

WELCOME AND INTRODUCTION

NEW

# UPPER GI: TECHNICAL AND CLINICAL CHALLENGES FOR RADIATION ONCOLOGISTS

28 - 31 May 2016  
Brussels, Belgium

# WELCOME AND INTRODUCTION

## COURSE AIM

The aim of the course is to support an interactive educational environment by peer review of each step of radiation therapy practice (indication, prescription, delineation, planning, IGRT, outcome evaluation) according to the modern available technologies and knowledge and taking care of the clinician, physicist and RTT perspectives.

# WELCOME AND INTRODUCTION

## COURSE AIM

Specialists of different disciplines will support the radiation oncology audience in understanding the clinical needs, anatomic and pathologic details, and the therapeutic achievements needed to exploit the radiation technology at the best.

# WELCOME AND INTRODUCTION

## COURSE AIM

### - Radiation Oncologists

Vincenzo Valentini (IT)

Marcel Verheij (NL)

Philippe Maingon (FR)

### - Physicist,

Dirk Verellen (BE)

### - RTT

Lisa Wiersema (NL)

### - Delineation Administrator

Francesco CELLINI, RO (I)

### - Surgeon,

William Allum (UK)

### - Medical oncologist

Florian Lordick (DE)

Alain Hendlisz (BE)

### - Radiologist

Angela Riddell (UK)

### - Pathologist

Alexander Quaas (DE)

# WELCOME AND INTRODUCTION

## LEARNING OUTCOMES

By the end of this course, for each upper GI tumour site, participants should be able to practice:

- Proper indication for radiation therapy in a multidisciplinary perspective
- Prescription
- Tailored delineation according to tumour location and stage
- Dose distribution optimisation and comparison
- Optimal use of available IGRT technologies
- Proper monitoring of tumour response and control.

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## COURSE CONTENT

### *Session 1: Prescription*

Participants will be invited to make their prescription on cases, that will be afterward delineated and planned in the following sessions, by a monkey questionnaire. Lectures on imaging based staging and state of art of treatment will help the final discussion.

# WELCOME AND INTRODUCTION

## COURSE CONTENT

## Clinical cases

### Esophageal

- Mid third
- GEJ

### Gastric

- Partial gastrectomy
- Total gastrectomy

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## COURSE CONTENT

Esophageal cancer			
Session 1 Prescription	13.00-13.30	Prescription interactive exercise	All
	13.30-14.50	Lecture (20'): Imaging based staging and response evaluation	A.Riddell
		Lecture (20'): state of art of surgery in a combined treatment perspective	W.Allum
		Lecture (20'): state of art of radiation therapy in a combined treatment perspective	V.Valentini
		Lecture (20'): state of art of chemotherapy in a combined treatment perspective	A.Hendlisz
	14.50-15.30	Prescription interactive exercise	All teachers



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## COURSE CONTENT

30 May (Monday)			Speaker
Gastric cancer			
Session 7 Prescription	8.30-9.00	Prescription interactive exercise	All
	9.00-10.20	Lecture (20'): Imaging based staging	A.Riddell
		Lecture (20'): state of art of surgery in a combined treatment perspective	W.Allum
		Lecture (20'): state of art of radiation therapy in a combined treatment perspective	V. Valentini
		Lecture (20'): state of art of chemotherapy in a combined treatment perspective	F.Lordick
10.20-11.00	Prescription interactive exercise	All teachers	

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### ***Session 2: Delineation (Falcon session)***

The previously discussed cases will be available for a tutored small working group delineation exercise. A video on surgical procedure highlighting the key surgical steps to better understand local anatomy will be commented by a surgeon.

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## COURSE CONTENT

<b>Session 2: Delineation (Falcon hands-on session)</b>	16.00 – 16.45	<b>Hands-on: Group 1 delineation Middle Third</b>	Tutors: M.Verhej, F.Cellini, L.Wiersema
		<b>Hands-on: Group 2 video on surgical procedure (highlight of key surgical steps)</b>	W.Allum
	16.45 – 17.30	<b>Hands-on: Group 2 delineation Middle Third</b>	Tutors: M.Verhej, F.Cellini, L.Wiersema
		<b>Hands-on: Group 2 video on surgical procedure (highlight of key surgical steps)</b>	W.Allum

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## COURSE CONTENT

<b>Anticipation Session 4</b>	17.30-17.50	<b>Lecture (20'): Chemotherapy toxicity constraints</b>	A.Hendlisz
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## COURSE CONTENT

### ***Session 3: Delineation***

Lectures on primary tumour extension and nodal subsite involvement based on pathology evaluation and modern imaging will support the final recommendation for subsite delineation by stage and tumour position for the delineated cases.

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## COURSE CONTENT

<b>Session 3: Delineation</b>	8.30 – 10.30	<b>Lecture (15'): Primary tumor extension pathology evaluation</b>	A.Quaas
		<b>Lecture (15'): Nodal subsite involvement for stage and tumor position</b>	A.Quaas
		<b>Lecture (30'): Imaging of primary and nodal subsite boundaries?</b>	A.Riddell
		<b>Lecture (20'): recommendation for subsite delineation by stage and tumor position</b>	P.Maingon
		<b>Discussion on delineation exercises (40')</b>	All teachers

<b>Session 9: Delineation</b>	14.00 – 16.05	<b>Lecture (20'): Primary tumor extension and nodal subsite involvement</b>	A.Quaas
		<b>Lecture (20'): Imaging of primary and nodal subsite boundaries?</b>	A.Riddell
		<b>Lecture (20'): incidence and location of local recurrences after combined treatment</b>	W.Allum
		<b>Lecture (20'): recommendation for subsite delineation by stage and tumor position</b>	F.Cellini
		<b>Discussion on delineation exercises (45')</b>	All teachers

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## COURSE CONTENT

### ***Session 4: In room imaging guided radiotherapy***

The choice among competitive plans for the cases by interactive systems will be supported by lectures on dose issues for tumour control and constrains for organ at risk.

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## COURSE CONTENT

<b>Session 4: Planning</b>	11.00 – 12.40	<b>Lecture (20'): Dose issues in esophageal tumor control</b>	M. Verheij
		<b>Lecture (20'): Dose constrains for organ at risk</b>	P.Maingon
		<b>Interactive lecture (60'): choice among competitive plans for early and locally advanced esophageal cancer</b>	D.Verellen
<b>Session 10: Planning</b>	16.30 – 18.00	<b>Lecture (20'): Dose issues in gastric tumor control</b>	M. Verheij
		<b>Lecture (20'): Dose constrains for organ at risk</b>	P. Maingon
		<b>Lecture (20'): Chemotherapy toxicity constraints</b>	F.Lordick
		<b>Interactive lecture (60'): choice among competitive plans for gastric cancer</b>	D.Verellen



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## COURSE CONTENT

### *Session 5: Planning*

Drill and practice exercise in small working groups on how to determine PTV margin, and IGRT by portal imaging and CT cone beam will favor discussion on the daily dose delivery issues.

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## COURSE CONTENT

<b>Session 5: In room imaging guided radiotherapy</b>	14.00 – 14.45	<b>Hands-on: Group 1 How to determine PTV margin</b>	D.Verellen
		<b>Hands-on: Group 2 Tips and tricks on in room IGRT</b>	M. Verheij, F.Cellini, L.Wiersema
	14.45 – 15.30	<b>Hands-on: Group 2 How to determine PTV margin</b>	D.Verellen
		<b>Hands-on: Group 1 Tips and tricks on in room IGRT</b>	P. Maingon, F.Cellini, L.Wiersema

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### *Session 6: What we learn by failure analysis and future perspective*

The challenge of tumour recurrence will be addressed by lectures on how to distinguish primary recurrence vs nodal recurrence by imaging, on incidence and location of local recurrences and on the new treatment perspectives.

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<b>Session 6: what we learn by failure analysis and future perspective</b>	15.50 – 17.00	<b>Lecture (15'): how to distinguish primary recurrence vs nodal recurrence by imaging</b>	A.Riddell
		<b>Lecture (15'): incidence and location of local recurrences after only surgery</b>	W.Allum
		<b>Lecture (15'): incidence and location of local recurrences after only radiotherapy</b>	M. Verheij
		<b>Discussion (10')</b>	All teachers
		<b>Lecture (15'): new perspectives in esophageal cancers</b>	P. Maingon

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## COURSE CONTENT

<b>Session 12 : what we learn by failure analysis and future perspective</b>	10.30 – 11.30	<b>Lecture (20') : how to distinguish recurrence by imaging</b>	A.Riddell
		<b>Lecture (20') : new perspectives in gastric cancers</b>	M. Verheij
		<b>Discussion (20')</b>	

<b>Session 13 : end of the course</b>	11.30 – 12.00	<b>Take home messages</b>	V. Valentini
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# WELCOME AND INTRODUCTION

41 participants



6   
Australia

1   
Giordania

1   
Oman



# Imaging based staging and response evaluation in Esophageal Cancer

Dr Angela M Riddell

Royal Marsden, London. UK

# Esophageal Cancer - Current Staging Strategy

- Diagnosis – Endoscopic biopsy
- Initial Imaging:
  - MDCT
- Potentially curable disease:
  - EUS – Early disease, Proximal/ Distal Extent
  - PET/CT – exclude distant spread
  - Laparoscopy

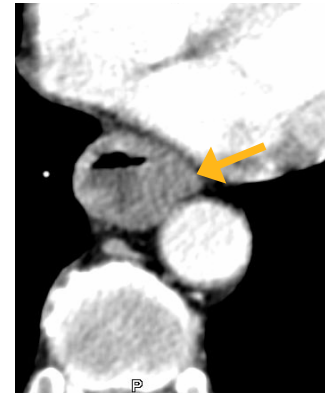


# T staging - MDCT

## Initial Staging

- **T stage** - based on wall thickness and outline
- Limited soft tissue contrast
- Poor for early tumours

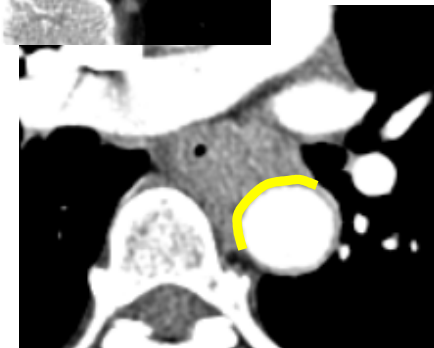
T Stage	Wall thickness	Wall Contour
T2	>3mm, <5mm	Smooth
T3	5-15mm	Irregular
T4	>15mm	Contact with adjacent structure



pT2



pT3



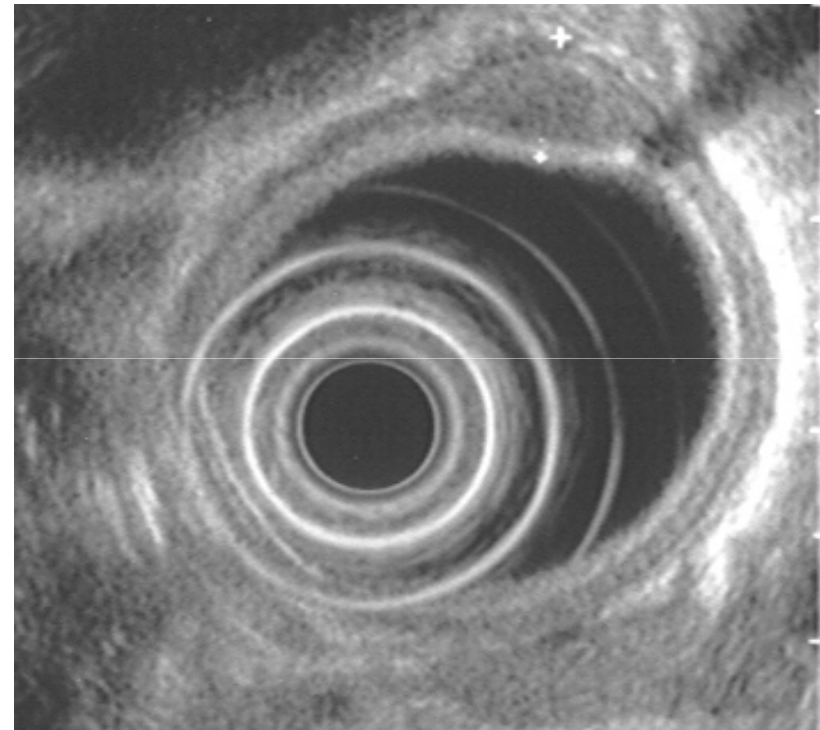
pT4

T Staging Accuracy - 74%\*

\* Davies, A. R., D. A. Deans, et al. (2006). Dis Esophagus 19(6): 496-503

# T staging - Endoscopic Ultrasound (EUS)

- Endoscopic Ultrasound is able to delineate the layers of the oesophageal wall
- More accurate staging of tumours confined within the wall (<T3)



pT1 tumour

Courtesy of Dr Martin Benson

## T Staging - EUS

- Limitation: stenotic tumours
- These tumours are likely to be locally advanced\*
- Such patients should be offered neoadjuvant therapy

\*Worrell, S. G., D. S. Oh, et al. (2014). J Gastrointest Surg **18**(2): 318-320.

# N Staging - MDCT

- CT - high specificity, but low sensitivity
- Based on size criteria (short axis):
  - ≥6mm perigastric
  - ≥ 8mm extra perigastric
  - ≥10mm mediastinum



Accuracy of N staging	
Oesophageal Cancer	68%*
Gastric Cancer	67%†

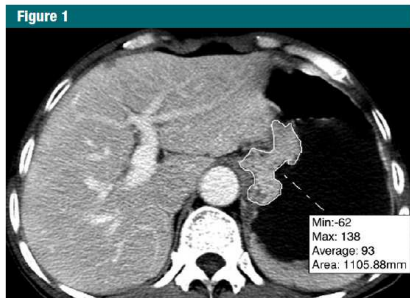
Stage	No of Regional Nodes
N1	≤2
N2	3-6
N3	≥7

\* Davies, A. R., D. A. Deans, et al. (2006). Dis Esophagus **19**(6): 496-503

†Hur, J., M. S. Park, et al. (2006). J Comput Assist Tomogr **30**(3): 372-7.

# N Staging - MDCT

## Tumour volume related to nodal burden\*



**Figure 1:** Transverse contrast-enhanced CT scan in 56-year-old man with AEG. Tumor area is manually drawn along margin of tumor, and value of this area (1105.88 mm) is automatically derived by software together with minimal, maximal, and average CT attenuation (in Hounsfield units).

**Table 2**

### Gross Tumor Volume according to N Stage

N Stage	Stage T1–T3 (n = 216)	Stage T3 (n = 175)
N0	15.77 ± 6.95 (14.07, 17.48)	18.08 ± 10.00 (15.68, 20.49)
N1	27.01 ± 14.73 (23.11, 30.92)	28.83 ± 14.82 (24.62, 33.04)
N2	27.92 ± 14.49 (24.04, 31.85)	28.49 ± 14.15 (24.28, 32.69)
N3	38.62 ± 17.60 (32.83, 44.40)	38.82 ± 17.79 (32.89, 44.75)
N1–N2	27.46 ± 14.56 (24.74, 30.18)	28.66 ± 14.72 (25.74, 31.58)

Note.—Data are means ± standard deviations. Numbers in parentheses are 95% confidence intervals of the volume.

**Table 3**

### ROC Analysis of Gross Tumor Volume in the Determination of N Stage

Gross Tumor Volume Cutoff	Comparison Groups	AUC	Sensitivity (%)	Specificity (%)	PPV (%)	NPV (%)	Accuracy (%)
<b>Stage T1–T3 (n = 216)</b>							
15.23 cm <sup>3</sup>	N0 vs N1–N2	0.81	86 (96/112)	64 (42/66)	80 (96/120)	72 (42/58)	77 (138/178)
17.16 cm <sup>3</sup>	N0 vs N1–N3	0.84	81 (122/150)	68 (45/66)	85 (122/143)	62 (45/73)	77 (167/216)
33.96 cm <sup>3</sup>	N1–N2 vs N3	0.73	60 (23/38)	80 (90/112)	51 (23/45)	86 (90/105)	75 (113/150)
<b>Stage T3 (n = 175)</b>							
18.41 cm <sup>3</sup>	N0 vs N1–N2	0.77	78 (78/100)	60 (23/38)	84 (78/93)	51 (23/45)	73 (101/138)
19.30 cm <sup>3</sup>	N0 vs N1–N3	0.80	77 (105/137)	66 (25/38)	89 (105/118)	44 (25/57)	74 (130/175)
33.96 cm <sup>3</sup>	N1–N2 vs N3	0.71	62 (23/37)	79 (79/100)	52 (23/44)	85 (79/93)	74 (102/137)

Note.—Numbers in parentheses are numbers of patients. AUC = area under the ROC curve, NPV = negative predictive value, PPV = positive predictive value.

\*Li, R., T. W. Chen, et al. (2013) Radiology **269**(1): 130-138.

# Endoscopic Ultrasound – T & N Staging

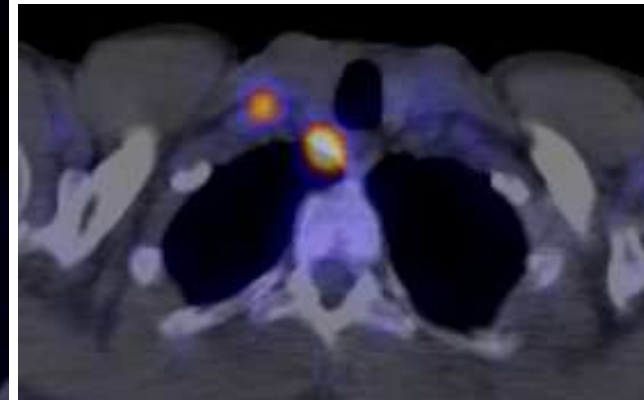
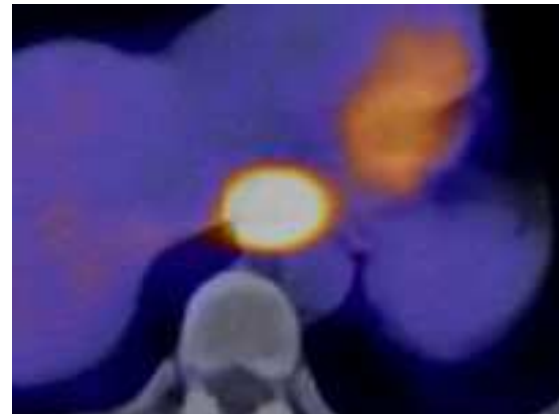
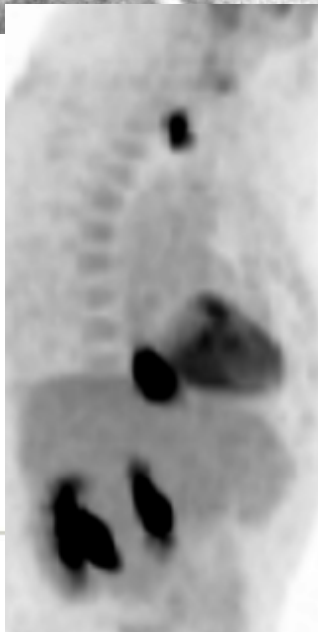
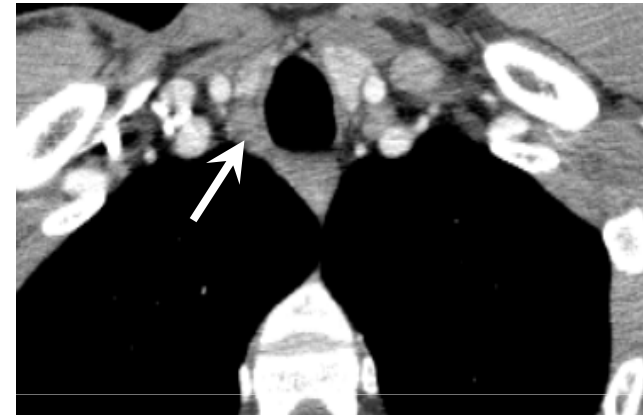
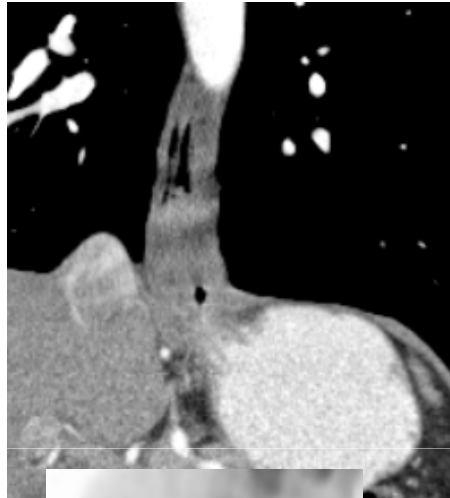
## Multi centre analysis\*

- High frequency EUS (miniprobe)
- Pre therapeutic uT and uN compared to pT/pN classification obtained from esophagectomy (n = 93) or EMR (n = 50)
  
- Accuracy
  - T staging 60% & N Staging 74%
- 78% stratified to appropriate therapeutic regime
- 11% over-treatment & 11% under-treatment

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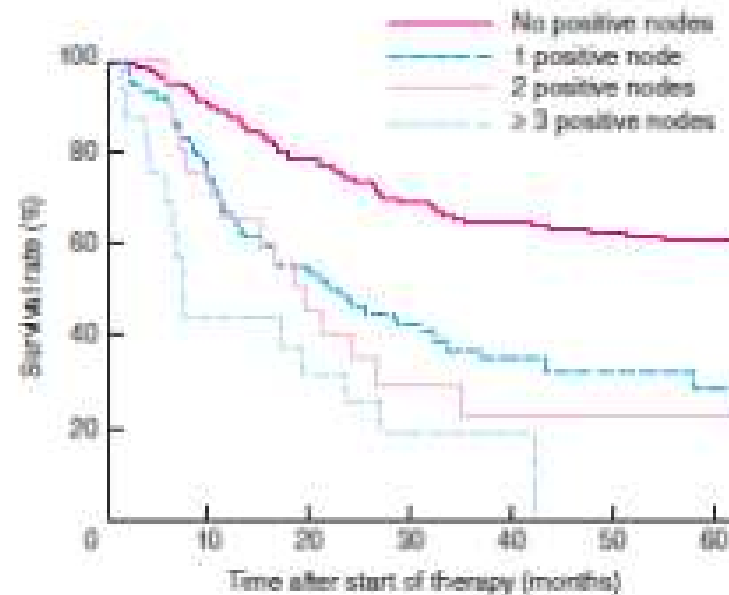
\*Meister, T., H. S. Heinzow, et al. (2013). Surg Endosc 27(8): 2813-2819

# $^{18}\text{F}$ FDG-PET/CT – Staging



# Importance of the number of nodes in prognosis

- No of PET-positive nodes before & after chemotherapy associated with survival\*



$p < 0.001$

\*Miyat H, Yamasaki M, Makino T et al. 2015. BJS Oct 27. doi: 10.1002/bjs.9965. [Epub ahead of print]



# $^{18}\text{F}$ FDG-PET/CT – Staging

## Detection of occult metastases

- Initial studies using FDG PET:
  - Metastatic disease detected in **15% patients** considered potentially operable\*.
- Prospective trial 187 patients showed confirmed up-staging in **9(4.8%) patients** & 18 (9.5%) patients with unconfirmed metastases<sup>‡</sup>
- 25/156 (**16%**) patients up staged to M1b disease on PET-CT<sup>§</sup>
- **False positive** results on PET-CT <sup>‡¥</sup>

\*Flamen, P., A. Lerut, et al. (2000). J Clin Oncol **18**(18):

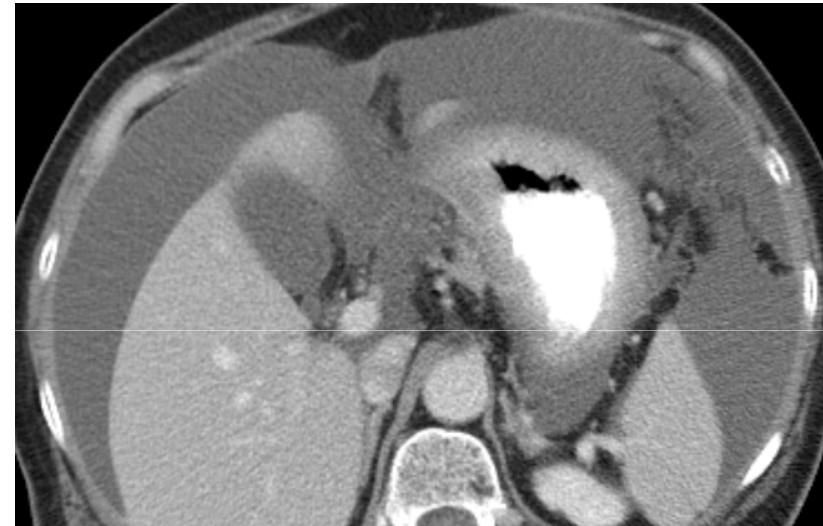
<sup>‡</sup>Meyers, B. F., R. J. Downey, et al. (2007). J Thorac Cardiovasc Surg **133**(3):

<sup>§</sup> Purandare, N. C., C. S. Pramesh, et al. (2014). Nucl Med Commun **35**(8): 864-869

<sup>¥</sup>Adams, H. L. and S. S. Jaunoo (2014). Ann R Coll Surg Engl **96**(3): 207-210

# MDCT – M staging

- Detection of hepatic mets:
  - sens 88%, spec 99%\*
- Detection of peritoneal disease
  - No ascites: sens 30%<sup>†</sup>
  - In presence of ascites:
    - Sens 51%, Spec 97%\*
- Laparoscopy for potentially operable patients



\*Yajima, K., T. Kanda, et al. (2006). *Am J Surg* **192**(2): 185-90.

<sup>†</sup>D'Elia, F., A. Zingarelli, et al. (2000). *Eur Radiol* **10**(12): 1877-85.

# Response to chemotherapy / CRT

## Methods used for assessing response:

- MDCT: Response Evaluation Criteria in Solid Tumours (RECIST)

### <sup>18</sup>F FDG-PET/CT:

Standardised Uptake Value (SUV mean / max)

Metabolic tumour volume (MTV)

Total lesion glycolysis (TLG)

### MRI:

Apparent Diffusion Coefficient (ADC)

# Response to chemotherapy / CRT

## Predict outcome for OG patients

- **responders** to neoadjuvant therapy benefit most post surgery
- **non-responders** to neoadjuvant therapy have a poorer prognosis post op than those who have primary surgery alone\*<sup>β</sup>
- **Individualise patient care**

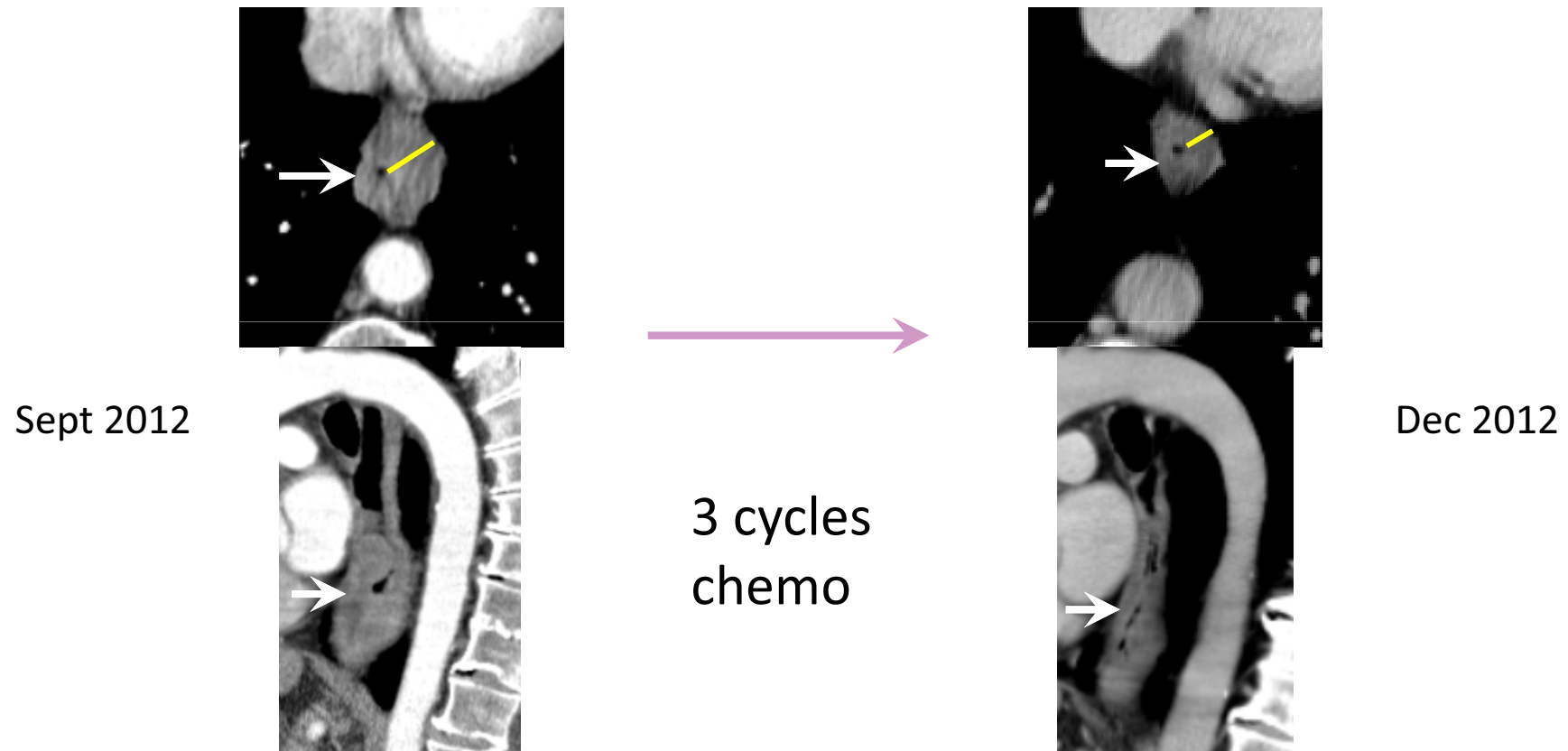
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\*Ancona E, Ruol A et al. 2001. Cancer; 91:2165-2174

<sup>β</sup>Law S, Fok M et al 1997. J Thorac Cardiovasc Surg; 14: 210-217

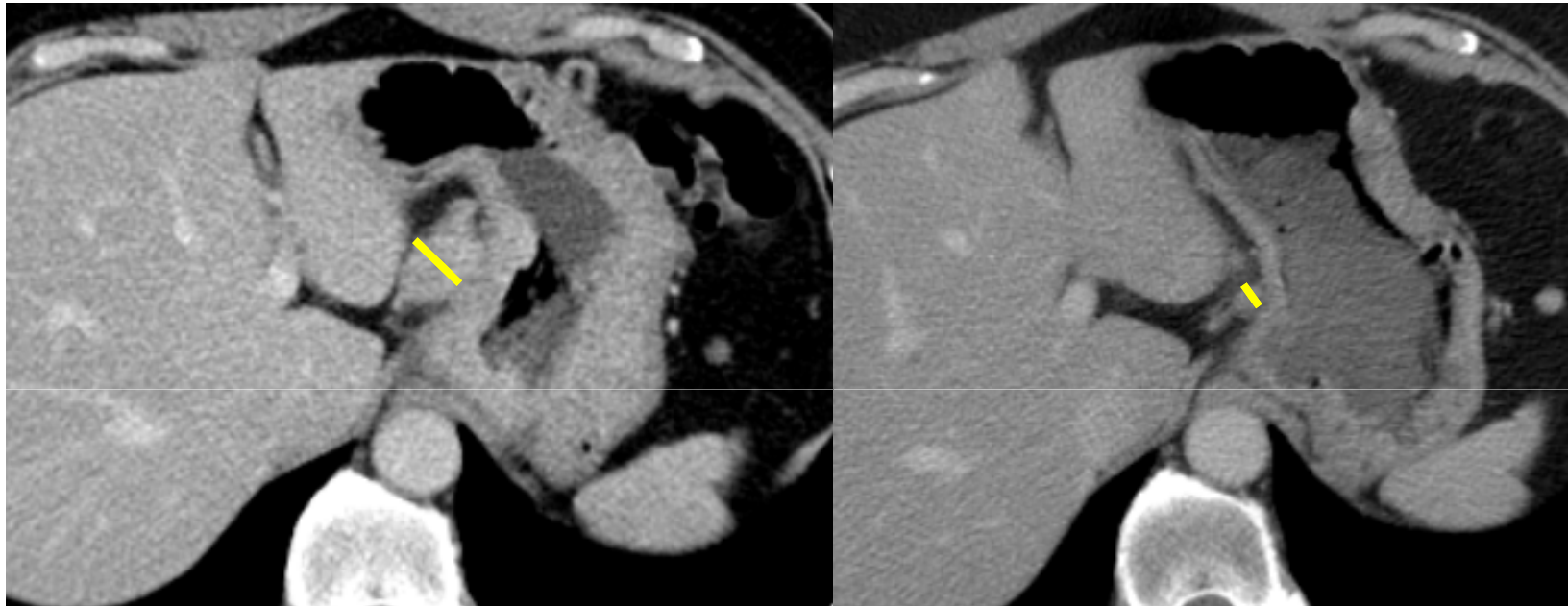
# Response to chemotherapy / CRT

## Multidetector Computed Tomography (MDCT)



Response by RECIST

## Response to chemotherapy / CRT



MDCT – measurement of lymph node size &/or metastases offer more consistent measures of response by RECIST

# Response to chemotherapy / CRT

## Challenges for MDCT

- Differences in luminal distension
- Lack of soft tissue contrast
- Unable to differentiate fibrosis & tumour

## Detection of response by CT:

Sensitivity: 27 – 55%; Specificity: 50 – 91%\*<sup>ψ</sup>

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\*Cerfolio RJ, Bryant AS, Ohja B et al 2005. J Thorac Cardiovasc Surg; 129:1232-1241

<sup>ψ</sup>Swisher SG, Maish M, Erasmus JJ et al 2004. Ann Thorac Surg; 78: 1152 - 1160

## MDCT - Restaging after neoadjuvant chemotherapy

- Predicted T stage correctly in 34 % (12/35)
- Overstaged 49 % (17/35)
- Understaged 17 % (6/35)\*
  
- Accurate N stage was noted in 69 % (24/35)
  
- Assessment of oesophageal tumour response should focus on combined morphologic and metabolic imaging

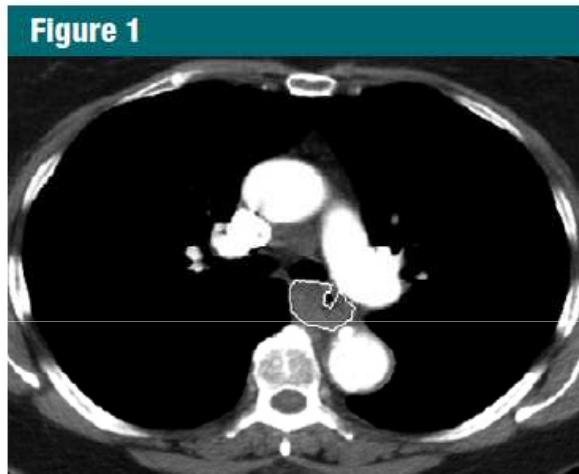
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\*Konieczny, A., P. Meyer, et al. (2013). *Eur Radiol* 23(9): 2492-2502.

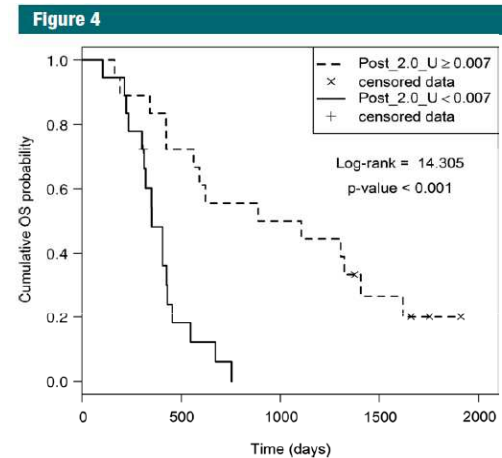


# Response to chemotherapy / CRT

## CT Textural analysis §



ROI placed round the tumour



Kaplan-Meier survival analysis stratified by the uniformity of distribution of grey levels

Post treatment uniformity of 0.007 or higher is a positive prognostic indicator (median survival 33.2 months vs 11.7 months) §

§ Yip C, Landau B et al 2014. Radiology 270;1: 141-148

## EUS – assessment of treatment response

- 50% reduction in cross-sectional area or tumour thickness\*<sup>β</sup>:

- response to treatment
- improved survival

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\*Willis J, Cooper GS et al 2002. Gastrointest Endosc 55;655-661

<sup>β</sup>Ota M, Murata Y et al 2005. Dig Endosc 17; 59-63

# EUS - Reassessment after neoadjuvant chemotherapy (NAC)

## Challenges for EUS post neoadjuvant therapy

- Unable to differentiate fibrosis / inflammation from tumour (*resulting in over-staging*)
- Unable to detect microscopic of viable tumour (*resulting in under-staging*)
- **T staging accuracy 29%**
  - Overstaged 23/45 (51%)
  - Understaged 7/45 (16%)
- **N staging accuracy 62%**
- **Conclusion: EUS is an unreliable tool for staging esophageal cancer after NAC\***

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\*Heinzow, H. S., H. Seifert, et al. (2013). J Gastrointest Surg 17(6): 1050-1057.

# $^{18}\text{F}$ FDG-PET/CT - Response to chemotherapy / CRT

- Metabolic response occurs early

- Studies (eg MUNICON\*) have used a reduction in the standardised uptake value (SUV) at 14 days

- $\text{SUV}_{\text{max}}$  reduction of 35-60% have been shown to correlate with pathological response §

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\*Lordick F, Ott K et al. 2007 Lancet Oncol 8;9:797-805

§ Bruzzi J, Munden R et al. 2007. Radiographics 27;1635 - 1652

# $^{18}\text{F}$ FDG-PET/CT - Response to chemotherapy / CRT

## $^{18}\text{F}$ FDG-PET/CT

Meta analysis >1500 patients\*

- **Conclusion:** metabolic response on  $^{18}\text{F}$ FDG-PET is a significant predictor of long-term survival data

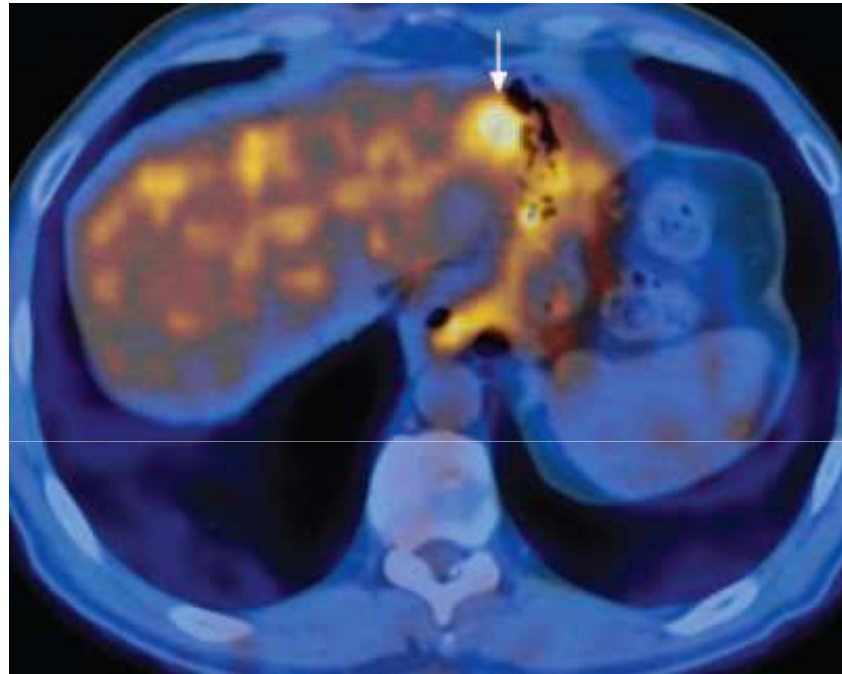
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\*Schollaert, P., R. Crott, et al. (2014). J Gastrointest Surg 18(5): 894-905

## Challenges for PET-CT

- **False-positive interpretations**
  - Post radiation therapy (due to inflammation/ulceration) – after 14/7 treatment
  - Change related to mucosal biopsy
  - Radiation damage to surrounding organs (eg liver)

## Response to chemotherapy / CRT



Example of false positive PET-CT – area of increased FDG avidity in liver represents radiation induced necrosis/inflammation

# Response to chemotherapy / CRT

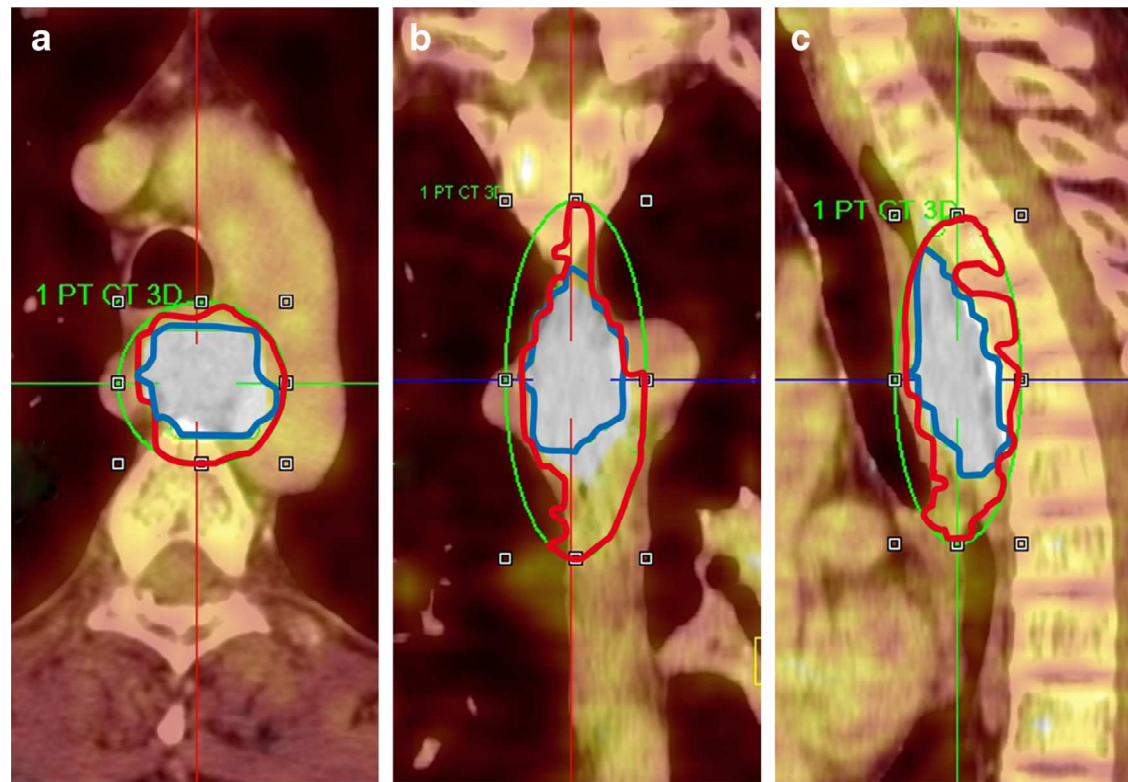
## Current status for PET-CT

Recognised that PET  $SUV_{max}$  does not account for tumour heterogeneity

- Alternatives:
- Metabolic Tumour Volume (MTV)
  - Volume of tumour above a threshold of  $SUV_{max}$
- Total Lesion Glycolysis (TLG)
  - $MTV \times SUV_{mean}$



# Response to chemotherapy / CRT



PET/CT images shown with delineation of MTV the SUV threshold of 40% SUV<sub>max</sub> (Blue) and 25% SUV<sub>max</sub> (red)

# Response to chemotherapy / CRT

MTVratio & TLGratio shown to be independent predictors of OS following neoadjuvant chemoradiotherapy\*

Table 2 Data are presented as medians with ranges in parentheses. *SUV* standard uptake value; *MTV* metabolic tumour volume; *TLG* total lesion glycolysis

Variable	Before chemotherapy	After chemotherapy	Ratio	P Value
CT volumetry (n=84)				
Tumour volume, mL	32.4 (4.6-278.3)	27.6 (0.0-210.6)	0.79 (0.0-2.65)	0.003
Maximum tumour thickness, mm	15 (6-29)	12 (5-27)	0.80 (0.38-1.85)	<0.001
PET metabolic parameters (SUV threshold 2.5, n=50)				
SUV <sub>mean</sub>	5.2 (3.4-13.3)	3.5 (0.0-12.2)	0.65 (0.0-1.16)	<0.001
SUV <sub>max</sub>	17.3 (6.2-63.8)	7.8 (0.0-56.4)	0.49 (0.0-1.93)	<0.001
MTV, mL	45.7 (4.0-242.3)	16.1 (0.0-358.7)	0.41 (0.0-7.65)	0.002
TLG, mL	272.5 (14.0-1491.6)	57.8 (0.0-1420.3)	0.31 (0.0-6.68)	<0.001
PET metabolic parameters (SUV threshold 4.0, n=50)				
SUV <sub>mean</sub>	7.1 (4.6-17.7)	5.0 (0.0-16.3)	0.70 (0.0-1.22)	<0.001
SUV <sub>max</sub>	18.6 (6.2-63.8)	8.2 (0.0-56.4)	0.49 (0.0-1.93)	<0.001
MTV, mL	22.0 (1.0-119.4)	4.1 (0.0-109.9)	0.20 (0.0-2.41)	<0.001
TLG, mL	171.8 (4.8-1177.2)	21.9 (0.0-654.9)	0.15 (0.0-2.68)	<0.001

Patients with a decrease in MTV of >50% or a decrease in TLG of >60% were shown to have superior overall survival

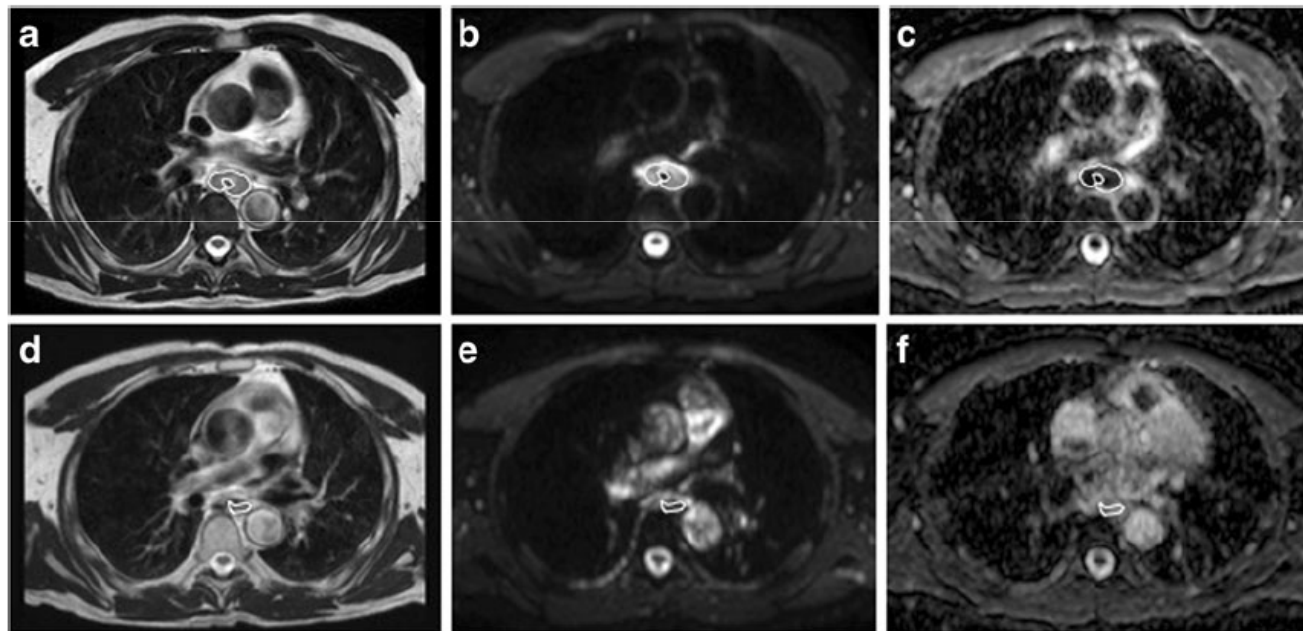
\*Tamandl D, Gore RM, Fueger B et al. 2015 Eur Radiol Jun 5 [Epub ahead of print]

## Current status for PET-CT

- Useful for response assessment, but consensus required for
  - timing of scan
  - optimised parameter to use to measure response ( $SUV_{max}$ ,  $SUV_{mean}$  or MTV)
  - % change in the parameter that equates to response

# Response to chemotherapy / CRT

## Response assessment with Diffusion weighted MRI



Ax T2

DWI

ADC

# Response to chemotherapy / CRT

## Responders

- Lower pre treatment ADC
- Higher post treatment ADC
- Change in ADC was inversely proportional to the pathology tumour regression grade

# ADC as a prognostic biomarker

Limited small group studies

- Baseline ADC values  $\leq 1.4 \times 10^{-3} \text{mm}^2/\text{s}$  were associated with poor prognosis
- ADC value correlated with tumour T stage<sup>δ</sup>
- Both for patients undergoing surgery alone & following neoadjuvant therapy\*

---

\*Giganti F, Salerno A, Ambrosi A et al. 2015 Radiol Med Sep 21 [Epub ahead of print]

<sup>δ</sup>Aoyagi T, Shuto K, Okazumi S et al. 2011 Dig Surg;28(4):252-7

# Summary

## Initial Staging

- MDCT
- EUS
- $^{18}\text{F}$ FDG-PET/CT

## Provide

- TNM staging
- prognostic information

Individualise Patient care

# Summary

## Response Assessment

### MDCT

- RECIST – relies on alteration in size; assumes reduction equates to response

### PET-CT

- Useful for early response assessment
- Consensus required on technique & values used for response (SUV<sub>max</sub>; MTV; TLG)

### DW-MRI

- Potential to quantify response – further validation required to determine utility of ADC as a predictive biomarker





Thank you

*The* ROYAL MARSDEN

NHS Foundation Trust

# State of Art of Surgery in a Combined Treatment Perspective: Oesophageal Cancer

William Allum



NHS

EGJ tumor (TNM 7<sup>th</sup> ed.)

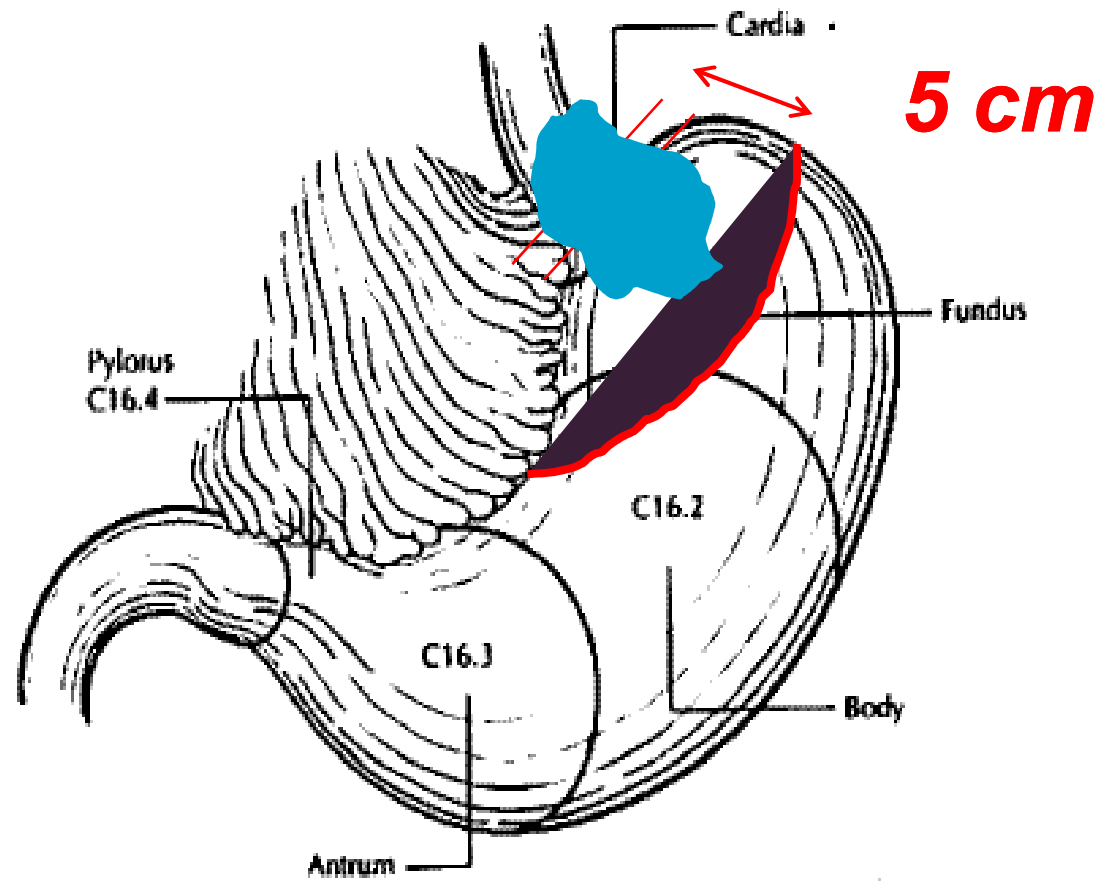
***Oesophagus  
(ICD-O C15)***

*Includes Oesophagogastric junction (C16.0)*

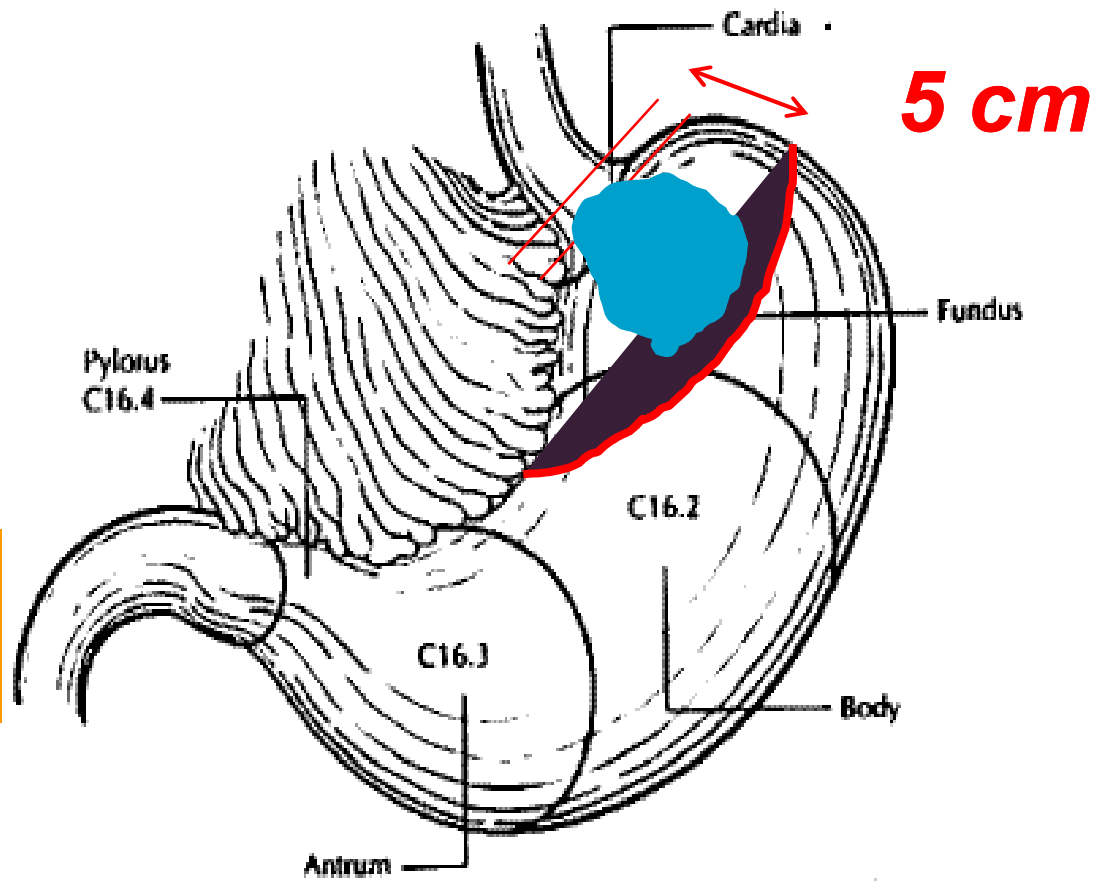
***Rules for Classification***

- A tumour the epicenter of which is within 5 cm of the oesophagogastric junction and also extends into the oesophagus is classified and staged using the oesophageal scheme.*
- Tumours with an epicenter in the stomach greater than 5 cm from the oesophagogastric junction or those within 5 cm of the oesophagogastric junction without extension in the oesophagus are classified and staged using the gastric carcinoma scheme.*

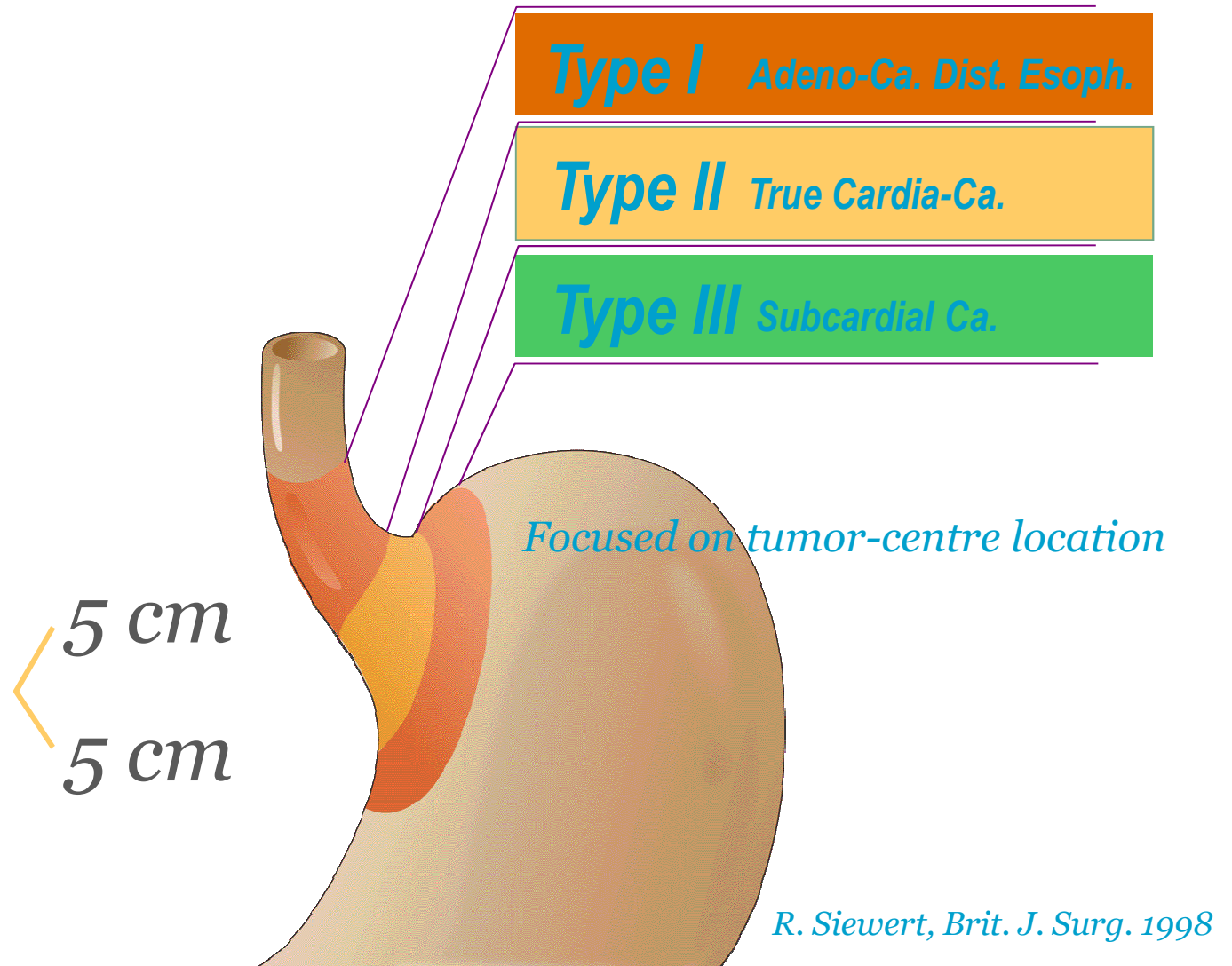
*Is classified as tumour  
of the oesophagus*



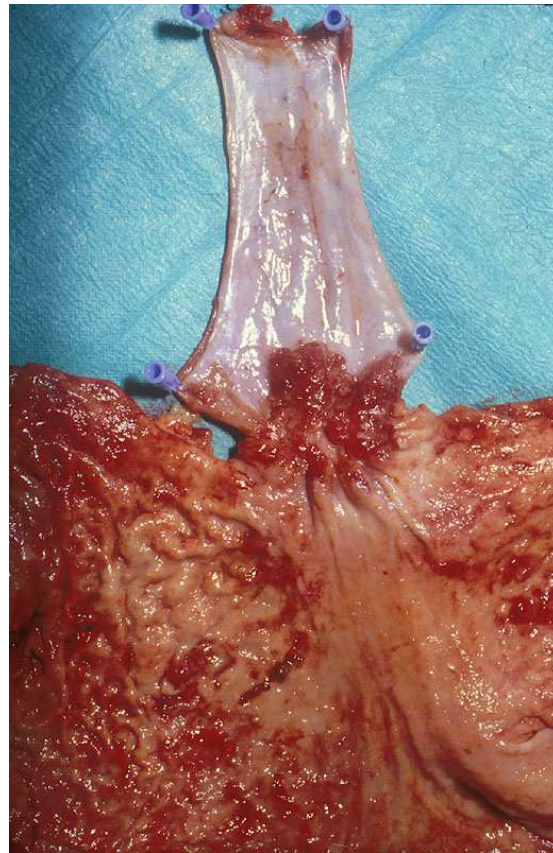
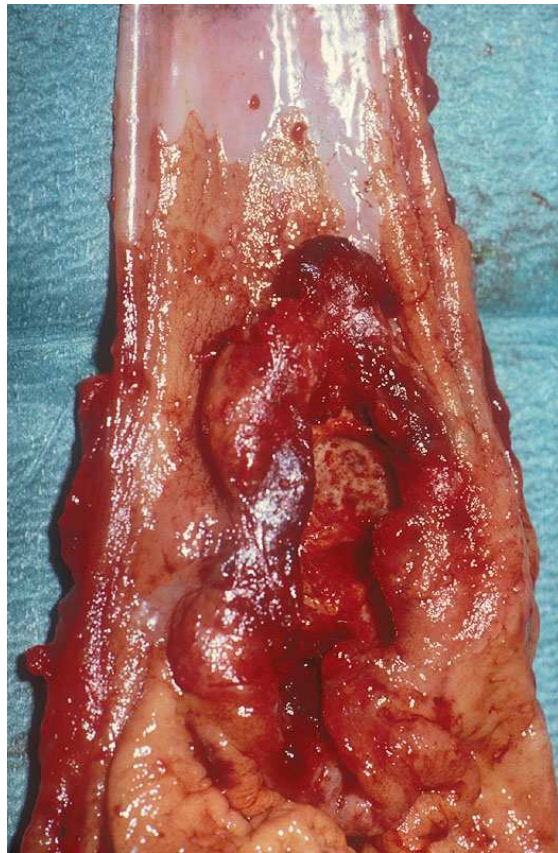
*is classified as tumour  
of the oesophagus*



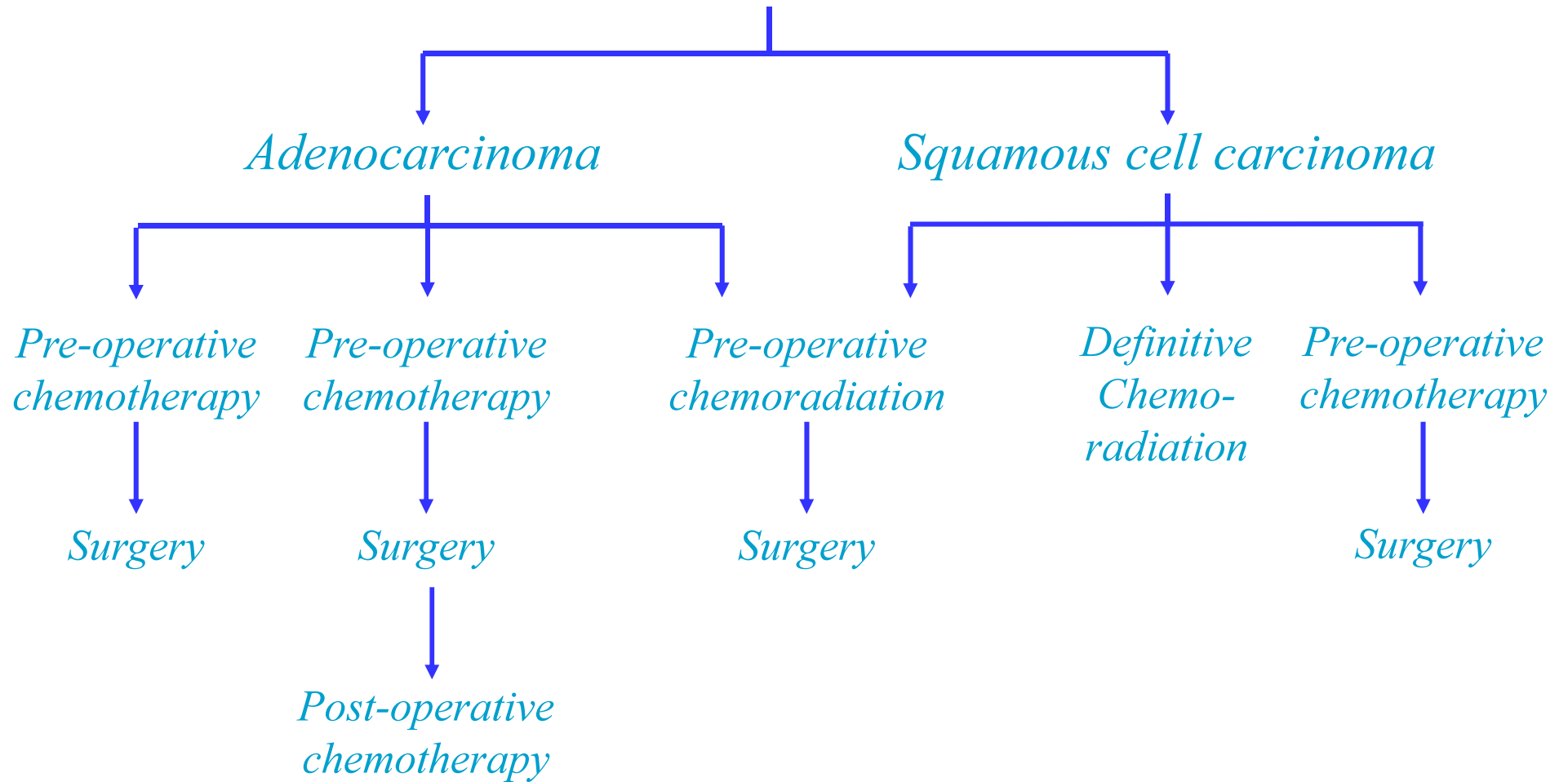
# *SIEWERT AEG-Classification*



# ***OESOPHAGO-GASTRIC JUNCTIONAL ADENOCARCINOMA***



# *Multimodality treatment of oesophageal cancer*





## Aim of Surgery for Junctional Cancer

- R0 resection
- Minimum 15 lymph nodes
- 5cm grossly normal in situ proximal oesophagus

# Operation Selection

---

**Surgical Approach**

Margins

Lymphadenectomy



## EORTC Consensus

### St Gallen 2012

- Type I – Oesophago-gastrectomy
  
- Type II – Oesophago-gastrectomy or
  - Extended Total Gastrectomy
  
- Type I & II      – Mediastinal Lymphadenectomy
  - 2 field
  
- Type III - Extended Total Gastrectomy

# Dutch Trial Trans Hiatal Oesophagectomy vs Trans Thoracic Oesophagectomy

---

220 patients with mid and lower oesophageal ACA

THO

Lower morbidity

TTO

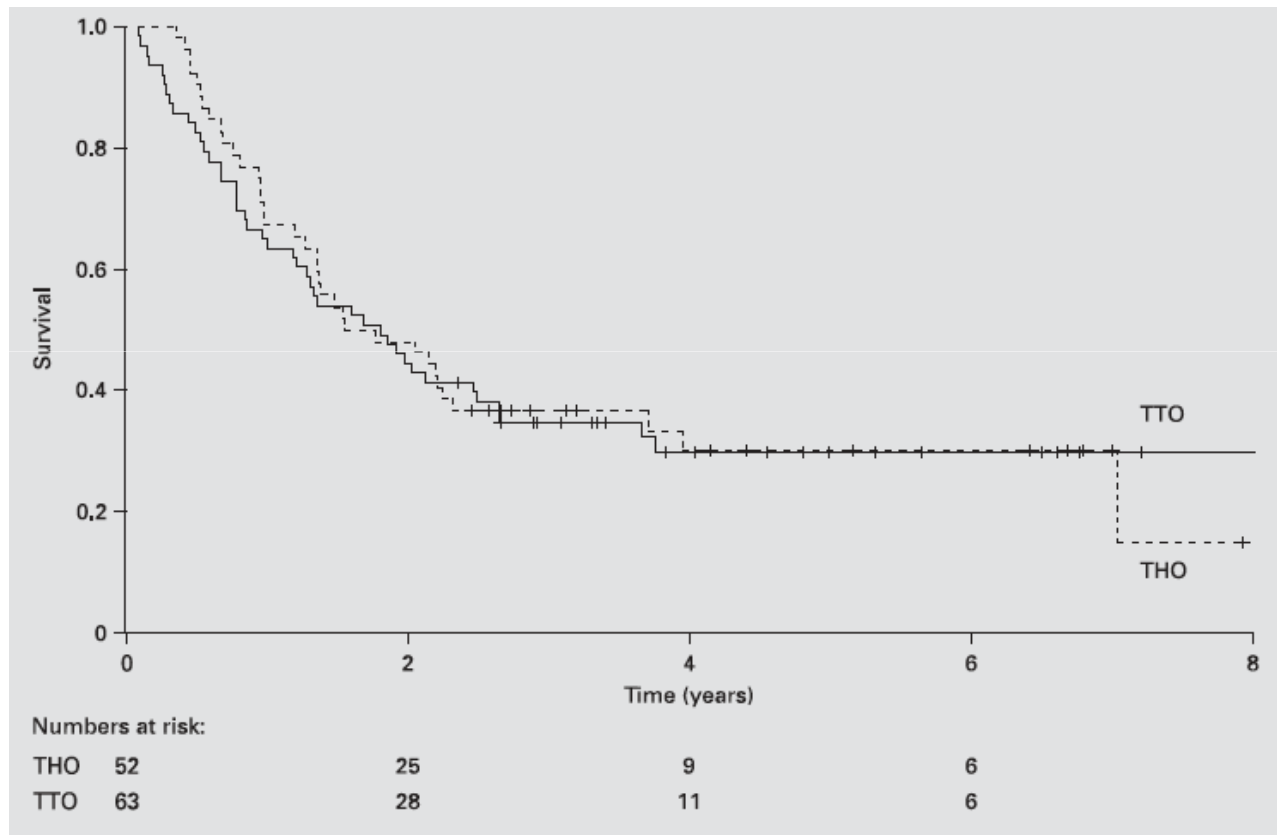
More nodes

More respiratory complications



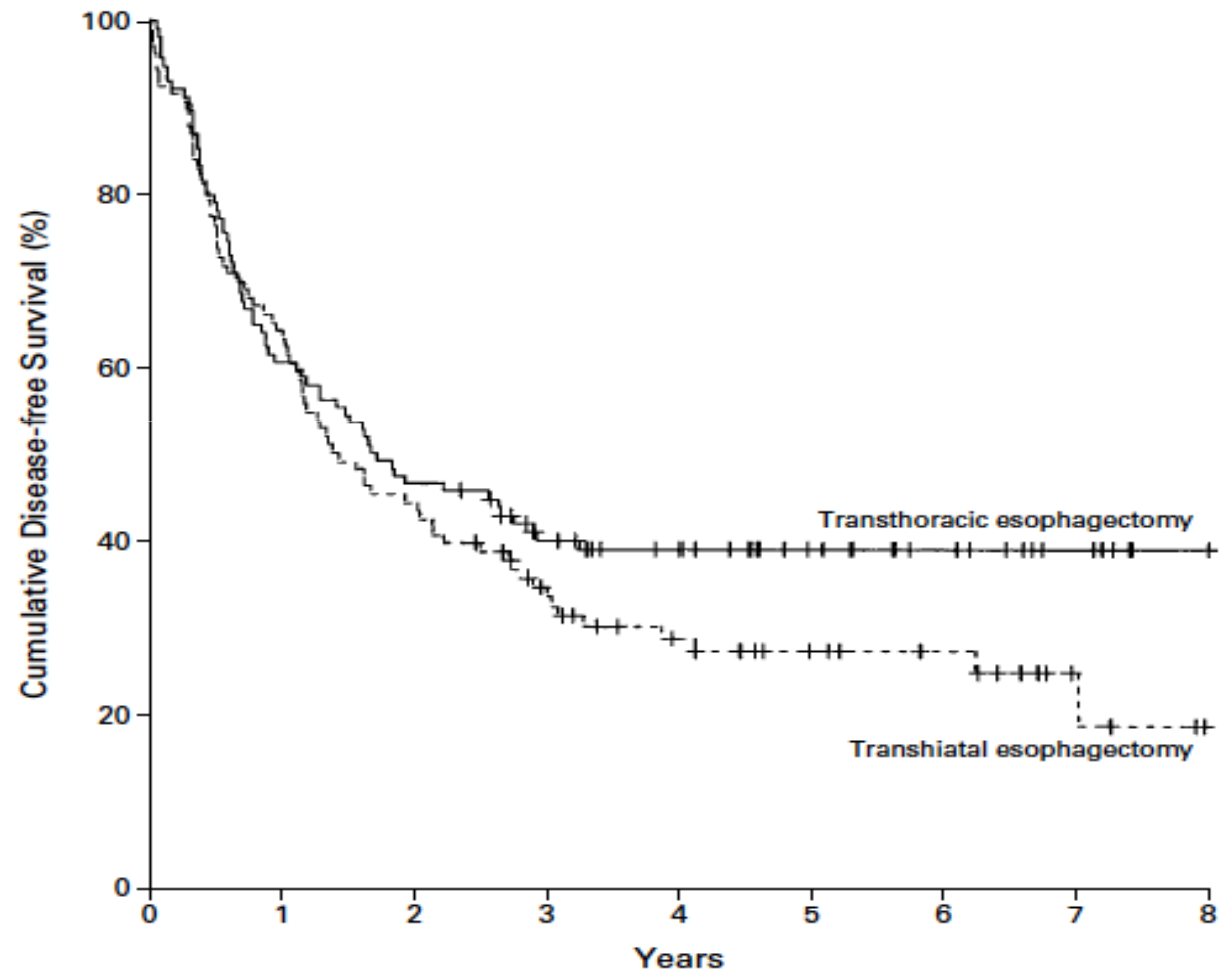
# Dutch Trial

## THO vs TTO



## Dutch Trial

### THO vs TTO



#### NO. AT RISK

Transhiatal esophagectomy	106	68	47	32	20	15	11	4
Transthoracic esophagectomy	114	69	53	39	31	20	13	7

# Minimally Invasive Oesophagectomy

101 open;  
65 MIO;  
9 Conversion

pT1a & pT1b. No

	<b>Intraoperative</b>	<b>Morbidity</b>	<b>Medium Term</b>
MIO	Less blood loss	Gastroparesis	Less pain
OPEN	Shorter time	Respiratory	More fatigued



*Nafteux et al 2011 Eur J Cardio Surgery 40: 1455*

# Operation Selection

---

Surgical Approach

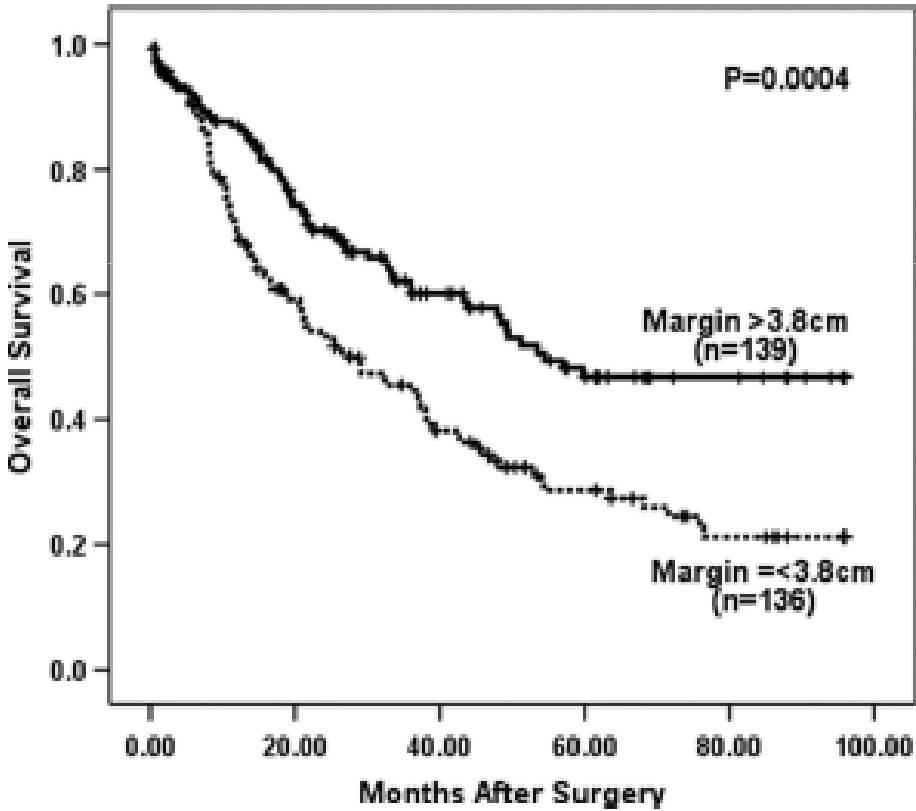
**Margins**

Lymphadenectomy



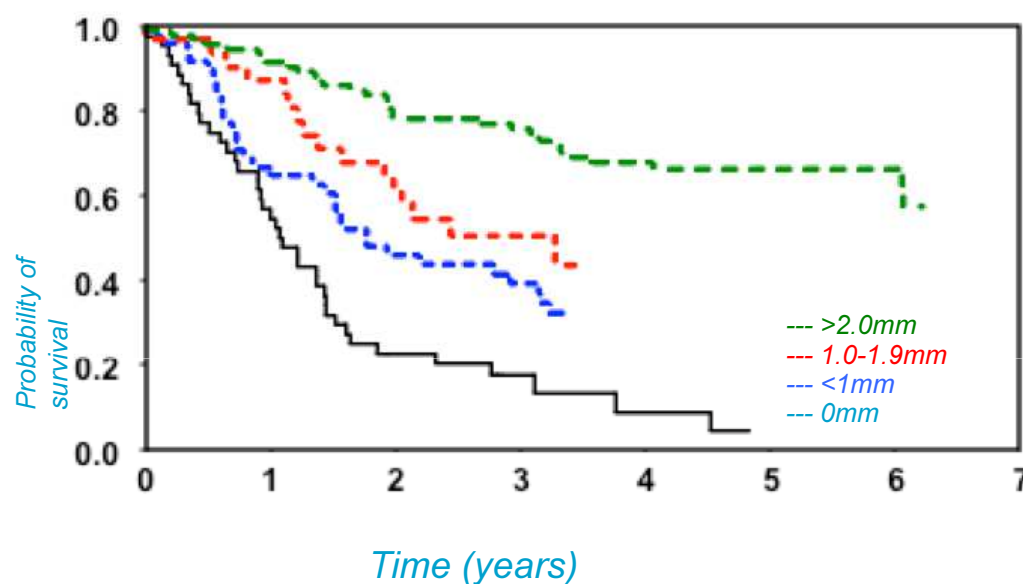


# Resection Margin and Survival



# Circumferential resection margin (CRM) size correlates with overall survival

Prospective database, single institution study, N = 229

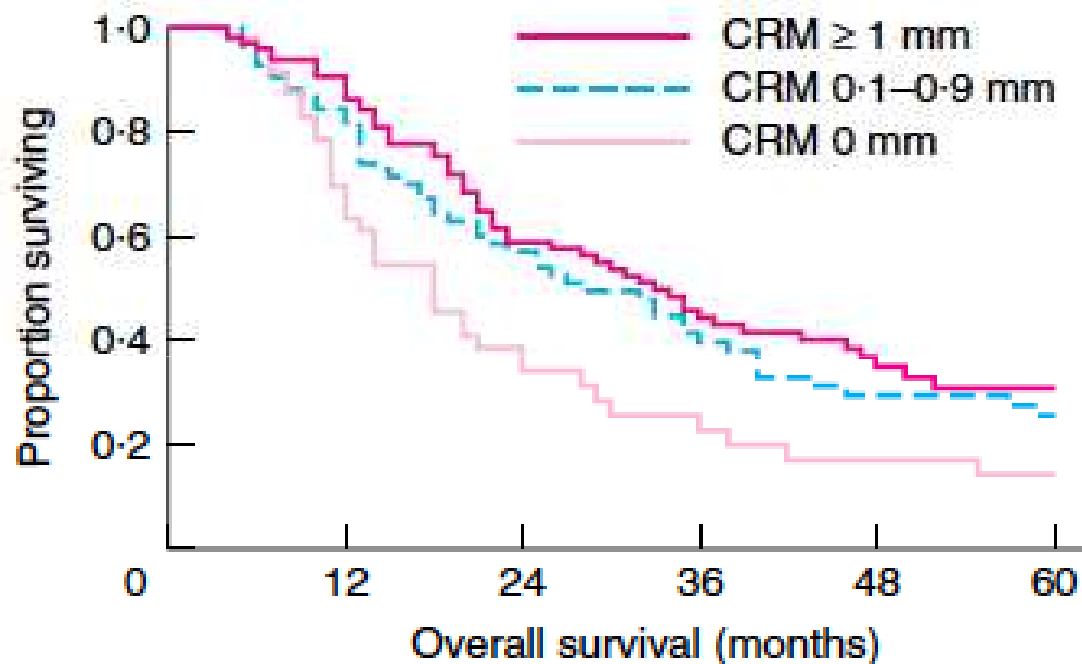


CRM	n	Median Survival (95% CI)
Positive	45	1.2 yrs (0.9-1.4)
<1mm	48	1.9 yrs (1.4-3.2)
1.0-1.9mm	31	3.5 yrs (2.0–no upper CI)
≥ 2.0mm	105	Not reached

- CRM size is a significant prognostic factor for overall survival
- 40.6% of patients in this study had a CRM <1mm
- Post operative chemoradiation did not alter survival in patients with CRM <1mm
- BUT smaller CRM may just reflect a larger tumour



# Survival by CRM



No. at risk

CRM $\geq$ 1 mm	96	42	13
CRM 0.1–0.9 mm	83	32	13



# OE02 update

## Trial Design

*Resectable carcinoma of the  
oesophagus*



**RANDOMISE**



**CS**  
*Chemotherapy  
and then surgery*

**S**  
*Surgery alone*

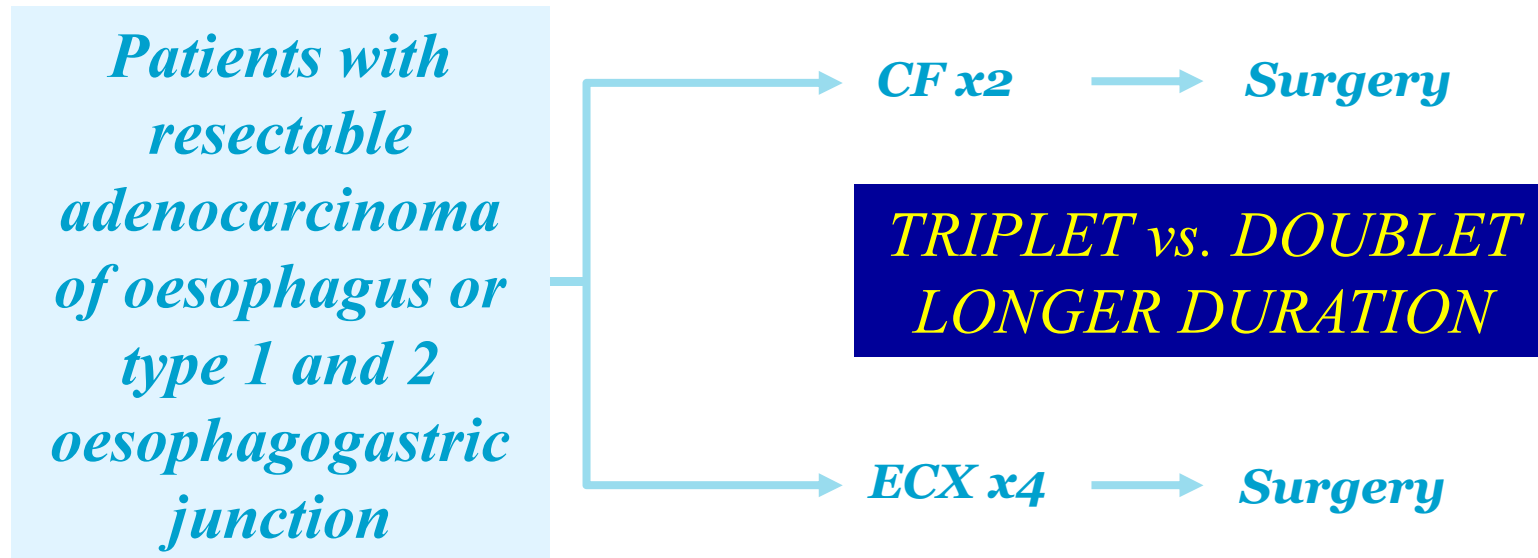


# OE02 update

## Pathology of resected specimens

	<b>CS</b>	<b>S</b>
<b>Total</b>	<b>342</b>	<b>327</b>
<b>Node +ve</b>	<b>195 (58%)</b>	<b>216 (68%)</b>
<b>Lateral resection margin +ve</b>	<b>78 (25%)</b>	<b>83 (28%)</b>
<b>Size &lt; 4cm</b>	<b>184 (58%)</b>	<b>103 (34%)</b>
<b>Size 4.1 – 8.0cm</b>	<b>99 (31%)</b>	<b>161 (52%)</b>

# MRC OEO 5 trial design



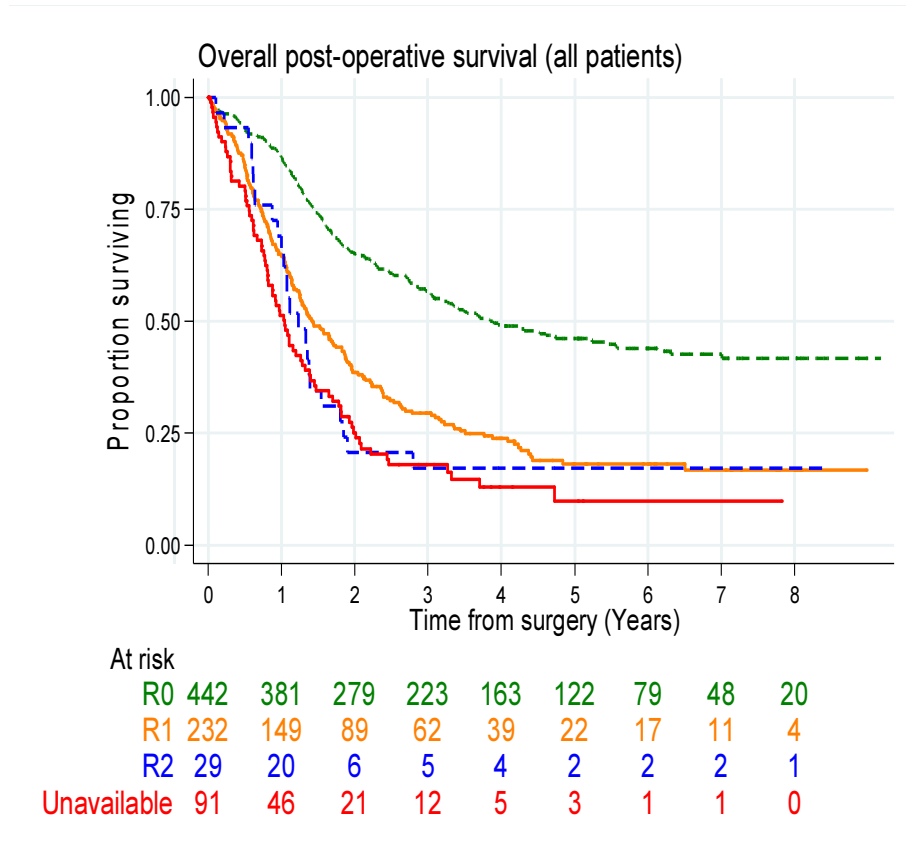
- *Primary endpoint: overall survival*
- *Final recruitment: 897 patients (this will provide 74% power to detect a 7% improvement in 3 year survival (from 30% to 37%), or 84% power to detect an 8% improvement (to 38%))*
- *Recruitment completed 31<sup>st</sup> October 2011*

# Pathology

Data		CF		ECX		P-value
		n	%	n	%	
<b>Mandard TRG</b>	1-3	43	15%	93	32%	<0.001
	4-5	244	85%	194	68%	
	Unavailable	99		75		
<b>R0 resection</b>	Yes	211	59%	222	67%	0.058
	No	144	41%	111	33%	
	Unavailable	32		29		

- *Mandard grade 1 rate was 9 (3%) CF vs 32 (11%) ECX.*
- *A central pathology review of all patients is currently ongoing.*

# Survival by R0 status

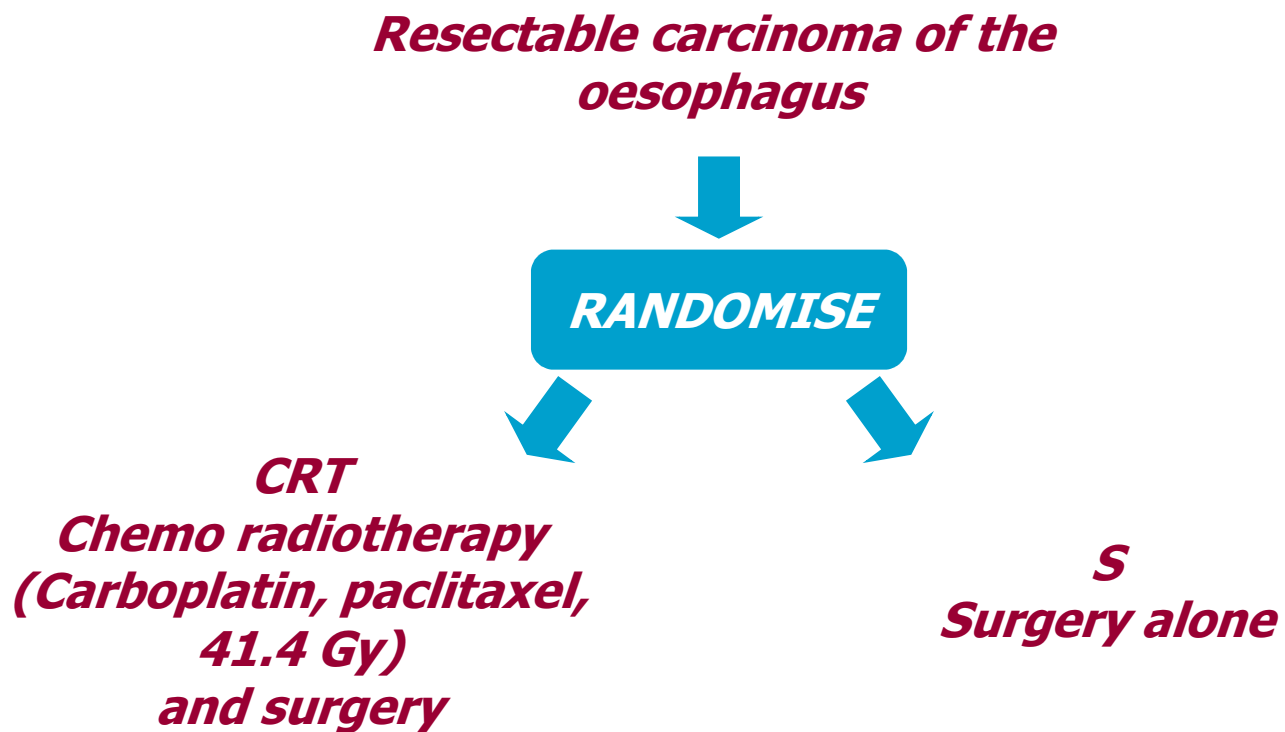


3-year survival (95% CI)	
<b>R0</b>	57% (52%, 61%)
<b>R1</b>	30% (24%, 36%)
<b>R2</b>	17% (6%, 33%)
<b>Unavailable</b>	18% (11%, 27%)
<b>HR (R0 vs others)</b>	2.41 (2.02, 2.88)
<b>P-value</b>	<0.001



# CROSS Trial

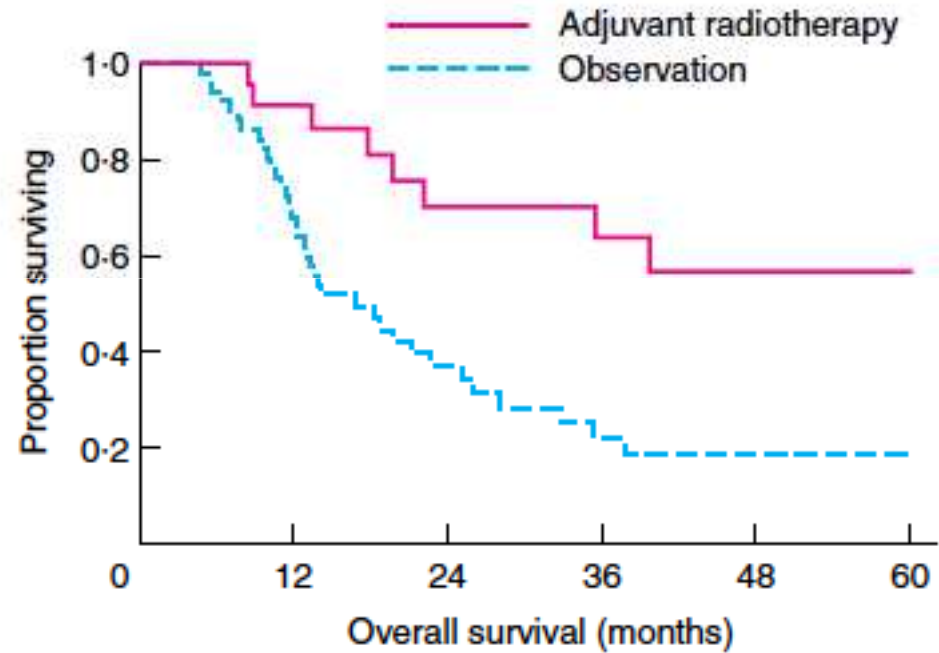
## Trial Design



# CROSS Trial

Pathologic findings in the resection specimen (n=161 in both arms).			
	CRT + surgery (n=161)	Surgery alone (n=161)	p-value
Pathologic findings	No. of patients (percentage <sup>&amp;</sup> )		
<b>pT-stage<sup>5</sup></b>			<0.001
pTis	1 (1%)	0 (0%)	
pT0	62 (39%)	0 (0%)	
pT1	15 (10%)	13 (8%)	
pT2	32 (20%)	19 (12%)	
pT3	49 (30%)	126 (78%)	
pT4	1 (1%)	3 (2%)	
Unknown	1 (1%)	0 (0%)	
<b>pN-stage<sup>5</sup></b>			<0.001
pN0	111 (69%)	41 (26%)	
pN1	50 (31%)	120 (75%)	
No. of LNs resected			
Median (p25-p75)	15 (9-21)	18 (12.5-27)	0.77
No. of pos LNs			
Median (p25-p75)	0 (0-1)	2 (1-6)	<0.001
<b>Radicality of resection<sup>#</sup></b>			<0.001
R0 resection	148 (92%)	111 (69%)	
R1 resection	13 (8%)	49 (30%)	
Not available	0 (0%)	1 (1%)	

# Survival after Treatment for CRM+



No. at risk		0	12	24	36	48	60
Adjuvant radiotherapy	23						
Observation	52						

# Operation Selection

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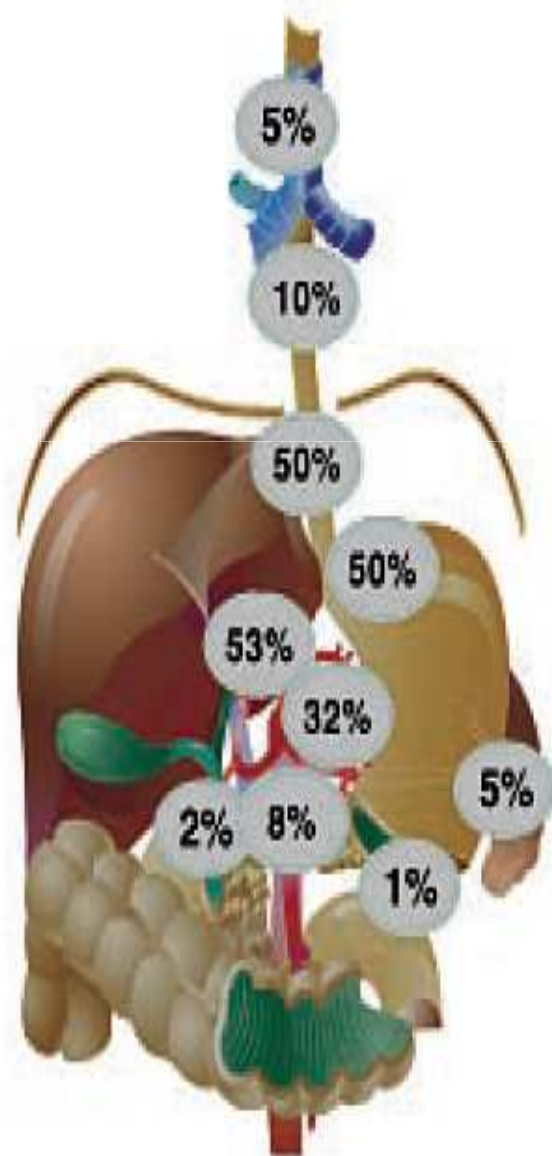
Surgical Approach

Margins

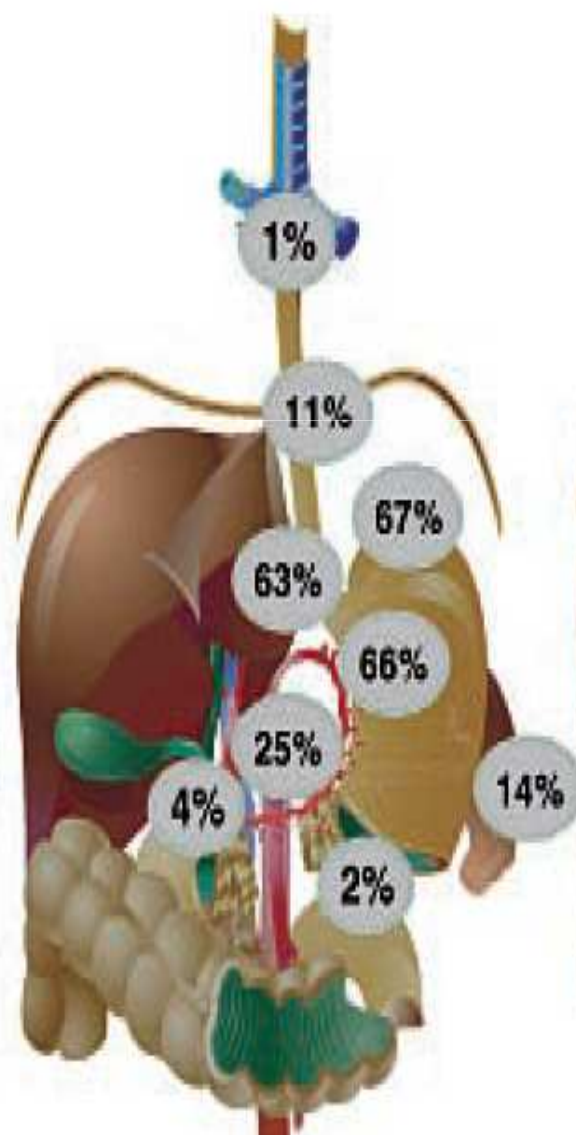
**Lymphadenectomy**



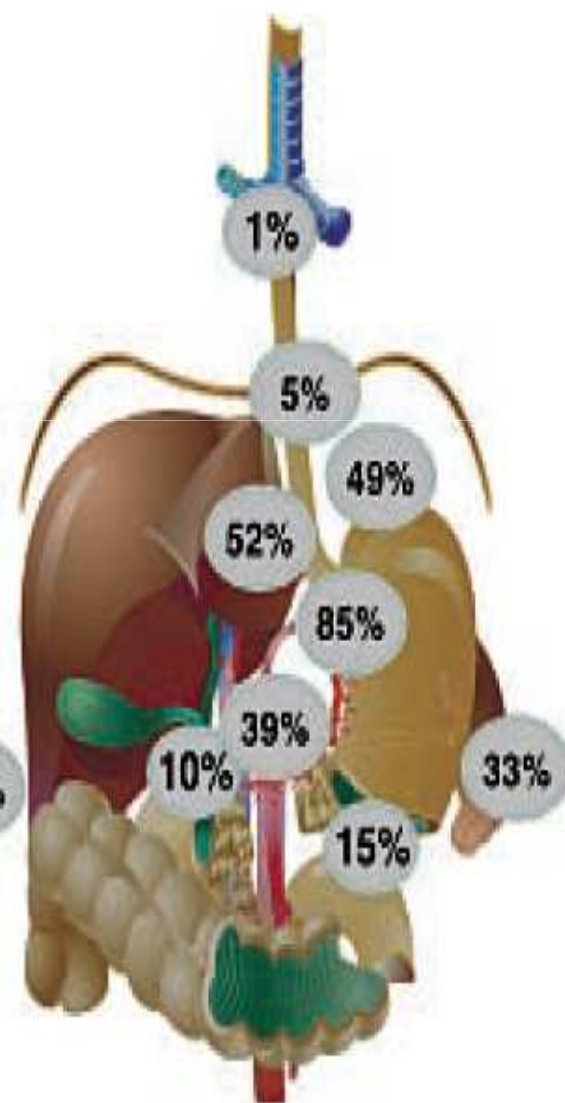
AEG type I



AEG type II



AEG type III



## Pattern of Recurrence of Type I & II Junctional Cancer

	Type I (n=55)	Type II (n=48)
Haematogenous	30	26
Local	18	14
Lymph node	10	12
Peritoneal	4	7

Site	Type I (n=10)	Type II (n=12)
Coeliac axis	4	3
Porta	3	4
Retrocrural/aortocaval	1	3
Supraclavicular	3	0

*Wayman et al. Br J Cancer 2002, 86: 1223*

## Lymph Node Spread from Type II

---

Right Cardiac	38.2%
Lesser Curve	35.1%
Left Cardiac	23.1%
Left Gastric Artery	20.9%

### 5 year Survival

No	76.6%
N1	62.3%
N2	22.4%



### 3 Field Lymphadenectomy

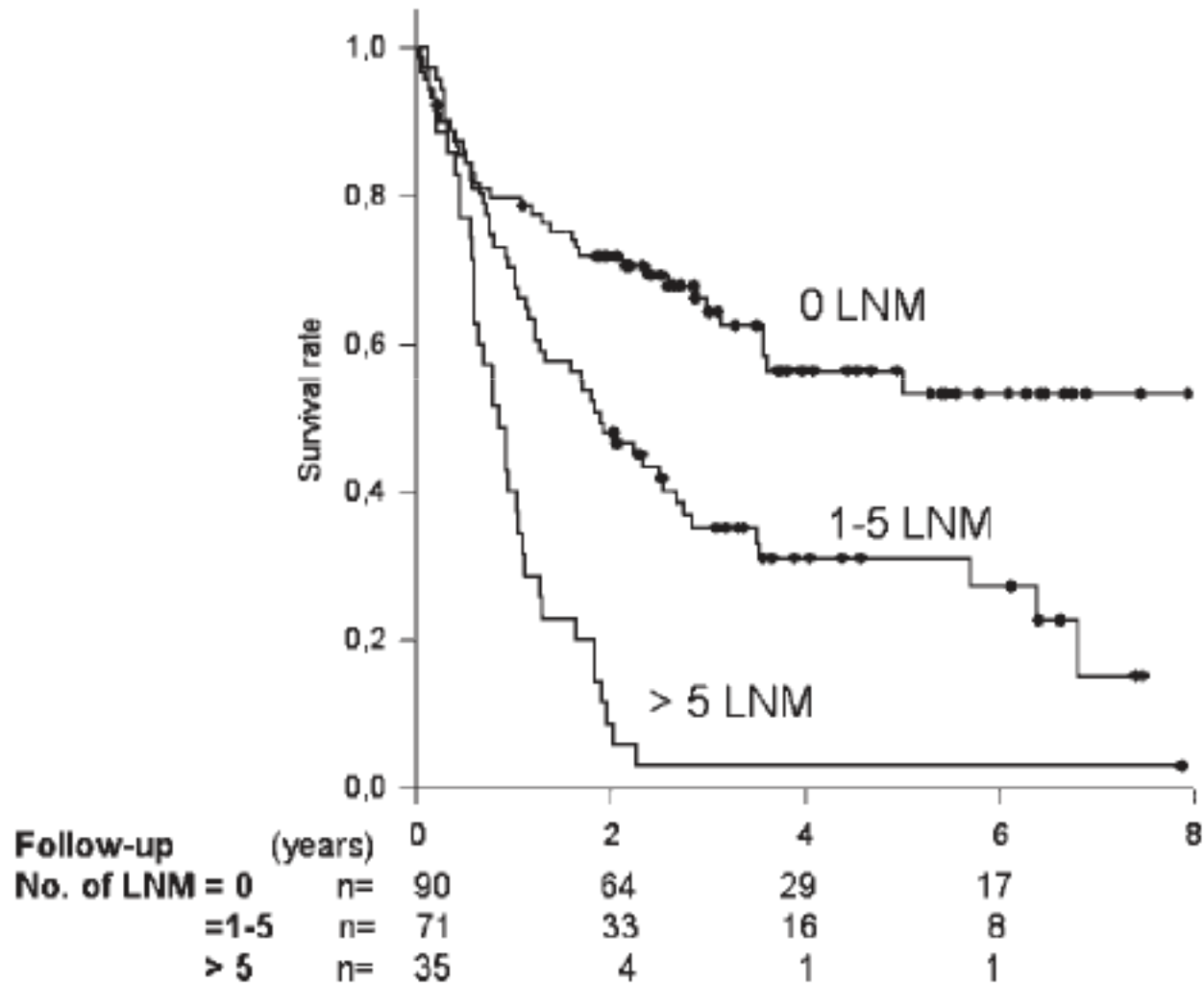
**TABLE 2.** Prevalence of Cervical Node Involvement by Tumor Site and Histologic Type

Site	All		Adeno		Squamous	
	No.	%	No.	%	No.	%
All	41/174	23.6	22/96	23.2	19/78	25.0
Proximal third	4/9	44.4	0/0	0.0	4/9	44.4
Middle third	11/42	26.2	0/0	0.0	11/42	26.2
Distal third	20/87	23.0	16/62	25.8	4/25	16.0
GEJ	6/36	16.7	6/34	17.6	0/2	0.0

*Lerut et al 2004. Ann Surg 240: 962-72*

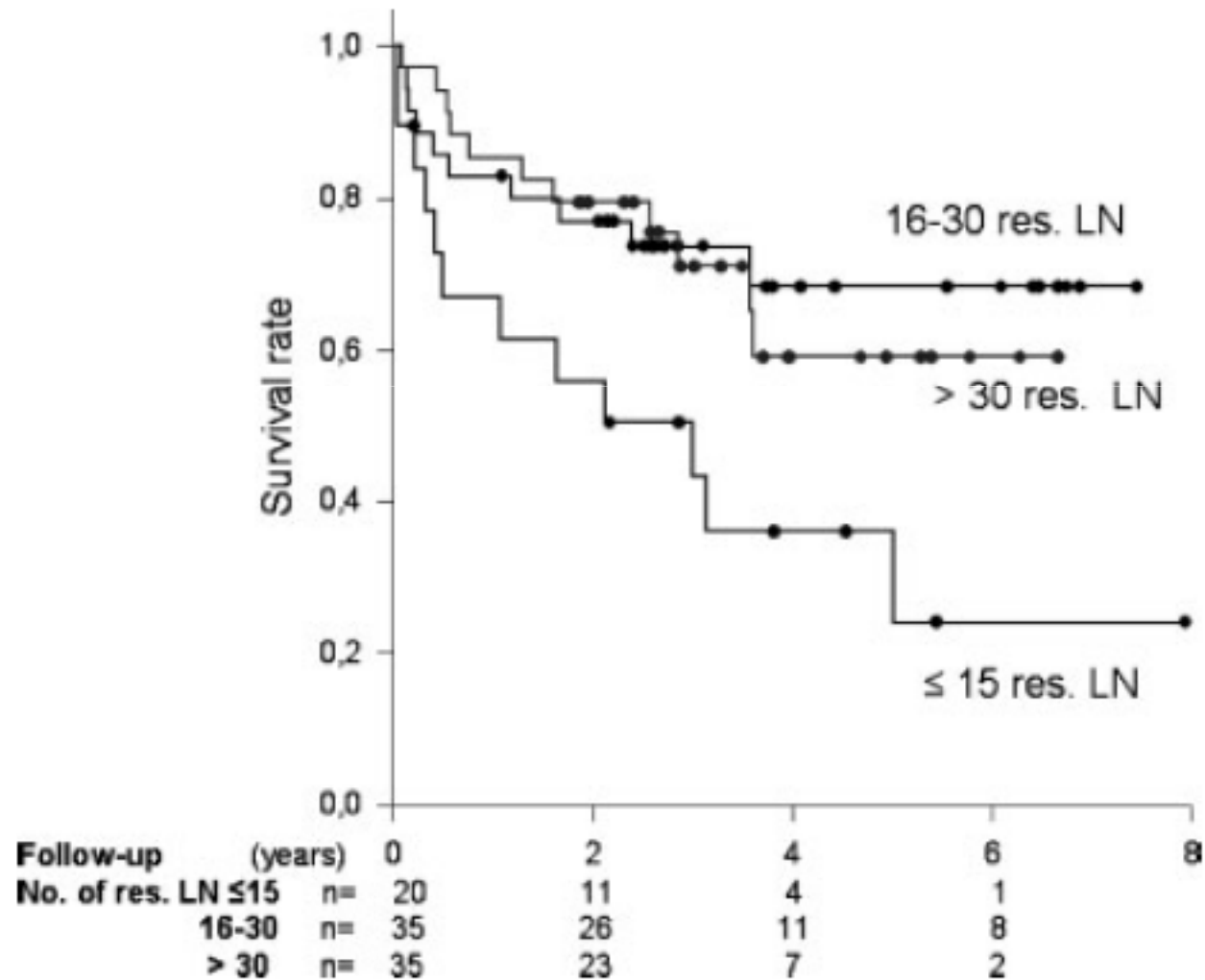


# Survival by Nodal Volume



*Bollschweiler et al 2006*

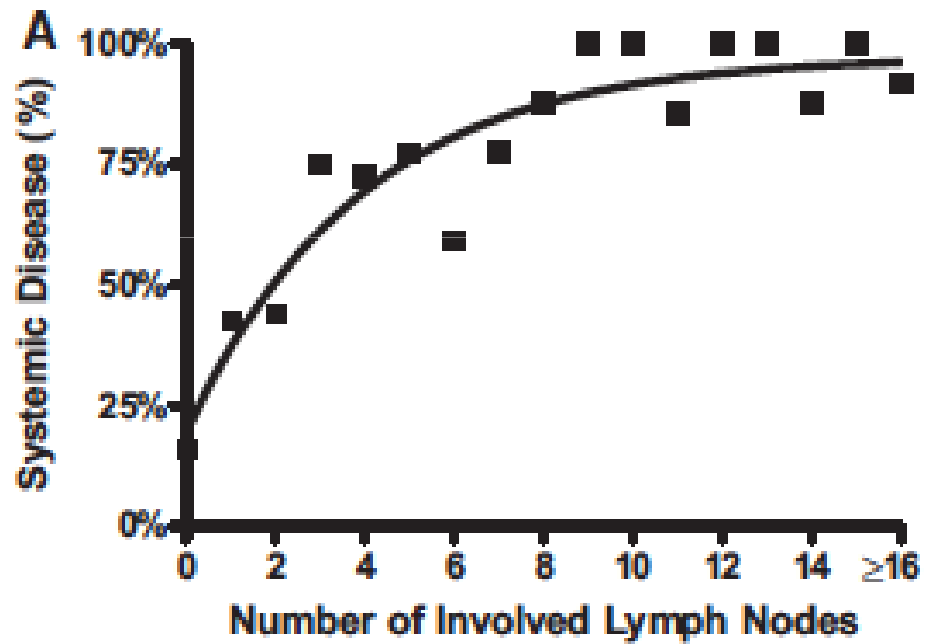
## Survival by Number examined in N0 Disease



*Bollschweiler et al 2006 J Surg Oncol 94:355-363*

# Risk of Systemic Disease and Number of Nodes Involved

Peyre et al 2008



# Involved Nodes	0	1	2	3	4	5	6	7	≥8
# Patients	183	54	34	32	29	22	22	9	67

*Peyre et al 2008 Ann Surg 248: 979-985*

# Health Related Quality of Life after Surgery for Junctional Cancer

---

63 patients  
20 Ext TG  
43 TTO

Better baseline scores for TTO – fitter group

6/12 HQRL lower scores after TTO  
Role and Social Function  
Global Quality of Life  
Fatigue

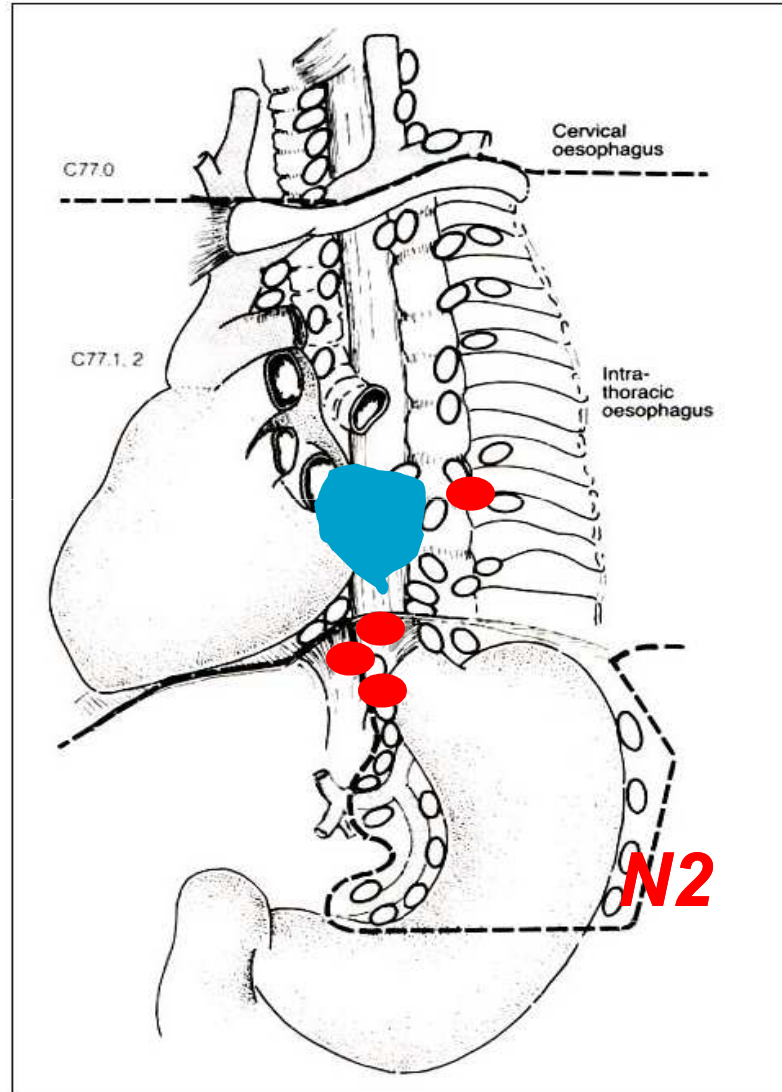


*Thank you for your  
attention*











EGJ tumor (TNM 7<sup>th</sup> ed.)

**Oesophagus  
(ICD-O C15)**

*Includes Oesophagogastric junction (C16.0)*

***Rules for Classification***

- *A tumour the epicenter of which is within 5 cm of the oesophagogastric junction and also extends into the oesophagus is classified and staged using the oesophageal scheme.*
- *Tumours with an epicenter in the stomach greater than 5 cm from the oesophagogastric junction or those within 5 cm of the oesophagogastric junction without extension in the oesophagus are classified and staged using the gastric carcinoma scheme.*

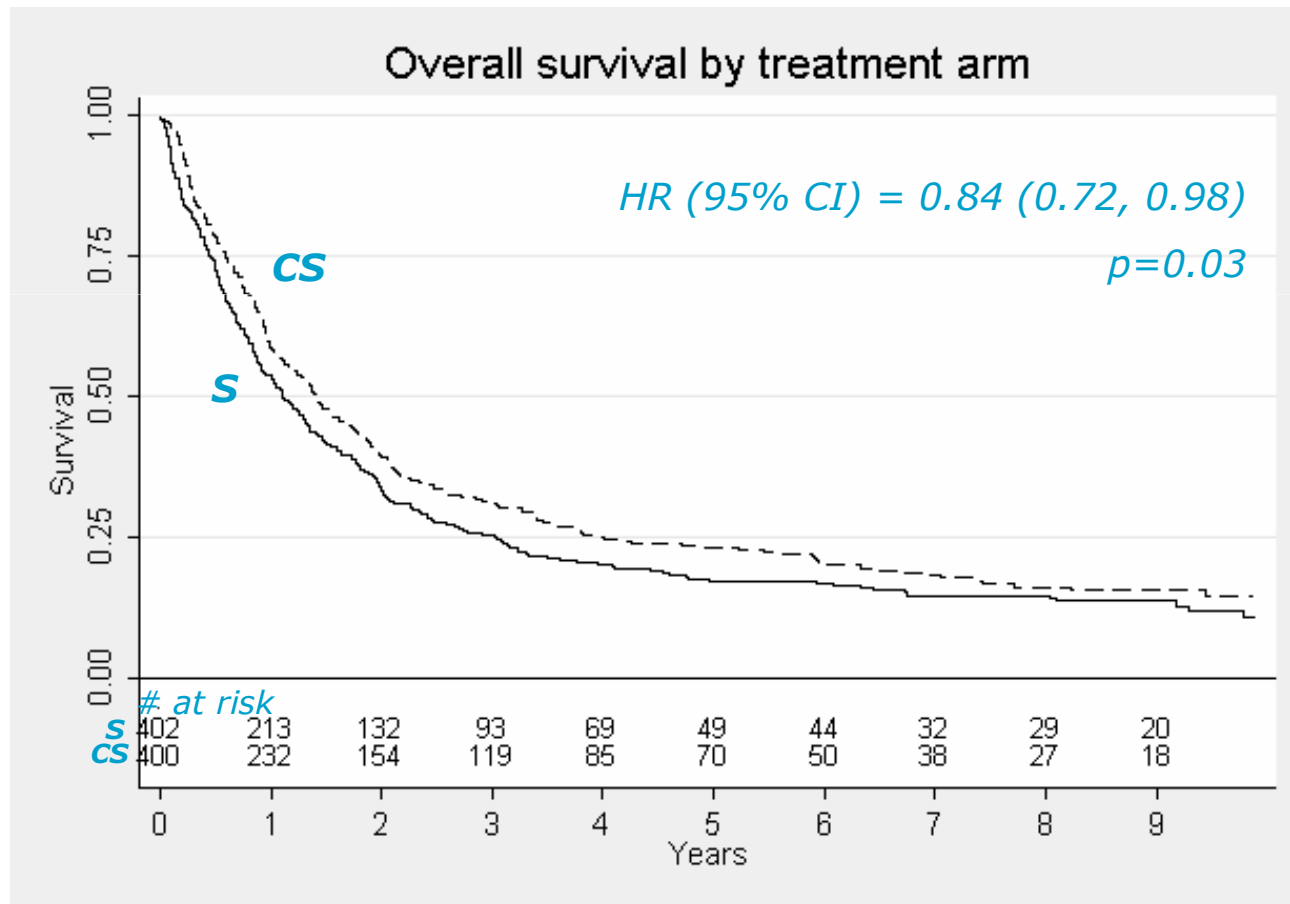
# OE02 update

## Resection Details

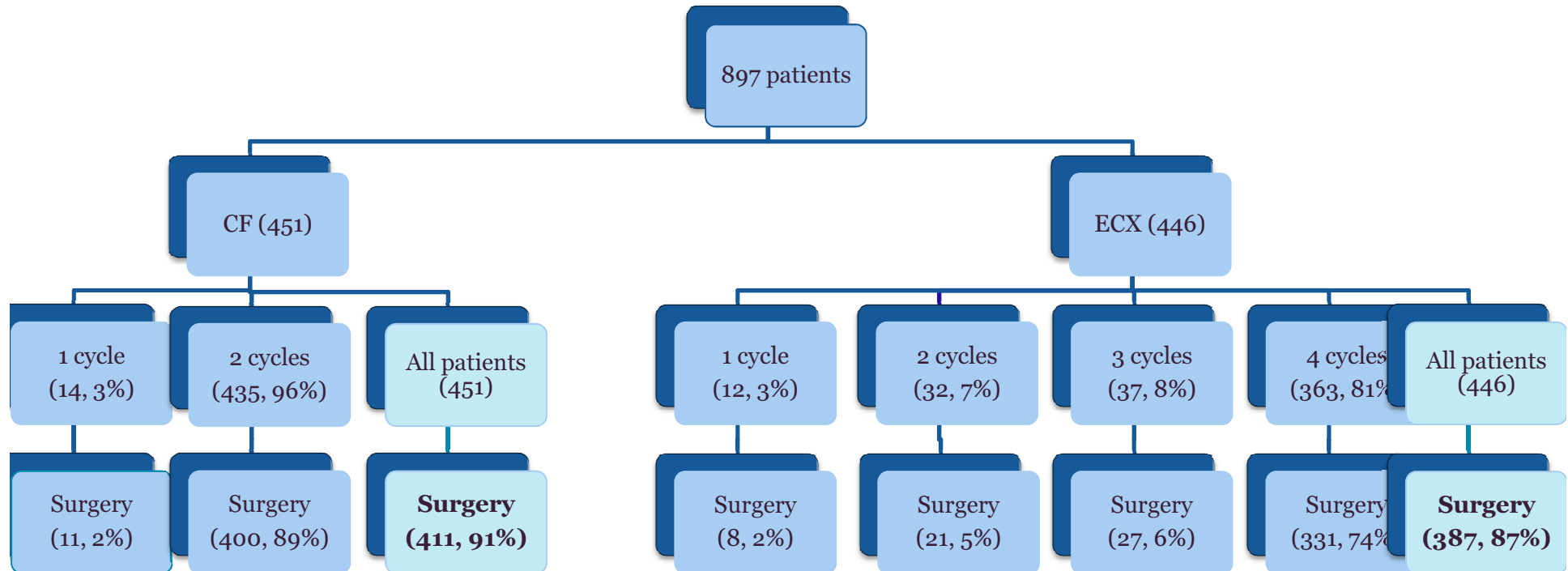
	<b>CS</b>	<b>S</b>
<b>Number having surgery</b>	<b>361</b>	<b>386</b>
<b>Median time to surgery</b>	<b>63 days</b>	<b>16 days</b>
<b>Perioperative deaths</b>	<b>36 (10%)</b>	<b>40 (10%)</b>
<b>R0</b>	<b>60%</b>	<b>55%</b>
<b>R1</b>	<b>18%</b>	<b>15%</b>
<b>R2</b>	<b>9%</b>	<b>13%</b>
<b>Inoperable</b>	<b>5%</b>	<b>14%</b>

# OE02 update

- Updated results
  - Overall survival (from randomisation)



# Treatment and Surgery



*Of the 798 who had surgery, 47 (24 CF, 23 ECX) had an open and close operation.*

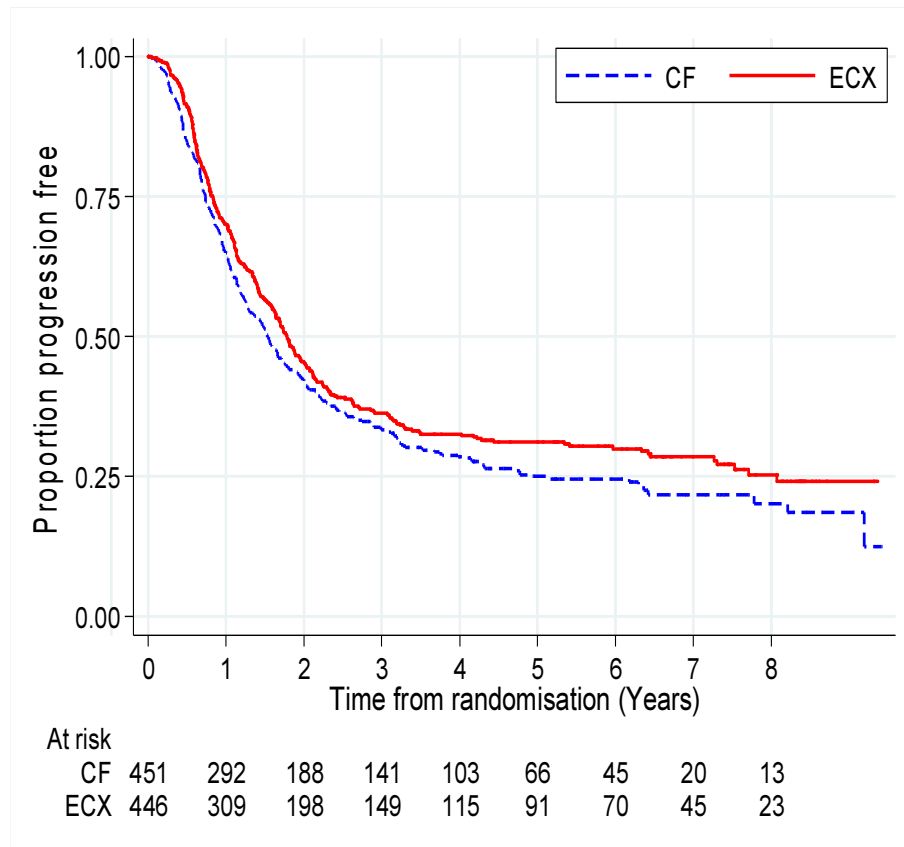
# Surgery

		CF (N=451)		ECX (N=446)		P- value
		n	%	n	%	
<b>Surgery performed</b>	Yes	411	91%	387	87%	0.043
	No	40	9%	59	13%	
<b>Reason for no surgery</b>	PD, inoperable, co-morbidity	37		44		
	Patient choice	2		7		
	Died	1		8		
<b>Resection</b>	Yes	387	94%	364	94%	1.000
	No	24	6%	23	6%	

# Post-op complications

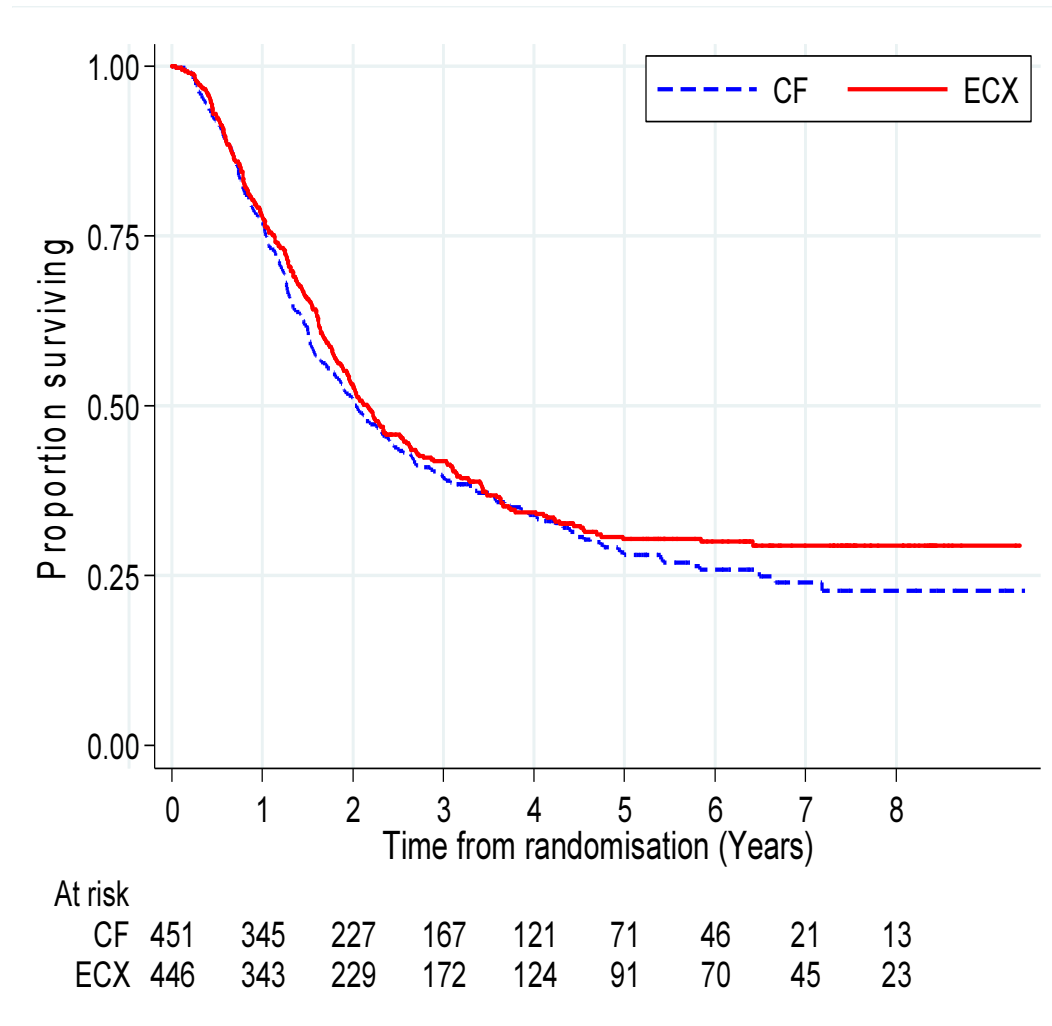
Complication	CF (N=397)		ECX (N=376)	
	n	%	n	%
<b>Any complication</b>	225	57%	234	62%
<b>Respiratory</b>	107	27%	126	34%
<b>Thrombo-embolic</b>	16	4%	17	5%
<b>Infection</b>	57	14%	56	15%
<b>Cardiac</b>	44	11%	45	12%
<b>Surgery related</b>	36	9%	42	11%
<b>Haematological</b>	18	5%	16	4%
<b>Chylothorax</b>	12	3%	15	4%
<b>Anastomotic</b>	44	11%	38	10%
<b>Other</b>	28	7%	28	7%
<b>Required revisional operation</b>	34	9%	30	8%
<b>Died within 30 days</b>	8	2%	10	2%
<b>Died within 90 days</b>	17	4%	20	5%

# Progression free survival



Median PFS (95% CI)	
CF	1.53 (1.29, 2.74)
ECX	1.78 (1.61, 2.00)
HR	0.86 (0.74, 1.01)
P-value	0.0580

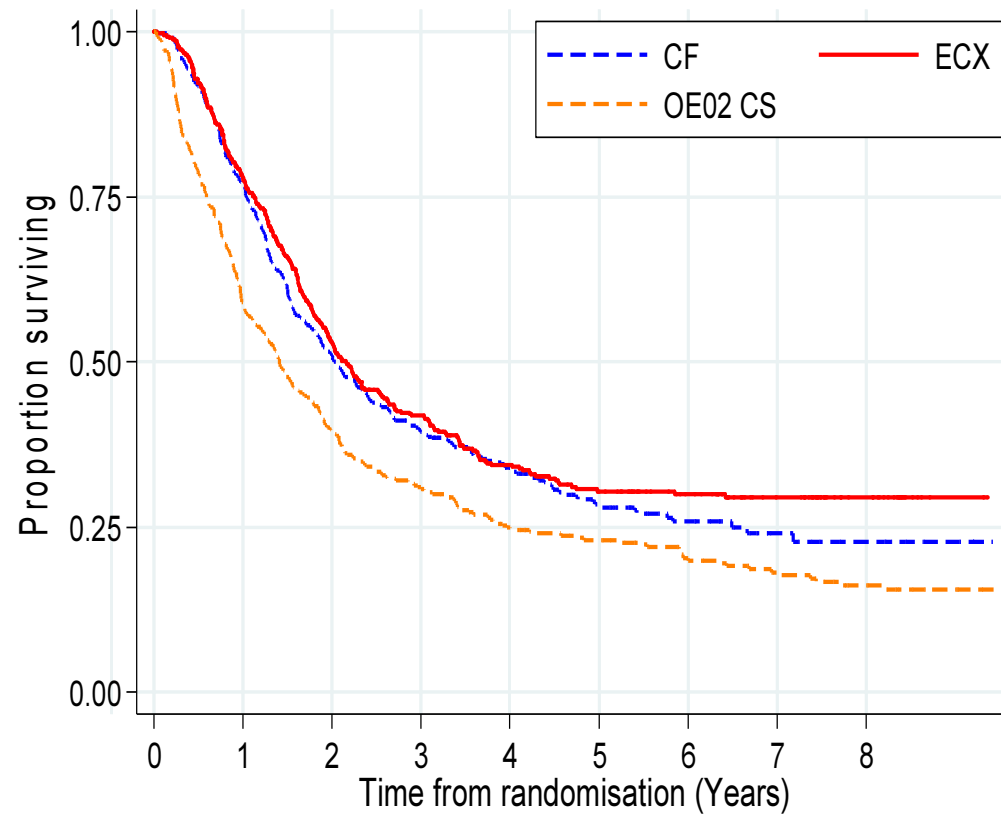
# Overall survival



Median survival (95% CI)	
CF	2.02 (1.80, 2.38)
ECX	2.15 (1.93, 2.53)
HR	0.92 (0.79, 1.08)
P-value	0.8582
3-year survival (95% CI)	
CF	39% (35%, 44%)
ECX	42% (37%, 46%)



# Overall survival



At risk		0	1	2	3	4	5	6	7	8
CF	451	345	227	167	121	71	46	21	13	
ECX	446	343	229	172	124	91	70	45	23	
OE02 CS	400	235	154	120	85	70	50	38	27	

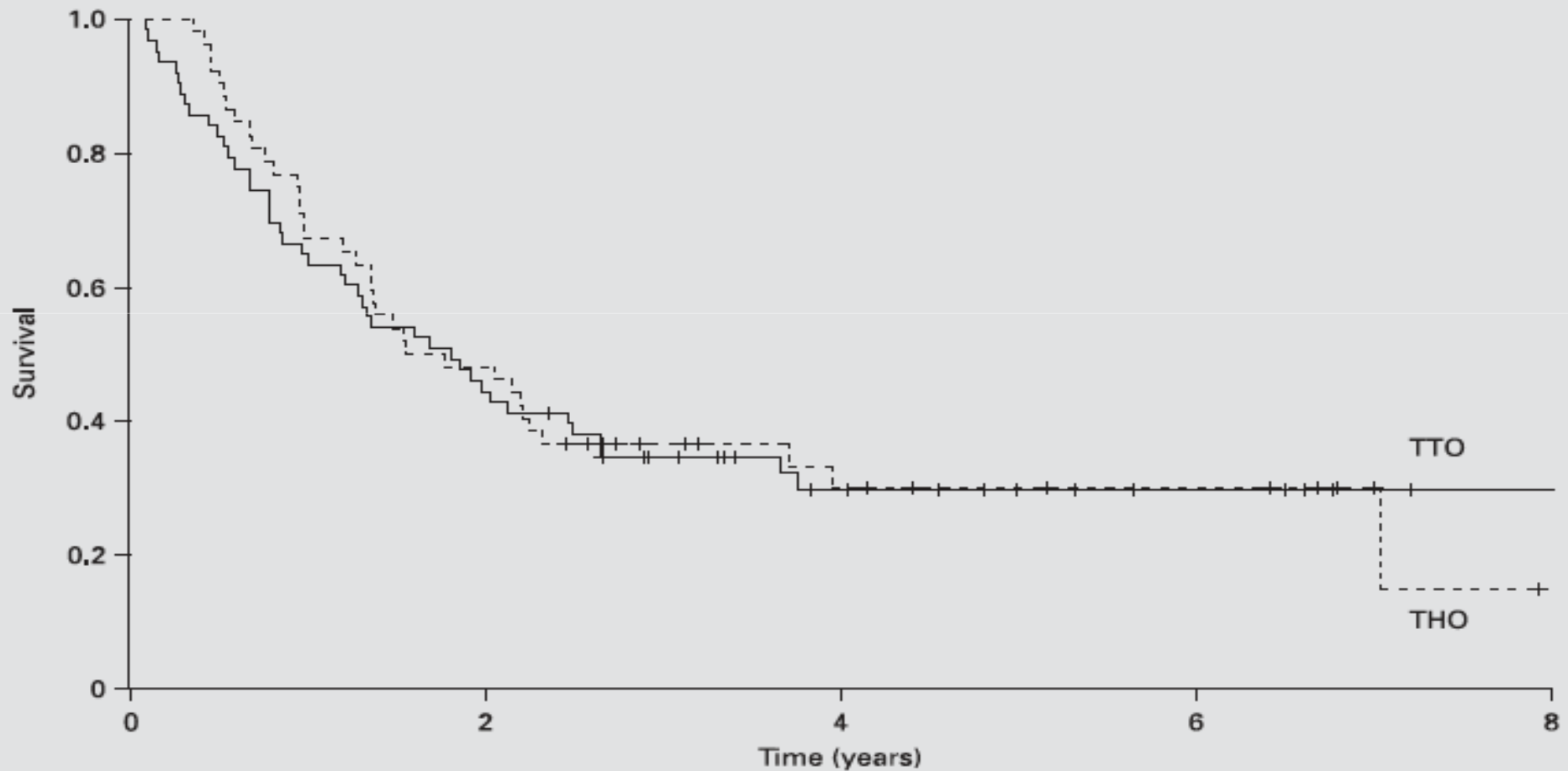
3-year survival (95% CI)	
CF	39% (35%, 44%)
ECX	42% (37%, 46%)
OE02 CS	31% (27%, 36%)

## Dutch Trial

### THO vs TTO

- TTO
  - More nodes
  - More respiratory complications
  - Lower oesophageal and LN 1-8 better outcome

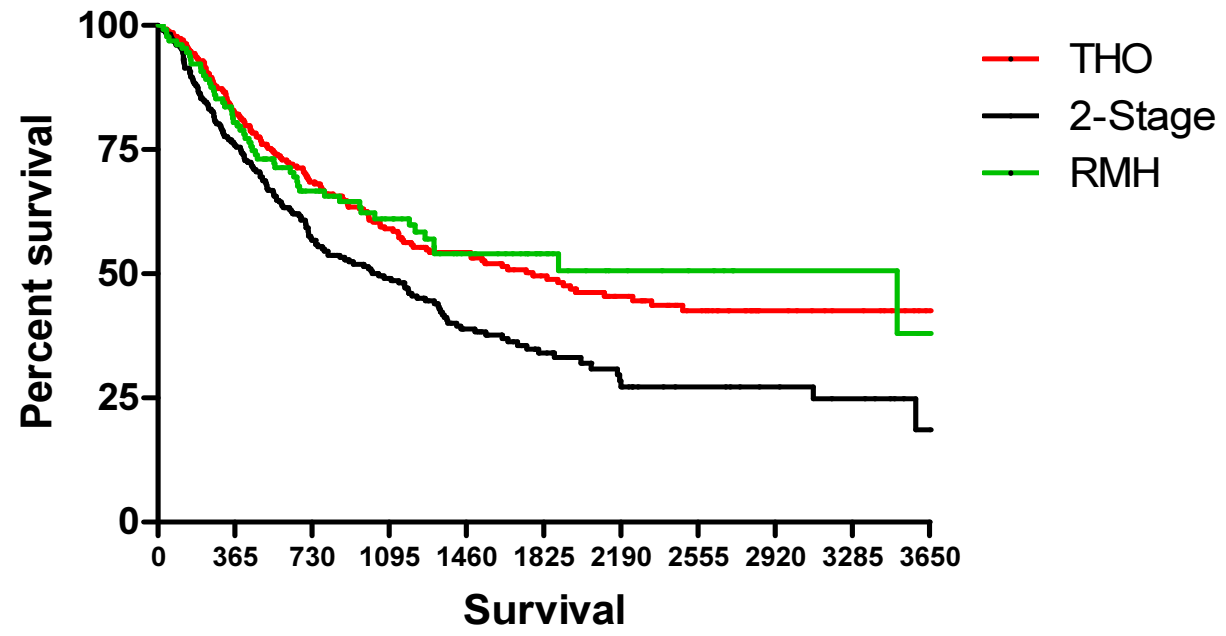
# Survival after TTO vs THO for Type II Tumours



Numbers at risk:

THO	52	25	9	6
TTO	63	28	11	6

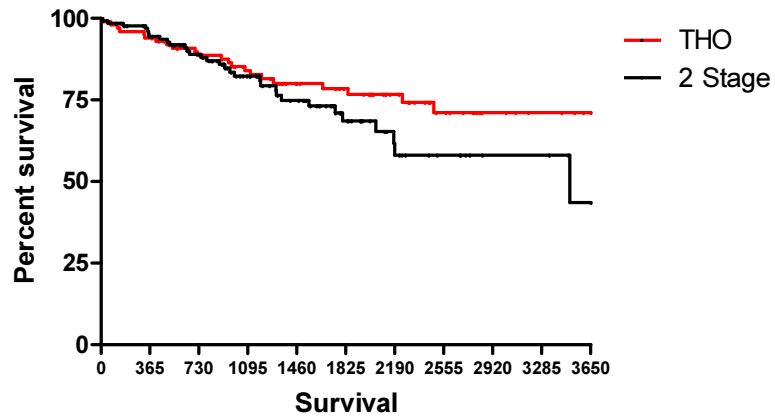
### Survival of ALL Px



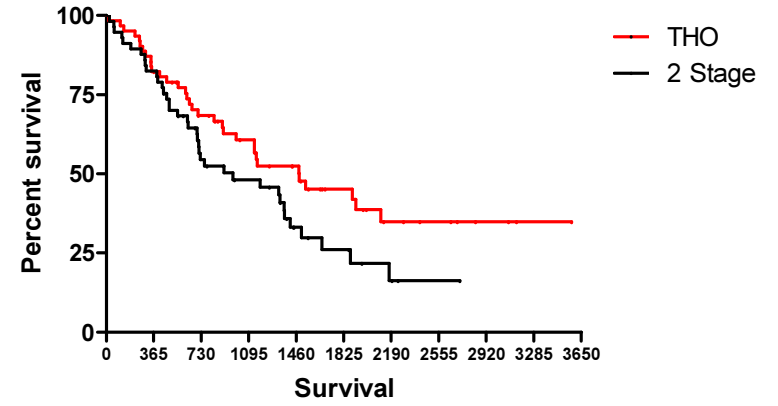
*Median Survival*

*RMH 54 months*  
*THO 49 months*  
*2 ST 34 months*  
*P < 0.0005*

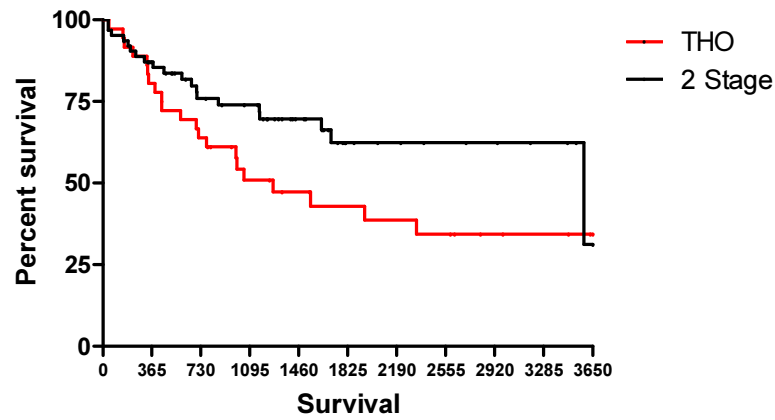
Survival of THO vs 2-ST ALL T1-2 N0:Survival proportions



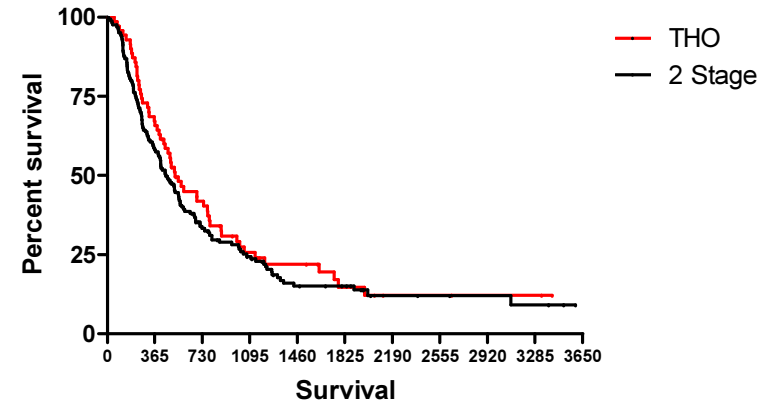
Survival of THO vs 2-ST ALL T1-2 N+:Survival proportions



Survival of THO vs 2-ST ALL T3-4 N0:Survival proportions

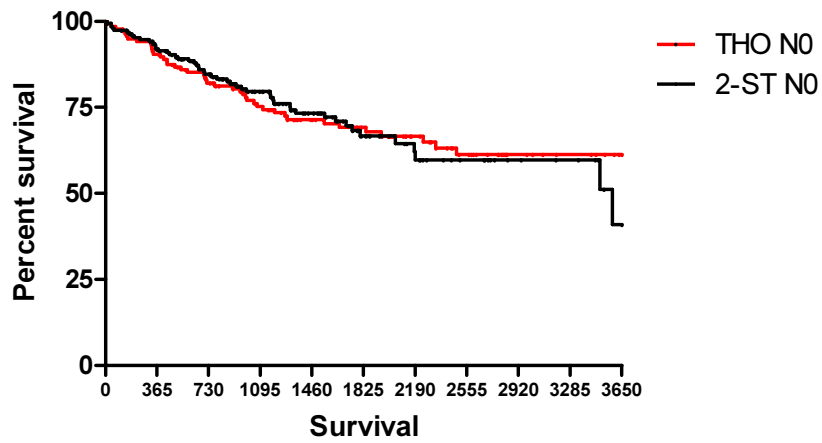


Survival of THO vs 2-ST ALL T3-4 N+:Survival proportions

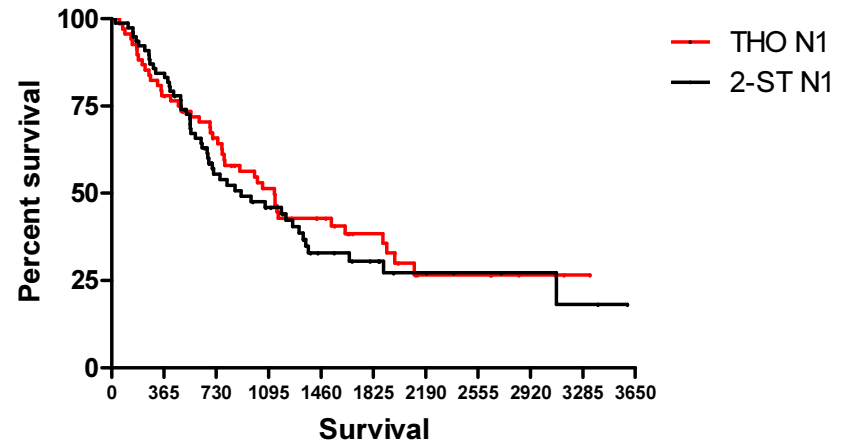


*P = ns*

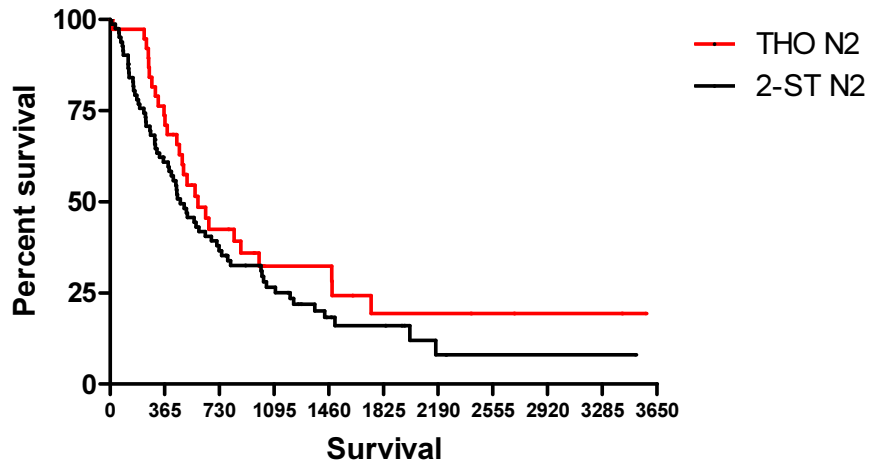
Survival of THO vs 2-ST N0:Survival proportions



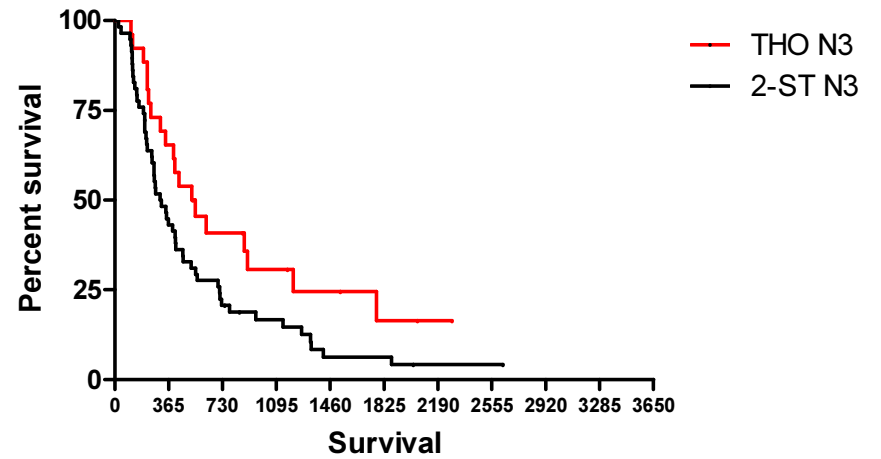
Survival of THO vs 2-ST ALL N1:Survival proportions



Survival of THO vs 2-ST ALL N2:Survival proportions

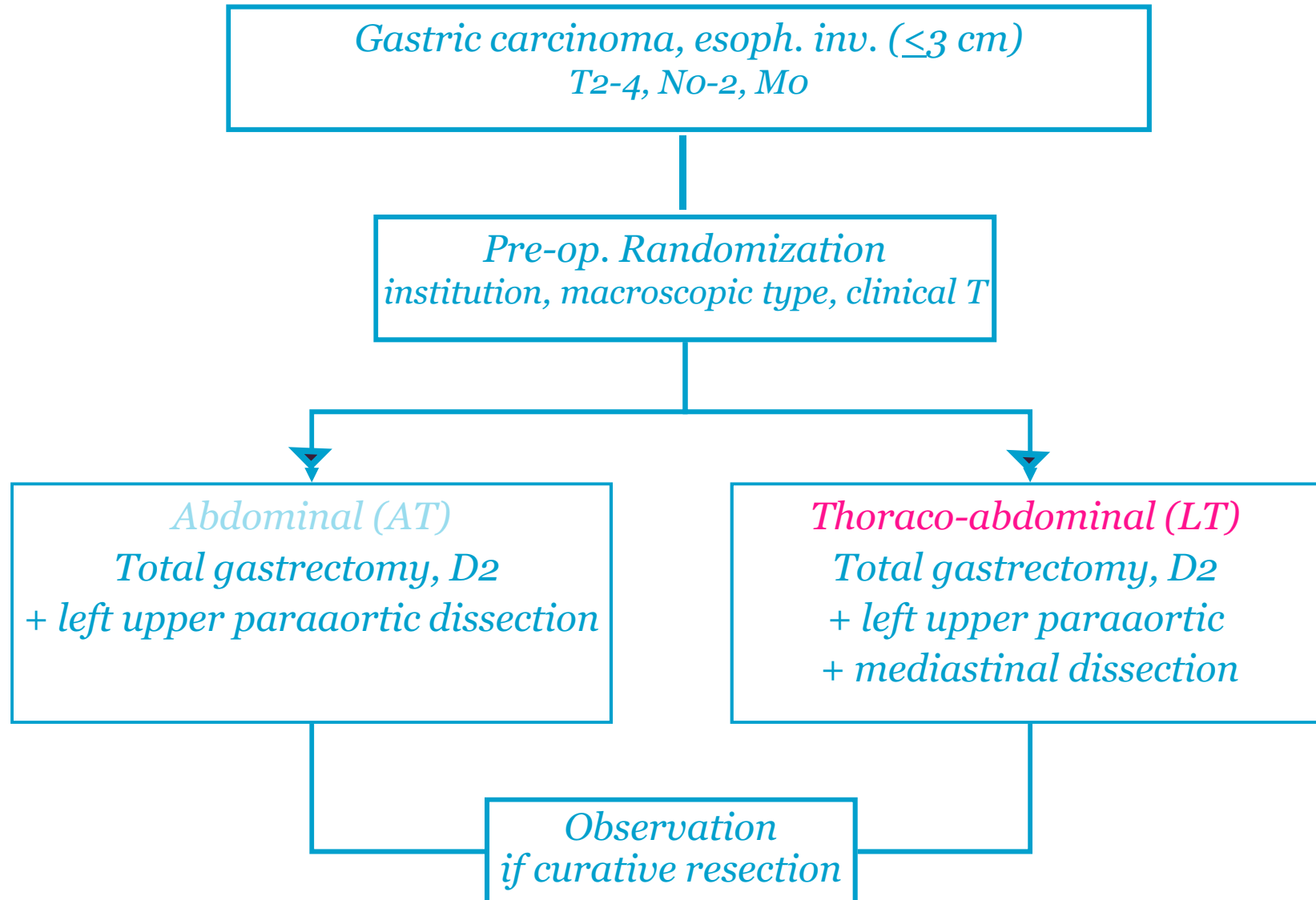


Survival of THO vs 2-ST ALL N3:Survival proportions

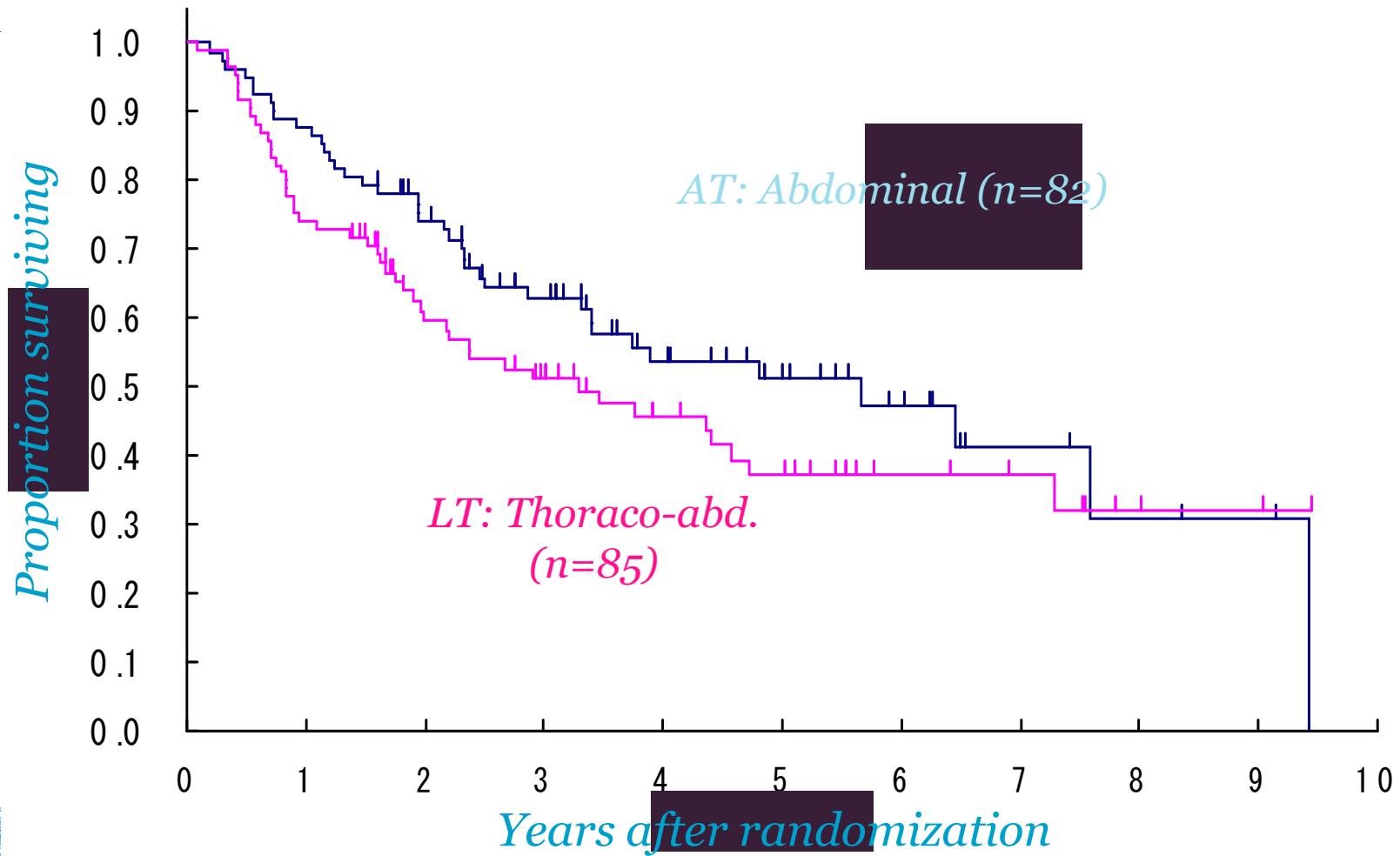


*P = ns*

# JCOG 9502: Scheme



# Overall Survival





## Conclusions of JCOG 9502

---

Thoraco-abdominal approach is *not* recommended for tumors of Siewert's type 2 and 3.



# Health Related Quality of Life after Surgery for Junctional Cancer

---

63 patients  
20 Ext TG  
43 TTO

Better baseline scores for TTO – fitter group

6/12 HQRL lower scores after TTO  
Role and Social Function  
Global Quality of Life  
Fatigue



# Aim of Surgery for Junctional Cancer

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R0 resection

Minimum 15 lymph nodes

5cm grossly normal in situ proximal oesophagus



# Surgical Options According to Type

---

Siewert Type I                      TTO / THO

Siewert Type II    TTO / THO / Ext TG

Siewert Type III    Ext TG



# Resection Margin and Procedure

---

171 AEG Patients

16 Oesophagectomy

71 Left Thoraco-abdominal

84 Transhiatal

Margin: proximal limit of tumour above junction

> 5cm – oesophagectomy

3 – 5cm – left thoraco-abdominal

< 3cm - Transhiatal



# OPERATIVE MORBIDITY FOR JUNCTIONAL PROCEDURES

SERIES	PROCEDURE	NO.	OPERATIVE MORTALITY	OPERATIVE MORBIDITY	SPECIFIC MORBIDITY
Meyer et al (2002)	TTO LTA Ext TG	56 74	5.3% 1.4%	41%	Respiratory
Lerut et al (2004)	TTO 3 field	174	1.2%	58%	Respiratory 32.8% Arrythmia 10.9%
Internullo et al (2008)	LTA	94 (>75yrs)	7.4%	51.9%	Respiratory 37%
Ott et al (2009)	TTO	240	3.8%	17.9%	Respiratory
Li et al (2011)	LTA	135	0%	11%	Respiratory 6% Leak 1% Wound Infection 4%

# Upper GI: technical and clinical challenges for RO

## **State of art of radiation therapy in a combined treatment perspective**

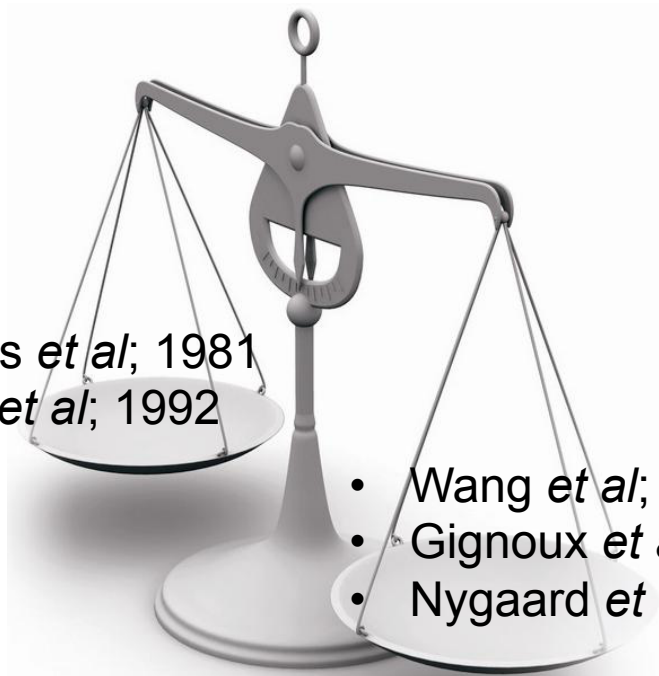
# State of art of radiation therapy in Esophageal Cancer

- ✓ **Preoperative Chemoradiation → Planned Esophagectomy**
- ✓ **Definitive Chemoradiation → Salvage Esophagectomy**
- ✓ **Chemoradiation → or Selective Esophagectomy**



## ✓ Preoperative Chemoradiation → Planned Esophagectomy

- Phase III Trials RT( $\pm$ CT)→Surg vs Surg alone



- Lanuois *et al*; 1981
- Arnott *et al*; 1992

- Wang *et al*; 1989
- Gignoux *et al*; 1987
- Nygaard *et al*; 1992

No Statistical Difference

- ✓ Tutti x SCC
- ✓ RT Doses: 20-40 Gy
- ✓ pCR  $\approx$  15%
- ✓ Local Failure (LF): 20-58%
- ✓ 5 yy SVV: 10-30%

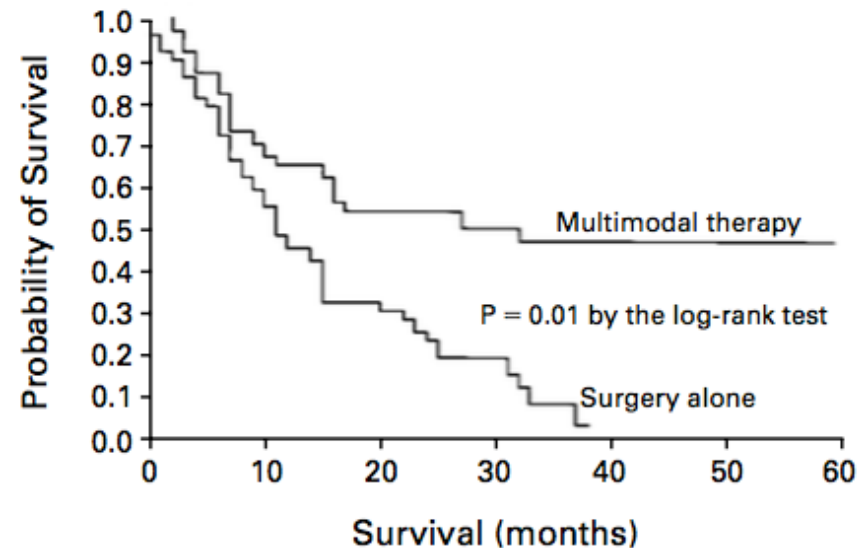
## ✓ Preoperative Chemoradiation → Planned Esophagectomy

### ✓ **Preoperative Chemoradiation → Planned Esophagectomy**

- Walsh et al – 1996 (Trimodality) Phase III Trial Chir ± Preop RTCT
- Urba et al – 2001 (Trimodality) Phase III Trial Chir ± Preop RTCT
- Burmeister et al – 2005 (Trimodality) Phase III Trial Chir ± Preop RTCT
- Tepper et al – 2008 (Trimodality) Phase III Trial Chir ± Preop RTCT
- POET - 2009 (Trimodality) Phase III Trial Chir + Preop CT ± RT
- FFCD 9901 - 2014 (Trimodality) Phase III Trial Chir ± Preop RTCT
- CROSS - 2015 (Trimodality) Phase III Trial Chir ± Preop RTCT

## ✓ Preoperative Chemoradiation → Planned Esophagectomy

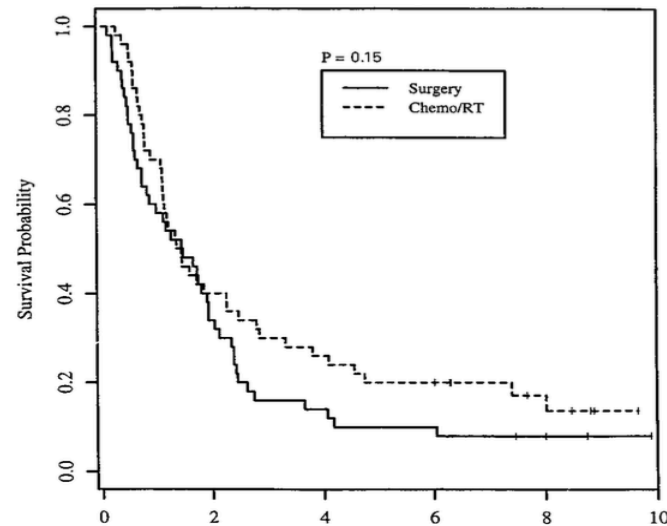
- Walsh et al – 1996 (Trimodality)      Stage n.a.      Cardia 36%
- Walsh et al – 1996 (Trimodality)      113 pts      Adeno 100%
- Walsh et al – 1996 (Trimodality)      **SVV Benefit**



RTCT (3DCRT): 40 Gy (2.7 Gy fx) + 5Fu/CDDP

# ✓ Preoperative Chemoradiation → Planned Esophagectomy

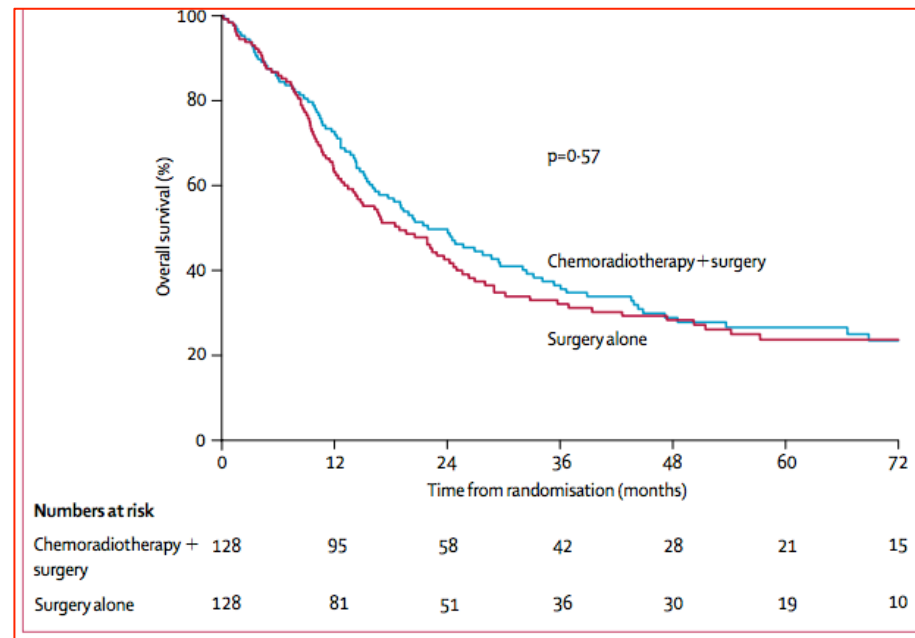
- Urba et al – 2001 (Trimodality) Stage: n.a. Mid-Distal= 92%
- Urba et al – 2001 (Trimodality) 100 pts Adeno 75%
- Urba et al – 2001 (Trimodality) **NO SVV Benefit**



RTCT (3DCRT): 45 Gy (1.5 Gy fx x 2/day) + 5Fu/CDDP/Vimblastine

# ✓ Preoperative Chemoradiation → Planned Esophagectomy

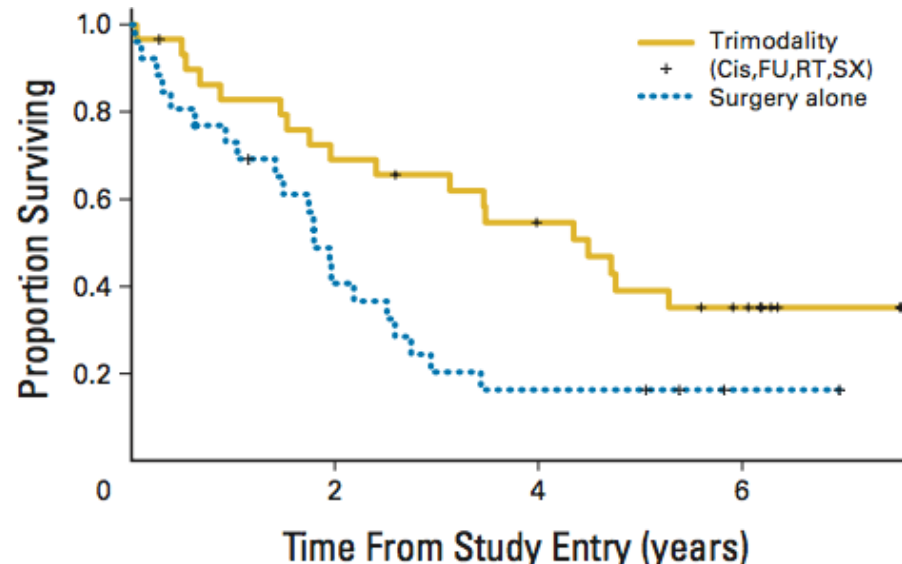
- Burmeister et al – 2005 (Trimodality)      Stage: n.a.      Mid-Distal= 79%
- Burmeister et al – 2005 (Trimodality)      256 pts      Adeno 62%
- Burmeister et al – 2005 (Trimodality)      **NO SVV Benefit**



RTCT (Simulator): 35 Gy (2.4 Gy fx) + 5Fu/CDDP

## ✓ Preoperative Chemoradiation → Planned Esophagectomy

- Tepper et al – 2008 (Trimodality)                      Stage n.a.                      Low third n.a.
- Tepper et al – 2008 (Trimodality)                      56 pts                      Adeno 75%
- Tepper et al – 2008 (Trimodality)                      **SVV Benefit**



RTCT: 50.4 Gy (1.8 Gy fx) + 5Fu/CDDP

# ✓ Preoperative Chemoradiation → Planned Esophagectomy

- POET - 2009 (Trimodality)
- POET - 2009 (Trimodality)
- POET - 2009 (Trimodality)

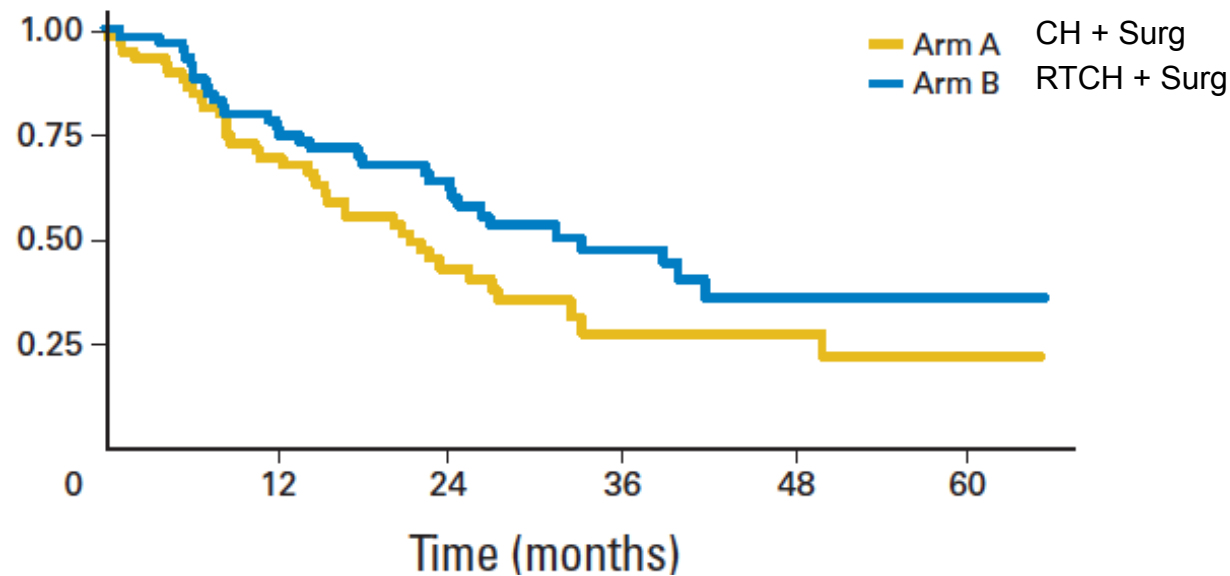
uT3-4NXM0

Siewert I-III= 100%

126 pts (326 planned)

Adeno 100%

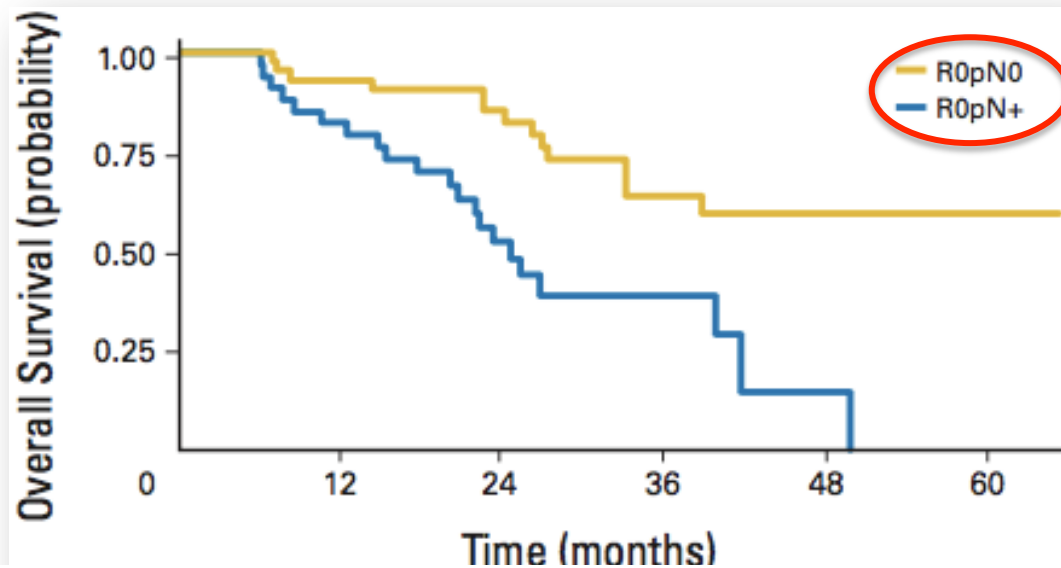
**NO SVV Benefit**



RTCT (Simulator): 2PLF + 30 Gy (2 Gy fx) + CDDP/Etoposide

## ✓ Preoperative Chemoradiation → Planned Esophagectomy

- POET - 2009 (Trimodality) uT3-4NXM0 Siewert I-III= 100%
- POET - 2009 (Trimodality) 126 pts (326 planned) Adeno 100%
- POET - 2009 (Trimodality) **NO SVV Benefit**



- Significant improvement of pCR (2 vs 15.6%; p=0.03) favoring RTCT
- Significant improvement of pN0 (36.7 vs 64.4%; p=0.03) favoring RTCT



## ✓ Preoperative Chemoradiation → Planned Esophagectomy

- FFCD 9901 - 2014 (Trimodality)
- FFCD 9901 - 2014 (Trimodality)
- FFCD 9901 - 2014 (Trimodality)

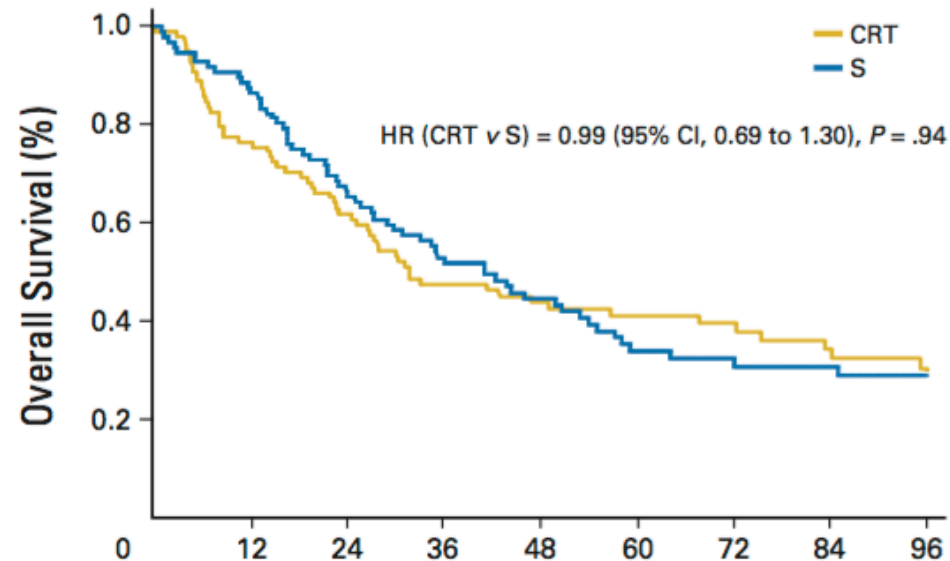
Stage I-II

Below carina= 91%

194 pts

Adeno 29%

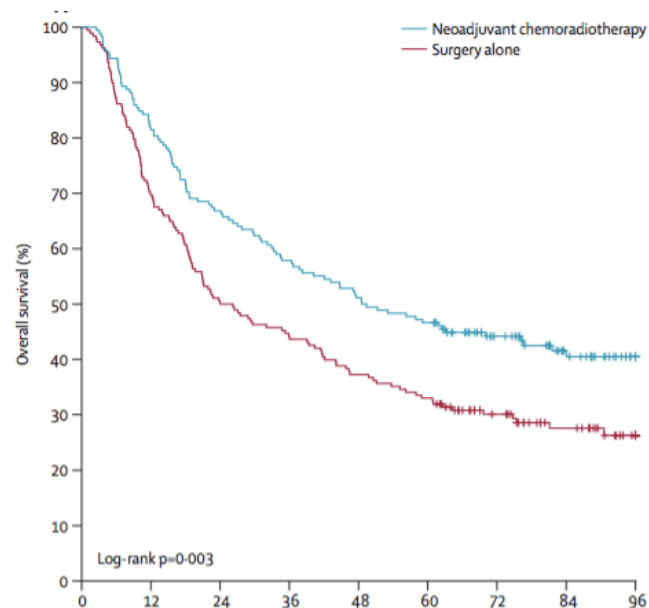
**NO SVV Benefit**



RTCT: 45 Gy (1.8 Gy fx) + 5FU + Platinum

# ✓ Preoperative Chemoradiation → Planned Esophagectomy

- CROSS - 2015 (Trimodality) T1N1+T2-3N0-1M0 Junction= 24%
- CROSS - 2015 (Trimodality) 366 pts Adeno 75%
- CROSS - 2015 (Trimodality) **Signif SVV Benefit**



RTCT: 41.4 Gy (1.8 Gy fx) + Carbo/Paclitaxel

Van Hagen *et al*; N Engl J Med 2012

Oppedijk *et al*; JCO 2014

Shapiro *et al*; Lancet Oncol 2015

# ✓ Preoperative Chemoradiation → Planned Esophagectomy

	N° Pts	Accrual	Rate adeno	Tumor site	Dose/Fx (Gy)	Concurrent CT	3 yy OS % [RTCT+ surg vs. surg alone]	5 yy OS % [RTCT+ surg vs surg alone]	Median SVV (mth) [RTCT+ surg vs surg alone]	Median fup (mth)
Walsh [43]	113	1990-1995	100%	Middle+ Lower Esophagus + Cardias	40/2.7	CDDP + 5Fu	32 vs. 6 (p=0.01)	-	16 vs 11	10 (0.1-59)
Urba [46]	100	1989-1994	75%	Proximal+ Middle + Lower Esophagus + GEJ	45/1.5 (twice daily)	CDDP+ 5Fu+ Vimblastine	30 vs. 16 (p=0.15)	-	16.9 vs 17.6	98.4 (72-118.8)
Burmeister [48]	256	1994-2000	62%	Proximal +Middle+ Lower Esophagus	35/2.4	CDDP + 5Fu	42 vs. 36 (p=0.57)	21 vs. 19	22.2 vs. 19.3	65 (0.4-120)
Tepper [49]	56	1997-2000	75%	Toracic Esophagus (below 20 cm)+ GEJ <2cm distal spread in cardia	50.4/1.8	CDDP + 5Fu	-	39 vs. 16 (p=0.002)	53.8 vs. 21.5	72 (NR)
Van Hagen [50]	366	2004-2008	75%	Proximal +Middle+ Lower Esophagus + GEJ	41.2/1.8	Carboplatin + Paclitaxel	58 vs. 44 (p=0.003)	47 vs. 34	49.4 vs. 24	45.4 (25.5-80.9)

# ✓ Preoperative Chemoradiation → Planned Esophagectomy

Author	Trials	Period	pts	SVV Benefit for TMT	Notes
Urschel 2003 [Am J Surg]	9	1992-2002	1116	1-2-3 yy SVV	3 yy SVV benefit higher for concomitant vs sequential RTCT
Fiorica 2004 [GUT]	6	1992-2001	764	3 yy SVV	↑ postoperative mortality
Arnott 2005 [IJROBP]	5	1981-1992	1147	Non significant trend at 2 and 5 yy	SCC 86%
Greer 2005 [Surgery]	6	1992-2001	738	Small non significant trend	Same trial selection Fiorica
GebSKI 2007* [Lancet Oncol]	10 (18)	1982-2006	1209 (2933 Tot)	2 yy SVV	Smaller significant benefit also for NACT
Jin 2009 [World J Gastr]	11	1992-2008	1308	1-3-5 yy SVV	
Sjoquist 2011* [Lancet Oncol]	14 (24)	1983-2004	2048 (4188 Tot)	2 yy SVV	CROSS reported as Abstract
Wang 2012 [Dig Dis Sci]	12	1992-2009	1529	1-3-5 yy SVV	- SVV benefit only for concomitant RTCT - SVV benefit only for SCC
Deng 2014 [Diagn Pathol]	13	2001-2013	1930	Significant: ↓ Postop + ↓ Loc Recs ↓ M+ Rates	- "postoperative efficacy" - Potential bias - CROSS Included
Fan 2016 [Thoracic Cancer]	5 (RTCT vs CT)	2007-2011	678	- Svv benefit of RTCT vs CT	- RTCT perioperative mortality and complication rates higher than CT

# State of art of radiation therapy in Esophageal Cancer

## ✓ **Preoperative Chemoradiation → Planned Esophagectomy**

- Walsh et al – 1996 (Trimodality) Phase III Trial Chir ± Preop RTCT
- Urba et al – 2001 (Trimodality) Phase III Trial Chir ± Preop RTCT
- Burmeister et al – 2005 (Trimodality) Phase III Trial Chir ± Preop RTCT
- Tepper et al – 2008 (Trimodality) Phase III Trial Chir ± Preop RTCT
- POET - 2009 (Trimodality) Phase III Trial Chir + Preop CT ± RT
- FFCD 9901 - 2014 (Trimodality) Phase III Trial Chir ± Preop RTCT
- CROSS - 2015 (Trimodality) Phase III Trial Chir ± Preop RTCT

## ✓ **Definitive Chemoradiation → Salvage Esophagectomy**

- RTOG 85-01 - 1999 Phase III Trial RT vs RTCT
- INT 0123 - 2002 Phase III Trial RTCT (50Gy) vs RTCT (65Gy)

## ✓ Definitive Chemoradiation → Salvage Esophagectomy

- RTOG 85-01 - 1999
- RTOG 85-01 – 1999
- RTOG 85-01 – 1999
- RTOG 85-01 – 1999

Phase III Trial RT (64Gy) vs RTCT (50Gy)

T1-3 N0-1M0

Low third: n.a.

129 pts

Adeno 21.4%

**SVV Benefit** (RTCT vs RT Alone)

- INT 0123 - 2002
- INT 0123 – 2002
- INT 0123 – 2002
- INT 0123 – 2002

Phase III Trial RTCT (50Gy) vs RTCT (65Gy)

T1-T4 N0-1M0

Low third: n.a.

218 pts

Hystotype: n.a.

**NO SVV Benefit**

# State of art of radiation therapy in Esophageal Cancer

## ✓ **Preoperative Chemoradiation → Planned Esophagectomy**

- Walsh et al – 1996 (Trimodality) Phase III Trial Chir ± Preop RTCT
- Urba et al – 2001 (Trimodality) Phase III Trial Chir ± Preop RTCT
- Burmeister et al – 2005 (Trimodality) Phase III Trial Chir ± Preop RTCT
- Tepper et al – 2008 (Trimodality) Phase III Trial Chir ± Preop RTCT
- POET - 2009 (Trimodality) Phase III Trial Chir + Preop CT ± RT
- FFCD 9901 - 2014 (Trimodality) Phase III Trial Chir ± Preop RTCT
- CROSS - 2015 (Trimodality) Phase III Trial Chir ± Preop RTCT

## ✓ **Definitive Chemoradiation → Salvage Esophagectomy**

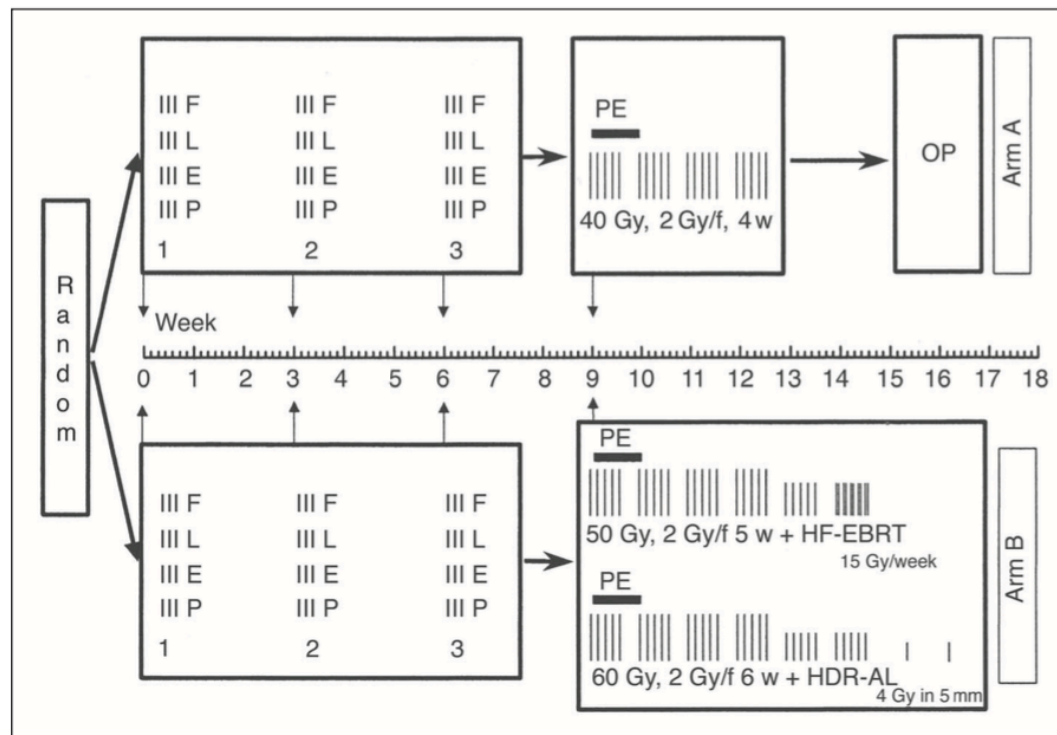
- RTOG 85-01 - 1999 Phase III Trial RT vs RTCT
- INT 0123 - 2002 Phase III Trial RTCT (50Gy) vs RTCT (65Gy)

## ✓ **Chemoradiation → or Selective Esophagectomy**

- ESSEN Trial - 2005 Phase II Trial RTCT ± Selective Chir
- FFCD 9102 - 2015 Phase III Trial RTCT in > PR RTCT vs Selective Chir

# ✓ Chemoradiation → or Selective Esophagectomy

- ESSEN Trial – 2005 T3-4, N0-1, M0 Low third: 0%
- ESSEN Trial – 2005 172 pts Adeno 0%





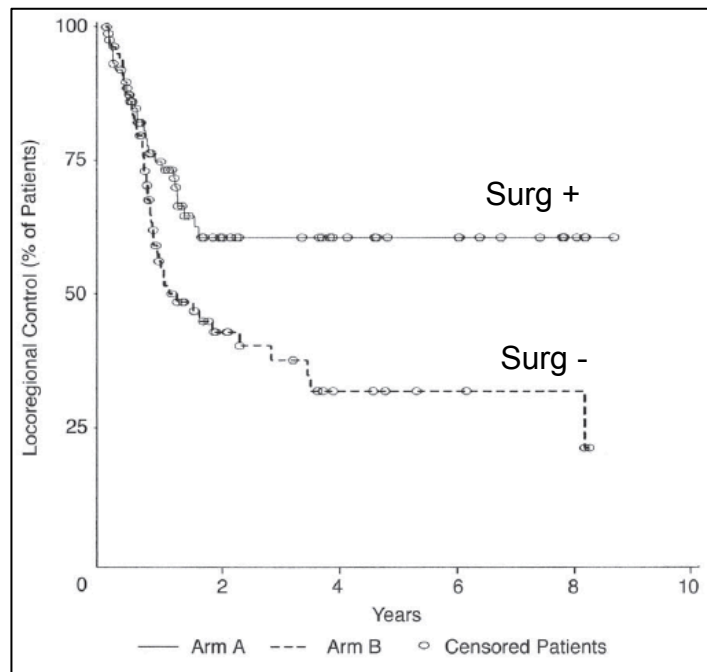
# ✓ Chemoradiation → or Selective Esophagectomy

- ESSEN Trial – 2005
- ESSEN Trial – 2005

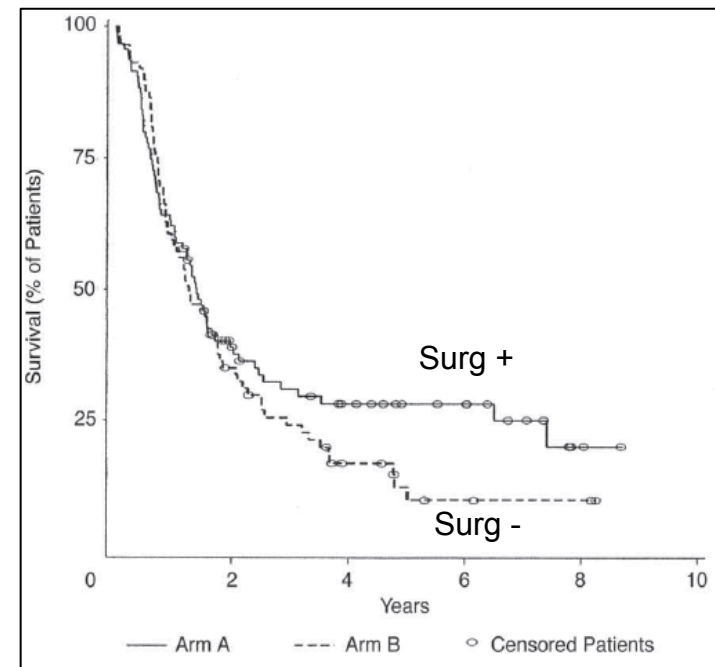
T3-4, N0-1, M0  
172 pts

Low third: 0%  
Adeno 0%

## Local control




## Survival



## ✓ Chemoradiation → or Selective Esophagectomy

- FFCD 9102 – 2015 T3-N0/N1-M0 thoracic esophageal cancer

- 445 pts: 5-FU/CDDP/RT x 2  
(46 Gy or 30 Gy split course)

- 259 pts  $\geq PR$  
  - Surgery
  - 5-FU/CDDP/RT x 2 x 3  
(20 Gy or 15 Gy split course)

- Median (18 vs. 19 m) and 2-yr surv (34% vs. 40%)
  - 9% operative mortality (1% with CMT)

## ✓ Chemoradiation → or Selective Esophagectomy

- FFCD 9102 – 2015 T3-N0/N1-M0 thoracic esophageal cancer

Median OS non-randomised (**11.5** months) vs randomised (**18.9** months;  $p=0.0024$ ).

In 112 non-randomised who underwent surgery, median OS was **17.3** versus **18.9** months in randomised : ( $p=0.58$ )

In non-responders, median OS was longer for those who underwent surgery compared to non-operated : **17.0** versus **5.5** months ( $p<0.0001$ ),

# State of art of radiation therapy in Esophageal Cancer

## ✓ **Preoperative Chemoradiation → Planned Esophagectomy**

- Walsh et al – 1996 (Trimodality) **SVV Benefit**
- Urba et al – 2001 (Trimodality) **NO SVV Benefit**
- Burmeister et al – 2005 (Trimodality) **NO SVV Benefit**
- Tepper et al – 2008 (Trimodality) **SVV Benefit**
- POET - 2009 (Trimodality) **NO SVV Benefit**
- FFCD 9901 - 2014 (Trimodality) **NO SVV Benefit**
- CROSS - 2015 (Trimodality) **SVV Benefit**

## ✓ **Definitive Chemoradiation → Salvage Esophagectomy**

- RTOG 85-01 - 1999 **SVV Benefit**
- INT 0123 - 2002 **NO SVV Benefit**

## ✓ **Chemoradiation → or Selective Esophagectomy**

- ESSEN Trial - 2005 **NO SVV Benefit**
- FFCD 9102 - 2015 **NO SVV Benefit**

# State of art of radiation therapy in Esophageal Cancer

✓ Is Preoperative Chemorad. **detrimental for surgery?**

# ✓ Is Preoperative Chemoradiation detrimental for surgery?



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EJSO 41 (2015) 282–294

**EJSO**  
the Journal of Cancer Surgery

[www.ejsoc.com](http://www.ejsoc.com)

Review

Survival benefit and additional value of preoperative  
chemoradiotherapy in resectable gastric and  
gastro-oesophageal junction cancer: A direct and adjusted  
indirect comparison meta-analysis



K. Kumagai <sup>a,\*</sup>, I. Rouvelas <sup>a</sup>, J.A. Tsai <sup>a</sup>, D. Mariosa <sup>b</sup>, P.A. Lind <sup>c,d</sup>,  
M. Lindblad <sup>a</sup>, W. Ye <sup>b</sup>, L. Lundell <sup>a</sup>, C. Schuhmacher <sup>e</sup>, M. Mauer <sup>f</sup>,  
B.H. Burmeister <sup>g</sup>, J.M. Thomas <sup>g</sup>, M. Stahl <sup>h</sup>, M. Nilsson <sup>a</sup>

# ✓ Is Preoperative Chemoradiation detrimental for surgery?

neoadjuvant CT plus S vs S alone

**no evidence** to suggest that neoadjuvant CT increased the risk of any type of postoperative complication.

neoadjuvant CRT plus S vs S alone

**no evidence** to suggest that neoadjuvant CRT increased the risk of any type of postoperative complication.

**SCC higher risk** of total postoperative mortality and treatment-related mortality compared with surgery alone. **No difference with ADK**

# State of art of radiation therapy in Esophageal Cancer

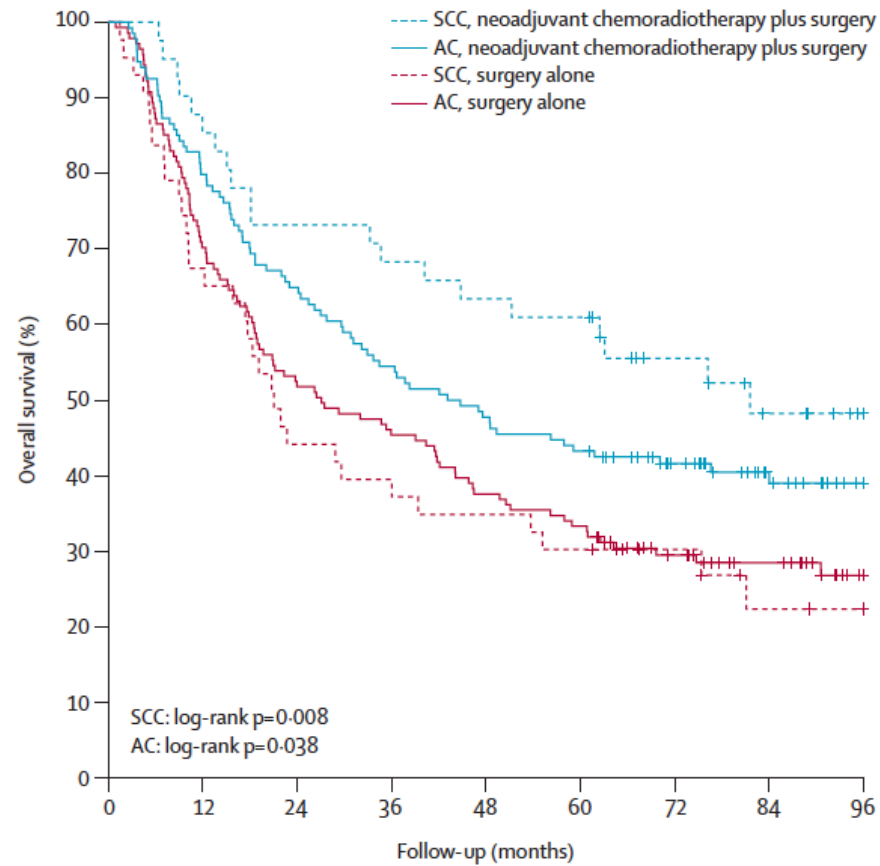
- ✓ Is Preoperative Chemorad. **detrimental for surgery?** **NO**
- ✓ Does **histology** affect radiotherapy response?



# ✓ Does histology affect radiotherapy response?

CROSS - 2015 (Trimodality)

Phase III Trial Chir ± Preop RTCT



Shapiro *et al*; Lancet Oncol 2015

# ✓ Does histology affect radiotherapy response?

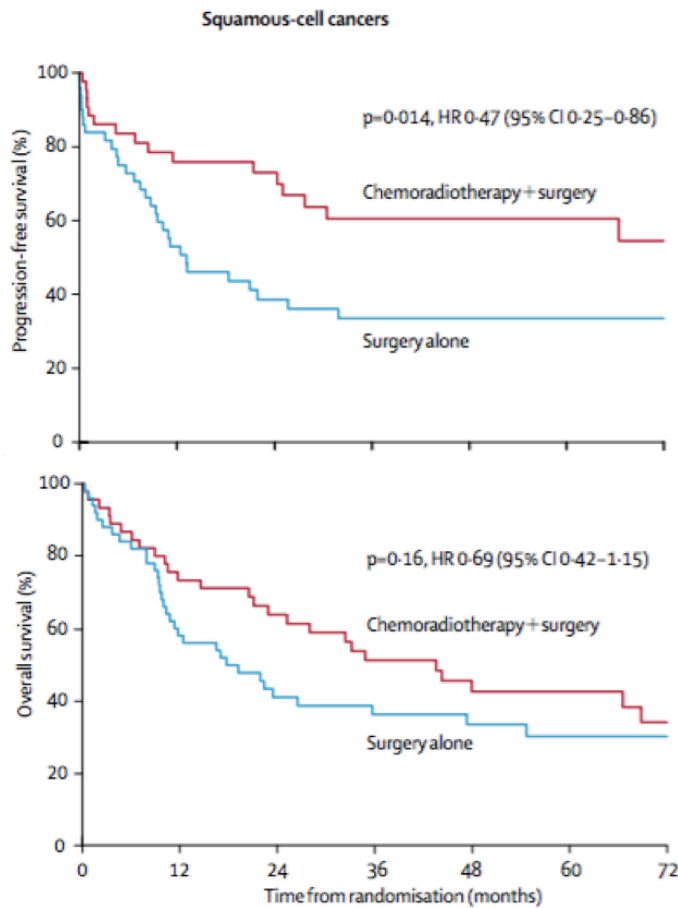
Burmeister et al – 2005 (Trimodality)

Burmeister et al – 2005 (Trimodality)

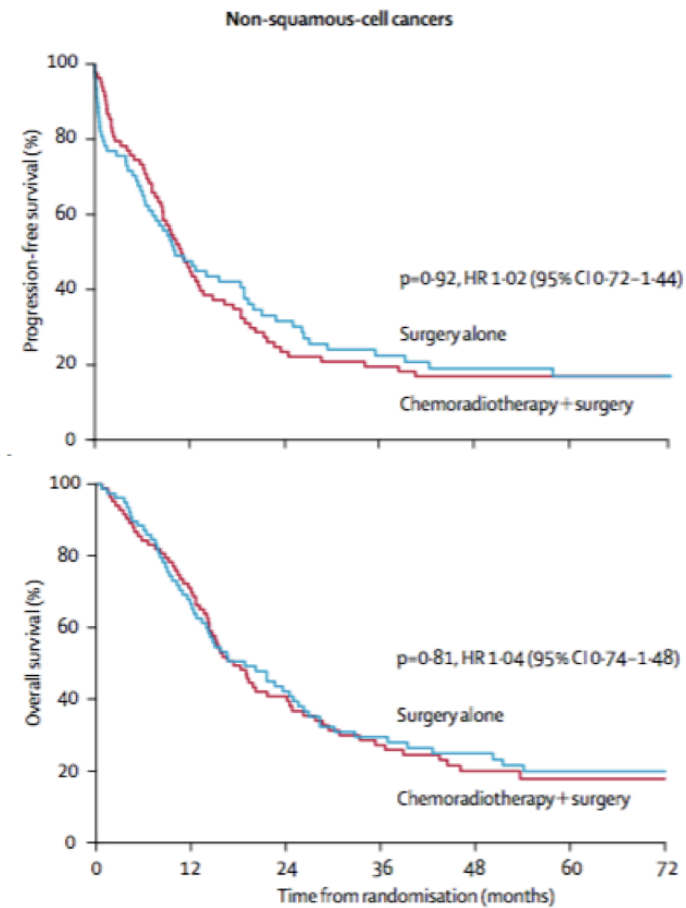
Phase III Trial Chir ± Preop RTCT

256 pts

Adeno 62%



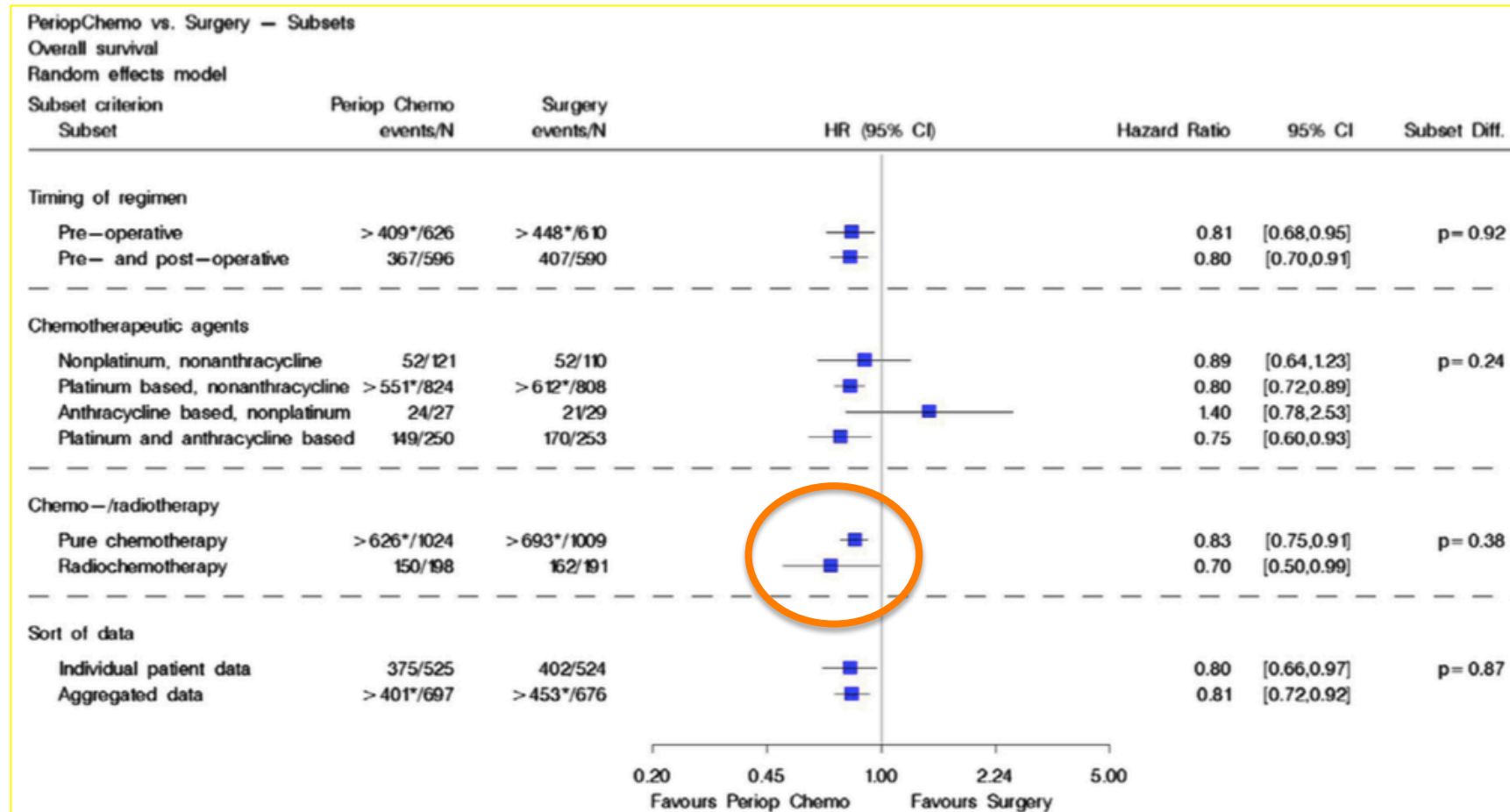
**B**



Burmeister *et al*; Lancet Oncol 2005

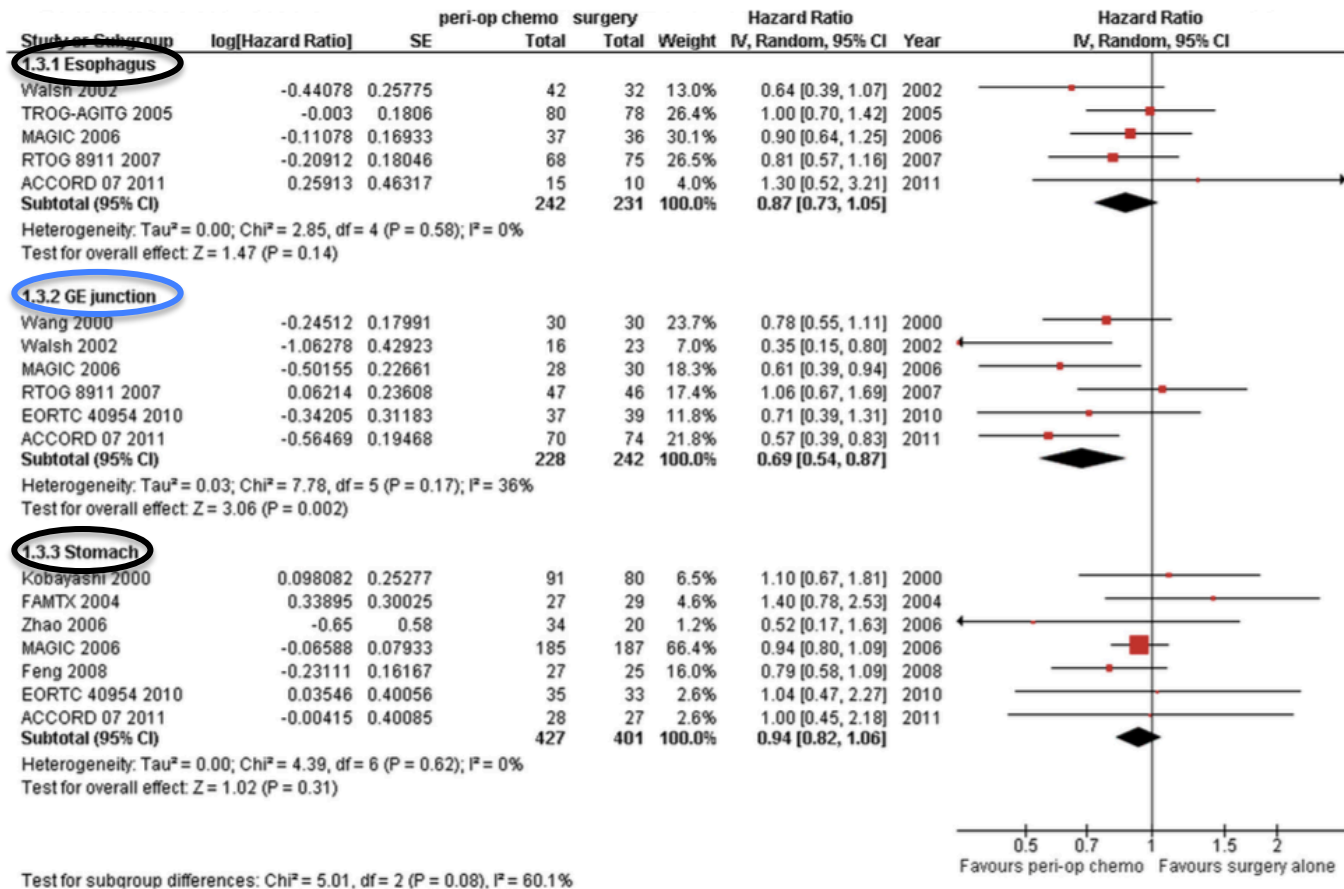
# ✓ Does histology affect radiotherapy response?

Systematic review with meta-analysis combining individual patient and aggregate data



# ✓ Does histology affect radiotherapy response?

Systematic review with meta-analysis combining individual patient and aggregate data



# State of art of radiation therapy in Esophageal Cancer

- ✓ Is Preoperative Chemorad. **detrimental for surgery?** **NO**
- ✓ Does **histology** affect radiotherapy response? **YES/NO**
- ✓ Does dose **impact long term outcome?**

## ✓ Does dose impact long term outcome?

- RTOG 85-01 - 1999
- RTOG 85-01 – 1999
- RTOG 85-01 – 1999
- RTOG 85-01 – 1999

Phase III Trial **RT (64Gy)** vs RTCT (50Gy)  
T1-3 N0-1M0 Low third: n.a.  
129 pts Adeno 21.4%

**SVV Benefit** (RTCT vs RT Alone)

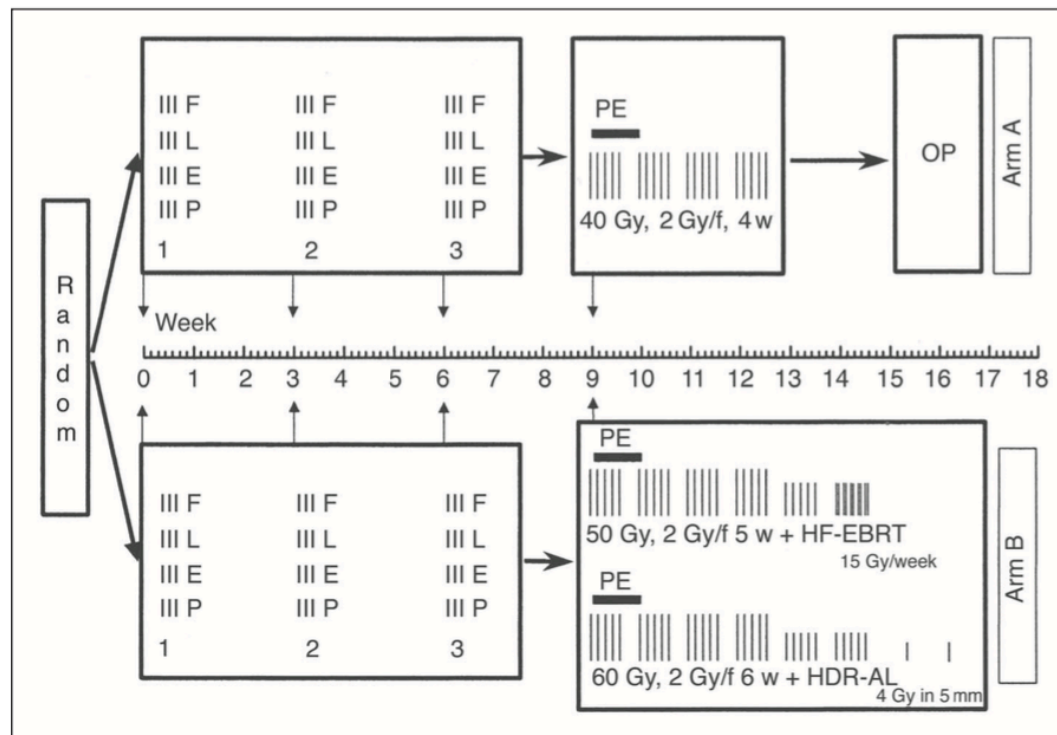
- INT 0123 - 2002
- INT 0123 – 2002
- INT 0123 – 2002
- INT 0123 – 2002

Phase III Trial RTCT (50Gy) vs **RTCT (65Gy)**  
T1-T4 N0-1M0 Low third: n.a.  
218 pts Hystotype: n.a.

**NO SVV Benefit**

# ✓ Chemoradiation → or Selective Esophagectomy

- ESSEN Trial – 2005 T3-4, N0-1, M0 Low third: 0%
- ESSEN Trial – 2005 172 pts Adeno 0%



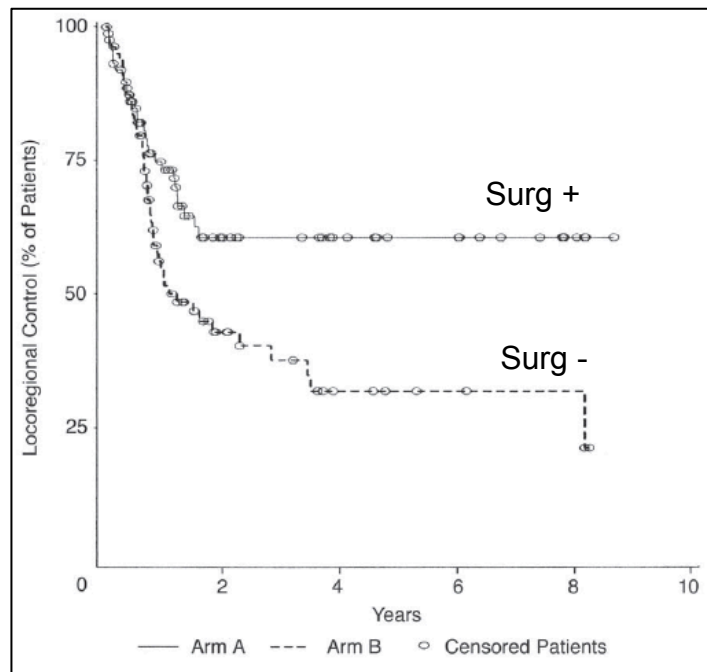
# ✓ Chemoradiation → or Selective Esophagectomy

- ESSEN Trial – 2005
- ESSEN Trial – 2005

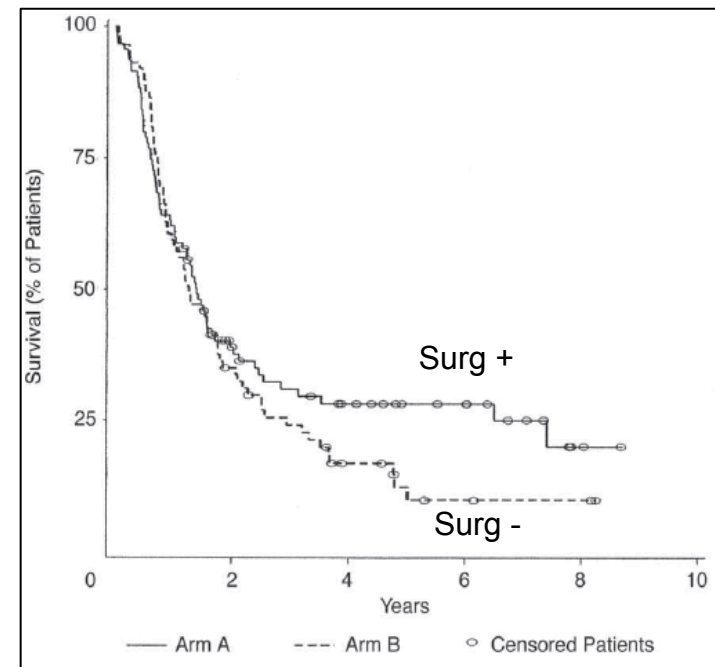
T3-4, N0-1, M0  
172 pts

Low third: 0%  
Adeno 0%

## Local control



## Survival





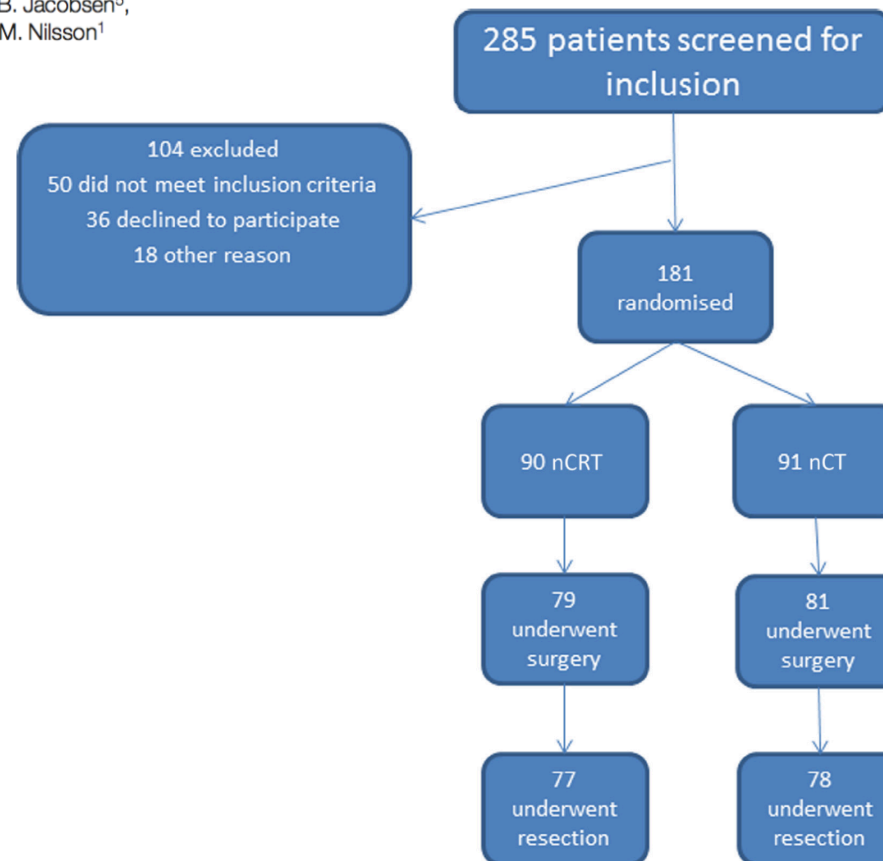
# ✓ Does dose impact long term outcome?

	N° Pts	Accrual	Rate adeno	Tumor site	Dose/Fx (Gy)	Concurrent CT	% pCR (N° pts RTCT arm)
Walsh [43]	113	1990-1995	100%	Middle+ Lower Esophagus + Cardias	40/2.7	CDDP + 5Fu	25% (13/52)
Urba [46]	100	1989-1994	75%	Proximal+ Middle + Lower Esophagus + GEJ	45/1.5 (twice daily)	CDDP+ 5Fu+ Vimblastine	28% (14/50)
Burmeister [48]	256	1994-2000	62%	Proximal +Middle+ Lower Esophagus	35/2.4	CDDP + 5Fu	16% (16/103)
Tepper [49]	56	1997-2000	75%	Toracic Esophagus (below 20 cm)+ GEJ <2cm distal spread in cardia	50.4/1.8	CDDP + 5Fu	40% (10/25)
Van Hagen [50]	366	2004-2008	75%	Proximal +Middle+ Lower Esophagus + GEJ	41.2/1.8	Carboplatin + Paclitaxel	29% (47/161)

# ✓ Does dose impact long term outcome?

## A randomized clinical trial of neoadjuvant chemotherapy versus neoadjuvant chemoradiotherapy for cancer of the oesophagus or gastro-oesophageal junction

F. Kleveland<sup>1\*</sup>, G. Alexandersson von Döbeln<sup>2</sup>, N. Wang<sup>3</sup>, G. Johnsen<sup>4</sup>, A.-B. Jacobsen<sup>5</sup>, S. Friesland<sup>2</sup>, I. Hatlevoll<sup>6</sup>, N. I. Glenjen<sup>7</sup>, P. Lind<sup>8</sup>, J. A. Tsai<sup>1</sup>, L. Lundell<sup>1</sup> & M. Nilsson<sup>1</sup>



# ✓ Does dose impact long term outcome?

## pCR & RO

(%)	nCT	nCRT	P-value
Tumour regression grade <sup>a,b</sup>			<0.001
1: Histological complete response	7 (9)	22 (28)	0.002
2: 1%–10% tumour cells	5 (6)	19 (24)	
3: >10%–50% tumour cells	5 (6)	14 (18)	
4: >50% tumour cells	61 (78)	23 (29)	
Surgical resection <sup>c</sup>	78 (86)	78 (87)	0.85
R0 resection <sup>b,d</sup>	58 (74)	68 (87)	0.042

## Toxicity

(%)	nCT	nCRT	P-value
40 Gy neoadjuvant radiotherapy	–	74 (85)	–
3 cycles of neoadjuvant chemotherapy	78 (86)	67 (74)	0.06
Severe adverse events			
Infection	5	5	
Nausea and vomiting	2	6	
Nutritional deficiency	13	13	
Gastrointestinal symptoms	1	5	
Cardiovascular event	7	14	
Renal failure	7	4	
Infection	5	5	
Neutropaenia/thrombocytopenia	2	5	
Other	3	3	
Death <sup>a</sup>	1	2	
Total number of SAE	41	57	0.14
Postoperative outcome			
30-day mortality	0 (0)	1 (1)	1.0
90-day mortality	2 (3)	6 (8)	0.28
Surgical complication <sup>b</sup>	27 (35)	29 (38)	0.69

# State of art of radiation therapy in Esophageal Cancer

- ✓ Is Preoperative Chemorad. **detrimental for surgery?** **NO**
- ✓ Does **histology** affect radiotherapy response? **YES/NO**
- ✓ Does dose **impact long term outcome?** **NO but**
- ✓ Is there any role for **Brachytherapy in palliation?**

# ✓ Is there any role for Brachytherapy in palliation?

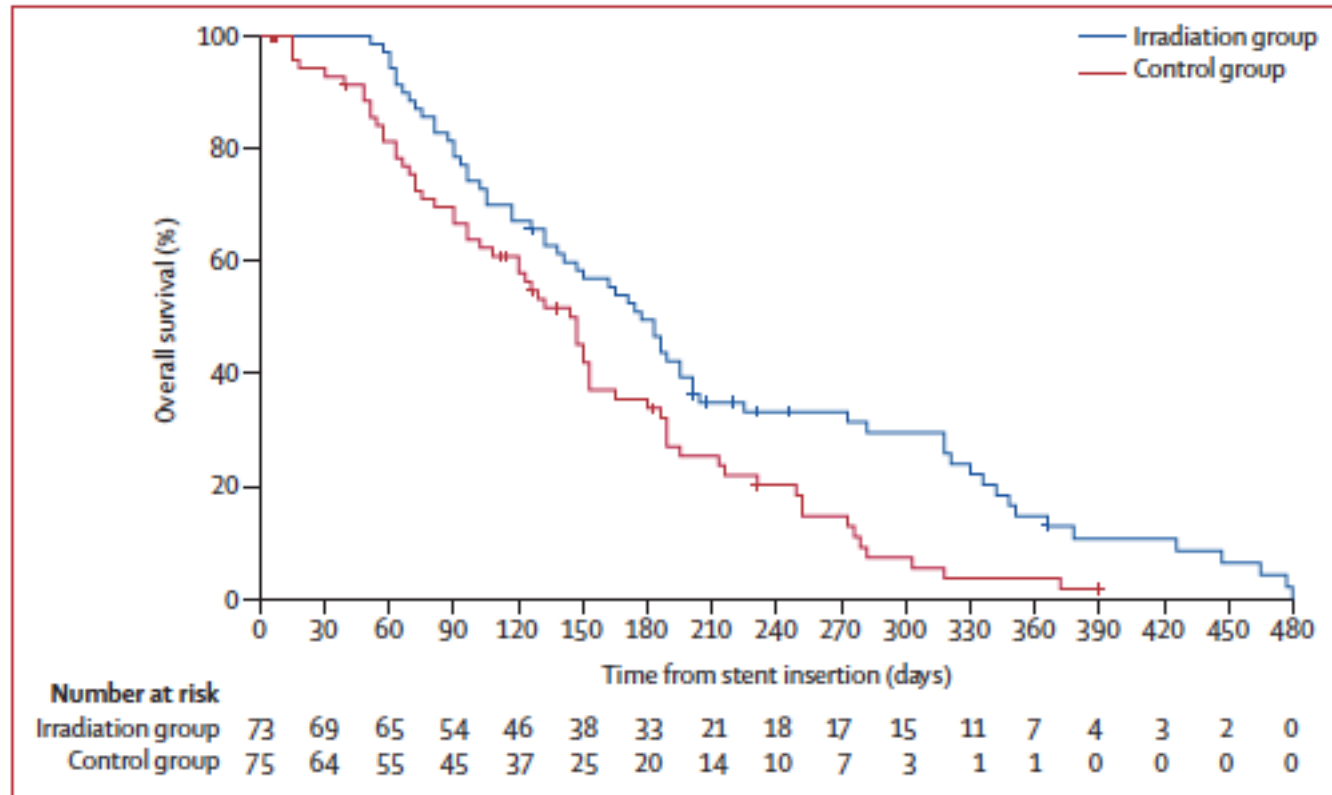


## Conventional stents versus stents loaded with $^{125}$ iodine seeds for the treatment of unresectable oesophageal cancer: a multicentre, randomised phase 3 trial

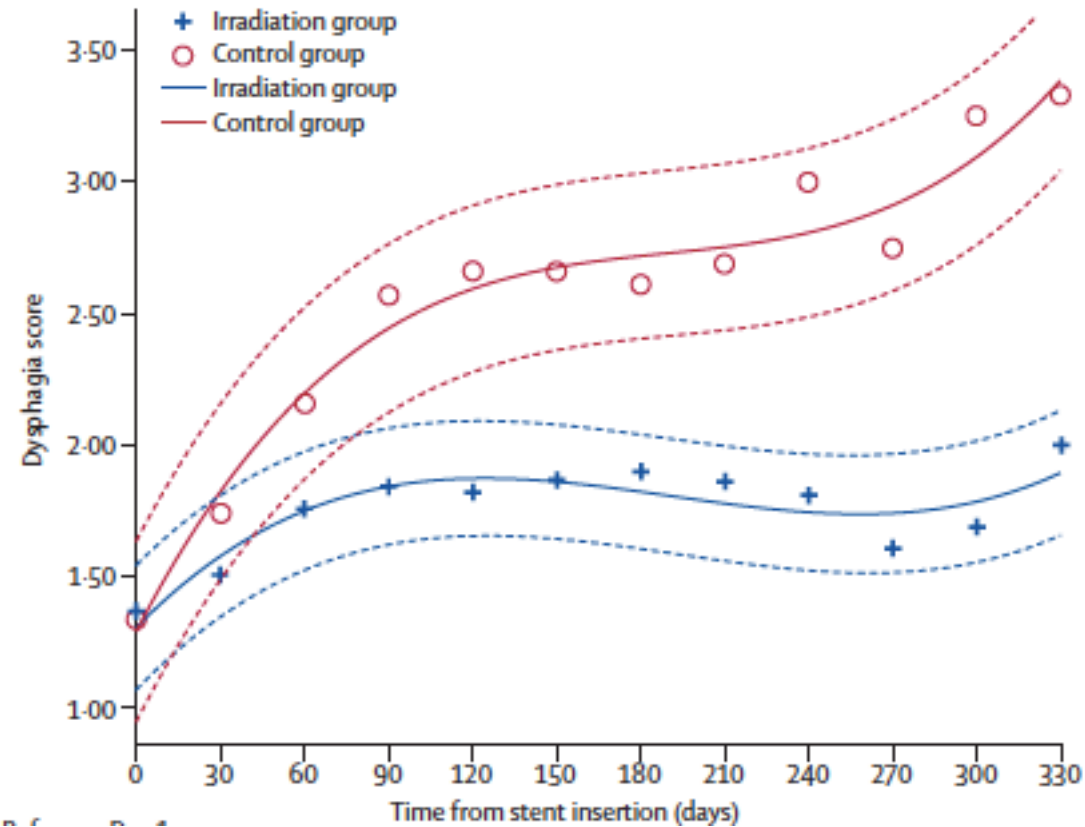
*Hai-Dong Zhu\*, Jin-He Guo\*, Ai-Wu Mao\*, Wei-Fu Lv\*, Jian-Song Ji\*, Wen-Hui Wang, Bin Lv, Rui-Min Yang, Wei Wu, Cai-Fang Ni, Jie Min, Guang-Yu Zhu, Li Chen, Mei-Ling Zhu, Zhen-Yu Dai, Peng-Fei Liu, Jian-Ping Gu, Wei-Xin Ren, Rui-Hua Shi, Gao-Feng Xu, Shi-Cheng He, Gang Deng, Gao-Jun Teng*

# ✓ Is there any role for Brachytherapy in palliation?

## Survival



# ✓ Is there any role for Brachytherapy in palliation?



# State of art of radiation therapy in Esophageal Cancer

- ✓ Is Preoperative Chemorad. **detrimental for surgery?** **NO**
- ✓ Does **histology** affect radiotherapy response? **YES/NO**
- ✓ Does dose **impact long term outcome?** **NO** but
- ✓ Is there any role for **Brachytherapy in palliation?** **YES**



**State of art of  
Chemotherapy  
in a  
combined treatment  
perspective**

**Upper GI:  
technical and  
clinical  
challenges for  
radiation  
oncologists**



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Alain Hendlisz  
Institut Jules Bordet  
28<sup>th</sup> may 2016



**ESTRO**  
*School*

# Esophageal & Eso-Gastric Cancer

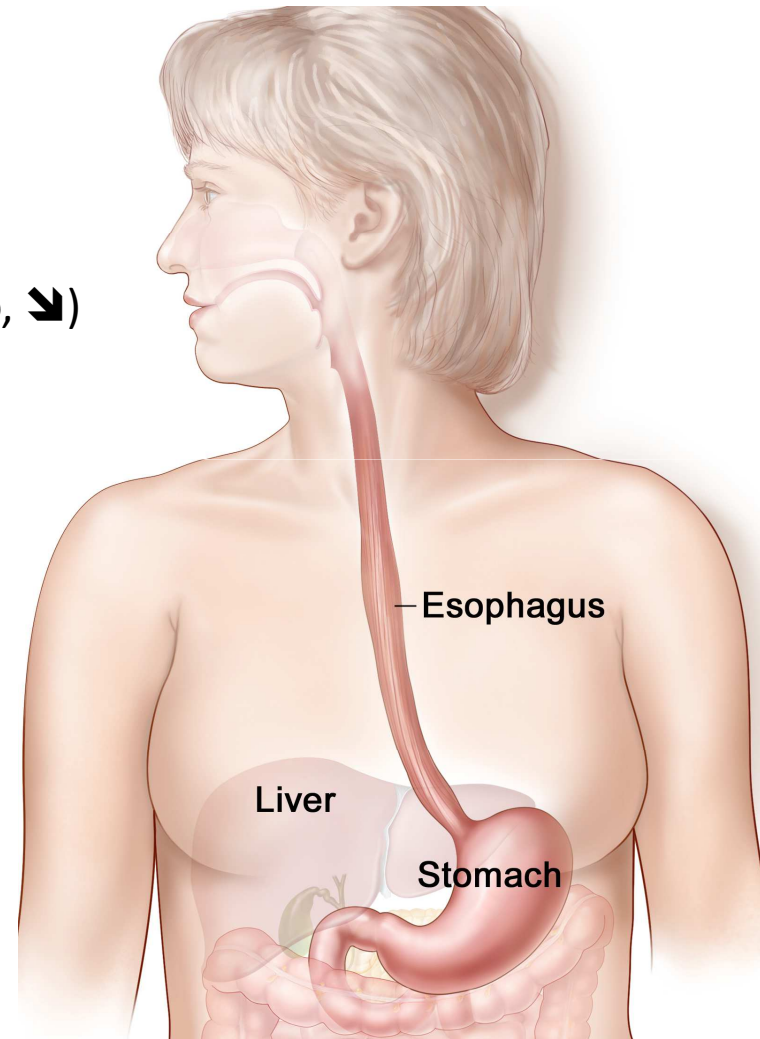
**43700/year** Western Europe

**2** histologies:

**a**denocarcinoma (< GORD & obesity, ↗)

**s**quamous cell carcinoma (< alcohol & tobacco, ↘)

**40%** metastatic at diagnosis



# Esophageal & Eso-Gastric Cancer

**43700/year** Western Europe

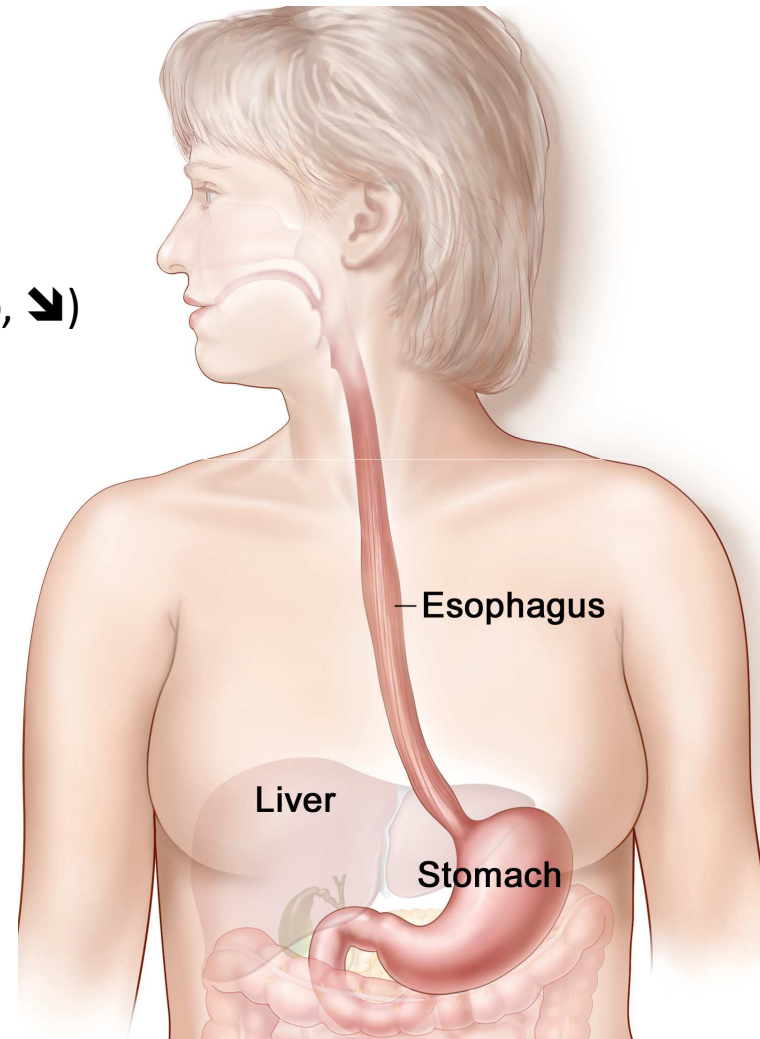
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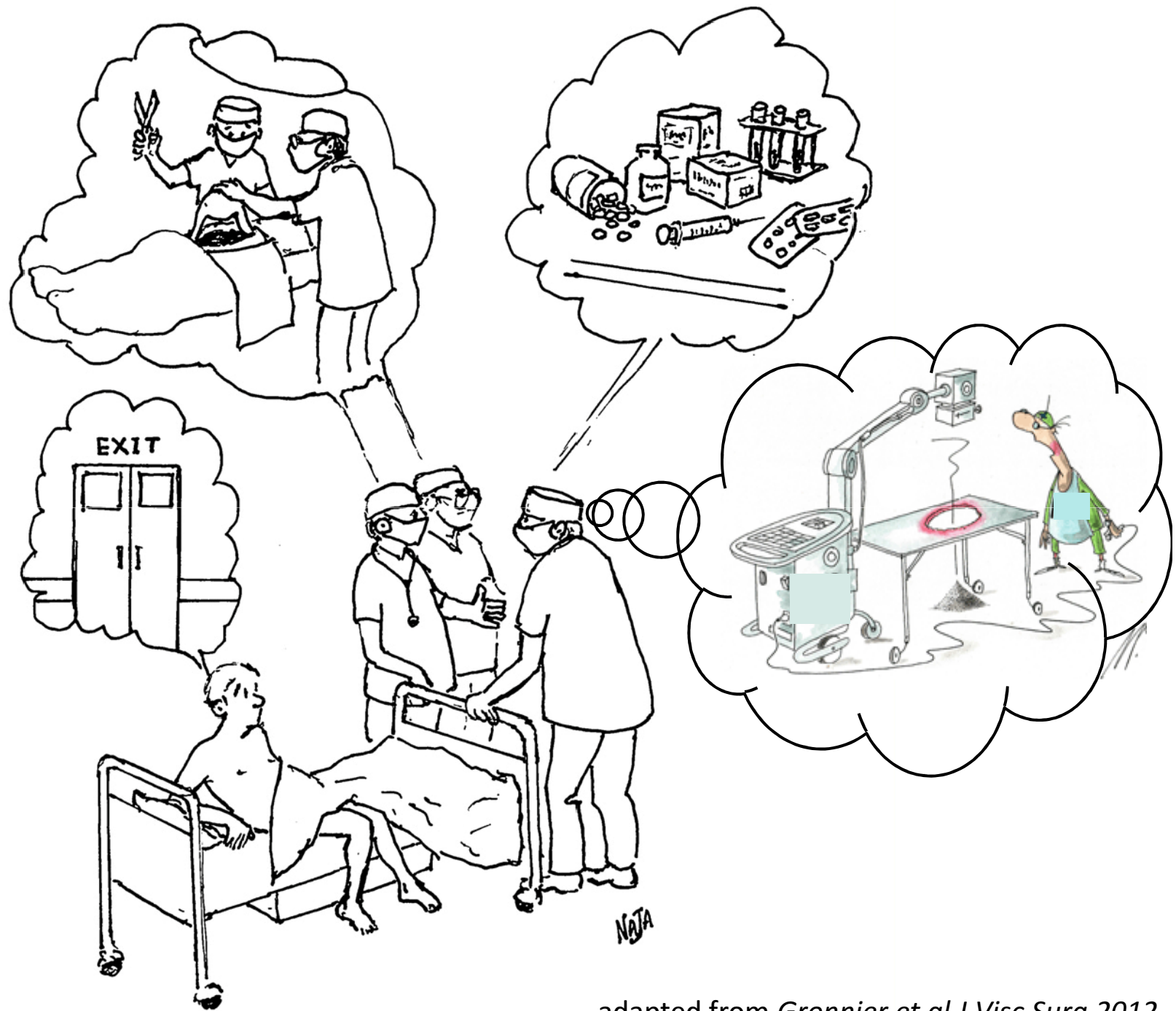
**a**denocarcinoma (< GORD & obesity, ↗)

**s**quamous cell carcinoma (< alcohol & tobacco, ↘)

**40%** metastatic at diagnosis

Stage	Tumor	Nodes	Metastasis	5-yr OS %
0	Tis	N0	M0	>95
I	T1	N0	M0	50–80
IIA	T2-3	N0	M0	30–40
IIB	T1-2	N1	M0	10–30
III	T3 T4	N1 Any N	M0 M0	10–15
IVA	Any T	Any N	M1a	<5
IVB	Any T	Any N	M1b	<1





adapted from *Gronnier et al J Visc Surg* 2012

## POST-OPERATIVE treatments fail to improve OS

	N	population	experimental	mOS (months)	3-year Survival (%)	
Zieren, 1995	68	100% SCC	Surg +/- RT	NR	20 vs 22	○
Fok, 1993	130	80% SCC 20% ADC	Surg +/- RT	15.2 vs 8.7	NR	○
Teniere, 1991	221	100% SCC	Surg +/- RT	18 vs 18	17.6 vs 18.6 (5yrs)	○
Xiao, 2003	495	100% SCC	Surg +/- RT	NR	31.7 vs 41.3 (5yrs)	○
Ando, 2003	242	100% SCC	Surg +/- CT	NR	52 vs 61 (5yrs)	○
MacDonald, 2005	556	80% adc gastr 20% adc oeso	Surg +/- RTCT	27 vs 36	41 vs 50	✓

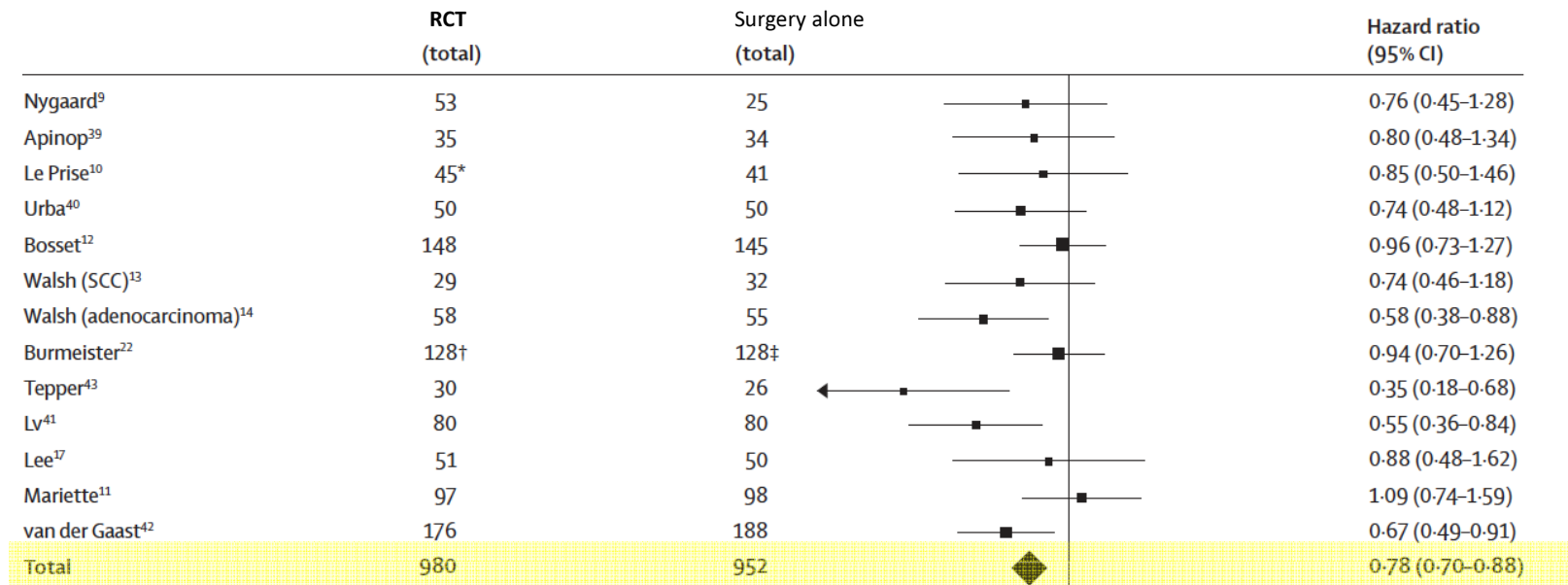
## PRE-OPERATIVE treatments improve OS

	N	population	Type	mOS (months)	3-year Survival (%)	
Kelsen, 1998	440	46% SCC 54% ADC	Surg +/- CT	14.9 vs 16.1	26 vs 23	○
MRC, 2002 Allumet, 2009	802	31% SCC 69% adc	Surg +/- CT	13.3 vs 16.8	17 vs 23 (5 yrs)	✓
Cunningham, 2006	503	74%adc gastr 26%adc oeso	Surg +/- CT périopératoire	NR	23 vs 36 (5 yrs)	✓

## PRE-OPERATIVE treatments improve OS

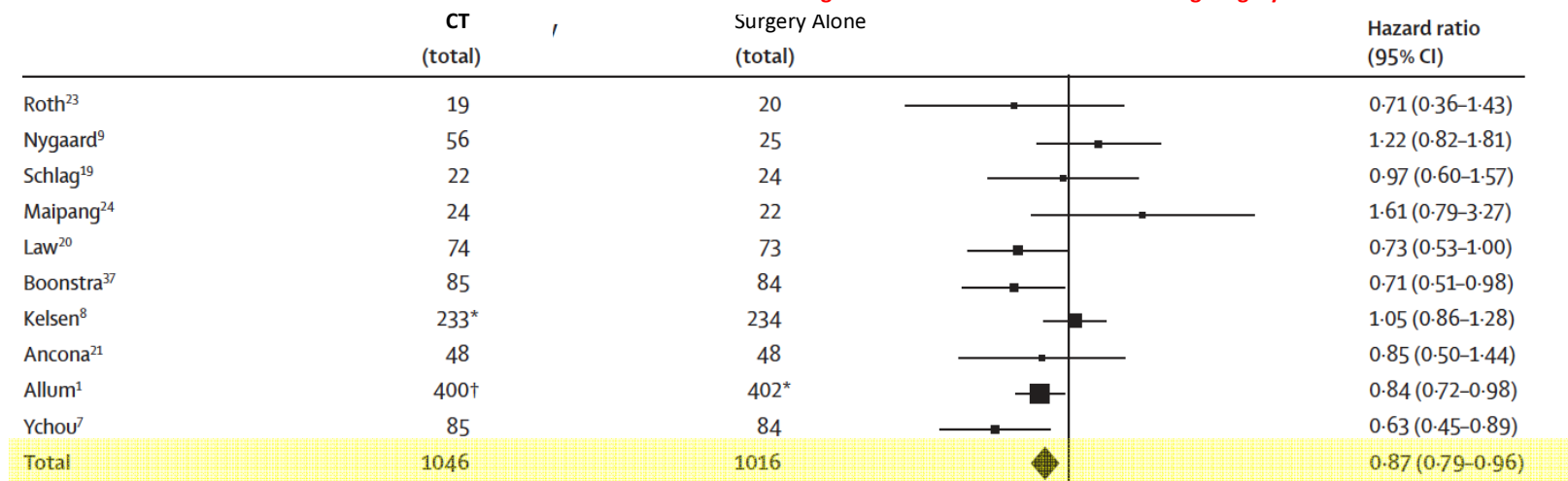
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Cunningham, 2006	503	74%adc gastr 26%adc oeso	Surg +/- CT périopératoire	NR	23 vs 36 (5 yrs)	✓
Le Prise, 1994	86	100% SCC	Surg +/- RTCT	10.0 vs 10.0	47 vs 47 (1 yr)	○
Walsh, 1996	103	100% ADC	Surg +/- RTCT	11.0 vs 16.0	6 vs 32	✓
Bosset, 1997	282	100% SCC	Surg +/- RTCT	18.6 vs 18.6	34 vs 36	○
Urba, 2001	100	25% SCC 75% ADC	Surg +/- RTCT	17.6 vs 16.9	16 vs 30	○
Burmeister, 2005	256	37% SCC 63% ADC	Surg +/- RTCT	22.2 vs 19.3	NR	○
Tepper, 2008	56	25% SCC 75% ADC	Surg +/- RTCT	21.5 vs 53.8	16 vs 39 (5 yrs)	✓

# Meta-Analysis (24 studies 4188 patients)



Heterogeneity:  $\chi^2=18.04$ ,  $df=12$  ( $p=0.11$ );  $I^2=33\%$

Test for overall effect:  $Z=4.28$  ( $p<0.0001$ )

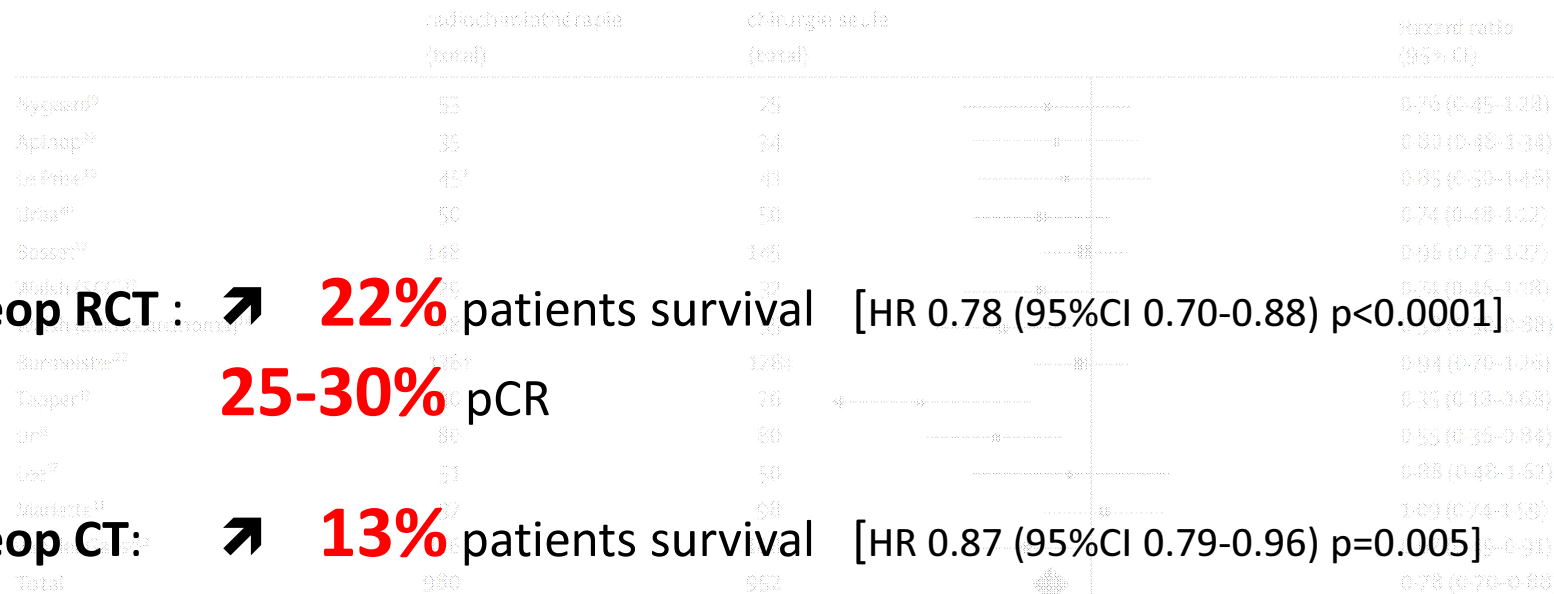


Heterogeneity:  $\chi^2=15.77$ ,  $df=9$  ( $p=0.07$ );  $I^2=43\%$

Test for overall effect:  $Z=2.83$  ( $p=0.005$ )



# Meta-Analysis (24 studies 4188 patients)



**Preop RCT :** ↗ **22%** patients survival [HR 0.78 (95%CI 0.70-0.88) p<0.0001]

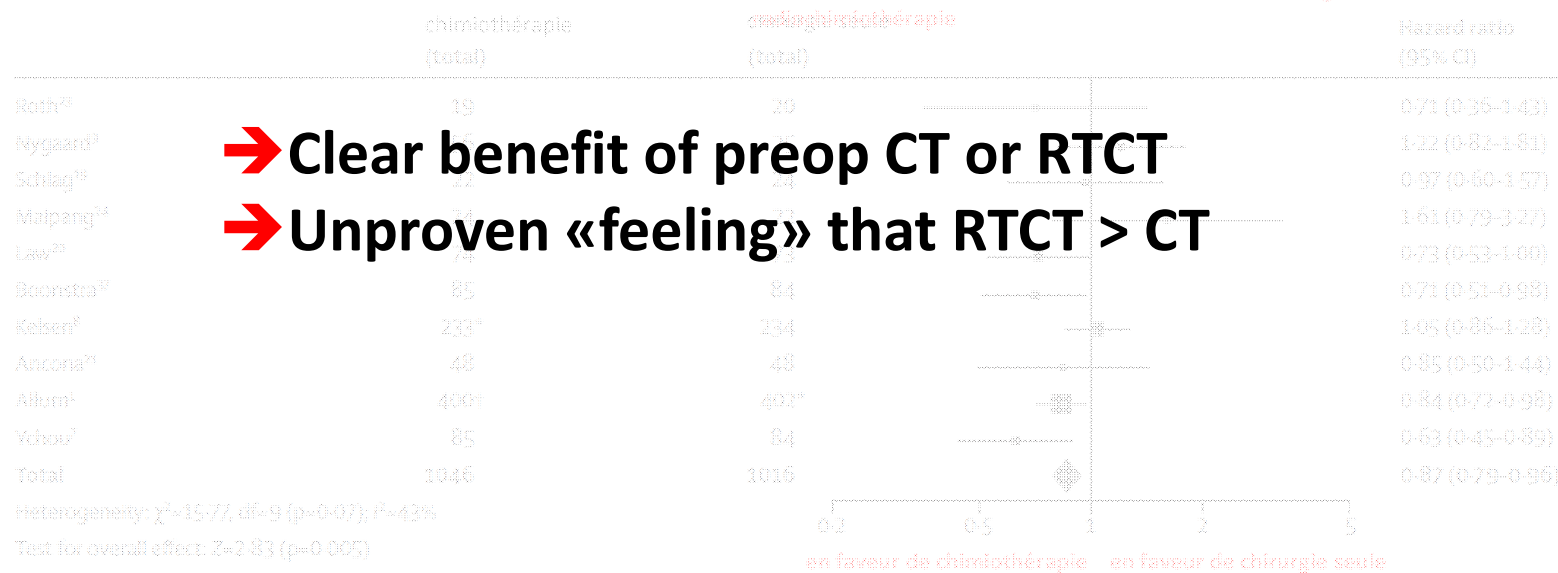
**25-30%** pCR

**Preop CT:** ↗ **13%** patients survival [HR 0.87 (95%CI 0.79-0.96) p=0.005]

**10-13.5%** pCR

Heterogeneity:  $\chi^2=18.4$

Test for overall effect:  $Z=4.26$  (p<0.0001)



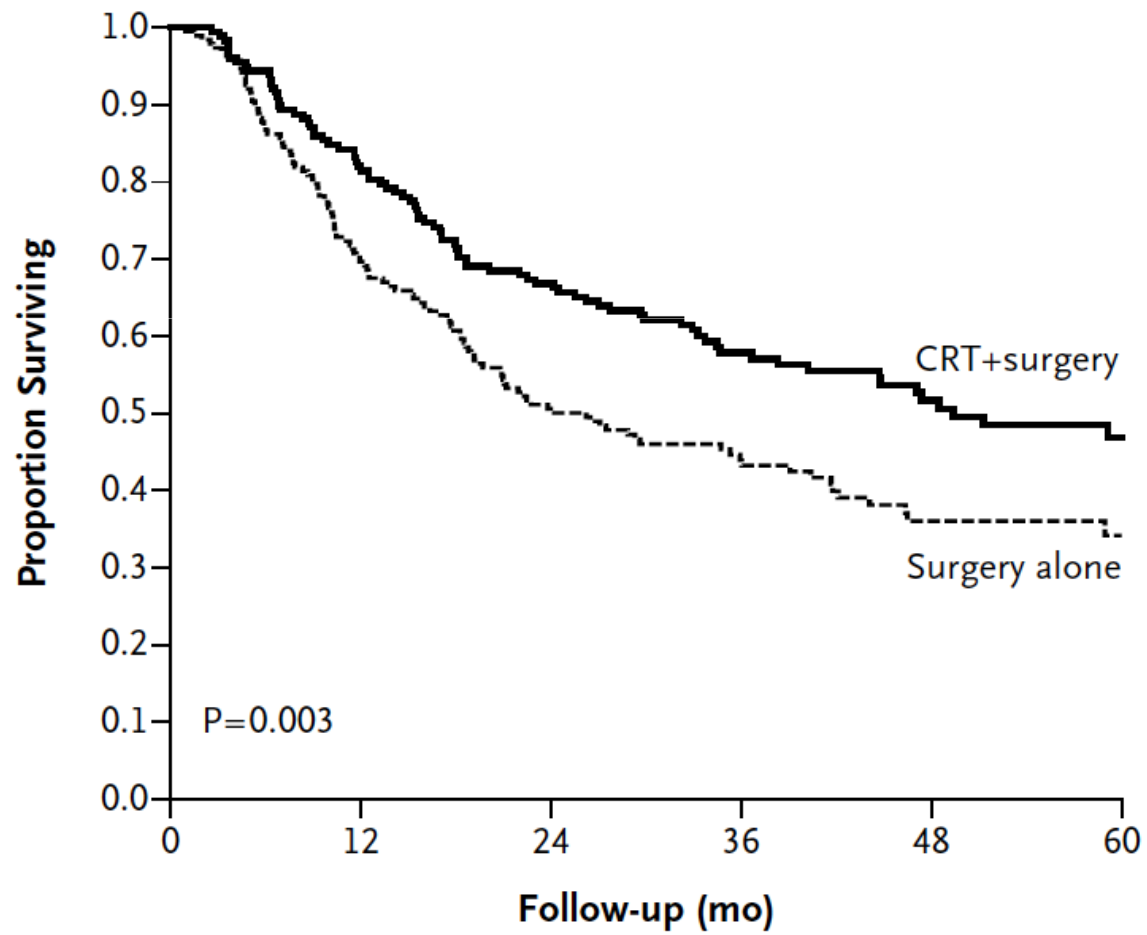
➔ **Clear benefit of preop CT or RTCT**

➔ **Unproven «feeling» that RTCT > CT**

Heterogeneity:  $\chi^2=15.77$ , df=9 (p=0.07); I<sup>2</sup>=43%

Test for overall effect:  $Z=2.83$  (p=0.005)

# CROSS trial



**368** patients

75% adenocarcinoma

25% squamous cell C.

RTCT ↗ mOS **34%**

[HR 0.657; 95% CI 0.495-0.871; P=0.003]

# Adjuvant Treatment: Esophageal cancer

## Provisional Conclusions

**S**urgery alone is not anymore the standard treatment

**P**reop RTCT ↗ mOS

**P**reop CT ↗ mOS (a little bit less?)

# Remaining Questions

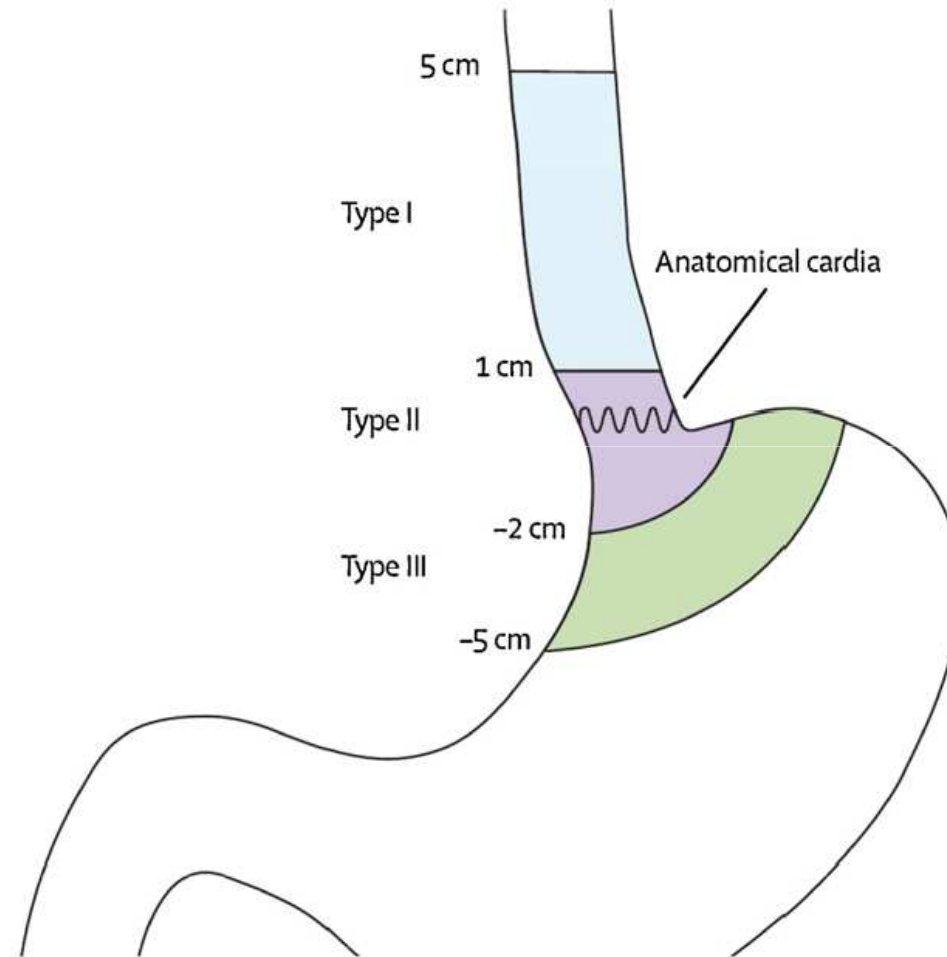
**P**reop CT or RCT?

**W**ho should not receive preop treatment?

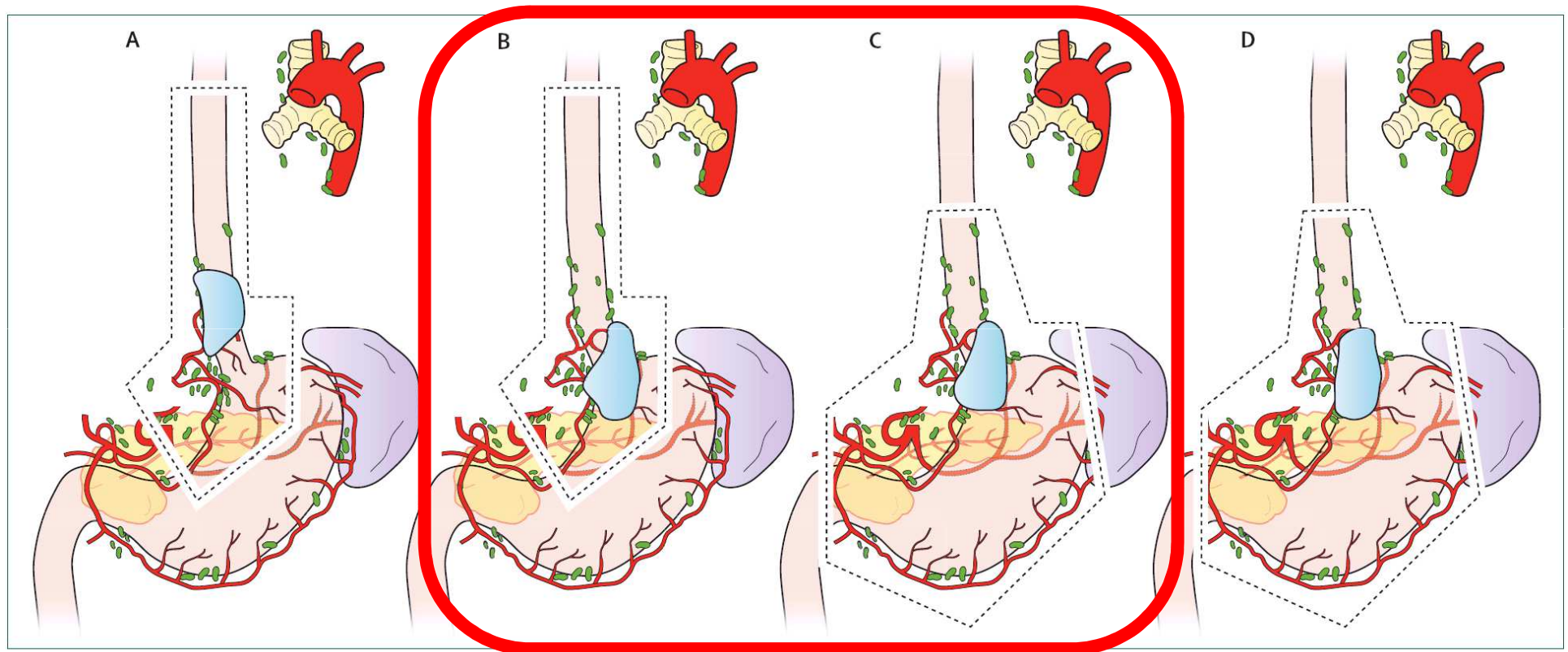
**B**ackbone CT different?

**Preop CT or RCT?**

# Eso-Gastric Junction: SIEVERT classification



# Surgery according to location



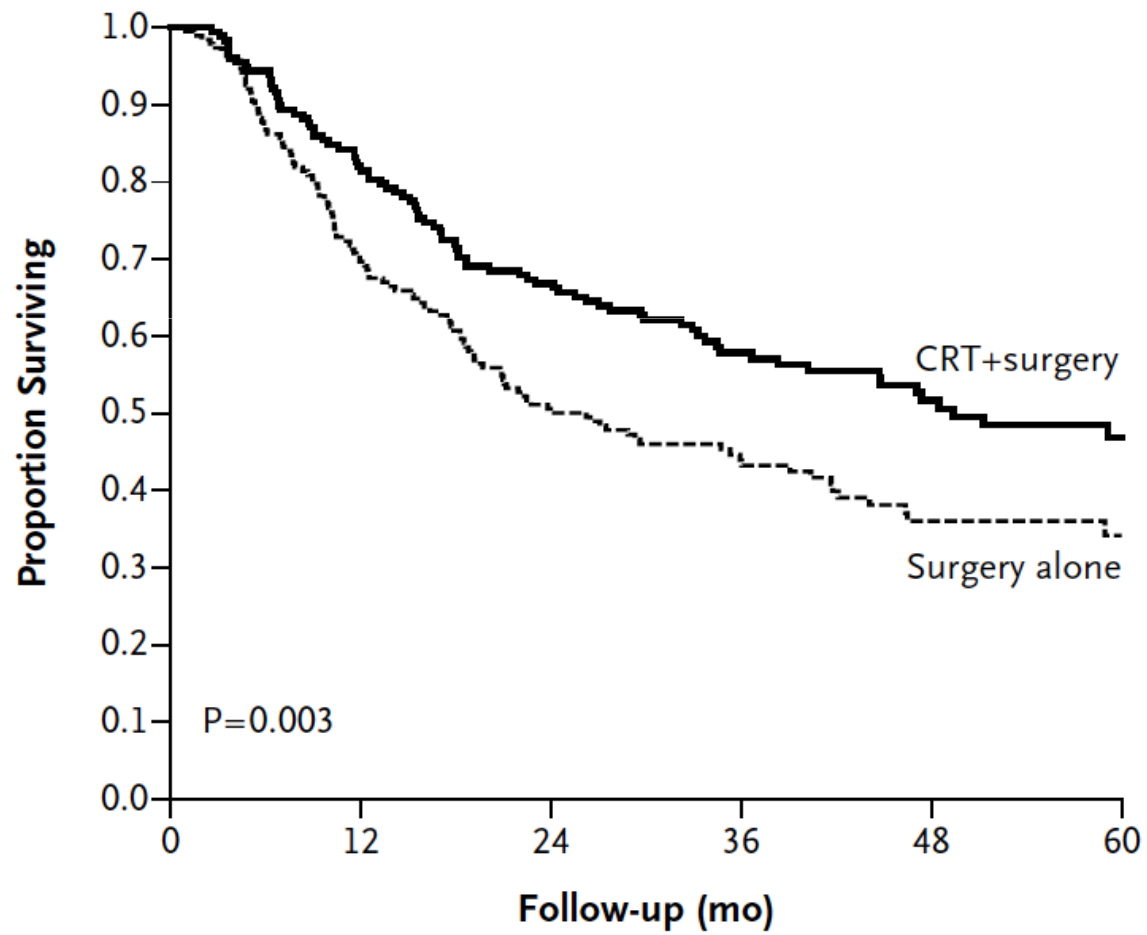
**Figure 4: Schematic representation of recommended extent of surgical resection for oesophagogastric junction adenocarcinomas**

Type I (A; subtotal oesophagectomy with superior polar gastrectomy), type II (subtotal oesophagectomy with superior polar gastrectomy [B] or total gastrectomy with inferior oesophagectomy [C]), and type III (D; total gastrectomy). Blue region is tumour site.

**Who should not receive preop treatment?**



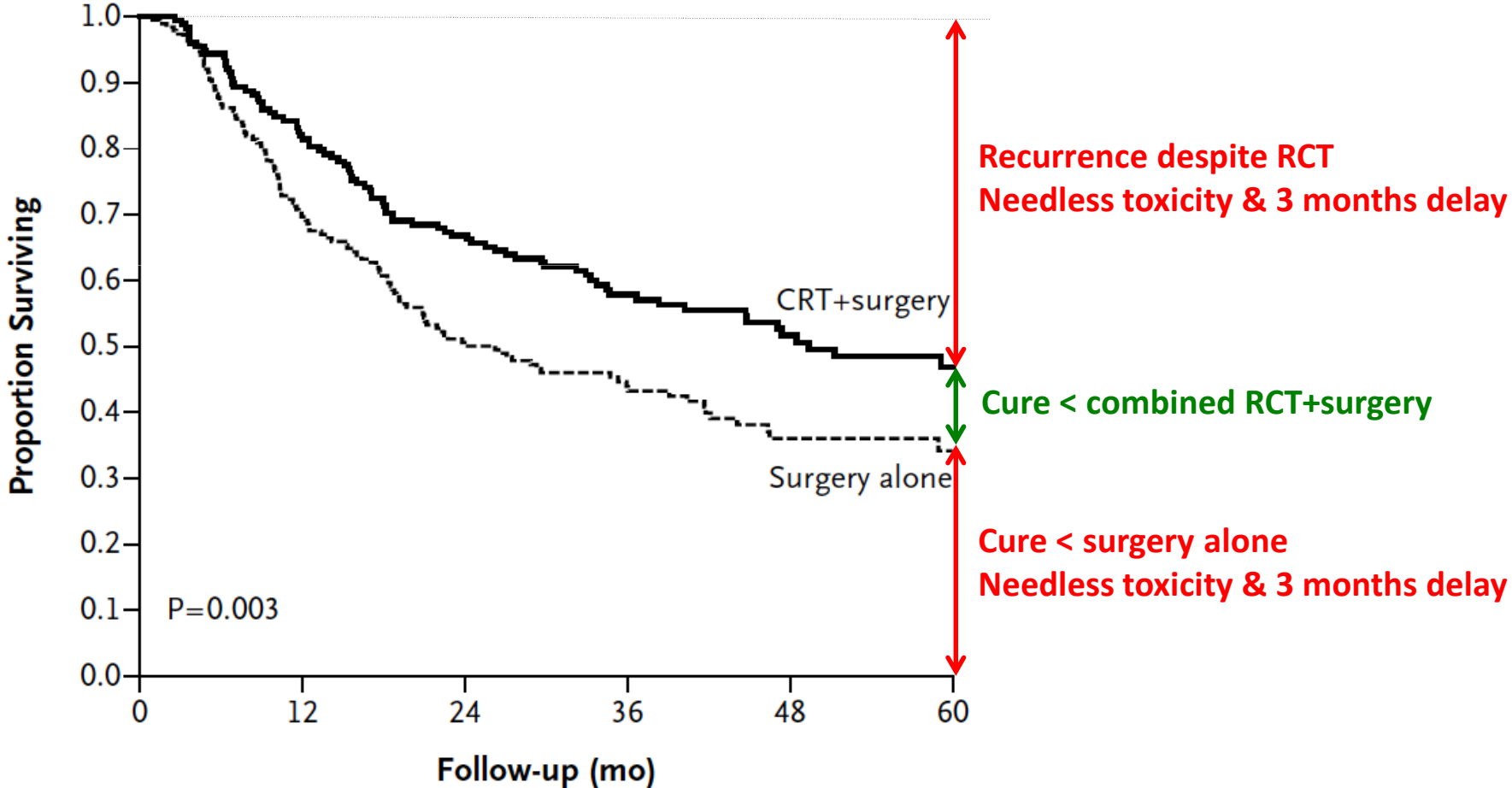
# CROSS trial



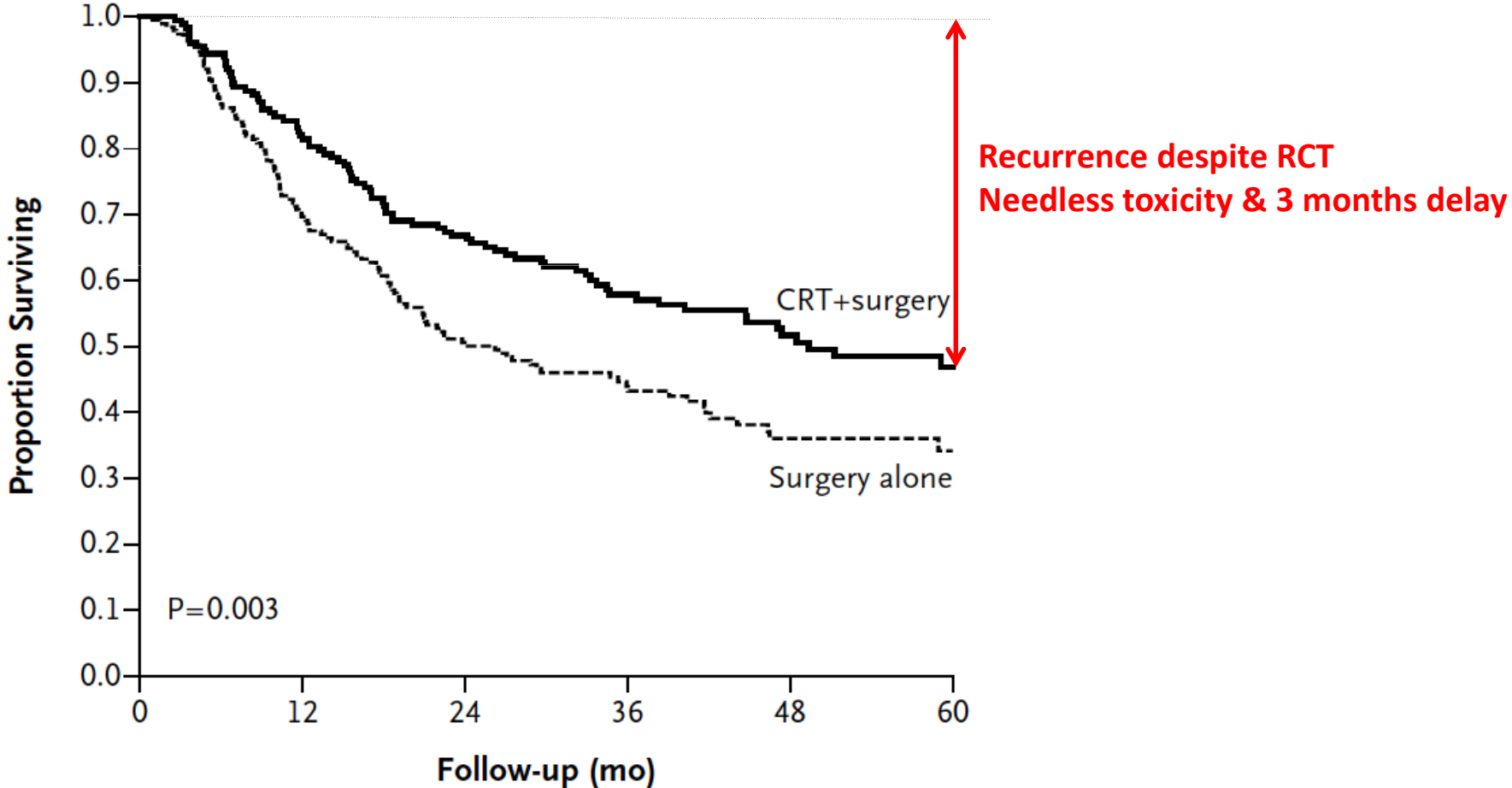
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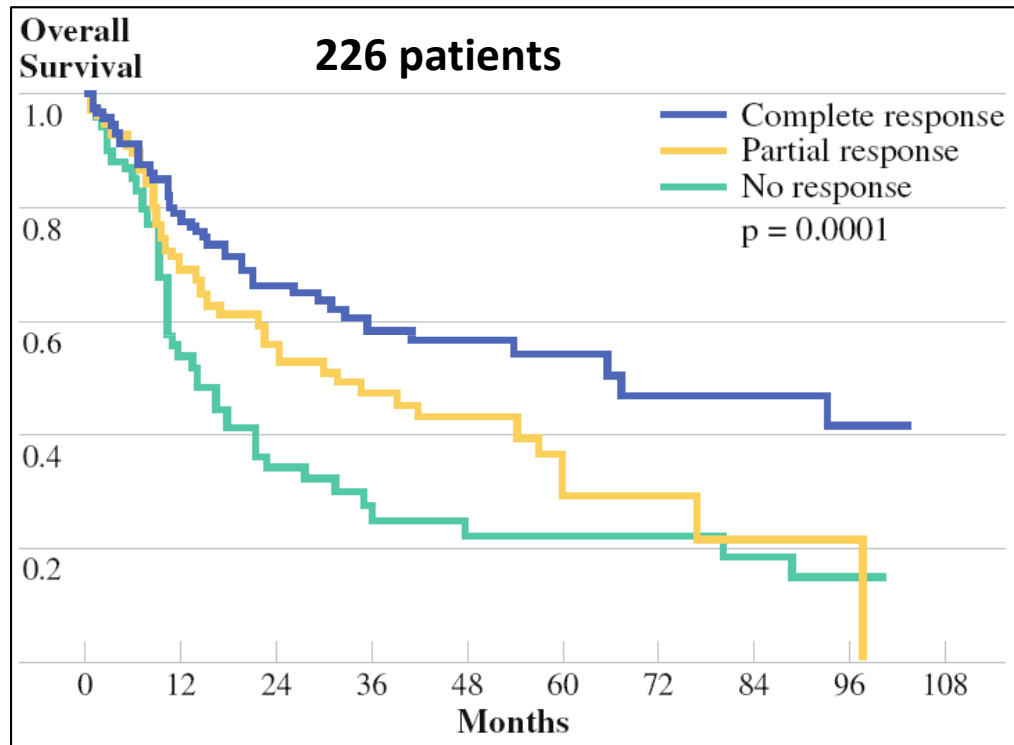
# The extent of benefit from preop treatment



# The extent of benefit from preop treatment



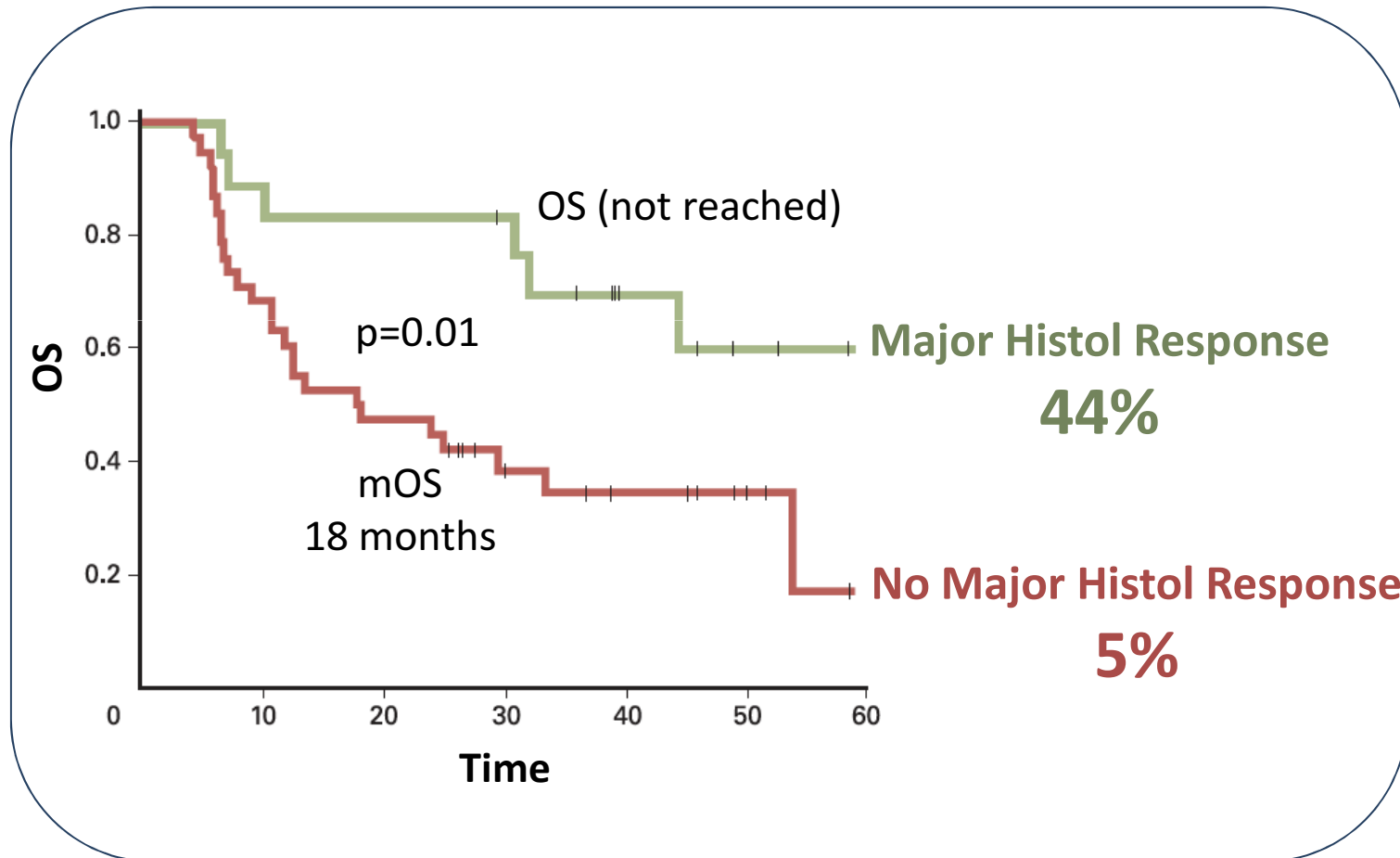
# Predictive biomarker for preop RCT: pCR



**Residual Question:** Is complete pathological response **predictive** or **prognostic**?

# Predictive biomarker for preop RCT: Metabolic Imaging

## Metabolic Response after 1 course CT

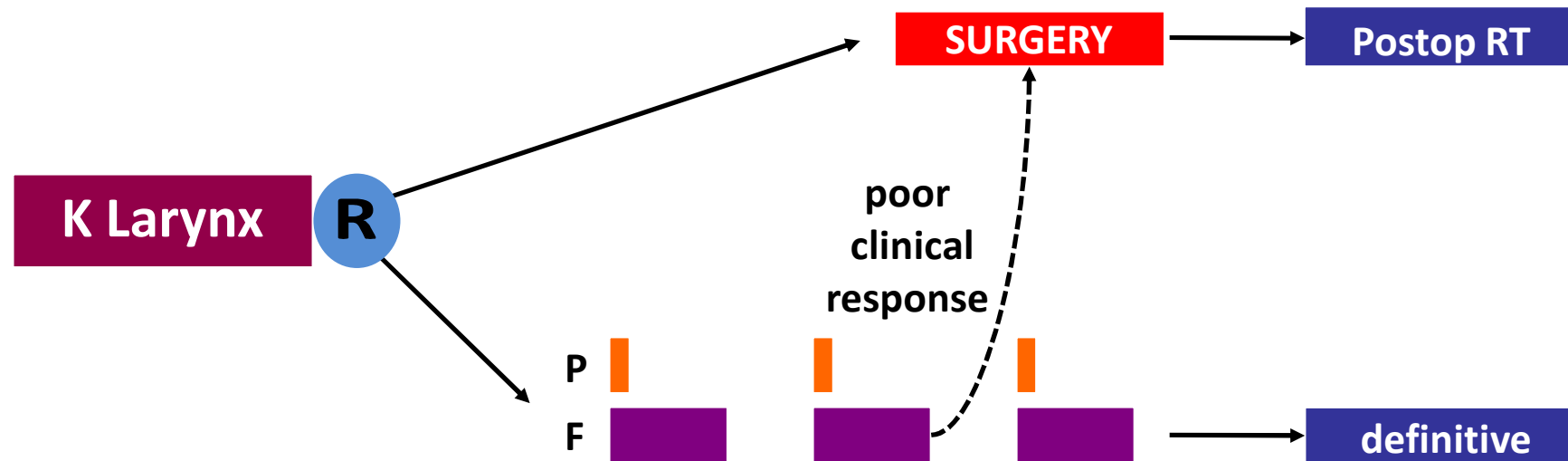


**Residual Question:** is Metabolic Response **predictive** or **pronostic**?

# Pitfalls in Metabolic Assessment of Response

- Poorly studied during RCT (pro-inflammatory effect?)
- Poorly correlated with pathological response after RCT
- Needless after RCT (treatment already given)

# Non-response to CT predict non-benefit RCT (H&N)



conservative approach (definitive RCT) less mutilating

# MR CT biomarker for RTCT benefit?

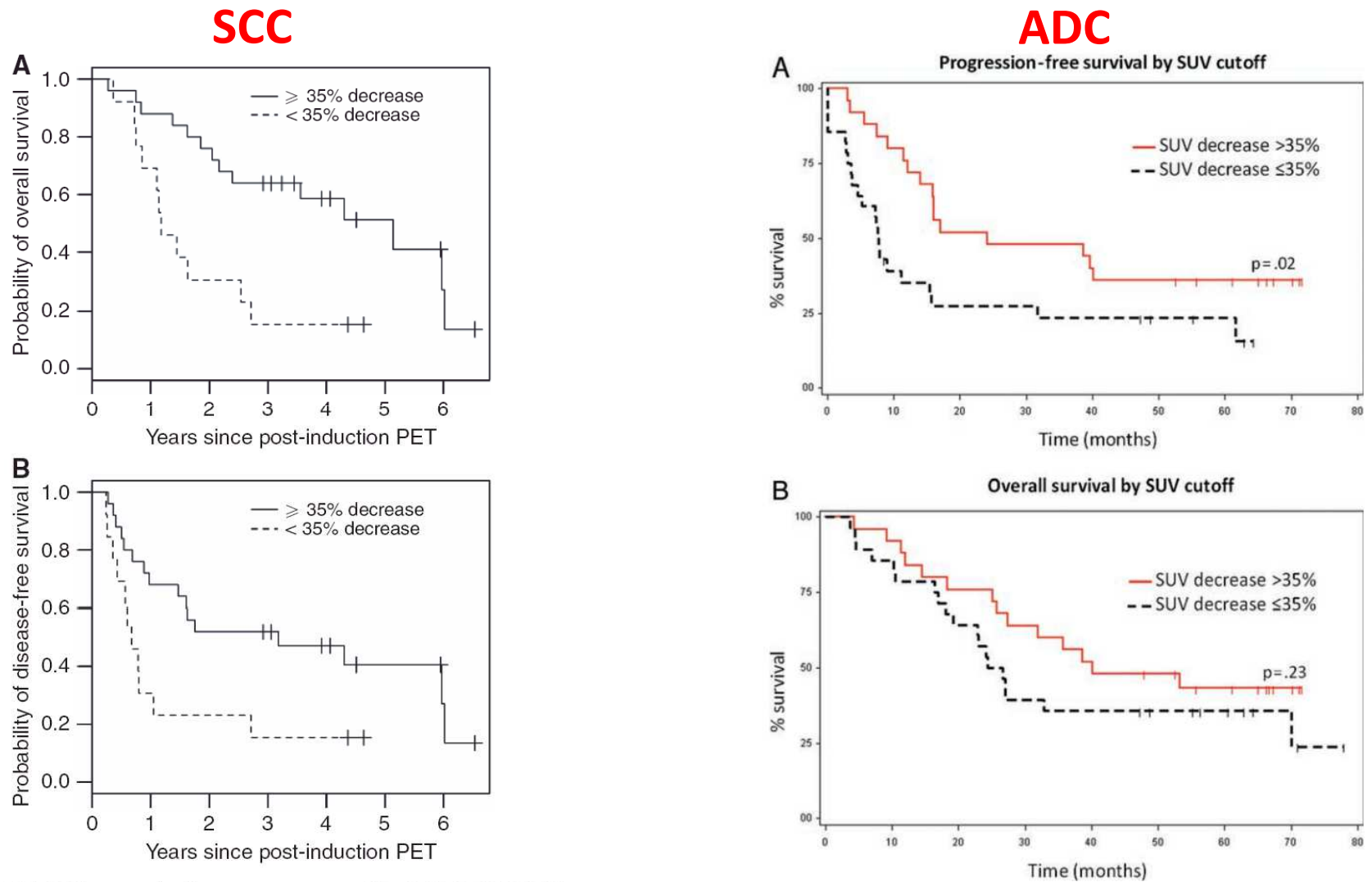
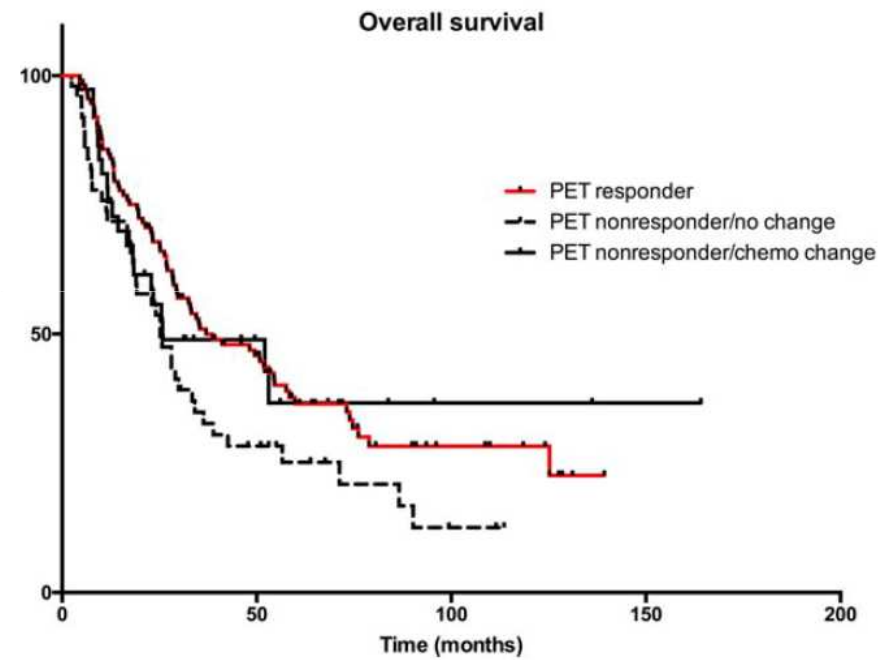
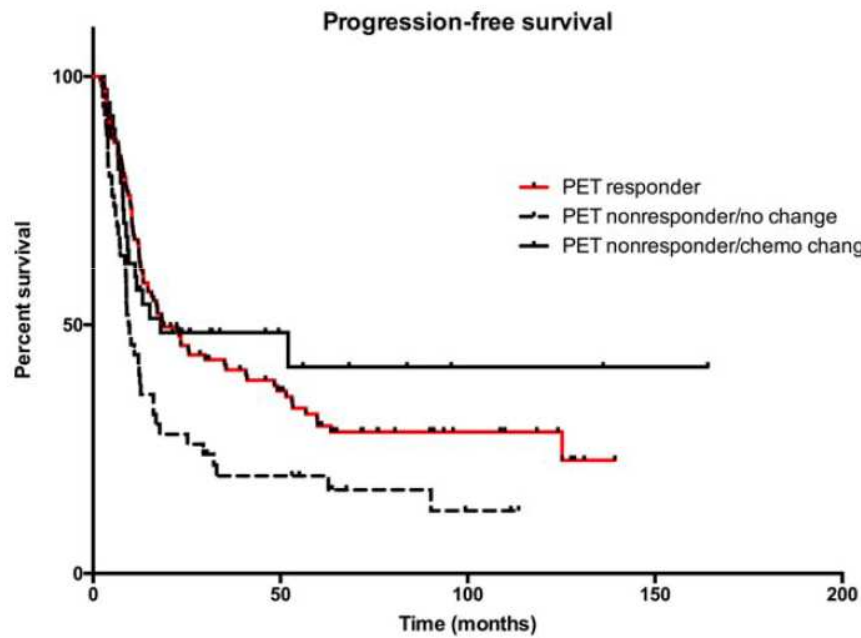


Figure 3. (A) OS by metabolic response status ( $P=0.004$ ). (B) DFS by metabolic response status ( $P=0.02$ ).



# MR induction CT biomarker for RTCT?

ADC



**Are there differences between CT backbones?**

# Are there differences between CT backbones?

Study	Histol	Intent	Arms	Drugs	N	pCR	mOS (months)	2-yr OS
Herskovic 1992	20%SCC 80%ADC	definitive	RCT (50Gy)	5FU/DDP	129	NA	8.9	38%
			RT (64Gy)	-		NA	12.5	50% (p<0.001)
Bosset 1996	SCC	preop	RCT (18.5Gy split dose preop)	DDP	143	26%	18.6	-
			surg alone	-	139	-	18.6	-
Walsh 1996	ADC	preop	RCT (40Gy) preop	5FU/DDP	58	25%	16	37
			surg alone	-	55	-	11 (p=0.001)	26 (p=0.01)
Ajani 2013	97%ADC 3%SCC	preop	inductionCT-RCT-Surgery	5FU/oxaliplat in	55	26%	46	-
			RCT-surgery	5FU/oxaliplat in	54	13% (NS)	43	-
Crosby 2013	26%ADC 71%SCC	definitive	RCT	cape/DDP	129	-	25.4	-
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Conroy 2014	85%ADC 15%SCC	definitive	RCT	FOLFOX	134	-	20.2	-
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Van Hagen 2012	75%ADC 25%SCC	preop	RCTpreop	CBDCA/taxol	178	29%	49.4	-
			surgery	-	188	-	24.0 (p=0.003)	-

# Perspectives in RCT

- **Preoperative Chemoradiation (Paclitaxel-carboplatin or FOLFOX) for Resectable Esophageal and Junctional Cancer (PROTECT)**

Preop RCT CBDCA/Taxol vs RCT FOLFOX

- **NCT01333033**

Preop FOLFOX vs CBDCA then RCT (backbone CT according to early FDGPET response)

# CONCLUSIONS

**S**urgery alone no longer standard of care

**A**djuvant CT or RCT not efficient

**P**reop CT or RCT valuable options (RCT > CT?)

**N**o obvious difference between drugs in terms on efficacy

**O**bvious differences between drugs in terms of safety

**H**igh medical need for predictive tools

**Thanks for the attention**



# Chemotherapy toxicity constraints

Upper GI:  
technical and  
clinical  
challenges for  
radiation  
oncologists



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Alain Hendlisz  
Institut Jules Bordet  
28<sup>th</sup> may 2016



ESTRO  
School

# Toxicity from Combined Modality is complex





# Exclusion criteria for CT

**5FU:** DPD deficiency  
Allergy to the compound  
Recent ischemic cardiac event (<6months)

**DDP:** Renal insufficiency  
Allergy to the compound  
Recent ischemic cardiac event (<6months)  
Polyneuropathy

**Oxaliplatin:** Allergy to the compound  
Recent ischemic cardiac event (<6months)  
Polyneuropathy

**Paclitaxel:** Liver tests alteration  
Major fluid effusion (pleural, ascitis, ...)  
Allergy to the compound  
Recent ischemic cardiac event (<6months)  
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**Irinotecan:** Gilbert syndrom  
Direct bilirubin increase  
Intestinal events (obstruction, chronic/acute inflammation)  
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# PLATINUM SALTS

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# Toxicities associated with monthly 5FU/DDP

Study	Arms	RT	N	histol	severe GI toxicity	severe hematol tox	life-threatening
Herscovic 1992	RT alone	64Gy (2Gy/f)	60	20%SCC 80%ADC	18%	3%	3%
	RCT (monthly DDP/5FU)	50Gy (2Gy/f)	61		41% (incl 33% stomatitis)	48%	20%
Walsh 1995	Surg alone	-	58	100%ADC			
	preopRCT (monthly DDP/5FU)	40Gy (2.6Gy/f)	55		5%	4%	5%

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Walsh 1995	Surg alone	-	58	100%ADC	-	-	-
	preopRCT (monthly DDP/5FU)	40Gy (2.6Gy/f)	55		5%	4%	5%
VanCutsem 2006	monthly DDP/5FU	-	224	100%ADC	47% (incl 27% stomatitis)	57%	12%

# Chemoradiotherapy with or without cetuximab in patients with oesophageal cancer (SCOPE1): a multicentre, phase 2/3 randomised trial

**DDP 60 mg/m<sup>2</sup> (D1) & capecitabine 625 mg/m<sup>2</sup> 2\* (D1-21)**  
 cycles 3 and 4 with RT (50 Gy/25 fractions) +/- weekly **cetuximab**

Arm	mOS (months)	grade III-IV non-hematol tox (%)	
RCT	25.4	63	
RCT+cetux	22.1 (p=0.035)	79* (p=0.004)	* including 3 treatment-related deaths

19% no RT in cetux arm (vs 8%)

	CRT plus cetuximab (n=129)	CRT only (n=129)
Haematological	27 (21%)	36 (28%)
Haemoglobin	3 (2%)	3 (2%)
WBC	14 (11%)	21 (16%)
ANC	15 (12%)	24 (19%)
Platelets	11 (9%)	6 (5%)
Lymphocytes	5 (4%)	3 (2%)
Non-haematological	102 (79%)	81 (63%)
Cardiac disorders	8 (6%)	2 (2%)
Cardiac ischaemia/infarction	3 (2%)	1 (<1%)
Other	5 (4%)	1 (<1%)
Dermatological	28 (22%)	5 (4%)
Acne	9 (7%)	0
Hand-foot syndrome	7 (5%)	4 (3%)
Rash	14 (11%)	0
Other	9 (7%)	1 (<1%)
Metabolic/laboratory	31 (24%)	14 (11%)
Hypomagnesia	9 (7%)	2 (2%)
Hypokalaemia	9 (7%)	7 (5%)
Hypophosphataemia	6 (5%)	1 (<1%)
Hyponatraemia	2 (2%)	1 (<1%)
Bilirubin	2 (2%)	0
Hyperuricaemia	2 (2%)	0
Other	13 (10%)	6 (5%)
Pulmonary	8 (6%)	4 (3%)
Dyspnoea	8 (6%)	3 (2%)
Other	2 (2%)	1 (<1%)
Constitutional symptoms	27 (21%)	26 (20%)
Fatigue	26 (20%)	25 (19%)
Weight loss	3 (2%)	3 (2%)
Gastrointestinal	55 (43%)	57 (44%)
Diarrhoea	12 (9%)	8 (6%)
Dysphagia	35 (27%)	37 (29%)
Stomatitis	4 (3%)	2 (2%)
Nausea	6 (5%)	11 (9%)
Oesophagitis	3 (2%)	7 (5%)
Vomiting	7 (5%)	11 (9%)
Anorexia	12 (9%)	13 (10%)
Other	7 (5%)	9 (7%)
Infection	8 (6%)	9 (7%)
Febrile neutropenia	3 (2%)	3 (2%)
Infection with normal ANC	5 (4%)	6 (5%)
Neurological	5 (4%)	5 (4%)
Vascular	14 (11%)	13 (10%)
Thrombosis/thrombus/embolism	14 (11%)	12 (9%)
Other	2 (2%)	1 (<1%)
Other	12 (9%)	10 (8%)

All randomly assigned patients received at least one dose of treatment. CRT=chemoradiotherapy, WBC=white blood cell, ANC=absolute neutrophil count, CTCAE=Common Terminology Criteria for Adverse Events.

Table 3: CTCAE grade 3 or 4 toxicity in patients during treatment (weeks 1 to 12)



# Toxicities associated with Oxaliplatin

Study	Arms	RT	N	histol	severe GI toxicity	severe hematol tox
<b>Ajani 2013</b>	induct 5FU IVC/ weekly oxali ° +RCT 5FU IVC/weekly oxali	50.4Gy (2Gy/f)	55	97%ADC 3%SCC	<5%	<5%
	RCT 5FU IVC/weekly oxali		54		<5%	<5%

# Toxicities associated with Oxaliplatin

Study	Arms	RT	N	histol	severe GI toxicity	severe hematol tox
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	RCT 5FU IVC/weekly oxali		54		<5%	<5%
<b>Conroy 2014</b>	FOLFOX-RCT	50Gy (2Gy/f)	134	85%ADC 15%SCC	≈30%	29%
	monthly 5FU/DDP-RCT	50Gy (2Gy/f)	133		≈30%	29%

**Different schedules of CT – Different irradiation fields... Different toxicities**

Study	Histol	Intent	Arms	Drugs	N	pCR	mOS (months)	2-yr OS
Herskovic 1992	20%SCC 80%ADC	definitive	RCT (50Gy)	5FU/DDP	129	NA	8.9	38%
			RT (64Gy)	-		NA	12.5	50% (p<0.001)
Bossel 1996	SCC	preop	RCT (38.5Gy split dose preop)	DDP	143	26%	18.5	-
			surg alone	-	139	-	18.6	-
Walsh 1996	ADC	preop	RCT (40Gy) preop	5FU/DDP	58	25%	16	37
			surg alone	-	55	-	11 (p=0.001)	26 (p=0.01)
Ajani 2013	97%ADC 3%SCC	preop	inductionCT- RCT-Surgery	5FU/oxaliplat in	55	26%	45	-
			RCT-surgery	5FU/oxaliplat in	54	13% (NS)	43	-
<b>Ilson 2012</b>	<b>75%ADC 25%SCC</b>	<b>preop</b>	<b>inductionCT- RCT-surg</b>	<b>DDP/CPT11</b>	<b>55</b>	<b>16%</b>	<b>32</b>	<b>-</b>
Crosby 2013	26%ADC 71%SCC	definitive	RCT	cape/DDP	129	-	25.4	-
			RCT+cetuximab	cape/DDP + cetux	129	-	22.1 (p=0.035)	-
Conroy 2014	85%ADC 15%SCC	definitive	RCT	FOLFOX	134	-	20.2	-
			RCT	5FU/DDP	133	-	17.5 (p=0.7)	-
Van Hagen 2012	75%ADC 25%SCC	preop	RCTpreop	CBDCA/taxol	178	29%	49.4	-
			surgery	-	188	-	24.0 (p=0.003)	-

**IRINOTECAN**

# Toxicities associated with Irinotecan

Study	Arms	RT	N	histol	severe GI toxicity	severe hematol tox
Ilson 2012	preop weekly <b>Irino</b> 65mg/m <sup>2</sup> / <b>DDP</b> 30mg/m <sup>2</sup> x 4 then idem+RT	50.4Gy (2Gy/f)	55	75%ADC 25%SCC	13%	31%* *5% neutropenic fever

12% ThromboEmbolic Events

8% no RT

5% post-operative mortality

Study	Histol	Intent	Arms	Drugs	N	pCR	mOS (months)	2-yr OS
Herskovic 1992	20%SCC 80%ADC	definitive	RCT (50Gy)	5FU/DDP	129	NA	8.9	38%
			RT (54Gy)	-		NA	12.5	50% (p<0.001)
Bossel 1996	SCC	preop	RCT (18.5Gy split dose preop)	DDP	143	26%	18.6	-
			surg alone	-	139	-	18.6	-
Walsh 1996	ADC	preop	RCT (40Gy) preop	5FU/DDP	58	25%	15	37
			surg alone	-	55	-	11 (p=0.001)	26 (p=0.01)
Ajani 2013	97%ADC 3%SCC	preop	inductionCT- RCT-Surgery	5FU/oxaliplat in	55	26%	45	-
			RCT-surgery	5FU/oxaliplat in	54	13% (NS)	43	-
Hlson 2012	75%ADC 25%SCC	preop	inductionCT- RCT-surg	DDP/CPT11	55	16%	32	-
Crosby 2013	26%ADC 71%SCC	definitive	RCT	cape/DDP	129	-	25.4	-
			RCT+cetuximab	cape/DDP + cetux	129	-	22.1 (p=0.035)	-
Conroy 2014	85%ADC 15%SCC	definitive	RCT	FOLFOX	134	-	20.2	-
			RCT	5FU/DDP	133	-	17.5 (p=0.7)	-
<b>Van Hagen 2012</b>	75%ADC 25%SCC	preop	RCTpreop	CBDCA/taxol	178	29%	49.4	-
			surgery	-	188	-	24.0 (p=0.003)	-

**PACLITAXEL**

# Toxicities associated with CBDCA/paclitaxel

Study	Arms	RT	N	histol	severe GI toxicity	severe hematol tox
Van Hagen 2012	preop CBDCA/paclitax el-RCT	41.4Gy (1.8Gy/f)	178	75%ADC 23%SCC	6%	2%
	surgery	-	188		-	-

R0 resection 92% RCT–surgery versus 69% surgery (P<0.001)

4% postoperative mortality (both groups)

8% no RT in RCT group



# Combined Modality Toxicity is complex

depends on:

the patients' particular medical condition

the pattern of RT (dose, fraction, length, fields, dosimetry, ...)

the drugs and schedule

the intent of treatment

**But the whole team  
should take responsibility**

It's not you. It's me.

Aaah...just kidding. It's  
totally you.



# CONCLUSIONS

**T**oxicity from combined RCT variable and difficult to assess

< **H**uge heterogeneity of CT and RT variables

**H**ighly dependant on treatment modalities

**T**ailoring therapy allowed

**RCT** work in progress needing cross-talks between specialties

**THANK YOU**



UNIKLINIK  
KÖLN

ESTRO:

Upper GI: technical and clinical challenges for  
Radiation Oncologists

# Oesophagus: Primary tumor extension pathology evaluation

Alexander Quaas  
Institute of Pathology  
University of Cologne



# Road map

- Facts – carcinoma of the oesophagus in Germany
- Tumor extension evaluation – using UICC-TNM 7th edition (since 2010)
- Importance of lymph nodes metastasis
- Patho-anatomical basics, reportings and technical workflow



# Facts

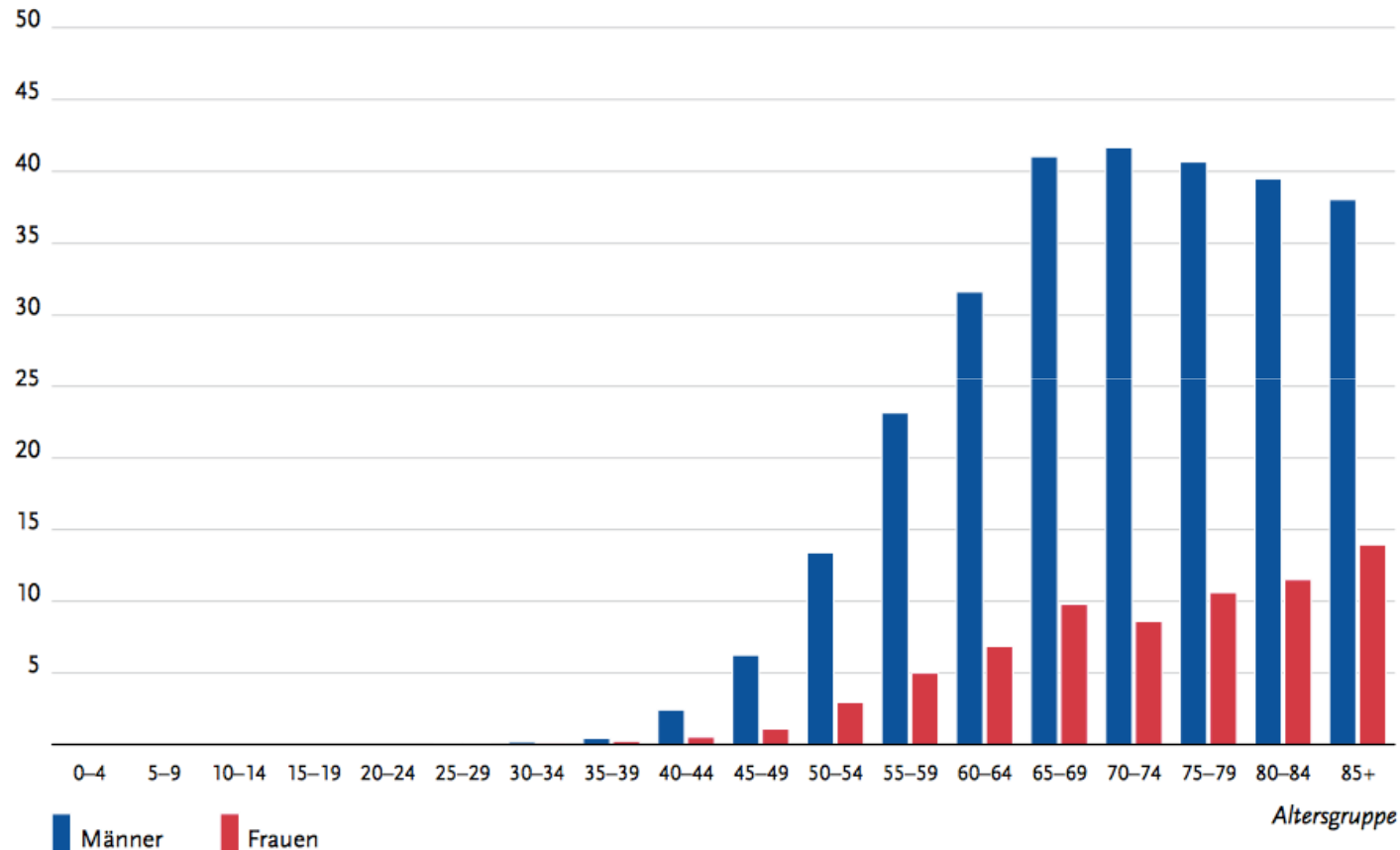
- Germany 2016: 5.600 men /1.600 women
- 80% will die carcinoma-related in following 5 years
- 85% are diagnosed in advanced disease (cT2 and more)
- 60% SCC
- 40% Adenocarcinoma

From: krebsdaten.de (Robert-Koch-Institut)



# Facts – age distribution

Abbildung 3.3.2  
Altersspezifische Erkrankungsrate nach Geschlecht, ICD-10 C15, Deutschland 2011–2012  
je 100.000



From: krebdaten.de (Robert-Koch-Institut)



# Usually: SCC or Adeno-Ca

## WHO classification<sup>a</sup> of tumours of the oesophagus

<b>Epithelial tumours</b>		<b>Mesenchymal tumours</b>	
<i>Premalignant lesions</i>		Granular cell tumour	9580/0
Squamous		Haemangioma	9120/0
Intraepithelial neoplasia (dysplasia), low grade	8077/0*	Leiomyoma	8890/0
Intraepithelial neoplasia (dysplasia), high grade	8077/2	Lipoma	8850/0
Glandular		Gastrointestinal stromal tumour	8936/3
Dysplasia (intraepithelial neoplasia), low grade	8148/0*	Kaposi sarcoma	9140/3
Dysplasia (intraepithelial neoplasia), high grade	8148/2	Leiomyosarcoma	8890/3
<i>Carcinoma</i>		Melanoma	8720/3
Squamous cell carcinoma	8070/3	Rhabdomyosarcoma	8900/3
Adenocarcinoma	8140/3	Synovial sarcoma	9040/3
Adenoid cystic carcinoma	8200/3	<b>Lymphomas</b>	
Adenosquamous carcinoma	8560/3	<b>Secondary tumours</b>	
Basaloid squamous cell carcinoma	8083/3		
Mucoepidermoid carcinoma	8430/3		
Spindle cell (squamous) carcinoma	8074/3		
Verrucous (squamous) carcinoma	8051/3		
Undifferentiated carcinoma	8020/3		
<i>Neuroendocrine neoplasms<sup>b</sup></i>			
Neuroendocrine tumour (NET)			
NET G1 (carcinoid)	8240/3		
NET G2	8249/3		
Neuroendocrine carcinoma (NEC)			
Large cell NEC	8013/3		
Small cell NEC	8041/3		
Mixed adenoneuroendocrine carcinoma	8244/3		

<sup>a</sup> The morphology codes are from the International Classification of Diseases for Oncology (ICD-O) (904A). Behaviour is coded /0 for benign tumours, /1 for unspecified, borderline or uncertain behaviour, /2 for carcinoma *in situ* and grade III intraepithelial neoplasia, and /3 for malignant tumours.

<sup>b</sup> The classification is modified from the previous (third) edition of the WHO histological classification of tumours (691) taking into account changes in our understanding of these lesions. In the case of neuroendocrine neoplasms, the classification has been simplified to be of more practical utility in morphological classification.

\* These new codes were approved by the IARC/WHO Committee for ICD-O at its meeting in March 2010.





## 1. Cervical oesophagus (C15.0)

begins: lower border of the cricoid cartilage

ends: thoracic inlet (suprasternal notch). 18 cm distal upper incisor teeth

## 2. Intrathoracic oesophagus (C15.3-5)

– Upper: begins: thoracic inlet (about 18 cm) ends: tracheal bifurcation (about 24 cm)

– Mid: begins: tracheal bifurcation (about 24 cm) ends: 32 cm distal upper incisor teeth

– Lower: About 8 cm long and includes abdominal oesophagus. Ends about 40 cm.

## 3. Oesophago-gastric junction (C16.0)

*Definition of oesophago-gastric junction: There is no universally agreed definition!*

**For histologists:** junction of squamous epithelial cells to cylindrical epithelial cell of the stomach

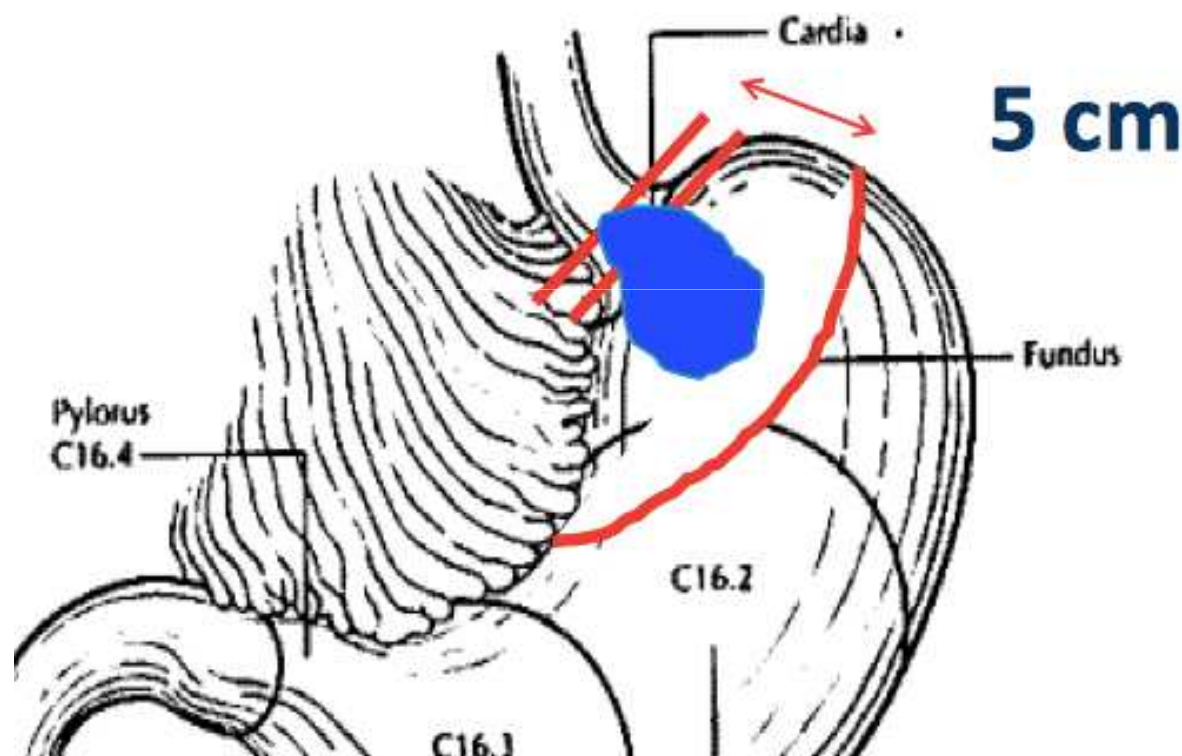
**For surgeons:** passage through the diaphragm

**For gastroenterologists:** junction at the beginning of proximal gastric folds

**In Japan:** at the distal end of palisade venes



# Definition oesophageal/gastric adenocarcinoma Definition changed 2010



A tumour of epicentre of which is within 5 cm of the oesophagogastric junction and also extends into the oesophagus is classified and staged using the oesophageal scheme. Tumours with an epicentre in the stomach greater than 5 cm from the oesophagogastric junction or those within 5 cm of the oesophagogastric junction without extension in the oesophagus are classified and staged using the gastric carcinoma

Modified from: Wittekind and Schmiegel



# Staging: UICC

## Oesophagus 7th edition

TNM definitions: AJCC = UICC

Tis	Carcinoma in situ /High-grade dysplasia	N0	No regional lymph node metastasis
T1	lamina propria or submucosa T1a lamina propria or muscularis mucosae T1b submucosa	N1	1 to 2 regional lymph nodes
T2	muscularis propria	N2	3 to 6
T3	adventitia	N3	>6
T4	adjacent structures T4a pleura, pericardium, diaphragm, or adjacent peritoneum T4b other adjacent structures, e.g. aorta, vertebral body, trachea		[N1 was site dependent]
		M	Distant Metastasis
		M1	Distant metastasis
			[M1a,b were site dependent]

Changes from 6<sup>th</sup> edition

Applies to carcinoma (ICD-0 C15) and includes adenocarcinoma of the oesophagogastric junction (ICD-0 C16.0)



# Staging: UICC

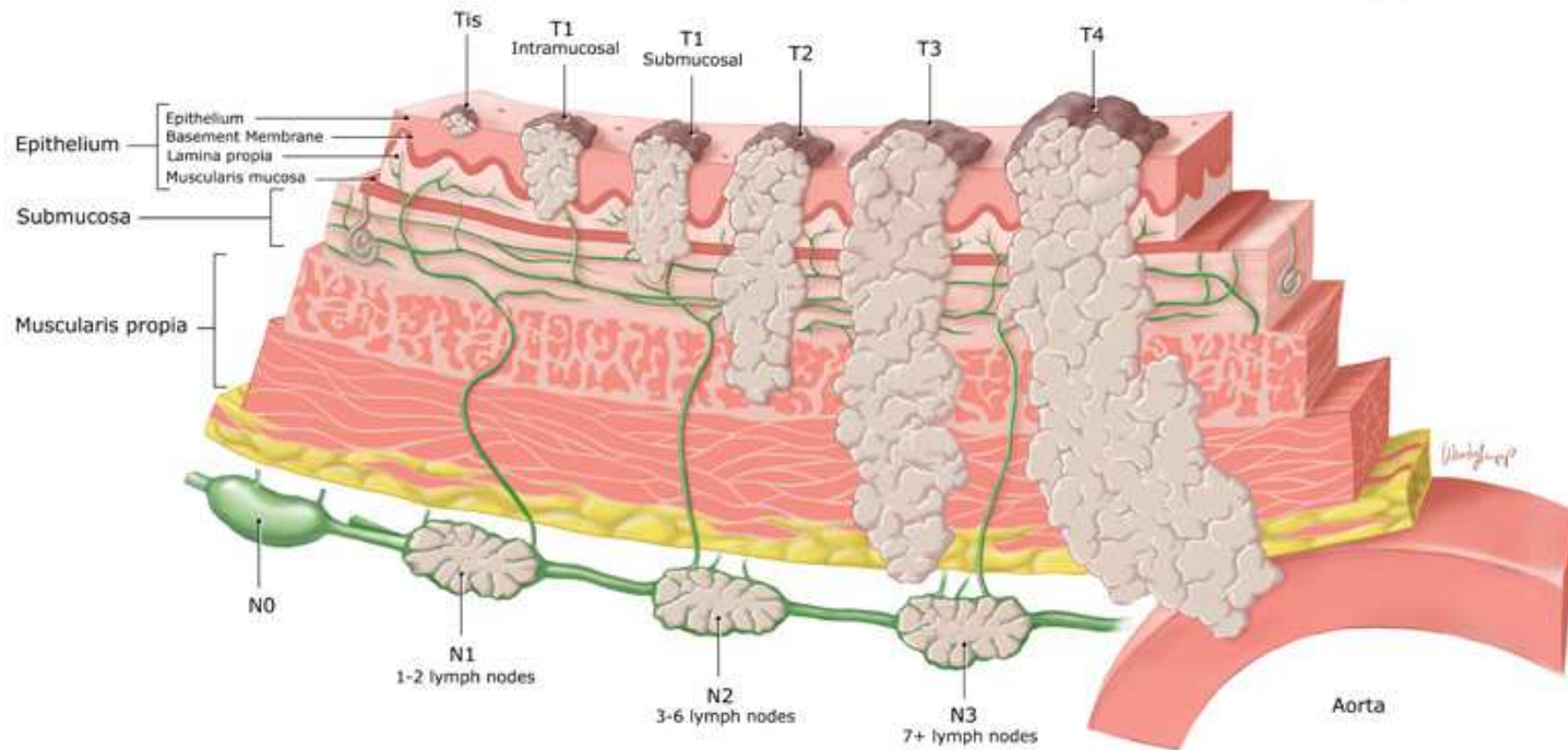
pT1a is sub-divided

- m1 - into the lamina propria
- m2 - into the superficial/inner muscularis mucosae
- m3 - into the space between the layers of the muscularis mucosae
- m4 - into the outer/true muscularis mucosae

T1b is sub-divided as SM1-3 as follows:

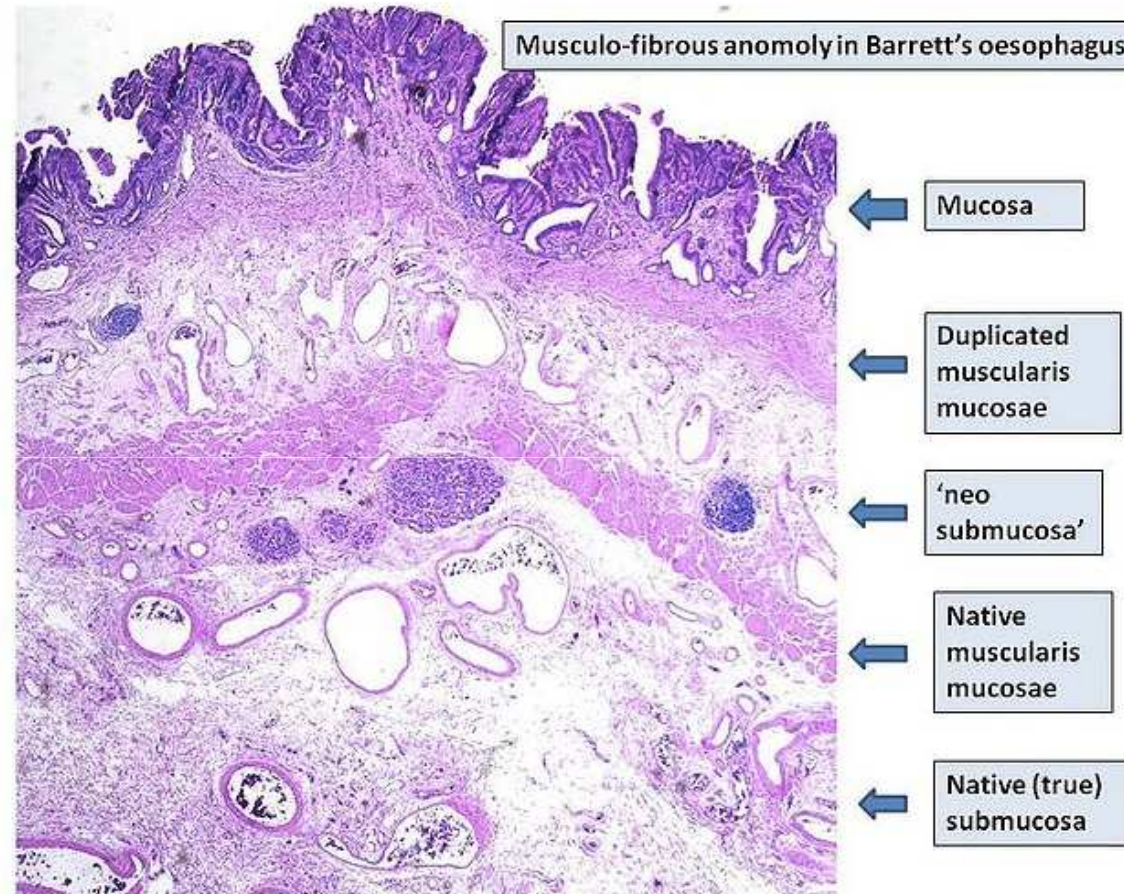
- sm1 – superficial 1/3 submucosa
- sm2 – intermediate one third of submucosa
- sm3 – outer one third of submucosa

## Esophageal Cancer Staging





## double layer of muscularis mucosae in Barrett (pT1a; m1-m4)

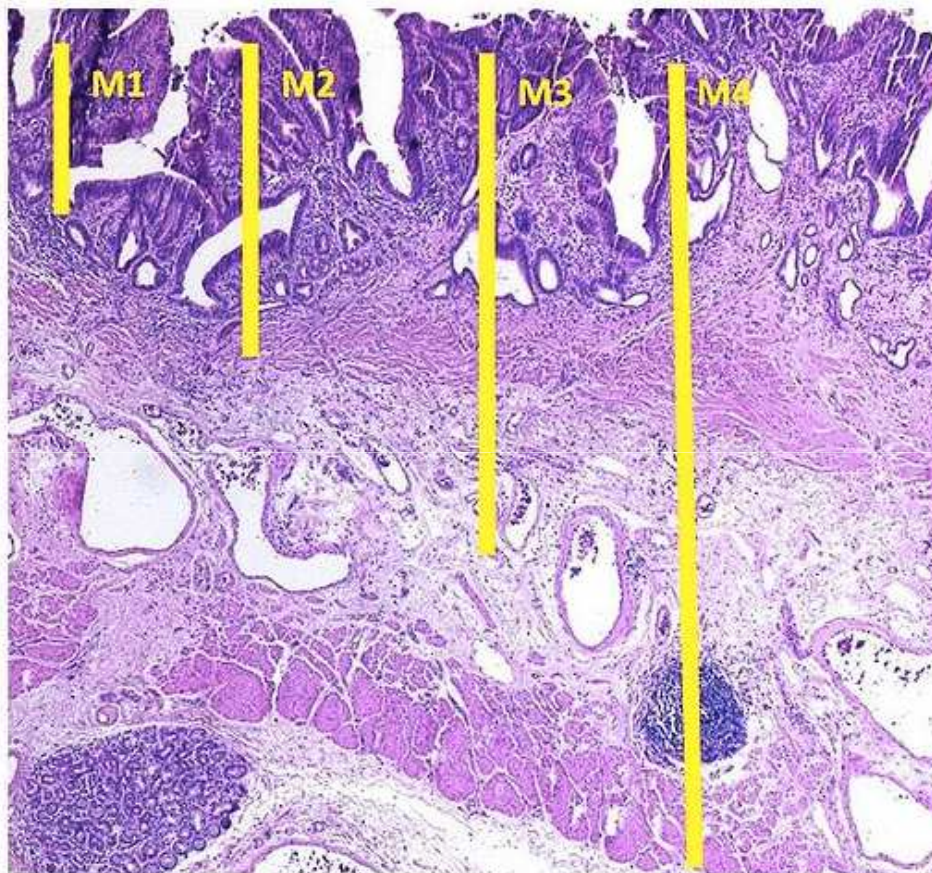


Bobryshev, Y, Brown, I, Clouston, A, Cancer Council Australia Barrett's Oesophagus Guidelines Working Party.  
What are the histological features of early adenocarcinoma of the oesophagus?



## double layer of muscularis mucosae in Barrett (pT1a; m1-m4)

Stolte staging system (mucosa)



### Stolte

m1 - into the lamina propria

m2 - into the superficial/inner muscularis mucosae

m3 - into the space between the layers of the muscularis mucosae

m4 - into the outer/true muscularis mucosae

Bobryshev, Y, Brown, I, Clouston, A, Cancer Council Australia Barrett's Oesophagus Guidelines Working Party.  
What are the histological features of early adenocarcinoma of the oesophagus?



# Prognostic factors

## Univariable analysis of factors influencing survival

Variable	$\chi^2$	DF	P-value
Age	48.020	41	0.210
Gender	1.039	1	0.308
Histological cell type	2.250	2	0.308
Histological tumour grade	10.260	2	0.006
Operative approach (TT vs TH)	0.795	1	0.373
Neoadjuvant therapy	0.627	1	0.429
T stage (same in TNM6 and TNM7)	21.514	3	<0.0001
N stage (TNM6)	21.499	1	<0.0001
N stage (TNM7)	37.509	3	<0.0001
Number of lymph node metastases	61.677	12	<0.0001
Stage groupings (TNM6)	36.587	4	<0.0001
Stage groupings (TNM7)	50.531	7	<0.0001
Prognostic groupings (TNM7)	47.147	7	<0.0001

Most important:

- 1) Depth of invasion (primary tumor extension)
- 2) Lymph node involvement
- 3) Stage/prognostic groupings

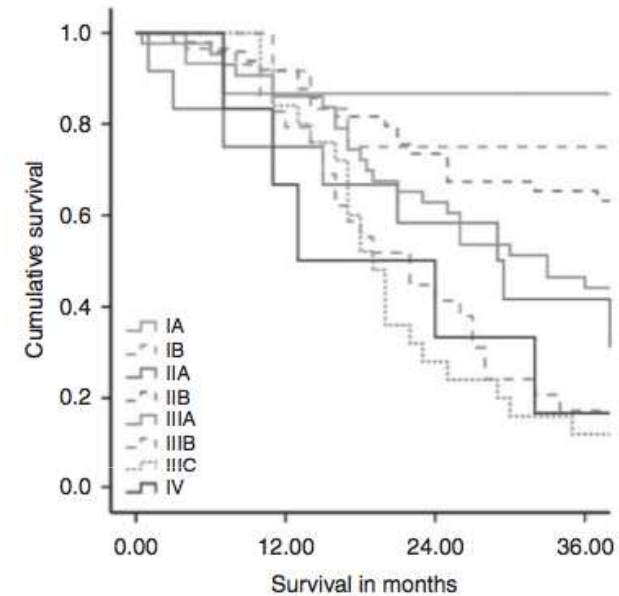


# Prognostic grouping

Prognostic grouping: Squamous cell carcinoma					
	T	N	M	Grade	Location*
Group 0	Tis	0	0	1	Any
Group IA	1	0	0	1, X	Any
Group IB	1	0	0	2, 3	Any
	2, 3	0	0	1, X	Lower, X
Group IIA	2, 3	0	0	1, X	Upper, middle
	2, 3	0	0	2, 3	Lower, X
Group IIB	2, 3	0	0	2, 3	Upper, middle
	1, 2	1	0	Any	Any
Group IIIA	1, 2	2	0	Any	Any
	3	1	0	Any	Any
Group IIIB	4a	0	0	Any	Any
	3	2	0	Any	Any
Group IIIC	4a	1, 2	0	Any	Any
	4b	Any	0	Any	Any
Group IV	Any	3	0	Any	Any
	Any	Any	1	Any	Any

## Biggest problem in oesophageal carcinoma:

- Lymph nodes metastasis indicate poor prognosis
- Metastasizes early
- Often: locally advanced tumors



No. at risk	0 months	12 months	24 months	36 months
Stage IA	15	13	13	13
Stage IB	12	11	9	9
Stage IIA	12	9	7	4
Stage IIB	49	45	36	30
Stage IIIA	43	37	27	19
Stage IIIB	29	23	12	4
Stage IIIC	25	21	7	3
Stage IV	6	4	2	1

Figure 3 Oesophageal cancer survival related to TNM7 prognostic groups.





# Prognostic factors – Lymph nodes metastasis indicate poor prognosis

## Extensive interconnecting lymphatic channels

High risk of skip areas (high risk of local recurrence)

Drain into lymph nodes: paraoesophageal, paratraecheal, dorsal mediastinum, lung hilum, inferior thyroid artery, left gastric artery (celiac axis), paraoesophageal in the neck.

Risk to develop nodal mets: T1b: 20%  
T2: 60%  
T3: 90%  
T4: 100%

## Biggest problem in oesophageal carcinoma:

- Often: locally advanced tumors (85% in T2 or more)
- Metastasizes early

From: [neoadjuvant.wikidot.com](http://neoadjuvant.wikidot.com) staging and [krebsdaten.de](http://krebsdaten.de) (Robert-Koch-Institut)



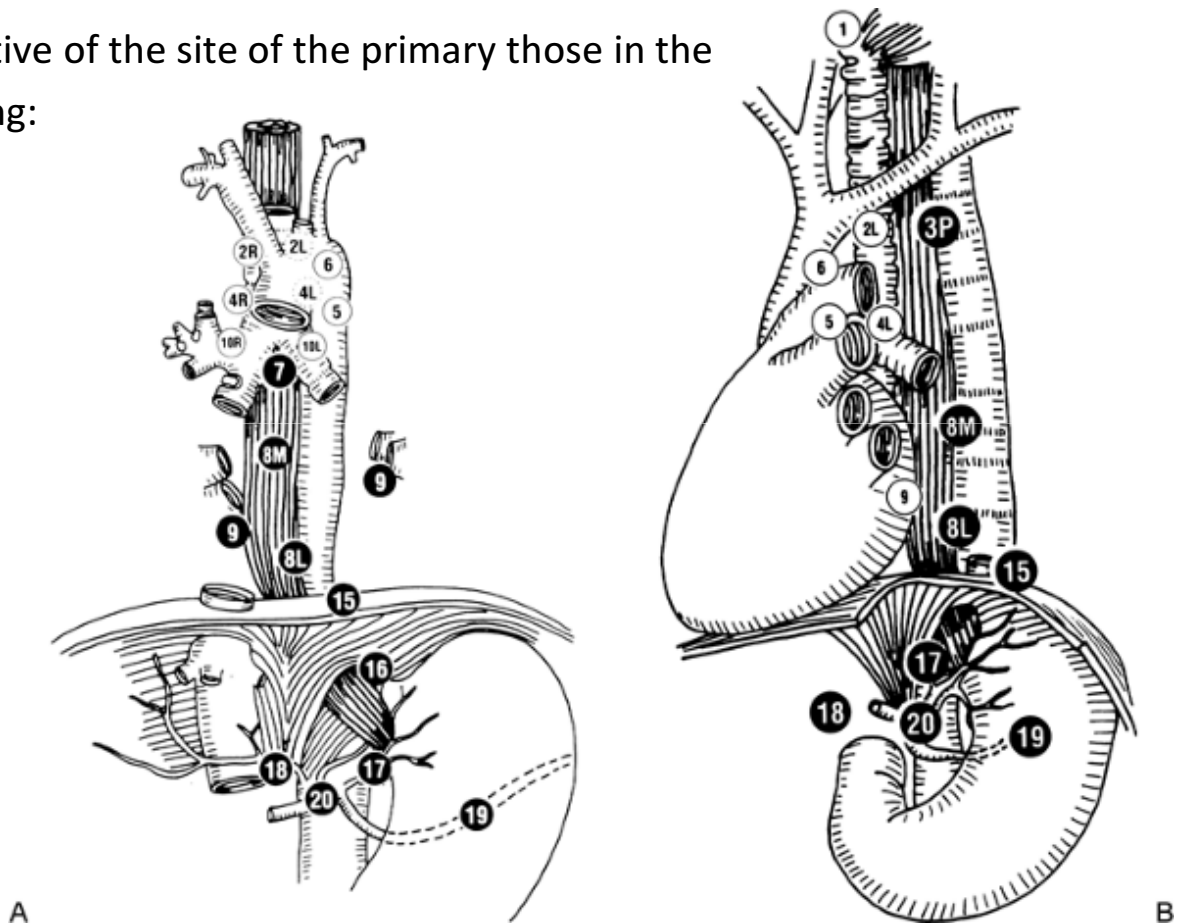
# Regional Lymph Nodes

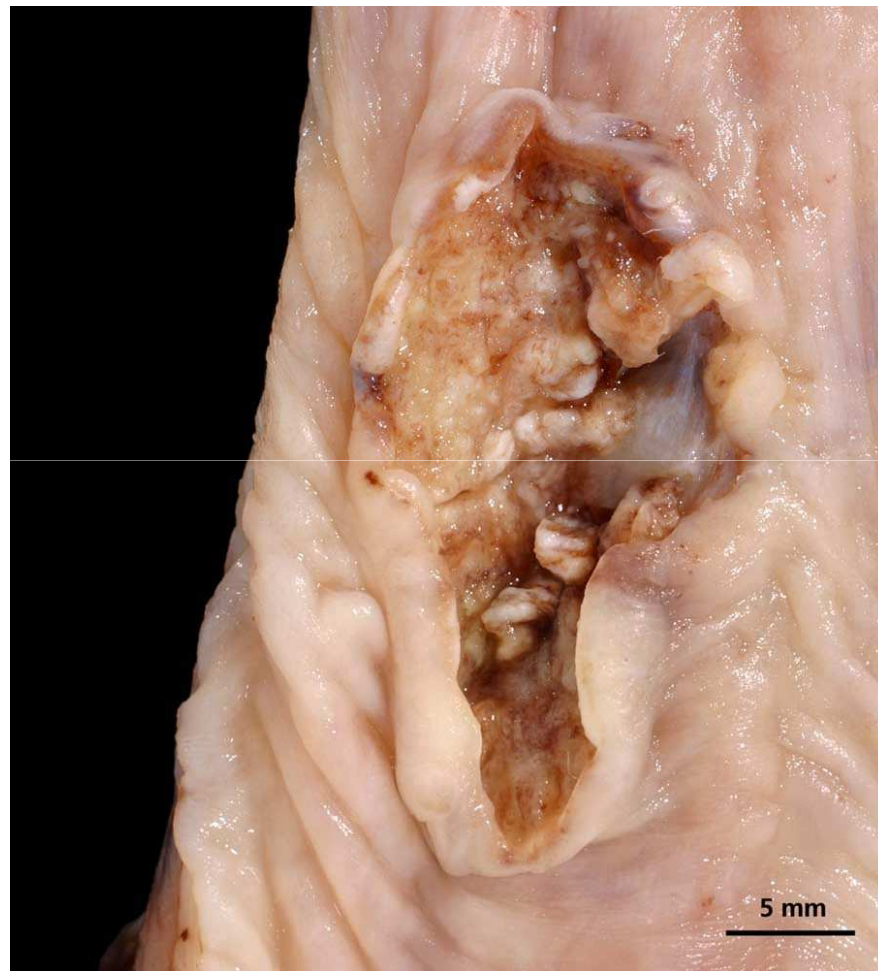
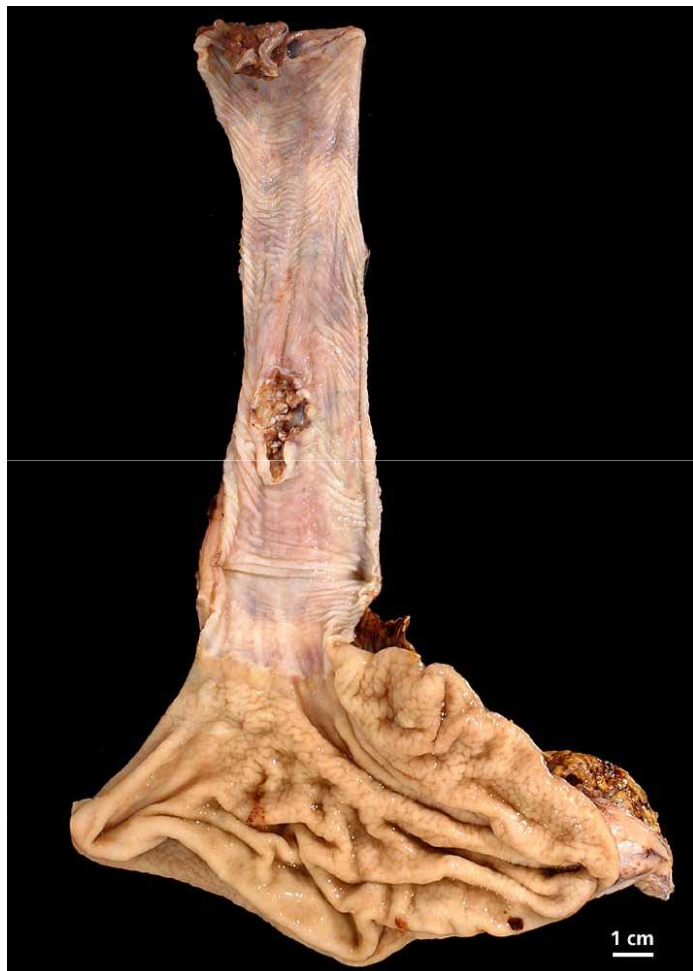
## Localisation using TNM 7th edition:

- Regional lymph nodes, irrespective of the site of the primary those in the oesophageal drainage – including:  
paraesophageal, paratraecheal,  
dorsal mediastinum, lung hilum,  
inferior thyroid artery,  
left gastric artery (celiac axis)  
paraesophageal in the neck.

## How many we need:

- >15 lymph nodes

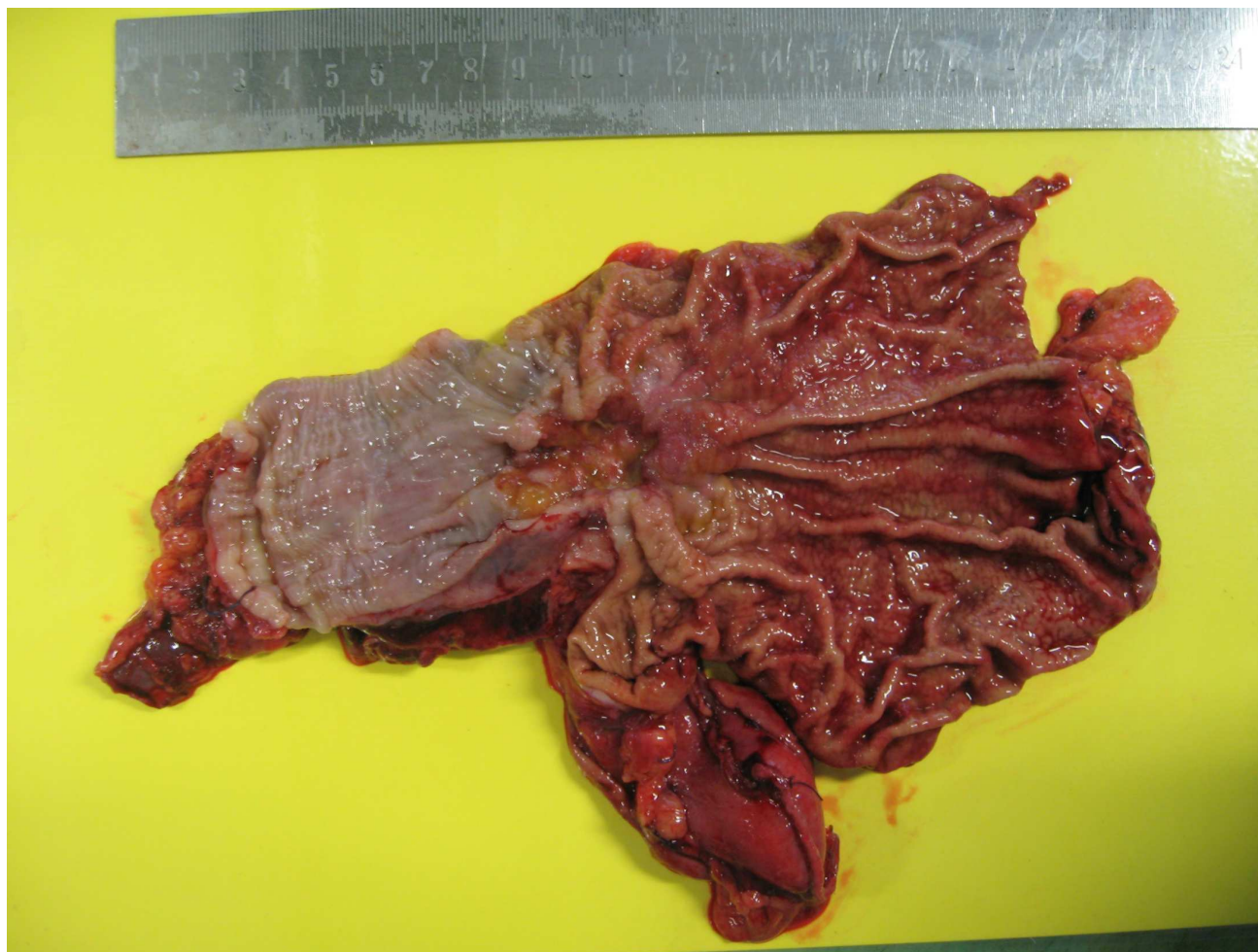




Localisation: Whole oesophagus including distal parts; more often: middle third



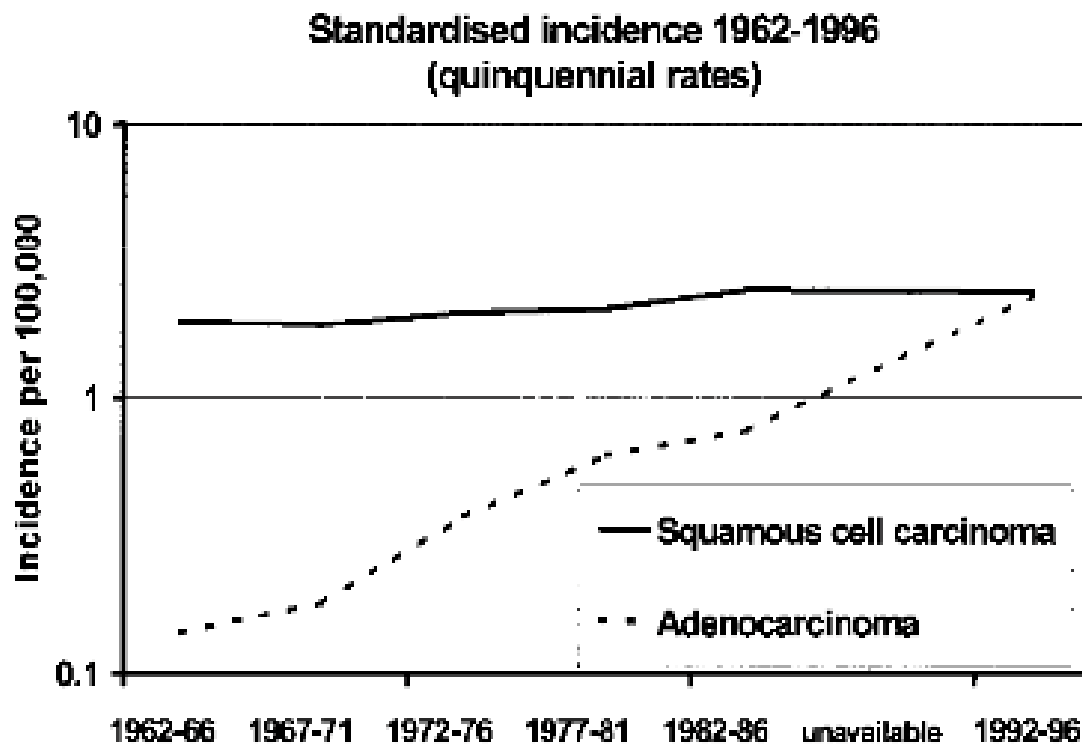
# Adenocarcinoma



Localisation: distal parts of oesophagus/oesophageal-gastric junction



# Adenocarcinoma of oesophagus: Incidence continues to increase



From: Powell et al. Int J Cancer 2003



# Regression-Scores after neoadjuvant therapy

**According to Becker et al:**

Morphological regressions signs:

- oedema
- necrosis
- foamy histiocytes
- fibrosis and hyalinosis

## Grading of Histopathologic Regression in the Primary Tumor Bed

Grade	Description
1a	No residual tumor / tumor bed
1b	< 10% residual tumor / tumor bed
2	10-50% residual tumor / tumor bed
3	> 50% residual tumor / tumor bed

From: Becker et al. Ann Surg 2011 or Becker et al. Cancer 2003



# Regression-Scores

## Response Classification System

Scheme	Characteristic	
Class I	Minor histomorphologic regression	<b>Major responder</b> <b>Minor responder</b> <b>Cut-off: 10% vital tumour</b>
a	With lymph node metastases	
b	Without lymph node metastases	
Class II	Major histomorphologic regression	
a	With lymph node metastases	
b	Without lymph node metastases	

## Cologne Regression Classification System

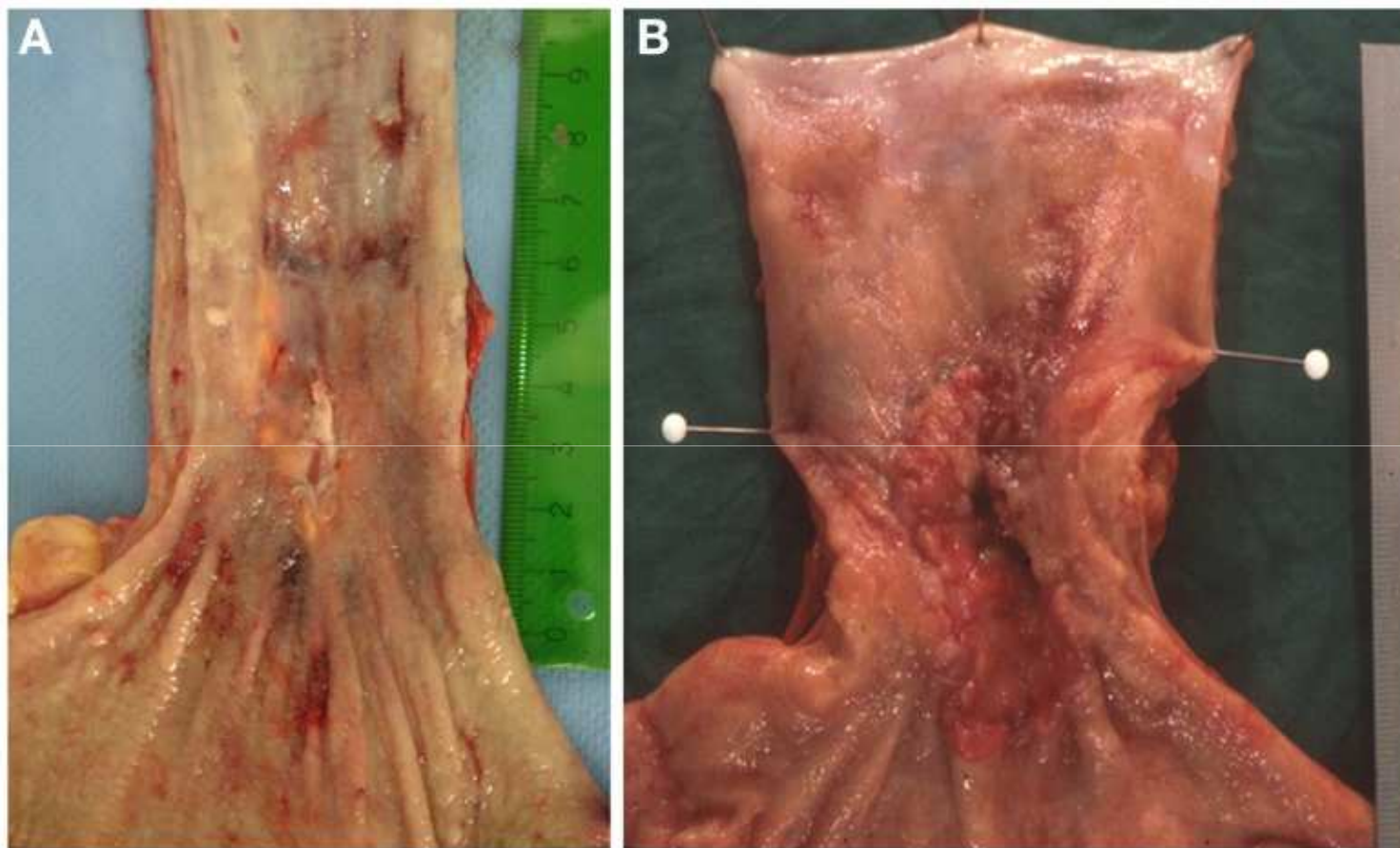
- Grade I indicates minimal/no regression, with more than 50% vital tumor remaining;
- Grade II indicates partial regression, with less than 50% and more than 0% vital tumor remaining;
- Grade III indicates sub-total regression, with 0% vital tumor remaining;
- Grade IV indicates complete regression, with no vital tumor remaining.<sup>[22,23]</sup>

Response grades I and II are classified as 'minor response,' and grades III and IV as 'major response.'

From: Schneider et al.. Ann Surg 2005 Nov; 242(5):684-692



# Photographic documentation Adenocarcinoma



From: Front Oncol. 2013; 3: 262. Thies, Langer **Gross images of esophageal adenocarcinomas with (A) macroscopic significant regression and (B) no macroscopic significant regression after neoadjuvant chemotherapy.**





## 1) Photographic documentation of all surgical specimens

## 2) Macroscopically

- Tumor size (if possible in three dimension)
- Tumor localisation
- Tumor extension
- Distance to margins (oral, aboral, circumferential)
- Complete embedding of the tumor from oral to aboral (CRM is included and colourmarked)
- Lymph nodes are completely embedded

## 3) Reporting

- Histological types (adenocarcinoma, squamous cell carcinoma)
- UICC staging (y) pT pN (including ece+) L V Pn (=perineural invasion)
- Margins (free; distance; oral, aboral, circumferential)
- Grading (in case of neoadjuvant chemo-/radiotherapy: no grading)
- Regression grade (in case of neoadjuvant therapy using Becker and Cologne Score)



# Surgical specimens



Adenocarcinoma of GEJ



UNIKLINIK  
KÖLN

# Surgical specimens



Adenocarcinoma of GEJ



UNIKLINIK  
KÖLN

# Surgical specimens



Adenocarcinoma of GEJ



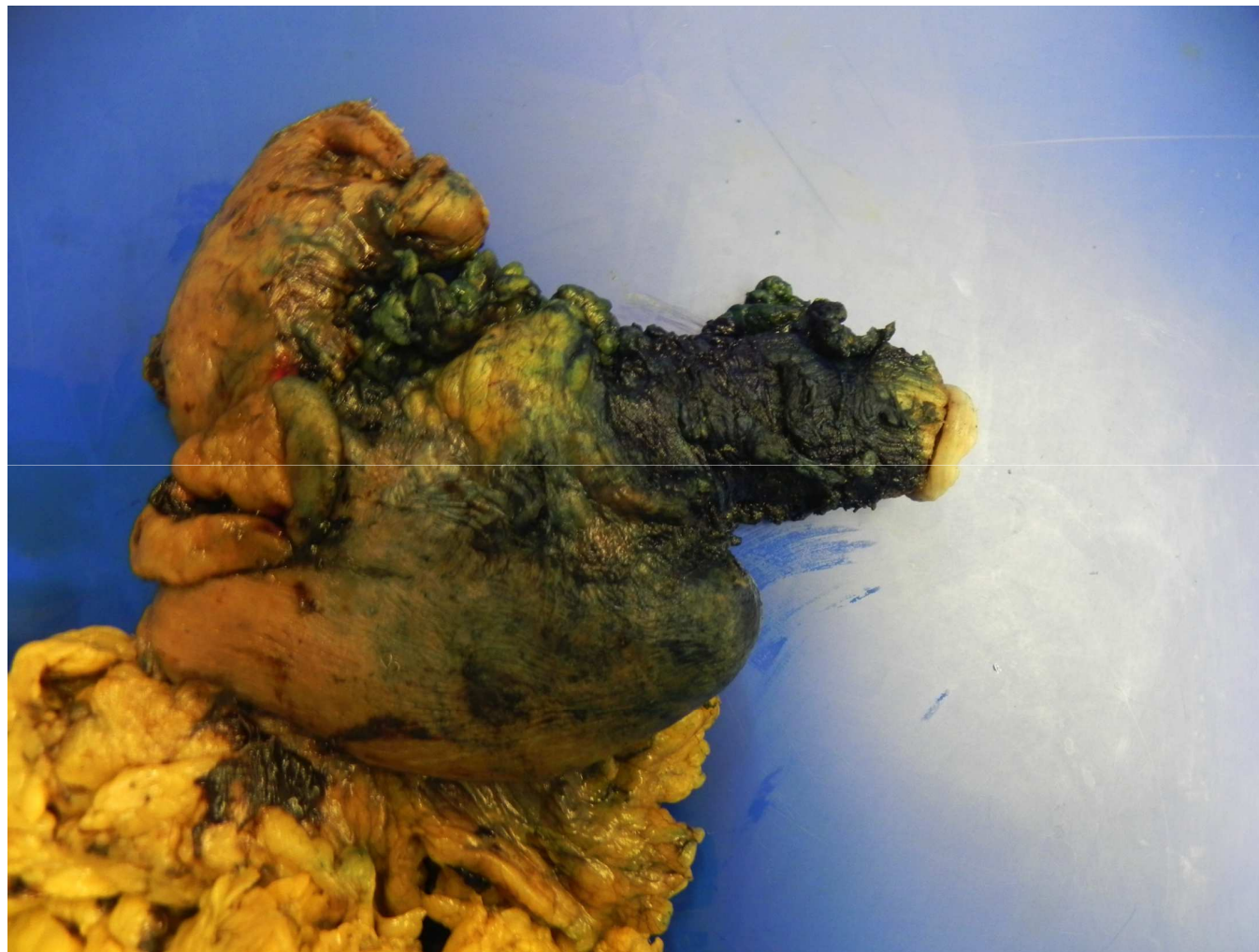
# Surgical specimens



Adenocarcinoma of GEJ – distal oesophagus/proximal stomach incl. omentus majus  
After neoadjuvant treatment



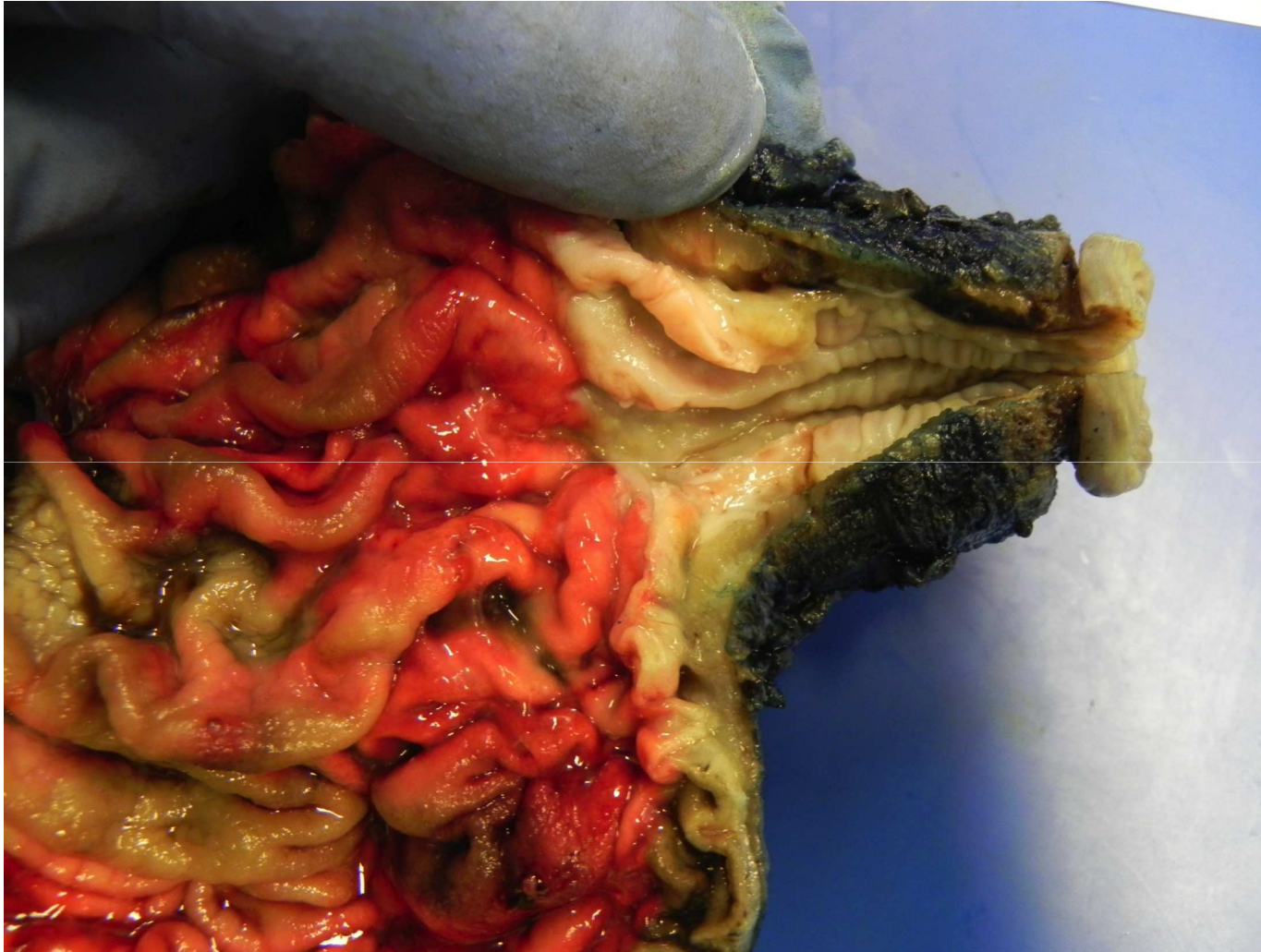
# Surgical specimens



Colour-marked circumferential margin



# Surgical specimens



Macroscopically just small residual tumor.



# Surgical specimens

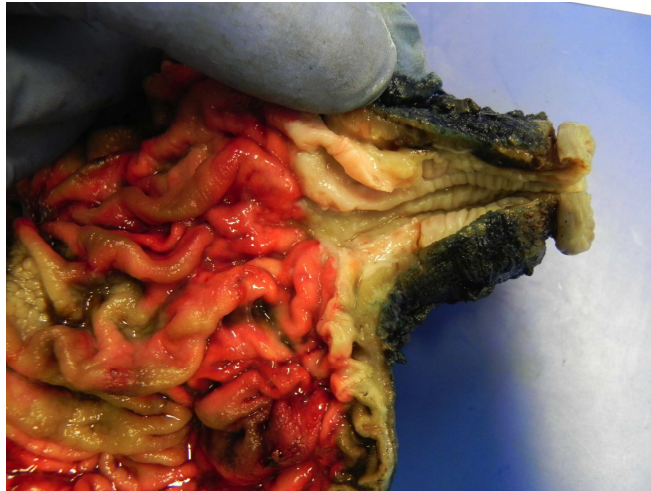


Starting with oral and aboral surgical margins





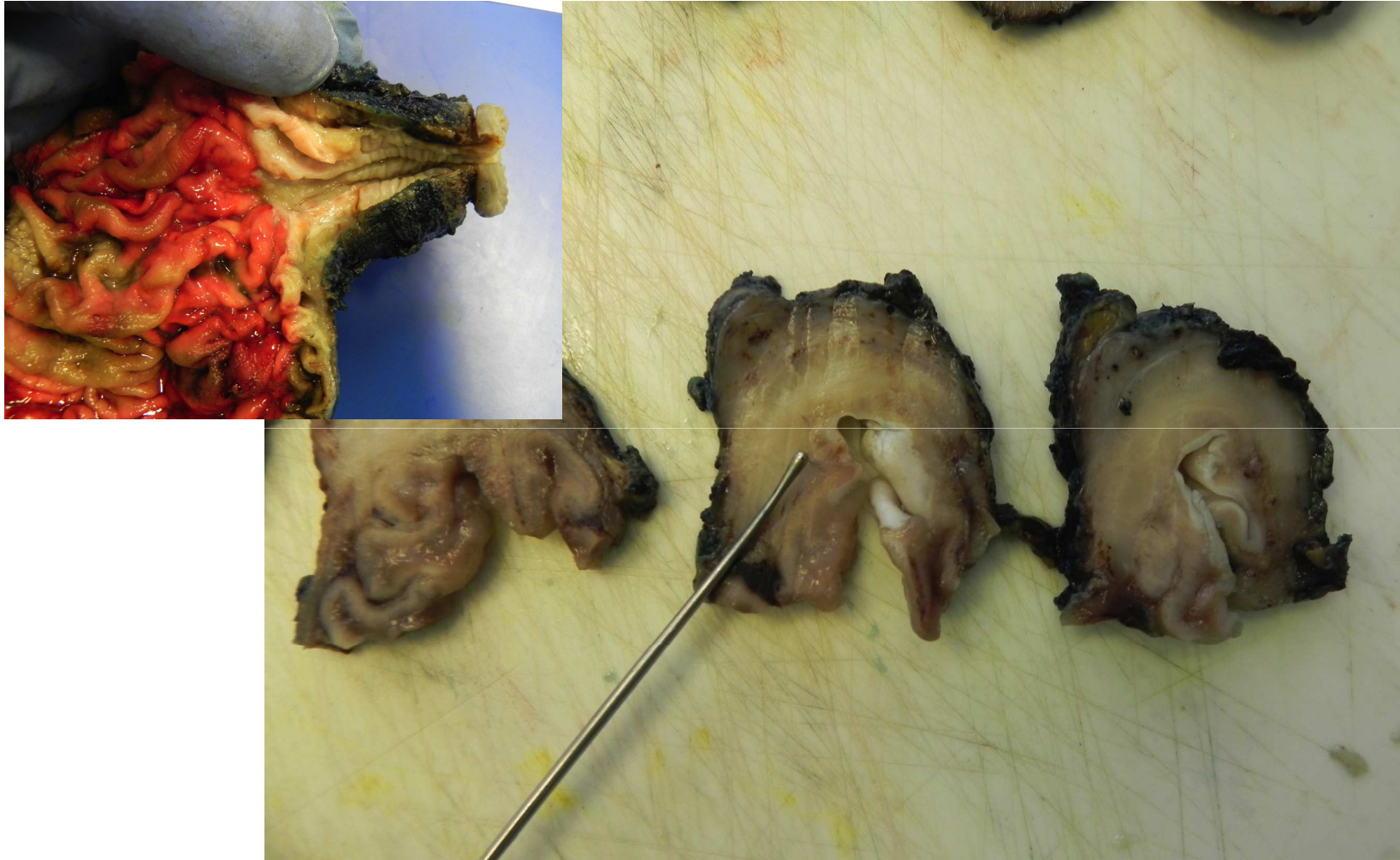
# Surgical specimens



Embedding of whole specimen/whole tumor bed coming for oral to aboral. Every tissue block is 4-5 mm thick



# Surgical specimens



White mucosa: squamous cell mucosa of oesophagus with suspected residual tumor



# Surgical specimens



Lymph nodes preparation



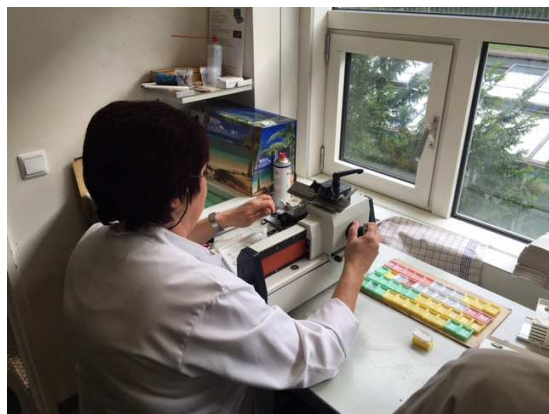
# Surgical specimens



Up to four lymph nodes in one tissue block



# Last steps



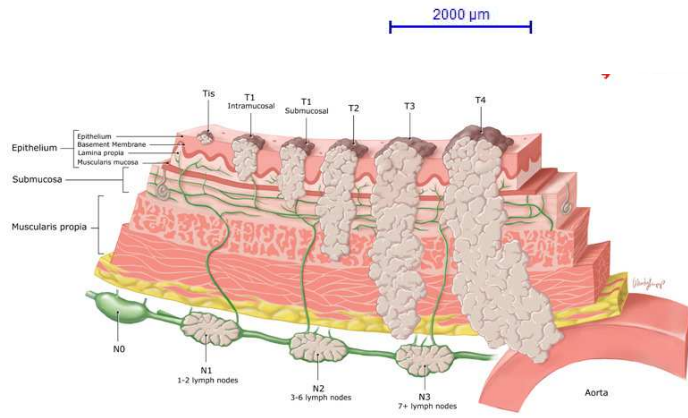
From three-dimensional surgical specimen to two-dimensional slides



Stainings: HE, PAS



# SCC – pT?



Coloured  
margin



# Summary

- 1: incidence of adenocarcinoma is increasing (SCC to Adeno-Ca: 60/40)
- 2: overall prognosis is dismal (despite some advances)  
mainly due to:
  - locally advanced disease (we diagnose too late)
  - early lymph nodes metastasis (intense network of lymph vessels)
  - no well defined subtypes
  - treatment options are still insufficient (personalized: Herceptin only)
- 3: >15 regional lymph nodes
- 4: standardized work flow in pathology  
embedding of whole tumor bed
- 5: regression scores after neoadjuvant treatment



# Questions

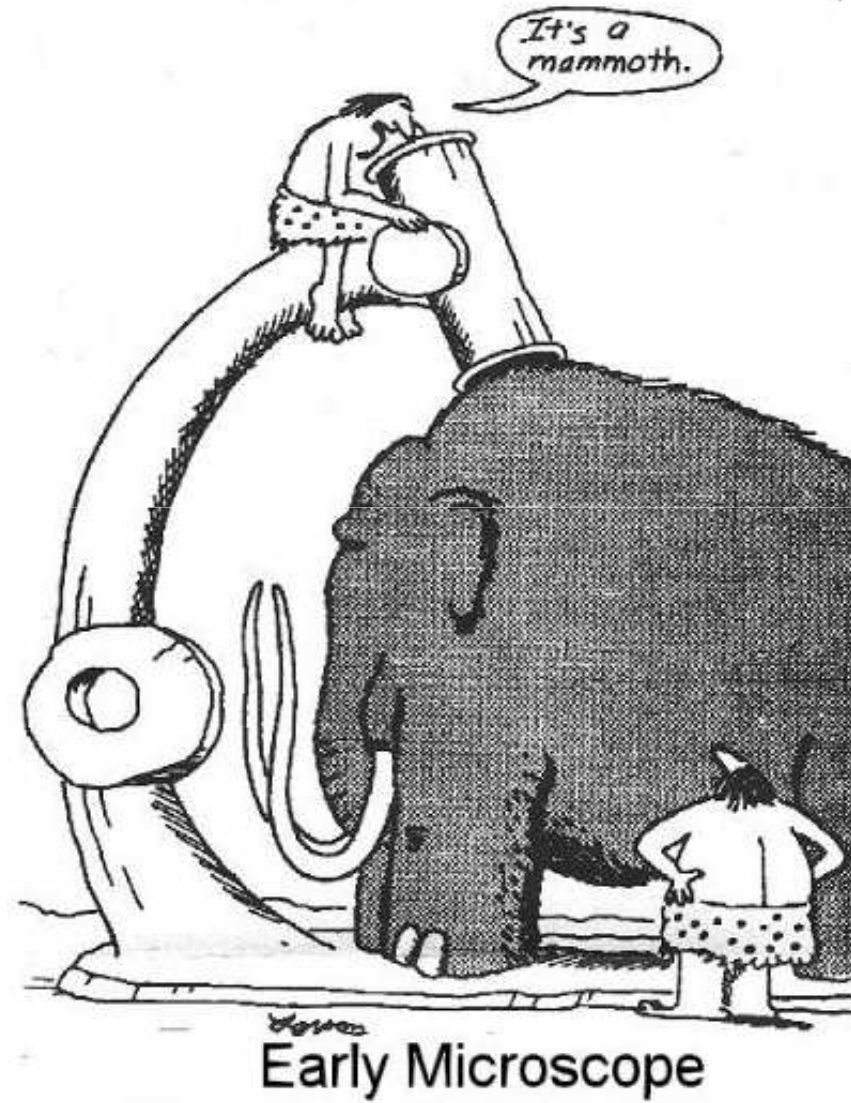
- 1: why do we have differences in responding to treatment (major and minor responder)?
- 2: can we find tumor sub-types (like in adenocarcinoma of lung or stomach)?
- 3: can liquid biopsies be helpful in detection recurrences?





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# Thank you for your attention





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# Gastric tumors: Primary tumor extension pathology evaluation

Alexander Quaas  
Institute of Pathology  
University of Cologne



# Road map

- Facts – gastric carcinoma in Germany
- Morphology based and molecular based diagnostics
- Tumor extension evaluation – using UICC- TNM 7th edition (since 2010)
- Lymph nodes stations (D1-D4)
- Patho-anatomical basics and reportings



# Facts

- Germany 2016: 9.200 men /6.400 women
- 70% will die carcinoma-related in following years
- In metastasis/recurrence: dismal prognosis (8 months median survival)

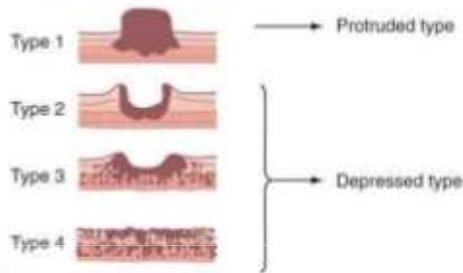
From: gekid.de (Gesellschaft der epidemiologischen Krebsregister in Deutschland e.V.) and krebdaten.de (Robert-Koch-Institut)



# Traditional morphology based diagnostics

## Classifications

Borrmann's classification



- 1926-
- 1942- **Border's** classification- degree of cellular differentiation.
- 1965- **Lauren-** Intestinal, Diffuse types.
- 1990- **WHO-** Adeno Ca., AdenoSq., SqCC, Small cell Ca., Undifferentiated Ca.

From: Dr. D. Guin, St. John's Medical College Hospital



# Traditional morphology based diagnostics

## Lauren's

INTESTINAL	DIFFUSE
Environmental	Familial
Gastric atrophy, intestinal metaplasia	Blood type A
Men > women	Women > men
Increasing incidence with age	Younger age group
Gland formation	Poorly differentiated, signet ring cells
Hematogenous spread	Transmural/lymphatic spread
Microsatellite instability APC gene mutations	Decreased E-cadherin
<i>p53</i> , <i>p16</i> inactivation	<i>p53</i> , <i>p16</i> inactivation

- Differentiated

Undifferentiated

From: Dr. D. Guin, St. John's Medical College Hospital



# Traditional morphology based diagnostics

**Table 1** Gastric adenocarcinoma classification systems

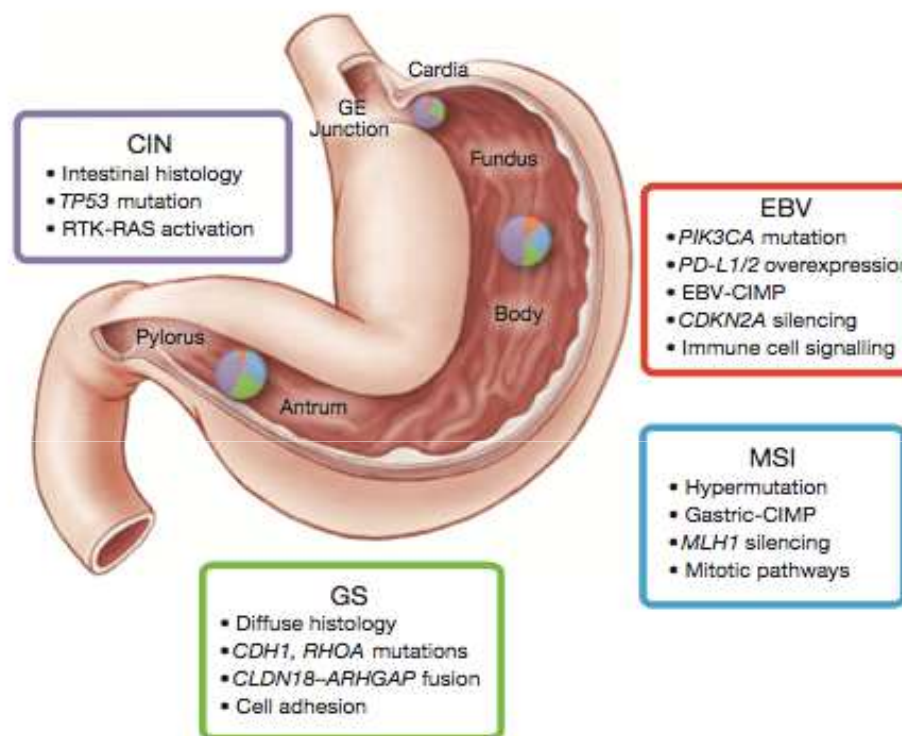
WHO (2010)	Lauren (1965)
Papillary adenocarcinoma	
Tubular adenocarcinoma	Intestinal type
Mucinous adenocarcinoma	
Signet-ring cell carcinoma And other poorly cohesive carcinoma	Diffuse type
Mixed carcinoma	Indeterminate type
Adenosquamous carcinoma	
Squamous cell carcinoma	
Hepatoid adenocarcinoma	
Carcinoma with lymphoid stroma	
Choriocarcinoma	
Carcinosarcoma	
Parietal cell carcinoma	
Malignant rhabdoid tumor	
Mucoepidermoid carcinoma	
Paneth cell carcinoma	
Undifferentiated carcinoma	
Mixed adeno-neuroendocrine carcinoma	
Endodermal sinus tumor	
Embryonal carcinoma	
Pure gastric yolk sac tumor	
Oncocytic adenocarcinoma	

From: Bing Hu, Gastric cancer: Classification, histology and application of molecular pathology, J Gastrointest Oncol 2012;3(3):251-261

# Molecular subtypes

- 1) Chromosomal instable 49,8%
- 2) Microsatellite-*instable* 21,7%
- 3) Genomic stable 19,6%
- 4) EBV-induced 8,9%

Microsatellite-*instable* carcinoma and EBV-positive carcinoma: more antigens/highly inflammed: probably immunocheckpoint inhibition (and perhaps radiation) more effective



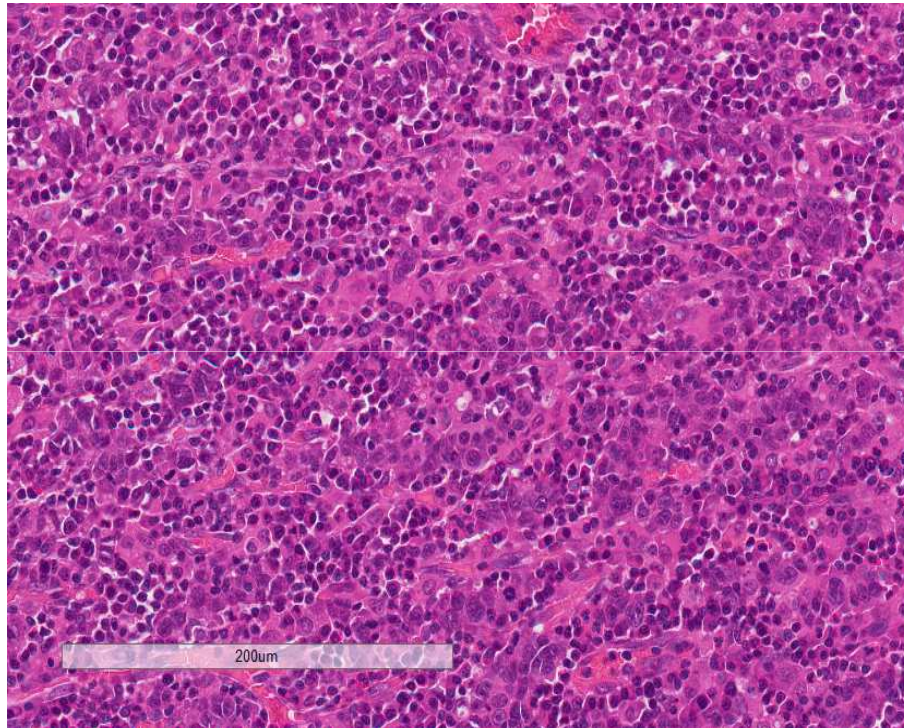
**Figure 6 | Key features of gastric cancer subtypes.** This schematic lists some of the salient features associated with each of the four molecular subtypes of gastric cancer. Distribution of molecular subtypes in tumours obtained from distinct regions of the stomach is represented by inset charts.

From: CancerGenomeAtlasResearchNetwork, „comprehensive molecular characterization of gastric adenocarcinoma“ Nature 2014

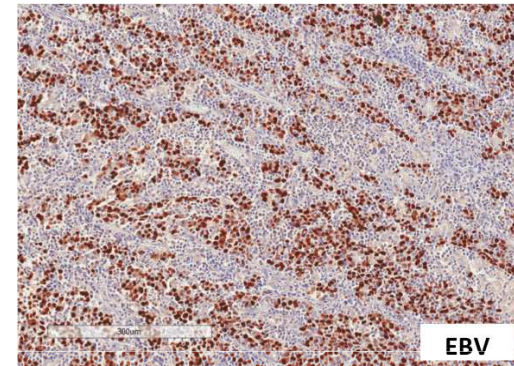




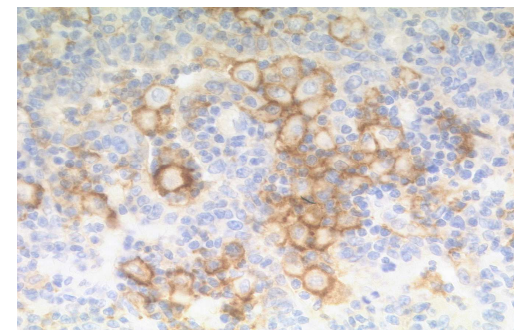
# EBV+ gastric carcinoma



WHO: Gastric carcinoma with lymphoid stroma  
(medullary or lymphoepithelioma-like carcinoma)



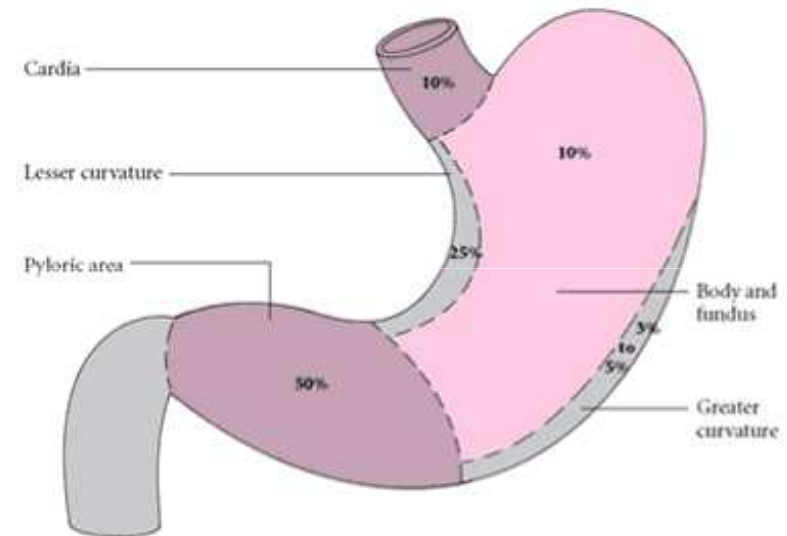
EBV-encoded RNA (EBER) in-situ hybridization (ISH)



PD-L1 Immunohistochemistry, Dako-clone 28-8



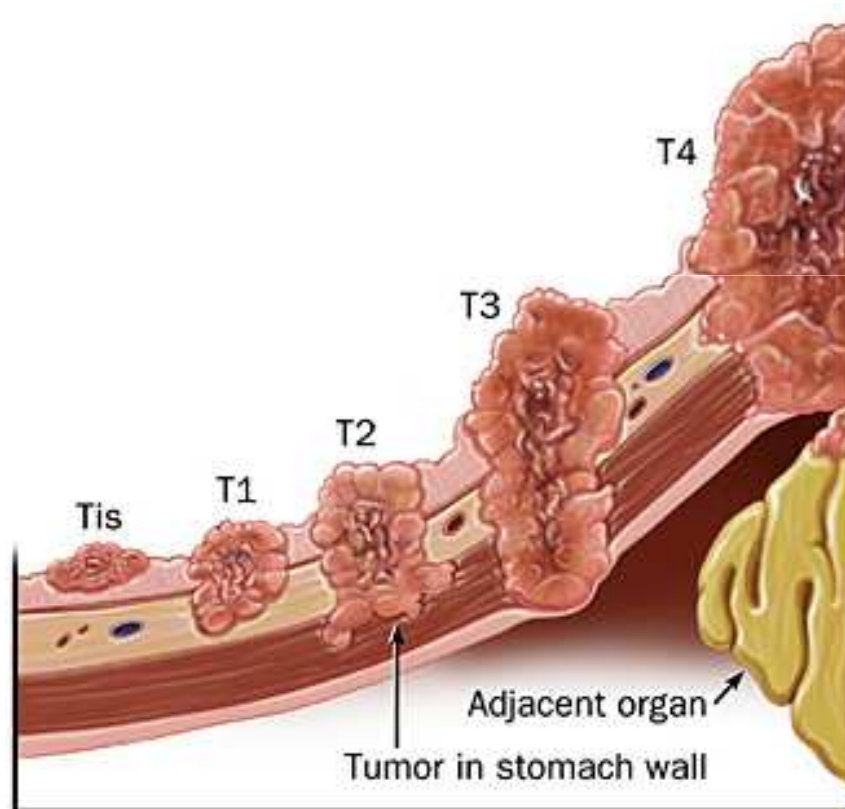
# Distribution



But: increased incidence of cardia carcinoma/GEJ carcinoma. More „intestinal type“ carcinoma, more often Her2/neu positive



Stage	Description
<b>T Stage</b>	
Tis	Carcinoma in situ; intraepithelial tumor without invasion of the lamina propria
T1	Tumor invades lamina propria, muscularis mucosa, or submucosa.
T1a	Tumor invades lamina propria or muscularis mucosa.
T1b	Tumor invades submucosa.
T2	Tumor invades the muscularis propria.
T3	Tumor penetrates subserosal connective tissue without invasion of visceral peritoneum or adjacent structures.
T4	Tumor invades serosa (visceral peritoneum) or adjacent structures.
T4a	Tumor invades serosa (visceral peritoneum).
T4b	Tumor invades adjacent structures.*
<b>N Stage</b>	
N0	No regional lymph node metastasis
N1	Metastasis in 1 to 6 regional nodes
N2	Metastasis in 7 to 15 regional nodes
N3	Metastasis in more than 15 regional nodes
<b>M Stage</b>	
M0	No distant metastasis
M1	Distant metastasis

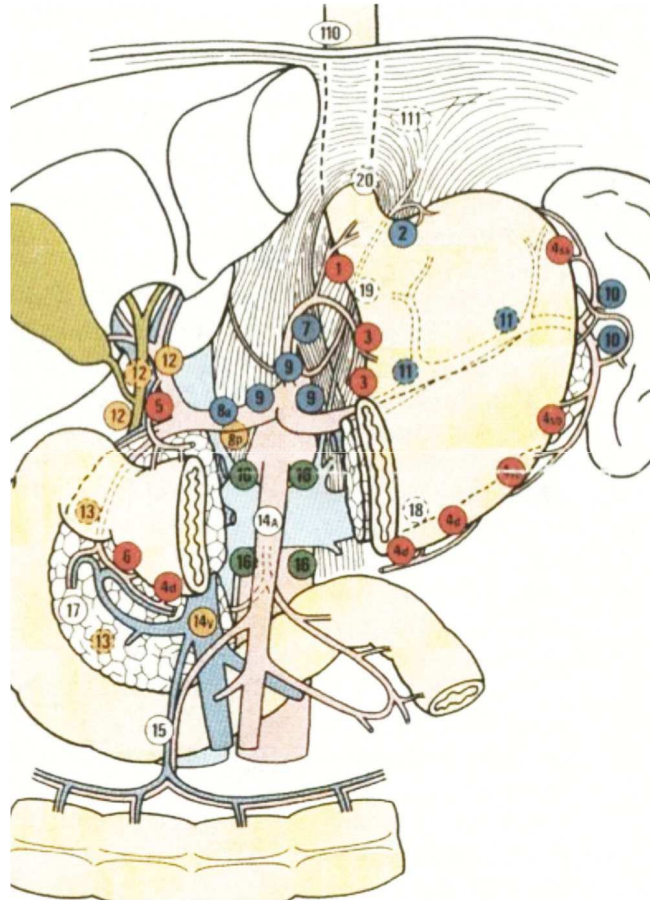


From: neoadjuvant.wikidot.com staging



# Lymphnodes stations

16 different LN stations surround the stomach (D1-D4)



**● D1 dissections:**  
**LN stations 1-6; N1 level**

- 1 Right cardia
- 2 Left cardia
- 3 along lesser curvatur
- 4 along right curvatur
- 5 suprapyloric
- 6 infrapyloric

**● D2 dissections:**  
**LN stations 7-11; N2 level**

- 7 left gastric artery
- 8 common hepatic artery
- 9 celiac trunk
- 10 splenic hilus
- 11 splenic artery

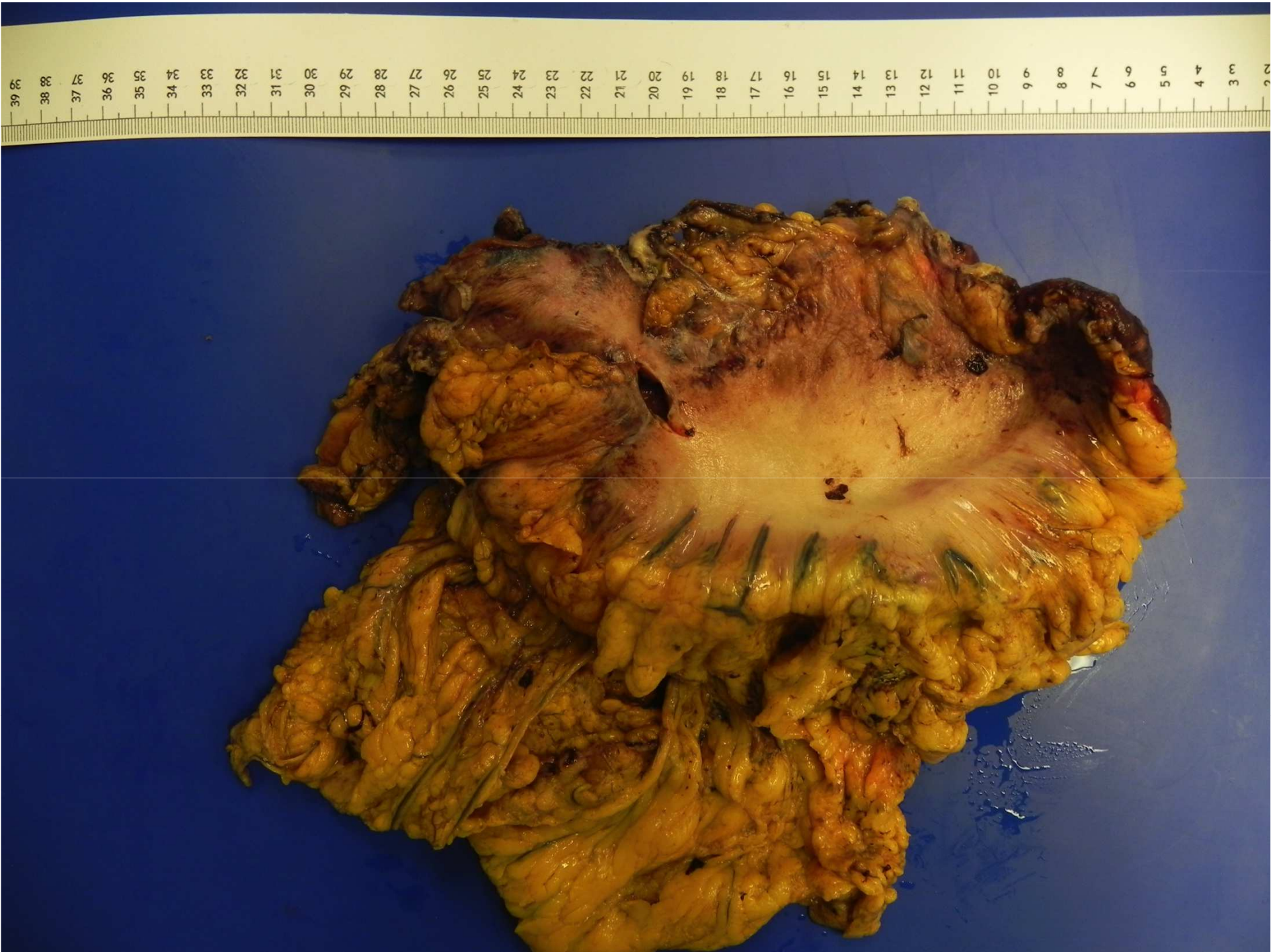
**● D3 dissections:**  
**LN stations 12-14; N3 level**

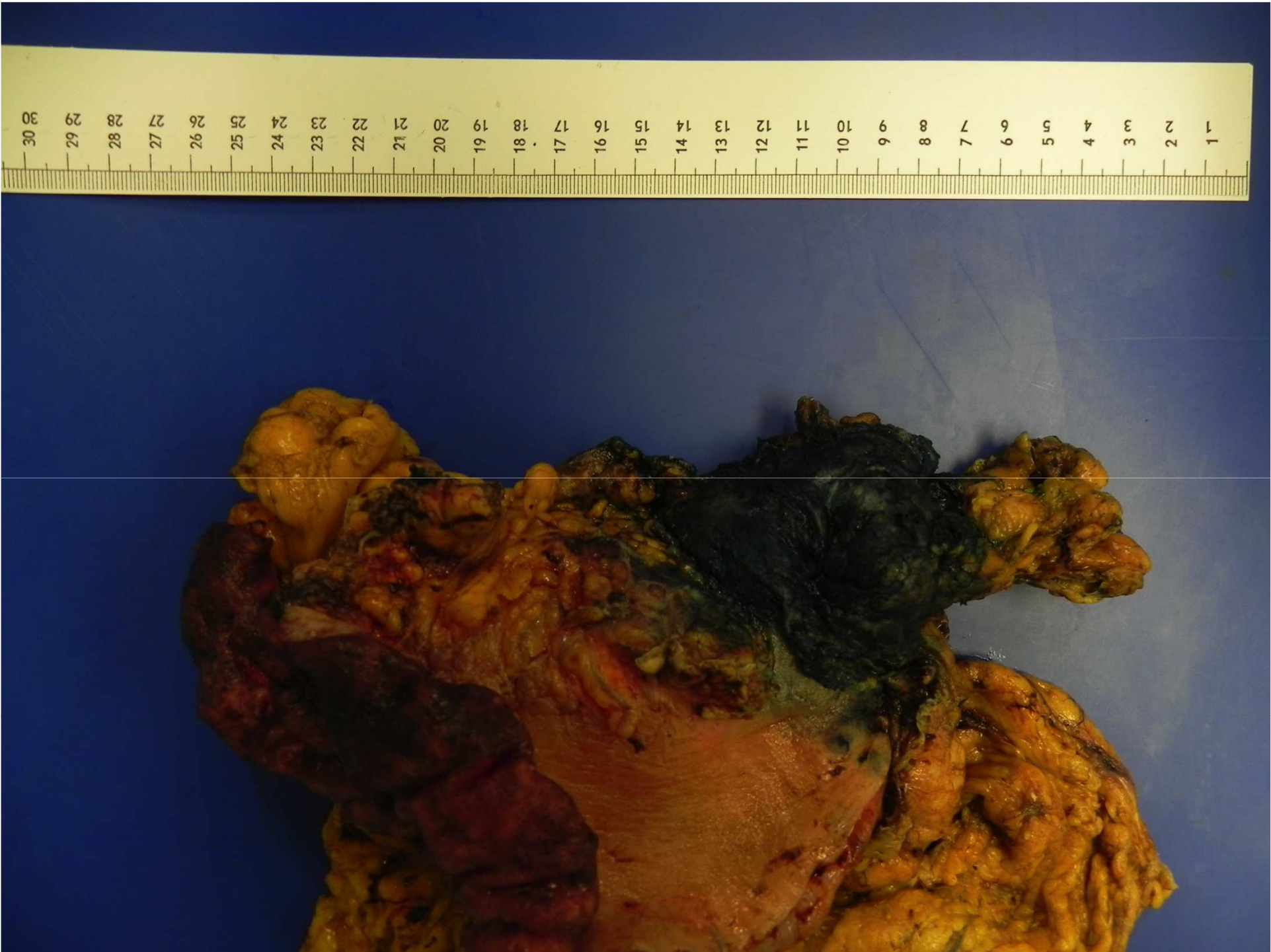
- 12 hepatoduodenal ligament
- 13 posterior surface of pancreas head
- 14 root of the mesentery/artery/vein

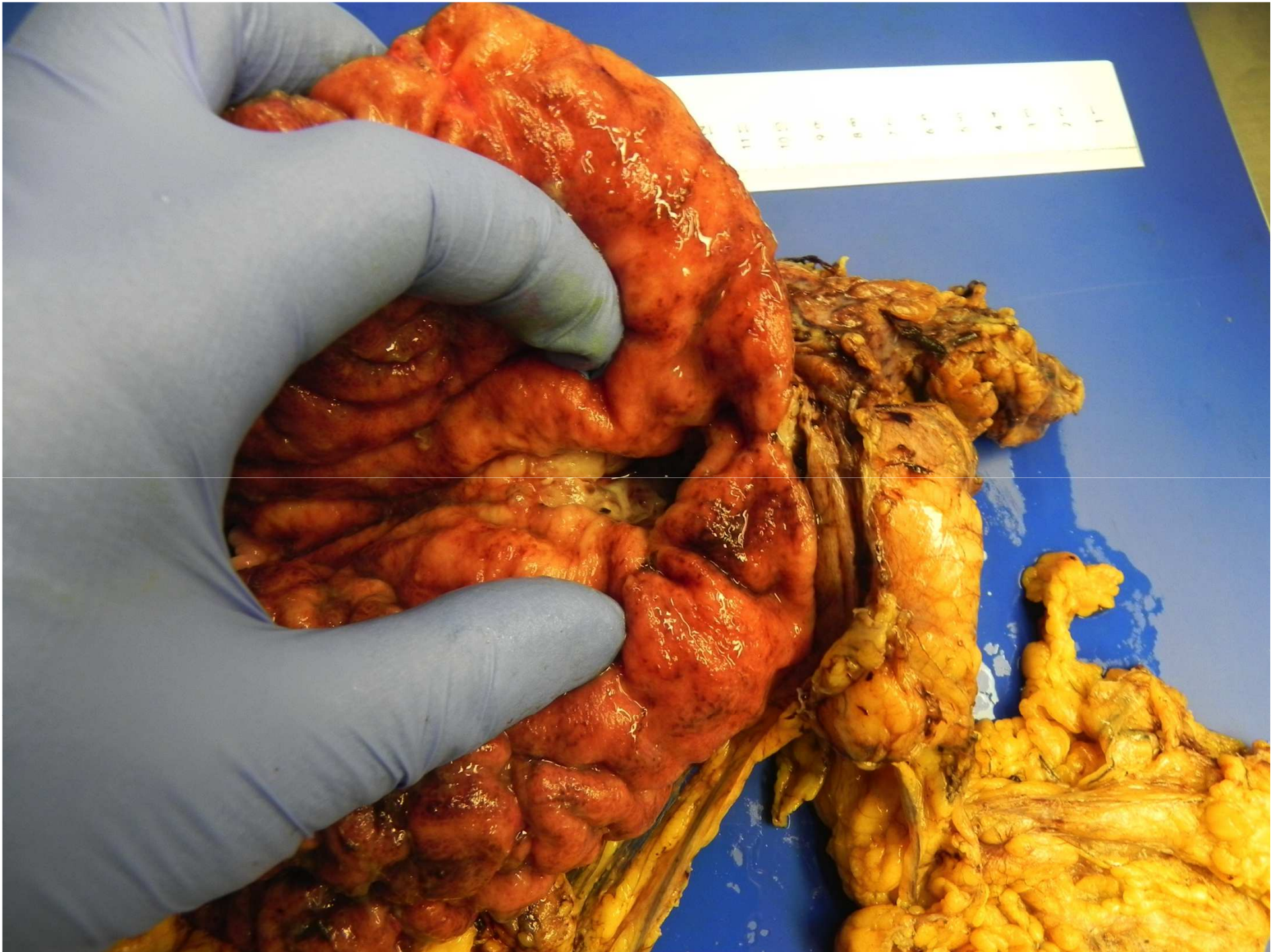
**● D4 dissections:**  
**LN stations 15-16; N4 level**

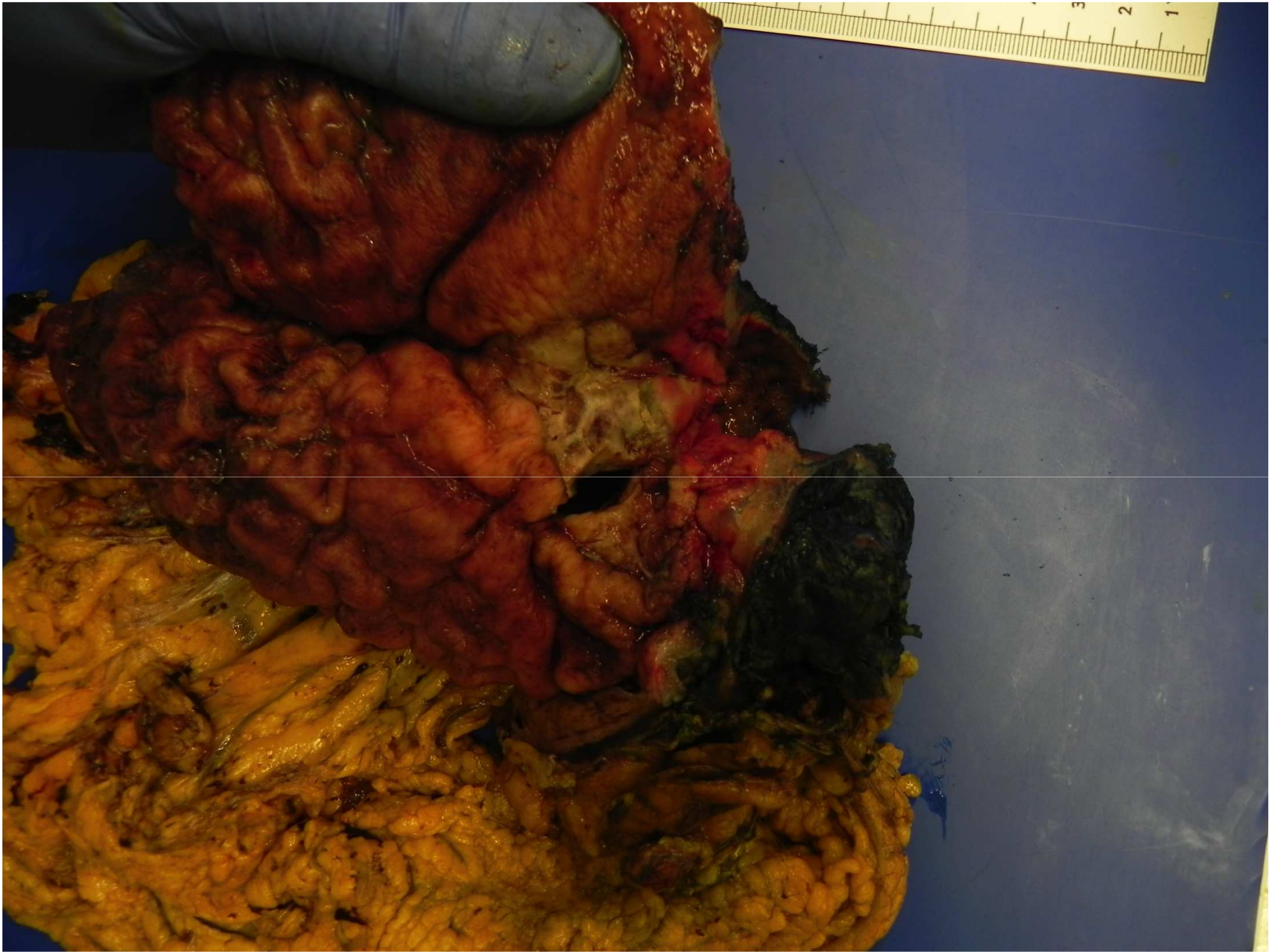
- 15 paraaortic
- 16 paracolic

From: Hong JK et al: Standardization of the extent of lymphadenectomy for gastric cancer: impact on survival. *Advances in Surgery*, Vol. 35, 2001 pp 203-223; S3-Leitlinie Magenkarzinom; Springer Science, Business Media ; Siewert et al *Praxis der Viszeralchirurgie. Onkologische Chirurgie – 3.Auflage*2010(541): Abb.40.12.



















# Summary

- 1) two main types: intestinal and diffuse adenocarcinoma (according to Lauren)
- 2) some advances in molecular subtyping (MSI and EBV related: checkpoint inhibition?)
- 3) >15 regional lymph nodes (D1 and D2)
- 4) regression scores after neoadjuvant treatment

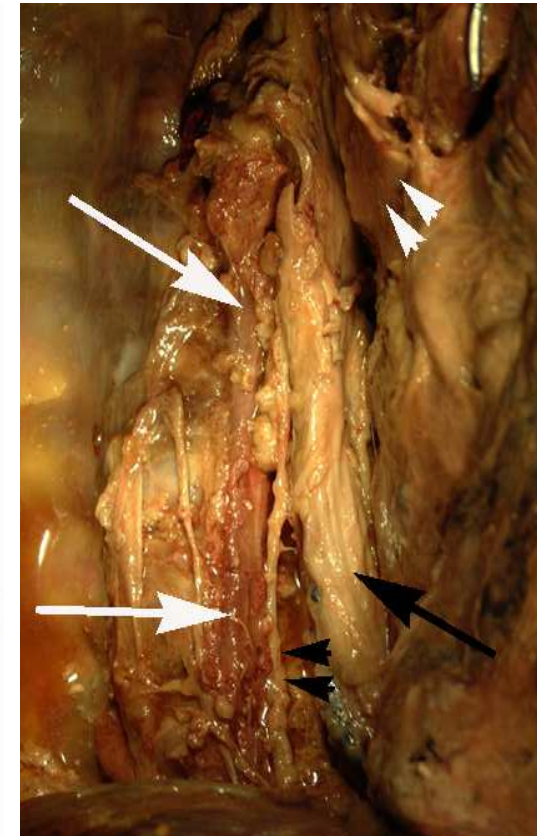
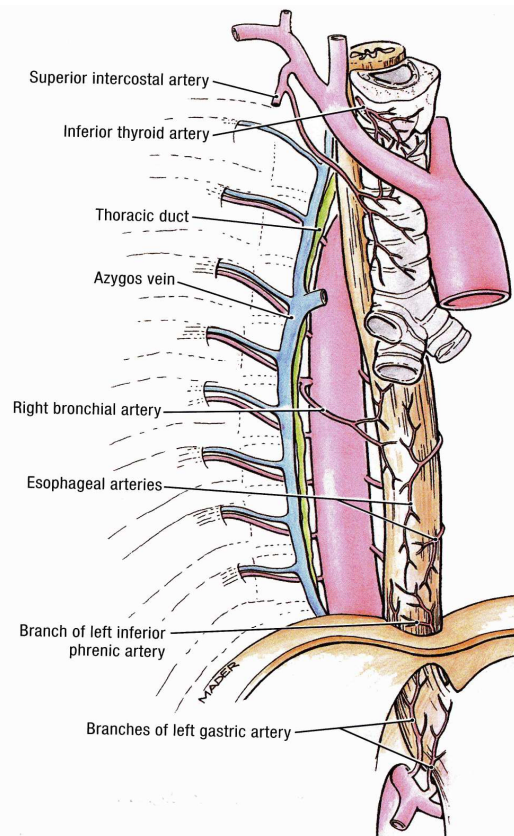
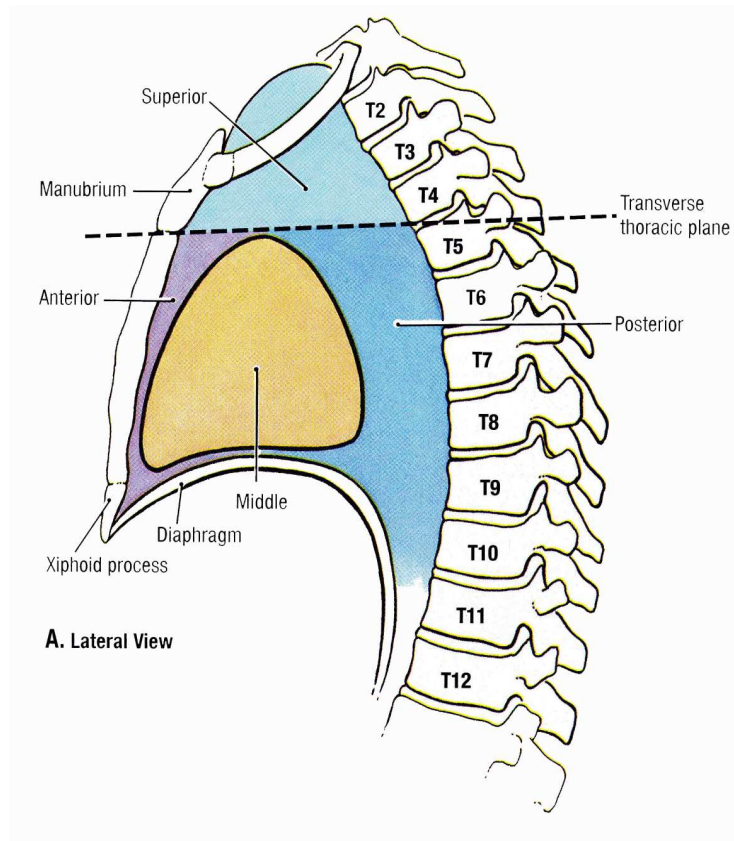


# Imaging of primary and nodal subsite boundaries in Esophageal Cancer

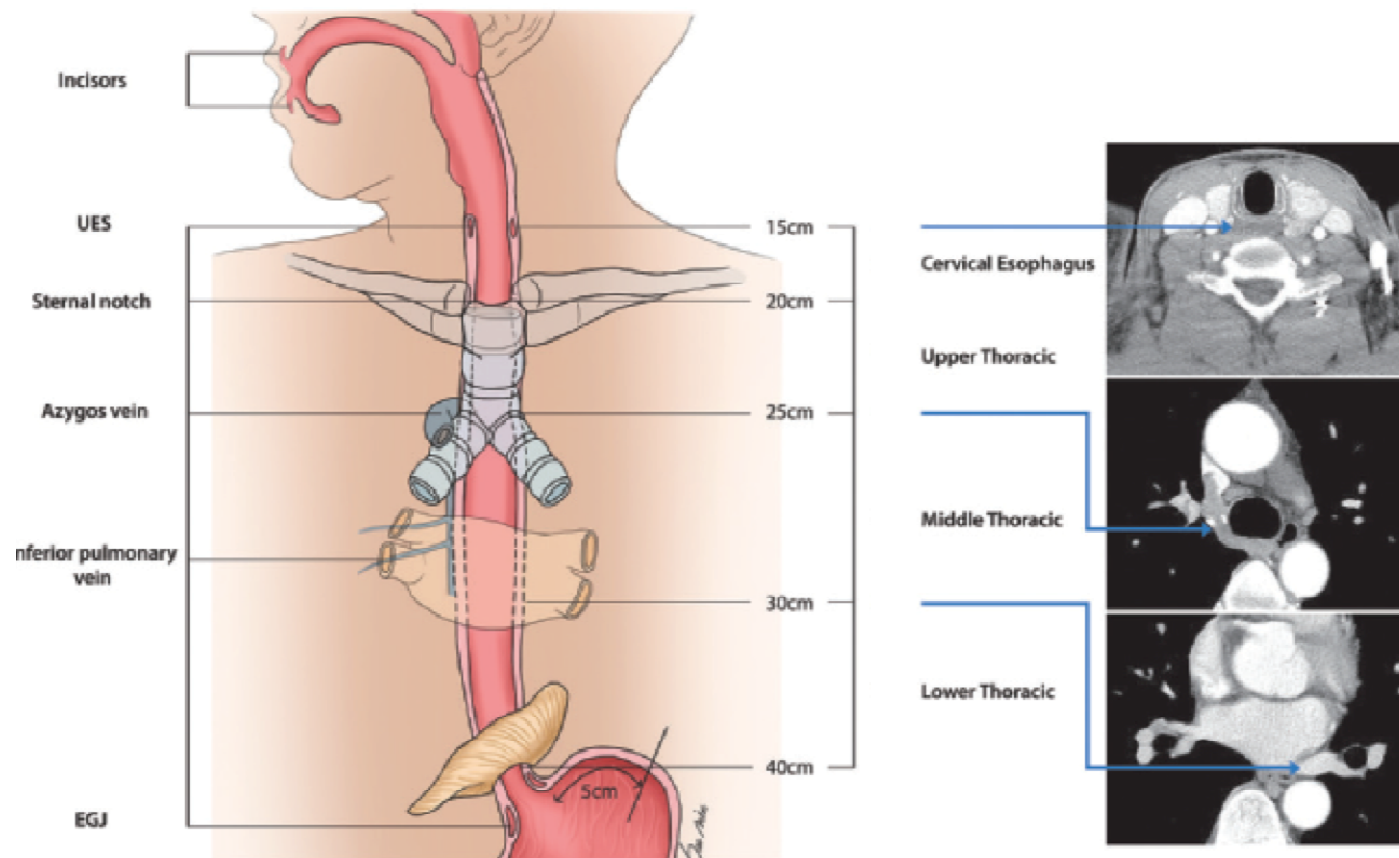
Dr Angela M Riddell

Royal Marsden, London. UK

# Anatomy

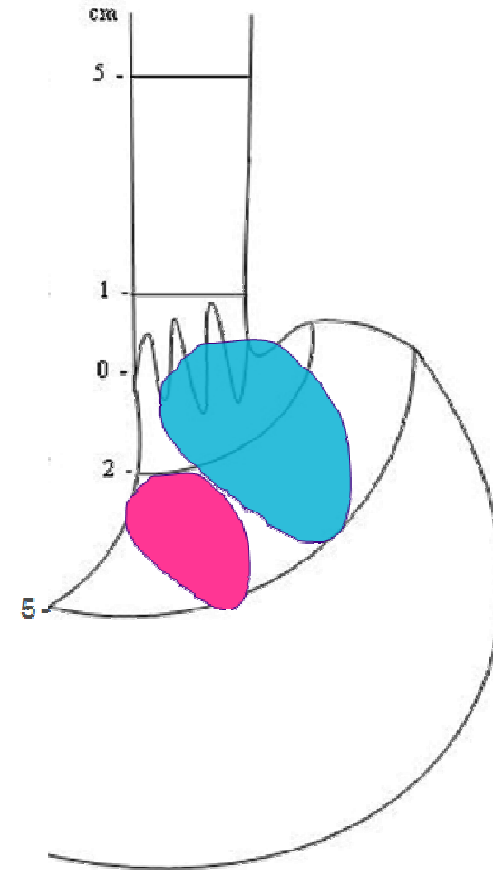


# Anatomy: Oesophagus



# Anatomy: Gastro-oesophageal junction (GOJ)

- Tumours arising at the gastro-oesophageal junction, or arising in the stomach  $\leq 5$  cm from the GOJ and also extending into the oesophagus are classified and staged as **oesophageal** cancers <sup>1</sup>
- All other tumours with an epicentre in the stomach greater than 5 cm from the gastro-oesophageal junction or those within 5 cm of the GOJ but without extension into the oesophagus are staged as **gastric** cancers <sup>1</sup>
- **Note: Definitions are new for 7<sup>th</sup> Edition**





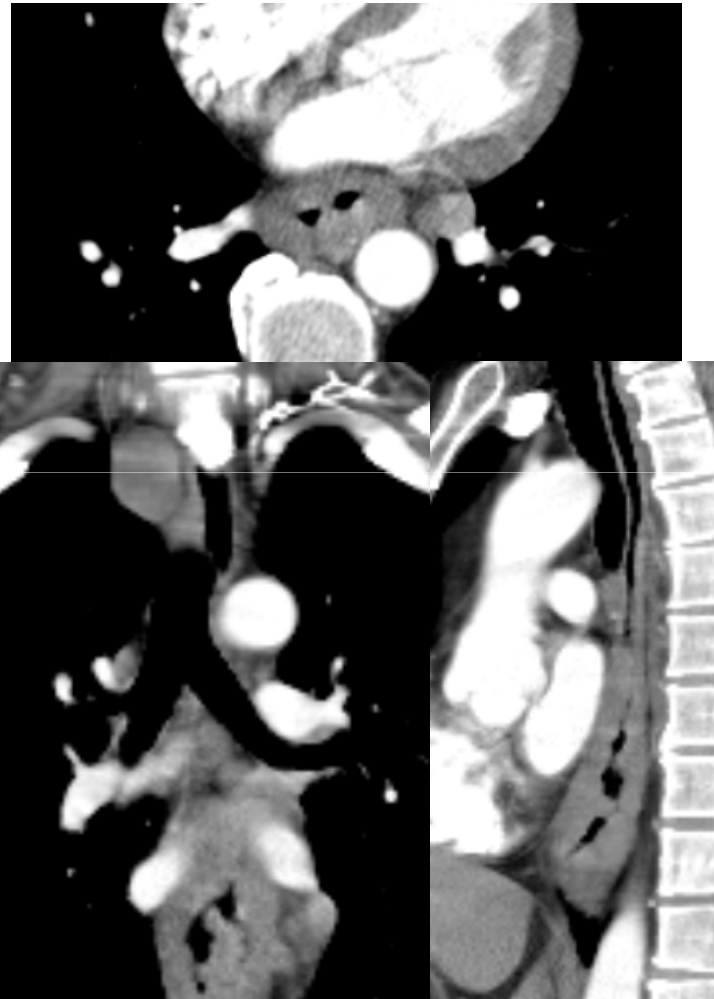
## Imaging the primary



Double contrast barium swallow

- tumour length & location

## Imaging the primary



### Double contrast barium swallow

- tumour length & location

### MDCT

- relationship to surrounding structures

# MDCT Technique

Oral contrast – 500mls

+/- carbon dioxide granules

+/- hyoscine butylbromide (Buscopan)

100mls water sol IV contrast 3mls/sec,  
hepatic parenchymal phase

Chest & abdomen (pelvis)



# Staging the primary: Hydro-MDCT

Patient preparation

Oral contrast material

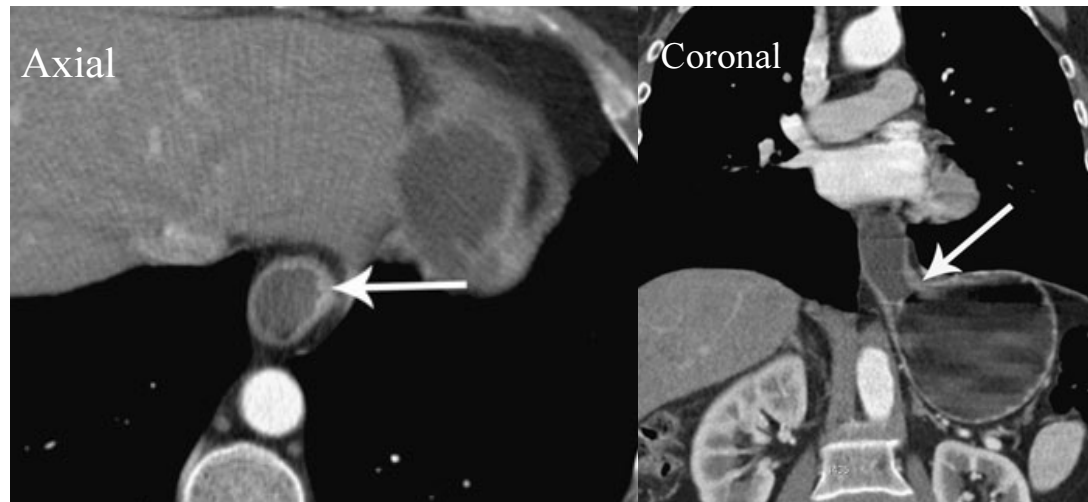
Hypotonia

Patient position

Fasting

1,000–1,500 mL of water was administered slowly within 1 hour and two 3 g packets of gas-producing effervescent granules (Duplostrast, Gerot, Vienna Austria) were given immediately prior to the scanning  
20 mg of intravenous scopolamine

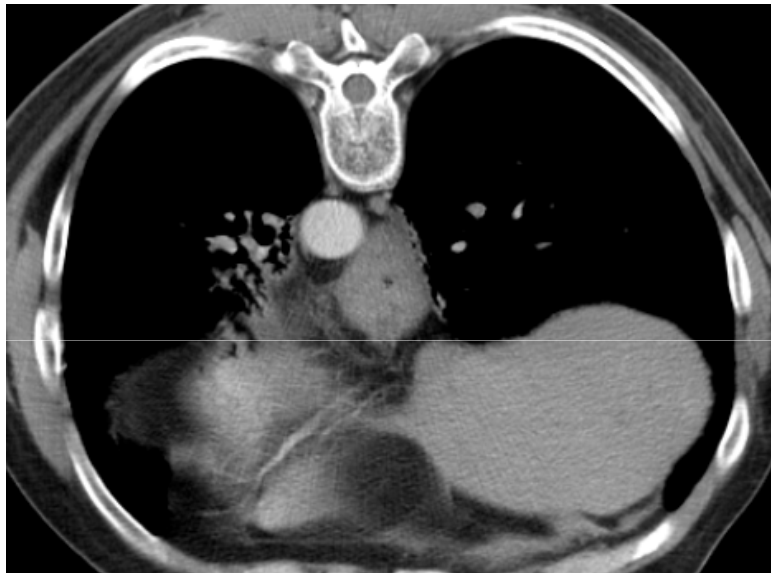
Prone



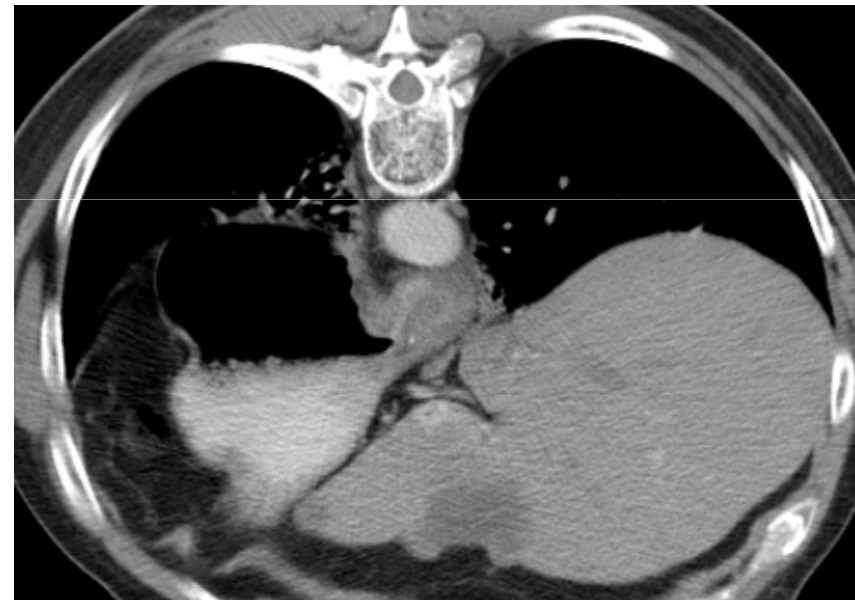
T1 tumour  
correctly staged

Overall T staging  
accuracy 76.3%

# Prone imaging



Contact versus invasion of aorta



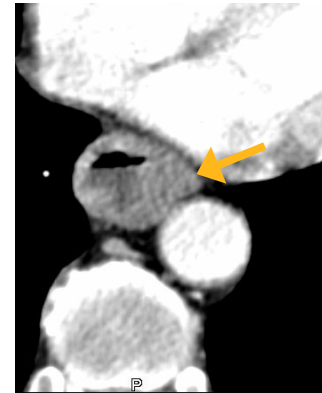
# Staging the primary

## Initial Staging

- **T stage** - based on wall thickness and outline
- Limited soft tissue contrast
- Poor for early tumours

T Stage	Wall thickness	Wall Contour
T2	>3mm, <5mm	Smooth
T3	5-15mm	Irregular
T4	>15mm	Contact with adjacent structure

T Staging Accuracy - 74%\*



pT2



pT3

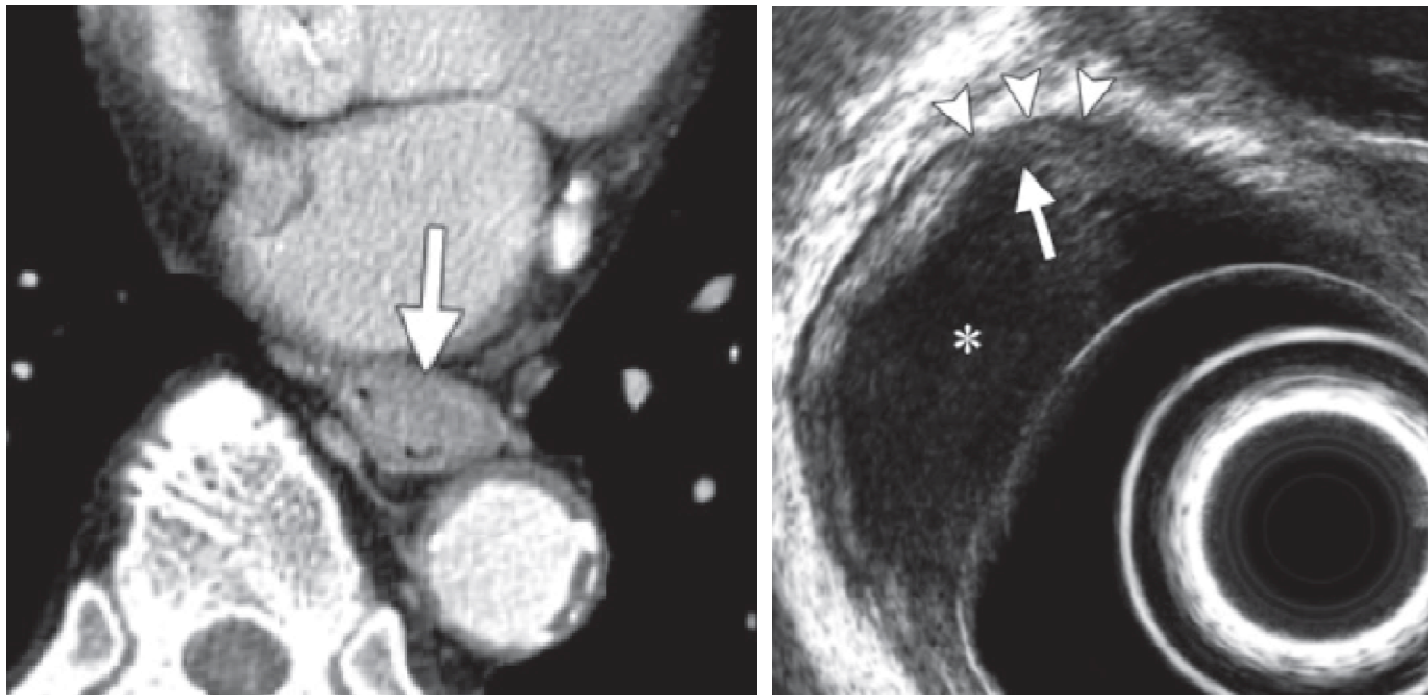


pT4

\* Davies, A. R., D. A. Deans, et al. (2006). Dis Esophagus 19(6): 496-503

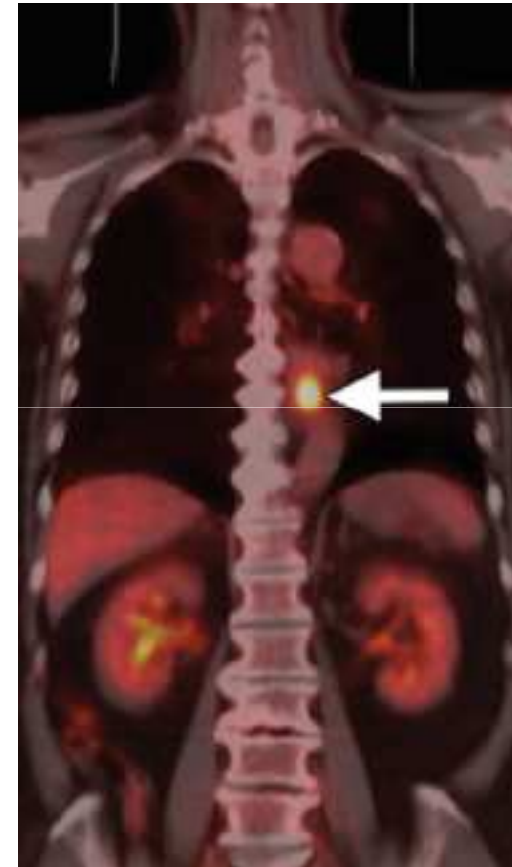
# Imaging the primary

- Endoscopic Ultrasound (EUS) delineates the layers of the oesophageal wall



# Imaging the primary – PET-CT

- **78-95% sensitivity** for detecting primary tumour
- False positive due to oesophagitis & GORD
- T staging limited
- Provides information for tumour delineation
- Controversy remains over optimum segmentation method for determining target volume





# Imaging the primary – PET-CT

## Utility for Radiotherapy planning

### Systematic review\*:

- 3/50 studies demonstrated positive correlation of PET-CT length with path
- 1/50 showed improved inter & intra observer variability
- No studies demonstrated improved locoregional control



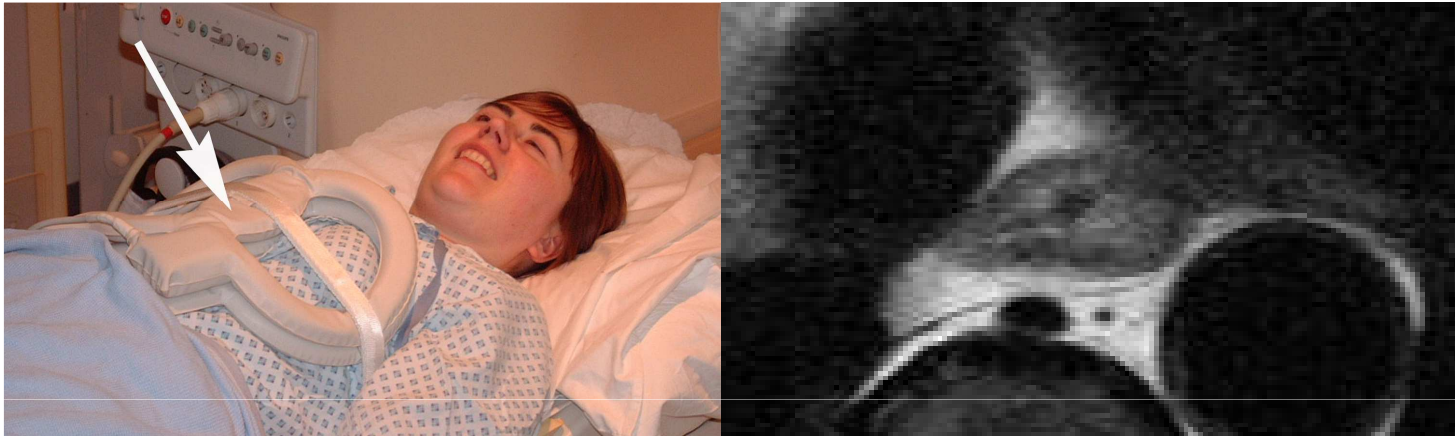
\*Muijs CT, Beukema JC, Pruim J 2010. Radiother Oncol. Nov;97(2):165-71

# Imaging the primary: High Resolution MRI

- Advances in surface coil technology & fast imaging techniques
- Improved signal to noise
- Small field of view
- Thin slice imaging
- High Resolution Images = Voxel size 1-2mm<sup>3</sup>
- Enables demonstration of the esophageal wall layers, allowing for local staging.

# MRI Technique

## External Surface coil MRI



### Patient preparation

Starve for 2 hours

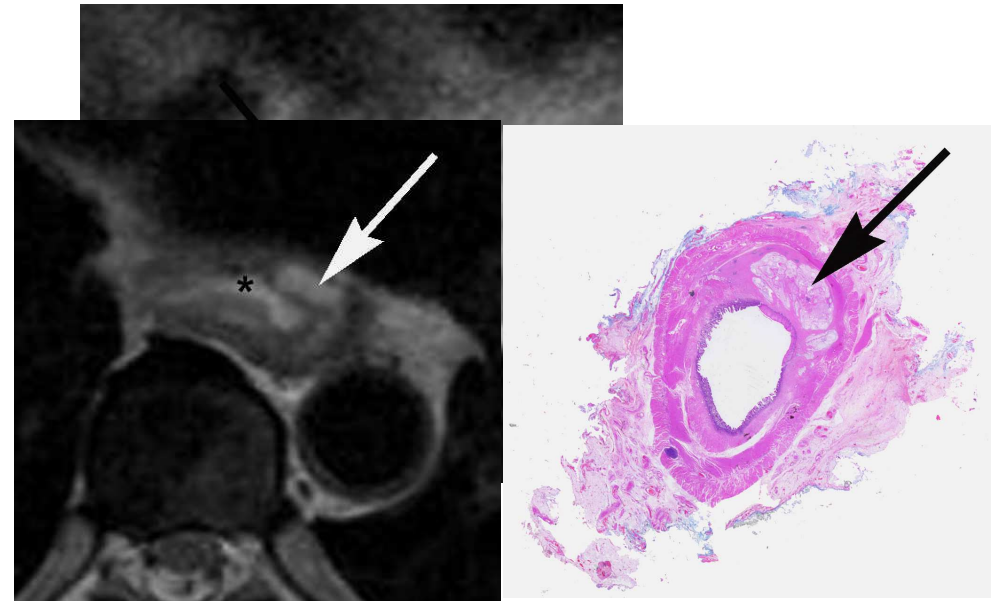
Antispasmodic

400mls water prior to scan

No requirement for IV contrast

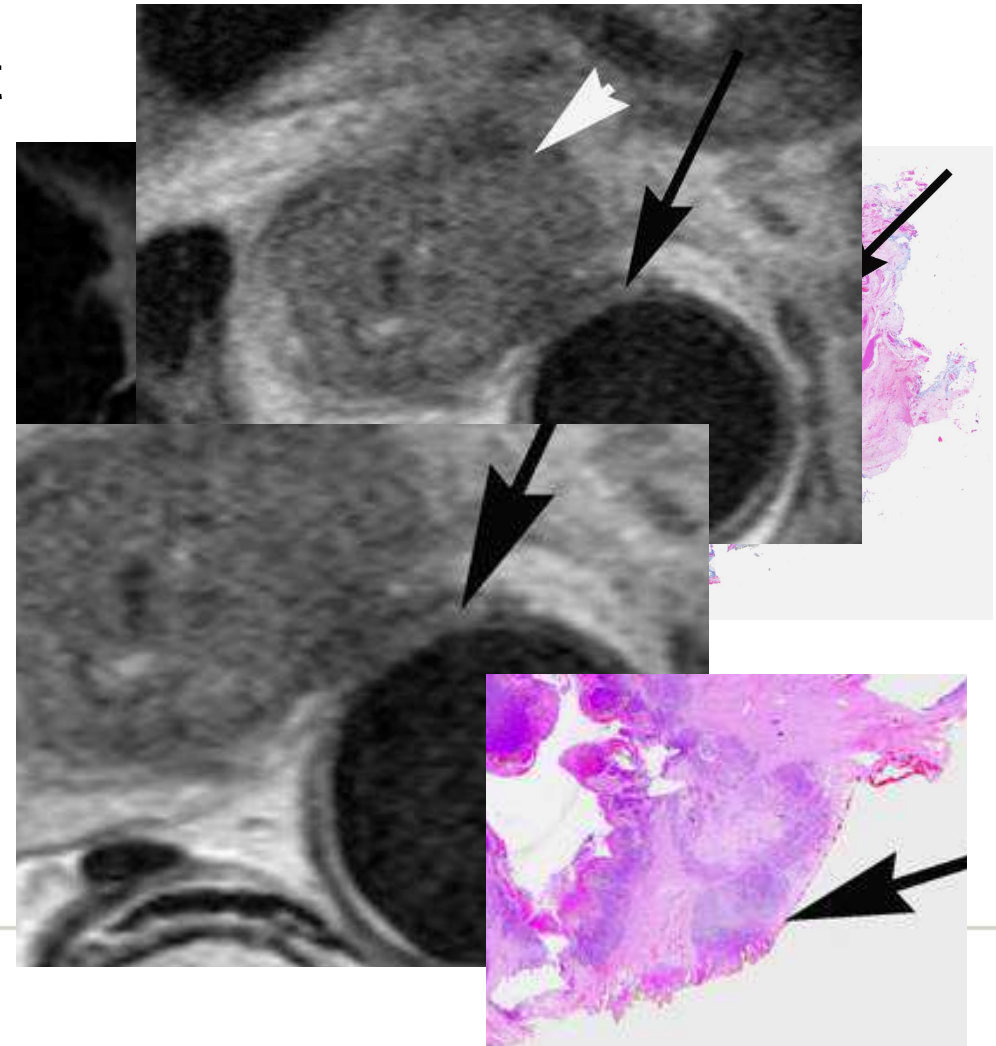
# Potential advantages of MRI over MDCT

- Superior soft tissue contrast
  - Local staging
  - Tumour characterisation



# Potential advantages of MRI over MDCT

- Superior soft tissue contrast
  - Local staging
  - Tumour characterisation
- Improved assessment of the circumferential resection margin (CRM)



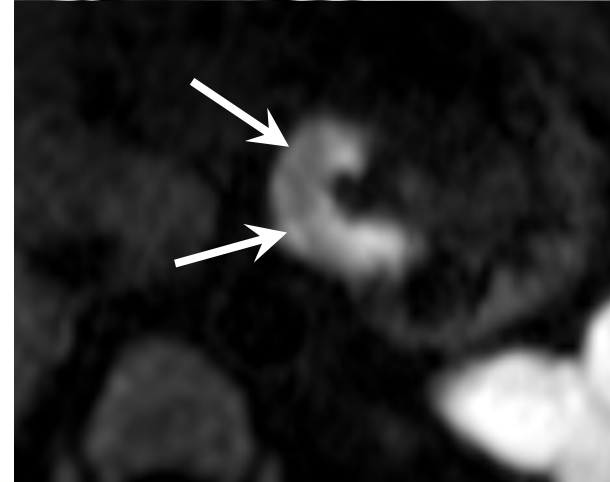
# Potential advantages of MRI over MDCT

- Superior soft tissue contrast
  - Local staging
  - Tumour characterisation
- Improved assessment of the circumferential resection margin (CRM)
- Functional Information
  - Diffusion Weighted Imaging

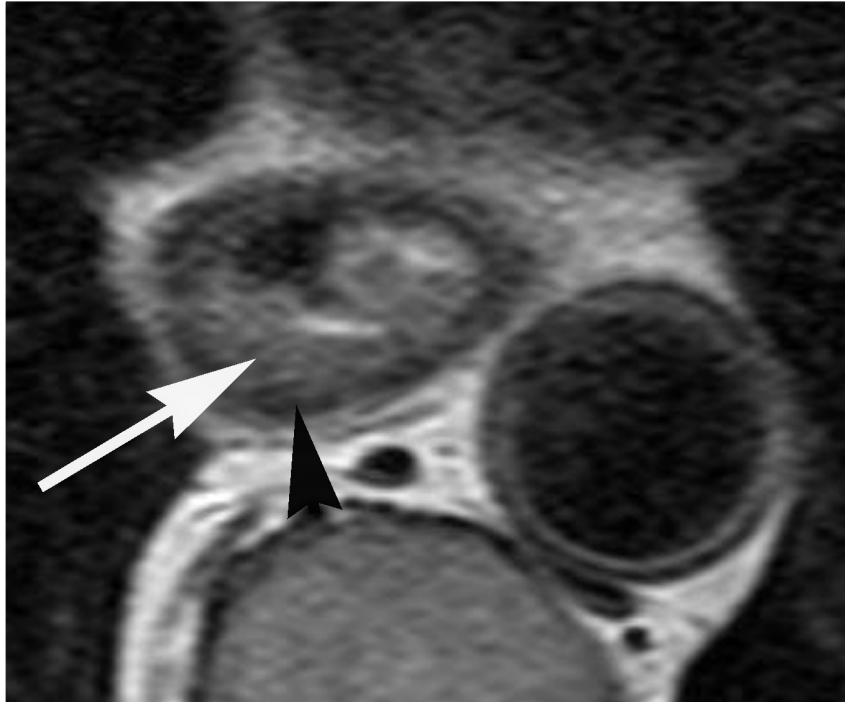
T2W



DWI



# High Resolution MRI



T2 tumour

# MRI -T Staging

- Spatial resolution of MRI insufficient to accurately stage early tumours
- Good level of agreement with histology for  $\leq T2$  vs  $\geq T3$

	Path		
<b>MRI</b>	T= 0-2	T= 3-4	
T= 0-2	26	5	31 (44.3%)
T = 3-4	5	34	39 (55.7%)
	31 (44.3%)	39 (55.7%)	70

- Kappa for MRI 0.71
- Kappa for EUS 0.57 (post chemotherapy)



# MRI - Prediction of Resectability

	Path Margin		Total
	Positive (no resection)	Negative	
MRI Positive	17(5)	5	22
Negative	9	44	53
Total	26	49	75

Correlation with Path for resected tumours:

Sensitivity 65%

Specificity 90%

PPV 77%

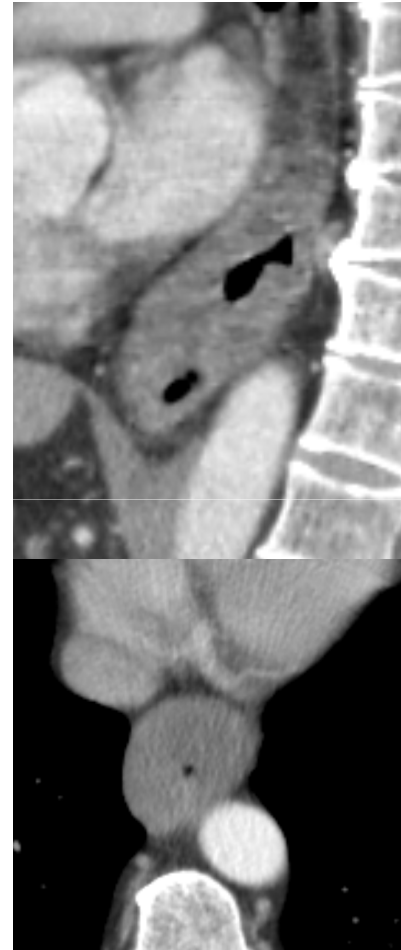
NPV 83%

Accuracy with MRI = 61/75, 81%

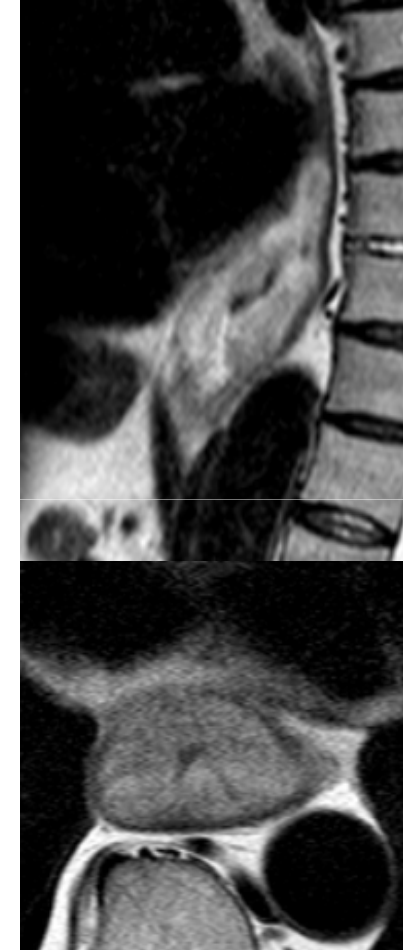
# Imaging the primary

## Tumour delineation

- Radiotherapy & Surgical planning

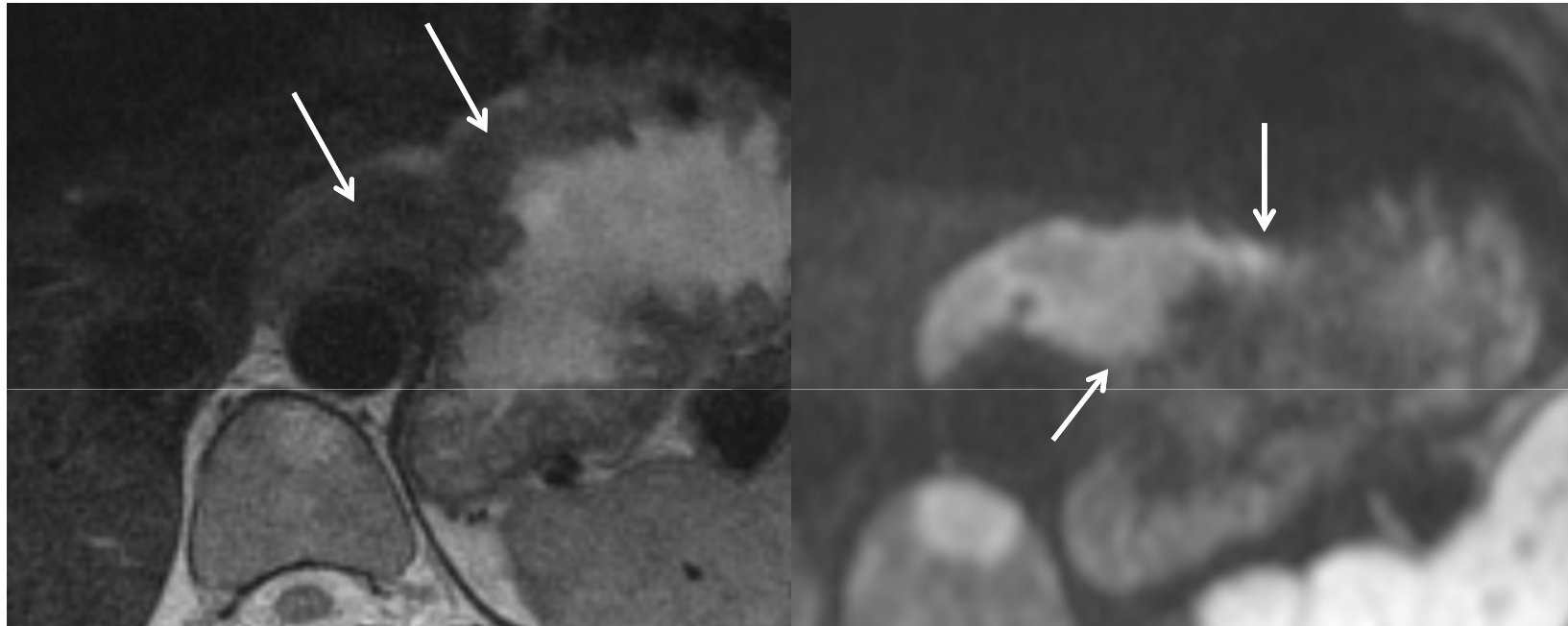


CT



T2W MRI

# Tumour delineation – DWI MRI

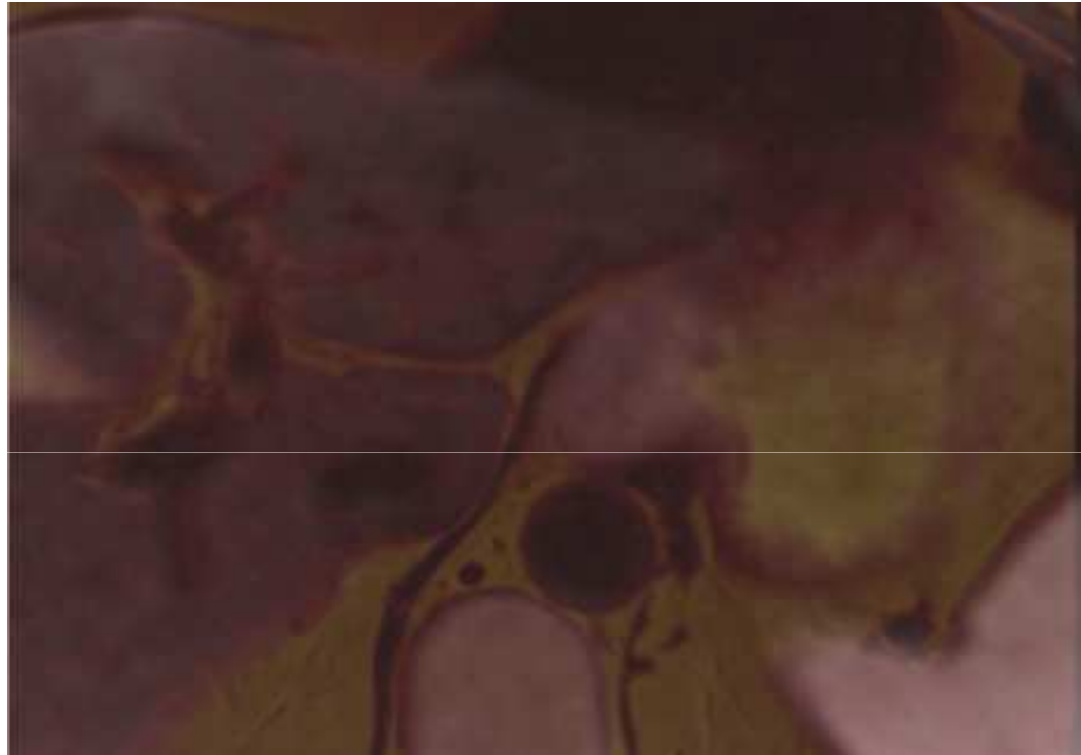


T2W

DWI, b= 500

DWI Sequence demonstrates areas of increased cellularity

# Tumour delineation – Fused MRI

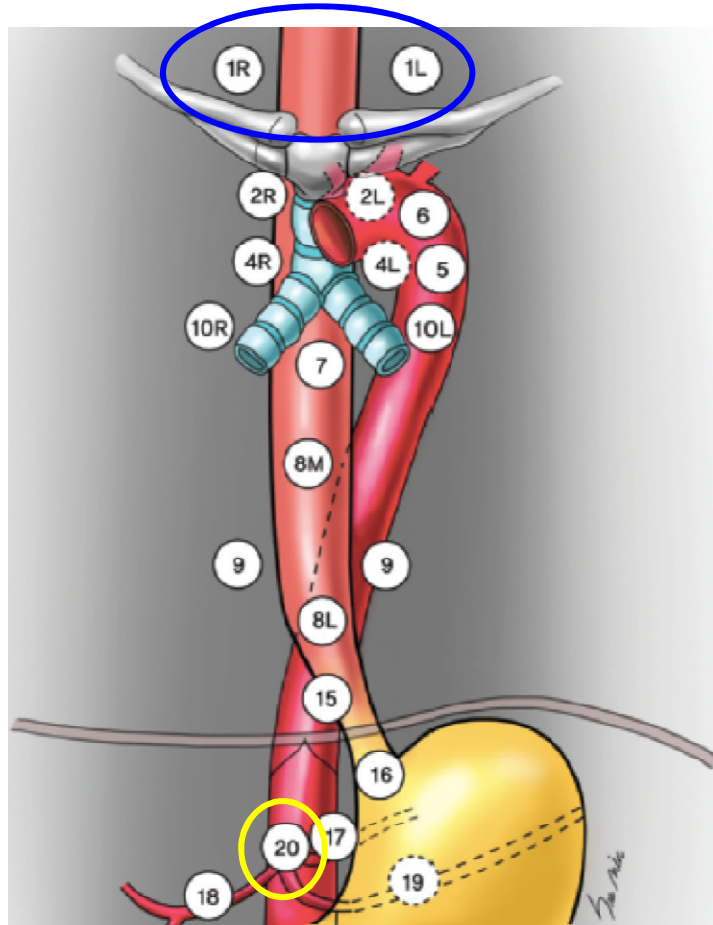


Fused T2W MRI with DWI



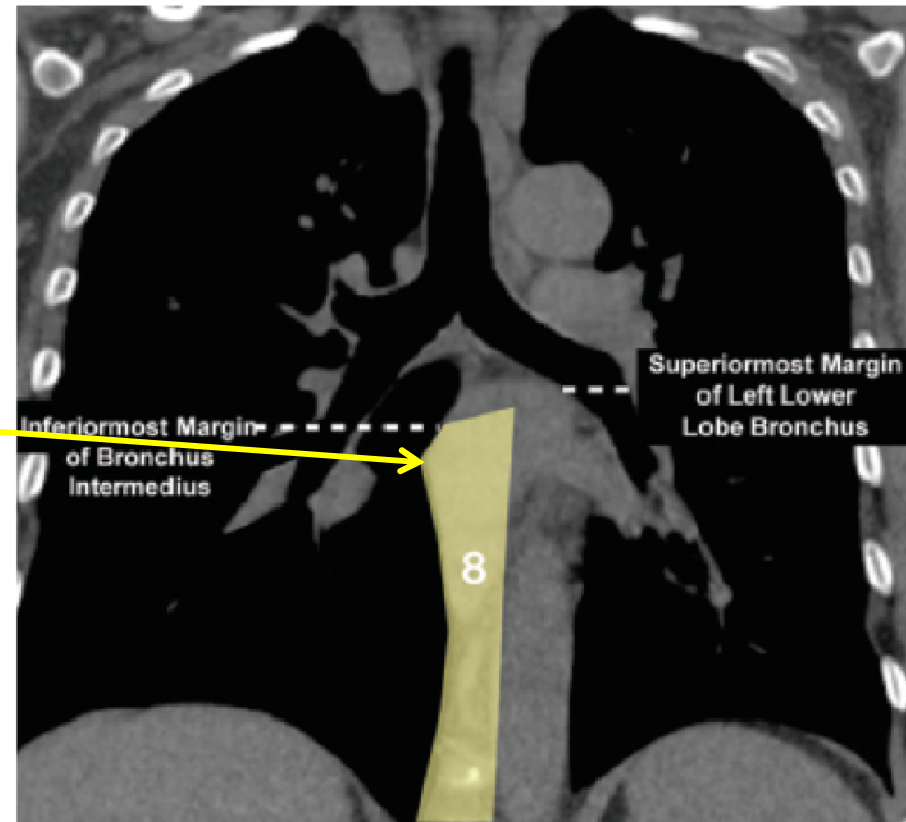
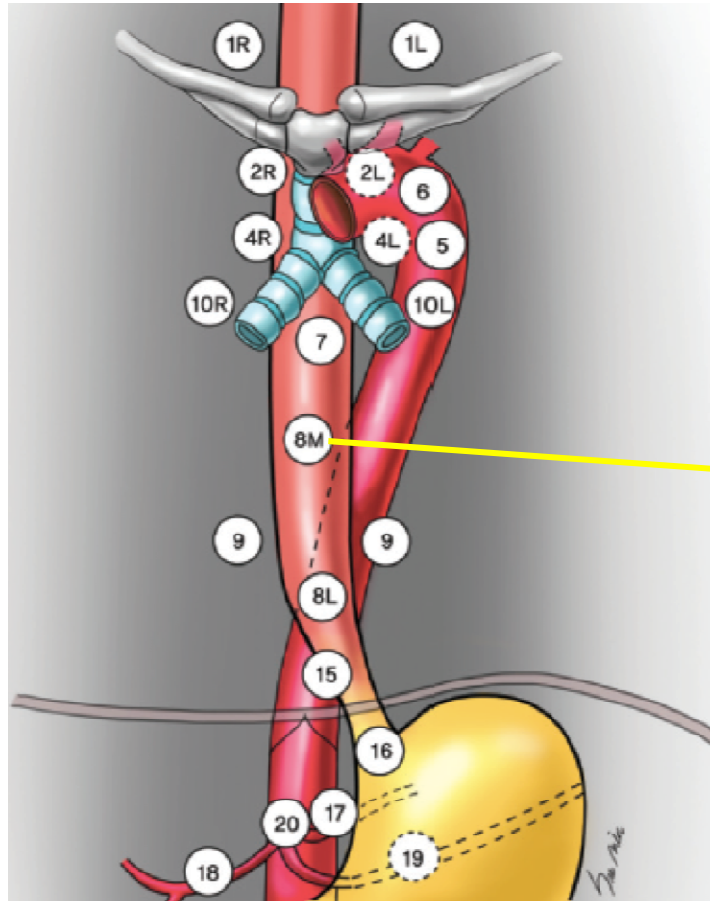
# LYMPH NODES

# Anatomy: regional nodal stations



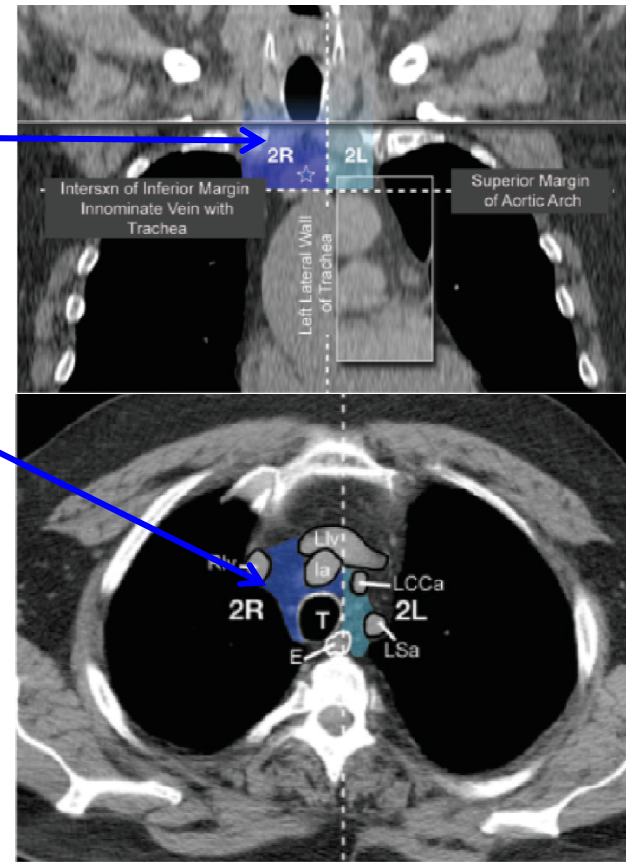
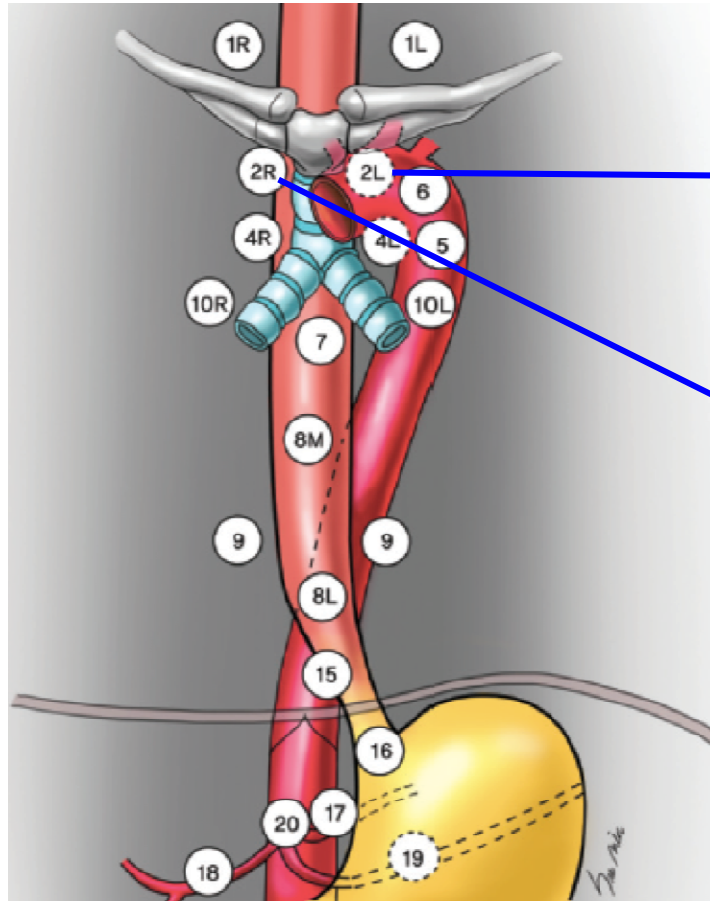
- Important prognostic factor
- Extensive submucosal network of lymphatics leads to potential early longitudinal spread to lymph nodes
- TNM7 – includes supraclavicular lymph nodes as regional nodes
- TNM7 – includes coeliac axis nodes as regional (TNM6=M1a)

# Anatomy: regional nodes



Peri-oesophageal lymph nodes – station 8

# Anatomy: regional nodes

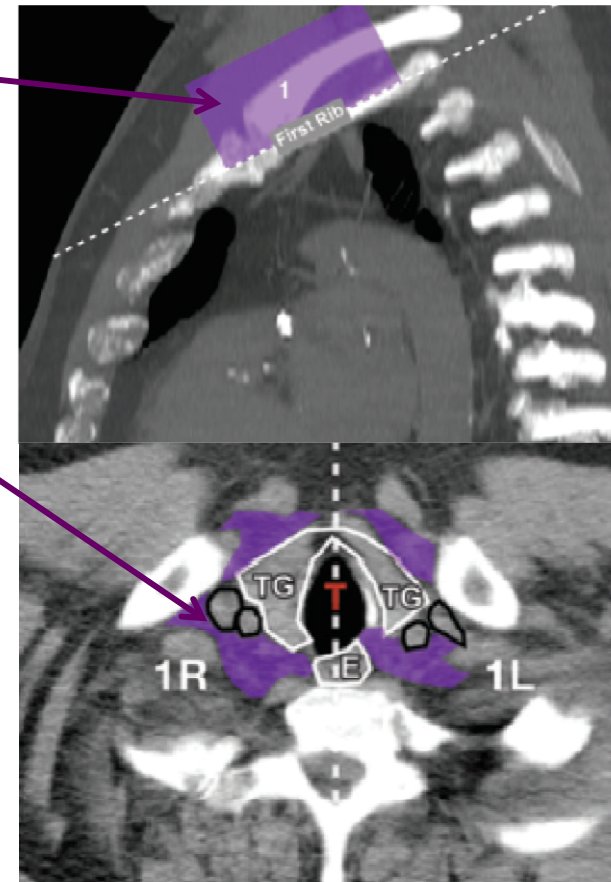
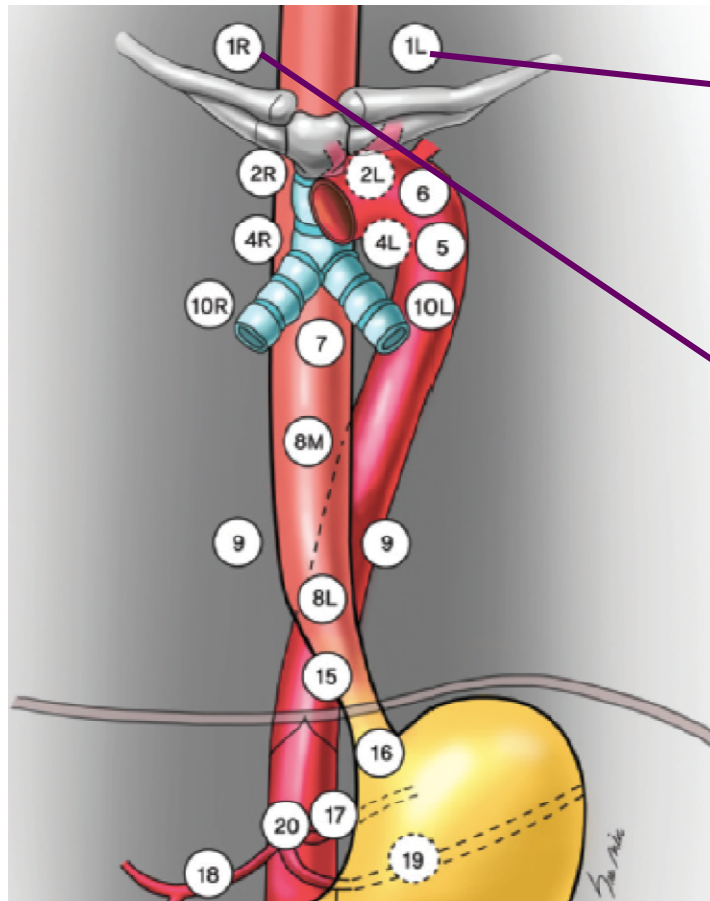


Thoracic Inlet: Level of the Brachiocephalic vein / sternoclavicular joint

Hong SJ, Kim T J, Nam KB 2014. Radiographics; 34:1722-1740  
El-Sherief, Lau C, Wu C et al. 2014 Radiographics; 34:1680-1691



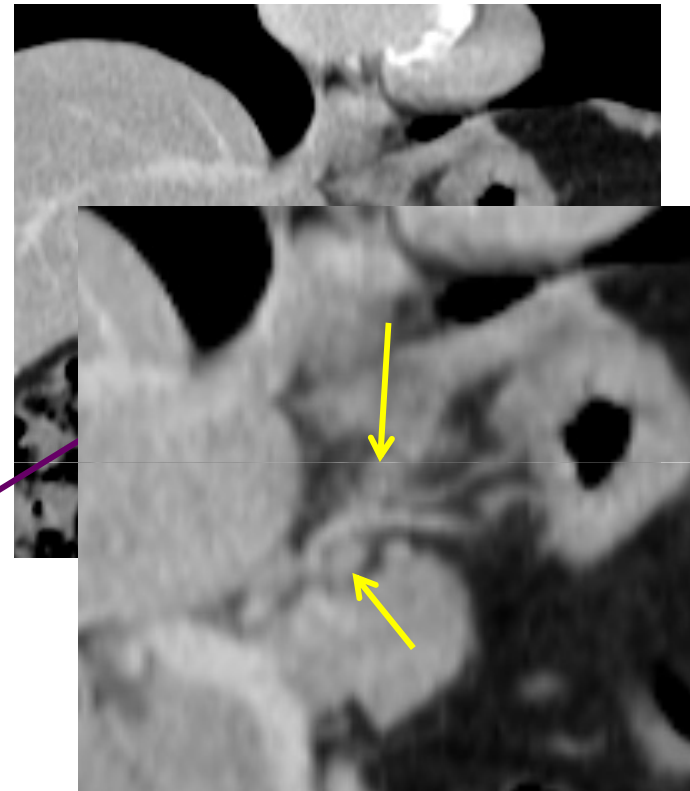
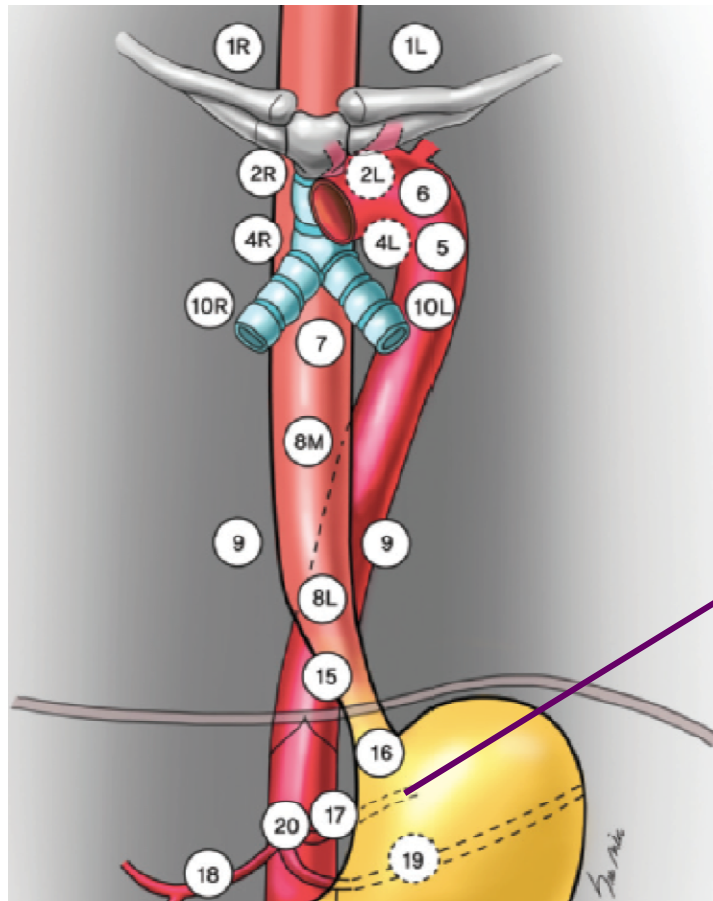
# Anatomy: regional nodes



Supraclavicular fossa: Level of the Thyroid Cartilage

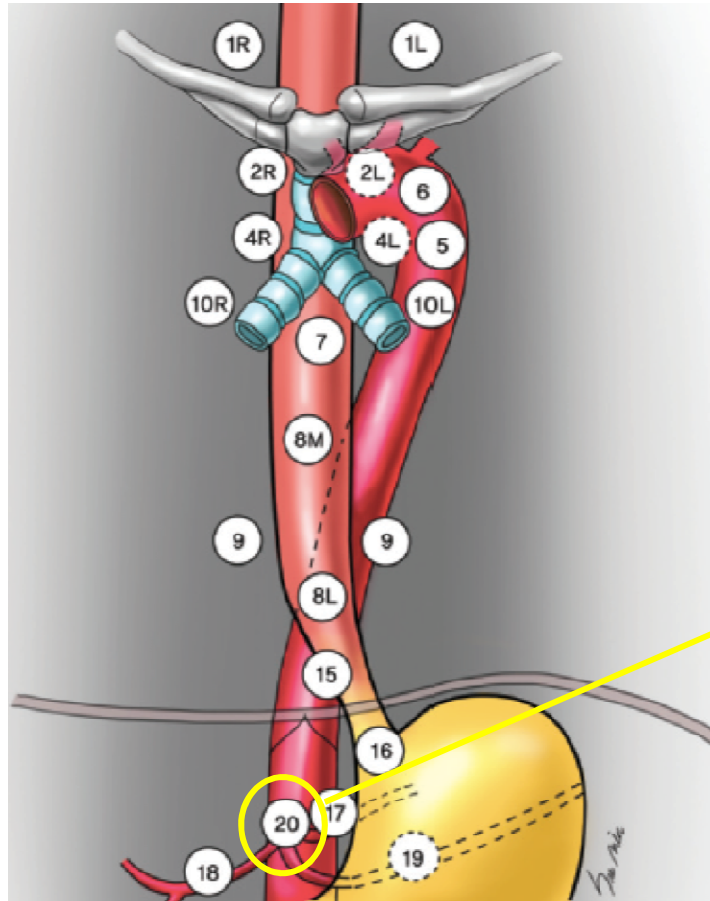
Hong SJ, Kim T J, Nam KB 2014. Radiographics; 34:1722-1740  
El-Sherief, Lau C, Wu C et al. 2014 Radiographics; 34:1680-1691

# Anatomy: regional nodes



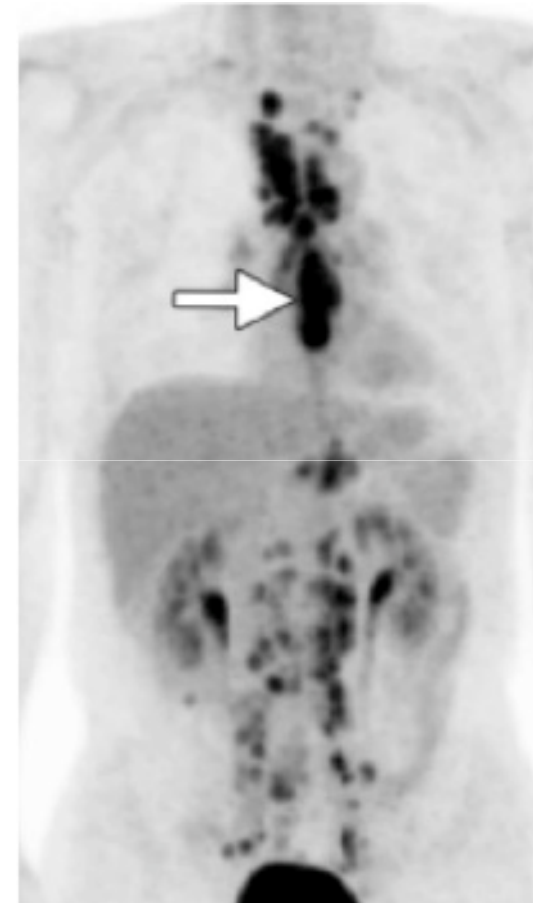
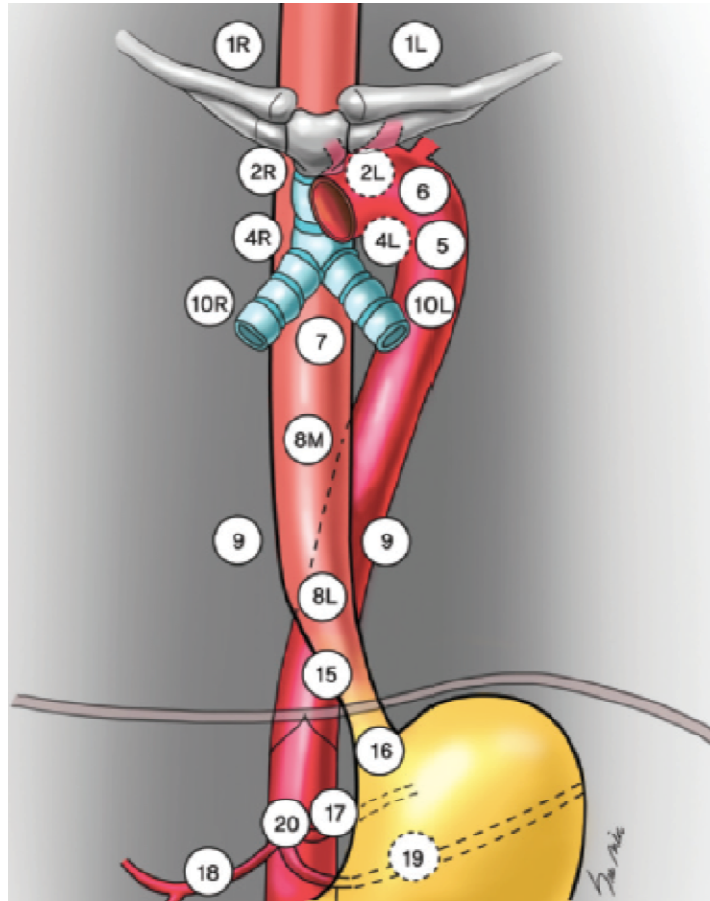
Left gastric artery node

# Anatomy: regional nodal stations



Coeliac axis lymph node

# Anatomy: regional nodal stations – PET-CT



# Regional Lymph nodes

## Determining nodal involvement

Modality	Criteria	Comment	Sensitivity	Specificity
CT	Size	Intrathoracic & intra abdominal nodes >10mm & supraclavicular nodes >5mm are considered involved	63-87%	14-43%
EUS	Morphology	Malignant nodes: round; hypoechoic. Capability for FNA	85%	85%
PET-CT	SUV	Lower sensitivity due to reduced ability to detect nodes near the primary in some instances	51%	84%

# Summary

## Identification of anatomical landmarks

- Enables accurate location of primary & involved nodal stations

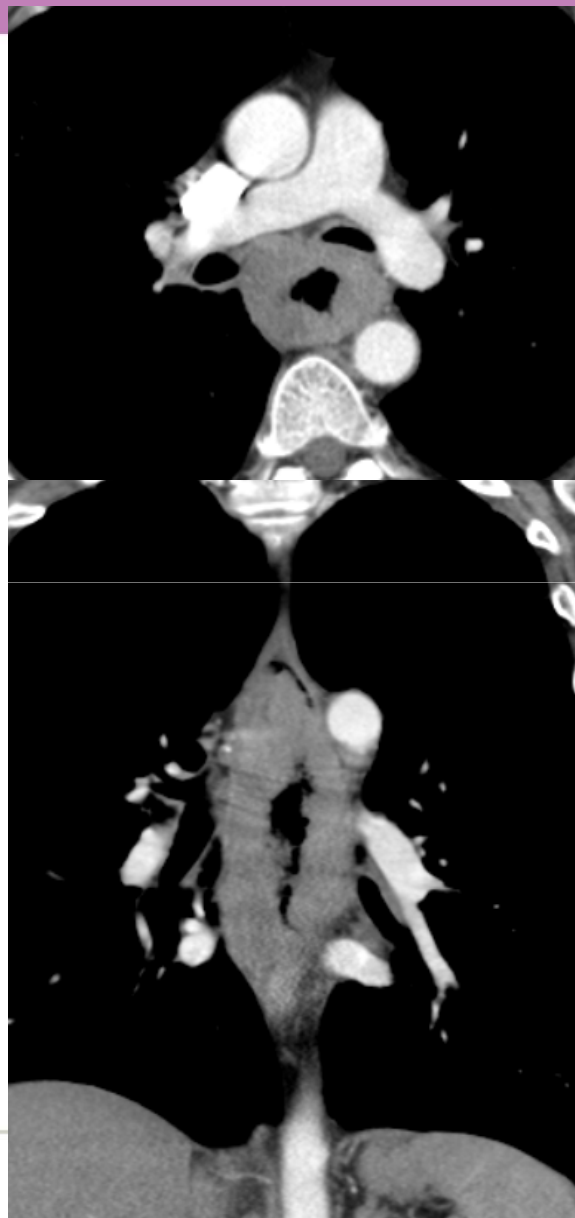
## Multimodality approach to imaging

- MDCT
- EUS & PET-CT can refine identification and staging
- MRI likely to be used increasingly in the future

# Quiz

Male patient presenting with dysphagia

# Quiz

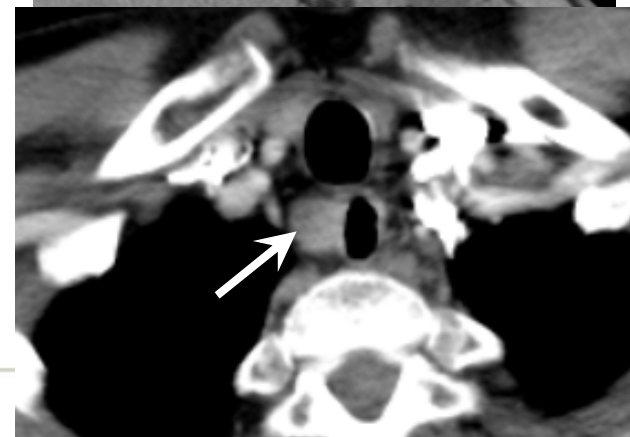
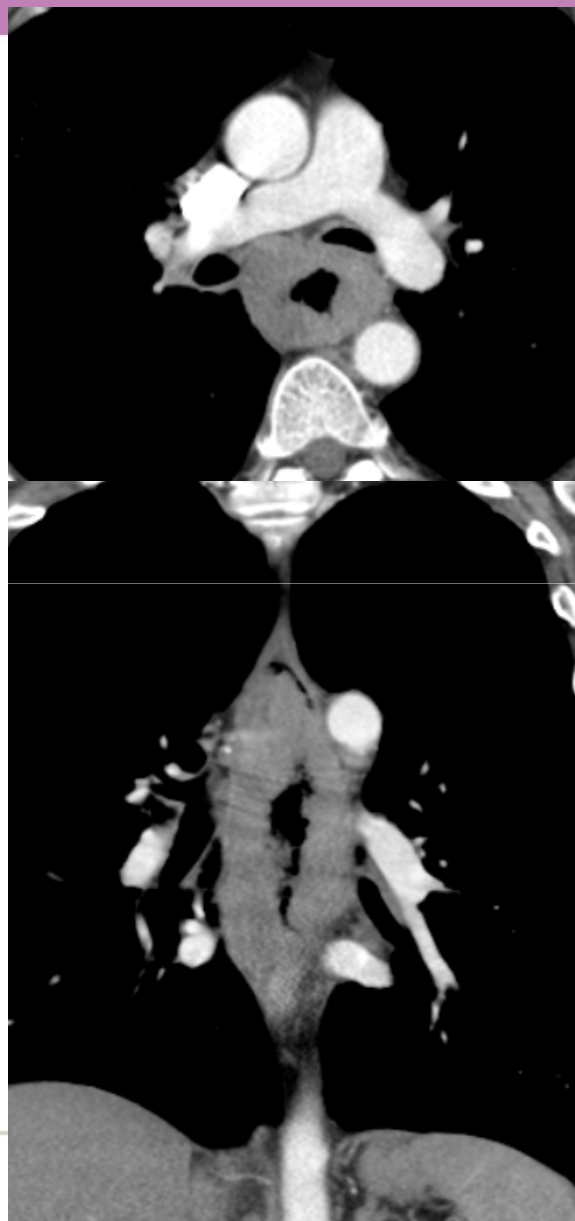




# Quiz

- Describe the location of the tumour
- Stage the tumour

# Quiz



# Quiz

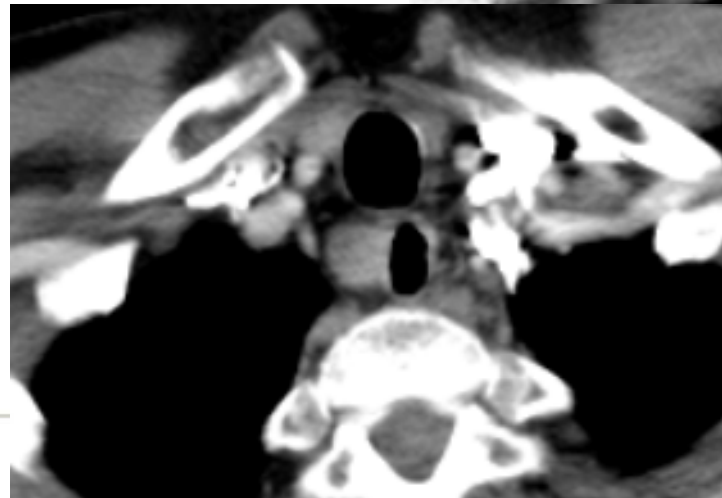
## Location

mid & lower oesophagus

## Tumour Stage

Bulky T3 N1

Node at station 1





Thank You

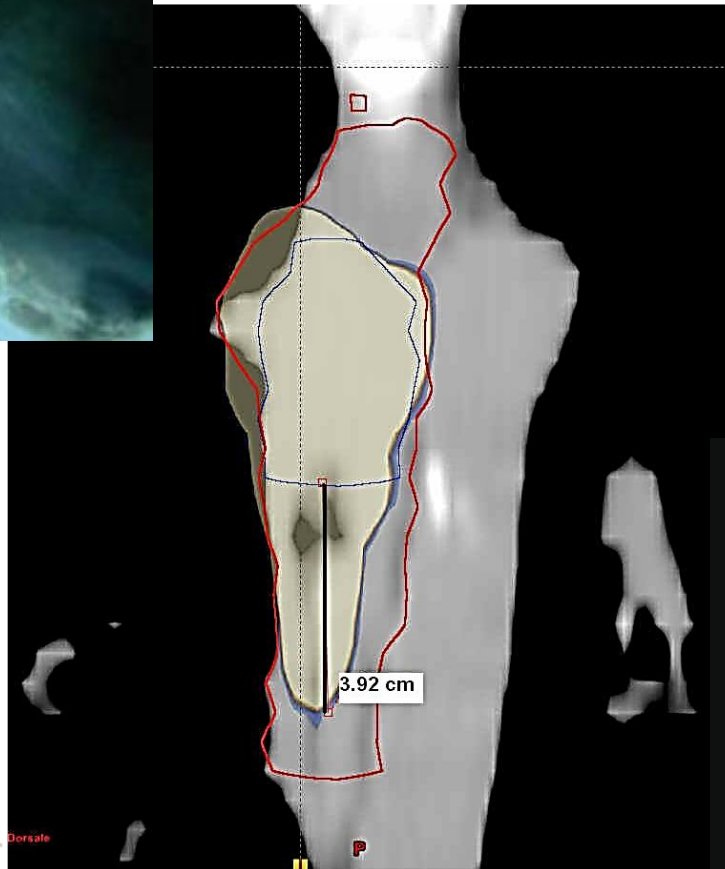
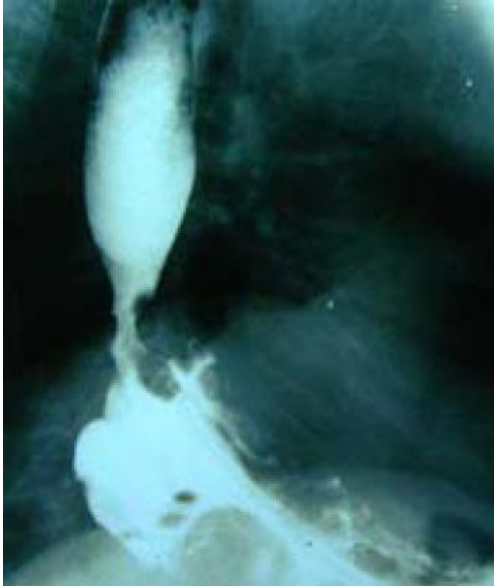
# Recommendation for sub-site delineation by stage and tumor position

Prof. Philippe MAINGON

Radiation Oncology Department, CGFL, Dijon

Radiation Oncology Department, GHU La Pitié Salpêtrière Charles  
Foix, Paris

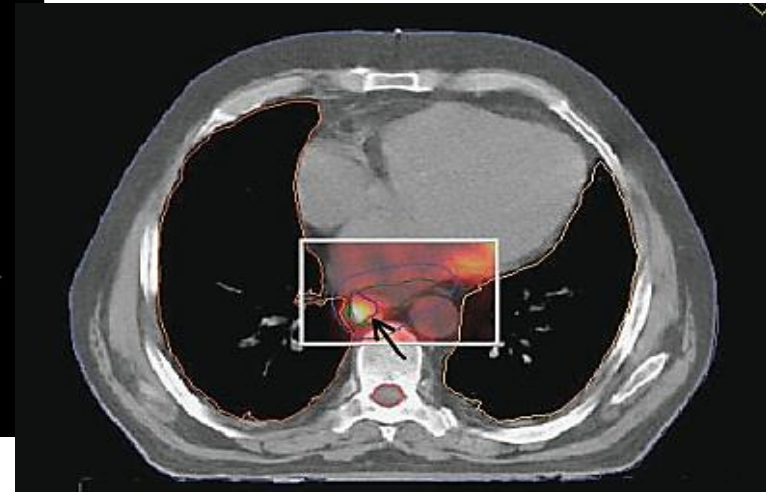
# GTV



30% endoscopy not feasible

## CRITERIAS:

- N+ scan >6.5mm,
- EUS+
- PET+



# Resection versus PET scan

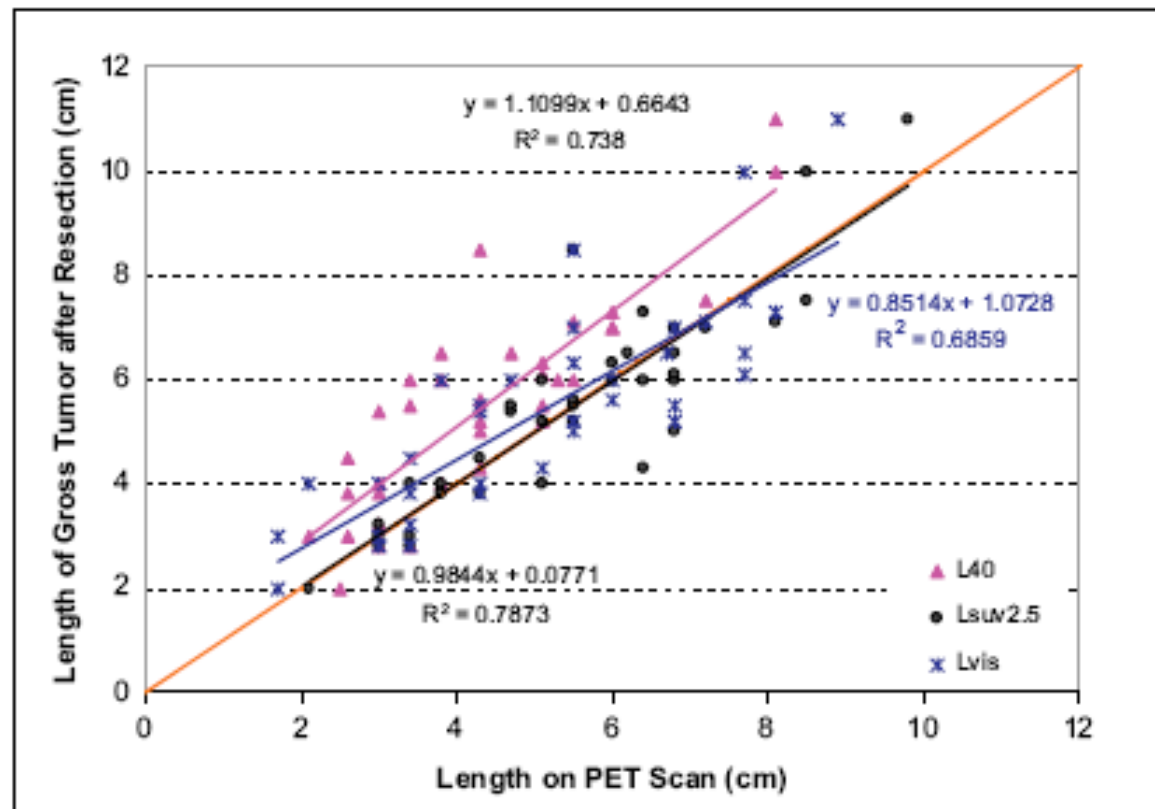


Fig. 2. Image-pathology correlations.

# Integrated PET - CT

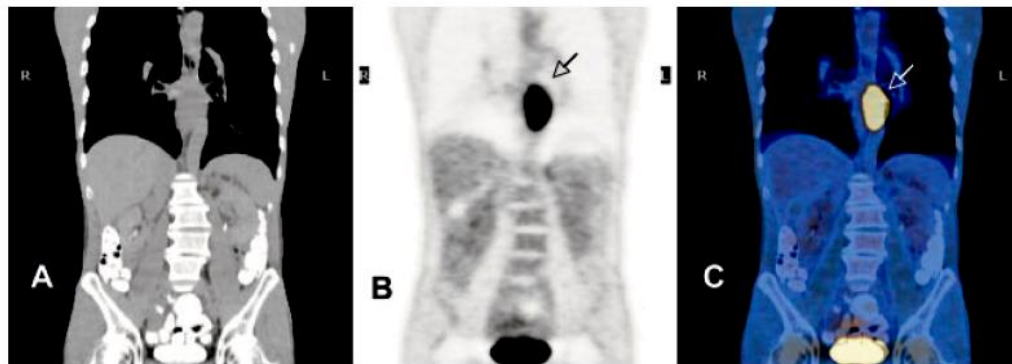


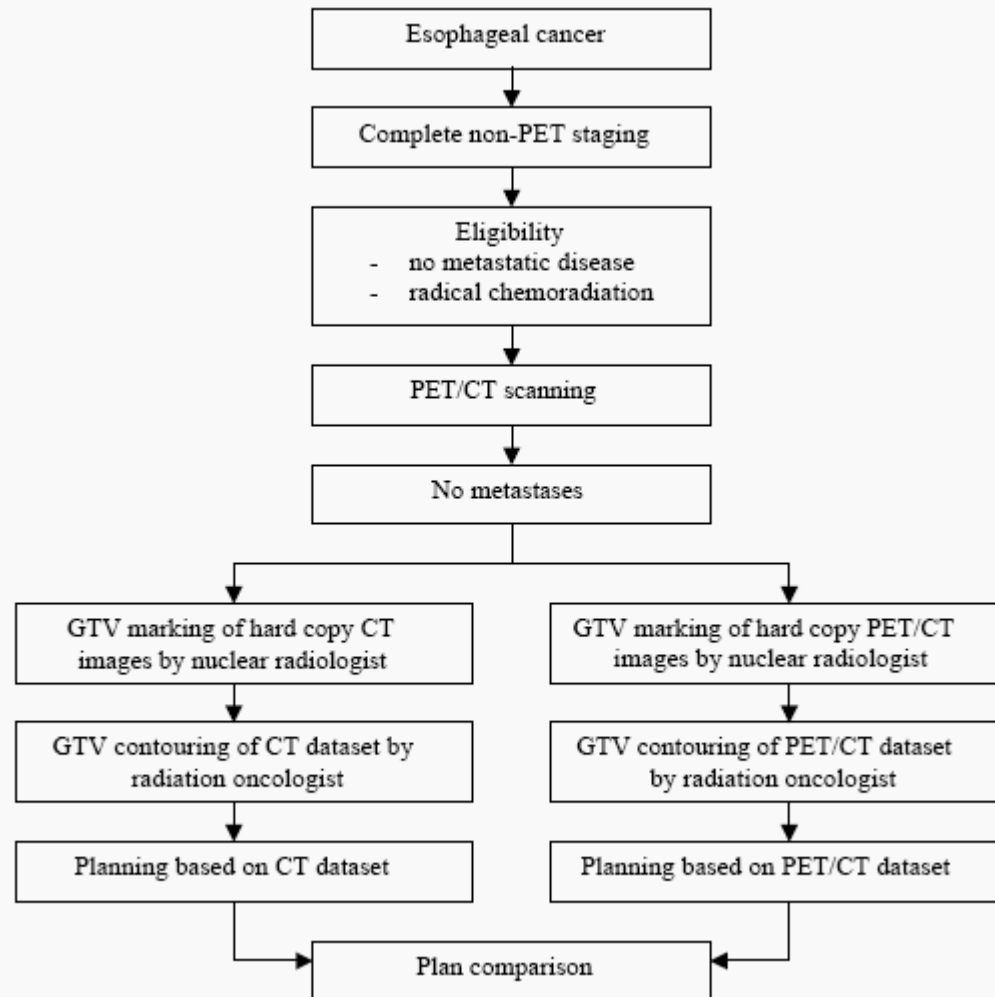
FIGURE 1. Integrated PET/CT of a patient showing NPA = 1 in the primary (arrow). (A) CT scan; (B) PET scan; (C) integrated image.



FIGURE 2. Integrated PET/CT of a patient showing NPA = 2 in the primary and mediastinum (arrows). (A) CT scan; (B) PET scan; (C) integrated image.



# Impact of PET-CT on RT planning



Leong T. Radiother Oncol 2006;78:254-61

# Impact of PET-CT on RT planning

- PET-avid disease was excluded from GTV in 68% if CT alone were used.
- Median percentage of volume of GTV-CT not included in GTV-PET was 38%.
- The median percentage of GTV-PET not included in the PTV-CT was 6% ....

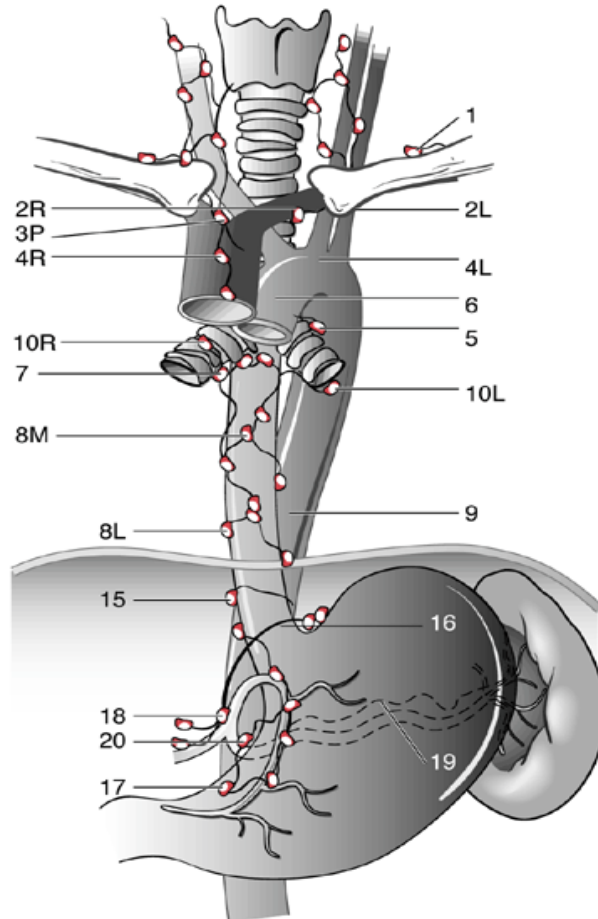
# Esophageal cancers

- PET can improve the RT planning  
*Duong Eur J Nucl Med Imaging 2006*
- PET is more accurate for nodal assessment
- Distant lymph nodes and distant metastasis  
*Van Westreneen JCO 2004*
- PET shows the longitudinal extent better than CT
- PET may be the only way to visualize the lower border of the tumor

# CTV DEFINITION

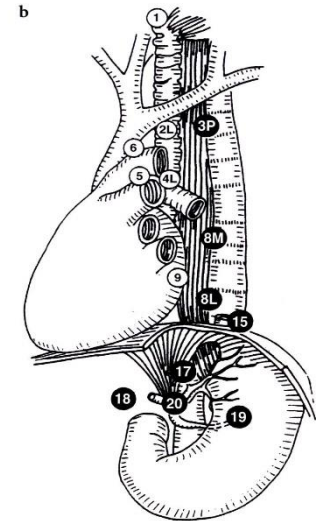
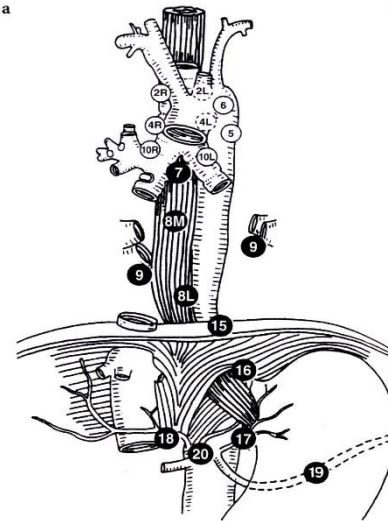
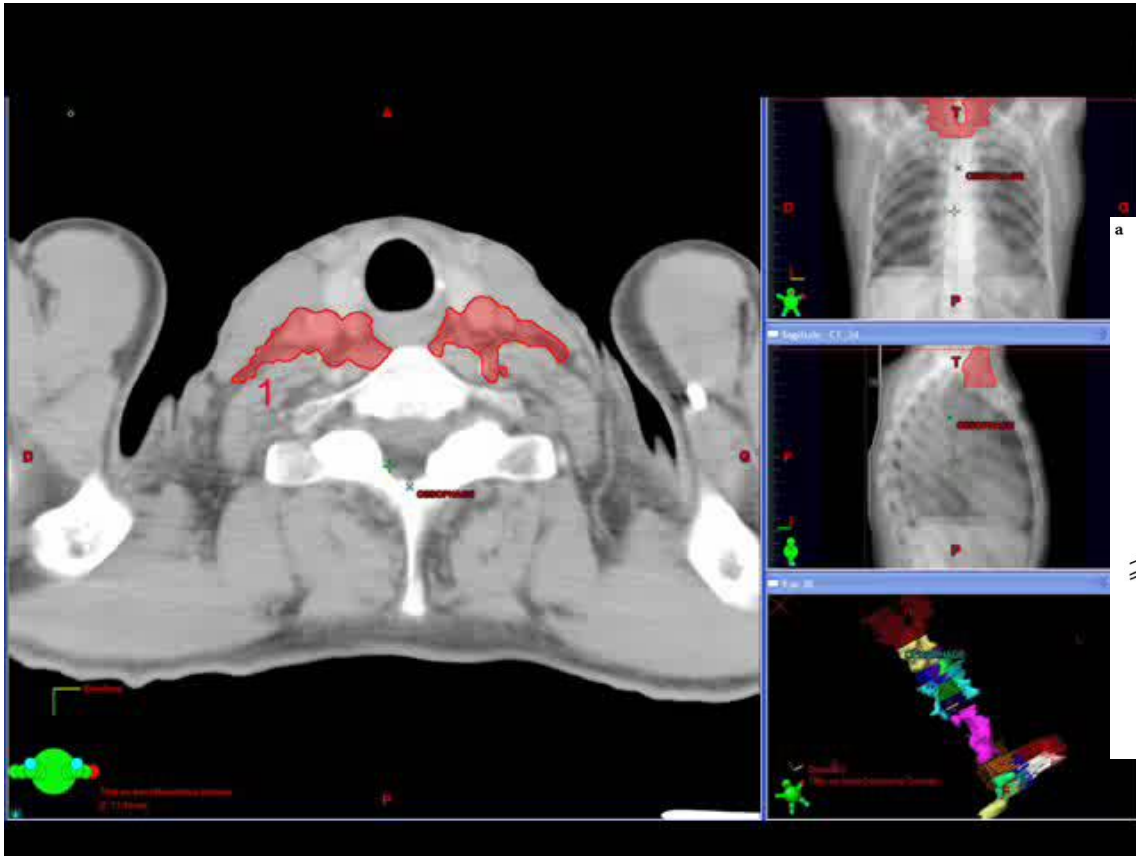
- On surgical specimens: n= 34 SCC/32ADK
- Microscopiques extensions
- **Lateral (mean value) =**
  - SCC :  $10.5 \pm 13.5$  mm SUP et  $10.6 \pm 8.1$  mm INF
  - ADK :  $10.3 \pm 7.2$  mm SUP et  $18.3 \pm 16.3$  mm INF
- **Supero-inferior (mean value) =**
  - 50mm = 100% in field
  - **30mm** = 94% in field

# RTOG Staging system

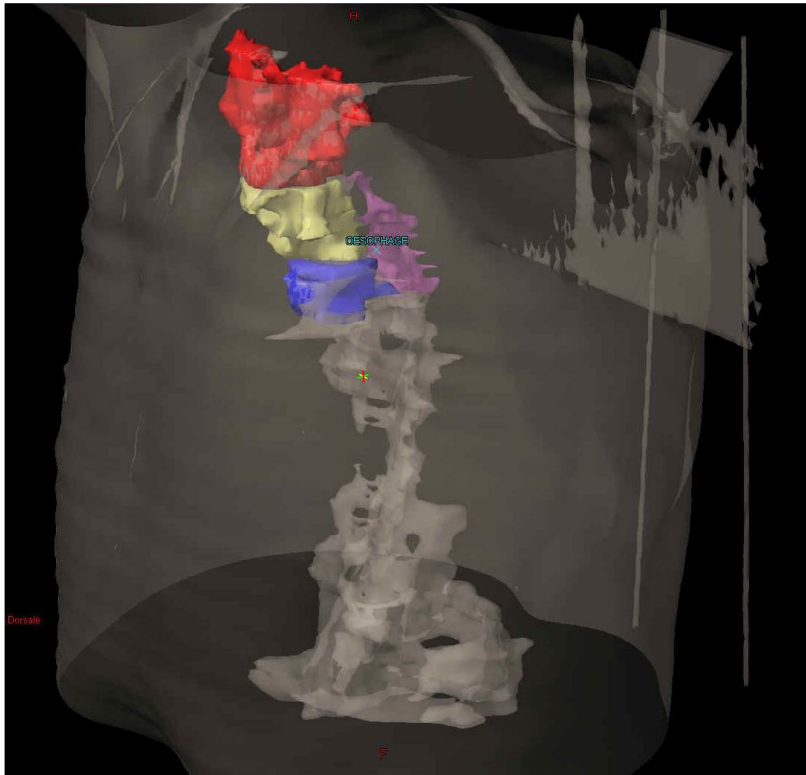


- 1 Supraclavicular nodes
- 2R Right upper paratracheal nodes
- 2L Left upper paratracheal nodes
- 3P Posterior mediastinal nodes
- 4R Right lower paratracheal nodes
- 4L Left lower paratracheal nodes
- 5 Aortopulmonary nodes
- 6 Anterior mediastinal nodes
- 7 Subcarinal nodes
- 8M Middle paraesophageal lymph nodes
- 8L Lower paraesophageal lymph nodes
- 9 Pulmonary ligament nodes
- 10R Right tracheobronchial nodes
- 10L Left tracheobronchial nodes
- 15 Diaphragmatic nodes
- 16 Paracardial nodes
- 17 Left gastric nodes
- 18 Common hepatic nodes
- 19 Splenic nodes
- 20 Celiac nodes

# ATLAS



# Volumes – Cervical Esophagus



T + 5cm

Positive nodes

➤ **If ENI :**

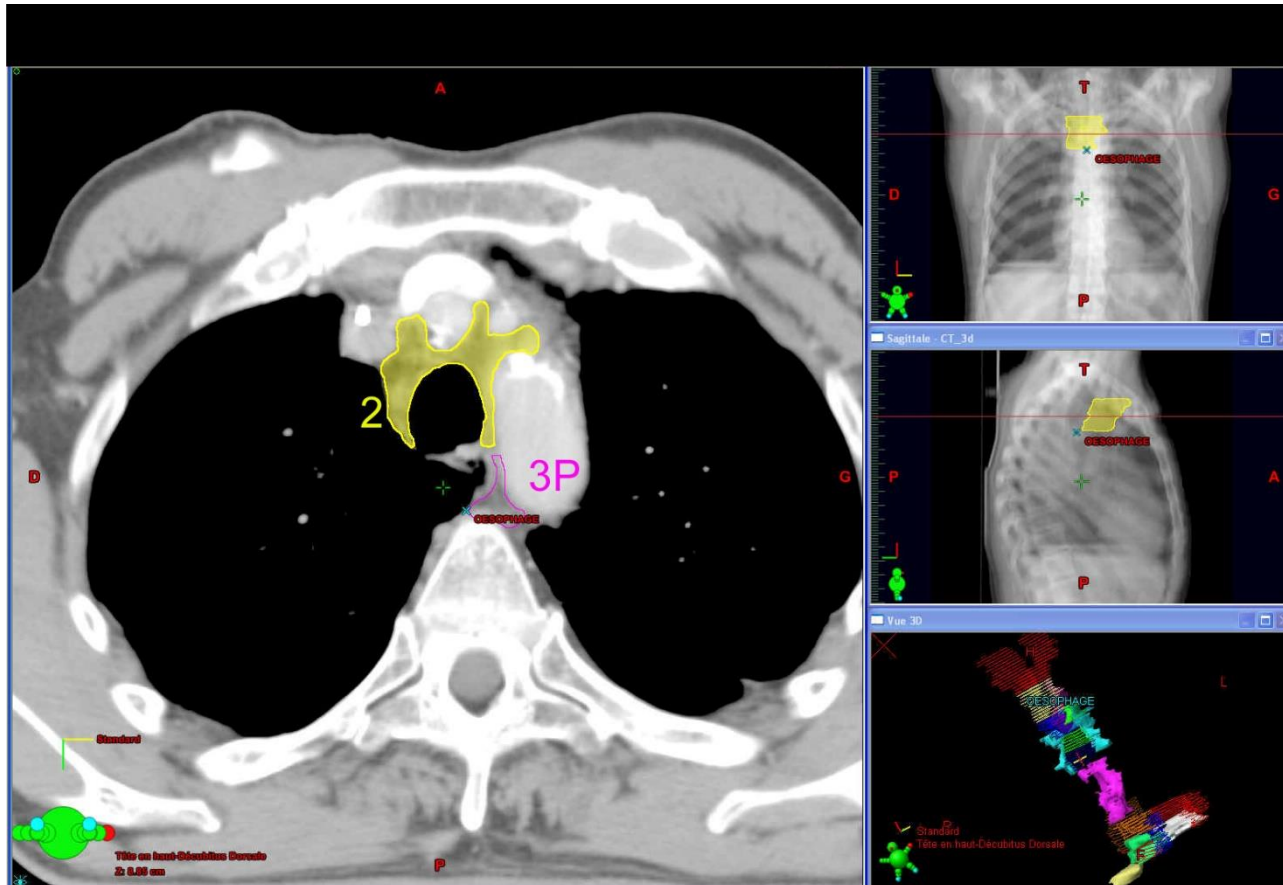
Niveau **1**

Niveau **2R/2L**

Niveau **3P**

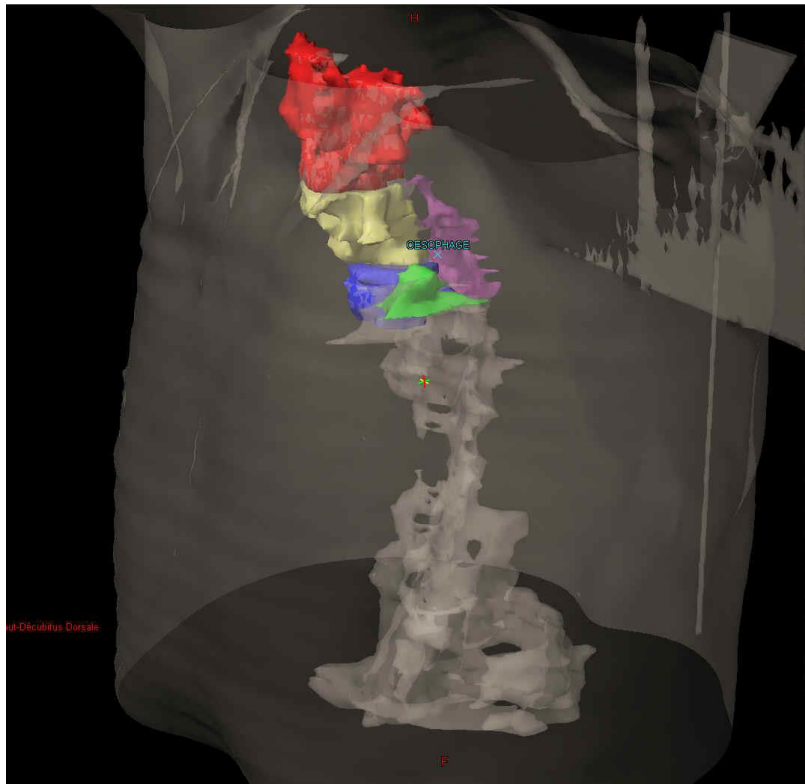
Niveau **4**

# Cervical esophagus





# Volumes – Upper third



## Positive nodes

➤ **If ENI :**

Niveau **1**

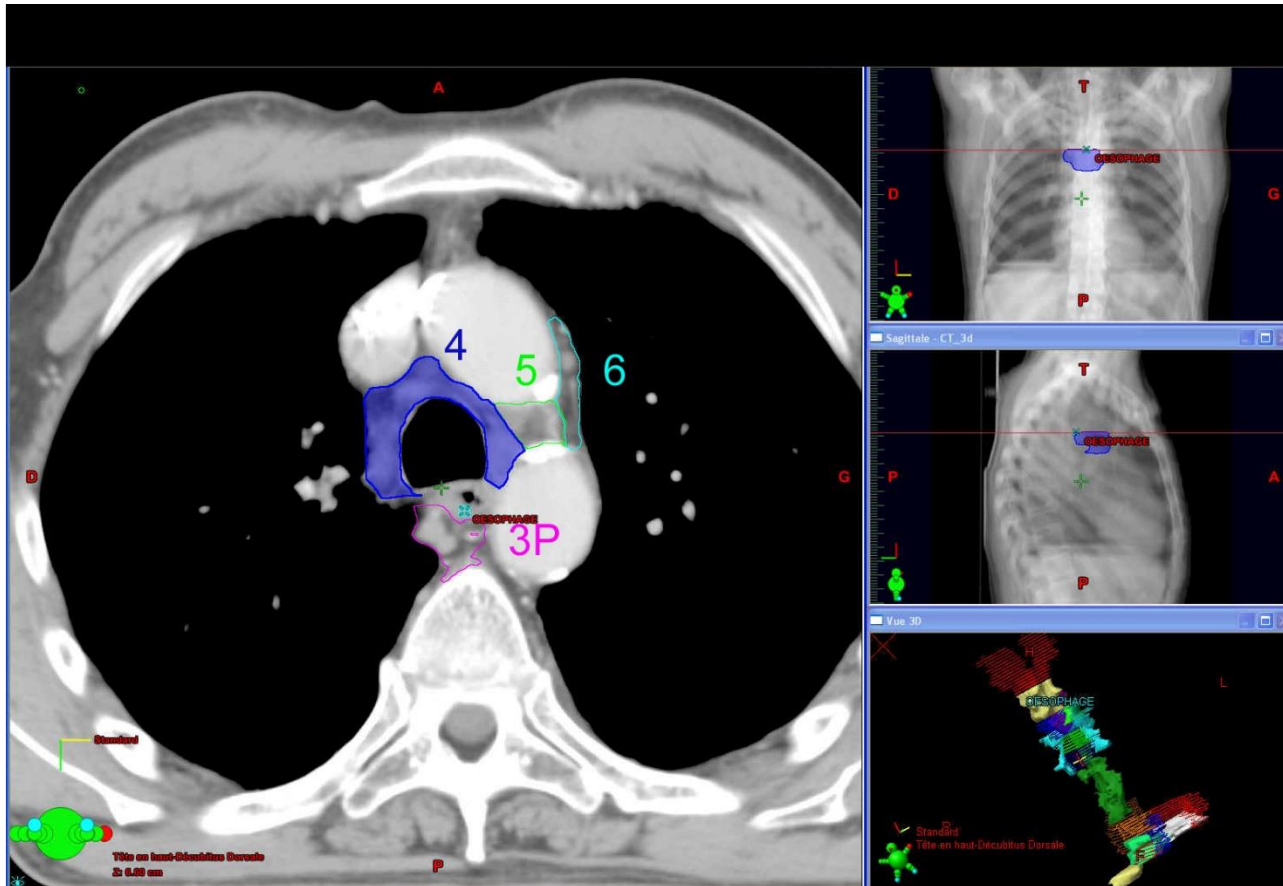
Niveau **2R/2L**

Niveau **3P**

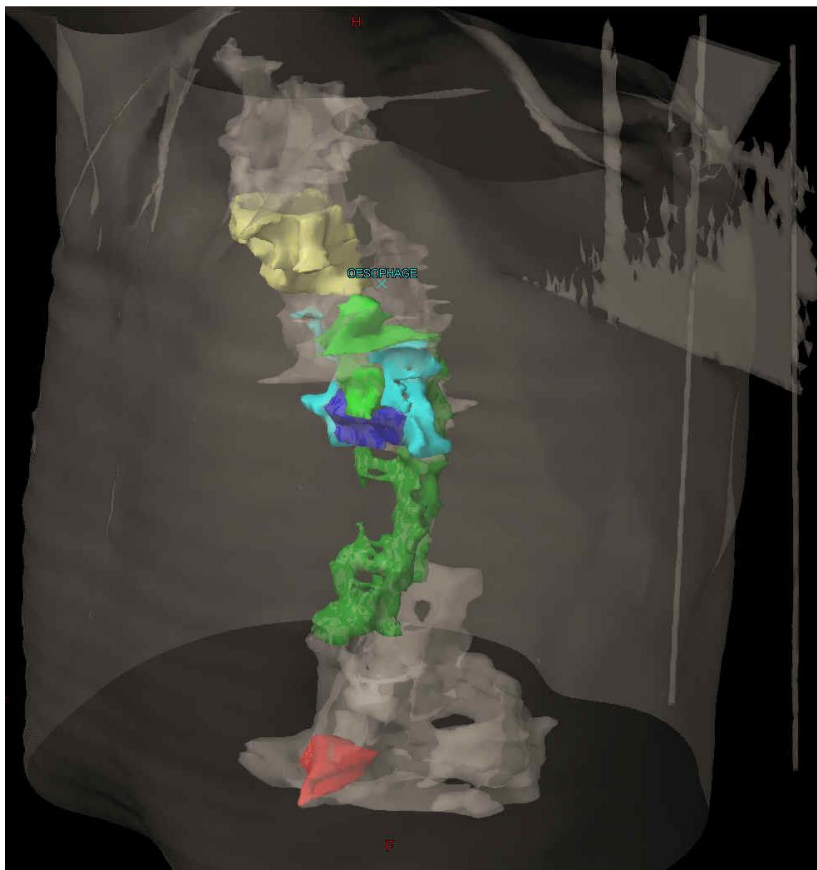
Niveau **4**

Niveau **5**

# Upper third



# Volumes – Middle third



## Positive nodes

➤ **If ENI :**

Niveau **2R/2L**

Niveau **5**

Niveau **7**

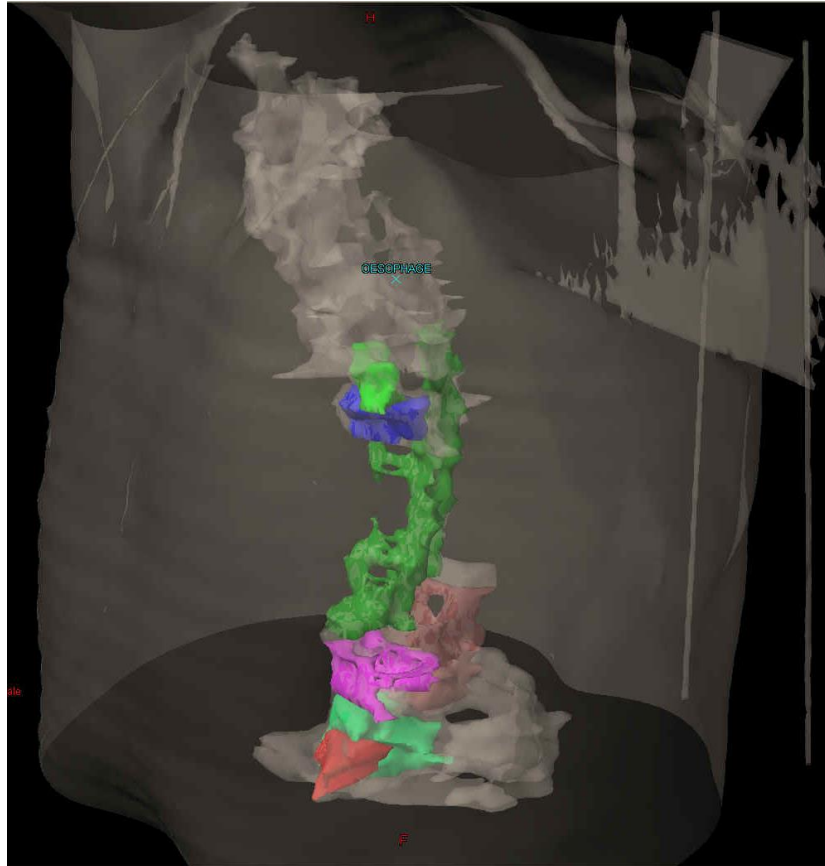
Niveau **8M/8L**

Niveau **9**

Niveau **10**

Niveau **17**

# Volumes – Lower third



## Positive nodes

➤ **If ENI :**

Niveau 7

Niveau 8L

Niveau 9

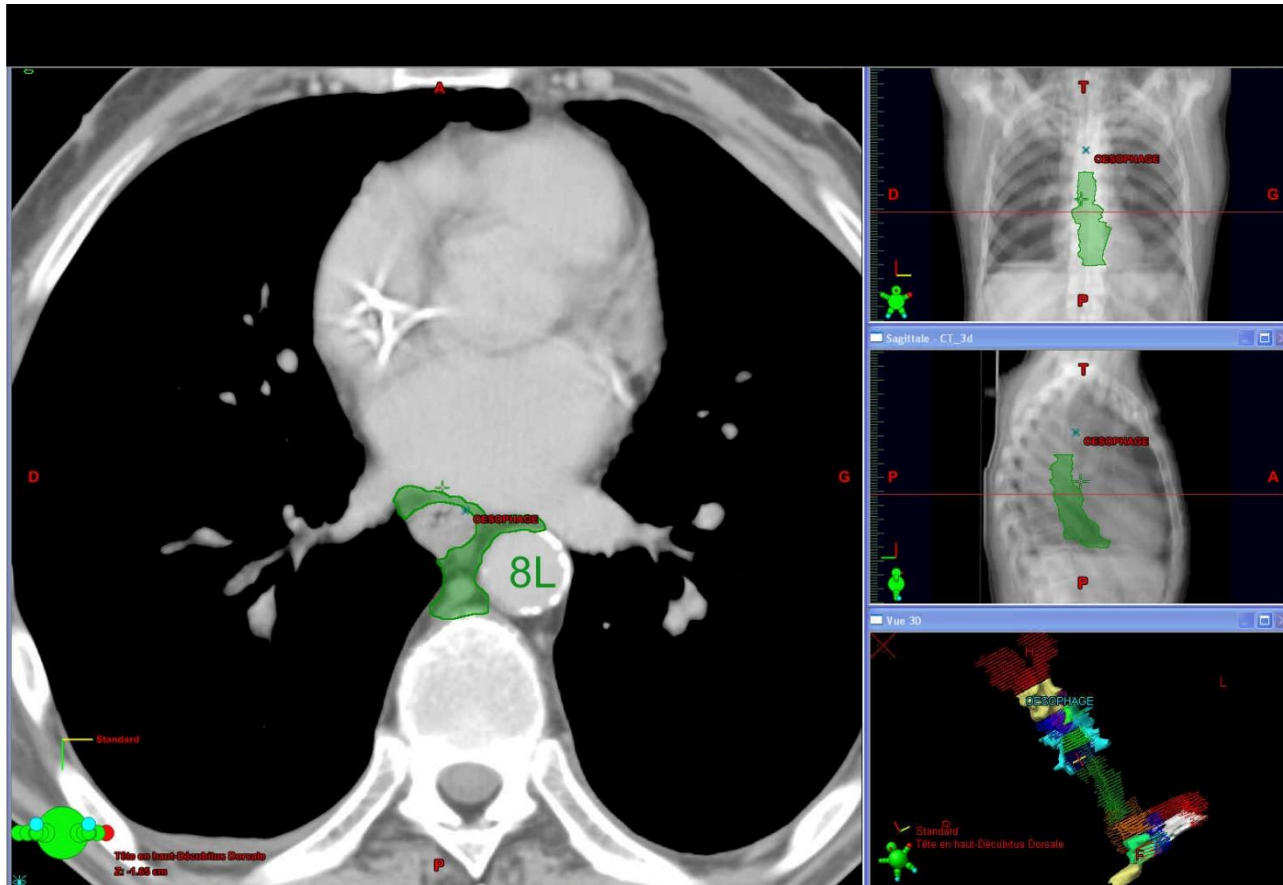
Niveau 15

Niveau 16

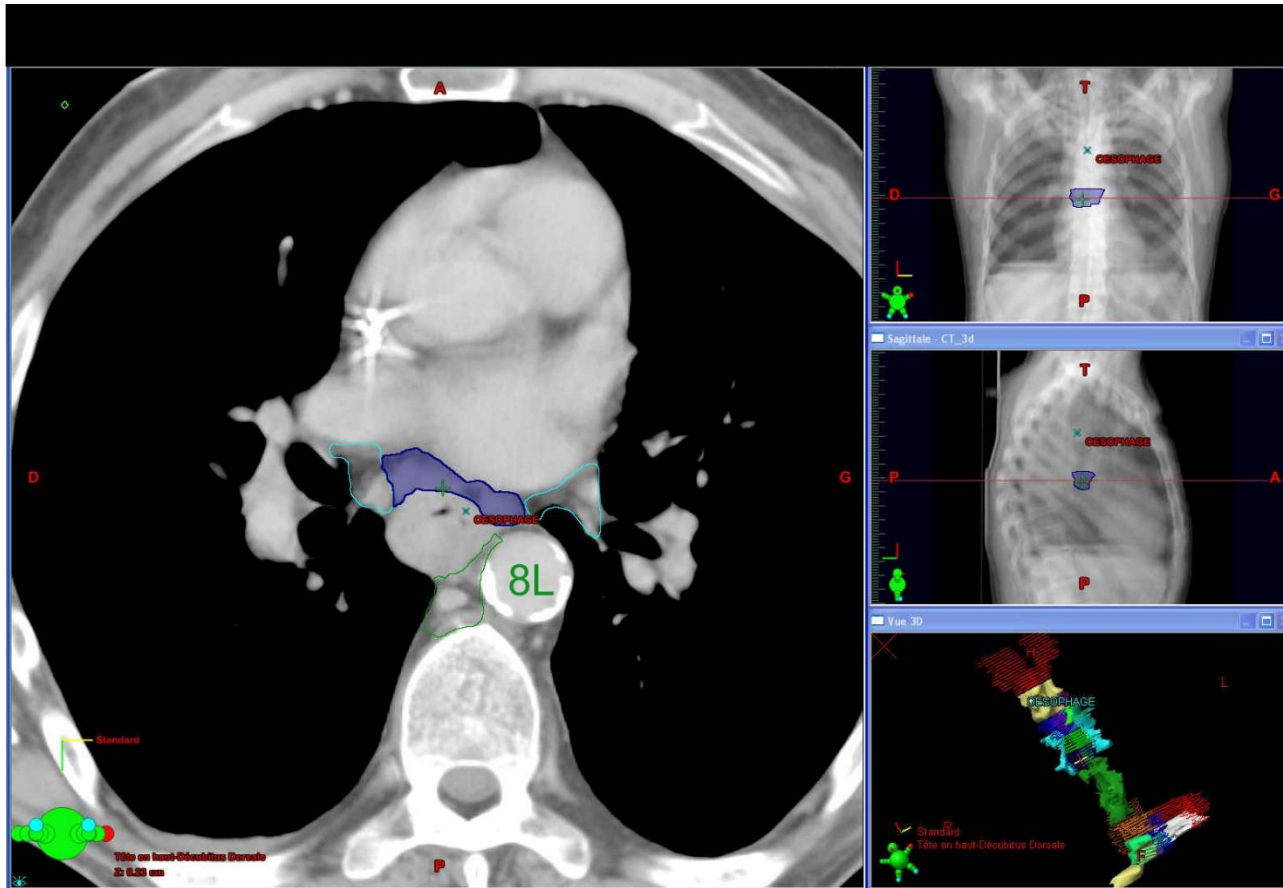
Niveau 17

Niveau 20

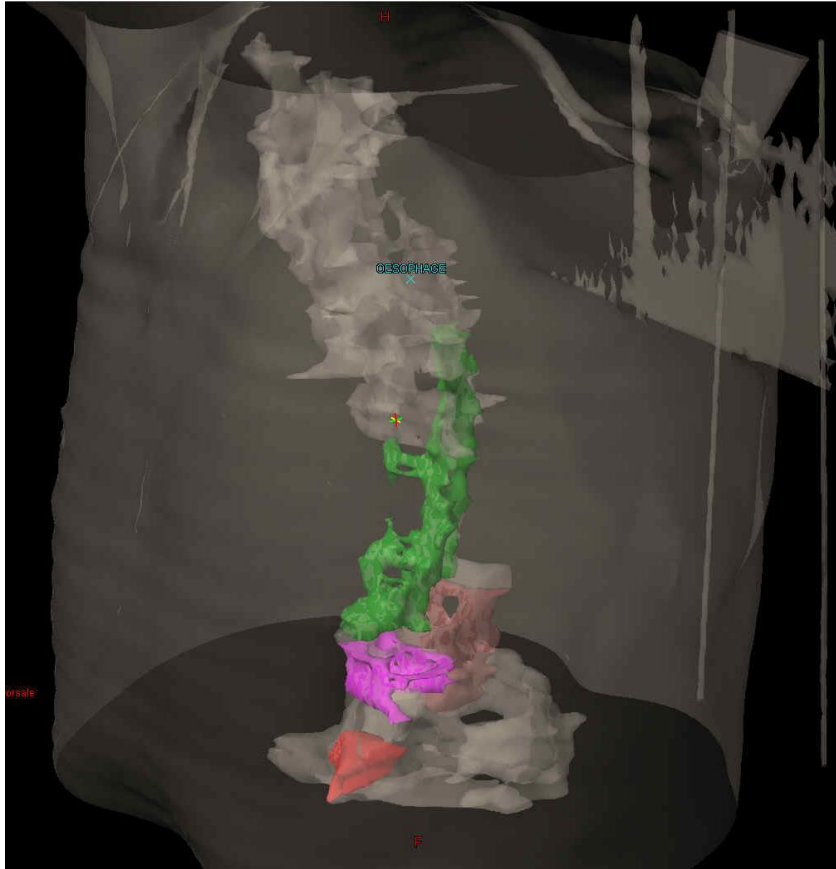
# Para esophageal lymph nodes



# Pulmonary ligament = 9



# Volumes – Abdominal



## Positive nodes

➤ **If ENI :**

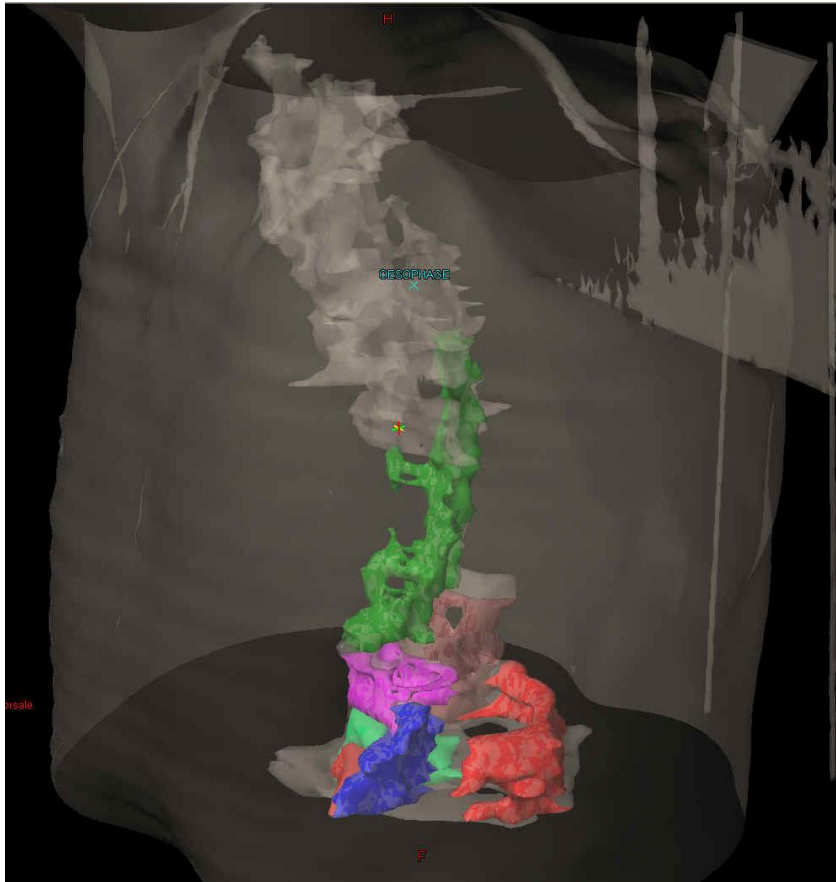
**Niveau 8**

**Niveau 15**

**Niveau 16**

**Niveau 17**

# Volumes – Siewert type I



## Positive nodes

➤ **If ENI :**

**Niveau 8**

**Niveau 15**

**Niveau 16**

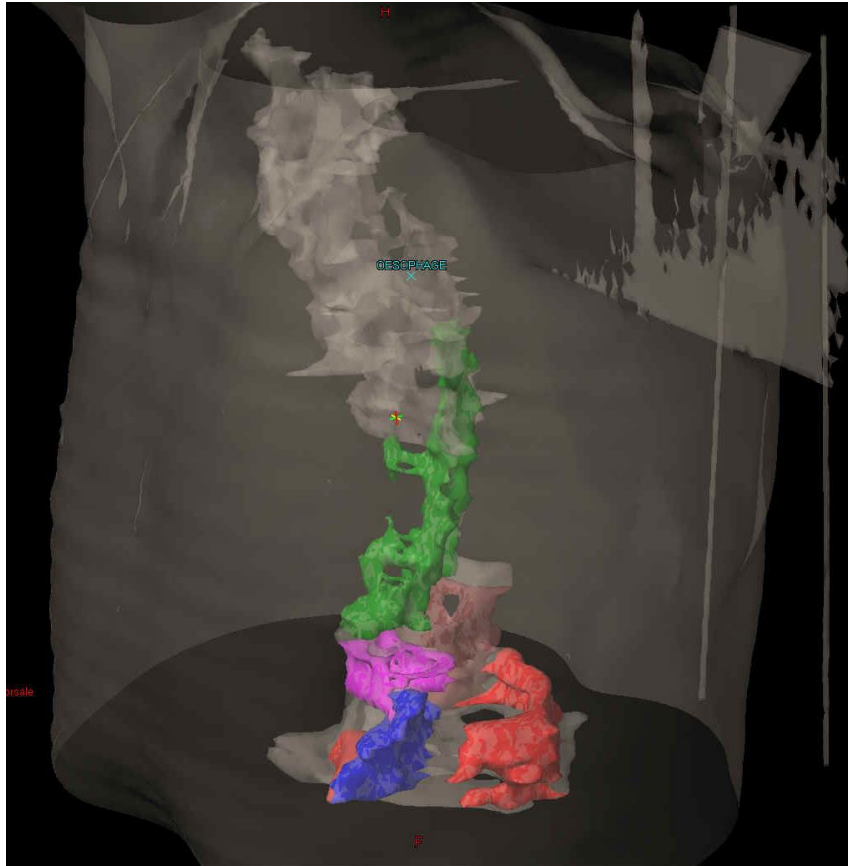
**Niveau 17**

**Niveau 20**

**Gastric network**



# Volumes – Siewert type II



## Positive nodes

➤ **If ENI :**

Niveau **8**

Niveau **15**

Niveau **16**

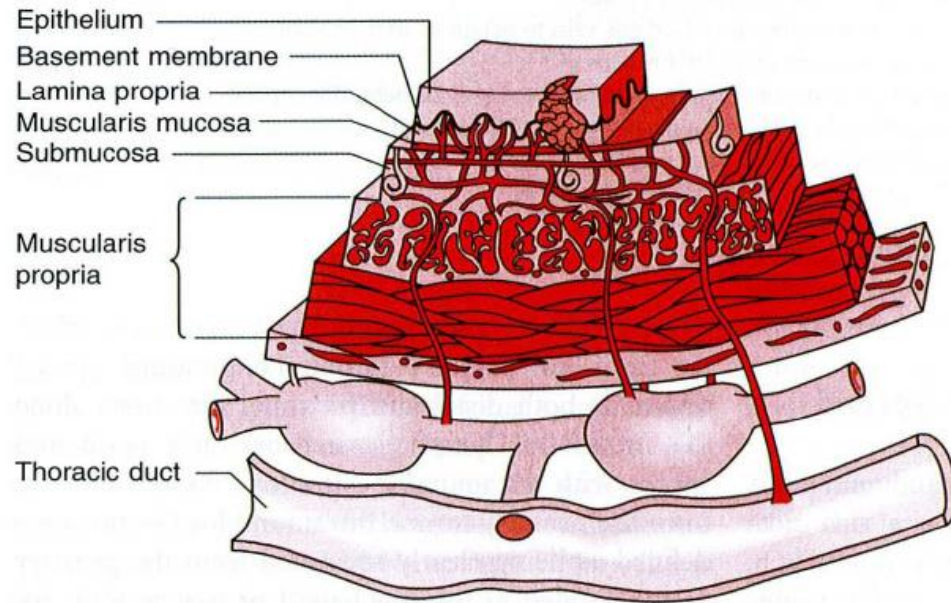
Niveau **17**

**Gastric network**

Levels	Cervical	Upper	Middle	Lower	ADC Distal	Siewert I	Siewert II
1	x	x					
2R/2L	x	x	x				
3P	x	x					
4R/4L	x	x					
5		x	x				
6	Anterior Mediastinal						
7		x	x				
8M			x				
8L			x	x	x	x	x
9			x	x			
10R/10L			x				
15				x	x	x	x
16				x	x	x	x
17			x	x	x	x	x
18	Common Hepatic						
19	Splenic						
20			x	x	x	x	x

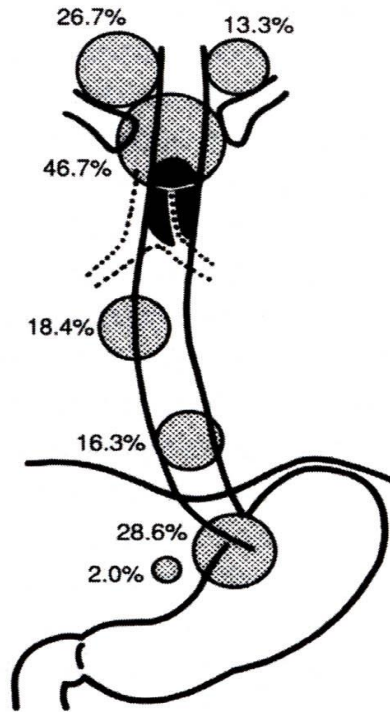
# ENI versus IFRT ? Regional lymph node involvement and CTV

Tis	0%
T1b	31-56%
T2	58-78%
T3	83-100%

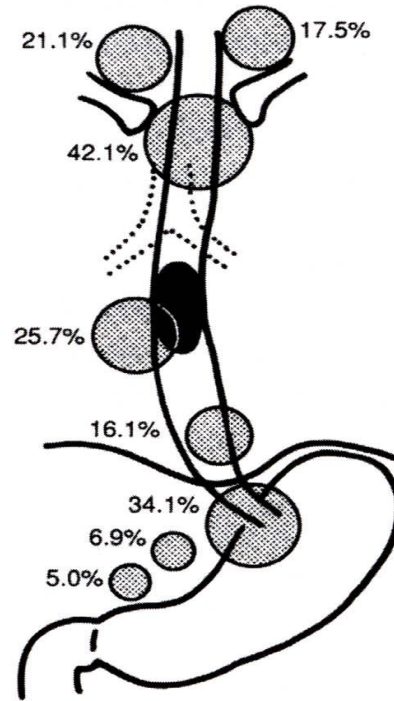


Distant lymph node metastasis  
'Skip metastasis'

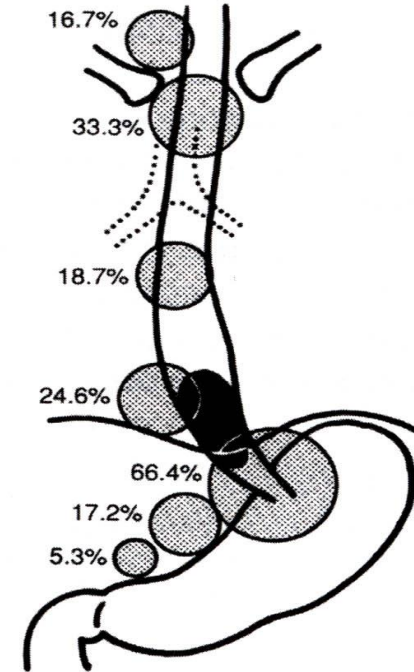
# A COMPLEX LYMPHATIC NETWORK



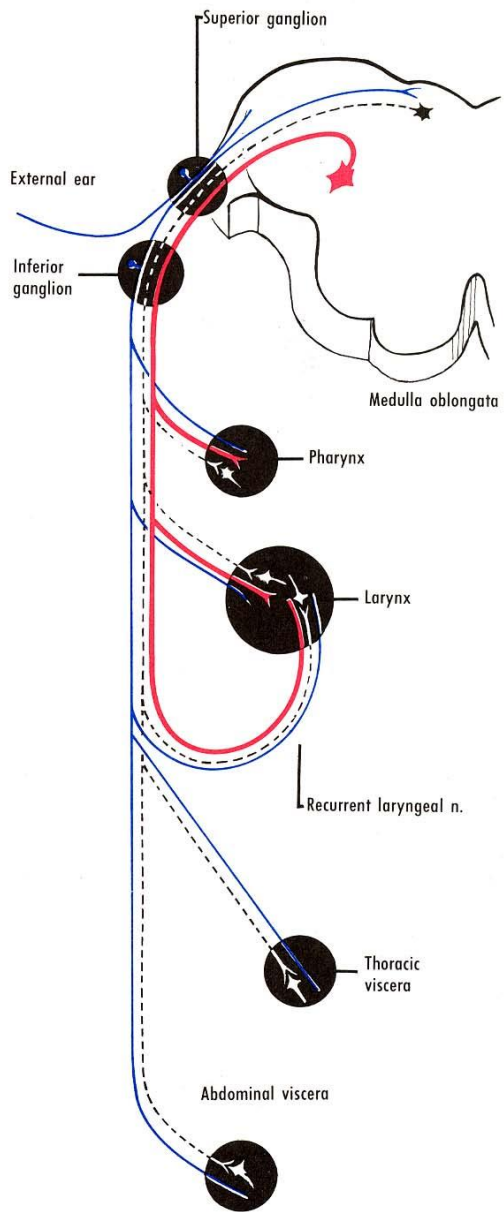
Upper esophageal cancer  
(n\*=15)  
(n=49)



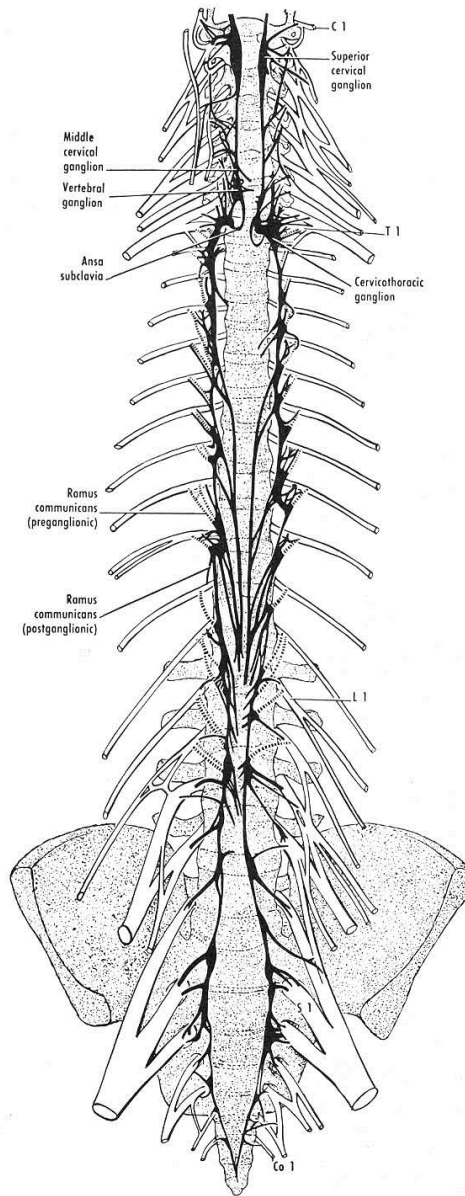
Middle esophageal cancer  
(n\*=57)  
(n=261)



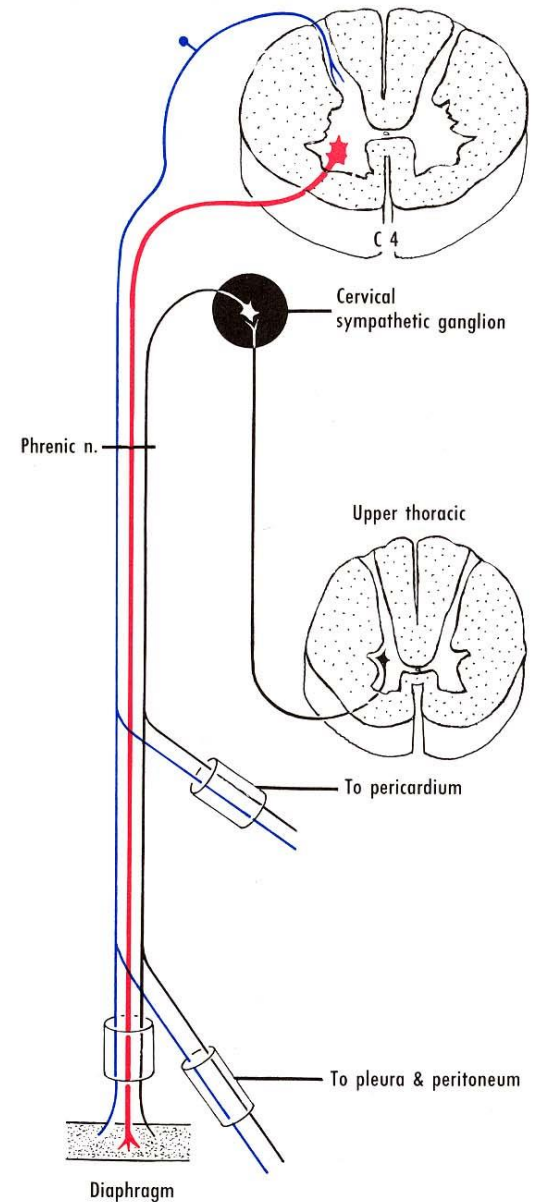
Lower esophageal cancer  
(n\*=24)  
(n=134)



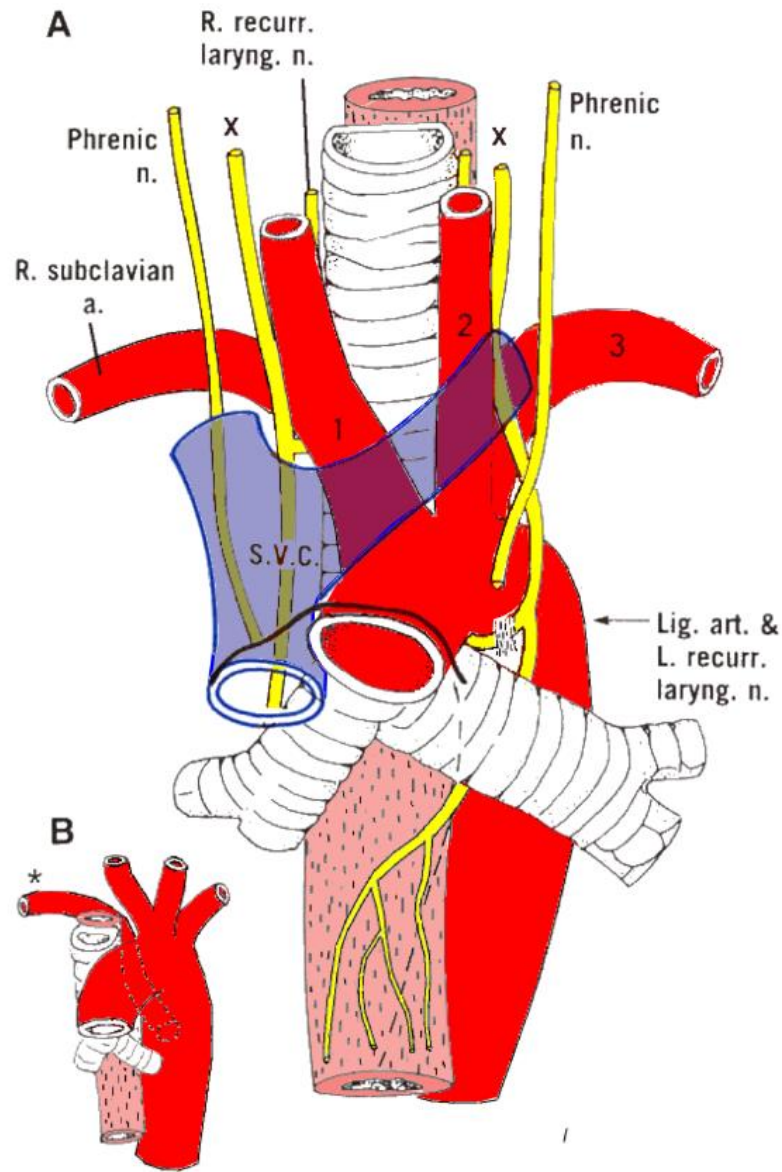
Vagus or X nerve



Sympathetic trunk



Phrenic nerve



# ENI versus IFRT ? Regional lymph node involvement and CTV

	Cervical	Upper third	Middle third	Lower third	Lower abdomen
Upper	30.7	42	12.9	2.6	9
Middle	16.8	21.1	28.1	7.8	21.4
Lower	11	10.5	19.6	23	39.9

# ENI versus IFRT ? Lymph node metastasis and micrometastases

	<b>Sensitivity</b>		<b>Specificity</b>	
CT scan	0.50	<i>0.41-0.60</i>	0.83	<i>0.77-0.89</i>
EUS	0.80	<i>0.75-0.84</i>	0.70	<i>0.65-0.75</i>
FDG-PET	0.57	<i>0.43-0.70</i>	0.85	<i>0.76-0.95</i>

Van Viet Br J Cancer 2008

- Micrometastases do not influence the survival of pN0 patients
- Micrometastases is totally unpredictable
- Better OS after 3 field lymphadenectomy may suggest the benefit of ENI
- The number of positive lymph nodes is related to prognosis



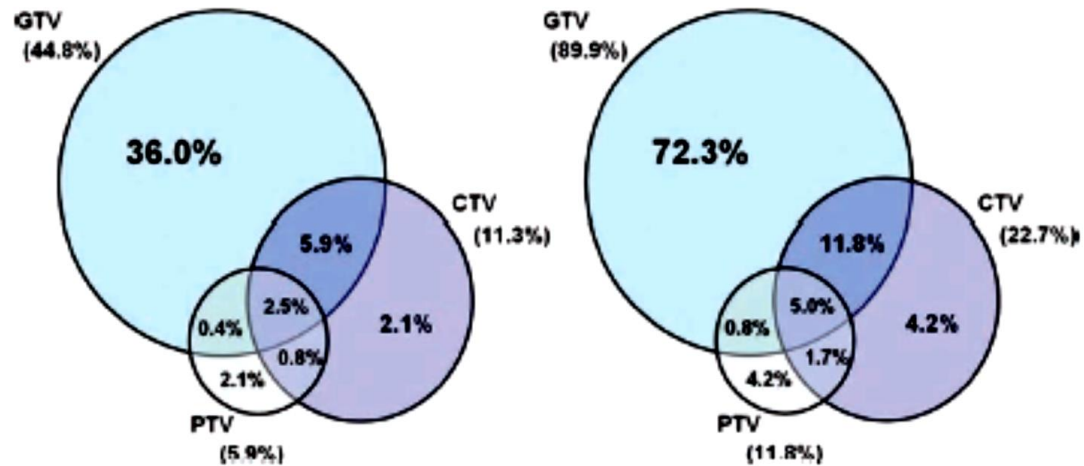
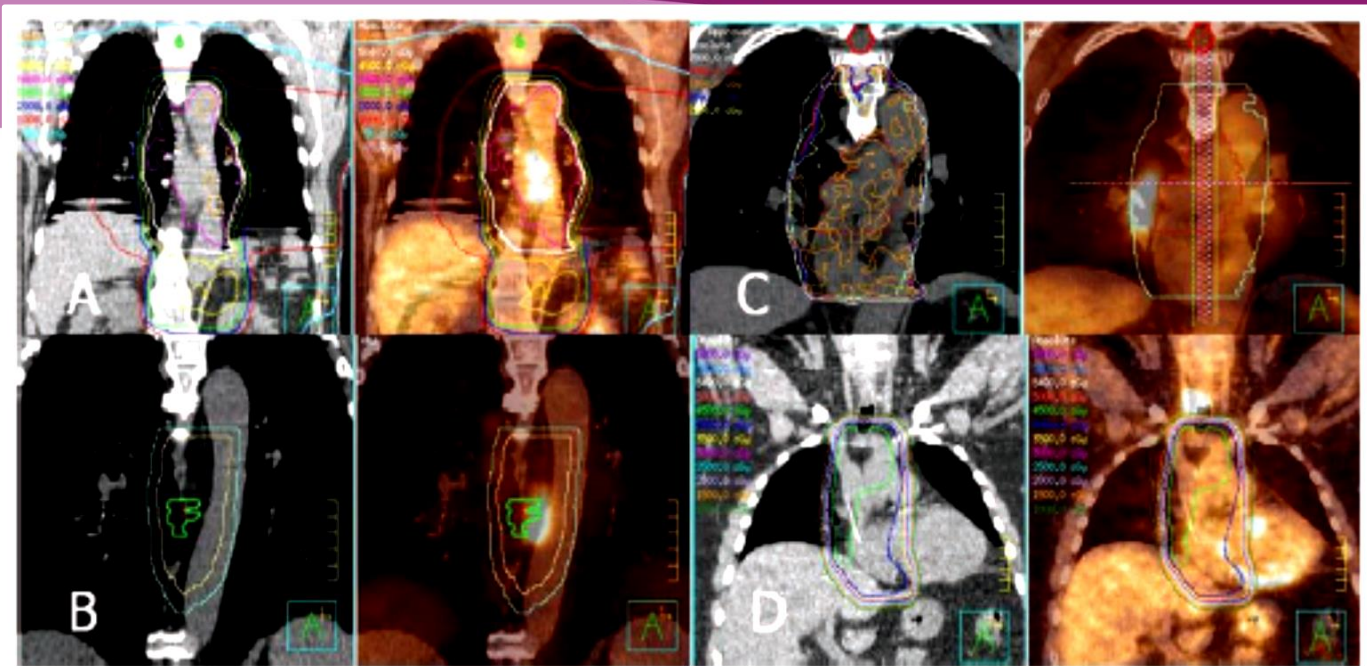
# ENI versus IFRT ? Chemoradiotherapy and the incidence of regional failure

- Chemotherapy or CRT is able to reduce nodal metastases
- If tumor shows a major response, micromet are significantly reduced (nbre of nodes and nbre of positive nodes).
- The clinical relevance of micromets depend of tumor aggressiveness, the host immune status and the response to treatment
- Incidental irradiation is considerable using 3DCRT

## ENI versus IFRT? Patterns of failure

	<b>T1</b>	<b>T2-T3</b>	<b>T4</b>
RR	90%	60-90%	57-88%
CR	88-97%	50-60%	17-39%

- The incidence of regional lymph node failure is low with or without ENI (1-6%)
- IFRT should be feasible for T1 stage EC
- Most of the failure occur in the GTV (85%)



# ENI versus IFRT? Patterns of failure

## ➤ Preoperative setting Oppedijk JCO 2014

**Table 3.** Tumor Recurrences in Relation to Radiation Target Volumes in Patients Undergoing CRT Plus Surgery (n = 213)

Recurrence	Infield	Outfield	Borderline	Unknown	Total
LRR only	2	2	2	1	7
Distant only	0	43	0	1	44
LRR plus distant	9	11	3	0	23
Total	11	56	5	2	74

Abbreviations: CRT, chemoradiotherapy; LRR, locoregional recurrence.

- Even for CR after CRT, the primary and the distant organ rather than the regional lymph node were the prominent sites of recurrence.
- For early and advanced EC, the majority of the failures occurs in the GTV (the primary). Only few patients experienced solitary regional node failure.
- No clear recommendation regarding differentiation

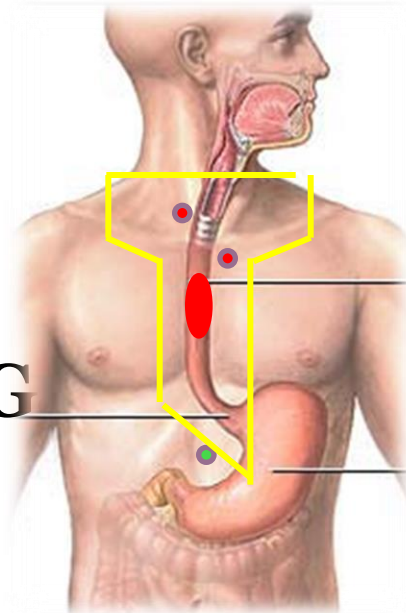
**RT 2D**

**ENI**

**AP-PA**

**30 Gy**

**Susclav – EG**



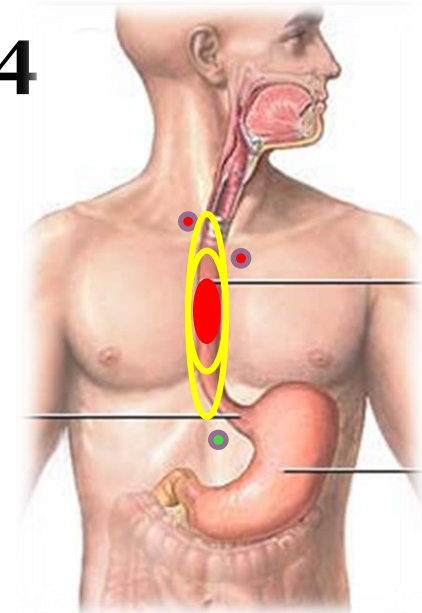
**Boost 2D**

**T + 5cm : 20Gy**

**RT 2D**

**T + 5cm : 50.4**

**IFRT**



**Boost**

**T + 2cm : 14.4Gy**

# ENI or IFRT ?

- To date, no universally accepted opinion regarding the extent of the RT field has been established, especially for the CTV.
- ICRU 50 Definitions
  - GTV plus areas at risk of microscopic extension
- For the CTV T cranial and caudal margins of 3-5 cm and radial margin of 1-1.5 cm are used considering the submucosal spread.



# Oesophageal cancer

## *Dose issues in esophageal tumor control*

Marcel Verheij MD PhD  
Department of Radiation Oncology  
NKI, Amsterdam

# Contents

Introduction

Treatment options

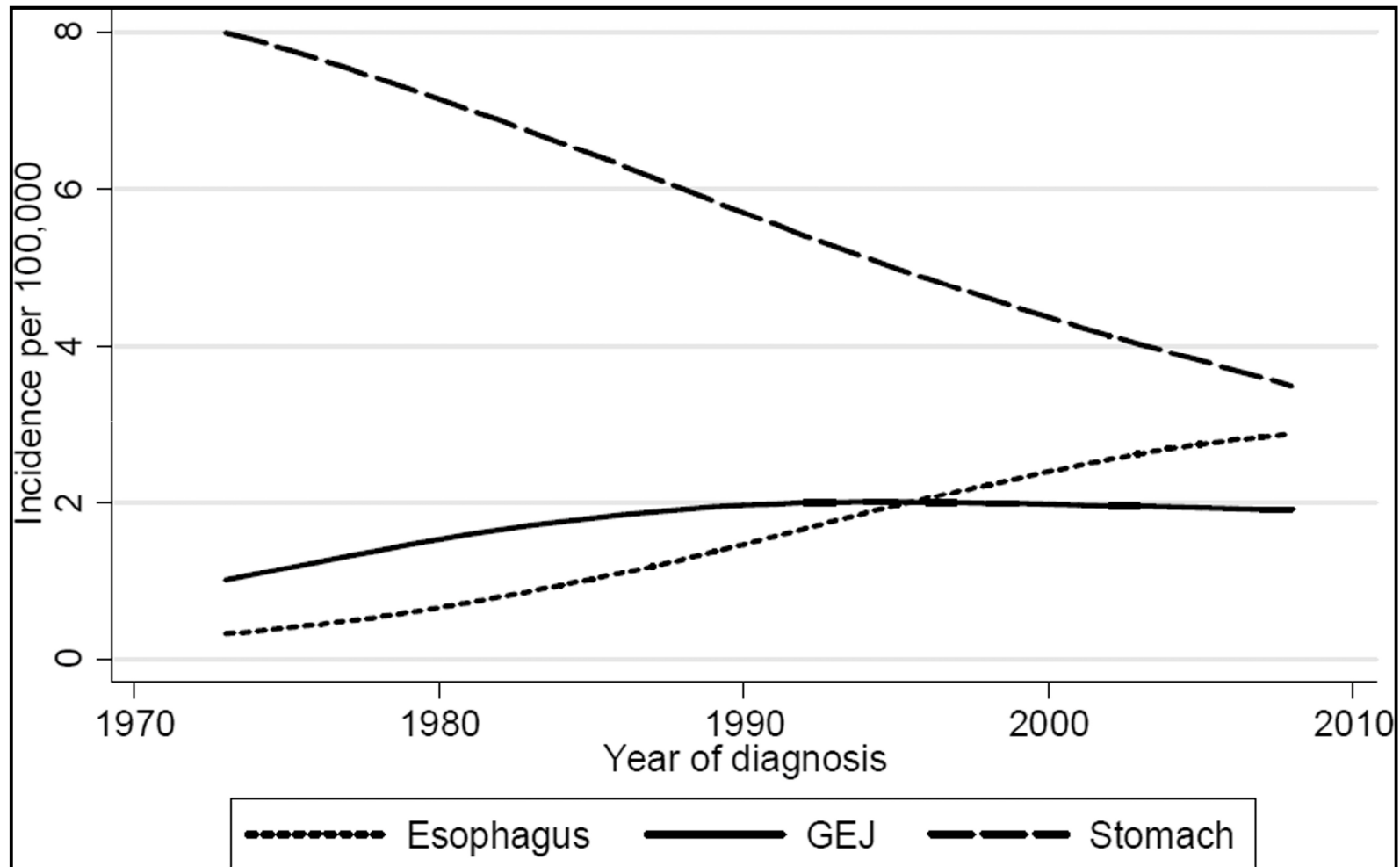
Radiotherapy: OAR, dose-constraints



# *Epidemiology of esophageal cancer*

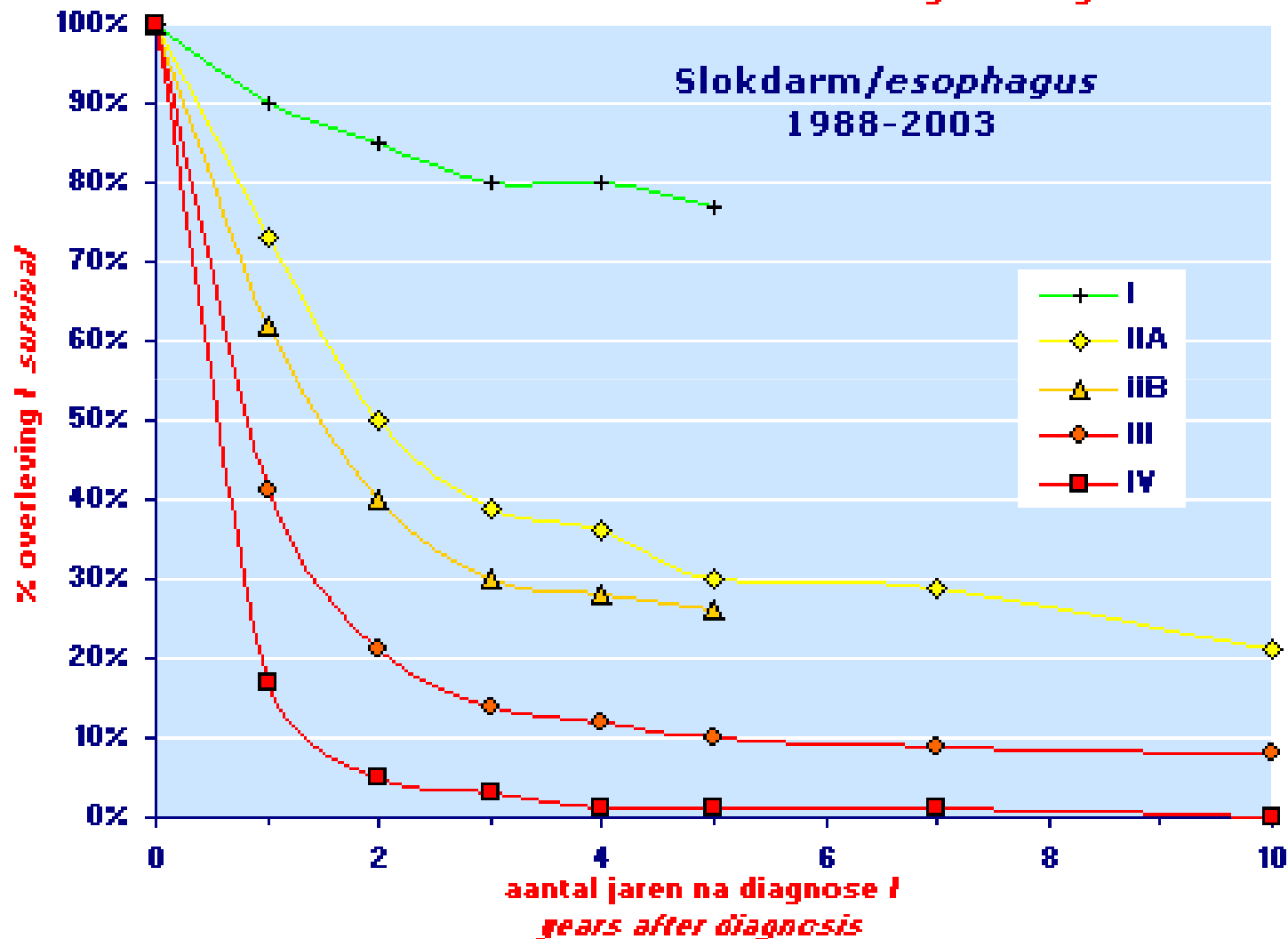
- 2012: Europe ~46,000 cases/year; ~39,500 deaths
- 6<sup>th</sup> leading cause of cancer-related mortality
- 8<sup>th</sup> most common cancer worldwide
- Worldwide >450,000 people are affected
- Incidence is increasing rapidly
- Overall 5-year survival 15–25%
- Diagnosis at advanced (metastatic) stages
- 30–40% present with resectable disease
- SCC is predominant type; in some western European countries adenocarcinoma exceeds SCC

## Incidence of adenocarcinoma of the esophagus, GEJ, and stomach 1973-2008, United States



I K a

**Relatieve overleving naar stadium**  
*relative survival according to stage*



# Esophageal cancer: risk factors

## Oesophageal SCC

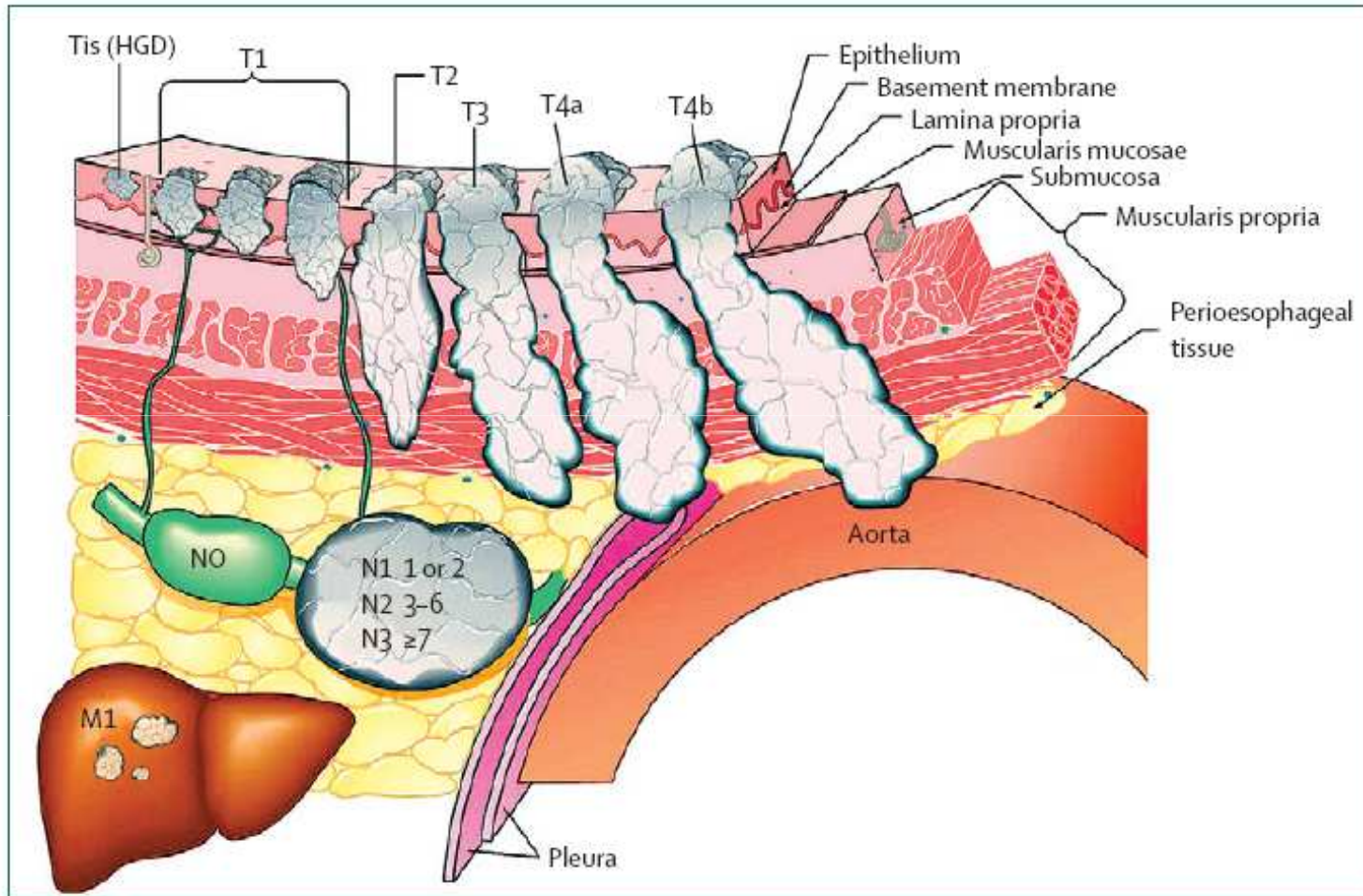
- Tobacco use
- Alcohol consumption
- Mutations of enzymes that metabolise alcohol
- Achalasia
- Caustic injury
- History of thoracic radiation
- Low socioeconomic status
- Poor oral hygiene
- Nutritional deficiencies
- Non-epidermolytic palmoplantar keratoderma

## Oesophageal adenocarcinoma

- Symptomatic gastro-oesophageal reflux disease
- Barrett's oesophagus
- Obesity
- Tobacco use
- History of thoracic radiation
- Diet low in vegetables and fruits
- Increased age
- Male sex
- Medications that relax the lower oesophageal sphincter
- Familial history (rare)

# TNM esophageal cancer 7<sup>th</sup> edition

(including esophagogastric junction)



# *Treatment options*

- Operable/resectable vs. Inoperable/irresectable
- Surgery vs. neoadjuvant chemotherapy + surgery
- Neoadjuvant chemoradiotherapy with surgical resection
- Surgery with adjuvant chemotherapy, radiotherapy, or chemoradiotherapy
- Definitive (chemo-) radiotherapy

# Surgery vs. neoadjuvant chemotherapy + surgery

	Number of patients	Study treatments	Chemotherapy regimen	Histology	Median survival (months)	Overall survival (%)
Kelsen et al, 1998 <sup>91</sup>	440	Surgery vs surgery and chemotherapy	Cisplatin+fluorouracil for three cycles before surgery	204 (46%) SCC, 236 (54%) adenocarcinoma	14.9 vs 16.1	(3-year) 26% vs 23%
MRC, 2002 <sup>92</sup> and Allum et al, 2009*	802	Surgery vs surgery and chemotherapy	Cisplatin+fluorouracil for two cycles before surgery	247 (31%) SCC, 533 (66%) adenocarcinoma, 24 (3%) undifferentiated or unknown	13.3 vs 16.8	(5-year) 17% vs 23%†
Cunningham et al, 2006 <sup>93</sup>	503	Surgery vs surgery and chemotherapy	Epirubicin+cisplatin+fluorouracil for three cycles before and after surgery	503 (100%) adenocarcinoma (372 [74%] gastric, 131 [26%] oesophageal)	NR	(5-year) 23% vs 36%†

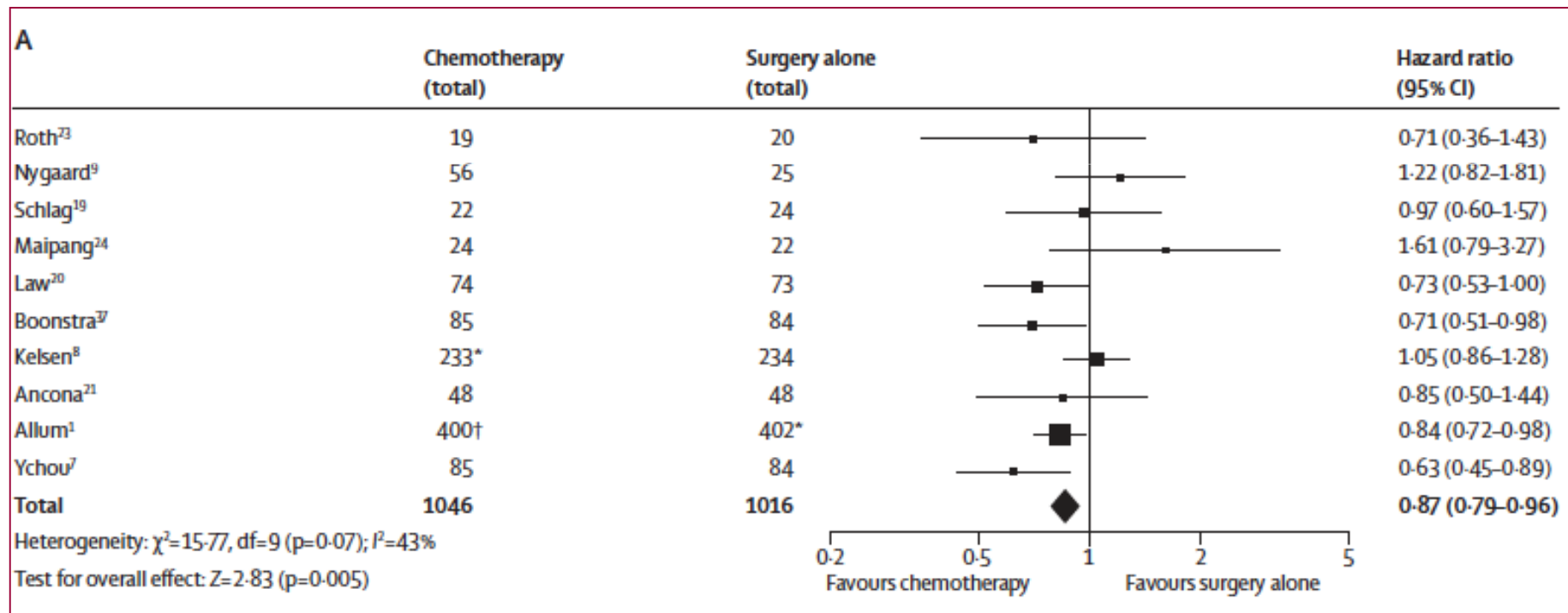
SCC=squamous-cell carcinoma. MRC=Medical Research Council Oesophageal Cancer Working Group. NR=not reported. \*Appendix p7. †Significant difference in favour of the neoadjuvant chemotherapy group.

**Table 2: Results of randomised trials of neoadjuvant chemotherapy**

- Rationale: control early spread of systemic disease
- Conflicting results
- MAGIC study (Cunningham) may not be generalisable to all esophageal adenocarcinoma (26% EGJ/adeno oes)

# Survival after neoadjuvant chemotherapy or chemoradiotherapy for resectable oesophageal carcinoma: an updated meta-analysis

Katrin M Sjoquist, Bryan H Burmeister, B Mark Smithers, John R Zalcberg, R John Simes, Andrew Barbour, Val Gebski, for the Australasian Gastro-Intestinal Trials Group





# Surgery vs. neoadjuvant chemoradiotherapy + surgery

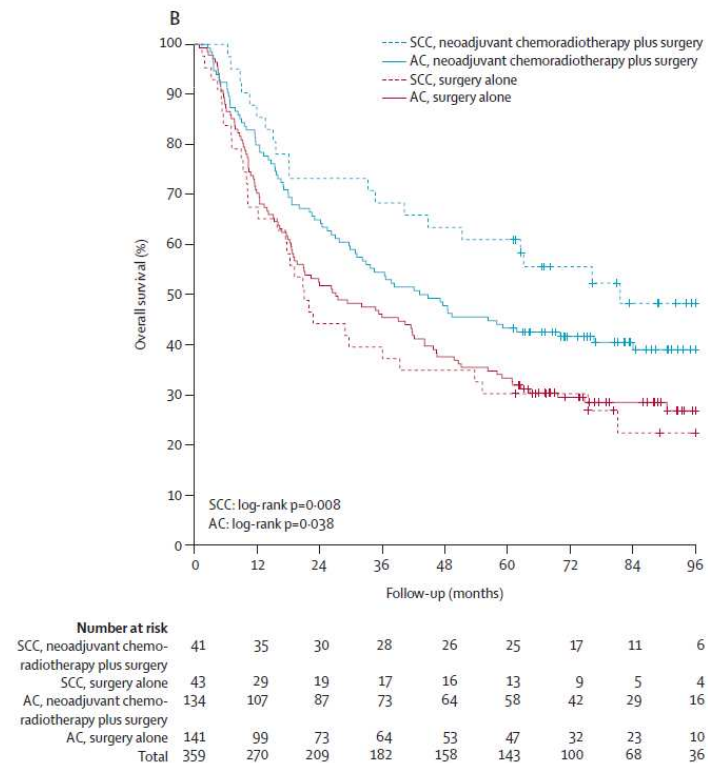
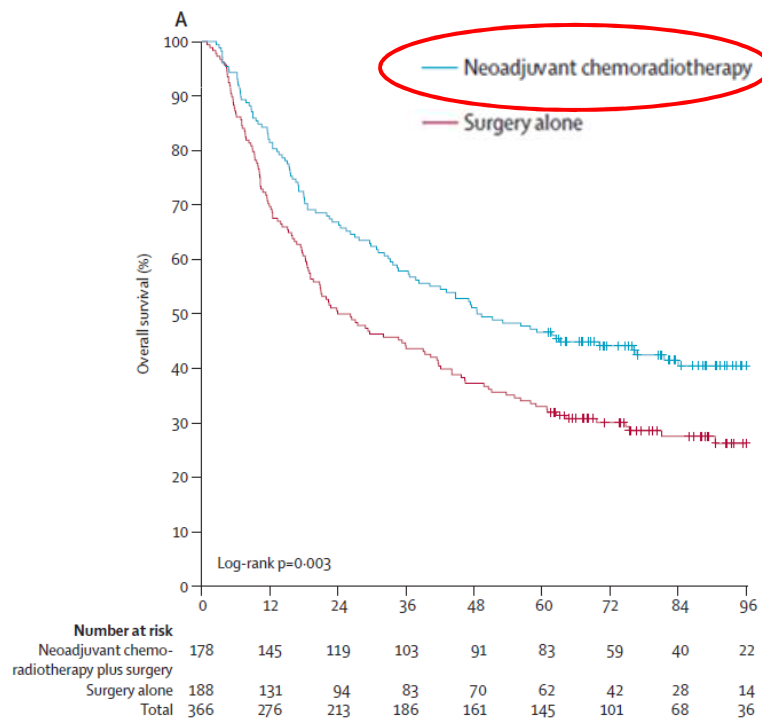
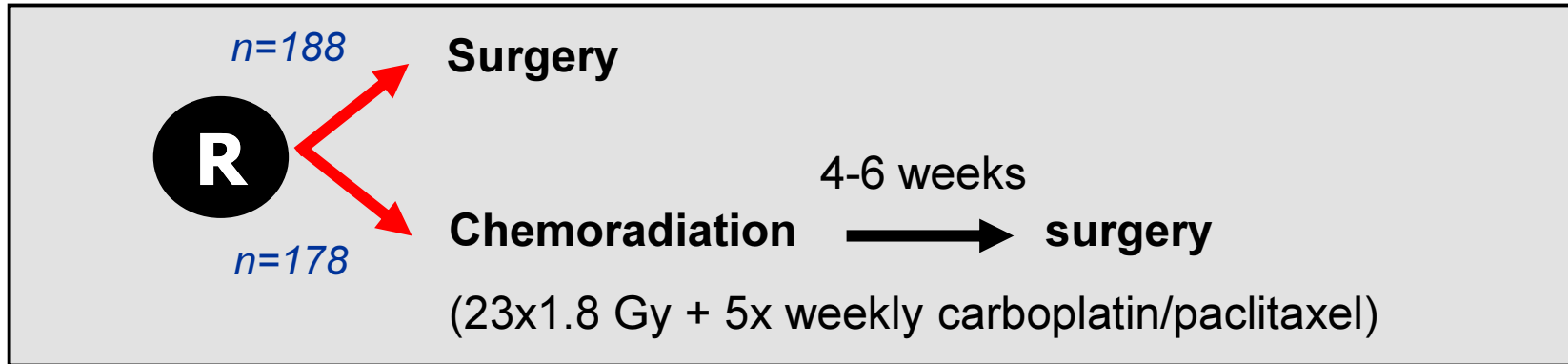
	Number of patients	Study treatments	Regimen	Histology	Median survival (months)	Overall survival (%)
Le Prise et al, 1994 <sup>94</sup>	86	Surgery vs surgery and CRT	Sequential cisplatin+fluorouracil and RT to 20.0 Gy	86 (100%) SCC	10.0 vs 10.0	(1-year) 47% vs 47%
Walsh et al, 1996 <sup>98</sup>	103	Surgery vs surgery and CRT	Concurrent cisplatin+fluorouracil and RT to 40.0 Gy	103 (100%) adenocarcinoma	11.0 vs 16.0	(3-year) 6% vs 32%*
Bosset et al, 1997 <sup>95</sup>	282	Surgery vs surgery and CRT	Sequential interrupted cisplatin and RT to 37.0 Gy	282 (100%) SCC	18.6 vs 18.6	(3-year) 34% vs 36%
Urba et al, 2001 <sup>96</sup>	100	Surgery vs surgery and CRT	Concurrent cisplatin+fluorouracil +vinblastine and RT to 45.0 Gy	25 (25%) SCC, 75 (75%) adenocarcinoma	17.6 vs 16.9	(3-year) 16% vs 30%
Burmeister et al, 2005 <sup>100</sup>	256	Surgery vs surgery and CRT	Concurrent cisplatin+fluorouracil and RT to 35.0 Gy	95 (37%) SCC, 158 (62%) adenocarcinoma, 3 (1%) mixed or other	22.2 vs 19.3	NR
Tepper et al, 2008 <sup>99</sup>	56	Surgery vs surgery and CRT	Concurrent cisplatin+fluorouracil and RT to 50.4 Gy	14 (25%) SCC, 42 (75%) adenocarcinoma	21.5 vs 53.8	(5-year) 16% vs 39%*

CRT=chemoradiotherapy. RT=radiotherapy. SCC=squamous-cell carcinoma. NR=not reported. \*Significant difference in favour of neoadjuvant chemoradiotherapy.

**Table 3: Results of randomised trials of neoadjuvant chemoradiotherapy**

- Rationale: downstaging, improve resectability (R0), survival benefit
- Conflicting results
- CROSS study and meta-analysis show benefit for preoperative CRT

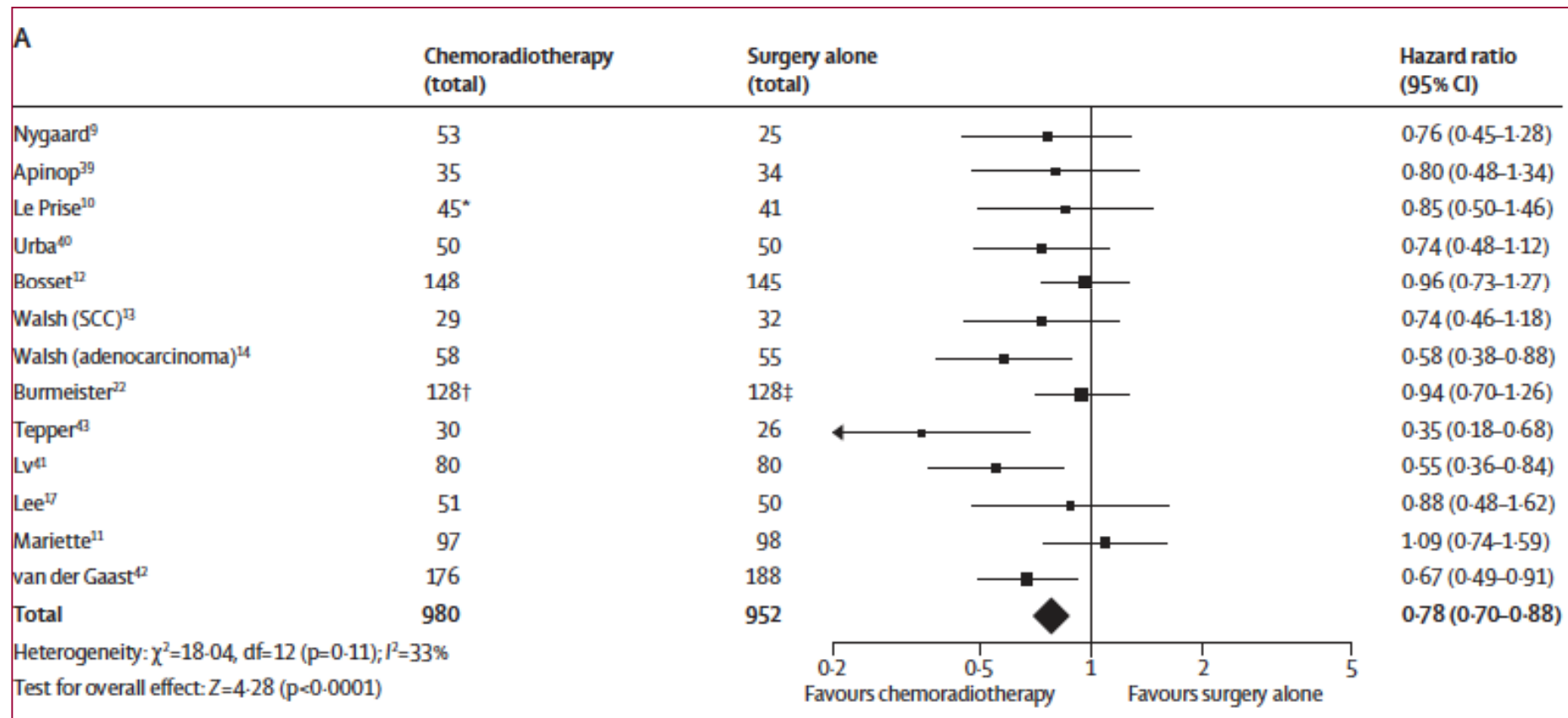
# Pre-operative chemoradiation improves outcome in esophageal and junctional cancer: the CROSS trial



Shapiro et al. *Lancet Oncol* 2015 (median FU 84.1 months)

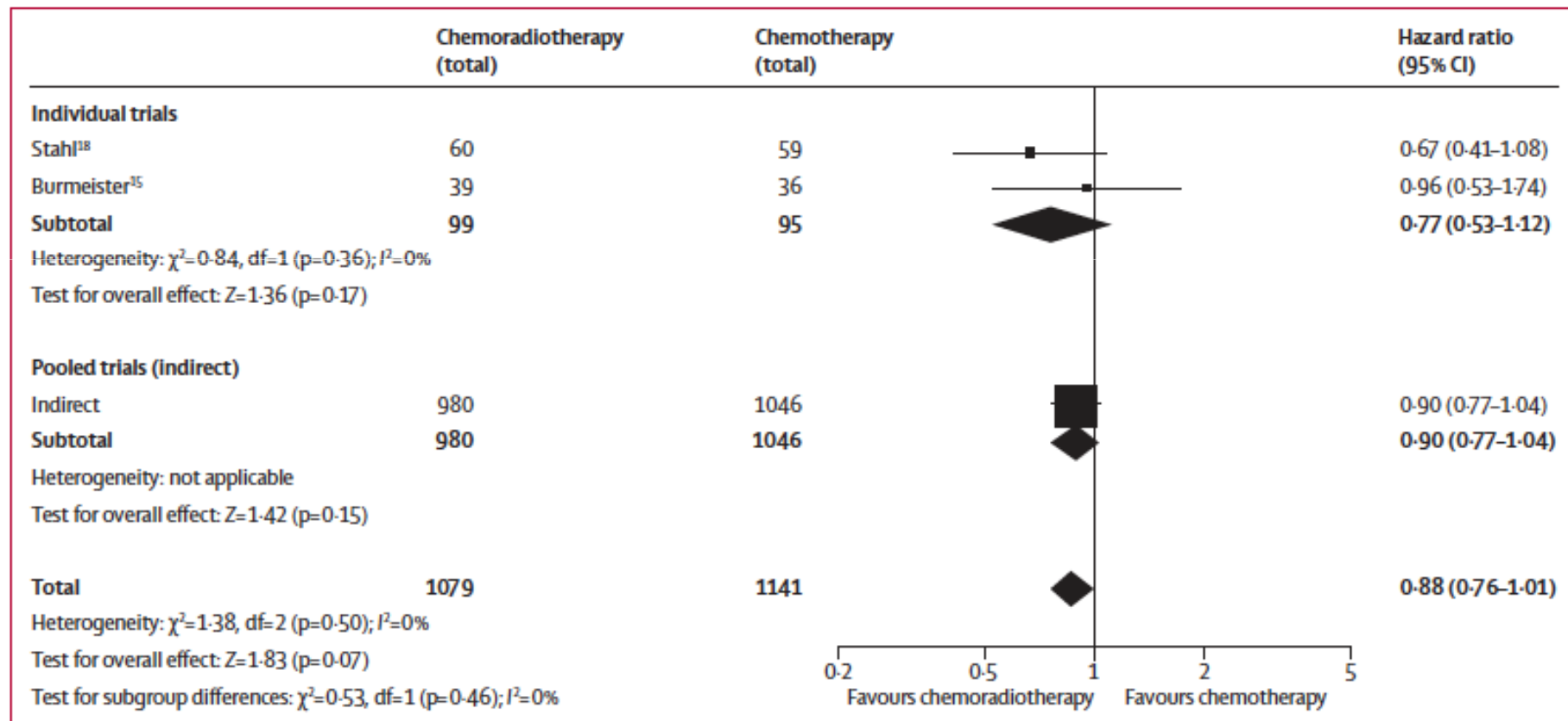
# Survival after neoadjuvant chemotherapy or chemoradiotherapy for resectable oesophageal carcinoma: an updated meta-analysis

Katrin M Sjoquist, Bryan H Burmeister, B Mark Smithers, John R Zalberg, R John Simes, Andrew Barbour, Val Gebski, for the Australasian Gastro-Intestinal Trials Group



# Survival after neoadjuvant chemotherapy or chemoradiotherapy for resectable oesophageal carcinoma: an updated meta-analysis

Katrin M Sjoquist, Bryan H Burmeister, B Mark Smithers, John R Zalcberg, R John Simes, Andrew Barbour, Val Gebski, for the Australasian Gastro-Intestinal Trials Group



# Survival after neoadjuvant chemotherapy or chemoradiotherapy for resectable oesophageal carcinoma: an updated meta-analysis

	Year started	Radiotherapy schedule	Chemotherapy schedule	Concurrent or sequential	Tumour type	Sample size	Median follow-up (months)*
<b>Chemoradiotherapy vs surgery alone</b>							
Nygaard <sup>9</sup>	1983	35 Gy, 1.75 Gy per fraction over 4 weeks	Two cycles: cisplatin 20 mg/m <sup>2</sup> days 1-5; bleomycin 5 mg/m <sup>2</sup> days 1-5	Sequential	SCC	78	18†
Apinop <sup>39</sup>	1986	40 Gy, 2 Gy per fraction over 4 weeks	Two cycles: cisplatin 100 mg/m <sup>2</sup> day 1; fluorouracil 1000 mg/m <sup>2</sup> days 1-4	Concurrent	SCC	69	12†
<p><b>Different neoadjuvant schedules:</b></p> <ul style="list-style-type: none"> <li>• 20-50.5 Gy in 10-28 Fx</li> <li>• 5FU/cis; bleo/cis; paclitaxel/cis; paclitaxel/carbo</li> <li>• Sequential/concurrent</li> </ul>							
Mariette <sup>11  </sup>	2000	45 Gy in 25 fractions over 5 weeks	Two cycles: cisplatin 75 mg/m <sup>2</sup> day 1 or 2; fluorouracil 800 mg/m <sup>2</sup> days 3-5	Concurrent	SCC and adenocarcinoma	195	68
van der Gaast <sup>12  </sup>	2004	41.4 Gy, 1.8 Gy per fraction over 4-6 weeks	5 weeks concurrent chemotherapy: carboplatin area under curve=2 and paclitaxel 50 mg/m <sup>2</sup> on day 1 weekly	Concurrent	SCC and adenocarcinoma	364	32

## Surgery vs. surgery + adjuvant chemotherapy, radiotherapy, CRT

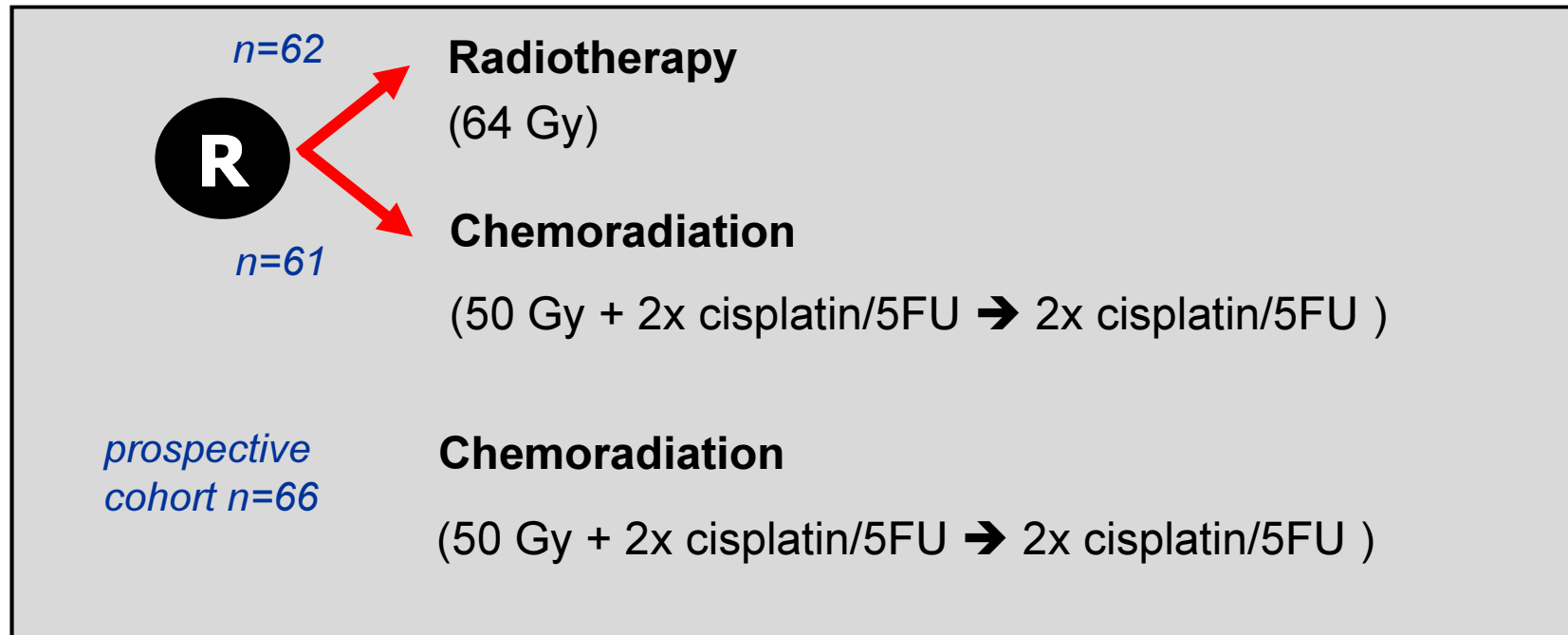
	Number of patients	Study treatments	Regimen	Histology	Median survival (months)	Overall survival (%)
Macdonald et al, 2001 <sup>106</sup>	556	Surgery vs surgery and adjuvant CRT	Sequential and concurrent CRT with fluorouracil	556 (100%) adenocarcinoma (445 [80%] stomach, 111 [20%] gastro-oesophageal junction)	27 vs 36	(3-year) 41% vs 50%*
Ando et al, 2003 <sup>105</sup>	242	Surgery vs surgery and adjuvant chemotherapy	Fluorouracil+ cisplatin	242 (100%) SCC	NR	(5-year) 52% vs 61%†
Armanios et al, 2004 <sup>103‡</sup>	55	Surgery and adjuvant chemotherapy	Cisplatin+ paclitaxel	55 (100%) adenocarcinoma	31.2	(3-year) 42%
Xiao et al, 2003§	495	Surgery vs surgery and adjuvant RT	50.0-60.0 Gy in 25-30 fractions	495 (100%) SCC	NR	(5-year) 31.7% vs 41.3%
Ténière et al, 1991§	221	Surgery vs surgery and adjuvant RT	45.0-55.0 Gy	221 (100%) SCC	18 vs 18	(5-year) 17.6% vs 18.6%
Fok et al, 1993§	130	Surgery vs surgery and adjuvant RT	49.0-52.5 Gy in 14 fractions	104 (80%) SCC, 26 (20%) adenocarcinoma	15.2 vs 8.7¶	NR
Zieren et al, 1995§	68	Surgery vs surgery and adjuvant RT	Up to 30.6 Gy	68 (100%) SCC	NR	(3-year) 20% vs 22%

CRT-chemoradiotherapy. RT-radiotherapy. SCC-squamous-cell carcinoma. NR-not reported. \*Difference significant for overall survival. †Although overall survival did not differ (p=0.13), disease-free survival was improved with adjuvant chemotherapy (45% vs 55%, p=0.037). ‡Phase 2 non-randomised, non-controlled trial. §Appendix pp 7-8. ¶Difference significant for median survival.

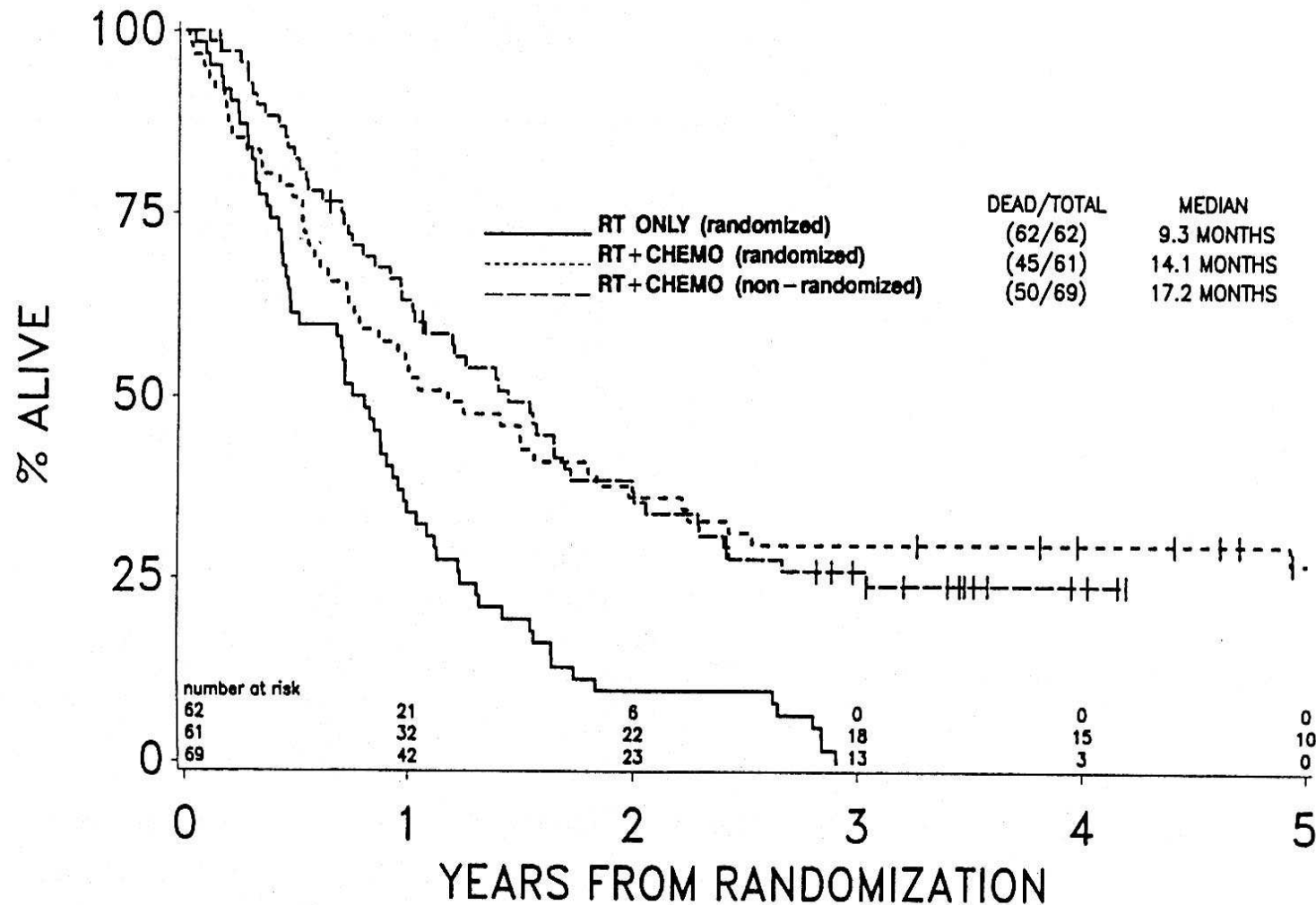
**Table 4: Results of trials of adjuvant chemotherapy, radiotherapy, and chemoradiotherapy** *Pennathur et al, Lancet 2013*

- Rationale: may be beneficial for specific subgroups (node-positive disease; positive margins)
- No consistent benefits

*Definitive chemoradiotherapy vs. radiotherapy in locally advanced esophageal cancer: RT0G 85-01*

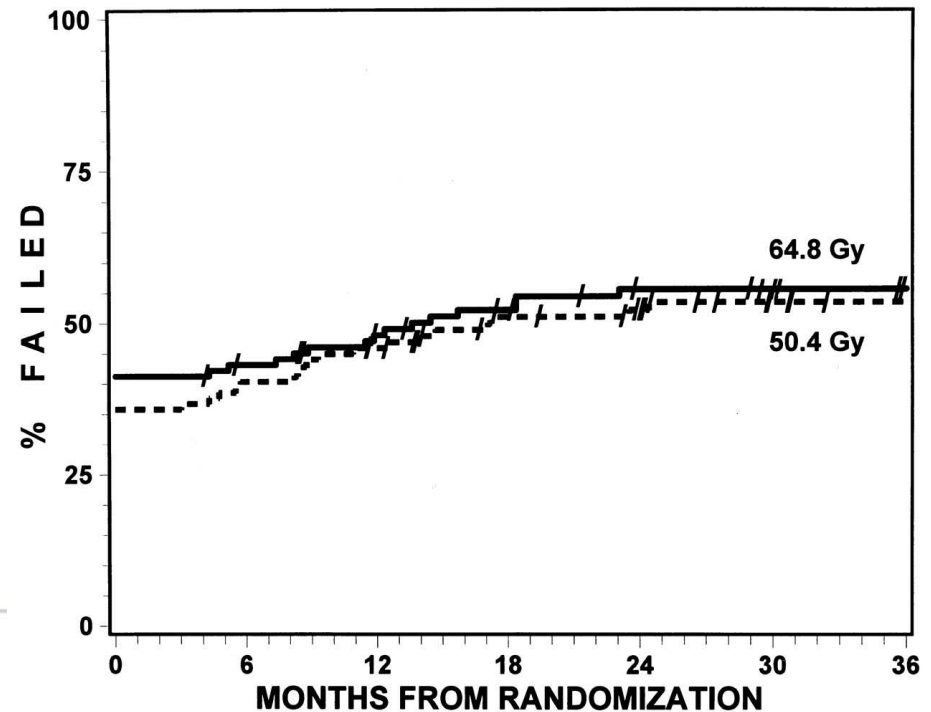
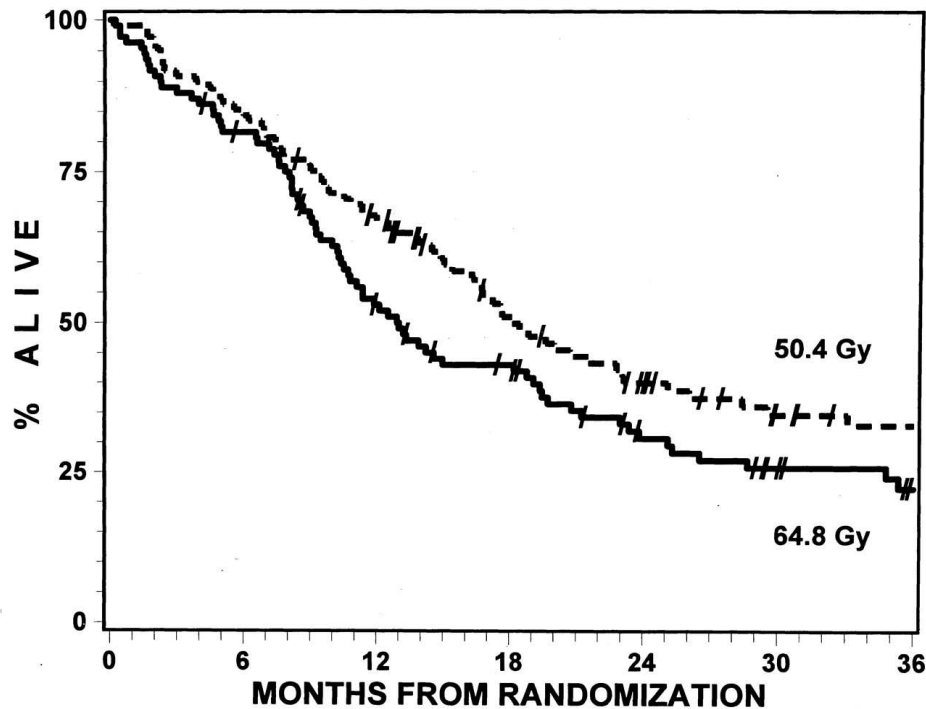
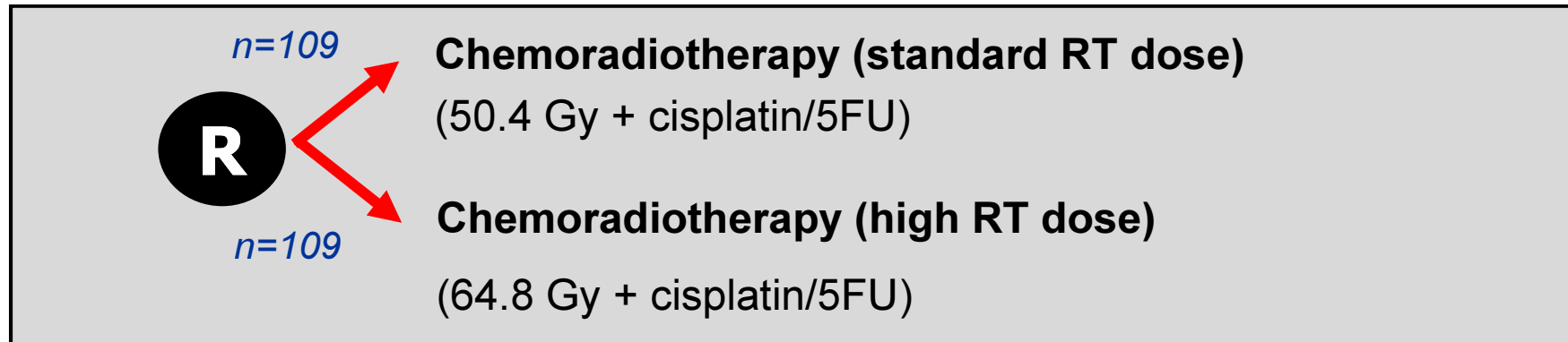


*Definitive chemoradiotherapy is superior to radiotherapy in locally advanced esophageal cancer: RT0G 85-01*





*Definitive chemoradiotherapy in esophageal cancer:  
higher radiation dose does not improve outcome: RTOG 94-05*

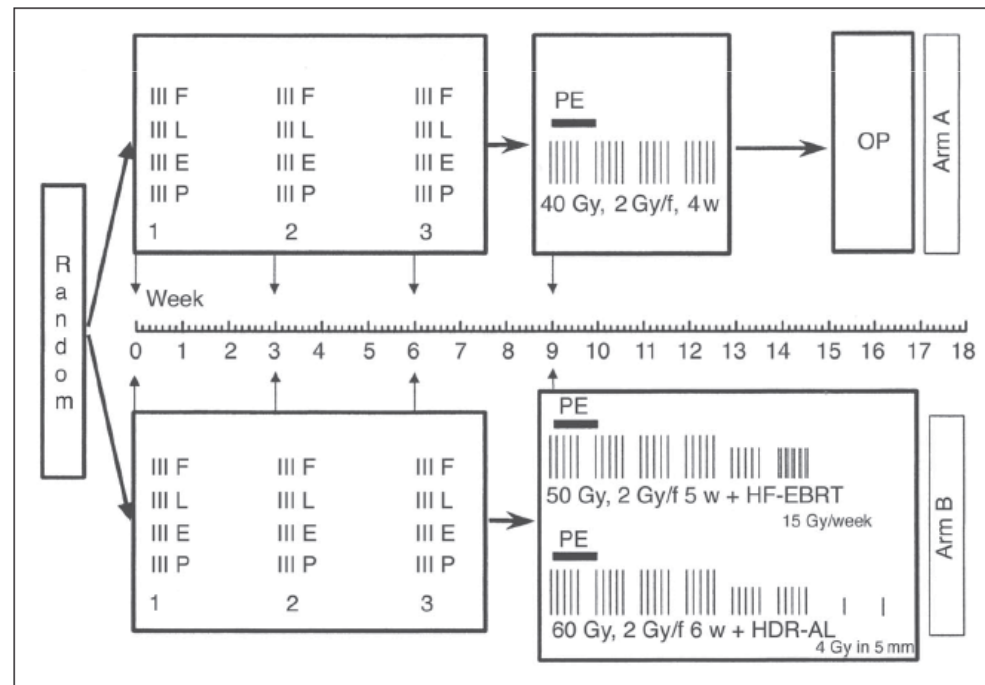
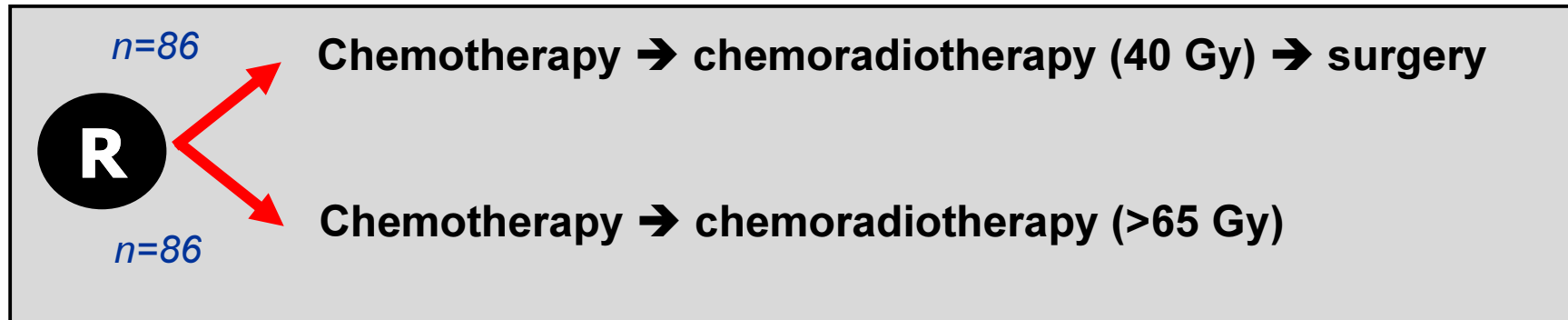


# Treatment-related deaths

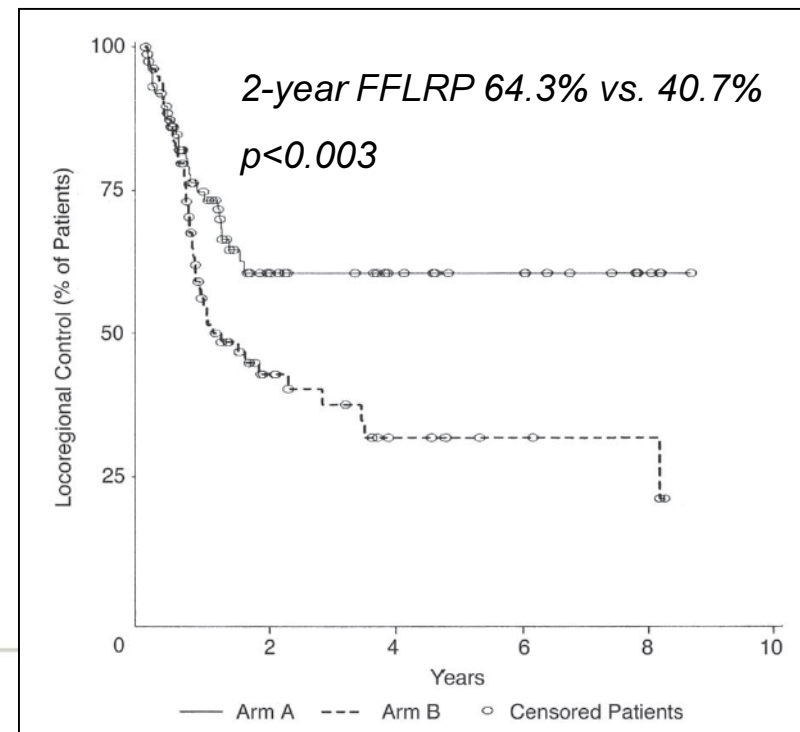
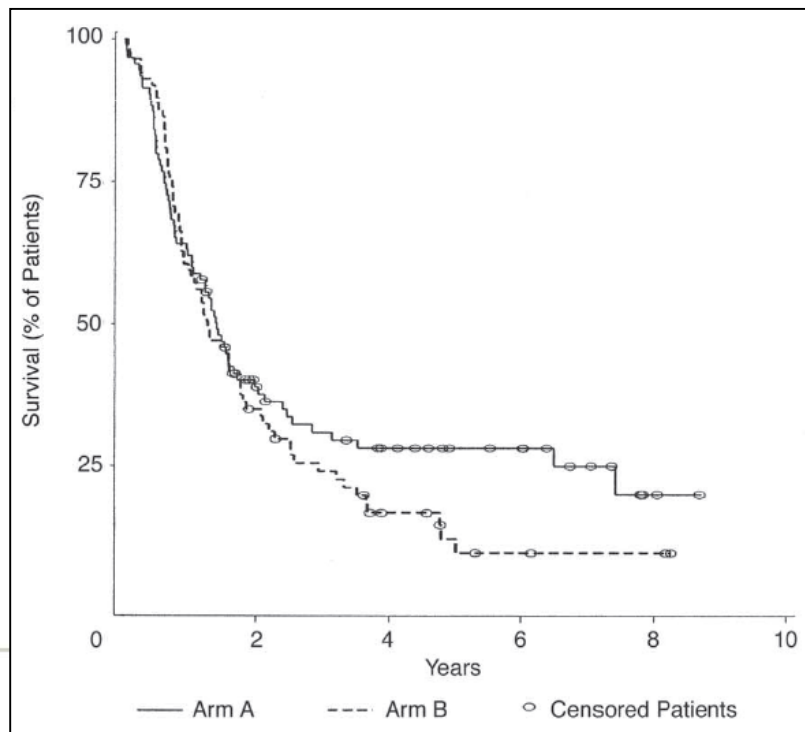
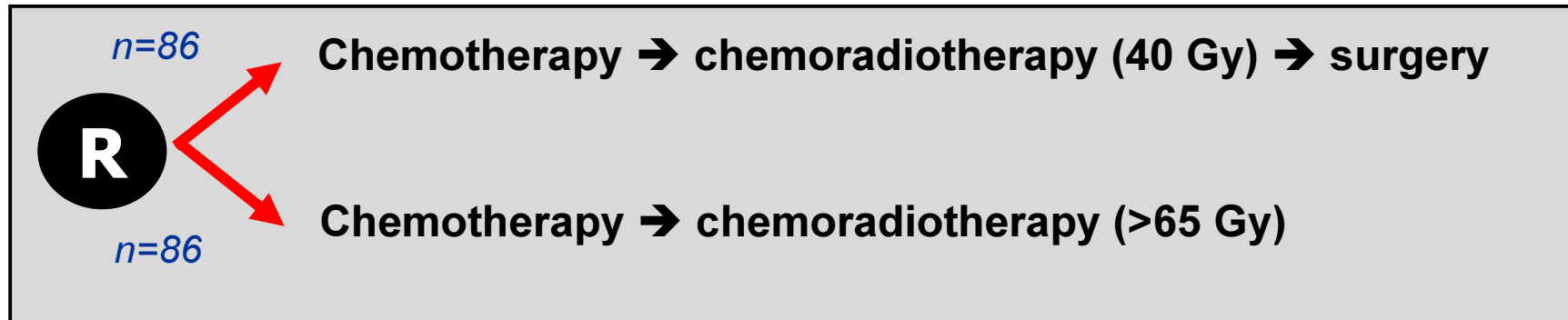
**Table 4. Treatment-Related Deaths (grade 5)**

Dose Received	Toxicity
High dose (64.8 Gy)	
5.4 Gy	Cardiac
5.4 Gy	Cardiac, genitourinary
9.0 Gy	Cardiac, hematologic
37.8 Gy	Respiratory
43.2 Gy	Hematologic, infection, genitourinary
50.4 Gy	Infection
50.4 Gy	Genitourinary
54.0 Gy	Infection
61.2 Gy	Hematologic
64.8 Gy	Infection
64.8 Gy	Fistula, gastrointestinal
Standard dose (50.4 Gy)	
50.4 Gy	Infection
50.4 Gy	Infection

*Adding surgery to chemoradiotherapy improves local control, but not survival (LA-SCC)*



*Adding surgery to chemoradiotherapy improves local control, but not survival (LA-SCC)*



# **A Randomized Trial of Dose Escalation in definitive Chemoradiotherapy for patients with Oesophageal cancer**

## **Primary objective**

To improve the local tumor control rate by escalating the RT dose in definitive CRT for patients with locally irresectable or medically inoperable carcinoma of the esophagus or gastric junction

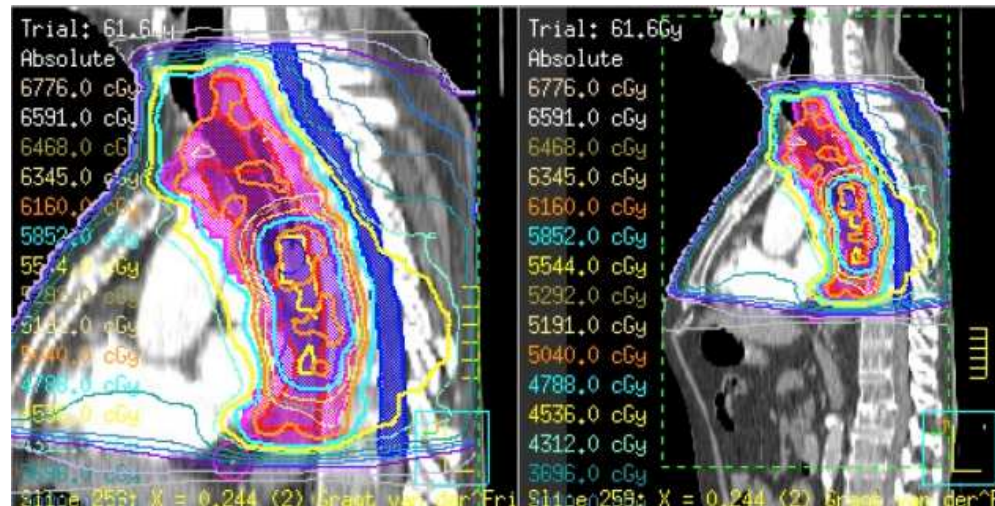
# Dutch dose escalation trial definitive CRT

## ART-DECO

R

Standard: 50.4 Gy/28 fr + weekly carbo/paclitaxel

Experimental: 61.6 Gy/28 fr (SIB boost GTVoes) + C/P



# Critical structures and dose constraints

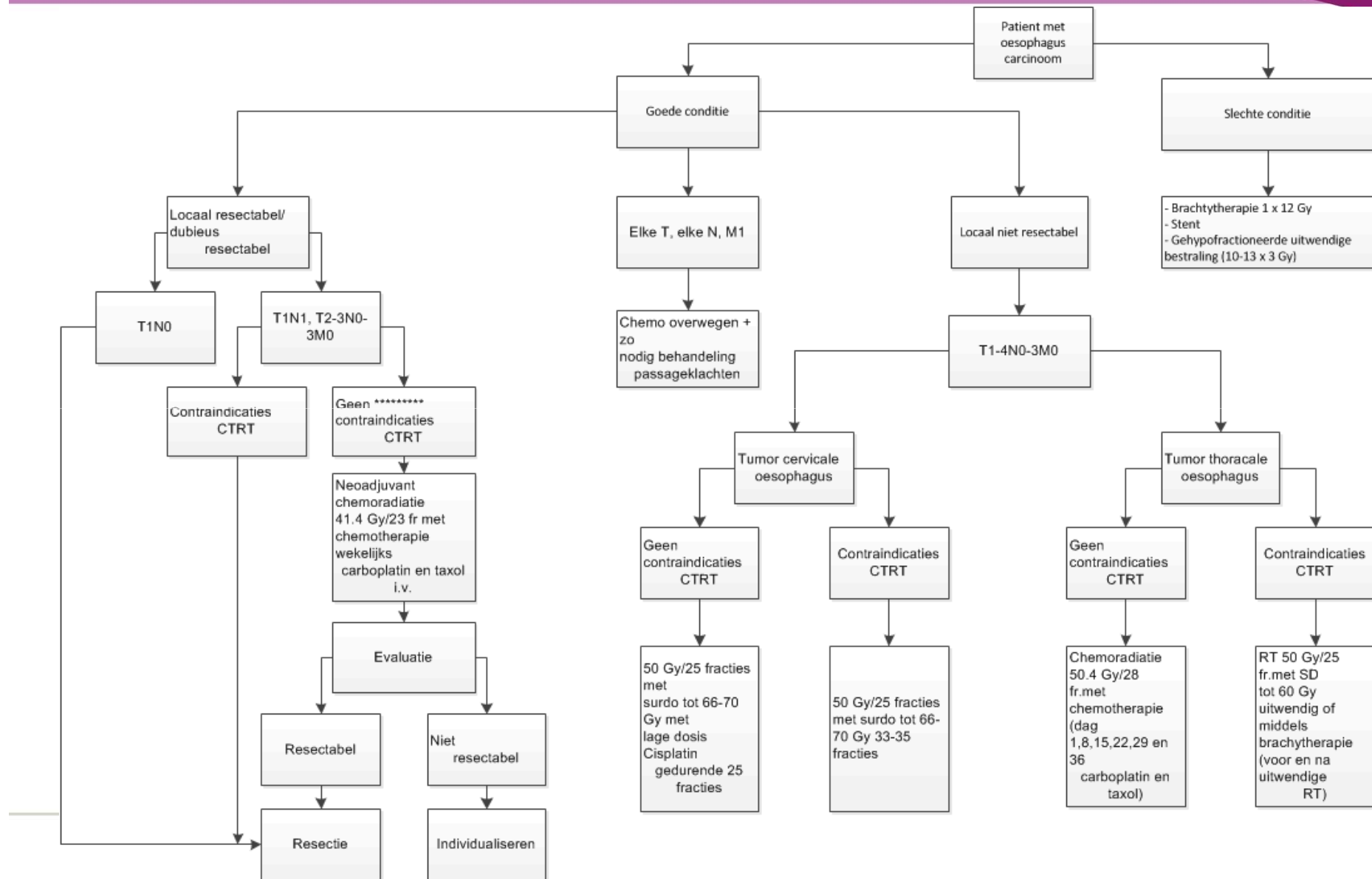
- Lungs: EQD2  $D_{\text{mean}} \leq 16 \text{ Gy}$  ( $\alpha/\beta=3$ )
- Heart: 3/3 <40 Gy; 2/3 <50 Gy; 1/3 < 66 Gy  
(<30% cardiac silhouette may receive 40 Gy)
- Spinal cord: EQD2  $D_{\text{max}} \leq 50 \text{ Gy}$  ( $\alpha/\beta=2$ )

# Conclusions

- *Incidence of esophageal cancer is increasing*
- *Prognosis is poor due to advanced stages at diagnosis*
- *Treatment is challenging and requires multidisciplinary approach*
- *Largest gain is obtained in neo-adjuvant setting (CRT>CT?)*
- *Whether there is room for RT dose escalation remains unanswered (subgroups? Better/safer RT techniques?)*



# Flow diagram



NB. TNM classificatie 7<sup>e</sup> editie



# **ESOPHAGUS: Dose constraints for Organs at Risk**

Prof. Philippe MAINGON

## Organs at Risk ...

- Heart
- Lungs
- Spinal cord
- Vertebrae
- Thyroid
- Stomach
- Liver
- Biliary tract
- Pancreas
- Spleen
- Kidneys
- Vessels, pericarde, coronary arteries
- Esophagus
- Patient at risk

## Organs at Risk ...

- **Heart**
- **Lungs**
- **Spinal cord**
- **Vertebrae**
- **Thyroid**
- **Stomach**
- **Liver**
- Biliary tract
- Pancreas
- Spleen
- Kidneys
- **Vessels, pericarde, coronary arteries**
- **Esophagus**
- **Patient at risk**

# Normal tissue tolerance dose

**Table 2** Summary of Dosimetric Parameters for Clinical Toxicity

Organ	Emami <sup>2</sup> TD 5/5	Emami <sup>2</sup> TD 50/5	Endpoints	Dosimetric Parameters	Endpoints
Brainstem	1/3: 60 Gy 2/3: 53 3/3: 50	1/3: - 2/3: - 3/3: 65 Gy	Necrosis, infarction	V60 <0.9 mL	<5% grade ≥1 toxicity
Spinal cord	5 cm: 50 Gy 10 cm: 50 20 cm: 47	5 cm: 70 Gy 10 cm: 70 20 cm: -	Myelitis, necrosis	max <50 Gy	<5% grade ≥3 toxicity
Cervical spinal cord	—	—	—	EUD <52 Gy, max. <55 Gy	<5% grade ≥3 toxicity
Parotid	1/3: - 2/3: 32 Gy 3/3: 32	1/3: - 2/3: 46 Gy 3/3: 46	Xerostomia	Mean dose <26 Gy	Late grade 2 xerostomia resulting from >75% functional loss
Lung	1/3: 45 Gy 2/3: 30 3/3: 17.5	1/3: 65 Gy 2/3: 40 3/3: 24.5	Pneumonitis	V13 <40% V20 <25-30% V30 <10-15% MLD <10-20 Gy	Late grade 2 in <10-20% Late grade 3 in <5-10%
Heart	1/3: 60 Gy 2/3: 45 3/3: 40	1/3: 70 Gy 2/3: 55 3/3: 50	Pericarditis	V33 <60%, V38 <33% V42 <20%	5% excess cardiac mortality
Esophagus	1/3: 60 Gy 2/3: 58 3/3: 55	1/3: 72 Gy 2/3: 70 3/3: 68	Clinical stricture/ perforation	V50 and S50 <30%	5% risk of late toxicity
Rectum	1/3: 60 Gy 2/3: 60 3/3: 60	1/3: 80 Gy 2/3: 80 3/3: 80	Proctitis, necrosis, fistula, stenosis	V70-80 ≤15 cc V70 ≤20-25%	Late grade 2 in <5-10%
Liver	1/3: 50 Gy 2/3: 35 3/3: 30	1/3: 55 Gy 2/3: 45 3/3: 40	Liver failure	1/3: 40-80 Gy 2/3: 30-50 3/3: 25-35	Late grade 3-4 liver toxicity <5%
Kidney	1/3: 50 Gy 2/3: 30 3/3: 23	1/3: - 2/3: 40 Gy 3/3: 28	Clinical nephritis	median dose <17.5 Gy	anemia, azotemia, hypertension and edema

# OAR

## National Comprehensive Cancer Network (NCCN) Guidelines

- Liver  $V60% < 30\text{Gy}$
- Kidney  $2/3 \leq 20\text{Gy}$
- Spinal cord  $D_{\text{max}} = 45\text{Gy}$
- Heart  $1/3 < 50\text{Gy}$
- Lungs ALARA

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National Comprehensive Cancer Network guidelines, Clinical practice guidelines in oncology, Esophageal cancer, 2009.

[http:// www.nccn.org](http://www.nccn.org). Accessed 07 January 2009



# Normal tissue tolerance dose

## Esophagus

Volume	0-20%	<1%	5-10%	<10%	<20%
	20-40%			>30%	>50%
	40-60%				
	60-80%				
	80-100%				

**Dose (Gy)**                      **0**                      **20**                      **40**                      **60**                      **70**

- Dose limit = 50 Gy      Mean dose > 34 Gy      *Sing IJROBP 2003*
- Entire circumference
- Length of esophagus receiving more than 55 Gy      *Maguire IJROBP 1999*
- Acute esophageal toxicity is the greatest predictor of late toxicity

# Normal tissue tolerance dose

**Dose (Gy)**                      **0**                      **20**                      **40**                      **60**                      **70**

## Spinal Cord

<b>v o l u m e</b>	0-20%	<1%	<5%	10-50%
	20-40%			
	40-60%			
	60-80%			
	80-100%			

## Lung

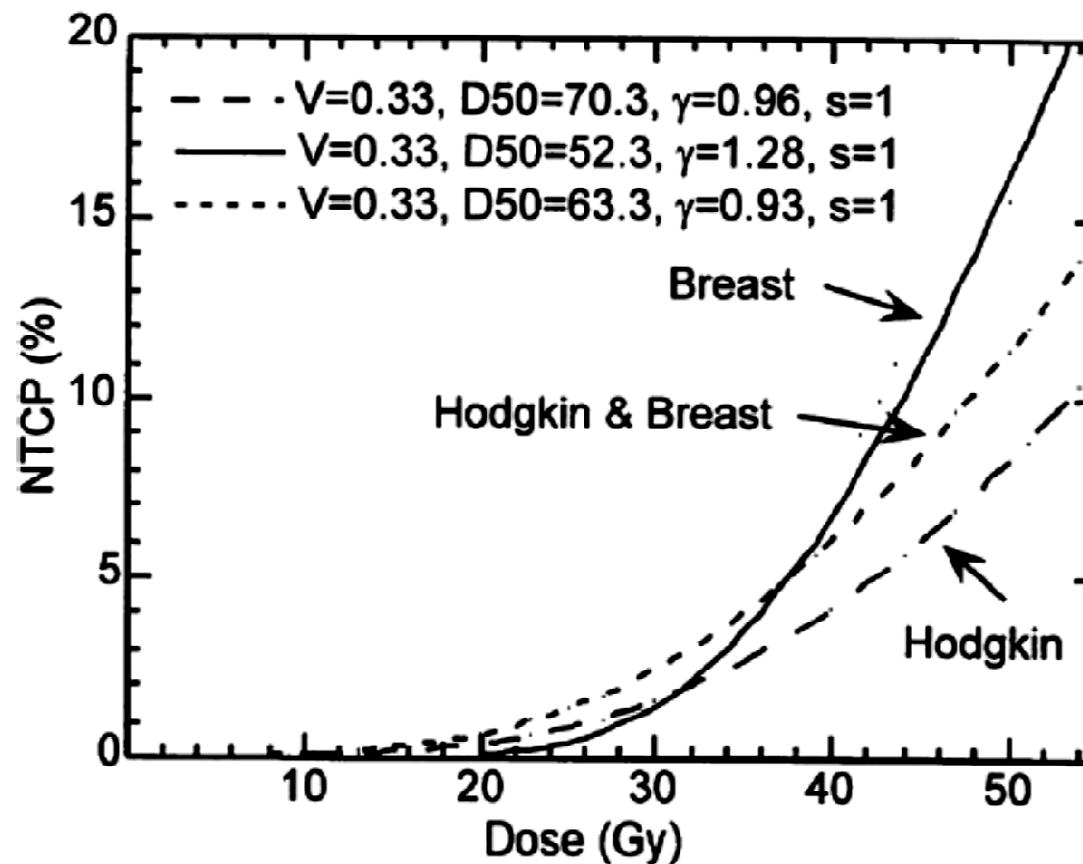
<b>V o l u m e</b>	0-20%	<5%	<5%	<10%	<20%	>20%
	20-40%					
	40-60%		10-20%	30-50%		>75%
	60-80%		>50%			
	80-100%					

## Heart

<b>v o l u m e</b>	0-20%	<5%	<5%	5-10%		10-25%
	20-40%					
	40-60%		10-15%	<15-20%		25-40%
	60-80%			15-25%	25-40%	>40%
	80-100%					



# QUANTEC: Dose volume effect in the heart



# QUANTEC: Dose volume effect in the heart

Table 2. Pericarditis/pericardial effusion: Dose–volume predictors and NTCP parameters

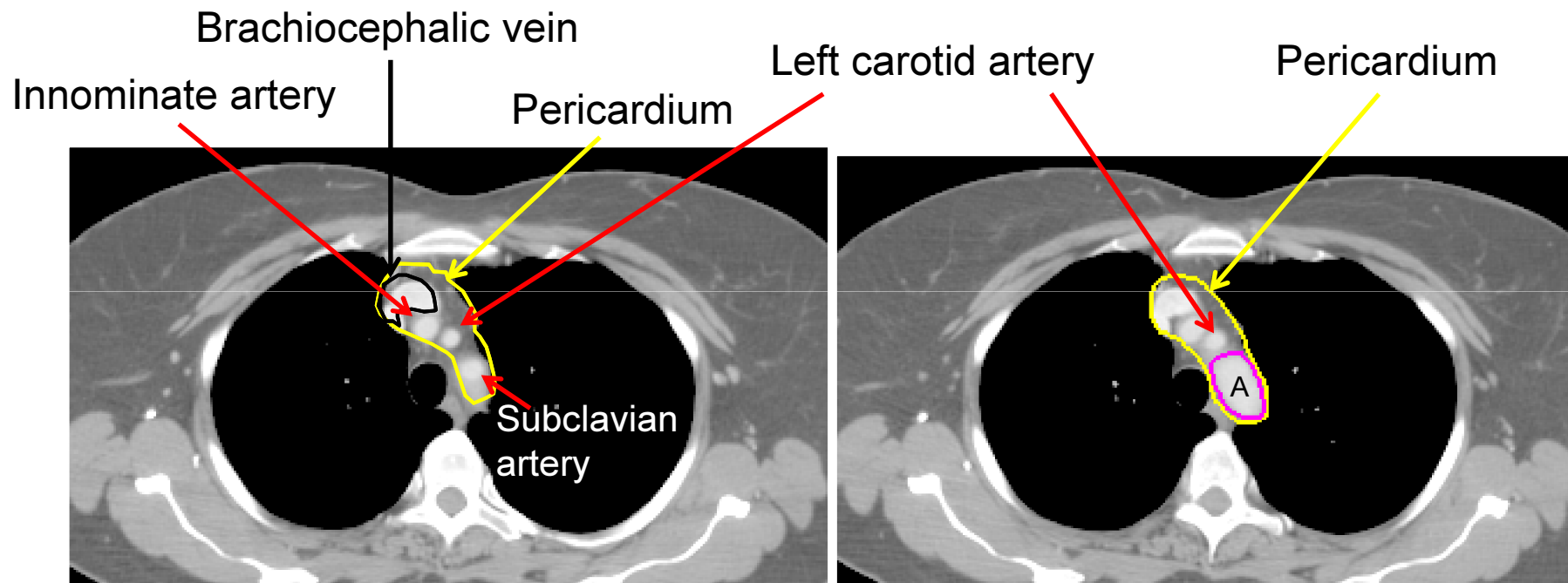
Authors, Year, Reference	Diagnosis, No. of patients, Years of treatment	OAR	Fractionation schedule, dose data	Predictive parameters	NTCP parameters
Carmel and Kaplan* 1976 (3)	Hodgkin's 377 Patients 1964–1972	Pericardium		$D_{\text{pericardium}} > 30 \text{ Gy}$ 50% pericarditis, 36% requiring treatment	
Cosset <i>et al.</i> 1991 (65)	Hodgkin's 499 Patients 1971–1984		35–43 Gy/ 2.5–3.3 Gy/fraction pre-3D dose data	$D_{\text{Mediastinum}} \geq 41 \text{ Gy}$ $d/\text{fraction} \geq 3 \text{ Gy}$ (marginal significance)	
Burman <i>et al.</i> 1991 (66)	Historical data				LKB <sup>†</sup> $TD50 = 48 \text{ Gy}$ $m = 0.10$ $n = 0.35$
Martel <i>et al.</i> 1998 (26)	Esophagus 57 Patients 1985–1991	Pericardium	37.5–49 Gy/ 1.5–3.5 Gy / fraction 3D data	$D_{\text{mean}} > 27.1 \text{ Gy}^{\ddagger}$ $D_{\text{max}} > 47 \text{ Gy}^{\ddagger}$ $d/\text{fraction} 3.5 \text{ Gy}$	LKB (95% CI) $TD50 = 50.6 \text{ Gy} (-9;$ 23.1) $m = 0.13 (-0.07;$ 0.13) $n = 0.64 (-0.58; 3)$
Wei <i>et al.</i> 2008 (27)	Esophagus 101 Patients 2000–2003	Pericardium	45–50.4 Gy 1.8–2.0 Gy/fraction 3D data	$D_{\text{mean,pericardium}} >$ 26.1 Gy $V_{30} < 46\%$	

# QUANTEC: Dose volume effect in the heart

Table 4. Cardiac perfusion defects: Dose–volume predictors and NTCP parameters

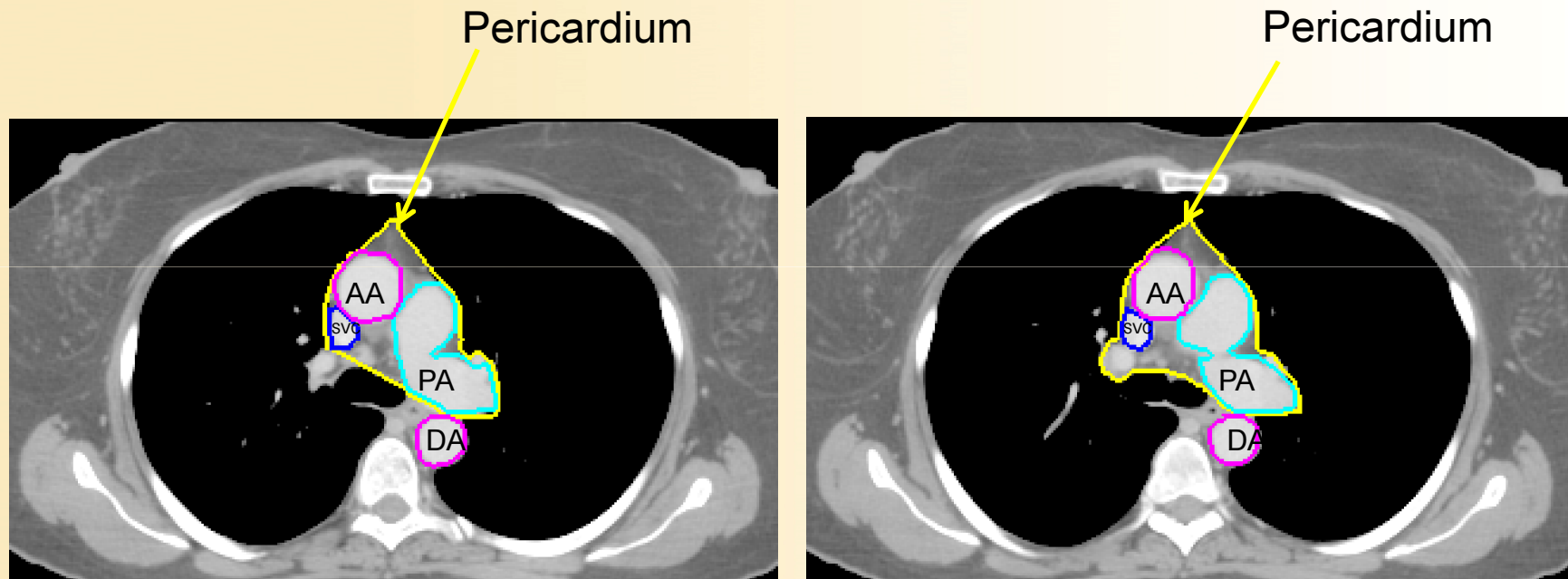
Authors, Year, Reference	Diagnosis, No. of patients, Years of treatment	OAR	Fractionation schedule, Dose data	Predictive parameters	NTCP parameters
Das <i>et al.</i> 2005 (28)	Breast 73 Patients, 1998 (started)	Left ventricle contoured on SPECT	45–60 Gy/ 1.8–2.0 Gy/fr Individual 3D data	Left ventricular volume $V_{23}$ , $V_{33}$	RS (95% CI) $D50 = 12$ Gy (8;24) $\gamma = 0.6$ (0.4;4.6) $s = 1$ (0.6;1) LKB* (95% CI): TD50 = 29 Gy (18;44) $\sigma$ (dose var) = 12 Gy (8;35) $a = 6.3$ (2.5;9.8)

# Pericardium starts ...



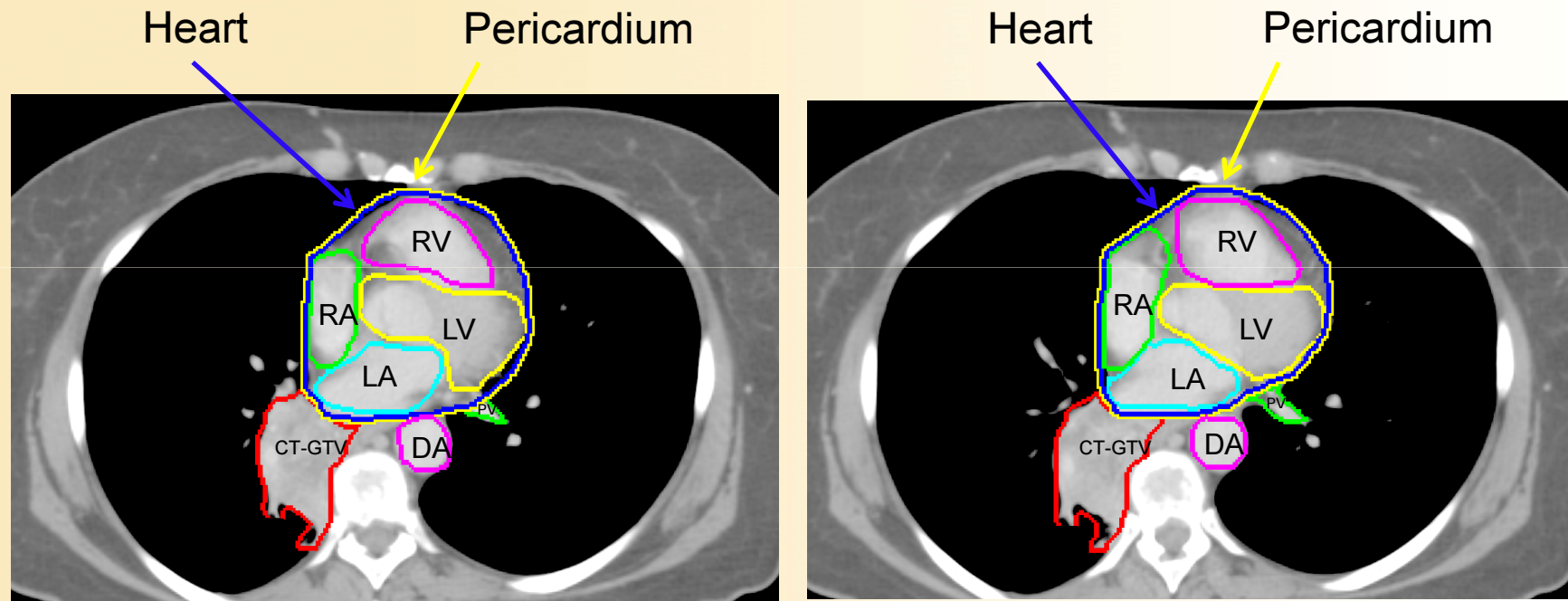
Pericardium starts at 1-2 slices (5-6 mm) above the superior end of the aortic arch

# Pericardium Continues...



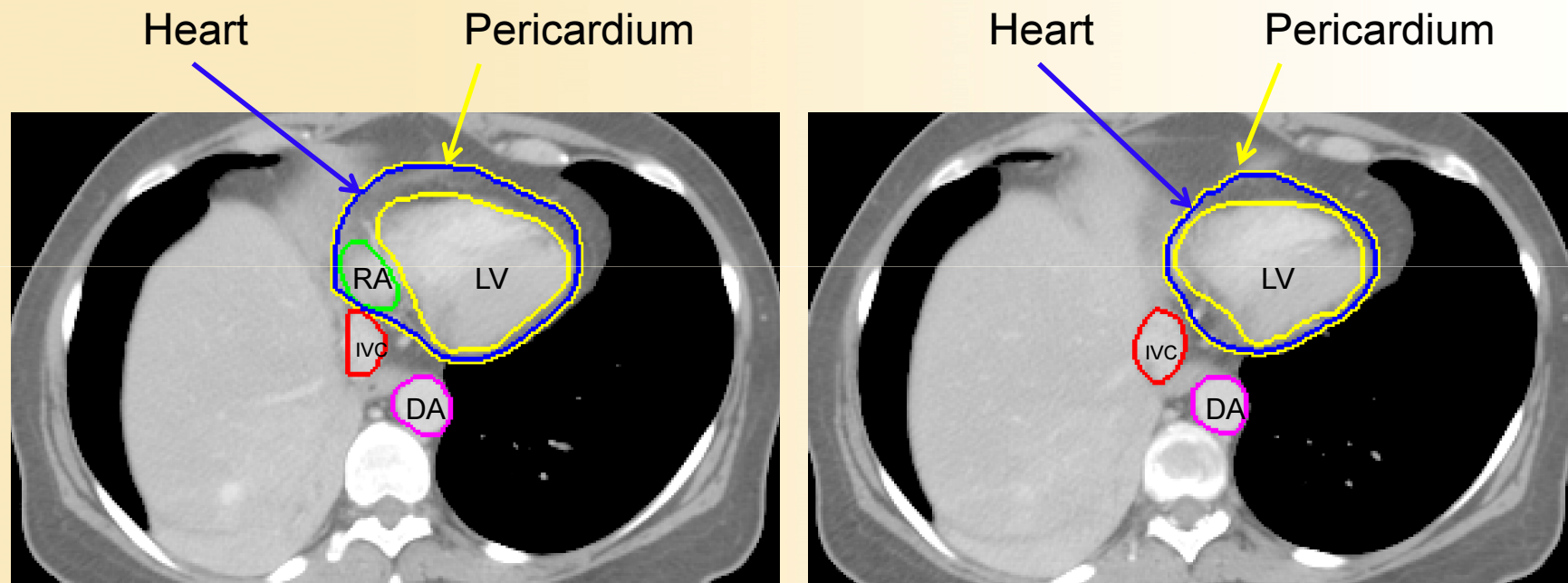
SVC=Superior vena cava  
PA=Pulmonary artery  
AA=Ascending aorta  
DA=Descending aorta

# Heart and pericardium continue...



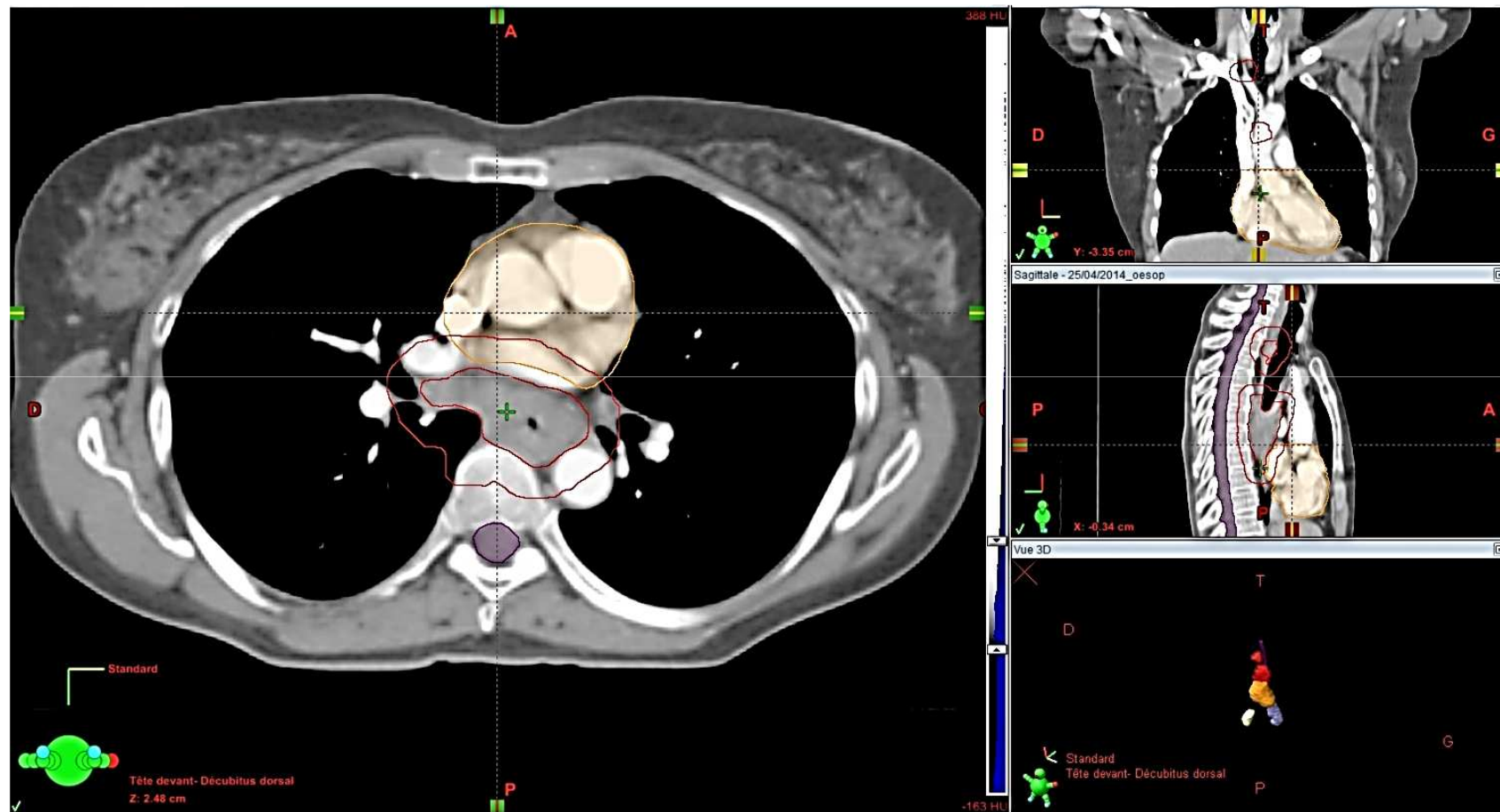
RA=right atrium, RV=right ventricle  
LV=left ventricle, LA=Left atrium  
DA=descending aorta

# Heart and pericardium continue...



IVC=inferior vena cava  
RA=right ventricle  
LV=left ventricle  
DA=descending aorta

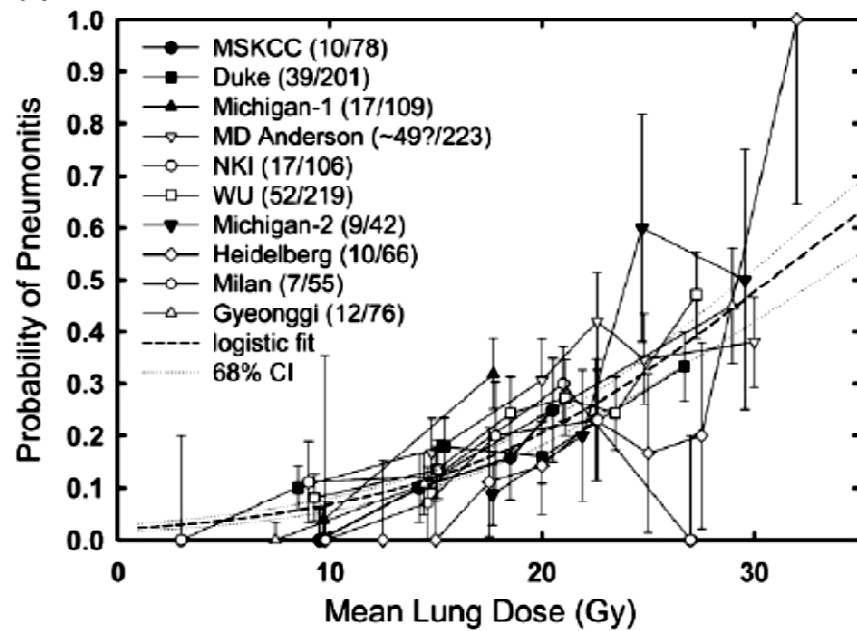
# Pericardium ...



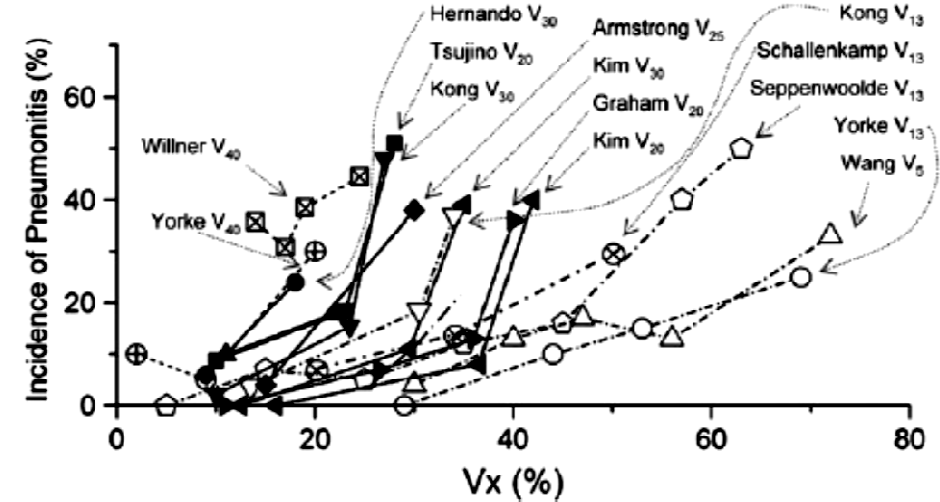


# Radiation Dose-Volume effect in the lung

(a) Symptomatic Pneumonitis vs. Mean Lung Dose



(b)



# OAR

## Heart

### Coronary artery stenosis ...

### Pericarditis 27.7% (5.3 m FU)

- V30 < 46% = 13%
- V30 > 46% = 73%

VEF : 59% before vs 54% after  
(p<0.01)

Carr ZA et al., IJROBP 2005

Wei X et al., IJROBP 2008

Tripp P et al., Dis esophagus 2005

## Lungs

### Lungs V20

- <22% : 100% G0
- 22-31% : 8% G2
- >32% : G3
  
- >40% : 23% de G3-5

V5, V10 (<40%+++), V13, V15 =  
associated with radiation pneumonitis

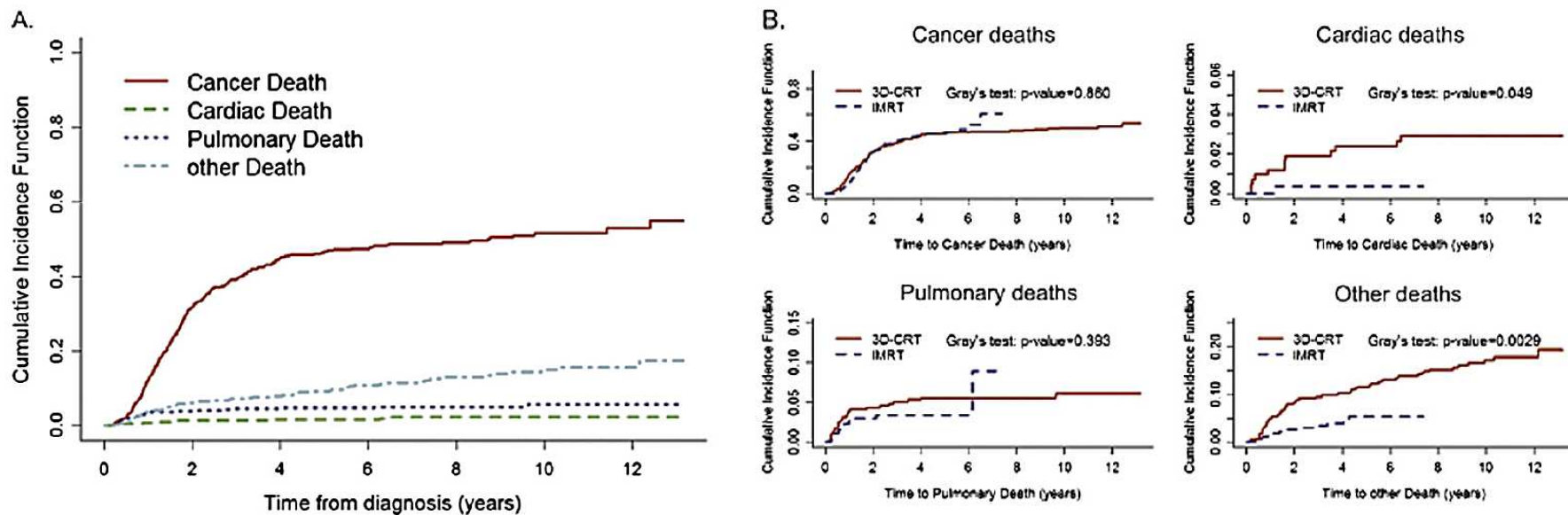
Graham MV et al., IJROBP 1999

Tsujino K et al., IJROBP 2003

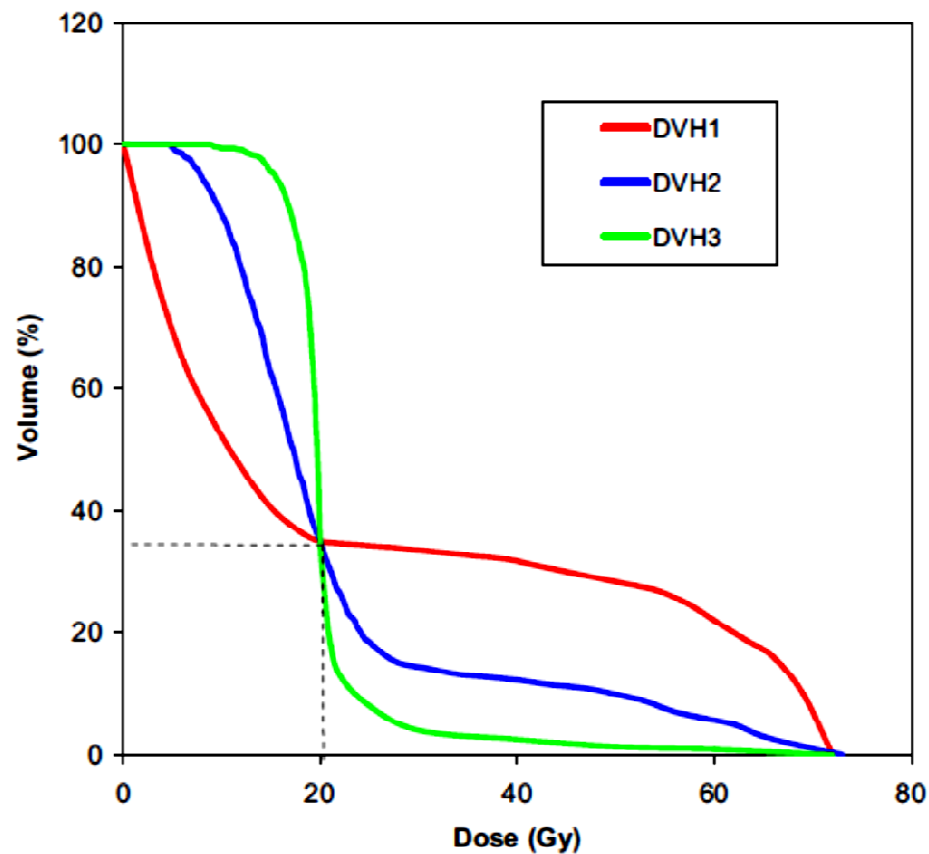
Schallenkamp JM et al., IJROBP 2007

Lee HK et al., IJROBP 2003

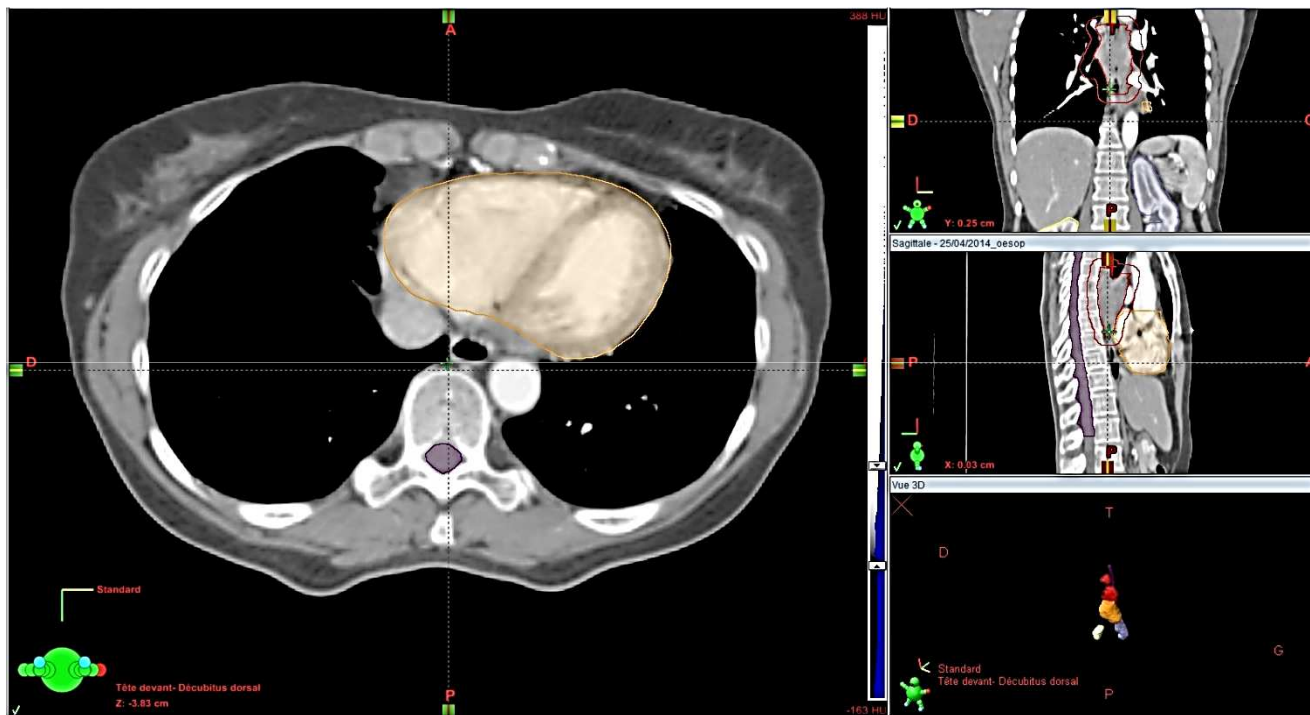
# IMRT : Evolution or Revolution?



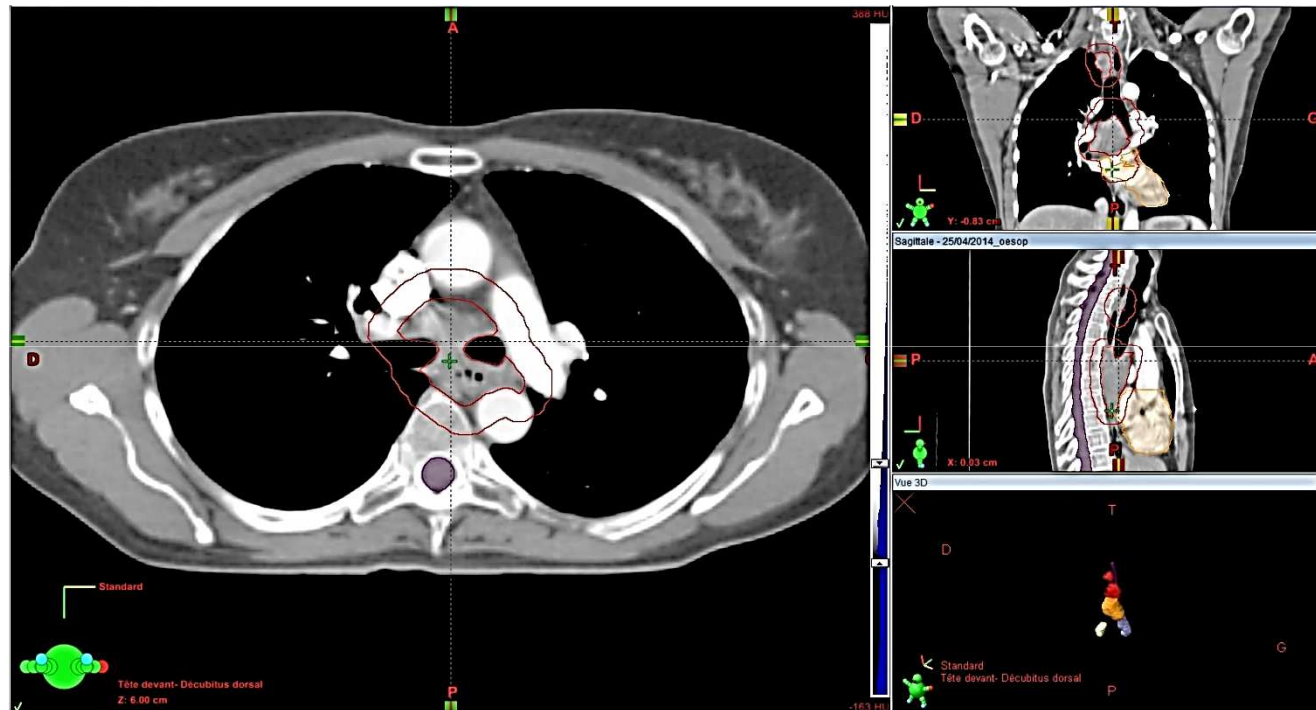
# The problem of a point dosimetric descriptor ...



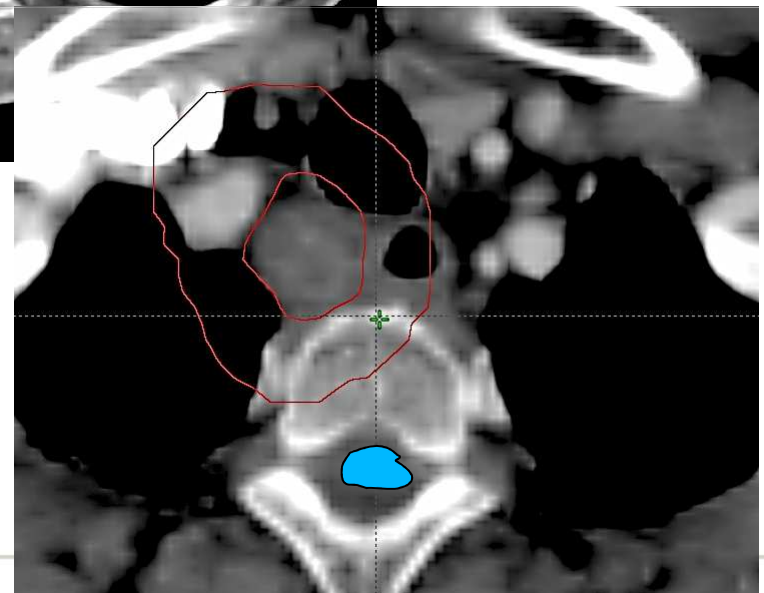
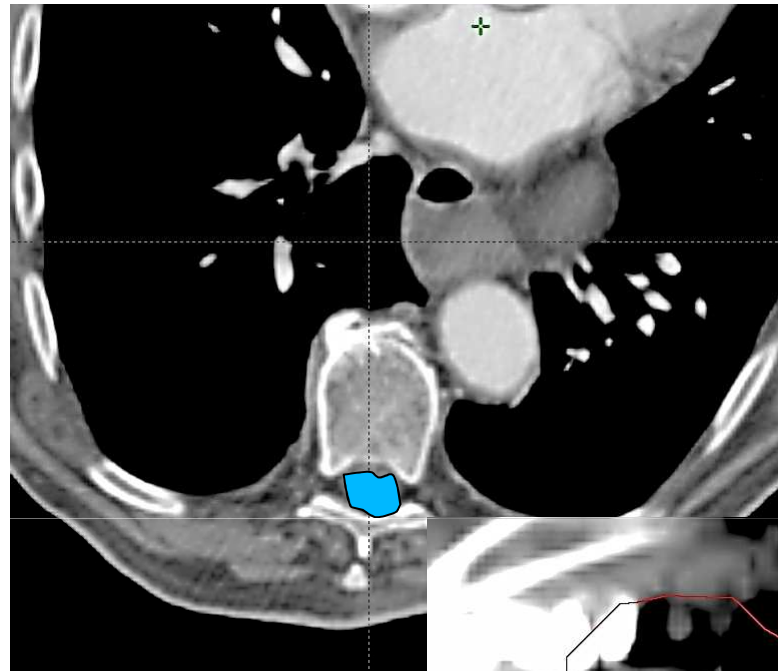
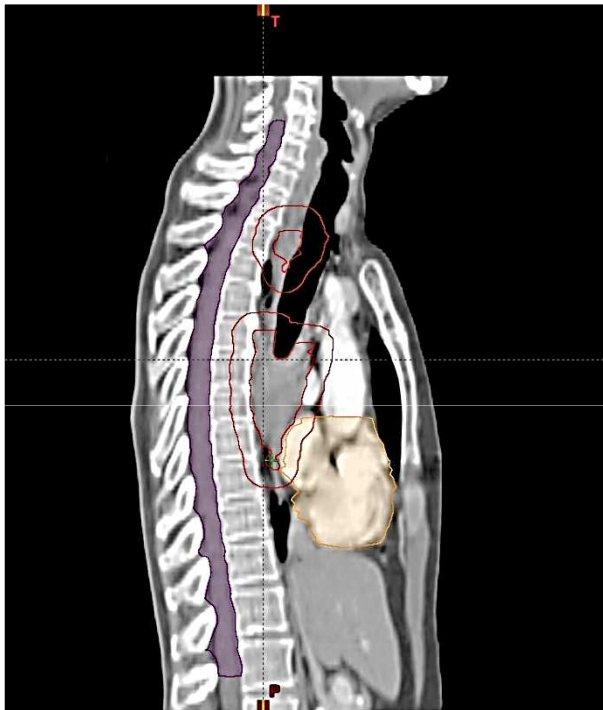
# Spinal cord and Pericardium ...



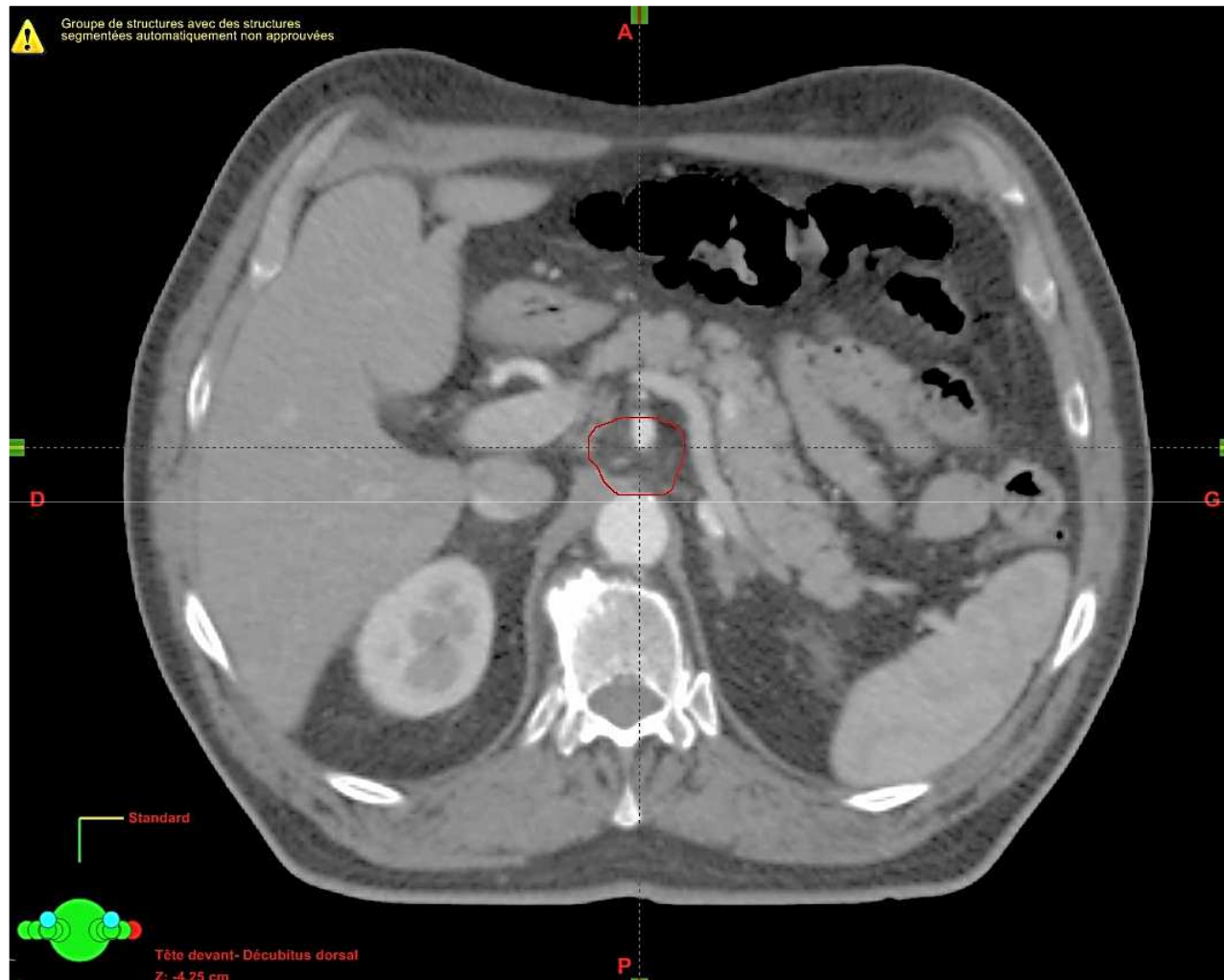
# Spinal cord continues ...



# Spinal cord ... Which one ?



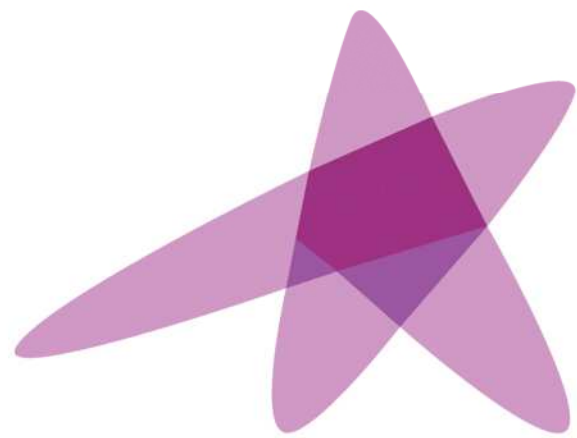
# Bottom of the PTV ...





# CONCLUSIONS

- IMRT should be favored in the treatment of esophageal cancer.
- The inverse treatment planning is asking for constraints to the tumor as well as for organs at risk.
- The constraints to OARs should minimize the dose delivered to critical structures which could be associated to acute toxicities and poor compliance.
- The ALARA principle should be applied to all thoracic irradiated organs.



**ESTRO**

*School*

# “Competitive” plans



Universitair Ziekenhuis Brussel



Vrije Universiteit Brussel

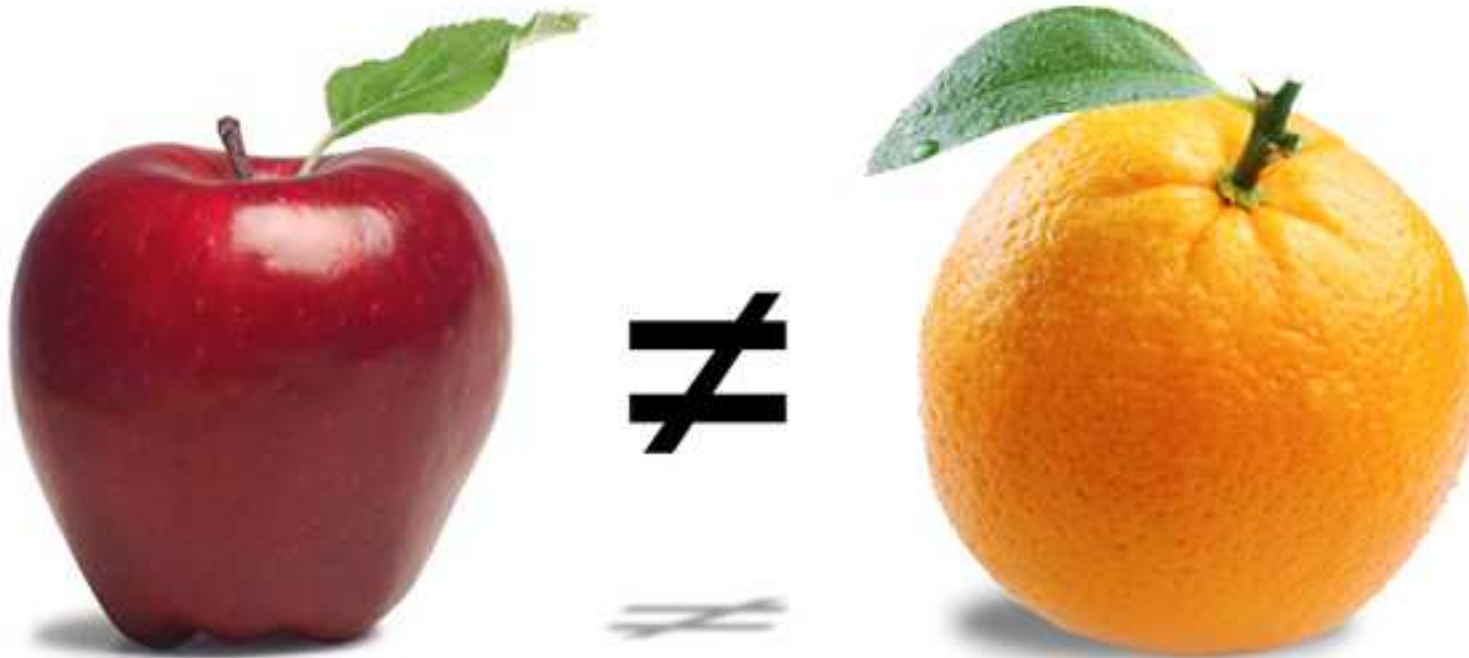


**Dirk Verellen**

*DV is involved in an on-going scientific collaboration with BrainLAB AG, RaySearch, MIM software Inc.*

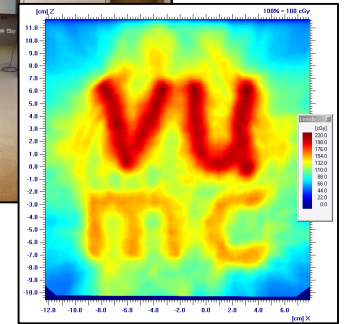
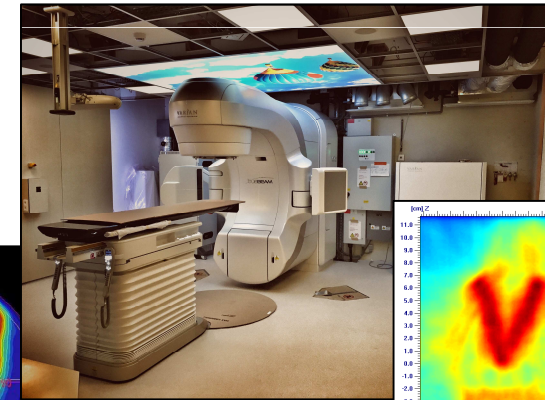
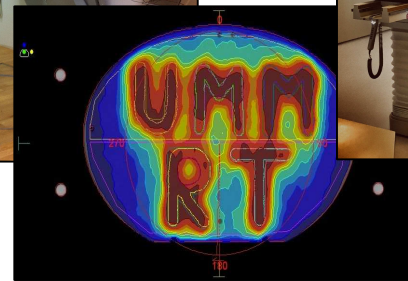
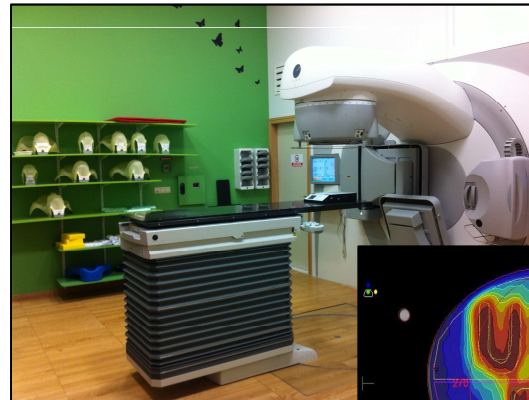
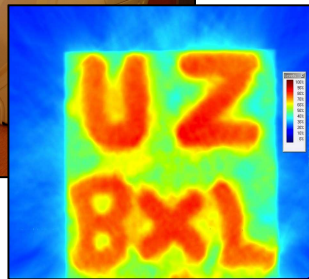
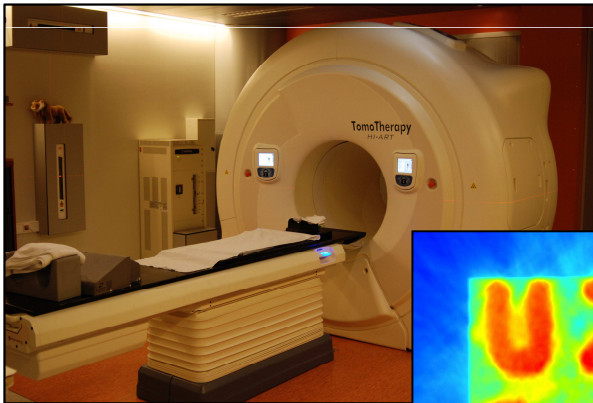
# Outline

- How to compare plans?
- Oesophagus: 3D-CRT versus VMAT
- Oesophagus: 3D-CRT versus Helical TomoTherapy
- Partial gastrectomy: 3D-CRT versus Helical TomoTherapy



# A few disclaimers

- Unlike the title suggests, this exercise is not trying to show superiority of a technology

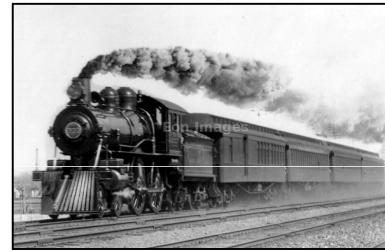


- The plans shown in this presentation are typical plans as they would be performed in clinic, generated by a dosimetrist.
  - eg focus on a certain constraint in the optimizer could drive the IMRT plan to outperform another on that particular variable ... bias, selectivity ...
  - The acceptance criteria, were: “the plan being clinically acceptable, presenting a good compromise.”

# A few disclaimers

- We're limiting ourselves to photon treatment

- ... for obvious reasons

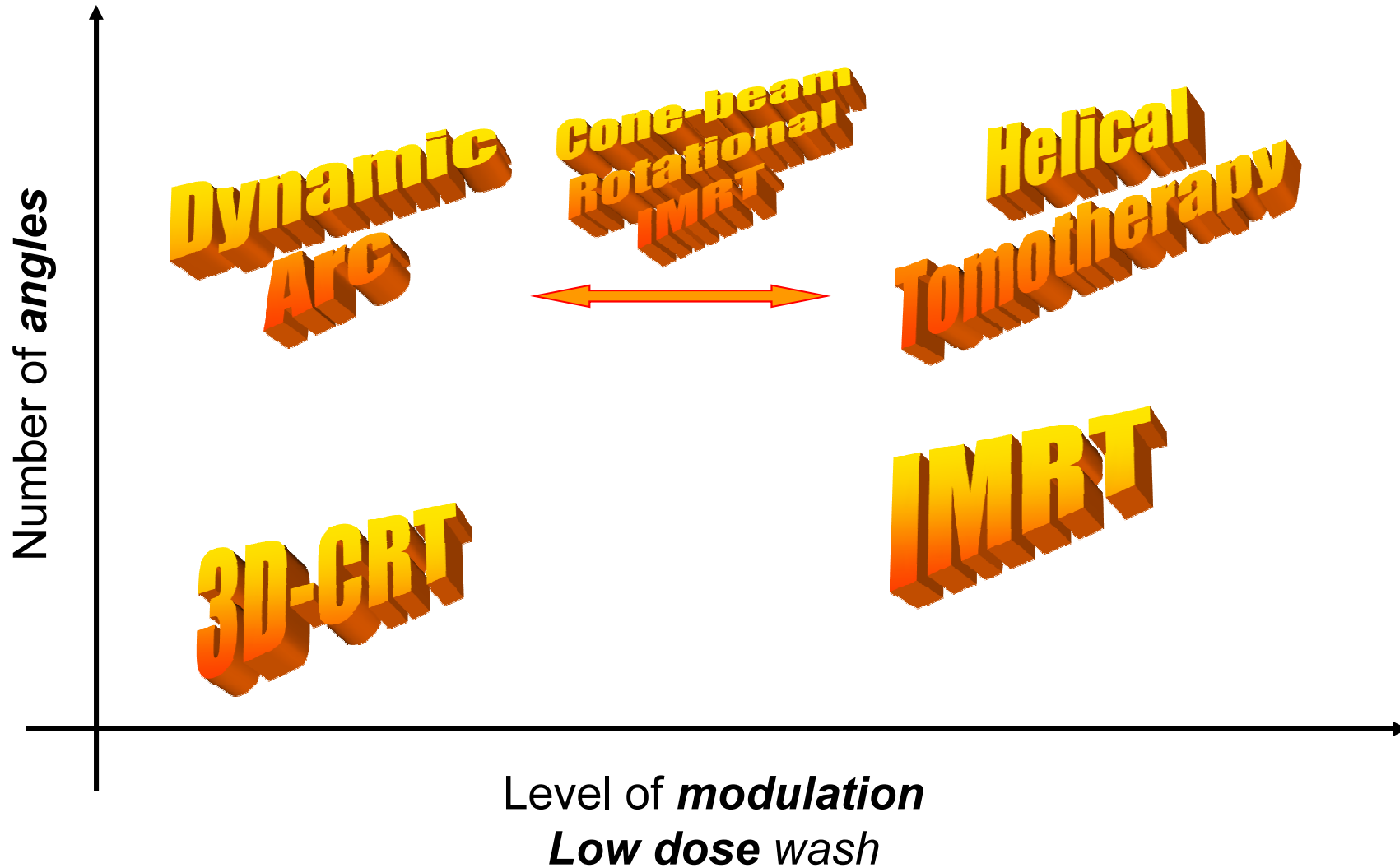


- From 3D-CRT to IMRT to rotational IMRT:  
“**re-distribution of dose**”

- Simplistic: “if you want more conformality, you’ll sacrifice on homogeneity and *vice versa*.”
- The clinical choice is: “delivering more dose to *some* normal tissues and sparing *others* completely” versus “distributing low dose values uniformly within large volumes of normal tissues (***low dose wash***).”



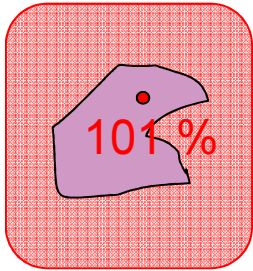
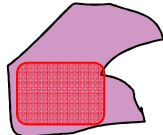
# You get what you pay for



# Dose homogeneity & Conformity

Tumor dose inhomogeneity,  $TDI = (D_{max} - D_{min})/D_{median}$

Conformity Index,  $CI_{95} = V_{NonTargetTissue}/V_{CTV}$

<i>Technique</i>	<b>TDI</b>	<b>CI</b>		
<b>Tomotherapy</b>	<b>0.38</b>	<b>0.35</b>		<b>TDI ++</b>
<b>IMRT opposing</b>	<b>0.26</b>	<b>2.33</b>		
<b>IMRT non opposing</b>	<b>0.25</b>	<b>0.33</b>		
<b>Dynamic Arc</b>	<b>0.26</b>	<b>0.51</b>	<b>PTV</b>	
<b>IMRS opposing</b>	<b>0.30</b>	<b>0.43</b>		<b>CI ++</b>
<b>IMRS non opposing</b>	<b>0.26</b>	<b>0.29</b>		



# Dose homogeneity & Conformity

- Paddick Conformity Index:
  - simultaneously takes into account irradiation of the target volume and irradiation of the healthy tissue

$$\frac{TV_{PI}}{PIV} \times \frac{TV_{PI}}{TV}$$

- $TV_{PI}$  is the target volume (TV) within the prescribed isodose volume (PIV)
- **Part 1:** Healthy tissue receiving dose  $> PI$  (ideally  $\rightarrow 1$ )
- **Part 2:** Quality of target coverage (ideally  $\rightarrow 1$ )
- Ideally, **should be close to 1.**

# Dose homogeneity & Conformity

- Homogeneity Index:

$$HI = \frac{D_2 - D_{98}}{D_P}$$

- $D_2$ : represents maximum dose, dose to 2% of the PTV
- $D_{98}$ : represents minimum dose, dose to 98% of the PTV
- $D_p$ : prescription dose
- **Lower values indicate more homogeneity.**

# Dose homogeneity & Conformity

- Gradient Index:

- A measure for dose fall-off

$$GI = \frac{PIV_{50}}{PIV}$$

- PIV: Prescription isodose volume, in this case PIV<sub>95</sub>
- PIV<sub>50</sub>: Volume that receives half of prescription dose
- **The lower the better** (eg for SRS a GI less than 3 is suggested).

# Oesophagus, a case study (1)

- An 83 year old male patient
- Adenocarcinoma of oesophagus, distal 1/3 (GEJ)
- T<sub>3</sub>N<sub>1</sub>M<sub>0</sub>
- Radiochemotherapy: 25 x 1.6/2.0 Gy = **40/50 Gy**, concomitant carboplatin.
- **Treatment objectives:**
  - PTV: 95% of PTV to receive 95% of D<sub>p</sub>
  - Lung: MLD: 19Gy, V<sub>20</sub> ≤ 20%, V<sub>5</sub> ≤ 70%
  - Heart: V<sub>30</sub> ≤ 46 %
  - Myelum: D<sub>2%</sub>: 30Gy

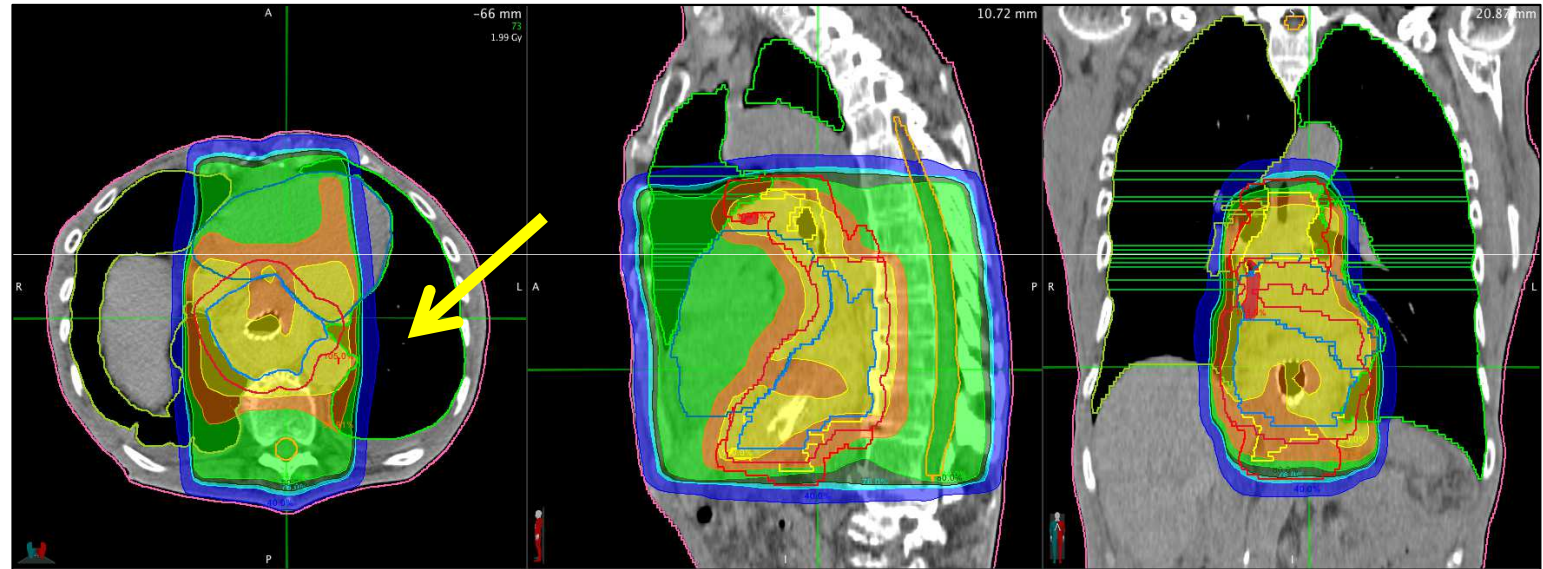
# Oesophagus, a case study (1)








- 3D-CRT:
  - Elekta Infinity
  - AP-PA opposing beams  
+ 1 dynamic conformal arc
  - TPS: XiO CMS
- VMAT:
  - Elekta Infinity
  - 1 VMAT
  - TPS: MONACO



# Oesophagus, a case study (1)

- 3D-CRT



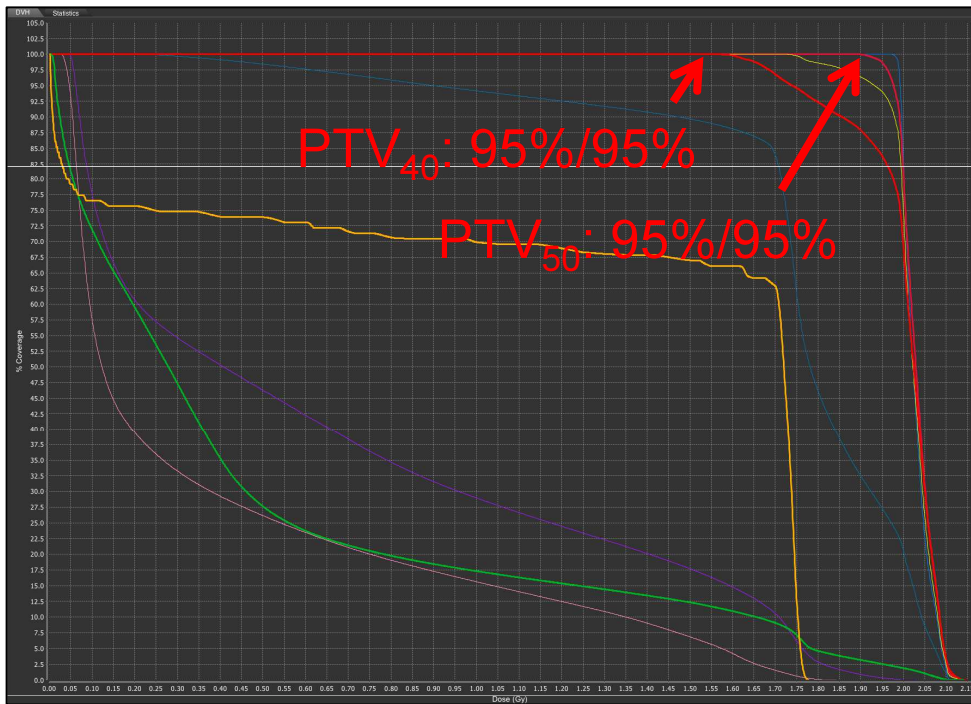
	1 105.00 (%) 52.50 (Gy)
	1 100.00 (%) 50.00 (Gy)
	1 90.91 (%) 45.46 (Gy)
	1 84.00 (%) 42.00 (Gy)
	1 80.00 (%) 40.00 (Gy)
	1 76.00 (%) 38.00 (Gy)
	1 40.00 (%) 20.00 (Gy)

- VMAT

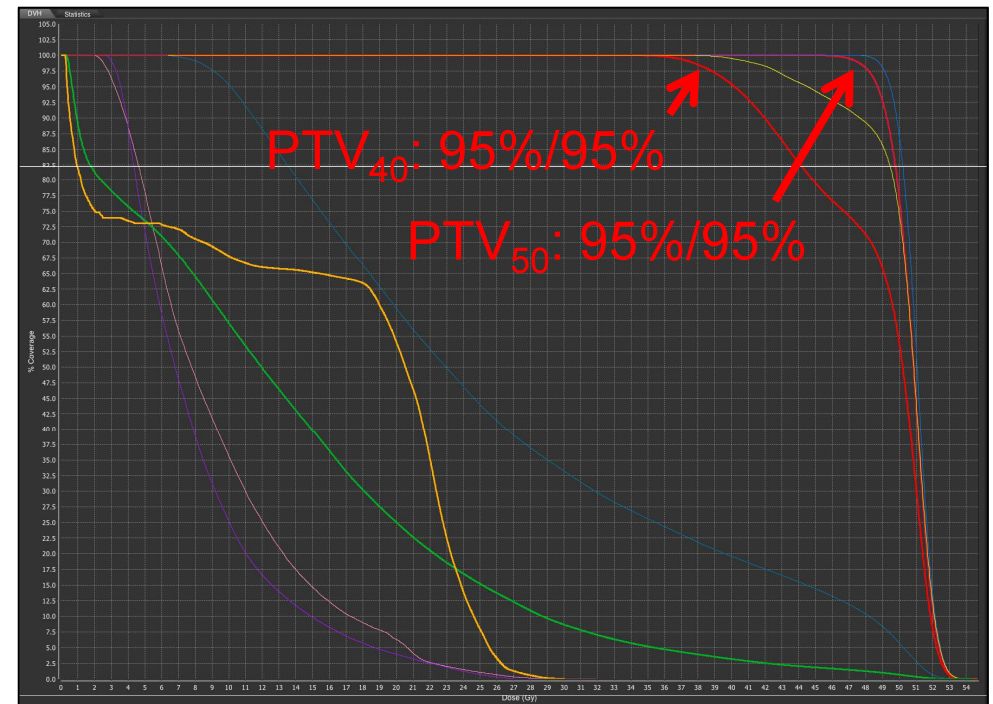


# Oesophagus, a case study (1)

## 3D-CRT



## VMAT



3D-CRT		
	40 Gy	50 Gy
PI	0.25	0.69
HI	0.38	0.14
GI	1.45	5.57

more homogenous

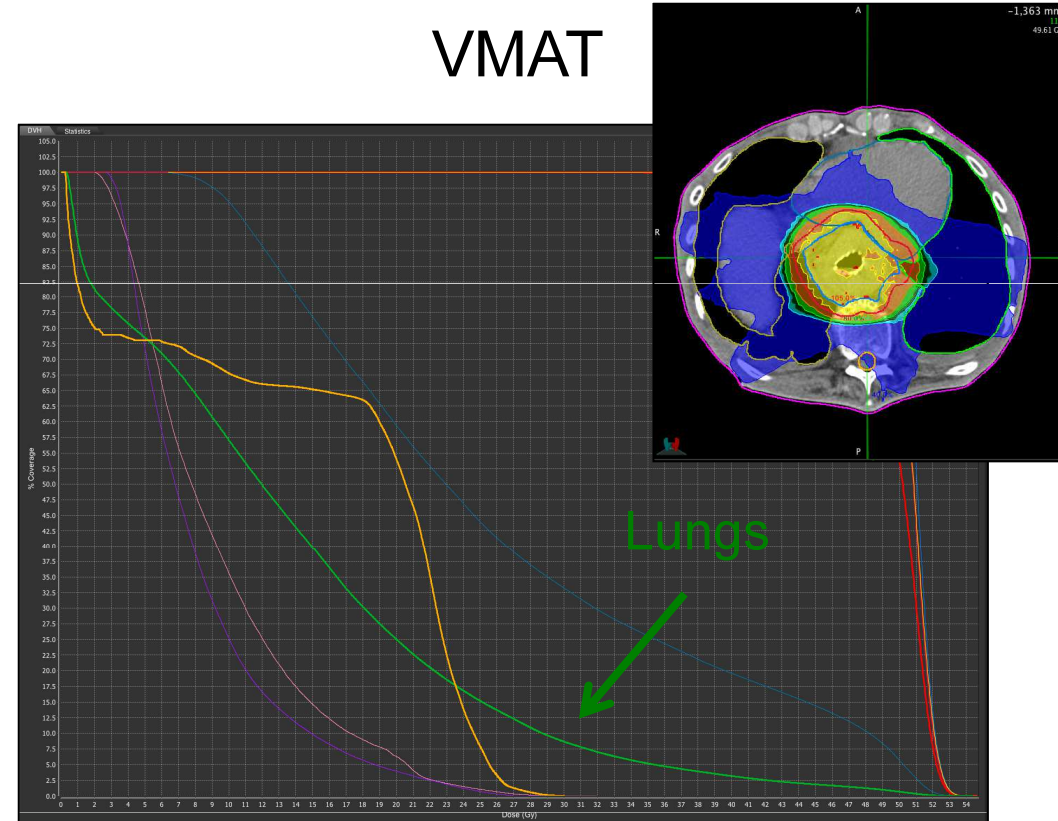
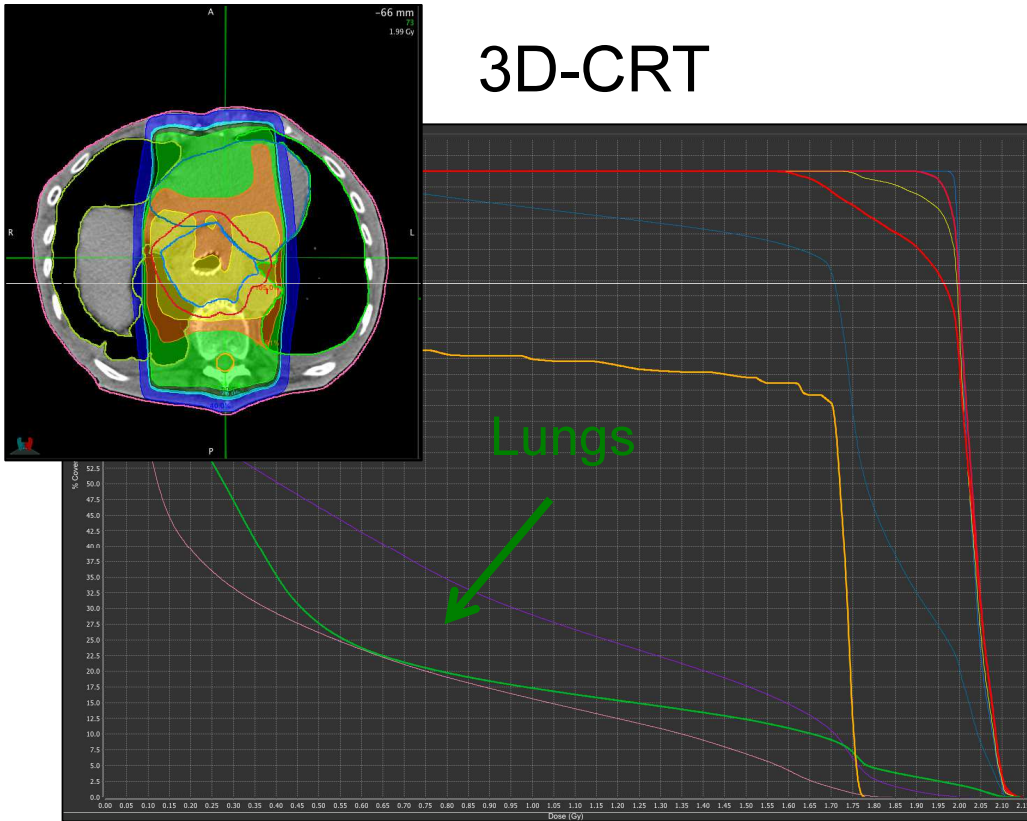
VMAT		
	40 Gy	50 Gy
PI	0.70	1.18
HI	0.54	0.21
GI	3.70	6.16

low dose wash

# Oesophagus, a case study (1)

## 3D-CRT

## VMAT



Lungs		
	objective	3D-CRT
$V_{20}$	< 20%	20
$V_5$	< 70%	60
MLD	< 19Gy	12

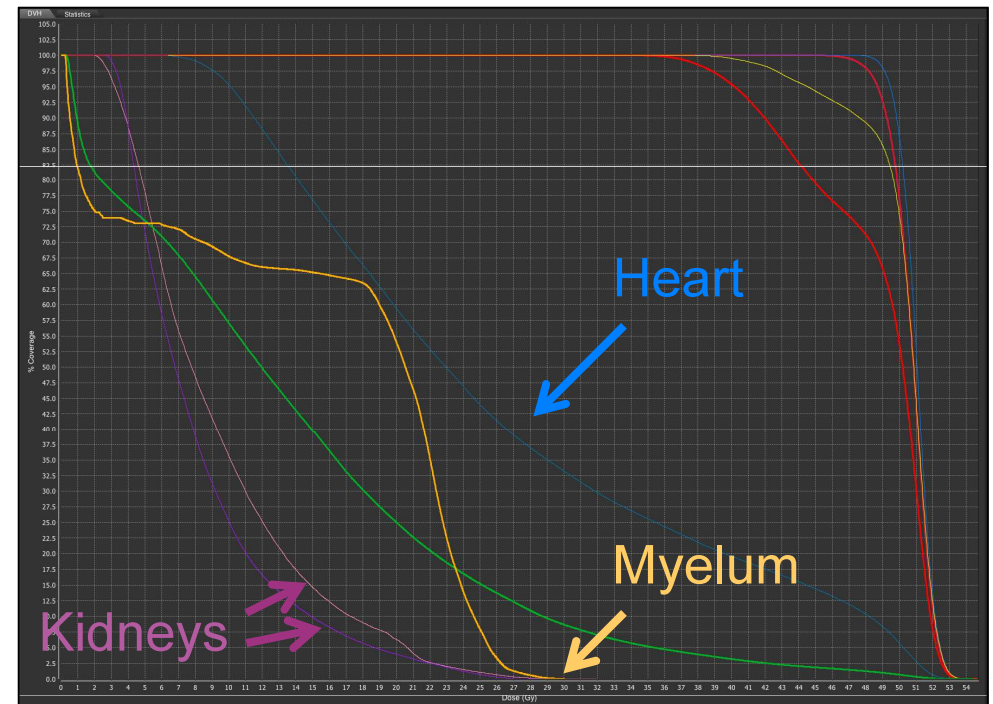
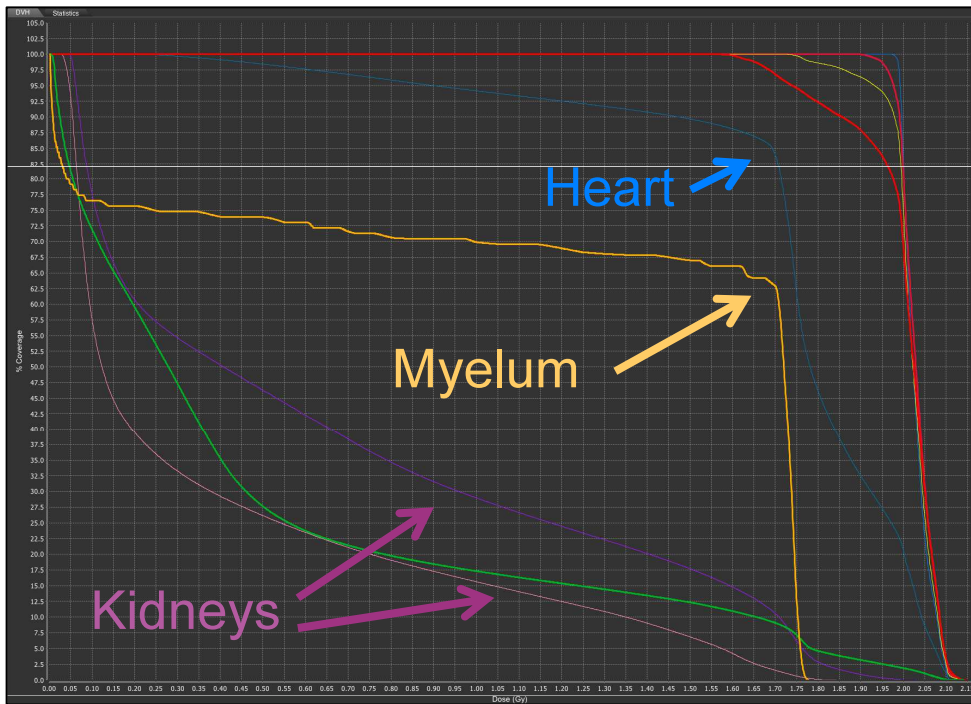
Lungs		
	objective	VMAT
$V_{20}$	< 20%	25
$V_5$	< 70%	73
MLD	< 19Gy	14



# Oesophagus, a case study (1)

## 3D-CRT

## VMAT

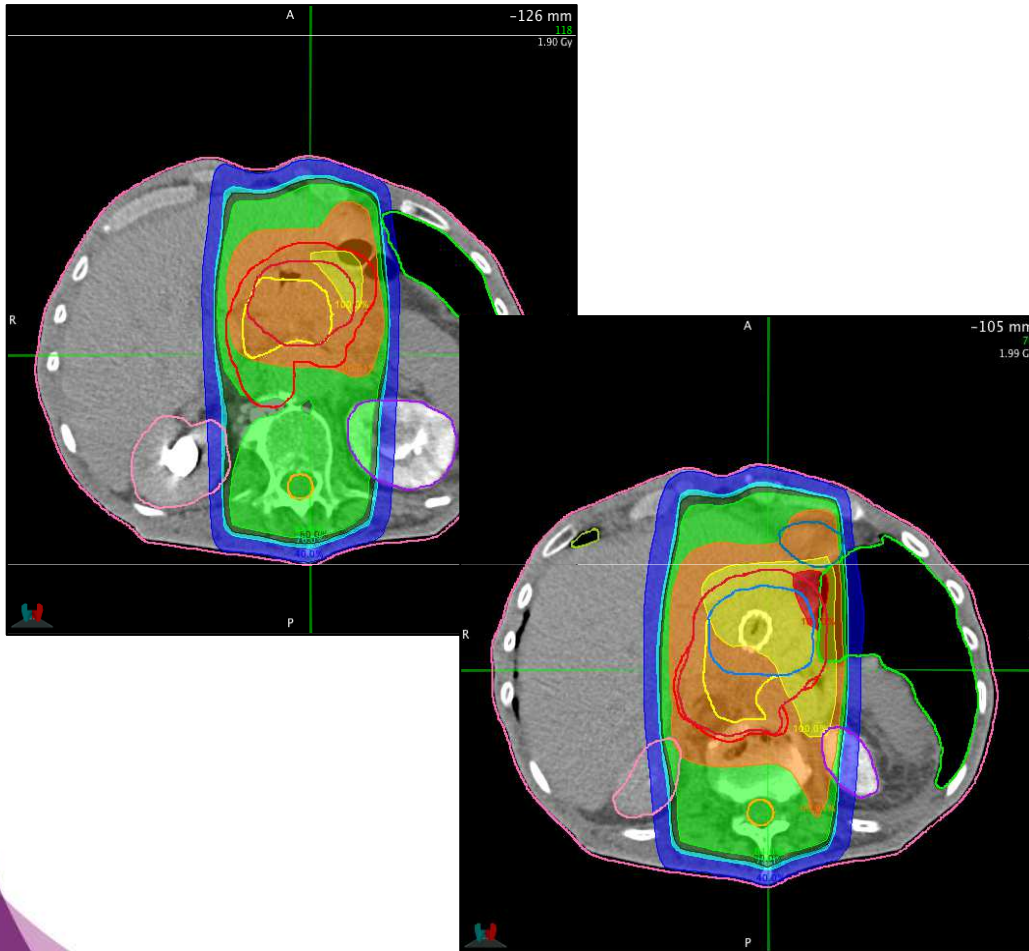


	objective	3D-CRT
<b>Heart</b>	$V_{30} < 46\%$	<b>93%</b>
<b>Myelum</b>	$D_{2\%} < 30\text{Gy}$	<b>45%</b>
<b>Kidney L</b>	Mean dose	<b>10Gy</b>
<b>Kidney R</b>	Mean dose	<b>17Gy</b>

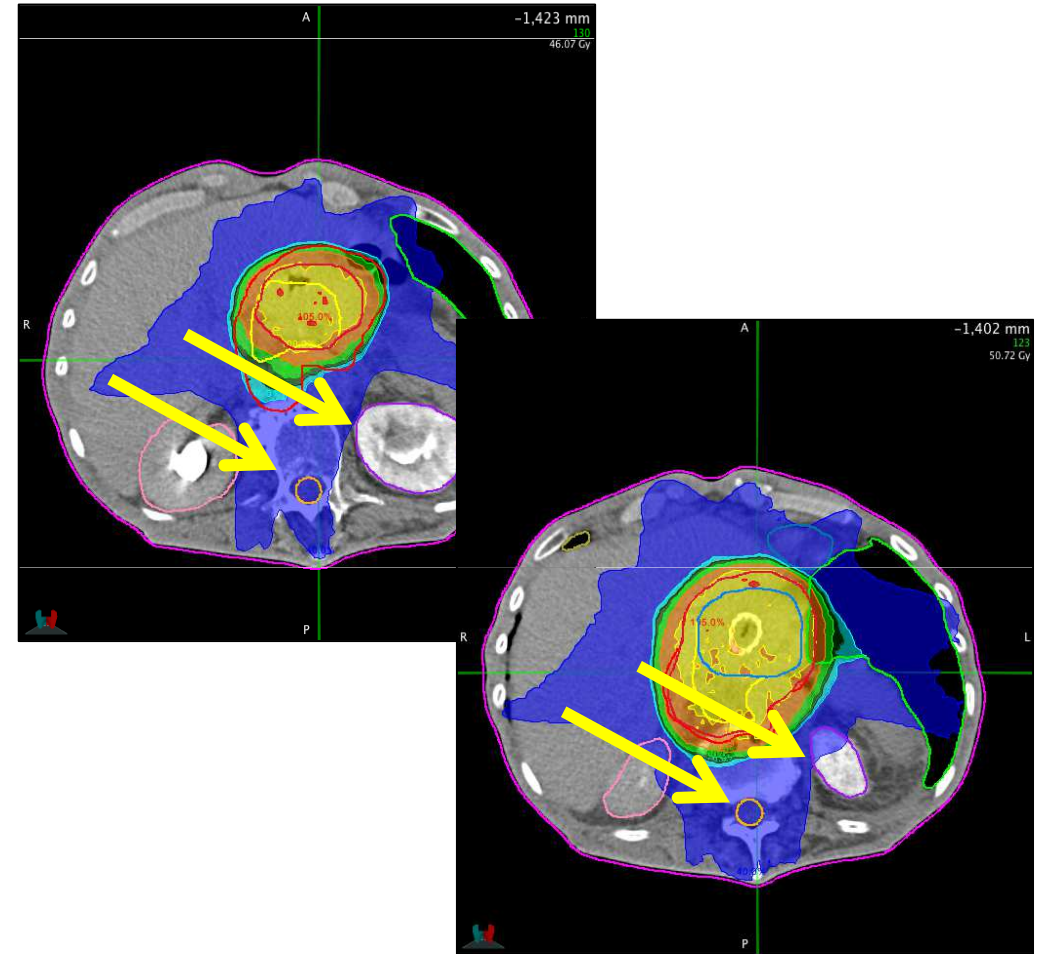
	objective	VMAT
<b>Heart</b>	$V_{30} < 46\%$	<b>33%</b>
<b>Myelum</b>	$D_{2\%} < 30\text{Gy}$	<b>30%</b>
<b>Kidney L</b>	Mean dose	<b>8gy</b>
<b>Kidney R</b>	Mean dose	<b>9Gy</b>

# Oesophagus, a case study (1)

## 3D-CRT



## VMAT



# Oesophagus, a case study (2)

- An 58 year old male patient
- Squamous cell carcinoma of oesophagus, distal 1/3 (GEJ)
- T1NoMo
- Radiochemotherapy: 25 x 1.6/2.0 Gy = **40/50 Gy**, concomitant carboplatin.
- **Treatment objectives:**
  - PTV: 95% of PTV to receive 95% of  $D_p$
  - Lung: MLD: 19Gy,  $V_{20} \leq 20\%$ ,  $V_5 \leq 70\%$
  - Heart:  $V_{30} \leq 46\%$
  - Myelum:  $D_{2\%}$ : 30Gy

# Oesophagus, a case study (2)

- 3D-CRT:

- Elekta Infinity
- 4 beams, box technique (6 and 15MV)
- TPS: XiO CMS



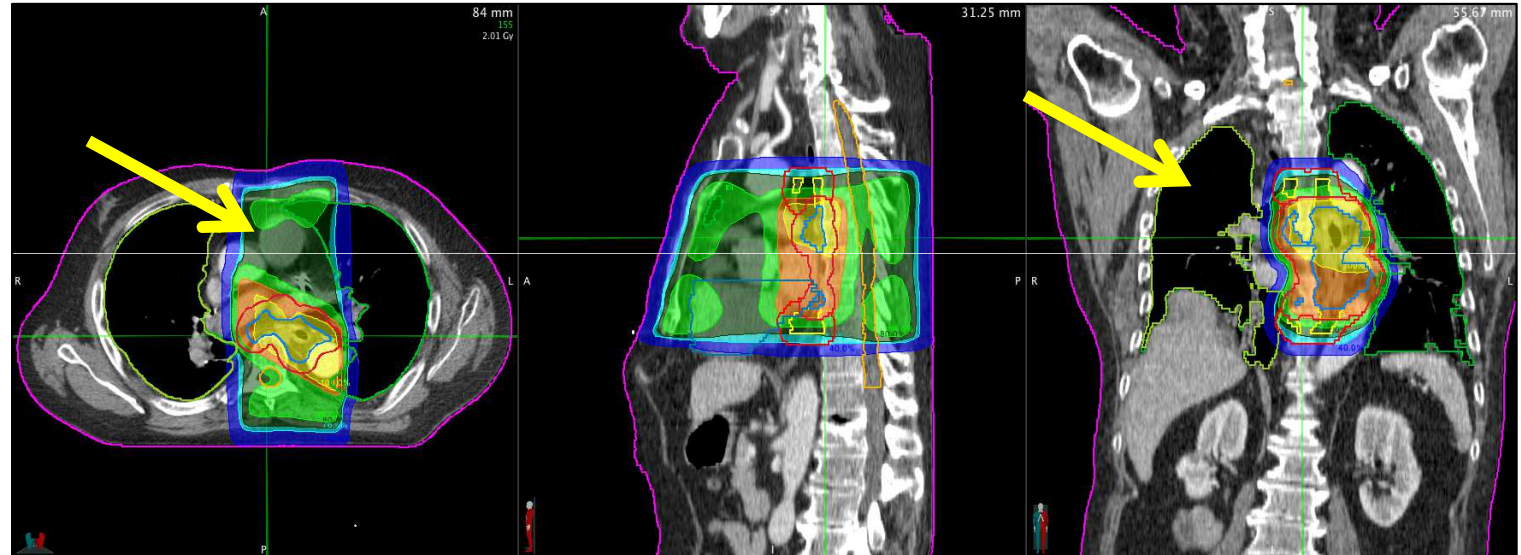
- Tomo:

- TomoTherapy
- Helical tomotherapy
- TPS: Hi-Art



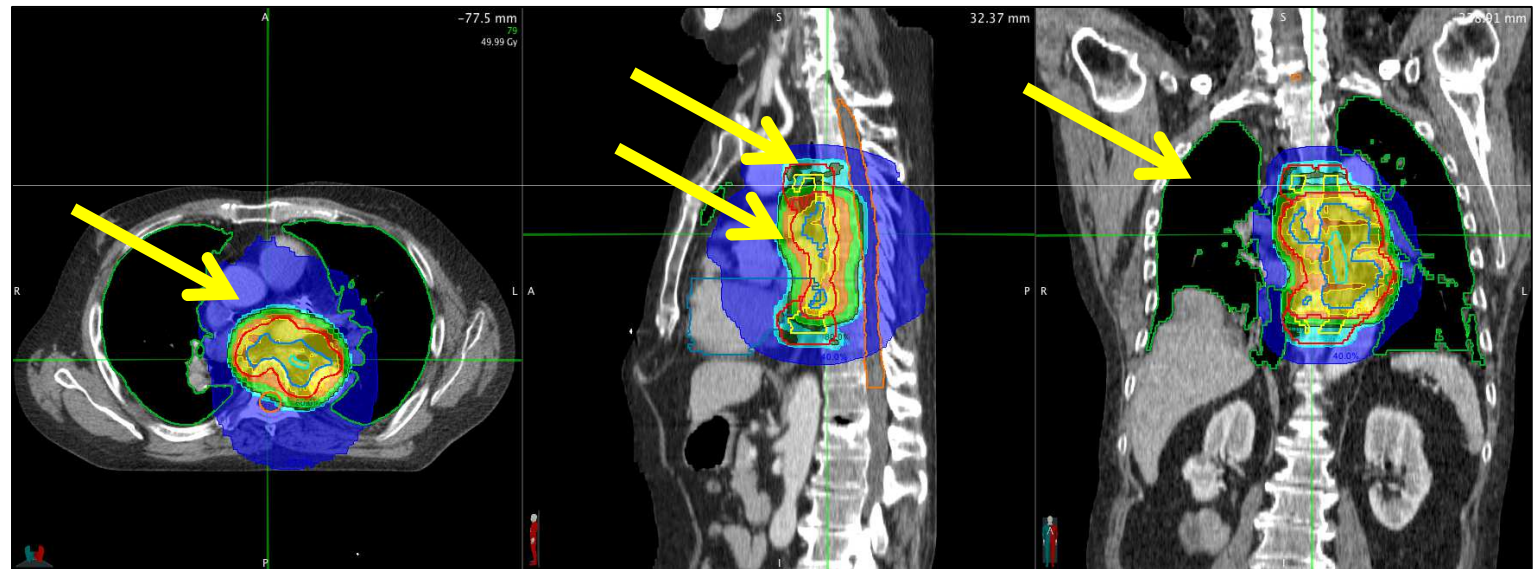
# Oesophagus, a case study (2)

- 3D-CRT



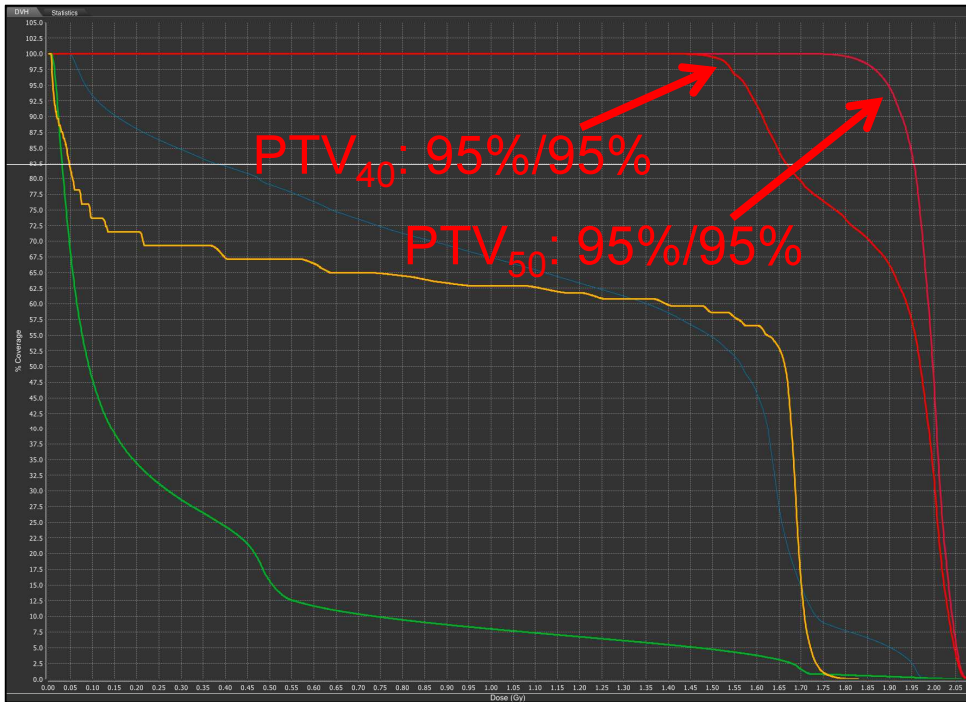
	1	105.00 (%)	52.50 (Gy)
	1	100.00 (%)	50.00 (Gy)
	1	90.91 (%)	45.46 (Gy)
	1	84.00 (%)	42.00 (Gy)
	1	80.00 (%)	40.00 (Gy)
	1	76.00 (%)	38.00 (Gy)
	1	40.00 (%)	20.00 (Gy)

- Tomo

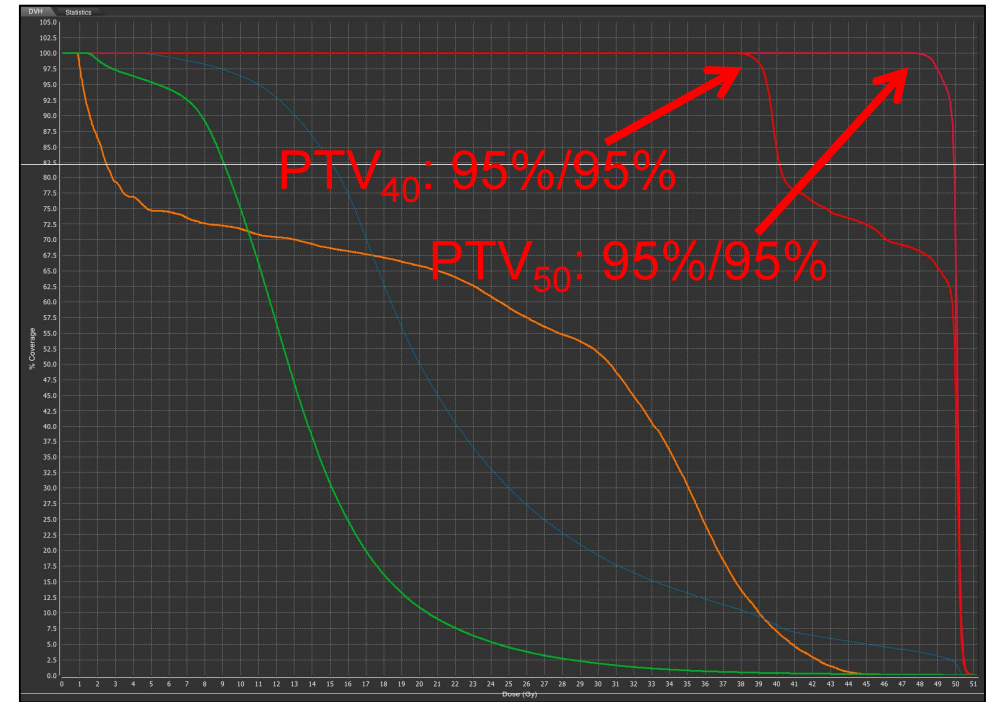


# Oesophagus, a case study (2)

## 3D-CRT



## Tomo

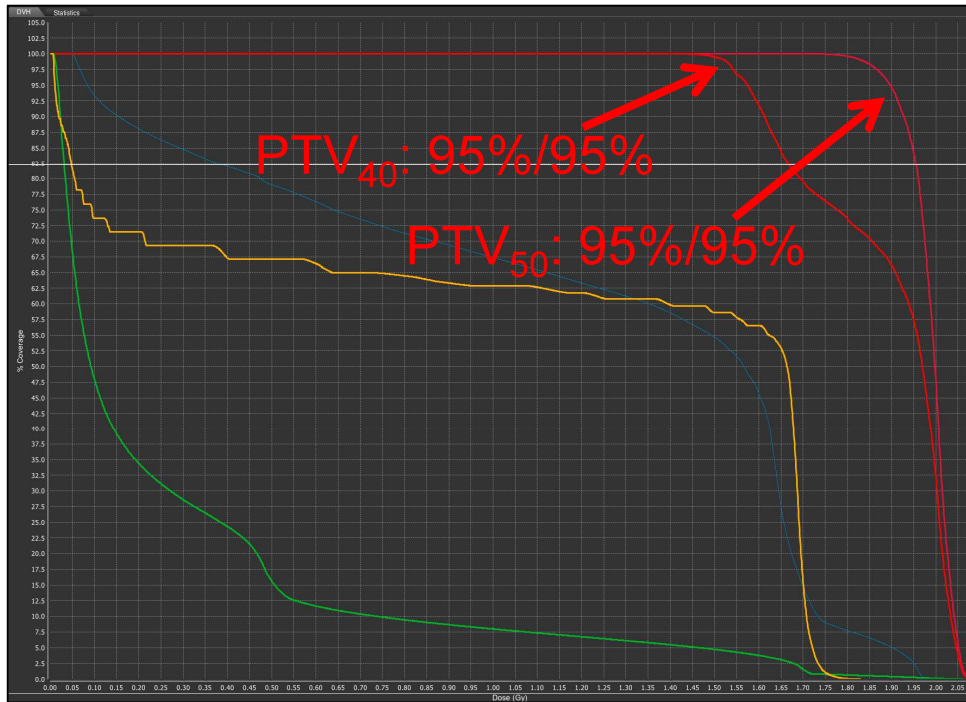


3D-CRT		
	40 Gy	50 Gy
PI	0.20	1.78
HI	0.42	0.19
GI	1.71	17.83

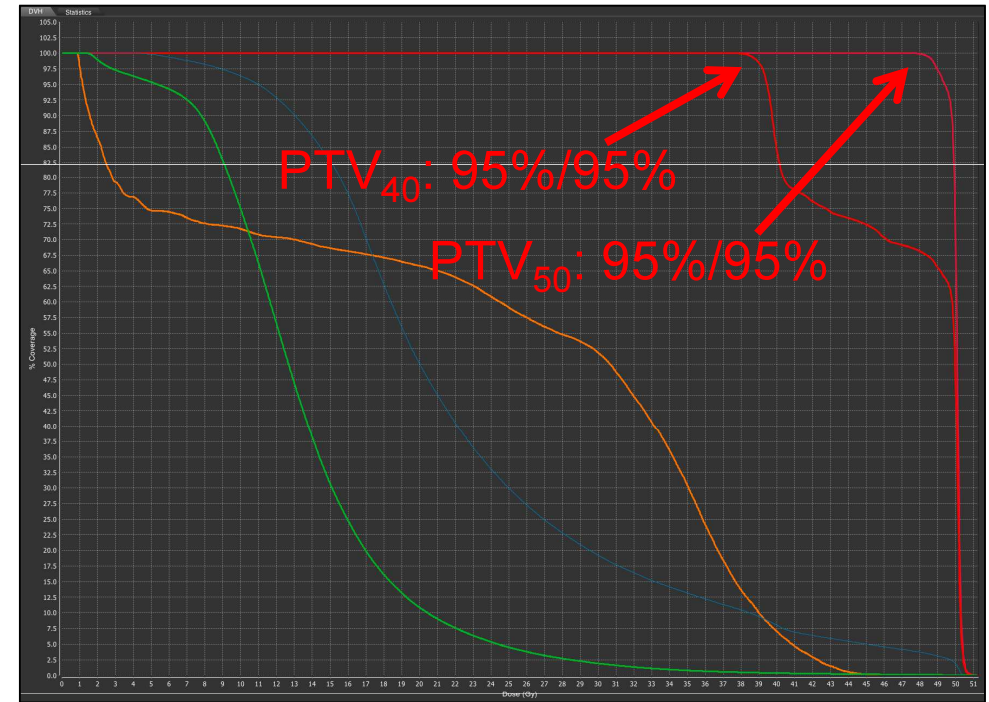
Tomo		
	40 Gy	50 Gy
PI	0.64	1.15
HI	0.36	0.08
GI	4.26	7.41

# Oesophagus, a case study (2)

## 3D-CRT



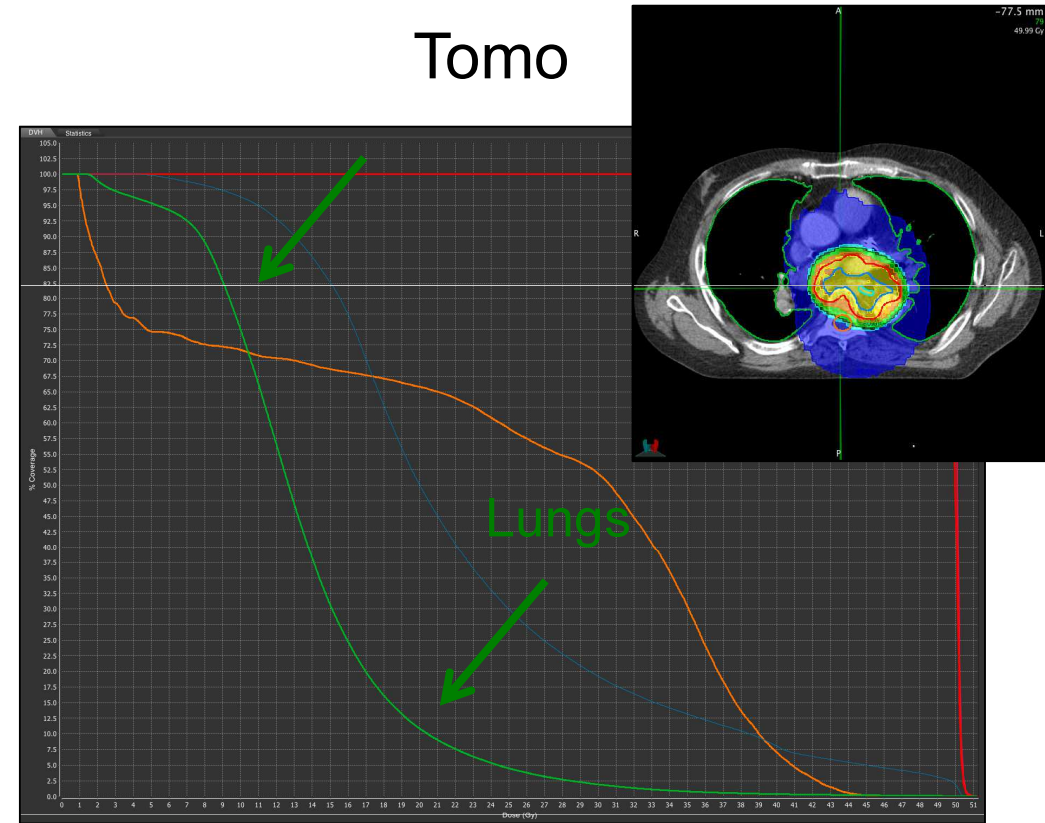
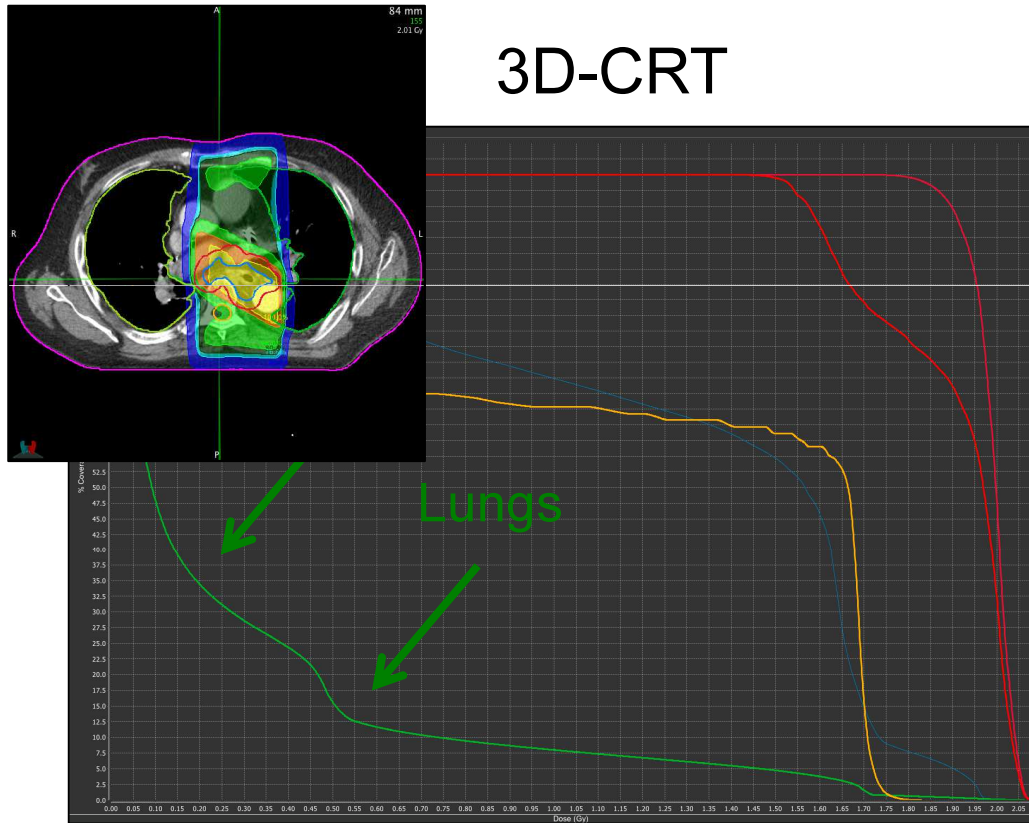
## Tomo



3D-CRT		
	40 Gy	50 Gy
<b>PI</b>	<b>0.20</b>	<b>1.78</b>
<b>HI</b>	0.42	0.19
<b>GI</b>	1.71	17.83

Tomo		
	40 Gy	50 Gy
<b>PI</b>	<b>0.64</b>	<b>1.15</b>
<b>HI</b>	0.36	0.08
<b>GI</b>	4.26	7.41

# Oesophagus, a case study (2)



Lungs		
	objective	3D-CRT
$V_{20}$	< 20%	9
$V_5$	< 70%	34
MLD	< 19Gy	7

Lungs		
	objective	Tomo
$V_{20}$	< 20%	11
$V_5$	< 70%	95
MLD	< 19Gy	14

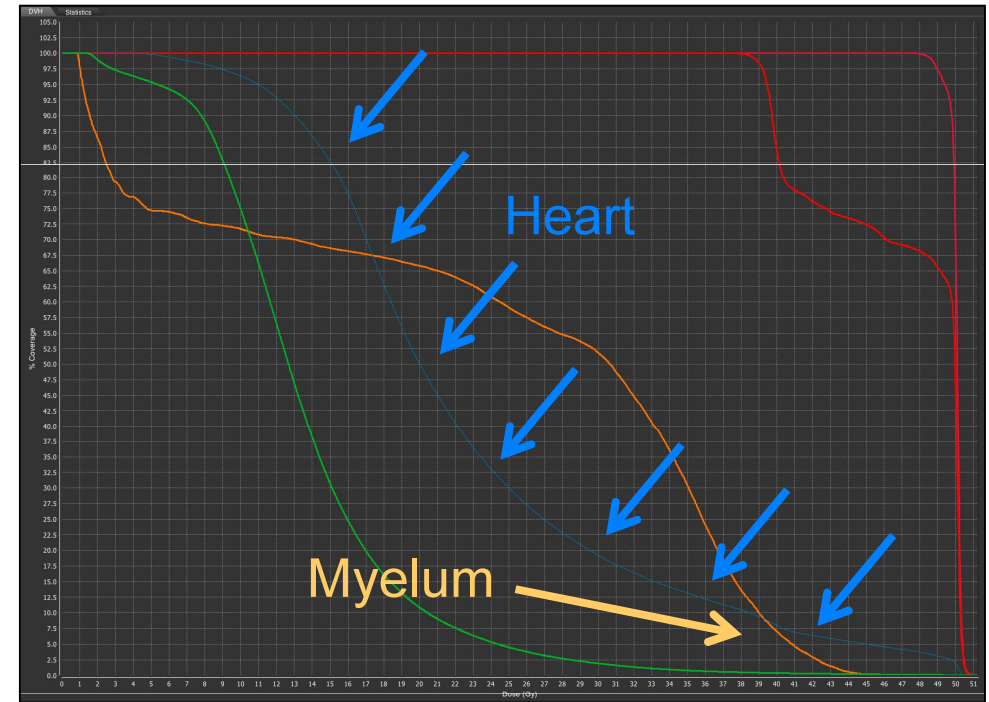
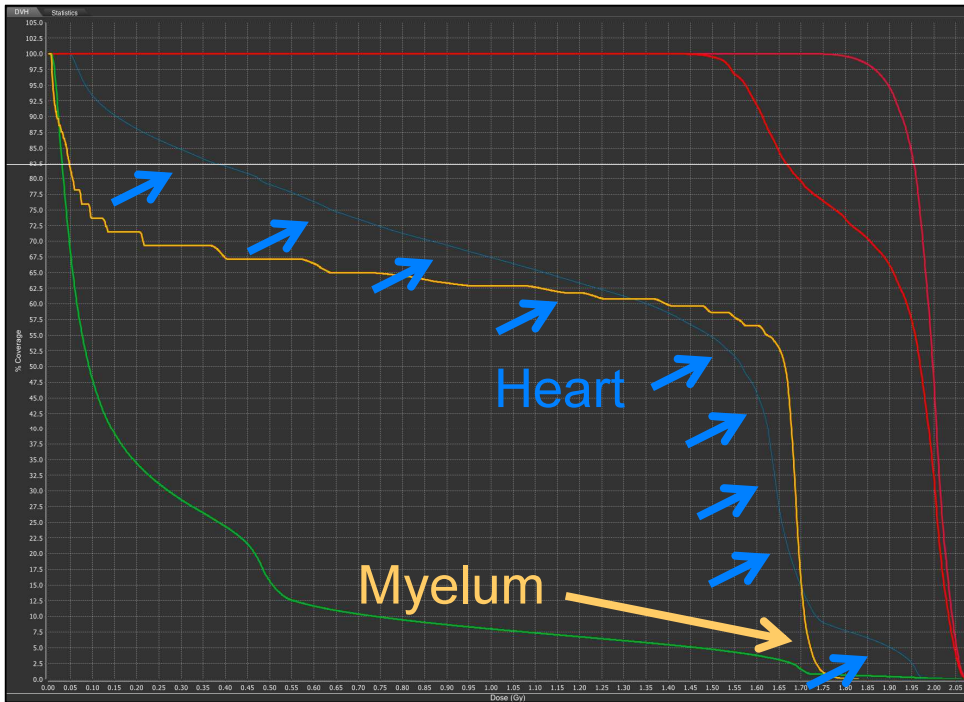
low dose wash



# Oesophagus, a case study (2)

## 3D-CRT

## Tomo



	objective	3D-CRT
<b>Heart</b>	$V_{30} < 46\%$	<b>63%</b>
<b>Myelum</b>	$D_{2\%} < 30\text{Gy}$	46%

	objective	Tomo
<b>Heart</b>	$V_{30} < 46\%$	<b>19%</b>
<b>Myelum</b>	$D_{2\%} < 30\text{Gy}$	46%

# Stomach, a case study (3)

- An 70 year old male patient
- Adenocarcinoma of stomach, “subtotal” gastrectomy
- pT3pN1Mo
  
- Radiochemotherapy: 25 x 1.8 Gy = **45 Gy**, concomitant 5-FU (Post op MacDonald).
  
- **Treatment objectives:**
  - PTV: 95% of PTV to receive 95% of  $D_p$
  - Liver:  $V_{30}$
  - Heart:  $V_{30}$
  - Myelum:  $D_{2\%}$

# Stomach, a case study (3)

- 3D-CRT:

- Elekta Infinity
- Dynamic conformal arc + posterior beam (15MV)
- TPS: XiO CMS

- Tomo:







- TomoTherapy
- Helical tomotherapy
- TPS: Hi-Art



# Stomach, a case study (3)

- 3D-CRT



	1	105.00 (%)	47.25 (Gy)
	1	100.00 (%)	45.00 (Gy)
	1	95.00 (%)	42.75 (Gy)
	1	90.00 (%)	40.50 (Gy)
	1	50.00 (%)	22.50 (Gy)
	1	20.00 (%)	9.00 (Gy)

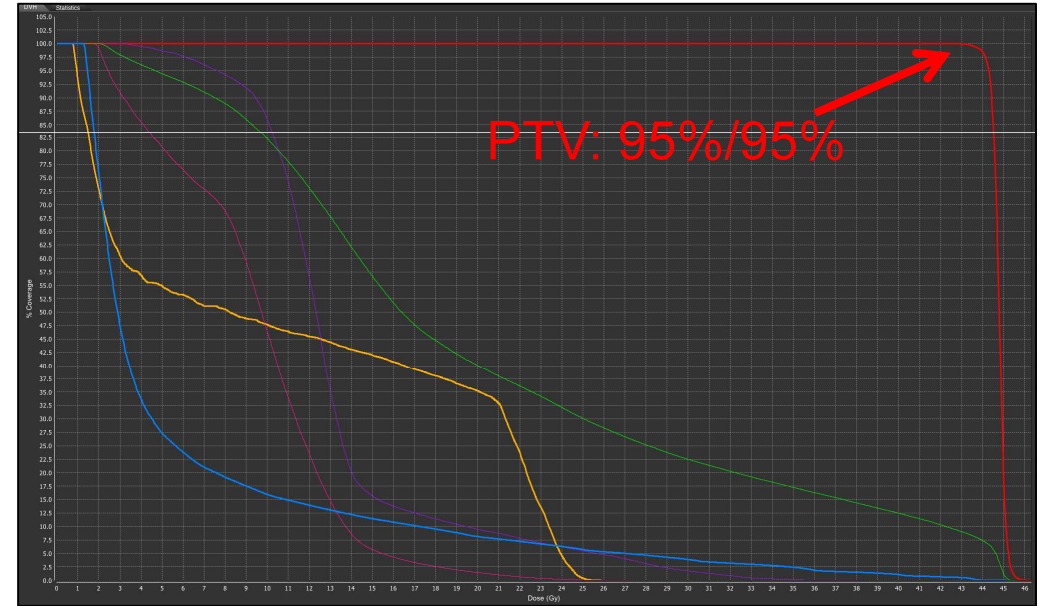
- Tomo



# Stomach, a case study (3)

## 3D-CRT

## Tomo



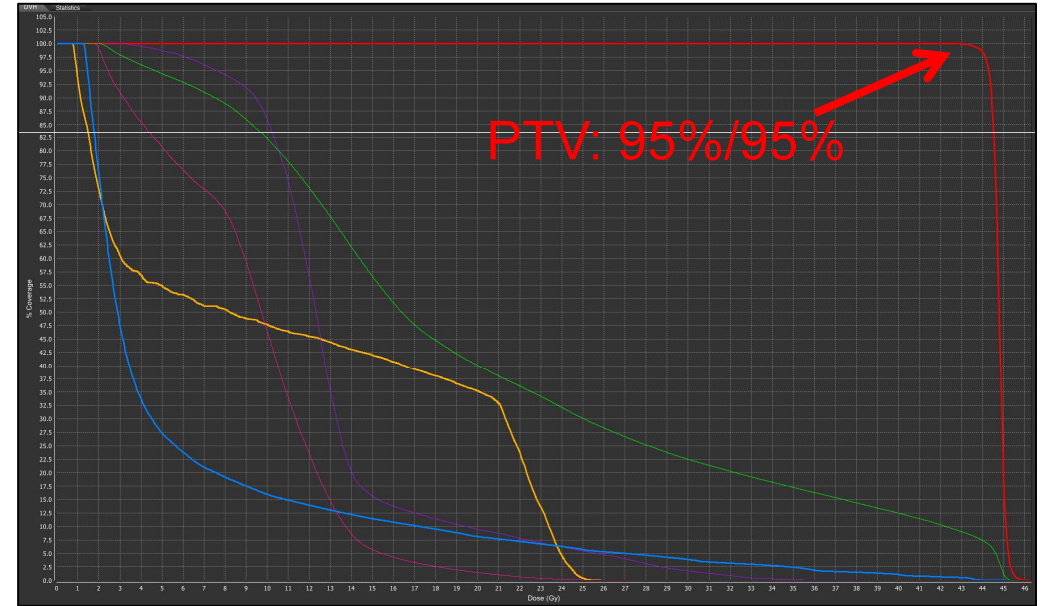
3D-CRT	
PI	0.57
HI	<b>0.11</b>
GI	<b>2.78</b>

Tomo	
PI	0.84
HI	<b>0.10</b>
GI	<b>3.29</b>

# Stomach, a case study (3)

## 3D-CRT

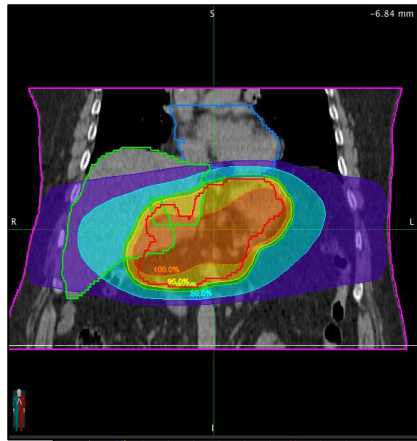
## Tomo



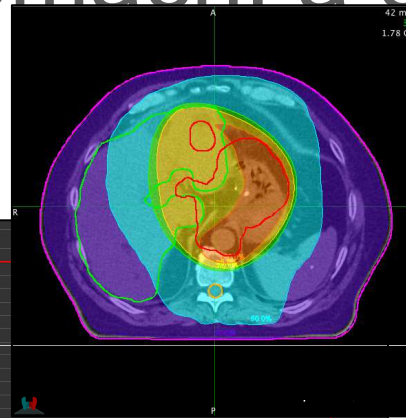
3D-CRT	
PI	<b>0.57</b>
HI	0.11
GI	2.78

Tomo	
PI	<b>0.84</b>
HI	0.10
GI	3.29

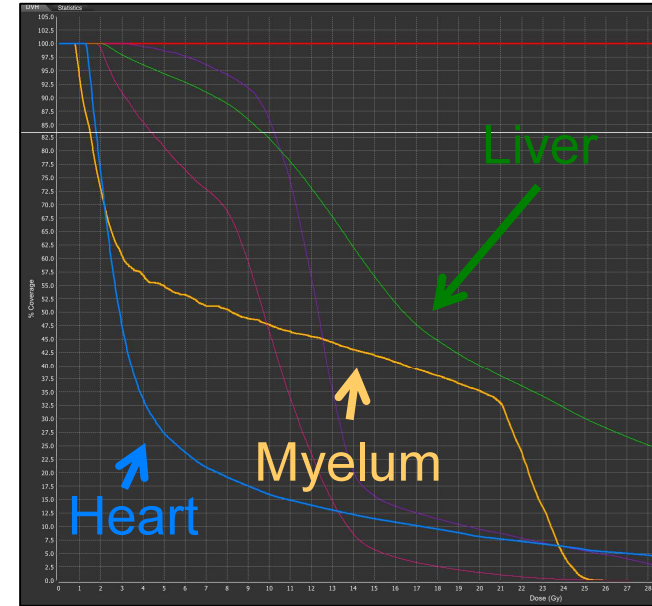
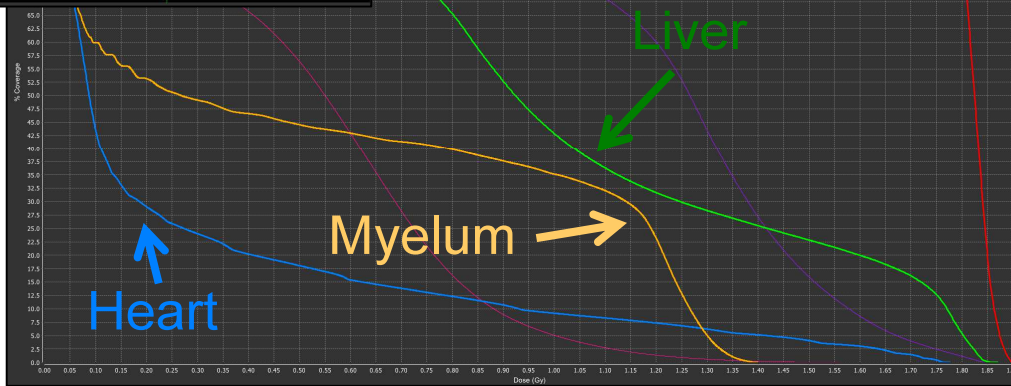
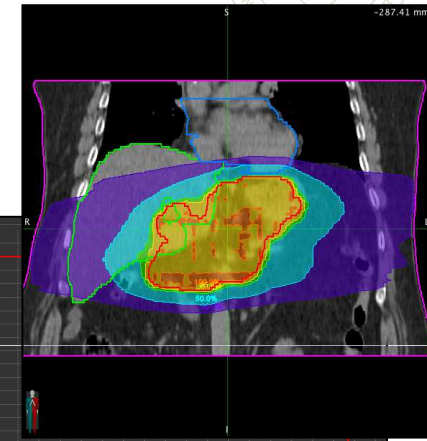
# Stomach. a case study (3)



3D-CRT



Tomo

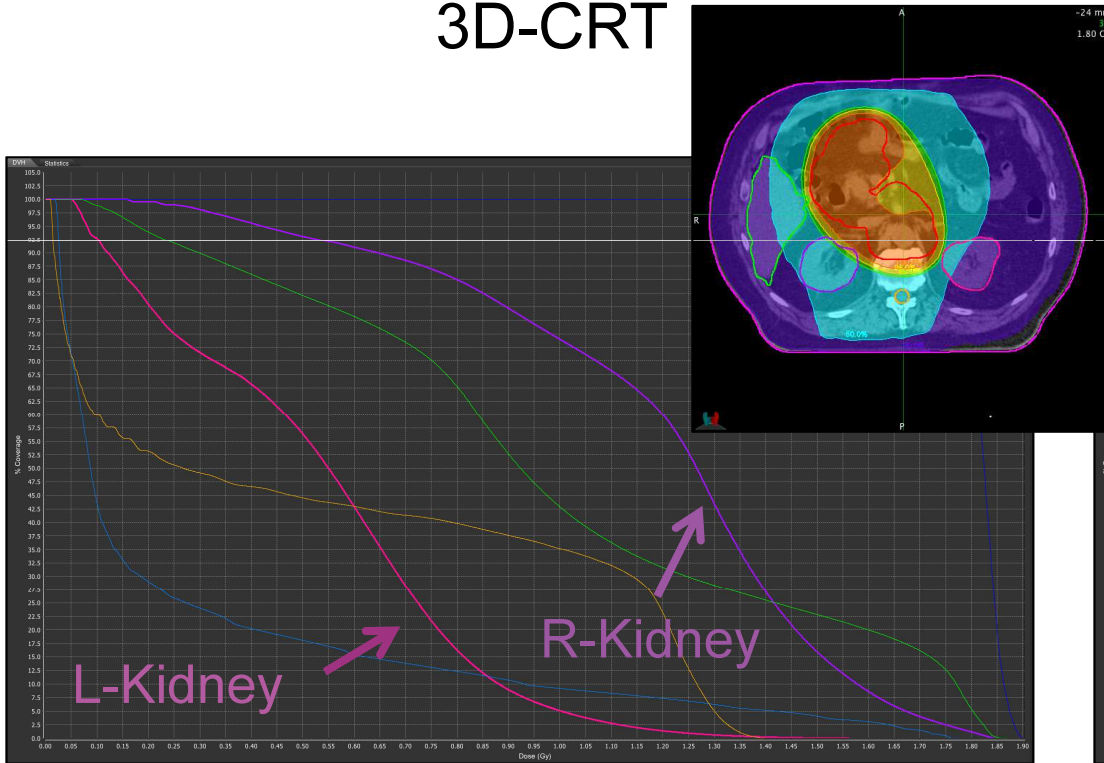


3D-CRT		
	objective	
<b>Liver</b>	$V_{30}$	31.7%
<b>Heart</b>	$V_{30}$	7.3%
<b>Myelum</b>	$D_{2\%}$	35.0Gy

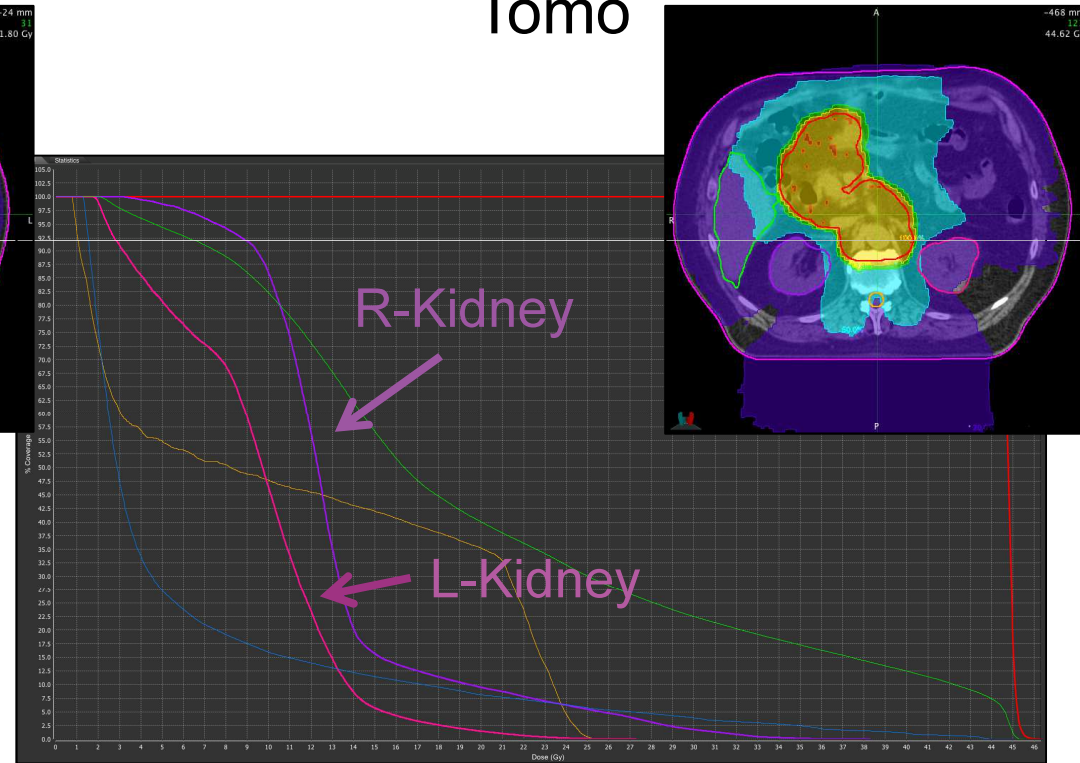
Tomo		
	objective	
<b>Liver</b>	$V_{30}$	22.5%
<b>Heart</b>	$V_{30}$	3.9%
<b>Myelum</b>	$D_{2\%}$	25.8Gy

# Stomach, a case study (3)

## 3D-CRT



## Tomo

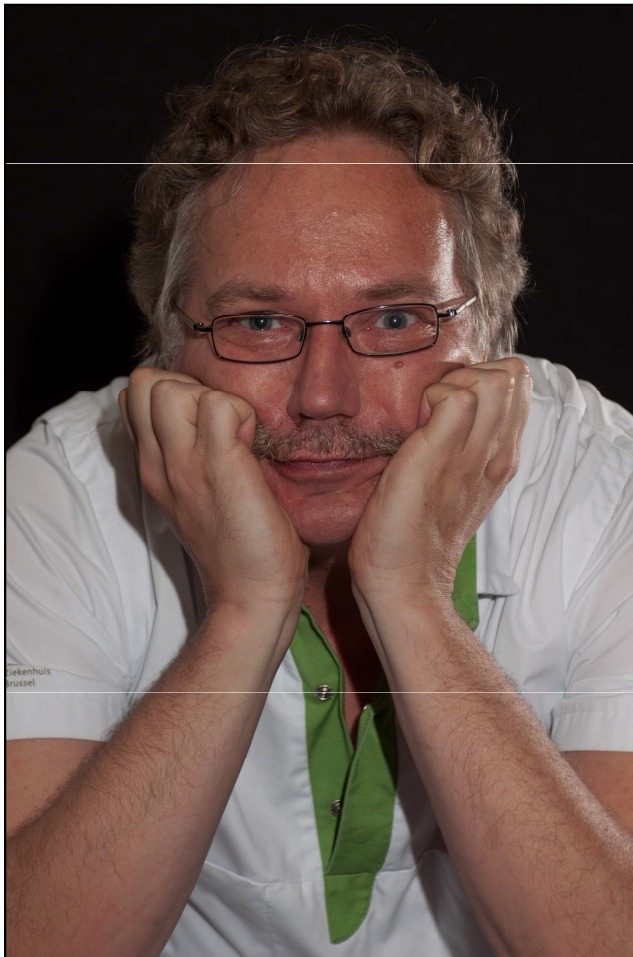


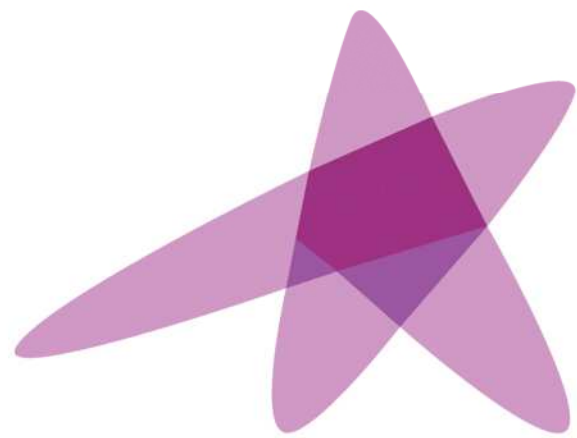
Kidneys		
	Left	Right
<b>D<sub>mean</sub></b>	13.0Gy	29.5Gy
<b>V<sub>15</sub></b>	42.8%	91.0%

Kidneys		
	Left	Right
<b>D<sub>mean</sub></b>	9.3Gy	13.3Gy
<b>V<sub>15</sub></b>	5.7%	15.6%



# Acknowledgements





**ESTRO**

*School*

# PTV margins



Universitair Ziekenhuis Brussel



Vrije Universiteit Brussel



**Dirk Verellen**

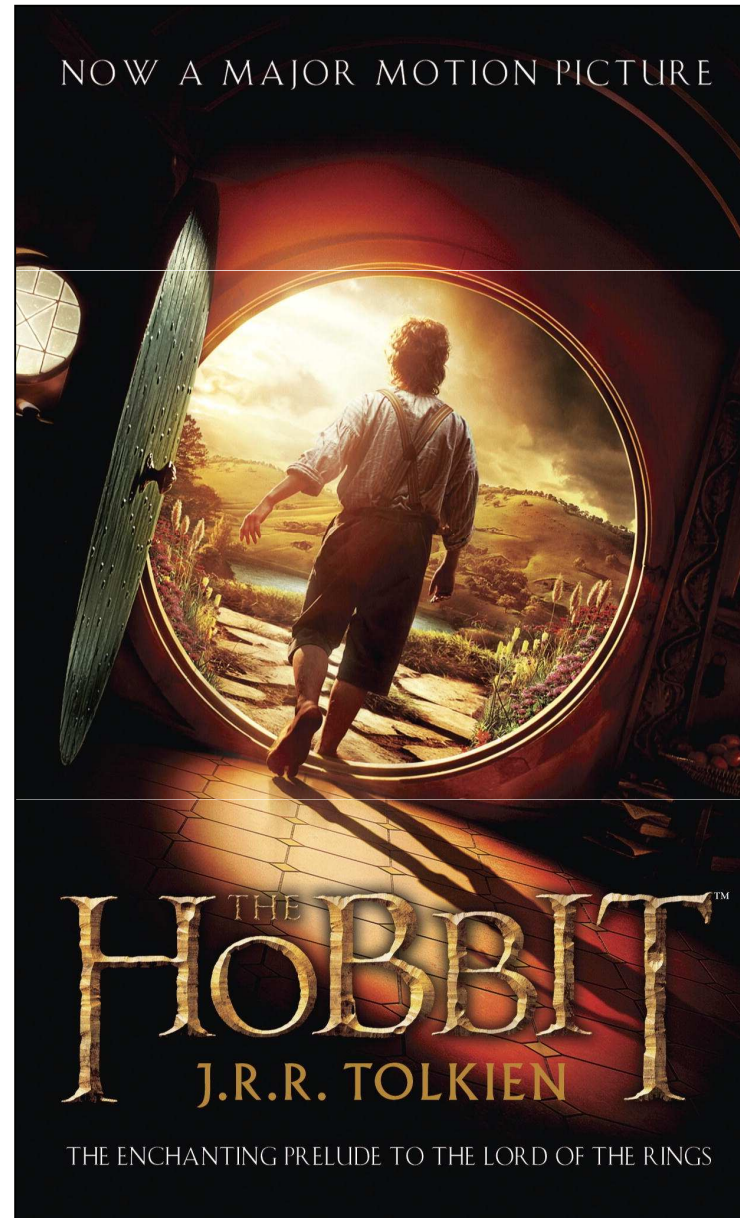
*DV is involved in an on-going scientific collaboration with BrainLAB AG, RaySearch, MIM software Inc.*



# The PTV



- There and back again

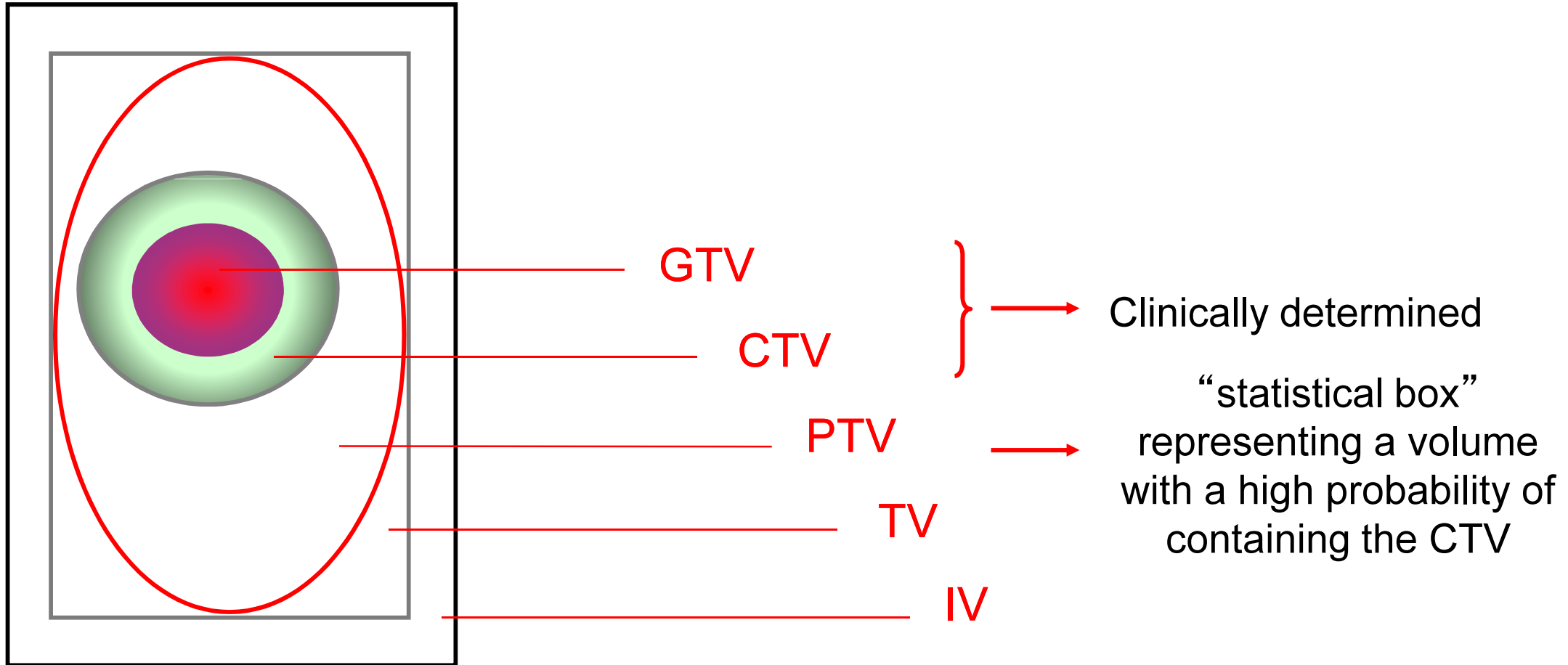


# Outline

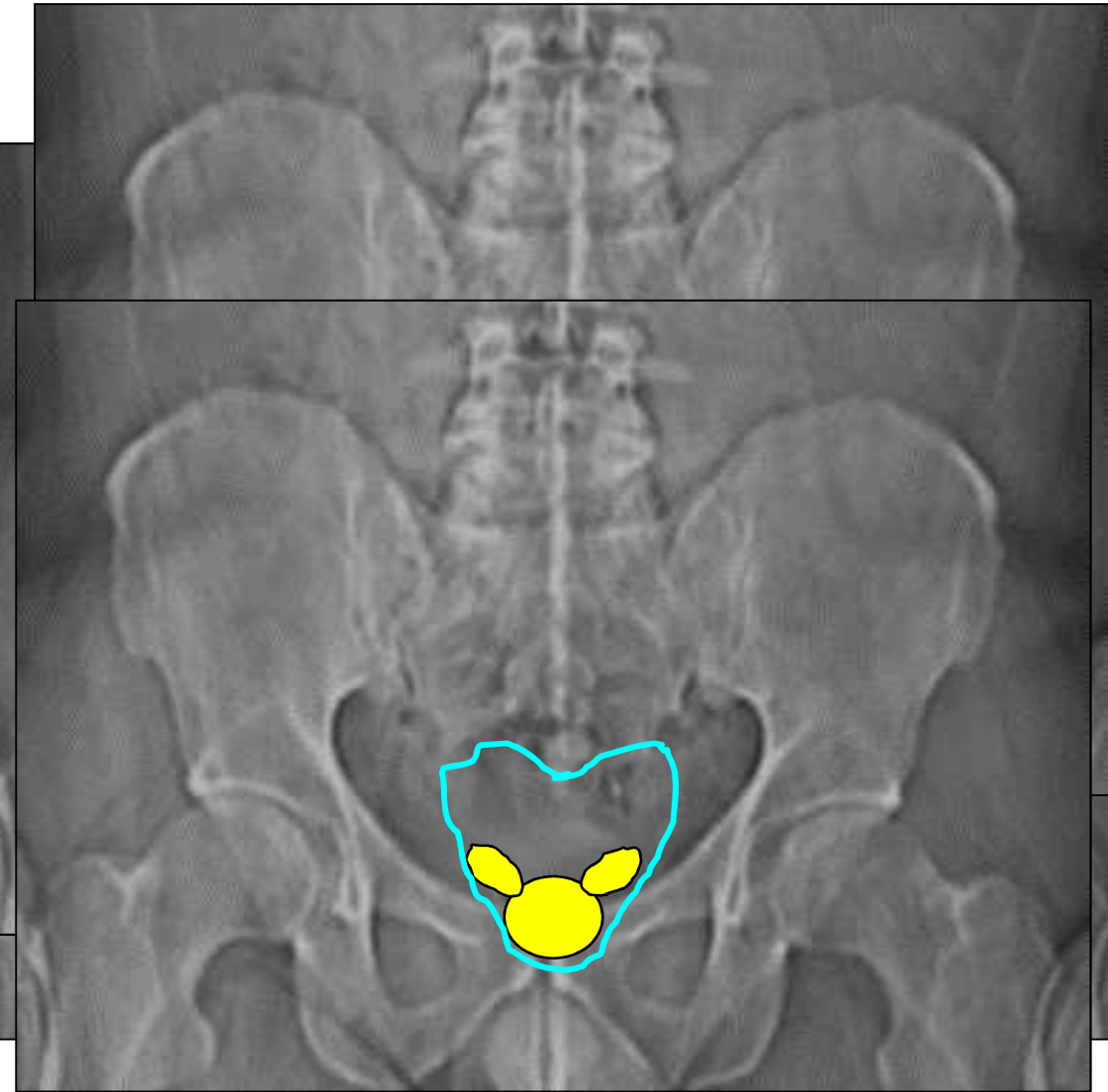
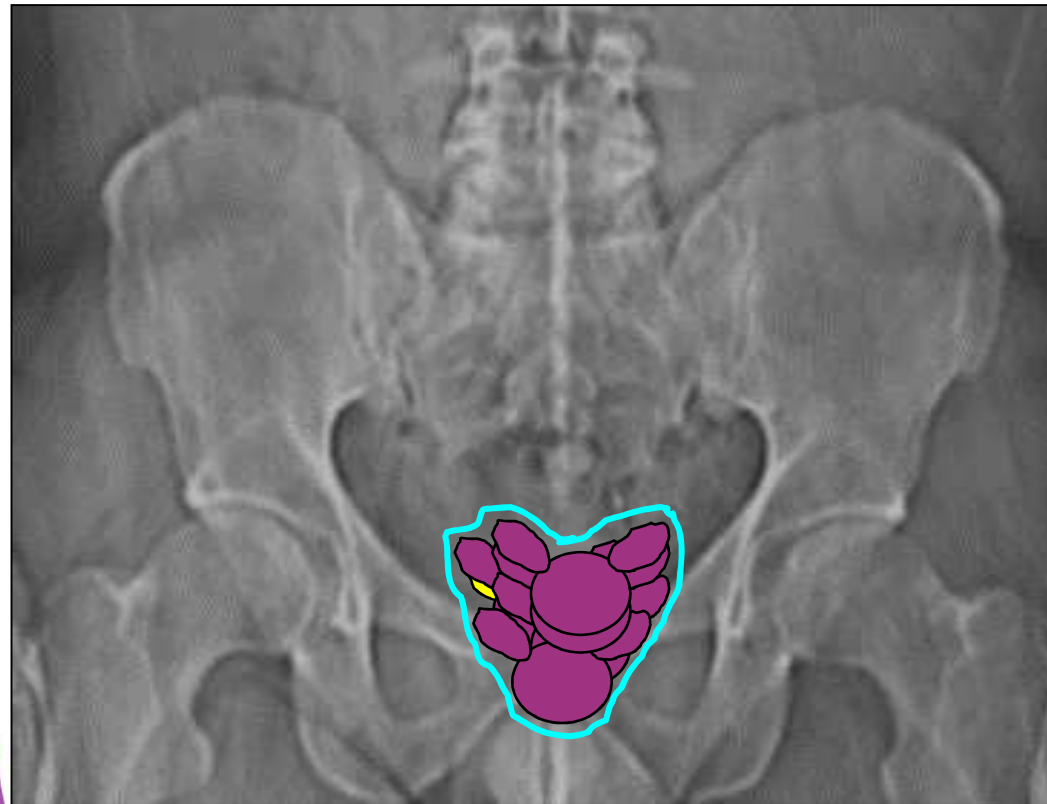
- PTV as a pragmatic solution
- Is there still room for the concept PTV when we evolve to BCRT, ART, ... particle therapy?



# Let's start with the definition



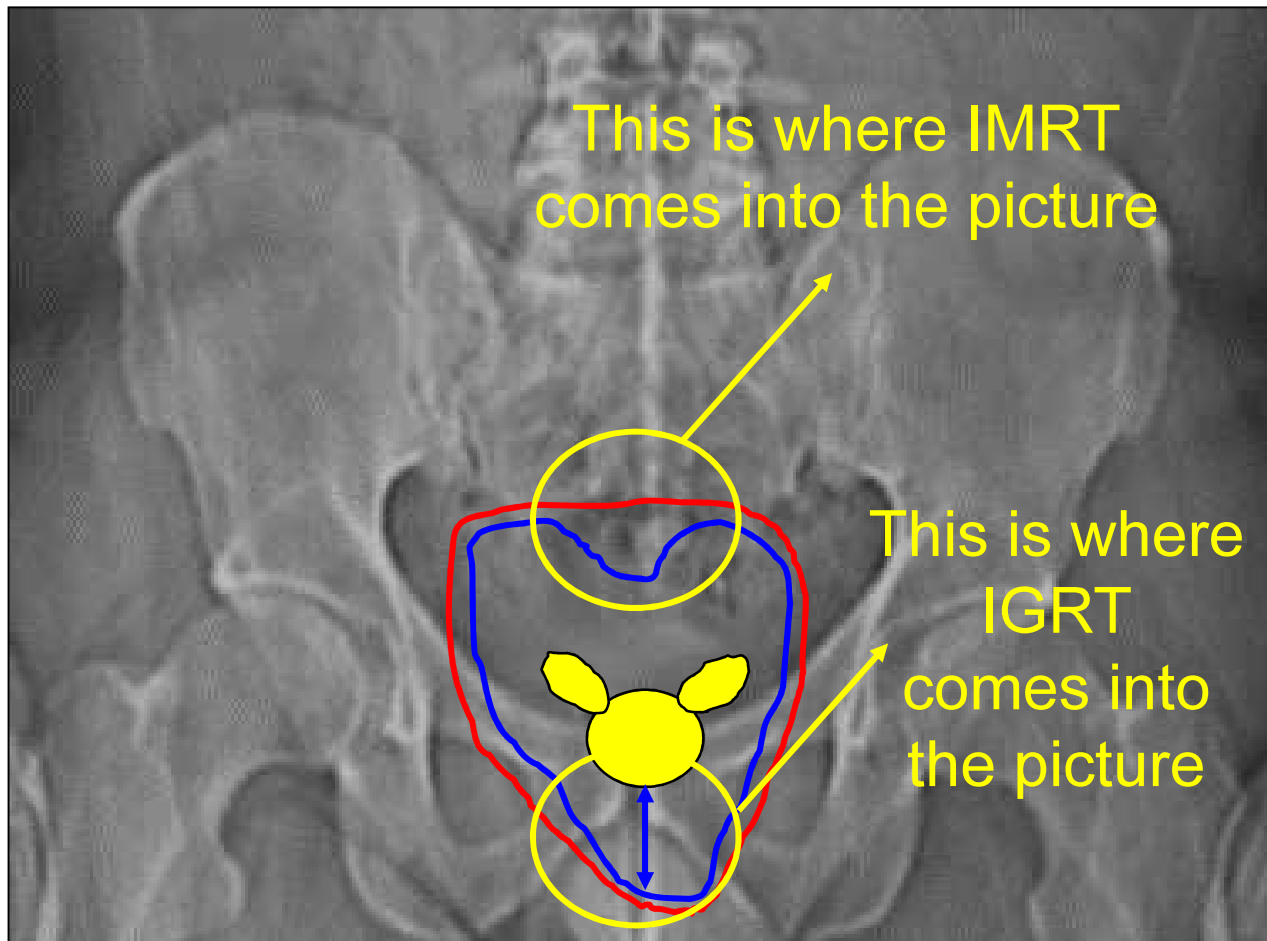
# Let's start with the definition



“The dancing prostate”

The PTV 2016 - D. Verellen

# Let's start with the definition



Set up Margin  
+  
Internal Margin

Irradiated  
Volume

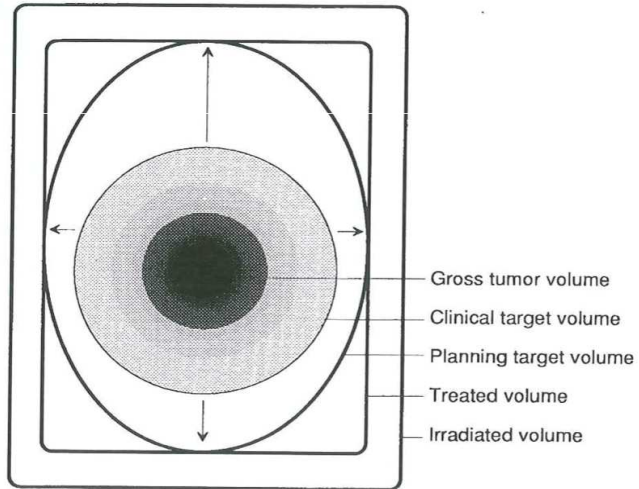
“The dancing prostate”

The PTV 2016 - D. Verellen

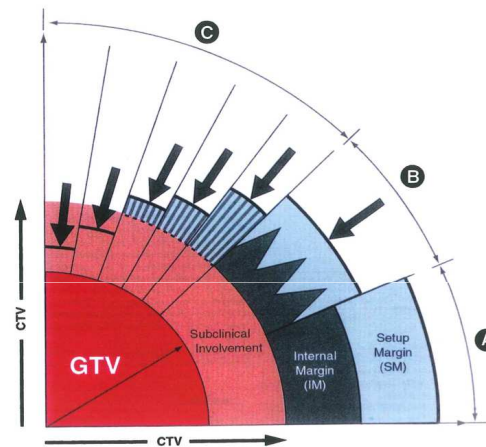


# Let's start with the definition

- ICRU 50



- ICRU 62



↓ The arrow illustrates the influence of the organs at risk on delineation of the PTV (thick, full line).

- Gross Tumor Volume (GTV)
- Subclinical Involvement
- Internal Margin (IM)
- Set Up Margin (SM)

Fig. 2.16. Schematic representations of the relations between the different volumes (GTV, CTV, PTV, and PRV) in different clinical scenarios.

- ... ICRU 83 ...

# Let's start with the definition

- **ICRU 83:**

- The PTV is **A GEOMETRICAL CONCEPT** introduced for treatment planning and evaluation. **It is the recommended tool to shape absorbed-dose distributions to ensure that the prescribed absorbed dose will actually be delivered to all parts of the CTV with a clinically acceptable probability,** despite geometrical uncertainties such as organ motion and setup variations
- It surrounds the representation of the CTV with a margin such that the planned absorbed dose is delivered to the CTV
- This margin takes into account both the **internal** and the **setup** uncertainties
- **Although the delineation of the GTV and the CTV is independent of the irradiation technique, the delineation of the PTV is dependent on the technique and is part of the treatment prescription.**
- A margin must be added to the CTV taking into account uncertainties and variations in (1) position, size, and shape of the CTV (internal variations), and (2) patient and beam positioning (external variations)

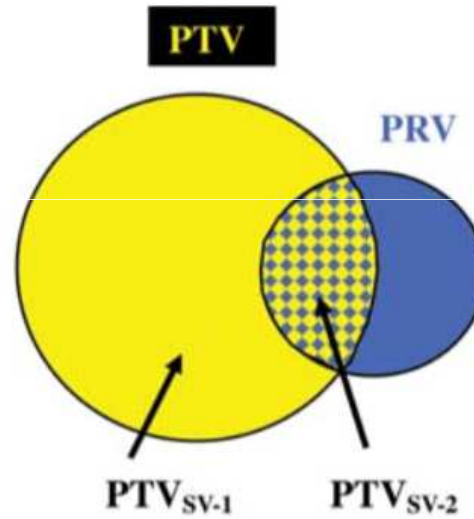
# Let's start with the definition

- **ICRU 83:**

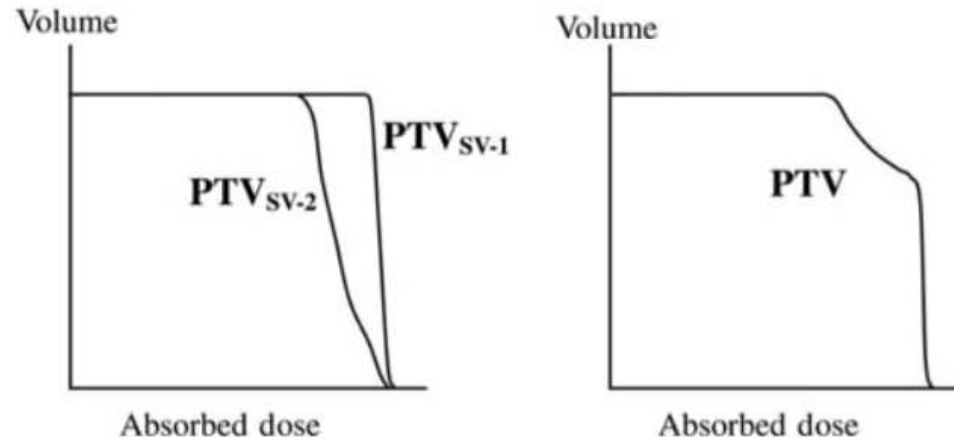
- In earlier ICRU documents, the possibility of **compromising** the margins of the PTV if they encroached on **OAR** was suggested (ICRU, 1999; 2004; 2007), but is **no longer recommended**. To reduce the CTV-to-PTV margin has always been a temptation. As an example, the CTV-to-PTV margin between the prostate and rectum is often 1 cm, except in the anterior – posterior direction for which it is reduced to spare the rectum
- To ensure accurate reporting of absorbed dose to the PTV in cases for which the PTV encroaches or overlaps another PTV, OAR, or PRV, it is now recommended that the delineation of the primary PTV margins should not be compromised. Developments in treatment-planning software now make it possible to achieve sufficient dose sparing of the OAR by **using priority rules in optimizer** planning systems (see Section 2). Alternatively, subdivision of the PTV into regions with different prescribed absorbed doses (so-called **PTV-subvolumes**, PTVSV) may be used.

# Let's start with the definition

- **ICRU 83:**

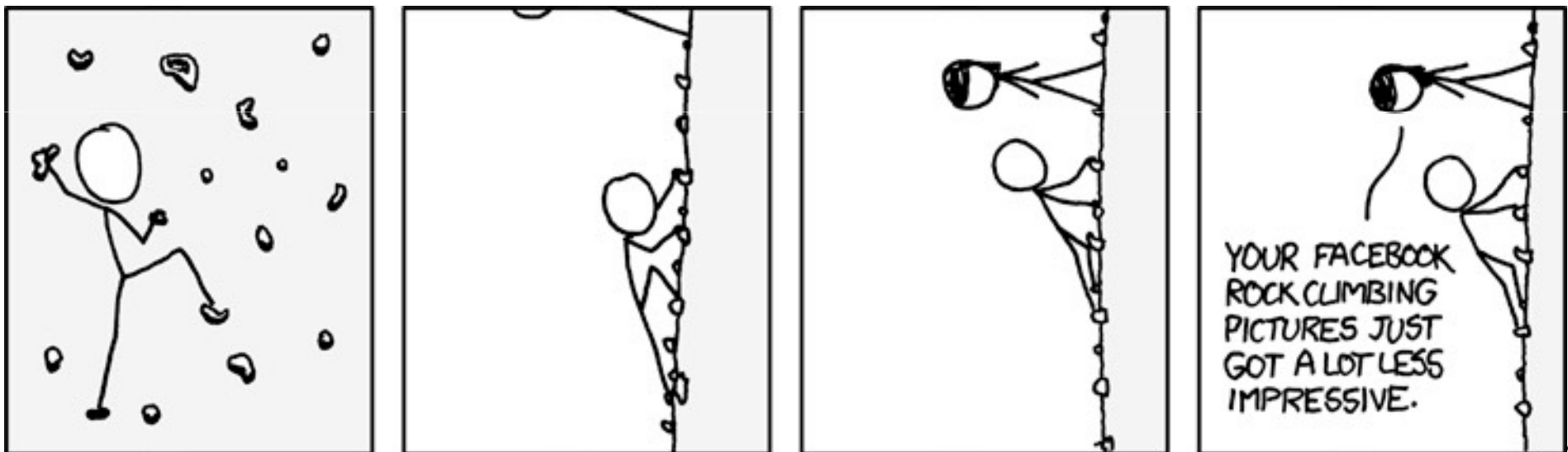


$$PTV = PTV_{SV-1} + PTV_{SV-2}$$



# That was easy ...

- What about clinical practice?
  - Requiring 100 % confidence for adequately treating the CTV would result in unreasonably large margins.
  - To quote ICRU 83, case number B3. Adenocarcinoma of the Prostate: *“The PTV-T was defined by adding an anisotropic margin to the CTV. This margin was 7 mm posteriorly, and 10 mm in all other directions ...”*
- **But where does the 7 mm come from??????**



# PTV in literature

## PRESCRIBING, RECORDING, AND REPORTING PHOTON-BEAM IMRT

Table 4.4. Summary of various published recommendations for margins around target volumes (CTV) and OAR (modified from van Herk, 2004).

Author	Region	Recipe	Comments
Bel <i>et al.</i> (1996)	PTV	$0.7\sigma$	Statistical uncertainties only (linear approximation)—Monte Carlo.
Antolak and Rosen (1999)	PTV	$1.65\sigma$	Statistical uncertainties only, block margin?
Stroom <i>et al.</i> (1999a)	PTV	$2\Sigma + 0.7\sigma$	95 % absorbed dose to on average 99 % of CTV tested in realistic plans.
van Herk <i>et al.</i> (2000)	PTV	$2.5\Sigma + 0.7\sigma$ (or more correctly): $2.5\Sigma + 1.64(\sigma - \sigma_e)$	Minimum absorbed dose to CTV is 95 % for 90% of patients. Analytical solution for perfect conformation.
McKenzie (2000)	PTV	$2.5\Sigma + \beta + (\sigma - \sigma_e)$	Extension of van Herk <i>et al.</i> (2000) for fringe dose due to limited number of beams. The factor $\beta$ depends on the beam organization.
Parker <i>et al.</i> (2002)	PTV	$\Sigma + \sqrt{(\sigma^2 + \Sigma^2)}$	95 % minimum absorbed dose and 100 % absorbed dose for 95 % of volume. Probability levels not specified.
van Herk <i>et al.</i> (2002)	PTV	$2.5 + \Sigma + 0.7\sigma + 3 \text{ mm}$ (or more correctly): $\sqrt{2.7^2\Sigma^2 + 1.6^2\sigma^2} - 2.8 \text{ mm}$	Monte Carlo based test of 1 % TCP loss due to geometrical errors for prostate patients, fitted for various $\sigma$ and $\Sigma$ .
Ten Haken <i>et al.</i> (1997), Engelsman <i>et al.</i> (2001a, 2001b)	PRV (liver and lung)	0	No margin for respiration, but compensation by absorbed-dose escalation to iso-NTCP, reducing target-dose homogeneity constraints.
McKenzie <i>et al.</i> (2000)	PRV	A	Margin for respiration on top of other margins when respiration dominates other uncertainties.
van Herk <i>et al.</i> (2003)	PRV (lung)	0.25 A (caudally); 0.45 A (cranially)	Margin for (random) respiration combined with random setup error of 3 mm SD, when respiration dominates other uncertainties (A > 1 cm).
McKenzie <i>et al.</i> (2002)	PRV	$1.3\Sigma \pm 0.5\sigma$	Margins for small and/or serial organs at risk in low (+) or high (-) absorbed-dose region.

Symbols:  $\Sigma$ , standard deviation of systematic uncertainties;  $\sigma$ , standard deviation of statistical (random) uncertainties;  $\sigma_e$ , describes width of beam penumbra fitted with a Gaussian function; A, peak-to-peak amplitude of respiration.

# PTV in practice?

- ... Use **coverage probabilities** to derive margins ...
- ... This idea is limited to effects expressed in terms of physical dose, biological response parameters are not included ...
  - Stroom *et al.*: **99% of target volume receives 95% of the prescribed dose or more**
  - Van Herk *et al.*: **90% of patients in the population receives a minimum cumulative CTV dose of at least 95 % of the prescribed dose.**
- ... Not all patients will be treated to 100% of the prescription dose in all fractions!!!



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## PHYSICS CONTRIBUTIONS

### THE PROBABILITY OF CORRECT TARGET DOSAGE: DOSE-POPULATION HISTOGRAMS FOR DERIVING TREATMENT MARGINS IN RADIOTHERAPY

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# Margins and the “van Herk recipe”

- A short refreshment on the “philosophy”
  - “**Blur**” the planned dose distribution using *all execution (random) errors* (i.e. set-up, inter/intra fraction motion, penumbra, ...) to estimate the cumulative dose distribution:  $\sigma$
  - **Shift** the blurred dose with *the preparation error (systemetic error)*:  $\Sigma$
  - Use a probability distribution of preparation errors to compute the fraction of patients that receive a certain dose to the CTV:
  - For a given dose level:
    - Find the region of space where the cumulative dose exceeds the given dose level.
    - Compute the *probability* that the CTV is in that region
  - ... this gives you the required margin.

$$M_{\text{ptv}} = \alpha \sqrt{(\Sigma^2_{\text{i}} + \Sigma^2_{\text{e}})} + \beta \sqrt{(\sigma^2_{\text{i}} + \sigma^2_{\text{e}} + \sigma^2_{\text{p}})} - \beta \sigma_{\text{p}}, \quad (13)$$



# Margins and the “van Herk recipe”

- So, don't use

## Simplified PTV margin recipe for dose - probability

To cover the CTV for 90% of the patients with the 95% isodose (analytical solution) :

$$\text{PTV margin} = 2.5 \Sigma + 0.7 \sigma$$

$\Sigma$  = quadratic sum of SD of all preparation (systematic) errors  
 $\sigma$  = quadratic sum of SD of all execution (random) errors

(van Herk et al, IJROBP 47: 1121-1135, 2000)

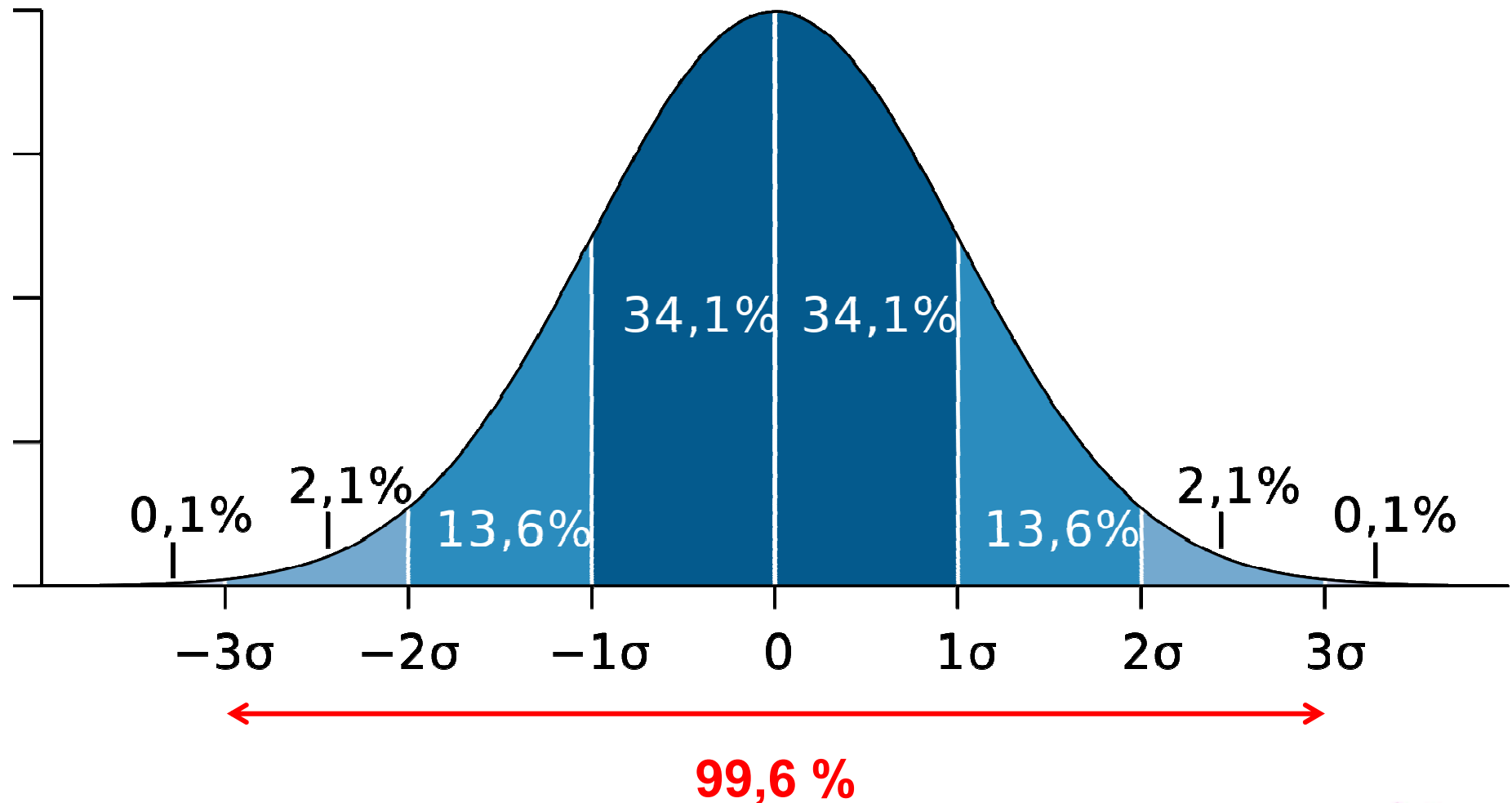
\*For a big CTV with smooth shape, penumbra 5 mm



- Without knowing what it's about

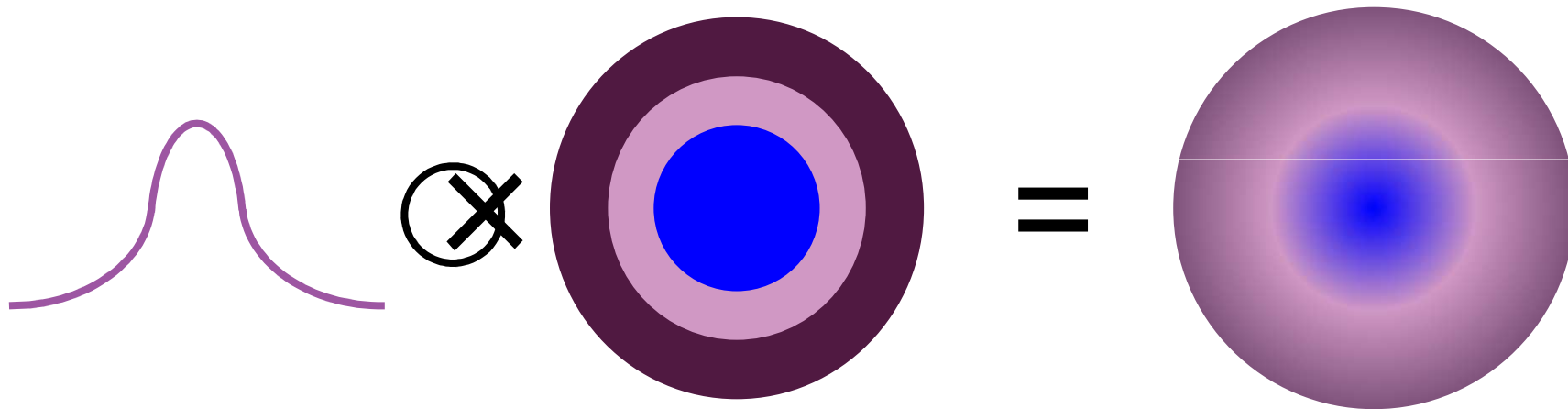
# It's all about probabilities

- This idea assumes Normal Distributions!

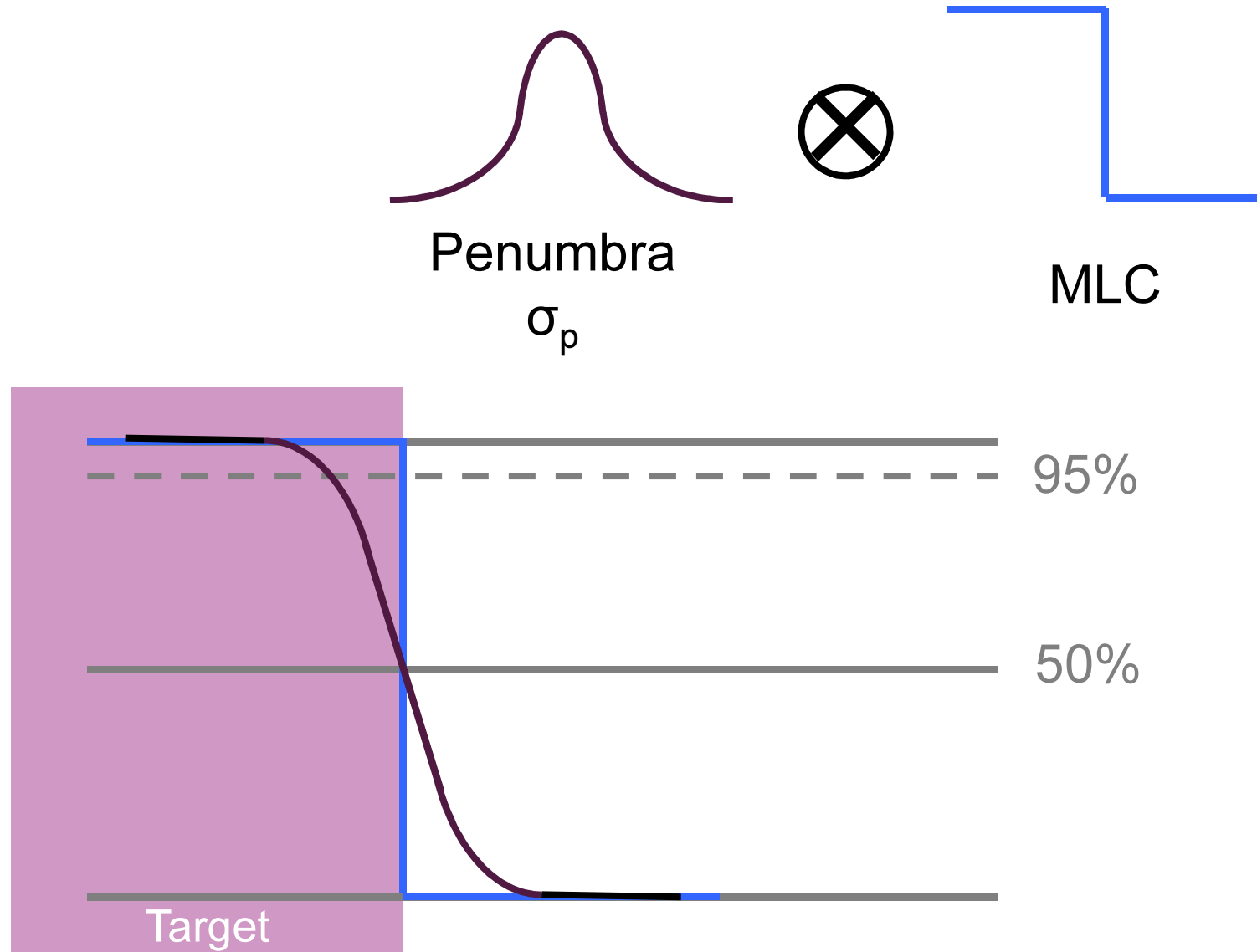


# The “blurring” part: random

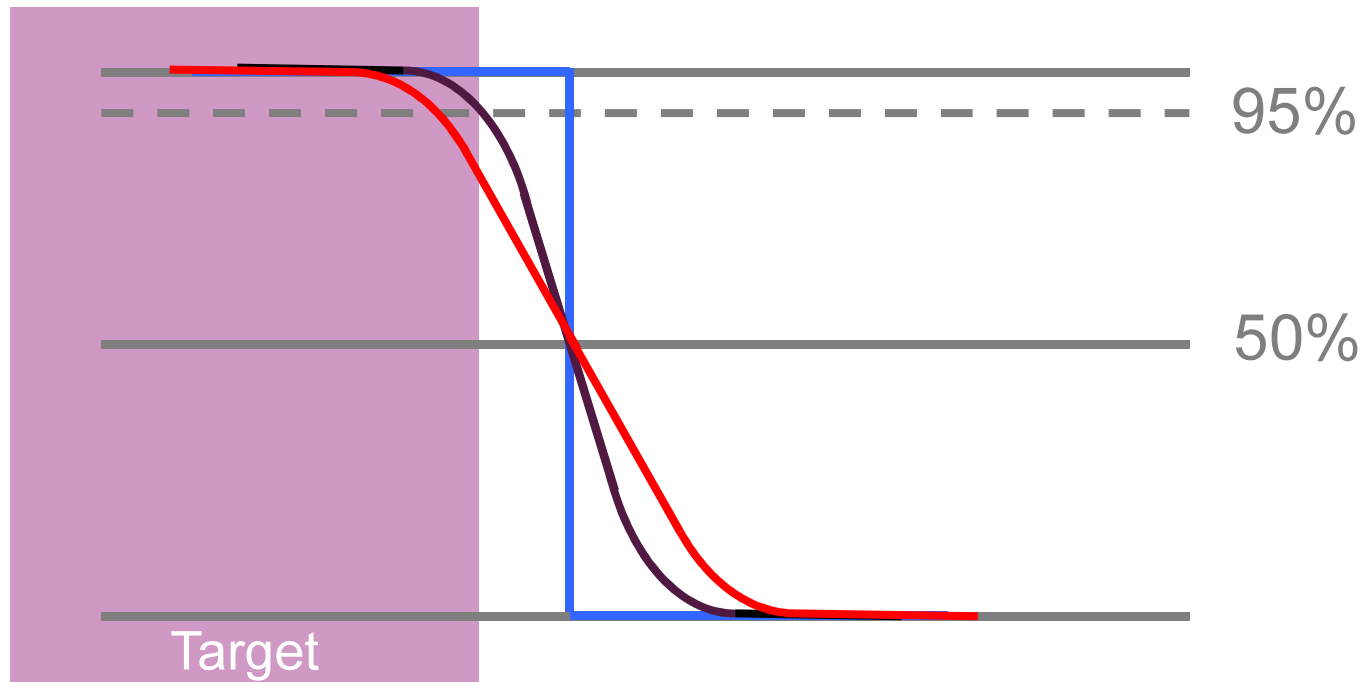
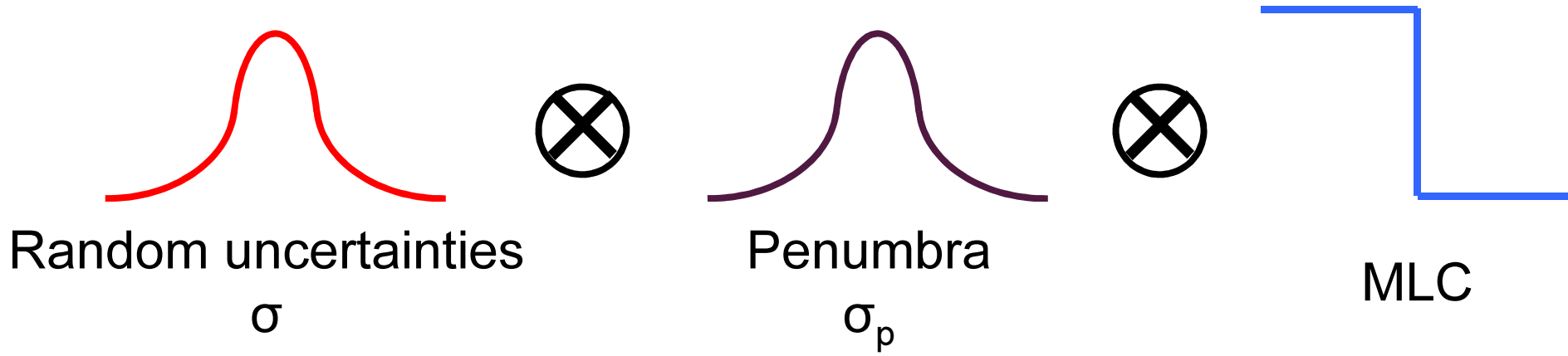
- “Daily” random variations in alignment of dose distribution with CTV cause a blurring effect of the delivered dose distribution.
- This blurring can be described by convolving a random distribution (normal) with the planned dose distribution



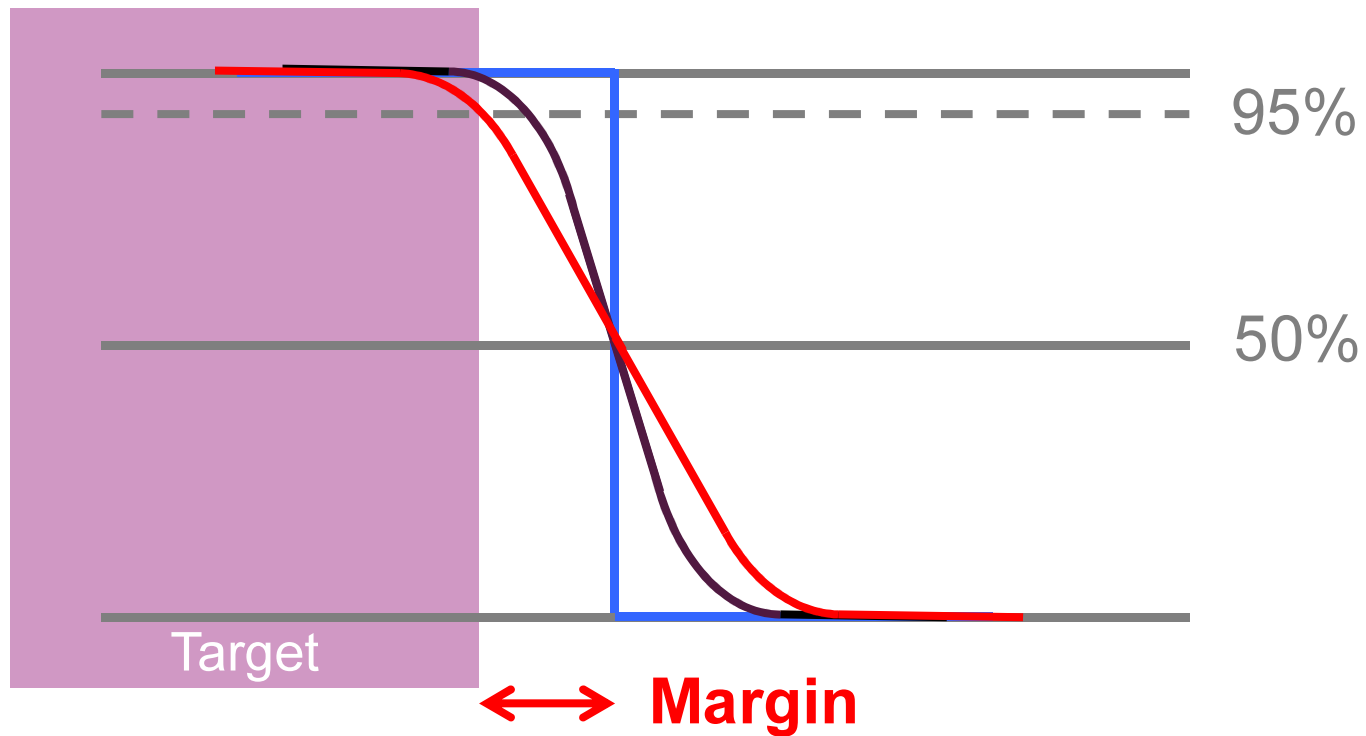
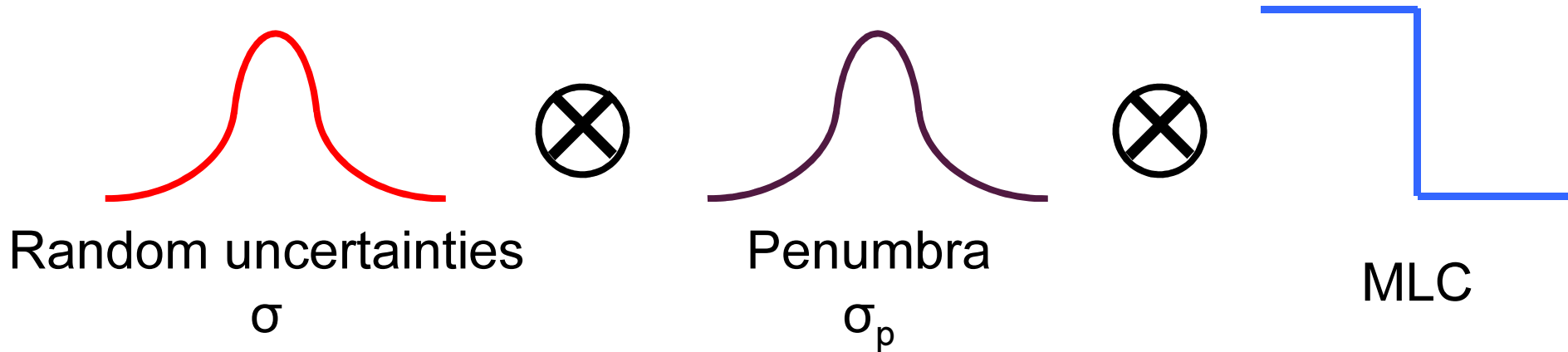
# The “blurring” part: random



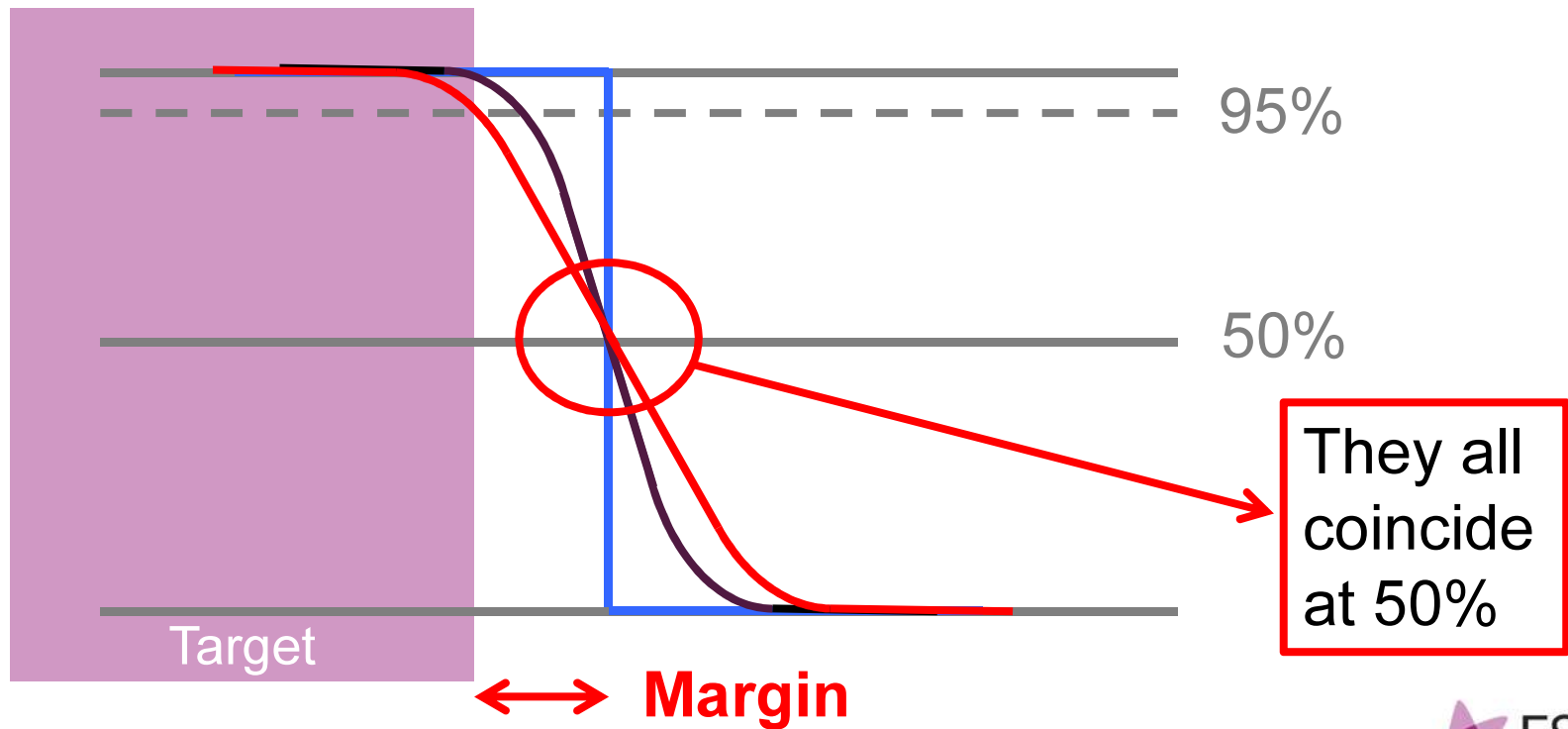
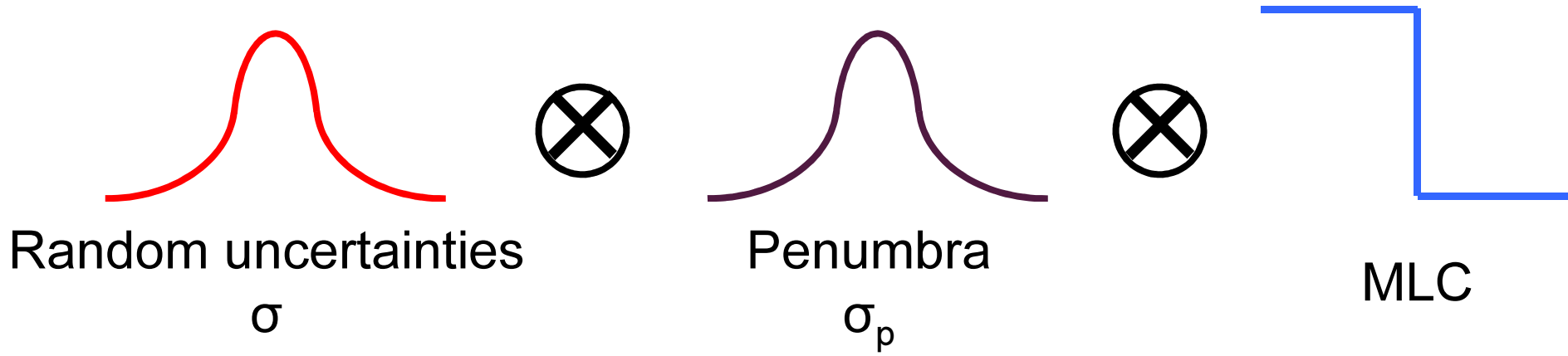
# The “blurring” part: random



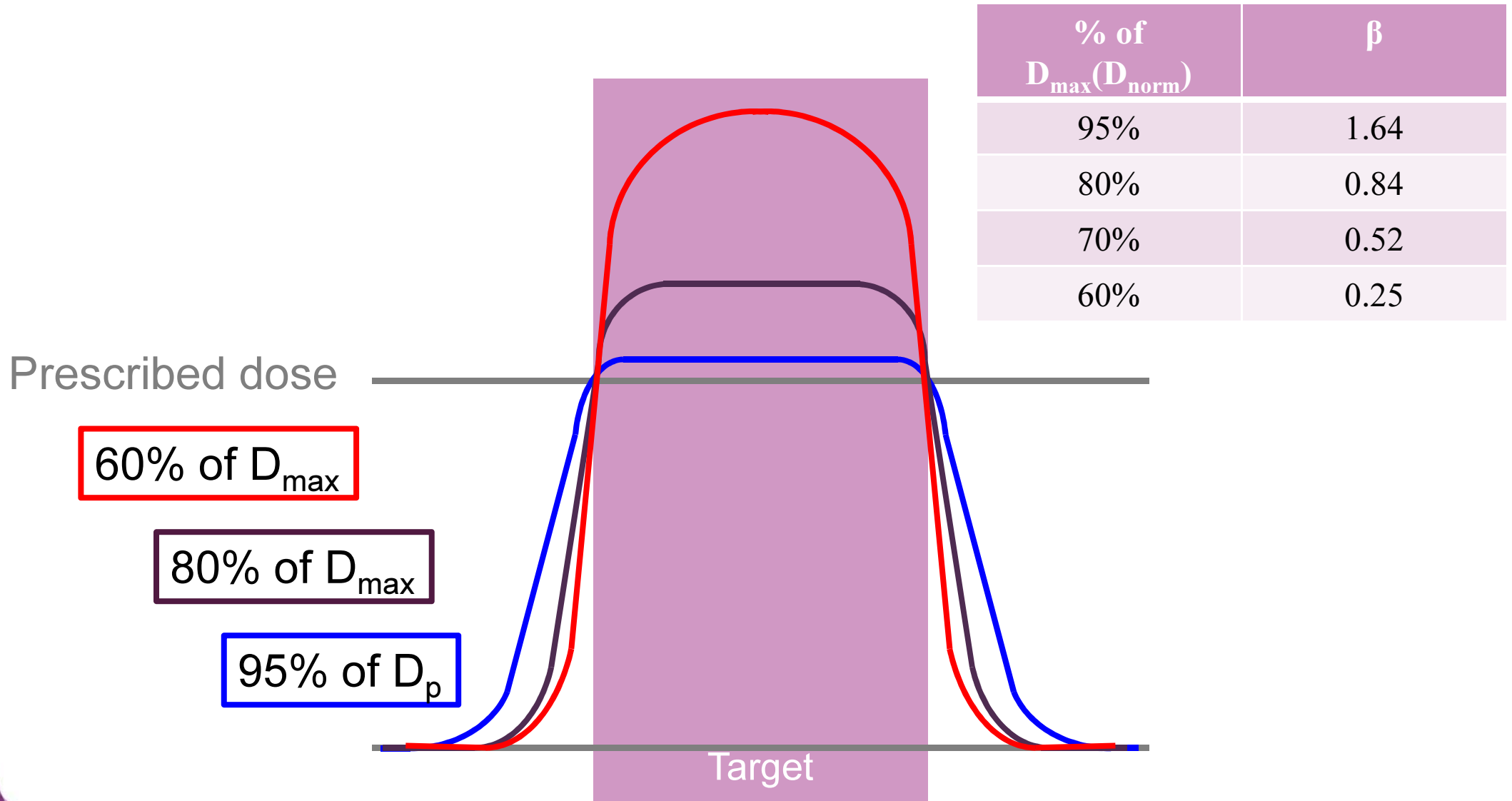
# The “blurring” part: random



# Dose prescription and margins



# Dose prescription and margins





# The “blurring” part: random

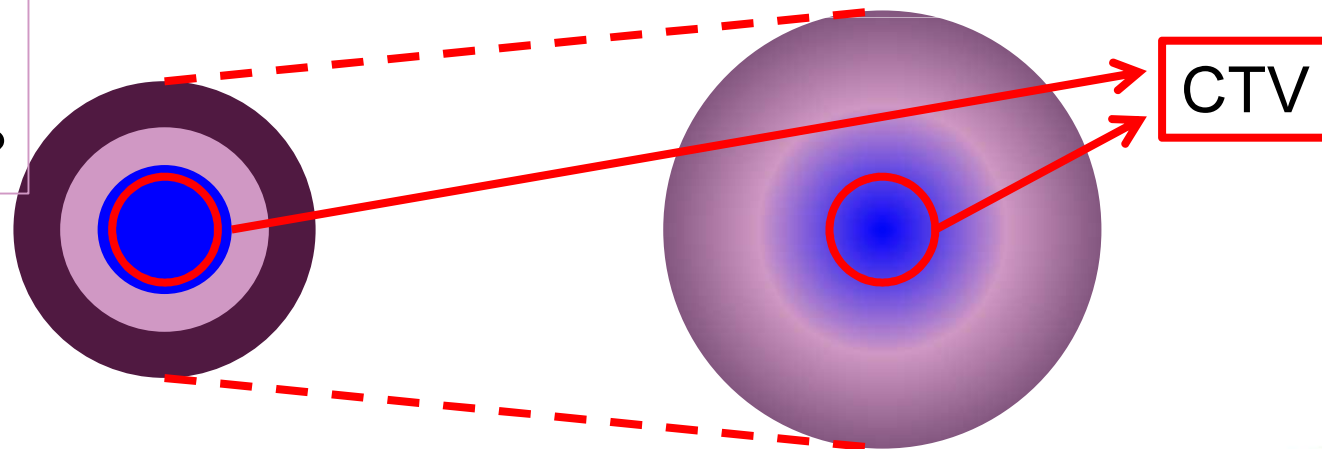
- Cumulative minimum dose to CTV  $\geq 95\%$  of prescription dose

	$\sigma_p$
Water	3.2
Lung	6.4

$$M_r = \beta \sqrt{\sigma^2 + \sigma_p^2} - \beta \sigma_p$$

% of $D_{norm}$	$\beta$
95%	1.64
80%	0.84
70%	0.52
60%	0.25

But, what about:  
IMRT, VMAT,  
Helical TomoTherapy?



# The “shift” part: systematic

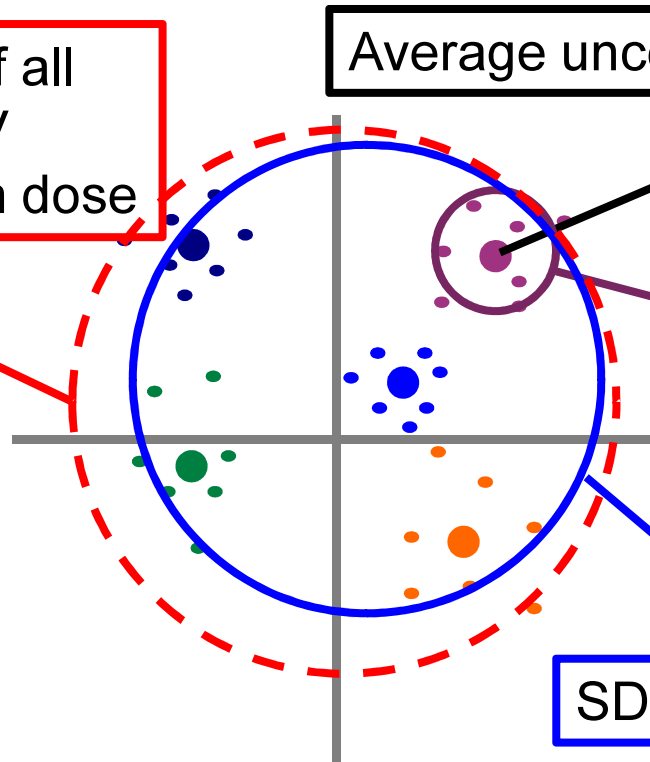
- Systematic uncertainties (typically preparation errors) cause a **shift** of the (blurred) dose distribution.
- Again, we assume the systematic uncertainties within a certain population of patients to be described by a normal distribution

$M_{\text{sys}}$  to ensure that for 90% of all systematic errors, the CTV receives 95% of the prescription dose

Average uncertainty per patient: systematic

SD per patient: random,  $\sigma$

SD of all systematic uncertainties:  $\Sigma$



# The “shift” part: systematic

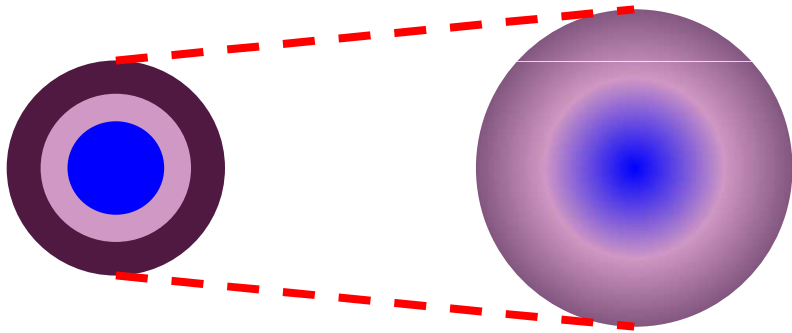
- Assuming a “spherical” target

$$\int_0^{M_{sys}} p(\Sigma) dr = 0.9 \quad \Rightarrow \quad M_{sys} = 2.5\Sigma$$

confidence	$\alpha$
80%	2.16
90%	2.50
95%	2.79
99%	3.36

# Margins and the “van Herk recipe”

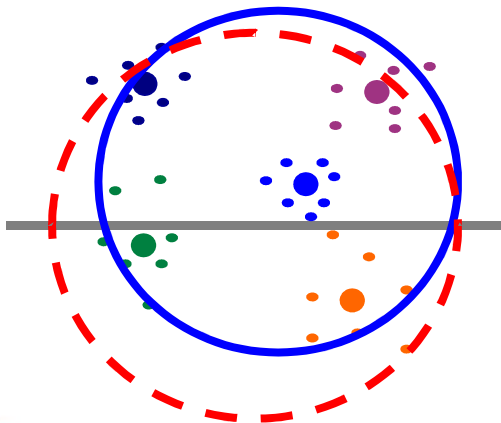
- “Blurring” part: cumulative minimum dose  $\geq 95\%$  of  $D_p$



$$M_r = \beta \sqrt{\sigma^2 + \sigma_p^2} - \beta \sigma_p$$

$$\beta = 1.64$$

- “Shifting part:  $\geq 90\%$  of population receives a cumulative CTV dose  $\geq 95\%$  of  $D_p$



$$M = \alpha \Sigma + M_r$$

$$\alpha = 2.5$$

# Total systematic and random uncertainties

- Why “quadratic sum”?
  - For a simple criterion such as probability level of minimum dose, random and systematic uncertainties could be added linearly.

$$M = M_{sys} + M_r$$

- For the separate systematic and random uncertainties a **quadratic sum** is required:

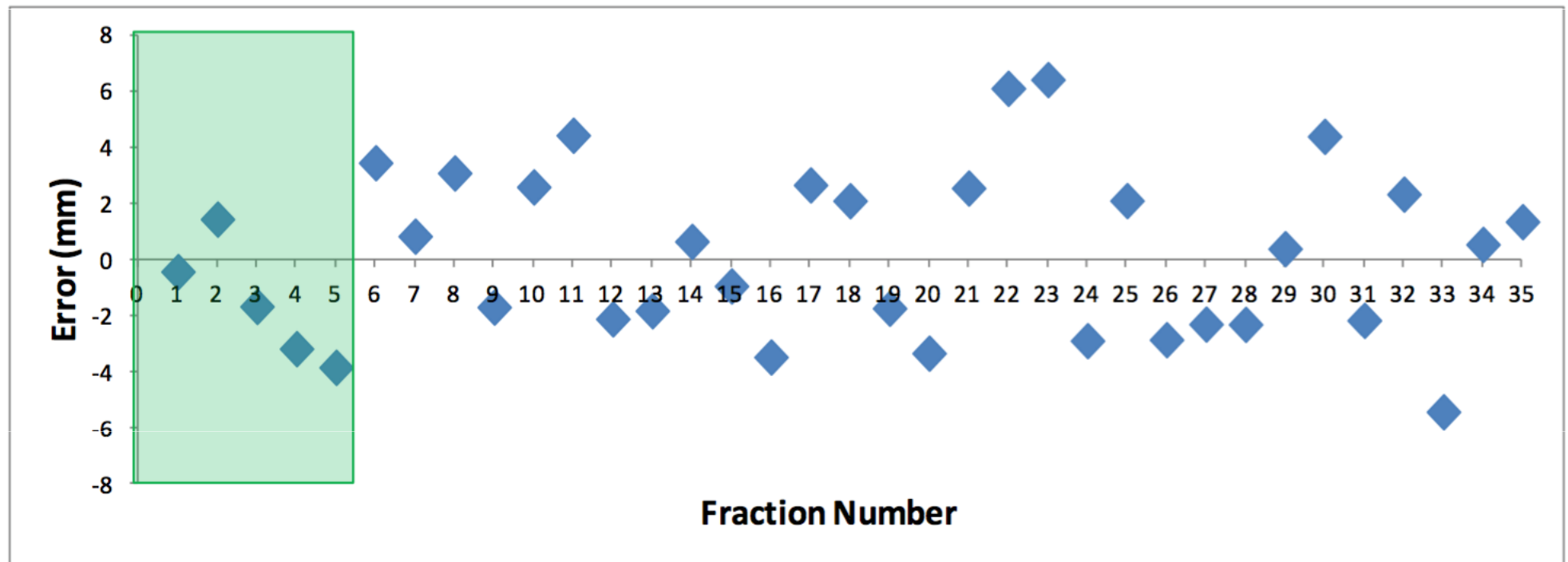
$$\Sigma = \sqrt{\Sigma_a^2 + \Sigma_b^2 + \Sigma_c^2}$$

$$\Sigma = \sqrt{10^2 + 3^2 + 3^2} = 10.9$$

- **It emphasizes the large uncertainties!!!** (see example)

# Margins and number of fractions

- If the number of fractions decreases (eg HYPOFRACTIONATION) the “random” component becomes more “systematic” (ie a “shift”)



- Uncertainty after 35 fractions: 0.1mm
- Uncertainty after 5 fractions: -1.6mm

# Margins and number of fractions


- If the number of fractions decreases (eg **HYPOFRACTIONATION**) the “random” component becomes more “systematic” (ie a “shift”)

- Effective systematic uncertainty (shift)

$$\Sigma_{eff} = \sqrt{\Sigma^2 + \frac{1}{N} \sigma^2}$$

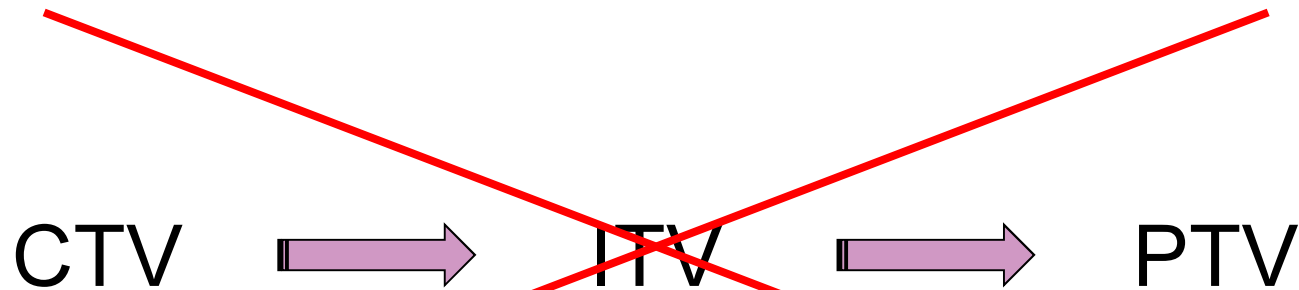
- Effective random uncertainty (blur)

$$\sigma_{eff} = \sqrt{\left(1 - \frac{1}{N}\right) \sigma^2}$$


$$N \rightarrow 1$$

# ... and motion management

- Based on the previous, it is obvious that



$$M_{ptv} = \alpha \sqrt{(\Sigma_i^2 + \Sigma_e^2)} + \beta \sqrt{(\sigma_i^2 + \sigma_e^2 + \sigma_p^2)} - \beta \sigma_p, \quad (13)$$

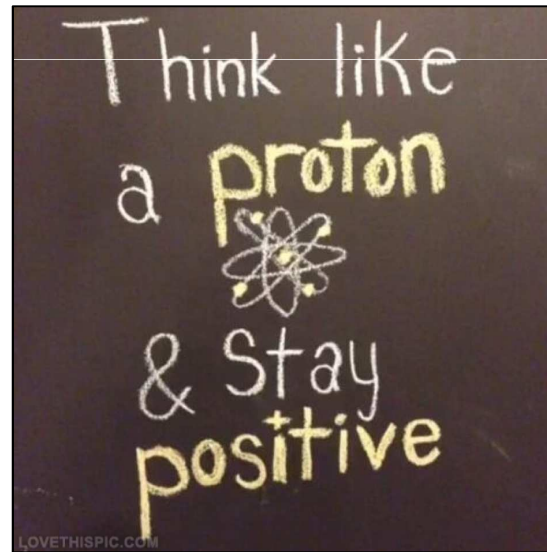
- For more details: see ESTRO course

“Clinical Practice & Implementation of Image-Guided Stereotactic Body Radiotherapy”



# ... and particle therapy

- Don't even think of using a PTV!!



- Halperin's rule:
  - Most tumours are radioresistent if you miss them ...
  - Proton therapy offers many new and expensive ways of missing the tumour.

# Validity of the margin recipe

- Assumes **homogeneous patient population** (identical SD)
- Assumes **many fractions**
- Assumes **spherical symmetry**
  - More or less OK if  $CTV \gg \sigma$
- Assumes **“ideal” conformation**
  - ie preparation errors have the same impact in all directions
- **Rotations and shape variations** have been ignored
- Uncertainties were assumed to be isotropic
  - The concept can be generalized to 3D by separating x, y, and z directions.
- The different sources of uncertainties are assumed to be **statistically independent**
  - As most of the uncertainties are introduced at different stages of the treatment, this assumption seems OK
- And again: **normal probability distributions** are assumed.

# PTV in practice: oesophagus

- In this exercise we will work out the antero-posterior margin only, the latero-lateral and cranio-caudal margins can be deduced in a similar way.
- 3D (isotropic) margins assume a ball rolling along the 3D CTV ... sounds easier than it is.
- **As this is an example based on a particular patient population using a particular IGRT workflow, this data is NOT TO BE USED in an other setting.**

# PTV in practice: oesophagus

Systematic uncertainty (confidence level)	$\Sigma$ (mm)	$\Sigma^2$
Snapshot CT		
Delineation (intra observer)		
Interfraction setup (laser)		
Interfraction setup (IGRT) (intra observer registration)		
End2end IGRT (eg PentaCheck)		
...		
QUADRATIC SUM		
$\Sigma$		

Random uncertainty (dose blurring)	$\sigma$ (mm)	$\sigma^2$
Intrafraction organ mobility		
Interfraction setup (laser)		
Intrafraction patient motion		
$\sigma_p$	3.2	
...		
QUADRATIC SUM		
$\sigma$		
$\sigma_p$	3.2	

$$M = \alpha \Sigma + \beta \sqrt{\sigma^2 + \sigma_p^2} - \beta \sigma_p$$

$$\alpha = 2.5$$

$$\beta = 1.64$$

PTV margin (mm)

# CT snapshot and mobility

- Try to obtain the data from your own patient population, using your own technology and workflows!
- If this is not practical, refer to relevant literature.
- Example mobility oesophagus:
  - Welch *et al.* (Gastroenterology 1982), Dieleman *et al.* (IJROBP 2007)

	Amplitude (mm)		SD (mm)	
	Upper & mid 1/3	GEJ	Upper & mid 1/3	GEJ
Welch	4	1	6	2
Dieleman	3	1	4	1

- Snapshot CT:  $\Sigma = 0.33 * \text{amplitude} = 0.33 * 4 = 1.32 \text{ mm}$
- Intrafraction organ mobility:  $\sigma = 1.00$

# PTV in practice: oesophagus

Systematic uncertainty (confidence level)	$\Sigma$ (mm)	$\Sigma^2$
Snapshot CT	1.32	
Delineation (intra observer)		
Interfraction setup (laser)		
Interfraction setup (IGRT) (intra observer registration)		
End2end IGRT (eg PentaCheck)		
...		
QUADRATIC SUM		
$\Sigma$		

Random uncertainty (dose blurring)	$\sigma$ (mm)	$\sigma^2$
Intrafraction organ mobility	1.00	
Interfraction setup (laser)		
Intrafraction patient motion		
...		
$\sigma_p$	3.2	
...		
QUADRATIC SUM		
$\sigma$		
...		
$\sigma_p$	3.2	

$$M = \alpha \Sigma + \beta \sqrt{\sigma^2 + \sigma_p^2} - \beta \sigma_p$$

$$\alpha = 2.5$$

$$\beta = 1.64$$

PTV margin (mm)

# Patient setup

- In-house study on 10 patients, followed for 10 fractions each.
  - Patient set-up on laser and skin marks, daily CBCT and appropriate correction pre-treatment, daily post-treatment CBCT.
- Interfraction systematic and random uncertainty based on laser setup (i.e. difference between laser setup and CBCT)

	Pat 1	Pat 2	...	Pat 10
Fraction 1				
Fraction 2				
...				
Fraction 25				
<b>Average</b>	→ SD (averages) = $\Sigma_{\text{interfr}}$ = 19.13 mm			
<b>SD</b>	→ average (SD) = $\sigma_{\text{interfr}}$ = 4.52 mm			

# Patient setup

- In-house study on 10 patients, followed for 10 fractions each
  - Patient set-up on laser and skin marks, daily CBCT and appropriate correction pre-treatment, daily post-treatment CBCT.
- Automated registration was performed 3 consecutive times (assessment of registration error, intra observer variation):

Interfraction setup (IGRT)

0.3 mm



# Patient setup

- In-house study on 10 patients, followed for 10 fractions each
  - Patient set-up on laser and skin marks, daily CBCT and appropriate correction pre-treatment, daily post-treatment CBCT.
- Intrafraction motion (difference between pre- and post CBCT):

	Pat 1	Pat 2	...	Pat 10	
Fraction 1					
Fraction 2					
...					
Fraction 3					
<b>Average</b>	↓ ↓ ↓ ↓				<b>SD (averages) = <math>\Sigma_{\text{intrafr}}</math> = -0.52mm</b>
<b>SD</b>	—————				<b>average (SD) = <math>\sigma_{\text{intrafr}}</math> = 1.99mm</b>

# PTV in practice: oesophagus

Systematic uncertainty (confidence level)	$\Sigma$ (mm)	$\Sigma^2$
Snapshot CT	1.32	
Delineation (intra observer)		
Interfraction setup (laser)	19.13	
Interfraction setup (IGRT) (intra observer registration)	0.3	
End2end IGRT (eg PentaCheck)		
...		
QUADRATIC SUM		
$\Sigma$		

Random uncertainty (dose blurring)	$\sigma$ (mm)	$\sigma^2$
Intrafraction organ mobility	1.00	
Interfraction setup (laser)	4.52	
Intrafraction patient motion	1.99	
...		
$\sigma_p$	3.2	
...		
QUADRATIC SUM		
$\sigma$		
...		
$\sigma_p$	3.2	

$$M = \alpha \Sigma + \beta \sqrt{\sigma^2 + \sigma_p^2} - \beta \sigma_p$$

$$\alpha = 2.5$$

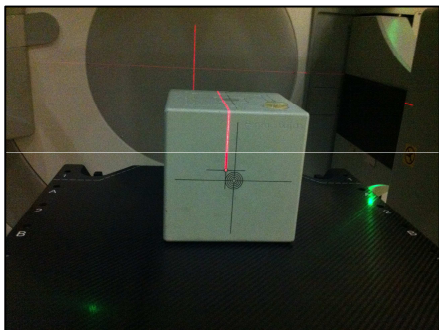
$$\beta = 1.64$$

PTV margin (mm)

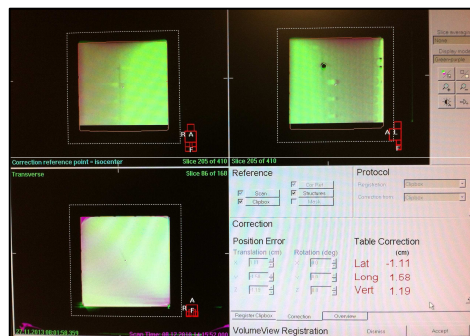
# Patient setup

- Systematic uncertainty related to IGRT workflow, in this particular case the CBCT – CT registration and automated set-up.
- The registration uncertainty was already accounted for.
- The positioning uncertainty after automated couch movement can be assessed by the weekly QA (alternative: an extra CBCT)
  - in this case the so-called PentaCheck: data from January 2016-May 2016.

Laser setup



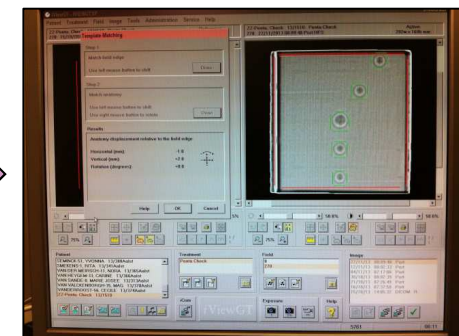
CBCT



Couch correction



EPID verification



- Average uncertainty antero-posterior: -1.08mm (SD: 0.80mm)

# PTV in practice: oesophagus

Systematic uncertainty (confidence level)	$\Sigma$ (mm)	$\Sigma^2$
Snapshot CT	1.32	
Delineation (intra observer)		
Interfraction setup (laser)	19.13	
Interfraction setup (IGRT) (intra observer registration)	0.3	
End2end IGRT (eg PentaCheck)	1.08	
...		
QUADRATIC SUM		
$\Sigma$		

Random uncertainty (dose blurring)	$\sigma$ (mm)	$\sigma^2$
Intrafraction organ mobility	1.00	
Interfraction setup (laser)	4.52	
Intrafraction patient motion	1.99	
...		
$\sigma_p$	3.2	
...		
QUADRATIC SUM		
$\sigma$		
...		
$\sigma_p$	3.2	

$$M = \alpha \Sigma + \beta \sqrt{\sigma^2 + \sigma_p^2} - \beta \sigma_p$$

$$\alpha = 2.5$$

$$\beta = 1.64$$

PTV margin (mm)

# Delineation

- Again, ideally an intra-observer study should be performed in combination with MRI and pathology data to assess the treatment volumes.
- In this exercise we will start with a conservative systematic “guestimate” of 4mm.

# PTV in practice: oesophagus

Systematic uncertainty (confidence level)	$\Sigma$ (mm)	$\Sigma^2$
Snapshot CT	1.32	
Delineation (intra observer)	4.00	
Interfraction setup (laser)	19.13	
Interfraction setup (IGRT) (intra observer registration)	0.3	
End2end IGRT (eg PentaCheck)	1.08	
...		
QUADRATIC SUM		
$\Sigma$		

Random uncertainty (dose blurring)	$\sigma$ (mm)	$\sigma^2$
Intrafraction organ mobility	1.00	
Interfraction setup (laser)	4.52	
Intrafraction patient motion	1.99	
...		
$\sigma_p$	3.2	
...		
QUADRATIC SUM		
$\sigma$		
...		
$\sigma_p$	3.2	

$$M = \alpha \Sigma + \beta \sqrt{\sigma^2 + \sigma_p^2} - \beta \sigma_p$$

$$\alpha = 2.5$$

$$\beta = 1.64$$

PTV margin (mm)

# PTV in practice: oesophagus

Systematic uncertainty (confidence level)	$\Sigma$ (mm)	$\Sigma^2$
Snapshot CT	1.32	1.74
Delineation (intra observer)	4.00	16.00
Interfraction setup (laser)	19.13	365.96
Interfraction setup (IGRT) (intra observer registration)	0.3	0.09
End2end IGRT (eg PentaCheck)	1.08	1.17
...		
QUADRATIC SUM		384.96
$\Sigma$		19.62

Random uncertainty (dose blurring)	$\sigma$ (mm)	$\sigma^2$
Intrafraction organ mobility	1.00	1.00
Interfraction setup (laser)	4.52	20.43
Intrafraction patient motion	1.99	3.96
...		
$\sigma_p$	3.2	10.24
...		
QUADRATIC SUM		35.63
$\sigma$		5.97
...		
$\sigma_p$	3.2	

$$M = \alpha \Sigma + \beta \sqrt{\sigma^2 + \sigma_p^2} - \beta \sigma_p$$

$$\alpha = 2.5$$

$$\beta = 1.64$$

PTV margin (mm)

53.59

# PTV in practice: oesophagus

Systematic uncertainty (confidence level)	$\Sigma$ (mm)	$\Sigma^2$
Snapshot CT	1.32	1.74
Delineation (intra observer)	4.00	16.00
Interfraction setup (laser)	0	0
Interfraction setup (IGRT) (intra observer registration)	0.3	0.09
End2end IGRT (eg PentaCheck)	1.08	1.17
...		
QUADRATIC SUM		19.00
$\Sigma$		4.36

Random uncertainty (dose blurring)	$\sigma$ (mm)	$\sigma^2$
Intrafraction organ mobility	1.00	1.00
Interfraction setup (laser)	0	0
Intrafraction patient motion	1.99	3.96
...		
$\sigma_p$	3.2	10.24
...		
QUADRATIC SUM		15.20
$\sigma$		3.90
...		
$\sigma_p$	3.2	

$$M = \alpha \Sigma + \beta \sqrt{\sigma^2 + \sigma_p^2} - \beta \sigma_p$$

$$\alpha = 2.5$$

$$\beta = 1.64$$

PTV margin (mm)

12,04



# PTV in practice: oesophagus

Systematic uncertainty (confidence level)	$\Sigma$ (mm)	$\Sigma^2$
Snapshot CT	1.32	1.74
Delineation (intra observer)	0	0
Interfraction setup (laser)	0	0
Interfraction setup (IGRT) (intra observer registration)	0.3	0.09
End2end IGRT (eg PentaCheck)	1.08	1.17
...		
QUADRATIC SUM		3.00
$\Sigma$		1.73

Random uncertainty (dose blurring)	$\sigma$ (mm)	$\sigma^2$
Intrafraction organ mobility	1.00	1.00
Interfraction setup (laser)	0	0
Intrafraction patient motion	1.99	3.96
...		
$\sigma_p$	3.2	10.24
...		
QUADRATIC SUM		15.20
$\sigma$		3.90
...		
$\sigma_p$	3.2	

$$M = \alpha \Sigma + \beta \sqrt{\sigma^2 + \sigma_p^2} - \beta \sigma_p$$

$$\alpha = 2.5$$

$$\beta = 1.64$$

PTV margin (mm)

5.48

# PTV in practice: oesophagus

Systematic uncertainty (confidence level)	$\Sigma$ (mm)	$\Sigma^2$
Snapshot CT	1.32	1.74
Delineation (intra observer)	2	4
Interfraction setup (laser)	0	0
Interfraction setup (IGRT) (intra observer registration)	0.3	0.09
End2end IGRT (eg PentaCheck)	1.08	1.17
...		
QUADRATIC SUM		7.00
$\Sigma$		2,65

Random uncertainty (dose blurring)	$\sigma$ (mm)	$\sigma^2$
Intrafraction organ mobility	1.00	1.00
Interfraction setup (laser)	0	0
Intrafraction patient motion	1.99	3.96
...		
$\sigma_p$	3.2	10.24
...		
QUADRATIC SUM		15.20
$\sigma$		3.90
...		
$\sigma_p$	3.2	

$$M = \alpha \Sigma + \beta \sqrt{\sigma^2 + \sigma_p^2} - \beta \sigma_p$$

$$\alpha = 2.5$$

$$\beta = 1.64$$

PTV margin (mm)

7.76

# PTV in practice: oesophagus

- Margins used in clinical practice at UZ Brussel:
  - Helical TomoTherapy
  - Delineation on CT, PET-CT and MRI (MIM software environment)
  - Daily MV-CT
  - Antero-posterior: 8mm (upper and mid 1/3), 10mm (GEJ)

# Margin reduction ...



IGRT does **NOT** mean that  
margins can converge to zero!!!!!!!!!!!!

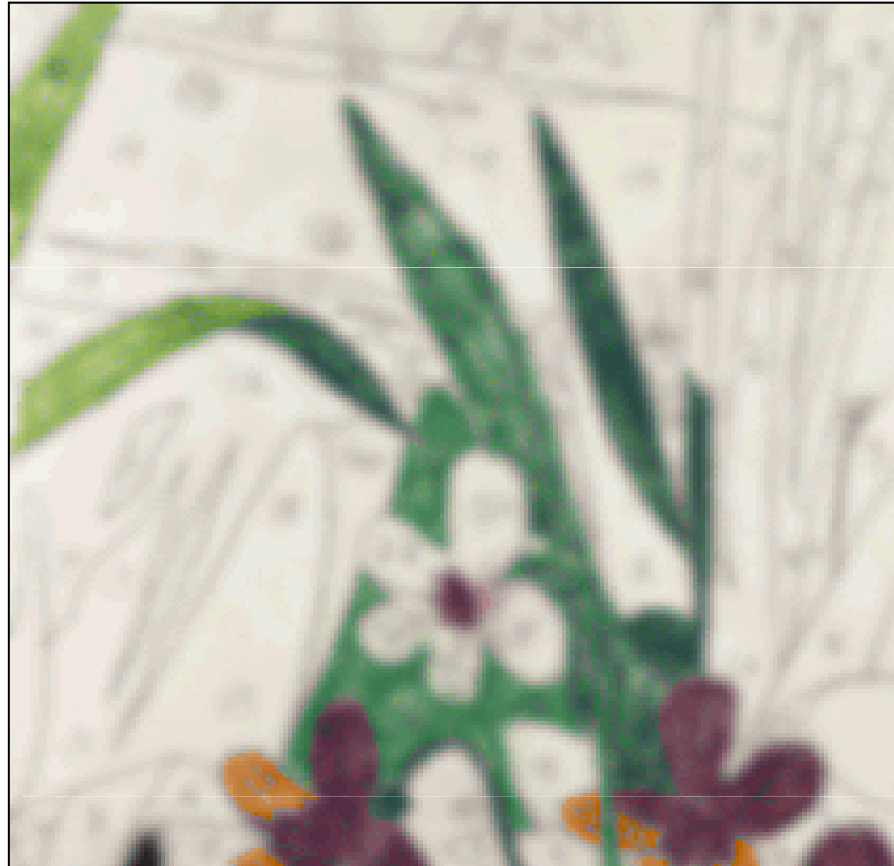
margin recipes are still a necessity,  
especially to cope with uncertainty in CTV

Engels B, Soete G, Verellen D, Storme G.

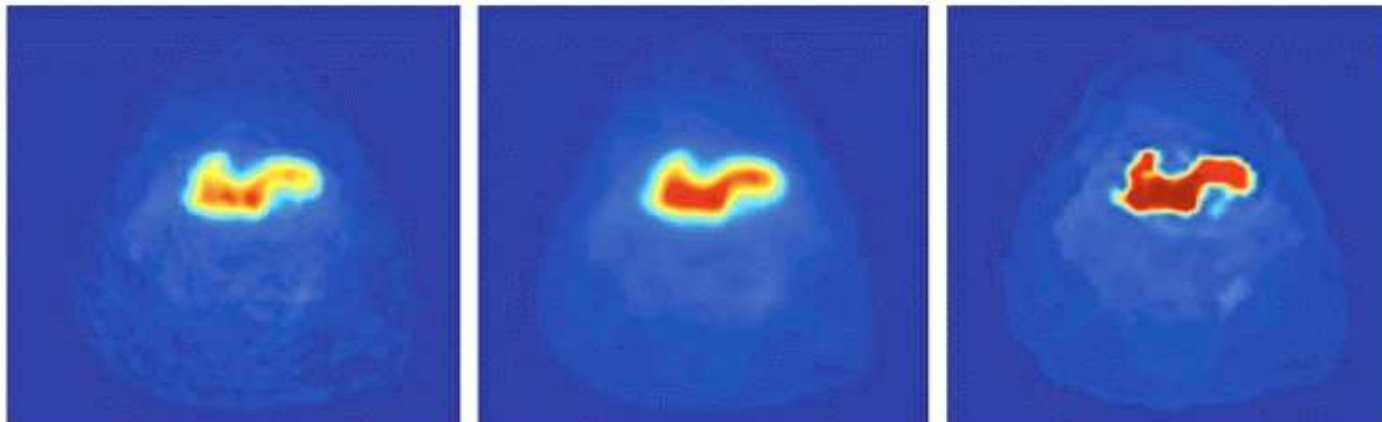
Conformal arc radiotherapy for prostate cancer: increased biochemical failure in patients with distended rectum on the planning CT in spite of image guidance by implanted markers.

Int J Radiat Oncol Biol Phys 2009

# Dose painting by numbers ...

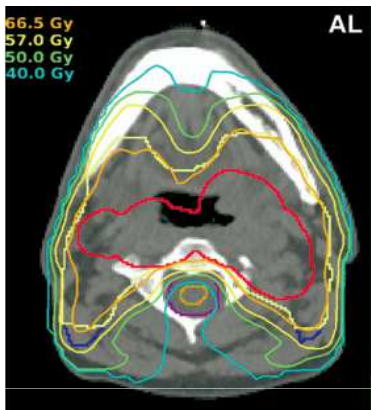


Courtesy  
X. Geets

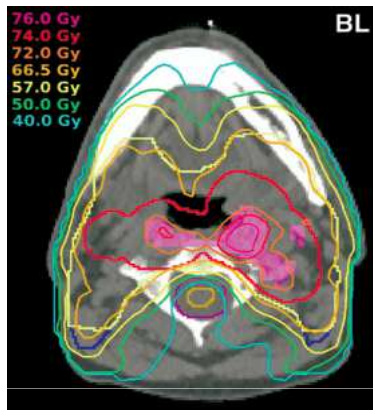


# Dose painting by numbers ...

- ... we don't know what the numbers stand for
- ... our painting brush does not match the required resolution ... yet

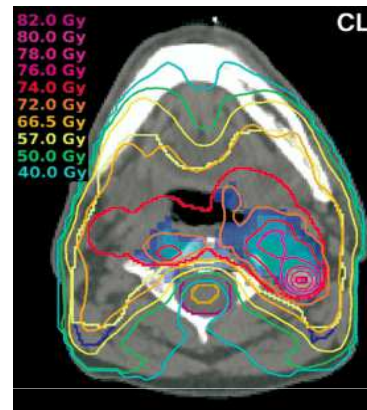


“conventional IMRT”  
or  
dose sculpting

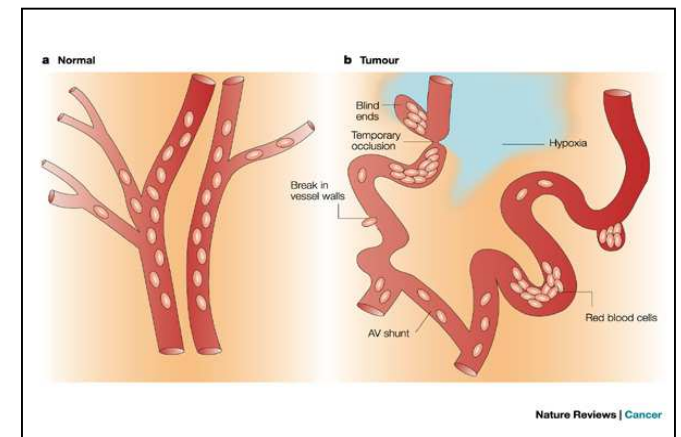
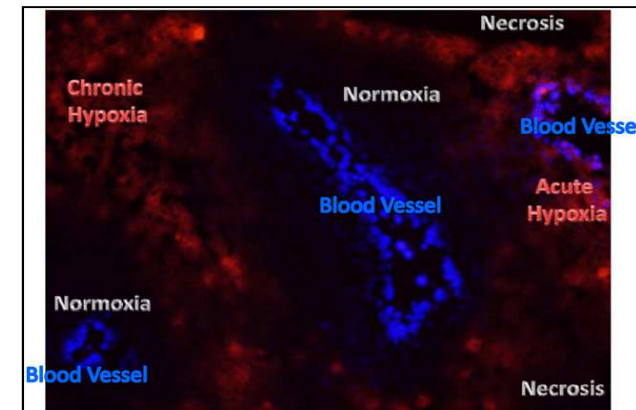
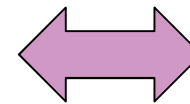


Dose escalation  
based on  
FDG-PET

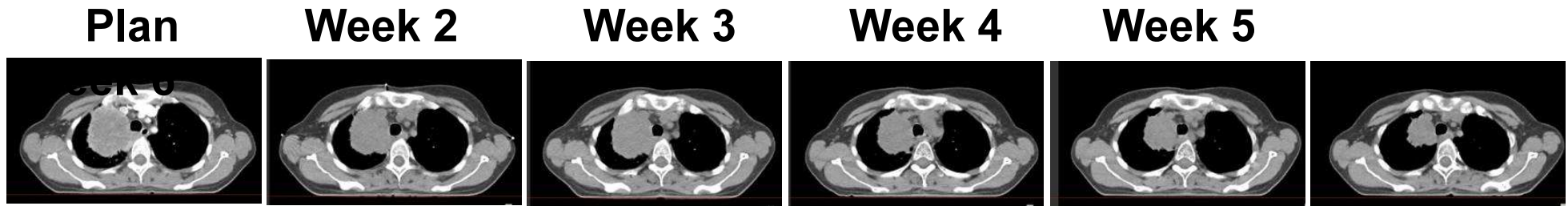
Courtesy Thorwarth *et al.*



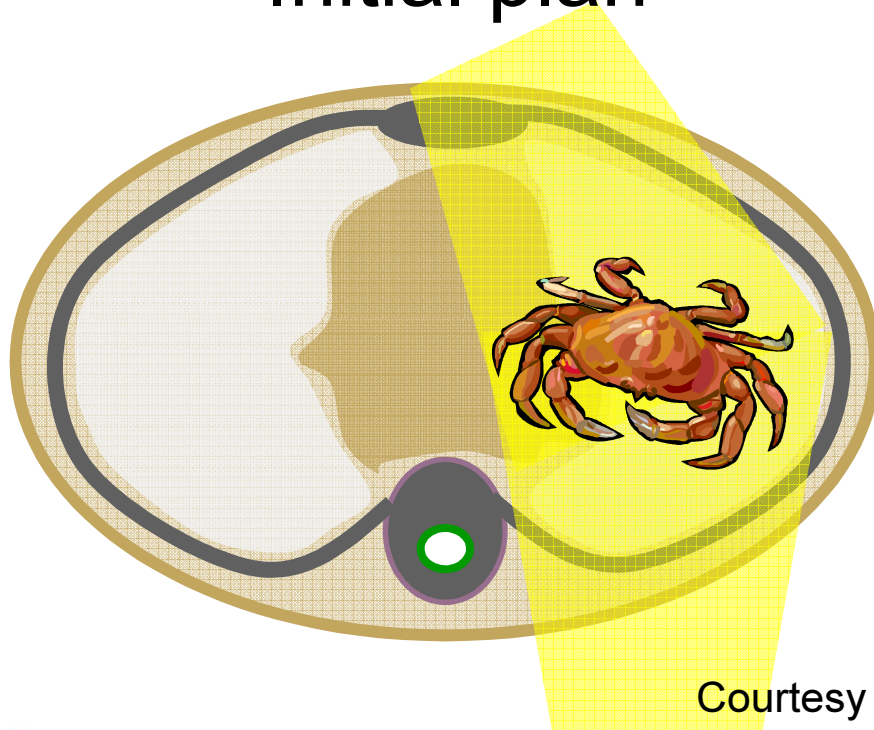
Dose-painting  
Based on  
Dynamic F-MISO



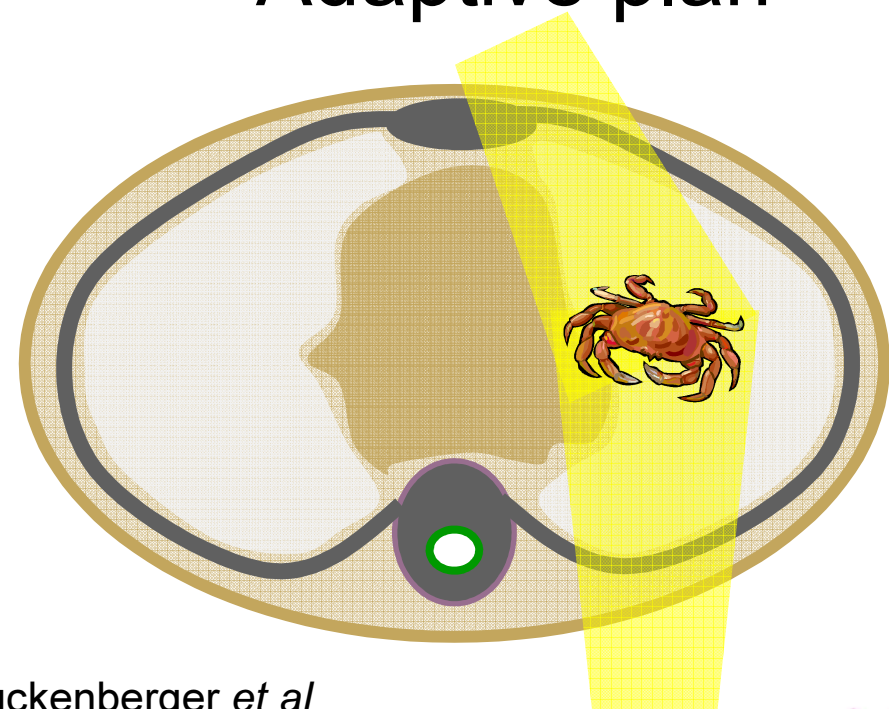
# Adaptive radiotherapy ...



Initial plan



Adaptive plan

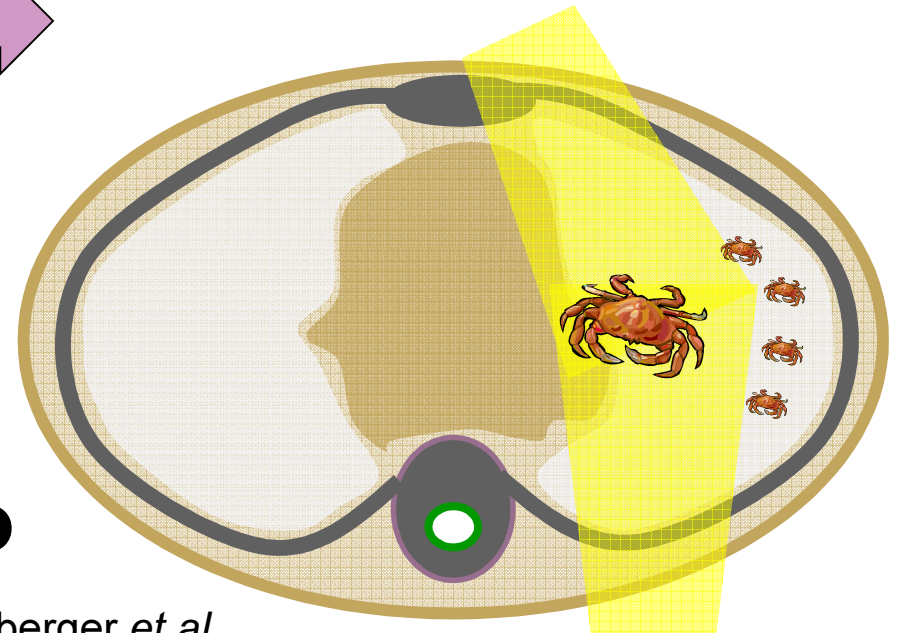
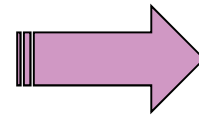
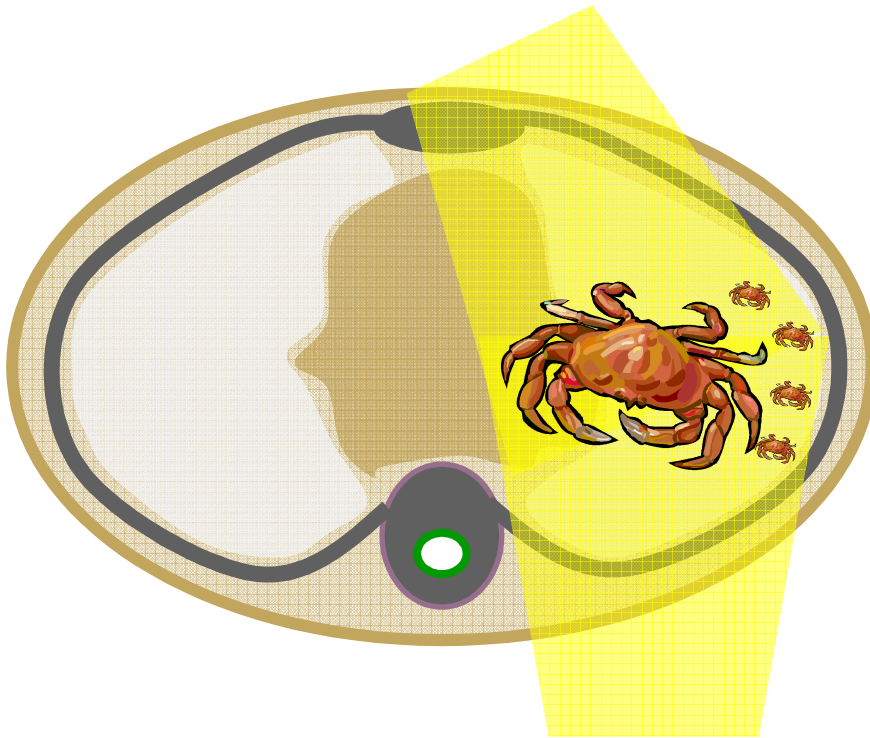


Courtesy Guckenberger *et al*

# Adaptive radiotherapy ...

## Best case scenario

Initial plan



ART

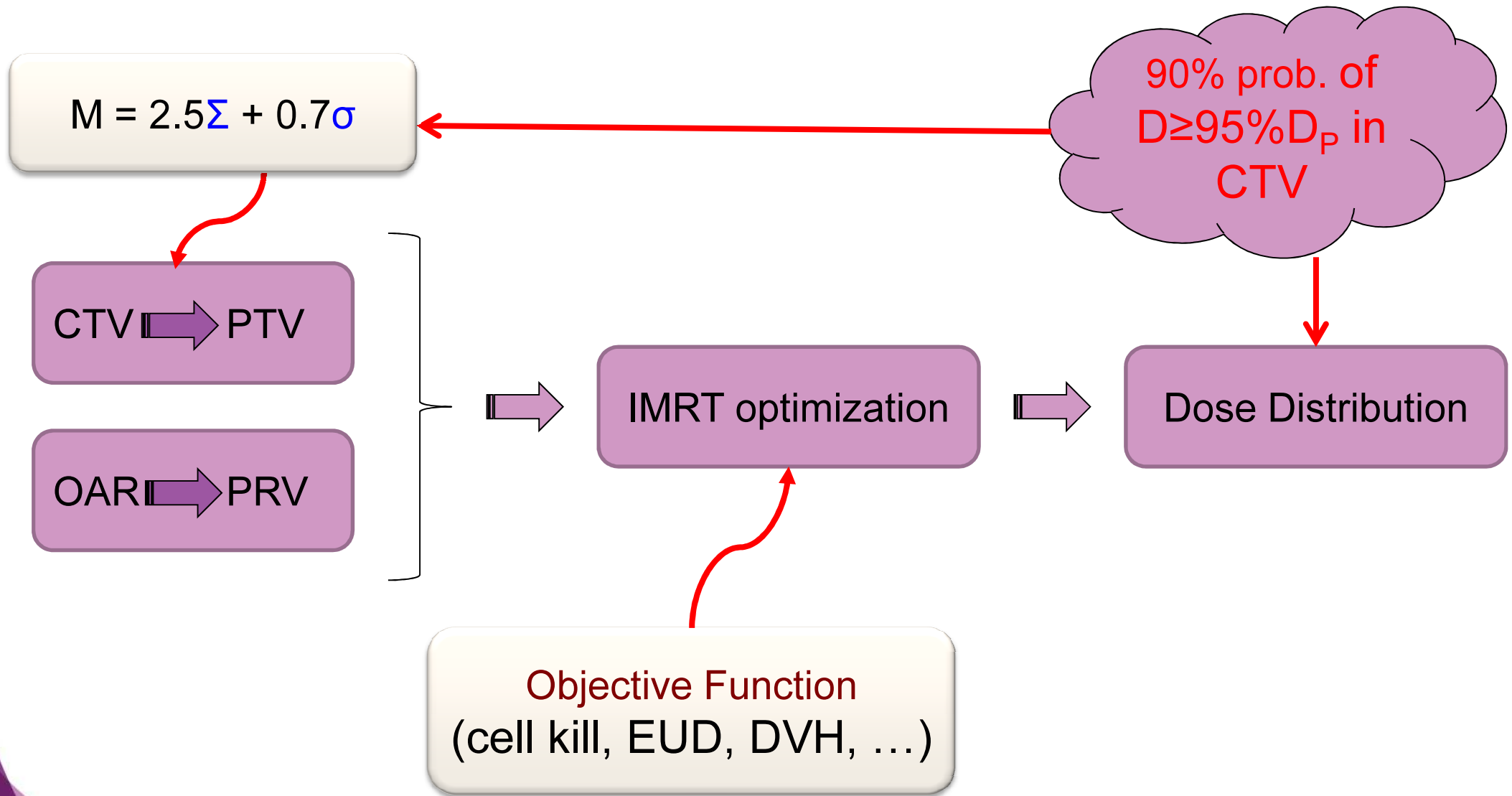
## Worst case scenario

Courtesy Guckenberger *et al*

The PTV 2016 - D. Verellen

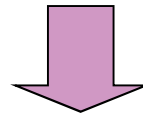


# “Conventional” IMRT planning

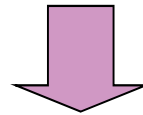


# Motion compensation techniques

Knowledge on organ motion  
(clinical studies, multiple CT scans, 4D CT)

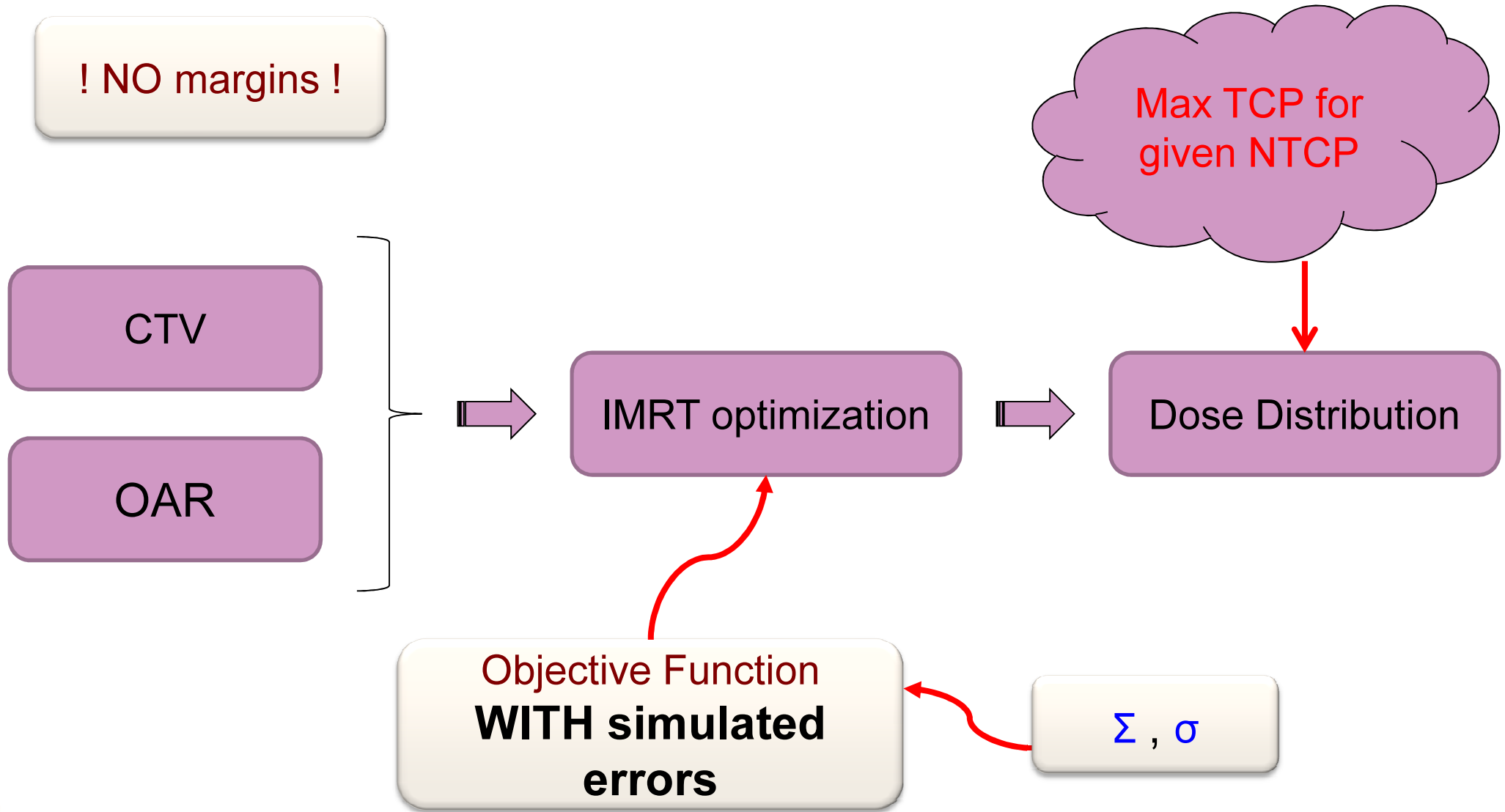


Mathematical model to describe organ motion induced  
geometric changes  
Probability distribution of patient geometries



Probabilistic IMRT optimization

# “Probabilistic” IMRT planning

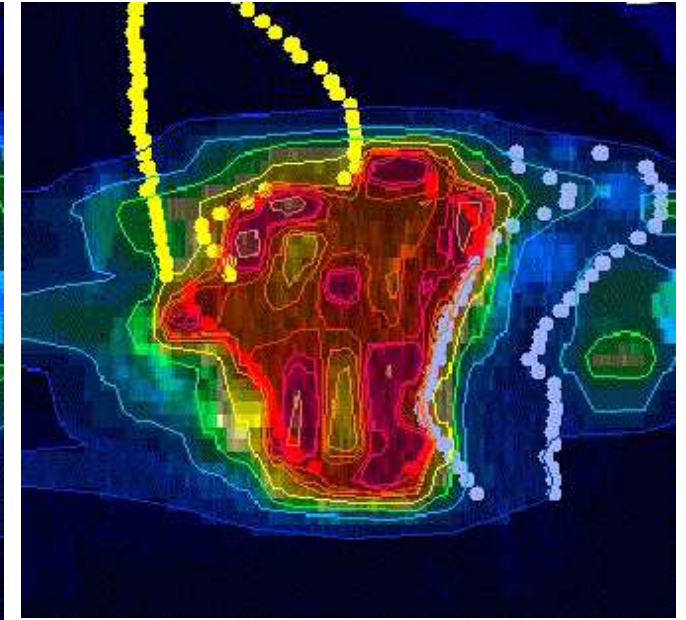
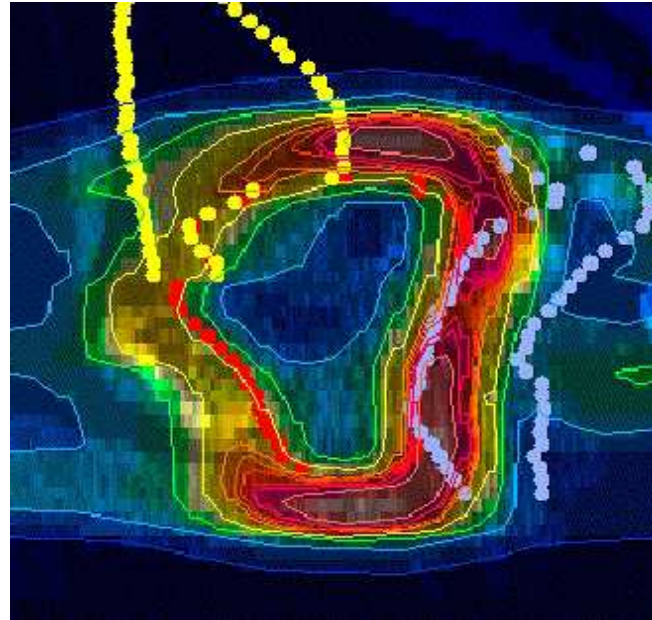
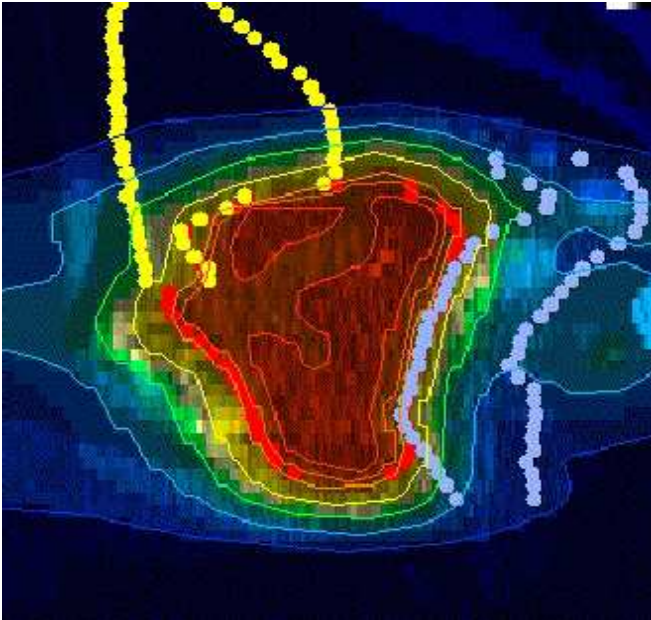


# “Probabilistic” IMRT planning

Expectation value

Dose variance per voxel

Risk, ‘static’ dose



Courtesy U. Oelfke

- These probabilistic approaches, require some prior knowledge of patient motion and tumor mobility, and assume a ‘reasonable’ reproducible, predictive breathing pattern

# Let's start with some Yogi wisdom ...

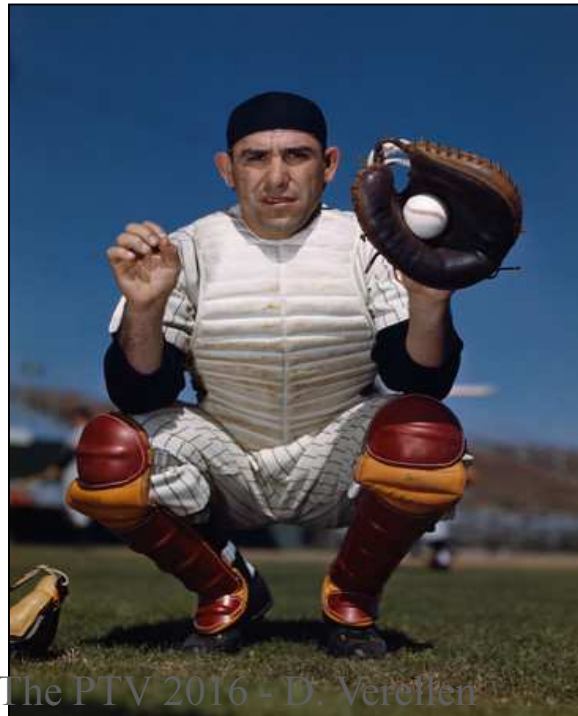
- Quoting the famous Yogi Berra:
  - “If you don't know where you're going, you might not get there.”



The PTV 2016 - D. Verellen

# Let's start with some Yogi wisdom ...

- ... he also said:
  - “I knew the record would stand until it was broken.”
- ... free translated, by yours truly:
  - **“I knew the PTV would remain in use until it became useless.”**



The PTV 2016 - D. Verellen



# **Esophageal Cancer: How to distinguish primary from nodal recurrence by imaging**

Dr Angela M Riddell

Royal Marsden, London. UK

# Patterns of relapse in Esophageal Cancer

- 47-58% patients treated with neoadjuvant/peroperative chemotherapy & surgery develop recurrence
- Recurrence occurs within 2-3 years of surgery
- Distant failure is more common than locoregional recurrence



# Patterns of relapse in Esophageal Cancer

## CROSS I & II Trials\* 418 patients

**Table 2.** Results of Univariable Cox Regression Analysis of RFS Time per Treatment Arm in Patients Undergoing Resection (n = 374)

Site of Recurrence	S Arm (n = 161)		CRT + S Arm (n = 213)		HR	95% CI	P
	No.	%	No.	%			
Anastomosis	14	8.7	6	2.8	0.28	0.11 to 0.72	<b>.008</b>
Mediastinum	33	20.5	15	7.0	0.29	0.16 to 0.53	<b>&lt; .001</b>
Supraclavicular	7	4.3	9	4.2	0.83	0.31 to 2.2	.71
Celiac axis	11	6.9	8	3.8	0.42	0.17 to 1.04	.06
Para-aortic	17	10.6	14	6.6	0.53	0.26 to 1.1	.08
Peritoneal carcinomatosis	22	13.7	9	4.2	0.27	0.12 to 0.58	<b>.01</b>
Hematogenous	57	35.4	61	28.6	0.67	0.46 to 0.96	<b>.03</b>

NOTE. Bold font indicates significance.  
Abbreviations: CRT, chemoradiotherapy; HR, hazard ratio; RFS, recurrence-free survival; S, surgery.

- Most patients had distant failure (22%) or combined locoregional (LRR) and distant failure (16.5%)
- Isolated locoregional recurrence 9.3% surgery & 3.3% CRT+S
- Majority of LRR developed within 2 years & none after 30 months

# Patterns of relapse in Esophageal Cancer

## Predictors for locoregional relapse

### *Multivariate analysis*

- Surgery alone
- Pathological nodal stage N1
- Squamous cell carcinoma sub type

## pCR (59 patients)

- 17% (10 patients) developed recurrence; 1 had isolated LRR

## R1 resection

- No significant difference in LRR with R1 resection, although trend shown (36% surgery alone vs 29% CRT+S)

# Patterns of relapse in Esophageal Cancer

## Relapse related to radiation target volume

**Table 3.** Tumor Recurrences in Relation to Radiation Target Volumes in Patients Undergoing CRT Plus Surgery (n = 213)

Recurrence	Infield	Outfield	Borderline	Unknown	Total
LRR only	2	2	2	1	7
Distant only	0	43	0	1	44
LRR plus distant	9	11	3	0	23
Total	11	56	5	2	74

Abbreviations: CRT, chemoradiotherapy; LRR, locoregional recurrence.

## Primary versus nodal relapse

81 female. Previous CRT for SCC at 31cm



**Nodal relapse** centred on left gastric territory extending to coeliac (Stations 7 & 9)

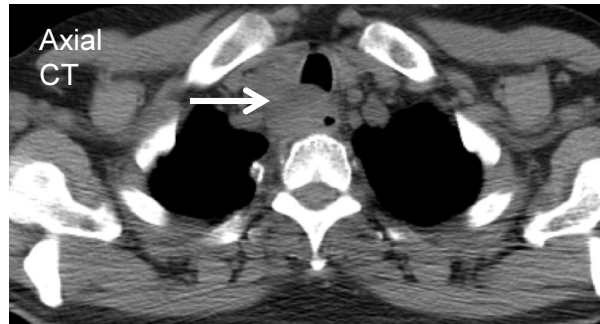
# Primary versus nodal relapse

69 year old male diagnosed with adenocarcinoma in 2008. Underwent perioperative chemotherapy & surgery (Ivor Lewis)

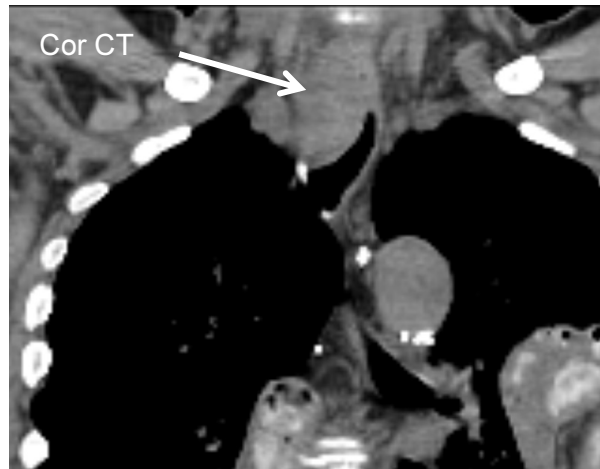
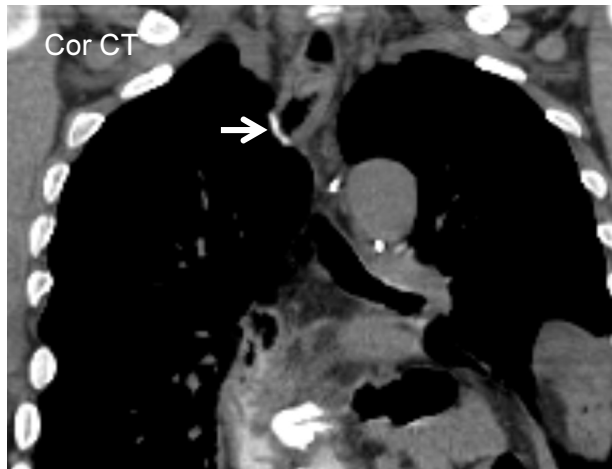
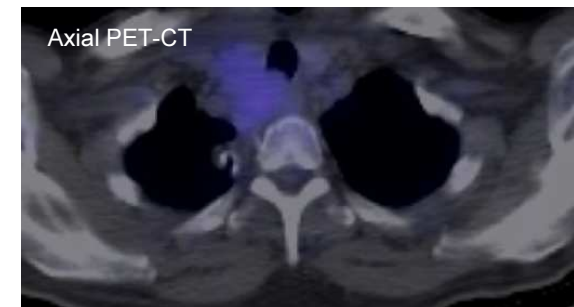
25.02.2010



11.07.2011



01.07.2011



12.04.2012



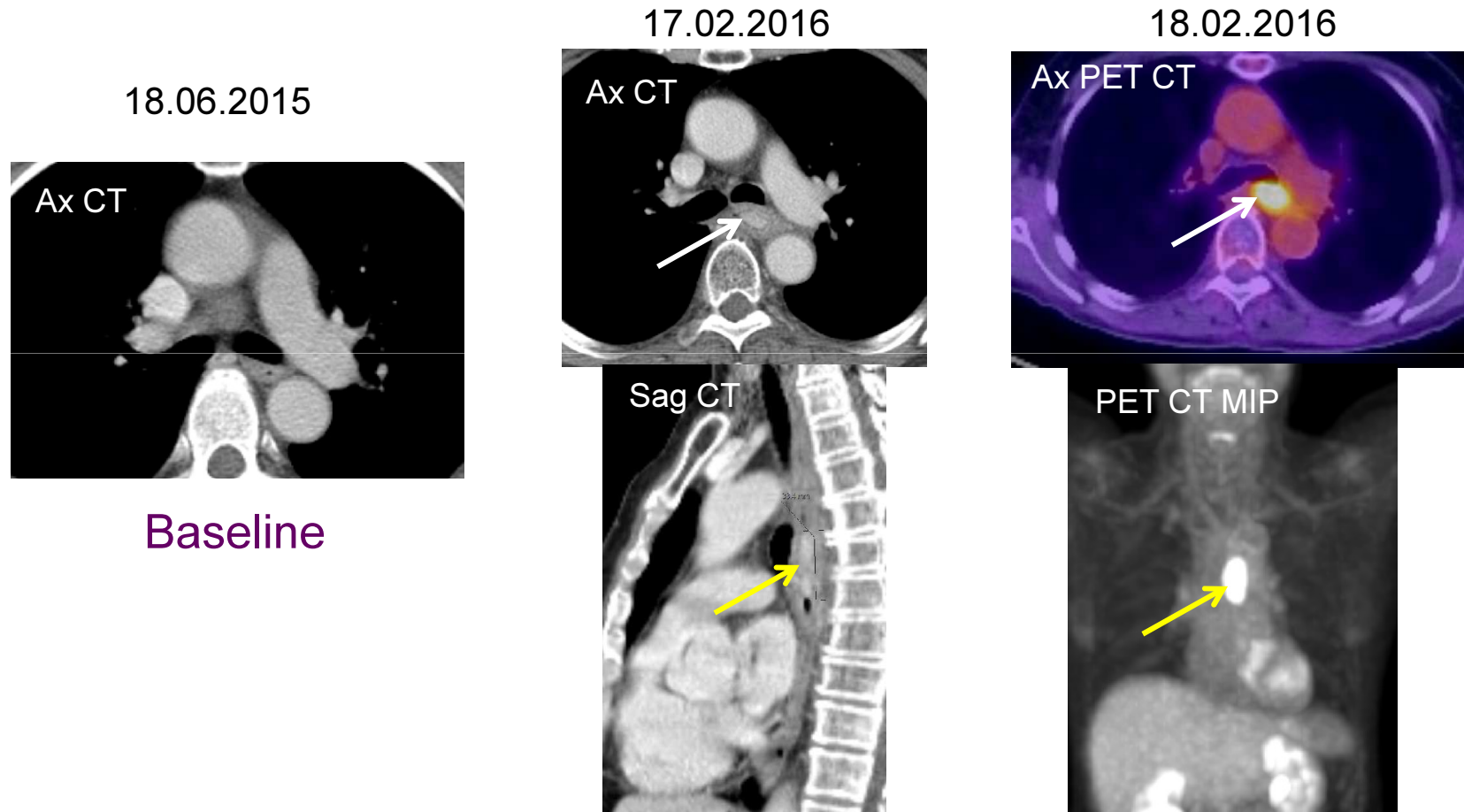
Baseline post op

Recurrence eccentric to oesophageal anastomosis

Response post 8<sup>#</sup> chemotherapy

# Primary versus nodal relapse

69 year old female with SCC mid oesophagus. Diagnosed March 2015 and underwent ECX & CRT; completed in August 2015

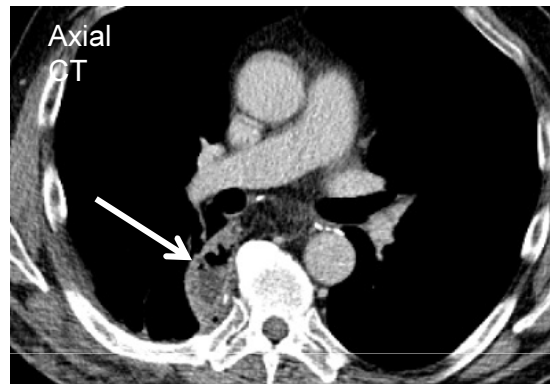


Relapse: epicentre in oesophageal wall.  
Endoscopy biopsy positive

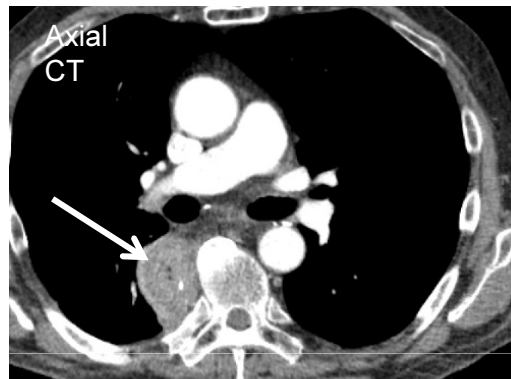
# Primary versus nodal relapse

72 year old male patient. Post oesophagectomy, with new dysphagia

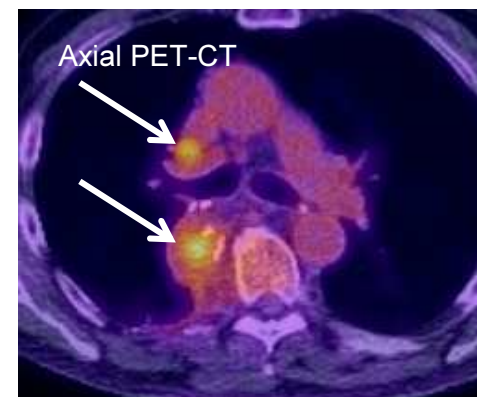
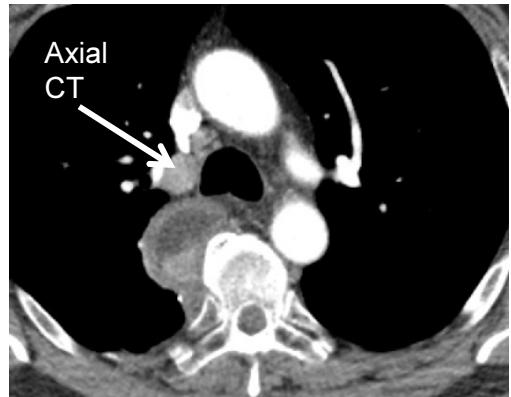
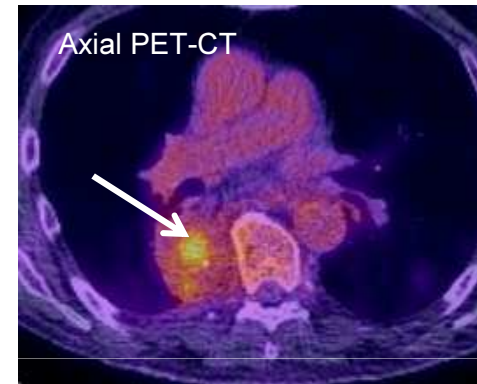
30.04.2012



22.10.2015



04.11.2015



Baseline

Relapse: epicentre in oesophageal wall.  
Endoscopy biopsy positive

# Primary versus nodal relapse

52 year old male patient T3N1 ACA of GOJ – Type II.

04.03.2009



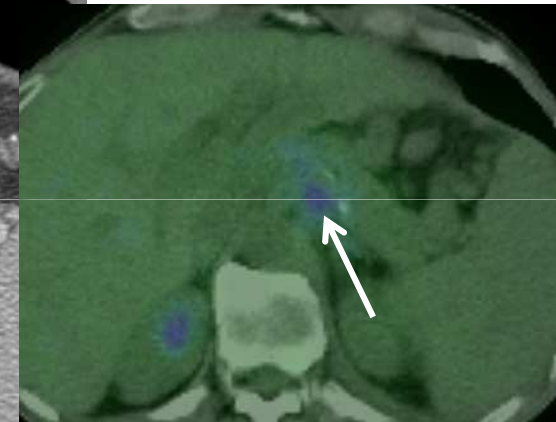
Baseline post op

17.07.2009



Increase in soft tissue adjacent to coeliac axis

28.07.2009



Area FDG avid on PET-CT



# Primary versus nodal relapse

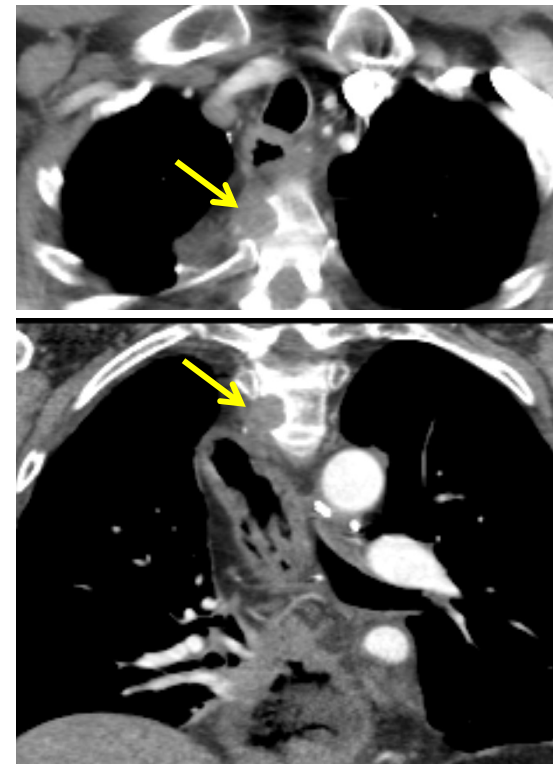
74 year old male patient underwent Ivor Lewis oesophagectomy following perioperative chemotherapy. 1 year post op he developed back pain.

30.05.2014



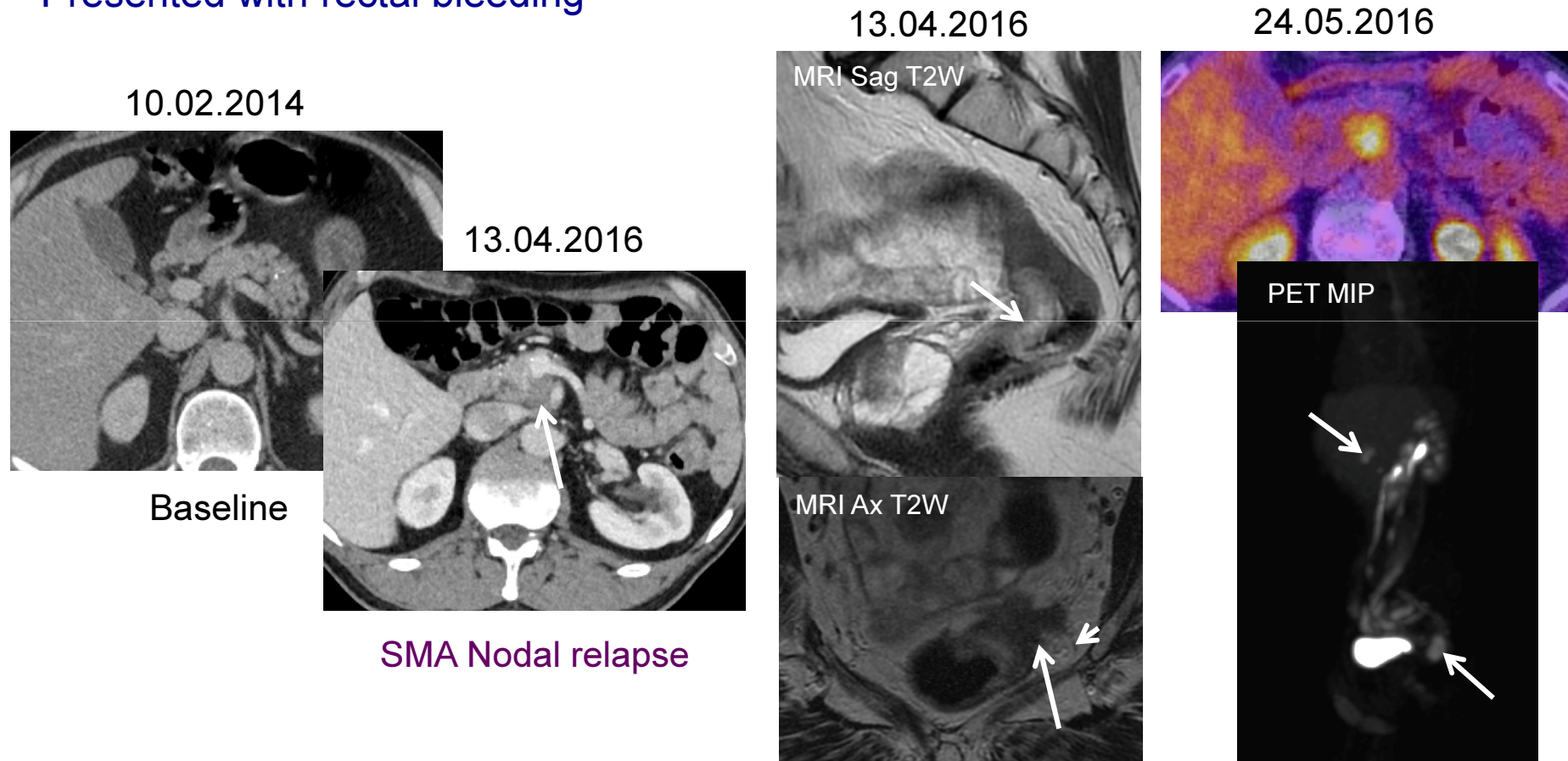
Baseline

08.10.2014



Bone involvement due to direct extension from LRR, not haematogenous spread

60 year old male patient. Underwent preoperative ECX x4 followed by surgery for pT3N2M0 R0 TRG4 GOJ tumour. Post op he had 54Gy in 30# completed Feb 2015. Presented with rectal bleeding



Biopsy showed adenocarcinoma with immunohistochemistry profile consistent with an oesophageal primary similar to original primary

# Primary versus nodal relapse

## Summary

- Nodal relapse may be adjacent to the anastomosis or separate
- When separate from primary, can readily confirm nodal relapse.
- Multiple imaging investigations can identify regional lymph node involvement.
- When relapse is adjacent to the anastomosis determine the epicentre of the soft tissue
- Local relapse centred on the wall
- Nodal relapse often eccentric
- It may not be possible to differentiate primary from nodal relapse
- The two may co-exist



Thank you

*The* ROYAL MARSDEN

NHS Foundation Trust

# Incidence and Location of Local Recurrences after Only Surgery for Oesophageal Cancer

William Allum



NHS

# Incidence

Author	Sample size	Rate
De Manzoni EJSO 2003; 29: 506–510	92	71% at 5 years
Hulscher J Am Coll Surg 2000;191: 143–148.	137	52.6% - median FU 24mo
MSKCC J Thorac Oncol. 2013;8: 1558–1562	1147	38% - median FU 46mo
Mariette Cancer 2003;97:1616–23	439	54% - median FU 37mo
Moorcraft BMC Cancer 2016 16:112-121	214	47% - median FU 62 months



# Relapse Free Interval

---

<b>Author</b>	<b>Rate</b>	<b>Local</b>	<b>Haematogenous</b>	<b>Peritoneal</b>
De Manzoni	80% < 24mo	12mo	12mo	10mo
Hulscher	50% by 11mo	11mo	11mo	
Mariette	46% by 12mo	14mo	11mo	13.5mo
Moorcraft	82% by 24mo			



# Pattern of Recurrence

---

<b>Author</b>	<b>Local / Regional only</b>	<b>Systemic only</b>	<b>Both</b>
Hulscher	46%	30%	24%
MSKCC	28%	55%	17%
Mariette	44%	40%	16%
Moorcraft	7%	79%	14%





# Site of Relapse

---

<b>Lymph nodes</b>	<b>52 (52%)</b>
<b>Anastomosis</b>	<b>21 (21%)</b>
<b>Peritoneum</b>	<b>16 (16%)</b>
<b>Liver</b>	<b>18 (18%)</b>
<b>Bone</b>	<b>12 (12%)</b>
<b>Abdominal wall</b>	<b>3 (3%)</b>
<b>Lung</b>	<b>10 (10%)</b>
<b>Brain</b>	<b>10 (10%)</b>
<b>Mediastinum</b>	<b>9 (9%)</b>
<b>Other</b>	<b>8 (8%)</b>



# Histological Subtype

---

<b>Histology</b>	<b>Local</b>	<b>Regional</b>	<b>Distant</b>
Adenocarcinoma	23%	23%	55%
Squamous Cell Carcinoma	23%	43%	34%



# Predication of Relapse

<b>Author</b>	
De Manzoni	Lymph node +ve >6 LN +ve – all relapsed in 2 years
Hulscher	Lymph node +ve R1 resection
Mariette	T stage
Moorcraft	Differentiation T stage N stage R1 resection



# Detection of Relapse RMH

## Elevated tumour markers at relapse

<b>Yes</b>	<b>63 (63%)</b>
<b>No</b>	<b>24 (24%)</b>
<b>Unknown</b>	<b>13 (13%)</b>

### Symptoms at time of relapse

Yes	67 (67%)
-----	----------

### How relapse was first detected in asymptomatic patients

(n = 33)

Routine tumour markers	22 (67%)
Routine CT	6 (18%)
Concurrent routine CT/ markers	1 (3%)
Endoscopy	2 (6%)
Other	2 (6%)

# Detection of Relapse MSKCC

---

Symptomatic – 50%

CT – 45%

27 / 100 person years in year 1

4/100 person years in year 6



# Treatment of Relapse RMH

## Further treatment for recurrent disease

**Yes**

**72 (72%)**

Type of treatment for recurrent disease

63 (88%)

Chemotherapy

21 (29%)

Radiotherapy

1 (1%)

Chemoradiotherapy

5 (7%)

Surgery



# Survival (Mariette)

---

Median survival after relapse  
7 months





# Oesophageal cancer

*Incidence and location of local recurrences after radiotherapy*

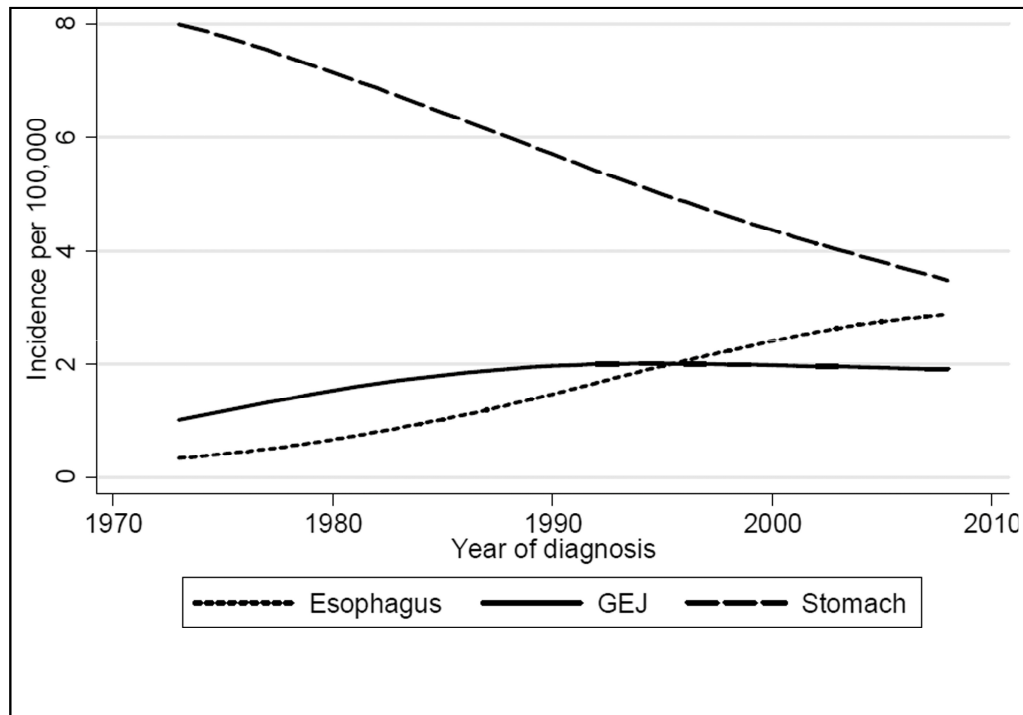
Marcel Verheij MD PhD  
Department of Radiation Oncology  
NKI, Amsterdam



# Contents

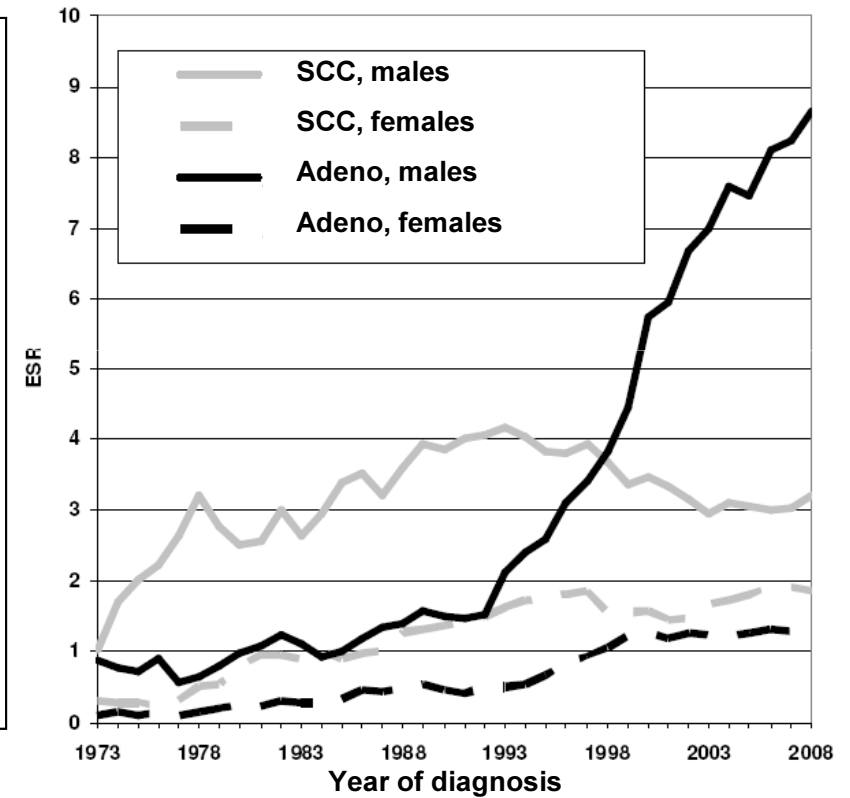
- Introduction
- Lymphatic drainage
- Patterns of failure

Incidence of adenocarcinoma of the esophagus, GEJ, and stomach  
1973-2008, United States



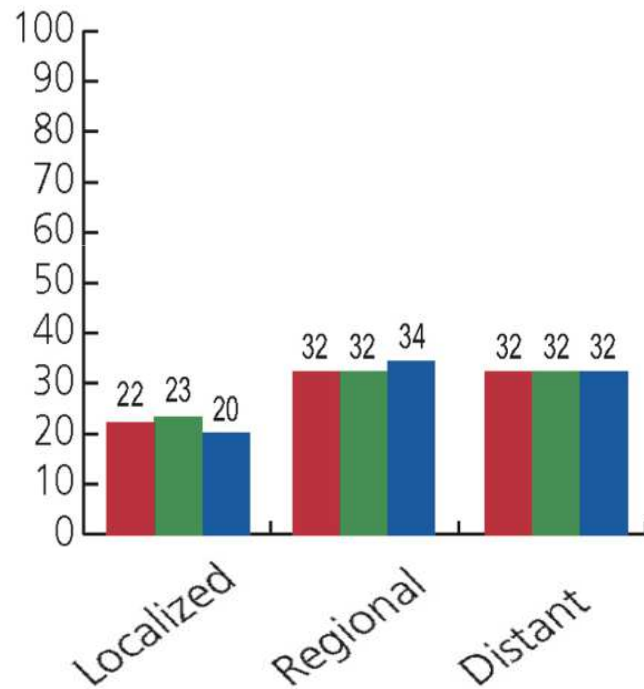
*Buas et al, Semin Radiat Oncol 2013*

Incidence of esophageal cancer in The Netherlands 1973-2008

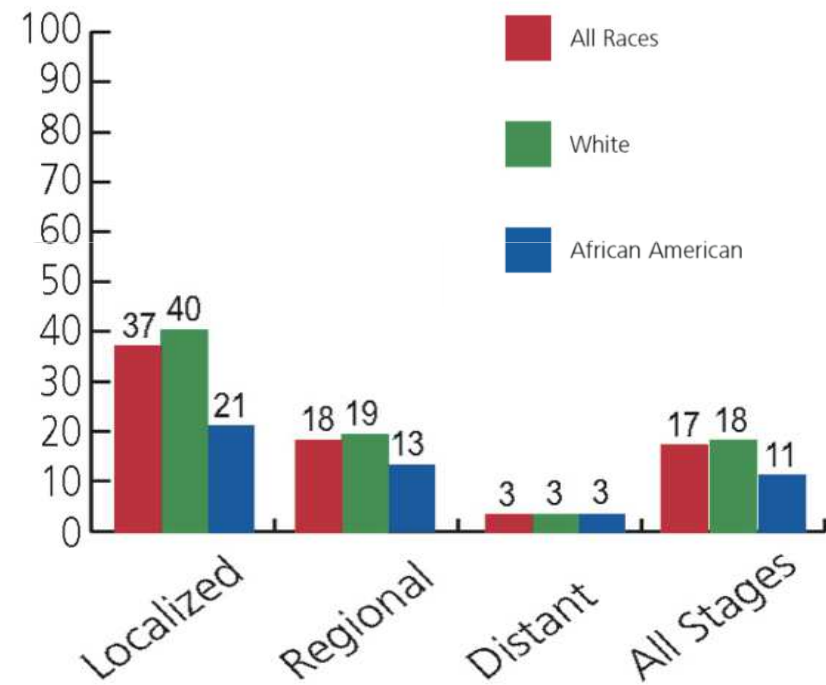


[www.cijfersoverkanker.nl](http://www.cijfersoverkanker.nl)

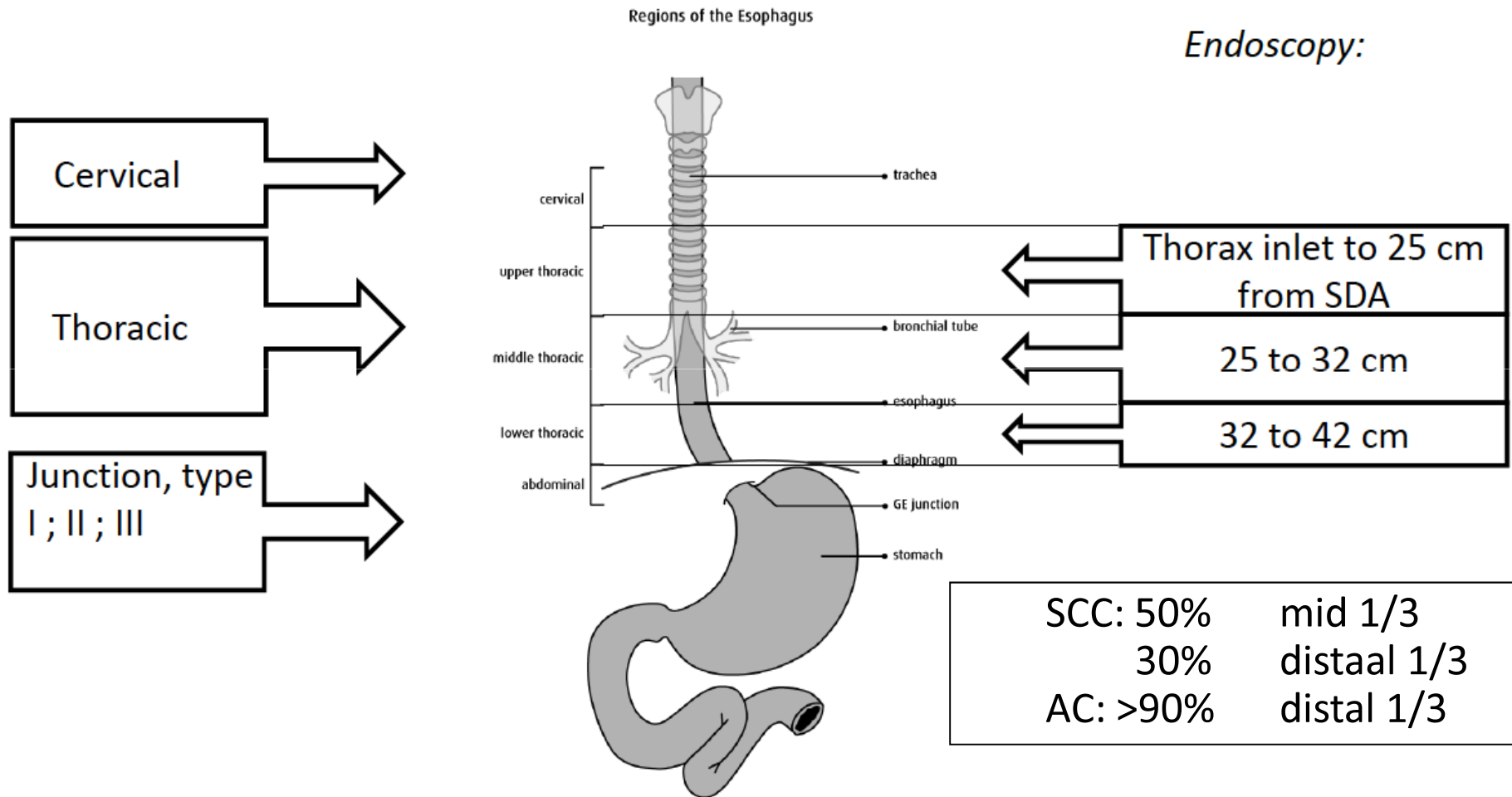
## Distribution by stage at diagnosis



## 5-year survival by stage

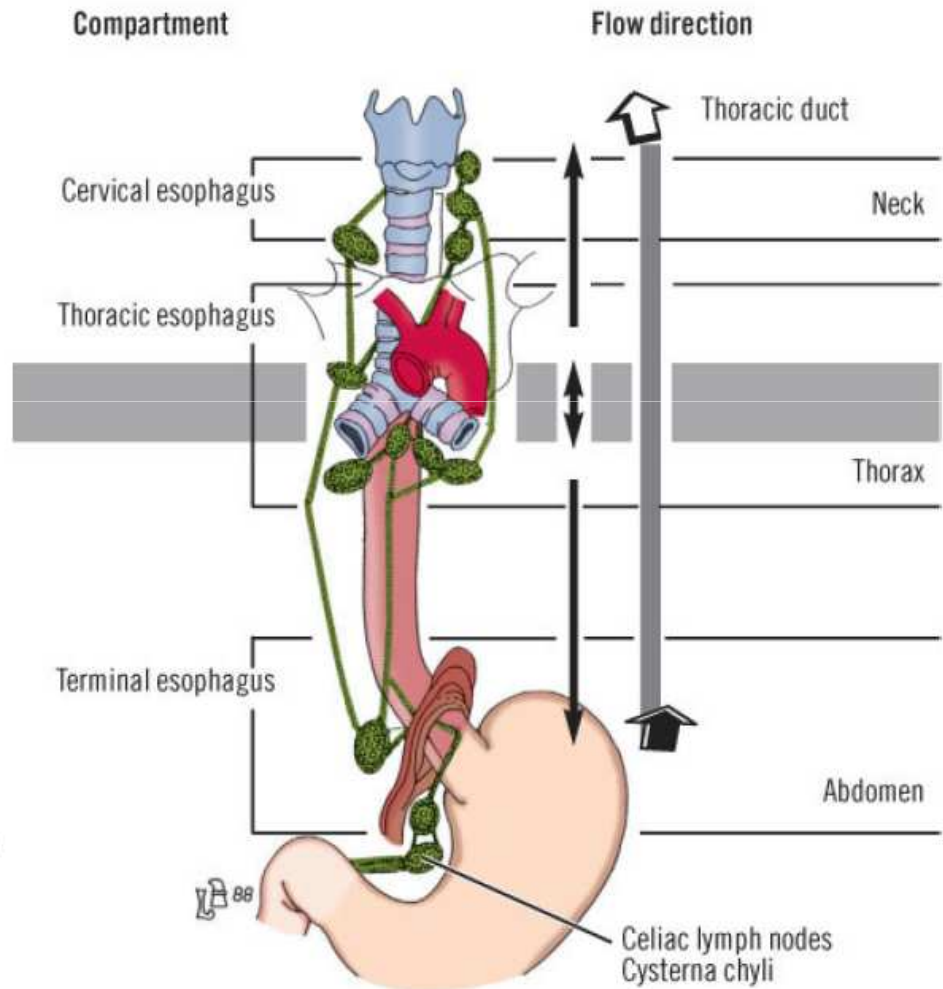
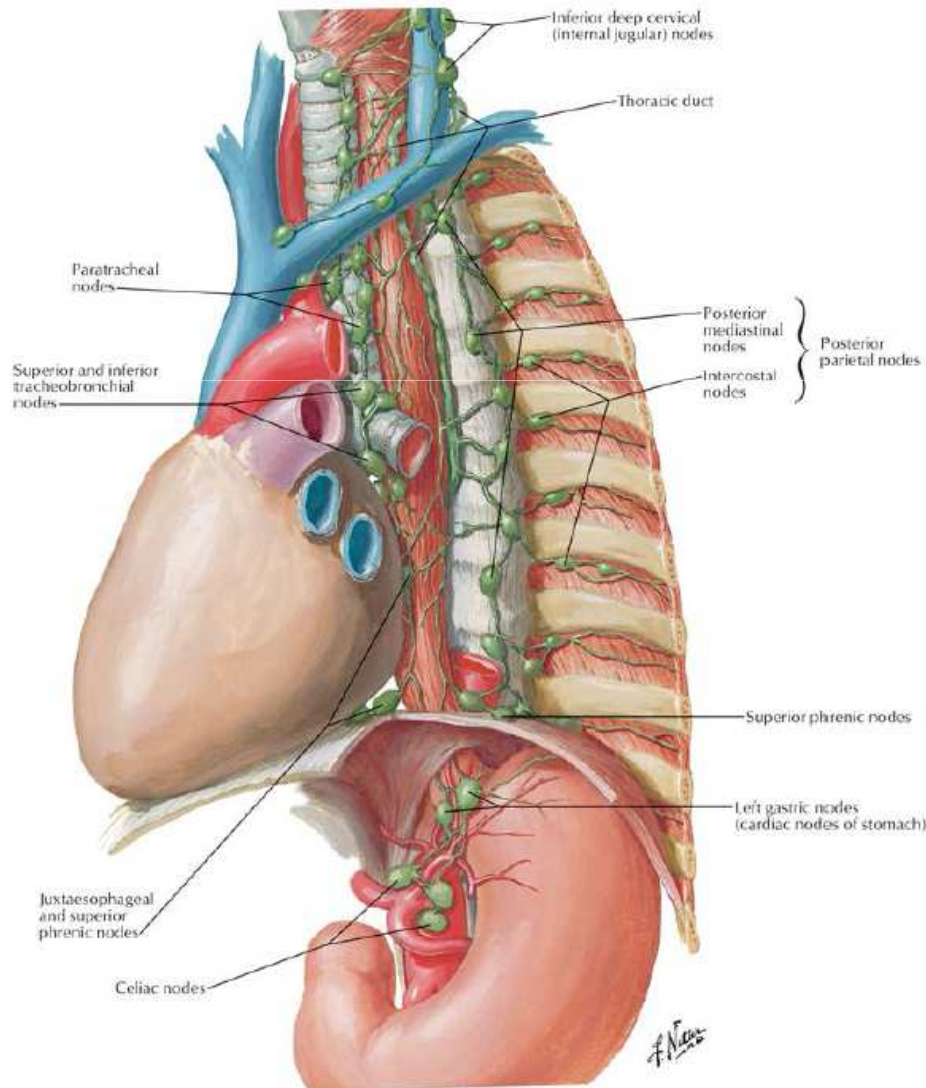


# Tumor localization and histology



# Lymphatic drainage

Lymph Vessels and Nodes of Esophagus

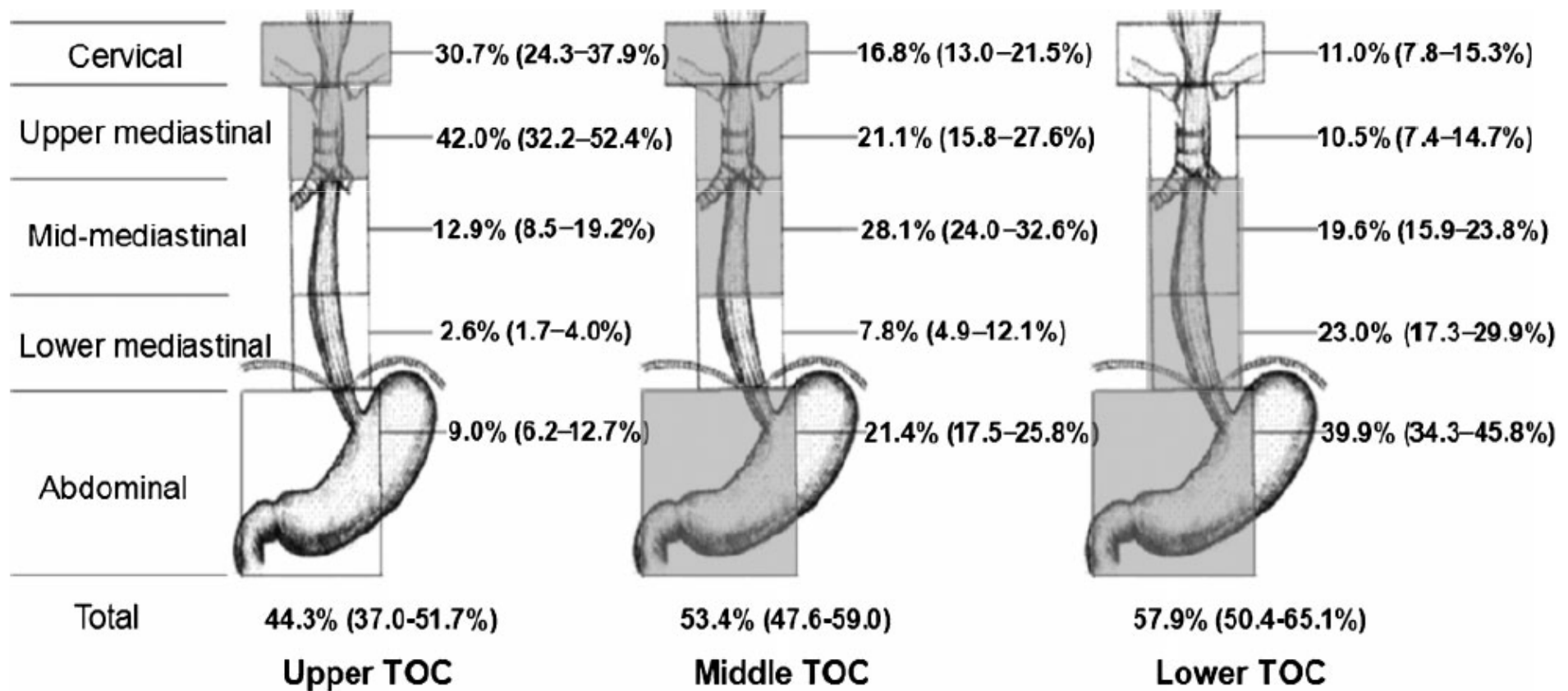


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## A meta-analysis of lymph node metastasis rate for patients with thoracic oesophageal cancer and its implication in delineation of clinical target volume for radiation therapy

<sup>1,2†</sup>X DING, MD, <sup>1,2,3†</sup>J ZHANG, PhD, <sup>1,2\*</sup>B LI, MD, PhD, <sup>1,2</sup>Z WANG, MD, <sup>1,2</sup>W HUANG, MD, <sup>1,2</sup>T ZHOU, MD, <sup>1,2</sup>Y WEI, MD and <sup>1,2</sup>H LI, MD

Predominantly SCC



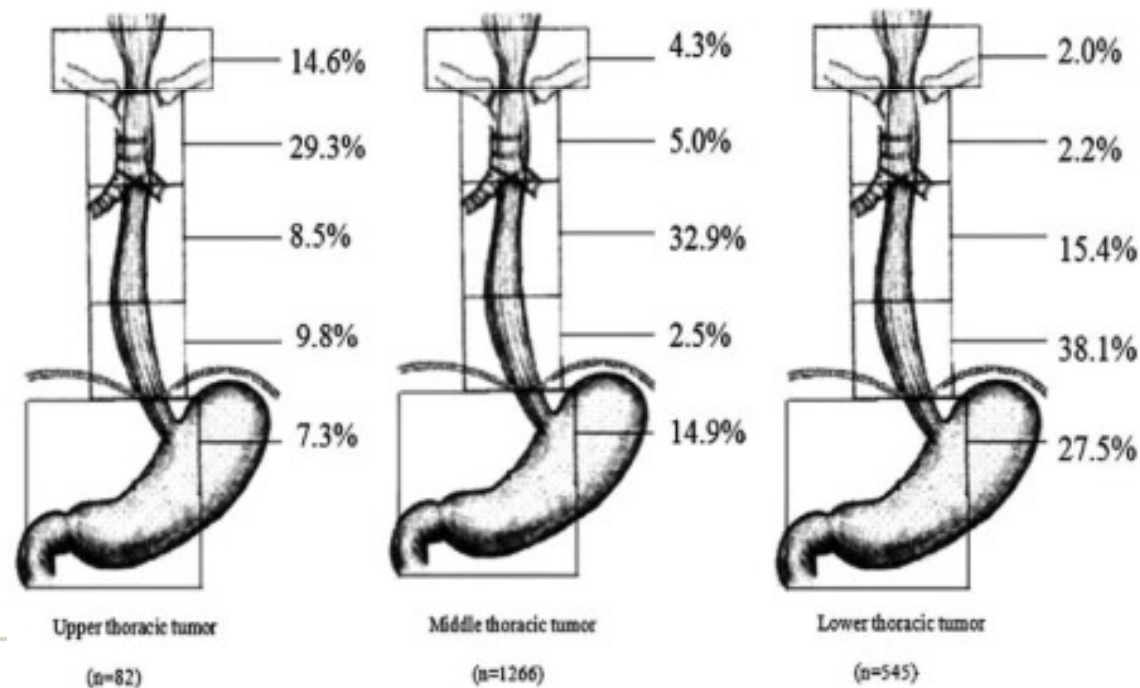
Lnn regions LNMR >15% considered as high risk → included in target volume

# Rate of LNM in different regions according to the location of the primary tumor

TABLE 3. Rate of LNM to Different Regions According to the Location of the Primary Tumor

Location	Cervical	Um	Mm	Lm	Abdominal
Ut	12/82 (14.6)	24/82 (29.3)	7/82 (8.5)	8/82 (9.8)	6/82 (7.3)
Mt	55/1266 (4.3)	63/1266 (5.0)	417/1266 (32.9)	32/1266 (2.5)	189/1266 (14.9)
Lt	11/545 (2.0)	12/545 (2.2)	84/545 (15.4)	208/545 (38.1)	150/545 (27.5)
Total	78/1893 (4.1)	99/1893 (5.2)	508/1893 (26.8)	248/1893 (13.1)	345/1893 (18.2)

LNM, lymph node metastasis; Ut, upper thoracic; Mt, middle thoracic; Lt, lower thoracic; Um, upper mediastinal; Mm, middle mediastinal; Lm, lower mediastinal.



# Rate of LNM in different regions according to the location of the primary tumor

**TABLE 5.** Multivariate Analysis of Risk Factors Associated with Lymph Node Metastasis in Esophageal Squamous Cell Carcinoma

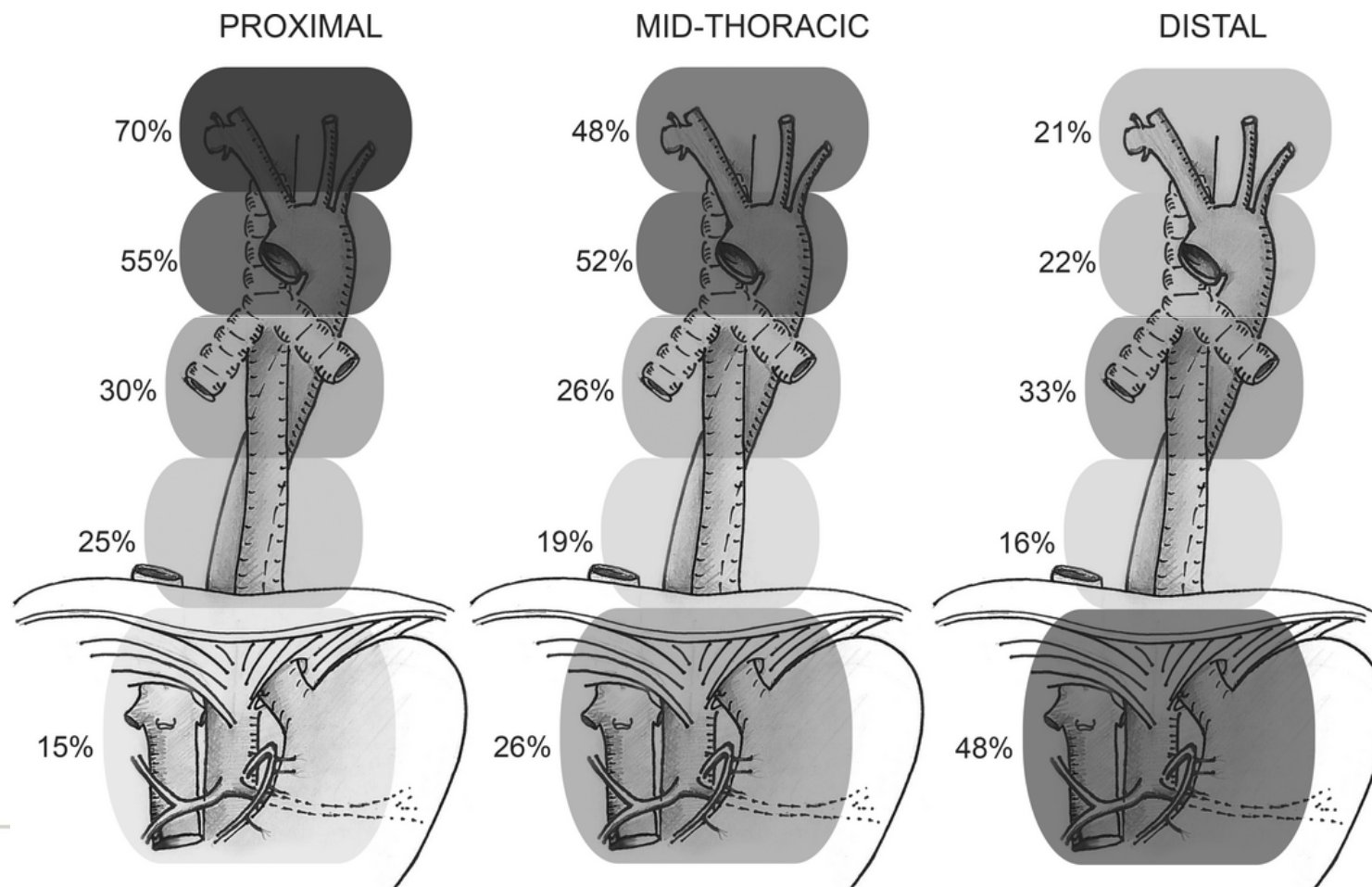
Parameters	B	S.E.	Wald	Sig.	OR	95%CI for OR
Age	-0.012	0.005	5.437	0.020	0.988	0.978–0.998
Path	0.044	0.065	0.456	0.500	1.045	0.920–1.188
Depth	0.561	0.068	68.929	<0.001	1.753	1.536–2.002
Length	0.144	0.027	28.131	<0.001	1.155	1.095–1.218
Diff	0.683	0.076	80.139	<0.001	1.980	1.705–2.299

Depth, the depth of tumor invasion; Length, the length of tumor; Diff, the histologic differentiation; Path, pathological morphology type; OR, odds ratios; CI, confidence interval.



## Distribution of lymph node metastases on FDG-PET/CT in inoperable or unresectable oesophageal cancer patients and the impact on target volume definition in radiation therapy

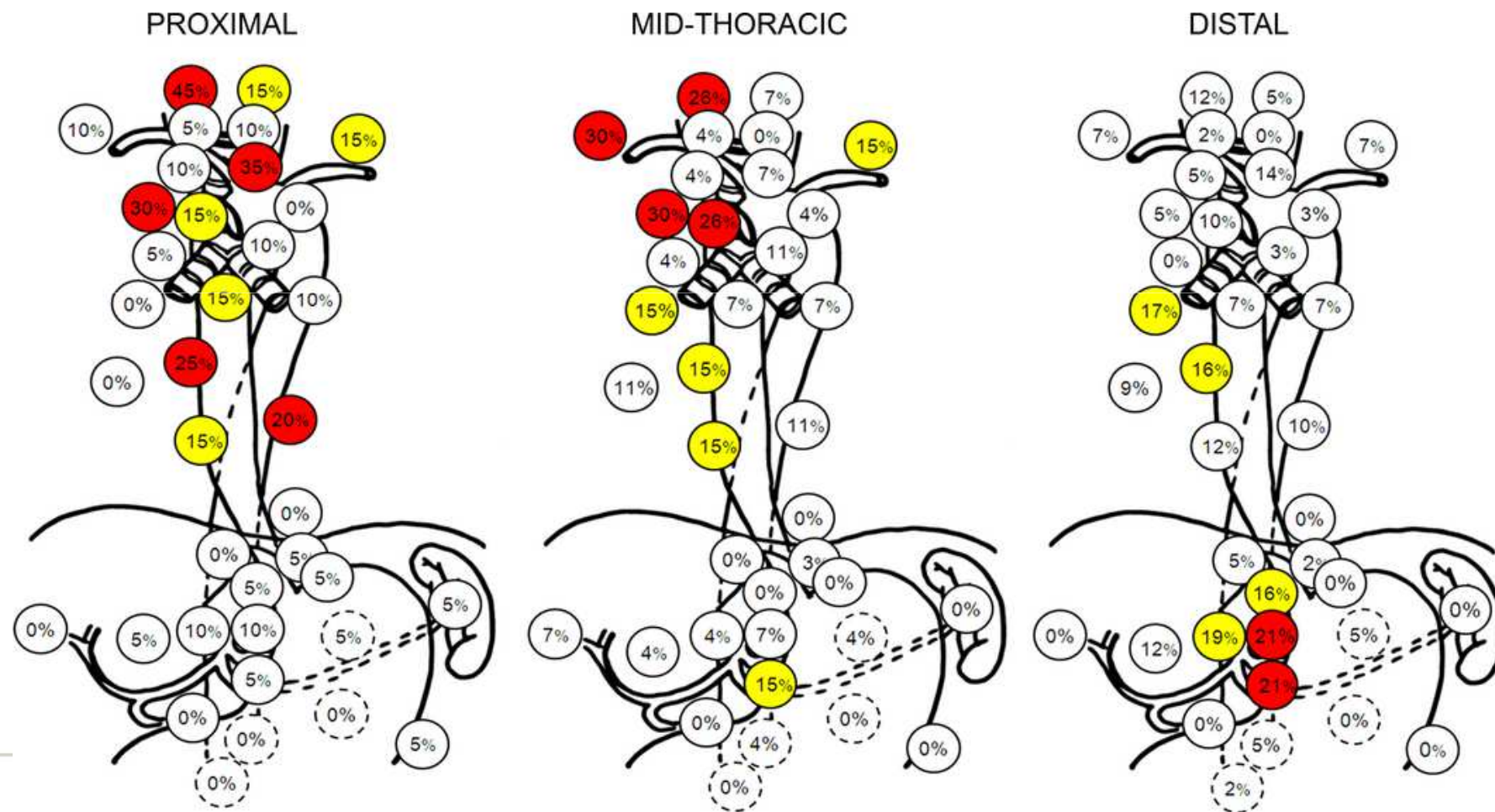
Melanie Machiels,<sup>1</sup> Sanne J Wouterse,<sup>2</sup> Elisabeth D Geijsen,<sup>1</sup> Rob M van Os,<sup>1</sup> Roel J Bennink,<sup>3</sup> Hanneke WM van Laarhoven<sup>4</sup> and Maarten CCM Hulshof<sup>1</sup>



**Fig. 1.** Percentages of lymph node metastasis on FDG-PET/CT per lymph node region and tumour location. Lymph node regions (from top to bottom); cervical, upper mediastinal, mid-mediastinal, lower mediastinal and abdominal. Grey scale correlates with risk of lymph node metastasis.

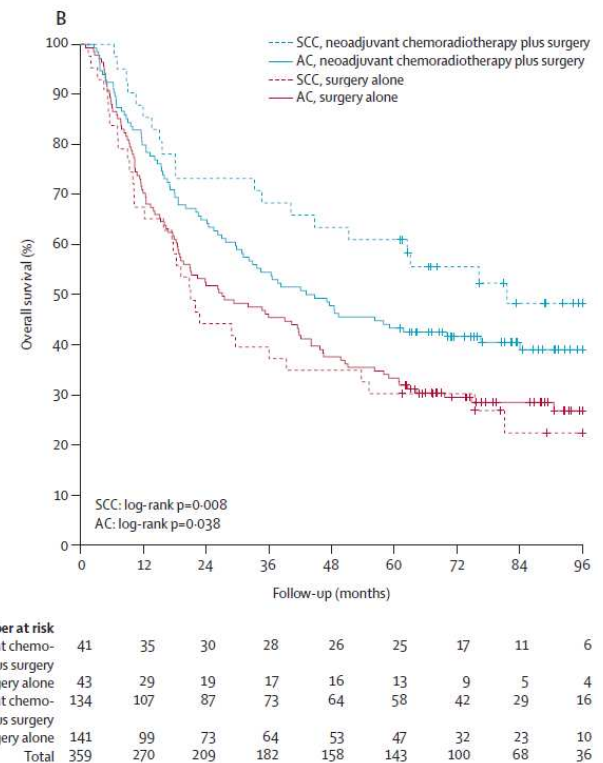
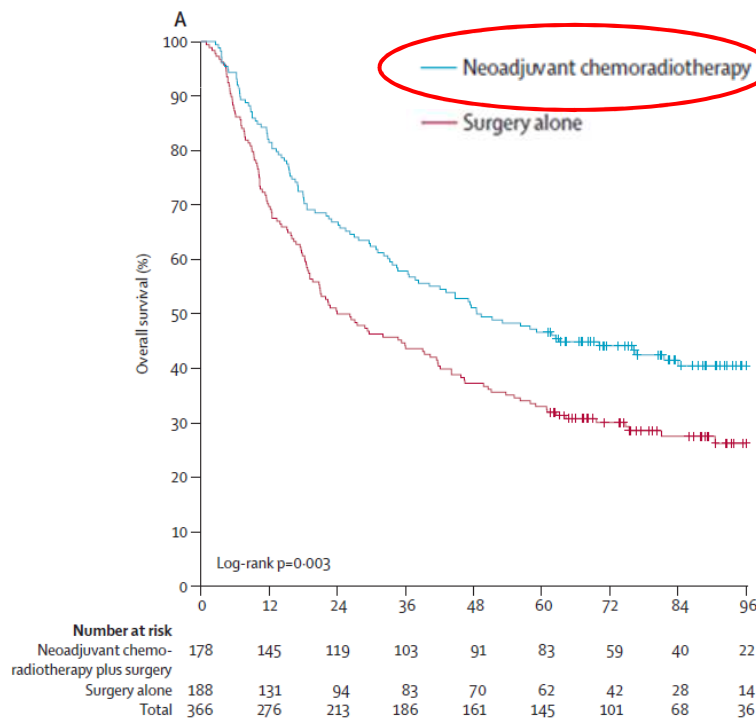
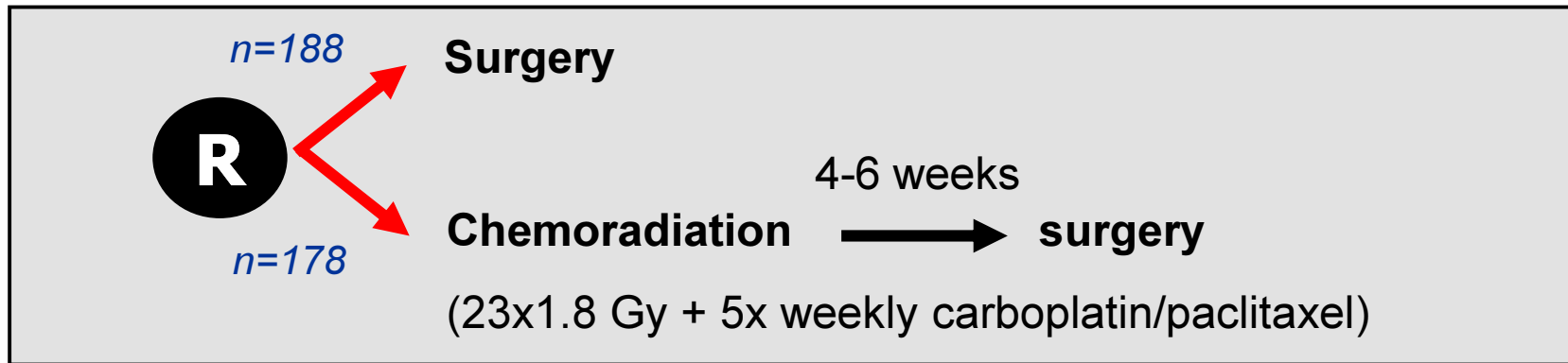
## Distribution of lymph node metastases on FDG-PET/CT in inoperable or unresectable oesophageal cancer patients and the impact on target volume definition in radiation therapy

Melanie Machiels,<sup>1</sup> Sanne J Wouterse,<sup>2</sup> Elisabeth D Geijsen,<sup>1</sup> Rob M van Os,<sup>1</sup> Roel J Bennink,<sup>3</sup> Hanneke WM van Laarhoven<sup>4</sup> and Maarten CCM Hulshof<sup>1</sup>



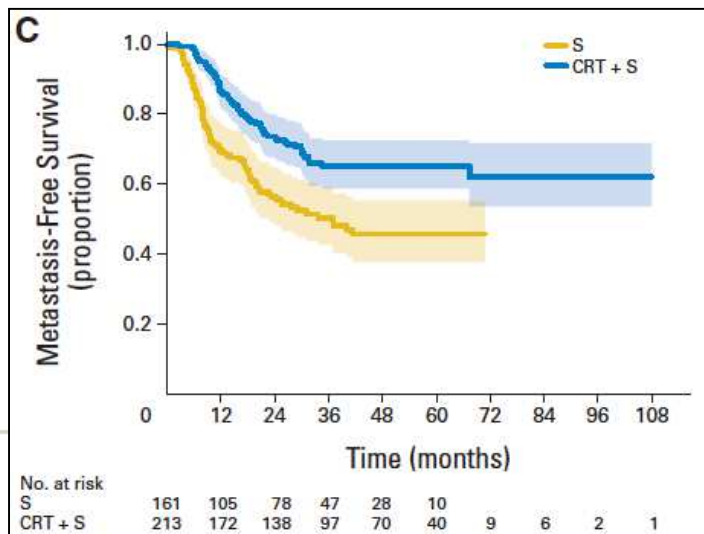
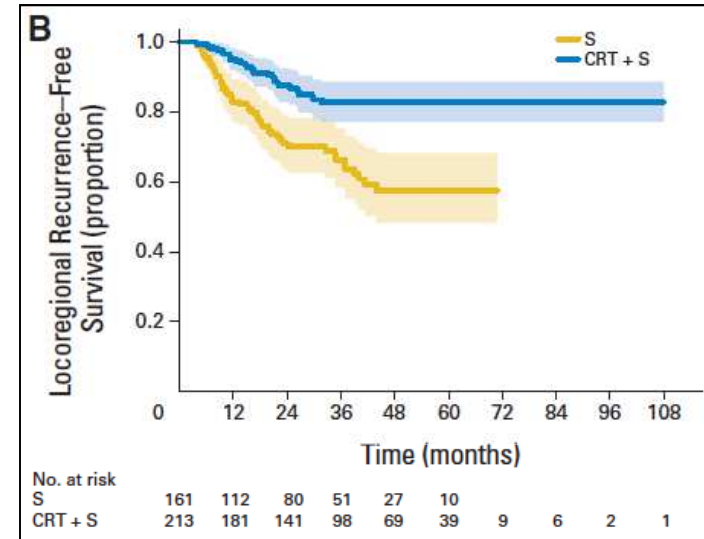
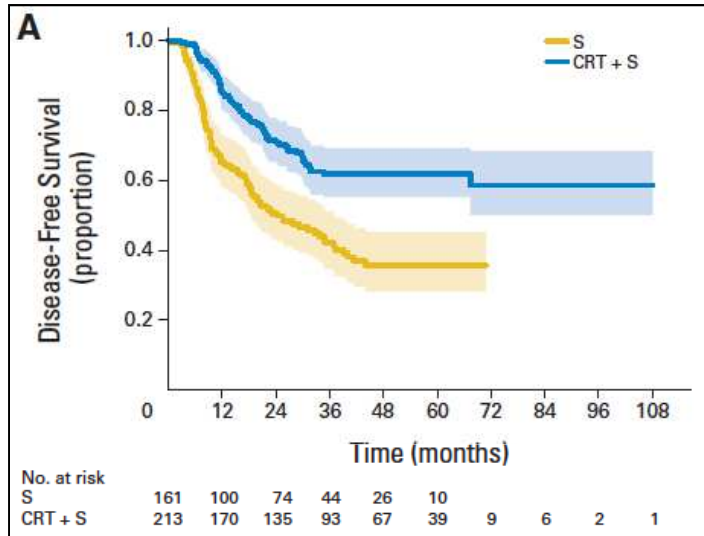
**Fig. 2.** Lymph node metastasis rates (LNMR) on FDG-PET/CT per individual lymph node site (defined by the Japanese Society of Oesophageal Diseases and the Japanese Gastric Cancer Association) for each tumour location. High-risk regions (≥20% LNMR) are indicated in red and intermediate-risk regions (≥15% LNMR) in yellow.

# Pre-operative chemoradiation improves outcome in esophageal and junctional cancer: the CROSS trial



Shapiro et al. *Lancet Oncol* 2015 (median FU 84.1 months)

# Patterns of Recurrence After Surgery Alone Versus Preoperative Chemoradiotherapy and Surgery in the CROSS Trials



	S	CRT + S
All recurrences	58%	35%
Distant recurrences	36%	29%
Locoregional recurrences	36%	13% isolated LRR 3% isolated LRR

## Patterns of Recurrence After Surgery Alone Versus Preoperative Chemoradiotherapy and Surgery in the CROSS Trials

*Vera Oppedijk, Ate van der Gaast, Jan J.B. van Lanschot, Pieter van Hagen, Rob van Os, Caroline M. van Rij, Maurice J. van der Sangen, Jannet C. Beukema, Heidi Rütten, Patty H. Spruit, Janny G. Reinders, Dick J. Richel, Mark I. van Berge Henegouwen, and Maarten C.C.M. Hulshof*

**Table 3.** Tumor Recurrences in Relation to Radiation Target Volumes in Patients Undergoing CRT Plus Surgery (n = 213)

Recurrence	Infield	Outfield	Borderline	Unknown	Total
LRR only	2	2	2	1	7
Distant only	0	43	0	1	44
LRR plus distant	9	11	3	0	23
Total	11	56	5	2	74

Abbreviations: CRT, chemoradiotherapy; LRR, locoregional recurrence.

5%

## Patterns of Recurrence After Surgery Alone Versus Preoperative Chemoradiotherapy and Surgery in the CROSS Trials

Vera Oppedijk, Ate van der Gaast, Jan J.B. van Lanschot, Pieter van Hagen, Rob van Os, Caroline M. van Rij, Maurice J. van der Sangen, Jannet C. Beukema, Heidi Rütten, Patty H. Spruit, Janny G. Reinders, Dick J. Richel, Mark I. van Berge Henegouwen, and Maarten C.C.M. Hulshof

**Table 4.** Univariable and Multivariable Cox Regression Analyses for LRRs in Patients Undergoing Resection (n = 374)

Factor	LRR Incidence (%)		Univariable		Multivariable	
	S Arm	CRT + S Arm	HR	95% CI	HR	95% CI
Method of resection (TTE v THE)	20 v 17	6 v 8	0.83	0.54 to 1.29	NA	
Tumor length ( $\leq 5.0$ v $> 5.0$ cm)	23 v 39	16 v 11	0.89	0.54 to 1.46	NA	
Clinical T stage (T1-2 v T3-4)	31 v 35	5 v 17	1.32	0.76 to 2.29	NA	
Clinical nodal stage (N0 v N1)	31 v 35	10 v 18	1.50	0.93 to 2.41	NA	
Pathologic nodal stage (N0 v N1)	22 v 38	10 v 23	<b>3.66</b>	<b>2.2 to 5.85</b>	<b>2.85</b>	<b>1.59 to 5.11</b>
Involved margins (R0 v R1)	34 v 36	13 v 29	<b>2.29</b>	<b>1.38 to 3.76</b>	NA	
Histology (SCC v AC)	47 v 30	15 v 14	0.70	0.44 to 1.12	<b>0.49</b>	<b>0.29 to 0.82</b>
Sex (male v female)	33 v 34	12 v 20	1.12	0.67 to 1.87	NA	
Treatment arm (S v CRT + S)	27	14	<b>0.37</b>	<b>0.23 to 0.59</b>	<b>0.50</b>	<b>0.29 to 0.86</b>
pCR after CRT (no v yes)*	NA	7 v 17	0.36	0.13 to 1.05	NA	

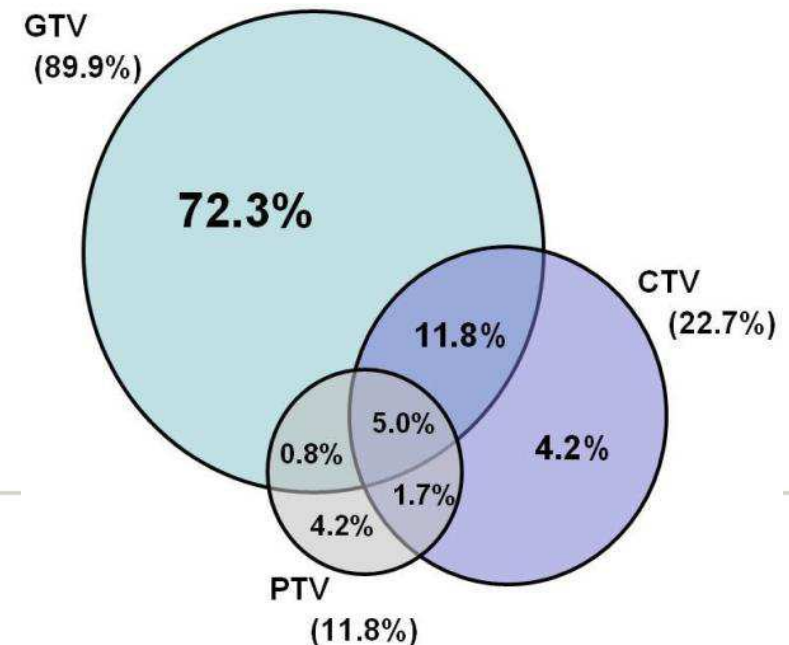
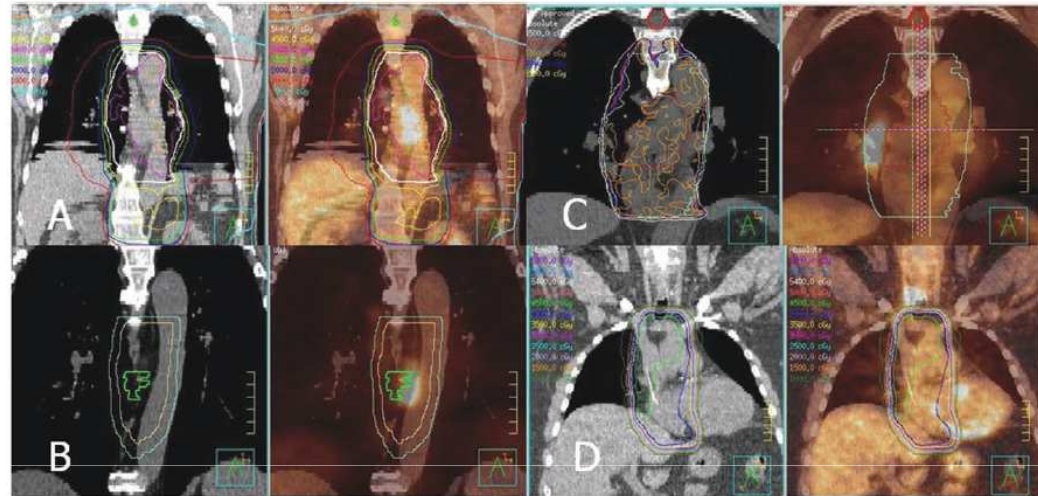
NOTE. Bold font indicates significance.

Abbreviations: AC, adenocarcinoma; CRT, chemoradiotherapy; HR, hazard ratio; LRR, locoregional recurrence; NA, not applicable; pCR, pathologic complete response; S, surgery; SCC, squamous cell carcinoma; THE, transhiatal esophagectomy; TTE, transthoracic esophagectomy.

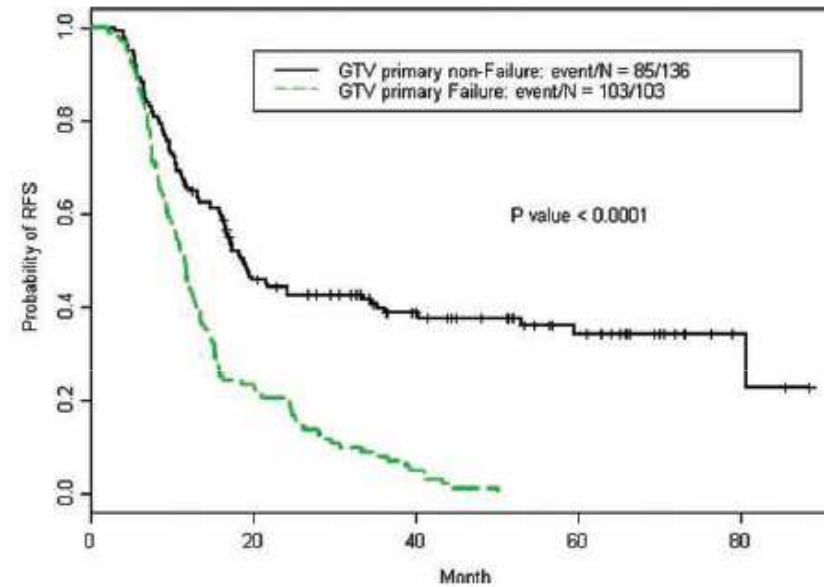
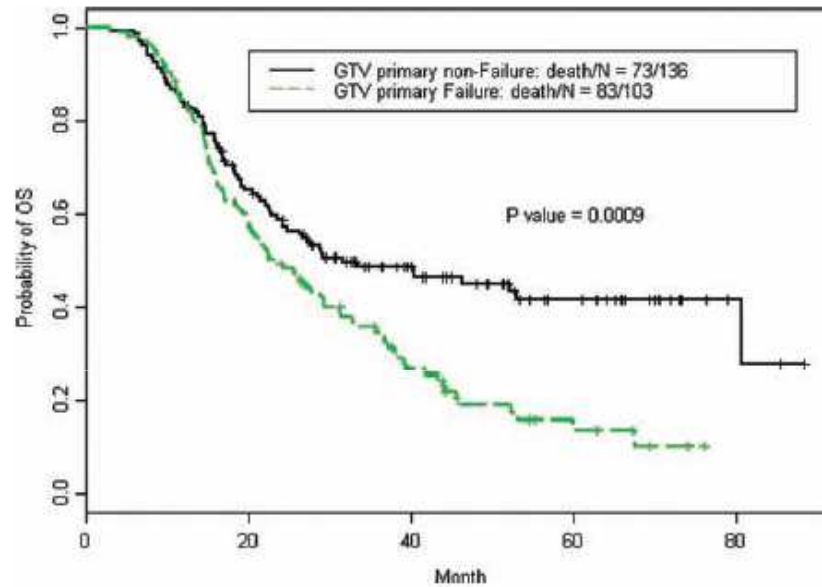
\*Factor only available in the CRT + S arm and therefore not suitable for multivariable analysis.

# Failure patterns in patients with esophageal cancer treated with definitive chemoradiation

- 239 patients dCRT
  - 87% T3/T4
  - 50.4Gy/28 fr + 5FU
- median FU 52.6 months
  - 50% (n=119) local failure
    - 90% GTV failure(107/119)
    - 23% CTV failure (27/119)
    - 12% PTV failure (14/119)
  - 48% (n=114) distant failure
  - 31% (n= 74) NED



# Failure patterns in patients with esophageal cancer treated with definitive chemoradiation





# Summary

- Patterns of failure after nCRT:
  - Distant metastases: 36% → 29%
  - Locoregional failure: 36% → 13%
  - Recurrence within the radiation target volume occurred in only 5%, mostly combined with outfield failures.
  
- Patterns of failure after dCRT:
  - Distant metastases: 50%
  - Locoregional failure: 50%
  - Most failures at site of macroscopic tumor



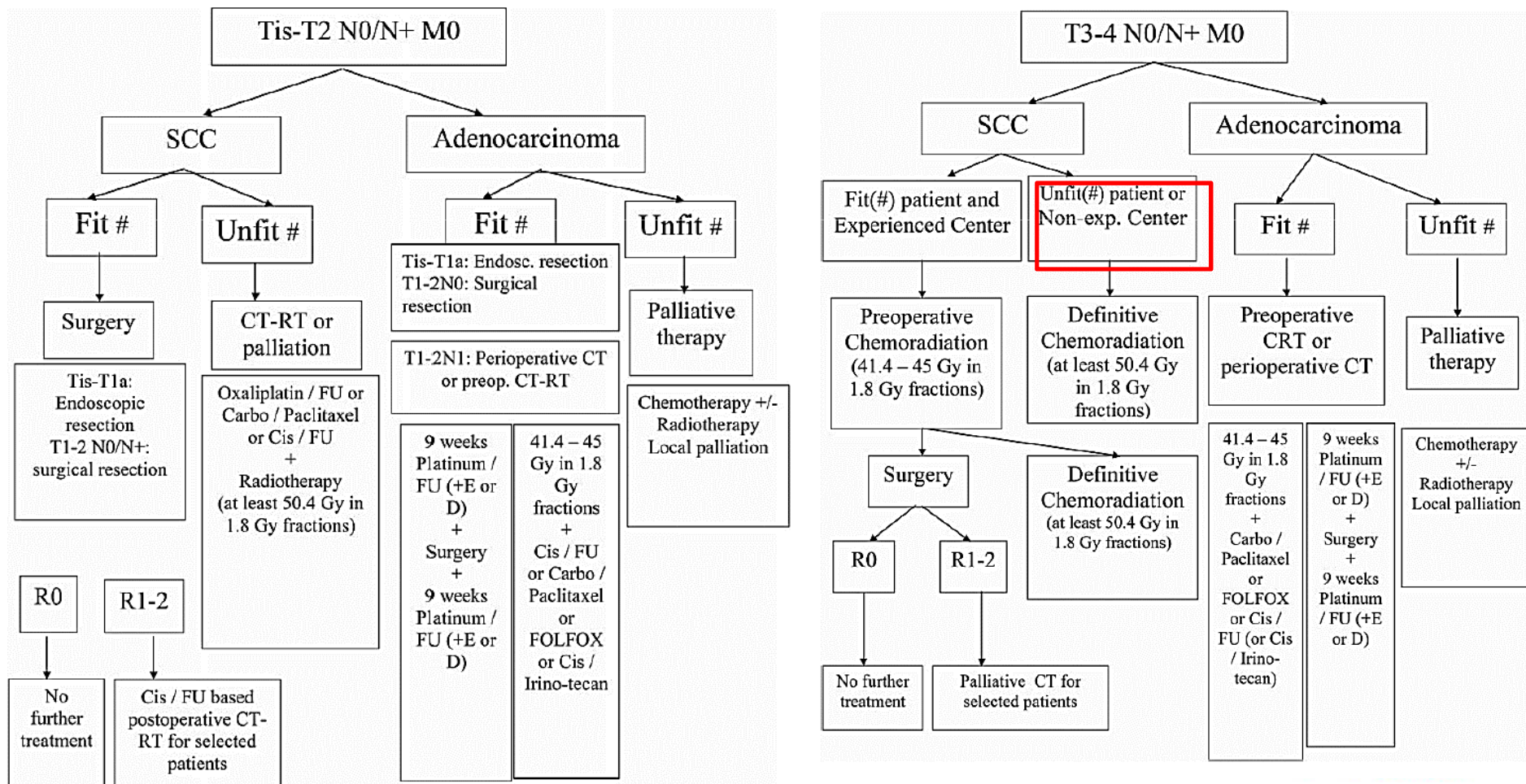
# **New perspectives in esophageal cancers**

Prof. Philippe MAINGON

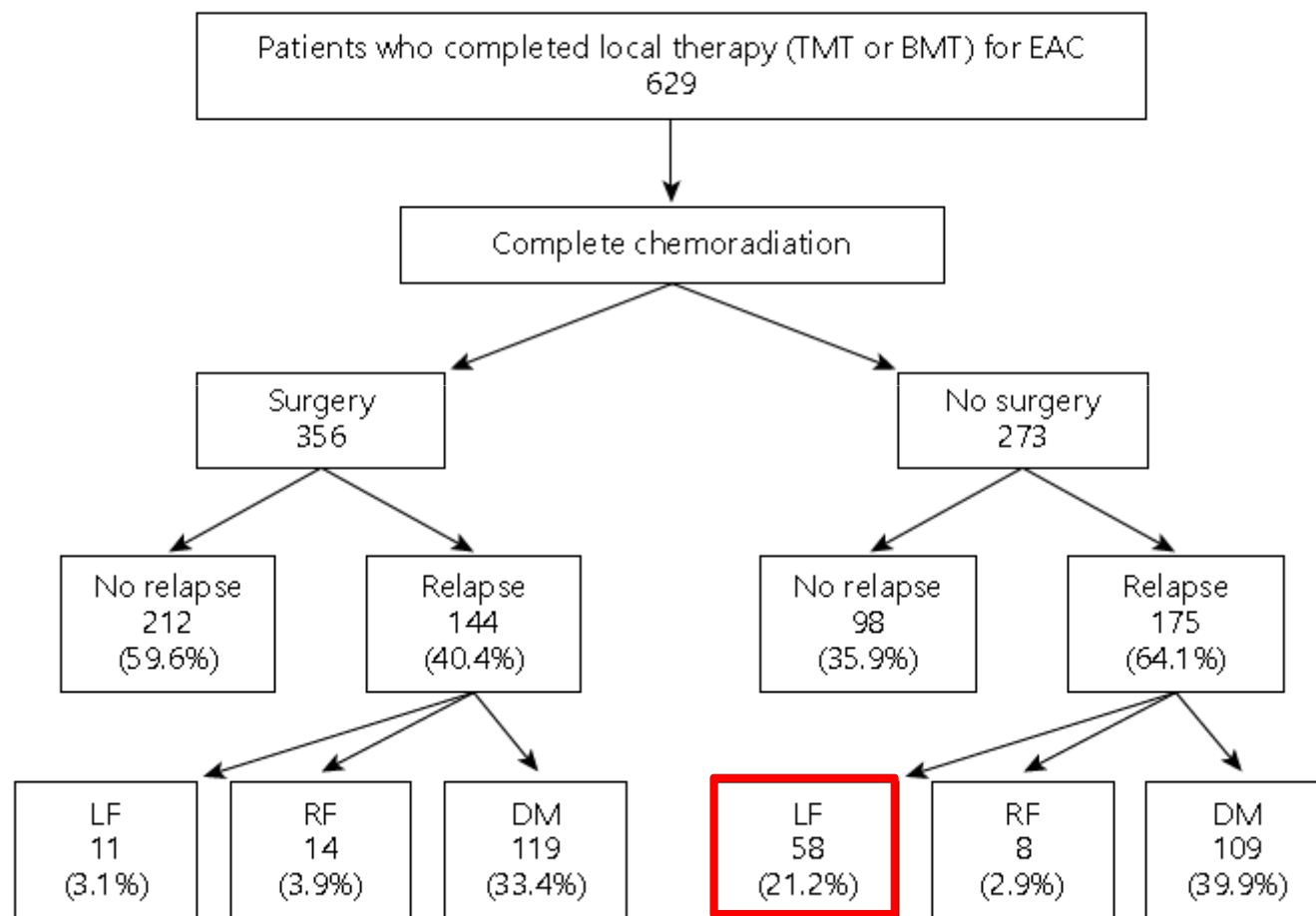


# Oesophageal cancer: ESMO Clinical Practice Guidelines for diagnosis, treatment and follow-up<sup>†</sup>

M. Stahl<sup>1</sup>, C. Mariette<sup>2</sup>, K. Haustermans<sup>3,4</sup>, A. Cervantes<sup>5</sup> & D. Arnold<sup>6</sup>, on behalf of the ESMO Guidelines Working Group\*



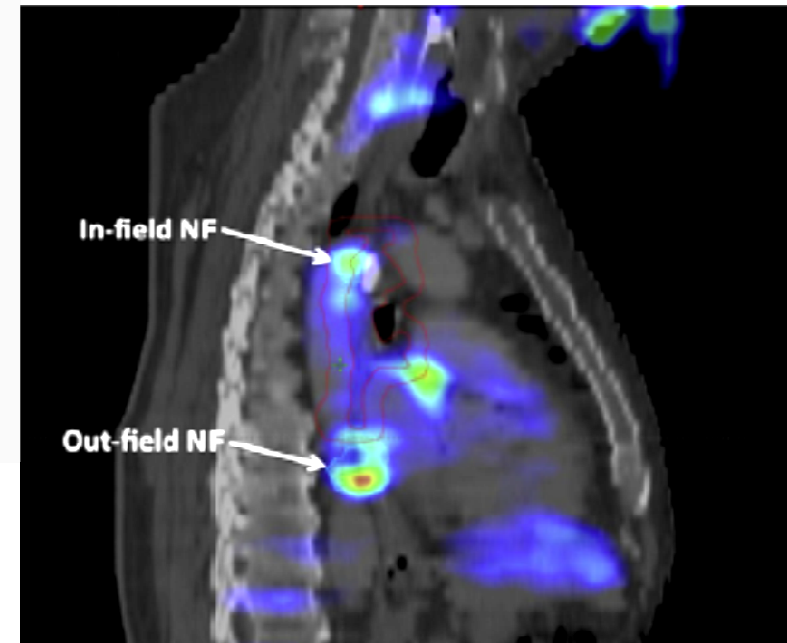
# Sites of failure



# Mapping of failures after radiochemotherapy

## Patients' characteristics and treatment.

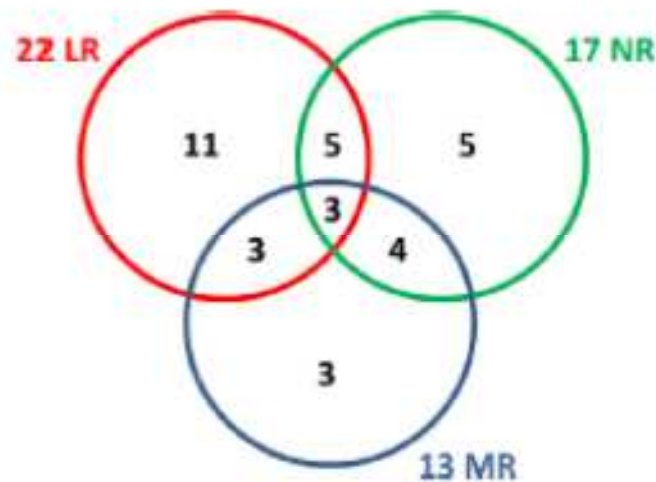
Characteristics	n = 34
<b>Sex</b>	
Men	26 (76.5%)
Women	8 (23.5%)
<b>Age</b>	
Median [range]	60.9 years [45–80.8 years]
<b>Histology</b>	
Squamous cell carcinoma	29 (85.3%)
Adenocarcinoma	5 (14.7%)
<b>Tumor sites</b>	
Cervical esophagus	3 (8.8%)
Upper third	5 (14.7%)
Middle third	13 (38.2%)
Lower third	11 (32.3%)
Cardia	2 (5.9%)



# Mapping of failures after radiochemotherapy

Topography of failures by involved/non-involved nodal stations at baseline.

Topography of failures by involved/non-involved nodal stations at baseline	n = 34
Uninvolved	24 (70.6%)
Involved	3 (8.8%)
Involved + 1 uninvolved	5 (14.7%)
Involved + > 2 uninvolved	2 (5.8%)



# Which way ?

- **Radiotherapy**
  - Technique
  - Dose and fractionation
- **Chemotherapy**
  - New agents
  - Targeted agents
- **Surgery**
  - Selection of patients
  - Selection of centers
  - Techniques
- **Multidisciplinary tumor board**
  - Expertise
  - Clinical research

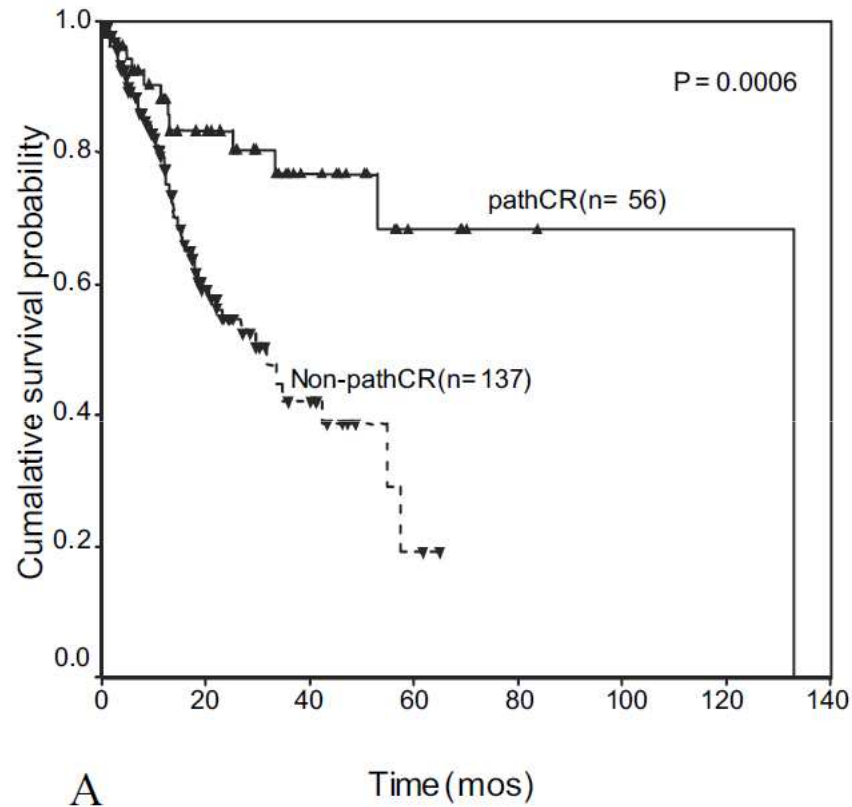




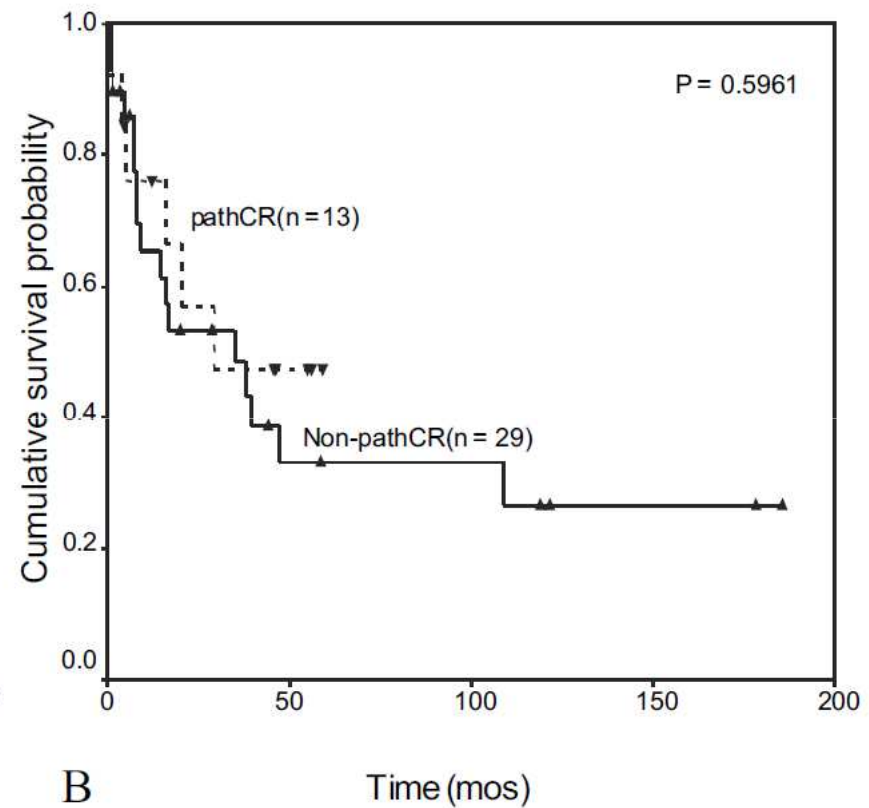
# Which way ?

- **Radiotherapy**
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  - New agents
  - Targeted agents
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- **Multidisciplinary tumor board**
  - Expertise
  - Clinical research

# Adenocarcinoma vs. SCC: response



**ADK**



**SCC**

# RTOG 9405 (INT 0123)

S  
T  
R  
A  
T  
I  
F  
Y

Weight loss  
≥ or < 10%

Tumor size  
≤ or > 5 cm

Histology  
Adeno  
Squamous (87%)

R  
A  
N  
D  
O  
M  
I  
Z  
E

5-FU/CDDP X 4  
+  
64.8 Gy

5-FU/CDDP X 4  
+  
50.4 Gy

# Which way for CRT ?

patient selection. The 2012 annual report of the UK National Oesophago-gastric Cancer Audit<sup>38</sup> has showed an improvement in outcomes for patients undergoing surgery, with 45% of patients surviving for 3 years. Both of these areas have scope for further development, namely the incorporation of CT-PET more directly into radiotherapy planning and assessment of caseload, with outcomes in specialist non-surgical services.

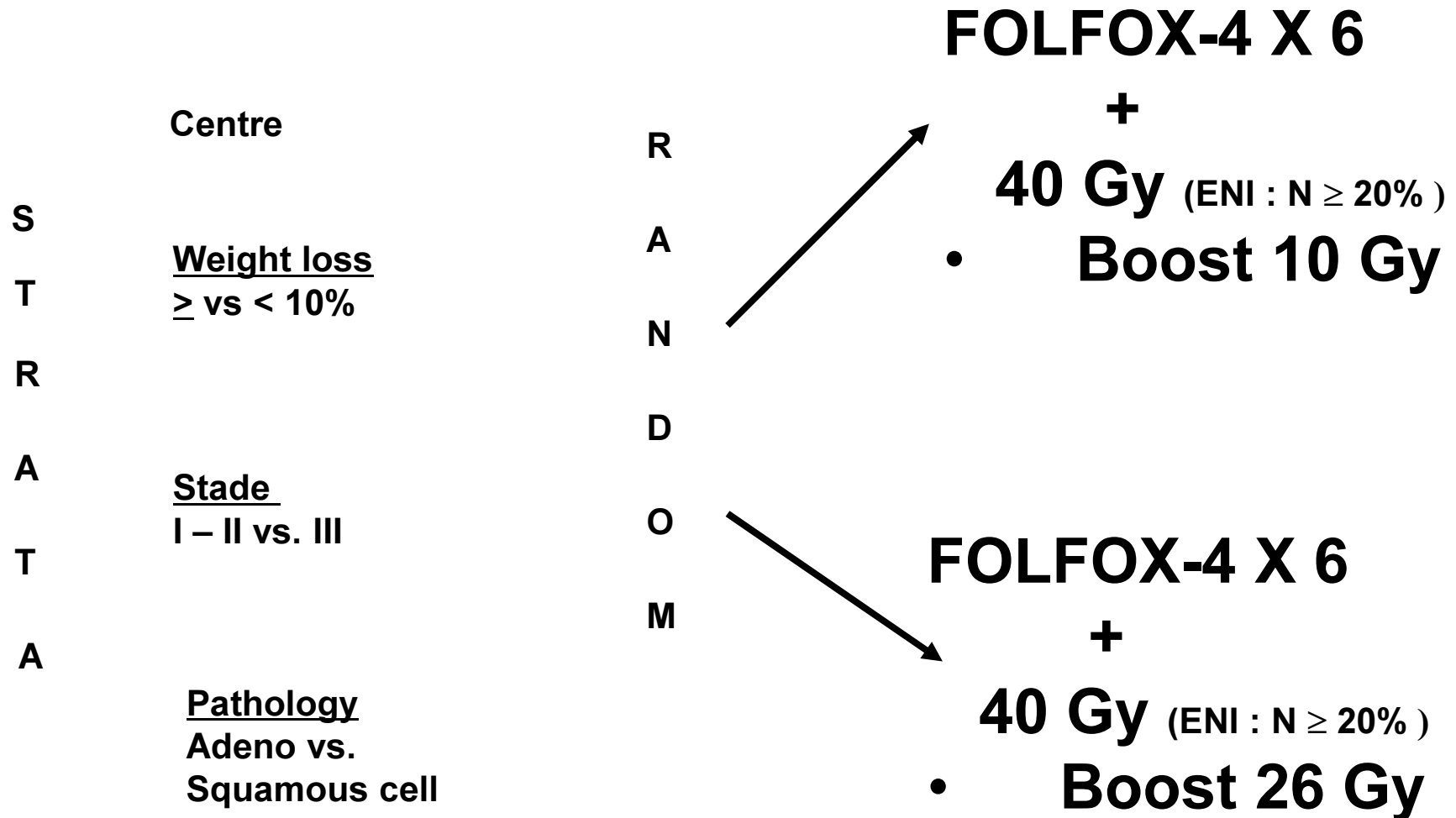
We believe, however, that by using newer radiotherapy techniques, such as intensity-modulated and image-guided radiotherapy, we can now safely deliver a higher dose of radiation to a highly conformal target volume

Crosby T et al., Lancet Oncol

colleagues' trial.<sup>1</sup> As Crosby and radiation doses of 60 Gy or higher ; safe to deliver to patients with these cause significant morbidity. A success radiotherapy and a targeted therapy, advance against this disease and well

1.8 Gy are regarded as standard treatment of definitive radiotherapy in the United States. Increased radiation doses up to 60 Gy in fractions of 1.8–2.0 Gy are recommended in parts of Europe and Japan for definitive chemoradiotherapy. This is due to an obvious dose–response correlation of radiotherapy in oesophageal cancer and the positive experience with these radiation doses in prospective multi-centre trials [16, 17] (Figure 1).

# CONCORDE (PRODIGE 26)



**Main Objective: 2 years DFS**

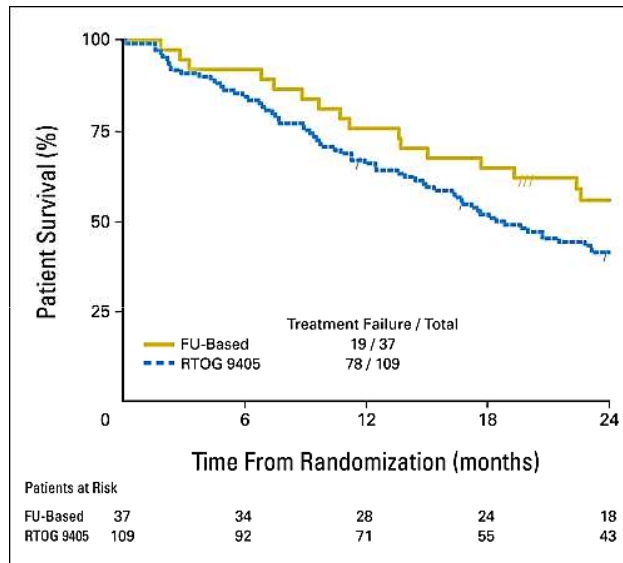
# Which way ?

- Radiotherapy
  - Technique
  - Dose and fractionation
- **Chemotherapy**
  - New agents
  - Targeted agents
- Surgery
  - Selection of patients
  - Selection of centers
  - Techniques
- Multidisciplinary tumor board
  - Expertise
  - Clinical research

# RTOG 0113 : Taxanes in CRT

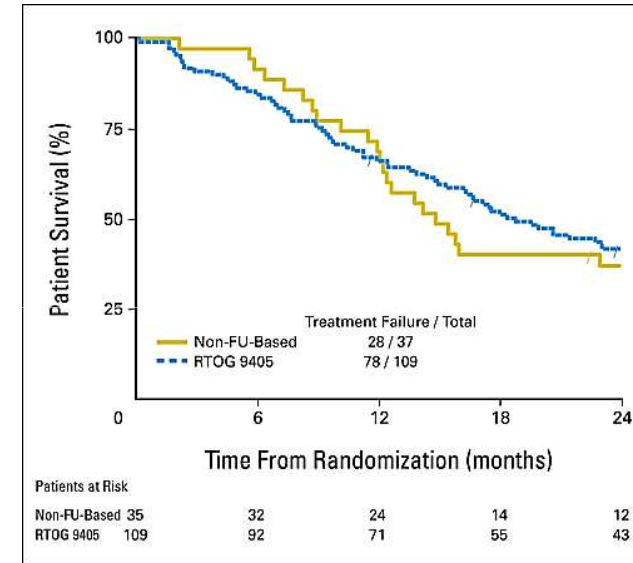
Induction CT: CDDP-5FU-Taxol

XRT 50.4Gy + 5-FU/Taxol



	G3	G4	G5
Acute	54%	27%	3%
Late	5%	3%	-

XRT 50.4Gy + CDDP/Taxol



	G3	G4	G5
Acute	43%	40%	3%
Late	9%	3%	3%

Ajani JA et al., JCO 2008

# CROSS Trial

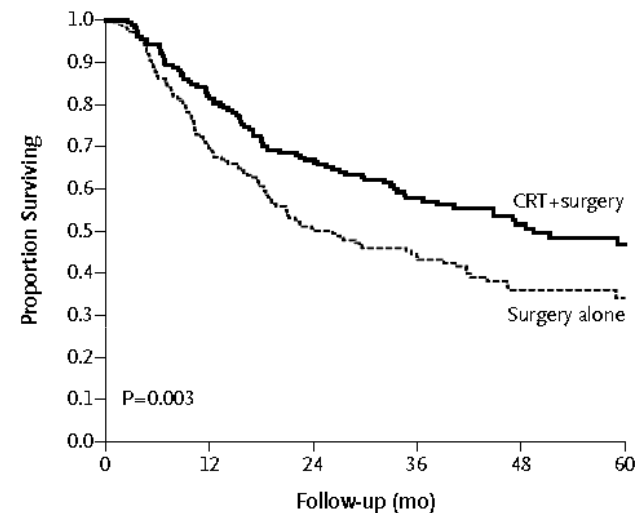
273 ADK/ 86 SCC

RTC-3D : 41.4Gy

Carbo AUC 2

Taxol 50 mg/m<sup>2</sup>/ Weekly

A Survival According to Treatment Group



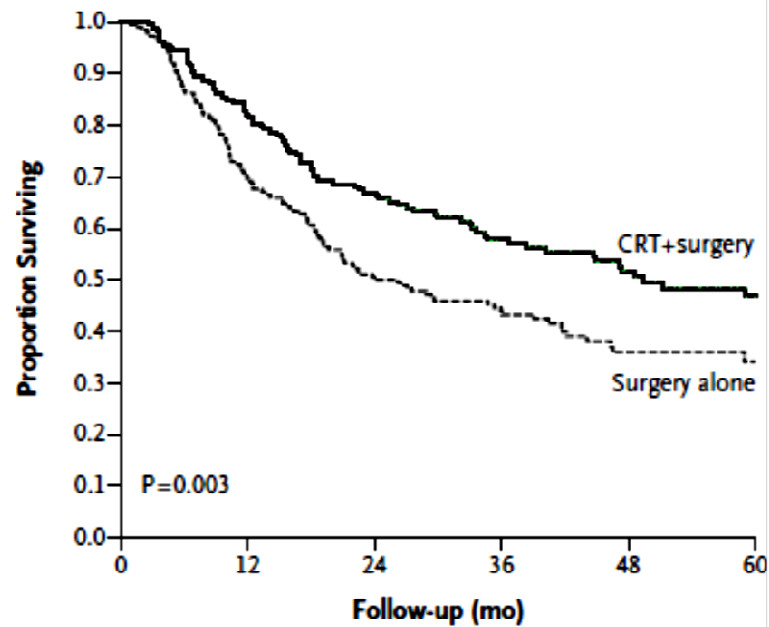
No. at Risk	
CRT+surgery	178 145 119 75 49 28
Surgery alone	188 131 94 62 33 17
Total	366 276 213 137 82 45

**OS = 49 months vs. 26 months**



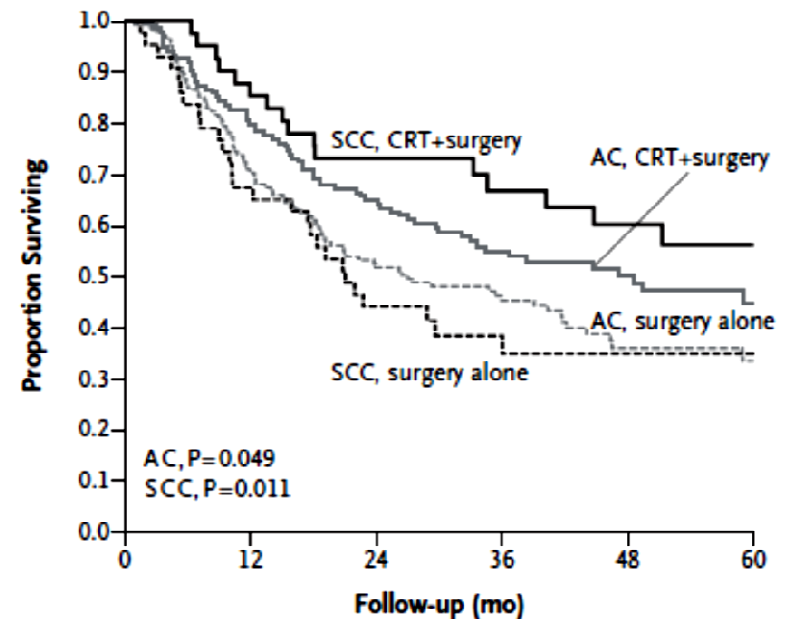
# Preoperative chemoradiotherapy

**A Survival According to Treatment Group**



No. at Risk	0	12	24	36	48	60
CRT+surgery	178	145	119	75	49	28
Surgery alone	188	131	94	62	33	17
Total	366	276	213	137	82	45

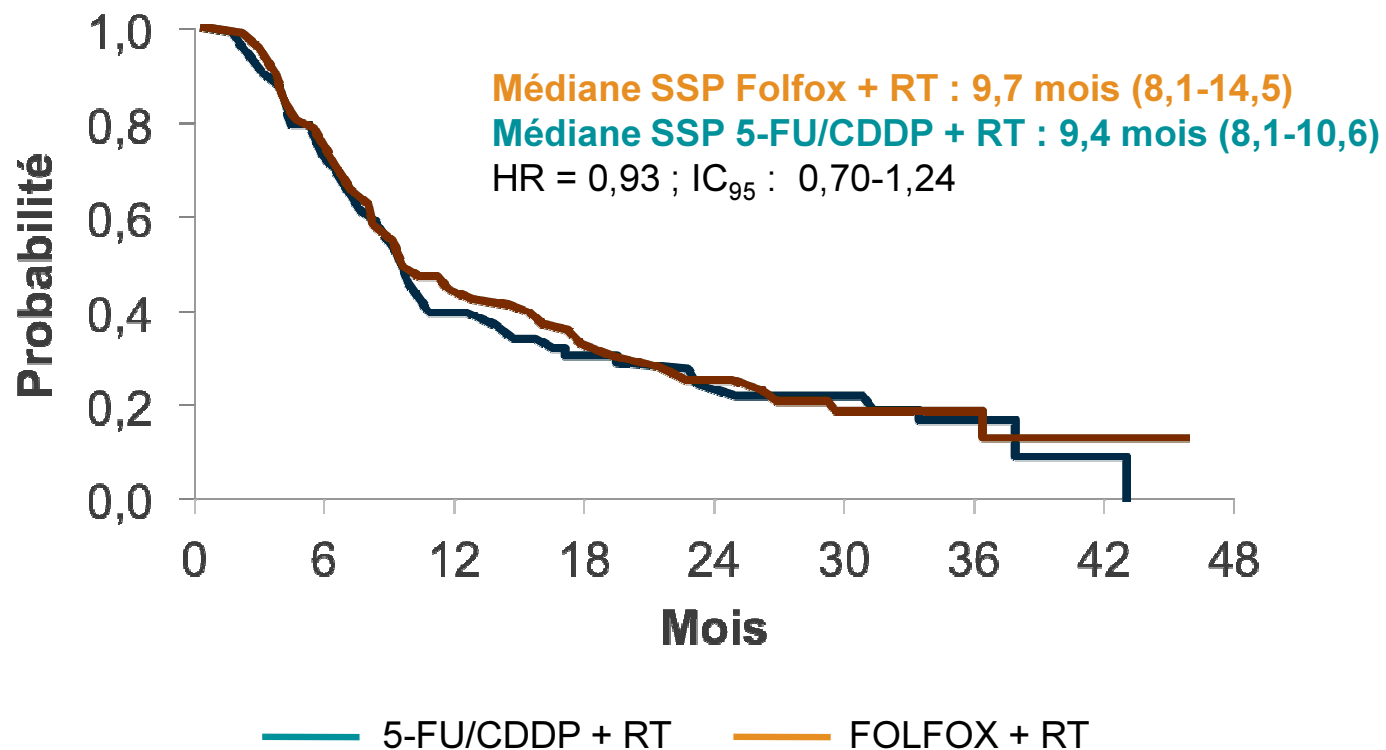
**B Survival According to Tumor Type and Treatment Group**



No. at Risk	0	12	24	36	48	60
AC, CRT+surgery	134	107	87	53	34	18
AC, surgery alone	141	99	73	50	25	10
SCC, CRT+surgery	41	35	30	21	15	8
SCC, surgery alone	43	29	19	11	8	4
Total	359	270	209	135	82	40

# PRODIGE 5 / ACCORD 17

## CDDP/5-FU vs. FOLFOX-4



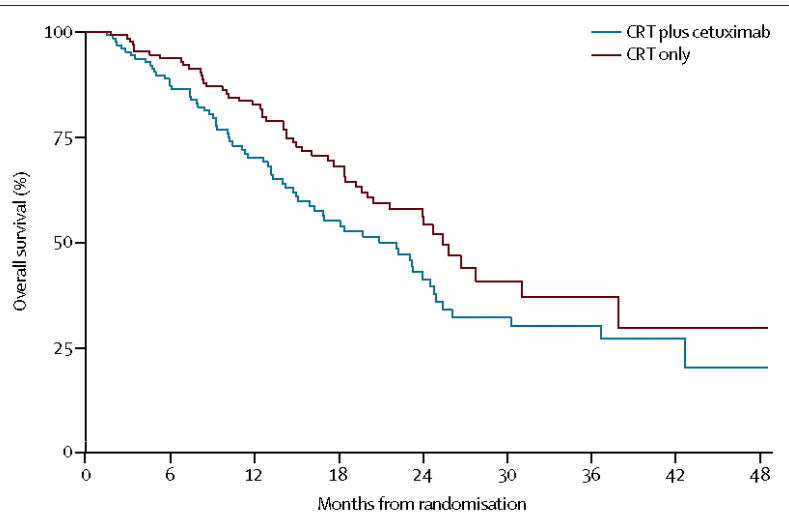
5-FU/CDDP + RP	133	89	44	29	18	11	5	1	0
FOLFOX + RT	134	90	50	29	17	8	4	3	2

# PRODIGE 5 / ACCORD 17

## CDDP/5-FU vs. FOLFOX-4

<b>Toxicities (all grades)</b>	<b>RTX + 5-FU - CDDP</b>	<b>RTX + FOLFOX 4</b>
Mucositis (%)	32	26,7
Alopecia (%)	9,4	1,5
Renal failures(%)	11,7	3
Neuropathy(%)	0,8	18,3
Toxic deaths (%)	6,4	1,1

# Scope 1



Number at risk		0	6	12	18	24	30	36	42	48
CRT plus cetuximab	129	106	70	45	25	16	12	4	1	
CRT only	129	114	87	56	31	12	8	3	2	

**OS : 25.4 mos vs. 22.1 mos,  
HR= 1.53 [1.03-2.27], p= 0.035**

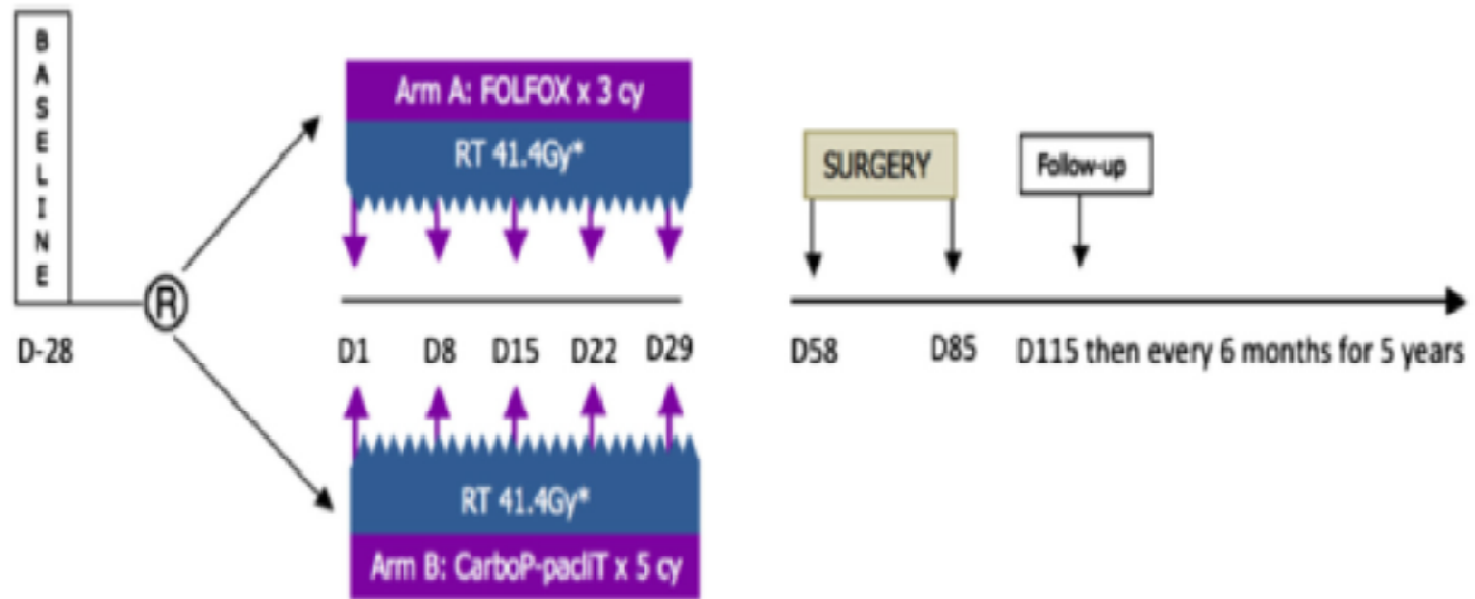
	n	Deaths	Survival (months)	
<b>Reason for no surgery</b>				
Local extent of disease	122	56	22.2 (16.1-25.4)	
Patient choice	97	45	24.7 (20.0-30.3)	
Comorbidity/poor performance status	39	18	23.2 (12.8-NC)	
<b>Tumour type</b>				
Adenocarcinoma	65	34	19.7 (14.7-25.8)	
Squamous cell	188	83	24.0 (20.5-27.8)	
Other	5	2	..	
<b>Stage</b>				
I	8	1	..	
II	95	36	30.3 (19.7-NC)	
III	155	82	20.7 (16.9-24.7)	

0.5 1.0 2.0 4.0

Favours CRT + cetuximab Favours CRT only

# PROTECT 14-02

- Randomized phase II trial, 106 Patients
- Preoperative chemoradiation comparing Paclitaxel-Carboplatin versus FOLFOX



- **Esophageal cancer**
- **Treatment**
- **Phase III**
  - 1- Proton beam radiation therapy or IMRT
  - 2- Pembrolizumab versus investigator's choice standard therapy (progression after first line therapy)
- **Phase II / Phase I**
  - Probiotic (1), Receptor antagonist LY294068 (1), Margetuximab and Pembrolizumab (1), Afatinib and trastuzumab (1), Regorafenib (2), Nintedanib (1)
  - DCF in metastatic setting (1)

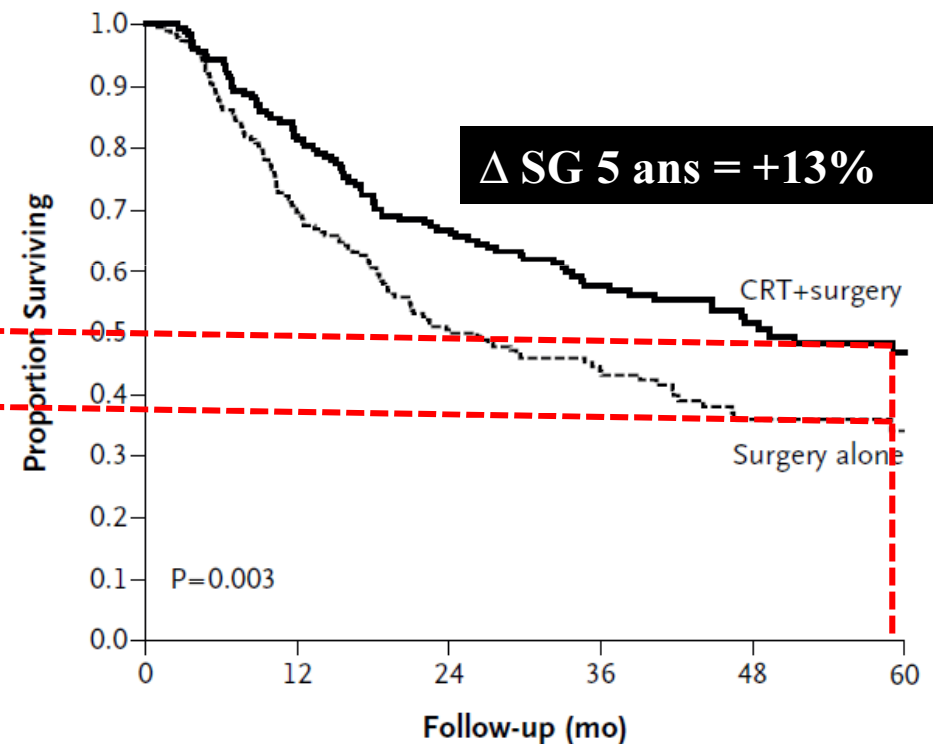
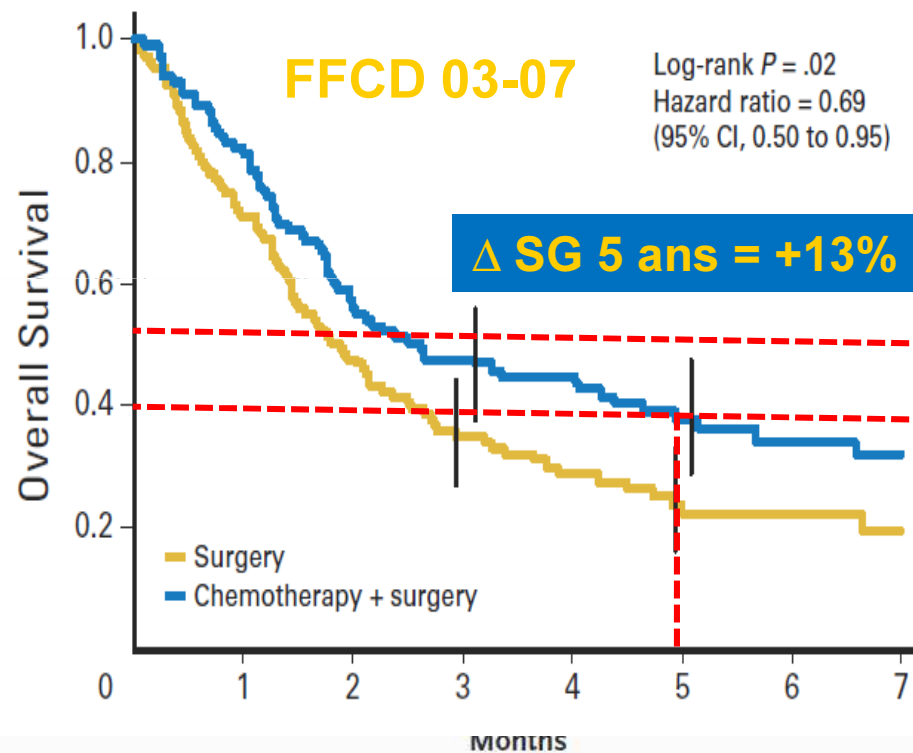
# Which way ?

- Radiotherapy
  - Technique
  - Dose and fractionation
- Chemotherapy
  - New agents
  - Targeted agents
- **Surgery**
  - Selection of patients
  - Selection of centers
  - Techniques
- Multidisciplinary tumor board
  - Expertise
  - Clinical research

# CT or CRT in the preoperative setting ?

Cunningham D. et al., NEJM 2006  
Ychou M. et al., JCO 2011

van Hagen P. et al., NEJM 2012





# 22114-40111 TOP GEAR TRIAL OF PREOPERATIVE THERAPY FOR GASTRIC AND ESOPHAGOGASTRIC JUNCTION ADENOCARCINOMA

**A randomised phase III trial of preoperative  
chemoradiotherapy versus preoperative chemotherapy  
for resectable gastric cancer**

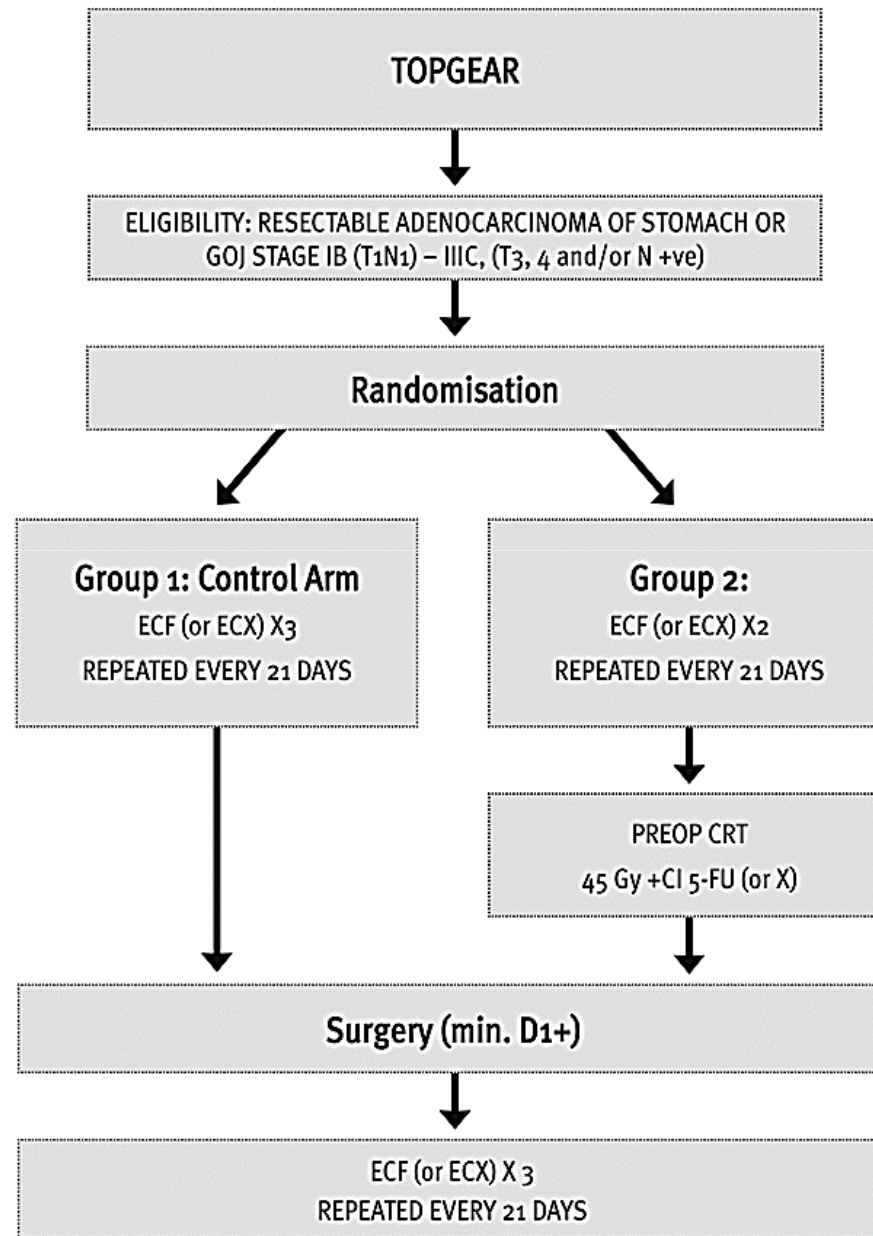
**Study Coordinator: Karin Haustermans (ROG)**

**Study co-coordinator: Florian Lordick (GI)**

**Leading group: AGITG**

**Study coordinator AGITG: Trevor Long**

# 22114 - 40111: Study schema



# 22114 - 40111: Study endpoints

## □ Part 1 (Phase II component)

- Primary: Pathological complete response rate
- Secondary:
  - Toxicity (including surgical morbidity and mortality)
  - Feasibility of preoperative chemoradiation (compliance)
  - Accrual

## □ Part 2 (Phase III component)

- Primary: Overall survival
- Secondary:
  - Disease free survival
  - Toxicity
  - Pathological response rate
  - Surgical R0 resection rate

## Traveling to a High-volume Center ...

- Traveling to a high-volume center is associated with improved survival for patients with esophageal cancer.
- 4679 patients with T1-3 N1 M0 in the National Cancer Data Base from 2006-211
  - Travel patients were more likely to undergo esophagectomy (68% vs 43%) and had significantly better 5-year survival (40% vs 21%)
- Strategy that support patient travel for treatment at high-volume centers may improve esophageal cancer outcomes.

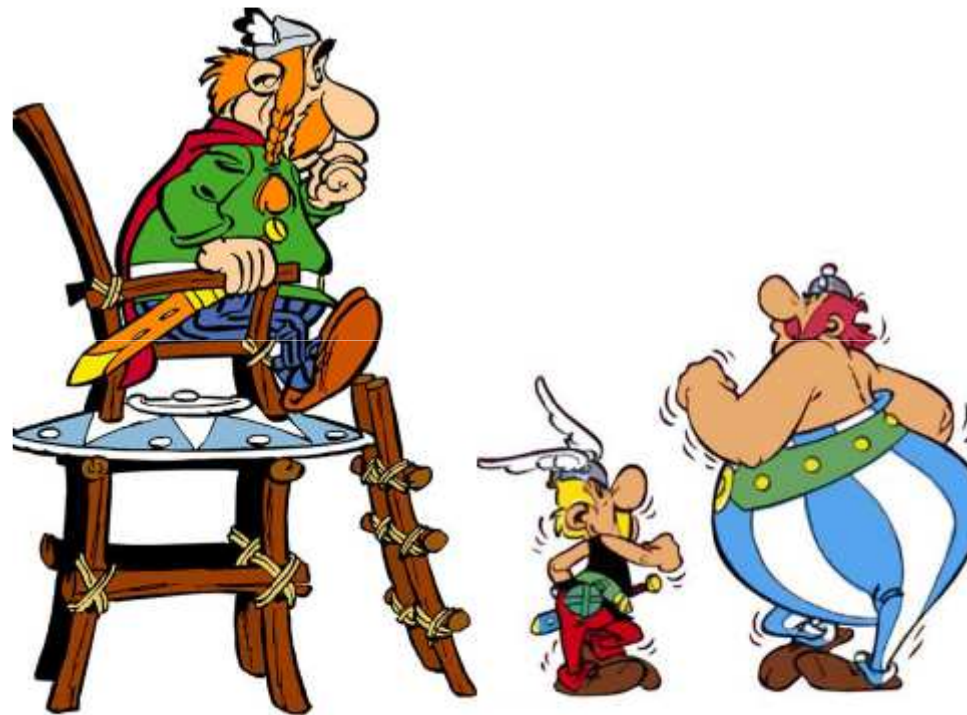


# Surgery after high-dose CRT ?

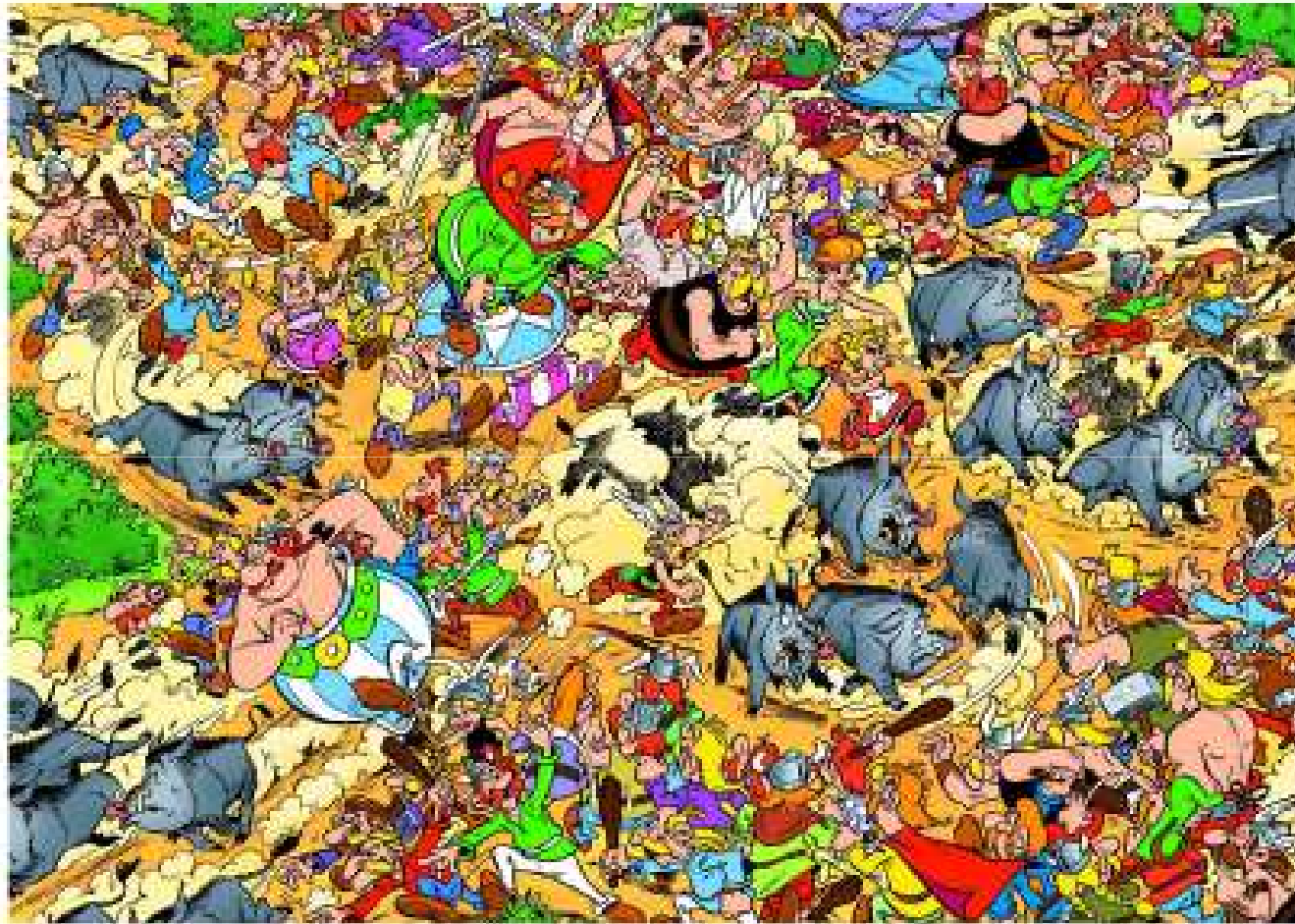
	S (n= 35)	XRT-CT (66Gy) + S (n= 30)	P-value
IC Unit hospitalization Mediane (min-max)	3 (0-148)	4.5 (0-85)	0.96
Hospitalisation Mediane (min-max)	18 (11-187)	16 (9-177)	0.61
Lung complications	21 (60%)	19 (63.3%)	0.80
Acute lung toxicity	18 (51.4%)	15 (50.0%)	1.0
Pneumonia	15 (42.9%)	14 (46.7%)	0.81
Chylothorax	0	1 (3.3%)	0,46
Postoperative death	3 (8.6%)	5 (16.7%)	0.45

# Which way ?

- Radiotherapy
  - Technique
  - Dose and fractionation
- Chemotherapy
  - New agents
  - Targeted agents
- Surgery
  - Selection of patients
  - Selection of centers
  - Techniques
- **Multidisciplinary tumor board**
  - Expertise
  - Clinical research







# Science versus Conscience versus EBM

	<b>Surgery</b>	<b>CRT</b>	<b>CRT-S</b>
Local Control	↗↗	↘↘	↗↗↗
Regional Control	↗	↗↗	↗↗
Distant Control	-	↗	↗
Late complications	↘↘	↘	↘↘↘
Overall survival	=	=	=
Quality of life	?	?	?





## Gastric Cancer – Staging & Imaging of primary & nodal subsite boundaries

Dr Angela M Riddell  
Royal Marsden, London. UK

ESTRO School

### STOMACH 7<sup>TH</sup> EDITION - AJCC

Primary Tumor		Regional Lymph Nodes	
■ TX	Primary tumour cannot be assessed	■ NX	Lymph nodes cannot be assessed
■ T0	No evidence of primary tumour	■ N0	No regional lymph node metastasis
■ Tis	Carcinoma in situ	■ N1	1 to 2 regional lymph nodes
■ T1	Lamina propria or submucosa	■ N2	3 to 6 nodes (was N1)
– T1a	Lamina propria or muscularis mucosae	■ N3	≥ 7 nodes
– T1b	Submucosa	– N3a	7 to 15 nodes (was N2)
■ T2	Muscularis propria (was T2a)	– N3b	≥16 nodes (was N3)
■ T3	Subserosa (was T2b)	<b>Distant Metastasis</b>	
■ T4	Adjacent structures	■ M0	No distant metastasis
– T4a	Perforates serosa (was T3)	■ M1	Distant metastasis
– T4b	Other adjacent structures (was T4)		

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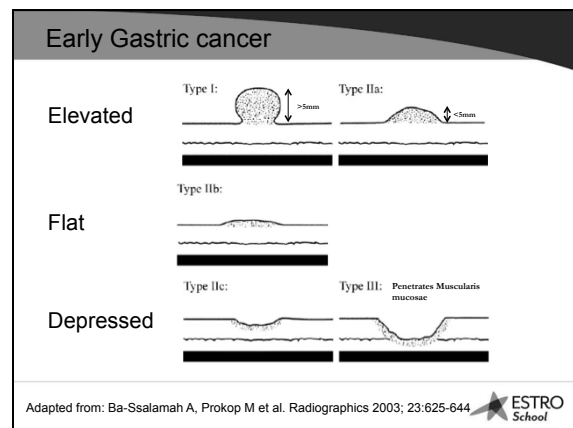
## Staging of Gastric Cancer

Two main categories:

**Early gastric cancer**  
Malignant invasion confined to the mucosa & submucosa

**Advanced gastric cancer**  
Malignant invasion into the muscularis propria

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## Gastric Cancer Staging

Diagnosis – Endoscopic biopsy

Initial Imaging:  
MDCT

Potentially operable disease:  
PET/CT – exclude distant spread  
Laparoscopy

EUS – Early disease, Proximal/ Distal Extent  
MRI

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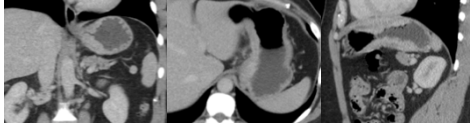
## MDCT - Patient preparation

- Fasted for 6hrs
- Gastric distension
  - Anti spasmotic –Buscopan®
  - Oral contrast – water
- Position
  - Supine
  - Prone
  - Oblique angle to improve regional gastric distension


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### MDCT - Scan Technique

Portal venous phase imaging (70 second delay)  
Thorax, abdomen & pelvis

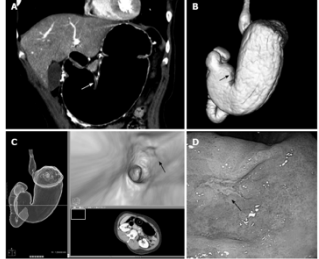


Scan parameters aim to achieve resolution that can enable MPR postprocessing using isotropic voxels




### MDCT - Scan Technique

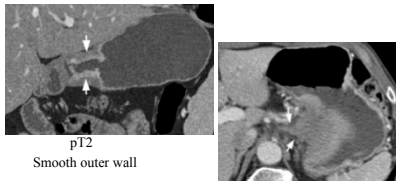
Virtual gastroscopy



03/01/13



### MDCT - T Staging




pT2  
Smooth outer wall

pT3  
Irregular outer wall due to infiltration into perigastric fat.

pT4  
Direct infiltration of the pancreas

Parameter	Percentage range
Accuracy	77 - 89%
Sensitivity	83-100%
Specificity	80 -97%

Choi J, Joo I, Lee, J. 2014 WJG 20:16: 4546 - 4557



### MDCT - N Staging


Lymphatic spread is found in 74%–88% of patients

N staging depends on the number of lymph nodes involved

CT - high specificity, but low sensitivity

Based on size criteria (short axis):


- ≥6mm perigastric
- ≥ 8mm extra perigastric



Parameter	Percentage range
Sensitivity	62.5 - 91.9%
Specificity	50 - 87.9%

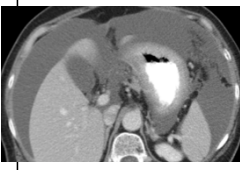
Stage	No of Regional Nodes
N1	≤2
N2	3-6
N3	≥7

Kwee RM, Kwee TC. 2009 Gastric cancer; 12: 6-22




### MDCT – M staging

- Detection of hepatic mets: sens 88%, spec 99%\*
- Detection of peritoneal disease  
No ascites: sens 30%†  
In presence of ascites: Sens 51%, Spec 97%\*

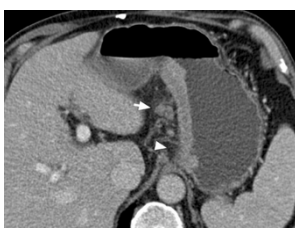


Laparoscopy for potentially operable patients

\*Yajima, K., T. Kanda, et al. (2006). *Am J Surg* 192(2): 185-90.  
†D'Elia, F., A. Zingarelli, et al. (2000). *Eur Radiol* 10(12): 1877-85.




### Gastric Cancer staging



CT Report:

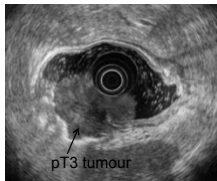
- Length
- Location
- T Stage
- N & M Stage



### EUS - T Staging

5-20mHz probes

- High spatial resolution enables visualization of individual wall layers
- EUS T staging more accurate than MDCT



Wide variation in accuracy in literature (65-92%)  
Overstaging early tumours

Image from: Bohle W et al. 2011 J Gastrointestin Liver Dis; Vol. 20 No 2, 135-139

### EUS - N Staging

Provides morphological information

- Malignant nodes: round, hypoechoic, lose echogenic hilum
- Fine needle aspiration (FNA) possible

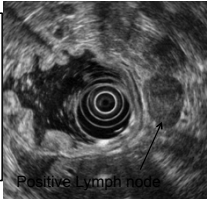
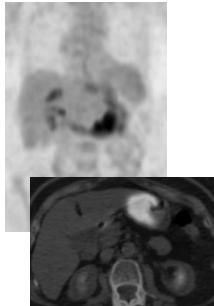


Image from: Bohle W et al. 2011 J Gastrointestin Liver Dis; Vol. 20 No 2, 135-139

### <sup>18</sup>FDG-PET/CT

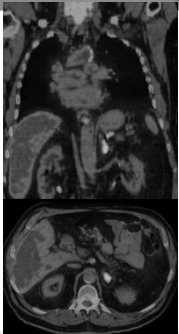
Gastric Cancer

- Variable <sup>18</sup>FDG avidity dependent upon tumour subtype
- Intestinal-type have greater FDG avidity
- Limited uptake in diffuse-type ~30% tumours not visualised
- <sup>18</sup>FDG-PET/CT not currently advocated for gastric cancer staging




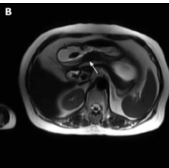
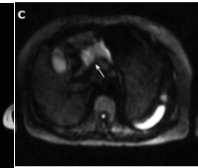
### <sup>18</sup>FDG-PET/CT

Main advantage  
Identification of occult metastatic disease\*



\*Kinkel K, Ying L et al (2002) Radiology 224:748-756

### Gastric Cancer Staging - MRI

Limited studies

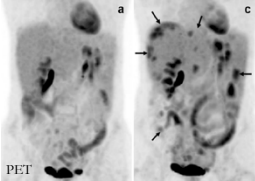
- In vitro studies – demonstrate individual layers of the oesophageal wall. High level of accuracy for staging all tumours
- In vivo studies – T & N staging similar to MDCT

Choi J, Joo I, Lee, J. 2014 WJG 20:16: 4546 - 4557

### M Staging – Peritoneal disease

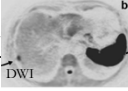
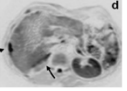
MDCT

Accuracy 25-90% dependent on site, size & morphology of disease



Functional imaging

PET-CT & Diffusion Weighted MRI (DW-MRI) have similar improved accuracy, but falls for foci <1cm\*

\*Soussan M, Des Guetz G et al. (2012) Eur Radiol 22:1479 - 1487

### Summary

**Staging**

- MDCT – exclude metastatic disease
- PET-CT – refine staging & localise tumour
- EUS – defining prox / distal extent
- MRI – research

Primary & Nodal subsite boundaries

### Anatomical regions of the stomach

### Anatomy – stomach arterial supply

### Upper Abdominal Lymph nodes groups

Station Number	Name
1	right cardia
2	left cardia
3	lesser curvature
4	greater curvature
4a, 4ab, 4d	short gastric, left gastroepiploic, right gastroepiploic
5	Suprapyloric
6	Infrapyloric
7	left gastric artery
8	common hepatic artery
9	celiac trunk
10	Splenic hilus
11	Splenic artery
12	hepatoduodenal ligament, posterior surface of the head of the pancreas
13	root of the small bowel mesentery
14	mesenteric
15	Para-aortic
16	Para-aortic

Lim J S, Yun M J, Kim M J et al 2006. Radiographics; 26: 143-156

### Upper Abdominal Lymph nodes groups


Station Number	Name
1	right cardia
2	left cardia
3	lesser curvature
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4a, 4ab, 4d	short gastric, left gastroepiploic, right gastroepiploic
5	Suprapyloric
6	Infrapyloric
7	left gastric artery
8	common hepatic artery
9	celiac trunk
10	Splenic hilus
11	Splenic artery
12	hepatoduodenal ligament, posterior surface of the head of the pancreas
13	root of the small bowel mesentery
14	mesenteric
15	Para-aortic
16	Para-aortic

D1

Lim J S, Yun M J, Kim M J et al 2006. Radiographics; 26: 143-156




### Upper Abdominal Lymph nodes groups



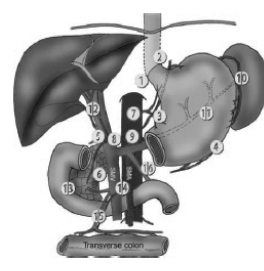
Station Number	Name
1	right cardia
2	left cardia
3	lesser curvature
4	greater curvature
4a	short gastric
4b	left gastroepiploic
4d	right gastroepiploic
5	Suprapyloric
6	Infrapyloric
7	left gastric artery
8	common hepatic artery
9	celiac trunk
10	Splenic hilum
11	Splenic artery
12	hepatoduodenal ligament
13	posterior surface of the head of the pancreas
14	root of the small bowel mesentery
15	Para-aortic
16	Para-splenic

D2

Lim J S, Yun M J, Kim M J et al 2006. Radiographics; 26: 143-156




### Upper Abdominal Lymph nodes groups



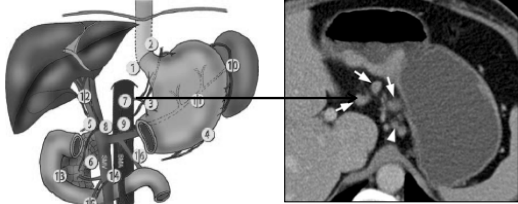
Station Number	Name
1	right cardia
2	left cardia
3	lesser curvature
4	greater curvature
4a	short gastric
4b	left gastroepiploic
4d	right gastroepiploic
5	Suprapyloric
6	Infrapyloric
7	left gastric artery
8	common hepatic artery
9	celiac trunk
10	Splenic hilum
11	Splenic artery
12	hepatoduodenal ligament
13	posterior surface of the head of the pancreas
14	root of the small bowel mesentery
15	Para-aortic
16	Para-splenic

D3


Lim J S, Yun M J, Kim M J et al 2006. Radiographics; 26: 143-156



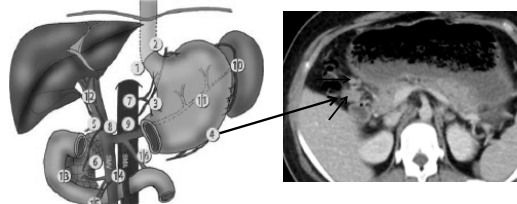
### Upper Abdominal Lymph nodes groups




Station 7  
Left gastric artery territory



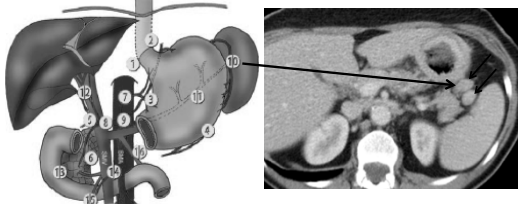
### Upper Abdominal Lymph nodes groups




Station 4  
Gastroepiploic artery



### Upper Abdominal Lymph nodes groups

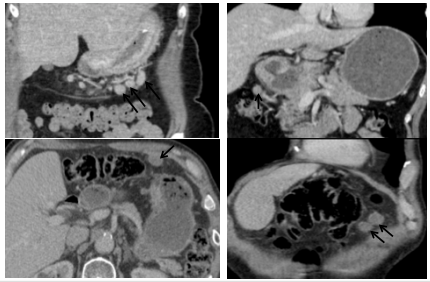



Station 10 Splenic hilum

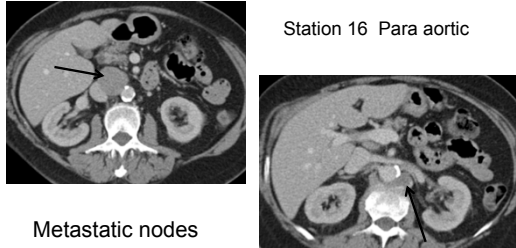


### Upper Abdominal Lymph nodes groups

Difficulty distinguishing Gastroepiploic nodes from peritoneal disease





Upper Abdominal Lymph nodes groups



Station 16 Para aortic

Metastatic nodes




Case 1


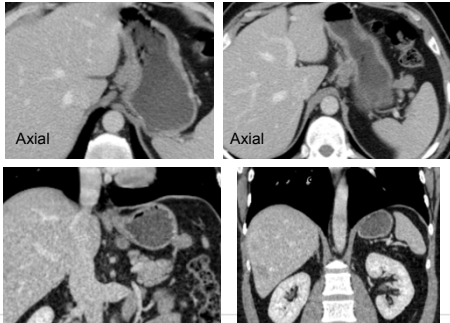


Case 1

- 65yr old male presented with abdominal pain and weight loss




Case 1


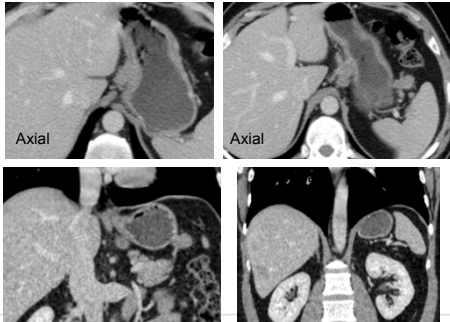


Case 1

- Stage the tumour
- If there are nodes involved; state which nodal stations



Case 1



### Case 1

Axial Axial

ESTRO School

### Case 1

Tumour stage:  
T3N2M1

Nodal Stations  
Left gastric artery - 7  
Splenic hilum - 10

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### Case 2

72 year old female with weight loss and anaemia

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### Case 2

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### Case 2

- Describe the location of the tumour
- Stage the tumour
- Identify any nodal stations involved

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### Case 2

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Case 2

T3N1 ?? M1 – Supraclavicular node...

Case 2

*What to do next?*

- Consider supraclavicular node positive based on size (9mm)?
- Arrange a PET-CT scan
- Arrange an U/S +/- FNA

Case 2

Moderate FDG avidity in node 'equivocal' on PET-CT

Case 2

*What to do next?*

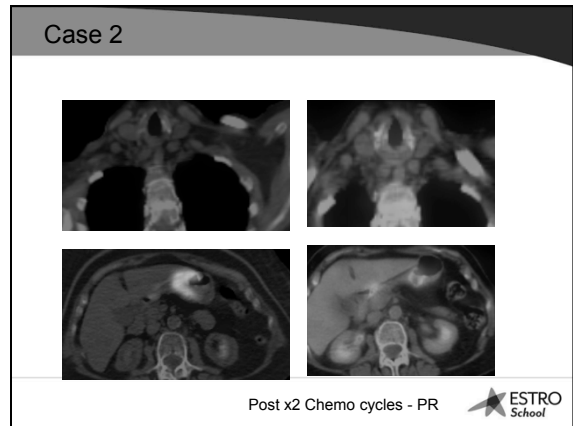
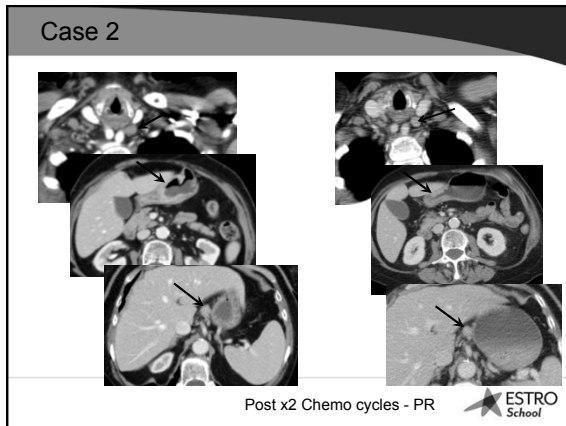
- Consider supraclavicular node positive based on PET-CT findings
- Arrange an U/S +/- FNA
- Consider PET-CT findings as negative in the node & proceed with neoadjuvant therapy followed by surgery

Case 2

- An U/S with FNA was arranged
- Sonographic appearance in keeping with a reactive node.
- Cytology – C1


Case 2

The patient was given neoadjuvant therapy



Case 2

- Had second laparoscopy – no metastases
- Went on to have total gastrectomy in Dec 2009.
- Well with no recurrence
- Patient opted for no further treatment post op.



Thank you

03/01/13



*The* ROYAL MARSDEN

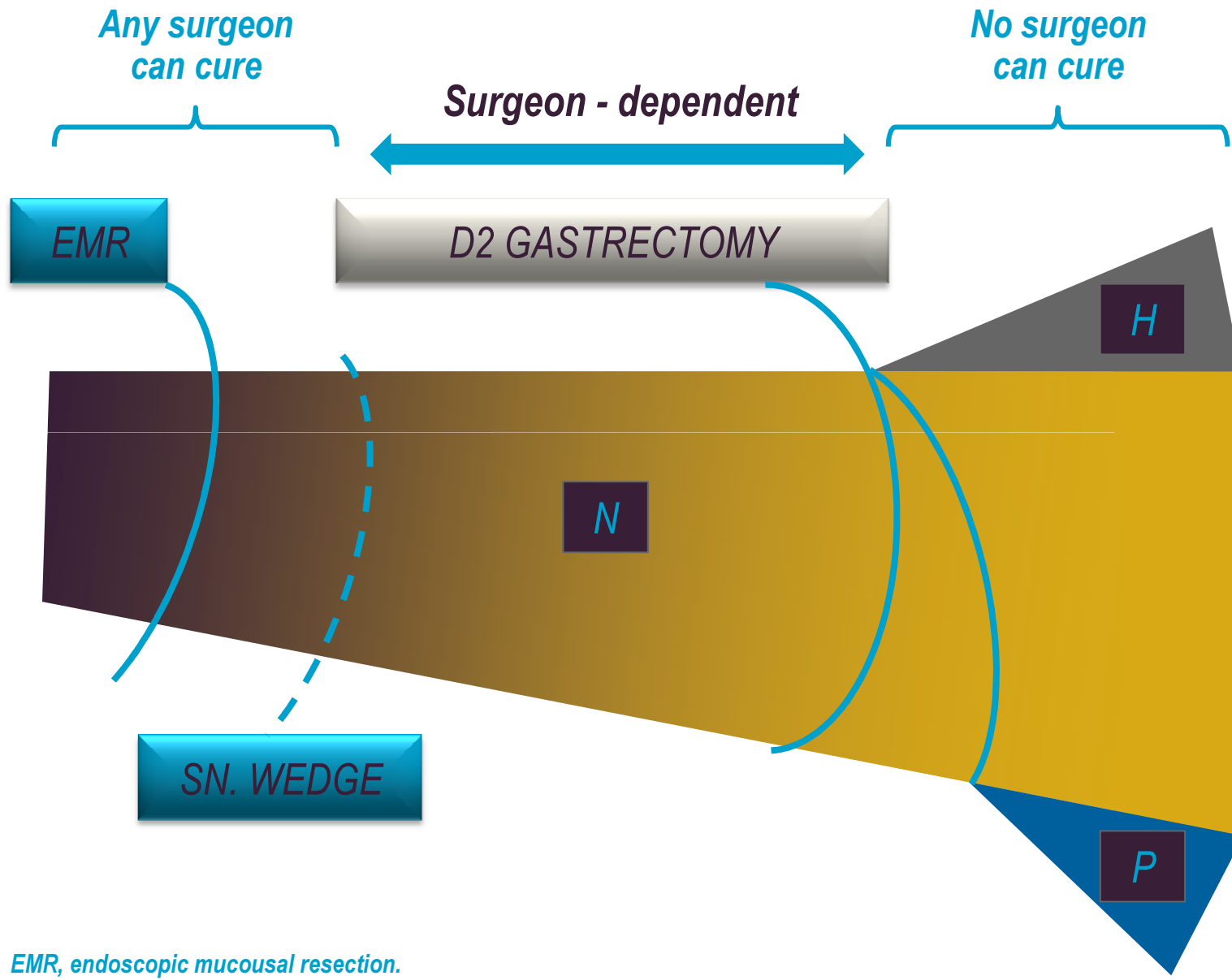
NHS Foundation Trust

# State of Art of Surgery in a Combined Treatment Perspective: Gastric Cancer

William Allum  
Consultant Surgeon  
Royal Marsden NHS  
Foundation Trust  
London, UK



NHS



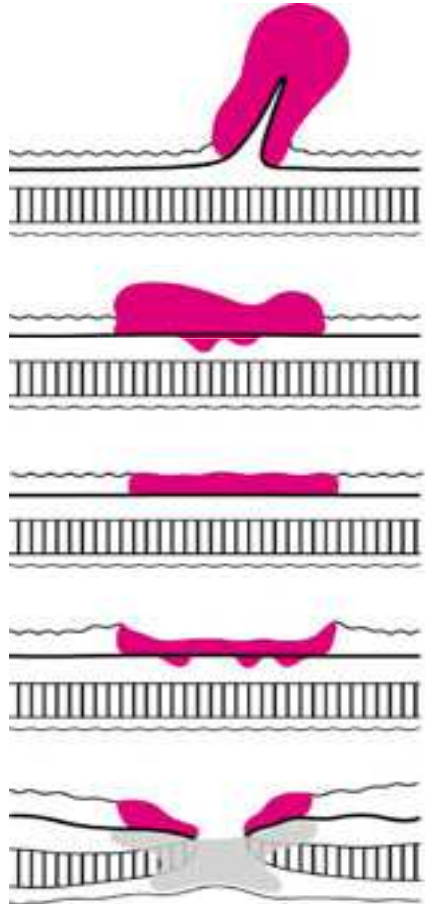
EMR, endoscopic mucosal resection.

# Multipurpose device





# T1 TUMOURS

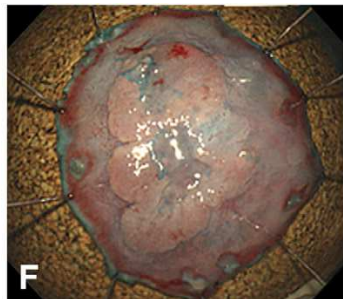
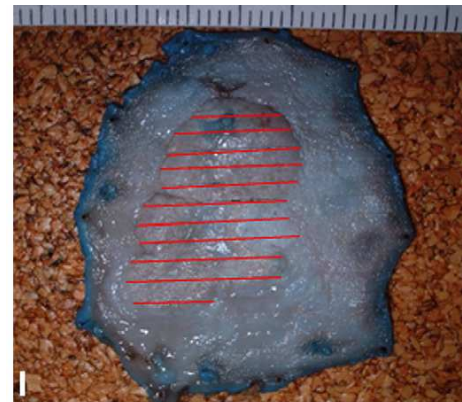
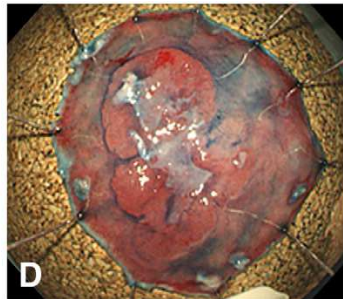
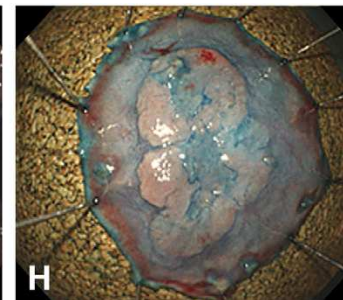
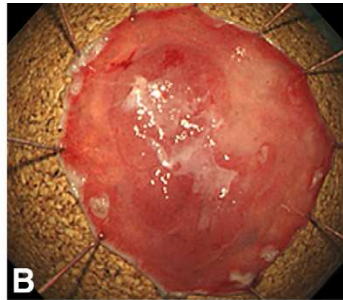
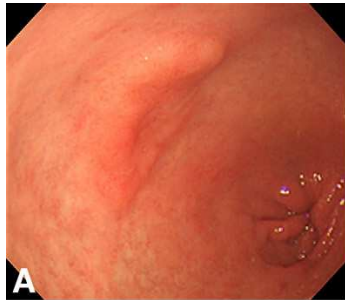


- Protruding
- Superficial Elevated
- Superficial Flat
- Superficial Ulcerated
- Excavated



# Endoscopic Diagnosis

## Indigo carmine + Acetic Acid



*Sakai et al, GIE 2008*

# ENDOSCOPIC RESECTION

---

well differentiated adenocarcinoma

no lymphatic or venous invasion

intramucosal cancer regardless of size without ulceration

intramucosal cancer <30mm with ulceration

minute submucosal penetration (sm1) and <30mm



## LN Metastasis from EGC

About **10%** of EGC

**3%** of M cancer

**20%** of SM cancer

**5%** of SM has N2

*Multiple sections  
of the primary  
tumor detect SM*

*Multiple sections  
of LN detects  
metastasis*



# SURGERY FOR EARLY GASTRIC CANCER

---

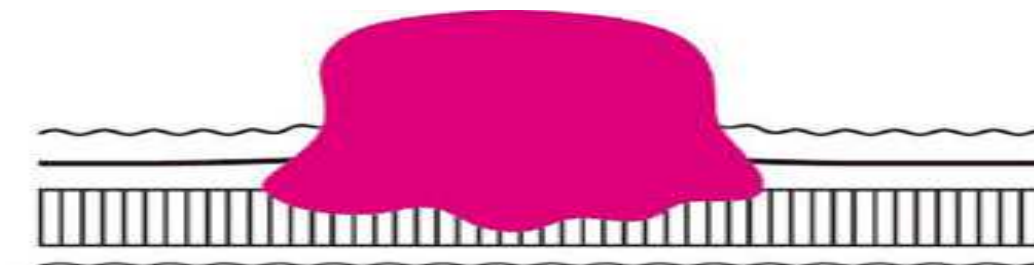
T1 m                      D1 alpha (Stations 7 & 8)

T1 sm                     D1 beta (D1 alpha + station 9 & 11p)

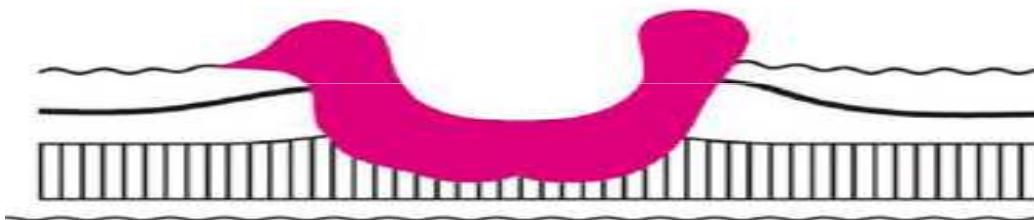
Function preserving gastrectomy



# LOCALLY ADVANCED GASTRIC CANCER



*Mass*



*Ulcerative*



*Infiltrative, ulcerative*



*Infiltrative, diffuse*



# R0 Resection

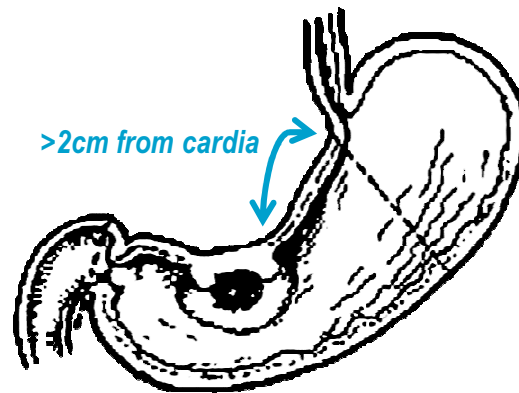
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A surgical procedure in which there is no evidence of macroscopic residual tumour in the tumour bed, lymph nodes and/or distant sites with microscopic negative resection margins

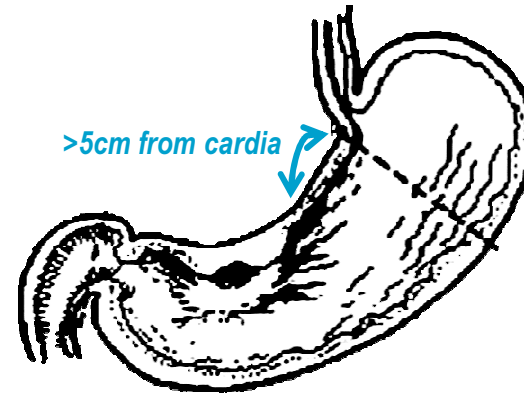


# Indication and Division Lines for Distal Subtotal and Total Gastrectomy

## Distal subtotal gastrectomy

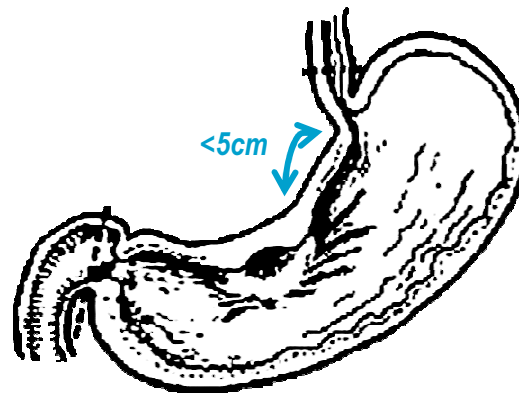


Early cancer or well-circumscribed advanced cancer

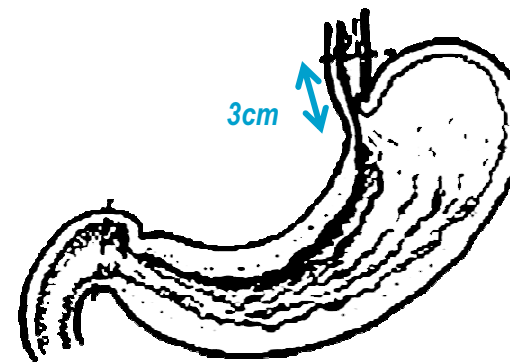


Infiltrative advanced cancer

## Total gastrectomy



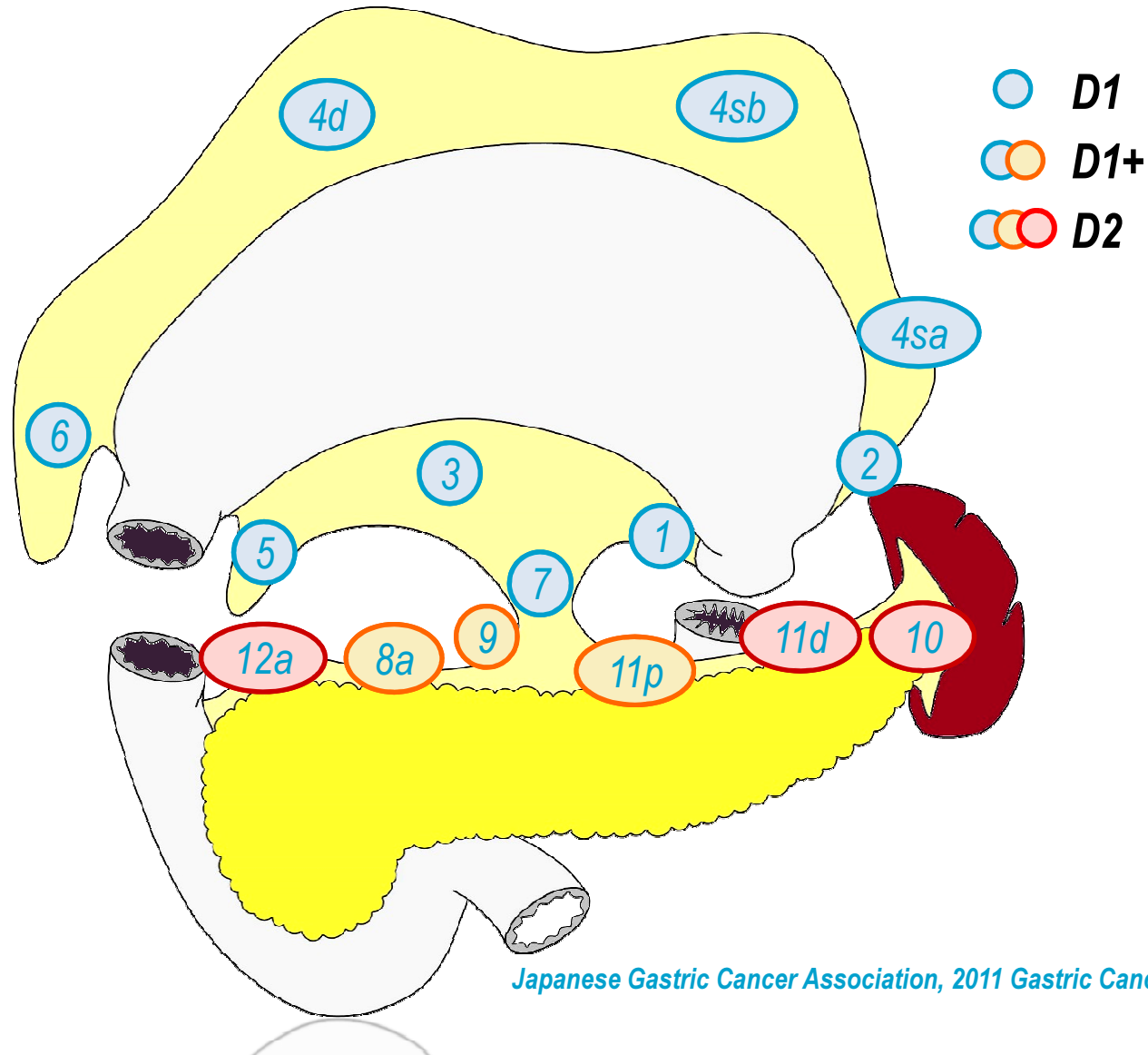
When the proximal distance from the cardia is less than the required length, total gastrectomy is indicated



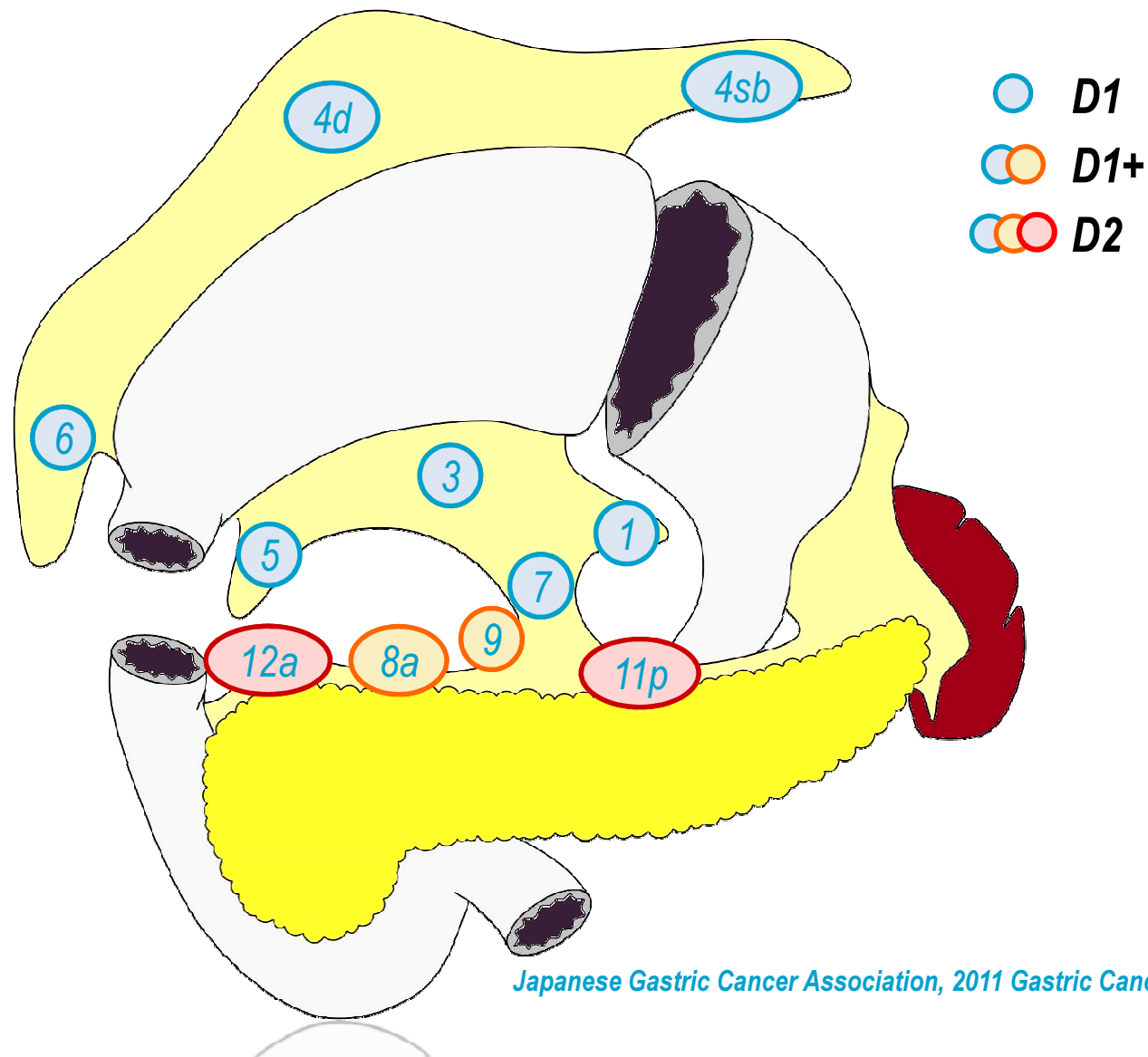
Total gastrectomy is always indicated in diffuse carcinoma (Borrmann type 4) regardless of its size



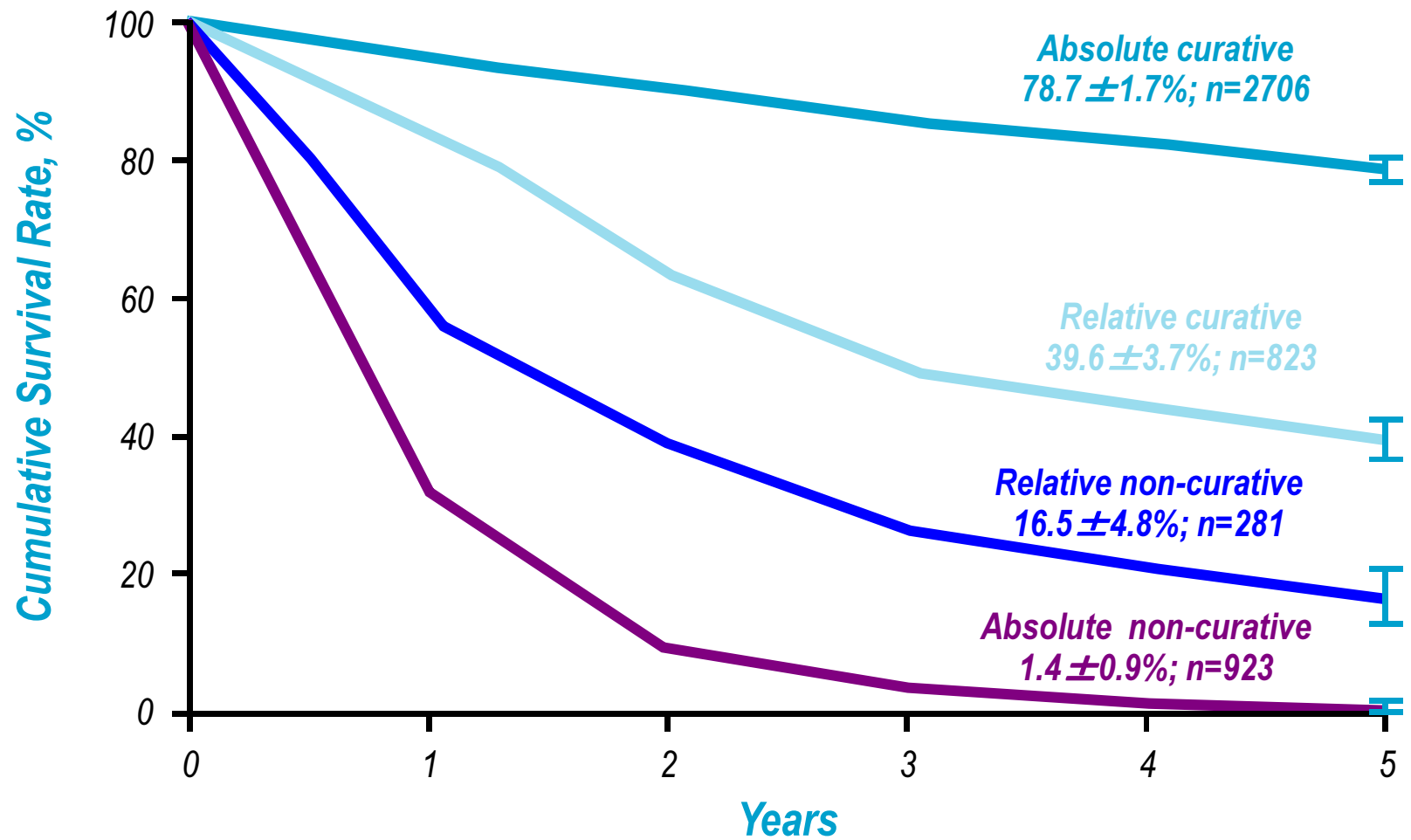
# Total Gastrectomy and Lymph Node Dissection



# Distal Gastrectomy and Lymph Node Dissection



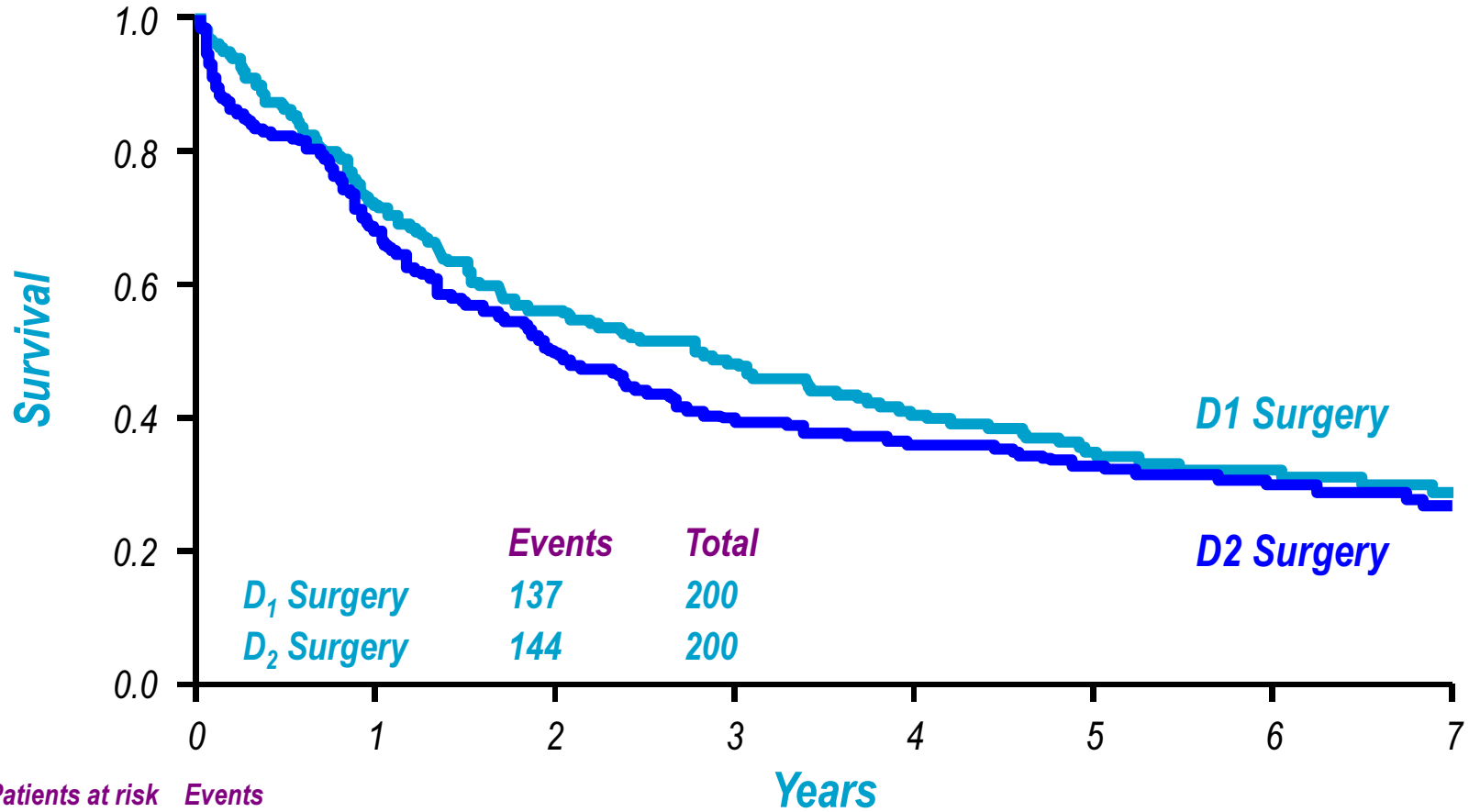
# Japanese Rules *End Results of Surgical Resection*



Maruyama 1981. *Jpn J Surg* 11: 127-45

# Medical Research Council

## D1 vs D2



<b>Patients at risk</b>	<b>Events</b>														
	0	1	2	3	4	5	6	7							
<i>D<sub>1</sub> Surgery</i>	200	(58)	142	(30)	108	(15)	87	(13)	66	(8)	48	(3)	35	(3)	27
<i>D<sub>2</sub> Surgery</i>	200	(68)	132	(34)	97	(19)	76	(6)	65	(5)	54	(4)	36	(3)	26

Cuschieri A, et al. Br J Cancer. 1999;79(9-10):1522-1530.

## Dutch Gastric Cancer Trial Results

<b>N = 711</b>	<b>D<sub>1</sub></b>	<b>D<sub>2</sub></b>	<b>P value</b>
Morbidity, %	25	43	<0.001
Mortality, %	4	10	0.004
5-year survival, %	45	47	NS
11-year survival, %	30	35	NS
15-year survival, %	21	29	NS
<b>Gastric Cancer Deaths</b>	<b>48</b>	<b>37</b>	<b>0.01</b>

*NS, not significant.*

*Songun I, et al. Lancet Oncol. 2010;11(5):439-449.*

# Italian Gastric Cancer Study Group D1 vs D2 trial

	D1	D2
Operative Mortality	3.0%	2.2%
5 year Survival	66.5%	64.2%
pT1 (p=0.015)	98%	83%
pT2-4 N+ (p=0.055)	38%	59%



## Guidelines

# Guidelines for the management of oesophageal and gastric cancer

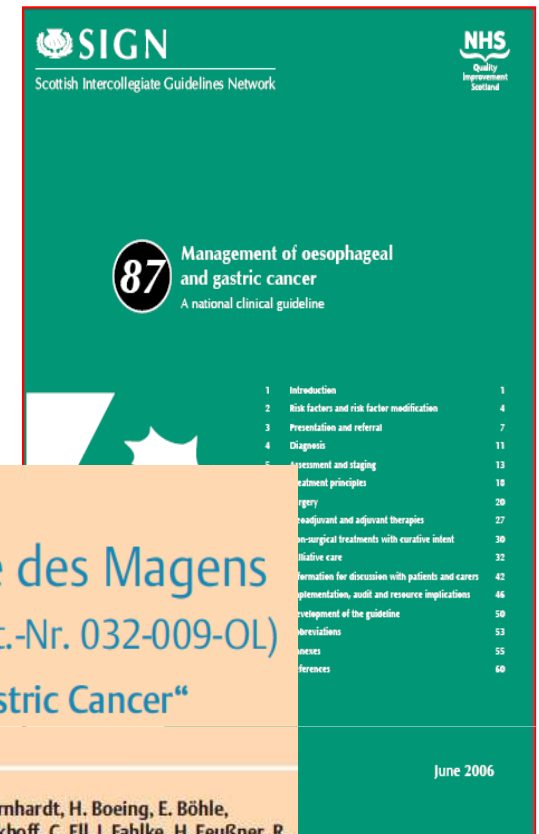
William H Allum<sup>1</sup>, Jane M Blazeby<sup>2</sup>, S Michael Griffin<sup>3</sup>, David Cunningham<sup>4</sup>,  
Janusz A Jankowski<sup>5</sup>, Rachel Wong<sup>4</sup> On behalf of the Association of Upper Gastrointestinal  
Surgeons of Great Britain and Ireland, the British Society of Gastroenterology and the British  
Association of Surgical Oncology

## S3-Leitlinie „Magenkarzinom“ – Diagnostik und Therapie der Adenokarzinome des Magens und ösophagogastralen Übergangs (AWMF-Regist.-Nr. 032-009-OL) German S3-Guideline „Diagnosis and Treatment of Esophagogastric Cancer“

**Authors** M. Moehler, S.-E. Al-Batran, T. Andus, M. Anthuber, J. Arends, D. Arnold, D. Aust, P. Baier, G. Baretton, J. Bernhardt, H. Boeing, E. Böhle, C. Bokemeyer, J. Bornschein, W. Budach, E. Burmester, K. Caca, W. A. Diemer, C. F. Dietrich, M. Ebert, A. Eickhoff, C. Ell, J. Fahlke, H. Feußner, R. Fietkau, W. Fischbach, W. Fleig, M. Flentje, H. E. Gabbert, P. R. Galle, M. Geissler, I. Gockel, U. Graeven, L. Grenacher, S. Groß, J. T. Hartmann, M. Heike, V. Heinemann, B. Herbst, T. Herrmann, S. Höcht, R. D. Hofheinz, H. Höfler, T. Höhler, A. H. Hölscher, M. Horneber, J. Hübner, J. R. Izbicki, R. Jakobs, C. Janssen, S. Kanzler, M. Keller, R. Kiesslich, G. Klautke, I. Körber, B. I. Krause, C. Kuhn, F. Kullmann, H. Land, H. Link, F. Lordick, M. Lorenzen, M. P. Borschen, M. H. Berger, M. B. Seufferlein, M. G. Gelsang, D. Wagner,

## Highlights of the EORTC St. Gallen International Expert Consensus on the primary therapy of gastric, gastroesophageal and oesophageal cancer – Differential treatment strategies for subtypes of early gastroesophageal cancer

Manfred P. Lutz<sup>a,\*</sup>, John R. Zalberg<sup>b</sup>, Michel Ducreux<sup>c</sup>, Jaffer A. Ajani<sup>d</sup>,  
William Allum<sup>e</sup>, Daniela Aust<sup>f</sup>, Yung-Jue Bang<sup>g</sup>, Stefano Cascinu<sup>h</sup>, Arnulf Hölscher<sup>i</sup>,  
Janusz Jankowski<sup>j</sup>, Edwin P.M. Jansen<sup>k</sup>, Ralf Kiesslich<sup>l</sup>, Florian Lordick<sup>m</sup>,  
Christophe Mariette<sup>n</sup>, Markus Moehler<sup>l</sup>, Tsuneo Oyama<sup>o</sup>, Arnaud Roth<sup>p</sup>,  
Josef Rueschoff<sup>q</sup>, Thomas Ruhstaller<sup>r</sup>, Raquel Seruca<sup>s</sup>, Michael Stahl<sup>t</sup>,  
Florian Sterzing<sup>u</sup>, Eric van Cutsem<sup>v</sup>, Ate van der Gaast<sup>w</sup>, Jan van Lanschot<sup>x</sup>,  
Marc Ychou<sup>y</sup>, Florian Otto<sup>z</sup>



# European Guidelines Surgery

Guideline	Gastric Resection	Lymphadenectomy
SIGN	R0 (proximal, distal circumferential margins)	D2 ≥ 25 lymph nodes
	R0 (proximal, distal circumferential margins)	D2 > 25 lymph nodes
German S3	5cm intestinal 8cm diffuse	> 16 nodes for TNM
	R0	No pancreatectomy/splenectomy D2 for stage II & III – if fit
UK		> 15 nodes for TNM
St Gallen	cT1 diffuse – resect	D2 – without pancreatectomy or splenectomy
	R0	

*SIGN, Scottish Intercollegiate Guidelines Network; TNM, tumour node metastases..*

*Allum W et al Gut 2011; 60:1449-72; Lutz MP, et al. Eur J Cancer. 2012;48(16):2941-2953; Moehler M, et al.*

*Z Gastroenterol. 2011;49(4):461-531; Scottish Intercollegiate Guidelines Network. Management of oesophageal and gastric cancer: a national clinical guideline. <http://www.sign.ac.uk/pdf/sign87.pdf>. Published June 2006. Accessed September 9, 2013.*



# JCOG 9502

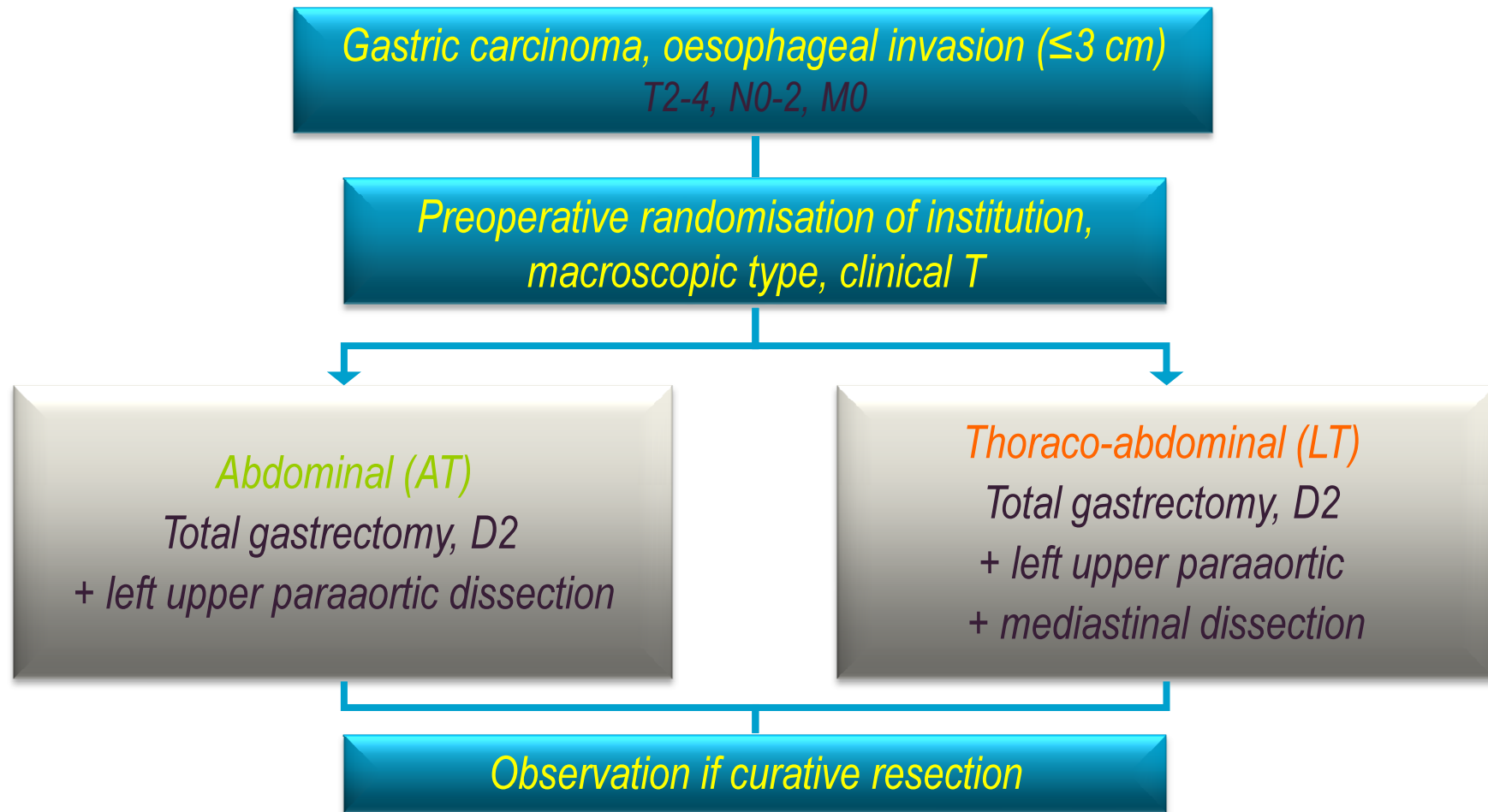
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Randomized trial in Siewert type II and III cancers

Left thoraco-abdominal approach versus abdominal  
transhiatal approach



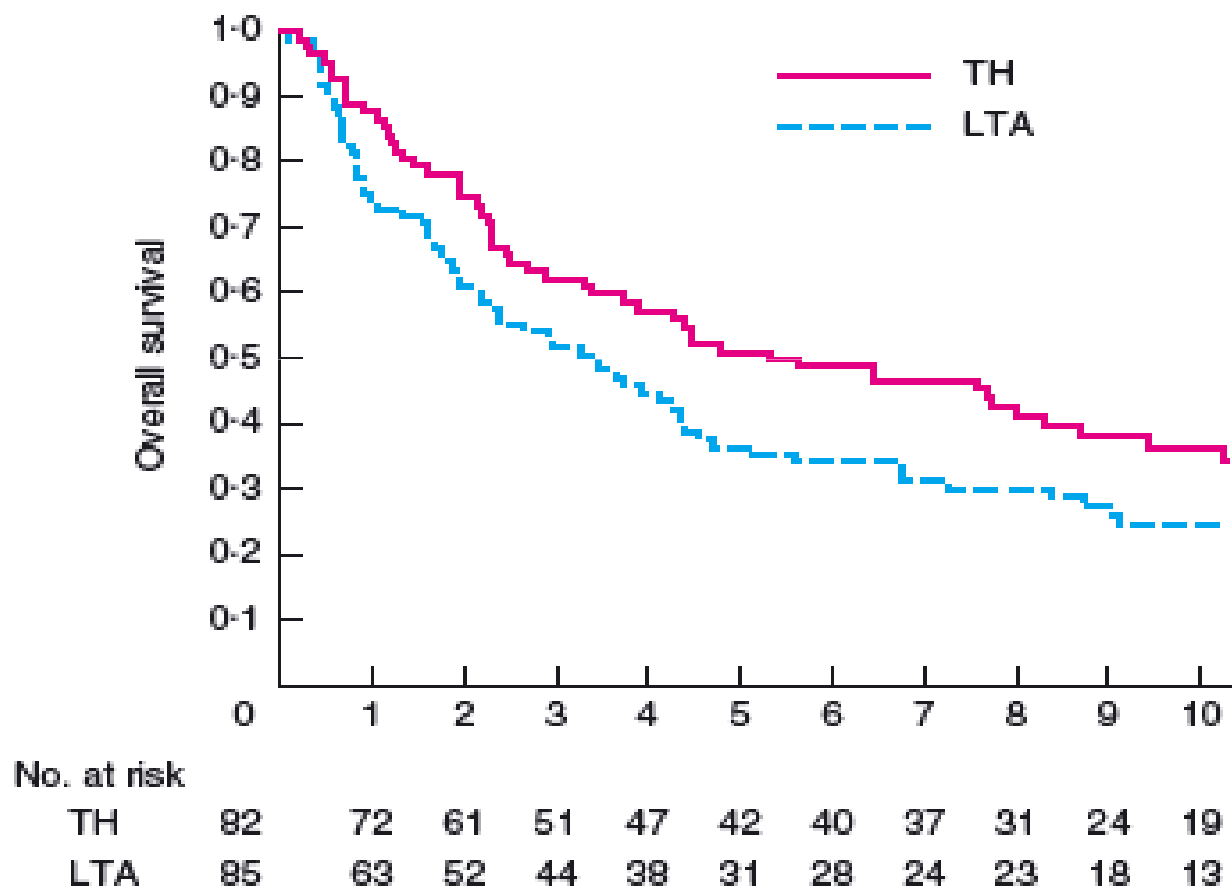
# JCOG 9502 Scheme



AT, abdominal transhiatal; LT, left thoraco-abdominal.  
Sasako et al. *Lancet Oncol.* 2006;7(8):644-651.

# JCOG 9502

## Overall Survival



Kurokawa et al Br J Surg 2015 102:341-348.

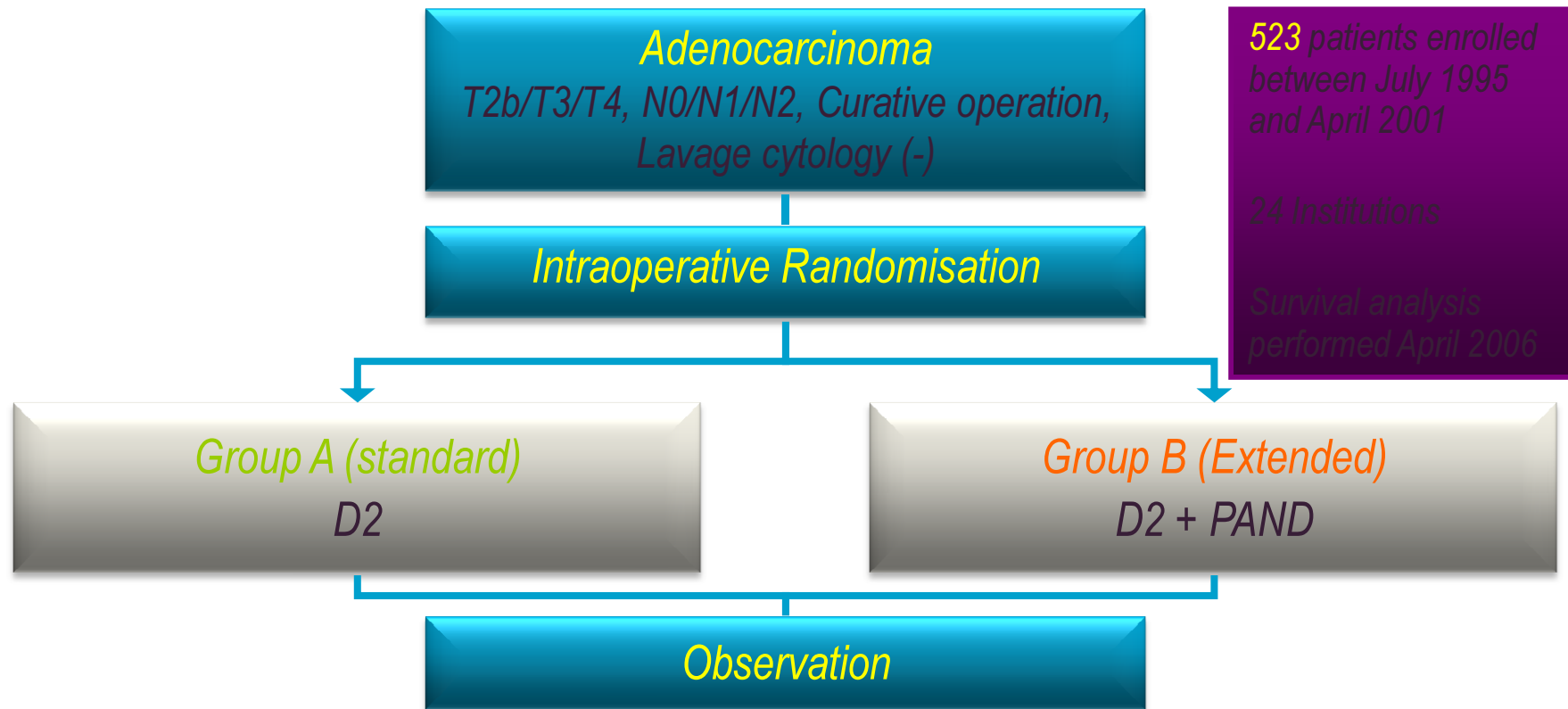
# JCOG 9501

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## D2 lymphadenectomy alone or with para-aortic nodal dissection for gastric cancer



# JCOG 9501 Scheme



523 patients enrolled  
between July 1995  
and April 2001

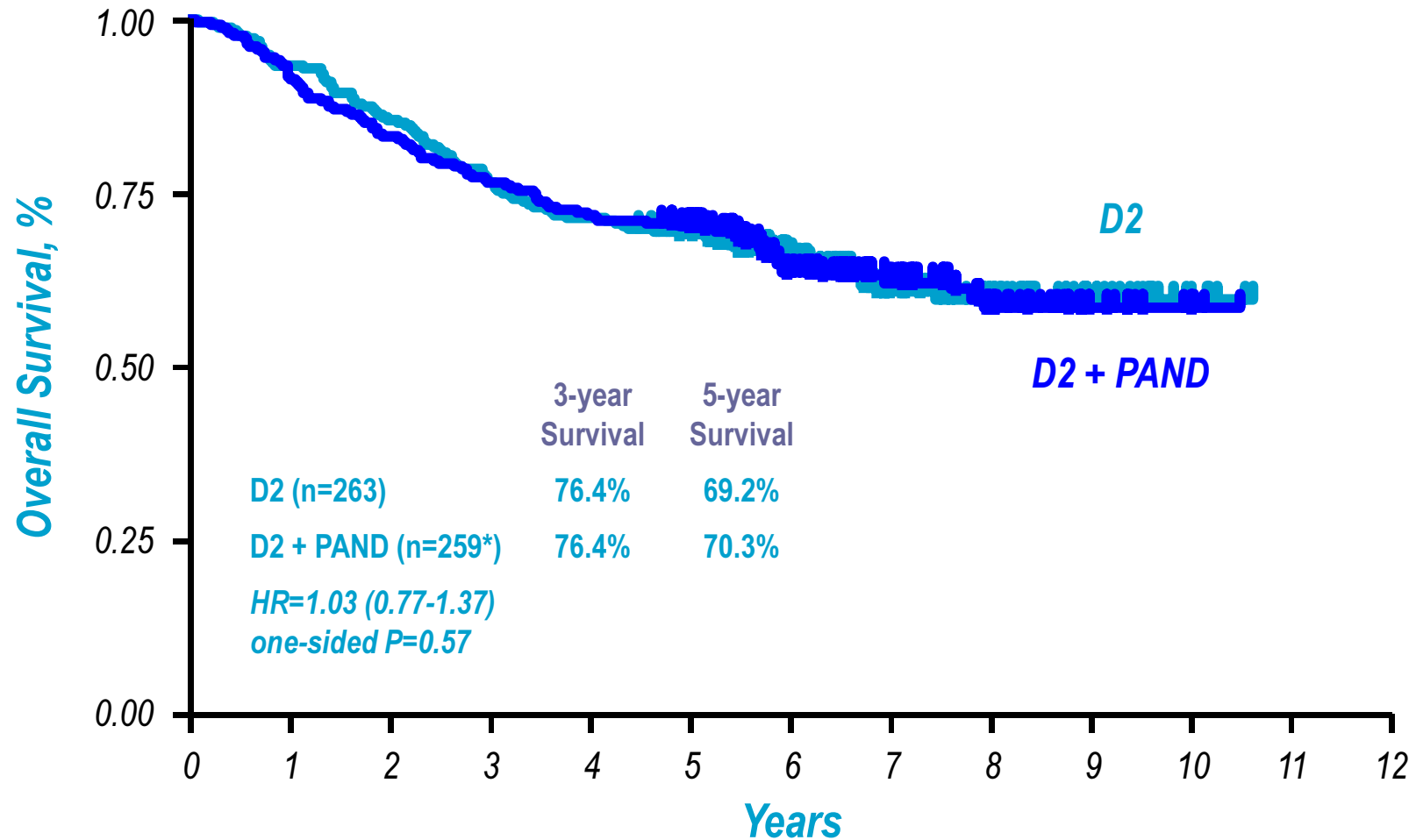
24 Institutions

Survival analysis  
performed April 2006

- Endpoints**
1. Overall survival
  2. Recurrence-free survival, morbidity/mortality

# JCOG 9501

## Overall Survival

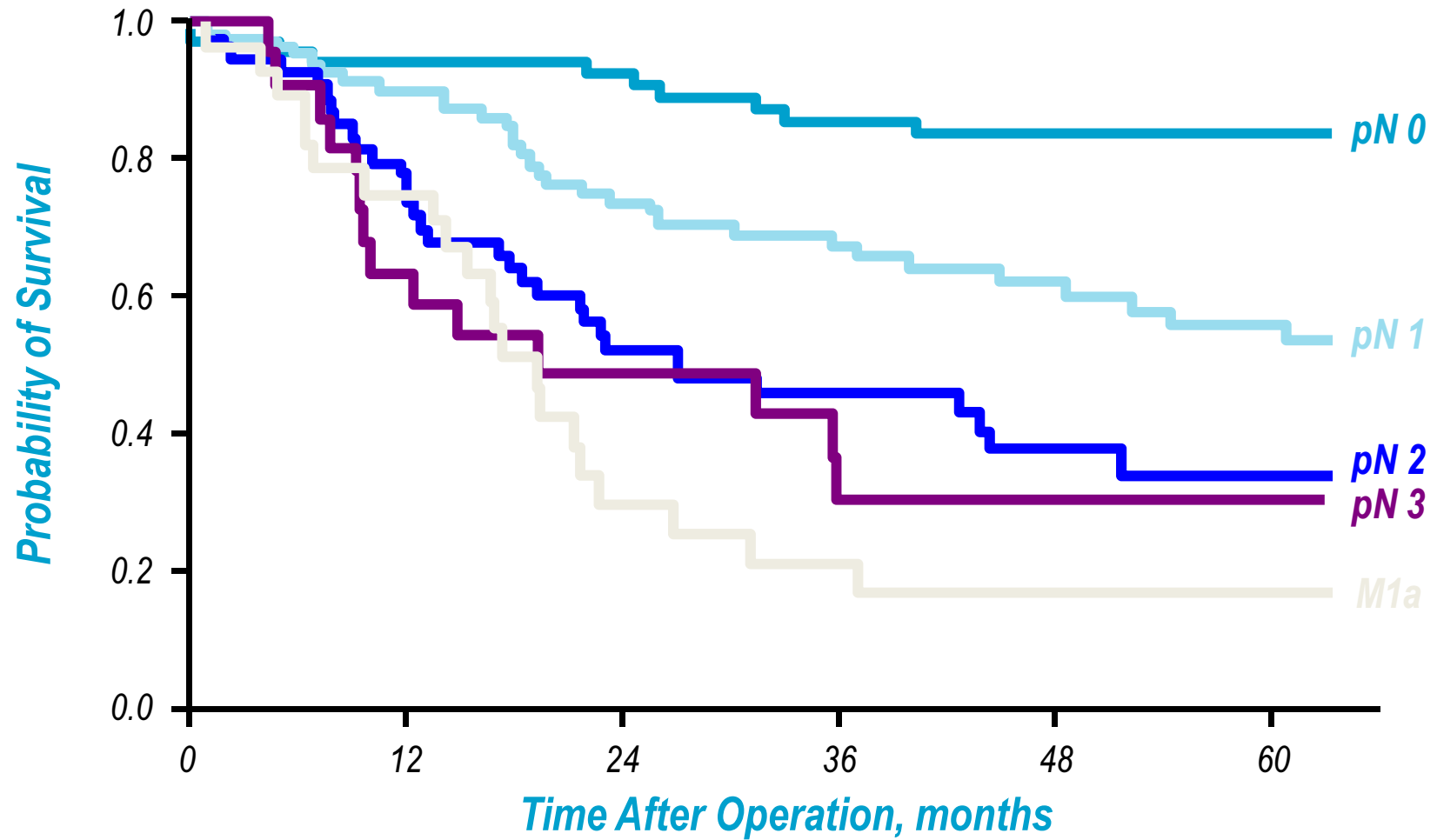


HR, hazard ratio.

\*One case was ineligible because of changed histologic diagnosis.

Sasako M, et al. *N Engl J Med.* 2008;359(5):453-462.

# Extended Lymphadenectomy



# Extended Lymphadenectomy

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T3/4 cancers

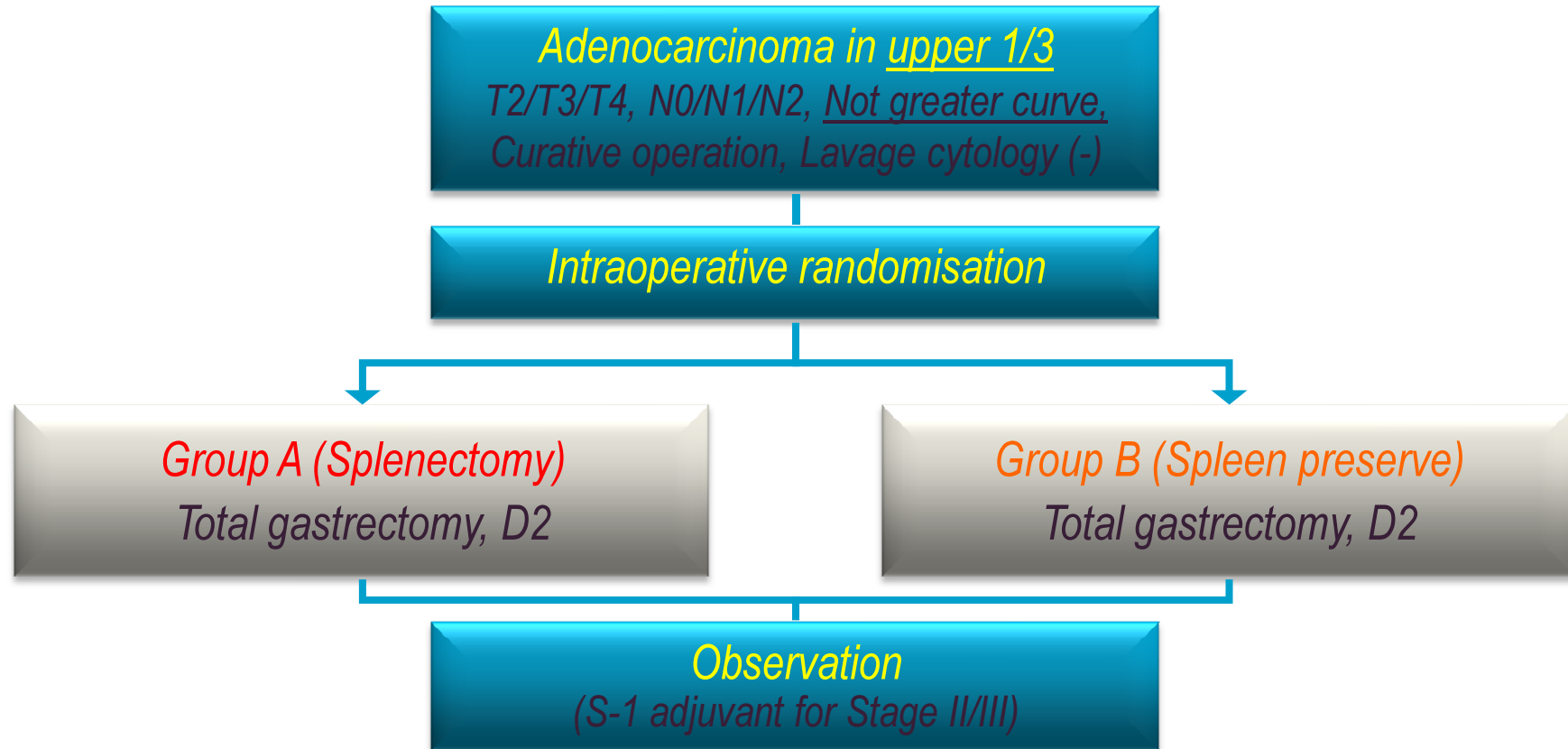
Mixed or diffuse histology

Upper third of the stomach





# JCOG 0110 “Splenoectomy or Not”



- Endpoints**
1. Overall survival
  2. Morbidity, operation time, blood loss

## JCOG 0110 “Splenectomy or Not”

---

505 patients

Similar operative mortality with or without splenectomy

Greater postoperative morbidity with splenectomy

Greater intraoperative blood loss with splenectomy

5 year survival

Splenectomy 75.1%

Splenic preservation 76.4%



# Minimally Invasive Surgery

---

Shorter inpatient stay

Less blood loss

Quicker return to GI function

? Anastomotic leak rates

Intraluminal bleeding



# Minimally Invasive Surgery Total Gastrectomy

Variables	Extent of LND		P value
	D1 + $\beta$ (n=103)	D2 (n=19)	
Operating time, mean, min $\pm$ SD	277 $\pm$ 86	350 $\pm$ 76	0.001
EBL, mean, mL $\pm$ SD	231 $\pm$ 190	350 $\pm$ 250	0.019
Harvested lymph nodes, mean, n $\pm$ SD	42 $\pm$ 16	44 $\pm$ 16	0.484
Morbidity, n %	19 (18.4)	10 (52.6)	0.003
Mortality, n %	0	2 (10.5)	<0.001
Hospital stay, mean, d $\pm$ SD	10.8 $\pm$ 9.1	17.1 $\pm$ 20.8	0.032

*EBL, estimated blood loss; LND, lymph node dissection; SD, standard deviation.  
Jeong O, et al. J Am Coll Surg. 2013;216(2):184-191.*

# Minimally Invasive Surgery

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Early gastric cancer

Distal Gastrectomy

KLASS Trial

Comparison of laparoscopic vs open gastrectomy for gastric cancer: a prospective randomized trial

JCOG 0912

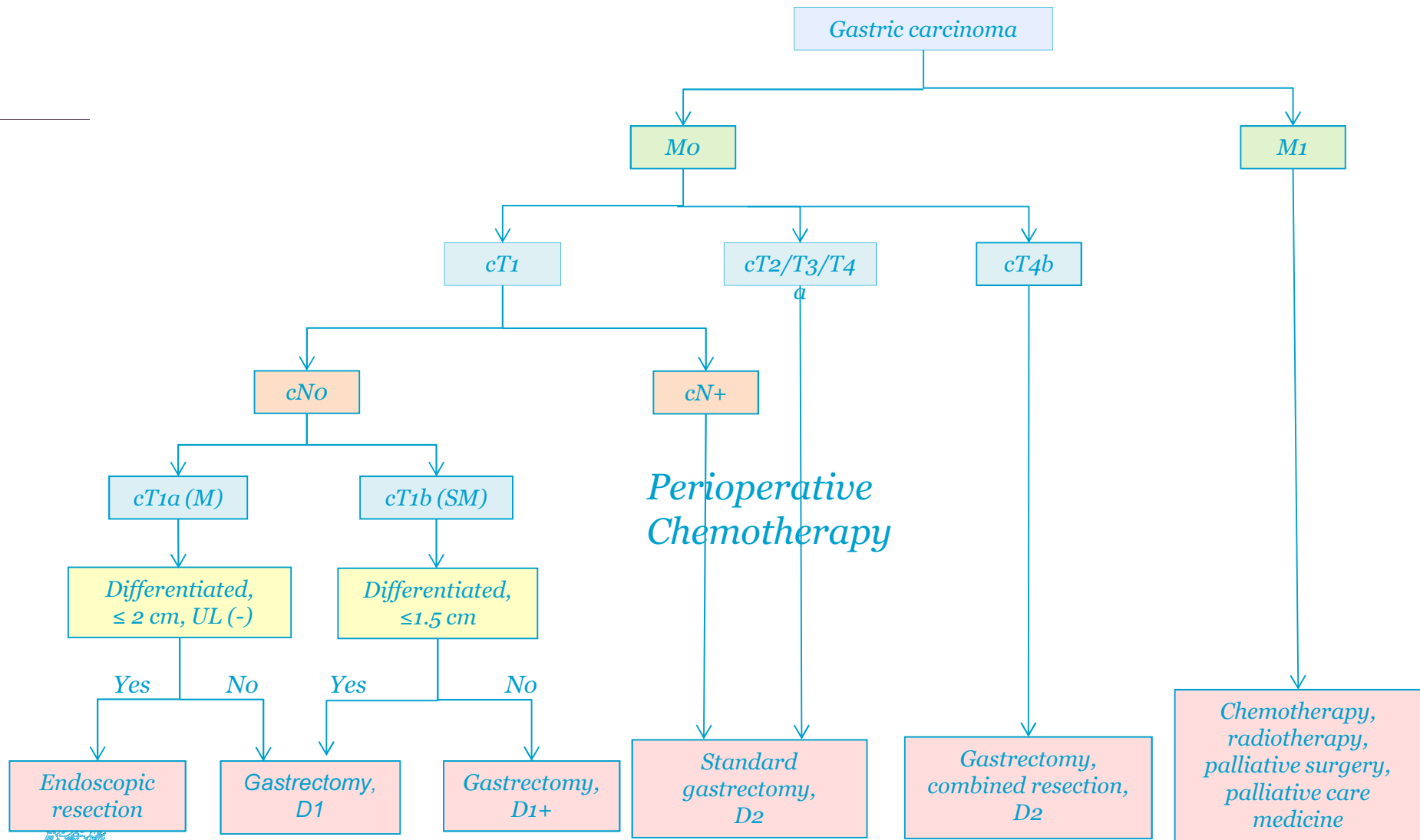
Phase III study of laparoscopy-assisted vs open distal gastrectomy with nodal dissection for clinical stage IA/IB gastric cancer: a multicenter study



**KLASS, Korea Laparoscopic Gastrointestinal Surgery Study Group.**

**Kim HH, et al. *Ann Surg.* 2010;251(3):417-420; Nakamura K, et al. *Jpn J Clin Oncol.* 2013;43(3):324-327.**

# Algorithm of Standard Treatment



# *Surgery*

	CSC		S	
	N	%	N	%
<b>Proceeded to surgery</b>	219	88%	240	95%
<b>Resection outcome:</b>		-		-
‘Curative’ operation	169		166	
Palliative operation	44	-	70	-
Laparotomy but no resection	1	-	0	-
Resection performed but outcome unknown	5	-	4	-
<b>Never had surgery</b>	15	6%	6	2%
<b>Incomplete surgical data</b>	16	6%	4	2%
<b>Median time to surgery</b>	99 days		14 days	

*In patients who proceeded to resection with known outcome, a significantly higher proportion of patients in the CSC arm (79% vs 70%;  $p=0.029$ ,  $\chi^2$  test) had resections which were deemed curative by the surgeon.*

# *Postoperative morbidity/mortality*

	<b>CSC</b>	<b>S</b>
<b>Postoperative deaths</b>	6% (14/219)	6% (15/240)
<b>Postoperative complications</b>	46%	46%
<b>Median duration of post-operative hospital stay</b>	13 days	13 days



# *Pathology staging following surgery*

	<b>CSC</b>	<b>S</b>	<b>p-value</b>
<b>Maximum tumour diameter</b>			
Median (IQR)	3.cm (2.0-5.0)	5.0cm (3.5-7.5)	<0.001, Mann-Whitney U test
<b>Extent of tumour (gastric only)</b>			
T1/T2	52%	38%	0.009, $\chi^2$ test (trend)
T3/T4	48%	62%	
<b>Nodal status (gastric only)</b>			
N0/N1	84%	76%	0.01, $\chi^2$ test (trend)
N2/N3	16%	29%	

# The EURECCA Project Upper GI

**Survey of variations of curative treatment of  
oesophageal and gastric cancer among 5  
european countries**



# Gastric Cancer Neoadjuvant - Results

	Treated	Control	Treated	Control
MAGIC	79%	70%	T1/2: 52% No/1: 84%	T1/2: 38% No/1: 76%
FFCD 9703	87%	74%	T1/2: 39% No: 33%	T1/2: 32% No: 20%
EORTC 40954	82%	67%	T1/2: 66% No: 38.6%	T1/2: 50% No: 19%



## NUMBER OF CASES TREATED RADICALLY

---

	Oesophagus Oesophago-Gastric Junction	Stomach
Netherlands	697	465
France	348	266
Spain	207	456
UK	1219	747
Ireland	196	68



# NEOADJUVANT CHEMOTHERAPY

---

	Oesophagus	Oesophago-Gastric Junction	Stomach
Netherlands	6%	27%	51%
France	38%	24%	34%
Spain	8%	18%	22%
UK	47%	59%	29%
Ireland	5%	30%	38%



## SURGERY STOMACH

	Proximal Gastrectomy	Total Gastrectomy	Distal Gastrectomy	Laparotomy only
Netherlands		33%	54%	12%
France	23%	49%	28%	
Spain	1%	38%	61%	
UK	3%	39%	44%	5%
Ireland		42%	57%	1%



*Thank you for your attention*



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The Royal Marsden

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The Royal Marsden

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# Upper GI: technical and clinical challenges for RO

## **State of art of radiation therapy in a combined treatment perspective**

# State of art of radiation therapy in Gastric Cancer

- ✓ **Background and assumptions**
- ✓ **Post-operative Chemoradiation**
- ✓ **Pre-operative Chemoradiation**
- ✓ **Intra-operative RT**

✓ Background and assumptions: **the challenge**

## Sites of Recurrence

Only Failure	Any Component
--------------	---------------

23%	69%
-----	-----

21%	42%
-----	-----

5%	23%
----	-----

69%	42%
-----	-----

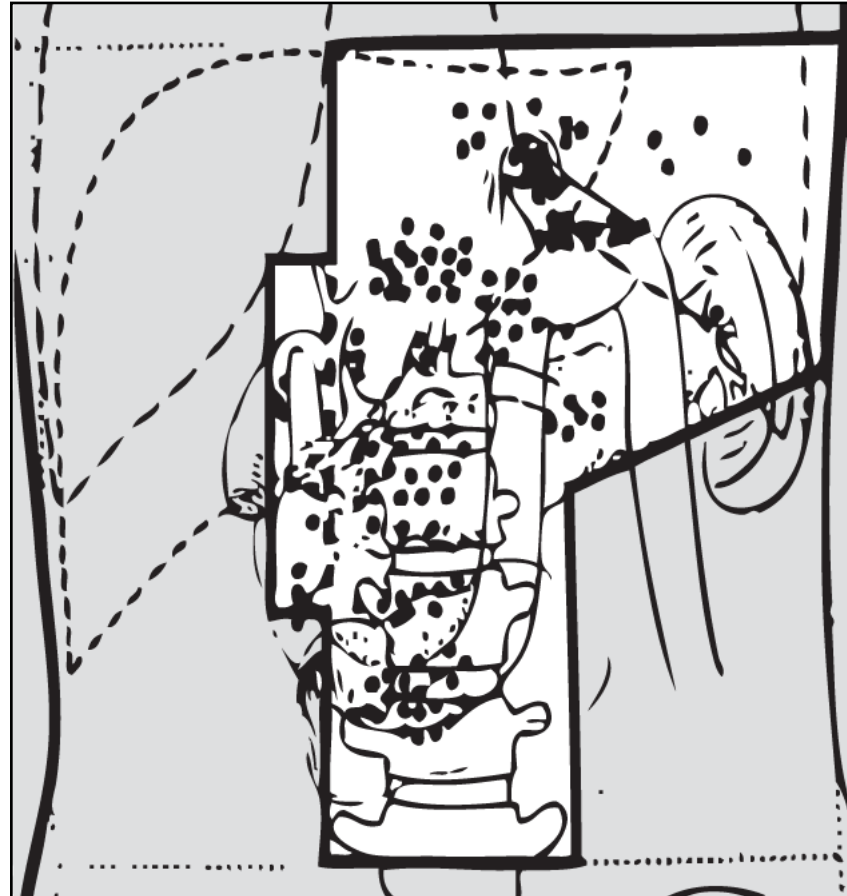
42%	23%
-----	-----

23%	
-----	--



✓ Background and assumptions: **the challenge**

## Target volume based on second look



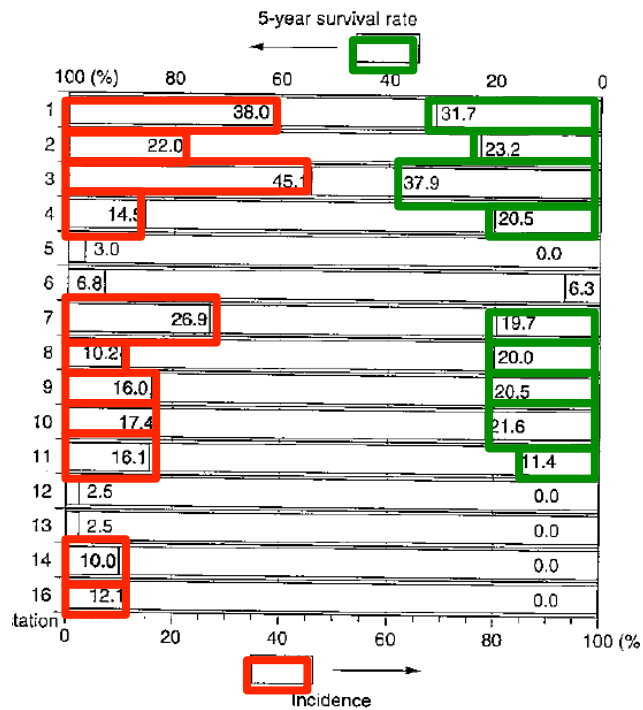
# ✓ Background and assumptions: **the challenge**

Author	Year	Pts	Relapse (%)	Single Site (%)	Multiple Sites (%)	Locoregional Relapse (%) Remnant Stomach Duodenal Stump Regional Nodes	Sistemic Relapse (%)		
							Peritoneal	Hematogenous	Lymphatic
<b>Yoo</b> Median F-up 68 months	2000	2328	45.7	83.7	16.3	19.3	33.9	26.2	4.3
<b>Maehara</b> Median F-up 24.3 months	2000	939	62.8	74.6	24.4	17.5	34.0	44.3	4.1
<b>Cordiano</b> Median F-up 42 months	2002	412	50.2	93.2	27.0	18.5	30.5	30.9	-
<b>Ohno</b> Median F-up 17.2 months	2003	709	18.5	79.2		5.8	44.2	30.8	19.2
<b>Wu</b> Median F-up 76.8 months	2003	631	40.1	50.2	49.8	26.0	38.2	26.8	8.9

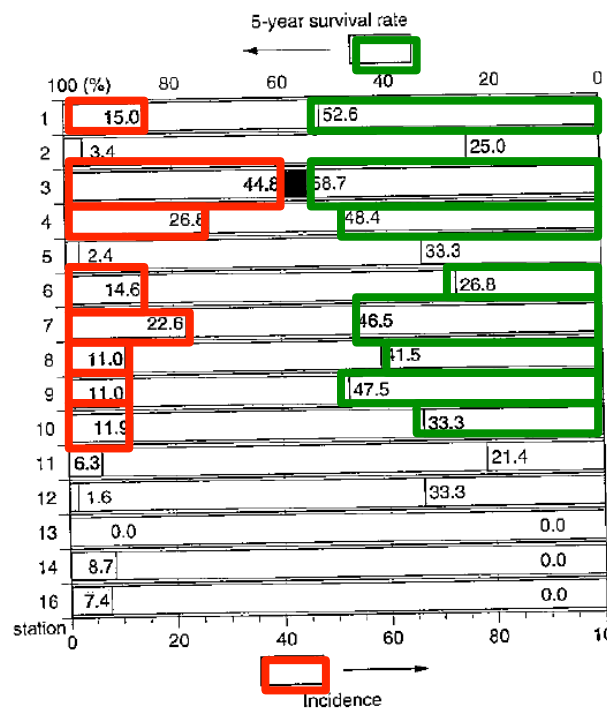
*Average*  
**22.3%**

# ✓ Background and assumptions: **the challenge**

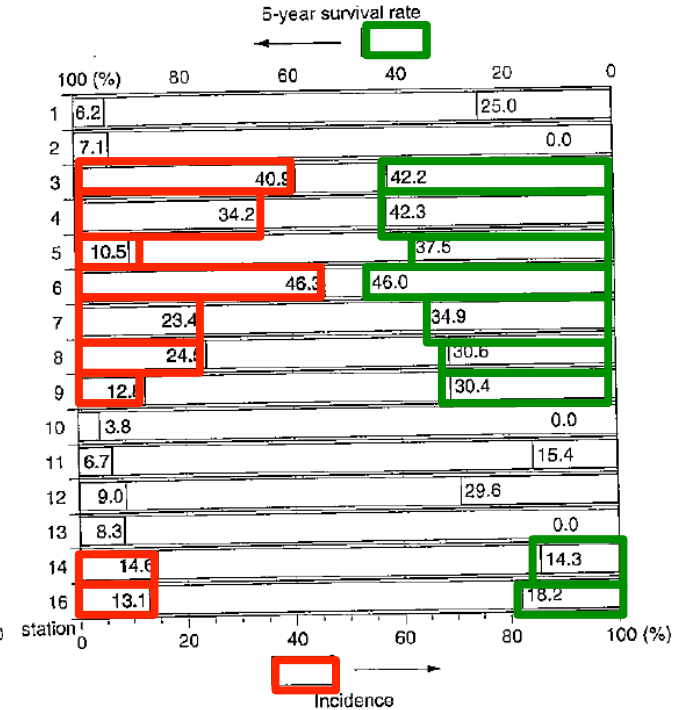
## 4683 patients



proximal

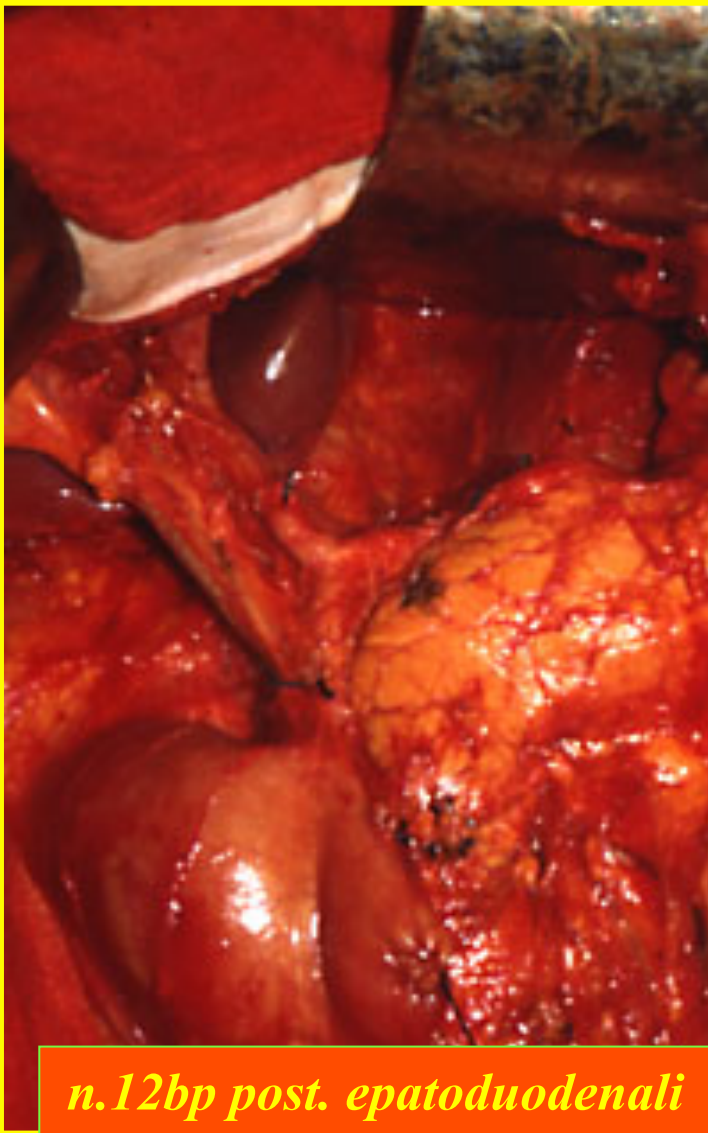


middle



distal

# CANCRO GASTRICO T2-T3 *Chirurgia estesa (D3)*



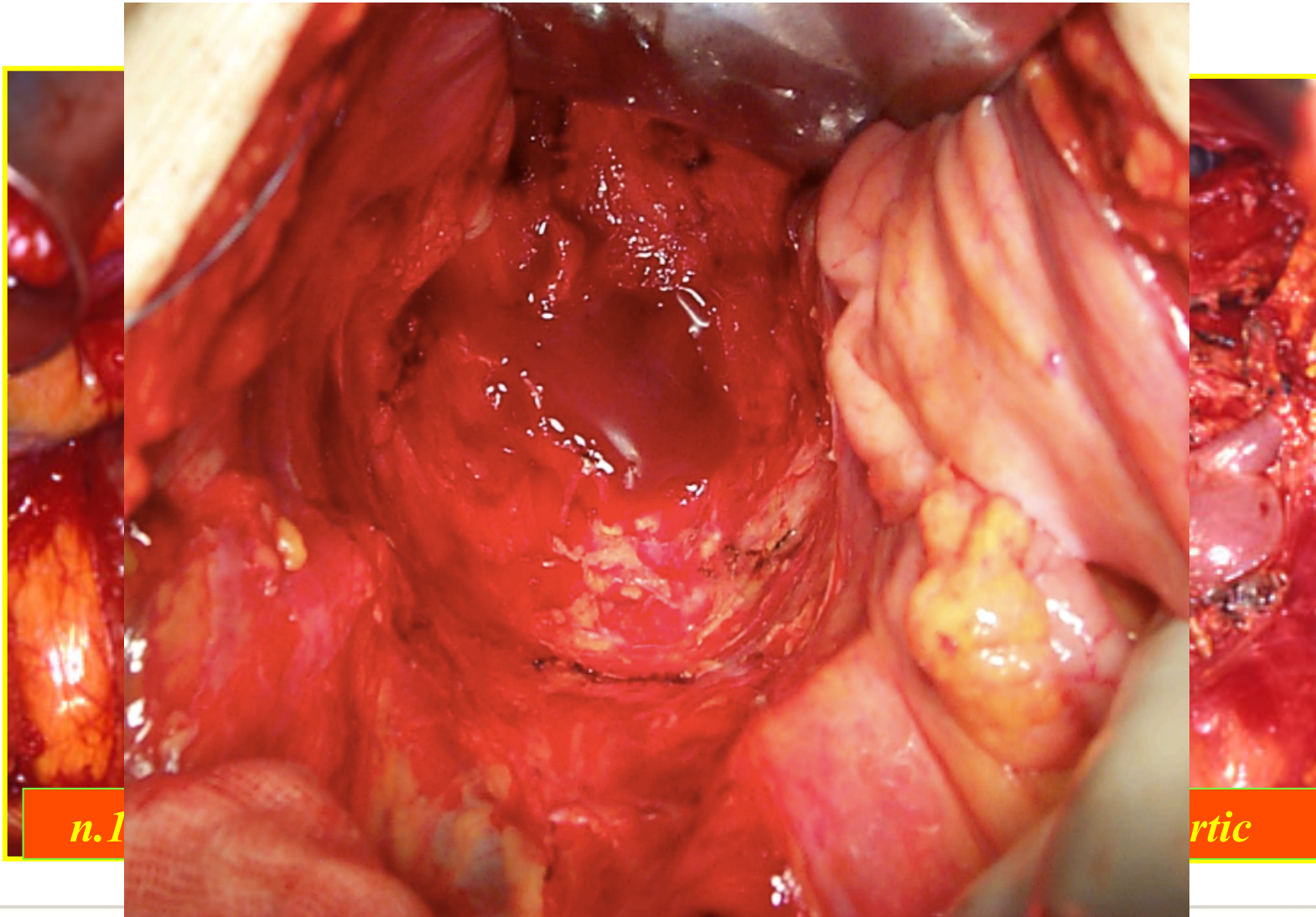
*n.12bp post. epatoduodenali  
III livello (sempre)*



*n.16a2,b1 paraortici medi  
III livello (sempre)*



✓ Background and assumptions: **the challenge**



By the courtesy of F.Pacelli, UCSC, Rome

✓ Background and assumptions: **the challenge**

Percentage Surviving

100  
80  
60  
40

1.0  
.9  
.8

5yrOS  
CRT (+): 57.1 %  
CRT (-): 51.0%

Local control favours survival  
Local control can be ameliorated

N.Pat.

at. 990

*INT-0116*

***D2 = 10%***

*Korean study*

***D2 = 87%***

Macdonald JS et al – NEJM -2001

Kim S, Macdonald JS et al – IJROBP – 2005

# ✓ Background and assumptions: **the challenge**

## *Cessation of chemioradiotherapy*

TABLE 2. REASONS FOR THE CESSATION OF CHEMORADIO THERAPY AMONG THE 281 PATIENTS IN THE CHEMORADIO THERAPY GROUP.

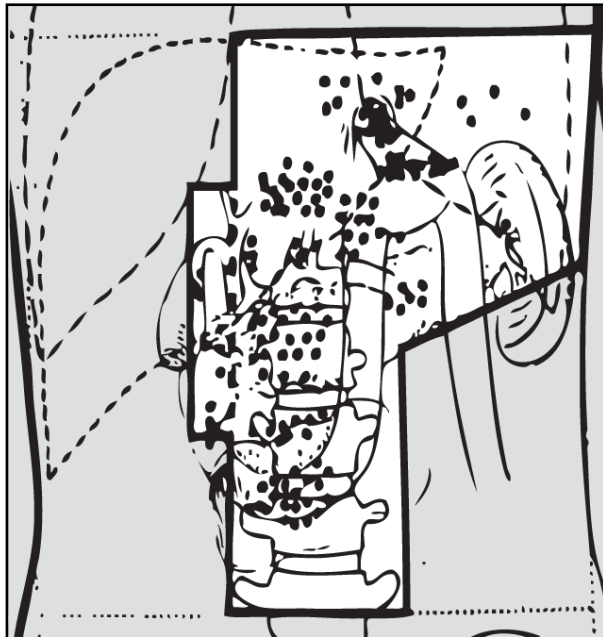
REASON FOR CESSATION	NO. OF PATIENTS (%)
Protocol treatment completed	181 (64)
Toxic effects	47 (17)
Patient declined further treatment	23 (8)
Progression of disease	13 (5)
Death	3 (1)
Other	12 (4)

## *Side effects (Grade 3-4 WHO)*

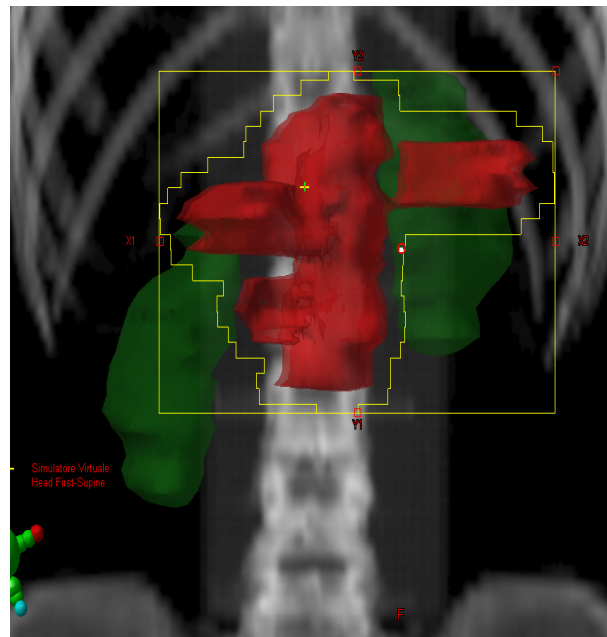
TABLE 3. MAJOR TOXIC EFFECTS OF CHEMORADIO THERAPY.\*

TYPE OF TOXIC EFFECT	NO. OF PATIENTS (%)
Hematologic	148 (54)
Gastrointestinal	89 (33)
Influenza-like	25 (9)
Infection	16 (6)
Neurologic	12 (4)
Cardiovascular	11 (4)
Pain	9 (3)
Metabolic	5 (2)
Hepatic	4 (1)
Lung-related	3 (1)
Death†	3 (1)

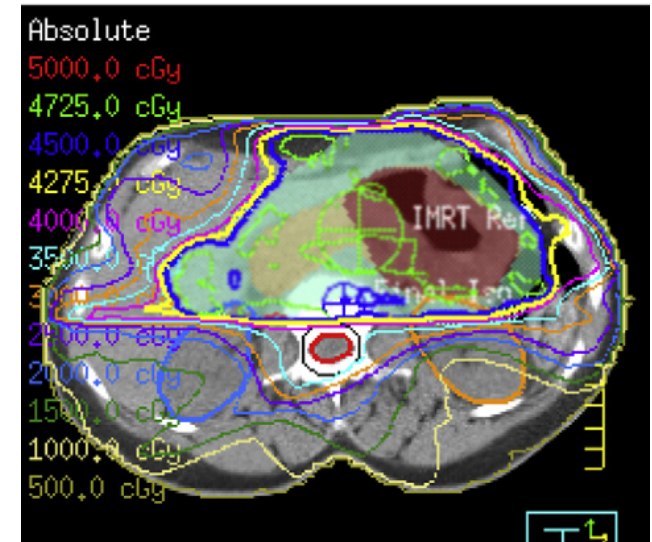
✓ Background and assumptions: **the challenge**



**2D**



**3D**



**IMRT**

✓ Background and assumptions: **the challenge**

*Postop CT vs IMRT RTCT  
After D2 for locally advanced*

**Selection criteria:**

- T3-T4 and/or N+ M0
- D2 lymphadenectomy

**Treatment arms:**

- **CT-RTCT (IMRT)-CT-CT**
- **CT arm = same regimen**

✓ Background and assumptions: **the challenge**

Macdonald

Korean

China

186 pts%

Modern radiotherapy  
favours less toxicity

R

G3

G4 Acute Tox

Macdonald JS et al – NEJM -2001

Kim S, Macdonald JS et al – IJROBP – 2005

Zhu W et al – Radioth Oncol - 2012

# State of art of radiation therapy in Gastric Cancer

## ✓ **Background and assumptions**

Local control favours survival

Local control can be ameliorated

Modern radiotherapy favours less toxicity

## ✓ **Post-operative Chemoradiation**

# ✓ Post-operative Chemoradiation

THE LANCET, OCTOBER 25, 1969

**COMBINED 5-FLUOROURACIL AND  
SUPERVOLTAGE RADIATION THERAPY  
OF LOCALLY UNRESECTABLE  
GASTROINTESTINAL CANCER**

CHARLES G. MOERTEL      DONALD S. CHILDS, JR.  
RICHARD J. REITEMEIER      MALCOLM Y. COLBY, JR.  
MARGARET A. HOLBROOK

*Section of Oncology, Mayo Clinic and Mayo Foundation,  
Rochester, Minnesota 55901*



# ✓ Post-operative Chemoradiation

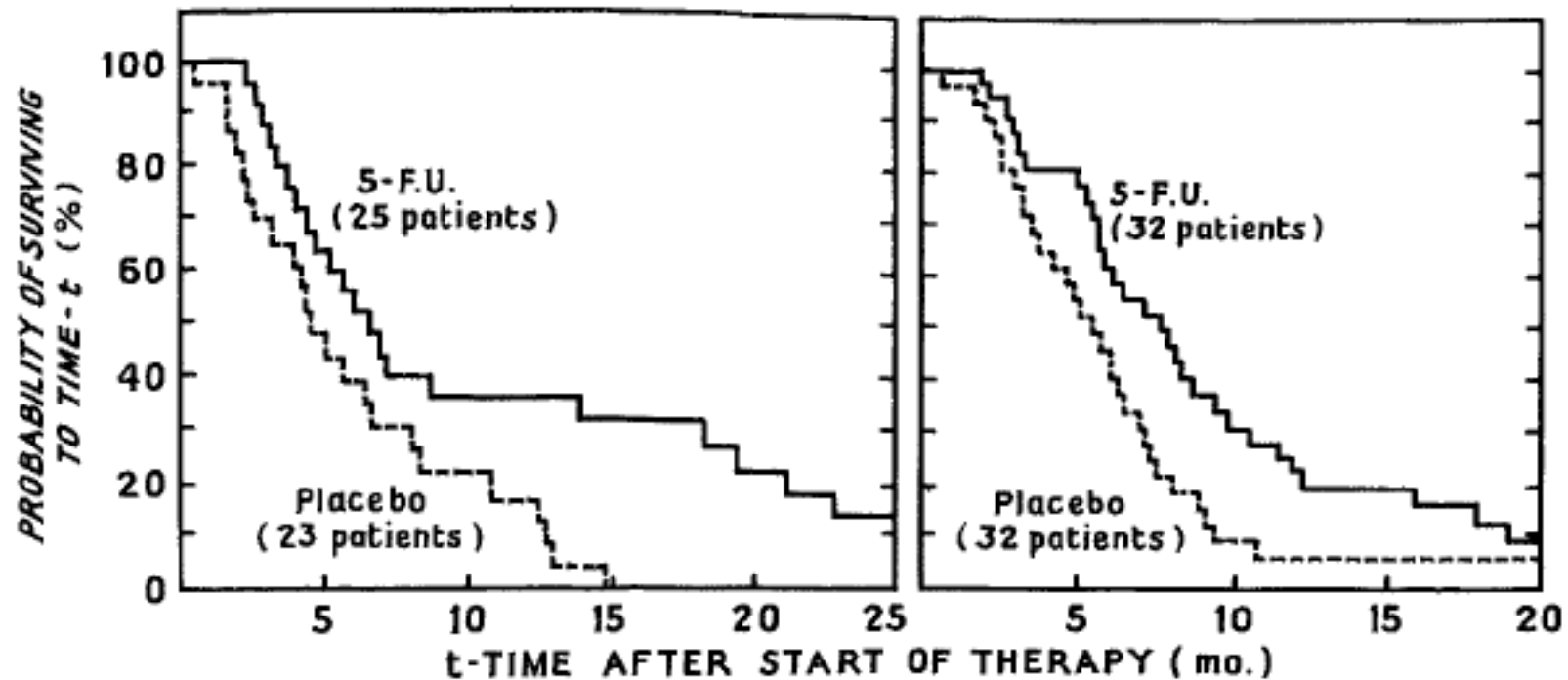


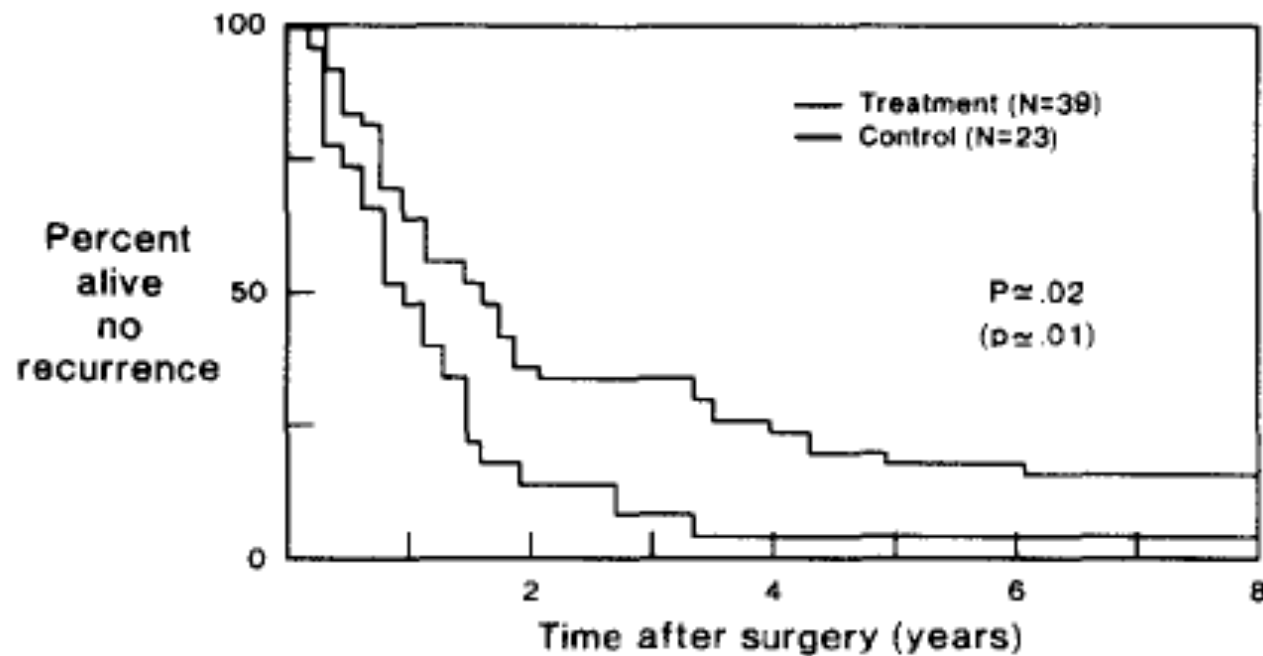
Fig. 1—Survival curves for patients with unresectable adenocarcinoma of stomach.

Fig. 2—Survival curves for patients with unresectable adenocarcinoma of pancreas.

RTCT (2D): 35-40 Gy (1.8-2.2 Gy fx) + 5Fu

# ✓ Post-operative Chemoradiation

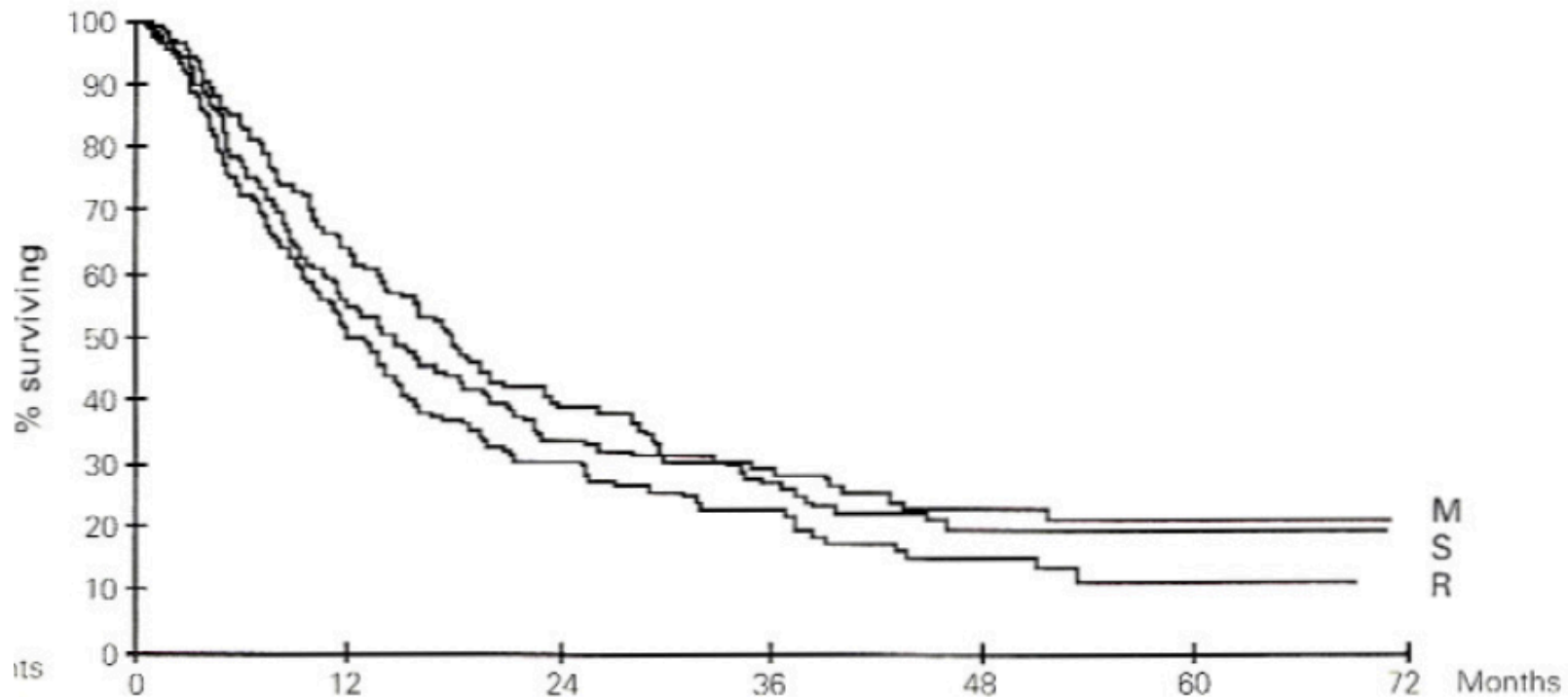
- Moertel et al – 1984                      Stage Resectable                      39 pts
- Moertel et al – 1984                      **SVV Benefit**



RTCT (2D): 37.5 Gy (1.5 Gy fx) + 5Fu

# ✓ Post-operative Chemoradiation

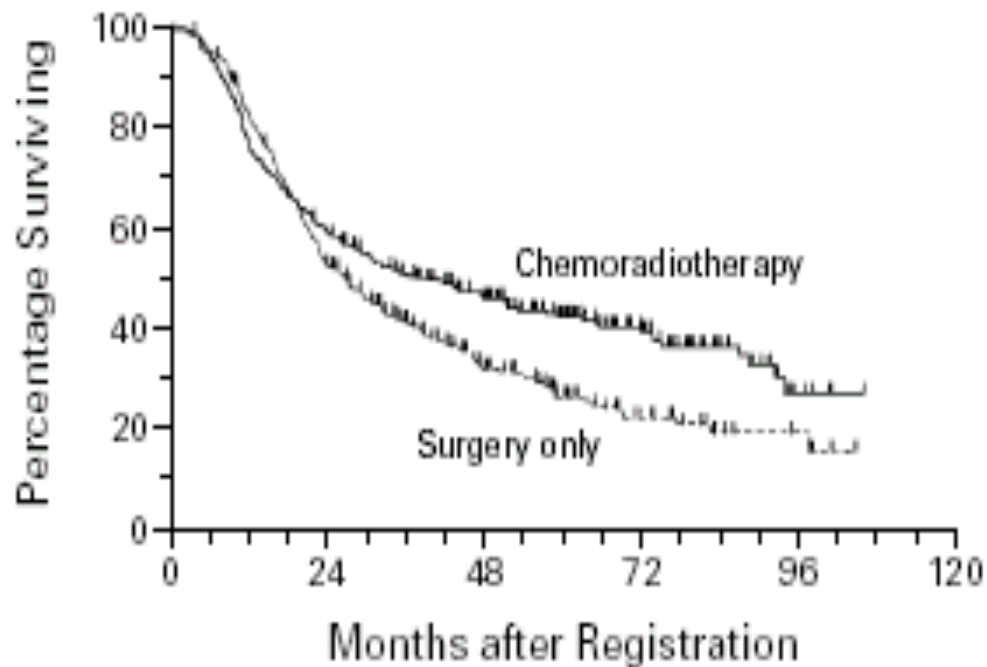
- Allum et al – 1989                      Stage Resectable                      436 pts
- Allum et al – 1989                      Surgery vs MAF vs RT
- Allum et al – 1989                      **NO SVV Benefit**



RT (2D): 45 + 5 Gy (2 Gy fx)

# ✓ Post-operative Chemoradiation

- Macdonald et al – 2001      Stage IB through IVMO, R0      556 pts
- Macdonald et al – 2001      Surg vs Surg + Ch / RTCH / 2Ch
- Macdonald et al – 2001      **SVV Benefit**



RT (2D): 45 Gy (1.8 Gy fx)

Macdonald *et al*; NEJM 2001  
Smalley *et al*: JCO 2011

# ✓ Post-operative Chemoradiation

- MacDonald et al – 2001      Stage IB through IVMO, R0      556 pts

## D2 Lymphnode dissection was recommended

**D0: 54%**

Incomplete resection of perigastric nodes

**D1: 36%**

Complete resection of perigastric nodes

**D2: 10%**

Extended resection of vascular nodes

**D0 vs D2**

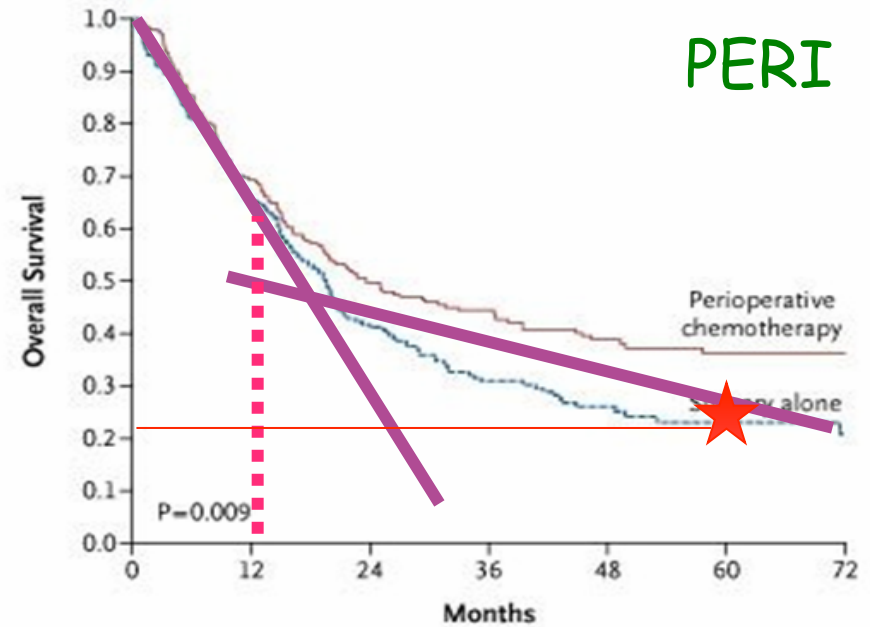
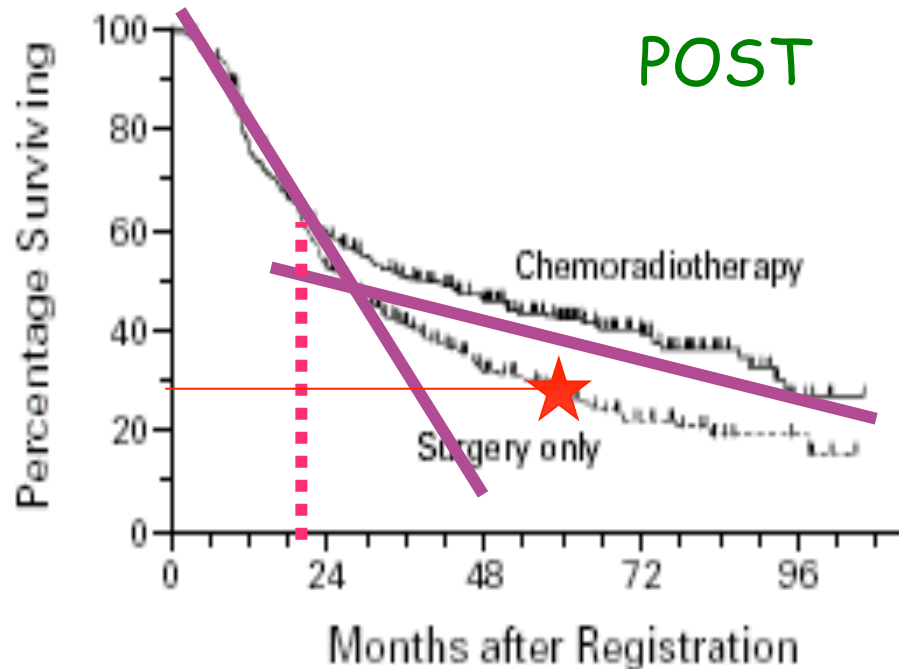
**No significant difference  
in survival by Cox  
multivariate analysis  
RTCHEM**

**All subgroups had a  
survival benefit**

# ✓ Post-operative Chemoradiation

## INT-0116

## Magic



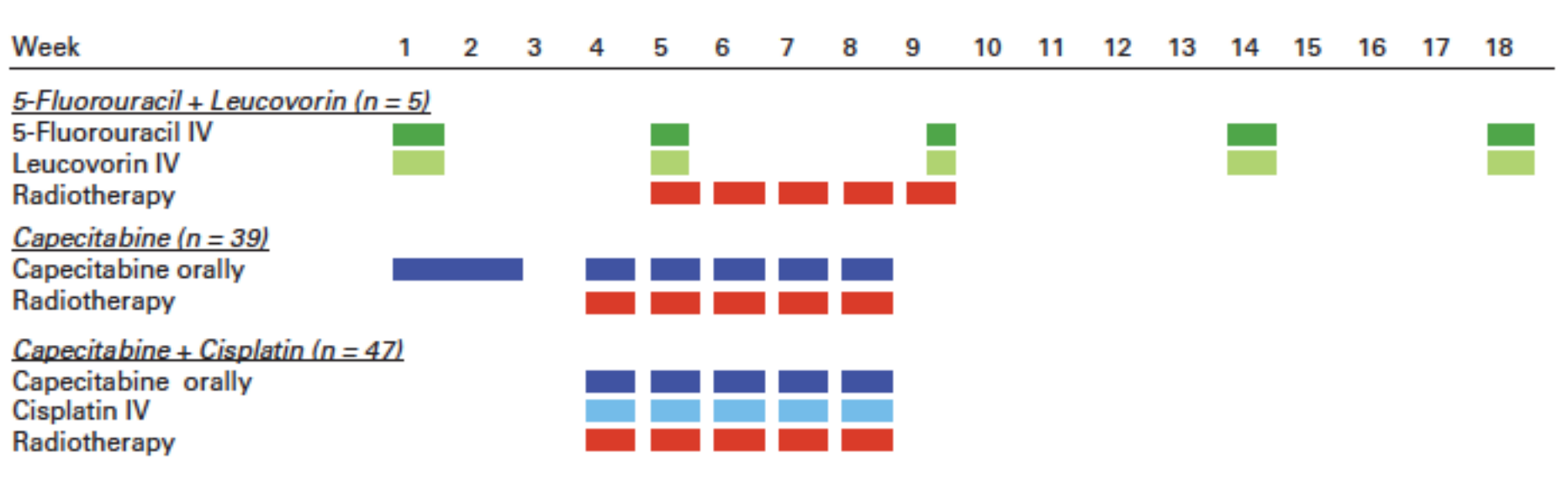
**D2 = 10%**

**D2 = 41%**

Macdonald JS et al – NEJM -2001  
Cunningham D et al – NEJM - 2006

# ✓ Post-operative Chemoradiation

- Dikken et al – 2010                      Stage IB to IV                      113 pts
- Bonenkamp et al – 1999                      Stage IB to IVMO                      1098 pts

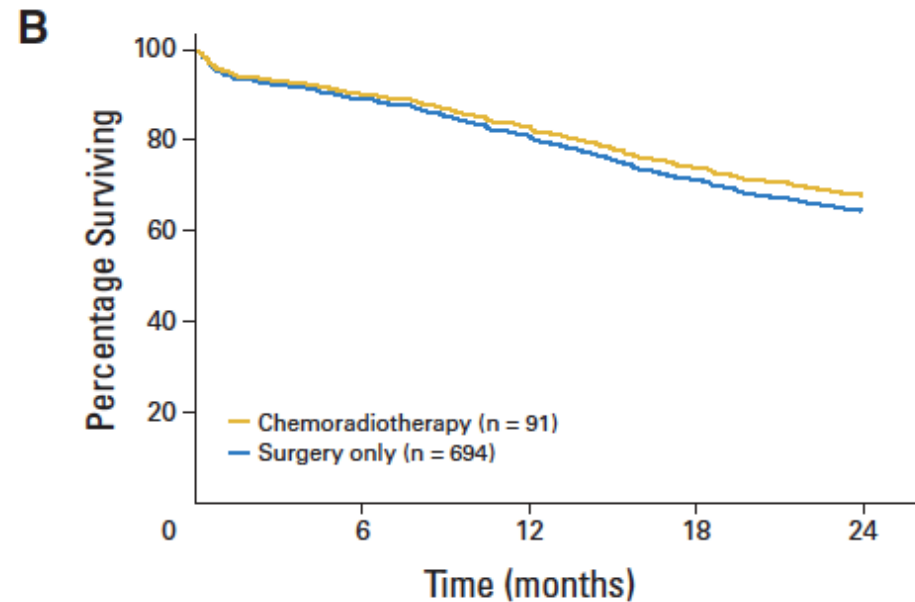
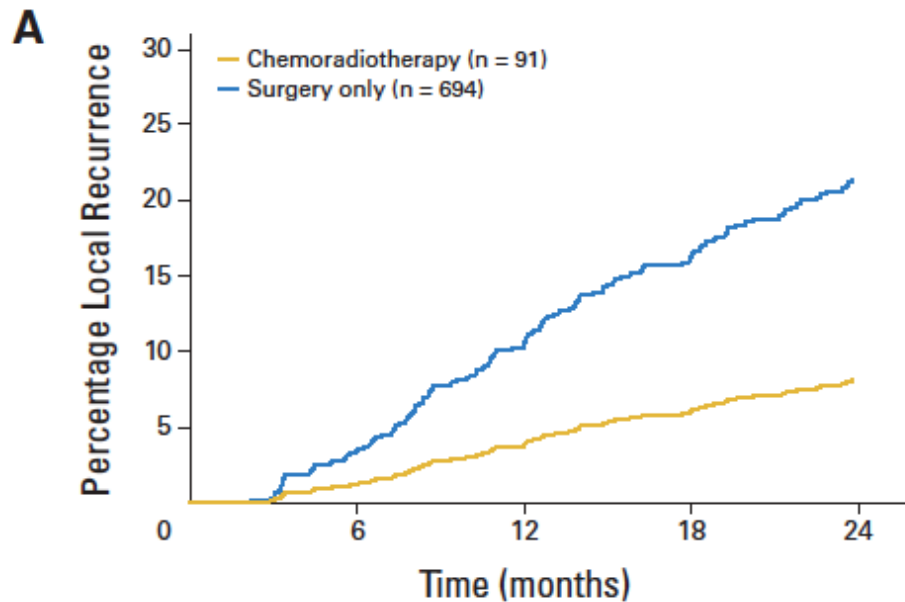


RT : 45 Gy (1.8 Gy fx)

Dikken et al: JCO 2010  
 Bonenkamp JJ et al: NEJM 1999

# ✓ Post-operative Chemoradiation

- Dikken et al – 2010                      Stage IB to IV                      113 pts
- Bonenkamp et al – 1999                      Stage IB to IVMO                      1098 pts



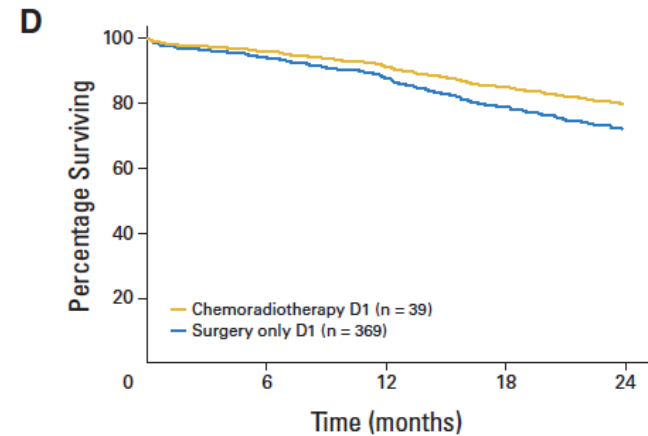
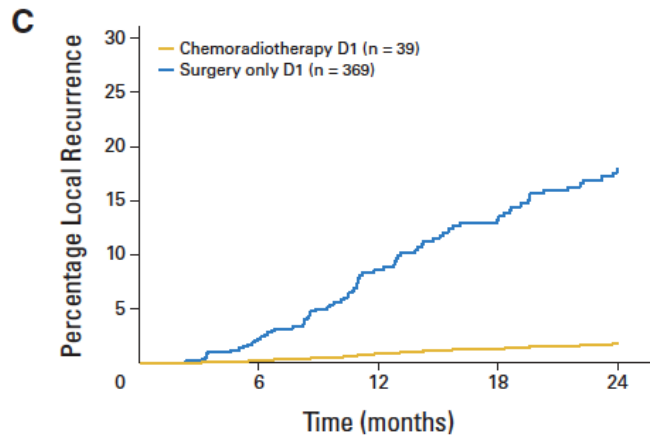
Dikken *et al*: JCO 2010  
Bonenkamp JJ *et al*: NEJM 1999



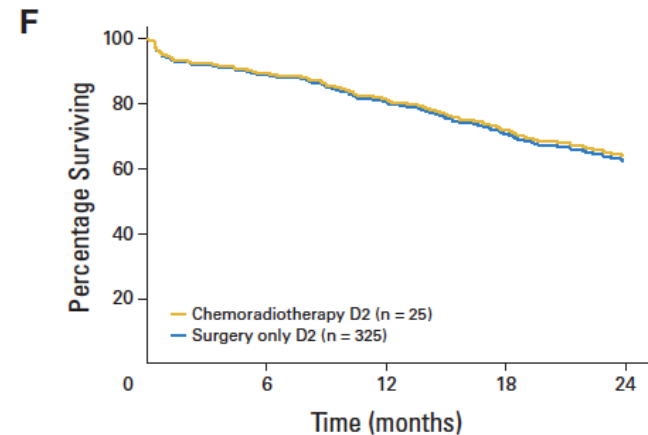
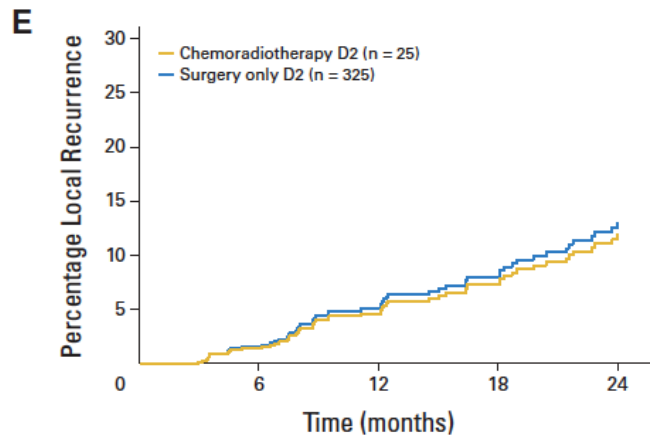
# ✓ Post-operative Chemoradiation

- Dikken et al – 2010      Stage IB to IV(M0)      113 pts
- Bonenkamp et al – 1999      Stage IB to IVMO      1098 pts

D1



D2

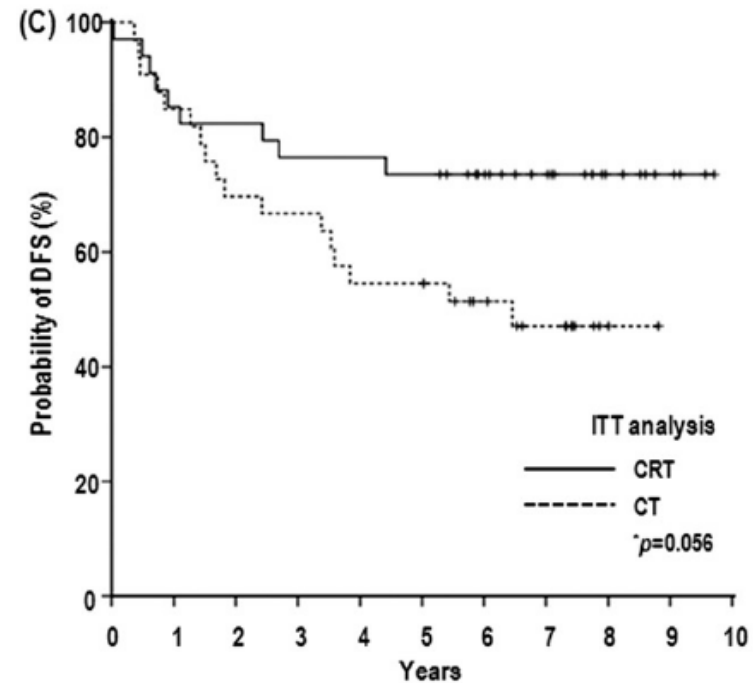
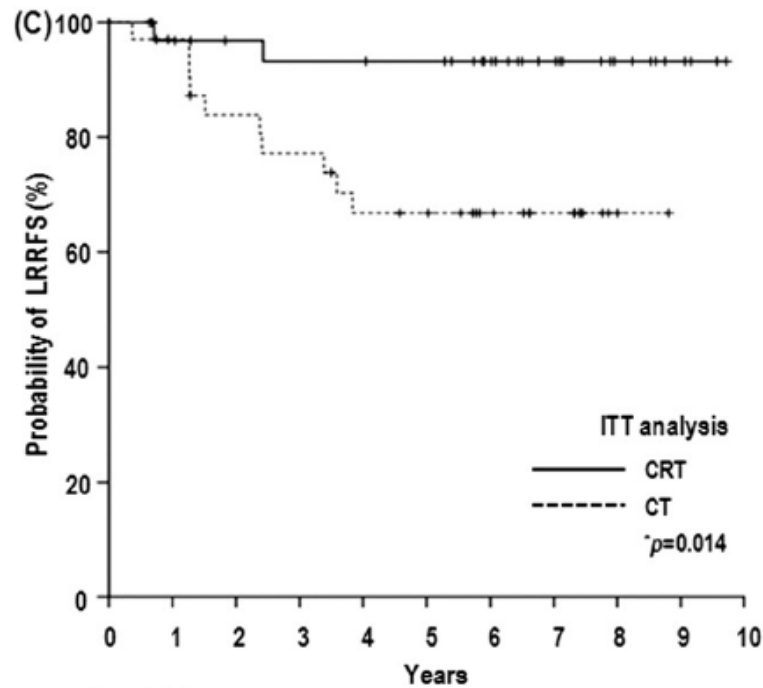


Dikken *et al*: JCO 2010  
Bonenkamp JJ *et al*: NEJM 1999

# ✓ Post-operative Chemoradiation

- Kim et al – 2012                      Stage III and IV(M0)    90 pts
- Kim et al – 2012                      D2 5 folowed by FUL vs FUL RT+FU 2FUL

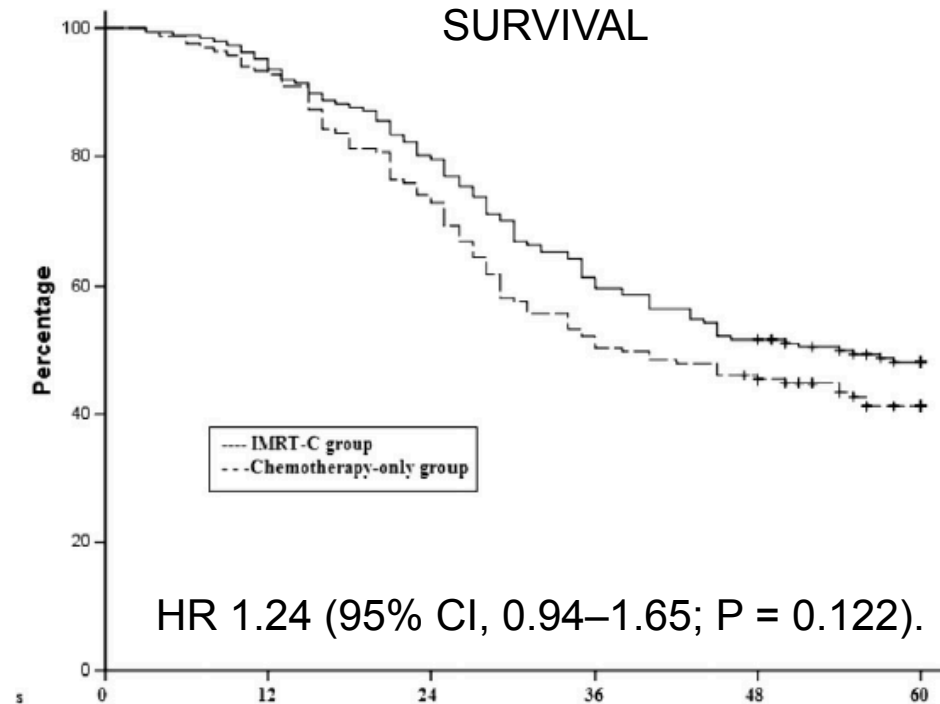
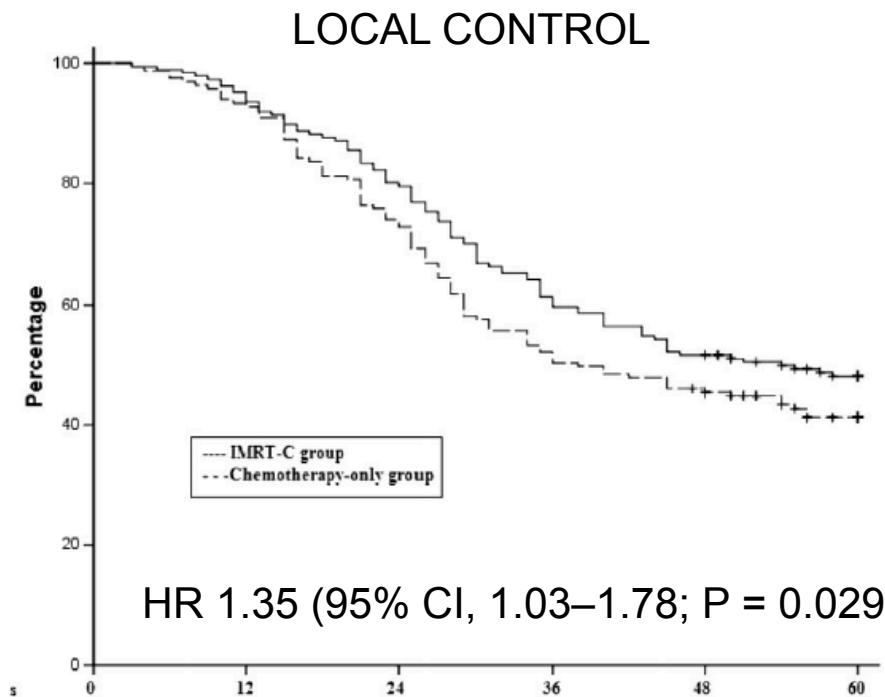
## Stage III



RT (3D) : 45 Gy (1.8 Gy fx)

# ✓ Post-operative Chemoradiation

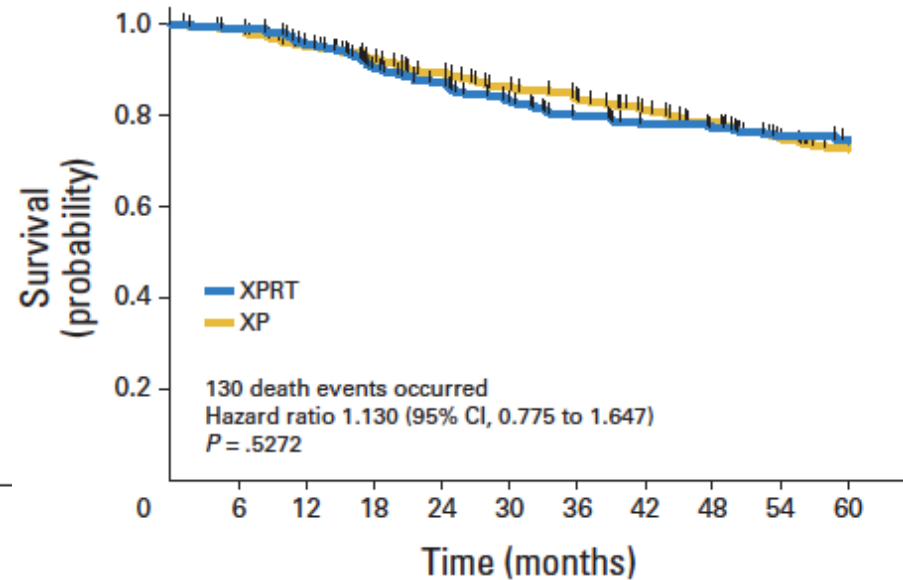
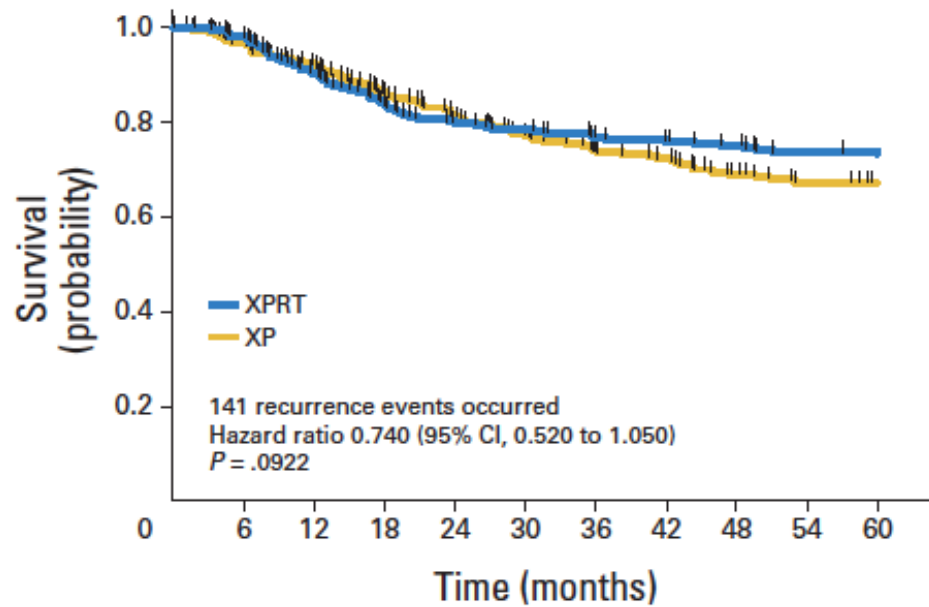
- Zhu et al – 2012 Stage T3 or T4 and (or) N positive(M0) 404 pts
- Zhu et al – 2012 D2 5 folowed by FUL vs FUL RT+FU 2FUL



RT (IMRT) : 45 Gy (1.8 Gy fx)

# ✓ Post-operative Chemoradiation

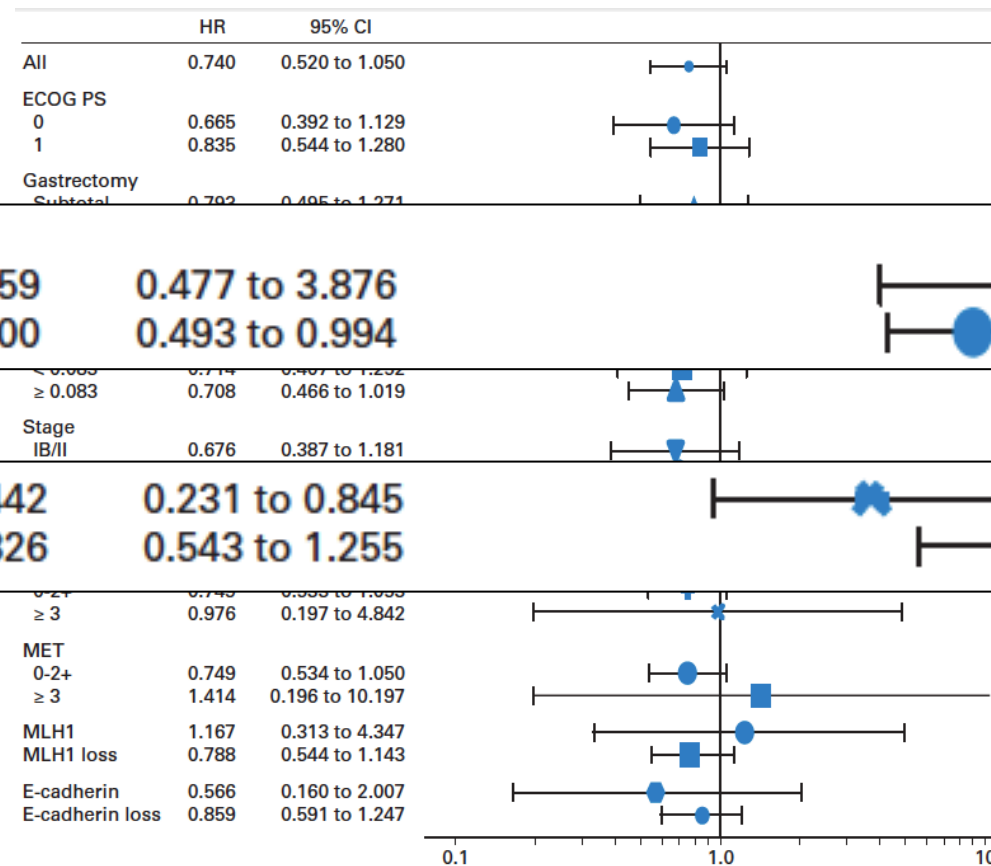
- Park et al – 2015                      Stage IB to IV (M0, R0)                      458 pts
- Park et al – 2012                      D2 followed by 6 XP    vs    2XP + RT+X + 2XP



RT (3D) : 45 Gy (1.8 Gy fx)

# ✓ Post-operative Chemoradiation

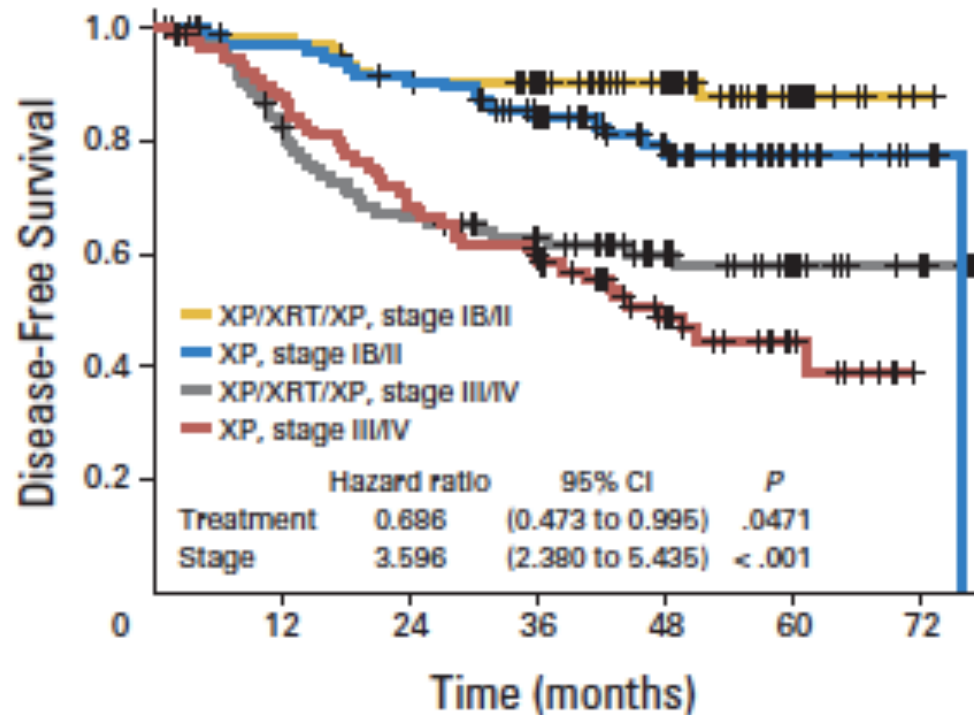
- Park et al – 2015                      Stage IB to IV (M0, R0)                      458 pts
- Park et al – 2012                      D2 folowed by 6 XP    vs    2XP + RT+X + 2XP



Lee et al. JCO 2012  
Park et al: JCO 2015

# ✓ Post-operative Chemoradiation

- Park et al – 2015                      Stage IB to IV (M0, R0)                      458 pts
- Park et al – 2012                      D2 folowed by 6 XP vs 2XP + RT+X + 2XP



RT (3D) : 45 Gy (1.8 Gy fx)

# State of art of radiation therapy in Gastric Cancer

## ✓ **Background and assumptions**

Local control favours survival

Local control can be ameliorated

Modern radiotherapy favours less toxicity

## ✓ **Post-operative Chemoradiation**

Moertel 1969

Favour RTCHEM

Moertel 1984

Favour RTCHEM

Allum 1989

No benefit

Macdonald 2001

Favour RTCHEM + D2(?)

Kim 2012

Trend RTCHEM vs Chem

Zhu 2012

Trend RTCHEM vs Chem

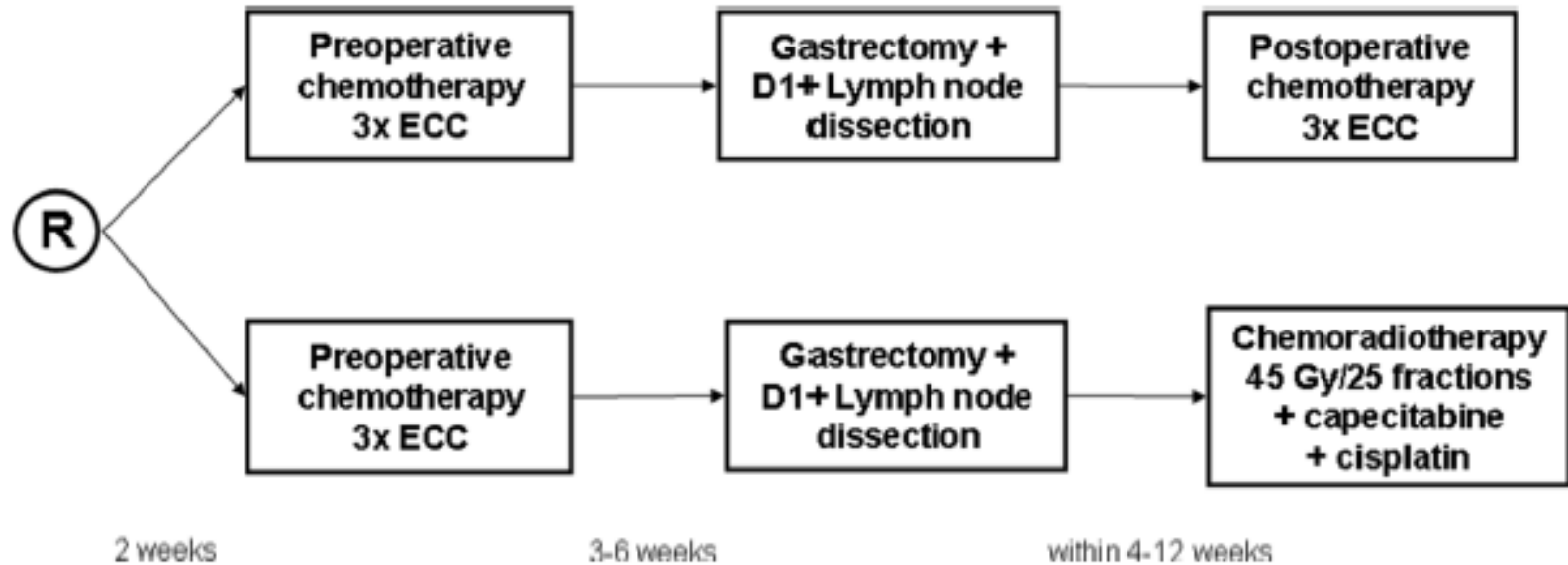
Park 2015

No benefit RTCHEM but

# ✓ Post-operative Chemoradiation

## CRITICS trial

(ChemoRadiotherapy after Induction chemoTherapy In Cancer of the Stomach).



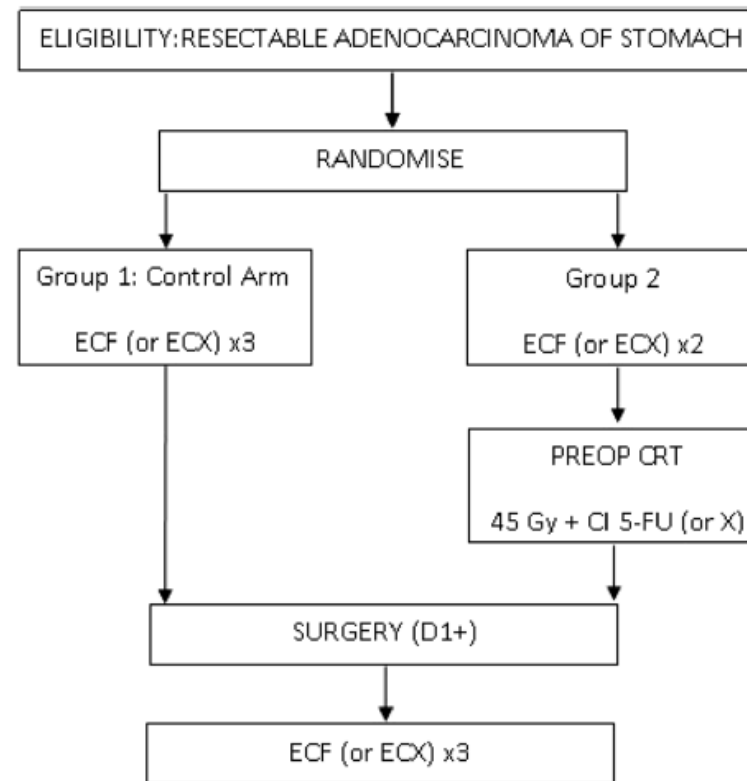
**Figure 1 Randomization scheme.** R: randomization. ECC: epirubicin, cisplatin, capecitabine.



# ✓ Post-operative Chemoradiation

## TOPGEAR

(Trial Of Preoperative therapy for Gastric and Esophagogastric junction Adenocarcinoma)

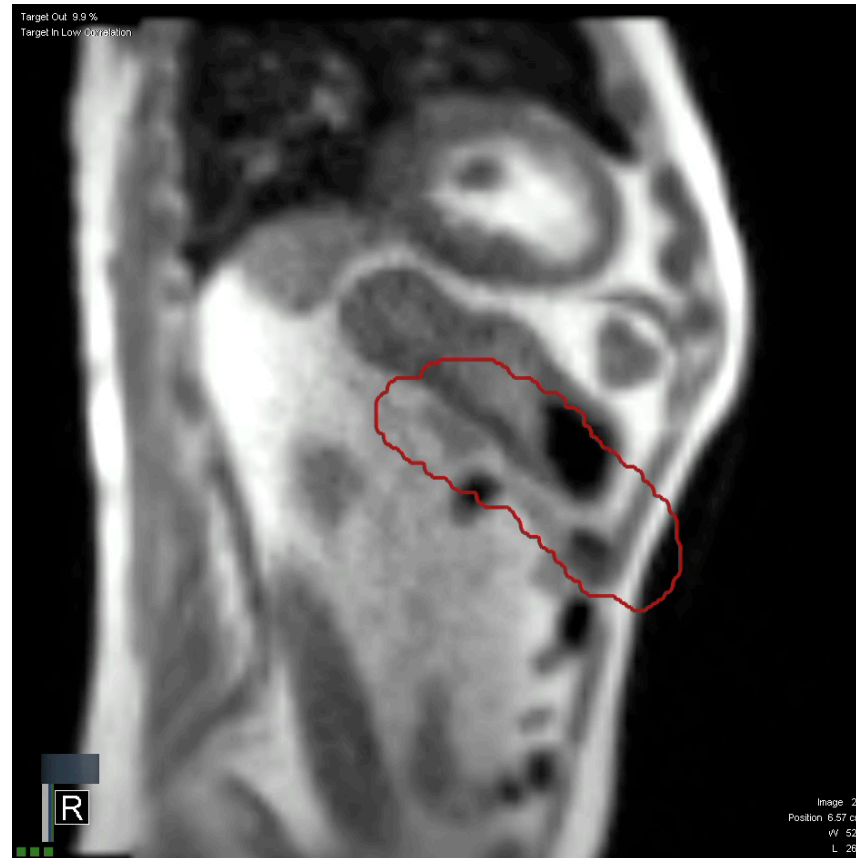






# ✓ Pre-operative Chemoradiation

## MRI based IGRT



# State of art of radiation therapy in Gastric Cancer

## ✓ **Background and assumptions**

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Local control can be ameliorated

Modern radiotherapy favours less toxicity

## ✓ **Post-operative Chemoradiation**

Kim 2012

Zhu 2012

Park 2015

Trend RTCHEM vs Chem

Trend RTCHEM vs Chem

No benefit RTCHEM but

## ✓ **Pre-operative Chemoradiation**

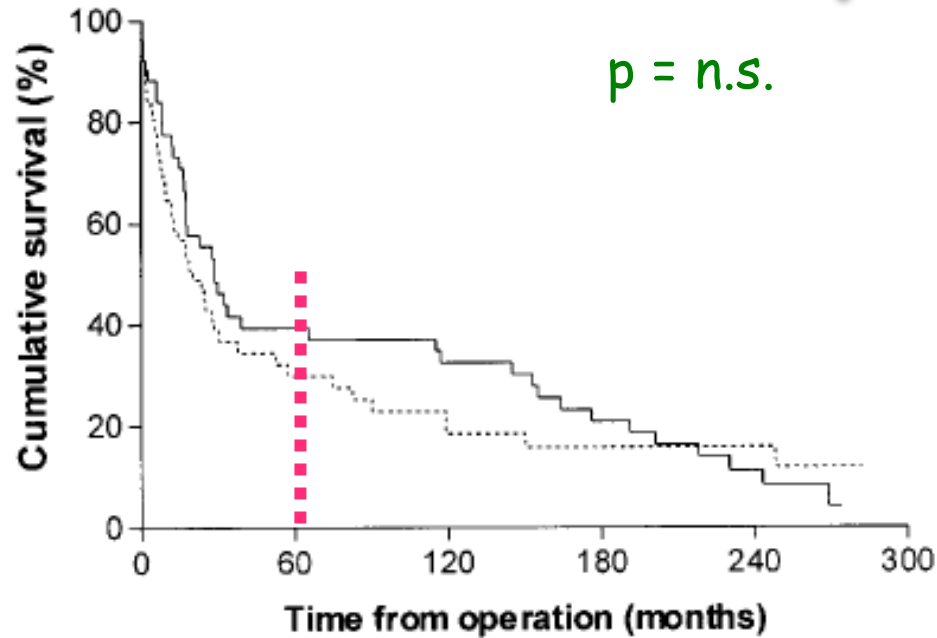
Zhang 1998

Seeding perspective

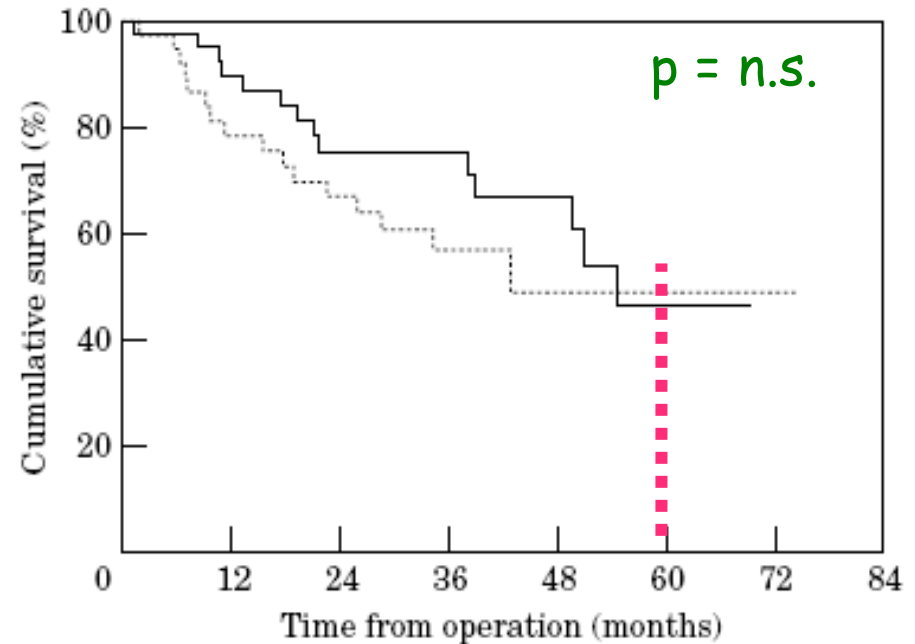
## ✓ **Intra-operative RT**

# ✓ Intra-operative Radiotherapy

## 112 patients



*PreopRT vs Surg*



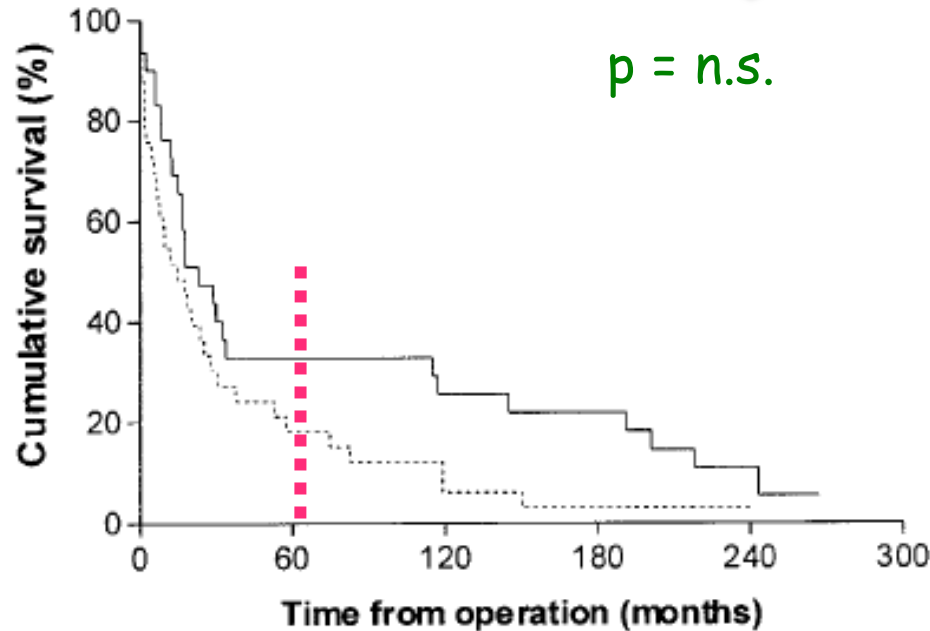
*PreopRT vs Surg + IORT*

RT (2D) : 20 Gy 4.00 Gy fx) + IORT 20

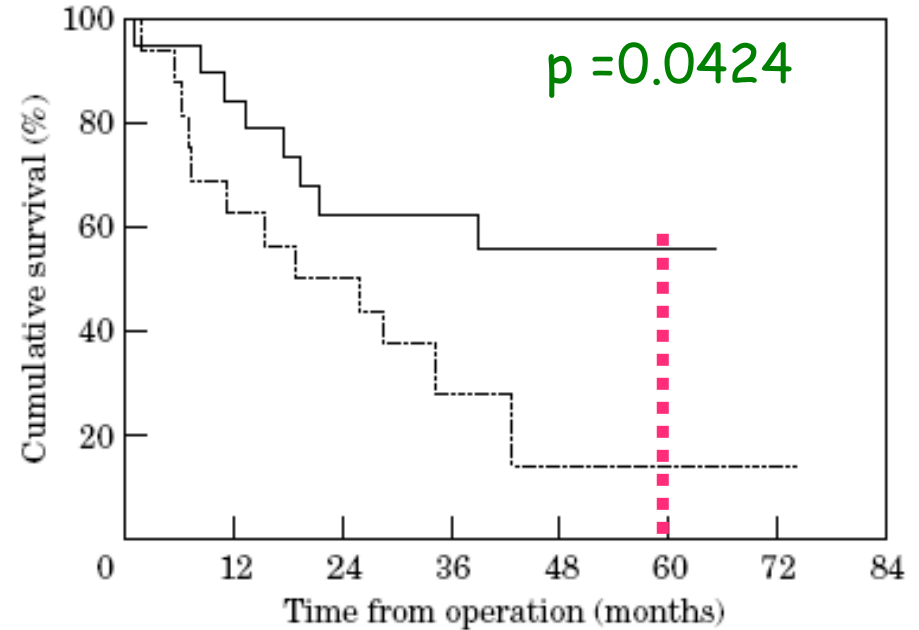
Skoropad VJ et Al – EJSO - 2000  
Skoropad VJ et Al – JSO – 2002

# ✓ Intra-operative Radiotherapy

## pT3-T4



*PreopRT vs Surg*



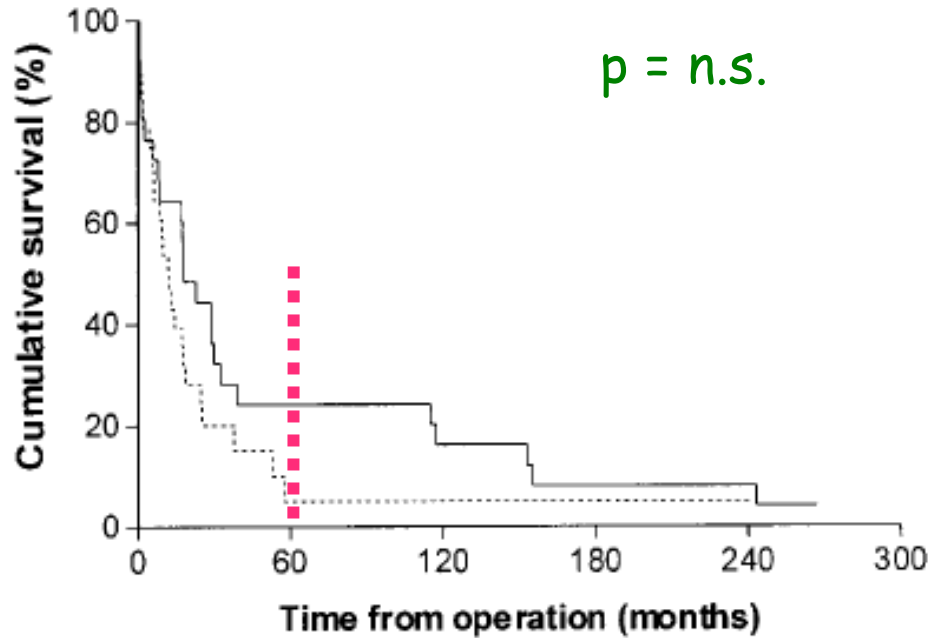
*PreopRT vs Surg + IORT*

RT (2D) : 20 Gy 4.00 Gy fx) + IORT 20

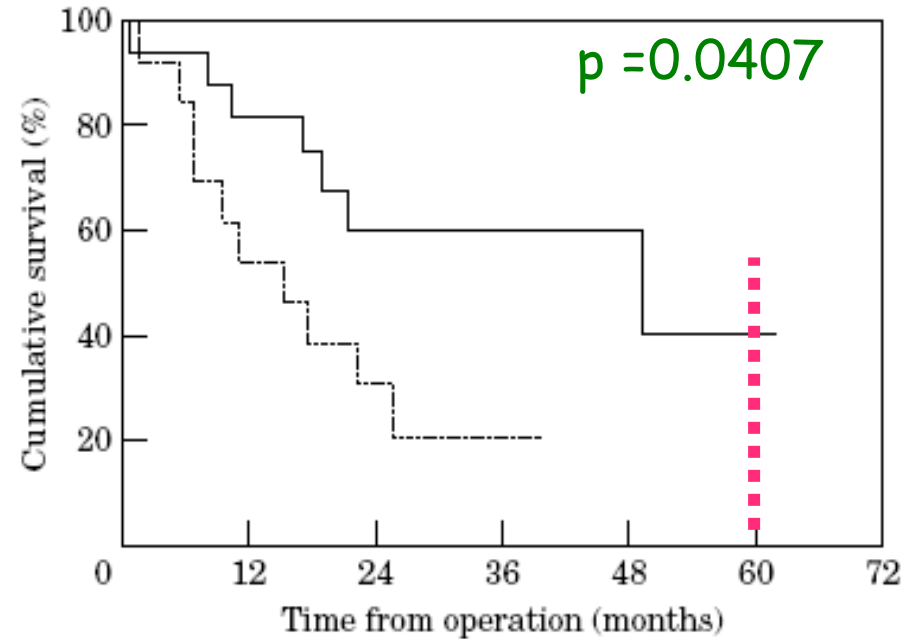
Skoropad VJ et Al – EJSO - 2000  
Skoropad VJ et Al – JSO – 2002

# ✓ Intra-operative Radiotherapy

pN+



*PreopRT vs Surg*



*PreopRT vs Surg + IORT*

RT (2D) : 20 Gy 4.00 Gy fx) + IORT 20

Skoropad VJ et Al – EJSO - 2000  
Skoropad VJ et Al – JSO – 2002



# State of art of radiation therapy in Gastric Cancer

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Local control favours survival

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## ✓ **Post-operative Chemoradiation**

Kim 2012

Zhu 2012

Park 2015

Trend RTCHEM vs Chem

Trend RTCHEM vs Chem

No benefit RTCHEM but

## ✓ **Pre-operative Chemoradiation**

Zhang 1998

Seeding perspective

## ✓ **Intra-operative RT**

Skoropad 200

Seeding perspective



U<sup>CL</sup> UNIVERSITÄRES  
KREBSZENTRUM

# State of Art of Chemotherapy in a Combined Treatment Perspective

**Prof. Florian Lordick, MD**

Director University Cancer Center Leipzig

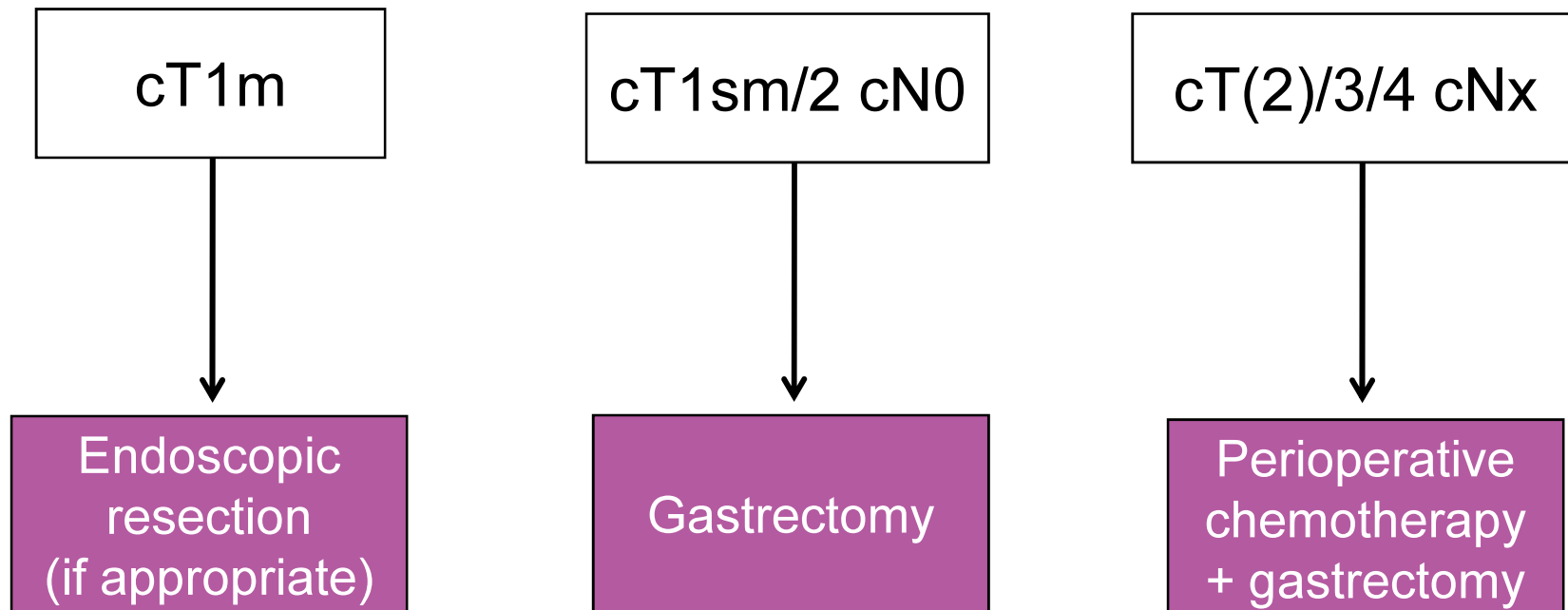
UCCL



# Curative Treatment of Gastric Cancer – Europe

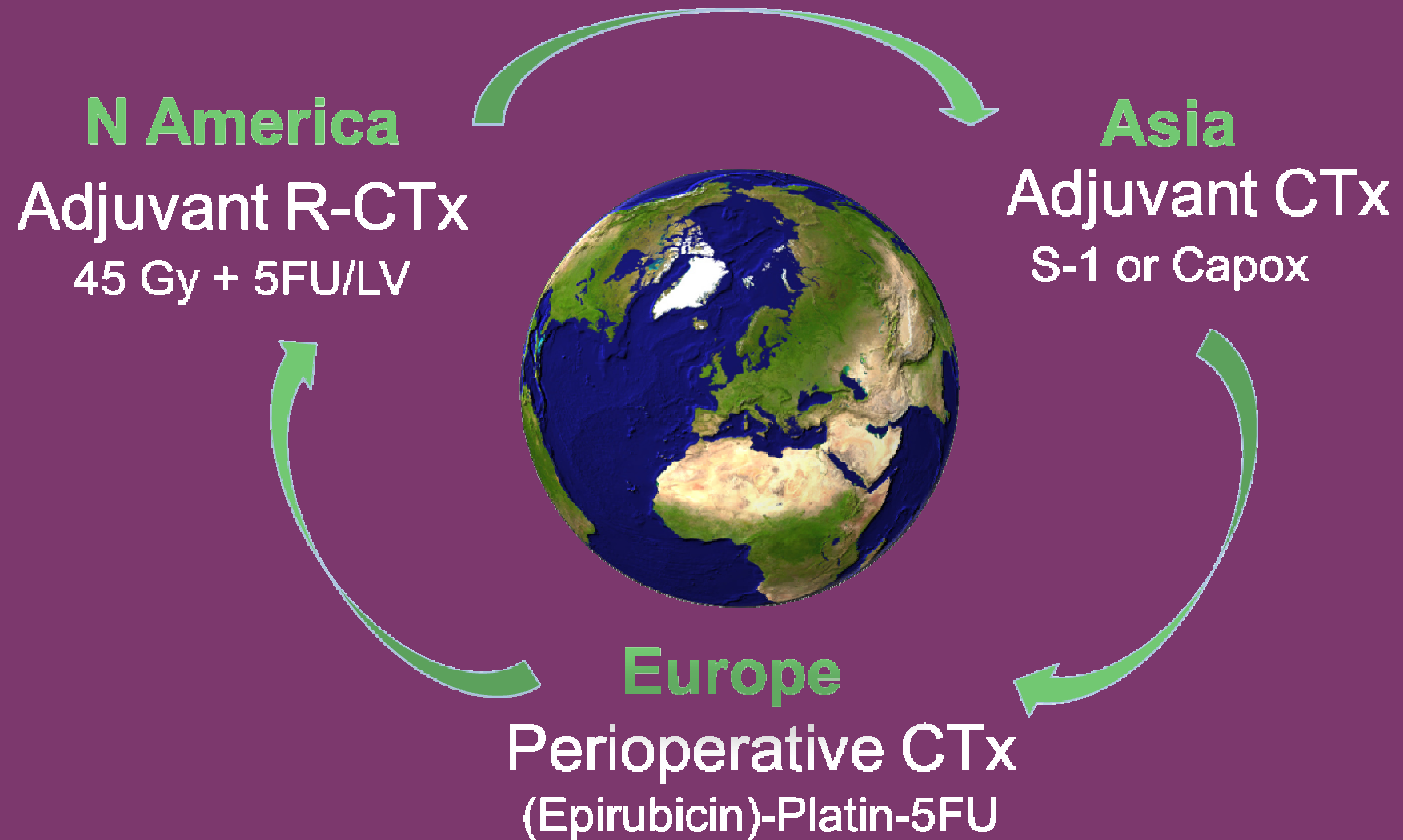
## EORTC recommendations

Staging (CT chest/abdomen, EUS, laparoscopy)



CT, computed tomography; EORTC, European Organisation for Research and Treatment of Cancer; EUS, endoscopic ultrasound scan.

# Curative Treatment of Gastric Cancer – World



5FU, fluorouracil; CTx, chemotherapy; R-CTx; radiochemotherapy; S-1, tegafur/gimeracil/oteracil.

Lordick F. ASCO 2011

## 3 randomized phase-III-studies

- UK: **MAGIC** (n=503)

Cunningham D et al. *N Engl J Med* 2006;355:11-20

- France: **FNCLCC** (n=224)

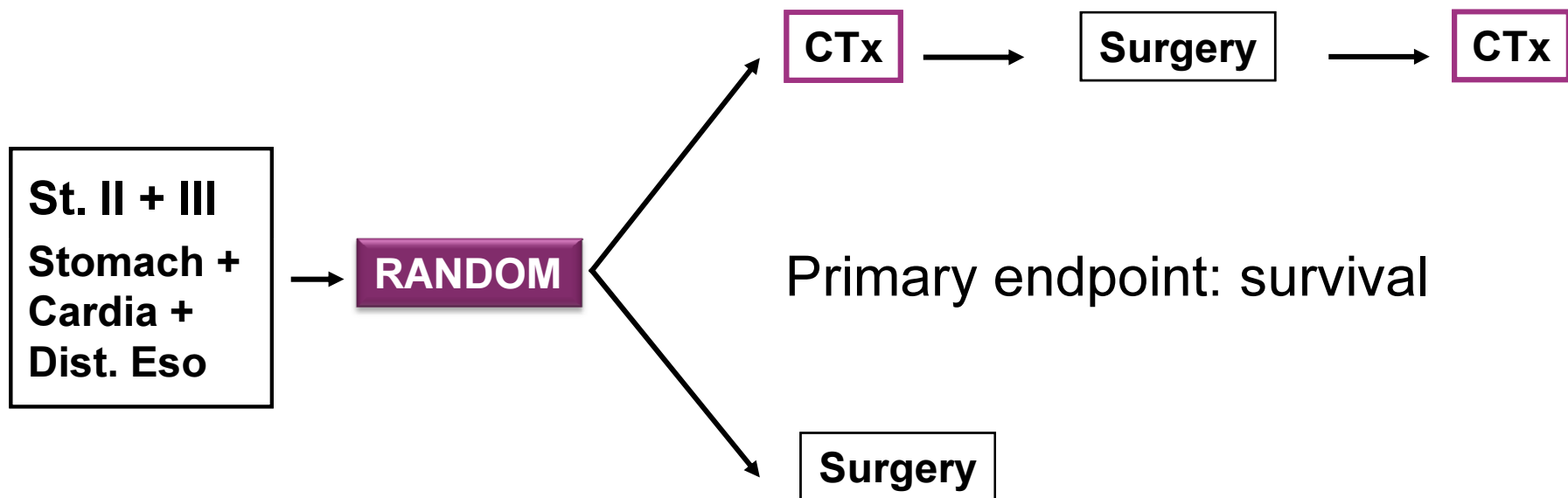
Ychou M. et al. *JCO* 2011; 29: 1715-21

- Germany: **EORTC 40954** (n=144)

Schuhmacher C. et al. *JCO* 2010; 28: 5210-5218

# Peri-/Preoperative Therapy

## MAGIC + FNCLCC



\*Chemotherapy regimen:

MAGIC, ECF (Epirubicin, Cisplatin, Fluorouracil)  
FNCLCC, CF (Cisplatin, Fluorouracil)

# Peri-/Preoperative Therapy

## Feasibility of chemotherapy

	<b>MAGIC</b> (9 wks ECF)	<b>FNCLCC</b> (8 wks CF)
<b>Pre-op.</b> CTX completely given	86%	89%
<b>Post-op.</b> CTX given	55%	51%

# Peri-/Preoperative Therapy

## Postoperative mortality

<b>MAGIC</b> (n=503)		<b>FNCLCC</b> (n=224)	
CTX	SURG	CTX	SURG
6%	6%	5%	4%

Cunningham D et al. *N Engl J Med* 2006;355:11-20  
Ychou et al. *J Clin Oncol* 2011; 29: 1715-21

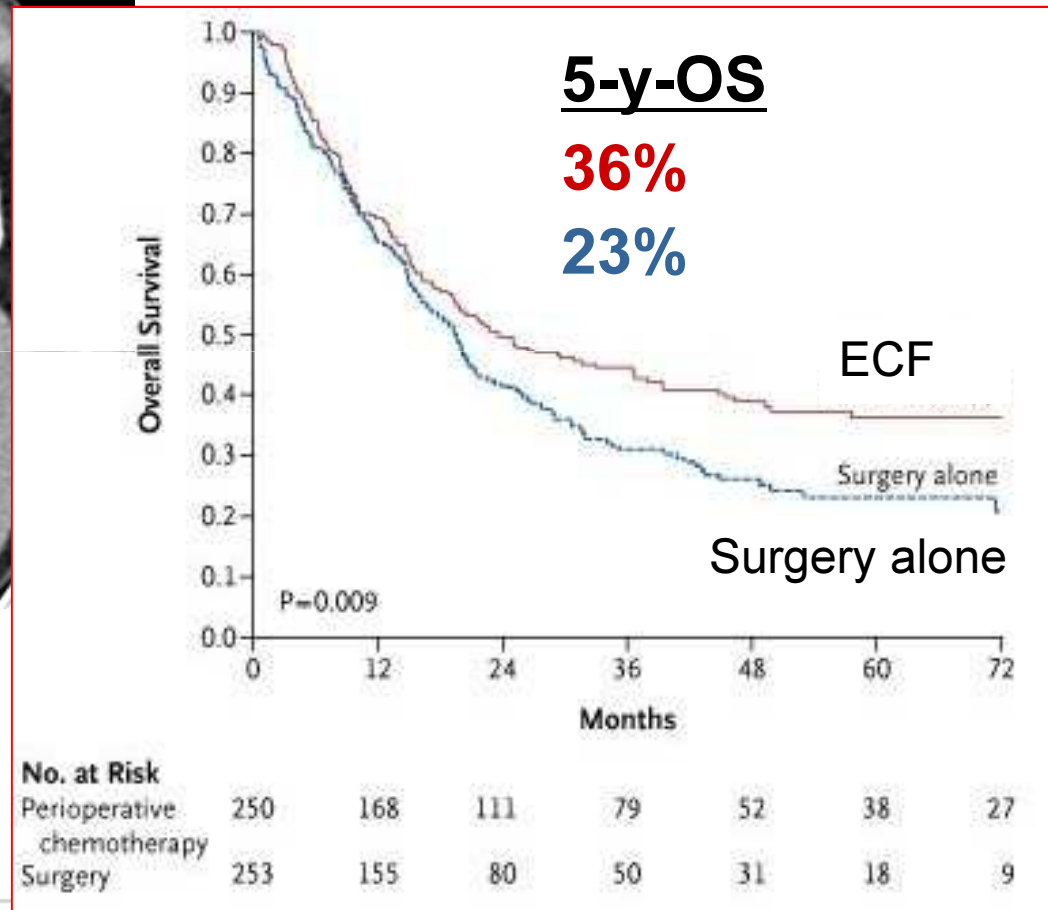


# Peri-/Preoperative Therapy



**Gastric Cancer 74%**  
**AEG 26%**

## UK MAGIC 2006



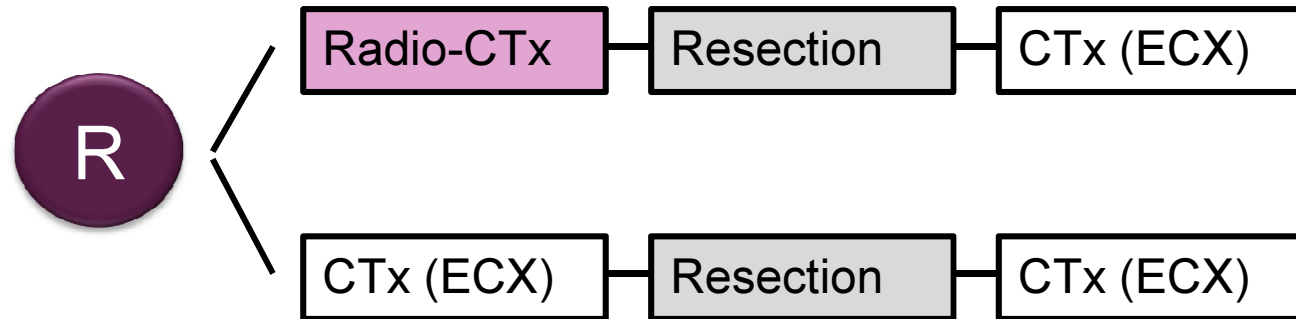
# Current Studies Integrating Radiotherapy



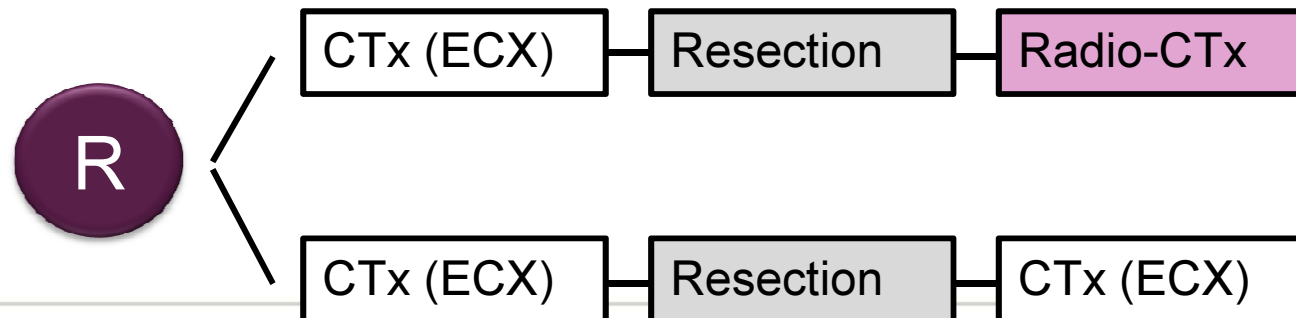
NCIC Clinical Trials Group  
NCIC Groupe des essais cliniques



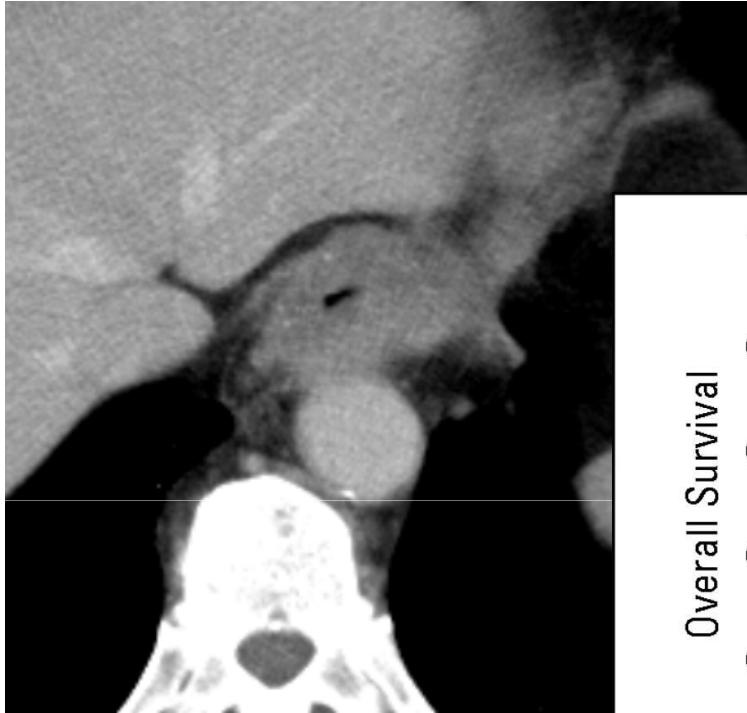
**TOPGEAR**  
(AUS, CAN, EU)  
Stage Ib-IVa



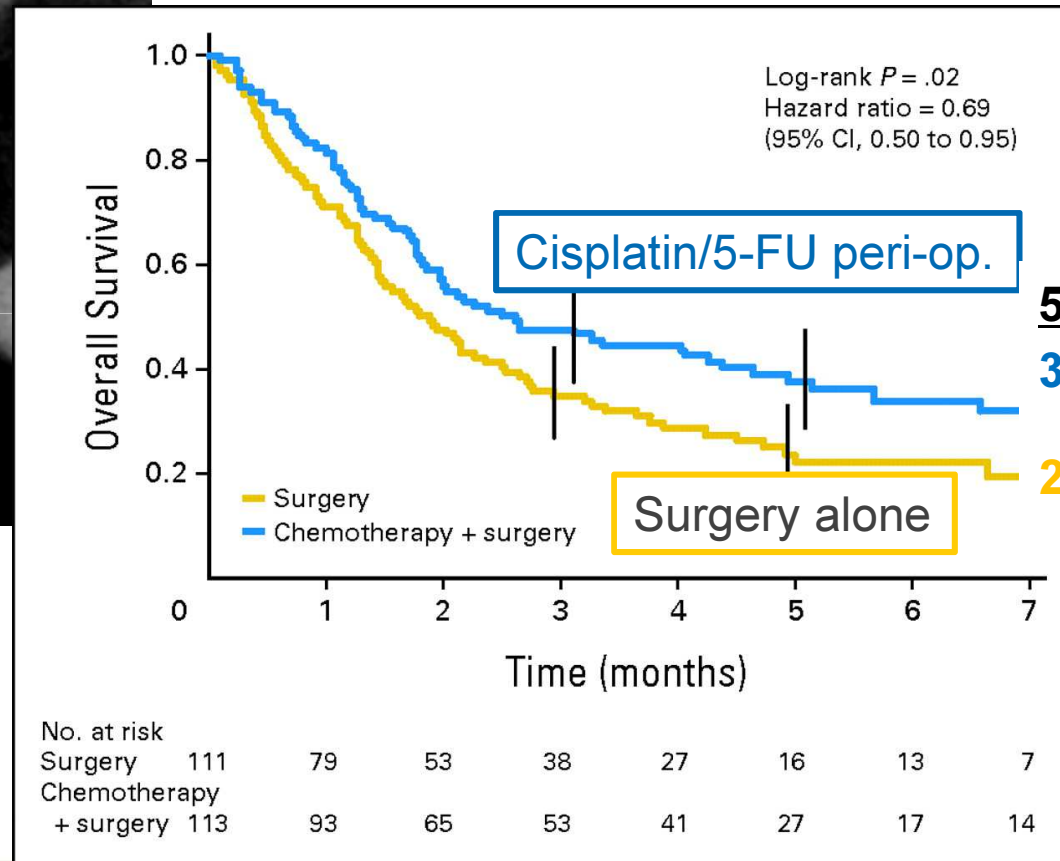
**CRITICS**  
(NL, Sweden)  
Stage Ib-IVa



# Peri-/Preoperative Therapy



## France FNCLCC 2011



**Gastric Cancer** 25%  
**AEG** 75%

# Tumor Response

Ann Surg Oncol  
DOI 10.1245/s10434-011-2147-8

Annals of  
**SURGICAL ONCOLOGY**  
OFFICIAL JOURNAL OF THE SOCIETY OF SURGICAL ONCOLOGY

ORIGINAL ARTICLE – GASTROINTESTINAL ONCOLOGY

## Adenocarcinomas of the Esophagogastric Junction Are More Likely to Respond to Preoperative Chemotherapy than Distal Gastric Cancer

Daniel Reim, MD<sup>1</sup>, Ralf Gertler, MD<sup>1</sup>, Alexander Novotny, MD<sup>1</sup>, Karen Becker, MD<sup>2</sup>, Christian Meyer zum Büschenfelde, MD<sup>3</sup>, Matthias Ebert, MD<sup>4</sup>, Martin Dobritz, MD<sup>5</sup>, Rupert Langer, MD<sup>2</sup>, Heinz Hoefler, MD<sup>2</sup>, Helmut Friess, MD<sup>1</sup>, and Christoph Schumacher<sup>1</sup>

TABLE 1 continued

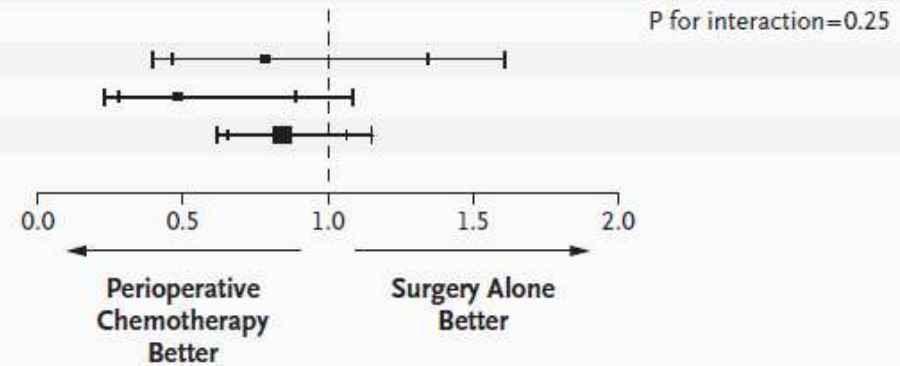
Characteristic	All ( <i>n</i> = 551) <i>n</i>	%	AEG ( <i>n</i> = 394) <i>n</i>	%	GC ( <i>n</i> = 157) <i>n</i>	%	<i>P</i> value, AEG vs. GC
Lauren type							0.0001
Nonintestinal	300	54.4	184	46.7	116	73.9	
Intestinal	251	45.6	210	53.3	41	26.1	
HPR							0.008
Becker 1	130	23.6	105	26.6	25	15.9	
Becker 2	152	27.6	110	27.9	42	26.8	
Becker 3	269	48.8	179	45.4	90	57.3	

# Tumor Response

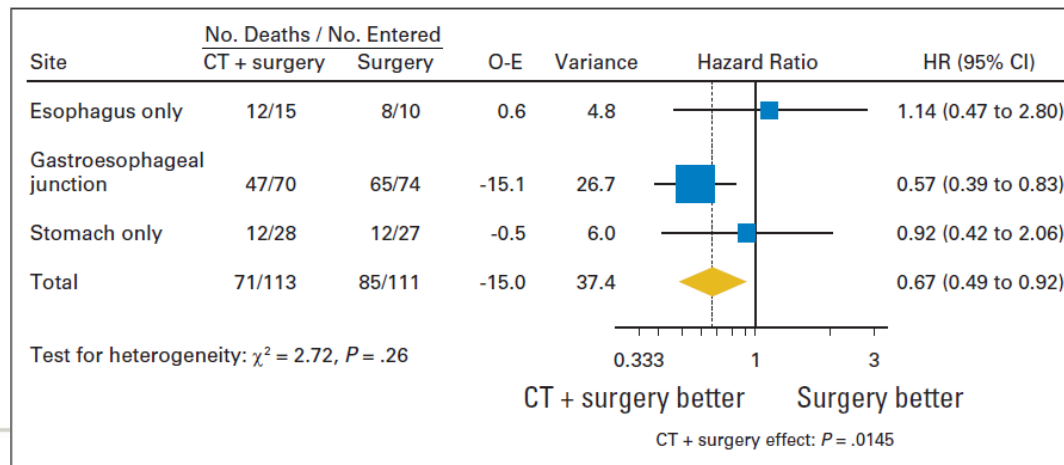
## UK MAGIC 2006

Site of primary tumor

Lower esophagus	23/37	25/36
Esophagogastric junction	13/28	23/30
Stomach	113/185	122/187
Total	149/250	170/253



## FNCLCC 2011



Cunningham D et al. *N Engl J Med* 2006;355:11-20  
 Ychou et al. *J Clin Oncol* 2011; 29: 1715-21

# Novel Drugs

## Chemotherapy

## Taxanes

## AIO-FLOT-4

FLOT vs. ECF

Phase II presented

## Anti-Angiogenesis

## Bevacizumab

## STO-3 (MAGIC-B)

ECX+/-Bevacizumab

Phase III negative

## Anti-Her2

## Trastuzumab/ Pertuzumab

## EORTC-INNOVATION

CX +/- anti-HER2

Phase II/III now starting

# Docetaxel – First Data

original articles

Annals of Oncology

*Annals of Oncology* 24: 2068–2073, 2013  
doi:10.1093/annonc/mdt141  
Published online 16 April 2013

## **Impact of pathologic complete response on disease-free survival in patients with esophagogastric adenocarcinoma receiving preoperative docetaxel-based chemotherapy**

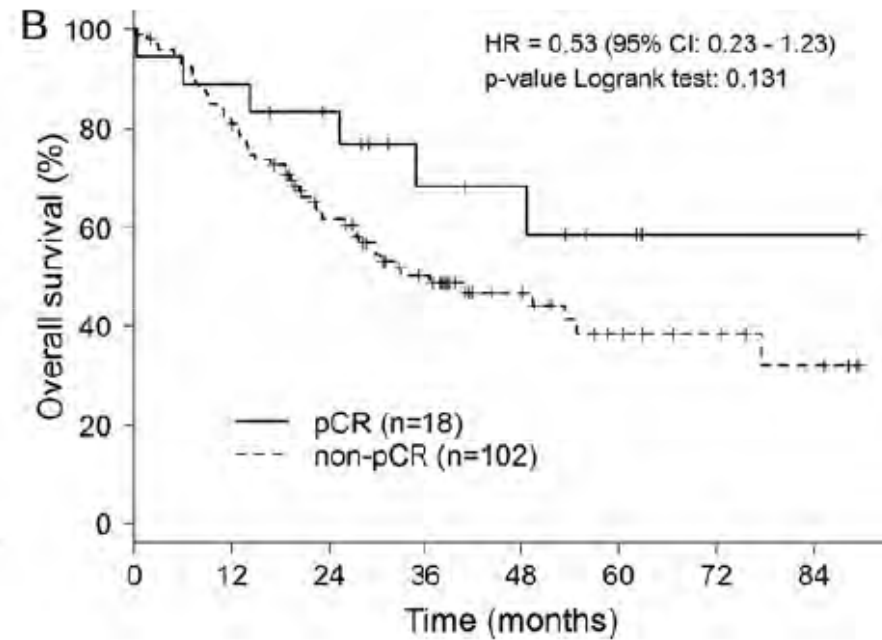
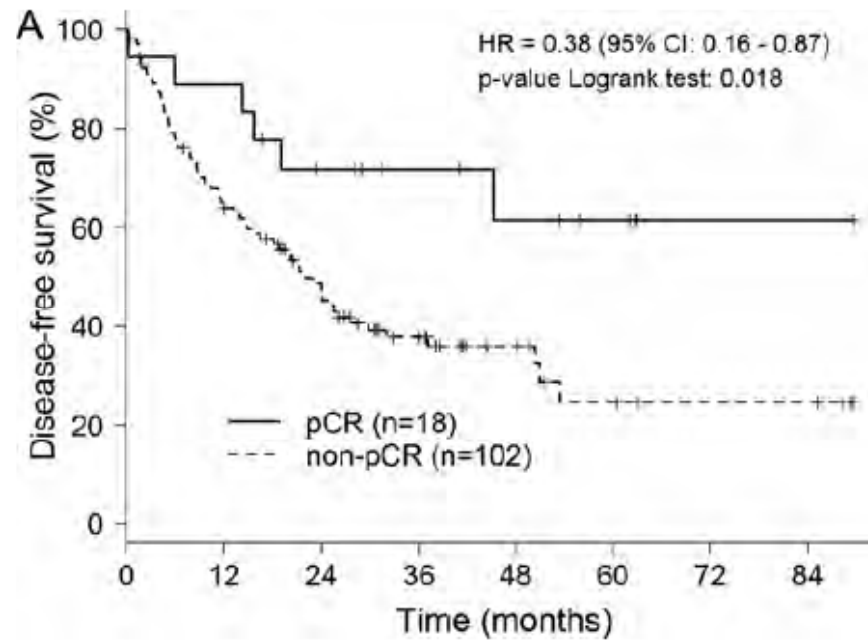
S. Lorenzen<sup>1,†</sup>, P. Thuss-Patience<sup>2,†</sup>, S. E. Al-Batran<sup>3</sup>, F. Lordick<sup>4</sup>, B. Haller<sup>5</sup>, T. Schuster<sup>5</sup>, C. Pauligk<sup>3</sup>, K. Luley<sup>6</sup>, D. Bichev<sup>2</sup>, G. Schumacher<sup>7</sup> & N. Homann<sup>8</sup>

<sup>1</sup>3rd Department of Internal Medicine, Hematology/Medical Oncology, Klinikum rechts der Isar, Technische Universität München, Munich; <sup>2</sup>Department of Haematology, Oncology and Tumorimmunology, Campus Virchow-Klinikum, Charité-University Medicine Berlin, Berlin; <sup>3</sup>Krankenhaus Nordwest, UCT University Cancer Center, Frankfurt am Main; <sup>4</sup>University Cancer Center Leipzig (UCCL), University Clinic Leipzig, University of Leipzig, Leipzig; <sup>5</sup>Institute for Medical Statistics and Epidemiology,

**Pooled analysis (n=120 neoadjuvant treated patients)**  
Gastro-Tax (Munich), DCX (Berlin), FLOT (Frankfurt)

**pCR-rate 18/120 patients (15%)**

# Docetaxel – First Data



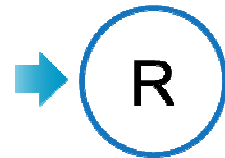
**pCR associated with better DFS and OS**



# FLOT-4 Study

- Gastric cancer or adenocarcinoma of the gastro-esophageal junction type I-III
- Medically and technically operable stages
- T2-4, every N, M0 or every T, N+, M0

S  
T  
R  
A  
T  
I  
F  
I  
C  
A  
T  
I  
O  
N



n=714



4xFLOT - OP - 4xFLOT

FLOT: docetaxel 50mg/m<sup>2</sup>, d1; 5-FU 2600 mg/m<sup>2</sup>, d1; leucovorin 200 mg/m<sup>2</sup>, d1; oxaliplatin 85 mg/m<sup>2</sup>, d1, qd14



3xECF(X) - OP - 3xECF(X)

ECF(X): Epirubicin 50 mg/m<sup>2</sup>, d1; cisplatin 60 mg/m<sup>2</sup>, d1; 5-FU 200 mg/m<sup>2</sup> (or capecitabine 1250 mg/m<sup>2</sup> p.o. divided into two doses d1-d21), qd21

**AIO**



**Deutsche Krebshilfe**  
HELLEN. FORSCHEN. INFORMIEREN.

Primary endpoint Phase II (n=300): rate of complete pathological remission (pCR)

Primary endpoint for phase III (n=714): OS, HR 0.76, power 80%, p<0.05

# FLOT-4 Study

## ITT Population:

FLOT n=128

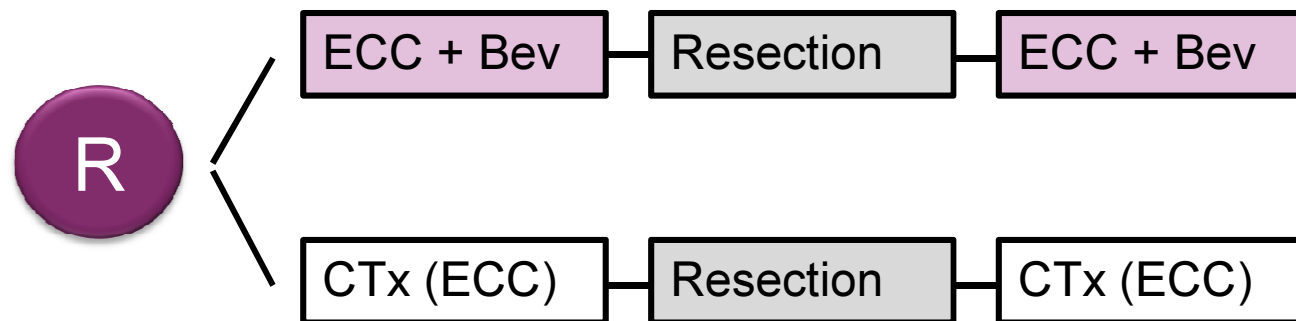
ECF(X) n=137

Pathological Remission	ECF/ECX		FLOT		P value (2-sided)
	no.	%	no.	%	
<b>CR</b>	<b>8</b>	<b>5,8</b>	<b>20</b>	<b>15,6</b>	<b>.015</b>
SR	23	16,8	27	21,1	
CR+SR	31	22,6	47	36,7	.015
PR	28	20,4	23	18,0	
MR	44	32,1	45	35,2	
NR	8	5,8	4	3,1	
Not resectable	26	19,0	9	7,0	

# Anti-Angiogenesis (Bevacizumab)



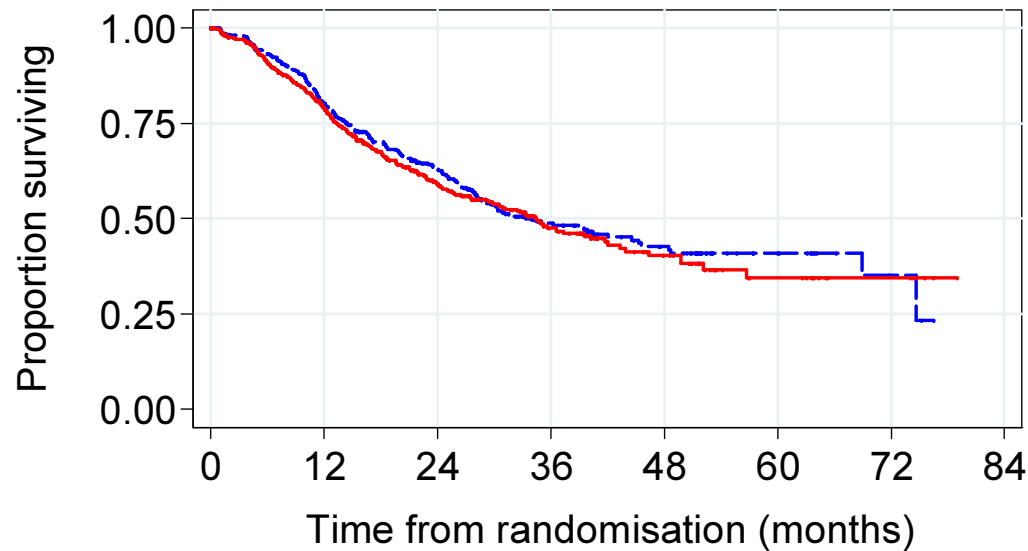
**STO-3/MAGIC-B**  
(UK)  
Stage Ib-IVa



\*Chemotherapy: ECC (epirubicine, cisplatin, capecitabine)  
Bev (bevacizumab)

# Anti-Angiogenesis (Bevacizumab)

- **472 deaths** (233 ECX, 239 ECX+B) have been observed
  - Median follow-up is 33 months in both arms



	N	0	12	24	36	48	60	72	84
ECX	533	394	226	97	49	17	5	0	0
ECX+B	530	383	208	98	41	14	6	0	0

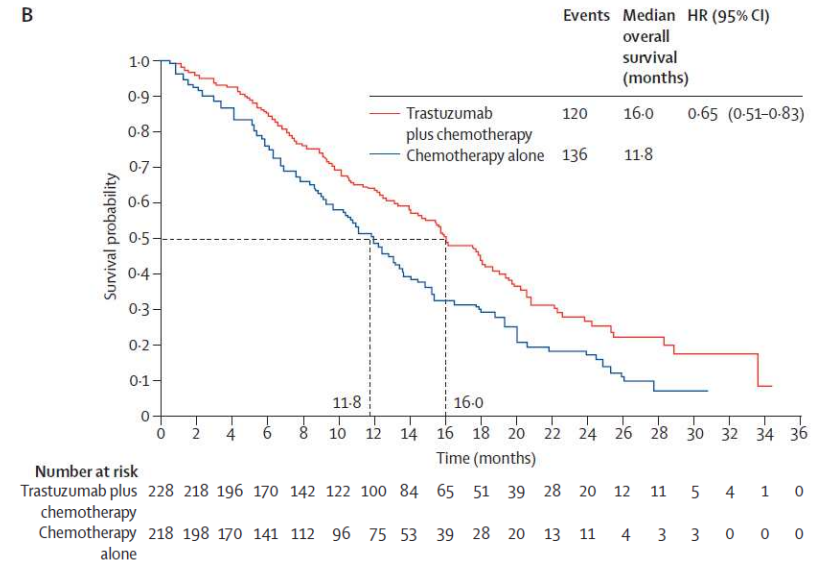
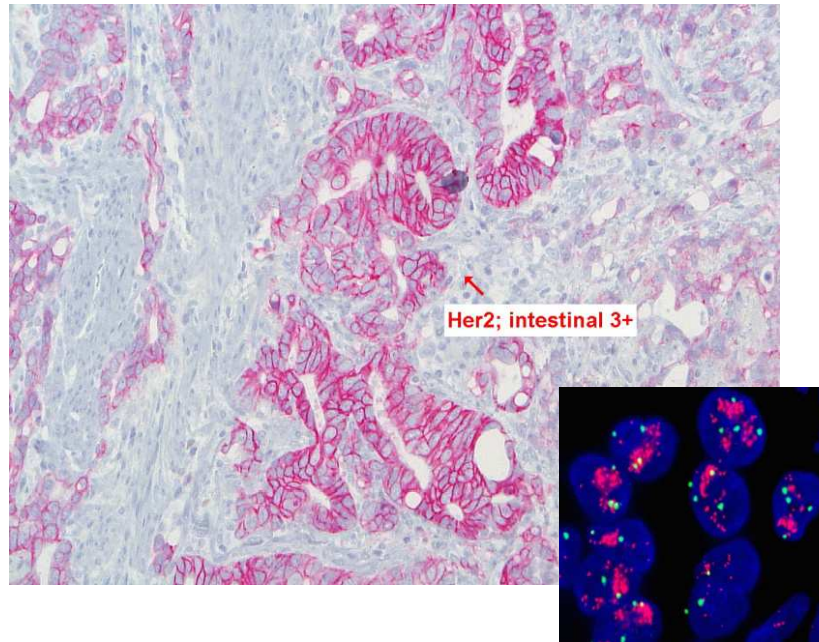
— ECX — ECX+B

Overall survival		
Median OS	ECX	33.97 months
	ECX+B	34.46 months
Hazard Ratio	1.067	
(95% CI)	(0.8911 to 1.279)	
Log-rank p-value	0.4784	

3-year overall survival (95% CI)	
ECX	48.9% (43.6% to 53.8%)
ECX+B	47.6% (42.3% to 52.7%)

Secondary outcomes		
PFS	HR=1.026	p=0.7683
DFS	HR=1.006	p=0.9425

# HER2-positive Gastric Cancer

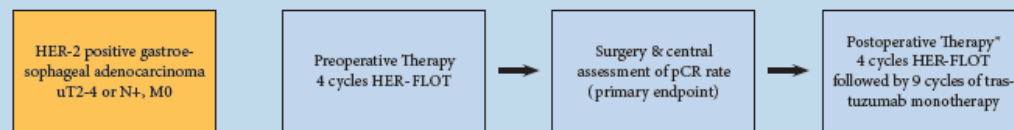


Survival gain with Trastuzumab in HER2-pos. Gastric cancer in Stage Stage IV (ToGA study)

- Therapeutically relevant HER2 positivity: ~ 16%
- Trastuzumab in HER2-positive stage IV gastric cancer: Survival 16.0 vs. 11.8 months (HR=0.65; 95%CI 0.51-0.83)

# Anti-HER2 – Trastuzumab Neoadjuvant

## HER-FLOT Study schema



HER-FLOT consists of  
Trastuzumab 4 (6) mg/kg, d1  
Docetaxel 50 mg/m<sup>2</sup>, 2h, d1  
Oxaliplatin 85 mg/m<sup>2</sup>, 2h, d1  
Leucovorin 200 mg/m<sup>2</sup>, 1h, d1  
5-FU 2,600 mg/m<sup>2</sup> (24h) d1

Start of next cycle on day 15

Trastuzumab monotherapy  
Trastuzumab 9 mg/kg, d1  
Start of next cycle on day 22

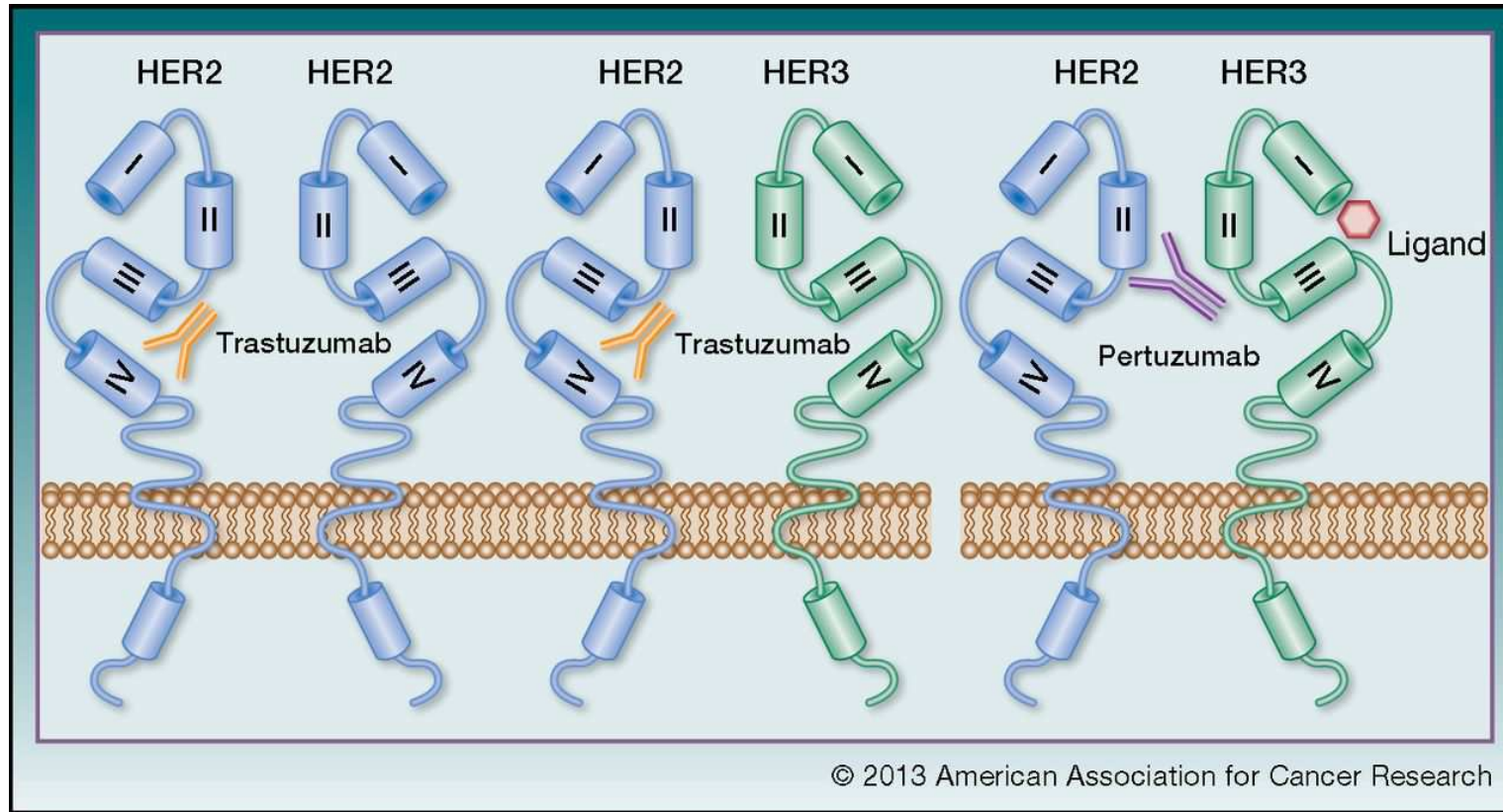
\* Patients not tolerating post-op chemotherapy may switch to trastuzumab monotherapy 6mg/kg every 3 weeks. Not administered post-operative chemotherapy cycles will be replaced by additional trastuzumab monotherapy cycles.

# AIO

pCR-rate: 12/57 (21%)

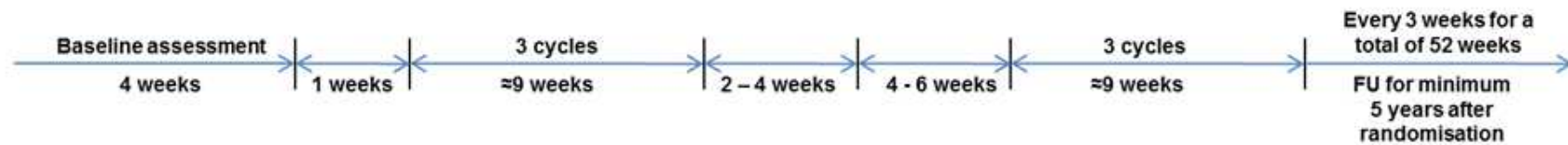
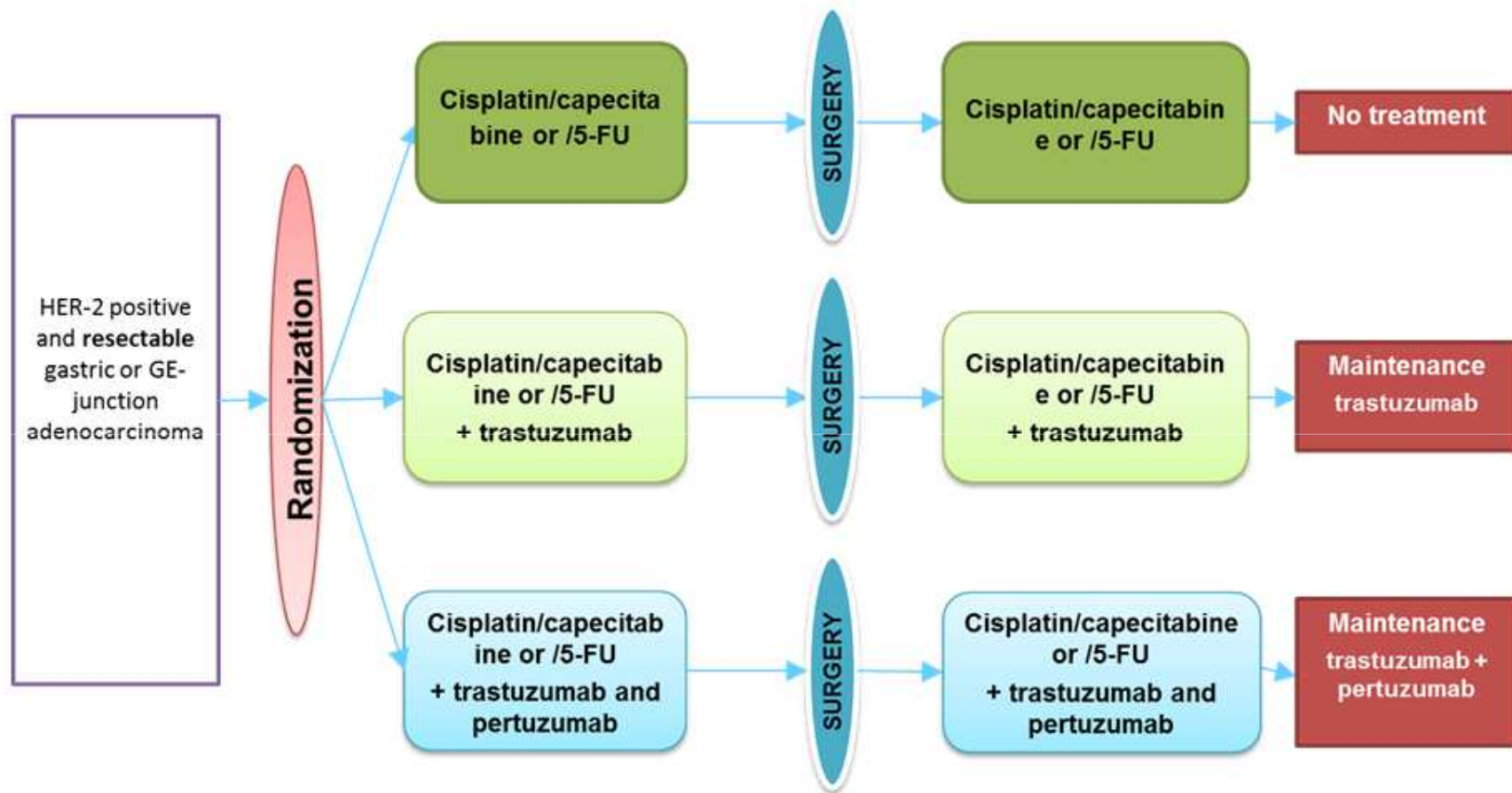
R0 resection 93%

# Anti-HER2 – Trastuzumab and Pertuzumab



The mechanism of action of pertuzumab and trastuzumab. Trastuzumab binds to the ECD IV of the HER2 receptor, preventing the spontaneous formation of homodimers (HER2–HER2) and ligand-independent heterodimers (HER2–HER3 and also HER2–HER1 and HER2–HER4). Pertuzumab binds to the dimerization domain of the HER2 receptor (ECD II), preventing the formation of ligand-induced HER2 heterodimers.

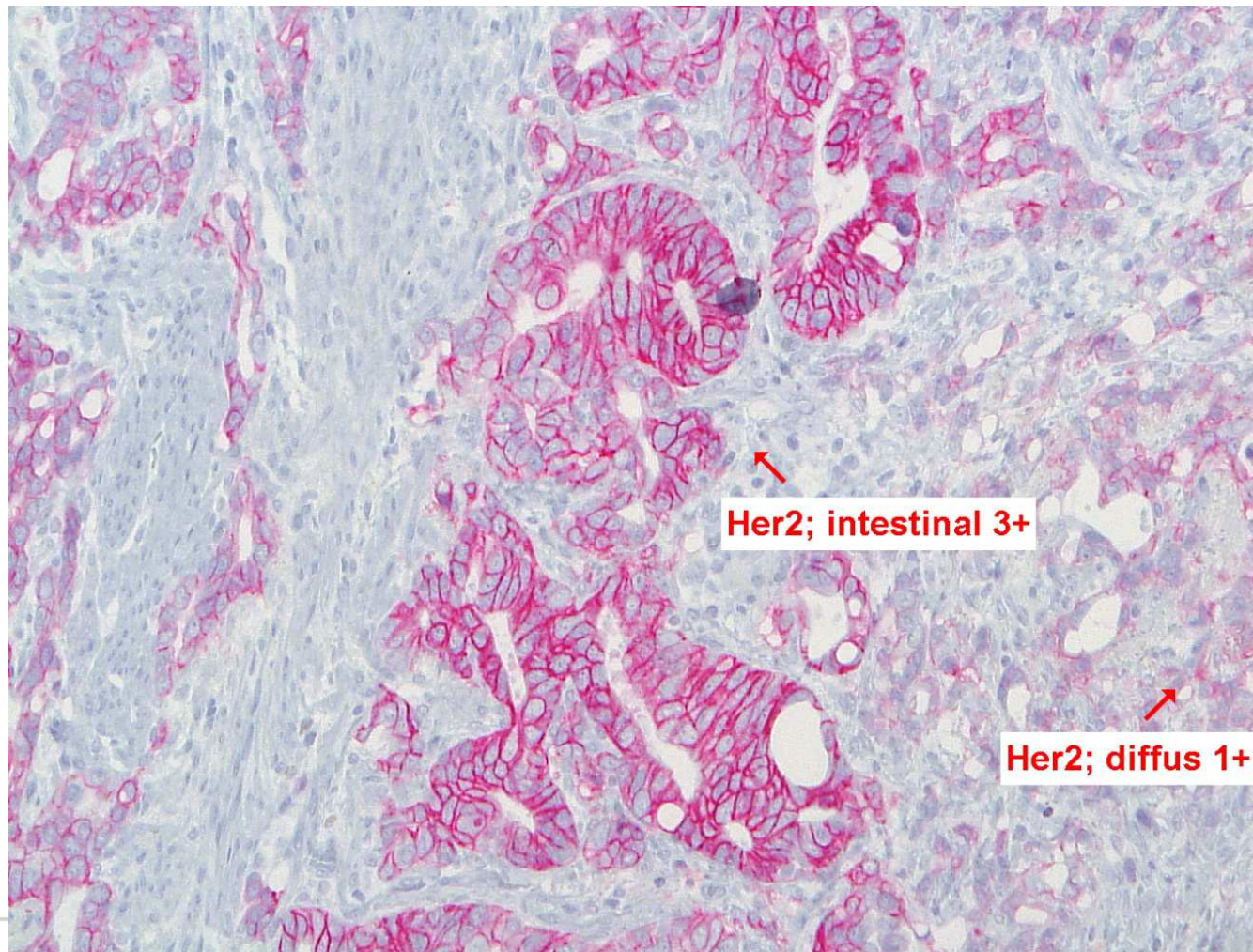
# Anti-HER2 – INNOVATION Neoadjuvant





# Anti-HER2 – Challenges

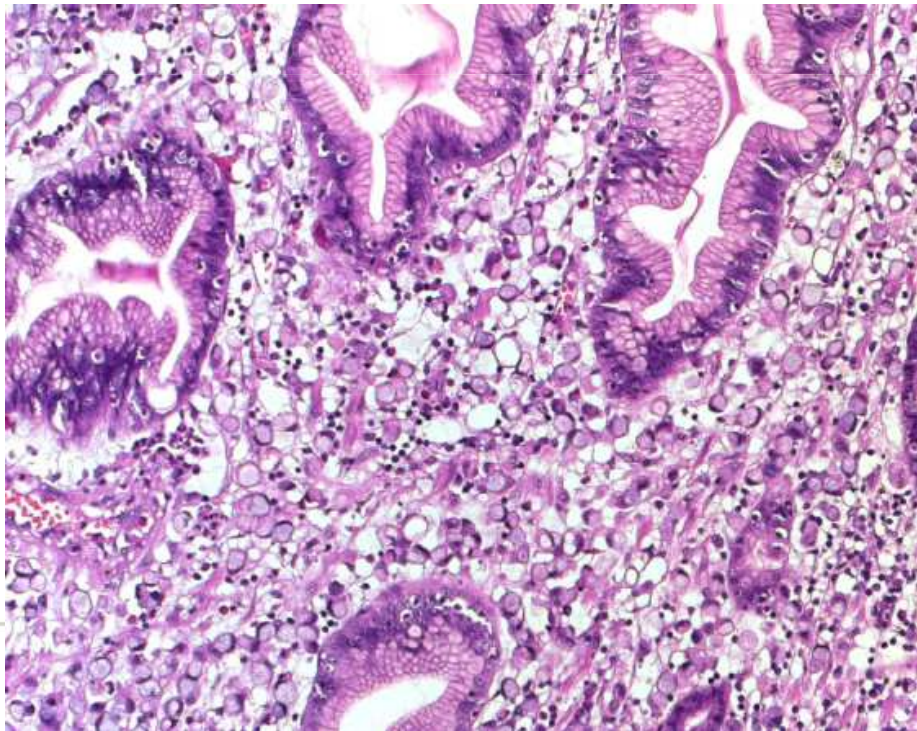
Focal staining for HER2 in 33% of gastric cancers



HER2, human epidermal growth factor receptor 2.

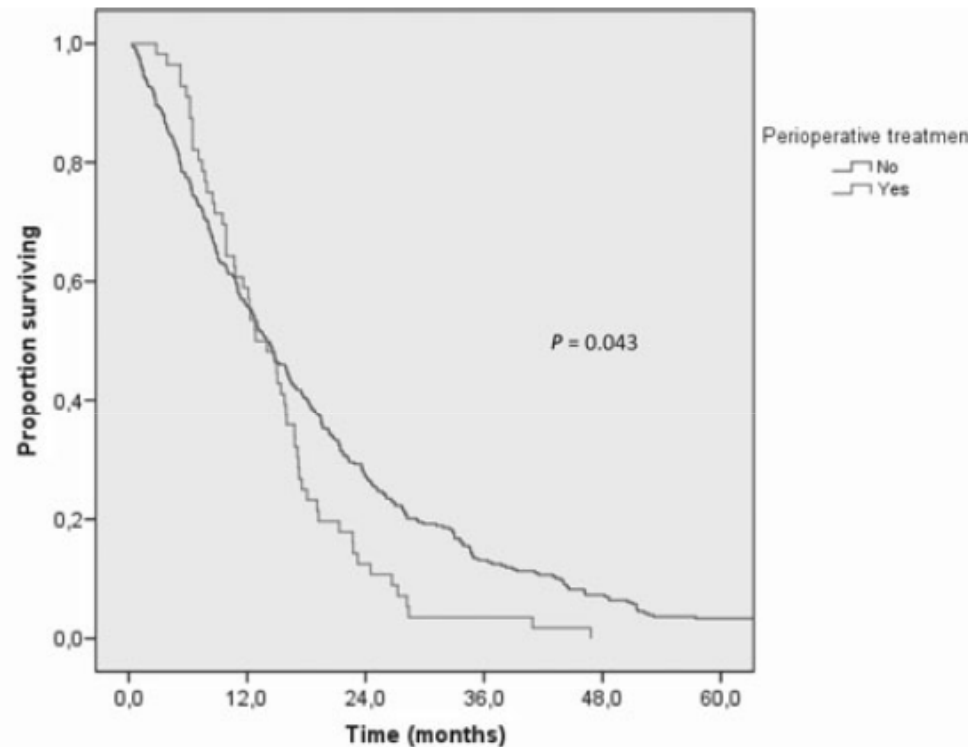
Lordick F, Janjigian YY. *Nat Rev Clin Oncol* 2016 [Epub]

# Diffuse Type – Signet Ring Cell - Challenges



# Diffuse Type – Signet Ring Cell - Challenges

Retrospective analysis from a national French register: periop. chemo



S group	753	420	204	99	55	26
PCT group	171	101	21	6	0	0

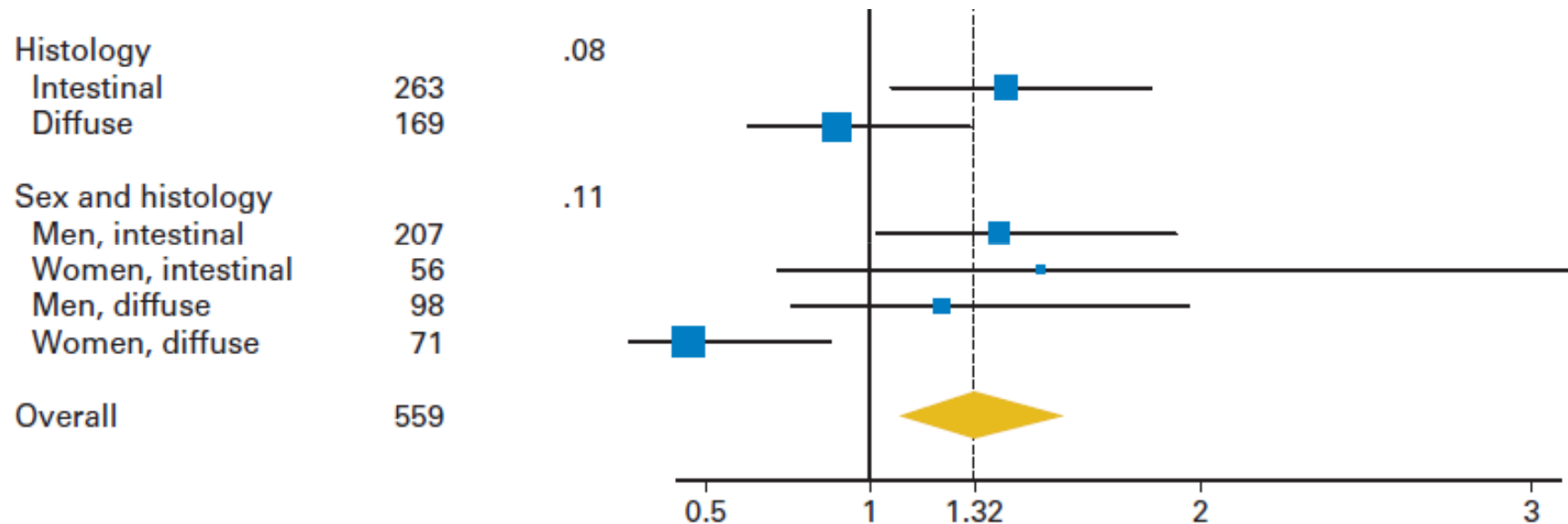
## Caveat:

- retrospective
- No central pathologic assessment

Hypothesis: signet ring cell cancers do not benefit from neoadjuvant chemoTx

# Diffuse Type – Signet Ring Cell - Challenges

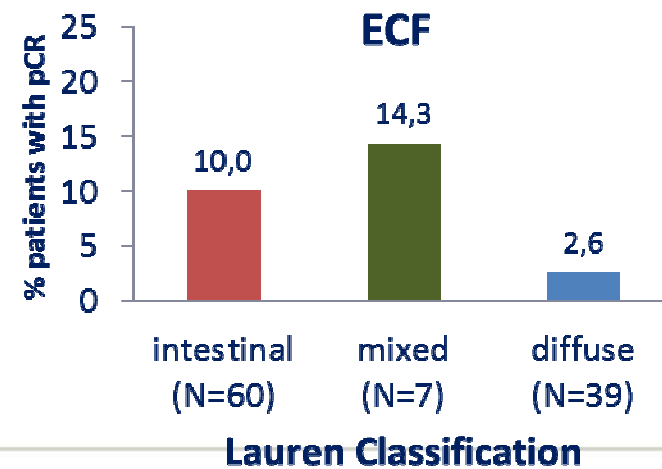
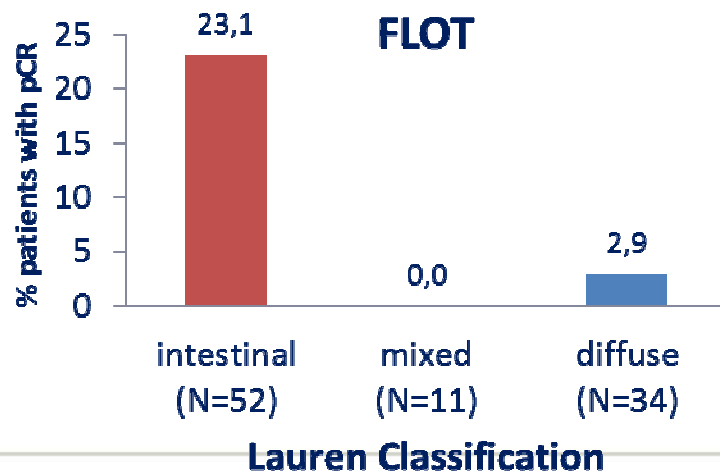
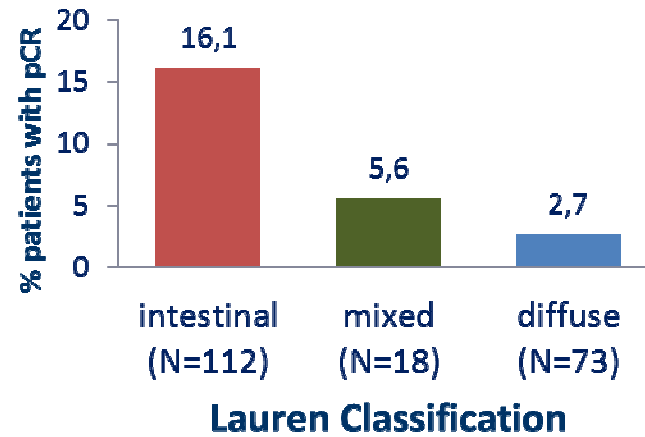
Post-hoc analysis from SWOG/INT-0116 (USA): adjuvant radio-CTx



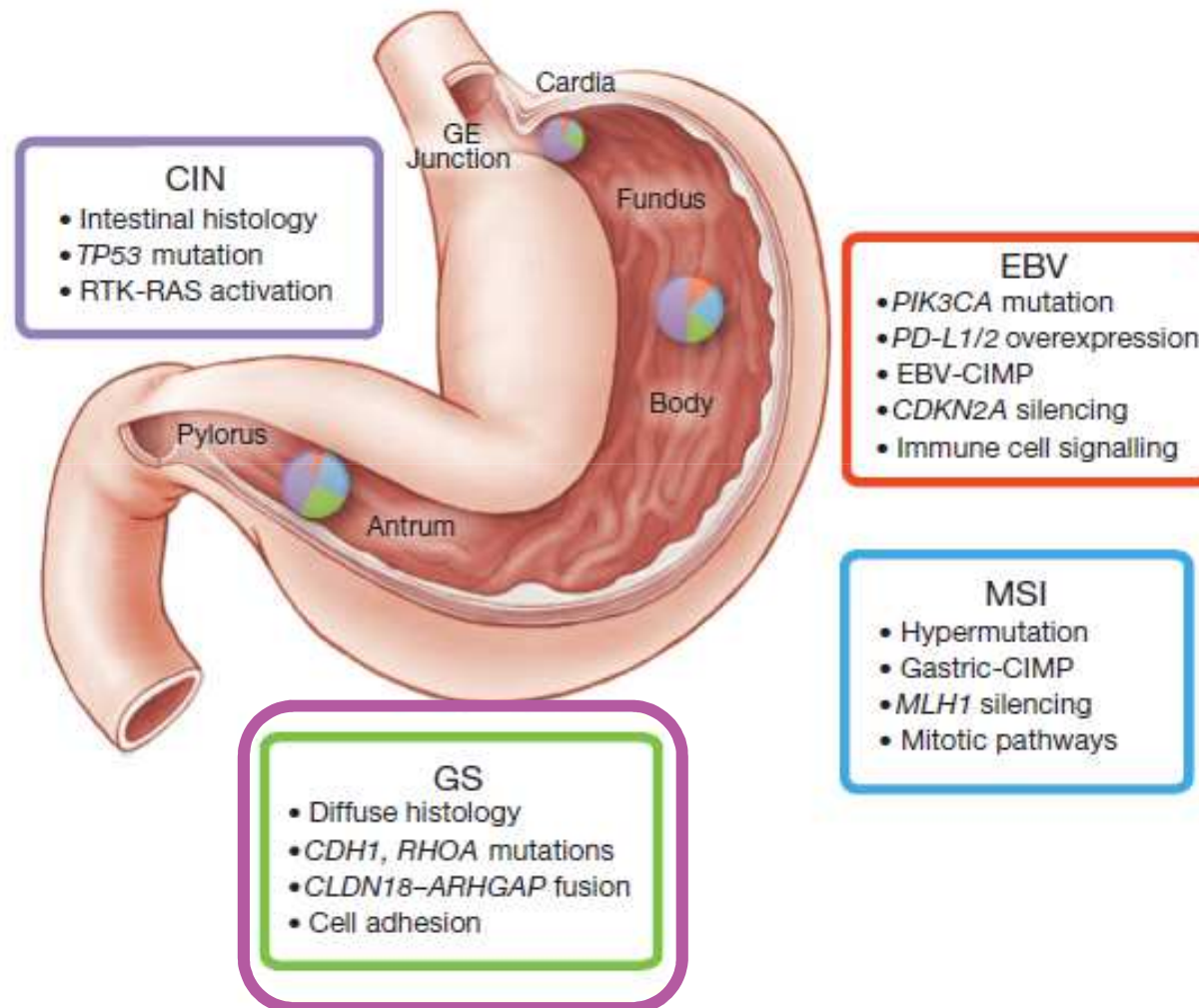
Hypothesis: diffuse type tumors do not benefit from adjuvant radio-CTx

# Diffuse Type – Signet Ring Cell - Challenges

Even “FLOT” does not work



# The Future – New Molecular Targets?

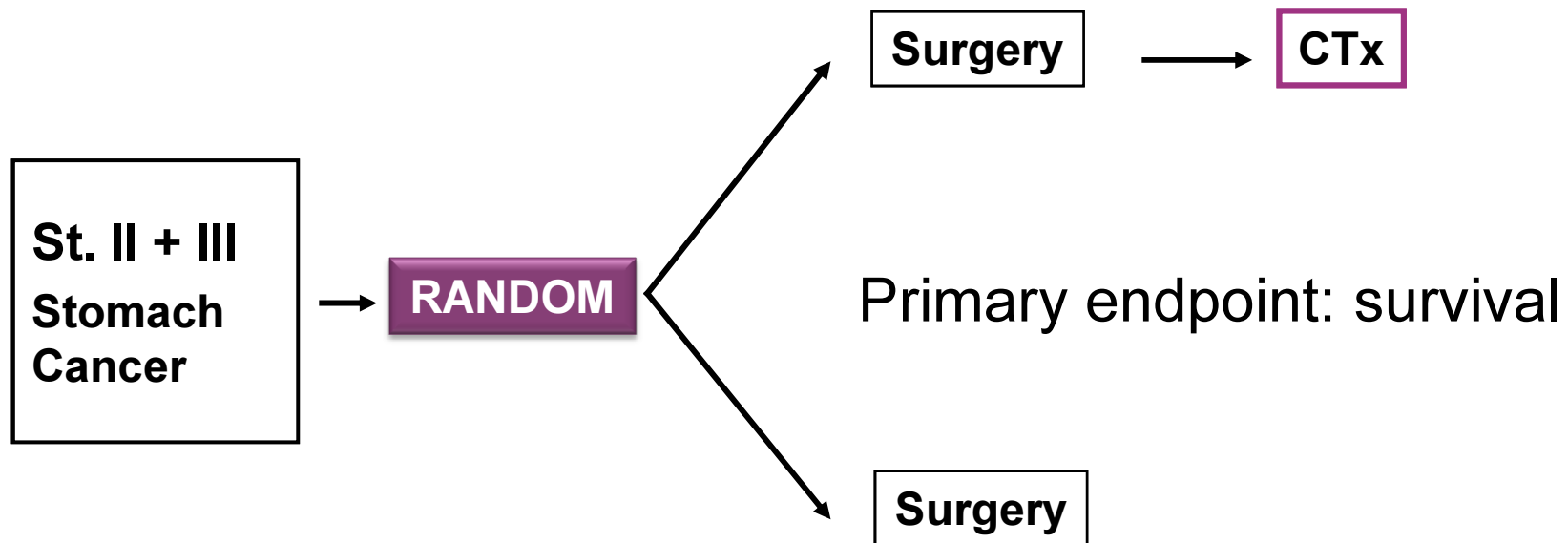


CIMP, CpG island methylator phenotype; CIN, chromosomal instability; EBV, Epstein-Barr virus; GE, gastroesophageal; GS, genomically stable; MSI, microsatellite instability.

The Cancer Genome Atlas Research Network. *Nature* 2014;513:202-9

# Adjuvant Approach

## Multiple Adjuvant Studies



# Adjuvant Approach

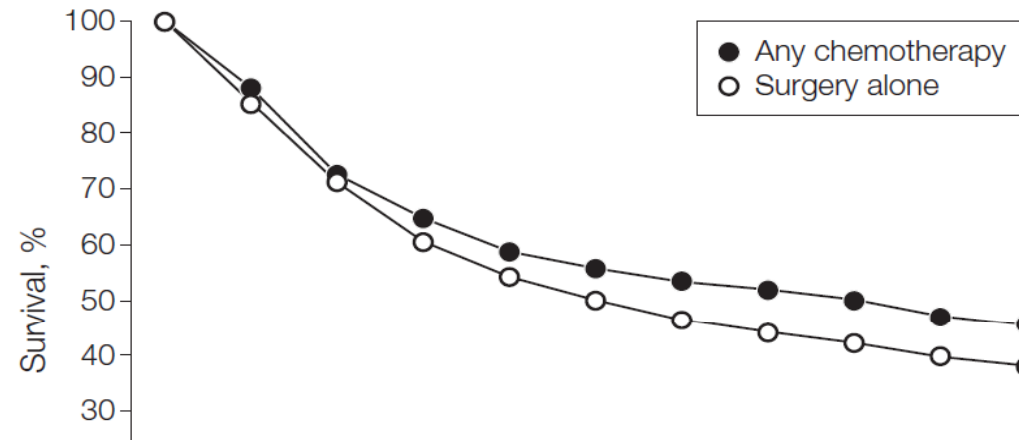
Metaanalysis	Studies (n)	Patients (n)	Odds ratio (CI)
Hermans 1993	11	2096	0.88 (0.78-1.08)
Earle 1999	13	1990	<b>0.80 (0.66-0.97)</b>
Mari 2000	21	3658	<b>0.82 (0.75-0.89)</b>
Janunger 2002	21	3962	<b>0.84 (0.74-0.96)</b>
GASTRIC 2010	17	3838	<b>0.82 (0.75-0.90)</b>

- **5-year survival benefit ~ 5% (GASTRIC 2010)**
- **Some more benefit in node positive tumors (Janunger 2002)**



# Adjuvant Approach

**Figure 3.** Overall Survival Estimate After Any Chemotherapy or Surgery Alone Truncated at 10 Years



## 5- year survival

**Surgery alone**

**49.6 %**

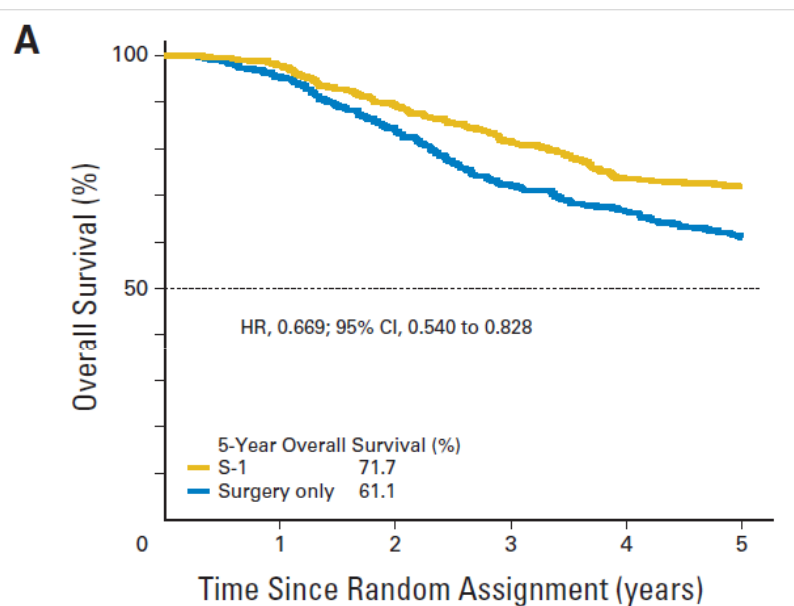
**Adjuvant chemotherapy**

**55.3 %**

**HR = 0.82; p < 0.001**

# Adjuvant Approach

## Japan ACTS-GC 2007 (1 year S-1)

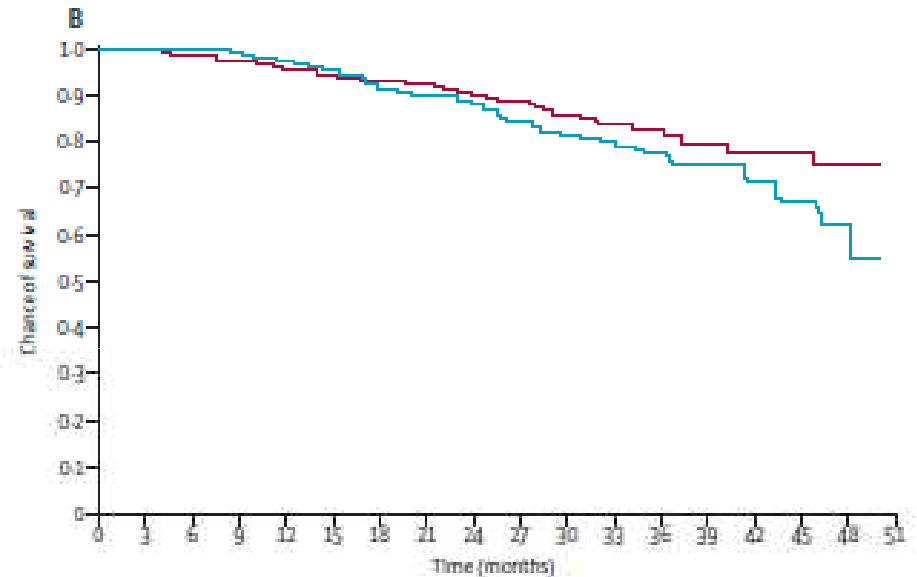


### Overall Survival

HR = 0,669 (95% CI, 0.540 to 0.828)

P = 0,003

## Korea/China/Taiwan Classic 2012 (6 mon Cape-Ox.)



### Overall Survival (preliminary)

HR = 0,72 (95% CI, 0.52 to 1.00)

P < 0,0493

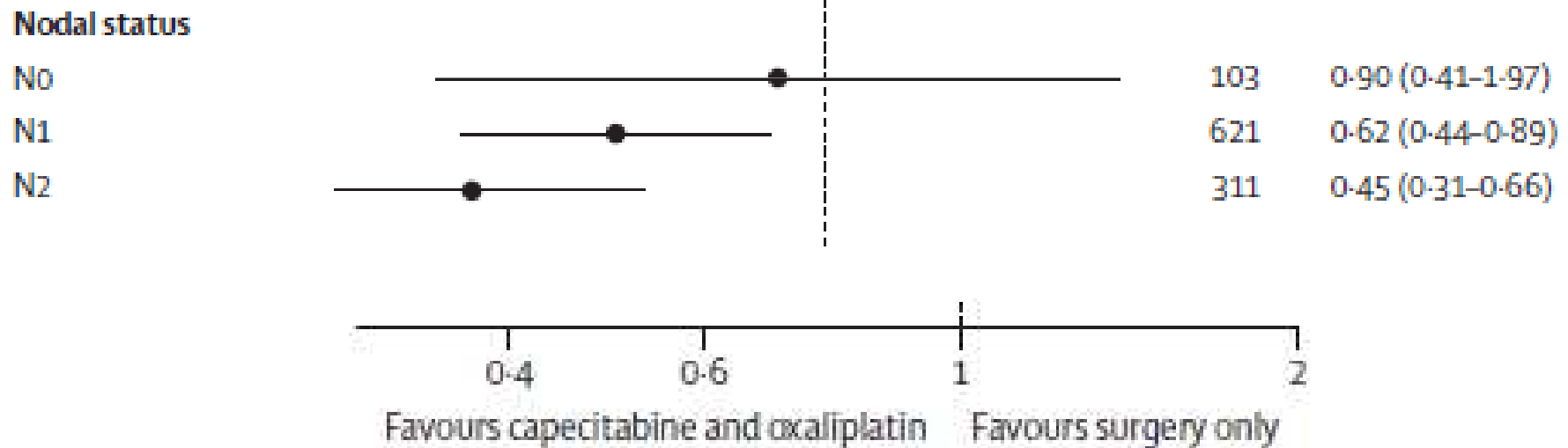
Sakuramoto S et al. *N Engl J Med* 2007;357:1810-1820

Sasako et al. *J Clin Oncol* 2011; 29: 4387-4393

BangYJ et al. *Lancet* 2012; 379: 315-21

# Adjuvant Approach

## Korea/China/Taiwan Classic 2012



# Adjuvant Approach

- Adjuvant chemotherapy is moderately effective
- Gain in overall survival ~ 5 %
- Combination more effective in N+ disease
- Is it worth intensifying adjuvant chemotherapy?

# Adjuvant Approach

G  
I  
S  
C  
A  
D

**5-FU (375mg/m<sup>2</sup> bolus) / LV (20mg/m<sup>2</sup>) d1-5; q4w x 6**

N = 400

**wPELF (weekly cisplatin, epirubicine, LV, 5-FU) x 8**

Cascinu et al., *J Nat Canc Inst* 2007; 99: 601-607

I  
T  
A  
C  
A  
-  
S

**5-FU (400-600mg/m<sup>2</sup>) / LV (100mg/m<sup>2</sup>) d1-2; q2w x 9**

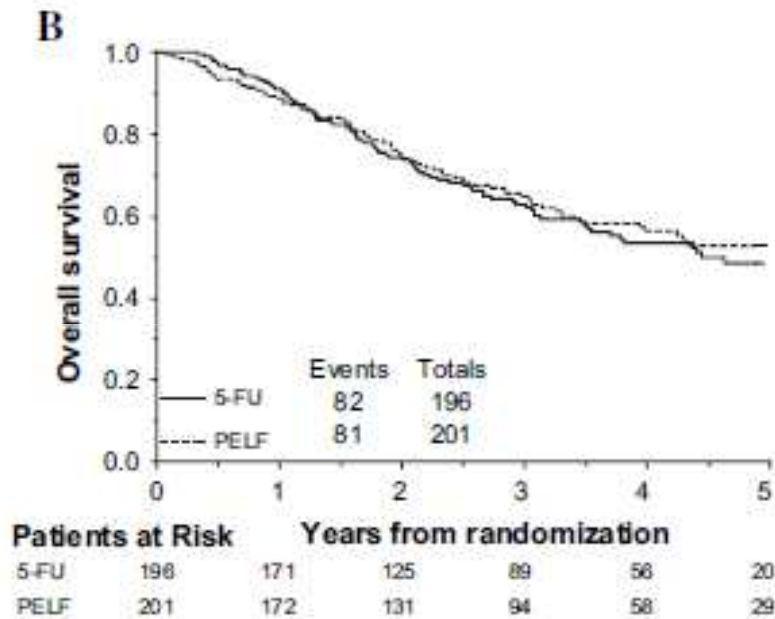
N = 1106

**FOLFIRI x 4 → Docetaxel/Cisplatin x 3**

Bajetta et al., *Ann Oncol.* 2014; 25: 1373-8

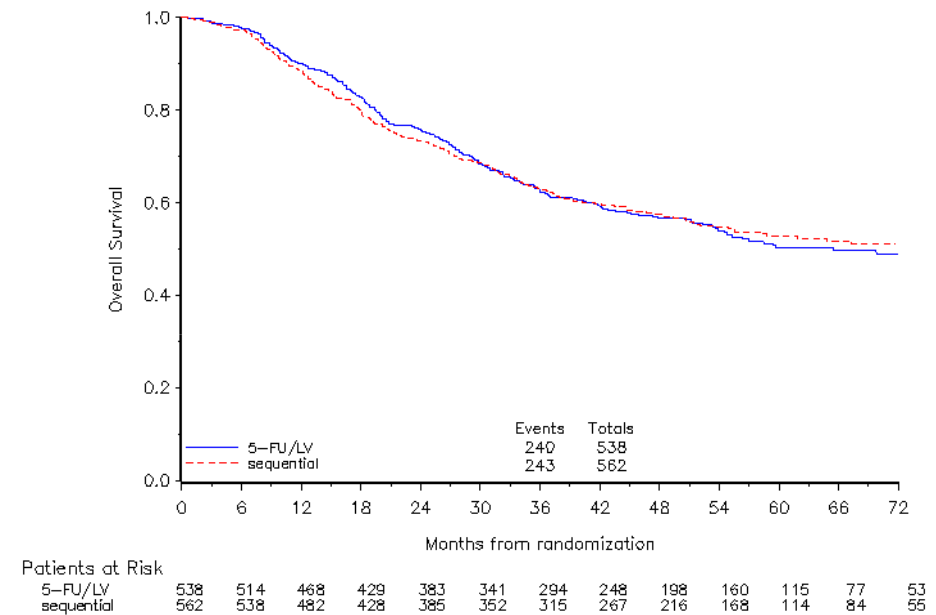
# Adjuvant Approach

## GISCAD Study



Cascinu et al., *J Nat Canc Inst* 2007; 99: 601-607

## ITACA-S Study



Bajetta et al., *Ann Oncol.* 2014; 25: 1373-8

Postoperative CTx intensification did not improve outcomes in EU

# Summary

- Perioperative chemotherapy is the EU standard of care for T3-4 and/or N+
- Perioperative CTx is based on platinum and a fluoropyrimidine
- Studies on integration of RTx and comparative studies are ongoing
- Taxanes may improve chemotherapy response and survival
- Anti-angiogenic treatment is not effective
- Anti-HER2-directed treatment: under investigation
- Adjuvant chemotherapy marginally effective
- Intensification of adjuvant / postoperative chemotherapy has thus far not improved survival outcomes

# 8 – 11 May 2019, Prague (CZ)

## 13<sup>th</sup> INTERNATIONAL GASTRIC CANCER CONGRESS IGCC 2019



Photos ©CzechTourism.com



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Welcome

Venue

Prague

Czech Republic

Contacts

### Welcome

Dear Participants of the International Gastric Cancer Congress 2019,

With great pleasure we announce the 2019 International Gastric Cancer Congress to be held in Prague. Gastric Cancer continues to be a major health problem in Europe, in the Asian-Pacific Region, in America, Middle East and Africa. From a worldwide perspective, almost 1 Mio patients are diagnosed with gastric cancer / year and 750.000 die from this aggressive cancer.

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ESTRO:

Upper GI: technical and clinical challenges for Radiation Oncologists

# Gastric tumors: Pathology evaluation

Alexander Quaas  
Institute of Pathology  
University of Cologne



# Road map

- Facts – gastric carcinoma in Germany
- Morphology based and molecular based diagnostics
- Tumor extension evaluation – using UICC- TNM 7th edition (since 2010)
- Lymph nodes stations (D1-D4)
- Patho-anatomical basics and reportings



# Facts

- Germany 2016: 9.200 men /6.400 women
- 60-70% will die carcinoma-related in following years
- In metastasis/recurrence: dismal prognosis (8 months median survival)

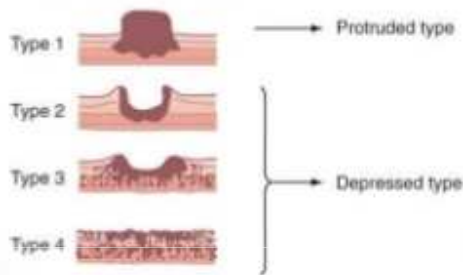
From: gekid.de (Gesellschaft der epidemiologischen Krebsregister in Deutschland e.V.) and krebdaten.de (Robert-Koch-Institut)



# Traditional morphology based diagnostics

## Classifications

Borrmann's classification



- 1926-
- 1942- **Border's** classification- degree of cellular differentiation.
- 1965- **Lauren-** Intestinal, Diffuse types.
- 1990- **WHO-** Adeno Ca., AdenoSq., SqCC, Small cell Ca., Undifferentiated Ca.

From: Dr. D. Guin, St. John's Medical College Hospital

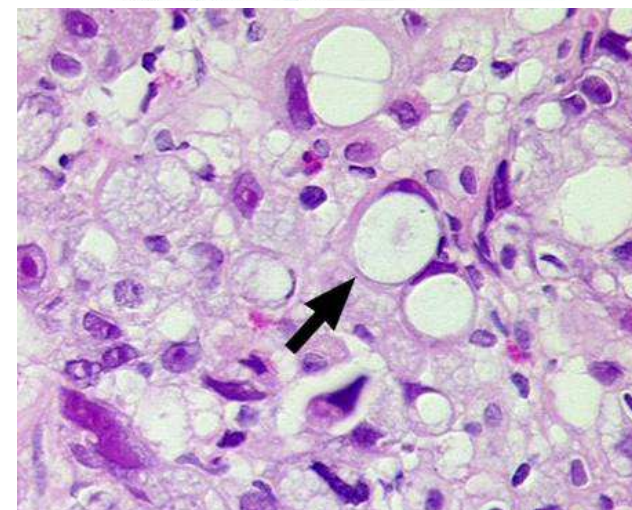
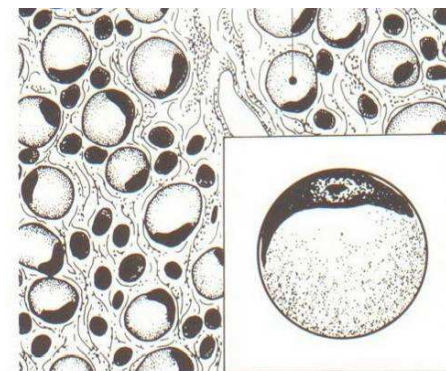


# Traditional morphology based diagnostics

Table 1 Gastric adenocarcinoma classification systems

WHO (2010)	Lauren (1965)
Papillary adenocarcinoma	Intestinal type
Tubular adenocarcinoma	
Mucinous adenocarcinoma	
Signet-ring cell carcinoma And other poorly cohesive carcinoma	Diffuse type
Mixed carcinoma	Indeterminate type
Adenosquamous carcinoma	
Squamous cell carcinoma	
Hepatoid adenocarcinoma	
Carcinoma with lymphoid stroma	
Choriocarcinoma	
Carcinosarcoma	
Parietal cell carcinoma	
Malignant rhabdoid tumor	
Mucoepidermoid carcinoma	
Paneth cell carcinoma	
Undifferentiated carcinoma	
Mixed adeno-neuroendocrine carcinoma	
Endodermal sinus tumor	
Embryonal carcinoma	
Pure gastric yolk sac tumor	
Oncocytic adenocarcinoma	

“Carcinomas of the stomach are a heterogeneous group of lesions in terms of architecture, pattern of growth, cell differentiation, and histogenesis...”

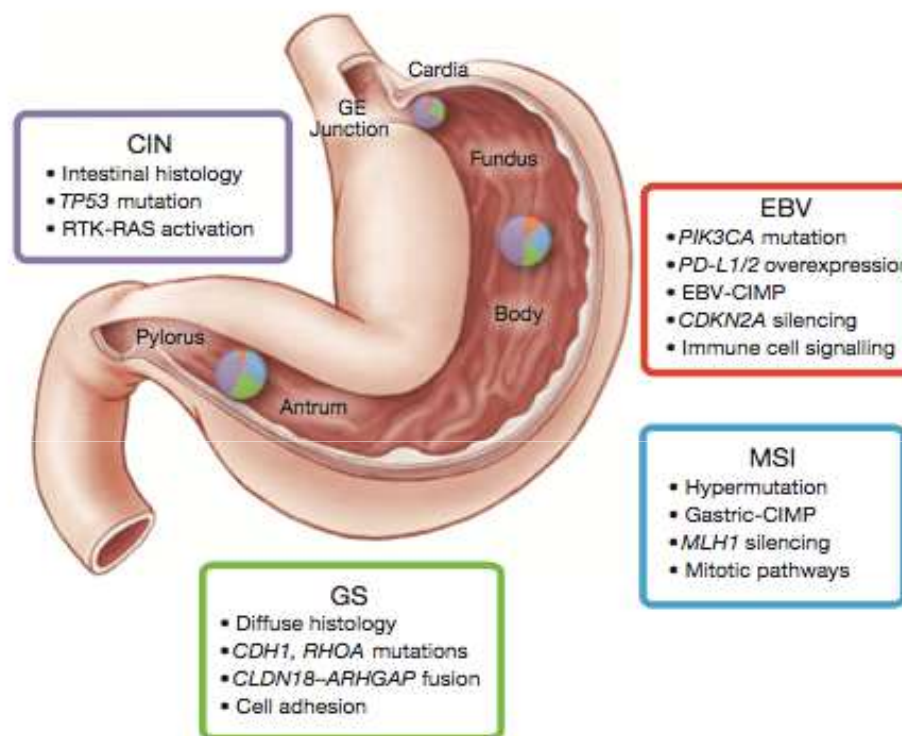


From: Bing Hu, Gastric cancer: Classification, histology and application of molecular pathology, J Gastrointest Oncol 2012;3(3):251-261  
Hye Seung Han and Gregory Y. Lauwers, Connection 2010

# Molecular subtypes

- 1) Chromosomal instable 49,8%
- 2) Microsatellite-*instable* 21,7%
- 3) Genomic stable 19,6%
- 4) EBV-induced 8,9%

Microsatellite-*instable* carcinoma and EBV-positive carcinoma: more antigens/highly inflamed: probably immunocheckpoint inhibition (and perhaps radiation) more effective

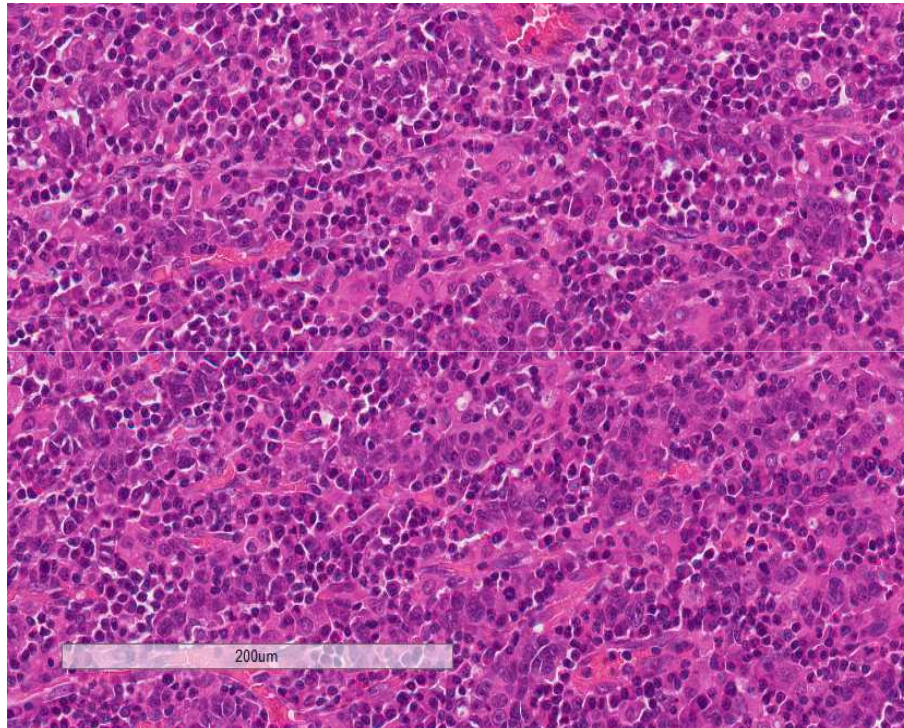


**Figure 6 | Key features of gastric cancer subtypes.** This schematic lists some of the salient features associated with each of the four molecular subtypes of gastric cancer. Distribution of molecular subtypes in tumours obtained from distinct regions of the stomach is represented by inset charts.

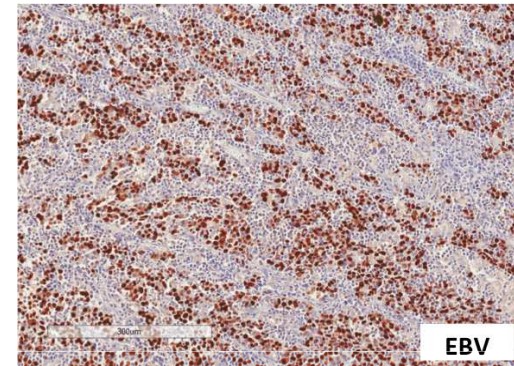
From: CancerGenomeAtlasResearchNetwork, „comprehensive molecular characterization of gastric adenocarcinoma“ Nature 2014



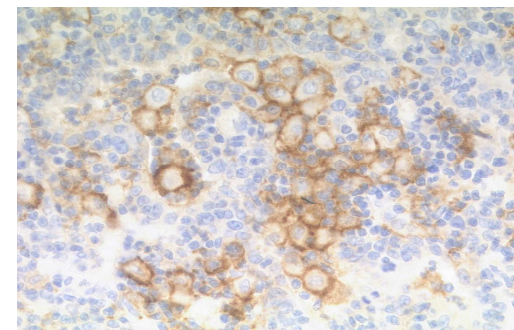
# EBV+ gastric carcinoma



WHO: Gastric carcinoma with lymphoid stroma  
(medullary or lymphoepithelioma-like carcinoma)



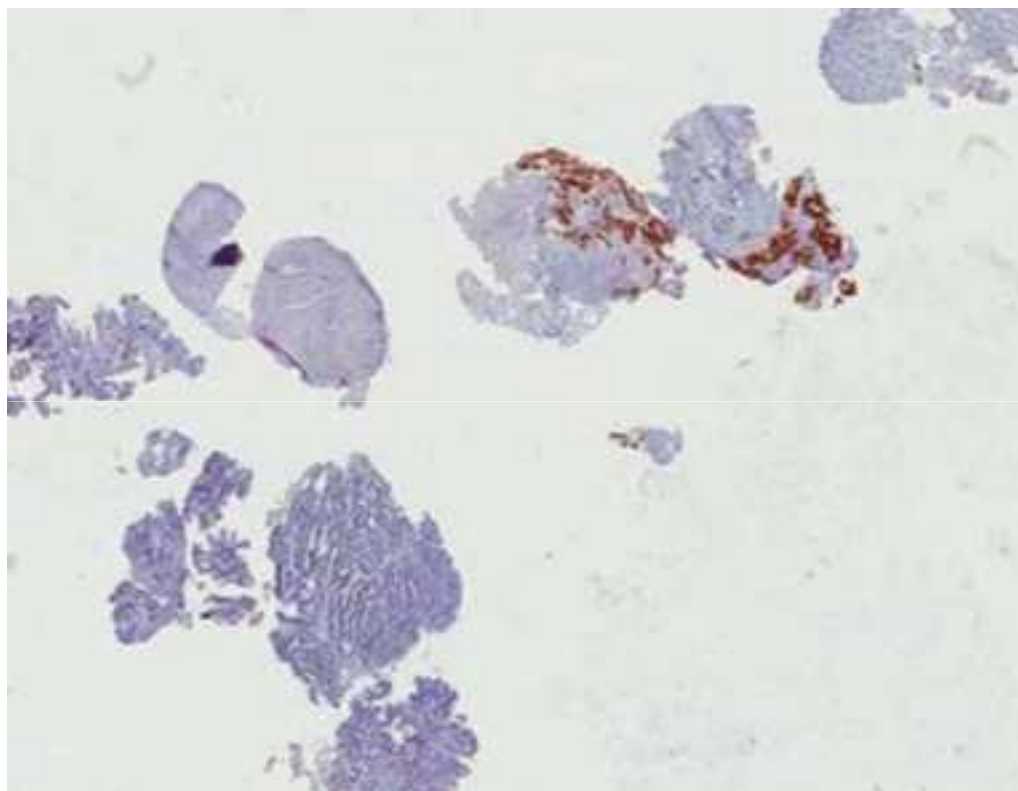
EBV-encoded RNA (EBER) in-situ hybridization (ISH)



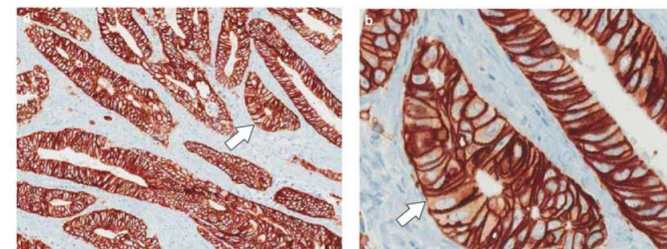
PD-L1 Immunohistochemistry, Dako-clone 28-8



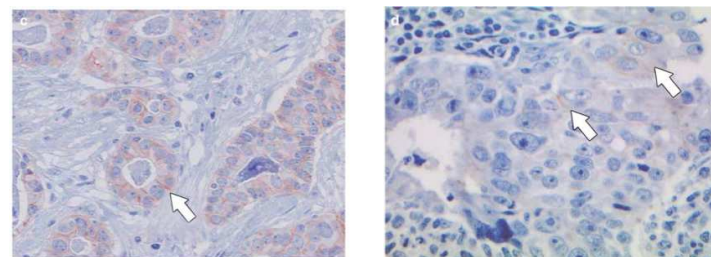
# Her2+ gastric carcinoma



Positivity: baso-lateral or circumferential staining  
Highly heterogenous distribution  
Use other staining protocols: breast/gastric



Magnification rule: 2,5-5X easy to see: 3+

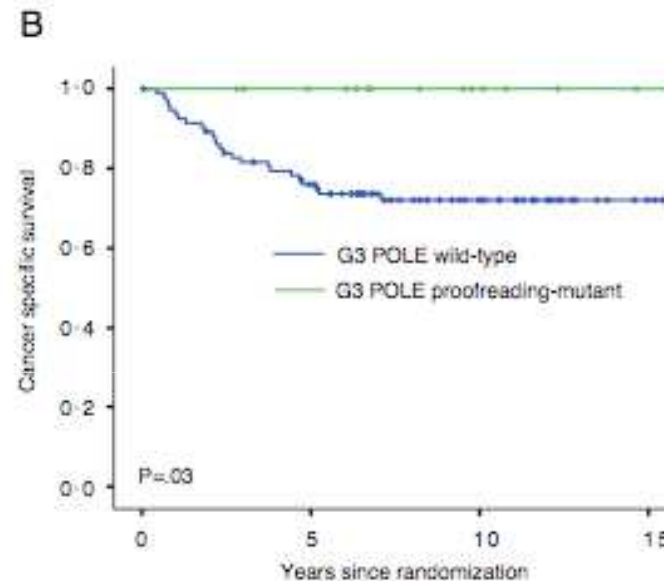
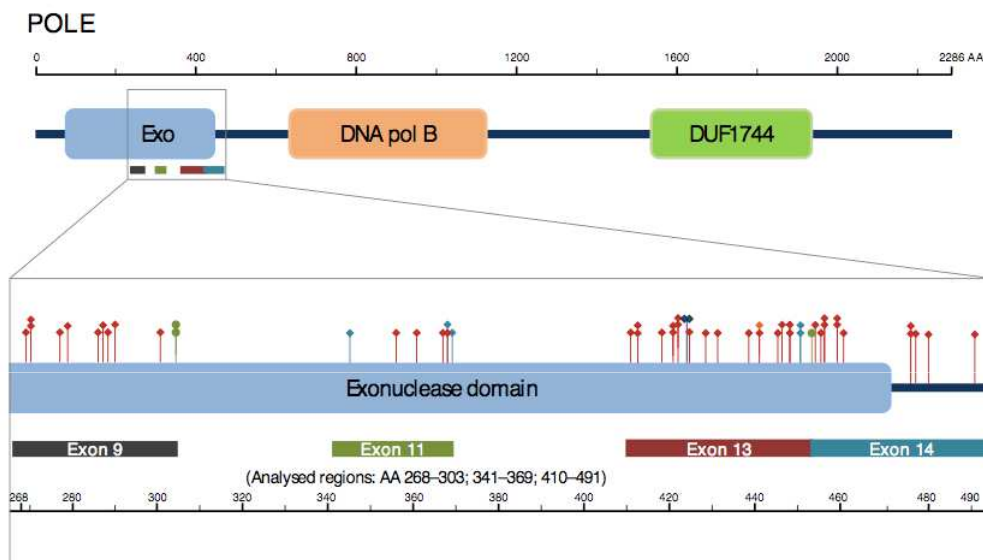


Magnification rule: 20x : 2+ - (F) ISH and 1+ is negative





# POLE-mutated gastric carcinoma?

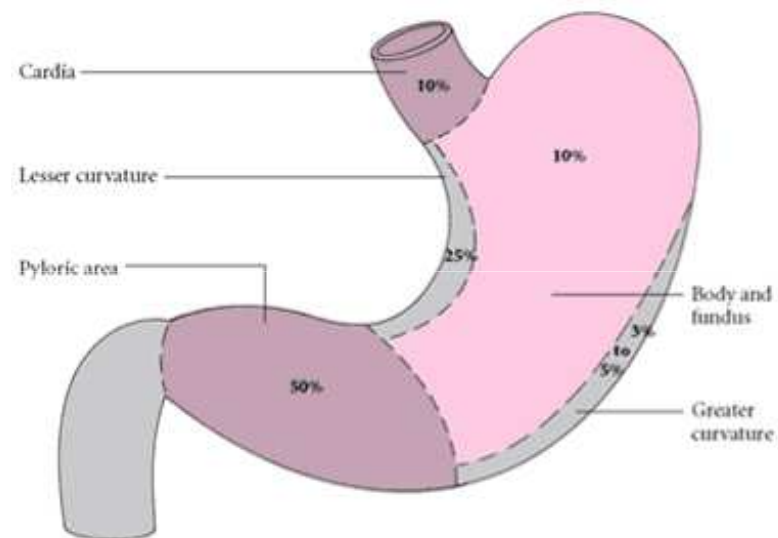


- G3 morphology?
- Good prognosis?
- Highly sensitive to chemotherapy?

From: Church, DN Prognostic significance of POLE proofreading mutations in endometrial cancer, J Natl Cancer Inst 2015  
Stenzinger A Mutations in POLE and survival of colorectal cancer patients – link to disease stage and treatment, Cancer Med. 2014



# Distribution

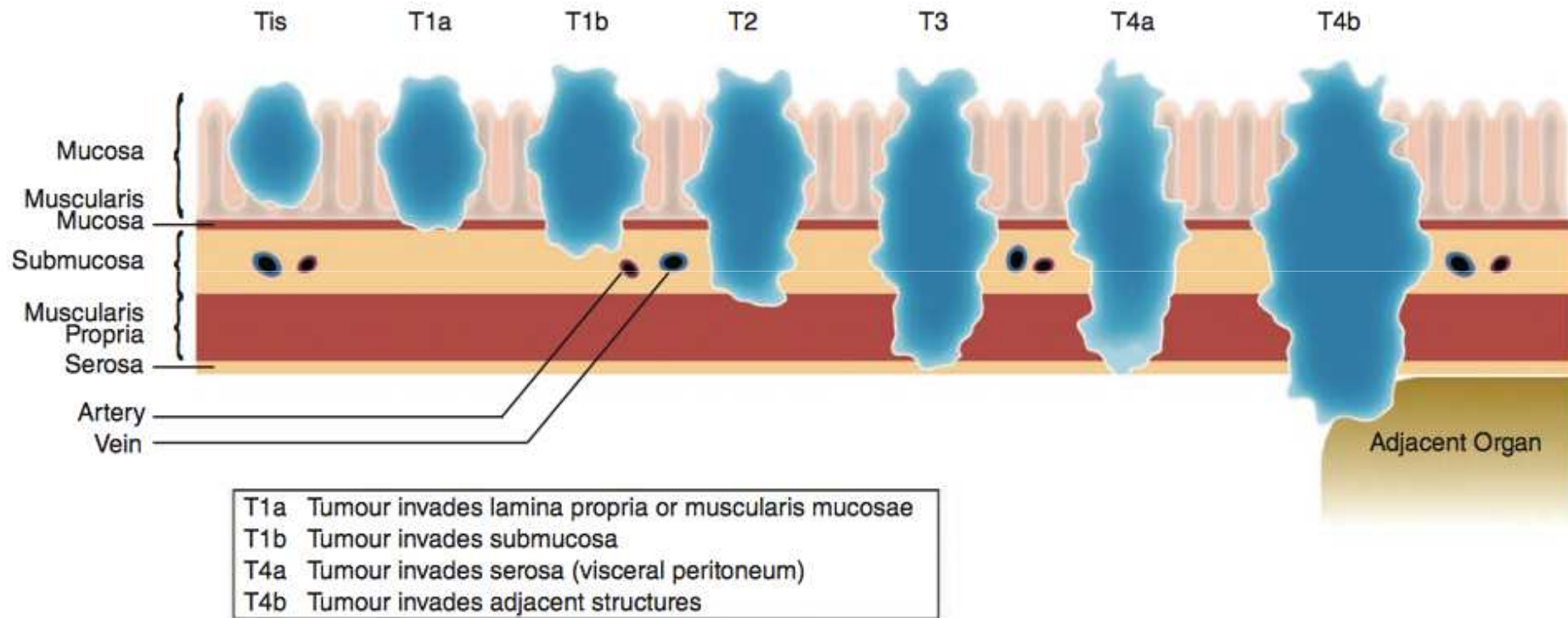


But: increased incidence of cardia carcinoma/GEJ Carcinoma. „Intestinal type“ carcinoma, more often Her2/neu positive



Primary Tumor (T)	
TX	Primary tumor cannot be assessed
T0	No evidence of primary tumor
Tis	Carcinoma <i>in situ</i>
T1	Tumor invades lamina propria or submucosa
T1a	Tumor invades lamina propria
T1b	Tumor invades submucosa
T2	Tumor invades muscularis propria
T3	Tumor invades subserosa (was T2b)
T4a	Tumor perforates serosa (was T3)
T4b	Tumor invades adjacent structure
Regional Lymph Nodes (N)	
NX	Regional lymph nodes cannot be assessed
N0	No regional lymph nodes metastasis
N1	Metastasis in 1 to 2 regional lymph nodes
N2	Metastasis in 3 to 6 regional lymph nodes (was N1)
N3a	Metastasis in 7 to 15 regional lymph nodes (was N2)
N3b	Metastasis in more than 16 regional lymph nodes (was N3)
Distant Metastasis (M)	
MX	Distant metastasis cannot be assessed
M0	No distant metastasis
M1	Distant metastasis

From: Hye Seung Han and Gregory Y. Lauwers, Connection 2010

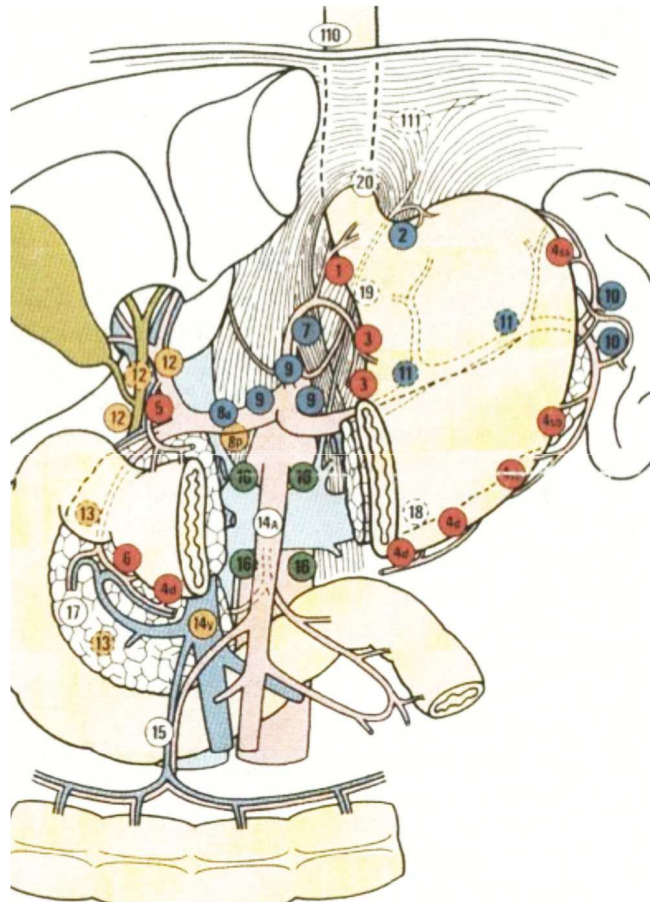


From: Hye Seung Han and Gregory Y. Lauwers, Connection 2010



# Lymphnodes stations

16 different LN stations surround the stomach (D1-D4)



● **D1 dissections:**  
**LN stations 1-6; N1 level**

- 1 Right cardia
- 2 Left cardia
- 3 along lesser curvatur
- 4 along right curvatur
- 5 suprapyloric
- 6 infrapyloric

● **D2 dissections:**  
**LN stations 7-11; N2 level**

- 7 left gastric artery
- 8 common hepatic artery
- 9 celiac trunk
- 10 splenic hilus
- 11 splenic artery

● ○ **D3 dissections:**  
**LN stations 12-14; N3 level**

- 12 hepatoduodenal ligament
- 13 posterior surface of pancreas head
- 14 root of the mesentery/artery/vein

○ ● **D4 dissections:**  
**LN stations 15-16; N4 level**

- 15 paraaortic
- 16 paracolic

From: Hong JK et al: Standardization of the extent of lymphadenectomy for gastric cancer: impact on survival. *Advances in Surgery*, Vol. 35, 2001 pp 203-223; S3-Leitlinie Magenkarzinom; Springer Science, Business Media ; Siewert et al *Praxis der Viszeralchirurgie. Onkologische Chirurgie – 3.Auflage*2010(541): Abb.40.12.



# Regression-Scores after neoadjuvant therapy

According to Becker et al:

Morphological regressions signs:

- oedema
- necrosis
- foamy histiocytes
- fibrosis and hyalinosis

## Grading of Histopathologic Regression in the Primary Tumor Bed

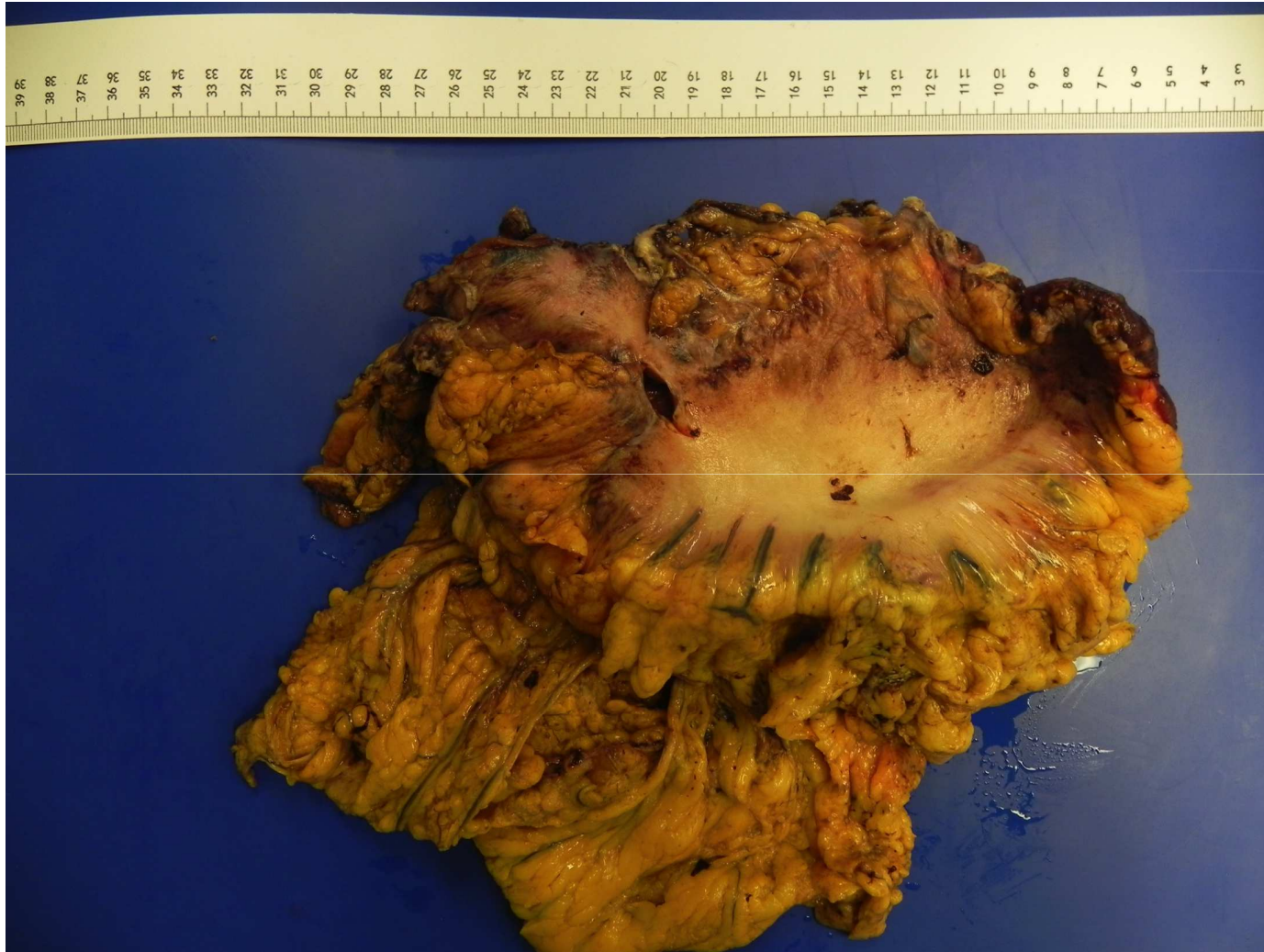
Grade	Description
1a	No residual tumor / tumor bed
1b	< 10% residual tumor / tumor bed
2	10-50% residual tumor / tumor bed
3	> 50% residual tumor / tumor bed

From: Becker et al. Ann Surg 2011 or Becker et al. Cancer 2003



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# Surgical specimens

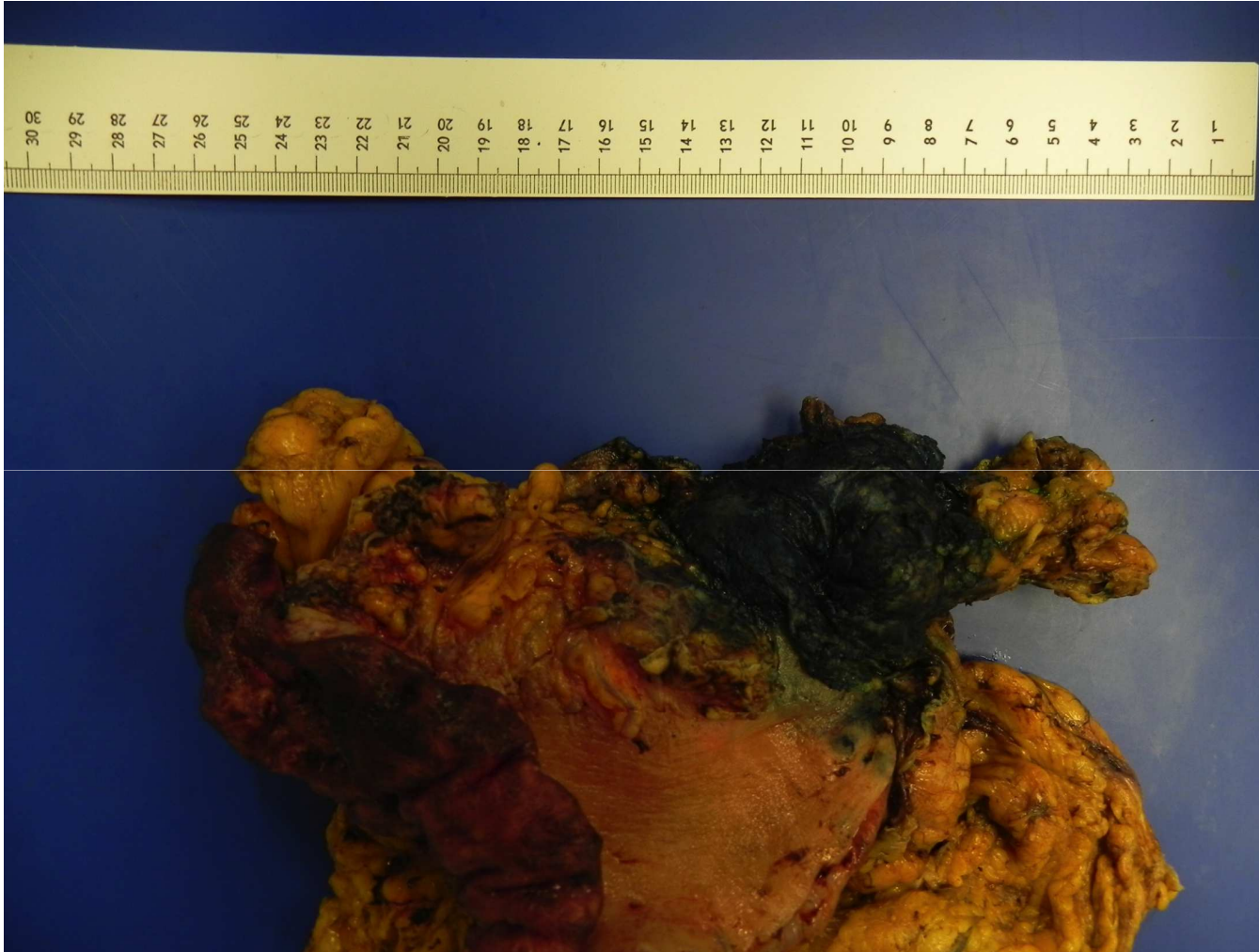


Gastrectomy



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# Surgical specimens

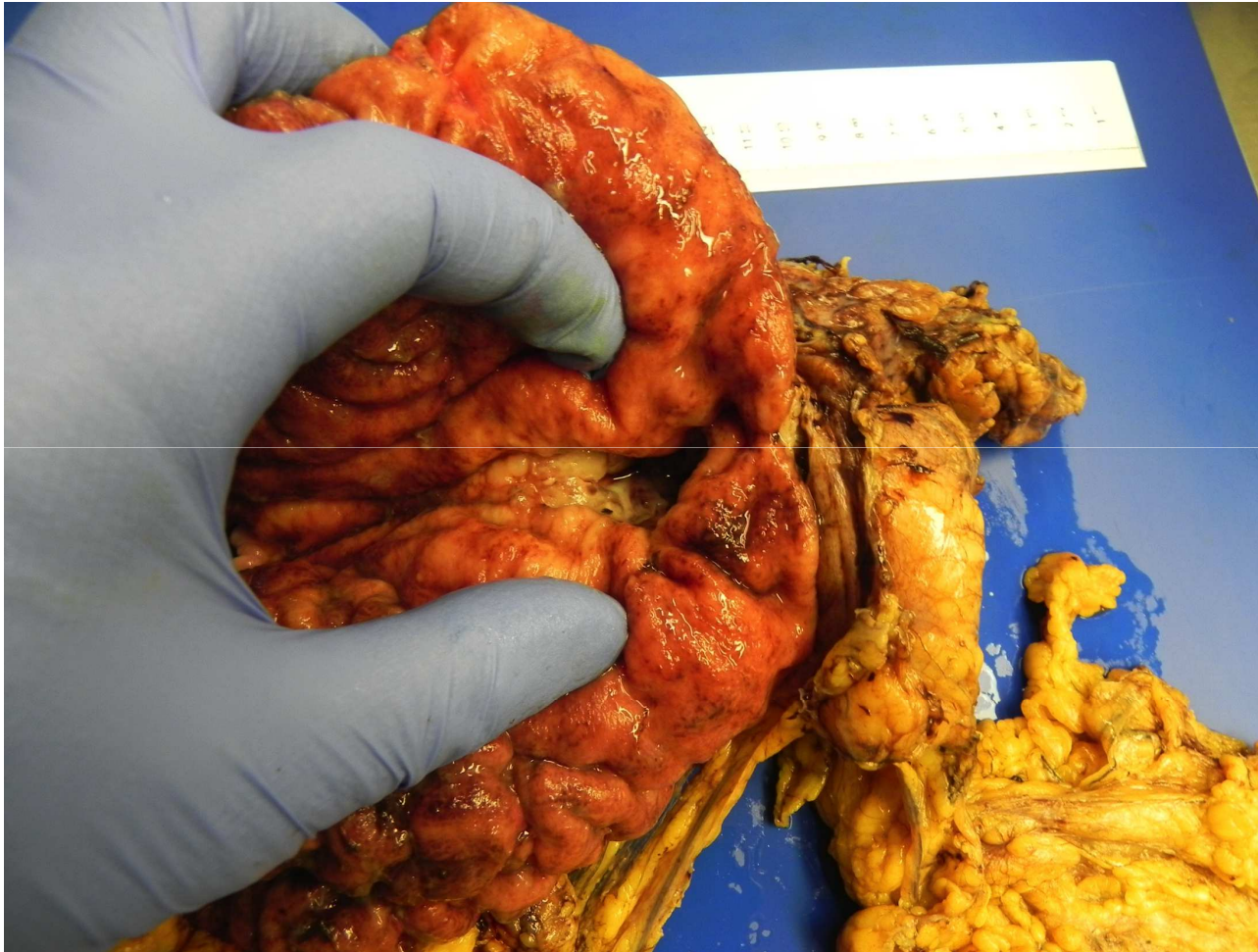


Colour-marked serosa





# Surgical specimens



Ulcerated tumor



# Surgical specimens



Probably already lymph nodes metastasis



# Surgical specimens



Tumor in close contact to serosa – probably pT4a



# Summary

- 1) two main types: intestinal and diffuse adenocarcinoma (according to Lauren)
  
- 1) Many (and rare) special types according to WHO
  
- 3) some progress in molecular subtyping  
(MSI and EBV related: checkpoint inhibition effective?)  
(Which tumor-subgroup/patients are particularly therapy sensitive?)
  
- 4) >16 regional lymph nodes
  
- 5) regression scores after neoadjuvant treatment (e.g. Becker et.al)



# „the new pathologist“



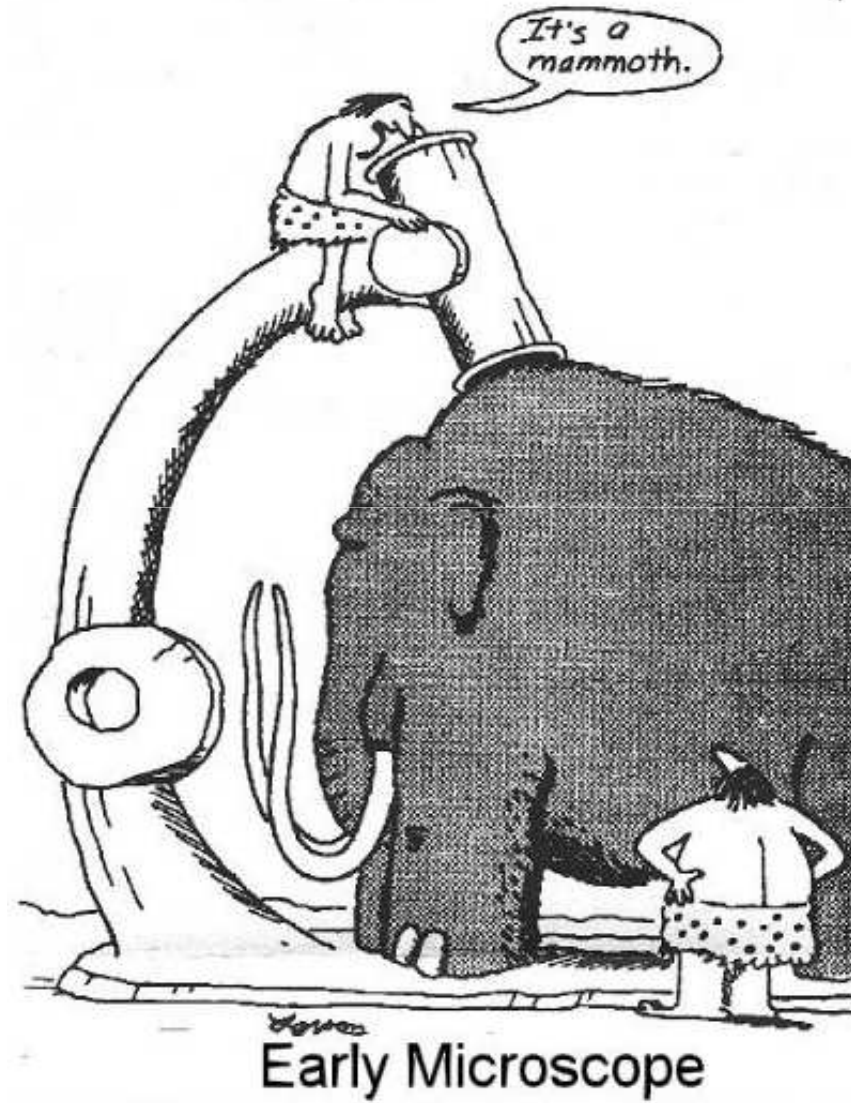
1997: deliverer of diagnosis



2020: „Chief Treating Bull“



# Thank you for your attention





# **Gastric Cancer – Staging & Imaging of primary & nodal subsite boundaries**

Dr Angela M Riddell

Royal Marsden, London. UK

# STOMACH 7<sup>TH</sup> EDITION - AJCC

## Primary Tumor

- TX Primary tumour cannot be assessed
- T0 No evidence of primary tumour
- Tis Carcinoma in situ
- T1 Lamina propria or submucosa
  - T1a Lamina propria or muscularis mucosae
  - T1b Submucosa
- T2 Muscularis propria (was T2a)
- T3 Subserosa (was T2b)
- T4 Adjacent structures
  - T4a Perforates serosa (was T3)
  - T4b Other adjacent structures (was T4)

## Regional Lymph Nodes

- NX Lymph nodes cannot be assessed
- N0 No regional lymph node metastasis
- N1 1 to 2 regional lymph nodes
- N2 3 to 6 nodes (was N1)
- N3  $\geq 7$  nodes
  - N3a 7 to 15 nodes (was N2)
  - N3b  $\geq 16$  nodes (was N3)

## Distant Metastasis

- M0 No distant metastasis
- M1 Distant metastasis



# Staging of Gastric Cancer

Two main categories:

## Early gastric cancer

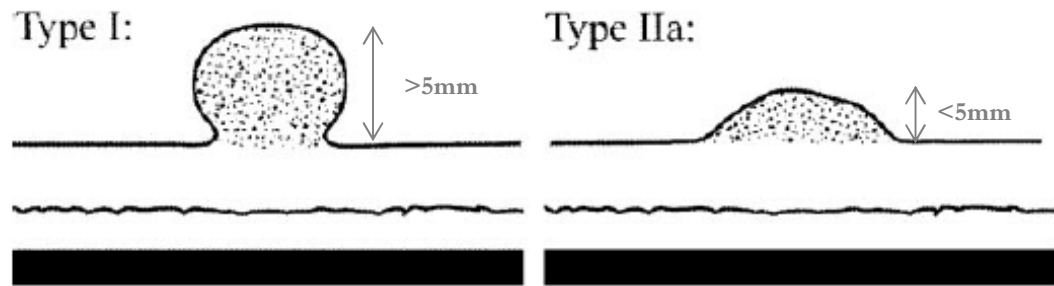
Malignant invasion confined to the mucosa & submucosa

## Advanced gastric cancer

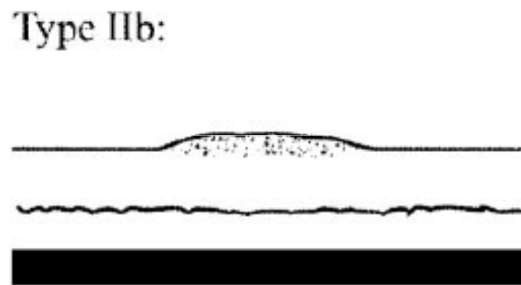
Malignant invasion into the muscularis propria

# Early Gastric cancer

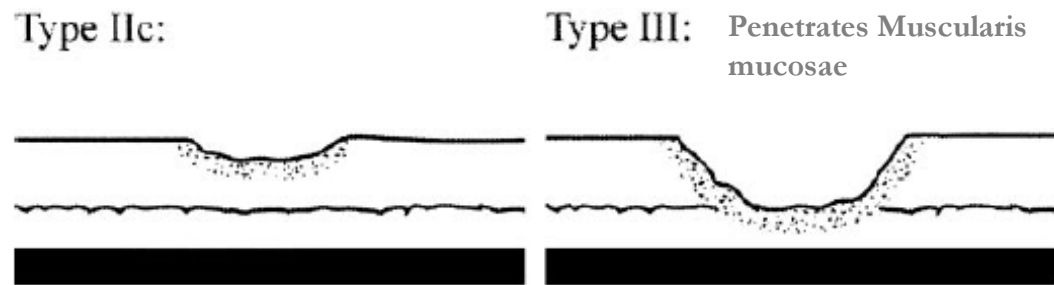
Elevated



Flat



Depressed



# Gastric Cancer Staging

Diagnosis – Endoscopic biopsy

Initial Imaging:

**MDCT**

Potentially operable disease:

PET/CT – exclude distant spread

Laparoscopy

EUS – Early disease, Proximal/ Distal Extent

MRI

# MDCT - Patient preparation

- Fasted for 6hrs
- Gastric distension
  - Anti spasmotic –Buscopan®
  - Oral contrast – water
- Position
  - Supine
  - Prone
  - Oblique angle to improve regional gastric distension

# MDCT - Scan Technique

Portal venous phase imaging (70 second delay)

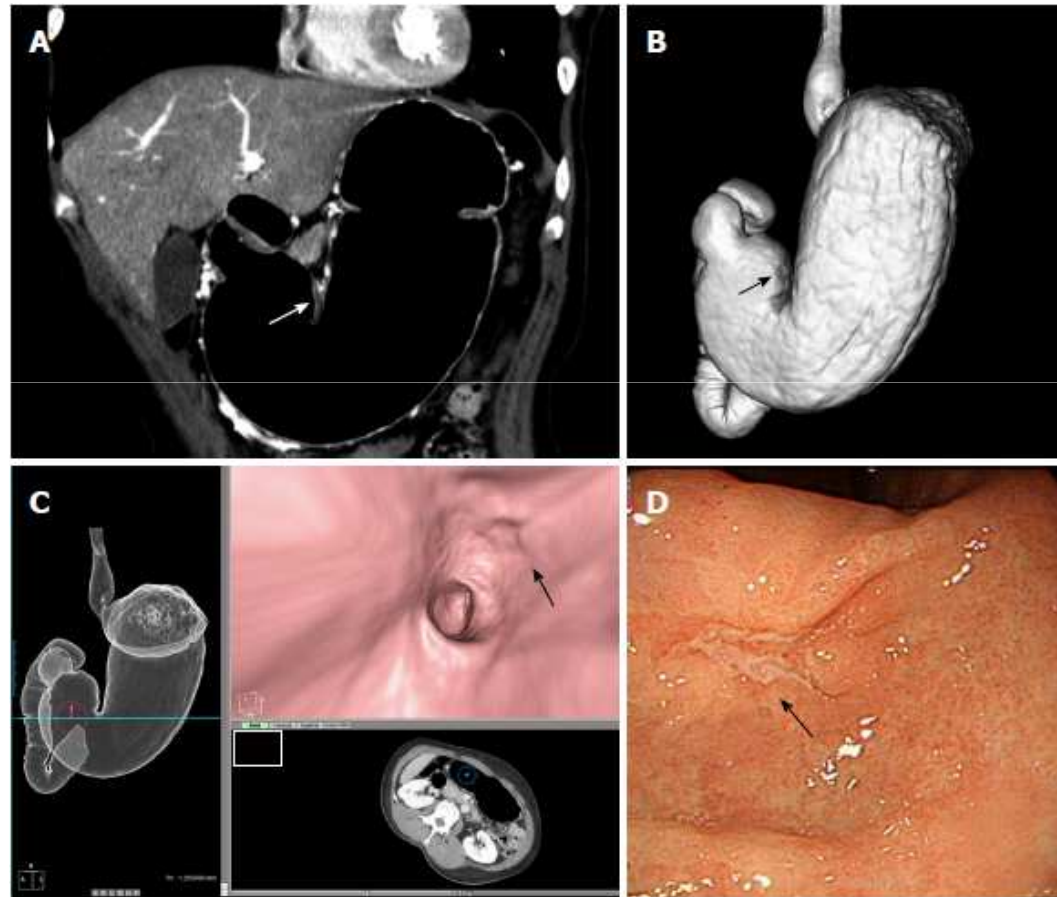
Thorax, abdomen & pelvis



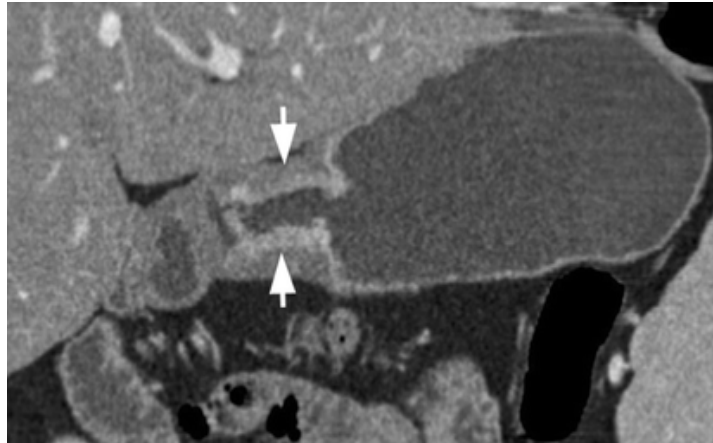
Scan parameters aim to achieve resolution that can enable MPR postprocessing using **isotropic voxels**

# MDCT - Scan Technique

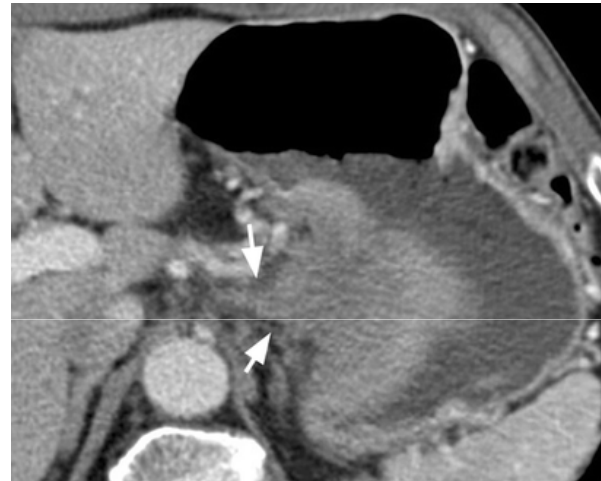
## Virtual gastroscopy



# MDCT - T Staging



pT2



pT3

Parameter	Percentage range
Accuracy	77 - 89%
Sensitivity	83-100%
Specificity	80 -97%

pT4



# MDCT - N Staging

Lymphatic spread is found in 74%–88% of patients

N staging depends on the number of lymph nodes involved

CT - high specificity, but low sensitivity

Based on size criteria (short axis):

≥6mm perigastric

≥ 8mm extra perigastric



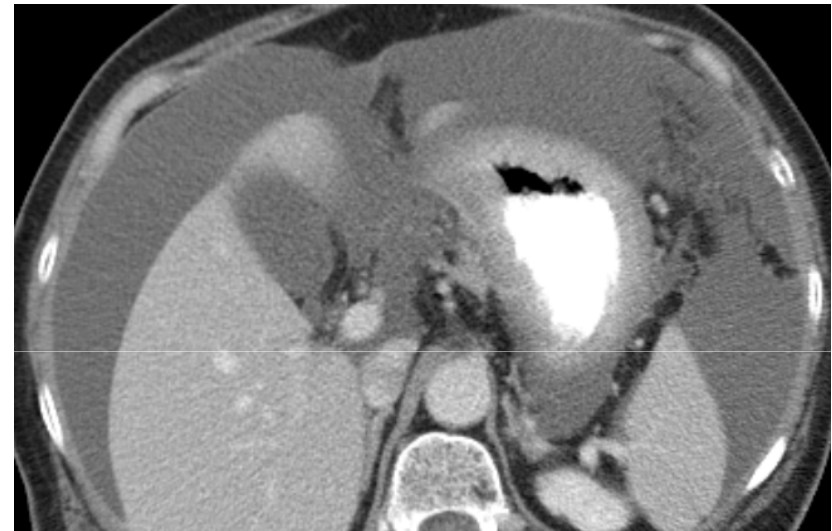
Parameter	Percentage range
Sensitivity	62.5 - 91.9%
Specificity	50 - 87.9%

Stage	No of Regional Nodes
N1	≤2
N2	3-6
N3	≥7



# MDCT – M staging

- Detection of hepatic mets:  
sens 88%, spec 99%\*.
- Detection of peritoneal disease  
No ascites: sens 30%<sup>†</sup>  
In presence of ascites:  
Sens 51%, Spec 97%\*



Laparoscopy for potentially operable patients

\*Yajima, K., T. Kanda, et al. (2006). Am J Surg **192**(2): 185-90.

<sup>†</sup>D'Elia, F., A. Zingarelli, et al. (2000). Eur Radiol **10**(12): 1877-85.

# Gastric Cancer staging



## CT Report:

- Length
- Location
- T Stage
- N & M Stage

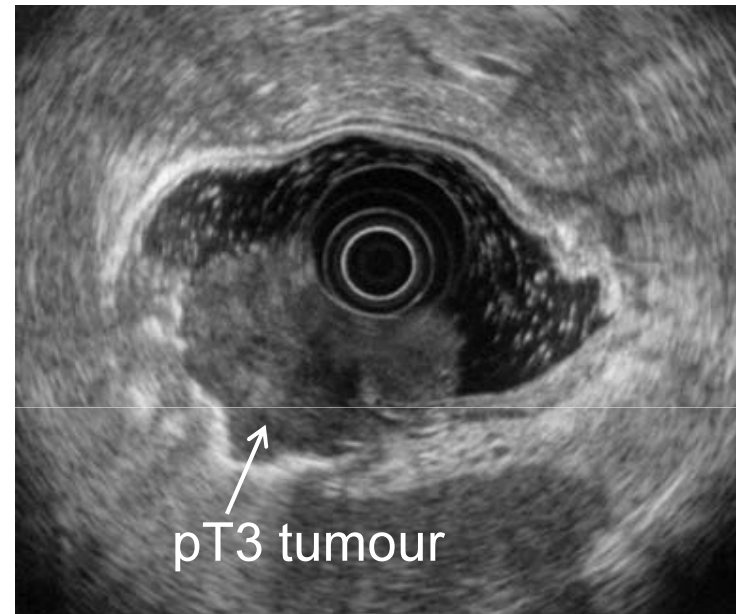
# EUS - T Staging

## 5-20mHz probes

- High spatial resolution enables visualization of individual wall layers
- EUS T staging more accurate than MDCT

Wide variation in accuracy in literature  
(65-92%)

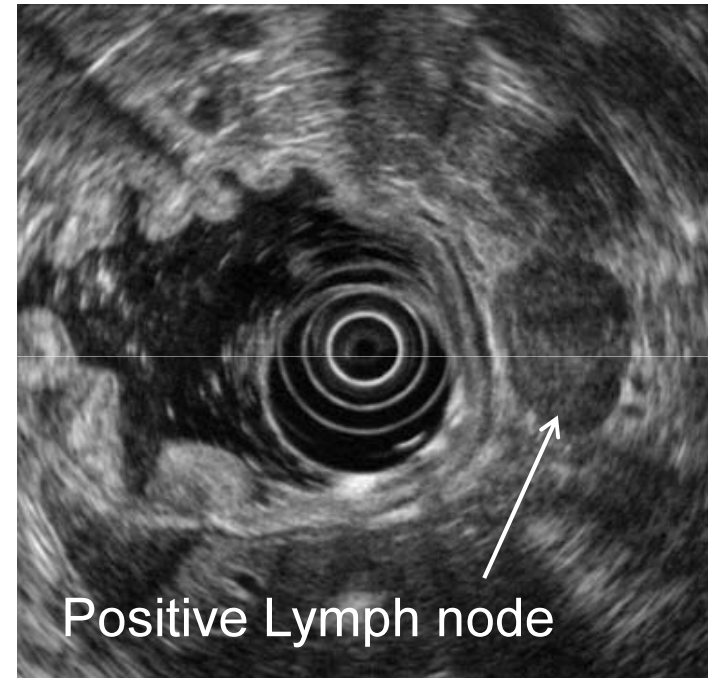
Overstaging early tumours



# EUS - N Staging

Provides morphological information

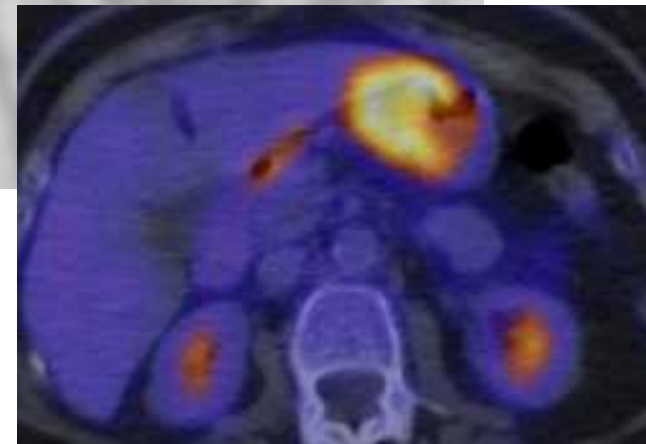
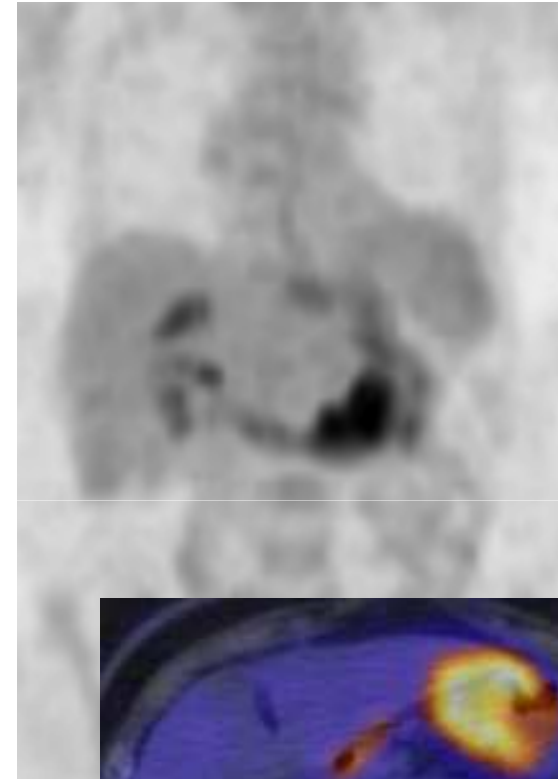
- Malignant nodes: round, hypoechoic, lose echogenic hilum
- Fine needle aspiration (FNA) possible



# $^{18}\text{F}$ FDG-PET/CT

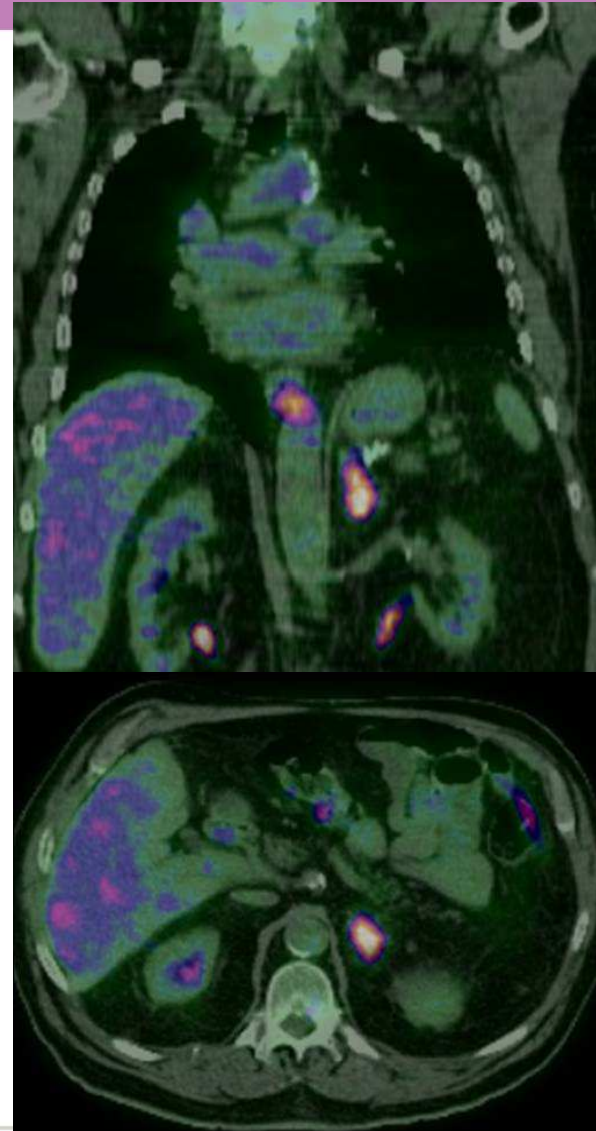
## Gastric Cancer

- Variable  $^{18}\text{F}$ FDG avidity dependent upon tumour subtype
- Intestinal-type have greater FDG avidity
- Limited uptake in diffuse-type  
~30% tumours not visualised
- $^{18}\text{F}$ FDG-PET/CT not currently advocated for gastric cancer staging



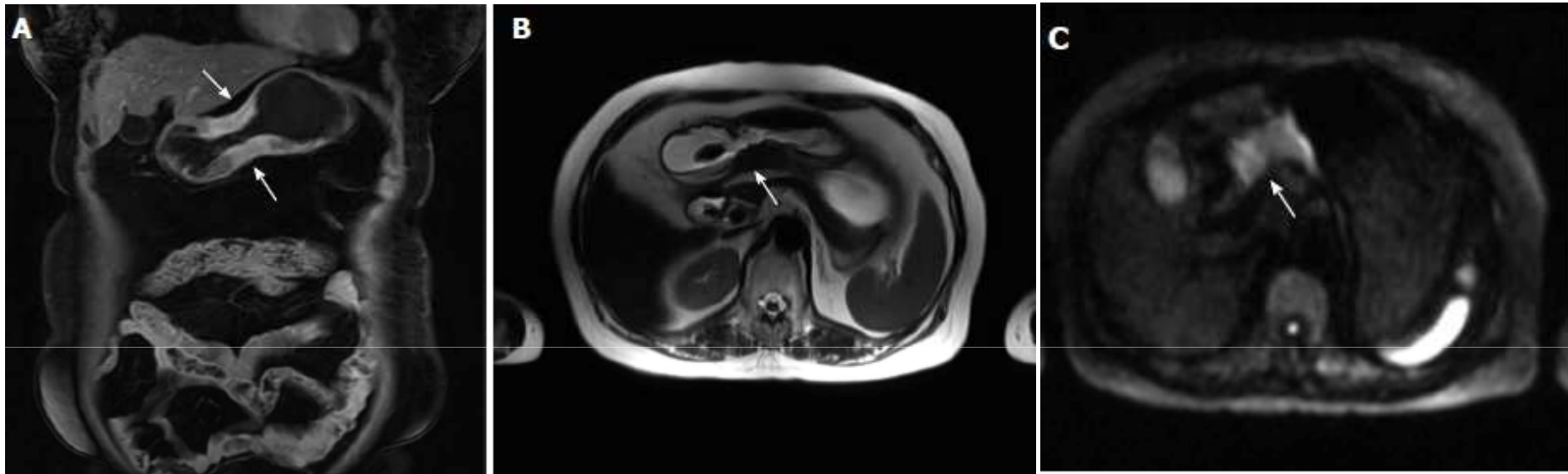
# $^{18}\text{F}$ FDG-PET/CT

Main advantage  
Identification of occult  
metastatic disease\*



\*Kinkel K, Ying L et al (2002) Radiology 224:748–756

# Gastric Cancer Staging - MRI



## Limited studies

- In vitro studies – demonstrate individual layers of the oesophageal wall. High level of accuracy for staging all tumours
- In vivo studies – T & N staging similar to MDCT

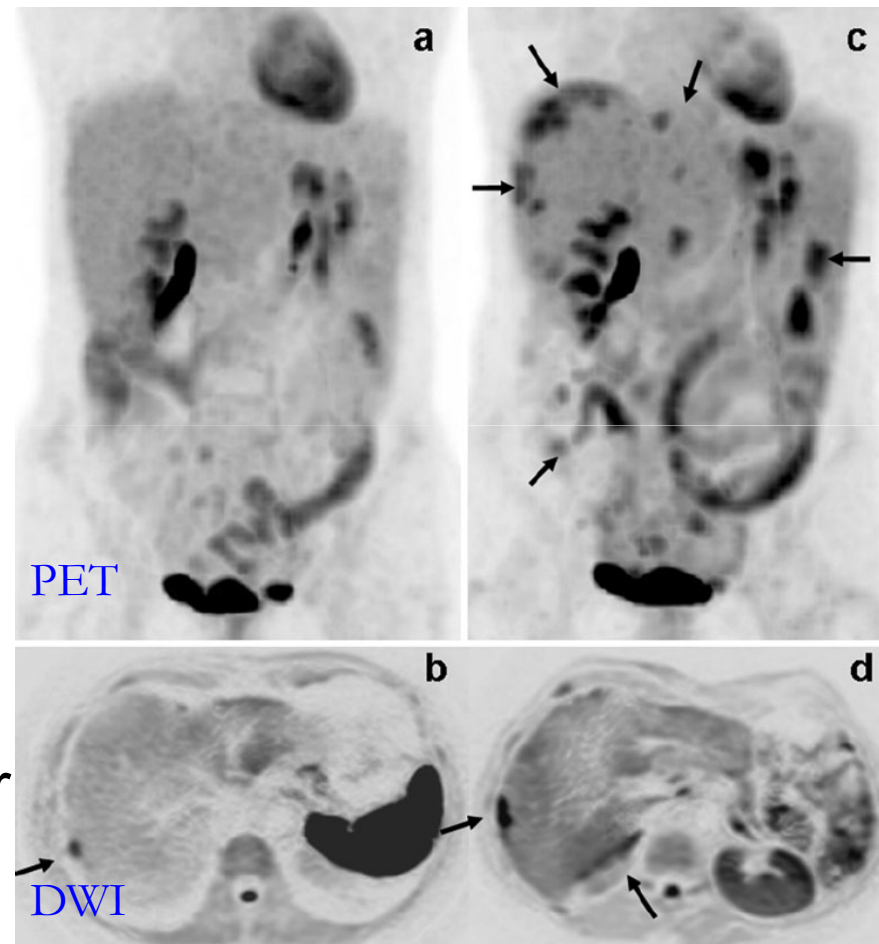
# M Staging – Peritoneal disease

## MDCT

Accuracy 25-90% dependent on site, size & morphology of disease

## Functional imaging

PET-CT & Diffusion Weighted MRI (DW-MRI) have similar improved accuracy, but falls for foci <1cm\*



\*Soussan M, Des Guetz G et al. (2012) Eur Radiol 22:1479 - 1487



# Summary

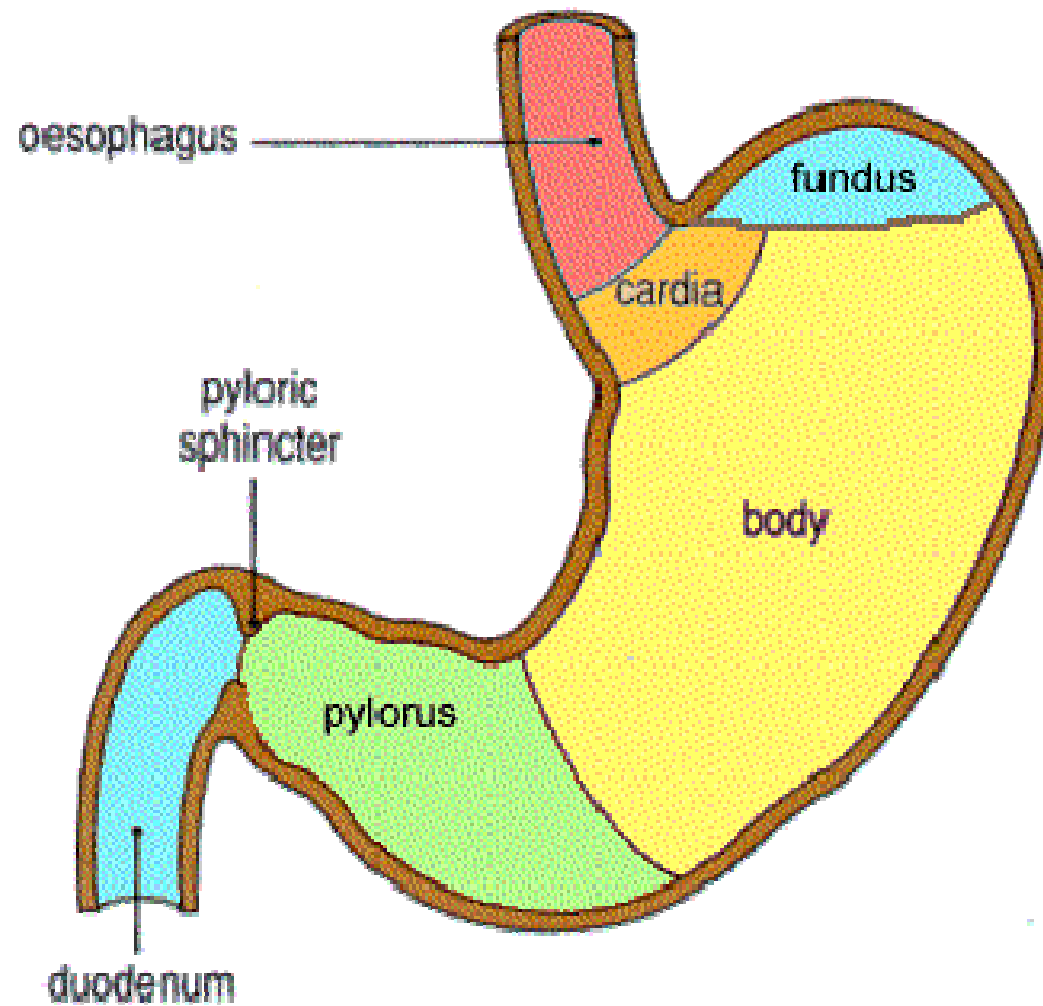
## Staging

- MDCT – exclude metastatic disease
- PET-CT – refine staging & localise tumour
- EUS – defining prox / distal extent
- MRI – research

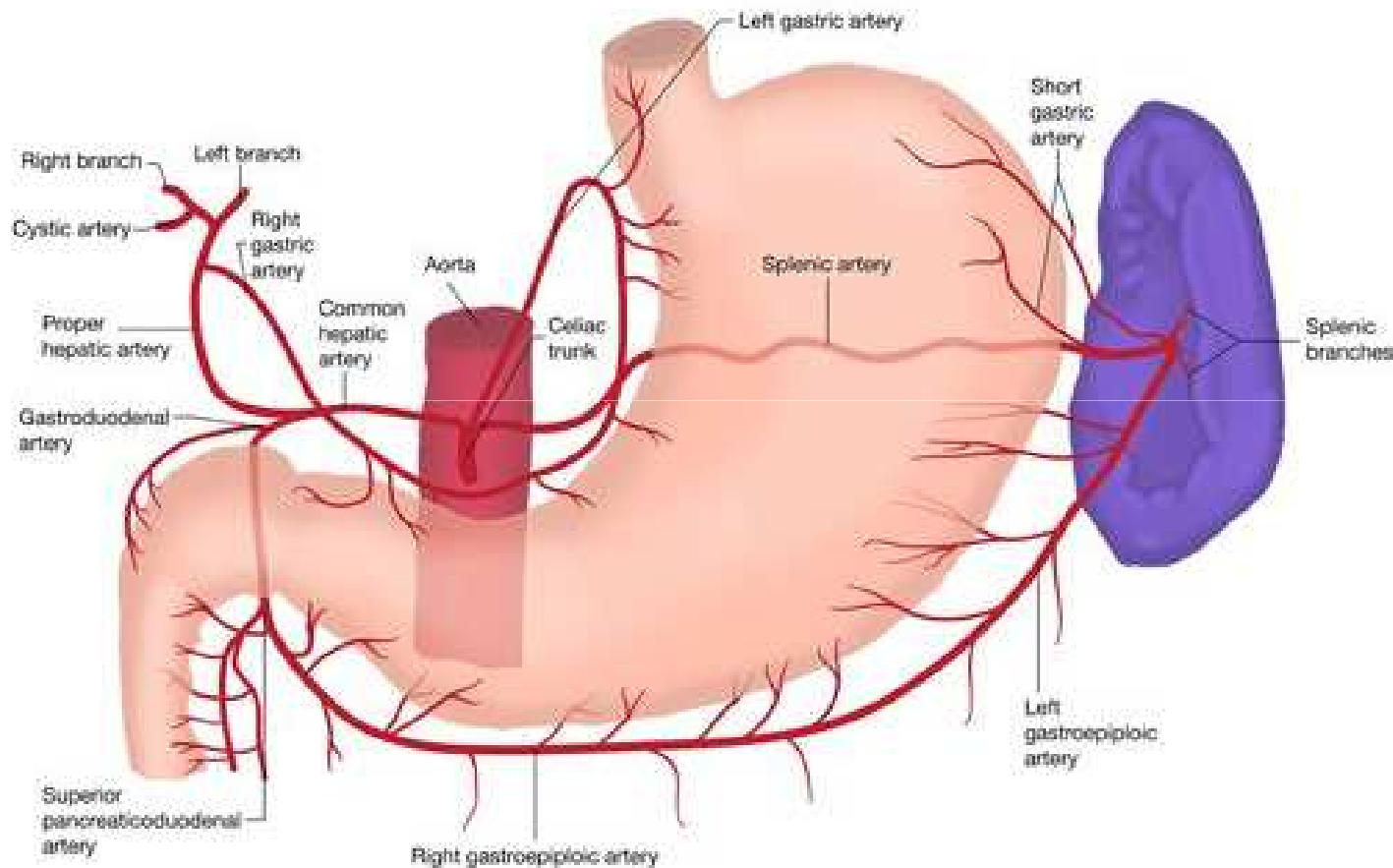


## Primary & Nodal subsite boundaries

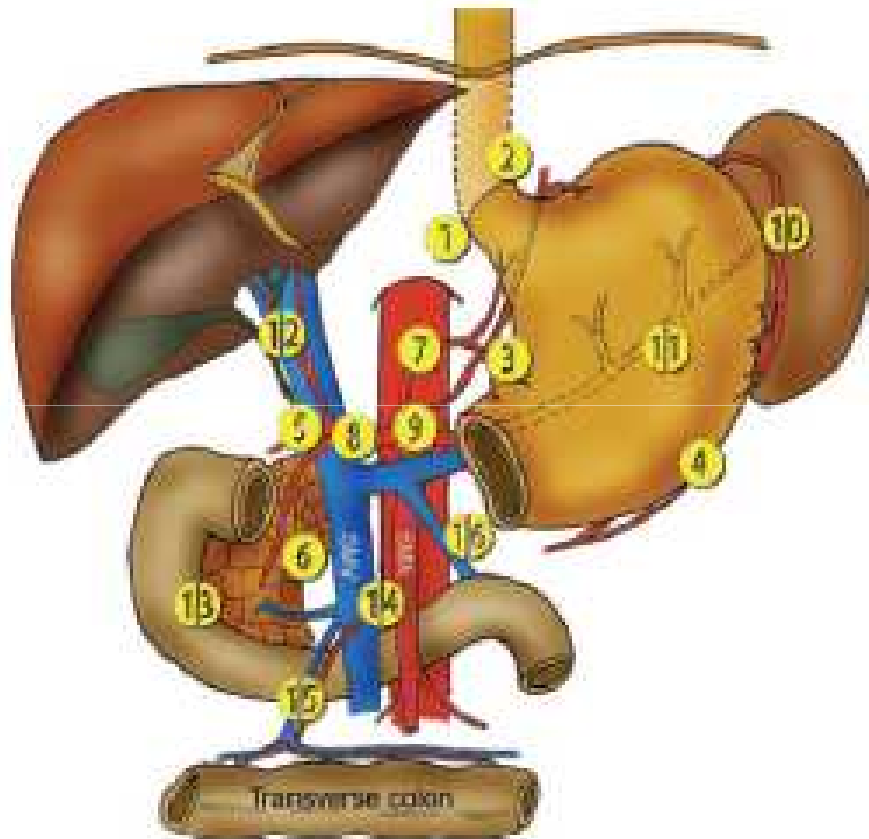
# Anatomical regions of the stomach



# Anatomy – stomach arterial supply

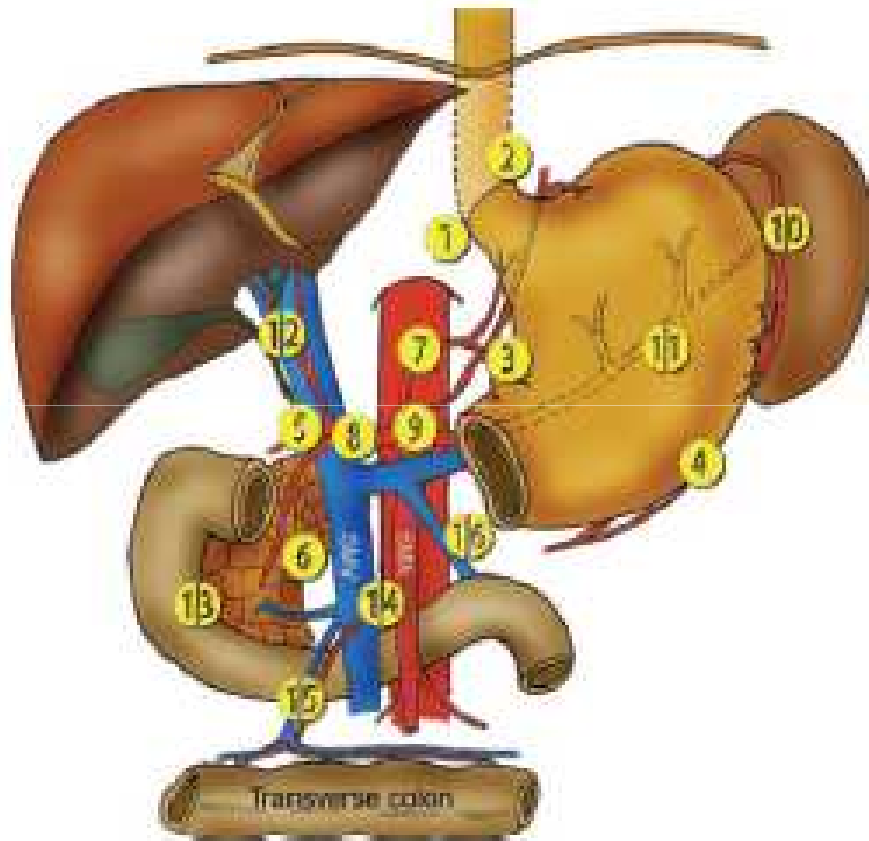


# Upper Abdominal Lymph nodes groups



Station Number	Name
1	right cardia
2	left cardia
3	lesser curvature
4	greater curvature
4sa; 4sb; 4d	short gastric; left gastroepiploic; right gastroepiploic
5	Suprapyloric
6	Infrapyloric
7	left gastric artery
8	common hepatic artery
9	celiac trunk
10	Splenic hilus
11	Splenic artery
12	hepatoduodenal ligament posterior surface of the head of the pancreas
13	root of the small bowel mesentery
14	Para-colic
15	Para-aortic

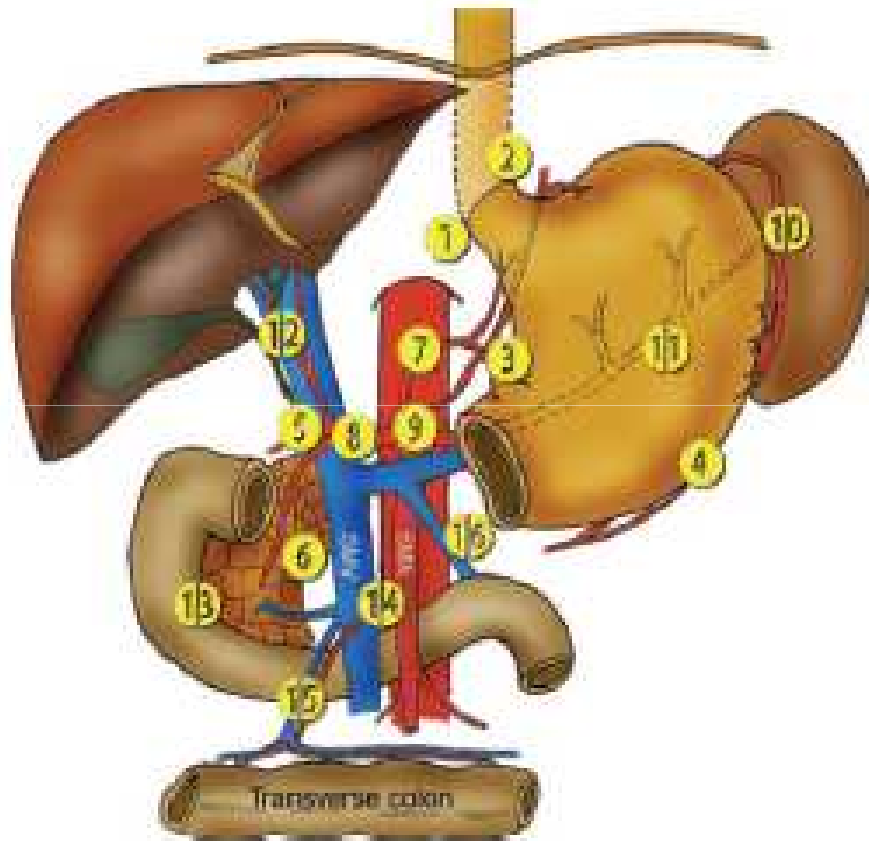
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13	root of the small bowel mesentery
14	Para-colic
15	Para-aortic

D1

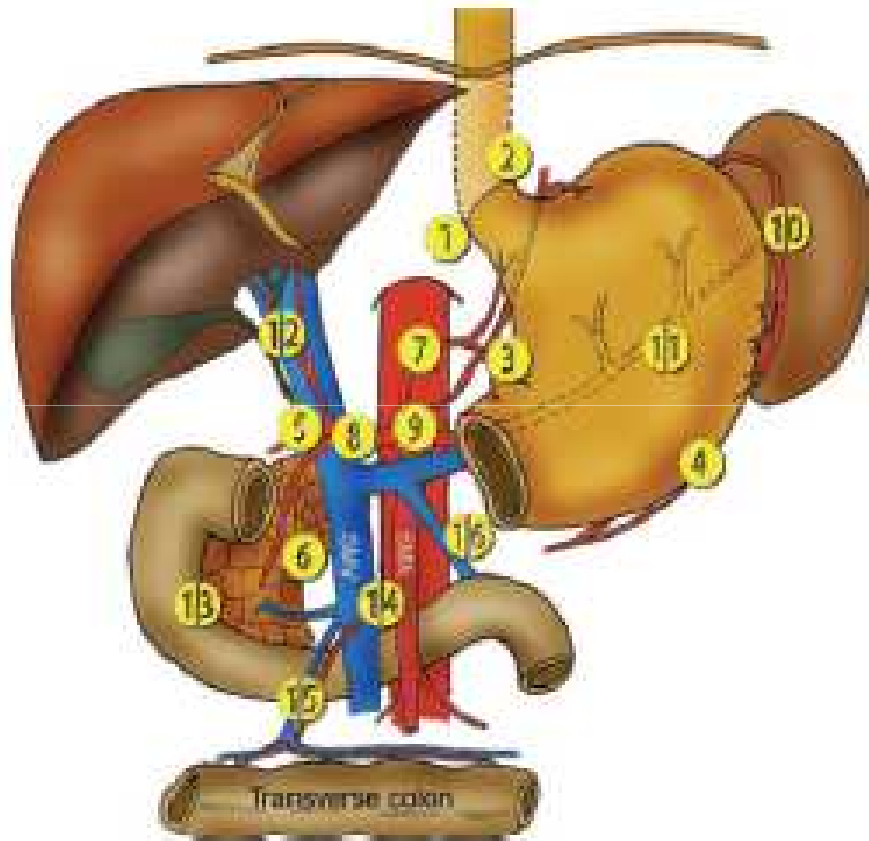
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9	celiac trunk
10	Splenic hilus
11	Splenic artery
12	hepatoduodenal ligament posterior surface of the head of the pancreas
13	root of the small bowel mesentery
14	Para-colic
15	Para-aortic

D2

# Upper Abdominal Lymph nodes groups

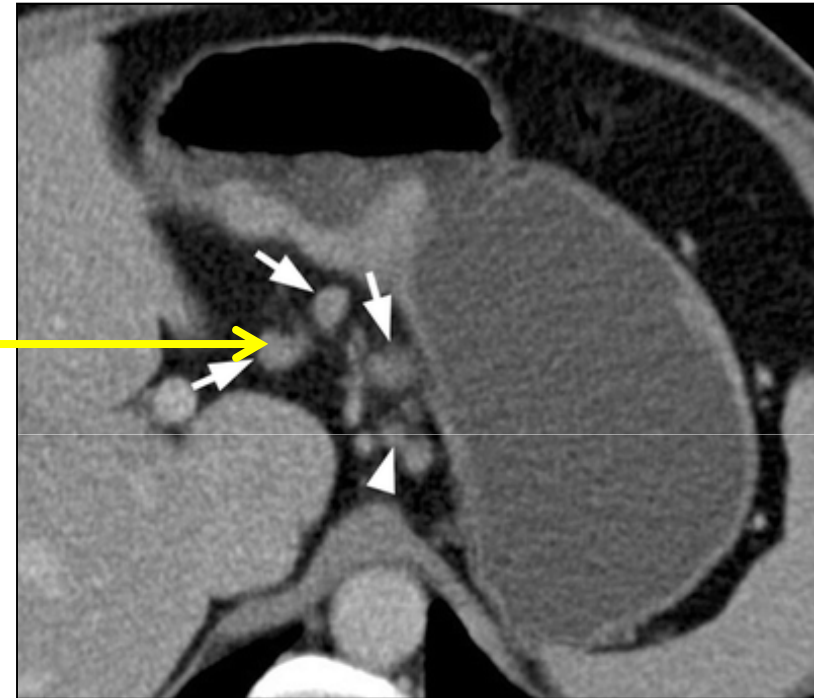
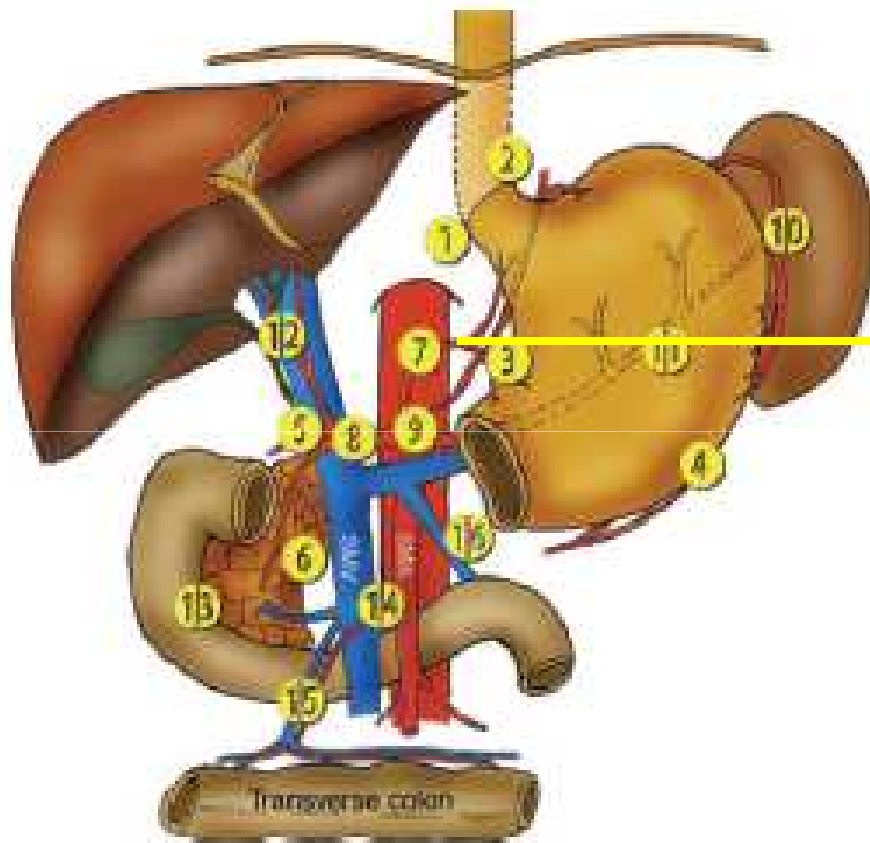


Station Number	Name
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5	Suprapyloric
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10	Splenic hilus
11	Splenic artery
12	hepatoduodenal ligament posterior surface of the head of the pancreas
13	root of the small bowel mesentery
14	Para-colic
15	Para-aortic

D3

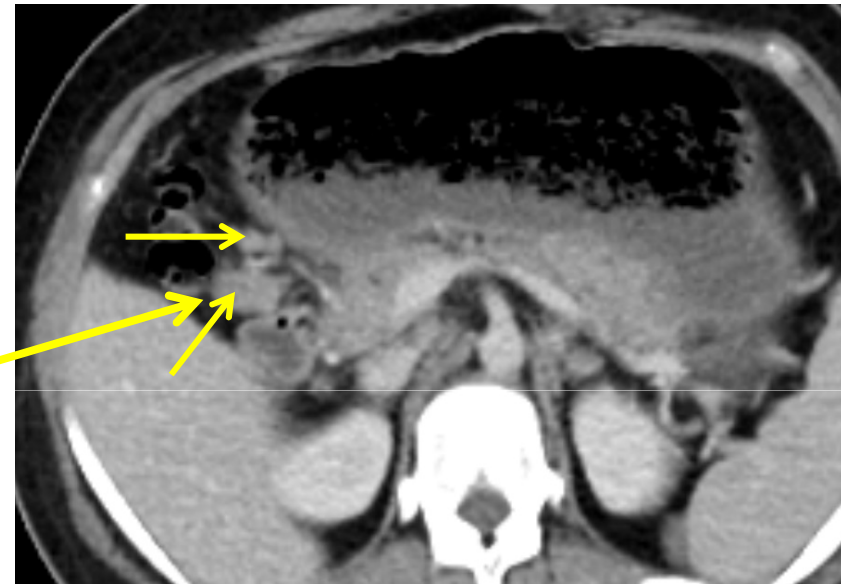
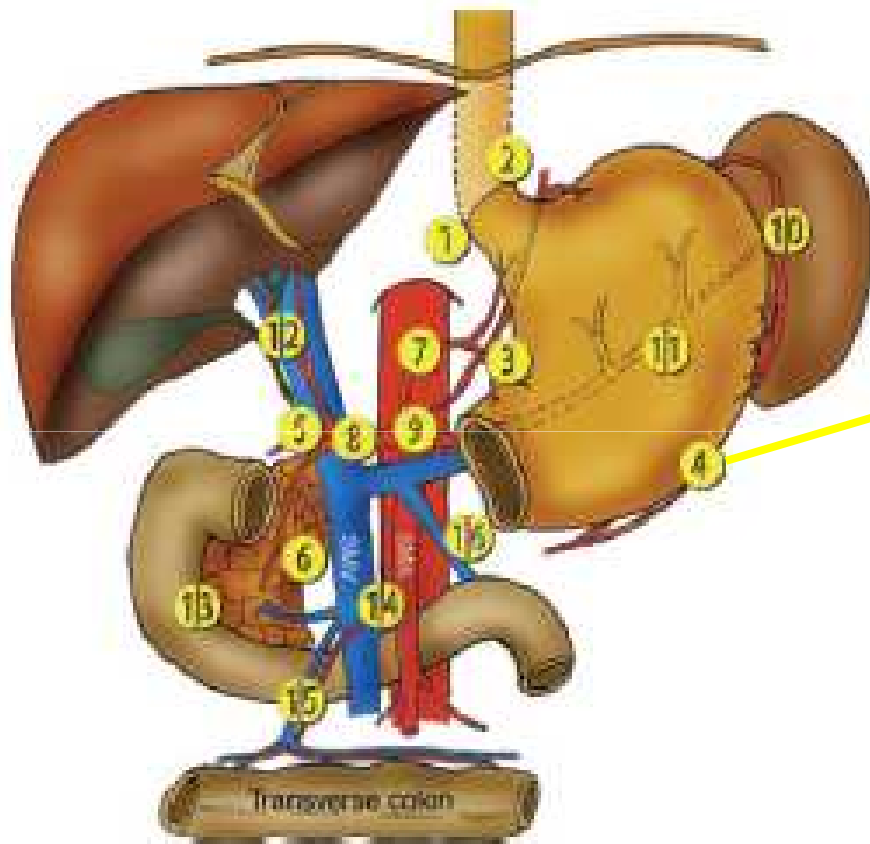


# Upper Abdominal Lymph nodes groups



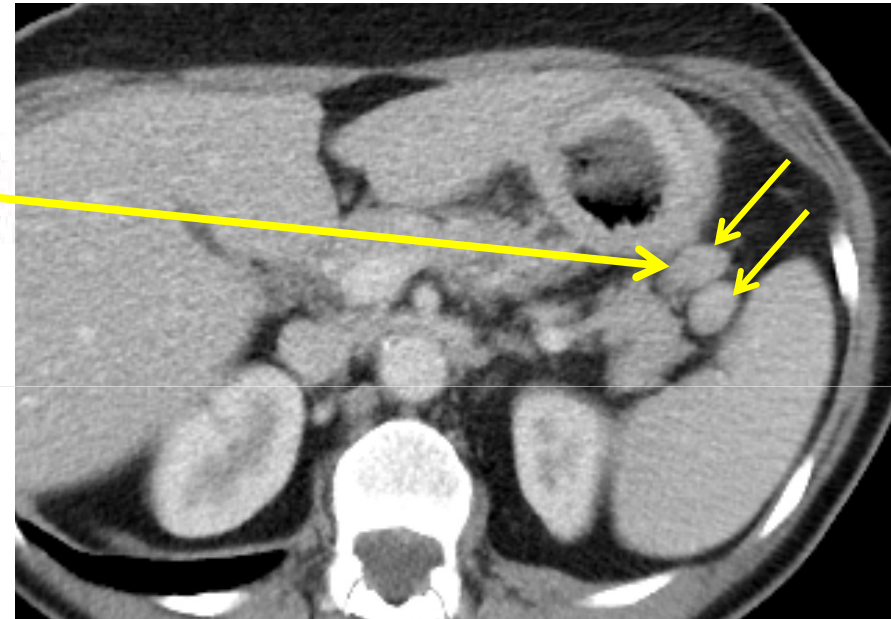
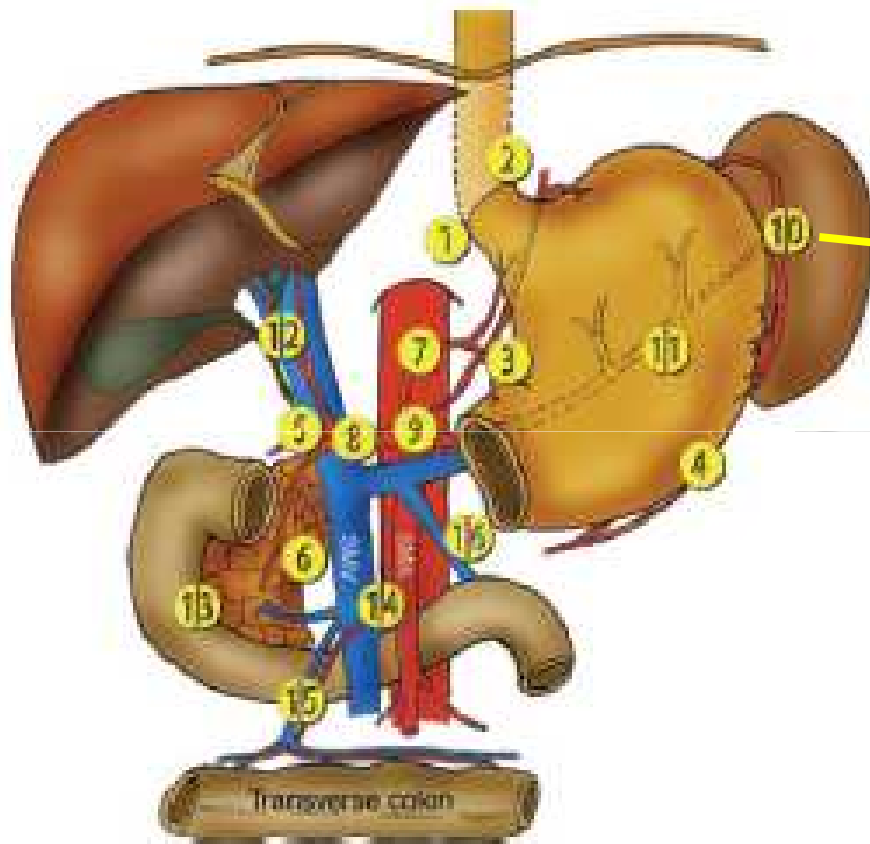
Station 7  
Left gastric artery territory

# Upper Abdominal Lymph nodes groups



Station 4  
Gastroepiploic artery

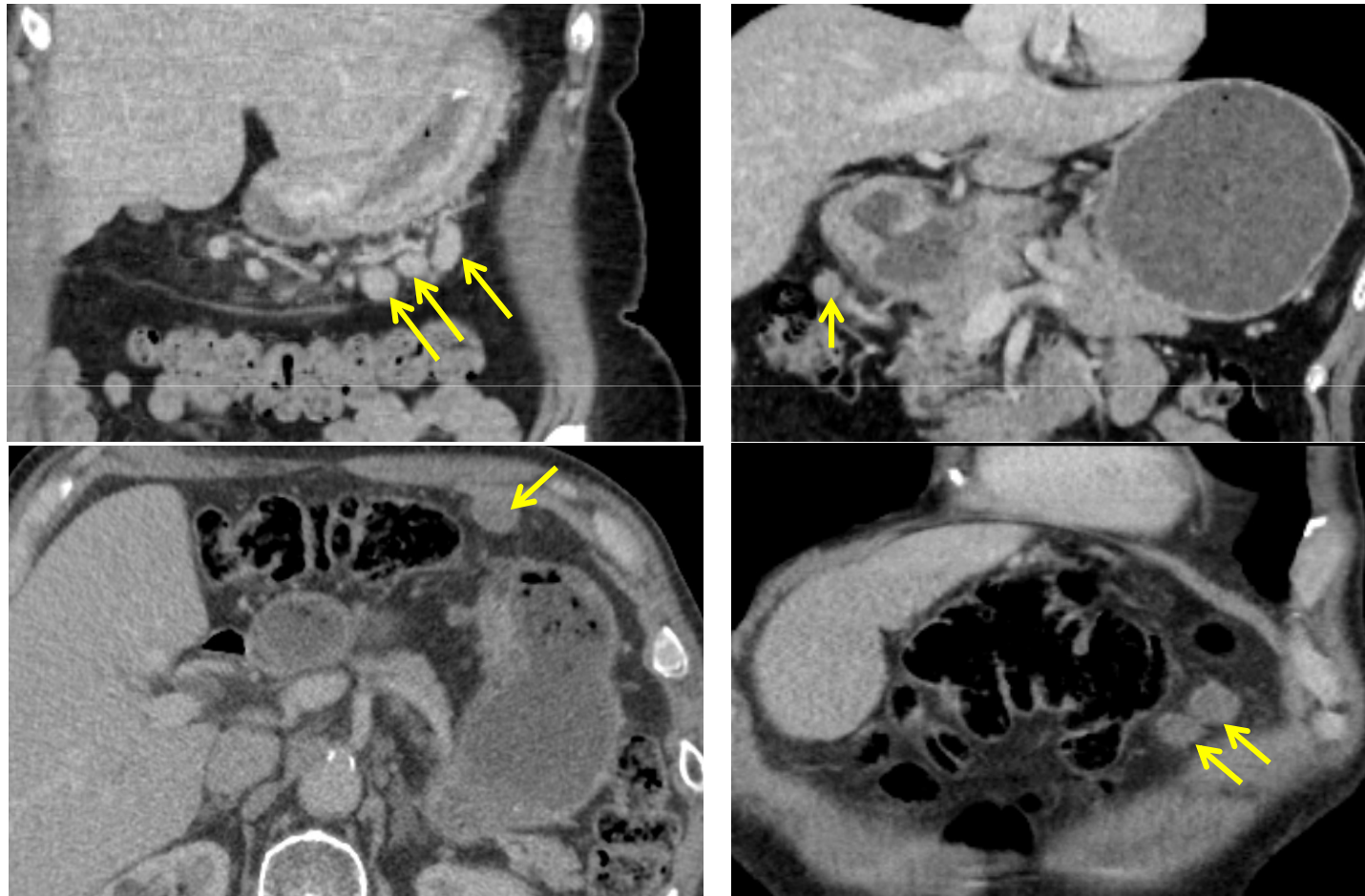
# Upper Abdominal Lymph nodes groups



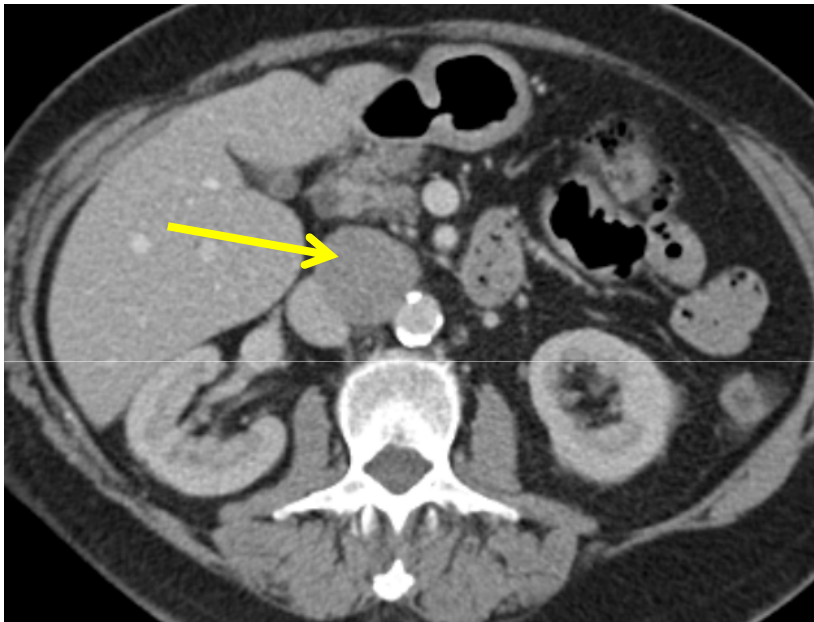
Station 10 Splenic hilum

# Upper Abdominal Lymph nodes groups

Difficulty distinguishing Gastroepiploic nodes from peritoneal disease



# Upper Abdominal Lymph nodes groups



Metastatic nodes

Station 16 Para aortic



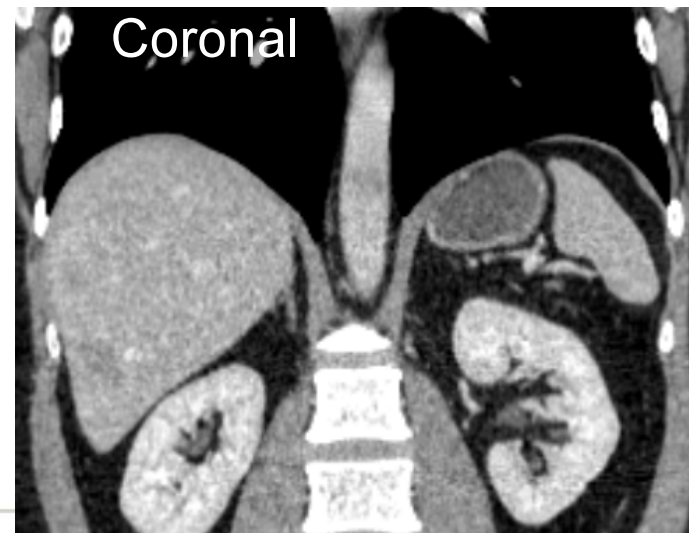
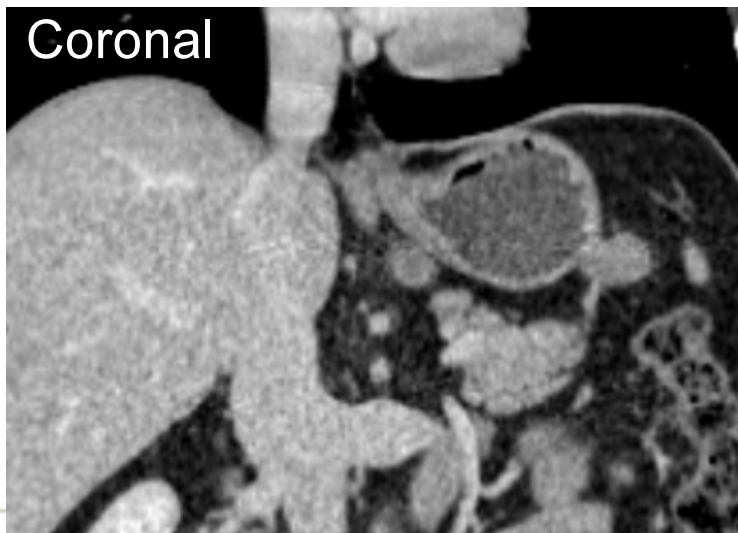


# Case 1

# Case 1

- 65yr old male presented with abdominal pain and weight loss

# Case 1

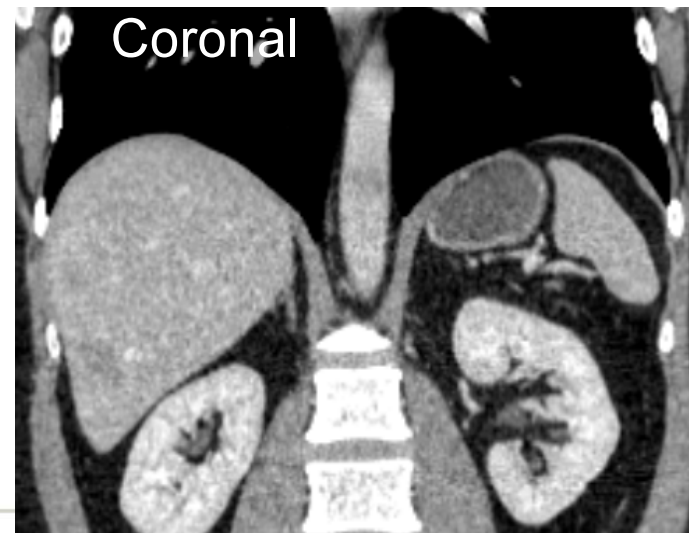
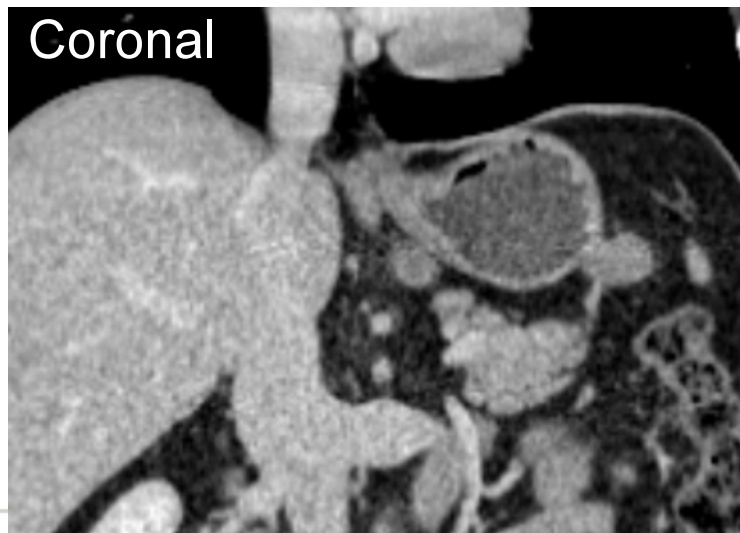




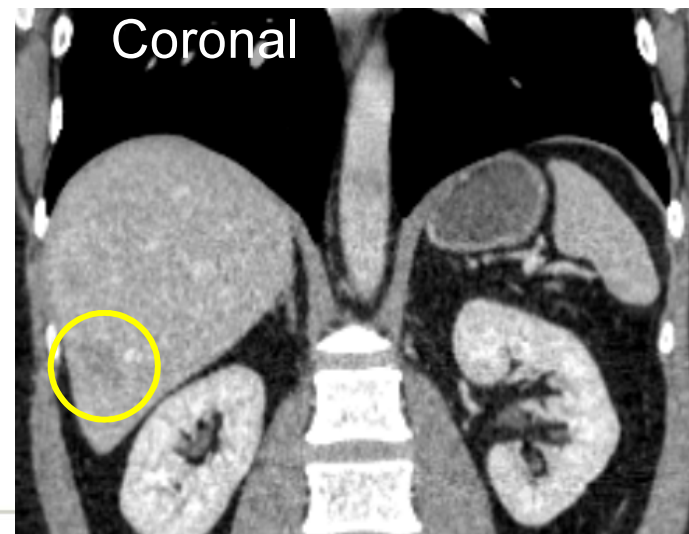
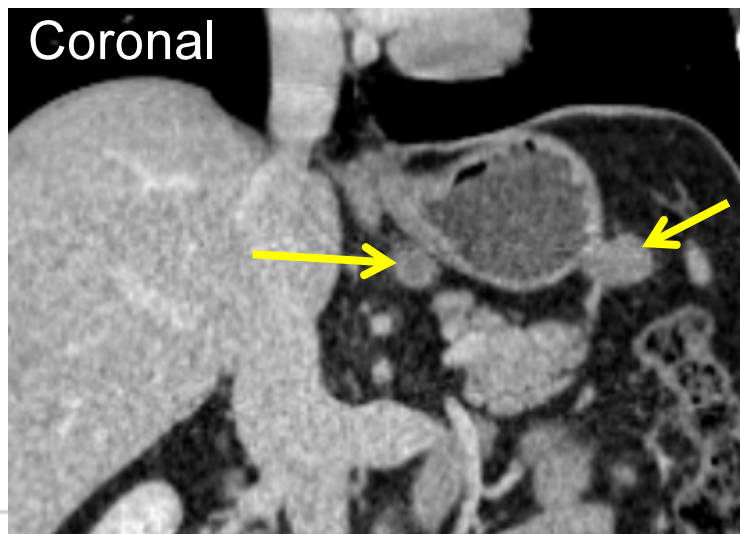
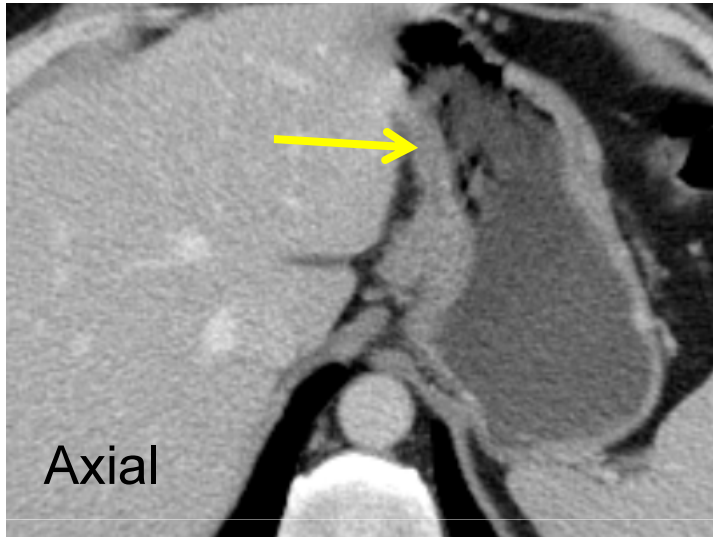
# Case 1

- Stage the tumour
- If there are nodes involved; state which nodal stations

# Case 1



# Case 1



# Case 1

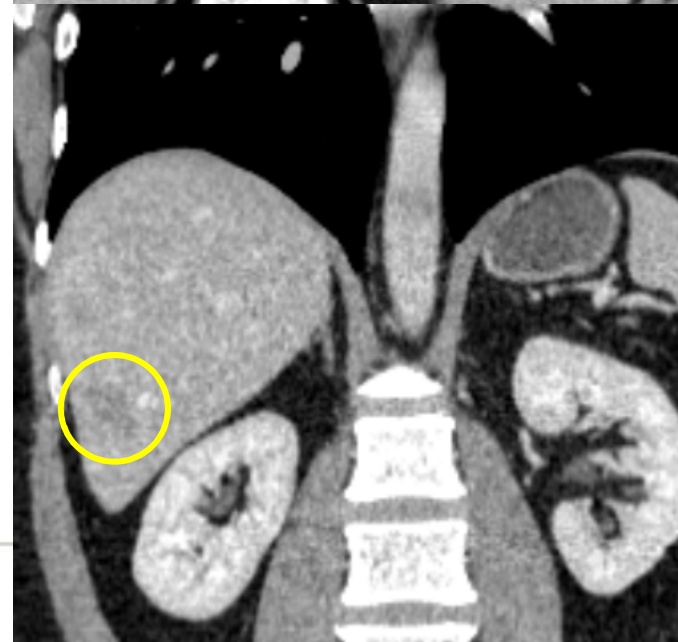
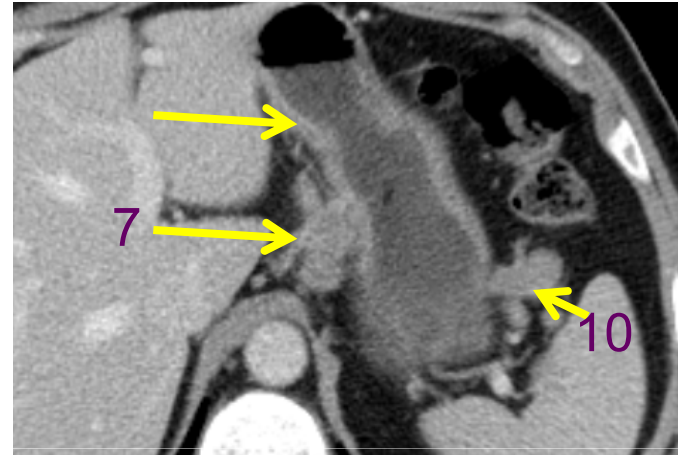
Tumour stage:

T3N2M1

Nodal Stations

Left gastric artery – 7

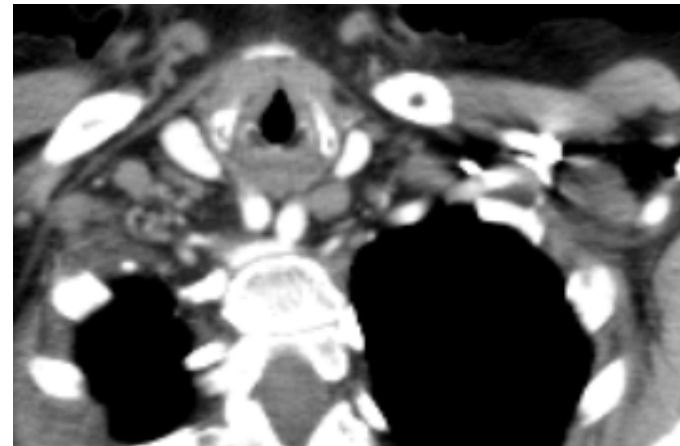
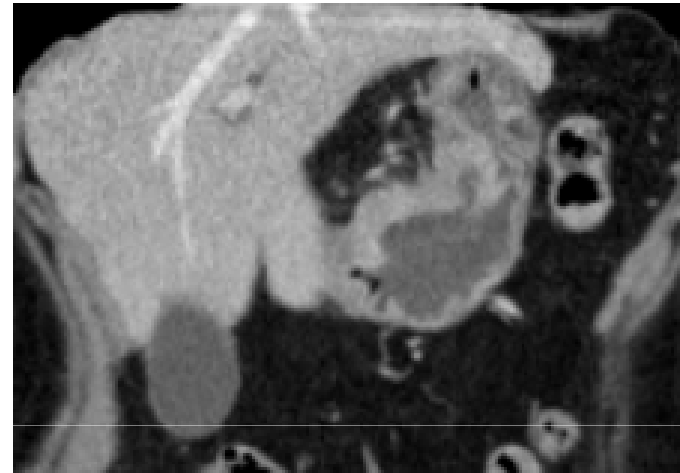
Splenic hilum - 10



## Case 2

72 year old female with weight loss and anaemia

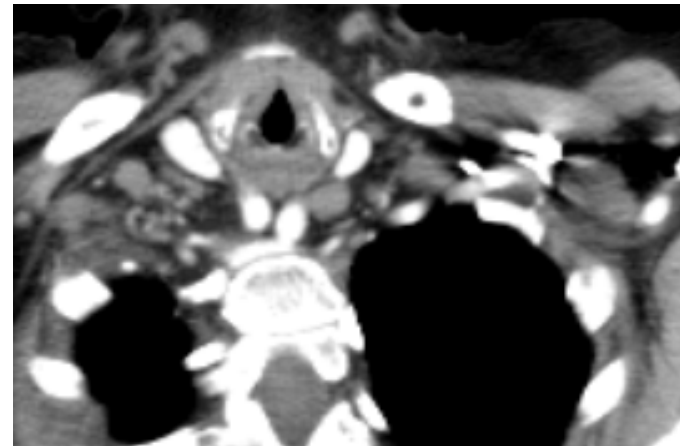
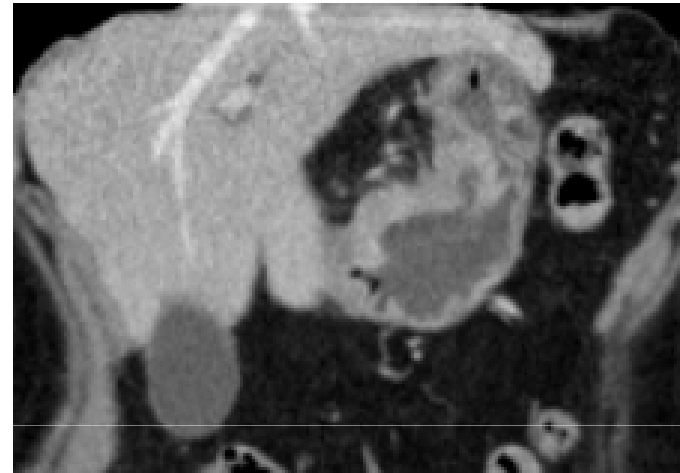
# Case 2



## Case 2

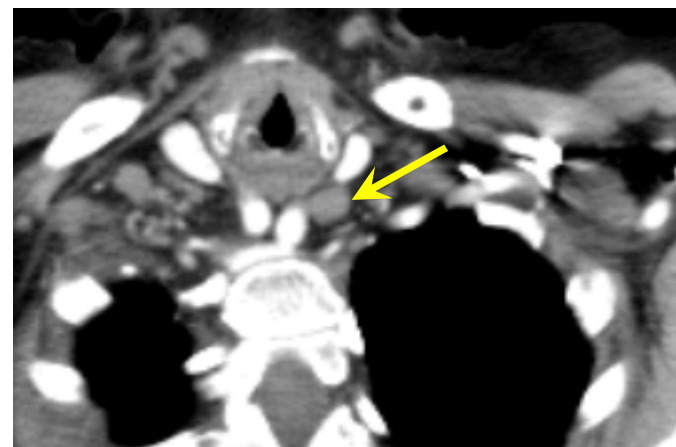
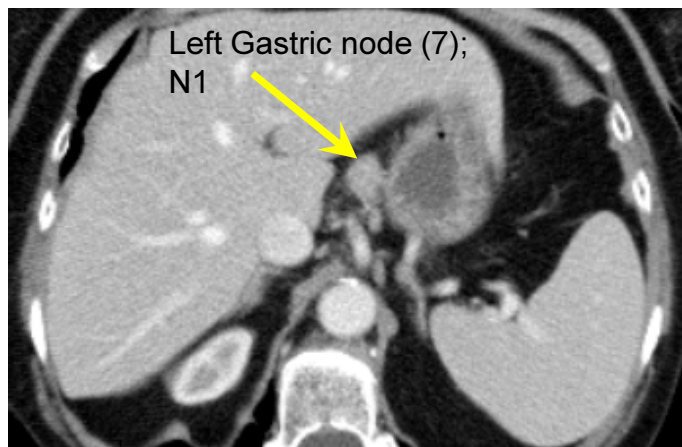
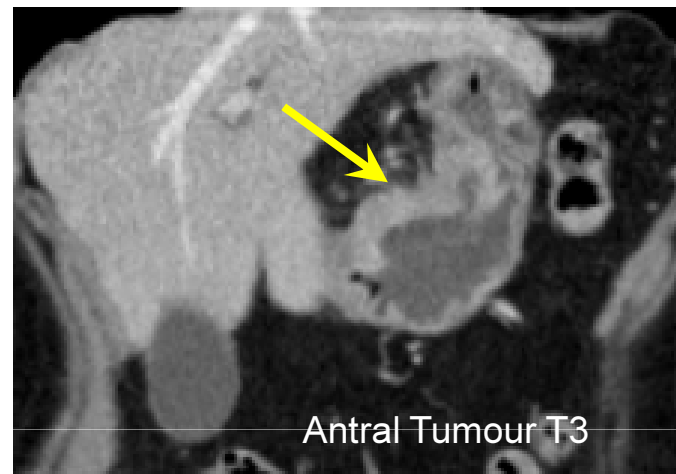
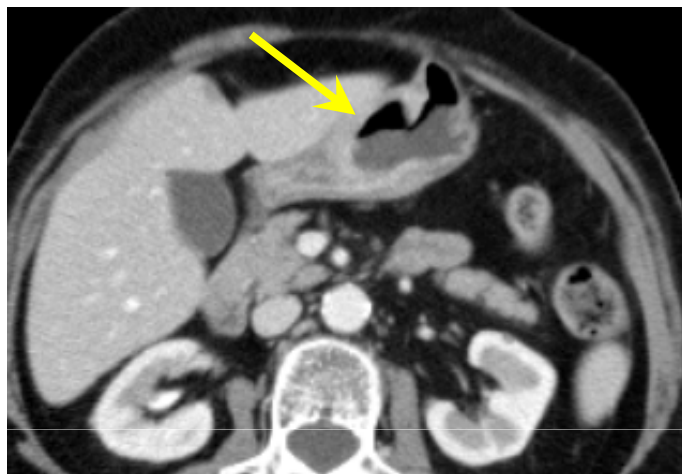
- Describe the location of the tumour
- Stage the tumour
- Identify any nodal stations involved

# Case 2





# Case 2



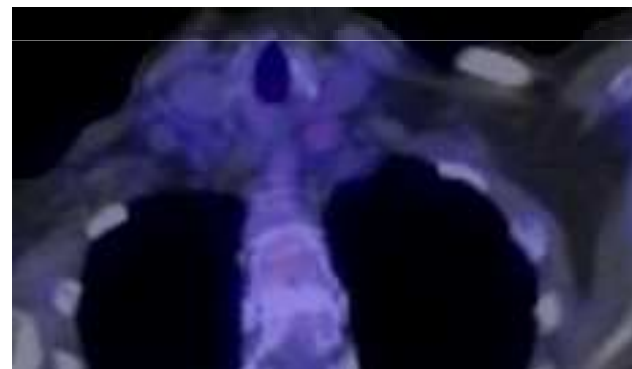
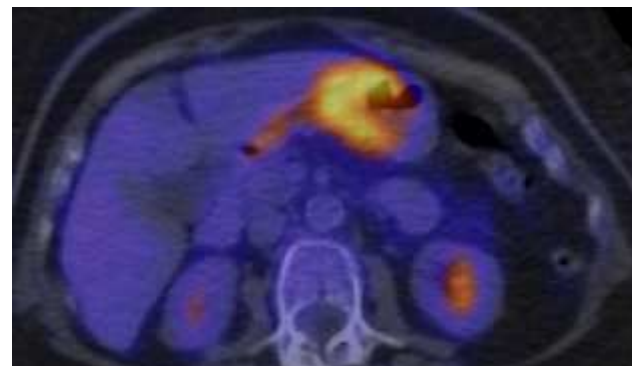
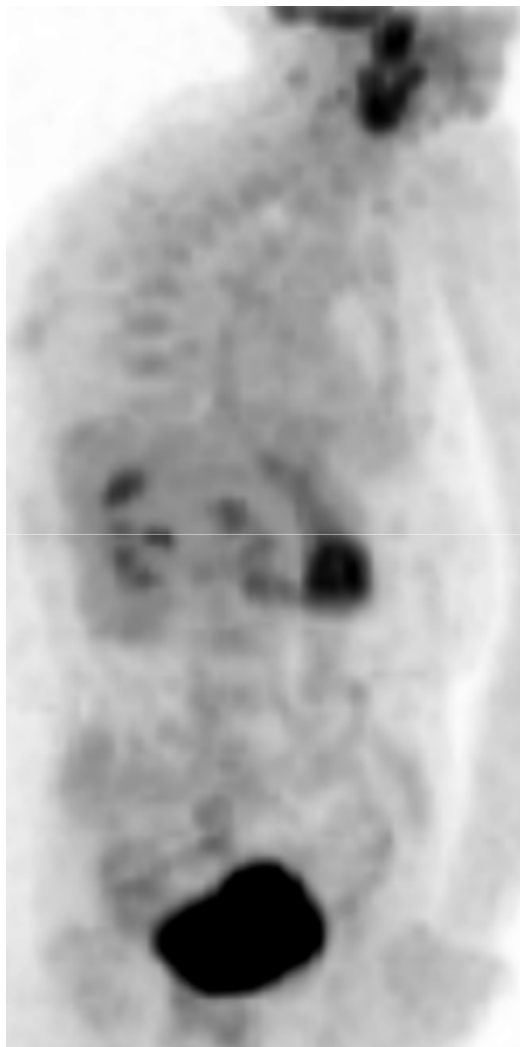
T3N1 ?? M1 – Supraclavicular node...

## Case 2

### *What to do next?*

- Consider supraclavicular node positive based on size (9mm)?
- Arrange a PET-CT scan
- Arrange an U/S +/- FNA

## Case 2



Moderate FDG avidity in node  
'equivocal' on PET-CT

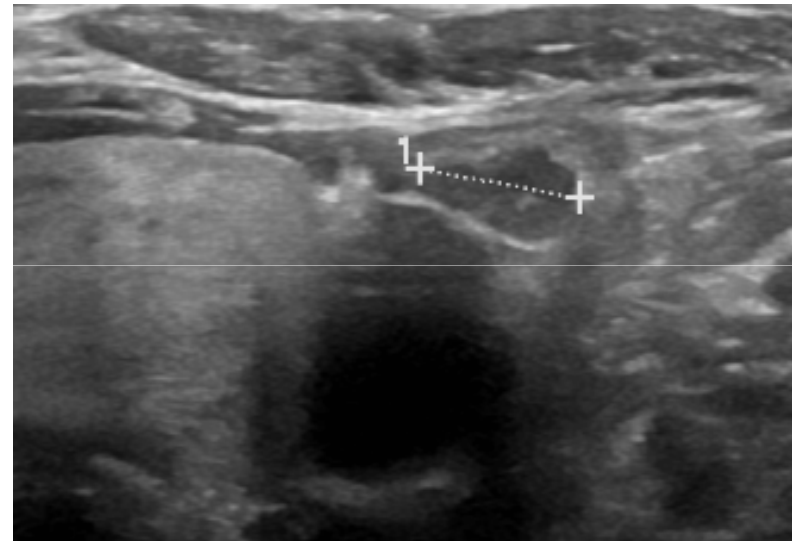
## Case 2

### *What to do next?*

- Consider supraclavicular node positive based on PET-CT findings
- Arrange an U/S +/- FNA
- Consider PET-CT findings as negative in the node & proceed with neoadjuvant therapy followed by surgery

## Case 2

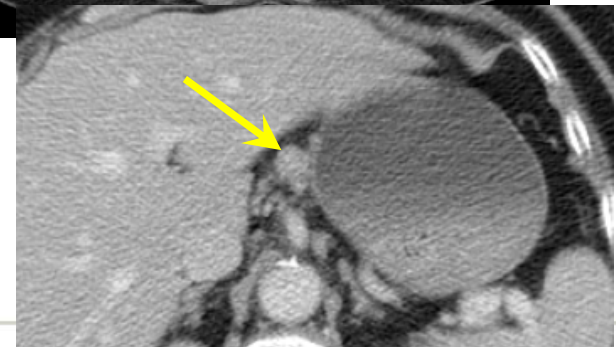
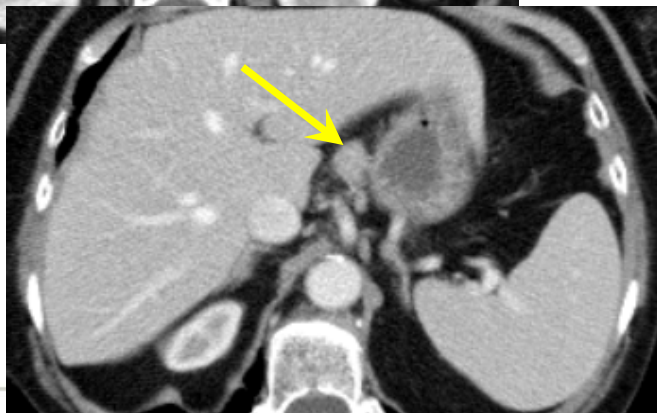
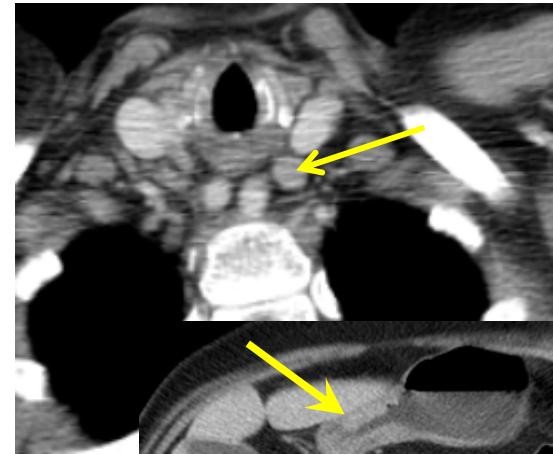
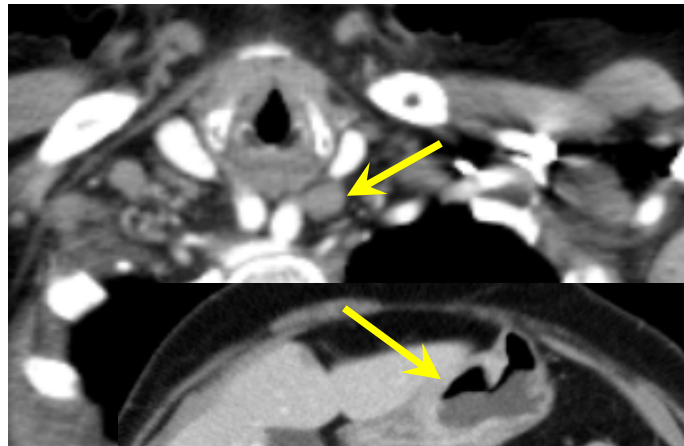
- An U/S with FNA was arranged
- Sonographic appearance in keeping with a reactive node.
- Cytology – C1



## Case 2

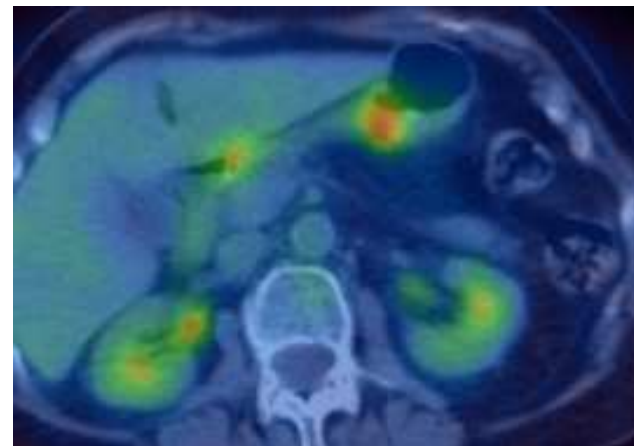
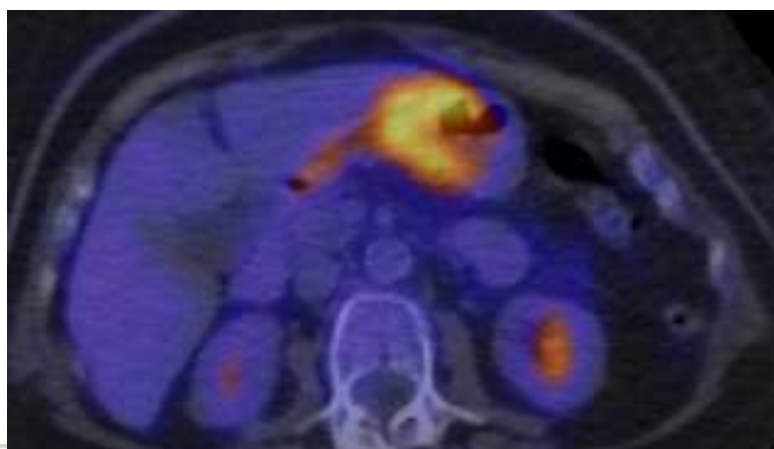
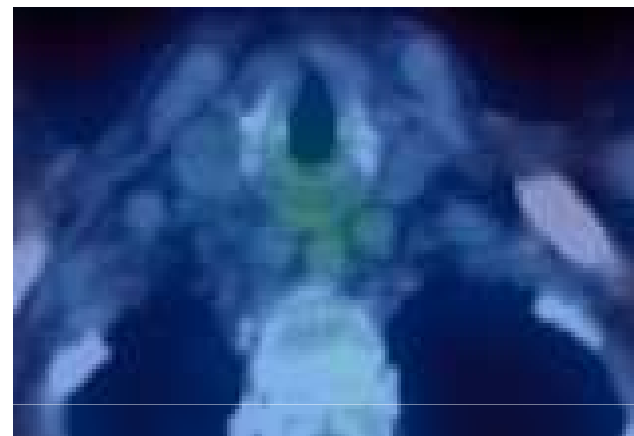
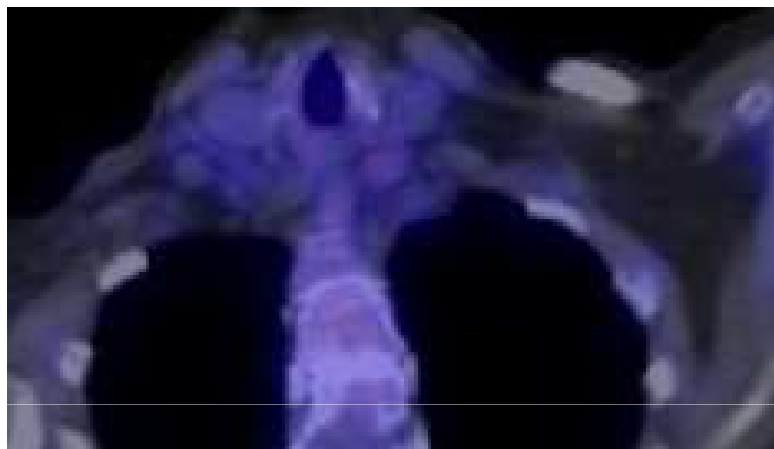
The patient was given neoadjuvant therapy

# Case 2



Post x2 Chemo cycles - PR

# Case 2



Post x2 Chemo cycles - PR



## Case 2

- Had second laparoscopy – no metastases
- Went on to have total gastrectomy in Dec 2009.
- Well with no recurrence
- Patient opted for no further treatment post op.



Thank you



# **Gastric Cancer: How to distinguish recurrence by imaging**

Dr Angela M Riddell

Royal Marsden, London. UK

# Patterns of relapse

## Retrospective review

- 1985 -2000
- 1172 patients; R0 resection
- 492 (42%) recurrence
- **Locoregional recurrence – surgical bed; upper abdominal retroperitoneal lymph nodes; anastomotic recurrence**

<b>Location of recurrence</b>	<b>Number</b>
Locoregional	199 (54%)
Distant	188 (51%)
peritoneal	108 (29%)

79% recurred within 2 years

# Role of imaging for detection of relapse

Surveillance imaging may be:

- Directed within a clinical trial protocol
- Local protocols
- Response to development of clinical symptoms
- Response to rising tumour markers

## Primary versus nodal relapse

- Challenging!
- Extremely difficult sometimes to identify relapse
- Much more difficult to determine nodal from anatomic recurrence
- Mobile tissues, follow up difficult
- No specific rules....

# Gastric cancer patterns of disease relapse

Male patient underwent a total gastrectomy on 09.09.2014 post neoadjuvant chemotherapy. The path staging was pT3bN1 R0 (3/40 nodes positive).

29.10.2014



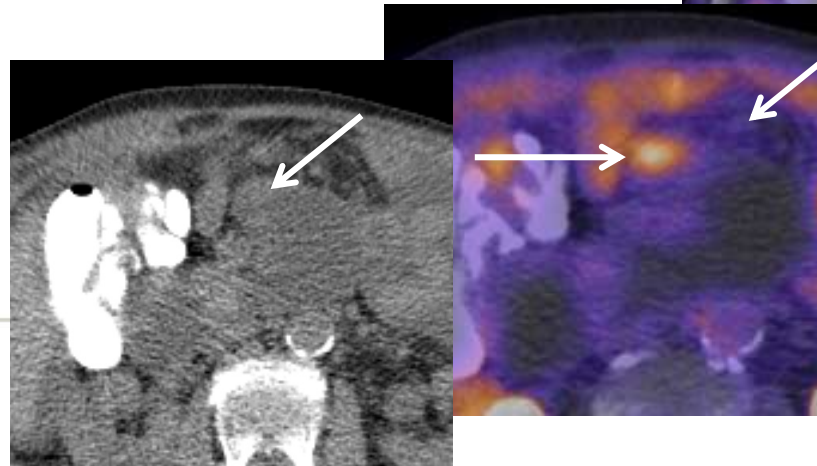
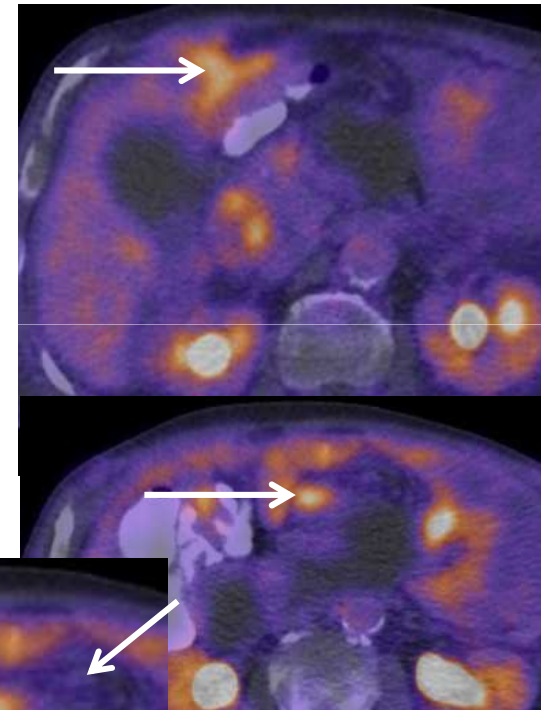
Baseline

12.02.2015



Serosal disease  
causing small bowel  
obstruction

06.03.2015



# Primary versus nodal relapse

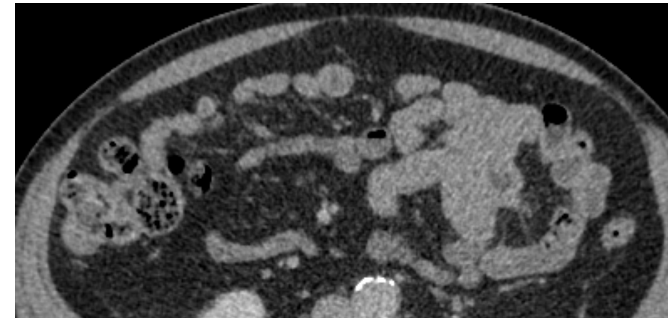
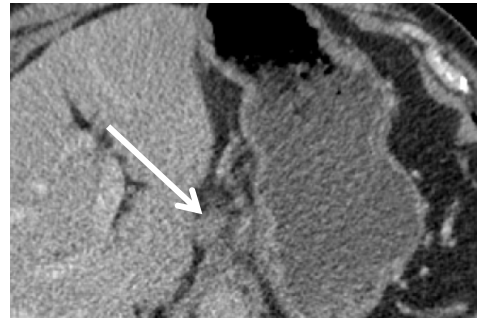
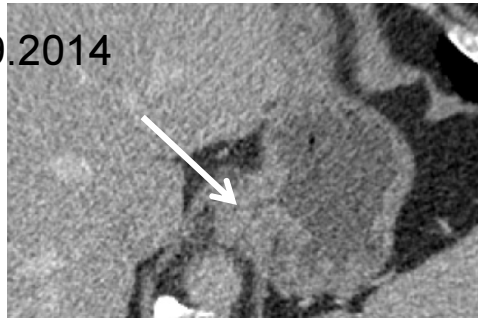
Type II GOJ tumour staged as T3N2. Commenced chemo. Progressive symptoms of dysphagia.

Type II GOJ primary

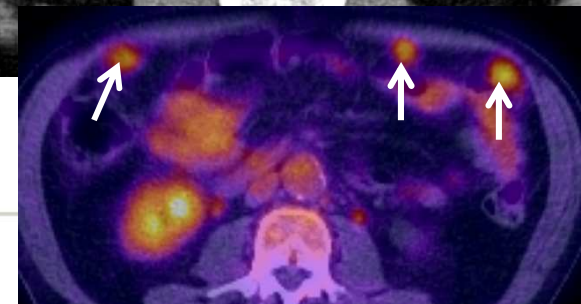
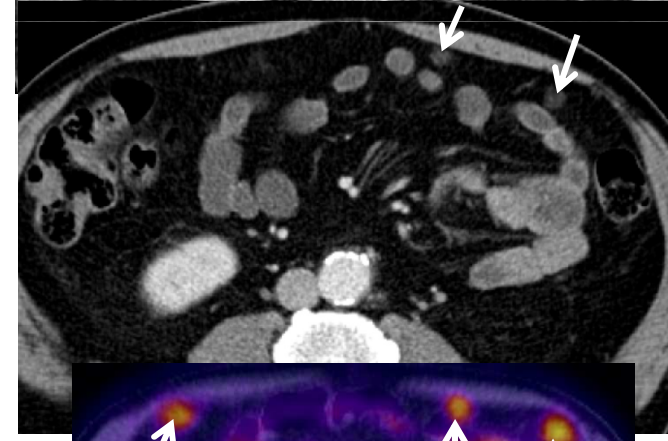
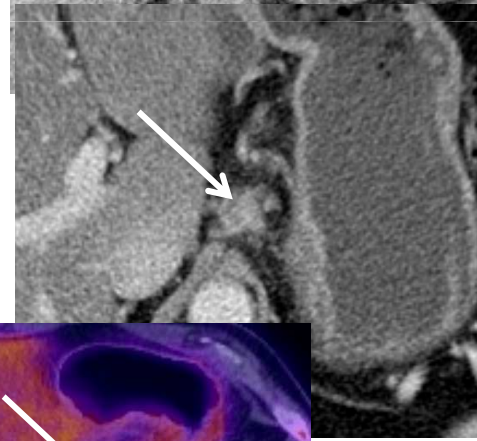
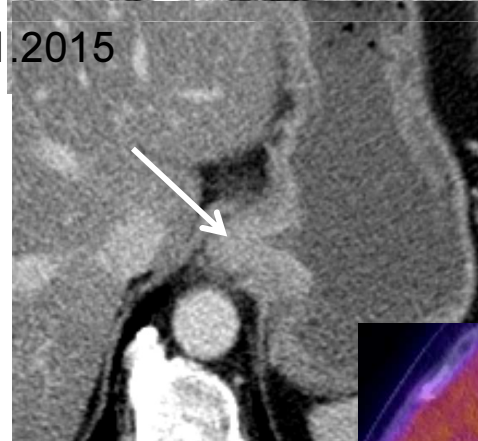
LGA node

Peritoneum

17.09.2014



22.01.2015





# Primary versus nodal relapse

44 year old male with familial E-cadherin CDH1 gene +ve; poorly differentiated signet ring cell gastric carcinoma. Total gastrectomy 27.04.2010. pT3N1 (1/31 nodes)

10.12.2014



10.12.2014



19.03.2015



Loco-regional relapse ?nodal?primary.....

Partial response post chemotherapy

# Summary

Detecting relapse following gastric surgery is challenging

- Unfamiliar anatomy
- Lack of intra abdominal fat
- False negative CT
- PET-CT may assist in detection of relapse
- Advise follow up if symptoms persist & imaging is negative



Thank you

*The* ROYAL MARSDEN

NHS Foundation Trust

# Incidence and Location of Local Recurrences after Combined Treatment Gastric Cancer

William Allum  
Consultant Surgeon  
Royal Marsden NHS  
Foundation Trust  
London, UK



NHS

# Incidence

<b>Author</b>	<b>Sample size</b>	<b>Rate</b>
Moorcraft BMC Cancer 2016 16:112-121	146	32% - median FU 62 months
Roviello Br J Surg 2003; 90: 1113–1119	215	49% - median FU 48mo
Wu World J Surg 2003;27:153-158.	611	40.1%
MSKCC Ann Surg 2004;240: 808–816	1172	42% - median FU 22mo
US GC Collaborative J Am Coll Surg 2014;219:664-675.	817	30% - median FU 29mo



# Time to Recurrence

---

<b>Author</b>	
Moorcraft	80% by 2 years
Roviello	81% by 2 years
MSKCC	79% by 2 years
Wu	80% by 2 years



# Pattern of Recurrence

---

<b>Author</b>	<b>Local / Regional only</b>	<b>Systemic only</b>	<b>Peritoneal</b>	<b>Both</b>
Roviello	45%	35%	36%	
MSKCC	54%	51%	29%	
Wu	45%	87%	53%	80%
Moorcraft	9%	79%		13%



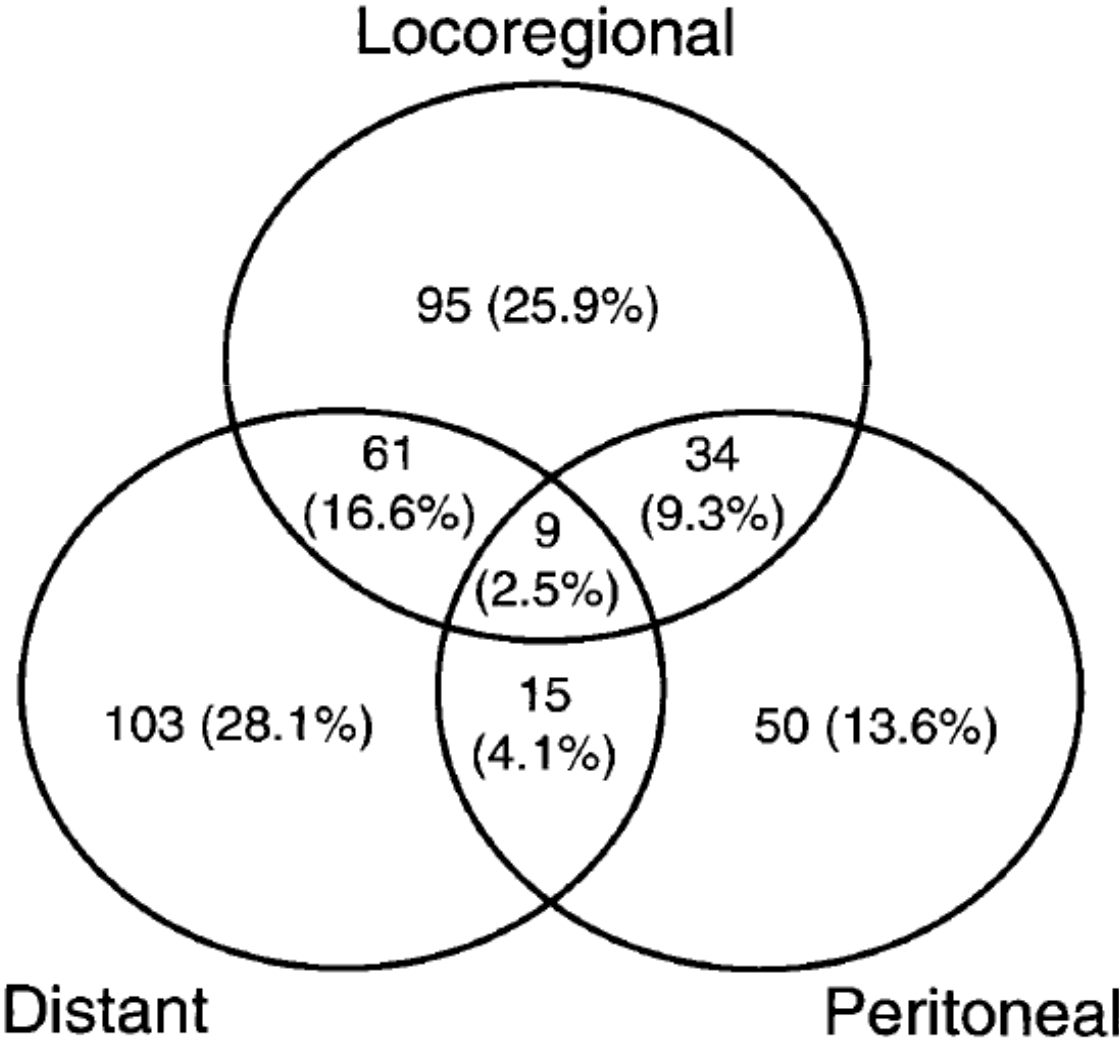
## Site of Relapse

<b>Lymph nodes</b>	<b>14 (30%)</b>
<b>Anastomosis</b>	<b>10 (21%)</b>
<b>Peritoneum</b>	<b>18 (38%)</b>
<b>Liver</b>	<b>9 (19%)</b>
<b>Bone</b>	<b>4 (9%)</b>
<b>Abdominal wall</b>	<b>5 (11%)</b>
<b>Lung</b>	<b>2 (4%)</b>
<b>Brain</b>	<b>0 (0%)</b>
<b>Mediastinum</b>	<b>1 (2%)</b>
<b>Other</b>	<b>5 (11%)</b>

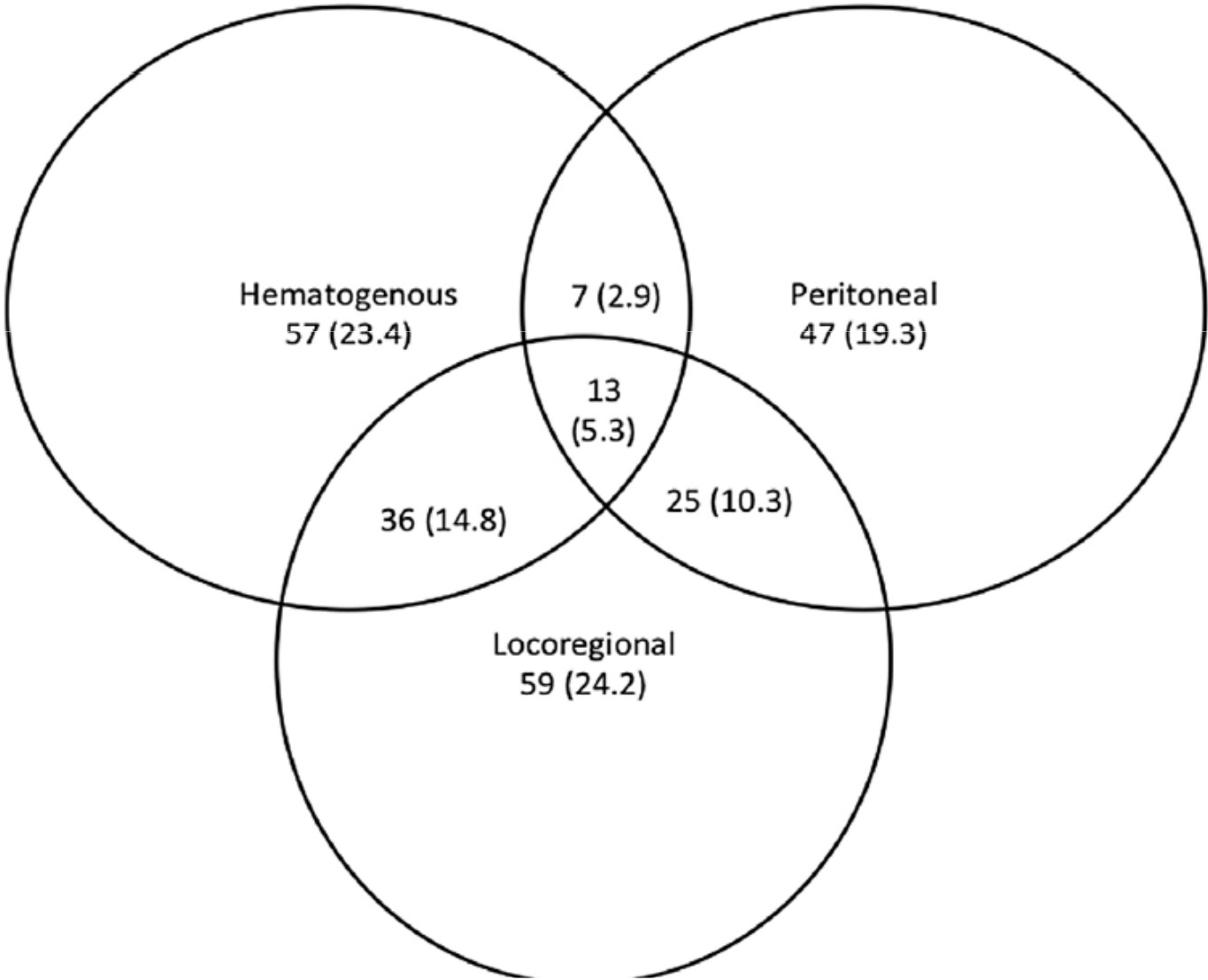




# Pattern of Recurrence MSK series



# Pattern of Recurrence US Gastric Cancer Collaborative Group



# Specific Sites of Recurrence

Locoregional (199 patients, 215 specific sites)	
Lymph nodes	103 (48%)
Anastomosis	69 (32%)
Gastric bed	43 (20%)
Distant (188 patients, 245 specific sites)	
Liver	90 (37%)
Lung	39 (16%)
Bone	39 (16%)
Lymph nodes	35 (14%)
Brain	15 (6%)
Adrenal	8 (3%)
Pleura	6 (2%)
Subcutaneous	5 (2%)
Breast, Kidney, Bone marrow, pericardium, wound, spine	<2% each

---

There were 109 patients who had peritoneal recurrence that was not subspecified.

Percentages are calculated from the total number of sites in each area.

# Sites of Recurrence

Site of recurrence	No. of patients	Sole site	Associated with other sites
Peritoneal	77	61	16
Locoregional	96	68	28 (13)
Lymph node	49	36	13 (4)
Gastric stump	13	8	5 (2)
Gastric bed or adjacent organs	34	24	10 (7)
Haematogenous	75*	56	21*(3)
Liver	57	41	16 (2)
Lungs	9	7	2
Bone	5	4	1
Skin	5	3	2 (1)
Brain	1	1	0

# Predication of Relapse

<b>Author</b>	<b>Overall Risk</b>	<b>Local / Regional</b>	<b>Distant</b>	<b>Peritoneal</b>
MSKCC		Male Proximal	Proximal Early T stage Intestinal	Female T stage Distal Diffuse
US GC Collaborative	Young T stage Diffuse type Signet ring LVI / PNI Lymph node +ve	Proximal T stage LN +ve D2	T stage LN +ve LVI PNI	Grade T stage LVI PNI Chemo



# Detection of Relapse

## Elevated tumour markers at relapse

**Yes**

**24 (51%)**

**No**

**16 (34%)**

**Unknown**

**7 (15%)**

Symptoms at time of relapse

Yes

34 (72%)

How relapse was first detected in asymptomatic patients

(n = 12)

Routine tumour markers

4 (33%)

Routine CT

4 (33%)

Concurrent routine CT/ markers

3 (25%)

Endoscopy

1 (8%)

Other

0 (0%)

# Treatment of Relapse

## Further treatment for recurrent disease

Type of treatment for recurrent disease

Chemotherapy

Radiotherapy

Chemoradiotherapy

Surgery

19 (86%)

3 (14%)

0 (0%)

1 (5%)



# Survival

---

Median survival after relapse

5 months (US GC Collaborative)

6 months (MSKCC)





# Recommendation for subsite delineation by stage and tumor position

Francesco Cellini MD, EF

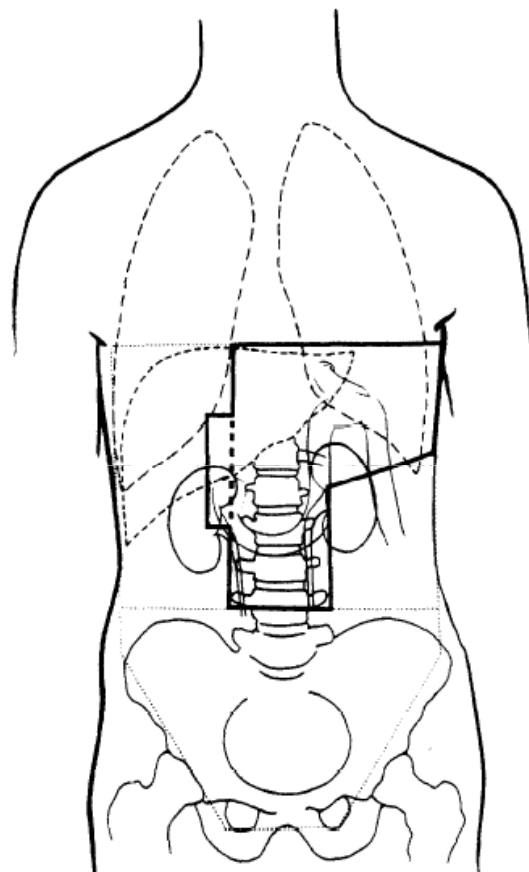
Gemelli ART  
Radiotherapy Department  
Fondazione Policlinico A. Gemelli  
Università Cattolica S. Cuore  
Roma

# Outline

- CTV Definition: Background and Issues
  - CTV Selection
  - CTV Identification
- } Preoperative Setting  
Postoperative Setting

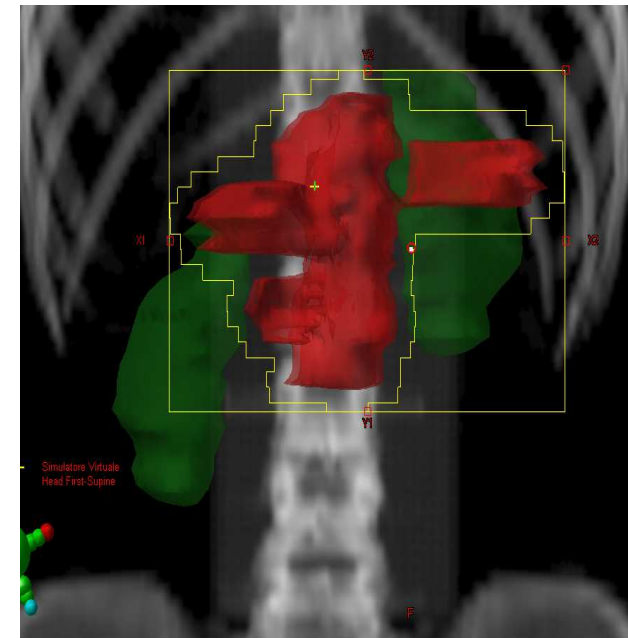
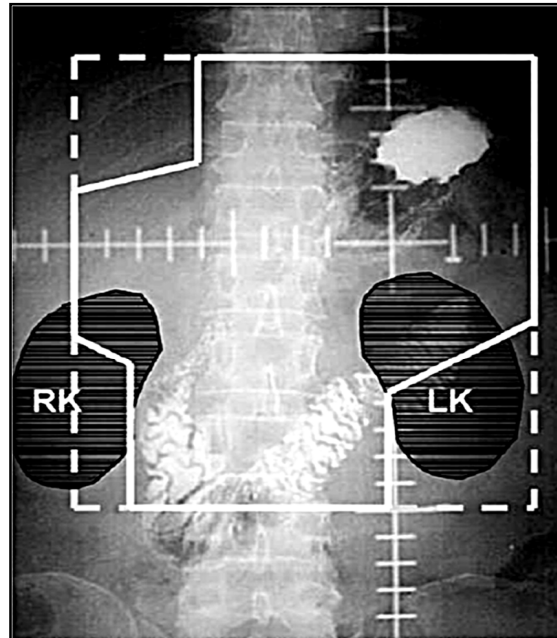
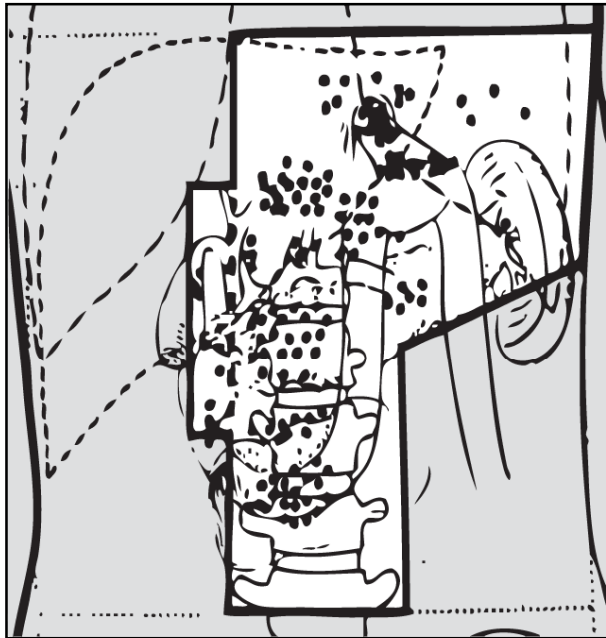


# CTV Definition: Background



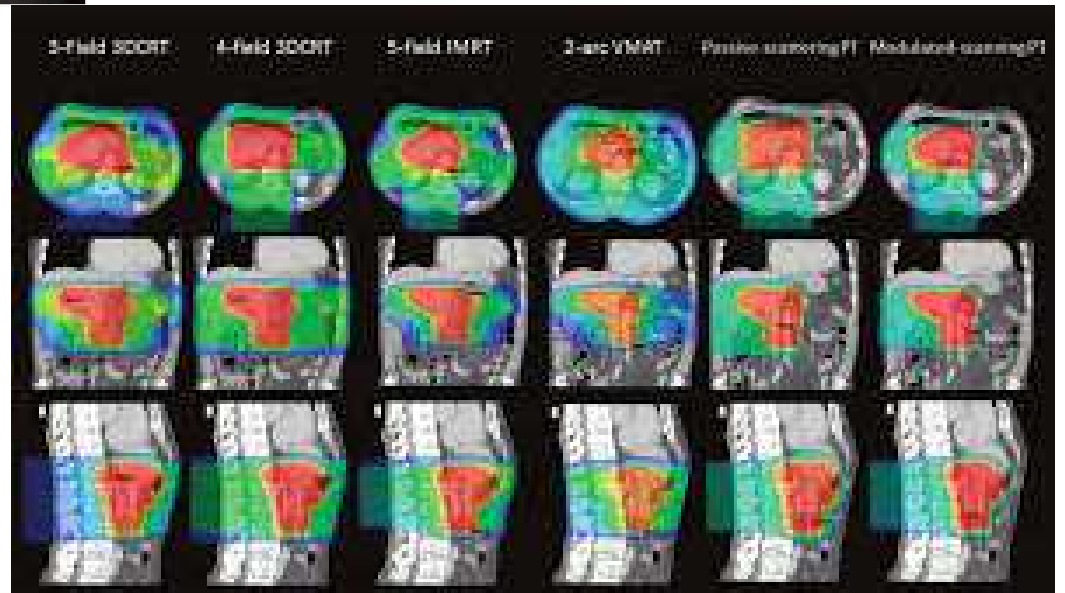
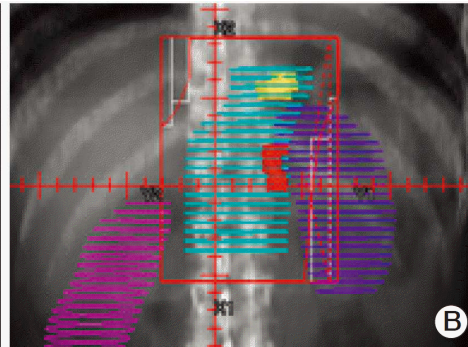
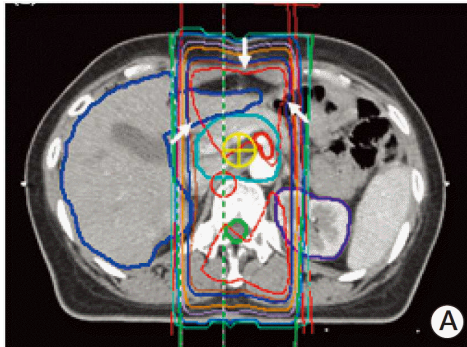
# CTV Definition: Background

## Radiotherapy Targeting

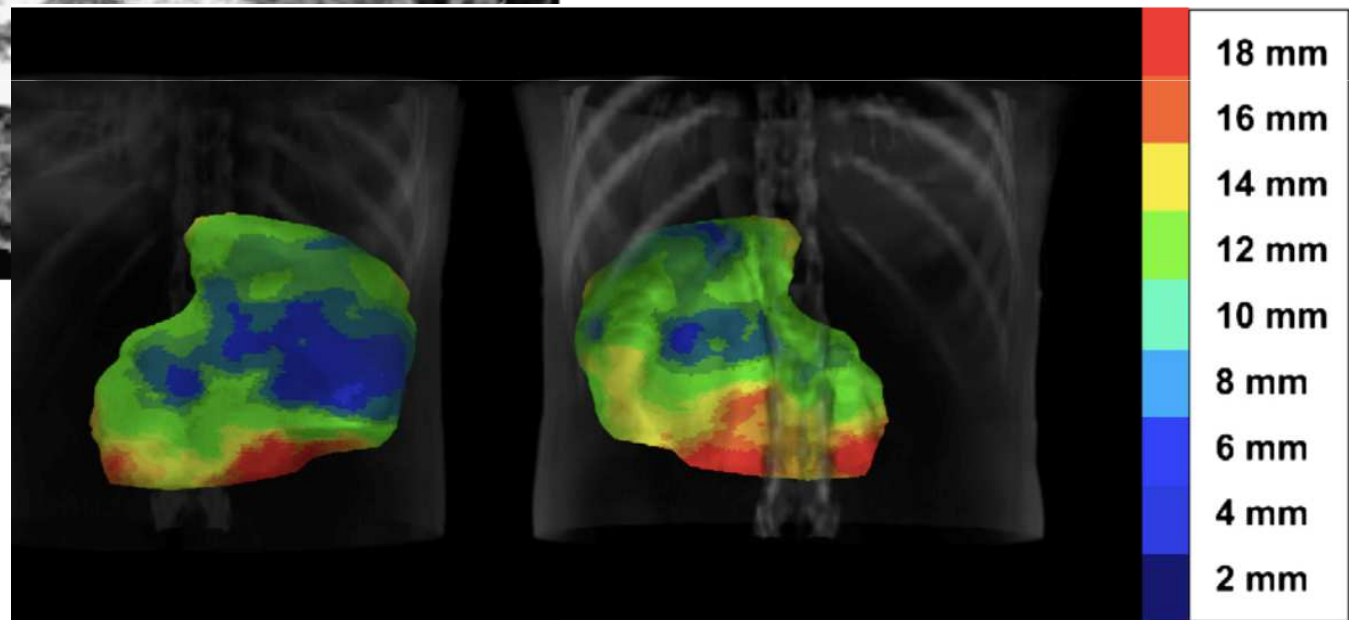
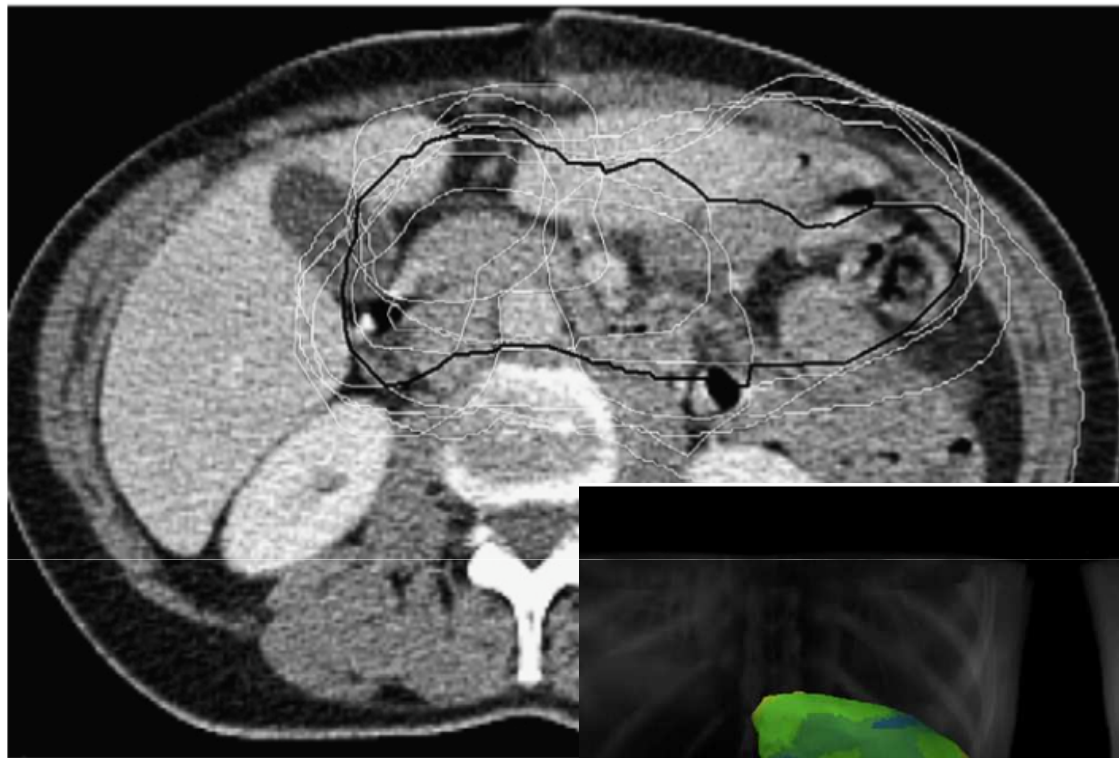


# CTV Definition: Background

## Radiotherapy Targeting



# CTV Definition: Issues



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del Sacro Cuore

Jansen et al.; *IJROBP* -2010



# CTV DEFINITION: Issues

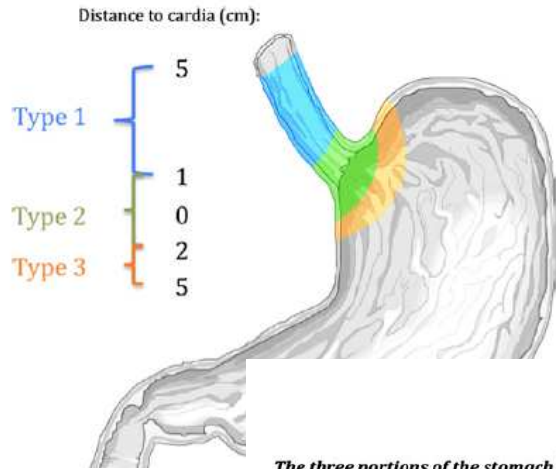
## CTV Selection



## CTV Identification

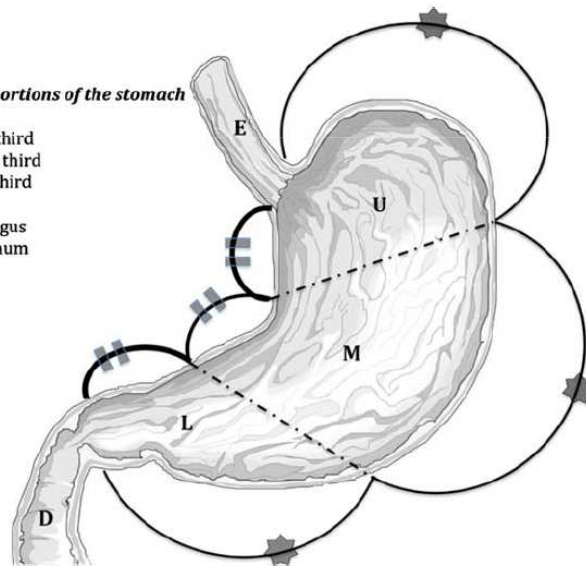


# CTV DELINEATION: Preoperative Setting



The three portions of the stomach

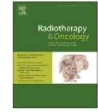
- U: upper third
- M: middle third
- L: lower third
- E: esophagus
- D: duodenum



Contents lists available at ScienceDirect

Radiotherapy and Oncology

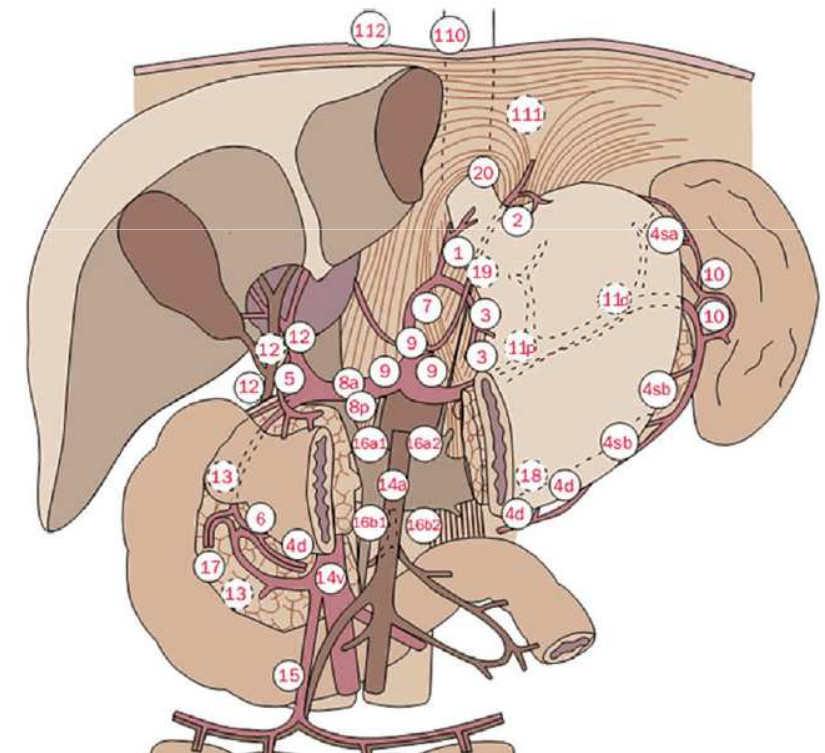
journal homepage: [www.thegreenjournal.com](http://www.thegreenjournal.com)



## Guidelines

EORTC-ROG expert opinion: Radiotherapy volume and treatment guidelines for neoadjuvant radiation of adenocarcinomas of the gastroesophageal junction and the stomach

Oscar Matzinger<sup>a,b,\*</sup>, Erich Gerber<sup>c</sup>, Zvi Bernstein<sup>d</sup>, Philippe Maingon<sup>e</sup>, Karin Haustermans<sup>f</sup>, Jean François Bosset<sup>g</sup>, Akos Gulyban<sup>a</sup>, Philip Poortmans<sup>h</sup>, Laurence Collette<sup>a</sup>, Abraham Kutun<sup>d</sup>



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del Sacro Cuore

Matzinger et al.; *Radiother Oncol* -2009





# CTV DELINEATION: Preoperative Setting

GTV tumor

+

GTV nodal



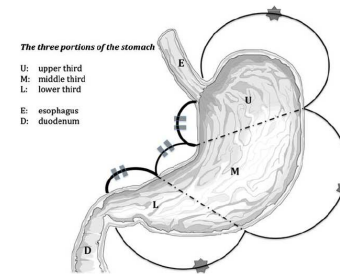
+ 1.5 cm = CTV tumor



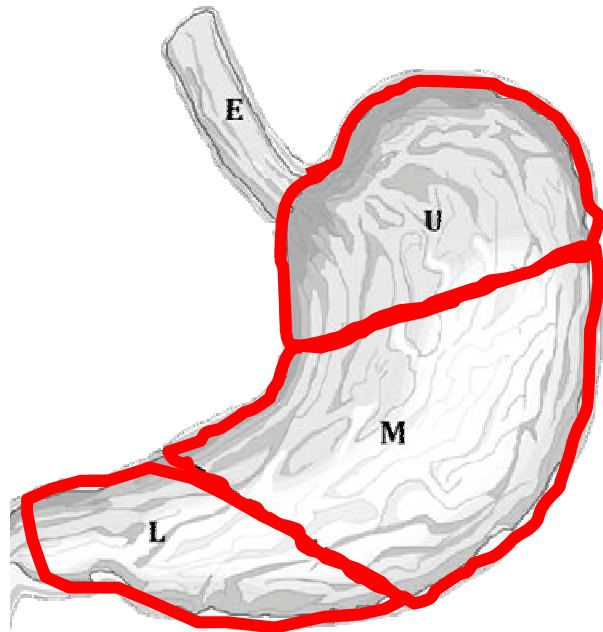
+ 0.5 cm = CTV nodal

+

CTV Gastric



## CTV DELINEATION: Preoperative Setting



UP  $\frac{1}{3}$  = Stomach wo Pylorus + Antrum  
(CTV= GTV + 5 mm minimum)

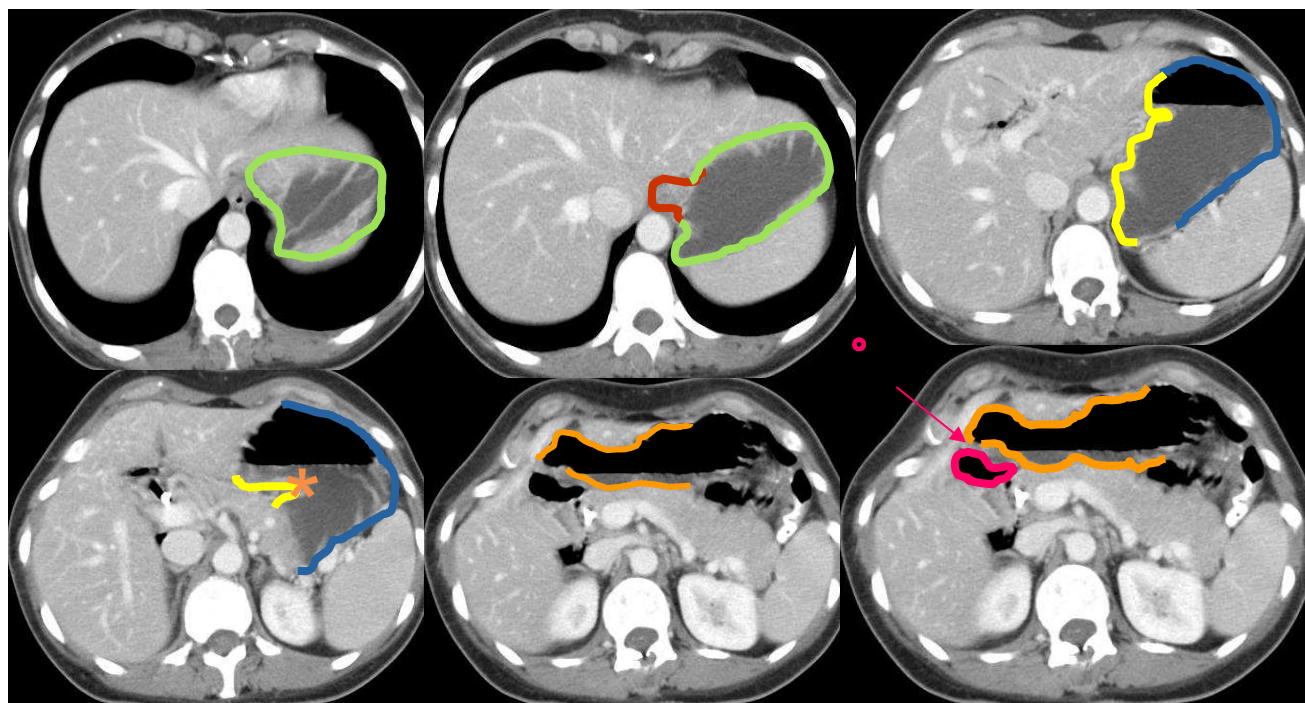
MID  $\frac{1}{3}$  = Whole Stomach

LOW  $\frac{1}{3}$  = Stomach wo Cardias + Fundus  
(If Pylorus or Duodenum "+" Include 3 cm Duodenum: )



# CTV DELINEATION: Preoperative Setting

## Stomach CT Anatomy



**FUNDUS**

**LESSERE CURVATURE**

**CARDIAS**

**ANTRUM**

**GREATER CURVATURE**

**BULB**

\* **ANGULUS**

**PYLORUS**

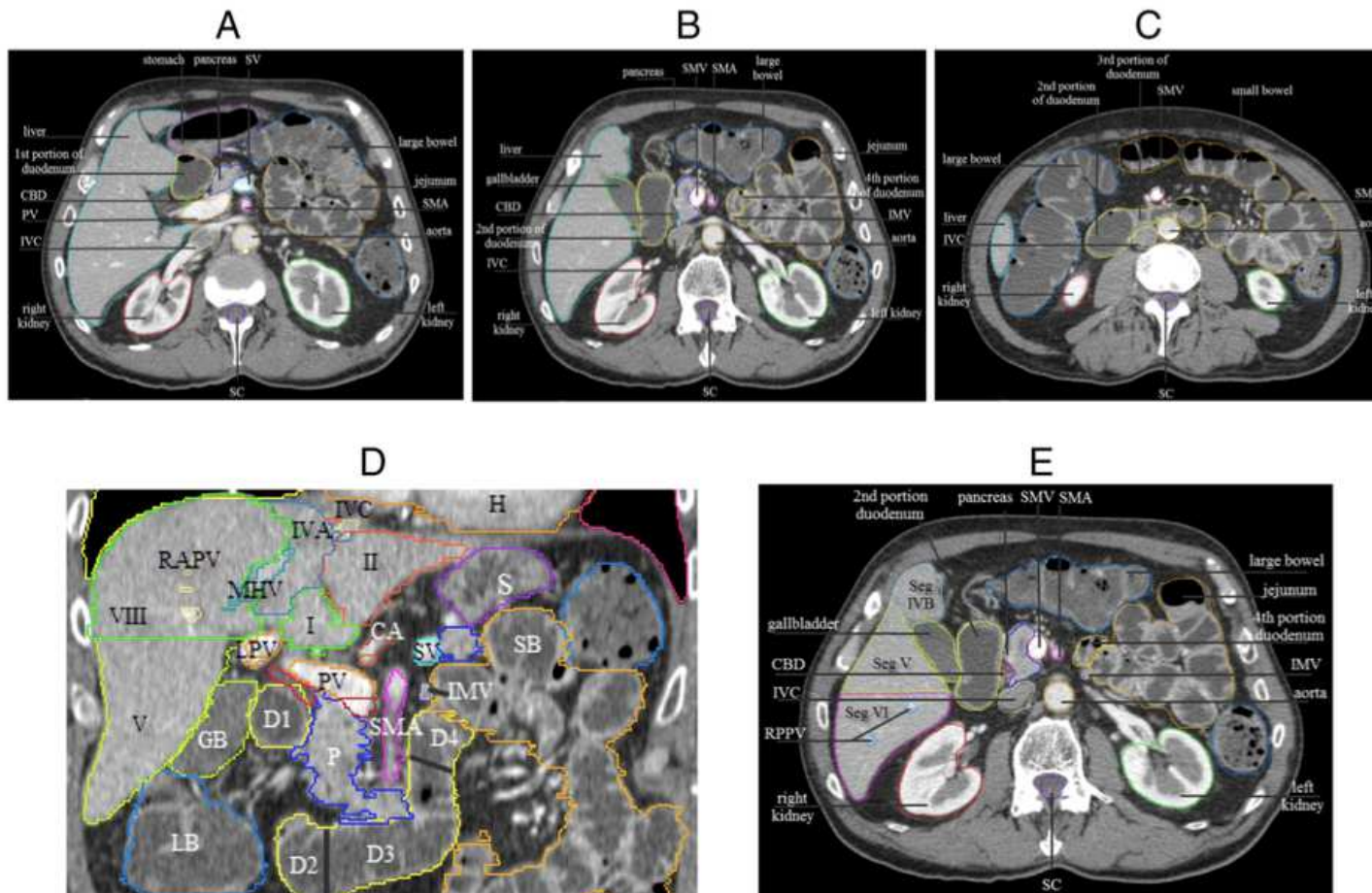


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# CTV DELINEATION: Preoperative Setting

## Organs Anatomy



# CTV DELINEATION: Preoperative Setting

GTV tumor

+

GTV nodal



+ 1.5 cm = CTV tumor

+ 0.5 cm = CTV nodal

+

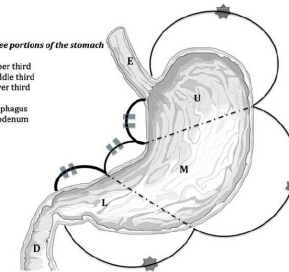
CTV Gastric

+

CTV Elective

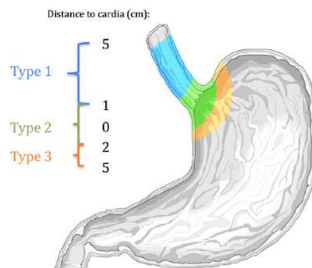
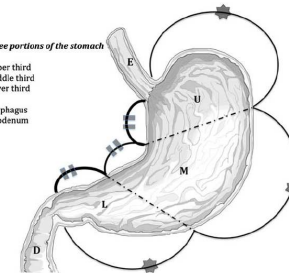
The three portions of the stomach

U: upper third  
M: middle third  
L: lower third  
E: esophagus  
D: duodenum



The three portions of the stomach

U: upper third  
M: middle third  
L: lower third  
E: esophagus  
D: duodenum

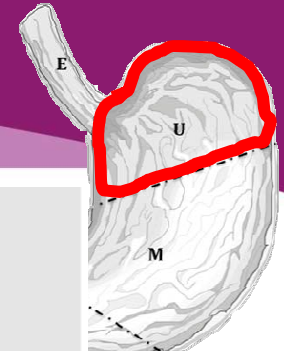


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del Sacro Cuore

Matzinger et al.; *Radiother Oncol* -2009



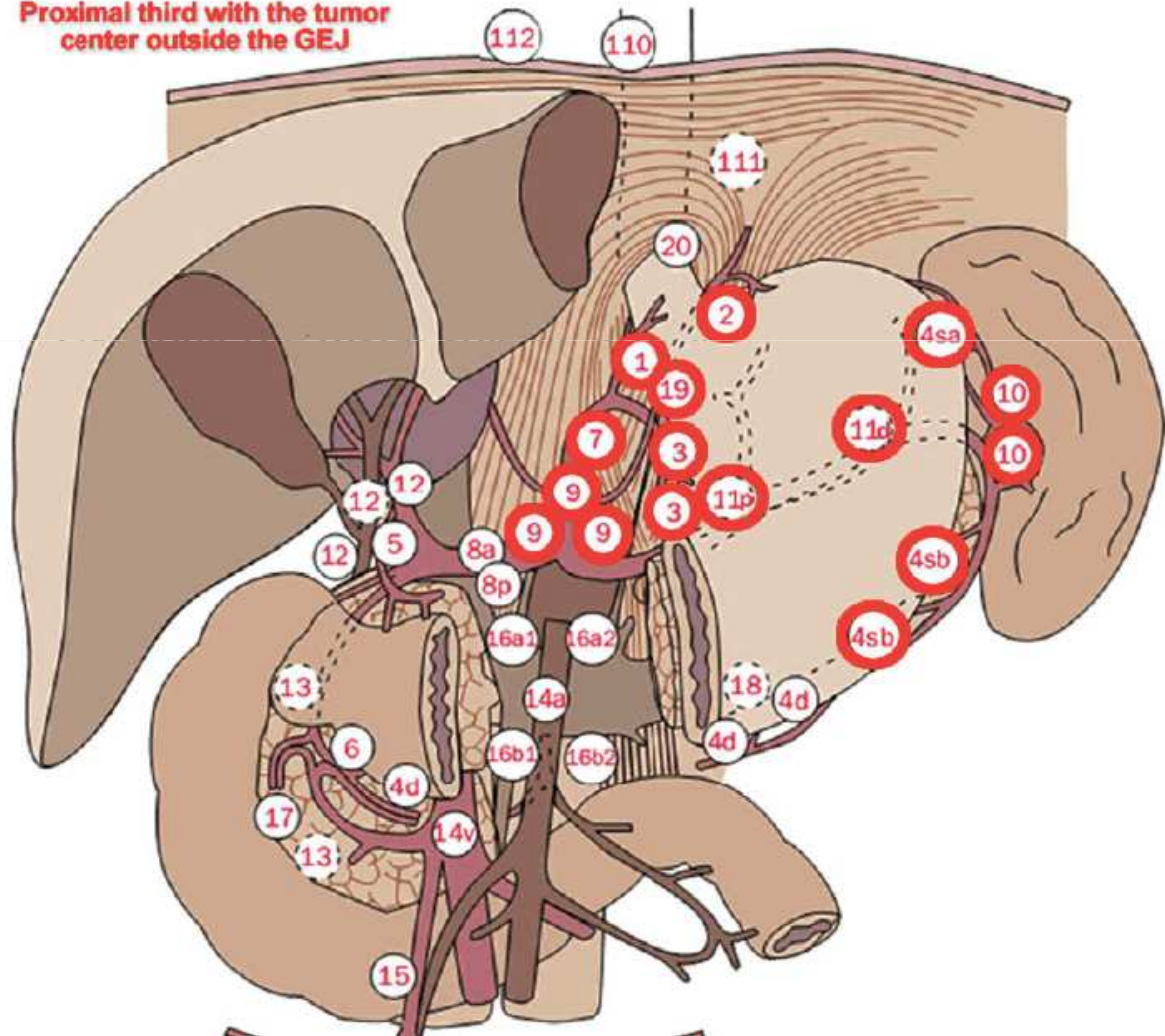
# CTV DELINEATION: Preoperative Setting



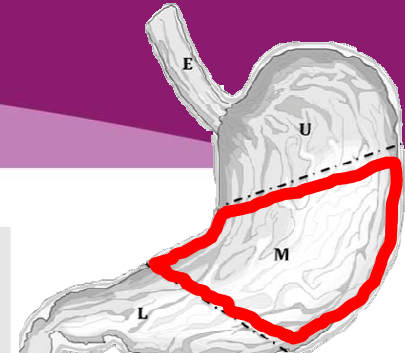
- GC: proximal third  
(Figs. 3, 7 and 10)
- 1
  - 2
  - 3
  - 4sa
  - 4sb
  - 7
  - 9
  - 10
  - 11p
  - 11d
  - 19

- Right paracardial LN
- Left paracardial LN
- LN along the lesser curvature

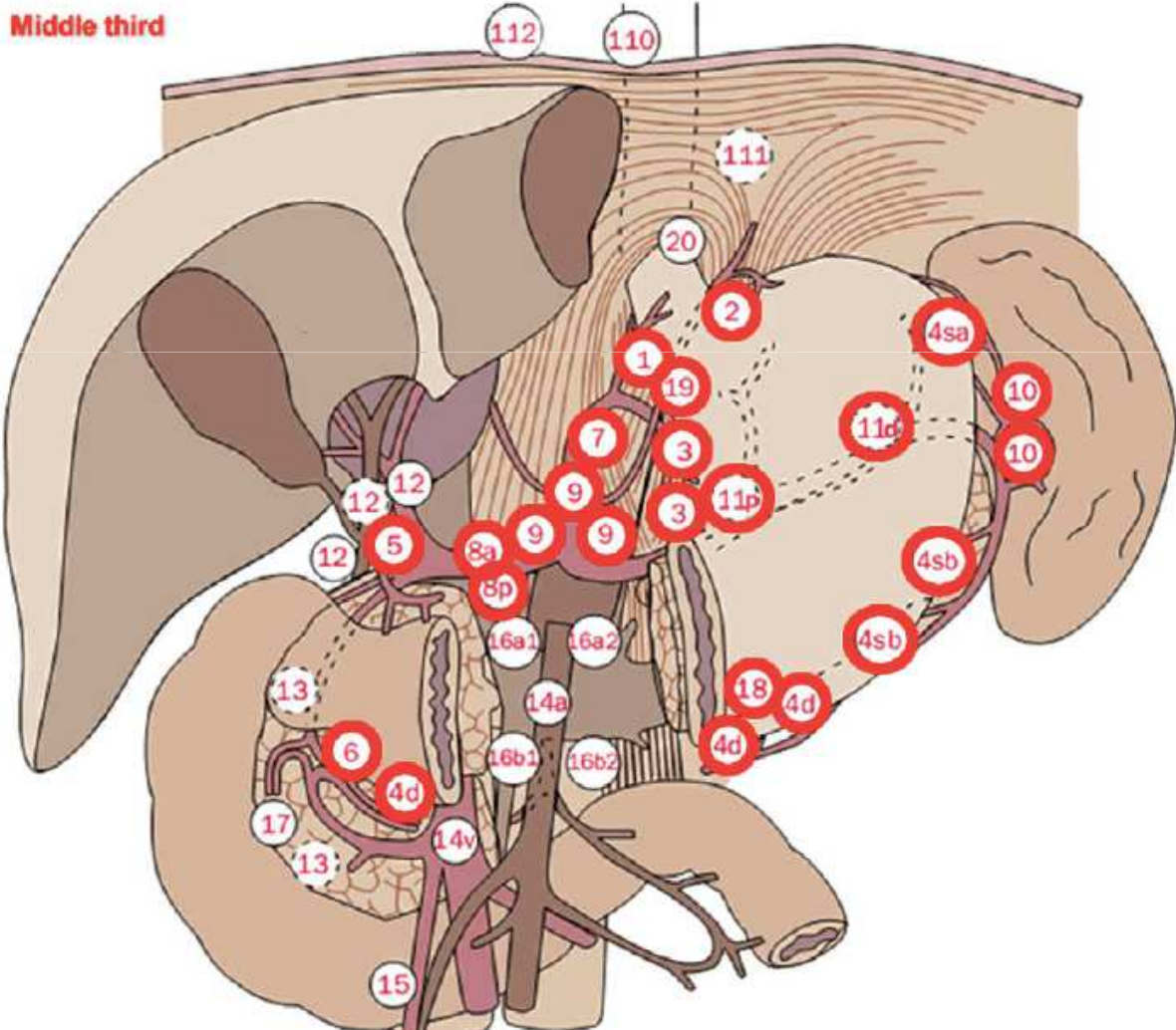
Proximal third with the tumor center outside the GEJ



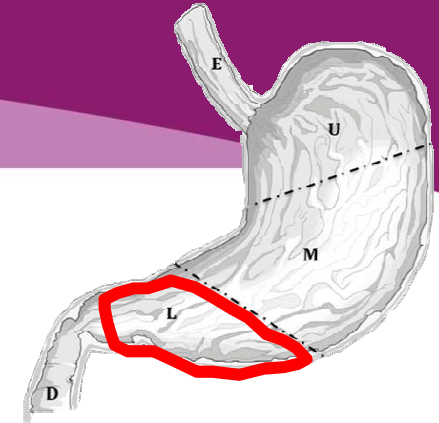
# CTV DELINEATION: Preoperative Setting



GC: middle third	1	Right paracardial LN
	2	Left paracardial LN
(Figs. 3, 8 and 10)	3	LN along the lesser curvature
	4Sa	
	4sb	
	4d	
	5	
	5	
	7	
	8a	
	8b	
	9	
	10	
	11p	
	11d	
	18	
	19	



# CTV DELINEATION: Preoperative Setting



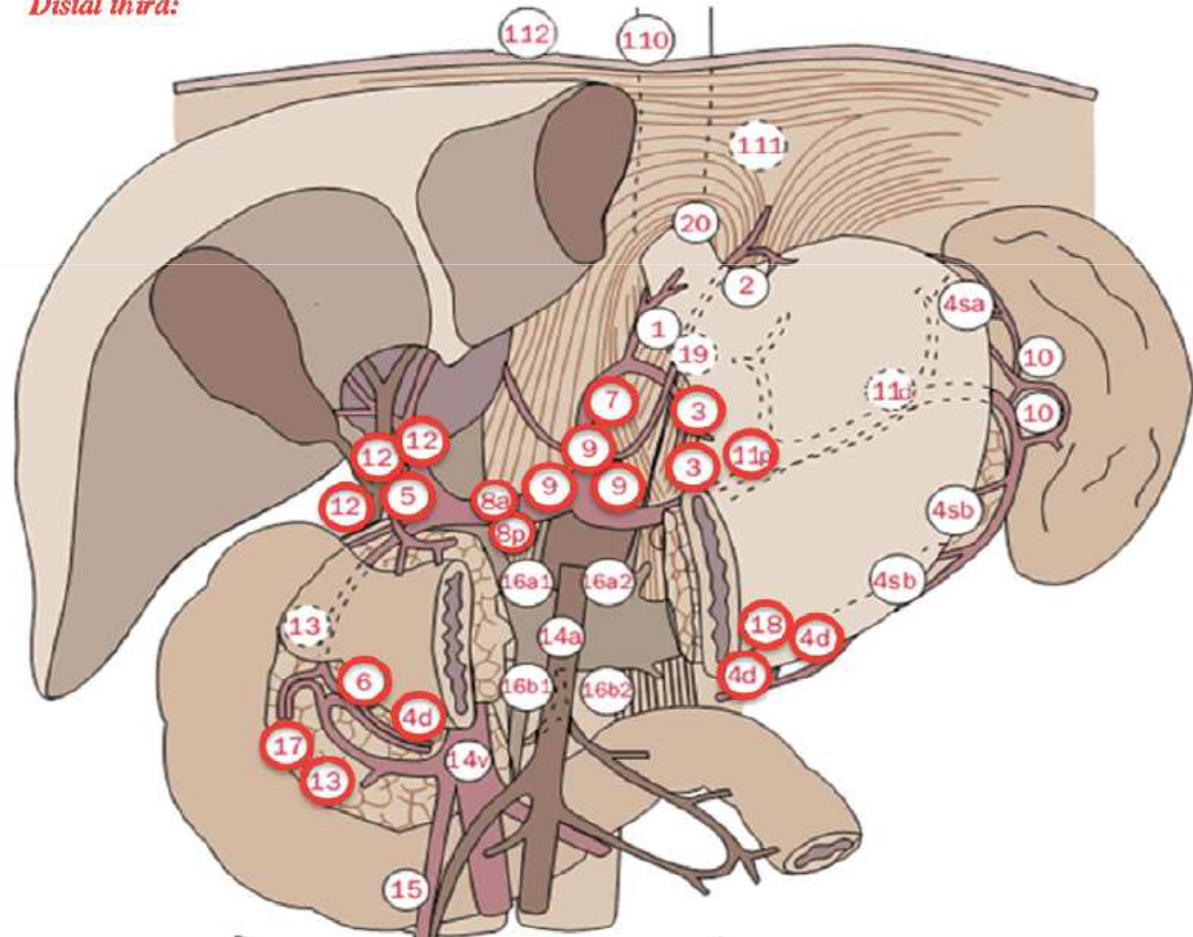
GC: distal third

- 3
- 4d
- 5
- 6
- 7
- 8a
- 8b
- 9
- 11p

(Figs. 3, 9 and 10)

LN along the lesser curvature  
 LN along the right gastroepiploic vessels  
 Suprapyloric LN  
 Infrapyloric LN  
 LN along the left gastric artery

*Distal third:*



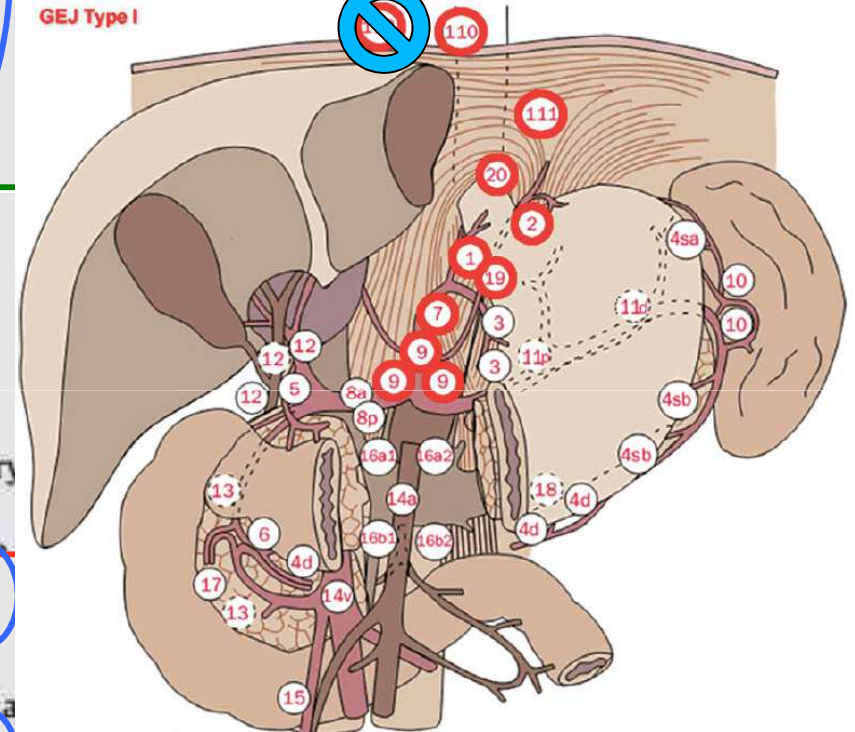


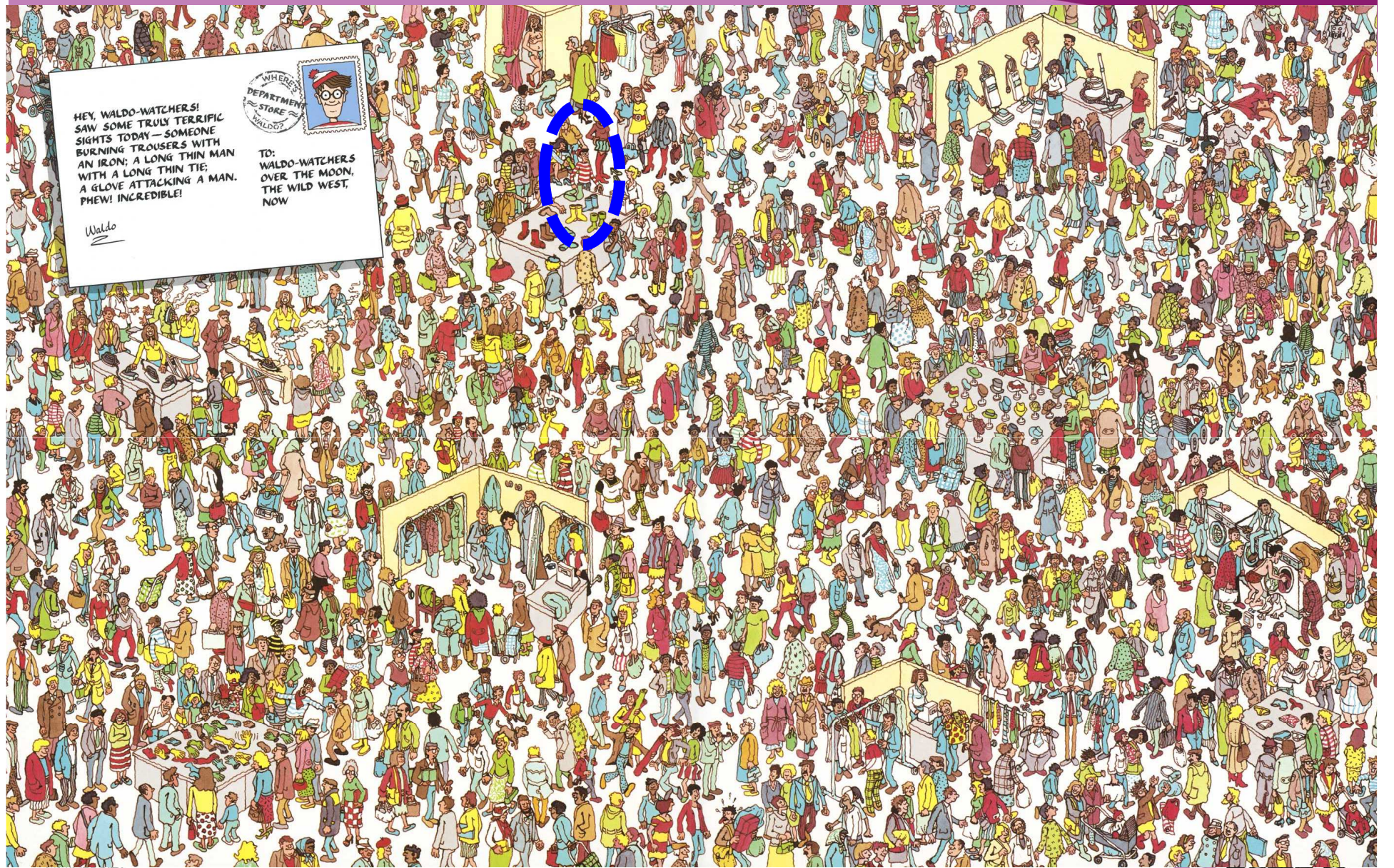
# CTV DELINEATION: Preoperative Setting

GEJ type I (Figs. 3, 4 and 10)	1 2 7 9 19 20 110 111 112	Right paracardial LN Left paracardial LN LN along the left gastric artery LN around the celiac artery Infradiaphragmatic LN
-----------------------------------	---	---

GEJ type II (Figs. 3, 5 and 10)	1 2 3 4sa 7 9 11p 19 20 110 111	Right paracardial LN Left paracardial LN LN along the lesser curvature LN along the short gastric vessels LN along the left gastric artery LN around the celiac artery LN along the proximal splenic artery Infradiaphragmatic LN LN in the oesophageal hiatus of the diaphragm Paraoesophageal LN
------------------------------------	---	---

GEJ type III (Figs. 3, 6 and 10)	1 2 3 4sa 7 9 10 11p 11d 19 20 110 111	LN along the left gastric artery LN around the celiac artery LN at the splenic hilum LN along the proximal splenic artery LN along the distal splenic artery Infradiaphragmatic LN LN in the oesophageal hiatus of the diaphragm Paraoesophageal LN in the lower thorax Supradiaphragmatic LN
-------------------------------------	--	---





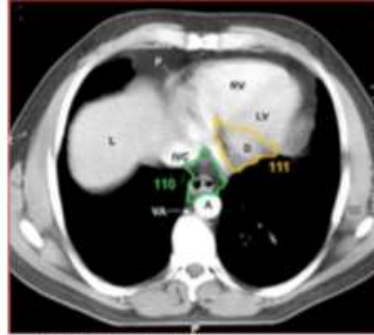
HEY, WALDO-WATCHERS!  
SAW SOME TRULY TERRIFIC  
SIGHTS TODAY — SOMEONE  
BURNING TROUSERS WITH  
AN IRON; A LONG THIN MAN  
WITH A LONG THIN TIE;  
A GLOVE ATTACKING A MAN.  
PHEW! INCREDIBLE!

Waldo

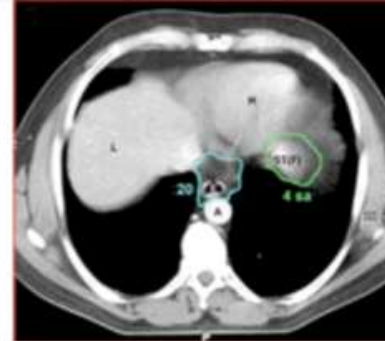


TO:  
WALDO-WATCHERS  
OVER THE MOON,  
THE WILD WEST,  
NOW

# CTV DELINEATION: Preoperative Setting



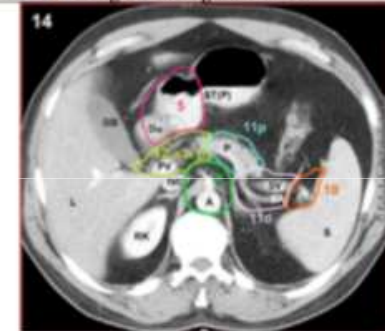
110 - Paroesophageal LN  
111 - Supradiaphragmatic LN



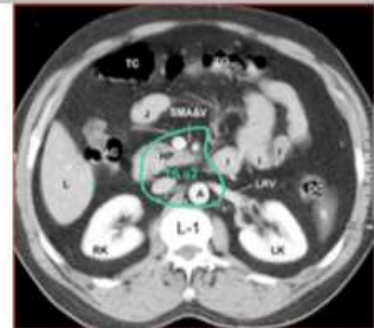
20 - LN in the oesophageal hiatus of the diaphragm  
4sa - LN along the short gastric vessels



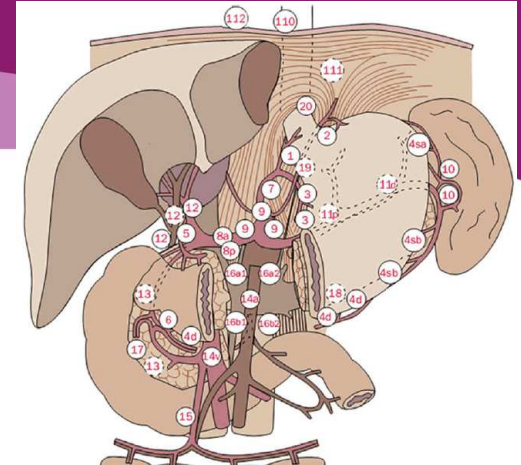
3 - LN along the lesser curvature  
4sb - LN along the left gastroepiploic vessels  
7 - LN along the left gastric artery



5 - Suprapyloric LN,  
9 - LN around the celiac artery  
10 - LN at the splenic hilum  
11p - LN along the proximal splenic artery  
11d - LN along the distal splenic artery  
12 a, b, p - LN in the hepatoduodenal ligament



16 a2 LN around the abdominal aorta

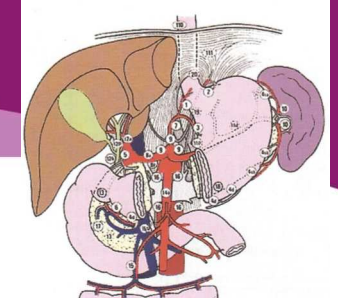


## LEGEND:

A - Aorta; AC - Ascending Colon; D - Diaphragm; DC - Descending Colon; Du - Duodenum; E - Oesophagus; GB - Gall Bladder; I - Ilium; H - Heart; J - Jejunum; IVC - Inferior Cava Vein; L - Liver; L-1 - First Lumbar Vertebra; LK - Left Kidney, LRV - Left Renal Vein; LV - Left Ventricle; P - Pancreas; PV - Portal Vein; RGA - Right Gastric Artery; RK - Right Kidney; RV - Right Ventricle; S - Spleen; SA - Splenic Artery; SMA&V - Superior Mesenteric Artery and Vein; SV - Splenic Vein; ST - Stomach; ST(F) - Stomach Fundus; ST(P) - Stomach Pylorus; TC - Transverse Colon; VA - Azygos Vein



# CTV DELINEATION: Preoperative Setting



**Middle Third or Multiple Gastric Subsite Primaries**

- Perigastric lymph nodes of the:
  - Cardia
  - Lesser Curvature
  - Greater Curvature
  - Antrum and Pylorum

• 1, 2, 3, 4, 5, 6, 7

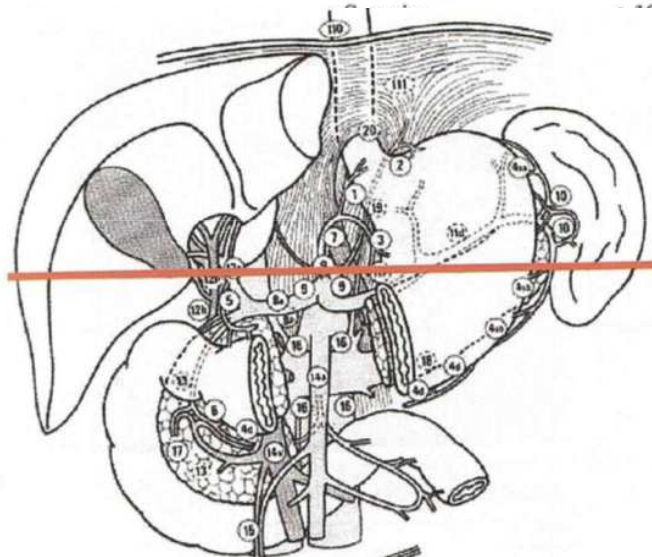
Juxtacardiac = LGNc  
 Lesser Curvature = LGNlc  
 Suprapancreatic (Gastric Greater SplNs Curvature) =  
 Right Gastroepiploic = HNRg  
 Suprapyloric = HNp  
 Infrapyloric = HNp

TION

- Splenic Hilum and Splenic Artery

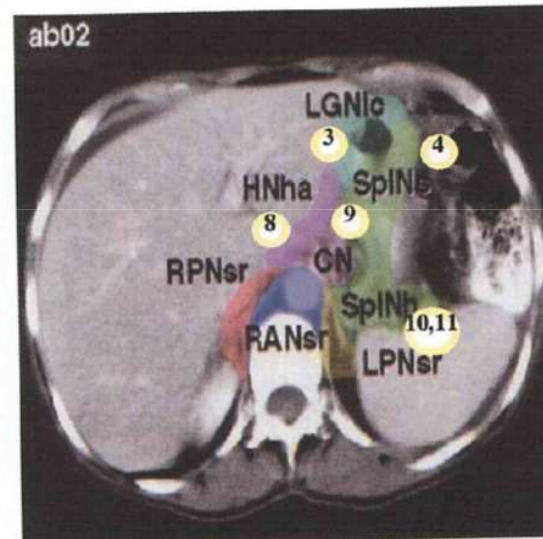
• 10, 11

- Splenic Hilum = SplNh



to the Pancreatic Head and Superior Portion of the Pancreas

14, 16a



Pancreaticoduodenal = HNpd  
 Splenic Hilum = SplNh  
 Superior Mesenteric Nodes = SMN  
 Retroaortic nodes = RANs, RPNs, LPNs

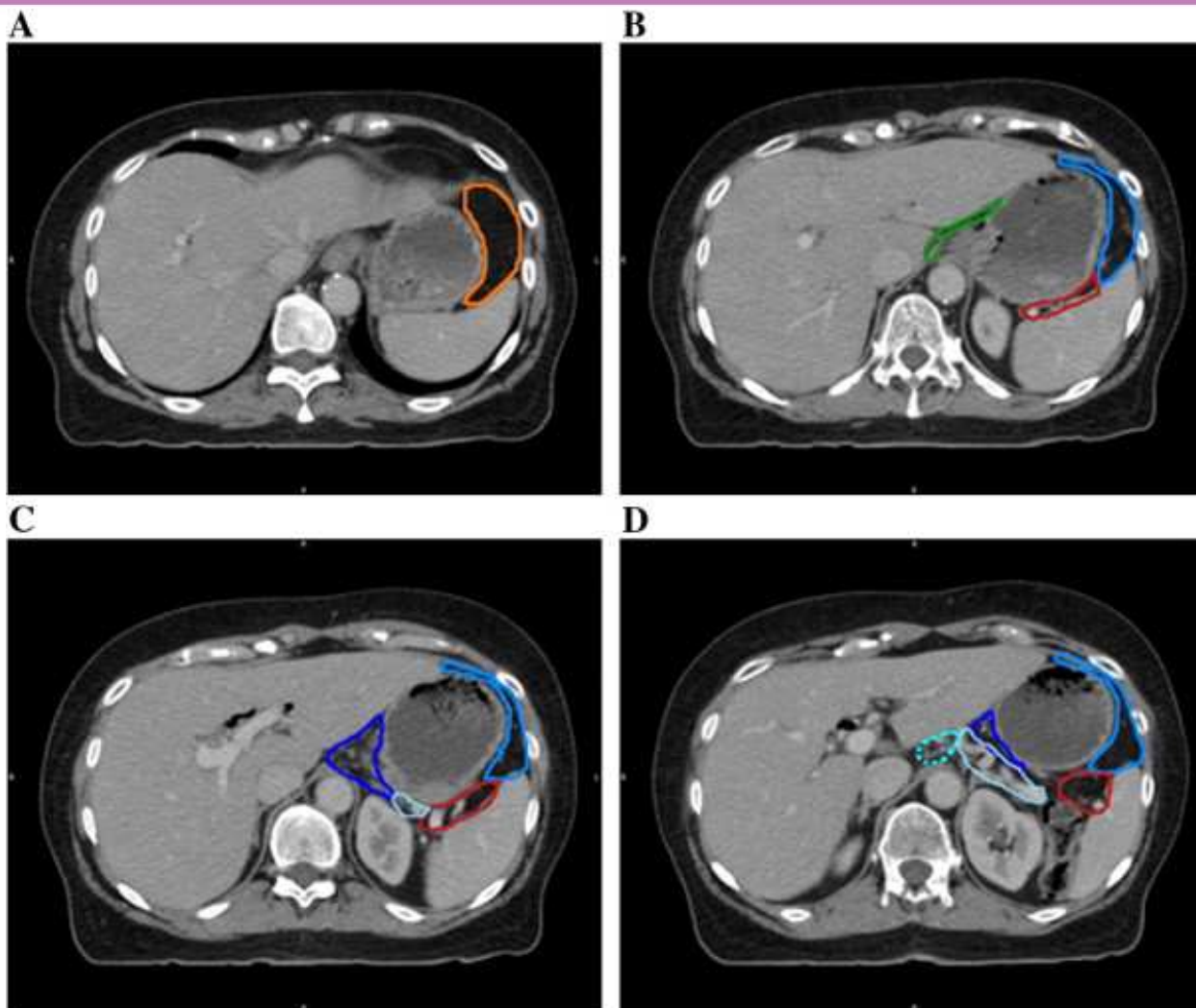


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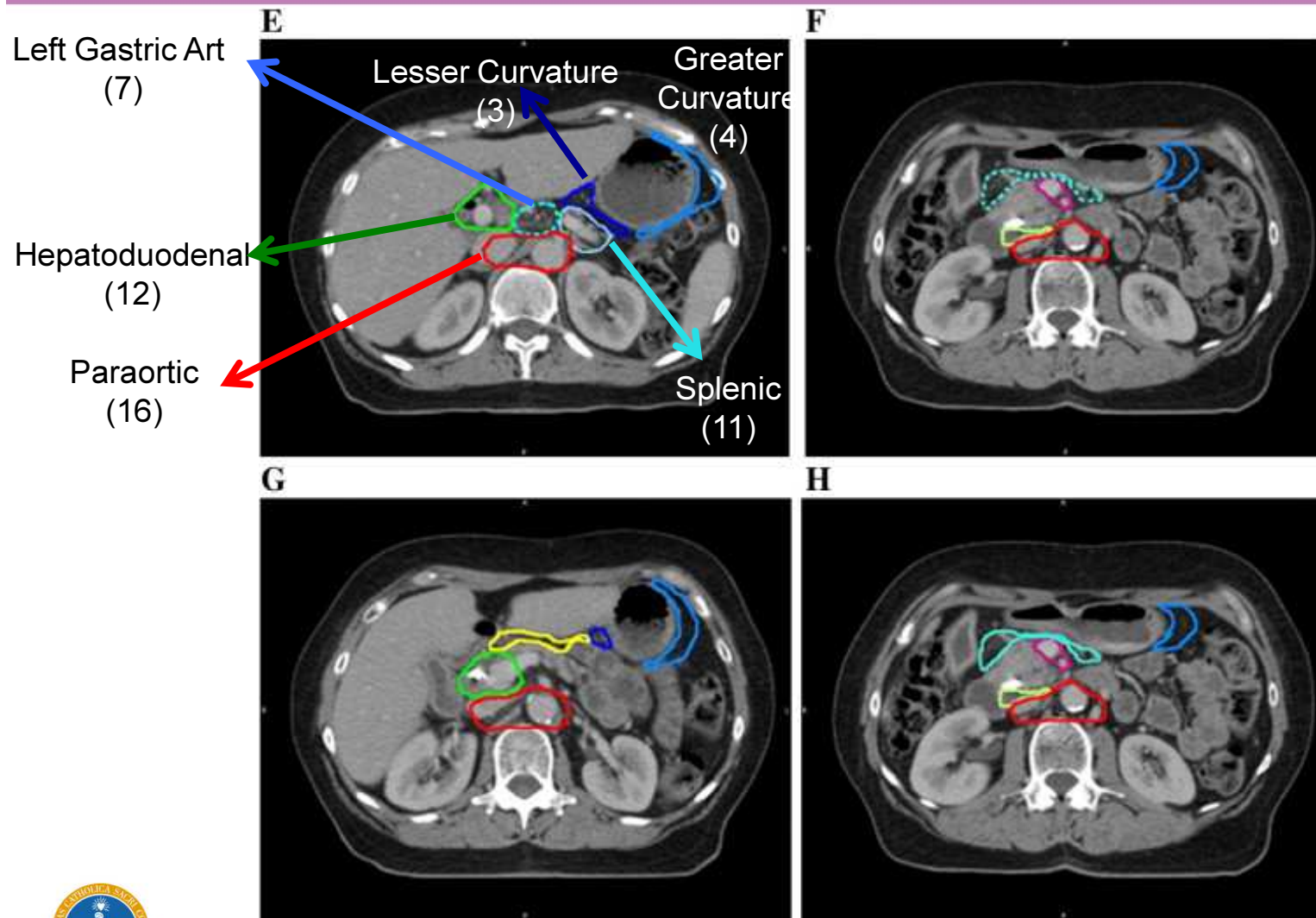
Cellini et al.; Rays -2003



# CTV DELINEATION: Preoperative Setting



# CTV DELINEATION: Preoperative Setting



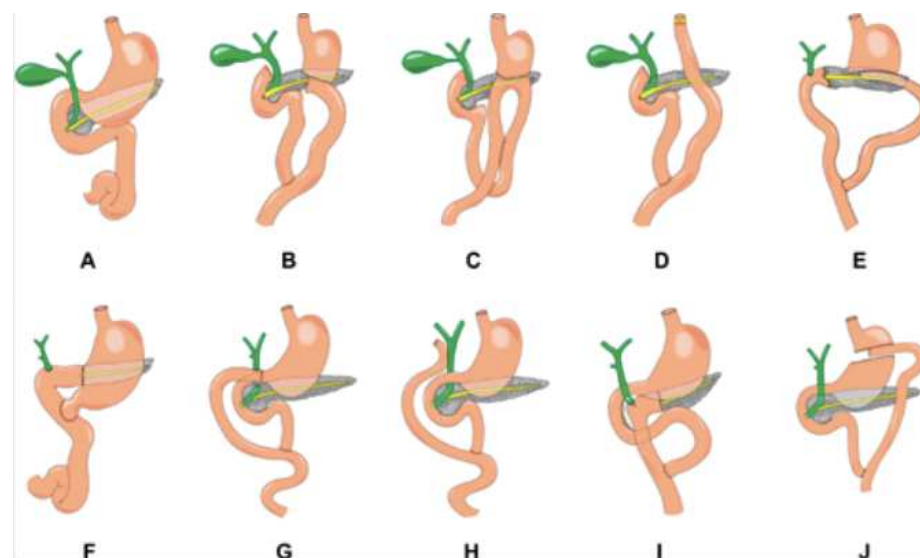
## Splenic LN (11)

“The splenic artery LN basin surrounds the splenic artery.

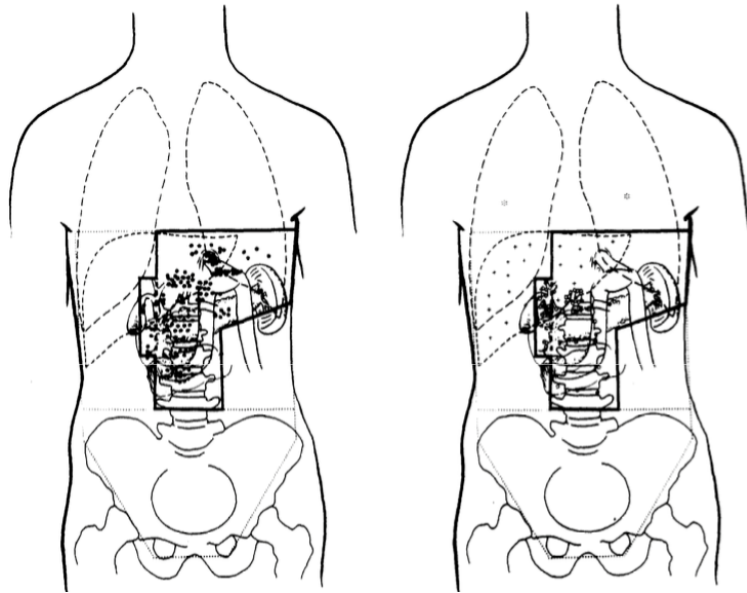
It is bordered anteriorly by the posterior aspect of the gastric body, posteriorly by the left kidney, laterally by the splenic hilum LNs, and medially by the celiac axis LNs



# CTV DELINEATION: Postoperative Setting



# CTV DELINEATION: Postoperative Setting



## CTV Definition:

- Post-surgical gastric remnant;
- Gastric bed structure;
- Anastomoses;
- Duodenal stump;
- Primary and Secondary areas of LN drainage;

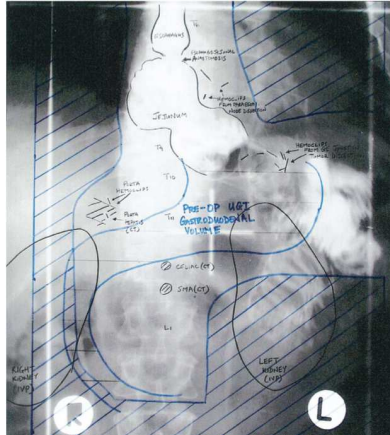




# CTV DELINEATION: Postoperative Setting

CTV Definition: Tumor bed and longitudinal surgical margins

## GEJ- UP $\frac{1}{3}$



## UP $\frac{1}{3}$ :

- Paraesophageal;
- Perigastric nodes (if subtotal surg)
- Subpyloric is optional

## MID $\frac{1}{3}$ :

- Perigastric lymph nodes (cardia, lesser and greater curvature);
- Splenic hilus and splenic artery;
  - Infrapyloric area;
- Superior retropancreatic chain;
  - Hepatoduodenal ligament;

## Antral Lesion- Low $\frac{1}{3}$



## LOW $\frac{1}{3}$

- Subpyloric;
- Pancreaticoduodenal;
- Splenic hilar is optional

LN: 1,2,3,4,5,6,7; 10, 11, 12, 13

# CTV DELINEATION: Postoperative Setting

**Table 3.** Impact of Site of Primary Lesion and TN Stage on Irradiation Treatment Volumes—EG Junction (General Guidelines)

<i>Site of Primary and TN Stage</i>	<i>Remaining Stomach</i>	<i>Tumor Bed Volumes**</i>	<i>Nodal Volumes</i>	<i>Tolerance Organ Structures</i>
1) EG junction	If allows exclusion of 2/3 R kidney	T-stage dependent	N-stage dependent	Heart, lung, spinal cord, kidneys,
T2N0 with invasion of subserosa	Variable dependent on surgical-pathologic findings*	Medial left hemidiaphragm; adjacent body of pancreas	None or perigastric, periesophageal***	
T3N0	Variable dependent on surgical-pathologic findings*	Medial left hemidiaphragm; adjacent body of pancreas	None or perigastric, periesophageal mediastinal, celiac***	
T4N0	Preferable but dependent on surgical-pathologic findings*	As for T3N0 plus site(s) of adherence with 3-5 cm margin	Nodes related to site of adherence, +/- perigastric, periesophageal mediastinal, celiac	
T1-2 N+	Preferable	Not indicated for T1, as above for T2 into subserosa	Periesophageal, mediastinal, prox perigastric, celiac	
T3-4 N+	Preferable	As for T3, T4N0	As for T1-2N+ and T4N0	



# CTV DELINEATION: Postoperative Setting

**Table 4.** Impact of Site of Primary Gastric Lesion and TN Stage on Irradiation Treatment Volumes—Cardia/ Proximal One Third of Stomach (General Guidelines)

<i>Site of Primary and TN Stage</i>	<i>Remaining Stomach</i>	<i>Tumor Bed Volumes**</i>	<i>Nodal Volumes</i>	<i>Tolerance Organ Structures</i>
2) Cardia/ prox 1/3 of stomach	Preferred, but spare 2/3 of one kidney (usually R)	T-stage dependent	N-stage dependent	kidneys, spinal cord, liver, heart, lung
T2N0 with invasion of subserosa	Variable dependent on surgical-pathologic findings*	Medial L hemidiaphragm, adjacent body of pancreas (+/- tail)	None or perigastric†	
T3N0	Variable dependent on surgical-pathologic findings*	Medial L hemidiaphragm, adjacent body of pancreas (+/- tail)	None or perigastric: optional: periesophageal, mediastinal, celiac#†	
T4N0	Variable dependent on surgical-pathologic findings*	As for T3N0 plus site(s) of adherence with 3-5 cm margin	Nodes related to site of adherence, +/- perigastric, periesophageal, mediastinal, celiac	
T1-2N+	Preferable	Not indicated for T1, as above for T2 into subserosa	Perigastric, celiac, splenic, suprapancreatic, +/- periesophageal, mediastinal, panc-duod, porta hepatis***	
T3-4 N+	Preferable	As for T3, T4N0	As for T1-2N+ and T4N0	



# CTV DELINEATION: Postoperative Setting

**Table 5.** Impact of Site of Primary Gastric Lesion and TN Stage on Irradiation Treatment Volumes—Body/Middle One Third of Stomach (General Guidelines)

<i>Site of Primary and TN Stage</i>	<i>Remaining Stomach</i>	<i>Tumor Bed Volumes*</i>	<i>Nodal Volumes</i>	<i>Tolerance Organ Structures</i>
3) Body/mid-1/3 of stomach	Yes, but spare 2/3 of one kidney	T-stage dependent	N-stage dependent, spare 2/3 of one kidney	Kidneys, spinal cord, liver
T2N0 with invasion of subserosa—esp. post wall	Yes	Body of pancreas (+/- tail)	None or perigastric; optional: celiac, splenic, supra-pancreatic, pancreatico-duodenal, portahepatis**	
T3N0	Yes	Body of pancreas (+/- tail)	None or perigastric; optional; celiac, splenic, supra-pancreatic, pancreatico-duodenal, portahepatis**	
T4N0	Yes	As for T3N0 plus site(s) of adherence with 3-5 cm margin	Nodes related to site of adherence +/- perigastric, celiac, splenic, supra-pancreatic, pancreatico-duodenal, portahepatis	
T1-2 N+	Yes	Not indicated for T1	Perigastric, celiac, splenic, supra-pancreatic, pancreatico-duodenal, porta hepatis	
T3-4N+	Yes	As for T3, T4N0	As for T1-2N+ and T4N0	



# CTV DELINEATION: Postoperative Setting

**Table 6.** Impact of Site of Primary Gastric Lesion and TN Stage on Irradiation Treatment Volumes—Antrum/Pylorus/Distal One Third of Stomach (General Guidelines)

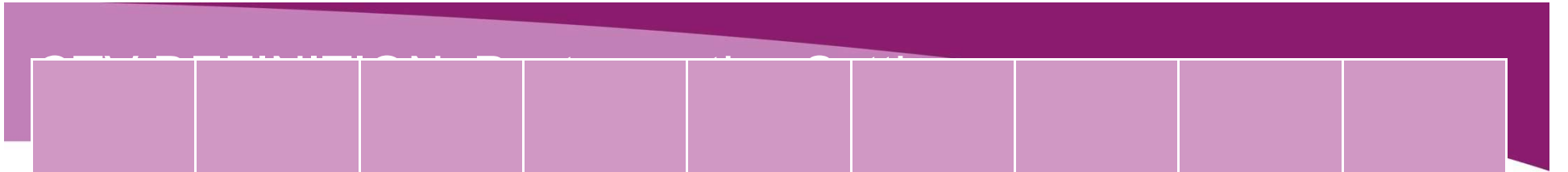
<i>Site of Primary and TN Stage</i>	<i>Remaining Stomach</i>	<i>Tumor Bed Volumes**</i>	<i>Nodal Volumes</i>	<i>Tolerance Organ Structures</i>
4) Pylorus/distal 1/3 stomach T2N0 with invasion of subserosa	Yes, but spare 2/3 of one kidney (usually L) Variable dependent on surgical-pathologic findings*	T-stage dependent Head of pancreas, (+/- body), 1st and 2nd duodenum	N-stage dependent None or perigastric; optional: pancreatico-duodenal, porta hepatis, celiac, supra-pancreatic***	Kidneys, liver, spinal cord
T3N0	Variable dependent on surgical-pathologic findings*	Head of pancreas, (+/- body), 1st and 2nd duodenum	None or perigastric; optional: pancreatico-duodenal, porta hepatis, celiac, supra-pancreatic***	
T4N0	Preferable but dependent on surgical-pathologic findings*	As for T3N0 plus site(s) of adherence with 3-5 cm margin	Nodes related to site(s) of adherence +/- perigastric, pancreatico-duodenal, portahepatis, celiac, supra-panc	
T1-2N+	Preferable	Not indicated for T1	Perigastric, pancreatico-duodenal, portahepatis, celiac, supra-pancreatic; Optional splenic hilum***	
T3-4N+	Preferable	As for T3, T4N0	As for T1-2N+ and T4N0	



# CTV DEL

Author (yy)	DOSE	CTV Definition	CTV T	CTV Nodal	Nodal Identification	Subsite UP 1/3	Subsite MID 1/3	Subsite LOW 1/3
Macdonald et al. (2001)	45 Gy (1.8 Gy/fx)	- T. Bed - Regional LN + 2 cm beyond prox/distal resec. <u>margs</u>	- T. Bed (Preop Imaging + surgical clips)	- Perigastric, - Celiac, - Local Paraaortic, - Splenic, - Hepatoduodenal or Hepaticportal, - Pancreaticoduodenal	Japanese Research Society for Gastric Cancer	<u>GEJ:</u> - Paracardial + -Paraesophageal; - Pancreaticoduodenal excluded - <u>UP 1/3:</u> Medial left hemidiaphragm		<u>Antral lesions:</u> Excluding splenic nodes allowed in patients if necessary spare the left kidney.
Kim et al. (2012)	45 Gy (1.8 Gy/fx)	- Anastomosis - Duod. Stump - Regional LN + OVER 2 cm beyond prox/distal resec. <u>margs</u>	- Tumor Bed NOT included (due R0 Surg, Apart for T4 lesions)  - Remnant Stomach (but protect Left Kidney)	Not Specified	Not Specified			
Yu et al. (2012)	IMRT 45 Gy (1.8 Gy/fx)	- T. Bed, - Stroma, - Regional LN	"Based on the intraoperative situation and the silver-clip"	Not Specified	Not Specified	Not Specified	Not Specified	Not Specified
Zhu et al. (2012)	IMRT 45 Gy (1.8 Gy/fx)	"LNs delineated by different sites of the primary lesions"	Not Specified	Detailed	Not Specified	- Paraesophagus 5.0 cm upper GEJ, - Para-GEJ, - Greater curvature, - Lesser curvature, - Left gastric artery, - Splenic artery/splenic hilar lymph node	- Para-GEJ, - Greater curvature, - Lesser curvature, - Left gastric artery, - Splenic artery/splenic hilar lymph node - Posterior pancreaticoduodenal artery,	- Greater curvature, - Lesser curvature, - Left gastric artery, - Common hepatic artery, - Posterior pancreaticoduodenal artery, - Celiac artery, - Hepatoduodenal ligament, <u>- Exclude:</u> splenic artery/splenic hilum and para- GEJ
Lee et al. (2012) ARTIST	45 Gy (1.8 Gy/fx)	- T. Bed - Anastomosis - Duod. Stump - Regional LN + 2 cm beyond prox/distal resec. <u>margs</u>	- Tumor Bed NOT included (due R0 Surg, Apart for T4 lesions)  - Remnant stomach not routinely included in RT-field		- Common hepatic, - Celiac, - Splenic, - Hepatoduodenal			- <u>Exclude:</u> Splenic hilar



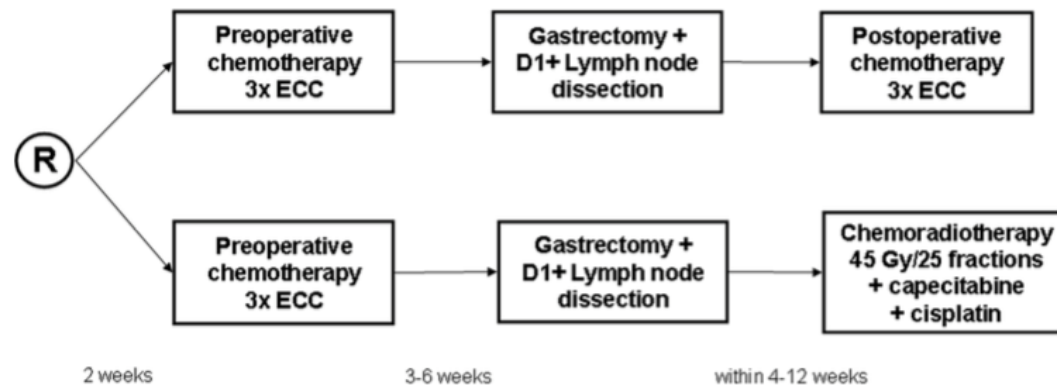



# CTV DELINEATION: Postoperative Setting



CTV consists of 3 parts:

1. Anastomoses
2. Gastric Bed/Remnant
3. Lymphnodes







## 1. Anastomoses

- duodenal stump has to be treated in tumors of the distal stomach
- for tumors of the proximal stomach or GE- junction, the oesophagojejunal anastomosis has to be treated
- for GE-junction tumors a margin of 4cm of oesophagus (paraoesophageal nodes) has to be included in the CTV





## 2. Gastric Bed/Remnant

- GEJ and proximal tumors at least 2/3-3/4 of the left medial hemidiaphragm
- T1-2 tumors tumor bed not necessarily
- Hepatogastric ligament (i.e. part of lesser omentum between liver and lesser curvature, which contains peri-gastric nodes)
- Anterior abdominal wall: only in T3-4 tumors with invasion or a close relationship with the anterior abdominal wall on pre-operative imaging or when described by the surgeon during surgery





## 3. Lymphnodes

- **GE-Junction/ Cardia/proximal 1/3:** para-oesophageal, perigastric, hepatogastro lig, perigastric, celiac (left gastric artery, celiac axis), splenic hilum, suprapancreatic, porta hepatis, pancreaticoduodenal **[Stations 1-4;7,9-13]**
- **Corpus/middle 1/3:** perigastric, suprapyloric, infrapyloric, celiac (left gastric artery, common hepatic artery and celiac axis), splenic hilum, suprapancreatic, porta hepatis, pancreaticoduodenal **[Stations 3-13]**
- **Antrum/distal 1/3:** perigastric, suprapyloric, infrapyloric, splenic artery, pancreaticoduodenal, porta hepatis, celiac (left gastric artery, common hepatic artery and celiac axis), suprapancreatic **[Stations 3-9;11-13]**



## 3. Lymphnodes

- **GE-Junction/ Cardia/proximal 1/3:** para-oesophageal, perigastric, hepatogastro lig, perigastric, celiac (left gastric artery, celiac axis), splenic hilum, suprapancreatic, porta hepatis, pancreaticoduodenal  
[Stations 1-4-7 9-13]

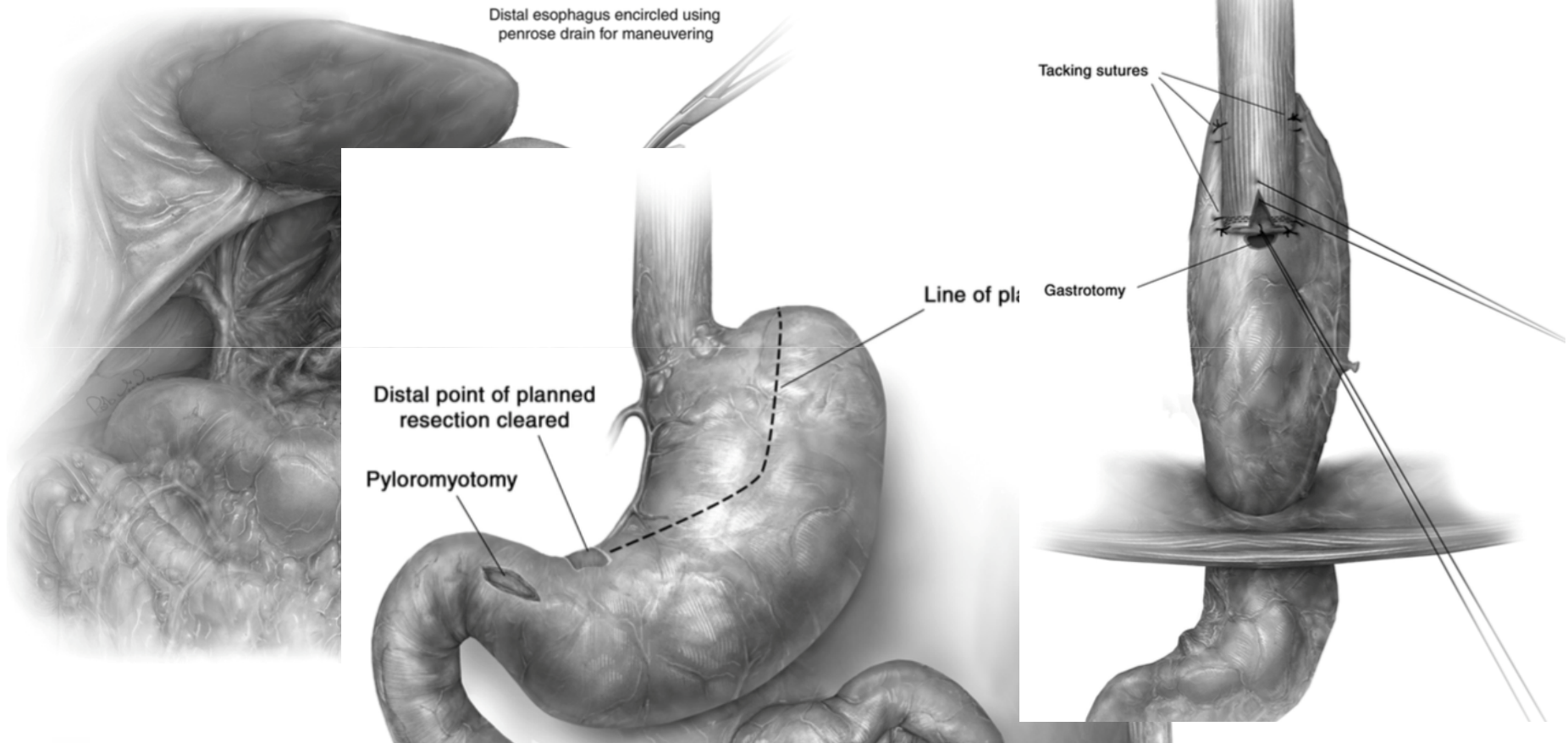
+ all combinations when tumor invaded more than one part of the stomach before start of treatment

- **Corpus/mid** celiac (left gastric artery, splenic hilum, suprapancreatic, porta hepatis, pancreaticoduodenal [Stations 3-13]

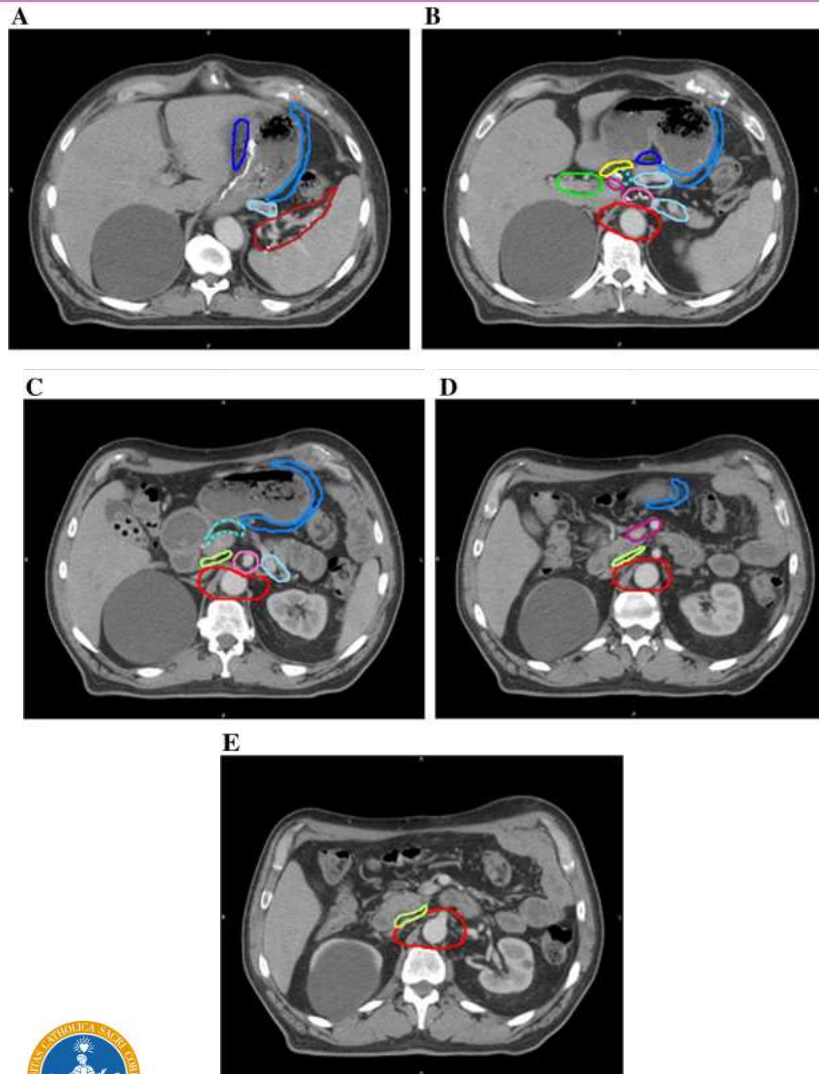
- **Antrum/distal 1/3:** perigastric, suprapyloric, infrapyloric, splenic artery, pancreaticoduodenal, porta hepatis, celiac (left gastric artery, common hepatic artery and celiac axis), suprapancreatic [Stations 3-9;11-13]

# CTV DELINEATION: Postoperative Setting – Surgical Approach

## IVORY LEWIS



# CTV DELINEATION: Postoperative Setting – Surgical Approach



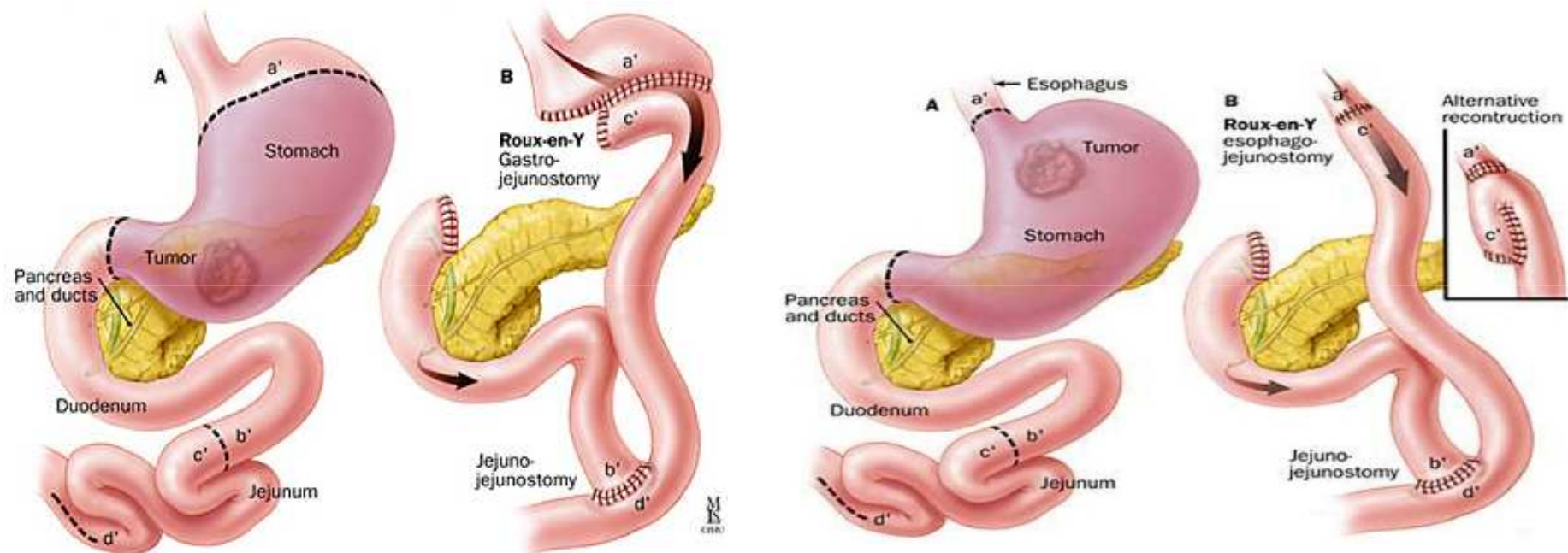
## IVORY LEWIS

- Paracardial LN are typically dissected;
- Perigastric LN may be transposed into thoracic cavity;
- Splenic artery not routinely dissected;
- Left gastric artery can be taken at its origin (clips?);
- Kocher maneuver: medially and superiorly shifting duodenum along with supra/intra-pyloric LN



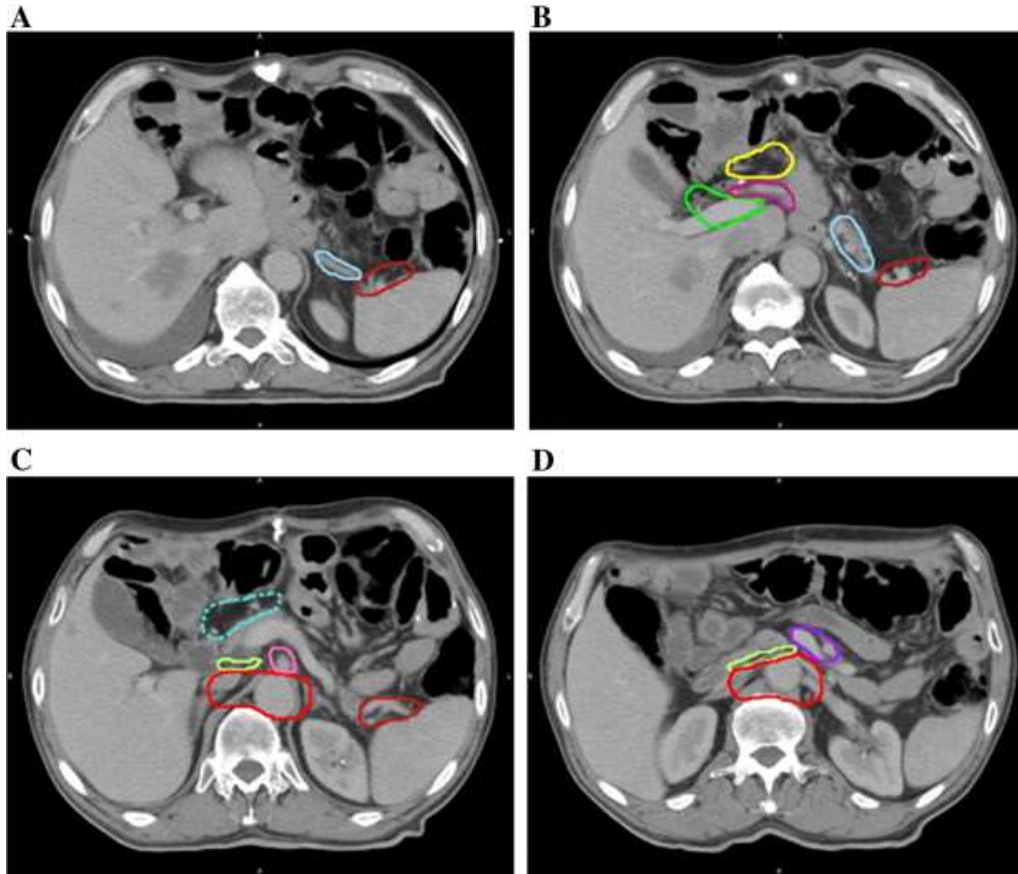
# CTV DELINEATION: Postoperative Setting – Surgical Approach

## Roux-En-Y



# CTV DELINEATION: Postoperative Setting – Surgical Approach

## Roux-En-Y



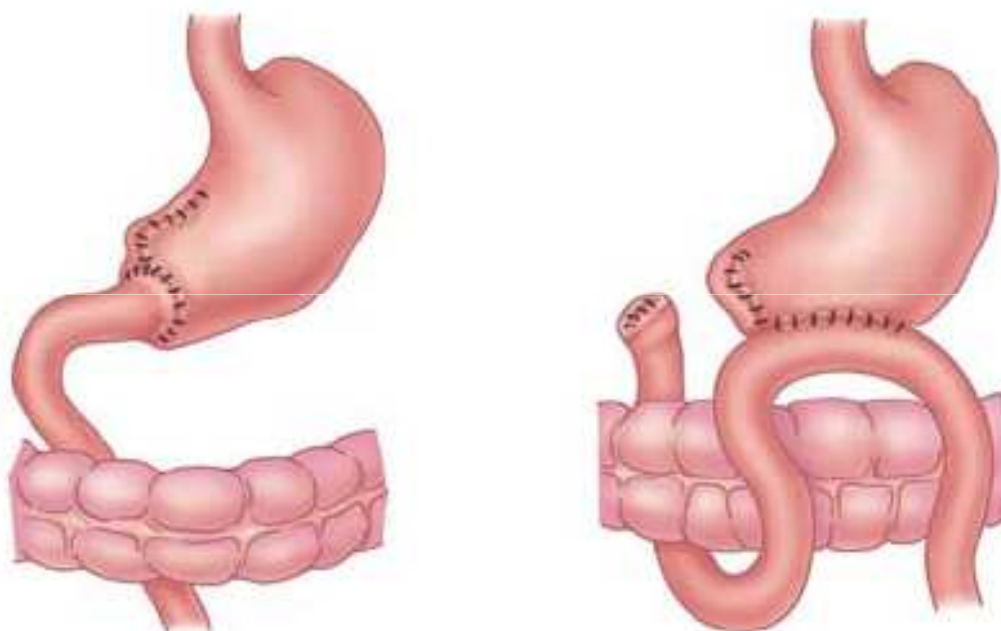
- Stomach removed (completely or partially) along with paracardial, lesser, greater curvature
- Supra- and infrapyloric LN should be identified



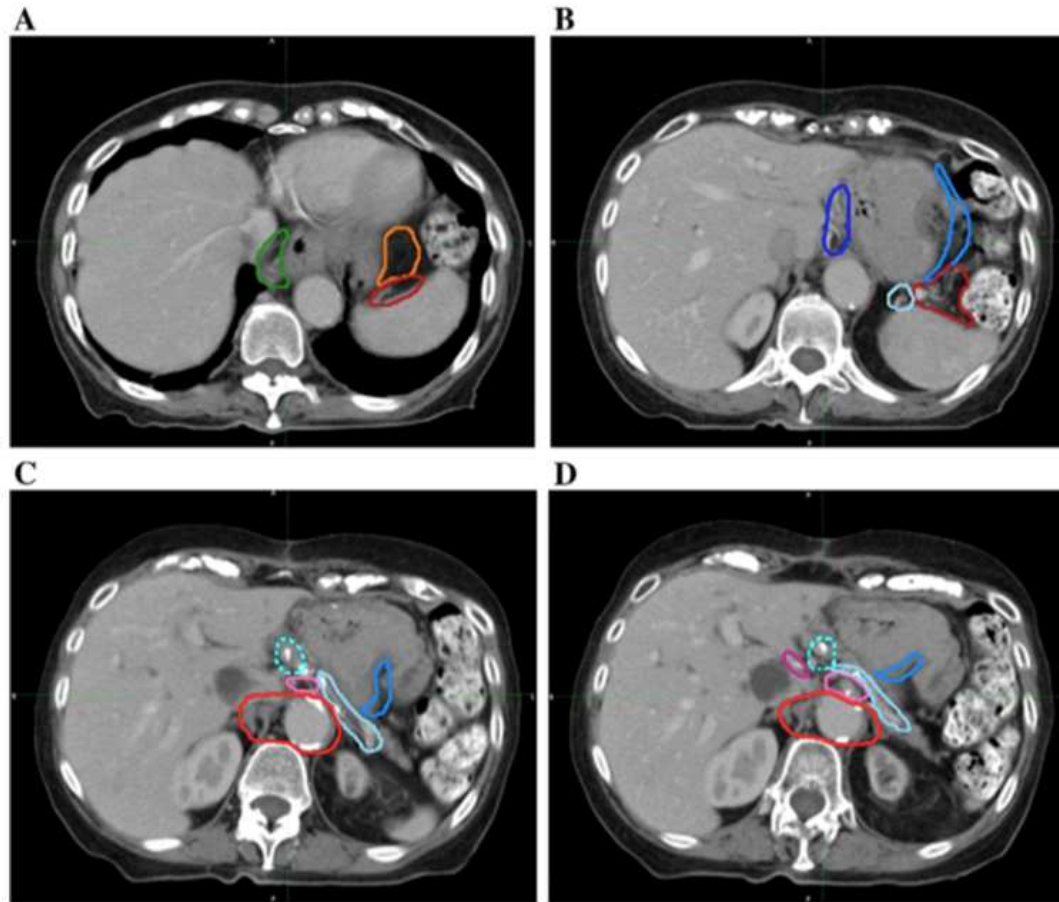


# CTV DELINEATION: Postoperative Setting – Surgical Approach

## Subtotal Gastrectomy



# CTV DELINEATION: Postoperative Setting – Surgical Approach



## Subtotal Gastrectomy

- Paracardial and portions of the lesser and greater nodes not dissected
- Infrapyloric and suprapyloric ideally removed



## Proximal One-Third/Cardia/Esophagogastric Junction Primaries

- Preoperative and Postoperative

- ▶ With proximal gastric lesions or lesions at the esophagogastric junction (EGJ), a 3- to 5-cm margin of distal esophagus and nodal areas at risk should be included. Nodal areas at risk include:

perigastric, celiac, splenic hilar, porta hepatic, and lymph nodes.

Coverage of nodal areas may be modified based on clinical circumstances and the risks of toxicity.

## Middle One-Third/Body Primaries

- Preoperative and Postoperative

- ▶ Nodal areas at risk include: perigastric, suprapancreatic, celiac, splenic hilar, porta hepatic, and pancreaticoduodenal lymph nodes.

## Distal One-Third/Antrum/Pylorus Primaries

- Preoperative

- ▶ First and second part of duodenum should be included if the gross lesion extended to the gastroduodenal junction. Nodal areas at risk include: perigastric, suprapancreatic, celiac, porta hepatic, and pancreaticoduodenal lymph nodes.

- Postoperative

- ▶ A 3- to 5-cm margin of duodenal stump should be included if the gross lesion extended to the gastroduodenal junction. Nodal areas at risk include: perigastric, suprapancreatic, celiac, porta hepatic, and pancreaticoduodenal lymph nodes.



# CTV DELINEATION: CONCLUSION

- Main setting of Target delineation is defined but still some issues remaining
- Refer to available Consensus recommendations
- Refer to Atlas to identify normal structures and target
- Refer to Surgeon and Radiologist into Multidisciplinary frame





UNIVERSITÀ  
CATTOLICA  
del Sacro Cuore





# Dose issues in gastric tumor control

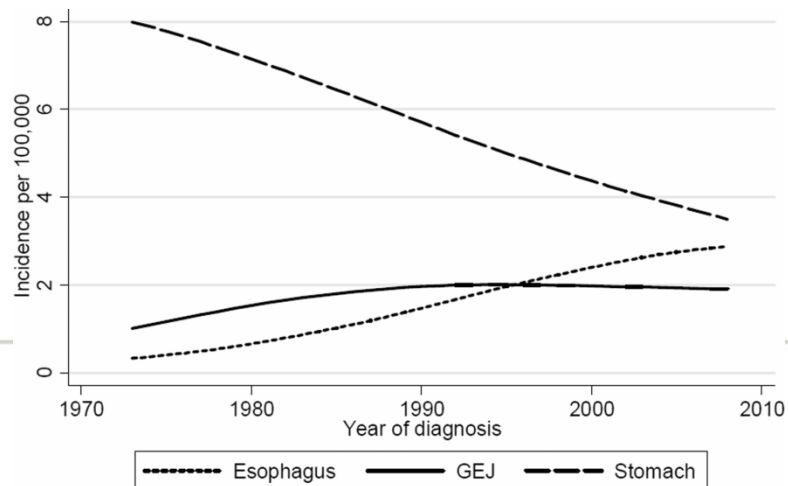
Marcel Verheij MD PhD  
Department of Radiation Oncology  
NKI, Amsterdam

# Contents

- Introduction
- Current evidence-based treatment strategies
- Radiotherapy: OAR, dose-constraints and delivery techniques

# Epidemiology of gastric cancer

- Europe ~140,000 cases/year; ~107,000 deaths
- The Netherlands >2,000 cases/yr; ~1,000 deaths
- 3<sup>rd</sup> cause of death from cancer worldwide
- Distal cancers decreasing; tumors of cardia or GEJ increasing
- Proximal gastric cancer associated with reflux disease
- Distal gastric cancer associated with *H. pylori*
- 65% T3-T4; 85% N+; 30% liver metastases



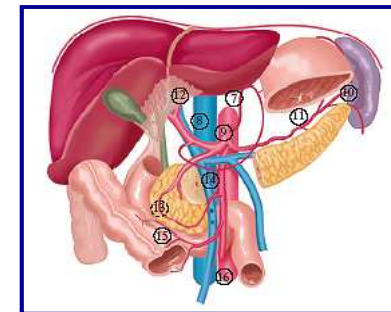
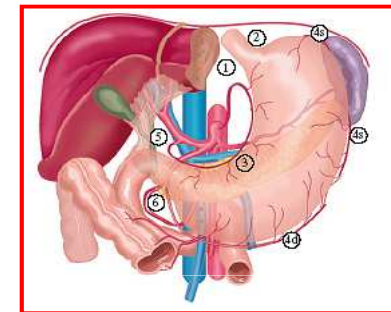
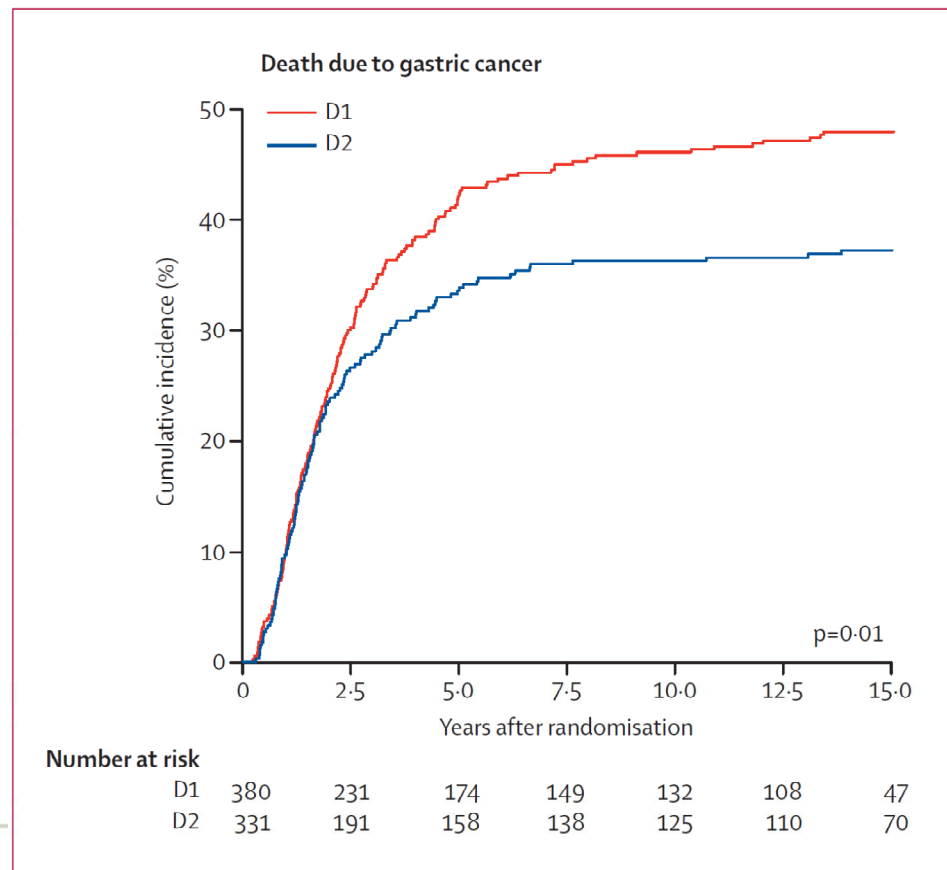
*Buas et al. Semin Radiat Oncol 2013*



# Surgical treatment of gastric cancer

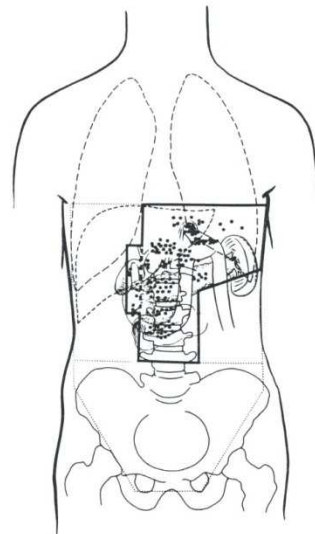
## 15 years follow-up results D1-D2 study

*D2 dissection (>15 Ln) is the recommended surgical approach (no splenectomy or pancreatectomy in specialized high-volume centers)*



## High locoregional failure rates after curative resection

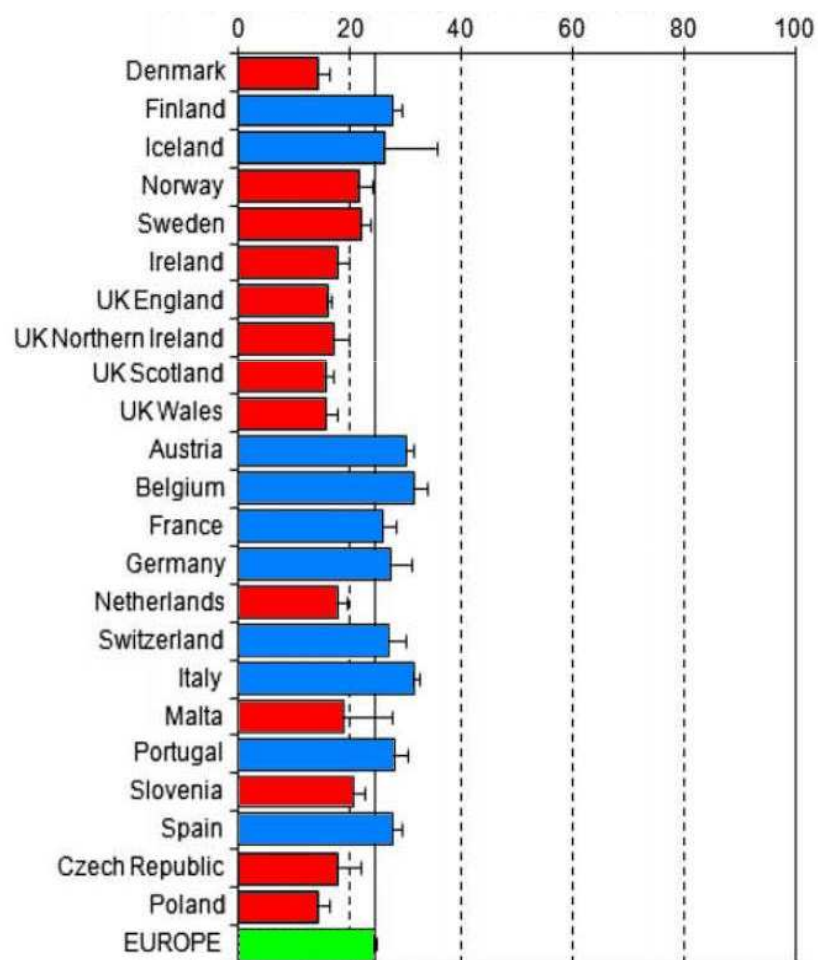
Recurrences	Mean	Range
Locoregional - only	54%	(29-72%)
Locoregional - total	88%	(38-94%)
Distant - only	25%	(18-35%)



# Survival of gastric cancer patients in Europe

Age-standardized 5-year relative survival (%)

1995–1999: EUROCORE-4



Sant et al. Eur J Cancer 2009

1999–2007: EUROCORE-5

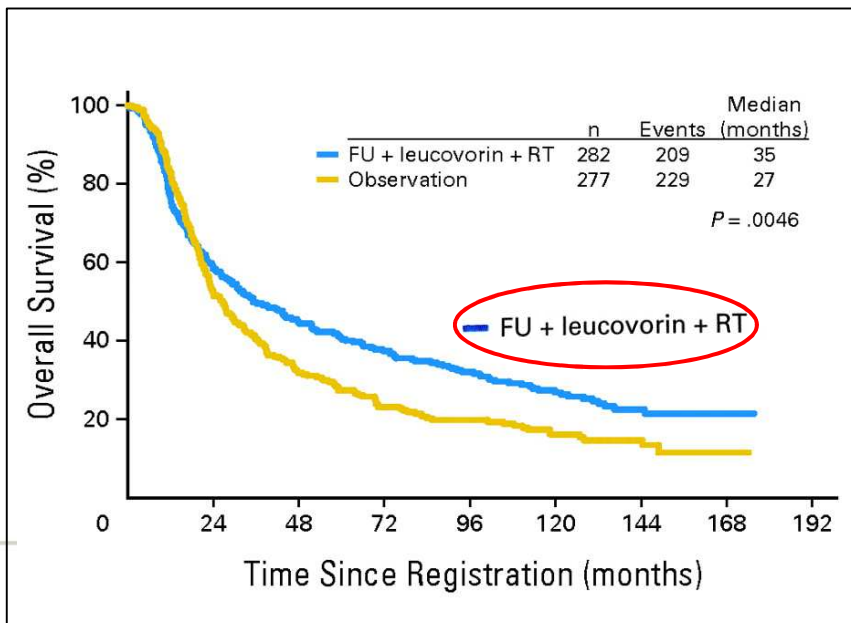
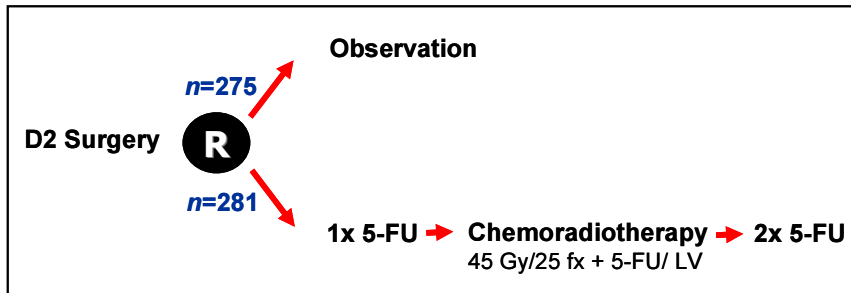
	Stomach cancer
European mean	25.1 (24.8–25.4)
Central Europe	28.1 (27.6–28.5)
Austria	31.0 (29.9–32.2)
Belgium*	30.5 (29.1–32.0)
France*	26.3 (24.9–27.6)
Germany*	31.3 (30.6–32.0)
Switzerland*	31.6 (29.2–34.1)
Netherlands	20.4 (19.7–21.2)

De Angelis et al. Lancet Oncol 2014

# Evidence-based (neo-)adjuvant strategies (1)



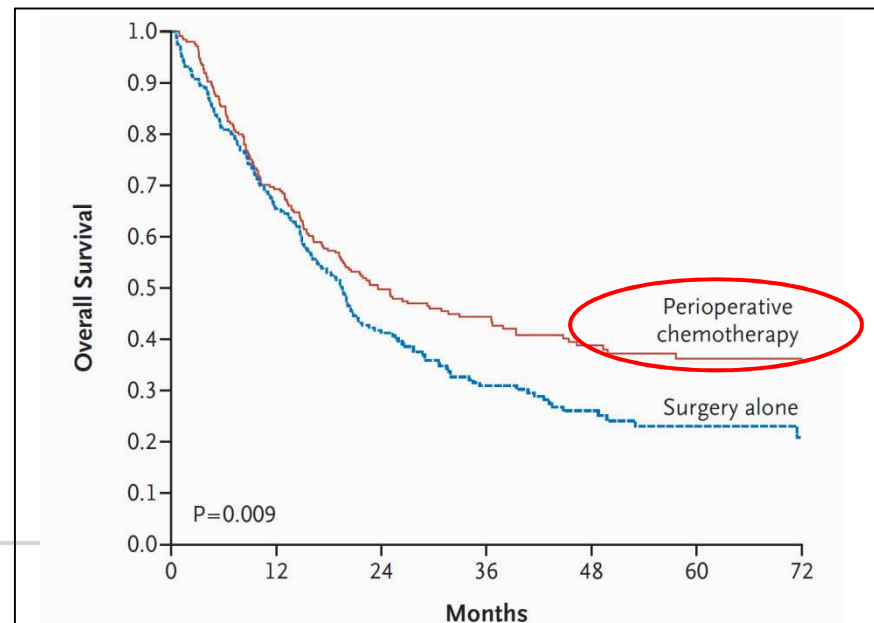
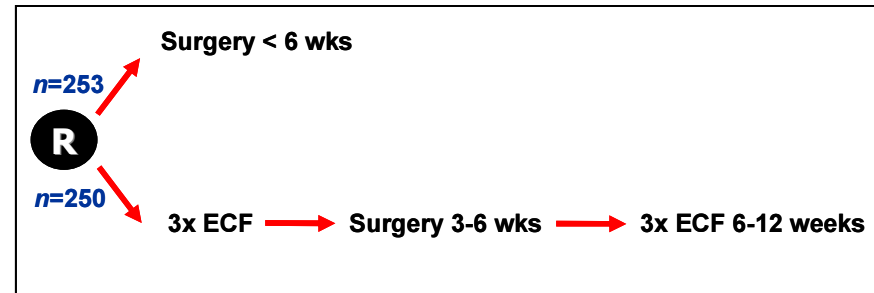
## SWOG-Intergroup 0116 Trial



Macdonald et al. NEJM 2001; Smalley et al. JCO 2012



## MAGIC Trial

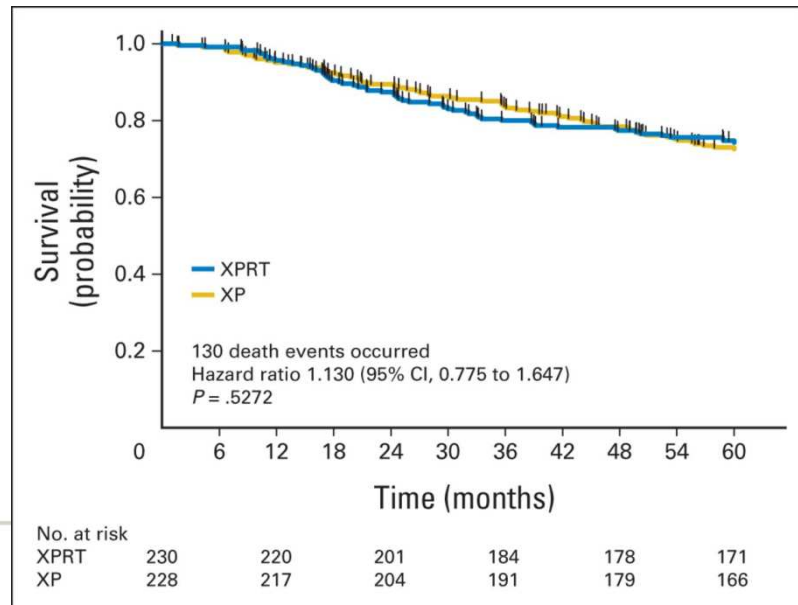
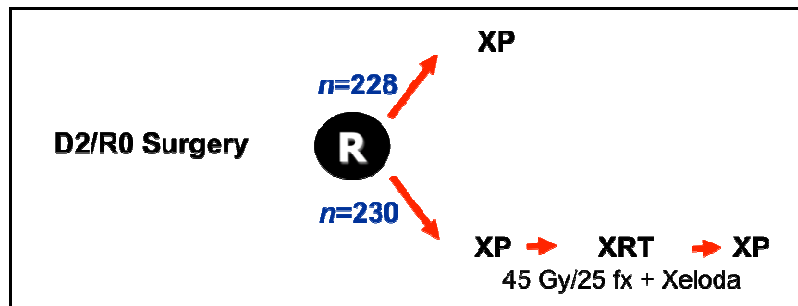


Cunningham et al. NEJM 2006

# Evidence-based (neo-)adjuvant strategies (2)



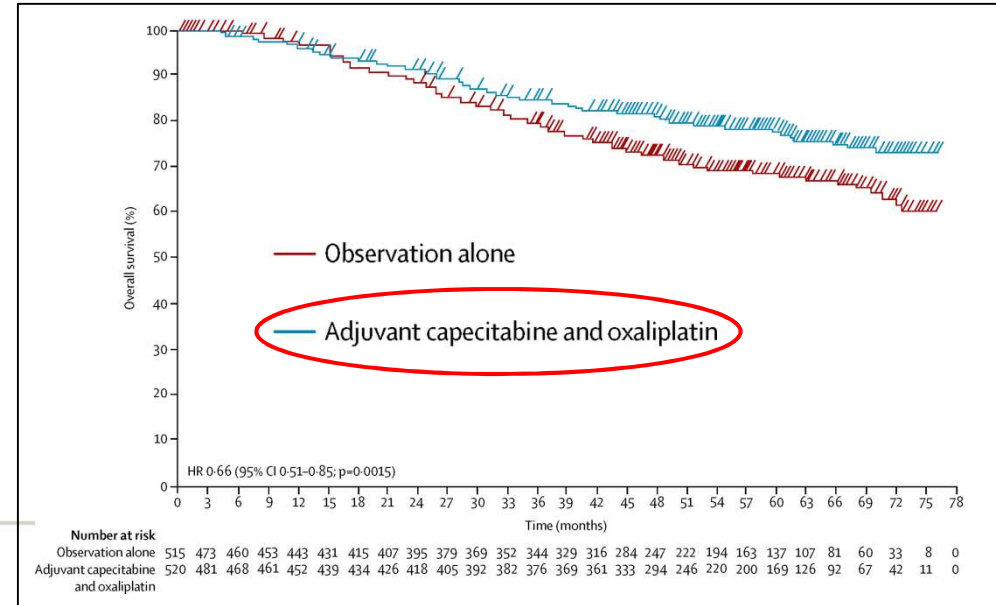
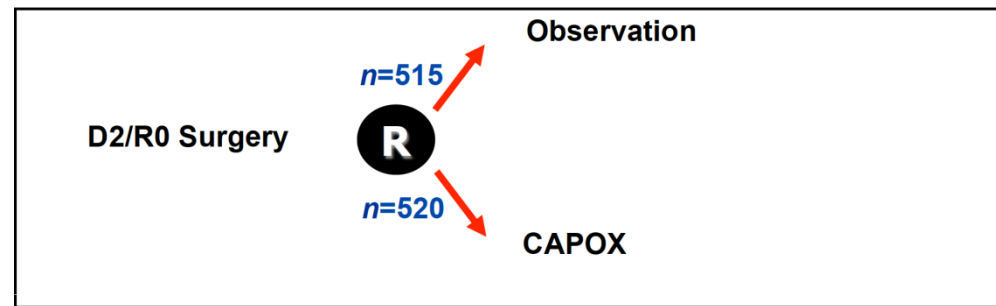
ARTIST Trial



Lee et al. J Clin Oncol 2012; Park et al. J Clin Oncol 2015



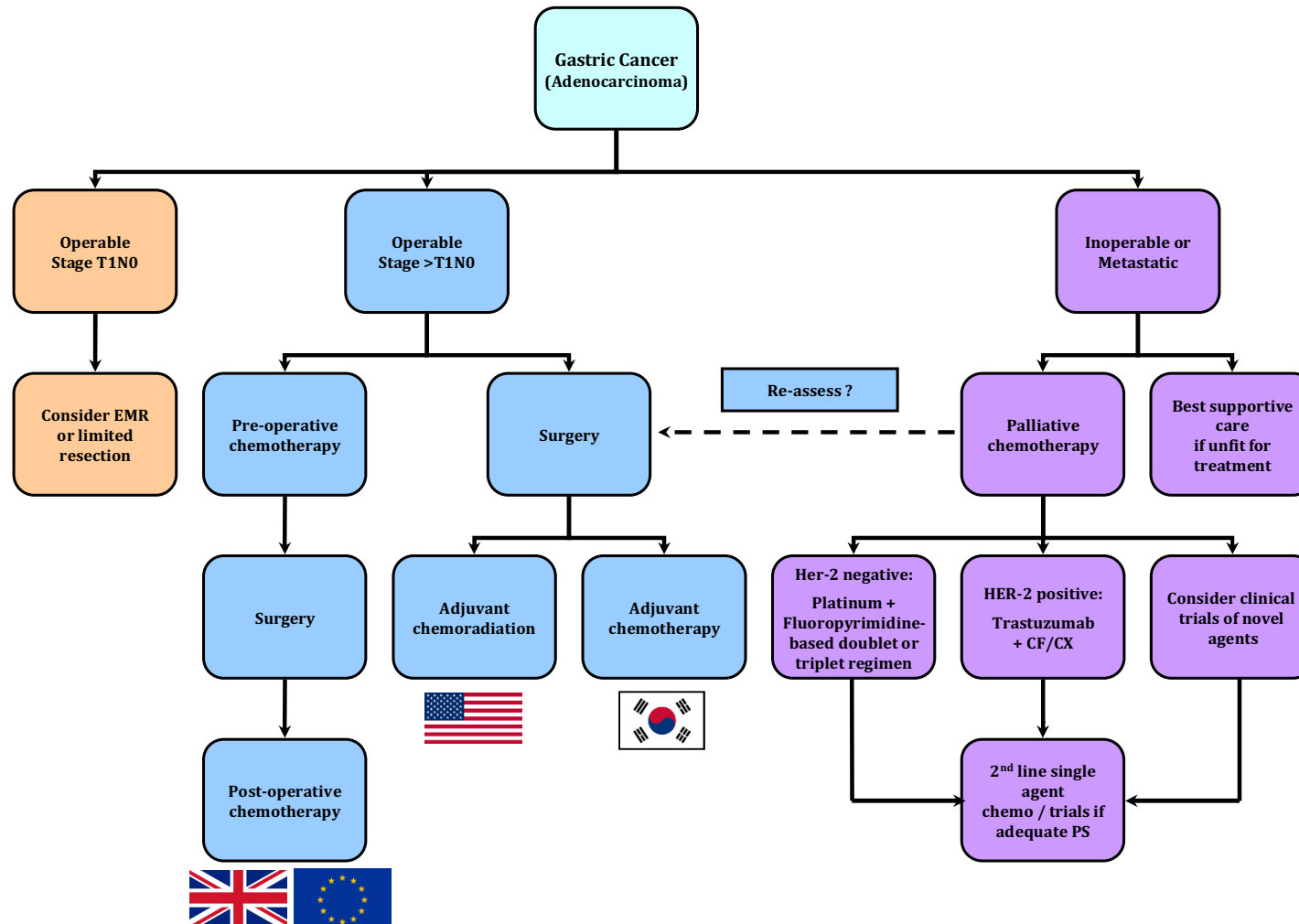
CLASSIC Trial



Bang et al. Lancet 2012; Noh et al. Lancet Oncol 2014

# Gastric cancer<sup>†</sup>: ESMO-ESSO-ESTRO Clinical Practice Guidelines for diagnosis, treatment and follow-up

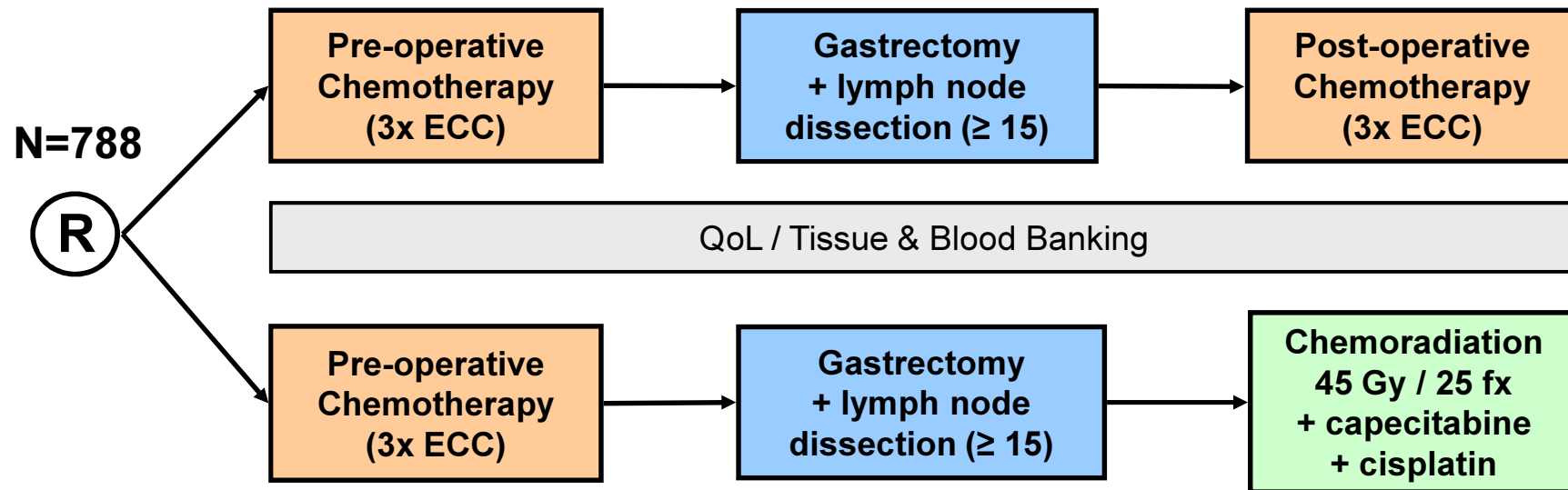
T. Waddell<sup>1</sup>, M. Verheij<sup>2</sup>, W. Allum<sup>3</sup>, D. Cunningham<sup>4</sup>, A. Cervantes<sup>5</sup> & D. Arnold<sup>6\*</sup>



# CRITICS trial



## - Study design -

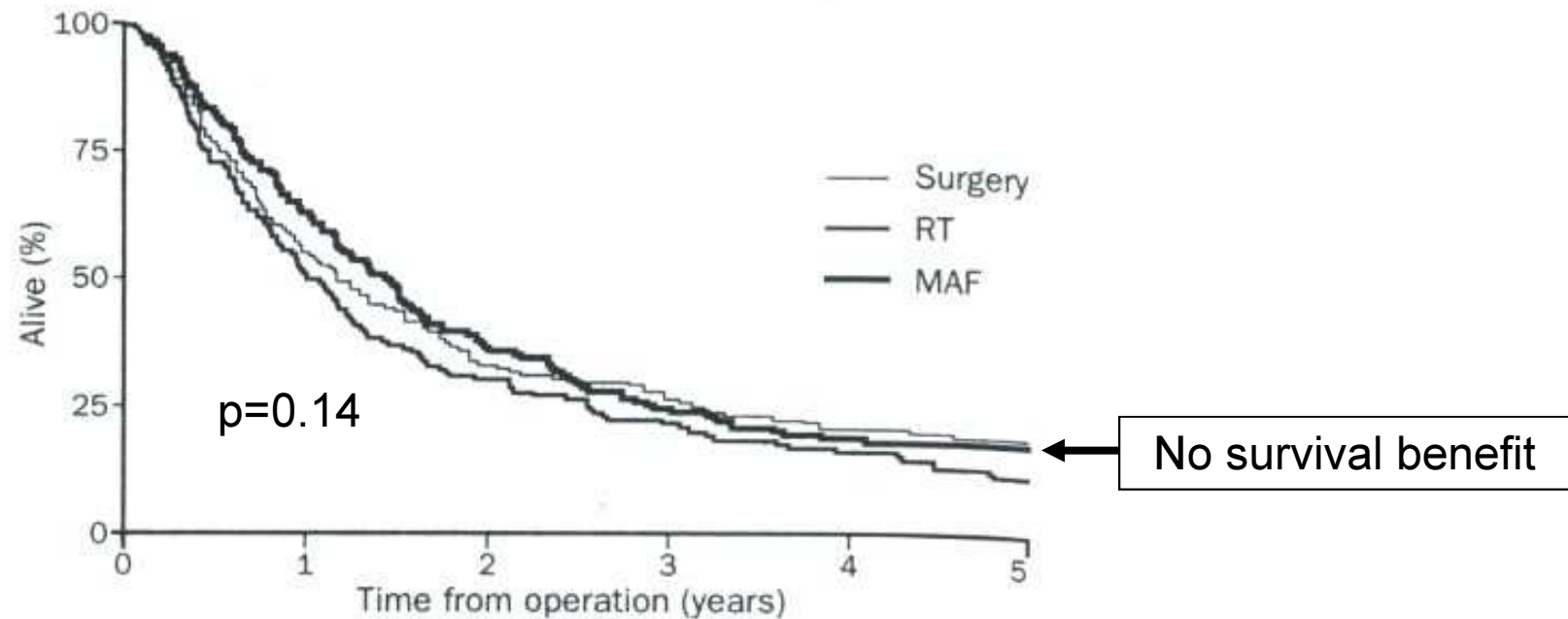


# Summary (1)

- *Gastric cancer has a poor outcome*
- *Despite adequate surgery (D2;  $\geq 15$  ln), local-regional recurrence rates remain high*
- *Evidence-based strategies to improve surgical results are:*
  - *post-operative chemoradiation (SWOG/US)*
  - *peri-operative chemotherapy (MAGIC/EU)*
  - *adjuvant chemotherapy (ARTIST, CLASSIC/Asia)*
- *CRITICS compares peri-operative chemotherapy with pre-operative chemotherapy and post-operative chemoradiation after adequate surgery*



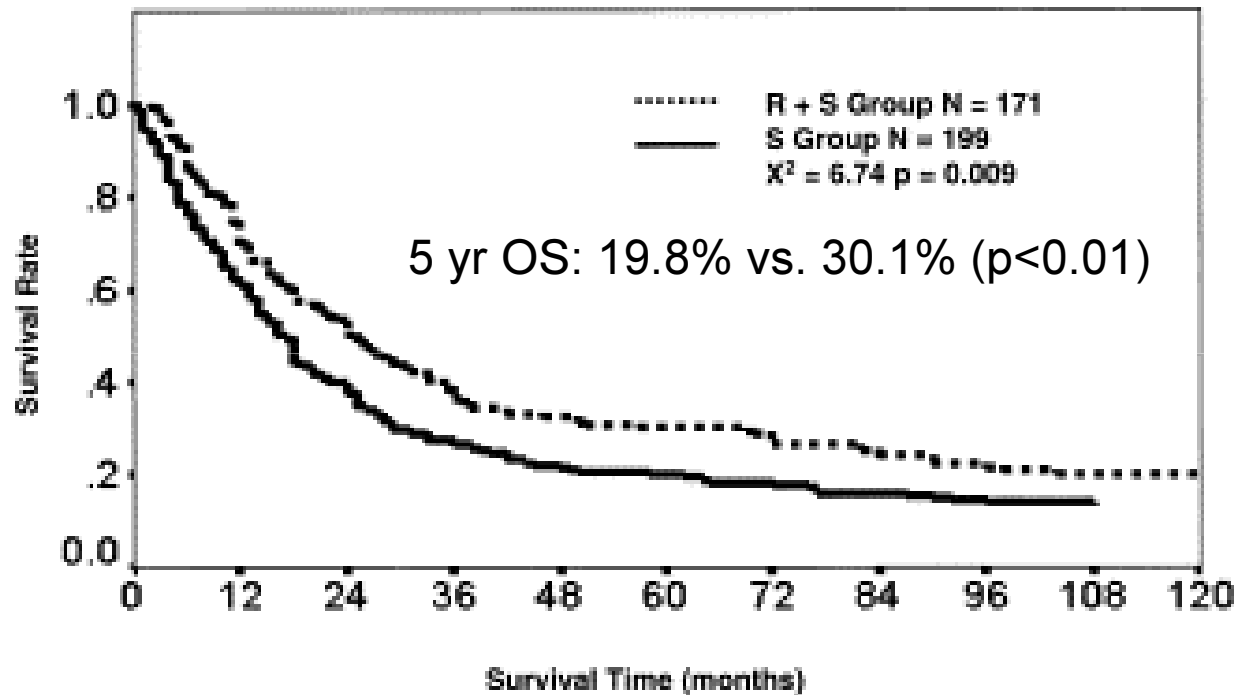
# Post-operative radiotherapy: British Stomach Cancer Group trial of adjuvant radiotherapy or chemotherapy in resectable gastric cancer



Treatment	No at risk					
S	145	81	49	40	31	29
RT	153	79	47	34	26	18
MAF	138	88	50	35	27	26

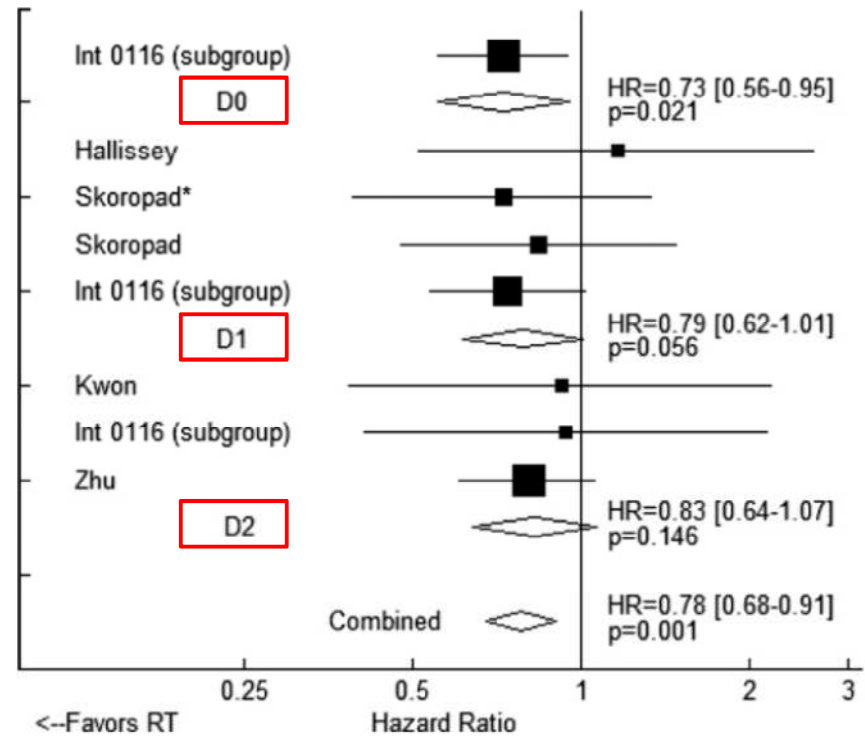
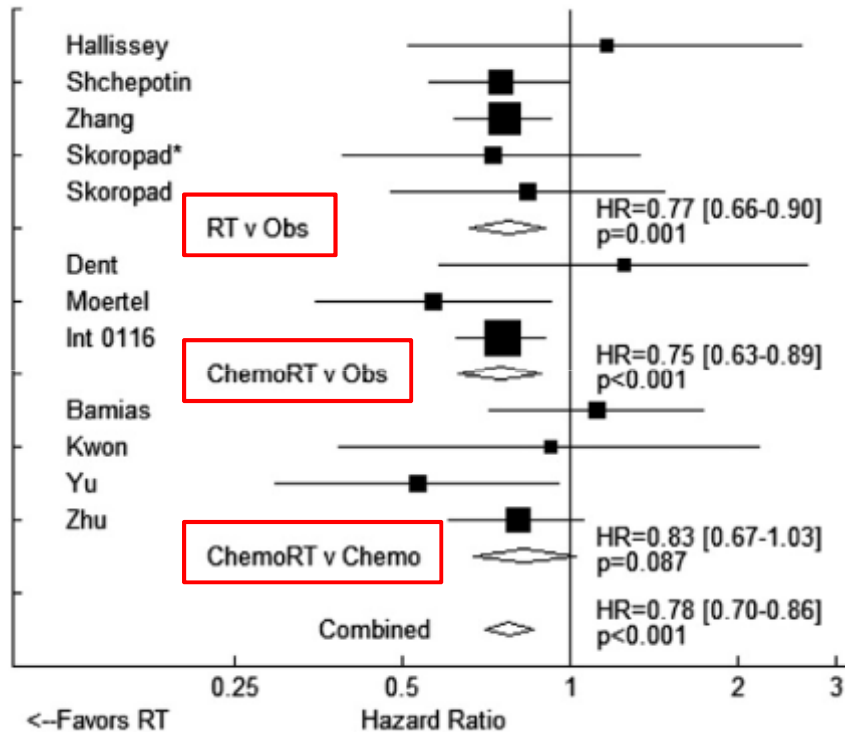
# Pre-operative Radiotherapy

**40 Gy + surgery vs. surgery alone (n=370)**



Zhang et al. IJROBP 1998

# Who benefit from adjuvant (chemo-)radiation for gastric cancer? A meta-analysis (n=2811)



# Adjuvant chemoradiotherapy vs. surgery

SWOG-Intergroup 0116 Trial: comments

## Suboptimal surgery:

- only 10% underwent the advised D2 dissection; 54% < D1

## Suboptimal radiotherapy:

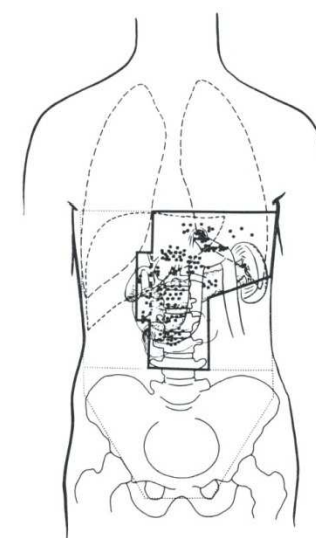
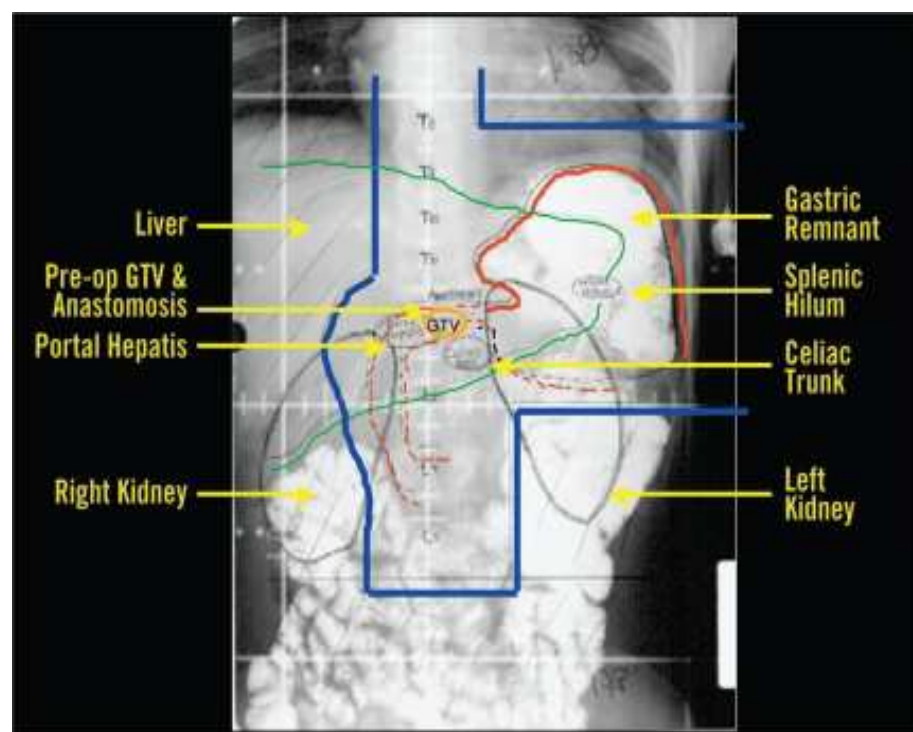
- 34% had major radiation treatment plan deviation
- outdated radiation techniques; no data on late toxicity (kidney)

## Suboptimal chemotherapy:

- according to present standard, chemotherapy was suboptimal and the interaction with radiation limited

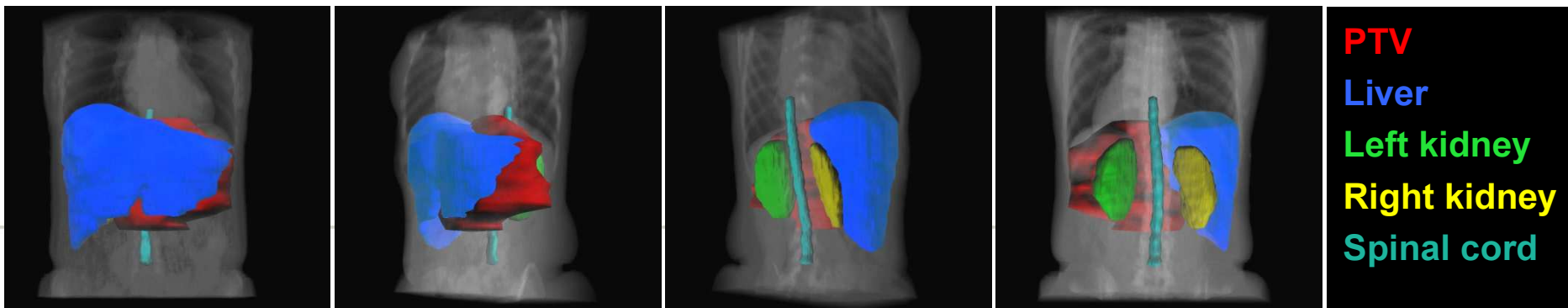
# Radiotherapy-technique according to the SWOG protocol (2001)

## 2D AP-PA

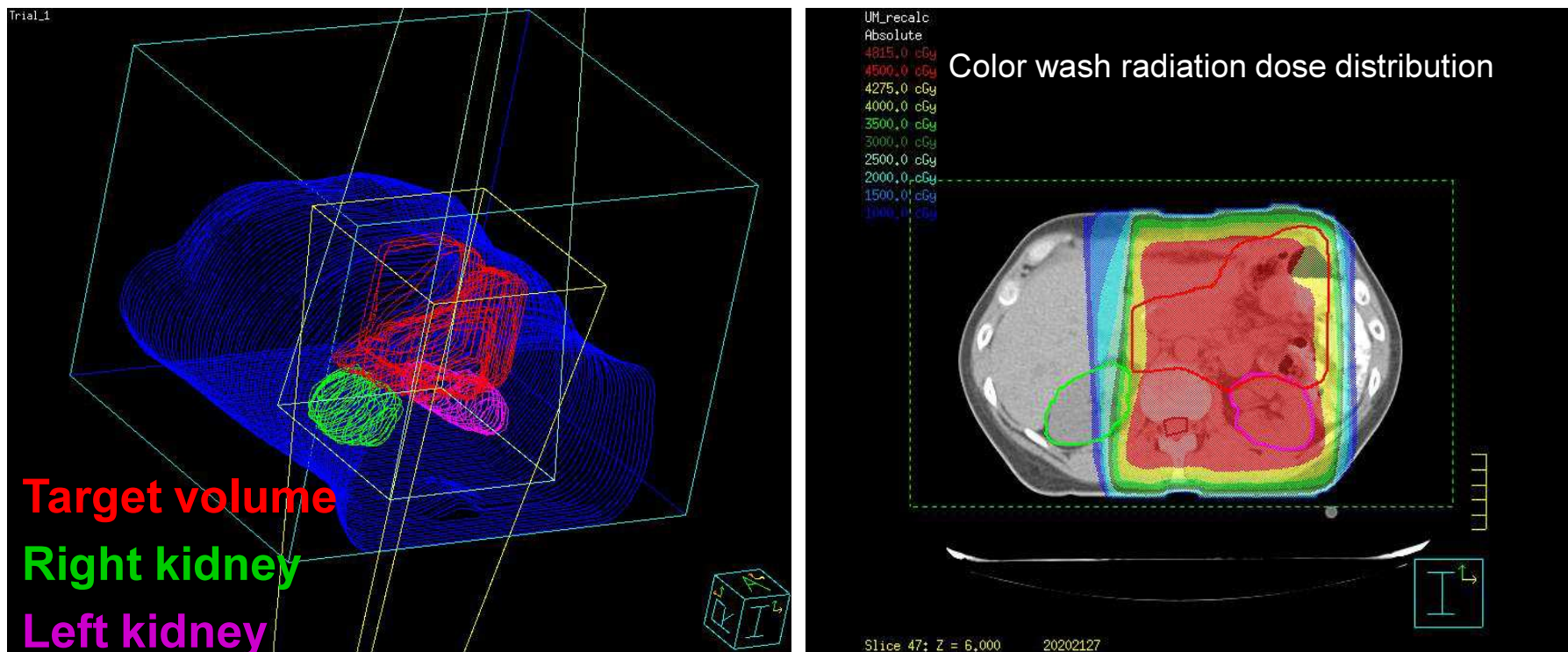


# Critical structures and dose constraints

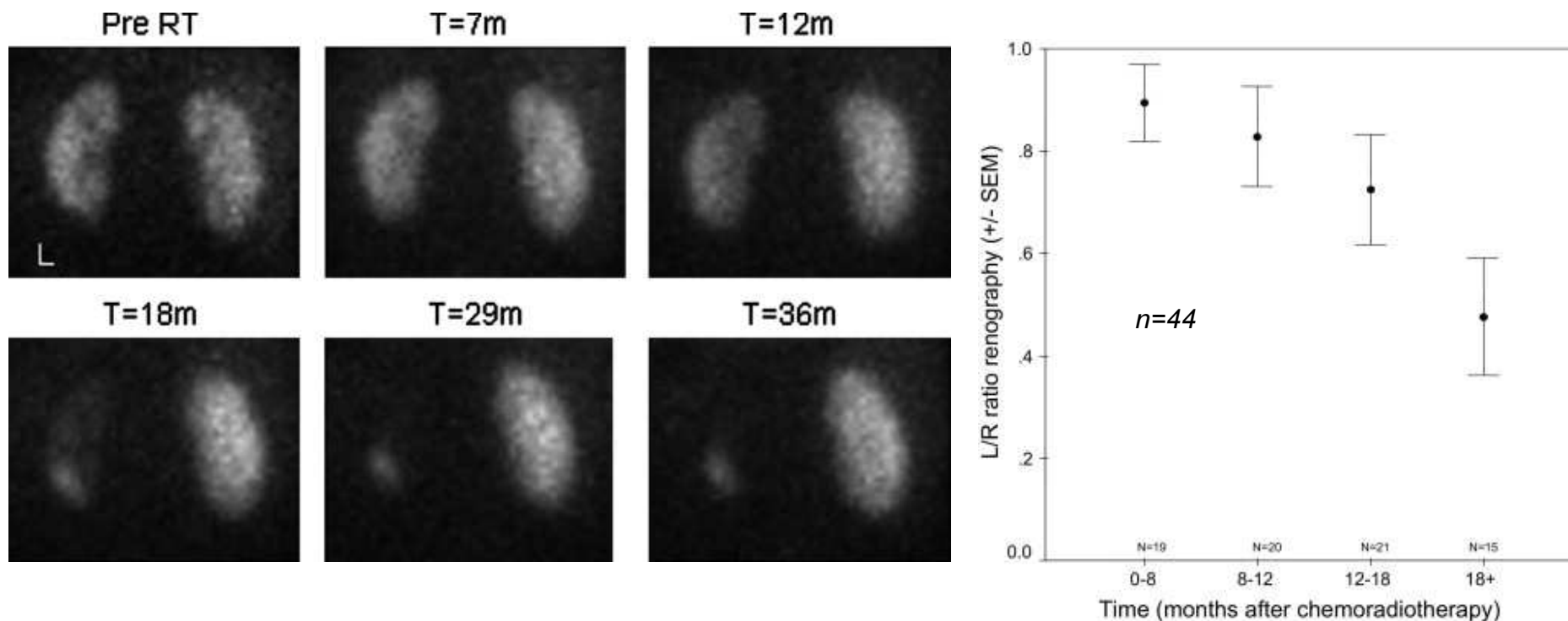
- **Kidneys:** at least 2/3 of the volume of 1 (right) normally functioning kidney should receive less than 18 Gy (i.e. 40% of the prescribed physical dose)
- **Liver:** EQD2  $D_{\text{mean}} < 30 \text{ Gy}$  ( $\alpha/\beta=3$ )
- **Heart:** 3/3  $< 40 \text{ Gy}$ ; 2/3  $< 50 \text{ Gy}$ ; 1/3  $< 66 \text{ Gy}$   
( $< 30\%$  cardiac silhouette may receive 40 Gy)
- **Spinal cord:** EQD2  $D_{\text{max}} \leq 50 \text{ Gy}$  ( $\alpha/\beta=2$ )
- **Spleen:** ?



# Late renal toxicity following postoperative chemoradiotherapy in gastric cancer



## Late renal toxicity following postoperative chemoradiotherapy in gastric cancer

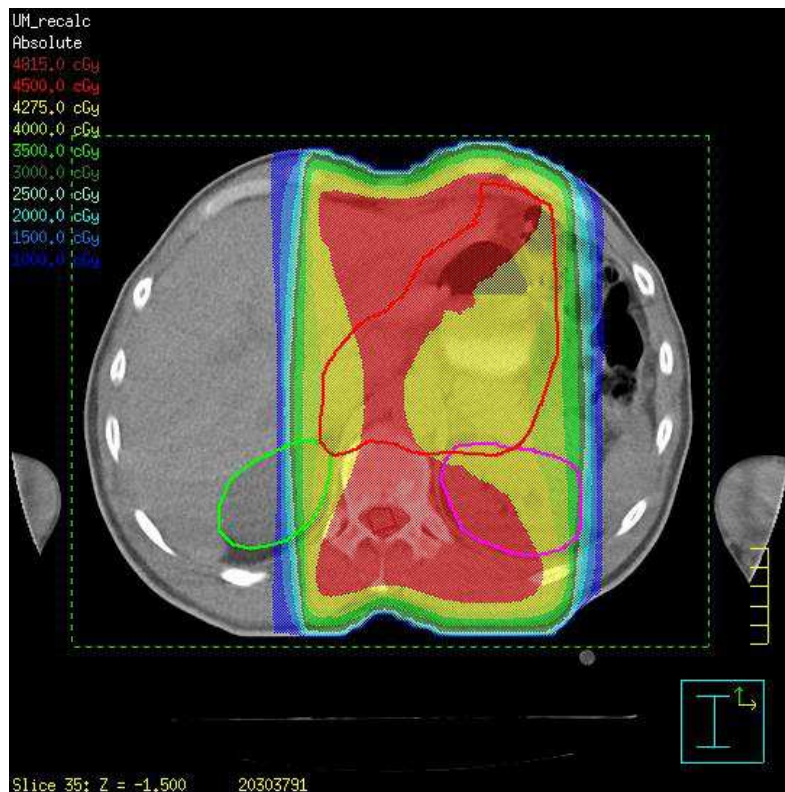


30-50% of patients with radiation nephropathy are at risk for (renovasular) hypertension (Verheij et al. IJROBP 1994)

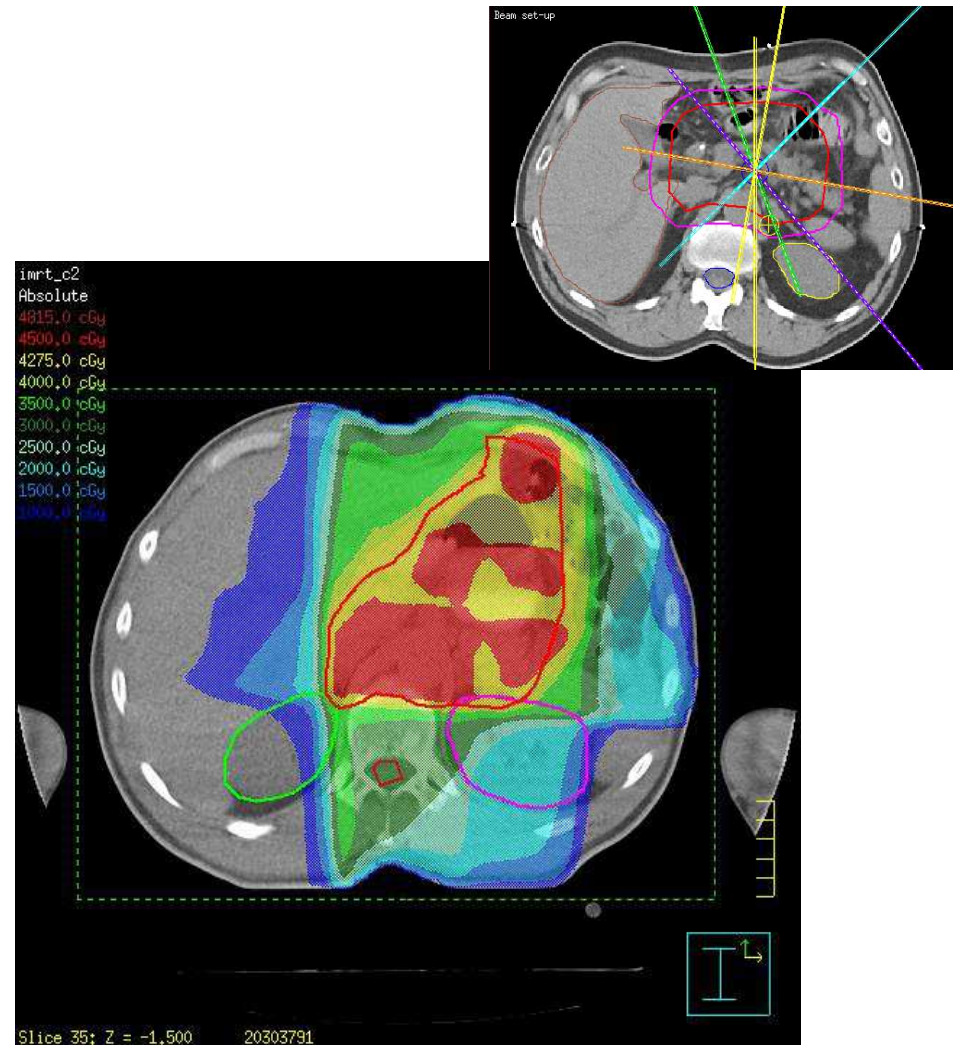
Compensatory renal response after unilateral partial and whole volume high-dose irradiation of the human kidney (Dewit et al. Eur J Cancer 1993)



# Advanced radiation techniques reduce the dose to both kidneys

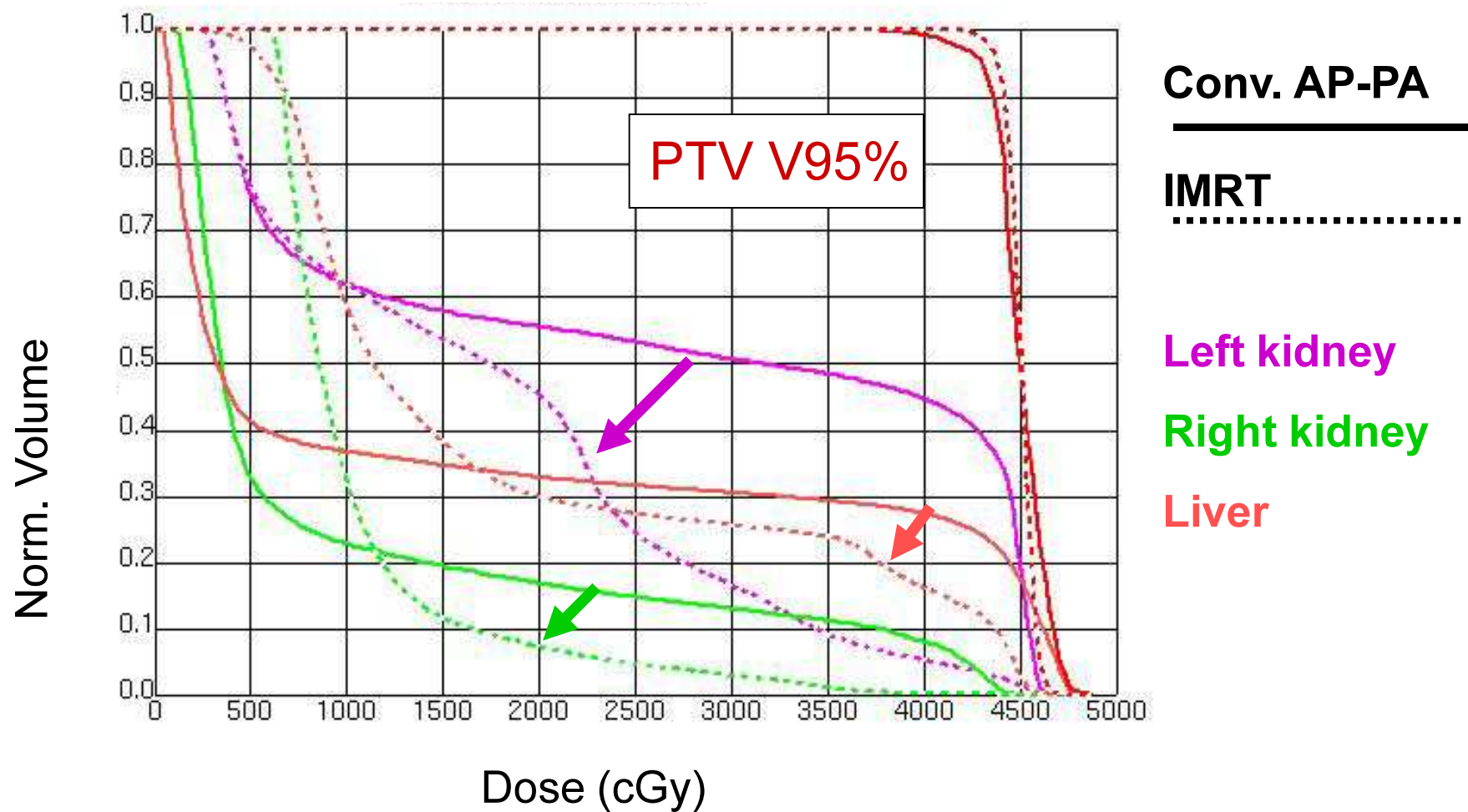


Conventional AP-PA



IMRT

# Advanced radiation techniques reduce the dose to both kidneys



## Advanced radiation techniques reduce the dose to both kidneys

Organ/ROI	Conventional (AP-PA)	IMRT
Left kidney Mean dose ( $\pm$ SD)	34 $\pm$ 8 Gy	22 $\pm$ 3 Gy*
Left kidney V20Gy ( $\pm$ SD)	77 $\pm$ 19 %	54 $\pm$ 11 %**
Right kidney Mean dose ( $\pm$ SD)	10 $\pm$ 5 Gy	11 $\pm$ 2 Gy
Right kidney V20Gy ( $\pm$ SD)	17 $\pm$ 11 %	9 $\pm$ 5 %

*Advanced radiation techniques reduce the dose to both kidneys*

Organ/ROI	Conventional (AP-PA)	IMRT
Left kidney Mean dose ( $\pm$ SD)	34 $\pm$ 8 Gy	22 $\pm$ 3 Gy*
Left kidney V20Gy ( $\pm$ SD)	77 $\pm$ 19 %	54 $\pm$ 11 %**
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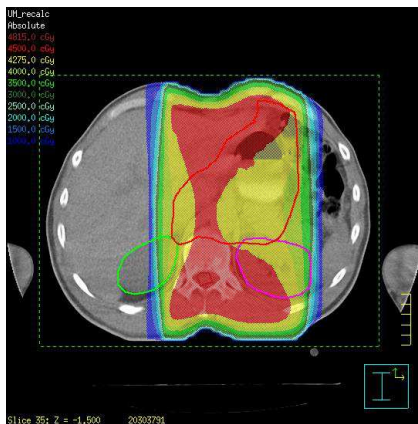
## Advanced radiation techniques reduce the dose to both kidneys

Organ/ROI	Conventional (AP-PA)	IMRT
Left kidney Mean dose ( $\pm$ SD)	34 $\pm$ 8 Gy	22 $\pm$ 3 Gy*
Left kidney V20Gy ( $\pm$ SD)	77 $\pm$ 19 %	54 $\pm$ 11 %**
Right kidney Mean dose ( $\pm$ SD)	10 $\pm$ 5 Gy	11 $\pm$ 2 Gy
Right kidney V20Gy ( $\pm$ SD)	17 $\pm$ 11 %	9 $\pm$ 5 %
Liver Mean dose ( $\pm$ SD)	15 $\pm$ 3	18 $\pm$ 2
Liver V30Gy ( $\pm$ SD)	26 $\pm$ 6	21 $\pm$ 5
PTV V95% ( $\pm$ SD)	95 $\pm$ 3	98 $\pm$ 2

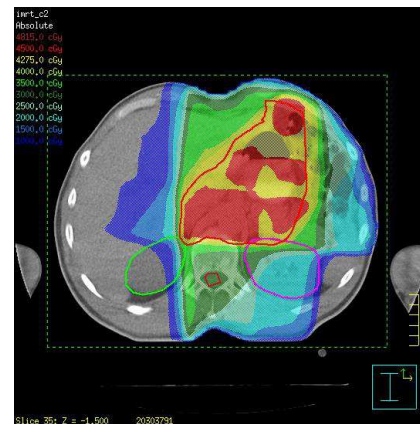
## Advanced radiation techniques reduce the dose to both kidneys

Organ/ROI	Conventional (AP-PA)	IMRT
Left kidney Mean dose ( $\pm$ SD)	34 $\pm$ 8 Gy	22 $\pm$ 3 Gy*
Left kidney V20Gy ( $\pm$ SD)	77 $\pm$ 19 %	54 $\pm$ 11 %**
Right kidney Mean dose ( $\pm$ SD)	10 $\pm$ 5 Gy	11 $\pm$ 2 Gy
Right kidney V20Gy ( $\pm$ SD)	17 $\pm$ 11 %	9 $\pm$ 5 %
Liver Mean dose ( $\pm$ SD)	15 $\pm$ 3	18 $\pm$ 2
Liver V30Gy ( $\pm$ SD)	26 $\pm$ 6	21 $\pm$ 5
PTV V95% ( $\pm$ SD)	95 $\pm$ 3	98 $\pm$ 2

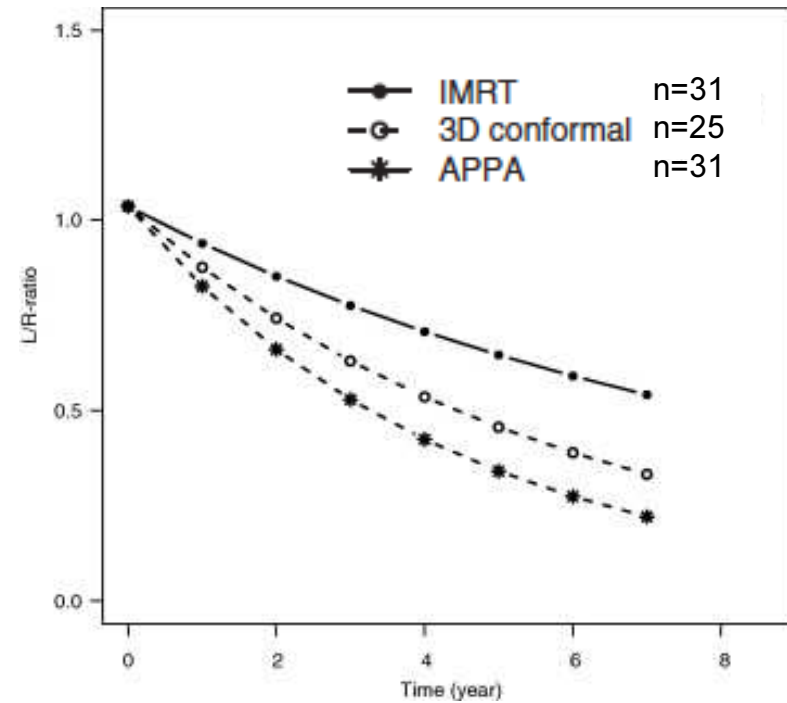
# IMRT limits nephrotoxicity after chemoradiotherapy for gastric cancer



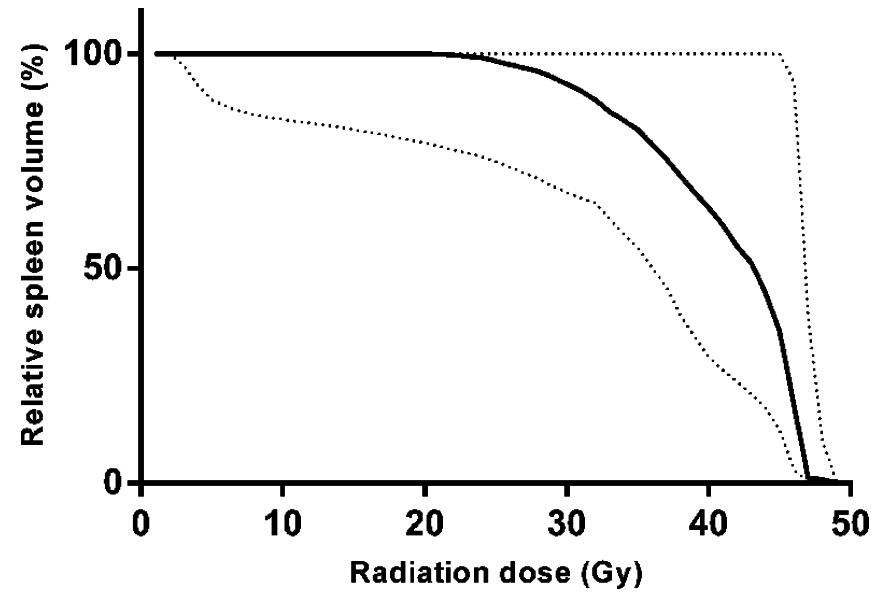
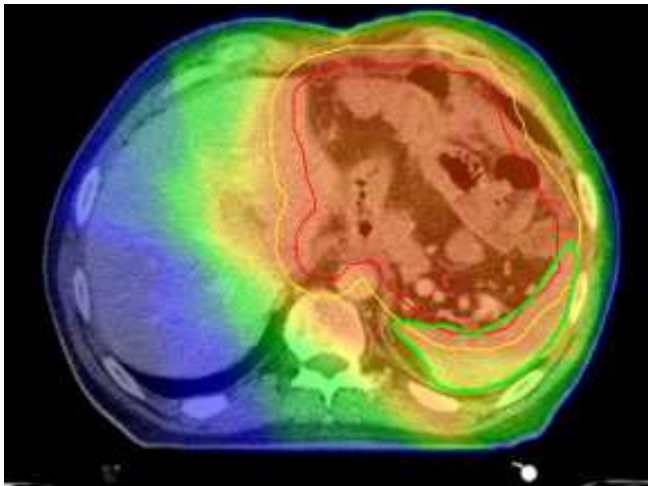
AP-PA



IMRT

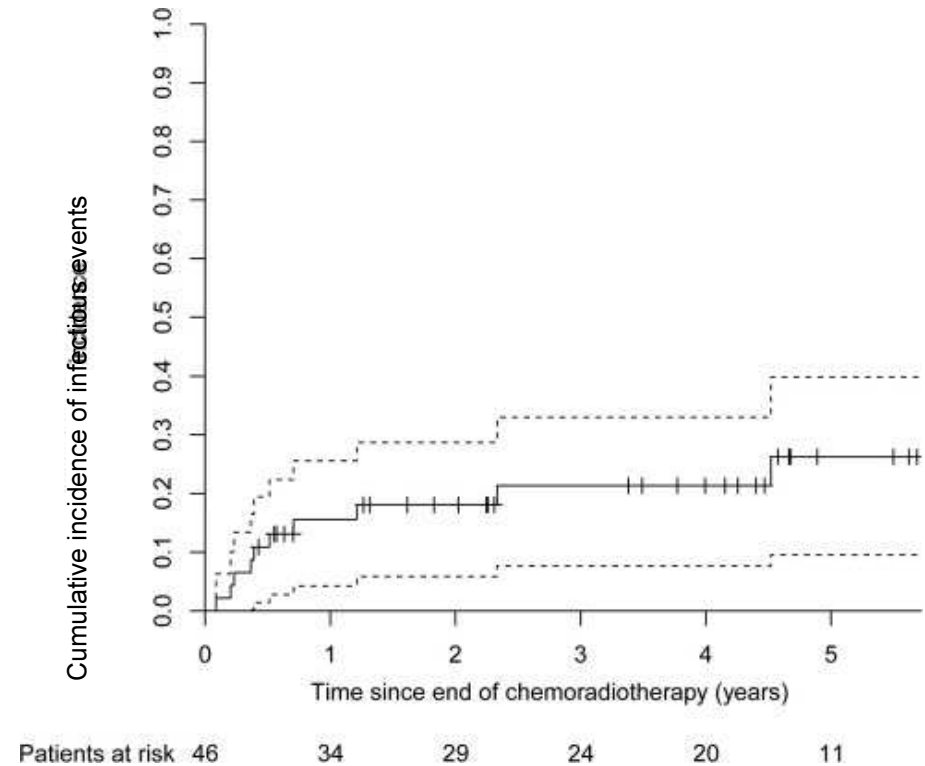
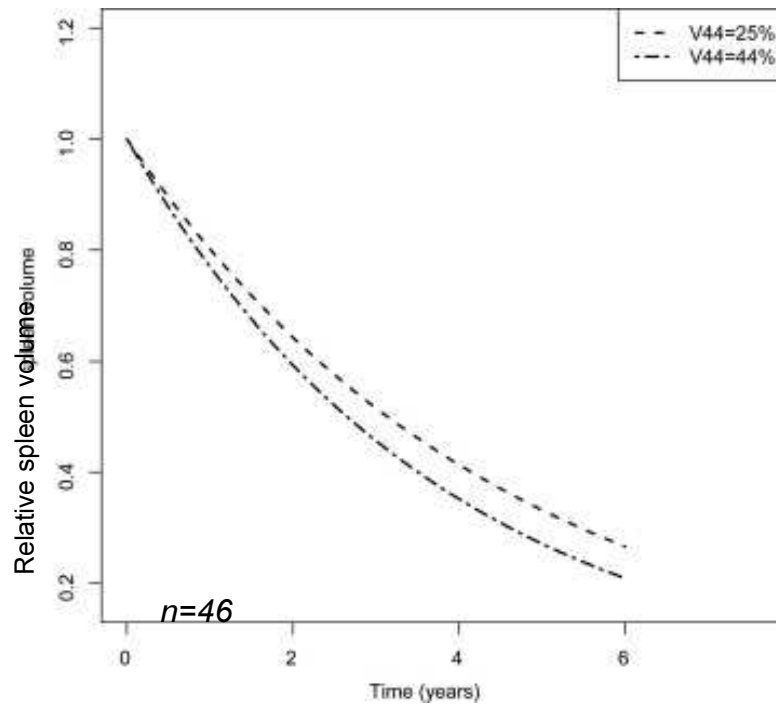


# Late splenic toxicity following postoperative chemoradiotherapy in gastric cancer





# Late splenic toxicity following postoperative chemoradiotherapy in gastric cancer



# Summary (2)

- *Kidney and spleen are important dose-limiting OAR in post-operative (chemo-)radiotherapy for gastric cancer*
- *State-of-the-art radiation technology limits (late) side effects*
- *Pre-operative (chemo-)radiotherapy may reduce dose to OAR*

*CRITICS delineation atlas:*

[https://90354444eae87e1325758f006fb0199c07bb65e2.googleusercontent.com/host/0B-hpenFdfLiNTjNyRHV1dnYxVke/ABDOMEN/CTV\\_Gastric\\_CA\\_in\\_CRITICS\\_trial.pdf](https://90354444eae87e1325758f006fb0199c07bb65e2.googleusercontent.com/host/0B-hpenFdfLiNTjNyRHV1dnYxVke/ABDOMEN/CTV_Gastric_CA_in_CRITICS_trial.pdf)



# **GASTRIC TUMORS: Dose constraints for Organs at Risk**

Prof. Philippe MAINGON

- The patient should be instructed to avoid intake of a heavy meal for 3 hours before simulation and treatment. When clinically appropriate, use of IV and/or oral contrast for CT simulation may be used to aid in target localization.
- Use of an immobilization device is strongly recommended for reproducibility of daily set-up.
- All patients should be simulated and treated in the supine position.
- The uncertainties arising from variations in stomach filling and respiratory motion should also be taken into consideration.



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Int. J. Radiation Oncology Biol. Phys., Vol. 52, No. 2, pp. 283–293, 2002  
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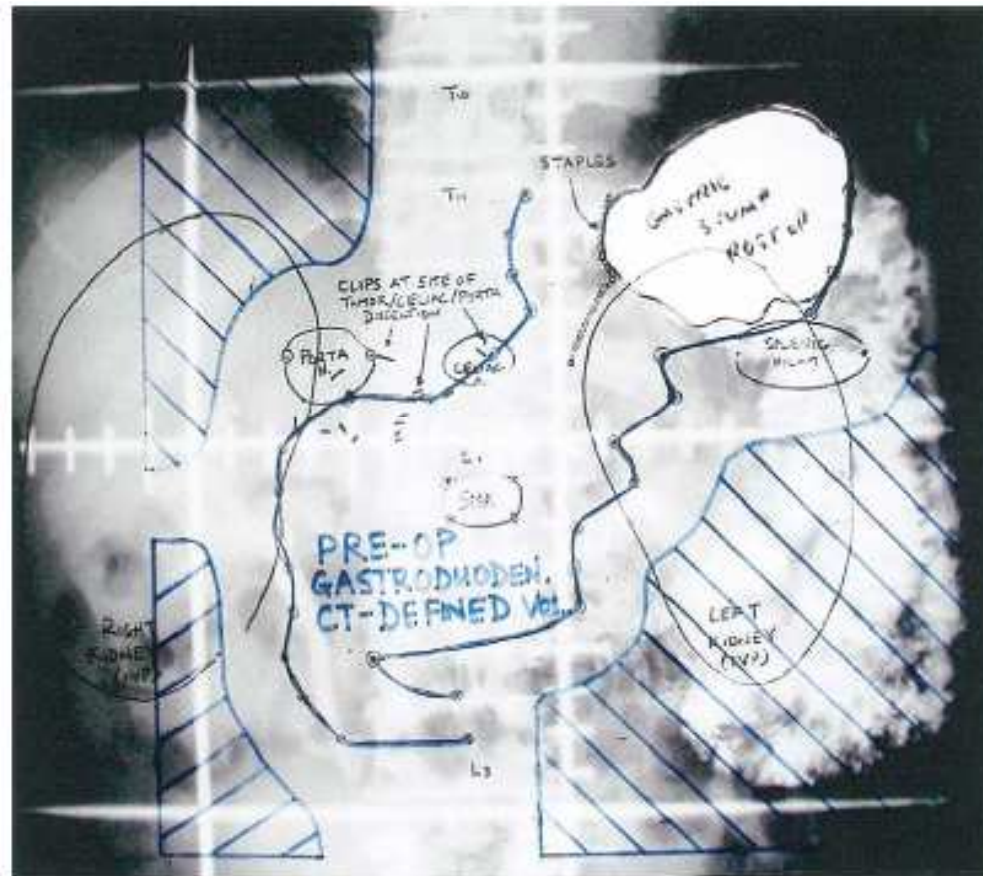
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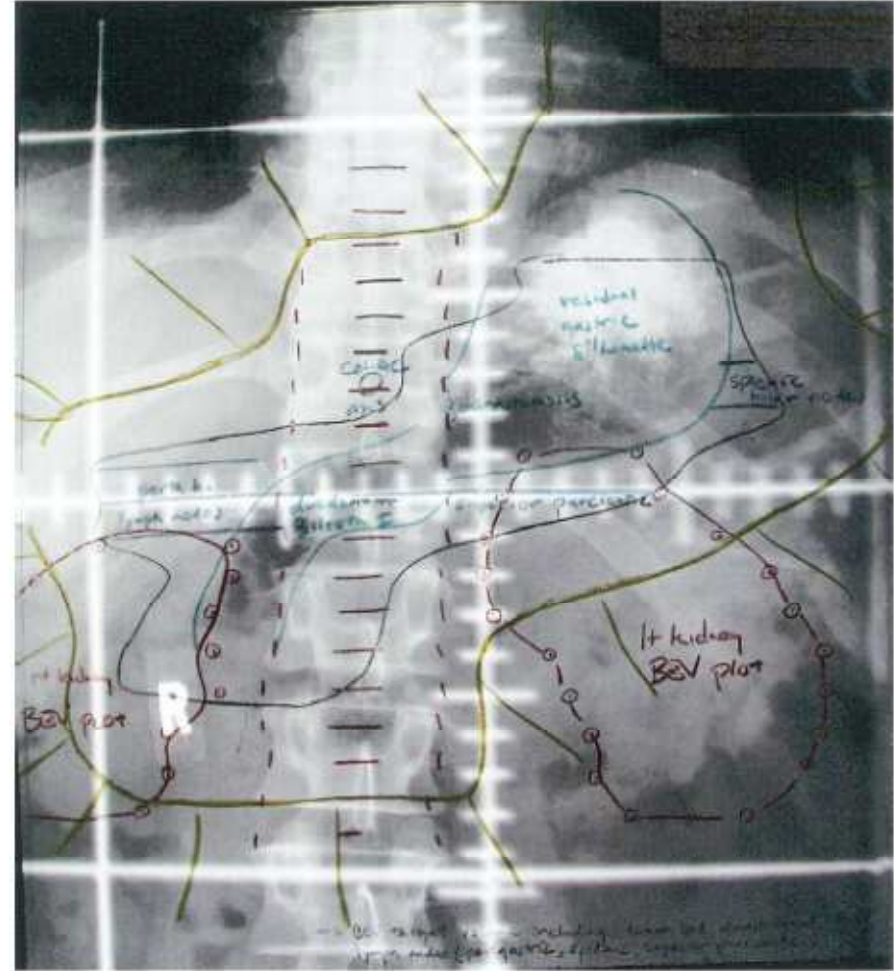
**CLINICAL INVESTIGATION**

**Stomach**

**GASTRIC SURGICAL ADJUVANT RADIOTHERAPY CONSENSUS REPORT:  
RATIONALE AND TREATMENT IMPLEMENTATION**

STEPHEN R. SMALLEY, M.D.,\* LEONARD GUNDERSON, M.S., M.D.,† JOEL TEPPER, M.D.,‡  
JAMES A. MARTENSON, JR., M.D.,† BRUCE MINSKY, M.D.,§ CHRISTOPHER WILLETT, M.D.,|| AND  
TYVIN RICH, M.D.†





## Organs at Risk ...

- Heart
- Lungs
- Spinal cord
- Vertebrae
- Thyroid
- Stomach
- Liver
- Biliary tract
- Pancreas
- Spleen
- Kidneys
- Vessels, pericarde, coronary arteries
- Esophagus
- Patient at risk



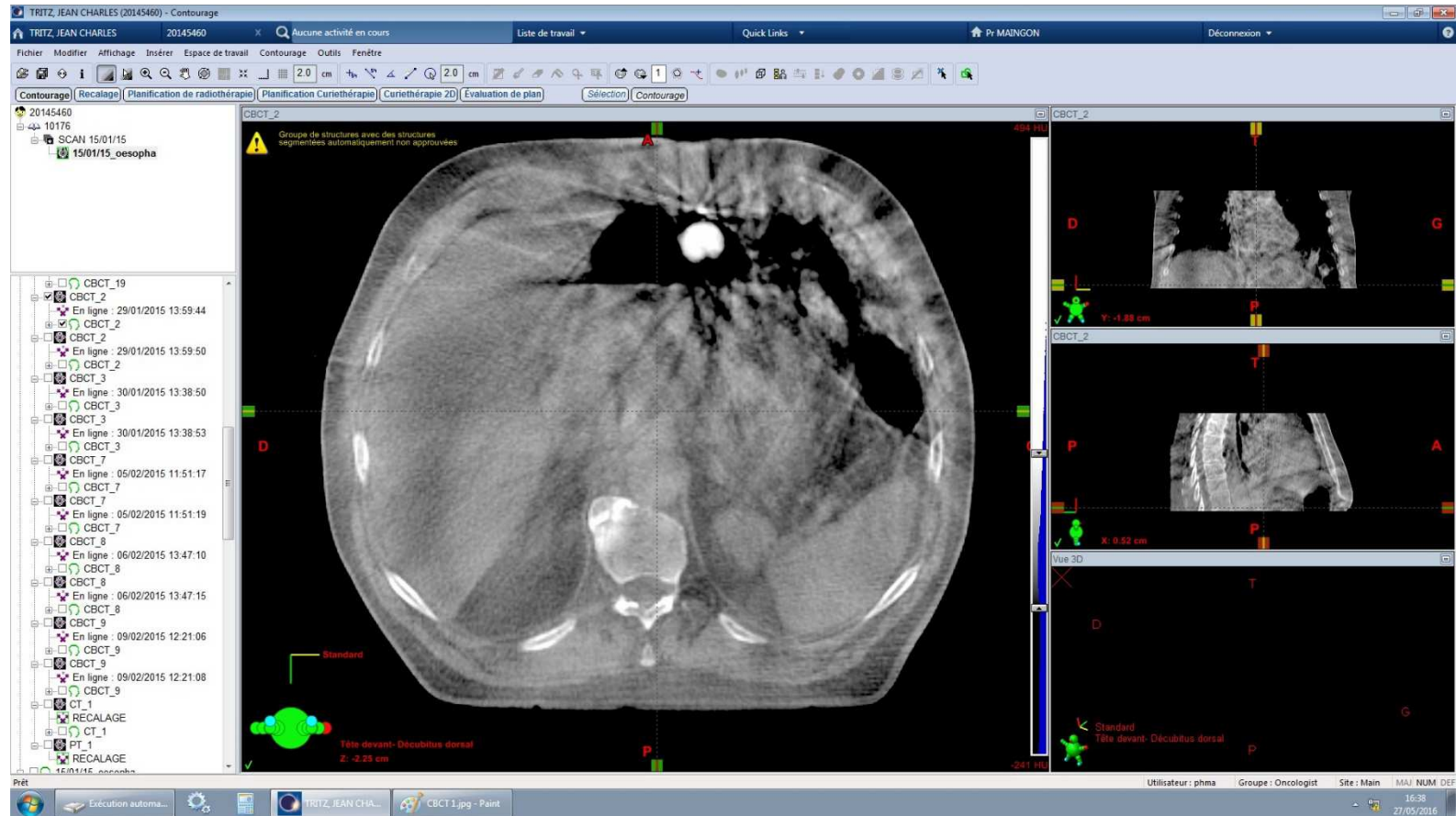
## Organs at Risk ...

- Heart
- Lungs
- **Spinal cord**
- Vertebrae
- Thyroid
- **Stomach**
- **Liver**
- **Biliary tract**
- **Pancreas**
- **Spleen**
- **Kidneys**
- Vessels, pericarde, coronary arteries
- Esophagus
- Patient at risk

# Normal tissue tolerance dose

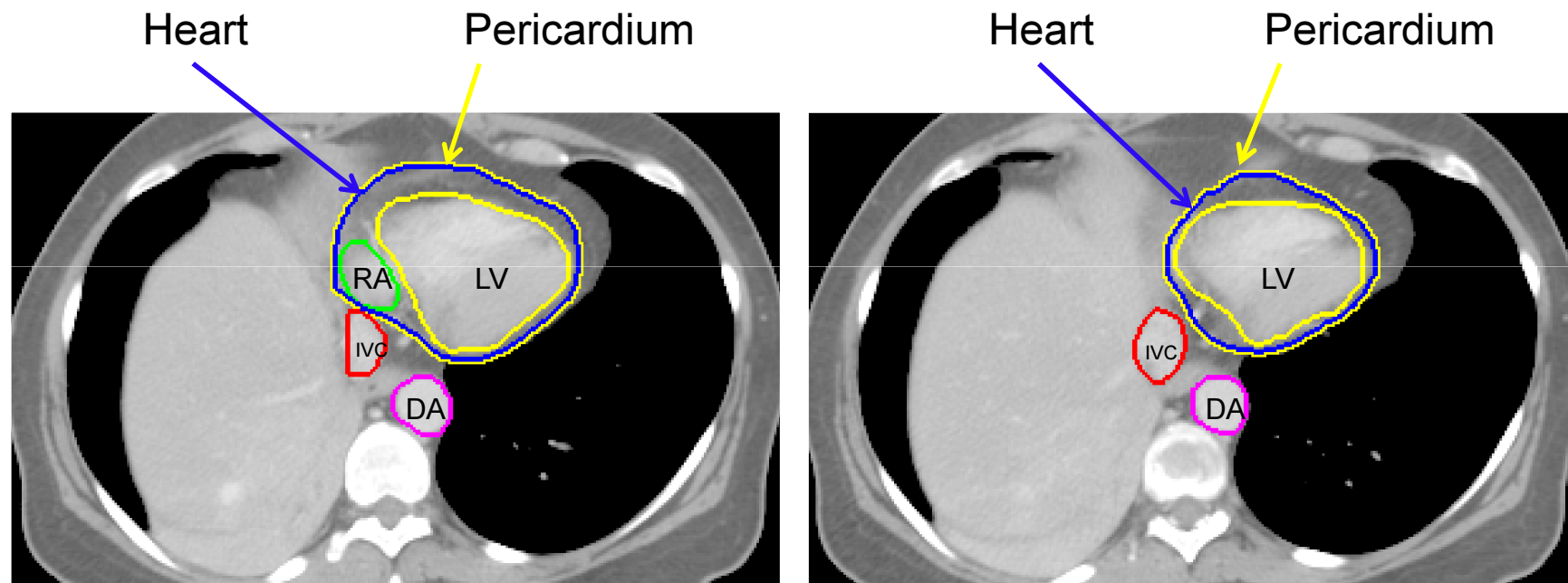
**Table 2 Summary of Dosimetric Parameters for Clinical Toxicity**

Organ	Emami <sup>2</sup> TD 5/5	Emami <sup>2</sup> TD 50/5	Endpoints	Dosimetric Parameters	Endpoints
Brainstem	1/3: 60 Gy 2/3: 53 3/3: 50	1/3: - 2/3: - 3/3: 65 Gy	Necrosis, infarction	V60 <0.9 mL	<5% grade ≥1 toxicity
Spinal cord	5 cm: 50 Gy 10 cm: 50 20 cm: 47	5 cm: 70 Gy 10 cm: 70 20 cm: -	Myelitis, necrosis	max <50 Gy	<5% grade ≥3 toxicity
Cervical spinal cord	—	—	—	EUD <52 Gy, max. <55 Gy	<5% grade ≥3 toxicity
Parotid	1/3: - 2/3: 32 Gy 3/3: 22	1/3: - 2/3: 46 Gy 3/3: 46	Xerostomia	Mean dose <26 Gy	Late grade 2 xerostomia resulting from >75% functional loss
Lung	1/3: 45 Gy 2/3: 30 3/3: 17.5	1/3: 65 Gy 2/3: 40 3/3: 24.5	Pneumonitis	V13 <40% V20 <25-30% V30 <10-15% MLD <10-20 Gy	Late grade 2 in <10-20% Late grade 3 in <5-10%
Heart	1/3: 60 Gy 2/3: 45 3/3: 40	1/3: 70 Gy 2/3: 55 3/3: 50	Pericarditis	V33 <60%, V38 <33% V42 <20%	5% excess cardiac mortality
Esophagus	1/3: 60 Gy 2/3: 58 3/3: 55	1/3: 72 Gy 2/3: 70 3/3: 68	Clinical stricture/ perforation	V50 and S50 <30%	5% risk of late toxicity
Rectum	1/3: 60 Gy 2/3: 60 3/3: 60	1/3: 80 Gy 2/3: 80 3/3: 80	Proctitis, necrosis, fistula, stenosis	V70-80 ≤15 cc V70 ≤20-25%	Late grade 2 in <5-10%
Liver	1/3: 50 Gy 2/3: 35 3/3: 30	1/3: 55 Gy 2/3: 45 3/3: 40	Liver failure	1/3: 40-80 Gy 2/3: 30-50 3/3: 25-35	Late grade 3-4 liver toxicity <5%
Kidney	1/3: 50 Gy 2/3: 30 3/3: 23	1/3: - 2/3: 40 Gy 3/3: 28	Clinical nephritis	median dose <17.5 Gy	anemia, azotemia, hypertension and edema

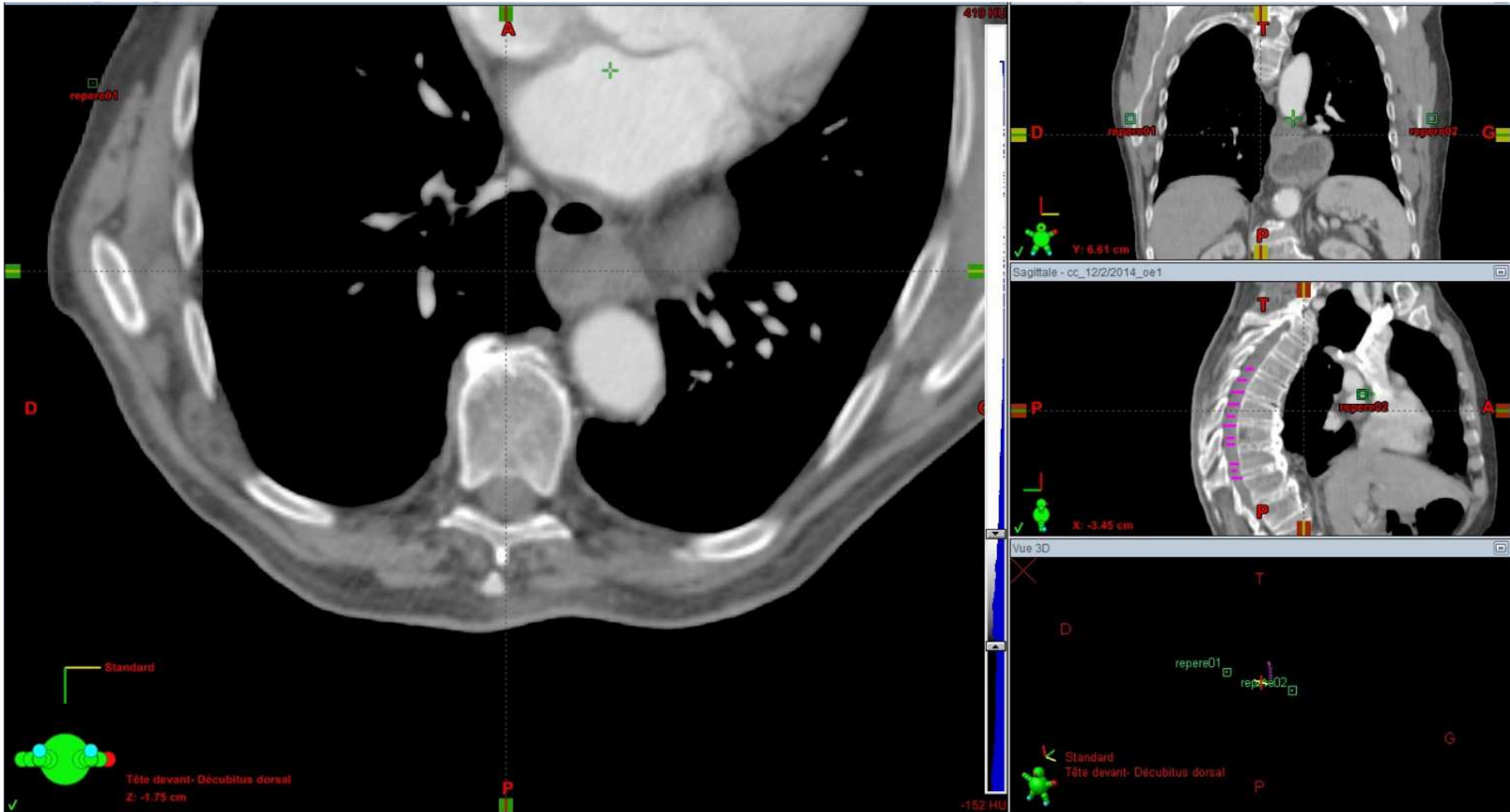




# Heart, pericardium or left ventricle ?



IVC=inferior vena cava  
RA=right ventricle  
LV=left ventricle  
DA=descending aorta



# QUANTEC: dose-volume effects in the stomach and small bowel

- **Testicular cancer irradiation:**

- Ulceration rate = Dose < 50 Gy : 4%      Dose > 50 Gy : 16%
- Perforation rate =                      2% versus 14%

*Brich Arch Int Med 1955*

- No evidence that adding chemotherapy adds some toxicity

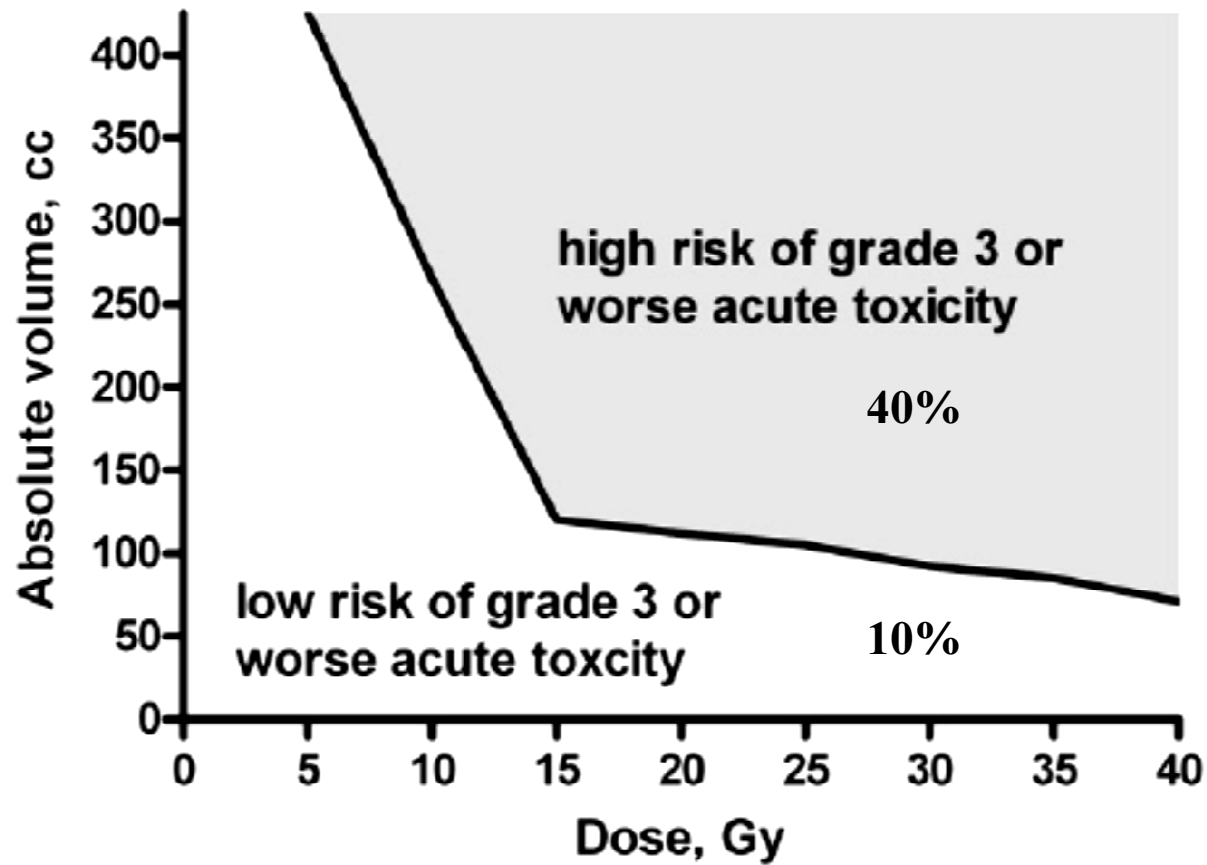
- **Hodgkin disease:**

- Cosset; 516 patients receiving close to 40 Gy
  - Ulcer = 25                      4.8%
  - Severe gastritis = 9        1.7%
  - Obstruction = 2              0.4%
  - Dose per fraction dependant

*Cosset JM, Radiother Oncol 1988*

# QUANTEC: dose-volume effects in the stomach and small bowel

Volume of individual bowel loops NOT the peritoneal space ...

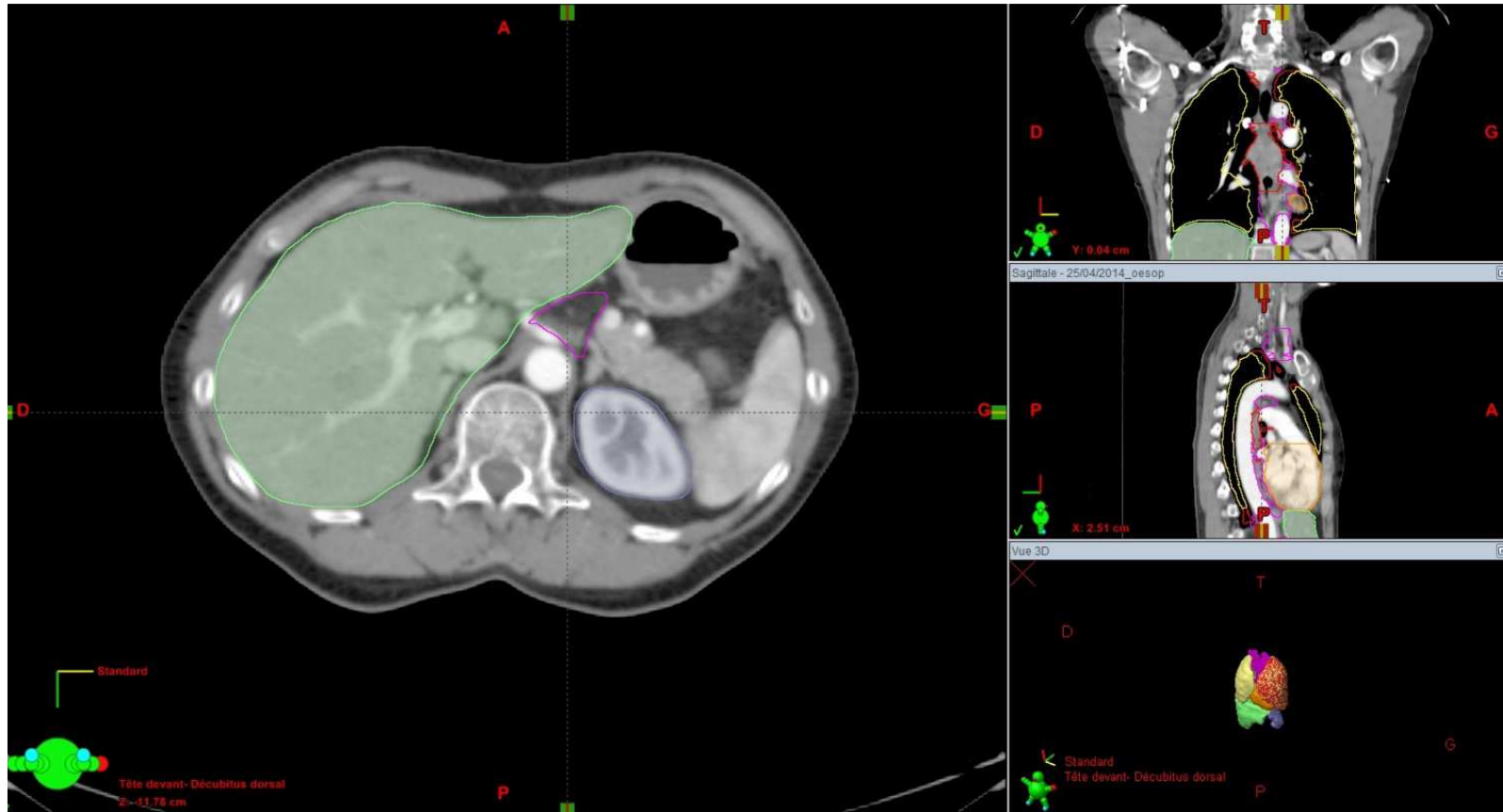


Baglan – Robertson Threshold model

Kavanagh B. IJROBP 2010



# LIVER

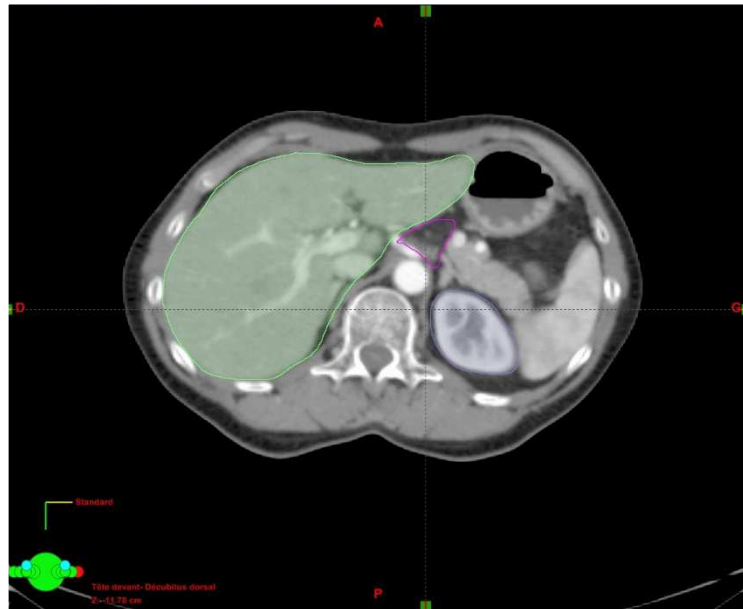


# QUANTEC: Radiation-induced liver toxicity

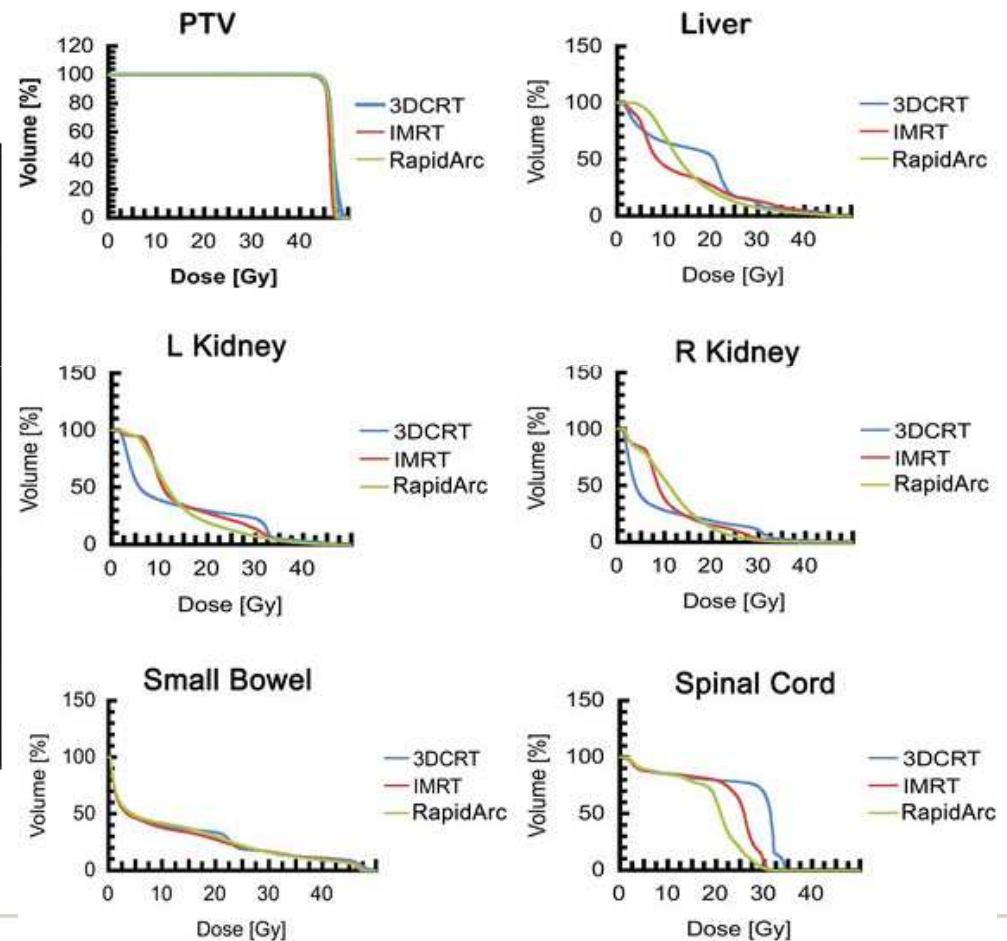
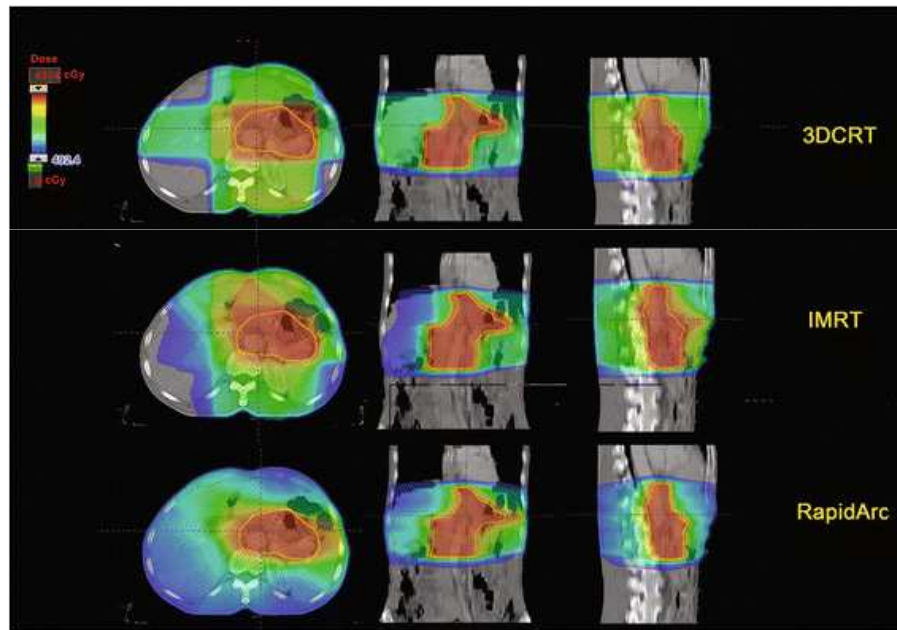
Table 2. Series of fractionated partial liver irradiation and rates of RILD

Study group	n	Diagnosis	Baseline Child-Pugh score	Prescription dose fractionation	Crude percent RILD	Mean normal liver dose in patients with vs. without RILD	Factors associated with RILD
Michigan (8, 23)	203*	PLC + LMC	203 A	1.5 Gy twice daily	9.4% (19/203)	37 Gy vs. 31.3 Gy	PLC vs. LMC mean liver dose
Taipei (20)	89 <sup>†</sup>	HCC	68 A 21 B	1.8–3.0 Gy	19% (17/89)	23 Gy vs. 19 Gy	HBV, liver cirrhosis
Shanghai (3, 18)	109 <sup>†</sup>	PLC	93 A 16 B	4–6 Gy	15.6% (17/109)	24.9 Gy vs. 19.9 Gy	Liver cirrhosis
Guangdong (20)	94**	HCC	43 A 51 B	4–8 Gy	17% (16/94) Note: 4 fatal	Not stated	Liver cirrhosis
S. Korea (Seong, Park) (21)	158 <sup>†</sup>	HCC	117 A 41 B	1.8 Gy	7% (11/158)	Not stated	Dose
S. Korea (Kim) (4)	105 <sup>†</sup>	HCC	85 A 20 B	2.0 Gy	12.3% (13/105)	25.4 Gy vs. 19.1 Gy	Total liver volume receiving 30 Gy or more above 60%

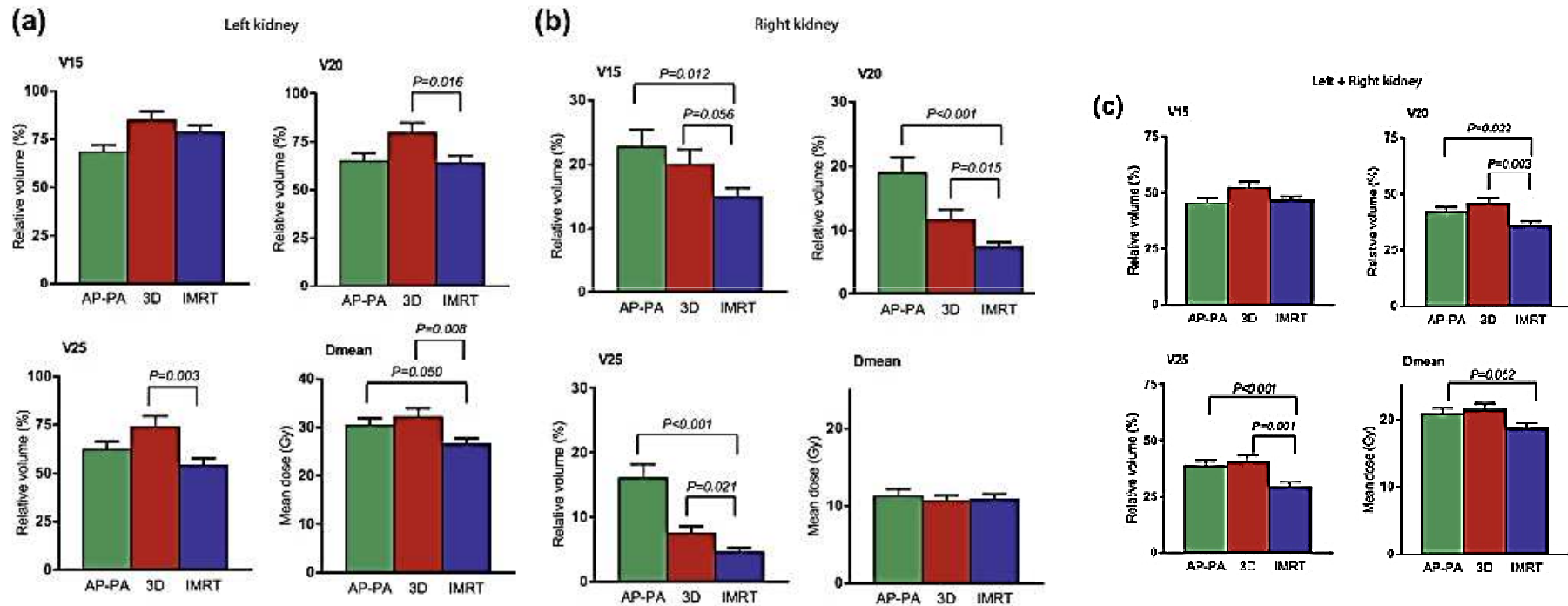
# Kidneys



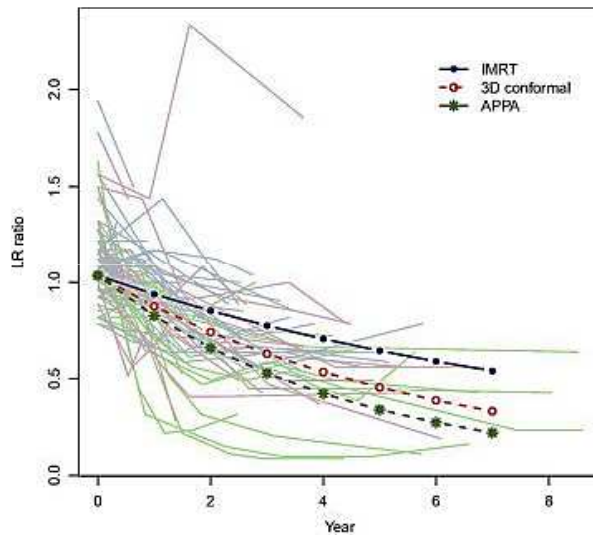
# Double-arc volumetric modulated therapy improves dose distribution compared to static gantry IMRT and 3D conformal radiotherapy for adjuvant therapy of gastric cancer



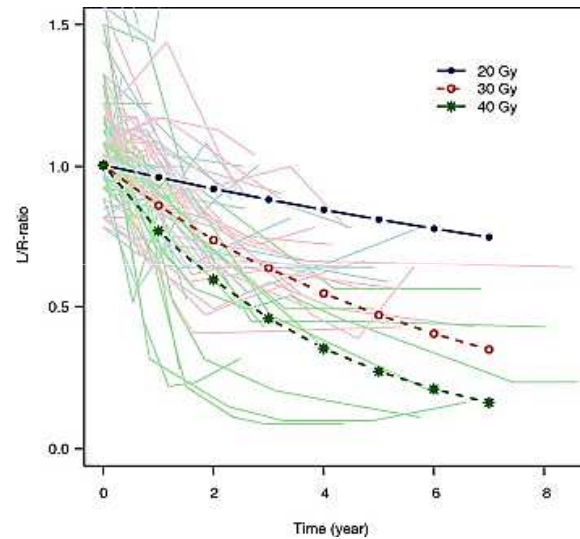
# IMRT limits nephrotoxicity after chemoradiation for gastric cancer



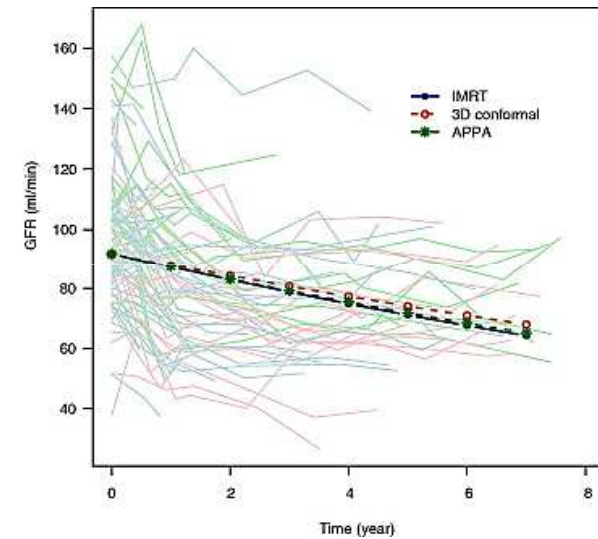
# Double-arc volumetric modulated therapy improves dose distribution compared to static gantry IMRT and 3D conformal radiotherapy for adjuvant therapy of gastric cancer



Left renal function  
Mixt effect model



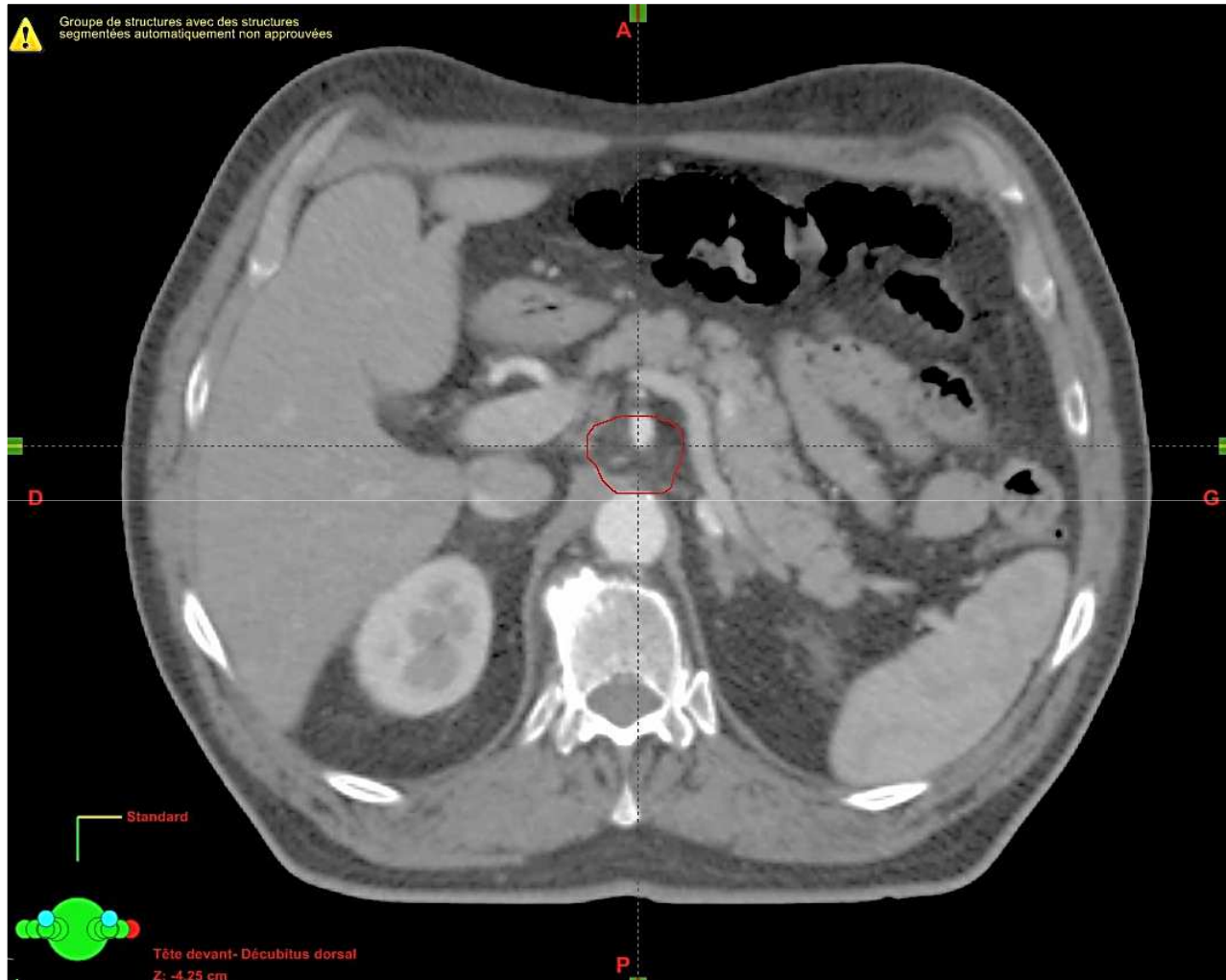
Dose effect relationship  
D mean  
Tc99m-mAG3-  
renography



GFR  
Cockcroft-  
Gauss formula

# Others ... ?







# Others ...



# QUANTEC: Small bowel

Table 1. Quantitative analyses of acute small bowel toxicity

Authors, Reference, No. of patients	Primary cancer	Prescription dose (Gy)	Observed predictor of toxicity
Baglan <i>et al.</i> (18) (N = 40)	Rectal	45–50	Threshold volume at given doses
Roeske <i>et al.</i> (19) (N = 50)	Cervix	45	Absolute small bowel volume (peritoneal space) receiving 45 Gy
Tho <i>et al.</i> (20) (N = 41)	Rectal	45	Absolute small bowel volume receiving 5–40 Gy
Huang <i>et al.</i> (21) (N = 80)*	Cervix, endometrial	39.6–45	Absolute small bowel volume: > 16 Gy (prior surgery) >40 Gy (no prior surgery)
Robertson <i>et al.</i> (22) (N = 96)	Rectal	45–50	Baglan threshold model doses (see Fig. 1)
Gunnlaugsson <i>et al.</i> (23) (N = 28)	Rectal	50	Absolute small bowel volume >15 Gy

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KREBSZENTRUM

# Chamotherapy Toxicity Constraints

**Prof. Florian Lordick, MD**

Director University Cancer Center Leipzig

UCCL



# Drugs used for Gastric Cancer (I)

Drugs	Main Toxicity	Prevention	Contraindication
<b>Cisplatin</b>	Nausea-Emesis +++	Antiemetics	
	Nephrotoxicity +++	Hydration	GFR < 60ml/min kg
	Ototoxicity +++	none	Hardness of hearing
	Neurotoxicity +++	none	Pre-existing neuropathy
<b>Oxaliplatin</b>	Neurotoxicity +++	none	Pre-existing neuropathy
	Nausea-Emesis ++	Antiemetics	
<b>Epirubicine</b>	Hematological +++	Selected cases: GCSF	Myelodysplasia
	Nausea-Emesis ++	Antiemetics	
	Cardiac ++	Close monitoring	LVEF ≤ 50%
	Secondary leukemias	none	
	Hair loss	none	

## Drugs used for Gastric Cancer (II)

Drugs	Main Toxicity	Prevention	Contraindication
<b>5-Fluorouracil</b>	Diarrhea	none	CED (relative)
	Mucositis	Oral rinses	none
	Cardiac	Close monitoring	Angina pectoris
<b>Capecitabin</b>	Hand-foot-syndrome	Urea 10%	
<b>Docetaxel</b>	Neurotoxicity +++	none	Pre-existing neuropathy
	Hematological +++	Selected cases: GCSF	Myelodysplasia
<b>Trastuzumab</b>	Cardiac ++	Close monitoring	LVEF ≤ 50%
<b>Irinotecan</b>	Diarrhea	Anticholinergics	CED (relative)
	Nausea-Emesis ++	Antiemetics	



# Nausea and Emesis

2016 V.1.1

ANTIEMETIC GUIDELINES: MASCC/ESMO

1

## MASCC/ESMO ANTIEMETIC GUIDELINE 2016



### Multinational Association of Supportive Care in Cancer

Organizing and Overall Meeting Chairs:

Matti Aapro, MD

Richard J. Gralla, MD

Jørn Herrstedt, MD, DMSci

Alex Molassiotis, RN, PhD

Fausto Roila, MD

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# Nausea and Emesis

## Committee I (1/5): The Four Emetic Risk Groups

HIGH	Risk in nearly all patients (> 90%)
MODERATE	Risk in 30% to 90% of patients
LOW	Risk in 10% to 30% of patients
MINIMAL	Fewer than 10% at risk

# Nausea and Emesis

## Committee I (2/5): Emetic Risk Groups – Adults – Single IV Agents

HIGH	Anthracycline/cyclophosphamide combination* Carmustine Cisplatin Cyclophosphamide $\geq 1500$ mg/m <sup>2</sup> Dacarbazine Mechlorethamine Streptozocin		
MODERATE	Alemtuzumab Azacitidine Bendamustine Carboplatin Clofarabine Cyclophosphamide < 1500 mg/m <sup>2</sup> Cytarabine > 1000 mg/m <sup>2</sup>	Daunorubicin Doxorubicin Epirubicin Idarubicin Ifosfamide Irinotecan	Oxaliplatin Romidepsin Temozolomide** Thiotepa Trabectedin

\* The combination of an anthracycline and cyclophosphamide in patients with breast cancer should be considered highly emetogenic.

\*\* No direct evidence found for temozolomide IV. Classification is based on oral temozolomide, since all sources indicate a similar safety profile.

# Nausea and Emesis

## Committee I (3/5): Emetic Risk Groups – Adults – Single IV Agents

LOW	Aflibercept	Eribulin	Panitumumab
	Belinostat	Etoposide	Pemetrexed
	Blinatumomab	5-Fluorouracil	Pegylated liposomal doxorubicin
	Bortezomib	Gemcitabine	Pertuzumab
	Brentuximab	Ipilimumab	Temsirolimus
	Cabazitaxel	Ixabepilone	Topotecan
	Carfilzomib	Methotrexate	Trastuzumab-emtansine
	Catumaxumab	Mitomycin	Vinflunine
	Cetuximab	Mitoxantrone	
	Cytarabine $\leq 1000$ mg/m <sup>2</sup>	Nab- paclitaxel	
	Docetaxel	Paclitaxel	

# Nausea and Emesis

## Committee I (4/5): Emetic Risk Groups – Adults – Single IV Agents

	Bevacizumab	Pembrolizumab
	Bleomycin	Pixantrone
	Busulfan	Pralatrexate
MINIMAL	2-Chlorodeoxyadenosine	Rituximab
	Cladribine	Trastuzumab
	Fludarabine	Vinblastine
	Nivolumab	Vincristine
	Ofatumumab	Vinorelbine

# Nausea and Emesis

## ACUTE Nausea and Vomiting: SUMMARY

EMETIC RISK GROUP	ANTIEMETICS				
High Non-AC	5-HT <sub>3</sub>	+	DEX	+	NK <sub>1</sub>
High AC	5-HT <sub>3</sub>	+	DEX	+	NK <sub>1</sub>
Carboplatin	5-HT <sub>3</sub>	+	DEX	+	NK <sub>1</sub>
Moderate (other than carboplatin)	5-HT <sub>3</sub>	+	DEX		
Low	5-HT <sub>3</sub>	or	DEX	or	DOP
Minimal	No routine prophylaxis				
5-HT <sub>3</sub> = serotonin <sub>3</sub> receptor antagonist	DEX = DEXAMETHASONE		NK <sub>1</sub> = neurokinin <sub>1</sub> receptor antagonist such as APREPITANT or FOSAPREPITANT or ROLAPITANT or NEPA (combination of netupitant and palonosetron)		DOP = dopamine receptor antagonist

NOTE: If the NK<sub>1</sub> receptor antagonist is not available for AC chemotherapy, palonosetron is the preferred 5-HT<sub>3</sub> receptor antagonist.

# Nausea and Emesis

## DELAYED Nausea and Vomiting: SUMMARY

EMETIC RISK GROUP	ANTIEMETICS
High Non-AC	<b>DEX</b> or (if APR 125mg for acute: ( <b>MCP</b> + <b>DEX</b> ) or <b>APR</b> )
High AC	None or (if APR 125mg for acute: <b>DEX</b> or <b>APR</b> )
Carboplatin	None or (if APR 125mg for acute: <b>APR</b> )
Oxaliplatin, or anthracycline, or cyclophosphamide	<b>DEX</b> can be considered
Moderate (other)	No routine prophylaxis
Low and Minimal	No routine prophylaxis

DEX = DEXAMETHASONE

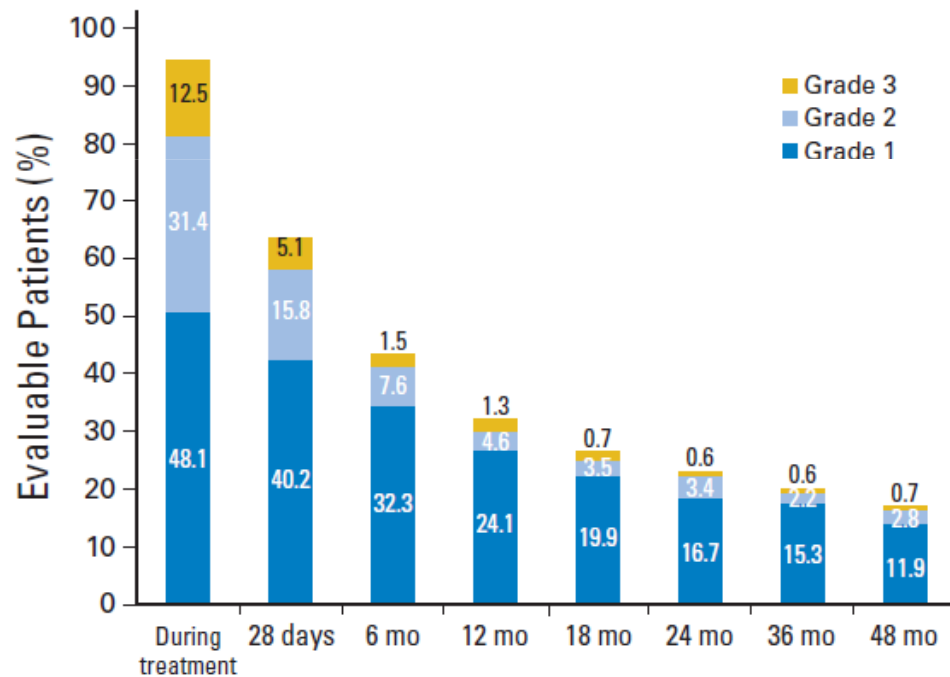
MCP = METOCLOPRAMIDE

APR = APREPITANT

# Neurotoxicity

## Oxaliplatin

Standard for colon cancer. Very frequently used for gastric cancer



Frequency of neuropathy in the MOSAIC-study (colon st. 3)



## Phase III Randomized, Placebo-Controlled, Double-Blind Study of Intravenous Calcium and Magnesium to Prevent Oxaliplatin-Induced Sensory Neurotoxicity (N08CB/Alliance)

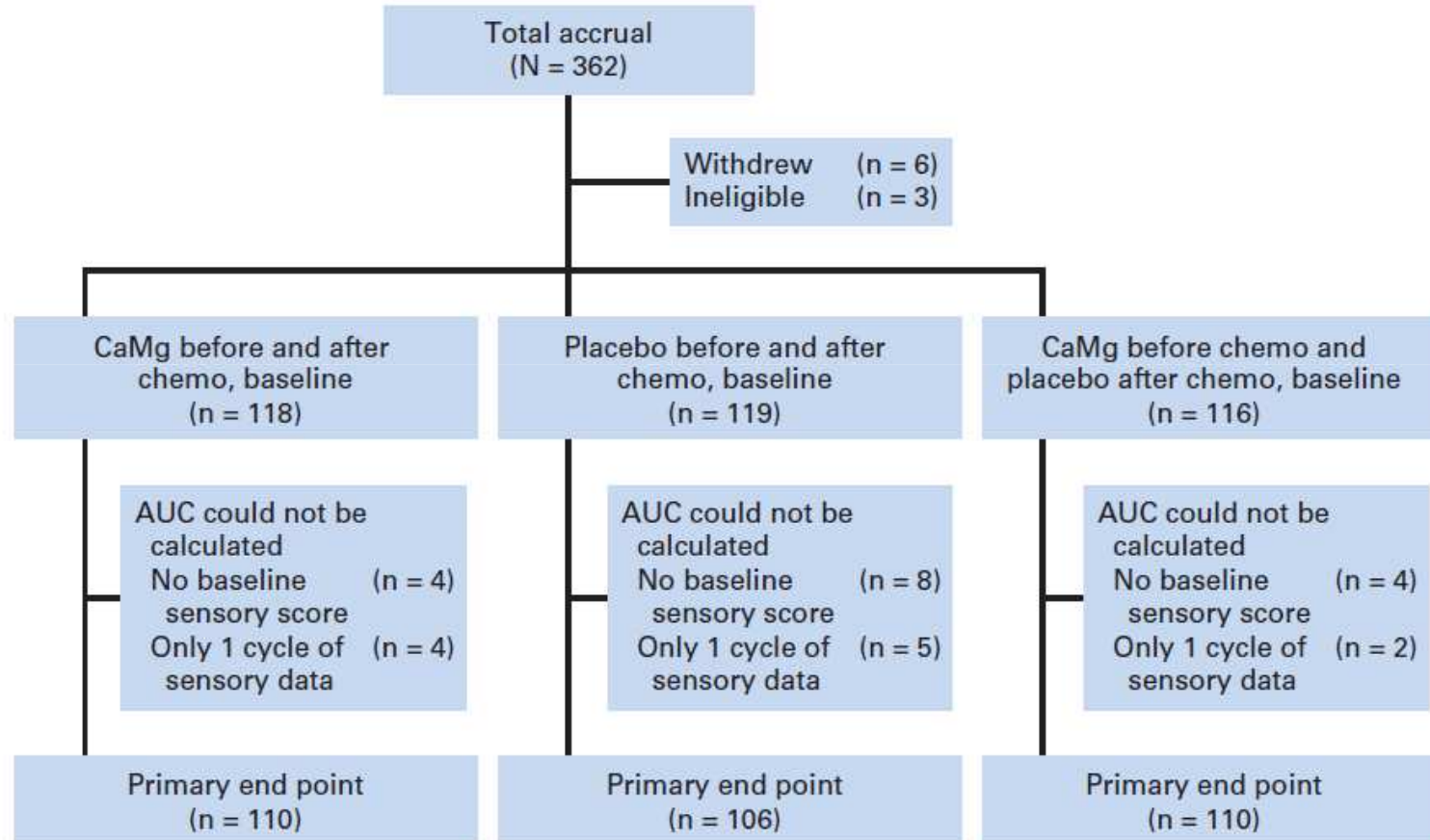
*Charles L. Loprinzi, Rui Qin, Shaker R. Dakhil, Louis Fehrenbacher, Kathleen A. Flynn, Pamela Atherton, Drew Seisler, Rubina Qamar, Grant C. Lewis, and Axel Grothey*

Processed as a Rapid Communication manuscript. See accompanying articles on pages 991 and 1006

Charles L. Loprinzi, Rui Qin, Pamela Atherton, Drew Seisler, and Axel Grothey, Alliance Statistics and Data

A B S T R A C T

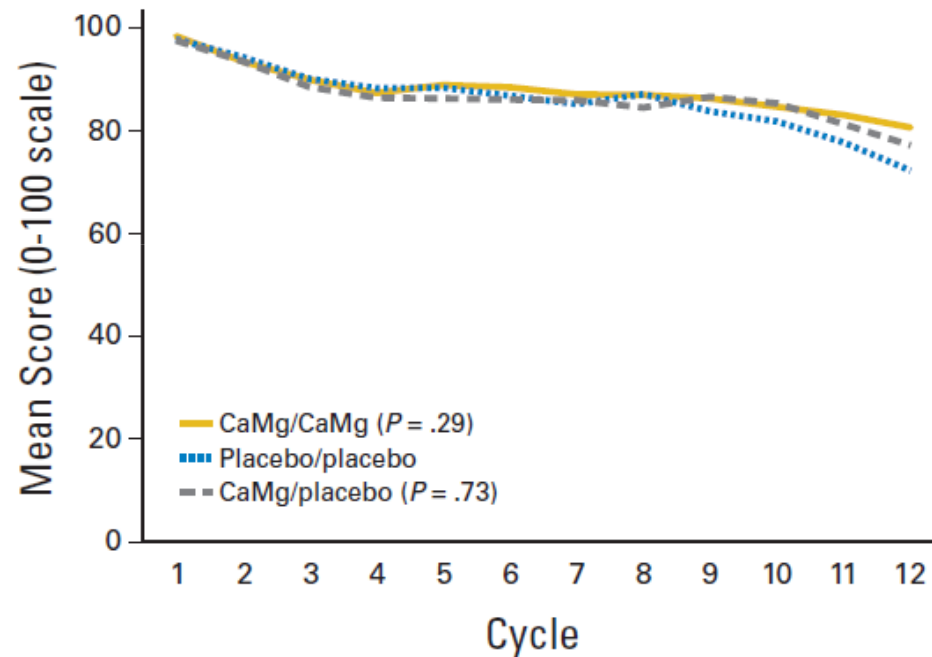
# Neurotoxicity



# Neurotoxicity

## Peripheral sensory neuropathy: mean score

(EORTC Quality of Life Questionnaire-Chemotherapy-Induced Peripheral Neuropathy 20 instrument)

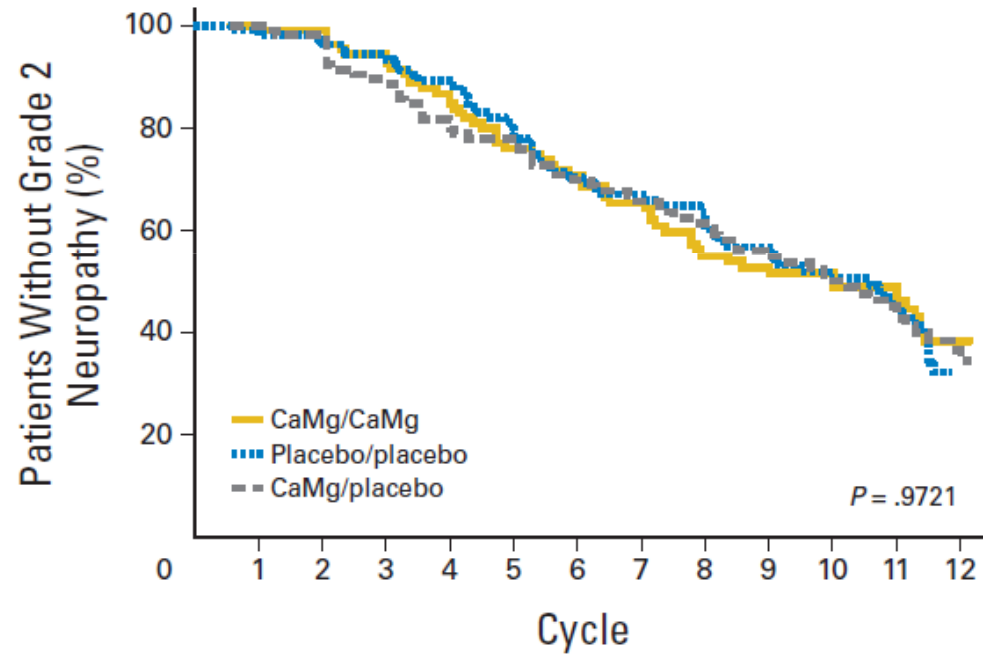


No. at risk												
CaMg/CaMg	114	108	103	103	97	88	89	84	76	73	58	46
Placebo/placebo	111	105	104	104	95	92	82	81	71	66	52	40
CaMg/placebo	112	105	109	105	95	99	94	85	80	71	59	51

# Neurotoxicity

## Patients without grade 2 neuropathy

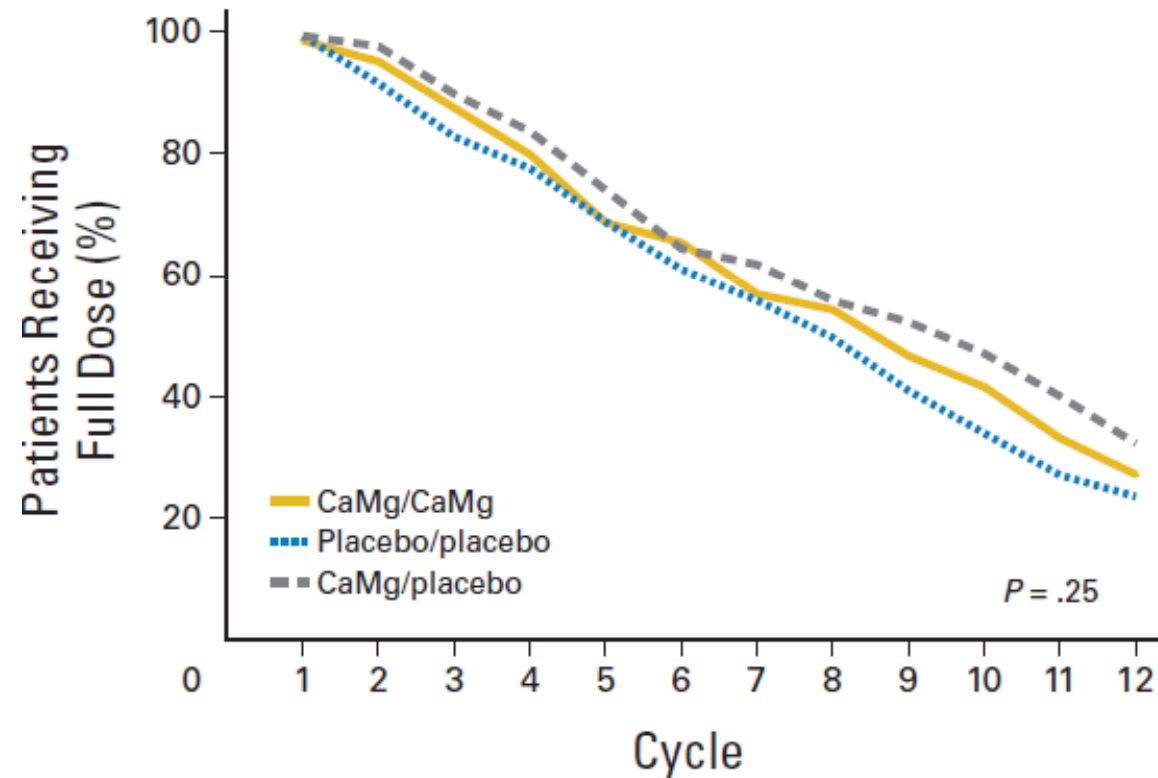
(EORTC Quality of Life Questionnaire-Chemotherapy-Induced Peripheral Neuropathy 20 instrument)



No. at risk	0	1	2	3	4	5	6	7	8	9	10	11	12
CaMg/CaMg	110	110	107	99	90	76	67	58	47	43	39	35	19
Placebo/placebo	107	106	103	93	84	78	70	63	56	48	41	37	18
CaMg/placebo	111	108	101	94	89	77	65	61	55	46	40	36	13

# Neurotoxicity

## Patients with full oxaliplatin doses



# Neurotoxicity

## Neuropathic pain

N=231

Patients with neuropathic pain > grade 1

- previous platin or taxane

Instruments:  
Brief Pain Inventory

R  
A  
N  
D  
O  
M

Duloxetine\* 5 weeks → Placebo  
first week 30mg, then 60mg

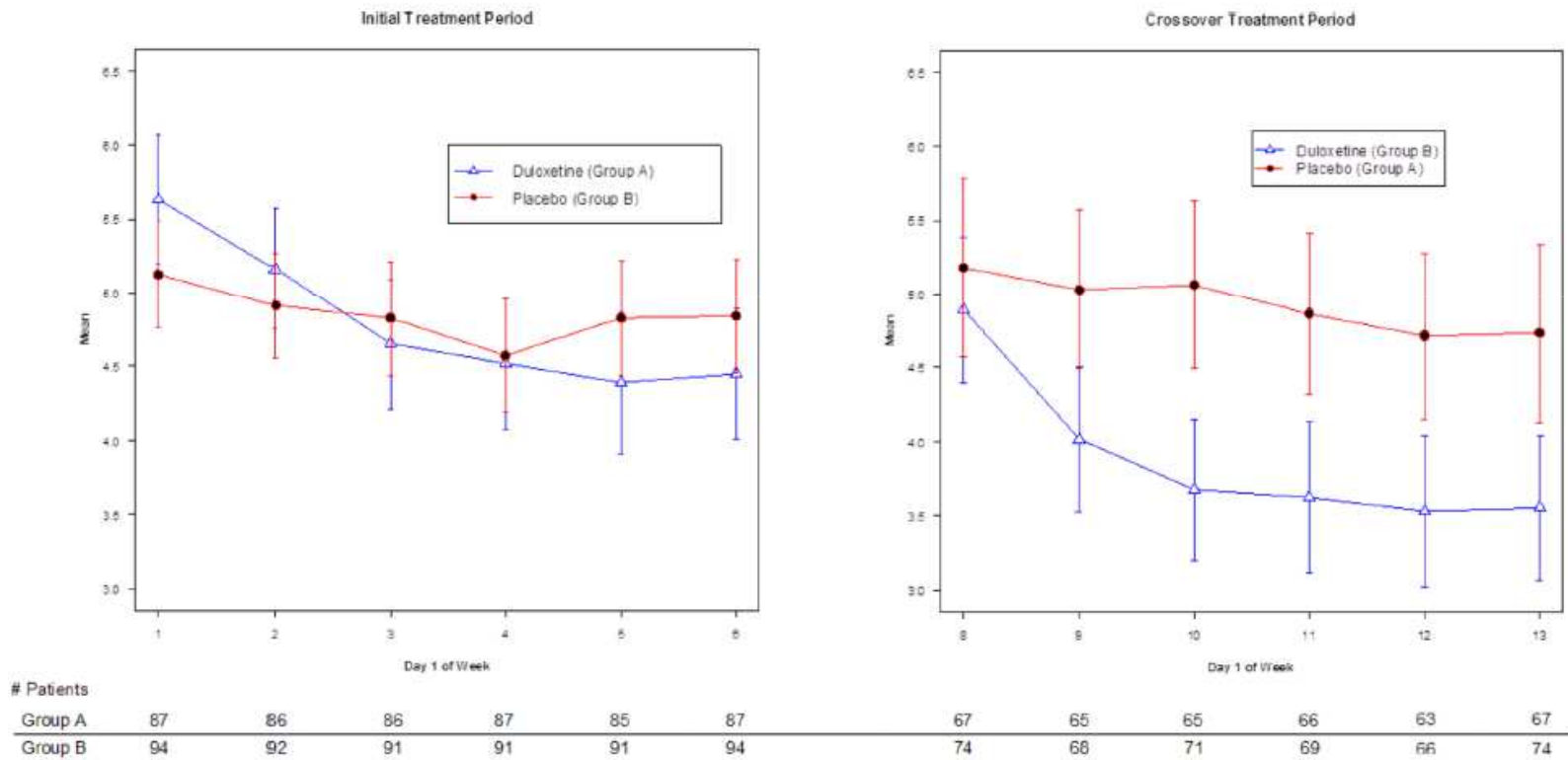
Primary endpoint: neuropathic pain

Placebo 5 weeks → Duloxetine\*  
first week 30mg, then 60mg

\*Duloxetine (Cymbalta): Serotonin-Noradrenalin Re-Uptake Inhibitor (SNRI)

# Neurotoxicity

## Brief Pain Inventory - Score



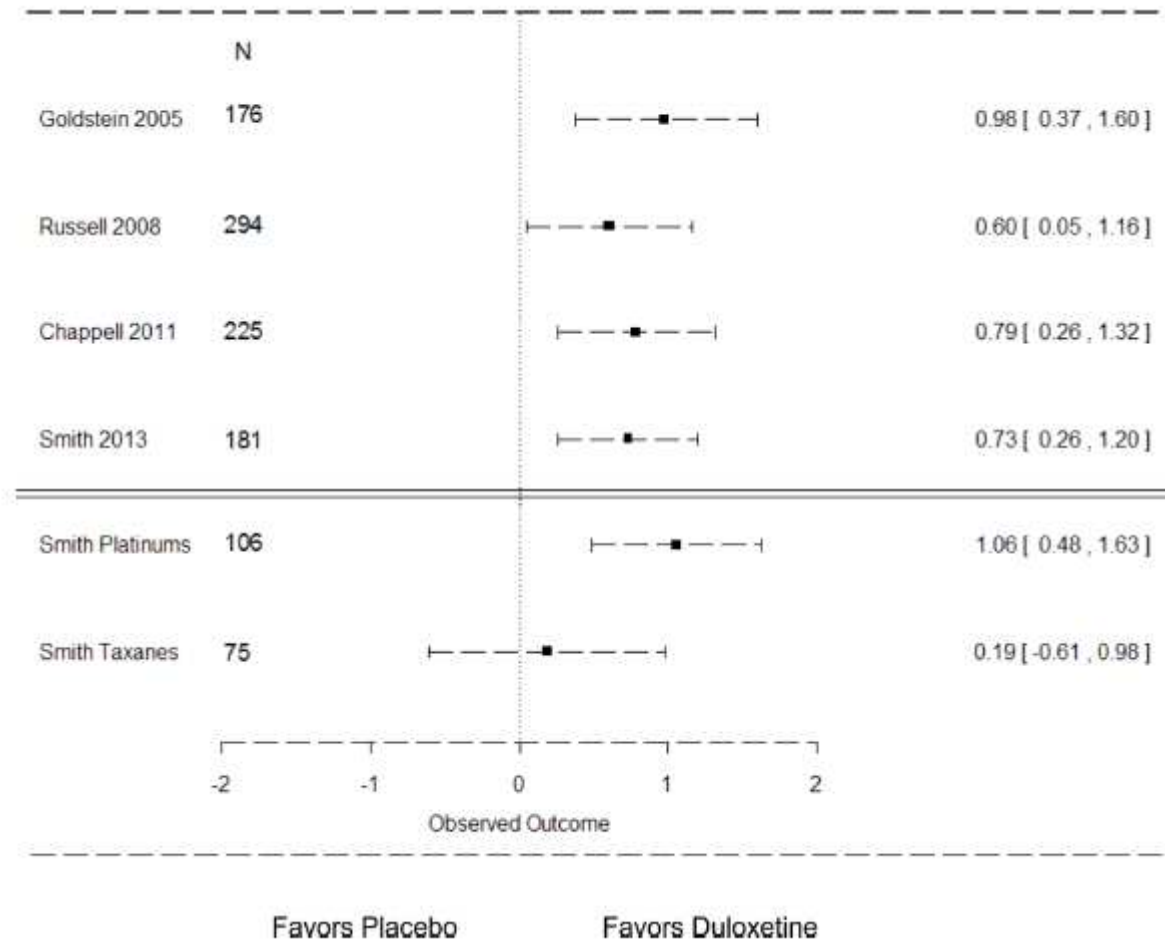
# Neurotoxicity

**Diabetic neuropathy**

**Fibromyalgia**

**Osteoarthritis**

**Chemo-neuropathy**





## Neurotoxicity - Summary

- There is no effective **prophylaxis** for **Platin-induced neuropathy**.

**Calcium-Magnesium is not effective!**

- **Duloxetine** ist **moderately effective** for treatment of chemotherapy-induced neuropathic pain

## Recommendations for the Use of WBC Growth Factors: American Society of Clinical Oncology Clinical Practice Guideline Update

Thomas J. Smith, Johns Hopkins Sidney Kimmel Comprehensive Cancer Center, Baltimore, MD; Kari Bohlke, American Society of Clinical Oncology, Alexandria; Scott J. Cross, Virginia Oncology Associates, Norfolk; James L. Khatcheressian, Virginia Cancer Institute, Richmond, VA; Gary H. Lyman, Fred Hutchinson Cancer Research Center and University of Washington, Seattle, WA; Kenneth R. Carson, Washington University, St Louis, MO; Jeffrey Crawford

*Thomas J. Smith, Kari Bohlke, Gary H. Lyman, Kenneth R. Carson, Jeffrey Crawford, Scott J. Cross, John M. Goldberg, James L. Khatcheressian, Natasha B. Leighl, Cheryl L. Perkins, George Somlo, James L. Wade, Antoinette J. Wozniak, and James O. Armitage*

### A B S T R A C T

#### **Purpose**

To update the 2006 American Society of Clinical Oncology guideline on the use of hematopoietic colony-stimulating factors (CSFs).

# Hematotoxicity

## Key Points

- Primary prophylaxis with a CSF starting with the first cycle and continuing through subsequent cycles of chemotherapy is recommended in patients who have an approximately 20% or higher risk for febrile neutropenia based on patient-, disease- and treatment-related factors. Primary CSF prophylaxis should also be administered in patients receiving dose-dense chemotherapy when considered appropriate. Consideration should be given to alternative, equally effective, and safe chemotherapy regimens not requiring CSF support when available. (Type: evidence based, benefits outweigh harms. Evidence quality: high. Strength of recommendation: strong.)
- Secondary prophylaxis with a CSF is recommended for patients who experienced a neutropenic complication from a prior cycle of chemotherapy (for which primary prophylaxis was not received), in which a reduced dose or treatment delay may compromise disease-free or overall survival or treatment outcome. In many clinical situations, dose reduction or delay may be a reasonable alternative. (Type: evidence based, benefits outweigh harms. Evidence quality: high. Strength of recommendation: strong.)
- CSFs should not be routinely used for patients with neutropenia who are afebrile. (Type: evidence based, benefits outweigh harms. Evidence quality: high. Strength of recommendation: strong.)
- CSFs should not be routinely used as adjunctive treatment with antibiotic therapy for patients with fever and neutropenia. However, CSFs should be considered in patients with fever and neutropenia who are at high risk for infection-associated complications or who have prognostic factors predictive of poor clinical outcomes. (Type: evidence based, benefits outweigh harms. Evidence quality: high. Strength of recommendation: strong.)

# Hematotoxicity

**Table 1.** Patient Risk Factors for Febrile Neutropenia

Risk Factor
In addition to chemotherapy regimen and type of malignancy, consider the following factors when estimating patient's overall risk of febrile neutropenia <sup>23-25</sup> :
Age $\geq$ 65 years
Advanced disease
Previous chemotherapy or radiation therapy
Preexisting neutropenia or bone marrow involvement with tumor
Infection
Open wounds or recent surgery
Poor performance status or poor nutritional status
Poor renal function
Liver dysfunction, most notably elevated bilirubin
Cardiovascular disease
Multiple comorbid conditions
HIV infection

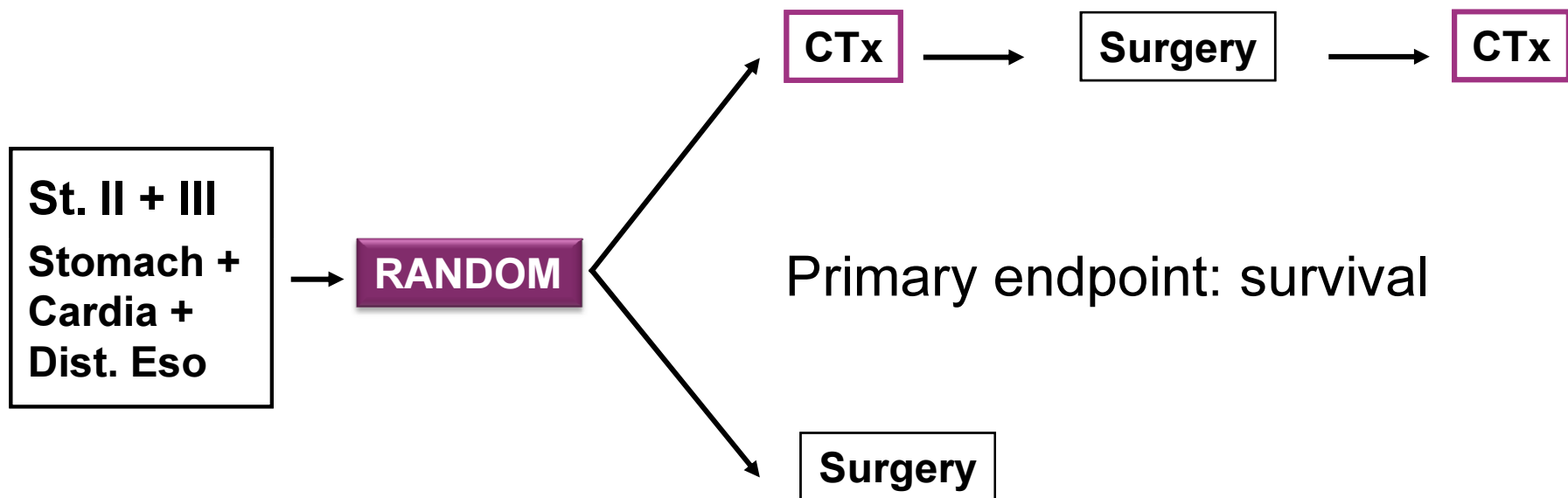
# Hematotoxicity

**Table 2.** Patient Risk Factors for Poor Clinical Outcomes Resulting From Febrile Neutropenia or Infection<sup>28</sup>

Risk Factor
Sepsis syndrome
Age > 65 years
Profound neutropenia (absolute neutrophil count < $0.1 \times 10^9/L$ )
Neutropenia expected to last > 10 days
Pneumonia
Invasive fungal infection
Other clinically documented infections
Hospitalization at time of fever
Prior episode of febrile neutropenia

# Peri-/Preoperative Therapy

## MAGIC + FNCLCC



\*Chemotherapy regimen:

MAGIC, ECF (Epirubicin, Cisplatin, Fluorouracil)  
FNCLCC, CF (Cisplatin, Fluorouracil)

# Peri-/Preoperative Therapy

## Feasibility of chemotherapy

	<b>MAGIC</b> (9 wks ECF)	<b>FNCLCC</b> (8 wks CF)
<b>Pre-op.</b> CTX completely given	86%	89%
<b>Post-op.</b> CTX given	55%	51%

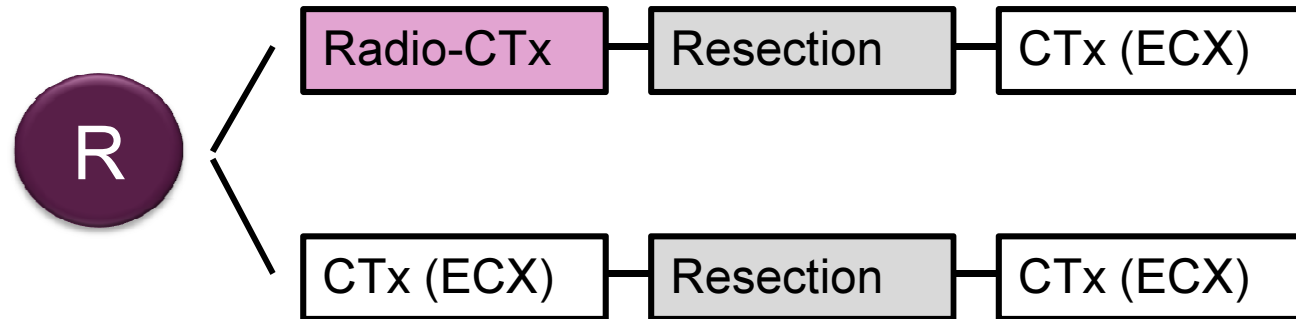
# Current Studies Integrating Radiotherapy



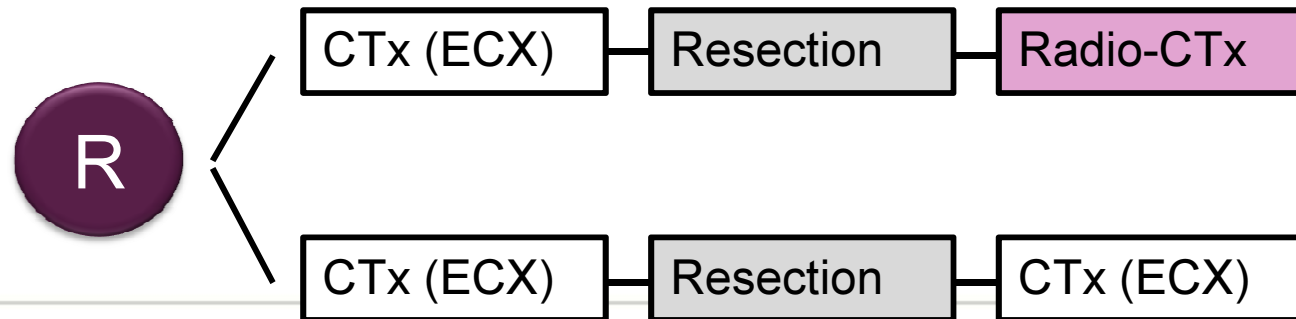
NCIC Clinical Trials Group  
NCIC Groupe des essais cliniques



**TOPGEAR**  
(AUS, CAN, EU)  
Stage Ib-IVa

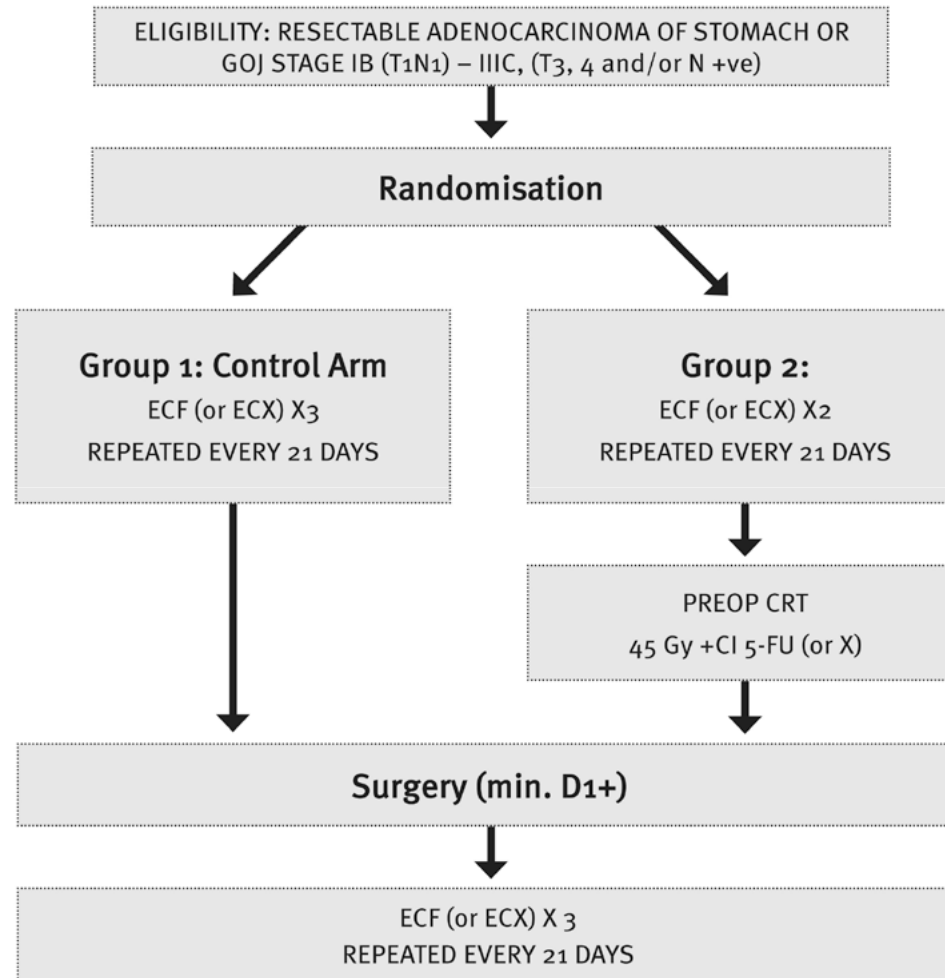


**CRITICS**  
(NL, Sweden)  
Stage Ib-IVa





# TOPGEAR Study



# TOPGEAR Study

**Table 2. Treatment compliance**

<b>Perioperative ECF</b>	<b>CRT group</b>	<b>ECF group</b>
<b>Preoperative ECF</b>	<b>(N=60)</b>	<b>(N=60)</b>
- received all cycles	<b>59 (98.3%)</b>	<b>56 (93.3%)</b>
<b>Postoperative ECF</b>	<b>(N=48)</b>	<b>(N=53)</b>
- received all cycles	<b>24 (50%)</b>	<b>34 (64%)</b>
<b>Chemoradiation</b>	<b>(N=60)</b>	-
- received CRT	<b>55 (92%)</b>	-
- received 45 Gy (of 56 RT patients)	<b>55 (98%)</b>	-
<b>Surgery</b>	<b>(N=60)</b>	<b>(N=60)</b>
- received surgery	<b>51 (85%)</b>	<b>54 (90%)</b>
- median time to surgery	<b>5.7 weeks</b>	<b>4.9 weeks</b>

(no significant differences)

# TOPGEAR Study

**Table 3. Gastrointestinal toxicity**

<b>Toxicity: grade <math>\geq 3</math></b>	<b>CRT group (N=60) no. (%)</b>	<b>ECF group (N=60) no. (%)</b>
<b>Nausea</b>	<b>8 (13.3)</b>	<b>4 (6.7)</b>
<b>Vomiting</b>	<b>5 (8.3)</b>	<b>4 (6.7)</b>
<b>Dysphagia</b>	<b>6 (10)</b>	<b>5 (8.3)</b>
<b>Esophagitis</b>	<b>3 (5)</b>	<b>1 (1.7)</b>
<b>Anorexia</b>	<b>6 (10)</b>	<b>7 (11.7)</b>
<b>Diarrhoea</b>	<b>10 (16.7)</b>	<b>7 (11.7)</b>
<b>Overall gastrointestinal</b>	<b>18 (30)</b>	<b>19 (31.7)</b>

(no significant differences)

# TOPGEAR Study

**Table 4. Hematologic toxicity**

<b>Toxicity: grade <math>\geq 3</math></b>	<b>CRT group (N=60) no. (%)</b>	<b>ECF group (N=60) no. (%)</b>
<b>Neutropenia</b>	<b>27 (45)</b>	<b>24 (40)</b>
<b>Febrile neutropenia</b>	<b>6 (10)</b>	<b>5 (8.3)</b>
<b>Leukocytes</b>	<b>6 (10)</b>	<b>7 (11.7)</b>
<b>Anaemia</b>	<b>3 (5)</b>	<b>4 (6.7)</b>
<b>Thrombocytopenia</b>	<b>1 (1.7)</b>	<b>2 (3.3)</b>
<b>Overall hematologic</b>	<b>31 (51.7)</b>	<b>30 (50)</b>

**(no significant differences)**

# TOPGEAR Study

**Table 5. Surgical complications**

<b>Toxicity: grade <math>\geq 3</math></b>	<b>CRT group (N=51) no. (%)</b>	<b>ECF group (N=54) no. (%)</b>
<b>Anastomotic leak</b>	<b>4 (7.8)</b>	<b>3 (5.6)</b>
<b>Intra-abdominal sepsis</b>	<b>3 (5.9)</b>	<b>4 (7.4)</b>
<b>Wound infection</b>	<b>1 (2)</b>	<b>2 (3.7)</b>
<b>Chest infection</b>	<b>5 (9.8)</b>	<b>5 (9.3)</b>
<b>Respiratory failure</b>	<b>1 (2)</b>	<b>0</b>
<b>Cardiac ischemia</b>	<b>0</b>	<b>1 (1.9)</b>
<b>Overall surgical</b>	<b>11 (21.6)</b>	<b>12 (22.2)</b>

(no significant differences)

# Summary

- Main toxicities are
  - Nausea and Emesis (MASCC guidelines for prophylaxis)
  - Neurotoxicity (no prophylaxis available)
  - Hematological (ASCO guidelines)
  - GI toxicity (diarrhea and mucositis)

# 8 – 11 May 2019, Prague (CZ)

## 13<sup>th</sup> INTERNATIONAL GASTRIC CANCER CONGRESS IGCC 2019



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### Welcome

Dear Participants of the International Gastric Cancer Congress 2019,

With great pleasure we announce the 2019 International Gastric Cancer Congress to be held in Prague. Gastric Cancer continues to be a major health problem in Europe, in the Asian-Pacific Region, in America, Middle East and Africa. From a worldwide perspective, almost 1 Mio patients are diagnosed with gastric cancer / year and 750.000 die from this aggressive cancer.

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# New perspectives in gastric cancer

Marcel Verheij MD PhD  
Department of Radiation Oncology  
NKI, Amsterdam



# *Strategies to improve outcome*

- Treatment-related
- Patient-related
- Tumor-related

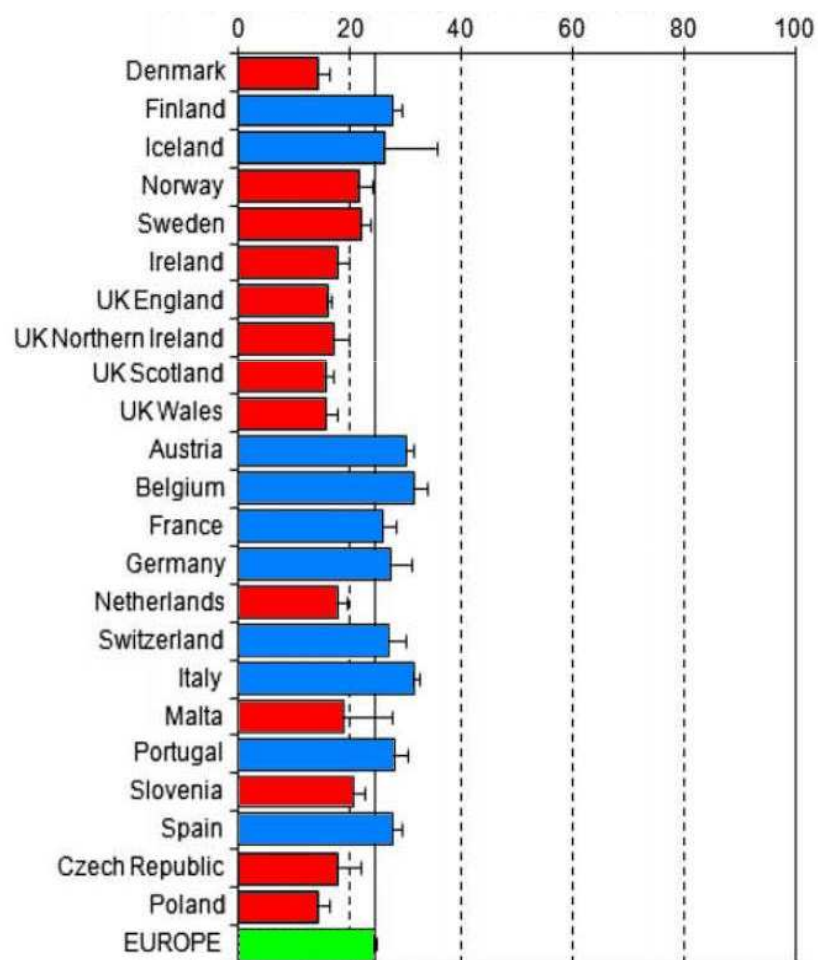
# *Strategies to improve outcome*

- Treatment-related: where, when and how?
- Patient-related
- Tumor-related

# Survival of gastric cancer patients in Europe

Age-standardized 5-year relative survival (%)

1995–1999: EUROCORE-4



Sant et al. Eur J Cancer 2009

1999–2007: EUROCORE-5

	Stomach cancer
European mean	25.1 (24.8–25.4)
Central Europe	28.1 (27.6–28.5)
Austria	31.0 (29.9–32.2)
Belgium*	30.5 (29.1–32.0)
France*	26.3 (24.9–27.6)
Germany*	31.3 (30.6–32.0)
Switzerland*	31.6 (29.2–34.1)
Netherlands	20.4 (19.7–21.2)

De Angelis et al. Lancet Oncol 2014

# Improving surgical quality

## The effect of centralization

Comparison of gastric cancer surgery in Denmark: 1999-2003 versus 2003-2008

	1999–2003	2003–2008
No. of departments	37	5
No. of operations	537	417
Anastomotic leakages (%)	6.1	5.0
Hospital mortality (%)	8.2	2.4*
Patients with $\geq 15$ lymph nodes removed (%)	19	76*

# Improving surgical quality

## The effect of centralization

National data obtained from cancer registries or clinical audits in the Netherlands, Sweden, Denmark and England. Between 2004 and 2009, 10 854 oesophagectomies and 9010 gastrectomies were registered

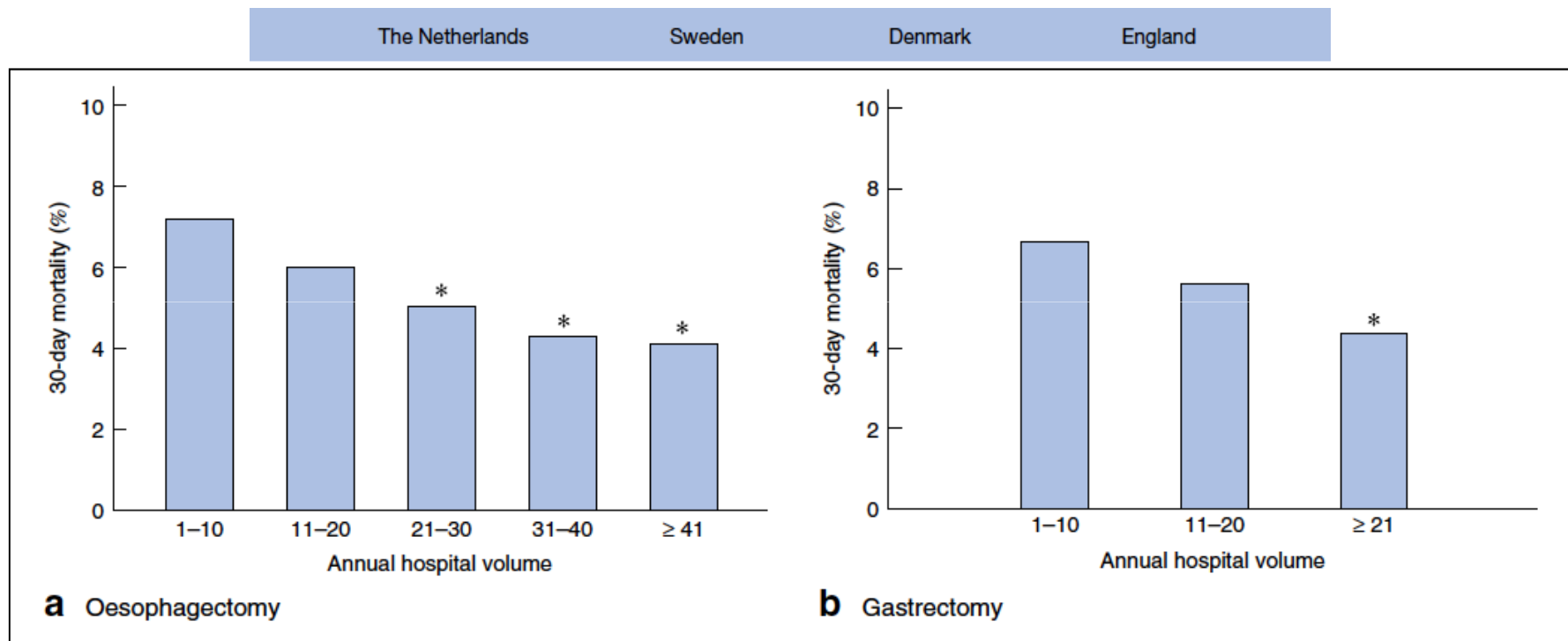
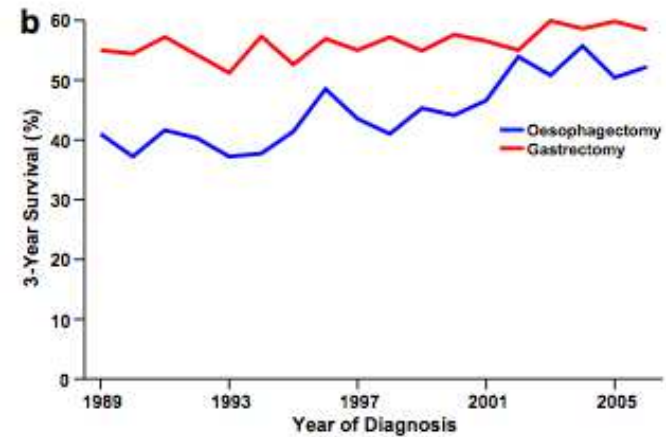
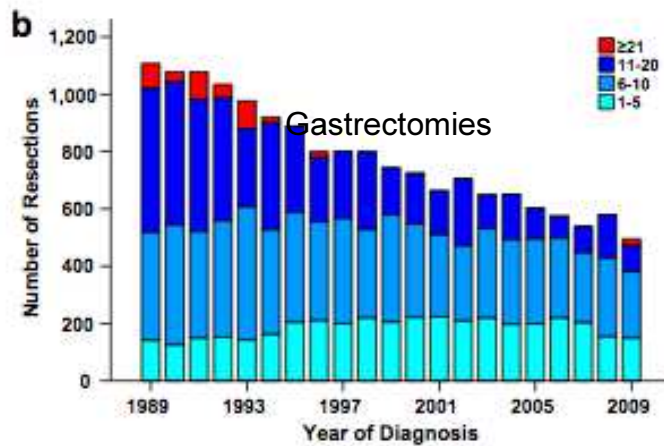
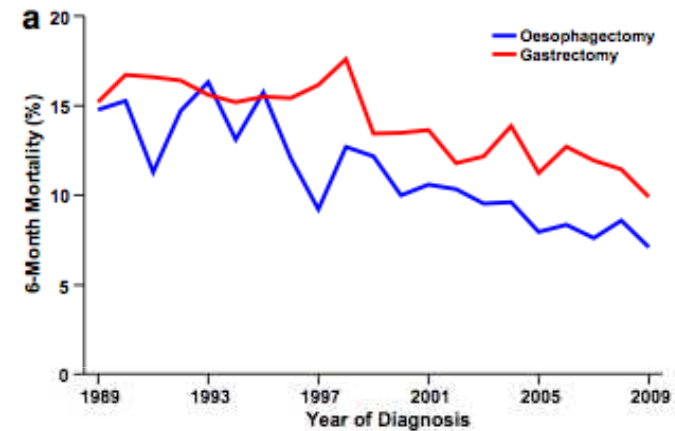
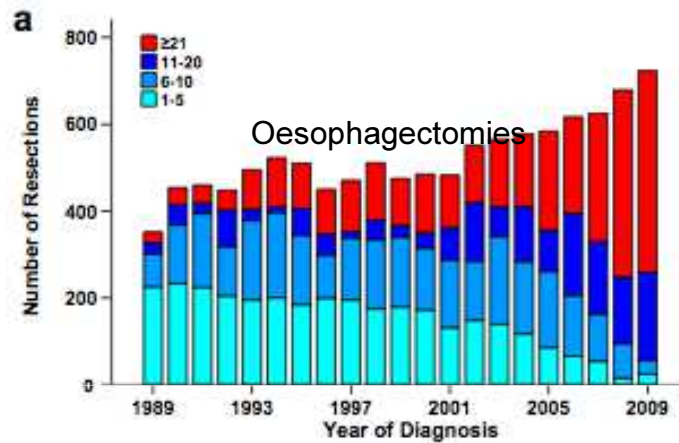


Fig. 4 Postoperative 30-day mortality after a oesophagectomy and b gastrectomy, adjusted for sex, age, and histology, by annual hospital volume (procedures per year). \* $P < 0.050$  versus 1-10 (generalized estimated equations)

# Improving surgical quality

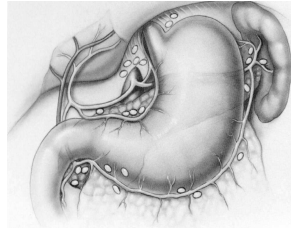
## The effect of centralization

Number of resections per hospital volume category and surgical outcome in The Netherlands

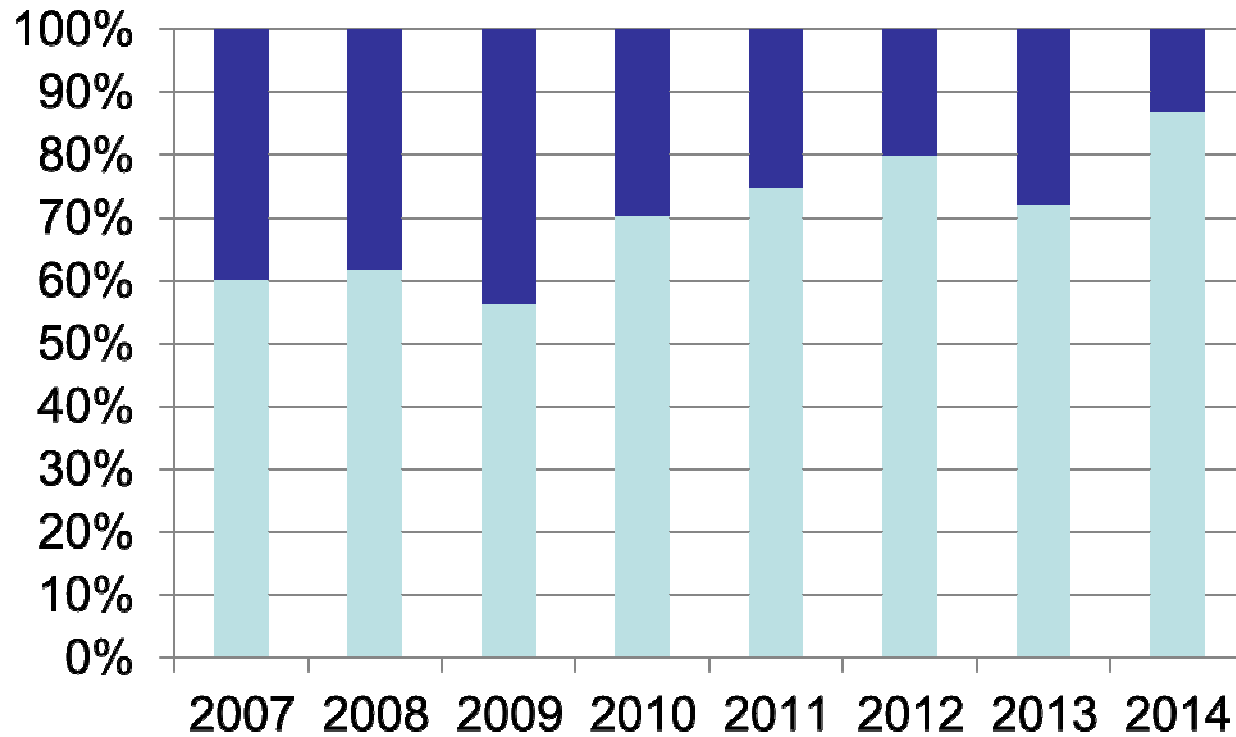


# CRITICS trial

- Number of examined lymph nodes -

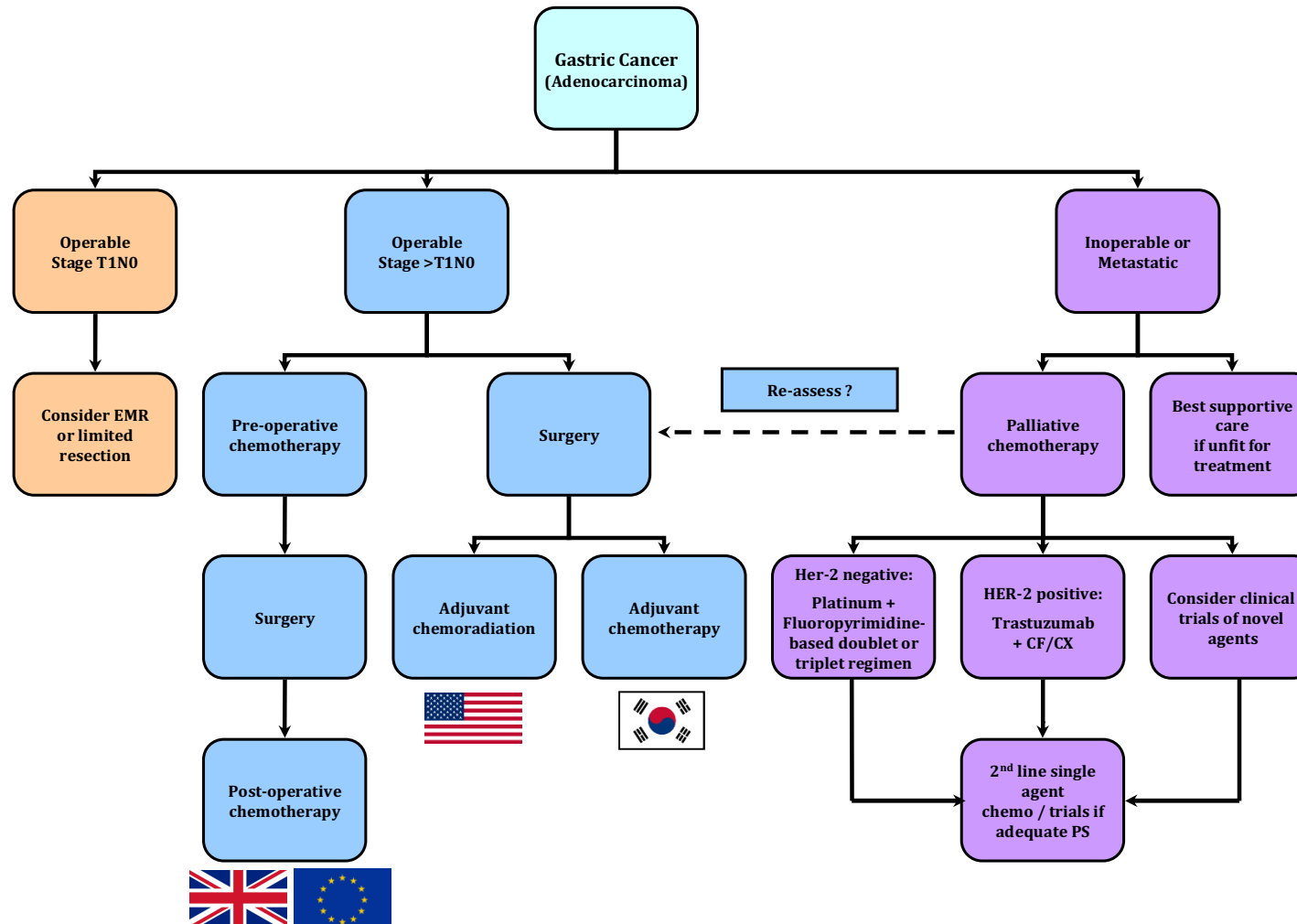


■  $\geq 15$  LN ■  $< 15$  LN



## Gastric cancer<sup>†</sup>: ESMO-ESSO-ESTRO Clinical Practice Guidelines for diagnosis, treatment and follow-up

T. Waddell<sup>1</sup>, M. Verheij<sup>2</sup>, W. Allum<sup>3</sup>, D. Cunningham<sup>4</sup>, A. Cervantes<sup>5</sup> & D. Arnold<sup>6\*</sup>





## Poor patient compliance in post-operative phase

Study	Treatment arm	% Completed
SWOG	S → CRT	64%
MAGIC	CT → S → CT	42%
ARTIST	S → CT	75%
ARTIST	S → CRT	82%
CLASSIC	S → CT	67%
TOPGEAR part 1	CT → S → CT	60%
TOPGEAR part 1	CT → CRT → S → CT	46%
CRITICS	CT → S → CT CT → S → CRT	49%

S=Surgery; CT=ChemoTherapy; CRT=ChemoRadioTherapy

# *Pre-operative chemoradiotherapy is an attractive approach*

## *Advantages*

- Smaller treatment volume by more accurate target definition
- Downstaging/-sizing; higher chance of radical R0 surgery
- Good compliance (CROSS)
- Early indication of treatment sensitivity

## *Disadvantages*

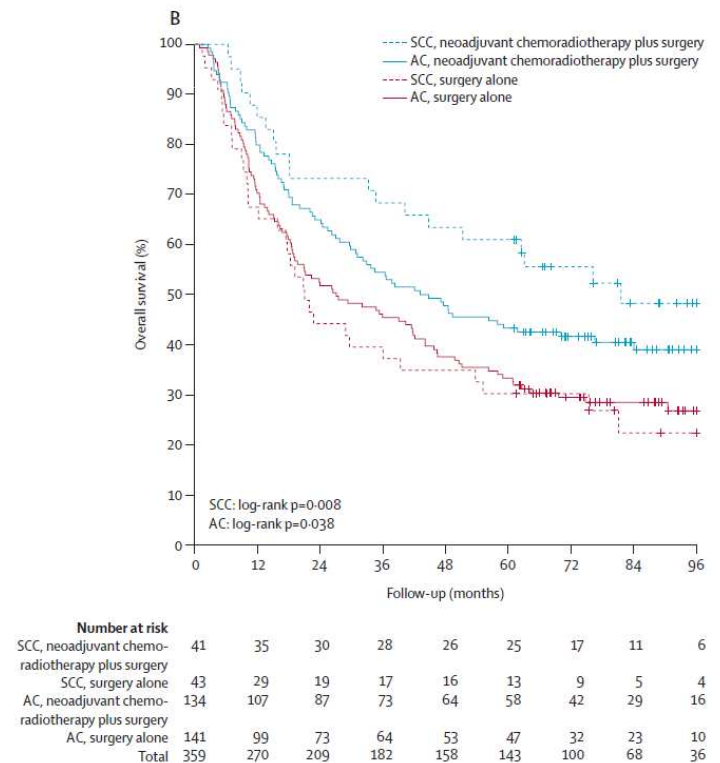
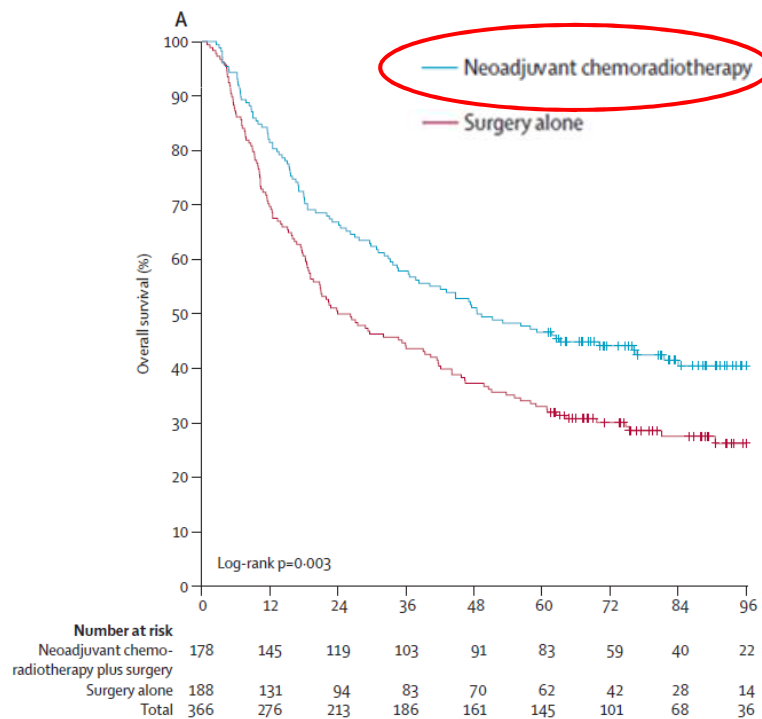
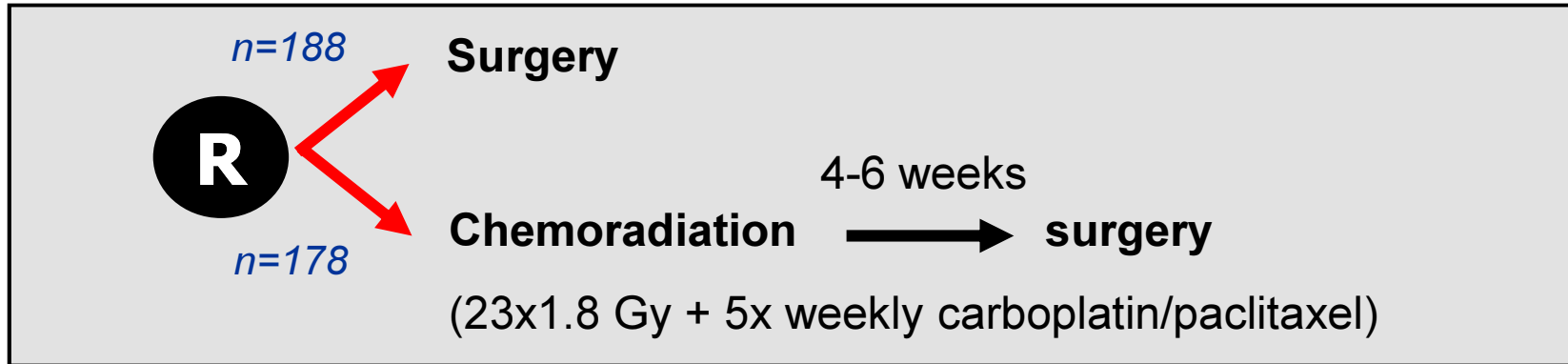
- No information on histology, lymph node status
- Toxicity may delay definitive surgery

# Pre-operative chemoradiotherapy: phase I-II studies

<b>Authors</b>	<b>Patients</b>	<b>RT</b>	<b>Chemotherapy</b>	<b>Surgery</b>	<b>Outcome</b>
Allal et al. IJROBP 2005; Ann Oncol 2003	N=19 T3-4 or N+	Median dose 38.4 Gy (hyperfx)	2 cycles of Cisplatin (100 mg/m <sup>2</sup> ) d1; 5FU (800 mg/m <sup>2</sup> ) d1-4; leucovorin (60 mg bid) d1-4 Second cycle during RT	D2 with (sub) total gastric resection	R0 resection 100% pCR+pPR 47% 2yr OS 71%
Ajani et al. JCO 2004	N=34 T2-3, Nany or T1N1	45 Gy/25 fx	2 cycles of Cisplatin (20 mg/m <sup>2</sup> ) d 1-5; 5FU (200 mg/m <sup>2</sup> ) 21 days; leucovorin (20 mg <sup>2</sup> ) d1, 8, 15 During RT: 5FU (300 mg/m <sup>2</sup> ) dd conti. iv	D2 Median number lymph nodes examined: 16	R0 resection 70% pCR+pPR 54% 2yr OS 54%
Lowy et al. Ann Surg Oncol 2001	N=24 ≥T2 and/or N+	45 Gy/25 fx 10 Gy intra-operative	5FU c.i. (300 mg/m <sup>2</sup> )	83% D2 Rest PD	11% pCR 63% sign treatment effect
Ajani et al. JCO 2005	N=41 T2-3N0-1 T1N1	45 Gy/25 fx	2 induction courses of fluorouracil, paclitaxel and cisplatin; 5FU and paclitaxel concurrent with RT	98% S 78% R0	pCR 20% pPR 15%
Ajani et al. JCO 2006	N=43 assessable [20 institutions] T2-3N0-1 or T1N1	45 Gy/25 fx	2 induction courses with 5FU, leucovorin and cisplatin; fluorouracil and paclitaxel concurrent with RT	50% D2	pCR 26% R0 77% Med surv 23.2 m 1yr surv 72%
Wydanski et al. R&O 2007	N=40 TNM??	45 Gy/25 fx	4 5FU and LV based schedules (1st and last week of RT)	80% S (D2)	R0 94% pCR 17.5% pPR 20% 2yr surv 63%
Saikawa et al. IJROBP 2008	N=29 evaluable	40 Gy/20 fx	S1 (60 mg/m <sup>2</sup> /d) and Cisplatin (6 mg/m <sup>2</sup> /d)	33% S D2; > 10 months	R0: 100% pCR: 4/30 (13.3%) Med surv 25 m
Trip et al. R&O 2014	N=25 II-IV (M0)	45 Gy/25 fx	weekly carboplatin and paclitaxel concurrent with RT	84% D1+	R0: 72% pCR: 16%
<b>Combined</b>	<b>19 - 43 pts</b>	<b>40 - 45 Gy</b>	<b>5FU/cis-/carboplatin/ paclitaxel</b>	<b>D2</b>	<b>R0: 70 - 100%</b> <b>pCR: 11 - 26%</b>

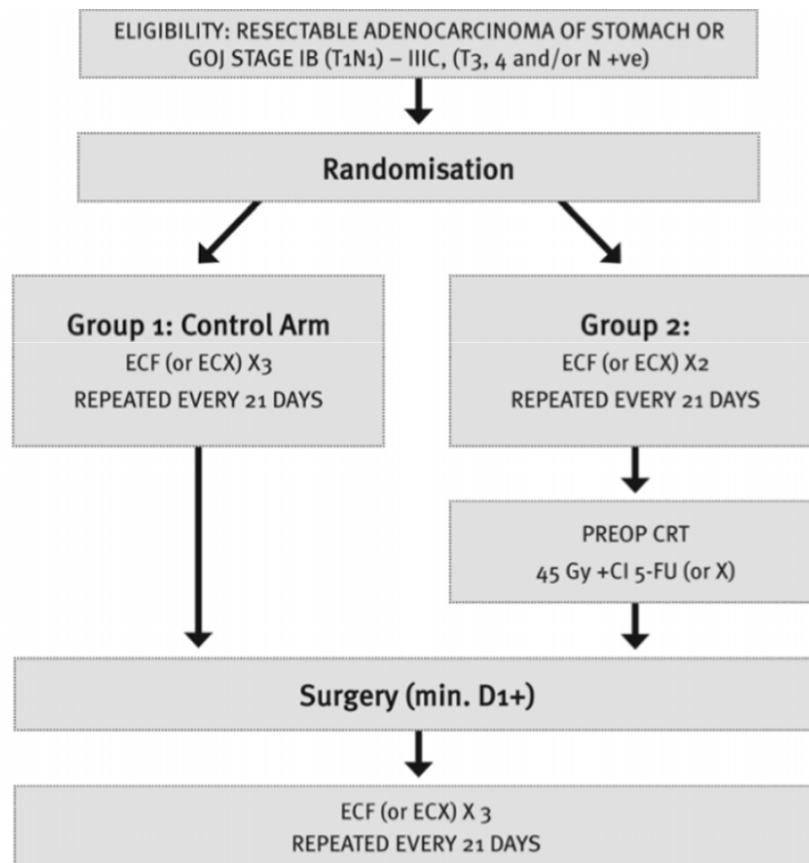
From: Trip et al. Transl Gastrointest Cancer 2015

# Pre-operative chemoradiation improves outcome in esophageal and junctional cancer: the CROSS trial



Shapiro et al. *Lancet Oncol* 2015 (median FU 84.1 months)

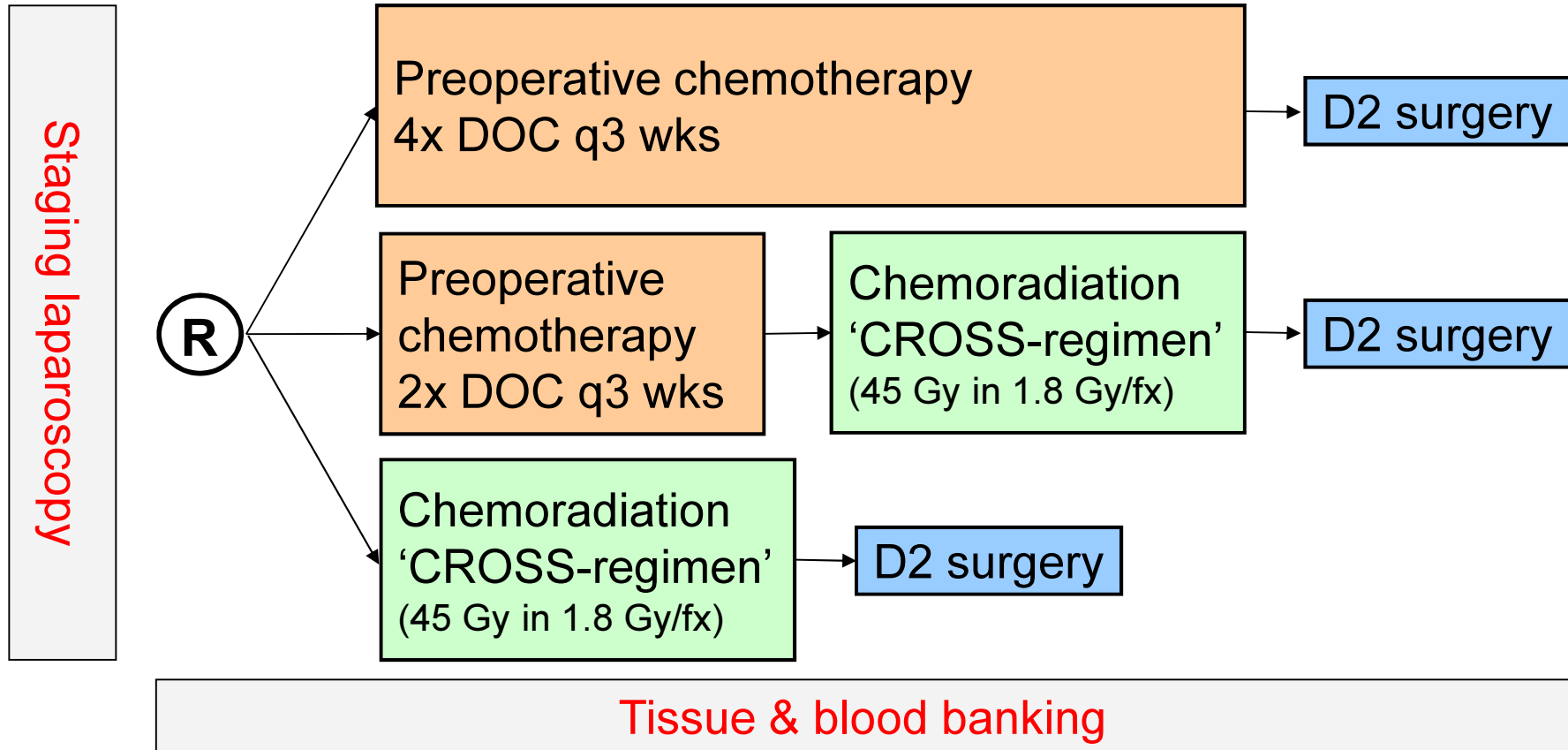
# Pre-operative chemoradiotherapy is feasible and safe: early results from the TOPGEAR study



## PART 1 (n=120):

- Grade  $\geq 3$  anastomotic leakage:  
5.6% vs. 7.8%
- Grade  $\geq 3$  intra-abdominal sepsis:  
7.4% vs. 5.9%

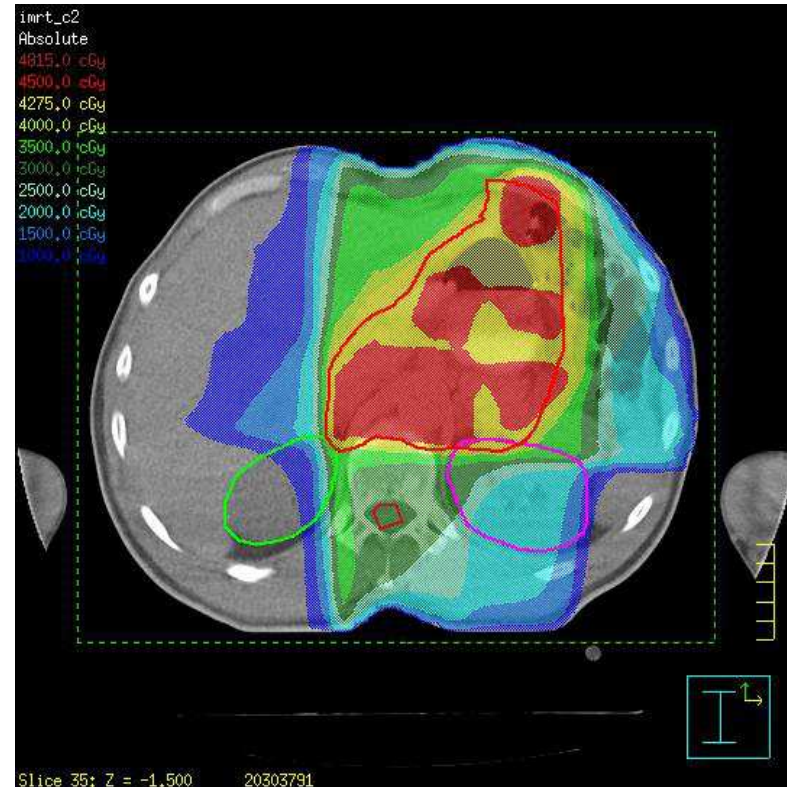
Leong et al. BMC Cancer 2015, ECC Vienna 2015



# Advanced radiation techniques reduce the dose to both kidneys



Conventional AP-PA



IMRT

# Optimal image-guided radiotherapy in gastric cancer: MR-guided radiotherapy

- Intrafraction
  - respiration
  - heart pulsation
  - peristalsis
- Interfraction
  - stomach filling





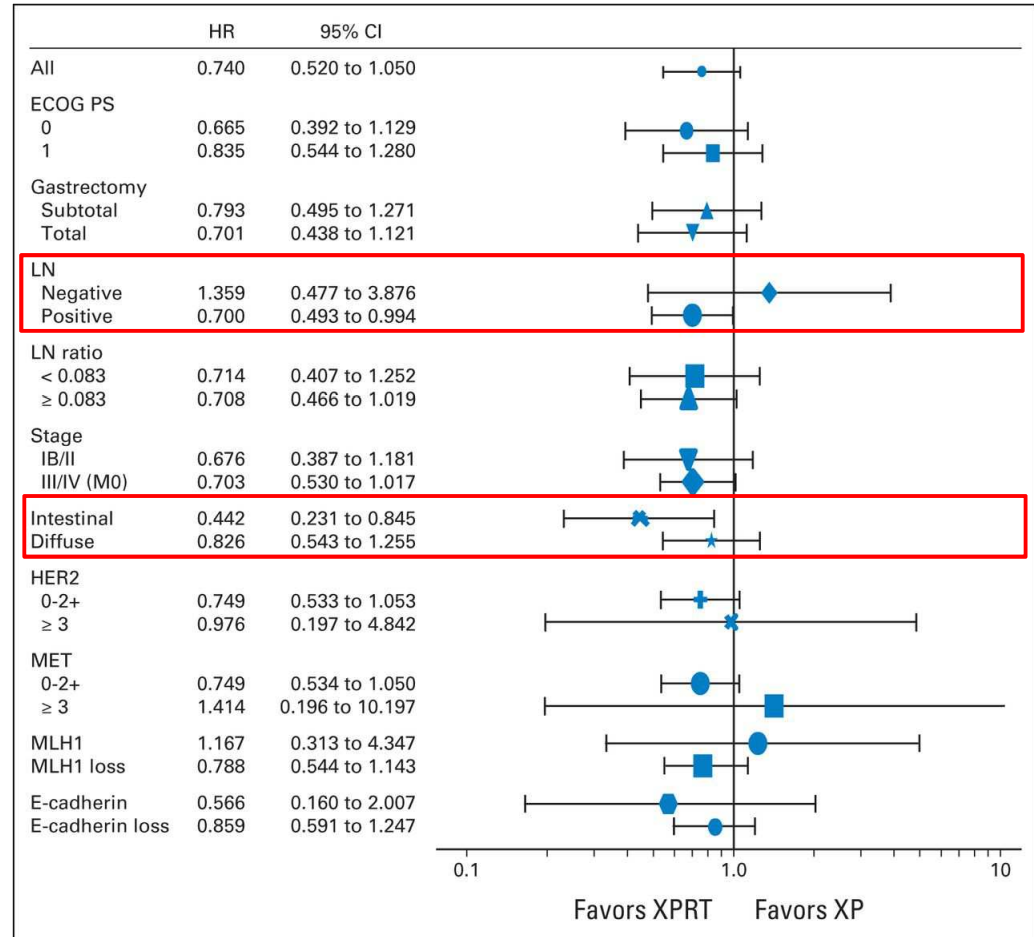
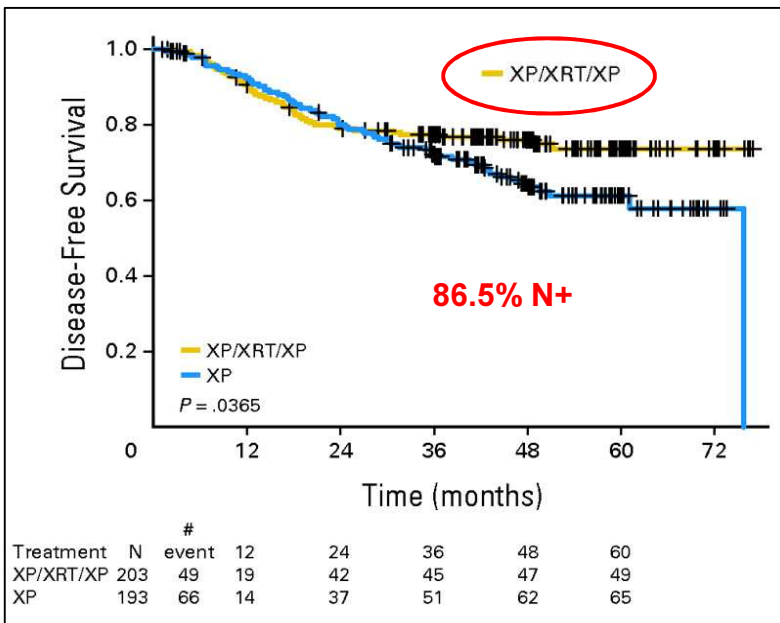
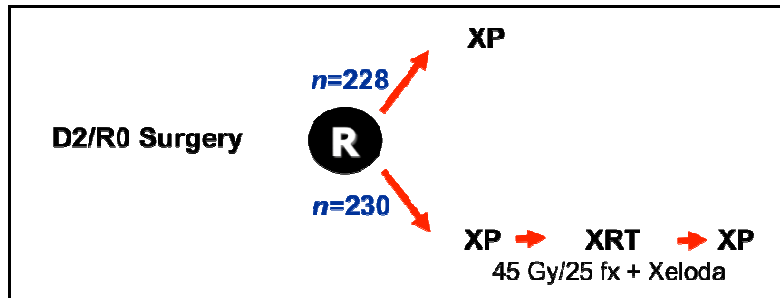
# *Strategies to improve outcome*

Treatment-related: where, when and how?

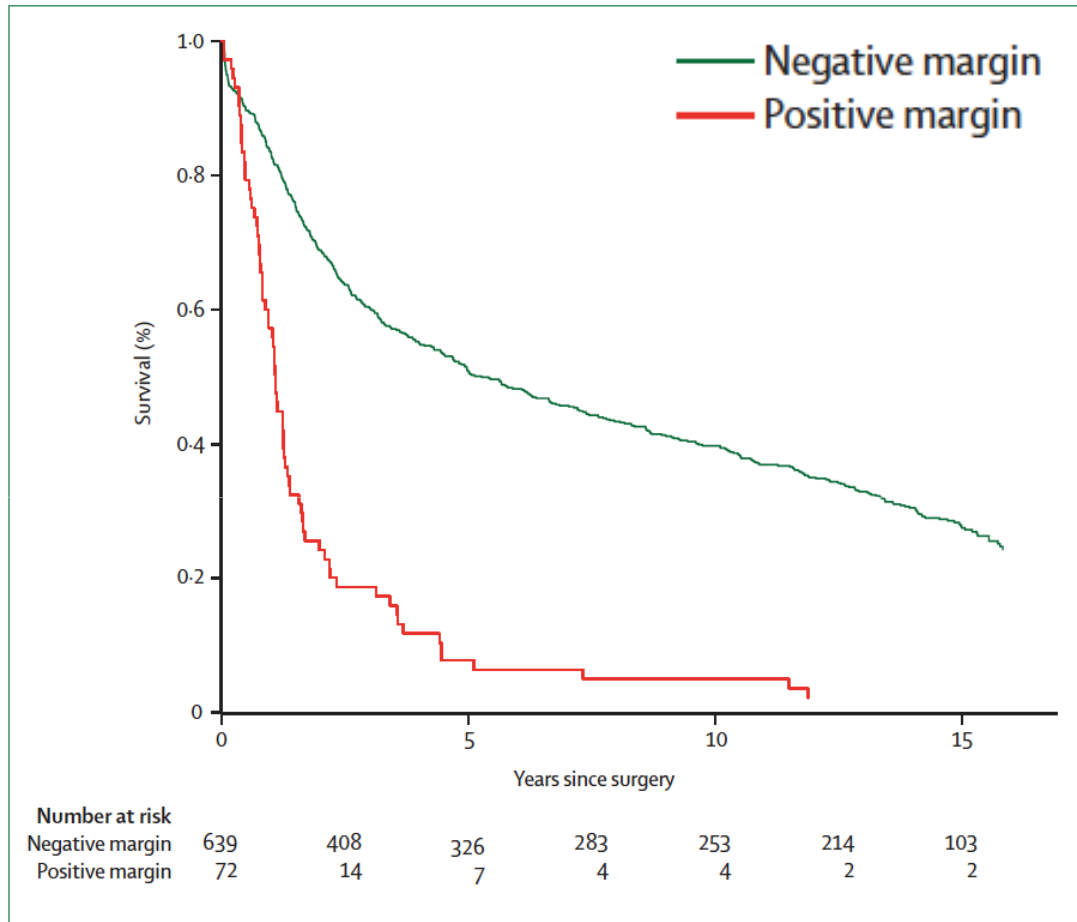
Patient-related: who?

Tumor-related

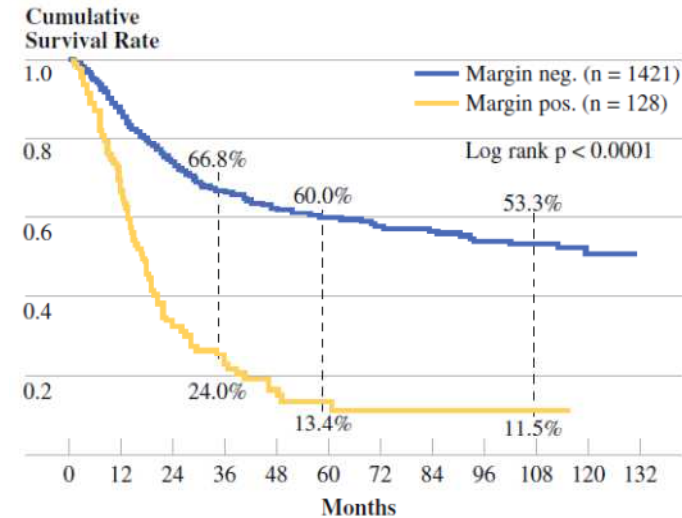
# ARTIST Trial: Post-operative chemoradiotherapy improves DFS in lymph node-positive patients



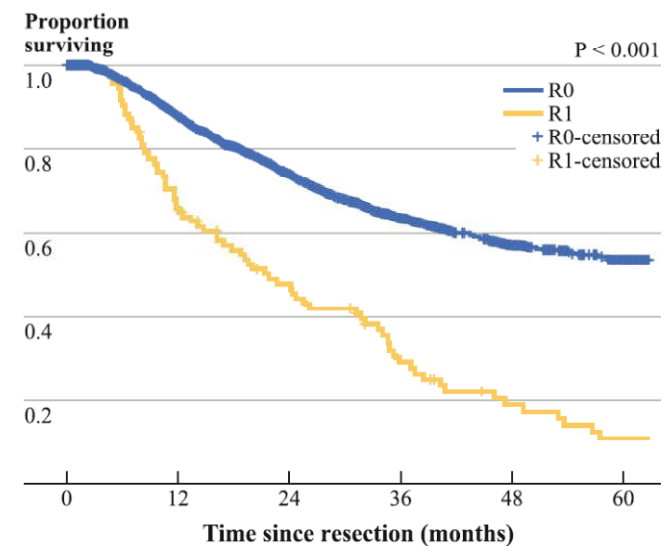
# Impact radicality resection margin on survival



Hartgrink et al. Lancet 2009

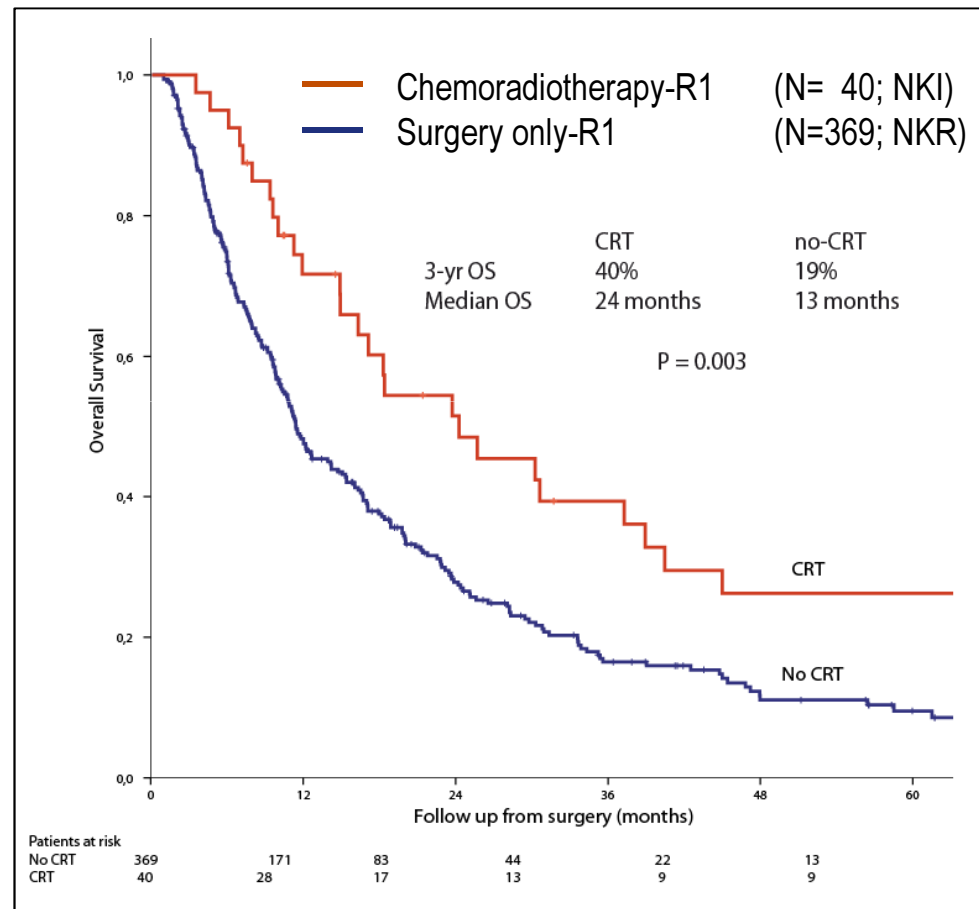


Wang et al. ASO 2009



Bickenbach et al. Ann Surg Oncol 2013

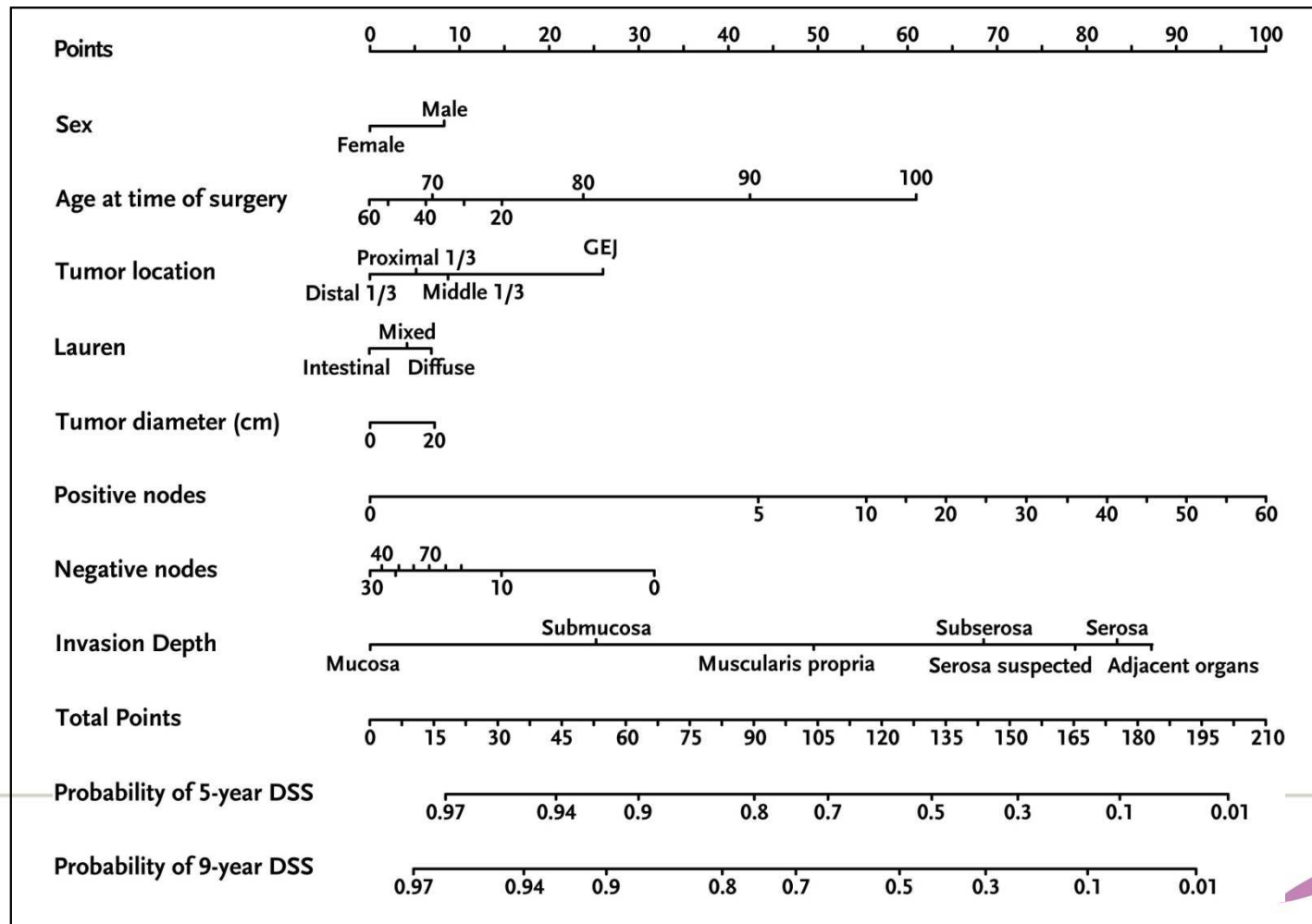
# Post-operative chemoradiotherapy improves overall survival as compared to surgery only following R1 resection



# Performance of a Nomogram Predicting Disease-Specific Survival After an R0 Resection for Gastric Cancer in Patients Receiving Postoperative Chemoradiation Therapy

Johan L. Dikken, MD, PhD,<sup>\*,§</sup> Daniel G. Coit, MD,<sup>\*</sup> Raymond E. Baser, MS,<sup>†</sup>  
 Mithat Gönen, PhD,<sup>†</sup> Karyn A. Goodman, MD,<sup>‡</sup> Murray F. Brennan, MD,<sup>\*</sup>  
 Edwin P.M. Jansen, MD, PhD,<sup>||</sup> Henk Boot, MD, PhD,<sup>†</sup>  
 Cornelis J.H. van de Velde, MD, PhD,<sup>§</sup> Annemieke Cats, MD, PhD,<sup>¶</sup> and  
 Marcel Verheij, MD, PhD<sup>||</sup>

International Journal of  
**Radiation Oncology**  
 biology • physics



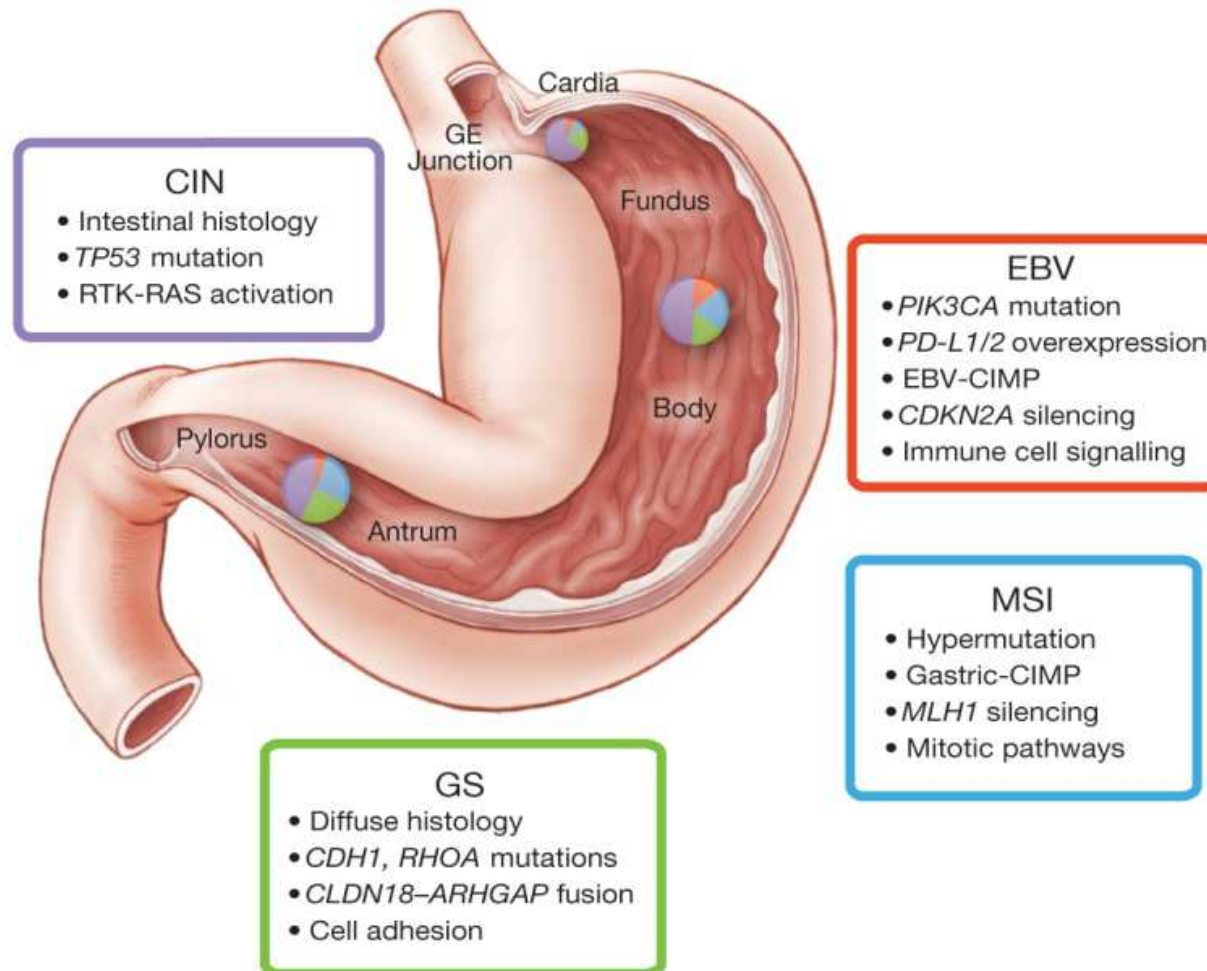
# *Strategies to improve outcome*

Treatment-related: where, when and how?

Patient-related: who?

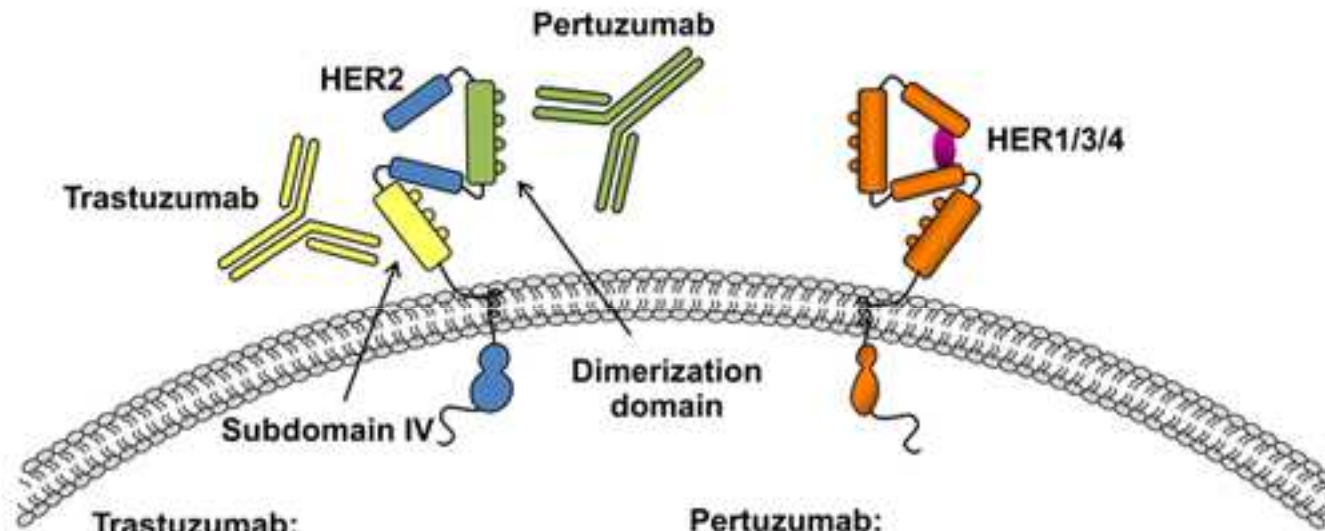
Tumor-related: which?

# Key features of gastric cancer subtypes



# HER2 **positive** primary GC:

## Pertuzumab and Trastuzumab *Complementary Mechanisms of Action*



### Trastuzumab:

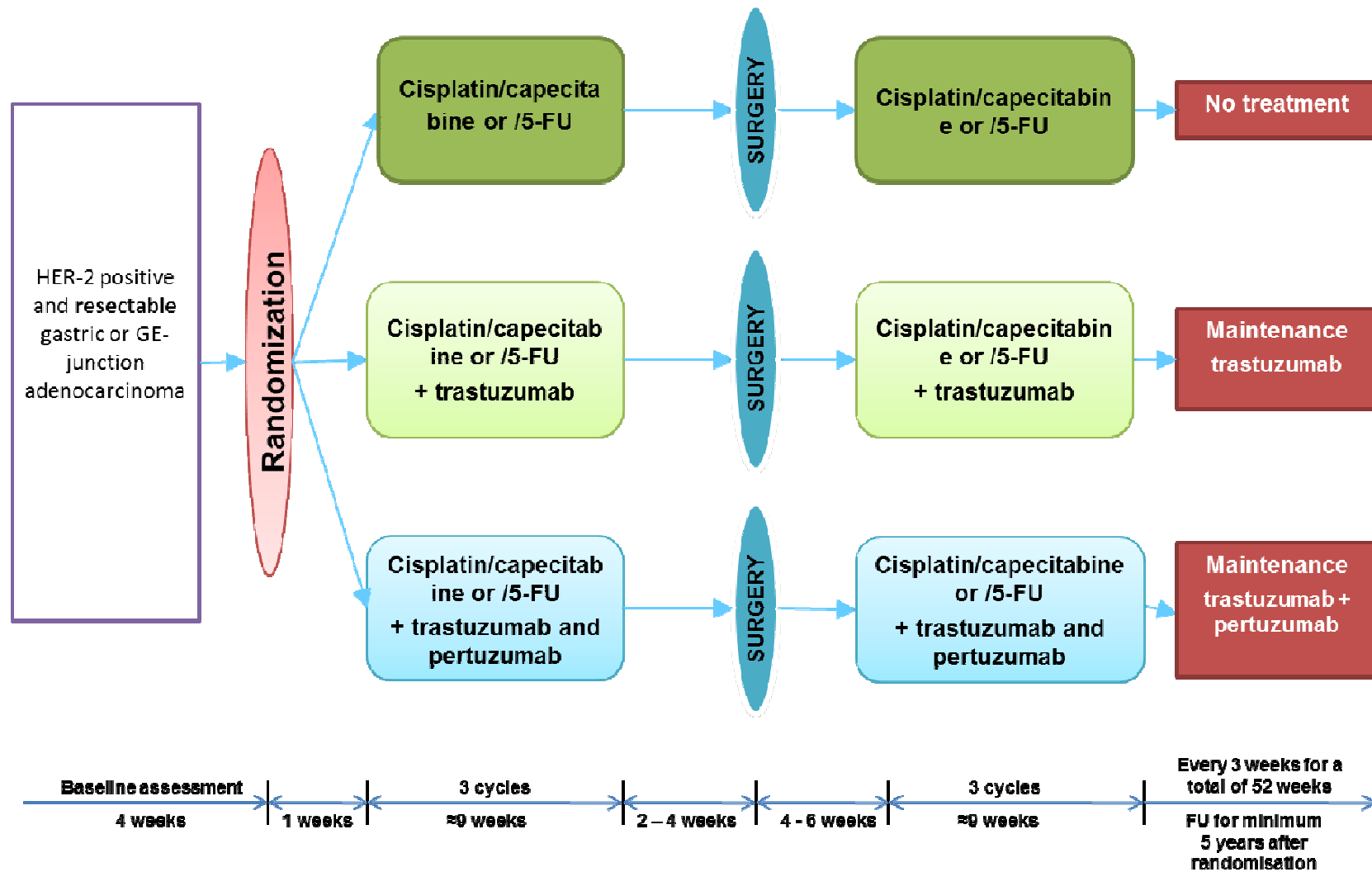
- Inhibits ligand-independent HER2 signaling
- Activates ADCC
- Prevents HER2 ECD shedding

### Pertuzumab:

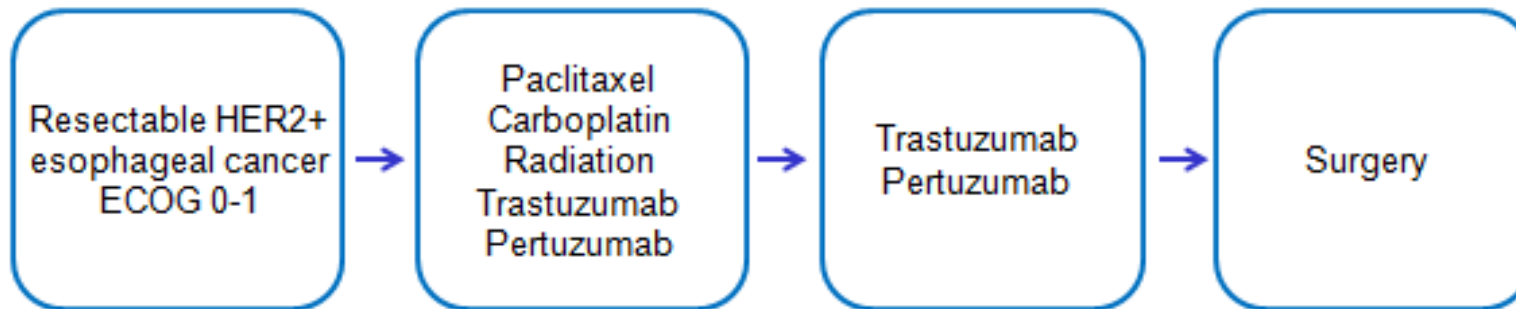
- Inhibits ligand-dependent HER2 dimerization and signaling
- Activates ADCC



# Design INNOVATION trial



# Design TRAP trial



# New perspectives: summary

- Treatment-related: where, when and how?
  - *in specialized high-volume centers*
  - *in pre-operative setting*
  - *by state-of-the-art and innovative techniques*
- Patient-related: who?
  - *specific subgroups*
- Tumor-related: which?
  - *specific subtypes*