior to the right main bronchus. The pain resulting from acute radiation esophagitis was relieved at 1 month after IGRT ended. However, this patient suffered from swallowing pain again 3 months after IGRT ended and died as a result of bleeding from an esophageal ulcer 5 months after IGRT ended.

Onimaru IJROBP 2003

# Esophagus: planning constraints

## conventional fractionation

RTOG 0117:

- V55 < 30%; mean dose < 34Gy

QUANTEC (Werner-Wasik 2010):

- esophagus dose should not exceed prescription dose
- mean dose < 34 Gy
- max dose up to 74 Gy/ 2Gy + CHT

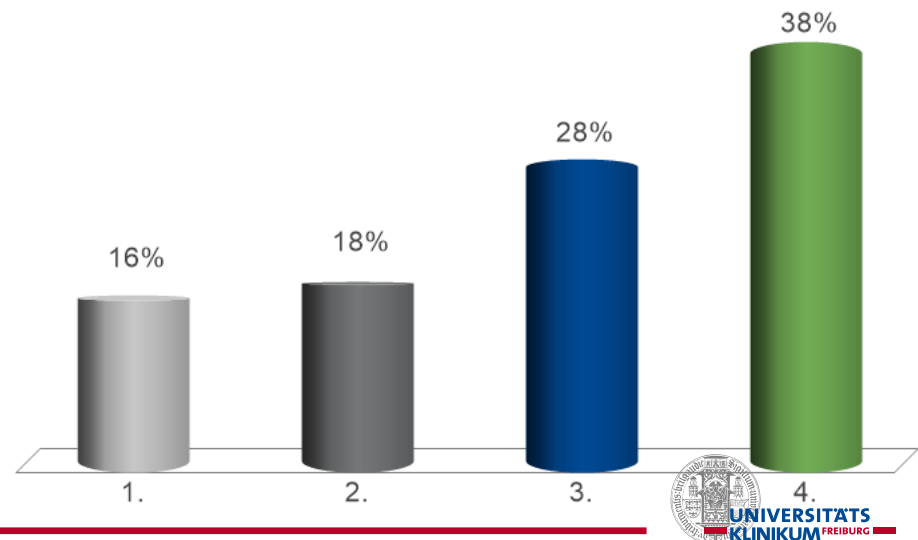
## SBRT

Rosel-trial:

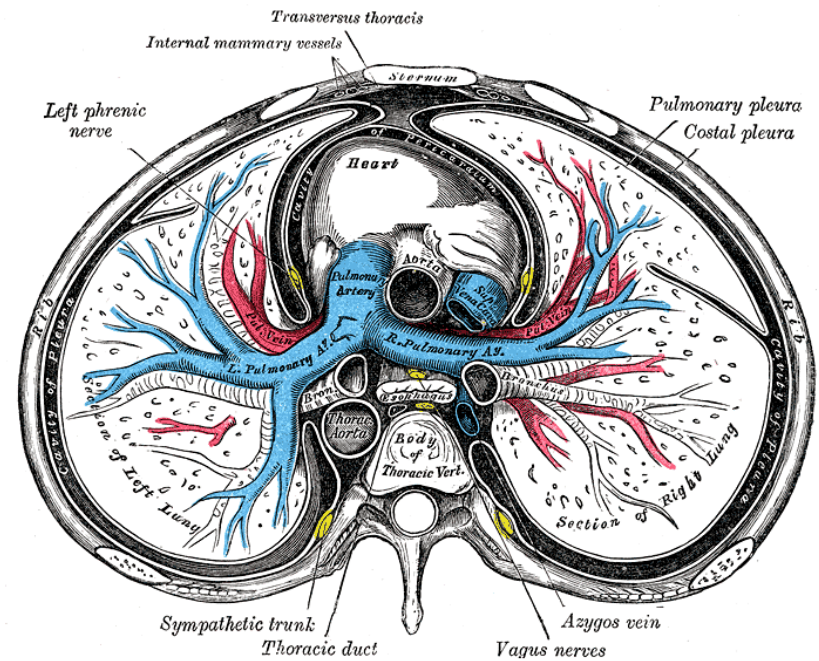
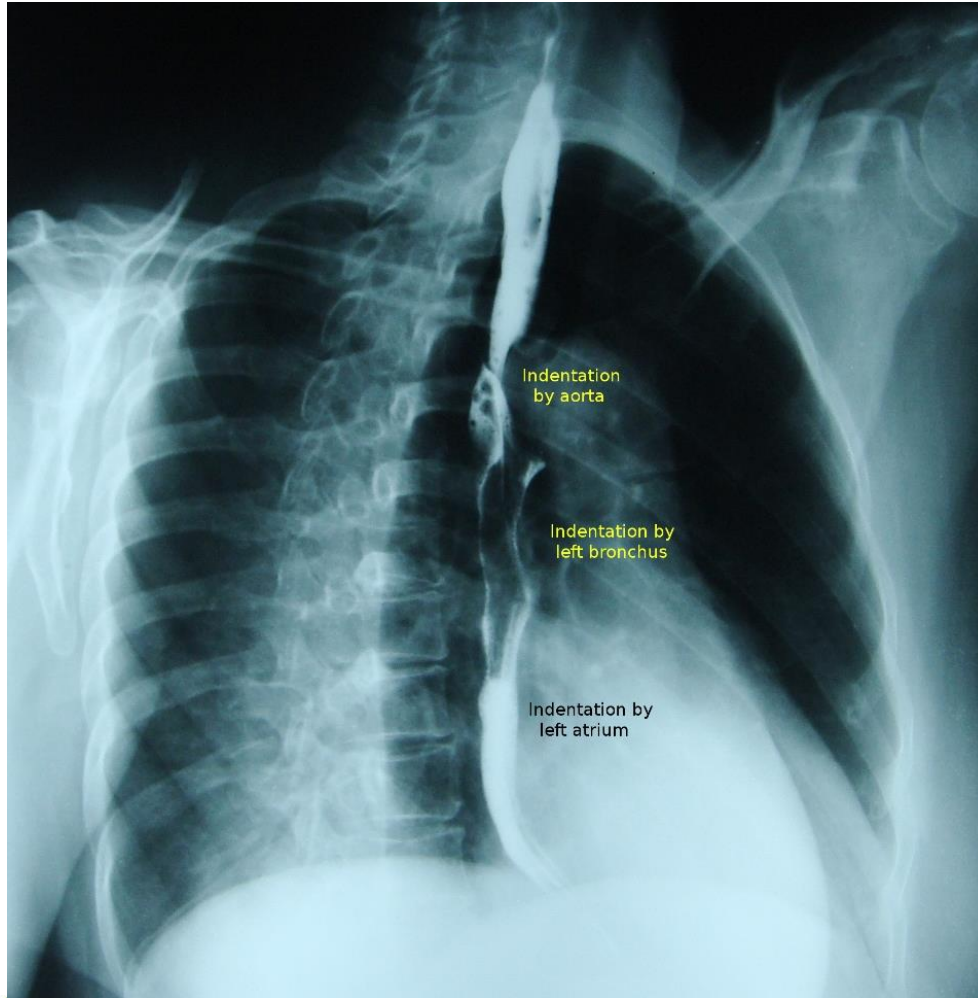
maximum dose: 24Gy/3fr or 27Gy/5fr

# Q2: What about contouring the esophagus? In our department,

1. I am contouring ☹️, it is easy 😊
2. I am contouring ☹️, it is a challenge ☹️
3. Others are contouring 😊, it is easy ☹️
4. Others are contouring 😊, it is a challenge 😊



# Esophagus: anatomy



Wikipedia

# Esophagus: contouring

- contour whole organ including its filling from cricoid cartilage to gastroesophageal junction

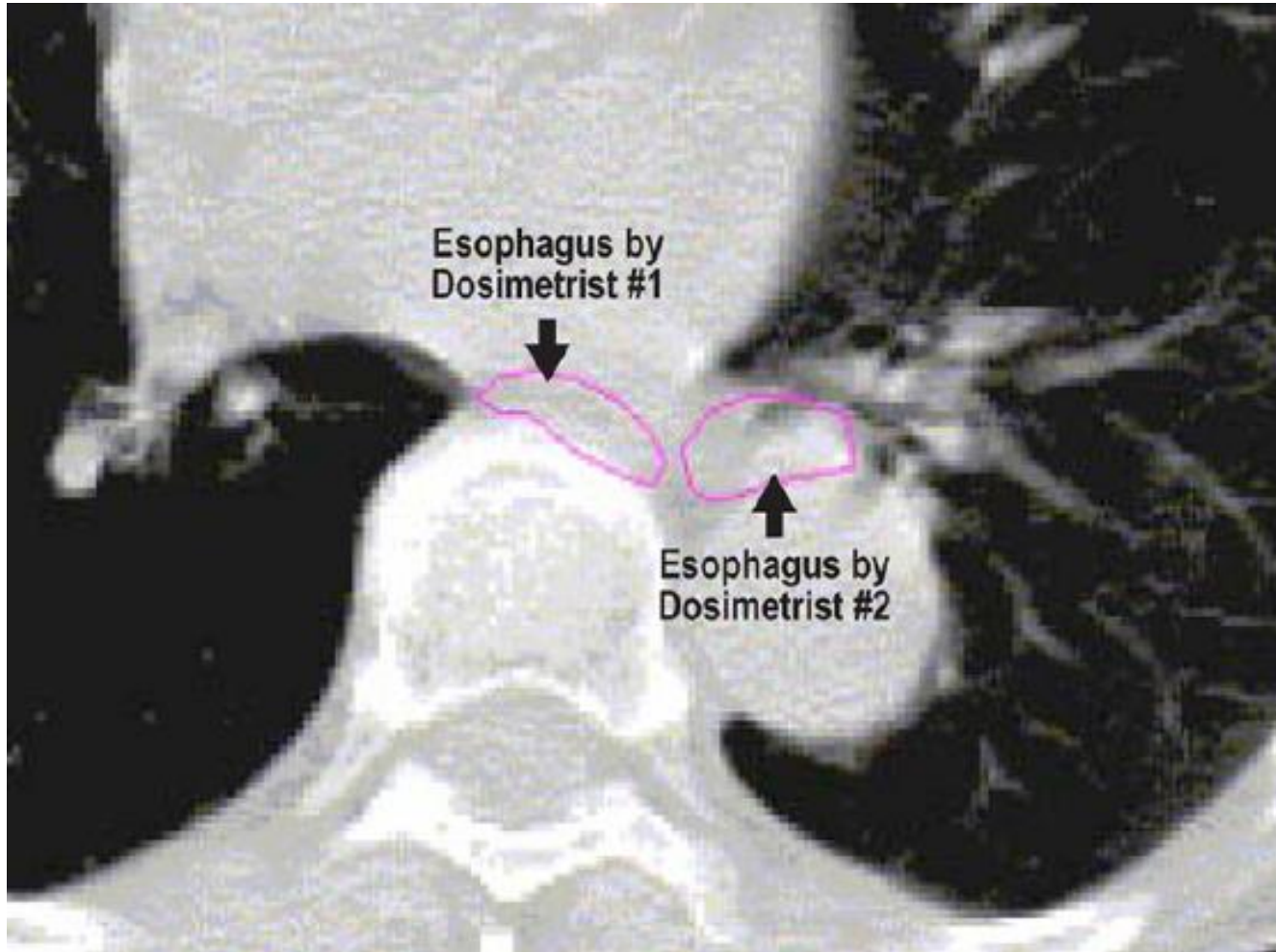
Challenges:

may be difficult to find (search for air)

varying filling

often collapsed (barium swallow or interpolation may help)

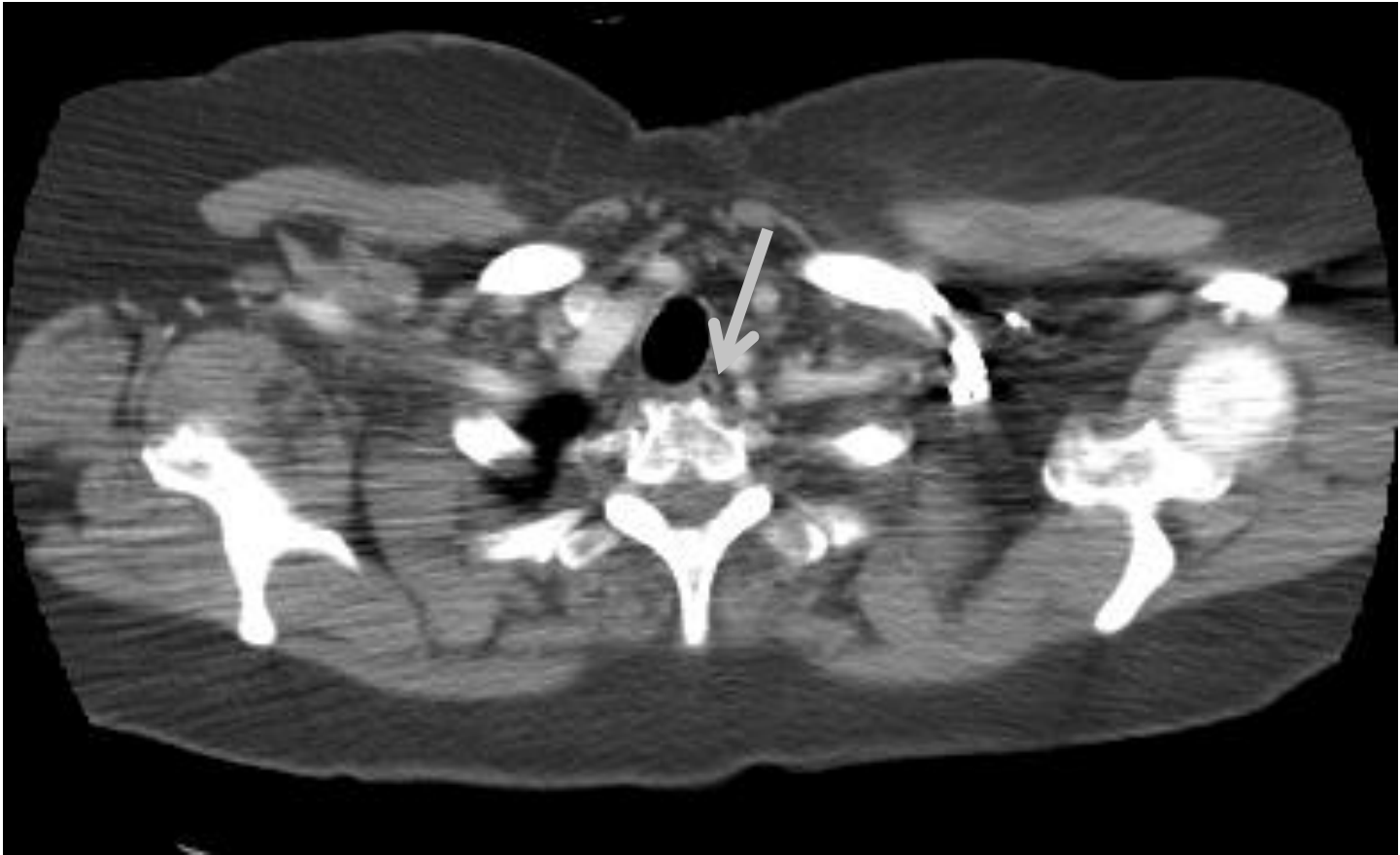
# Esophagus: geographic miss



Collier 2003 JACMP 4; 17-24



# Find the esophagus



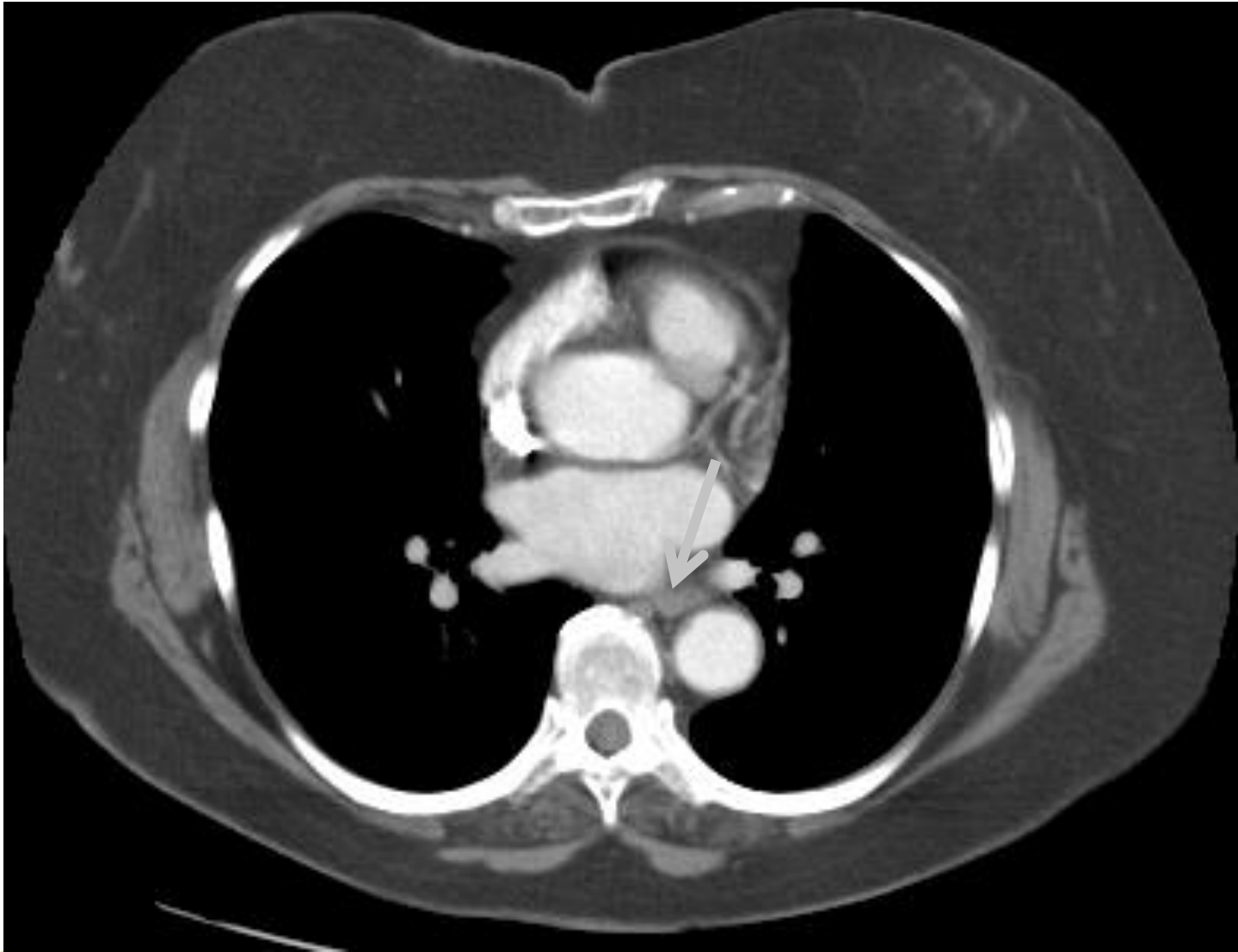
# Find the esophagus



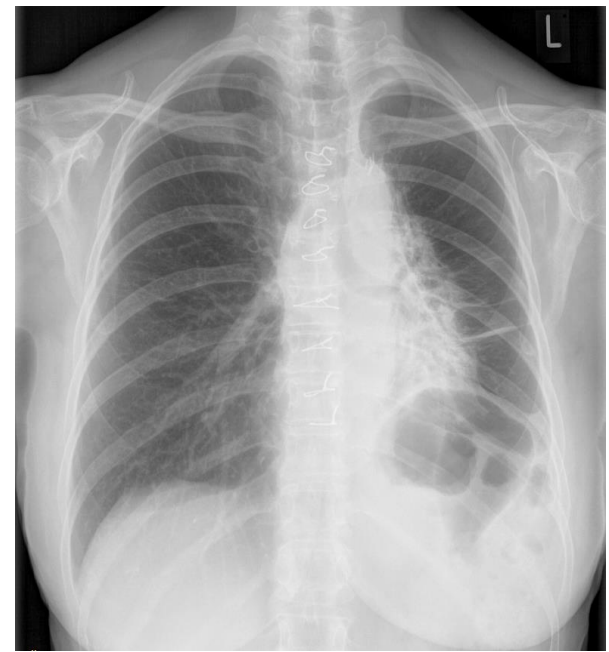
# Find the esophagus



# Find the esophagus

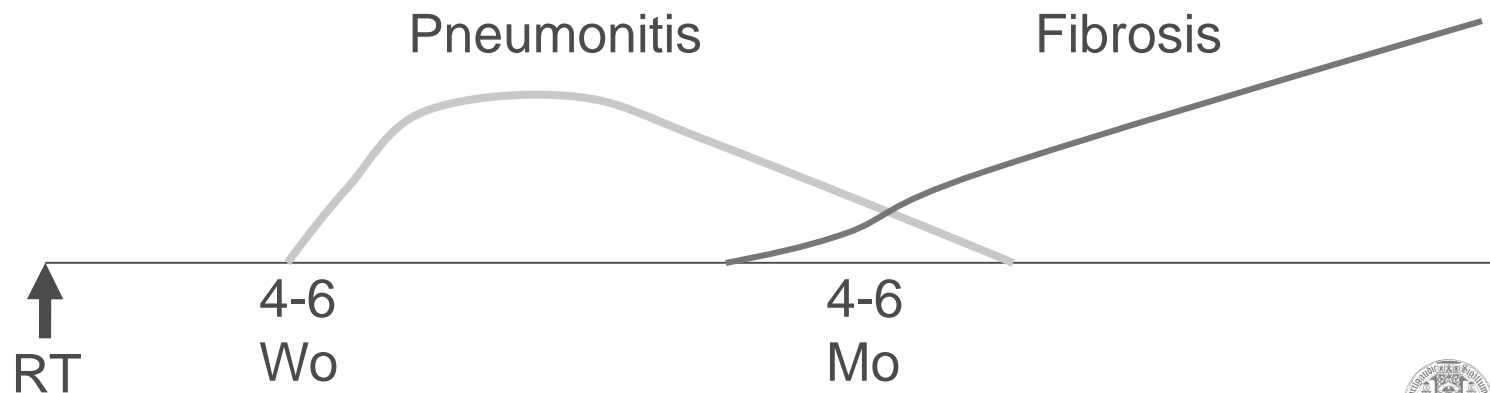


# Lung (RILD)



1. acute radiogenous Pneumonitis  
(cough, fever, dyspnea)  
Treatment: Corticoids

2. focal radiogenous fibrosis  
symptoms depending on volume involved  
treatment: none  
prophylaxis: treatment planning



# RILD: influence factors

Total dose: clear dose-response relation; tolerance < 25 Gy/2 Gy  
clear fractionation effect

Influence factors: old age, smoking, chemotherapy

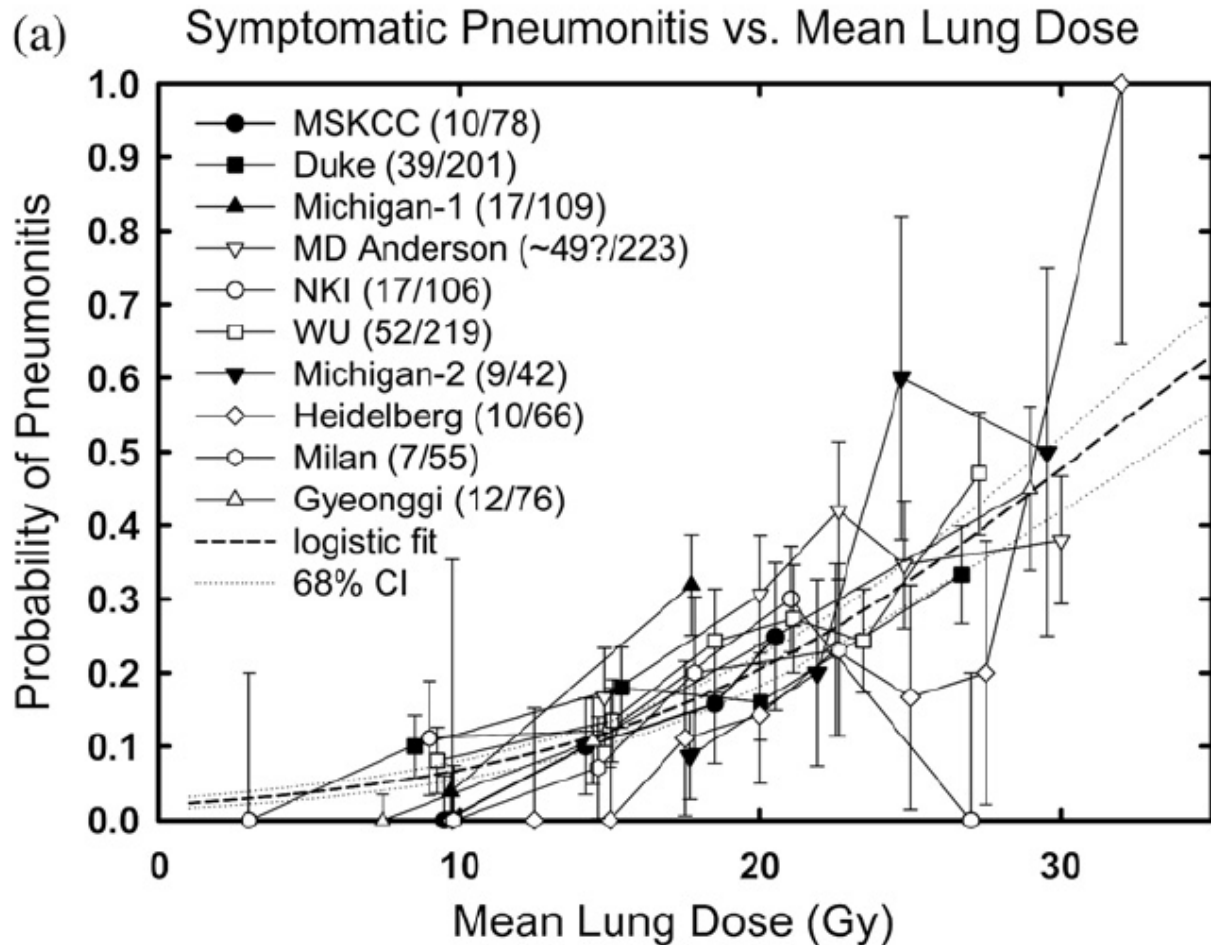
Graham et al. IJROBP1999:

$V_{20}$  single best predictor of acute pneumonitis (cave: 3D-CRT)

Table 6. Correlation between  $V_{20}$  and severity of pneumonitis

$V_{20}$ (%)	Grade 2 (%)	Grade 3–5 (%)
<22	0	0
22–31	8	8
32–40	13	5 (1 fatal)
>40	19	23 (3 fatal)

# RILD: correlation between MLD and probability of symptomatic pneumonitis



# Lung: planning constraints I

Conventional RT

V20:

- < 30% (RTOG 0117)
- < 35% (PET-Plan; Convert)
- < 31% (LungART, after lobectomy)
- < 22% (LungART, after pneumonecomy)

mean lung dose

- < 20 Gy (PET-Plan)
- to be recorded (Convert, LungART)

QUANTEC:

Despite these caveats, it is prudent to limit V20 to  $\leq 30\text{--}35\%$  and MLD to  $\leq 20\text{--}23$  Gy (with conventional fractionation) if one wants to limit the risk of RP to  $\leq 20\%$  in definitively treated patients with non-small-cell lung cancer.



# Lung: what about low doses?

Shi et al. *Radiation Oncology* 2010, 5:35  
<http://www.ro-journal.com/content/5/1/35>



## RESEARCH

## Open Access

Analysis of clinical and dosimetric factors associated with severe acute radiation pneumonitis in patients with locally advanced non-small cell lung cancer treated with concurrent chemotherapy and intensity-modulated radiotherapy

94 pts, LANSCLC  
RCT + IMRT  
CTC 3.0

Anhui Shi, Guangying Zhu\*, Hao Wu, Rong Yu, Fuhai Li and Bo Xu

**Table 4: Observed rates of SARP as a function of dosimetric parameters (NTCP/V10)**

Varibale	Median(Range)	Group	No. of patients	No. of RP	p value*
NTCP	2.33%	≤4.20%	71	1(1.4%)	0.001
	(0.51-9.68%)	>4.20%	23	10(43.5%)	
V10	42.16%	≤50%	70	4(5.7%)	0.005
	(9.91-83.34%)	>50%	24	7(29.2%)	

*Abbreviation:* NTCP = normal tissue complication probability; SARP = severe acute radiation pneumonitis; \* Multivariate logistic regression analysis.

**Conclusions:** NTCP value and V10 are the useful indicators for predicting SARP in NSCLC patients treated with concurrent chemotherapy and IMRT.

# Lung: what about low doses?

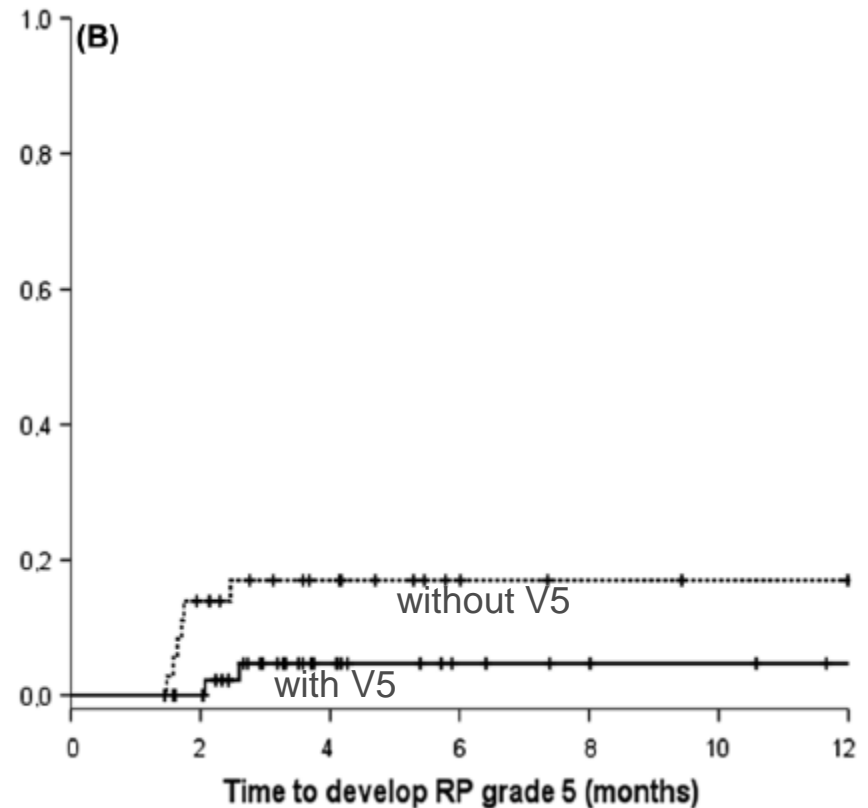
Khalil et al. Acta Oncol 2015: IMRT, LANSCLC, 87 cases

phase I (n=12)  
only V20 < 40%

phase II (n=25)  
V20 < 40%  
and MLD ≤ 20 Gy.

phase III (n=50)  
V20 < 40%  
and MLD ≤ 20 Gy  
and V5 ≤ 60%

In conclusion, introducing IMRT combined with chemotherapy for the treatment of NSCLC resulted in higher incidence of RP grade 3 or more in comparison to 3D-CRT. Prospectively monitoring patients and introduction of new dose constraints, especially for volume receiving low doses could reduce the incidence of lethal RP in patients treated with IMRT.



# Lung: planning constraints II

SBRT (RTOG 0813)

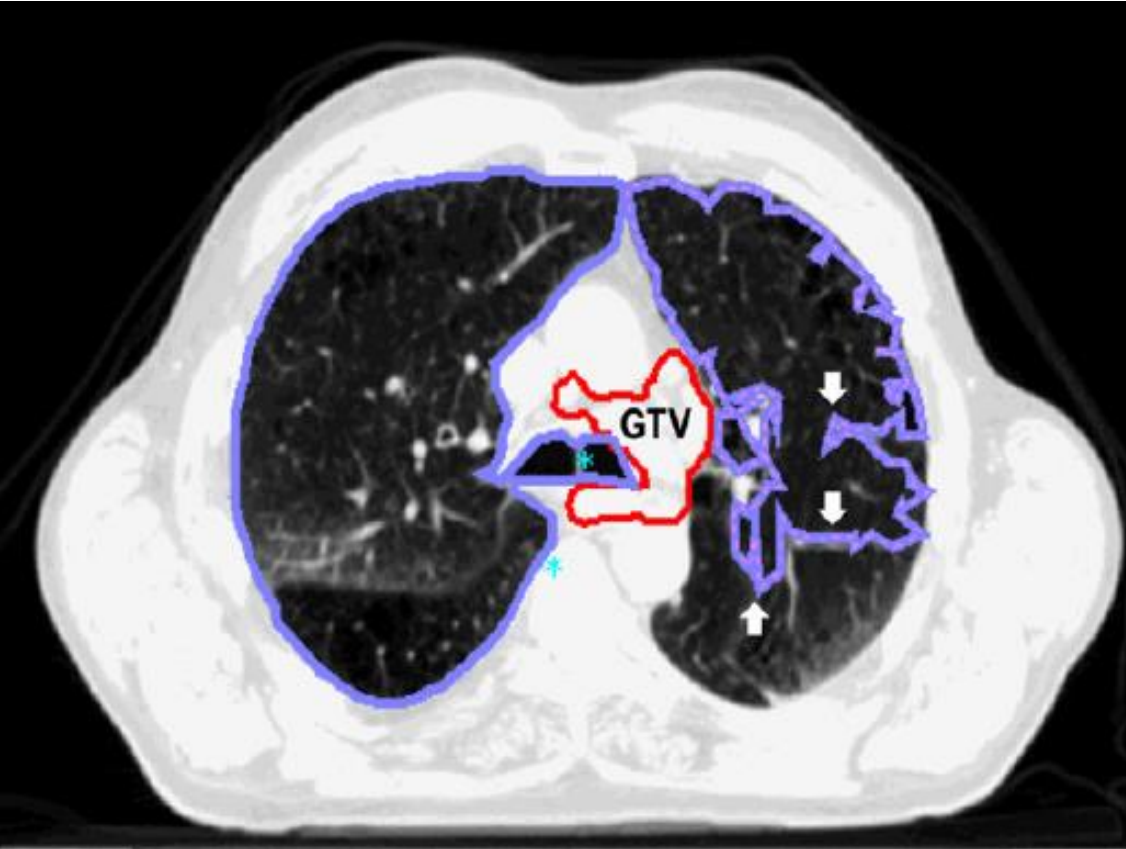
Lung (Right & Left)	1500 cc	12.5 Gy (2.5 Gy/fx)		Basic Lung Function
Lung (Right & Left)	1000 cc	13.5 Gy (2.7 Gy/fx)		Pneumonitis

... if any !

# Lung: contouring

Check complete volume  
after automatic  
contouring!

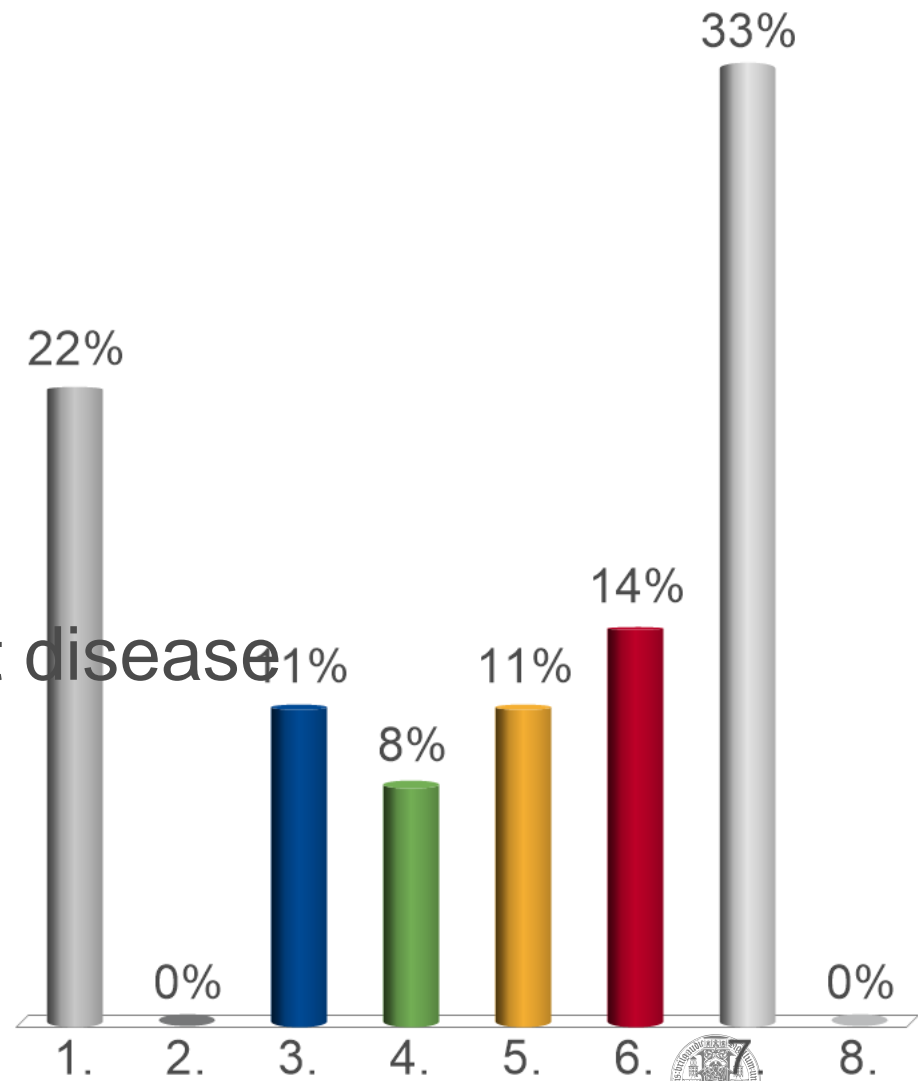
exclude bronchi, bullae,  
non-lung air



Kong, IJROBP 2011; 81(5); 1442-57

Q3: have you / your department ever seen clinical cases with any of those radiation induced late effects:

1. Severe lung injury
2. Paraparesis
3. Brachial plexus paresis
4. Osteoradionecrosis
5. Radiation induced heart disease
6. Bronchial necrosis
7. Several of the above
8. All of the above



# Spinal cord

Late effect: Myelitis

Incidence:

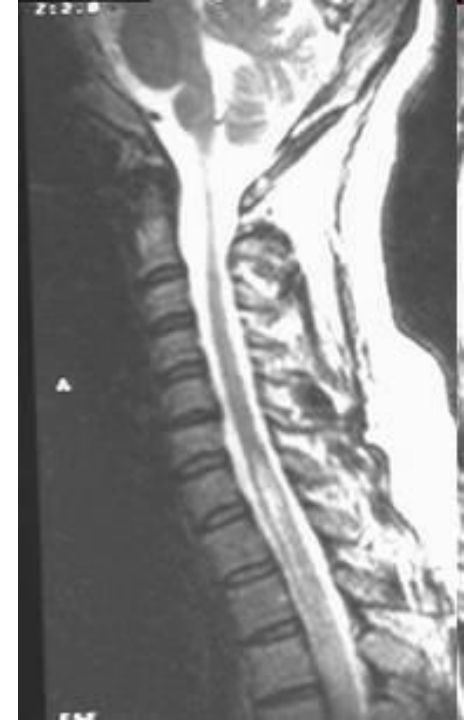
1% @ 2 years after 50-55 Gy/2

Influence factors

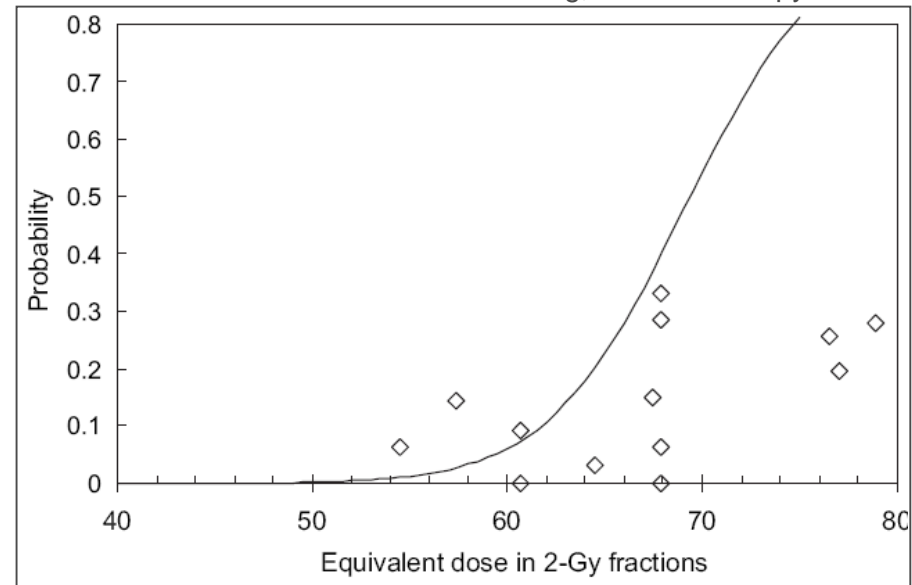
- Dose
- Fractionation
- Volume

Therapy: symptomatic

Prophylaxis: RT-Planning



Tersteeg, Cancer Therapy 2004



# Spinal cord: planning constraints

conventional RT

maximum dose

$\leq 45$  Gy (RTOG 0117, LungART)

$\leq 48$  Gy (Convert, PET-Plan)

SBRT

maximum dose

18 Gy / 3 fr or 25 Gy / 5 fr (ROSEL)

30 Gy / 5 fr  $< 0.25$  cc (RTOG 0813)

QUANTEC:

With conventional fractionation of 2 Gy per day including the full cord cross-section, a total dose of 50 Gy, 60 Gy, and  $\sim 69$  Gy are associated with a 0.2, 6, and 50% rate of myelopathy.

# Spinal cord: contouring

For the purpose of treating lung tumors, we would recommend that the spinal cord be contoured according to the bony limits of the spinal canal. The contour of the spinal cord can start at the same cranial level as the esophagus to the bottom of L2, or the level at which the cord ends.

Kong, IJROBP 2011; 81(5); 1442-57



# Heart

Table 1. Endpoints related to radiation-induced heart disease

---

## Regional endpoints

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Subclinical	Localized imaging abnormality ( <i>e.g.</i> , perfusion defect or regional wall motion abnormality) Myocardial fibrosis
Clinical	Coronary artery disease Myocardial infarction Valvular disease

---

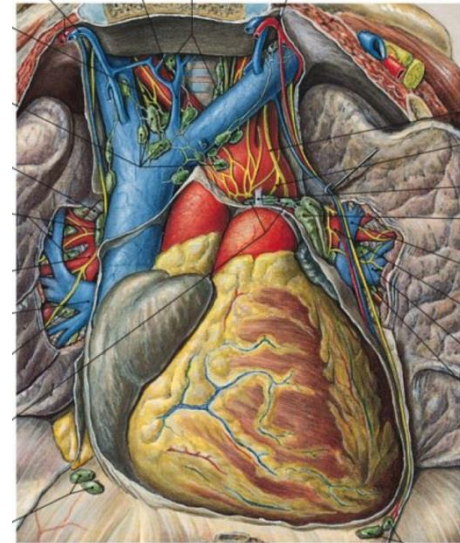
## Global endpoints

---

Global imaging abnormality (*e.g.*, diffuse hypocontractility)  
Asymptomatic decline in ejection fraction

Congestive heart failure  
Pericarditis/pericardial effusion  
Arrhythmia  
Autonomic dysfunction (monotonous heart beat responding to changes in hemodynamic requirements)

---



OAR: whole myocardium,  
coronary arteries,  
Pericardium...

# Heart

Quantec:

„old“ tolerance dose  
for clinically relevant endpoints  
40 Gy/ 2 Gy ?

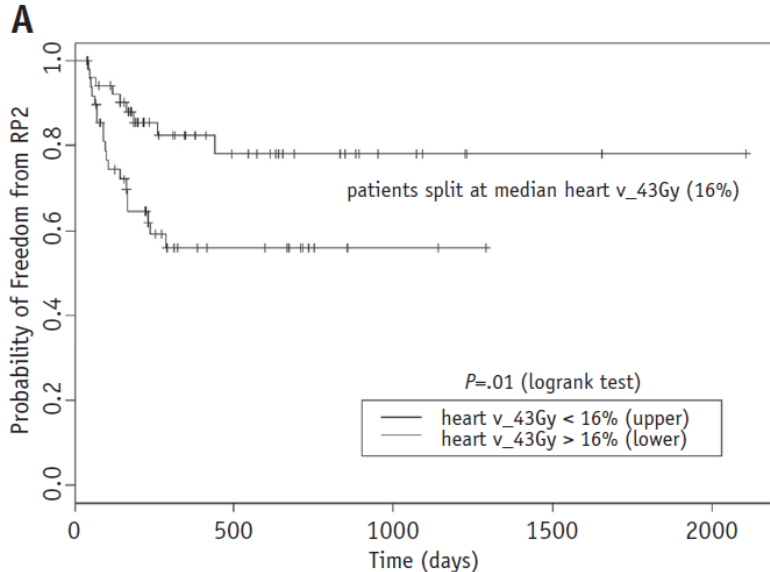
Darby (breast cancer patients):  
no threshold  
7%/Gy increased risk

AB survivors registry:  
increasing risk for CAD from mSv doses

Treatment: symptomatic



# Heart: confusing news



## Clinical Investigation

### Heart Dosimetry is Correlated With Risk of Radiation Pneumonitis After Lung-Sparing Hemithoracic Pleural Intensity Modulated Radiation Therapy for Malignant Pleural Mesothelioma

Ellen D. Yorke, PhD,\* Andrew Jackson, PhD,\* Li Cheng Kuo, MS,\*  
 Anthonia Ojo, BS,† Kelly Panchoo, BA,† Prasad Adusumilli, MD,‡  
 Marjorie G. Zauderer, MD,§ Valerie W. Rusch, MD,‡  
 Annemarie Shepherd, MD,† and Andreas Rimner, MD†

Departments of \*Medical Physics, †Radiation Oncology, ‡Surgery, and §Medicine, Memorial Sloan Kettering Cancer Center, New York, New York

**Conclusions:** Heart dose correlated strongly with symptomatic RP in this large cohort of MPM patients with 2 lungs treated with IMPRINT. Planning constraints to reduce future heart doses are suggested. <sup>1</sup> Int J Radiation Oncol Biol Phys, Vol. 99, No. 1, pp. 61–69, 2017

## Radiation-induced heart disease in lung cancer radiotherapy

### A dosimetric update

Xin Ming, MS<sup>a</sup>, Yuanming Feng, PhD<sup>a,b</sup>, Jun Deng, PhD<sup>c</sup>.

Medicine (2016) 95:41(e5051)

**Table 1**

The reported cardiac toxicity after radiotherapy of lung cancer.

Study	Cancer information	Time range	Data scale	Age	Treatment	Follow-up, years	Endpoint	Treatment-associated cardiac toxicity (n)	Dosimetric parameters to the heart
Lally et al <sup>[7]</sup>	NSCLC	1983–1993	6148	64	PORT	2.1	Cardiac death	Mortality: 6%	Not available
Hardy et al <sup>[8]</sup>	Stage I–IV NSCLC	1991–2002	34,209	≥65	RT	0.2–1.4	Cardiac death	Mortality: 33%	Not available
Schytte et al <sup>[9]</sup>	Stage I–III NSCLC	1995–2007	250	–	RT 60–80 Gy	7.9	Cardiac event	38 (15%)	MHD <sub>up</sub> = 24.7 Gy for whole group
Belliere et al <sup>[10]</sup>	NSCLC	1998–2002	50	63	RT 68–74 Gy	2.3	Cardiac event	3 (6%) Mortality: 4%	Mean heart V20 = 42–52%
Milano et al <sup>[11]</sup>	NSCLC/oligometastases	2001–2007	53	–	SBRT 30–63 Gy	0.8	Cardiac event	1 (1.9%)	V40 = 5%, V30 = 10%
Nishimura et al <sup>[12]</sup>	NSCLC/unproven/metastasis	2005–2012	133	78	SBRT 40–60 Gy/5 fx	2.8	Cardiac event	None	69 received greater than 25 Gy irradiation to the heart. Median of maximum dose is 45.3 Gy
Modh et al <sup>[13]</sup>	Stage I–II NSCLC/metastasis	2006–2011	125	–	SBRT 36–60 Gy/2–5 fx	1.5	Cardiac event	3 (2.4%)	Not available
Haasbeek et al <sup>[14]</sup>	Lung cancer	2003–2009	63	74	SART	–	Cardiac death	5 (7.9%)	Not available

**Result:** Cardiac toxicity has been found highly relevant in lung cancer radiotherapy. So far, the crude incidence of cardiac complications in the lung cancer patients after radiotherapy has been up to 33%.

# Heart: planning constraints

conventional RT

as low as possible, whole heart < 40 Gy (RTOG 0117)

V30 < 35 Gy (LungART)

V50 < 33 Gy (Convert)

SBRT

maximum dose

24 Gy/ 3 fr or 27 Gy / 5 fr (ROSEL)

32 Gy / 5 fr < 15 cc (RTOG 0813)

QUANTEC:

For partial irradiation,

conservative (NTCP) model-based estimates predict that a  $V_{25Gy} < 10\%$  (in 2 Gy per fraction) will be associated with a <1% probability of cardiac mortality ~15 years after RT. For this a conservative (*i.e.*, overly safe) model was

# Heart: Delineation

there is no present standard for contouring heart

Options:

1. contour relevant structures (CAs, valves, myocardium)

problem: movements; no restrictions available due to lack of data

2. contour left ventricle only

problem: dose to other relevant cardiac structures not documented

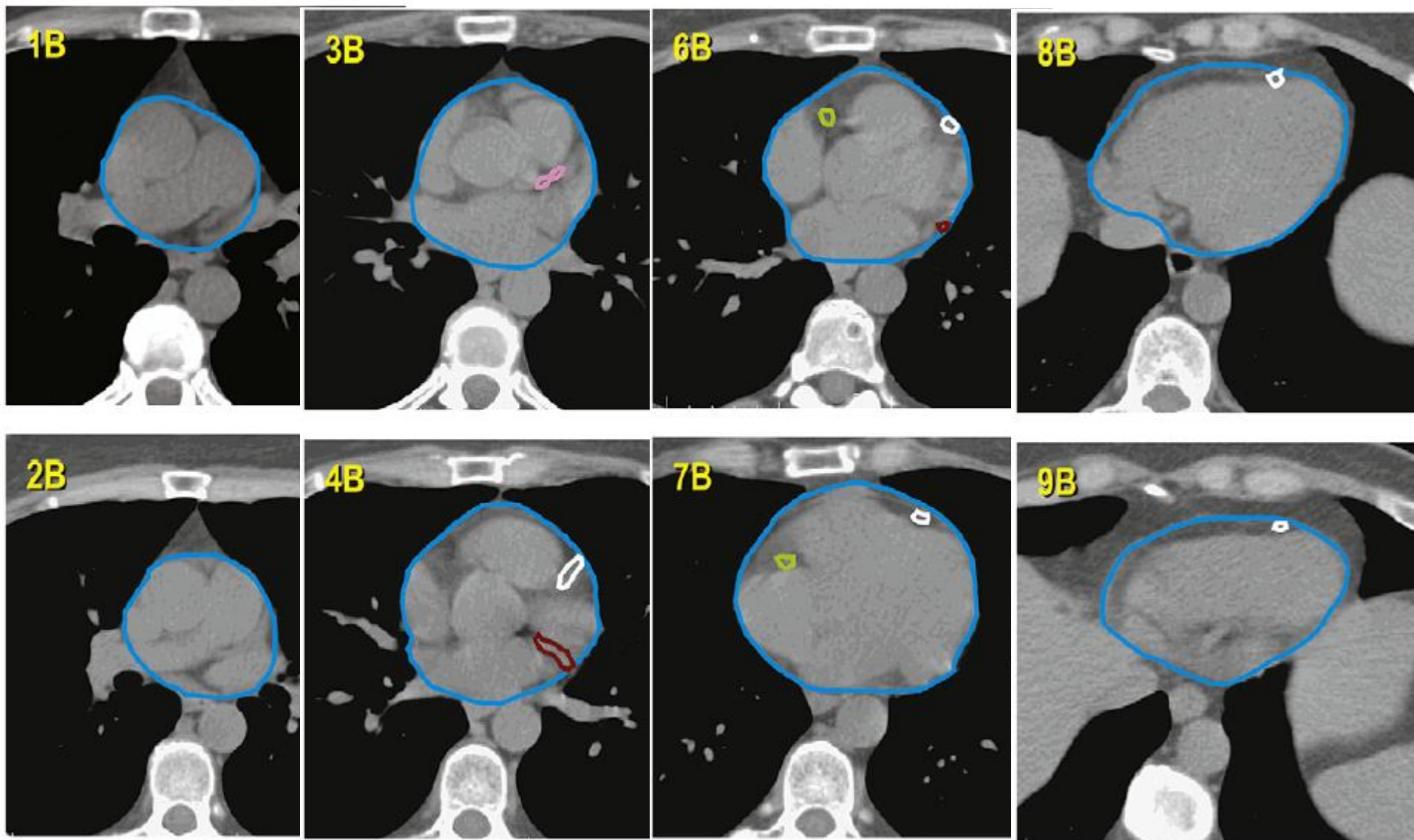
3. contour whole organ

problem: no subvolumes available for further optimisation

# Heart: recommended contouring

*Whole Heart and pericardium.* Superiorly, the WH starts just inferior to the left pulmonary artery. For simplification, a round structure to include the great vessels as well can be contoured. Inferiorly, the heart blends with the diaphragm. Since cardiac vessels run in the fatty tissue within the pericardium, they should be included in the contours, even if there is no heart muscle visible in that area. If contrast is administered, the superior vena cava (SVC) can generally be contoured separately from the WH. If this is not possible, or when working with a noncontrast scan, the superior vena cava can be included for simplification and consistency.

# Heart: contouring

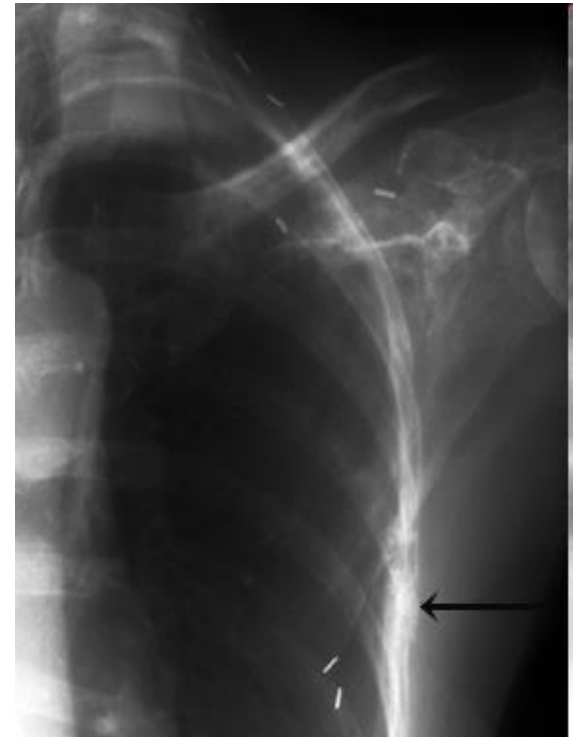


# Bone

late effect  
Osteoradionecrosis

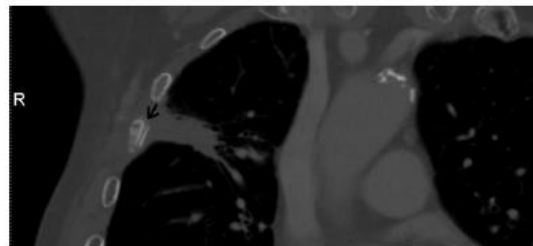
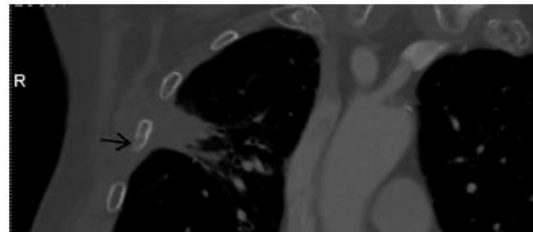
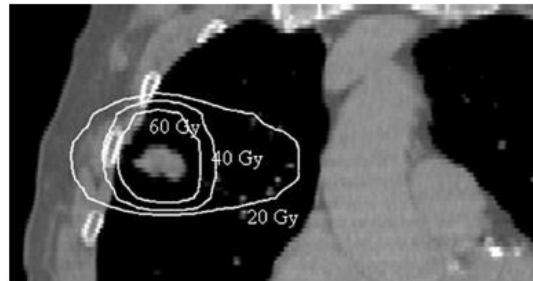
Tolerance dose  
ca. 60 Gy/2 Gy

treatment:  
symptomatic





# Predictors of Radiotherapy Induced Bone Injury (RIBI) after stereotactic lung radiotherapy



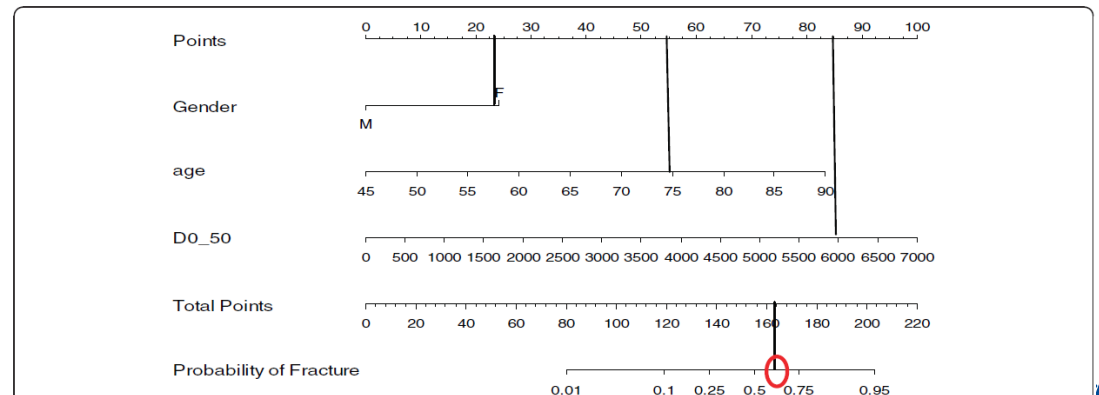
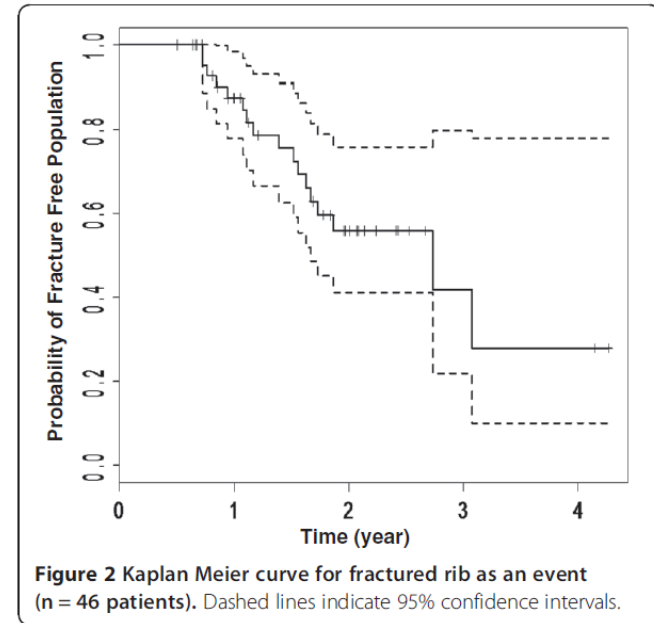
**Table 5 Univariate and multivariate analysis on predictors for rib fractures (repeated measures have been taken into consideration)**

**Univariate analysis**

Predictor	Odds Ratio	95% CI	p-value
Age (years)	1.083	1.002 - 1.172	0.045
Gender-F	2.256	0.656 - 7.756	0.2
Diabetes Mellitus-yes	0.51	0.091 - 2.876	0.45
COPD-yes	0.97	0.275 - 3.386	0.96
Tumor size	1.037	0.982 - 1.095	0.19
Smallest 3D distance between the tumor and closest rib	0.408	0.152 - 10.970	0.07

**Multivariate analysis**

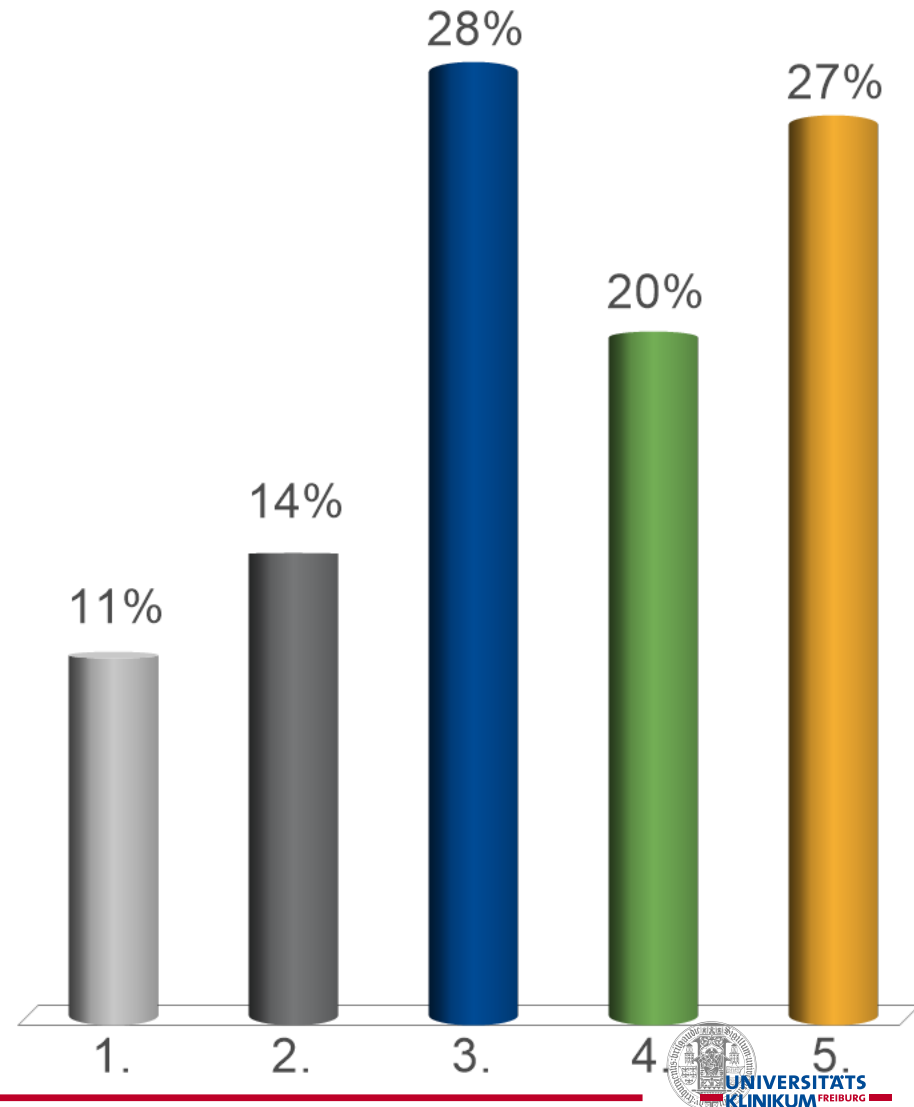
Age (year)	1.121	1.04 - 1.21	0.003
Gender-F	4.43	1.68 - 11.68	0.003
D <sub>0.5</sub>	1.0009	1.0007 - 1.0011	<0.0001



**Figure 6 RIBI nomogram based on gender, age and D<sub>0.5</sub> in 46 patients treated with SBRT at Princess Margaret Hospital (Estimating risk of rib fracture at median follow up of 25 month). Risk of rib fracture in a 75 year old lady treated with 54 Gy in 3 fractions and D<sub>0.5</sub> of 60 Gy (within a median FU of 2 years) is about 65%.**

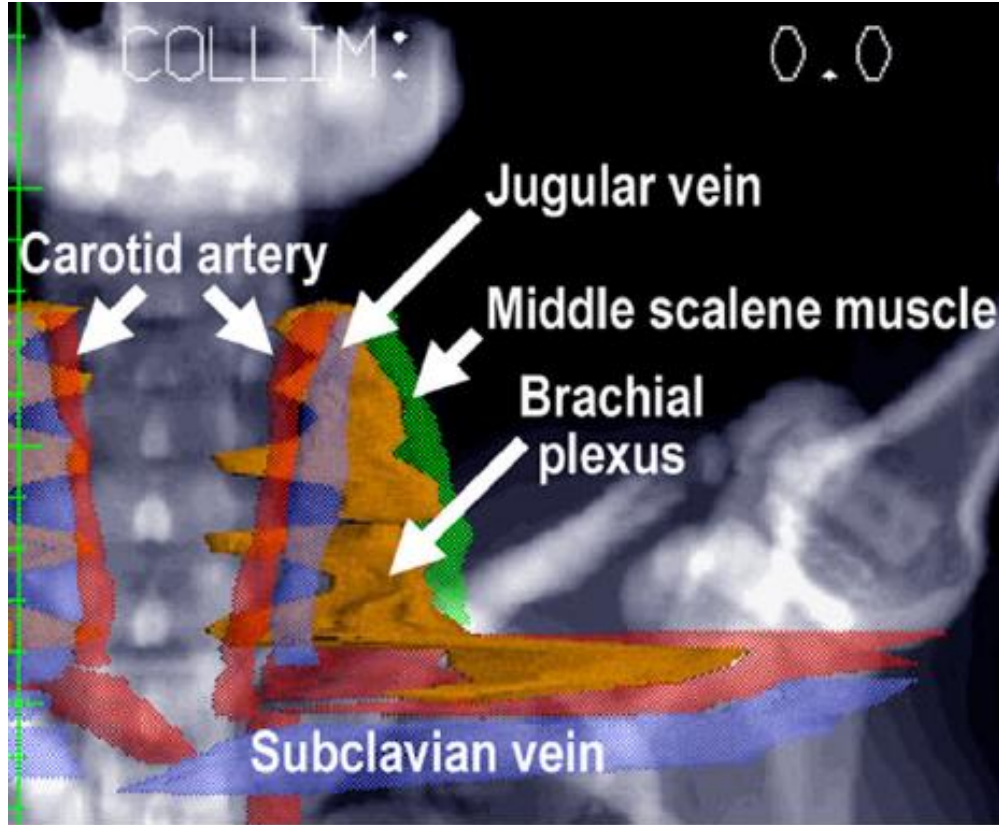
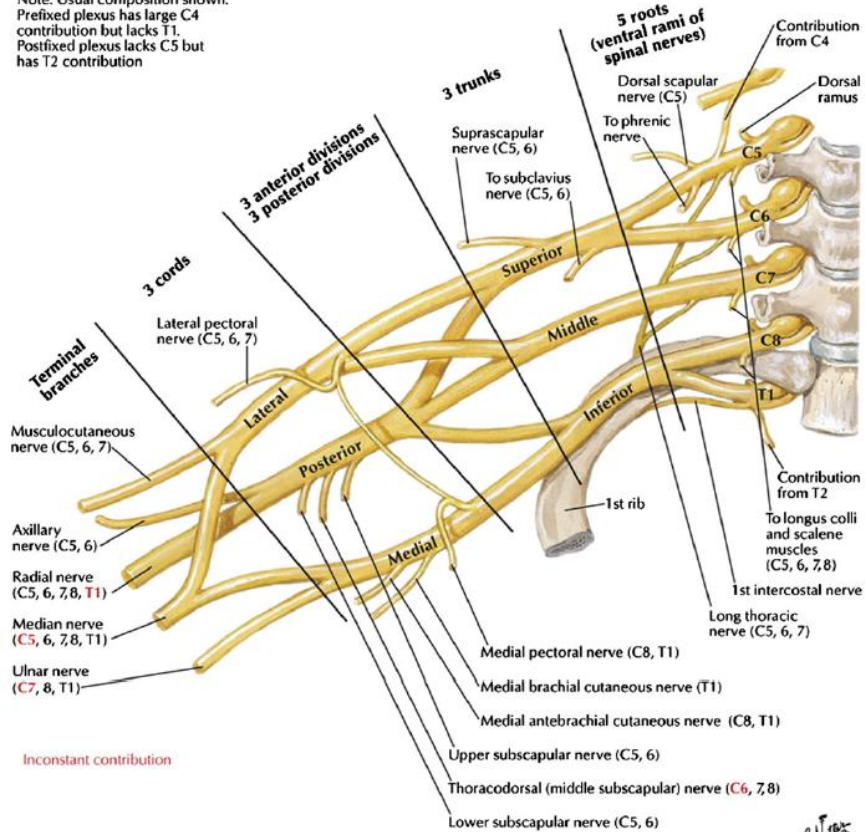
# Q4: For which situations do you contour the brachial plexus as OAR most often?

1. routine RT for breast and/or lung cancer
2. high dose RT head & neck cancer
3. SBRT for apical lung cancer
4. reirradiation situations
5. we never contour the plexus



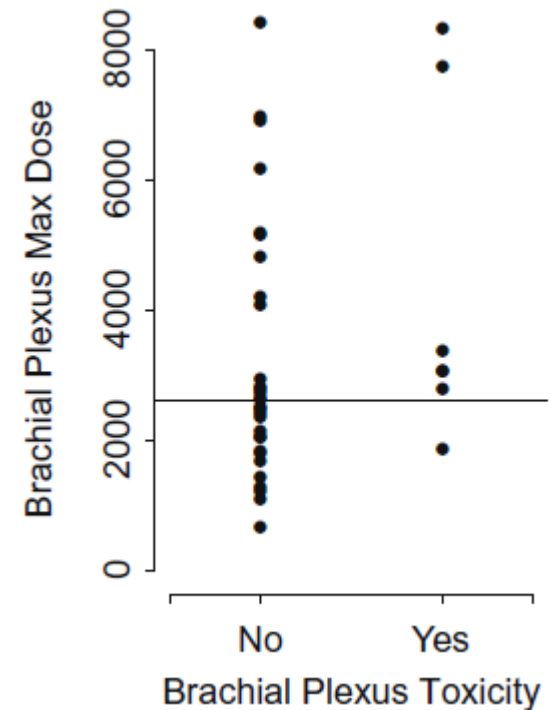
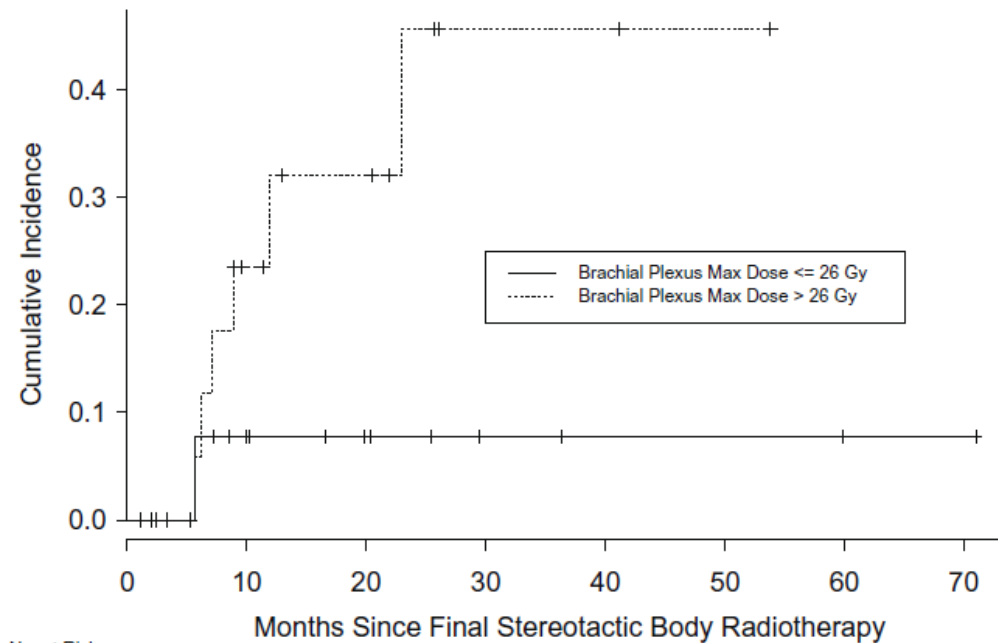
# Brachial plexus

Note: Usual composition shown.  
 Prefixed plexus has large C4 contribution but lacks T1.  
 Postfixed plexus lacks C5 but has T2 contribution



Kong, IJROBP 2011; 81(5); 1442-57

# Brachial plexus: toxicity



Forquer, R&O 2009; 93; 408-412

# Brachial plexus: planning constraints

**Table 2**

Maximum point dose constraints for various dose fractionation schemes used for conventional radiotherapy (30 fractions) and SBRT (1–6 fractions).

Daily dose (Gy)	No. of fractions	Total dose (Gy)	BED-3 (Gy3)	SFED-4 (Gy)
15	1	15	NA	15.0
9.5	2	19	NA	15.0
7.65	3	22.95	NA	15.0
6.75	4	27	NA	15.0
6.2	5	31	95	15.0
5.55	6	33.3	95	NA
2	30	60	100	NA

NA, not applicable.

Forquer, R&O 2009; 93; 408-412

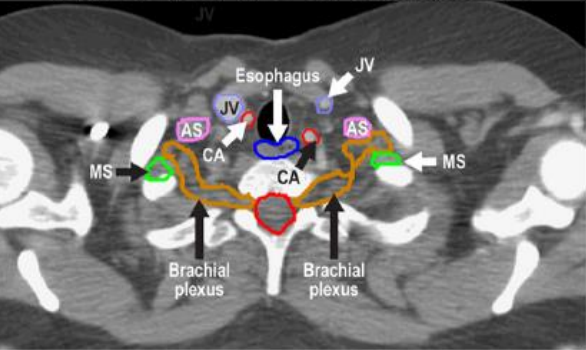
# Contouring the brachial plexus

1. Locate the neural foramina at the C4-C5 and T1-T2 levels to identify the C5 and T1 roots, respectively
2. Locate the subclavian and axillary neurovascular bundle to identify the lateral aspect of the brachial plexus inferiorly
3. Locate the anterior and middle scalene muscles from the C5 vertebral level to their respective insertions on the first rib
4. Start at the neural foramina at the C4-C5 level and moving caudally; contour the region from the lateral aspect of the spinal canal laterally to the small space between the anterior and middle scalene muscles. At levels at which no neural foramina are present, contour the space or soft tissue between the anterior and middle scalene muscles
5. Continue to contour the space between the anterior and middle scalene muscles; eventually, the middle scalene muscle will terminate in the region of the subclavian neurovascular bundle
6. Contour the brachial plexus structures inferiorly until the region of the subclavian vascular bundle is identified, the second rib should serve as the medial limit

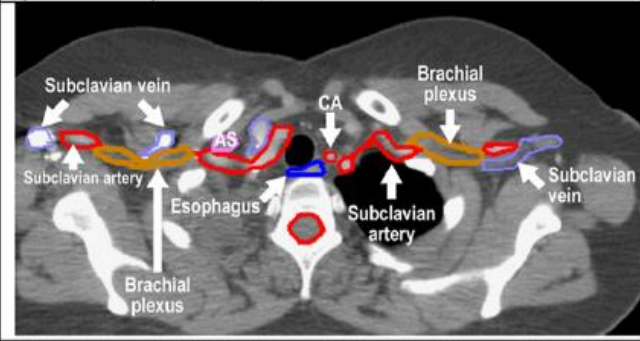
Kong, IJROBP 2011; 81(5); 1442-57

# Contouring the brachial plexus

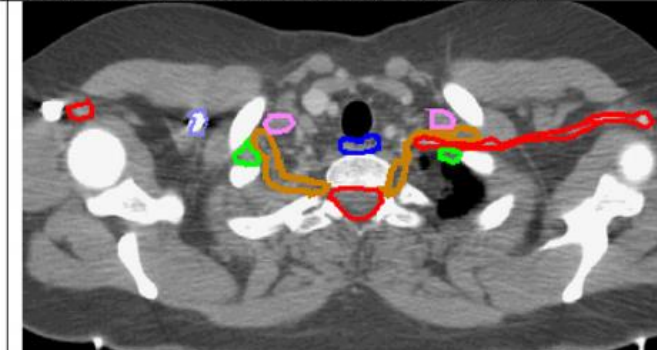
Superior (C5, 6) & C7 trunks, C7 VB



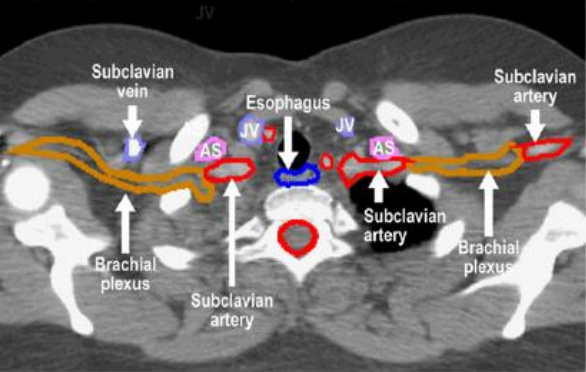
Superior (C5, C6), middle (C7), and inferior (C8 & T1) trunks, T2VB



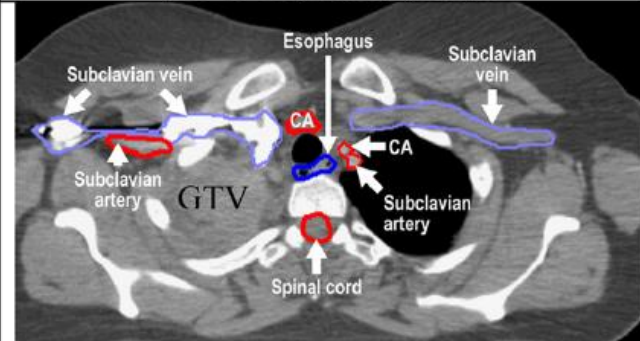
C5, 6 & C7 trunks, C7/T1 disk



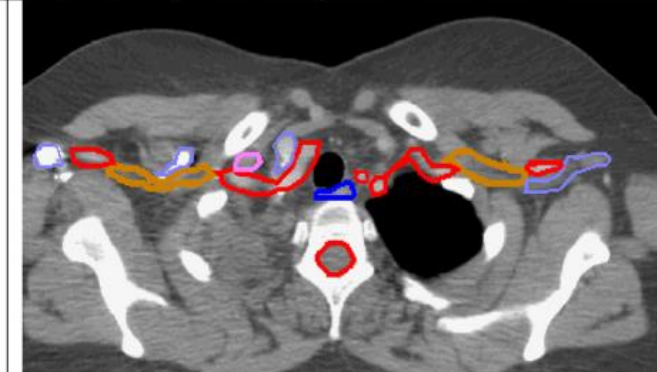
C5, C6, C7, C8 trunks, T1 root, T1VB



Superior (C5, C6), middle (C7), and inferior (C8 & T1) trunks, T2VB



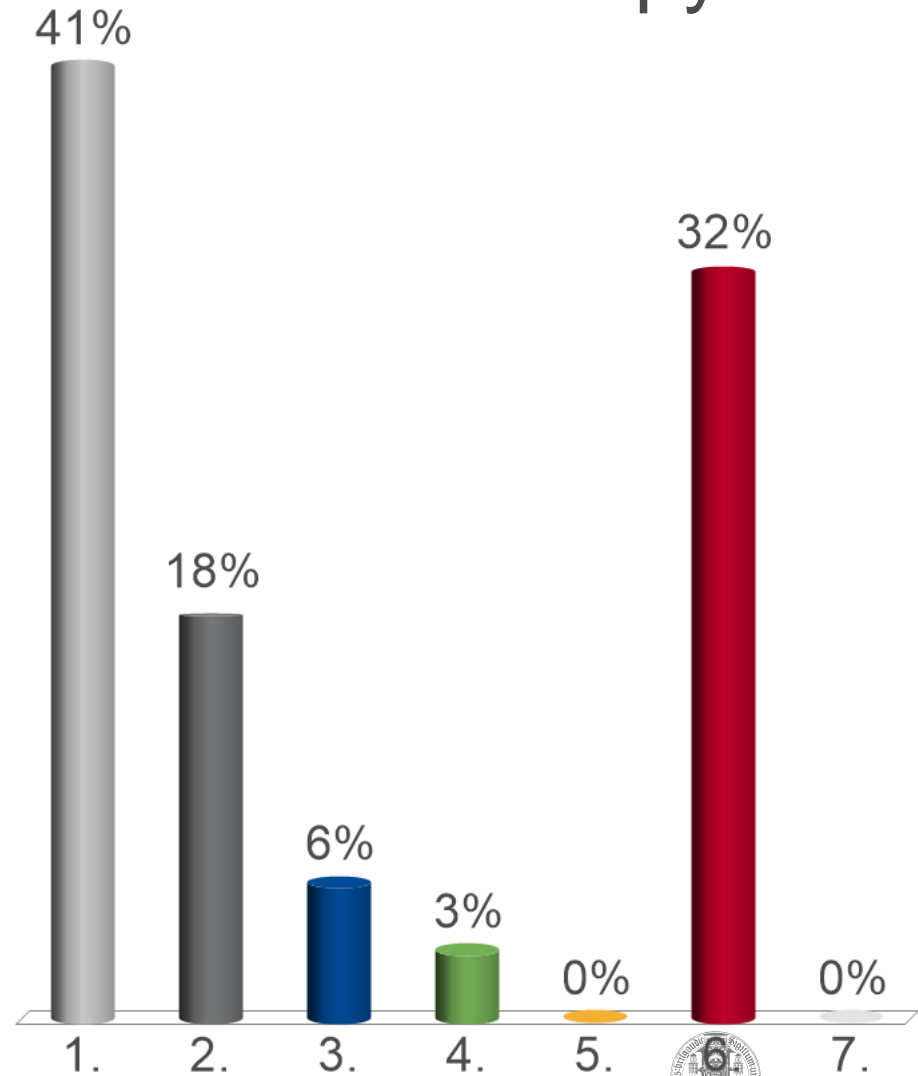
C5, C6, C7, C8 trunks, T1 root, T1/T2 disk



Kong, IJROBP 2011; 81(5); 1442-57

# Q1 reloaded : What do you consider the most critical normal tissue for chest radiotherapy?

1. lung
2. esophagus
3. spinal cord
4. brachial plexus
5. thoracic wall
6. heart
7. central bronchi





Thanks to:



EORTC ROG and LG:

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Corinne Faivre-Finn

Cecile Le Pechoux

Dirk DeRuysscher

other places ...

Michael Baumann

Matthias Guckenberger

RT Freiburg, PET-Plan Team:

Markus Stockinger

Andreas Thomsen

**IMRT treatment planning parameters**

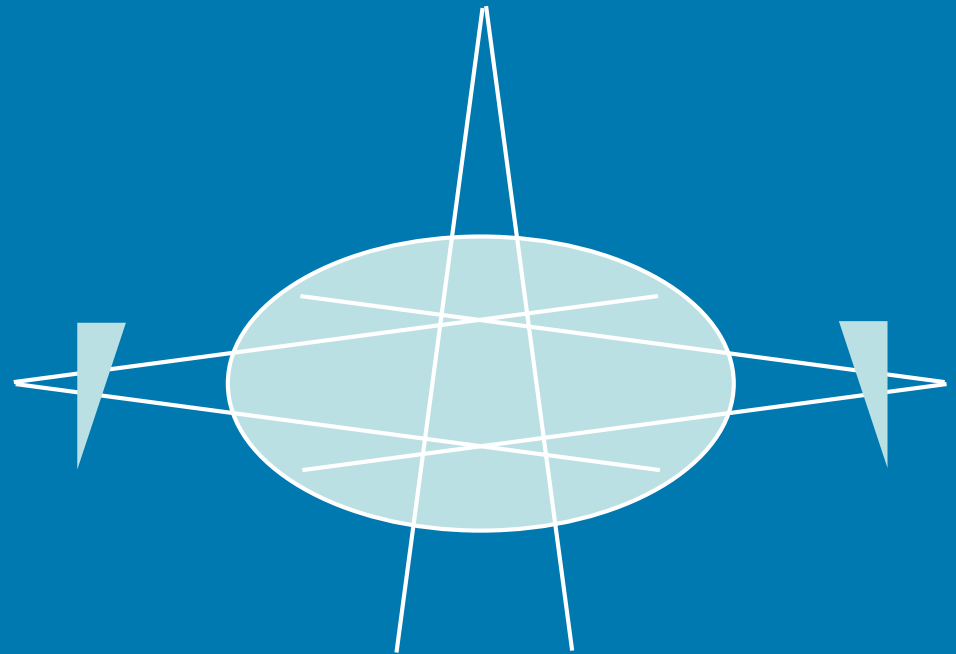
**or**

**17 tips and tricks for happy IMRT planning**

Gert Meijer

# Optimalisation 3DCRT

- gantry angle
- beam weight
- wedge
- collimator angle
- beam energy



5 degrees of freedom

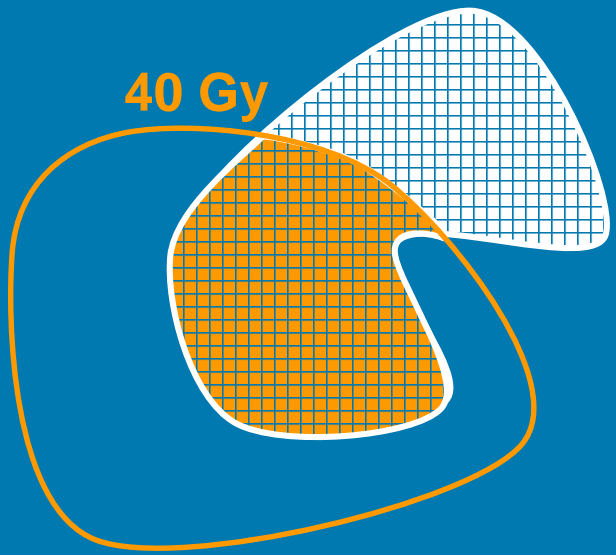
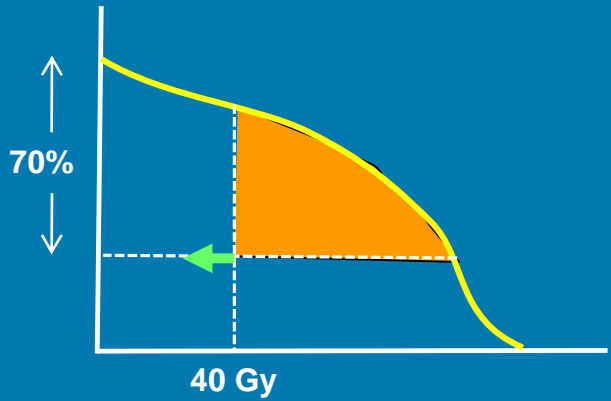
# Optimalisatie IMRT

- gantry angle
- beam weight
- wedge
- collimator angle
- beam energie
- fluence profile



2000 degrees of freedom

Eclipse	iPlan	OnCentra	Pinnacle	RayStation	Tomotherapy	XiO	Monaco
physical dose volume parameters quadratic cost functions	physical dose volume parameters quadratic cost functions	physical dose volume parameters quadratic cost functions	physical dose volume parameters quadratic cost functions	physical dose volume parameters quadratic cost functions	physical dose volume parameters quadratic cost functions	physical dose volume parameters quadratic cost functions	physical dose volume parameters quadratic cost functions
dose conformality shaping functions	dose conformality shaping functions	dose conformality shaping functions					dose conformality shaping functions
biological cost functions		mean dose	equivalent uniform dose	equivalent uniform dose			biological cost functions

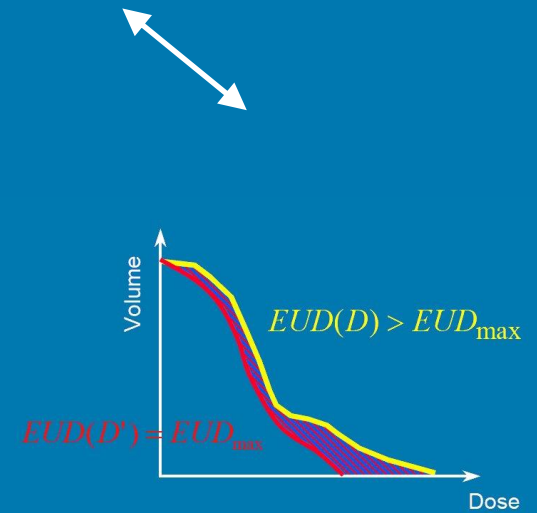
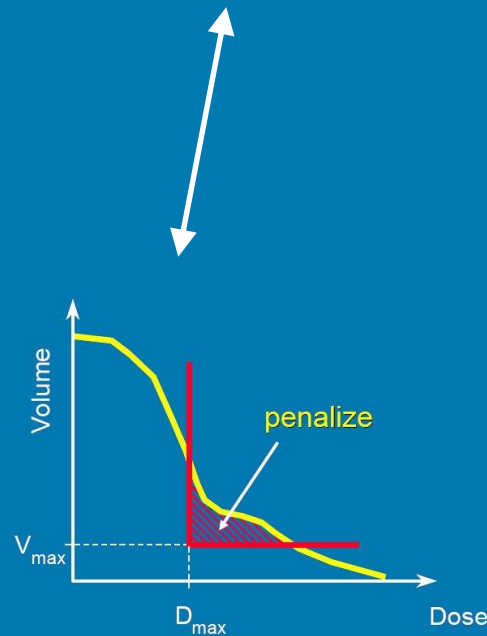
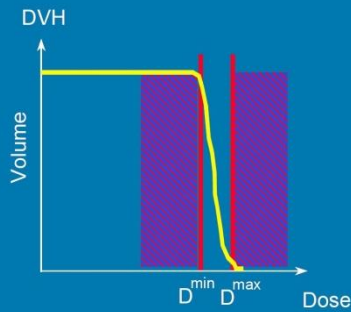


70% should receive 40 Gy or less

70%	33	0
	35	0
	36	0
	38	0
	39	0
30%	41	$1/7 \times (1/40)^2$
	42	$1/7 \times (2/40)^2$
	<del>43</del>	$1/7 \times 5/1600$
	<del>49</del>	

# Optimization

$$F = w_{\text{Target}} F_{\text{Target}} + w_{\text{Risk1}} F_{\text{Risk1}} + w_{\text{Risk2}} F_{\text{Risk2}} + \dots$$



# 17 tips and tricks for happy IMRT planning

1

## make sure your delineations are accurate

your plan outcome directly relates to DVHs and therefore to your volumes

Be careful when creating the CTV using automatic expansion tools that you do not extend into regions that are not clinically appropriate, such as bony compartments. The CTV should be trimmed to avoid targeting tissues unnecessarily





# 17 tips and tricks for happy IMRT planning

2

place your isocenter in the center of all PTVs

this is not that critical but this generally narrows the amount of a-symmetry for your segments and you may end up with more reliable dose calculations



# 17 tips and tricks for happy IMRT planning

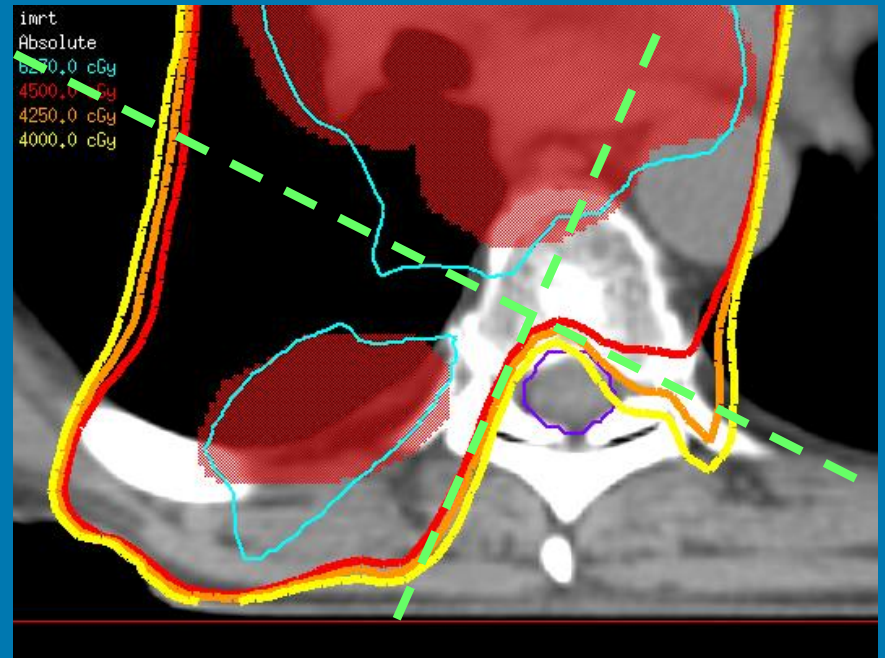
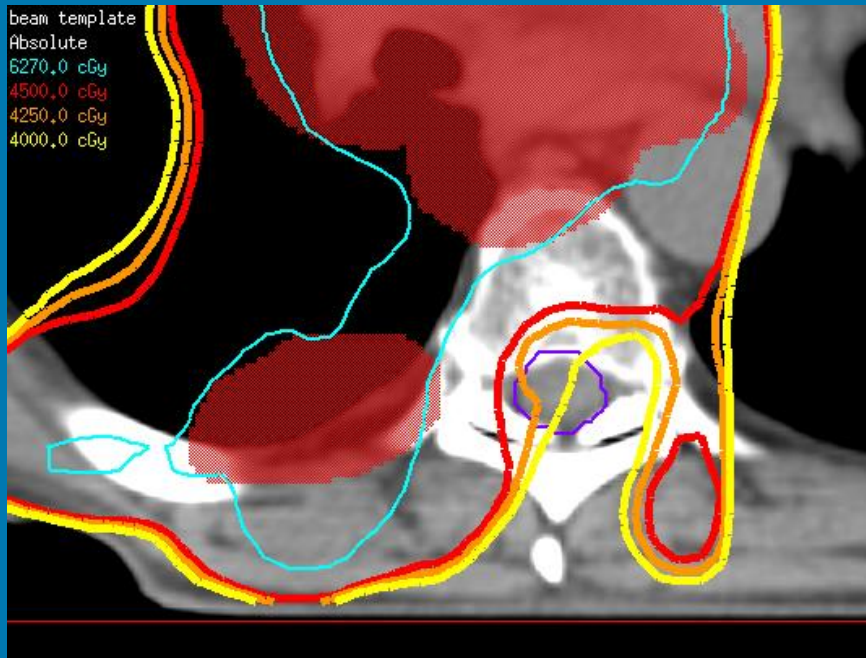
3

Bear in mind that steep dose gradients can **ONLY** be obtained perpendicular to beam axes just like in 3DCRT

IMRT is not some magic tool, there is still always physics, photons are uncharged particles and they just don't bend around corners no matter what



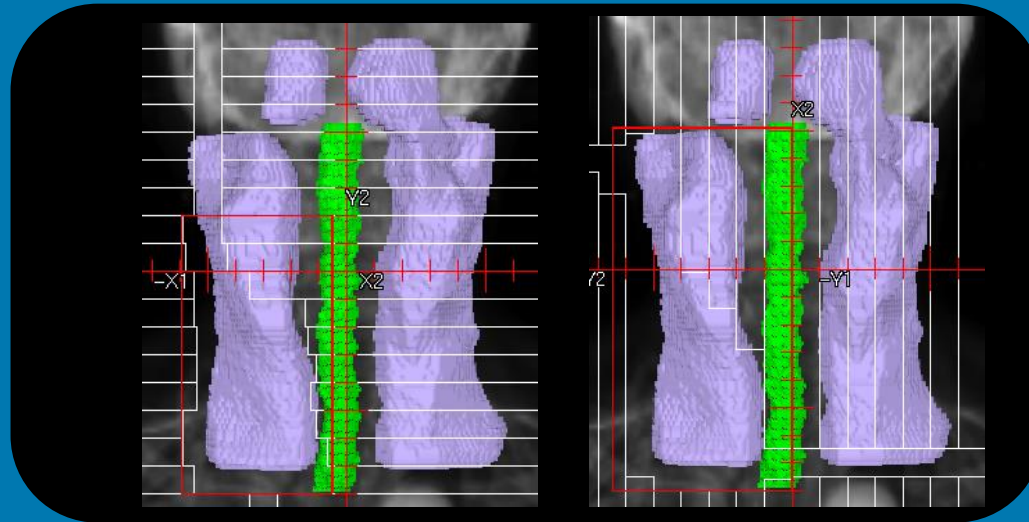
# 17 tips and tricks for happy IMRT planning



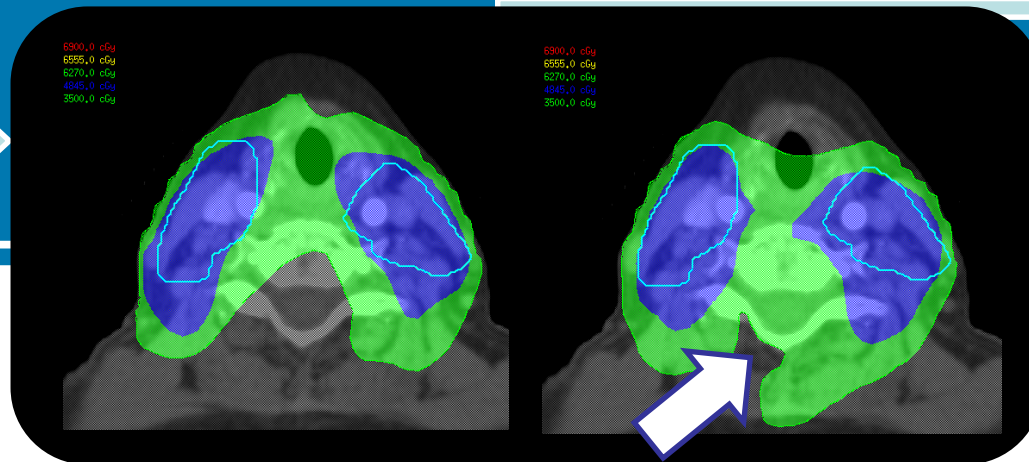
# 17 tips and tricks for happy IMRT planning

4

**collimator angle:** generally have your leaves run perpendicular to the outlines of your PTVs and OARs



contouring



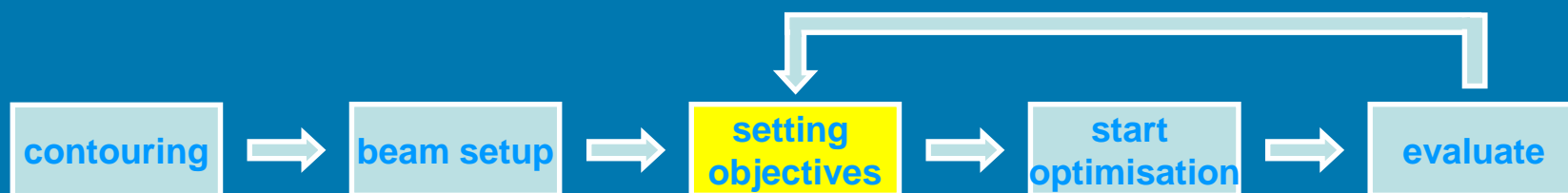
evaluate

# 17 tips and tricks for happy IMRT planning

5

## create optimisation structures next to evaluation structures

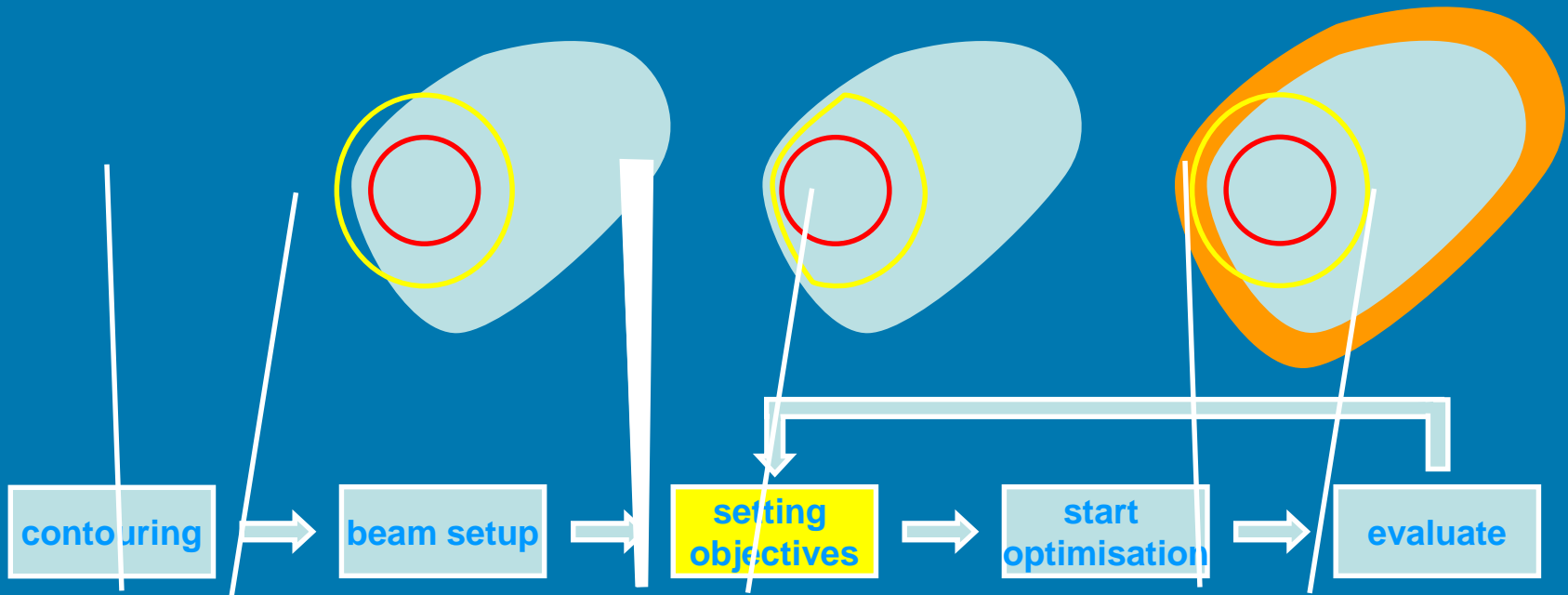
Avoid (optimisation) PTVs that extend into the buildup region unless it is clinically appropriate. This prevents the optimizer from creating very high intensities to account for the low dose region. If the target does extend close to the skin surface, then bolus should be used in that area.



# 17 tips and tricks for happy IMRT planning

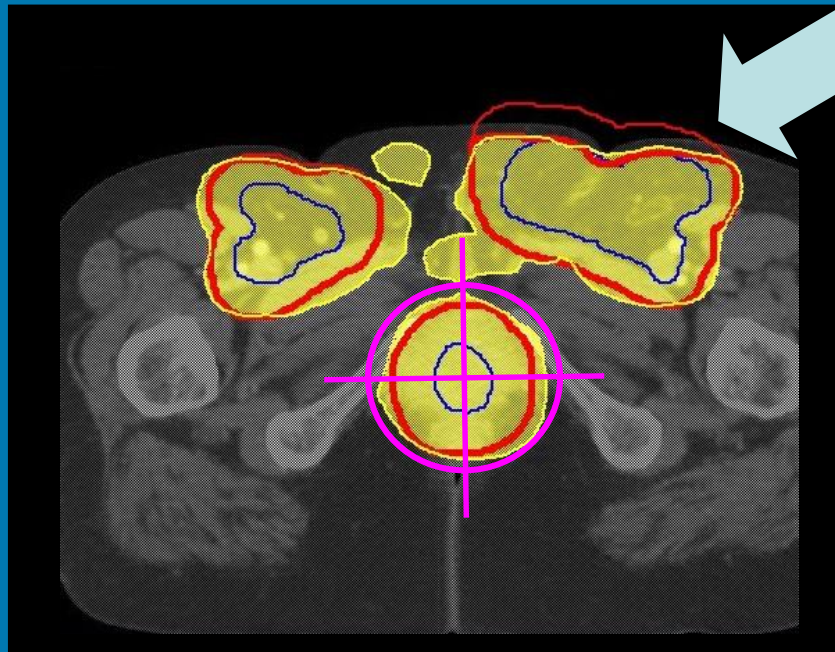
6

create optimisation structures next to evaluation structures



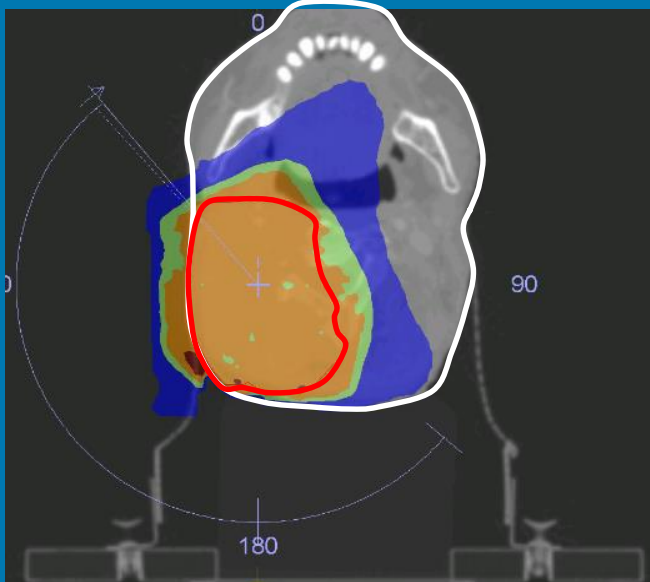
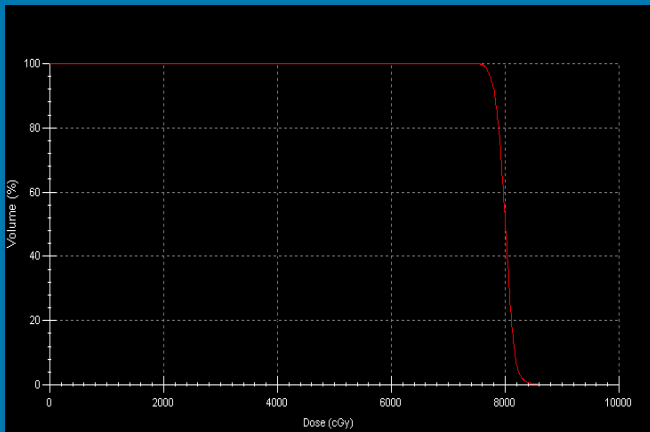
# 17 tips and tricks for happy IMRT planning

6



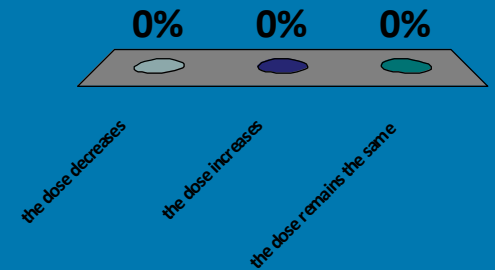
target near skin moves up to 2cm but is still reasonably well covered



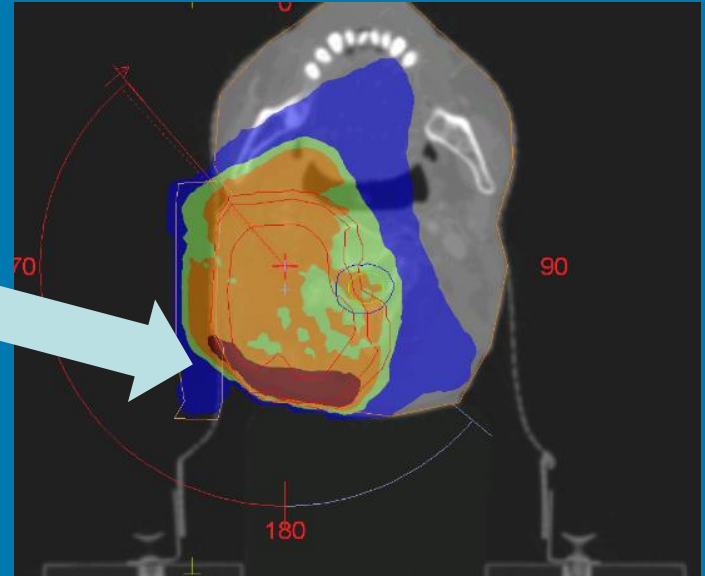
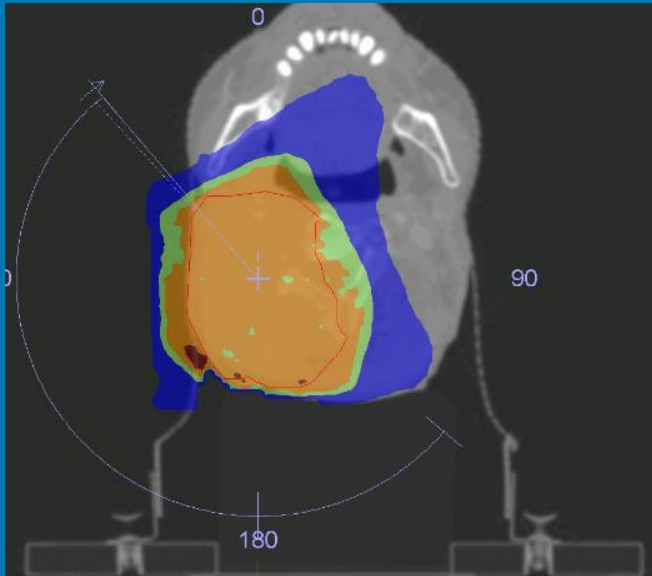
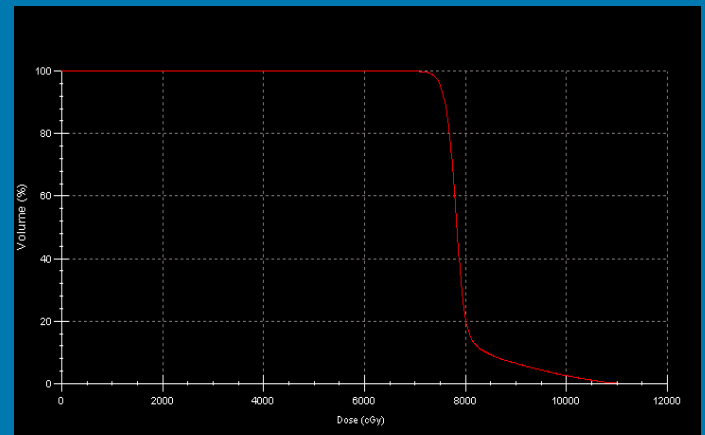
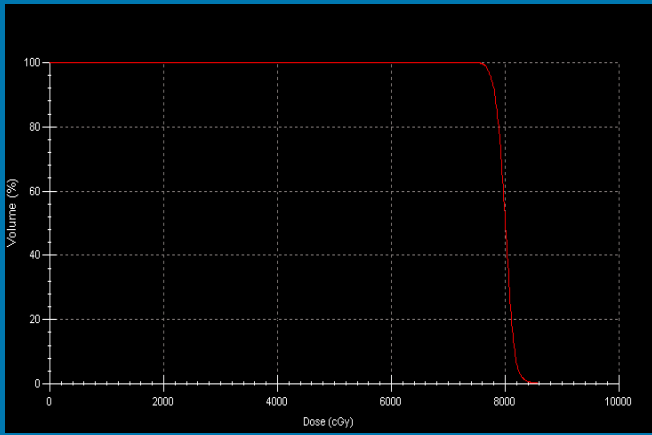


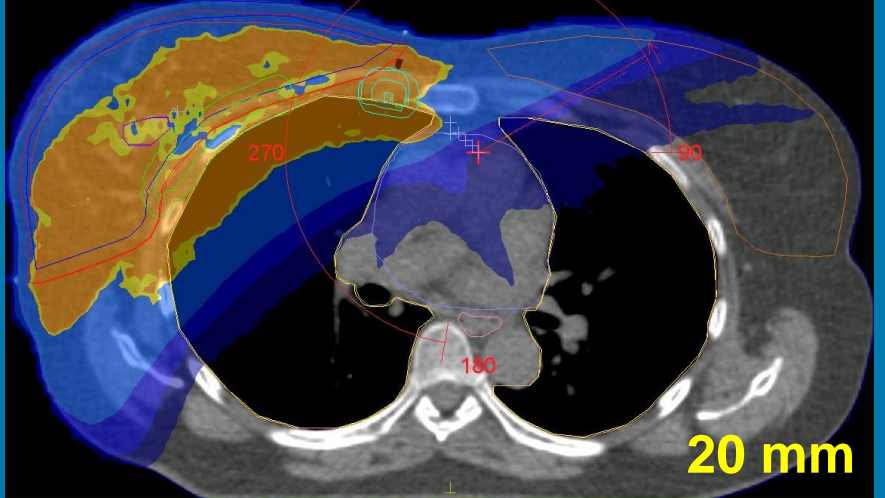
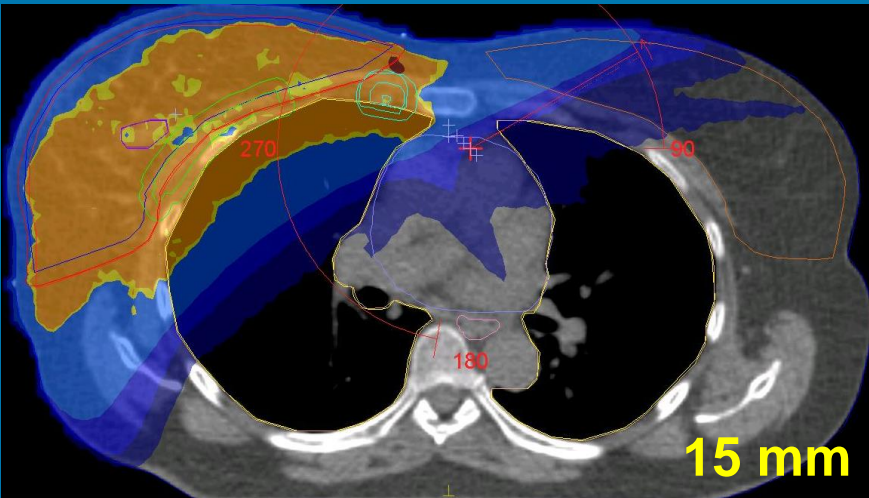
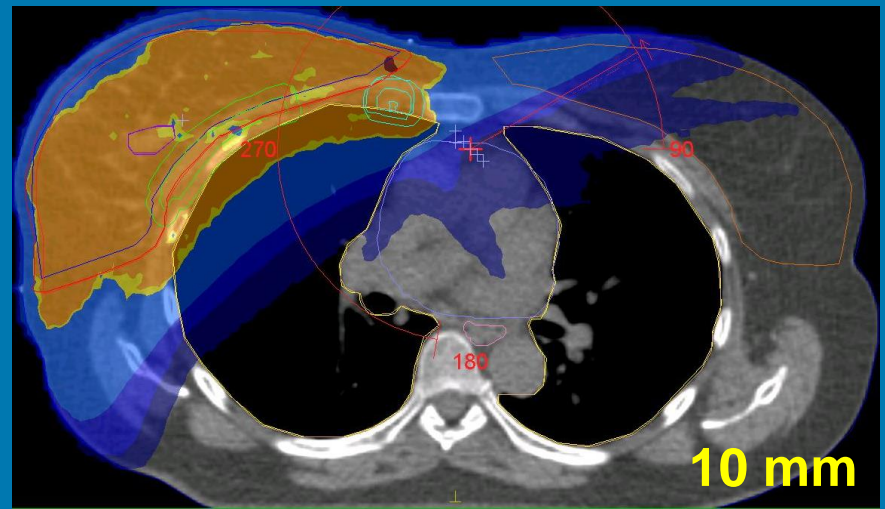
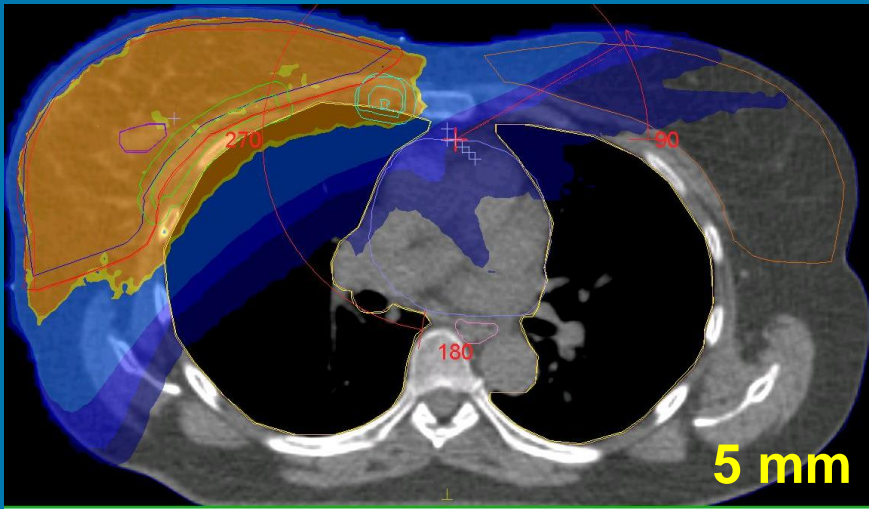
what happens to the dose in the posterior part of PTV when the patient is shifted 1 cm dorsally?

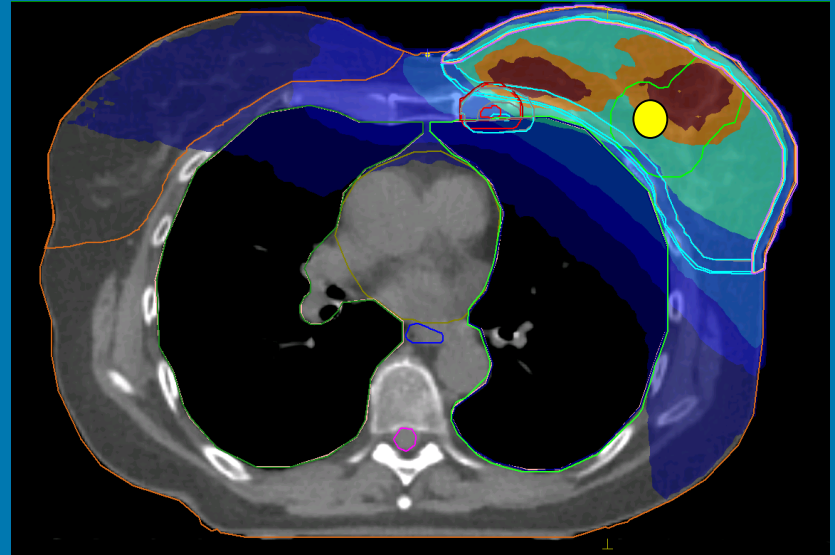
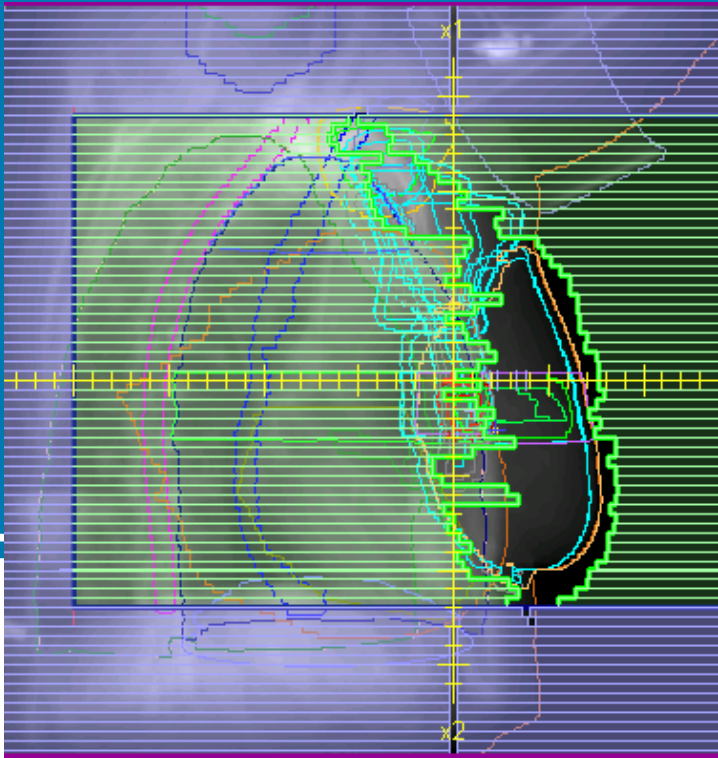
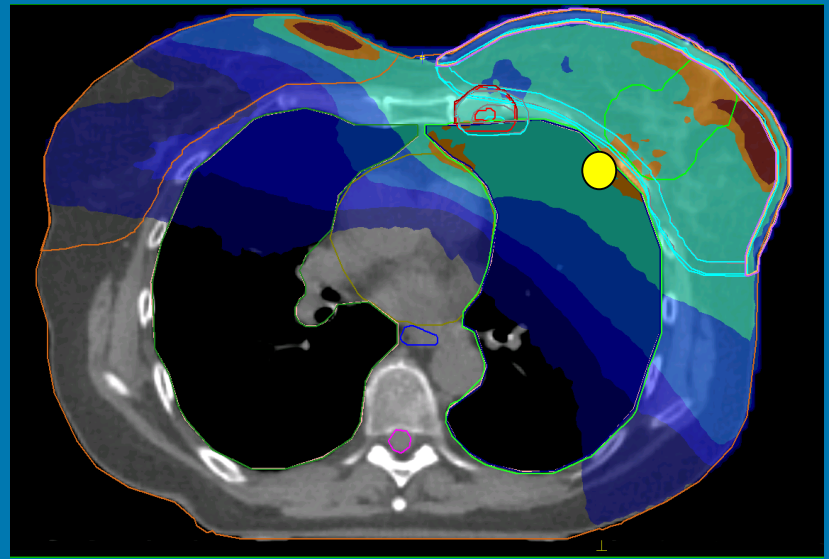
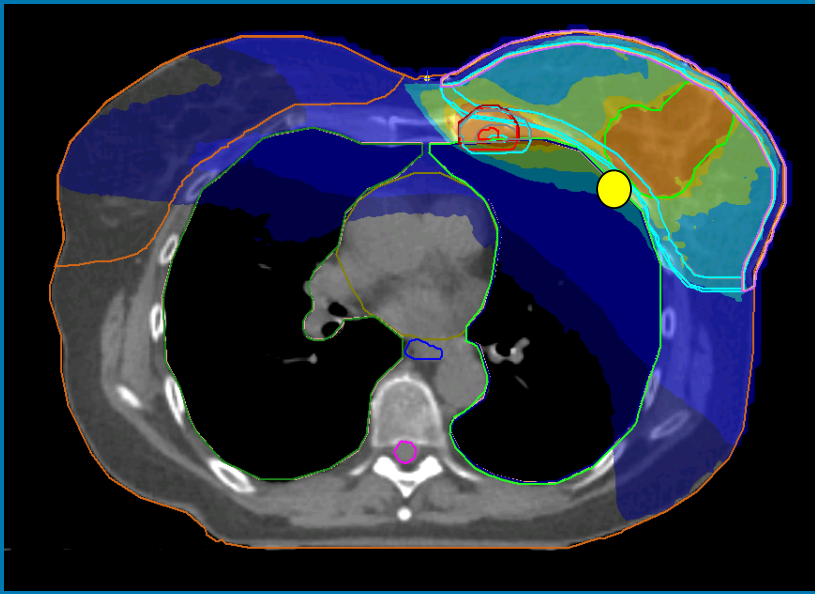
- A. the dose decreases
- B. the dose increases
- C. the dose remains the same











# 17 tips and tricks for happy IMRT planning

7

## avoid voxels with conflicting objectives

create some hierarchy in your objectives in case a organ at risk has an overlap with your target volume. (some TPSs intrinsically rank the objectives)

conflicting objectives to the same voxels will increase to total cost and distract the optimiser from real optimisation problems

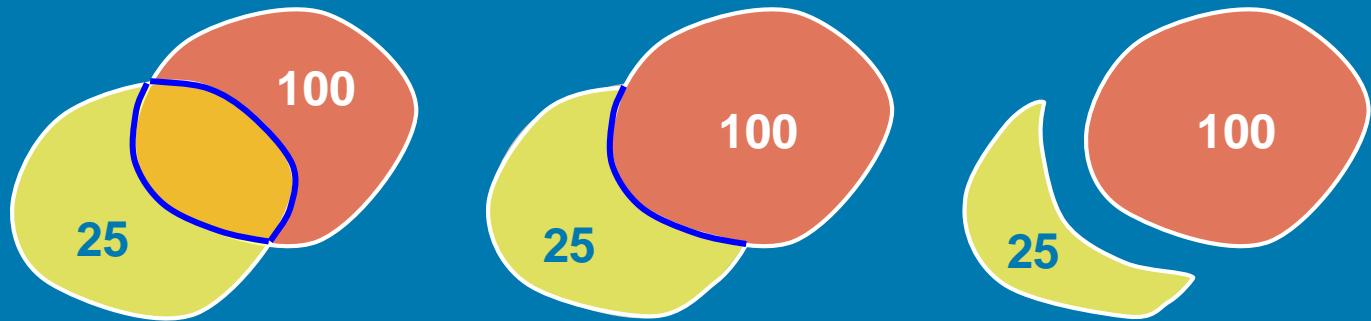
carefully chosen objectives will always yield a low total cost in the end of the optimisation



# 17 tips and tricks for happy IMRT planning

7

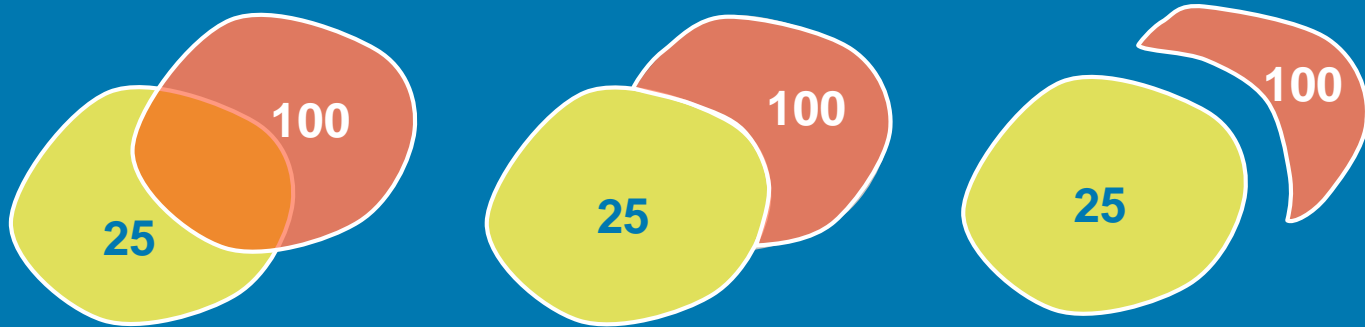
when **target coverage** has a higher priority than **organ sparing**



# 17 tips and tricks for happy IMRT planning

7

when **organ function preservation** has a higher priority than **target coverage**



# 17 tips and tricks for happy IMRT planning

8

**start of with high-weighted objectives at your targets and low-weighted objectives at your OARs**

once your going downhill on the steep slope of organ a sparing you might get trapped into a local minimum and never reach your target dose



# 17 tips and tricks for happy IMRT planning

9

**try to minimise the use of constraints and rather use objectives with high weights**

- from a radiobiology perspective there is no such thing a hard constraint
- hard constraints will generally slow down the optimization process and sometimes makes it instable
- hard constraints bias the total cost making it more difficult to judge your final result



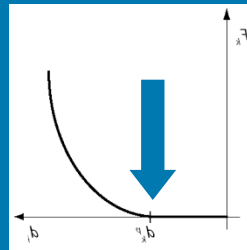


# 17 tips and tricks for happy IMRT planning

10

always set your IMRT objectives more stringent than your clinical objectives

for instance, if you require a minimum dose to the PTV of 95% of the prescription dose then set an objective that will penalise all PTV voxels that have dose lower than 98%



# 17 tips and tricks for happy IMRT planning

11

**use safety margins for critical OARs (e.g. spinal cord) to partially account for organ motion, patient movement and setup uncertainties**

it is generally not recommended that you add margins around every critical structure



# 17 tips and tricks for happy IMRT planning

12

**try using ring structures to increase the conformality of the 95% isodose to your target**

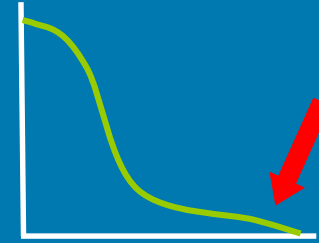
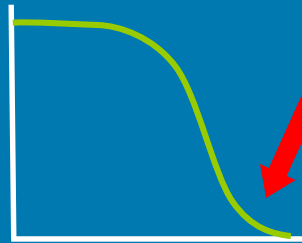
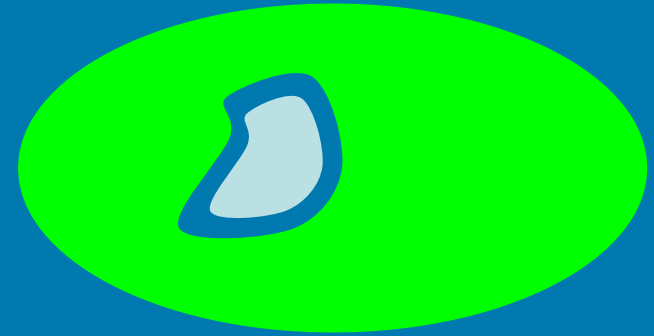
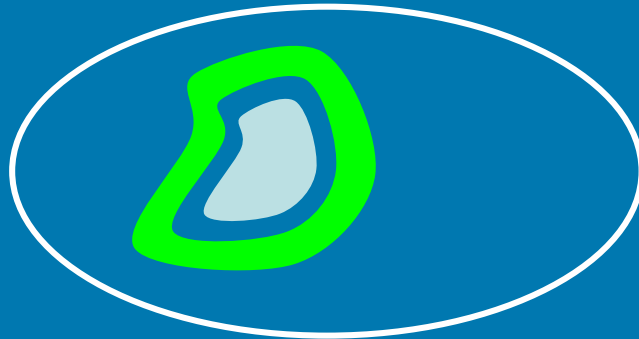
typically use a 7-mm to 10-mm margin between your PTVs and ring

(some TPSs have dose conformity tools that don't require extra ring structures)

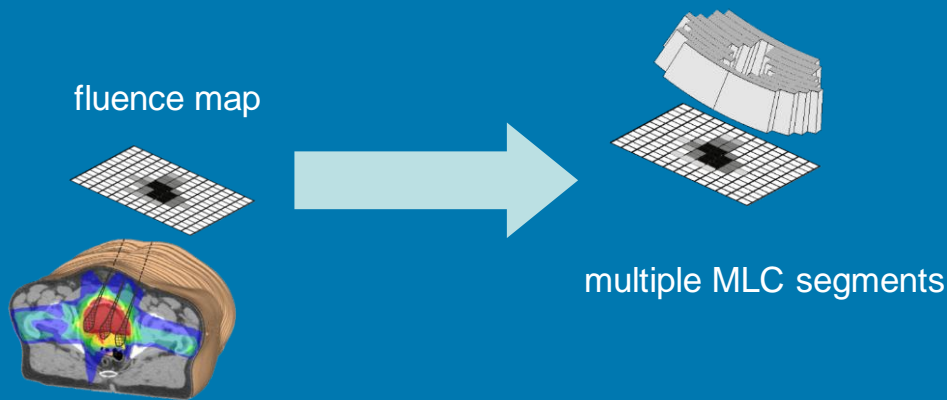


# 17 tips and tricks for happy IMRT planning

12



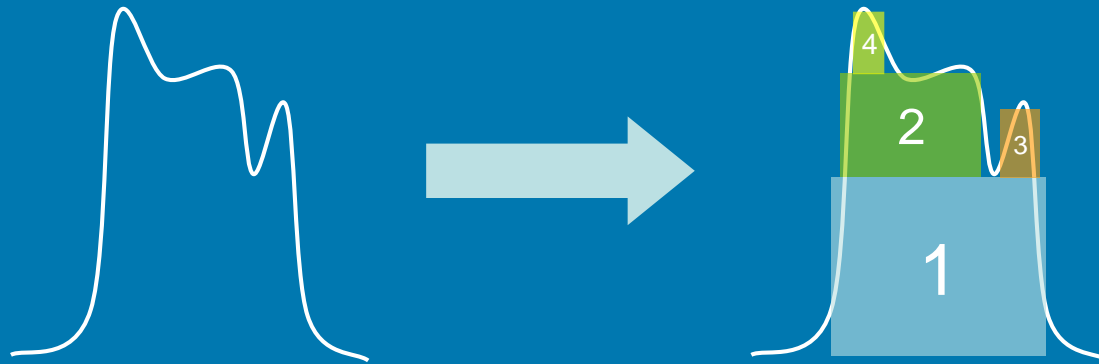
# 17 tips and tricks for happy IMRT planning



... and then at some point in our journey we need to convert the fluence map into MLC segments



# 17 tips and tricks for happy IMRT planning



# 17 tips and tricks for happy IMRT planning

close in

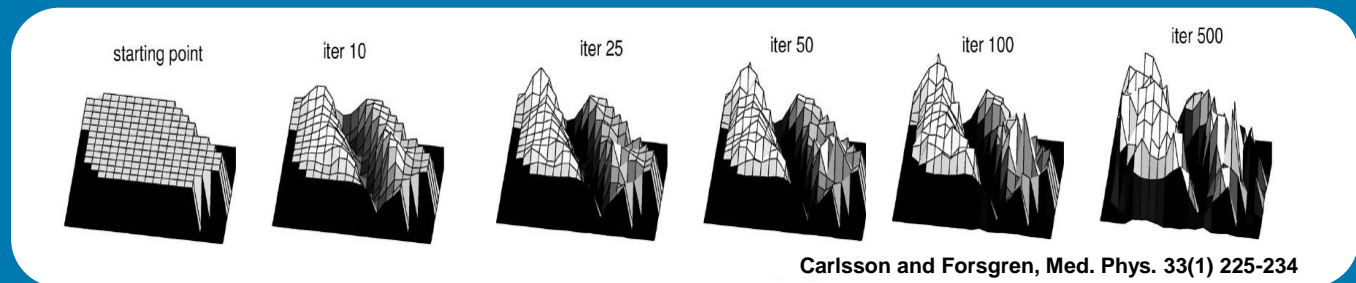
sliding window



# 17 tips and tricks for happy IMRT planning

13

there is an optimum number of iterations for the point of segmentation (typically 8-20)





# 17 tips and tricks for happy IMRT planning

14

be critical towards objectives that **do not** contribute to the total cost after the optimisation

it is the task of the optimiser to minimise the total cost (not yours!)

objectives with zero contribution to the total cost could as well be left out since they have no influence on the final result



# 17 tips and tricks for happy IMRT planning

15

be critical towards objectives that **highly** contribute to the total cost after the optimisation

it is likely that the overall result of your optimization predominantly determined by these objectives

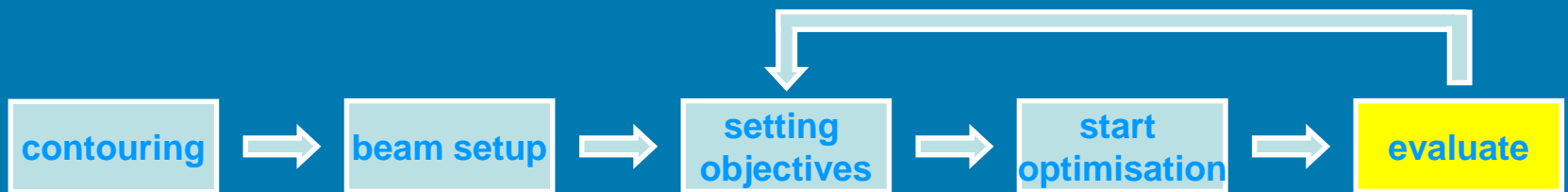
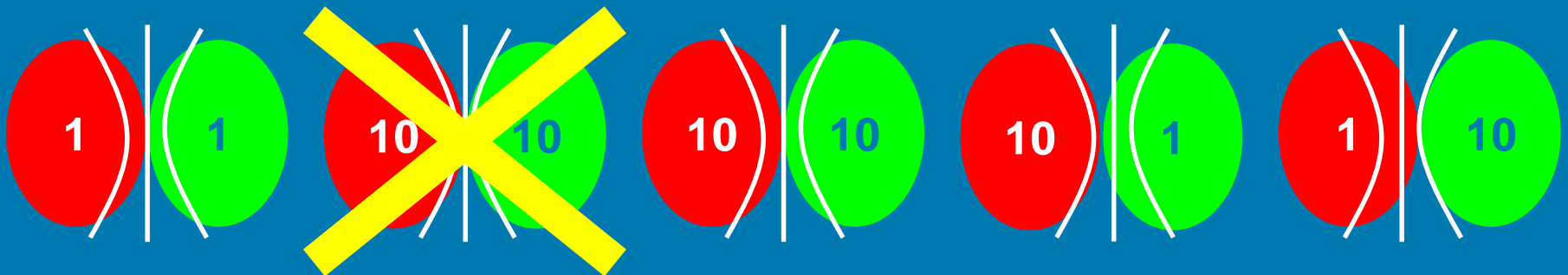
(for instance if you have a min dose objective to a structure in the build-up region, a high cost might alarm you)



# 17 tips and tricks for happy IMRT planning

16

adjusting weights generally causes a shift of the dose gradient between the target and organ at risk rather than an increase of the dose gradient



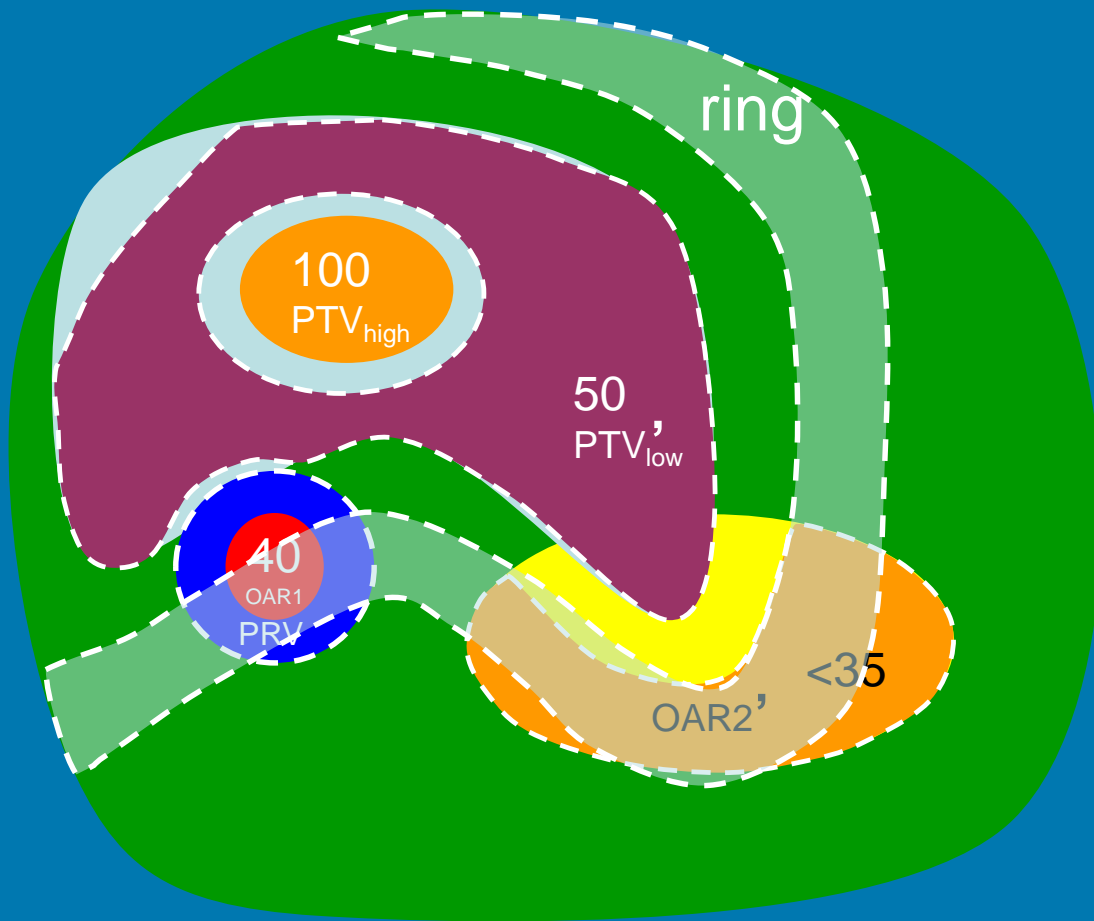
# 17 tips and tricks for happy IMRT planning

17

**you may try defining small dummy structures at small persistent high or low dose regions**

but most likely you will move the problem to another area;  
sometimes you feel like playing with balloon with water;





high priority



<b>PRV</b>	<b>max dose</b>	<b>39</b>
<b>PTV<sub>high</sub></b>	<b>min dose</b>	<b>97</b>
<b>PTV<sub>high</sub></b>	<b>max dose</b>	<b>105</b>
<b>PTV<sub>low</sub>'</b>	<b>min dose</b>	<b>49</b>
<b>PTV<sub>low</sub>'</b>	<b>max dose</b>	<b>57</b>
<b>OAR2'</b>	<b>max dose</b>	<b>35</b>
<b>ring</b>	<b>max dose</b>	<b>30</b>

low priority

# Conclusions

- try thinking how the optimiser thinks, imagine you descending in the multidimensional world
- developing good objectives and constraints is an iterative process.



# Practical aspects of IMRT planning part 2

**Advanced Treatment Planning Course**  
23-27 September 2018 – Athens, Greece

Markus Stock



# Content

- number of beams, class solutions
- beam angle optimization
- energy
- MLC geometry, limitations
- collimator angle
- leaf width
- # of MU in IMRT planning
- isocenter position
- IMRT as efficiency tool for 'simple 3D-CRT'



# Number of beams, class solutions



standard number of beams is often applied to specific treatment sites:

- 3,5 or 7 beams in prostate treatment
- 5,7,9 beams in head and neck treatment

class solution = 'group average' set of constraints, number of beams and beam angles (for an 'average' patient!?)

→ consider class solutions a good starting point  
look at differences between this patient and the group  
(different shape, rotations, etc.)

# Number of beams, class solutions



- when an IMRT plan is getting complicated: try to add a beam!

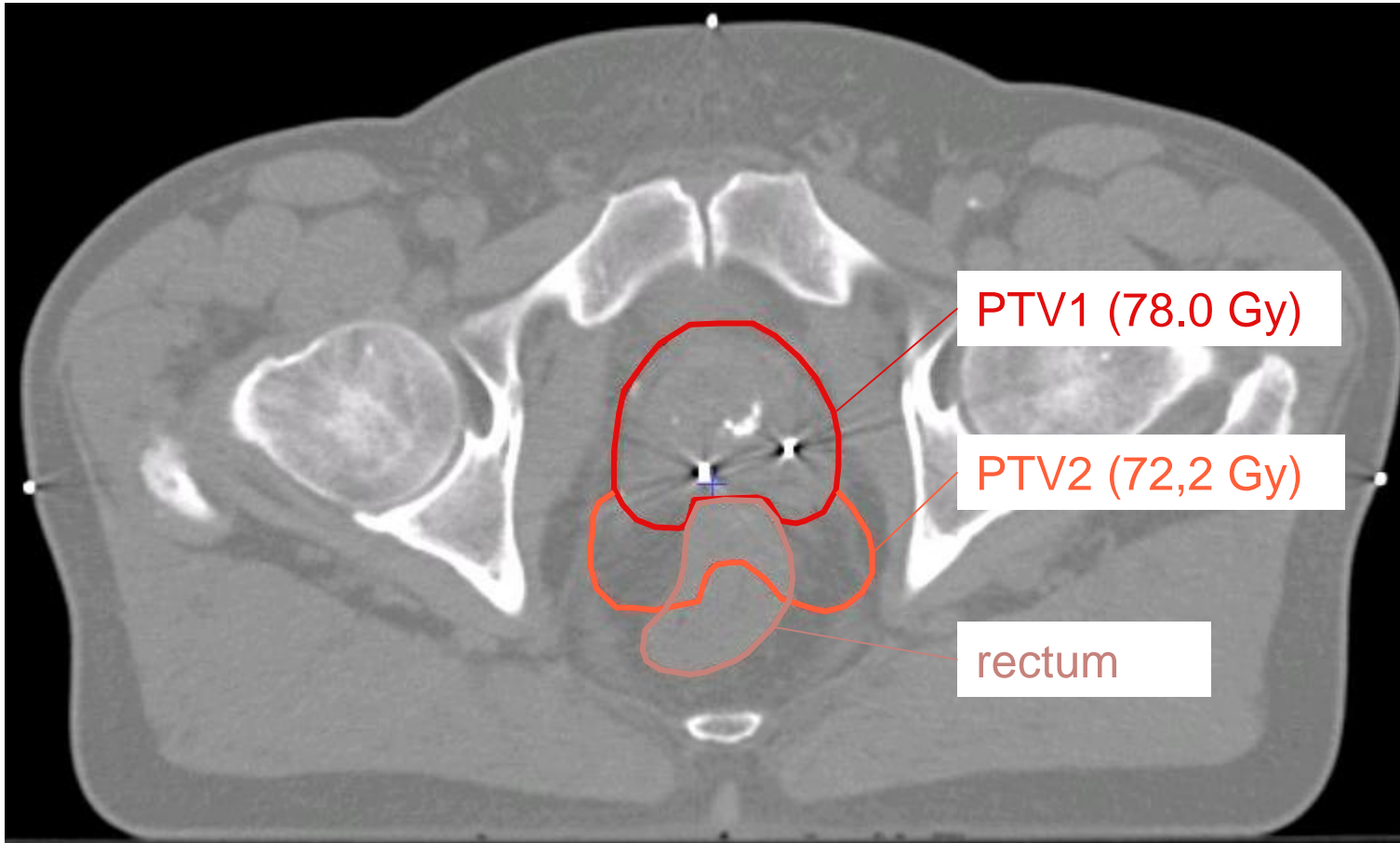
more beams results in:

- more degrees of freedom for the optimizer
- (often) less modulation per field, so easier to segment

more beams will not automatically result in more treatment time!

# prostate planning: 5 vs 7 beams

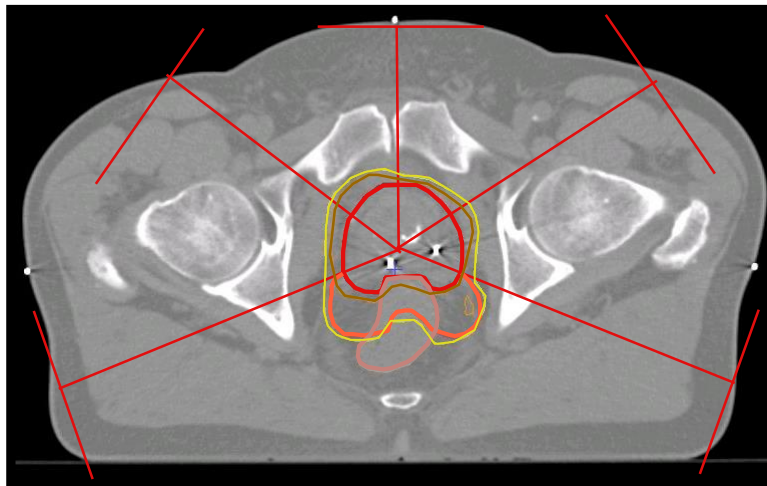
- SIB planning



# prostate planning: 5 vs 7 beams

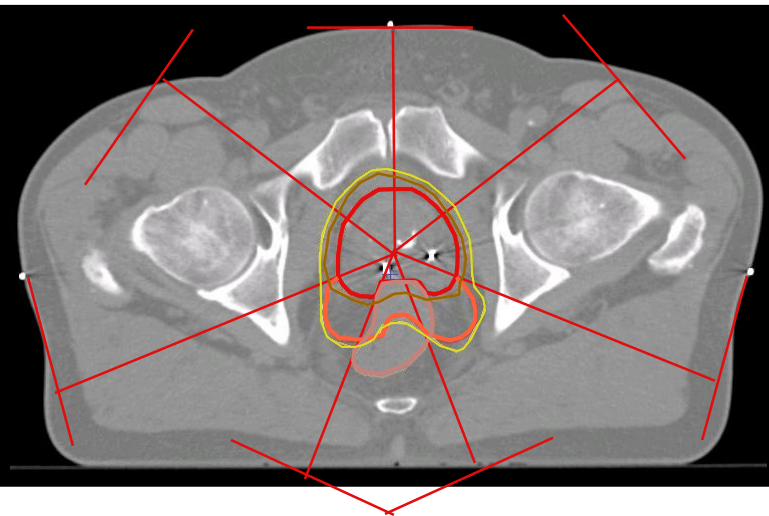


5 beams



- 95%  
- 88%

7 beams

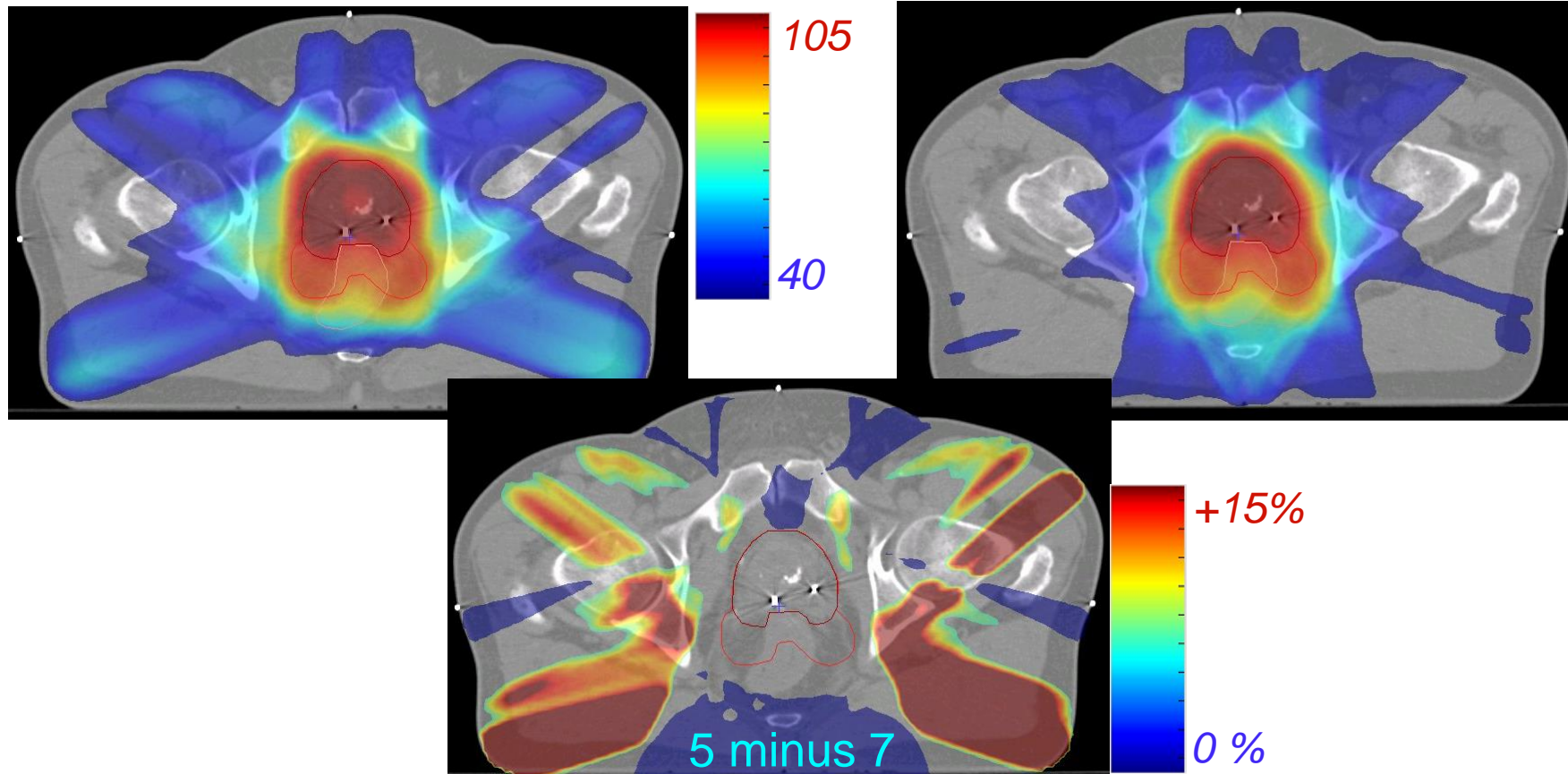


# prostate planning: 5 vs 7 beams

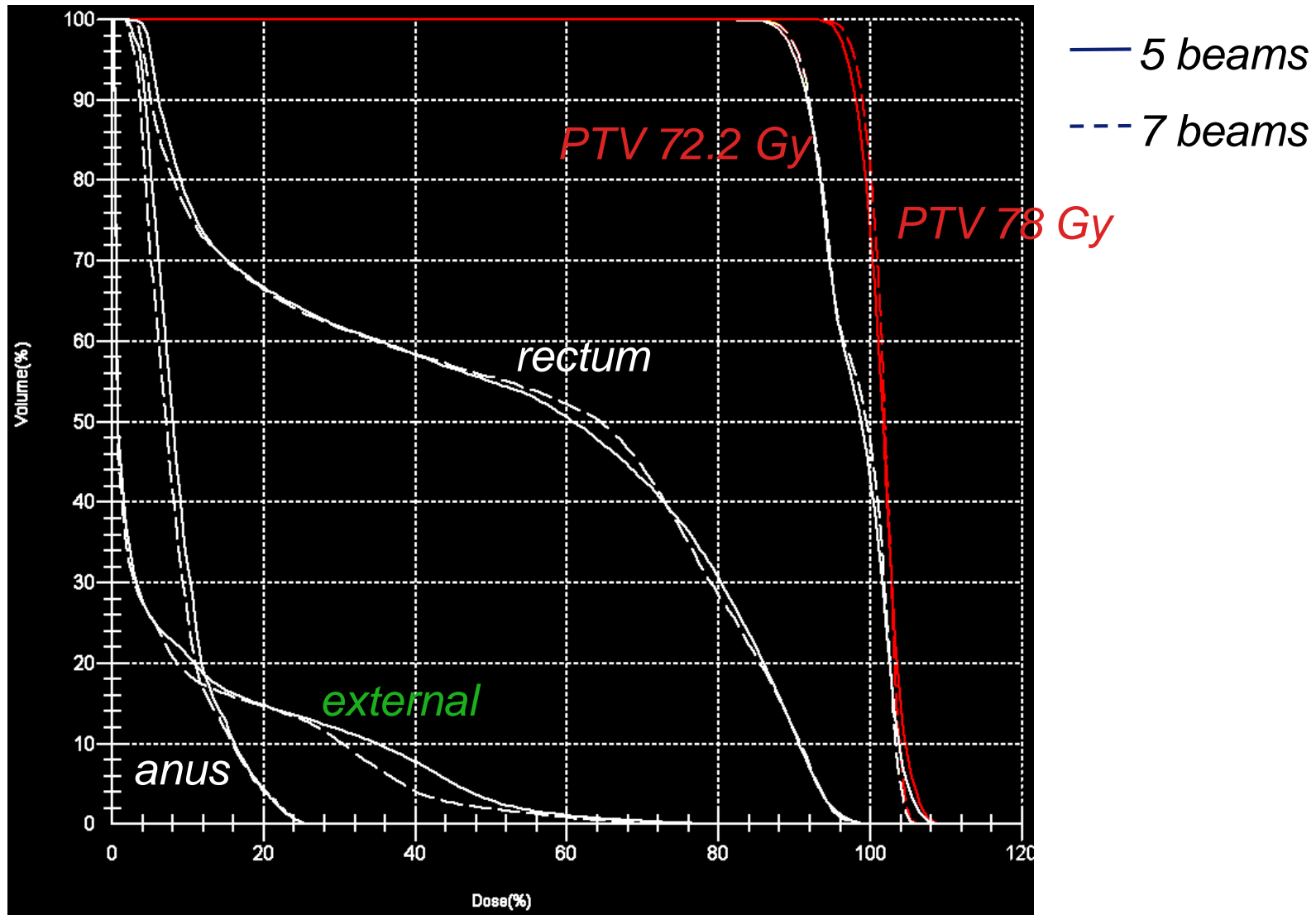


5 beams

7 beams



# prostate planning: 5 vs 7 beams



# prostate planning: 5 vs 7 beams



Monaco		
Mean Dose (Gy)	<i>5 beams</i>	<i>7 beams</i>
External	6.0	5.3
Rectum	39.3	39.2
Anus	6.9	6.3

Monaco		
	<i>5 beams</i>	<i>7 beams</i>
# segments	37	32
# MU's	465	438





# **beam angle optimization**

# Beam angle optimization



current status of the clinical use of non-coplanar (nCP) beams and of

beam angle optimization (BAO):

- nCP beams used a lot in cranial SRT and SBRT (liver, lung), generally without IMRT
  - Gantry-based units: nCP beams requires couch rotations ➡ time consuming, so preferentially avoided
  - (Commercial) TPS for BAO + IMRT are generally not available
- ➡ little is (and can be) known on the added value of BAO + IMRT and non-coplanar beams

# Beam angle optimization



## Rotterdam:

- Several years ago start of a program focused on building inverse planning systems for BAO to investigate optimization of both coplanar and non-coplanar beam setups (initial main focus: liver SBRT)
- new data with strong evidence that both BAO and nCP beams can significantly contribute to treatment plan quality

—————→ ***Erasmus- iCycle***

[Med Phys.](#) 2012 Feb;39(2):951-63.

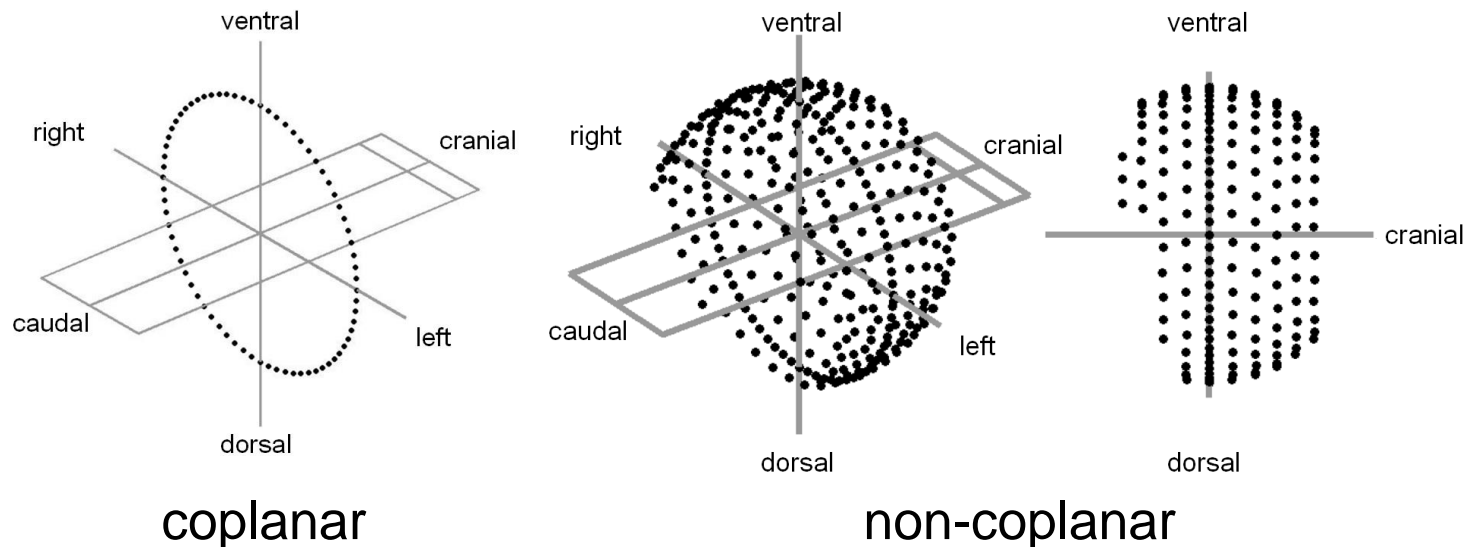
**iCycle: Integrated, multicriterial beam angle, and profile optimization for generation of coplanar and noncoplanar IMRT plans.**

[Breedveld S](#), [Storchi PR](#), [Voet PW](#), [Heijmen BJ](#).

Department of Radiation Oncology, Erasmus MC Rotterdam, Groene Hilledijk 301, 3075 EA Rotterdam, The Netherlands. [s.breedveld@erasmusmc.nl](mailto:s.breedveld@erasmusmc.nl)

# Erasmus-iCycle: main features

- beams are sequentially added to the plan in an iterative procedure
- coplanar beam set-ups: selection from 72 directions ( $5^\circ$ )
- non-coplanar set-ups: extend input beam set with non-coplanar beams that avoid collisions (every  $10^\circ$ ,  $\sim 300$ )



# Example iCycle output



## Non coplanar

Nr of beams	9	8	7	6
-------------	---	---	---	---

### Constraints and objectives:

PTV-bu	49.2	49.2	49.2	49.2
Cord	38.0	38.0	38.0	38.0
ExternalRing	46.7	46.2	46.1	46.8
Unspecified 1	49.2	49.2	49.2	49.2
PTV-bu	0.5	0.5	0.5	0.5
PTVring1cm	47.3	47.6	47.5	48.3
PTVring2cm	41.0	41.8	42.1	43.0
PTVring3cm	35.8	36.8	38.9	37.9
PTVring4cm	33.0	34.1	37.3	35.2
PTVring5cm	30.7	33.6	34.4	32.2
parotis_re	20.0	20.3	20.3	20.4
parotis_li	18.5	19.3	19.8	20.0
SMG_re	26.8	28.8	32.1	36.7
SMG_li	39.9	40.1	40.5	40.7
Unspecified 1	12.7	11.9	11.8	12.3

gain per added beam →

### Angles:

(Gantry, Cou ( 59, -56, 6) ( 59, -56, 6) ( 59, -56, 6) ( 59, -56, 6)  
 ( 309, -36, 6) ( 309, -36, 6) ( 309, -36, 6) ( 309, -36, 6)  
 ( 68, 39, 6) ( 68, 39, 6) ( 68, 39, 6) ( 68, 39, 6)  
 ( 292, 50, 6) ( 292, 50, 6) ( 292, 50, 6) ( 292, 50, 6)  
 ( 313, -76, 6) ( 313, -76, 6) ( 313, -76, 6) ( 313, -76, 6)  
 ( 38, -74, 6) ( 38, -74, 6) ( 38, -74, 6) ( 38, -74, 6)  
 ( 270, -27, 6) ( 270, -27, 6) ( 270, -27, 6)  
 ( 43, 60, 6) ( 43, 60, 6)  
 ( 308, 11, 6)

Optimality when using small number of beams?



# Example: Cervix IMRT Monaco patient

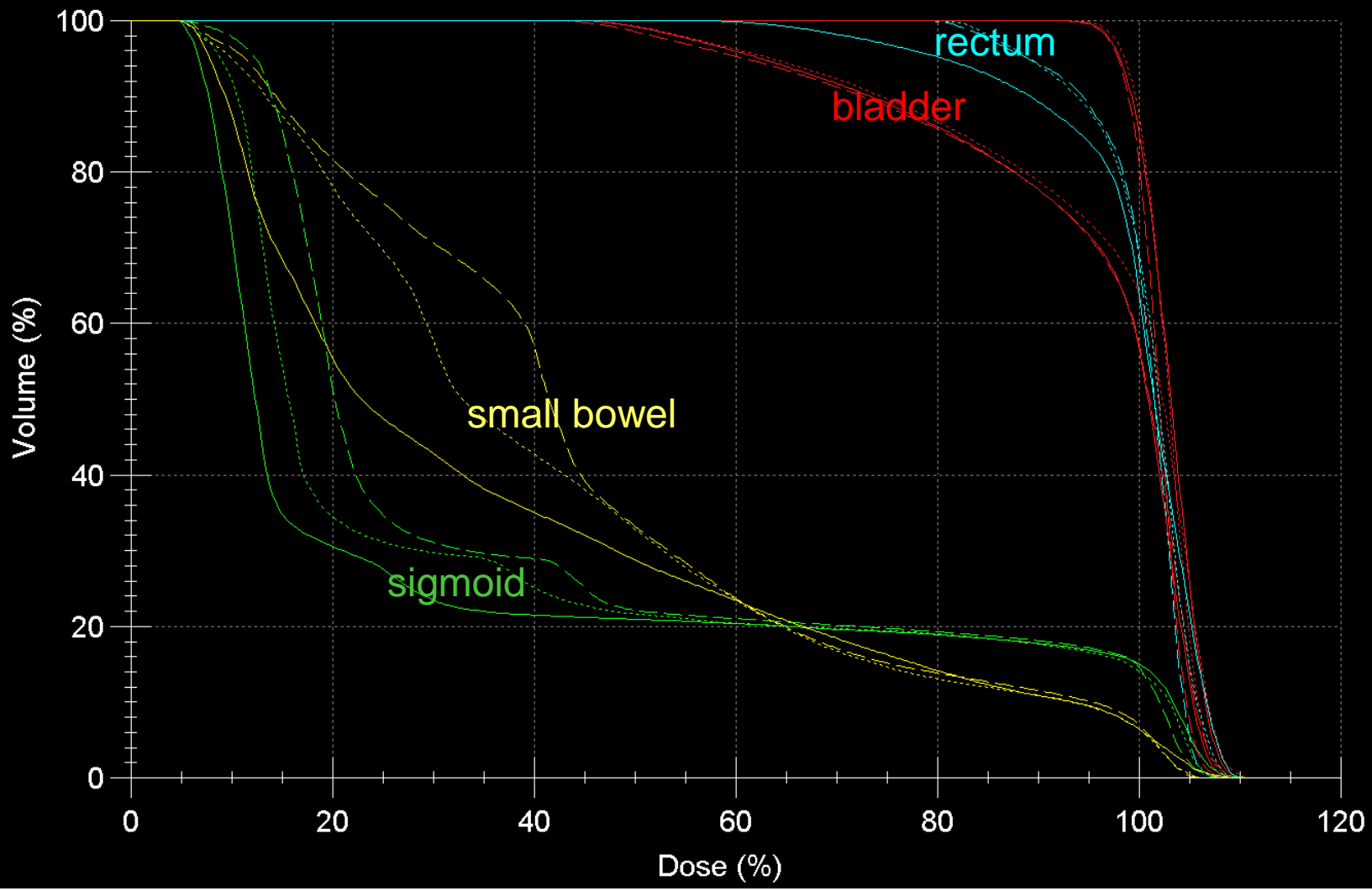


0t46

2

iCyclecoplan

0t46: 1<sup>st</sup> clinical plan  
2: revised clinical plan (beam angles, plan parameters, ..)



# Effect of energy in IMRT planning



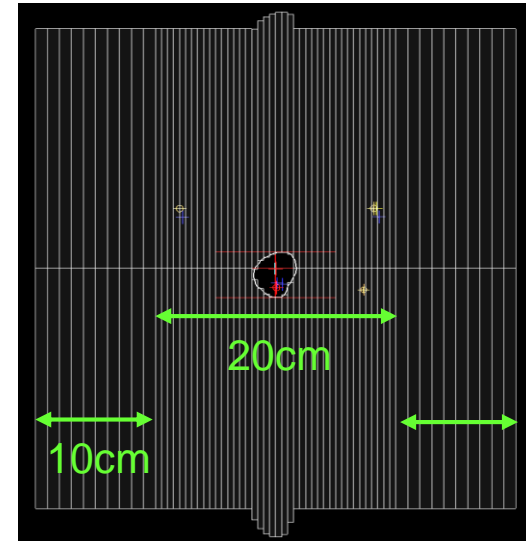
- 6 MV, 10MV, 18MV
  - sharp gradients can only be created using the beam penumbra  
so, 6 MV often results in the best plan, in terms of OAR sparing
  
  - however, the volume treated with low dose differs a lot between different energies
  
  - 6 MV in pelvic region??
  
  - combination of different energies is a good option  
(computer based choice?)



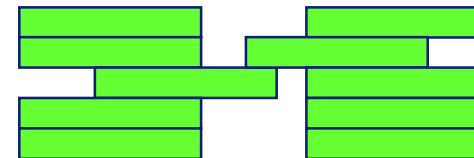
# MLC geometry: Varian (millenium MLC)



- 120 MLC
- max field size : 40 x 40 cm
  - 20 cm : leaf width = 5mm, outside, 1 cm
- maximum overtravel in (IMRT) fields is 14.3 cm:
  - so, if an IMRT field width  $\geq 14.3$  cm  $\longrightarrow$  splitting beam
  - field width  $\approx 28$  cm  $\longrightarrow$  splitting again ('*carriage positions*')

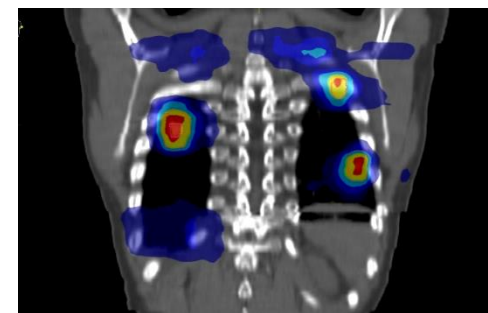
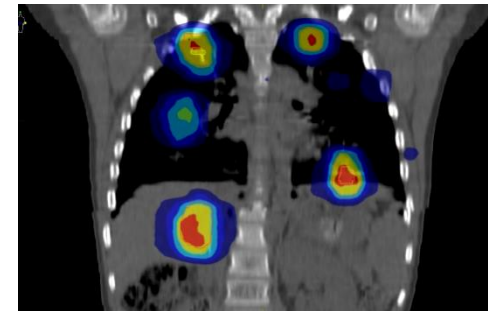
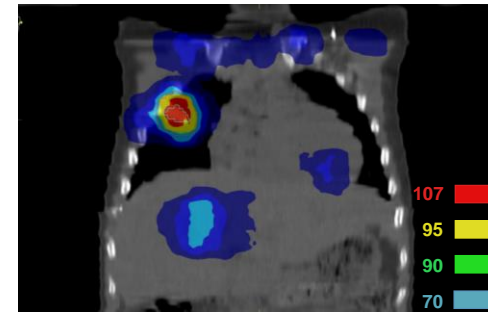
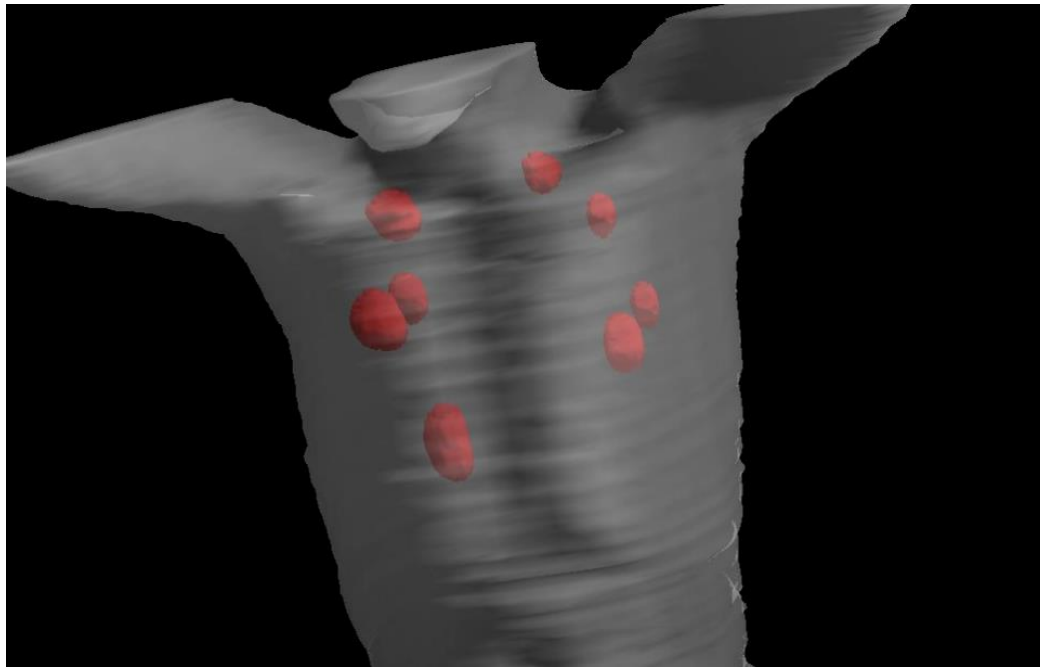


- inter-digitating MLC's
- closing opposing leaf-pairs

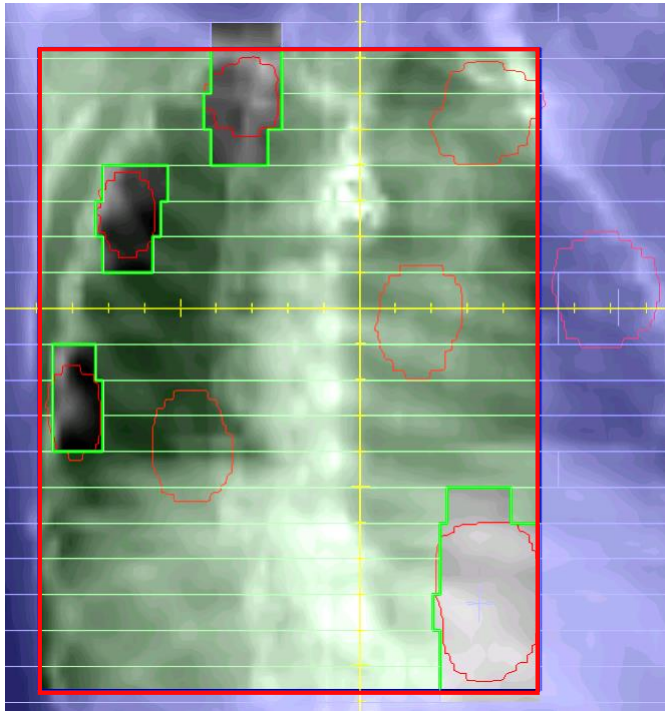


# Clinical example multiple PTV case

- 6 year old boy, nephroblastoma, ri.kidney
- boost on multiple metastases (8 in total!)
- 1 isocenter, 6 x 1.8 Gy



# Example multiple PTV (8!) IMRT plan: Varian



segment 1



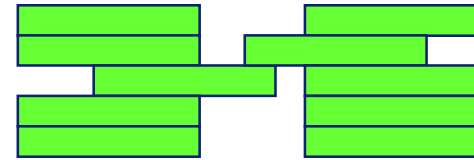
segment x

1.8 Gy / fraction  
8 fields  
38 segments, 555 MU

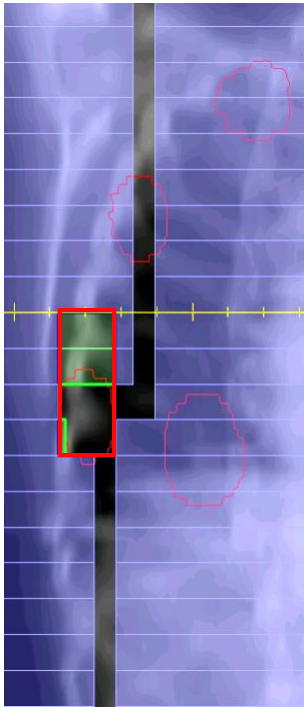
# MLC geometry: Elekta (MLCi, MLCi2)



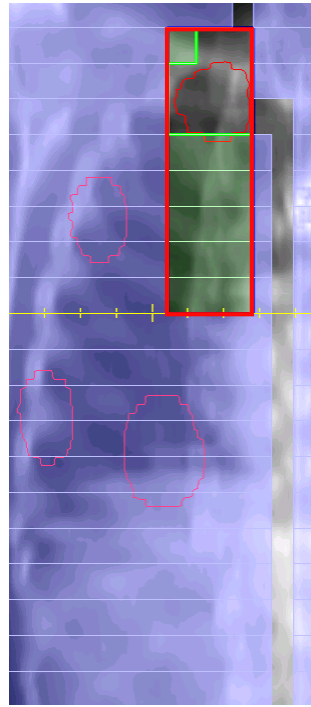
- no splitting of beams
- MLCi : no interdigitating leaves
- MLCi2 : interdigitating leaves
- minimum gap for opposing leaf pairs : 5 mm (MLCi , MLCi2)
- No overtravel on Y-jaws (MLCi , MLCi2)



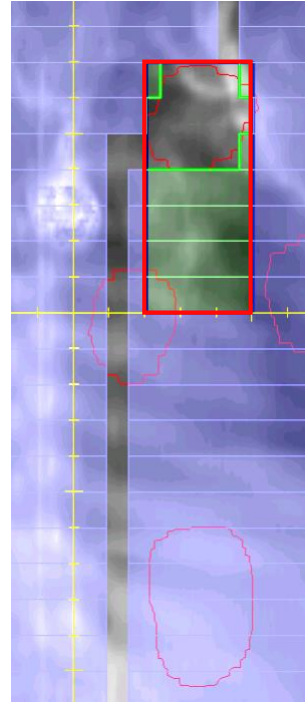
# Example multiple PTV IMRT plan: Elekta , MLCi



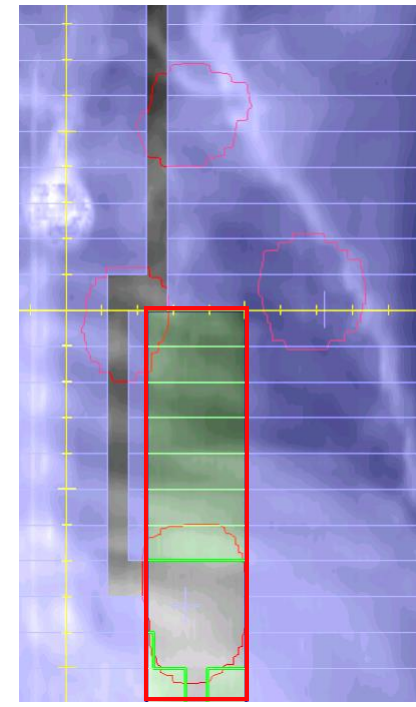
segment 1



segment 2



segment 3



segment x

1.8 Gy / fraction  
8 fields  
131 segments, 2239 MU

similar DVH's Varian - Elekta

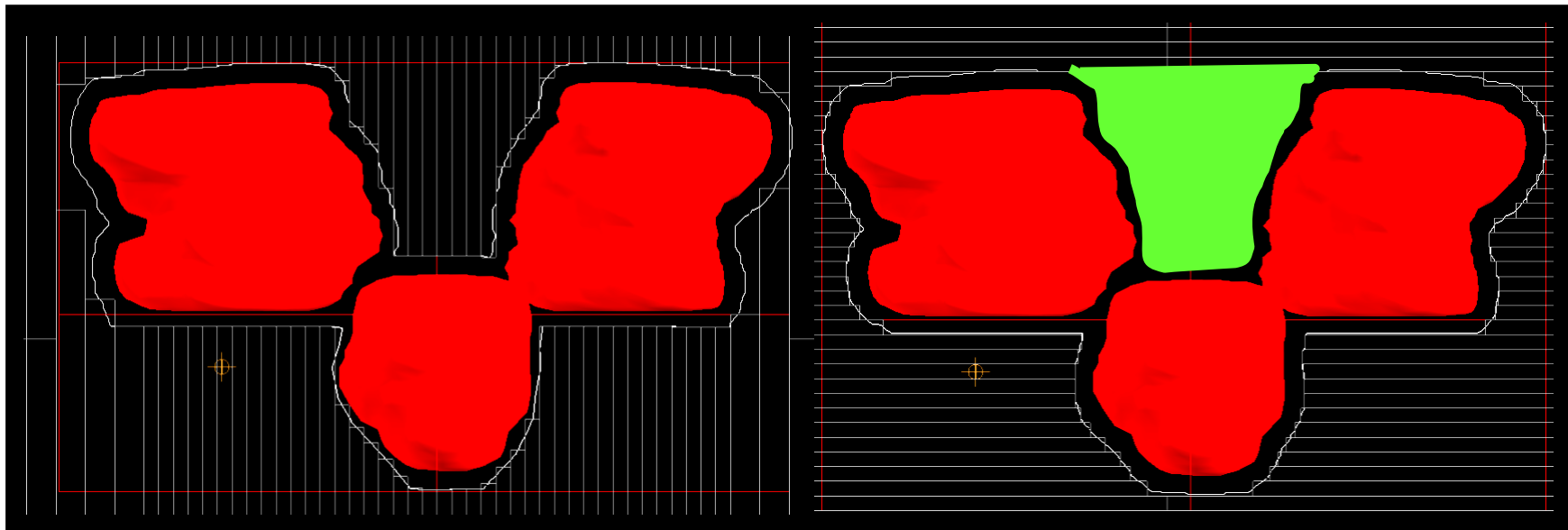
# Example multiple PTV IMRT plan: Elekta versus Varian

- 3.4 x more # segments
- 4 x more # MU
- in this example the MLC limitations resulted in large differences. Step&Shoot IMRT segmentation might not be the best approach on an Elekta linac equipped with MLCi in **this specific** case

in 'normal' cases not much difference between Varian and Elekta MLCi  
MLCi2: improved segmentation, similar to Varian MLC

# Collimator angle

- effect of collimator angle depends on the IMRT restrictions

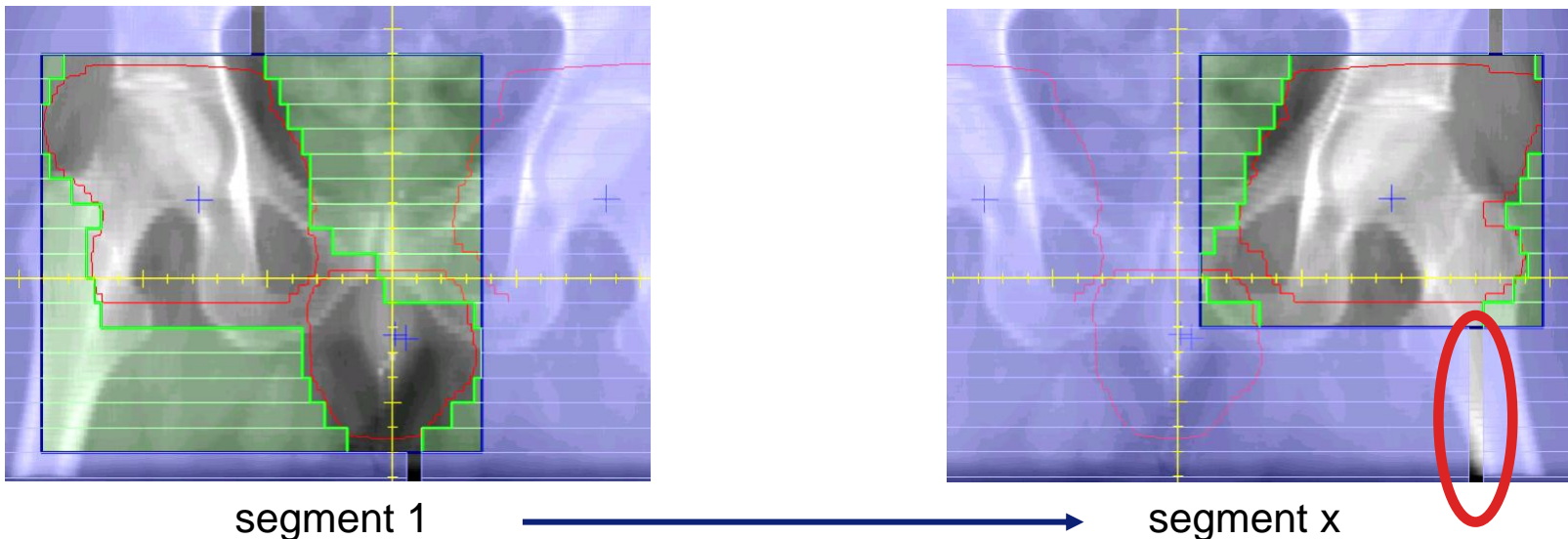


Collimator 90°

Collimator 0°

# Effect of collimator angle depends on the IMRT delivery

- In step&shoot delivery: block the 'central area'

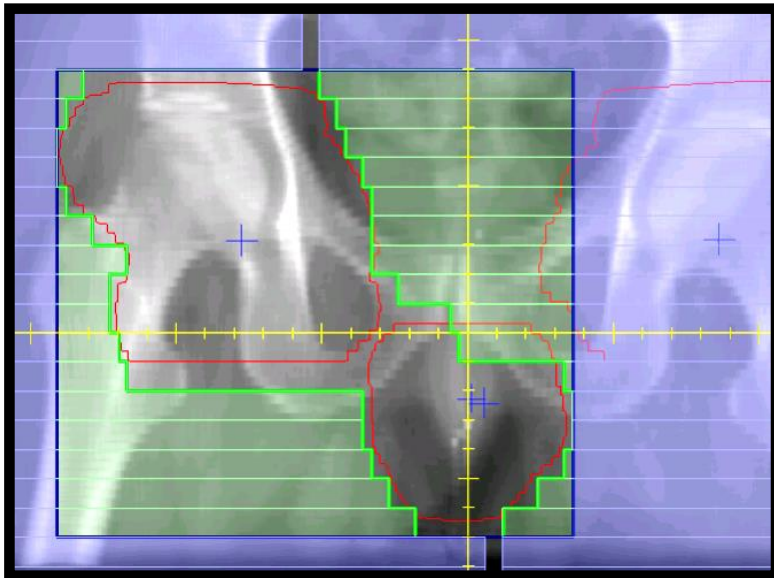


- in d-MLC delivery:
  - leafs should be closed when travelling 'across' the central area
  - Elekta MLCi 90° versus Varian / Elekta MLCi2: 0° / 90°
  - or allow for '*move only segments*'

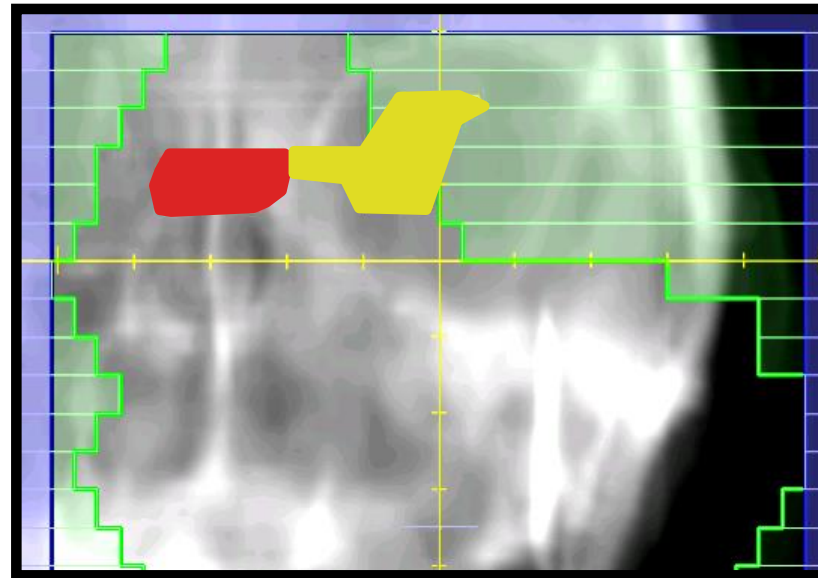


# Leaf width

- *'The smaller the leaf width, the better the plan' .....*  
however .... the effect of leaf width is relative!



1 cm width will do fine in most cases  
(anal case)

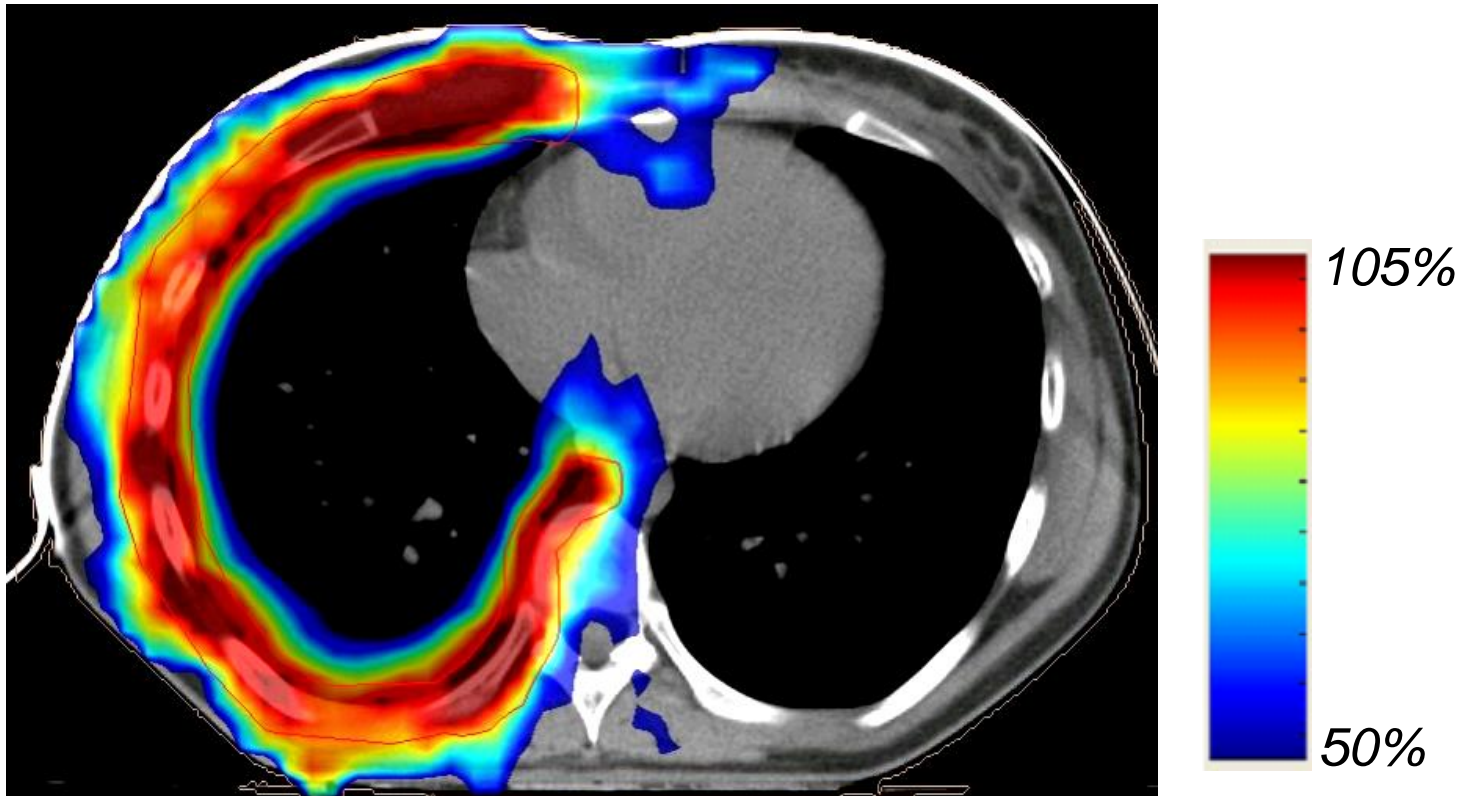


0.5 cm width might be too coarse  
for small OARs

optimize collimator rotation and isocenter position

# Number of MU in IMRT planning

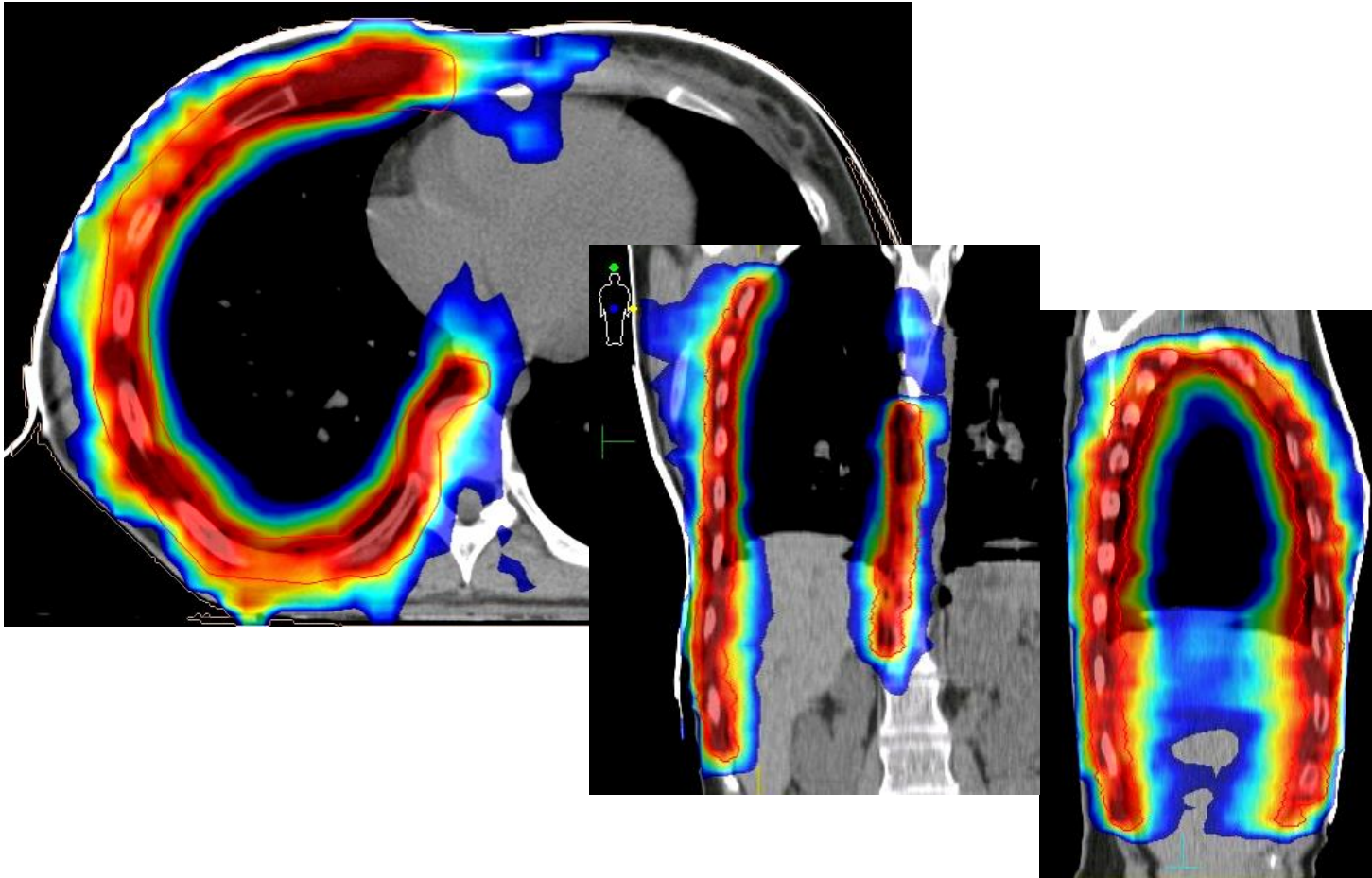
- is there a maximum in the number of MU to be delivered?  
how many MU/Gy do we accept?



# Number of MU in IMRT planning

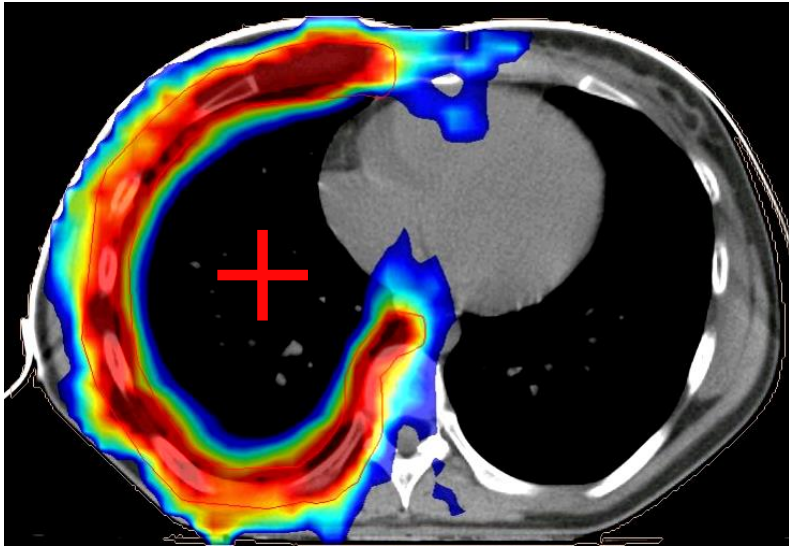


- around 2200 MU / 2 Gy  $\longrightarrow$  is there an alternative??



# Isocenter position

- like in non-IMRT:
  - try to place the isocenter in the high-dose region
  - in some cases this is not possible



- isocenter dose = 35%
- additional points per beam to check the dose

# IMRT as efficiency tool for 'simple 3D-CRT'



- IMRT is often used as technique for the most difficult cases
  - what about using it for 'simple' 3D conformal plans?

# IMRT as efficiency tool for 'simple 3D-CRT'



- bladder : 33 x 2.0 Gy



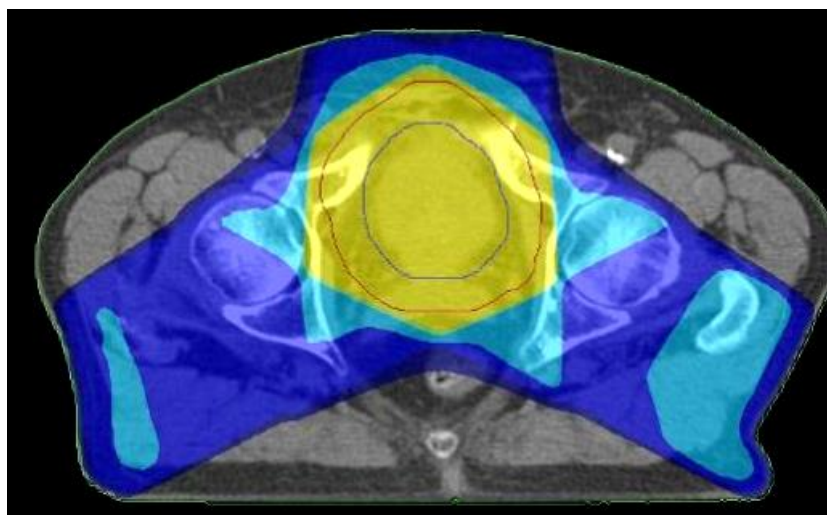
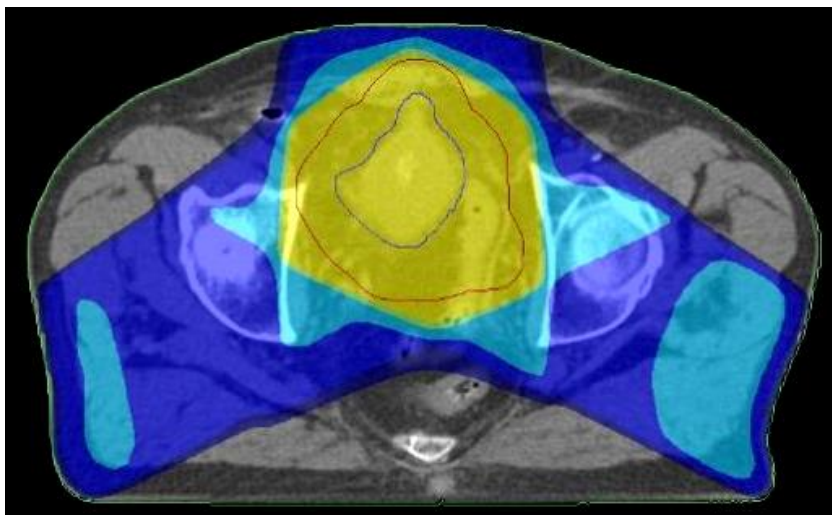
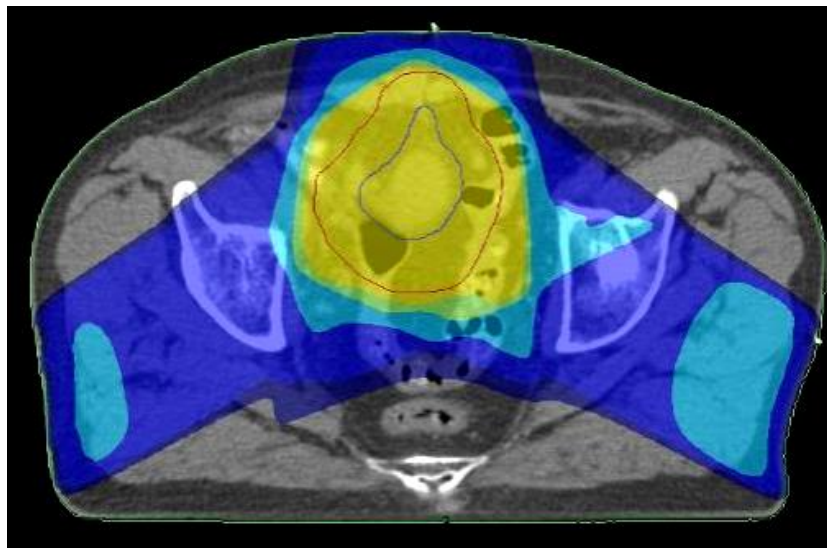
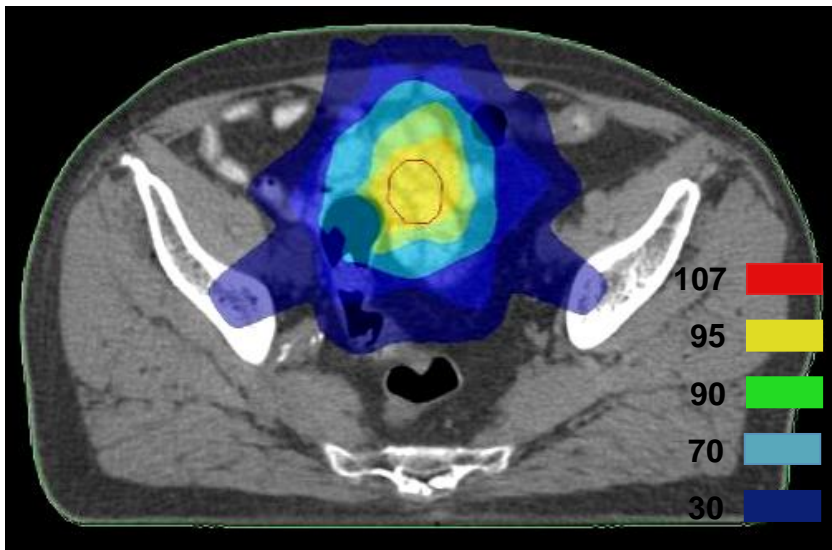
# IMRT as efficiency tool for 'simple 3D-CRT' : Bladder

Structure	Cost Function	Is On	Status	Reference Dose (cGy)	Multicriterial	Isoconstraint	Isoeffect	Relative Impact
PTV	Poisson Statistics Cell Kill Model	<input checked="" type="checkbox"/>	OFF		<input type="checkbox"/>	6600.0	0.0	
	Quadratic Overdose Penalty	<input checked="" type="checkbox"/>	OFF	6800.0	<input type="checkbox"/>	60.0	0.0	
External	Quadratic Overdose Penalty	<input checked="" type="checkbox"/>	OFF	6500.0	<input type="checkbox"/>	15.0	0.0	
	Quadratic Overdose Penalty	<input checked="" type="checkbox"/>	OFF	5200.0	<input type="checkbox"/>	30.0	0.0	

## challenges:

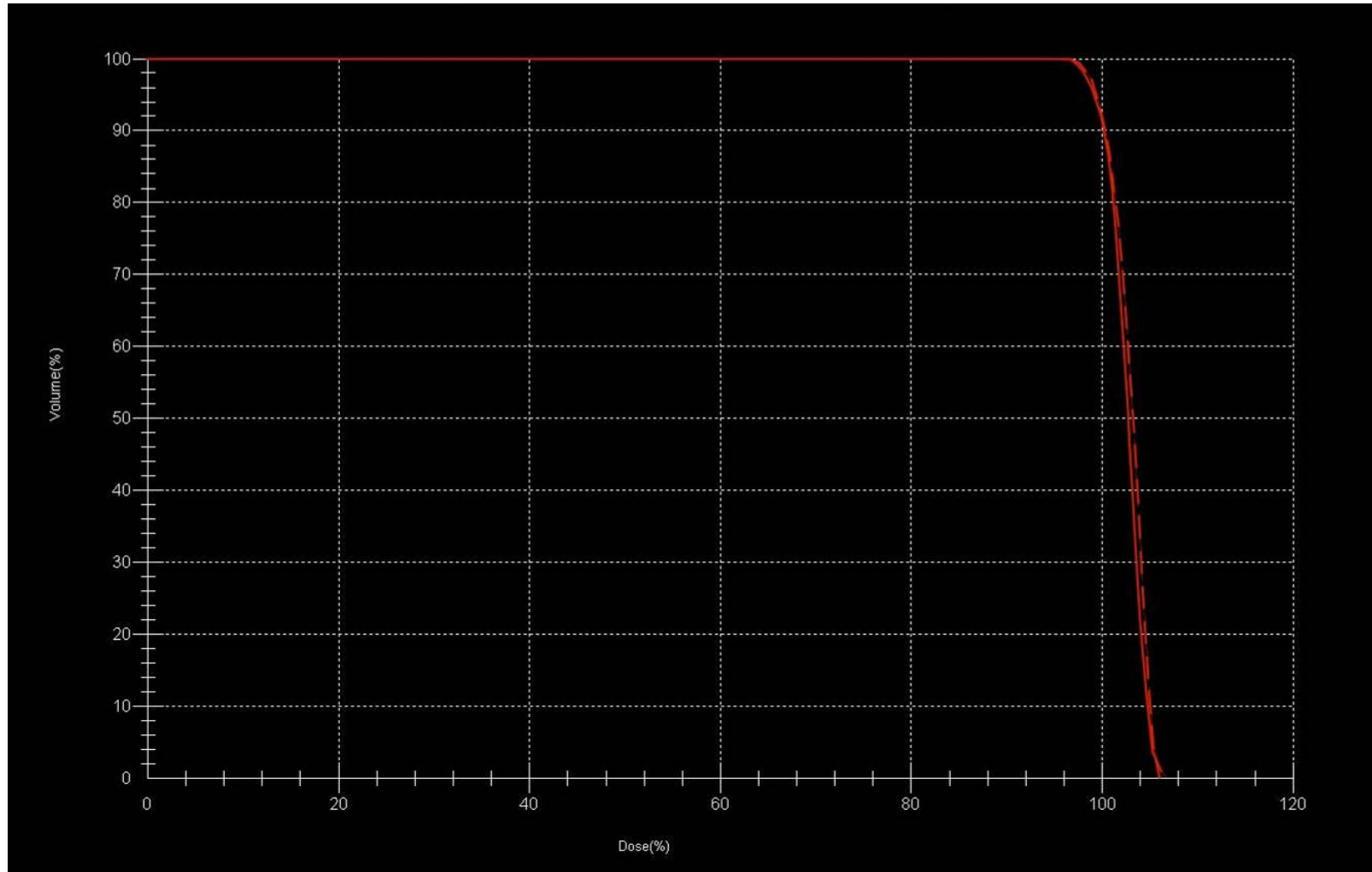
- coverage at least similar to 3DCRT
- reduction of planning time
- no increase in treatment time

# IMRT as efficiency tool for 'simple 3D-CRT' : bladder





# IMRT as efficiency tool for 'simple 3D-CRT' : Bladder

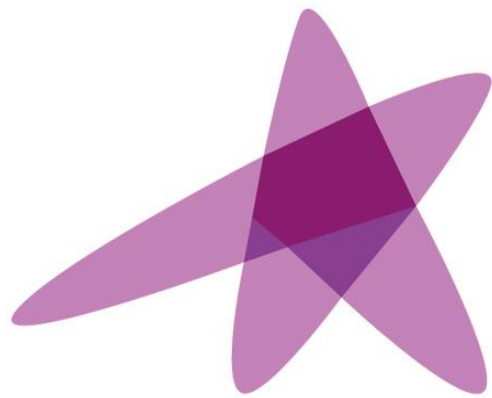


- IMRT
- - - 3DCRT

# IMRT as efficiency tool for 'simple 3D-CRT' : Bladder



- IMRT
  - Plan time 6 min.
  - 3 beams
  - 312 MU
  - 5 segments
- 3DCRT
  - Plan time 30 min.  
(hands on!)
  - 3 beams
  - 468 MU (wedges)



**ESTRO**  
*School*

# Geometric uncertainties and how to deal with them

Marcel van Herk

Institute of Cancer Sciences  
Manchester University  
The Christie NHS Trust

(Formerly at the Netherlands Cancer Institute)

MANCHESTER  
1824

The University of Manchester  
Manchester Cancer Research Centre

The Christie   
NHS Foundation Trust

# Problems in radiotherapy:

The patient is nervous, did not sleep the night before and lay wriggling on the CT scanner

The physician was in a rush when drawing the target volume

The patients belly flopped from day to day, letting the skin marks move all over the place

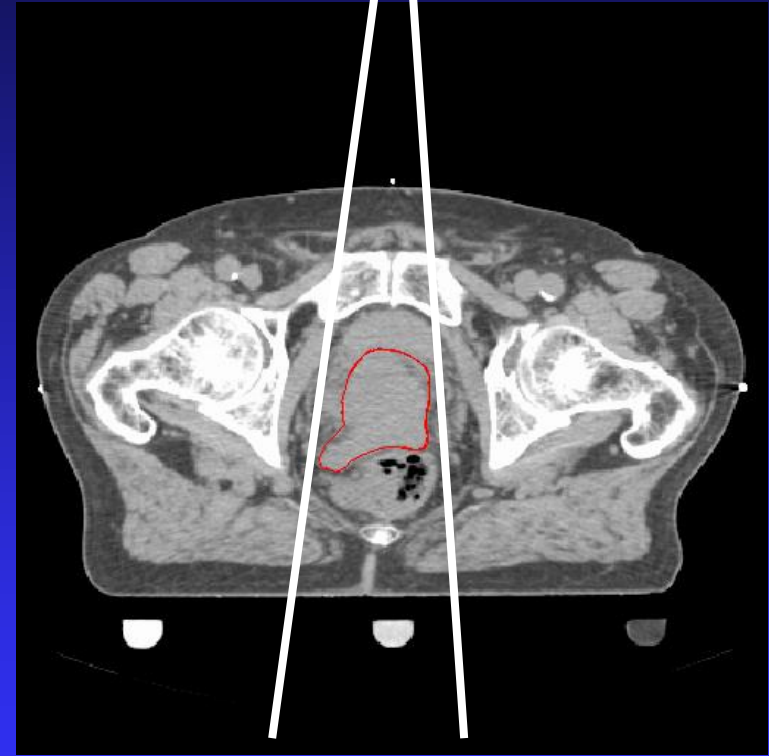
The patient was breathing



# How can we solve this problem ?



1. Use large margins, irradiating too much healthy tissues



2. Use small margins, and risk missing the target

3. Or: use image guided radiotherapy

# Image Guided Radiotherapy

Increase precision by imaging target and/or healthy tissues just prior to treatment

Image guidance does not solve all geometrical uncertainties and variations *and introduces new ones*

# IGRT Technologies



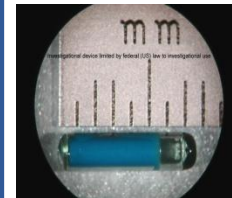
Ultrasound



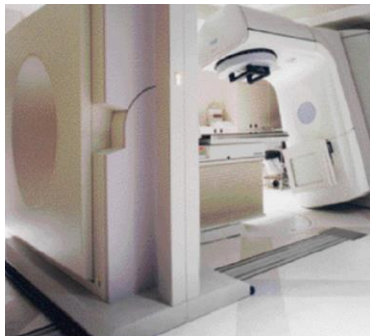
kV Radiographic



Portal Imaging



Markers  
(Active and Passive)



Siemens  
PRIMATOM™

kV CT



TomoTherapy  
Hi-Art™

MV CT



Elekta Synergy

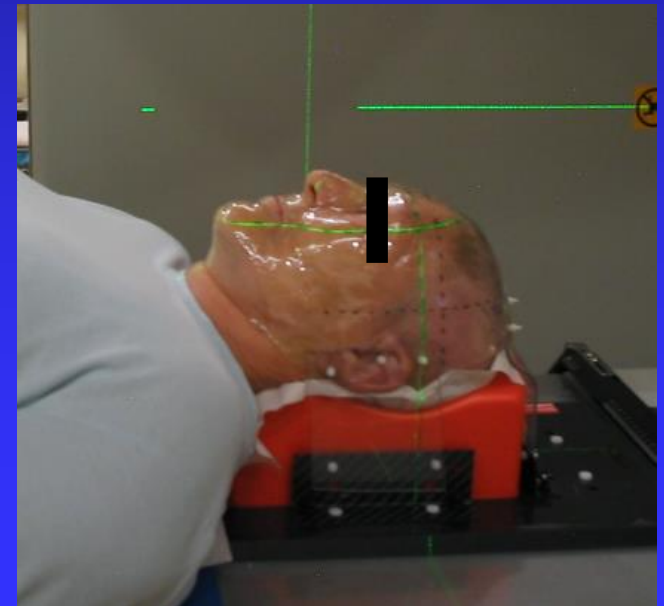
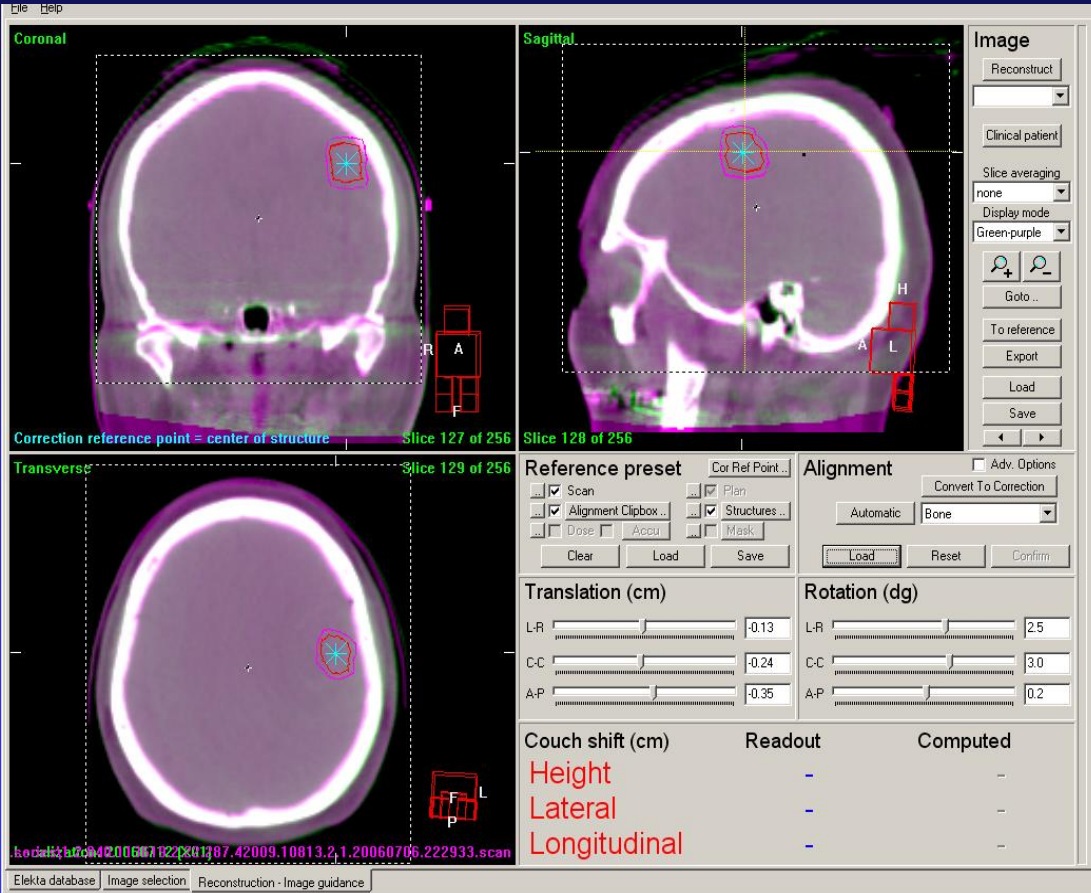
kV and MV Cone-beam CT



Varian OBI™



# IGRT is brilliant !



Accuracy registration: 0.1 mm SD  
Accuracy table: 0.5 mm {x, y, z}  
Intra-fraction motion: 0.3 mm SD

# Nomenclature

- Gross error: mistakes, transcription errors, software faults:
  - must be caught by QA
- Error: difference between planned value and its true value during treatment, however small
- Uncertainty: the fact that unpredictable errors occur – quantified by standard deviations
- Variation: the fact that predictable or periodic errors occur

# EPID dosimetry QA to catch gross errors: used for all curative patients at NKI



EPID movie



## Reconstructed EPID dose (VMAT case)



per frame



cumulative

Precision: within few %, enough to catch gross errors

# Gross errors detected in NKI

2640 Mans *et al.*: Catching errors with *in vivo* EPID dosimetry

TABLE I. Errors detected by means of EPID dosimetry from the clinical introduction to July 2009, grouped by (a) treatment site and (b) error type.

(a) Site	Clinical introduction	No. of patients	No. of errors
Prostate	02–2005	1018	2
Rectum	07–2006	602	4
Head-and-neck	06–2007	543	4
Breast	01–2008	1319	2
Lung	01–2008	454	2
Others	01–2008	401	3
	<b>Total</b>	<b>4337</b>	<b>17</b>

(b) Error type	No. of errors
Patient anatomy	7
Plan transfer	4
Suboptimally tuned TPS parameter	2
Accidental plan modification	2
Failed delivery	1
Dosimetrically undeliverable plan	1
<b>Total</b>	<b>17</b>

0.4% of treatments show a gross error (>10% dose)

9 out of 17 errors would not have been detected pre-treatment !!

# What happens in the other 99.6% ?

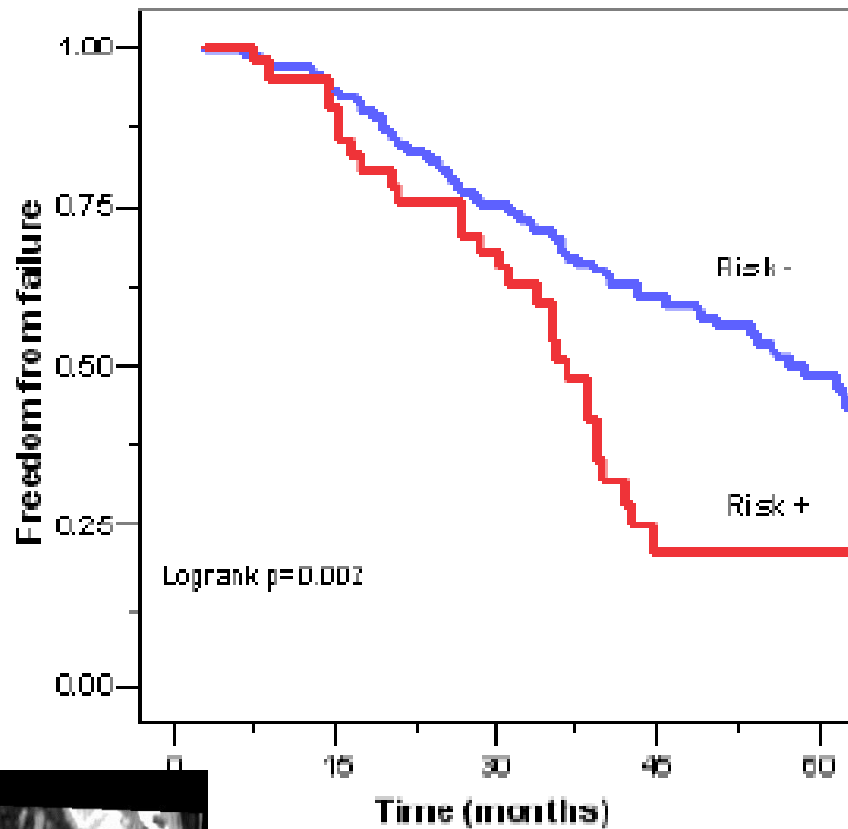
- There are many small unavoidable errors (mm size) in all steps of radiotherapy
  - In some cases many of these small errors point in the same direction
  - I.e., in some patients large (cm) errors occur(ed)
- This is not a fault, this is purely statistics
- What effect does this have on treatment?
  - We do not really know!

# Motion counts? Prostate trial data (1996)

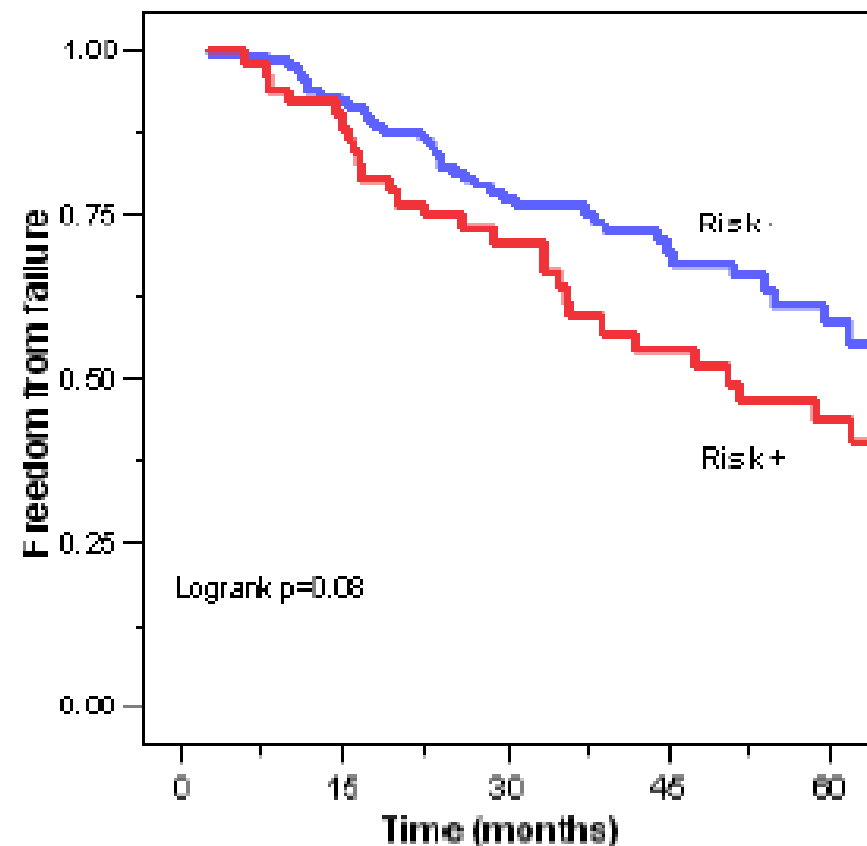
N=185 (42 risk+)

N=168 (52 risk+)

Treatment group III/IV, low dose group (67.9 Gy)



Treatment group III/IV, high dose group (77.9 Gy)

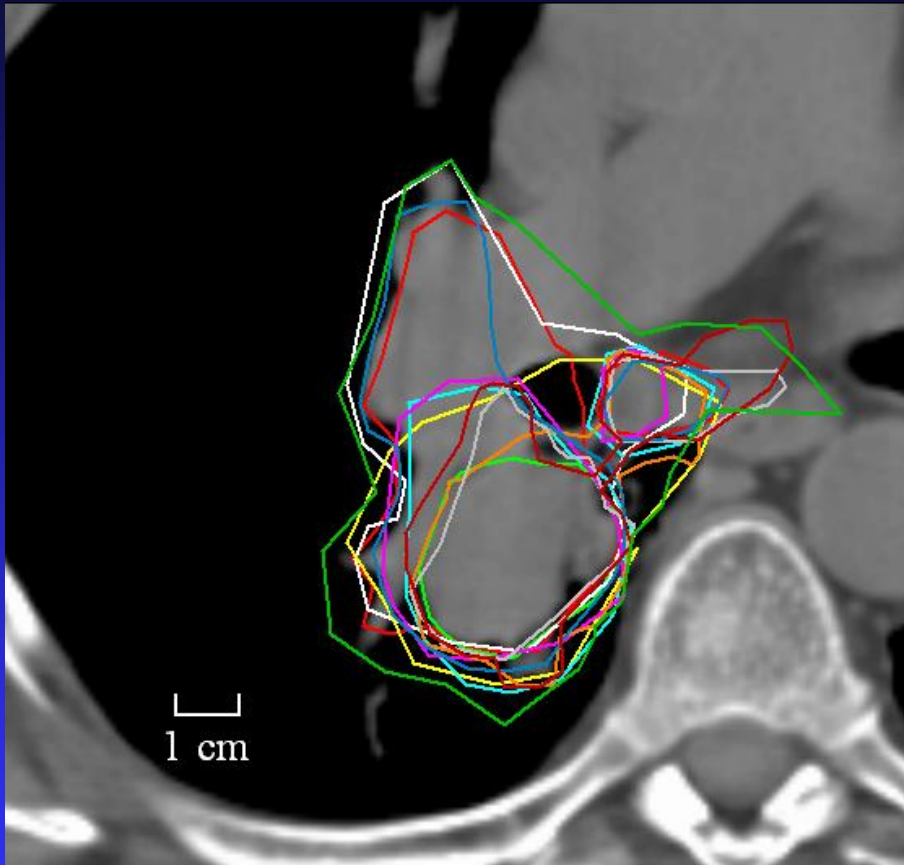


Risk+: initial full rectum, later diarrhea

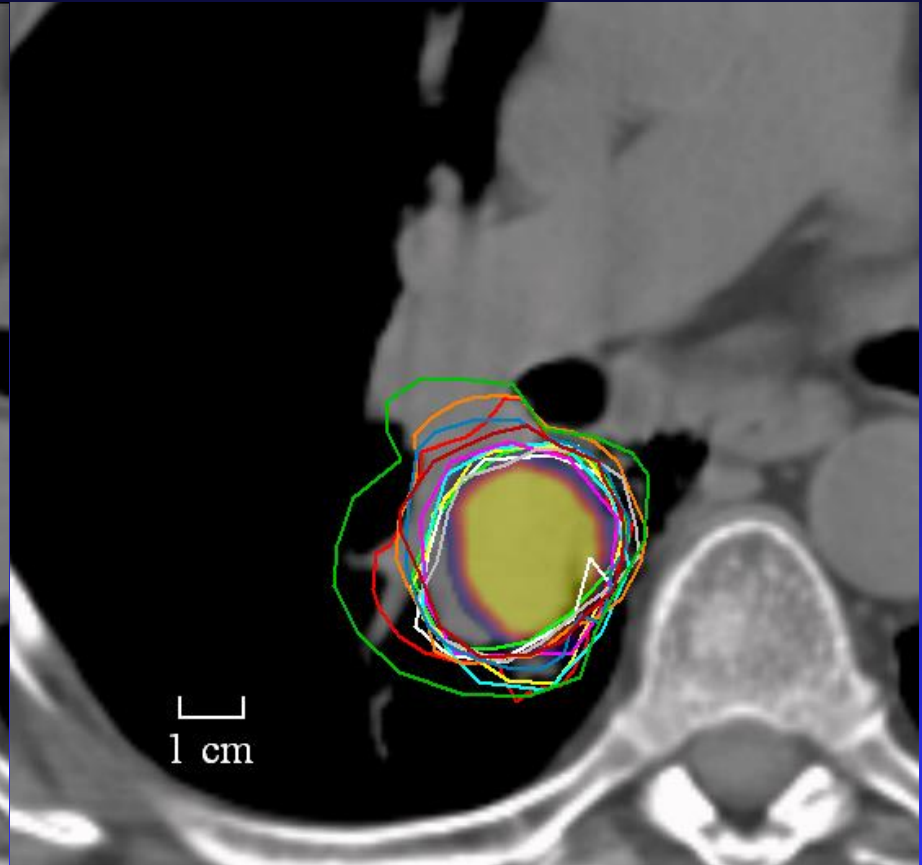
# The major uncertainties not solved by IGRT

- Target volume definition
  - GTV consistency
  - GTV accuracy
- Inadequacy of surrogate used for IGRT
- Motion that cannot be corrected
  - Too fast
  - Too complex

# Delineation variation: CT versus CT + PET



CT (T<sub>2</sub>N<sub>2</sub>)  
SD 7.5 mm



CT + PET (T<sub>2</sub>N<sub>1</sub>)  
SD 3.5 mm

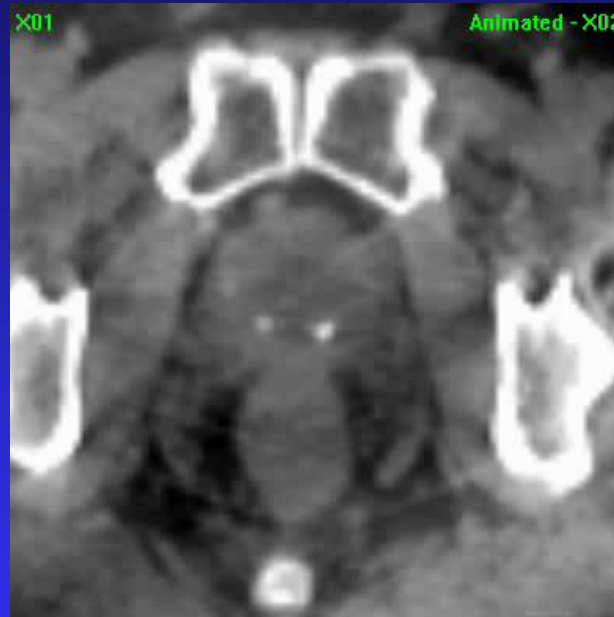
Consistency is imperative to gather clinical evidence!



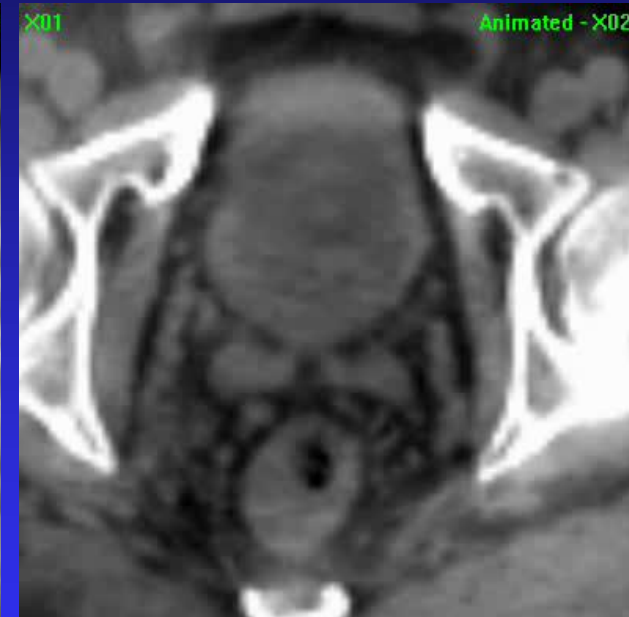
# Are prostate markers perfect ?



Apex



Base



Sem. Vesicles

→ +/-1 cm margin required

Best: combine markers with  
low dose CBCT

van der Wielen, IJROBP 2008  
Smitsmans, IJROBP 2010

# Intra-fraction motion: CBCT during VMAT

The screenshot displays a medical software interface for CBCT registration. It features three main image windows: a top-left window showing a transverse slice with a yellow crosshair and a red target area; a top-right window showing a similar slice with a red target area; and a bottom-left window labeled 'Transverse' with a yellow crosshair and a red target area. The bottom-left window also contains the text 'NKI-XVI 4.32 FOR RESEARCH NOT FOR CLINICAL USE' and 'Localization: 20160701 0 [x02]'. The bottom-right window shows 'Reference' and 'Protocol' settings, including 'Registration: Clipbox -> Mask' and 'Correction from: Mask (mean if 4D)'. Below these are 'Registration (Clipbox)' settings, including 'Method: Grey value (T + R)' and 'Automatic Registration'. The 'Position Error' section shows 'Translation (cm)' and 'Rotation (deg)' values for X, Y, and Z axes, all set to 0.00. The 'NKI-AVL Mode' section includes 'Dismiss', 'Load', and 'Accept' buttons. The bottom status bar shows 'Elekta database | Image selection | Reconstruction - Image guidance'.

**Image**

Reconstruct

4D LungClin

Export

Slice averaging

3 slices

Display mode

Localization on

Load Save

Frame 0 of 10

**Reference**

Markers ... Cor Ref .. Patient

Scan ... Structures ... Load

Clipbox ... Mask ... Save

Plan Clear

**Protocol**

Registration: Clipbox -> Mask

Correction from: Mask (mean if 4D)

**Registration (Clipbox)**

Method: Grey value (T + R)

Automatic Registration

**Position Error**

Translation (cm) Rotation (deg)

X 0.00 X 0.0

Y 0.00 Y 0.0

Z 0.00 Z 0.0

Reset

Next: Register Mask

Register Clipbox Register Mask Correction Overview

**NKI-AVL Mode**

Dismiss Load Accept

Elekta database | Image selection | Reconstruction - Image guidance

# Intra-fraction motion: CBCT during VMAT

The screenshot displays the Elekta image guidance software interface. It features three image windows showing transverse CBCT slices of a lung. The top-left window shows a target (red) and a mask (magenta). The top-right window shows the same target and mask after registration. The bottom-left window shows the reference image with a yellow registration point. The control panel on the right includes an 'Image' section with 'Reconstruct', 'Export', 'Slice averaging' (3 slices), and 'Display mode' (Localization on). Below are 'Load', 'Save', and navigation buttons. The 'Reference' section has checkboxes for 'Markers', 'Scan', 'Clipbox', 'Cor Ref', 'Structures', 'Mask', and 'Plan'. The 'Protocol' section shows 'Registration: Clipbox -> Mask' and 'Correction from: Mask (mean if 4D)'. The 'Registration (Clipbox)' section shows 'Method: Grey value (T + R)' and 'Automatic Registration'. The 'Position Error' table is as follows:

Position Error	
Translation (cm)	Rotation (deg)
X: 0.00	X: 0.0
Y: 0.00	Y: 0.0
Z: 0.00	Z: 0.0

At the bottom, there are buttons for 'Register Clipbox', 'Register Mask', 'Correction', 'Overview', 'Dismiss', 'Load', and 'Accept'. The status bar at the bottom left shows 'Elekta database | Image selection | Reconstruction - Image guidance'. A watermark 'NKI-XVI 4.321-0M RESEARCH NOT FOR CLINICAL USE' is visible in the top-right image window. A note at the bottom left of the image windows reads 'Localization: arbitrary scan loaded from disk | Reference: 0016623.scan'.

This amount of intra-fraction motion is rare for lung SBRT

# Definitions (sloppy)

- CTV: Clinical Target Volume  
The region that needs to be treated (visible plus suspected tumor)
- PTV: Planning Target Volume  
The region that is given a high dose to allow for errors in the position of the CTV
- PTV margin: distance between CTV and PTV
- *ITV not optimal for external beam! (SD add quadratically)*

# Analysis of uncertainties

## Keep the measurement sign!

	patient 1	patient 2	patient 3	patient 4
fraction 1	0.5	0.0	0.2	0.7
fraction 2	0.6	-0.5	0.3	0.2
fraction 3	0.9	0.2	0.2	-0.4
fraction 4	1.3	-1.1	0.3	-0.1
mean	0.8	-0.4	0.3	0.1
sd	0.3	0.6	0.1	0.5

Intra-fraction

0.0

0.3

0.4

0.1

0.3

Mean = 0.2

RMS of SD =  $\sigma_f$

mean = M  
SD =  $\Sigma$   
RMS =  $\sigma$

M = mean group error (equipment)

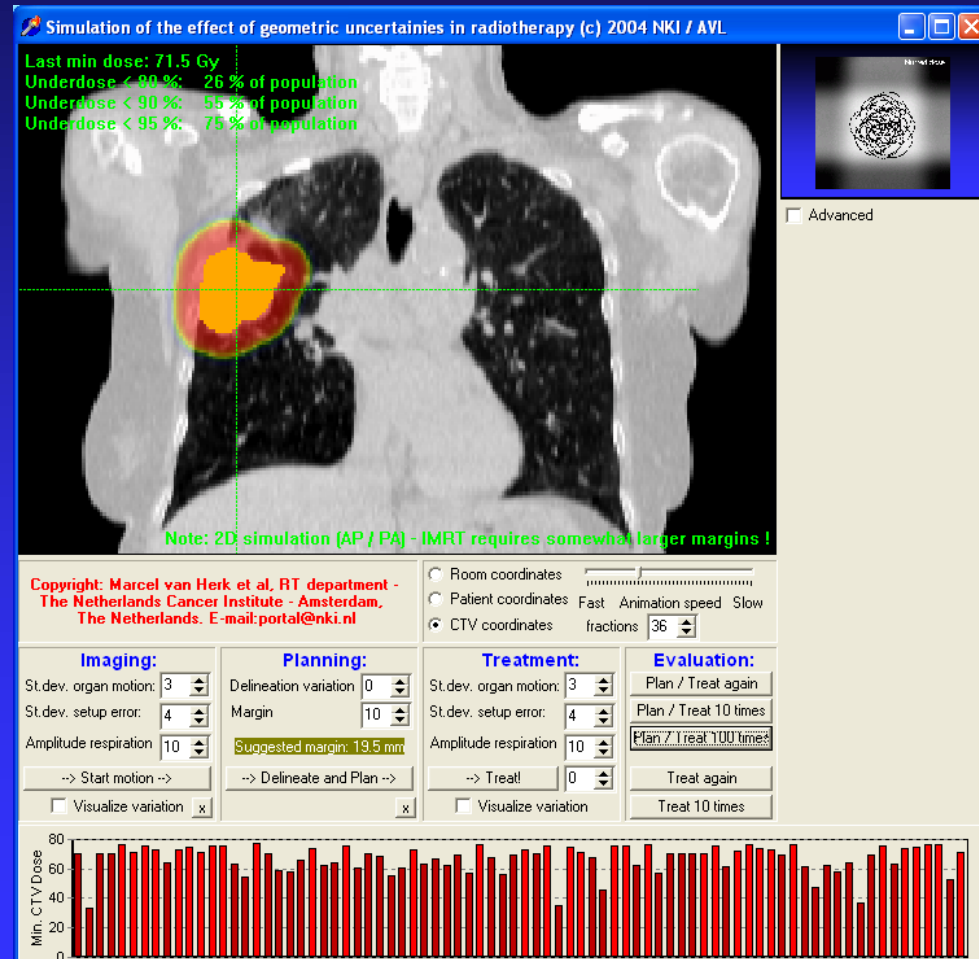
$\Sigma$  = standard deviation of the inter-patient error

$\sigma$  = standard deviation of the inter-fraction error

$\sigma_f$  = standard deviation of the intra-fraction motion

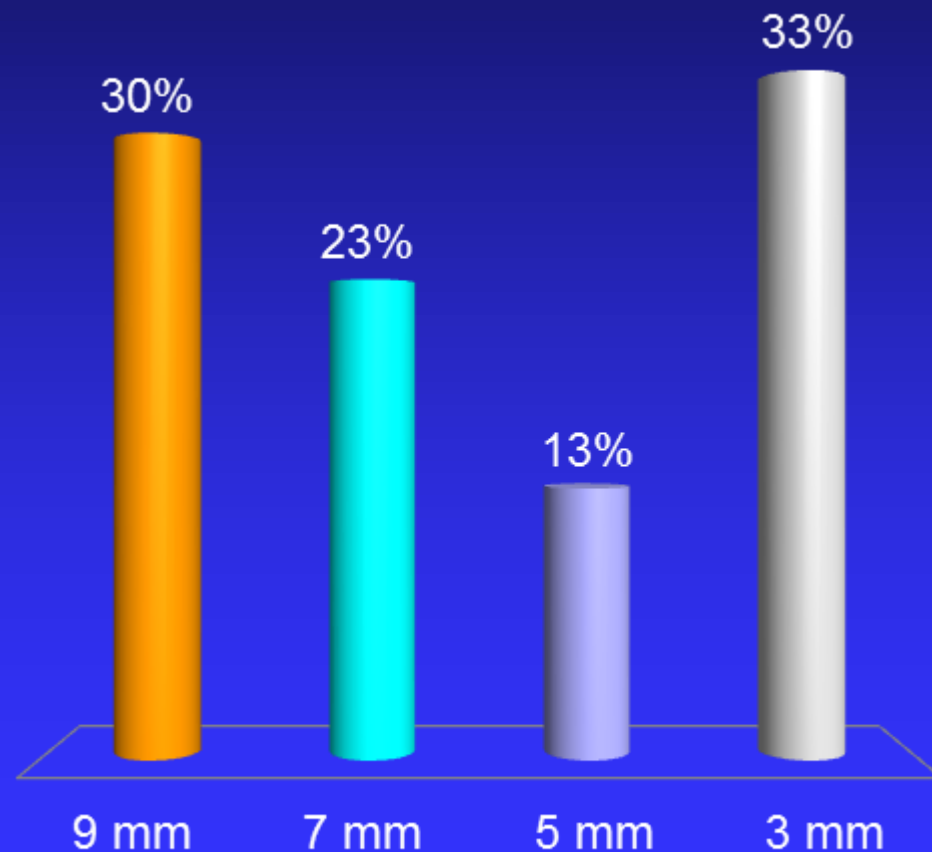
# Demonstration – errors in RT

- Margin between CTV and PTV: 10 mm
- Errors:
  - Setup error:
    - 4 mm SD (x, y)
  - Organ motion:
    - 3 mm SD (x, y)
    - 10 mm respiration
  - Delineation error: optional



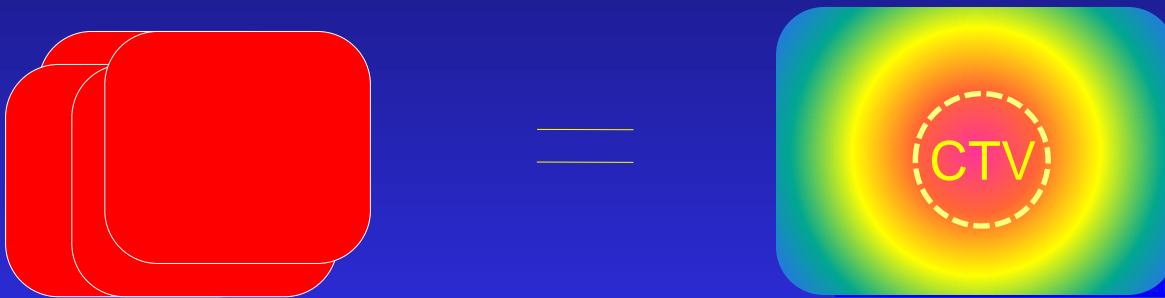
If we would gate the beam during treatment (eliminating respiratory movement) how much can the margin be reduced to keep 90% of patients treated correctly ?

- A. By 1 cm
- B. By 5 mm
- C. By 2 mm
- D. By 1 mm

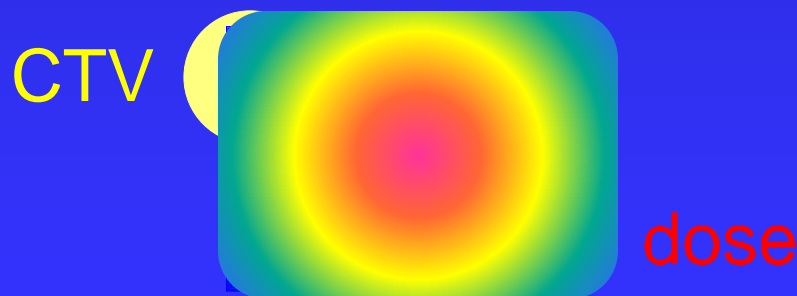


# What is the effect of geometrical errors on the CTV dose ?

Random: Breathing, intrafraction motion, IGRT inaccuracy



Systematic: delineation, intrafraction motion, IGRT inaccuracy





# Analysis of CTV dose probability

- Blur planned dose distribution *with all execution (random) errors* to estimate the cumulative dose distribution
- For a given *dose level*:
  - Find region of space where the cumulative dose exceeds the given level
  - Compute *probability* that the CTV is in this region

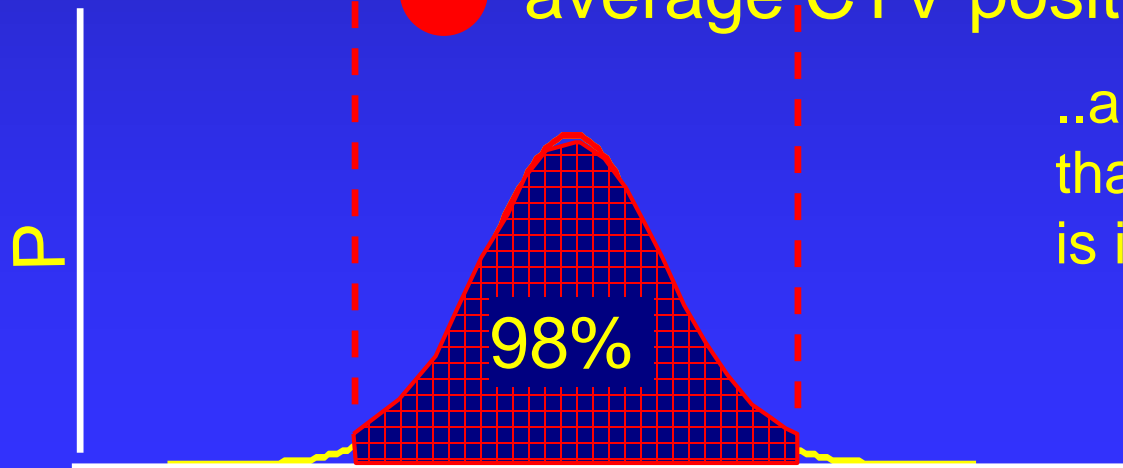
# Computation of the dose probability for a small CTV in 1D



In the cumulative (blurred) dose, find where the dose > 95%

x →

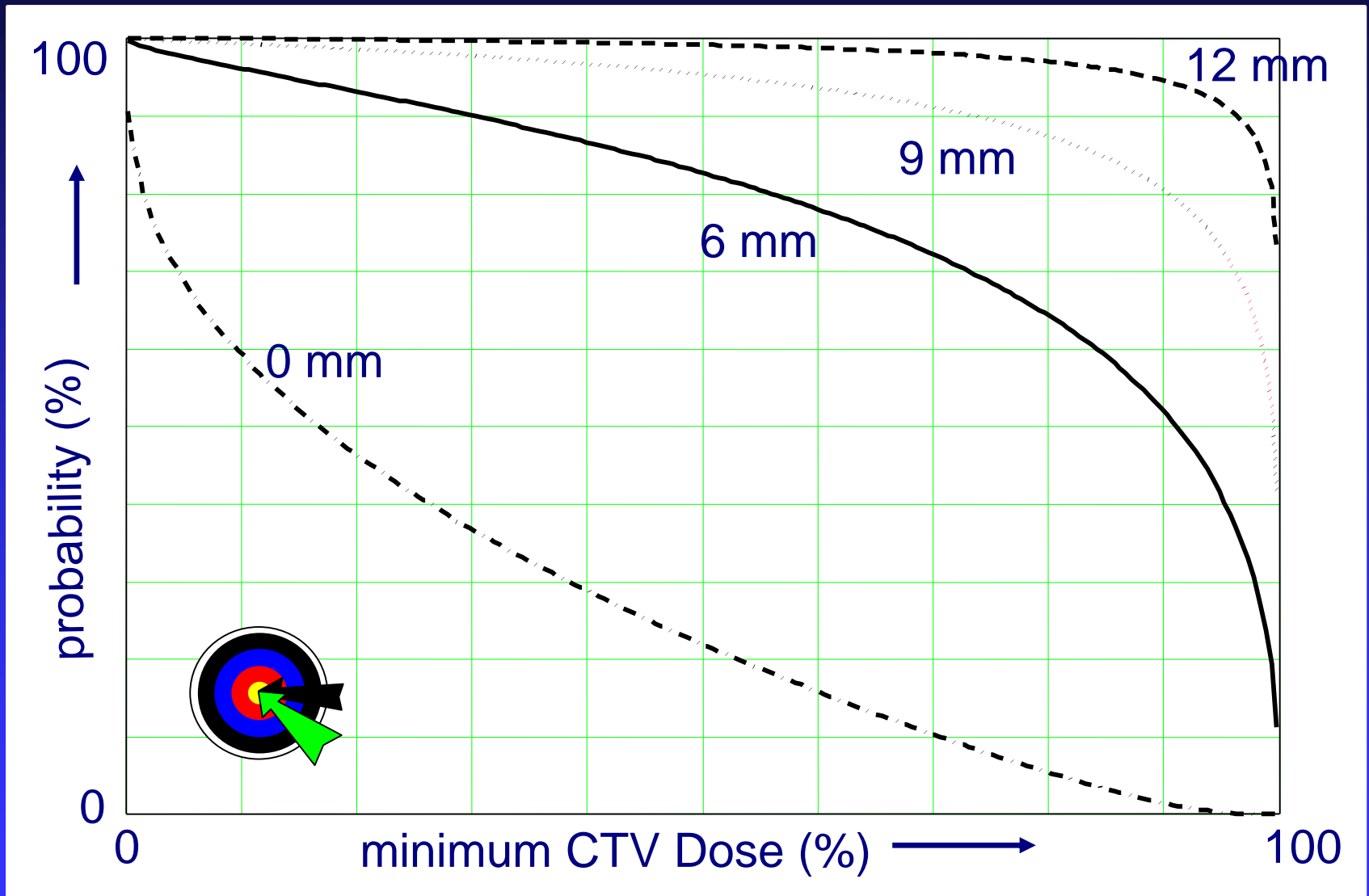
● average CTV position



..and compute the probability that the average CTV position is in this area

x →

# What should the margin be ?



Typical prostate uncertainties with bone-based setup verification

# Simplified PTV margin recipe for dose - probability

To cover the CTV for 90% of the patients with the 95% isodose (analytical solution) :

$$\text{PTV margin} = 2.5 \Sigma + 0.7 \sigma$$

$\Sigma$  = quadratic sum of SD of all preparation (systematic) errors

$\sigma$  = quadratic sum of SD of all execution (random) errors

(van Herk et al, IJROBP 47: 1121-1135, 2000)

\*For a big CTV with smooth shape, penumbra 5 mm

# 2.5 $\Sigma$ + 0.7 $\sigma$ is a simplification

- Dose gradients ('penumbra' =  $\sigma_p$ ) very shallow in lung  $\rightarrow$  smaller margins for random errors

$$M = 2.5\Sigma + 1.64\sqrt{(\sigma_p^2 + \sigma^2)} - 1.64\sigma_p$$

- Number of fractions is small in hypofractionation
  - Residual mean of random error gives systematic error
  - Beam on time long  $\rightarrow$  respiration causes dose blurring
- If dose prescription is at 80% instead of 95%:

$$M = 2.5\Sigma + 0.84\sqrt{(\sigma_p^2 + \sigma^2)} - 0.84\sigma_p$$

# Practical examples

# Prostate: $2.5 \Sigma + 0.7 \sigma$

all in cm	systematic errors	squared	random errors	squared	
delineation	<b>0.25</b>	0.0625	<b>0</b>	0	Rasch et al, Sem. RO 2005
organ motion	<b>0.3</b>	0.09	<b>0.3</b>	0.09	van Herk et al, IJROBP 1995
setup error	<b>0.1</b>	0.01	<b>0.2</b>	0.04	Bel et al, IJROBP 1995
intrafraction motion			<b>0.1</b>	0.01	
total error	<b>0.40</b>	0.16	<b>0.37</b>	0.14	
	<b>times 2.5</b>		<b>times 0.7</b>		
error margin	<b>1.01</b>		<b>0.26</b>		
total error margin		<b>1.27</b>			

# Prostate: $2.5 \Sigma + 0.7 \sigma$

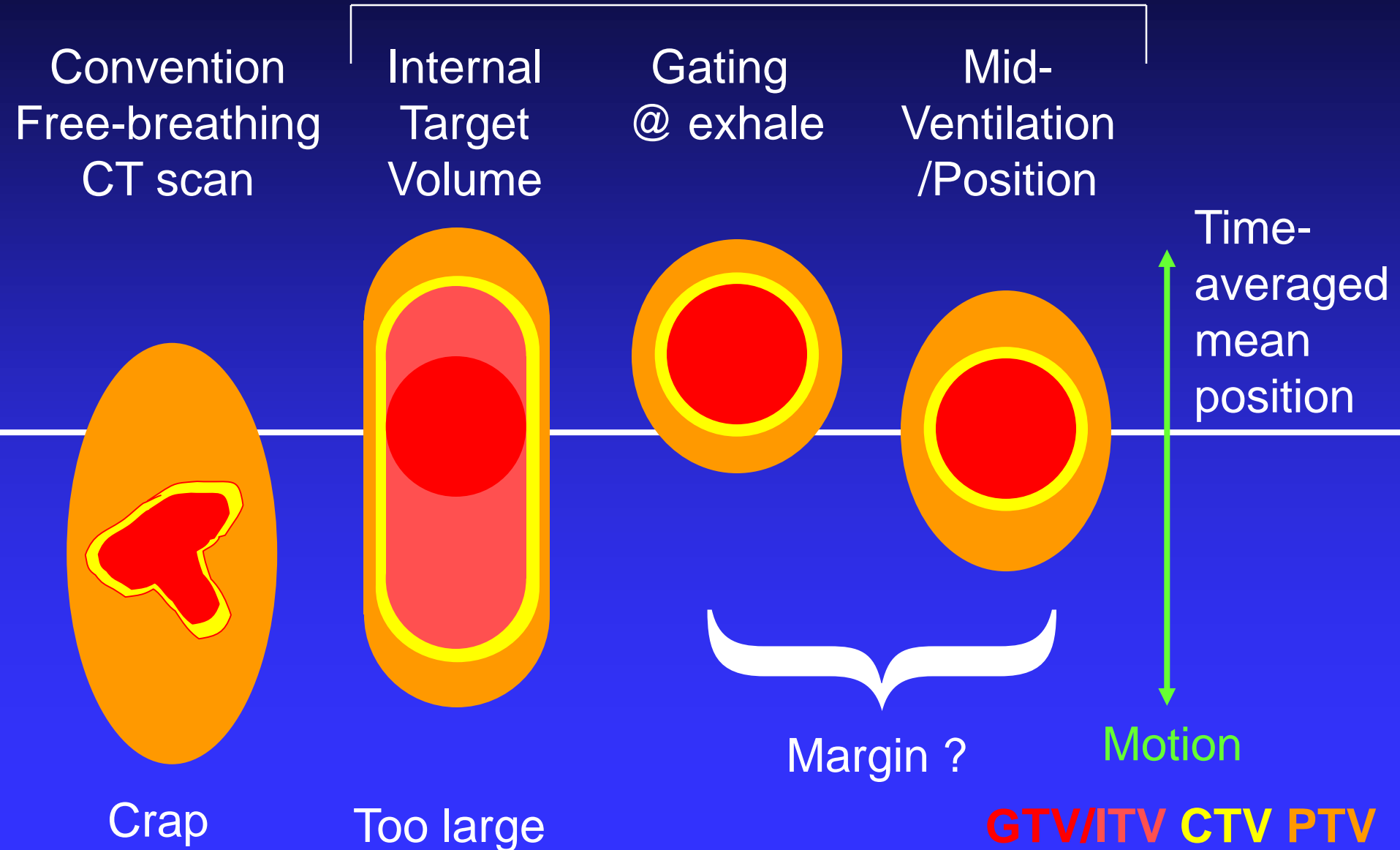
## Now add IGRT

all in cm	systematic errors	squared	random errors	squared			
delineation	<b>0.25</b>	0.0625	<b>0</b>	0	Rasch et al, Sem. RO 2005		
organ motion	<b>0</b>	0	<b>0</b>	0	van Herk et al, IJROBP 1995		
setup error	<b>0</b>	0	<b>0</b>	0	Bel et al, IJROBP 1995		
intrafraction motion			<b>0.1</b>	0.01			
total error	<b>0.25</b>	0.06	<b>0.10</b>	0.01			
	<b>times 2.5</b>		<b>times 0.7</b>				
error margin	<b>0.63</b>		<b>0.07</b>				
total error margin		<b>0.70</b>					

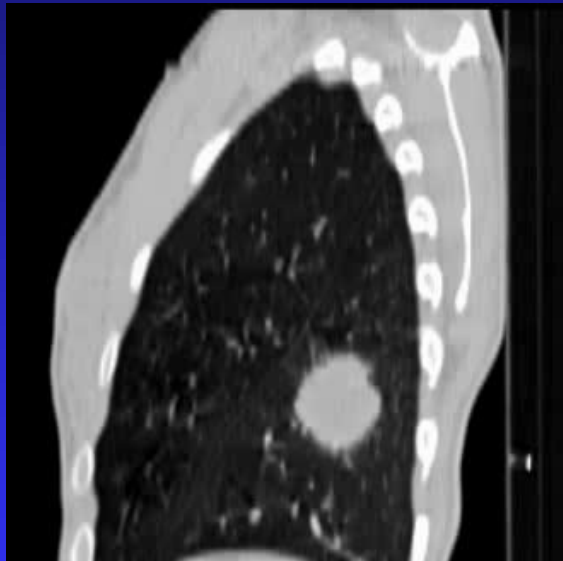
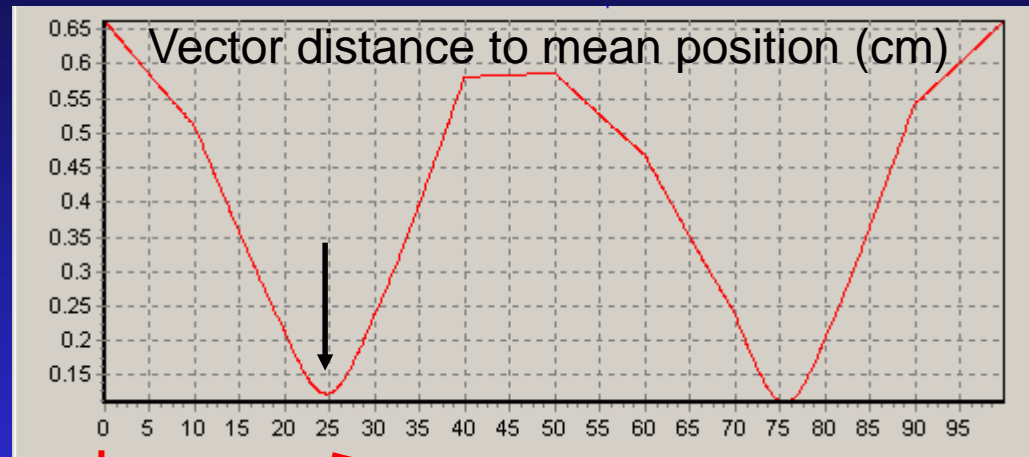
Engels et al (Brussels, 2010) found 50% recurrences using 3 mm margin with marker IGRT



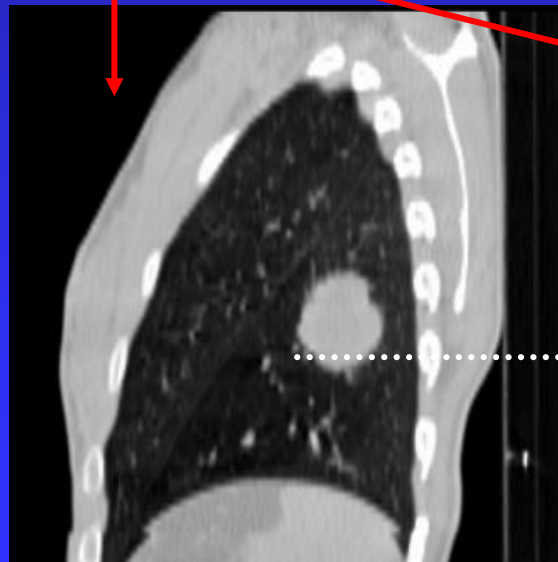
# Lung planning target volume concepts



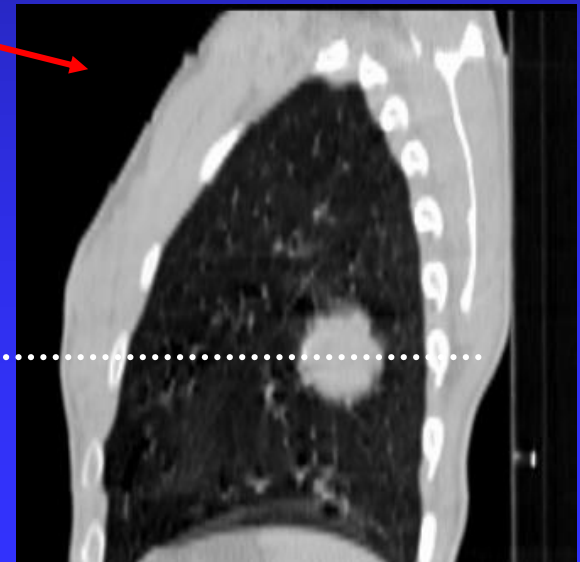
# Image *selection* approaches to derive representative 3D data



4D CT



Exhale (for gating)



Mid-ventilation

# Very clear lung tumor: classic RT

all in cm	systematic errors	squared	random errors	squared	
delineation	<b>0.2</b>	0.04		0	
organ motion	<b>0.3</b>	0.09	<b>0.3</b>	0.09	
setup error	<b>0.2</b>	0.04	<b>0.4</b>	0.16	
Intra-fraction motion		0		0	
respiration motion	<b>0.1</b>	0.01	<b>0.3</b>	0.111111	<b>1</b>
(0.33A)					
total error	<b>0.42</b>	0.18	<b>0.60</b>	0.361111	
	times 2.5		difficult equation		
			(almost times 0.7)		
error margin	<b>1.06</b>		<b>0.41</b>		
total error margin		<b>1.47</b>			

Using conventional fractionation, prescription at 95% isodose line in lung

# Very clear lung tumor: IGRT hypo

all in cm	systematic errors	squared	random errors	squared	
delineation	<b>0.17</b>	0.0289		0	
organ motion	<b>0.1</b>	0.01	<b>0.1</b>	0.01	
setup error	<b>0.03</b>	0.0009	<b>0.03</b>	0.0009	
Intra-fraction motion	<b>0.1</b>	0.01	<b>0.1</b>	0.01	
respiration motion		0	<b>0.3</b>	0.111111	<b>1</b>
(0.33A)					
total error	<b>0.22</b>	0.05	<b>0.36</b>	0.132011	
	times 2.5		difficult equation		
			non-linear		
error margin	<b>0.56</b>		<b>0.07</b>		
total error margin		<b>0.63</b>			

Using hypo-fractionation, prescription at 80% isodose line in lung

# Planned dose distribution: hypofractionated lung treatment 3x18 Gy

**Coronal**  
NKI>XVI alpha 4.14  
NOT FOR CLINICAL USE

test hexapod

Correction reference point = center of structure

Slice 66 of 128

**Sagittal**  
NKI>XVI alpha 4.14  
NOT FOR CLINICAL USE

Showing possible correction

Slice 67 of 128

4D data - average

Image

Export

Slice Averaging  
none

Display Mode  
Reference only

Avg. 4D scan

Displacement (cm)

- Tx (mask)
- Ty (mask)
- Tz (mask)
- Tx (clipboard)
- Ty (clipboard)
- Tz (clipboard)
- Tx (correctable)
- Ty (correctable)
- Tz (correctable)

**Reference**

Cor Ref...

Scan...  Structures...

Clipboard...  Mask...

Dose  Σ

**Protocol**

Registration: Mask

Correction from: Mask reg. (mean if 4D)

Correction by: Precise

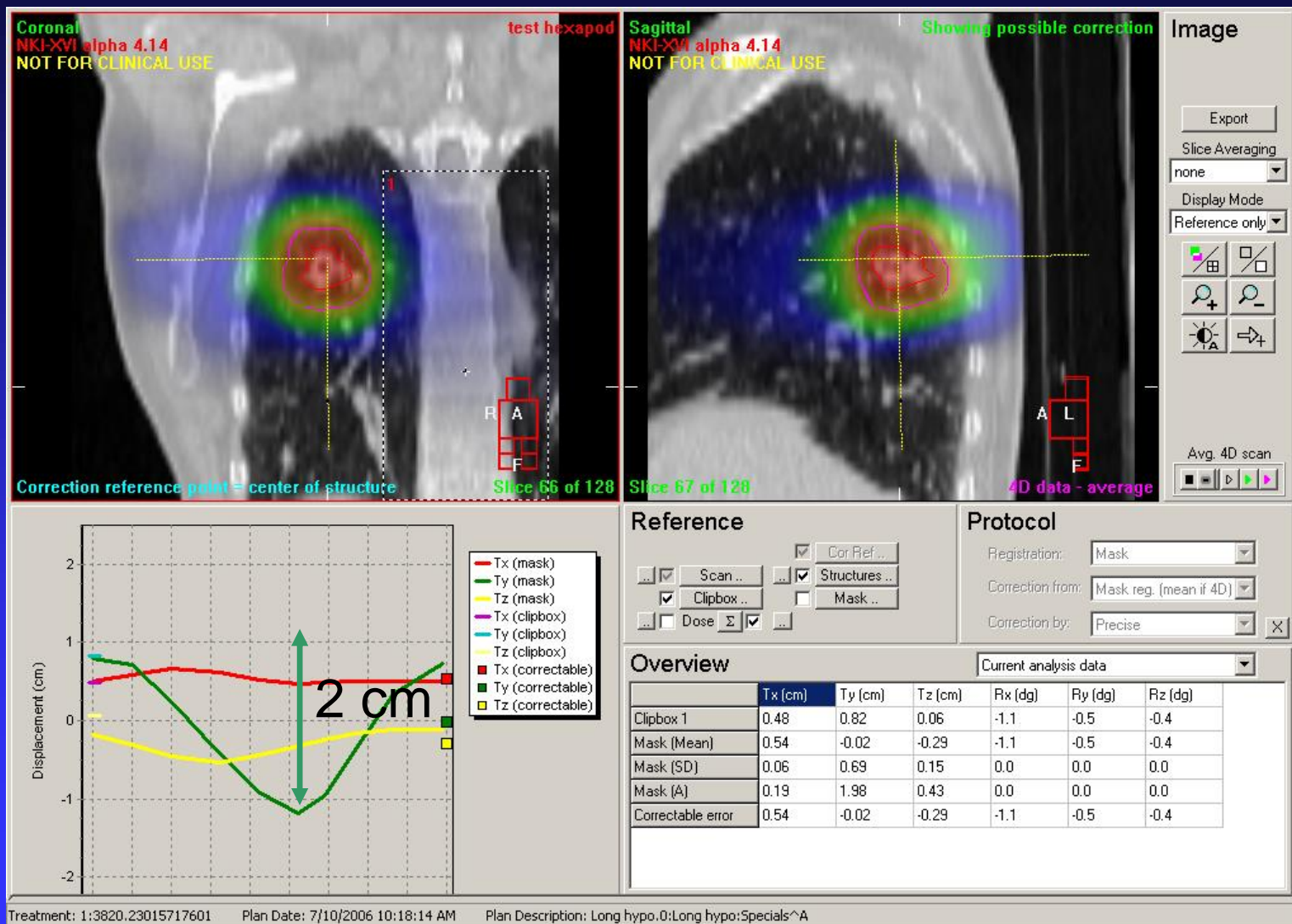
**Overview**

Current analysis data

	Tx (cm)	Ty (cm)	Tz (cm)	Rx (dg)	Ry (dg)	Rz (dg)
Clipboard 1	0.48	0.82	0.06	-1.1	-0.5	-0.4
Mask (Mean)	0.54	-0.02	-0.29	-1.1	-0.5	-0.4
Mask (SD)	0.06	0.69	0.15	0.0	0.0	0.0
Mask (A)	0.19	1.98	0.43	0.0	0.0	0.0
Correctable error	0.54	-0.02	-0.29	-1.1	-0.5	-0.4

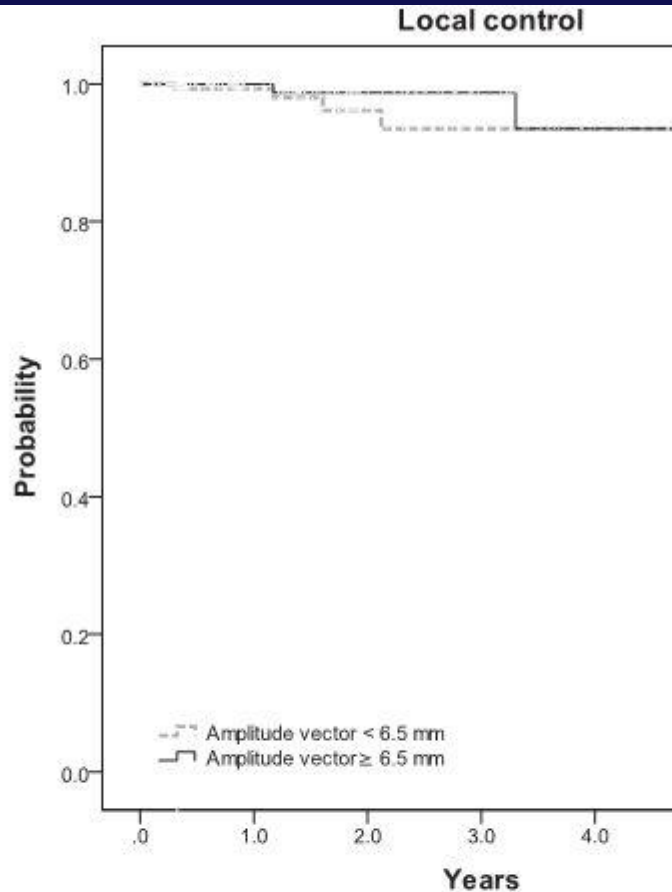
Treatment: 1:3820.23015717601 Plan Date: 7/10/2006 10:18:14 AM Plan Description: Long hypo.0:Long hypo:Specials^A

# Realized dose distribution with daily IGRT on tumor (no gating)



9 mm margin is adequate even with 2 cm intrafraction motion

# Clinical results with mid-V



No at risk < 6.5 mm	160	80	36	14	4
No at risk ≥ 6.5 mm	154	82	43	24	12

**Fig. 3.** Local control analyzed per tumor according to respiratory tumor at

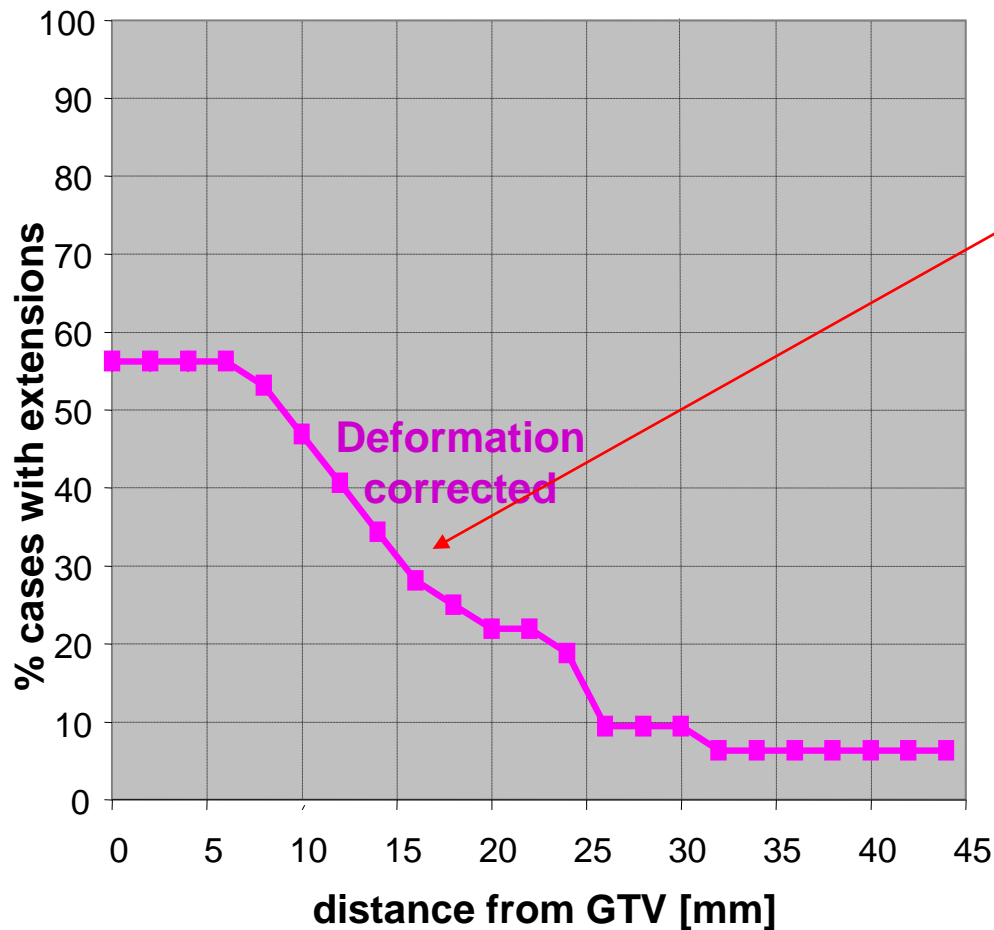
and 3 mm (range 0–18 mm), respectively. The median amplitude vector was 6.5 mm (range 0–39 mm) for all tumors as well as for the locally controlled tumors. In case of local recurrence, the median amplitude vector was significantly *smaller*: 3.0 mm (range 1–8.1 mm) ( $p = 0.04$ ). In patients with a local recurrence the median GTV was significantly larger with a volume of 16.0 cm<sup>3</sup> (range 2.1–57.6 cm<sup>3</sup>) ( $p = 0.04$ ). In univariate continuous Cox-regression analysis GTV was predictive for local recurrence ( $p < 0.001$  and HR = 1.08). Amplitude vector was borderline significant ( $p = 0.08$  and HR = 0.77). ROC analysis revealed an optimal cut-off for amplitude vector of 3.5 mm. Additional Cox-regression was significant for LR ( $p = 0.02$  HR = 0.13).

# But what about the CTV ?

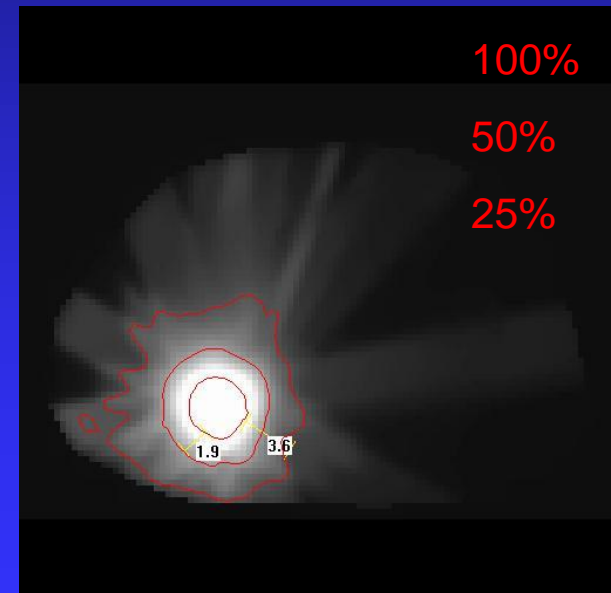
- By definition disease between the GTV and the CTV cannot be detected
- Instead, the CTV is defined by means of margin expansion of the GTV and/or anatomical boundaries
- Very little is known of margins in relation to the CTV
  - Very little clinical / pathology data
  - Models to be developed



# Hard data: microscopic extensions in lung cancer



30% patients with low grade tumors (now treated with SBRT with few mm margins), have spread at 15 mm distance



Having dose there may be essential!

# Conclusions

- In spite of IGRT there are still uncertainties that need to be covered by safety margins
- Margins for random uncertainties and respiratory motion in lung can be very small because of the shallow dose falloff in the original plans
- Important uncertainties relate to imaging and biology that are not corrected by IGRT: The margin with IGRT is dominated by delineation uncertainties
- Even though PTV margins are designed to cover geometrical uncertainties, they also cover microscopic disease
- Reducing margins after introducing IGRT should therefore be done with utmost care (especially in higher stage disease)



Us

Modern radiotherapy





# Particle therapy planning

Advanced Treatment Planning Course  
23-27 September 2018 – Athens, Greece

Markus Stock

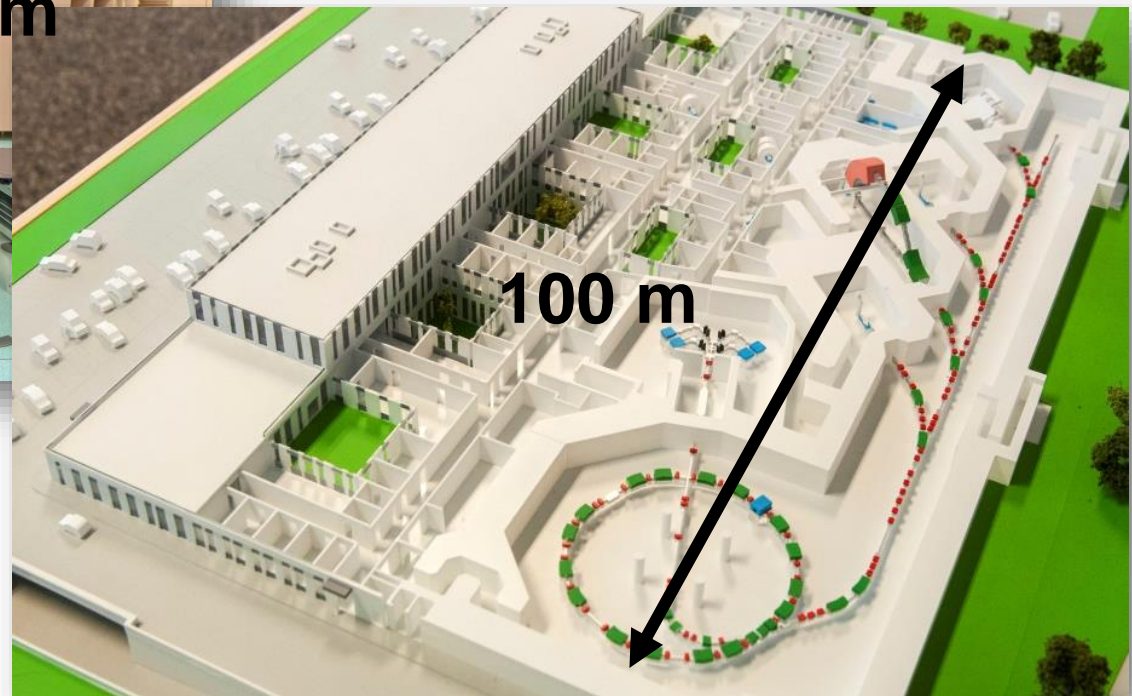
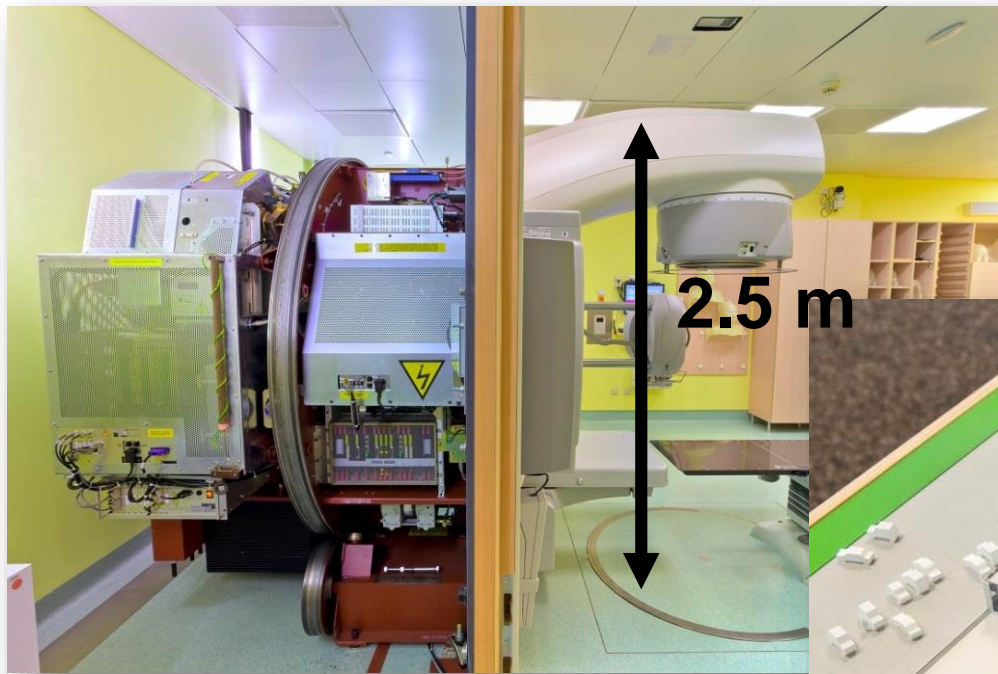
# Content

- Photon vs. Protons
- Plan comparisons
- Particle therapy and uncertainties
- Other particle therapy planning specificities
- Short intro to carbon planning

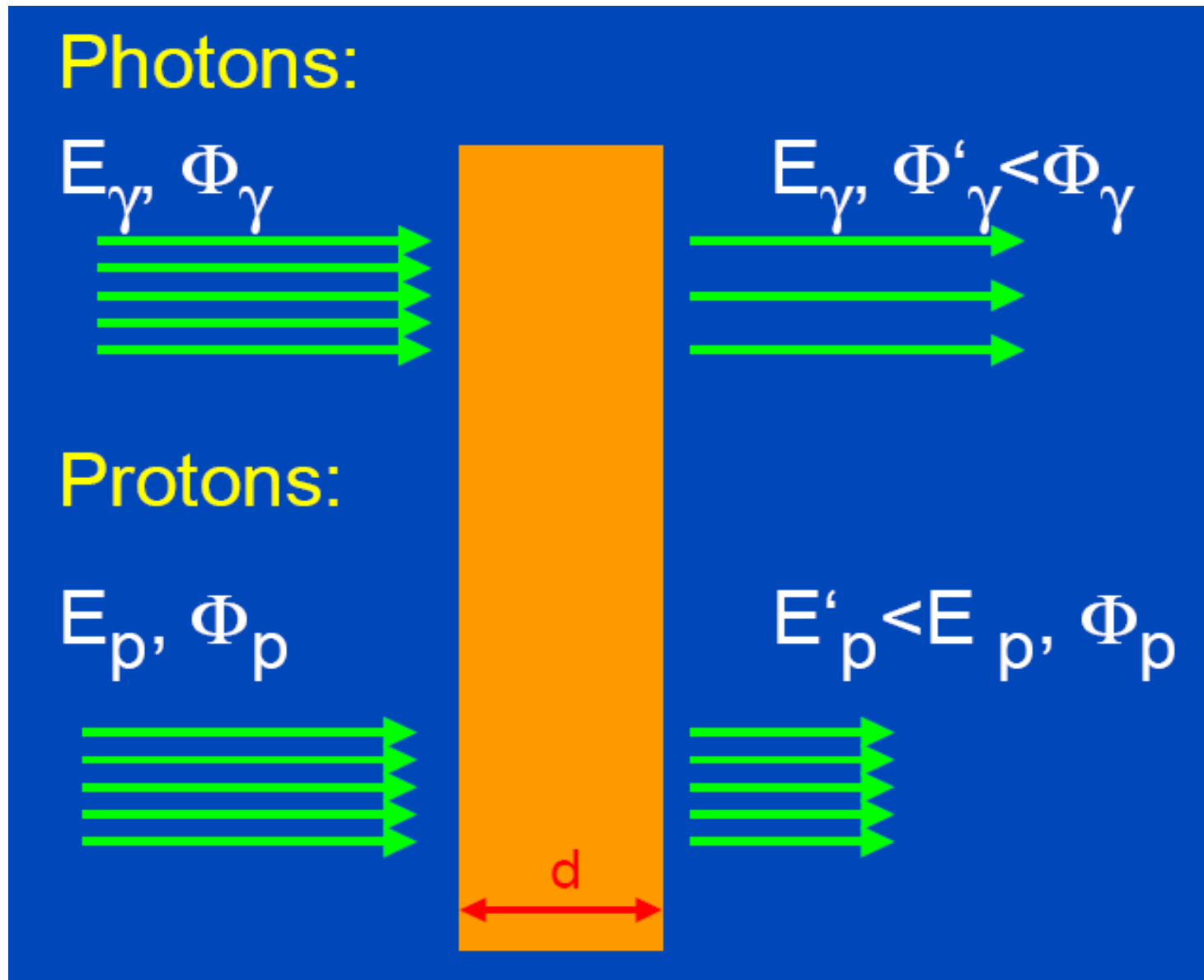


# Beam Production

Electron Linear Accelerator vs. p, C Synchrotron



# Fundamental Difference in Penetration





# Energy lost = Dose deposition

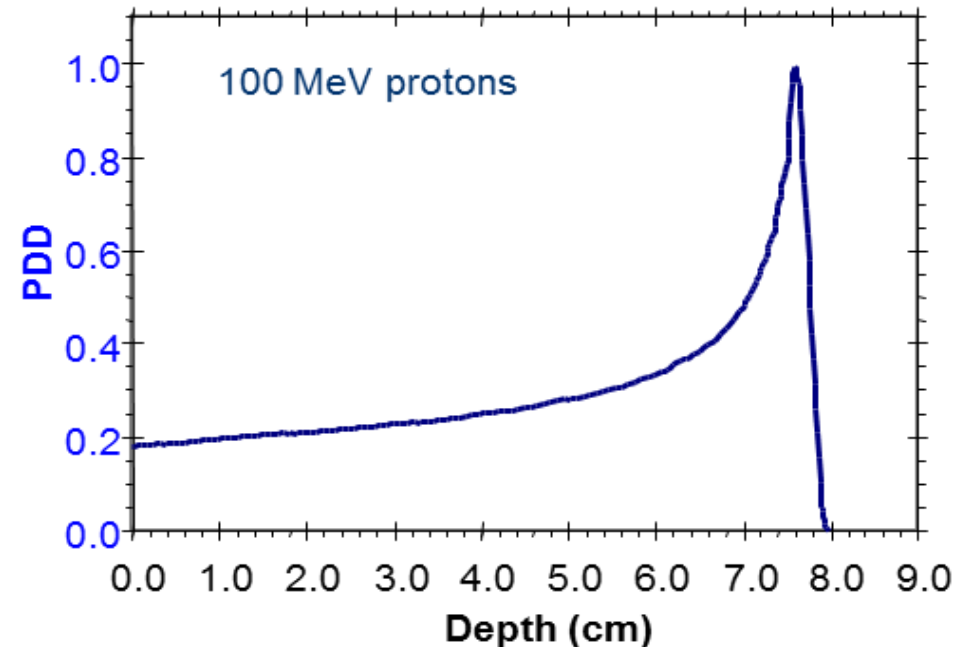


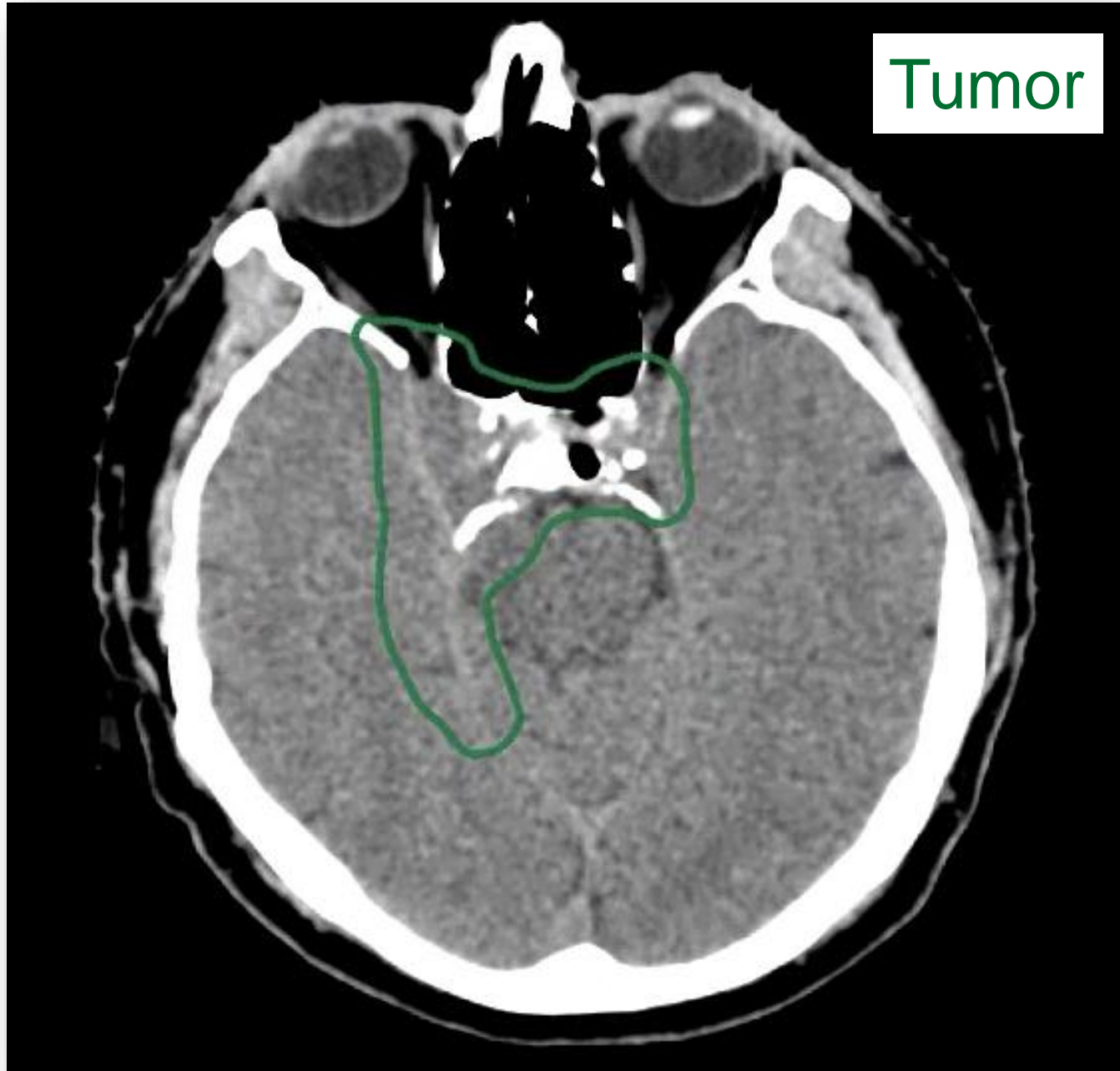
H. Bethe: Annalen der Physik. 397, Nr. 3, 1930

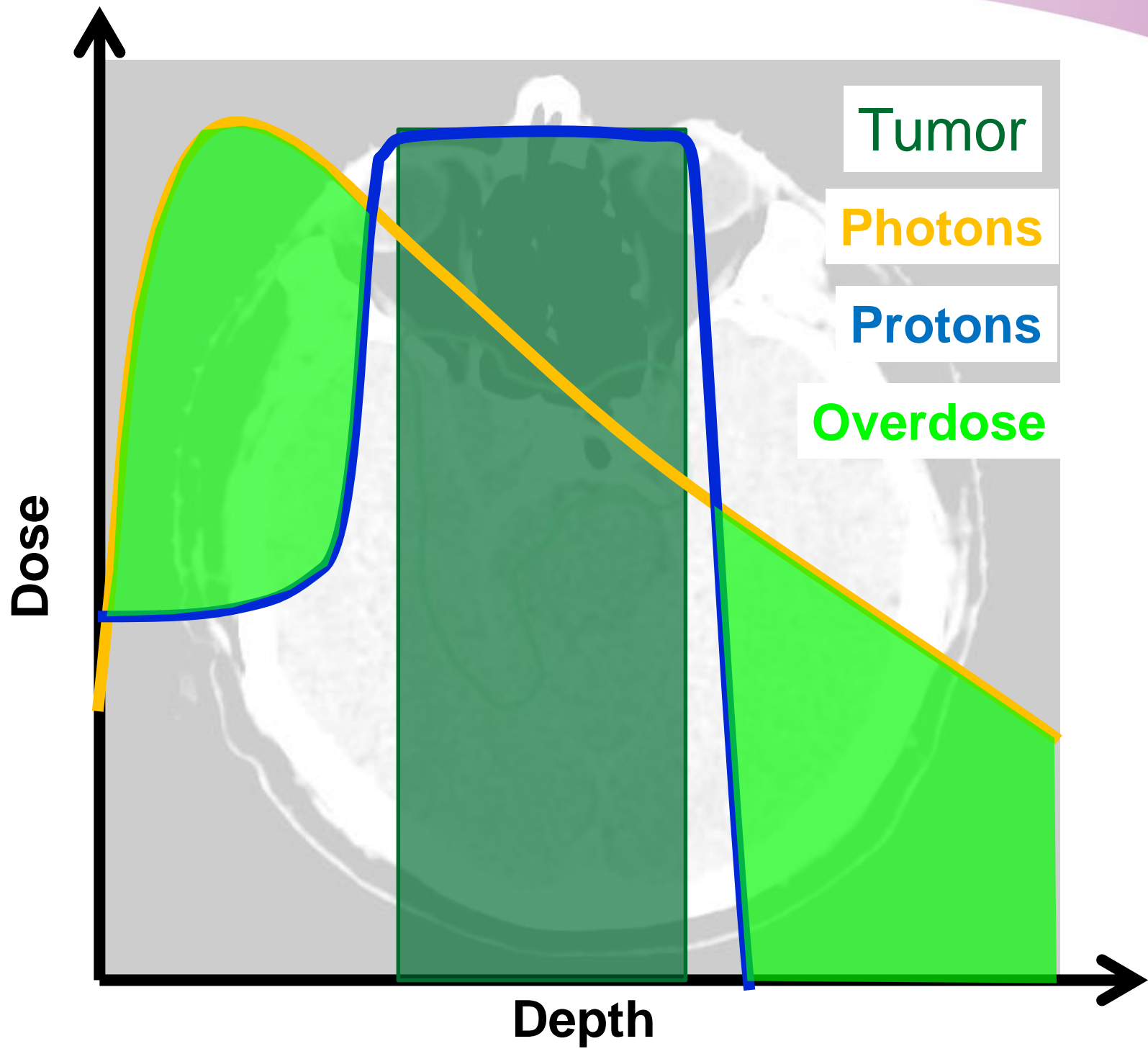
- Heavy charged particle follow the Bethe-Bloch formula:

$$-\frac{1}{\rho} \frac{dE}{ds} = \frac{K}{\beta^2} \cdot z^2 \cdot \frac{Z}{A} \left[ \frac{1}{2} \ln \left( \frac{2m_e c^2 \cdot \beta^2 \cdot W_{\max}}{(1-\beta^2) \cdot I^2} \right) - \beta^2 + SDBB \right]$$

- First approximation:  
 $1/v^2 \rightarrow$  Bragg peak







Tumor

Photons

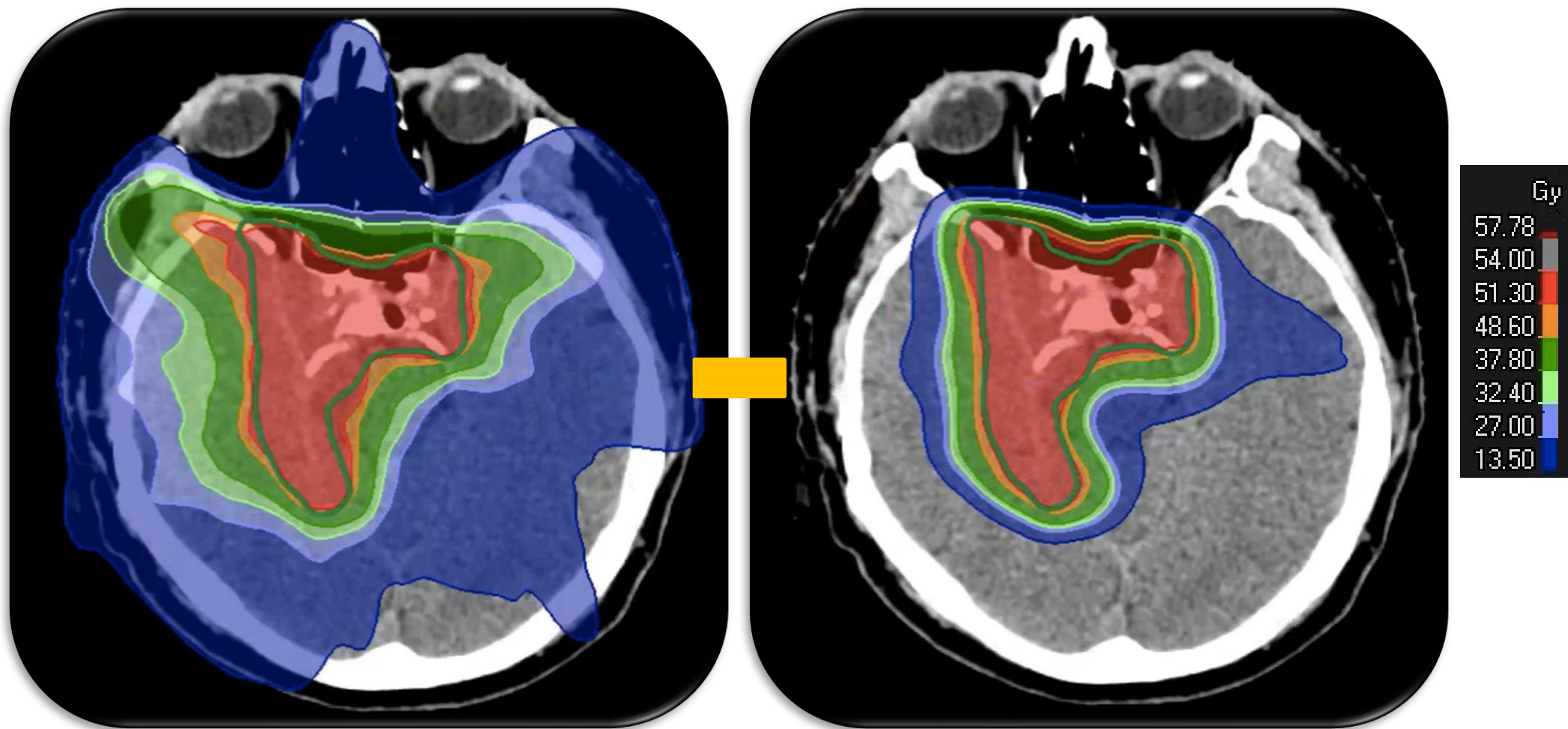
Protons

Overdose

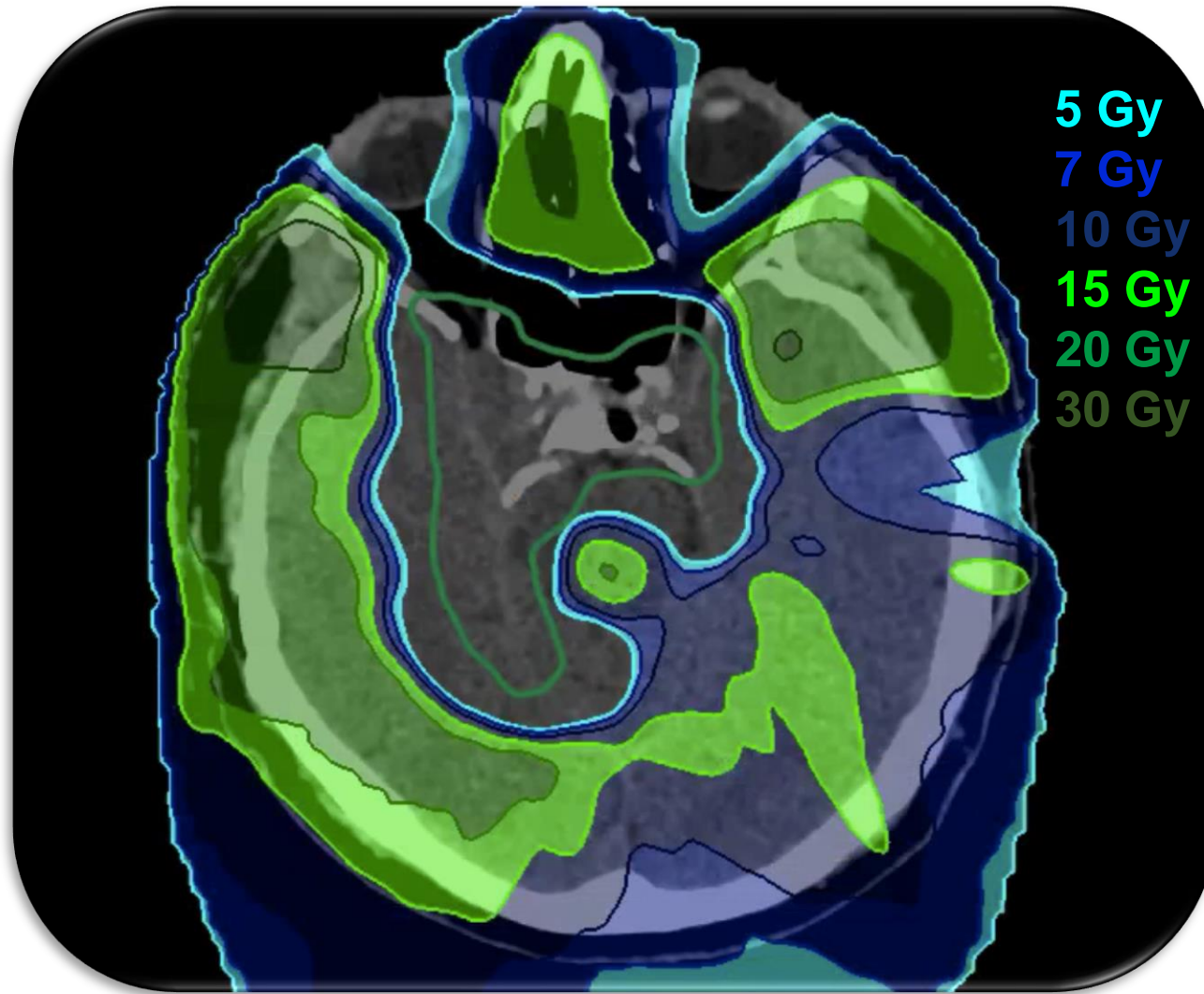




# Photons vs protons



# Difference (unwanted dose)



**Photons - Protons**

# Passive vs. active particle beam delivery



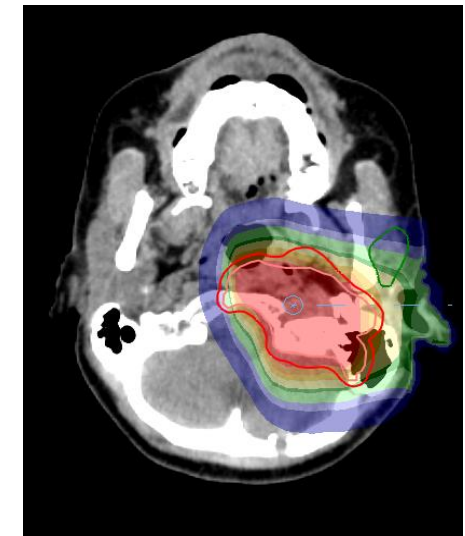
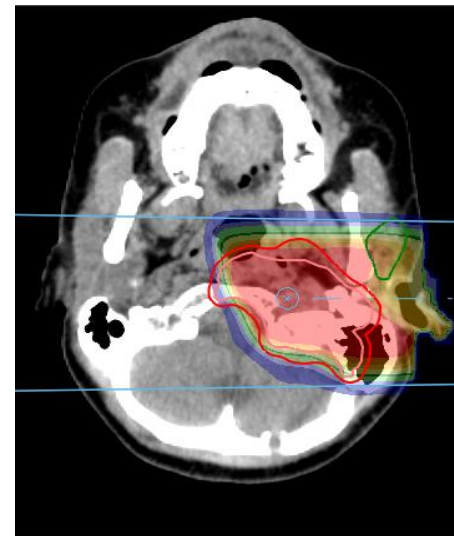
- Mono-energetic pencil beam scanning (PBS) is widely considered superior to passive techniques.

PBS - PROs	PBS - CONs
<ul style="list-style-type: none"><li>• less passive elements in the beam line</li></ul>	<ul style="list-style-type: none"><li>• penumbra</li></ul>
<ul style="list-style-type: none"><li>• no patient customized passive elements</li></ul>	<ul style="list-style-type: none"><li>• (without mitigation strategies) less robust to organ motion</li></ul>
<ul style="list-style-type: none"><li>• reduced neutron dose</li></ul>	
<ul style="list-style-type: none"><li>• superior dose distribution</li></ul>	
<ul style="list-style-type: none"><li>• less fields required</li></ul>	

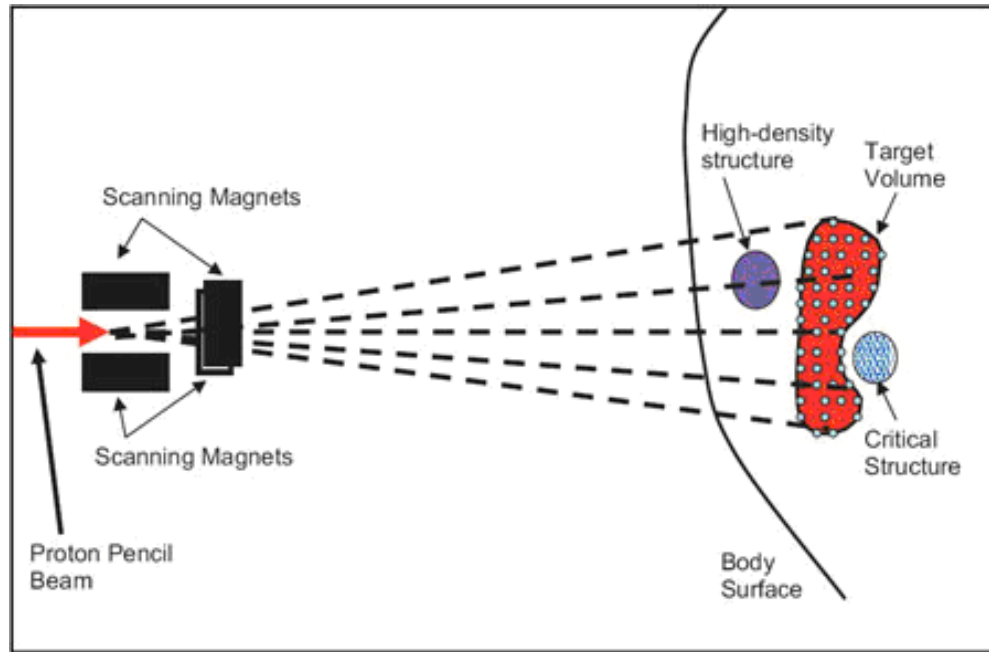
## Planning exercise (single field):

double scattering vs.

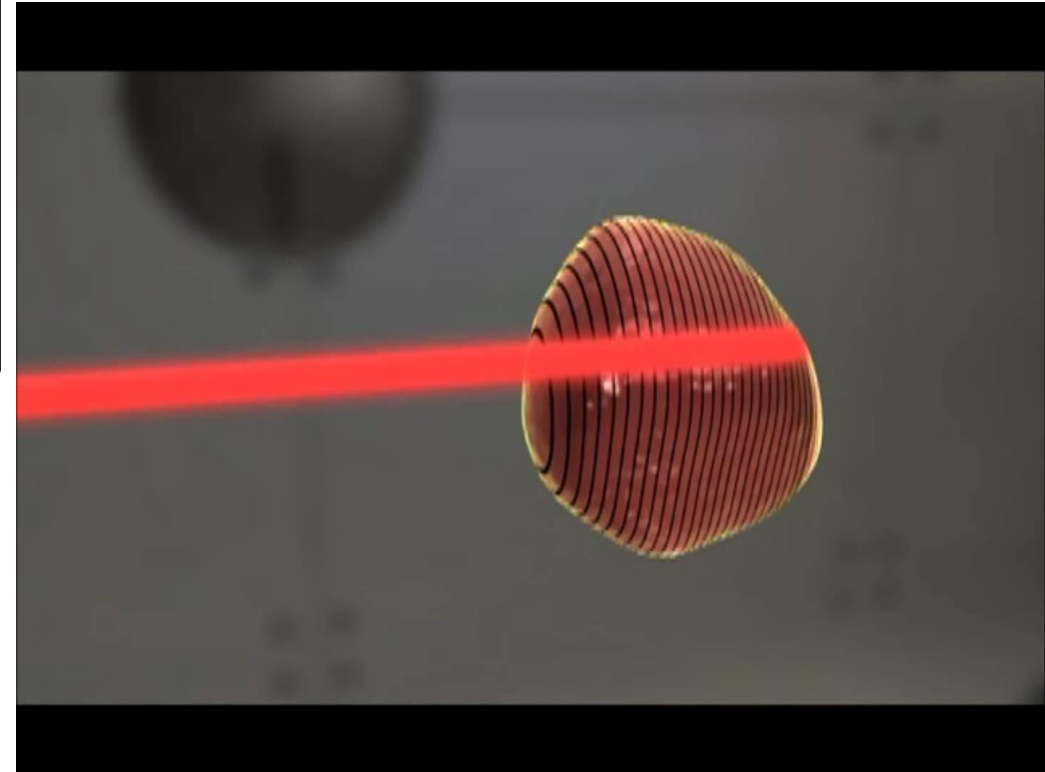
IMPT



# Pencil beam scanning

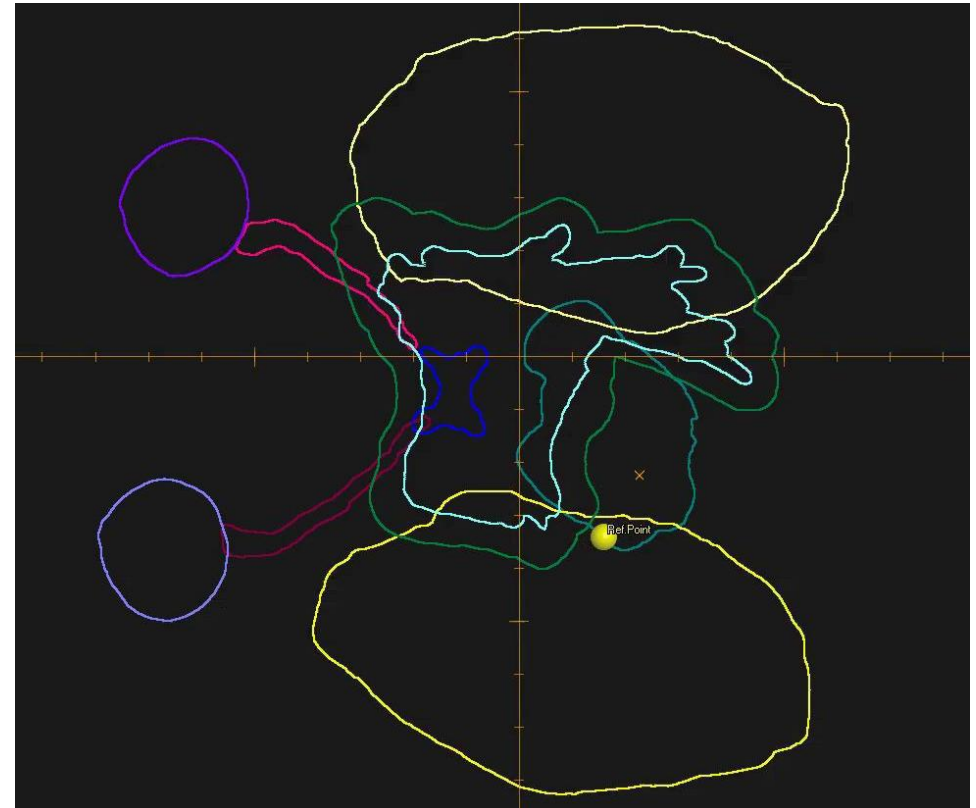
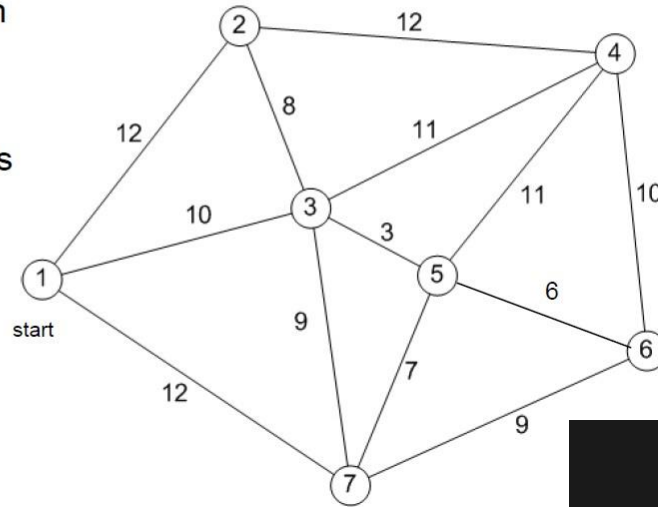


*Courtesy MD Anderson*



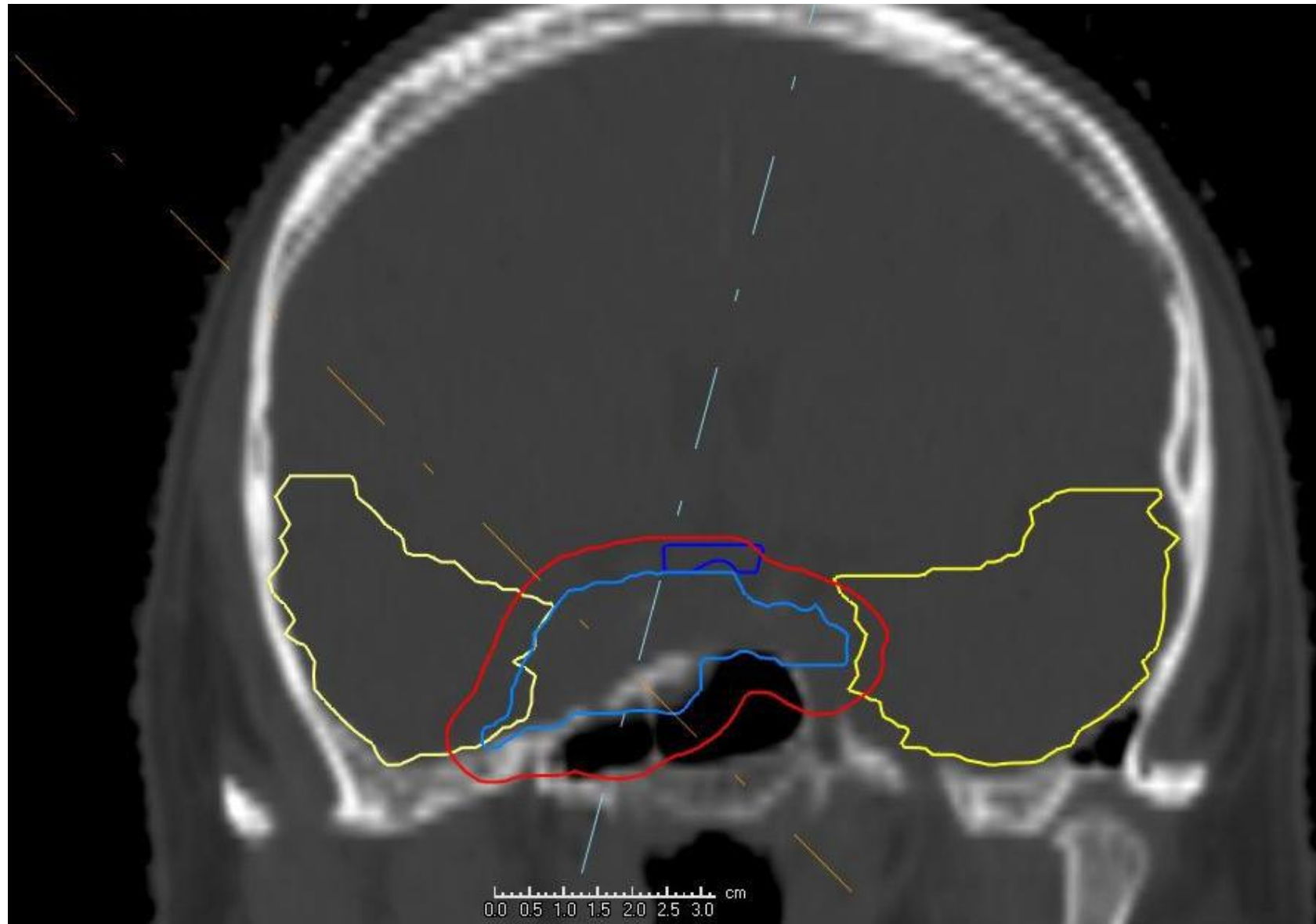
# The Traveling Salesman Problem

- Starting from city 1, the salesman must travel to all cities once before returning home
- The distance between each city is given, and is assumed to be the same in both directions
- Only the links shown are to be used
- Objective - Minimize the total distance to be travelled

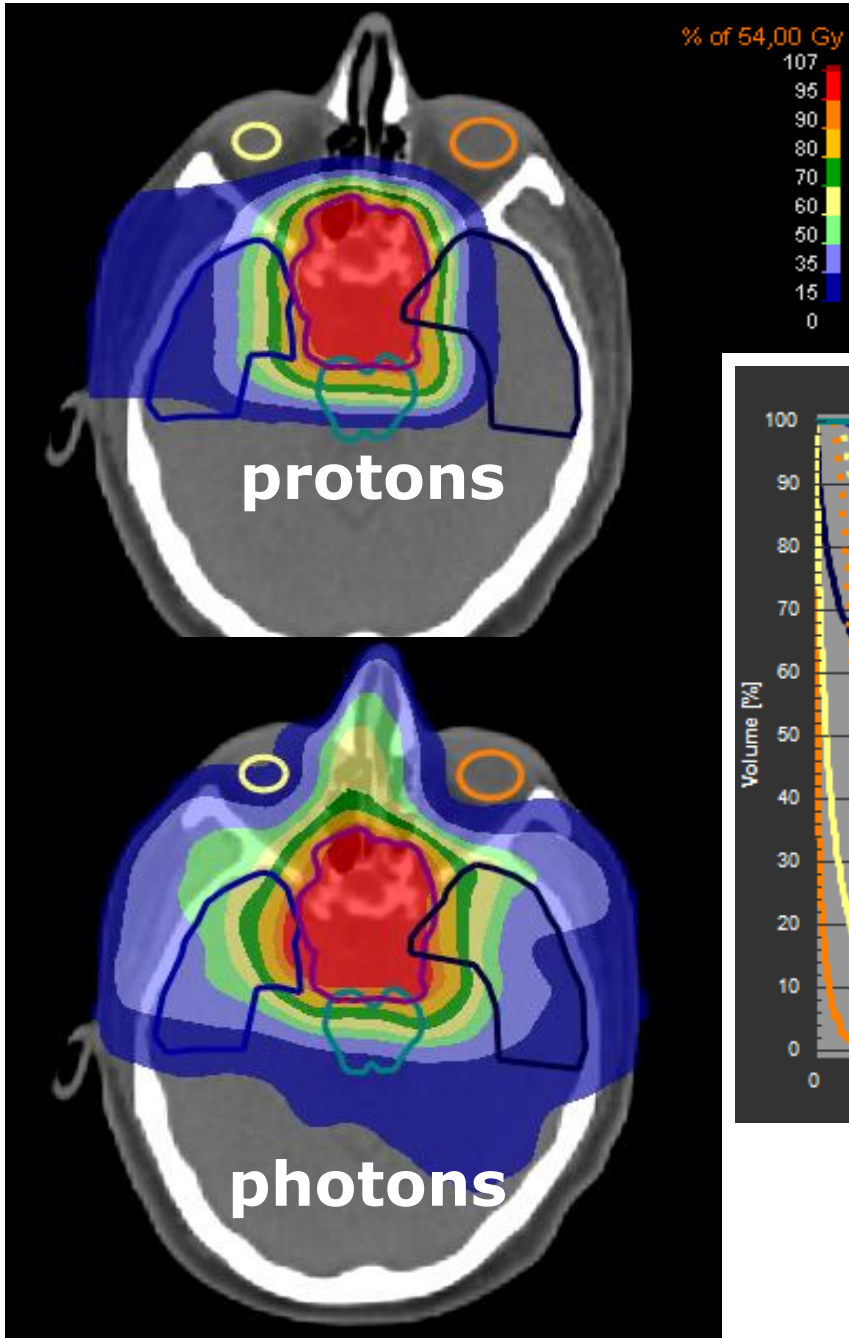




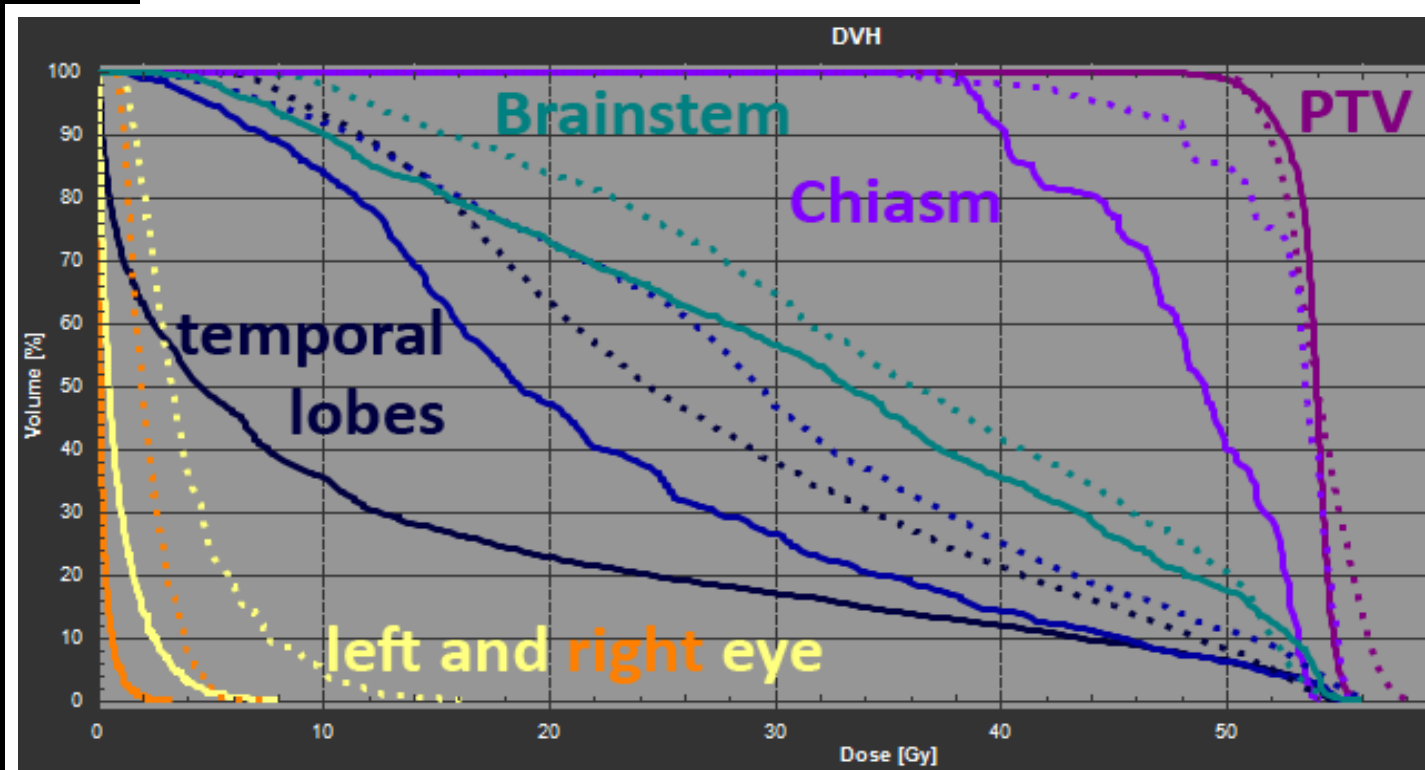
# Pencil beam scanning



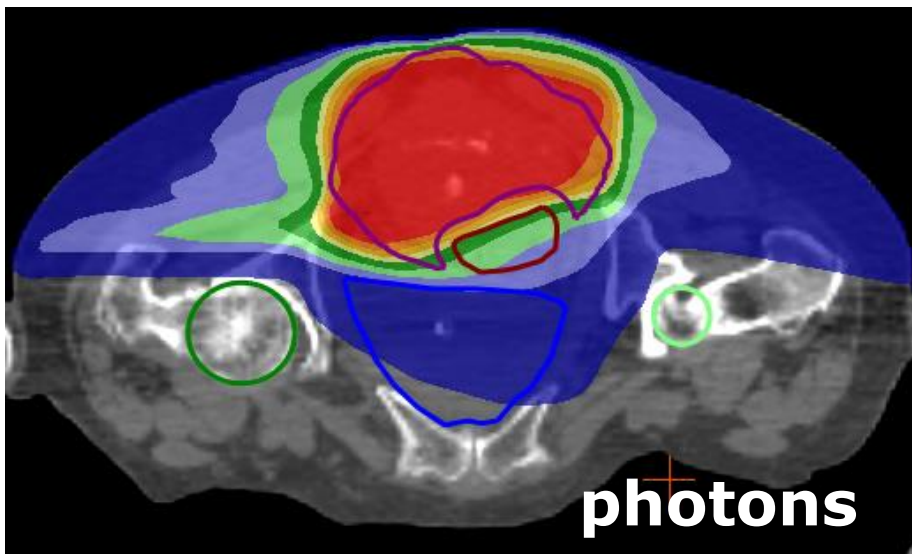
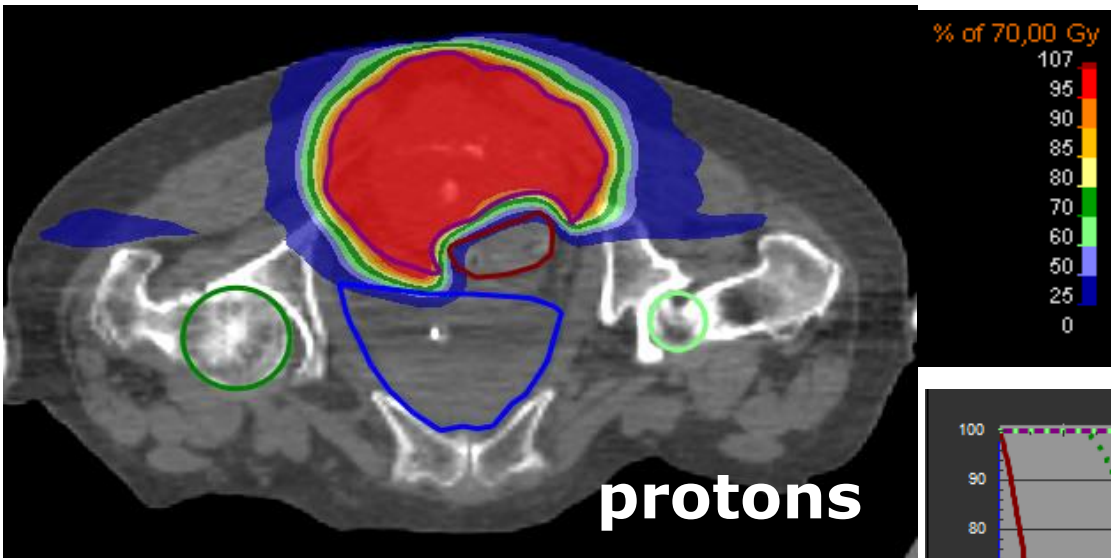
# Skull base chordoma



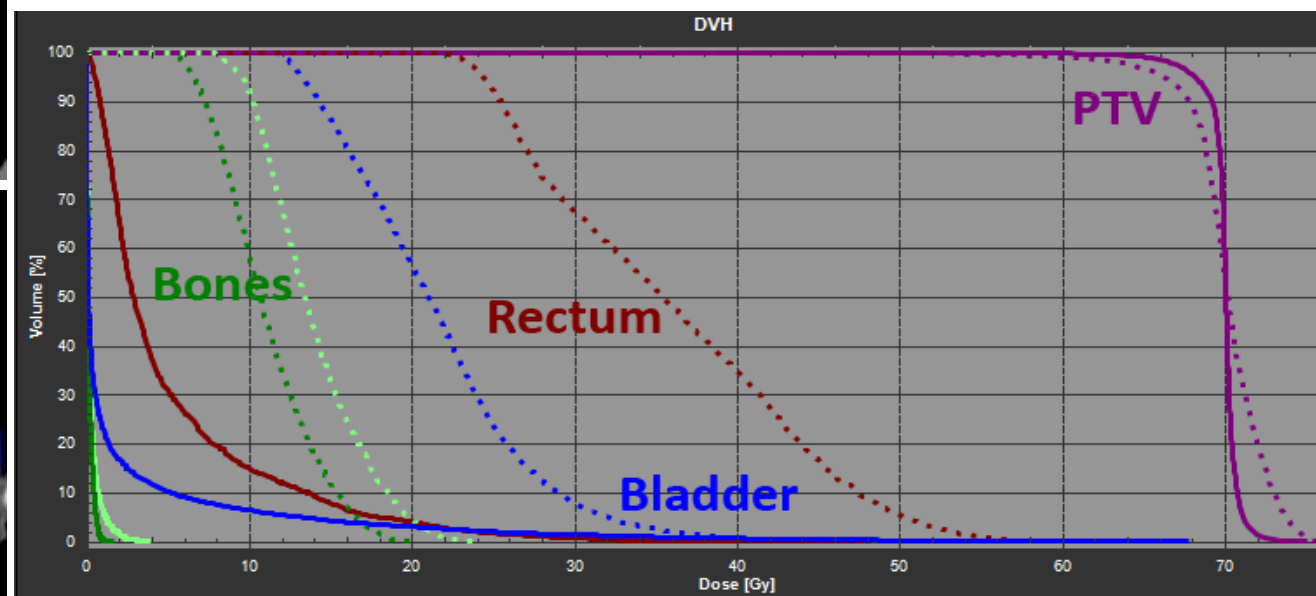
Solid: protons (IMPT)  
Dotted: photons (VMAT)



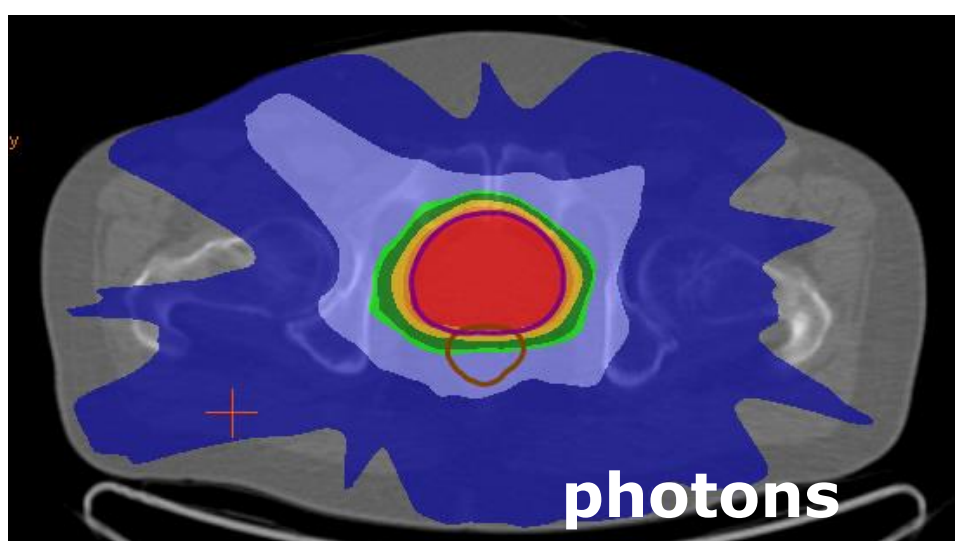
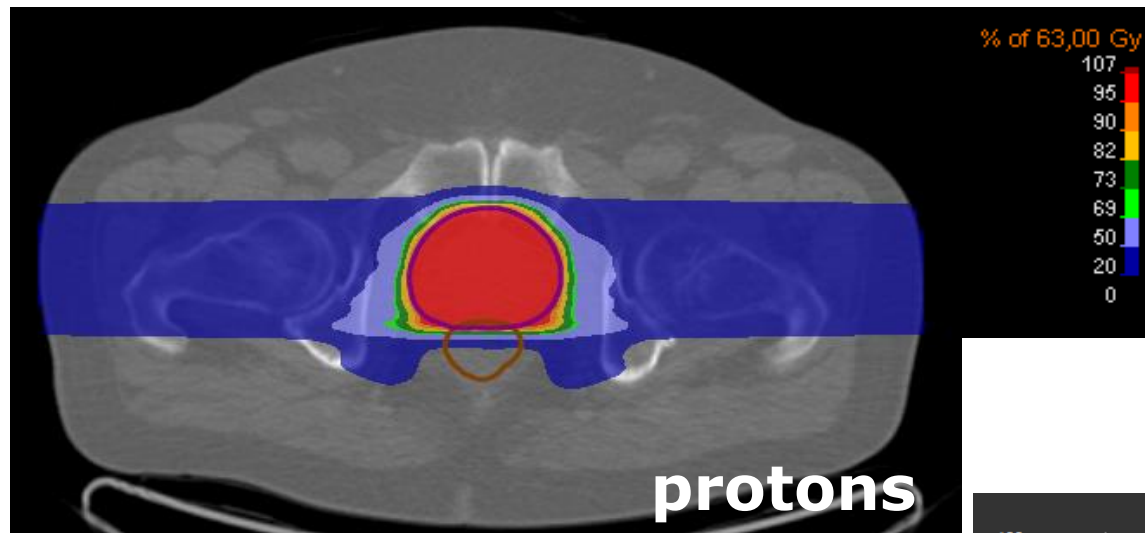
# Sacrum chordoma



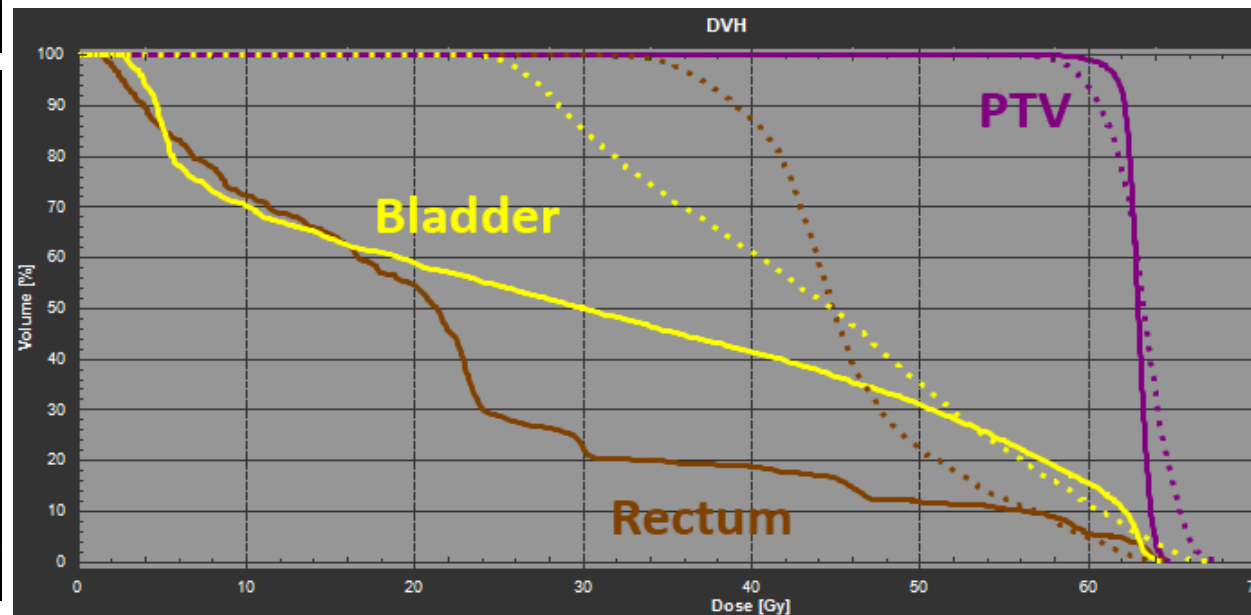
Solid: protons (IMPT)  
Dotted: photons (VMAT)



# Prostate

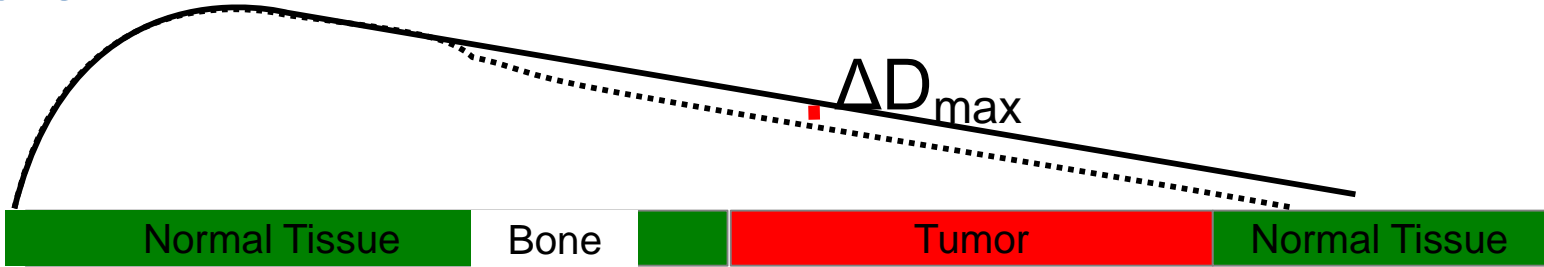


Solid: protons (IMPT)  
Dotted: photons (VMAT)

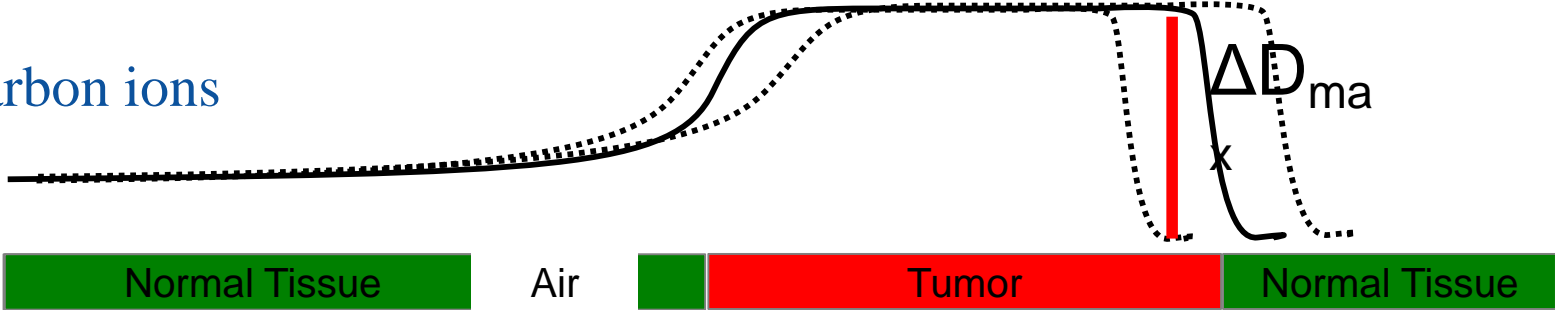


# Effect of range uncertainties

MV photons

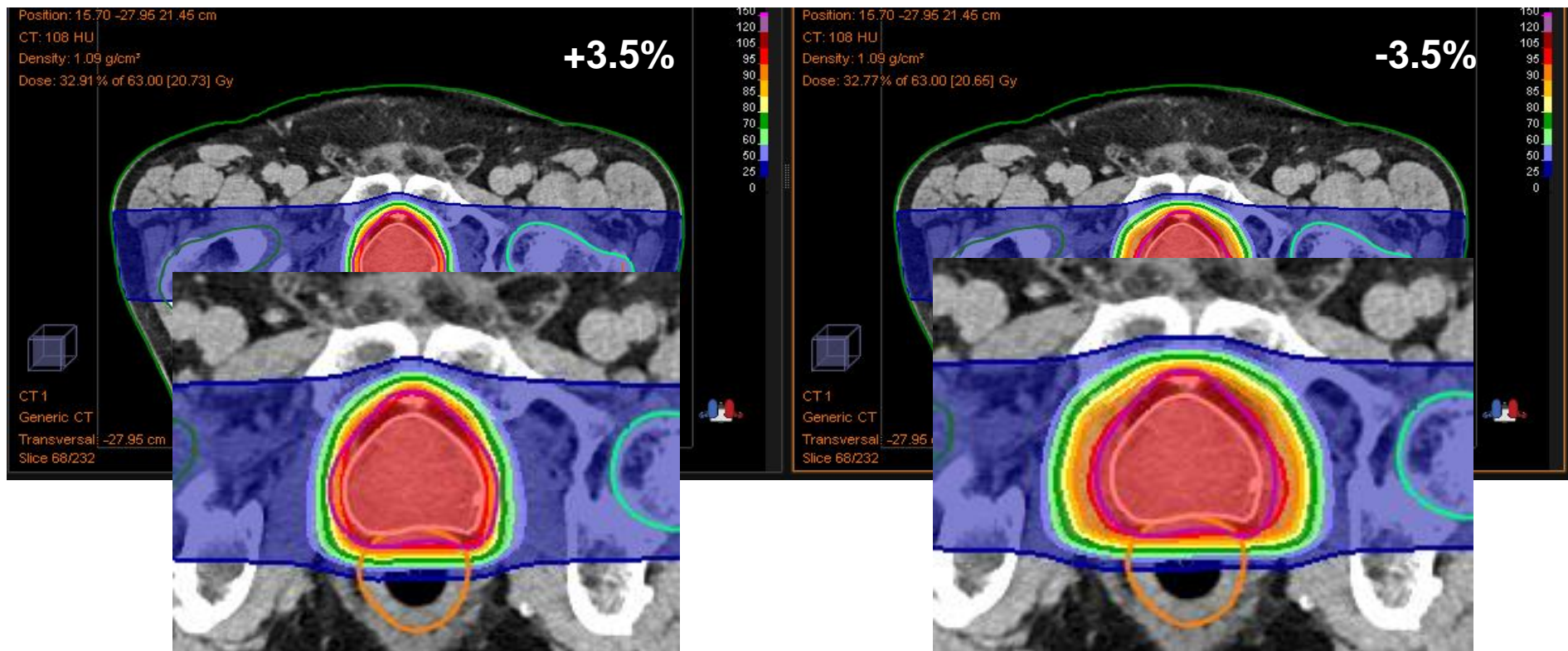


Protons/carbon ions

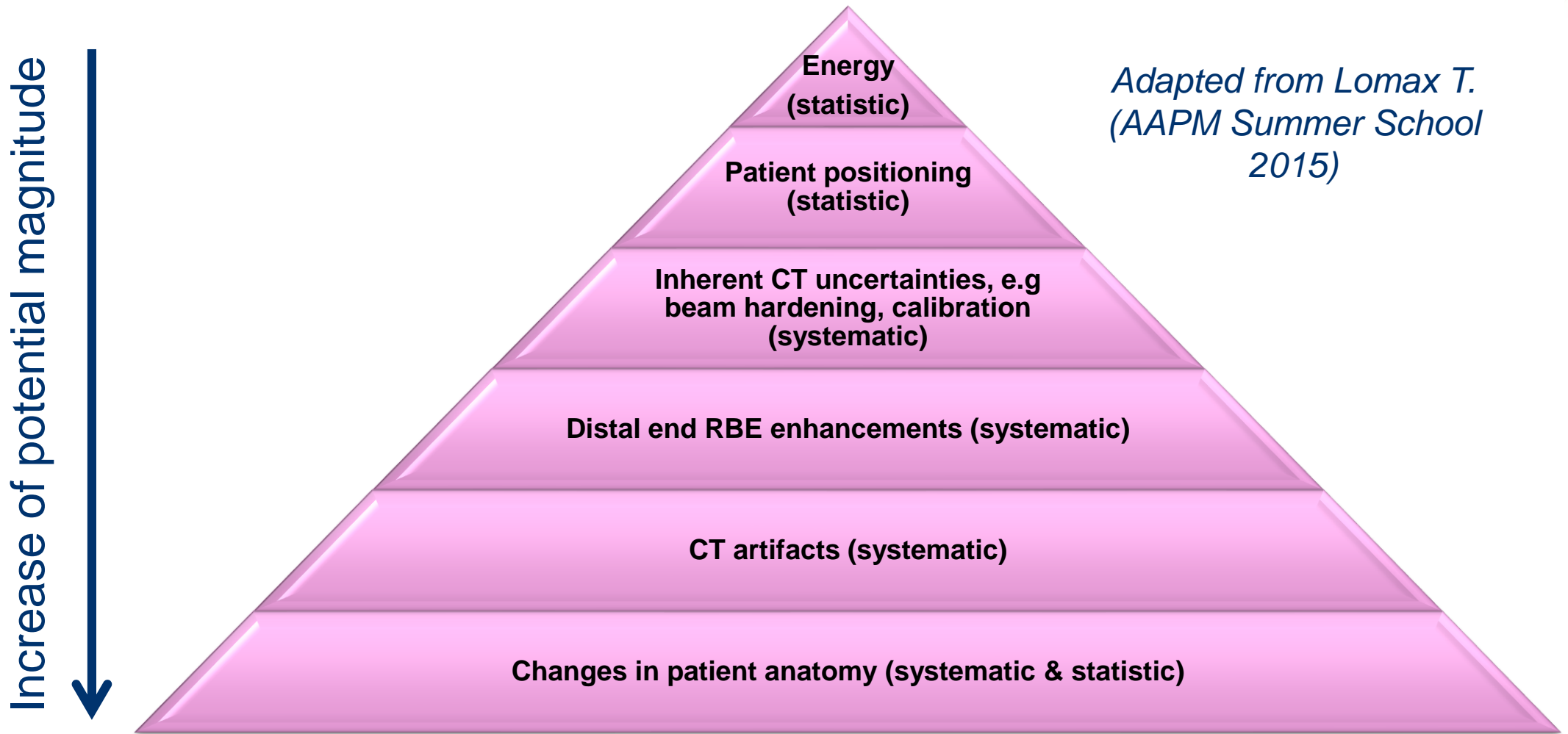


# Effect of range uncertainties

## Simulation of range uncertainty by HU scaling



# Range uncertainty



- Estimated sum of range uncertainties: ~3 - 5%
- Range uncertainties are likely to be systematic.

# Dealing with uncertainties in TP



- Robust beam arrangement
- Use of PRVs
- Beam specific PTV margins
- Use single beam optimization
- Robust optimization

## ***Evaluation of robustness***

*(Advanced tools in commercial TPSs required!)*



# Treatment plan robustness

Robustness of a treatment plan is one of the most important criteria in the plan assessment – complex treatment plans are susceptible to errors

Major uncertainties:

- Ion range
- RBE (fragmentation tail of carbon ions)

Possibilities to achieve a good robustness

- Beam through most homogenous tissue (avoid areas with larger movement)
- Avoiding beam angles perpendicular to organ motion

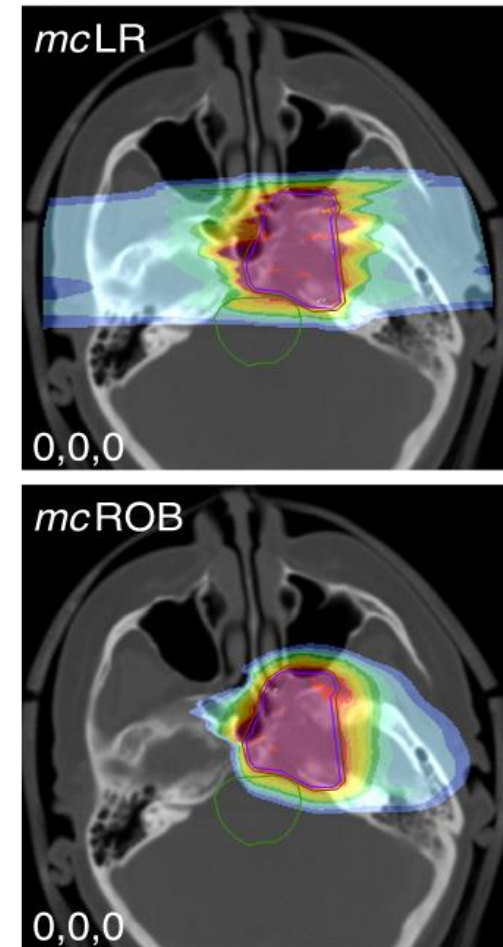
Assessing robustness against set-up errors and patient or organ motion by simulating these variation and their influence on dose distribution

Opposing field arrangement is very robust with regard to range uncertainties

PTV margins can be optimised in order to maximise the robustness

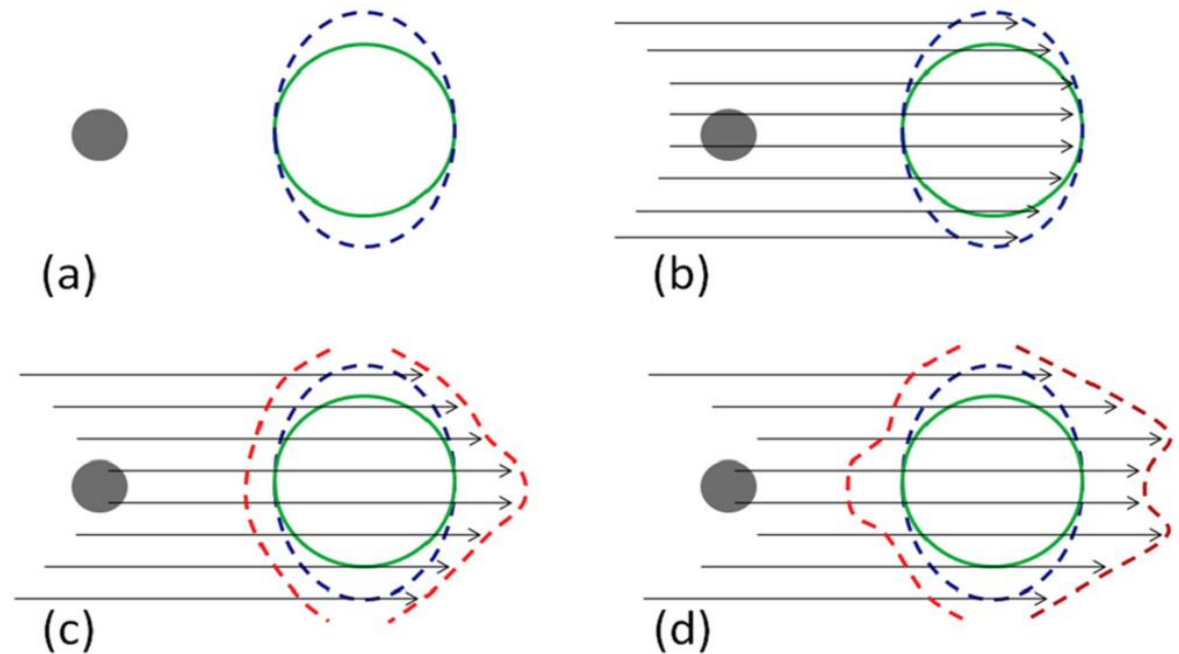
# Robust beam arrangement

- dose homogeneity: **choose beam angles avoiding large density interfaces** along the beam axis
- range uncertainty: **avoid placing Bragg peaks proximal to critical OARs**
  - beam incidence parallel to OARs
  - spot positioning margins/restrictions around OARs



# Beam specific margins

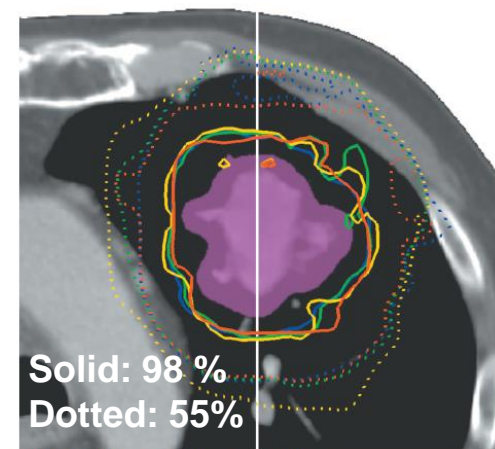
- Dealing with the range uncertainty separately by **applying** additional **beam specific margin** on top of positioning uncertainty.



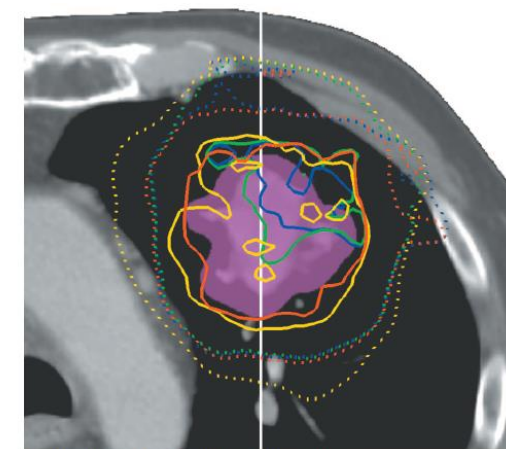
# Robust optimisation

## MinMax Optimization

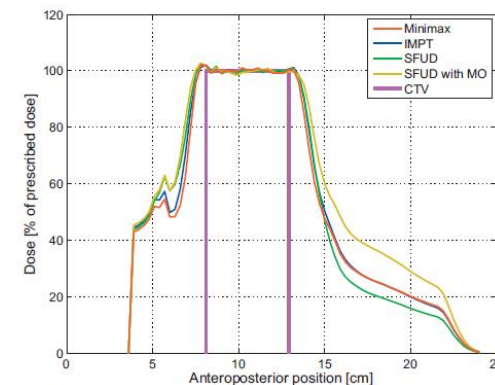
- Minimizing the penalty of the worst case scenario
- Considers only scenarios that are physically realizable
- Accounts for uncertainties in the probability distribution of errors



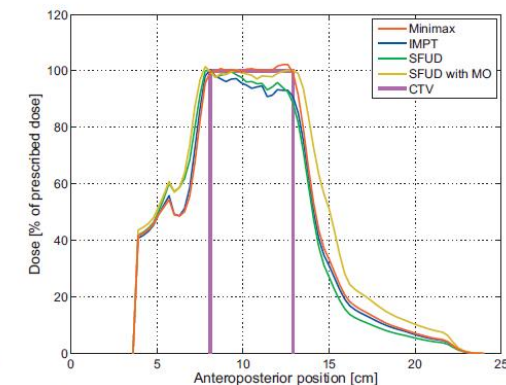
(a) Nominal scenario isodose curves



(b) Perturbed scenario isodose curves



(c) Nominal scenario line doses



(d) Perturbed scenario line doses

With robust optimization the traditional margin concepts becomes unsuitable

Robust methods are discretized into scenarios (choice of scenarios has high impact on the quality)

Up to ... scenarios have to be calculated in case ... is taken into account

# CT artefacts due to metallic implants

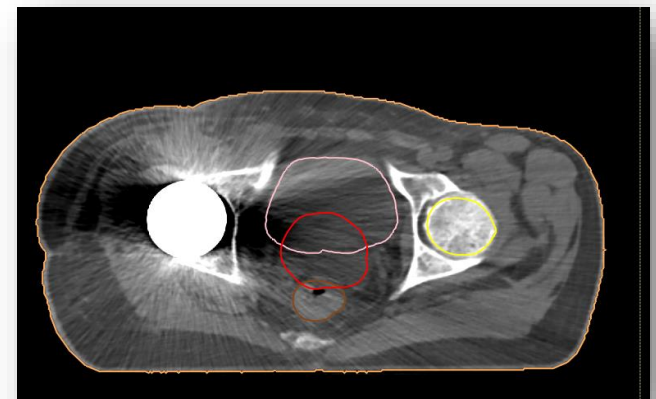
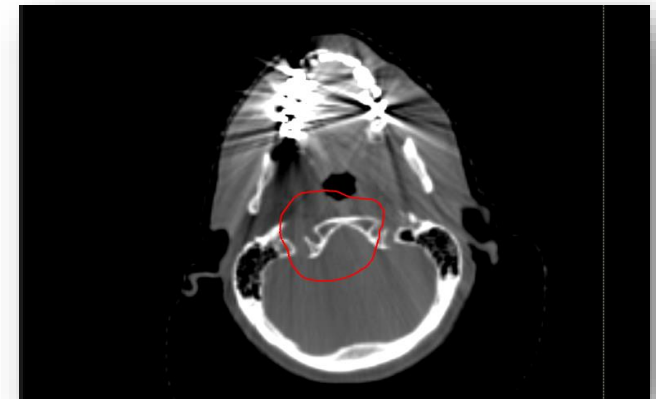
*Jäkel et al, PMB 2007* reported <5% of patients with neither fillings nor prosthesis

***There is no method at the stage of TP which will solve the problem for protons. Try to diminish the effect:***

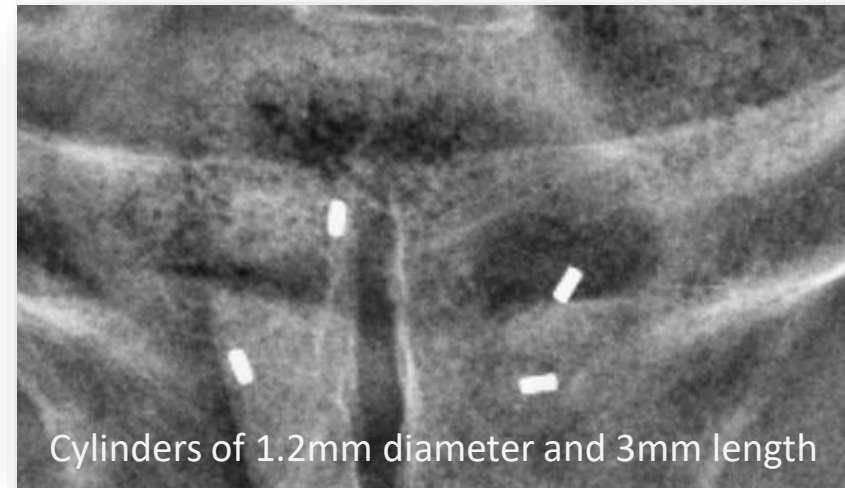
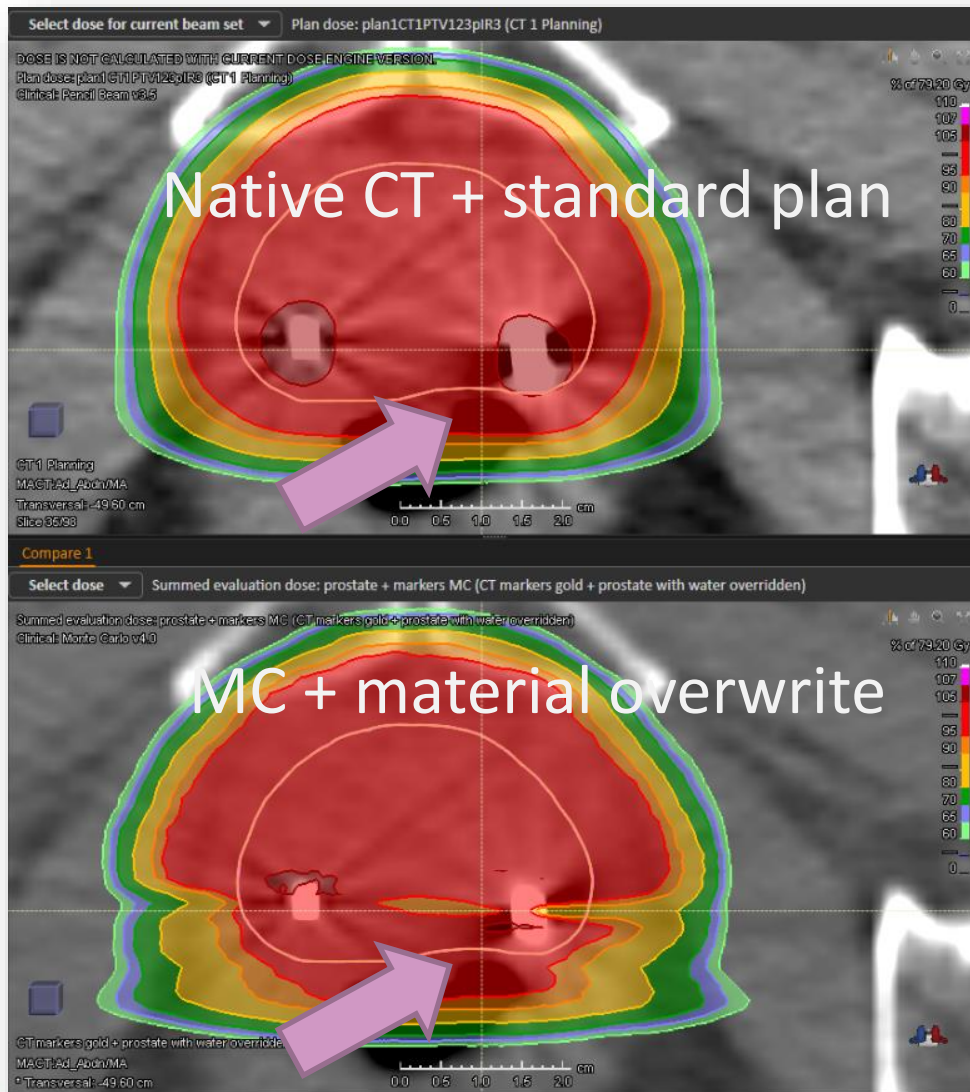
- artefact reduction algorithms (HUs are influenced)
- delineation of artefacts (and implants) and HU override
- estimation of related uncertainties required for clinical decisions

***In case of less pronounced artefacts:***

- avoid parallel incidence to streak artefacts
- increase margins or use increased uncertainty in robust optimization
- use multiple beams



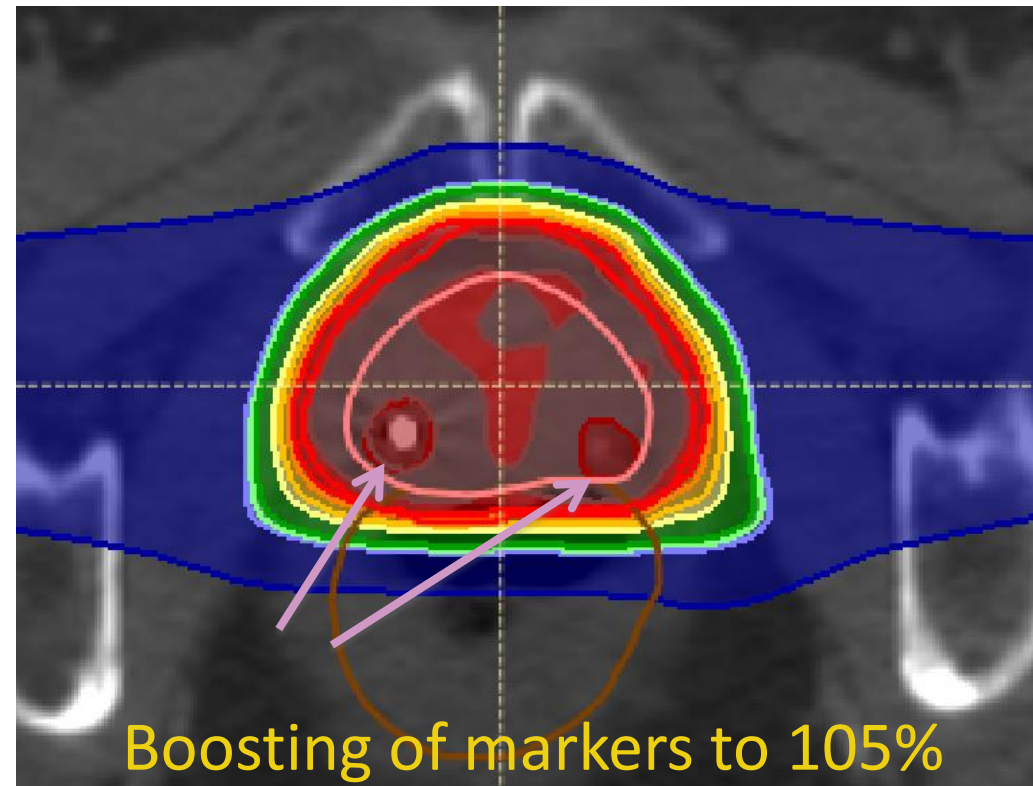
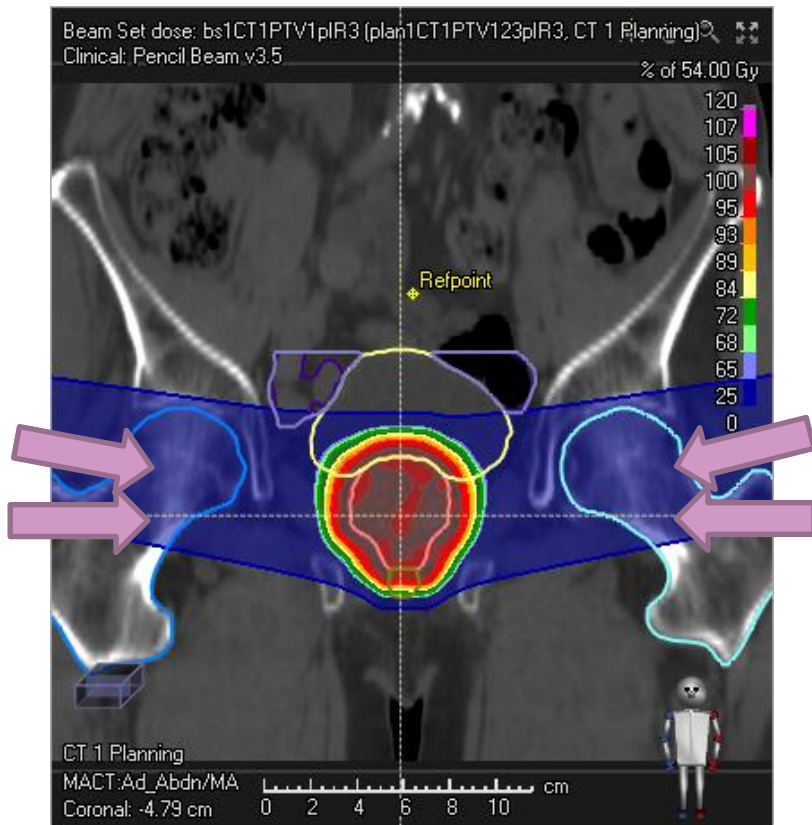
# Prostate gold markers



For a standard planning approach evaluated with material overwrite + MC to cause 'dose shadows'

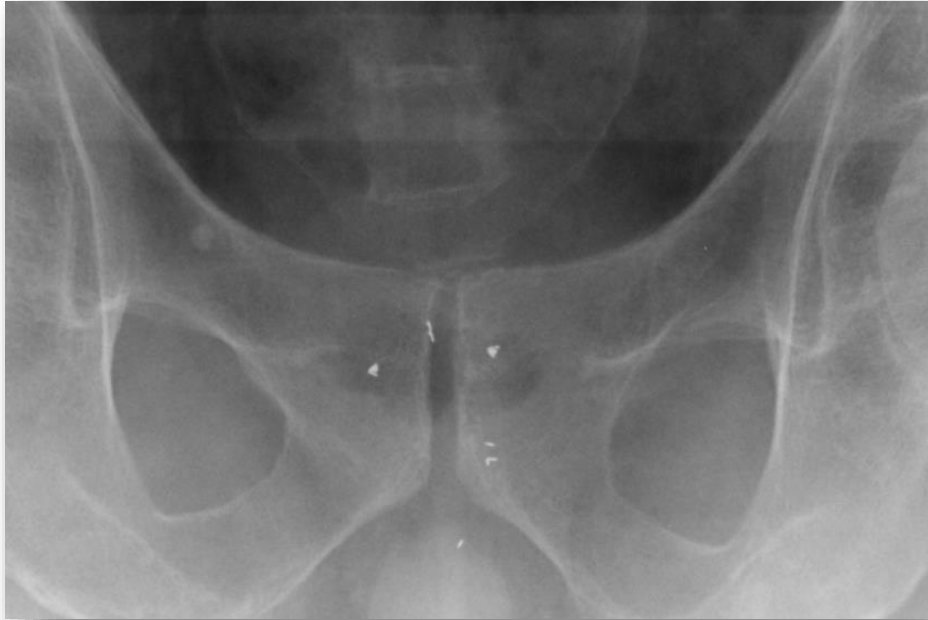
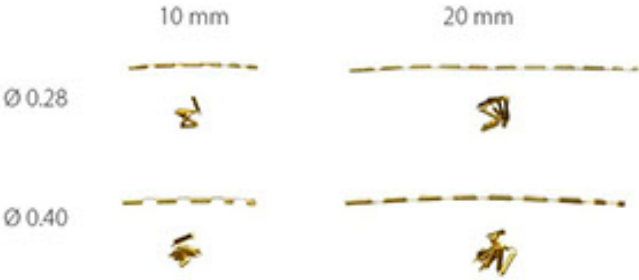
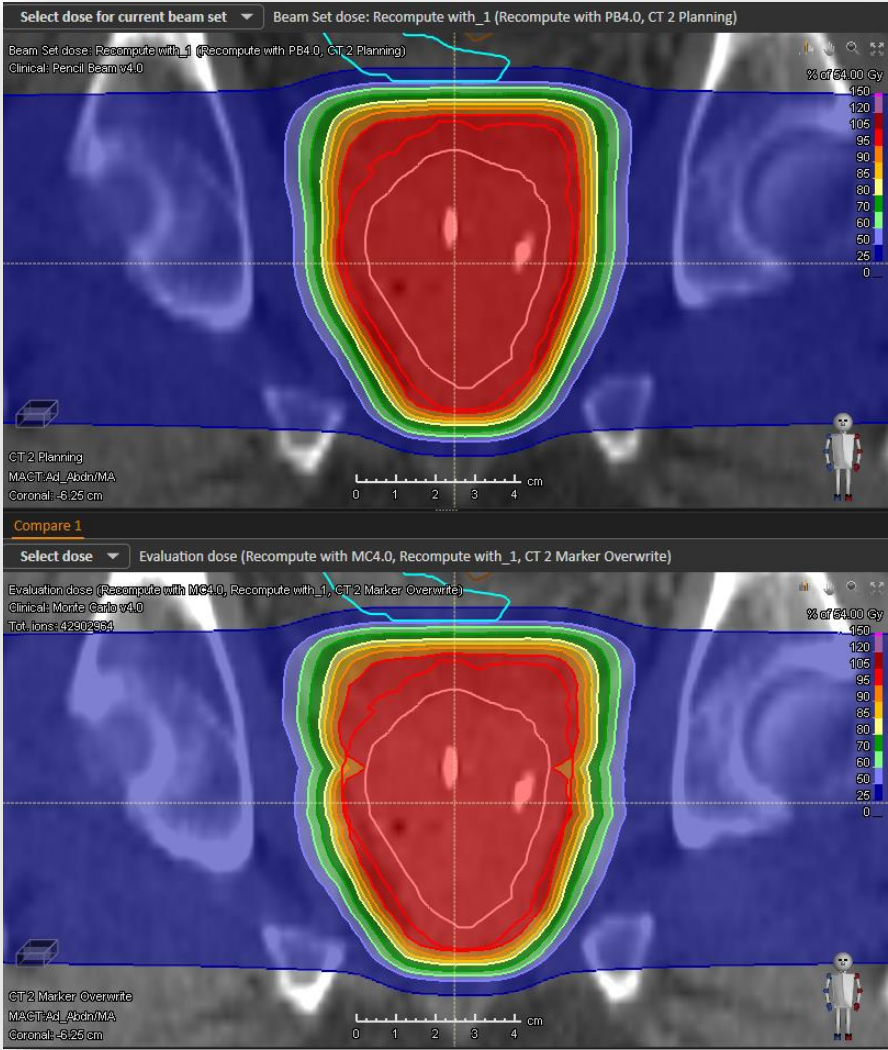
Positioning and orientation of the gold markers quite stable during fx-delivery, but a little smearing due to rotations

# Prostate gold markers



- Using opposite + tilted beams
- Boosting the markers to 105% of prescription

# Impact of markers for PT



- Nominal PB plan vs MC recomputation with material overwrite of gold for markers



# SBO (SFUD) and MBO (IMPT)

## **SBO: Single beam optimization**

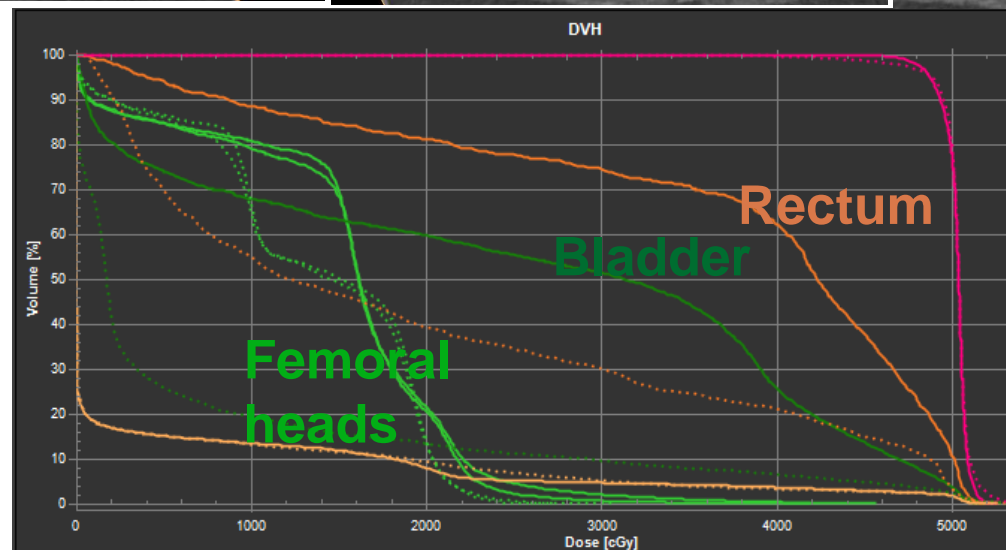
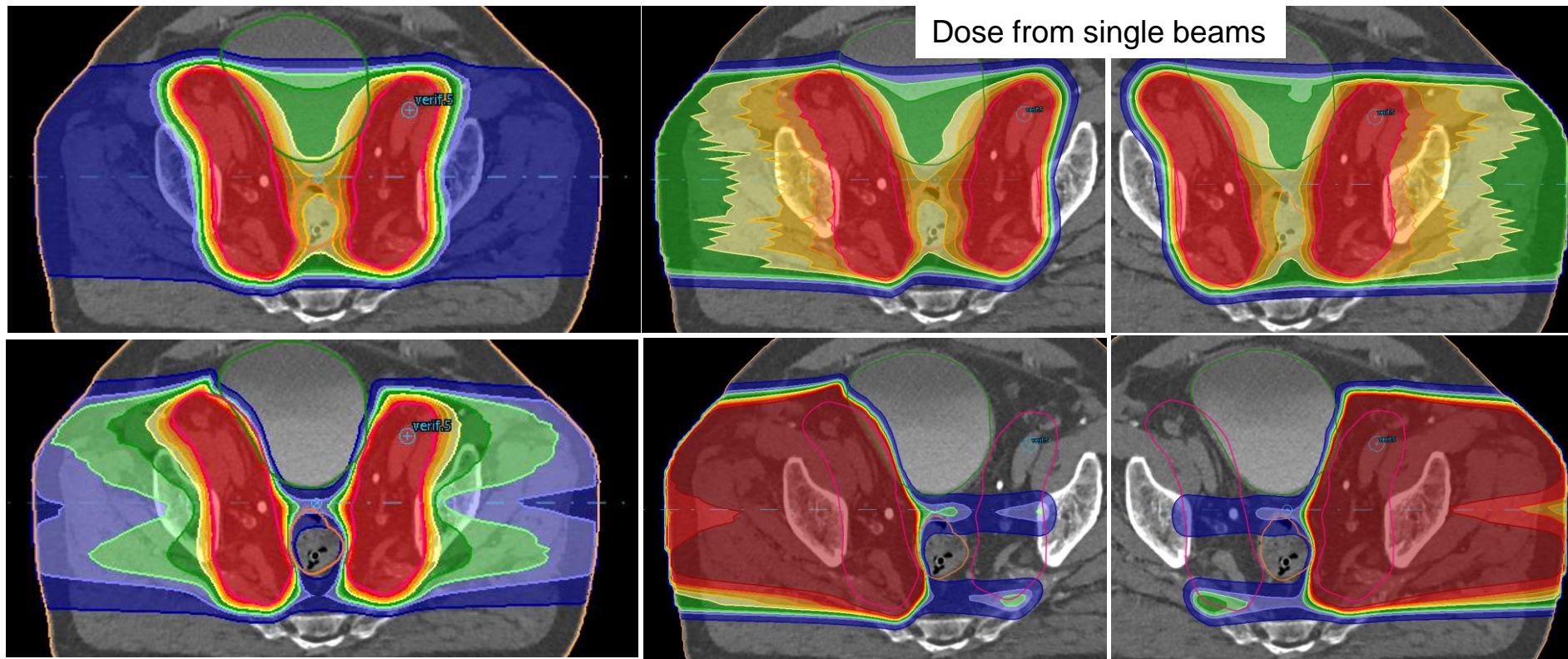
- Possible with passive scattering and active scanning technology
- Spots are weighted in order to achieve a homogenous target dose for every single beam
- OAR sparing only possible by using help structures
- More robust treatment plans

## **MBO: Multi Beam Optimization**

- Active scanning required
- Single beam target doses are not homogenous
- Better OAR sparing possible

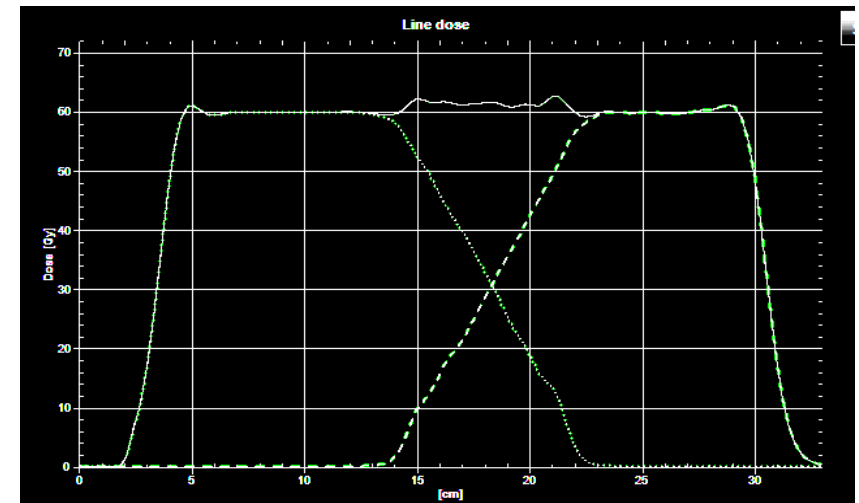
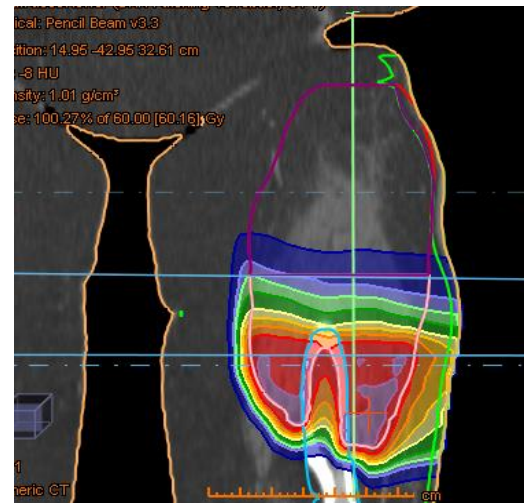
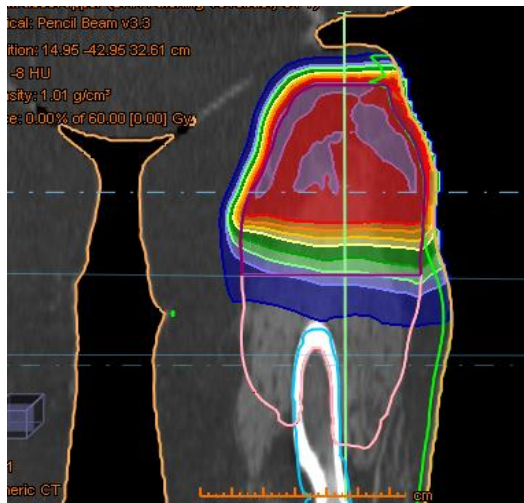
# SBO vs MBO example prostate case

MBO (dotted) SBO (solid)



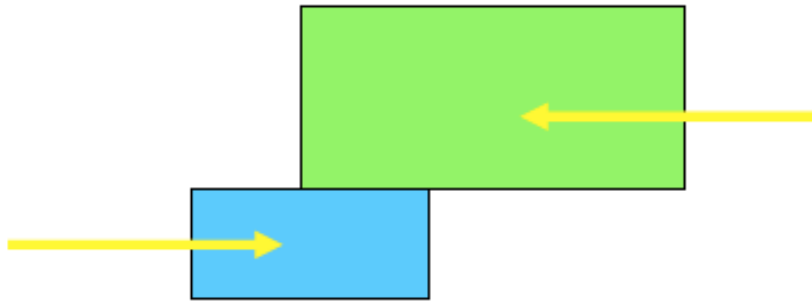
# Field matching

- robust optimization for independent beams



# Particle planning basics

## Abbuting fields

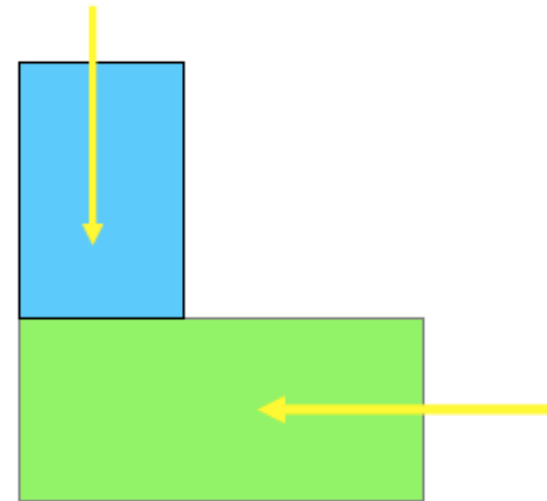


Lateral penumbra

+

Lateral penumbra

## Patch fields



Distal penumbra

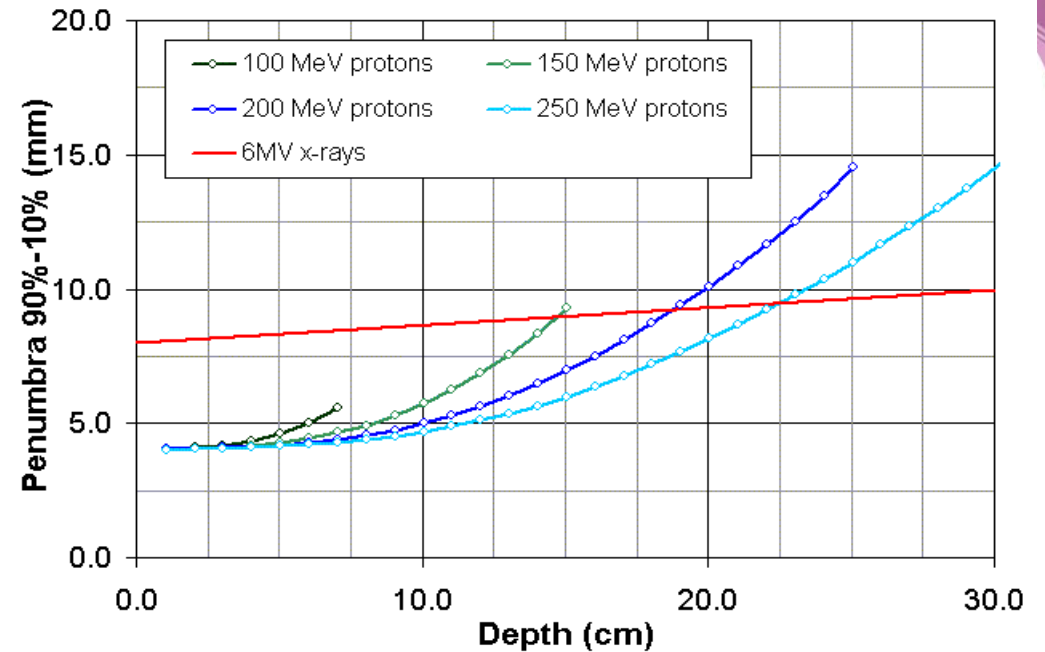
+

Lateral/distal penumbra

# Penumbra

Lateral scattering:

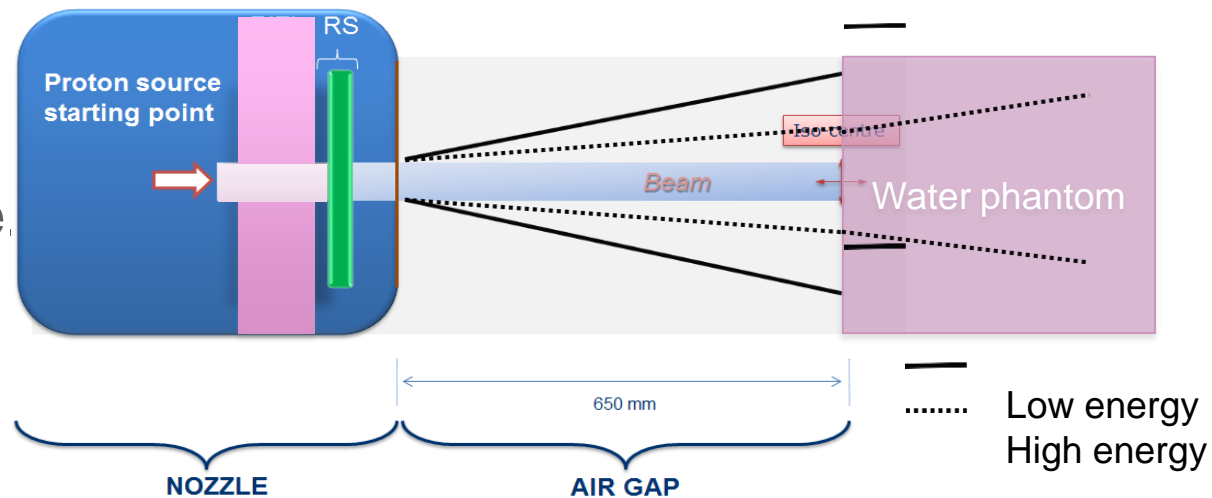
- MCS: penumbra increases with increasing penetration depth.
- Exceeds penumbra of photons at some point.



Courtesy Palmans 2006

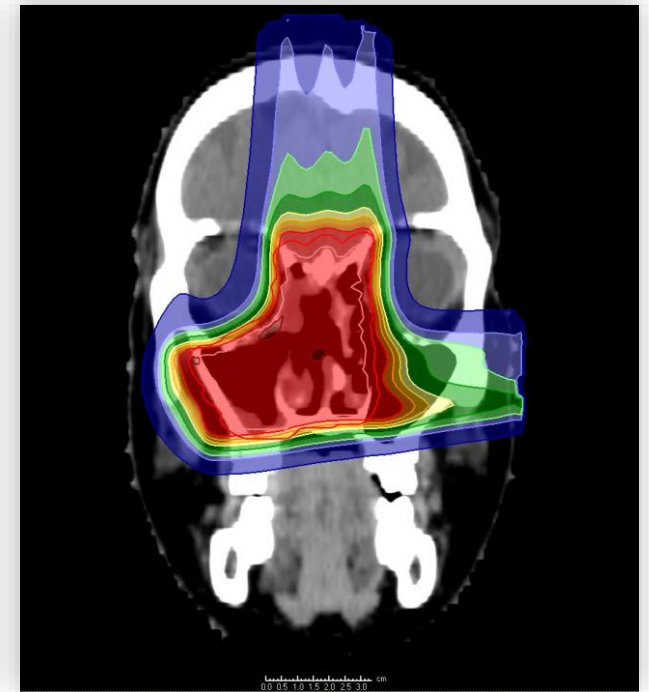
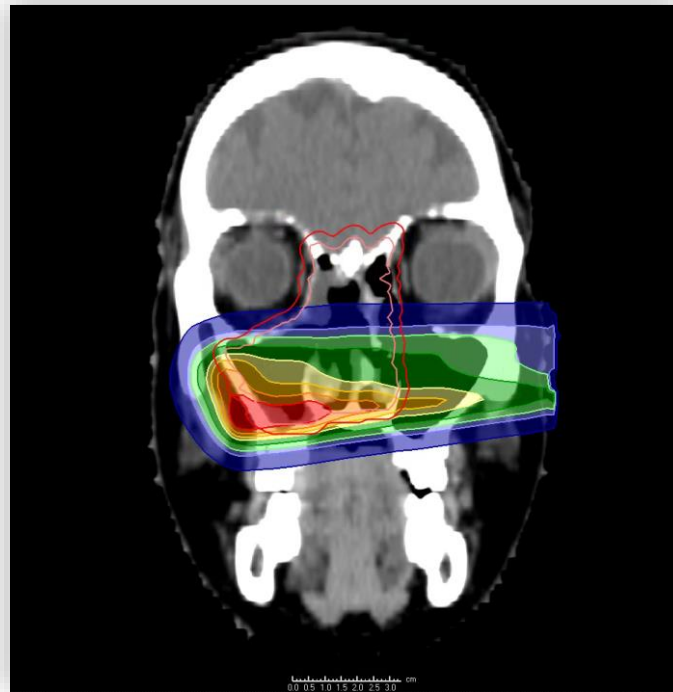
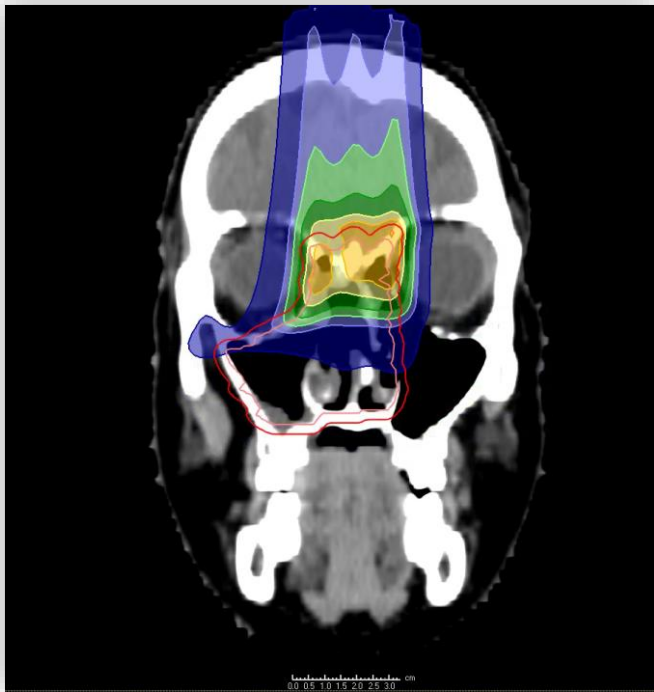
Presence of range shifter (combined with low energies):

- Substantial increase of spot size.
- Dose calculation accuracy for PB algorithm impaired.
- **Reduce air gap.**



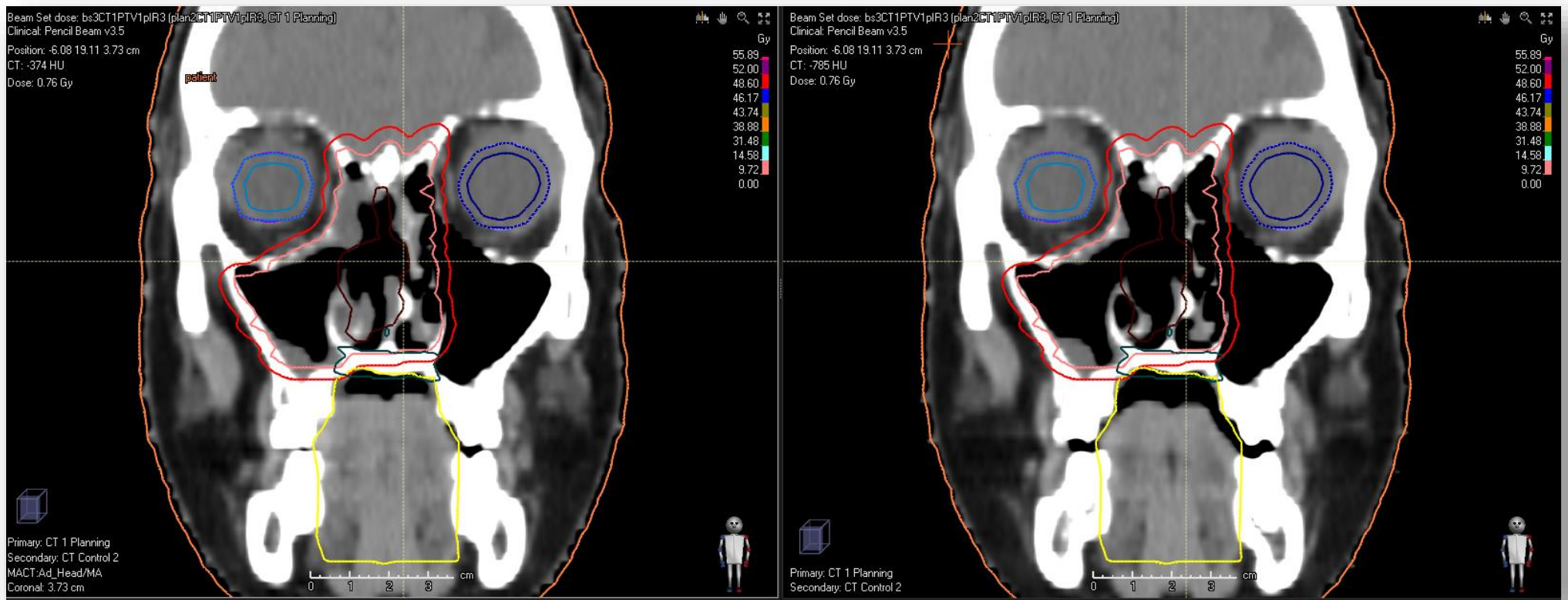
Courtesy Grevillot 2014

# Inter-Ocular Nasal Cavities with horizontal beam only



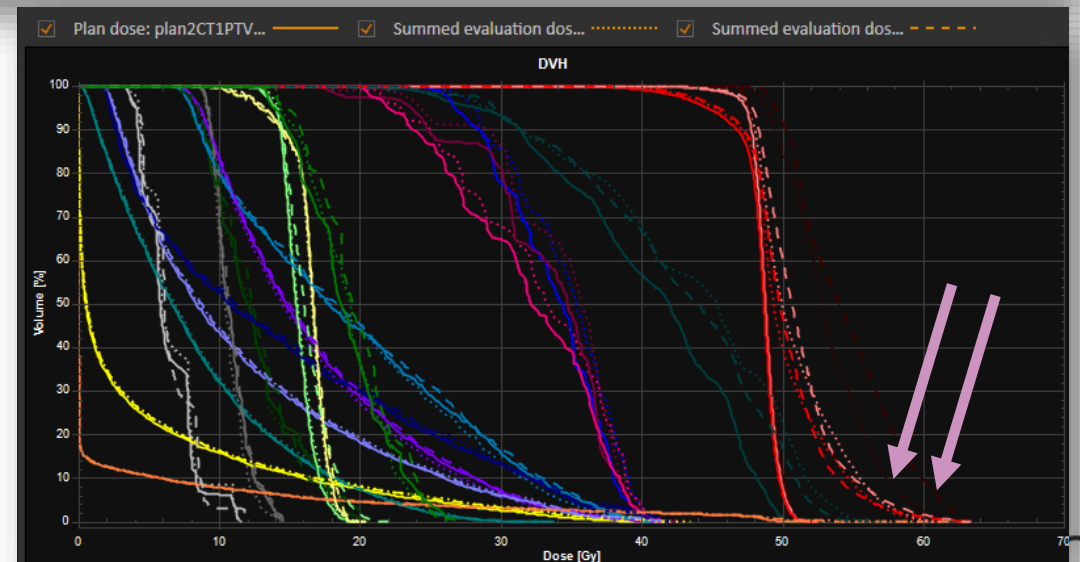
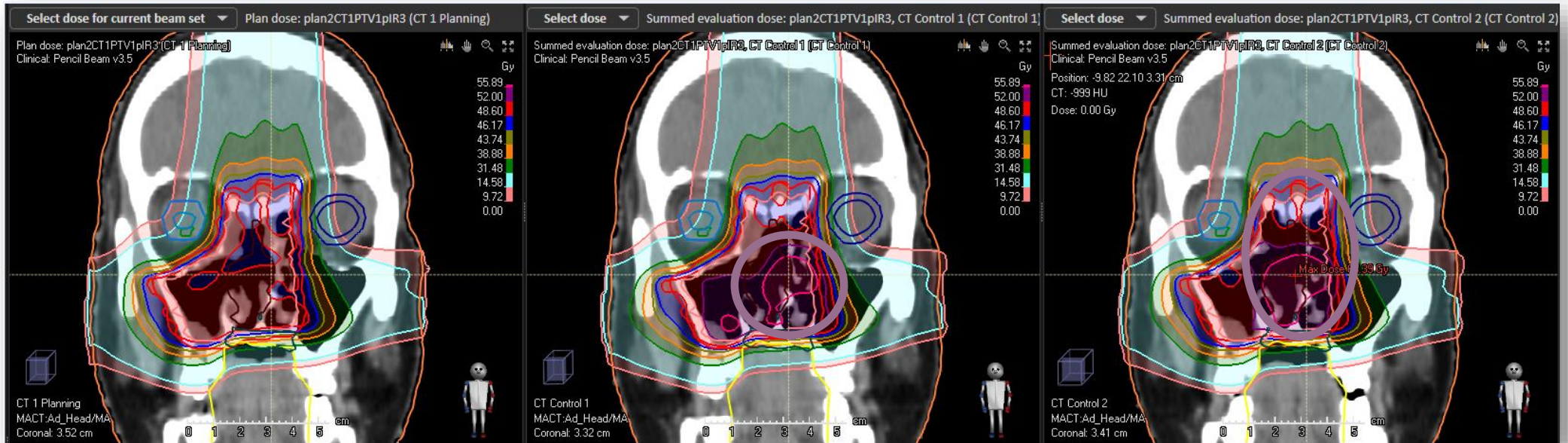
Patching with smooth matching-gradient + multiple beam sets

# INTER-fx: Nasal Cavity Filling



Monitoring filling by control CTs + dose recomputation  
Alters ranges and dose distribution?

# INTER-FX: Nasal Cavity Filling



Dosimetric impact evaluation

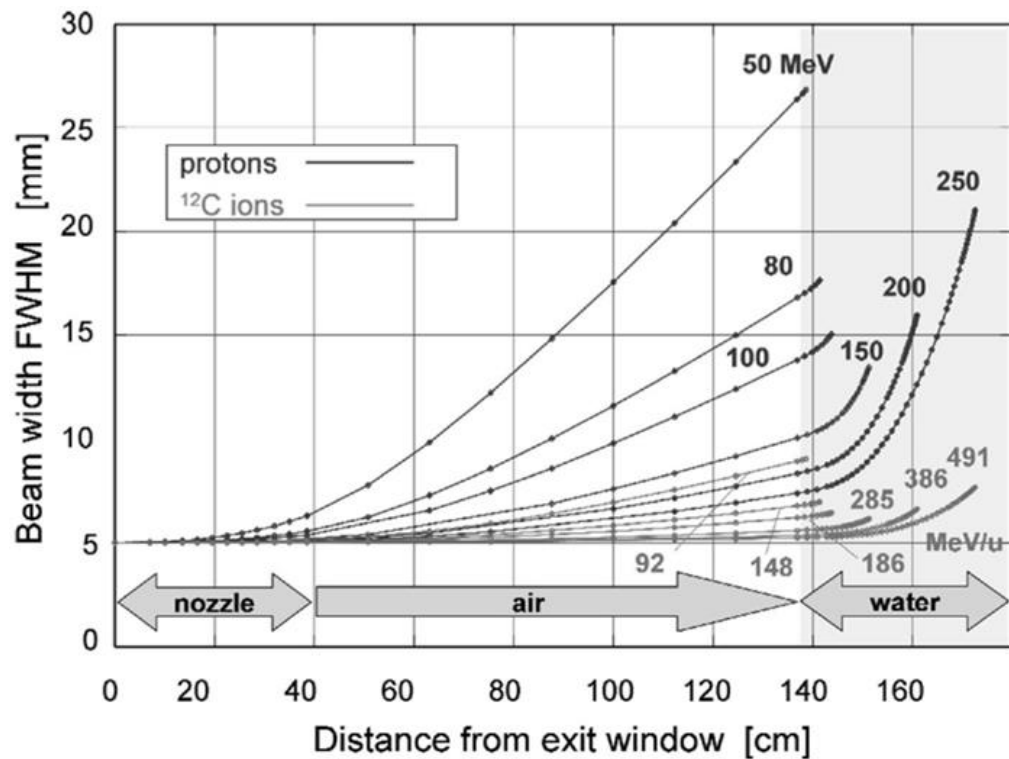
Palate exposed to higher doses

Plan adaption + compensation

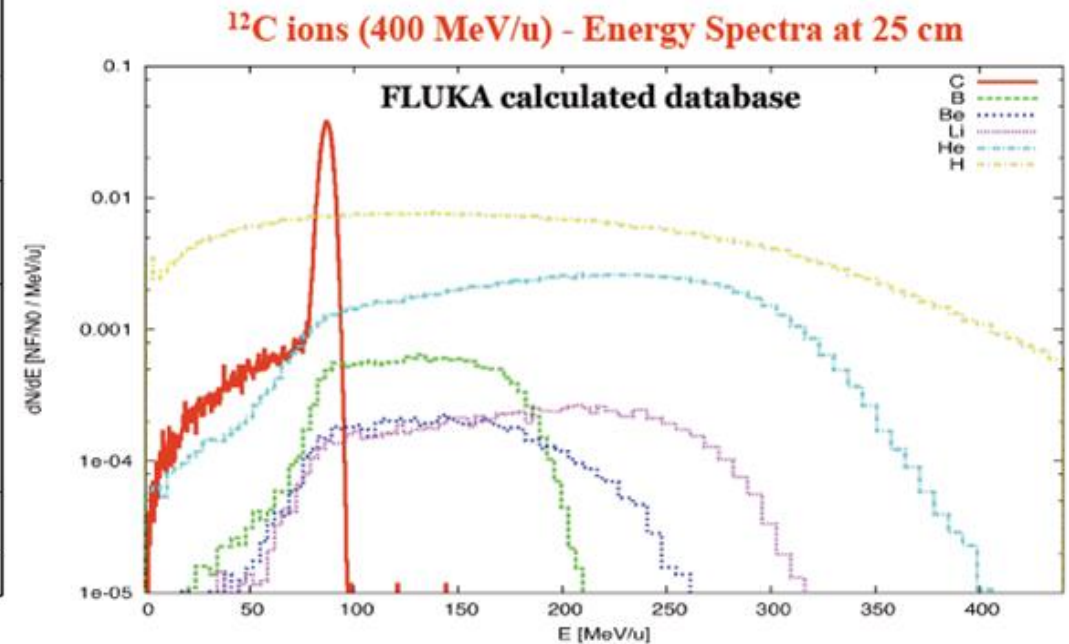


# CIBT wrt PT: Some important differences for TP

- Sharper lateral penumbra but tail
- Fragment fluences/LET to be modelled
- No influence of air gap

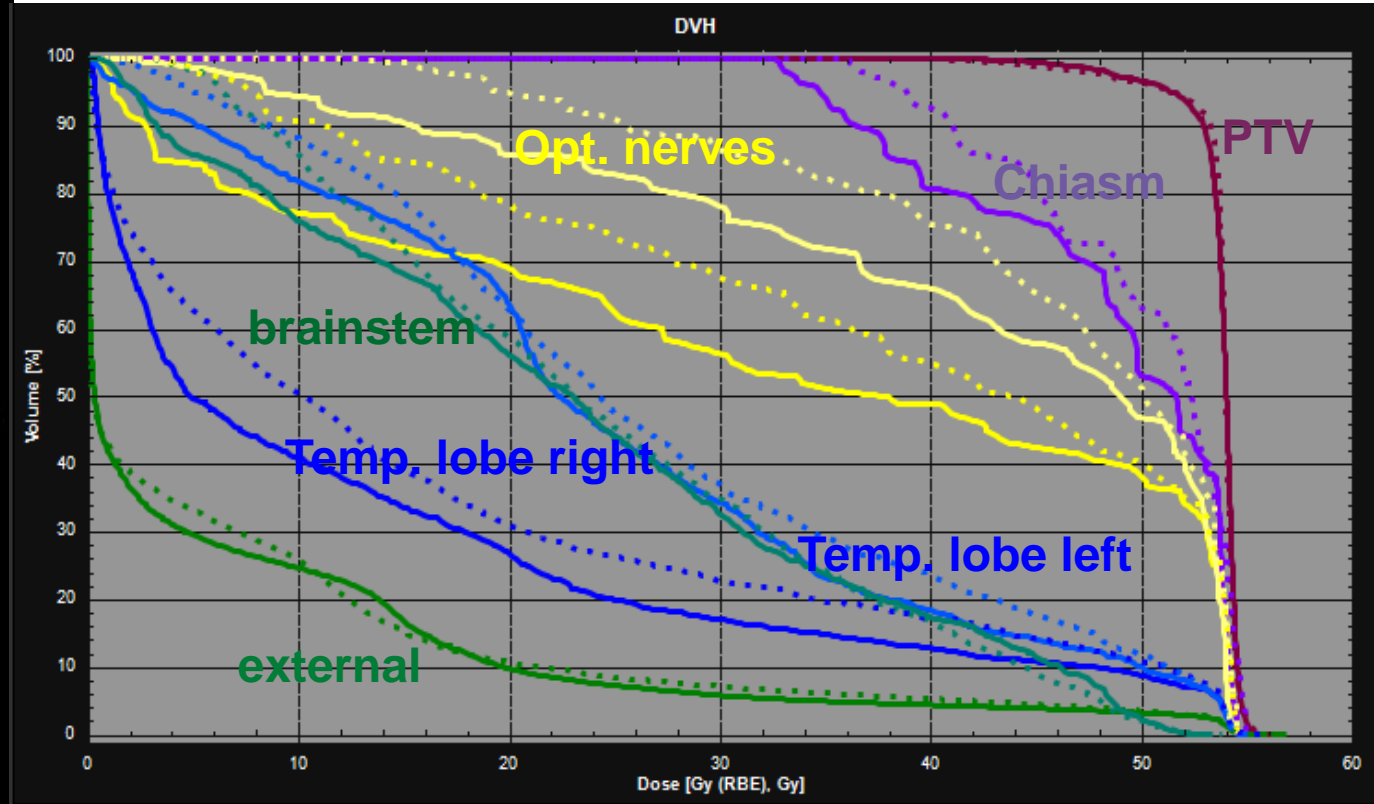
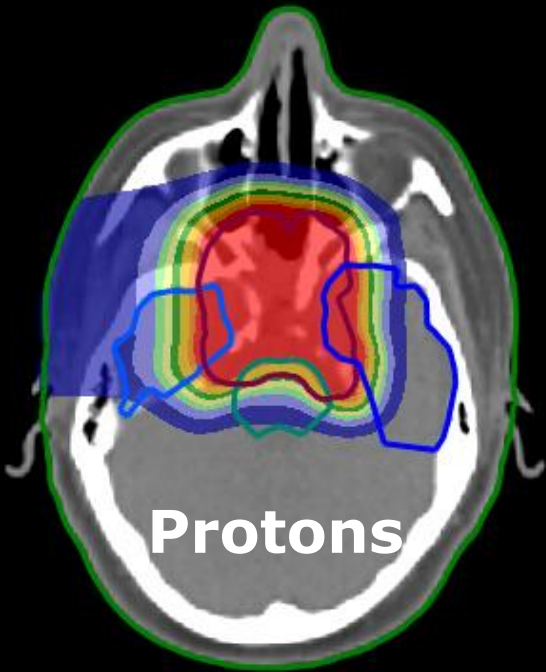
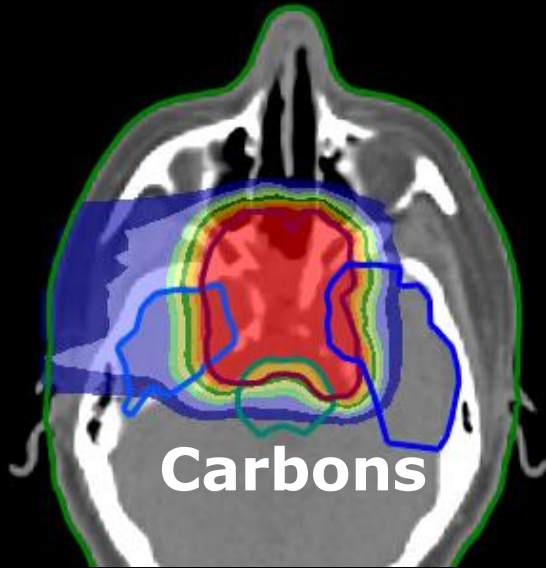
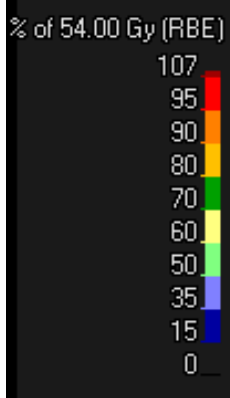


Weber and Kraft, *Cancer J* (2009) 15(4):325–32



Mairani et al., PMB

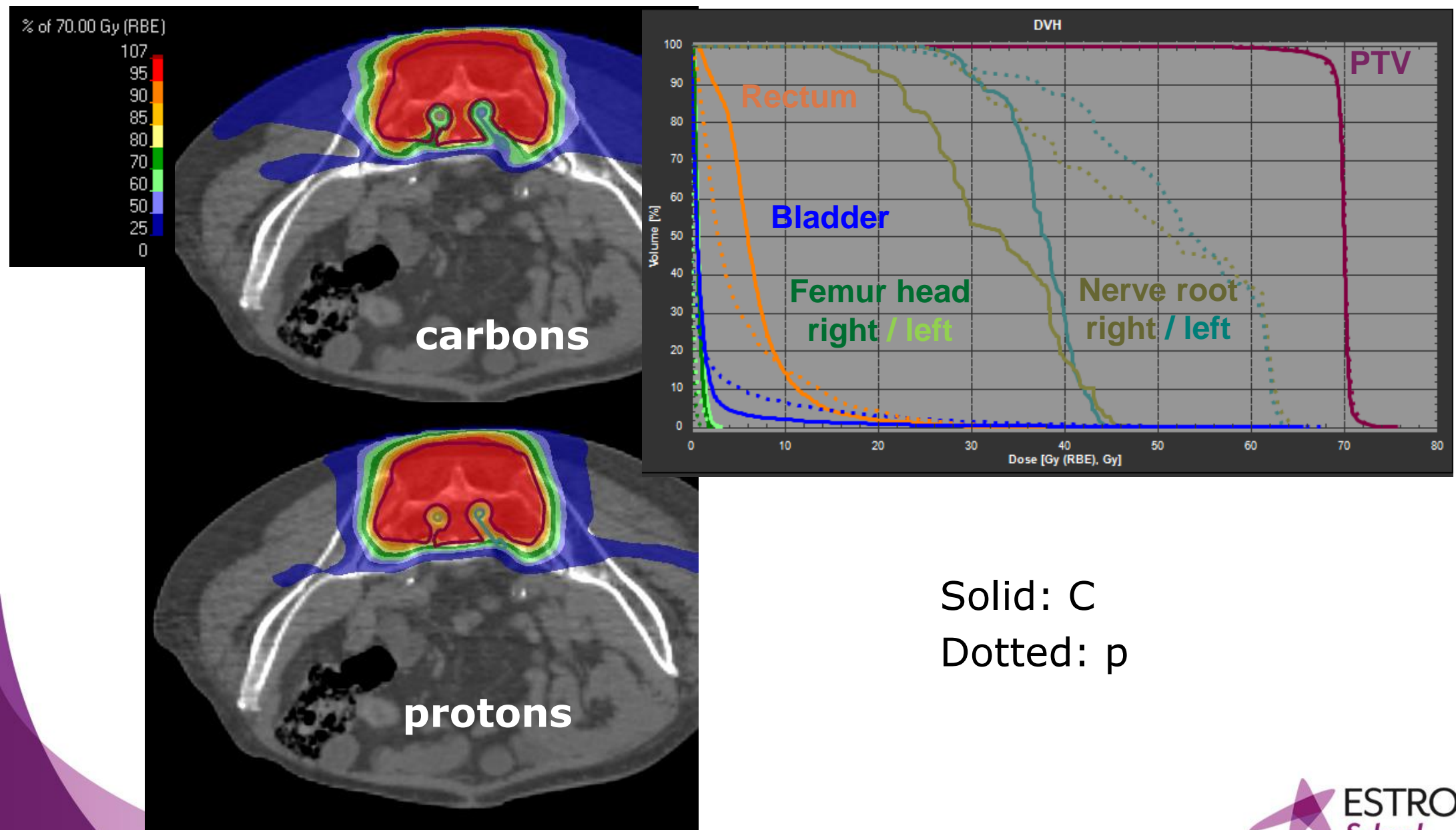
# C vs p: Skull base



Solid: C

Dotted: p

# C vs p: Sacrum



Solid: C  
Dotted: p

# Early days at harvard cyclotron laboratory

- In 1973, the radiation oncology department commenced an extensive proton therapy program. The first patient was a 4-year old boy with a posterior pelvic sarcoma.



- The first large-field cancer patient treated at the HCL. Treatment was challenging due to the HCL's fixed horizontal beam when treating with posterior fields.

# Some practical aspect in ion beam planning

For plan creation:

- Limited number of beams should be chosen
- Beam path optimization: Picking “good” beam directions to avoid to pass through heterogeneities or lie tangent to a tissue air-interface
- Intelligent creation of planning help structures for PTV and targets
- Visualization of spot distribution and weighting
- Avoiding corners and edges from positioning devices/ no beam path through shoulders

For plan quality assessment:

- Robust evaluation and optimisation
- Surface dose!
- Hot spots within OARs (position of high dose areas)

# Conclusion



- Fundamental difference in beam penetration
- Less beams used in particle therapy
- PBS vs Scattering technique experience
- Robustness optimization major concern
- Limited field size and incidence angles



**ESTRO**

*School*

# Introduction Case 2: Brain (meningioma)



*ESTRO ATP Athens*  
*September 2018*



# Ms E, 51 years old

## History:

- Partial resection 7 month ago: meningioma WHO grade I
- Partial re-resection 1 month ago: now WHO grade II-III

## Histology:

- transition to atypical meningioma and malignant meningioma - WHO grade II-III
- 17 mitoses per 10 high power fields (HPF)

# Ms E, 51 years old

## Target:

- Residual tumour at left base of skull
- Tumour bed plus
- Margin for extension

## Imaging available:

- Planning CT
- MR series (Pre, Post op)
- DOTATOC PET for boost

# Ms E, 51 years old

- Complete closure of eye
- Cavernous sinus nerve involvement
  
- ‘Functionally’ blind in left eye

*Not our patient*

Courtesy of Google Images

# Ms E, 51 years old

- Grade III (malignant or anaplastic) meningioma has a poor outlook
- WHO Grade                      5 year local control
  - I                                      90 - 95%
  - II                                     40 - 60%
  - III                                    20 - 50%
- Grade III often transform from lower grade
- Metastasis seen in (only) 0.1% of cases, all grade III tumours

# RT dose

- Some evidence of RT dose response
- Balance between
  - 'Safe' dose but with poor effectiveness
  - Higher dose with some risk but higher effectiveness



# Imaging Available

# Imaging Available

- Planning CT
  - Used for dose calculation and DRR generation for setup
  - CT can also show bone involvement
- MR series
  - Crucial to delineate tumour, but difficulty with 'tail'



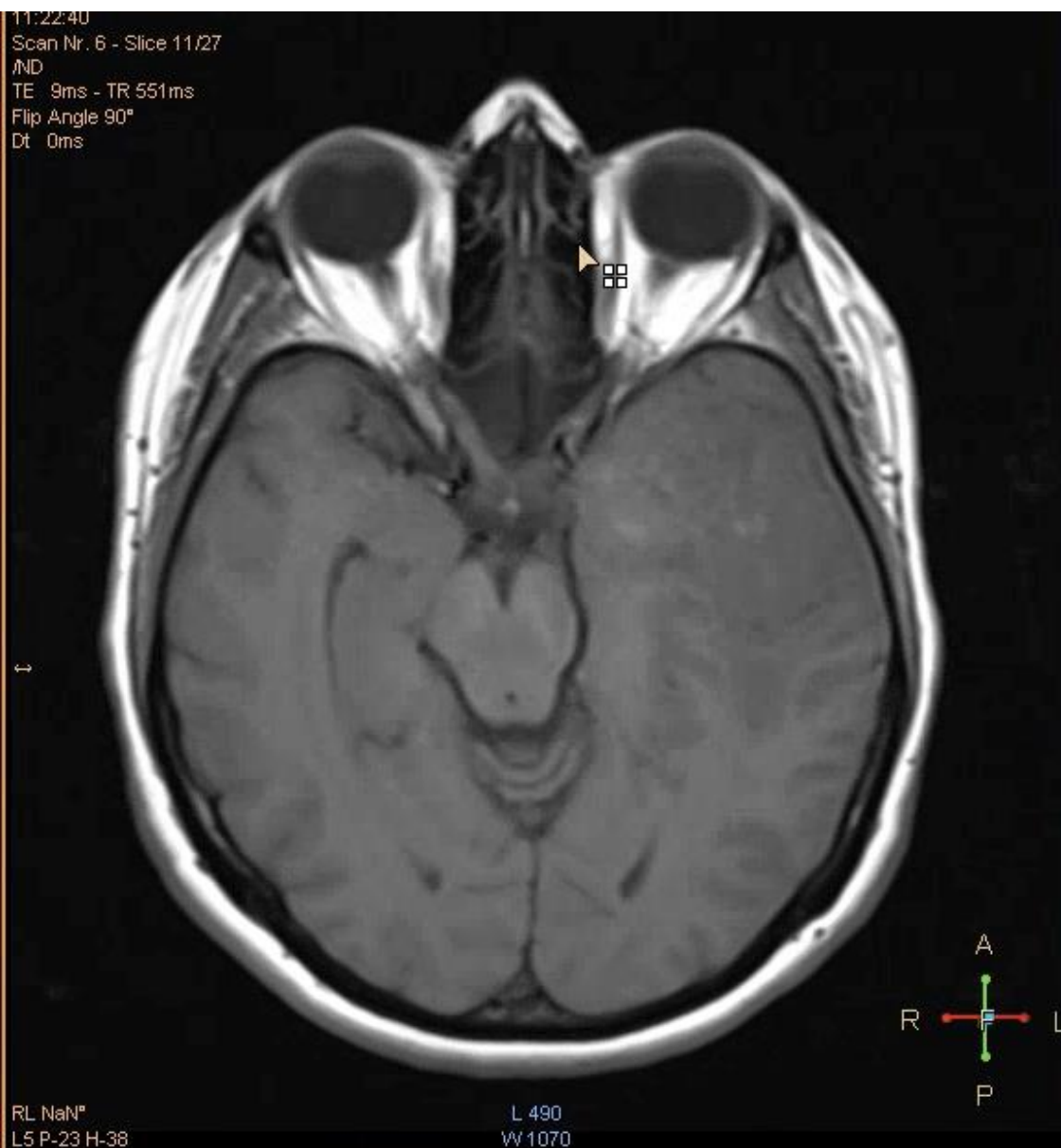
# Imaging Available

- Planning CT
  - Used for dose calculation and DRR generation for setup
  - CT can also show bone involvement
- MR series
  - Crucial to delineate tumour, but difficulty with 'tail'
- DOTATOC PET for boost
  - Somatostatin analogue
  - Useful to show extent of tumour

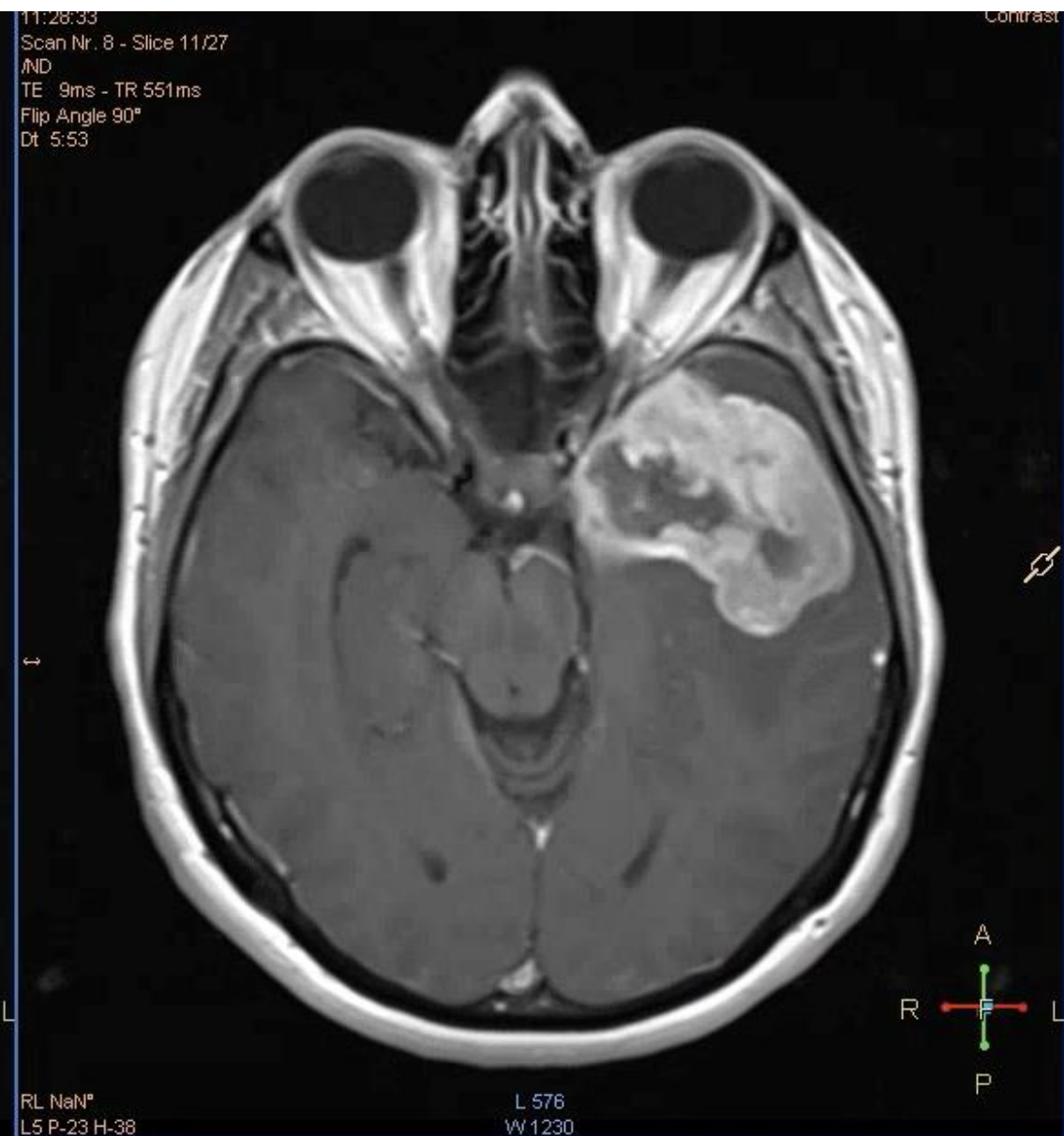




# Pre-Operative Imaging

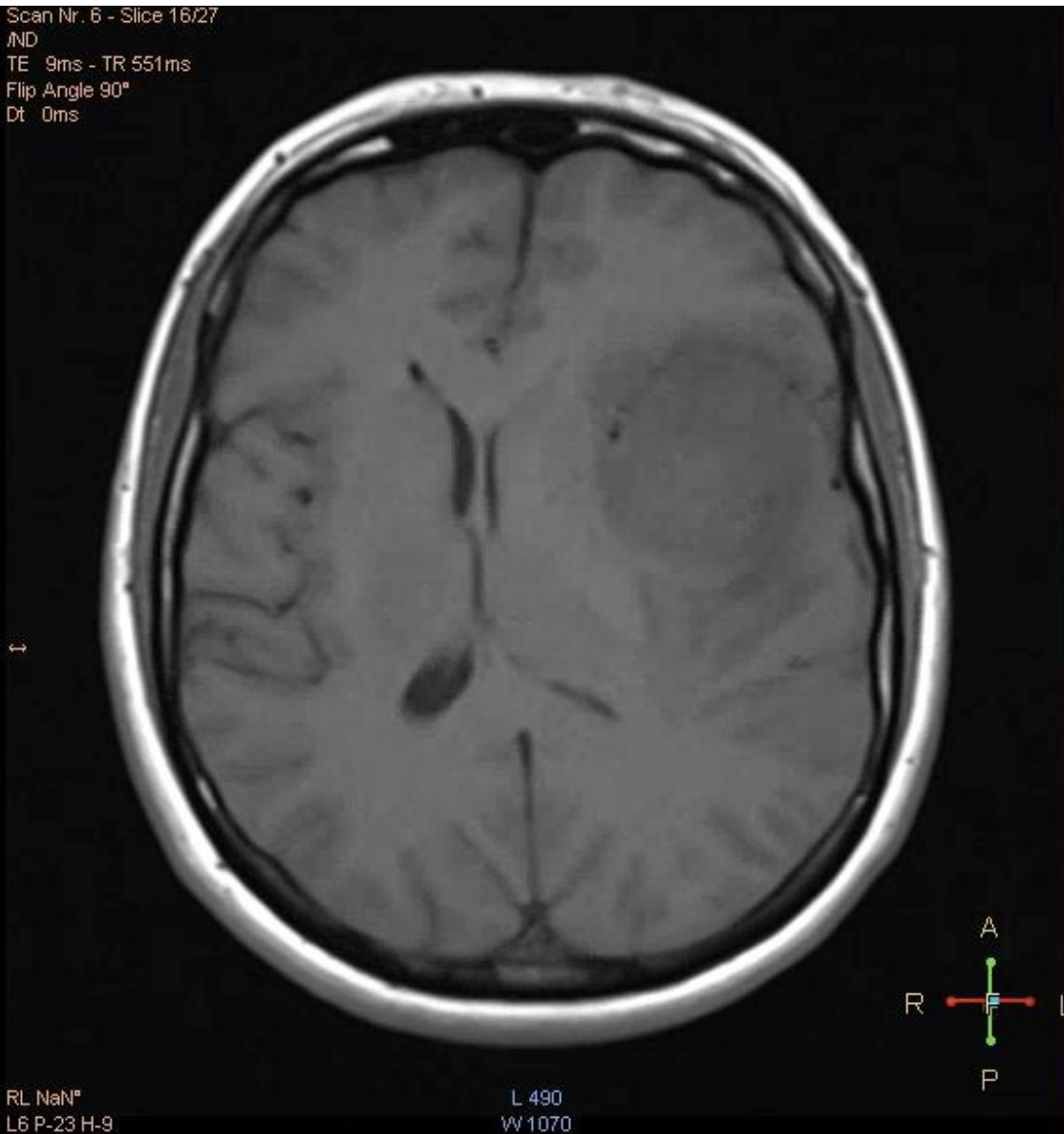


T1 Pre-Contrast

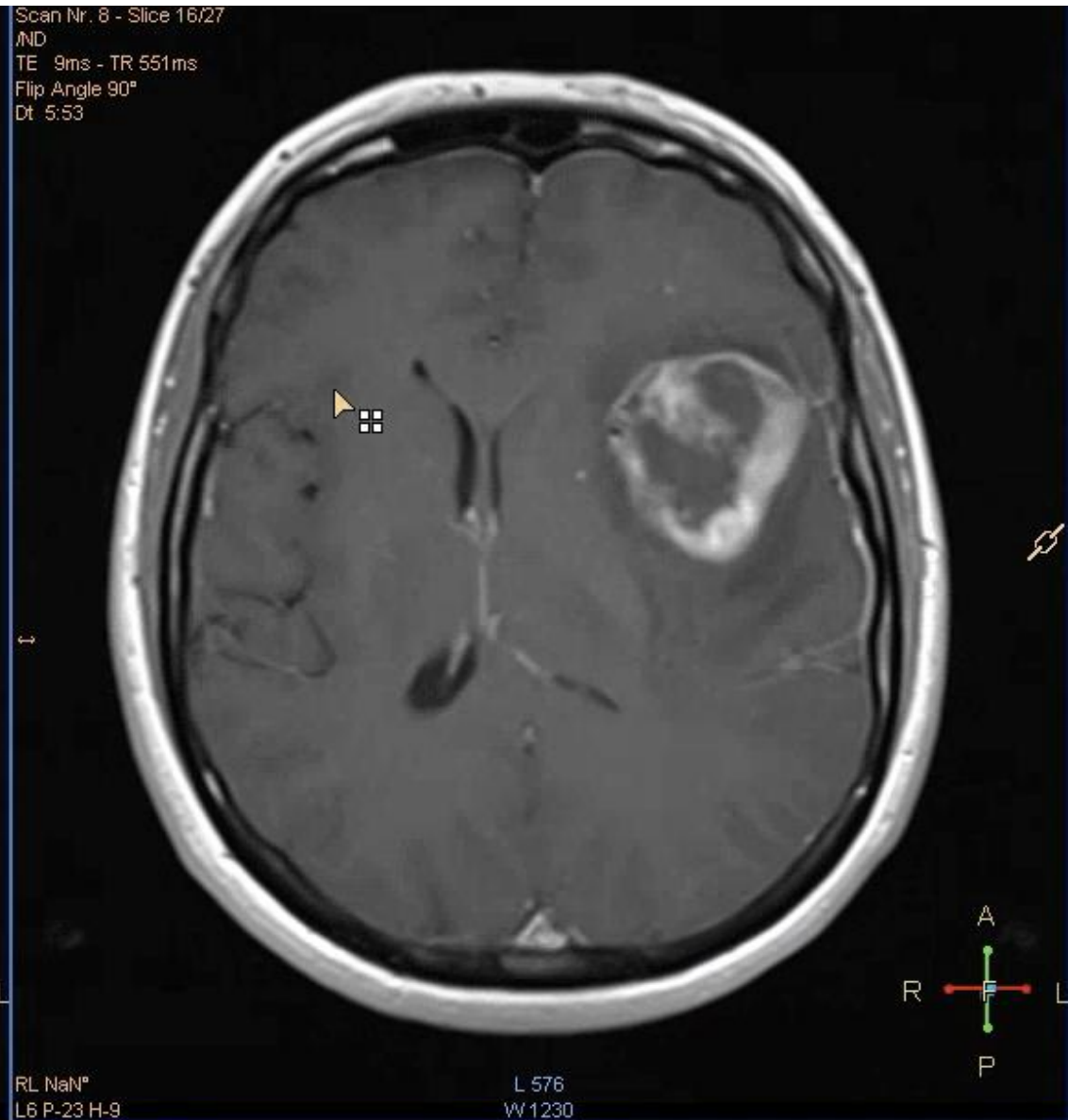


T1 Post-Contrast

# Pre-Operative Imaging

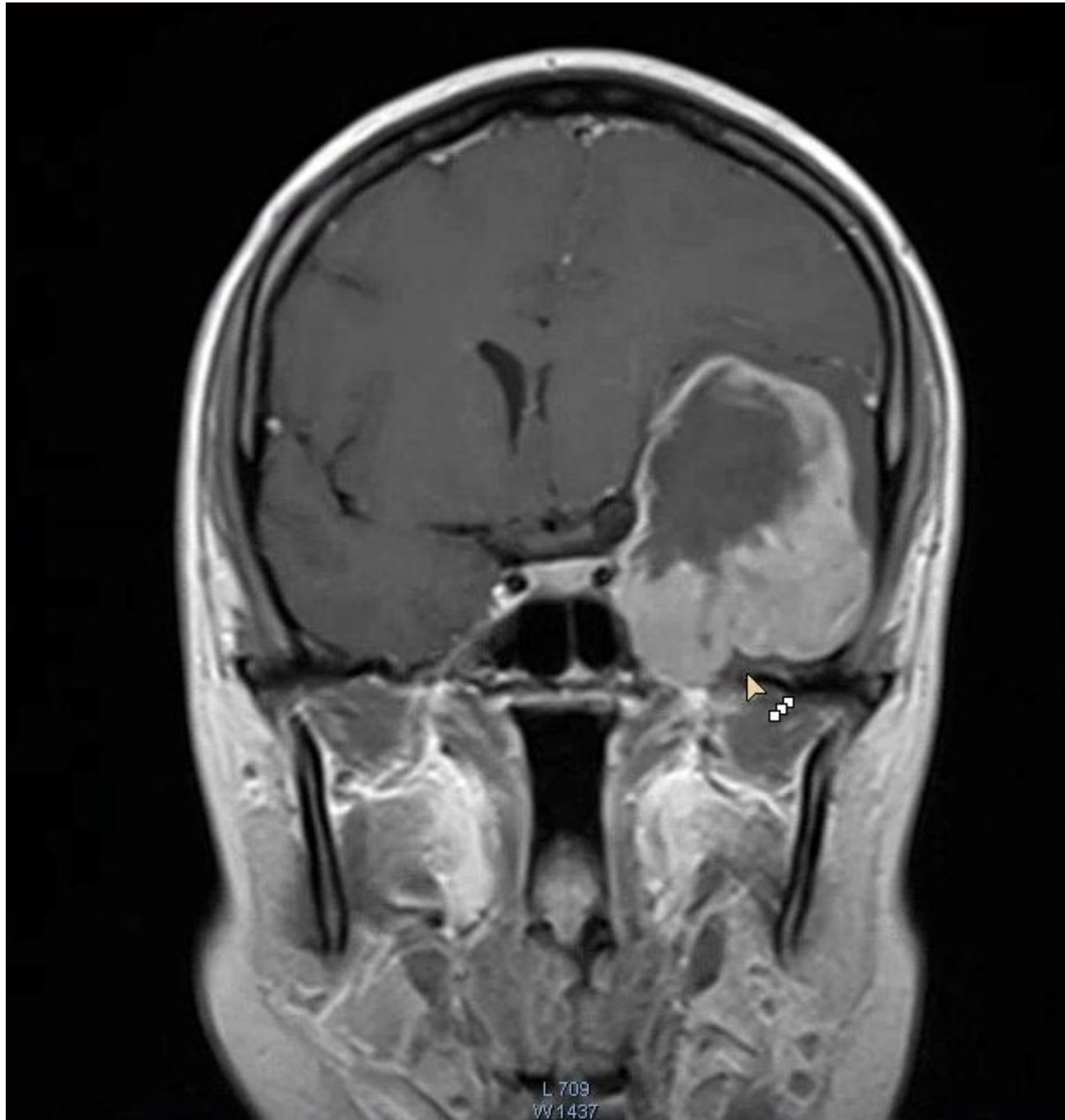


T1 Pre-Contrast

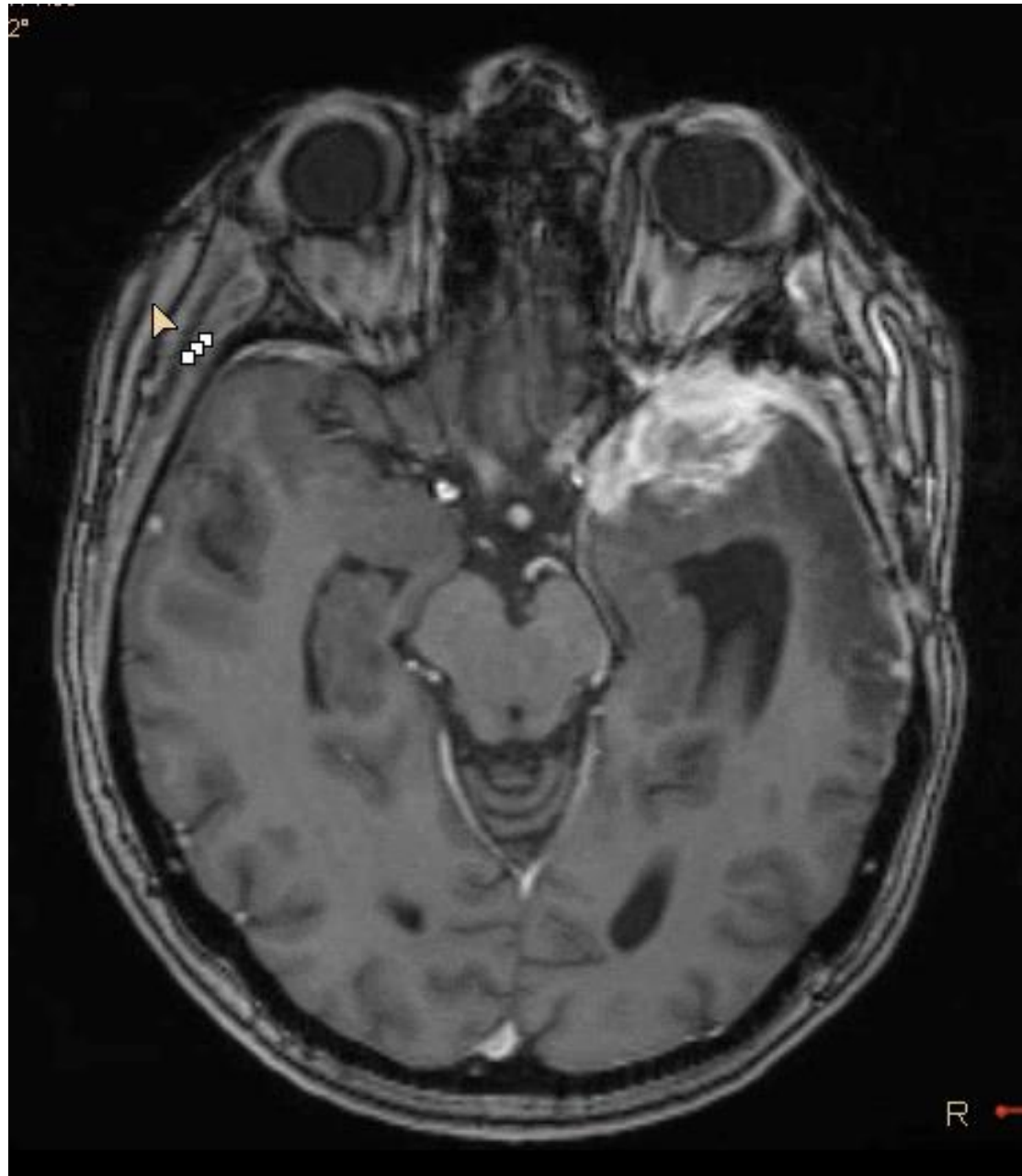


T1 Post-Contrast

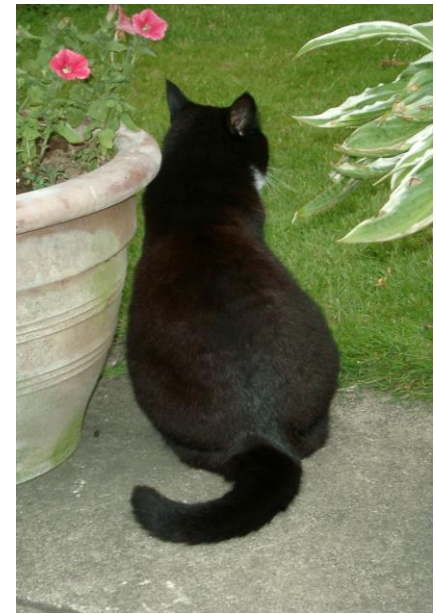
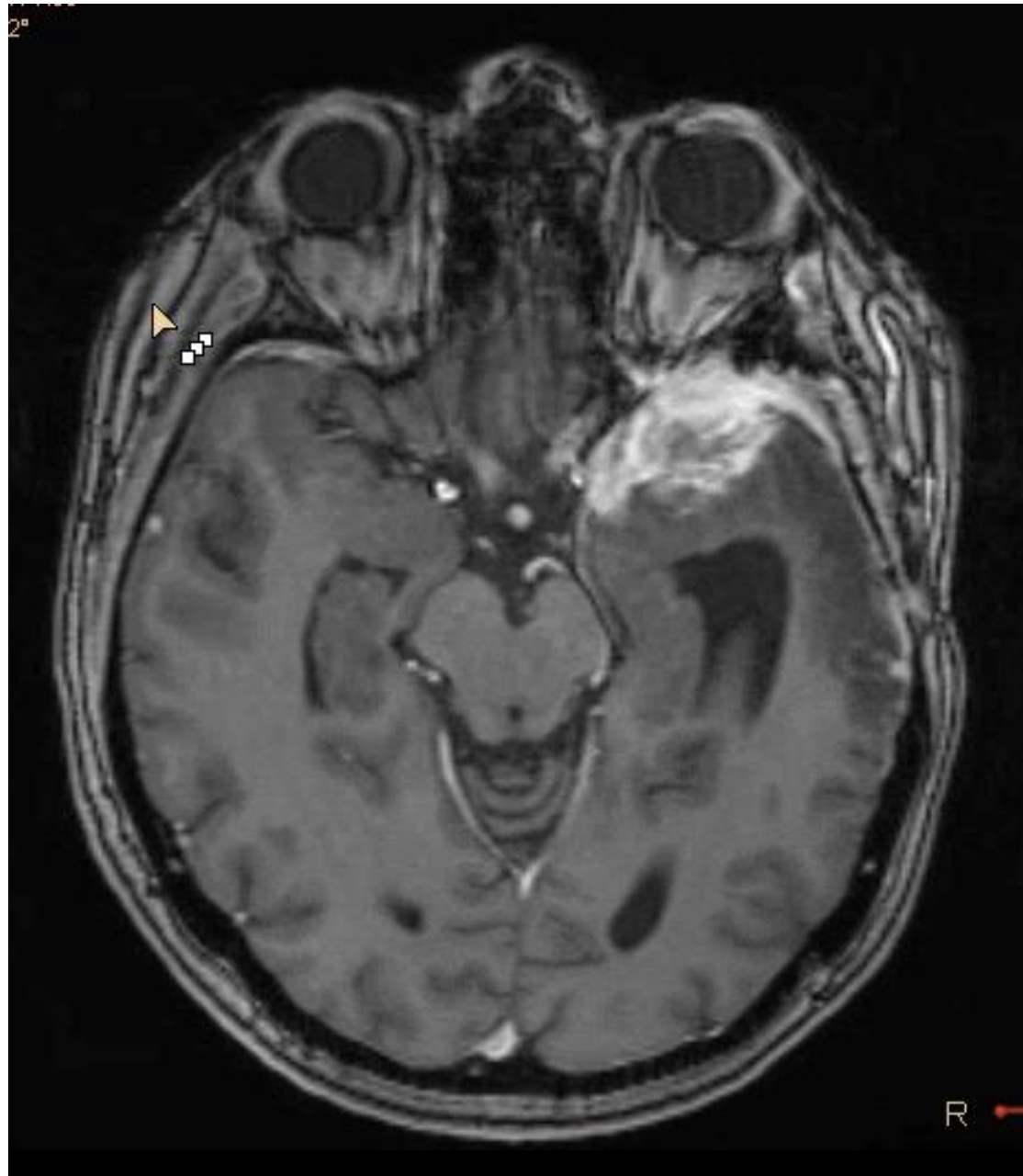
# Pre-Operative Imaging



# Pre-Second Operative Imaging



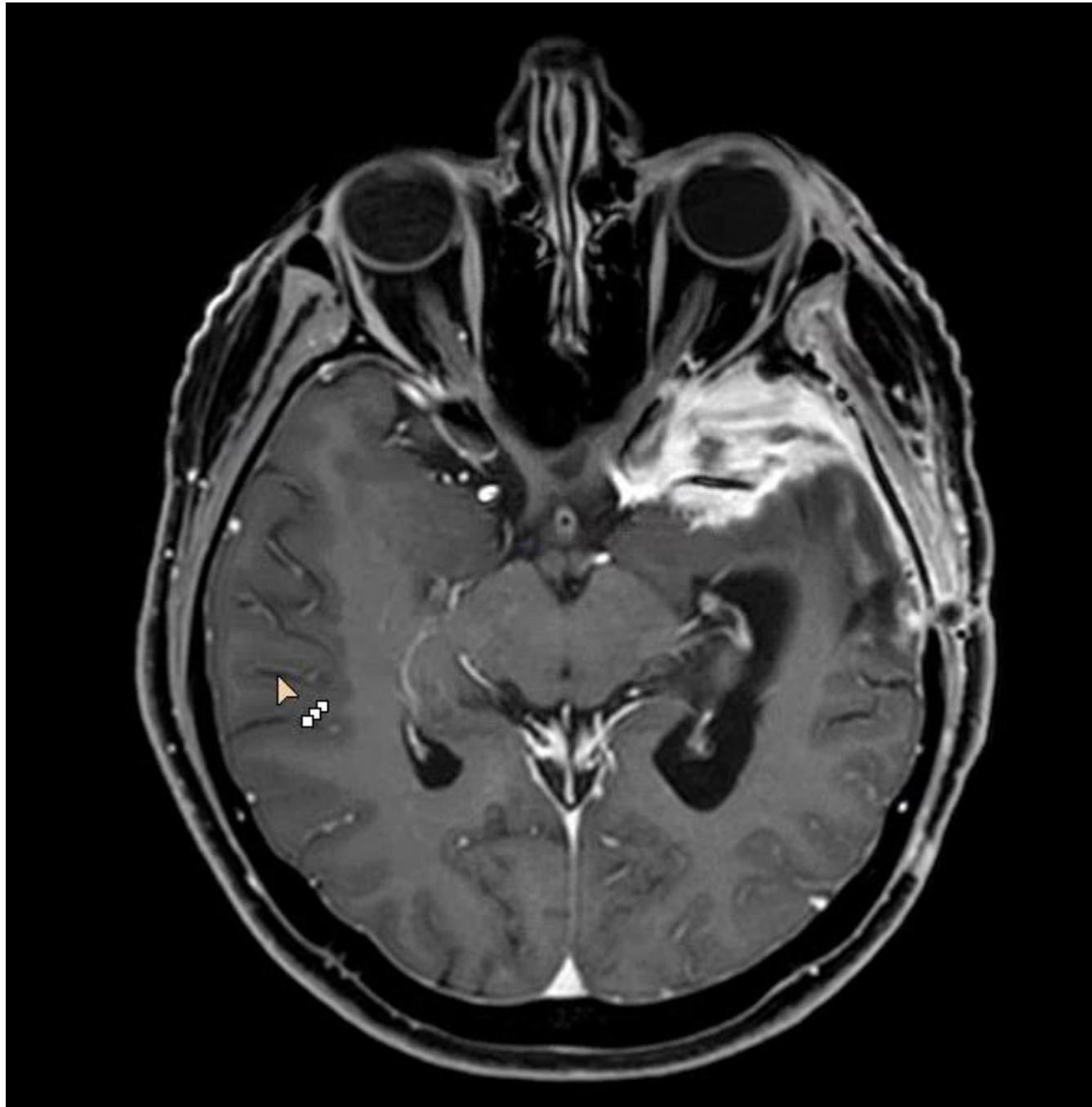
# Pre-Second Operative Imaging



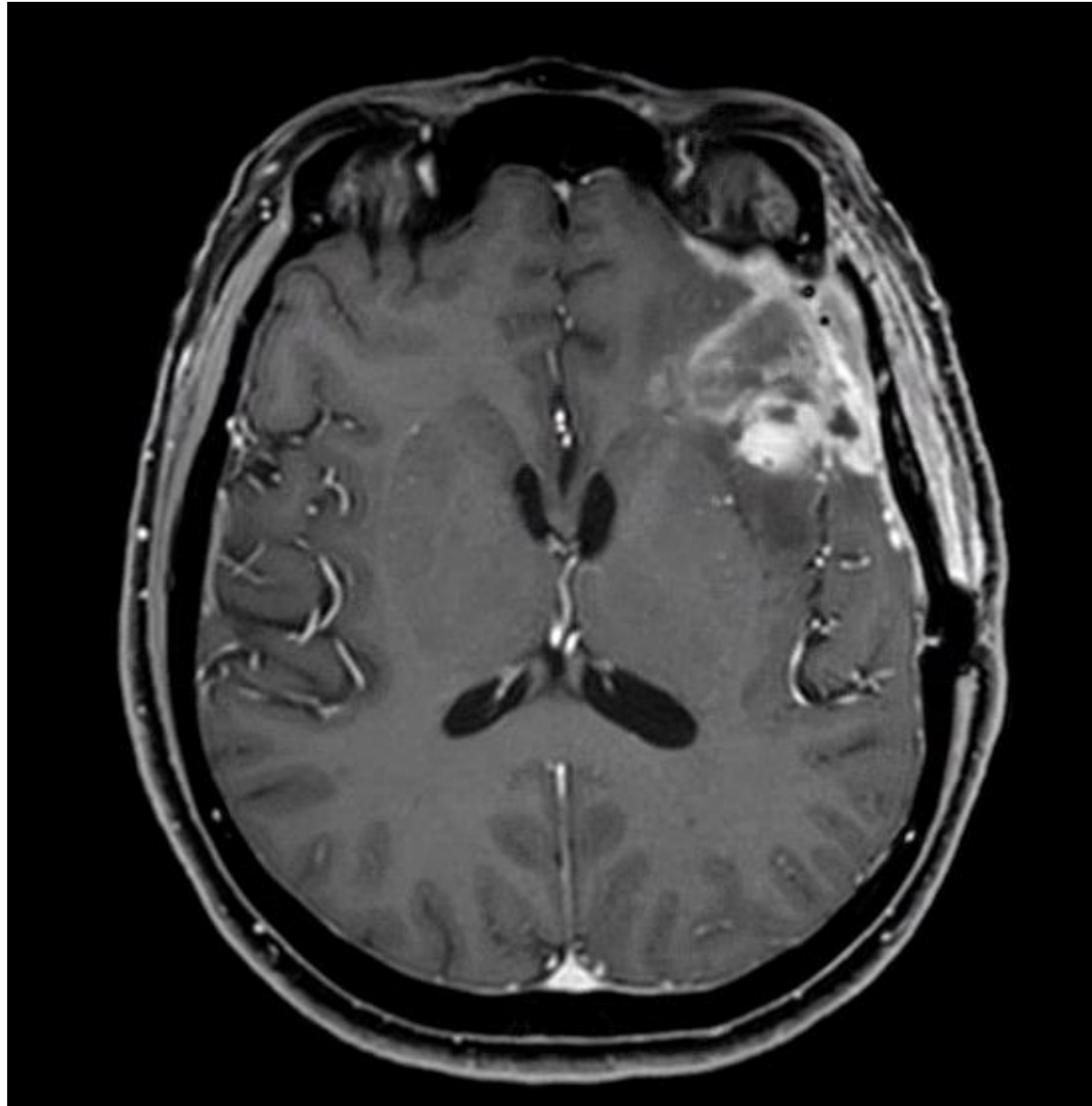
# Pre-Second Operative Imaging



# T1 – Planning Scan



# T1 – Planning Scan

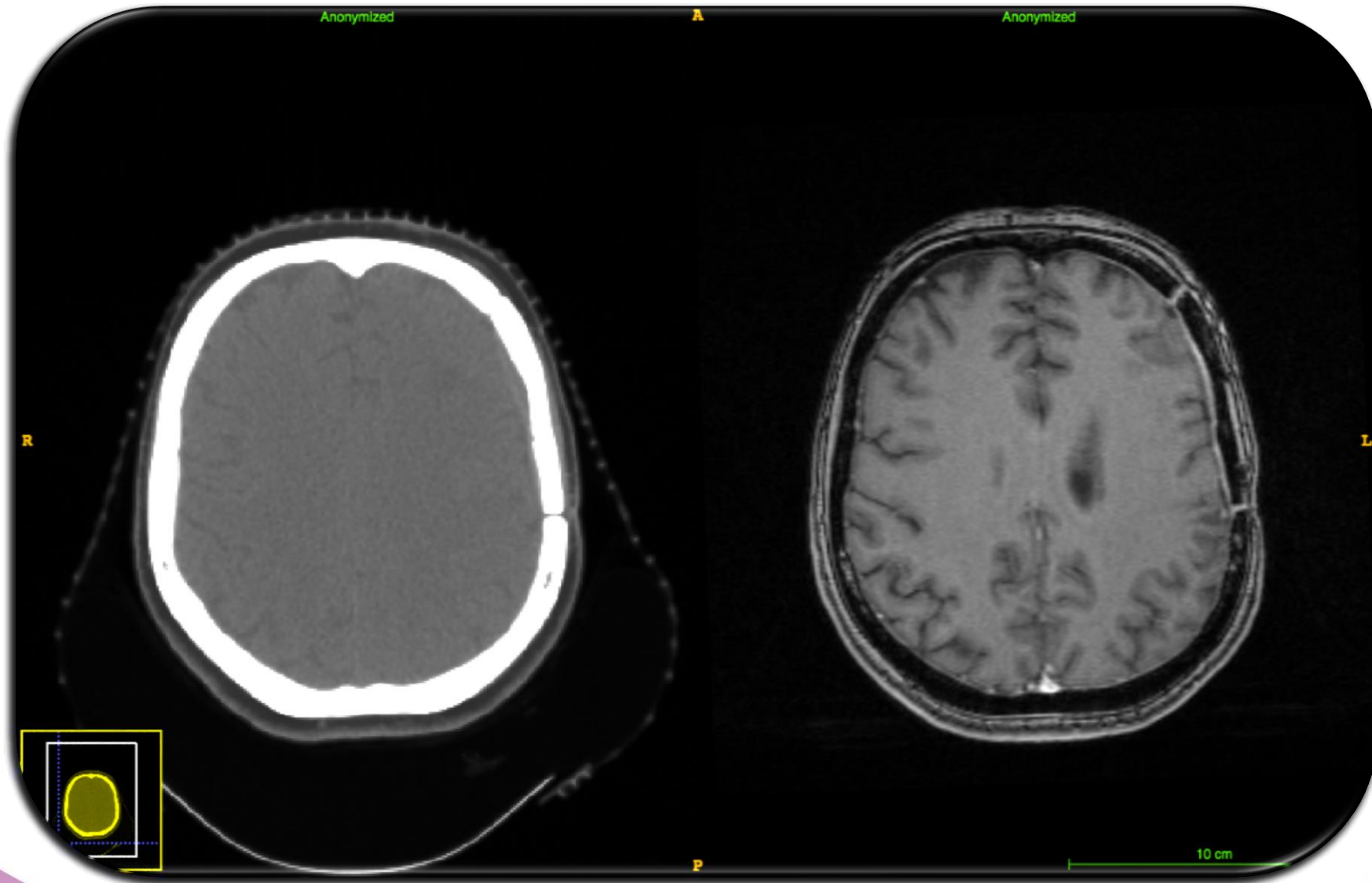




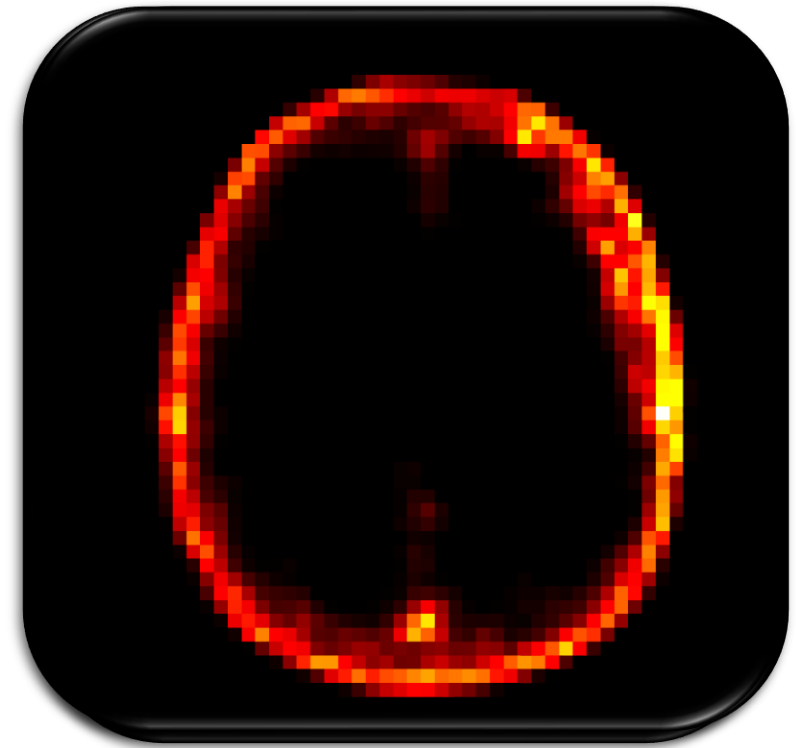
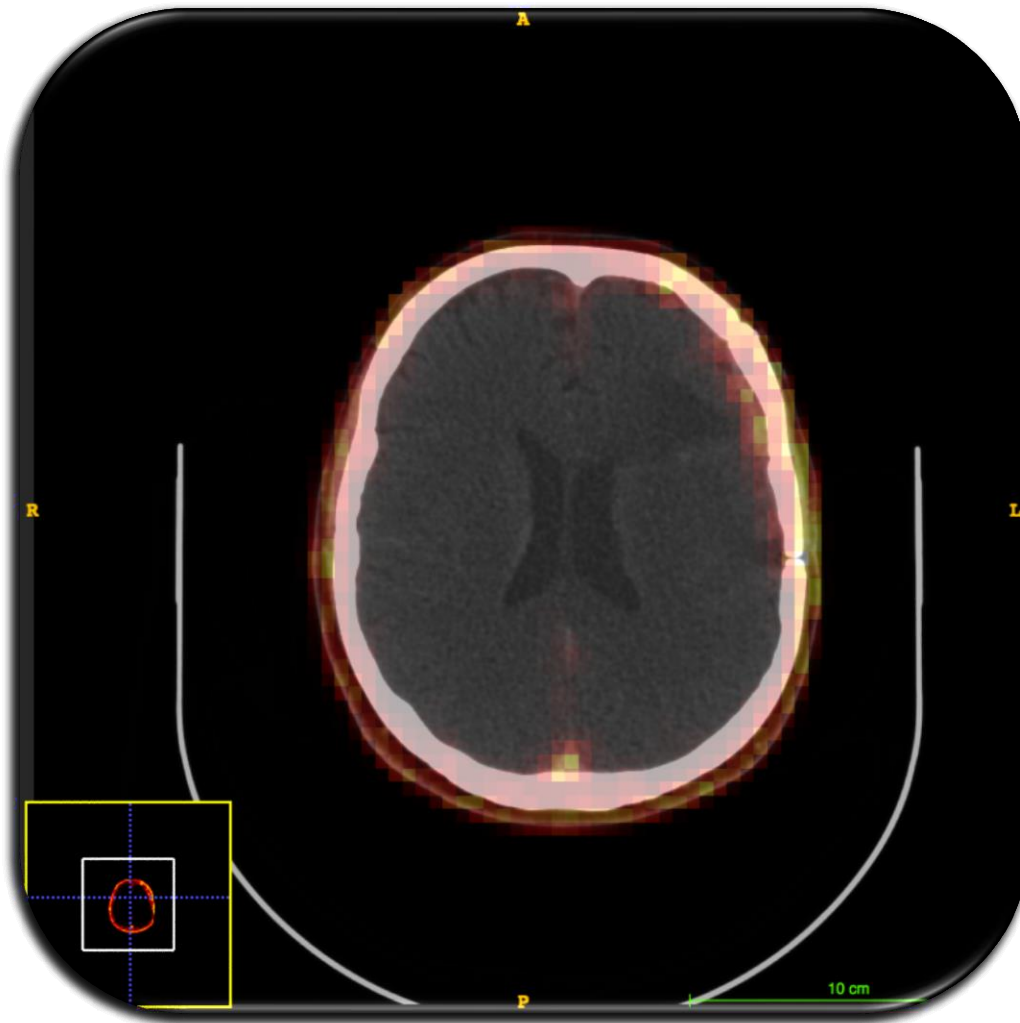
# Planning-CT and -MRI

CT

MRI



# Functional Imaging – Dotatoc PET



# Risks of normal tissue damage

- Specify endpoint
  - Brain necrosis  $\neq$  cognitive dysfunction
- Often 'extra' sparing by reduced dose/#
- Achieve reduced dose per fraction when give less than 100% to an OAR
  - Reduced total dose
  - Reduced dose/fraction
    - 'double sparing'

# Meningioma RT – Organs At Risk constraints

Organ	Clinical Constraint
	PBT [IMRT]
Brainstem	D2% < 63 Gy [ $< 58$ Gy]
Brainstem center	D2% < 54 Gy [=]
Spinal cord	D2% < 63 Gy [ $< 58$ Gy]
Spinal cord center	D2% < 54 Gy [=]
Opticus L/R	D2% < 56 Gy [=]
Chiasm	D2% < 56 Gy [=]
Bulbus L/R	D2% < 45 Gy, Dmean < 30 Gy

Organ	Clinical Constraint
Skin	D20cm <sup>2</sup> < 60 Gy (surface dose)
Temp Lobe L/R	D2cm <sup>2</sup> < 72 Gy
Cochlea R	Dmean < 30 Gy
Parotis L/R	Dmean < 26 Gy
Larynx	Dmean < 50 Gy; V50 Gy < 30%
Mandible	D2% < 70 Gy
Hippoc. L/R	D100% < 10 Gy; D2% < 16 Gy
Lacr.gl. L/R	Dmean < 26Gy
Retina L/R	D2% < 45Gy

NB constraints apply to 39#

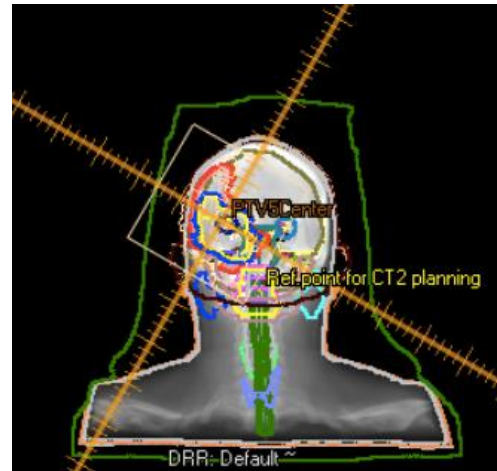
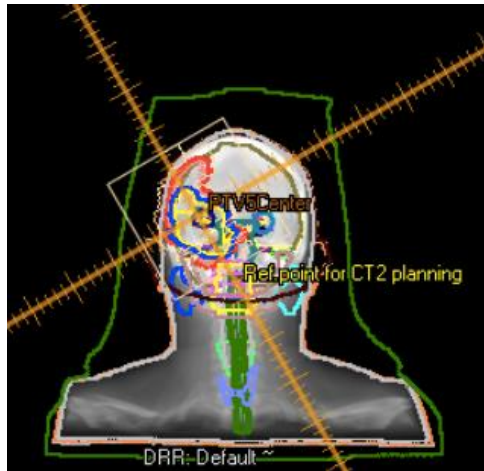
# Meningioma RT – session objectives

PTV				
		PTV1	PTV3	
Prescription (GY(RBE)) ( $D_{RBE, 50\%}$ )		54.0 Gy	70.2 Gy	
Number of fractions		39	39	
$V_{95\%}$	= 100%	100 %	100 %	
$D_{RBE, 98\%}$	$\geq 95\%$	>95%	>95%	
$D_{RBE, 2\%}$	< 107%	<107%	<107%	
CTV				
$V_{95\%}$	= 100%	100%	100 %	
$D_{RBE, 98\%}$	$\geq 95\%$	>95%	>95%	
$D_{RBE, 2\%}$	< 107%	<107%	<107%	

- Techniques:
  - IMRT
  - Tomo
  - VMAT
  - Protons

# Suggestions

- Single phase (i.e. SIB) - 54Gy to PTV<sub>1</sub>, 70.2Gy to PTV<sub>3</sub> in 39#
  - (original as 2 phase plan - 54/30# to PTV<sub>1</sub> + 16.2/9# to PTV<sub>3</sub>)
- S&S IMRT : 9 beams (maybe non-coplanar ?)
- VMAT: at least 2 full arcs for PTV<sub>1</sub> & PTV<sub>3</sub> or sequential half arcs for PTV<sub>3</sub>
- Put priority on PTV coverage
- Slightly turn collimator (20-30 degrees)



- Use aiding structures for getting the dose gradients exactly where you want them

Good luck!

Extra slides in case of questions

# Meningioma RT – Organs At Risk constraints

Organ	Clinical Constraint		Alpha:beta ratio
	PBT	[IMRT]	
Brainstem	D2% < 63 Gy	[< 58 Gy]	2.5
Brainstem center	D2% < 54 Gy	[=]	2.5
Spinal cord	D2% < 63 Gy	[< 58 Gy]	0.89
Spinal cord center	D2% < 54 Gy	[=]	0.89
Opticus L/R	D2% < 56 Gy	[=]	1.6
Chiasm	D2% < 56 Gy	[=]	1.6
Bulbus L/R	D2% < 45 Gy, Dmean < 30 Gy		
Brain			2.9

NB constraints apply to 39#

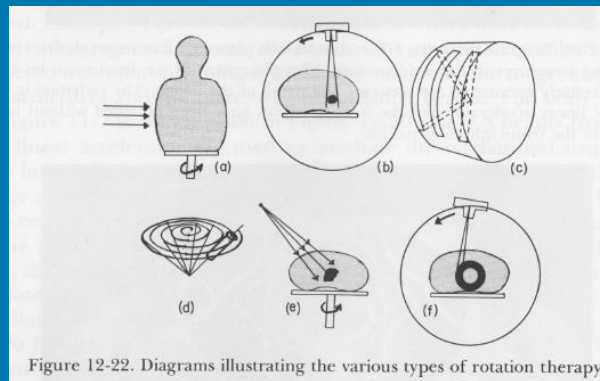


# Basic principles of rotational IMRT planning

Gert Meijer

# Rotational IMRT not really new

- “A logical extension of multiple beam therapy is to use 1 beam, have it directed towards the tumour, and cause the machine to rotate about an axis through the tumour, or keep the machine fixed and rotate the patient about this axis ...”
- When the radiotherapist was limited to the use of 250 kV X-rays, it was very difficult to get enough radiation into an internal tumour ... As a result many workers developed rotation techniques



Courtesy of Dirk Verellen

## AUTOMATIC CONTROL OF THE TUBE CURRENT AS A MEANS OF DOSE REGULATION IN TANGENTIAL ROTATION

By PROFESSOR H. HOLTHUSEN, M.D., F. GAUWERKY, M.D., and F. HEINZEL, M.D.

From the Radiotherapy Department, St. George's General Hospital, Hamburg, Germany

(An invited contribution for the Diamond Jubilee Number)

SINCE the introduction of tangential pendulum irradiation or tangential rotation for post-operative X-ray treatment of cancer of the breast by Hare, Trump and Webster in 1952, a lively interest has arisen in Germany, particularly as the result of the publications by Rossmann (1954 and 1955), and Becker, Werner and Kuttig (1954), in this efficient method of irradiation. Tangential rotation offers excellent possibilities for optimum sparing of the

of the usual commercial moving-beam therapy appliances on a recumbent patient. In this case, according to the design of the pendulum apparatus, either the central ray is set eccentrically by tilting the tube out of the pendulum axis (Rossmann, 1954) or an eccentric tangential X-ray beam is diaphragmed from a tube unaltered in position. For this purpose, using the universal irradiation apparatus TU I of Messrs. C. H. F. Müller, Hamburg, which we have at our disposal, a continuously adjustable tangential slot diaphragm is used with whose aid tumour field

operated irradiation. It must angle of e direct radiated >-lateral circum-rotation l phan- in the art near

Of the two possibilities available in principle to carry out the desired compensation, namely **variable speed of the X-ray tube movement during irradiation on the one hand and variation of dose output on the other**, the latter was chosen since a regulation of the tube current in accordance with a pre-determined scheme could be achieved with less constructional difficulties. **Thus the tube current will have to be reduced in the higher dosed skin areas, and increased in the positions of the tube in which the surface areas are lower dosed.** For this purpose, distribution schemes for the tube current

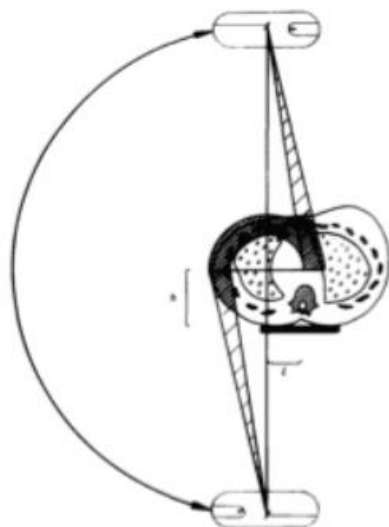


FIG. 1.

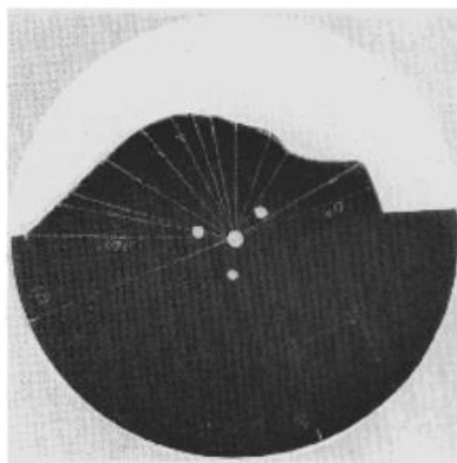


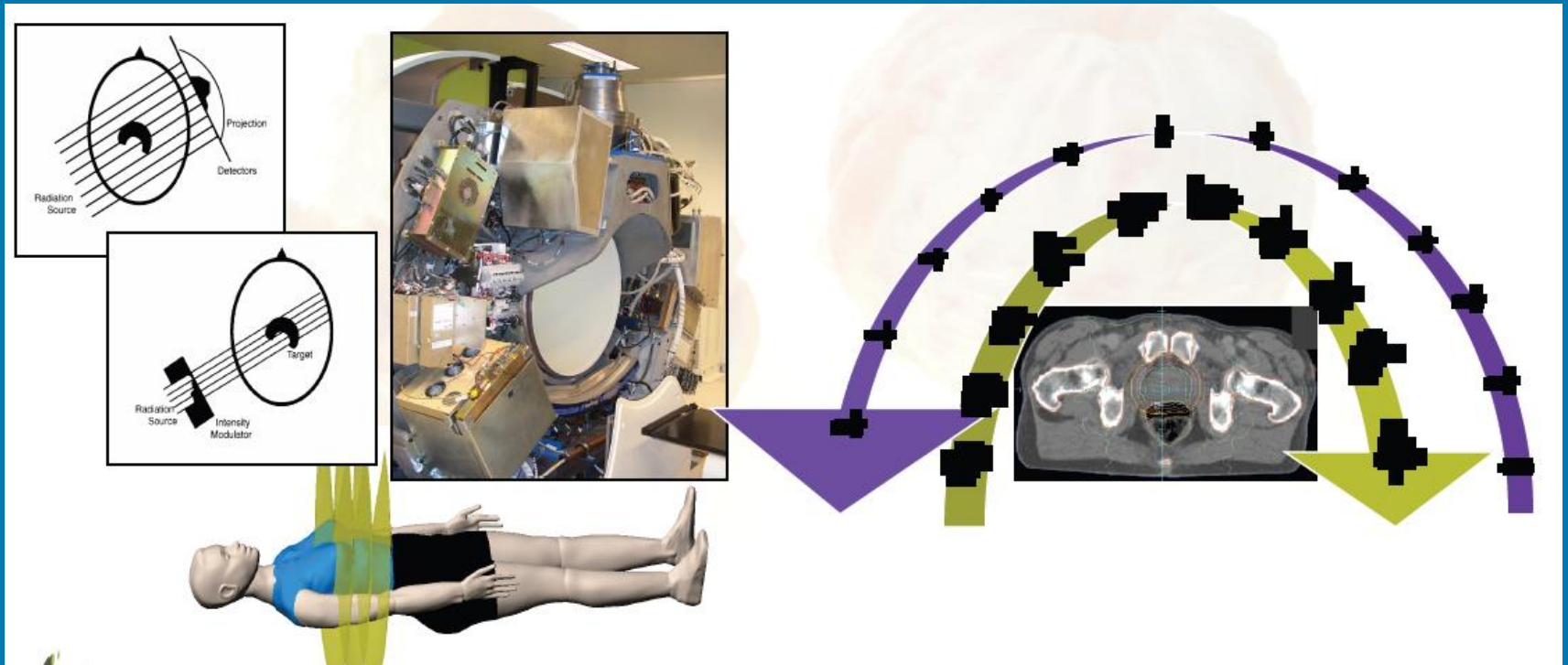
FIG. 2.

British Journal of Radiology, 1956

(1944, Wachsmann, Pendulum unit)

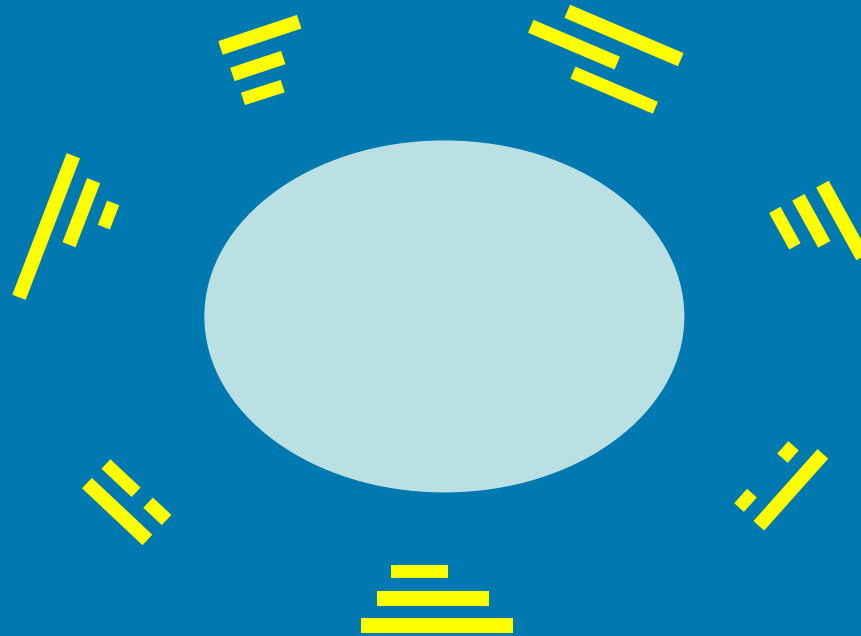
Courtesy of Dirk Verellen

# fan beam vs VMAT



Courtesy of Dirk Verellen

# IMRT

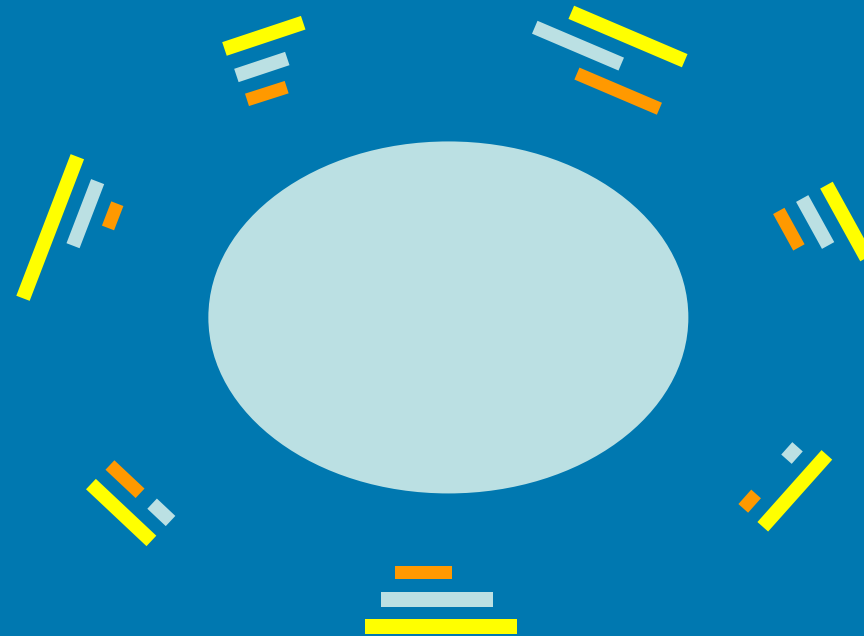


# IMAT

ARC 1

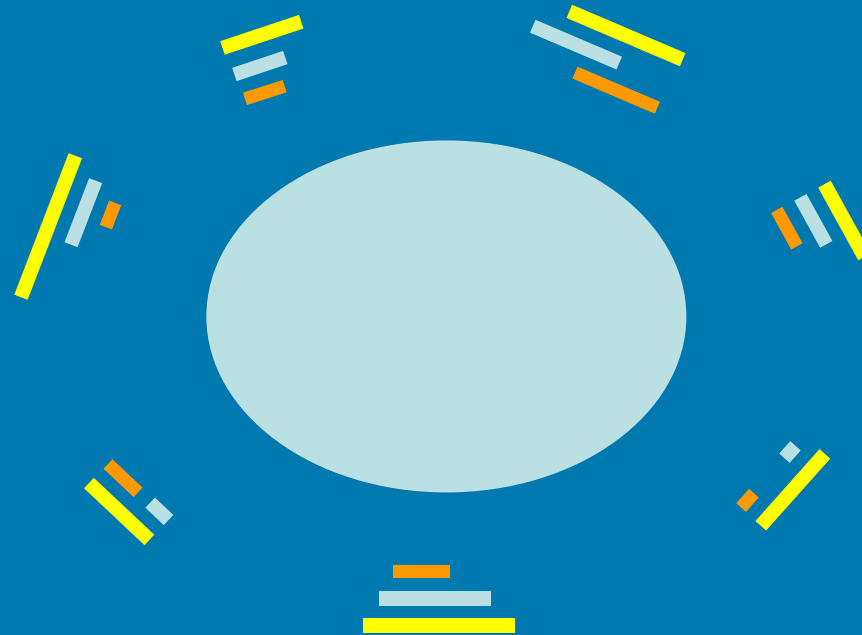
ARC 2

ARC 3



# from 3 arcs to a single arc

moving from stacked to spaced



Tang *et al.* (IJROBP 2007)

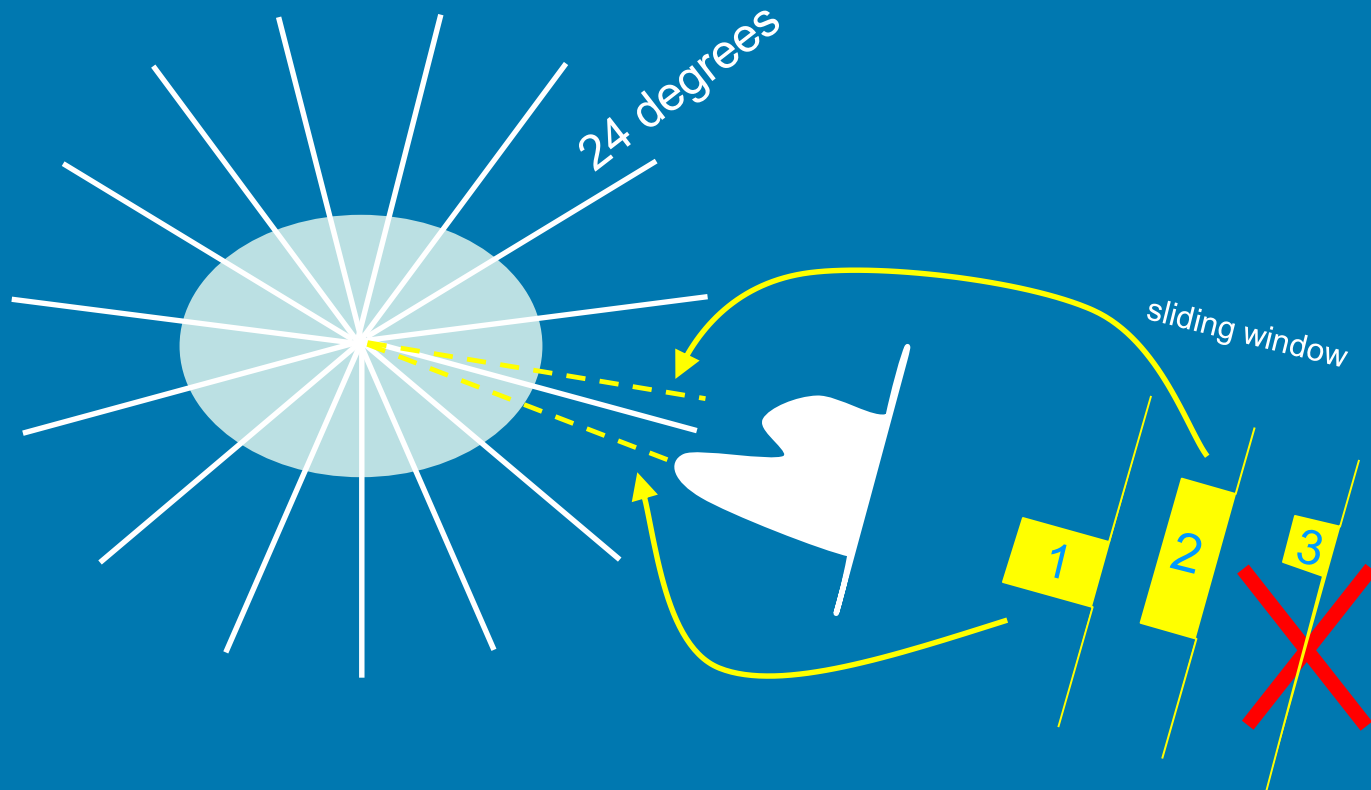
**So....**

rotational therapy is rather insensitive to angle deviations

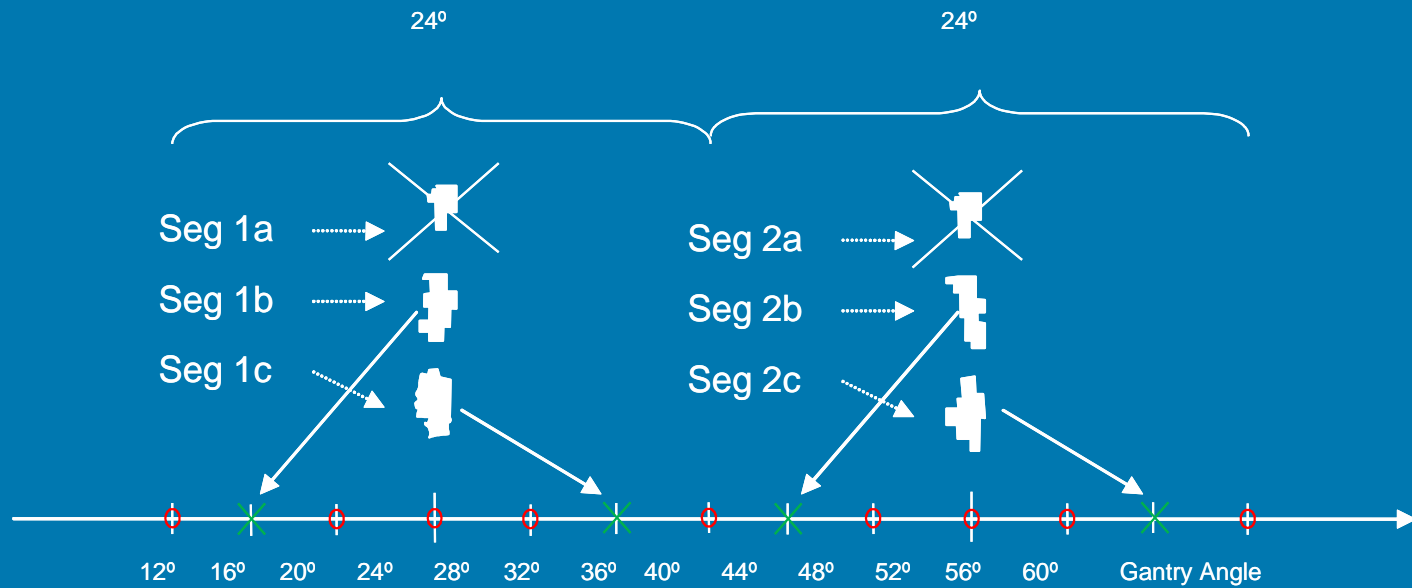
but also that cone beam rotational IMRT is not that different from static IMRT



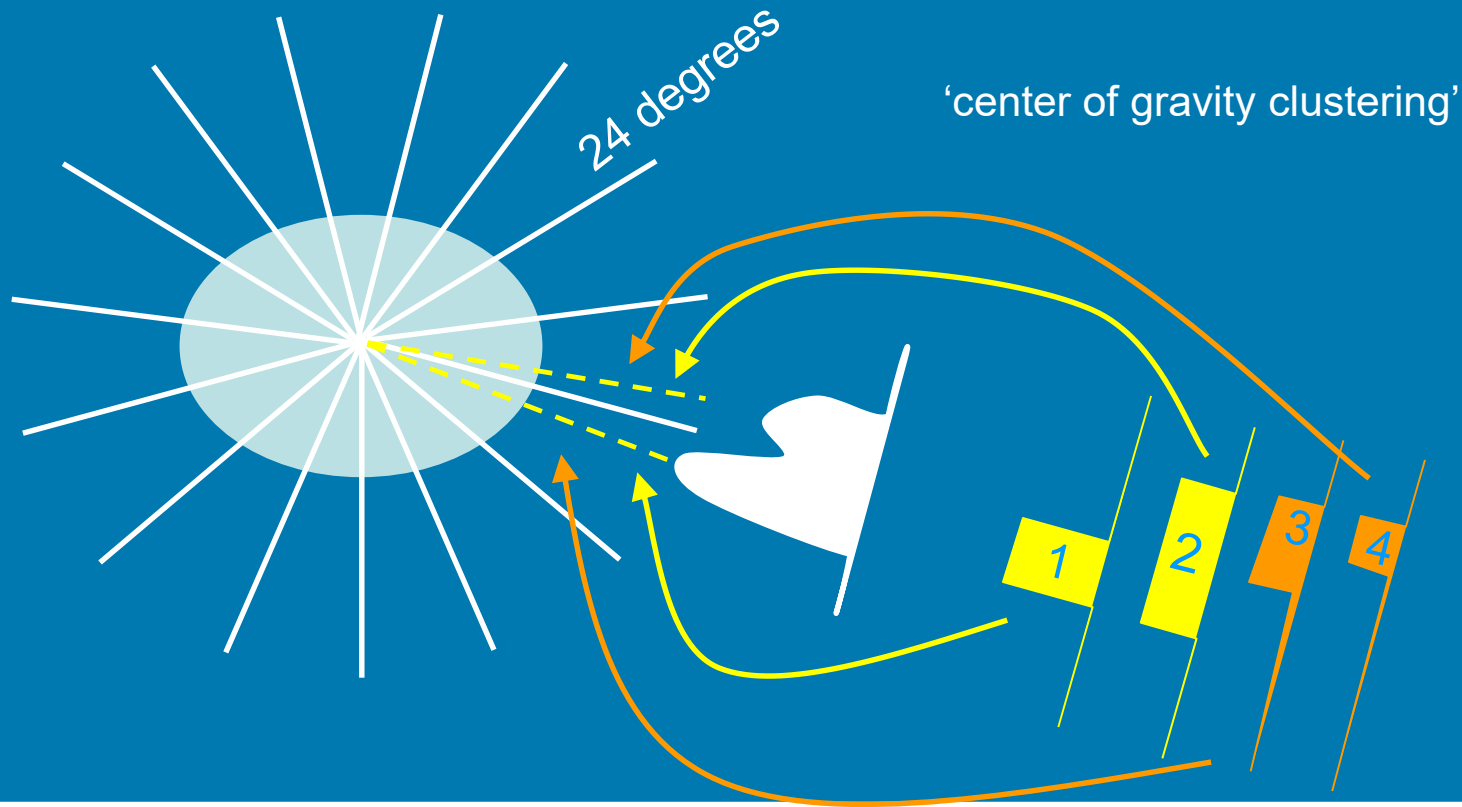
# So how does it work in practise?



# Segmentation



# How about dual arcs?





IMRT

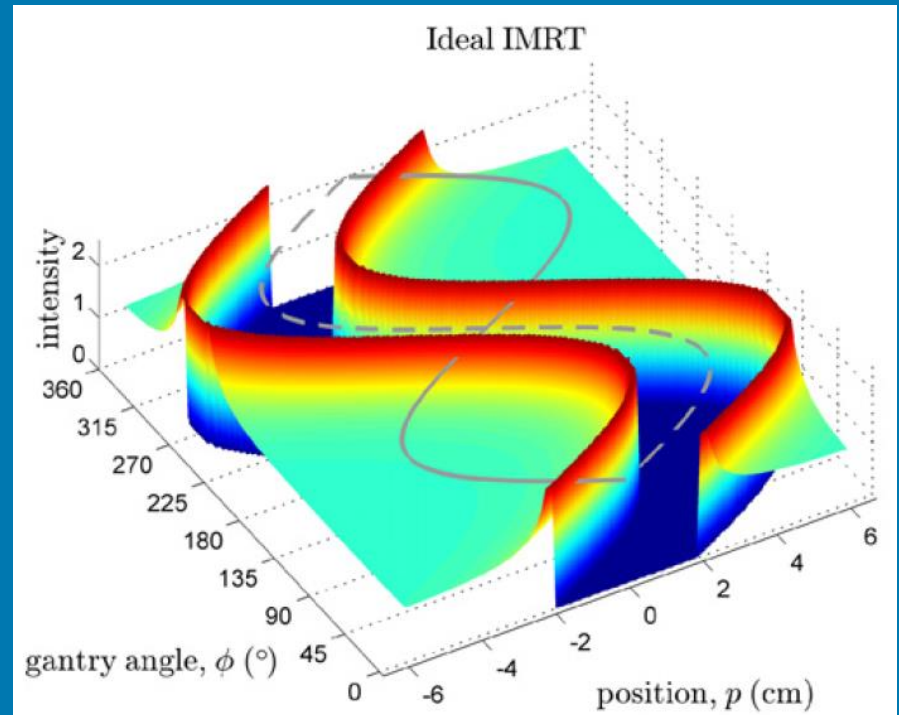
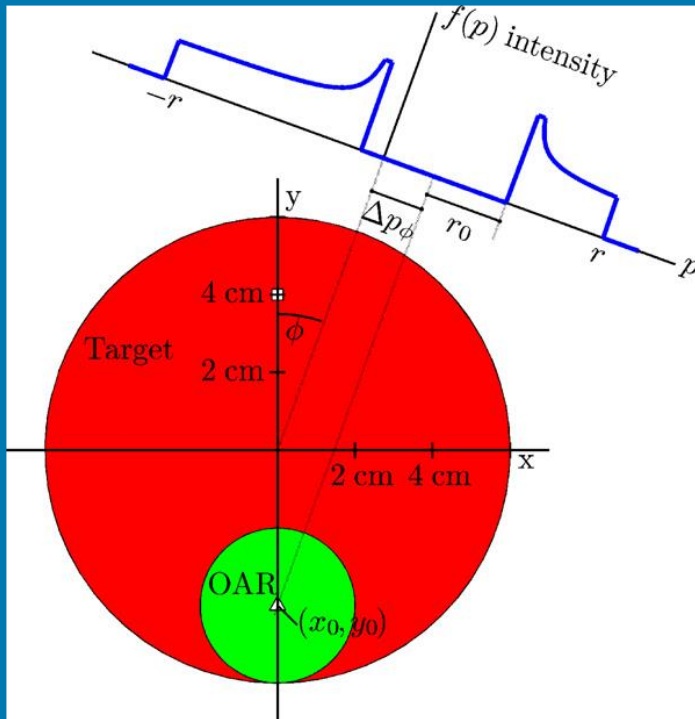
VMAT

# Static IMRT vs VMAT - Conceptual issues

Is there any difference between static IMRT and VMAT?

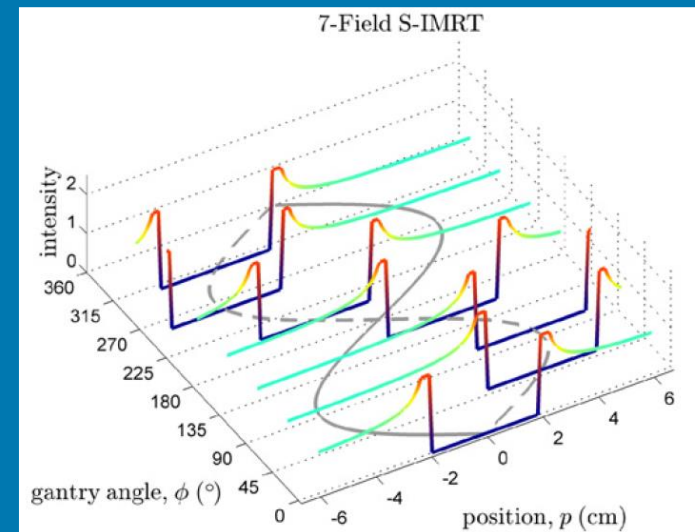
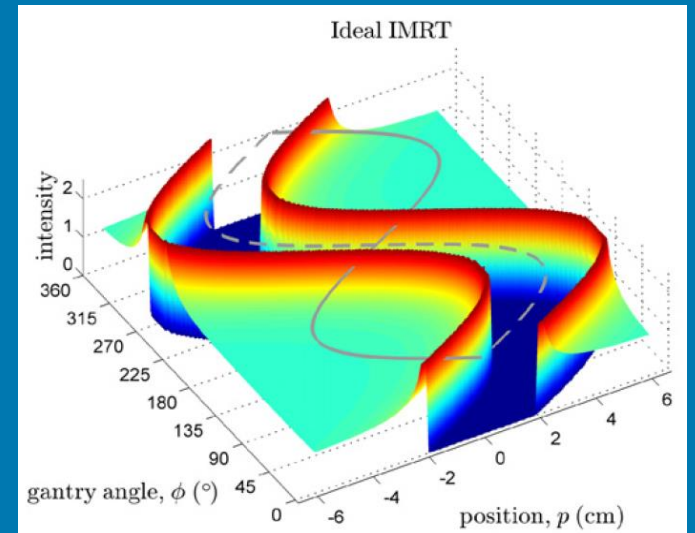
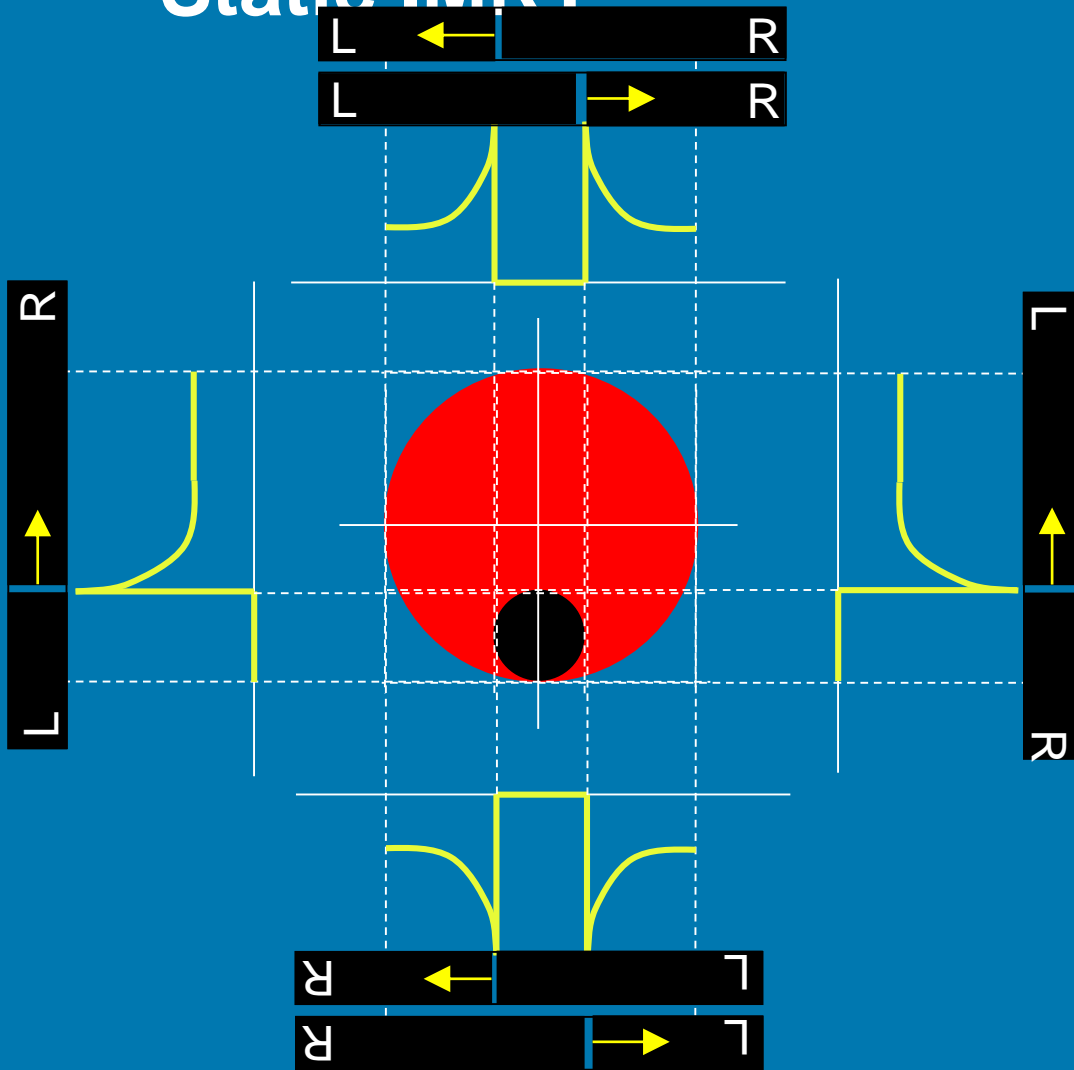
- Use the same hardware
- Can be virtually ‘mapped’ onto each other:
  - S-IMRT with infinite number of beams → VMAT
  - **VMAT with** infinitely small gantry speeds (quasi static) → S-IMRT

# IMRT vs. VMAT - Conceptual differences

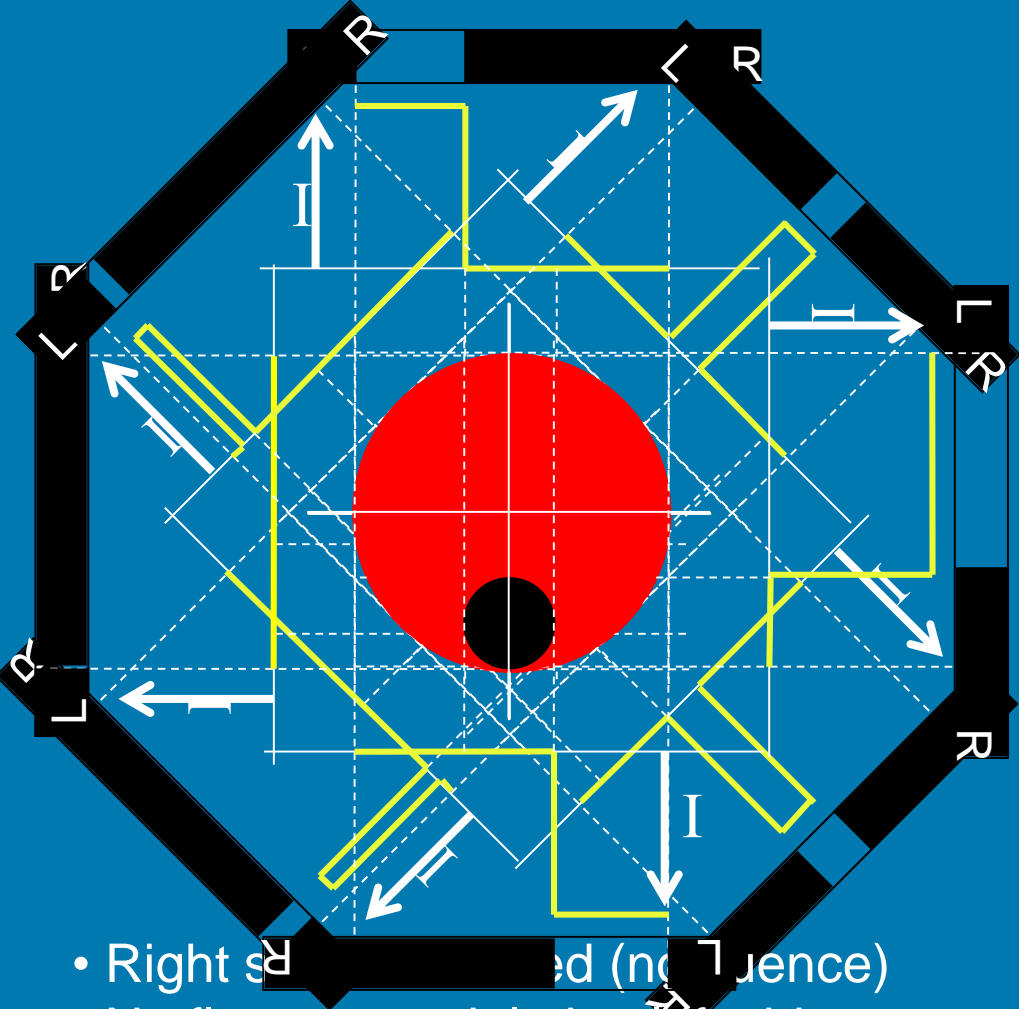


Bortfeld and Webb (2009) explaining VMAT by Brahme's IMRT case (1982).  
Target volume is wrapped around an OAR. Analytical solution is known

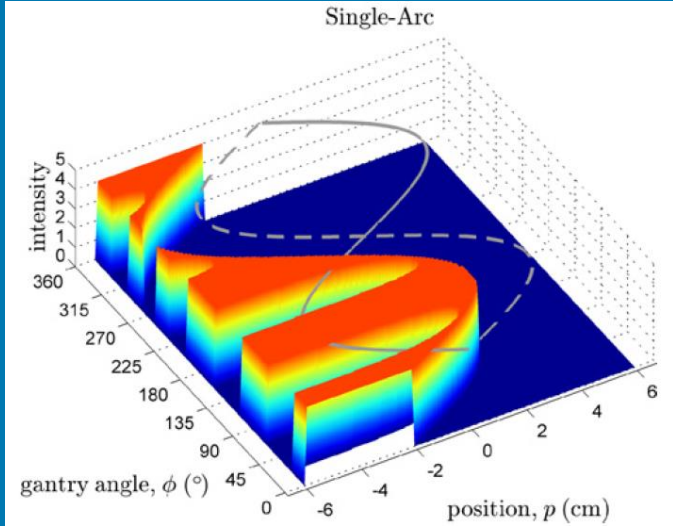
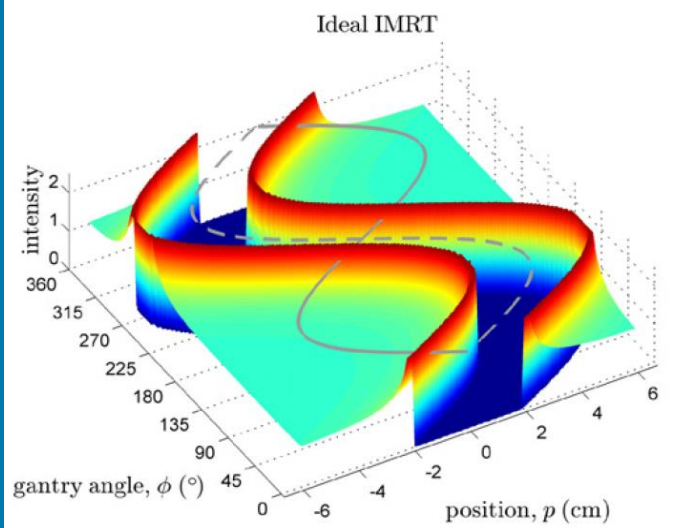
# Static IMRT



# VMAT

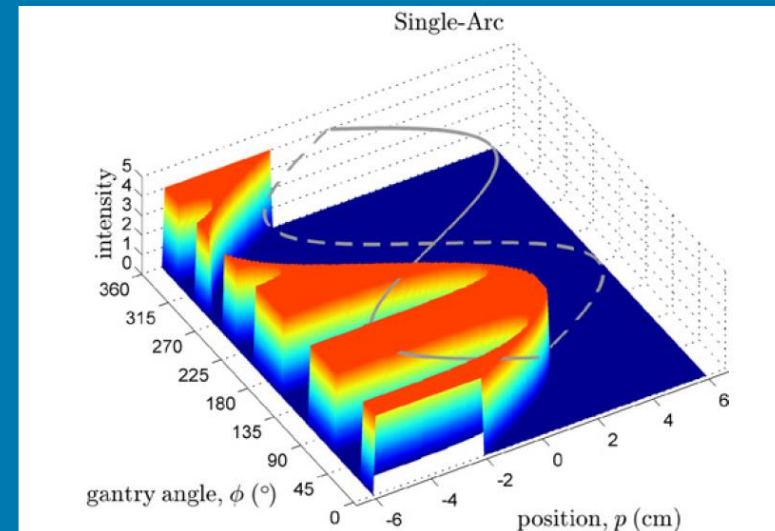
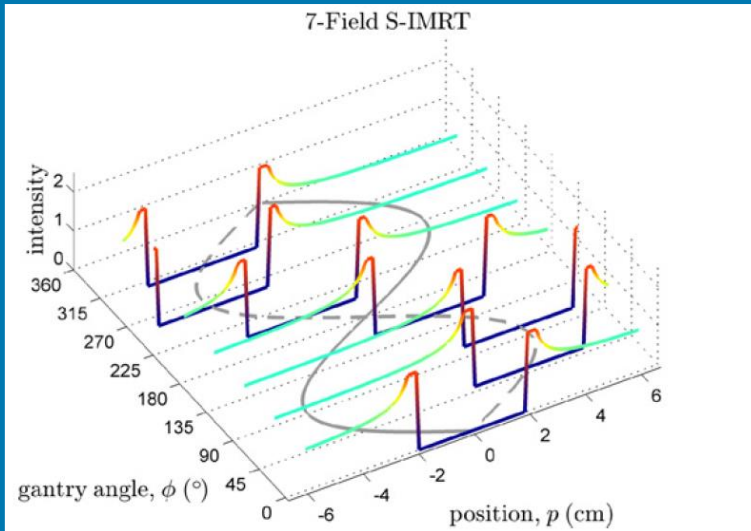


- Right side modulated (no fluence)
- No fluence modulation left side





# IMRT vs. VMAT - Conceptual differences

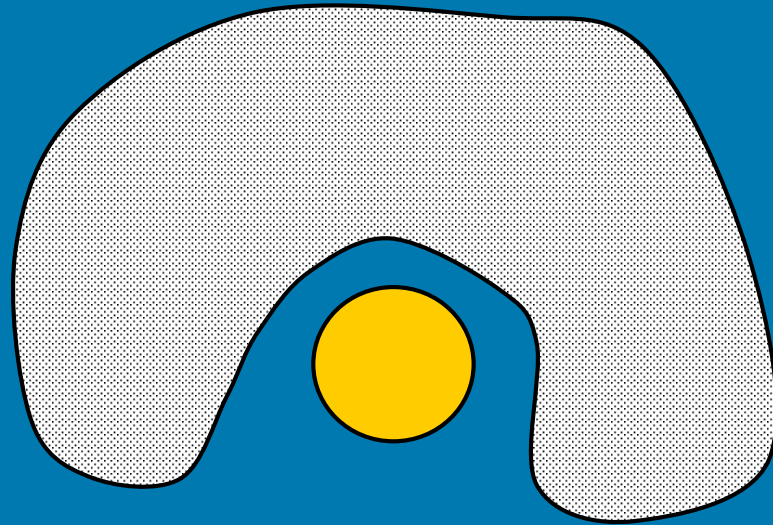


Compromises in different areas:

**Static IMRT** uses a very coarse sampling of the gantry angle but with full intensity modulation

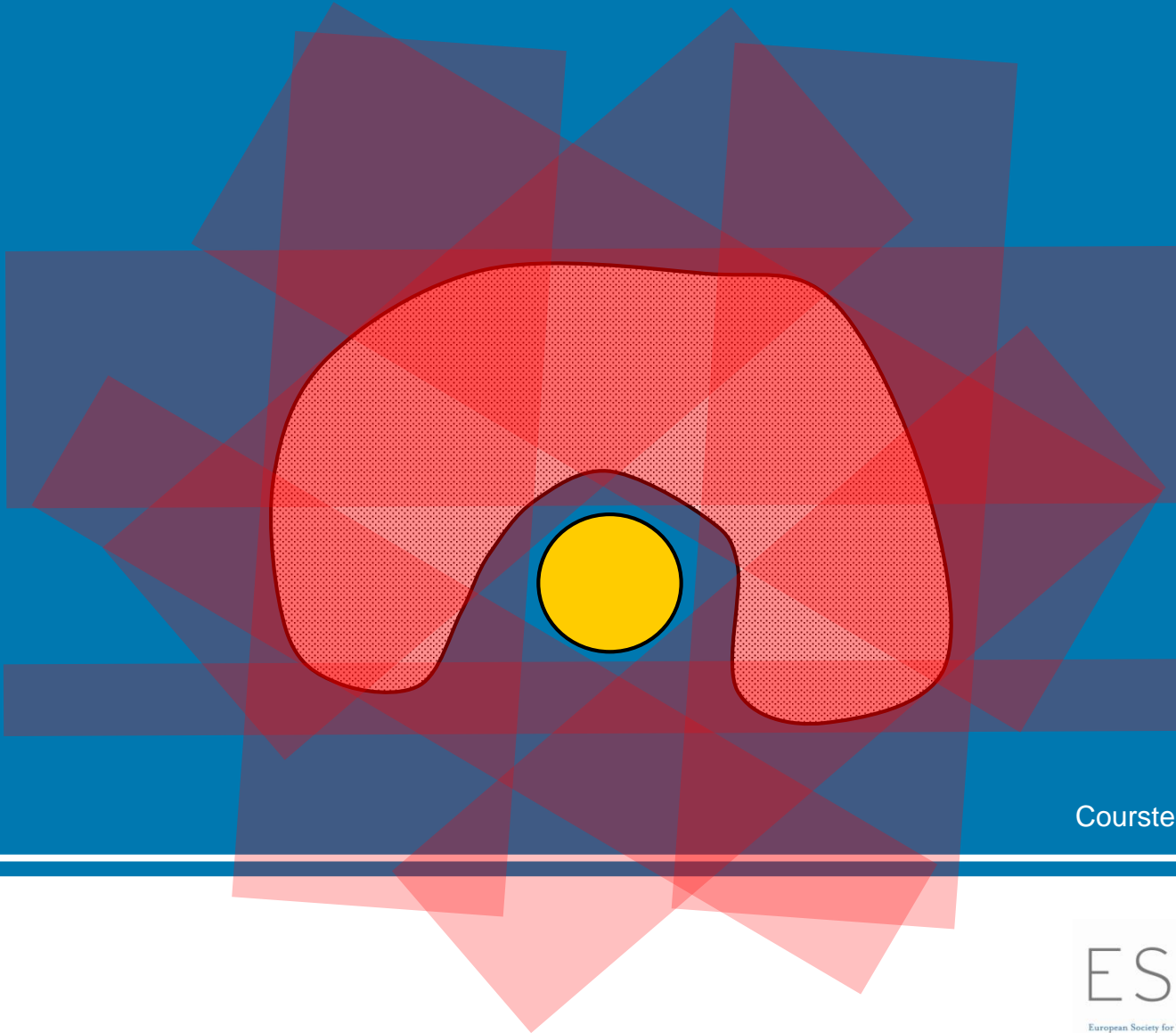
**VMAT** uses all angles but without intensity modulation (per gantry angle)

# Why need multiple arcs??



Courtesy of Markus Alber

# Start with 4 beam angles

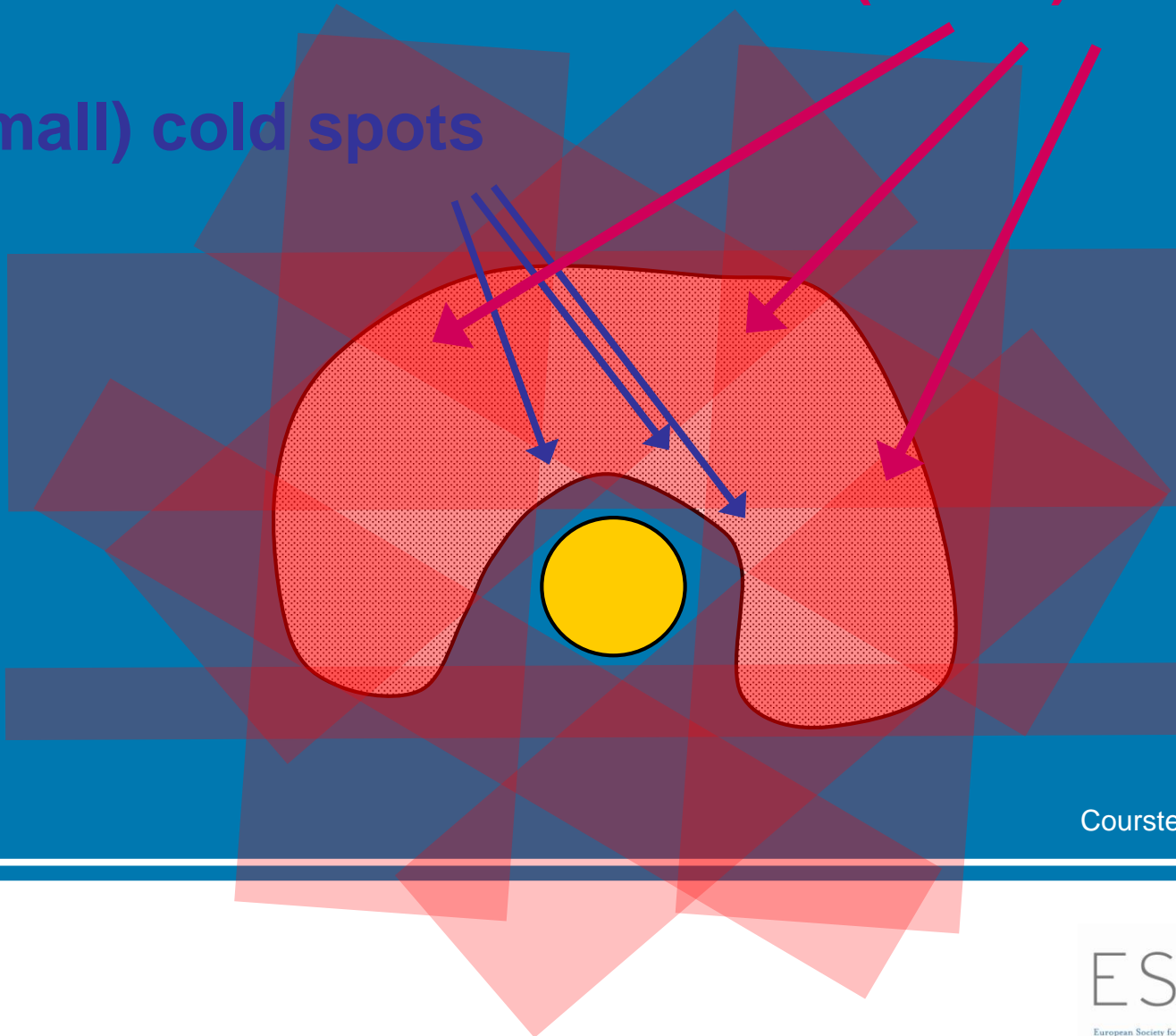


Courtesy of Markus Alber

# Start with 4 beam angles

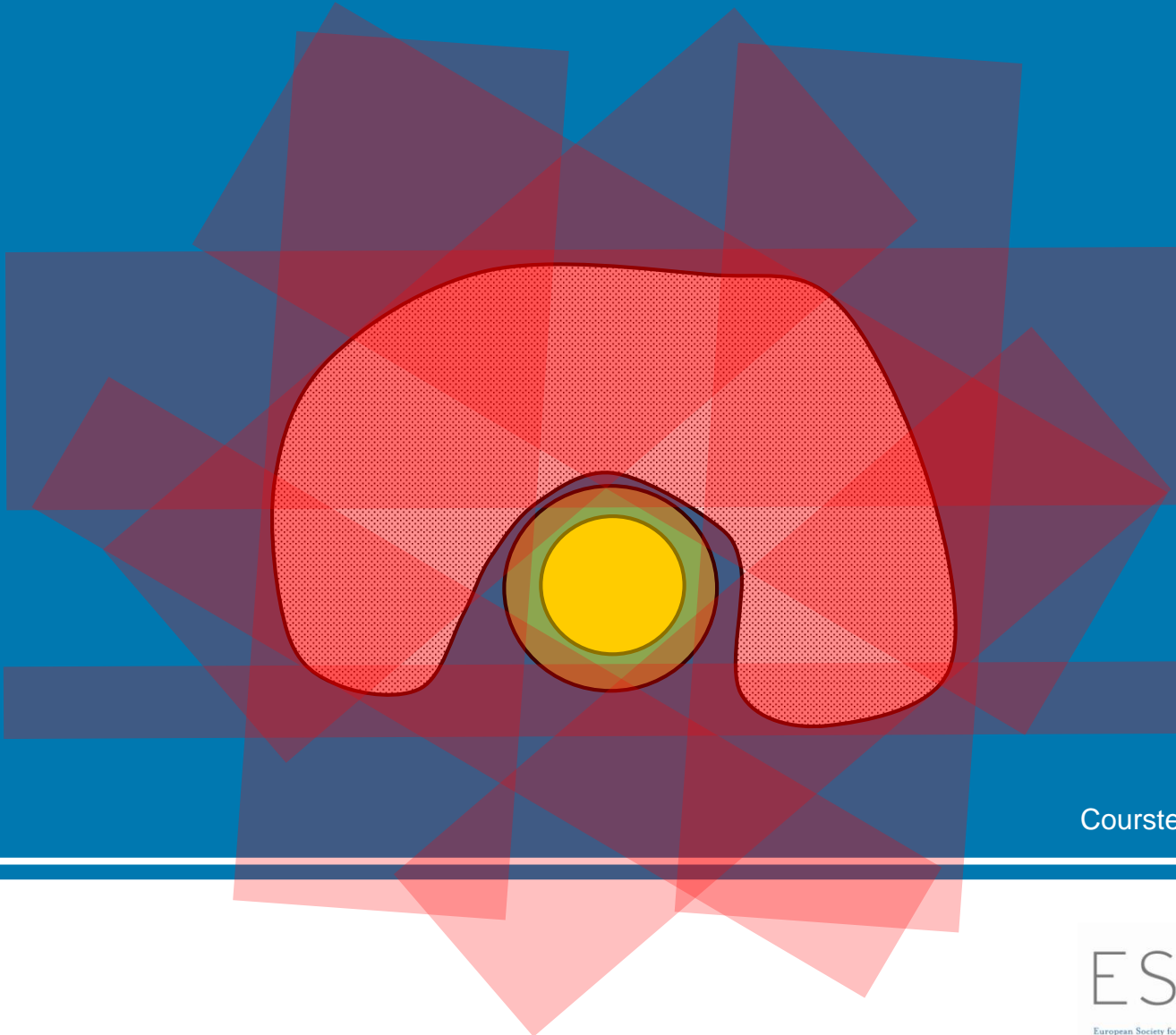
(Small) hot spots

(Small) cold spots



Courtesy of Markus Alber

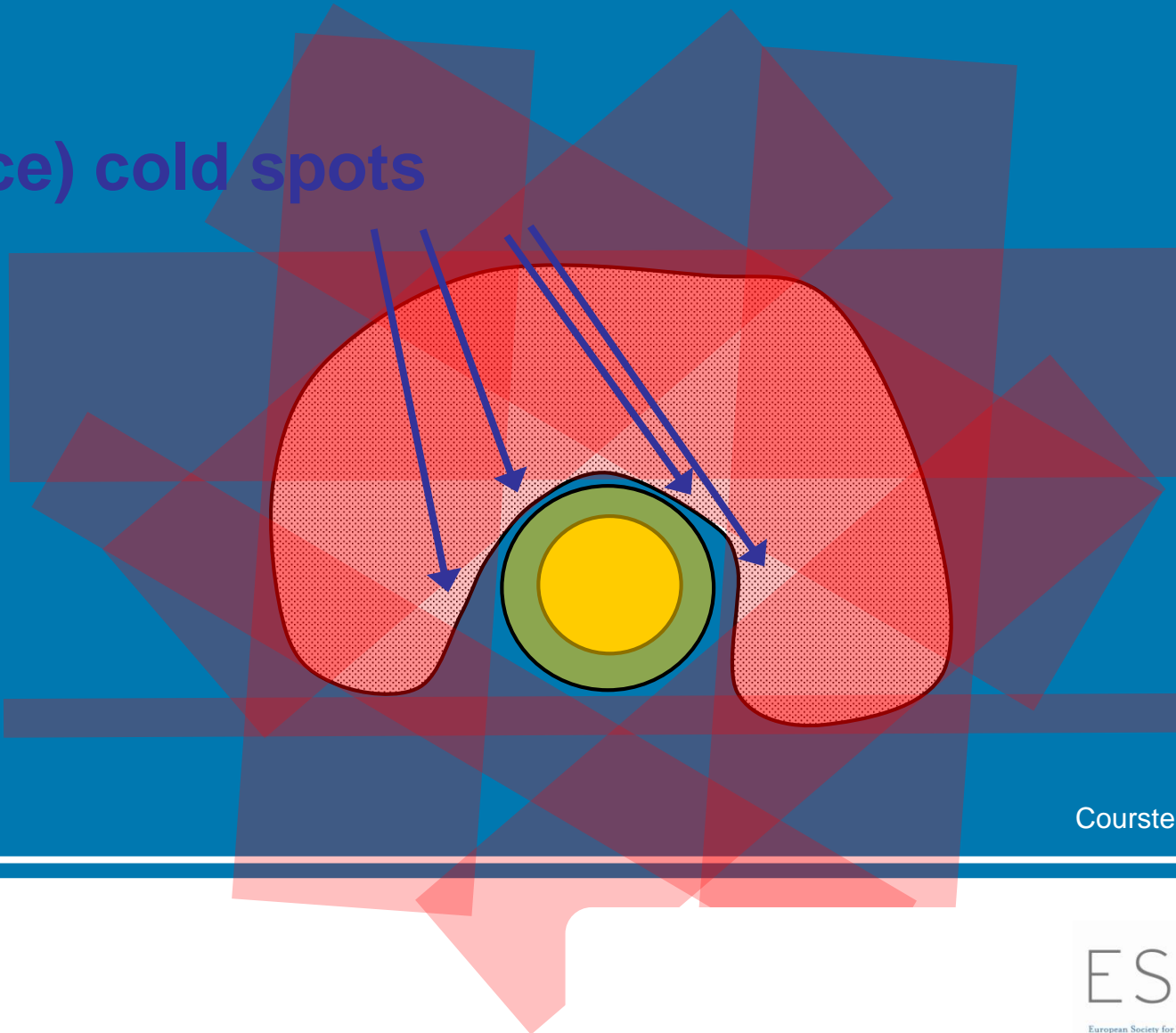
# What if the gradient has to be tighter?



Courtesy of Markus Alber

# What if the gradient has to be tighter?

(Ice) cold spots

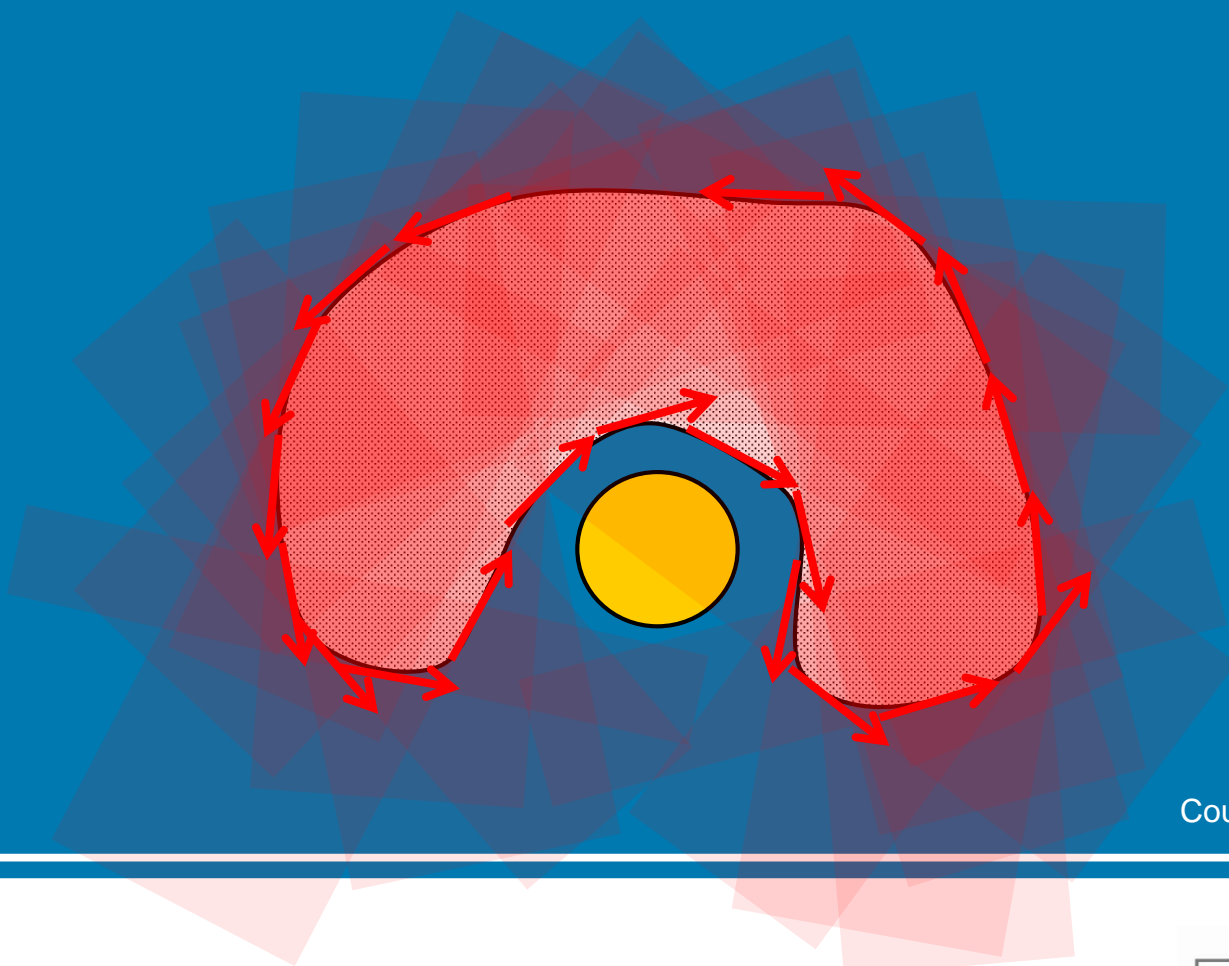


Courtesy of Markus Alber

# Use more beam angles!

Courtesy of Markus Alber

What is the maximum gantry rotation angle needed to paint all gradients for this target??



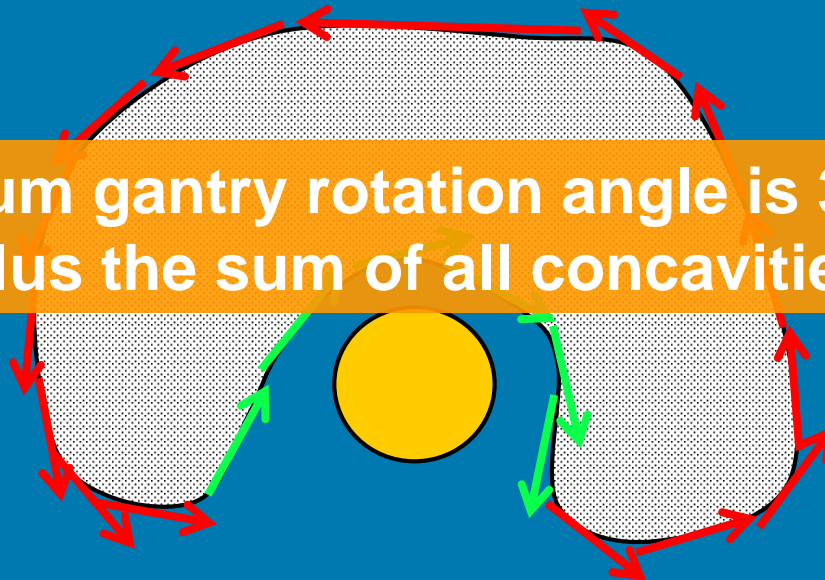
Courtesy of Markus Alber



# What is the maximum gantry rotation angle needed to paint all gradients for this target??

The total gantry rotation is the sum of all **red angles** (counter-clockwise) and all **green angles** (clockwise).

The maximum gantry rotation angle is 360 degrees plus the sum of all concavities



The sum of all **red angles** is 360 degrees.

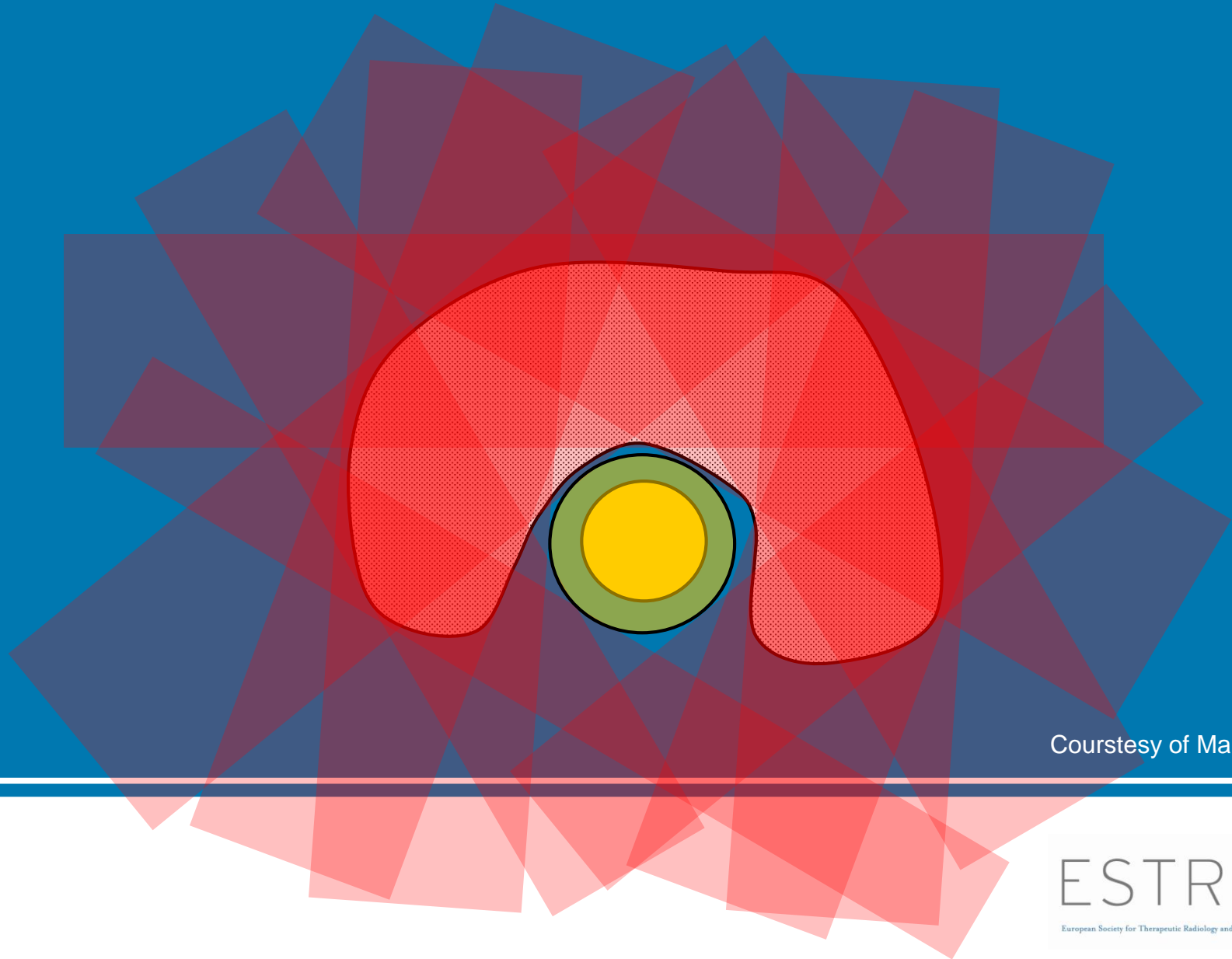
Courtesy of Markus Alber

# Alternatively:

The concavity can be created in one 360 degree rotation plus partial shielding of the beam.

Courtesy of Markus Alber

# Alternatively:



Courtesy of Markus Alber

# So .....

**The maximum gantry rotation angle is 360 degrees plus the sum of all concavities**

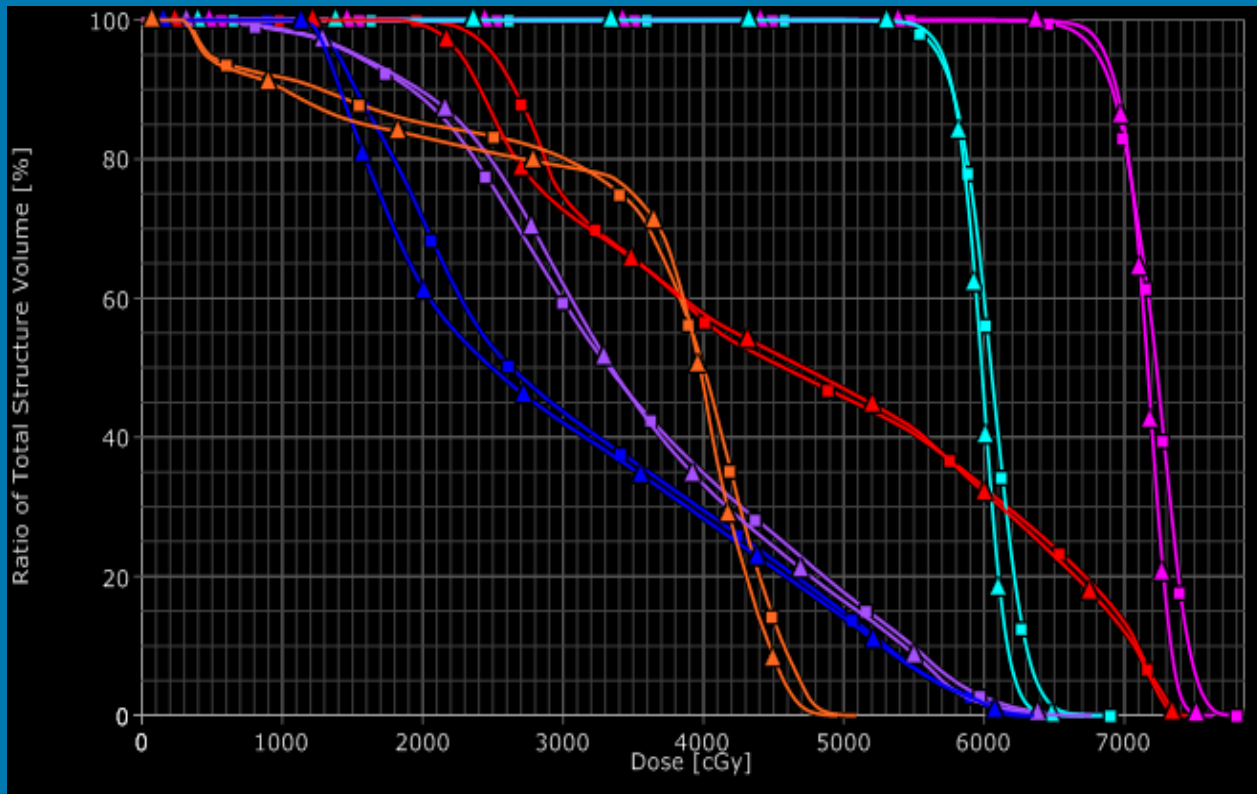
This is the *VMAT* way. It is analogous to the step and shoot technique in static gantry IMRT.

**The concavity can be created in one 360 degree rotation plus partial shielding of the beam.**

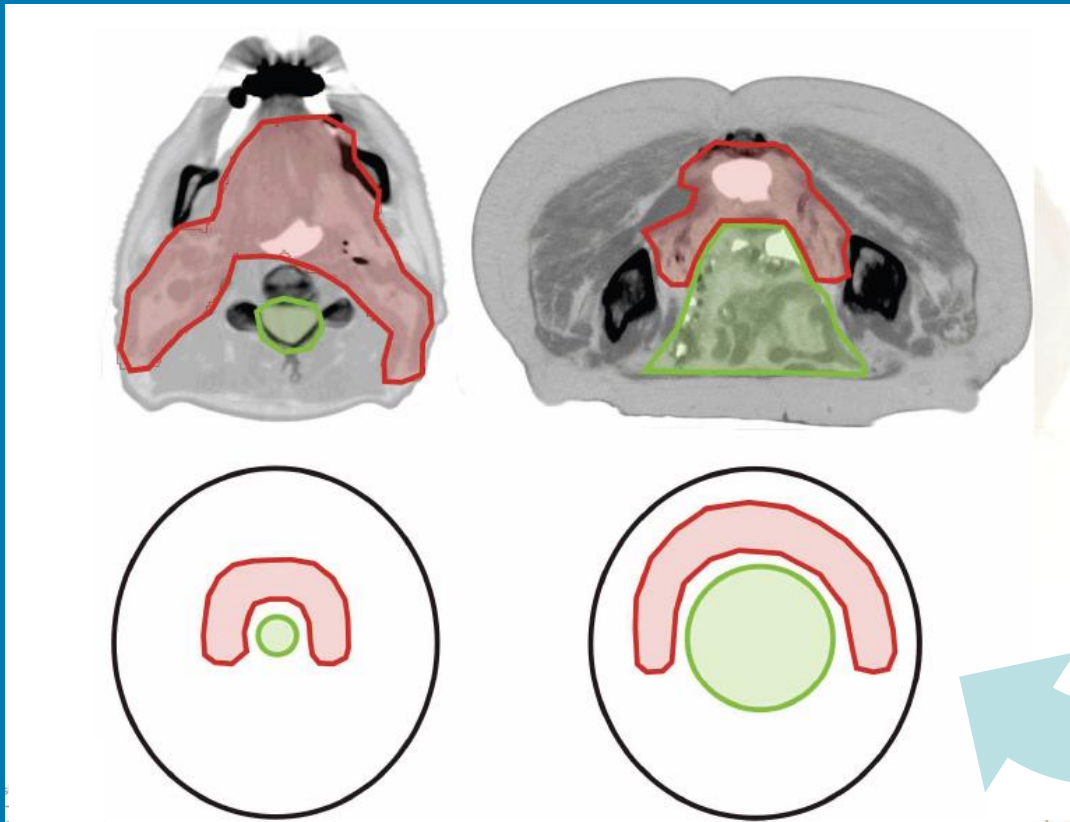
This is the *tomotherapy* way. Emulating it with a cone-beam MLC means large leaf travel and is wasteful in terms of primary radiation. (Notice, tomotherapy is also wasteful for narrow fan-beams and long target volumes)

Courtesy of Markus Alber

# RapidArc single arc versus double arc



Courtesy of Wilko Verbakel



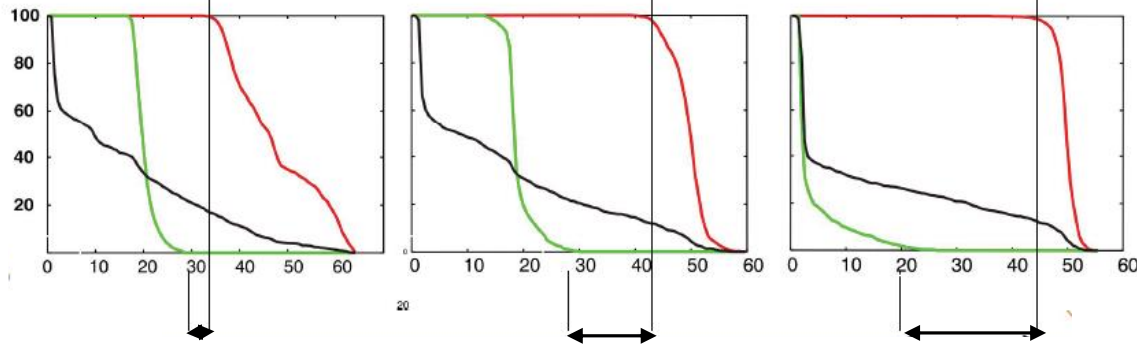
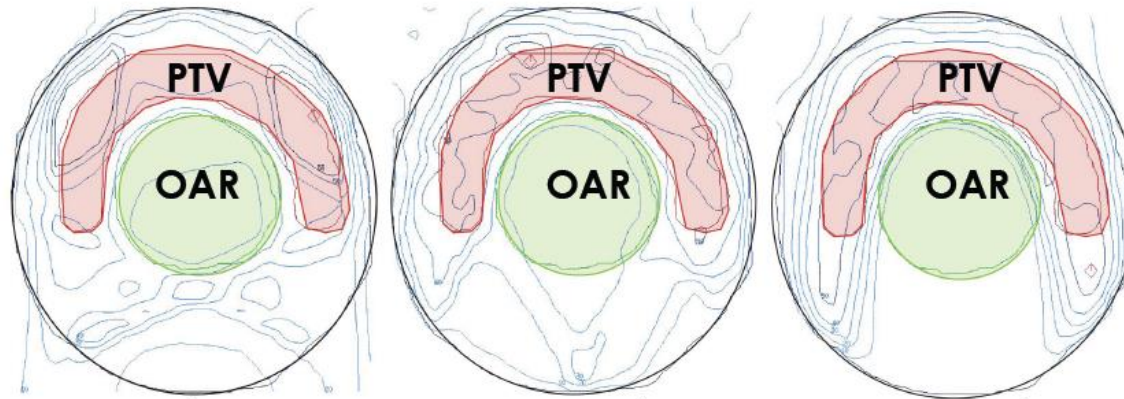
rotational  
IMRT generally  
does a better  
job at large  
concavities

De Meerleer *et al.*

3 beam IMRT

7 beam IMRT

IMAT



De Meerleer *et al.*

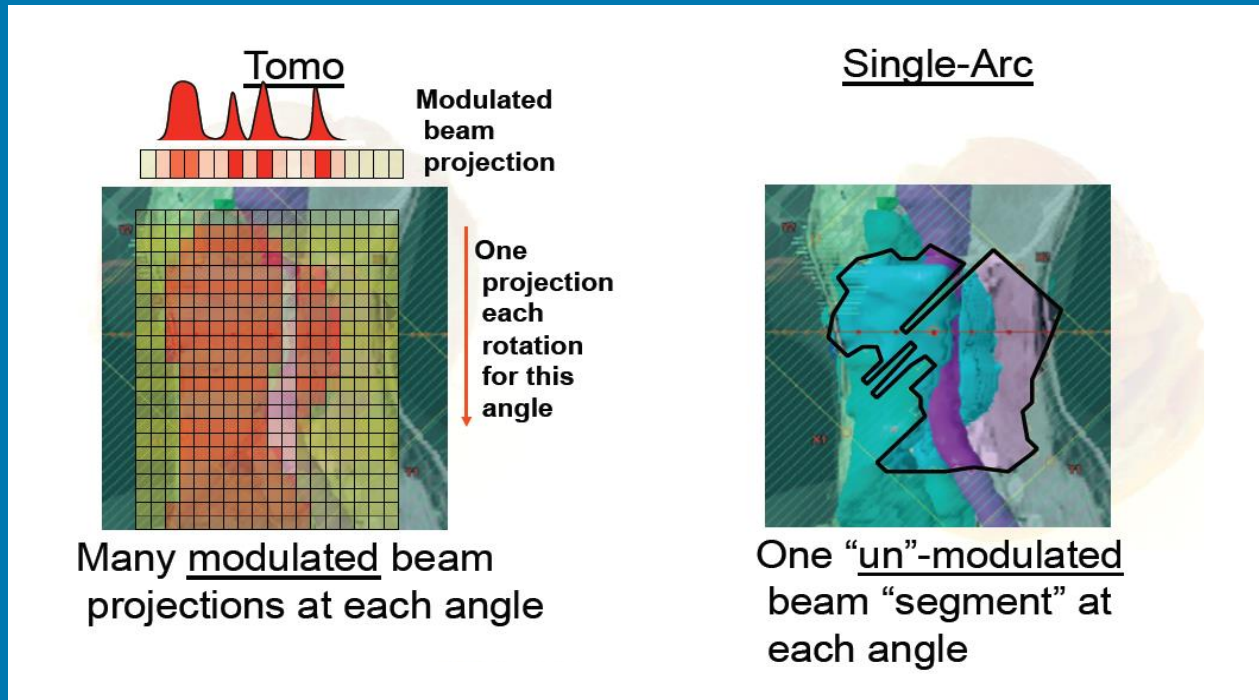
# rotational cone beam IMRT vs static IMRT

- faster delivery
- comparable plan quality



fan beam IMRT offers more modulation than cone beam IMRT

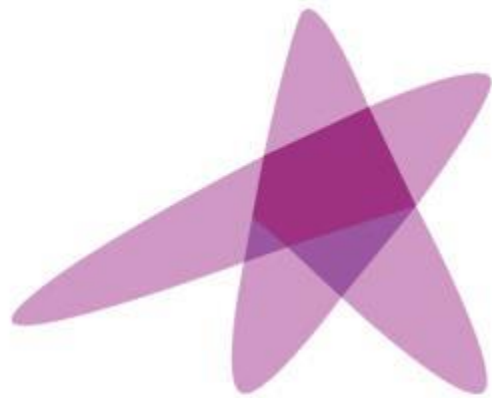
(but comes at cost of longer irradiation time?)



Courtesy of Dirk Verellen

# Conclusions

- VMAT just another flavour but faster because of continuous irradiation but not better (more gantry angles but unmodulated fluence per angle)
- fan beam rotational IMRT (Tomo) offers independent bixel optimisation and therefore more dose shaping functionality
- in both cases fluence enters the patient from all (gantry) angles sometimes requiring different optimisation strategies



**ESTRO**

*School*

# Adaptive radiotherapy

Marcel van Herk

Includes slides by Michael Sharpe, Alan McWilliam and  
Corinne Johnson

Institute of Cancer Sciences  
Manchester University  
The Christie NHS Trust

(Formerly at the Netherlands Cancer Institute)

MANCHESTER  
1824

The University of Manchester  
Manchester Cancer Research Centre

The Christie   
NHS Foundation Trust

# types of adaptive radiotherapy

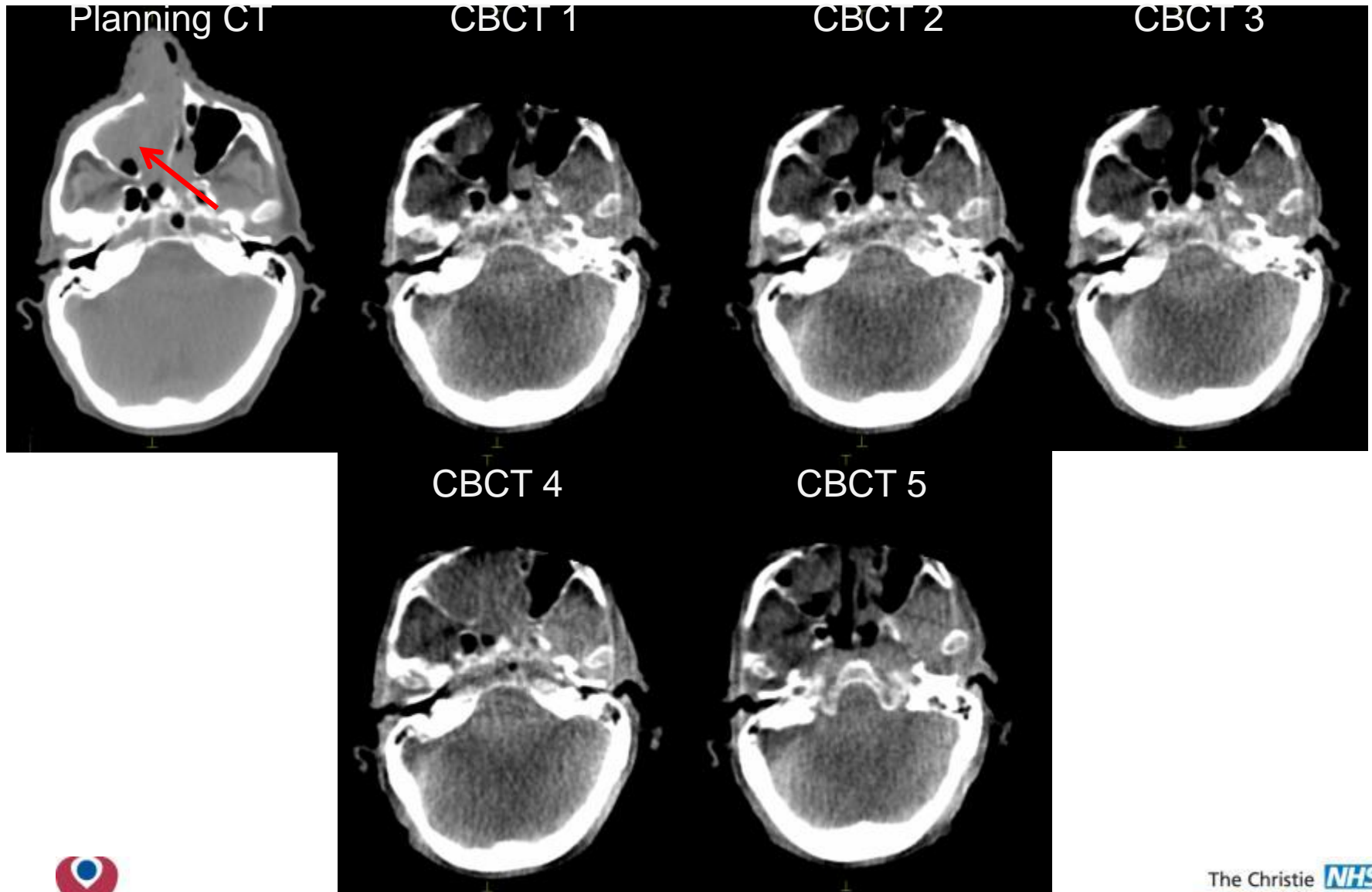
- Ad-hoc
- Planned
- Geometry based
- Dose accumulation-based

# Ad-hoc adaptive radiotherapy

- In the Christie dose is recalculated on CBCT (with density override) based after visual analysis in ~7% of patients
  - mostly lung and H&N
- Actual adaptation in ~1% of patients
  - taking a new CT scan
  - independent new plan
- No special software is used to do this in the clinic – just the planning system

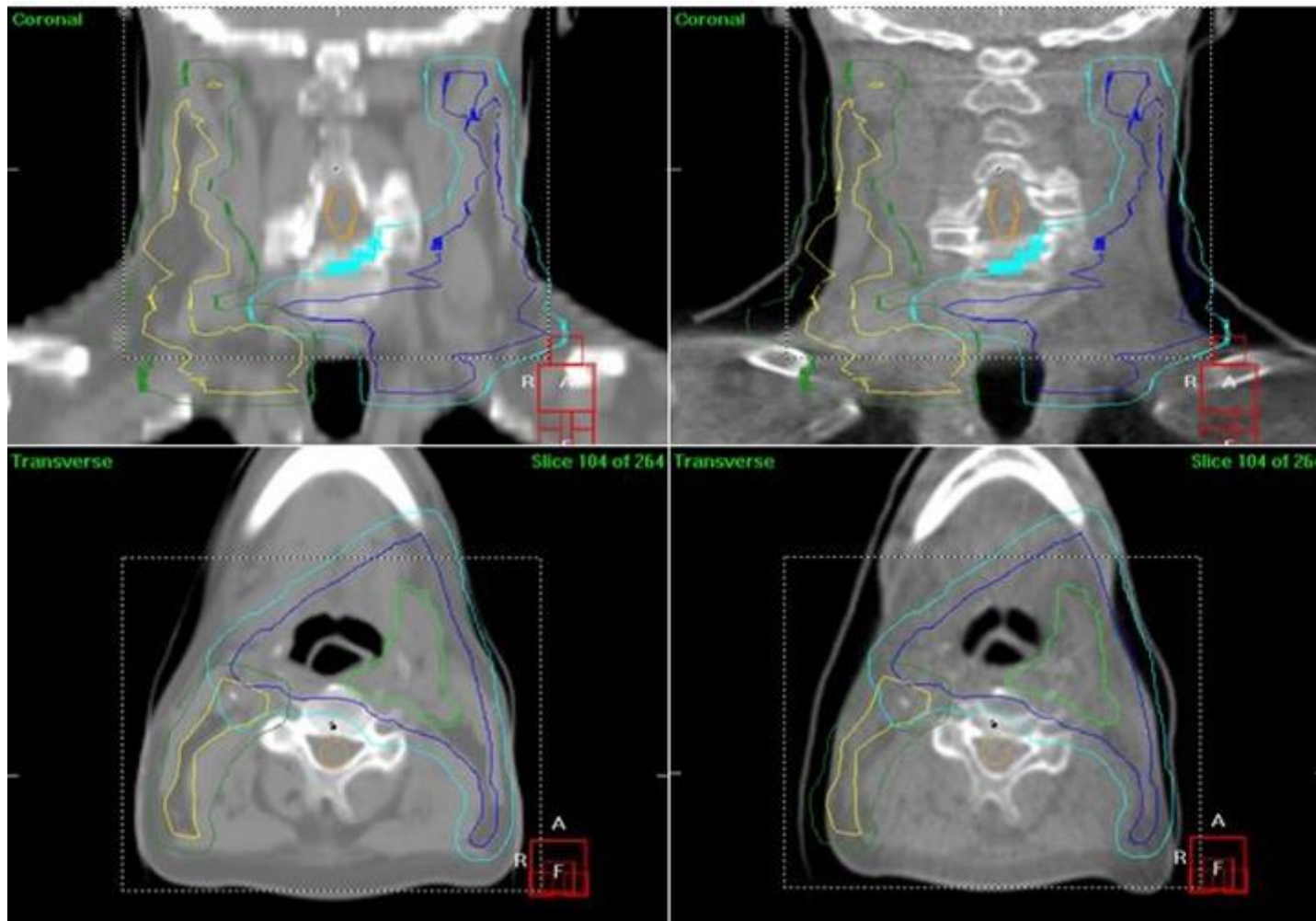


# Sinus filling and emptying



No need for adaptation in photons, but really important in protons

# Weight loss in H&N patient



Adaptation can be done to improve delivery,  
but also because the mask no longer fits

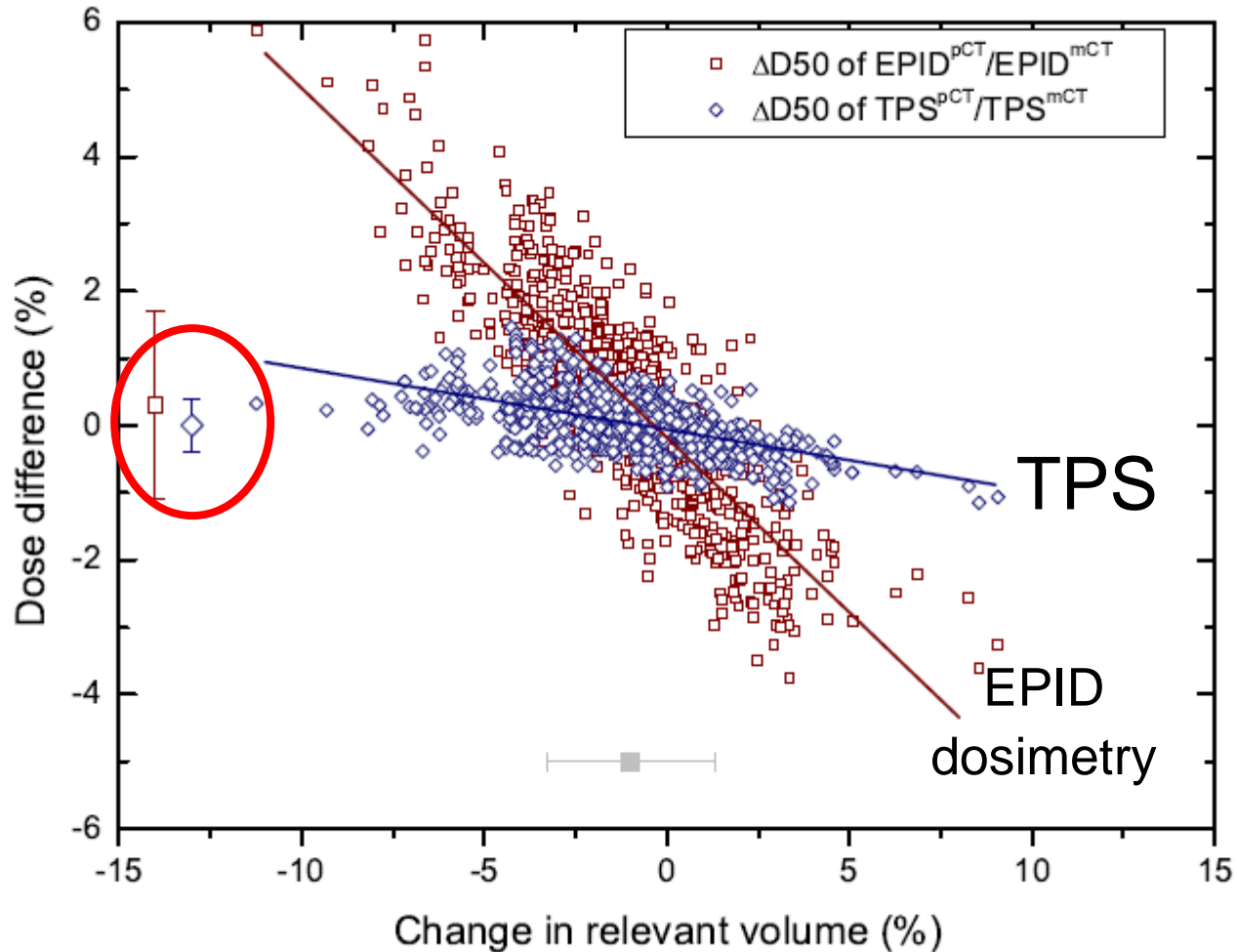




# Effects of anatomical changes

- Dosimetric effect
  - Extremely minor for photons
- Geometric effect
  - Organs and targets move relative to the dose distribution

# Effect of weight loss on dose



- Rozendaal et al, R&O 2015

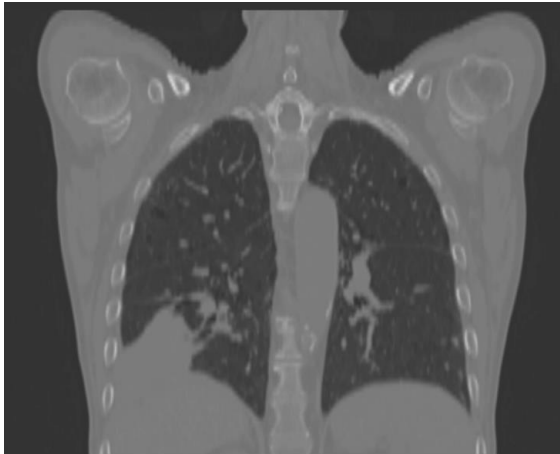
# Software for adaptive RT

- To fix the HU of CBCT
  - Density override
  - Deform planning CT to CBCT
  - Shading correction based on planning CT



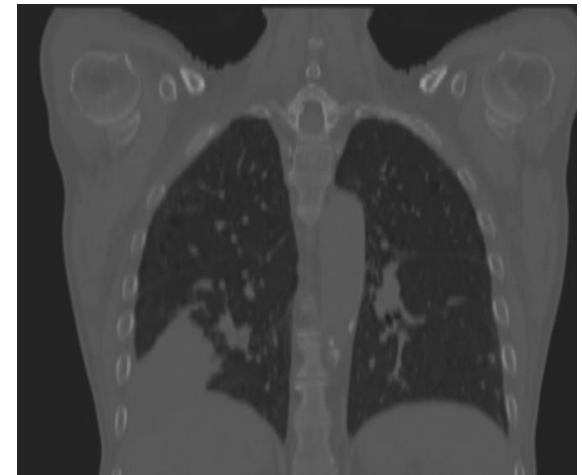
# Modify CT to CBCT anatomy

CT

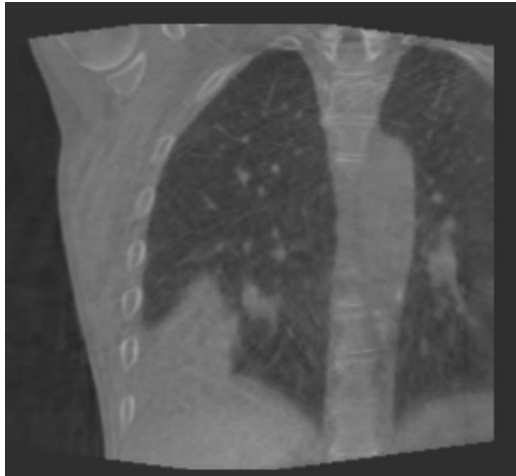


Deformable  
image  
registration

modified CT (mCT)  
(CT numbers + CBCT anatomy)



CBCT



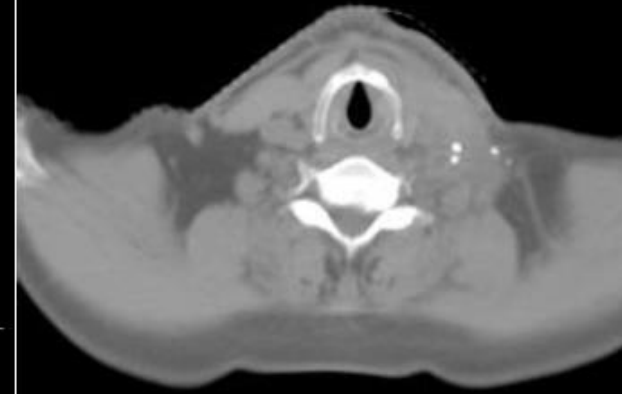
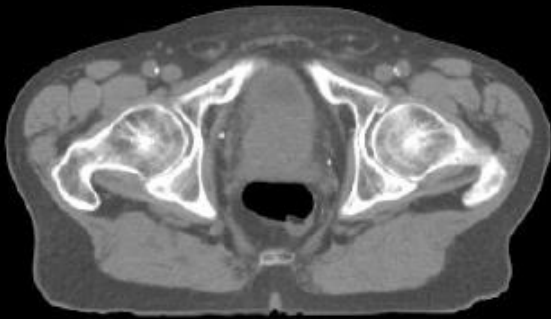
Make CBCT suitable for dose calculation

Szeto et al, NK11 2016

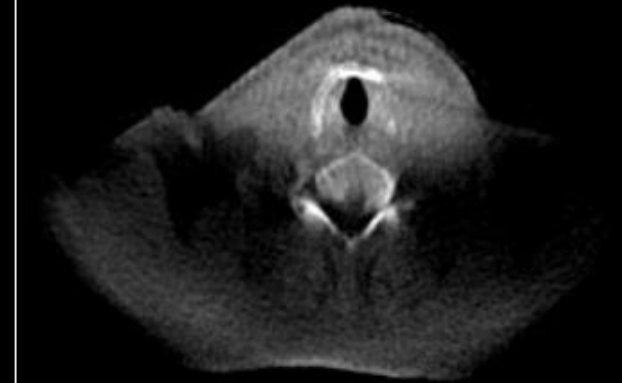
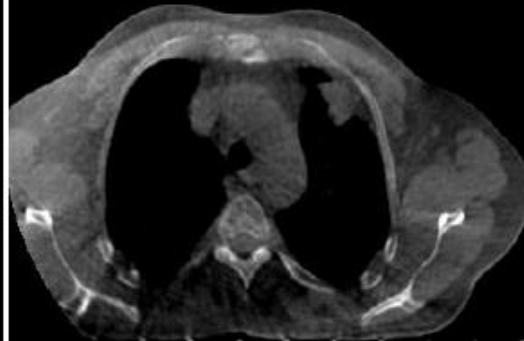


# Shading correction examples

CT

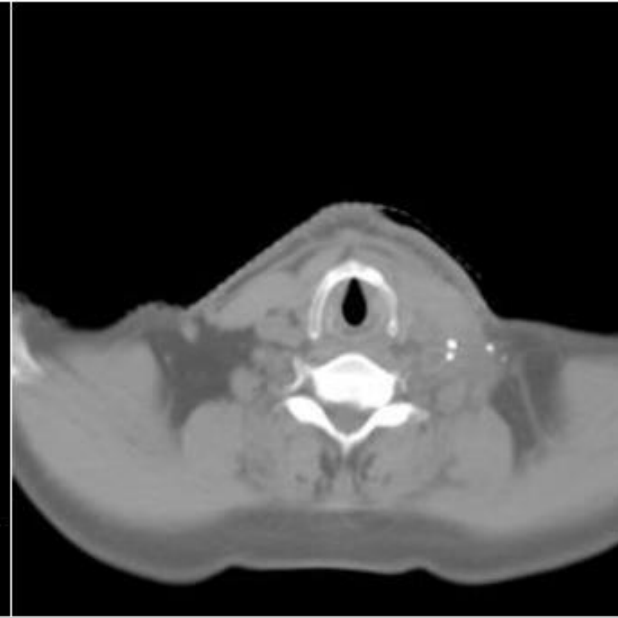
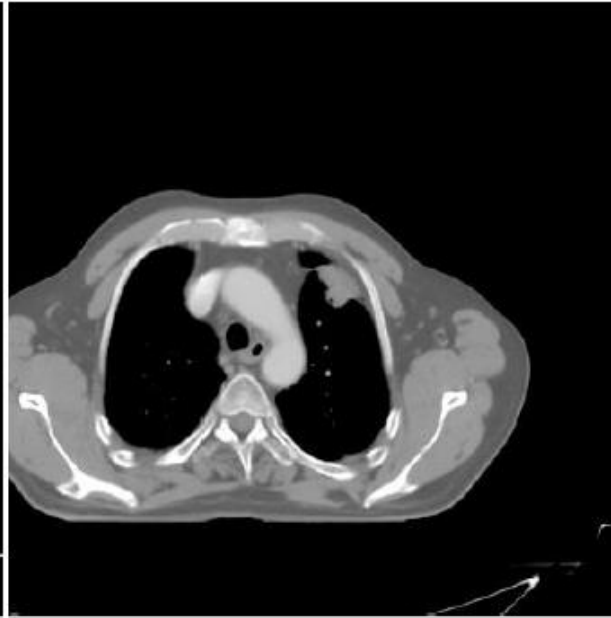
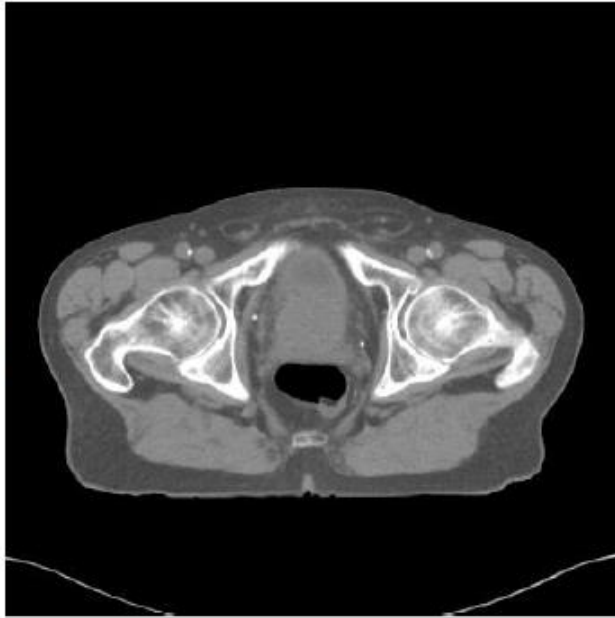


CBCT original



# Shading correction examples

CT



CBCCT corrected

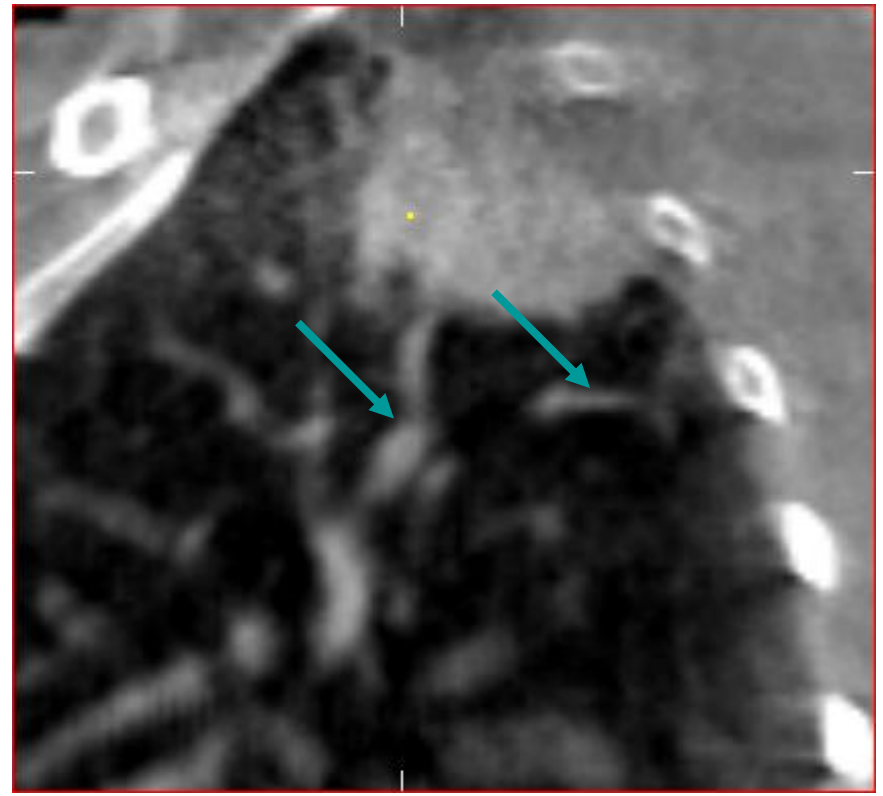
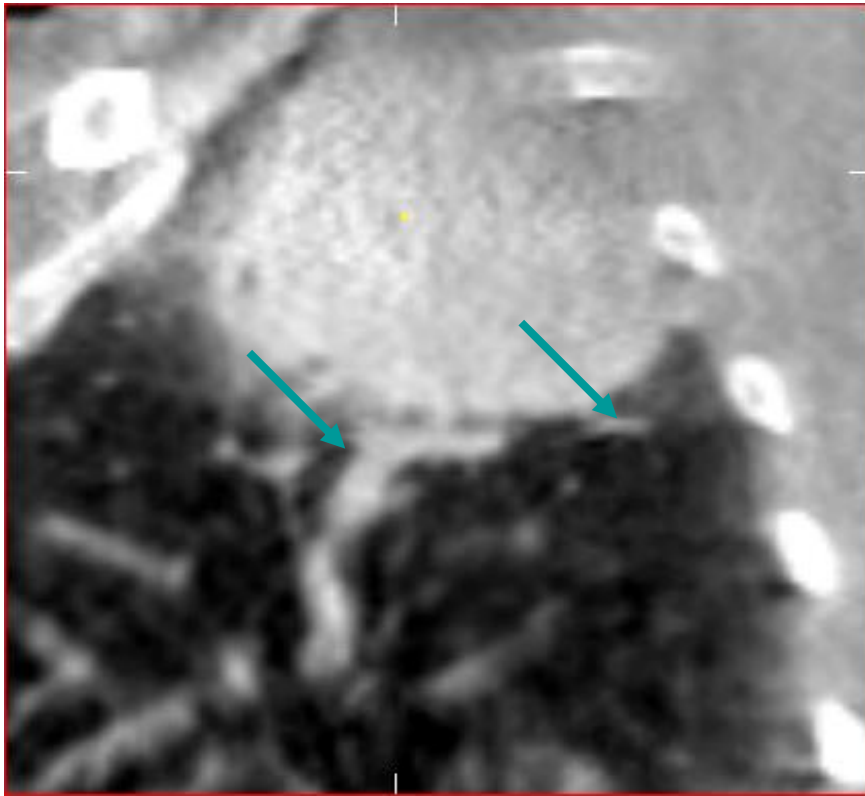


# Contour propagation

- Based on deformable registration between planning CT and repeat CT
- May be useful for OAR contours
  - Editing often needed
- Take extreme care with GTV and CTV contours
  - Use rigid propagation if unsure



# Non-elastic tumour regression

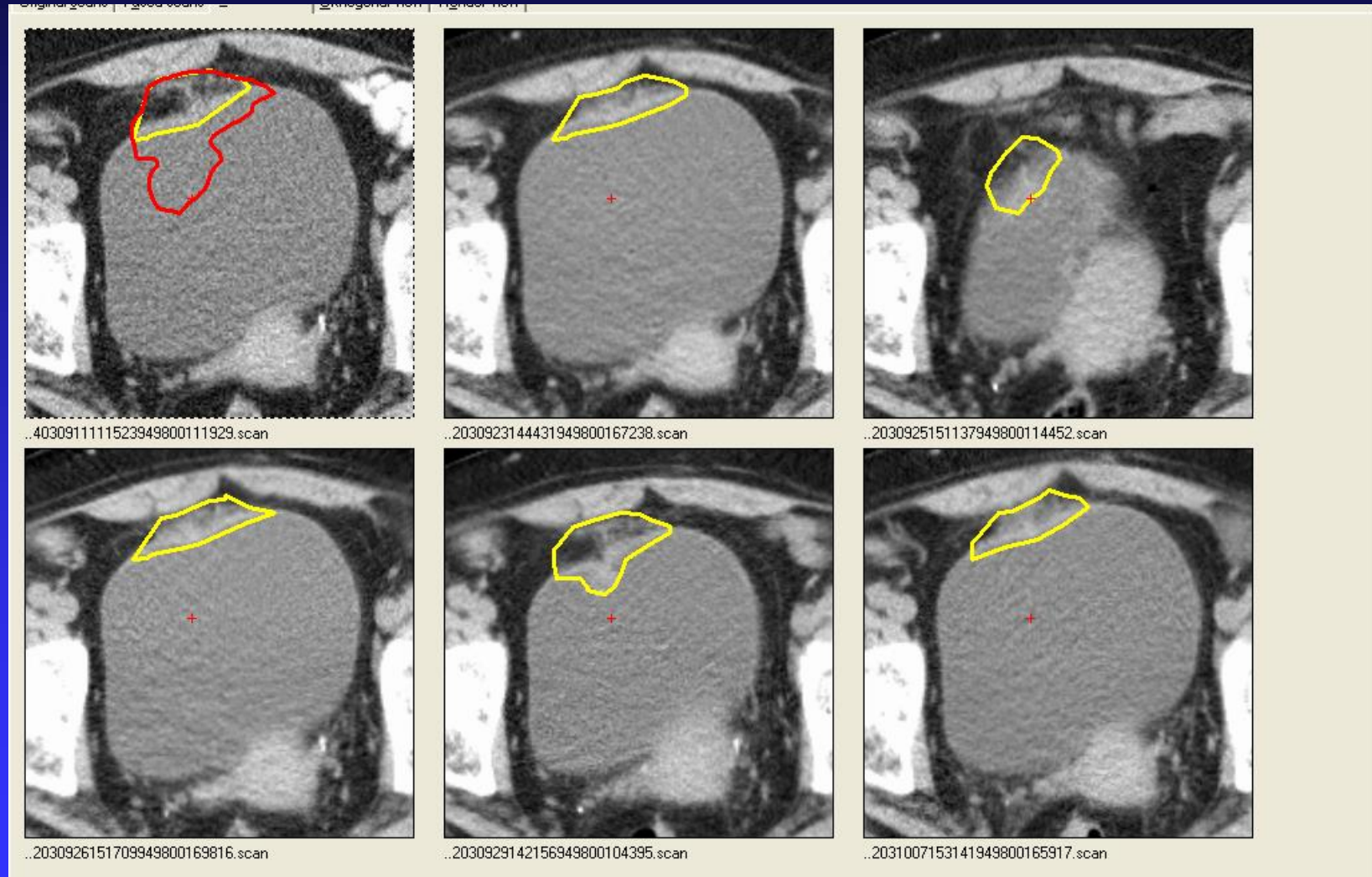




# Geometrical adaptive radiotherapy

- ITV methods
- Mean methods
- Dose prescription per fraction methods

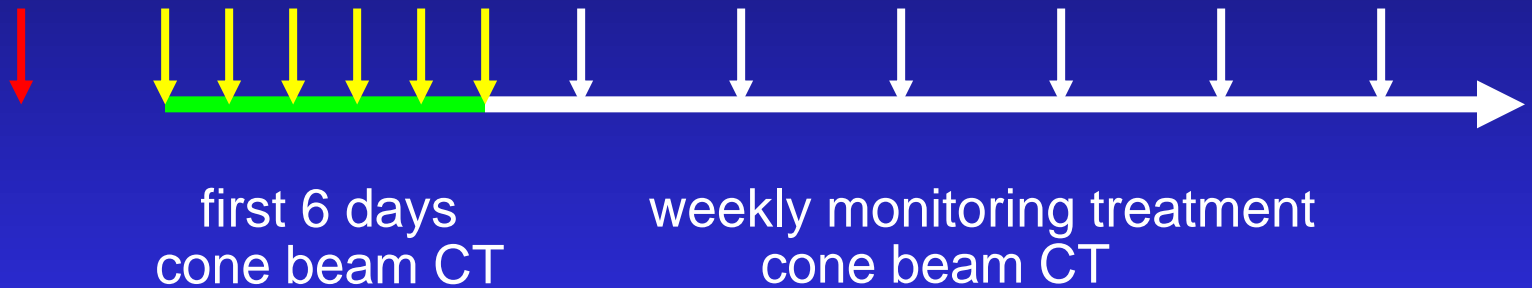
# ART for bladder cancer: $GTV_{1-6}$ construction



# Prostate Adaptive Radiation Therapy

Planning CT 10  
mm margin  
(7 mm also OK)

Re-plan using average prostate  
& rectum 7 mm margin



Margin derived from simulation with follow-up CT data  
of 19 patients (11 scans per patient)\*:

Identical results (good target coverage and rectum sparing) for:

Average prostate + 7 mm

← chosen

Convex hull of all prostates + 4 mm

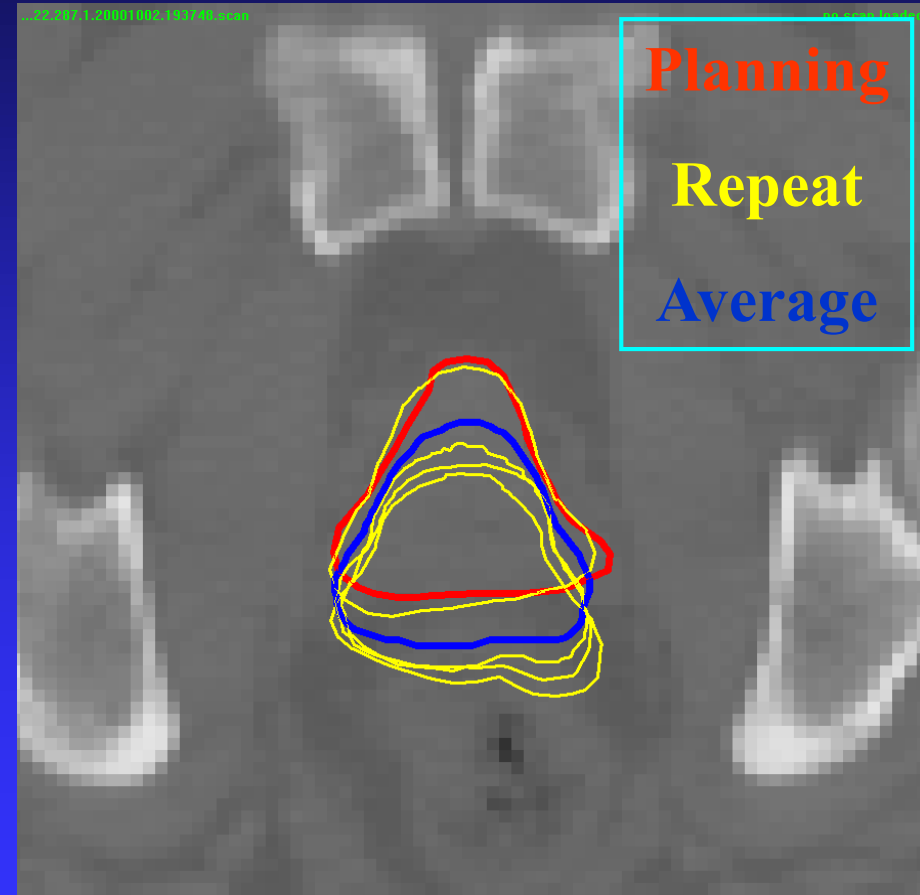
# Methods: average prostate (rigid registration based)

- Plan → CBCT1: T1/R1
- Plan → CBCT2: T2/R2
- ...
- Plan → CBCT6: T6/R6

$$\frac{T_{AVG}}{R_{AVG}}$$

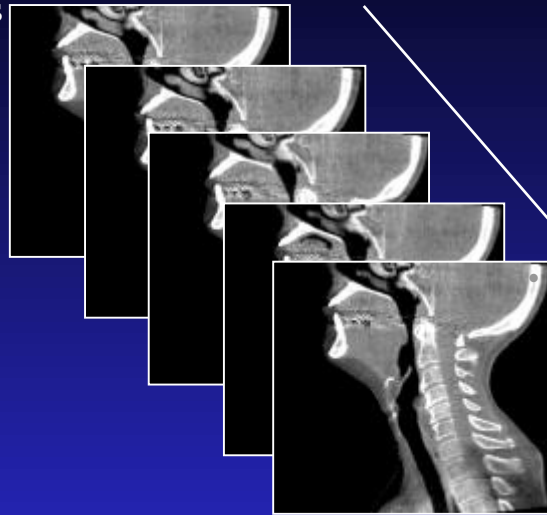
$T_{AVG} / R_{AVG}$  puts  
prostate from plan CT  
in average position

- With this CTV the margin can be safely reduced from 10 mm to 7 mm

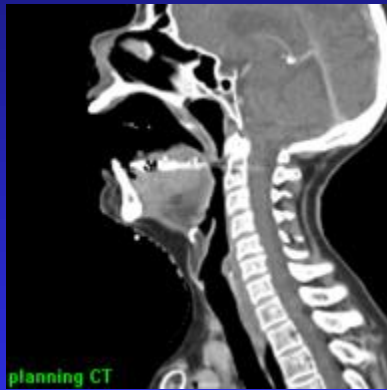
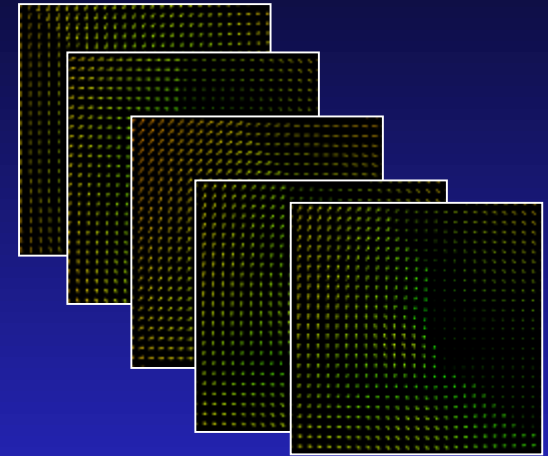


# Adaptive replanning on average anatomy

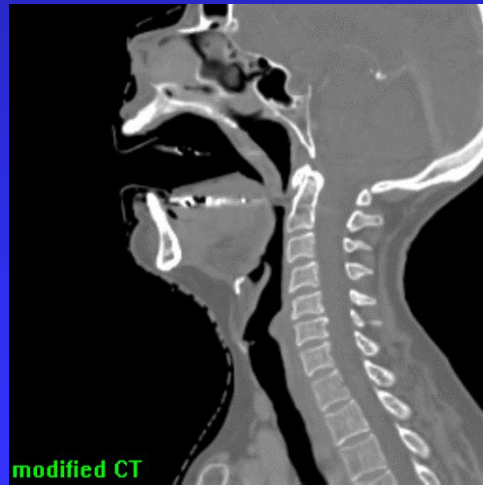
- daily CBCTs



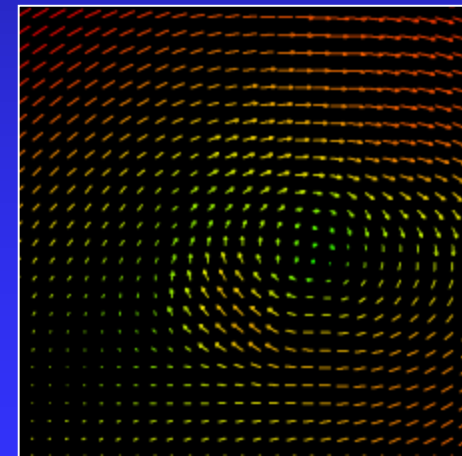
- deformation vector fields



- Planning CT

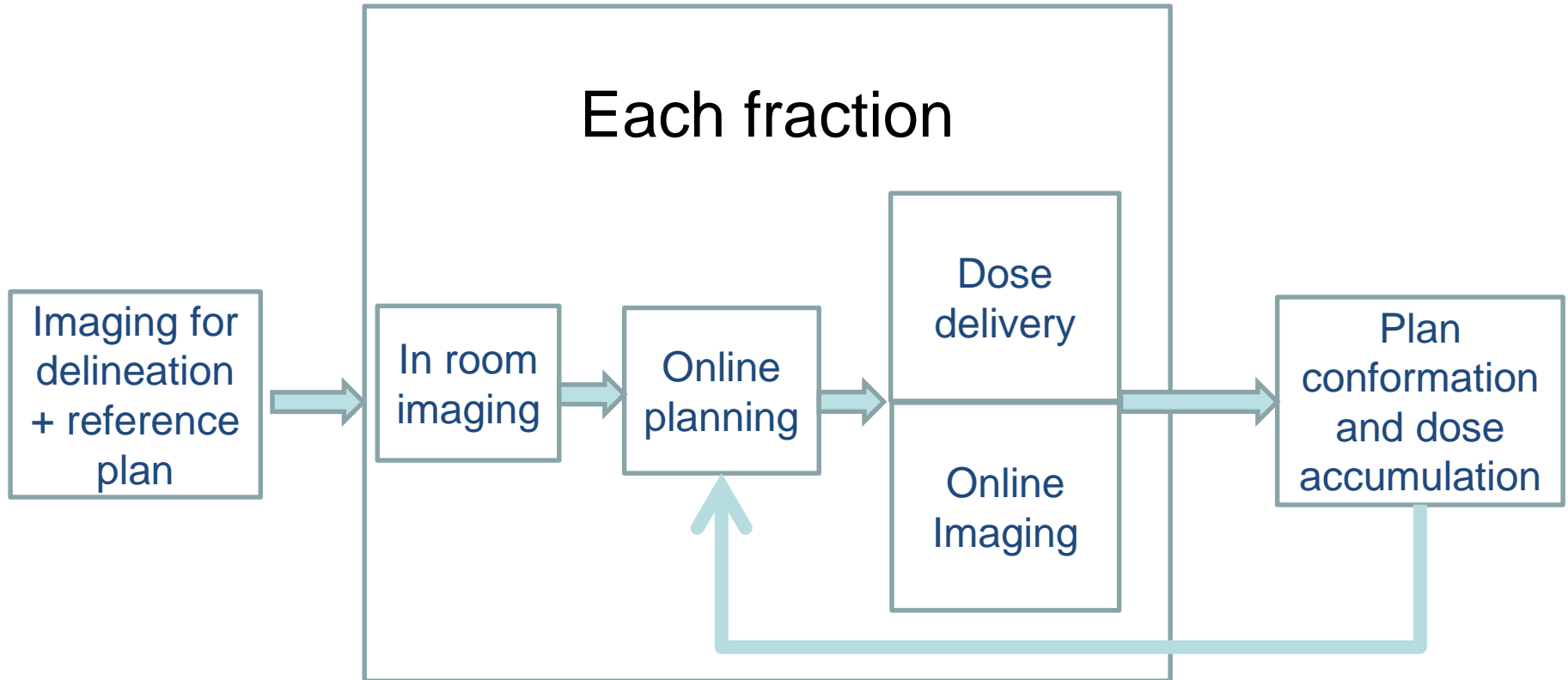


- Average anatomy



- systematic deformations

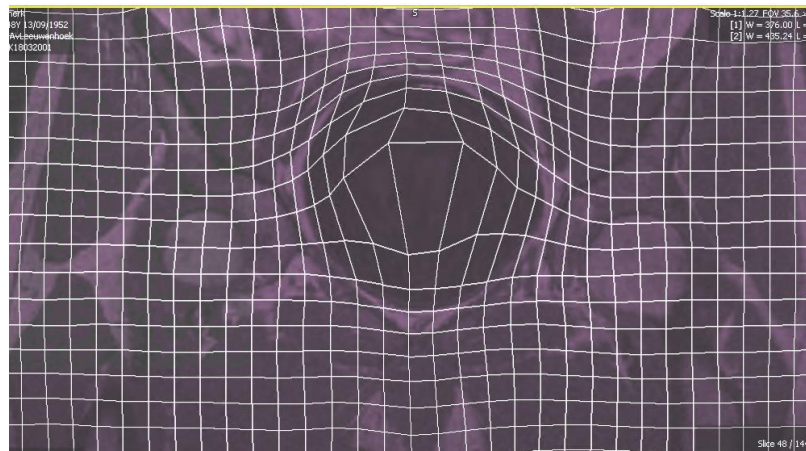
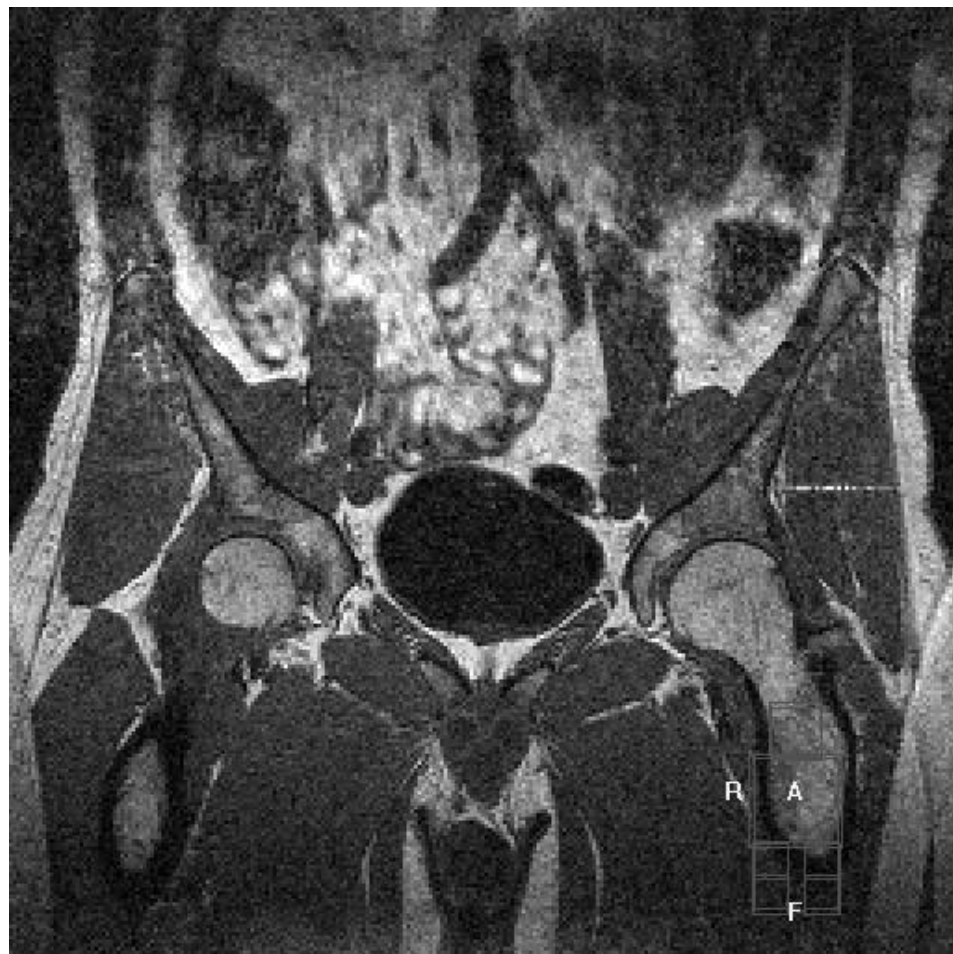
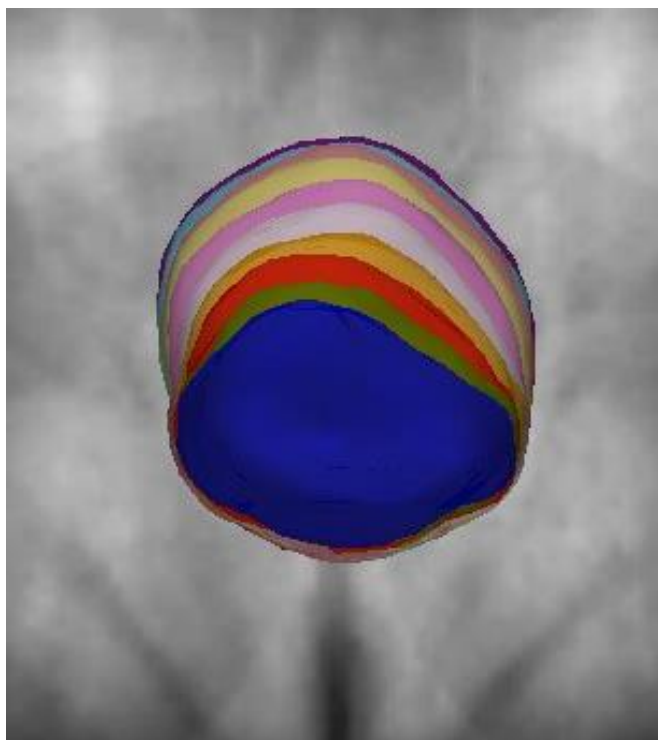
# Adaptive workflow - ideal



# Dosimetric adaptive radiotherapy

- Accumulate dose
- Detect or predict when dose constraints will be exceeded
- Then replan
  - Independently
  - Using bias/background dose
- Evaluate

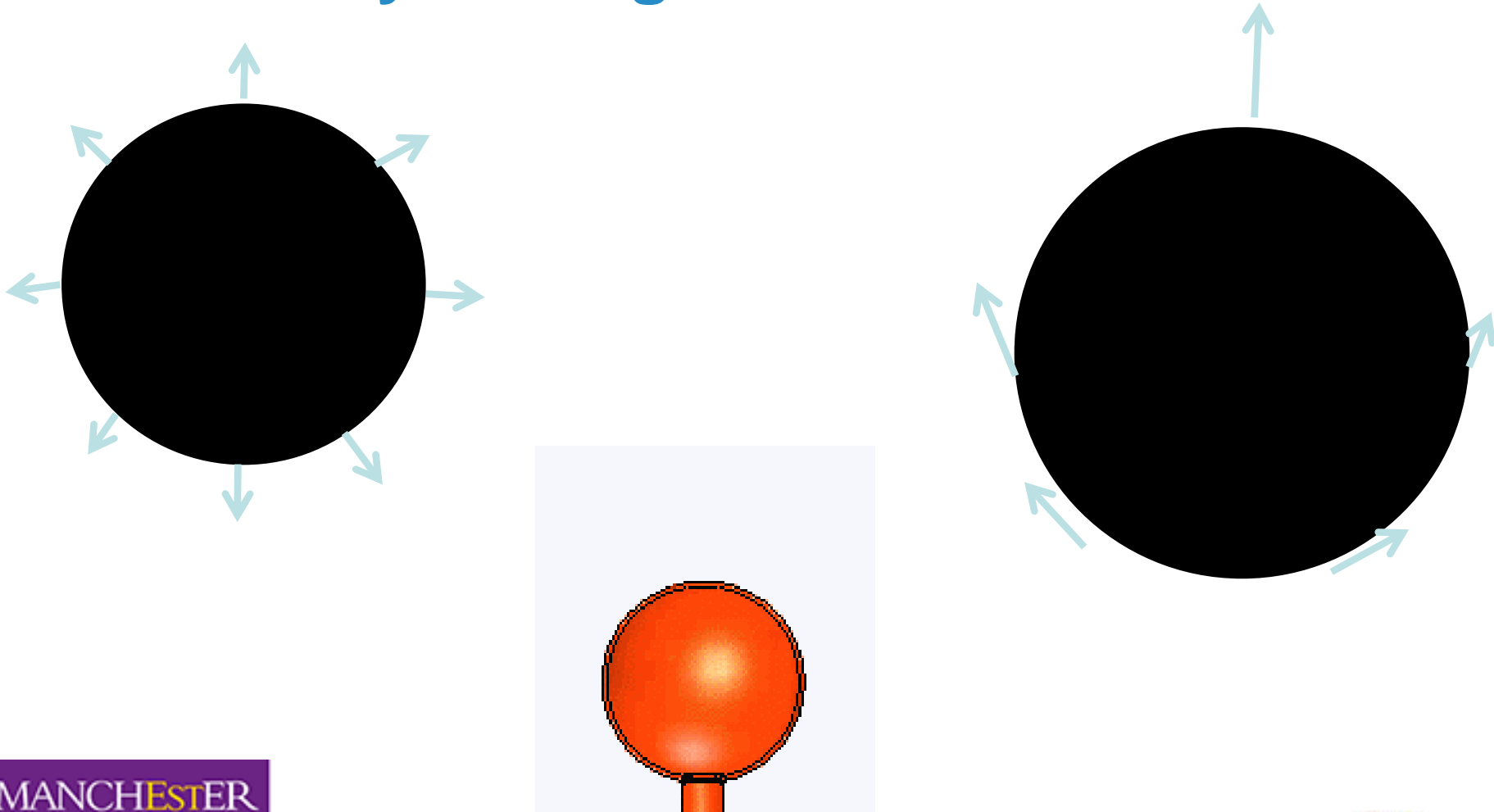
# Easy deformable registration of the bladder?



- Very high contrast but does software 'understand' the anatomy ?



# The bladder is a balloon in a box with stuff – it expands isotropic constrained by the organs around it



# Is adaptation clinically important?

- Image-guided radiotherapy (IGRT) is commonly utilised to aid patient positioning
- Most evidence relies on surrogate outcomes



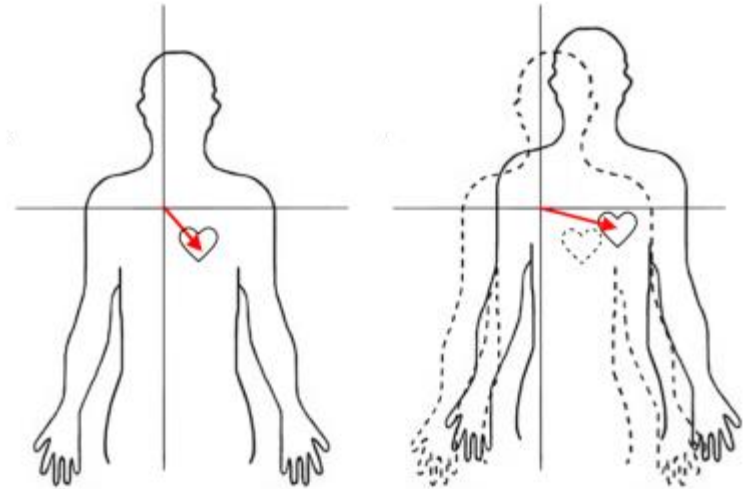
## Aims

- To assess whether the magnitude of **residual** bony setup errors following IGRT relate to patient survival
- Test effect directionality of the errors to get information about the underlying cause
- → Can we relate a small change in dose with outcome?

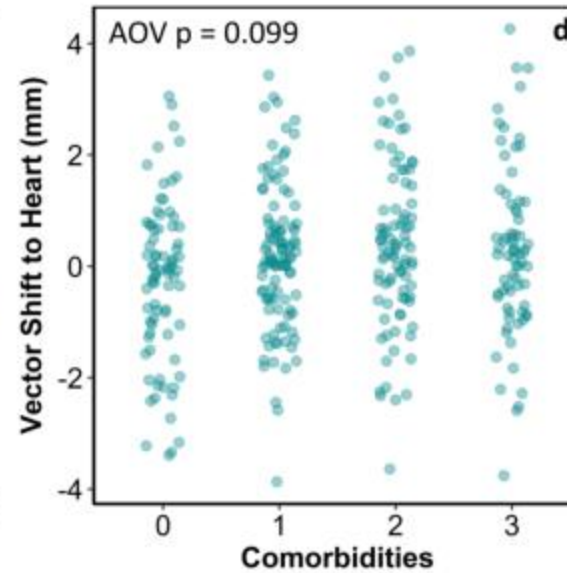
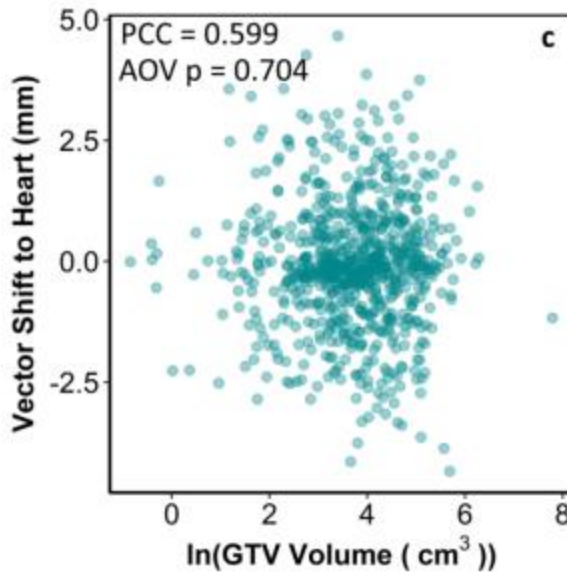
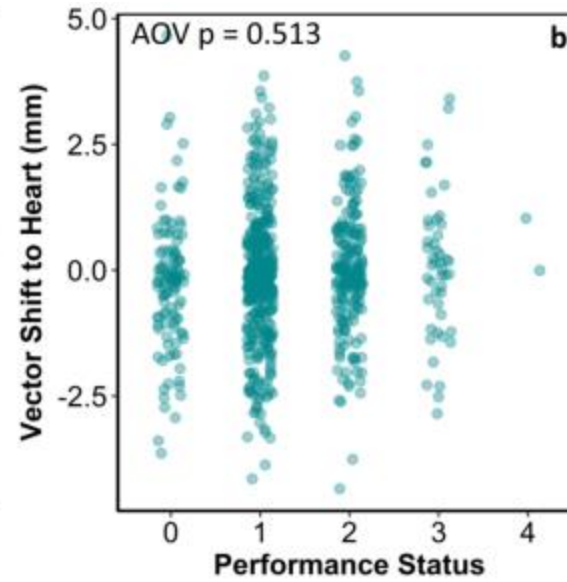
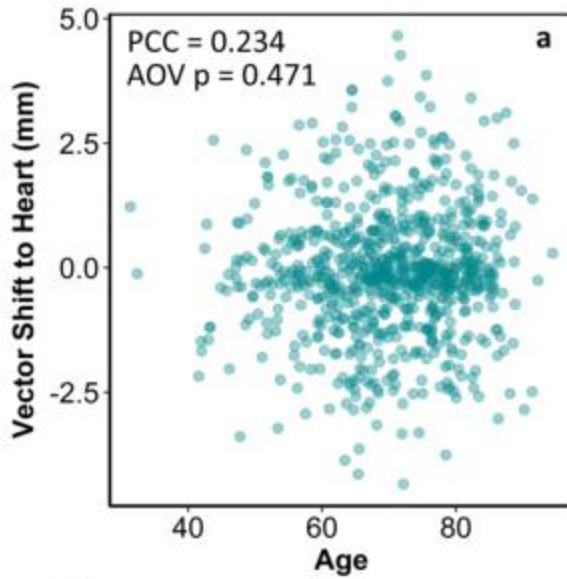
# Methods

- 780 Non-small cell lung cancer patients
- IGRT protocol on bony anatomy
  - Imaging on days 1-3 then weekly
  - 5mm action threshold applied

Weighted Residual Shifts			
X (mm)	Y (mm)	Z (mm)	Fraction
0	0	0	1
2.6	1.5	-2.8	2
1.3	3.4	-3.3	3
1.3	3.4	-3.3	4
1.3	3.4	-3.3	5
-0.5	1.1	1.5	6
-0.5	1.1	1.5	7
-0.5	1.1	1.5	8
-0.5	1.1	1.5	9
-0.5	1.1	1.5	10
⋮	⋮	⋮	⋮

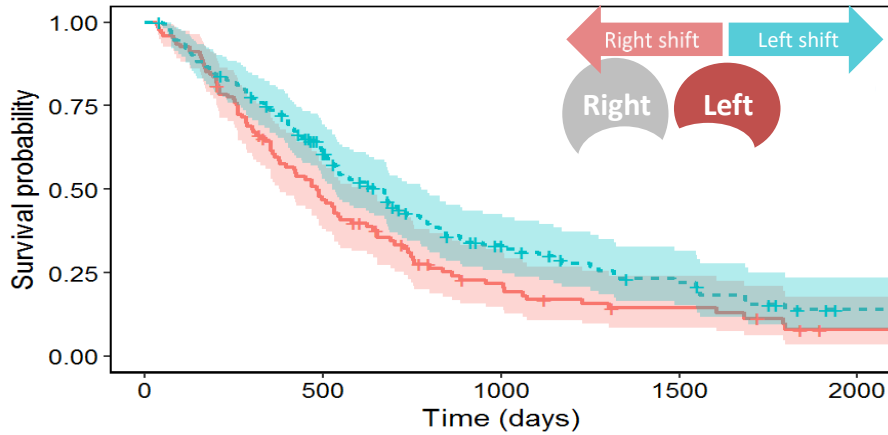


- Estimate **residual** shifts
  - summarised as 9 parameters
- Variable selection
- Cox regression to assess significance

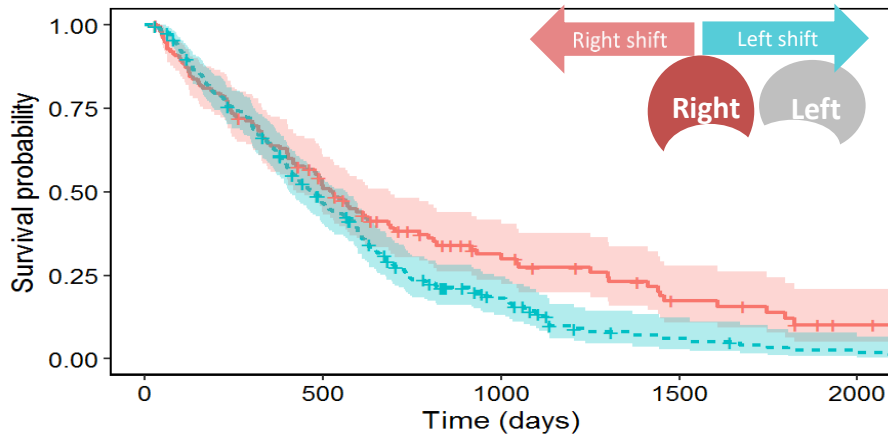


Residual shifts are  
small and truly random

Left Lung Tumour Cohort



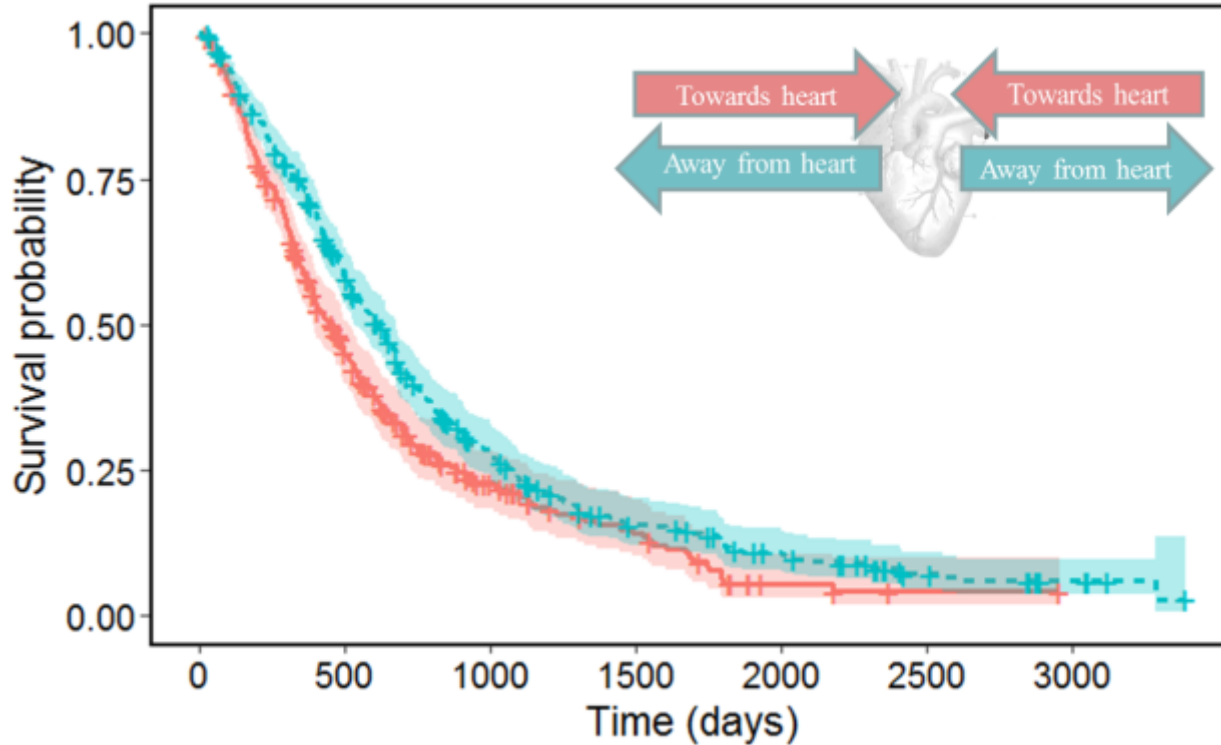
Right Lung Tumour Cohort



Cohort	N	Variable	p-value	HR (right shift)
Left Tumours	261	Mean lateral shift	<b>0.025</b>	<b>0.723</b>
		ECOG-PS	0.032	1.224
		Age	0.430	1.007
		Fractionation	0.044	0.966
		Ln(GTV)	0.002	1.263
Right Tumours	367	Mean lateral shift	<b>0.007</b>	<b>1.401</b>
		ECOG-PS	0.094	1.132
		Age	0.340	1.006
		Fractionation	<0.001	0.943
		Ln(GTV)	<0.001	1.457

Effects are opposite for left and right tumours

### Vector shift to the heart



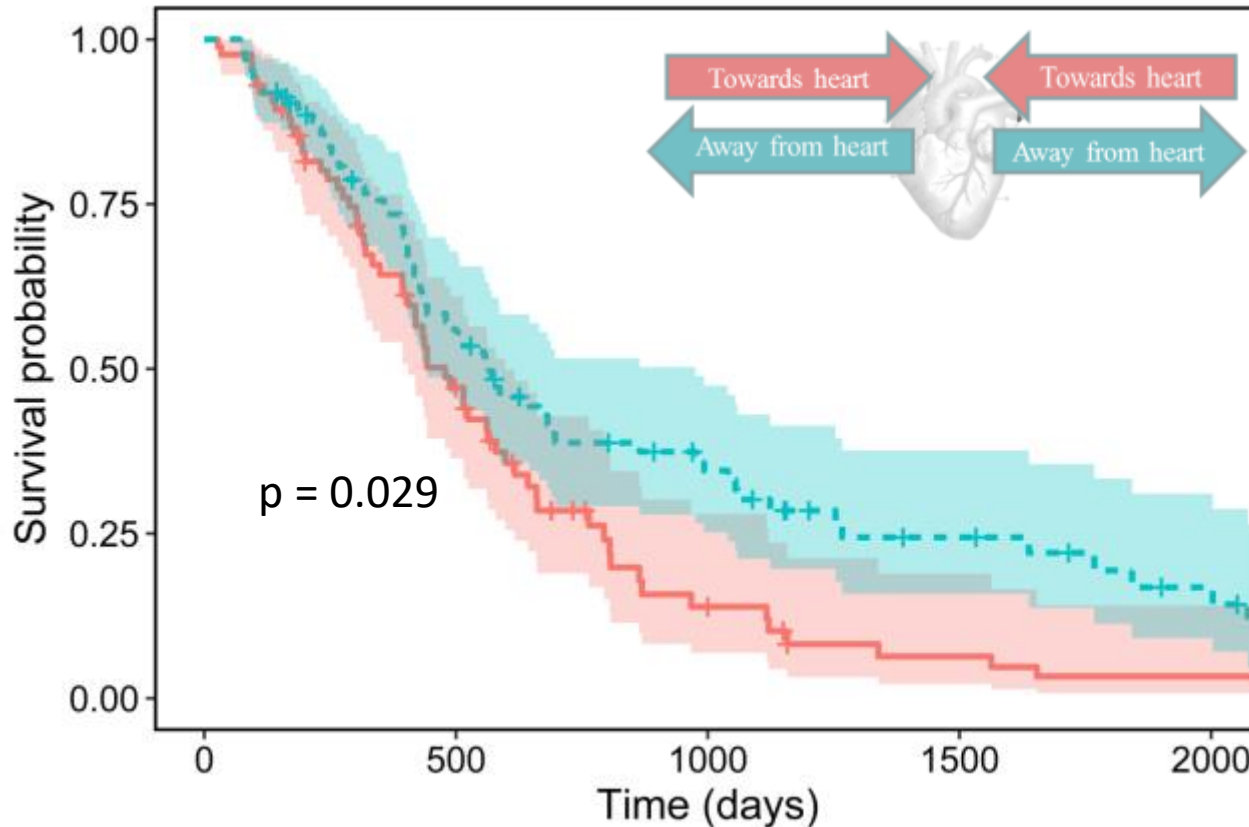
Variable	p-value	HR (shifts away)
Mean lateral shift	<0.001	0.757
ECOG-PS	0.009	1.148
Age	0.214	1.006
Fractionation	<0.001	0.955
Ln(GTV)	<0.001	1.405

As a continuous variable:  
(positive shifts = shifts towards heart)

HR = 1.091 per mm (p = 0.007)

Increased risk with increasing shifts towards the heart

## Oesophageal cancer cohort for validation (n = 177)



**Continuous:** HR = 1.164 per mm ( $p = 0.041$ )

# Summary

- Very small residual shifts towards the heart after IGRT can significantly affect overall survival
- Setup errors have no correlation with clinical variables
  - Most likely due to increased heart dose

## Recommendations

- Strict IGRT protocols should be applied for thoracic cancers
  - Daily imaging with lower action thresholds
- Heart dose planning constraints should be reviewed



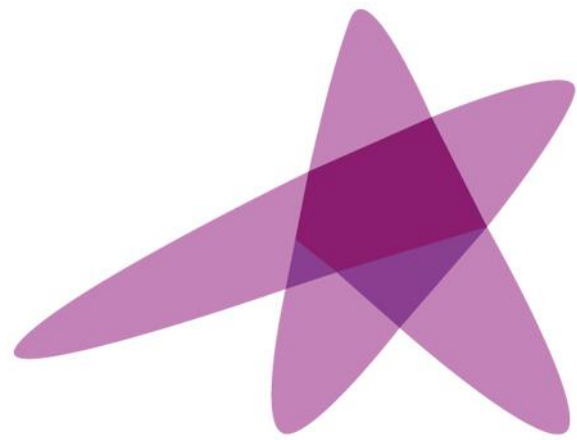
# Summary

---

- Frequent soft-tissue imaging provides feedback & an opportunity to adapt to changing conditions
- On-line correction combined with off-line adaptation is desirable, but may not be sufficient
- Adaptive schemes may permit PTV margin reduction, and other opportunities to improve treatment:
  - Assure minimum target dose
  - Spare more normal tissue volume
- Do ***not*** trust dose accumulation

# • Greetings from Manchester!





**ESTRO**  
*School*

Gemelli



ART  
Advanced Radiation  
Therapy

Radiotherapy & Physics department  
Policlinico A. Gemelli, Rome (Italy)

# MRI in treatment planning

N. Dinapoli

# Introduction:

## MRI – why, where, when?

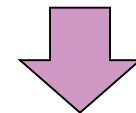
- Traditional planning procedures use CT images to calculate dose distribution.
- This is because extraction images process of CT is based on X-rays interaction with matter
- The **informations** that CT can give for planning are of **three types**:

➤ **Geometry**

➤ **Density**

➤ **Atomic number**

} **Electron density maps**



**Dose distribution  
calculation**

# Introduction:

## MRI – why, where, when?

- **Advantages of MRI:**

- Better contrast definition
- Better “chemical” description of the matter structure
- Better definition of **functional** aspects of the tissues (tumor and OAR) that is **physiology** of the tissues

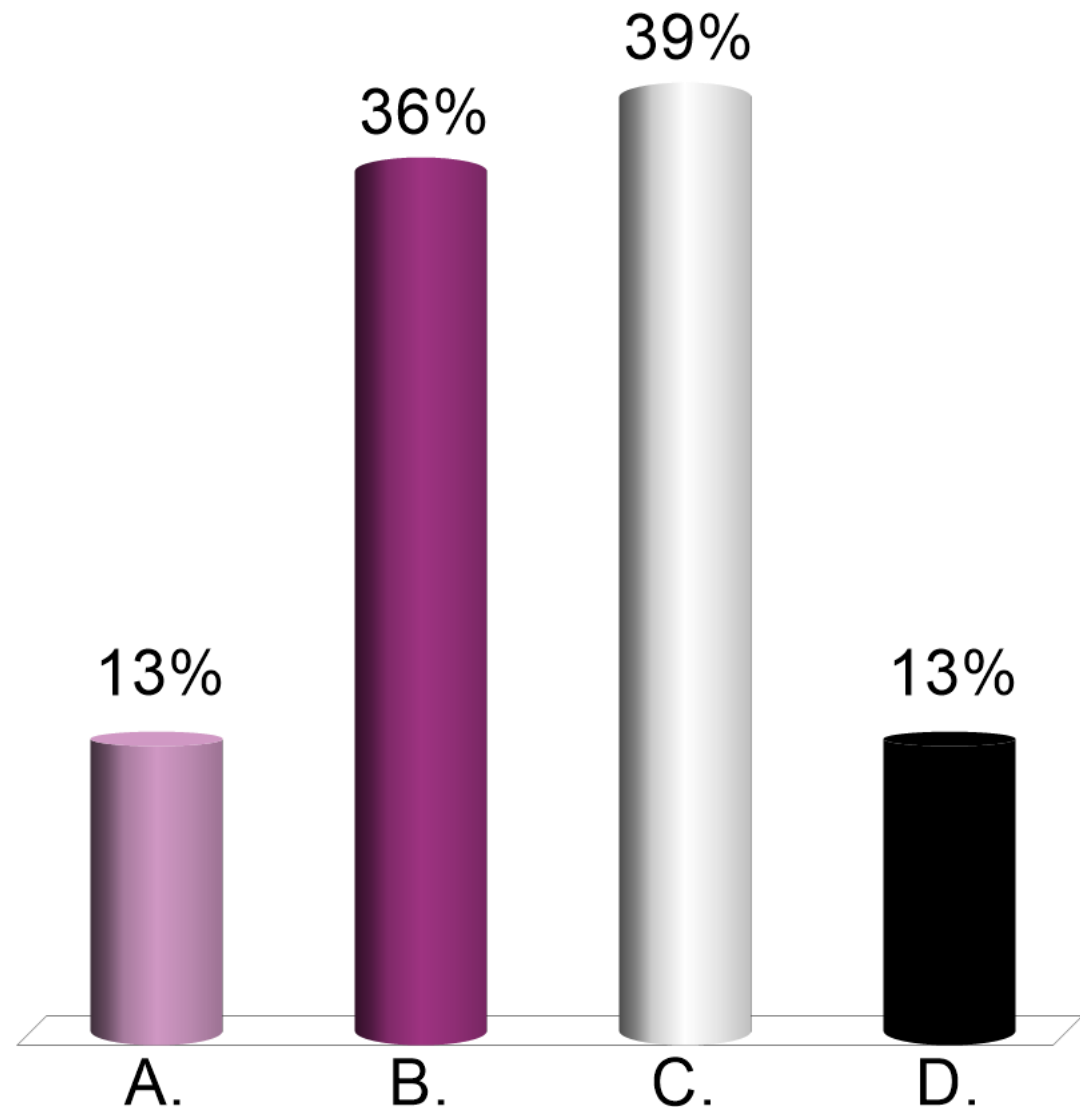
# Your experience in MRI planning

A. None

B. Basic (registration)

C. Conventional sequences  
(T1, T2)

D. Advanced sequences  
(ADC, DWI, SMR, PWI)



# Introduction:

## MRI – why, where, when?

- **MRI sequences**

- **Traditional** (relaxation time):

- **T1w**
    - **T2w**

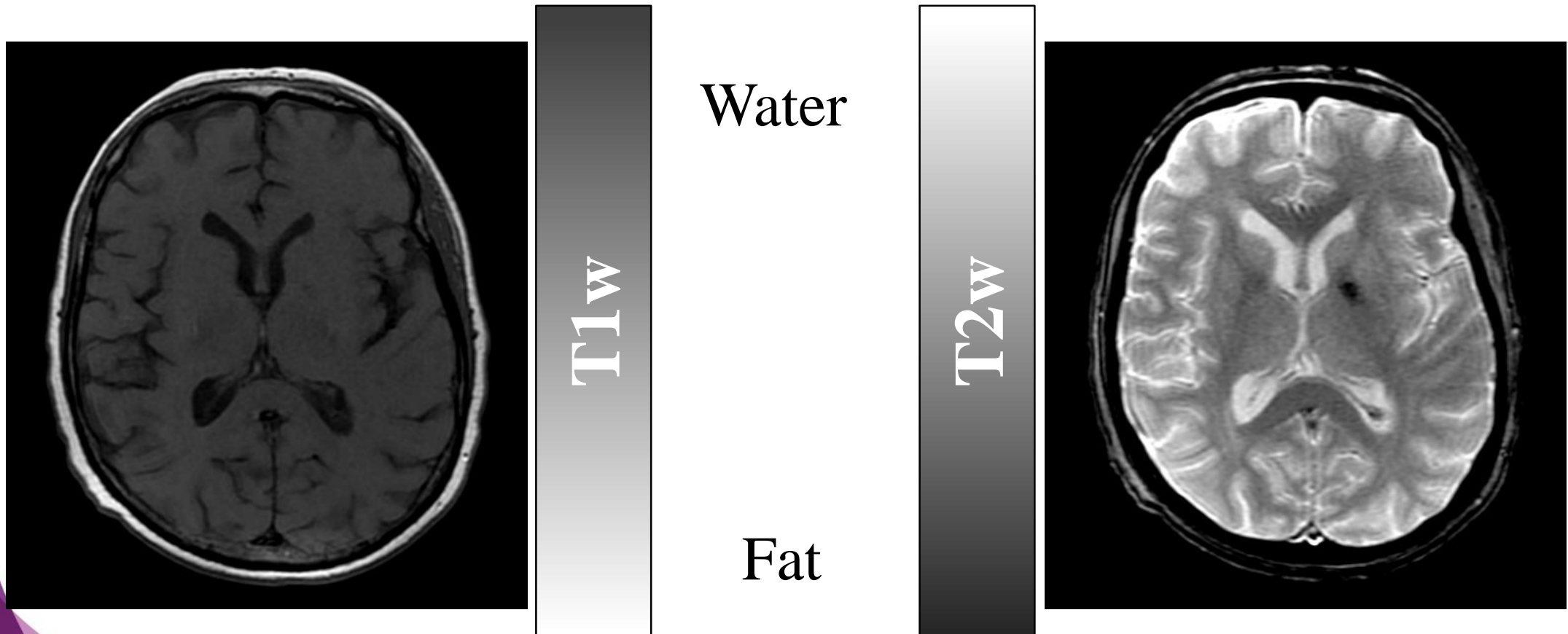
- **Functional** (post-processing):

- **DWI**
    - **DTI**
    - **PWI**
    - **SMR**



# Introduction: MRI – why, where, when?

- MRI T1w T2w images:



 No signal: air, cortical bone

# Functional imaging modalities in MRI

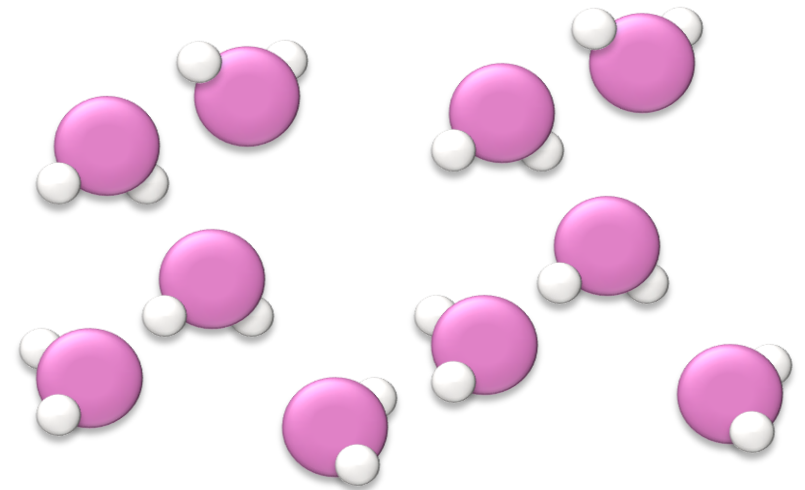
- Functional MRI: imaging modalities that focus on physiological/chemical features of tissues and vascularization, rather than morphology

➤ Diffusion weighted MRI	<b>DWI</b>
➤ Diffusion tensor imaging	<b>DTI</b>
➤ Perfusion MRI	<b>PWI</b>
➤ Spectroscopy MRI	<b>SMR</b>

# DWI images

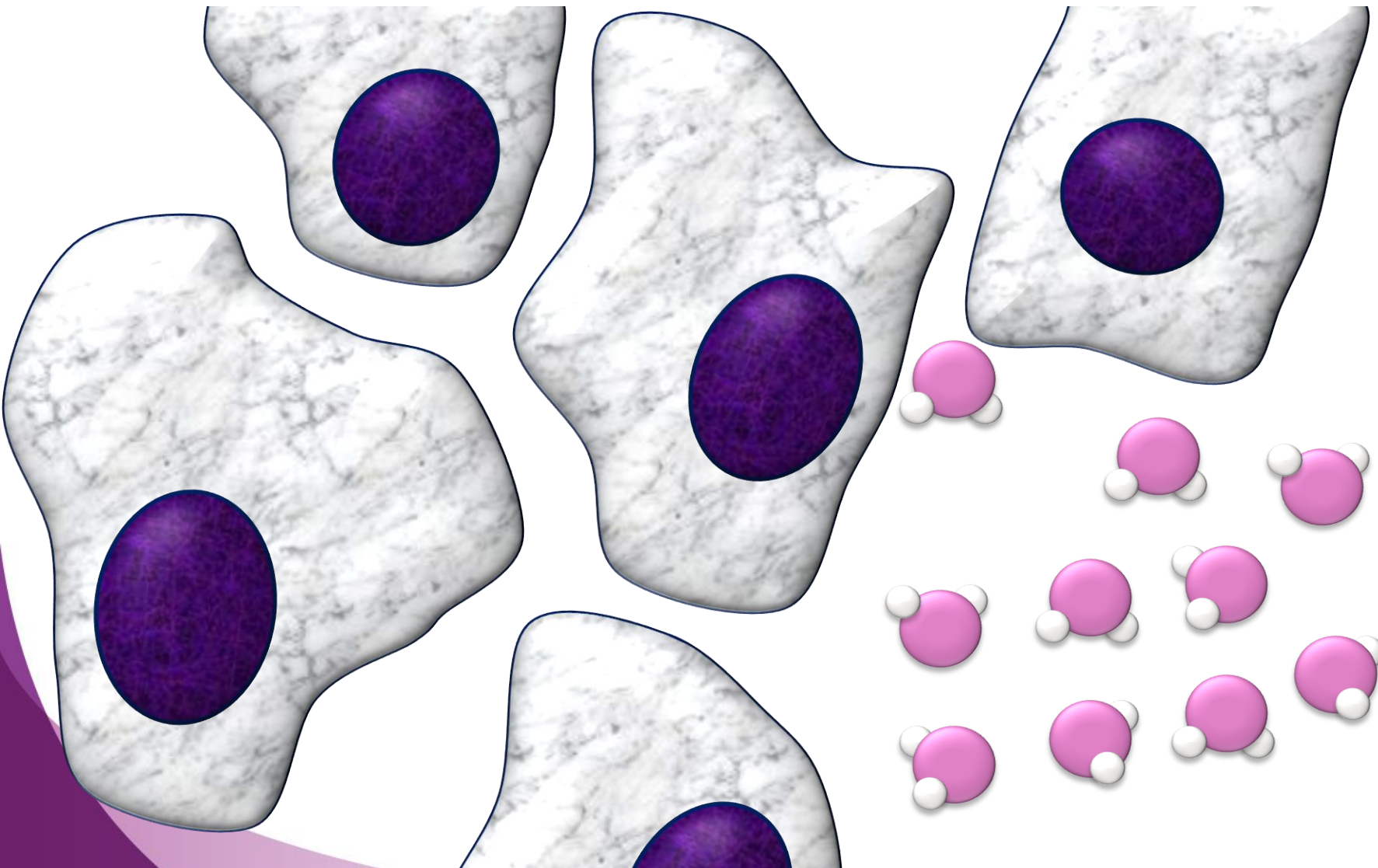
- Rationale

- In biological tissues H<sub>2</sub>O molecules produce random micro-movements due to the thermal energy (Brownian movements)
- In DWI images can be obtained by analyzing this kind of movements
- The micro-diffusion of water molecules gives informations about the normal and pathologic tissues structure



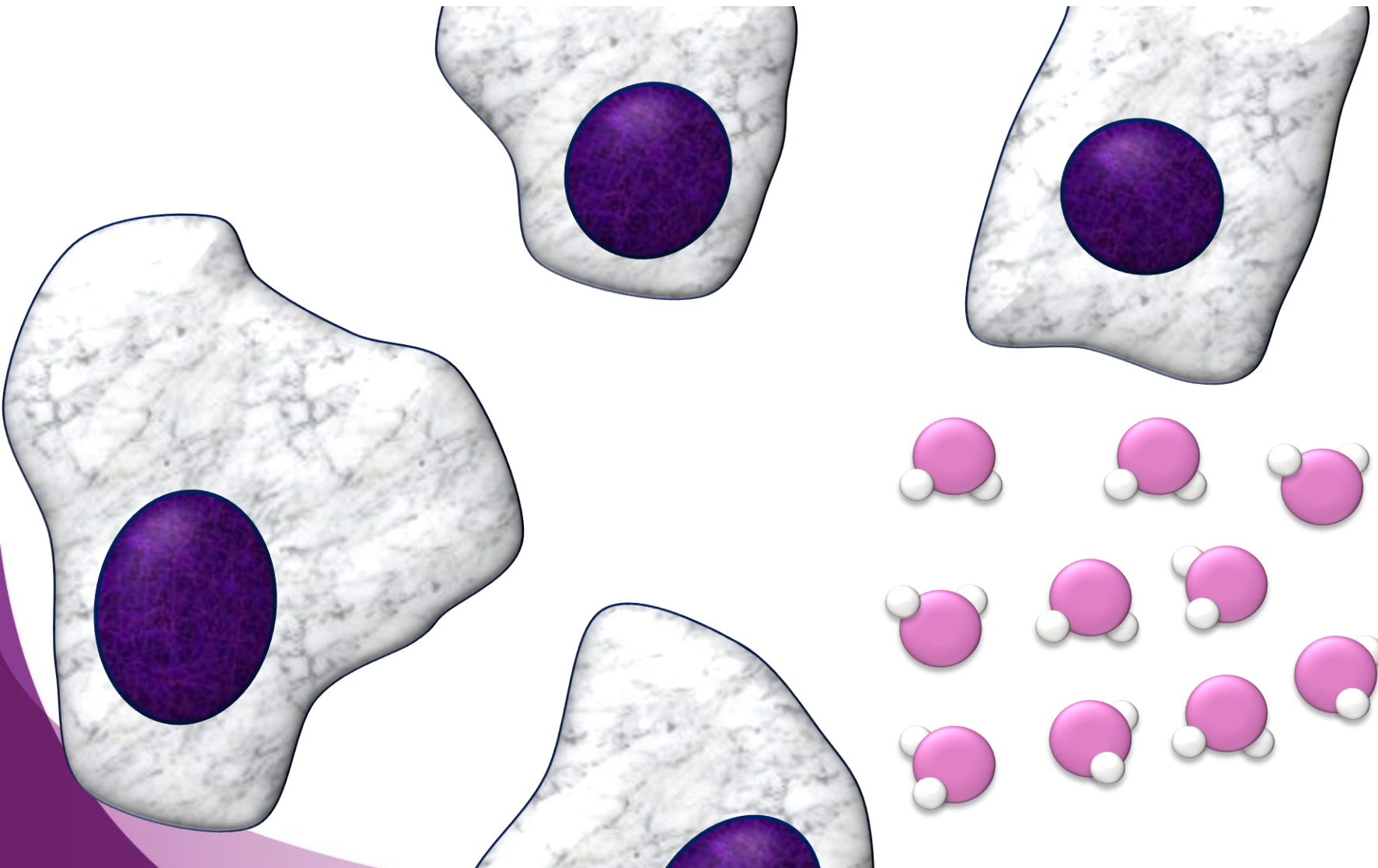
# DWI images – ADC maps

- High cellularity – Lower Apparent Diffusion Coefficient (ADC)



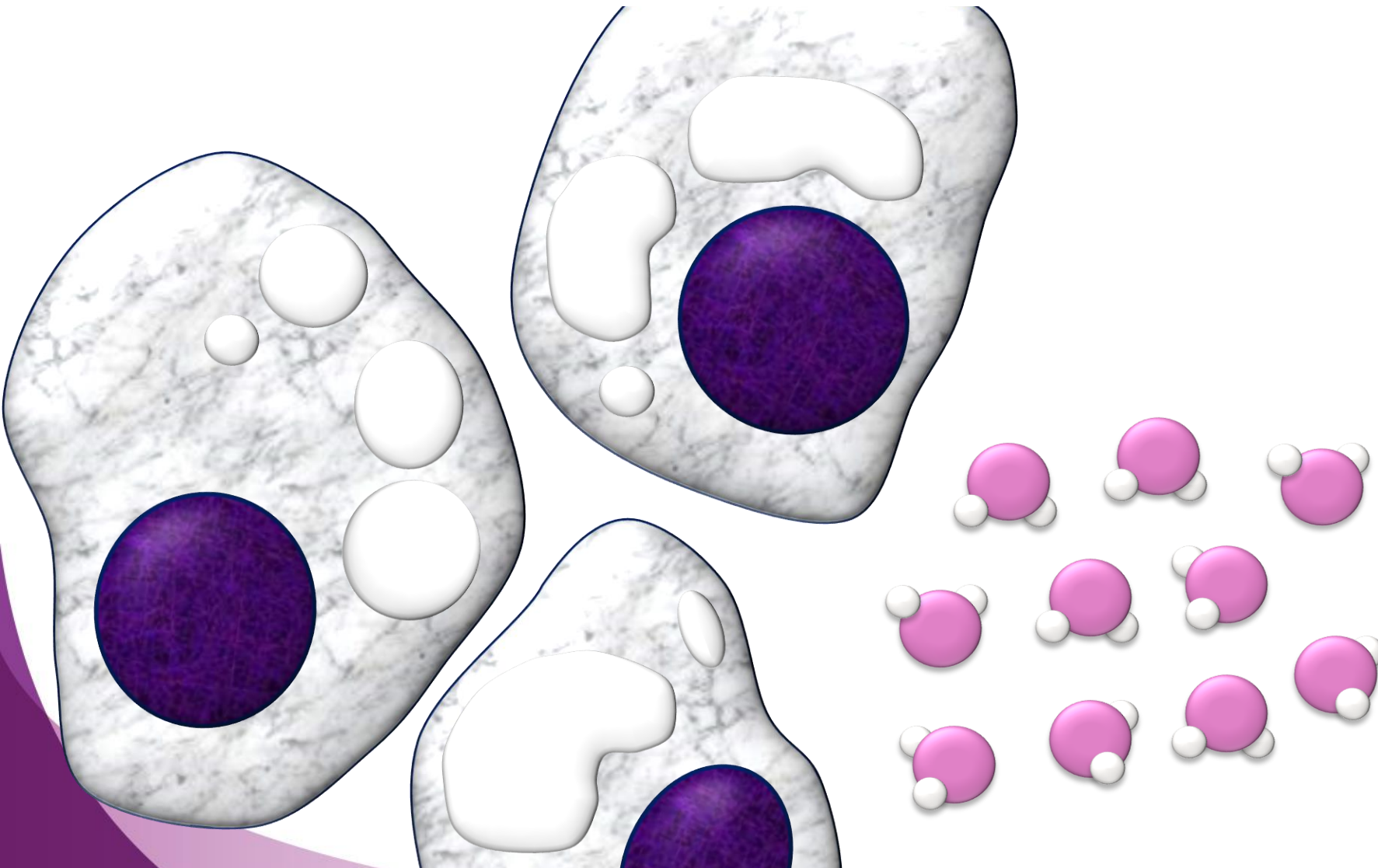
# DWI images – ADC maps

- Low cellularity – Higher Apparent Diffusion Coefficient (ADC)



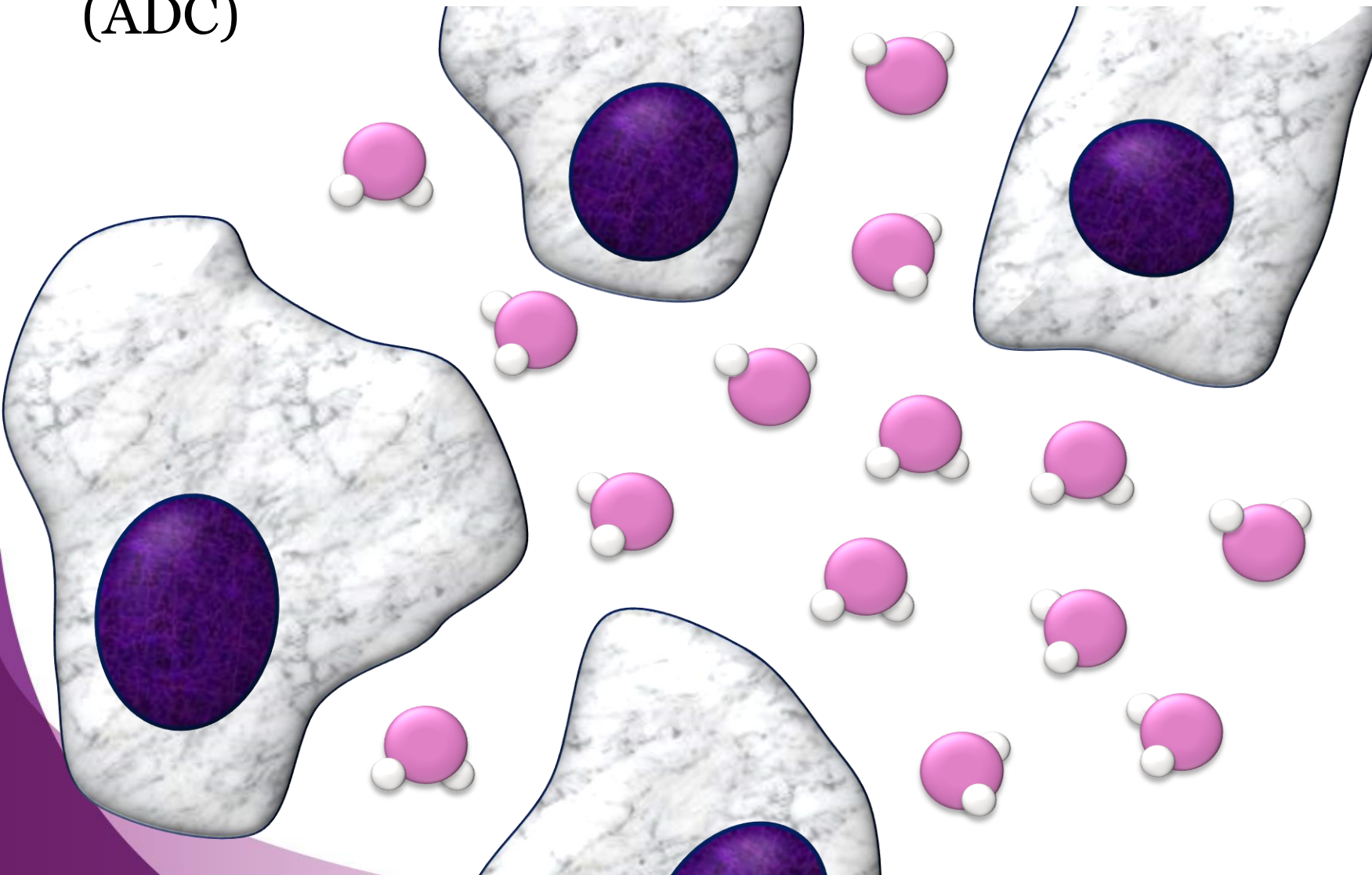
# DWI images – ADC maps

- Intracellular edema – Lower Apparent Diffusion Coefficient (ADC)



# DWI images – ADC maps

- Extracellular edema – Higher Apparent Diffusion Coefficient (ADC)



# DWI images – ADC maps

- ADC mapping allows to obtain more informations on the biological “nature” of the tissues
  - Acute lesion (ischemic) → oedema → ▼ ADC
  - Chronic lesion (post-ischemic) → relaxing tissues → ▲ ADC
  - Neoplastic lesions → high cellularity → ▼ ADC
  - Neoplastic lesions → necrosis → ▲ ADC



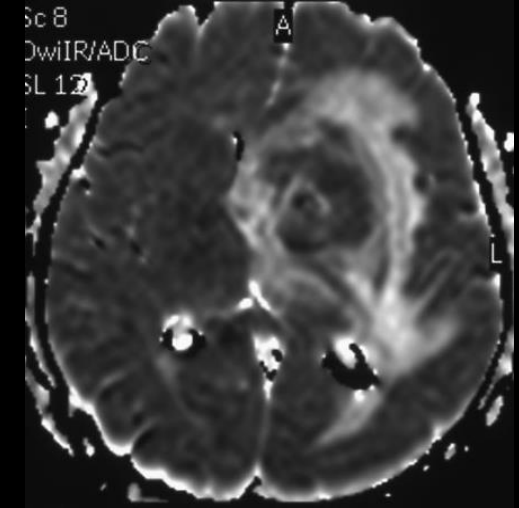
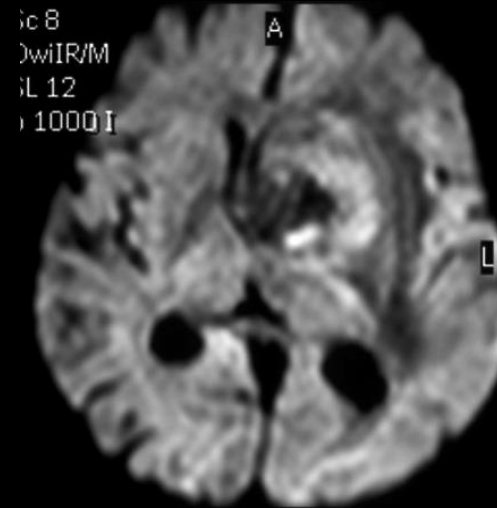
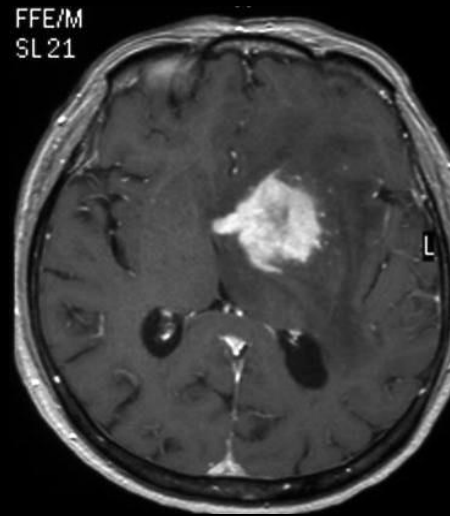
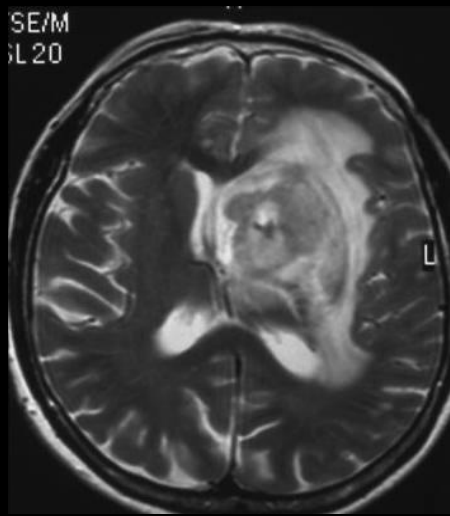
# DWI images – ADC maps

T2 low signal

CE

DWI

▼ADC



High cellularity



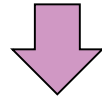
Primary Brain Lymphoma

*Courtesy of C. Colosimo. Inst. of Radiology/Neuroradiology.  
UCSC - Rome*

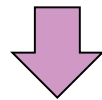
# New MRI imaging modalities and radiotherapy planning

- When using new MRI imaging modalities?

1. Refining the GTV (**targeting**)



- Dose escalation protocols



- Dose distribution-imaging adaptation for simultaneous or sequential boost treatments

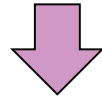
2. Direct **planning** on MRI images

3. Hybrid machines

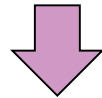
# New MRI imaging modalities and radiotherapy planning

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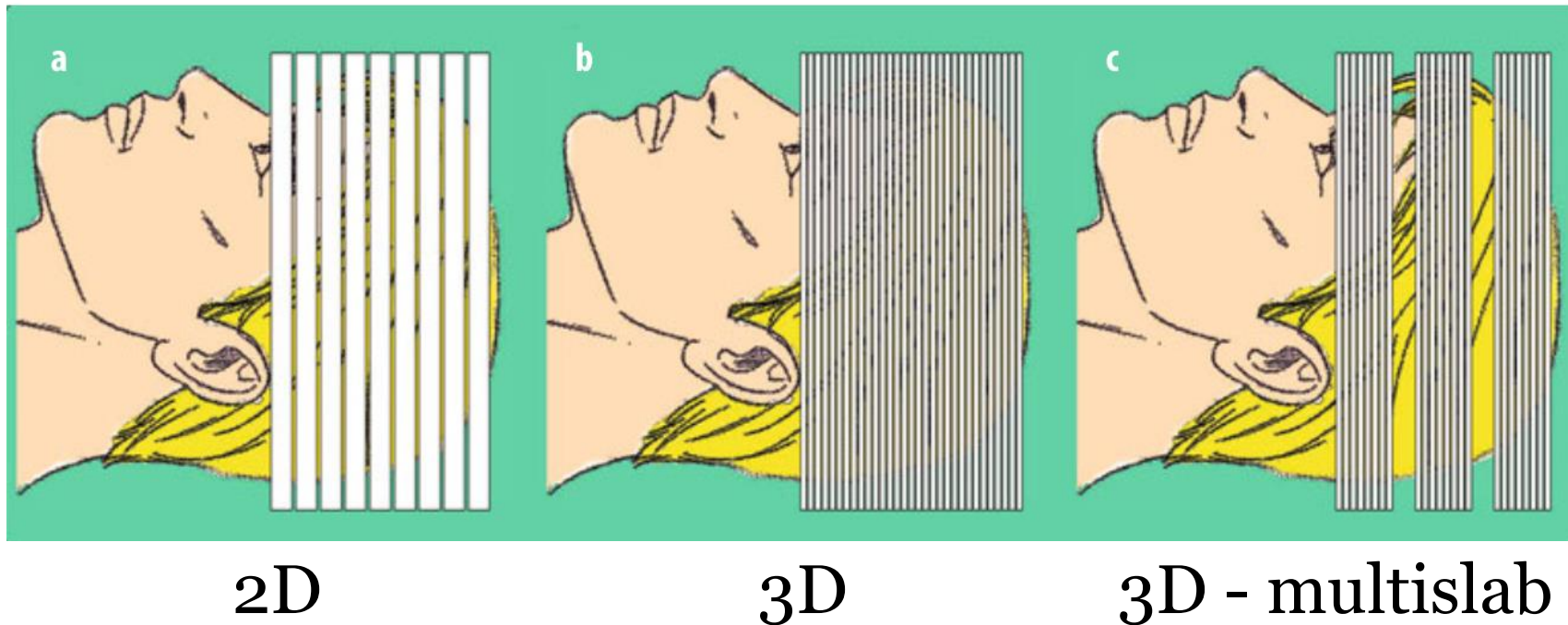
2. Direct **planning** on MRI images

3. Hybrid machines

# Is there a specific image sequence useful for planning?

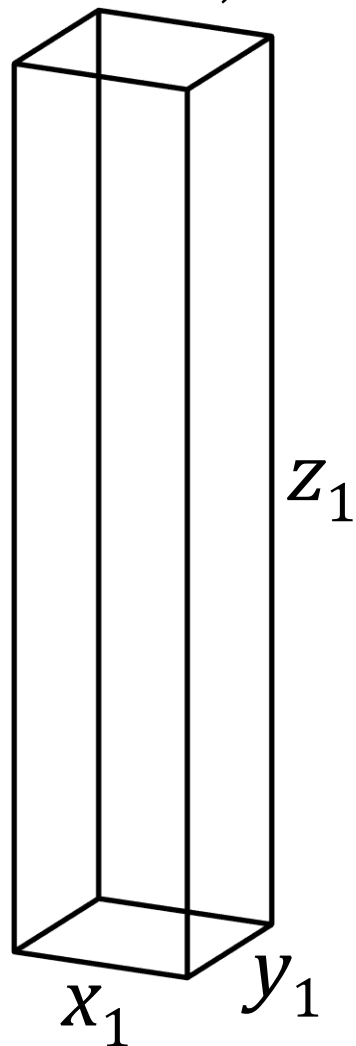
## useful for planning?

- Images for planning procedures require:
  - Correct geometry
  - Adequate spatial resolution
  - Visibility and enhancement of GTV



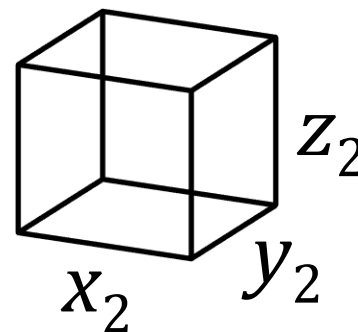
# Is there a specific image sequence useful for planning?

$$z_1 \gg x_1, y_1$$



2D

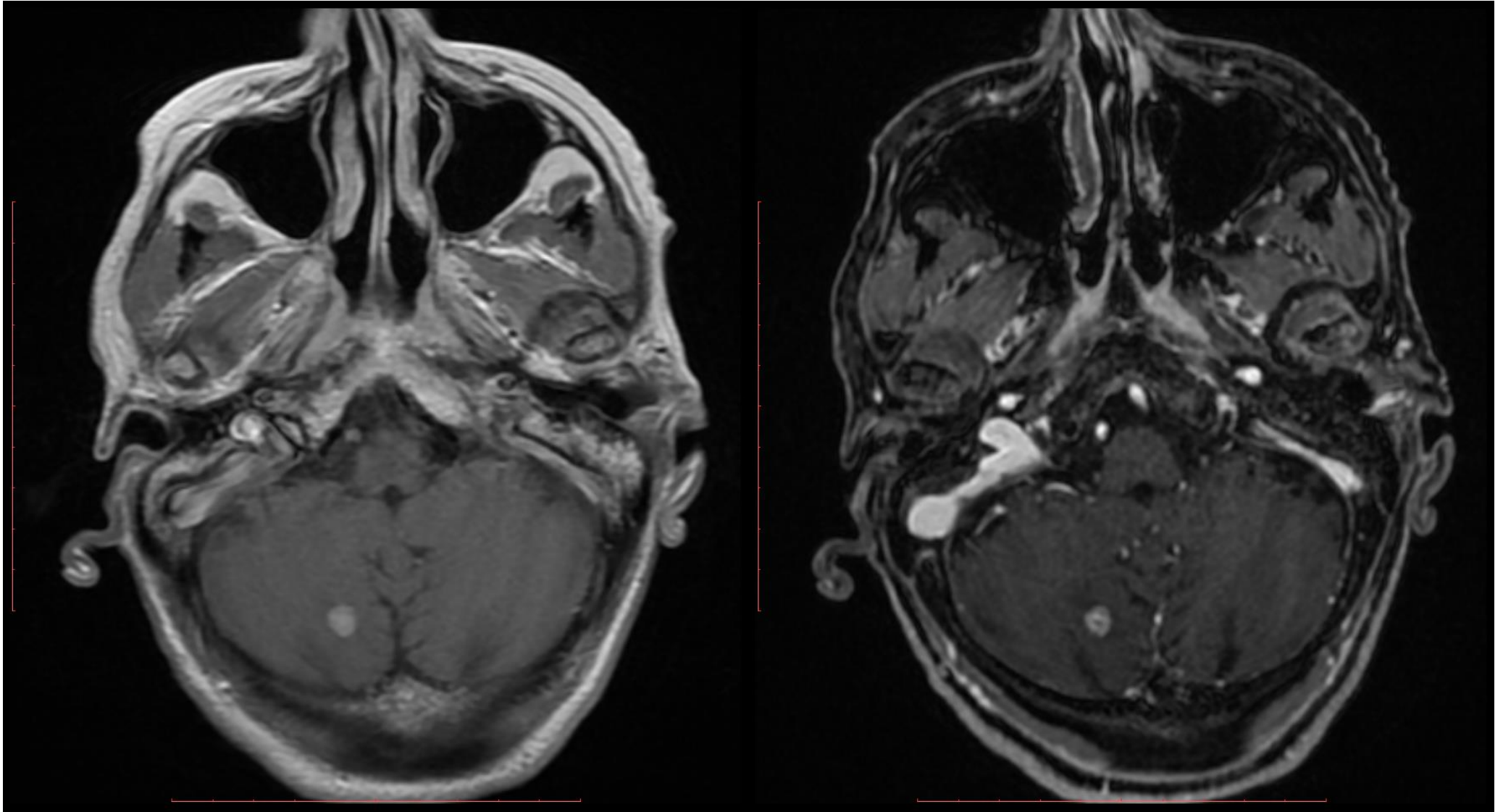
$$z_2 = x_2, y_2$$



3D

$$x_1 < x_2$$

Is there a specific image sequence useful for planning?



2D – T1c


3D – FSPGR  
(fast spoiled gradient echo)

# Is there a specific image modality useful for planning?

- Switch screen

# Partial volume artifact

## Z coordinates Signal Intensity



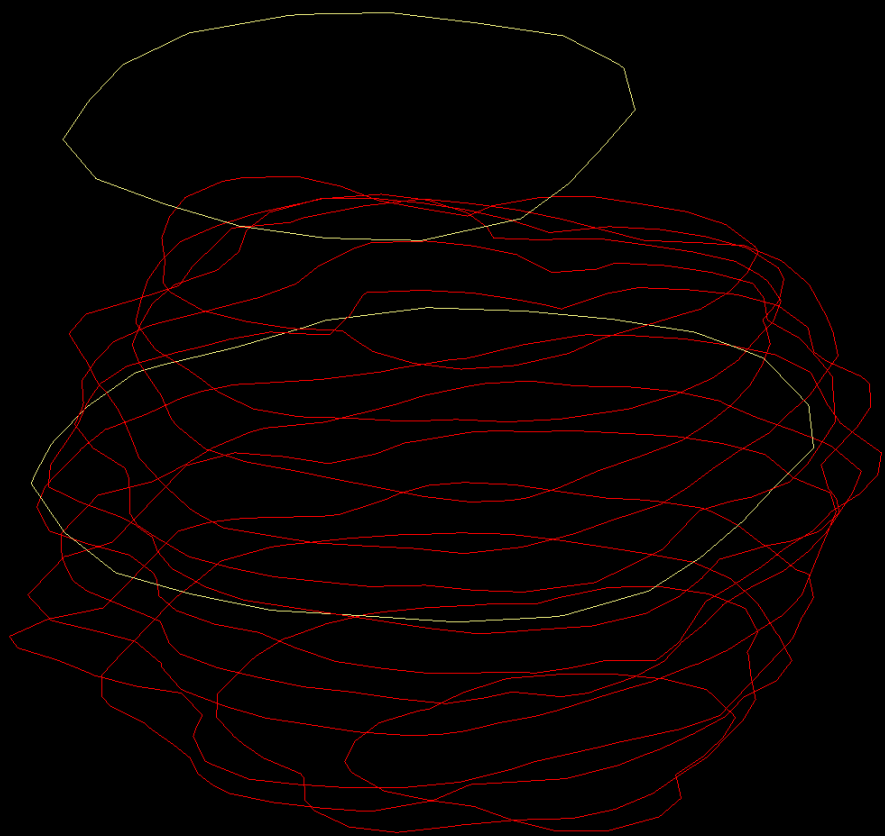
FSPGR z	FSPGR val	T1c val	T1c z
0	10	68	0
0,5	12	68	0
1	15	68	0
1,5	27	68	0
2	28	68	0
2,5	26	68	0
3	168	68	0
3,5	258	68	0
4	285	160,375	4
4,5	284	160,375	4
5	274	160,375	4
5,5	223	160,375	4
6	78	160,375	4
6,5	64	160,375	4
7	52	160,375	4
7,5	23	160,375	4
8	10	22,5	8
8,5	7	22,5	8
9	12	22,5	8
9,5	78	22,5	8
10	15	22,5	8
10,5	33	22,5	8
11	15	22,5	8
11,5	10	22,5	8

Consider a voxel that contains fractional amounts  $f_A$  and  $f_B$  of two materials, A and B. The MR signal from the entire voxel ( $SV$ ) will then reflect the **weighted average** of signals  $S_A$  and  $S_B$  from the two components

$$SV = f_A S_A + f_B S_B$$

Imperfect RF-pulse profiles may also cause to partial volume effects by exciting tissues outside the desired slice. When multiple slices are placed side, this interference is known as **cross-talk**.





Wires



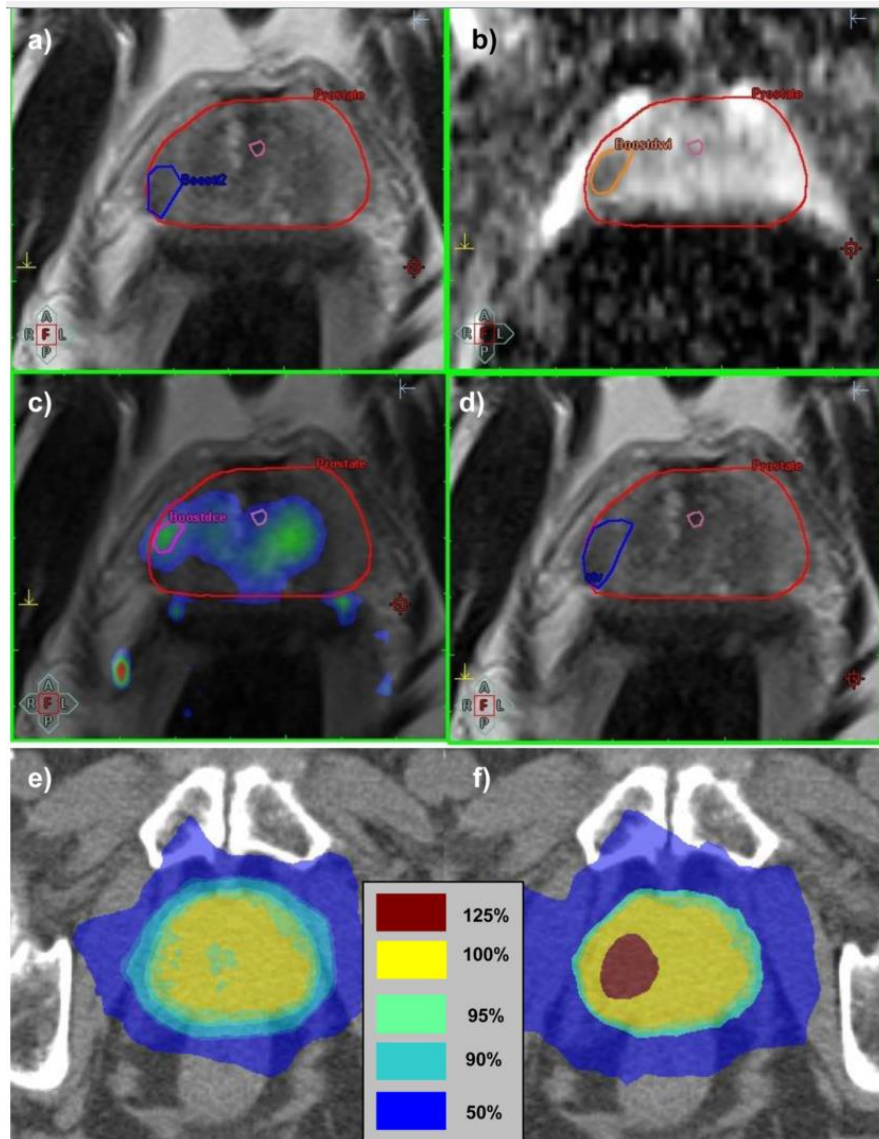
3D model

# 1. MRI for targeting: prostate

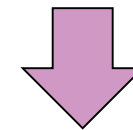
- Prostate cancer treatment
  - Boosting dominant intraprostatic lesions (DILs) in the context of stereotactic ablative radiation therapy (SABR)
  - T2-weighted, dynamic contrast-enhanced and diffusion-weighted magnetic resonance imaging
  - Prostate planning target volume (PTV) prescription: 42.7 Gy in 7 fractions (6.1 Gy/fr)
  - Median PTV<sub>DIL</sub> prescription: 125% (range: 110%-140%)

*LJ Murray et al. Prostate Stereotactic Ablative Radiation Therapy Using Volumetric Modulated Arc Therapy to Dominant Intraprostatic Lesions. Int J Radiation Oncol Biol Phys, Vol. 89, No. 2, pp. 406e415, 2014*

# 1. MRI for targeting: prostate



(a) T2w CTV  
(b) DWI CTV  
(c) DCE CTV } (d) Combined CTV



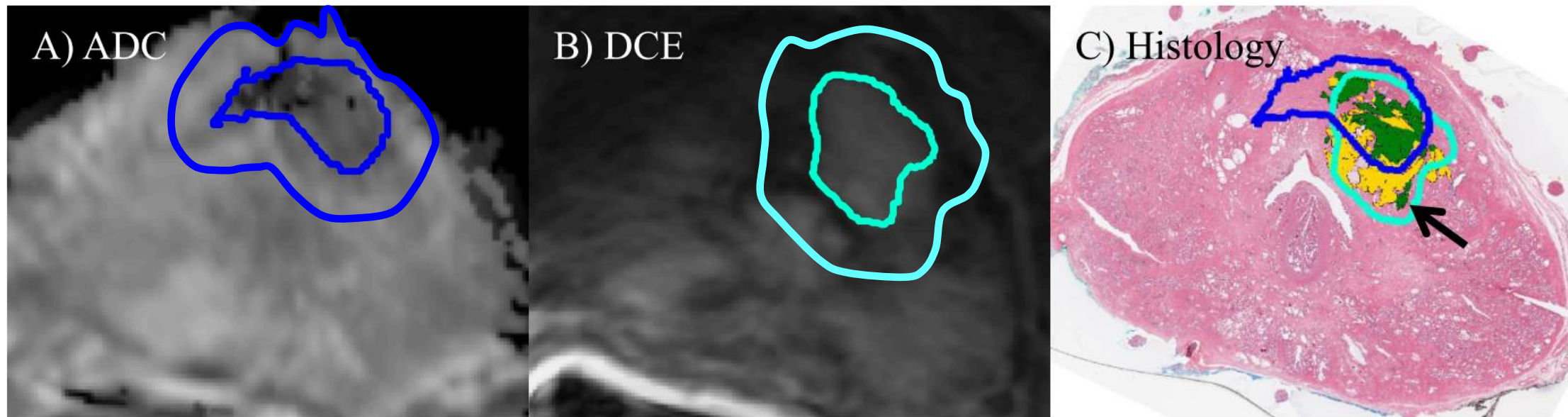
(e) Planning without  $PTV_{DIL}$   
(f) Planning with  $PTV_{DIL}$

Technically feasible

Uncertainties due to image  
registration and positioning

*LJ Murray et al. Prostate Stereotactic Ablative Radiation Therapy Using Volumetric Modulated Arc Therapy to Dominant Intraprostatic Lesions. Int J Radiation Oncol Biol Phys, Vol. 89, No. 2, pp. 406e415, 2014*

# 1. MRI for targeting: prostate



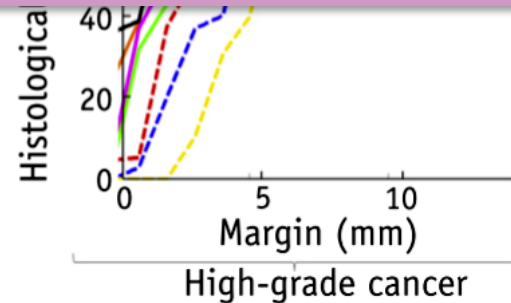
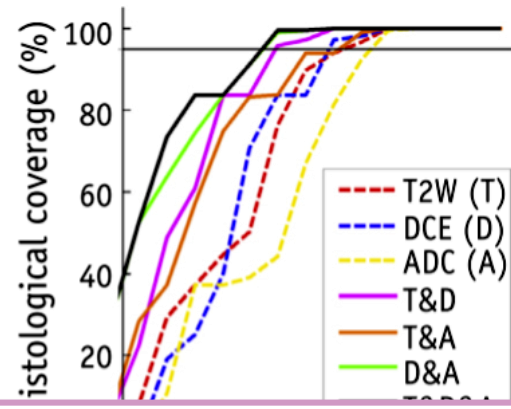
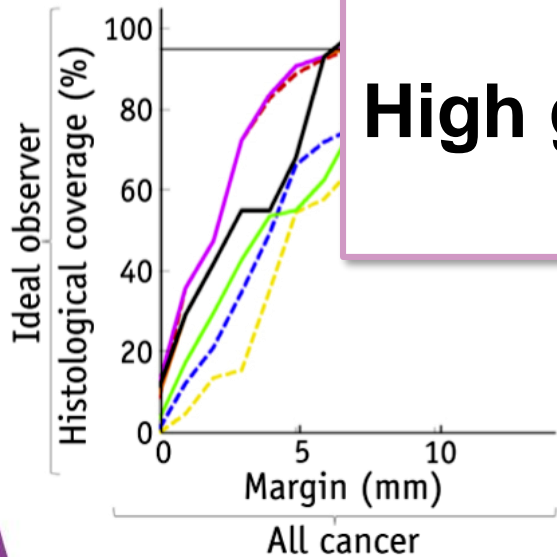
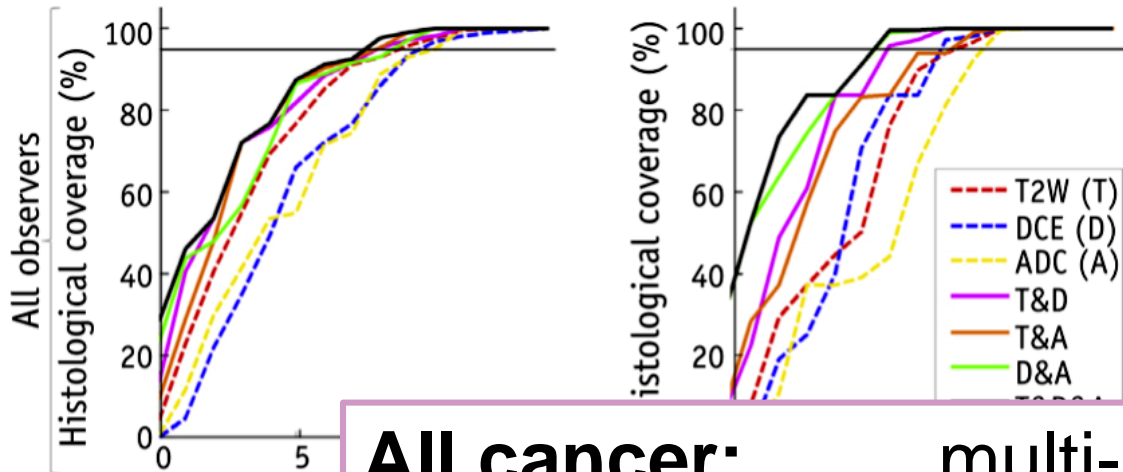
**A. ADC GTV**

**B. DCE GTV**

**C. Histology reference GTV: Gleason 7, Gleason 6**

*Gibson E, Bauman GS, Romagnoli C, et al. Toward Prostate Cancer Contouring Guidelines on Magnetic Resonance Imaging: Dominant Lesion Gross and Clinical Target Volume Coverage Via Accurate Histology Fusion. Int J Radiat Oncol. 2016;96:188–196.*

# 1. MRI for targeting: prostate



**All cancer:** multi-modality **8 mm**  
 single-modality **9-10 mm**  
**High grade:** multi-modality **6-9 mm**  
 single-modality **9-10 mm**

**Table 2** Isotropic margins to cover 95% of histologic cancer for prospective case and proportion of prostate tissue spared by CTVs with such margins

CTV type	All cancer		High-grade cancer	
	95% Coverage margin, mm	Median spared tissue, %	95% Coverage margin, mm	Median spared tissue, %
T, A, and D	8	58-60	6	68-79
T, A, and D	8	55-68	6	71-81
T, A, and D	9-10	70-80	6	60-71
T, A, and D	6-9	53-67	6	64-77

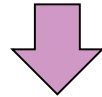
Spared tissue is reported as the range (across observers) of the median proportion of prostate tissue not covered by CTVs. These 95% coverage margins account for interobserver and intertumor variability in the required boundary expansions, as necessary for development of guidelines to be used for future patients in prospective studies. CTV types are denoted by magnetic resonance imaging sequence (combinations of T, A, and D).

*Gibson E, Bauman GS, Romagnoli C, et al. Toward Prostate Cancer Contouring Guidelines on Magnetic Resonance Imaging: Dominant Lesion Gross and Clinical Target Volume Coverage Via Accurate Histology Fusion. Int J Radiat Oncol. 2016;96:188–196.*

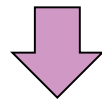
# New MRI imaging modalities and radiotherapy planning

- When using new MRI imaging modalities?

1. Refining the GTV (**targeting**)



- Dose escalation protocols



- Dose distribution-imaging adaptation for simultaneous or sequential boost treatments

2. **Direct planning on MRI images**

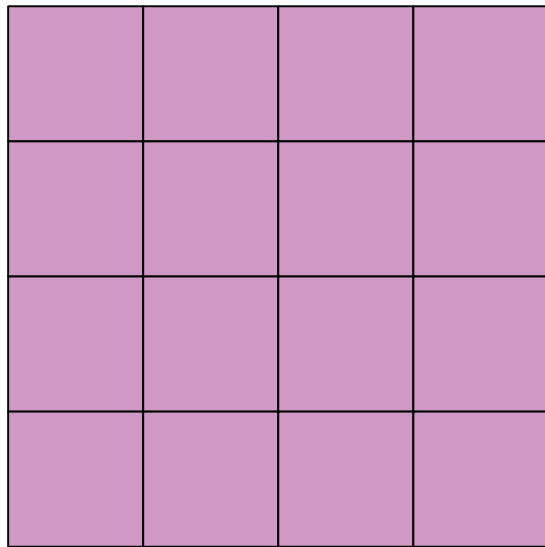
3. Hybrid machines

## 2. Direct planning on MRI images

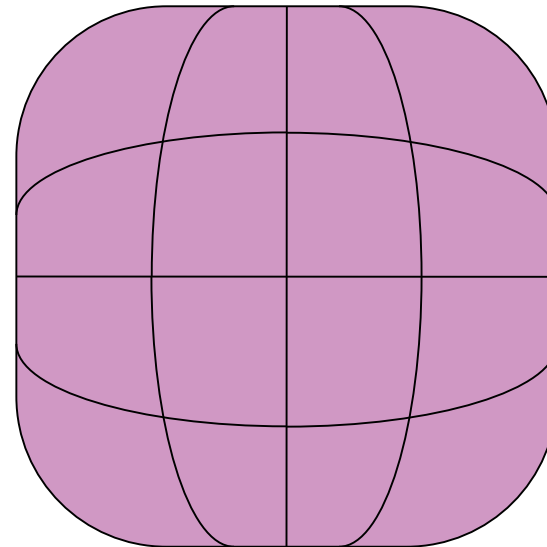
- Problems in using only MRI for planning
  1. Image **distortion**
  2. Dose calculation (lacking informations needed to reconstruct **electron density maps**)

## 2. Direct planning on MRI images

- Strategies for reduce geometry artifact due MRI images acquisition process



CT

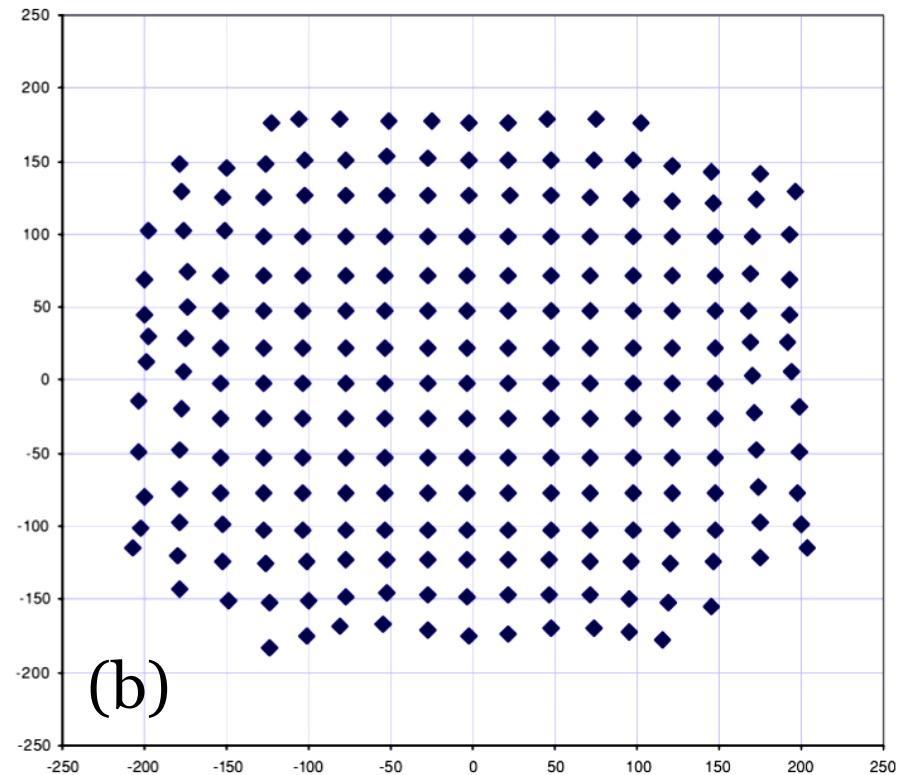
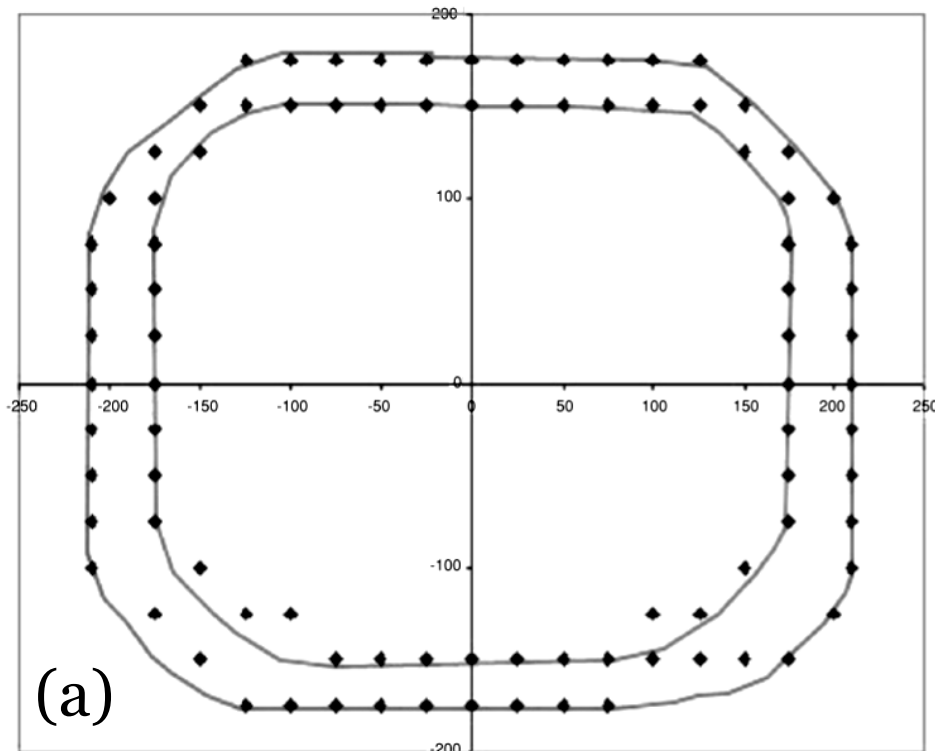


MRI



## 2. Direct planning on MRI images

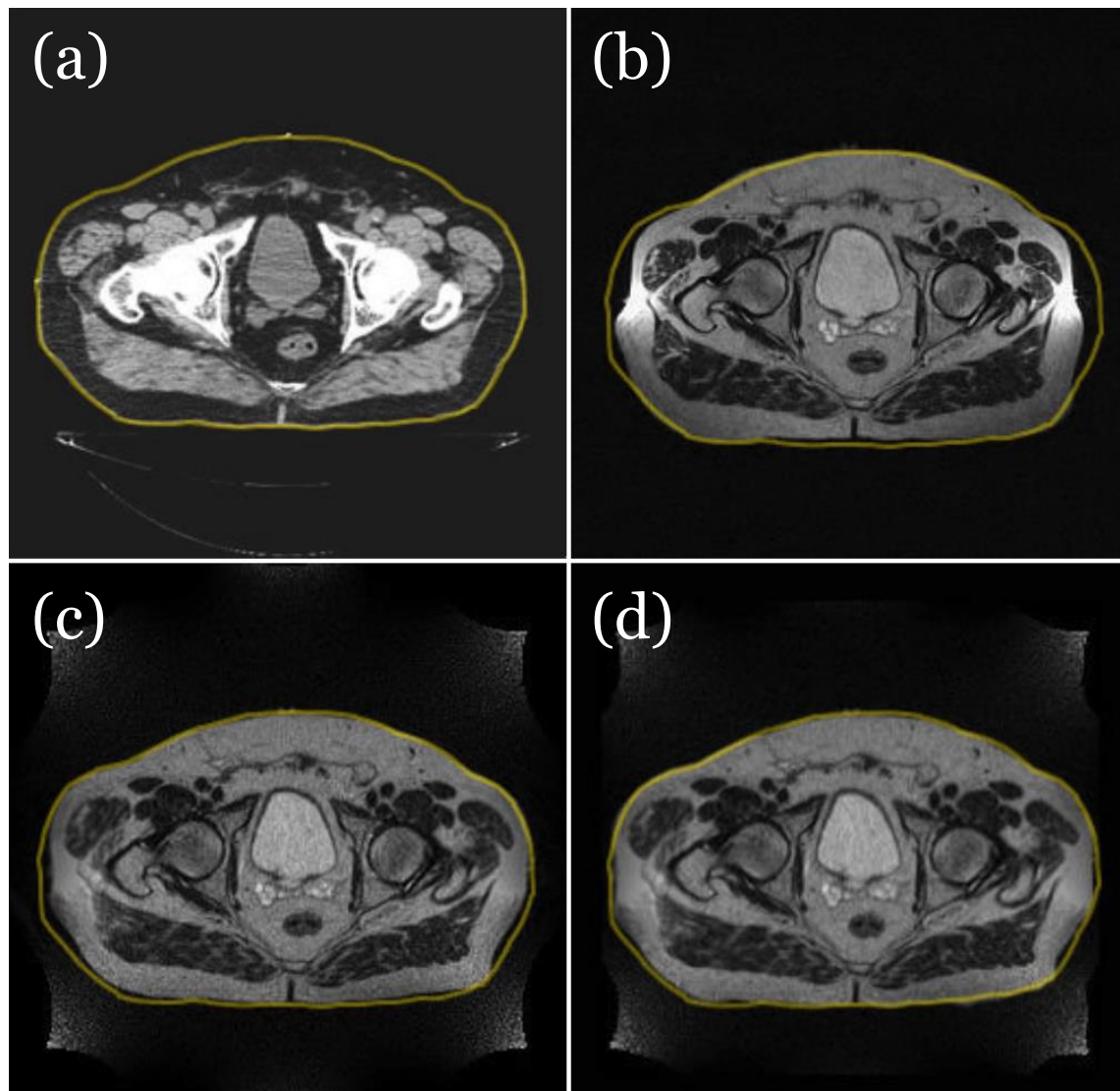
- Definition of viewable area of the scanner (a) and creation of a distortion map (b)



*Z Chen et al. Investigation of MR image distortion for radiotherapy treatment planning of prostate cancer. Phys.Med. Biol. 51 (2006) 1393–1403*

## 2. Direct planning on MRI images

- Use of scanner software and correction map for image correction
  - a) CT scan
  - b) MRI uncorrected
  - c) On-scanner correction
  - d) Distortion map correction

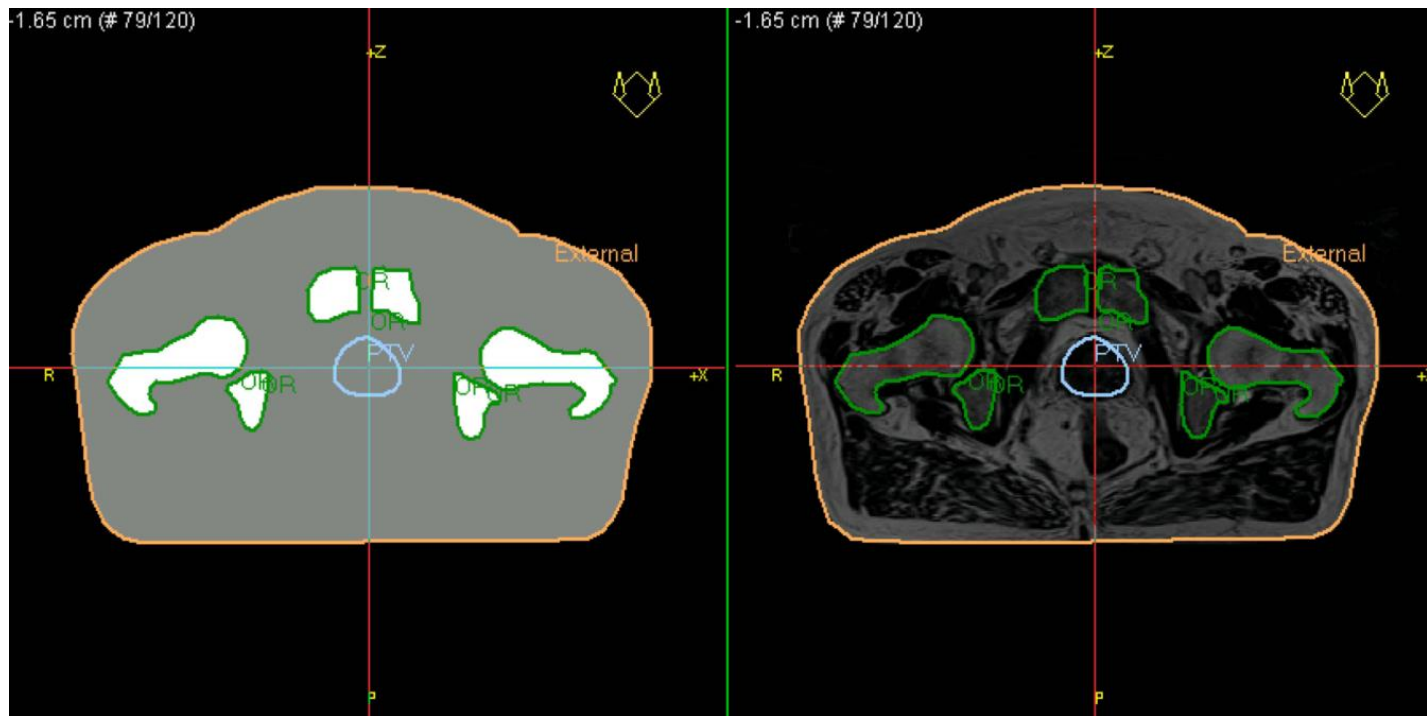


## 2. Direct planning on MRI images

- Strategies for adding informations to allow calculation of dose distribution
  - Image registration
  - Creation of **bulk-density CT images**
  - Creation of **simulated CT-images (s-CT)**

## 2. Direct planning on MRI images

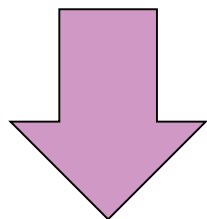
- **Bulk-density images** are synthetic CT images where the HU are simulated in a simplified way, using the anatomy in MRI to create regions to be assigned with a specific HU value



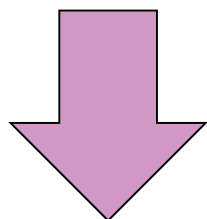
*JH Jonsson et al. Treatment planning using MRI data: an analysis of the dose calculation accuracy for different treatment regions. Radiation Oncology 2010, 5:62*

## 2. Direct planning on MRI images

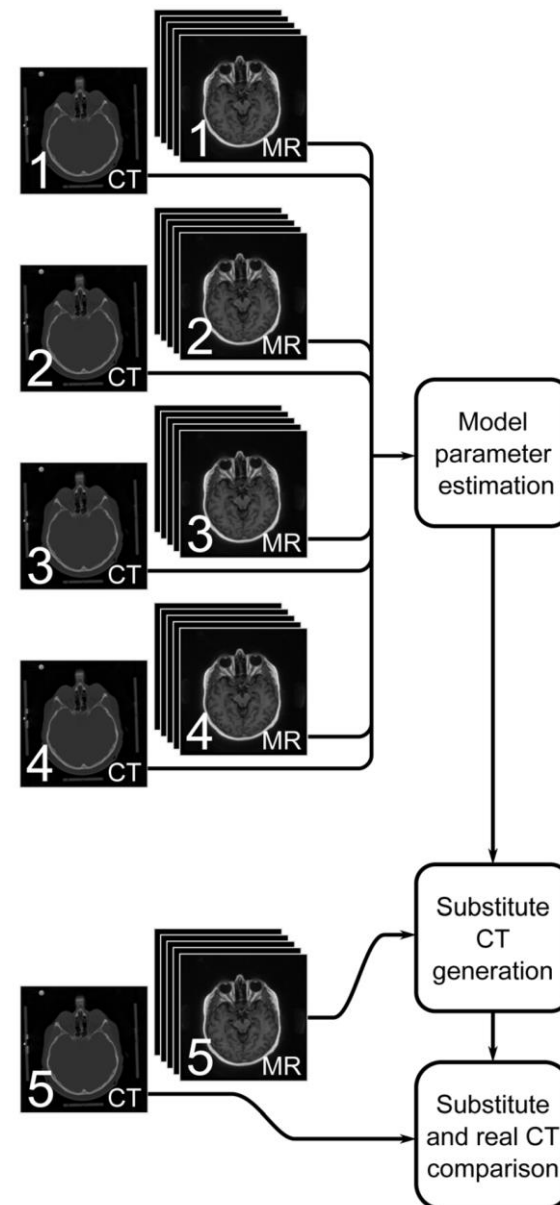
Model definition for creating simulated CT images:  
Gaussian mixture regression (**GMR**) model



Model optimization and parameters estimation

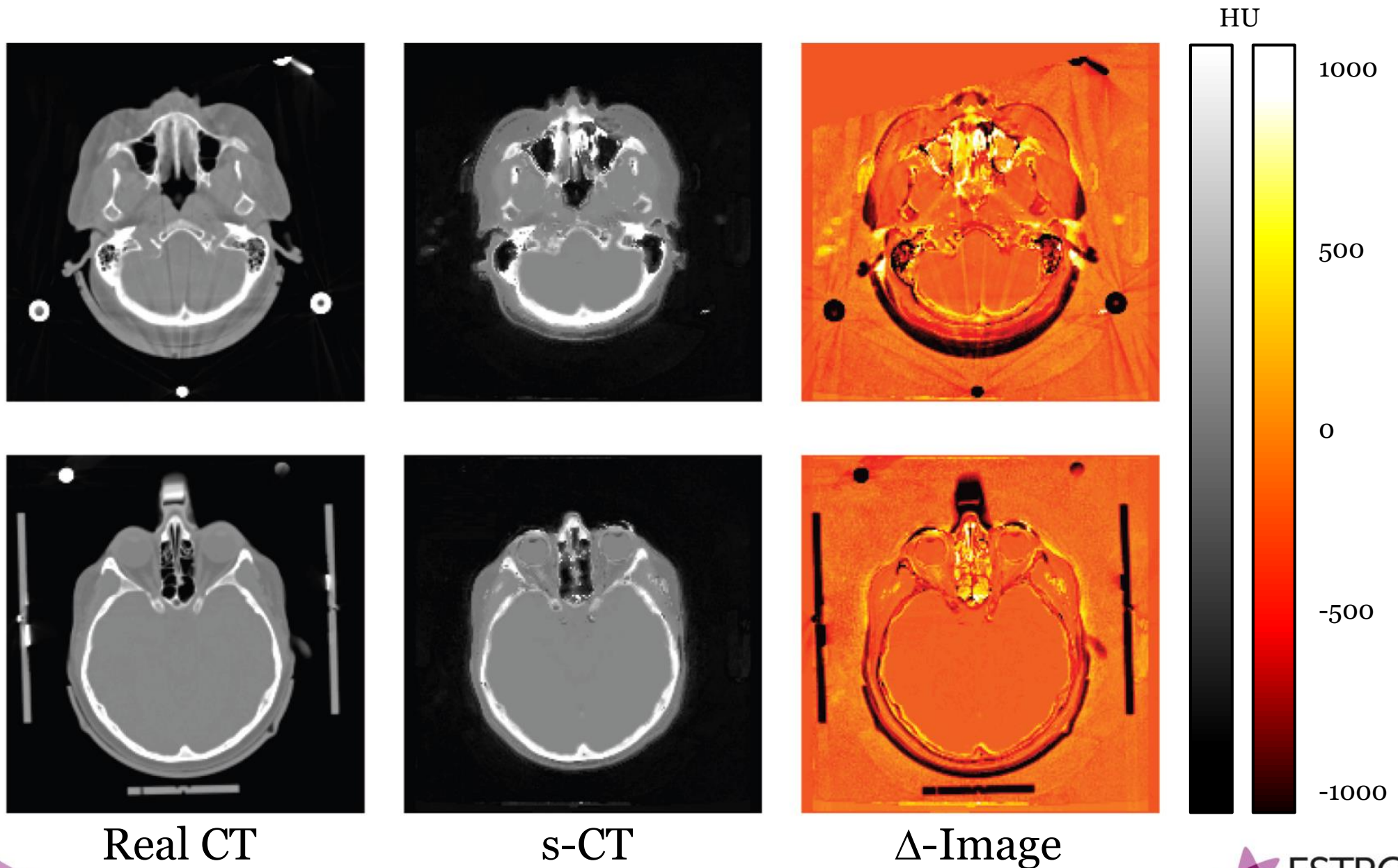


s-CT generation and model results verification



*A Johansson et al. CT substitute derived from MRI sequences with ultrashort echo time. Med. Phys. 38 (5), 2011*

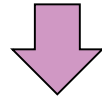
## 2. Direct planning on MRI images



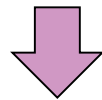
# New MRI imaging modalities and radiotherapy planning

- When using new MRI imaging modalities?

1. Refining the GTV (**targeting**)



- Dose escalation protocols



- Dose distribution-imaging adaptation for simultaneous or sequential boost treatments

2. Direct **planning** on MRI images

3. **Hybrid machines**

# MR-Linac



6 MV Linac  
(350-600 cGy/min)

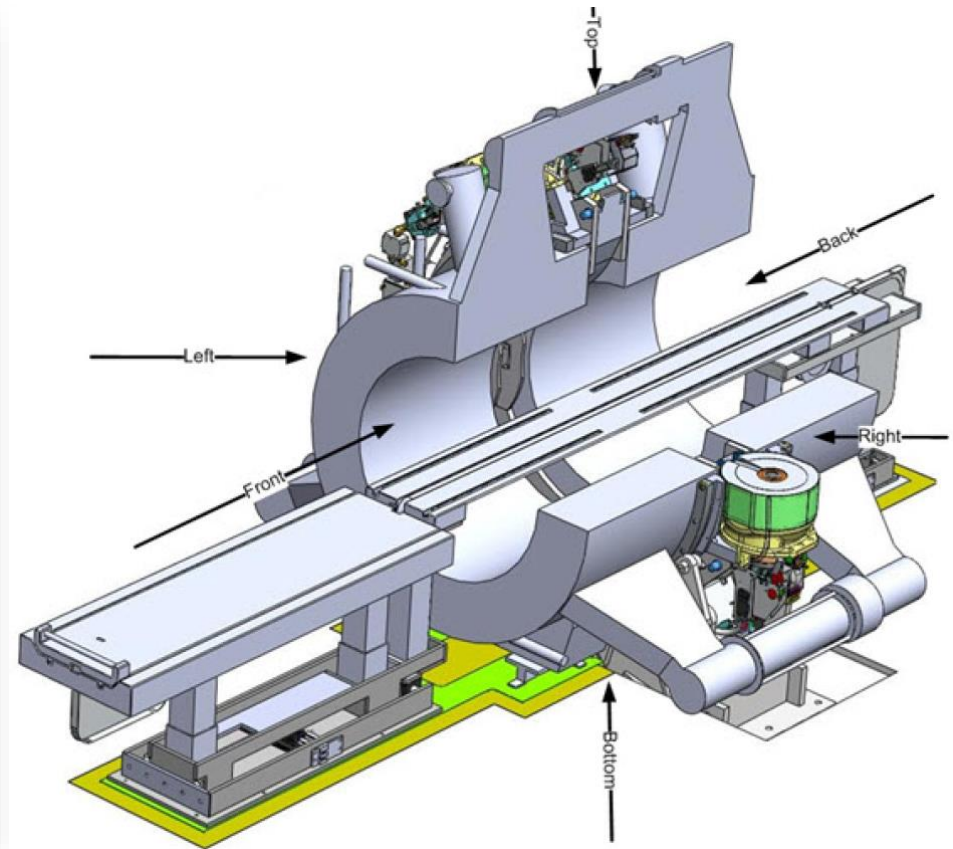
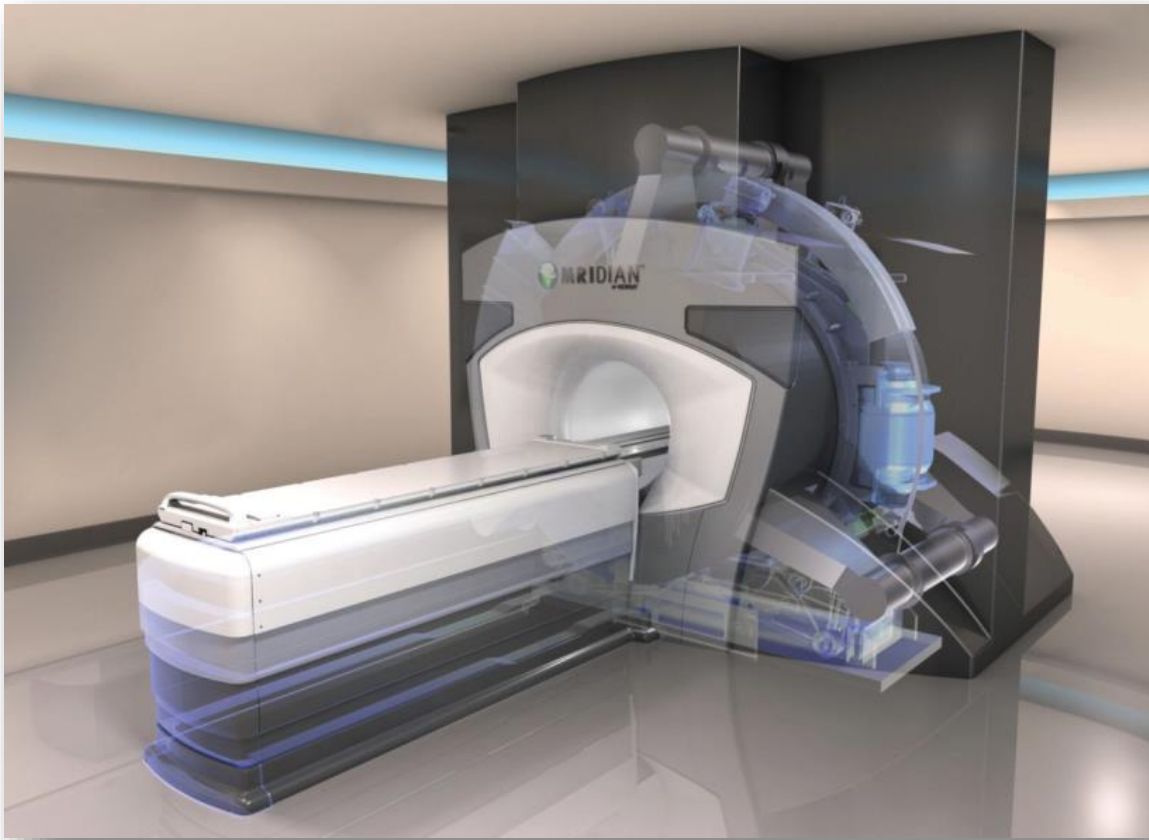
+

MRPhilips @ 1,5 T

*Raaymakers BW, et al Integrating a 1.5 T MRI scanner with a 6 MV accelerator: proof of concept. Phys Med Biol. 2009 Jun 21;54(12):N229-37.*



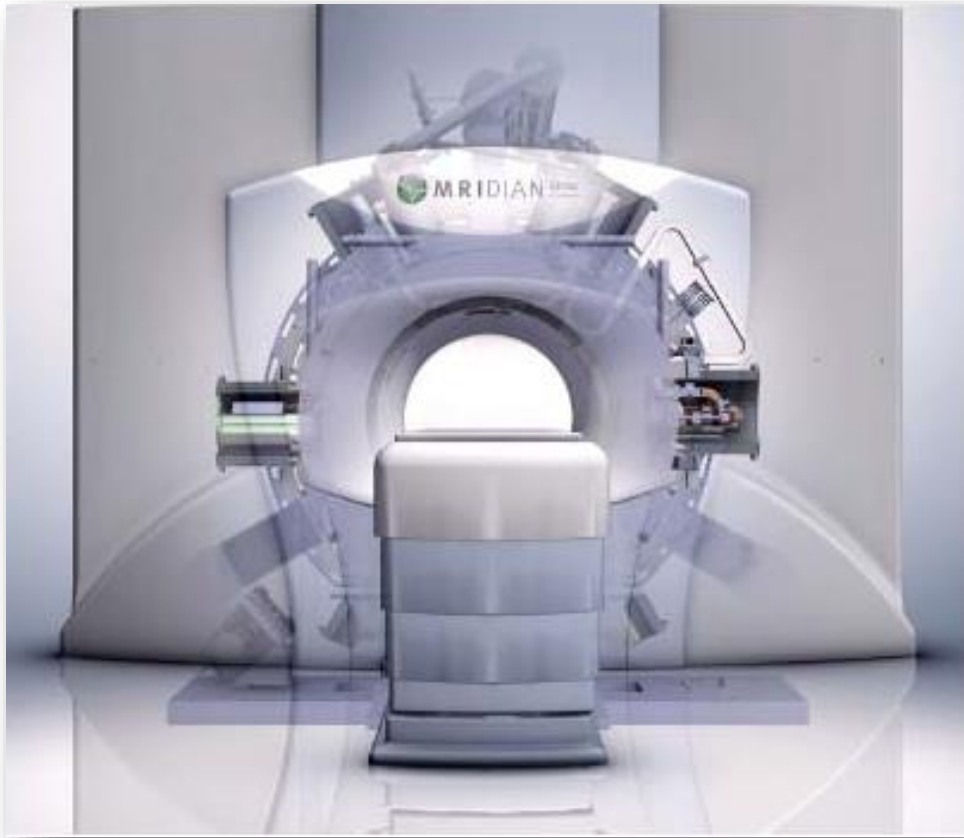
# Low Tesla MR-<sup>60</sup>Co



MR Siemens @ 0.35T  
3 <sup>60</sup>Co heads on a ring gantry

*Mutic, S. & Dempsey J. F. (2014). The ViewRay System: Magnetic Resonance-Guided and Controlled Radiotherapy. Seminars in Radiation Oncology, 24(3), 196-199.*

# Low Tesla MR – 6 MV Linac



6 MV Linac  
(FFF; Drate = 600 cGy/min)

+

MR Siemens @ 0,35 T

# MRI – <sup>60</sup>Co: imaging features

**Torso Coil half**



**Torso Coils in place**



**Head and Neck Coil half**



**Head and Neck coils in place**



*Courtesy of ViewRay: 00016 technical manual revG*

# MRI – <sup>60</sup>Co: imaging features

Scan Name	Sequence Types	Function
Pilot Scan	GRE (3D) TRUFI (3D)	Localization of anatomy and patient positioning
Planning Scan	GRE (3D) TRUFI (3D) TFL (3D)	Treatment Planning
Treatment Scan	EPI (2D) GRE (2D) TRUFI (2D)	MRIS monitoring during treatment delivery
QA	SE (2D)	SNR, uniformity, contrast, and other QA functions

GRE: Gradient Echo - Proton density, T1, T2 - 2D GRE is 25 seconds per image

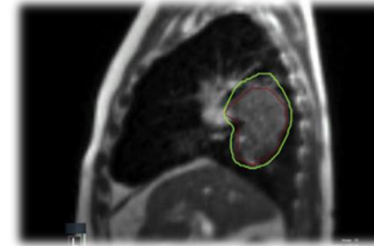
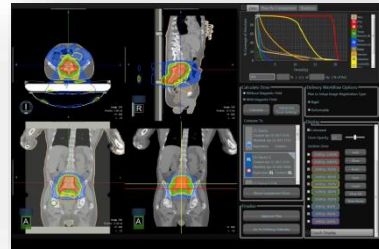
TRUFI: TRUe Fast Imaging with steady state free precession – T1, T2 – 25 sec 3D  
planning/pilot, 0.25 sec treatment scan

TFL: Turbo Flash – T1, mix T1/T2 – 3 min

EPI: Echo Planar Imaging – T2, mix T1/T2 – 0.25 sec per frame

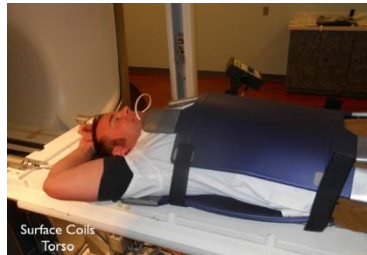
SE: Spin Echo

# ViewRay workflow



## *Simulation*

- *MR*
- *ITV estimation*
- *CT*

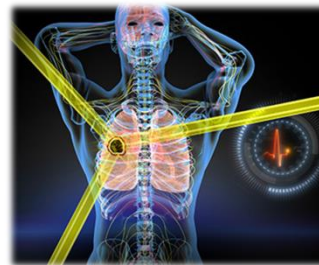


## *Planning*

- *Fusion*
- *Contouring*
- *ED Transfer*
- *Planning*
- *Dose Calculation*
- *QA*

## *Adaptive*

- *MR Imaging*
- *Coregistration*
- *Dose Prediction*
- *Re-contouring*
- *Re-planning*
- *Online QA*

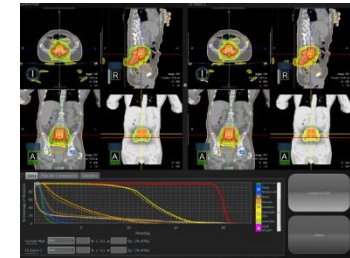


## *Delivery*

- *Tracking*
  - *Gating*
- IMRT  
Step &  
Shoot***

## *Dose Evaluation*

- *DVH sum*
- *Dose Accumulation*



# MR for planning

**Low B** **High B**


**↑ B**   **↓** *Spatial Integrity*

**↑ B**   **↑ SAR**

**↑ B**   **↑ SNR**

Diagnostic vs. Therapy MRI  
**Signal to Noise**

proportional to the field strength of the MRI  
so that for the same acquisition time SNR is  
proportional to magnetic field strength

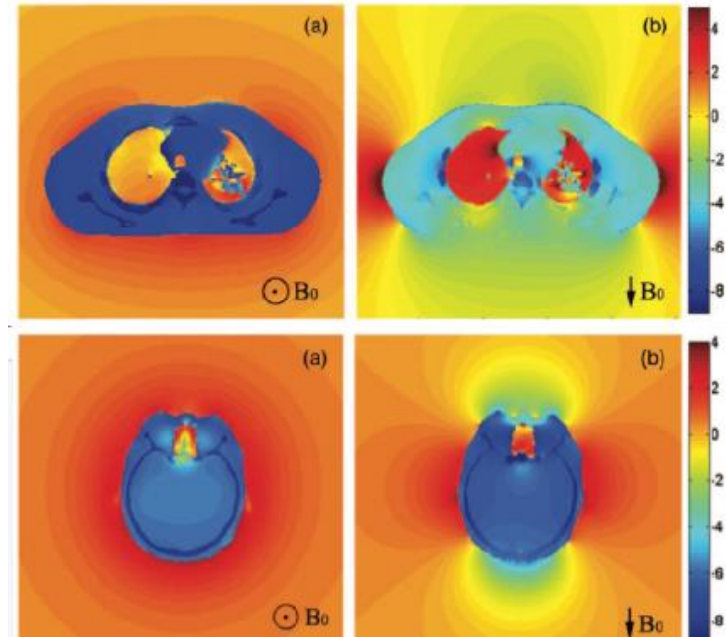


# Spatial integrity

## **Magnetic susceptibility artifacts**

**Presence of human body  
changes  $B$  uniformity**

$$\Delta x \propto \text{ppm} \cdot B$$



**Higher spatial artifacts can affect planning process**

# Spatial integrity

## Chemical Shift

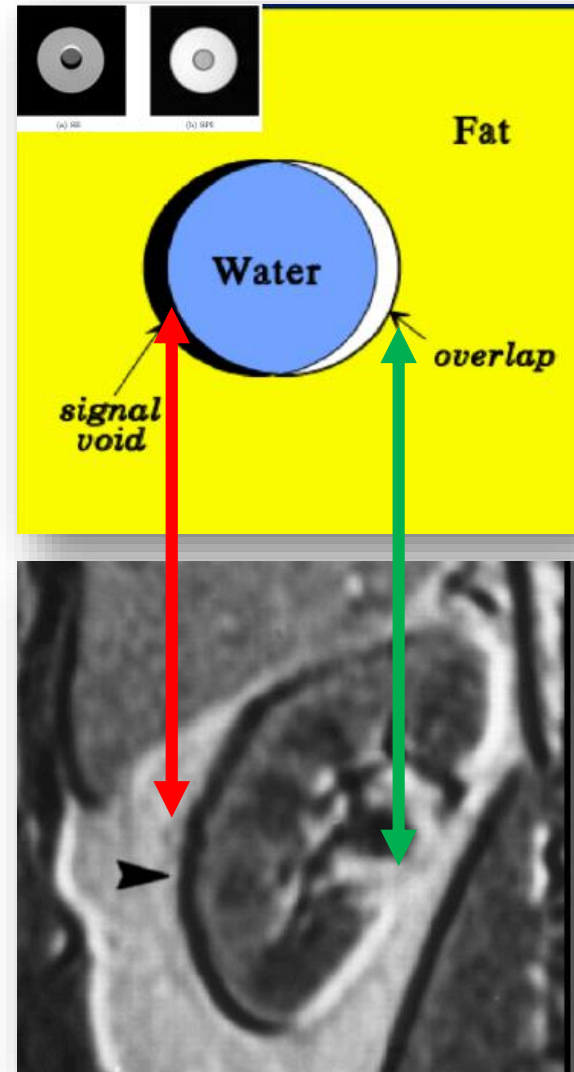
*Chemical environment can modify protons precession  $f$  producing artifacts in interfaces (water-fat)*

*This effect depends from  $B$*

*$\sim$  mm  $\rightarrow$  224 Hz @ 1,5 T*

*51 Hz @ 0,35 T*

*$\uparrow$   
 $< 1$  mm*





# SAR

## ***SAR : Specific Absorbition Rate***

*Energy absorbed during time in  
one element having mass m*

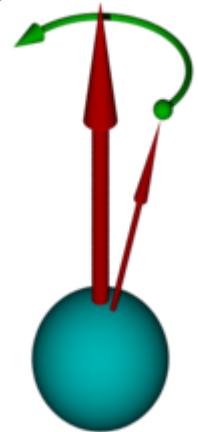
$$SAR = \frac{1}{t} \frac{E}{m} \left[ \frac{W}{kg} \right]$$

*In MR absorbition is due to Larmor frequency  
(protons precession frequency due to B)*

**14,7 MHz @ 0.35 T**

**63.86 MHz @ 1.5 T**

$$SAR(0.35 T) = \frac{1}{10} SAR(1,5 T)$$



# Gating treatment for target movements or target volume shape changes (air)



Deep Inspiration Breath Hold

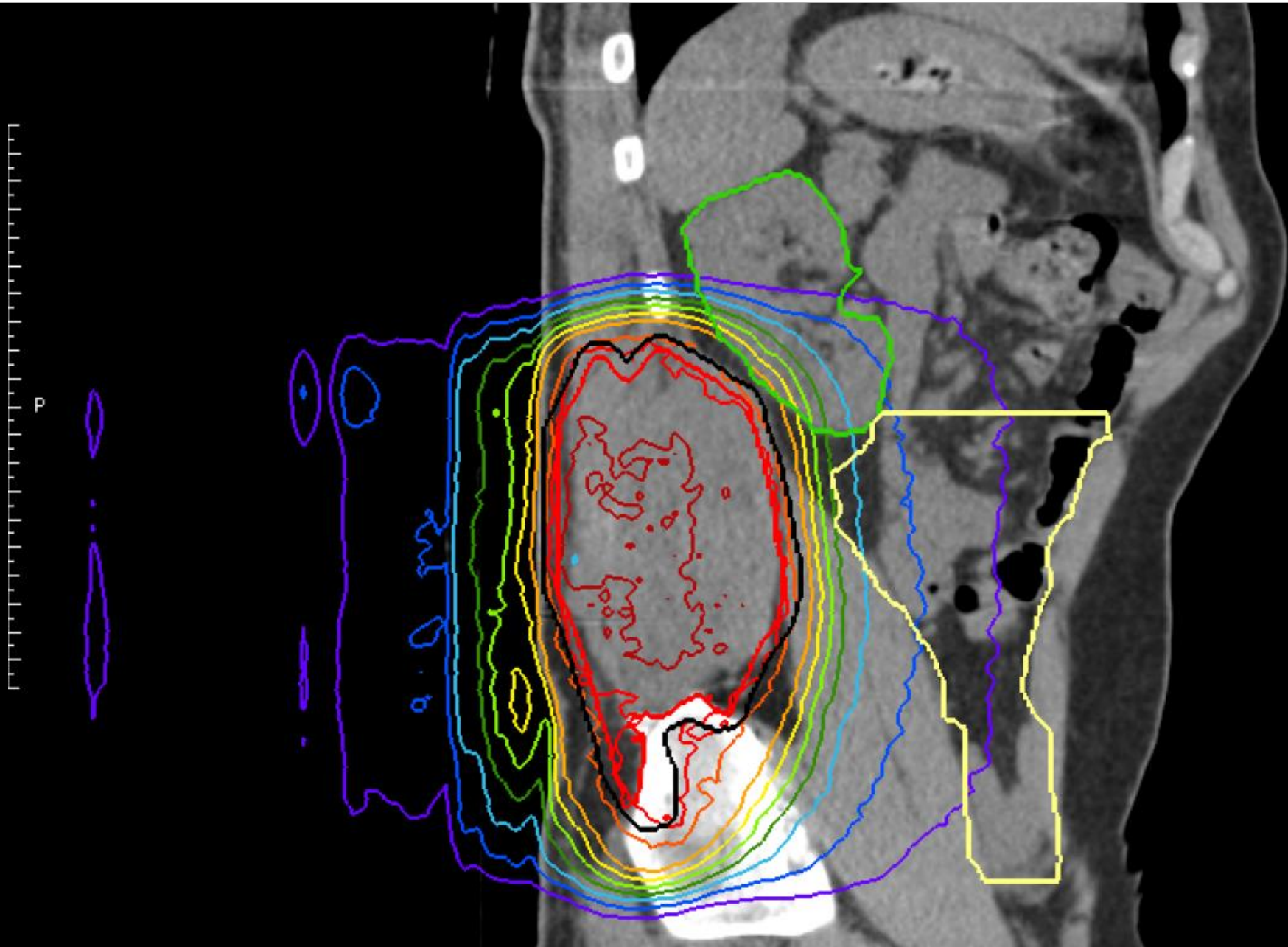




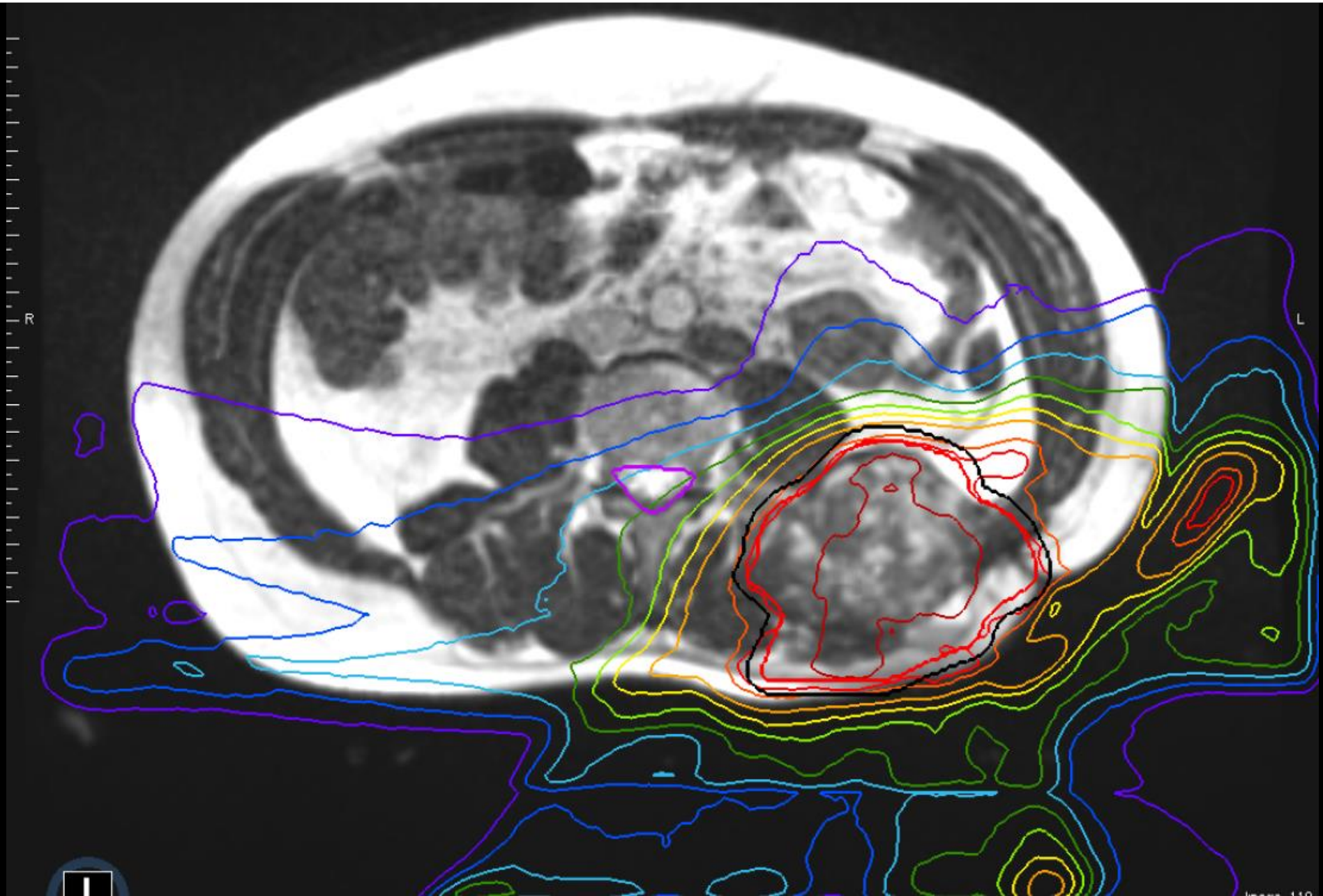
# Gating treatment for OAR movements or for PRV boundaries definition

Rhabdomyosarcoma of the back recurrence,  
near the left kidney

# Gating treatment for OAR movements or for PRV boundaries definition

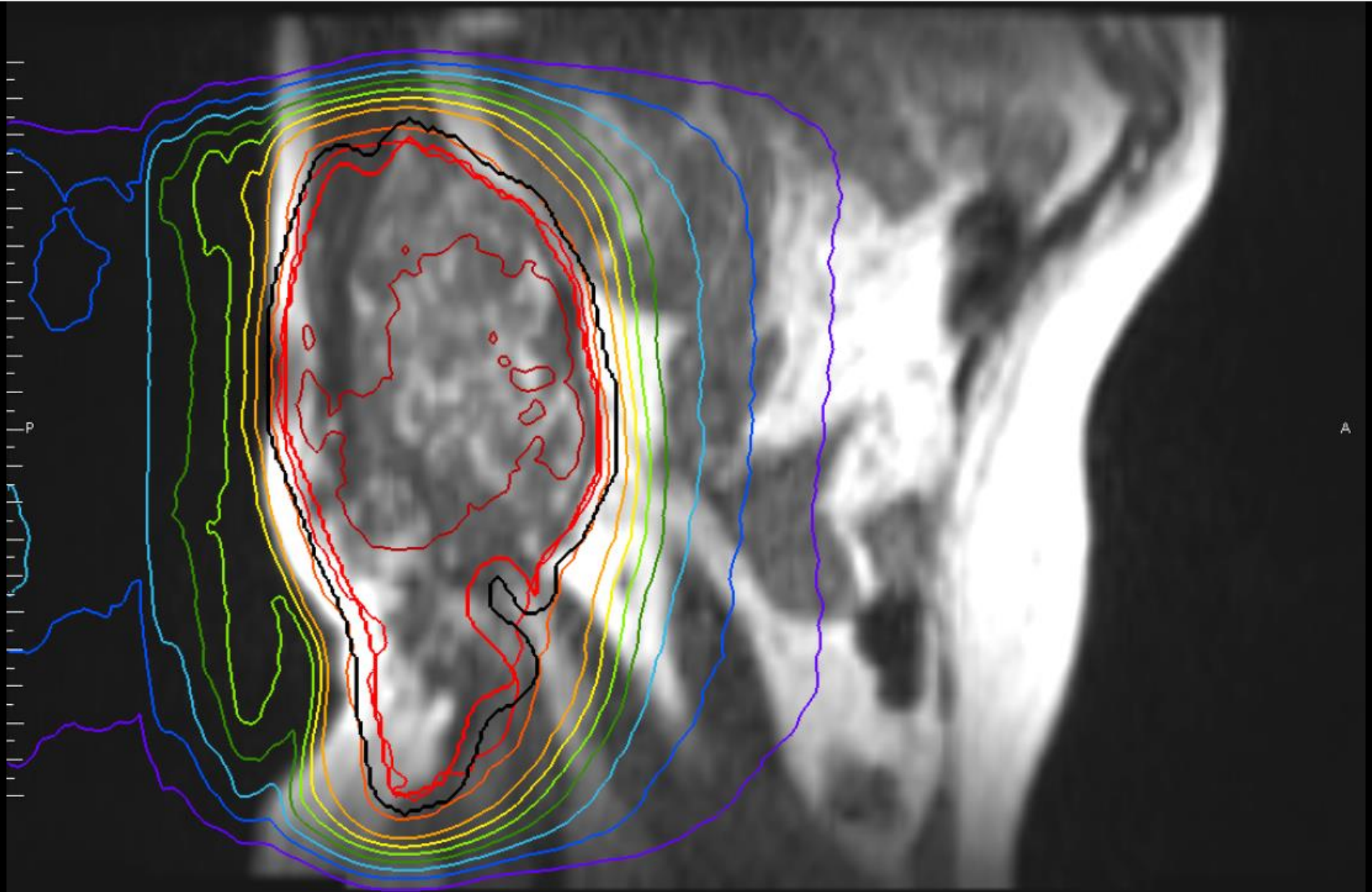


# Gating treatment for OAR movements or for PRV boundaries definition





# Gating treatment for OAR movements or for PRV boundaries definition



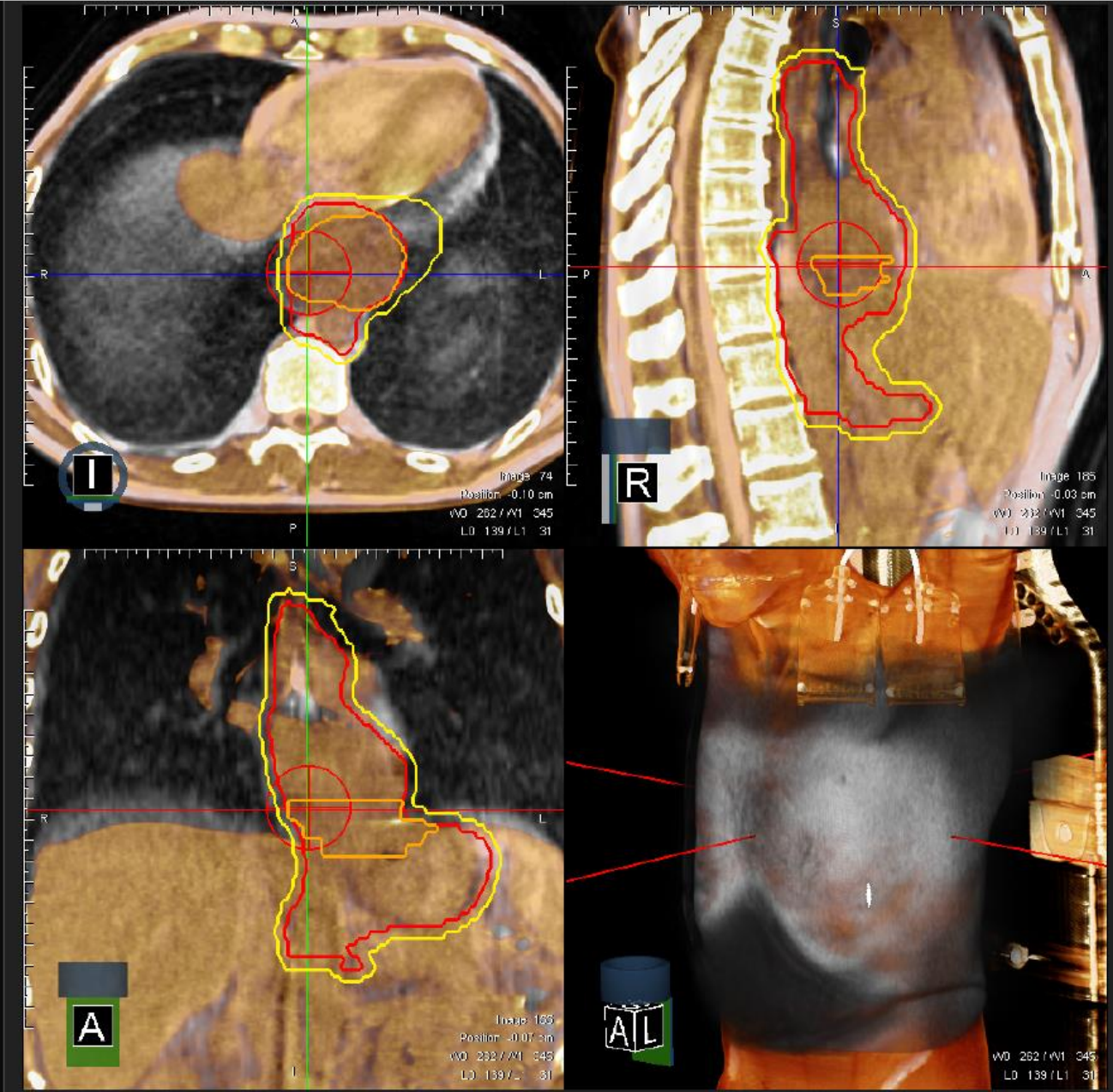


# MR for Replanning treatment

Esophageal cancer after 17 fractions

# MR for Replanning treatment

Day 1



Day 1

Day 17

# Thank you!



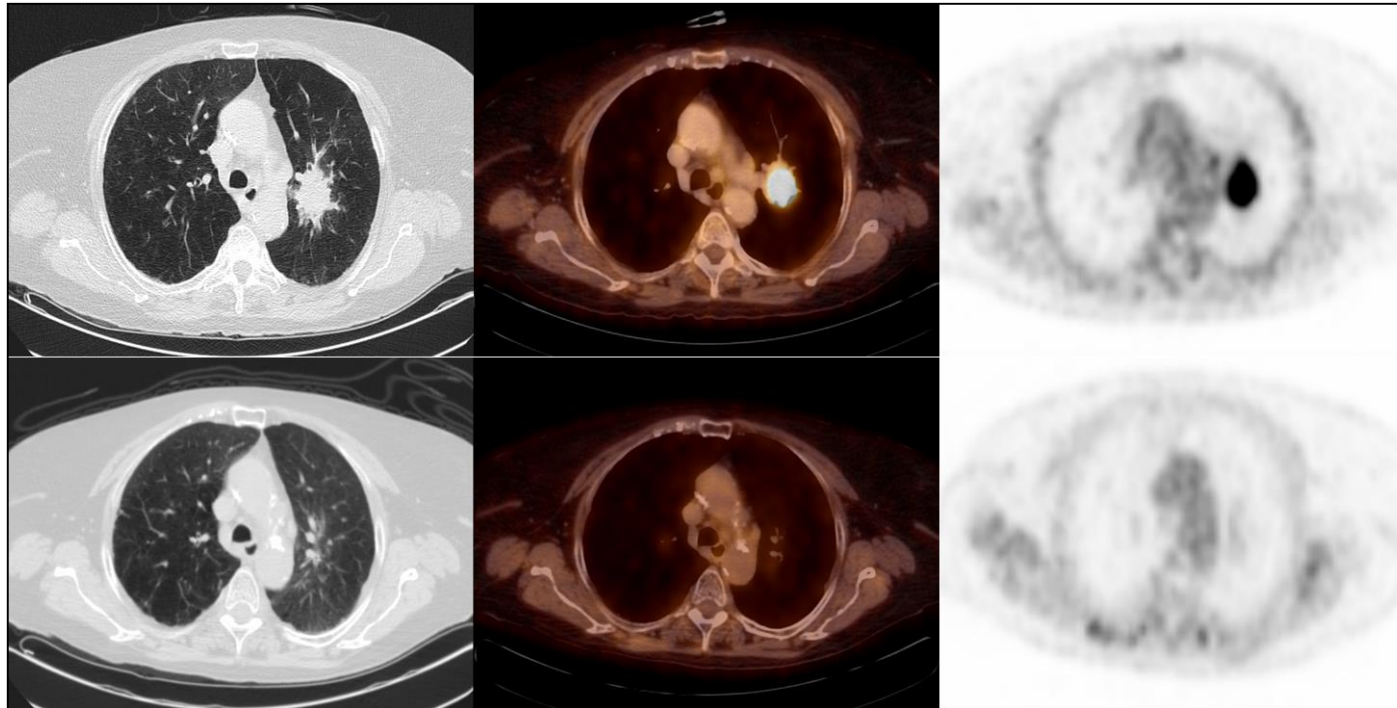
# Grazie!



# ESTRO

*School*





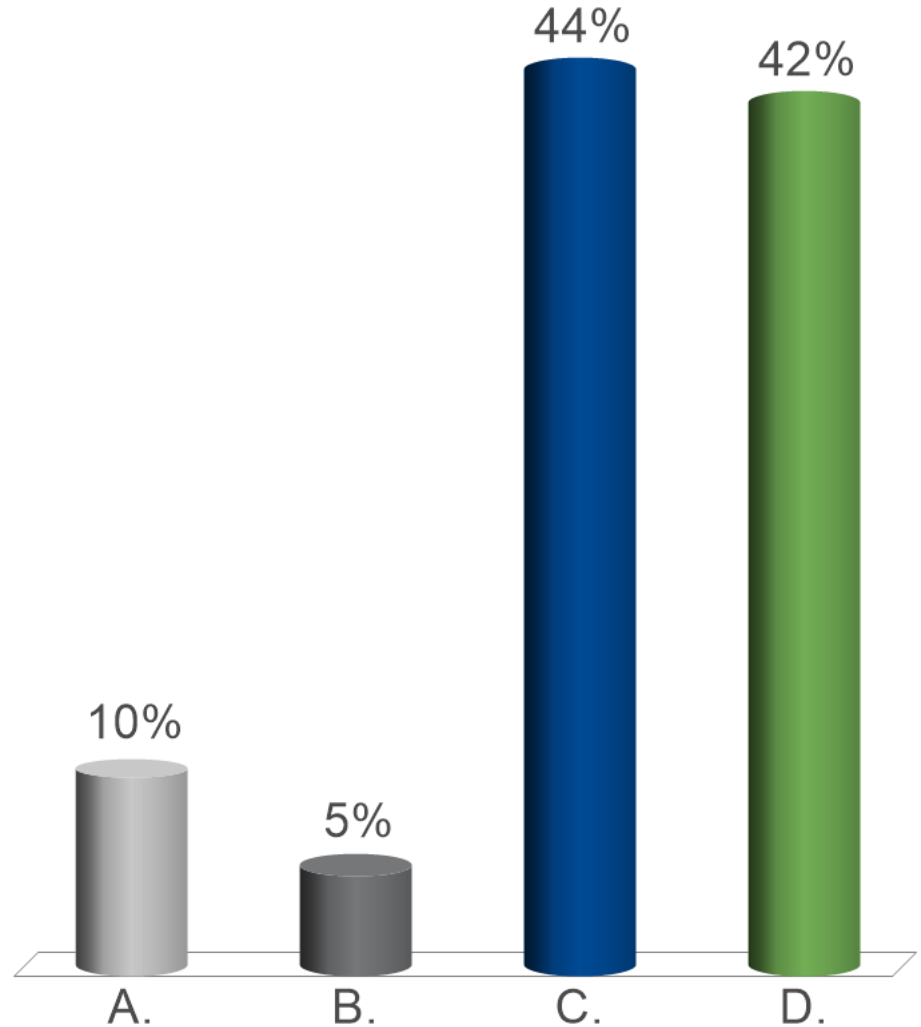
## Advanced planning strategies for lung cancer

**Example: SBRT for lung tumors**

Prof. Ursula Nestle

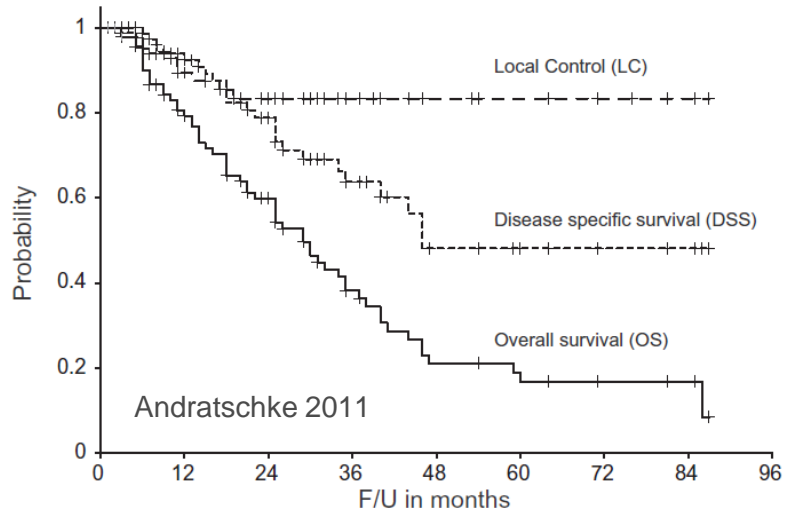
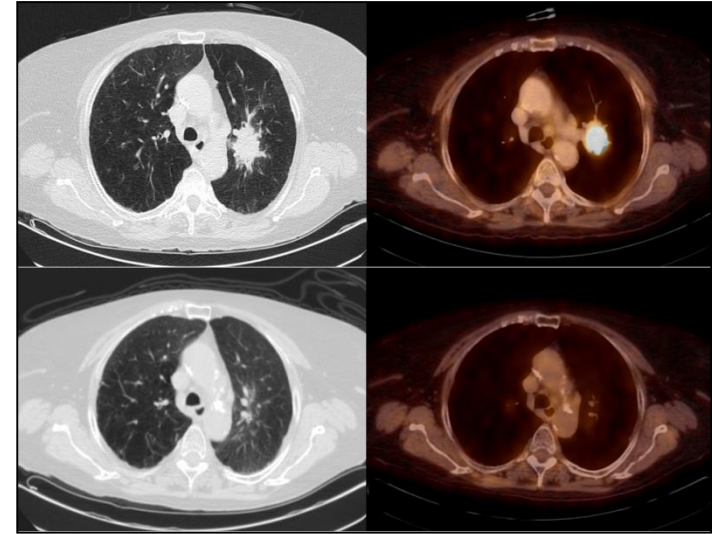
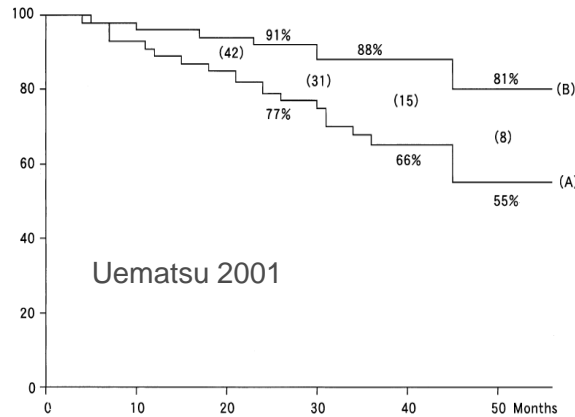
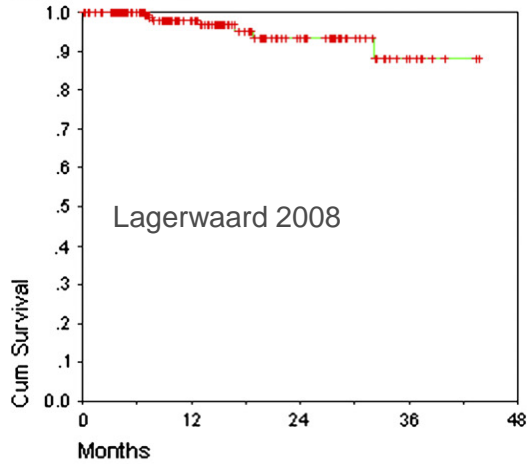
# Q1: Do you routinely apply SBRT?

- A. Yes, lung tumors
- B. Yes, in lung and liver tumors
- C. Yes, in lung, liver and other sites
- D. no

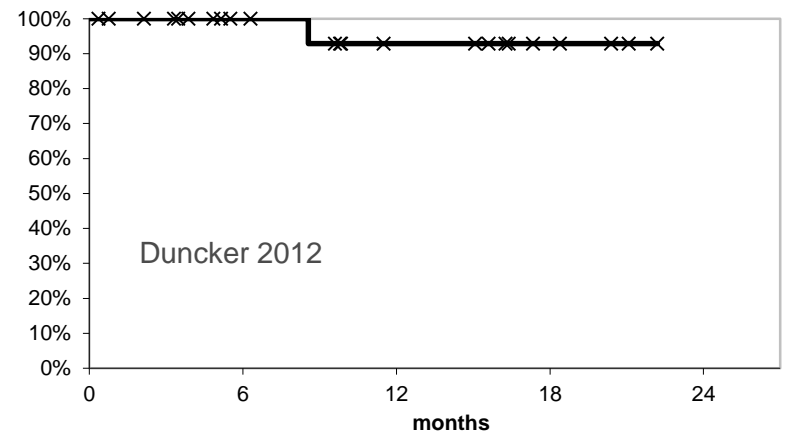


# SBRT: success story

(c) Local progression-free survival



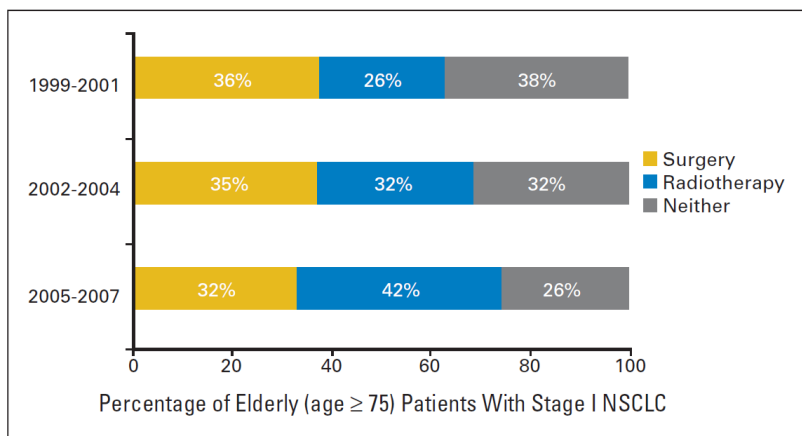
local progression free survival NSCLC



# SBRT: improving outcomes stage I LC

Palma D, 2010

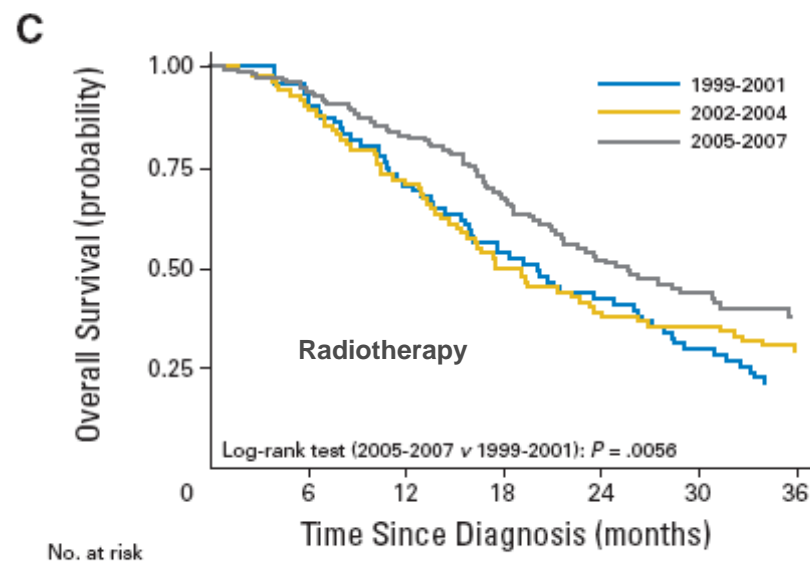
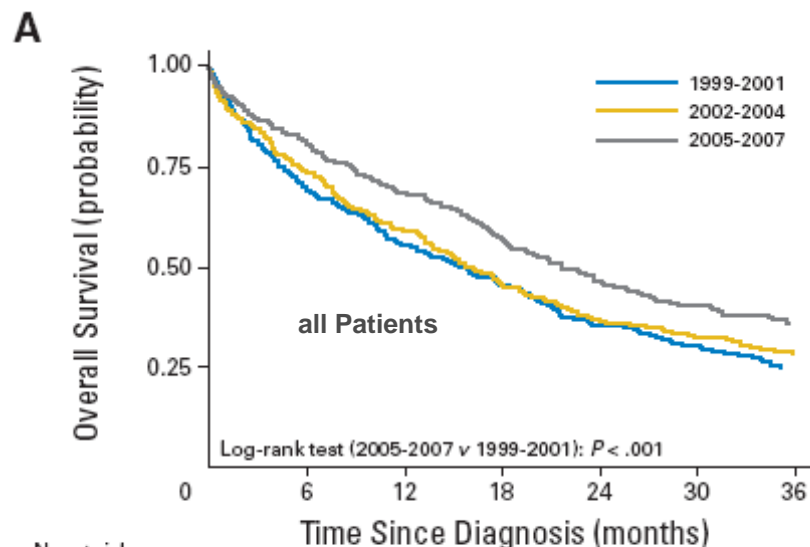
Population registry –North Holland



N = 843 stage I patients ≥75 years

SBRT introduction associated with

- 16% increase in RT utilization
- improved survival for whole cohort
- improved survival for RT patients



# „Standards“ for dose/prescription to PTV?

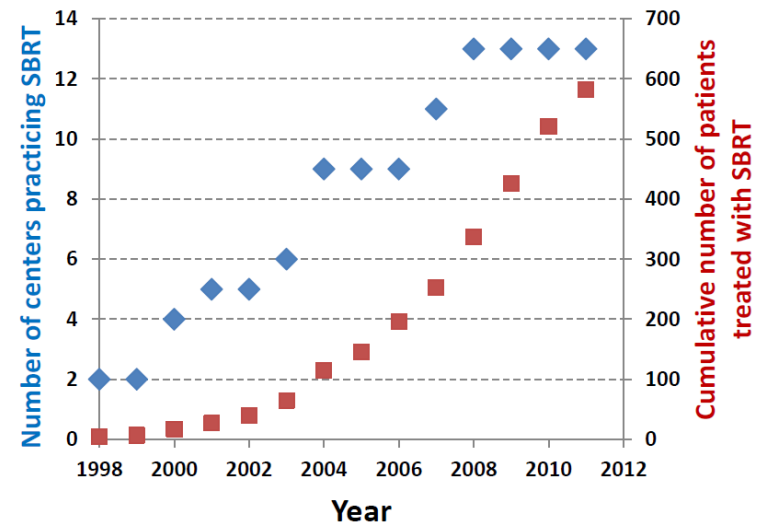
Author	fractionation	dose prescription on % isodose	dose encompassing the PTV	BED for tumor (prescribed dose)	BED on 100%
van Baardwijk [22]	10 x 6 Gy	100%	60 Gy	96 Gy	
Haasbeek [45]	8 x 7.5 Gy	100%	60 Gy	105 Gy	
Mc Garry [16]	3 x 8 Gy	80%	24 Gy	43 Gy	
Mc Garry [16]	3 x 20 Gy	80%	60 Gy		262 Gy
Mc Garry [16]	3 x 22 Gy	80%	66 Gy		309 Gy
Bradley [32]	3 x 18 Gy	80%		151 Gy	219 Gy
Wulf [29]	3 x 12.5 Gy		37.5 Gy	84 Gy	
Wulf [29]	1 x 26 Gy		26 Gy	94 Gy	138 Gy
Zimmermann [21]	5 x 7 Gy	60%	37.5 Gy	84 Gy	192 Gy
Zimmermann [21]	5 x 7 Gy	60%	35 Gy	60 Gy	126 Gy
own data	3 x 12.5 Gy	60%	37.5 Gy	84 Gy	192 Gy
own data	5 x 7 Gy	60%	35 Gy	60 Gy	126 Gy

**Van Baardwijk, DeRuysscher 2012: Overkill?**

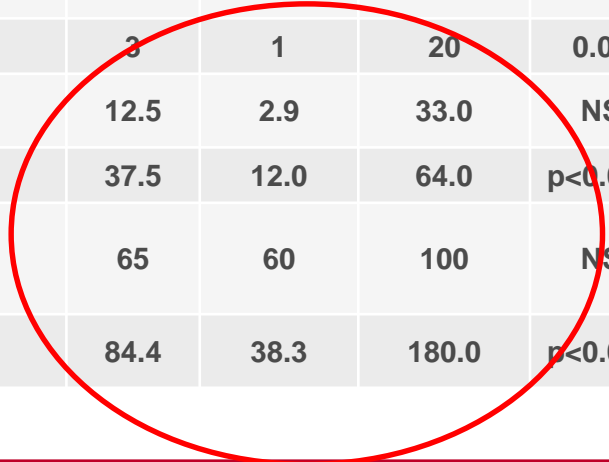
Duncker 2012

# SBRT: wide use, high heterogeneity

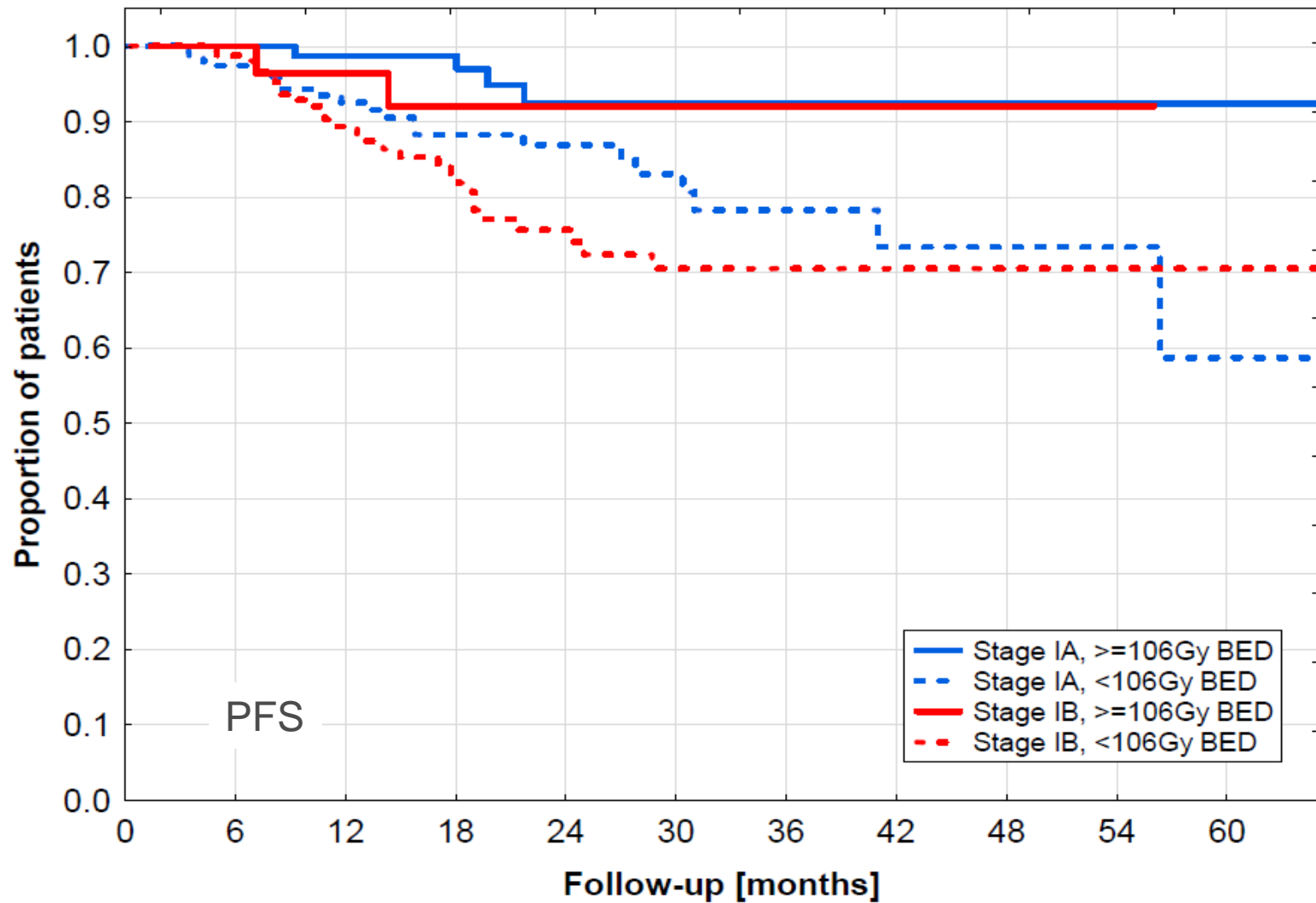
M. Guckenberger et al. JTO 2013:  
n=582, 13 institutions, SBRT 1998 - 2011



	Number of patients	Percentage	Median	Minimum	Maximum	Time-trend	Inter-institutional variability
<b>Dose calculation algorithm</b>						p<0.001	p<0.001
Type A	265	45.5					
Type B	249	42.8					
unknown	68	11.7					
<b>Number of SBRT fractions</b>	582		3	1	20	0.02	p<0.001
<b>Single fraction dose PTV encompassing (Gy)</b>	582		12.5	2.9	33.0	NS	p<0.001
<b>Total dose PTV encompassing (Gy)</b>	582		37.5	12.0	64.0	p<0.001	p<0.001
<b>Dose inhomogeneity (PTV encompassing dose / Maximum PTV dose) (%)</b>	582		65	60	100	NS	p<0.001
<b>Total BED dose PTV encompassing (Gy)</b>	582		84.4	38.3	180.0	p<0.001	p<0.001



# SBRT: „magic BED<sub>10</sub>“ of 100 Gy?



M. Guckenberger et al. JTO 2013



## SBRT of lung cancer

Dose–response relationship with clinical outcome for lung stereotactic body radiotherapy (SBRT) delivered via online image guidance <sup>☆</sup>Larry Kestin <sup>a,\*</sup>, Inga Grills <sup>b</sup>, Matthias Guckenberger <sup>c</sup>, Jose Belderbos <sup>d</sup>, Andrew J. Hope <sup>e</sup>,Maria Werner-Wasik <sup>f</sup>, Jan-Jakob Sonke <sup>d</sup>, Jean-Pierre Bissonnette <sup>e</sup>, Ying Xiao <sup>f</sup>, Di Yan <sup>b</sup>,  
on behalf of the Elekta Lung Research Group

<sup>a</sup> 21st Century Oncology/Michigan Healthcare Professionals, Farmington Hills, USA; <sup>b</sup> Department of Radiation Oncology, William Beaumont Hospital, Royal Oak, USA; <sup>c</sup> Department of Radiation Oncology, University of Wuerzburg, Germany; <sup>d</sup> Department of Radiation Oncology, Netherlands Cancer Institute – Antoni van Leeuwenhoek Hospital, Amsterdam, The Netherlands; <sup>e</sup> Princess Margaret Hospital, University of Toronto, Canada; <sup>f</sup> Department of Radiation Oncology, Thomas Jefferson University Hospital, Philadelphia, USA

5 institutions, 505 tumors (483 pts.), T1/2 N0 M0

5% local recurrences

prescriptions (median: 54 Gy/3 fx):

3x18-20 (54-60) Gy, 3x12.5 (37.5) Gy

4x12 (48) Gy, 5x12 (60) Gy

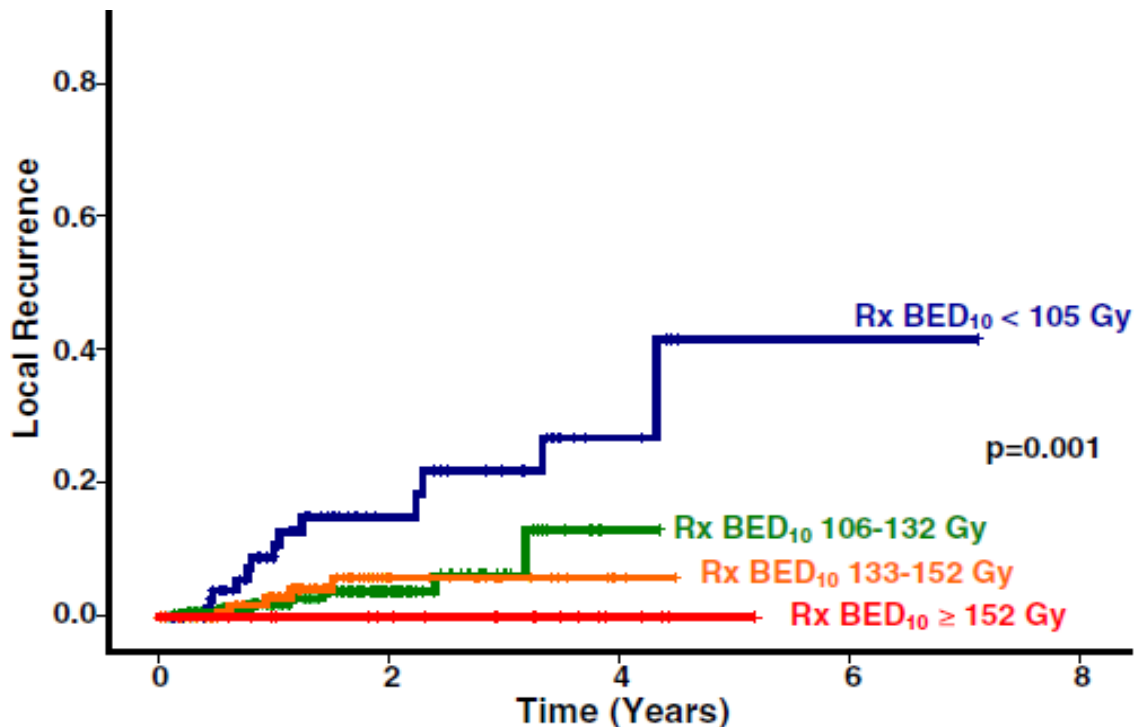
8x7.5 (60) Gy



# Elekta group: Doses vs. outcome

**Table 1**  
ROC curves for factors predicting for local control.

Parameter	Area under curve	p-Value	Optimal cut point	Sensitivity (%)	Specificity (%)	2-Year local control (%)
Prescription BED <sub>10</sub>	0.693	0.001	105.3 Gy	81	50	96 vs. 85
PTV <sub>mean</sub> BED <sub>10</sub>	0.654	0.02	125.8 Gy	84	57	96 vs. 83
GTV <sub>mean</sub> BED <sub>10</sub>	0.654	0.02	147.1 Gy	81	52	97 vs. 83
PTV <sub>max</sub> BED <sub>10</sub>	0.650	0.02	175.3 Gy	68	62	97 vs. 87
GTV <sub>max</sub> BED <sub>10</sub>	0.650	0.02	175.3 Gy	68	62	97 vs. 88
PTV <sub>min</sub> BED <sub>10</sub>	0.638	0.03	110.1 Gy	53	77	97 vs. 90
PTV D99 BED <sub>10</sub>	0.637	0.03	92.6 Gy	87	62	95 vs. 83
GTV <sub>min</sub> BED <sub>10</sub>	0.632	0.04	149.8 Gy	57	72	98 vs. 89
PTV D1 BED <sub>10</sub>	0.627	0.05	163.5 Gy	68	57	96 vs. 87
Treatment duration	0.644	0.01	11 days	50	82	96 vs. 86
GTV <sub>max</sub> dimension	0.614	0.05	2.7 cm	65	55	97 vs. 91



Cox regression analysis:

- independent parameters
- Dose (prescription BED<sub>10</sub>)
  - treatment duration

# SPACE - A randomized study of SBRT vs conventional fractionated radiotherapy in medically inoperable stage I NSCLC

J. Nyman et al. world lung 2015

102 patients,  
(T1-2N0M0) NSCLC,  
significant comorbidity

9 Scandinavian centers

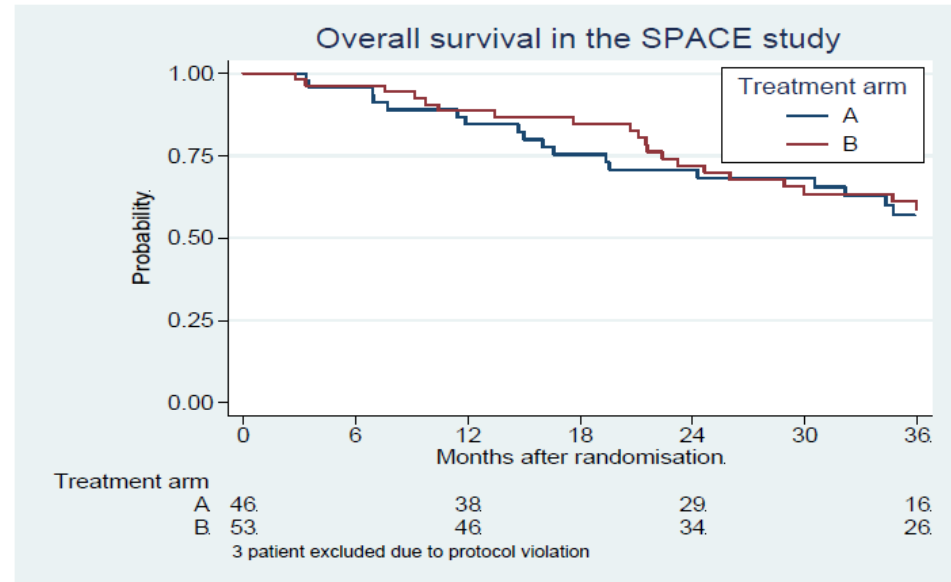
rando:

SBRT 3x 22 Gy;  
CFRT 35x 2 Gy

primary endpoint:

freedom from progression  
at 3 years

Per protocol



IASLC

INTERNATIONAL ASSOCIATION FOR THE STUDY OF LUNG CANCER

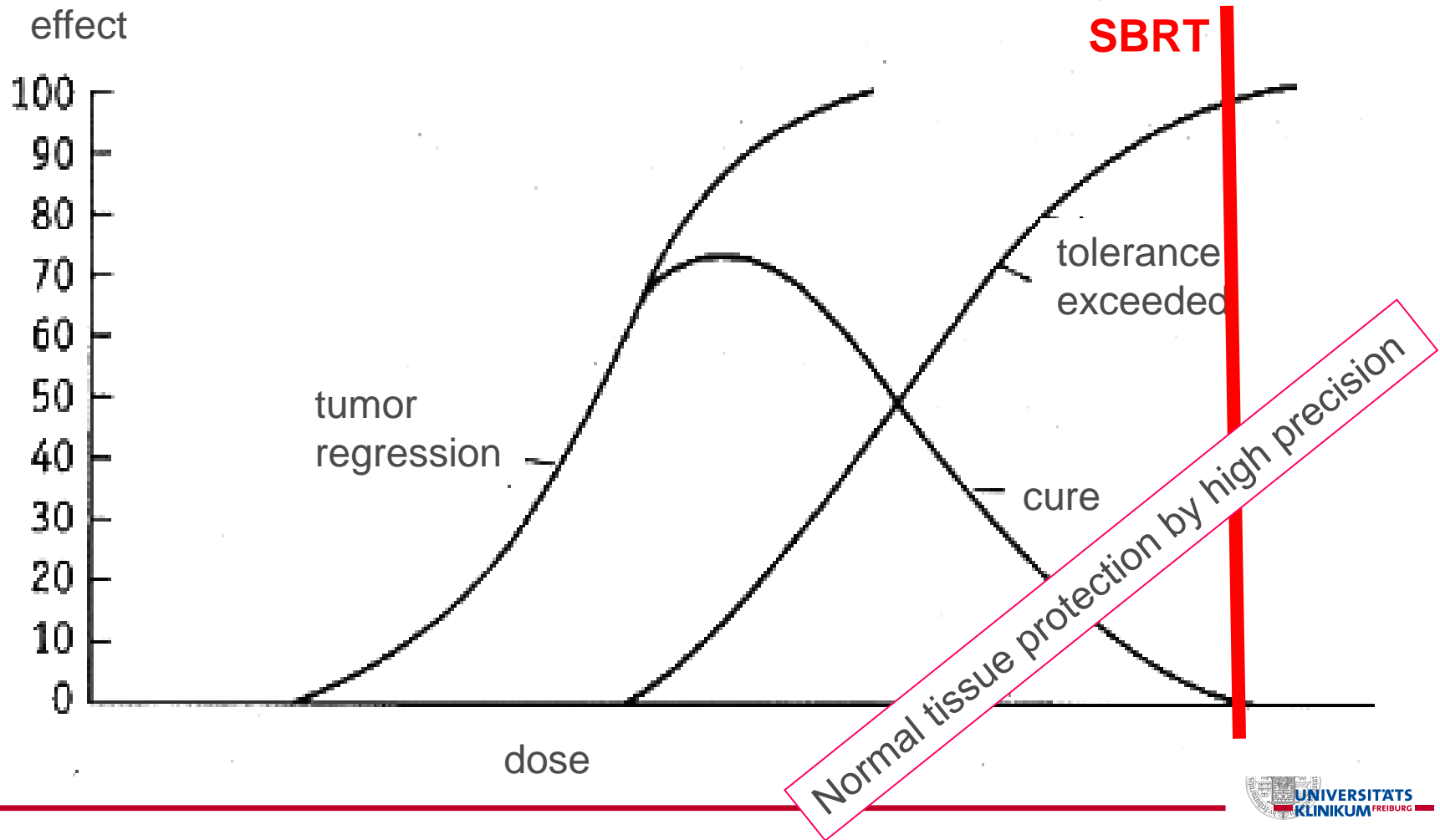
16<sup>TH</sup> WORLD CONFERENCE ON LUNG CANCER  
SEPTEMBER 6-9, 2015 • DENVER, COLORADO, USA

## Conclusions

- 1 In this randomized phase II trial stage I patients treated with SBRT had the same PFS and OS as the 3DCRT patients despite an imbalance in prognostic factors (T2 tumors and male gender)
- 2 There was a tendency to improved disease control rate at the end of study in the SBRT patients
- 3 SBRT patients experienced better QoL values regarding dyspnea, cough and chest pain as well as numerically less toxicity (CTC 3.0)
- 4 Shortcomings: PET and 4DCT was not mandatory

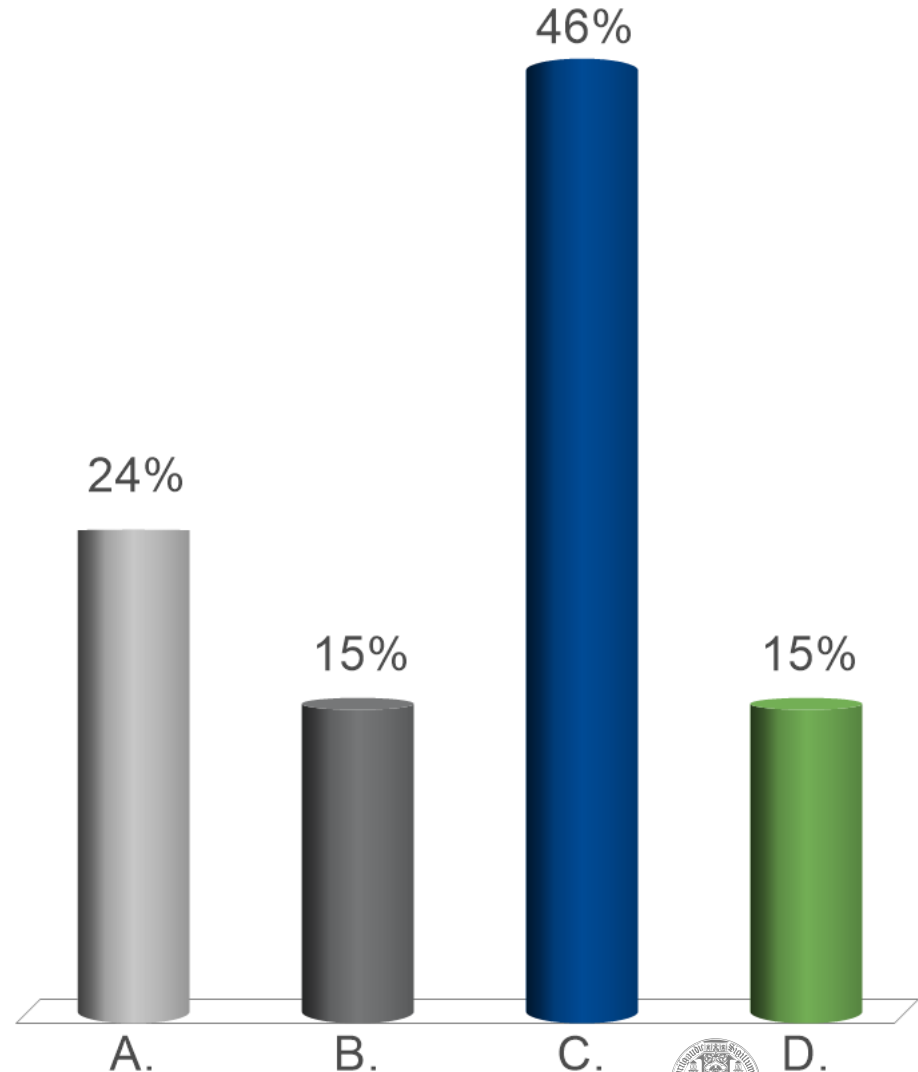
→ 5 SBRT should probably be considered standard therapy for this patient group

# Radiobiology and high-precision RT...

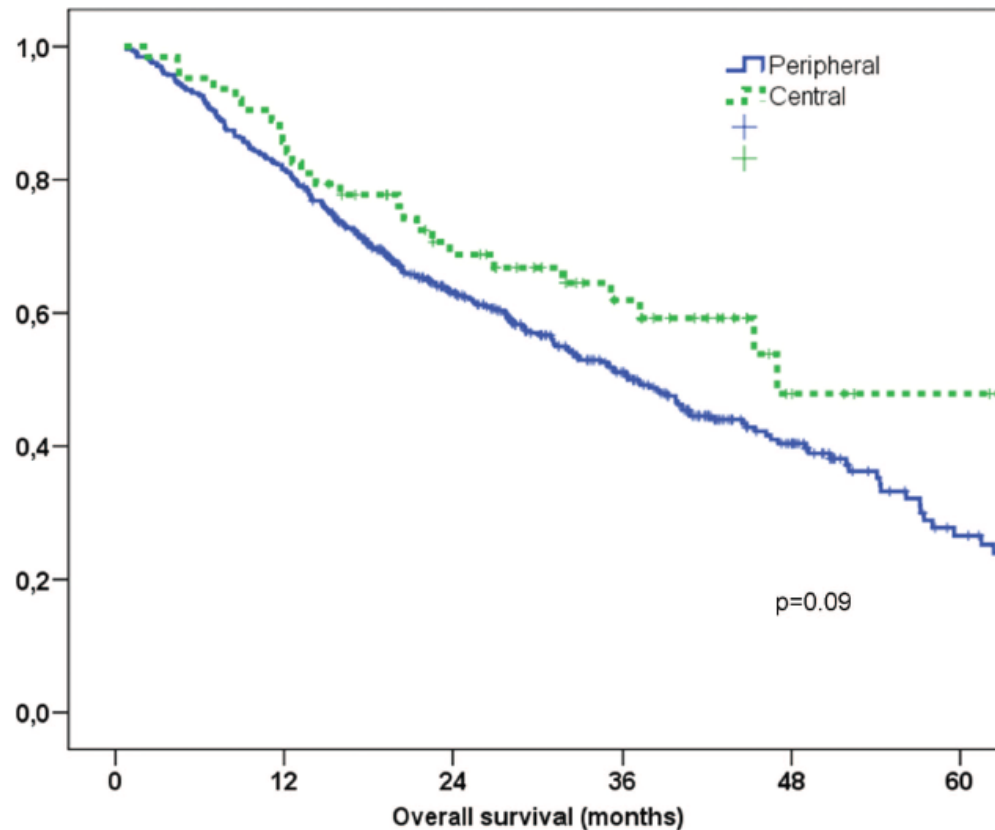


## Q2: in SBRT for central lung tumors, what are your limits?

- A. We do not treat central lung tumors because of possible toxicity
- B. We treat all but ultra-central tumors (trachea, main bronchi)
- C. We treat central tumors but with reduced dose and/or fractionation
- D. We do not treat tumors invading the main bronchi or large vessels



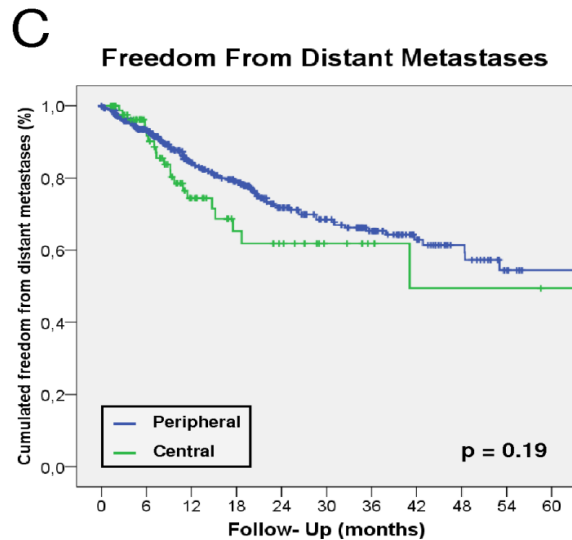
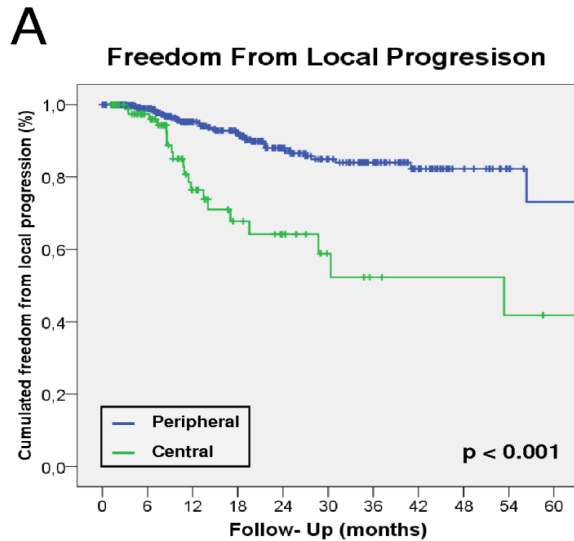
# Central tumors: outcome from expert treatment



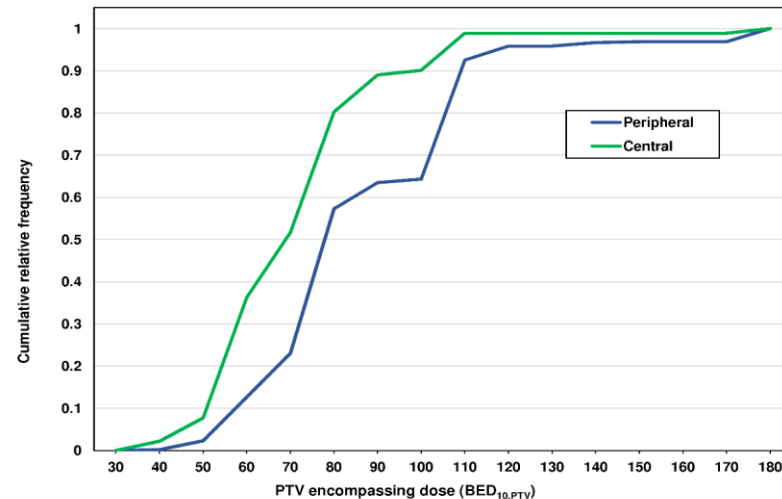
**FIGURE 3.** Overall survival for central and peripheral early-stage lung tumors after stereotactic ablative radiotherapy (SABR).

Haasbeek JTO 2011,  $BED_{10}=105$  Gy

# Central tumors, multicenter database



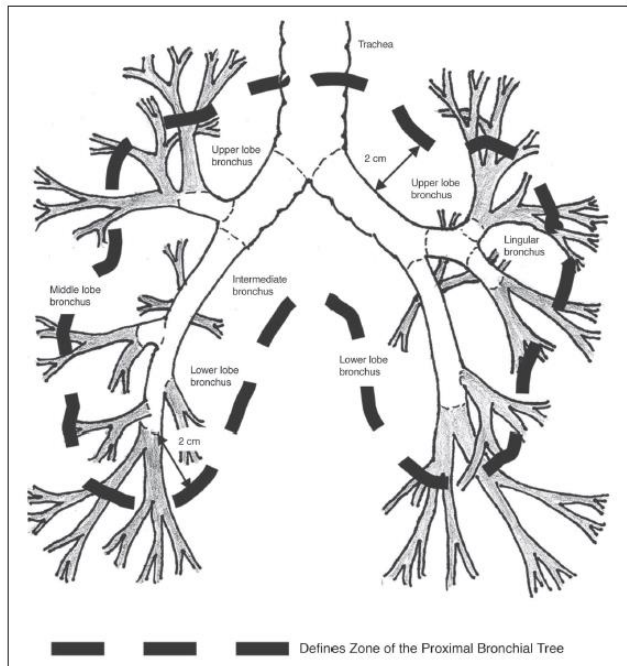
**Comparison of Prescribed Doses**



“Local tumor control in patients treated with **SBRT** for centrally located, early-stage **NSCLC** was favorable, provided ablative radiation doses were prescribed.”

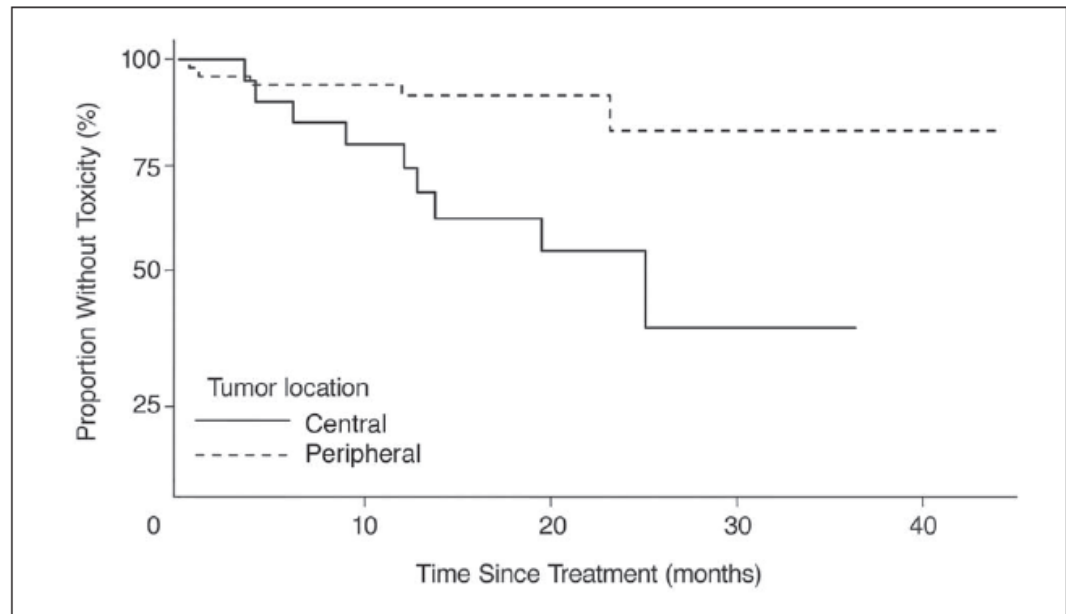
***This was, however, not the case in the majority of patients!***

70 pts.,  
T1/T2 NSCLC  
3x20Gy; 3x22 Gy  
prescription to 80%  
Type A  
no density corrections



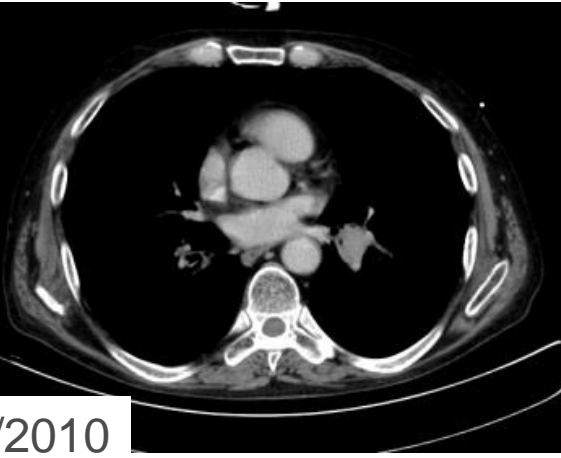
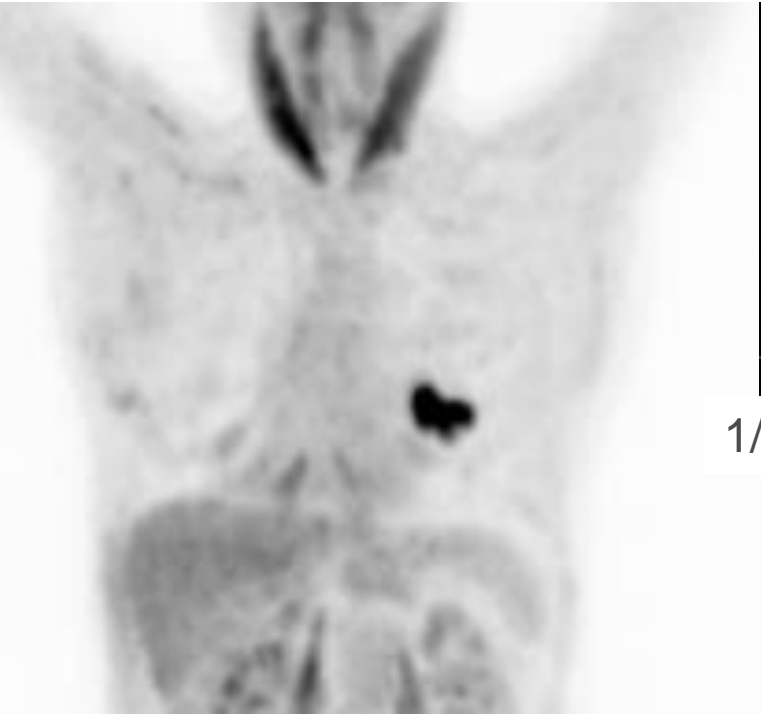
## Excessive Toxicity When Treating Central Tumors in a Phase II Study of Stereotactic Body Radiation Therapy for Medically Inoperable Early-Stage Lung Cancer

Robert Timmerman, Ronald McGarry, Constantin Yiannoutsos, Lech Papiez, Kathy Tudor, Jill DeLuca, Marvene Ewing, Ramzi Abdulrahman, Colleen DesRosiers, Mark Williams, and James Fletcher



**Fig 4.** Kaplan-Meier plot of time from treatment until grade 3 to 5 treatment related toxicity comparing patients with tumors in the central (perihilar and central mediastinal) regions from those with more peripheral tumors.

# Pat. S.D. \*1943, SCC



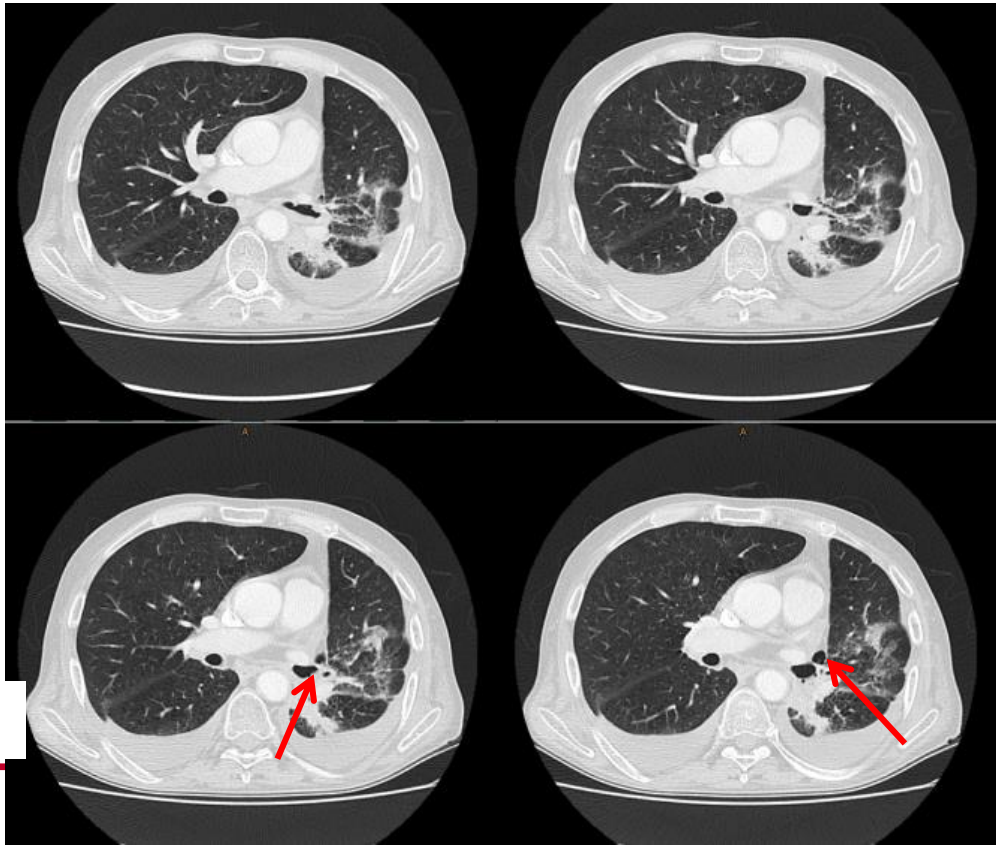
1/2010



3/2011



7/2011

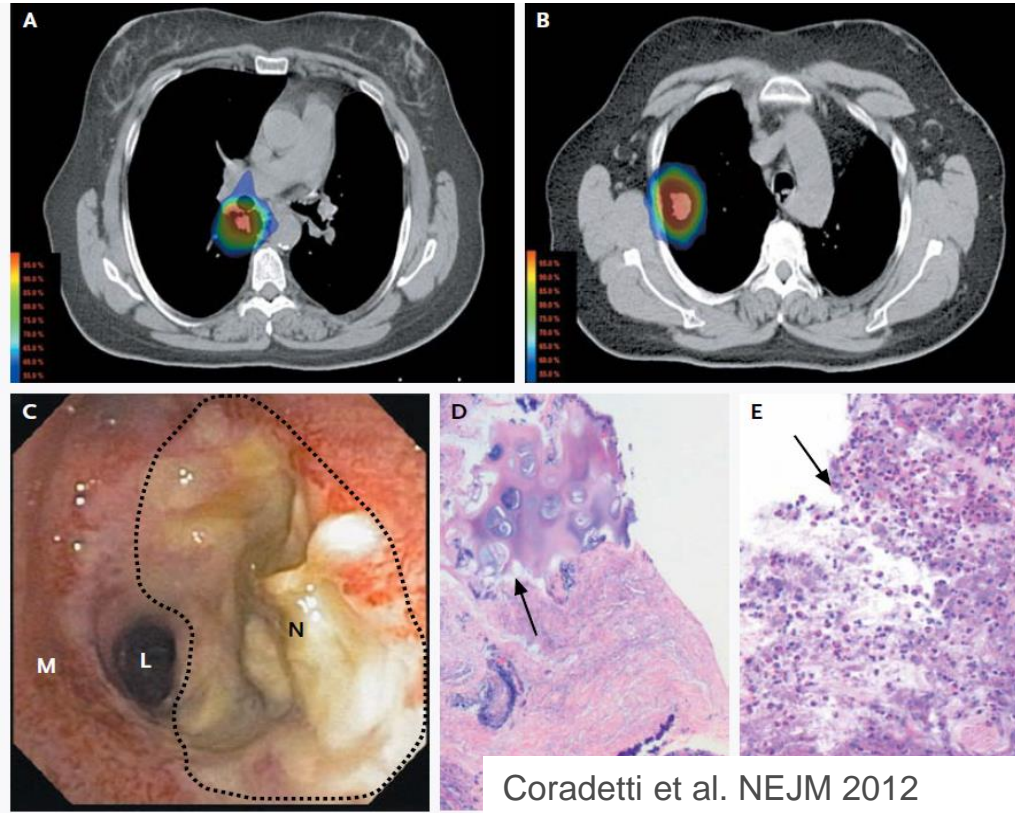




# Another fatal necrosis after central SBRT...

## Case report: Central Airway Necrosis after SBRT

- SBRT to two NSCLC, one of them centrally located
- 8 months later: mediastinal LN recurrence, extensive changes within irradiated bronchus (**biopsy**: fibrosis)
- **Chemo** / hemoptysis / intubation
- Died 11 months after SBRT



# SBRT: a knife without suture

Differences in physiological NT-reaction to high dose RT:

Fibrosis (lung, liver), necrosis (brain, bone), strictures (esophagus, bronchi)

Difference in clinical consequences:

Parallel vs. serial organs

Parallel (lung, liver):

small volume of damage no problem  
(fibrosis)

Serial (esophagus, vessel):

small volume of damage  
may cause life threatening effects



# Dose-Limiting Toxicity After Hypofractionated Dose-Escalated Radiotherapy in Non-Small-Cell Lung Cancer

Donald M. Cannon, Minesh P. Mehta, Jarrod B. Adkison, Deepak Khuntia, Anne M. Traynor, Wolfgang A. Tomé, Richard J. Chappell, Ranjini Tolakanahalli, Pranshu Mohindra, Søren M. Bentzen, and George M. Cannon

*J Clin Oncol* 31:4343-4348.

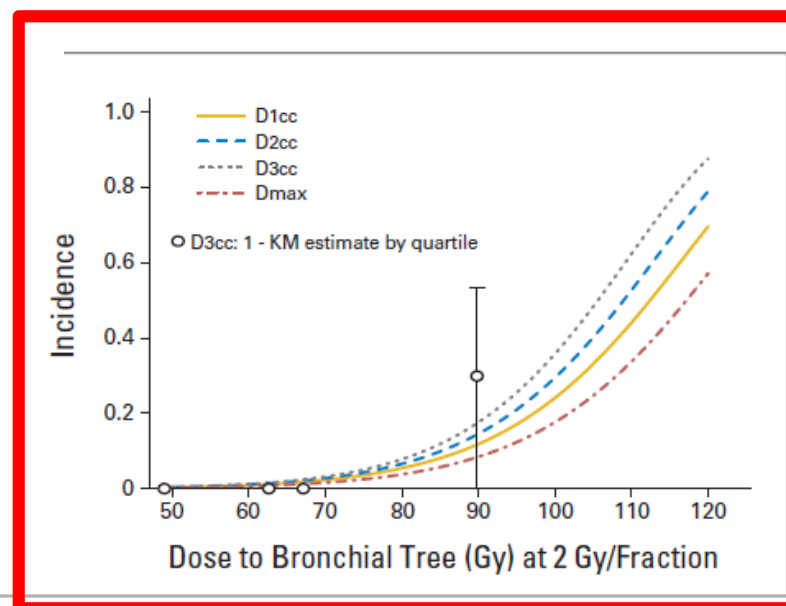
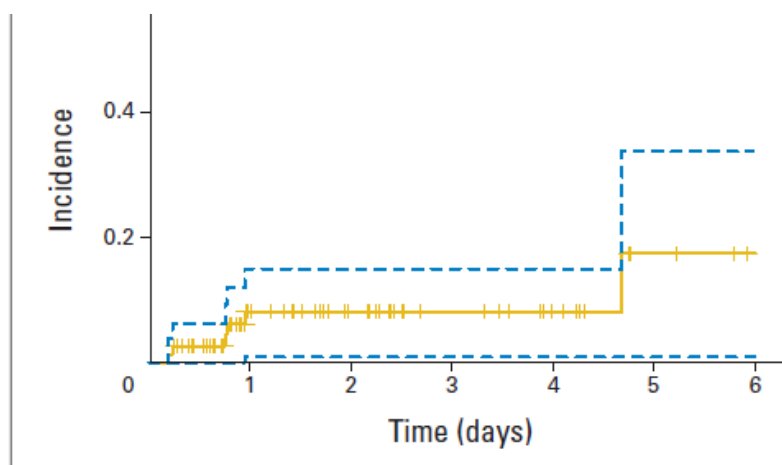
## Conclusion

Although this dose-escalation model limited the rates of clinically significant pneumonitis, dose-limiting toxicity occurred and was dominated by late radiation toxicity involving central and perihilar structures. The identified dose-response for damage to the proximal bronchial tree warrants caution in future dose-intensification protocols, especially when using hypofractionation.

57 Gy – 85.5 Gy in 25 fractions

EQD2 predicting 5% complication rate @2y:

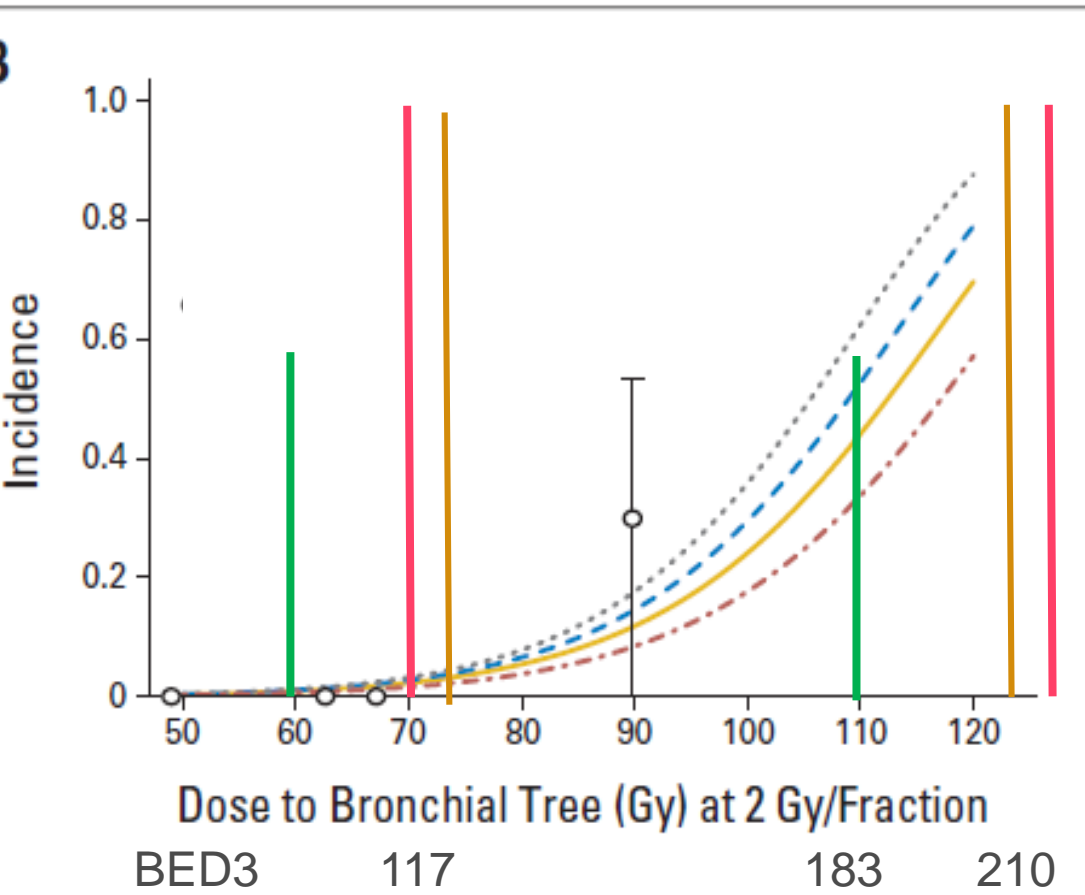
75-83 Gy



**Fig 2.** (A) Incidence (1 – Kaplan-Meier [KM] estimate) of any grade 4 or 5 toxicity in patients censored at the time of death or last clinical follow-up. Dashed lines represent the 95% CI. (B) Two-year probabilities of late grade 4 or 5 toxicity according to dose-per-fraction normalized dose (EQD2) to the proximal bronchial tree and estimated using a Cox proportional hazards model. Open circles represent the 1 – KM estimate ( $\pm$  95% CI) for quartiles of EQD2 D3cc (centered at the quartile mean). DXcc, maximum dose D such that X cm<sup>3</sup> of the structure received a dose  $\geq$  D; Dmax, maximum dose to any voxel within structure.

# What is the dangerous SBRT dose to the central mediastinum?

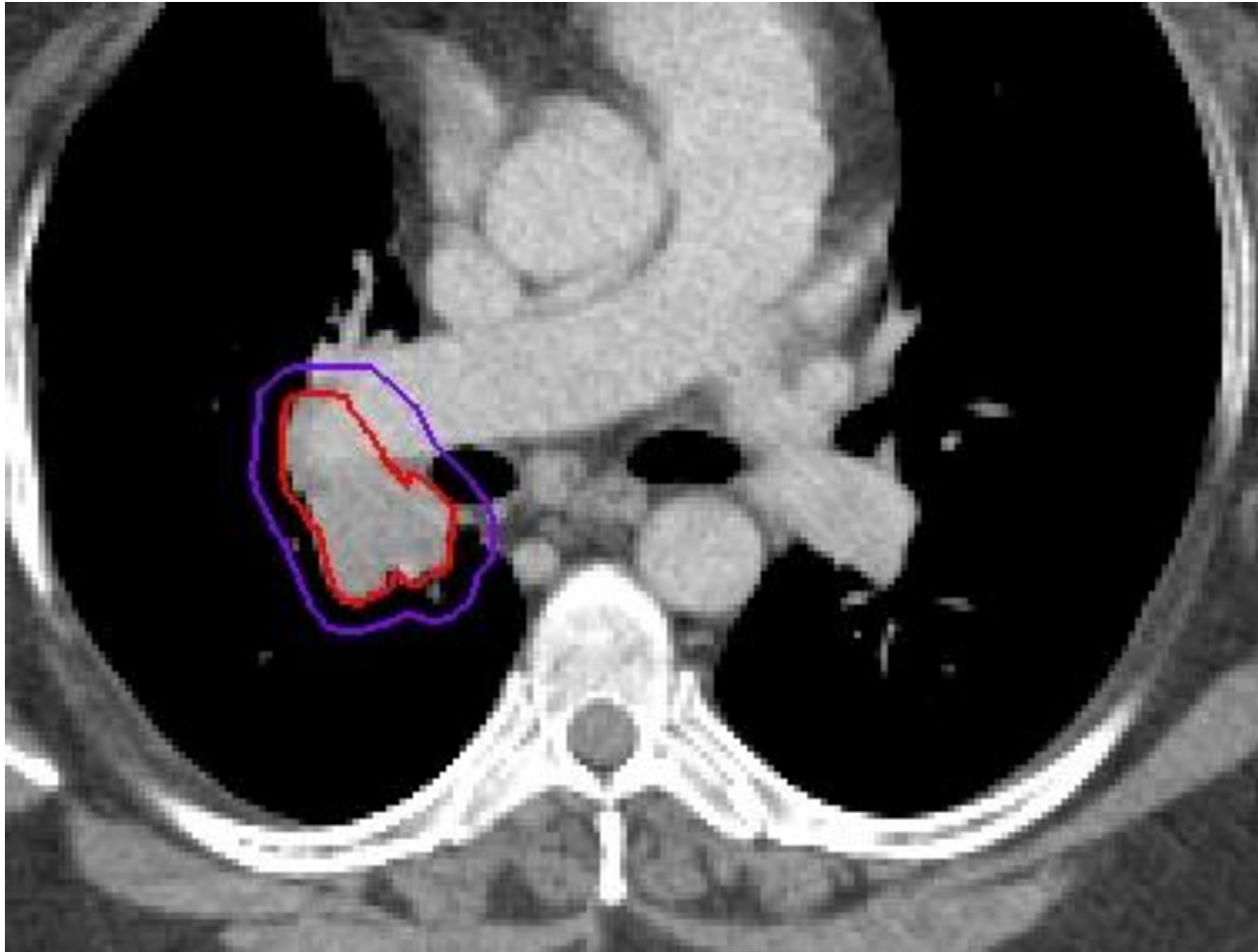
MTD of 83 Gy/ 2Gy  
 = „magic“ BED<sub>10</sub> of 100 Gy,  
 Narrow therapeutic corridor



prescribed by	physical dose Gy	EQD2 Gy ( $\alpha\beta=3$ )
Cannon min.	25x2.28	60
max.	25x3.42	110
Timmerman	3x18	226
VU prescription	8x7.5	126
VU restriction	8x5.5	74.8
Coradetti patient	5x10	130
Freiburg patient encompassing	5x7	70
maximum	5x11.6	130

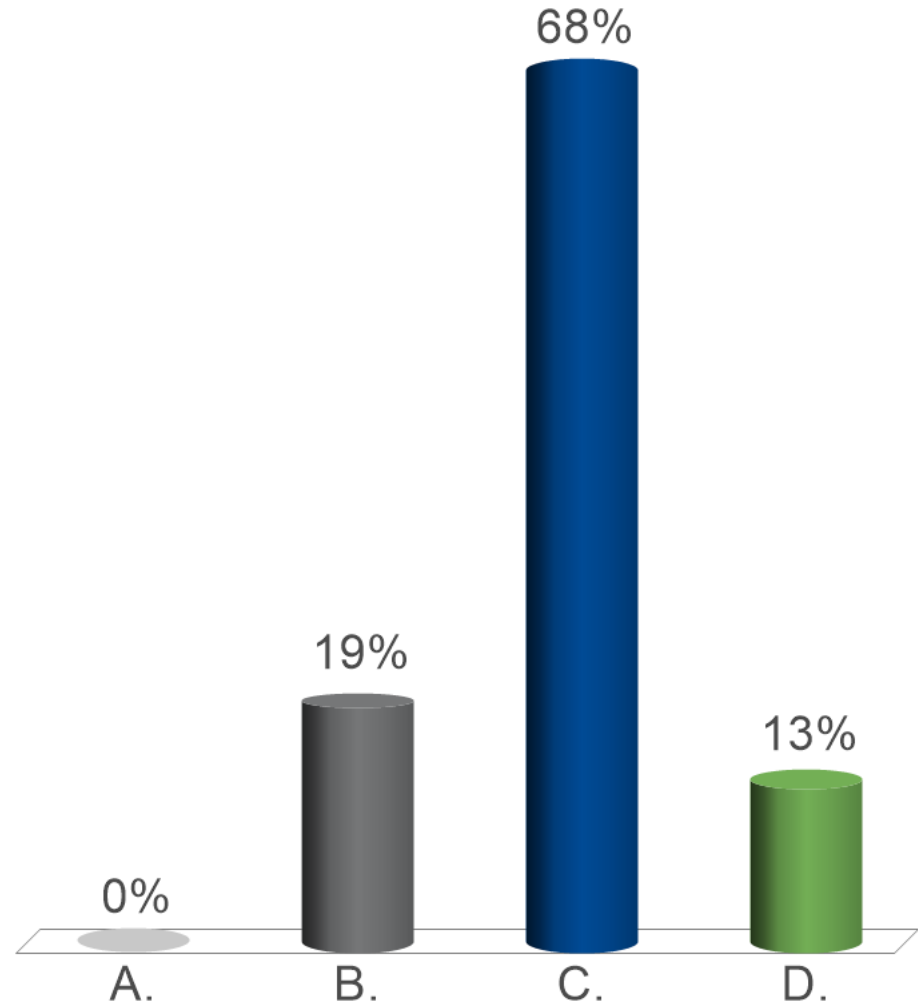
Need for a more detailed view on doses and volumes..

“competing risk”:  
Tumor invasion of bronchus and vessel



# Q3: Which kind of NT-dose constraints do you use in SBRT?


- A. No constraints, just realize prescribed dose
- B. Individually prescribed by the treating physician
- C. Standardised constraints (table)
- D. SOP for planning with stepwise constraints/objectives



# DOSE CONSTRAINTS FOR SBRT OF CENTRAL LC

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- Maximum tolerated doses and optimum fractionation for mediastinal structures is currently unknown
- Toxicity for SBRT delivered to central tumors is not well documented
- Serious doubts in the validity of available data, mostly coming from retrospective series with small sample sizes
- Lacking, incomplete or inconsistent reporting on dose specification
- Questionable use of EqD2,  $\alpha/\beta$ -ratios, LQM estimates

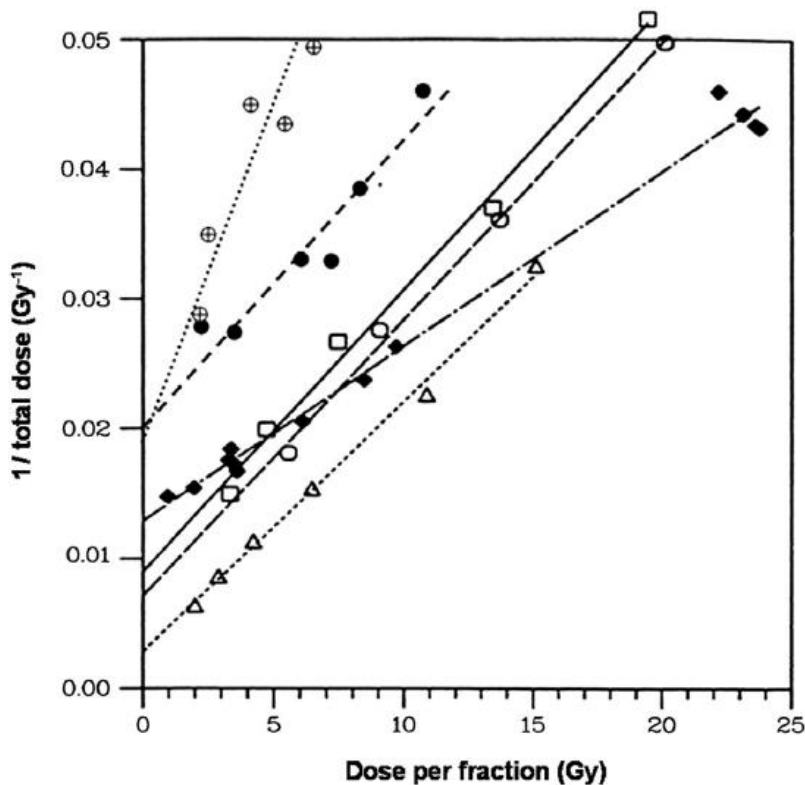
 Summary of current experiences in dose/ fraction - toxicity coherences after SBRT to the mediastinal structures that lead to LungTech normal tissue constraints

# The Tumor Radiobiology of SRS and SBRT: Are More Than the 5 Rs Involved?

J. Martin Brown, PhD,\* David J. Carlson, PhD,<sup>†</sup> and David J. Brenner, PhD<sup>‡</sup>

\*Department of Radiation Oncology, Stanford University School of Medicine, Stanford, California; <sup>†</sup>Department of Therapeutic Radiology, Yale University School of Medicine, New Haven, Connecticut, and <sup>‡</sup>Center for Radiological Research, Columbia University Medical Center, New York, New York

Received May 9, 2013, and in revised form Jul 14, 2013. Accepted for publication Jul 17, 2013



“Thus, we suggest that for most tumors, the standard radiobiology concepts of the 5 Rs are sufficient to explain the clinical data ...”

“There is compelling in vitro and in vivo normal tissue evidence that the LQ model provides reasonable results at high doses ...”

Fig. 2. Isoeffect data for response in normal tissues fit the linear quadratic model. Data for different regions (□, O, Δ) of the rat spinal cord (24), for acute skin reactions (◆) in mice (25), and for early (●) and late (O+) murine intestinal damage (26). The LQ model predicts straight lines for these plots. From (15) with permission.



# DOSE CONSTRAINTS: OAR IN MORE „CENTRAL“ SBRT

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- bronchial tree
- heart
- large vessels
- esophagus

## problem:

life threatening toxicities possible;

only case reports and small mainly retrospective series available

# DOSE CONSTRAINTS: PROX BRONCHIAL TREE

## Bronchial tree / trachea

( $\alpha/\beta$  3 Gy), potential side effects: fatal hemoptysis, fistula, stenosis, necrosis, atelectasis, pneumonia and abscess

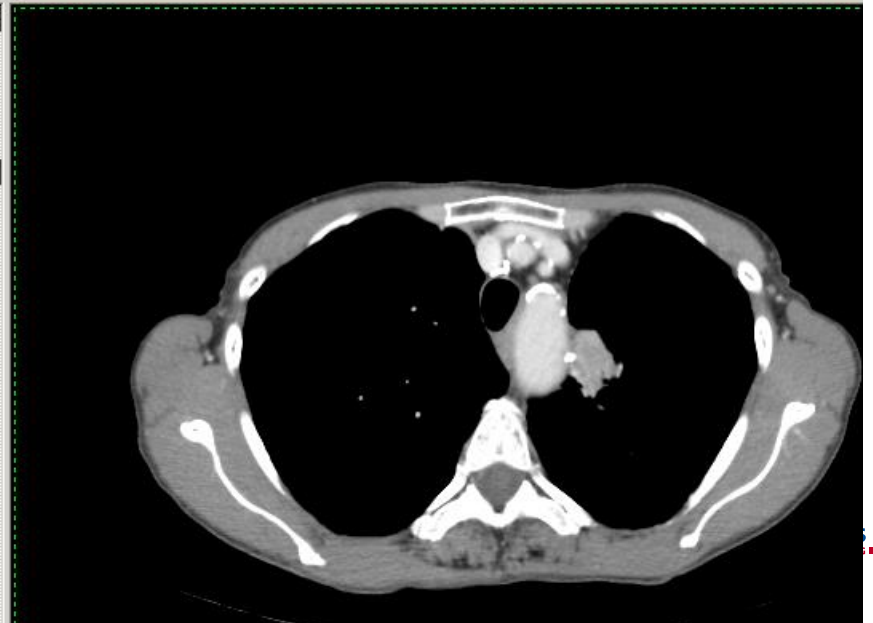
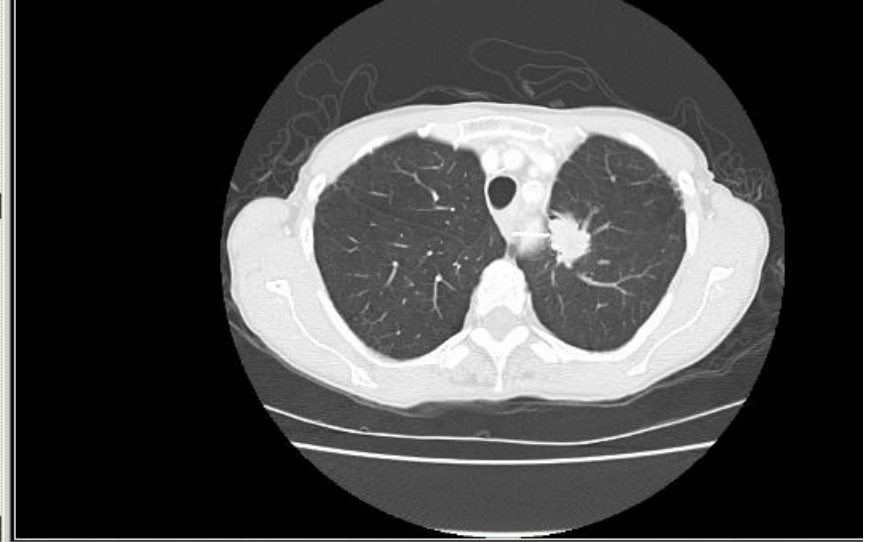
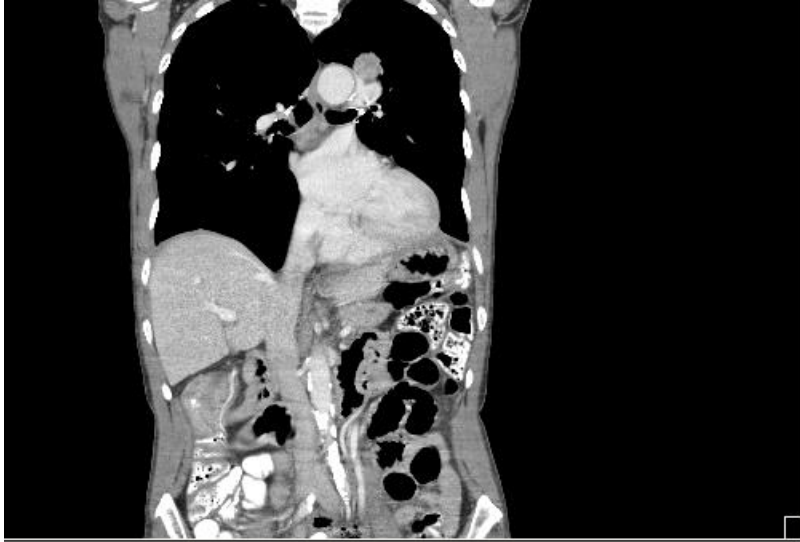
Reference	Number of reported patients (treated tumours)	Number of centres	Pro (p)-/retro(r)-spective	Results (max. point dose or dose/fractionation)
Timmerman (50)	70	1	r	maximum point dose: 20.2 Gy (1 fraction regime – EqD2: 93.7 Gy) 40 Gy (5 fraction regime – EqD2: 88 Gy)
Fakiris (51)				
<b>Recommendations/NT constraints</b>				
Timmerman (66)				>105% PTV, EqD2 not applicable
RTOG 0813 (56)				maximum dose restriction (0.5 cc): 8 x 5.5 = 44 Gy (EqD2: 74.8Gy)
Haasbeek (53)				maximum dose restriction (0.5 cc): 6 x 8 = 48 Gy (EqD2: 105.6Gy)
Nuyttens (67)				
<b>EORTC 22113-08113</b>				
		1	p	dose constraint: 8 x 5.5 Gy = 44Gy, (EqD2 74.8Gy) 1 x bronchial fistula, mainstem bronchus received a maximum point dose of 49 Gy (EqD2 not applicable) CFRT: EqD2 of 75-83 Gy predicting a 5% complication rate, 3 x fatal hemoptysis, 85 and 75 Gy, 25 fractions (EqD2 118 and 90, respectively), tumors encasing or abutting a mainstem or proximal lobar bronchus and partially local invasion of adjacent normal structures

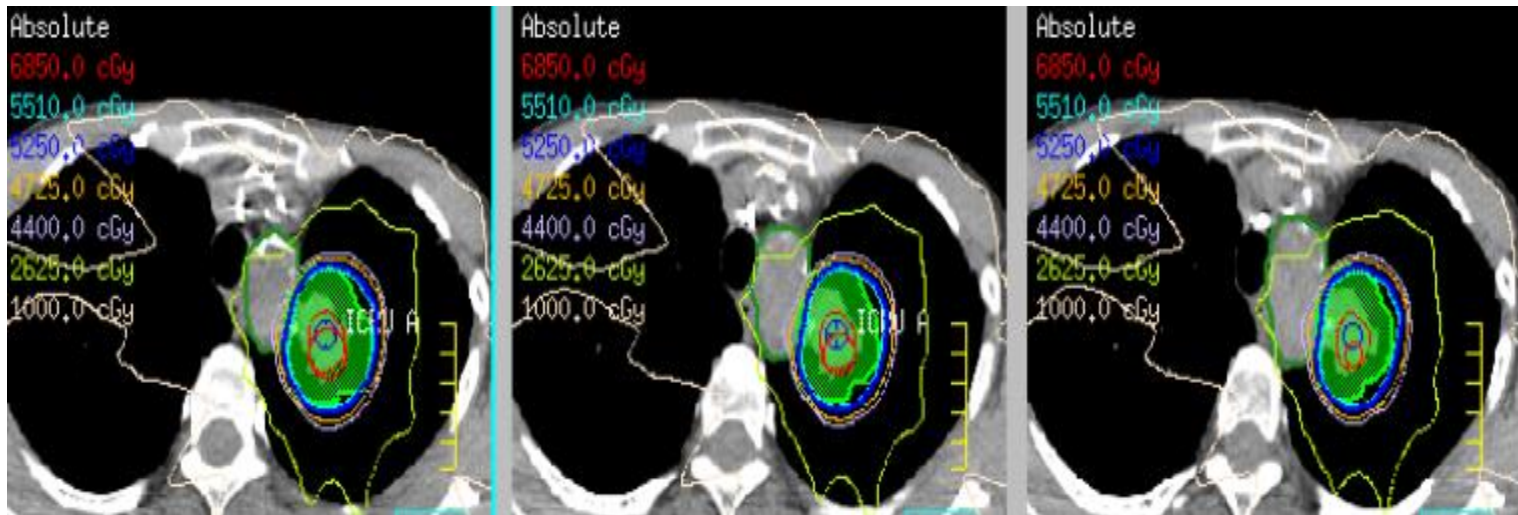
Adebahr et al, BJR 2015

# Large vessels: a case from A. Bezjak

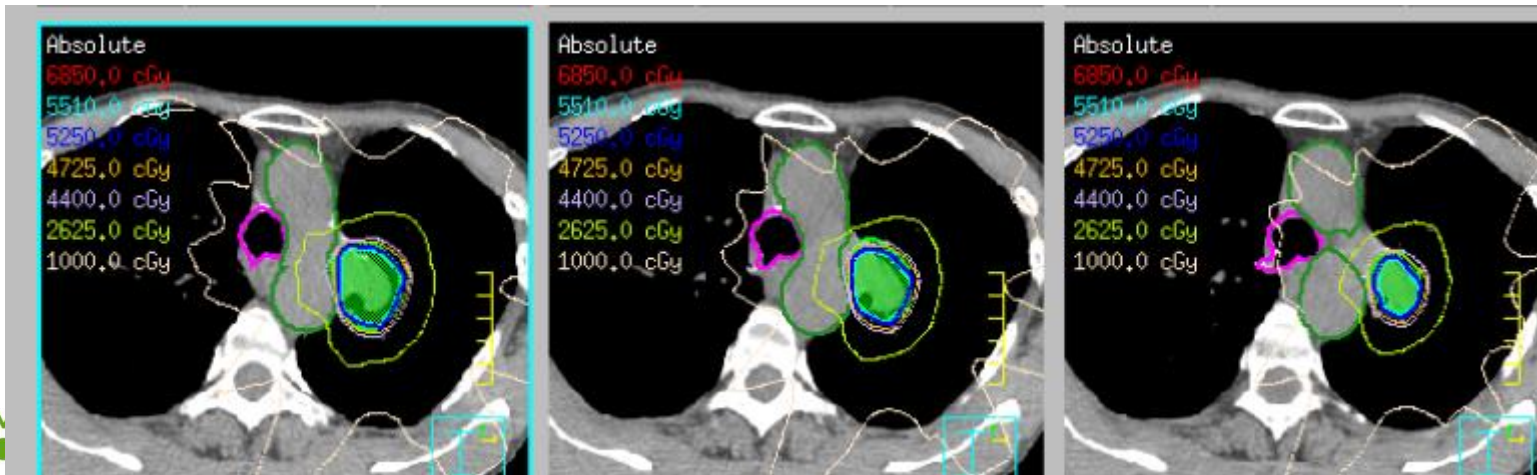
59 yr old lady, 2.2 cm adenoca, SUV 8

previous RUL and LUL lobectomies 4 and 6 yrs prior





Treated on RTOG 0813 phase I study - 52.5Gy/5 fr  
 Great Vessel (Aorta) max=5507.7cGy (Limit=55.1Gy)  
 10cc=3368cGy



# Course post SBRT

6 w and 3 mo f/u - well, response on CXR

5.7 mo post SBRT– sudden onset of feeling unwell,  
looked pale, refused to go to MD

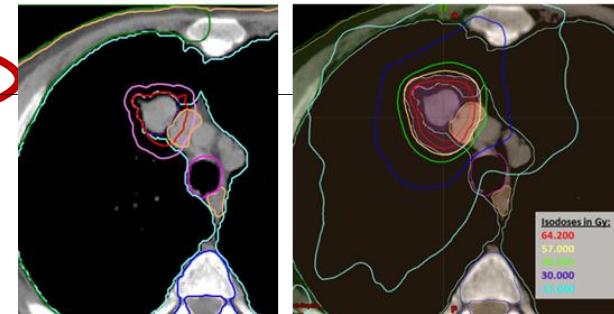
Next day blood - ? coughed or vomited – called  
ambulance – pt arrested within minutes of ambulance  
arrival –resuscitation attempts unsuccessful

Autopsy not performed

# DOSE CONSTRAINTS: LARGE VESSELS

<b>Great vessels</b> (aorta, vena cava sup. and inf., brachiocephalic veins) ( $\alpha/\beta$ 3 Gy), potential side effects: hemoptysis and fatal bleeding				
Reference	Number of reported patients (treated tumours)	Number of centres	Pro (p)-/retro(r)-spective	Results (max. point dose or dose/fractionation and EqD2 in Gy provided if possible)
Timmerman (50)	70	1	p	Single cases of hemoptysis and fatal bleeding with varying SBRT regimens (s. bronchus)
Senthi (9)	(563)	20 <sup>o</sup>	r/p(4)	Single cases of hemoptysis and fatal bleeding with varying SBRT regimens (s. bronchus: Song (51), Milano(62), Oshiro (63), Bral (36))
Canon et al. (65)	75*	1	p	(s. bronchus)

<b>Recommendations/NT constraints</b>	
Timmerman (66)	maximum point dose: 37 Gy (1 fraction regime – <b>EqD2: 296 Gy</b> ) 53 Gy (5 fraction regime – <b>EqD2: 144.2Gy</b> )
RTOG 0813 (56)	maximum point dose: 63 Gy (5 fraction regime - <b>EqD2: 196,6Gy</b> ) 75 Gy (10 fractions regime - <b>EqD2: 157.5Gy</b> )
<b>EORTC 22113-08113</b>	no restrictions, but recording of DVH data for toxicity



Adebahr et al , BJR 2015

# DOSE CONSTRAINTS LUNGTECH TRIAL: SUMMARY

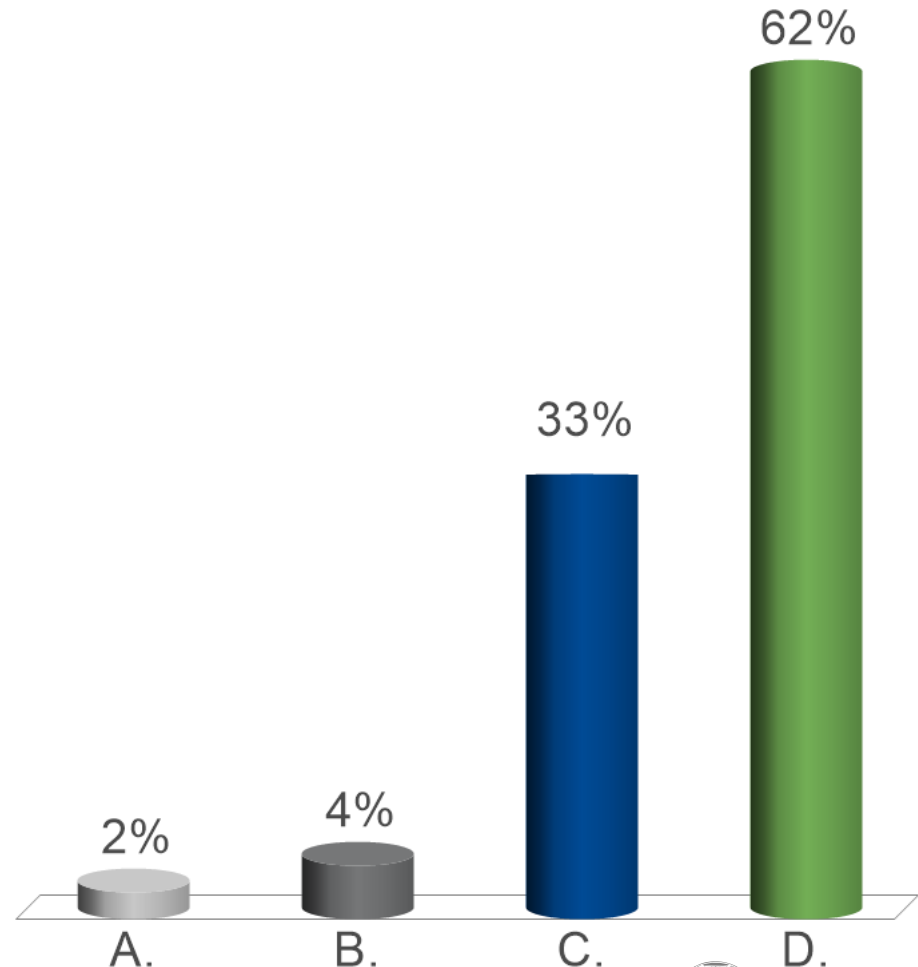
OAR	$\alpha\beta$ in Gy	$D_{max}$ in Gy	EqD2 in Gy	Acceptable variation in Gy	Acceptable variation EqD2 in Gy	Unacceptable variation in Gy	Unaccep- table variation EqD2 in Gy
Trachea/ Main bronchus	3	$8*5.5= 44$	74.8	$<8*5.81=46.68$	$< 81.9$	$\geq 8*5.81=46.68$	$>81.9$
Heart §	3						
Great vessels §	3						
Oesphagus	3	$8*5 = 40$	64	$<8*5.44=43.52$	$<73.6$	$\geq 8*5.44=43.52$	$\geq 73.6$
Spinal cord&	2	$8*4 = 32$	48			$>8*4=32$	$>48$
Brachial plexus&	3	$8*4.75=38$	58.9	$<8*5.17=41.36$	$< 67.7$	$\geq 8*5.17=41.36$	$\geq 67.7$
Body-PTV&	3	$8*7.5= 60$	126	$<8*7.785=62.28$	$<134.2$	$\geq 8*7.785=62.28$	$\geq 134.2$
Lung-CTV §	3						
Chest wall §	3						

& for <0.5 cc

§ no restrictions are provided but recording of DVH data for toxicity evaluation is required

# Q4: what do you do with critical normal tissues (NT) overlapping with a high-dose PTV, e.g. in SBRT?

- A. We prioritize for the PTV, no respect to NT
- B. We prioritize for the NT, no respect to PTV
- C. We lower the dose (or change fractionation) to the whole PTV to reach the constraint of the NT
- D. We somehow compromise in the part of the PTV which is near the NT





# How can we cope with critical serial organs near to high-dose targets?

Strahlenther Onkol (2016) 192:886–894  
DOI 10.1007/s00066-016-1057-x



ORIGINAL ARTICLE

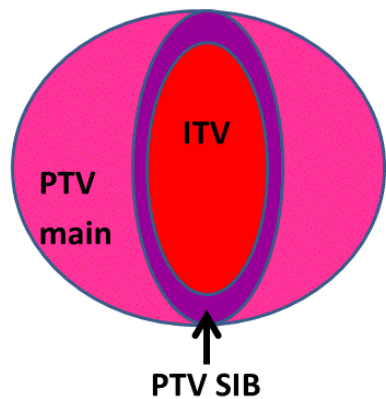
## Simultaneous integrated protection

A new concept for high-precision radiation therapy

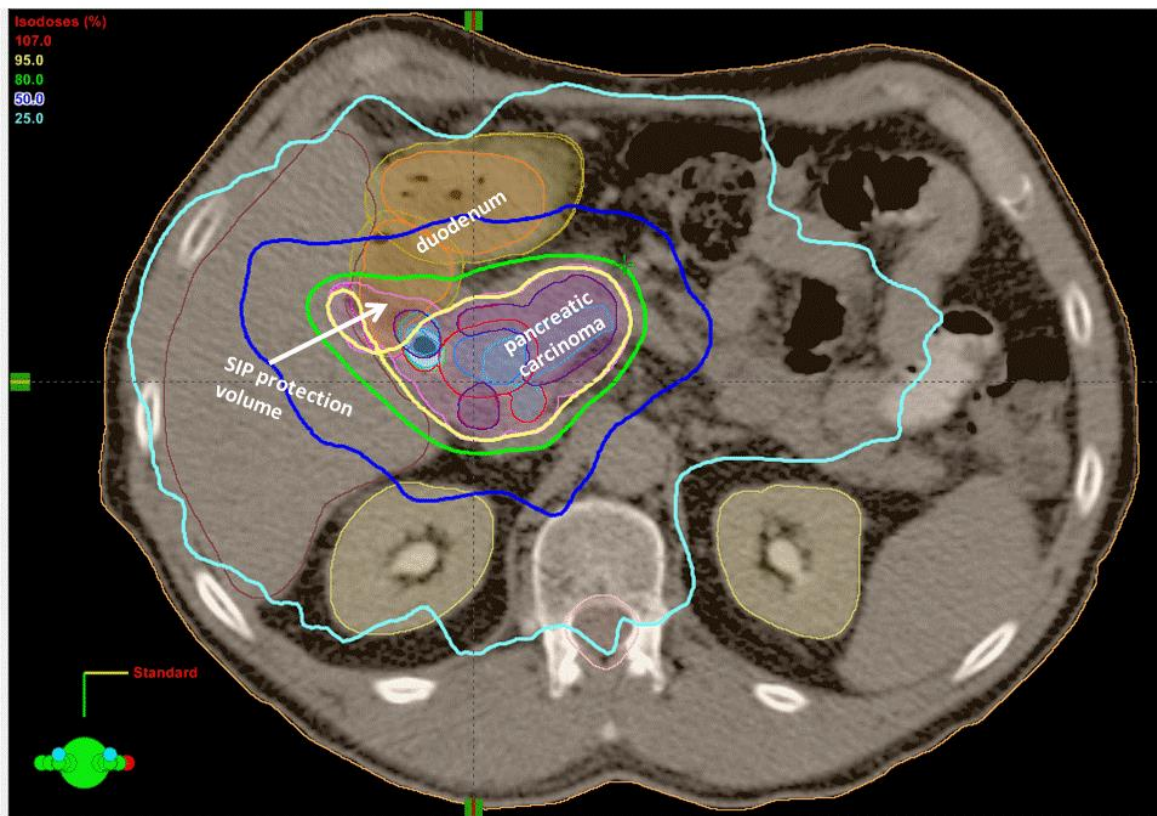
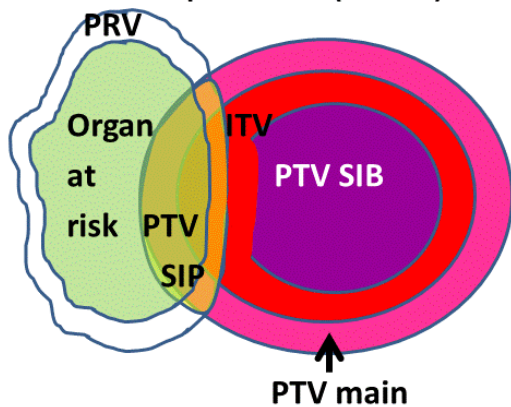
Thomas B. Brunner<sup>1,2</sup> · Ursula Nestle<sup>1,2</sup> · Sonja Adebahr<sup>1,2</sup> · Eleni Gkika<sup>1,2</sup> · Rolf Wiehle<sup>1,2</sup> · Dimos Baltas<sup>1,2</sup> · Anca-Ligia Grosu<sup>1,2</sup>

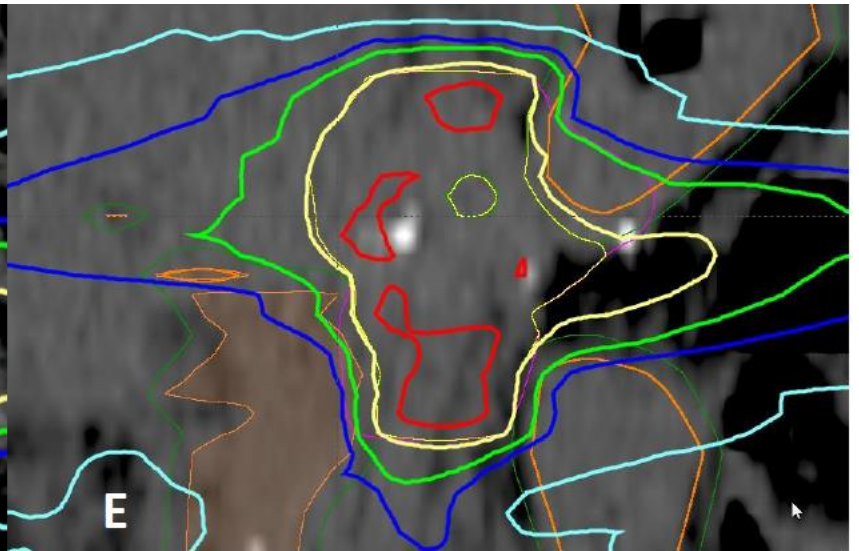
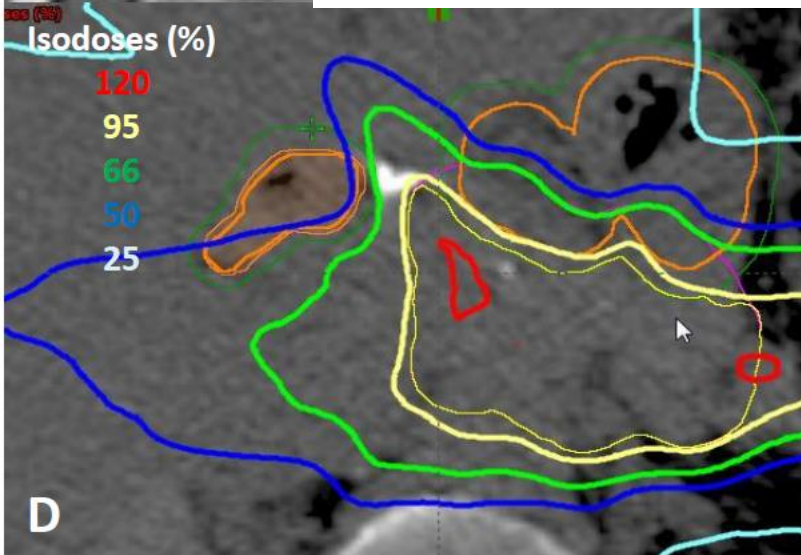
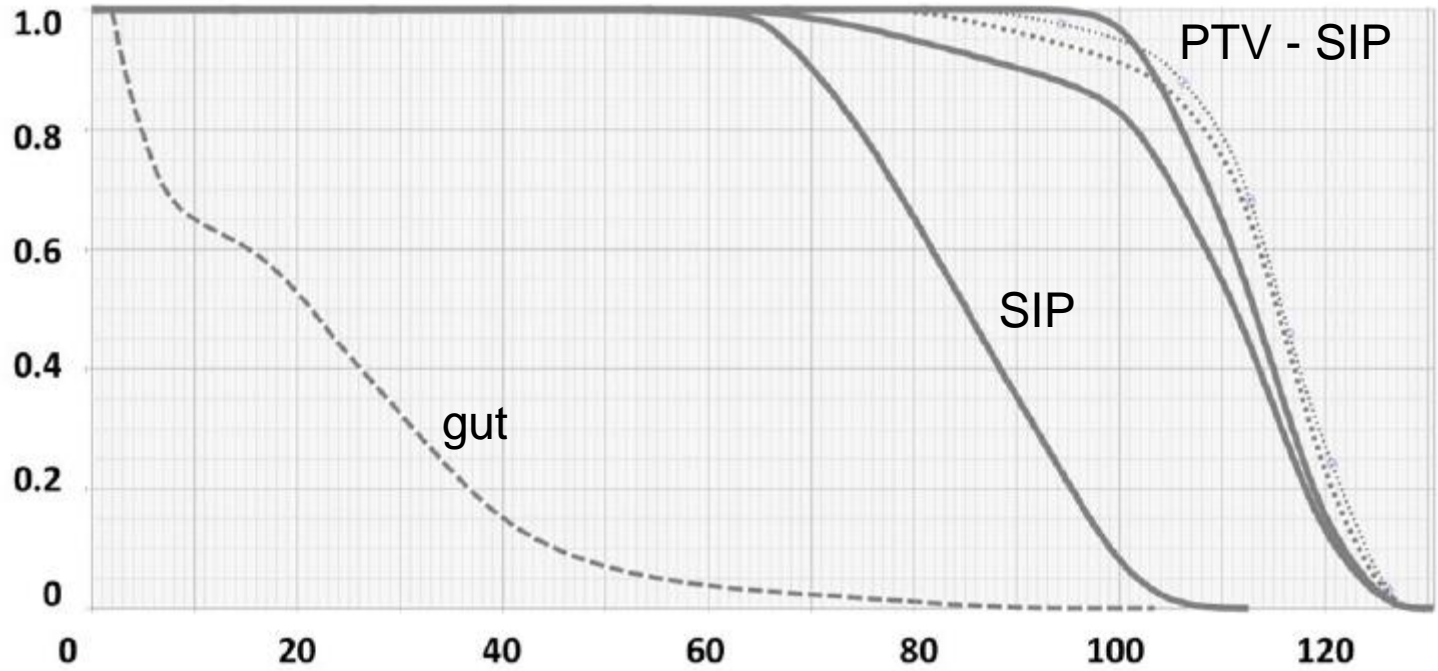
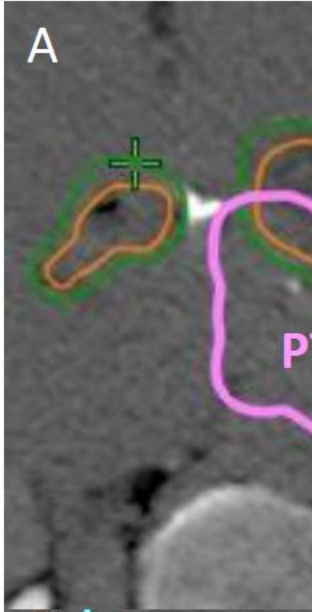
# SBRT- SIB and SIP: Concept to obtain highest TCP and low NTCP

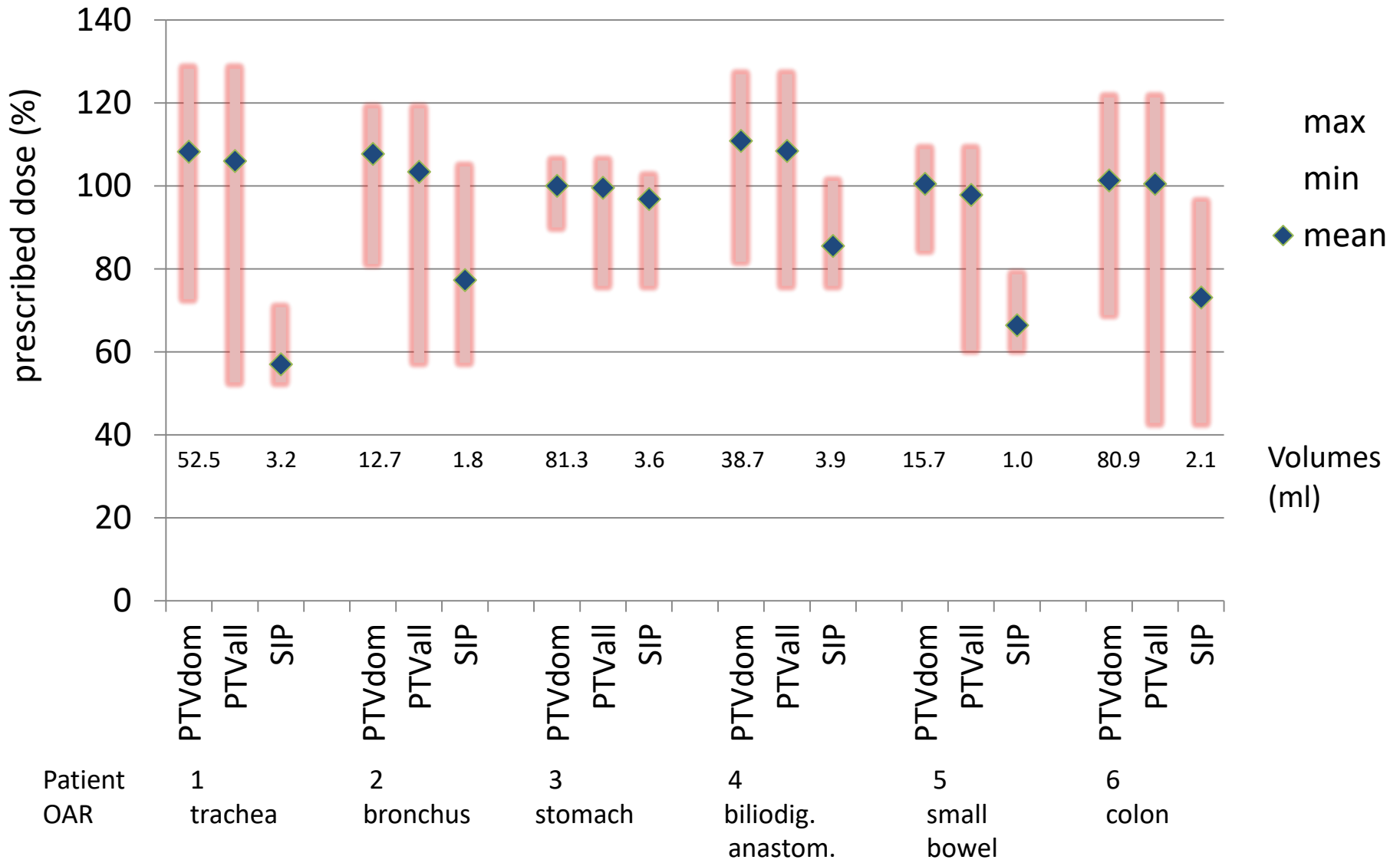
Conventional simultaneous integrated boost (SIB)



Novel simultaneous integrated boost & protection (SIB-SIP)









# Summary

- in high-precision radiotherapy enabling hypofractionation, effective tumor doses often exceed normal tissue tolerances
- a relevant problem are critical serial normal tissues near high-dose targets, as exceeding tolerance doses here may lead to life-threatening consequences for the patient
- advanced treatment strategies therefore need the discussion of compromises
- beyond adapting dose and fractionation, local strategies may help to ensure high TCP

# Physical and biological optimisation

Gert Meijer

# Physical optimisations

- **Input:** prescribed dose distribution
- **Goal:** maximise agreement between prescribed and resulting dose distribution
- **Example:** minimise quadratic difference between prescribed and calculated dose distribution

# Advantages

## Physical optimisations

- Use of dose and dose-volume objectives is easy and intuitive
- Clinical knowledge is expressed in dose-volume endpoints and can easily be incorporated in the treatment planning recipe
- Objectives are easily and efficiently implemented in computer algorithms



# Limitations

## Physical optimisations

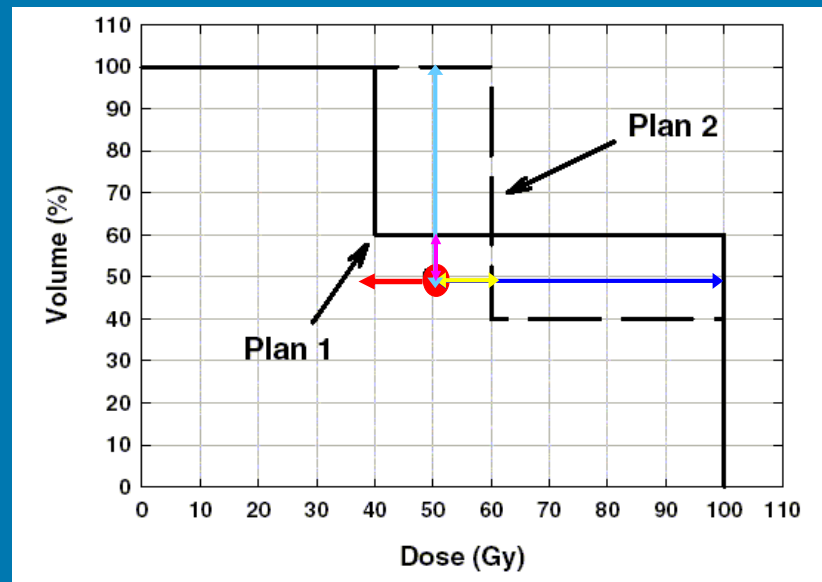
- Quadratic dose difference may not reflect clinical objective
- Properly ranking plans based on dose-volume objectives may fail

Objective: 50% of volume is to receive <50 Gy

Score: Plan 1:  $10/100 \times (100 - 50)^2 = 250$

Plan 2:  $50/100 \times (60 - 50)^2 = 50$

Result: Plan 1 is rejected!



Courtesy of Aswin Hoffmann

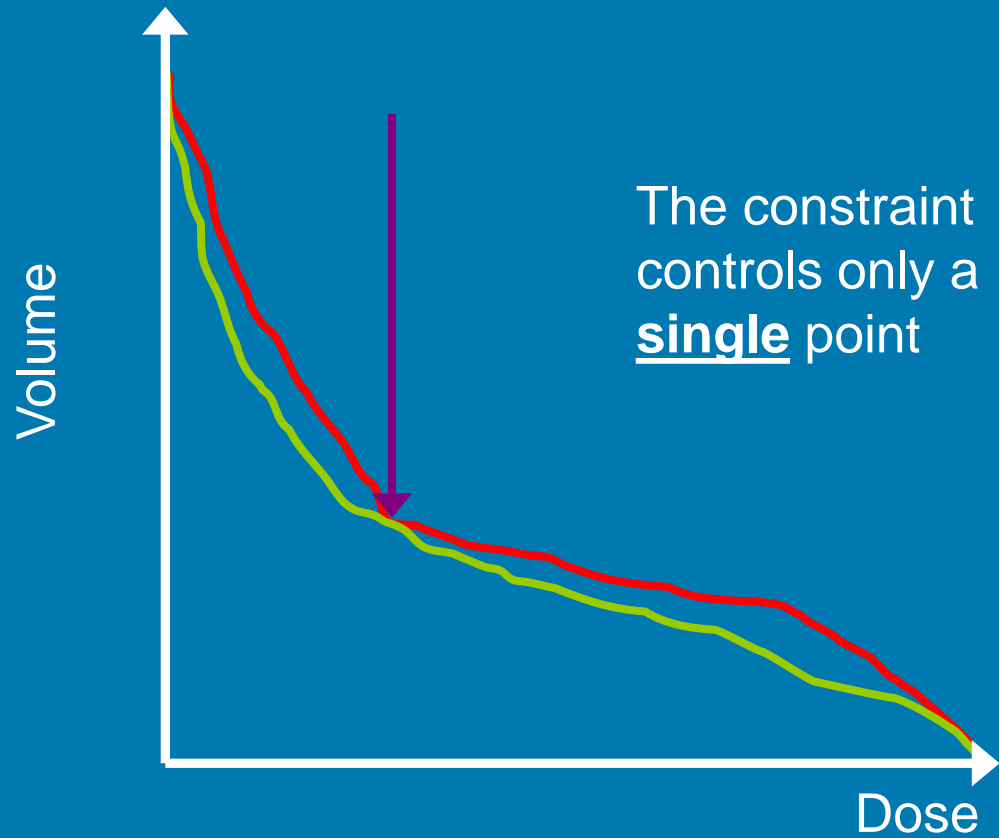
# Limitations

## Physical optimisations

- Objectives do not reflect non-linear dose-response relationship
  - Resulting treatment plan is therefore usually not clinically optimal
- Planning efficiency
  - For each objective a triplet (dose, volume, weight) has to be specified
  - Multiple objectives are needed for the same organ to define a DVH

# Limitations

## Physical optimisations



# Optimization in the biology domain

- **Rationale:** The aim of RT is not to give a required dose to the target, but to accomplish a **clinical effect**
- **Idea:** Incorporate radiosensitivity of a tumor and normal tissues in the optimization process
- **Method:** Use an adequate model to quantify the biological effect of dose deposition

# Radiobiological dose-response models

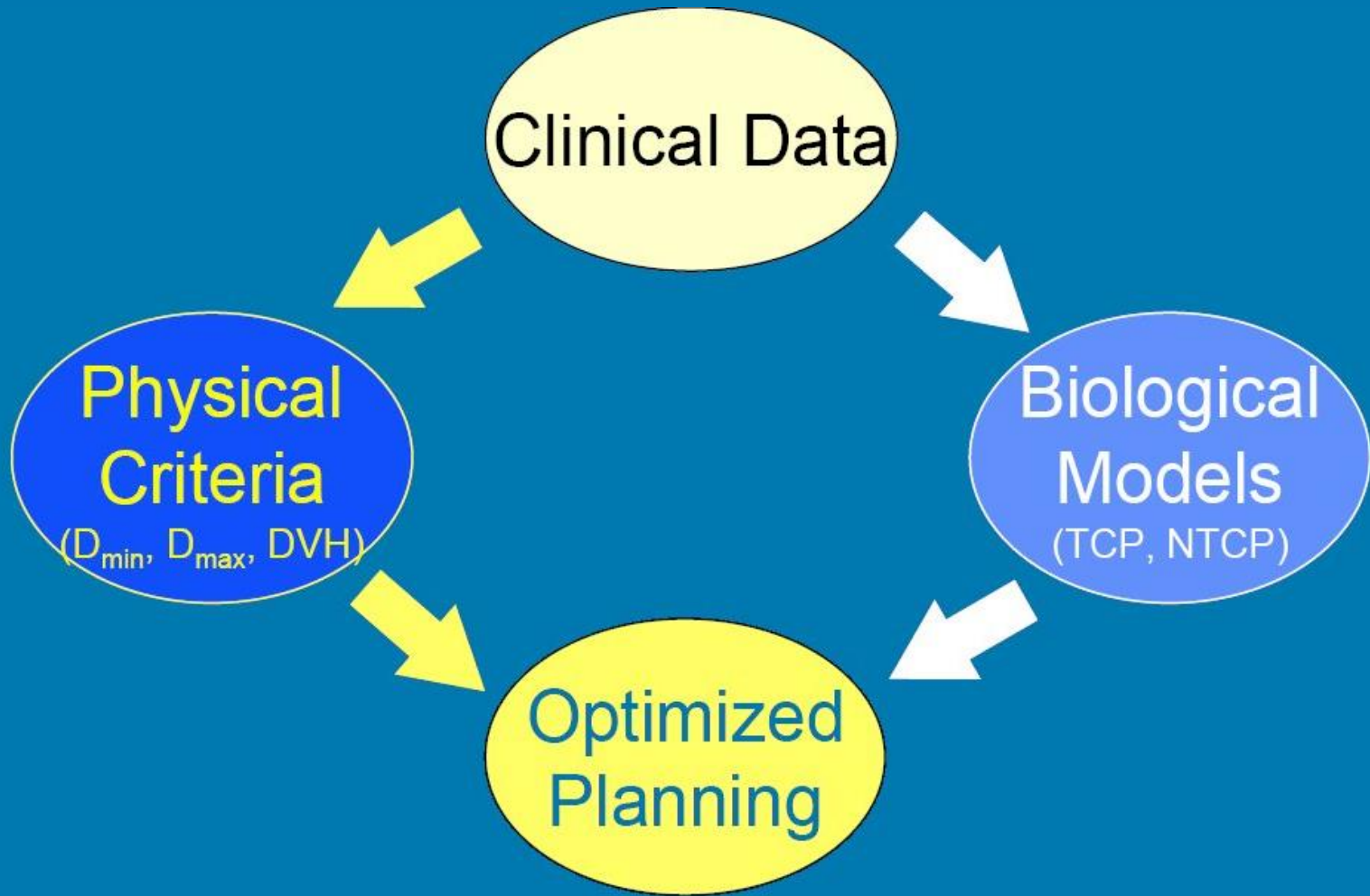
- **Mechanistic models:** radiobiological basis

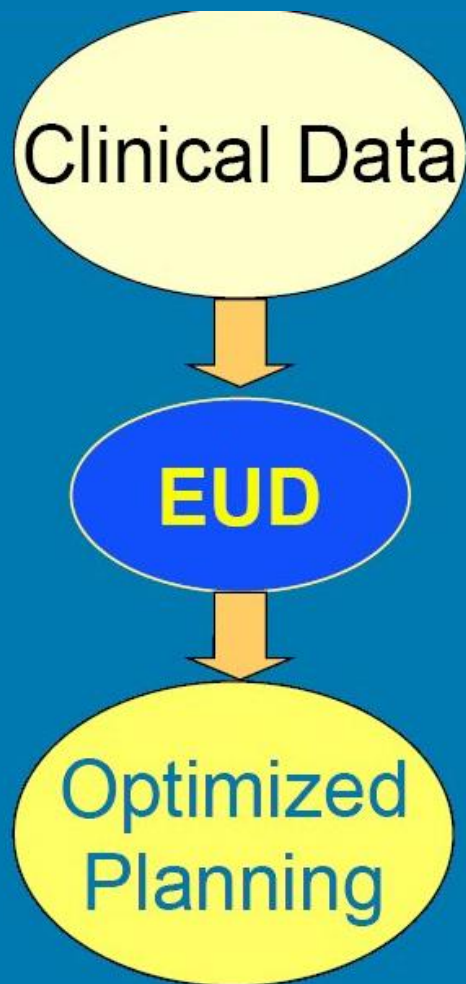
- energy deposition in tissue → clinical/biological effect
- adequate mechanistic models → clinical/biological effect

**this is merely a dream**

- **Empirical/phenomenological models**

- describe observed clinical effect as dose-response relationship
- find a way to substitute lack of biological knowledge with clinical experience: “let the data speak”



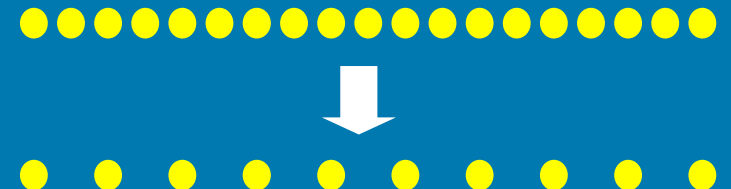
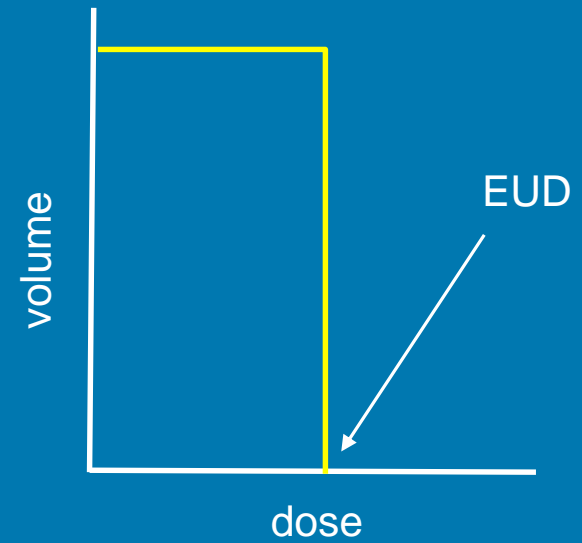
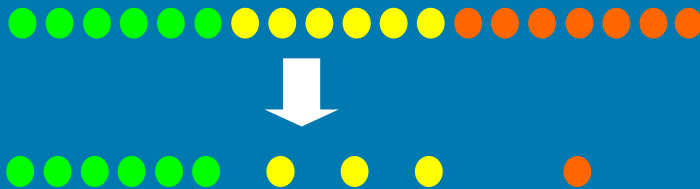
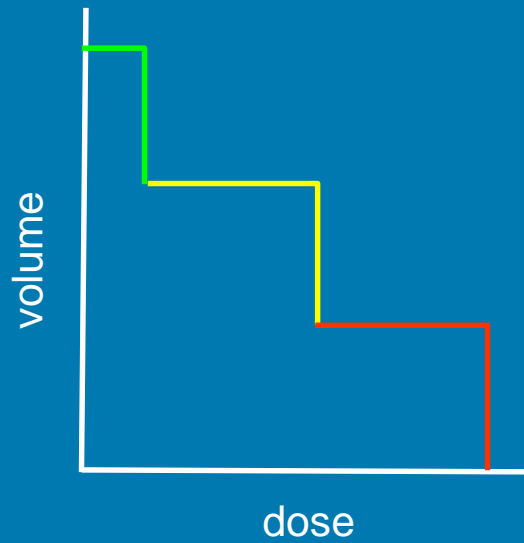


# Equivalent uniform dose

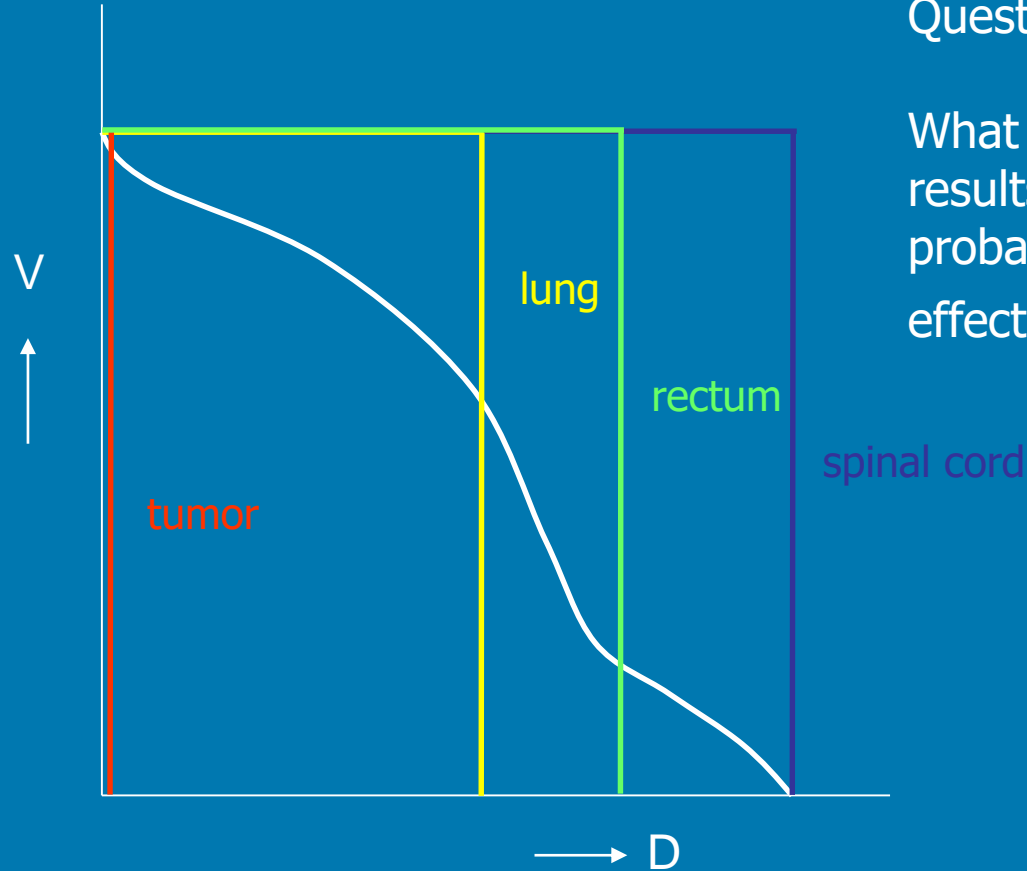
the EUD represents a uniform dose,  
which leads to the same probability  
of a radiobiological effect as  
the corresponding inhomogeneous dose



# Equivalent uniform dose



# Equivalent uniform dose




Question:

What homogenous dose results in an identical probability of a radiobiological effect?

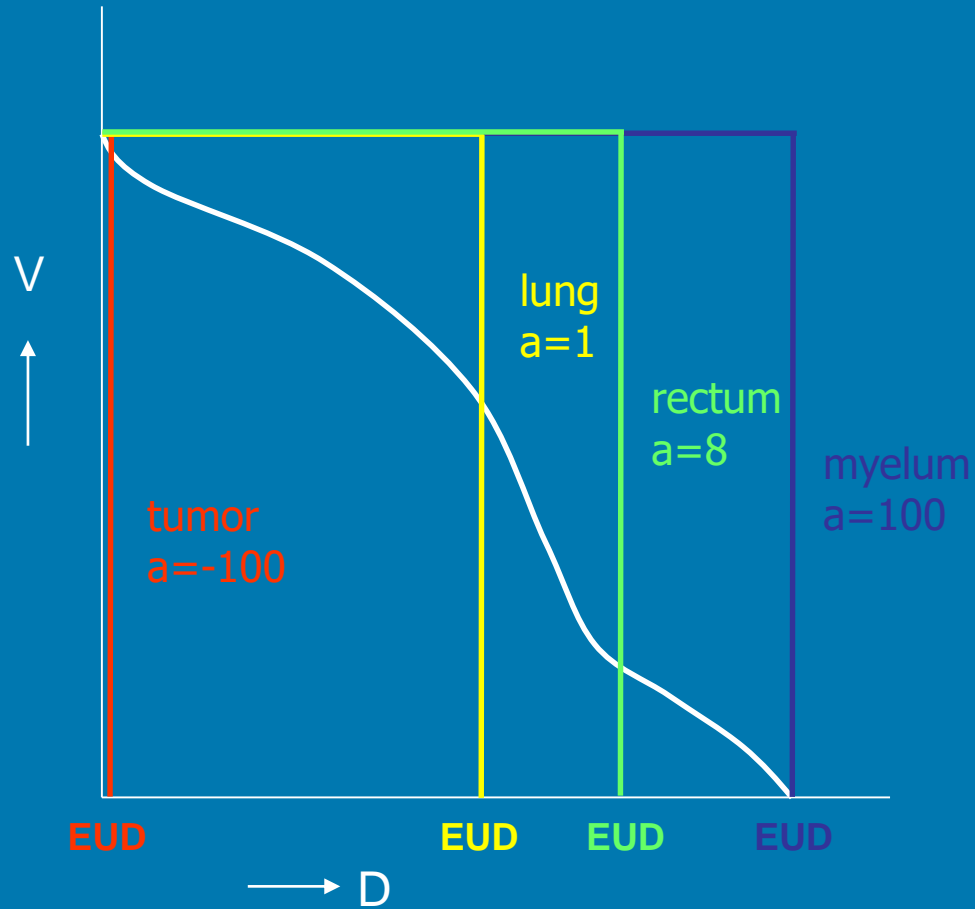
# Equivalent uniform dose

$$\text{EUD} = \left( \frac{1}{N} \sum_{i=1}^N d_i^a \right)^{1/a}$$

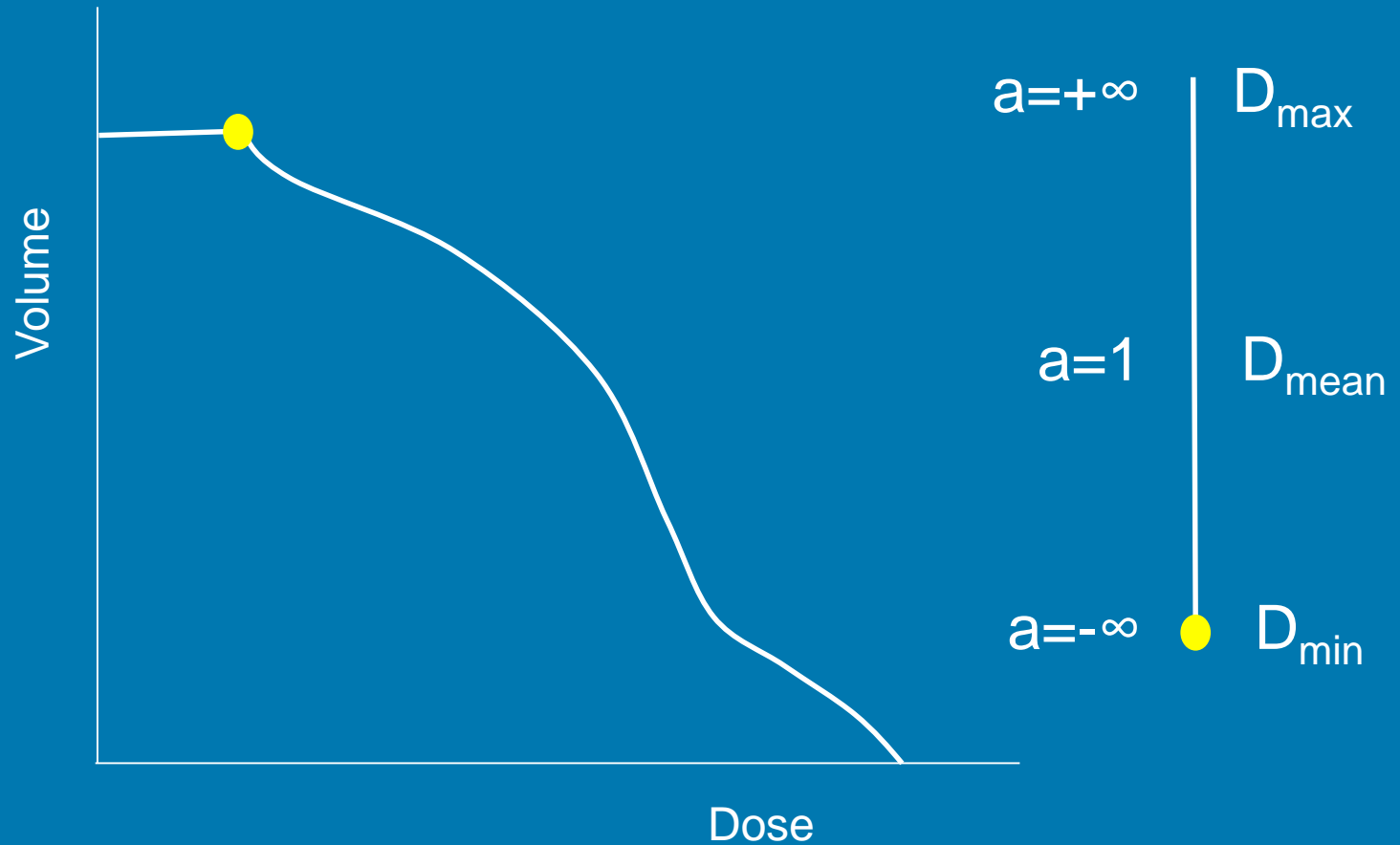
$$\text{EUD} = \frac{1}{N} \sum_{i=1}^N d_i$$


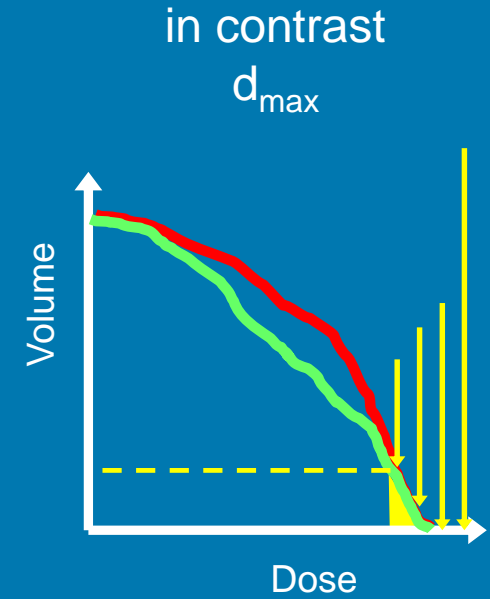
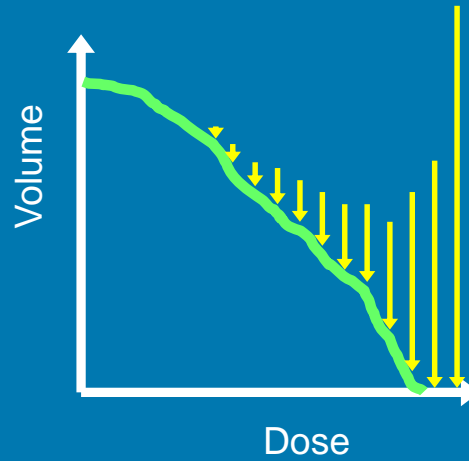
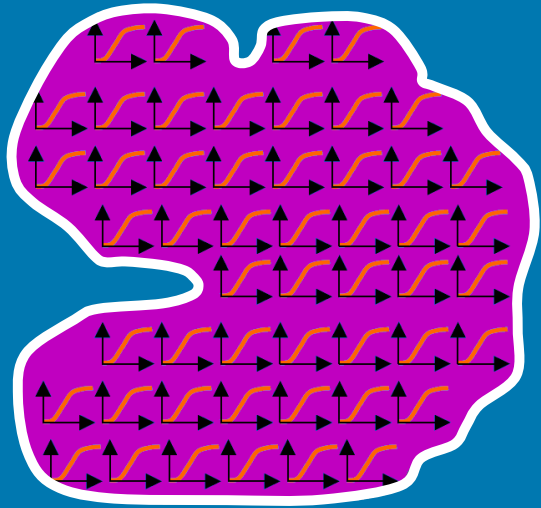
	Effect	Suitable organs
$a < 1$	Lower doses are given higher weight, so that cold spots affect the EUD to a large extent.	Targets.
$a = 1$	This corresponds to the mean dose. Cold and hot spots are given equal weight.	Parallel organized normal tissue, such as lung and liver.
$a > 1$	Larger doses are given higher weight, so that hot spots affect the EUD to a large extent	Serial tissue, such as the spinal cord.

# Equivalent uniform dose

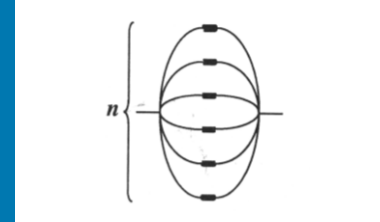
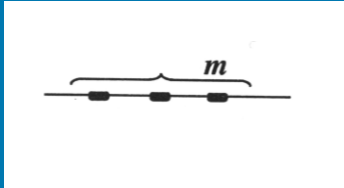


# Equivalent uniform dose

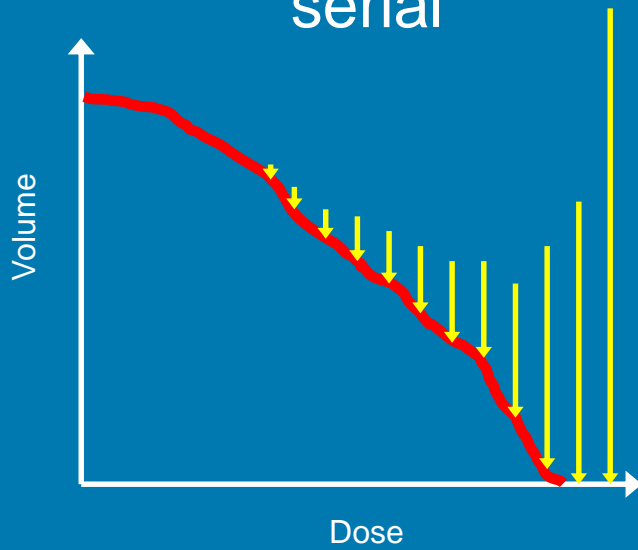




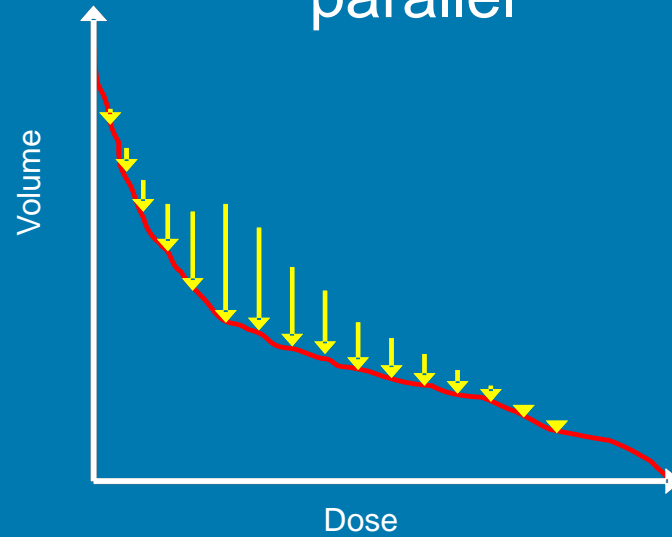
Essentially, a biological cost function is applied to each volume element of a structure  
The total effect is described in the resulting DVH



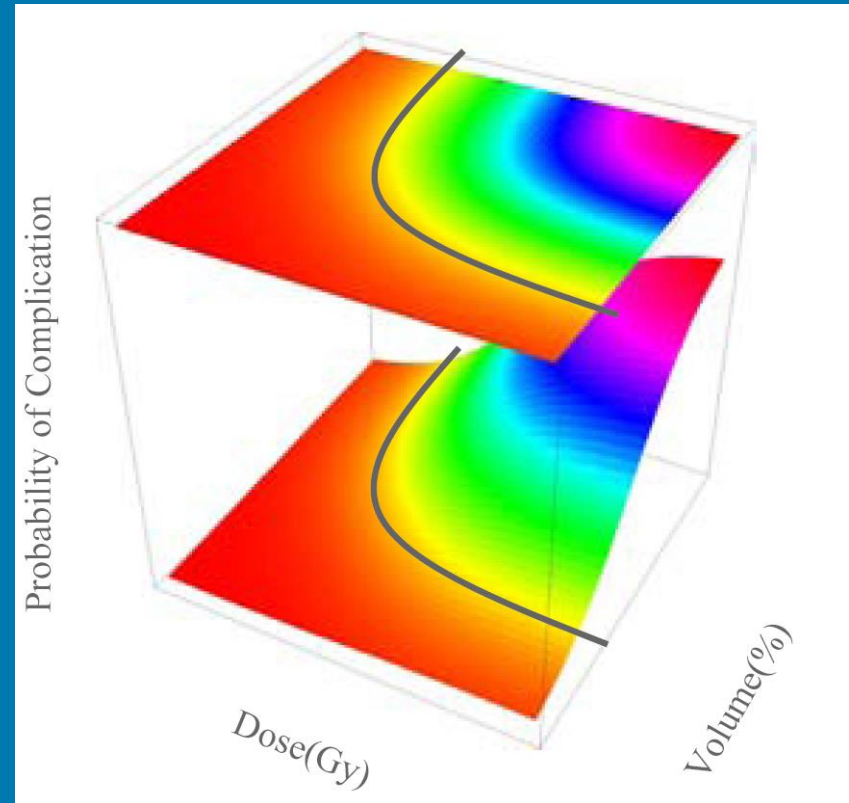
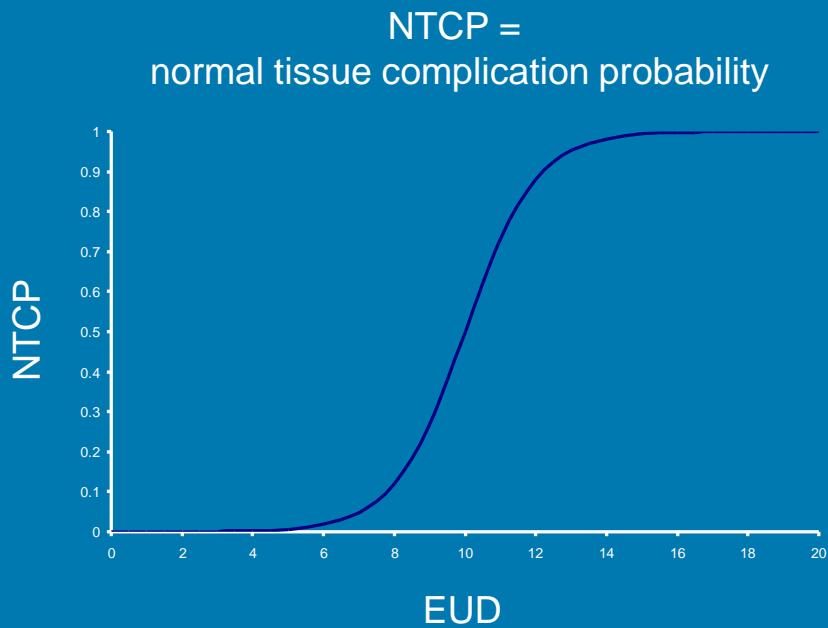
serial



parallel

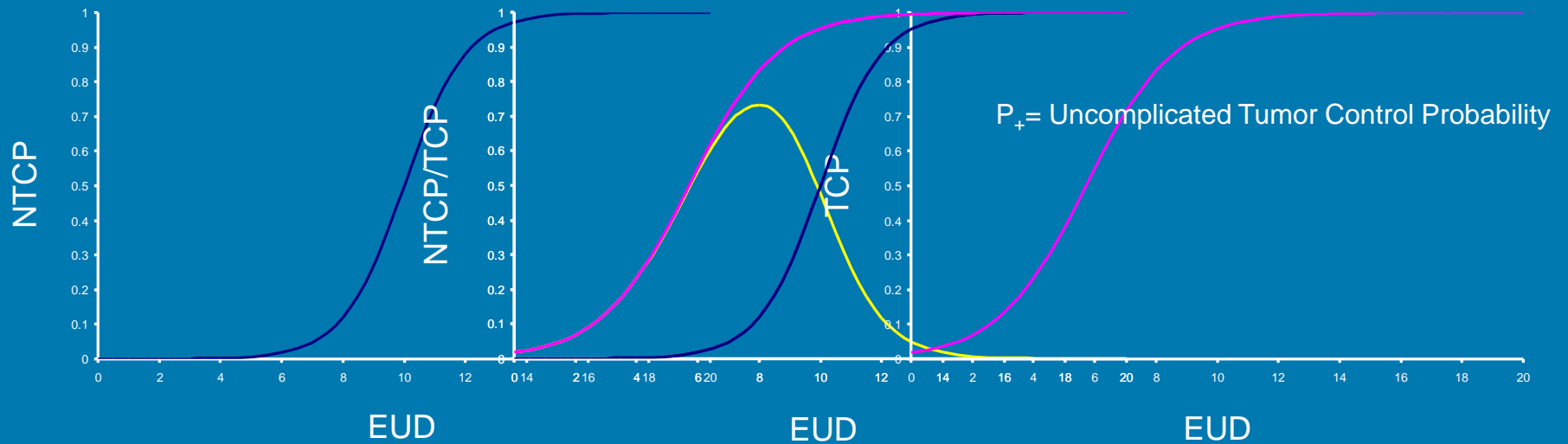


# Can we go beyond EUD?





# Can we go beyond EUD?



# Limitations

## Biological optimisations

- Knowledge about biological effects and clinical data is scarce and incomplete
- The models are insufficient and the parameters are uncertain
- Models are not self-limiting: dose distributions can be generated beyond the model's range of validity

# Advantages

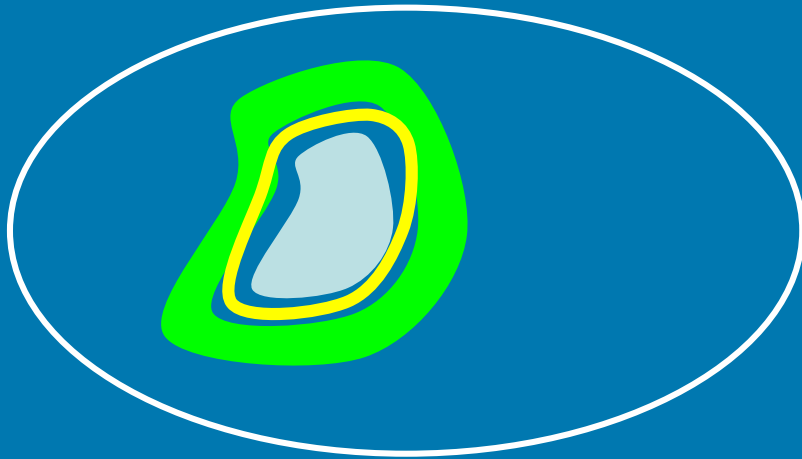
## Biological optimisations

- Both tissue architecture and radiation response are taken into account
- The volume effect is explicitly discounted for in the models used for optimisation
- Sigmoidal models seem to be more clinically relevant than a quadratically scored deviation from the prescribed dose

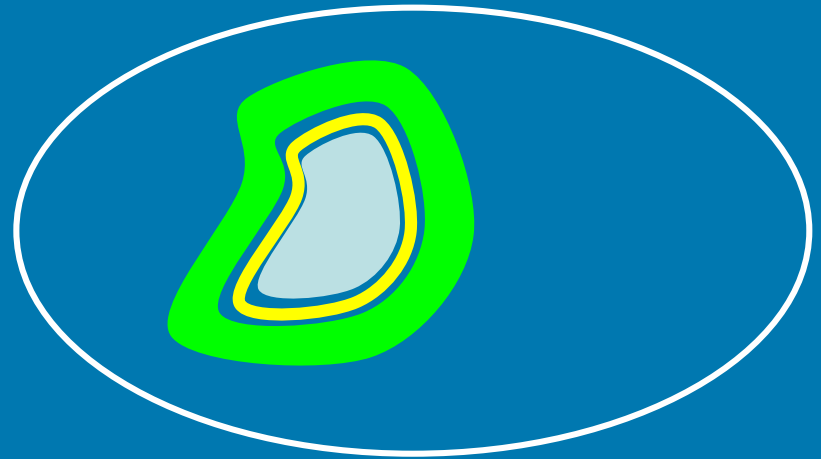
# Conclusions

- **Physical optimisation** using quadratic cost functions to penalize the dose deviations seems practical, but may be too optimistic in meeting the clinical objective
- **Radiobiological optimisation** will become more trustworthy by judicious use of more accurate dose-response models
- **Physico-biological optimisation** can generate plans that are clinically recognized and fulfill the dose and dose-volume constraints based on clinical practice, while outperforming physically optimised plans

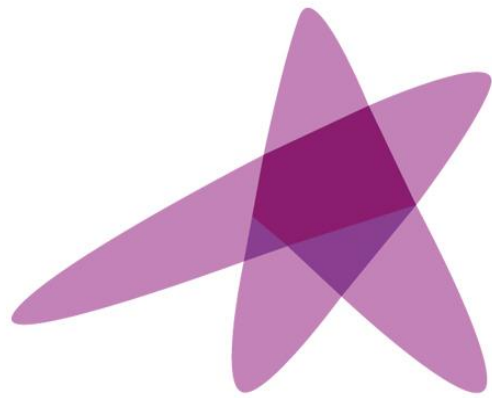
Special acknowledgements to Aswin Hoffmann who kindly provided many slides



$\alpha=8$   
EUD = 40



$\alpha=8$   
EUD = 35



**ESTRO**

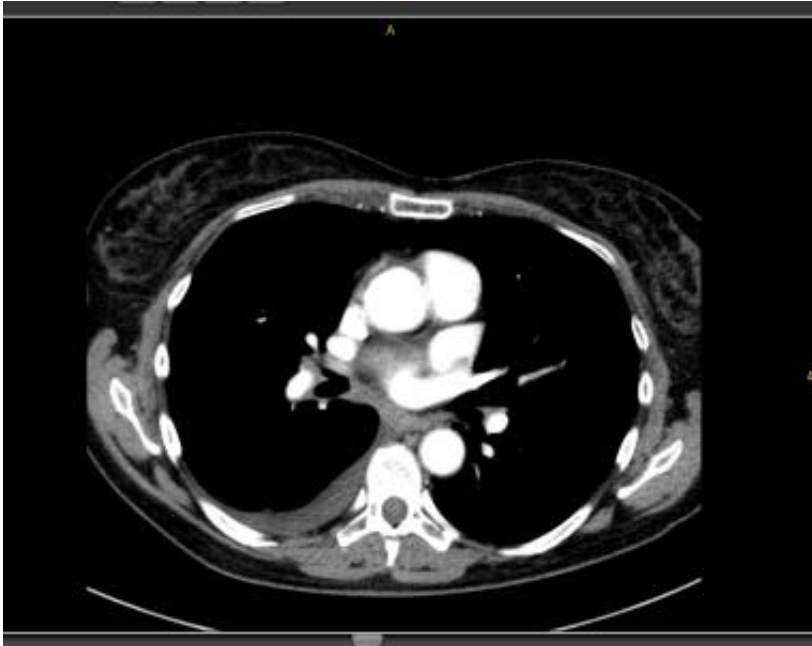
*School*



# Lung case discussion

*ESTRO ATP Athens  
September 2018*

## Case 3 (lung)



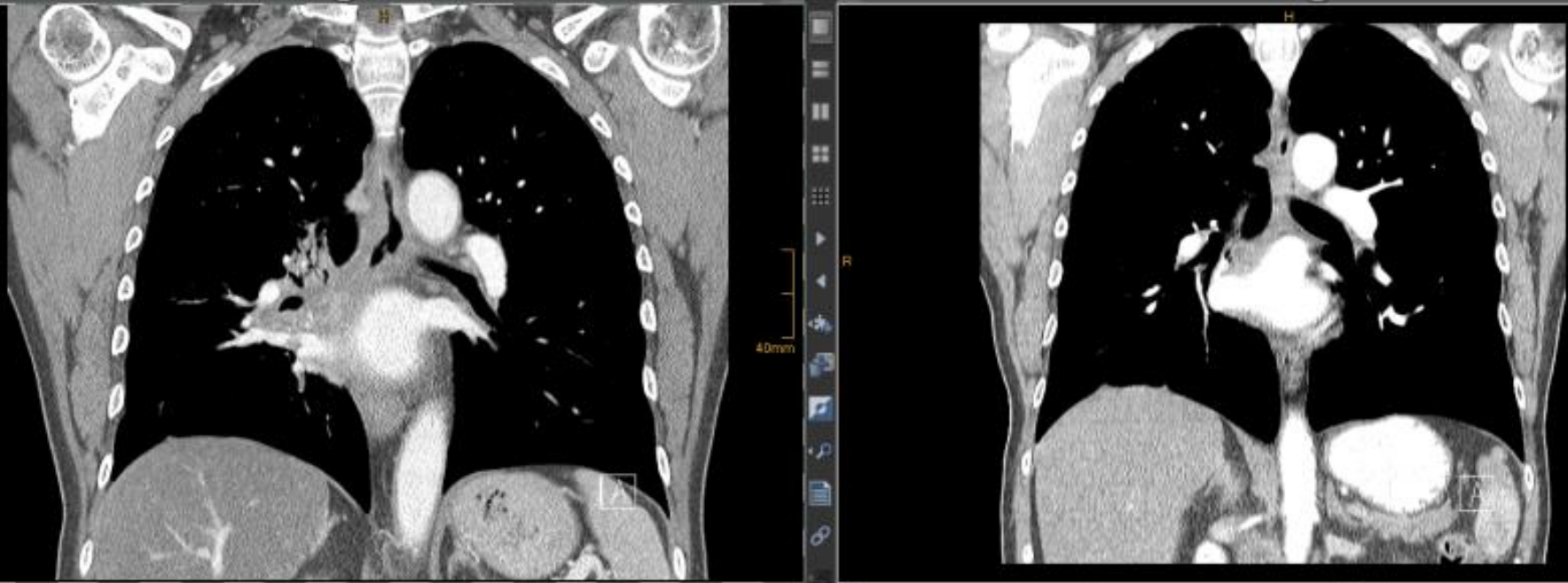
Female pt. \*1952;  
SCLC diagnosed in 2009  
cT4 cN3 Mx  
(suspected liver metastasis, later on excluded)  
finally: M0 = limited disease  
before 08/2009 6 x CE, partial remission  
referred for consolidating radiotherapy of mediastinum





ing and administration:  
int... prescription:  
**Your challenge!**  
„not possible“  
final PTV and dose prescription:  
PTV2, 45/1.8 Gy

# Case 3 (lung): further development of disease



01/2010: local recurrence right hilum, brain metastasis

brain radiotherapy, chemotherapy

pat. died in 2010

# Case 3 (lung): your planning task

Please try to design a RT treatment plan for **59.4 Gy 1.8 Gy** to the whole PTV1 (ICRU)

## NT restrictions

- *lung*  $V_{20\%} < 35\%$   
and  $MLD < 18 \text{ Gy}$   
 $V_5$  of both lungs  $< 60\%$
- *spinal cord (PRV)*  $D_{\max} < 48 \text{ Gy}$
- *esophagus*  $V_{55\text{Gy}} < 35 \%$   
or  $D_{\text{mean}} < 35 \text{ Gy}$

## Further considerations:

if constraints cannot be reached, a **compromise** may be needed.

Possible trade-offs for compromise:

- discuss to **loosen PTV coverage** from lower constraint 99% receiving 95% of the prescribed dose to 95%
- as pneumonitis may kill the patient soon, try to keep the **lung** constraints without compromise
- allow up to 50 Gy point dose to the **spinal cord** and/or steep dose gradients near to the spine, if IGRT is available
- allow more dose to the **esophagus**, as this will affect acute toxicity, which can be monitored and treated clinically

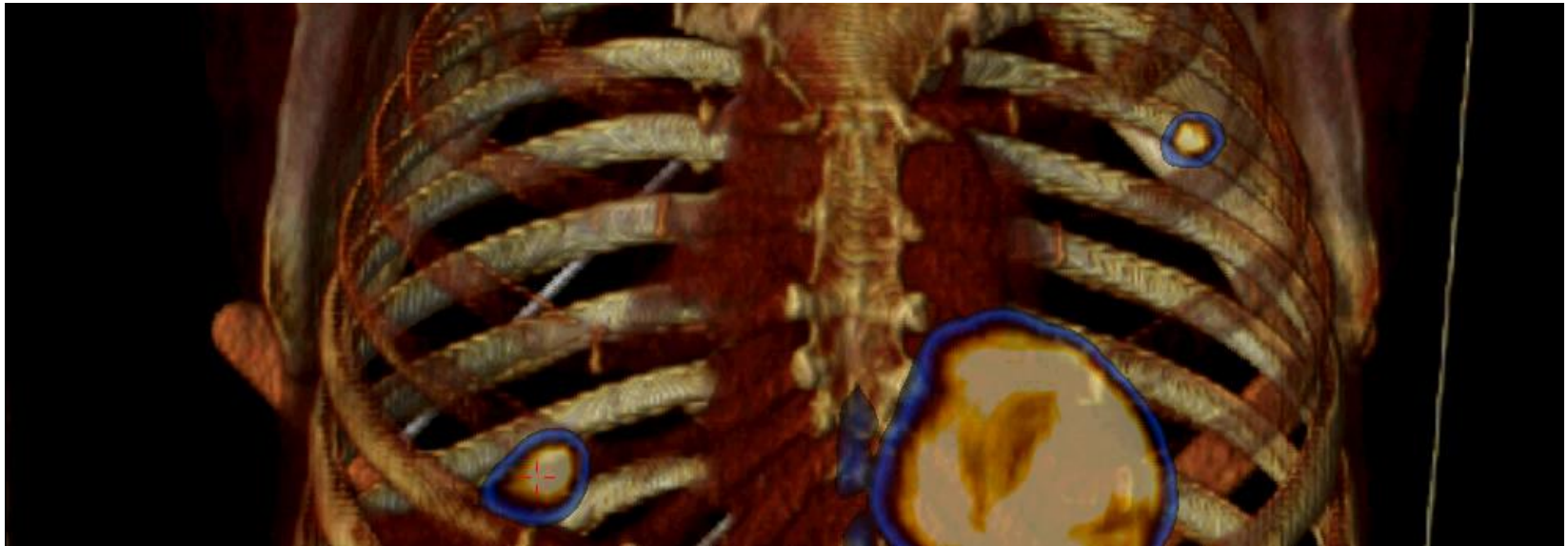
# Individual planning



Enjoy !







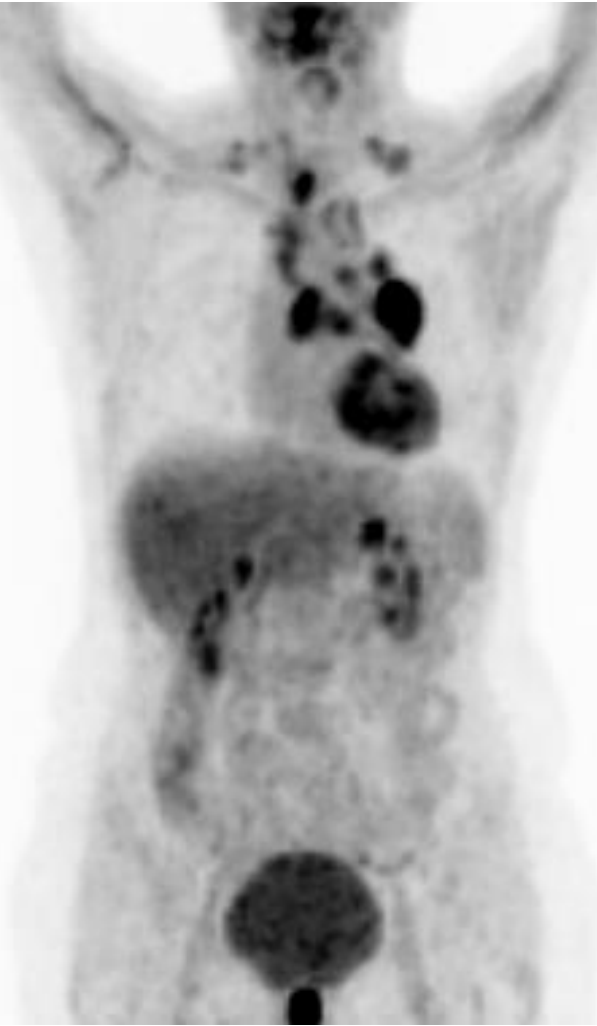
# Molecular imaging in treatment planning

**Prof. Ursula Nestle**

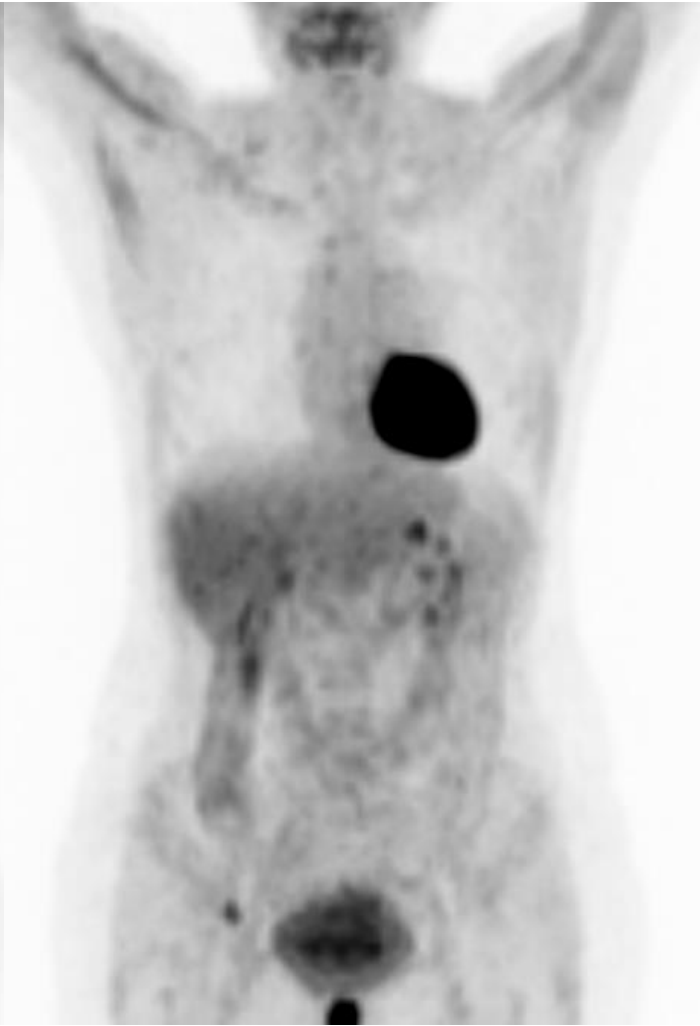
Klinik für Strahlenheilkunde Universitätsklinikum Freiburg, Germany

and Klinik für Strahlentherapie und Radioonkologie Kliniken Maria Hilf Mönchengladbach

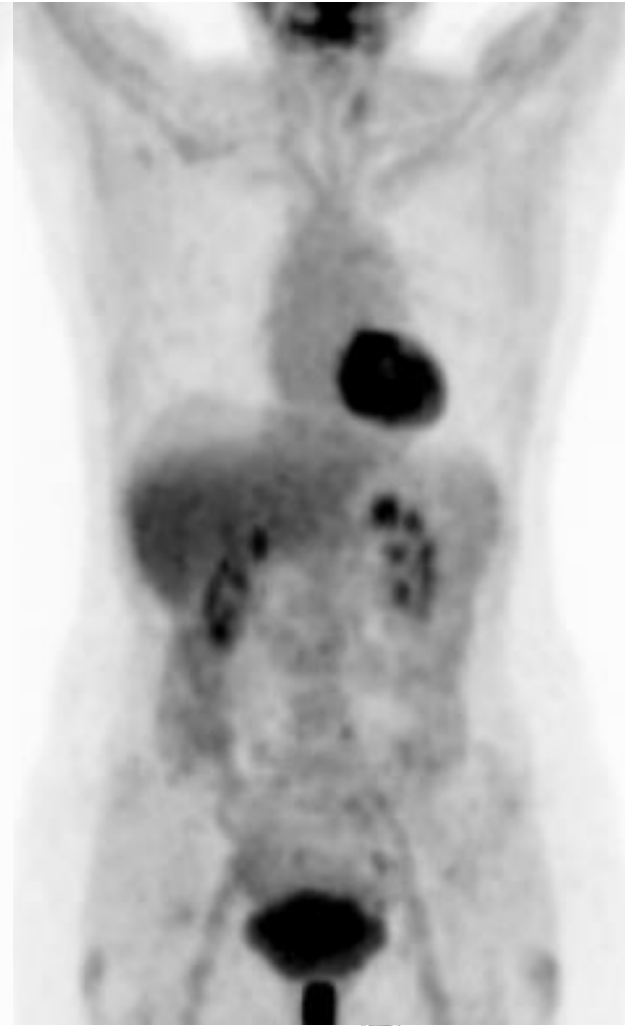
# Cure with the help of multimodal imaging ...



9.3.2010



20.12.2010



7.3.2012



# MCQ 1 - Improvements in medical imaging will impact on:

- A. The GTV
- B. The CTV
- C. The PTV
- D. all of the above



# Applications of multimodal imaging in radiation therapy: outline

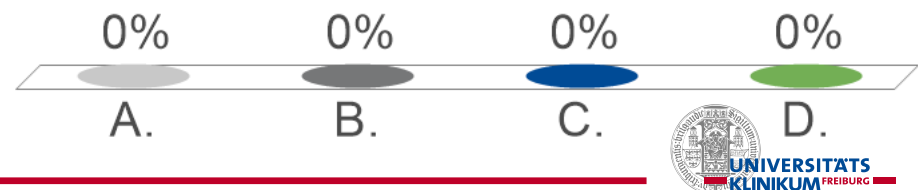
- Primary tumor: GTV
- Nodal volumes: CTV
- Movements: PTV
- Perspectives, caveats

# Applications of multimodal imaging in radiation therapy: outline

- **Primary tumor: GTV**
- Nodal volumes: CTV
- Movements: PTV
- Perspectives, caveats

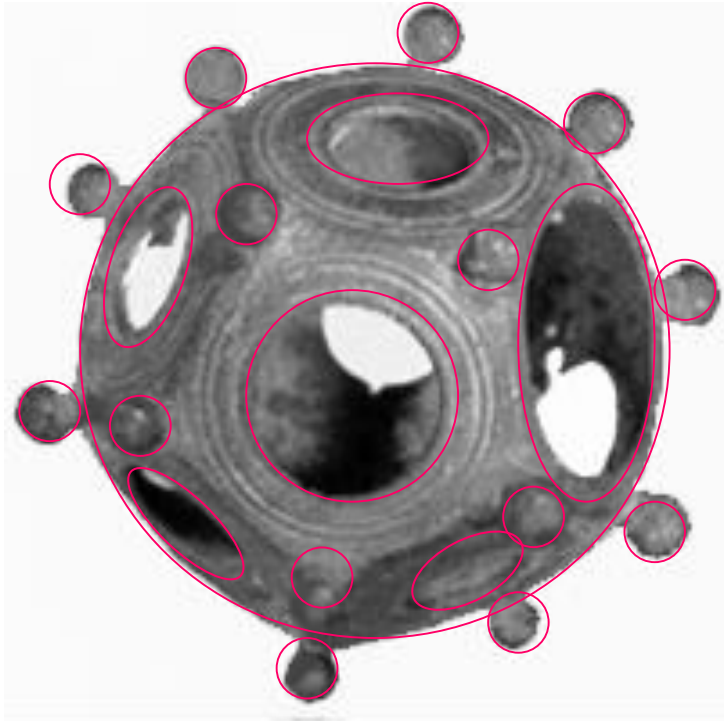
# MCQ 2 - Molecular imaging for GTV delineation:

- A. May help to better identify the tumor
- B. May depict normal tissue and inflammation
- C. May enable dose painting concepts
- D. all of the above



# Imaging for GTV-Definition

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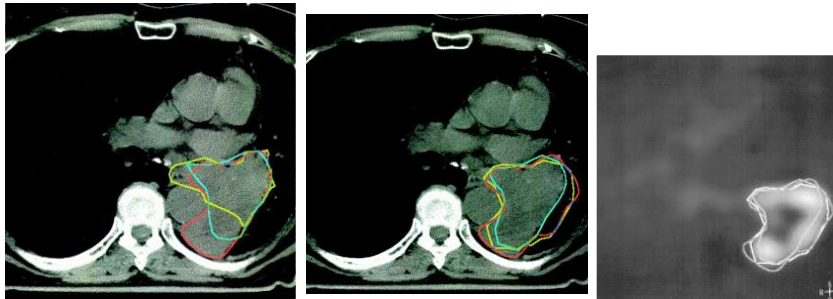
diagnostic imaging:

What is that?

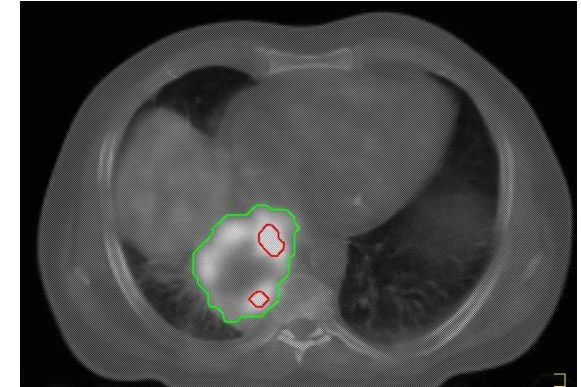
Treatment planning:

*Where* is that?

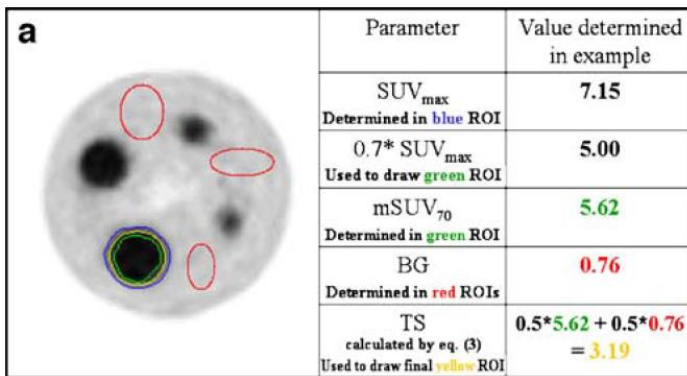
# Volume definition using molecular imaging-data: Chance and Challenge



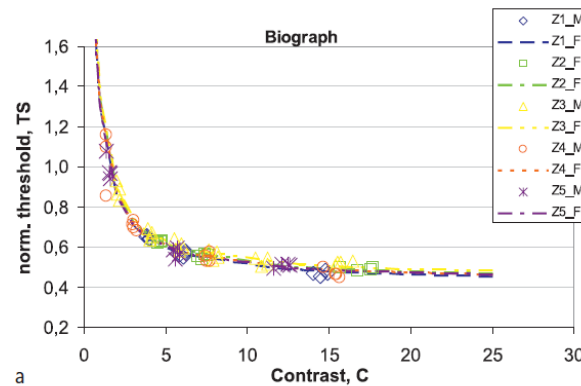
Caldwell, C. et al. IJROBP 2001



Nestle, U. et al; JNM 2005



Schaefer, A ... Nestle, U.; EJNMMI 2008



Schaefer, A, Nestle, U. et al.; Nuklearmedizin 2012

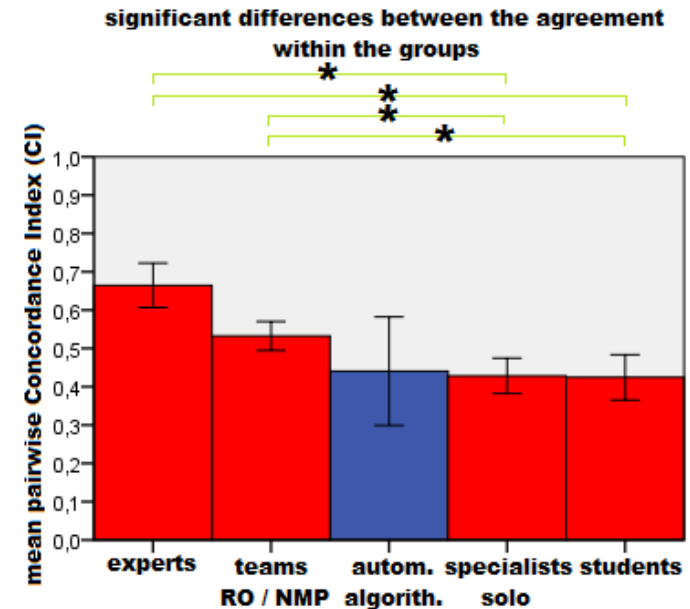
label	algorithm type	description
MD	manual delineation	slice-by-slice outlining of PET VOIs using a computer mouse
RG	region growing	variants of the classical algorithm
WS	watershed	variants of the classical algorithm
PL	pipeline	multi-step algorithms that combine established image processing methods
GR	gradient-based	novel edge-finding method
HB	hybrid	novel segmentation algorithm for multi-spectral images, adapted for PET/CT

label	team	type	median rank	
			phant.	patient
S <sub>1</sub>	09	RG	31	7
S <sub>2</sub>			31.5	8
T <sub>1</sub>			20	20.5
T <sub>2</sub>			25	22.5
U	10	PL	20	12
V			27.5	14
W	11	GR	25	23
X	12	MD	28.5	32.5
Y		T1	3	3.5
Z		T3	10.5	2
Γ		T2	4.5	3.5
Λ		T2	7	7.5
Ω		T2	18.5	26
Φ	13	PL	8.5	29.5

Shepherd, T. et al. IEEE 2013

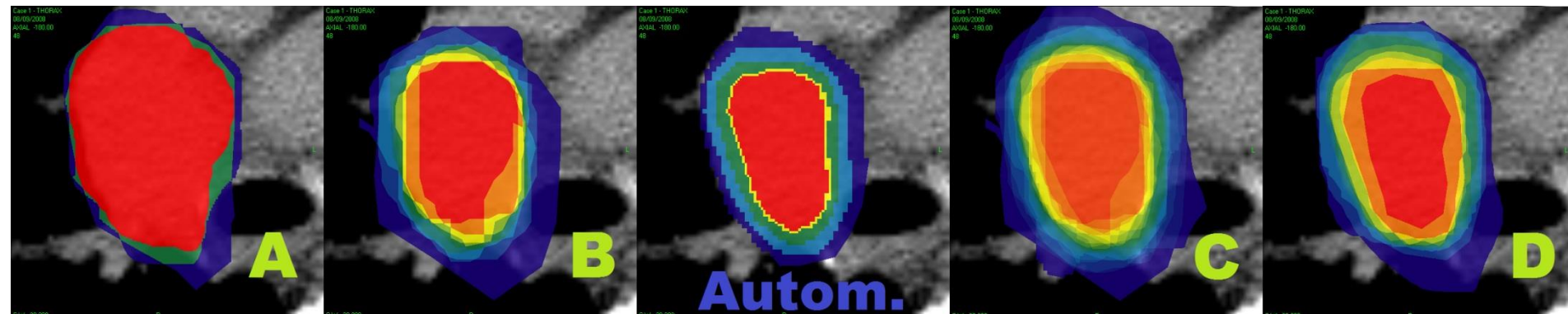
# Observer variability vs. method variability

- 1 case, 40 contours
- Experts (**A**) and teams RO & NM (**B**)  
→ Significantly higher IOV (**C**)
- IOV Specialists (**C**) vs. students (**D**): n.s.
- „PET-years“ n.s.
- IMV of automatic algorithms = IOV of students

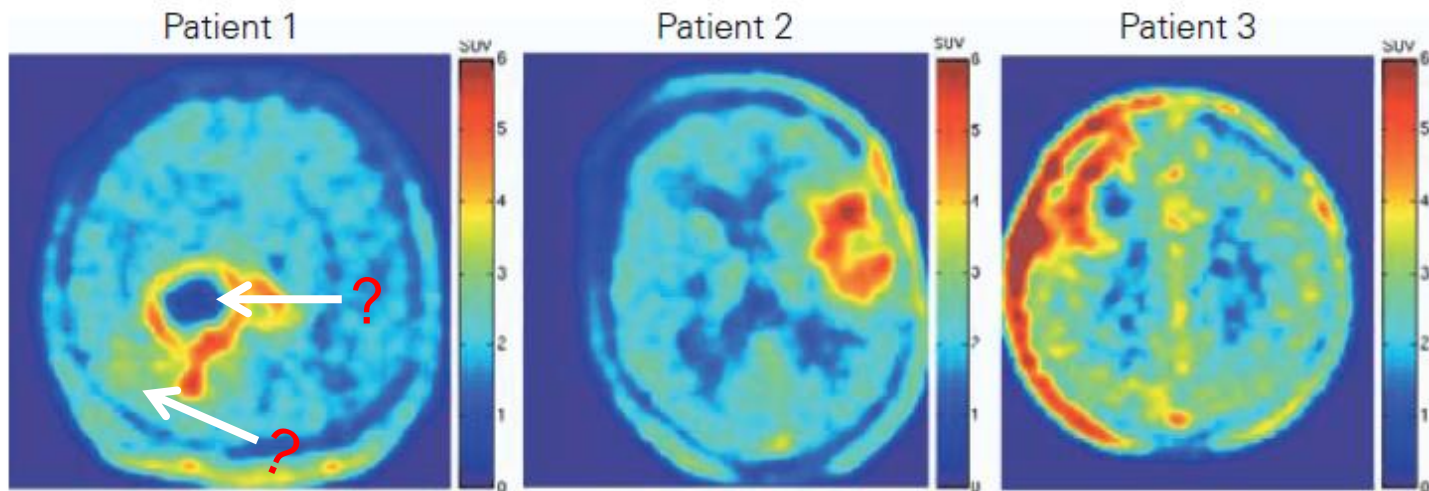
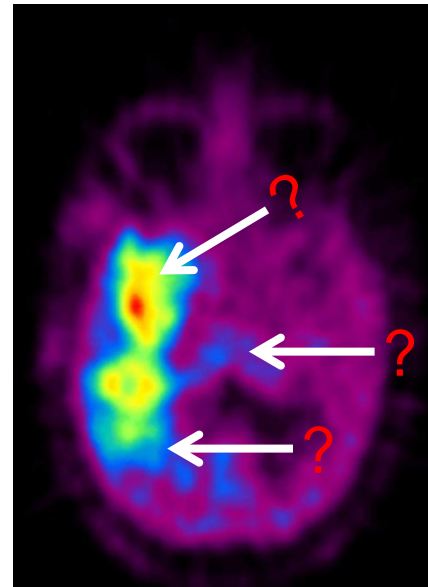
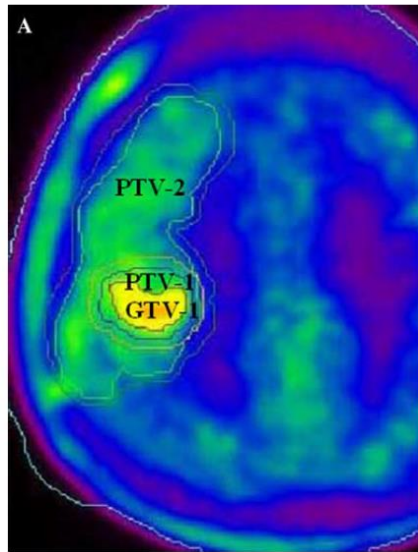


	<b>A</b>	<b>B</b>	<b>C</b>	<b>D</b>
<b>CI</b>	<b>0,67</b>	<b>0,53</b>	<b>0,44</b>	<b>0,43</b>
<b>Kappa Index</b>	<b>0,80</b>	<b>0,69</b>	<b>0,59</b>	<b>0,57</b>

C. Doll et al. Strahlentherapie 2012



# Problem: what the hell is the GTV?





# Problem: Ground truth

To calibrate a correct contouring method, the knowledge on the correct tumor borders is essential, e.g. from:

- Phantom-measurements

Problem: usually homogenous spheres, glass wall, homogenous background  
= not representative for tumors

- simulated images

Problem: extremely harmful to produce, proximity to reality depends on assumptions

- image data with histopathology correlation

not many datasets available, all have shortcomings: shrinking, distortion, problem of coregistration, diffuse infiltration

- tumor size known from other imaging

Problem: reason for second imaging? other problems in size determination

## possible surrogates:

- comparison with expert contours, ideally consistent in multiple observers
- visual or mathematical consensus-contour of different methods

# Classification and evaluation strategies of auto-segmentation approaches for PET: Report of AAPM Task Group No. 211

M. Hatt<sup>1</sup>, J. Lee<sup>2</sup>, C.R. Schmidtlein<sup>3</sup>, I. El Naqa<sup>4</sup>, C. Caldwell<sup>5</sup>, E. De Bernardi<sup>6</sup>, W. Lu<sup>3</sup>, S. Das<sup>7</sup>, X. Geets<sup>2</sup>, V. Gregoire<sup>2</sup>, R. Jeraj<sup>8</sup>, M. MacManus<sup>9</sup>, O. Mawlawi<sup>10</sup>, U. Nestle<sup>11</sup>, A. Pugachev<sup>12</sup>, H. Schöder<sup>3</sup>, T. Shepherd<sup>13</sup>, E. Spezi<sup>14</sup>, D. Visvikis<sup>1</sup>, H. Zaidi<sup>15</sup>, A.S. Kirov<sup>3\*</sup>

**Conclusions:** Based on the large number of published PET-AS algorithms and their relative lack of validation, selecting and recommending an algorithm from among those available is challenging. Available comparison studies suggest that PET-AS algorithms relying on advanced image paradigms perform generally better than simple threshold-based approaches, particularly in realistic configurations. However, this may not be the case for situations with a narrower range of parameters (e.g., a particular body site and/or tumor type), where simpler (e.g., adaptive threshold) methods also may perform well. In either case PET-AS contours need to be critically inspected and edited by a physician. Another

Med Phys, 2017

# What have we learned after >10 years searching the holy grail for PET based GTV-segmentation?

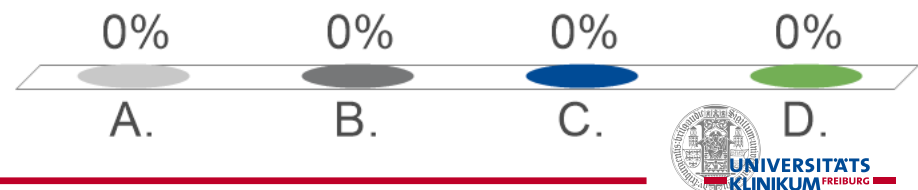
- Using molecular imaging for GTV delineation **at all** is more important than finding the right method to include the last voxel
- Maybe drawing one line is not what resembles the information needed for future RT planning
- If we need one line, **visual** delineation is not a bad idea, institutional standardisation makes sense
- Automatic delineation (by something else than simple thresholding) **speeds up** the contouring process but should be used as a starting point for user review
- The use **4D** imaging for TVD will not be possible without automation

# Applications of multimodal imaging in radiation therapy: outline

- Primary tumor: GTV
- **Nodal volumes: CTV**
- Movements: PTV
- Perspectives, caveats

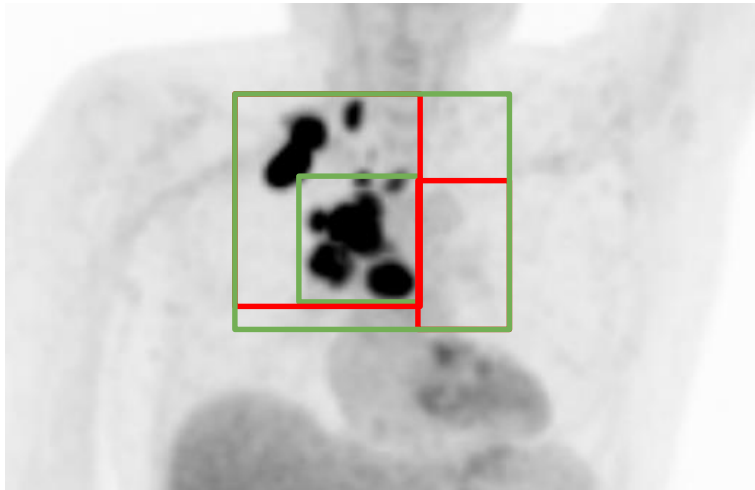
# MCQ 3 - With improved imaging, the clinical target volume...

- A. Will be abandoned
- B. Will not change, as it is about non-detectable spread
- C. Will be replaced by newly detectable parts of the GTV
- D. May be subject to changing concepts due to improved but still imperfect diagnostic accuracy



# CTV: where are the nodes?

---

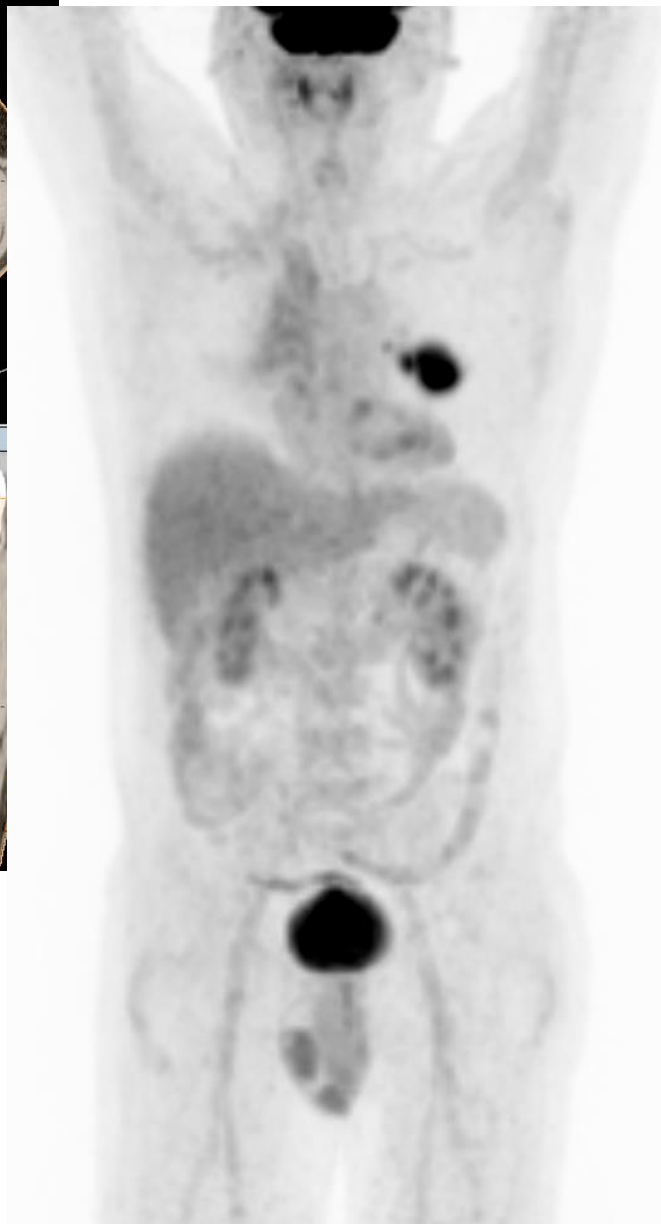
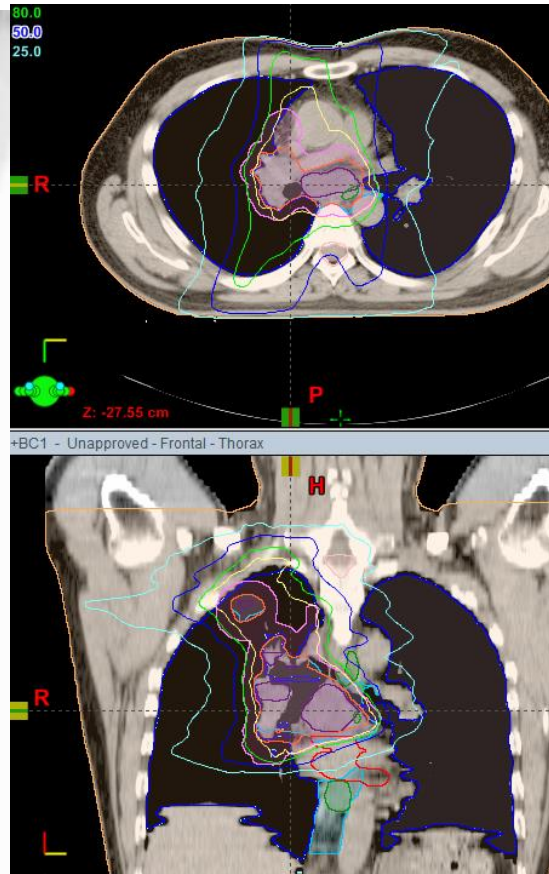
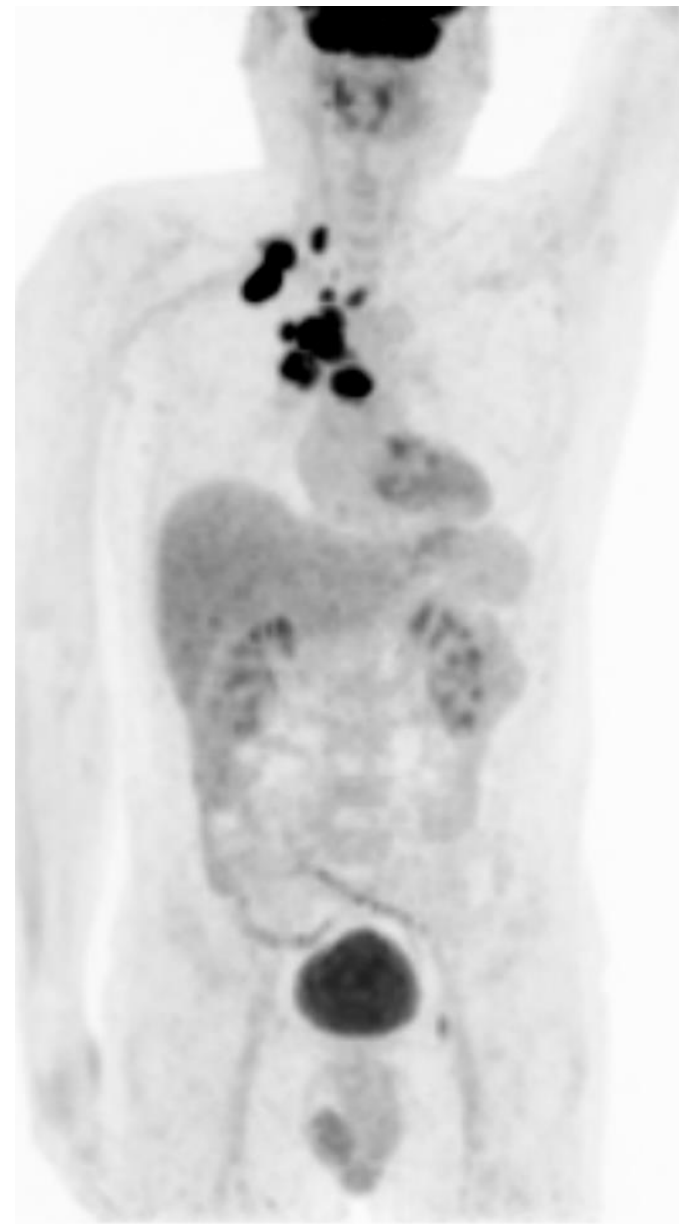


diagnostic imaging:

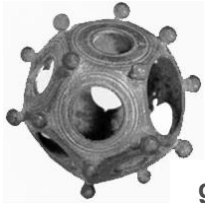
N2

RT treatment planning:

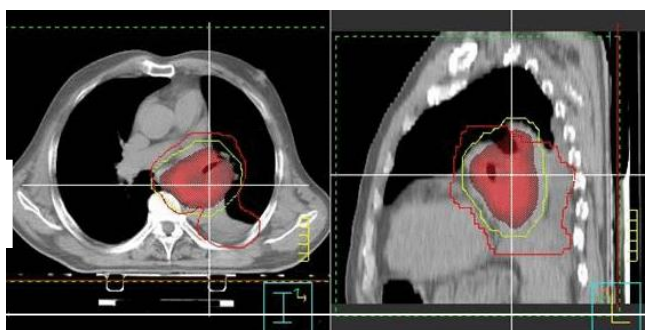
*Treat what?*



NSCLC (SCC) IIIb;  
RCT 07/2012; Platin,  
66 Gy/2 Gy

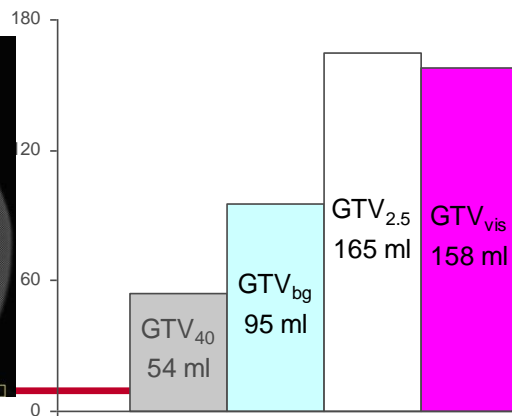
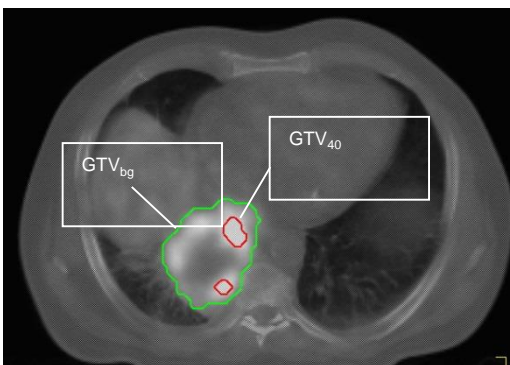
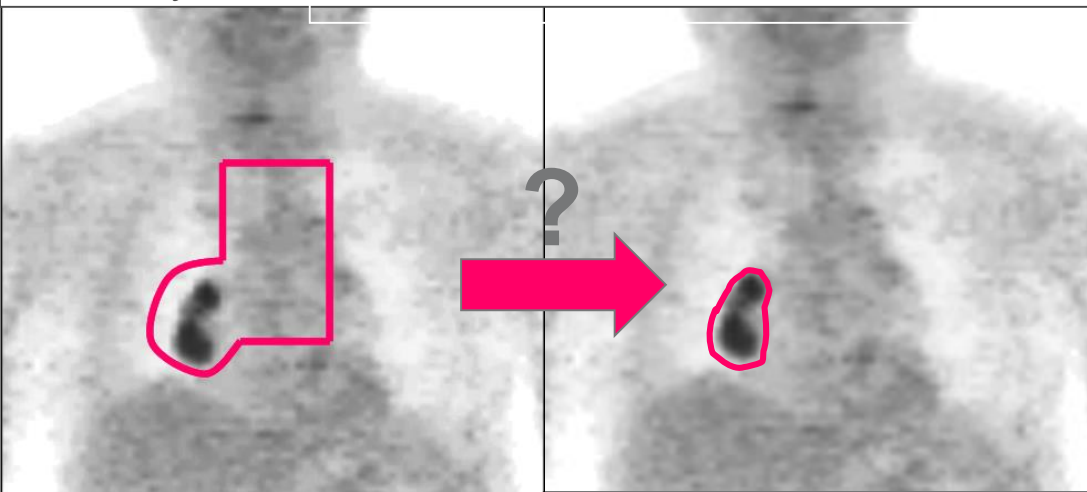
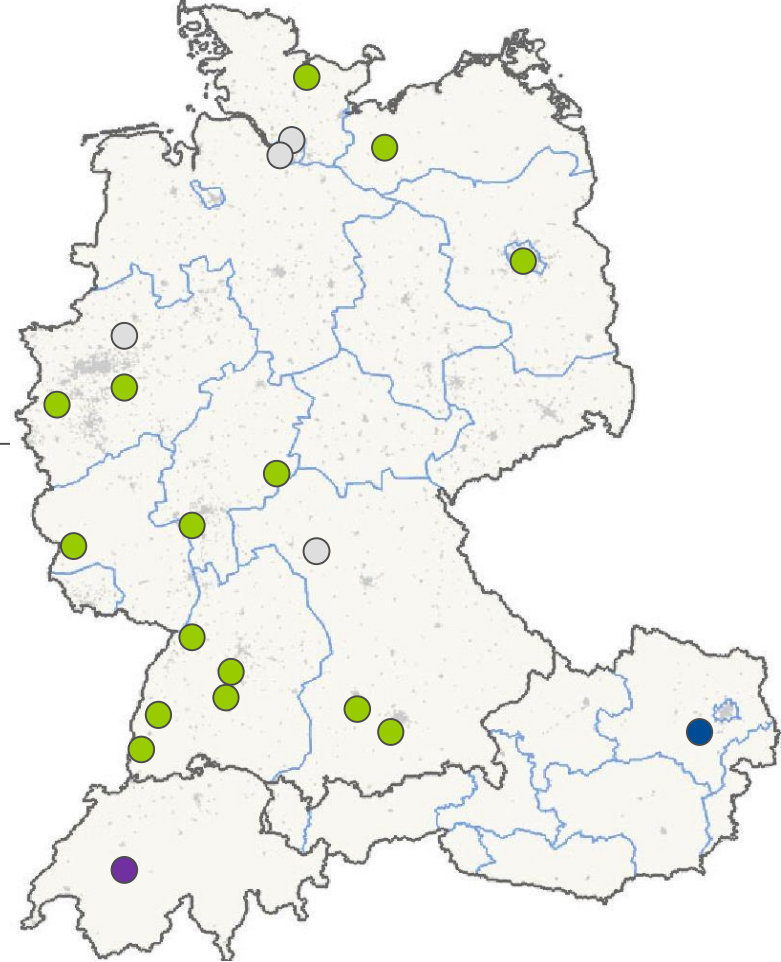


gefördert durch die Deutsche Krebshilfe

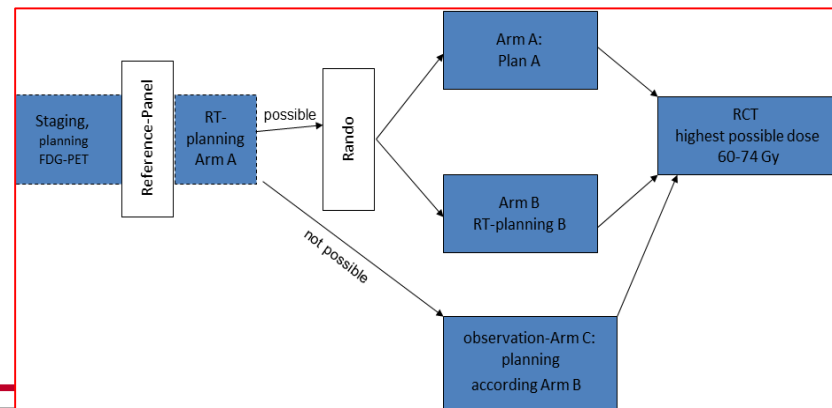


# PET - Plan

PI: U. Nestle, Freiburg, Germany

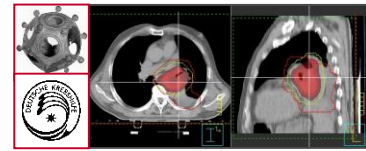


mean volume (ml)





# PET-Plan Study: diagnostic expert-panel

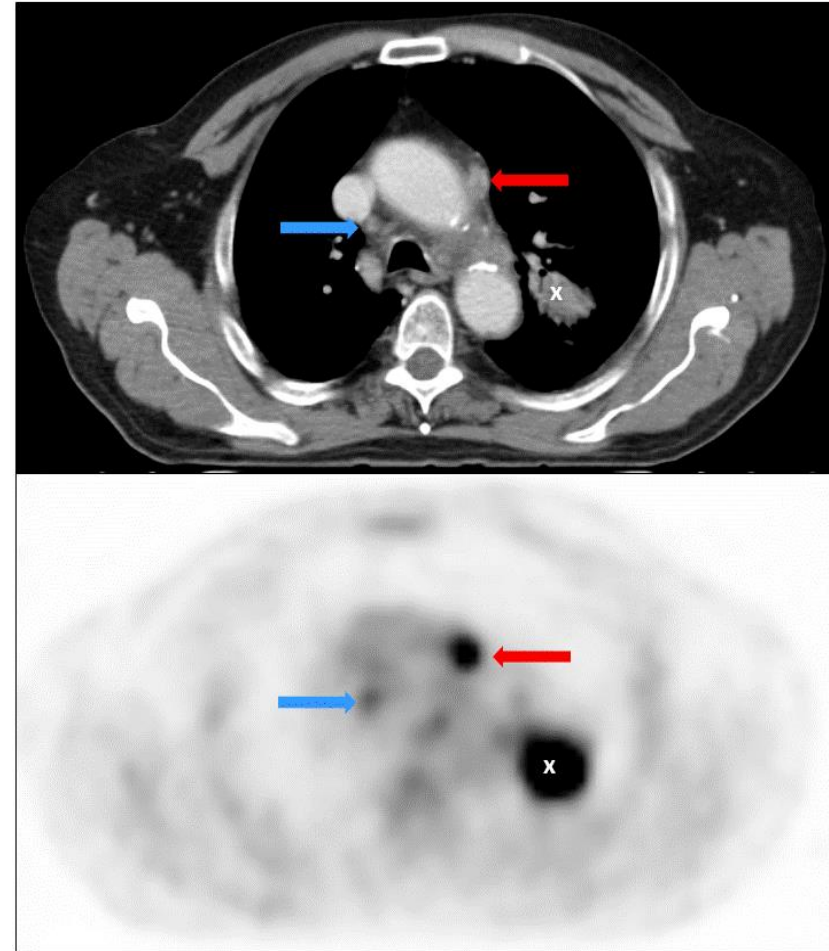
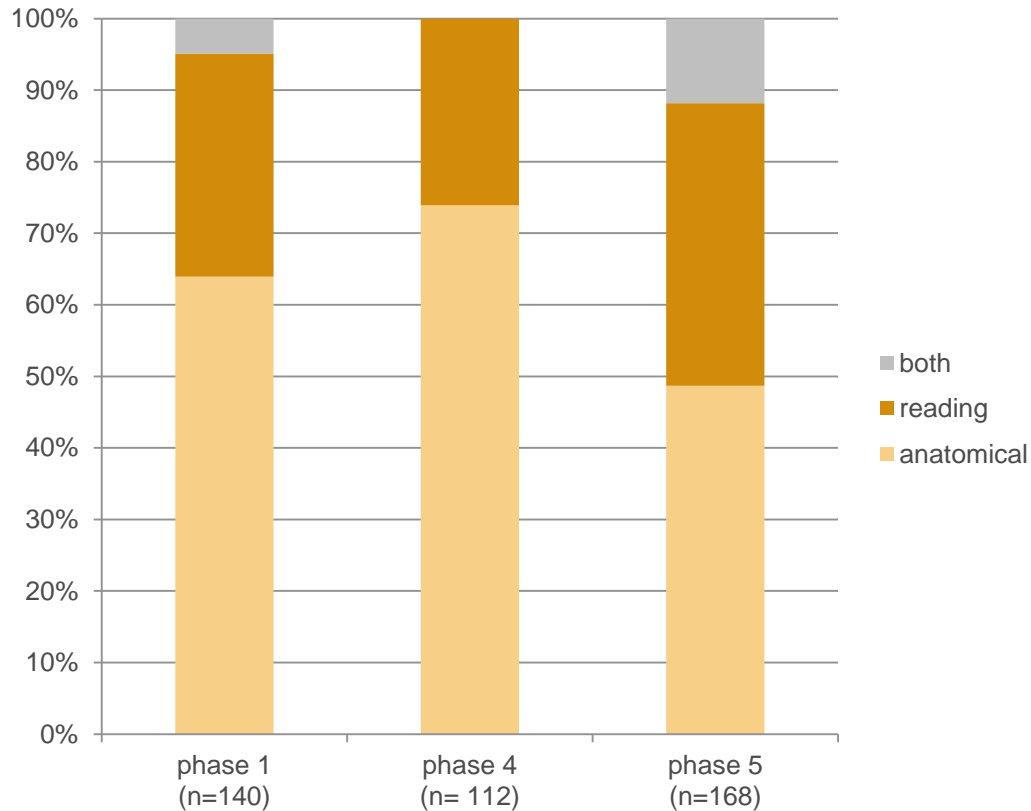


Station	Findings study center	Findings Review 1	Findings Review 2	Consensus Reviewer 3
1R*	neg	neg	pos	pos
1L*	neg	neg	neg	neg
2R*	pos	pos	pos	pos
2L*	neg	neg	neg	pos
3A*	neg	neg	neg	neg
3P*	neg	neg	neg	neg
4R	pos	pos	pos	pos
4L	pos	pos	neg	pos
5*	neg	neg	pos	neg
6*	neg	neg	neg	neg
7*	pos	pos	pos	pos
8*	neg	neg	neg	neg
10R*	neg	pos	pos	neg
10L*	pos	pos	pos	pos
11R*	neg	neg	neg	neg
11L*	neg	pos	neg	neg

no consensus in first 10 cases ...

32 LN-reports for PET (16) and CT (16) to be entered at each review step

# What are the reasons for reporting disagreements?



# Applications of multimodal imaging in radiation therapy: outline

- Primary tumor: GTV
- Nodal volumes: CTV
- **Movements: PTV**
- Perspectives, caveats

# Movements: more than just disturbing image quality...

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# Movement: important information for the planning of high precision radiotherapy

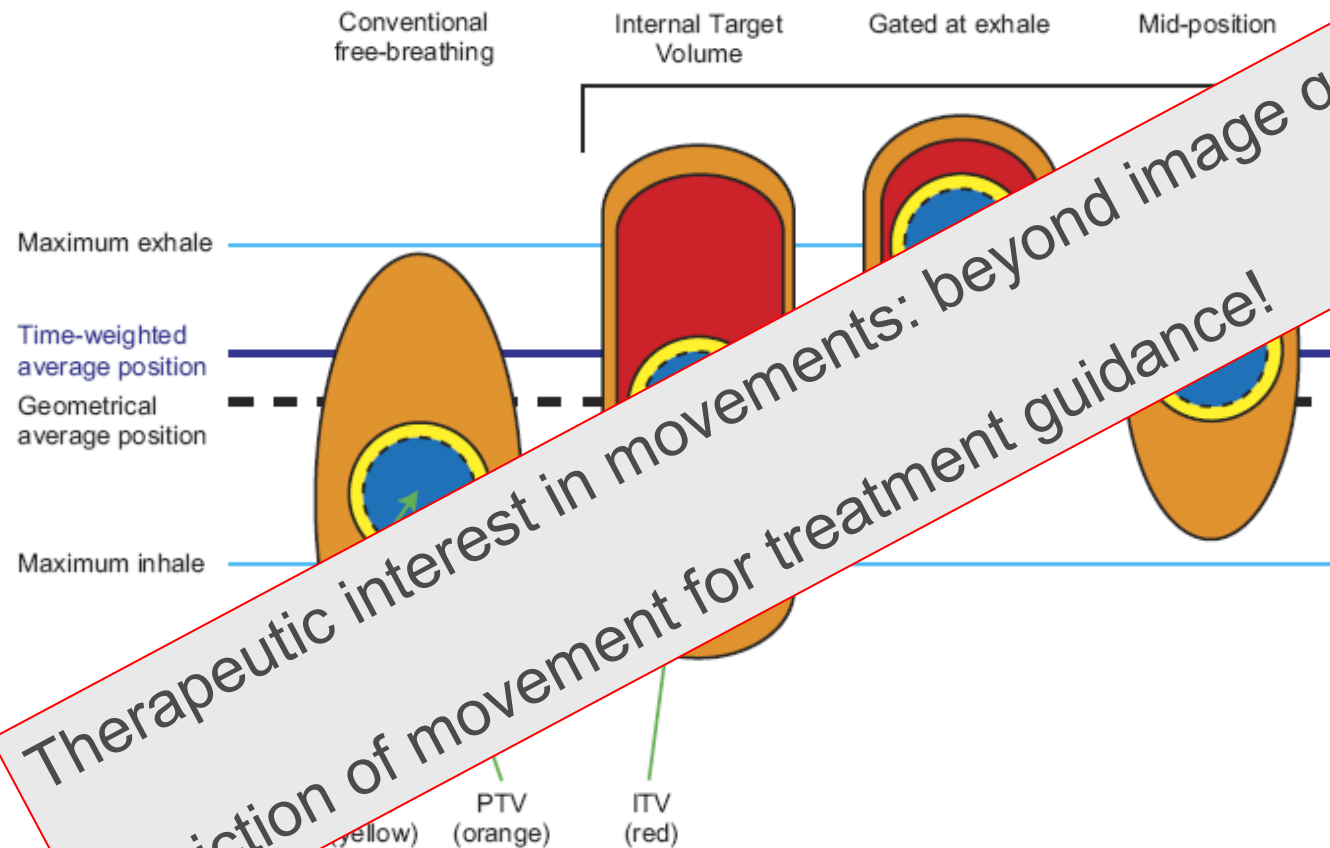


Fig. 1. Schematic view of different treatment-planning concepts: conventional free-breathing, internal target volume (ITV), gating (at exhale), and mid-position. GTV = gross tumor volume; CTV = clinical target volume; PTV = planning target volume.

# ITV: PET and breathing movements

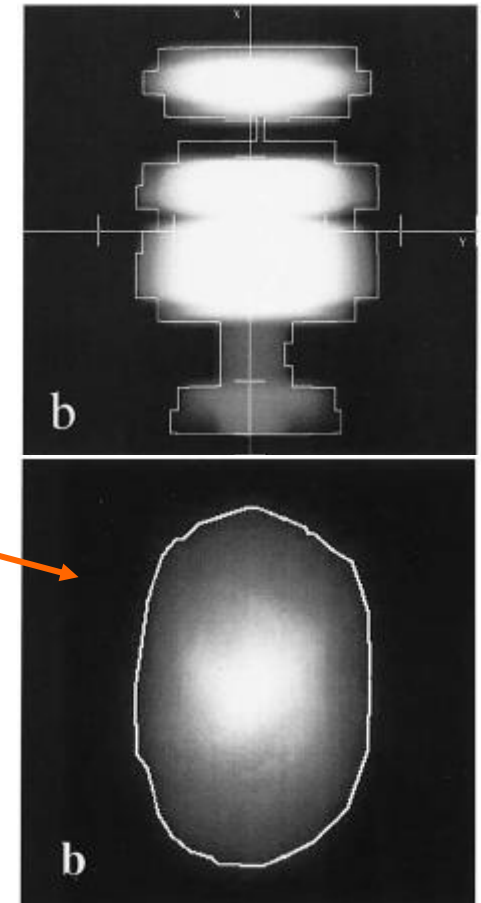
Phantom measurements with moving spheres in ungated PET and CT

CT: significant distortion

PET: image similar to ideal capsular shape depicting sphere + motion

→ Possibility of exact imaging of 4-D-tumor volume

→ Reduction of risk for topographical miss from „snapshot“-CT



Caldwell IJROBP 2003 55; 1381-1393 Slide 25

# Can we derive an internal target volume from 3D PET?

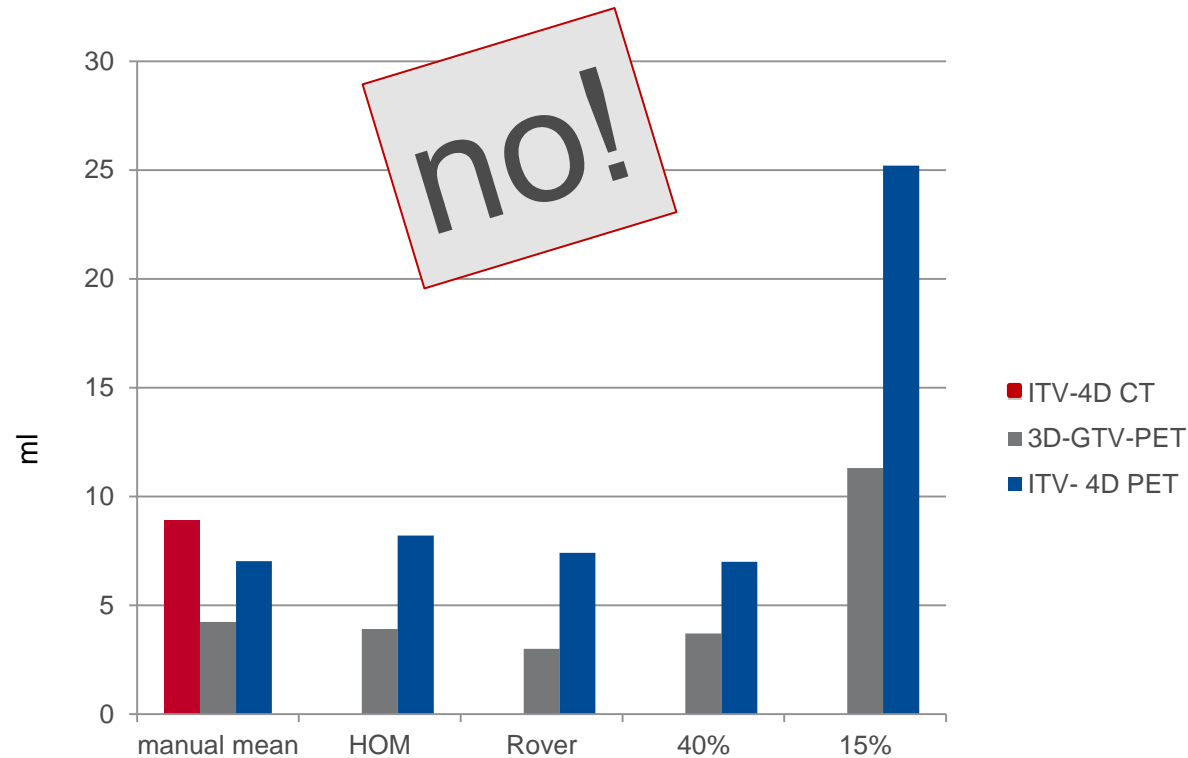
12 NSCLC scheduled for SBRT; 4D PET/CTs, 4 observers:

1. ITV in 4D CT „gold standard“

2. „GTVs“ in 3D PET

3. ITVs from 4D PET

- manual
- Homburg algorithm
- Rover algorithm
- 40% SUVmax
- 15% SUVmax

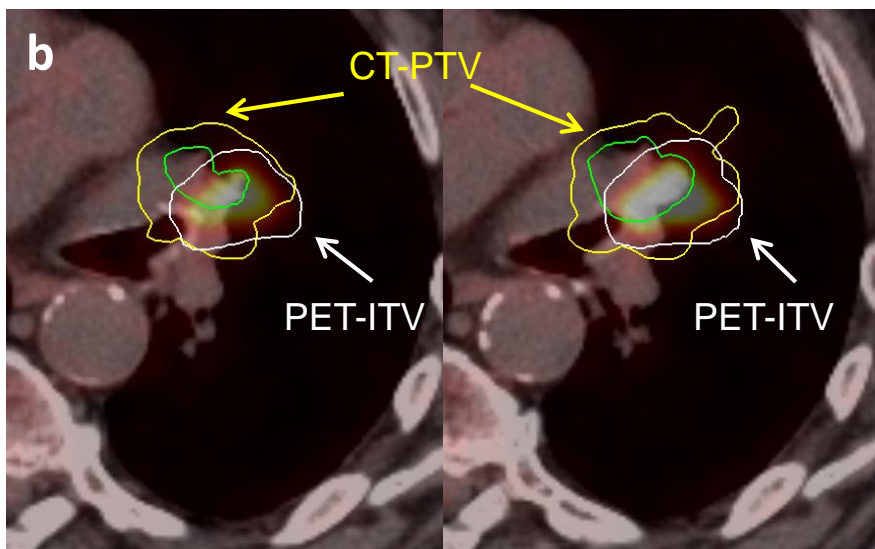
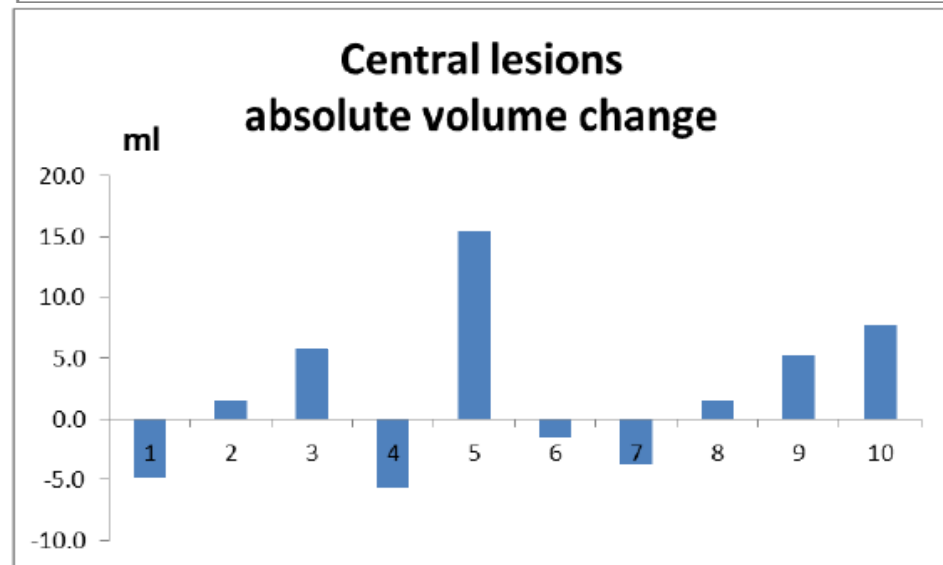
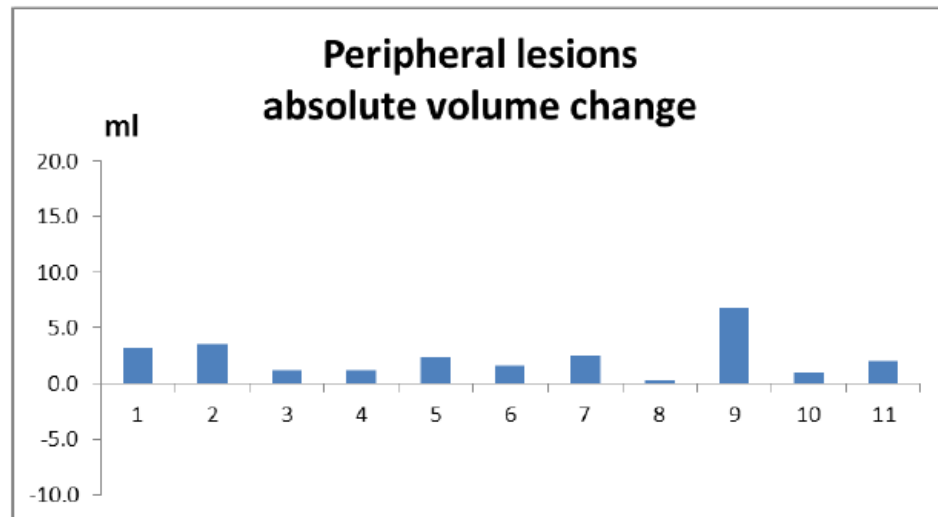


# Impact of 4D PET-CT in SBRT-planning

central (n = 10) vs. peripheral (n=11) NSCLC

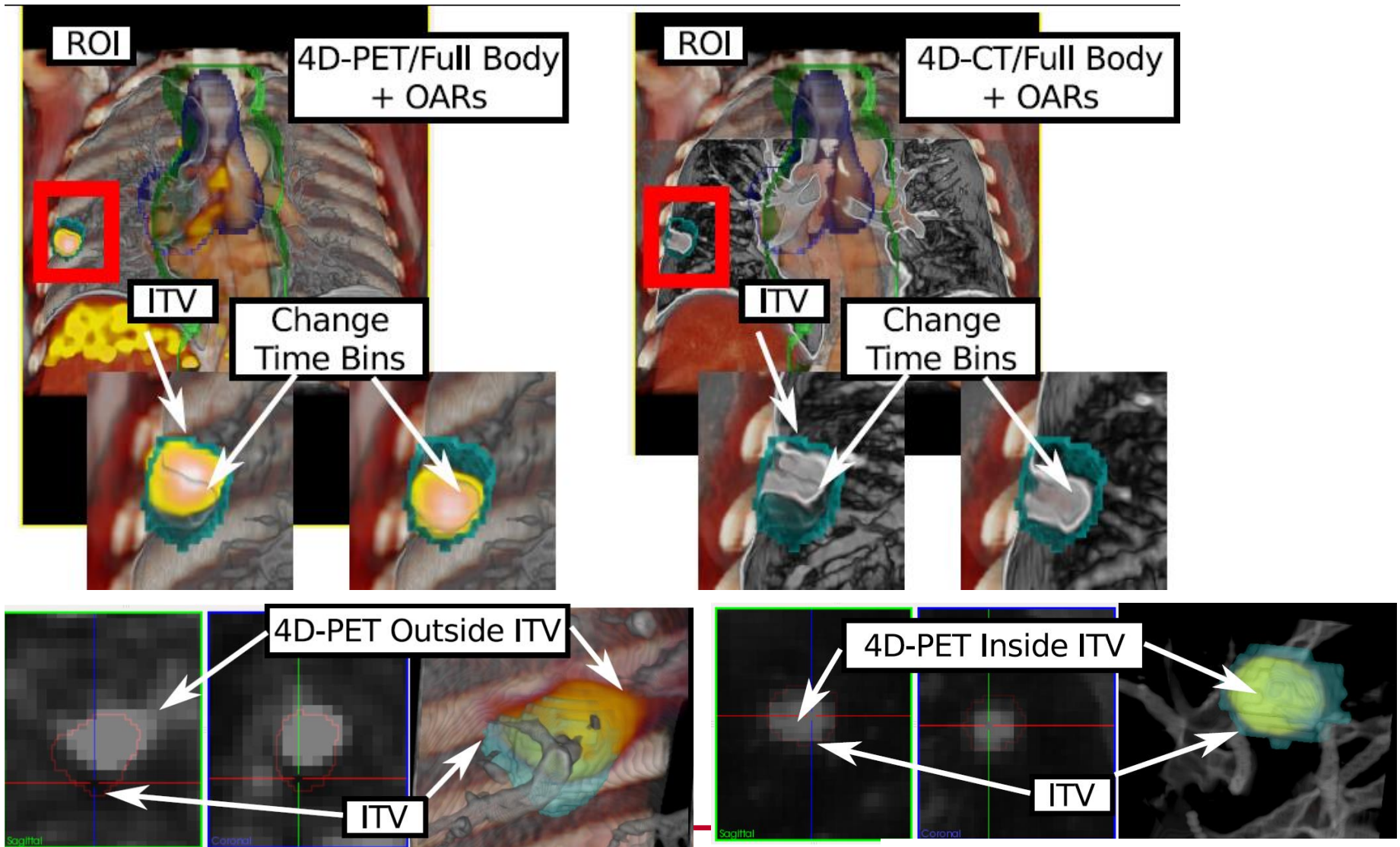
contouring ITV, 4 observers:

1. in 4D CT, PET-viewing side by side
2. in coregistered 4D PET/CT





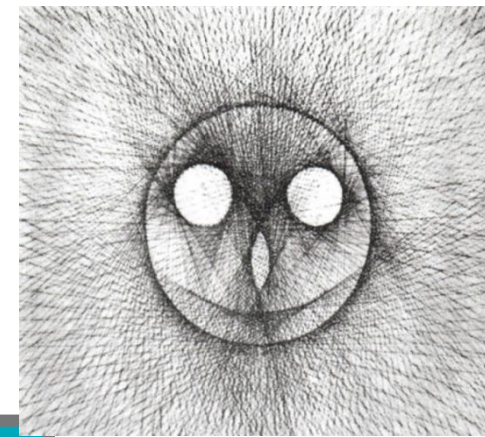
# 4D PET/CT Delineation: needs automation...



# Applications of multimodal imaging in radiation therapy: outline

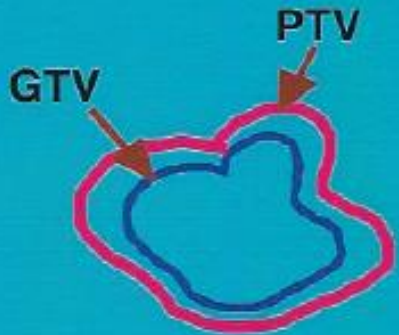
- Primary tumor: GTV
- Nodal volumes: CTV
- Movements: PTV
- **Perspectives, caveats**

... dose painting



Birkhoff G 1940.

## Biological Target Volume?



- PET
- F-miso
- Hypoxia**



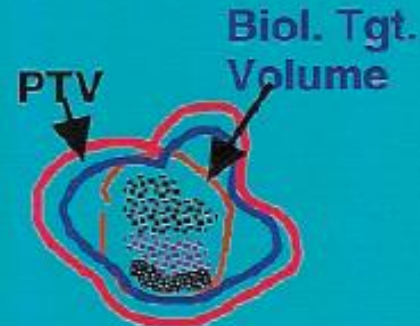
- MRI/MRS
- choline/citrate
- Tumor burden**



- PET
- IUDR
- Tumor growth**

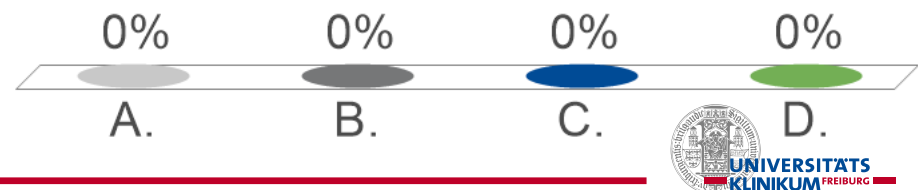


**Biological  
Eye View**

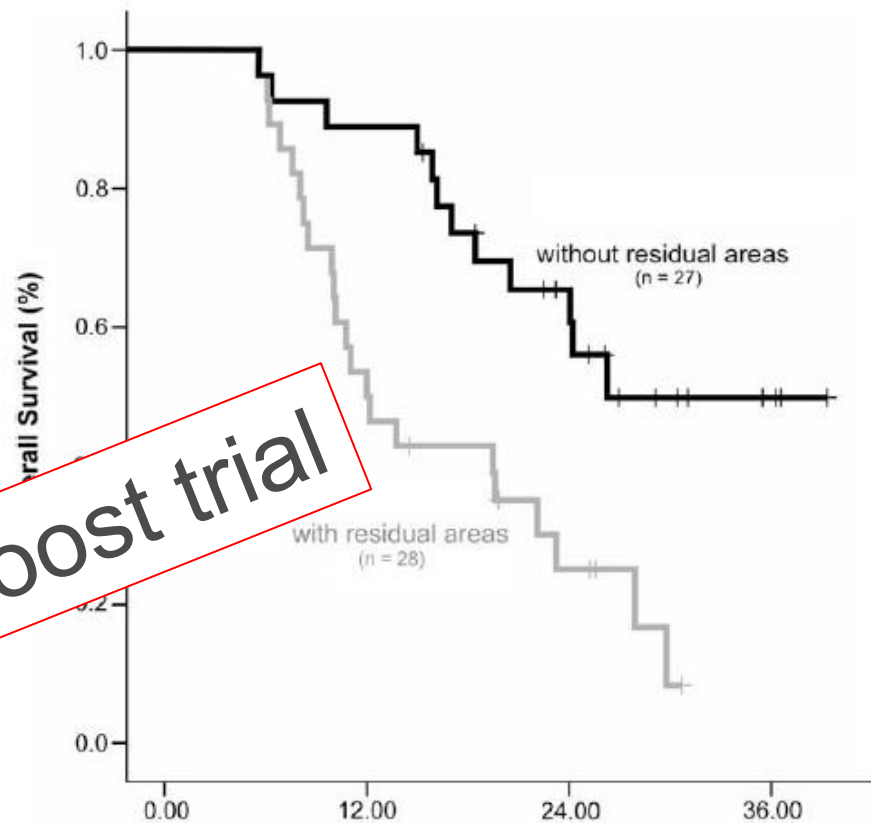
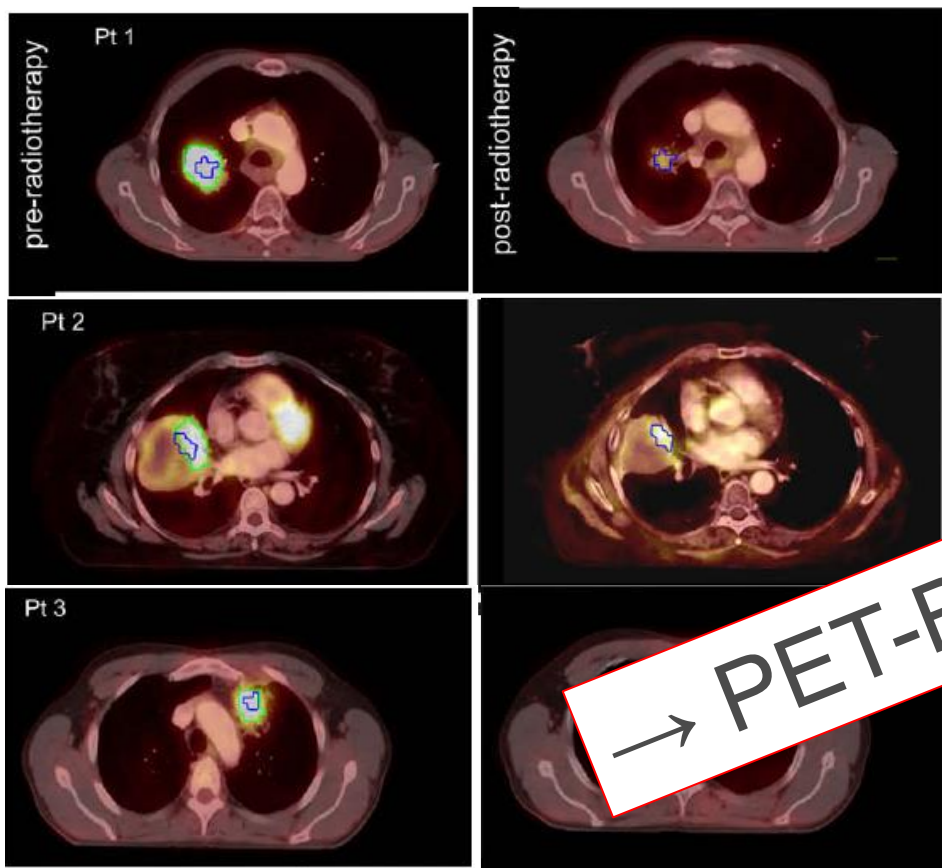


# MCQ 4 - What is your personal / institutional approach to dose painting?

- A. A dream, hope to soon have it available...
- B. We use it in clinical routine
- C. we're involved in clinical trials
- D. Sceptic, too many problems, will never work



# PET in RT planning: beyond GTV



55 pts., FDG-PET pre/post RT

Aerts, R&O 2009

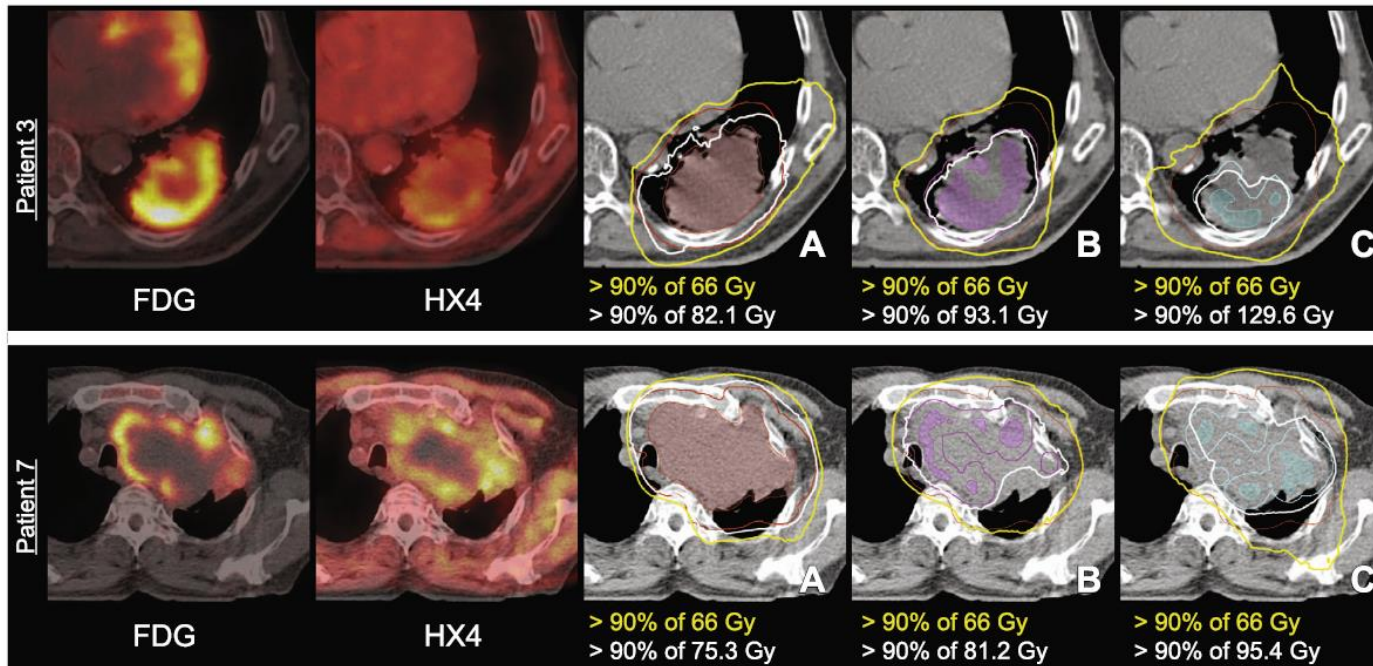
Dose painting in lung cancer

## PET-based dose painting in non-small cell lung cancer: Comparing uniform dose escalation with boosting hypoxic and metabolically active sub-volumes



Aniek J.G. Even<sup>a,\*</sup>, Judith van der Stoep<sup>a</sup>, Catharina M.L. Zegers<sup>a</sup>, Bart Reymen<sup>a</sup>, Esther G.C. Troost<sup>a,b</sup>, Philippe Lambin<sup>a</sup>, Wouter van Elmpt<sup>a</sup>

<sup>a</sup>Department of Radiation Oncology (MAASTRO), GROW – School for Oncology and Developmental Biology, Maastricht University Medical Centre, The Netherlands; and <sup>b</sup>Institute of Radiooncology, Helmholtz-Zentrum Dresden-Rossendorf, Germany



**Conclusions:** Dose escalation based on metabolic sub-volumes, hypoxic sub-volumes and the entire tumour is feasible. Highest dose was achieved for hypoxia plans, without increasing dose to OAR. For most patients, boosting the metabolic sub-volume also resulted in boosting the hypoxic volume, although to a lower dose, but not *vice versa*.

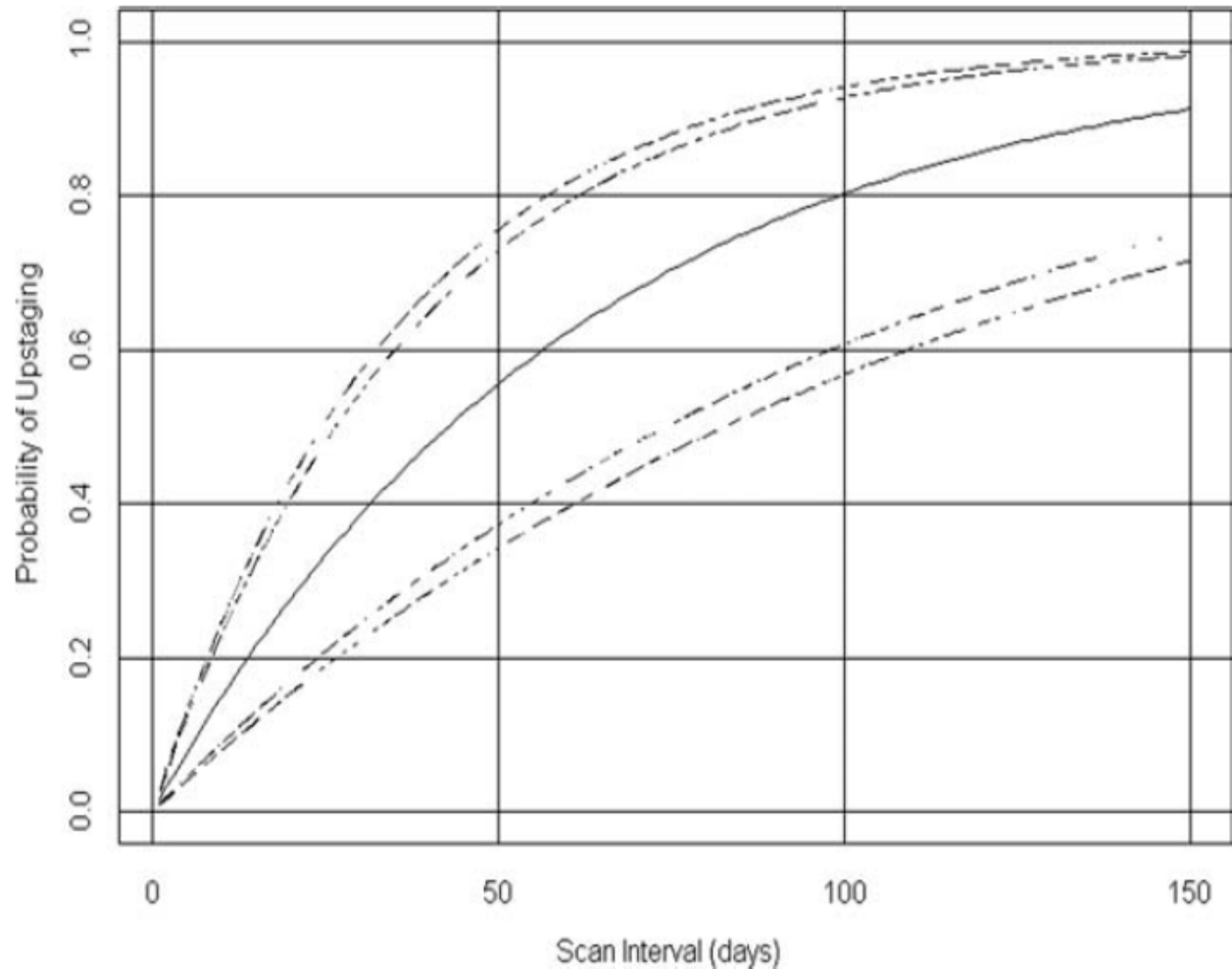
# Imaging for RT-planning: soon before treatment!

82 pts, NSCLC  
before radical RT  
2 FDG-PET scans  
median interval 24 days

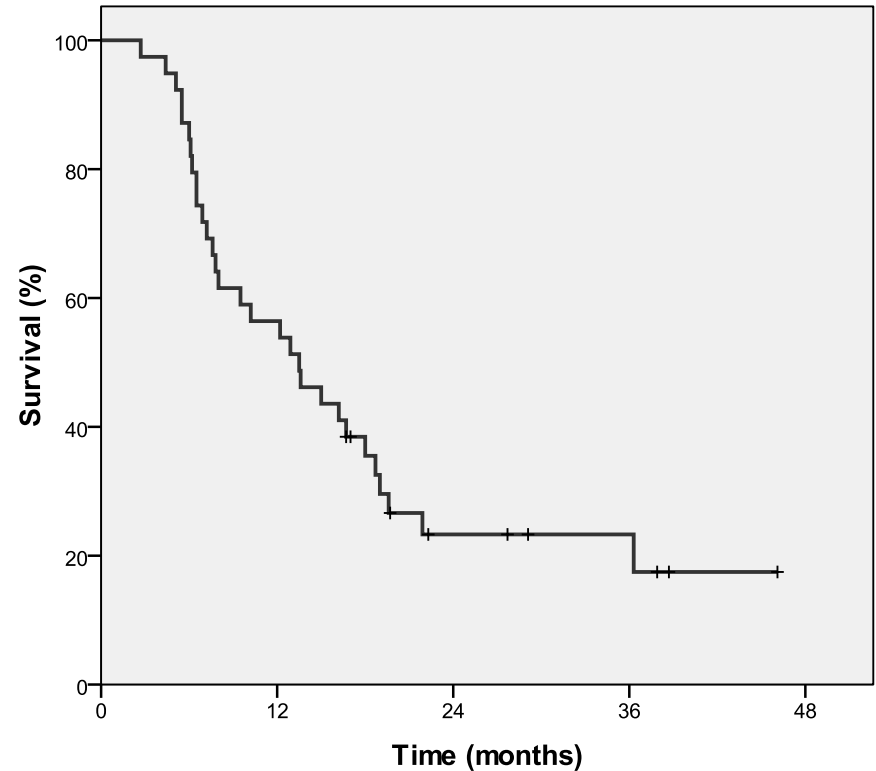
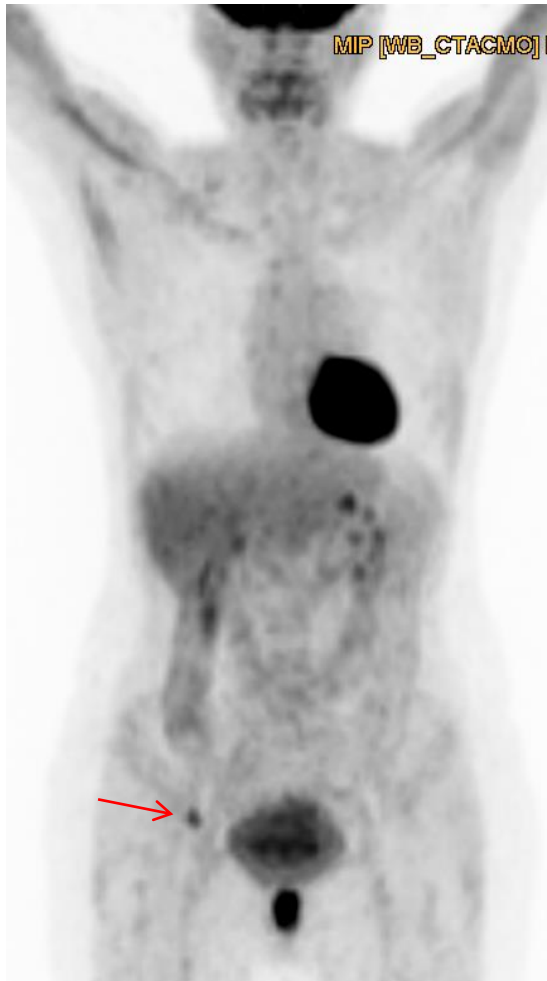
progression in 39%

upstaging probability  
within 24 days: **32%**

Everitt, S. et al.  
Cancer 2010



# Accurate imaging of tumor load: New chance for oligometastatic patients?



n=39, UICC IV, RCT + adj CHT + RT M1

D. DeRuysscher, JTO 2012



# Summary

Radiation Oncology is being revolutionized by new technologies and those are crucially dependent on imaging

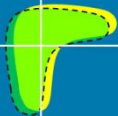
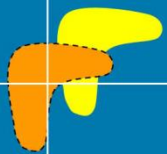
Prerequisites for changing concepts are a clinical need and the superiority of the new imaging to traditional methods and may vary significantly between tumors, tracers and clinical scenarios

To seriously show patients benefit by the use of new imaging modalities in different clinical situations, clinical trials are mandatory

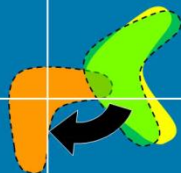
Beyond target volume definition, other areas of the use of hybrid imaging in radiotherapy (response assessment, NT-monitoring ...) are presently being investigated

# Library planning

Gert Meijer



adapting position



ART



plan of the day

# plan of the day

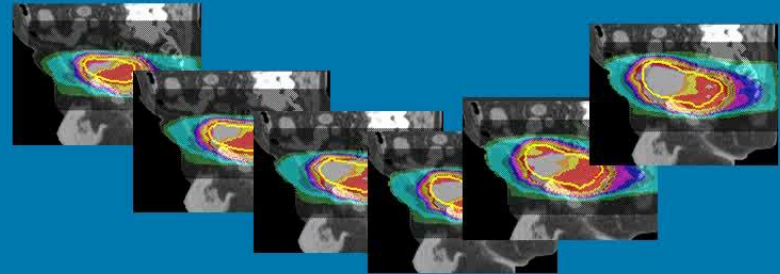
1

online (re)planning



2

library of plans

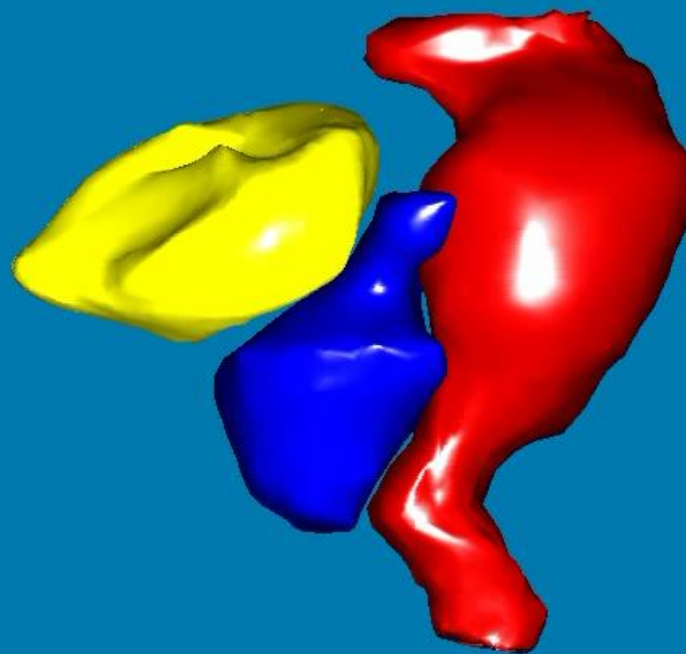


# issues with library planning delivery

- how to prospectively generate a set of plans?
  - sampling prior to treatment
  - sampling during treatment
- target visualisation during treatment
- shift in responsibilities
  - who will select the plan of the day?

# potential tumour sites for online adaptive strategies

- **prostate cancer**
- rectal cancer
- cervical cancer
- bladder cancer

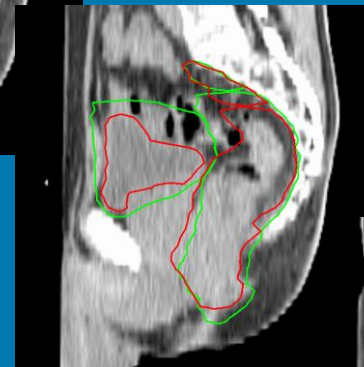


# potential tumour sites for online adaptive strategies

- prostate cancer
- **rectal cancer**
- cervical cancer
- bladder cancer



week 0



week 2



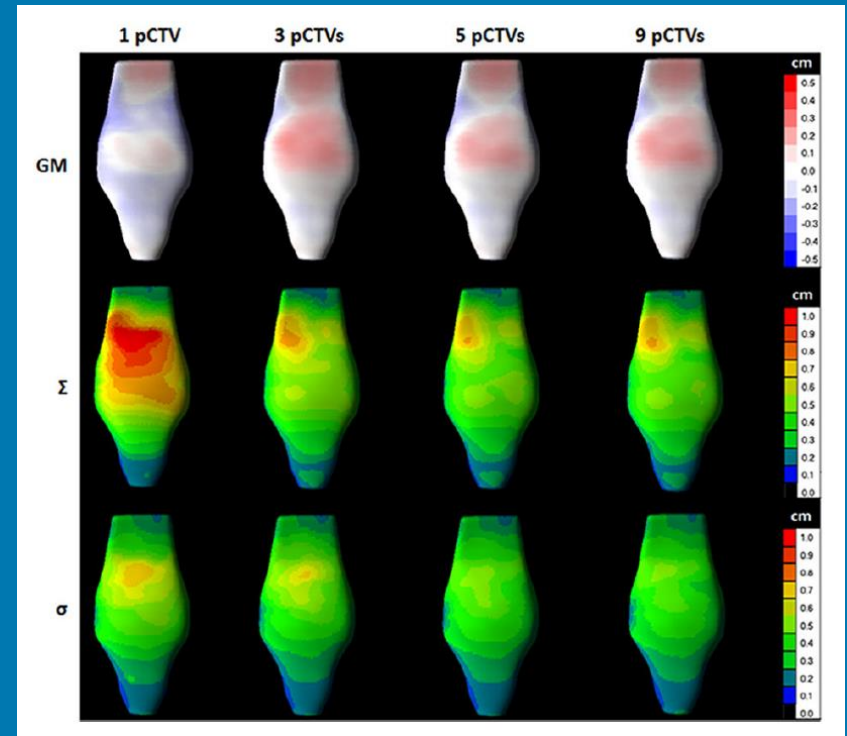
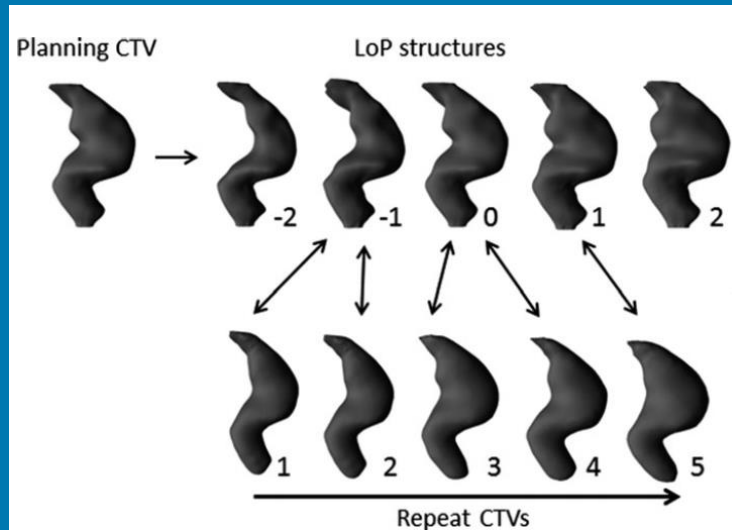
week 5

courtesy of Jasper Nijkamp, NKI

# Rectal cancer

Library created based on population statistics

33 patients x 5CTs



Beekman et al. Med. Phys. 2018



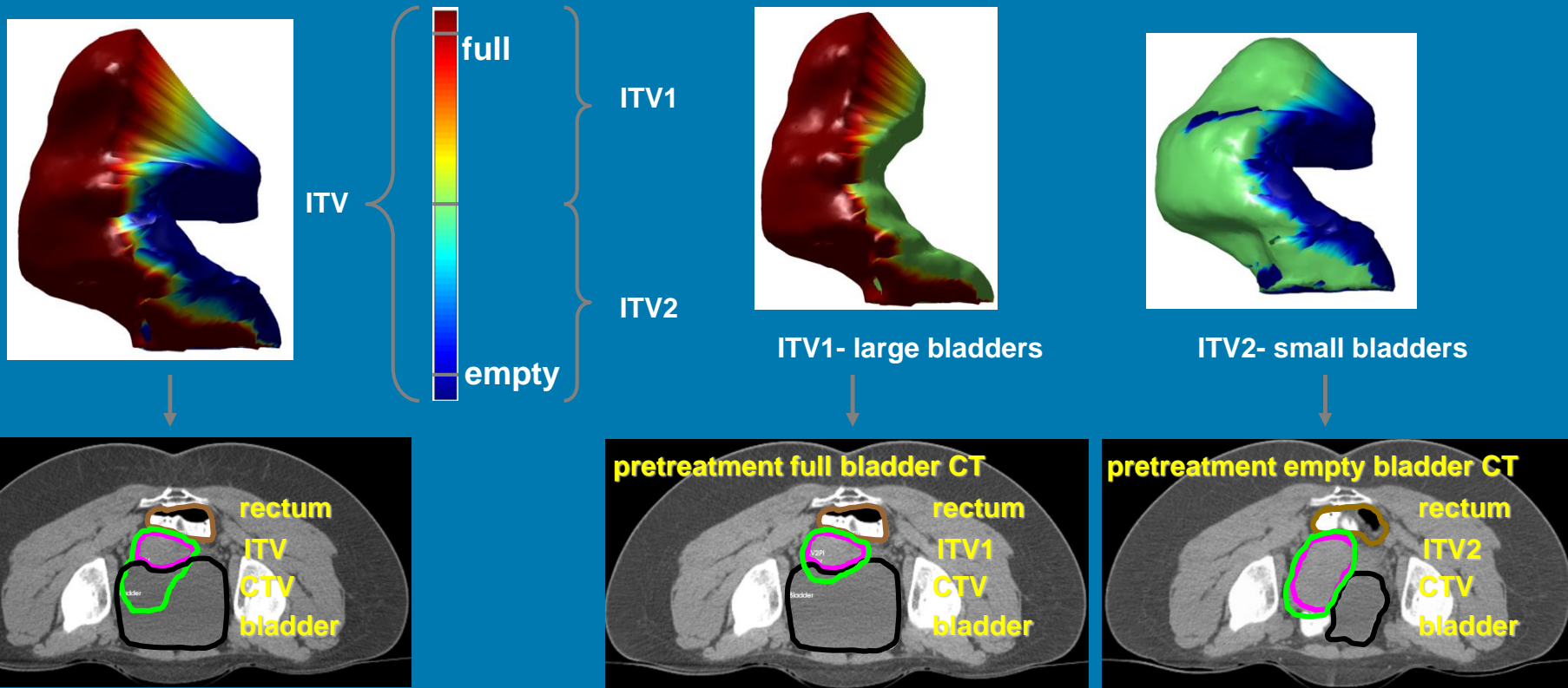
# potential tumour sites for online adaptive strategies

- prostate cancer
- rectal cancer
- **cervical cancer**
- bladder cancer

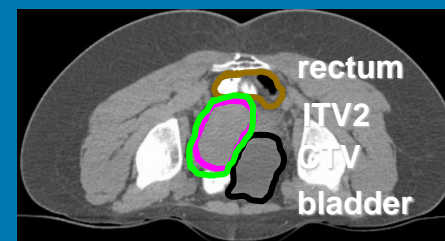
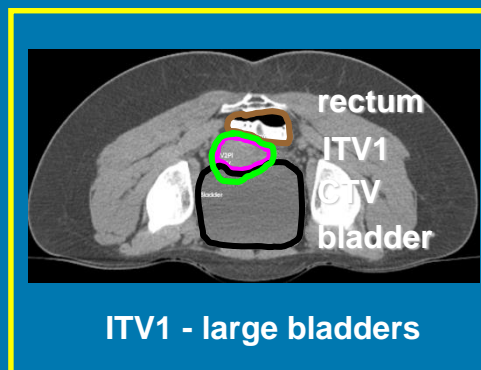
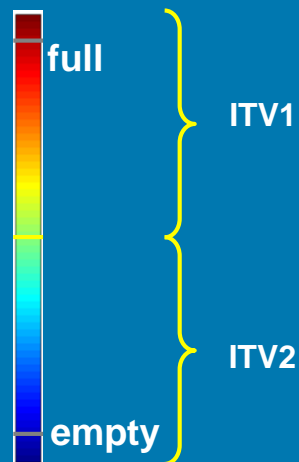


# cervical cancer

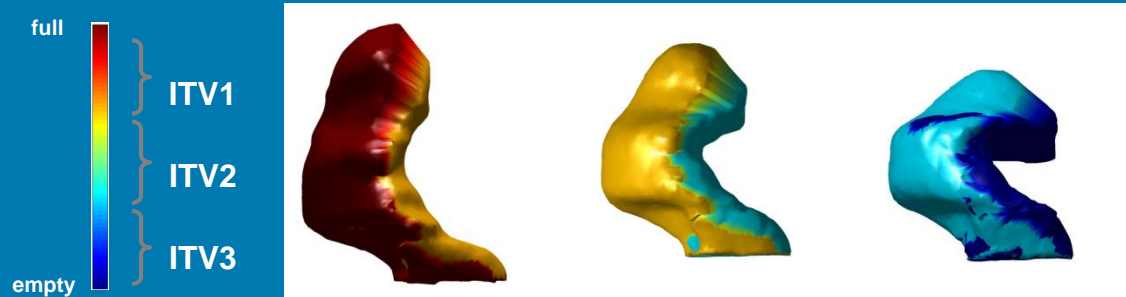
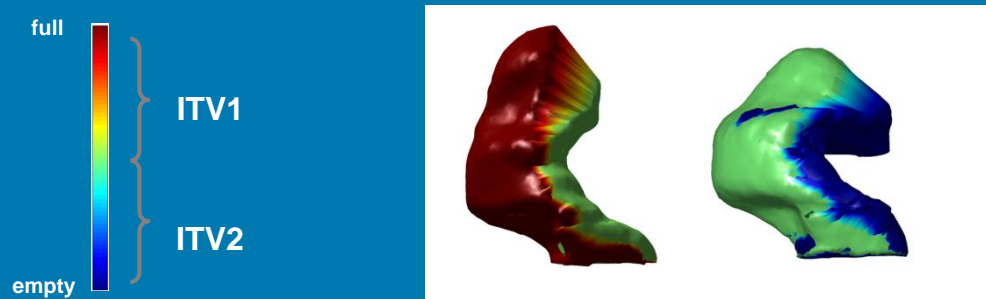
bladder volume as a surrogate for uterus geometry



# bladder volume used for plan of the day selection



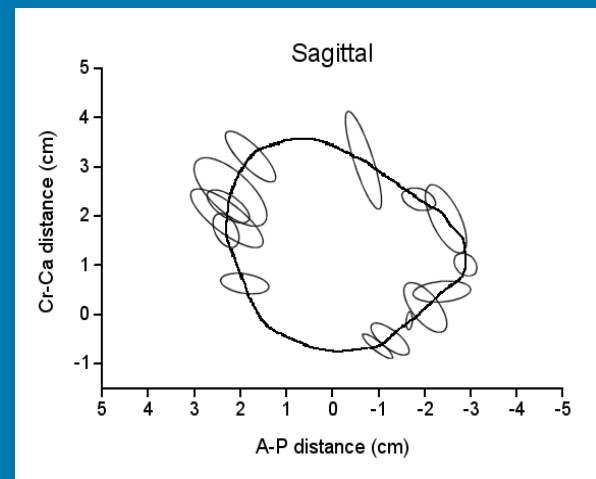
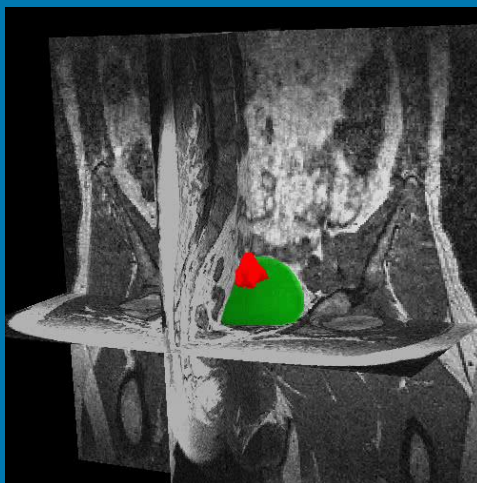
with courtesy of Luiza Bondar Erasmus MC



with courtesy of Luiza Bondar Erasmus MC

# potential tumour sites for online adaptive strategies

- prostate cancer
- rectal cancer
- cervical cancer
- **bladder cancer**



Lotz *et al.* IJROBP 2003

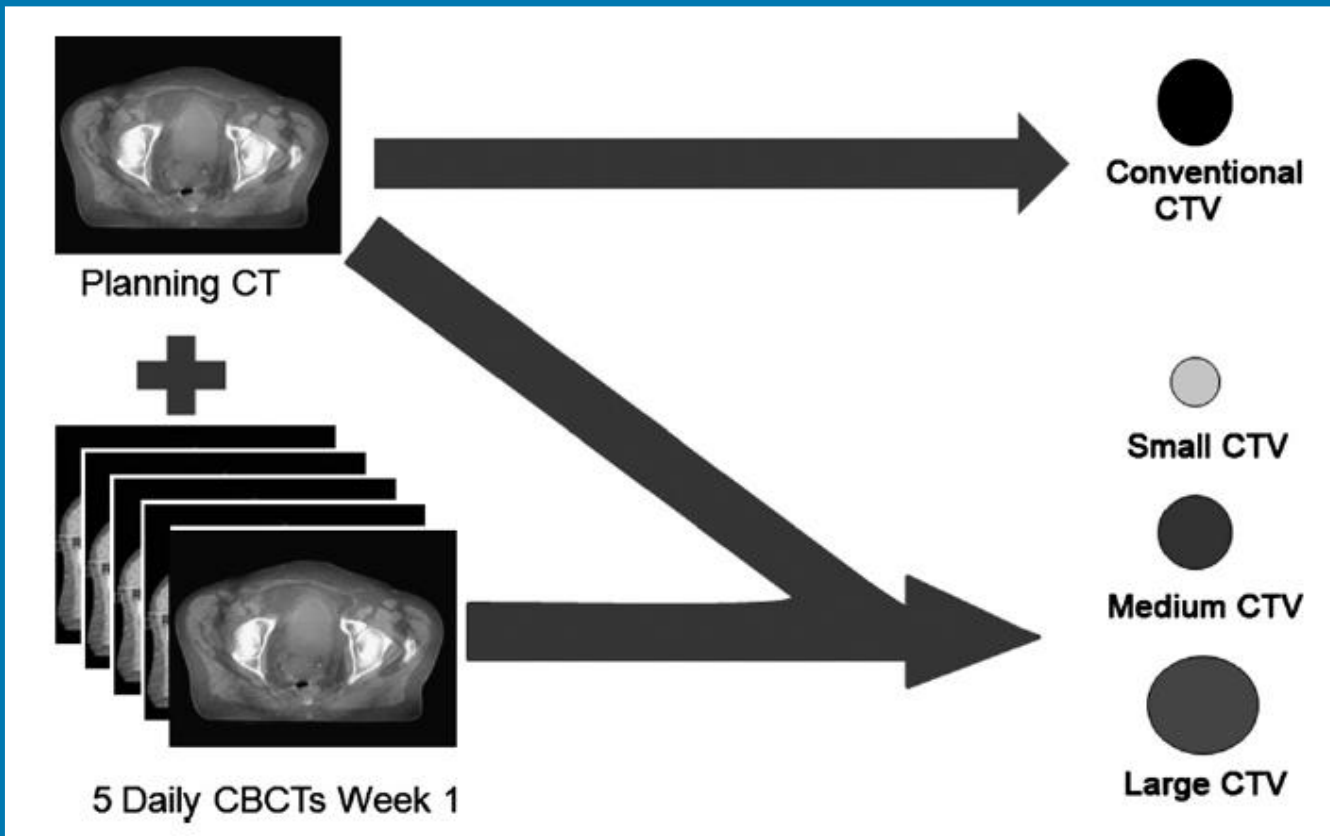
# bladder cancer



library based on different margins

## library generation

# CT scans	#CBCT scans	groups
1	0	Vestergaard, Aarhus Burridge, Christy Hospital
1	multiple	Vestergaard & Wright, Aarhus
multiple	0	Lalondrelle, Royal Marsden Meijer, Catharina



+ 1.5 cm margin

+ 0.5 cm margin



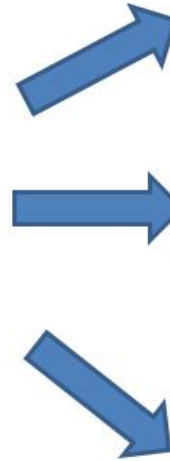
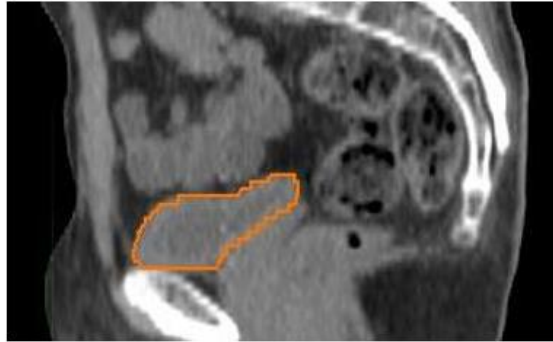
manual



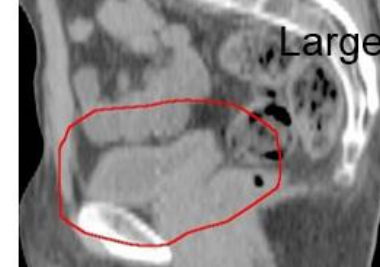
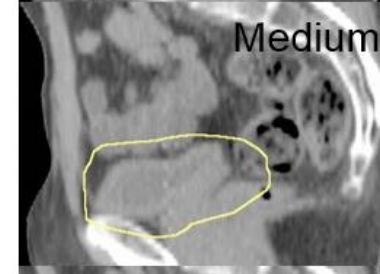
summation

Foroudi *et al.* (IJROBP 2010)

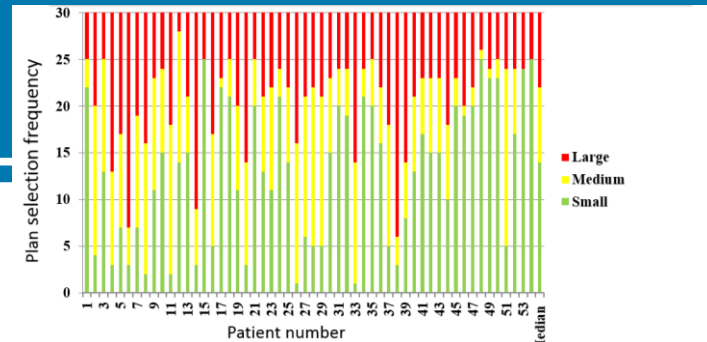
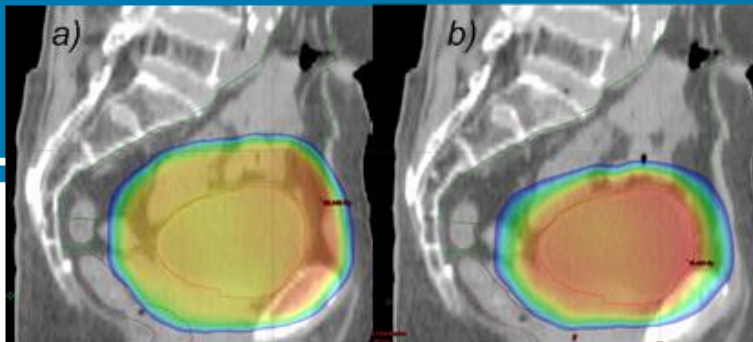
Planning CT prior to treatment



Library of dose plans



Aarhus group

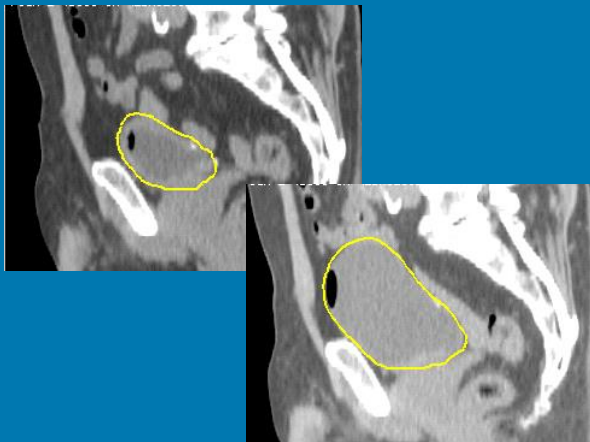


Volume ratio of course averaged PTV:  $PTV_{ART} / PTV_{RONART}$  Median 0.68[0.43;0.93]



# bladder cancer

## library generation



prospectively generating  
target volumes

# CT  
scans

1

1

**multiple**

#CBCT scans

0

multiple

**0**

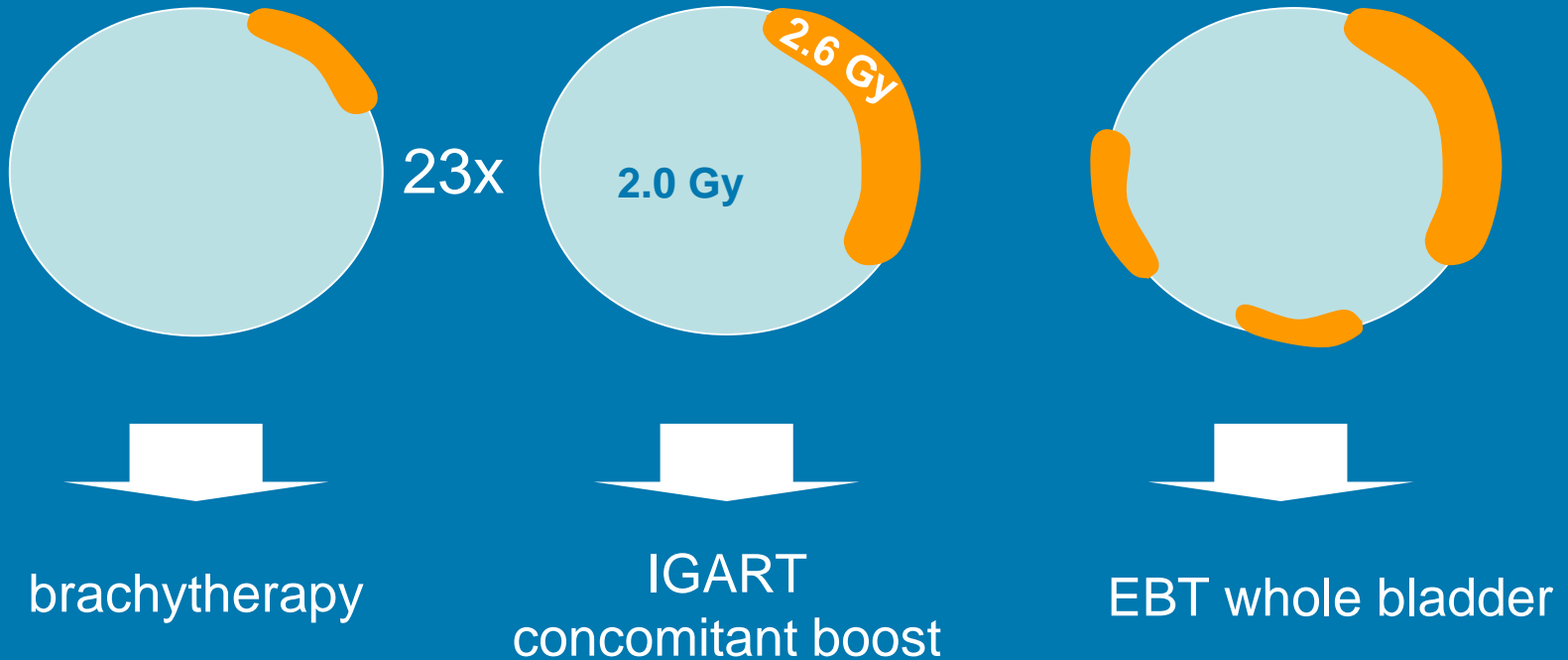
groups

Vestergaard, Aarhus  
Burrige, Christy Hospital

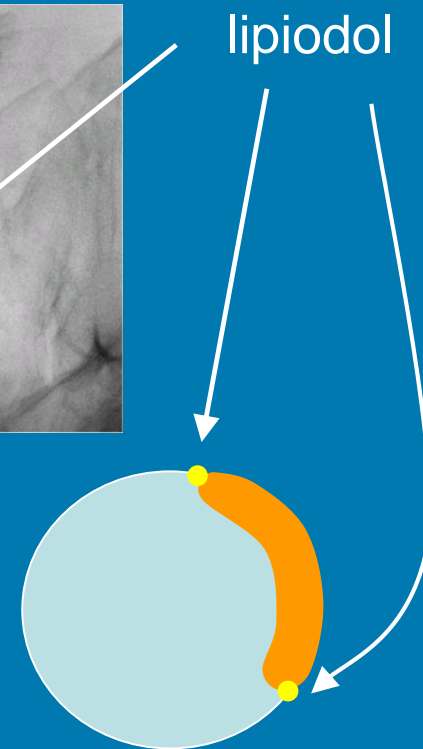
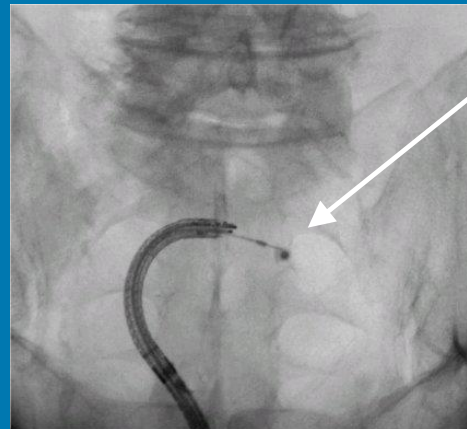
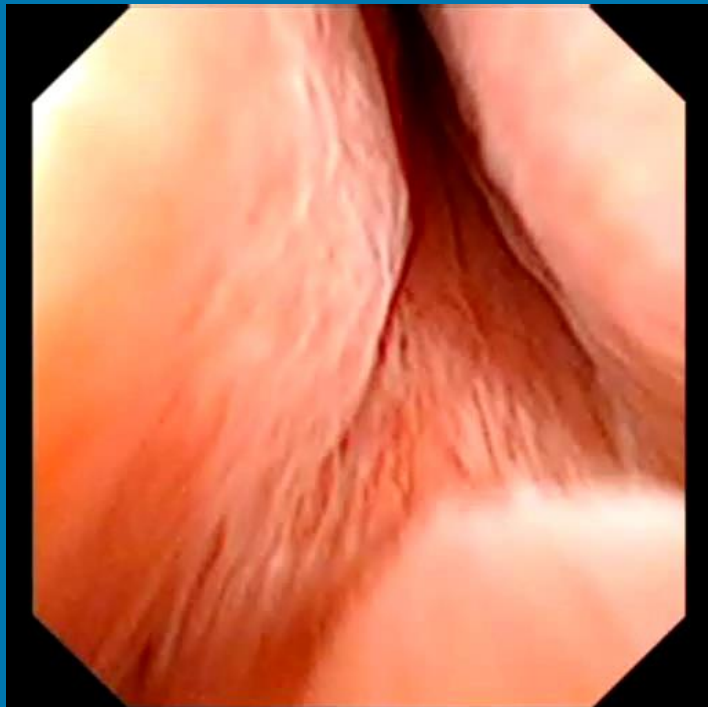
Vestergaard & Wright, Aarhus

**Lalondrelle, Royal Marsden  
Meijer, Catharina**

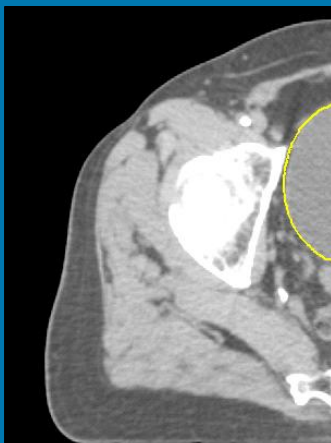
# Bladder RT at Catharina Hospital



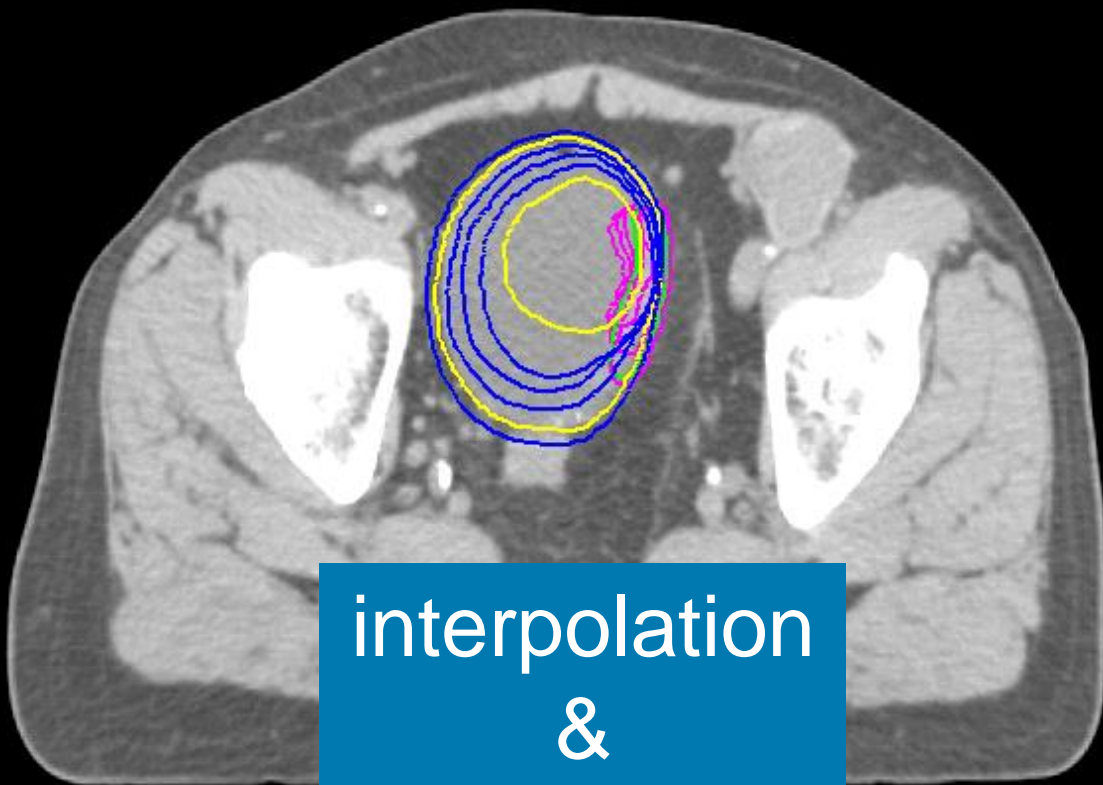
# Endoscopic lipiodol demarcation of the GTV



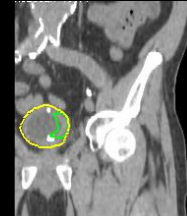
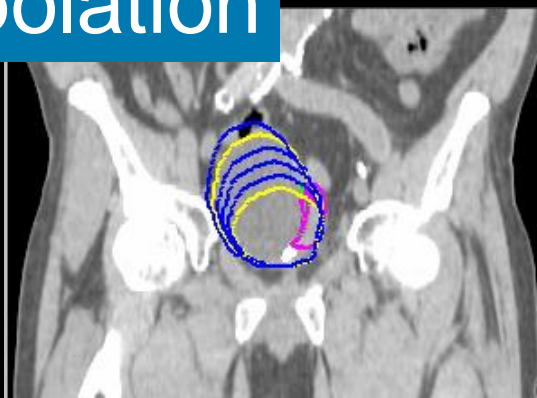
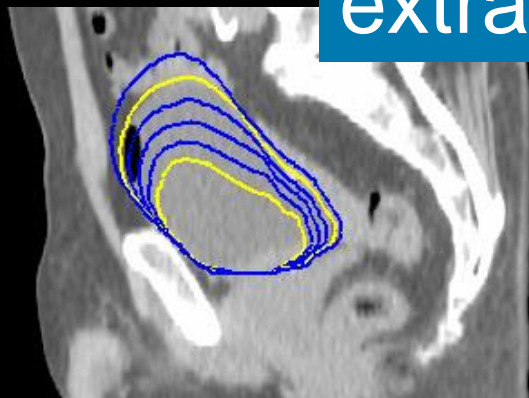
2 CT s



full b



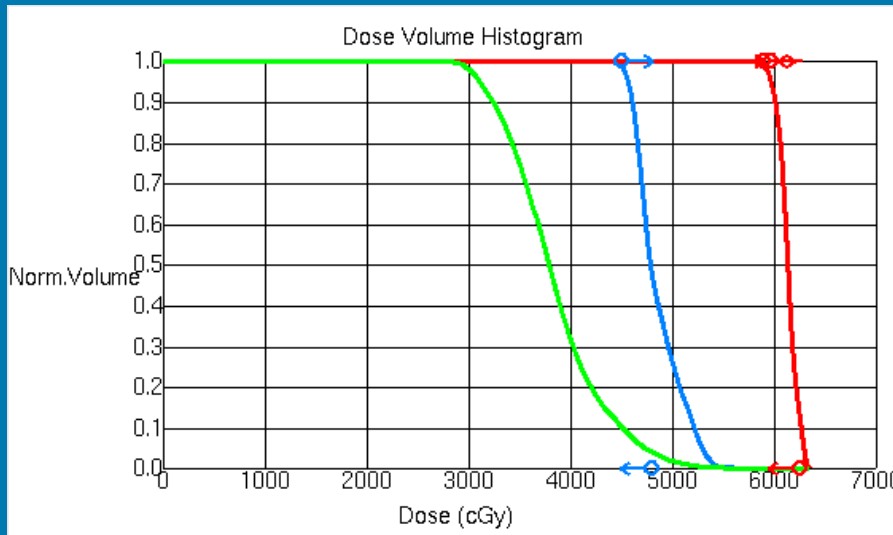
interpolation  
&  
extrapolation



lder

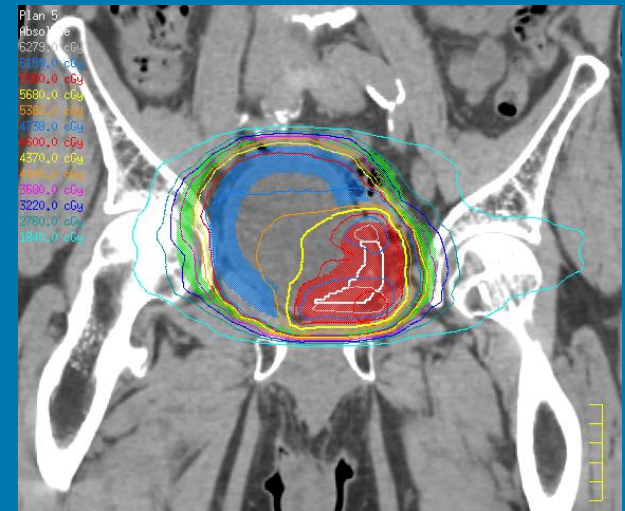
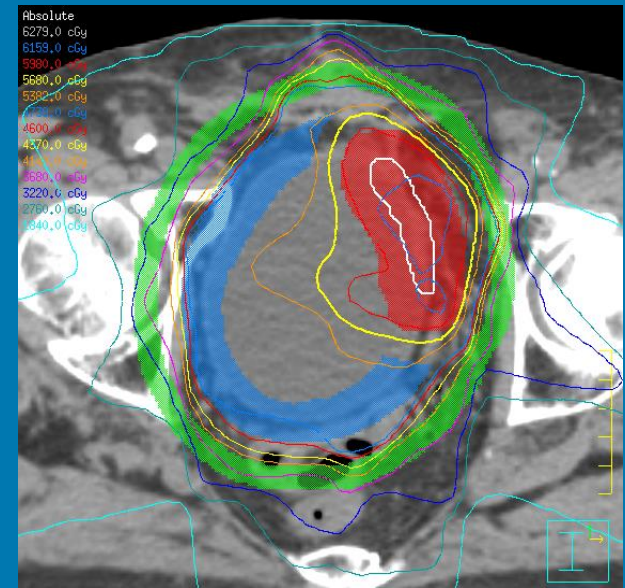
TRO

# automated planning

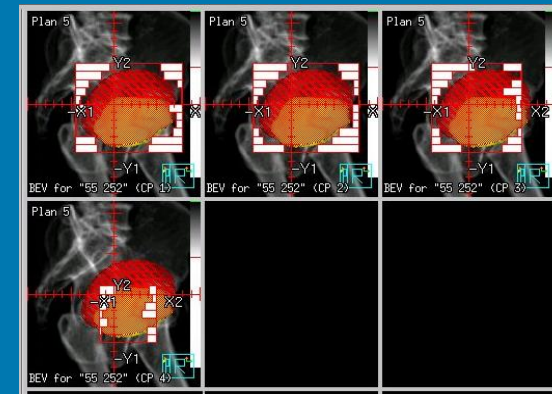
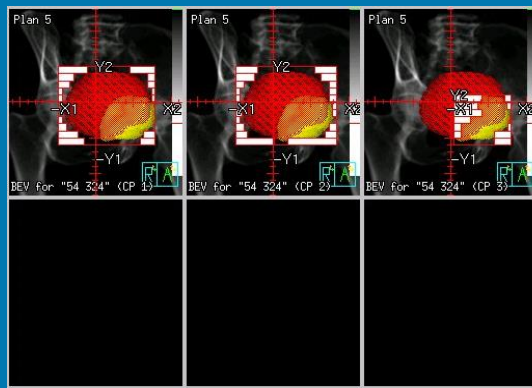
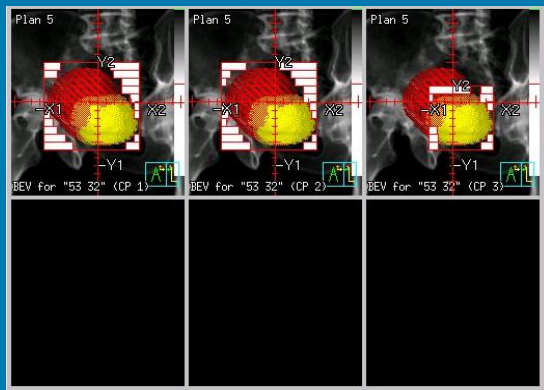
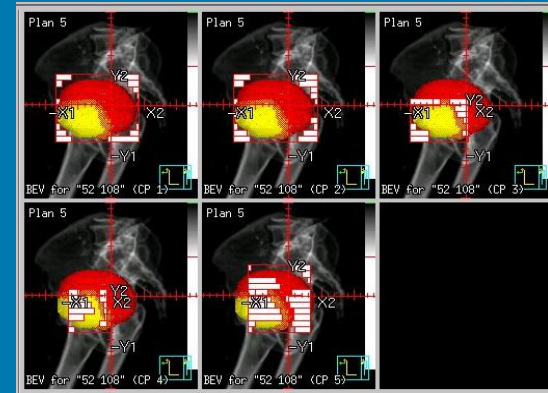
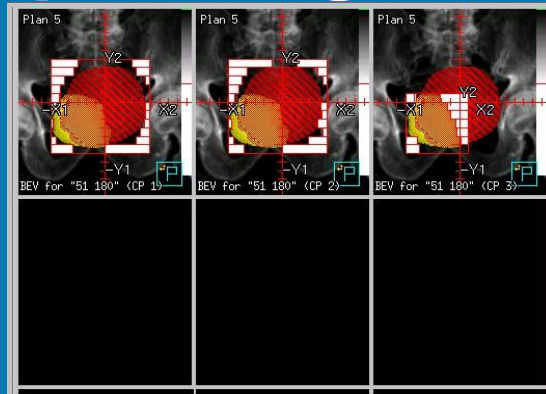


<b>PTV GTV</b>	<b>min dose</b>	<b>59.0 Gy</b>	
	<b>100</b>		
<b>PTV GTV</b>	<b>max dose</b>	<b>62.5 Gy</b>	<b>30</b>
<b>PTV GTV</b>	<b>uni dose</b>	<b>59.8 Gy</b>	<b>1</b>
<b>PTV Bladder*</b>	<b>min dose</b>	<b>45.0 Gy</b>	
	<b>100</b>		

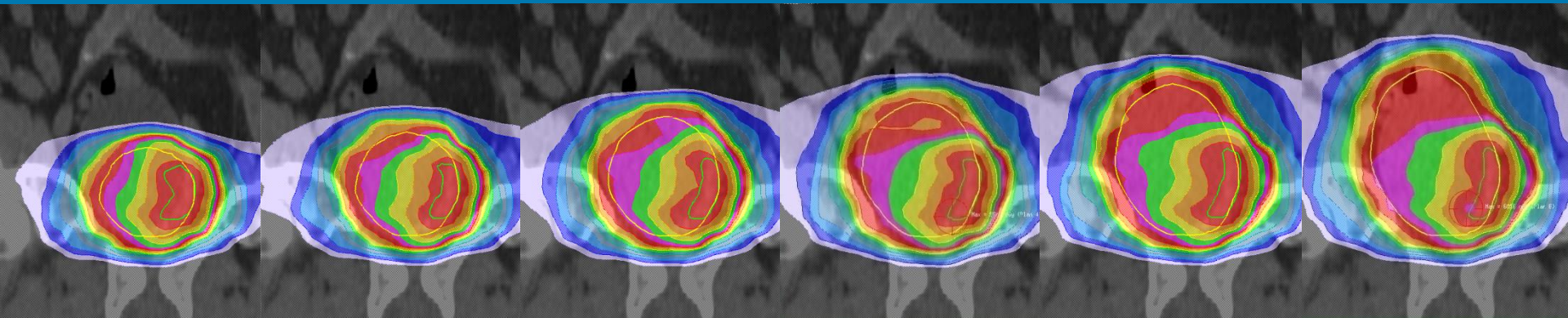
**Ring**      **Min EUD (a=5)**      **59Gy**      **1**



# automated planning

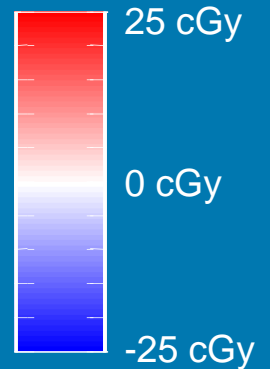
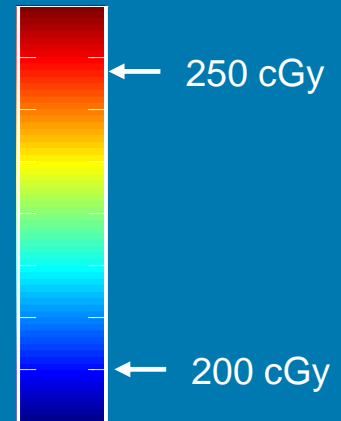
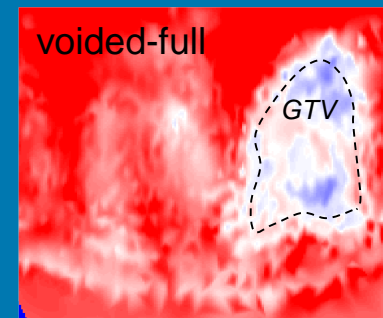
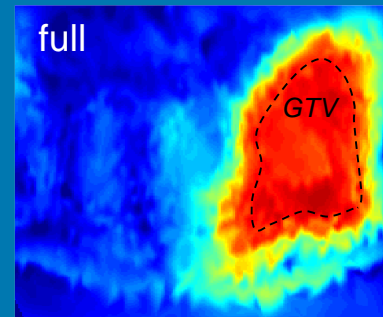
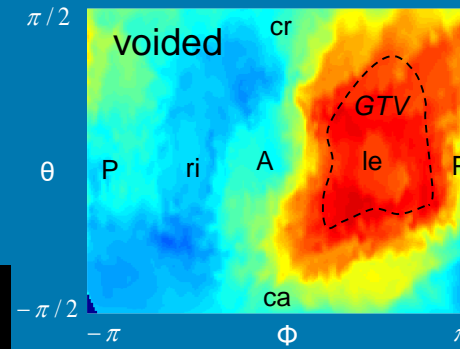
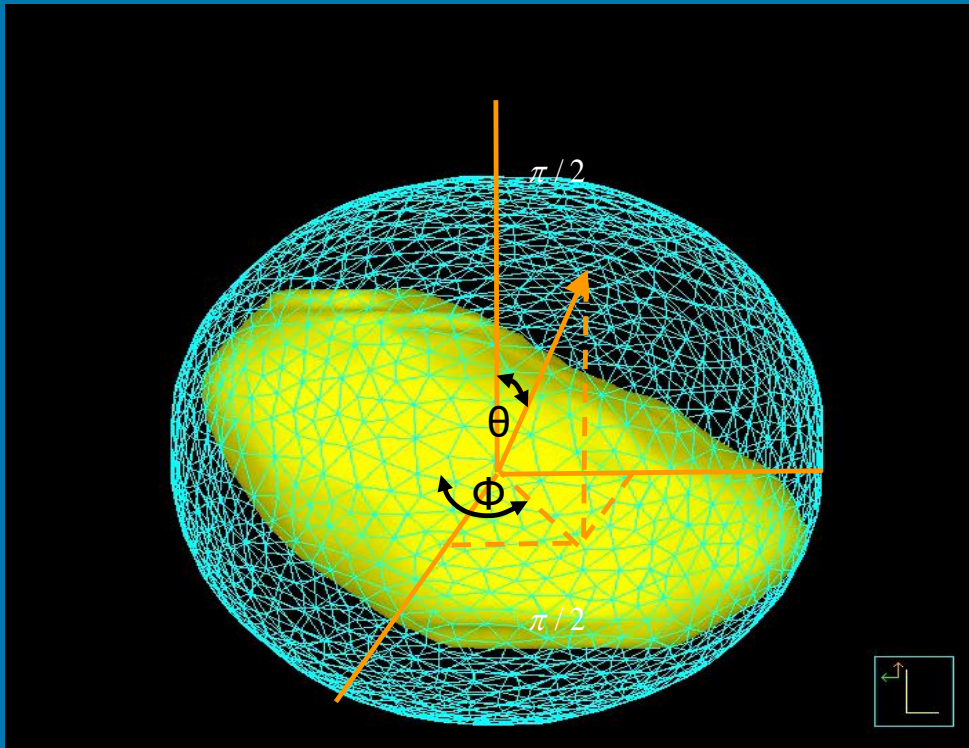


# multiple 'simple' IMRT plans

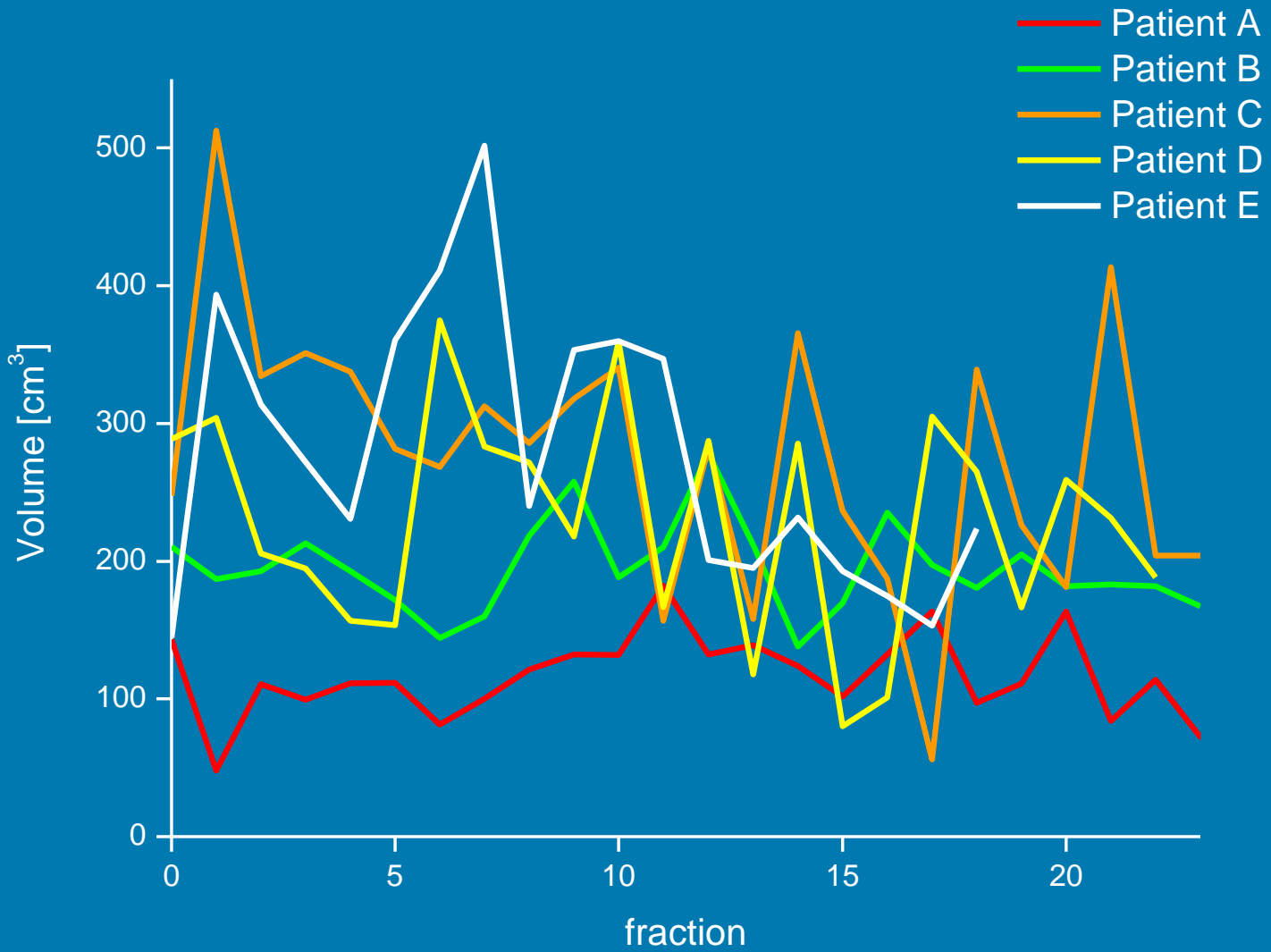


*coronal views*

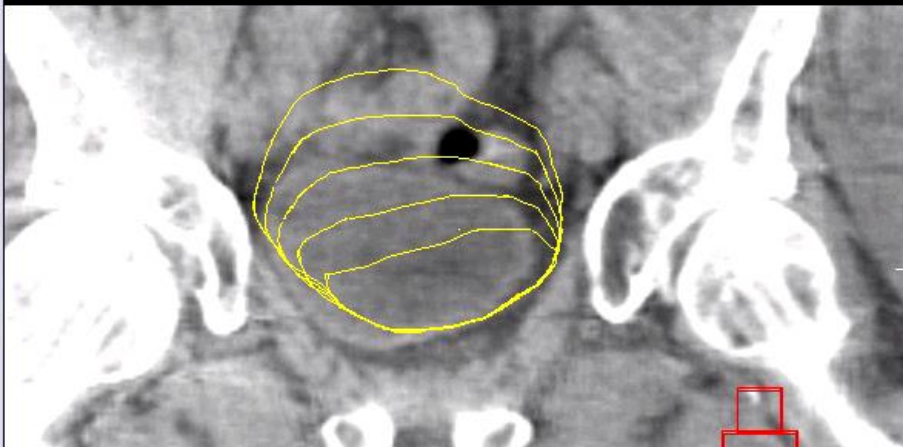
# dose wall maps of voided and full bladder plans







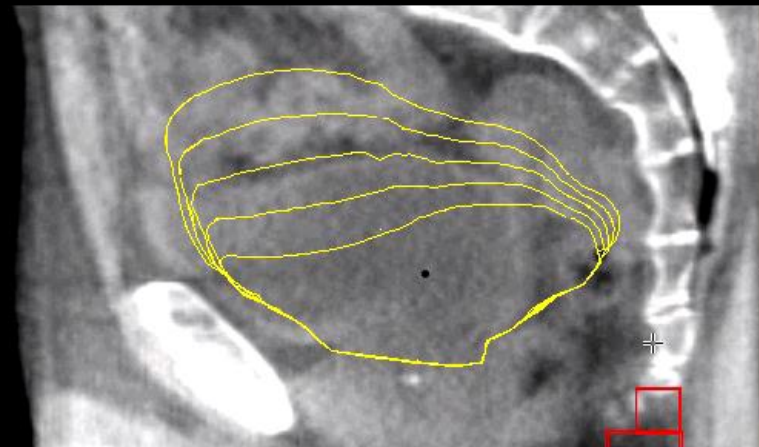
Coronal



Correction reference point = isocenter

Slice 186 of 410

Sagittal



Slice 191 of 410

Image

Slice Averaging

none

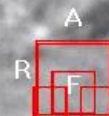
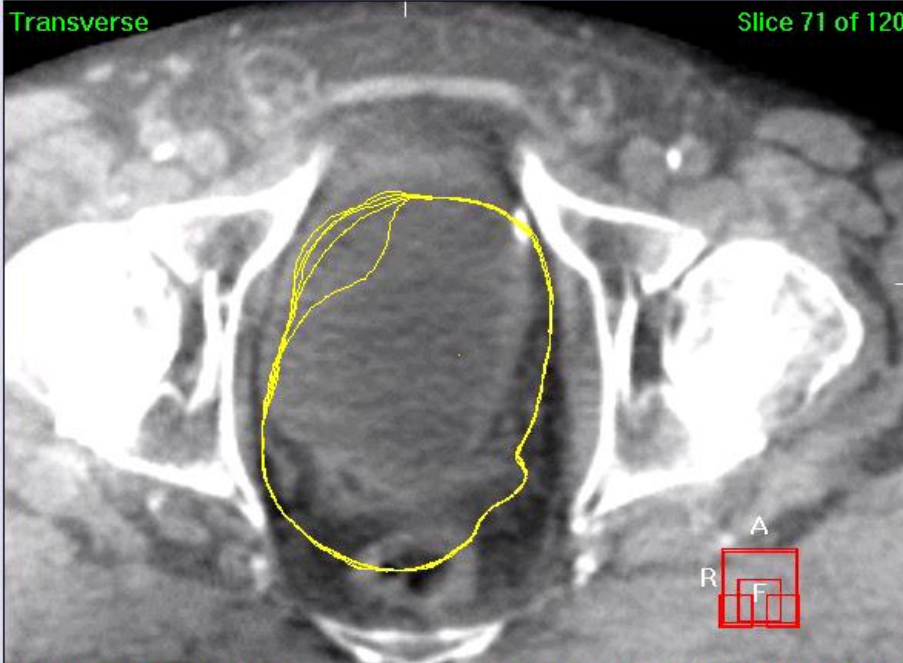
Display Mode

Localization on



GoTo..

Transverse



Slice 71 of 120

17.03.2009 13:58:45.000

Scan Time: 26.02.2009 14:41:50.000

Reference Preset

Cor.Ref Point..

Scan

Alignment Clipbox

Structures..

Alignment

Automatic

Bone

Reset

Convert To Correction

Position Error  
Translation (cm)

X 0.00

Y 0.00

Z 0.00

Rotation (dg)

X 0.0

Y 0.0

Z 0.0

Table Correction

(cm)

Lateral

Longitudinal

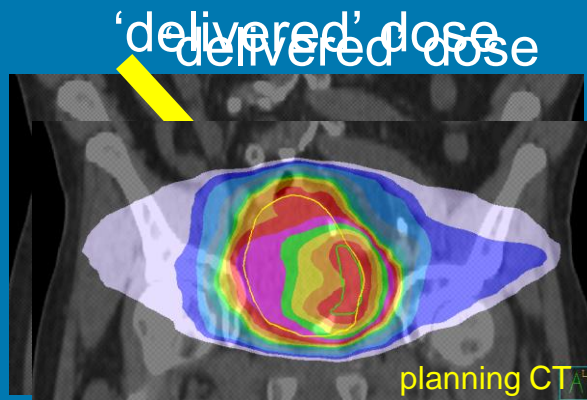
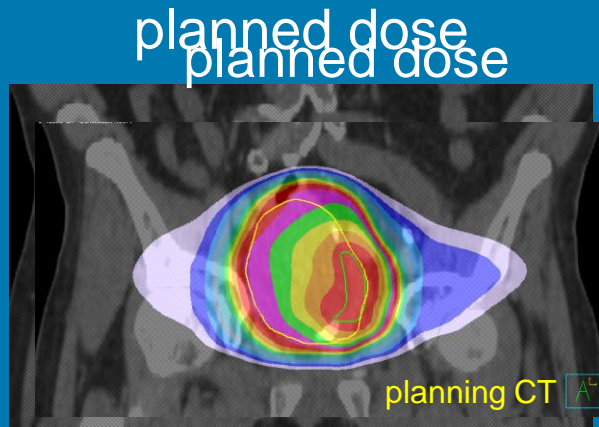
Vertical

-  
-  
-

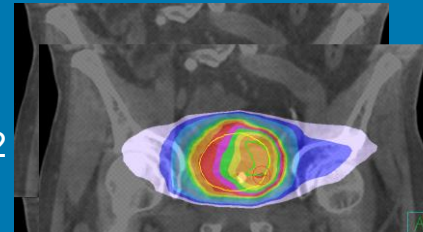
Dismiss

Accept

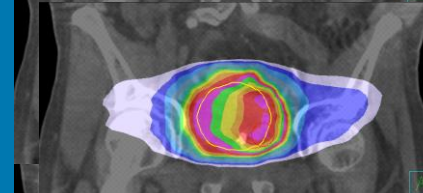
# Dose warping of **IGRT** provided data with **Pinnacle** Binacle 8.1x



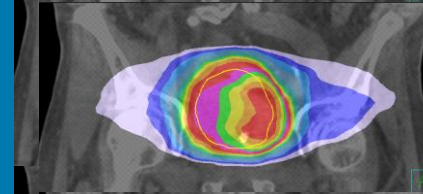
fr 12  
fr 12



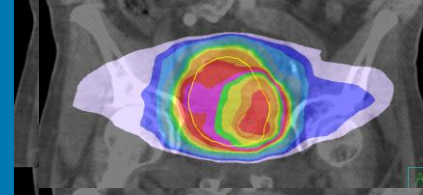
fr 8  
fr 8



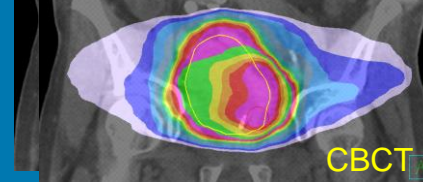
fr 7  
fr 7



fr 11  
fr 11



fr 3  
fr 3



# Conclusions

- Library planning delivery rarely implemented in the clinical routine
  - but ....
- Online plan adaptation helps us to steer the right dose to the right tissues in highly deforming target volumes

Acknowledgements:

Luiza Bondar from the Erasmus Medical Center Rotterdam

Anne Vestergaard from the Århus Universitetshospital

Simon van Kranen and Jasper Nijkamp from the Netherlands Cancer Institute

# Robust and probabilistic planning

Marcel van Herk

Includes slides by Michael Sharpe

Institute of Cancer Sciences

Manchester University

The Christie NHS Trust

(Formerly at the Netherlands Cancer Institute)

MANCHESTER  
1824

The University of Manchester  
Manchester Cancer Research Centre

The Christie   
NHS Foundation Trust

# Simplified PTV margin recipe for dose - probability

To cover the CTV for 90% of the patients with the 95% isodose (analytical solution) :

$$\text{PTV margin} = 2.5 \Sigma + 0.7 \sigma$$

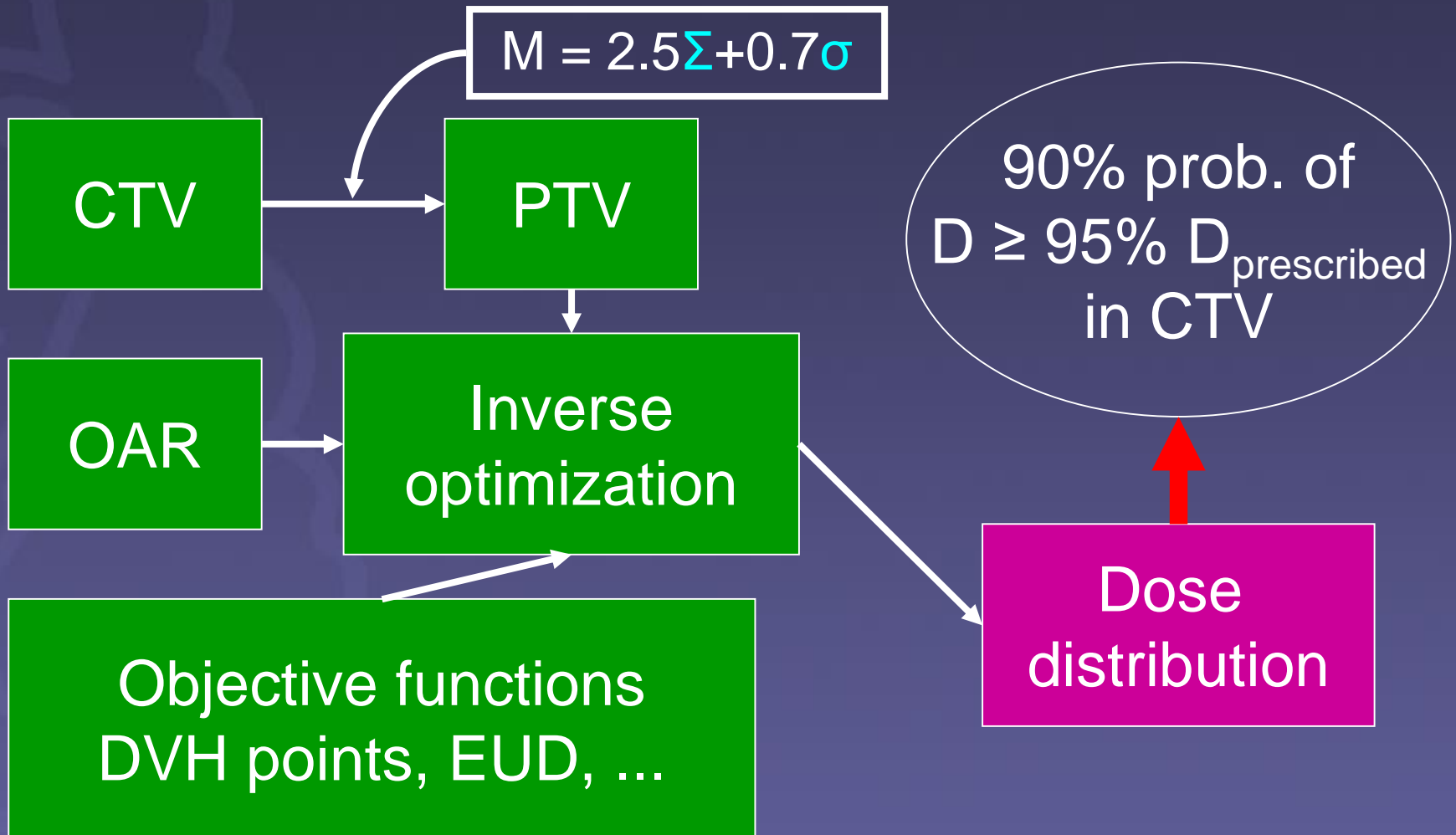
$\Sigma$  = quadratic sum of SD of all preparation (systematic) errors

$\sigma$  = quadratic sum of SD of all execution (random) errors

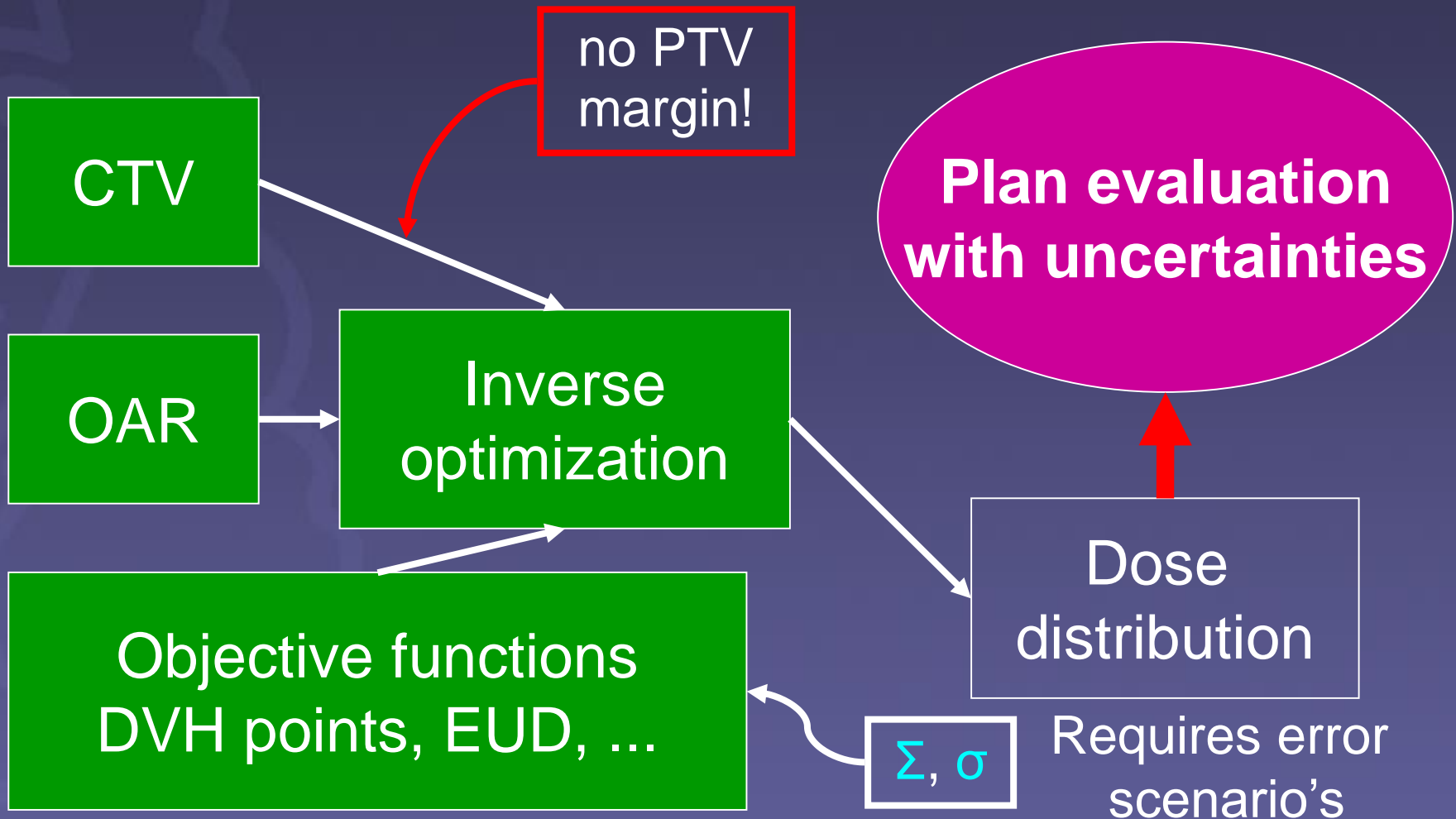
(van Herk et al, IJROBP 47: 1121-1135, 2000)

Margins are an implicit trade off between target coverage and OAR: can we make this explicit?

# Uncertainty management: Conventional IMRT planning with margin



# Uncertainty management: Probabilistic IMRT planning without margin

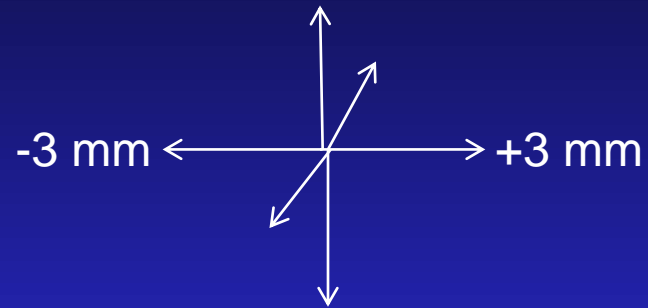




# Robust vs probabilistic planning

- Robust planning:

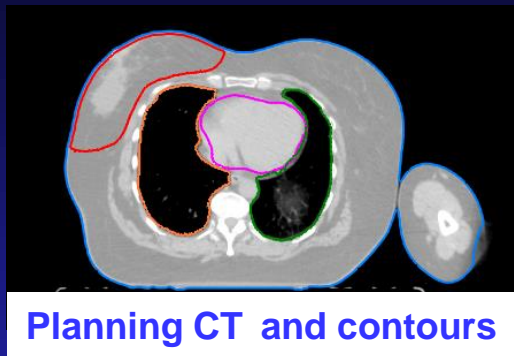
- Few error scenarios
- Worst case optimization
- No differentiation random/systematic errors
- Mostly used for protons



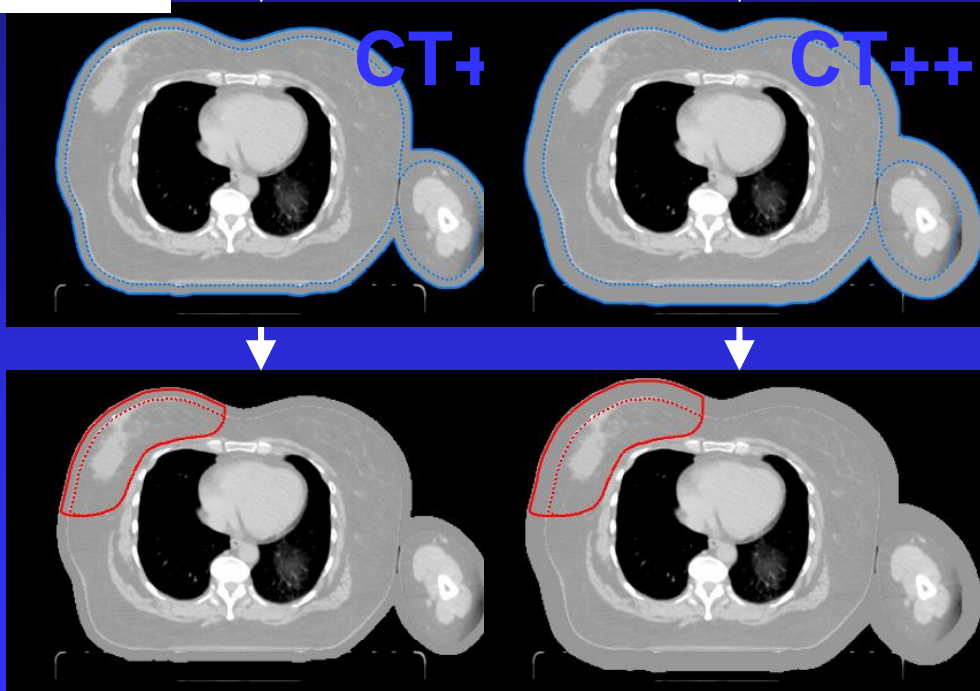
- Probabilistic planning

- Hundreds of error scenarios
- Include both random and systematic errors
- Optimize on probability

# Use of robust planning in photons



1. Extend patient  
1 cm body 2 cm



Robust planning

Optimise robust plan

- [Robust] Uniform dose of 40 Gy to **Breast**
- [Robust] Max DVH of 41.08 Gy to 15% of **Breast**
- [Robust] Max DVH of 42.16 Gy to 2% of **Breast**
- Max Dose of 42 Gy in External
- ..

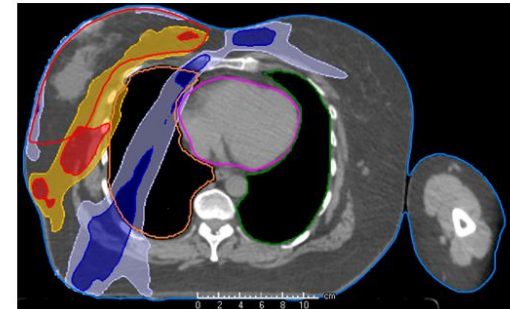
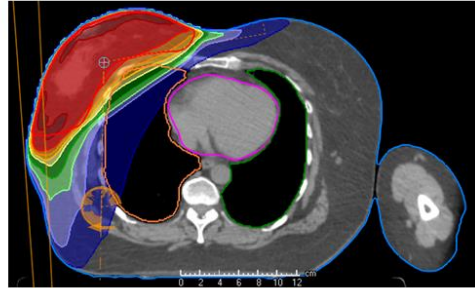
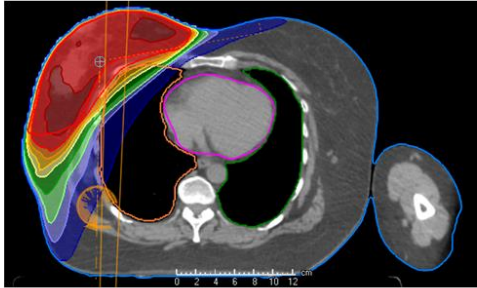
# Plan comparison (nominal)

Robust VMAT plan

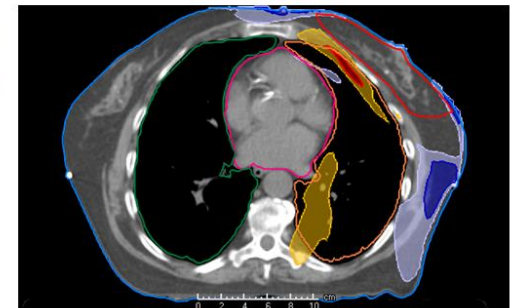
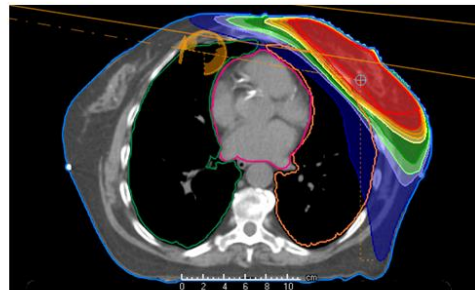
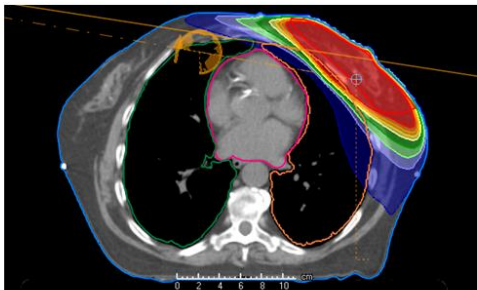
Non-Robust VMAT plan

Robust – Non-Robust

Right-sided breast patient



Left-sided breast patient



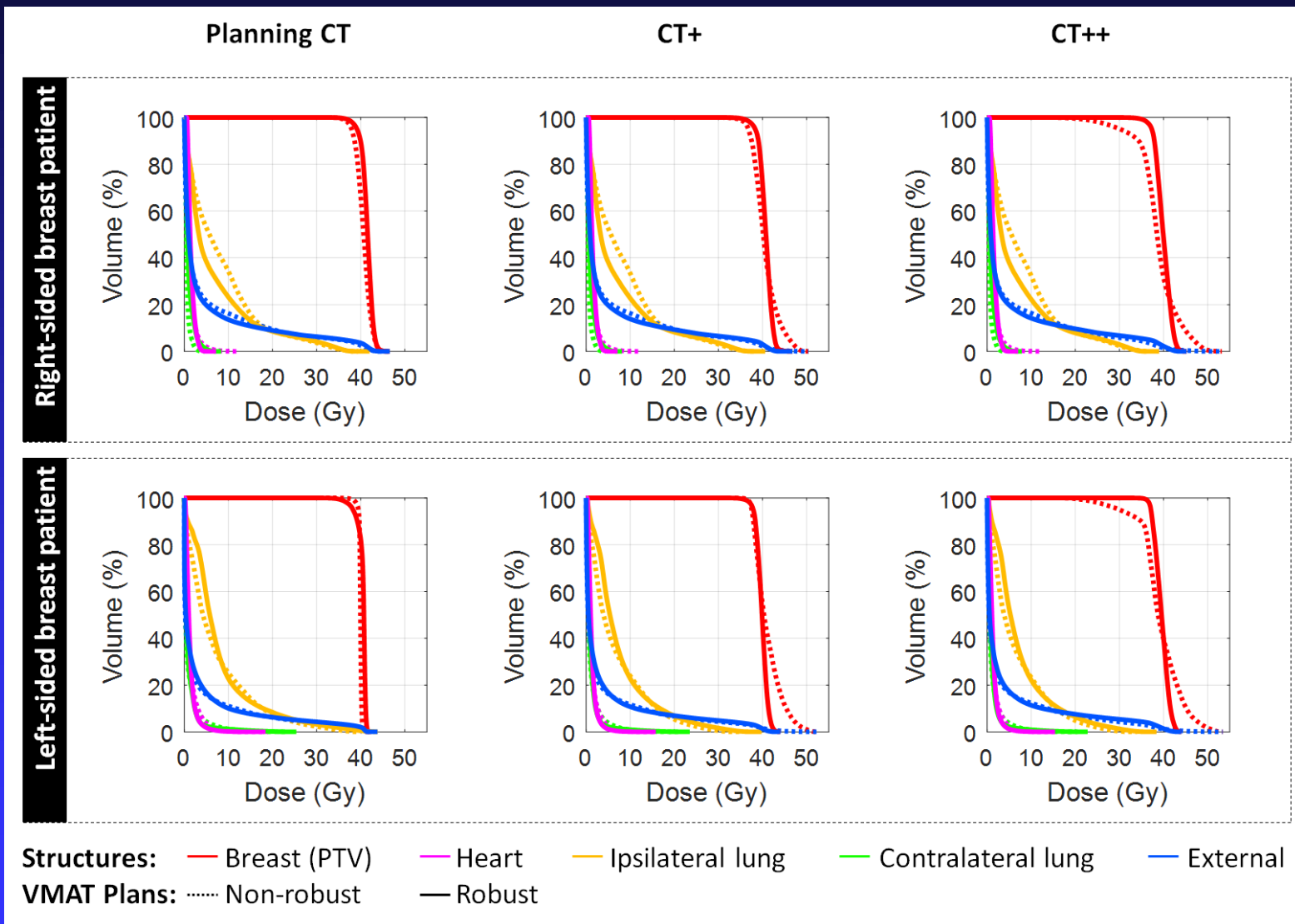
Dose (Gy):



$\Delta$ Dose (Gy):



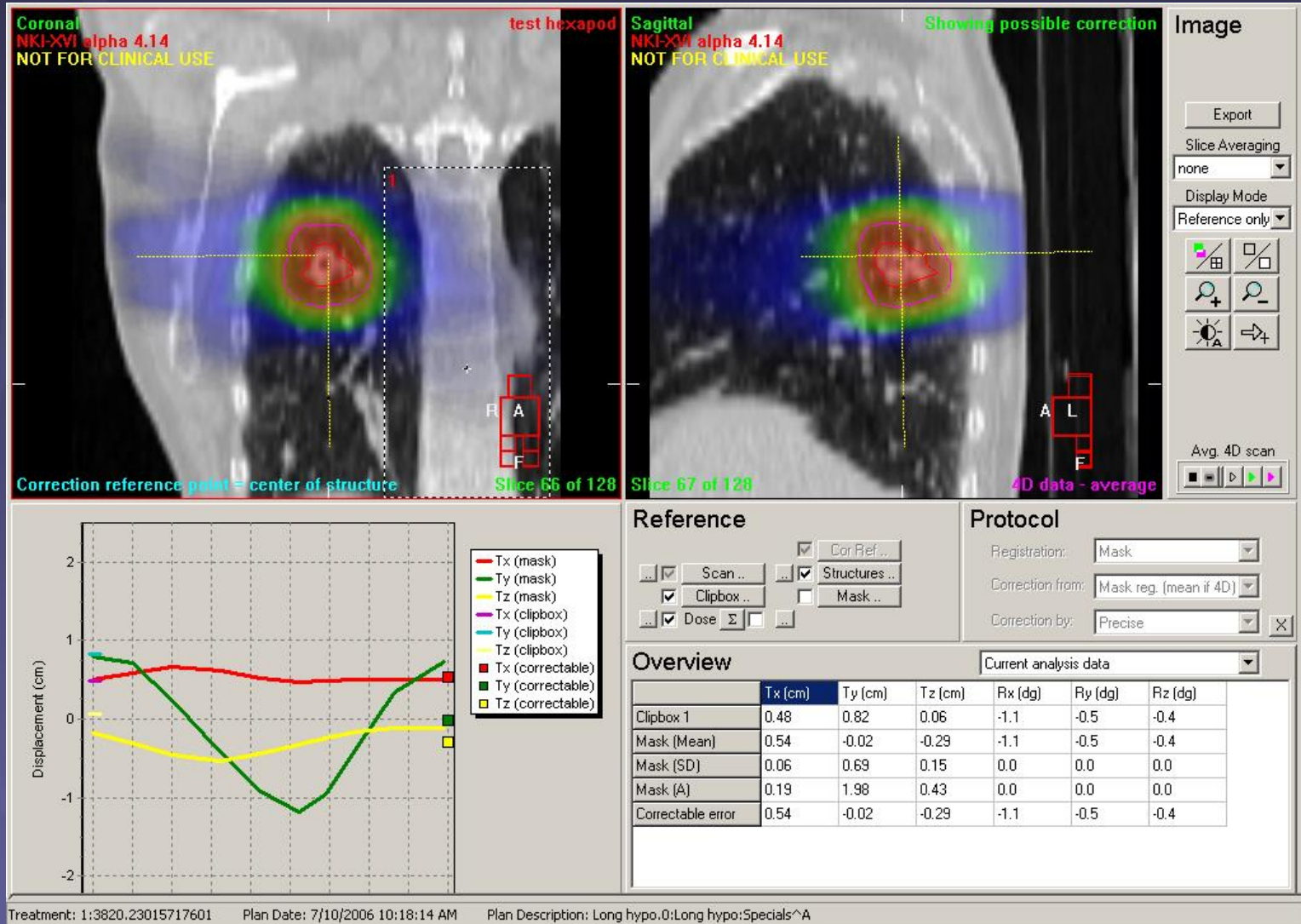
# DVH comparison



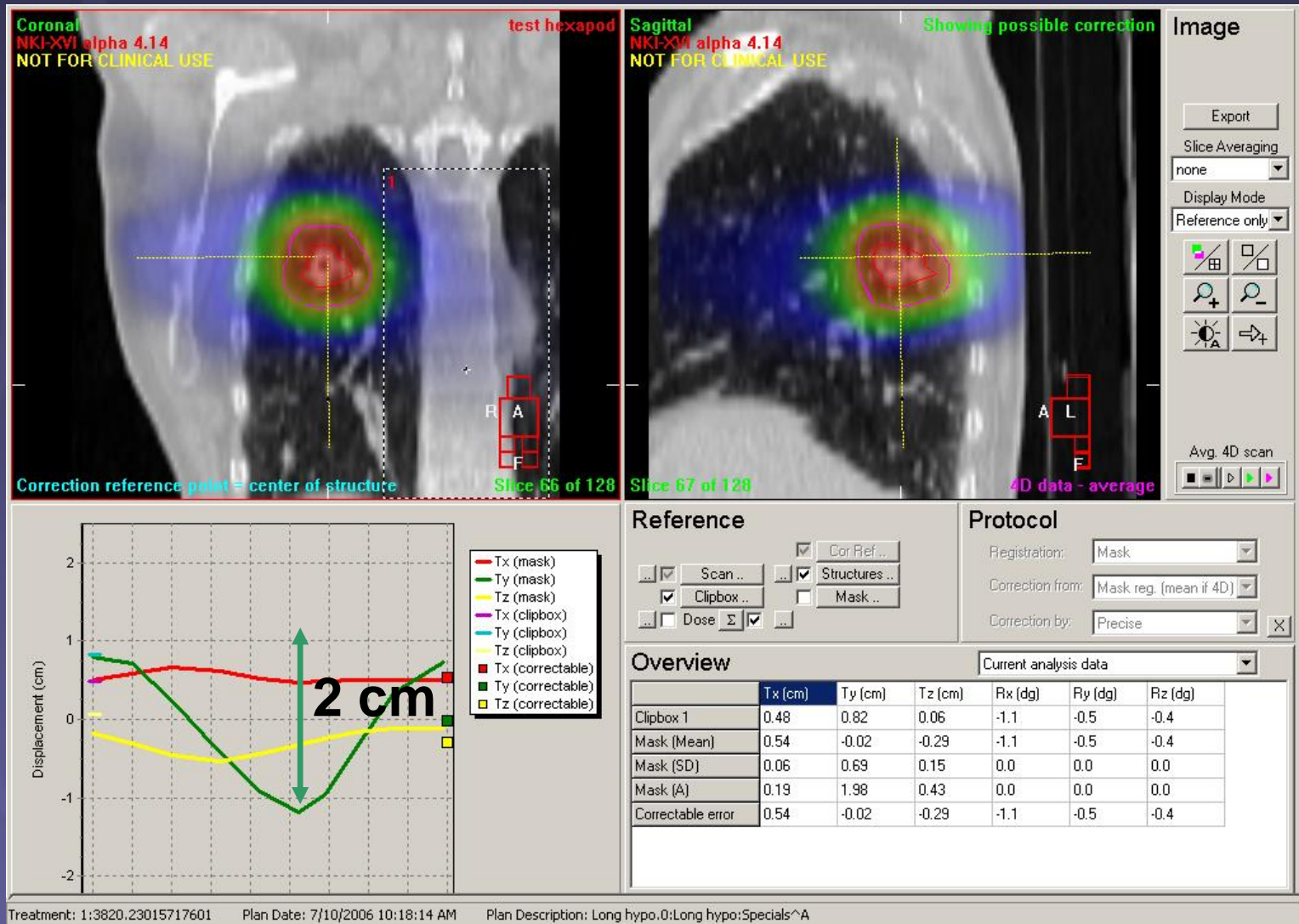
# Random errors & breathing



# Planned dose distribution: hypofractionated lung treatment 3x18 Gy



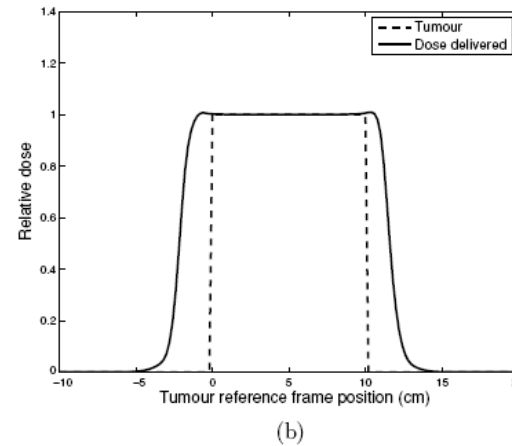
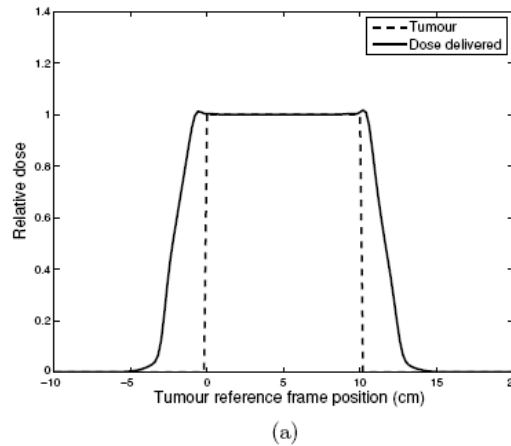
# Realized dose distribution with daily IGRT on tumor (no gating)



Respiratory motion causes dose blurring – can it be deblurred ?

# Variability in Motion Day-to-Day Revisited

Using Margins:  
Nominal plan

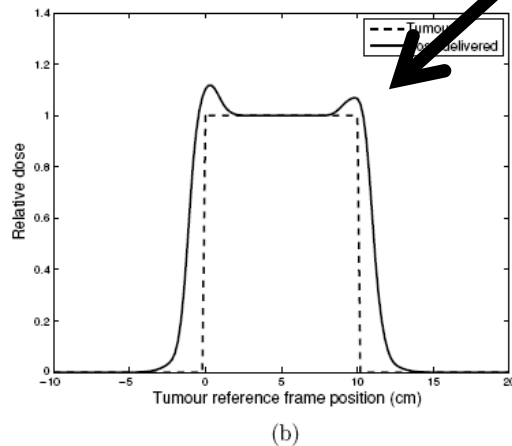
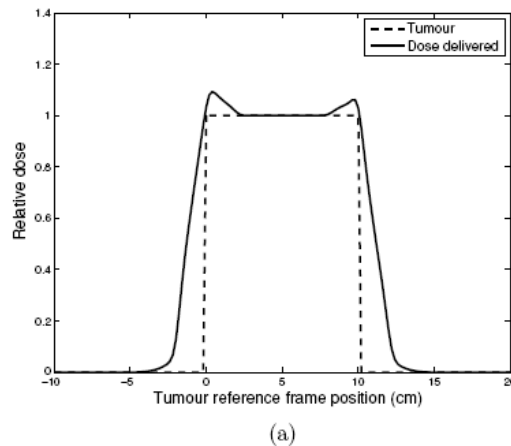


Using Margins:  
delivered

'horns' typically  
disappear

**Figure 7.** Dose distribution of margin solution using the pmfs from figure 5. (a) Nominal pmf. (b) Realized pmf.

Motion modeling:  
Nominal plan

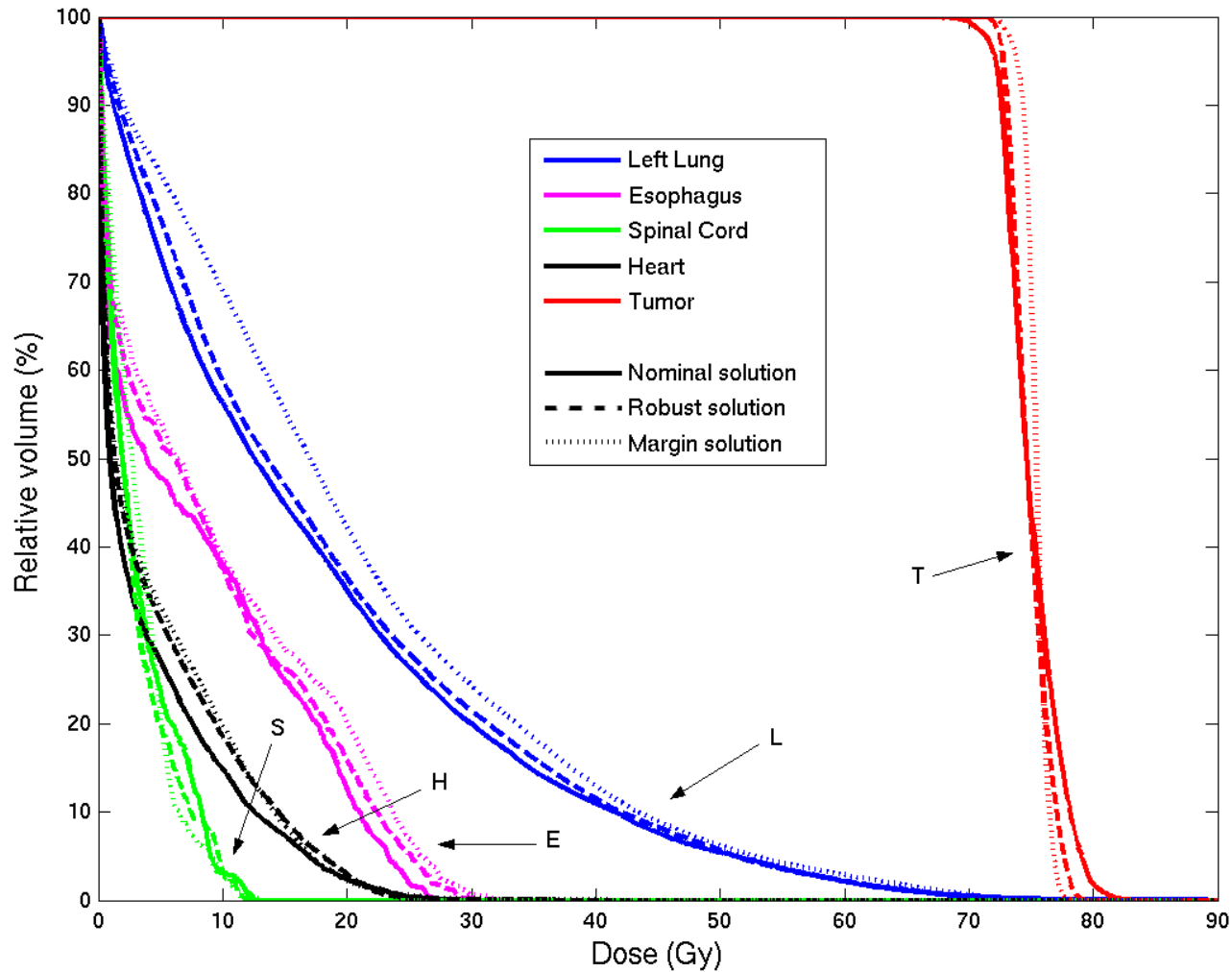


Robust modeling:  
delivered

**Figure 9.** Dose distribution of robust solution using the pmfs and error bars from figure 8. (a) Nominal pmf. (b) Realized pmf.



# Breathing: Margin vs Robust formulation



Small gain by taking 'random' motion into account in planning

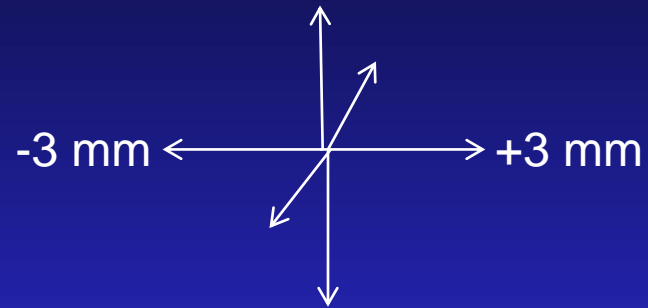
Systematic errors are much more important - probabilistic planning must include systematic errors

Bohoslavsky et al. PMB 2013

# Robust vs probabilistic planning

- Robust planning:

- Few error scenarios
- Worst case optimization
- No differentiation random/systematic errors
- Used mostly for protons

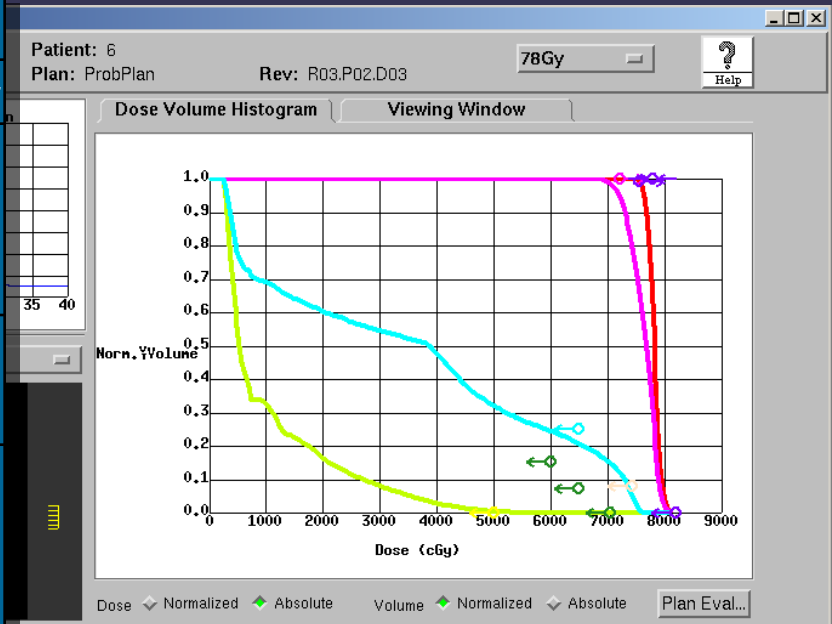


- Probabilistic planning

- Hundreds of error scenarios
- Include both random and systematic errors
- Optimize on probability

# Regular planning objective functions

	Parameters			
	Dose	Volume%	$a(1/n)$	Weight
Minimum Dose	x			x
Maximum Dose	x			x
Uniform Dose	x			x
Minimum DVH	x	x		x
Maximum DVH	x	x		x
Target EUD	x		x	x
Minimum EUD	x		x	x
Maximum EUD	x		x	x



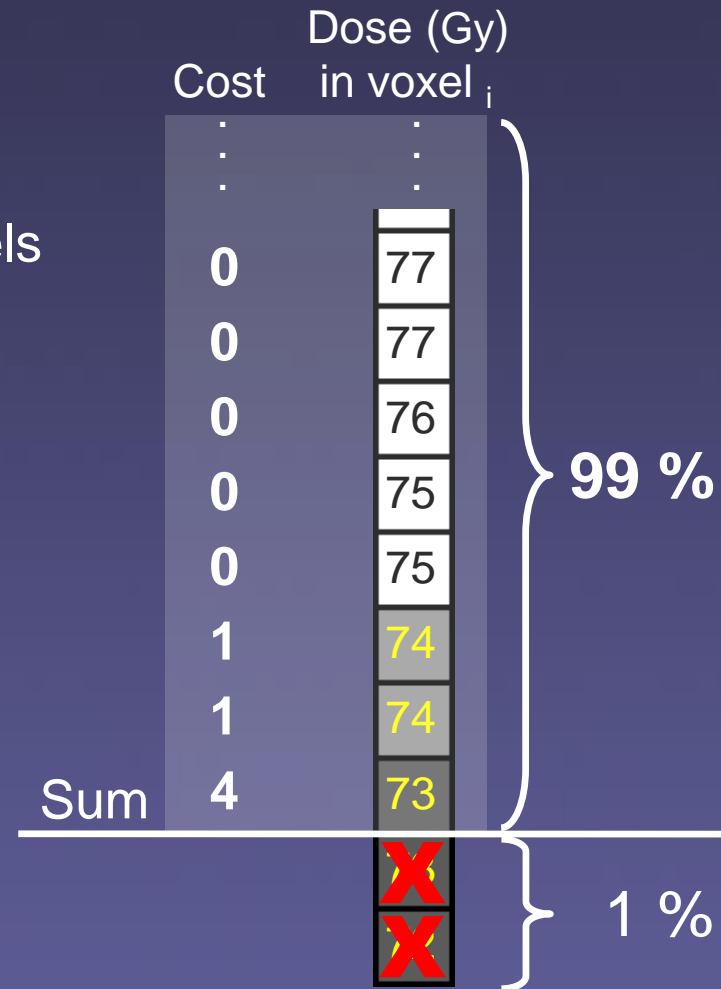
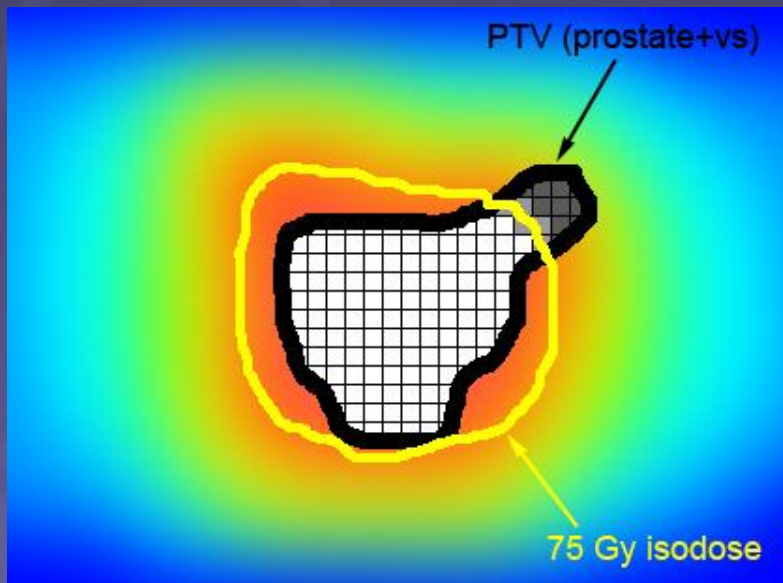
<input checked="" type="checkbox"/> PTVpros+vs	Min Dose	<input type="checkbox"/>	7220	90	0.00623277		
<input checked="" type="checkbox"/> PTVpros+vs_sd	Min DVH	<input type="checkbox"/>	7566	99	100	0.00232654	
<input checked="" type="checkbox"/> PTVpros+vs_sd	Uniform Dose	<input type="checkbox"/>	7800	10	0.00473467		
<input checked="" type="checkbox"/> PTVpros+vs_sd	Max Dose	<input type="checkbox"/>	8190	50	0		
<input checked="" type="checkbox"/> Rect_wall	Max EUD	<input type="checkbox"/>	3500	40	0	1	3482.95
<input checked="" type="checkbox"/> Rect_wall	Max EUD	<input type="checkbox"/>	6200	15	0.00339678	12	6408.62
<input checked="" type="checkbox"/> Rect_wall	Max DVH	<input type="checkbox"/>	6500	25	0	0	
<input checked="" type="checkbox"/> Anal_filling	Max EUD	<input type="checkbox"/>	1250	1	n	1	1114.08

Research composite objective function: Composite objective value: 0.0229246

# How DVH cost functions are calculated

PTV: MinDVH  $d=75\text{Gy}$   $\text{vol}=99\%$

1. Sort voxels by dose
2. MinDVH: select highest 99% of voxels
3. Compute and add costs





**Probabilistic form  
of exactly the same  
cost functions**

**Pinnacle 8.1v research version**

---

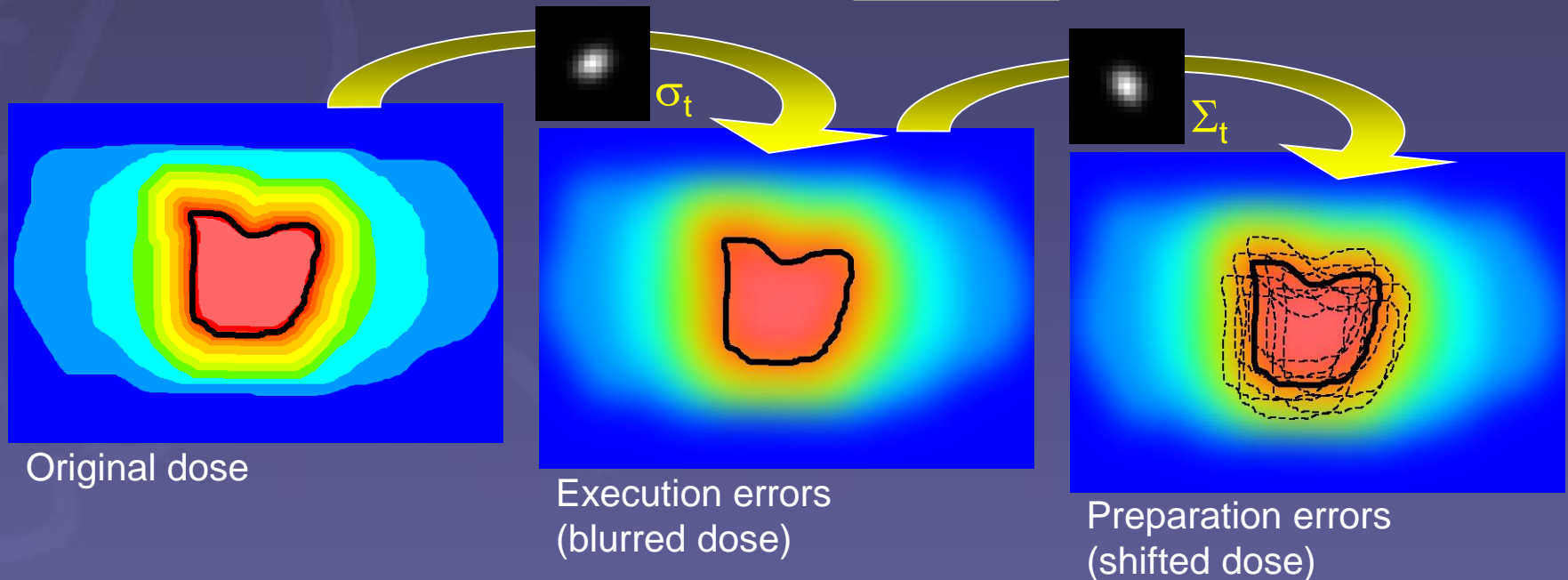
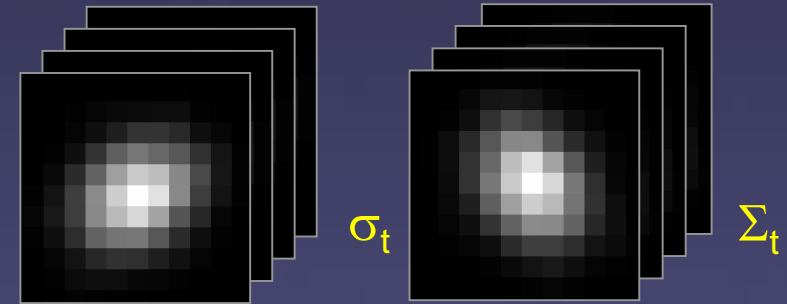
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# Inclusion of uncertainties in plan optimization

## Translation errors

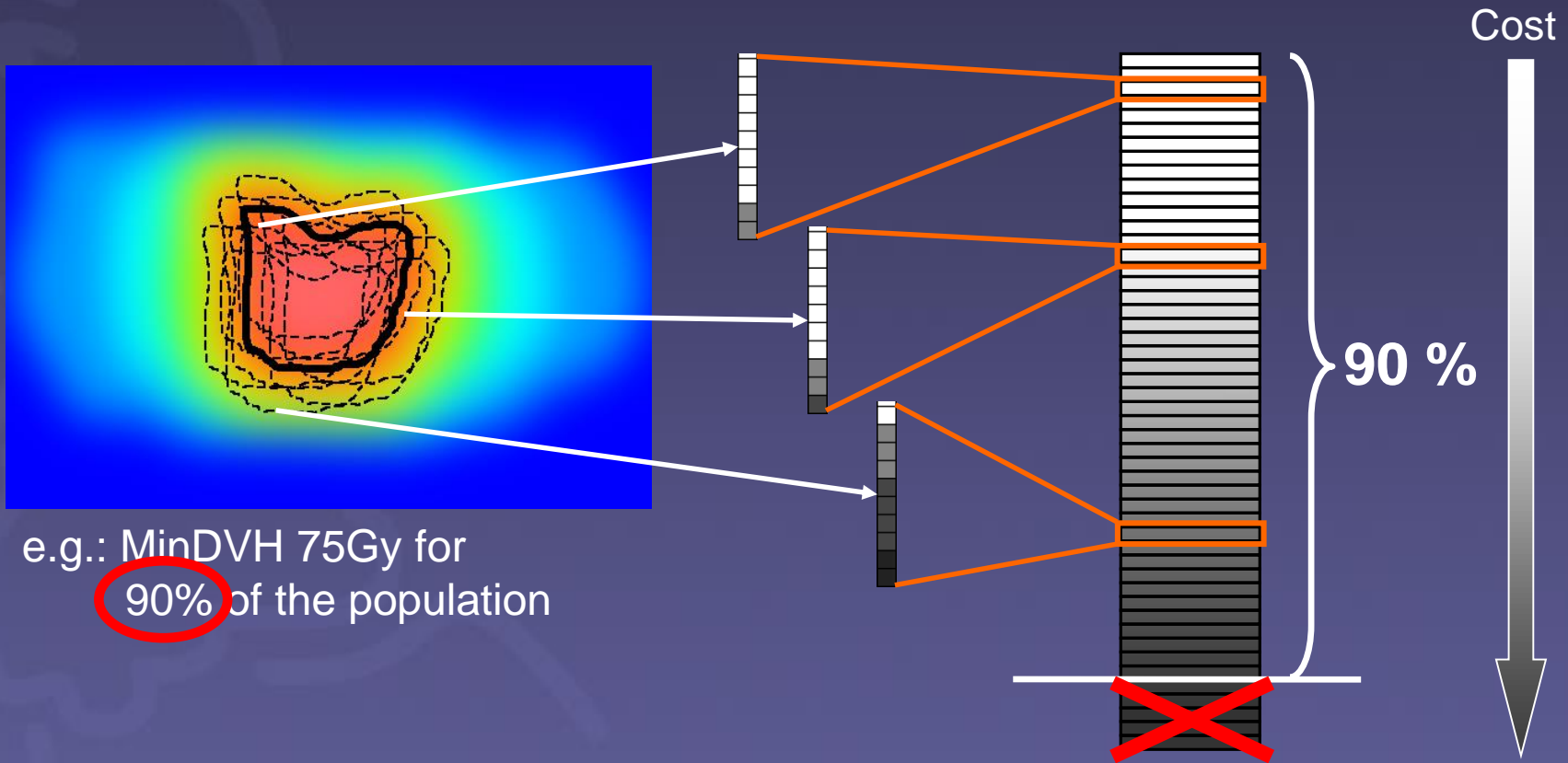
- Execution (random) errors  $\rightarrow \sigma_t$
- Preparation (systematic) errors  $\rightarrow \Sigma_t$

## 3D gaussian error spaces



# Confidence level of objective functions

1. Systematic error simulations are sorted by cost
2. The best (lowest cost) cases are selected





# Materials and Methods

Six prostate cases were replanned using probabilistic objective functions aiming for identical target coverage

All plans were evaluated using independent geometrical uncertainties simulation software (UNCERT)

- 10.000 patients x 39 fractions simulated per plan

Uncertainty values (1SD): setup errors + organ motion

Translation errors (mm)	LR	AP	SI
Preparation (systematic) $\Sigma_k$	2.6	3.5	2.4
Execution (random) $\sigma_k$	2.0	3.0	2.4

**prostate**  
and  
**rectum**

# Objectives for treatment plans

Clinical plan objectives

Probabilistic planning objectives

ROI	Objective	Dose (cGy)	Vol (%)	a (1/n)	Weight
PTVpros+vs	Min Dose	7220			90
PTVpros+vs_sd	M				
PTVpros+vs_sd	U				
PTVpros+vs_sd	M				
Rect_wall	M				
Rect_wall	M				
Rect_wall	M				
Anal_filling	M				
PTV72min78	M				
PTVring	M				
PTVring	M				
PTVring	M				
Hip_R	M				
Hip_L	M				

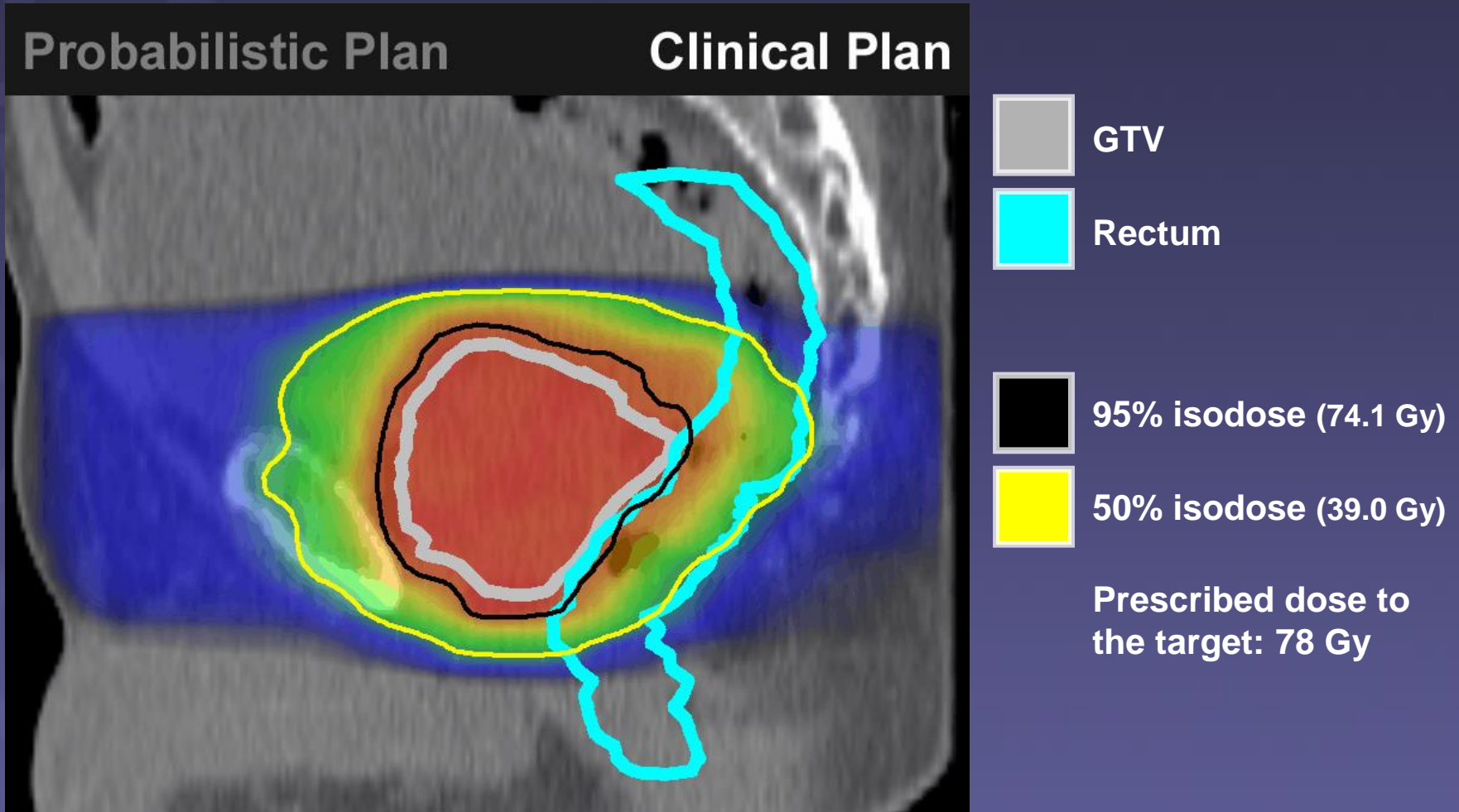
ROI	Objective	Dose (cGy)	Vol (%)	a (1/n)	Weight	Pop (%)	Kernel
GTVpros+vs	Min EUD	7820		1	100	92	sig
						92	sig
						92	sig
						--- (100)	env
						--- (100)	env
						92	sig
						92	sig
						92	sig
						--- (100)	---

**GTV instead of PTV**

**No PTV boost**

**Less objectives**

# Effect of probabilistic planning

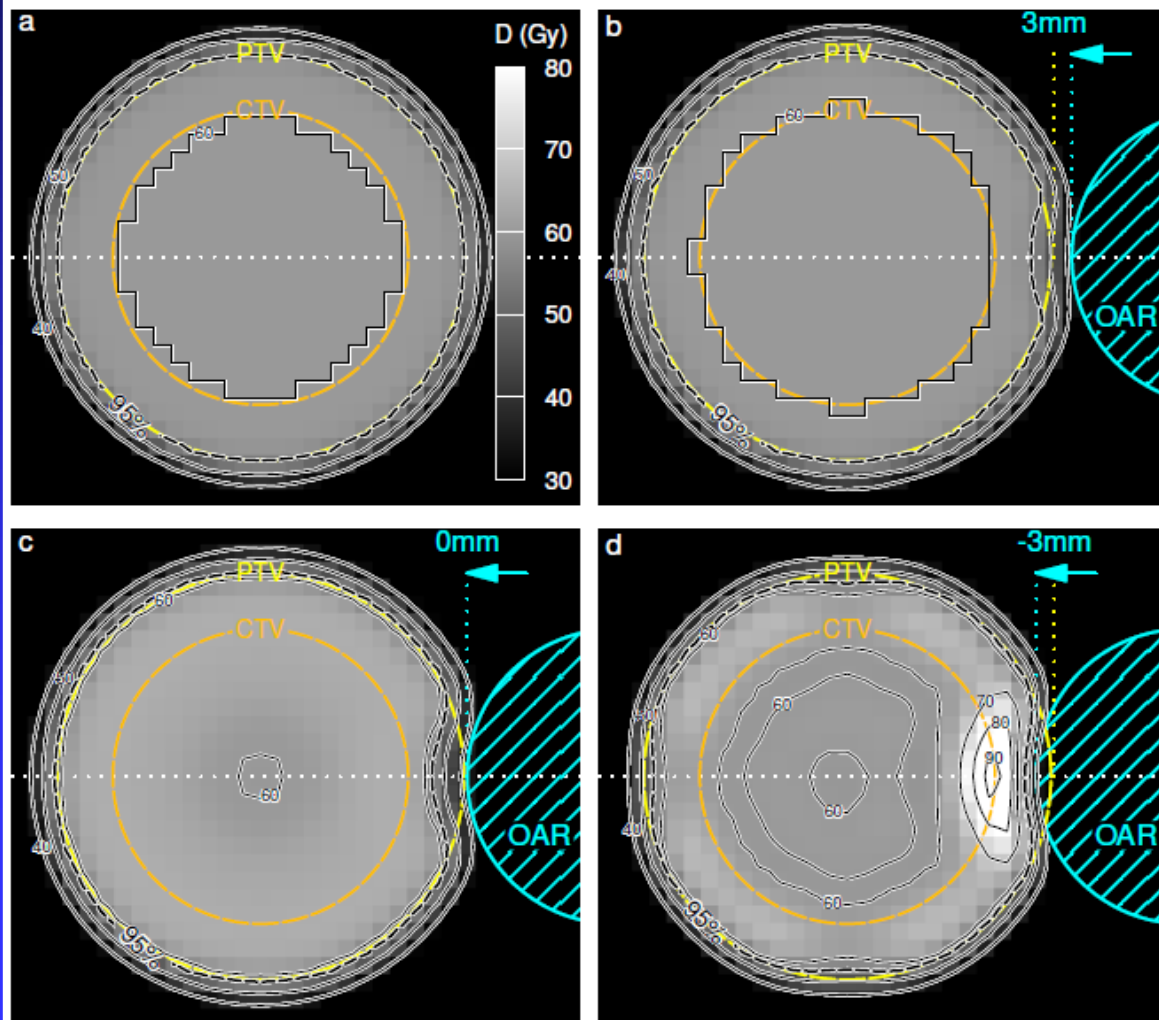


# PTV: a Paranoid Target Volume?

Marnix G. Witte<sup>a</sup>, Jan-Jakob Sonke<sup>a</sup>, Joseph O. Deasy<sup>b</sup>, Marcel van Herk<sup>a</sup>

<sup>a</sup>The Netherlands Cancer Institute, Amsterdam, The Netherlands

<sup>b</sup>Memorial Sloan-Kettering Cancer Center, New York, USA



# Conclusions

Small gain of including breathing motion in treatment optimization

Off course, much better than using ITV

Margin-less treatment planning is feasible

Better target coverage and lower dose to OARs

Reduced number of objective functions

No CTV boost required

Vendors, implement it!

Robust planning can solve issues with PTV outside body

# Dose painted planning

Gert Meijer

## Wilfried De Neve (2008):

### The vision is clear

- Tumors are heterogeneous
- CTV is more heterogeneous
- PTV is even more heterogeneous
- Homogeneous PTV dose distributions
  - Planning goal
  - Dogmatic
  - Stupid?



Int. J. Radiation Oncology Biol. Phys., Vol. 47, No. 3, pp. 551-560, 2000  
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 Printed in the USA. All rights reserved  
 0360-3016/00\$-see front matter

PII S0360-3016(00)00467-3

**CRITICAL REVIEW**

**TOWARDS MULTIDIMENSIONAL RADIOTHERAPY (MD-CRT): BIOLOGICAL IMAGING AND BIOLOGICAL CONFORMALITY**

C. CLIFTON LING, PH.D.,\* JOHN HUMM, PH.D.,\* STEVEN LARSON, M.D.,† HOWARD AMOLS, PH.D.,\* ZVI FUKS, M.D.,‡ STEVEN LEIBEL, M.D.,‡ AND JASON A. KOUTCHER, M.D., PH.D.\*

Departments of \*Medical Physics, †Radiology, and ‡Radiation Oncology, Memorial Sloan Kettering Cancer Center, New York, NY

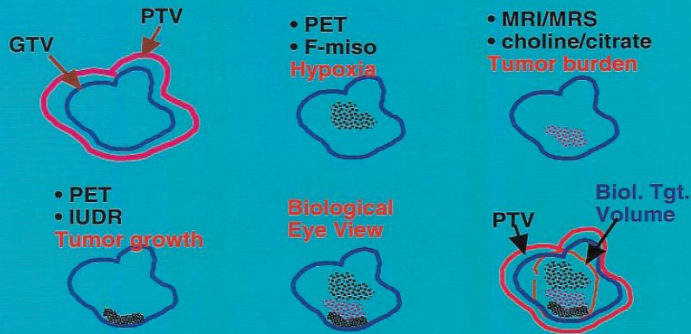
**An engineering approach to cancer treatment?**

- Radiation therapy was developed in the heyday of “modern” physics – and, arguably, the greatest progress in the last century has been in physics and technology
- Most of the disease concepts applied in radiotherapy today date back to the 1920’s
- **Characteristically, we treat VOLUMES rather than DISEASE PROCESSES**

Slide 7 UNIVERSITY OF WISCONSIN SCHOOL OF MEDICINE AND PUBLIC HEALTH

/SMB 9/10

**Biological Target Volume?**



Søren Bentzen (ESTRO 2010)



Dose painting is the prescription of a non-uniform radiation dose distribution to the target volume based on functional or molecular images shown to indicate the local risk of relapse

Hypothesis 1:

Local recurrence is related to resistant areas not eradicated by currently prescribed and delivered uniform doses

Hypothesis 2:

Non-invasive functional and molecular imaging allows mapping the target in terms of radioresistance

## biological caveats

what parameters?

sensitivity/  
specificity?

intensity to dose?

3D fractionation?

4D heterogeneity?



## physical caveats

image resolution?

delivery resolution?

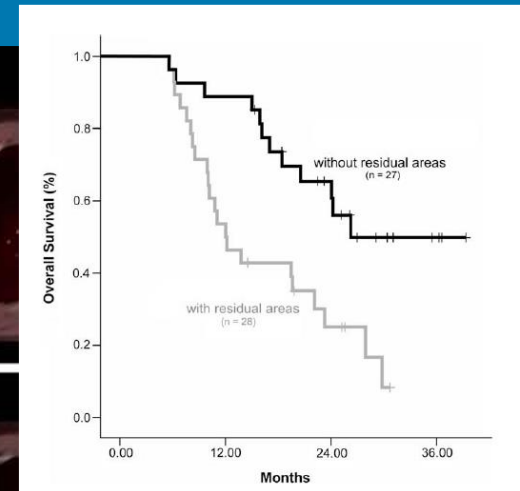
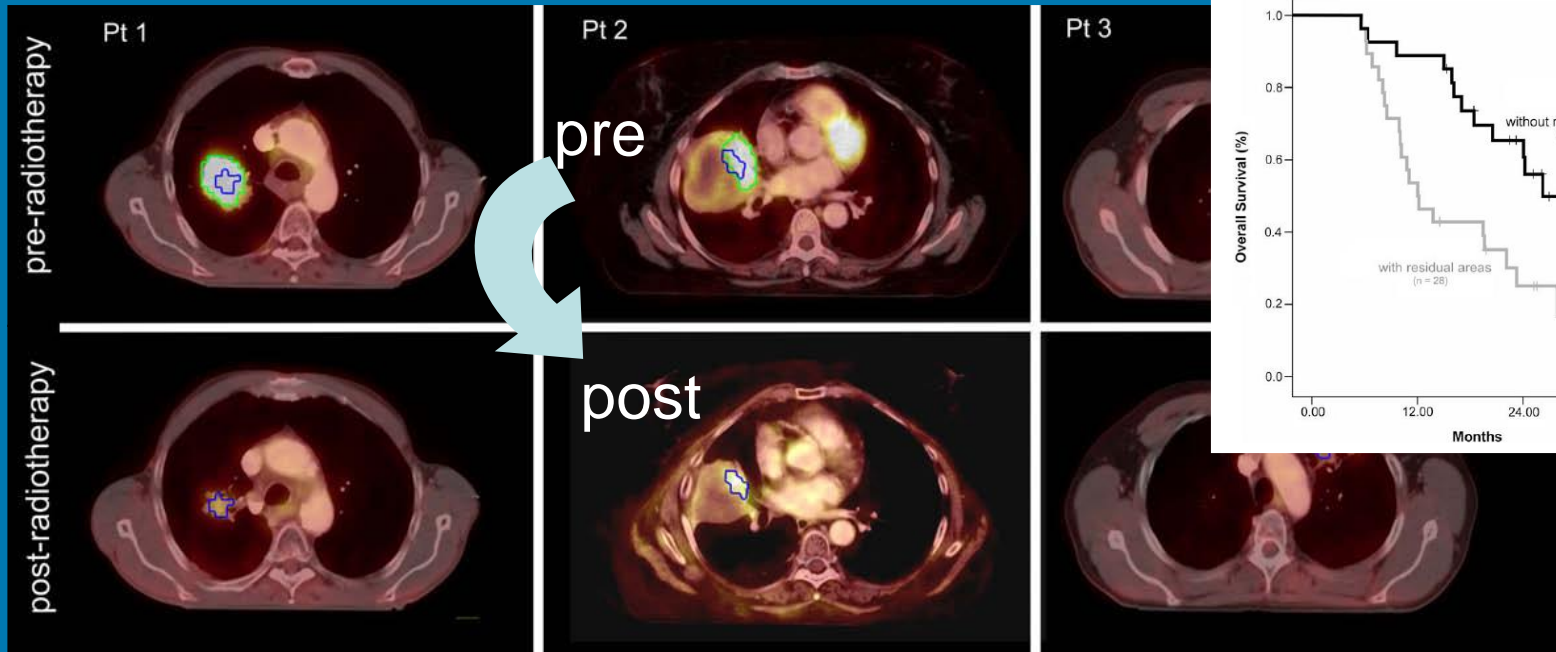
planning?

plan evaluation?

tumour movements?

image guidance?

# phenomenological relationships do matter !!

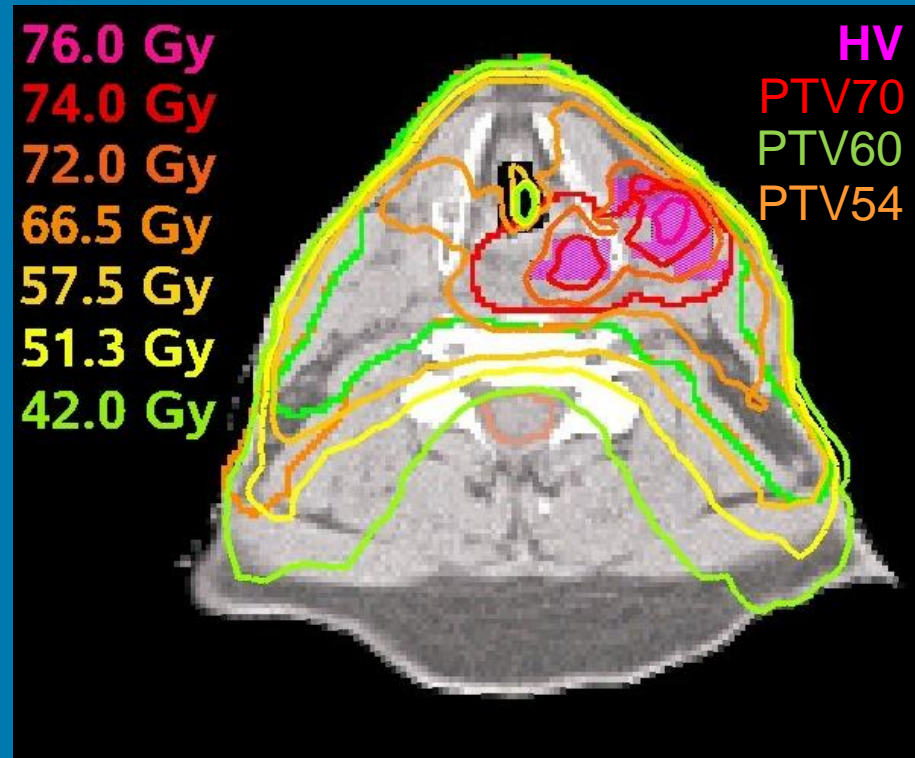


Aerts et al. R&O 2009

*confirmed by the Dresden group and PMH*

# Hypoxia Dose Painting Trail in Tübingen, Germany

- Definition of hypoxic volume (HV) according to [<sup>18</sup>F]-FMISO PET/CT
- Dose escalation of 10% (77Gy) in the HV inside the PTV70 in the experimental treatment arm
- Isotoxic approach!
- So far n=26 patients included.



IMRT plan for patient #3 in the HDP trial.

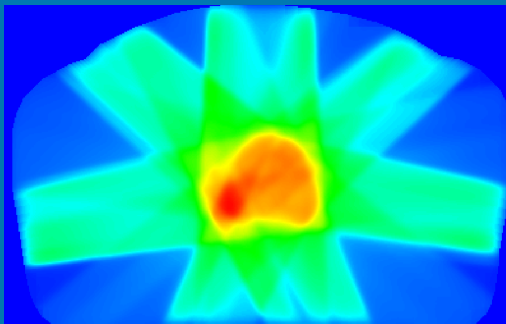
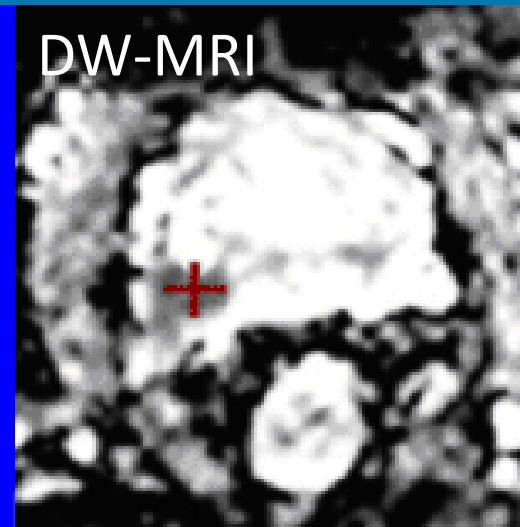
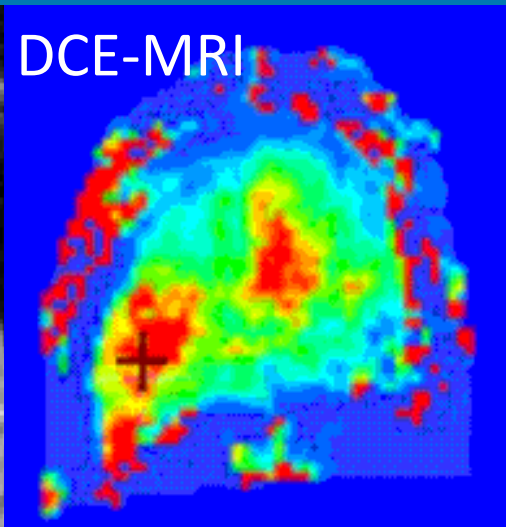
**Table 3** Summary of the ongoing phase-III trials in radiotherapy “dose painting” for Head and Neck squamous cell carcinoma.

Acronym/investigator (NCT #)	Tumor location	HPV status	Tumor stage	Molecular imaging	Phase	Study design	Completion date
Xuzhou Medical College, China (NCT# 02089204)	NPC	Not relevant	III-IVa	FDG-PET FMISO-PET	III	Standard arm IMRT + cddp + docetaxel  Experimental arm (1) IMRT + cddp + docetaxel + boost dose on FDG (2) IMRT + cddp + docetaxel + boost dose on FMISO	December 2015?
De Neve (NCT# 01341535)	Oro, Hyp, Cav, Lar	HPV–	III-IV	FDG-PET	rand. II	69.12/56 Gy in 6.5w + weekly cddp 84/40 Gy in 6w + weekly cddp	Q1 2018
Eisbruch (NCT# 02031250)	Oro, Hyp, Lar, Cav, NPC	HPV–HPV+ high risk	III-IV	DCE-MRI	rand. II	70 Gy in 7w + cddp/carbo 80 Gy in 7w+ cddp/carbo	December 2020
INTELHOPE (NCT# 0275722)	Oro, Hyp, Lar	n.a.	III-IV	FDG-PET	rand. II	66/54 Gy in 6w + concomitant cddp 73.5/63/54 Gy in 6 weeks + cddp	December 2020
Zips (NCT# 02352792)	Oro, Hyp, Cav, Lar	n.a.	III-IV	FMISO-PET	Rand. II	70 Gy in 7w + 5Fu + mitomycin C or cddp 77 Gy in 7w + 5Fu + mitomycin C or cddp	December 2022
ESCALOX (NCT # 01212354)	Oro, Hyp, Cav	n.a.	n.a.	FMISO-PET	III	70/56 Gy in 7w (SIB-IMRT) + concomitant cddp 80.5/70/56 Gy in 7w (SIB-IMRT) + concomitant cddp	January 2025

DCE, dynamic contrast-enhanced; Oro, oropharynx; Hyp, hypopharynx; Cav, oral cavity; Lar, larynx; NPC, nasopharynx; CH, chemotherapy; n.a., non available; rand., randomized.

Gregoire *et al.*, Sem in Radiat.Onc.2018

# the FLAME trial: Focal Lesion Ablative Microboost



# the FLAME trial: Focal Lesion Ablative Microboost

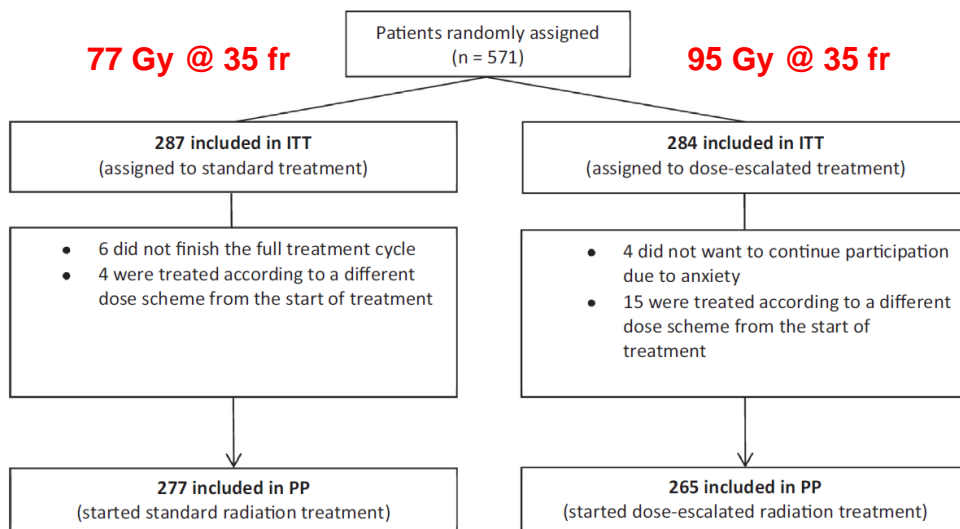
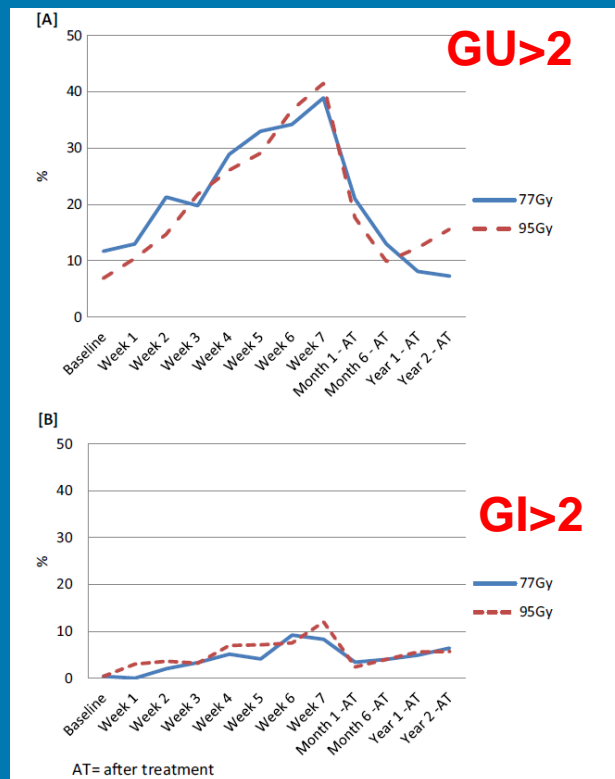


Fig. 1. Trial profile FLAME study.



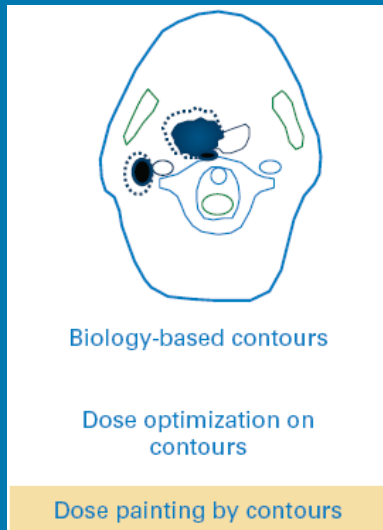
# Commercial planning systems do not support dose painting

- objectives based on DVH parameters
  - max dose
  - min dose
  - max DVH
  - EUD
  - NTCP
  - TCP



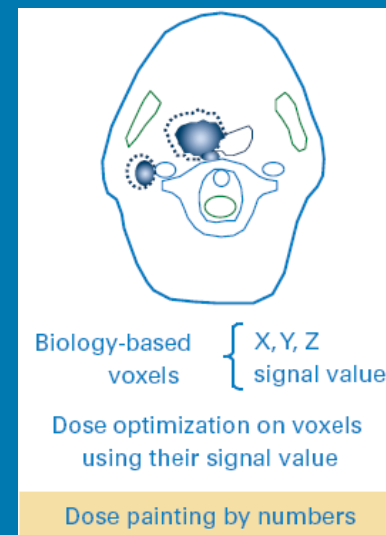
# How?

## dose painting by contours



Xing (PMB 2002), Chao (IJROBP 2001)  
Madani (IJROBP 2007), De Ruyscher (R&O 2006)

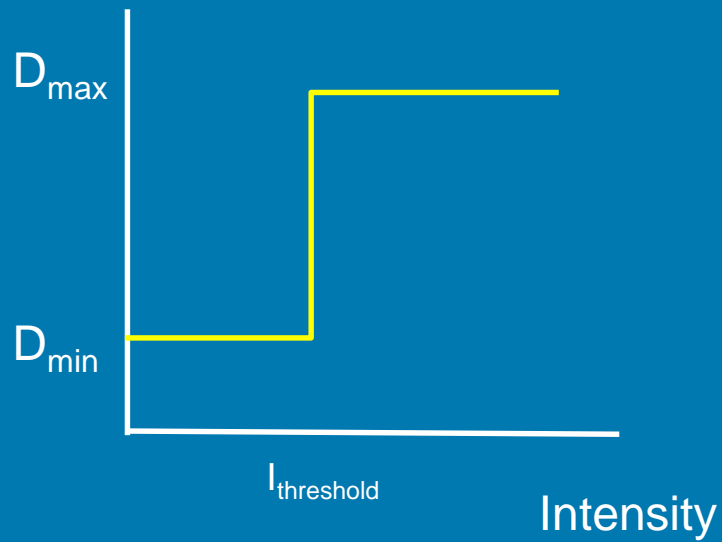
## dose painting by numbers



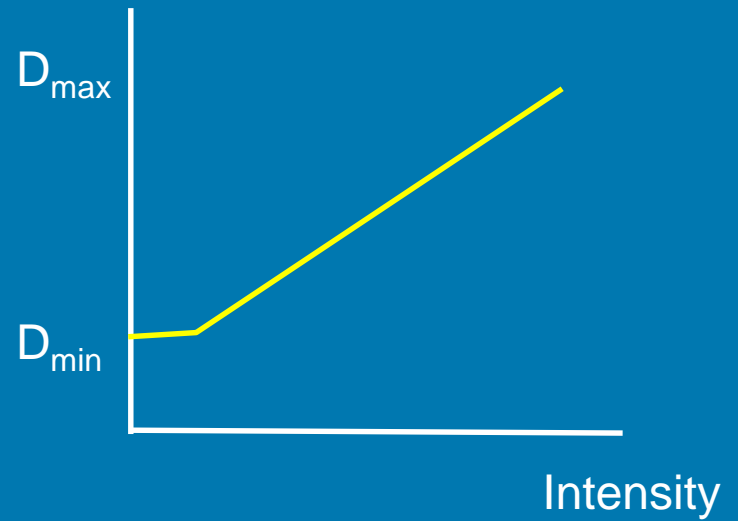
Bentzen (Lancet Oncol 2005), Thorwarth (IJROBP 2007)  
Vanderstraeten (PMB 2006)

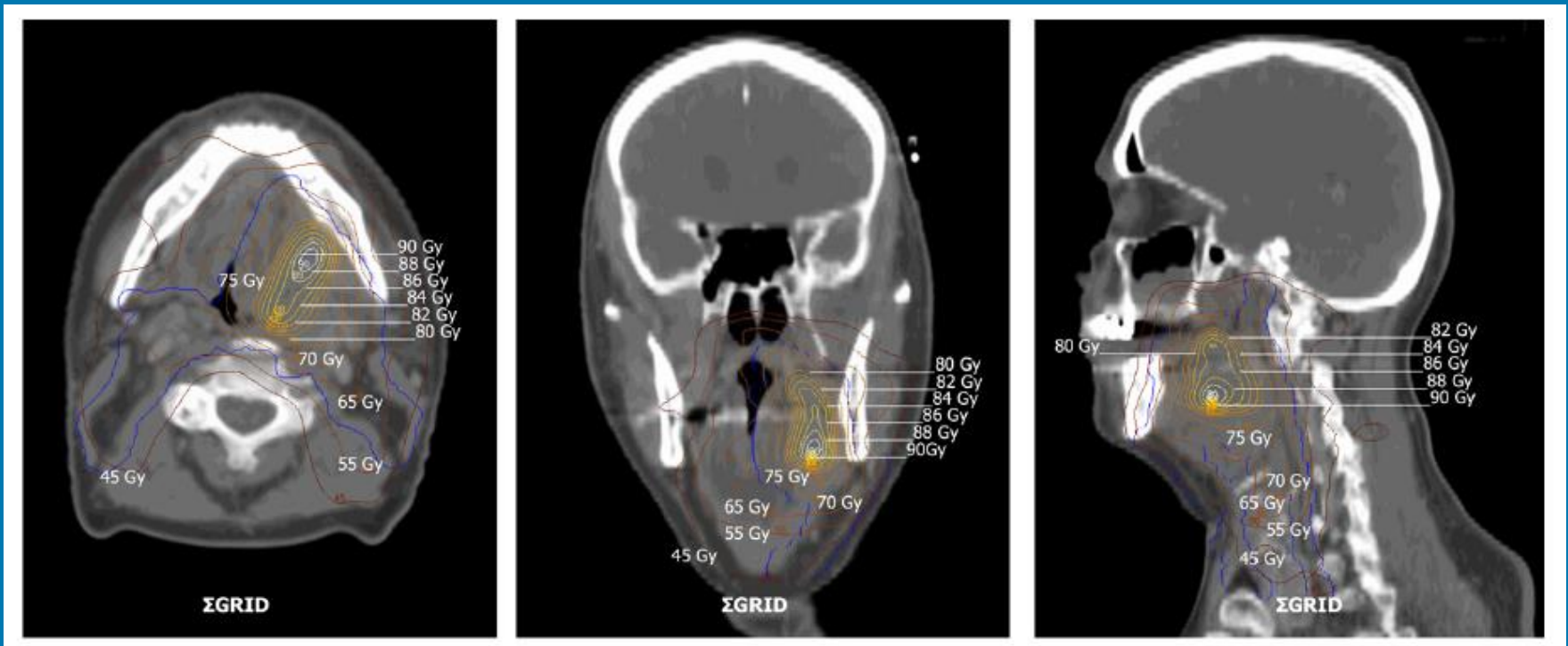
# How?

dose painting by contours



dose painting by numbers





Frederic Duprez *et al.* (IJROBP 2010)

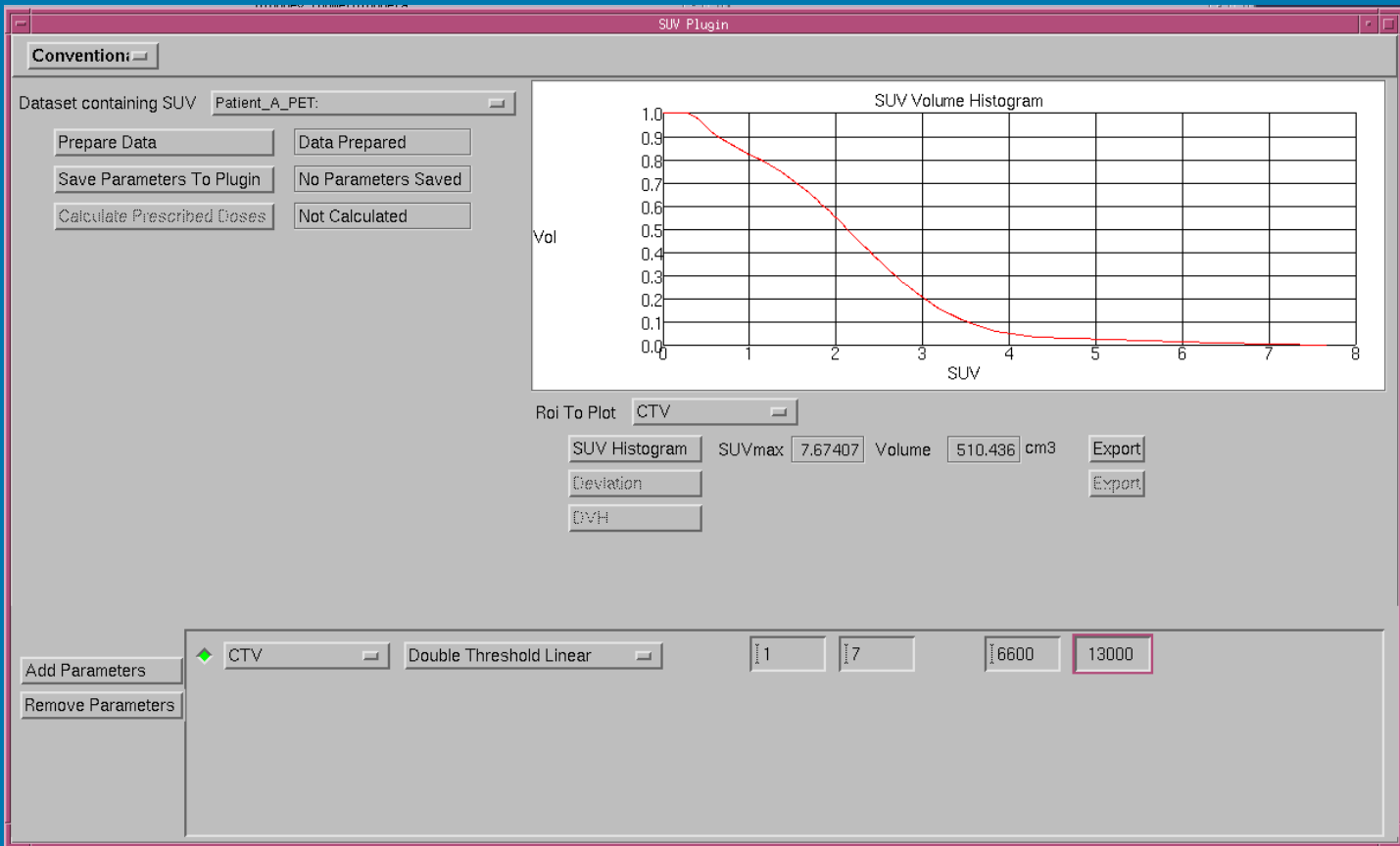
# How?

## dose painting by contours

- standard software
- allows for margin expansion
- based on thresholding
- evaluation based on DVHs

## dose painting by numbers

- research software
- no margins
- 'no' thresholding
- evaluation based on new descriptors

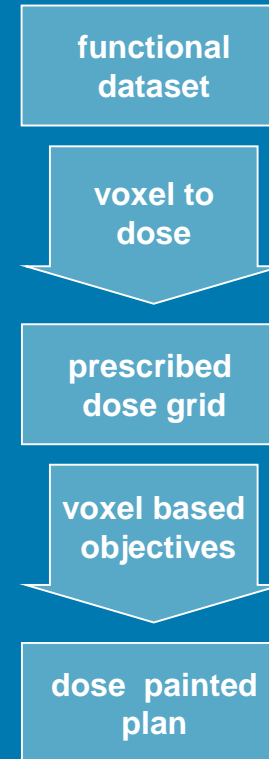


# How?

## dose painting by contours



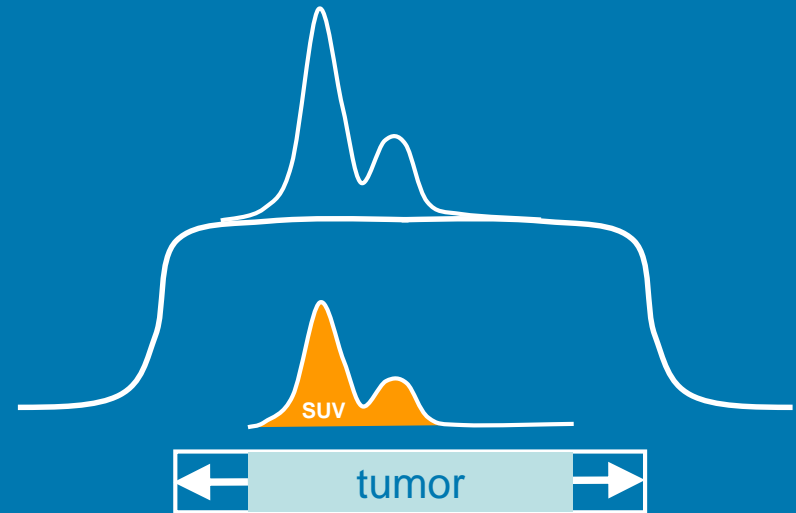
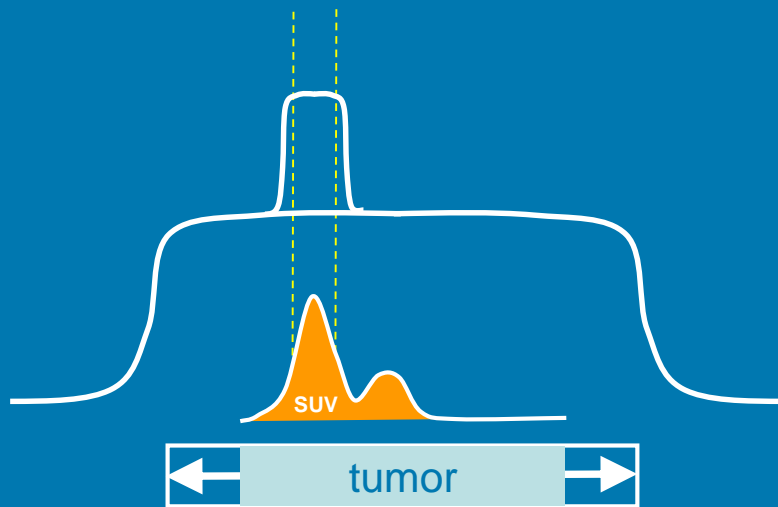
## dose painting by numbers



# How?

dose painting by contours

dose painting by numbers



# How?

## dose painting by contours

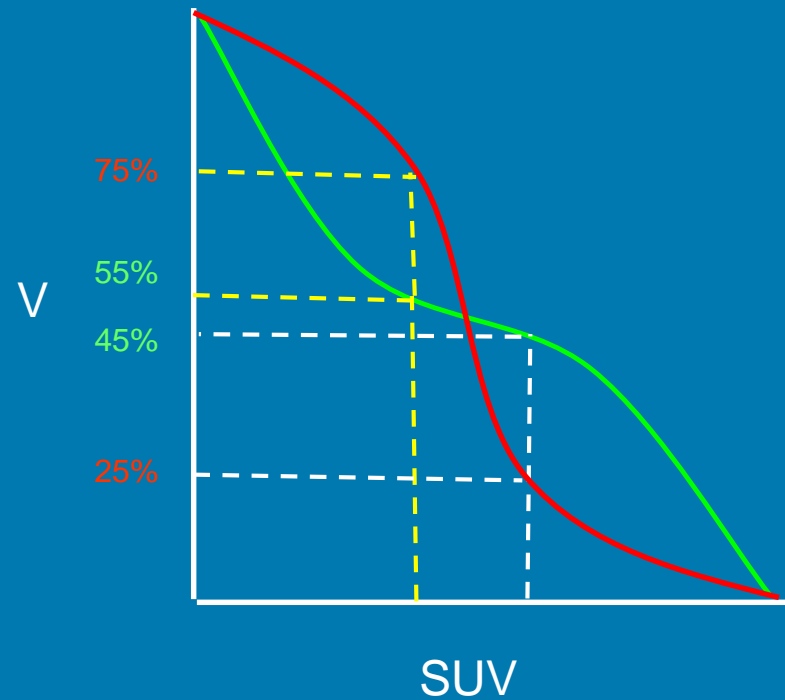
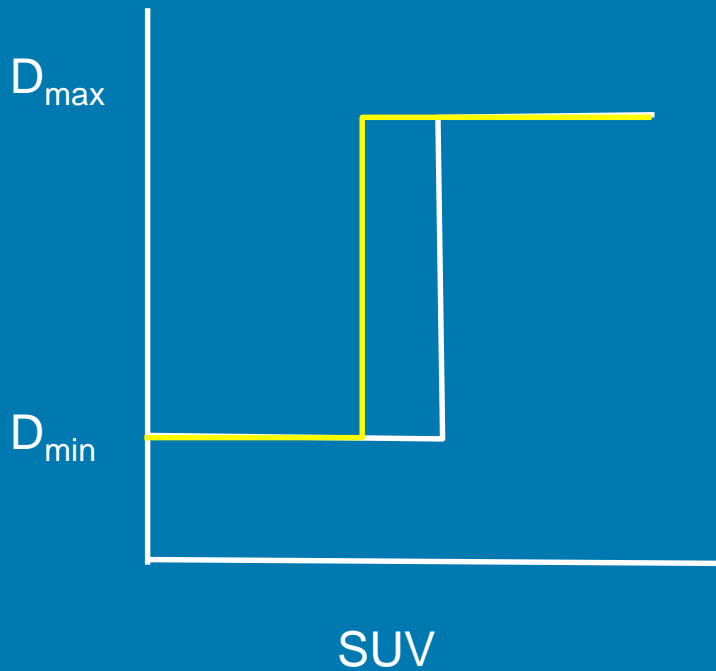
- standard software
- allows for margin expansion
- based on thresholding
- evaluation based on DVHs

## dose painting by numbers

- research software
- no margins
- 'no' thresholding
- evaluation based on new descriptors



# thresholding might be tricky



# How?

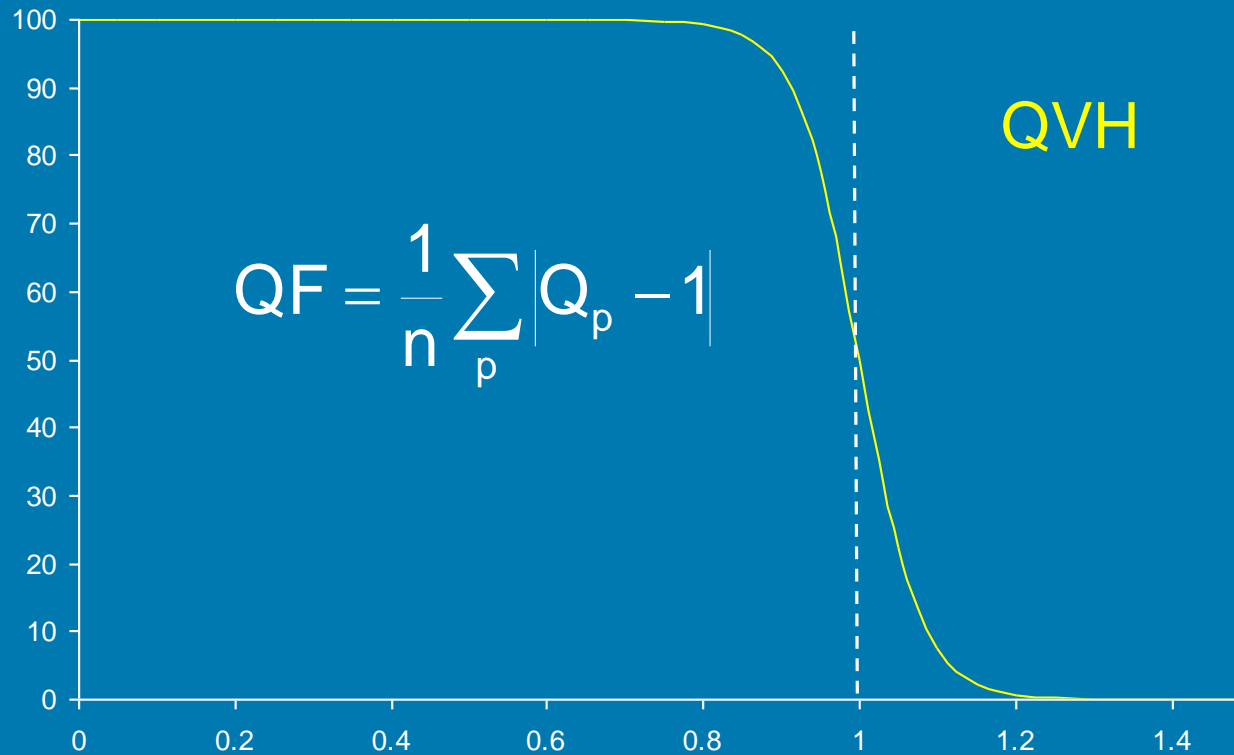
## dose painting by contours

- standard software
- allows for margin expansion
- based on thresholding
- evaluation based on DVHs

## dose painting by numbers

- research software
- no margins
- 'no' thresholding
- evaluation based on new descriptors

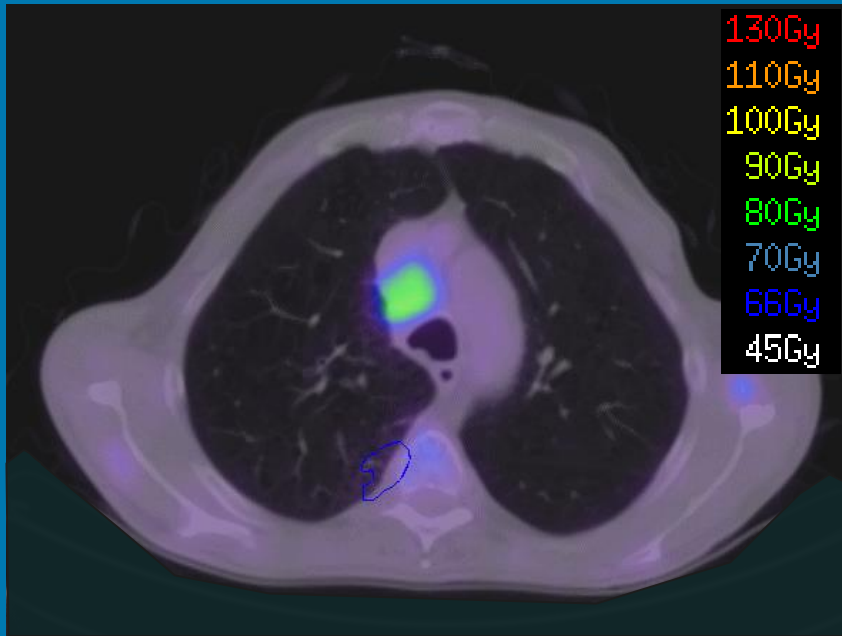
# Treatment plan evaluation



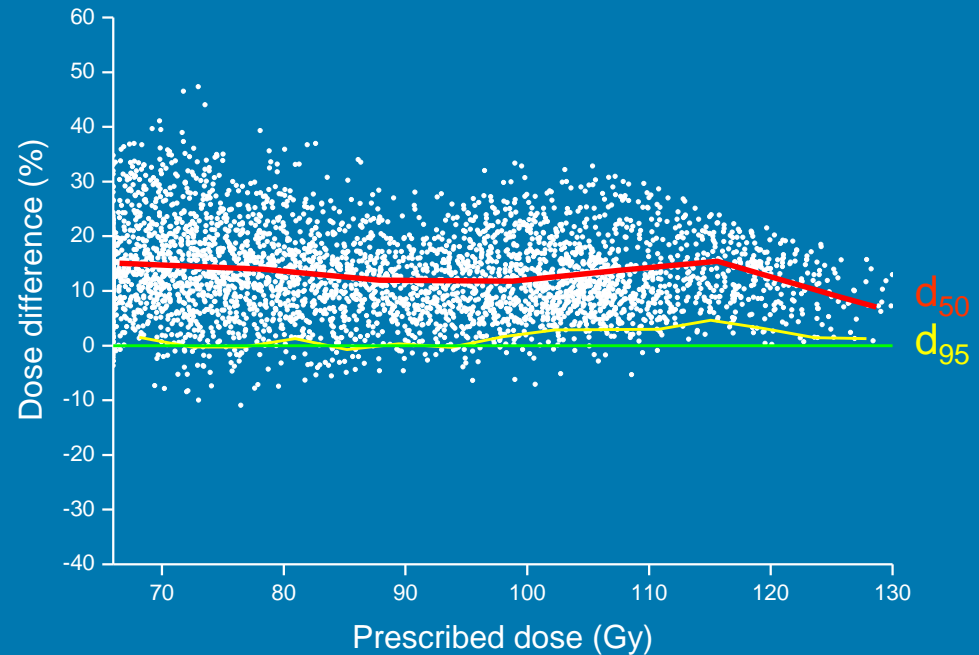
$$Q_p = \frac{D_p}{D_{\text{presc}}}$$

Barbara Vanderstraeten *et al* (PMB 2006)

# Treatment plan evaluation



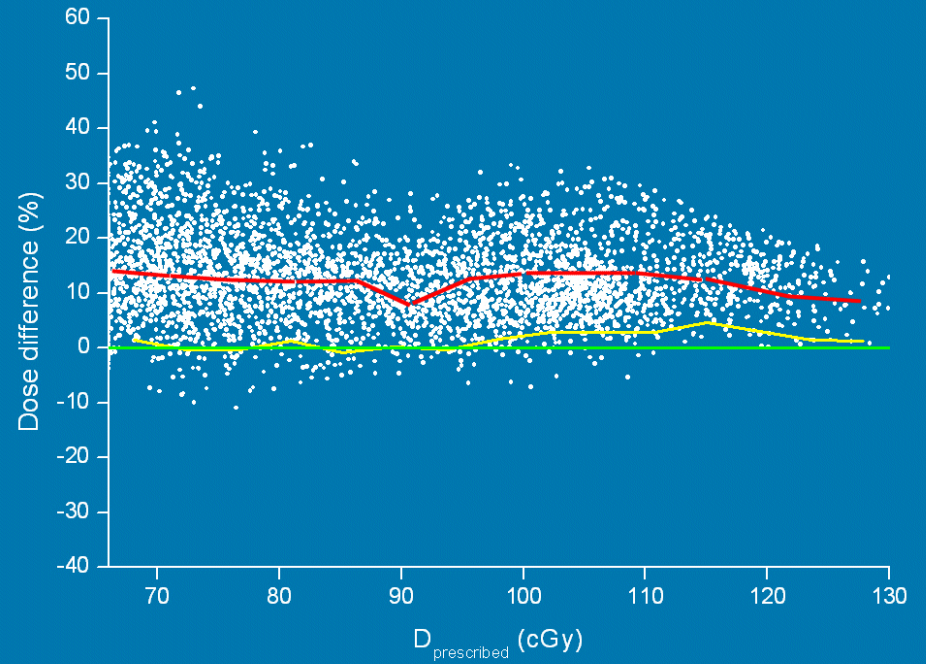
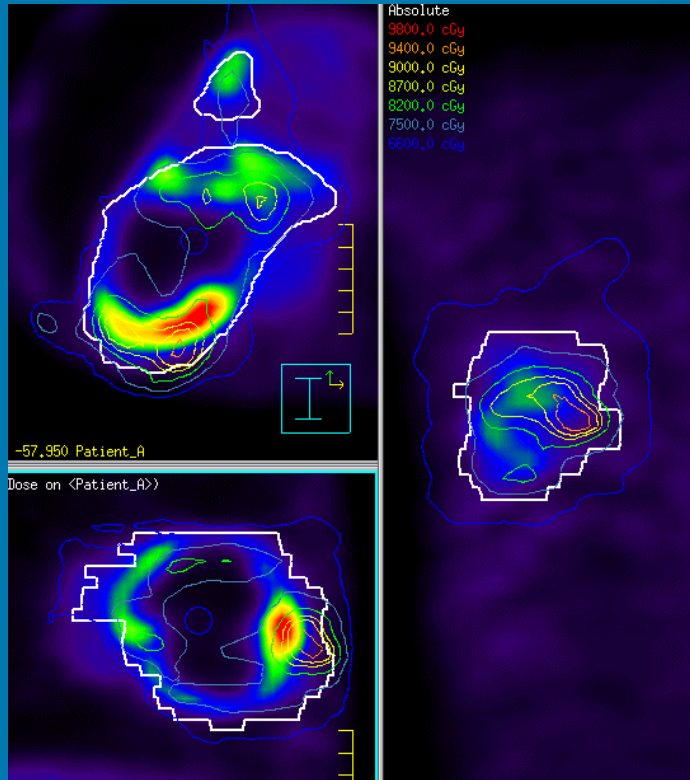
7 beams 60 segments



*biological gradients match the dose gradients reasonably well*

Zwanenburg *et al.* ICCR 2010

# Treatment plan evaluation



# Conclusions

- dose painting is feasible
  - highly conformal delivery technique
  - functional imaging (robust in time and geometry)
  - a sensible relationship between image intensity and high-risk tumor characteristics
- clinical results of large multicenter trials are to be awaited

# Rigid and deformable registration

Marcel van Herk

on behalf of the imaging group

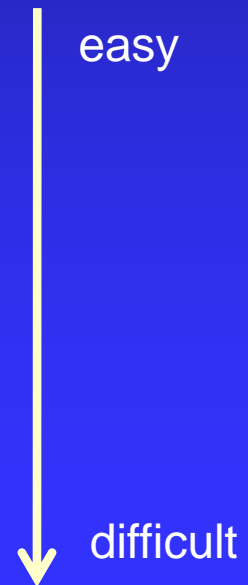
Institute of Cancer Sciences,  
University of Manchester / The Christie

With slides from:

Netherlands Cancer Institute  
Academic Medical Center

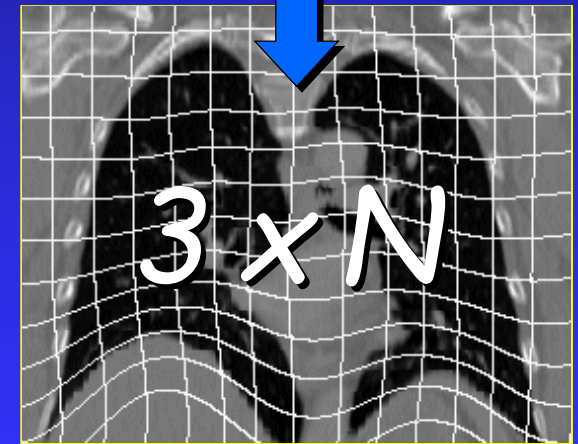
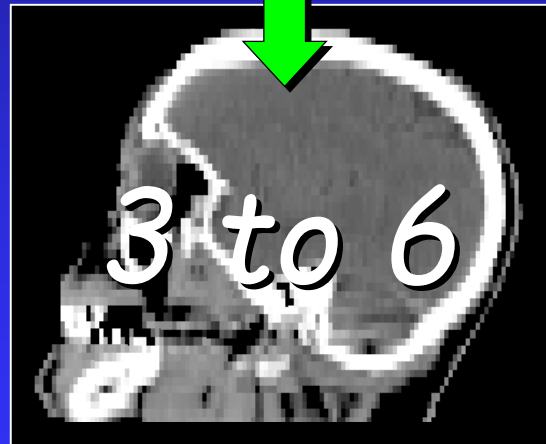
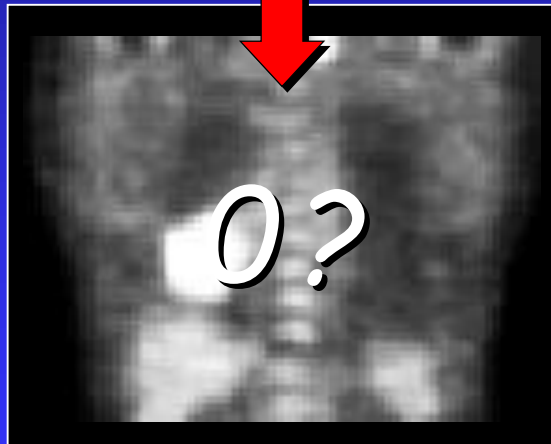
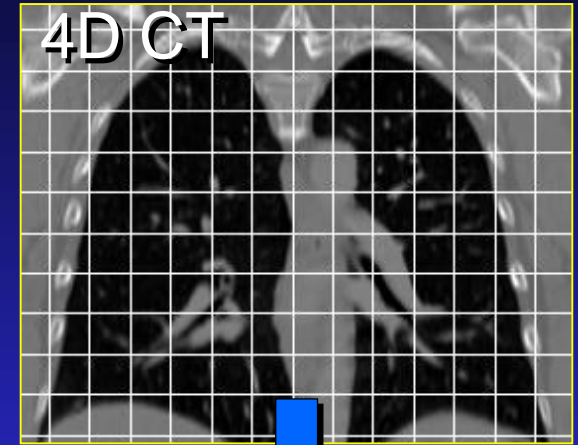
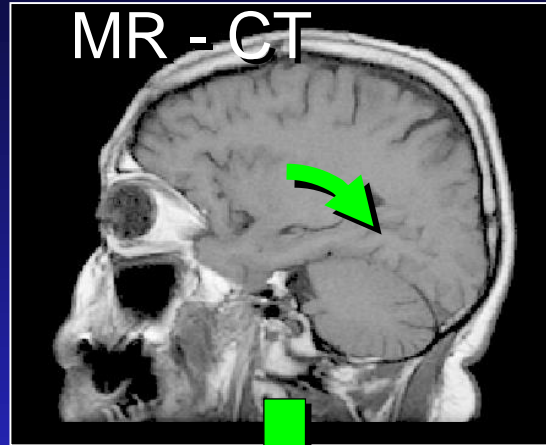
# Image registration

- Find translation....deformation to align two 2D..4D data sets (2 .. 1000000+ degrees of freedom)
- Allows combination of scans on a point by point basis
- Applications:
  - Complementary data
  - Motion tracking and compensation (imaging)
  - Image guidance
  - Adaptive radiotherapy
  - Response monitoring
  - Dose accumulation
  - Data mining





# Degrees of Freedom



None?

Few

Many

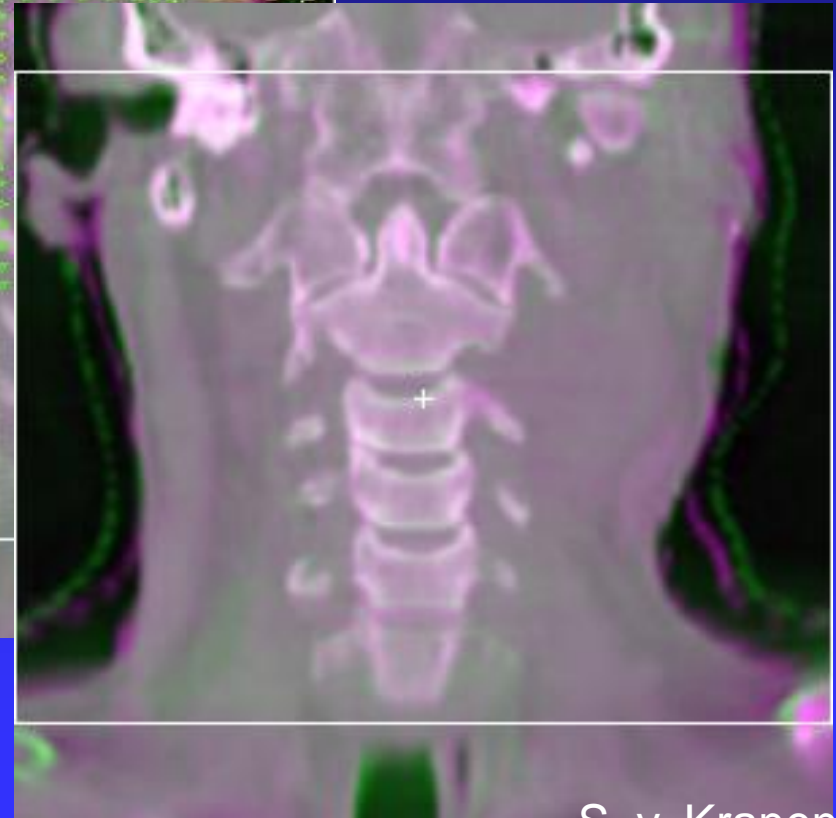
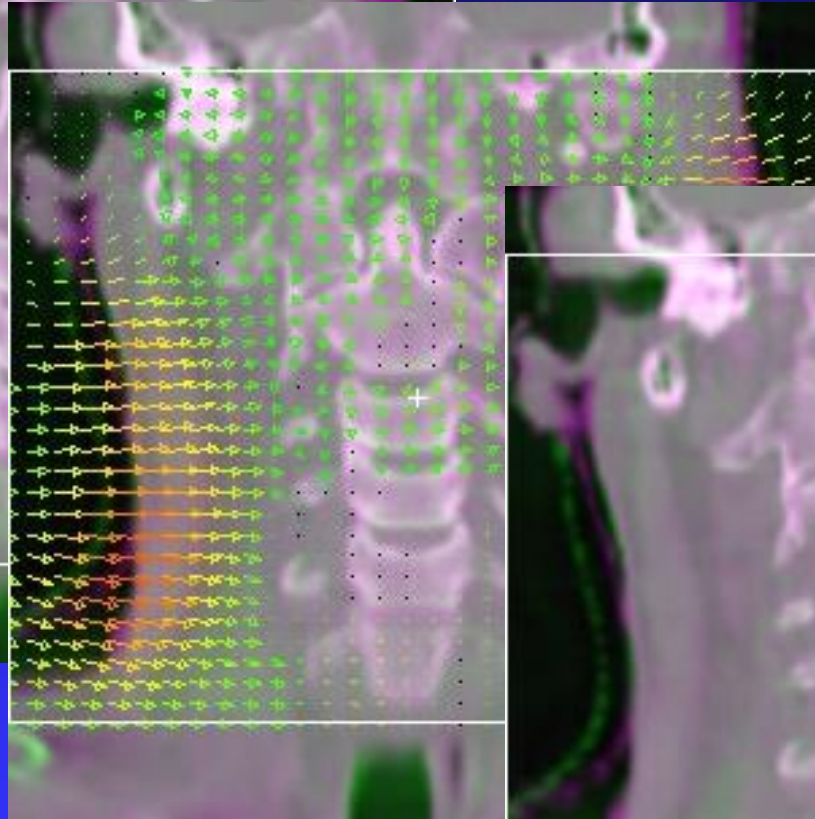
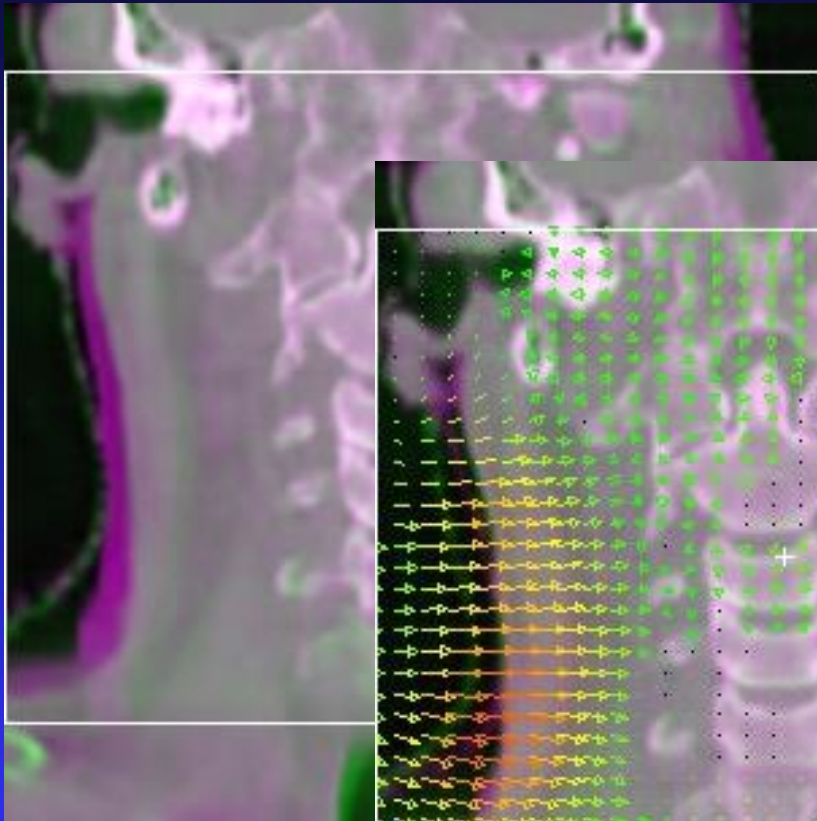
By enforcing smoothness the optimization becomes tractable

# Demo rigid registration

# Deformation vector fields

Soft tissue discrepancies

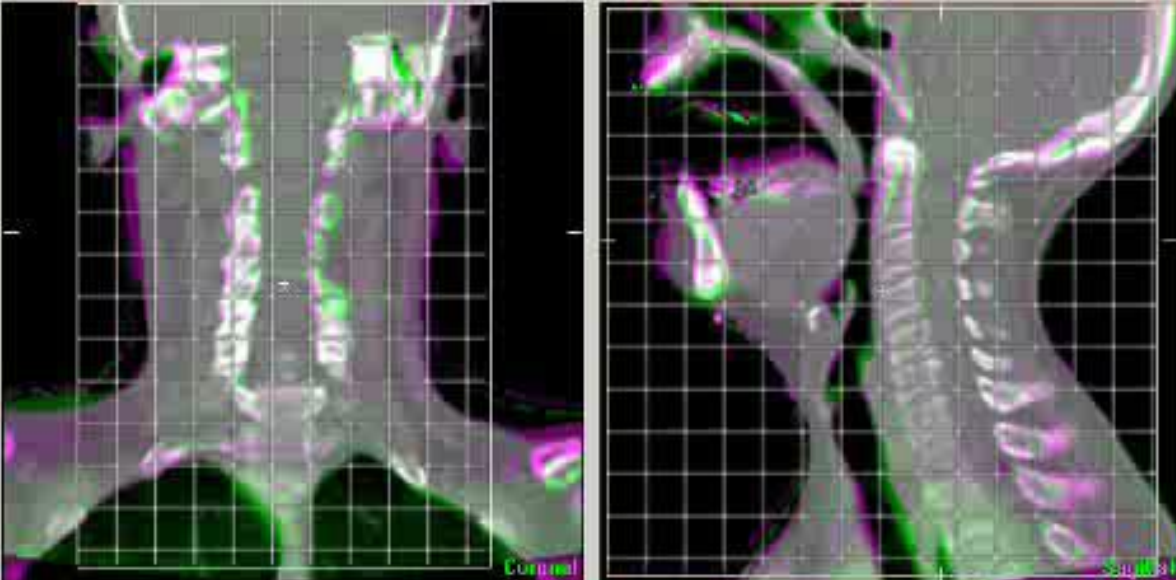
Mapped scan



Vector Displacement Field  
'Warp field'

# Deformable registration example

Original images | Info | Histogram | Delineations | Controls | deformable registration viewer | Original image viewer | WarpForm



View options...

Views:  
 original  
 lead-mapped

Disable Warpfield  
 Show WF vectors  
 Show CPF (blue)

Stop registration

B-spline options...

Pyramid Random CP

Start

Metric: [dropdown]

Exclude Zones

Optimizer Type: [dropdown]

Downsize Fixed (0.1 mm): [input: 0]

CPF spacing: [input: 4] Warpfield Spacing: [input: 1]

Warpfield: [input: 255] Sample Grid Spacing: [input: 0.1]

Explicit Properties (H)

Numerical gradient

Low Threshold: [input: 3]  
High Threshold: [input: 90]

Interpolation\_order: [input: 3]


B-spline interpolation

B-spline mode

B-spline file

LogInfo | Cost chart | Penalty chart | Penalty visualizations | Histogram

Cost function evaluation



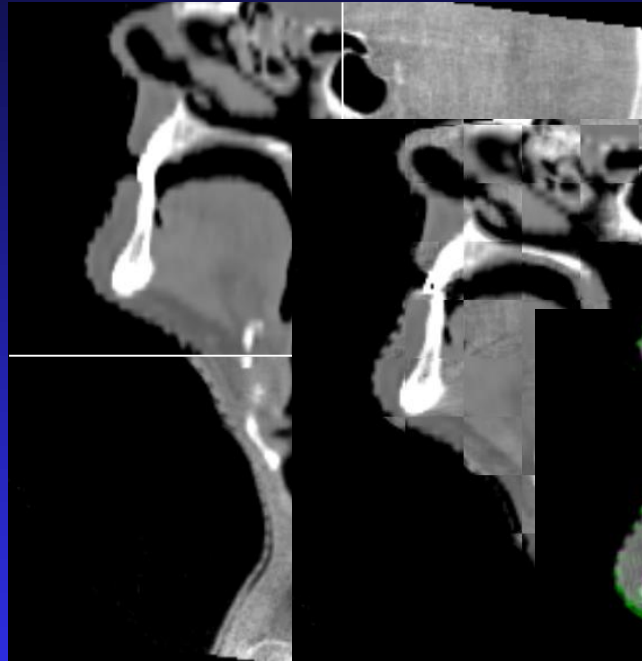
Iteration	Cost Function
0	-0.92
20	-0.94
40	-0.96
60	-0.97
80	-0.98
100	-0.985
120	-0.99
140	-0.992
160	-0.994
180	-0.996

Reset chart Export c

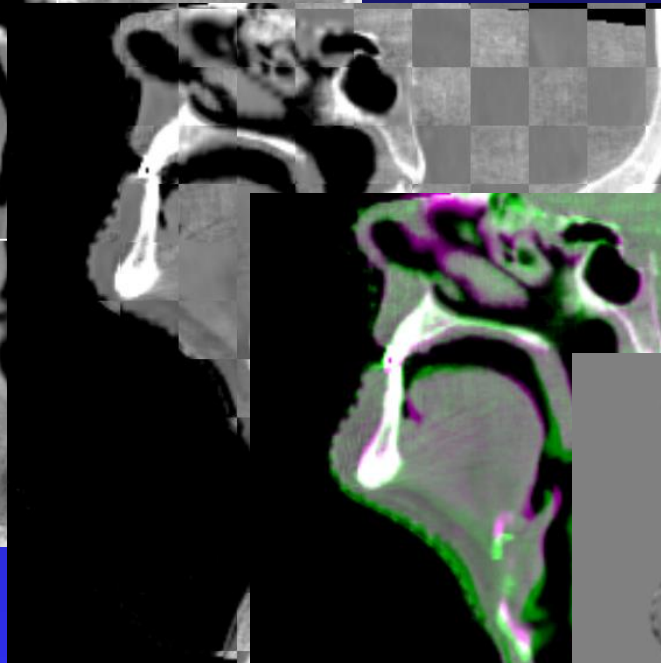
Cost function: -0.910

S. v. Kranen, NKI

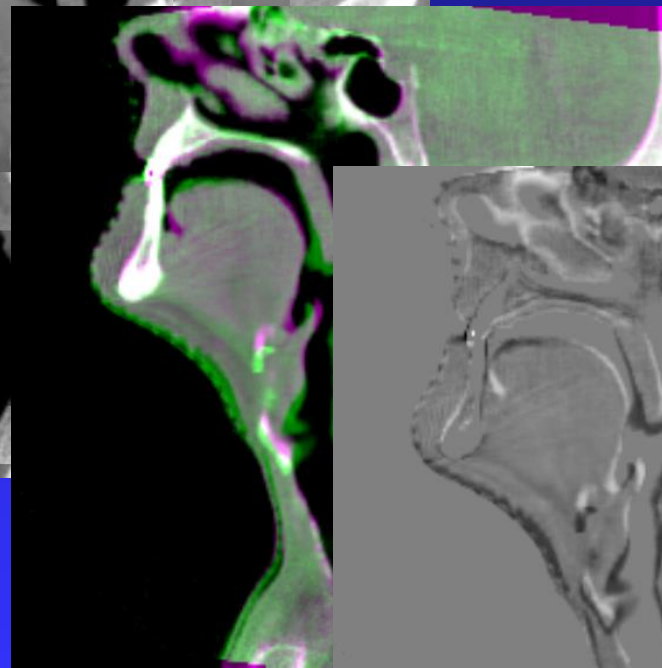
# Visual verification



Checker

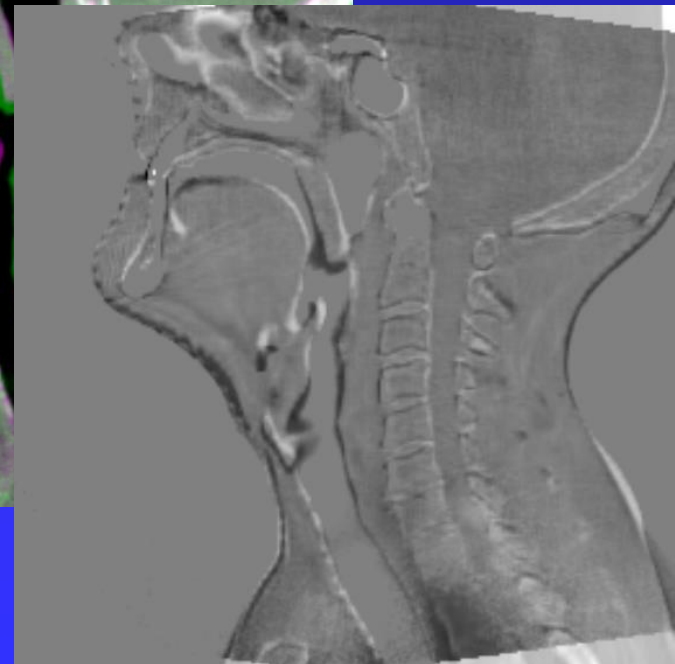


Subtract

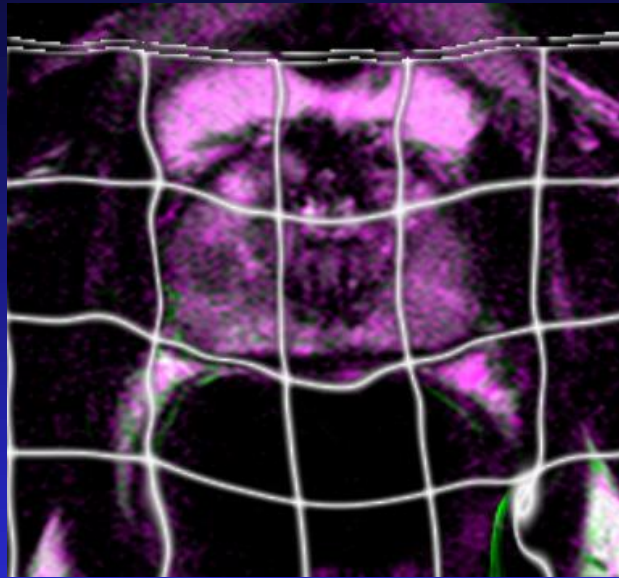
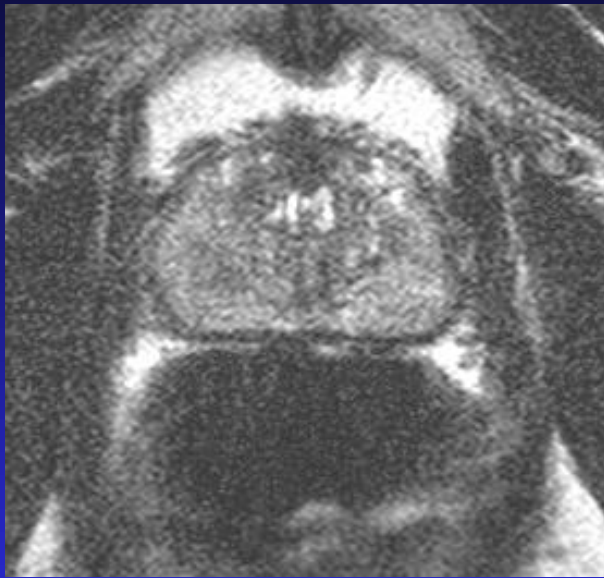


sliding window

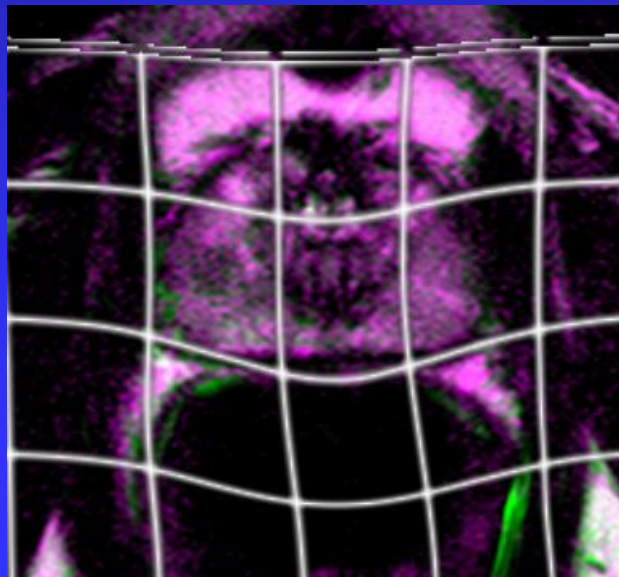
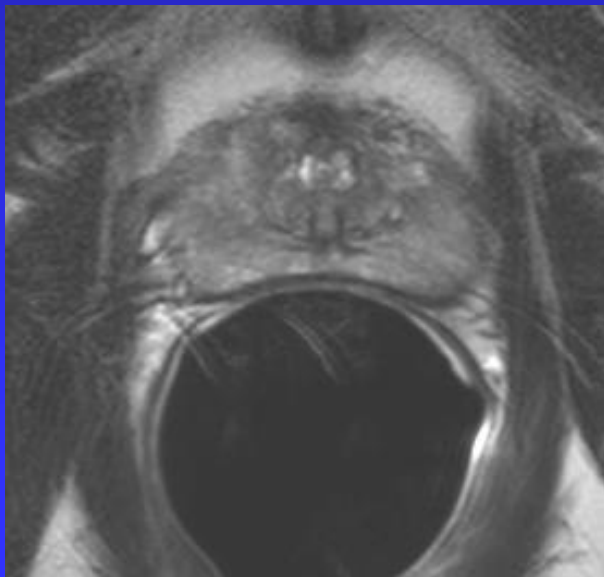
Overlay



# Prostate MRI w/wo Endo Rectal Coil



Large effect of parameters on deformable registration

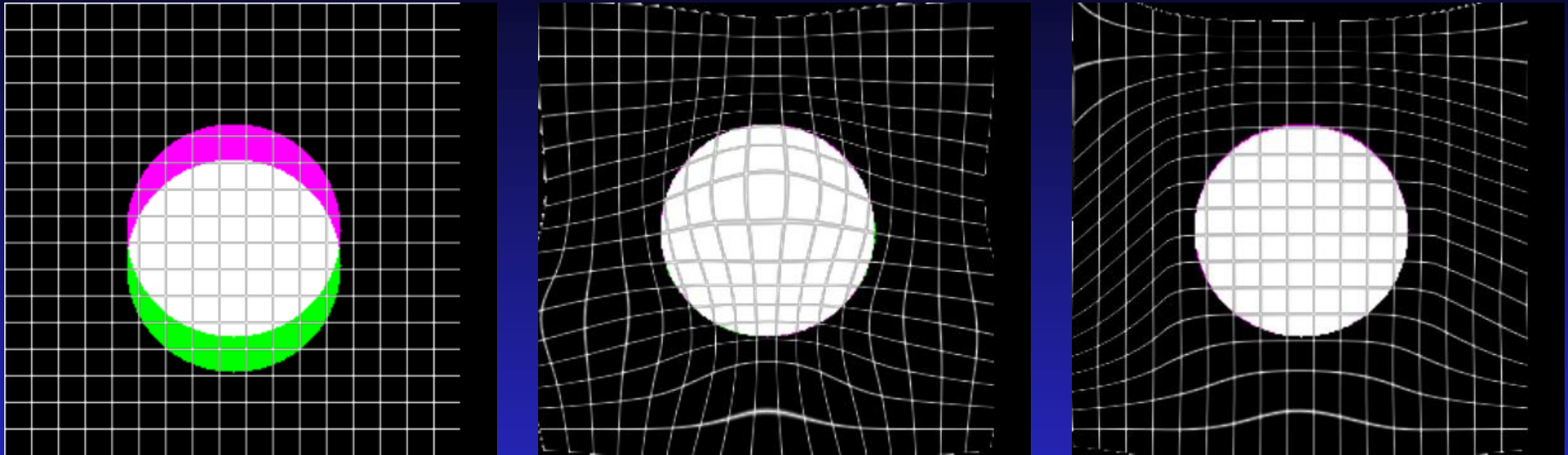


Both solutions are visually correct

Which answer is right?

S van Kranen,  
C Kamerling, NKI

# Deformable registration classes



Different DVF provide same visual registration result

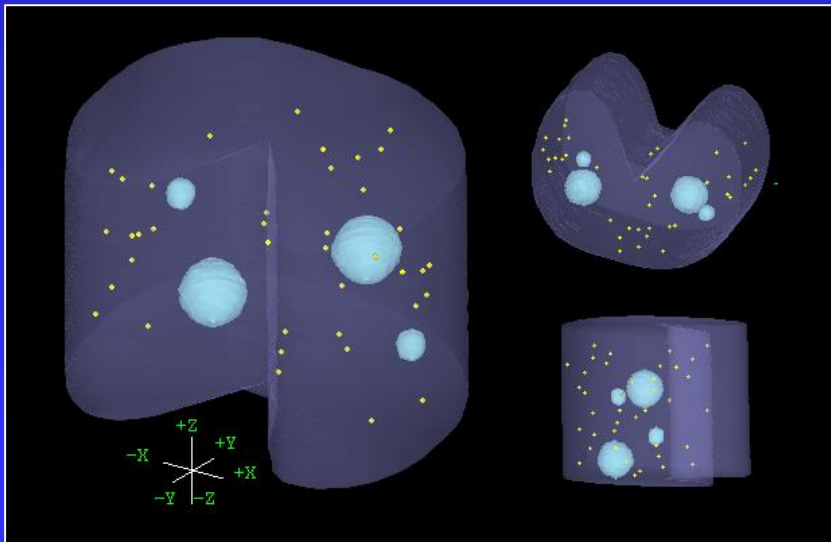
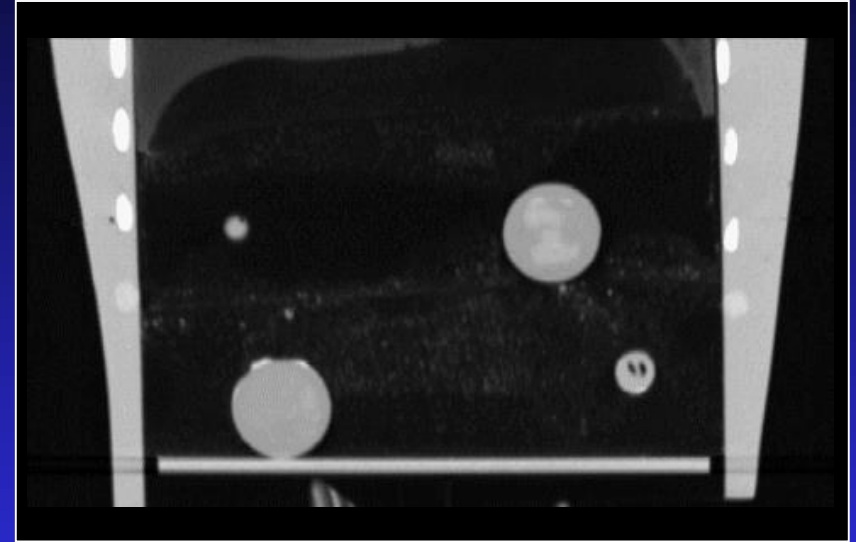
- Descriptive: it must look good
  - e.g. contour propagation
- Quantitative: it must be an anatomically correct, also inside and at surface of homogeneous organ
  - e.g. dose accumulation

# QA methods

- The algorithm works technically
  - Use phantom or simulated data
- The program works in general
  - Best: use patients with implanted markers (data scarce)
  - Second: compare with human observers
- The program works for this patient
  - Visual verification
  - Consistency, plausibility

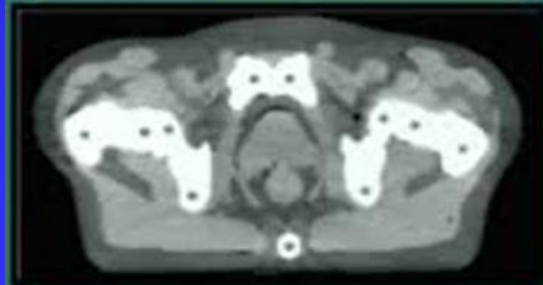


# 4D Phantoms



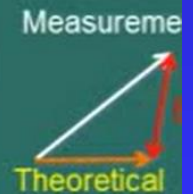
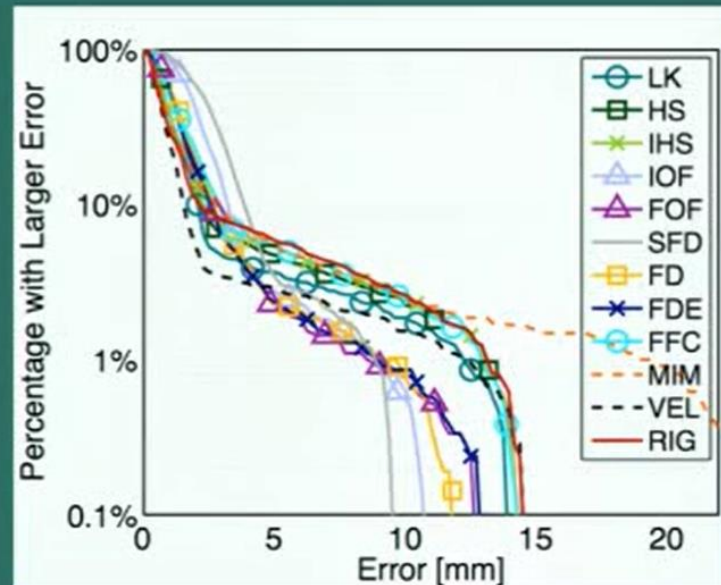
		RL <sup>a</sup> (cm)	AP <sup>b</sup> (cm)	SI <sup>c</sup> (cm)	3-D distance (cm)
Affine	Average	-0.01	0.00	0.05	0.38
	Stdev <sup>d</sup>	0.04	0.04	0.44	0.22
	Max <sup>e</sup>	-0.12	-0.13	0.90	0.90
B-splines	Average	-0.02	-0.01	0.05	0.18
	Stdev <sup>d</sup>	0.08	0.06	0.22	0.16
	Max <sup>e</sup>	-0.42	0.19	0.67	0.81
Thin-plate splines	Average	-0.07	-0.15	-0.14	0.37
	Stdev <sup>d</sup>	0.12	0.19	0.28	0.19
	Max <sup>e</sup>	-0.56	-0.58	-0.74	0.75

# Registration of anatomically realistic phantom in pelvis

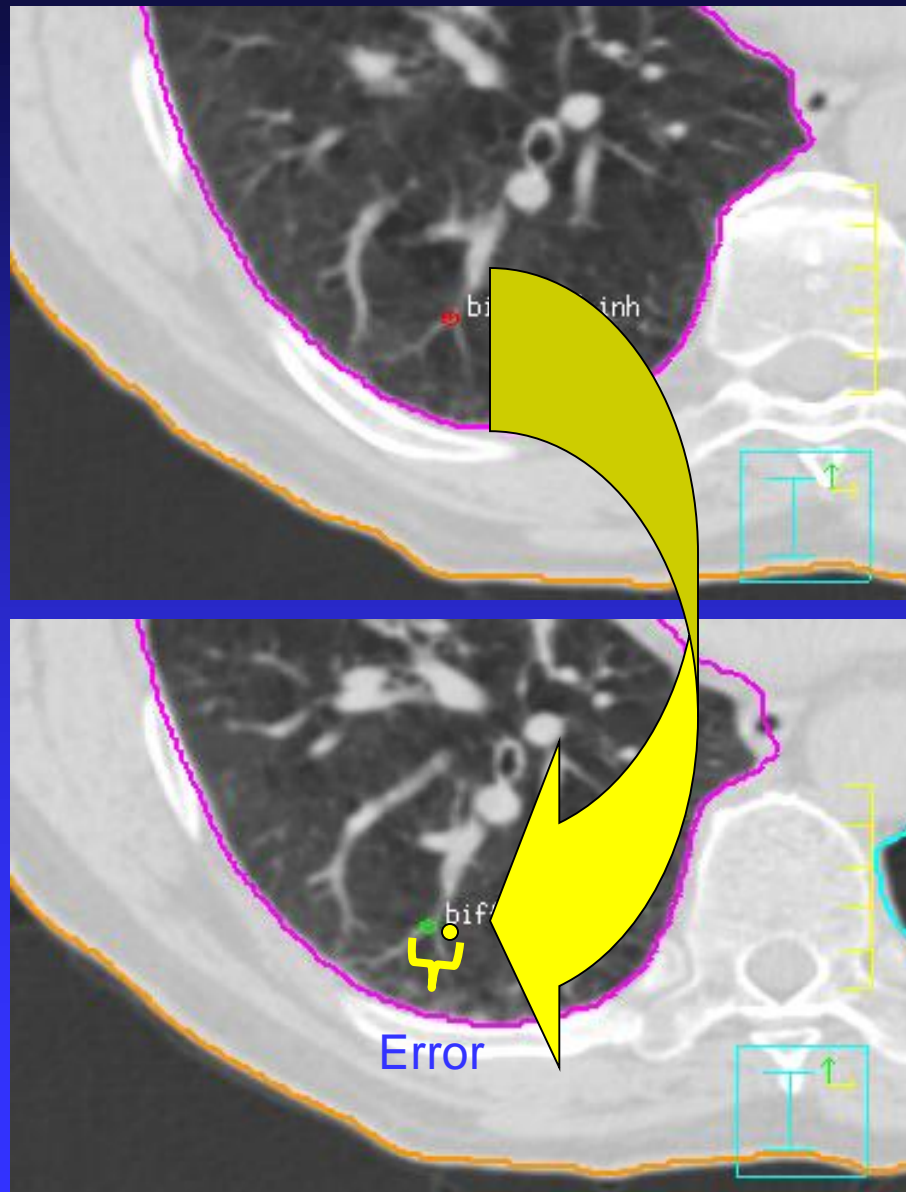


## DIR Error Distribution

The fraction of markers with a distance to agreement larger than a given error as a function of error.

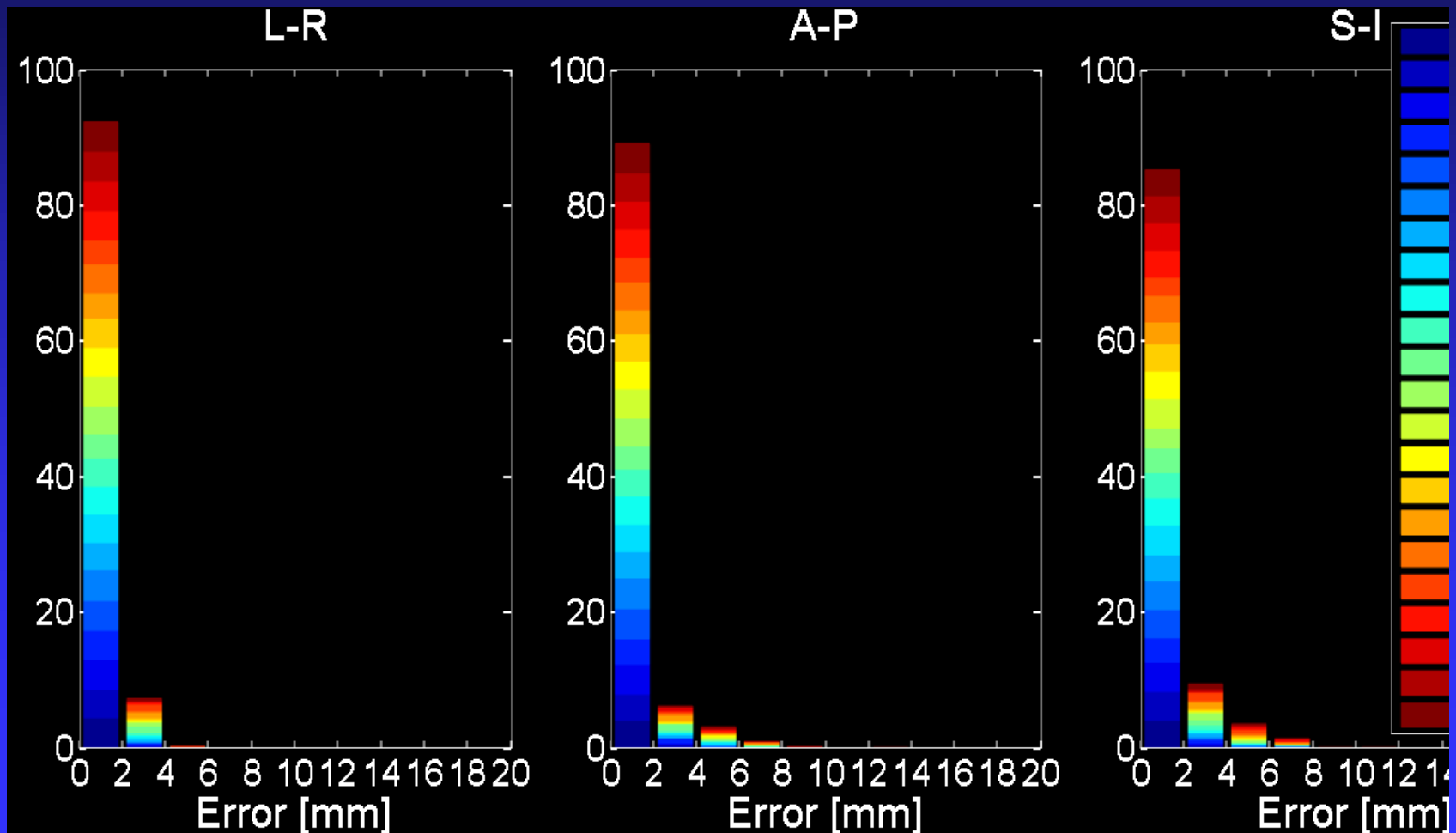


# Natural Fiducials



# Results: Lung 4D CT (22)

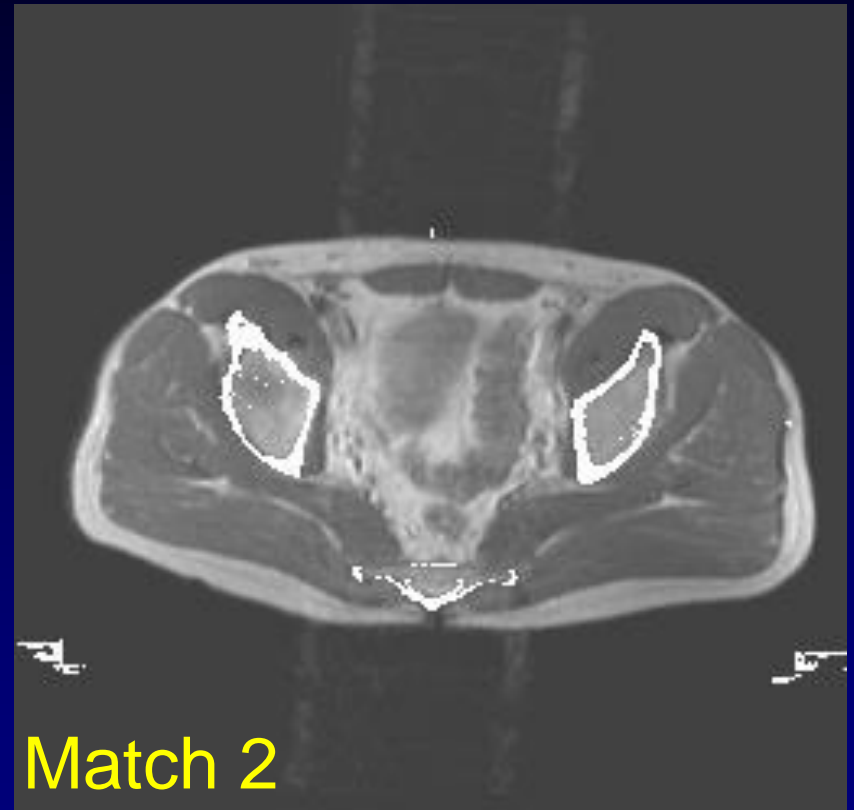
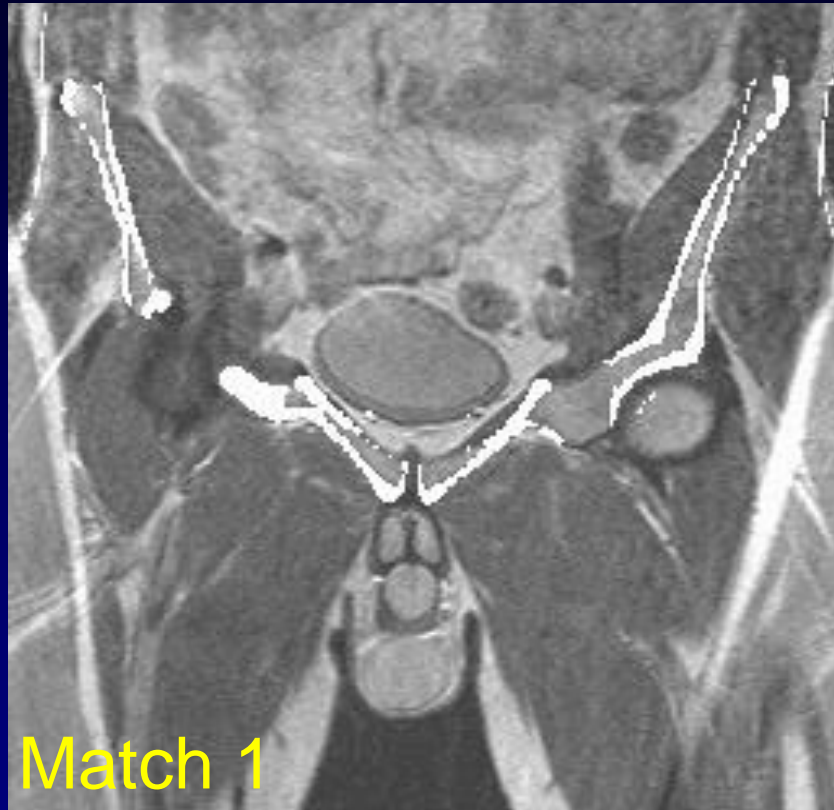
## % Bifurcation Points



# Lung deformable registration easy ?



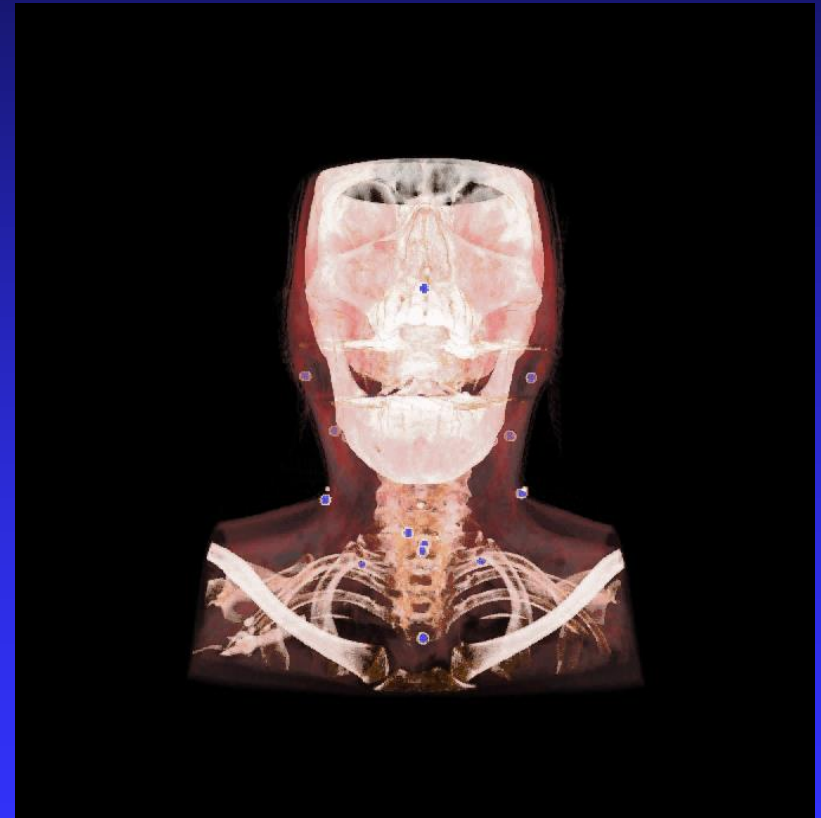
# Consistency check as QA tool



Deviation	$\Delta x$ (L-R)	$\Delta y$ (A-P)	$\Delta z$ (C-C)	$\Delta rx$ (L-R)	$\Delta ry$ (A-P)	$\Delta rz$ (C-C)
between match 1 and 2	-0.5 mm	2.0 mm	-1.6 mm	-0.9 dg	-0.8 dg	-0.7 dg

# Landmark QA, analysis of variance

- Landmark validation
- 7 patients, 7 - 8 fractions
- 23 landmarks per CBCT, two human observers
- B-spline deformable registration for landmark propagation
- Use of ANOVA method to correct for observer variation



# Analysis of variance

Observer places  $O_1$ , Observer places  $O_2$   
Computer places  $O_3$

Measure distances for many scans and landmarks

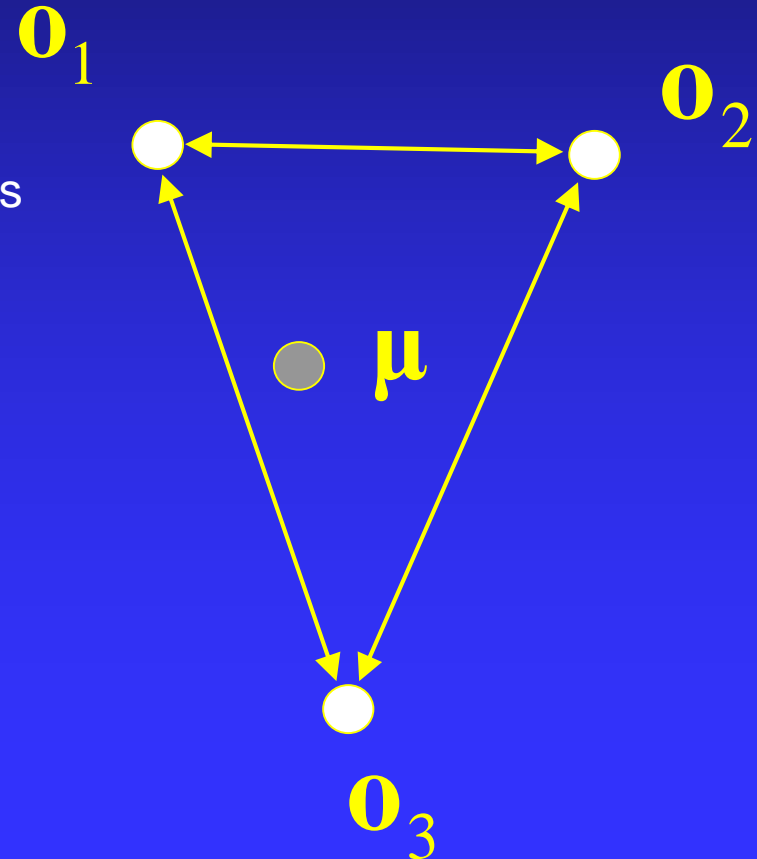
Compute standard deviations of differences

Solve for standard deviation of individual observers

$$\sigma_1^2 = (\sigma_{2-1}^2 + \sigma_{3-1}^2 - \sigma_{3-2}^2) / 2$$

$$\sigma_2^2 = (\sigma_{3-2}^2 + \sigma_{2-1}^2 - \sigma_{3-1}^2) / 2$$

$$\sigma_3^2 = (\sigma_{3-1}^2 + \sigma_{3-2}^2 - \sigma_{2-1}^2) / 2$$

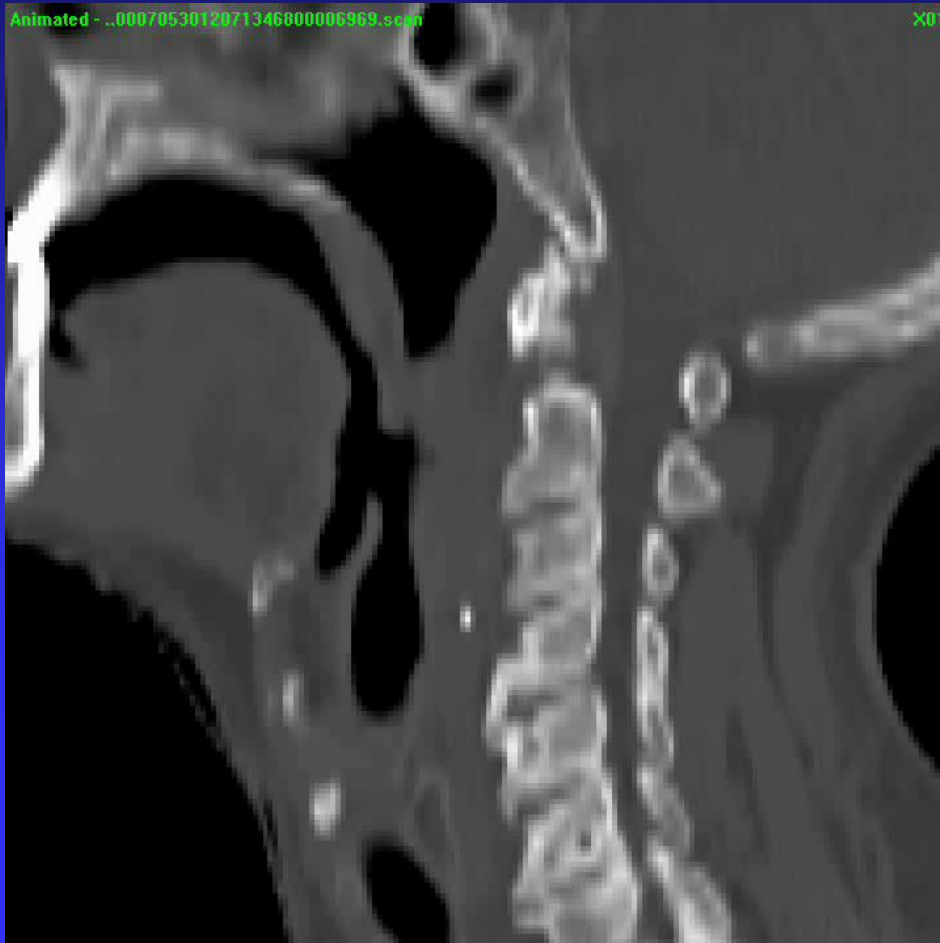




# Results: head and neck CT-CBCT

Method	Accuracy (1SD mm)		
	$SD_{LR}$	$SD_{CC}$	$SD_{AP}$
Rigid registration	1.8	2.0	1.7
B-spline <i>No penalties</i>	1.4	1.5	1.1
B-spline <i>+ penalties</i>	0.9	1.0	0.9

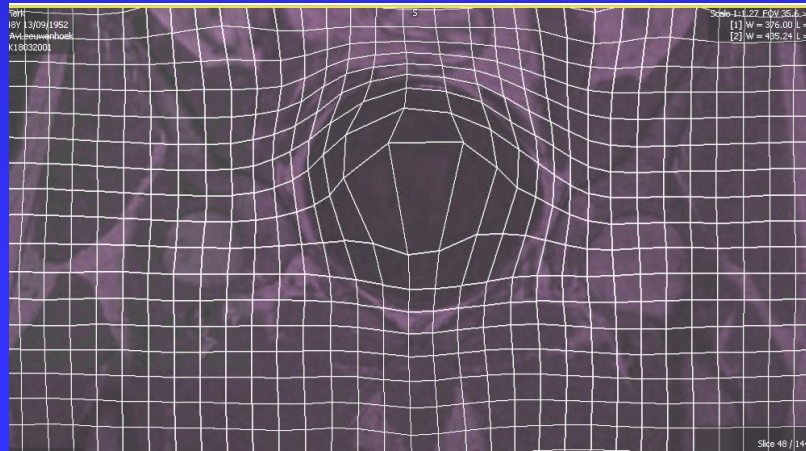
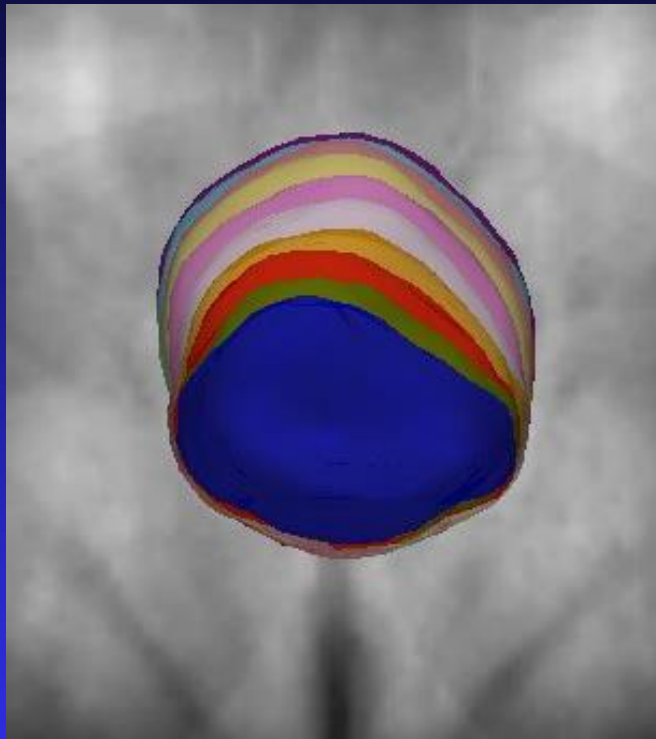
# Can you see all anatomical changes ?



Deformable registration will not pick up motion parallel to interfaces

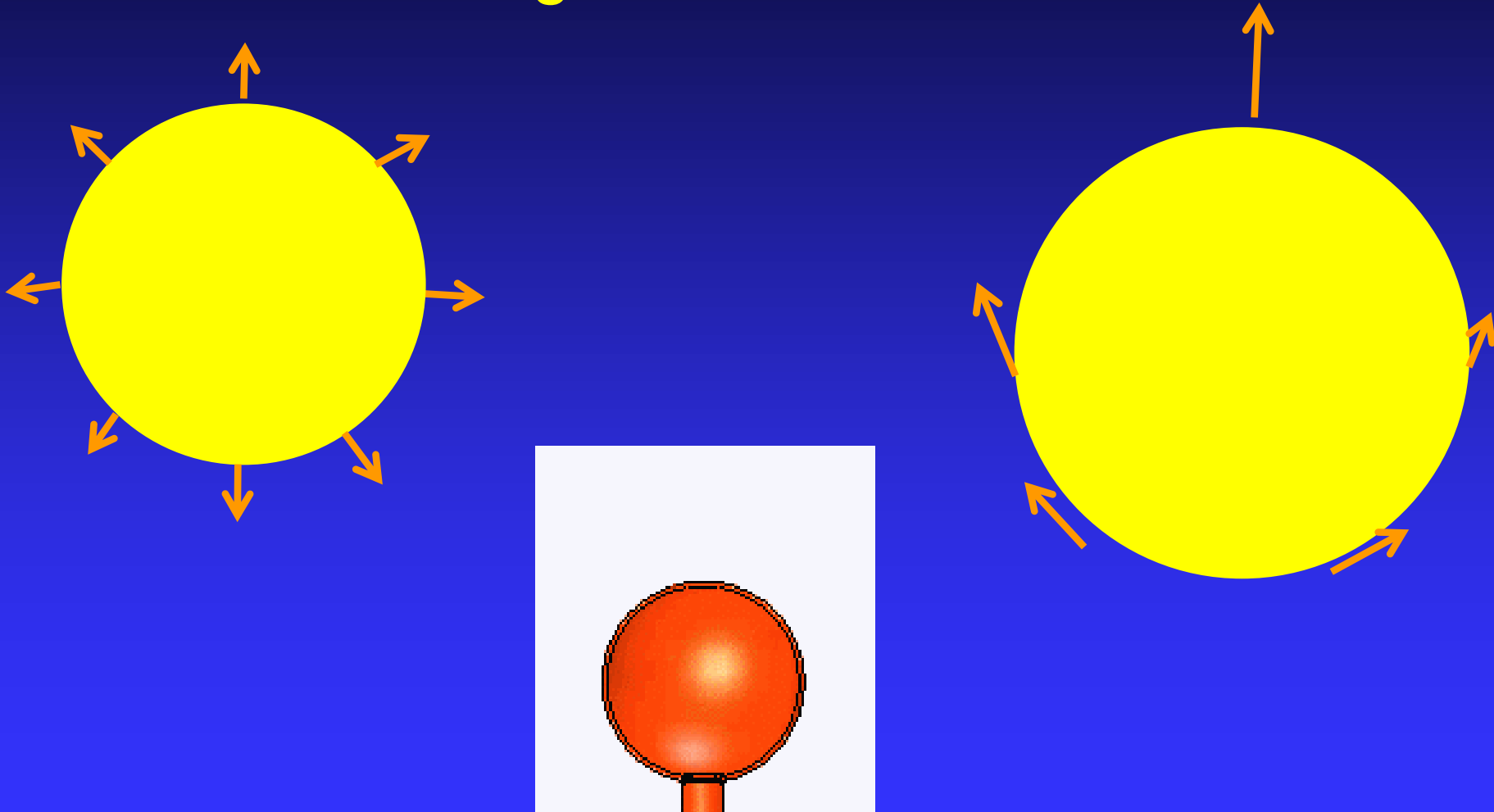
O Hamming, NKI

# Easy deformable registration of the bladder?



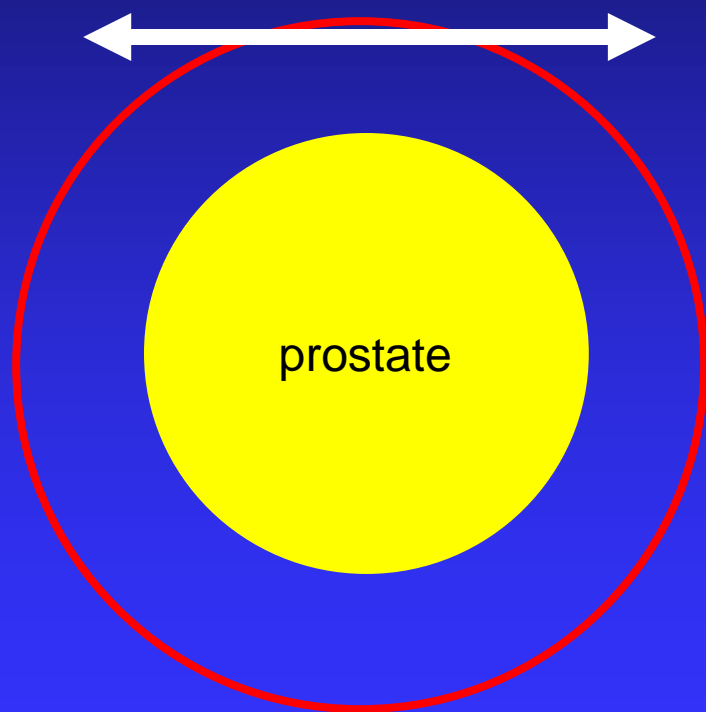
Very high contrast but does software 'understand' the anatomy ?

The bladder is a balloon in a box with stuff  
– it expands isotropic constrained by the  
organs around it

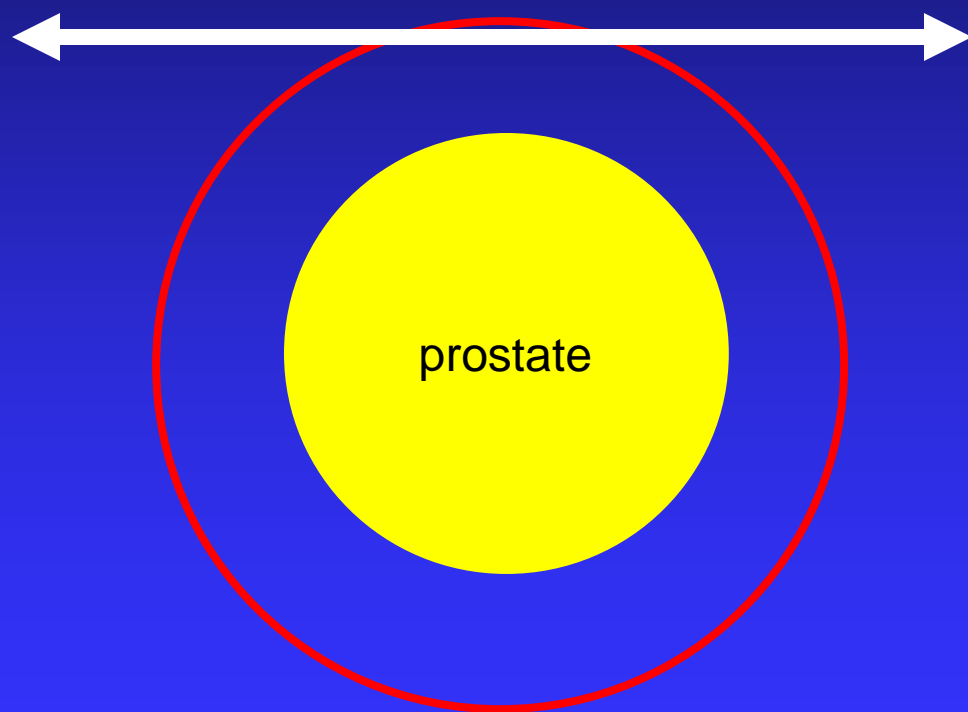


You get the contours right, but not the tissue cells → danger for dose accumulation

# Effect of bladder stretching on dose to the bladder neck in prostate RT



50% get high dose



25% get high dose

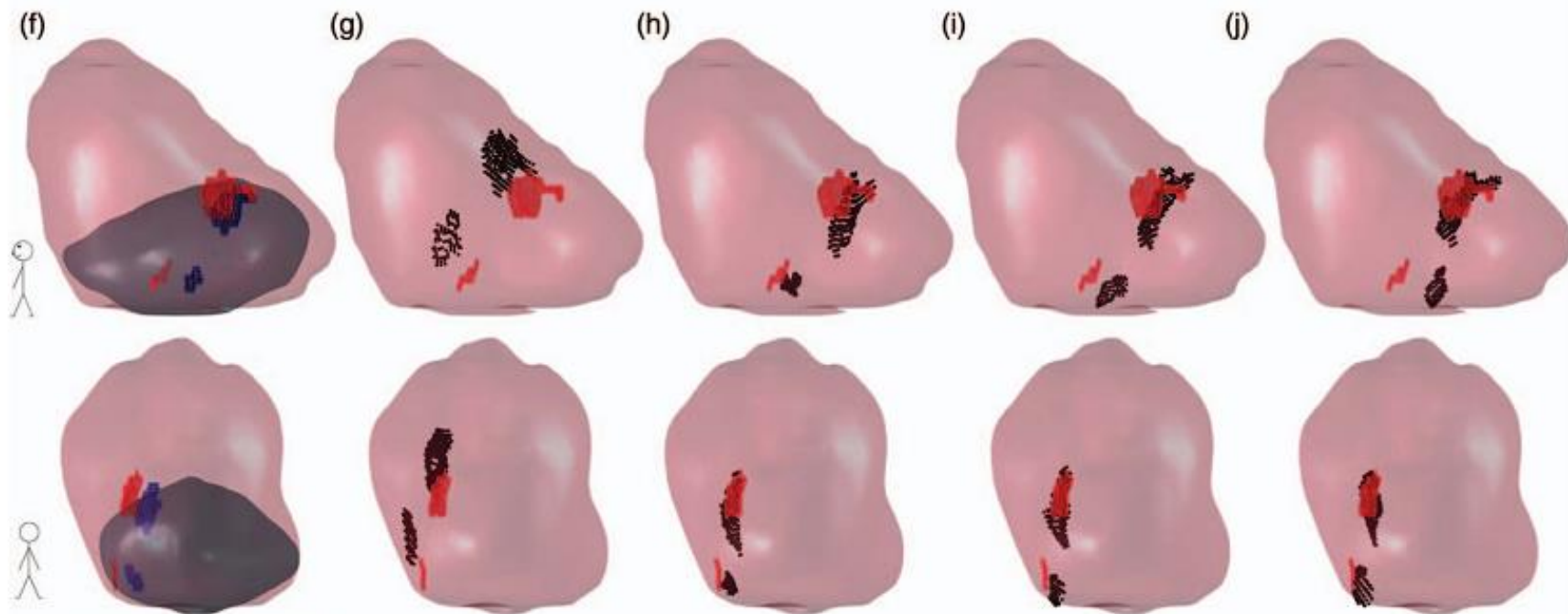
# Landmark validation of contour-based bladder registration

Control over structure-specific flexibility improves anatomical accuracy for point-based deformable registration in bladder cancer radiotherapy

S. Wognum, L. Bondar, A. G. Zolnay, X. Chai, M. C. C. M. Hulshof, M. S. Hoogeman, and A. Bel

Citation: [Medical Physics](#) **40**, 021702 (2013); doi: 10.1118/1.4773040

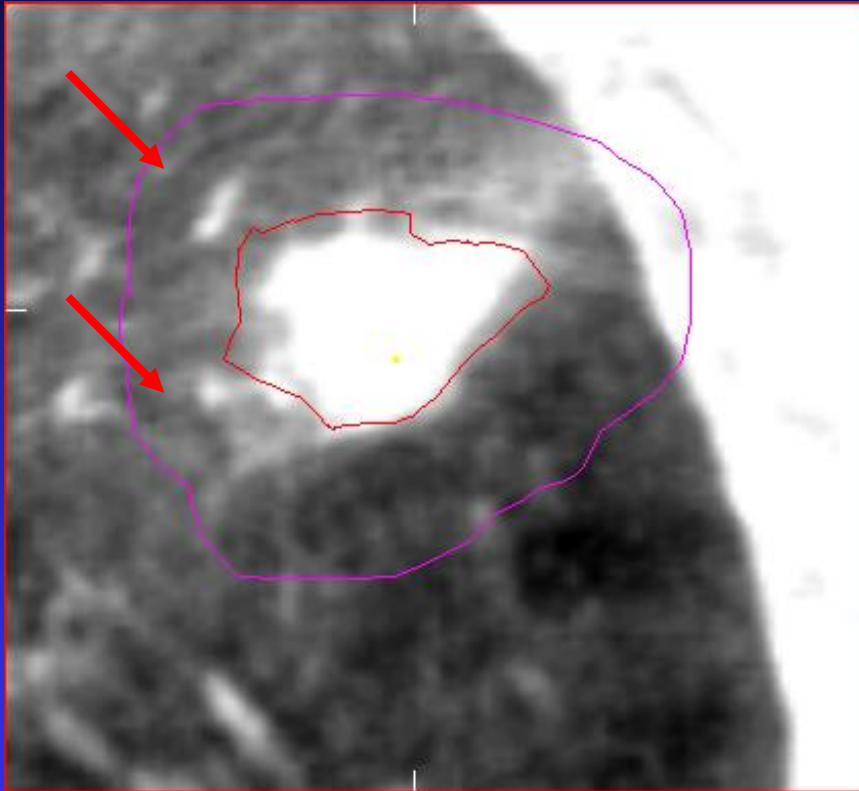
View on  
View Tal  
Publishe



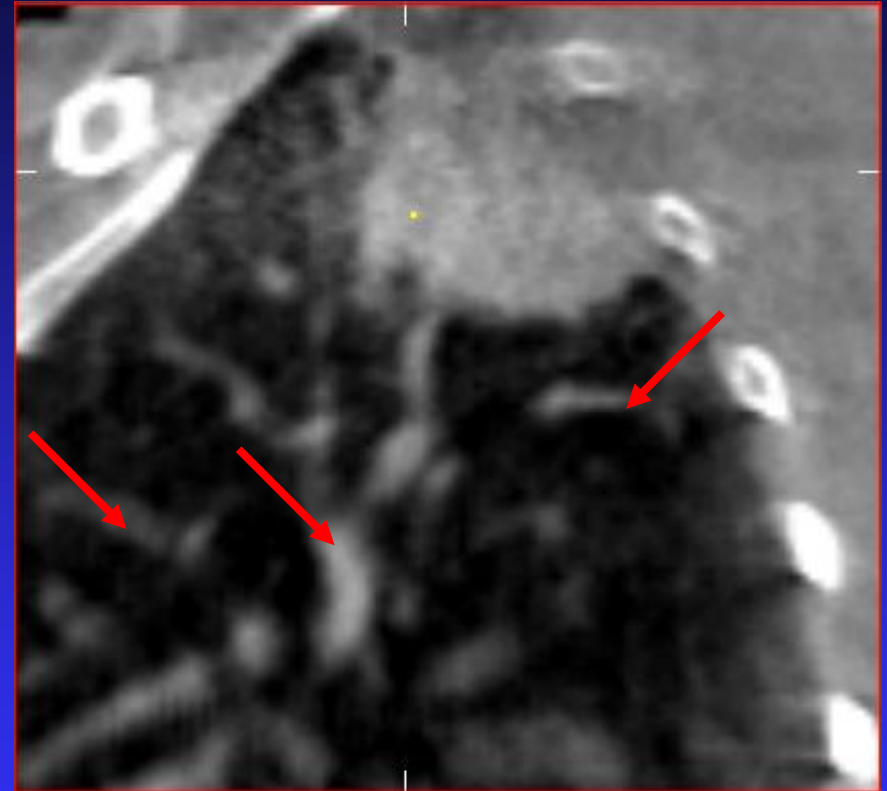
RDE lipiodol (mm)

1	5.9	6.4	3.6	3.1	2.2
2	11.8	8.9	4.0	8.6	14.1

# Registration of shrinking tumor ?



'elastic'  
Deformable registration OK



'erosion'  
Deformable registration will fail  
→ Potential under-dosage of  
residual tumor

# Use of deformable registration for data mining

- Map all patients to reference scan
- Split patients according to outcome
- Average dose for
  - Dead @ 12 months
  - Alive @ 12 months
- Is there a difference ?



# The Christie treats loads of patients

- **1101**  
**patients**
  - NSCLC
  - Curative intent
  - 55Gy 20 fractions

Variable	Sub-variable	Sub-total	Total in group
Gender	Male	593	1101
	Female	508	
Age (median)		73 (38-95)	
Smoking history	Current	153	359
	Ex-smoker	197	
	Life-long non smoker	8	
T Stage	T1	159	1000
	T2	434	
	T3	238	
	T4	169	
N stage	N0	546	1006
	N1	137	
	N2	257	
	N3	66	
M stage	M0	1018	1068
	M1	50	
Induction chemo	Yes	266	1101
	No	835	

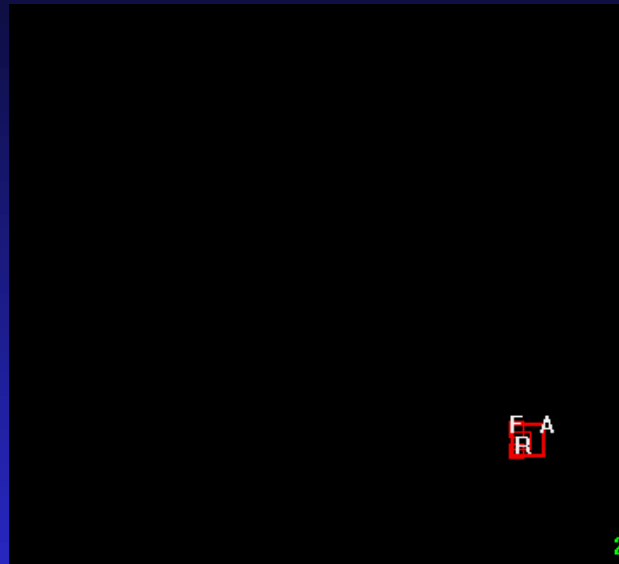
# Is dose related to 12M survival ?



F A  
R

1

Alive



F A  
R

2

Dead



F A  
R

1

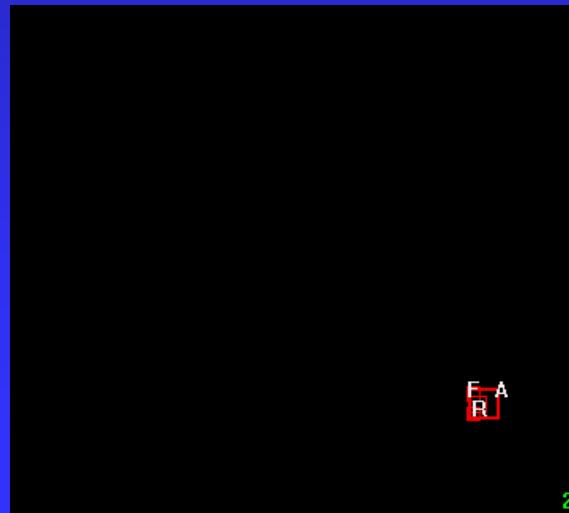
Registered CT



F A  
R

1

Average



F A  
R

2



F A  
R

Difference

# Significance– dose difference @ 12 months

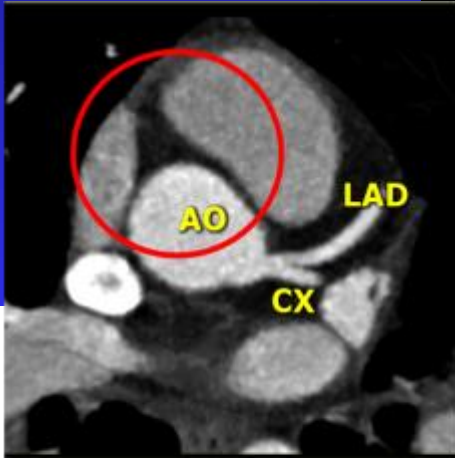
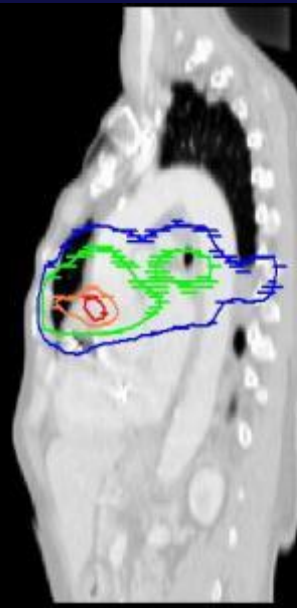
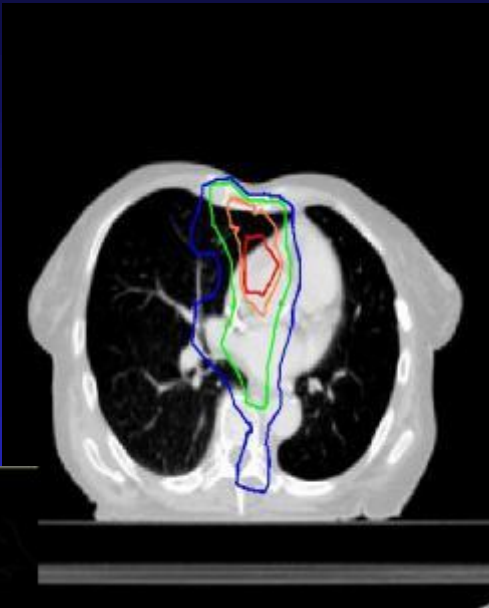
## t - statistics

---- -5.7

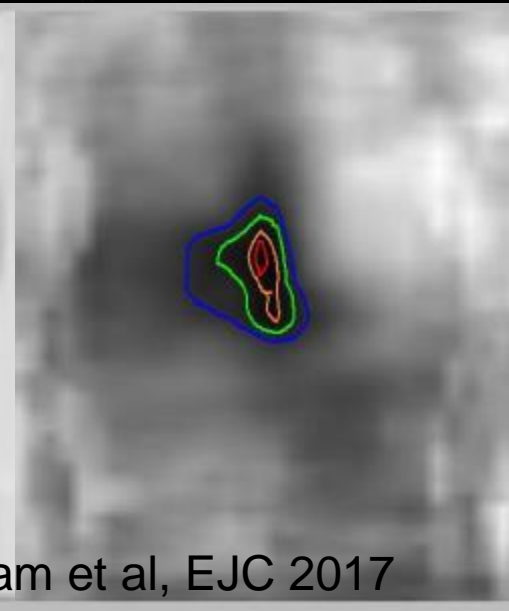
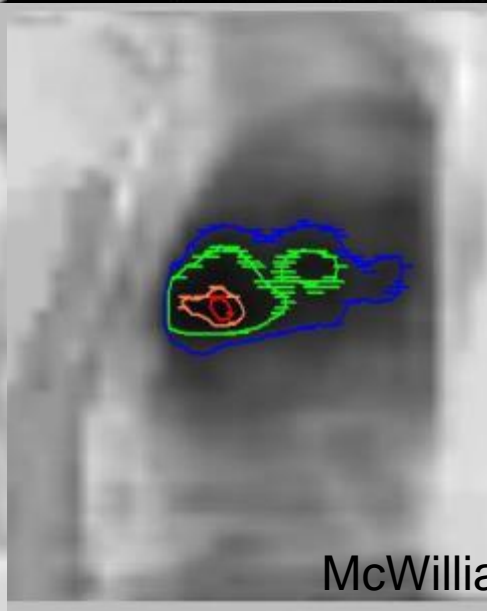
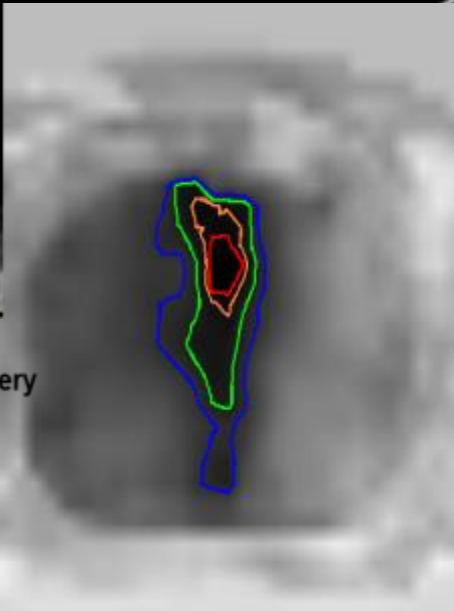
---- -5.5

---- -5.0

---- -4.5

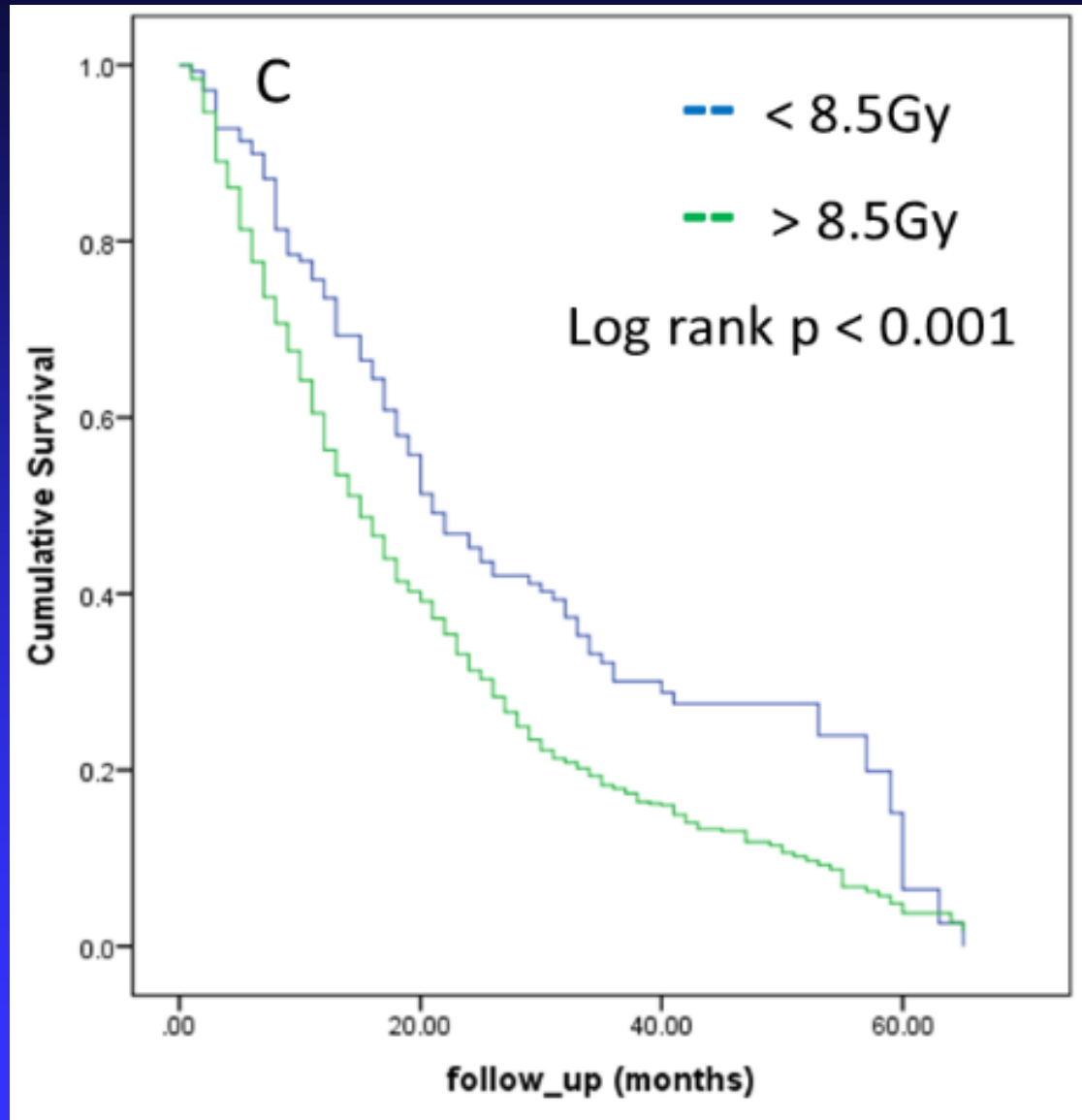


AO = Aorta  
LAD = Left-Anterior Descending artery  
CX = Circumflex artery



# Cox-regression survival analysis

- Controlling for:  
Age + tumour size
- Split on first quartile dose to region
  - **8.5 Gy**
- Hazard ratio between curves
  - **~1.2**



# Conclusions

- QA of deformable image registration is complex
- Deformable image registrations is unsolved problem; algorithms lack biological and biomechanical knowledge
  - Sliding tissue
  - Tumor growth and regression
- This is OK to propagate OAR contours
- This is **not OK** for dose accumulation:
  - it is unsafe to estimate you know where previous dose went
- This is **not OK** for adaptation around 'shrinking' tumors
- I therefore strongly suggest no to optimize dose on top of 'accumulated' dose
- Data mining gives more insight into organs at risk



**ESTRO**  
*School*

Gemelli



ART  
Advanced Radiation  
Therapy

Radiotherapy & Physics department  
Policlinico A. Gemelli, Rome (Italy)

# Introduction to Case 4: Bilateral Oropharynx

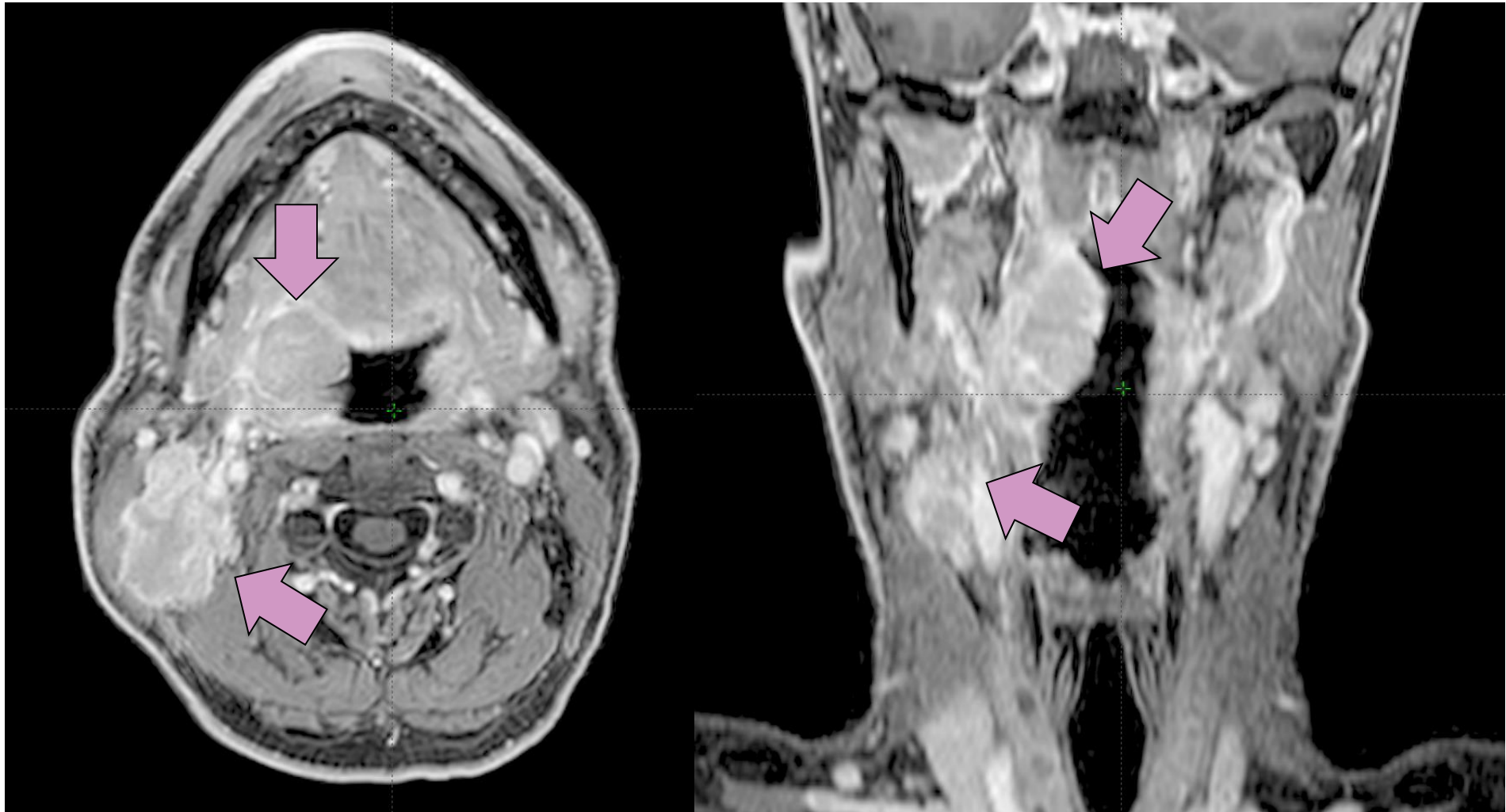
N. Dinapoli

## Staging

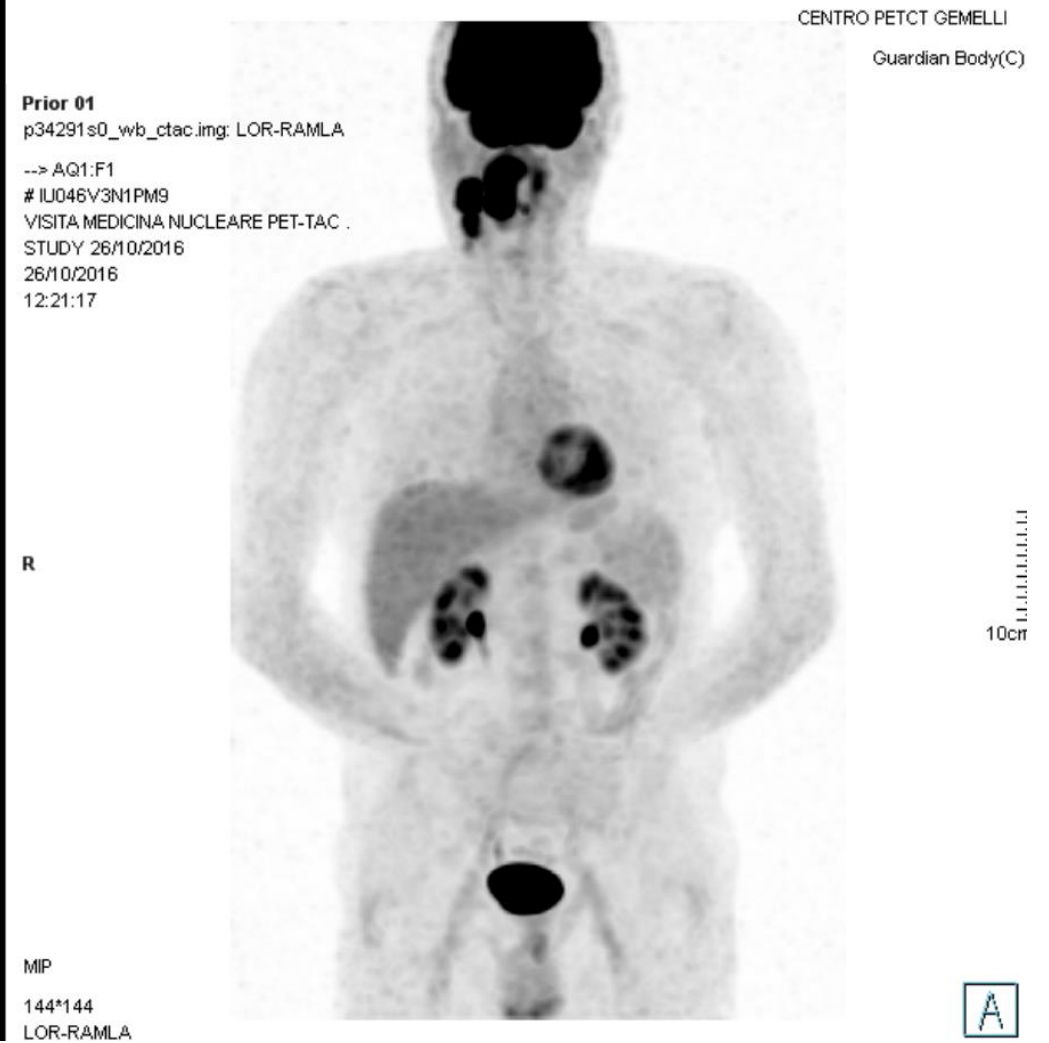
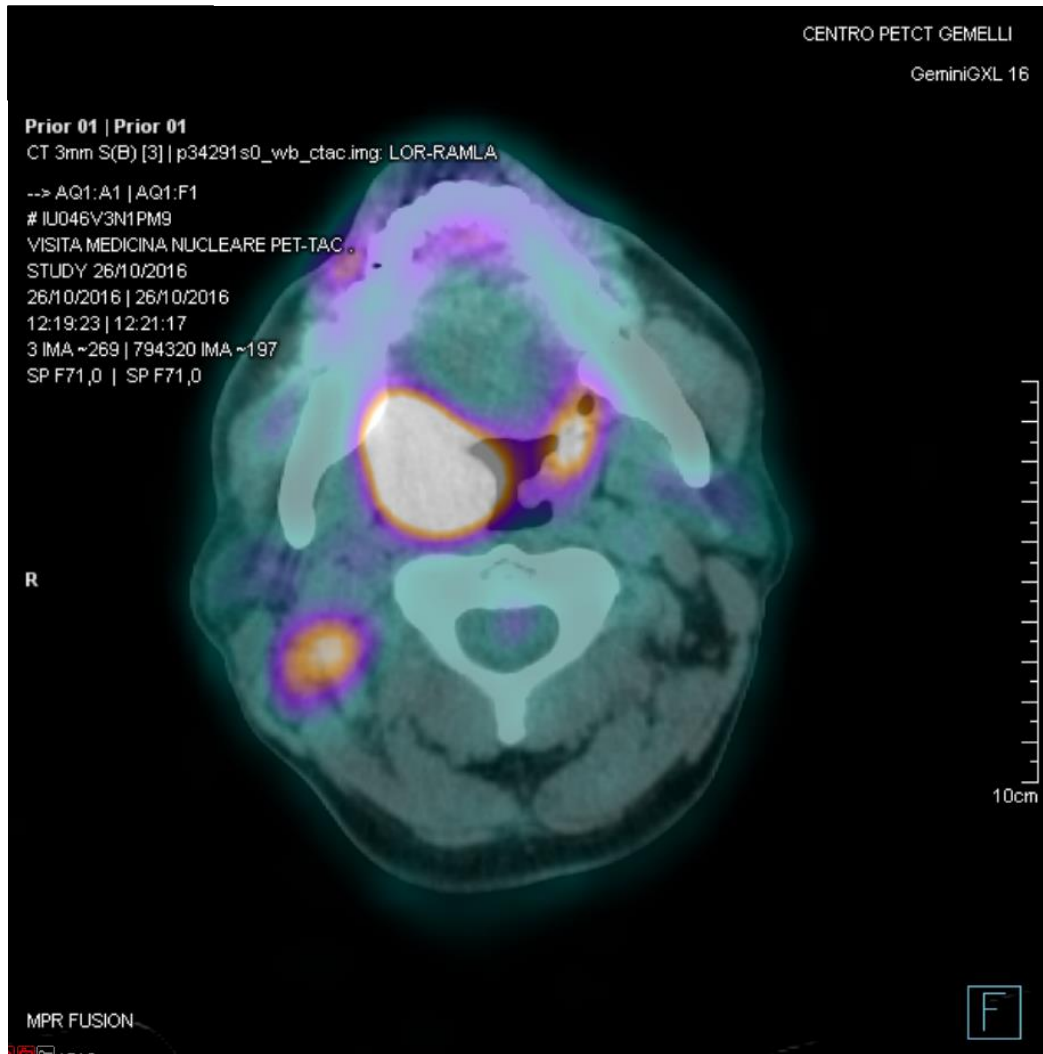
- Male patient, 56 years old
- Stage: T3 (T  $\geq$  4.1 cm) N3b\* Mo (stage IVa)
- Primary starts from the right tonsil, spreads down to the glosso-epiglottic fold, soft palate involvement
- Positive nodes in the same side of the tumor (levels 2, 3 and 5)
- \*8<sup>th</sup> ed TNM with update for HPV positive Oropharynx tumors, in our case involvement of neck muscles



# MR Staging



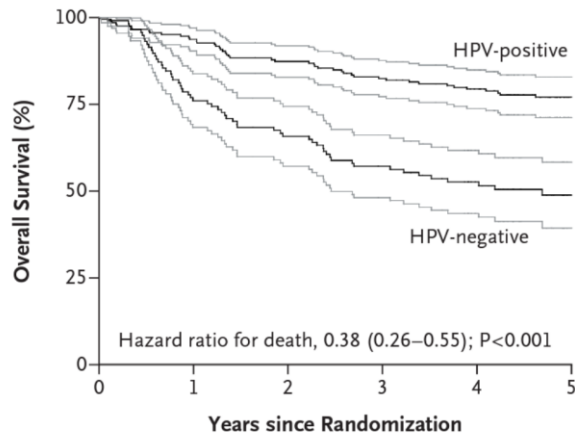
# PET-CT Staging



HPV status: positive

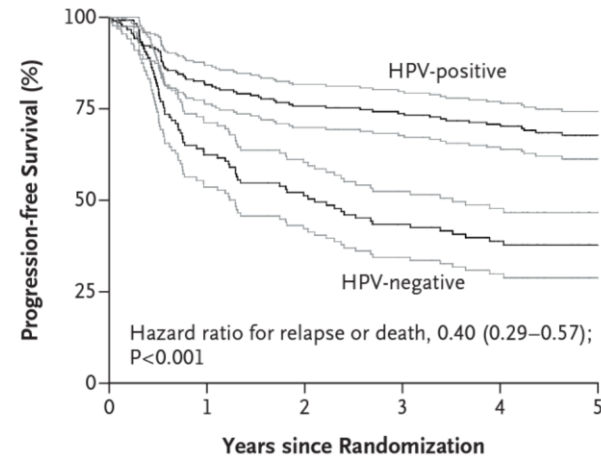
# HPV status (needed for prognosis)

**A Overall Survival According to Tumor HPV Status**



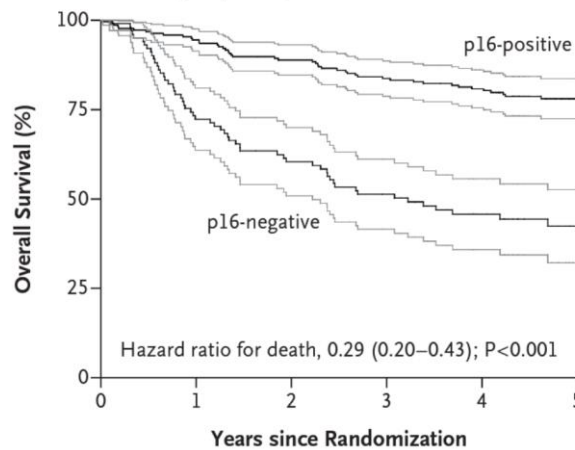
No. at Risk		0	1	2	3	4	5
HPV-positive	206	193	179	165	151	73	
HPV-negative	117	89	76	65	51	22	

**B Progression-free Survival According to Tumor HPV Status**



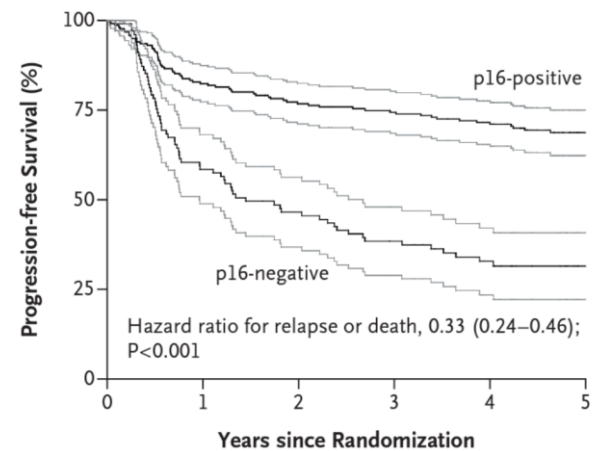
No. at Risk		0	1	2	3	4	5
HPV-positive	206	168	155	148	136	65	
HPV-negative	117	73	59	49	37	15	

**C Overall Survival According to p16 Expression**



No. at Risk		0	1	2	3	4	5
p16-positive	215	203	190	176	162	77	
p16-negative	101	73	60	49	34	15	

**D Progression-free Survival According to p16 Expression**

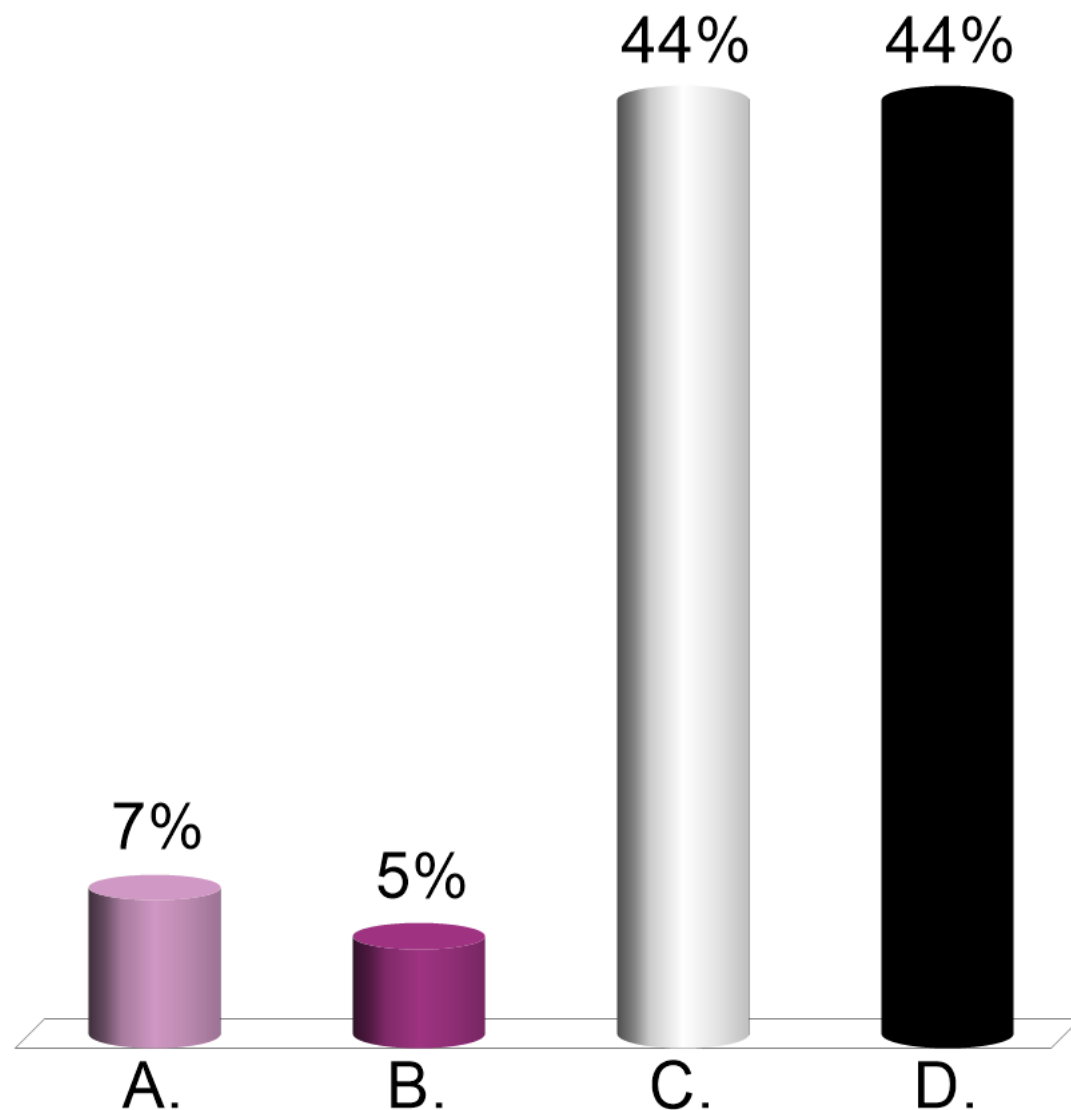


No. at Risk		0	1	2	3	4	5
p16-positive	215	177	164	156	143	66	
p16-negative	101	59	46	37	25	11	

Ang KK et al. Human Papillomavirus and Survival of Patients with Oropharyngeal Cancer. *N Engl J Med* 2010;363:24-35.

# Do you test HPV status in your center?

- A. Never
- B. Sometimes
- C. Routinely
- D. I don't know



# PTV prescription: SIB treatment

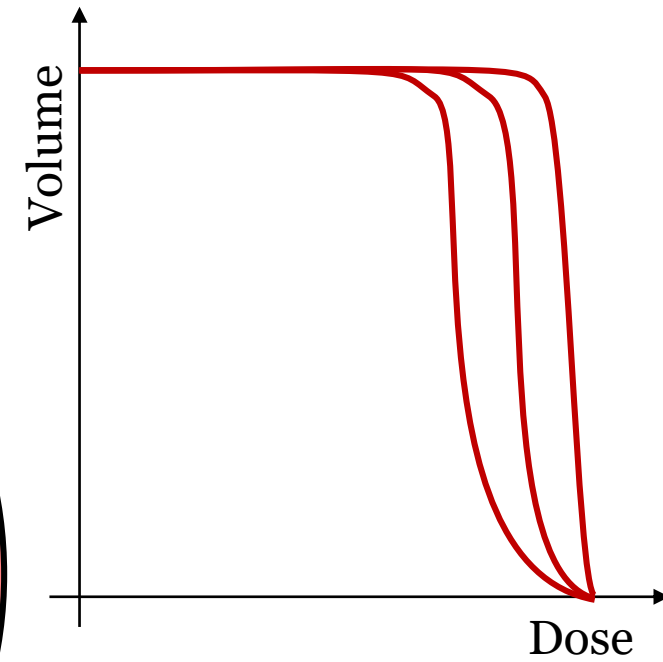
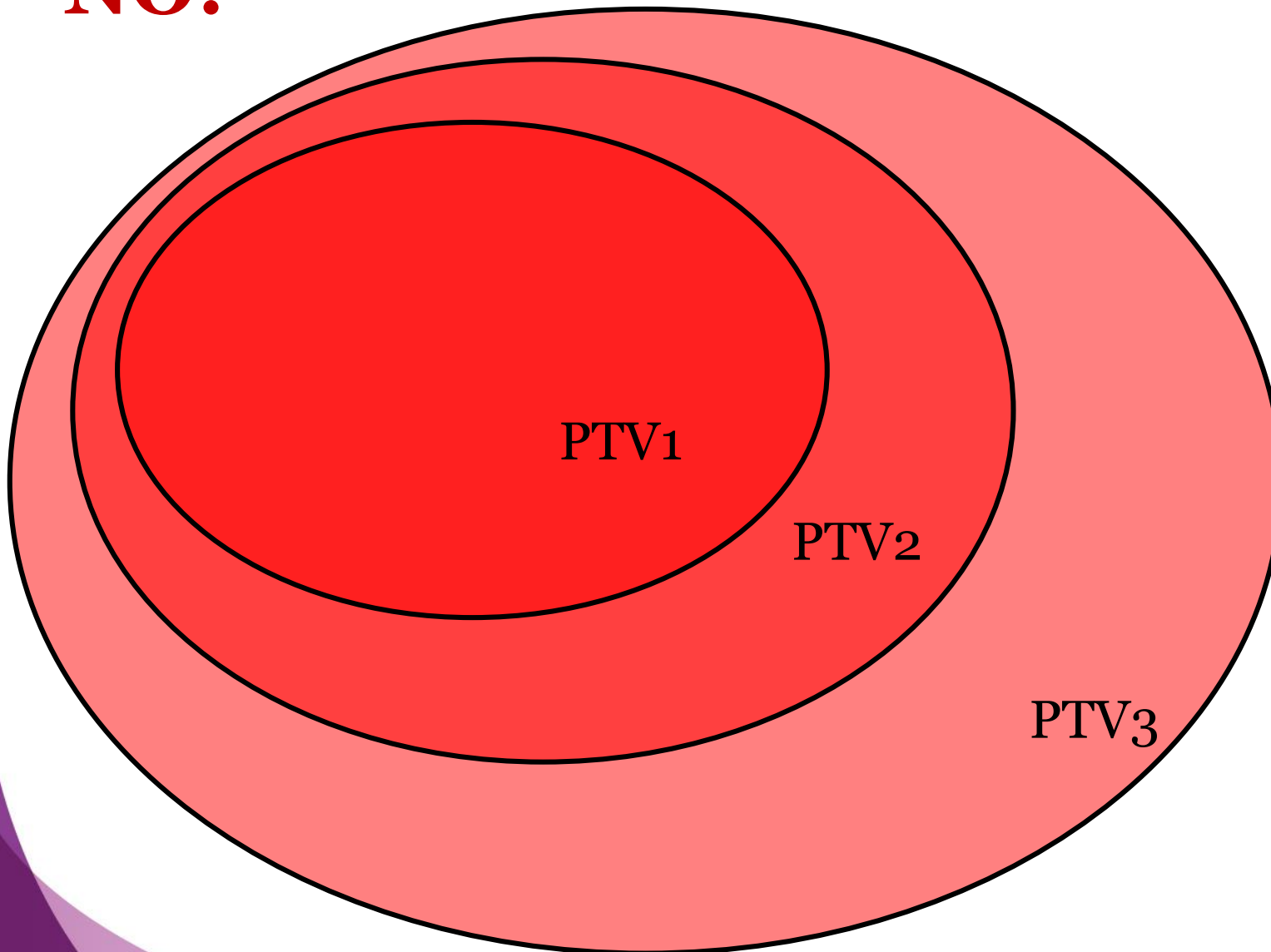
- 1) **Primary + Positive lymph nodes (GTV + margin)**
  - **PTV1: 66 Gy @ 2.2 Gy/fr**
- 2) **High risk lymph-nodal compartments (CTV1 + margin) (r2, r3, r5)**
  - **PTV2: 60 Gy @ 2 Gy/fr**
- 3) **Low risk lymph-nodal compartments (CTV2 + margin) (r4, l2, l3, l4, l5, r1b, l1b, retropharyngeal)**
  - **PTV3: 54 Gy @ 1.8 Gy/fr**

**95%** of Dose at **95%** of volumes

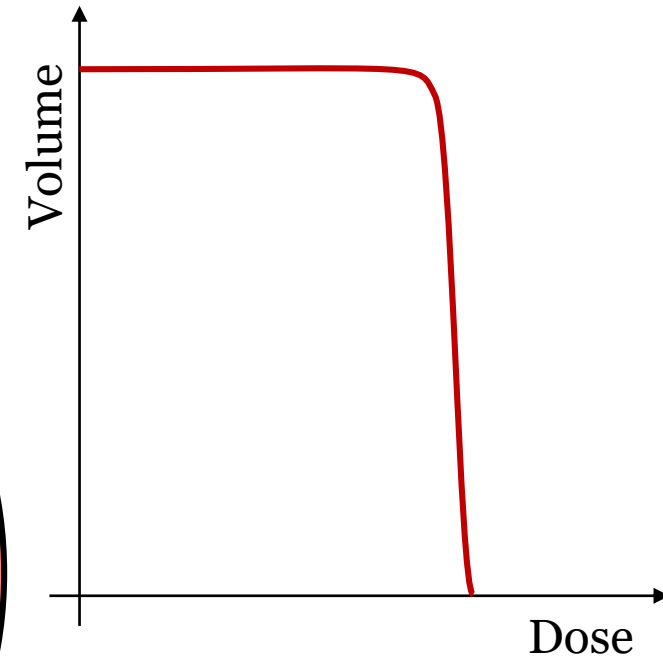
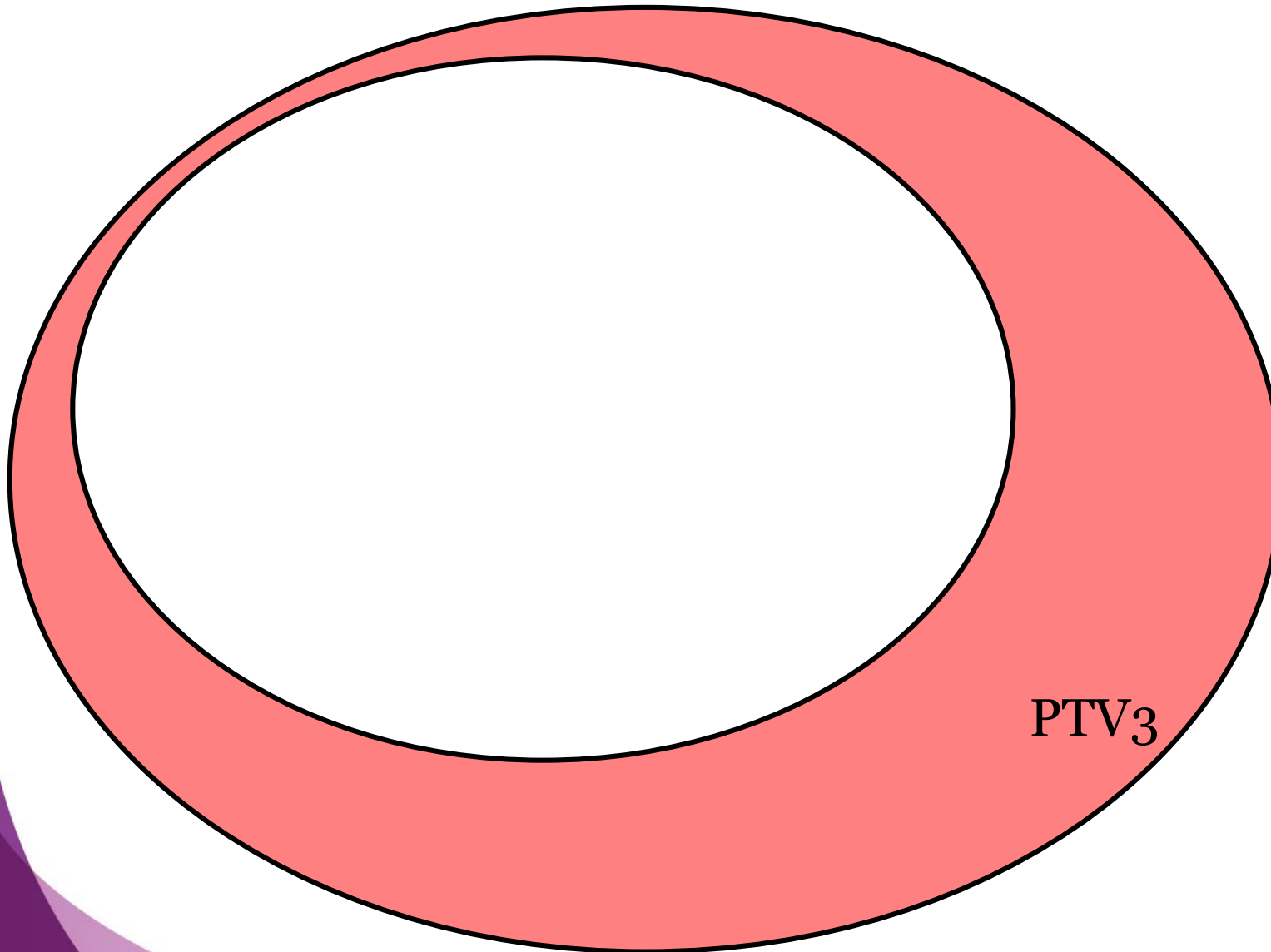
**105%** of Dose at **5%** of volumes

# PTV prescription: SIB definition

**NO!**

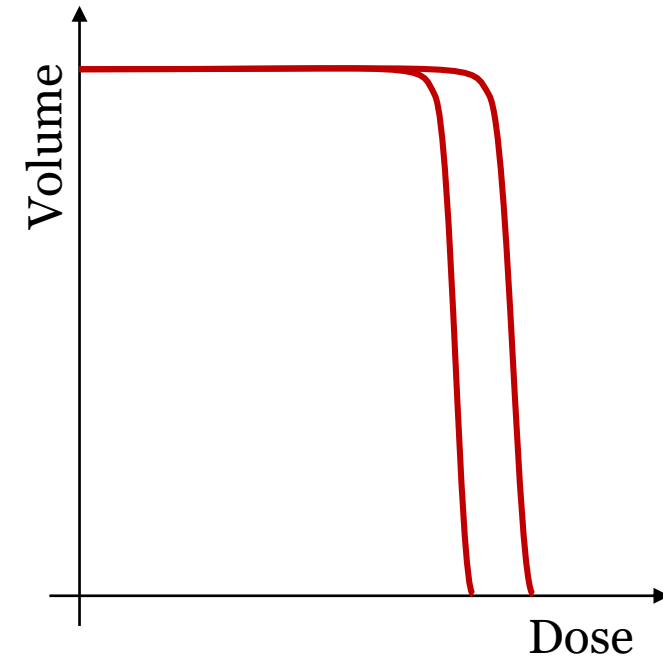
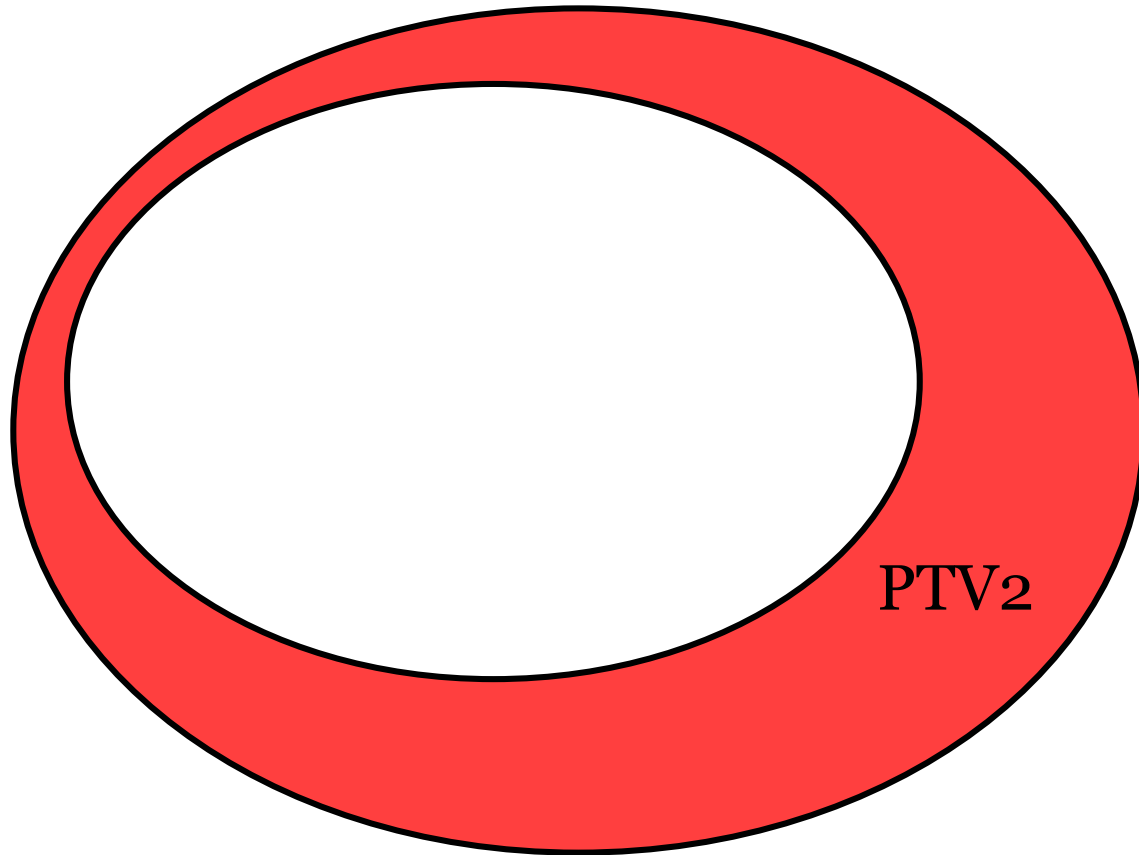


# PTV prescription: SIB definition

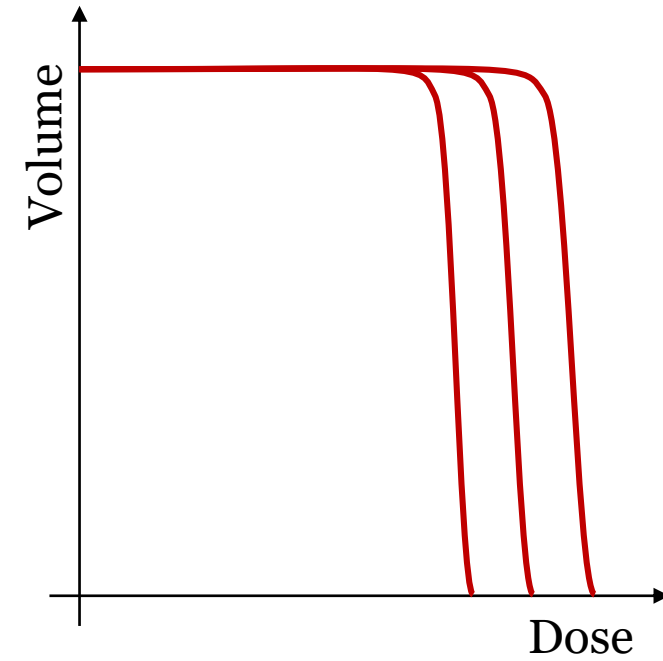
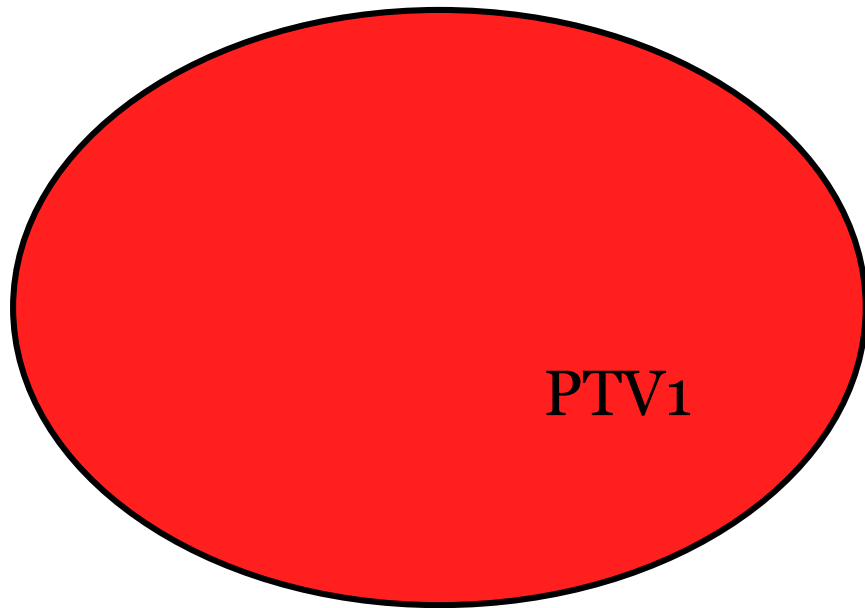




# PTV prescription: SIB definition

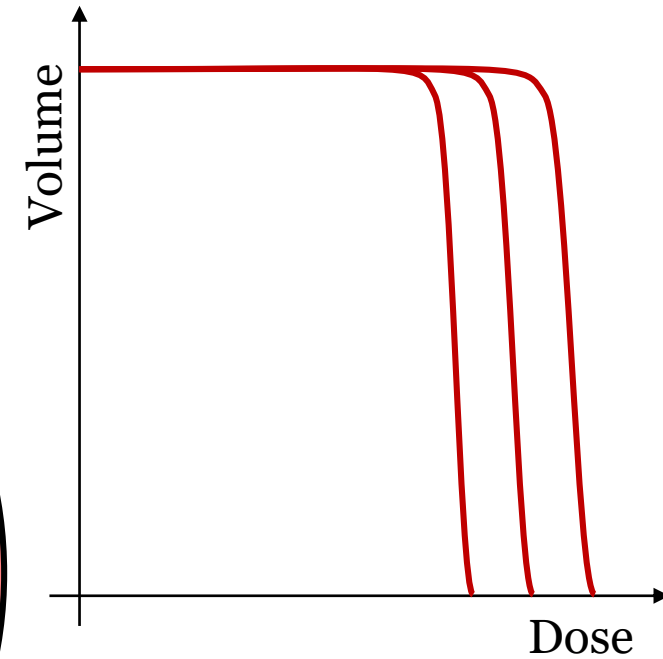
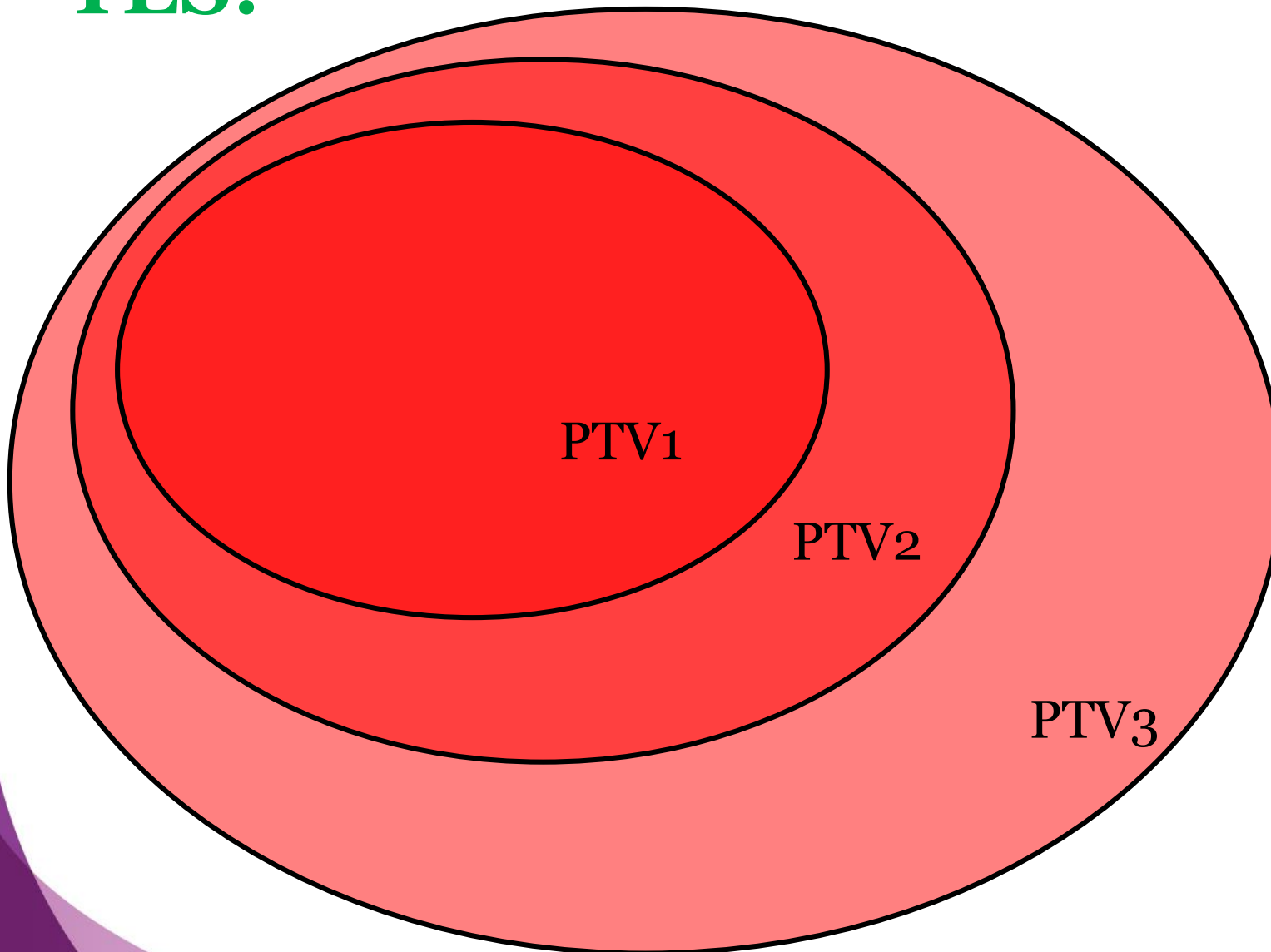


# PTV prescription: SIB definition



# PTV prescription: SIB definition

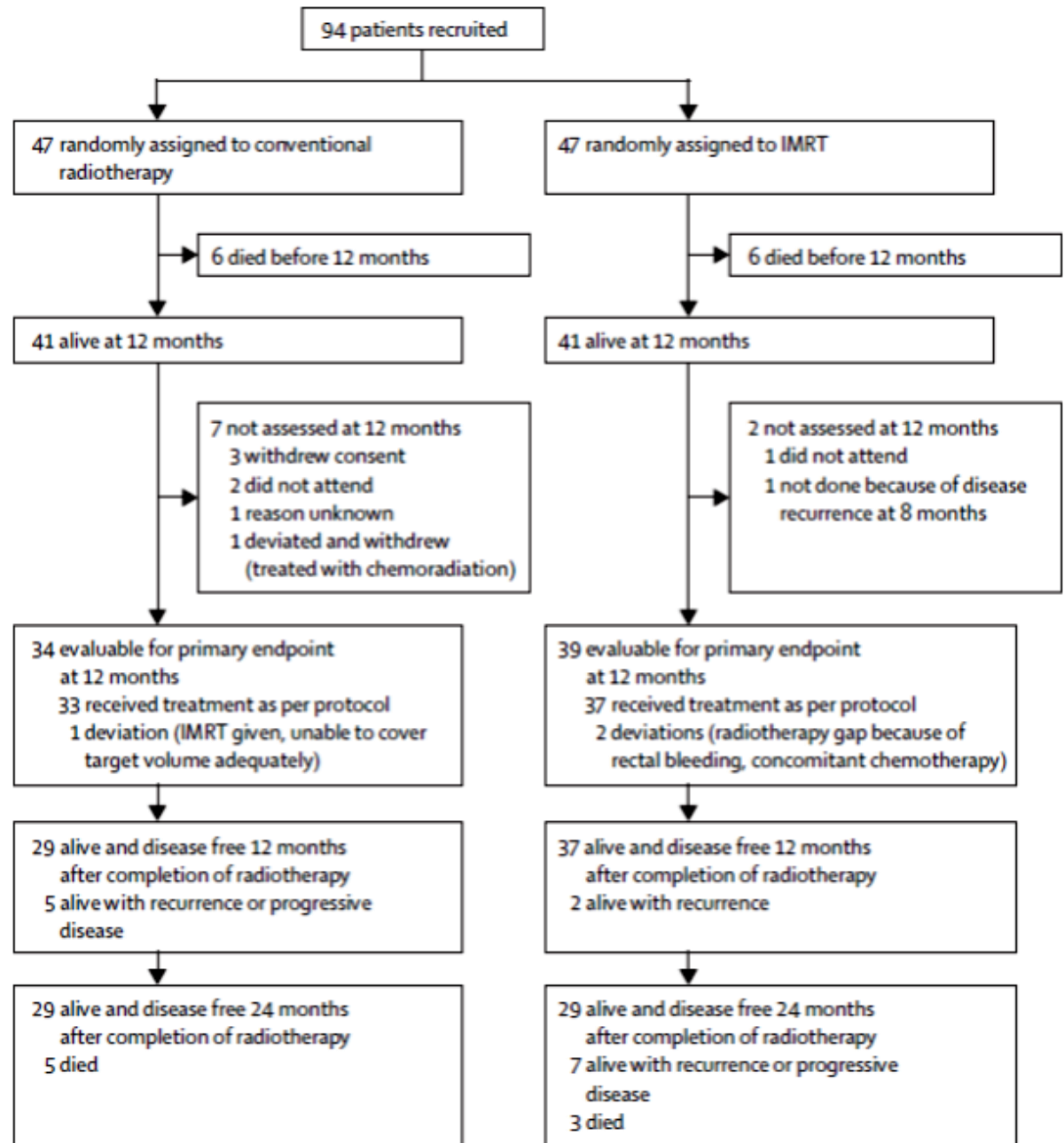
**YES!**

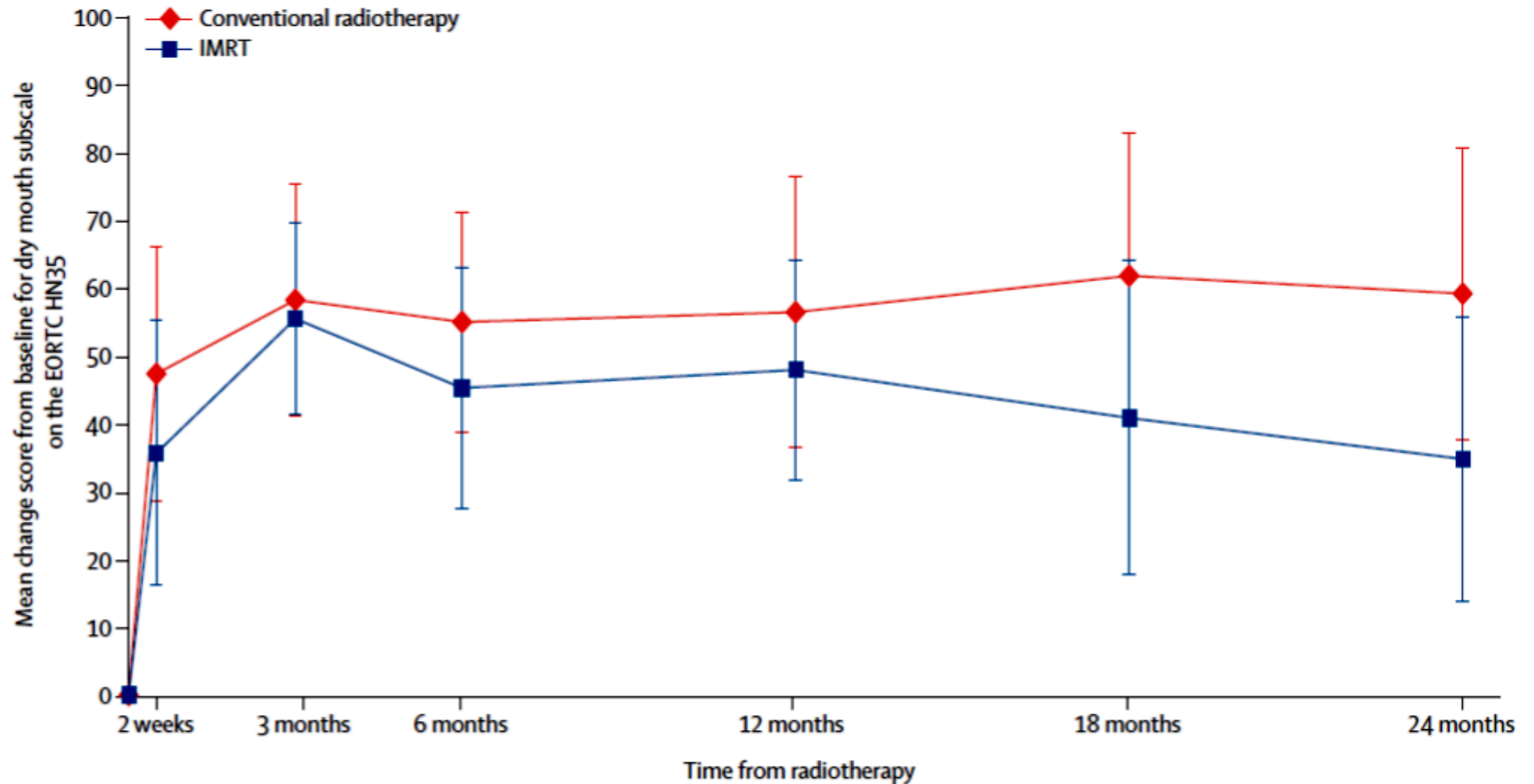


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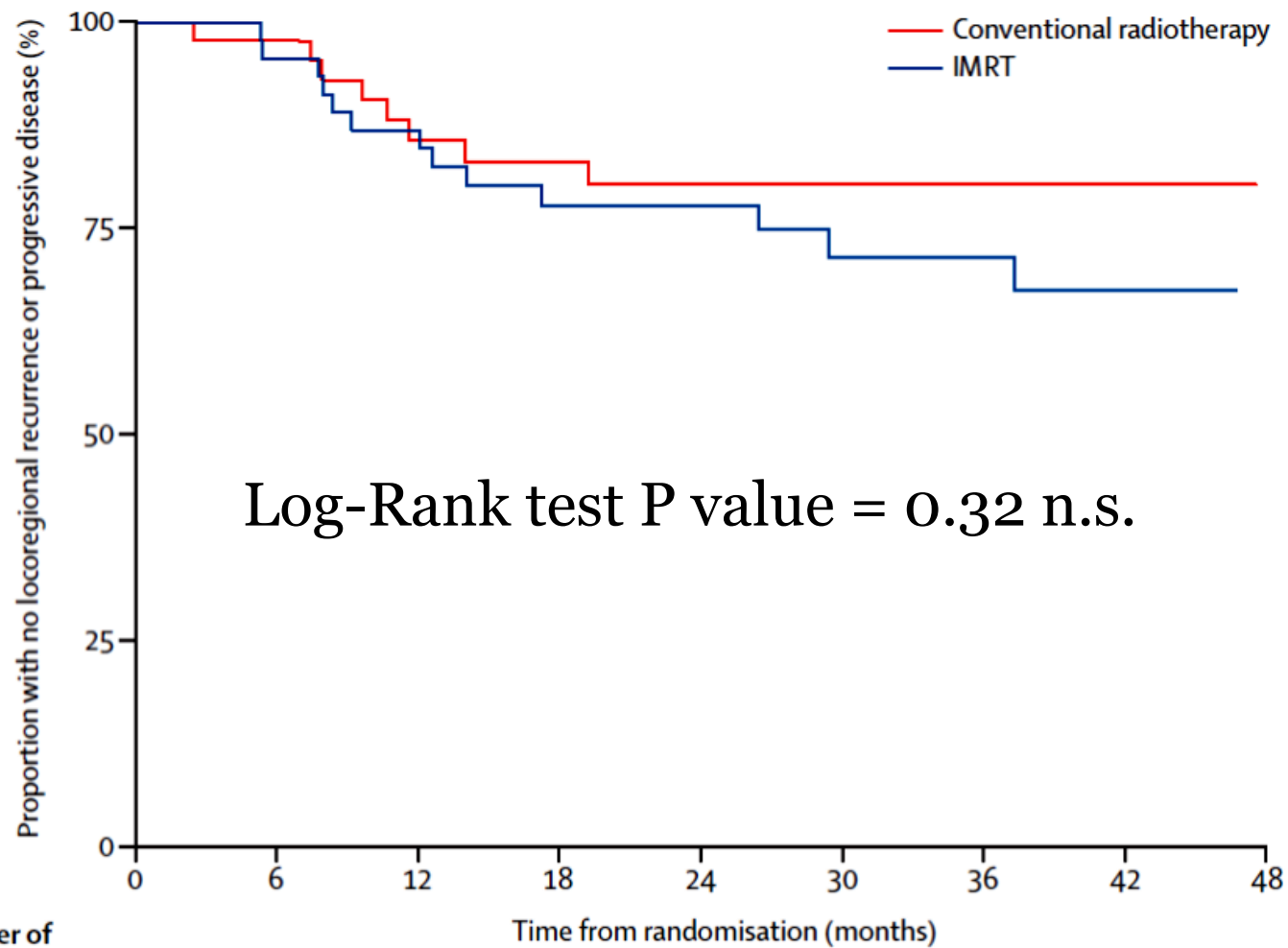
## Parotid-sparing intensity modulated versus conventional radiotherapy in head and neck cancer (PARSPORT): a phase 3 multicentre randomised controlled trial

*Christopher M Nutting, James P Morden, Kevin J Harrington, Teresa Guerrero Urbano, Shreerang A Bhide, Catharine Clark, Elizabeth A Miles, Aisha B Miah, Kate Newbold, MaryAnne Tanay, Fawzi Adab, Sarah J Jefferies, Christopher Scrase, Beng K Yap, Roger P A'Hern, Mark A Sydenham, Marie Emson, Emma Hall, on behalf of the PARSPORT trial management group\**





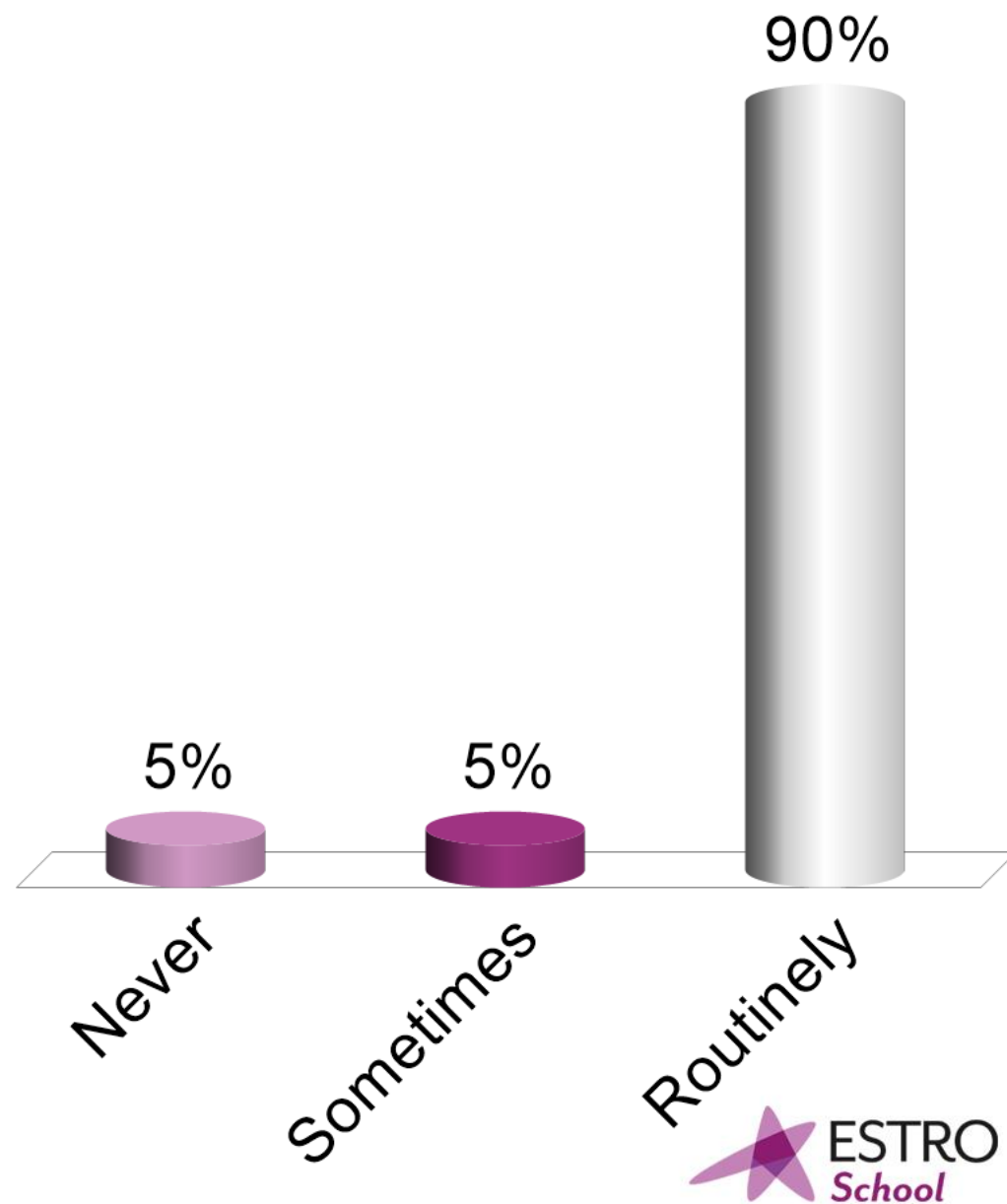
Conventional radiotherapy	26	24	23	23	21	18
IMRT	28	30	25	25	22	22
Difference in mean	11.7	2.8	9.7	8.5	21.0	24.4
(99% CI)	(-14.4 to 37.8)	(-18.4 to 24.0)	(-13.5 to 32.9)	(-15.9 to 33.0)	(-8.9 to 50.9)	(-4.3 to 53.2)



	0	6	12	18	24	30	36	42	48
Number of events/at risk									
Conventional radiotherapy	0/47	1/42	5/34	1/30	1/29	0/23	0/19	0/15	0/8
IMRT	0/47	2/44	4/39	4/31	0/28	2/22	0/18	1/15	0/11

# Do you treat H&N cases with IMRT?

- A. Never
- B. Sometimes
- C. Routinely





## Recommendations for IMRT use

- 1) If the **reduction of xerostomia** and improved quality of life are the main outcomes of interest, then **IMRT** is the **recommended** treatment
- 2) If **blindness** is to be minimized or avoided, **IMRT** is **indicated** in the definitive or adjuvant radiotherapy setting for nasal and paranasal sinus cancers
- 3) If **osteoradionecrosis** is to be minimized or avoided, **IMRT** is **indicated** in the definitive or adjuvant radio- therapy of tumours in the oral cavity, oropharynx, paranasal sinuses and nasopharynx

# Recommendations for IMRT use

## 4) **Treatment related outcome** (local control, disease free survival, overall survival) show not homogenous evidences

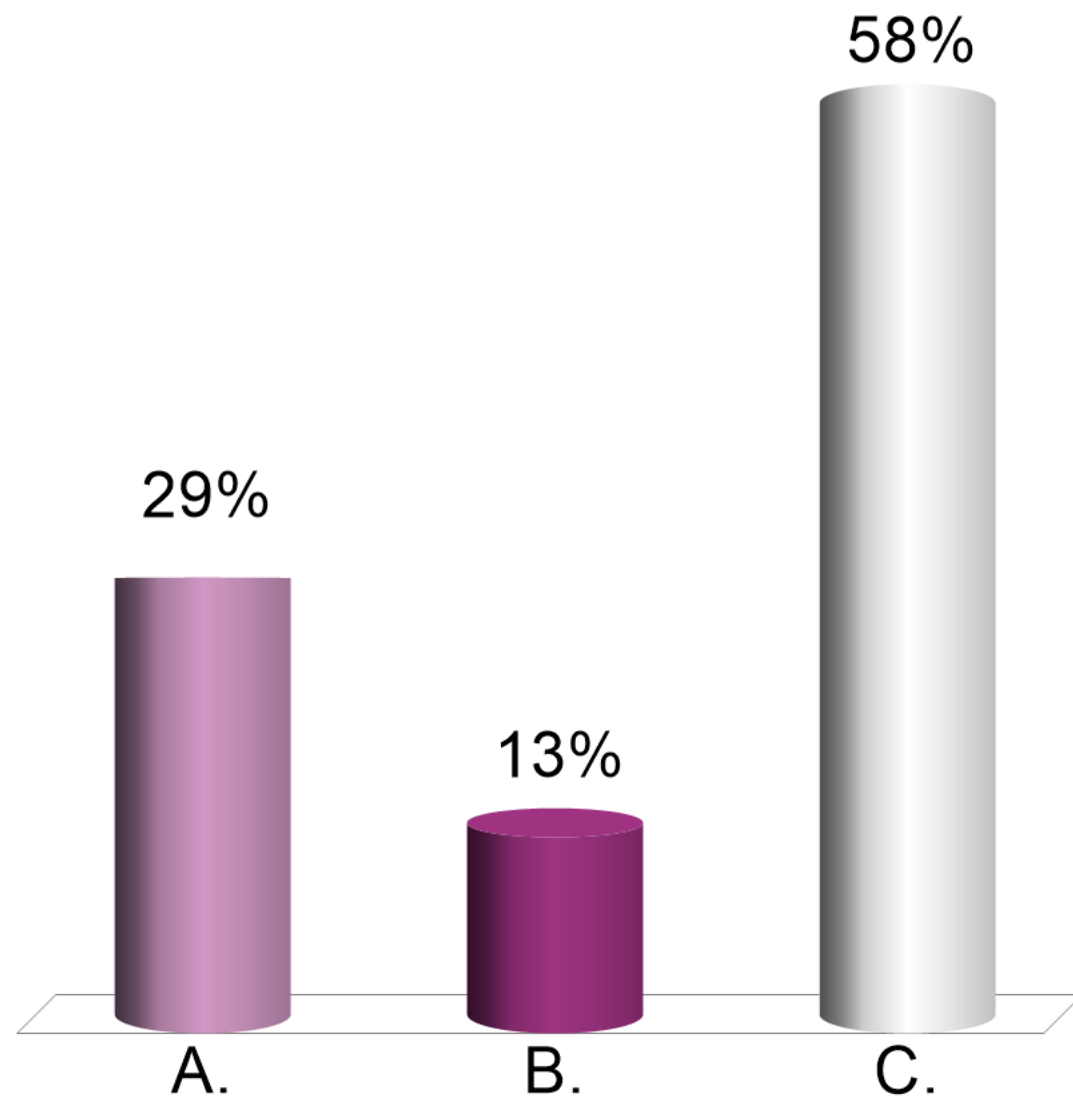
1. Mok G, Gauthier I, Jiang H, et al. Outcomes of intensity-modulated radiotherapy versus conventional radiotherapy for hypopharyngeal cancer. *Head Neck. United States*; 2015;37:655–661.
2. Moon SH, Cho KH, Lee C-G, et al. IMRT vs. 2D-radiotherapy or 3D-conformal radiotherapy of nasopharyngeal carcinoma: Survival outcome in a Korean multi-institutional retrospective study (KROG 11-06). *Strahlentherapie und Onkol Organ der Dtsch Rontgengesellschaft . [et al]. Germany*; 2016;192:377–385.
3. Moretto F, Rampino M, Munoz F, et al. Conventional 2D (2DRT) and 3D conformal radiotherapy (3DCRT) versus intensity-modulated radiotherapy (IMRT) for nasopharyngeal cancer treatment. *Radiol Med. Italy*; 2014;119:634–641.
4. Marta GN, Silva V, De Andrade Carvalho H, et al. Intensity-modulated radiation therapy for head and neck cancer: Systematic review and meta-analysis. *Radiother Oncol. Elsevier Ireland Ltd*; 2014;110:9–15.

## Which is your priority in H&N IMRT planning?

A. PTV coverage

B. Parotid sparing

C. Spinal cord sparing



# OARs constraints

- Create your workflow!
  - 1) Dose at PTV1 66 Gy, Dmax to spinal cord
  - 2) Dose at PTV2-3, Dmean to parotids
  - 3) Decide if spare only one parotid gland (controlateral to the tumor) or both

# OARs constraints

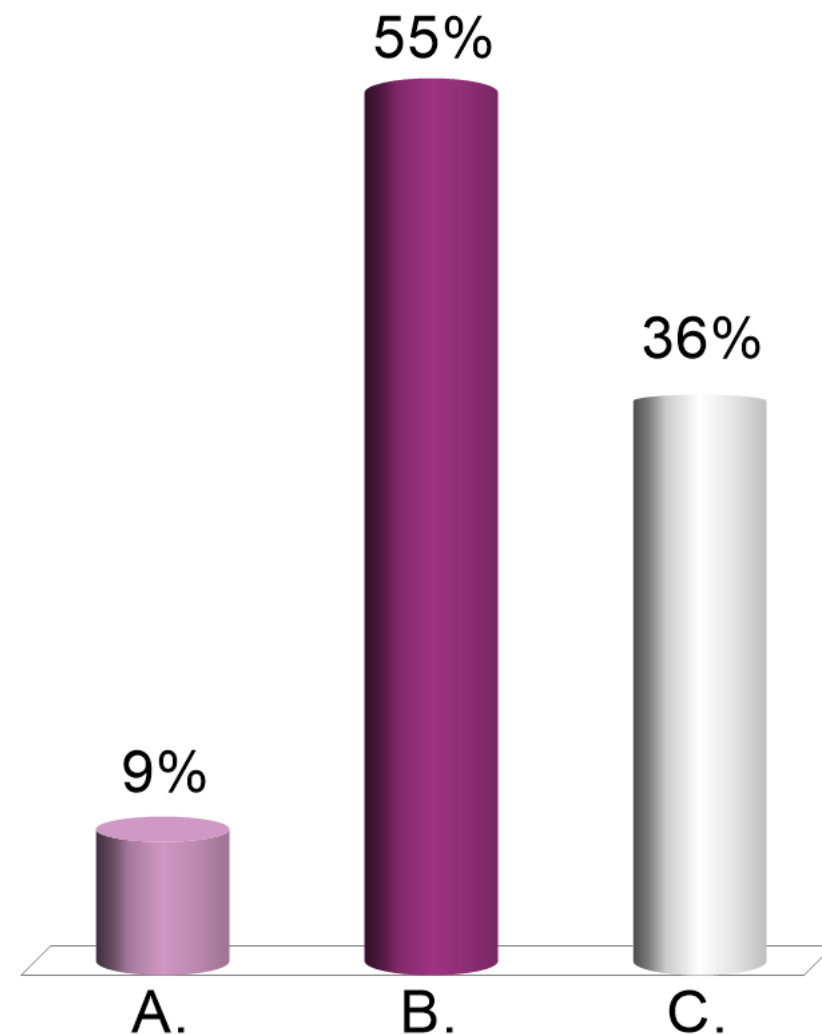
- Create your workflow:
  - Be careful of Hot Spots! (**Overall Dmax  $\leq$  110%**)
  - Find the location of hot spots (skull base is worse than neck base or PTV)

## OARs constraints

- Parotid sparing: one or two?

## Parotid glands: spare one or both?

- A. Always both glands, same mean dose
- B. At least one gland under 25 Gy
- C. At least one gland under 25 Gy if overall mean dose is  $> 25$  Gy



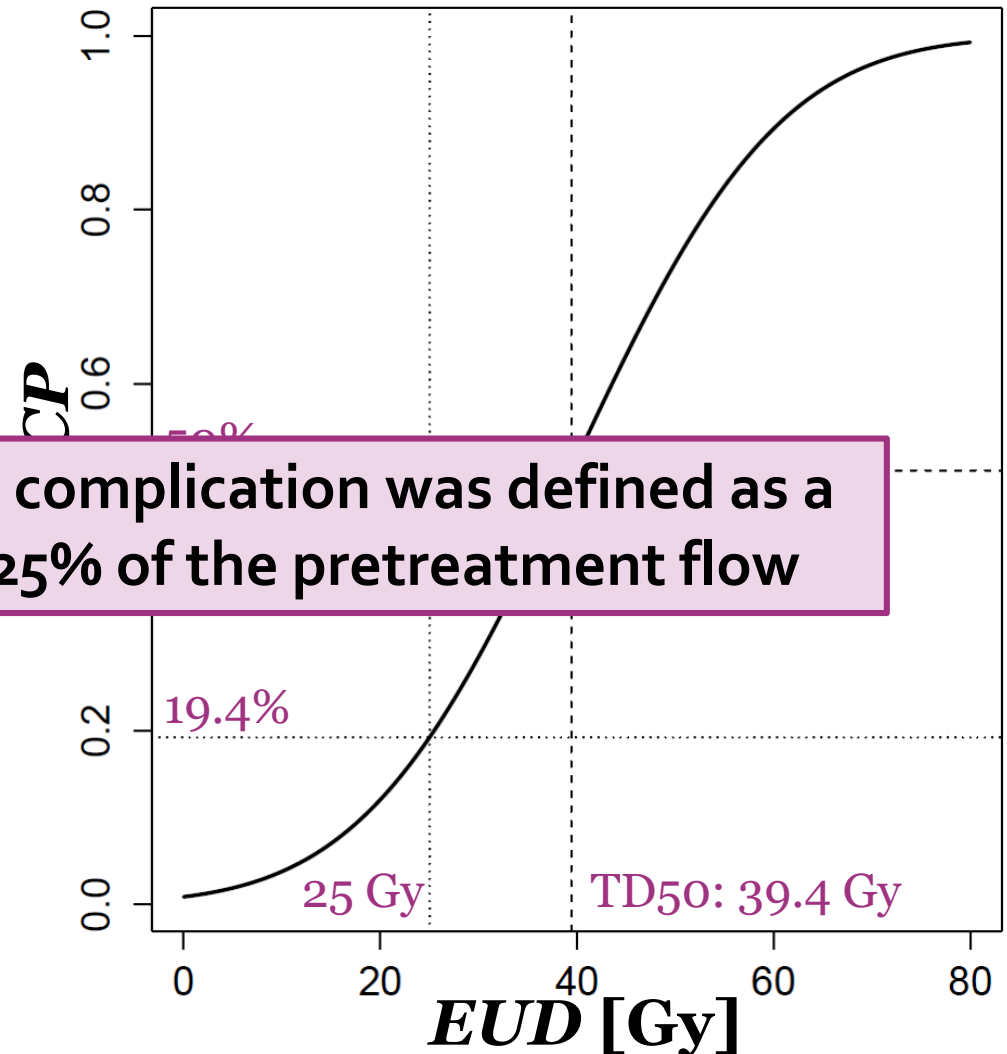
# Parameters for clinical outcome: Salivary glands

## NTCP dose-response models evaluation for analysis of parotid gland function:

Table 2. Model parameters and goodness of fit values of the models

Model	Parameter	Value	95% CI	$\Delta_{LL}$	Monte Carlo
LKB	n	1.13	0.75–14.25	340.63	0.51
	TD <sub>50</sub>	39.4	33.8–41.8		
	m	0.42	0.36–0.58		
Mean dose	TD <sub>50</sub>	39.9	37.3–42.8	339.19	0.59
	m	0.40	0.34–0.51		
Relative seriality	s	0.08	0.00–0.65	342.56	0.71
	TD	28.8	26.5–42.5		
Parallel FSU	N <sub>FSU</sub>	219	18–298		
	D <sub>50</sub>	32.5	15.0–95.0	336.44	0.55
	k	2.75	0.50–4.50		
	TD <sub>50</sub>	37.0	32.0–44.0		
V <sub>Dth</sub>	m	0.35	0.30–0.60		
	D <sub>th</sub>	30.5	25.0–37.0	342.98	0.58
	rdV <sub>50</sub>	0.68	0.60–0.80		
	m	0.48	0.35–0.65		

For each individual parotid gland, a complication was defined as a reduction in salivary flow to below 25% of the pretreatment flow



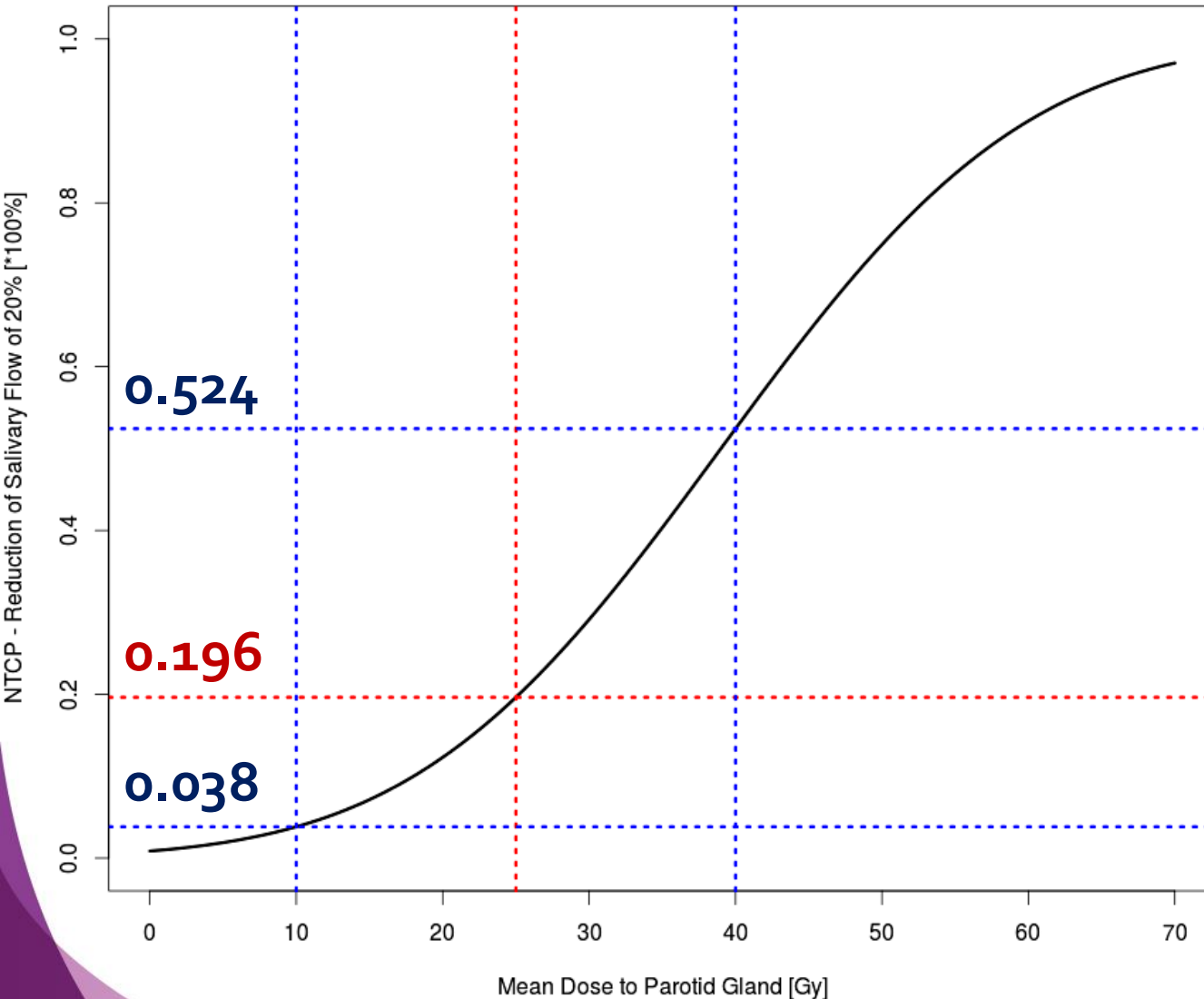
Abbreviations: CI = confidence interval;  $\Delta_{LL}$  = deviance.

Houweling AC et al. A comparison of dose-response models for the parotid gland in a large group of head-and-neck cancer patients. *Int. J. Radiation Oncology Biol. Phys.*, Vol. 76, No. 4, pp. 1259–1265, 2010.



# Mean dose to both parotids 25 Gy

Assumption: both parotid have the same volume

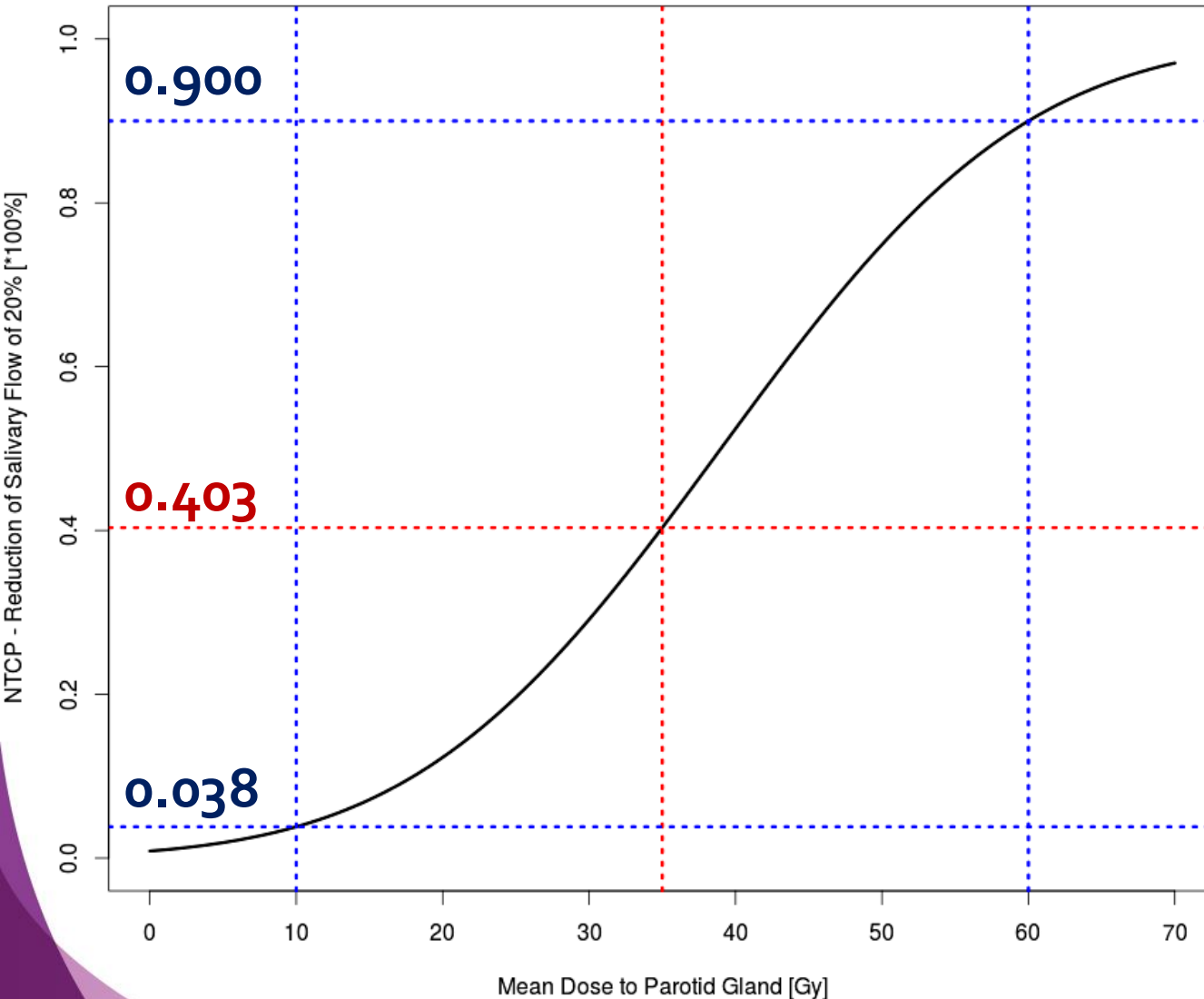


$$\text{NTCP}_{\text{asym}} = 0.524 * 0.038 = 0.02$$

$$\text{NTCP}_{\text{sym}} = 0.196 * 0.196 = 0.038$$

# Mean dose to both parotids 35 Gy

Assumption: both parotid have the same volume



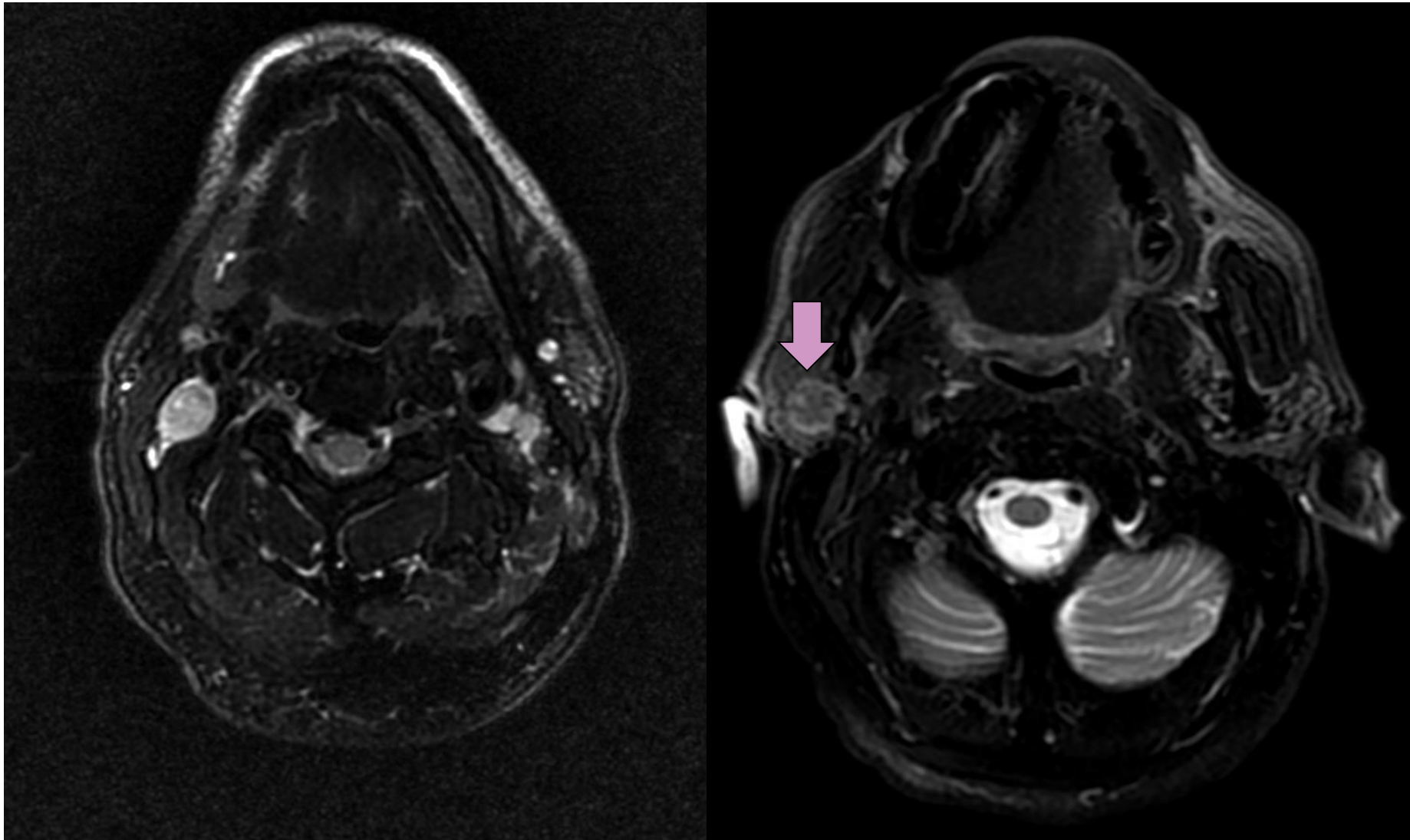
$$\text{NTCP}_{\text{asym}} = 0.900 * 0.038 = 0.034$$

$$\text{NTCP}_{\text{sym}} = 0.403 * 0.403 = 0.162$$

# OARs constraints

- Parotid sparing: one or two?
  - Try both, if you get **Dmean > 25 Gy** on both try to sacrifice the ipsilateral gland
  - In case of **bulky lymph nodes** involving one gland please sacrifice it (and try to spare the contralateral)

# OARs constraints



*N Dinapoli, R Autorino, et al. Recurrence in region of spared parotid gland in patient receiving definitive intensity-modulated radiotherapy for nasopharyngeal cancer: A case report. Acta Oncol. 2012 Apr 23.*

# OARs constraints/objectives

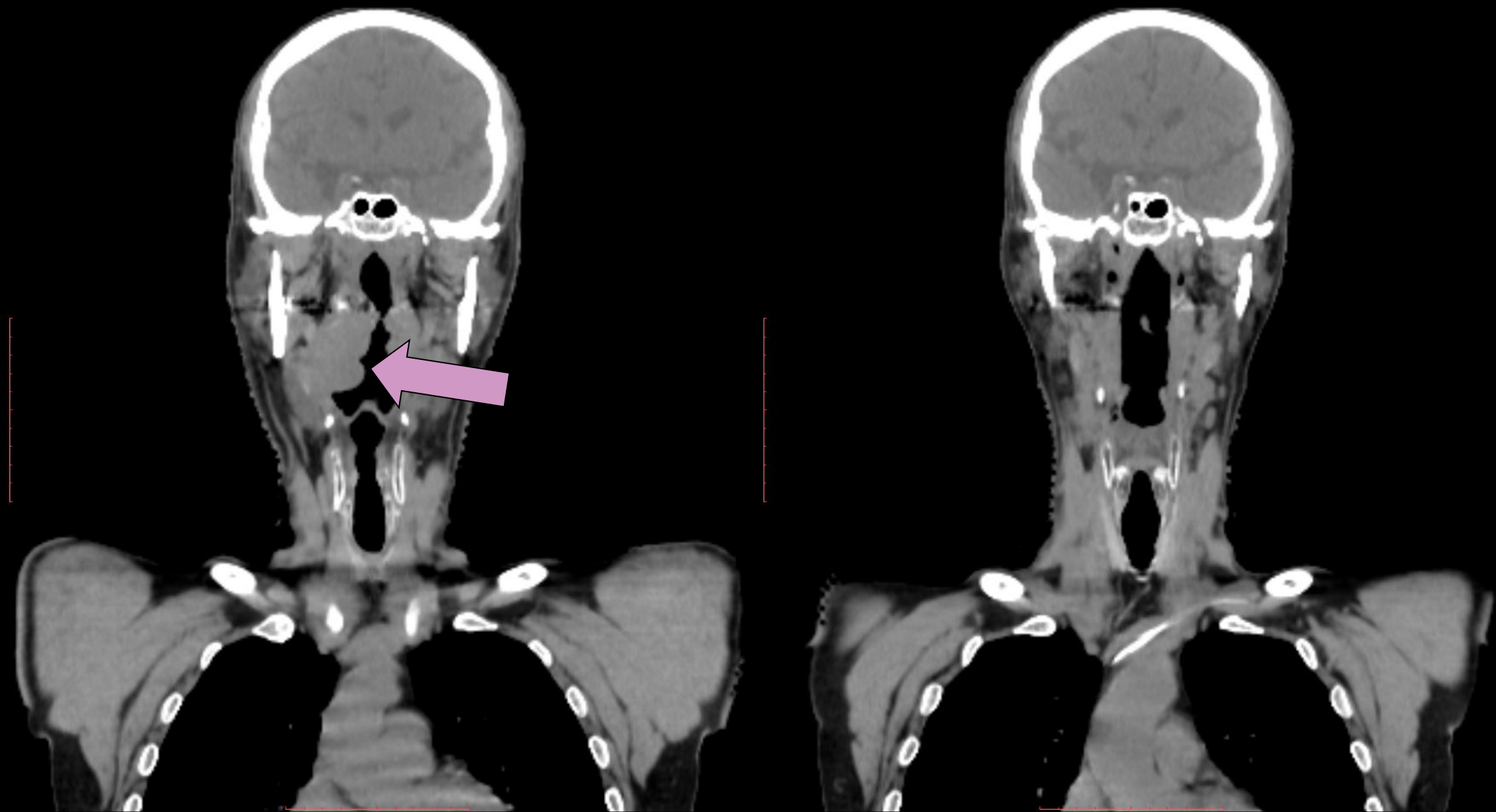
- **Constraints:**

1. Spinal cord:  $D_{max} < 45 \text{ Gy}$
2. PRV Spinal cord:  $D_{max} < 50 \text{ Gy}$
3. Brainstem:  $V_{59 \text{ Gy}} < 1 \text{ cc}$  (QUANTEC)

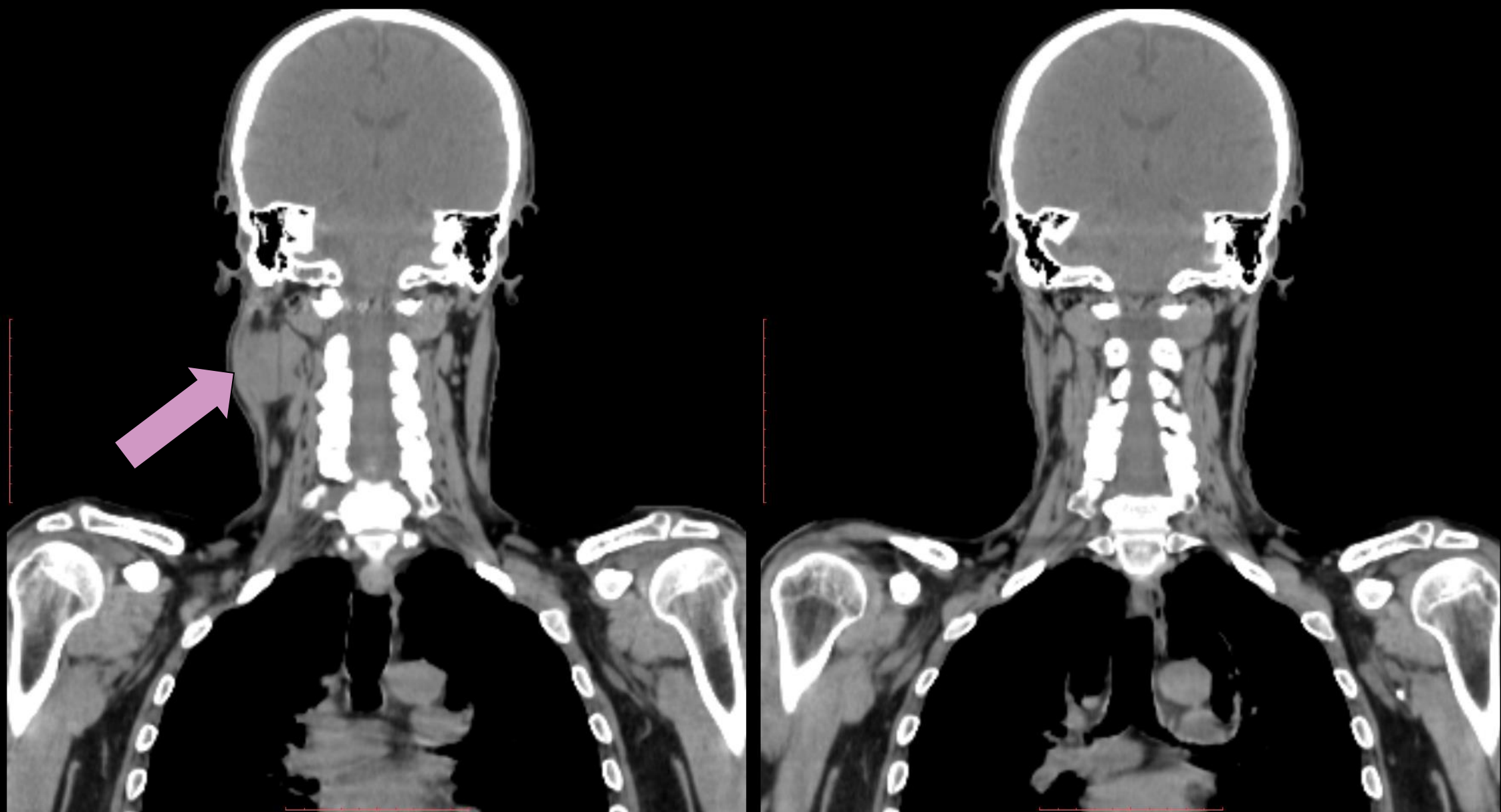
- **Objectives:**

1. Parotids:  $D_{mean} < 25 \text{ Gy}$  (primary objective,  $P_{tox} < 20\%$ )  
 $D_{mean} < 39 \text{ Gy}$  (secondary objective,  $P_{tox} < 50\%$ )
2. Mandible: EQD2  $D_{max} < 70 \text{ Gy}$  (RTOG 0615)
3. Cochlea:  $D_{mean} < 35 \text{ Gy}$  (QUANTEC)
4. Lens  $D_{max} < 7 \text{ Gy}$  (RTOG 0539)
5. Brain: EQD2  $D_{max} < 72 \text{ Gy}$  (QUANTEC)
6. Thyroid:  $D_{mean} < 45 \text{ Gy}$  (RTOG 0225)  
 $V_{30 \text{ Gy}} < 62.5 \%$  (RTOG 0615)

# Replanning H&N IMRT patients (15 fractions)

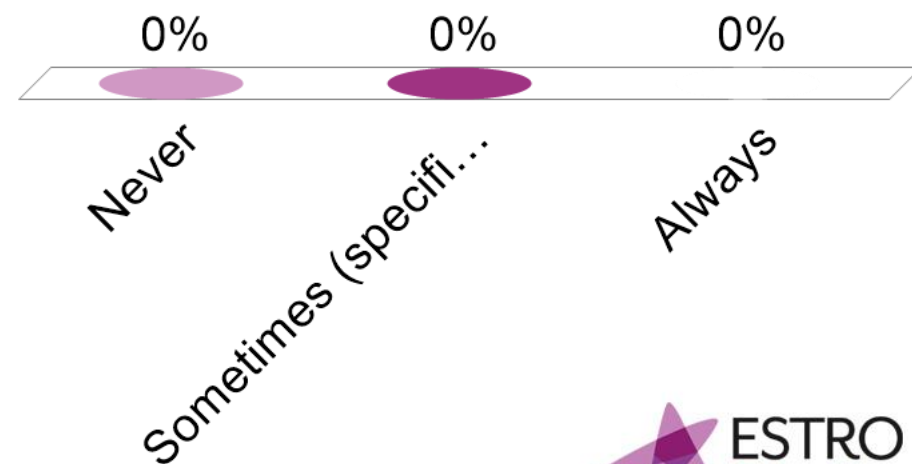


# Replanning H&N IMRT patients (15 fractions)



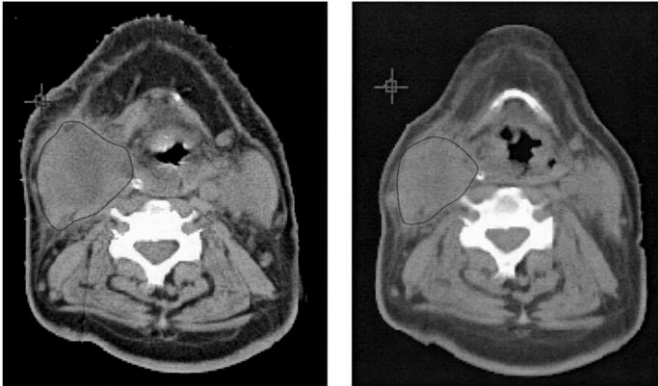
# Do you perform replanning in H&N patients?

- A. Never
- B. Sometimes (specific protocols)
- C. Always



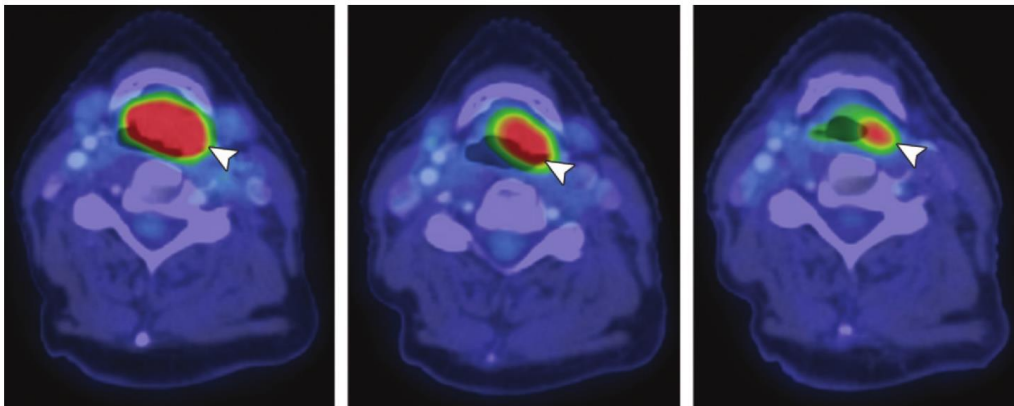


# Replanning H&N IMRT patients



Planning CT    After 3 weeks

Barker, J. L. *et al.* Quantification of volumetric and geometric changes occurring during fractionated radiotherapy for head-and-neck cancer using an integrated CT/linear accelerator system. *Int. J. Radiat. Oncol. Biol. Phys.* **59**, 960–970 (2004).



Planning  
PET-CT      11 fractions  
                 later      21 fractions  
                                 later

Bhatnagar, P., Subesinghe, M., Patel, C., Prestwich, R. & Scarsbrook, A. F. Functional imaging for radiation treatment planning, response assessment, and adaptive therapy in head and neck cancer. *Radiographics* **33**, 1909–29 (2013).

# Replanning H&N IMRT patients

- Causes of anatomy variations:
  - Tumor shrinkage
  - Weight loss (mucositis, reduced caloric intake)
  - Radiation induced anatomical changes (parotid glands)



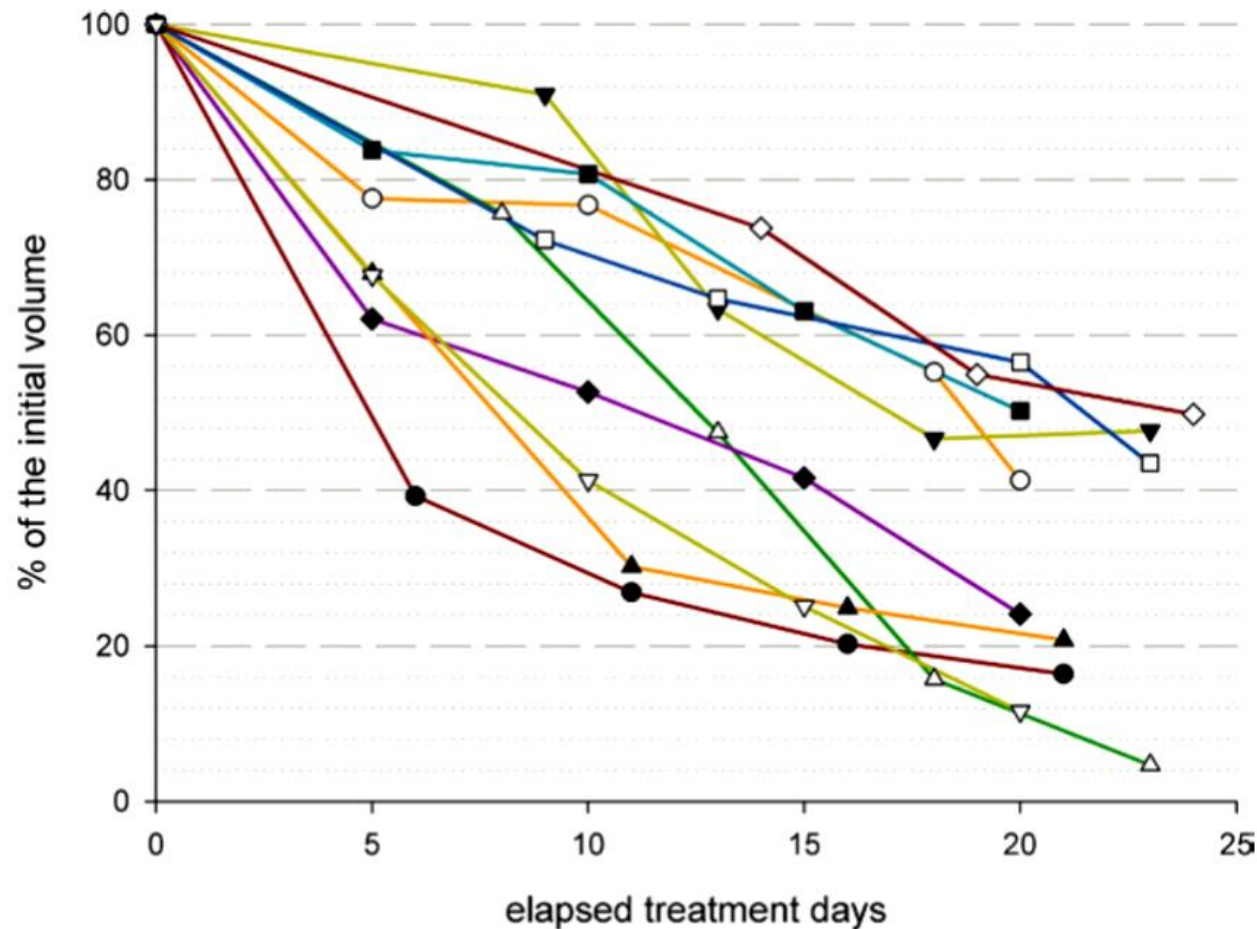
- Significant variations for dose to OAR (generally increased)
- Variations of target coverage



## Adaptive RT

*Castadot, P., Lee, J. a., Geets, X. & Grégoire, V. Adaptive Radiotherapy of Head and Neck Cancer. Semin. Radiat. Oncol. 20, 84–93 (2010).*

# Replanning H&N IMRT patients



**Figure 2** Volumetric changes in the primary tumor gross tumor volume (GTV) during treatment of pharyngolaryngeal tumors.

*Castadot, P., Lee, J. a., Geets, X. & Grégoire, V. Adaptive Radiotherapy of Head and Neck Cancer. Semin. Radiat. Oncol. 20, 84–93 (2010).*

Author	No. of Patients	Per-Treatment Imaging	Image Registration	Volume Analysis	Shape and Positional Analysis
Barker et al (2004) <sup>6</sup>	14	In-room CT-on-rail 3 times/wk; no iv contrast	Rigid	Reduction of: <ul style="list-style-type: none"> <li>● GTV: 1.8% per treatment day</li> <li>● PGs: 0.6%/treatment day</li> </ul>	<ul style="list-style-type: none"> <li>● GTV: COM displacement: 3.3 mm (asymmetric shrinkage)</li> <li>● PG: COM shift medially by 3.1 mm</li> </ul> NA
Geets et al (2007) <sup>50</sup>	10	CT scan at mean doses of 14, 25, 35, and 45 Gy; iv contrast	Rigid	After a mean dose of 45 Gy: <ul style="list-style-type: none"> <li>● GTV<sub>T</sub>: mean decrease of 65.5%</li> <li>● High dose CTV<sub>T</sub>: mean decrease of 50.9%</li> <li>● High dose PTV<sub>T</sub>: mean decrease of 47.9%</li> </ul>	NA
Han et al (2008) <sup>43</sup>	5	Daily helical MVCT	Rigid	At the end of treatment: PGs had decreased from 20.5 to 13.2 cm <sup>3</sup> , ie, an average decrease of 0.21 cm <sup>3</sup> /treatment day or 1.1%/treatment day	NA
Vasquez Osorio et al (2008) <sup>51</sup>	10	CT scan at 46 Gy; iv contrast	Deformable	Reduction after 46 Gy: <ul style="list-style-type: none"> <li>● GTV: 25 ± 15%</li> <li>● Homolat PG: 17 ± 7%</li> <li>● Heterolat PG: 5 ± 4%</li> <li>● Homolat SMG: 20 ± 10%</li> <li>● Heterolat SMG: 11 ± 7%</li> </ul>	After 46 Gy: <ul style="list-style-type: none"> <li>● Lateral and inferior regions of homolat PG: medial and posterior shift (3 mm)</li> <li>● Homolat SMG: medial, cranial, and posterior shift (4 mm)</li> </ul> NA
Hansen et al (2006) <sup>52</sup>	13	CT scan after a mean dose of 38 Gy	Rigid	Reduction: <ul style="list-style-type: none"> <li>● GTV: no change</li> <li>● Right PG: 15.6%</li> <li>● Left PG: 21.5%</li> </ul>	NA
Robar et al (2007) <sup>53</sup>	15	Weekly CT scans; no iv contrast	Rigid	Reduction of superficial regions of both PGs: 4.9%/wk	Superficial regions show medial translation of: left PGs: medial shift of 0.91 ± 0.9 mm/wk right PGs: medial shift of 0.78 ± 0.13 mm/wk
Castadot et al (2008)	10	CT scan at mean doses of 14, 25, 35, and 45 Gy; iv contrast	Deformable	Reduction of <ul style="list-style-type: none"> <li>● GTV<sub>T</sub>: 3.2%/treatment day</li> <li>● GTV<sub>N</sub>: 2.1%/treatment day</li> <li>● Homolateral PG: 0.9%/treatment day</li> <li>● Heterolat PG: 1.0%/treatment day</li> <li>● Low dose homolat CTV<sub>N</sub>: 0.5%/treatment day</li> <li>● low dose heterolat CTV<sub>N</sub>: 0.4%/treatment day</li> </ul>	After 5 treatment wks: <ul style="list-style-type: none"> <li>● Homolat PG: medial shift of 3.4 mm</li> <li>● GTV<sub>T</sub>: lateral shift of 1.3 mm</li> <li>● GTV<sub>N</sub>: medial shift of 0.9 mm</li> <li>● Low dose homolat CTV<sub>N</sub>: medial shift of 1.8 mm</li> </ul> No shift for the heterolat PG and heterolat low dose CTV <sub>N</sub> .

## Anatomical modifications

CT, computerized tomography; GTV, gross tumor volume; CTV, clinical target volume; PTV, planning target volume; PG, parotid gland; COM, center of mass; MV, mega-voltage; SMG, submandibular gland; homolat, homolateral; heterolat, heterolateral; CTV<sub>T</sub>, primary tumor CTV; PTV<sub>T</sub>, primary tumor PTV; CTV<sub>N</sub>, nodal CTV; GTV<sub>T</sub>, primary tumor GTV; GTV<sub>N</sub>, nodal GTV; NA, not applicable.

Castadot, P., Lee, J. a., Geets, X. & Grégoire, V. Adaptive Radiotherapy of Head and Neck Cancer. *Semin. Radiat. Oncol.* 20, 84–93 (2010).

Author	No. of Patients	Per-Treatment Imaging	Image Registration	Results	Comments
O'Daniel et al (2007) <sup>44</sup>	11	In-room CT-on-rail scans twice/wk; no iv contrast	Deformable	Cumulative PG dose greater than planned; median dose increase: 1 Gy No impact on tumor dose coverage	If no image-guidance for daily setup error correction, cumulative PG dose greater than planned; median dose increase: 3 Gy for homolat PG and 1 Gy for heterolat PG
Hansen et al (2006) <sup>52</sup>	13	CT scan after a mean dose of 38 Gy	Rigid	<ul style="list-style-type: none"> <li>High dose PTV <math>D_{99}</math>, <math>D_{95}</math>, <math>V_{93\%}</math> decreased by 12.1, 12.2 Gy, and 7%, respectively</li> <li>Low dose PTV <math>D_{99}</math>, <math>D_{95}</math>, <math>V_{93\%}</math> decreased by 12.6, 11.3 Gy, and 8.2%, respectively</li> <li>Right PG <math>V_{26Gy}</math> increased by 10.9%</li> <li>Mandible <math>V_{60Gy}</math> increased by 7.2%</li> </ul>	If replanning; significant improvement of: <ul style="list-style-type: none"> <li>Low and high dose PTVs <math>D_{99}</math>, <math>D_{95}</math> and <math>V_{93\%}</math></li> <li>Spinal cord <math>D_{max}</math>, <math>D_{1cc}</math></li> <li>Brainstem <math>D_{max}</math></li> <li>Right parotid PG <math>D_{mean}</math>, <math>D_{50}</math>, and <math>V_{26Gy}</math></li> <li>Mandible <math>D_{max}</math> and <math>V_{60Gy}</math></li> </ul>
Robar et al (2007) <sup>53</sup>	15	Weekly CT scan; no iv contrast	NA	<ul style="list-style-type: none"> <li>Left PG <math>D_{mean}</math> increased by <math>2.6 \pm 4.3\%</math>, <math>V_{26Gy}</math> increased by <math>3.5 \pm 5.2\%</math></li> <li>Right PG <math>D_{mean}</math> increased by <math>0.2 \pm 4.0\%</math>, <math>V_{26Gy}</math> increased by <math>0.3 \pm 4.7\%</math></li> </ul>	
Han et al (2008) <sup>43</sup>	5	Daily helical MVCT	Rigid	PG $D_{median}$ increased from 0.83 to 1.42 Gy with an average increase rate of 0.17 Gy/treatment day corresponding to an average increase of 2.2%/treatment day	Strong correlation between the volume and the median parotid dose during the treatment (correlation coefficient, $-0.95$ )
Lee et al (2008) <sup>56</sup>	10	Daily helical MVCT	Deformable	<ul style="list-style-type: none"> <li>PG daily <math>D_{mean}</math> differed from the planned dose by an average of 15%</li> <li>PG cumulative <math>D_{mean}</math>: planned: 29.7 Gy actual: 32.7 Gy (110% of planned dose)</li> </ul>	<ul style="list-style-type: none"> <li>Changes in the distance between the COMs of the left and right PGs correlated strongly with the mean parotid dose changes (<math>R^2 = 0.88</math>)</li> <li>Correlation between the relative weight loss and higher parotid mean doses (<math>R^2 = 0.58</math>)</li> </ul>
Castadot et al (2009)	10	CT scan at mean doses of 14, 25, 35, and 45 Gy; iv contrast	Deformable	<ul style="list-style-type: none"> <li>PGs <math>D_{mean}</math>: planned: 17.9 Gy, actual 18.7 Gy</li> <li>SMGs <math>D_{mean}</math>: planned 51.9 Gy, actual: 52.8 Gy</li> <li>OC <math>D_{mean}</math>: planned 26.0 Gy, actual 26.7 Gy</li> <li>SC <math>D_2</math>: planned 40.1 Gy, actual: 41.0 Gy</li> <li>Skin <math>V_{60}</math>: planned 17.2 Gy, actual 18.3 Gy</li> <li>No difference in PTV or CTV coverage</li> </ul>	

## Dosimetric modifications

OC, oral cavity; SC, spinal cord;  $D_x$ , dose to x% of the volume;  $D_{max}$ , maximum dose;  $D_{1cc}$ , dose to 1 cc.;  $D_{mean}$ , mean dose;  $D_{median}$ , dose to 50% of the volume;  $V_x$ , volume receiving a dose of x Gy or x% of the prescribed dose.

Castadot, P., Lee, J. a., Geets, X. & Grégoire, V. Adaptive Radiotherapy of Head and Neck Cancer. *Semin. Radiat. Oncol.* 20, 84–93 (2010).

# Patient monitoring: challenges for replanning

- **Single institutions** papers
- Average number of patients **11.1!**
- **Different imaging** equipments
  - (2 CT on rail, 2 MV CBCT, 7 Kv CT)
- **Different registration** techniques
  - (8 rigid, 2 deformable, 1 NA)
- Completely **different timings** for imaging acquisition!
  - (from one acquisition at a given dose level up to daily CBCT)

# Patient monitoring: challenges for replanning

- **Take home messages:**
- **Do replanning**
- At least once during the treatment
- Most important changes occur after before 2<sup>nd</sup>, 3<sup>rd</sup> treatment week (20 – 30 Gy delivered dose)
- Consider monitoring weight loss or additive risks (mucositis, chemo, absence of feeding tube)

**Good work!!!**







# On the Pareto Front

Advanced Treatment Planning Course  
23-27 September 2018 – Athens, Greece

Markus Stock

# What is the pareto principle



- The **Pareto principle** (also known as the **80–20 rule**) states that, for many events, roughly 80% of the effects come from 20% of the causes.
- named after Italian economist **Vilfredo Pareto** - showed that approximately 80% of the land in Italy was owned by 20% of the population; Pareto developed the principle by observing that 20% of the peapods in his garden contained 80% of the peas
- Microsoft noted that by fixing the top 20% of the most-reported bugs, 80% of the related errors and crashes in a given system would be eliminated
- **Pareto optimality** - state of allocation of **resources** in which it is **impossible** to make any **one individual better without** making **at least one individual worse**.

# **'Planning problem': trade off coverage / sparing**



In every treatment plan:

- conflicting OARs ..... how to prioritize / weight them ?
- dose fall off

Ultimate goal of treatment plan:

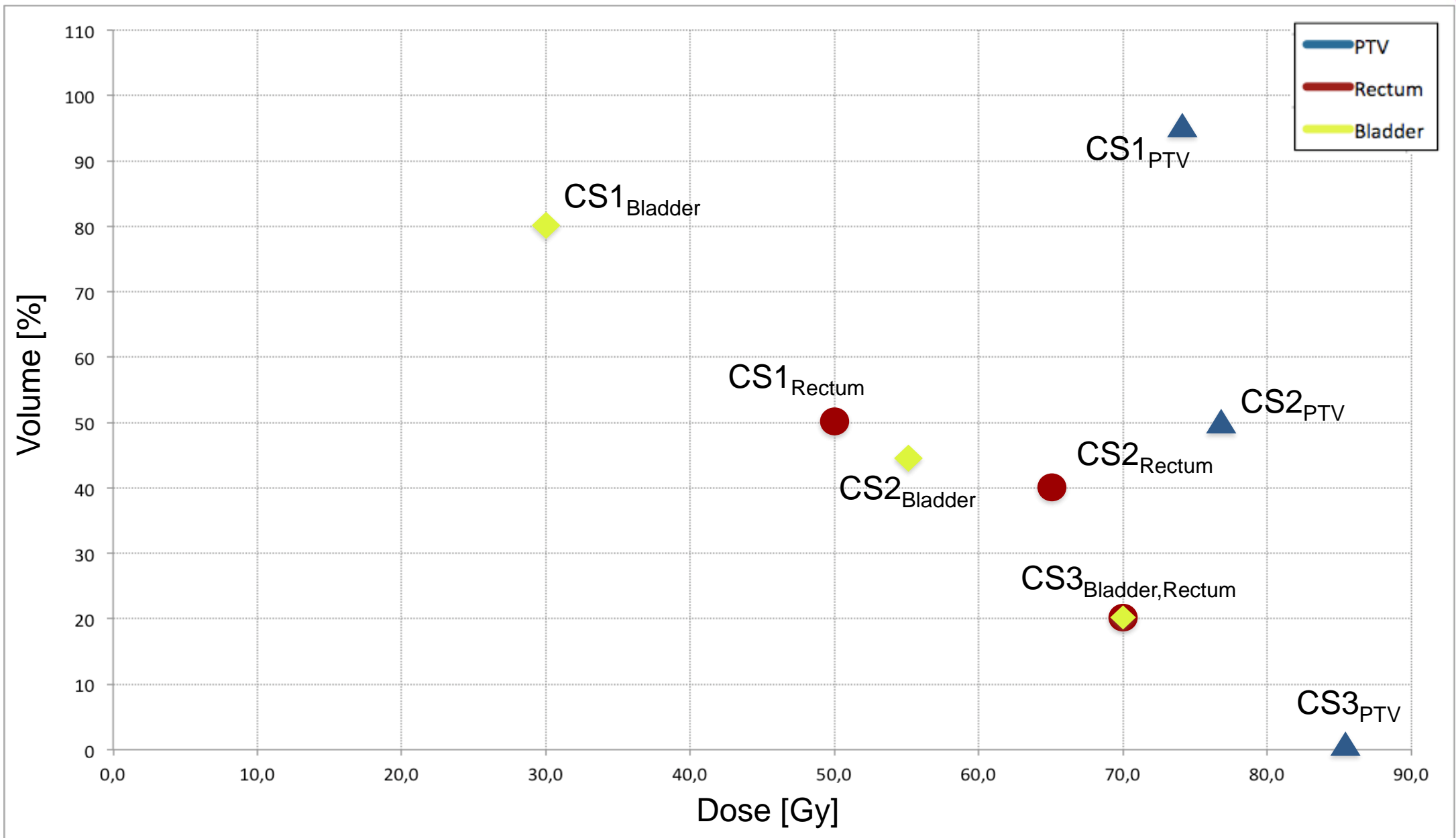
- 'optimal' dose coverage
- optimal sparing: as low as possible

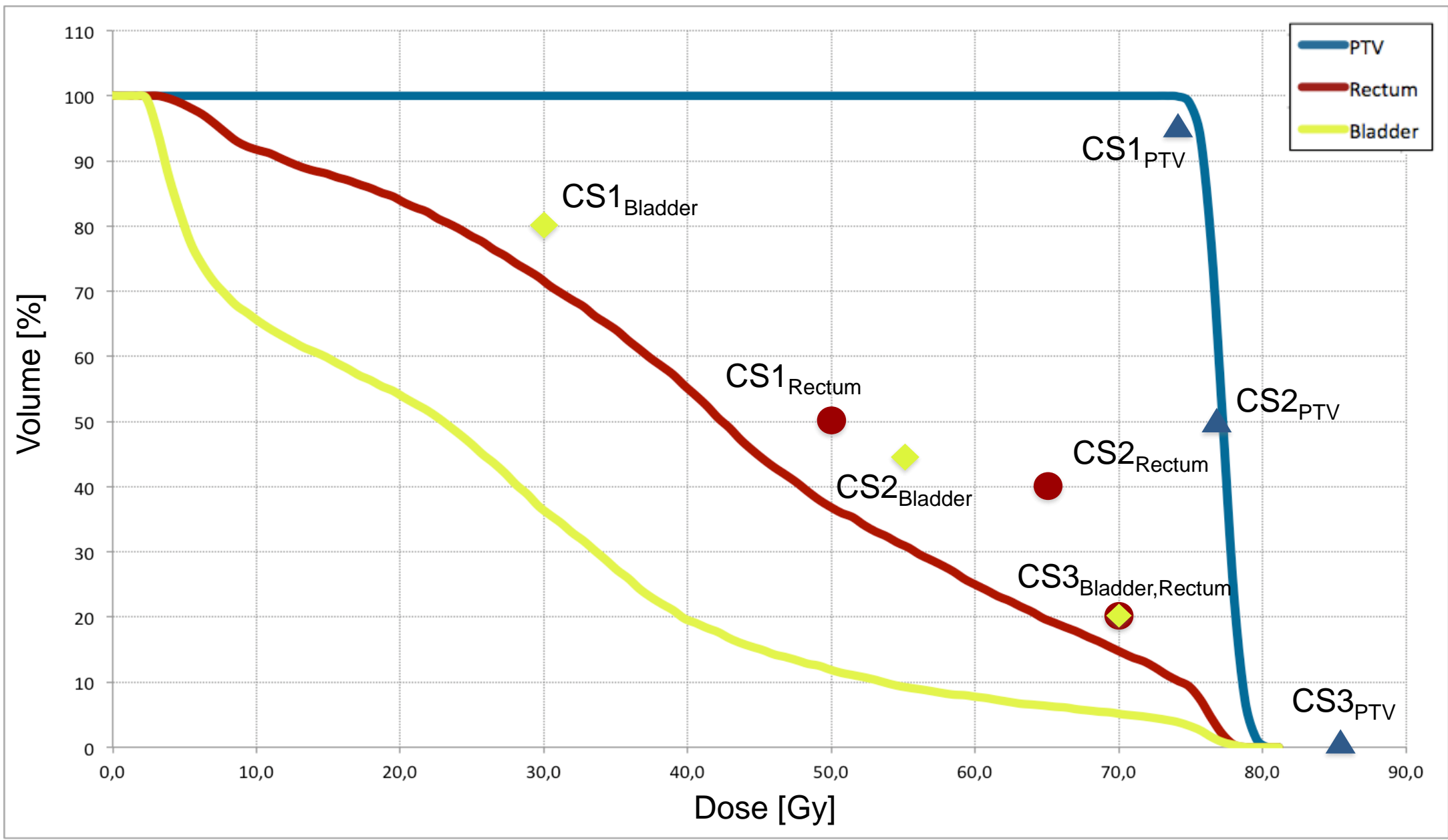
# Planning problem in manual planning

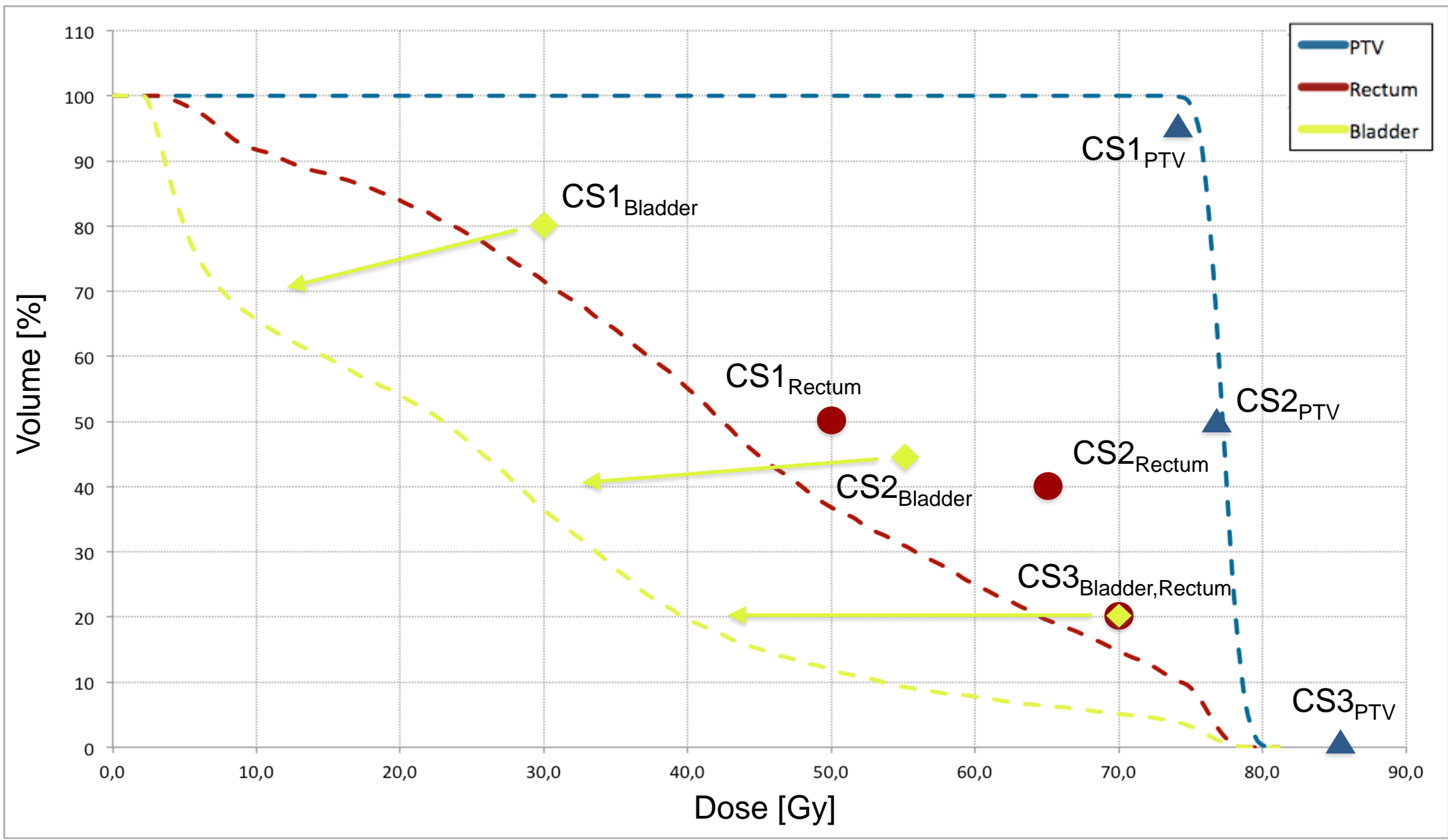


- It's difficult to make a good estimation of what is achievable in solving the planning problem
- when manually optimizing IMRT plans, one is never sure about the exact quality of the final plan ..... How far away from the 'best' plan,
- and what is defined as the best plan?

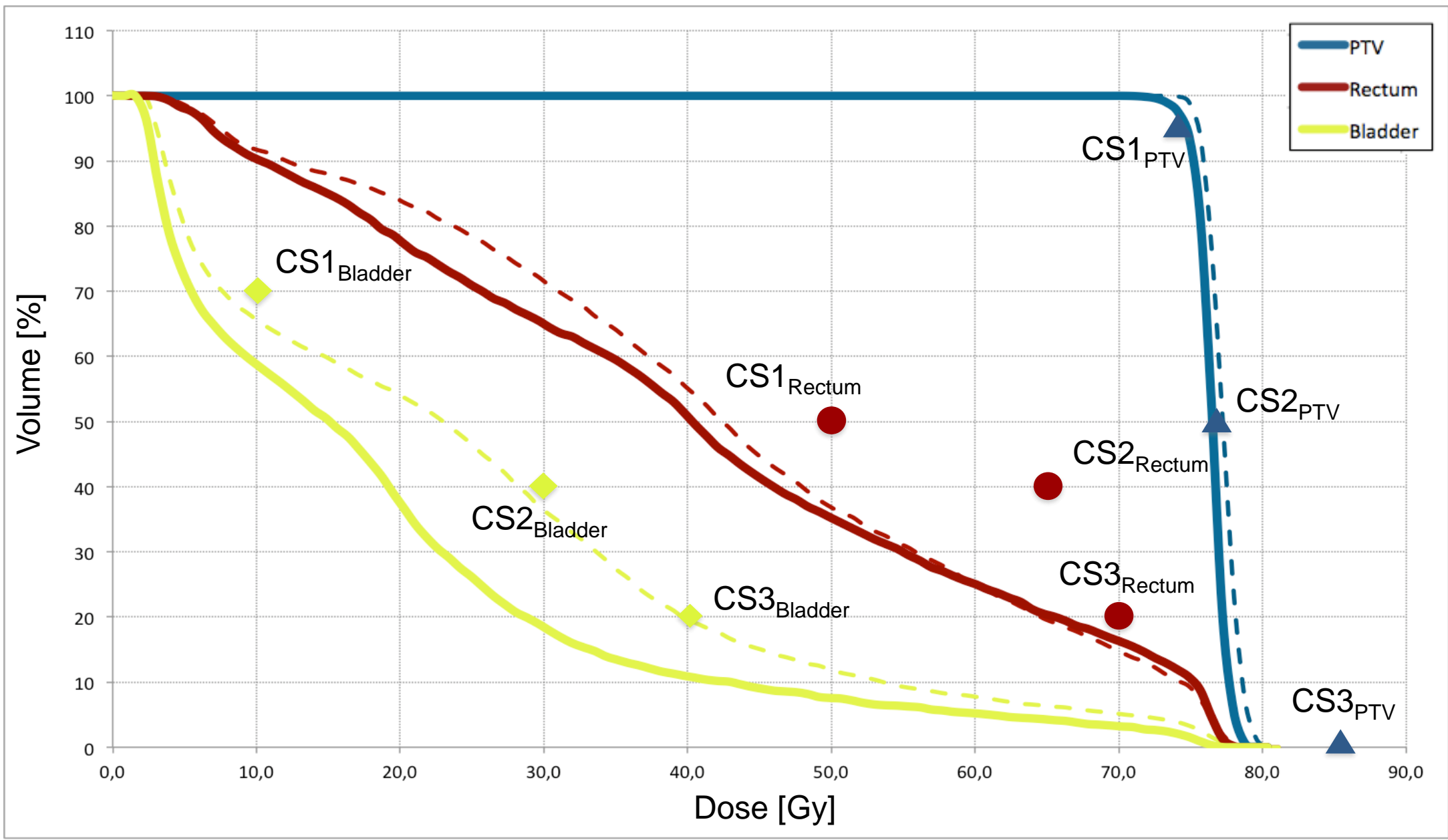
# The „manual“ way to get there

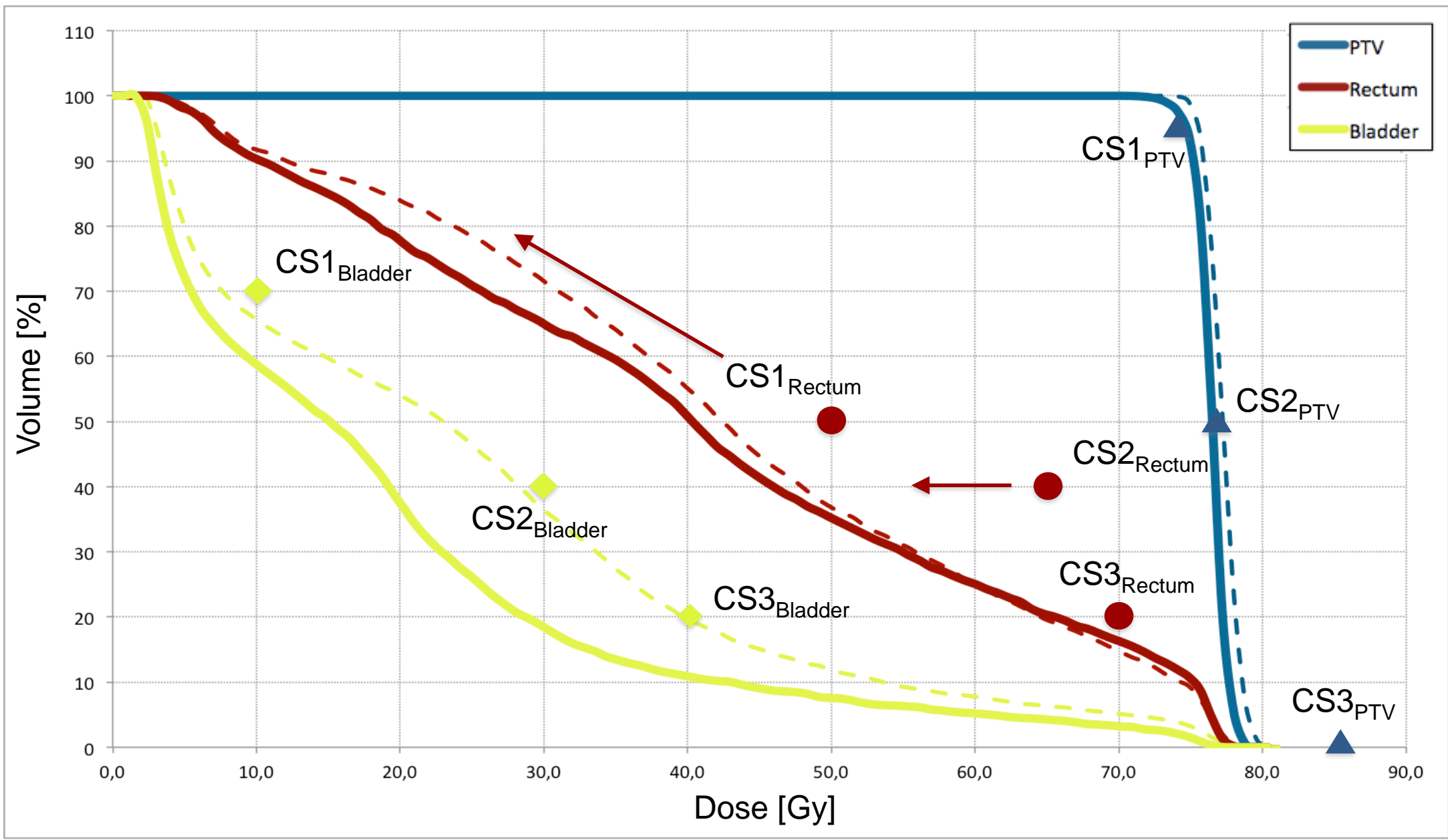


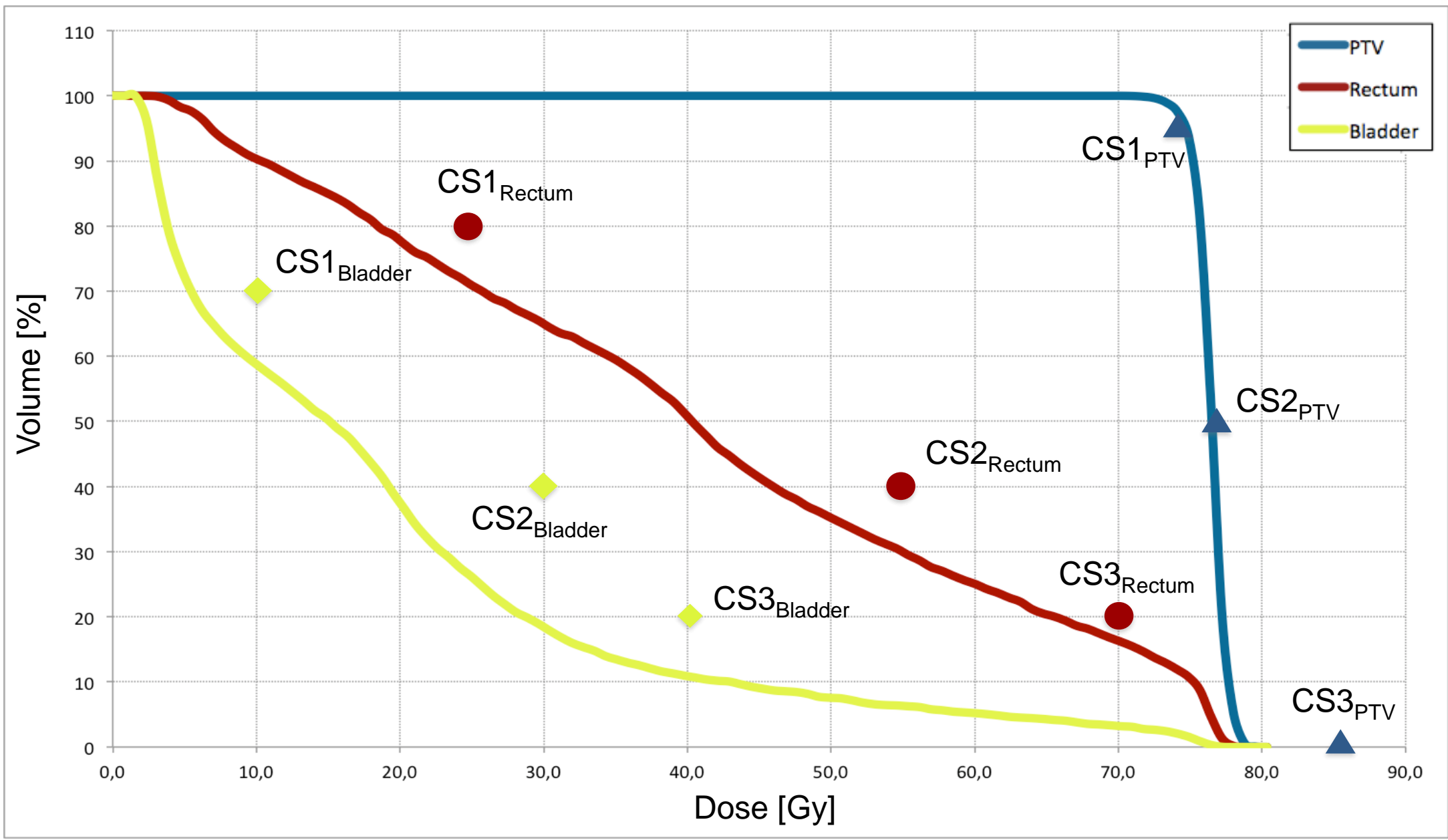


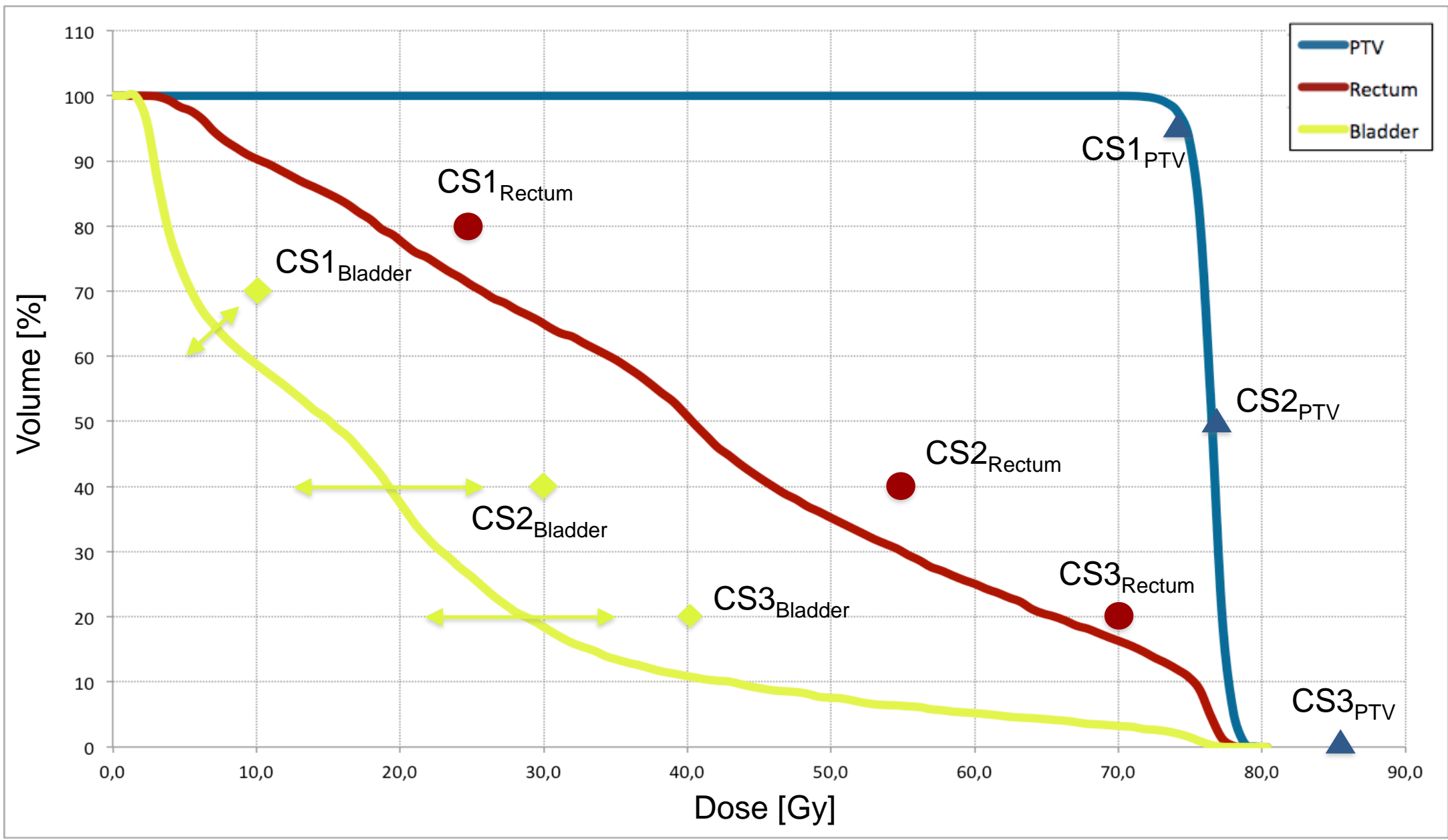


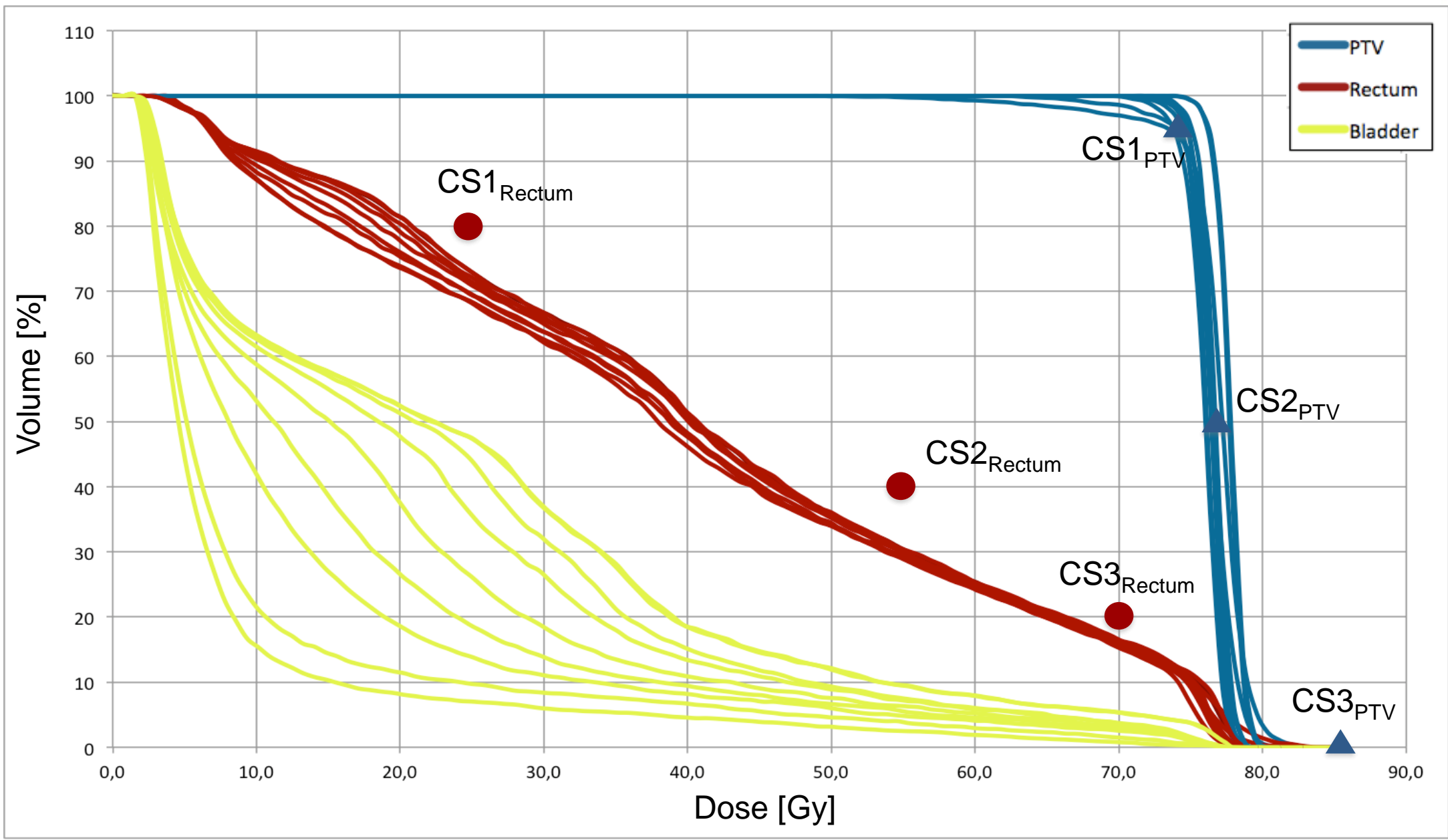












# Sweeping dose

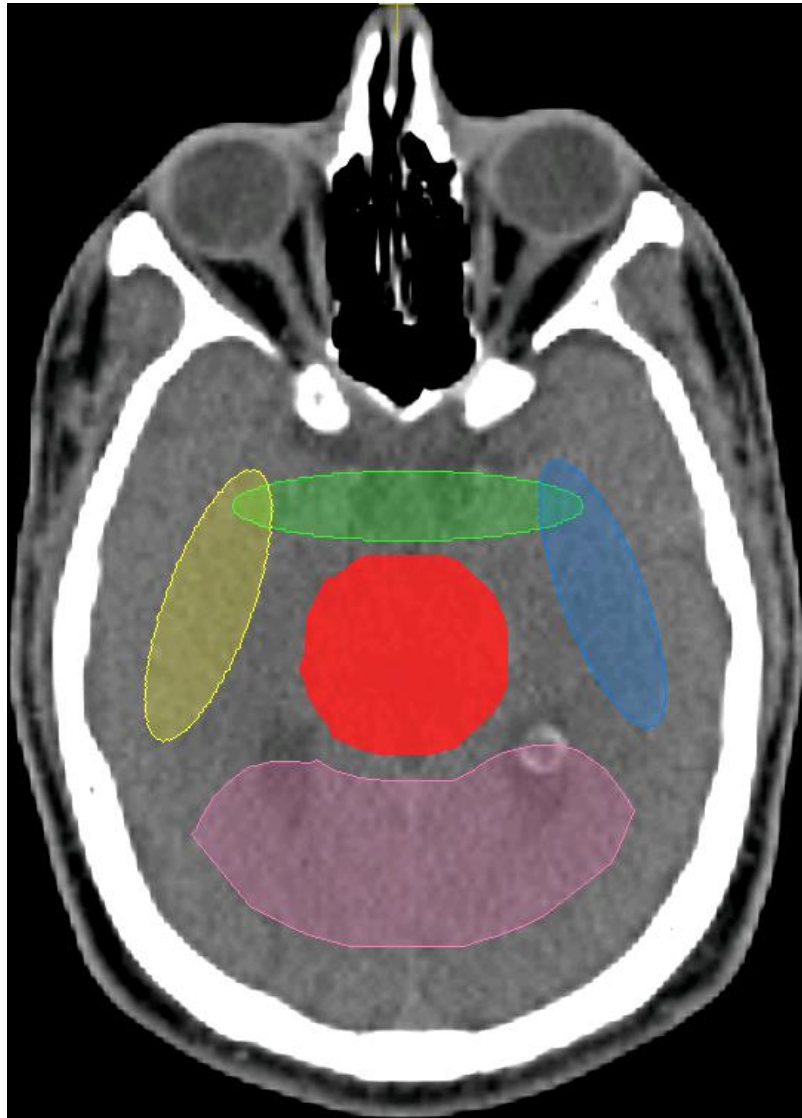
- Applying IMRT is nothing more than sweeping dose away from places you put constraints on .....
- So your IMRT prescription is nothing more than a
- In which you tell the optimizer what to spare



# Sweeping the dose : dose *shaping*



# Sweeping dose theoretical example



PTV_pareto
OAR_1
OAR_2
OAR_3
OAR_4

Prescription:

PTV = 50 Gy

OAR1-4 = minimize mean dose



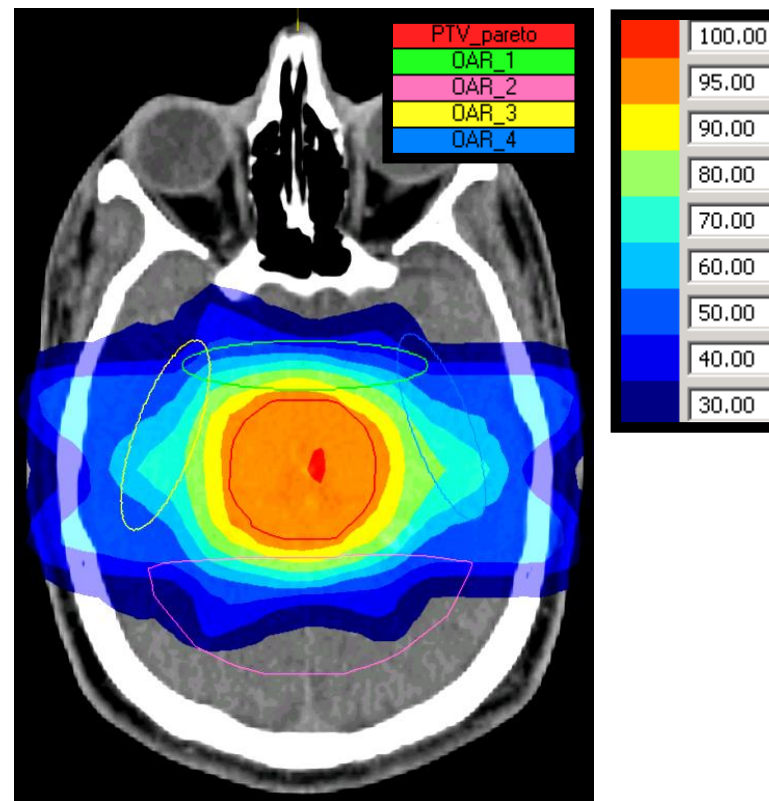
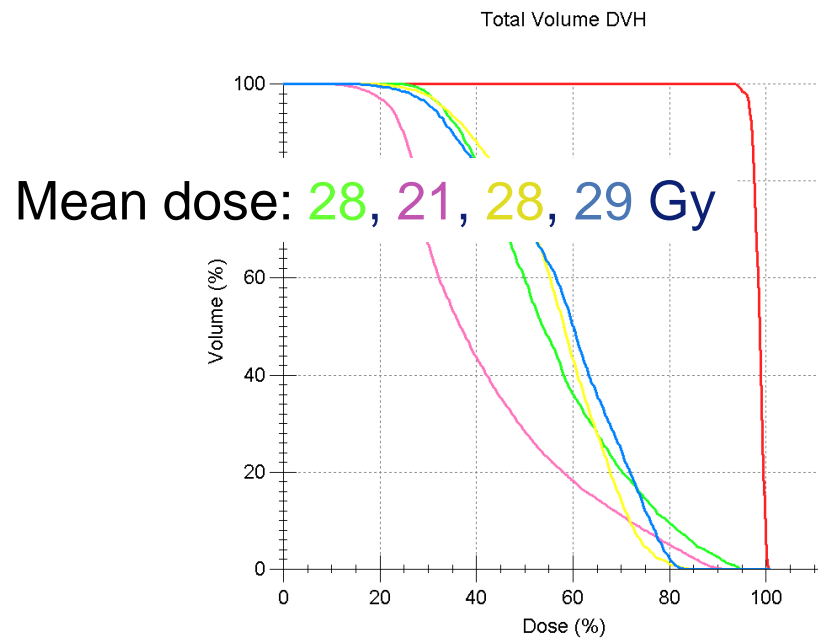


# Sweeping dose theoretical example



Option 1:  
Conformal dose around PTV, no constraints on individual OAR's

'Completely random' shape of dose distribution in surrounding OAR's

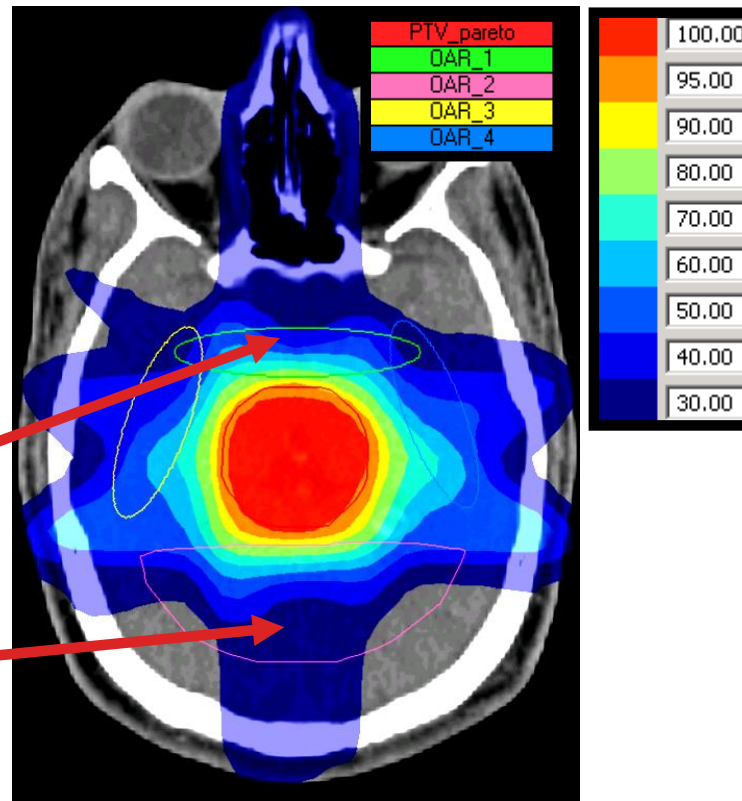


# Sweeping dose theoretical example

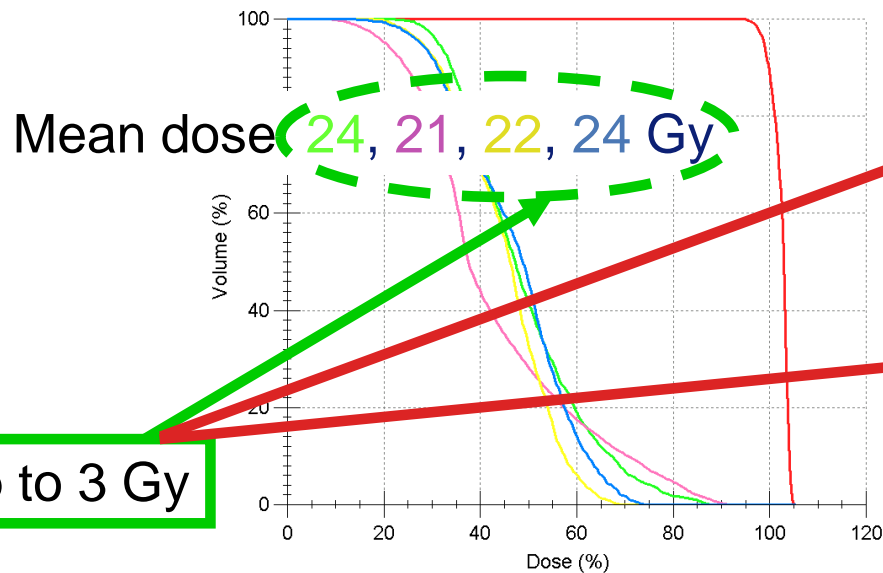
Option 2:

Conformal dose around PTV, equally weighted constraints on all OAR's (mean dose = 25 Gy)

Equally weighted in terms of input, does not result in equally distributed doses...



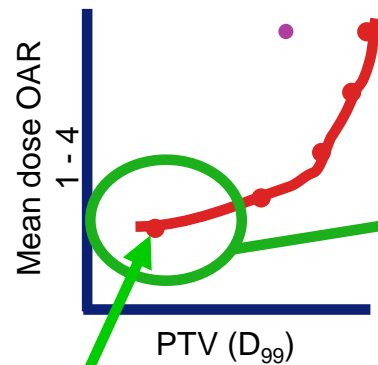
Total Volume DVH



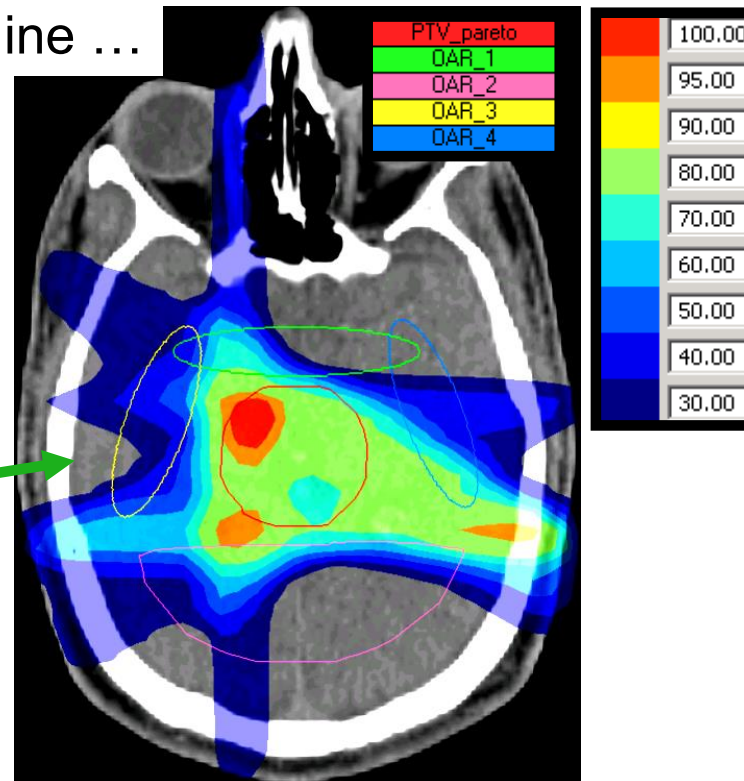
# Sweeping dose theoretical example

Option 3:  
Conformal dose around PTV, equally weighted constraints on all OAR's (mean dose = 20 Gy)

So, we obviously went too far along the line ...



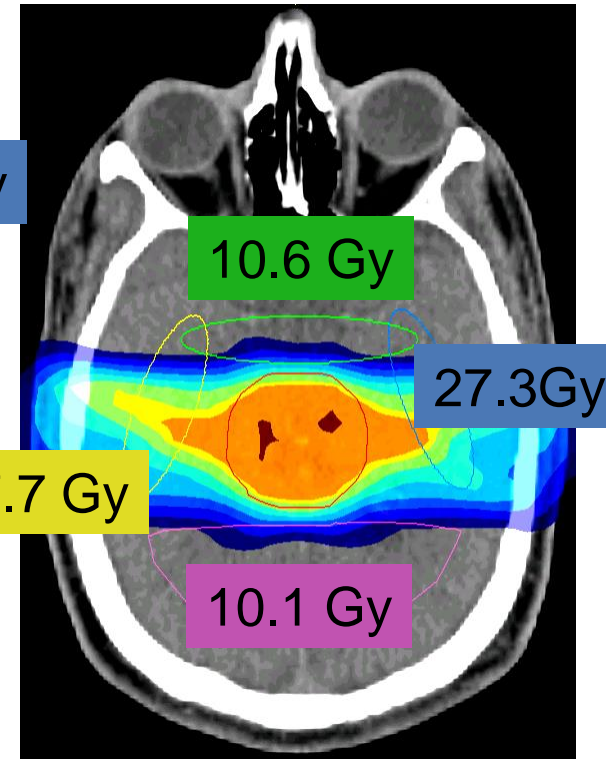
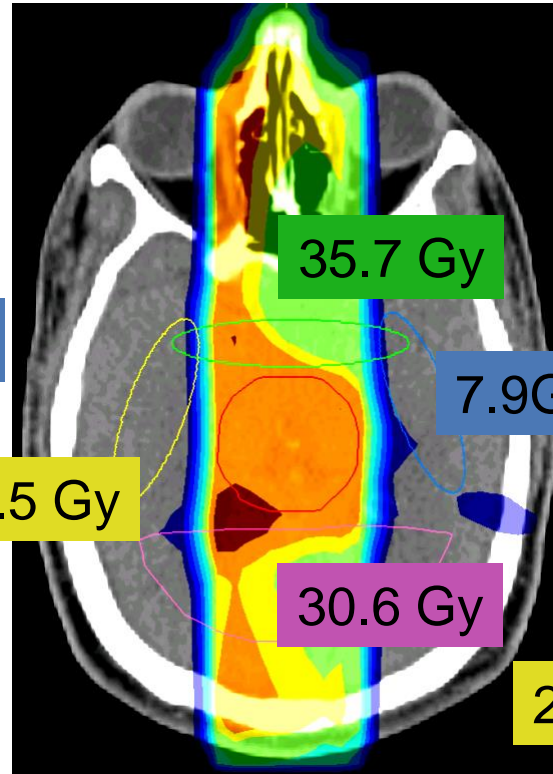
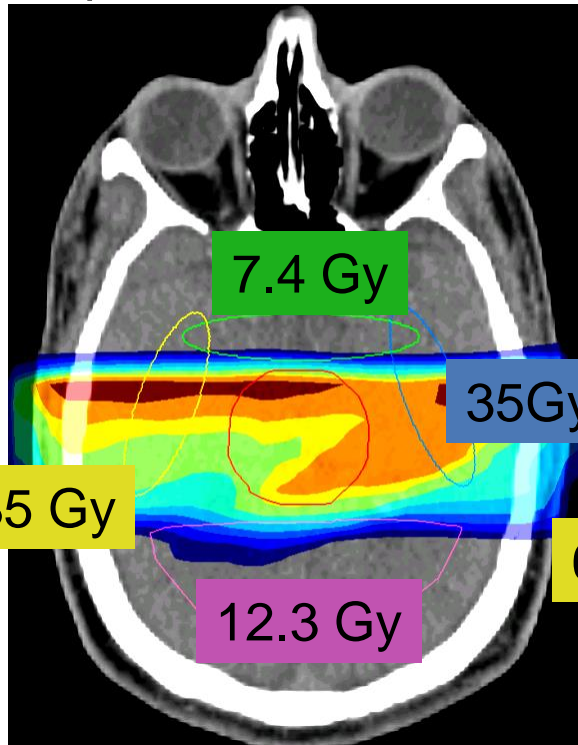
Pareto optimal plan? Sure!  
Optimal? No!



# Sweeping dose theoretical example, many options ...



Option 4,5,6, ..... :

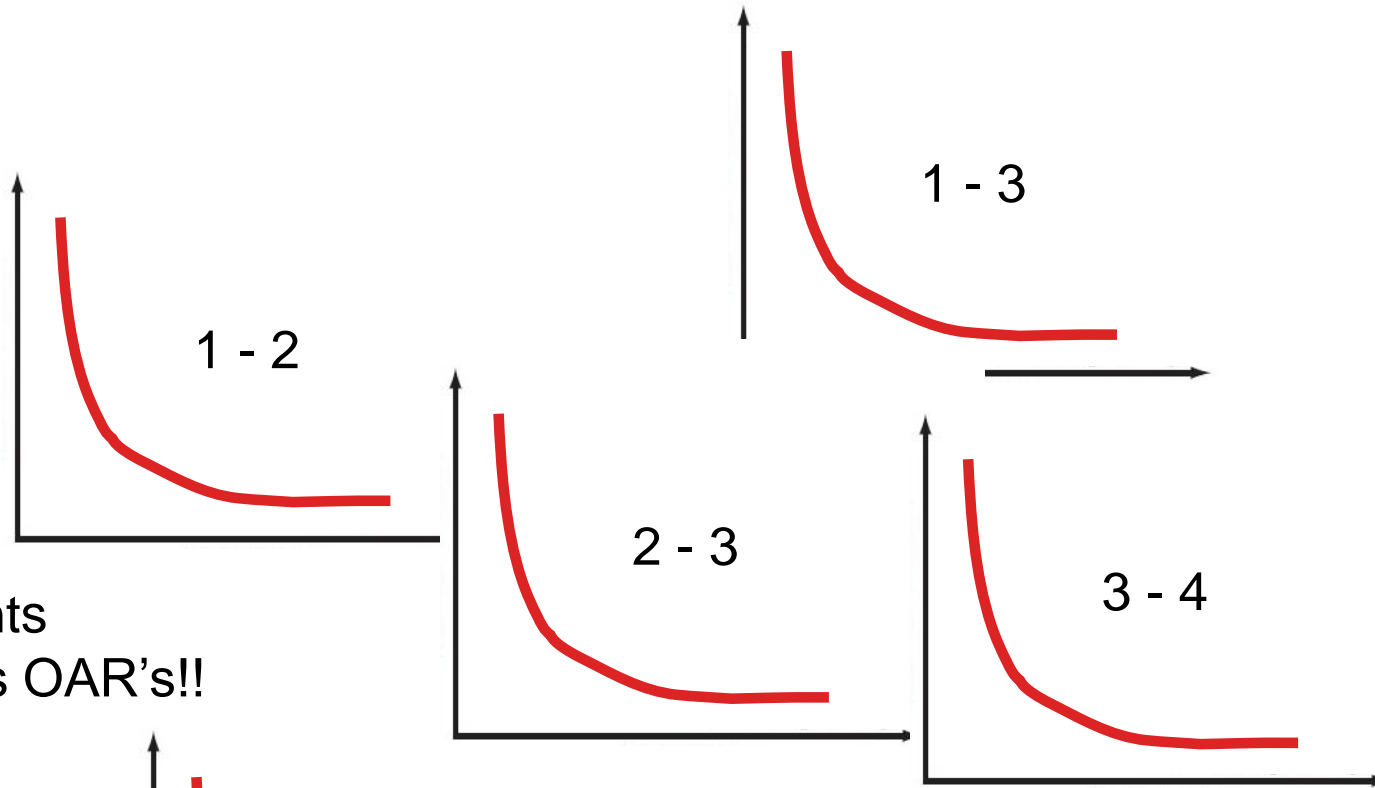
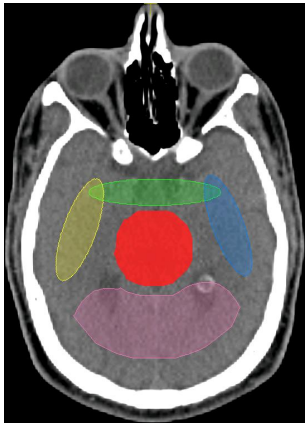


Infinite number of solutions,  
and many hours of planning work later 😊

# Pareto front versus Pareto surface

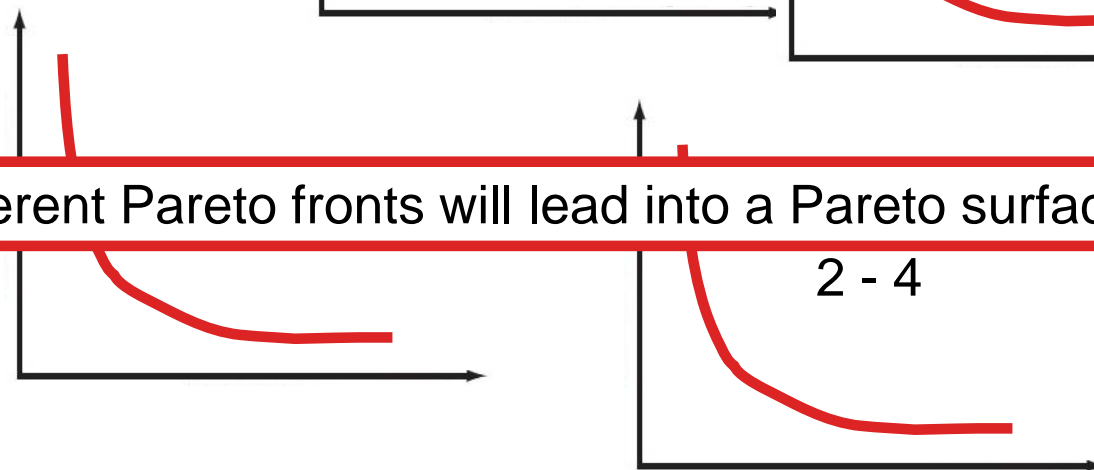


OAR's 1,2,3,4



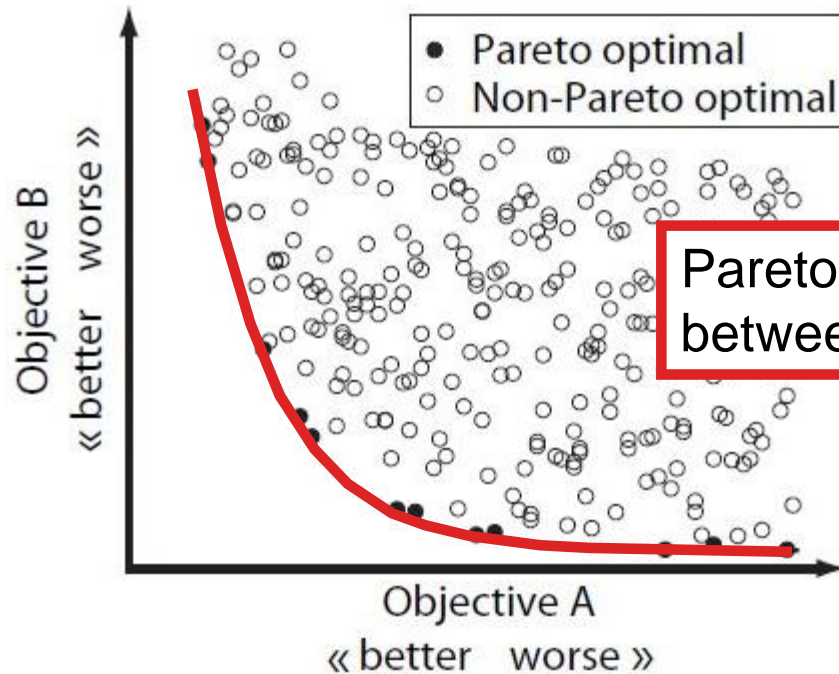
Another set of fronts  
With Target versus OAR's!!

Combination of different Pareto fronts will lead into a Pareto surface



# Pareto front

R. O. Ottosson et al.



Pareto front = line of Pareto optimal points between two contradicting objectives

For two mutually contradicting objectives an endless number of solution exists

The solutions where one of the objectives can not be improved without deteriorating the other are *Pareto optimal*

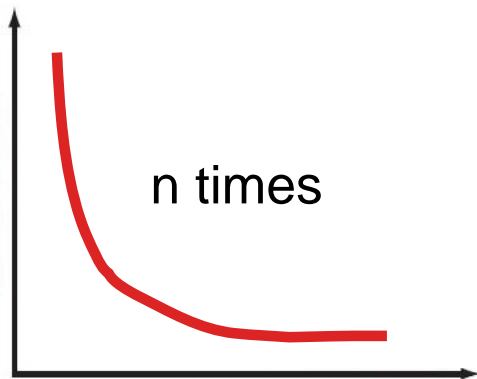
All Pareto optimal solutions lie on the Pareto front

# Pareto front versus Pareto surface

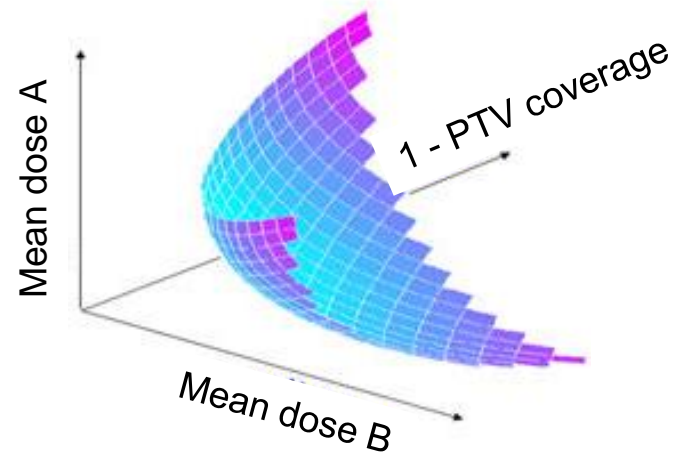


Pareto surface is a multi dimensional non linear 'landscape' of Pareto optimal solutions

We need tools to *visualize* the landscape and *navigate*



Pareto front



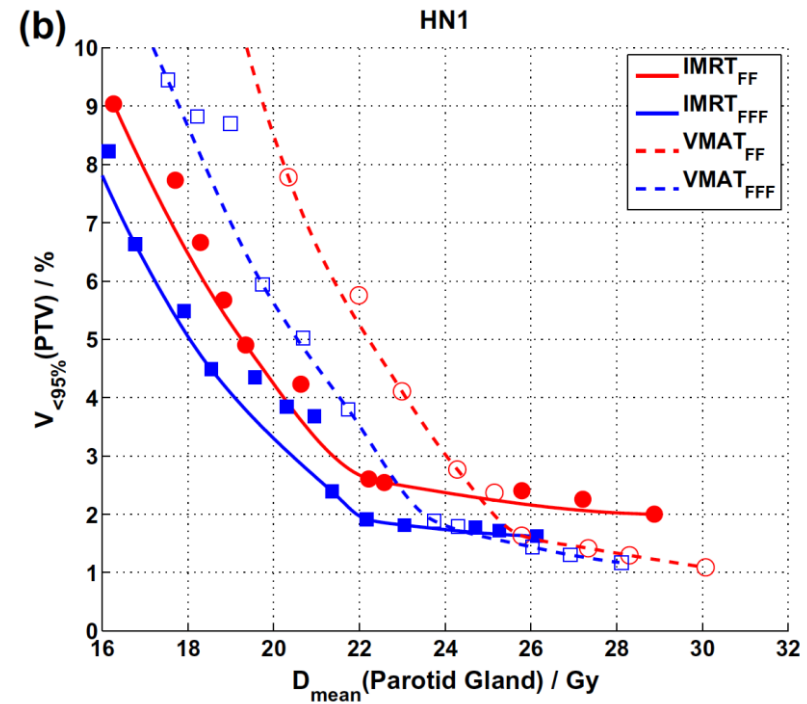
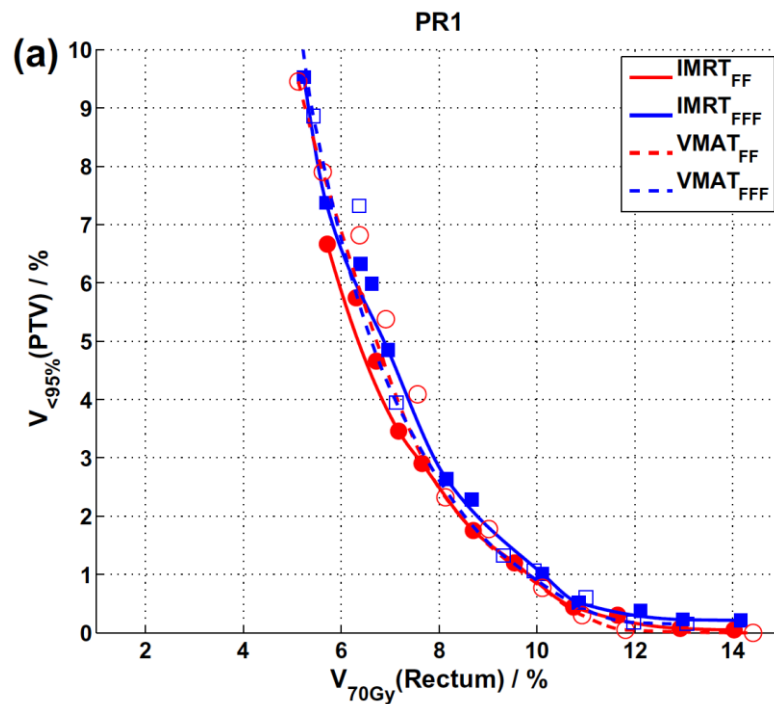
Pareto surface 3 dimensions

# Investigate difference between VMAT vs IMRT & FFF vs FF beams



- Prostate and Head and neck

Lechner et al Rad Onc 2013



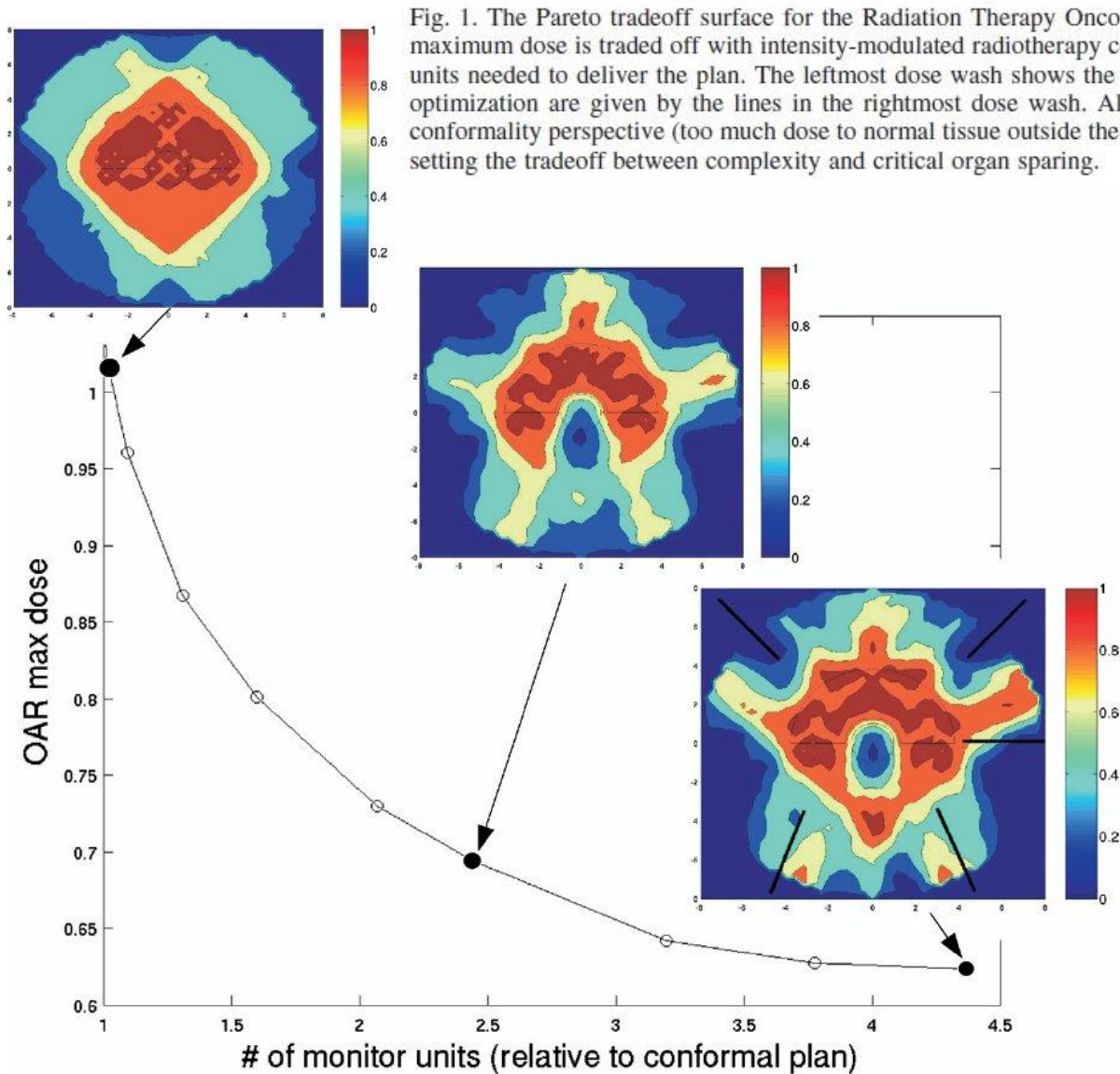
- FFF needed more MU/segments for larger targets to compensate for inhomogeneous dose distribution in case of homogenous prescription
- VMAT inferior quality to IMRT due to single arc



# Plan quality versus treatment delivery time

Tradeoff between plan quality and MU number in IMRT • D. CRAFT *et al.*

1599



# Plan quality versus treatment delivery time

Tradeoff between plan quality and MU number in IMRT • D. CRAFT *et al.*

1601

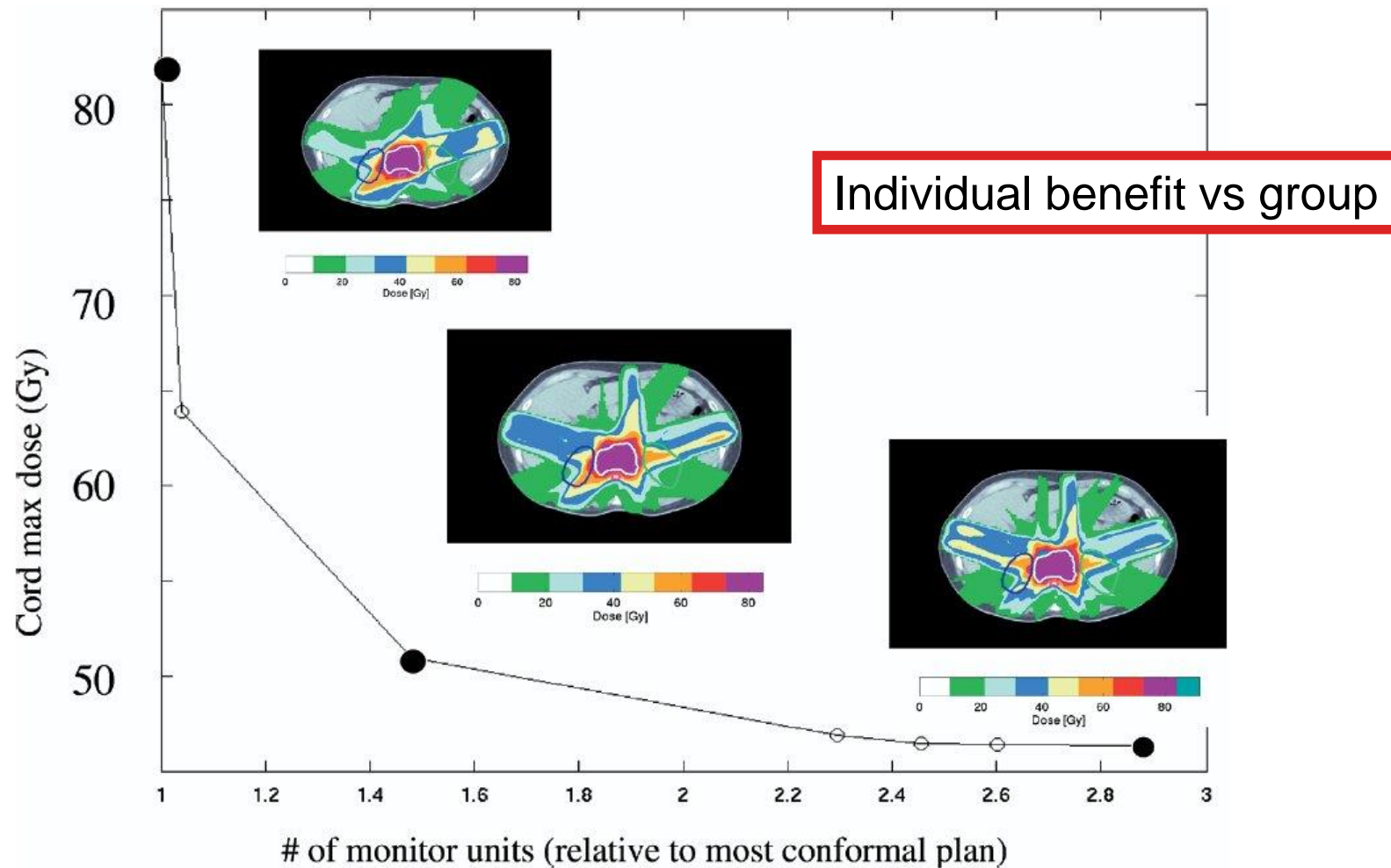


Fig. 3. The tradeoff between spinal cord sparing and intensity-modulated radiotherapy complexity. Dose contours for three points on the Pareto surface show that added complexity is needed to avoid the spinal cord. The clinical target volume is contoured in white.

# Different plan optimization approaches



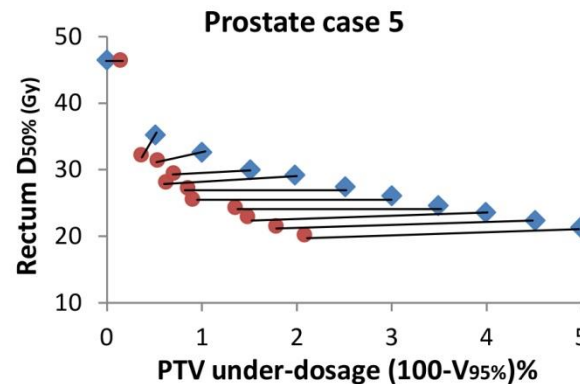
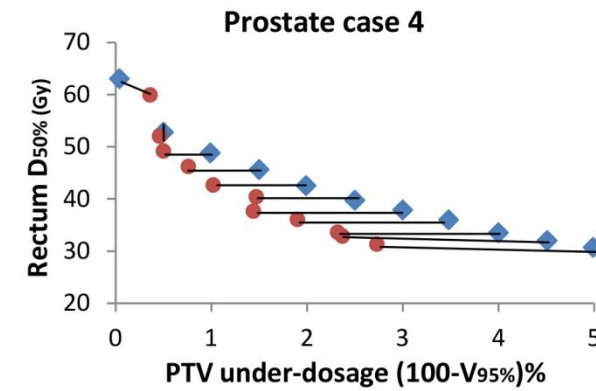
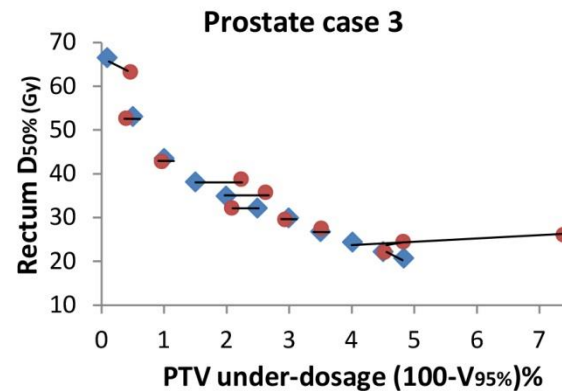
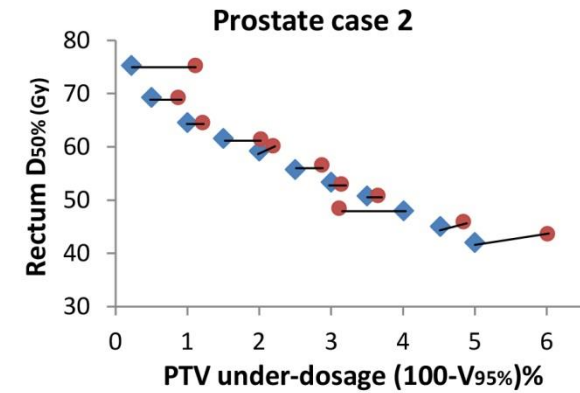
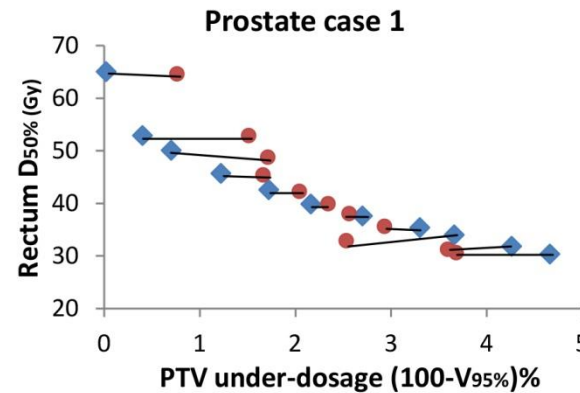
- Fluence map optimization (FMO)
  - Linear relationship between fluence and dose
  - Less computational effort
  - Still leaf-sequencing needs to be done either by optimizing MU or aperture
- Direct machine parameter optimization (DMPO)
  - leaf positions and segment weights as variables during optimization
  - More difficult to solve this problem as more physical constraints exist
  - Uses simulated annealing, column generation, gradient-based methods or genetic algorithms or heuristic methods
- For IMPT FMO is used
- Problem is to translate objectives and constraints with non-clinical meaningful weights into objective function → plan quality still depends on time commitment and experience of planner → multi criteria optimization (weight factors avoided)

# Limitations of FMO approach

Difference between navigated and delivered plans?

e.g. 5 prostate patients

improvement was achieved partly by compromising other parameters, such as increasing doses to other OARs or by creating small “hotspots”



- ◆ Pareto plans
- Deliverable plans

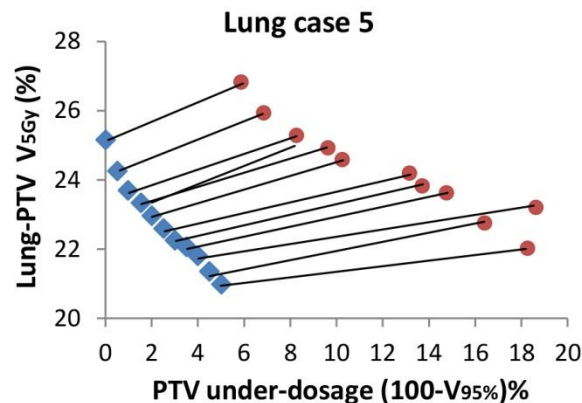
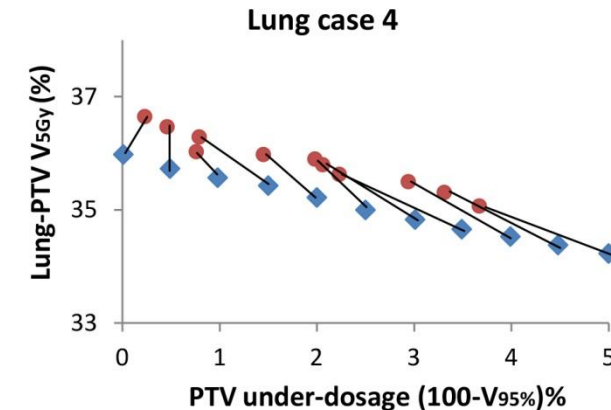
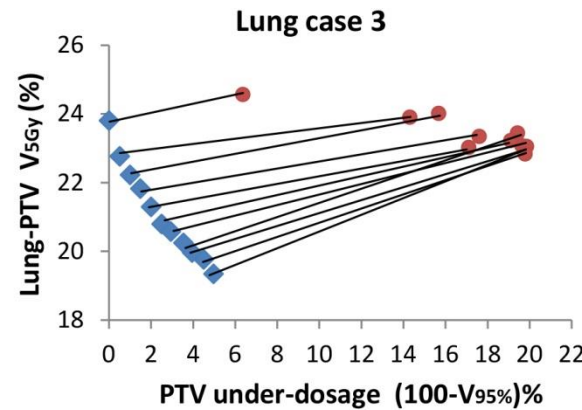
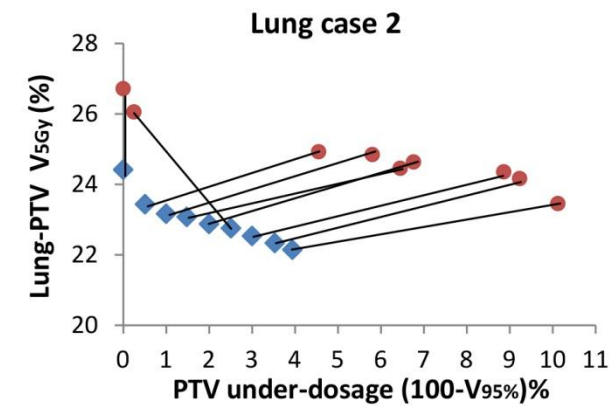
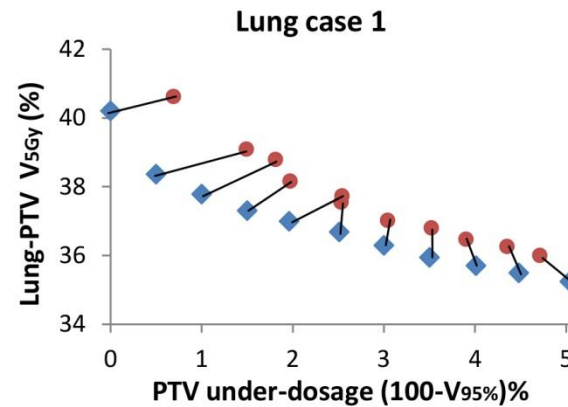
# Limitations of this approach

e.g. 5 lung patients

Deliverable plans systematically worse than pareto plans

fluence-based treatment plans does not take into account the effect of lateral electron transport in the presence of heterogeneities

Small PTVs provided bigger differences



- ◆ Pareto plans
- Deliverable plans

# MCO - What to use it else for?

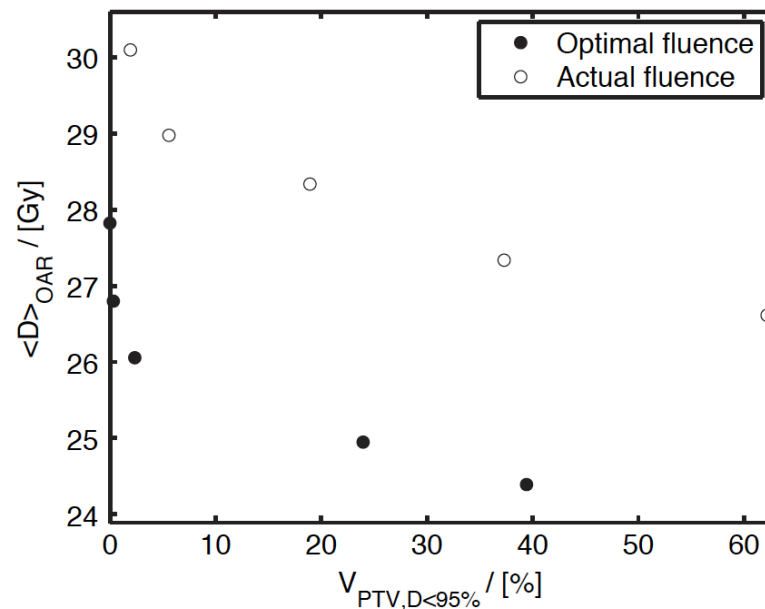


- Can be used to compare techniques and approaches
- In this example  $V_{70\text{Gy}}$  for rectum vs  $V_{95\%}$  for PTV for prostate

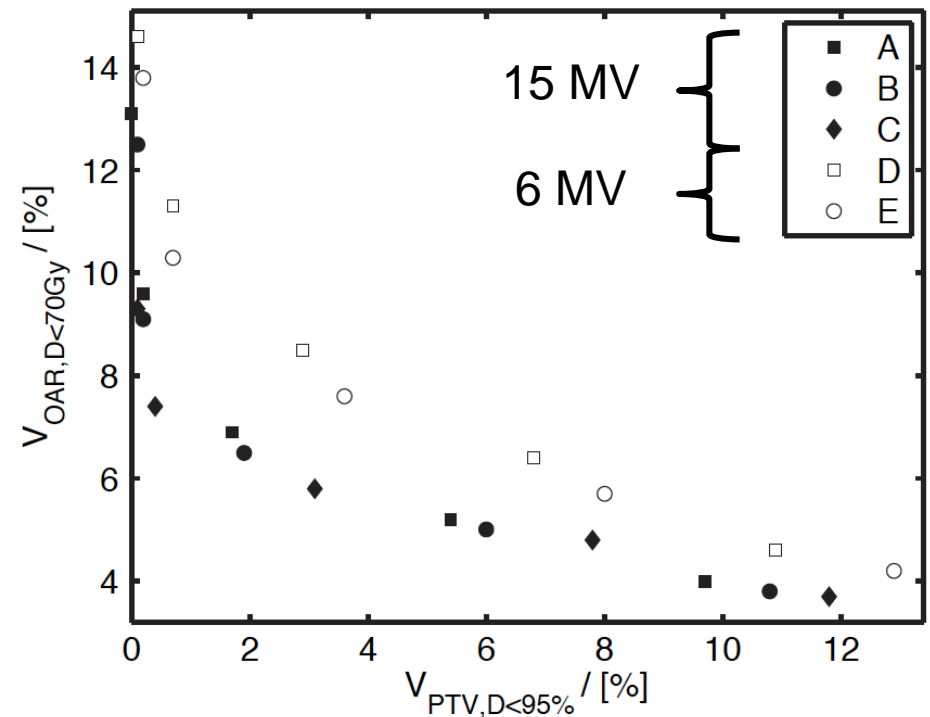
Set	Photon beam energy (MV)	Gantry angles (°)
A	15	0, 45, 115, 285, 315
B	15	45, 115, 180, 285, 315
C	15	36, 103, 180, 257, 324
D	6	0, 45, 115, 285, 315
E	6	45, 115, 180, 285, 315

- What you see is not what you get!

Head and neck case with parotid gland  $D_{\text{mean}}$  vs  $V_{95\%}$  for PTV



Ottosson et al Acta Oncol 2009



# Pareto front navigation in multi-criteria optimization?



To be able to navigate through the landscape we need library of plans  
“as fine as possible” resolution of the landscape (= many plans)

All ‘corner’ plans should be part of the library with enough data points  
along  
the Pareto surface (so among all individual Pareto fronts),  
so that any interpolated plan should be as close as possible to an  
already calculated plan

Pareto front navigation works fine for fluence optimization  
as long as the landscape is defined with enough detail

# How to build a library of plans?



Radiotherapy and Oncology 85 (2007) 292–298  
www.thegreenjournal.com

## *Treatment planning*

A new concept for interactive radiotherapy planning  
with multicriteria optimization: First clinical evaluation<sup>☆</sup>

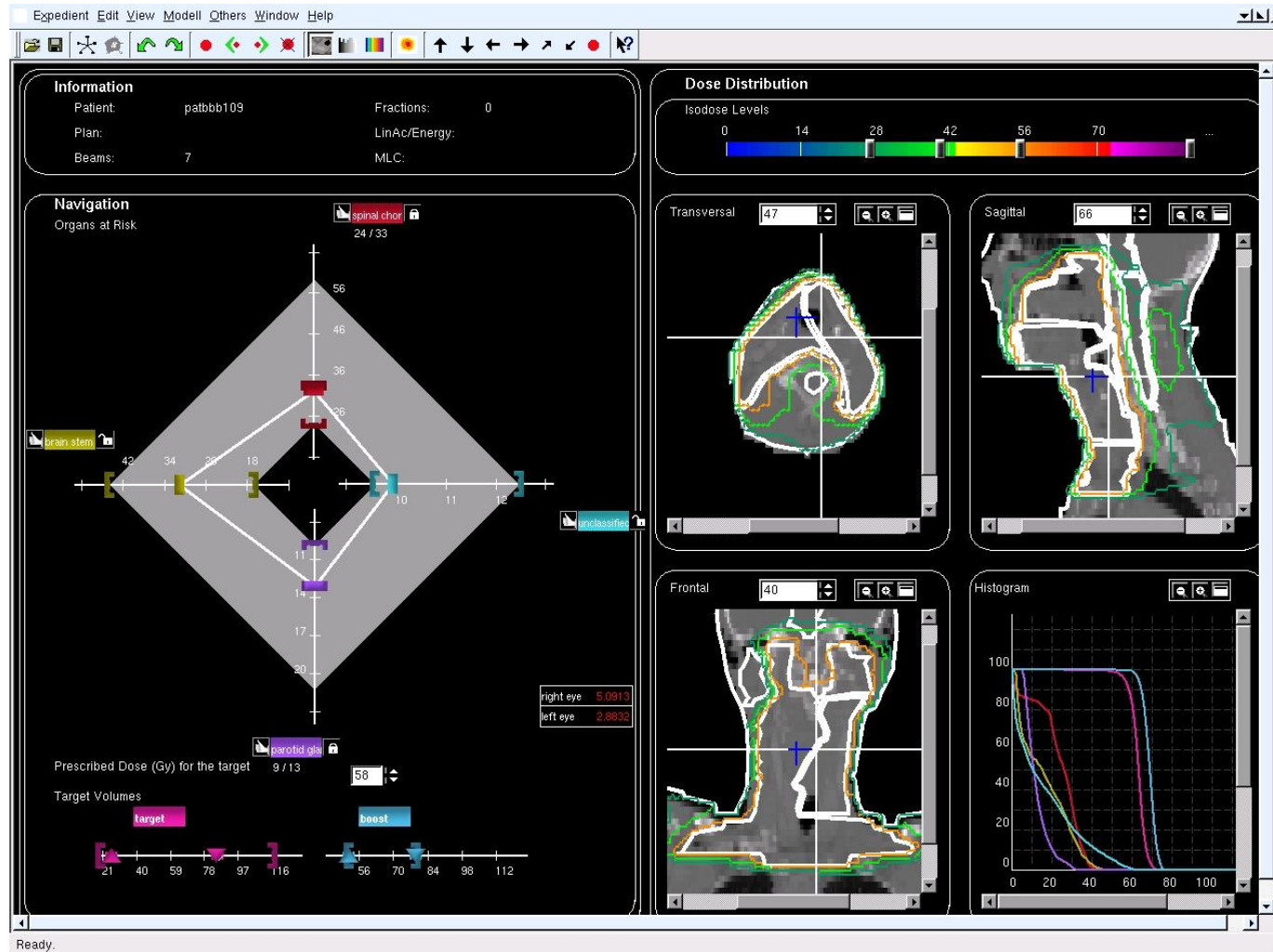
Christian Thieke<sup>a,b,\*</sup>, Karl-Heinz Küfer<sup>c</sup>, Michael Monz<sup>c</sup>, Alexander Scherrer<sup>c</sup>,  
Fernando Alonso<sup>c</sup>, Uwe Oelfke<sup>d</sup>, Peter E. Huber<sup>a,b</sup>, Jürgen Debus<sup>b</sup>, Thomas Bortfeld<sup>e</sup>

<sup>a</sup>Department of Radiation Oncology, Deutsches Krebsforschungszentrum, Heidelberg, Germany, <sup>b</sup>Department of Radiooncology and Radiation Therapy, University Clinic, Heidelberg, Germany, <sup>c</sup>Department of Optimization, Fraunhofer-Institute for Industrial Mathematics, Kaiserslautern, Germany, <sup>d</sup>Department of Medical Physics in Radiation Oncology, Deutsches Krebsforschungszentrum, Heidelberg, Germany, <sup>e</sup>Department of Radiation Oncology, Massachusetts General Hospital and Harvard Medical School, Boston, MA, USA

- library of multi-criteria optimized plans are automatically calculated
- treatment beams (number and direction) are manually selected
- Pareto front analysis tool ☺ ☺



# Pareto navigation tool



Courtesy to K.H. Küfer,  
(FHG-ITWM)

Navigation should be sensitive !!

# TPS: Pareto navigation



The screenshot displays the RayStation TPS interface during the Multi Criteria Optimization (MCO) phase. The main window is divided into several panels:

- Multi Criteria Optimization Panel (Left):** Contains controls for generating Pareto plans and creating deliverable plans. It includes sections for "Multi Criteria Optimization", "Generate Pareto Plans" (with a "Start" button), "Create Deliverable Plan", "Optimization Settings" (with fields for Target priority: 10, Optimization tolerance: 1.000e-5, Max num of iterations: 22, and a checked "Compute intermediate dose" box), and "Segmentation Settings" (with fields for Max number of segments: 50, Min segment area: 4.00, Min segment MU per fraction: 2.00, and Min equivalent square: 2.00). A "Create Deliverable Plan" button is at the bottom.
- DVH Panel (Top Left):** A graph showing Volume [%] on the y-axis (0 to 100) versus Dose [cGy] on the x-axis (0 to 8000). It displays multiple colored curves representing different optimization states, with a legend for "Current navigation state" (solid line) and "Previous navigation state" (dashed line).
- BEV Panel (Top Right):** A 2D BEV view of a patient's head and neck region. It shows a color-coded dose distribution over a target area. Text indicates "Current navigation state", "Transversal: 13.31 cm [slice 36/72]", and "Dose: -". A color scale on the right ranges from 0 to 150 % of 5940 cGy.
- Navigation Panel (Bottom Left):** A control panel for navigating through the optimization space. It includes a "Dose distributions" table with columns for Name, Current navigation state, and Plan Dose. Below this are sliders for "Current navigation state" for various "Targets" (CTV, TISS, Live, Stom, Kidn, Kidn) and "Organs at risk". Buttons for "Reset", "Undo Last", and "Save" are at the bottom.
- Coronal and Sagittal Panels (Bottom Right):** Two 2D views showing dose distributions in coronal and sagittal planes. The coronal view shows "Coronal: -21.78 cm" and "Dose: 0.00% of 5940.00cGy". The sagittal view shows "Sagittal: 25.00 cm". Both views include a color scale for dose distribution.

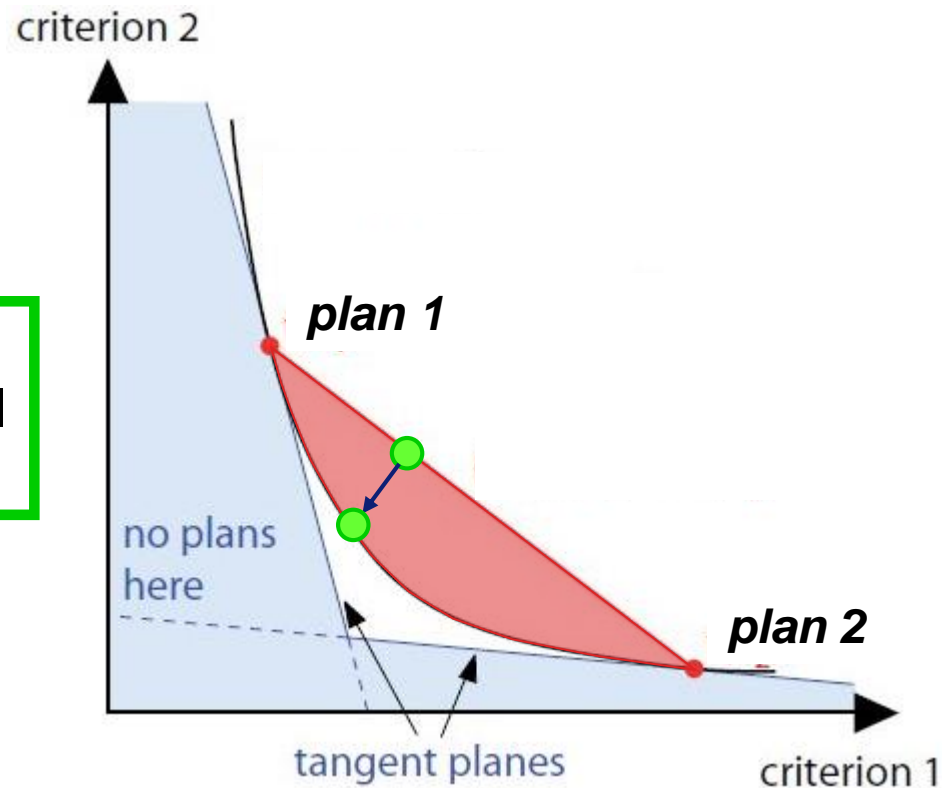
# TPS: plan library

Reduced workload in making plan database

Only making the anchor-plans in the range of acceptable treatment plans

Navigation between plans results in plans in shaded region

Fast algorithm to project the interpolated point back on the real Pareto front



# Conclusion



**Pareto-optimality achieved!!!**



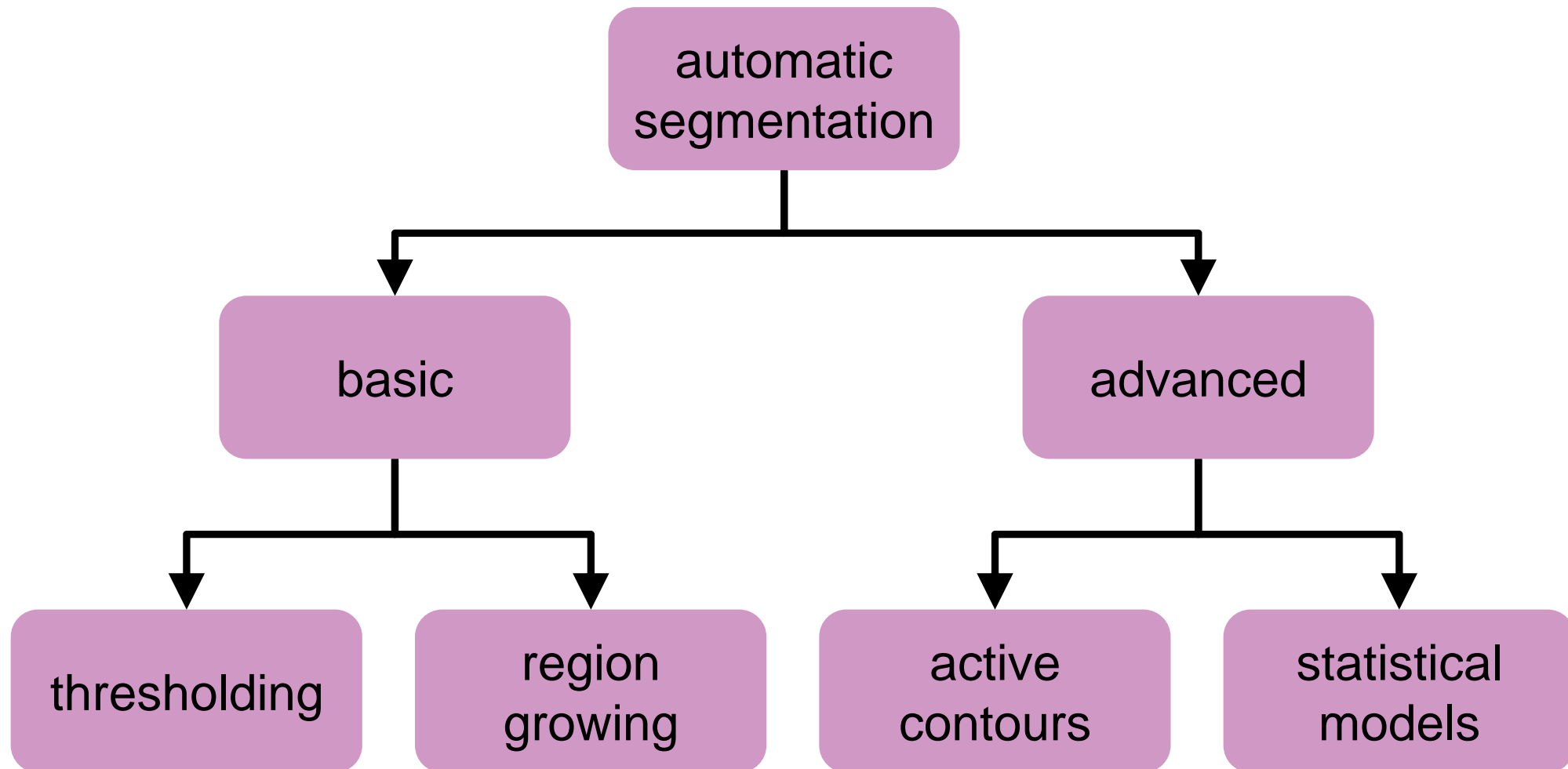
# Physicist's perspective

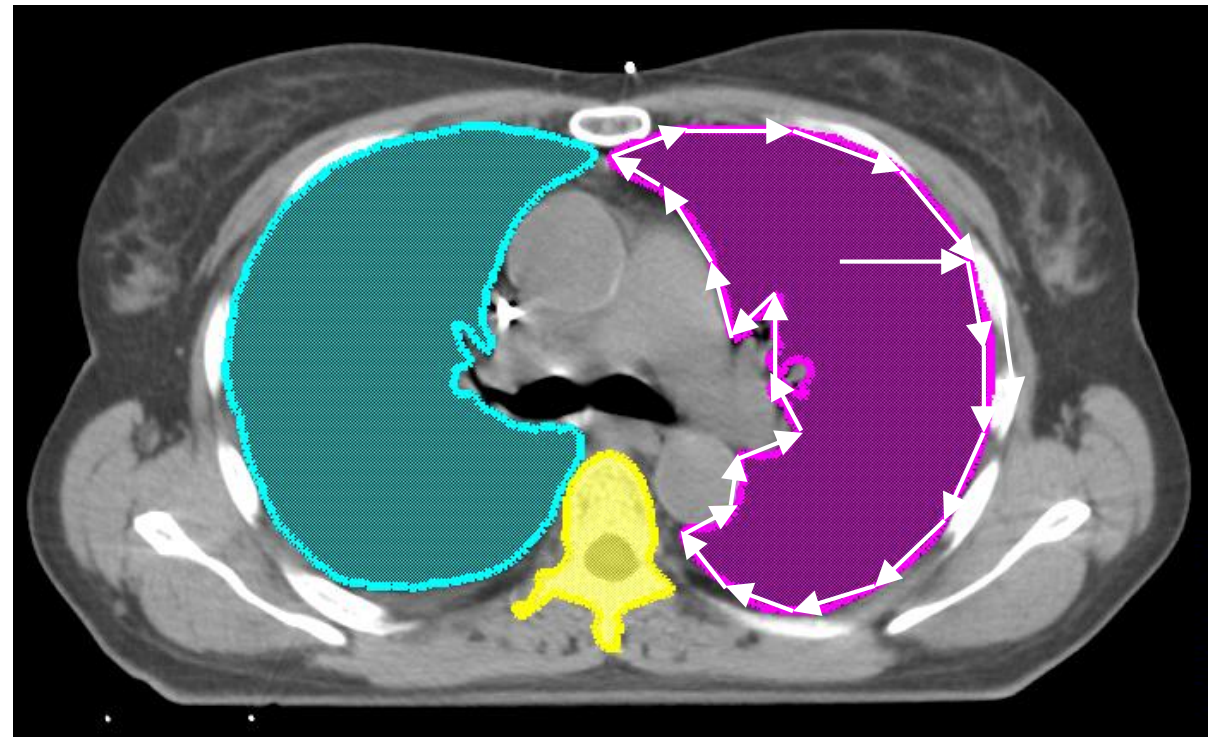
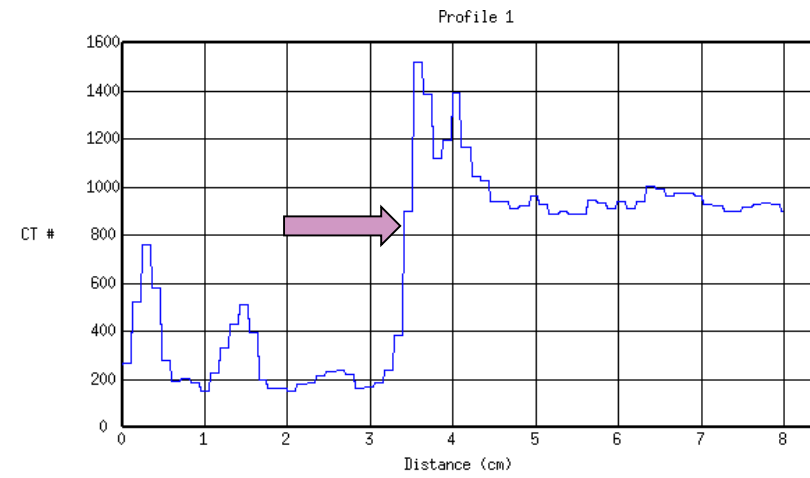
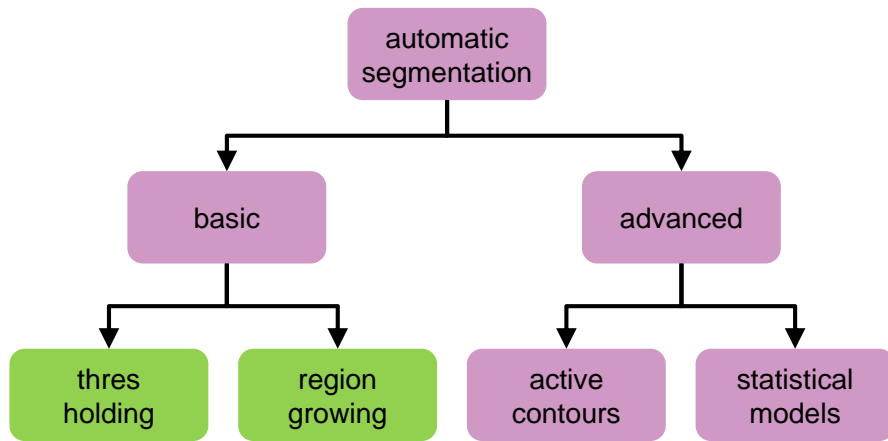
Gert Meijer

# Emerging topics

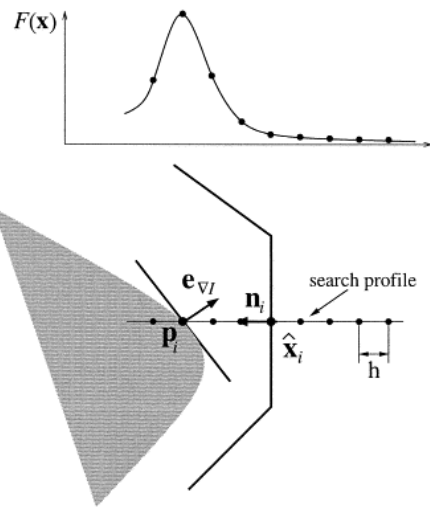
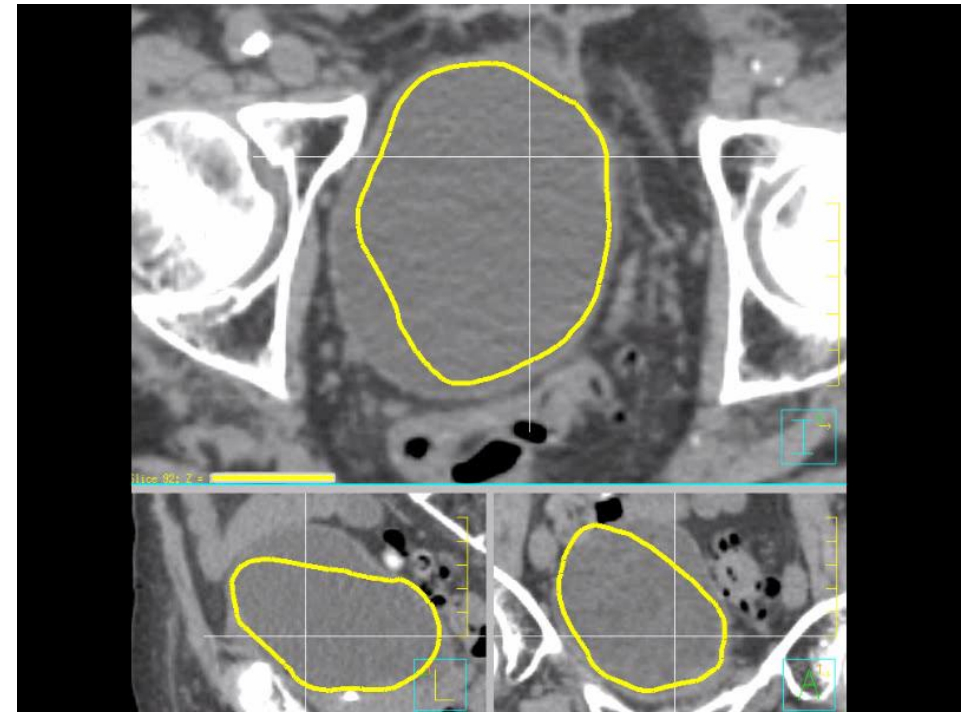
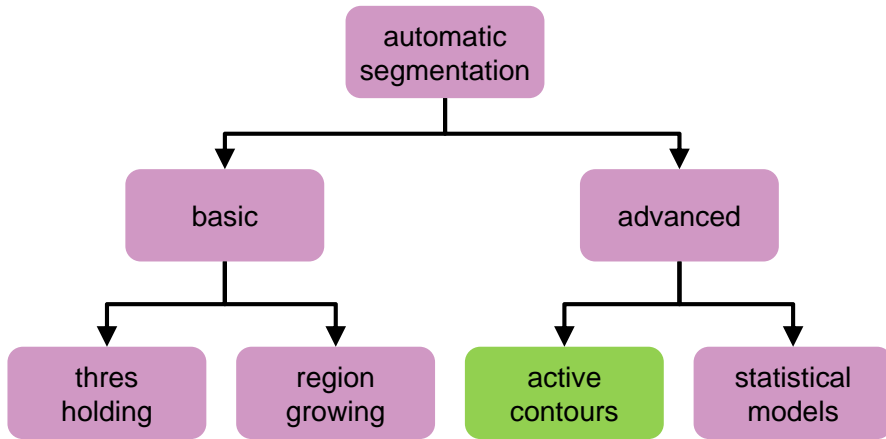
- Normal tissue segmentation
- Plan quality prediction & Automated planning
- Bridging the gap between surgery and radiation oncology

# Automatic normal tissue segmentation





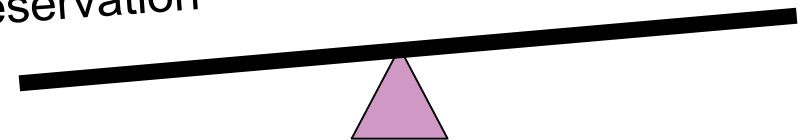




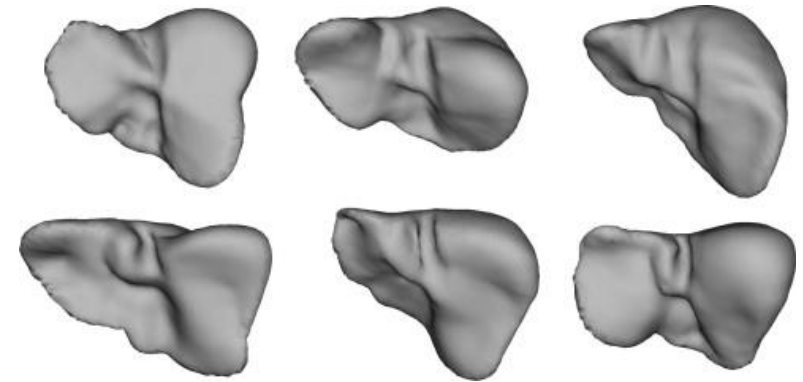
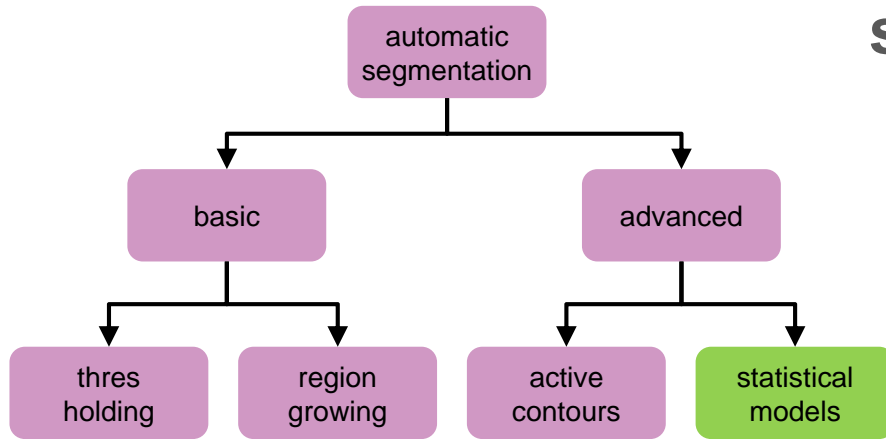
Pekar et al. 2004 IJROBP 60(3)

shape  
preservation

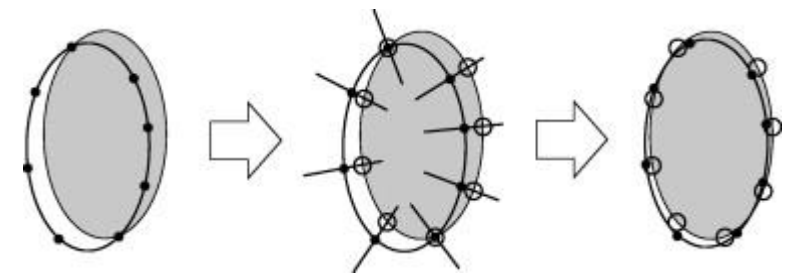
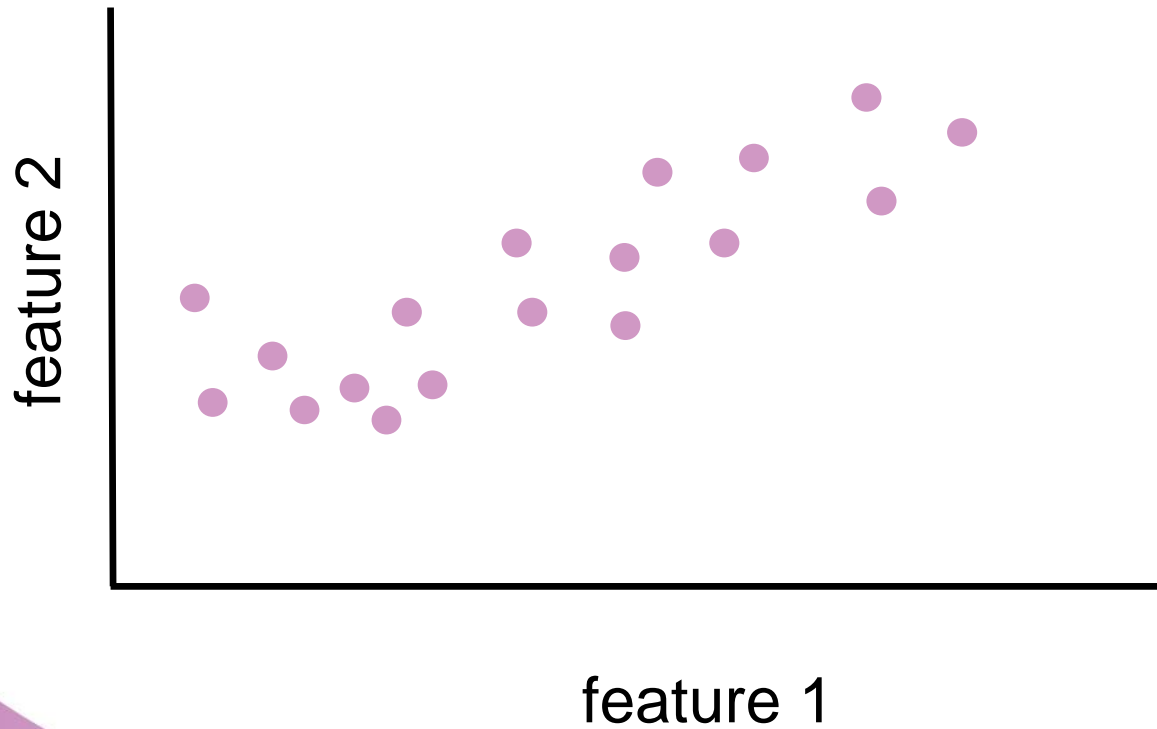
gradient  
search



# statistical shape models

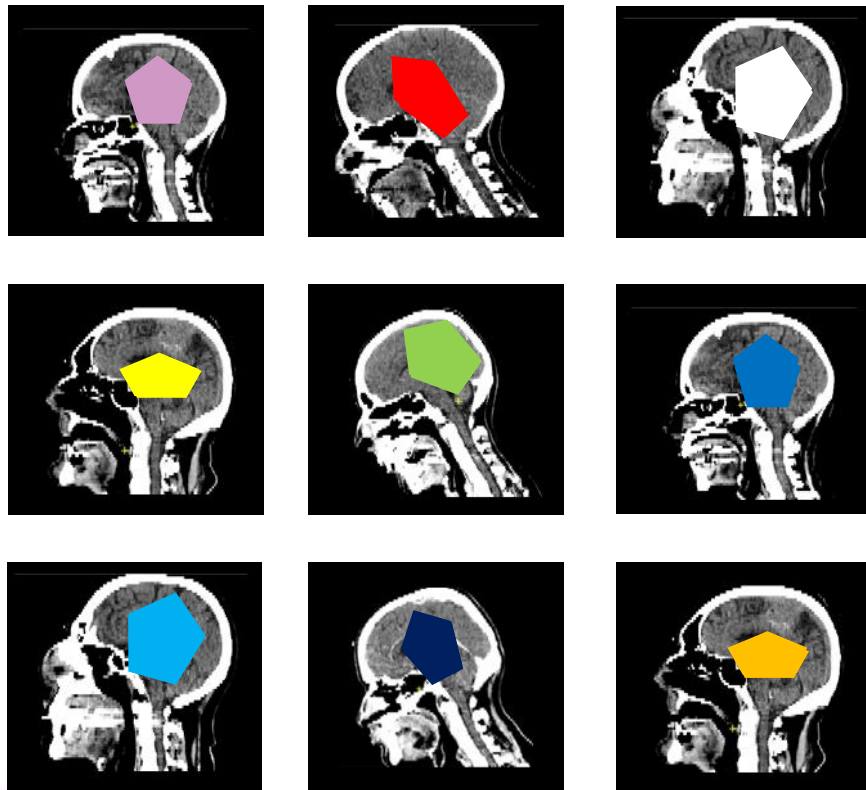
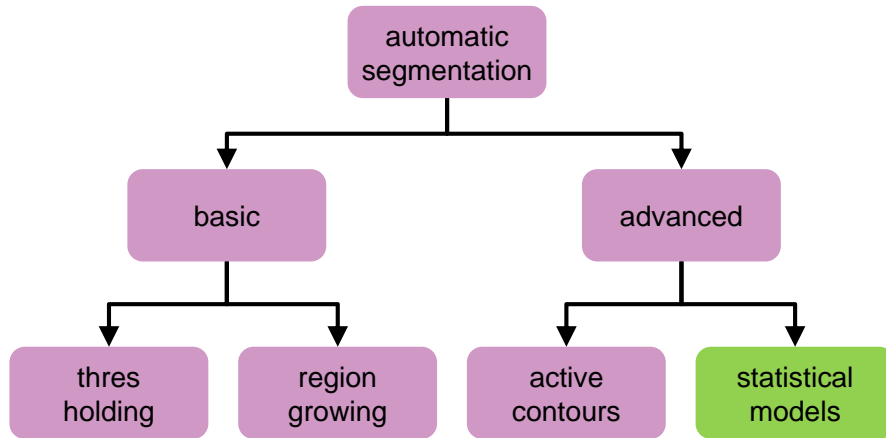


principal modes liver

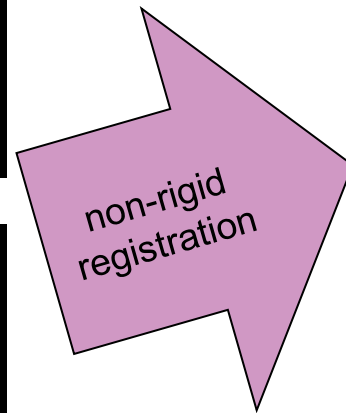


Heimann & Meizner Medical image analysis 13(4) 2009

# atlas based models



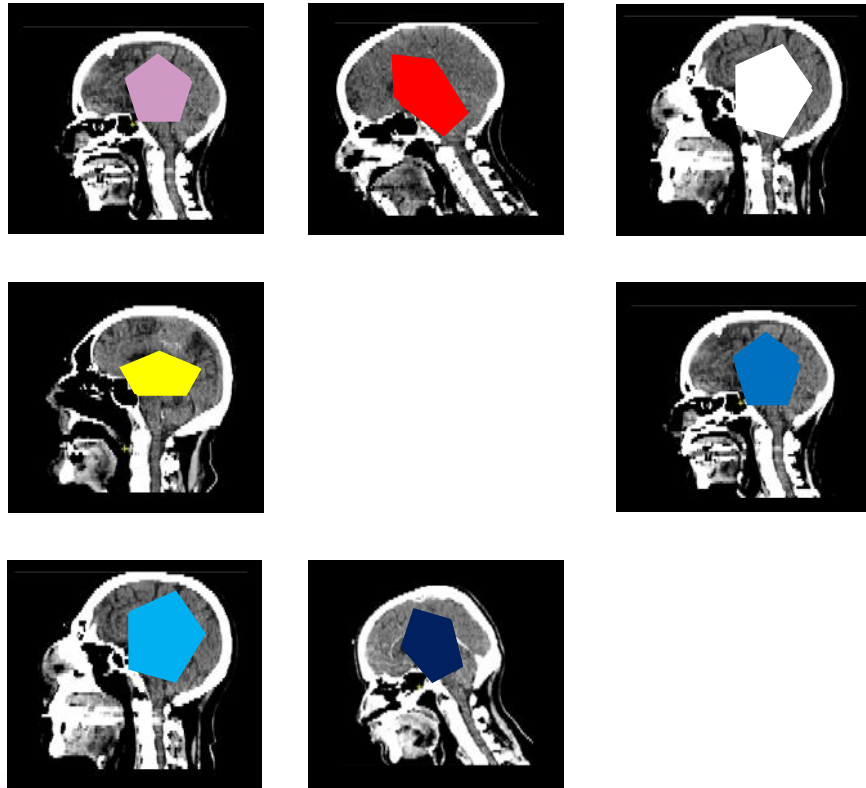
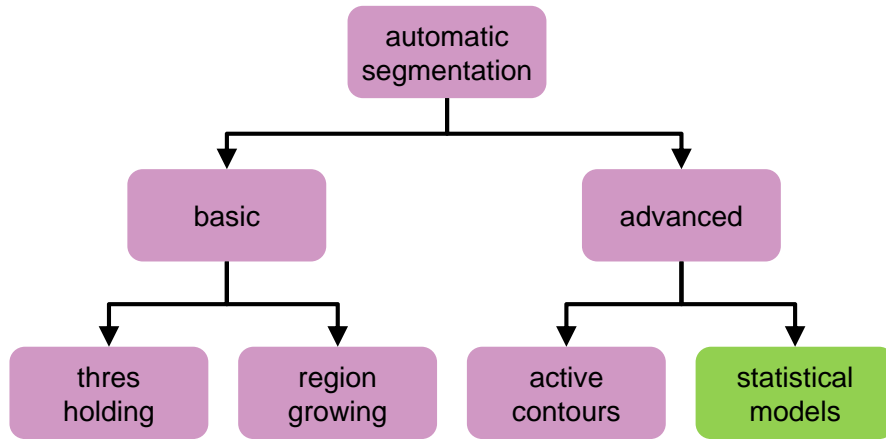
atlas set



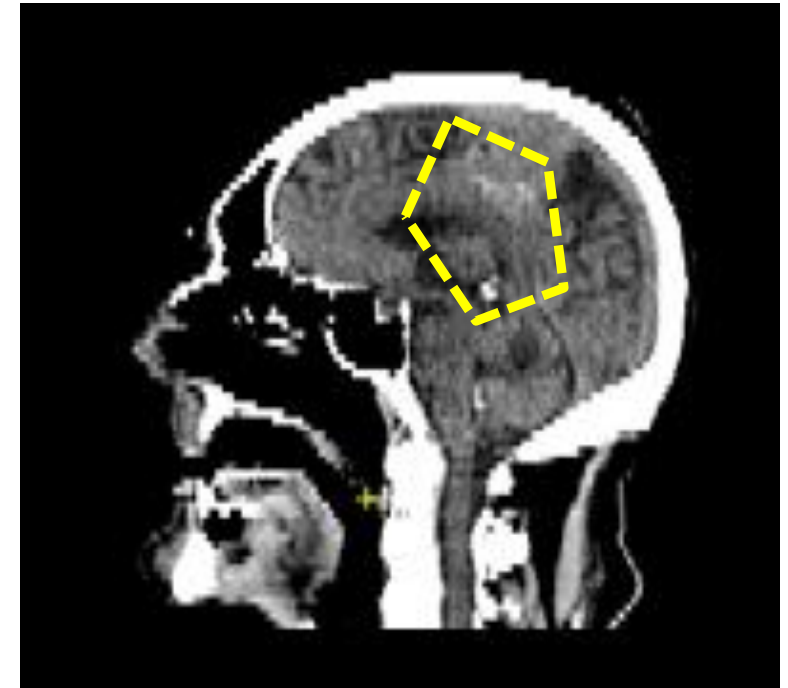
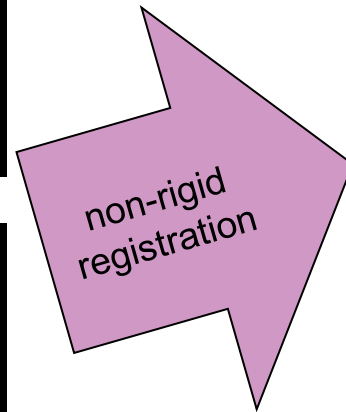
remove outliers based on estimated performance (e.g. DICE)

Langerak et al. *IEEE Trans Med Imaging*. 2010 Dec;29(12)

# atlas based models



atlas set

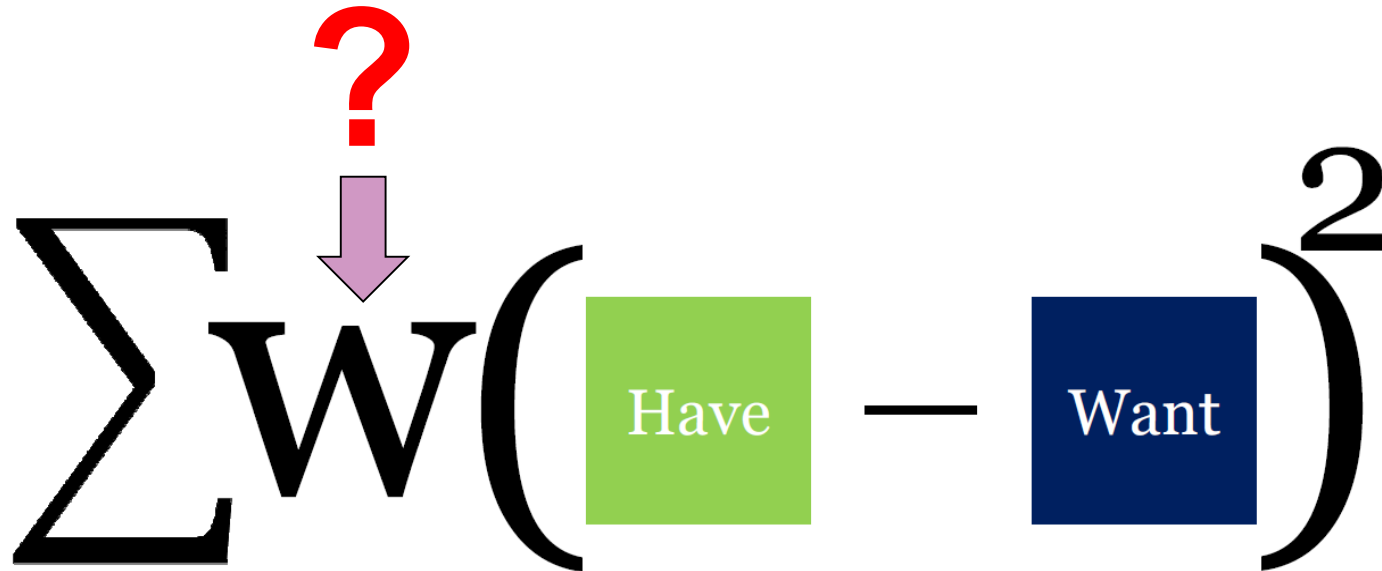


majority vote

# Summary

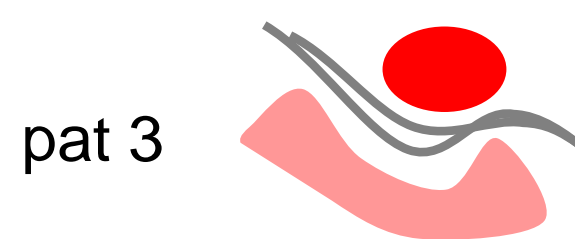
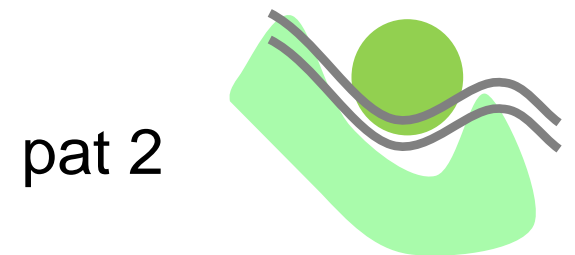
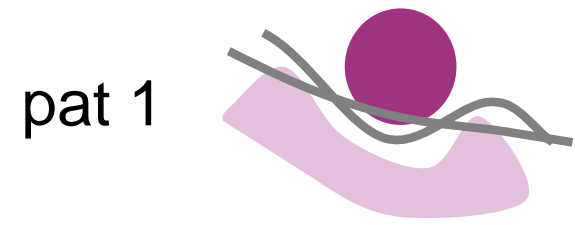
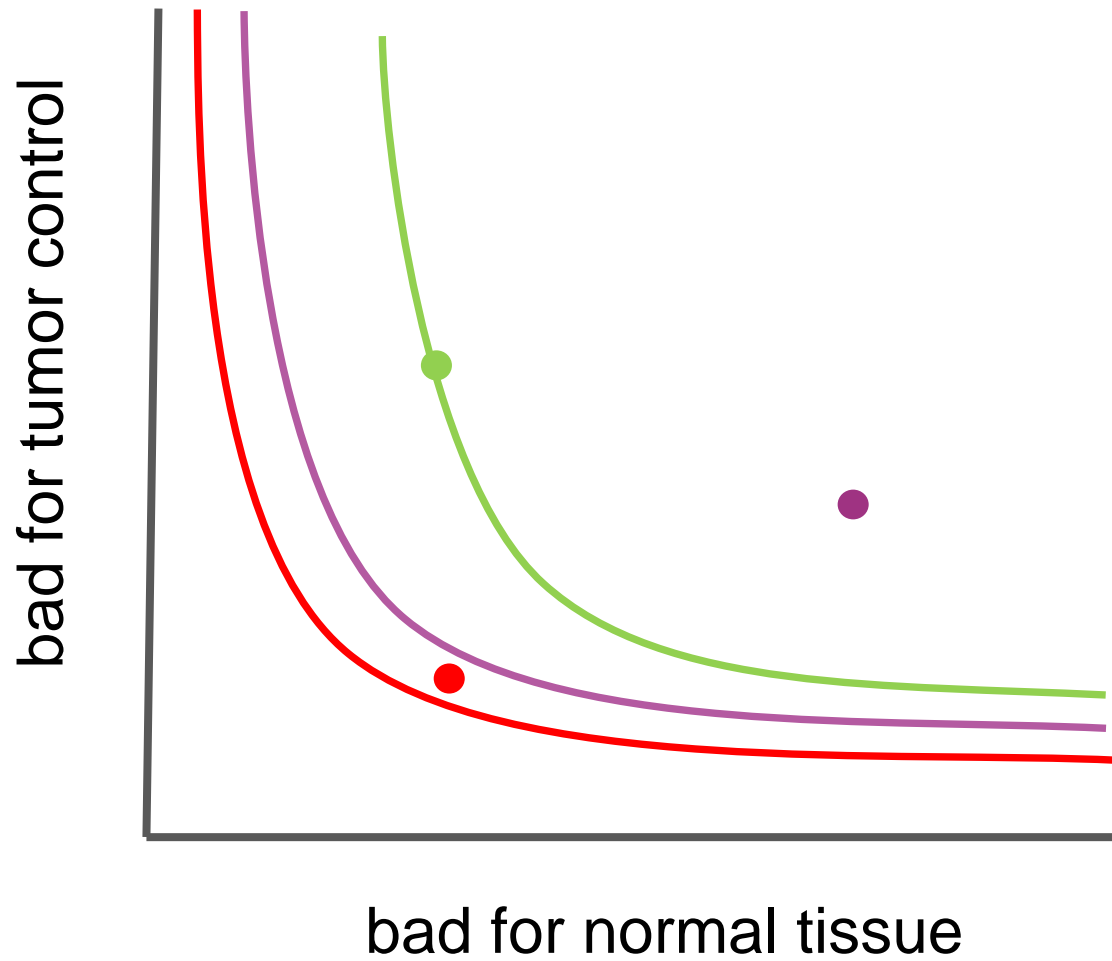
- Many methods available!
- Statistical models and atlas-based are the most suitable for normal tissue segmentation.
- But... they require training data or atlas
- Manual validation of experts is still used as golden truth

# Templates and Automated Plan Generation


$$\sum w (\text{Have} - \text{Want})^2$$

Minimize!

# Templates and Automated Plan Generation



# How to create a good set of objectives?

## Knowledge-based

large database  
find similar case  
extract objectives  
reproduce plan

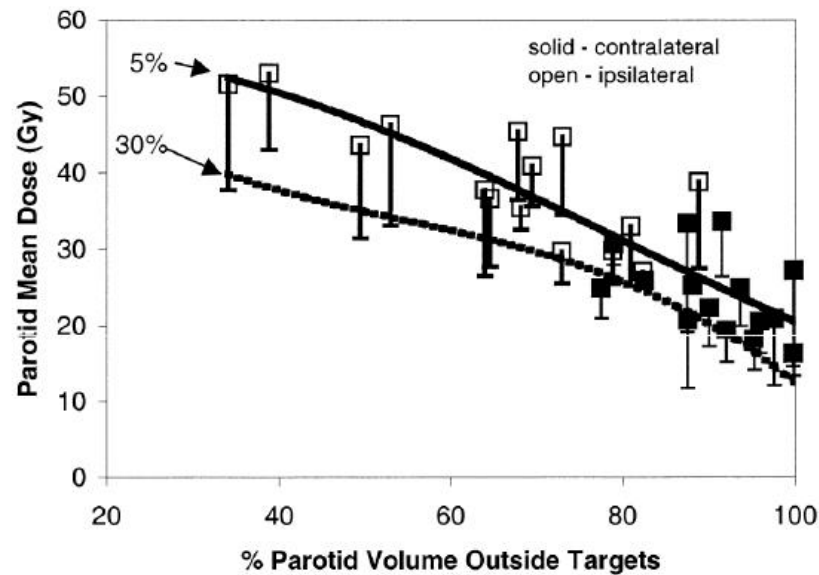
## Automated planning

automate decision making  
wish-list  
define and *prioritize* objectives  
iteratively navigate towards  
and over pareto surface

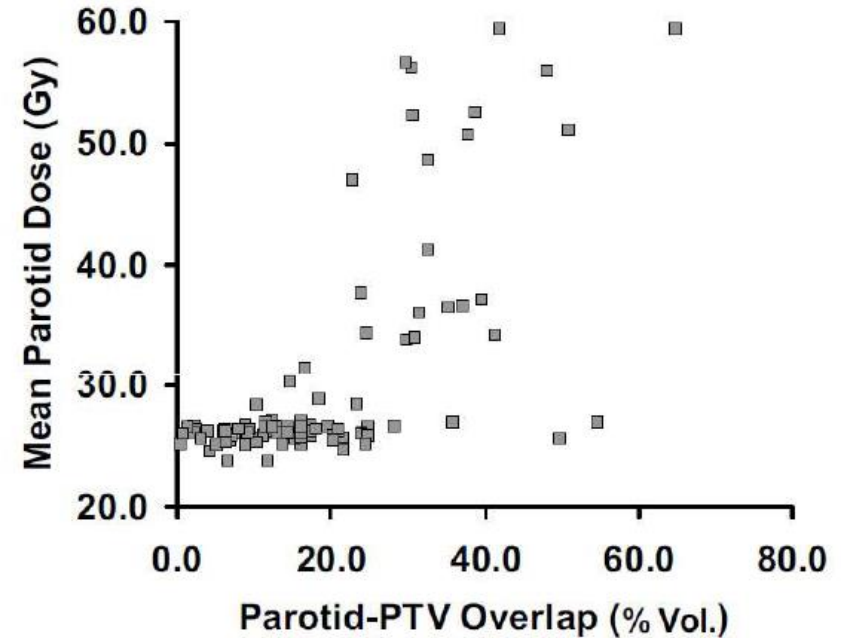




# geometric quantification = dosimetric quantification

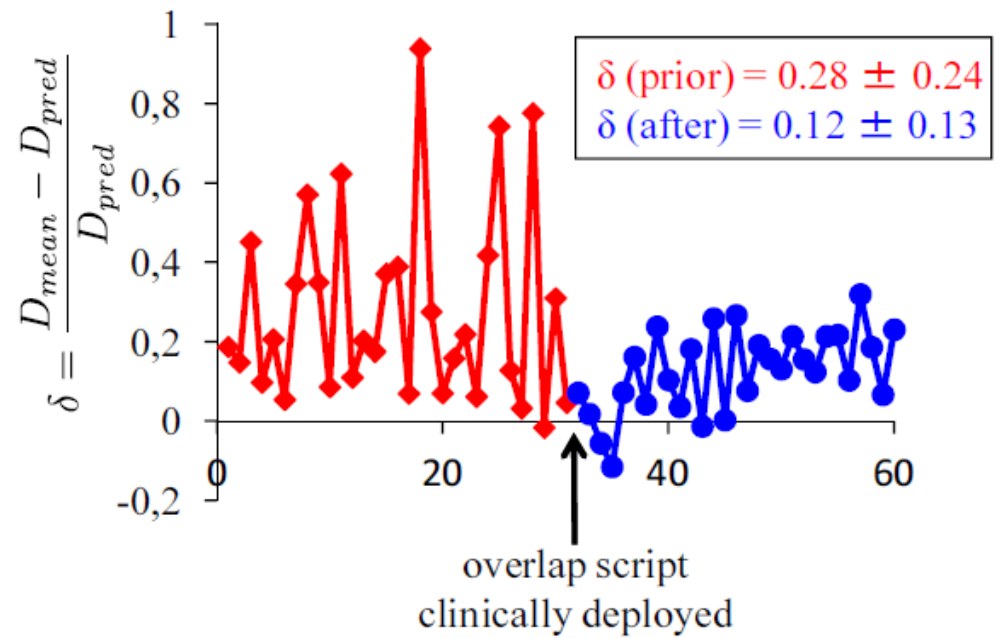
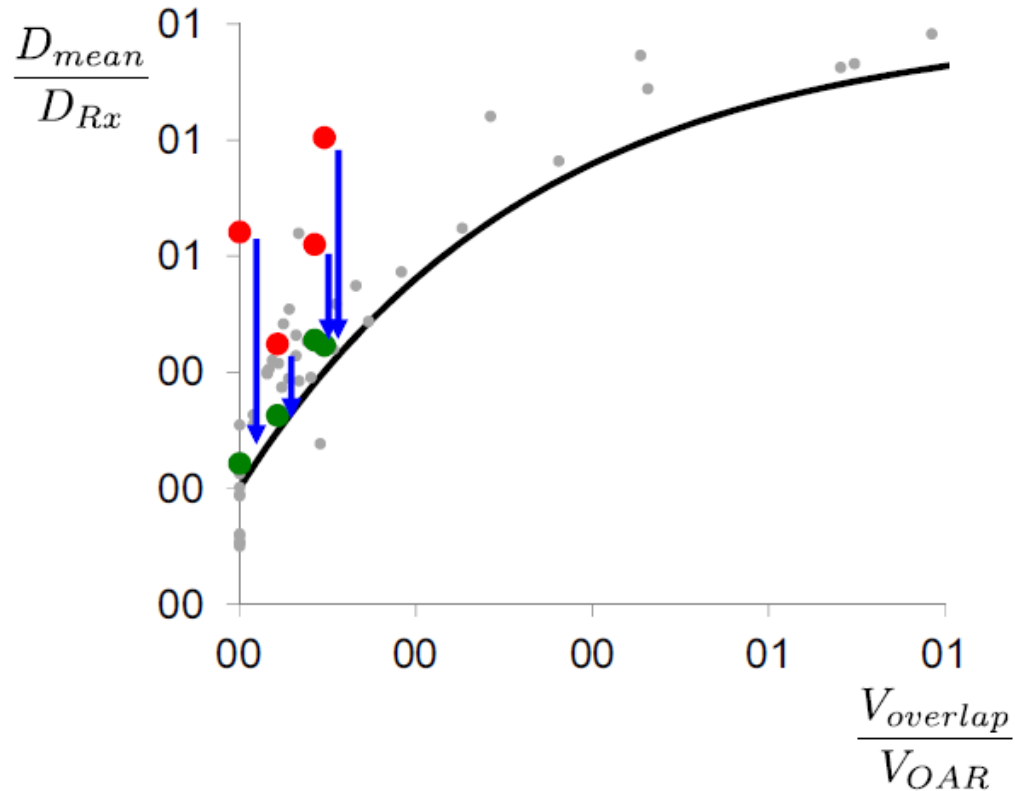


Vineberg, K. A. *et al.* Is uniform target dose possible in IMRT plans in the head and neck?  
*Int J Radiat Oncol Biol Phys* 52 (5):1159-72 (2002)



Hunt, M.A. *et al.* Geometric factors influencing dosimetric sparing of the parotid glands using IMRT,  
*Int J Radiat Oncol Biol Phys* 66 (1):296-304 (2006)

# catch and correct suspected outliers



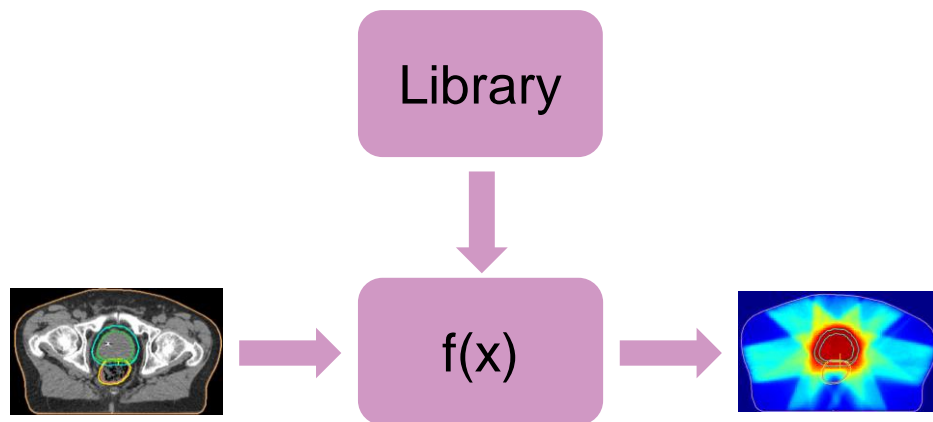
KL Moore *et al.*, IJROBP 81 (2010)



# How to create a good set of objectives?

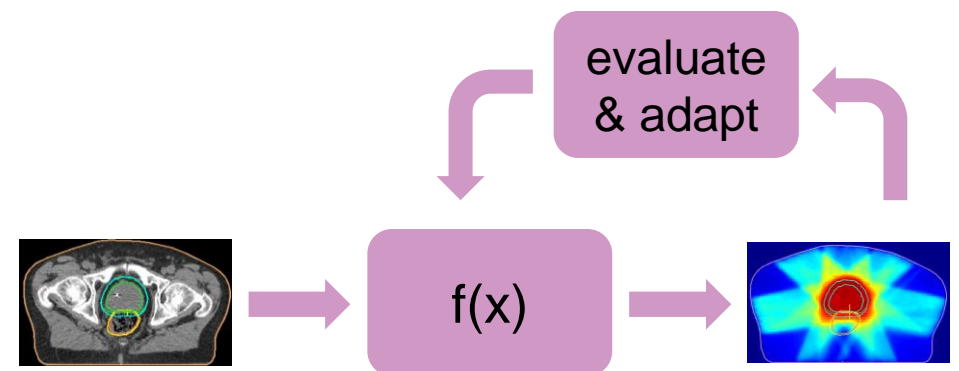
## Knowledge-based

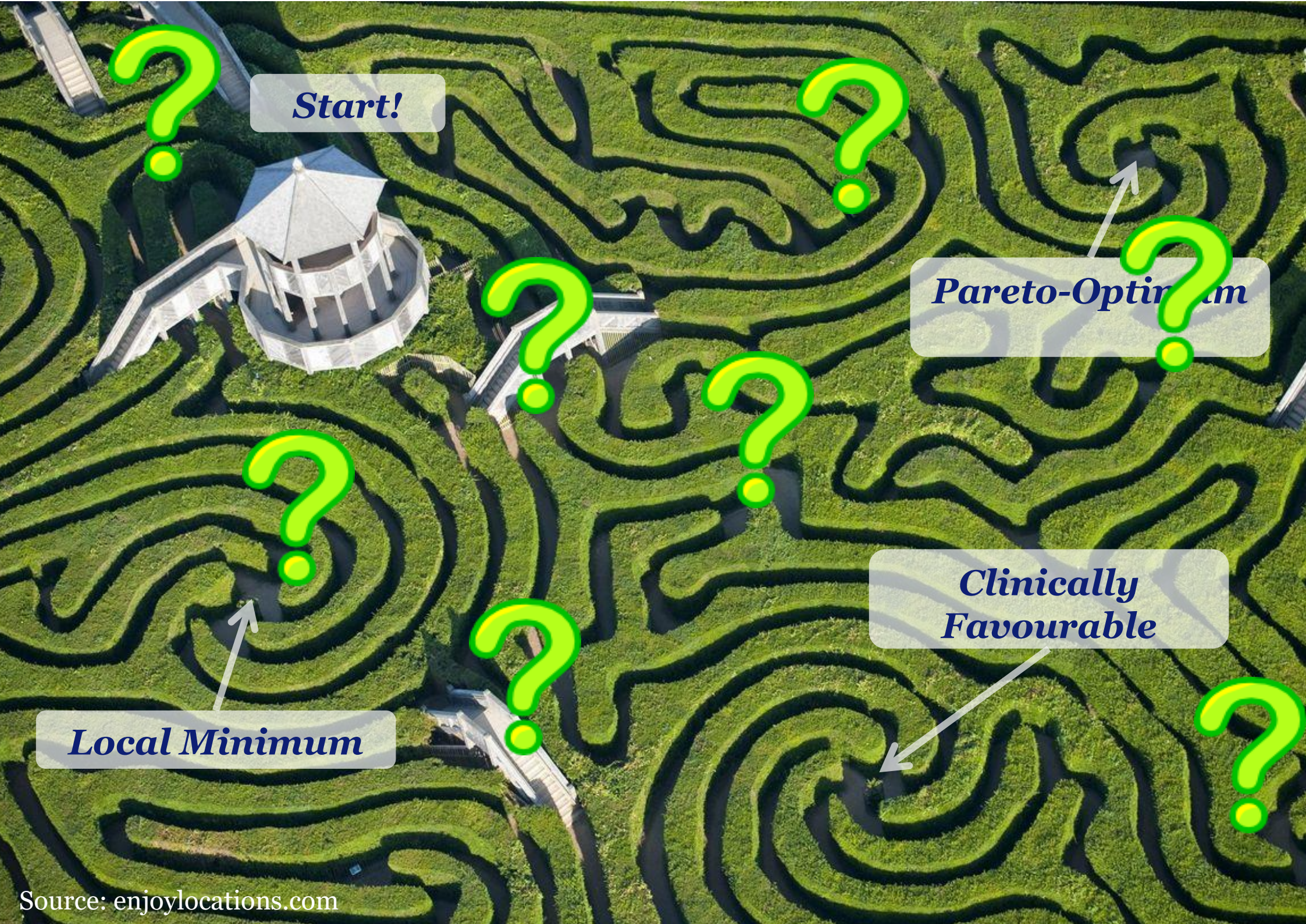
large database  
find similar case  
extract objectives  
reproduce plan



## Automated planning

automate decision making  
wish-list  
define and *prioritize* objectives  
iteratively navigate towards  
and over pareto surface





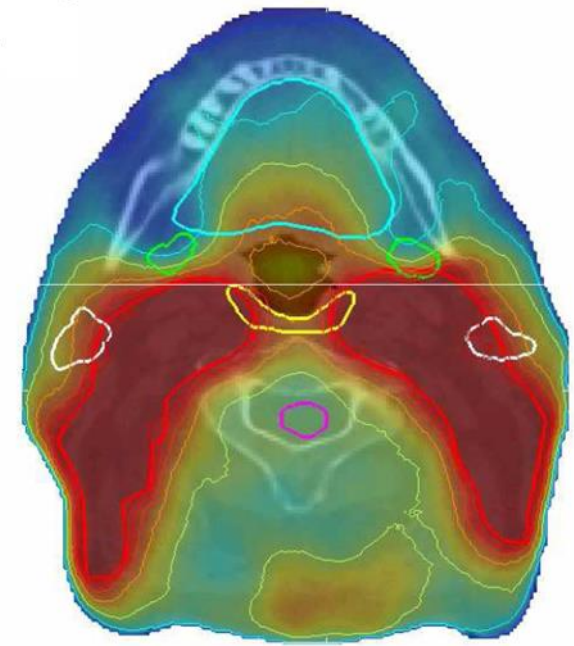
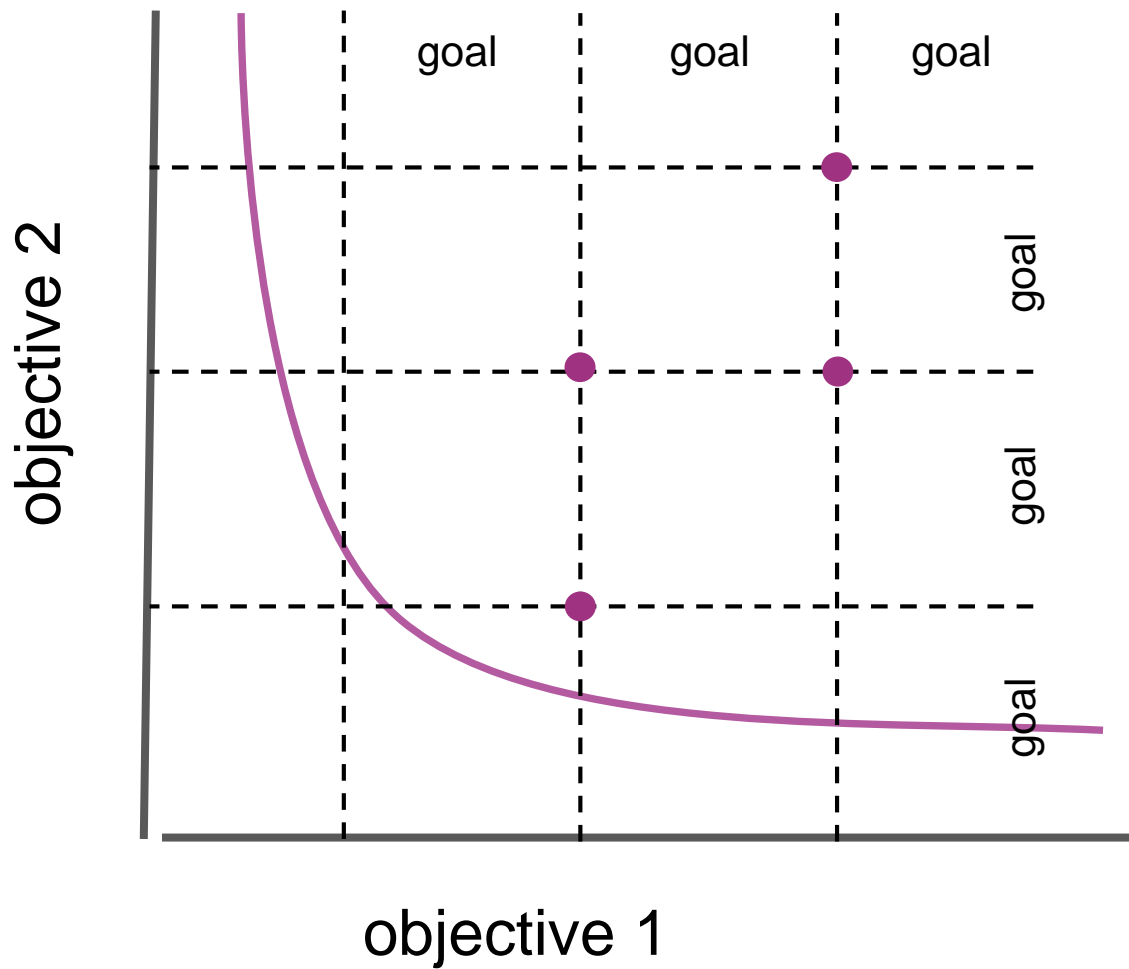
*Start!*

*Pareto-Optim*

*Clinically Favourable*

*Local Minimum*

# Wish-list



target dose OK

lower dose submandibular glands <39Gy

improve conformality

lower dose submandibular glands <20Gy

lower dose parotid glands <10Gy

# Automated planning

- may take longer, but can run overnight immediately after the contouring process
- may result in improved plan quality (computer doesn't mind 'drinking another cup of coffee')
- does general require an extensive hierarchical list of priorities
- output can be used as an input for manual optimization
- reduces the interobserver variability

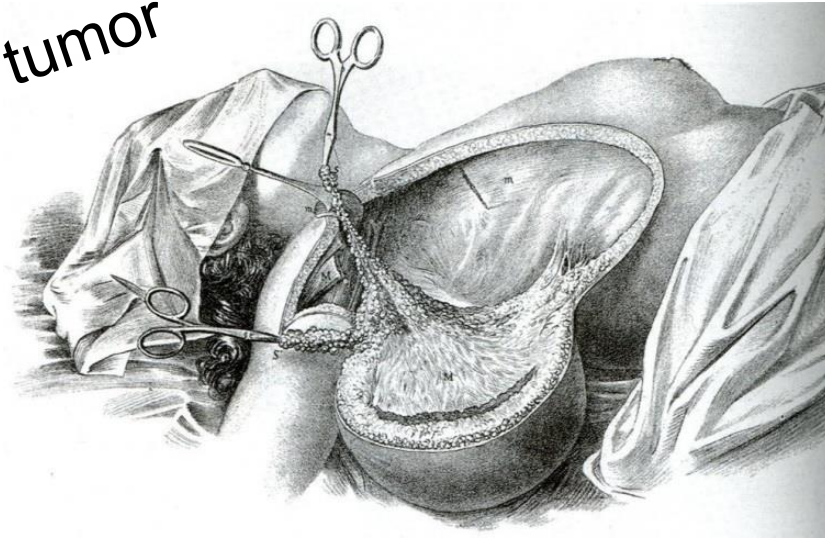


## Bridging the gap between surgery and radiation oncology



## A brief history of Radiation Oncology

surgery the mainstay in  
eradicating the primary tumor

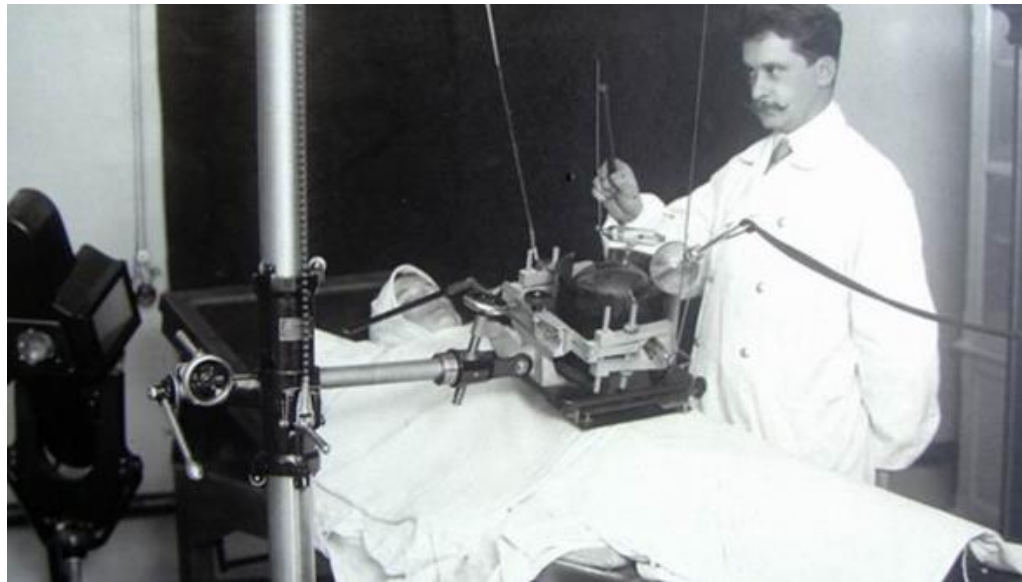


1882

William Halsted

## A brief history of Radiation Oncology

80-100 kV



1914

## A brief history of Radiation Oncology

250 kV



1954

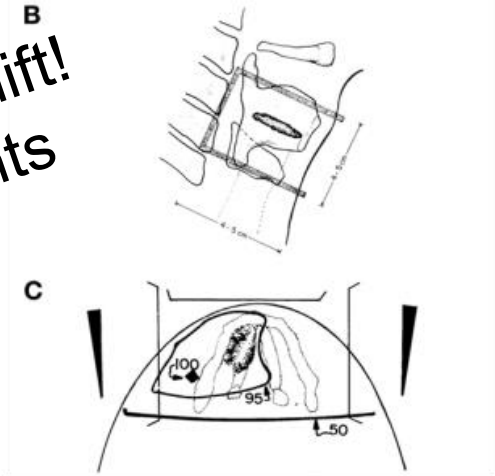
## A brief history of Radiation Oncology

8 MV



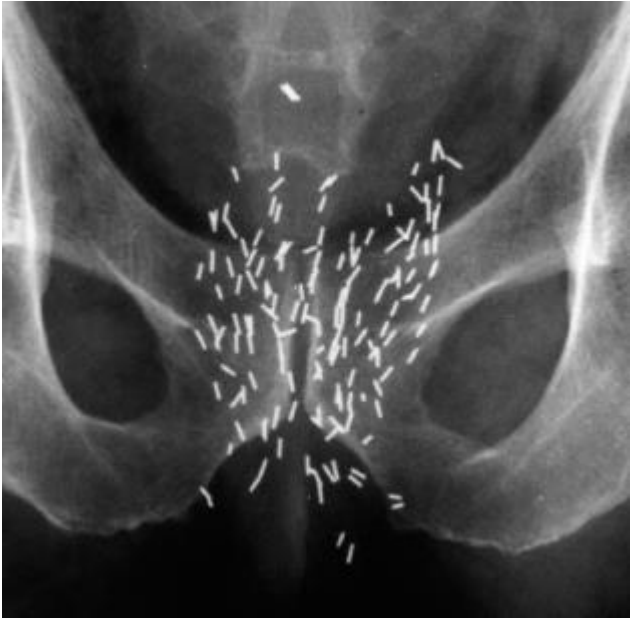
1964

# A brief history of Radiation Oncology



first paradigm shift!  
RT curing patients

William M. Mendenhall et al. JCO 2001;19:4029-4036



Drs. Blasko, Grimm and Ragd (Seattle)



Department of Veterans Affairs  
Laryngeal Cancer Study Group

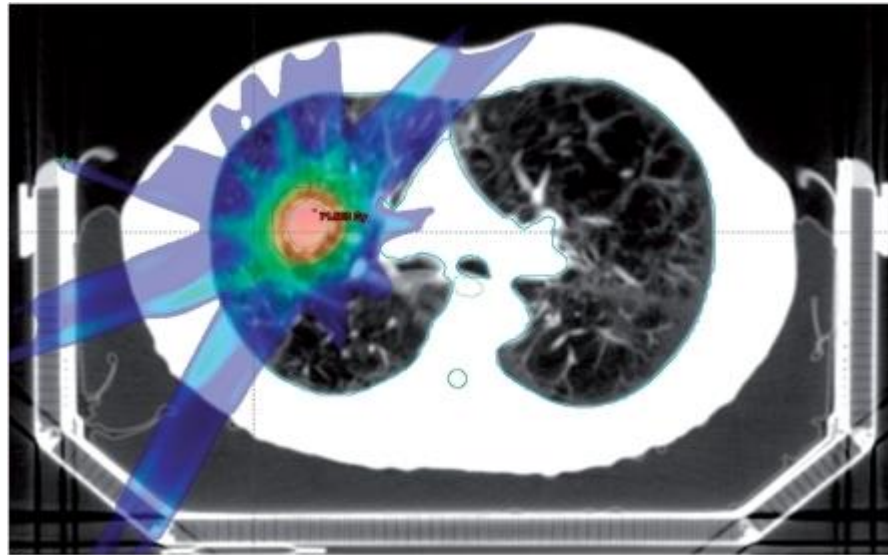
80's

## A brief history of Radiation Oncology



80's

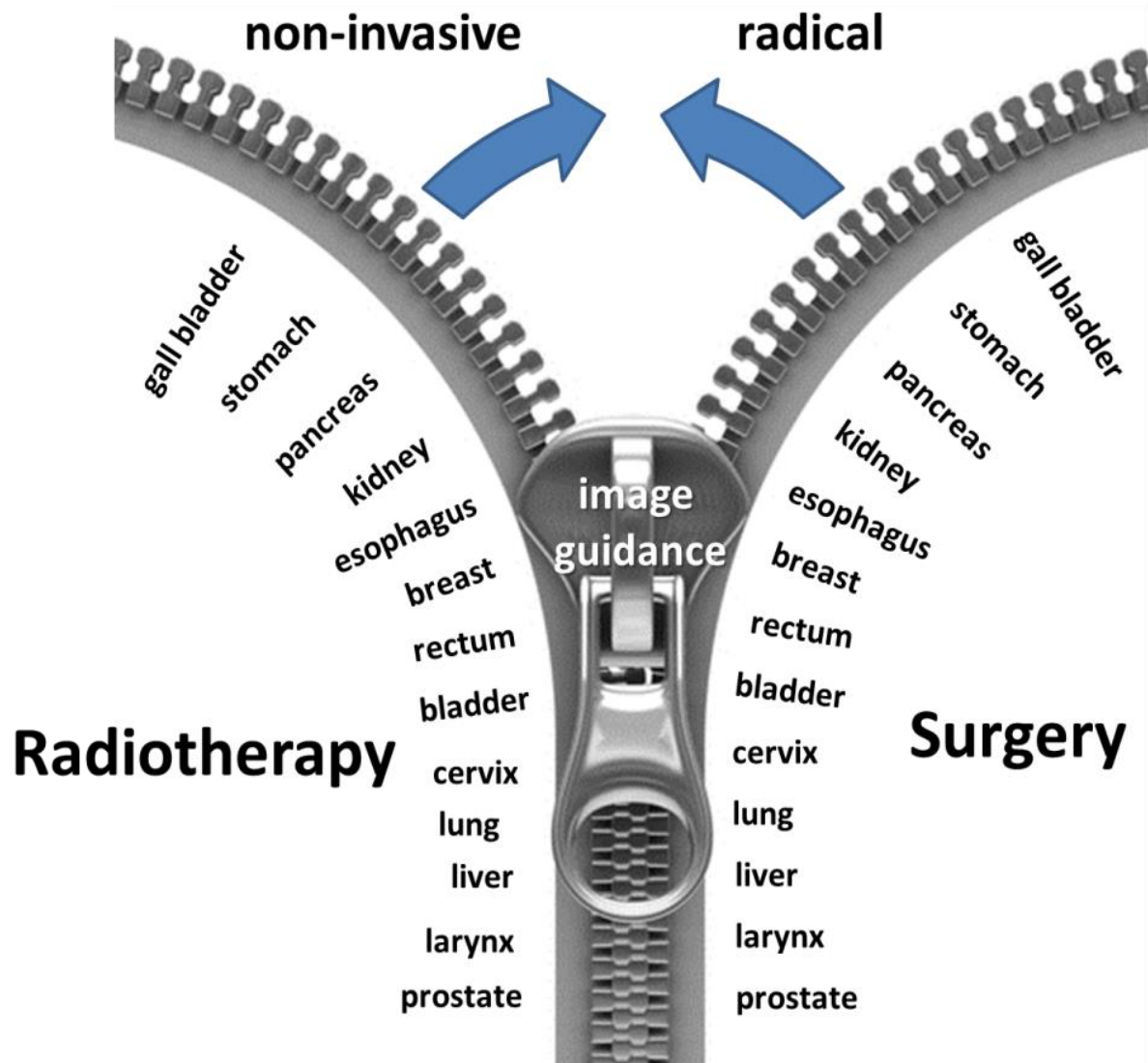
## A brief history of Radiation Oncology



90's



# Image guidance is key!

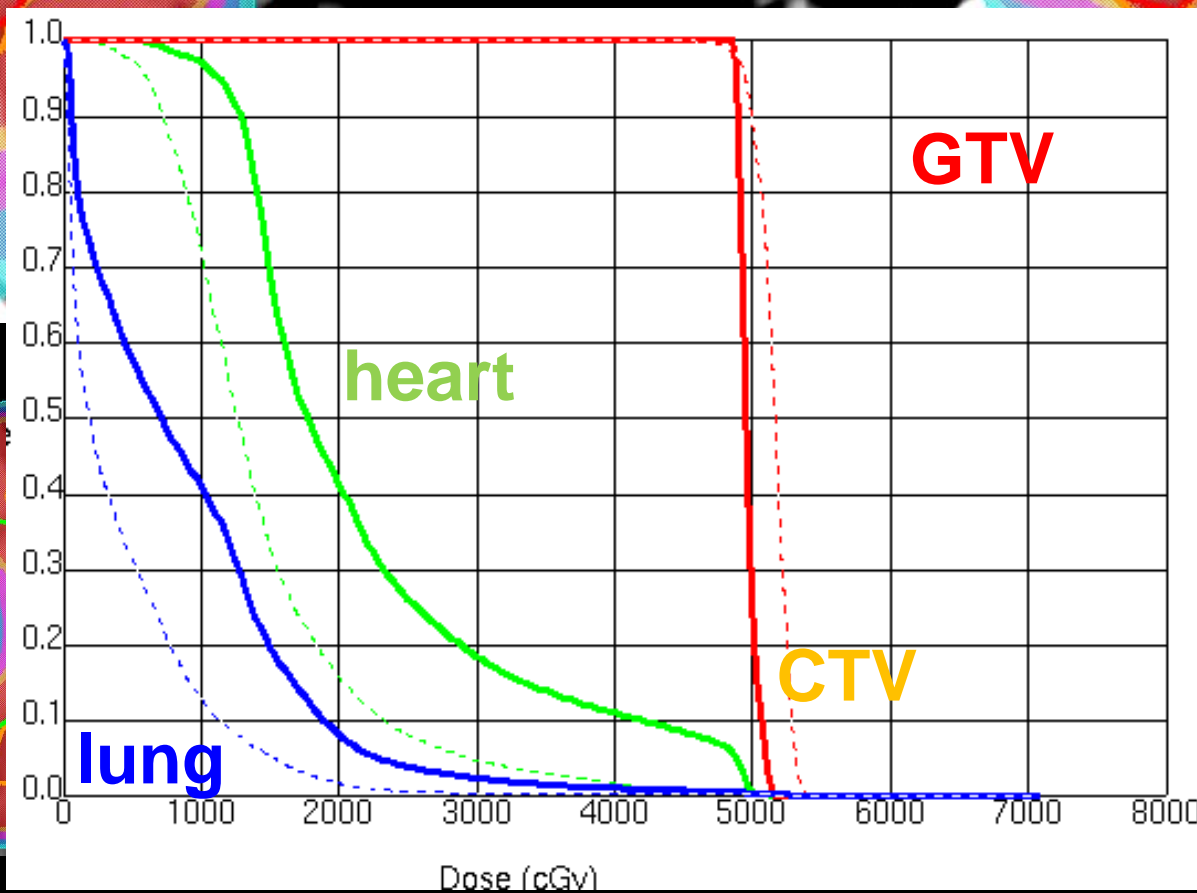


# Esophageal cancer

contemporary RT

MRL

GTV=8 cm<sup>3</sup>  
CTV=63 cm<sup>3</sup>  
PTV=290 cm<sup>3</sup>

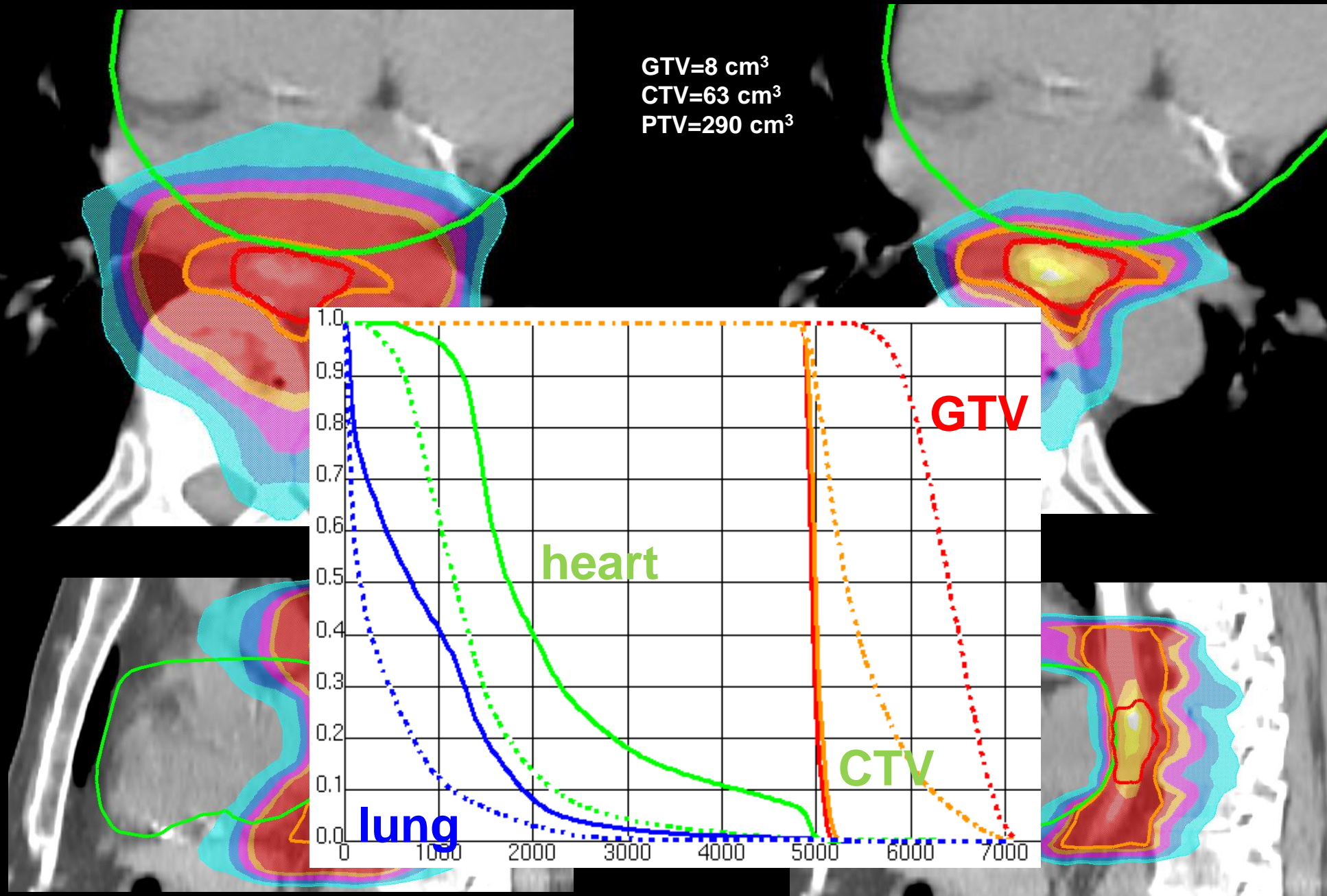


# Esophageal cancer

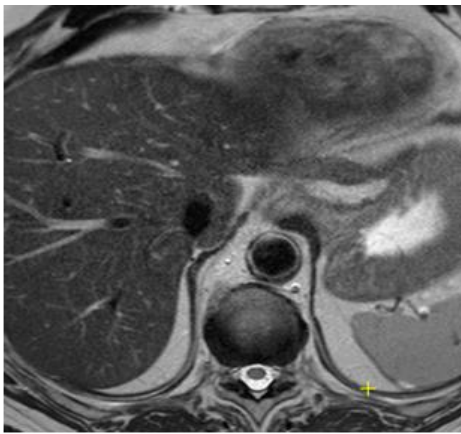
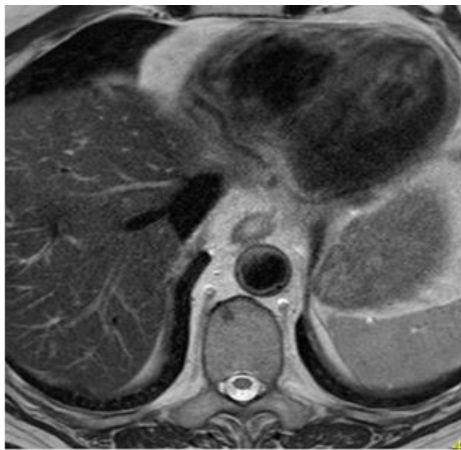
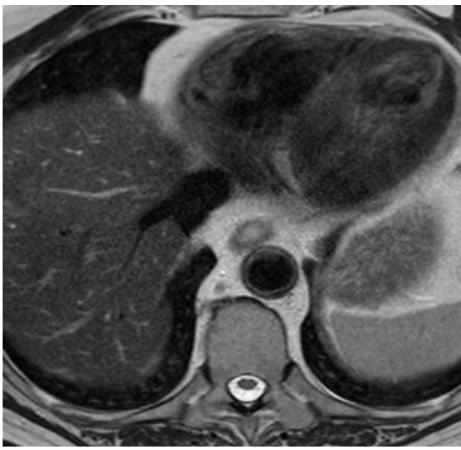
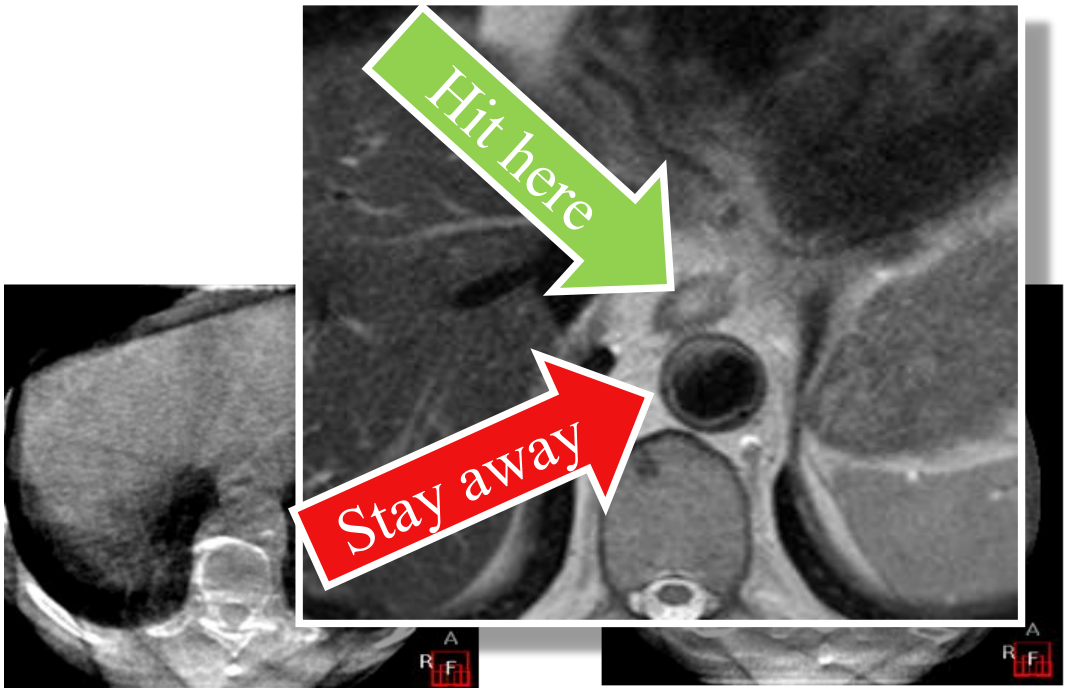
contemporary RT

reinvented RT

GTV=8 cm<sup>3</sup>  
CTV=63 cm<sup>3</sup>  
PTV=290 cm<sup>3</sup>

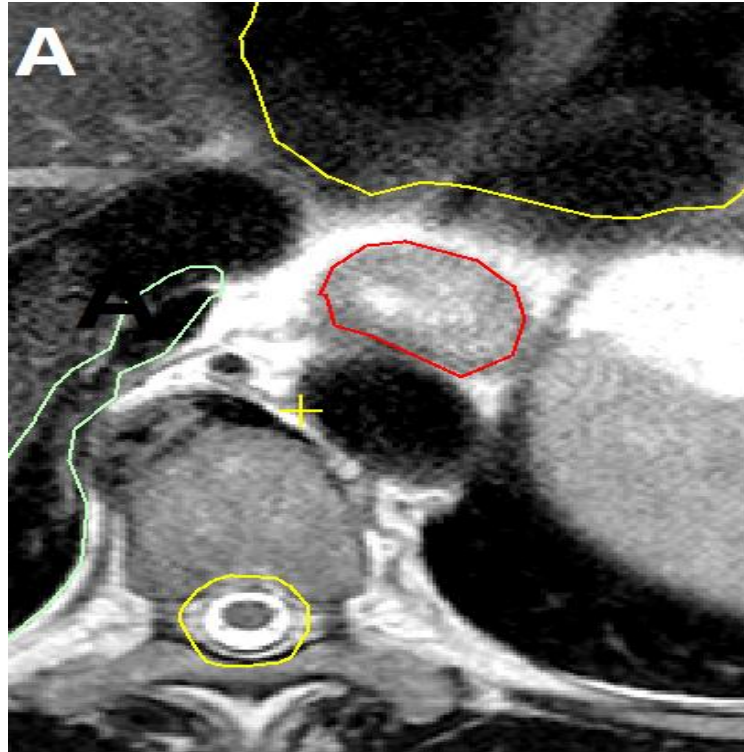


# Online MR guidance

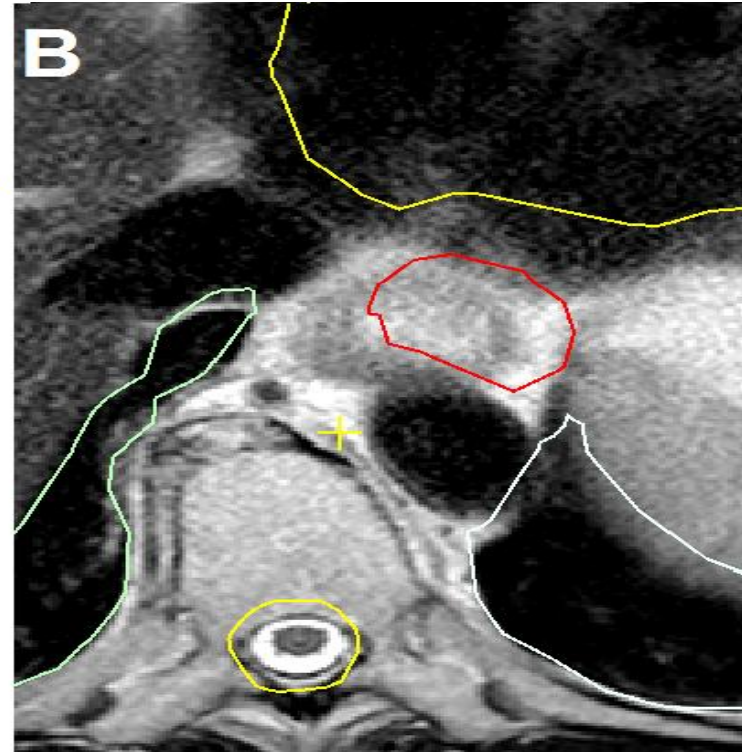


With online MR guidance we see GTV, "CTV" and risk organs

# 1 MRI guidance for identifying changes in anatomy



Day 1

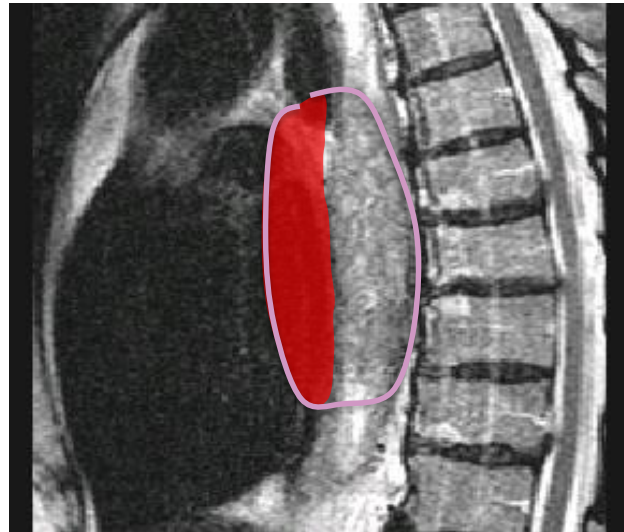


Day 4

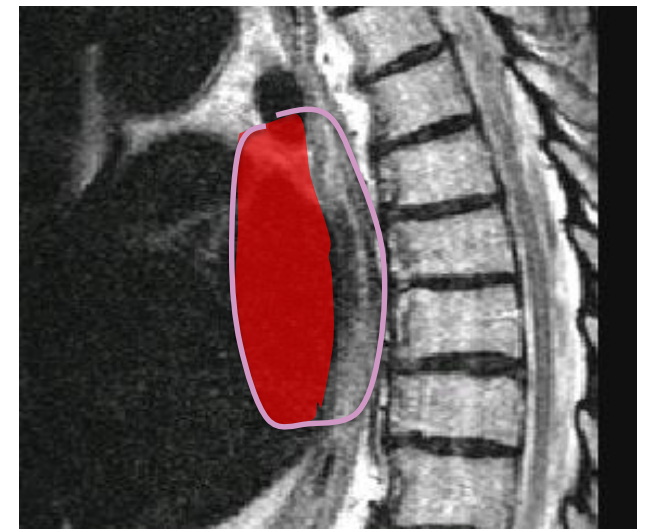
## 2 MRI guidance for identifying tumor shrinkage



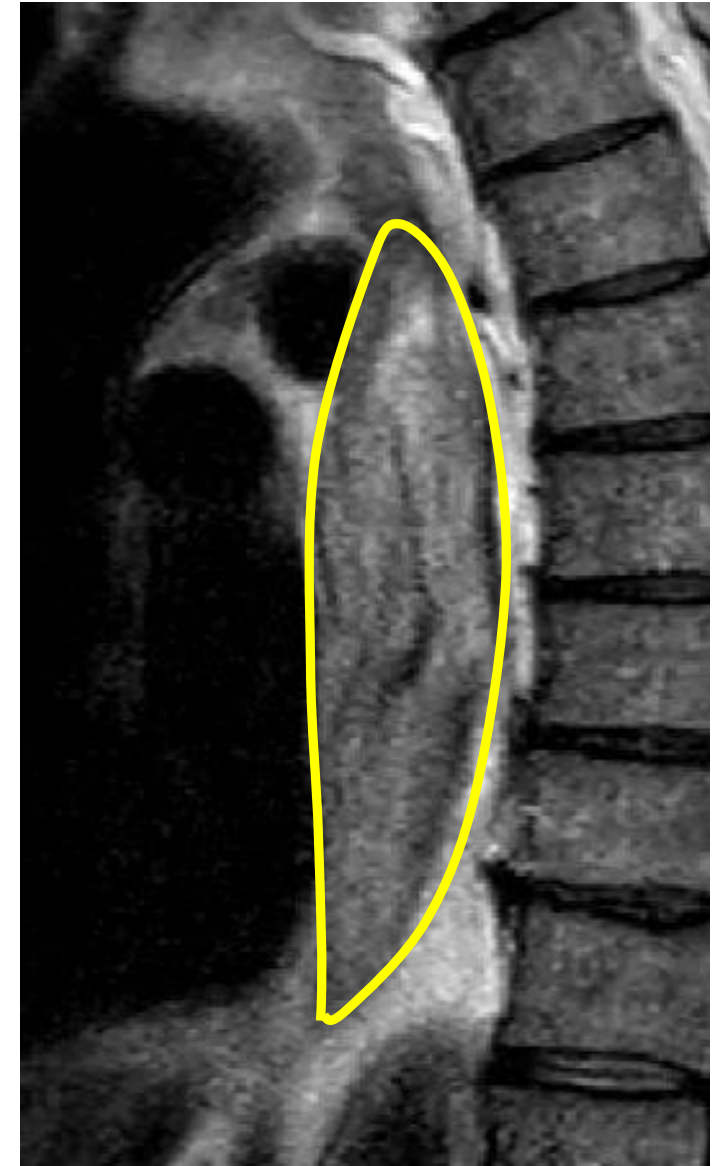
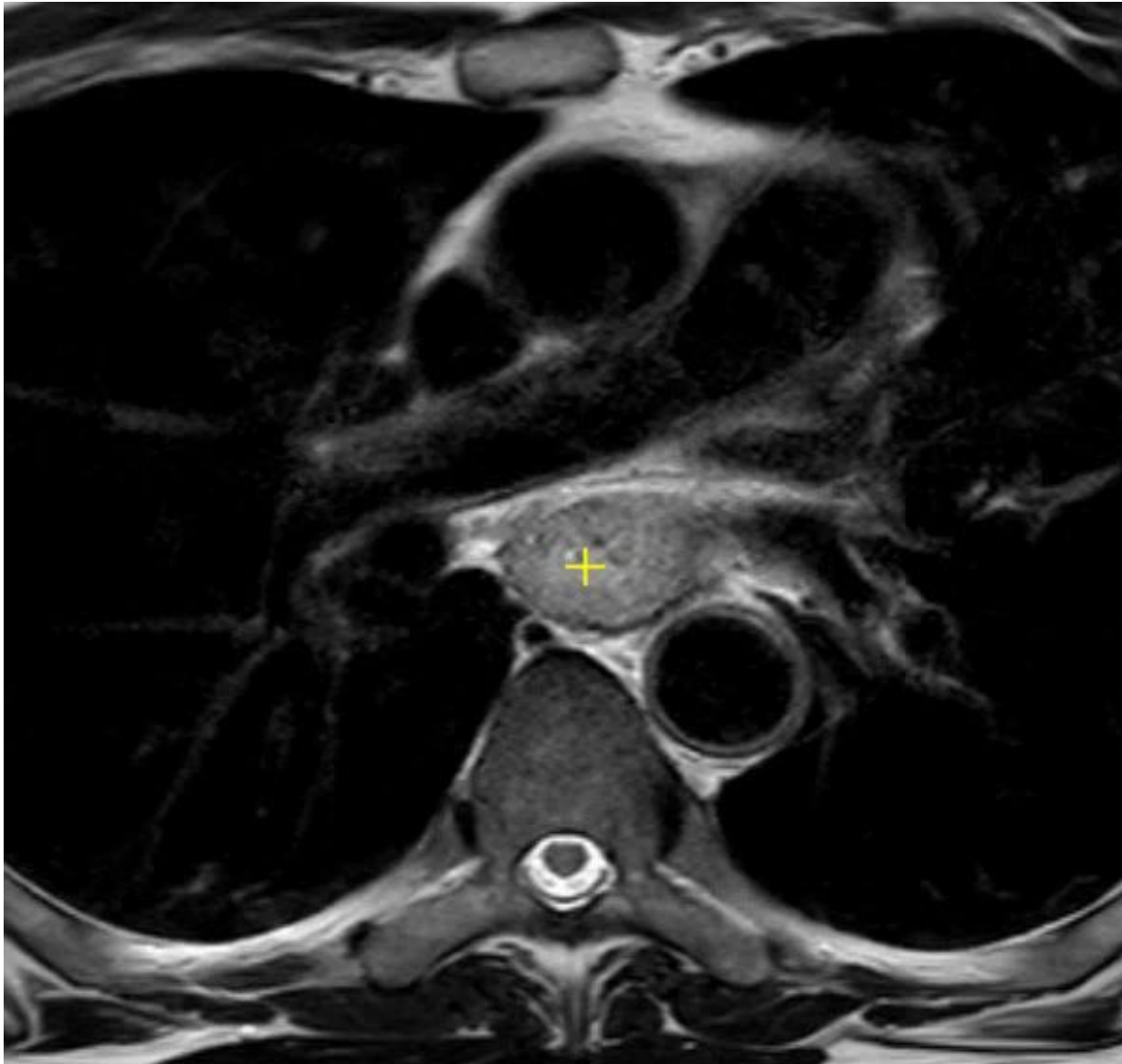
Day 0



Day 10

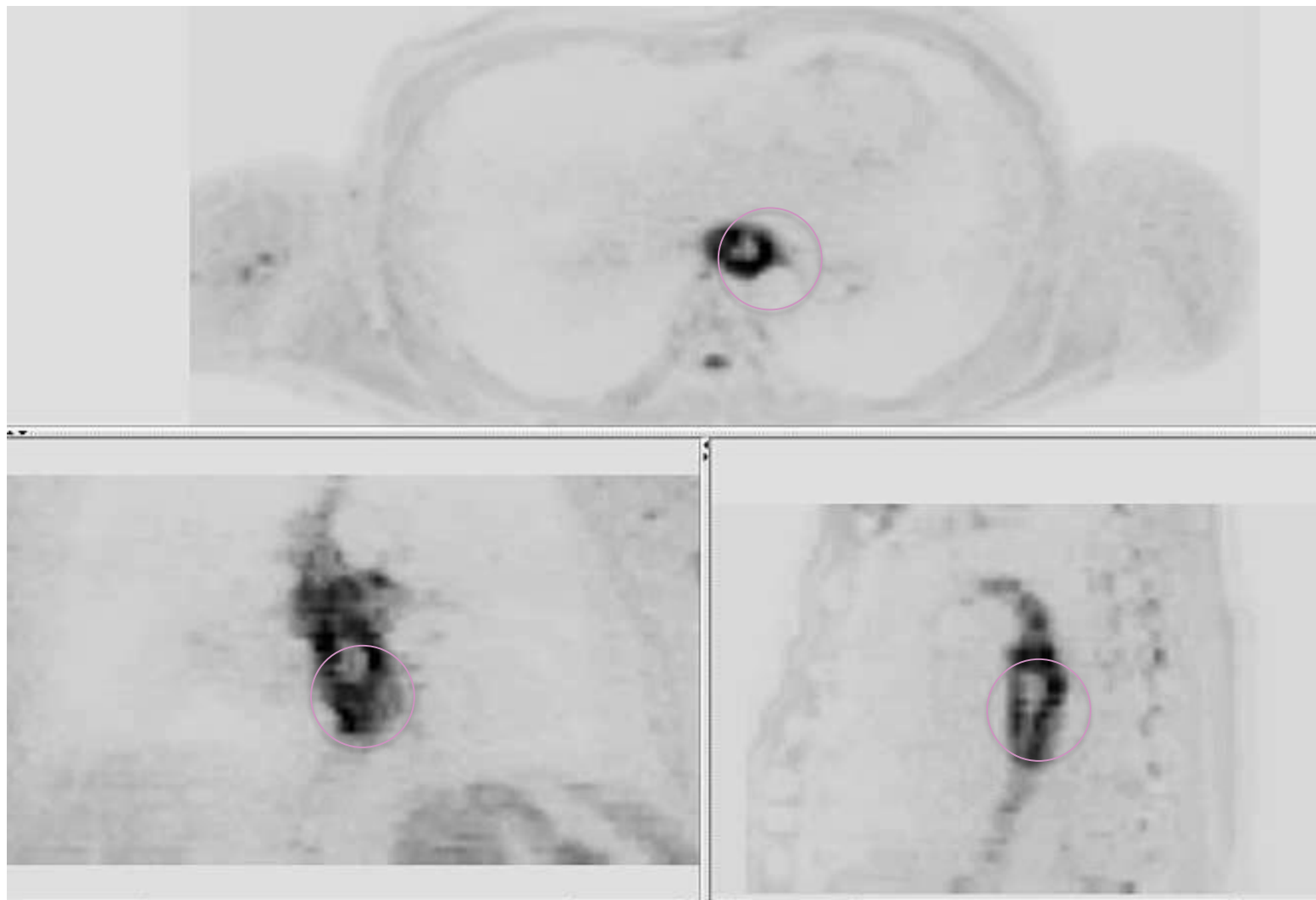


Day 20



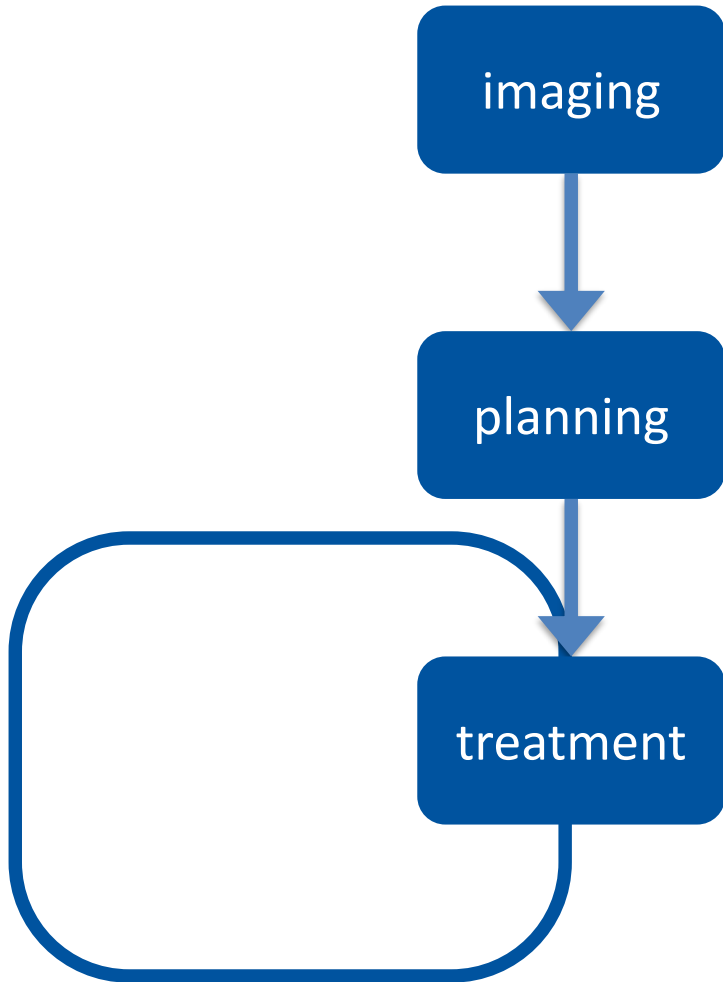
First patient with weekly repeat imaging

## functional changes over time

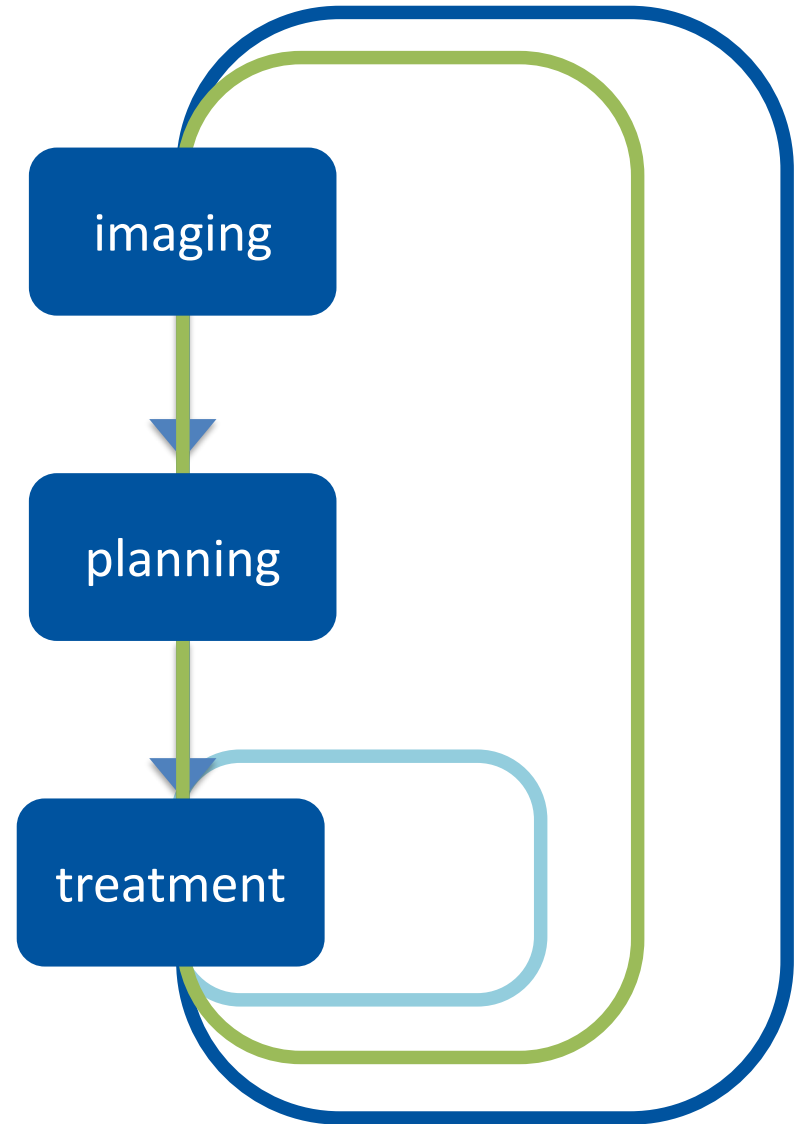




### contemporary RT

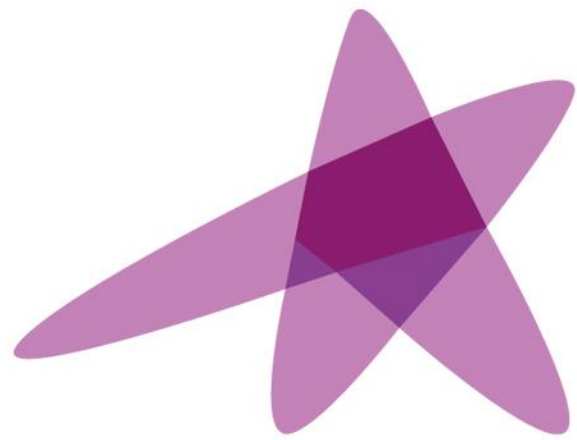


### online MR guided RT



the times they are a changin'





**ESTRO**

*School*

# The doctor's perspective

Neil  
Burnet



Manchester Cancer Research Centre,  
University of Manchester and Christie Hospital,  
Manchester, UK

ATP  
Athens 2018

# Summary

- Small dose differences make a difference (clinically)
- Multi-criteria optimisation (MCO) – improved individualisation
- Keep talking – dialogue = 2 way conversation
  
- Protons
- Normal tissue response
  - More data needed on normal tissue toxicity dose response
  - Dose accumulation in normal tissues
  - Biological variation in normal tissue sensitivity
  - Could we convolve a **biological** measure of individual normal tissue radiosensitivity with the **physical** dose plan

# Small dose differences matter

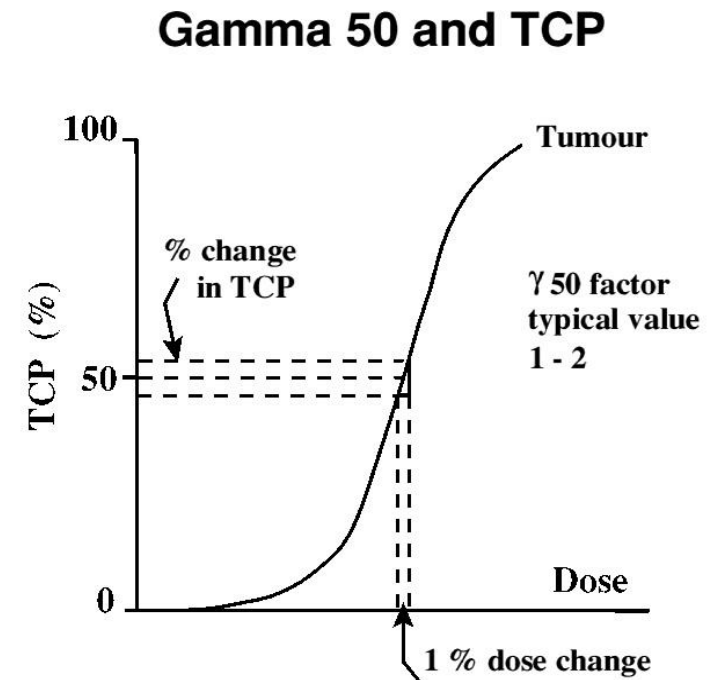
- ‘Marginal gains’
- Application of the concept has been shown to be *very* successful in cycling
- The same applies to what we do ...
- Attention to details will benefit
  - Individual patients
  - Society



Mike on his bike

# Small dose differences matter

- Get the details right – it's worth it!
- Dose response curves are steep
  - For tumour
  - For normal tissue
- A dose change of 5% can lead to a change in TCP of 5 - 10%



# Use the best tools for the job !

- “If you want to treat a complex shape ... like this shell ... then you need IMRT”



Jason and Lucy  
discussing RT  
techniques ...



# Use the best tools for the job !

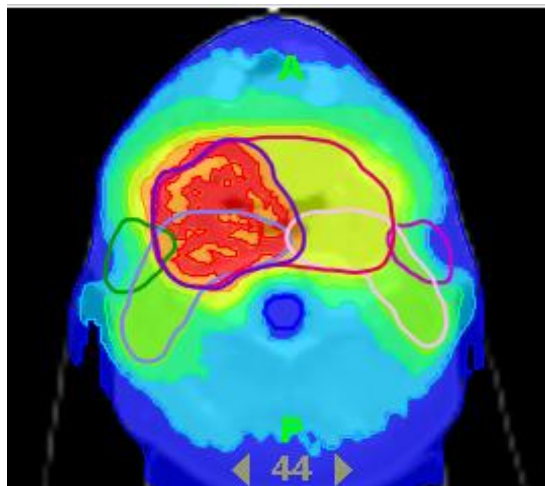
- “If you want to treat a complex shape ... like this shell ... then you need IMRT”



Jason and Lucy  
discussing RT  
techniques ...

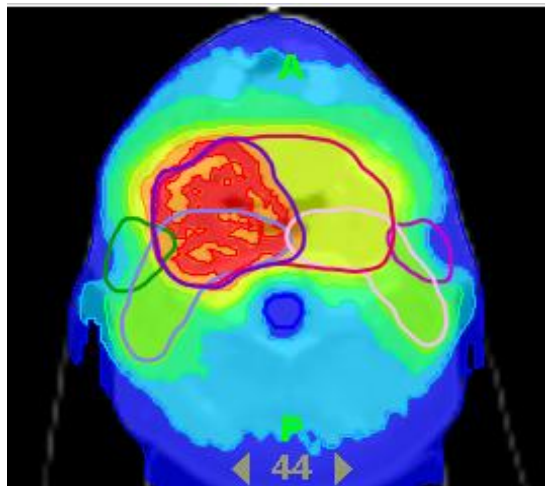
- And for really good IMRT you also need image guidance

# Multi-criteria optimisation (MCO)

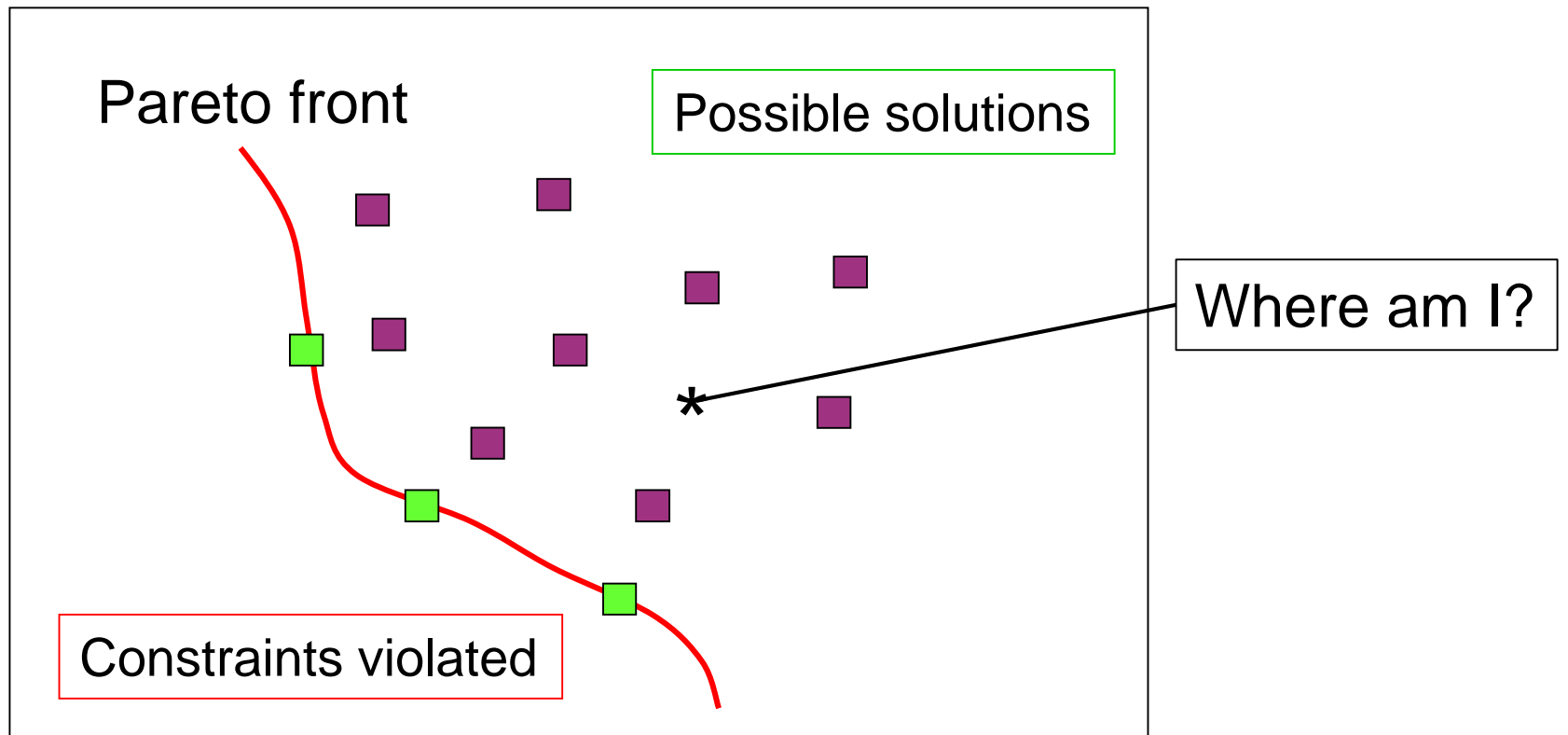


# Multi-criteria optimisation (MCO)

- Multi-criteria (MCO) – prospect of improved individualisation
- Pareto optimisation is basis for IMRT
- Normally have 1 plan from within solution space
- MCO allows real-time examination of solution space
- This might allow (small) improvements in dose plan for individual patients

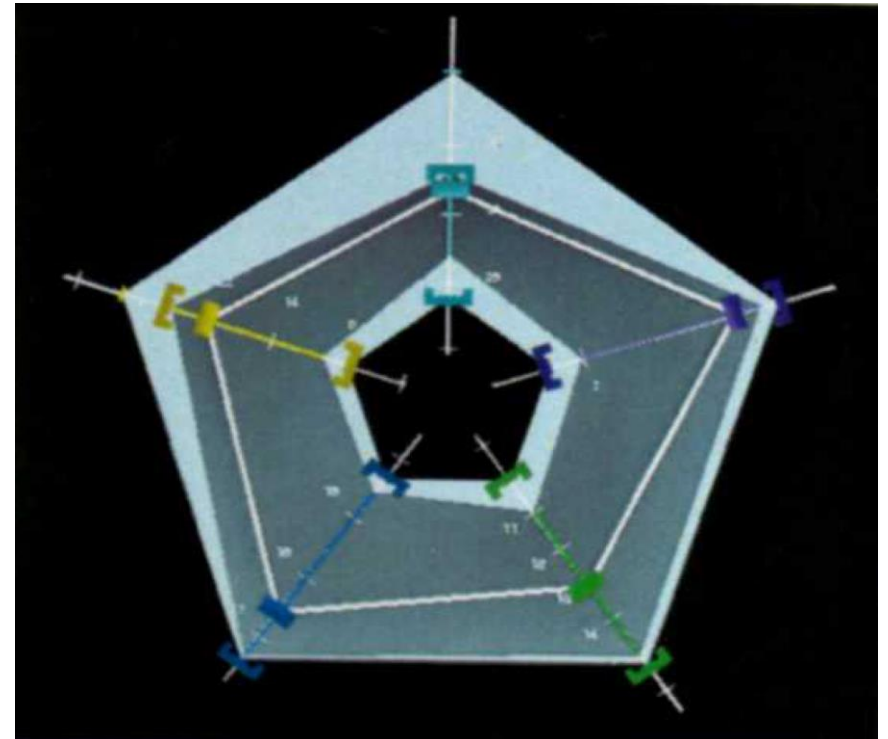


# IMRT – Optimisation



# Multi-criteria optimisation (MCO)

- Developmental version of MCO system
  - Shows normal tissue structures
  - Bounded limits on dose within solution space
- Real-time exploration possible
- Commercial systems available
- Full value not yet known, but appears useful



Courtesy of Fraunhofer Institute

# Dialogue – a key component of happy planning

- Talk to your colleagues ...



... and at least I always get an intelligent answer!

# Protons



Venetian cannon balls Rethymno Fortezza

# Protons

- PBT is harder to use than X-ray therapy
- Full of uncertainties
- Proton beam therapy (PBT) can deliver
  - Lower exit doses – ideal for children
  - Possibly higher doses close to dose-limiting structures
    - Used for skull base and spinal chordoma
- Dose plans ‘less tolerant’ of variation in shape or density
  - Needs consideration of robustness
- Careful comparison is needed



# Clinical benefits of PBT

- **Reduce dose to normal tissues**
  - **Children**
    - Reduce growth impairment
    - Reduce second cancer risk (late)
    - Reduce organ doses
  - **Teenagers and young adults**
    - Same
  - **Older adults**
    - (2) & (3) – but at what age?
    - Dose escalate radio-resistant tumours



# Clinical benefits of PBT

- **Reduce dose to normal tissues**

- **Children**

- Reduce growth impairment
- Reduce second cancer risk (late)
- Reduce organ doses

- **Teenagers and young adults**

- Same

- **Older adults**

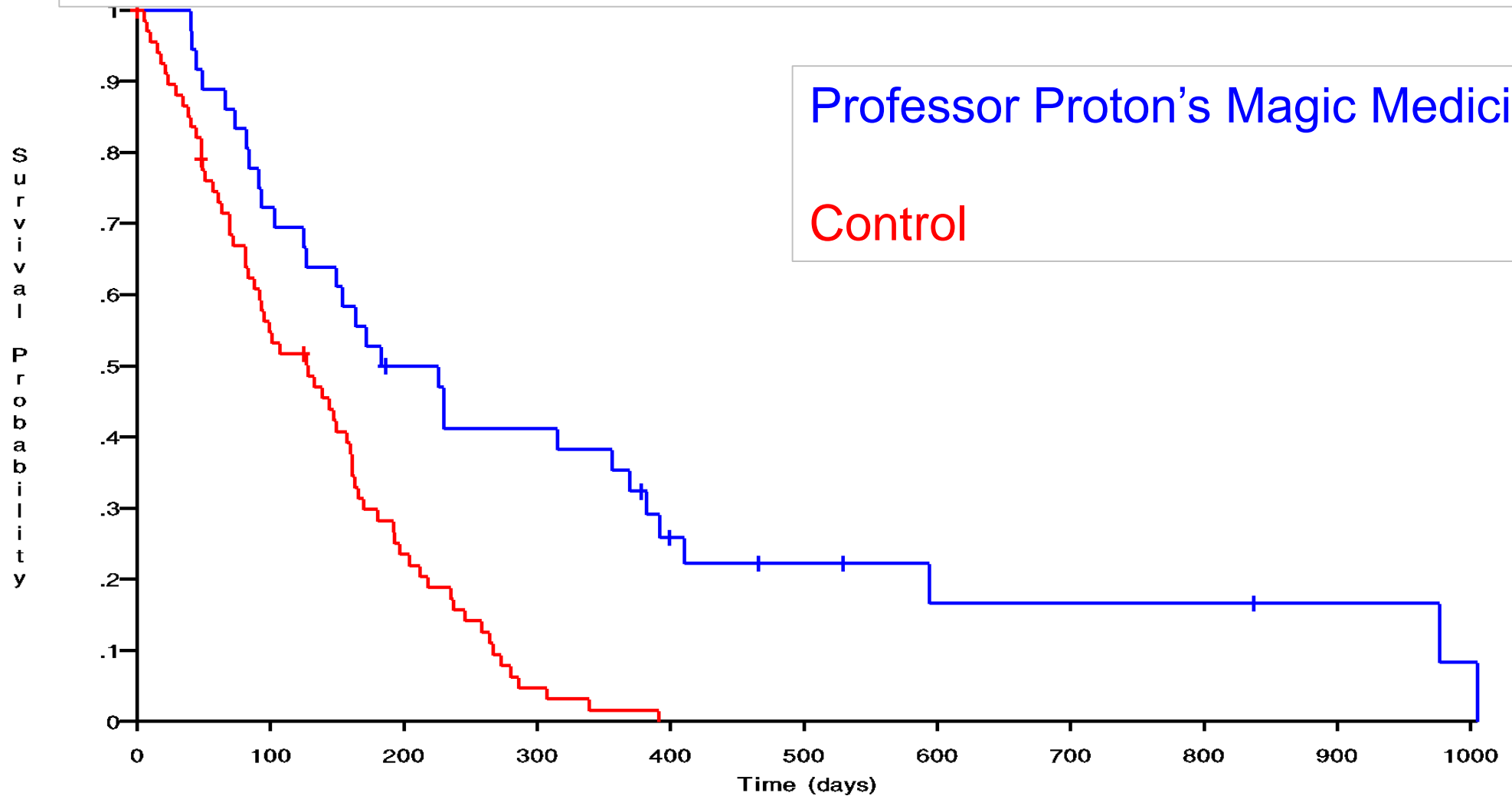
- (2) & (3) – but at what age?
- Dose escalate radio-resistant tumours



What about older adults?

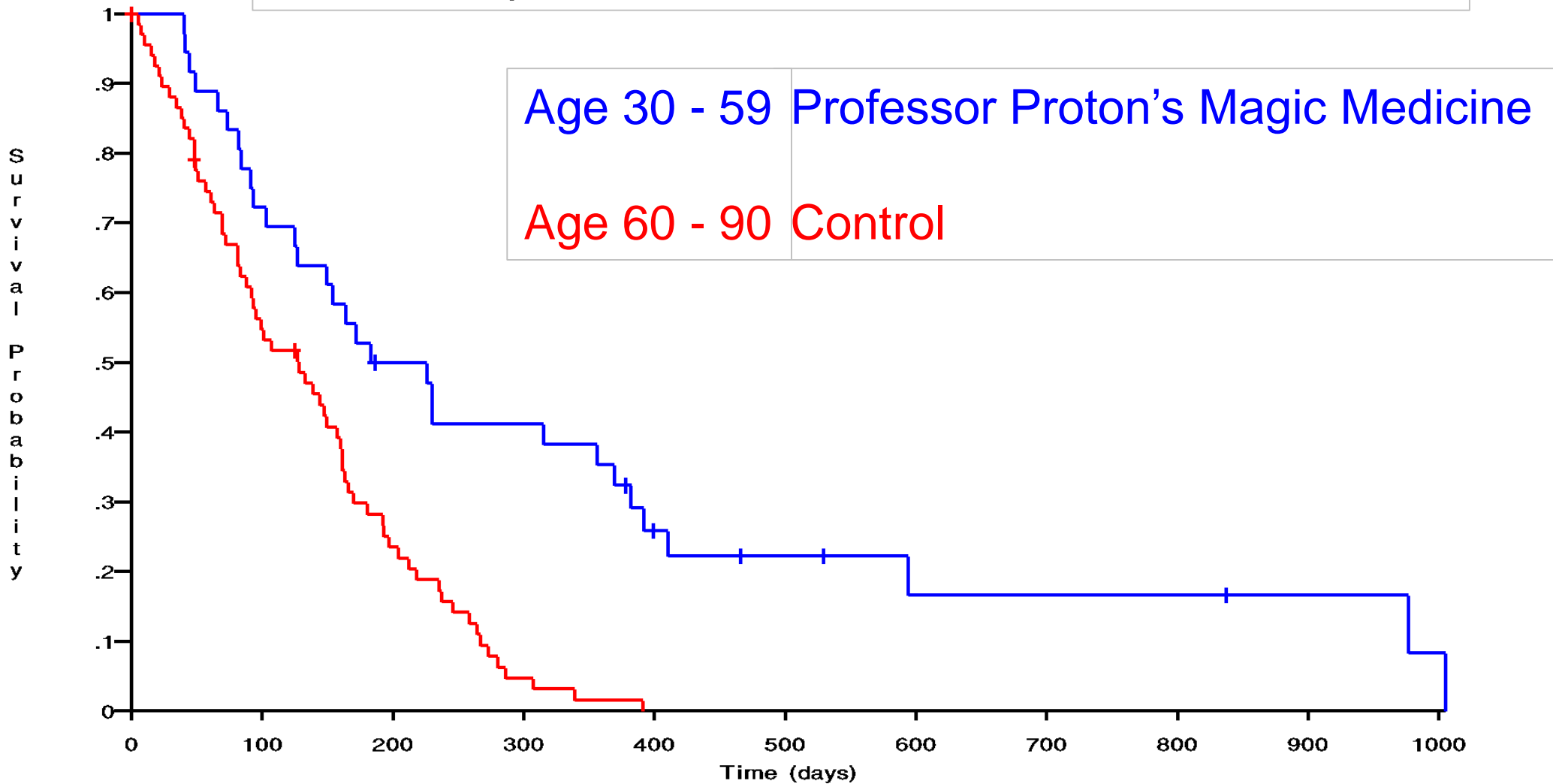
# Patient selection

Survival of patients treated with PP's Magic Medicine compared to control

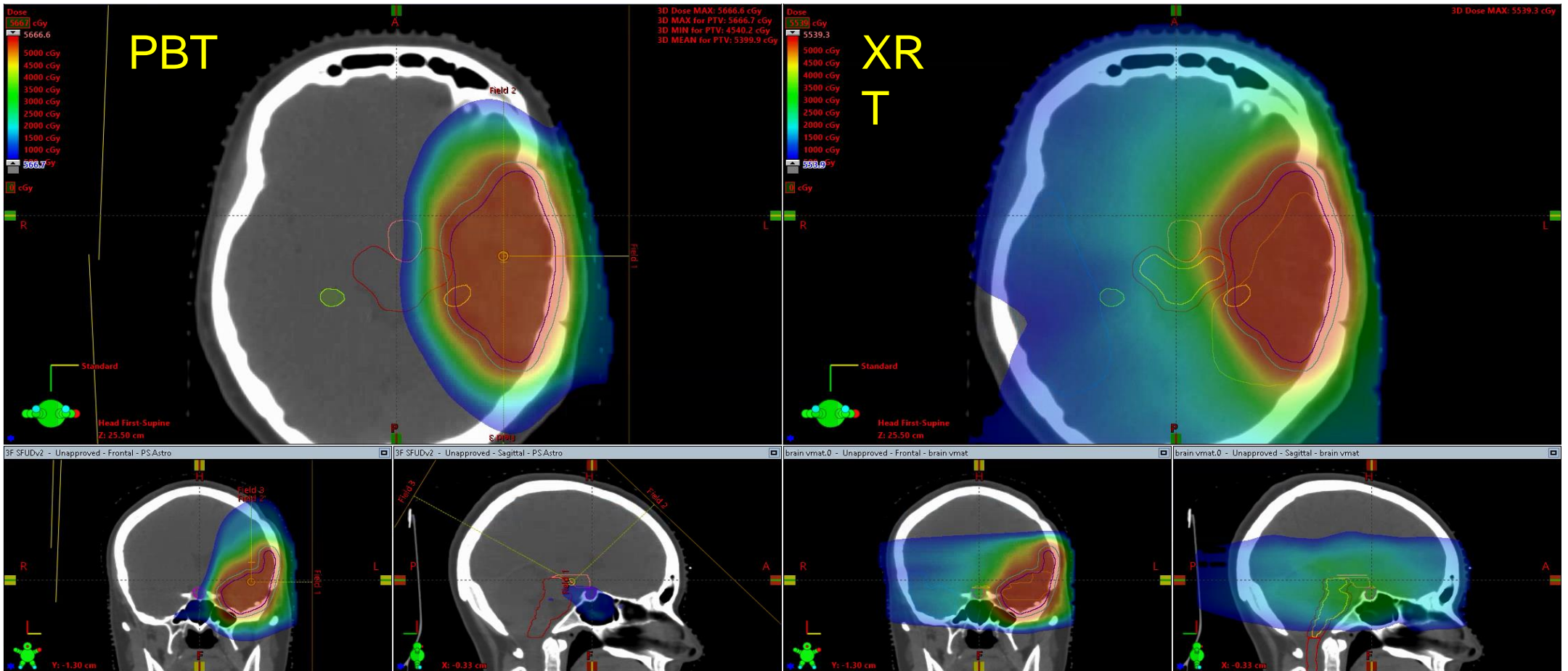


# Patient selection

Survival of patients with GBM treated with Palliative RT



# PBT compared to IMRT



- But what is the *clinical* difference?

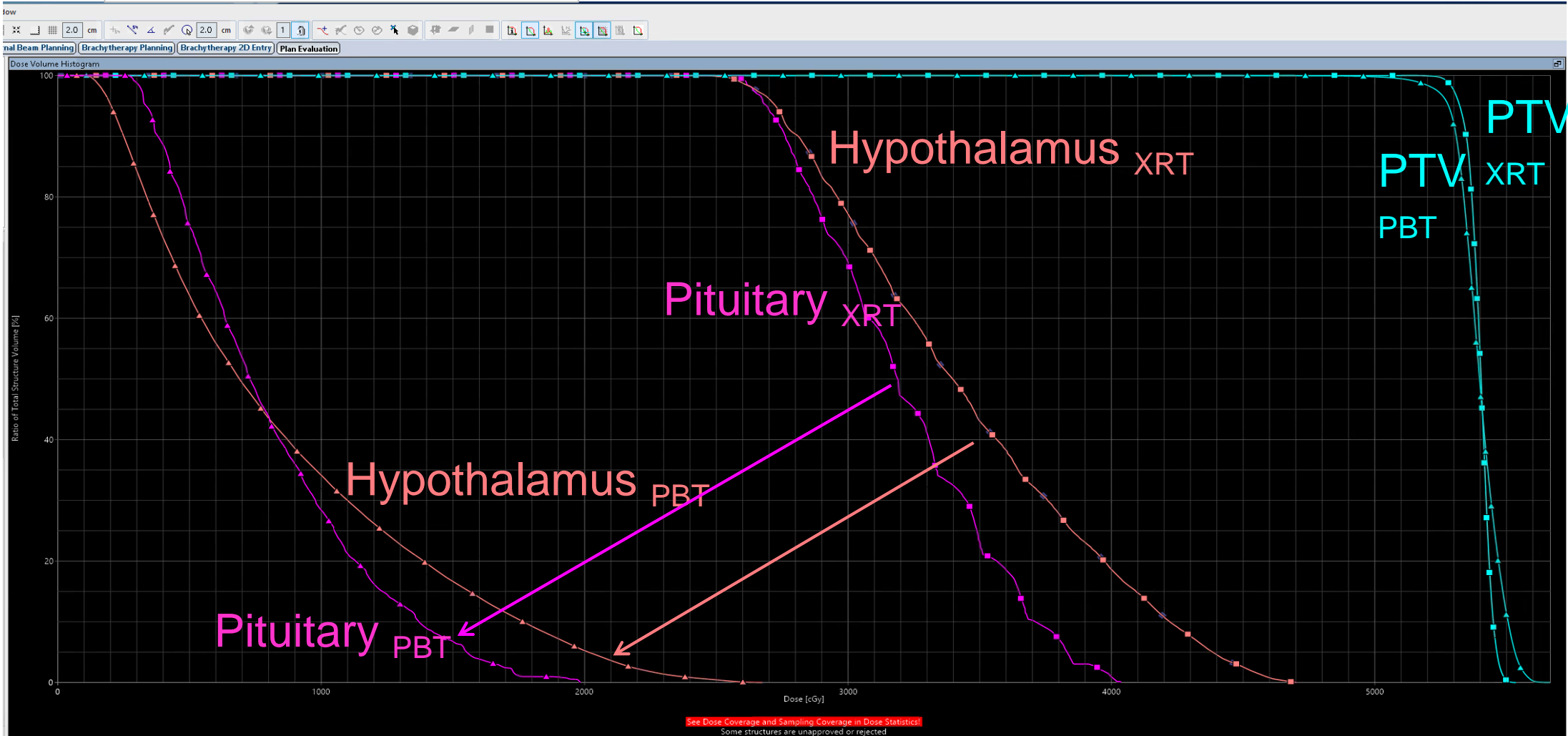
Thanks to Gillian Whitfield

3 field SFO proton plan

Rotational IMRT (VMAT) plan

△ PBT

□ XRT (VMAT IMRT)



- But what is the clinical difference? What are dose limits?

Thanks to Gillian Whitfield

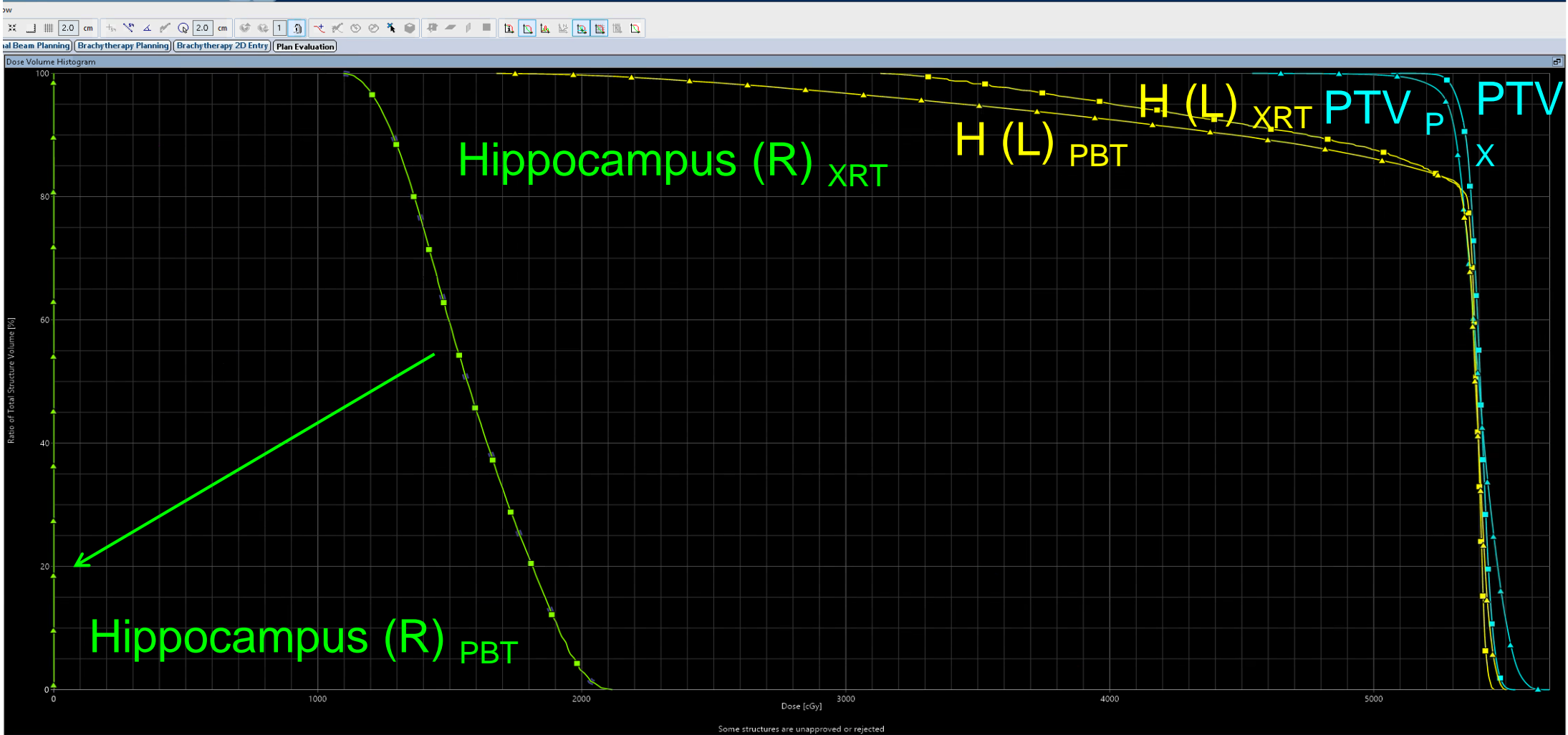
# Hippocampal sparing

- Hippocampal sparing may spare memory



Hippocampus - Ιππόκαμπος

Image courtesy of Google



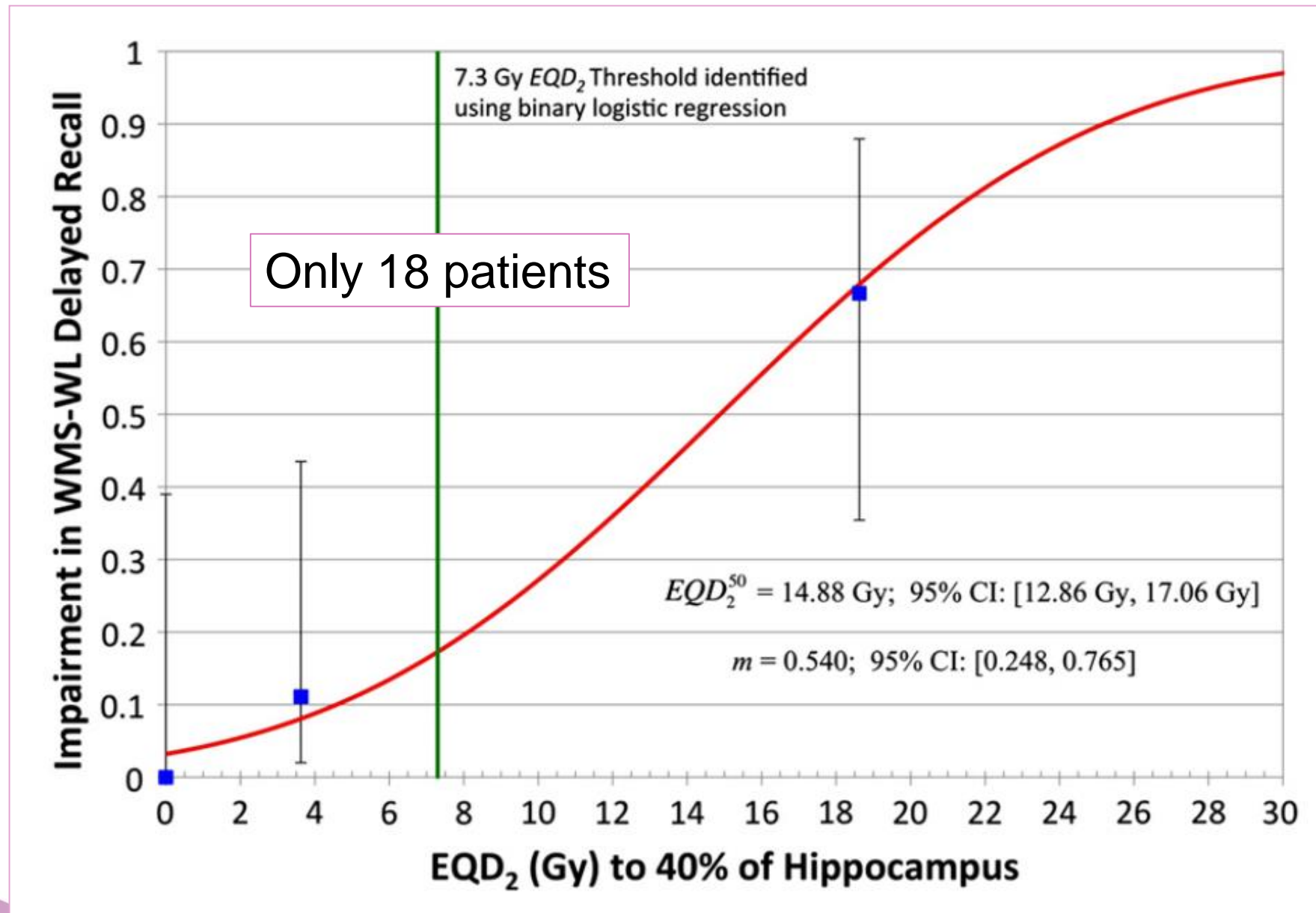
Approval Status	Plan	Course	Volume [cm <sup>3</sup> ]	Dose Cover. [%]	Sampling Cover. [%]	Min Dose [cGy]	Max Dose [cGy]	Mean Dose [cGy]
Unapproved	brain vmat.0	VMAT						
Unapproved	brain vmat.0	VMAT						
Unapproved	brain vmat.0	VMAT						
Unapproved	brain vmat.0	VMAT		1.8	100.0	95.6	3133.3	5456.9
Unapproved	brain vmat.0	VMAT		2.0	100.0	96.9	1097.6	2119.1
Unapproved	3F SFUDv2	SKJ						
Unapproved	3F SFUDv2	SKJ						
Unapproved	3F SFUDv2	SKJ		23.2	100.0	99.9	0.0	5425.9



# Normal tissue sparing

- The dosimetry benefit is obvious
- What *clinical benefit* does this confer?
  - Largely unknown
  - Needs investigation
  - Requires long follow up
- Connecting dose (dose difference) to clinical outcome (differences) is crucial

# Gondi V. et al. IJROBP 2013; 85(2): 348-354



# Normal tissue response data

- More data needed on normal tissue toxicity dose response
- The details of dose response are not known as well as we need
  - Variation in data is considerable
  - Many organs relatively unknown
- NB variation
  - Physical
  - Biological

# Normal tissue response data

- Spinal cord - need to avoid events which define tolerance threshold

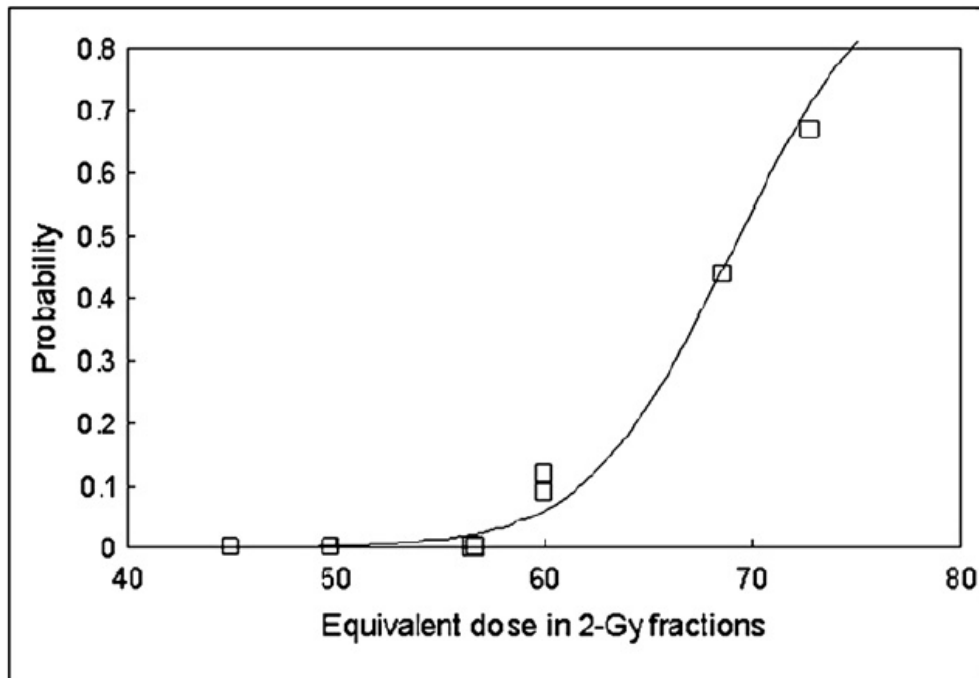


Fig. 1. The dose–response function for the myelopathy of the cervical spinal cord and data points ( $\square$ ) derived from Table 1. The probability of myelopathy was calculated from the data in Table 1, adjusted for estimated overall survival per (18).

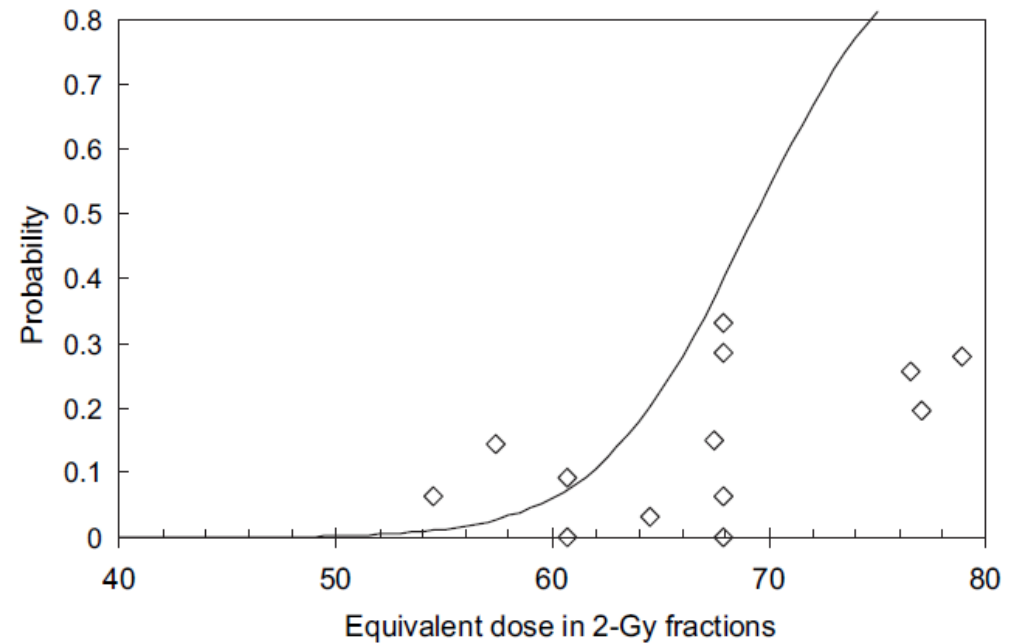
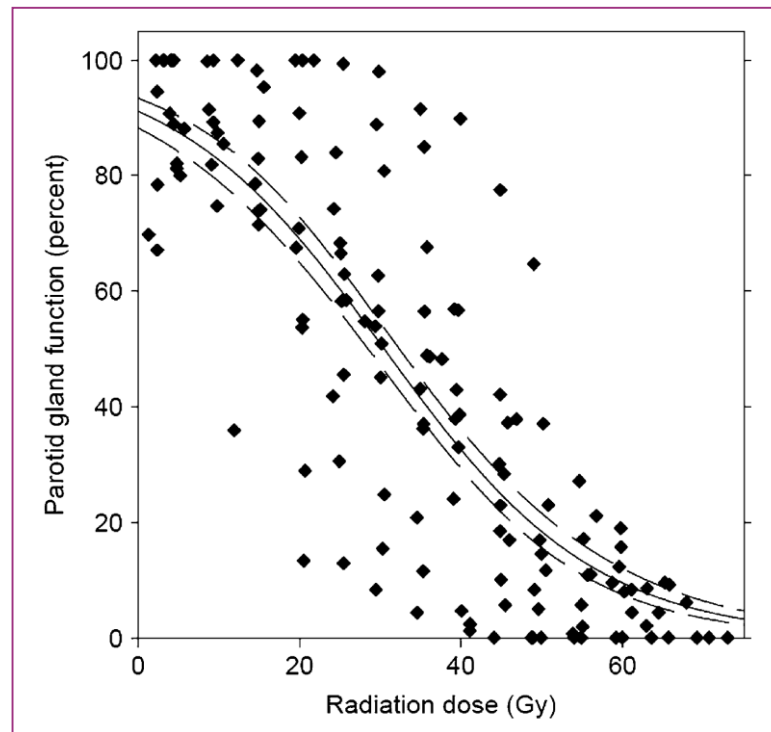


Fig. 2. The dose–response function for myelopathy of the cervical cord (solid line) and data points for the thoracic spinal cord ( $\diamond$ ) derived from Table 2. The probability of myelopathy was calculated from the data in Tables 1 and 2, adjusted for estimated overall survival per (18).

- QUANTEC - Kirkpatrick et al. IJROBP 2010; 76(3): S42-49

# Normal tissue response data

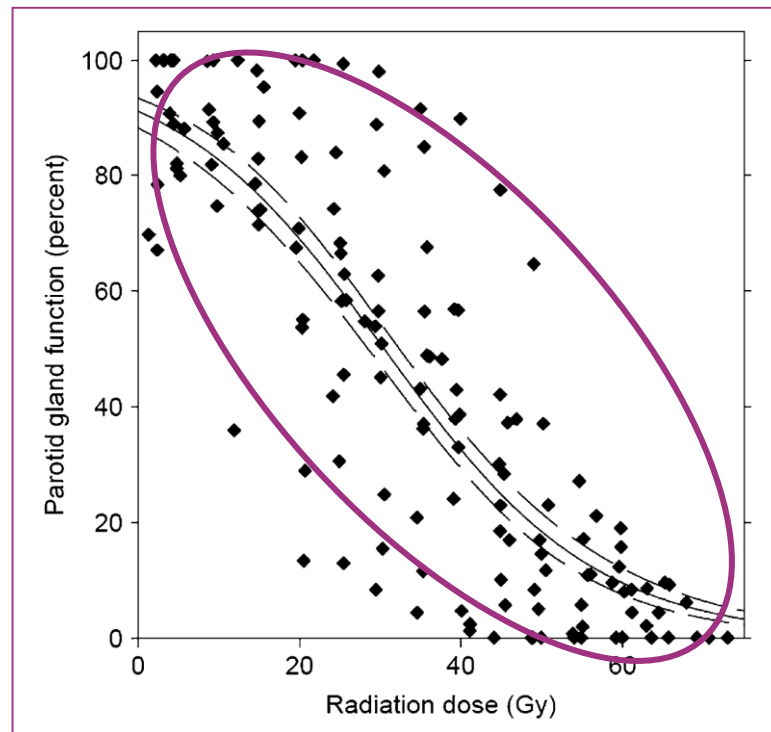
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- Parotid dose-response
- Scatter ...

# Normal tissue response data

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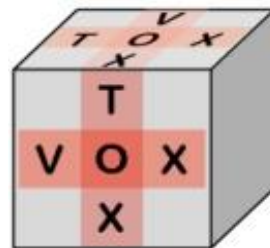


- Parotid dose-response
- Scatter ...

# Dose accumulation – normal tissues

# Dose accumulation – normal tissues

- Standard dose plans are a good approximation to delivered dose
- Dose differences of 10-15% can be detected (eg in trials)
- Further individualisation possible with measurement (estimate) of accumulated dose -  $D_A$
- Our research programme was trying to do just this
  - VoxTox – linking dose at the voxel level with toxicity
  - Consider rectal toxicity ...





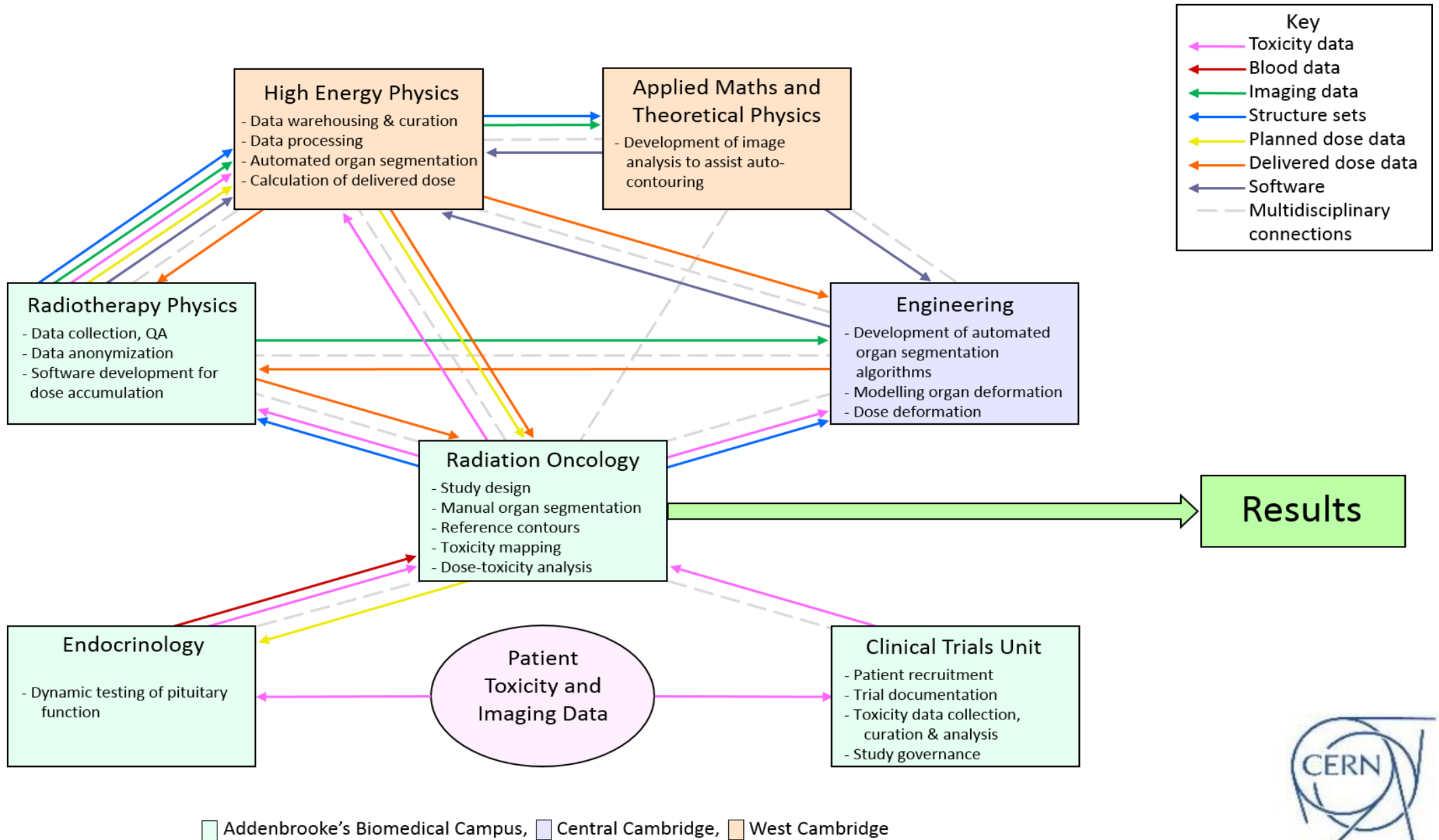
## **Applying physical science techniques and CERN technology to an unsolved problem in radiation treatment for cancer: the multidisciplinary ‘VoxTox’ research programme**

Neil G Burnet\*<sup>1,2</sup>, Jessica E Scaife<sup>1,2</sup>, Marina Romanchikova<sup>1,3</sup>, Simon J Thomas<sup>1,3</sup>, Amy M Bates<sup>1,4</sup>, Emma Wong<sup>1,4</sup>, David J Noble<sup>1,4</sup>, Leila EA Shelley<sup>1,5</sup>, Simon J Bond<sup>1,6</sup>, Julia R Forman<sup>1,6</sup>, Andrew CF Hoole<sup>1,3</sup>, Gillian C Barnett<sup>1,4</sup>, Frederic M Brochu<sup>1,7</sup>, Michael PD Simmons<sup>1,7</sup>, Raj Jena<sup>1,2</sup>, Karl Harrison<sup>1,7</sup>, Ping Lin Yeap<sup>1,7</sup>, Amelia Drew<sup>1,7</sup>, Emma Silvester<sup>1,7</sup>, Patrick Elwood<sup>1,7</sup>, Hannah Pullen<sup>1,7</sup>, Andrew Sultana<sup>1,7</sup>, Shannon YK Seah<sup>1,7</sup>, Megan Z Wilson<sup>1,7</sup>, Simon G Russell<sup>1,4</sup>, Richard J Benson<sup>1,4</sup>, Yvonne L Rimmer<sup>1,4</sup>, Sarah J Jefferies<sup>1,4</sup>, Nicolette Taku<sup>1,2</sup>, Mark Gurnell<sup>1,8</sup>, Andrew S Powlson<sup>1,8</sup>, Carola-Bibiane Schönlieb<sup>1,9</sup>, Xiaohao Cai<sup>1,10</sup>, Michael PF Sutcliffe<sup>1,7</sup>, Michael A Parker<sup>1,7</sup>



- Description of a real multi-disciplinary research group
- Published as the first paper in the inaugural edition

# VoxTox multi-disciplinary relationships





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## Radiotherapy and Oncology

journal homepage: [www.thegreenjournal.com](http://www.thegreenjournal.com)



Prostate cancer radiotherapy

Delivered dose can be a better predictor of rectal toxicity than planned dose in prostate radiotherapy



L.E.A. Shelley<sup>a,b,c,\*</sup>, J.E. Scaife<sup>a,d</sup>, M. Romanchikova<sup>a,b</sup>, K. Harrison<sup>a,f</sup>, J.R. Forman<sup>a,e</sup>, A.M. Bates<sup>a,d</sup>, D.J. Noble<sup>a,d</sup>, R. Jena<sup>a,d</sup>, M.A. Parker<sup>a,f</sup>, M.P.F. Sutcliffe<sup>a,c</sup>, S.J. Thomas<sup>a,b</sup>, N.G. Burnet<sup>a,d</sup>

**Conclusions:** Dosimetric parameters from accumulated dose-surface maps (DSMs) demonstrated stronger correlations with rectal bleeding and proctitis than planned DSMs.

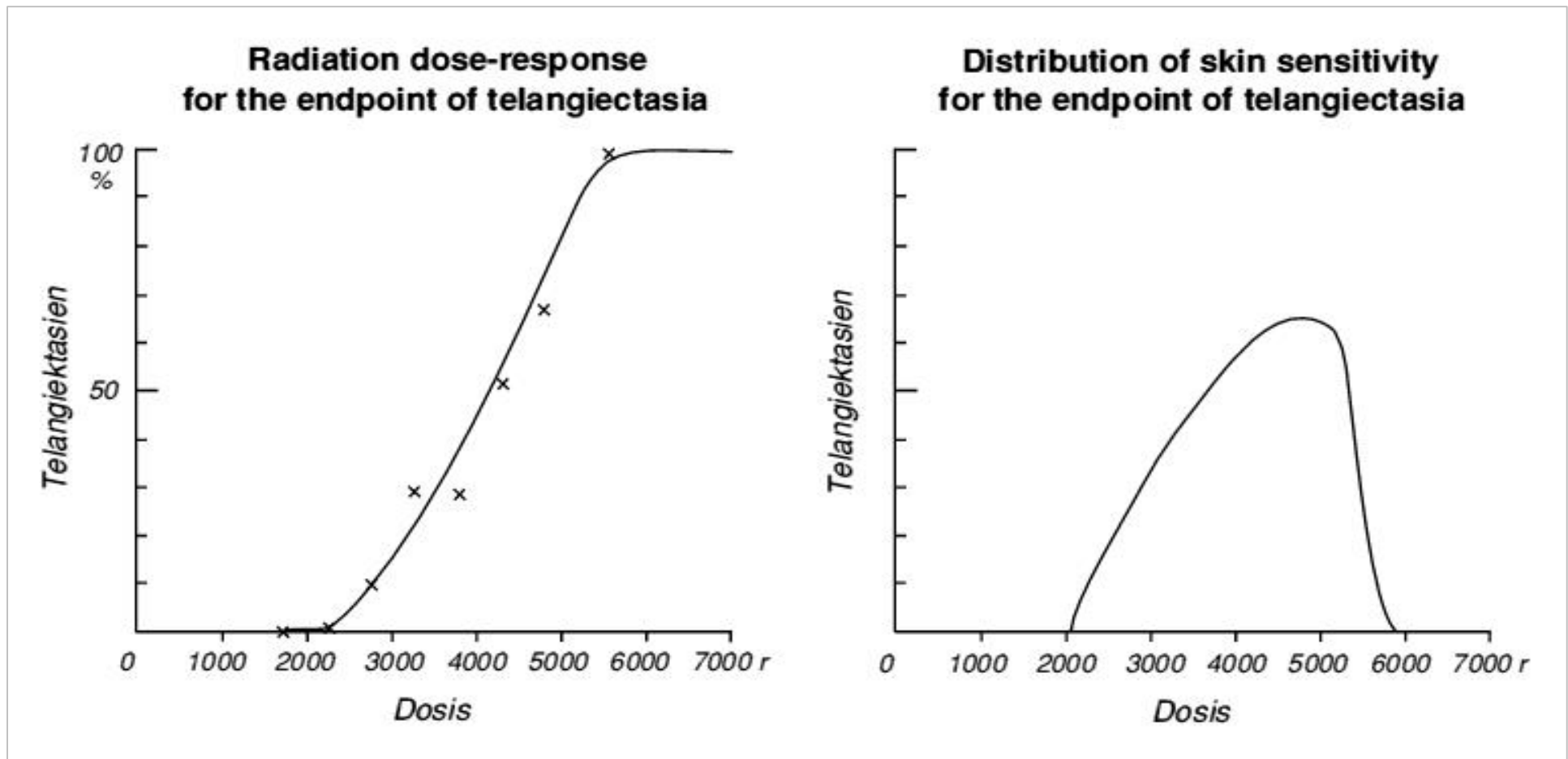
- Very important for understanding NTCP better

108 patients, 4000 daily IG CT scans

# Individual variation in normal tissue sensitivity

# Individual variation in normal tissue sensitivity

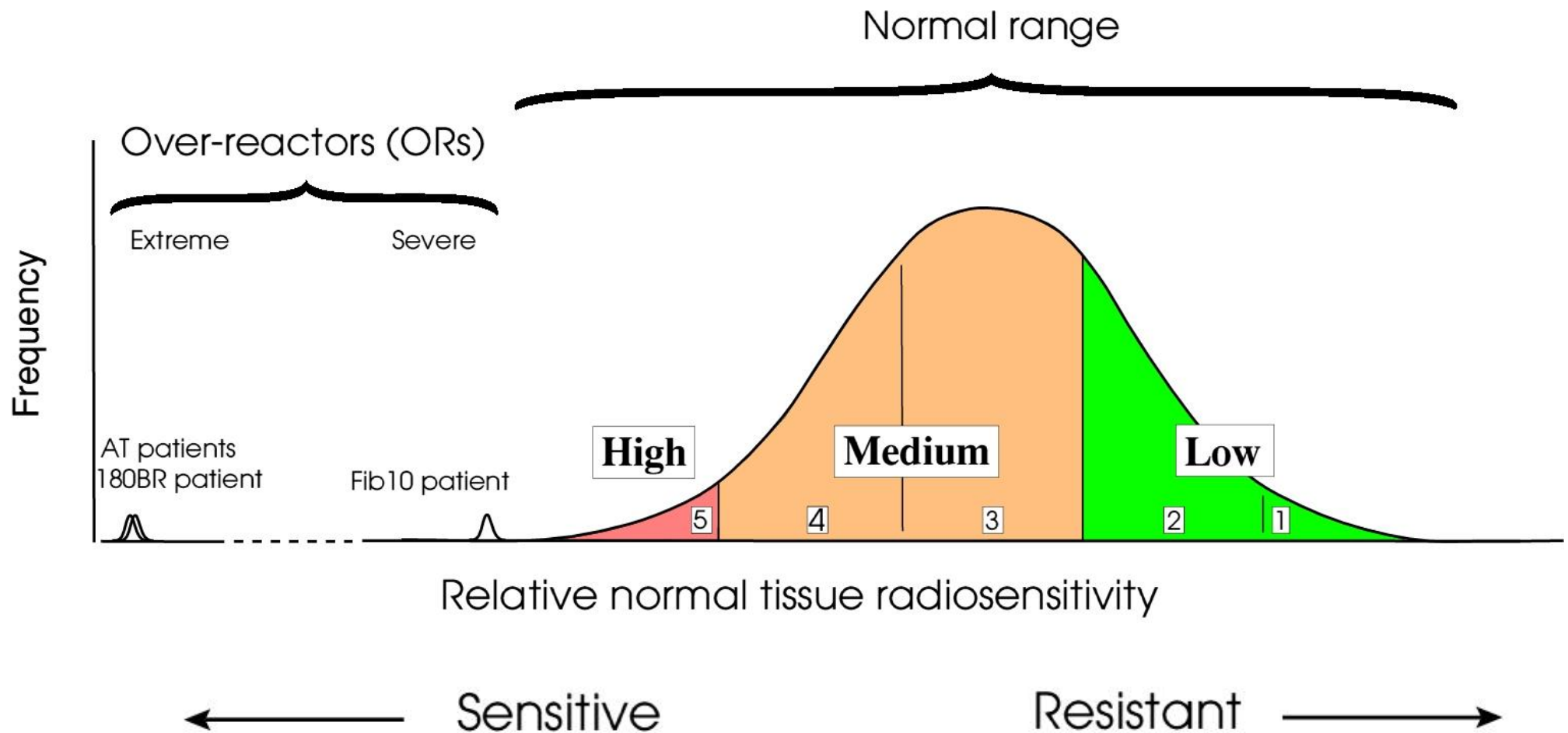
Holthusen H. Strahlentherapie 1936; 57: 254-69



- Matches clinical experience

# Individual variation in normal tissue sensitivity

Idealised normal tissue response - relative scale

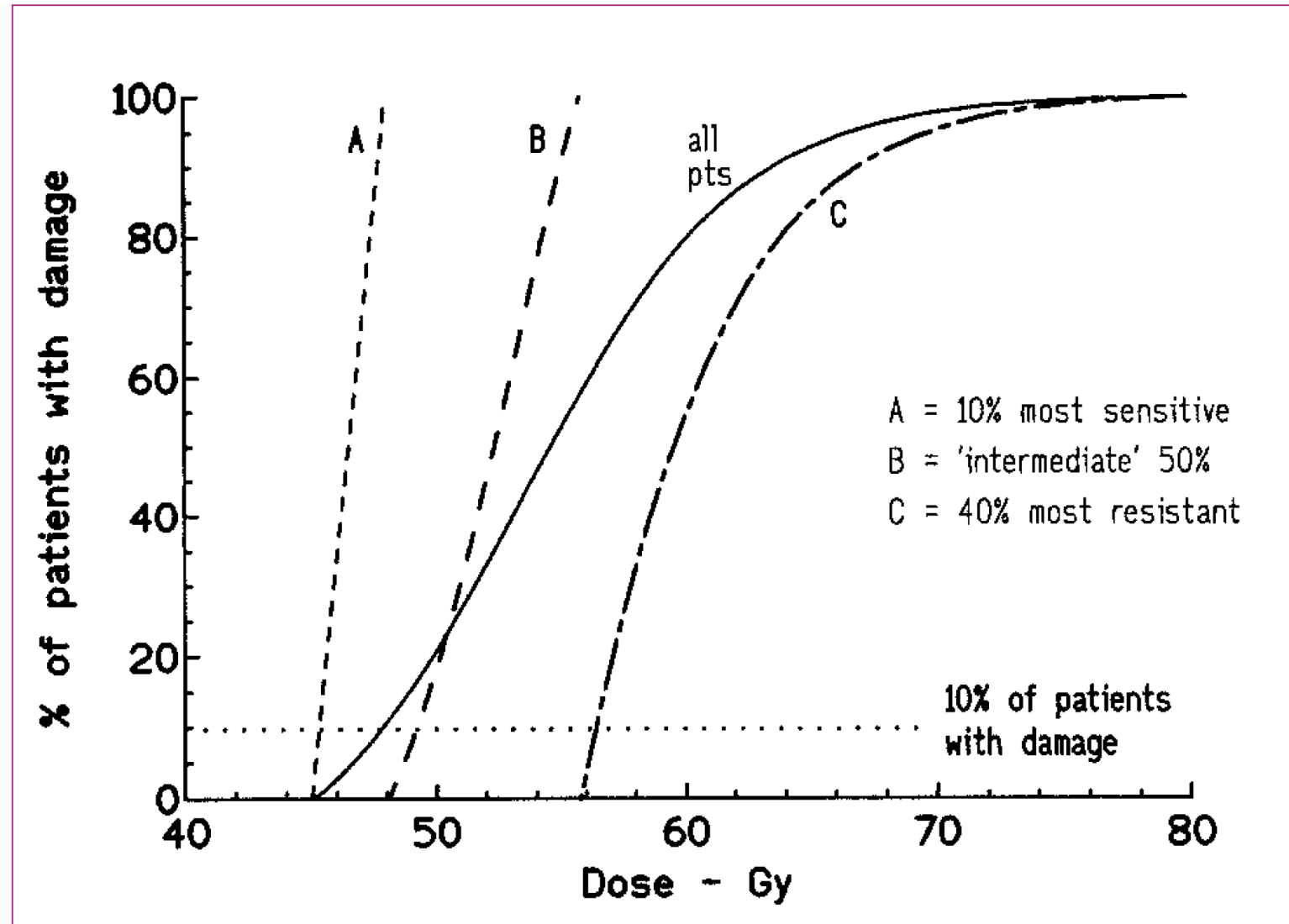


# Individual variation in normal tissue sensitivity

- Variation in response harder to observe with mega-voltage beams because of skin sparing
- Could be exploited:
  - To **avoid toxicity** in sensitive patients
    - $\leq 5\%$  of patients
  - To **dose escalate** resistant patients
    - 40% of patients - dose escalate up to  $\sim 15\%$
- Other methods to measure normal tissue response are needed, to produce more & better dose response data

# Individual variation in normal tissue sensitivity

- Example data
- Skin telangiectasia



- Source data from Ingela Turesson, Göteborg

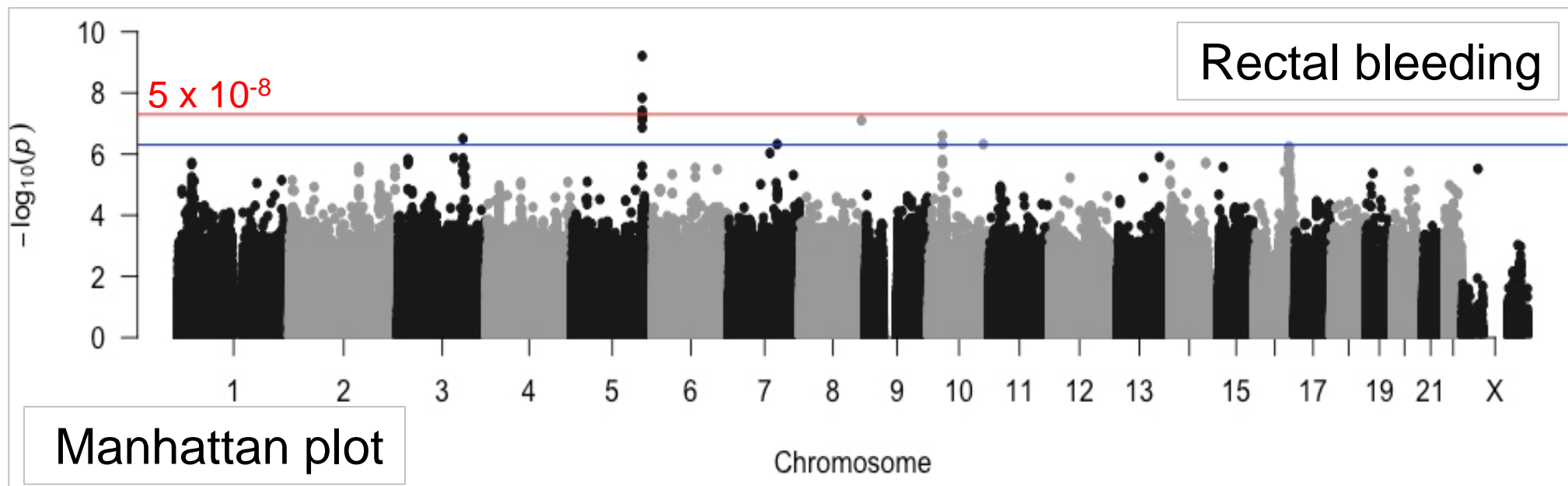


# Individual variation in normal tissue sensitivity

- Definite evidence that *normal* genetic variation is linked to variation in tissue response or toxicity
- Major developments in last 4 years
- Not yet ready for clinical application

# RAPPER

- Clinical data and DNA on ~10,000 patients



- Definite polymorphisms linked with variation in toxicity
- Relevant for PBT
- But ... tissue specific



# Individual variation in normal tissue sensitivity

Radiotherapy and Oncology 2016 Dec;121(3):431-439.

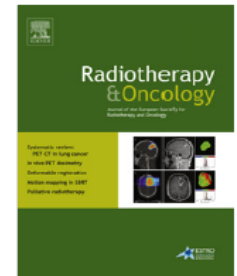


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Radiotherapy and Oncology

journal homepage: [www.thegreenjournal.com](http://www.thegreenjournal.com)



Original article

Individual patient data meta-analysis shows a significant association between the *ATM* rs1801516 SNP and toxicity after radiotherapy in 5456 breast and prostate cancer patients

- Andreassen CN et al. for International Radiogenomics Consortium
- Convincingly shows significant association between specific allele in *ATM* gene and increased risk of normal tissue toxicity from RT

# Bridging to clinical application



Hellenistic bridge at Eleftherna

# Convolving individual radiosensitivity & individual dose accumulation

- Could we put together a ‘signature’ of individual normal tissue radiosensitivity and an individual estimate of dose accumulation ( $D_A$ ) ?
- This develops the concept of individualisation (or personalisation) even more
  - Biology meets more physics
- Also important to better understand dose-response

# Convolving individual radiosensitivity & individual dose accumulation

Percentages of patient in different risk categories			
Sensitivity	Dose difference (Planned - DA)		
	D <sub>A</sub> worse (30%)	D <sub>A</sub> same (30%)	D <sub>A</sub> lower (40%)
Most sensitive (10%)	3%	3%	4%
Average (50%)	15%	15%	20%
Most resistant (40%)	12%	12%	16%

# Doctor's perspective

- Radiotherapy has a crucial role in cancer care
- Many developments still required
- There is always still the physics
- There is always still the margin maths – getting more probabilistic
- There is always still the biology
- Small differences make a difference
- Ultimately we are working towards improving patients' outcomes

# Doctor's perspective

Better radiotherapy for our patients – a real **team effort**

First IG-IMRT patient - 31<sup>st</sup> October 2007





Thank you for listening

