



**ESTRO course on IMRT and other conformal techniques  
3-7 April 2016, London – United Kingdom**

**Sunday 03 April**

8.30 – 8.45 Introduction to the course - *Marco Schwarz*

8.45 - Group B: Going to UCLH London

9.30 – 10.00 Demo 1: Plan verification using 2D and 3D methods - *Vasilis Rompokos/ Narinder Lalli*

10.00 – 10.30 Demo 2: Imaging and Positional Verification with 6DOF corrections - *Chris Stacey/Maria Kilkenny*

**10.30 – 11.00 Coffee break**

11.00-11.30 Demo 3: Multimodality Image Registration for Volume Delineation - *Turmi Patel/Peter Lac*

11.30 – 12.00 Demo 4: Immobilisation Strategies for Sarcoma and Paediatric - *David Marsh/Kristina Quingua*

Group A : Lectures at the Hotel  
Chair: *Frank Lohr*

9.15 – 9.30 Opening and welcome - *Dr Yen Chang*

9.30 – 10.00 Cancer and the current status of IMRT and UCLH - *Dr Yen Chang*

**10.00 – 10.30 Coffee break**

10.30 – 10.55 Treatment Image review+ adaptive strategies for H&N and Lung  
RTT perspective - *Syed Moinuddin*

10.55 - 11.20 Treatment Image review+ adaptive strategies for H&N and Lung  
Physics perspective - *Dr Rachel Bodey*

11.20 – 11.50 IMRT for Paediatrics - *Dr Jenny Gains*

11:50 – 12:20 IMRT for Sarcoma - *Dr Franel LeGrange*

**12.30 - 13.30 Lunch**

13.30 - Group A Going to UCLH London

14.00 – 14.30 Demo 1: Plan verification using 2D and 3D methods - *Vasilis Rompokos, Narinder Lalli*

14.30 – 15.00 Demo 2: Imaging and Positional Verification with 6DOF corrections - *Chris Stacey/Maria Kilkenny*

**15.00 – 15.30 Coffee break**

15.30-16.00 Demo 3: Multimodality Image Registration for Volume Delineation - *Turmi Patel/Peter Lac*

16.00 – 16.30 Demo 4: Immobilisation Strategies for Sarcoma and Paediatric - *David Marsh/Kristina Quingua*

Group B - Lectures at the hotel:

Chair: *Matthias Soehn*

14.00 – 14.30 Opening and welcome - *Dr Yen Chang*

14.30 – 15.00 Cancer and the current status of IMRT and UCLH - *Dr Yen Chang*

**15.00 – 15.30 Coffee break**

15.30 – 15.55 Treatment Image review+ adaptive strategies for H&N and Lung  
RTT perspective - *Syed Moinuddin*

15.55 - 16.20 Treatment Image review+ adaptive strategies for H&N and Lung  
Physics perspective - *Dr Rachel Bodey*

16.20 – 16.50 IMRT for Paediatrics - *Dr Jenny Gains*

16:50 – 17:20 IMRT for Sarcoma - *Dr Beatrice Seddon*

**Monday 04 April**

Chair: *Giovanna Gagliardi*

9.00 - 9.30 Rational of IMRT. A clinician's point of view - *Frank Lohr*

9.30 - 10.15 IMRT delivery techniques – *Marco Schwarz*

**10.15 - 10.45 Coffee Break**

10.45 - 11.30 Dosimetry issues in IMRT – *Koen Tournel*

11.30 - 12.00 TPS commissioning – *M. Schwarz*

12.00 – 12.45 Potential and limitations of rotational IMRT – *Koen Tournel*

**12.45 - 14.00 Lunch**

Chair: *Koen Tournel*

14.00 - 14.45 Highly conformal techniques in early stage lung cancer: indications, techniques, normal tissue constraints, results – *Andrea Filippi*

14.45 - 15.30 IMRT in breast and risk of secondary cancer after IMRT – *Frank Lohr*

### 15.30 - 16.00 Coffee break

16.00 - 16.45 Highly conformal techniques in advanced stage lung cancer: indications, techniques, normal tissue constraints, results – *Andrea Filippi*

16.45 – 17.30 IMRT in GI and gynecology - Dr Gemma Eminowicz

## Tuesday 05 April

Chair: *Marco Schwarz*

9.00 - 9.45 IMRT optimization: algorithms and cost functions – *Matthias Soehn*

9.45 – 10.30 Modeling adverse effects after 3DCRT and IMRT– *Eva Onjukka*

### 10.30 - 11.00 Coffee break

11.00 -11.45 Review of Dose-volume relationships I: H&N - *Giovanna Gagliardi*

11.45 - 12.30 IMRT in Head and neck – *Frank Lohr*

### 12.30 - 14.00 Lunch

14.00 - 15.30

#### Group A:

Clinical case discussion 1 (14.00-14.45)

Clinical session 1: Andrea Filippi, Koen Tournel (Room Trinity) - Lymphoma

Clinical session 2: Heather Payne, Matthias Soehn (Room Somerville) - Prostate

Clinical session 3: Frank Lohr, Giovanna Gagliardi ( Room Merton) – H&N

Clinical case discussion 2 (14.50-15.30)

Clinical session 1: Heather Payne, Matthias Soehn (Room Trinity) - Prostate

Clinical session 2: Frank Lohr, Giovanna Gagliardi (Room Somerville) – H&N

Clinical session 3: Andrea Filippi, Koen Tournel ( Room Merton) – Lymphoma

#### Group B: Vendor session (**Room Oxford**)

Chair of the session: *Marco Schwarz*

### 15.30 - 16.00 Coffee break

16.00 – 16.45

#### Group A:

Clinical case discussion 3

**Clinical session 1:** Frank Lohr, Giovanna Gagliardi (**Room Trinity**) - Lymphoma  
**Clinical session 2:** Andrea Filippi, Koen Tournel (**Room Somerville**) - Prostate  
**Clinical session 3:** Heather Payne, Matthias Soehn (**Room Merton**) – H&N

Group B: free

### **Wednesday 06 April**

Chair: *Frank Lohr*

9.00 - 09.45 'Patient specific' QA – *Eva Onjukka*

9.45 - 10.30 Impact of geometrical uncertainties on IMRT dose distributions – *Koen Tournel*

#### **10.30 - 11.00 Coffee break**

11.00 - 11.45 Review of Dose-volume relationships II: Pelvis – *Giovanna Gagliardi*

11.45 – 12.30 IMRT of prostate cancer – *Heather Payne*

#### **12.30-14.00 Lunch**

14.00-15.30

Group B:

Clinical case discussion 1 (14.00-14.45)

Clinical session 1: Andrea Filippi, Koen Tournel (**Room Trinity**) - Lymphoma

Clinical session 2: Heather Payne, Matthias Soehn (**Room Somerville**) - Prostate

Clinical session 3: Frank Lohr, Giovanna Gagliardi (**Room Merton**) – H&N

Clinical case discussion 2 (14.50-15.30)

Clinical session 1: Heather Payne, Matthias Soehn (**Room Trinity**) - Prostate

Clinical session 2: Frank Lohr, Giovanna Gagliardi (**Room Somerville**) – H&N

Clinical session 3: Andrea Filippi, Koen Tournel (**Room Merton**) – Lymphoma

Group A: Vendor session (**Room Oxford**)

Chair of the session: *Marco Schwarz*

#### **15.30-16.00 Coffee break**

16.00 – 16.45

Group B:

Clinical case discussion 3

Clinical session 1: Frank Lohr, Giovanna Gagliardi (**Room Trinity**) – H&N

Clinical session 2: Andrea Filippi, Koen Tournel (**Room Somerville**) - Lymphoma

Clinical session 3: Heather Payne, Matthias Soehn (**Room Merton**) – Prostate

Group A: free

### **Thursday 07 April**

Chair: *Andrea Filippi*

9.00 - 9.45 Practical IMRT planning and 'biological optimization' – *Marco Schwarz*

9.45 – 10.30 Dose calculations in static and rotational IMRT - *Matthias Soehn*

**10.30-11.00 Coffee break**

11.00 - 11.45 Image-guidance & Adaptive: concept and approaches – *Matthias Soehn*

11.45 - 12.30 Image-guidance & Adaptive: Clinical applications – *Frank Lohr*

12.30 -13.00 Final discussion and closing of the course



# Cancer, IMRT and UCLH

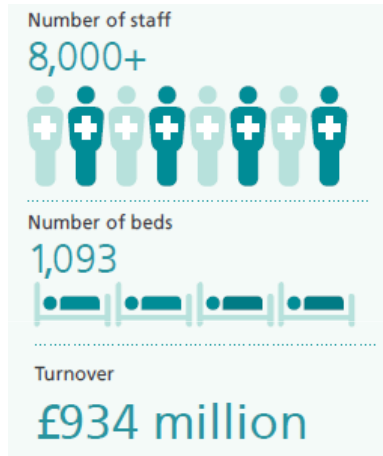
Dr Yen-Ching Chang

Consultant in Clinical Oncology

Clinical Lead for Radiotherapy

# University College London Hospitals NHS Foundation Trust

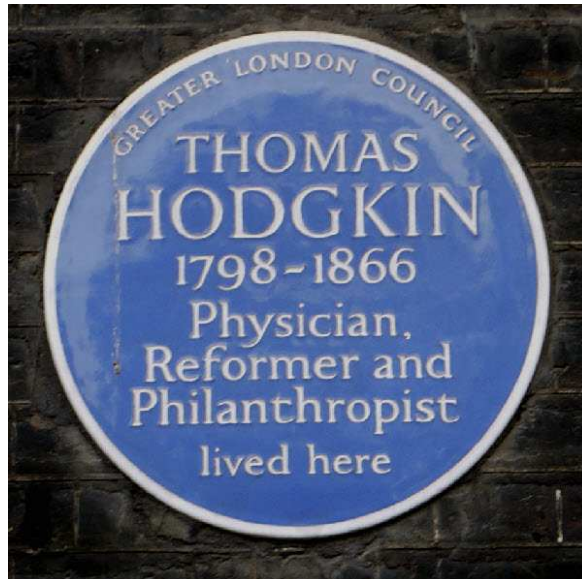
University College Hospital site	
	University College Hospital
	Macmillan Cancer Centre
	Elizabeth Garrett Anderson Wing (maternity)
	Hospital for Tropical Diseases
	Institute of Sport, Exercise and Health
	University College Hospital at Westmoreland Street
	Royal National Throat, Nose and Ear Hospital
	Royal London Hospital for Integrated Medicine
	National Hospital for Neurology and Neurosurgery
	Eastman Dental Hospital



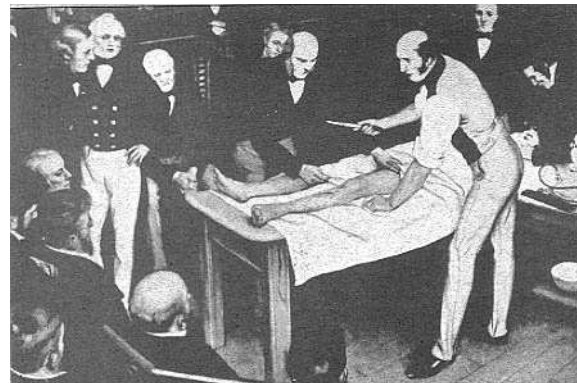
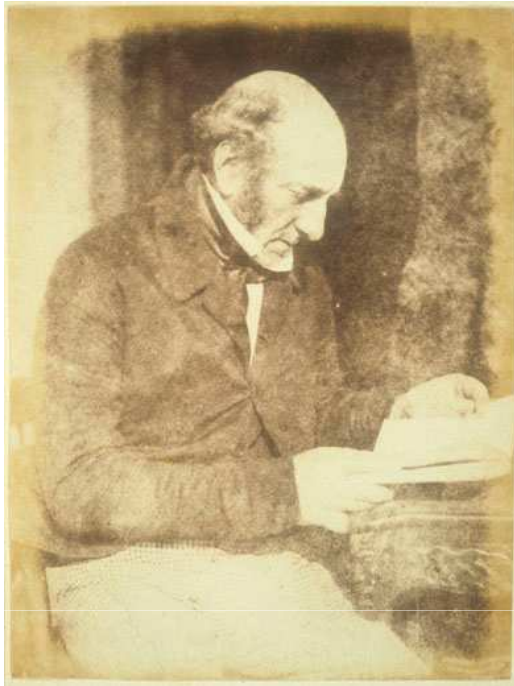
# University College Hospital







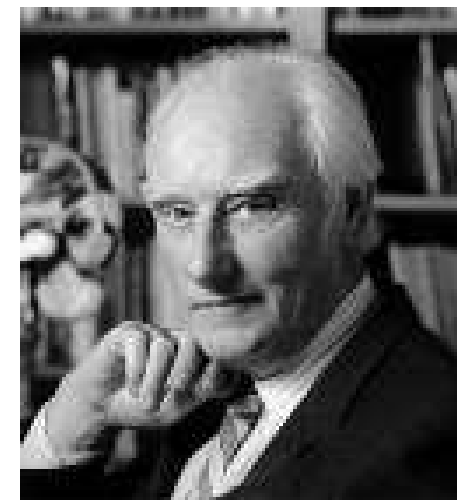
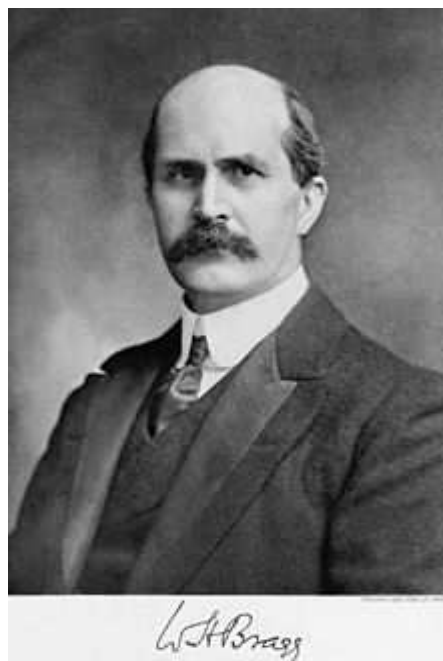
# Robert Liston



# Cancer at UCLH



# University College London

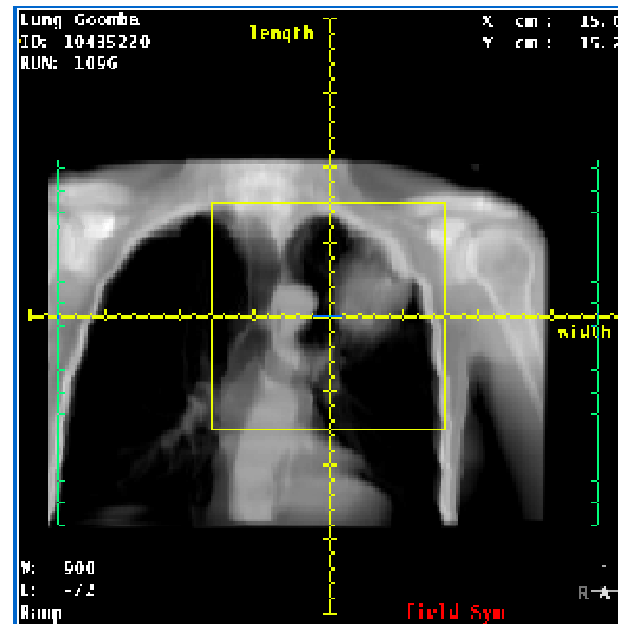


# Cancer at UCLH

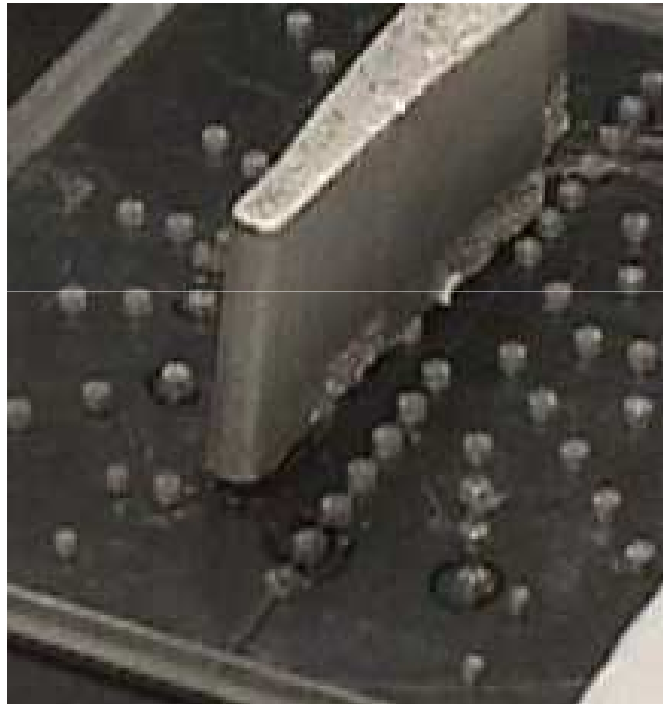
2005  
Inpatients  
and  
Radiotherapy



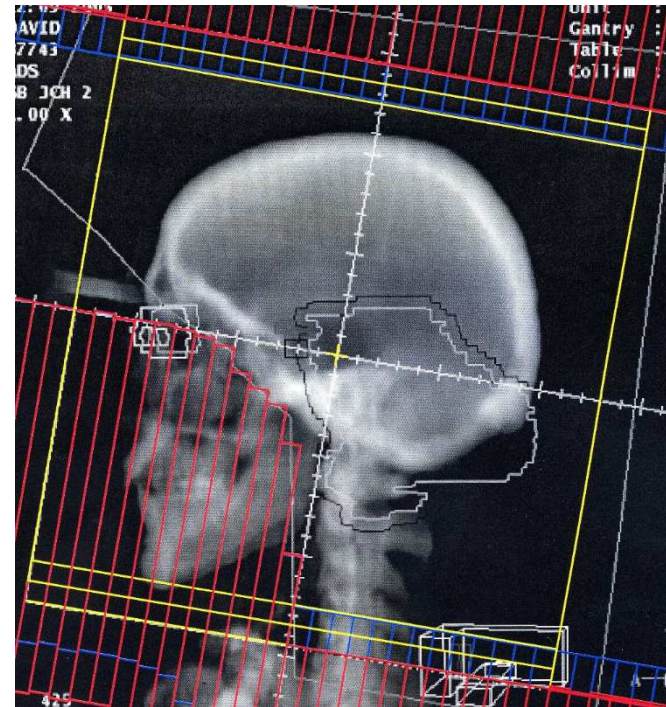
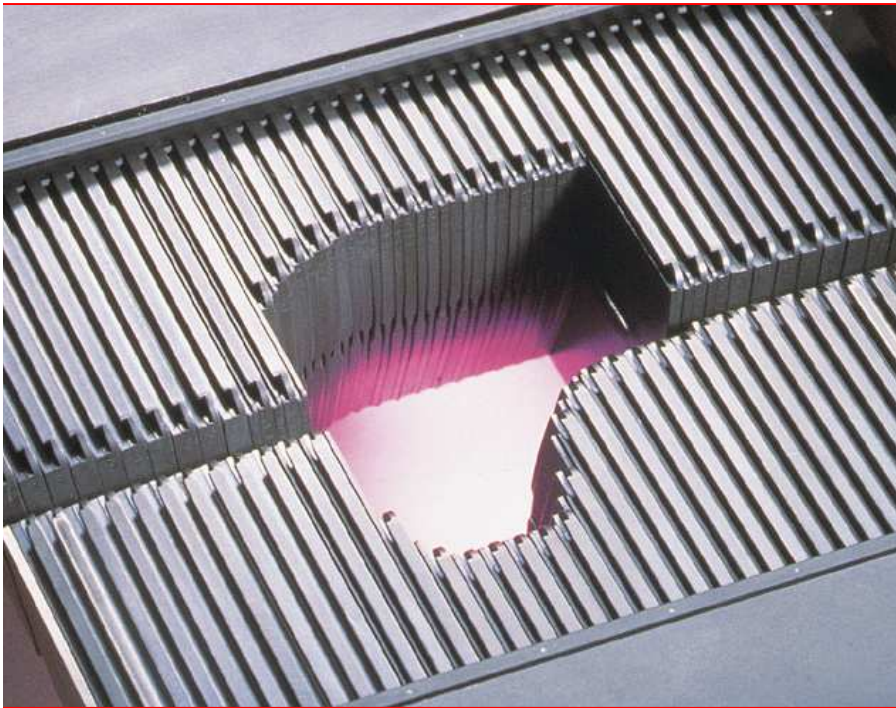
# Conventional simulation



# Lead Blocks

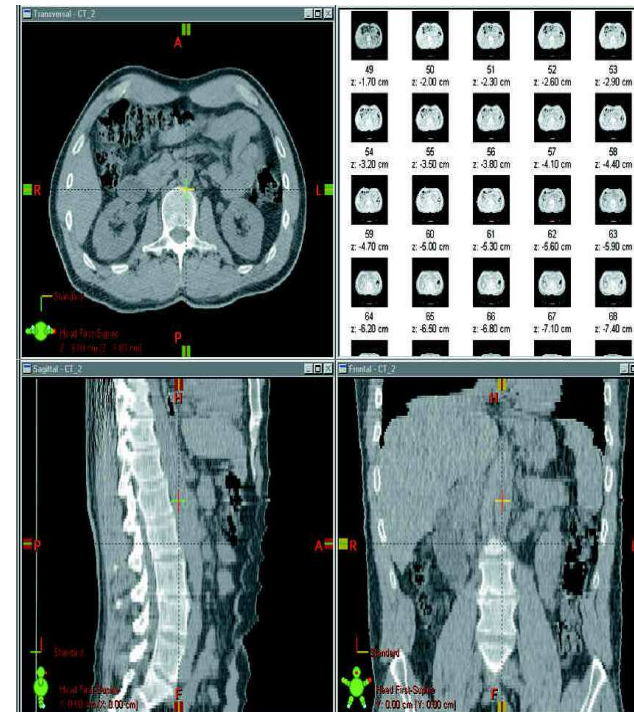


# MLC

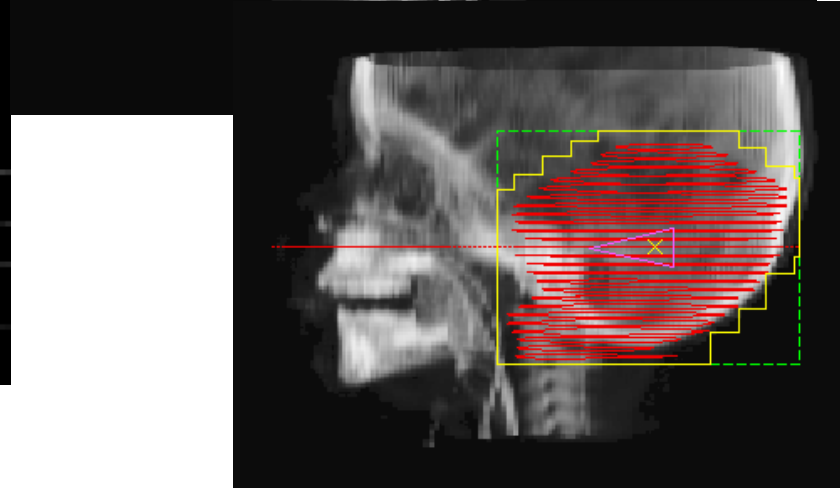
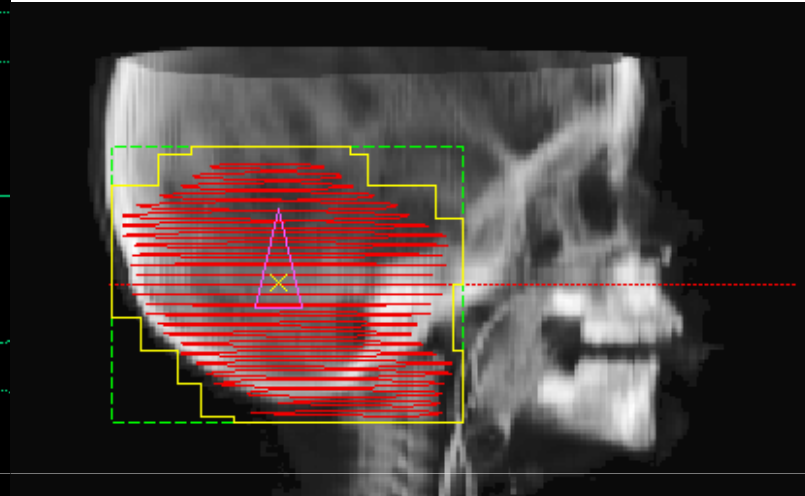
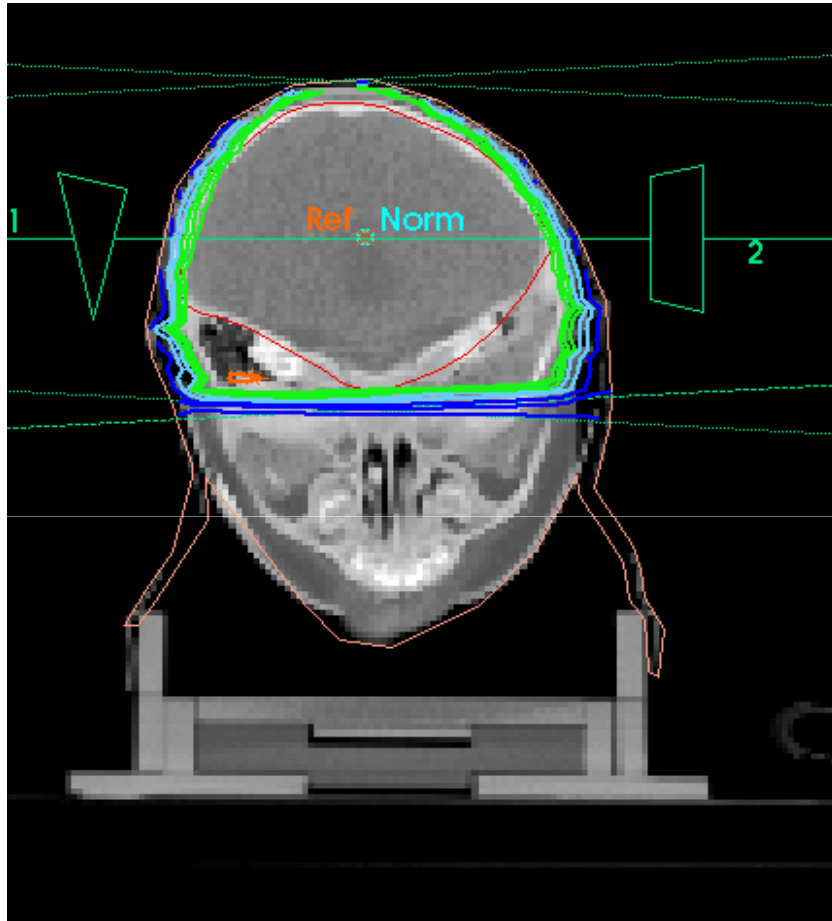




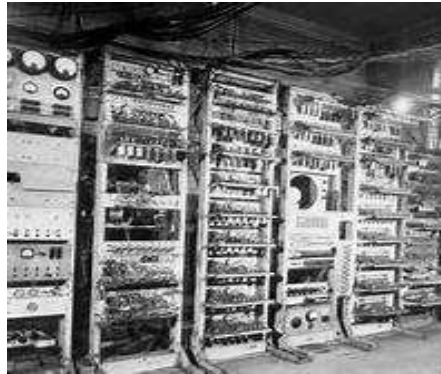
# Computerised Tomography



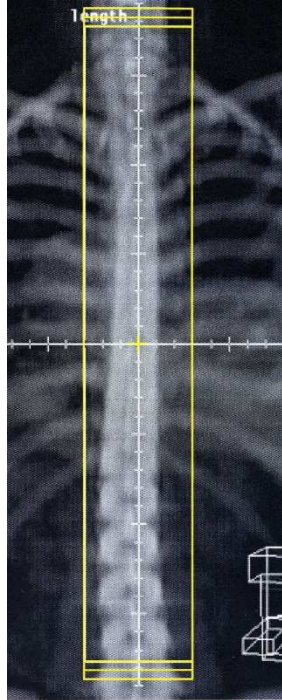
# Conventional Planning



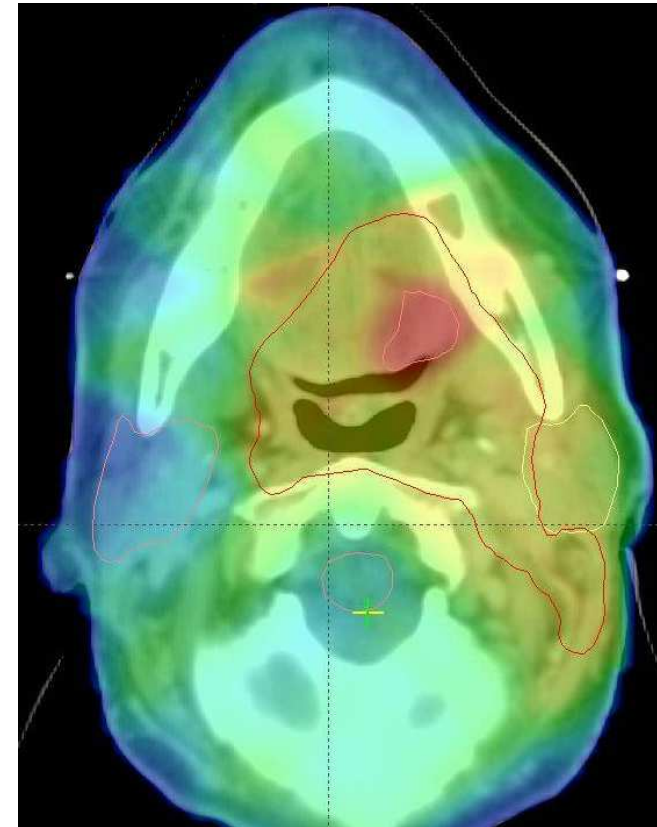
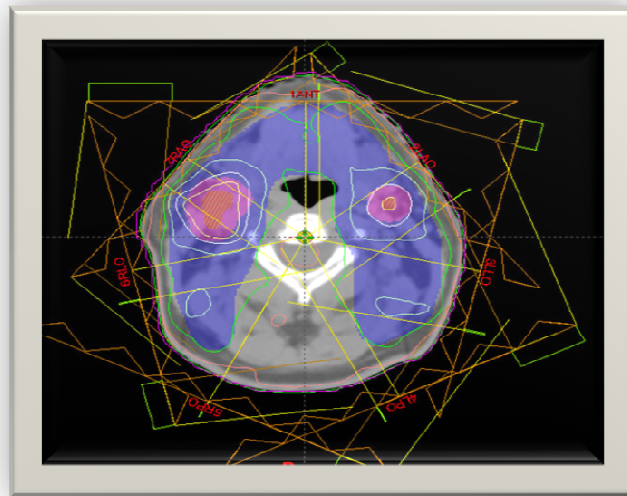
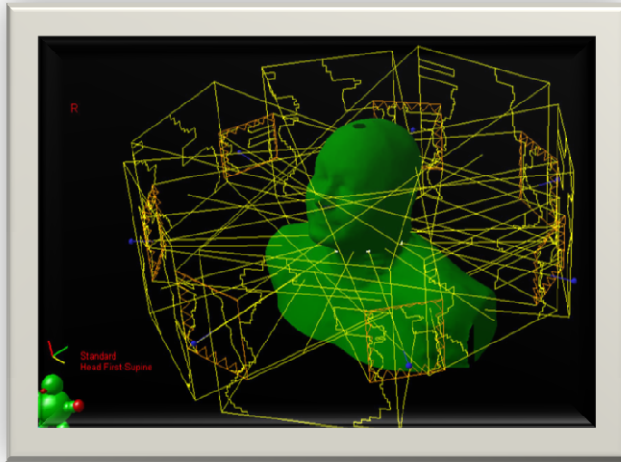
# Computer Revolution



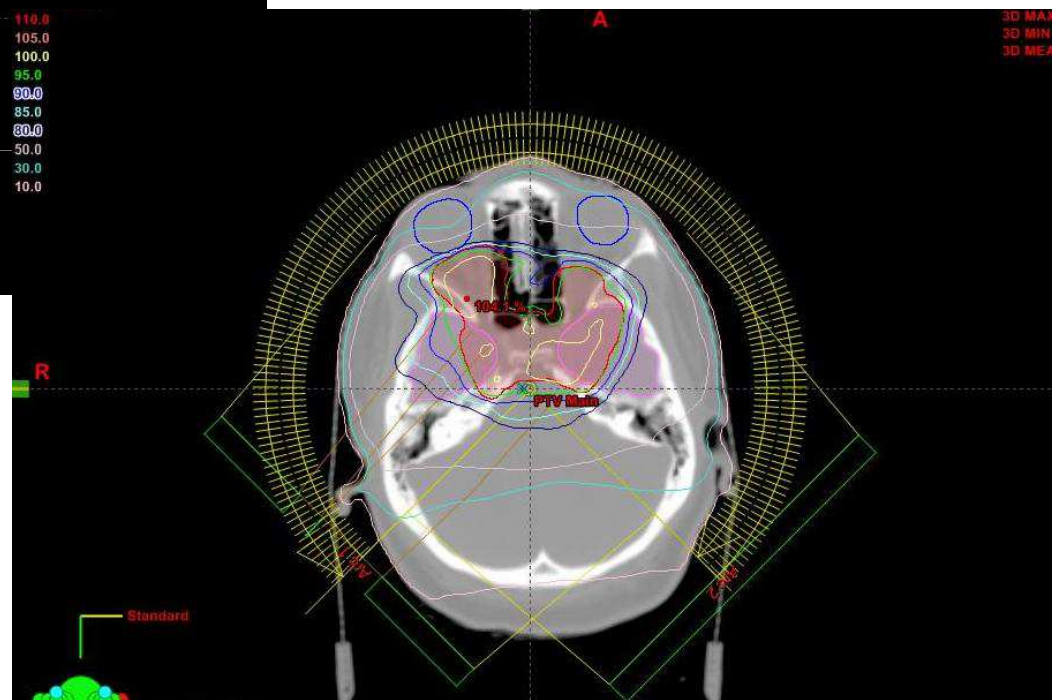
# Field in Field Techniques



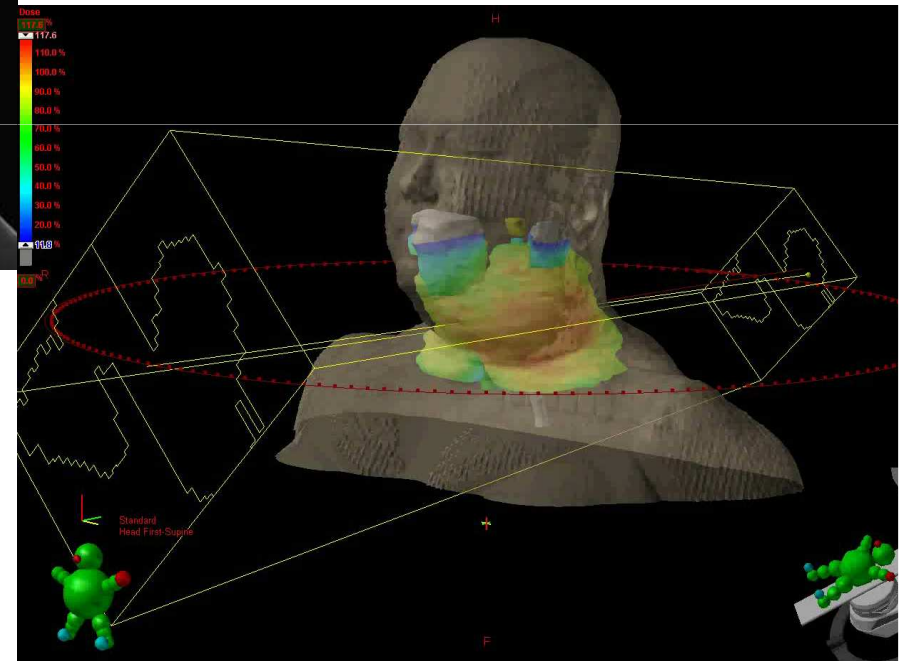
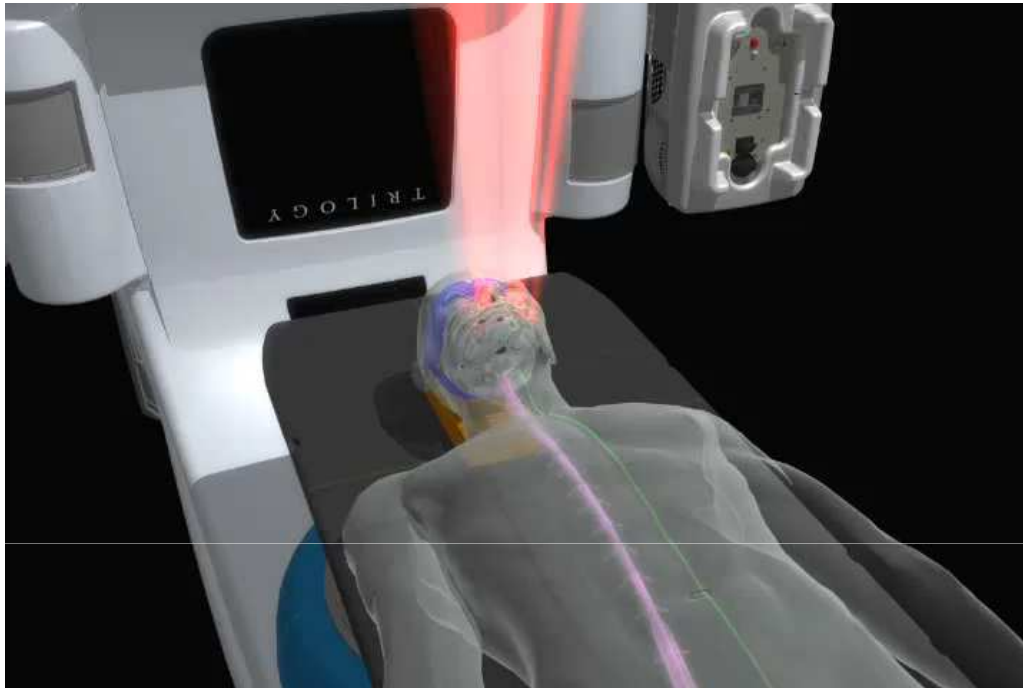
# Fixed field IMRT



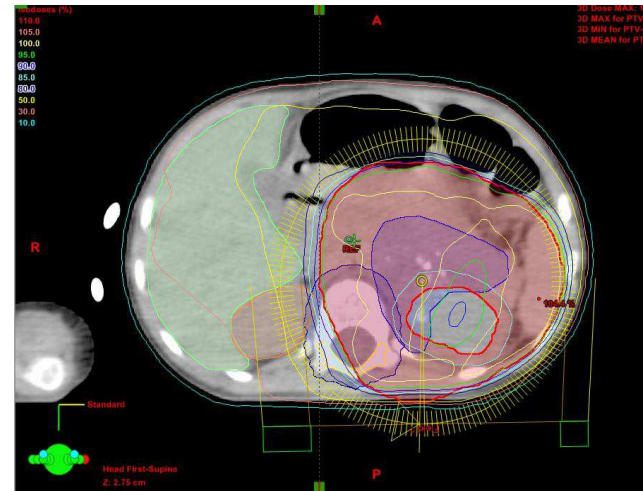
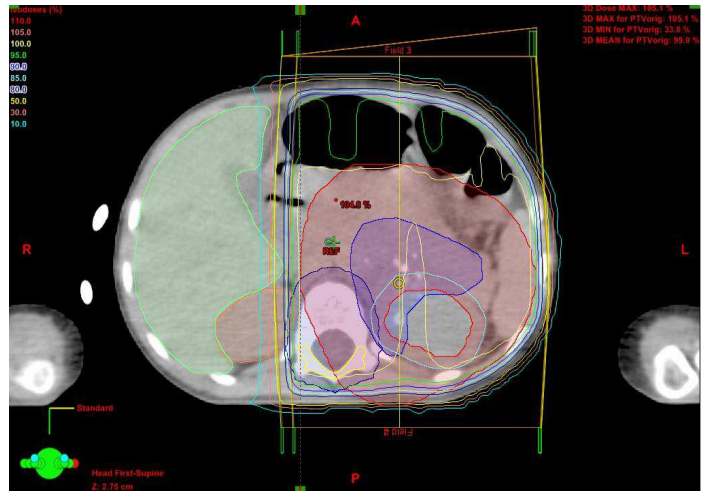
# IMRT



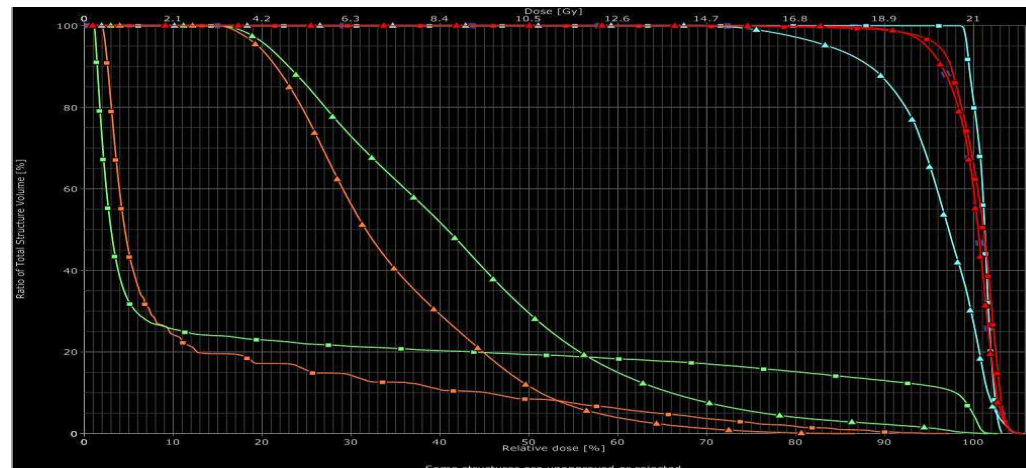
# Arc Therapy



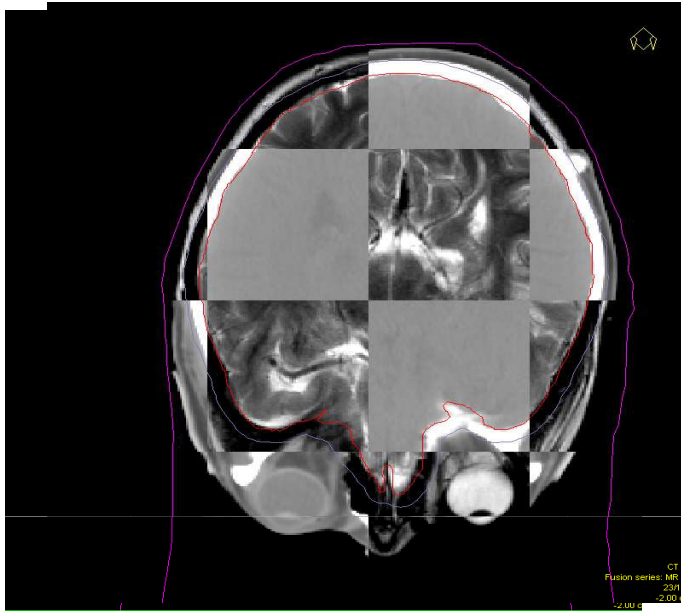
# Plan comparison – Conventional versus RapidArc



PTV=red  
 Liver=green  
 L Kidney=blue  
 R Kidney=orange



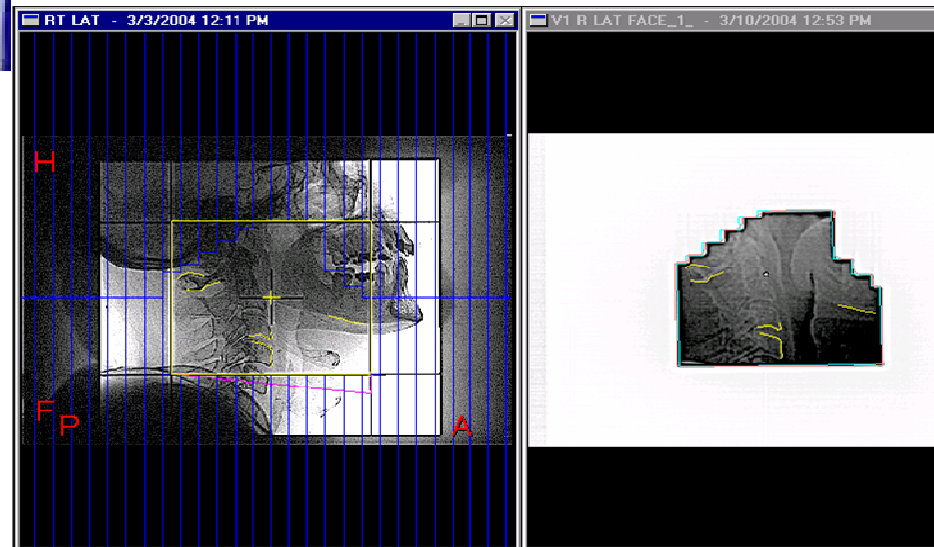
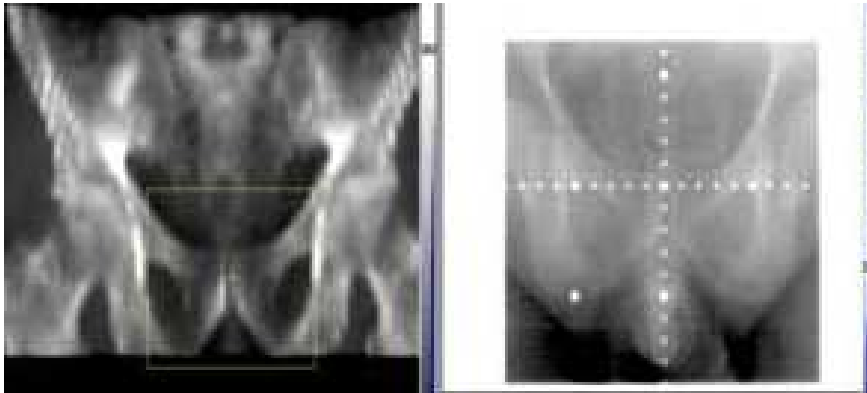




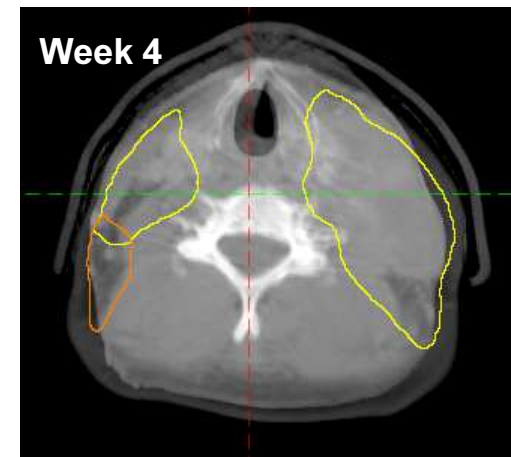
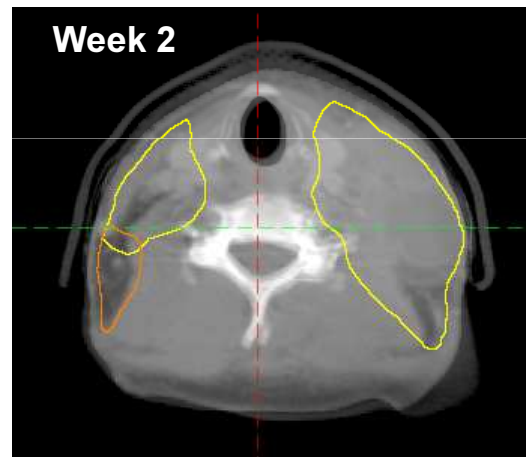
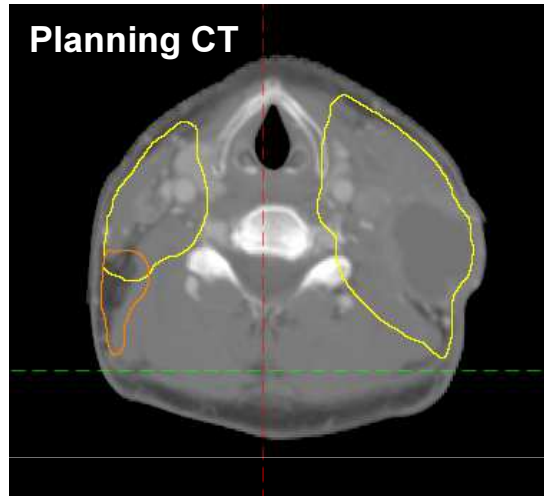
CT PET  
Upper GI  
Lung  
Rectum



# Treatment verification

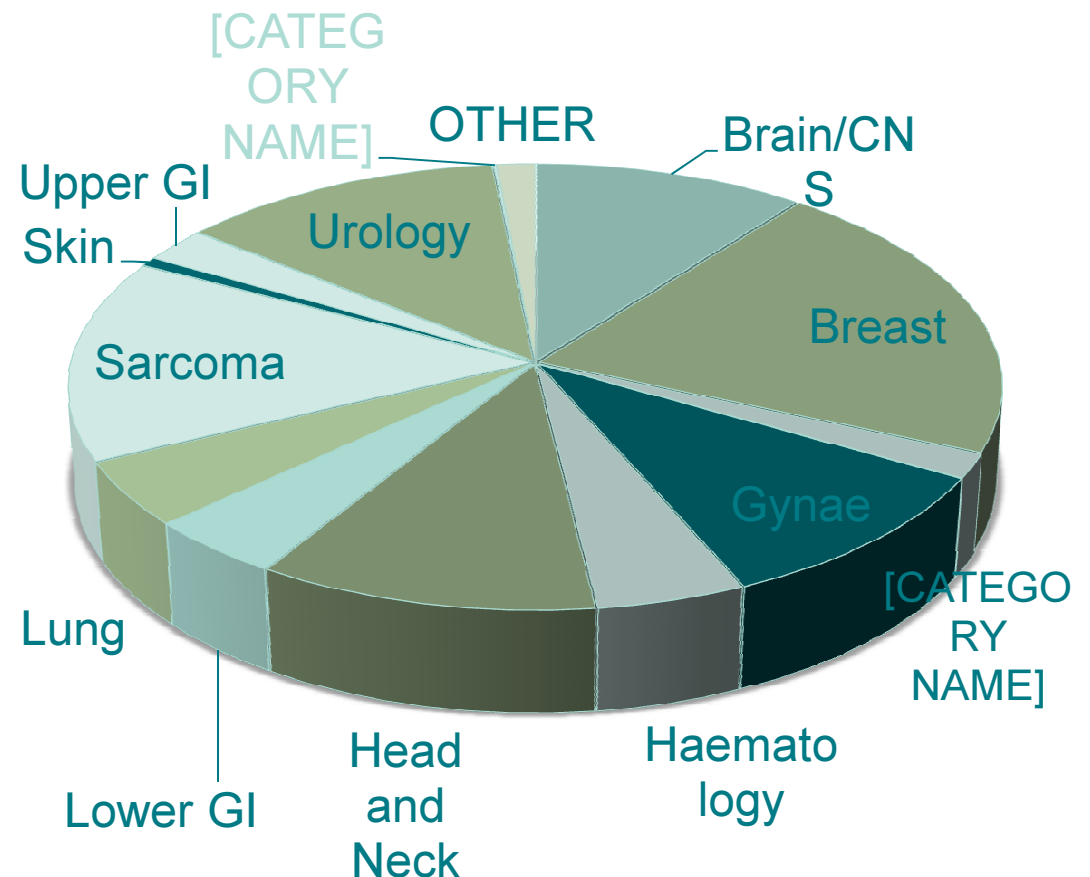


# Cone Beam CT



# Radiotherapy at UCLH

- **Radiotherapy:** UCLH -a national leader in complex and highly-technical RT 55% IMRT/VMAT
- **Brain/whole CNS cancers:** NHNN is the largest neurosurgical centre in Europe
- **Paediatric cancers:** The UCLH/GOS centre = 3rd largest paediatric centre in the world
- **Sarcomas:** UCLH/RNOH provide one of Europe's largest sarcoma services
- **Head & Neck cancers:** UCLH leads in use of IMRT for head & neck - third largest caseload of any UK centre
- **Proton Beam Therapy:** UCLH has been designated by DH to be one of the first two PBT centres for UK National Service. UCLPartners already sees 1 in 6 of all patients in England eligible for PBT, and 1 in 4 of all eligible children



# Radiotherapy at UCLH – Treatment Planning

- 1 dedicated GE CT Sim
- Eclipse
  - IMRT/RapidArc
- Oncentra Masterplan Workstations
  - External Beam Planning
  - Brachytherapy Planning
- ARIA Oncology Management system<sup>24</sup>

# Radiotherapy at UCLH – Treatment Equipment

- 1x TrueBeam STx Linac
- 4x matched Varian Linacs
  - 120 Millennium MLC
  - 3x On Board Imaging
  - 3x RapidArc
  - Respiratory Gating
- Brachytherapy
  - MicroSelectron HDR Unit
  - Gynae; Prostate; Head and Neck;  
Oesophagus/Bronchus;  
Paeds and Adult Sarcoma

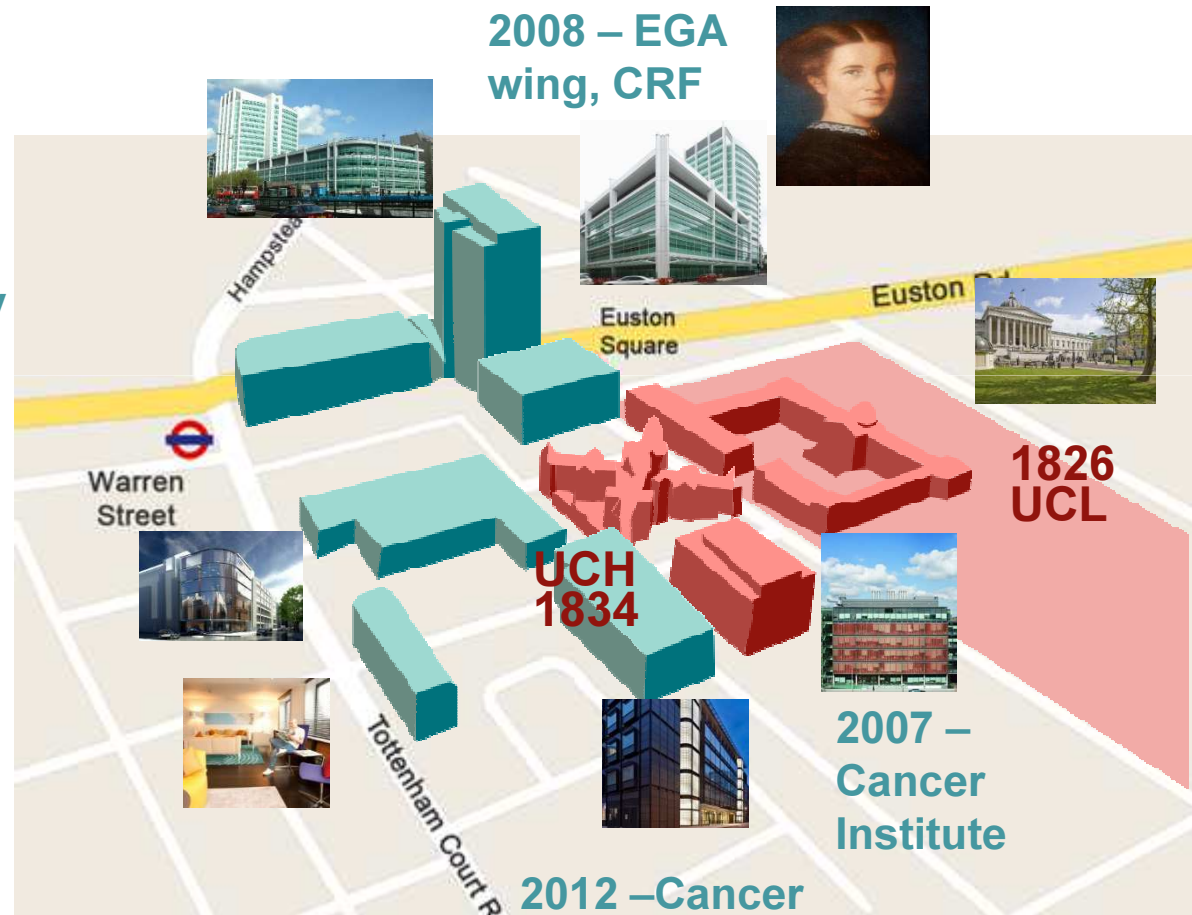


- Radionuclide Therapy
- Gamma Knife at NHNN

# Cancer at UCLH

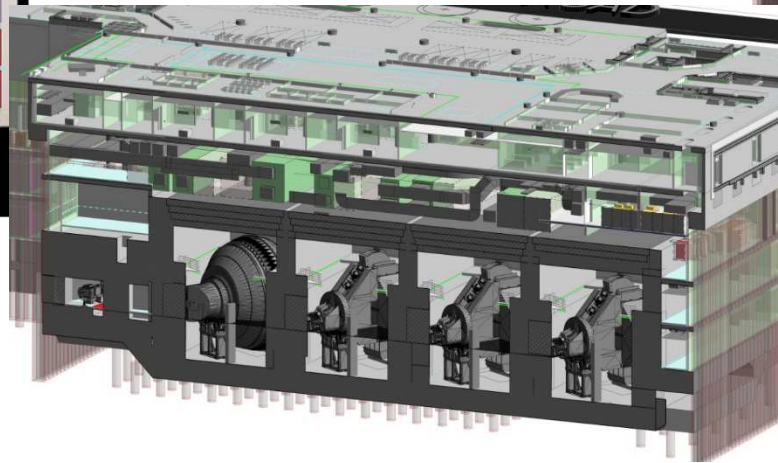
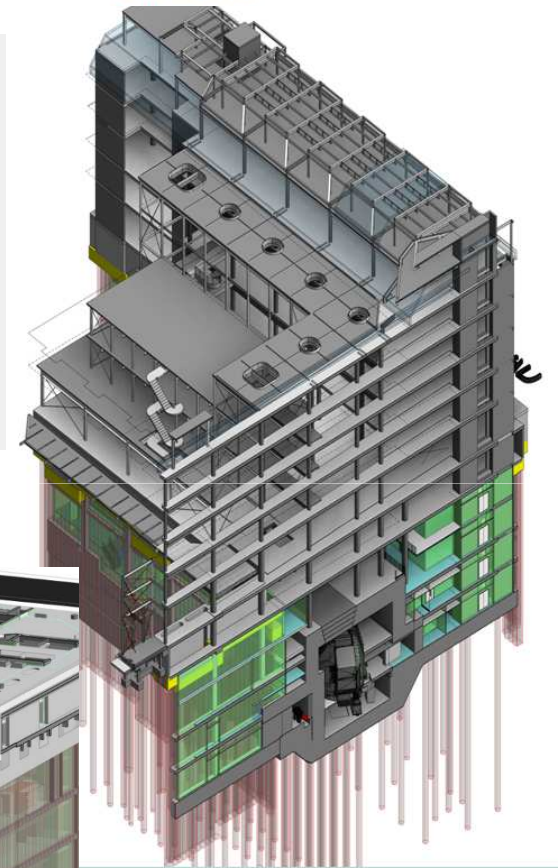
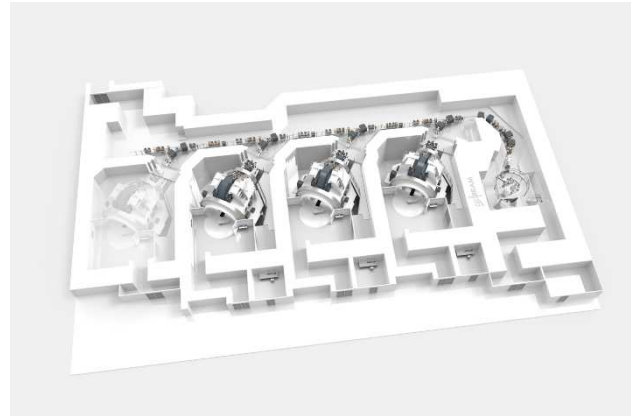
2005  
Inpatients  
and  
Radiotherapy

2018 –  
Proton Beam  
Therapy and  
beds



# Proton Beam Therapy

University College London Hospitals **NHS**  
NHS Foundation Trust





Any Questions?



# Treatment Image Review + Adaptive Strategies for H&N and Lung- an RTT perspective

ESTRO IMRT School 03/04/16

Syed Ali Moinuddin

Lead Research and Development Radiographer, UCLH

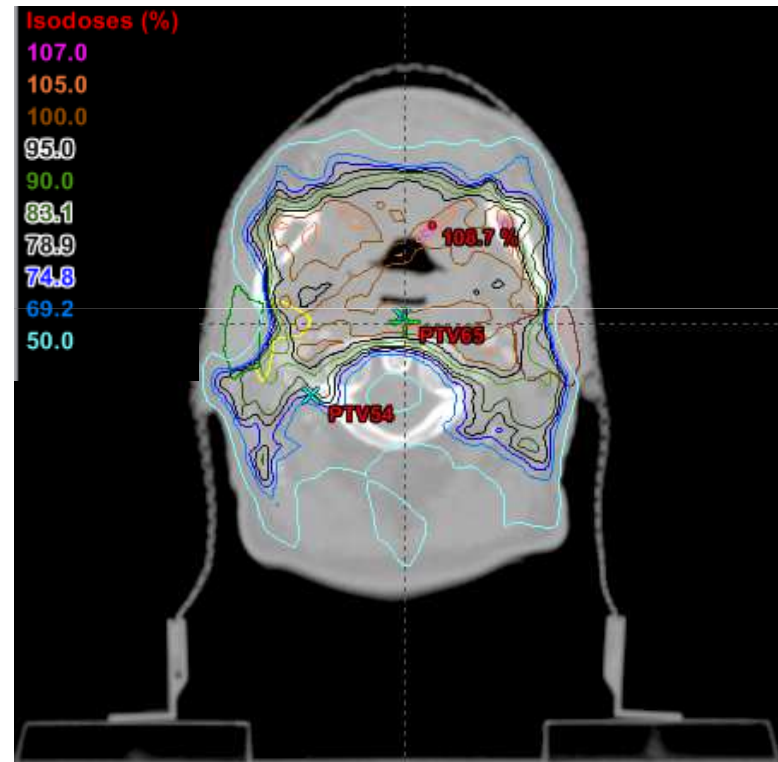
## Overview

- Introduction
- Head and Neck
  - Immobilisation, CT scanning and Linac verification protocol
  - Clinical examples
- Lung
  - Immobilisation, CT scanning and Linac verification protocol
  - Clinical Examples

## Introduction

The key aim of radiotherapy is to deliver a lethal dose to the tumour whilst limiting the dose (toxicity) to surrounding normal tissues.

Intensity Modulated Radiotherapy (IMRT) offers a method of delivering a much more conformal treatment with substantially lower normal tissue toxicity allowing the possibility of dose escalation to improve local control.



## Introduction

### However:

This steep dose gradient is greatly influenced/affected by:

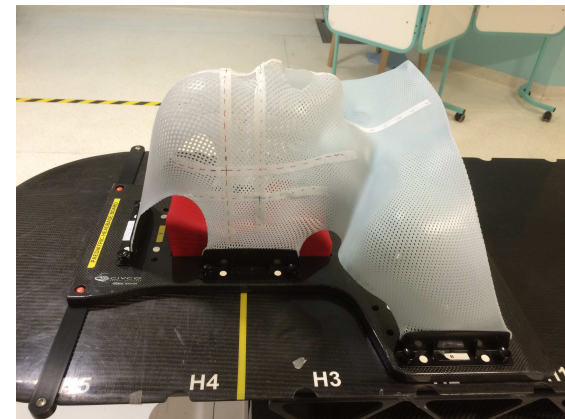
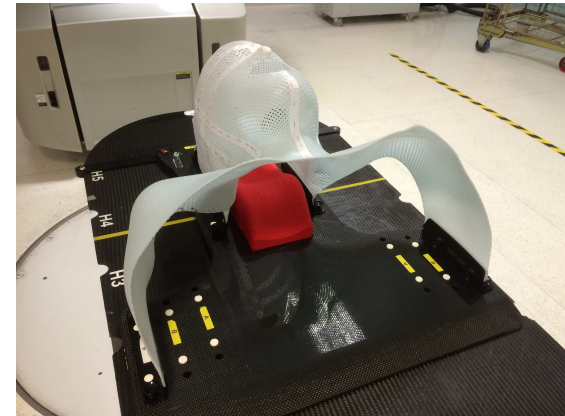
- Variations in patient set-up
- Changes in overall patient separation/weight loss
- Changes in tumour volume size and position
- Changes in size and position of Organ at Risk (OAR) volumes.

Management of this patient cohort requires:

- Effective immobilisation
- Image guidance
- Comprehensive nutritional management

## Head and Neck

- First UCH IMRT patient APRIL 2006
- All H/N patients
- Supine with head on foam headrest
- Arms by side
- Head and shoulders immobilised by 5 point thermoplastic shell
- 2.5mm CT scan with iv contrast

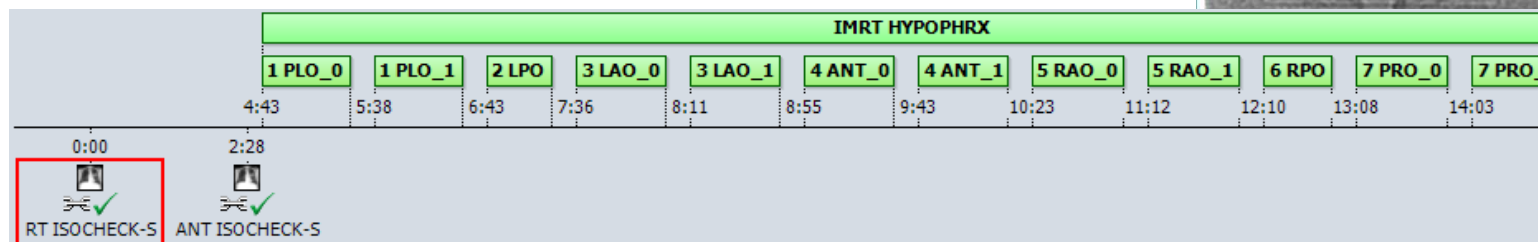


## Head and Neck (current)

- Imaging protocol
- Online daily orthogonal kV imaging with 'shift to zero' protocol (3mm)
- Matching to bone (systematic adjustment after #1-3)
- Additional weekly offline CBCT for PTV coverage and OAR avoidance.

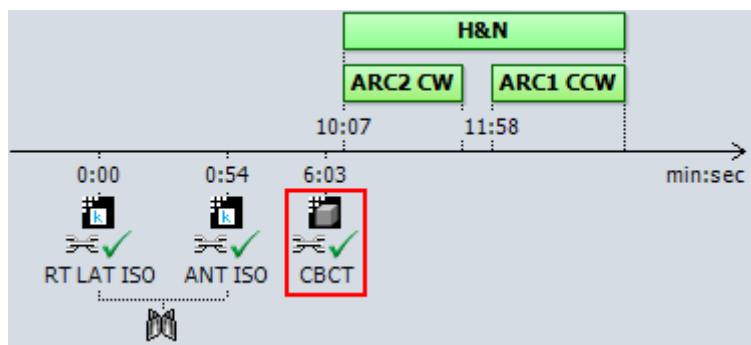
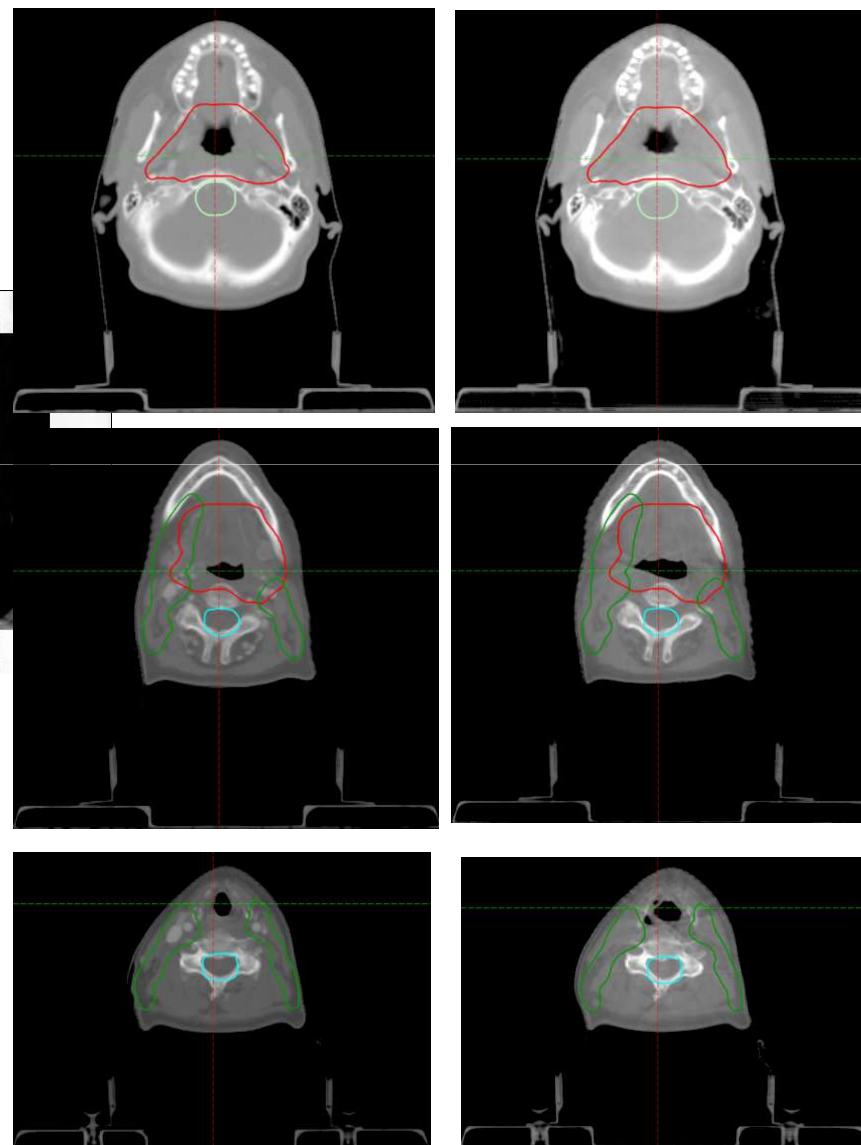
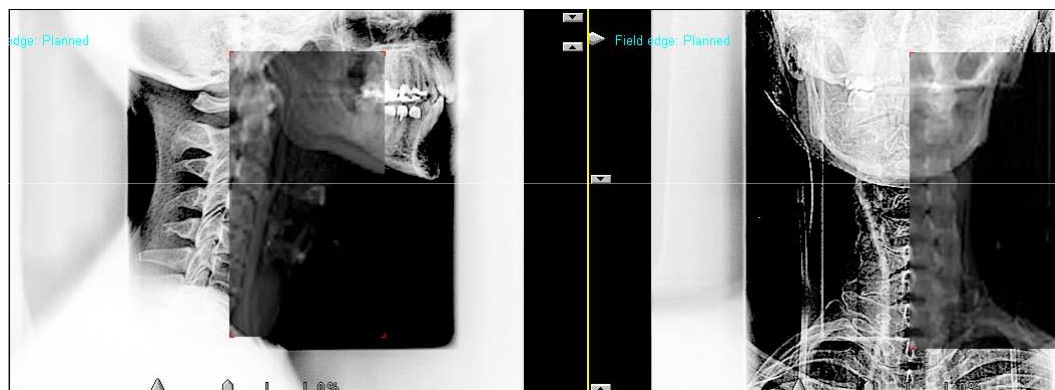
## Head and Neck (historical!)

- Imaging protocol
- Orthogonal MV imaging #1-3 and weekly
- No CBCT





# Head and Neck



## Head and Neck

Issues:

Weight loss

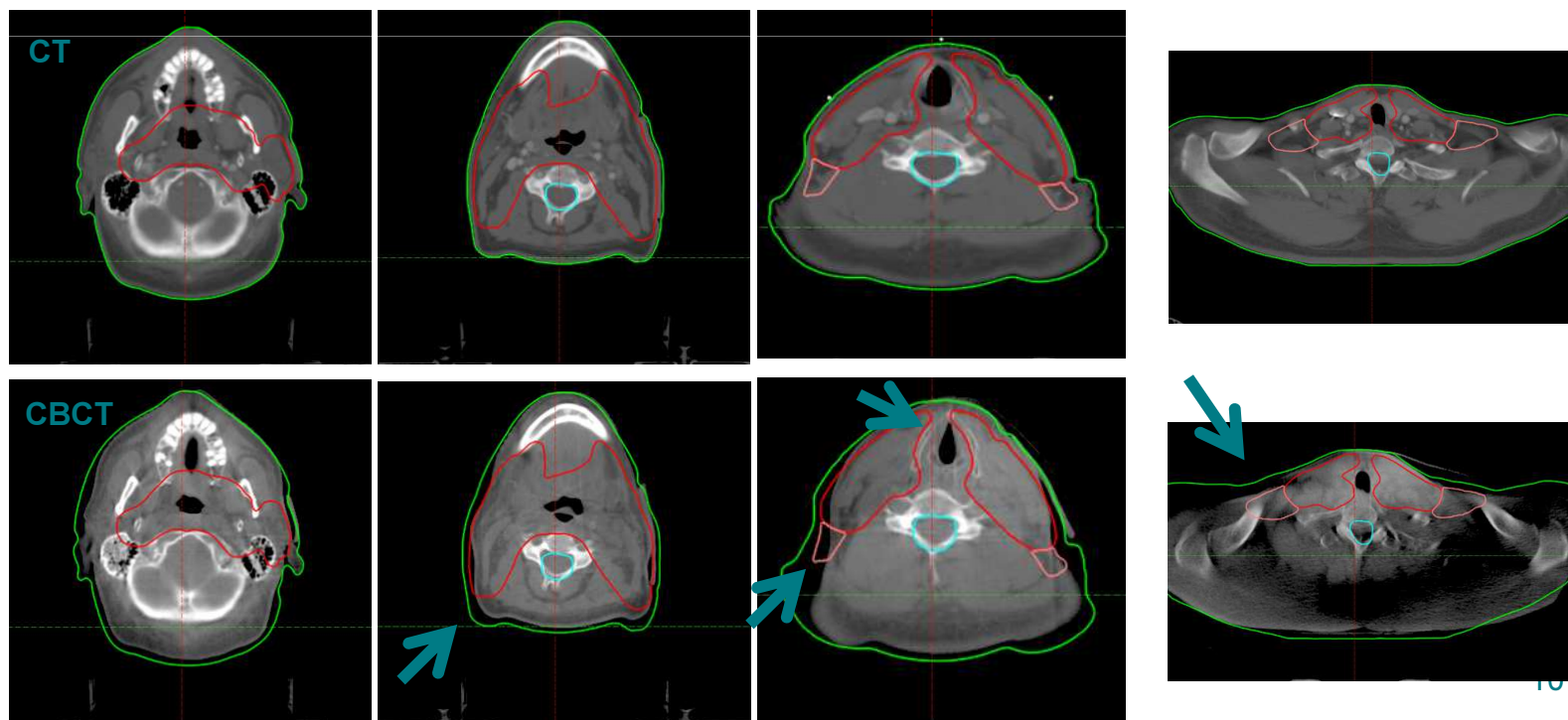
Bone positional changes

Soft tissue changes

# Head and Neck

Issues:

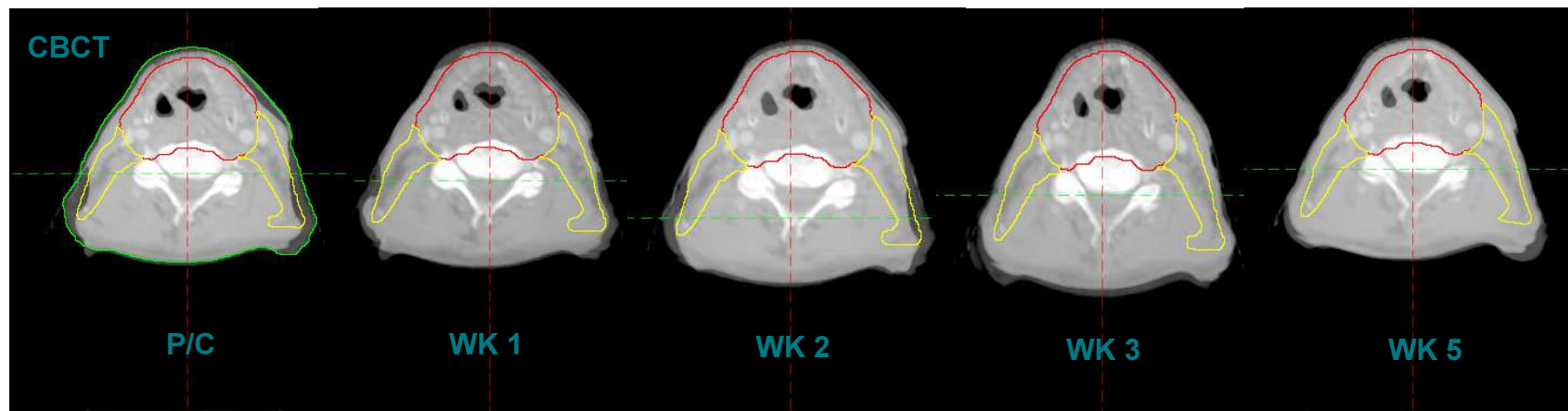
Weight loss (Non compliance)



## Head and Neck

Issues:

Weight loss (Compliance)



## Head and Neck

Issues:

Weight loss

- Prophylactic use of PEG
- Introduction of H/N radiographer role to improve patient experience
- Introduction of twice weekly dietetic clinic

## Head and Neck

Issues:

Bone positional changes

Corrected by:

Intervention and repeat imaging

Addition of Dalzafoam

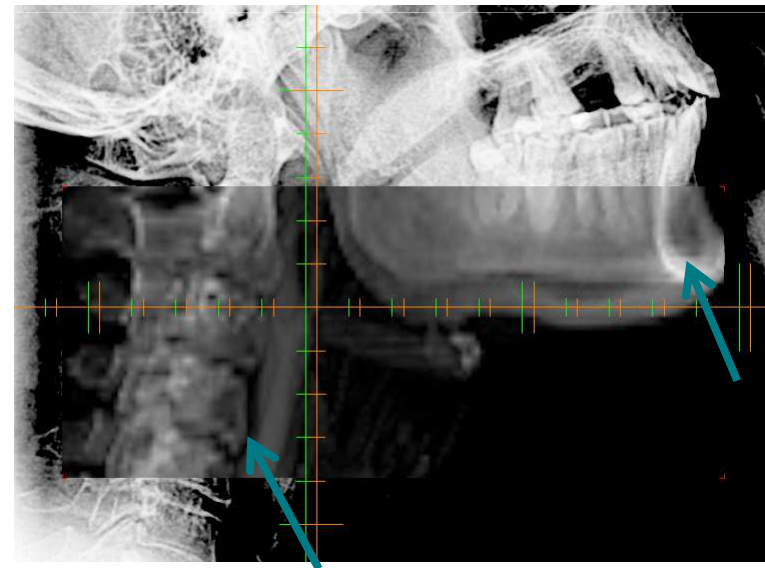
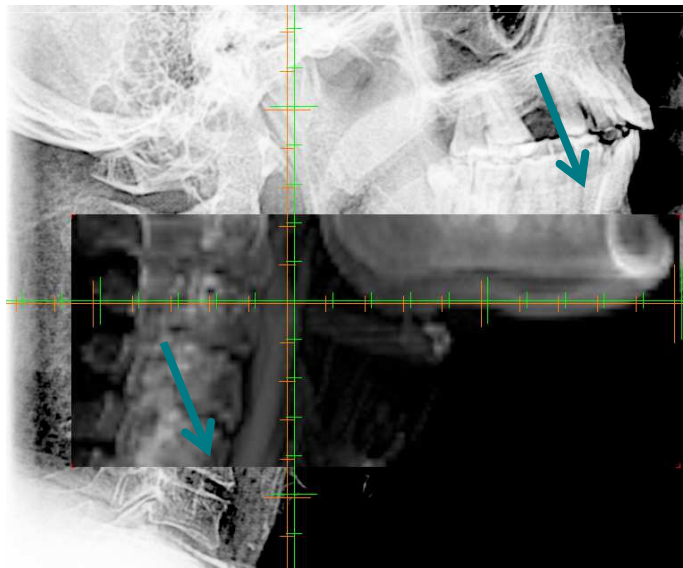
Reduction of height of the foam headrest

Preferential bone match to part of the cord closest to the high dose volume

## Head and Neck

Issues:

Bone positional changes



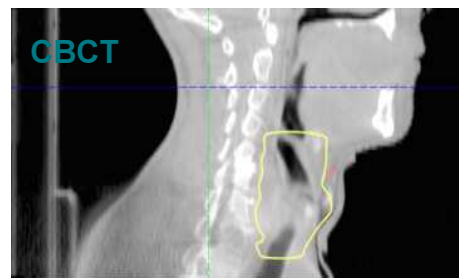
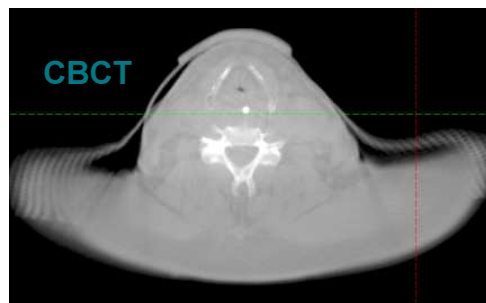
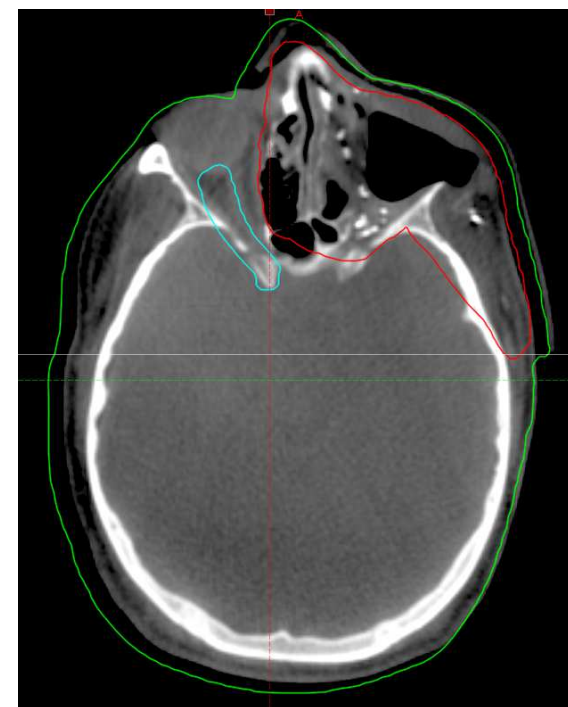
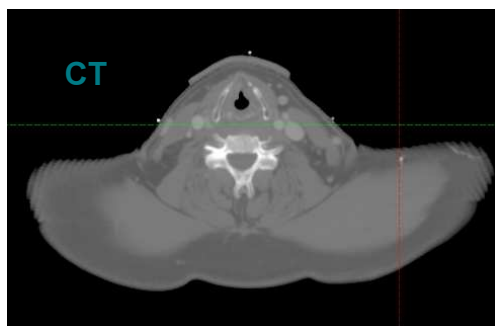
## Head and Neck

Issues:

Soft tissue changes



## Head and Neck

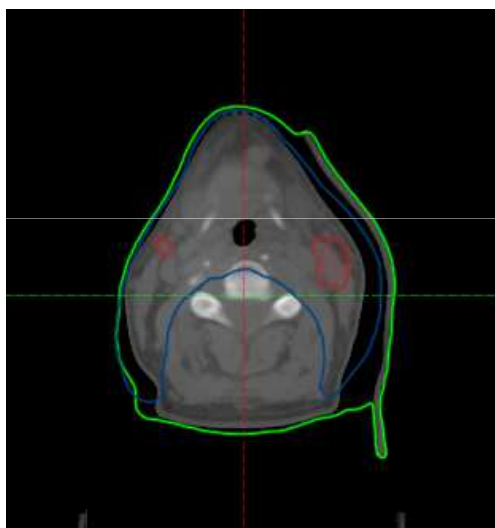


Occluded airway. May require steroids, surgical intervention or surveillance

CBCT Larynx CTV systematically in a different position.

Weight loss resulting in inadequate immobilisation and roll. NB R ON outside PRV

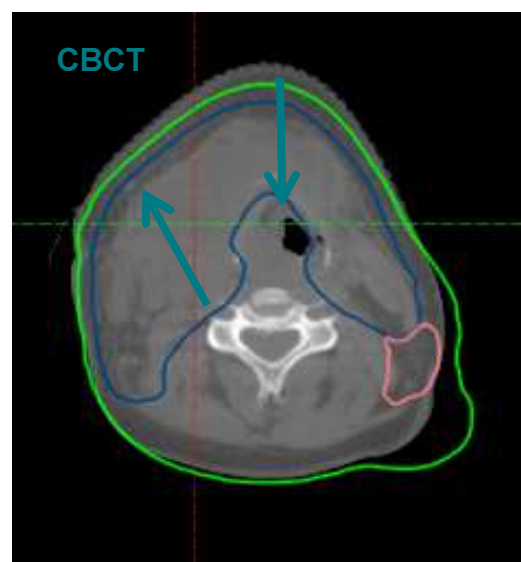
## Head and Neck



Weight loss resulting separation change,  
increase in air gap between patient and  
bolus and movement of nodal volume



CT



CBCT

Tumour volume increasing resulting in  
airway displacement

## Head and Neck

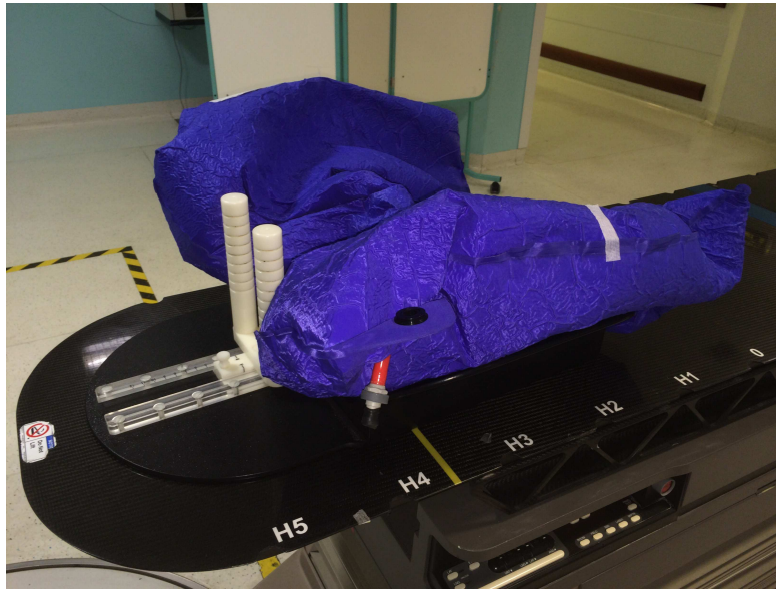
Dependent on..... MDT discussion

- Patient compliance
- Random or systematic difference
- Original plan assessment
- How many fractions left!
- Resource availability

## Lung

- First UCH lung IMRT patient 2012
- Lung SABR from 2013
- Selected non SABR cases close to cord or brachial plexus
- Supine with arms up on a Wing Board. Arms supported by Vac Bag
- All patients have a 4d CT with contrast and coached breathing.
- Target delineation on the MIP and dosimetry on AVE-IP

# Lung



## Lung

- Imaging protocol (non-SABR)
- Online daily orthogonal kV imaging with 'shift to zero' protocol (5mm)
- Matching to bone (systematic adjustment after #1-3)
- Additional weekly offline CBCT for PTV coverage and OAR avoidance. Initial radiographer review with weekly clinical update.

## Lung

- Imaging protocol (SABR)
- Online daily CBCT imaging with 'shift to zero' protocol (5mm)
- Matching to ITV
- Additional post treatment CBCT for PTV coverage and OAR avoidance.
- (Initial cohort also had post 'shift to zero' CBCT to assess effect of couch travel on coverage-not necessary!)

## Lung

Issues:

Weight loss

Bone positional changes

Tumour volume changes: position and size

Lung deflation/Re-inflation

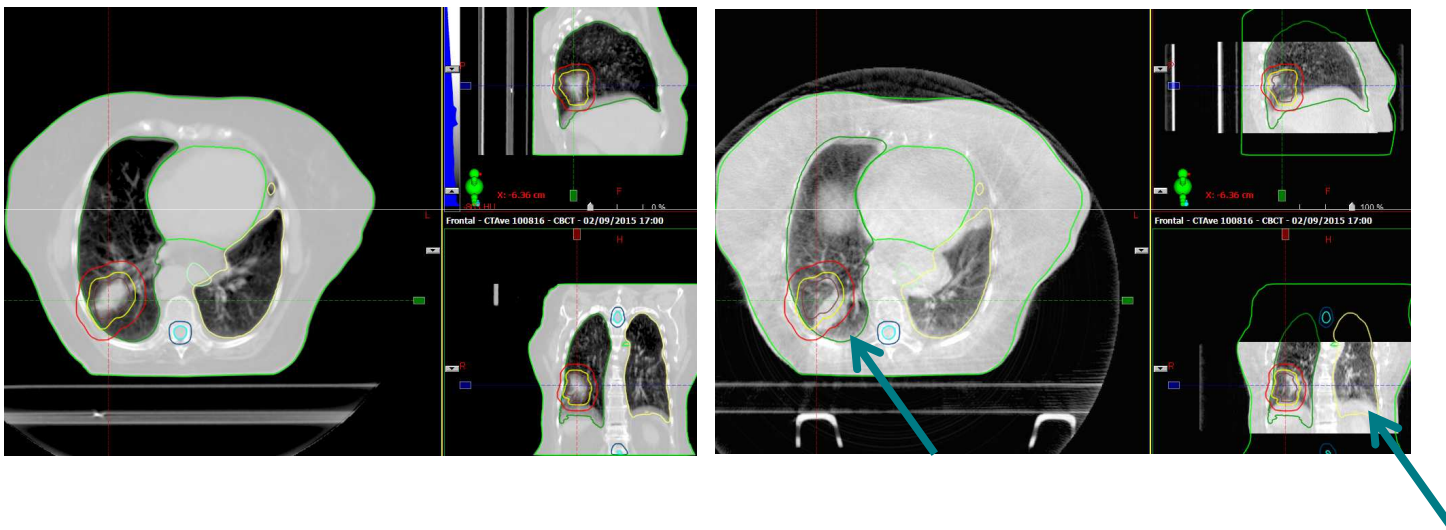
Infection (+/-)



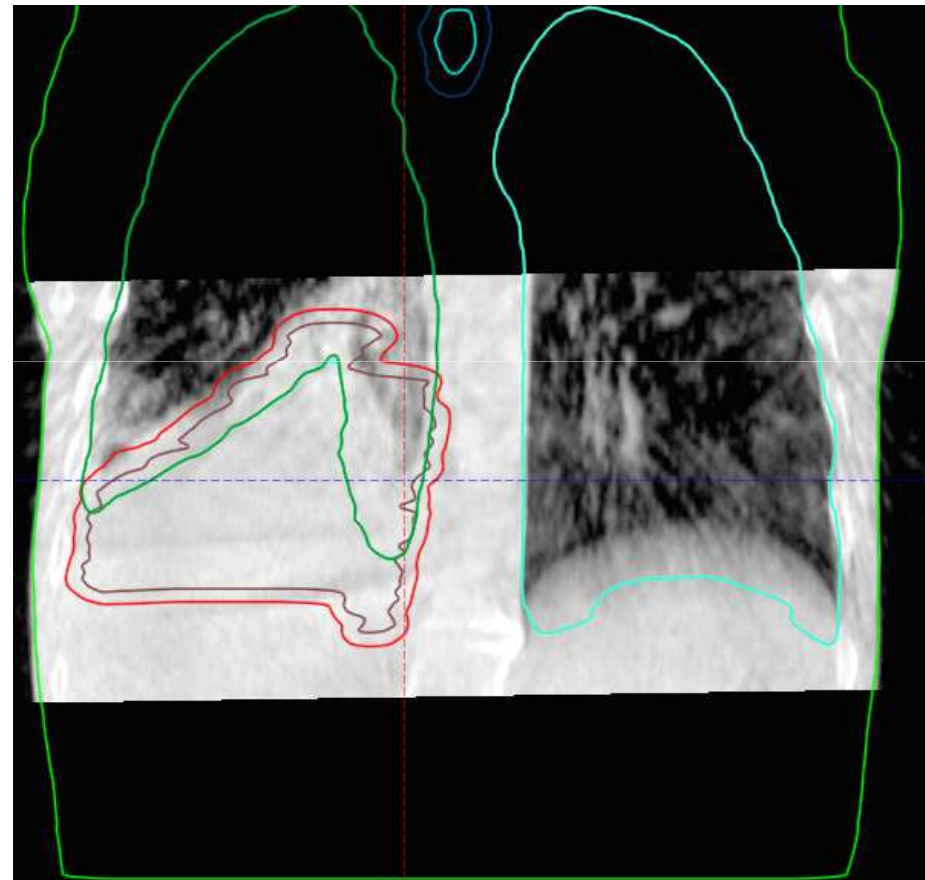
## Imaging Limitations (Varian)

- kV-low dose, bone anatomy
- Maximum length is 20cm
- CBCT-not low dose, soft tissue
- Maximum length is 16cm
- Image quality
- Data acquired in 1 minute so motion artefact-4d CBCT coming soon!

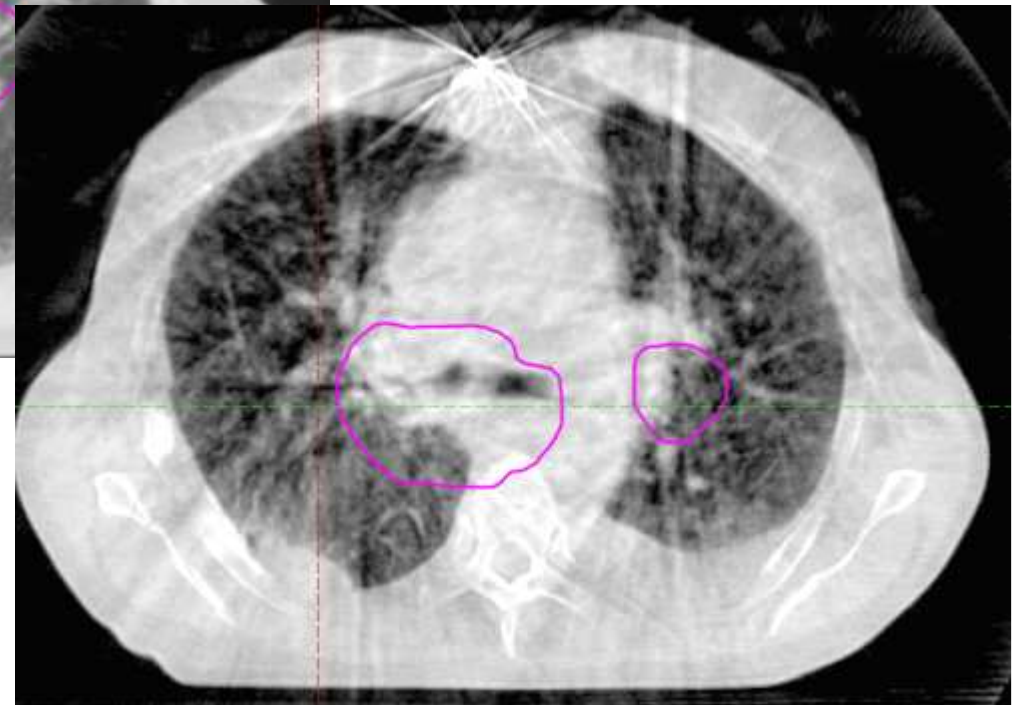
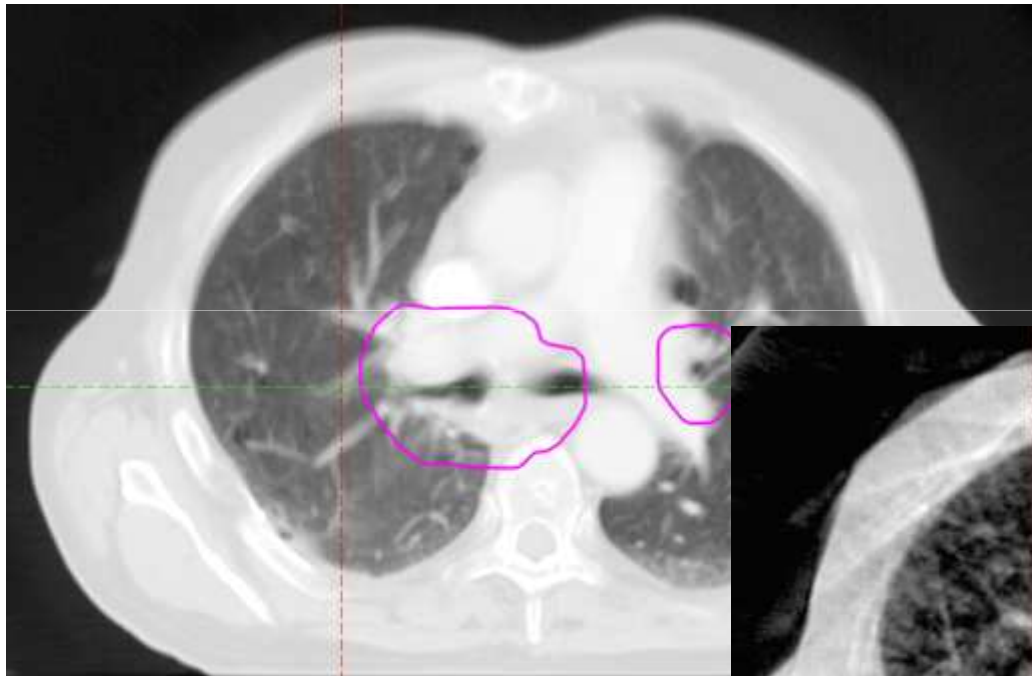
## Clinical Cases- non SABR Lung



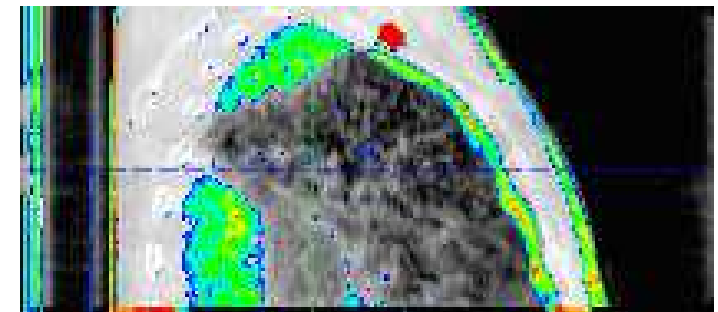
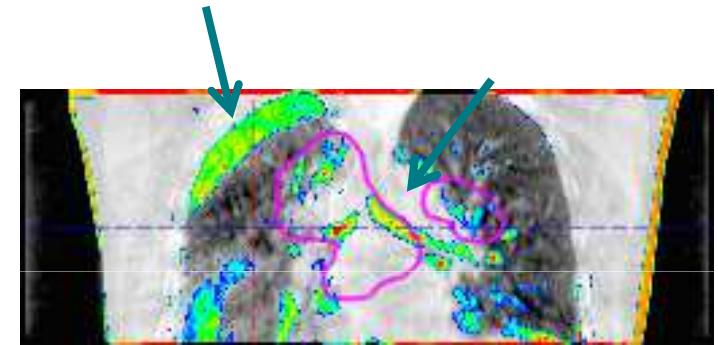
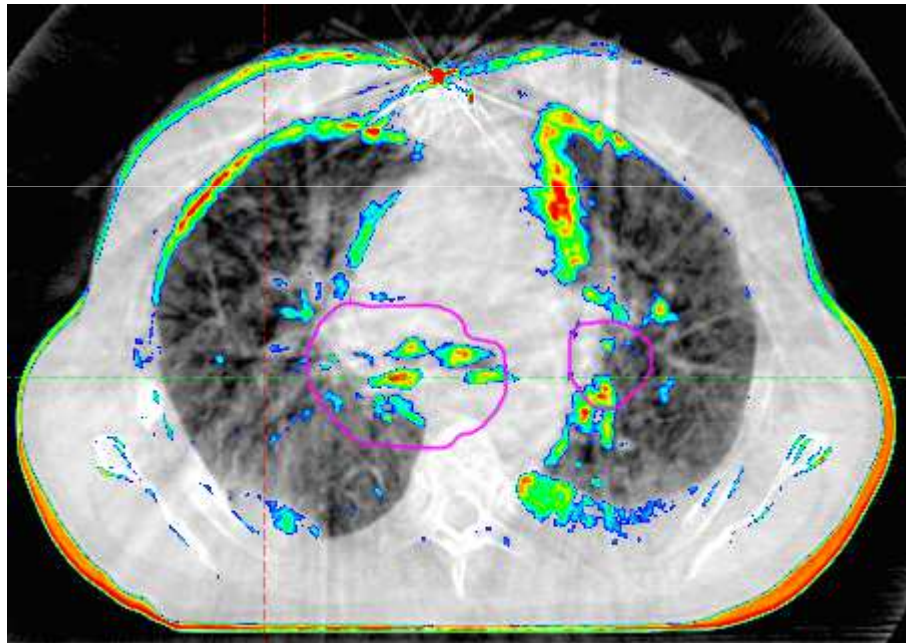
## Clinical case: non-SABR



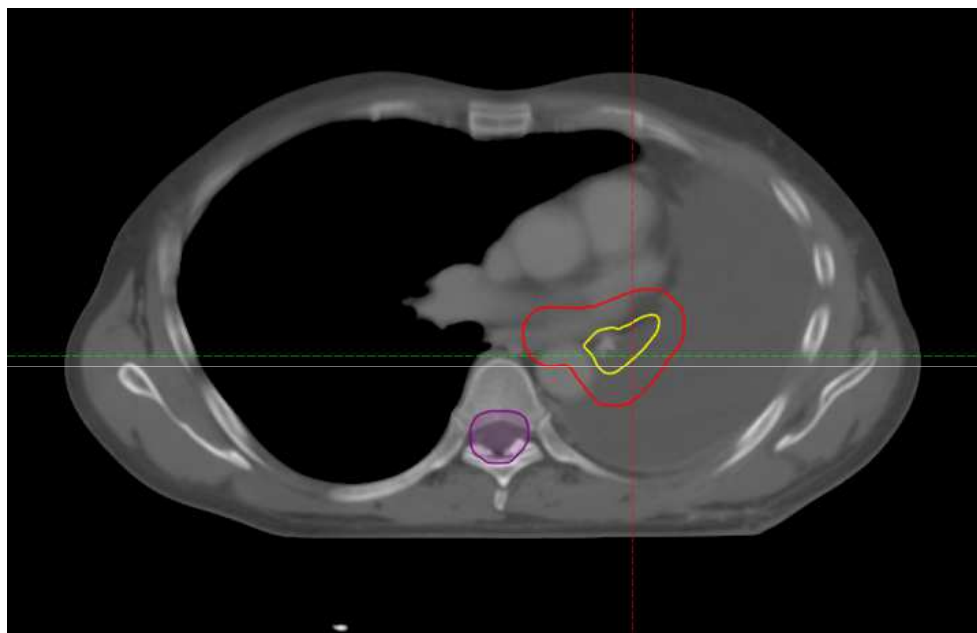
## Clinical Cases- non SABR



## Clinical case: non-SABR



## Clinical case: non-SABR



Pt has one functioning lung

kV shows good bone set up

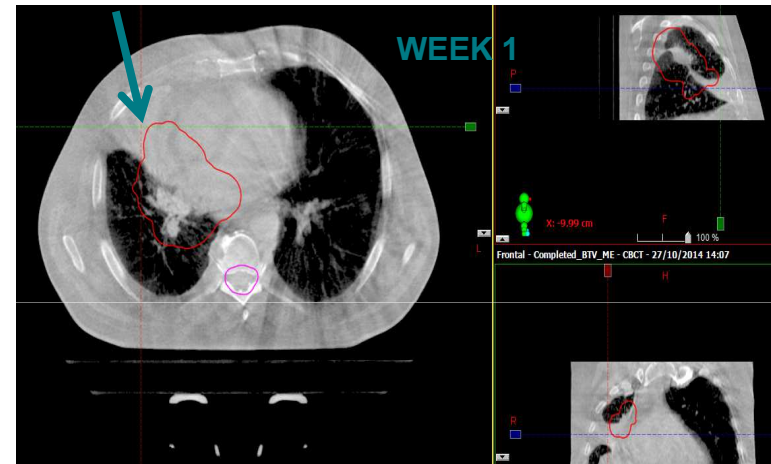
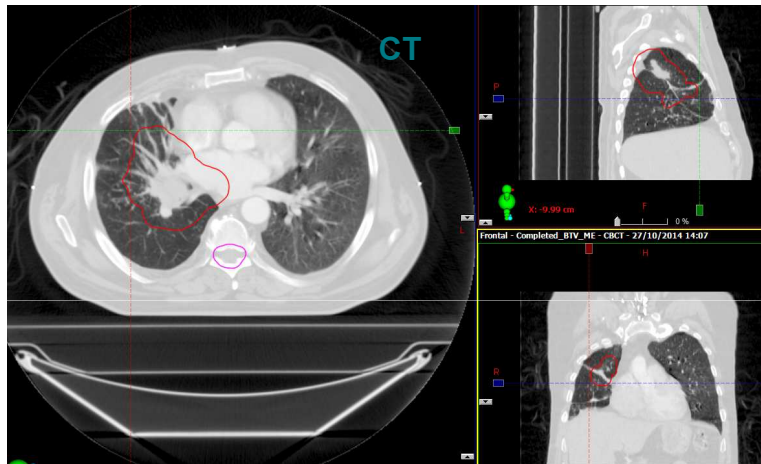
CBCT show RT lung re-inflation

CBCT shows movement of heart etc. to left

NB calcification

Action: Re-plan!

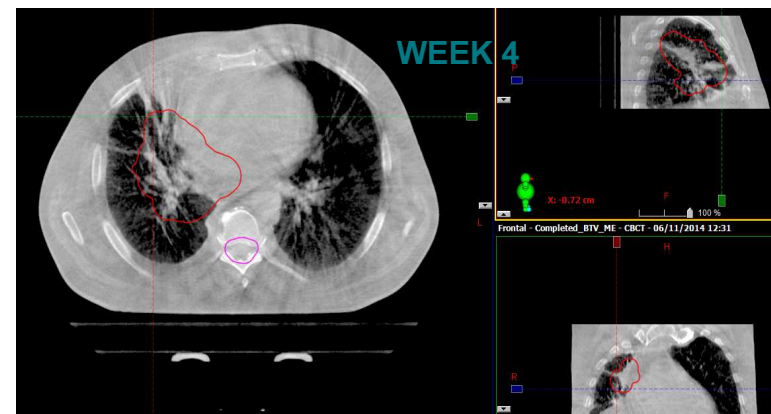
## Clinical case: non-SABR



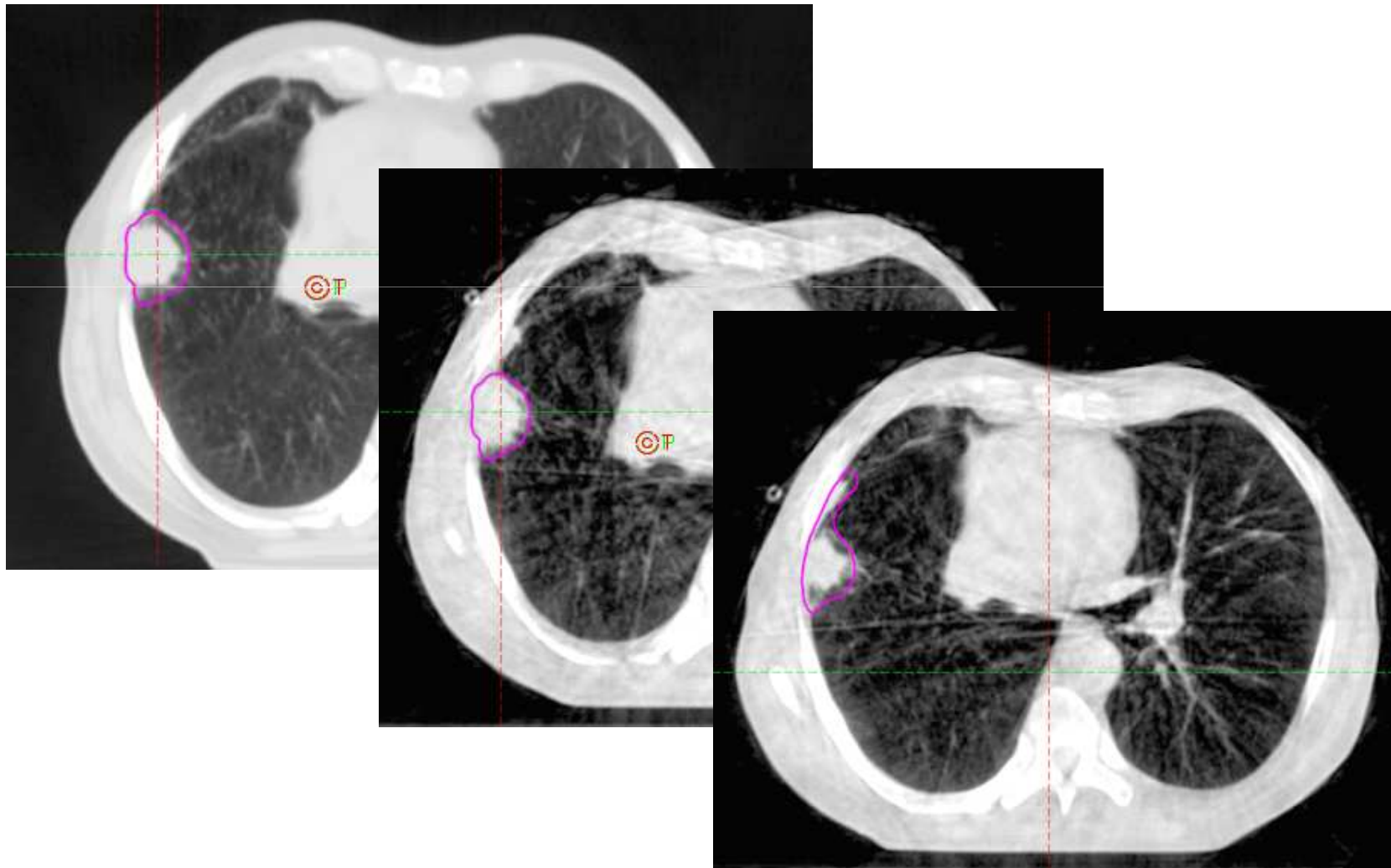
CBCT WK1 shows reduced lung volume and increased anterior density-coverage tight posteriorly

CBCT frequency changed to x2 weekly

CBCT week 4 shows resolution of change-no re-plan required



## Clinical Cases-SABR lung





## Summary

- CBCT is a useful imaging tool
- Highlights anatomical changes
- Does not tell you about dosimetric impact
- Density changes can have a greater impact in lung than other anatomical sites.
- Useful paper Kwint et al, (2014)

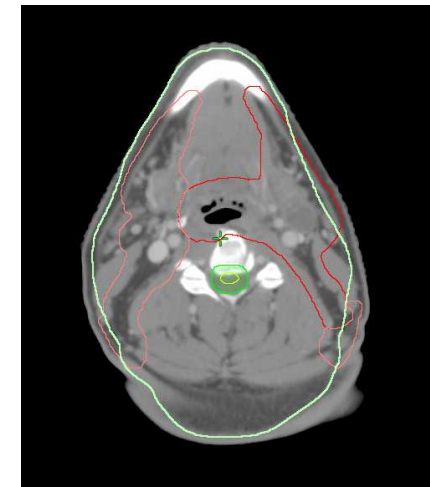
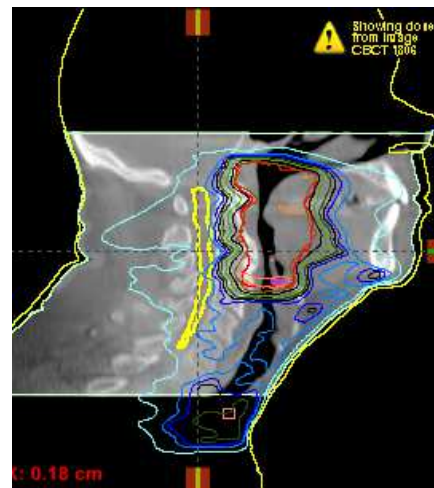
Thank you

# Adaptive strategies for head & neck and lung: Physics perspective

ESTRO IMRT course, London April 2016

Rachel Bodey

Principal Physicist for Treatment Planning, UCLH



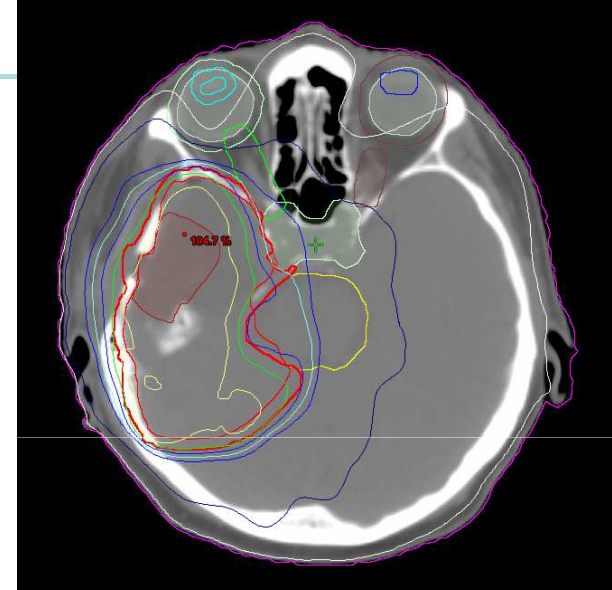
## Impact of anatomical/positional changes

---

- Increased use of image guidance → increased information about current anatomy & position vs. plan.
- Image comparison allows us to make subjective judgements about e.g:
  - consistency of setup
  - effectiveness of immobilisation
  - external shape changes
  - internal anatomical changes
- What we REALLY want to know is impact on dose delivered.
- At what point are changes clinically significant? When is action required?

## Considerations for IMRT

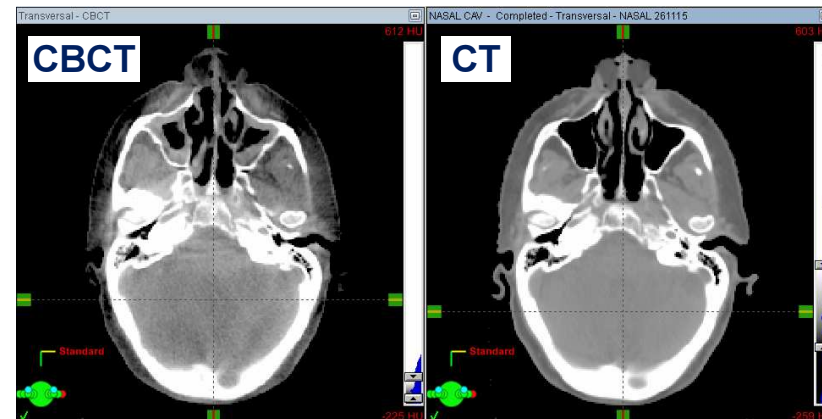
- Typically characterised by:
  - highly conformal dose distributions;
  - steep dose gradients at edge of PTV and OAR;
  - dose concavities to spare OAR;
  - multiple dose levels;
  - dose escalation.
- Potential advantages, but associated risks.
- A small positional change can translate to a large dosimetric difference – risk of underdosing PTV, or overdosing OAR.
- Assessing impact of changes may be less intuitive compared with conformal techniques.



## Adaptive radiotherapy

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- Ongoing monitoring of position and anatomy during treatment, comparison with initial conditions.
- Strategy for design or modification of treatment to accommodate changes.
- Patient-specific, image driven.
- Desirable to base decisions on dosimetric impact of changes.
- Can we use CBCT to calculate dose actually delivered, compared with that planned?
- Assess current suitability of treatment plan.



## CBCT for dose calculation

---

- Direct use of CBCT for dose calculation can be challenging.

### Review articles:

- Cone beam computed tomography: The challenges and strategies in its application for dose accumulation.

V Kong, A Marshall, H Chan, *J Med Imag Radiat Sci*; March 2016; 47(1): 92–97.

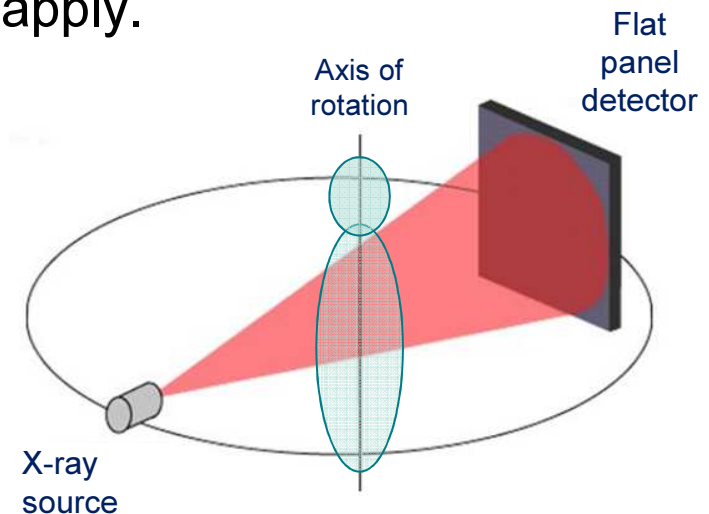
- Applications of linac-mounted kilovoltage cone-beam computed tomography in modern radiation therapy: a review.

K Srinivasan, M Mohammadi, J Shepherd; *Pol J Radiol*. 2014; 79: 181–193.

## CBCT for dose calculation

---

- Volumetric imaging, scatter from whole object contributes.
- Fewer projections; less raw data.
- Poor SNR cf. fan beam CT.
- HU numbers less reliable – dependent on imaging parameters, size of object, presence of inhomogeneities, artefacts. Calibration curve may not apply.
- Large uncertainties can result from using CBCT HU for dose calculation.
- Motion artefact (gantry rotation time).
- Limited image length.





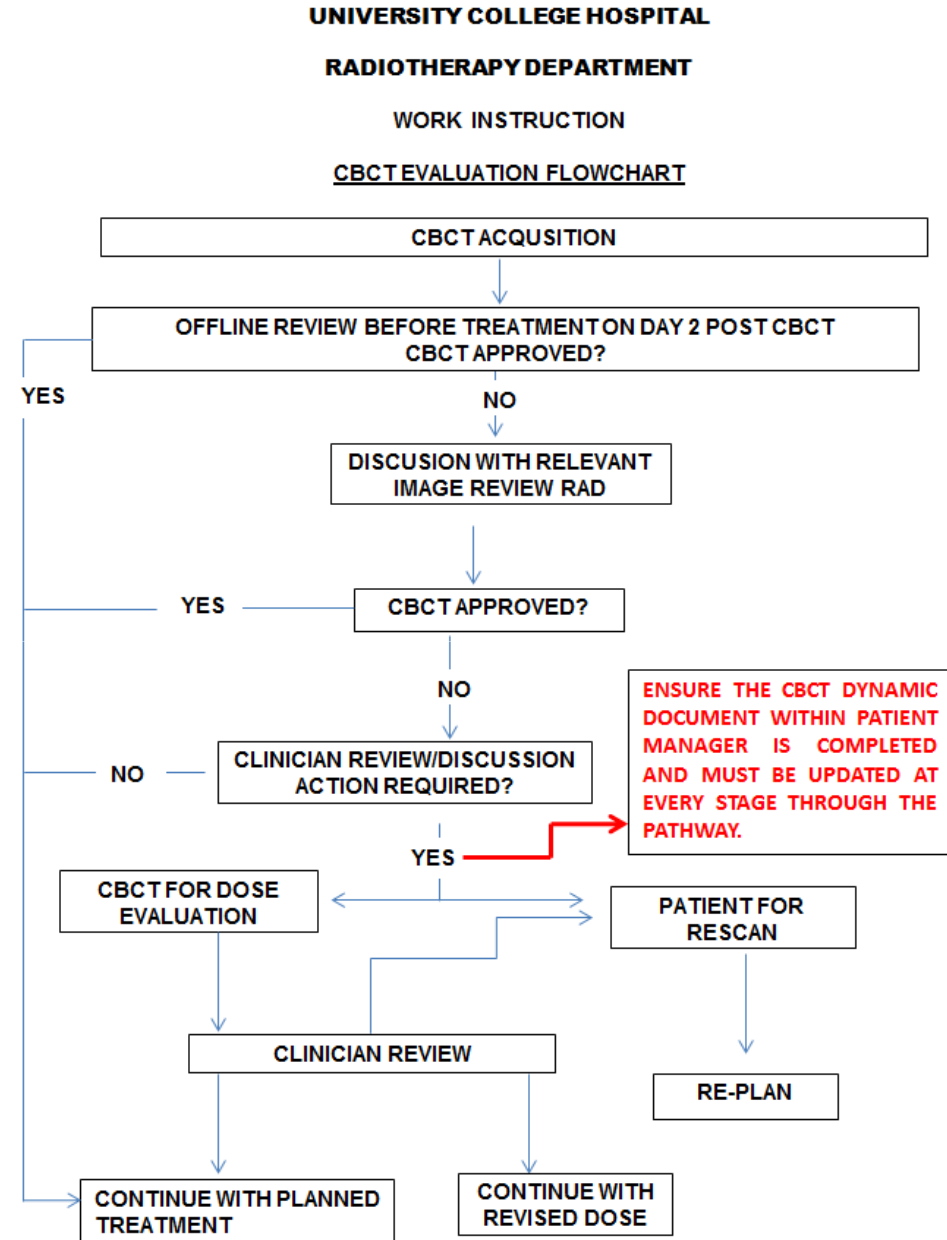
## UCLH strategy

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- Developed a process for CBCT based dosimetric review.
- Use CBCT to modify CT – override HU numbers in CT.
  - Head and Neck IMRT – assess impact of weight loss (or gain) though modifications to external contour.
  - Lung - override internal density changes if external shape and positioning is good.
  - Limited ability to quantify impact of positional changes or shifting internal anatomy.
- Primary aim – assess need for rescan, replan, or revised dose, if:
  - OAR tolerances likely to be exceeded.
  - PTV coverage not achieved.
  - Uncertainty is excessive.

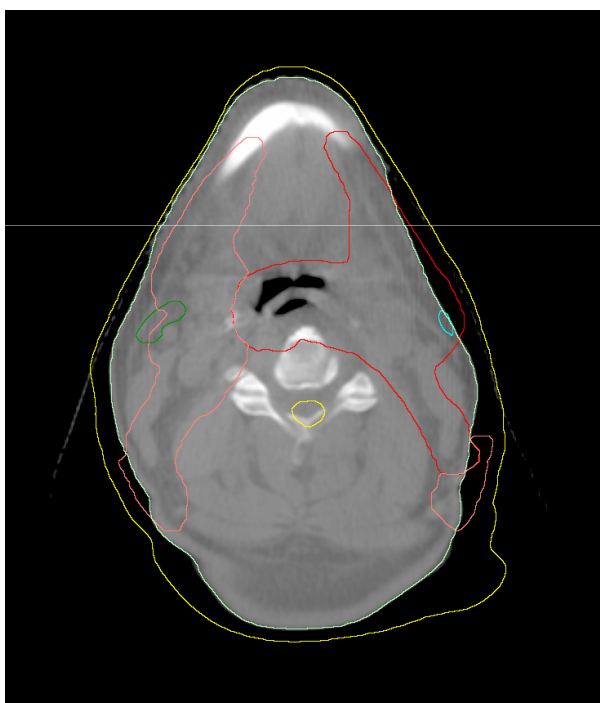
# UCLH strategy

- Flow chart – defines timescales for review and action.
- Responsibilities and requirement for staff group input – radiographers, clinicians, dosimetrists/physicists.
- Multi-disciplinary approach.

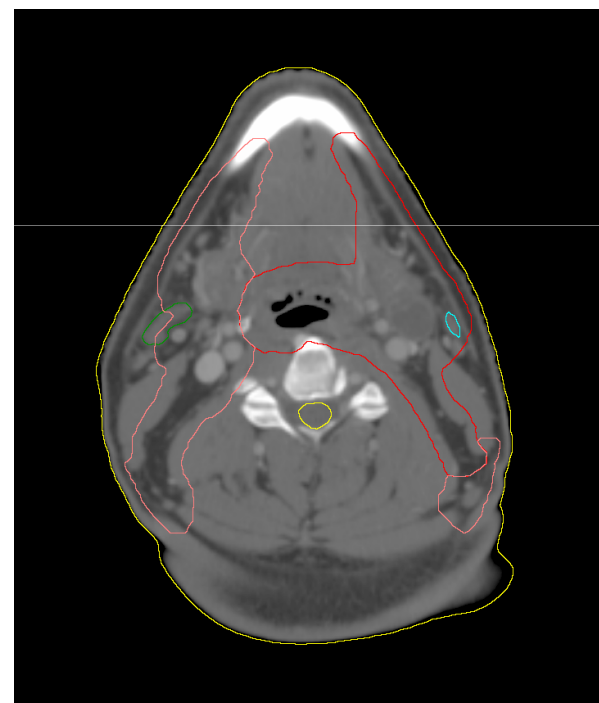


## Example – head & neck weight loss

---



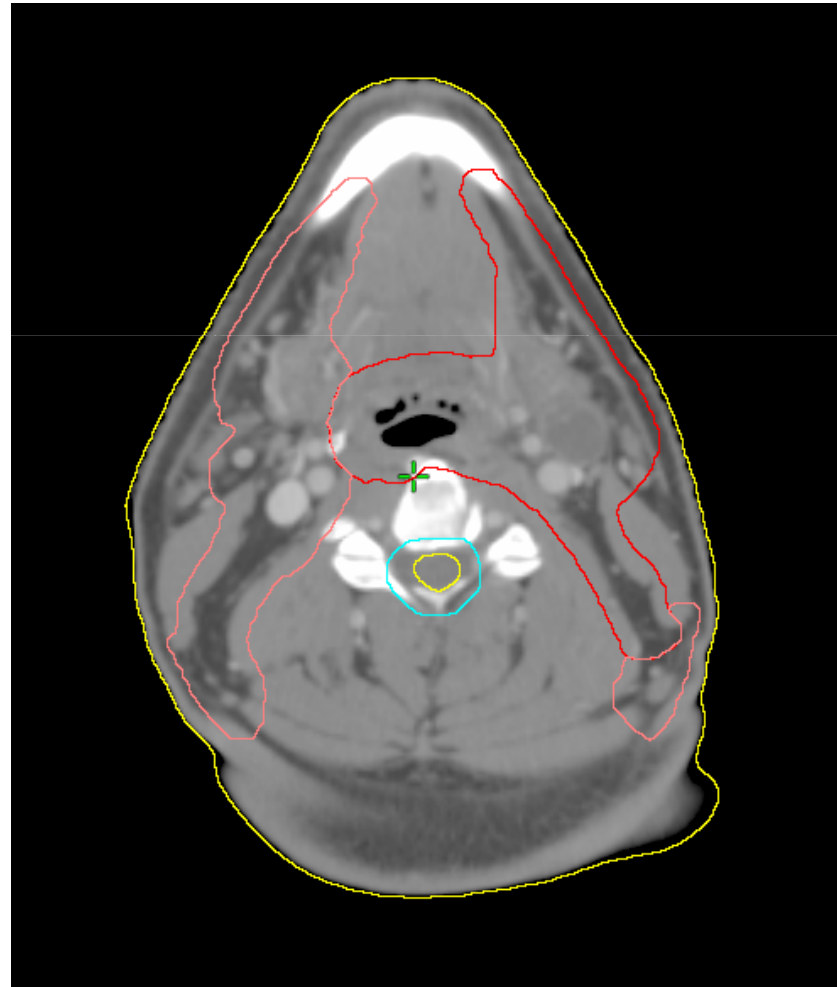
CBCT + original structures



Original CT + structures

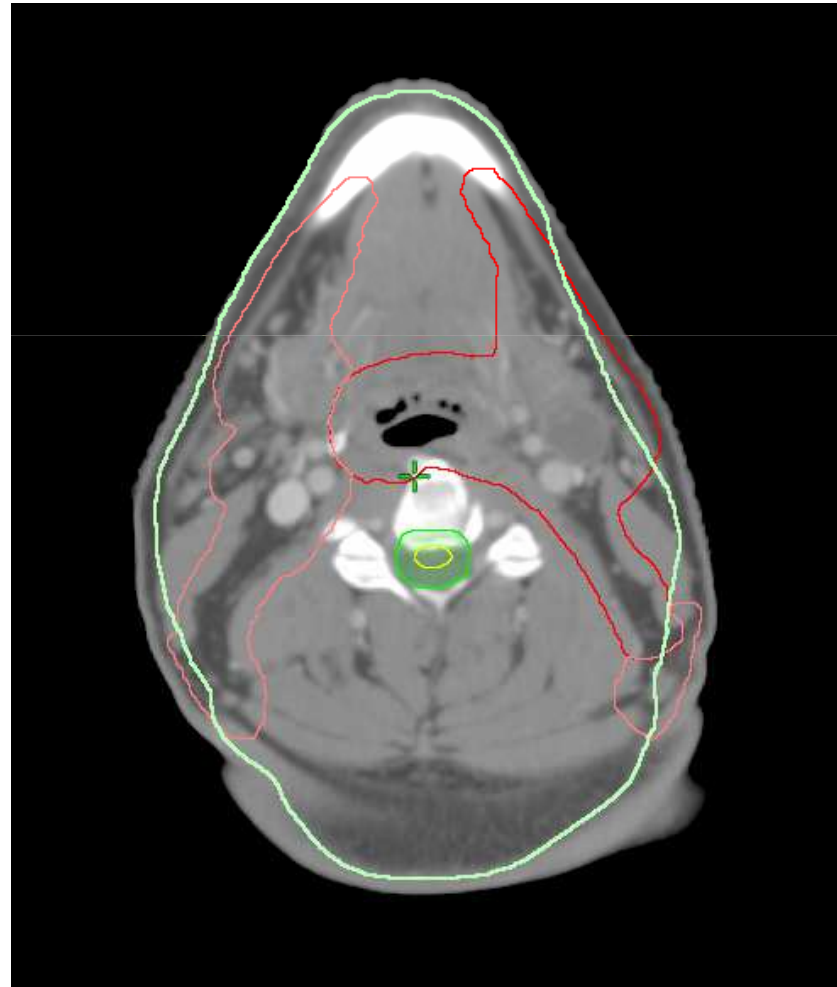
## Example – head & neck weight loss

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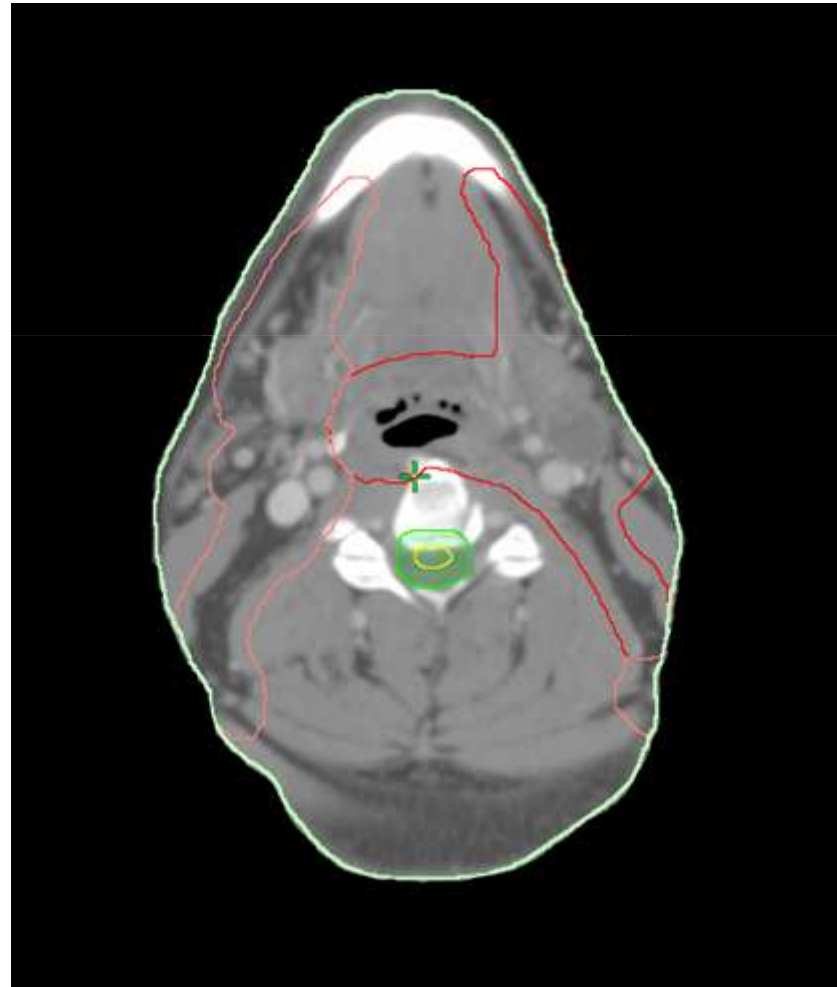
## Example – head & neck weight loss

---

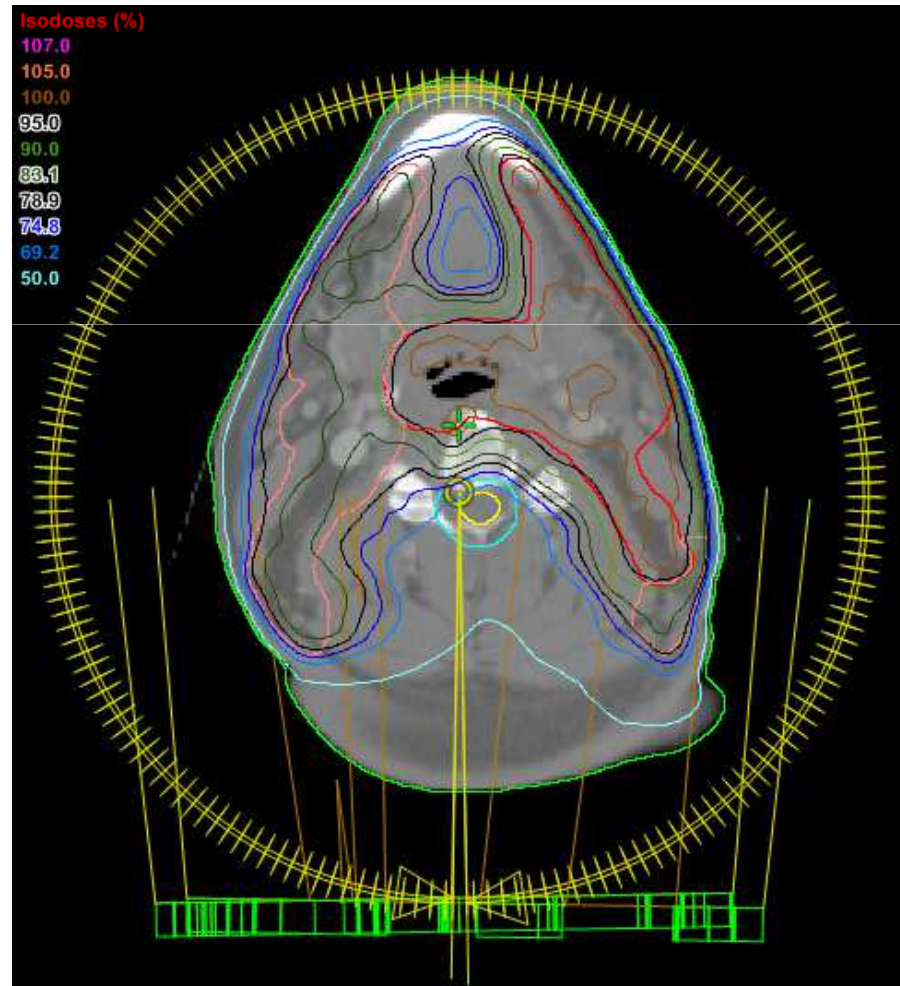


## Example – head & neck weight loss

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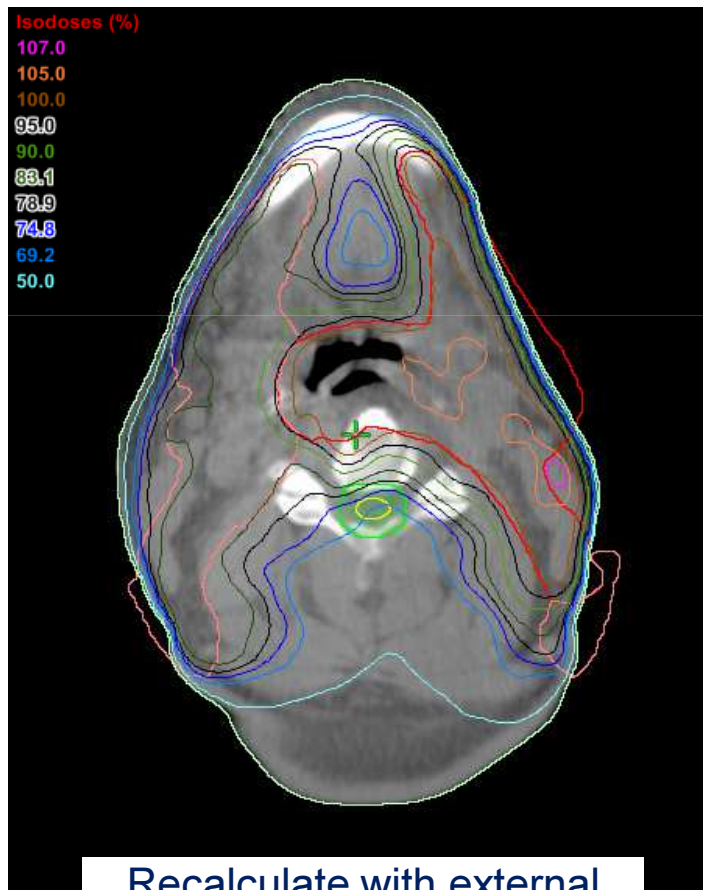


## Example – head & neck weight loss

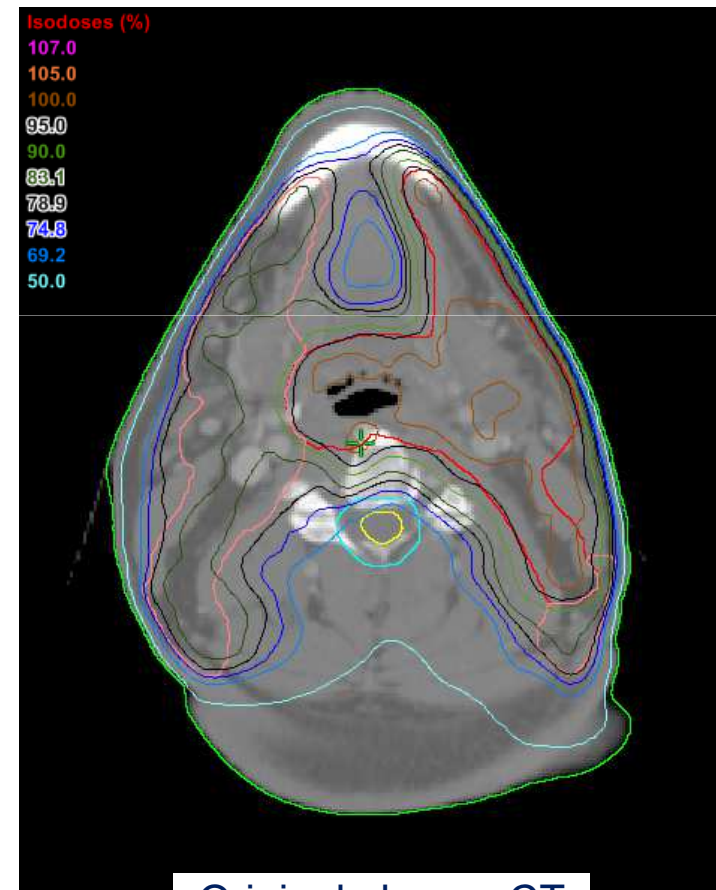


- Original plan on CT
- VMAT
- 2 full arcs
- 65Gy / 54Gy 30#

## Example – head & neck weight loss



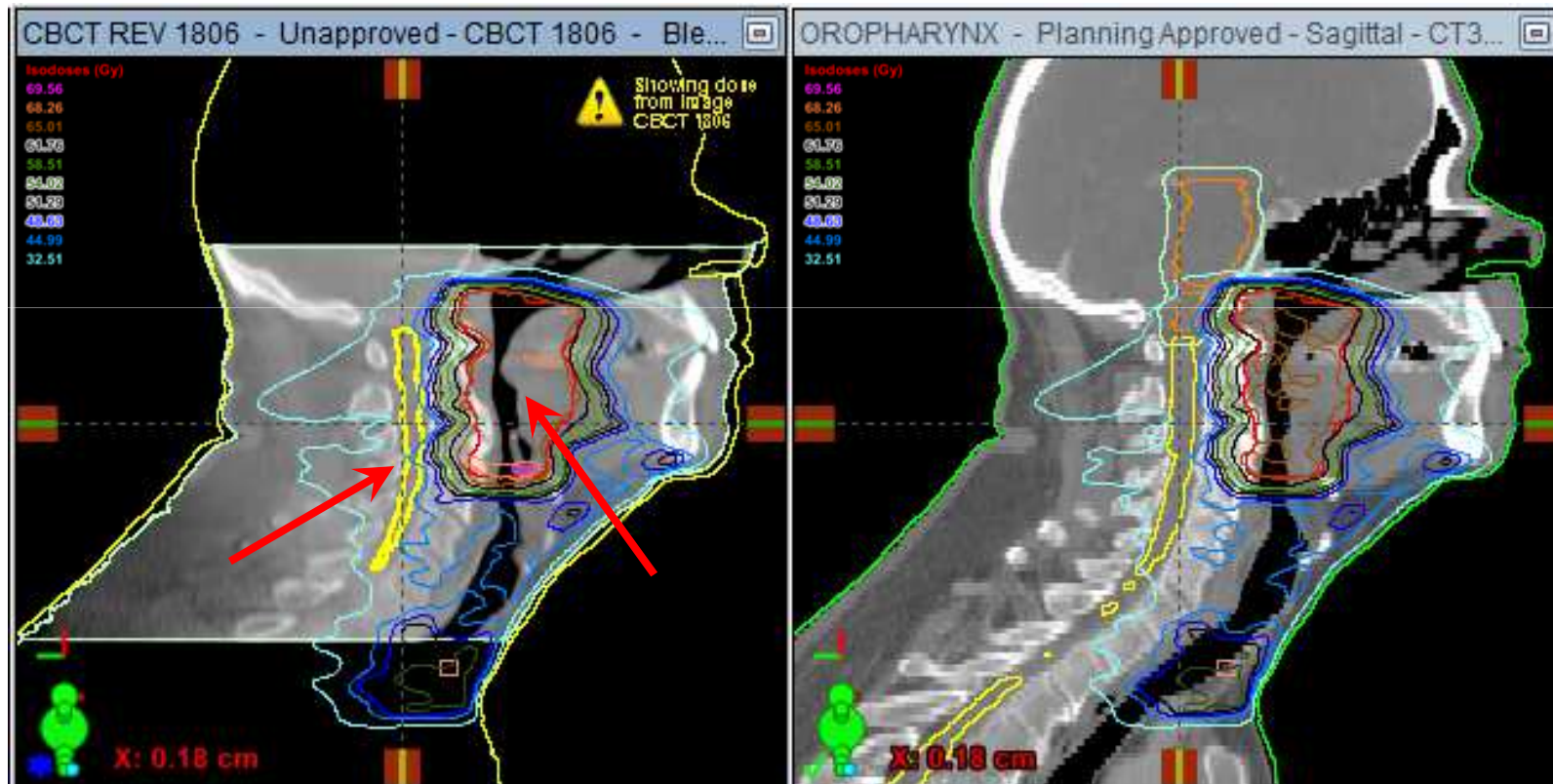
Recalculate with external contour modified to CBCT



Original plan on CT



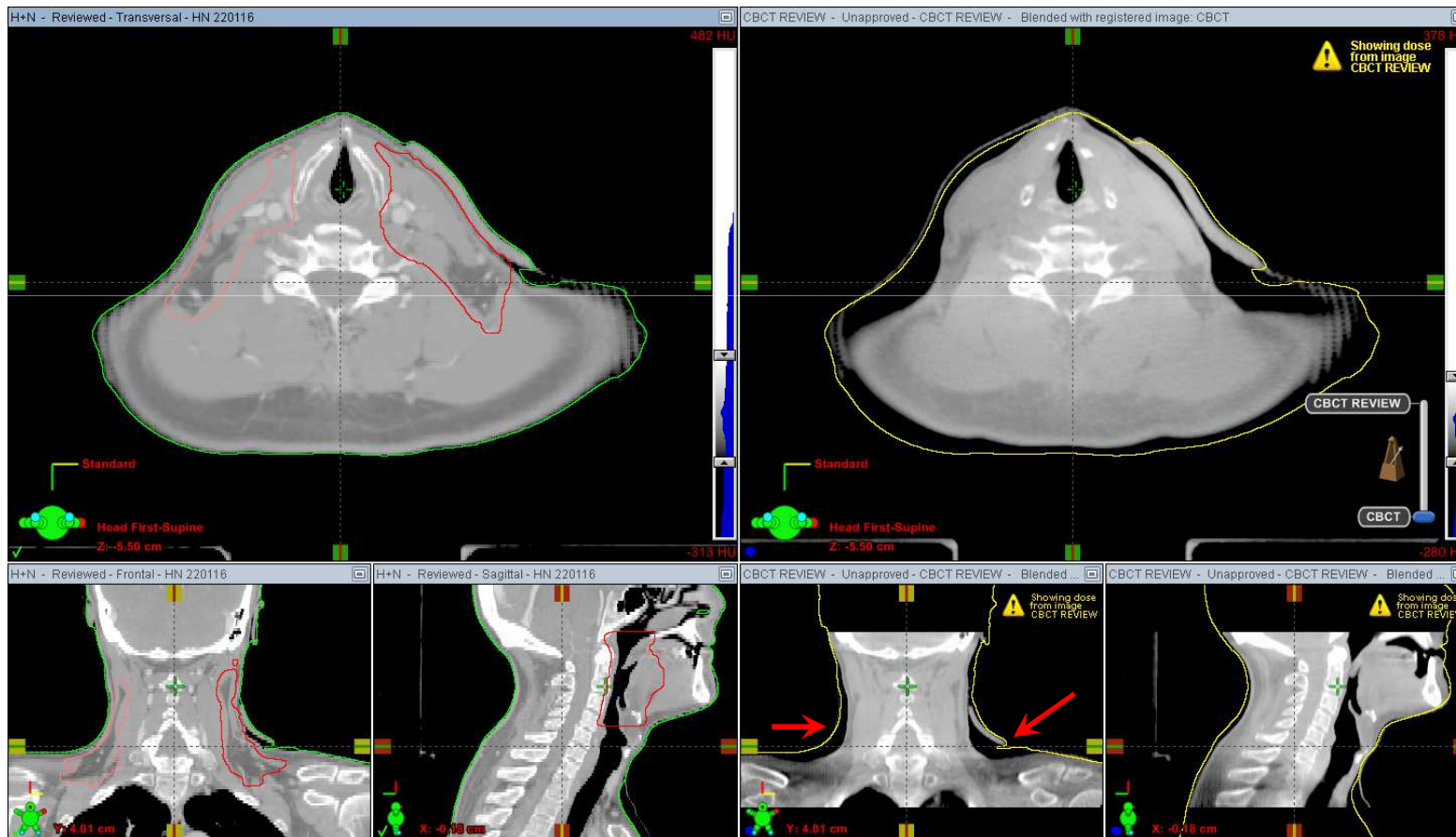
## Example – head & neck weight loss



Recalculate with external contour modified to CBCT

Original plan on CT

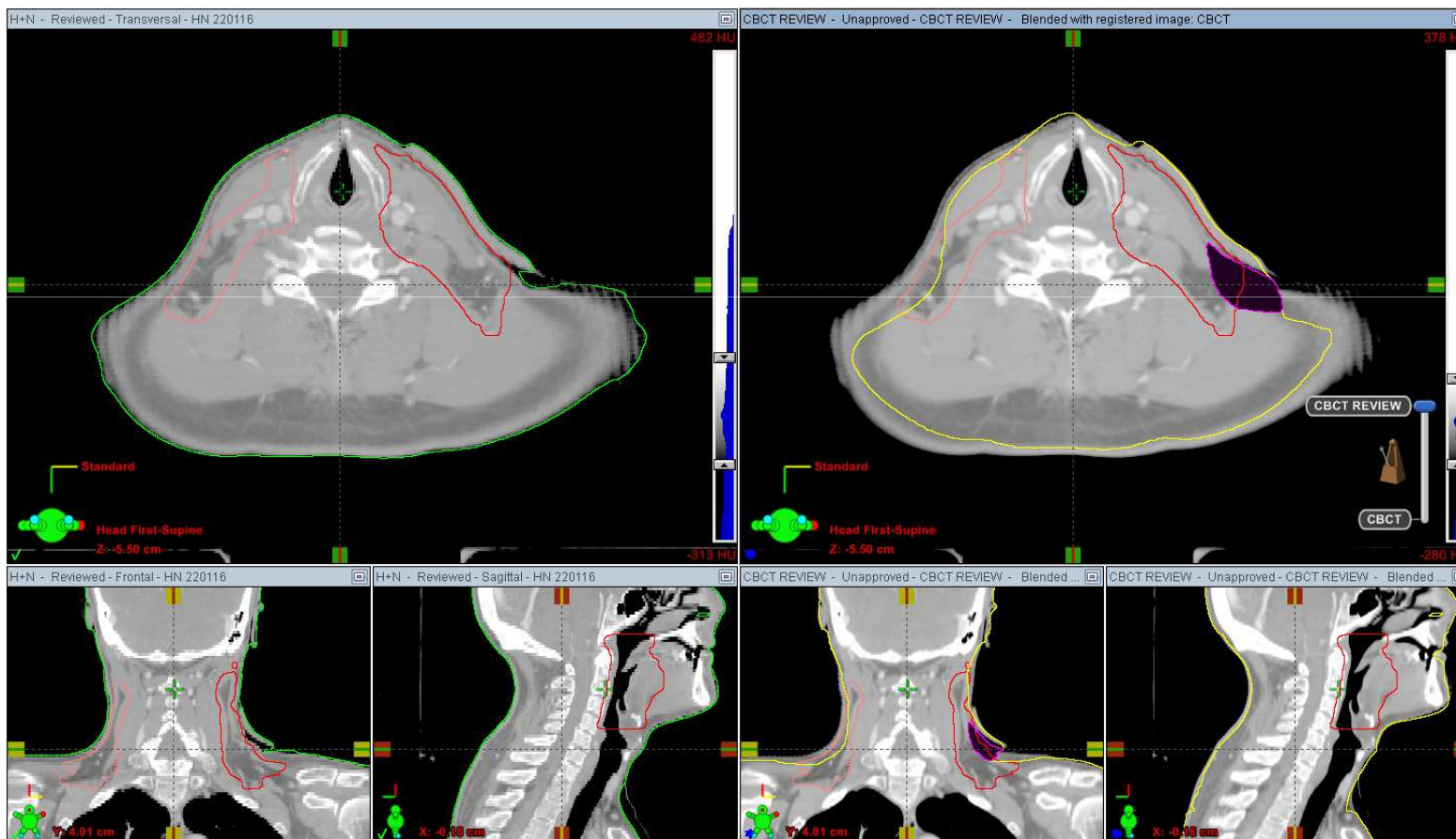
## Head & neck example 2



Original CT + structures

CBCT + original external contour

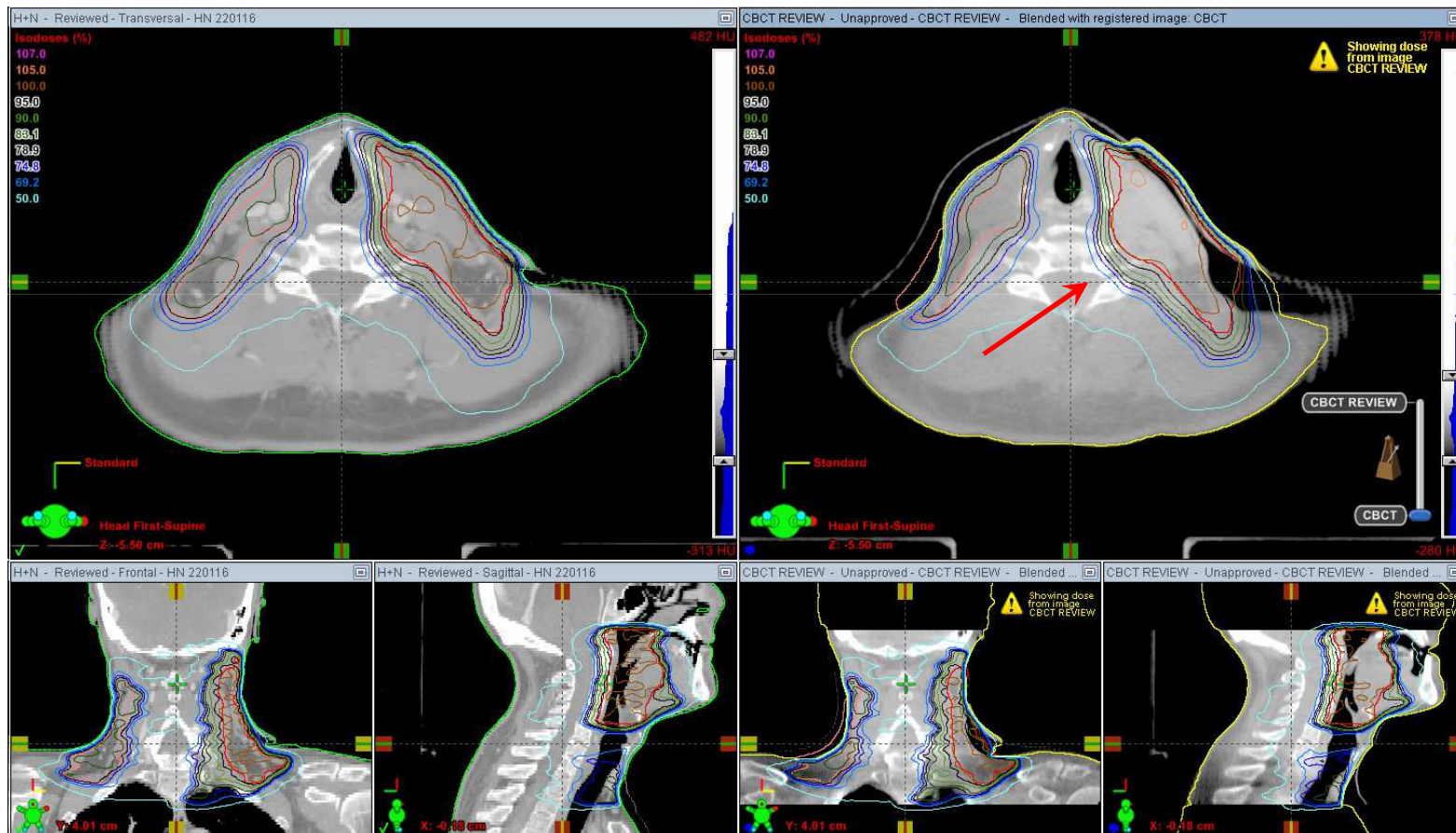
## Head & neck example 2



Original CT + structures

Original CT + CBCT external contour

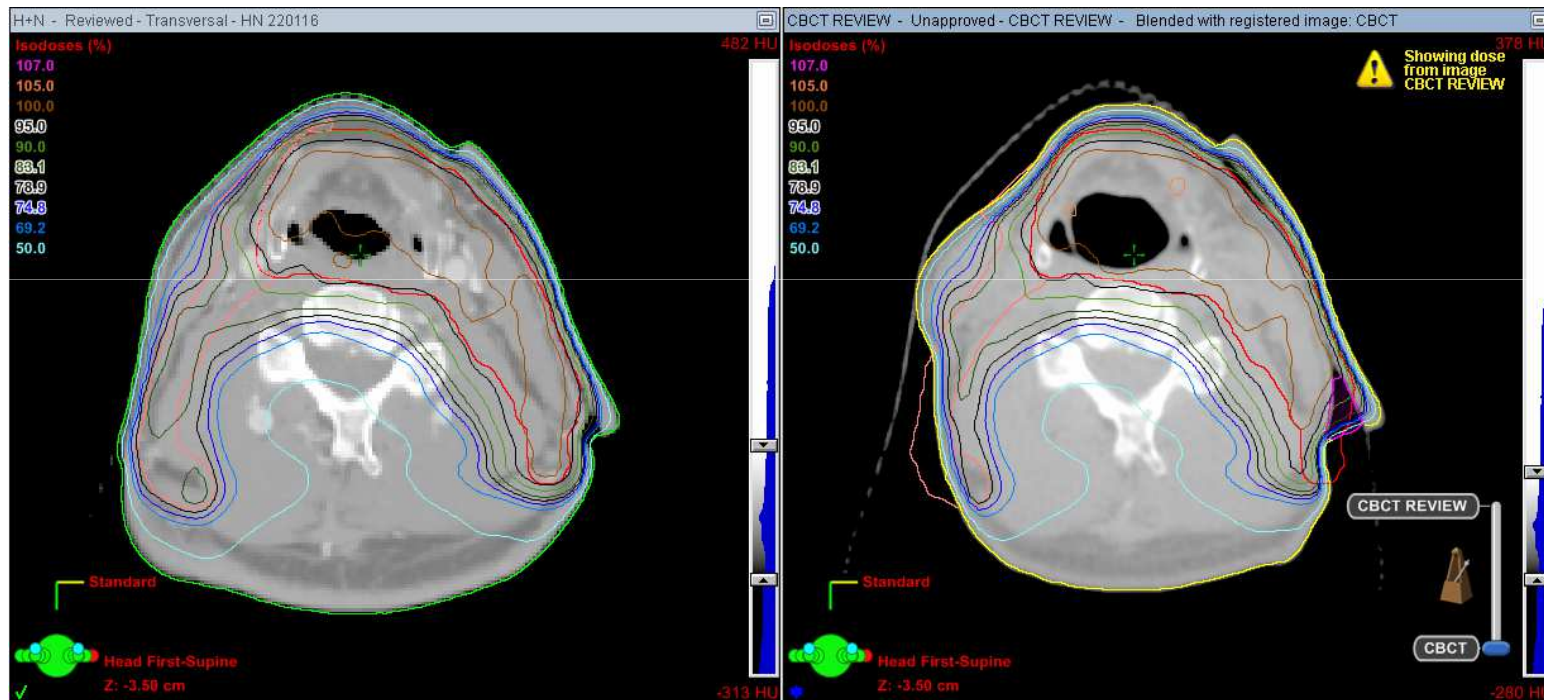
## Head & neck example 2



Original CT + plan

Dose recalculated on modified CT (overlaid on CBCT)

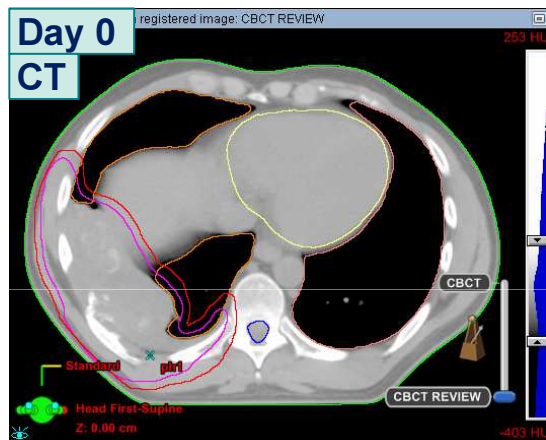
## Head & neck example 2



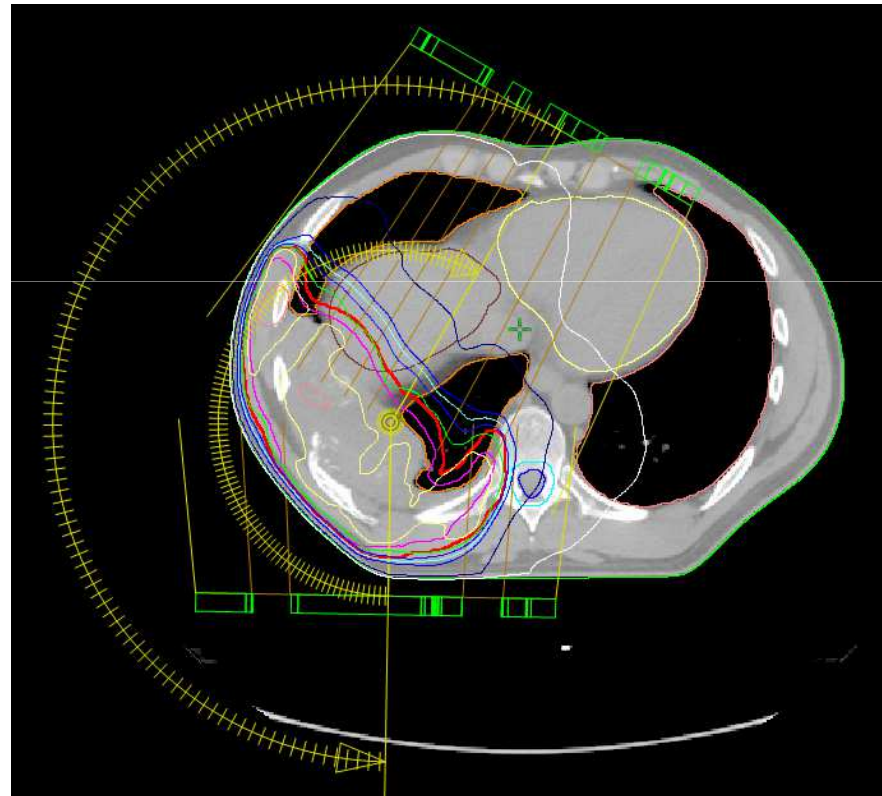
Original CT + plan

Dose recalculated on modified CT  
(overlaid on CBCT)

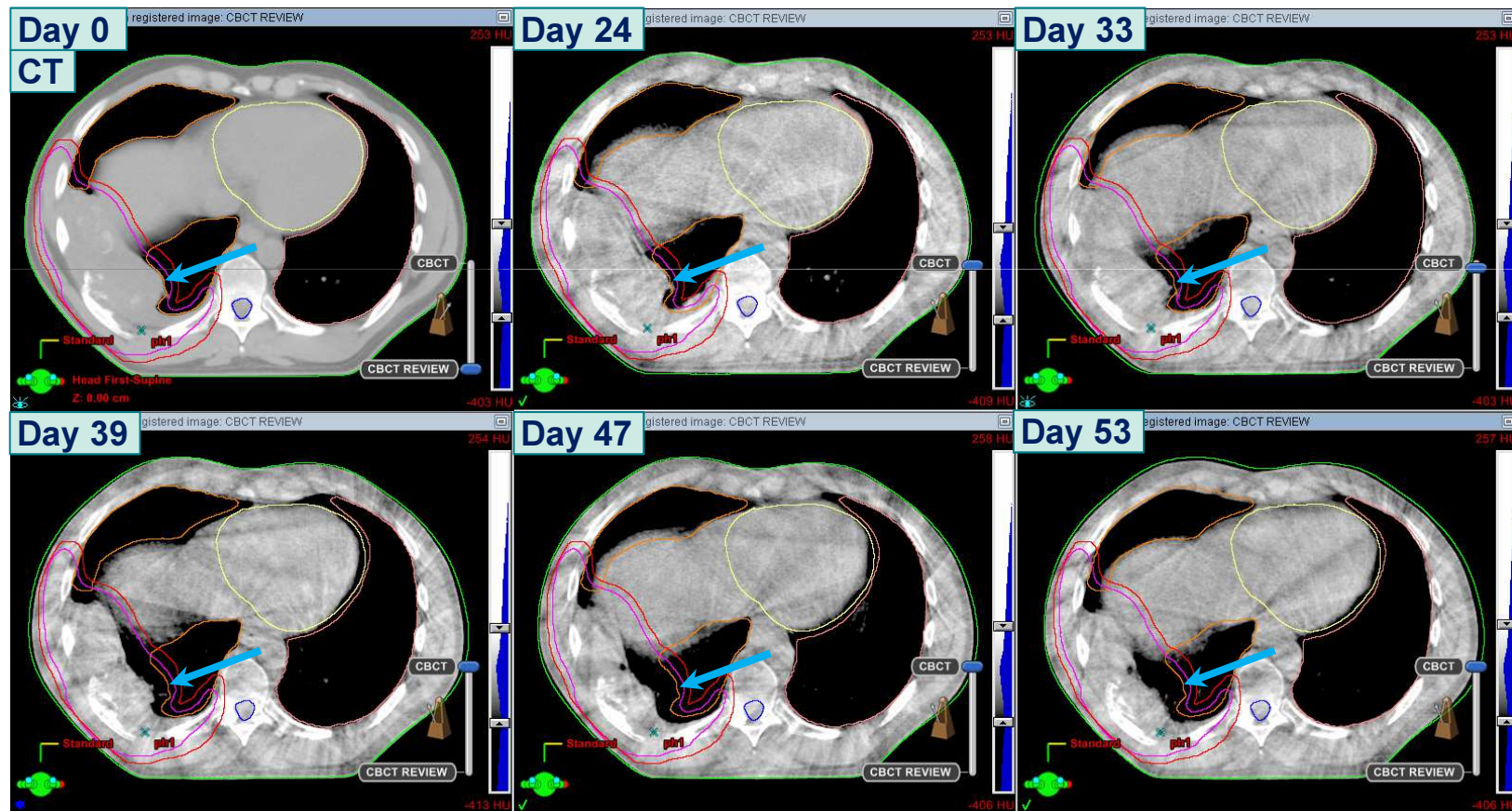
## Example – lung density changes



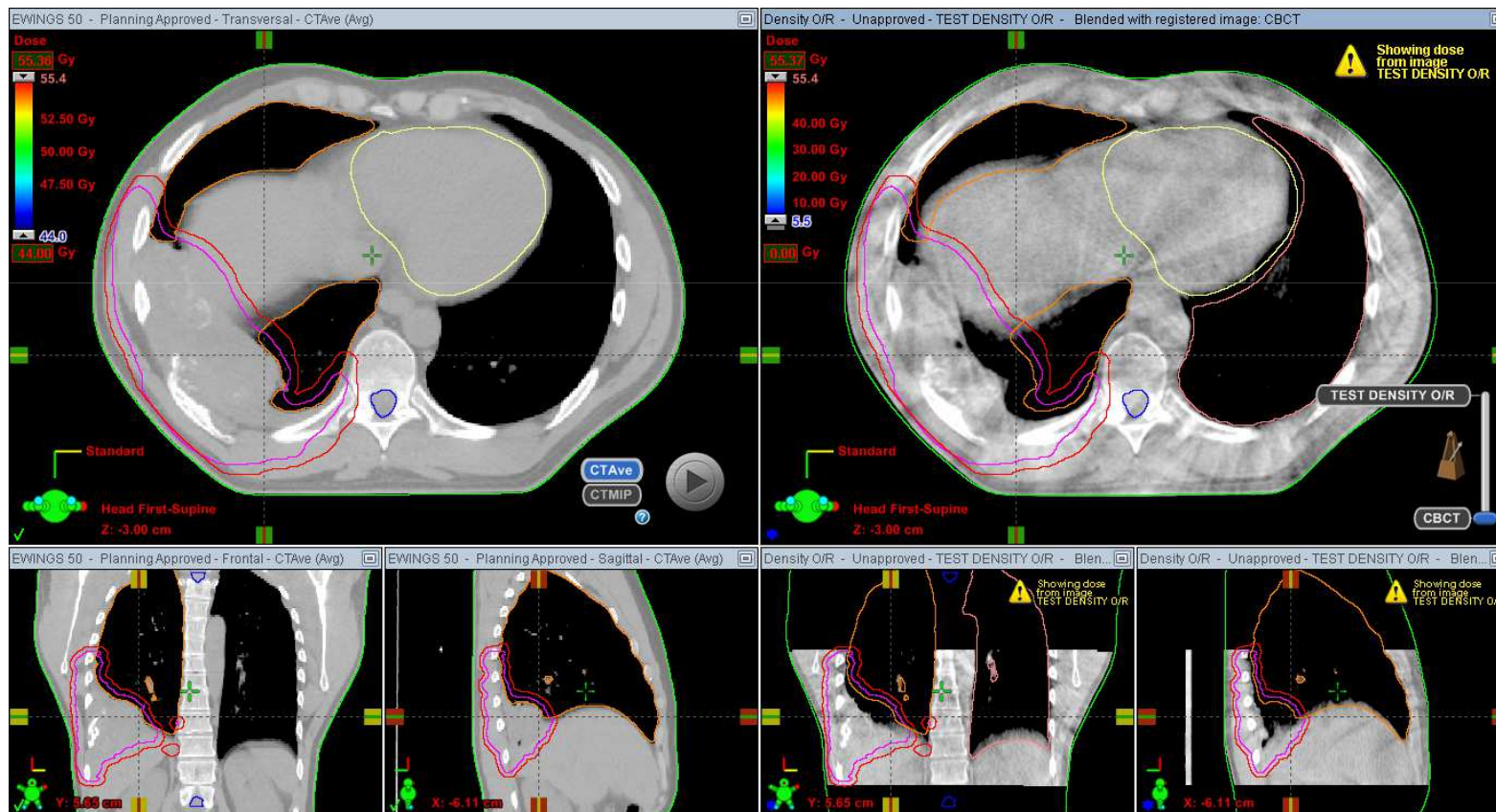
- Ewings sarcoma
- VMAT
- 2 partial arcs
- 50.4Gy 28#



## Example – lung density changes



## Example – lung density changes

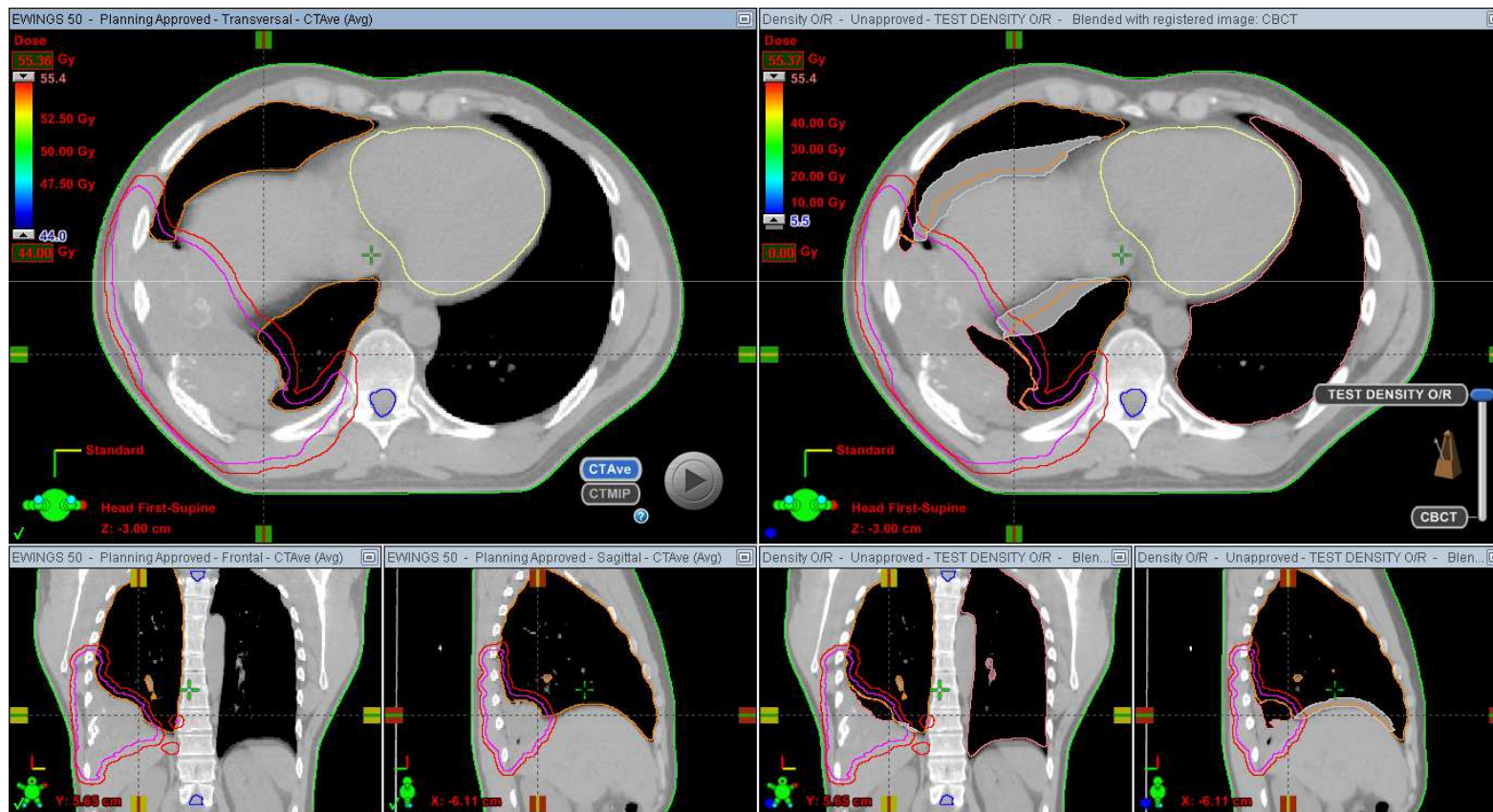


CT day 0

CBCT day 47



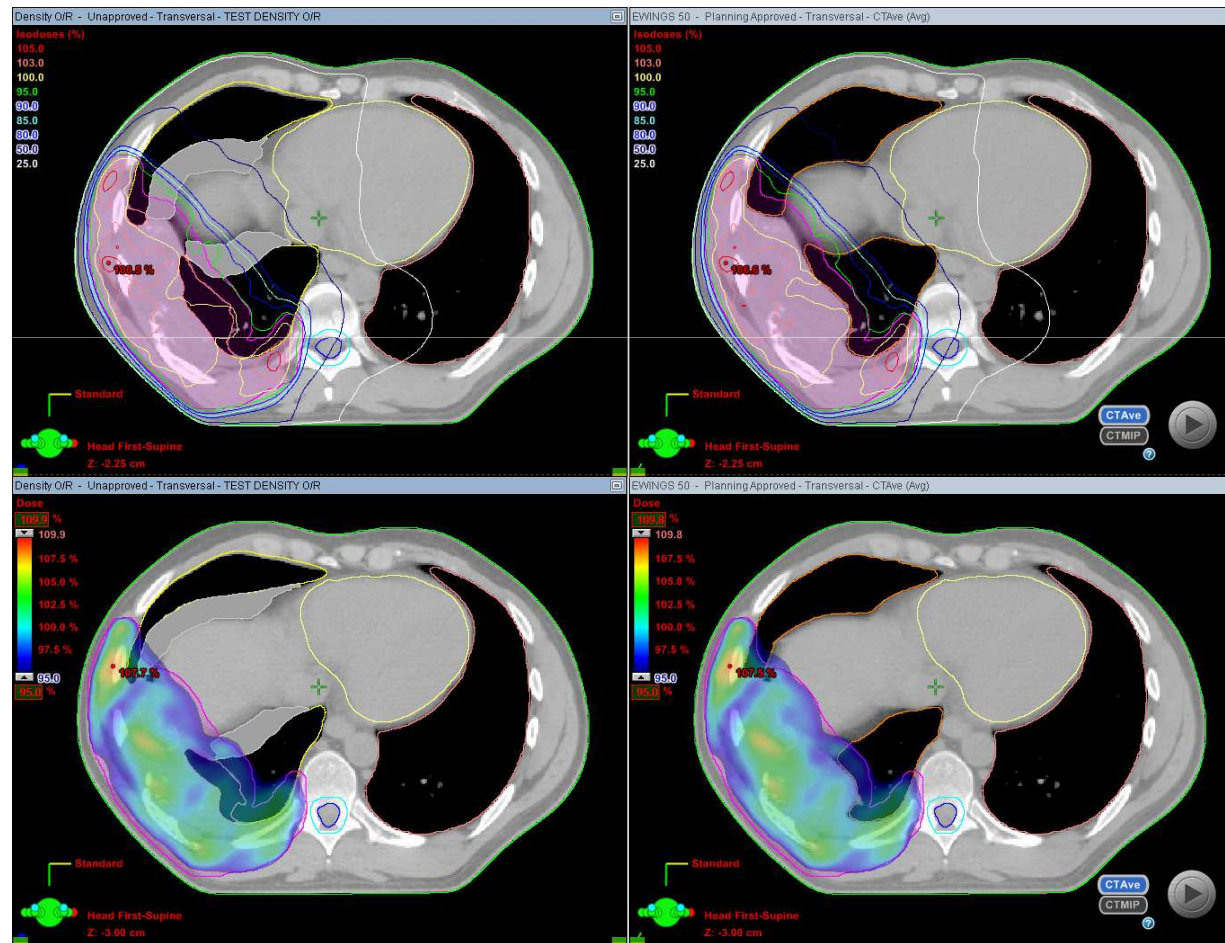
# Modified CT – lung density changes



CT day 0

CT – density override to CBCT

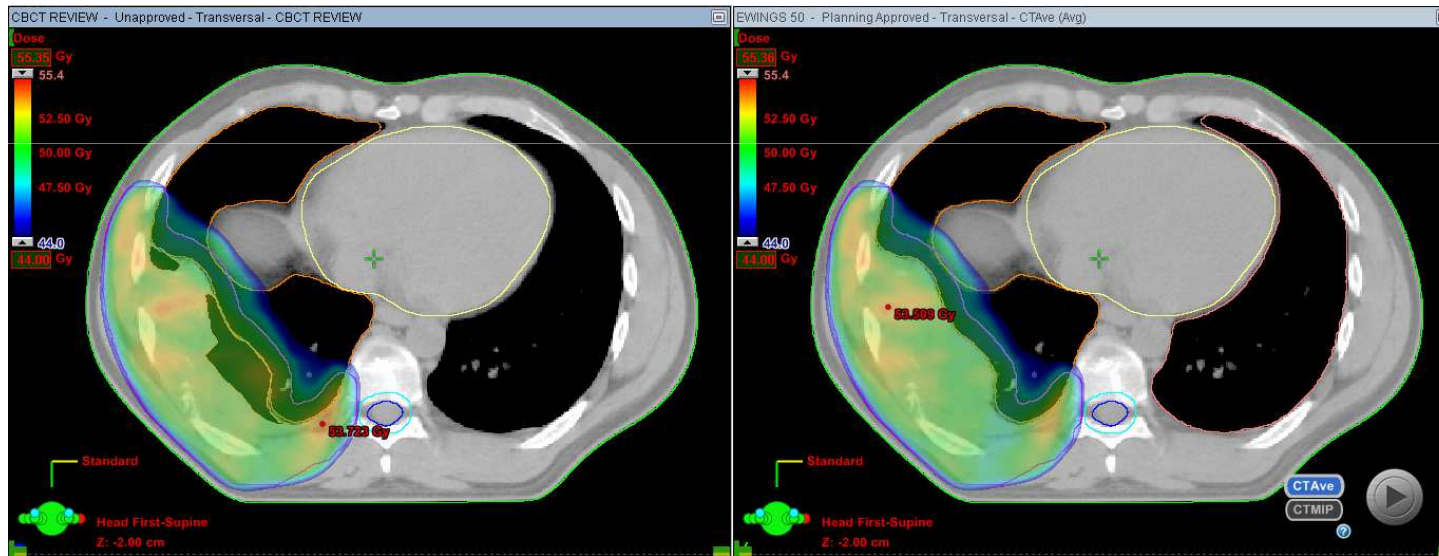
# Modified CT – lung density changes



Colourwash:  
95% dose

# Modified CT – lung density changes

Colourwash: 44Gy



Recalculate with density override

Original plan

## Adaptive RT - practical considerations

---

- Imaging dose - may limit imaging frequency.
- Limited quality/information of CBCT image.
- One image is only a snapshot – how representative is the assessment?
- Time is required to respond to changes:
  - Time for image review and assessment;
  - Availability of clinician;
  - Time for rescan, recontour, replan, review, plan QA.
- Take into account time through treatment course when assessing dosimetric impact.
- Dose accumulation?

## Advances

---

- Improved imaging technology.
- Improved reconstruction algorithms.
  - Improved image quality, reduced imaging dose?
- Ability to stitch multiple images – increase imaged length.
- 4D-CBCT – reduce motion artefacts, capture respiratory motion.
- Deformable registration.
- Automatic contouring.

## Conclusions

---

- UCLH – process established for on-treatment image review and simple dosimetric assessment of anatomical changes.
- Scope for adaptation governed by quality/quantity of imaging information and planning pathway constraints.
- Ongoing areas of research and development may lead to improved image information, streamlined processes - increased ability to adapt.

# Intensity Modulated Radiotherapy for Paediatrics

## Dr Jenny Gains

ESTRO teaching course on IMRT, London  
3<sup>rd</sup> April 2016

## Background

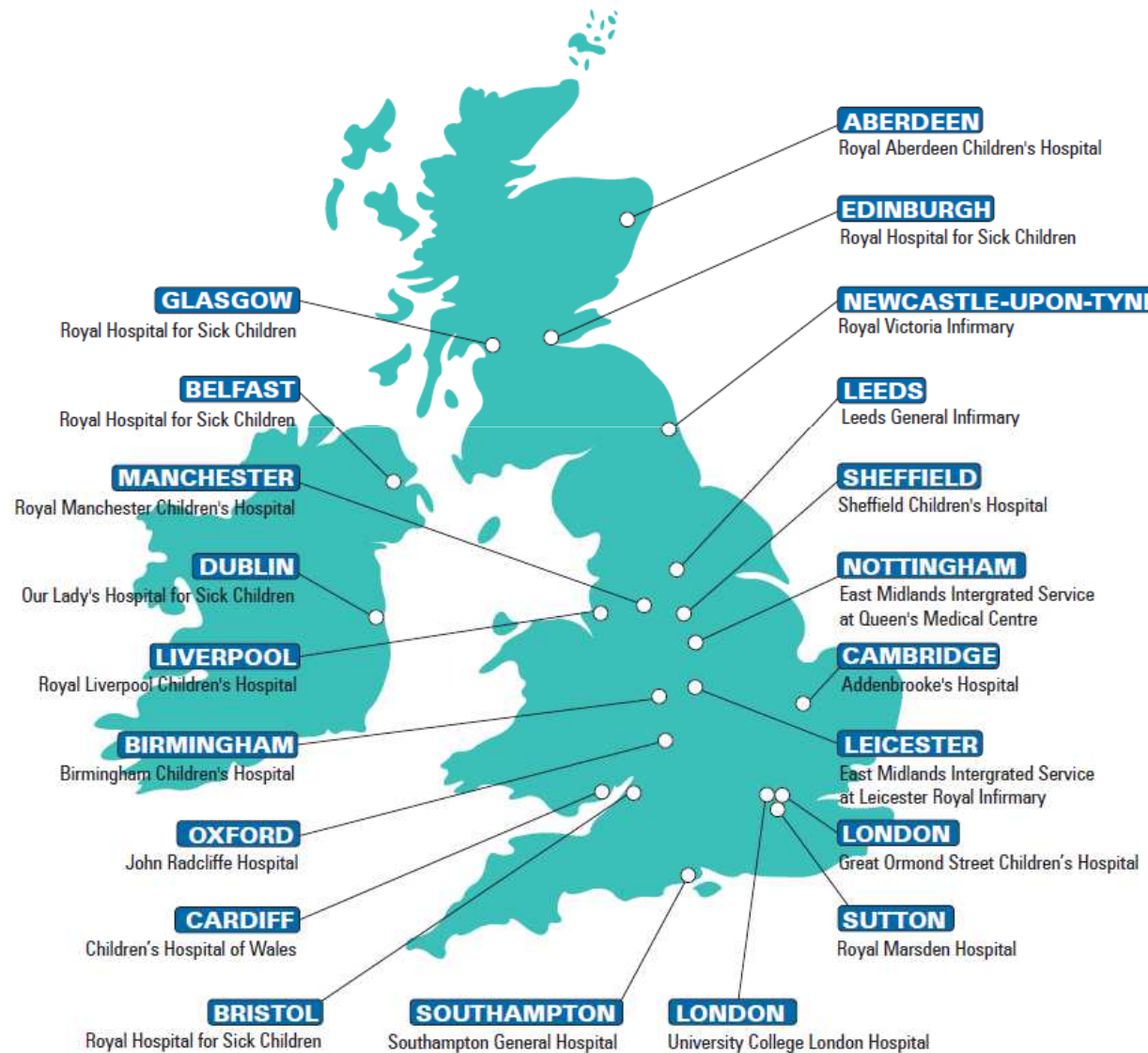
- Cancer in children is rare
- Between 1,500 and 1,700 children under the age of 16 years develop cancer or leukaemia in the UK (*Cancer Research UK Cancerstats: Childhood Cancer – Great Britain and UK*)
- Wide range of tumour types and anatomical sites
- Patient care is complex



## Background

- Radiotherapy is a component of treatment for many children and teenagers
- Radiotherapy should only be given in specialist centres
- Specialist multidisciplinary team
- Management of acute and late effects

# CHILDREN'S CANCER CENTRES



Paediatric Radiotherapy  
at 18 Centres: UK and  
Ireland

UCLH serves GOS and  
UCLH and more specialist  
services for the whole UK

Hepatoblastoma

# Medulloblastoma

Supratentorial Primitive Neuroectodermal Tumours

Nasopharyngeal Carcinoma **Ependymoma**

**Rhabdomyosarcoma**

Retinoblastoma

**Acute Lymphoblastic Leukaemia**

Atypical Teratoid Rhabdoid Tumours

**Neuroblastoma**

Diffuse Intrinsic Pontine Glioma

**Thyroid Cancer**

Sickle Cell Anaemia

High Grade Glioma

Aplastic Anaemia

**Low Grade Gliomas and Optic Pathway Tumours**

Chronic Myeloid Leukaemia

**Wilms' Tumour**

Choroid plexus Carcinoma

Intracranial Germ Cell Tumours

**Hodgkin Lymphoma**

Ewing Sarcoma

Synovial Sarcoma

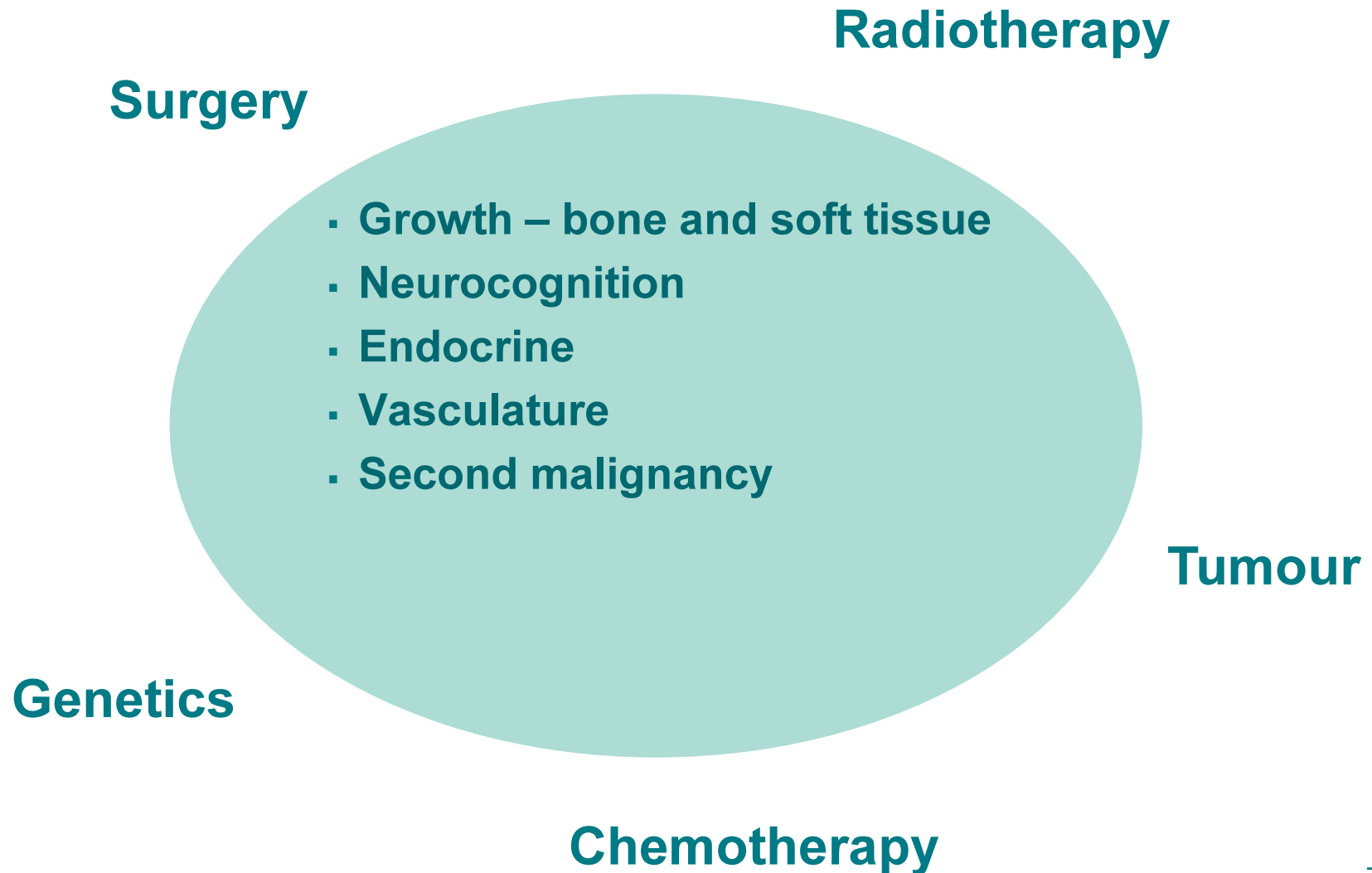
Langerhans Cell Histiocytosis

# Paediatric Radiotherapy

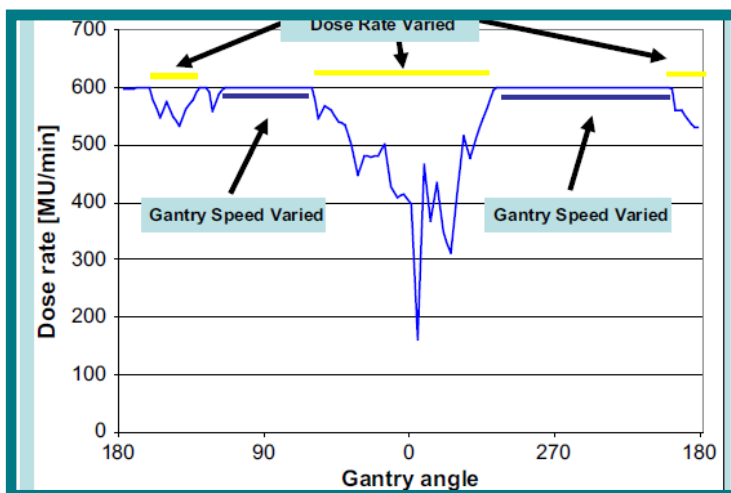
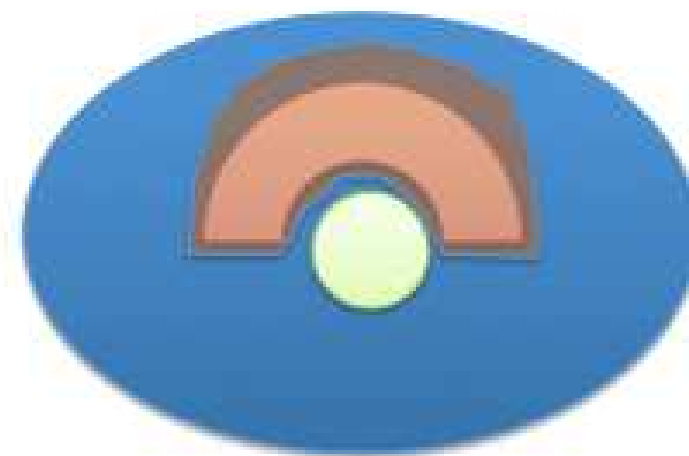
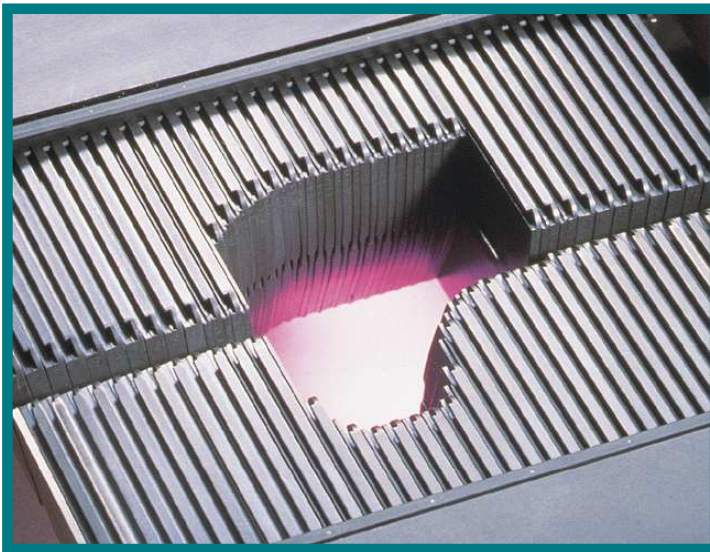


**Improving  
Efficacy**

**Reducing Late  
Effects**



# IMRT



## IMRT

- Treatment planning studies 3D conformal radiotherapy v's IMRT clearly demonstrate the improved conformality of high dose area with IMRT
- Better PTV homogeneity
- Potential dose escalation and reduction in toxicity
- Widely adopted in the adult setting
- More reservation in paediatric population
- Lack of prospective evaluation in terms of clinical studies , determining better outcomes and long term toxicity

## Paediatric IMRT

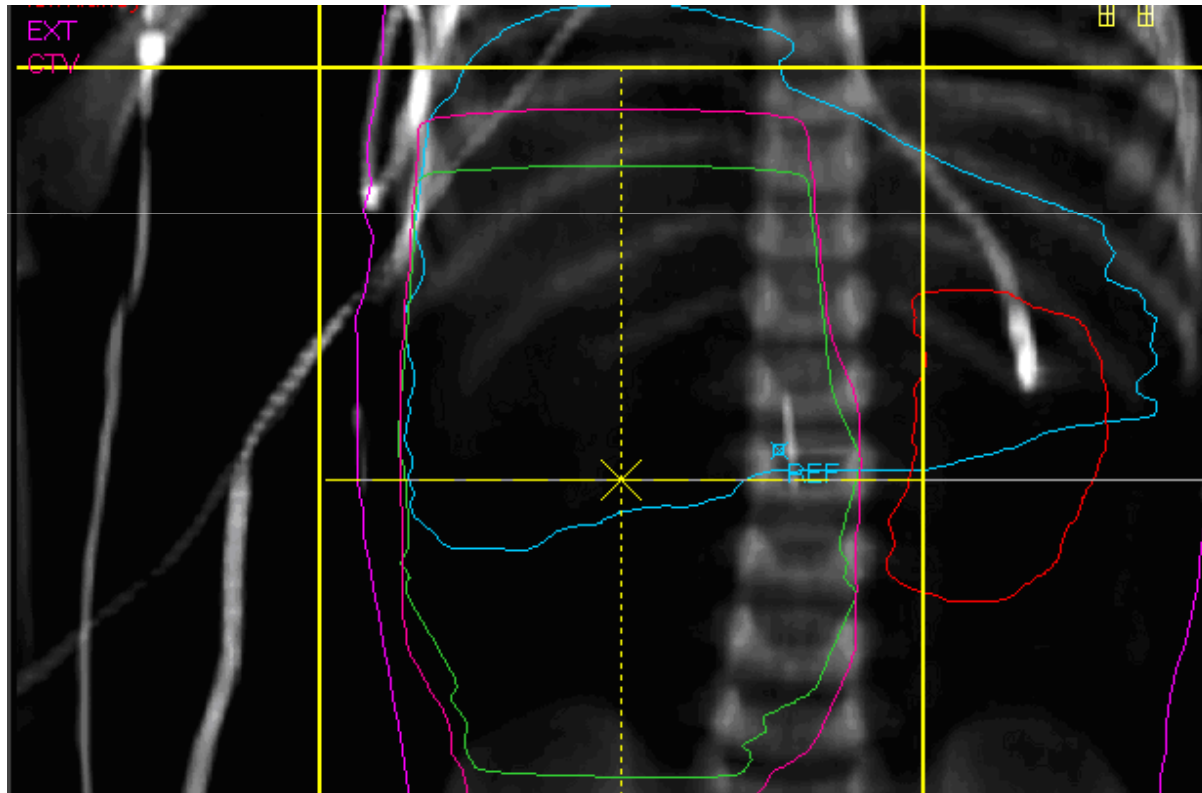


UCLH

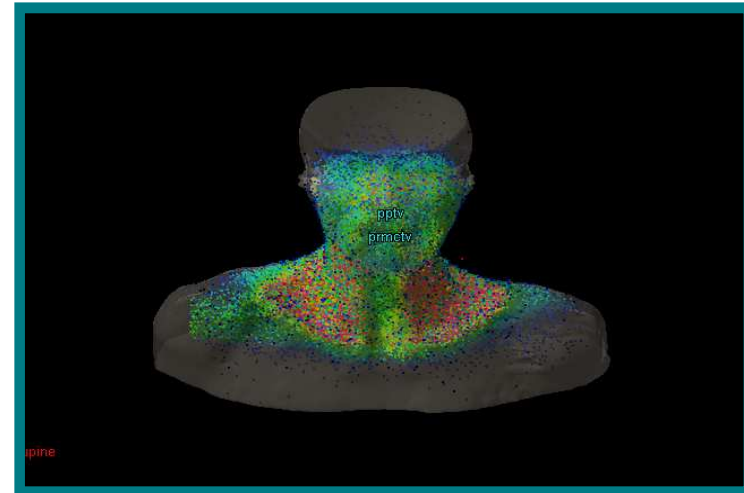
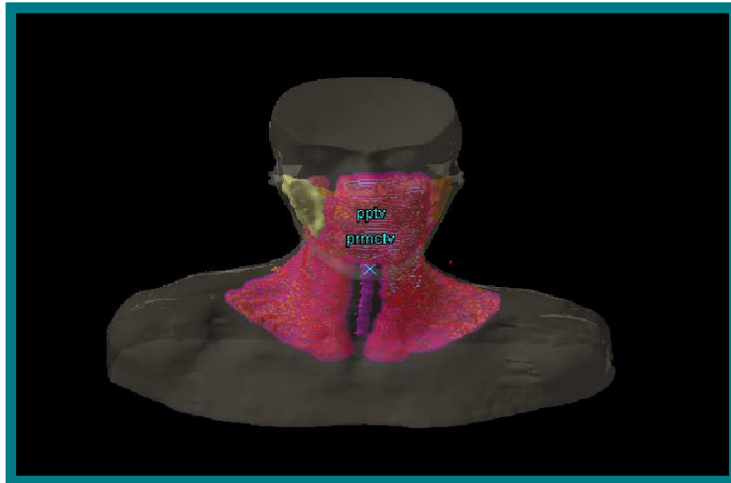
- Improved Target Volume Coverage
- OAR sparing
- Second malignancy
- Effects on growth



## Effects on growth



# Second Malignancies



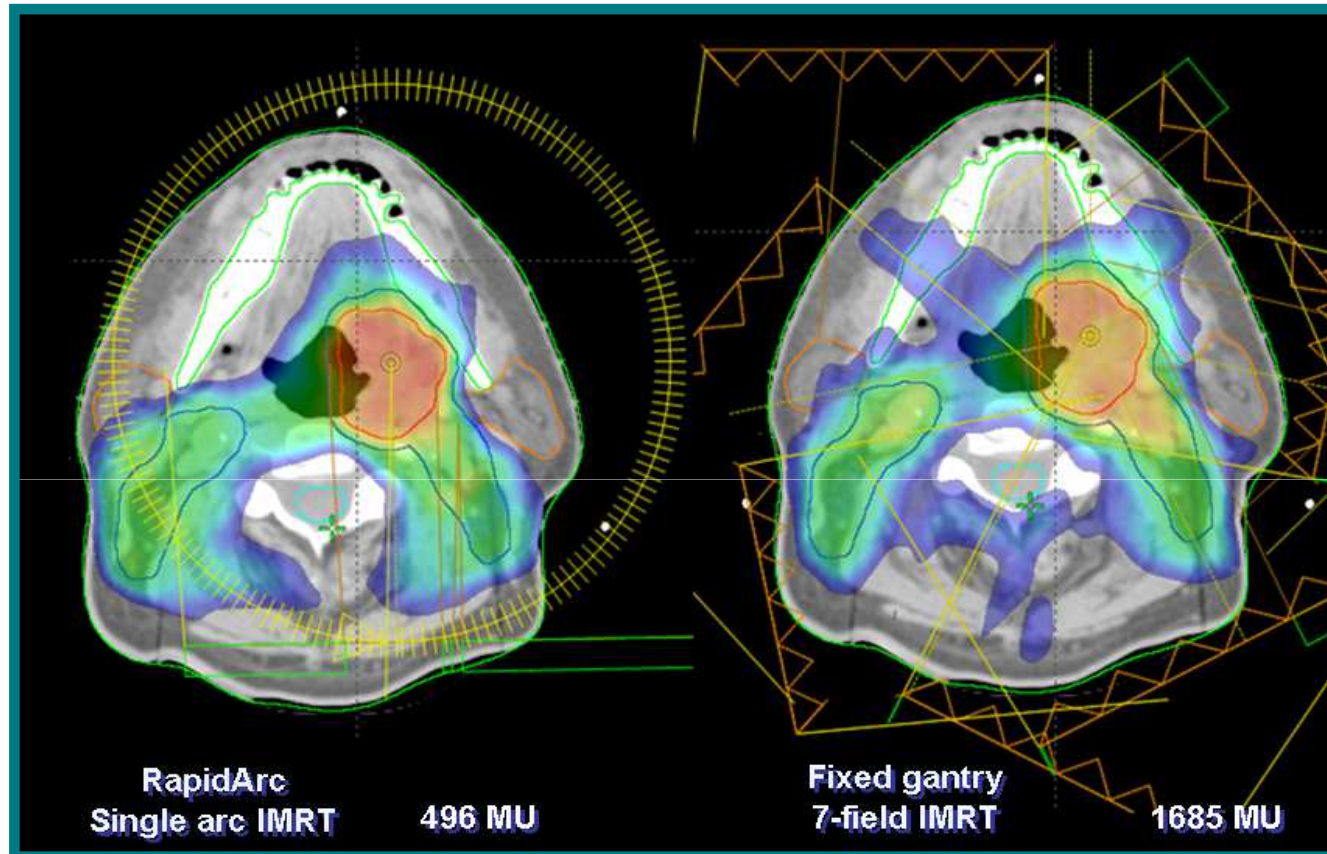
- *Hall et al. (IJROBP 2003)* IMRT may increase second malignancy rate from 1% to 1.75%
- Higher MU's, increased leakage resulting in increase body dose, larger volume of normal tissue receiving a lower dose
- But, most second malignancies seen in the moderate or high dose volume

UCLH

## Paediatrics

- More sensitive to RT induced cancers
- Scattered radiation in small body
- Genetic susceptibility

## IMRT technique

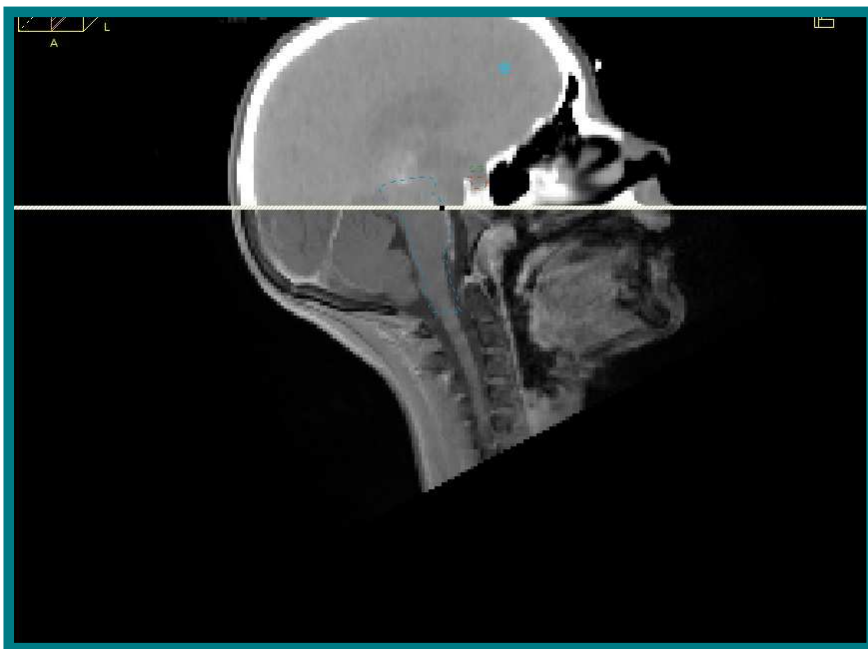


- Shorter Treatment Times
- Less MU
- ? Less second malignancy
- ? Better conformality

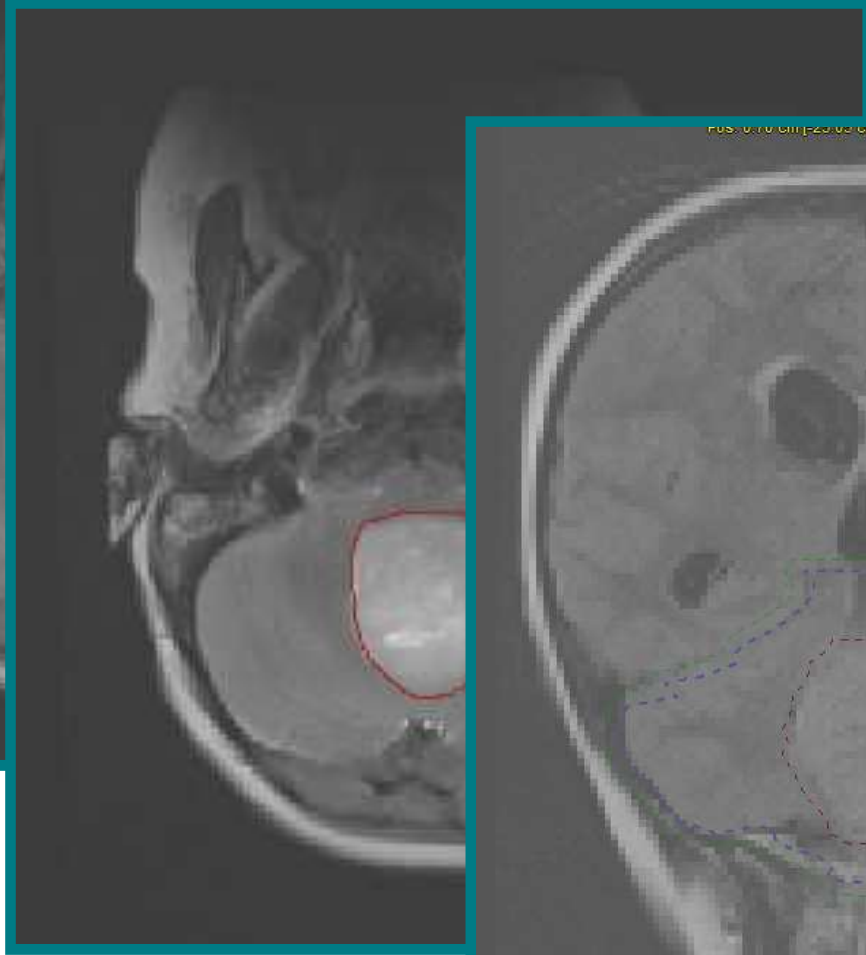
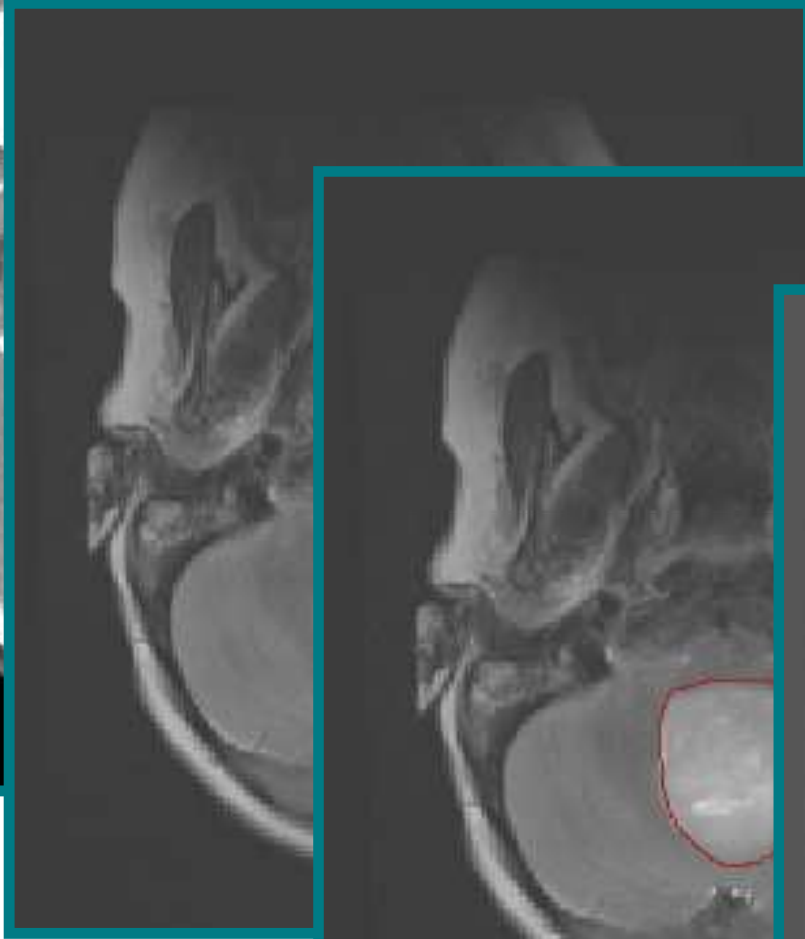
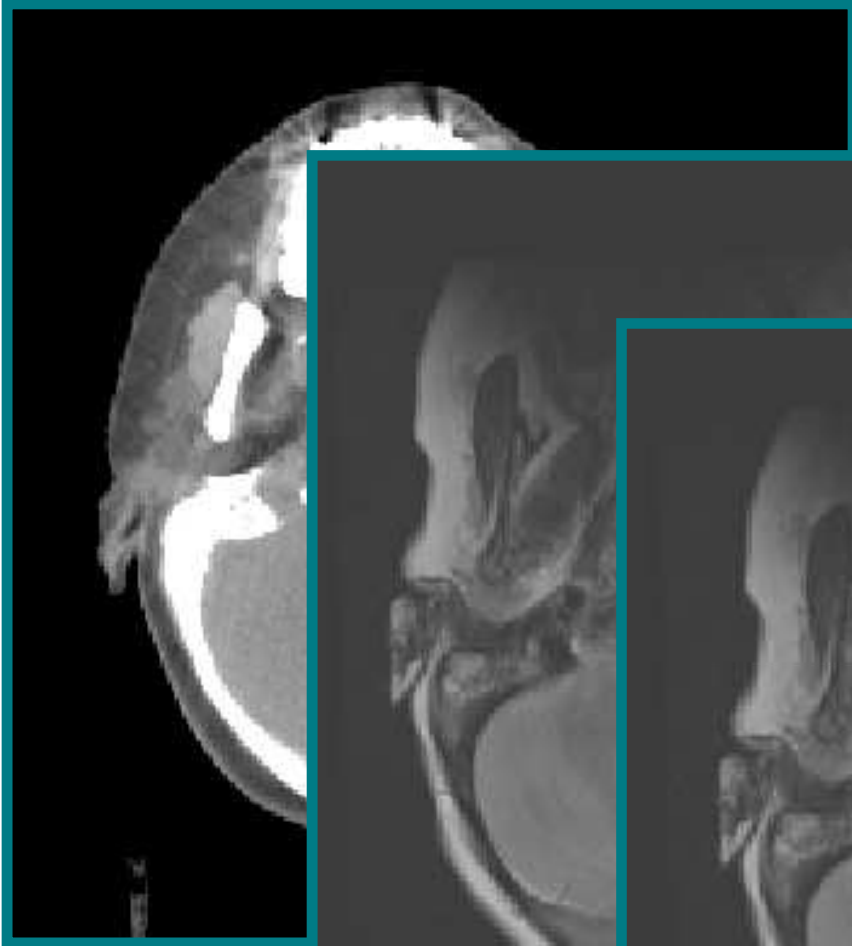
# Preparation



# Image Fusion

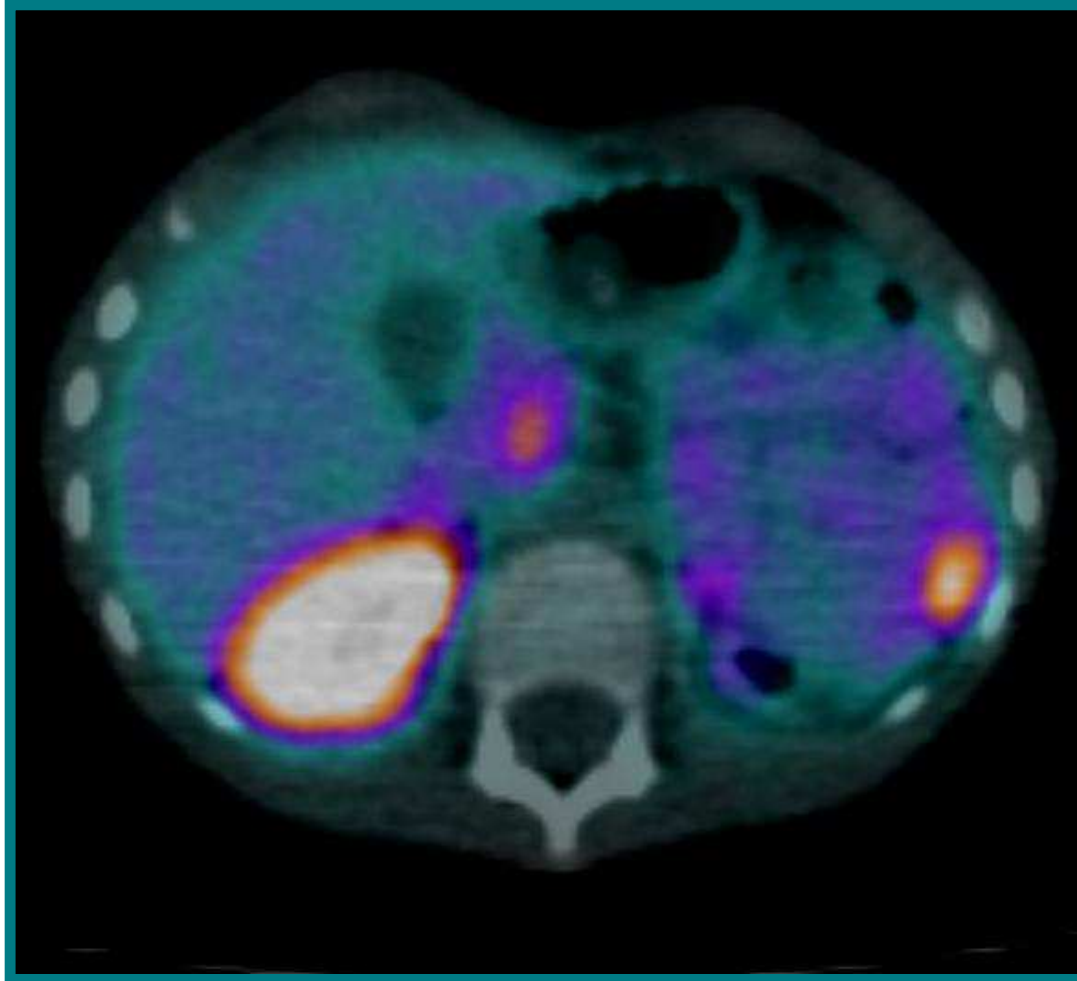


# Image Fusion MRI

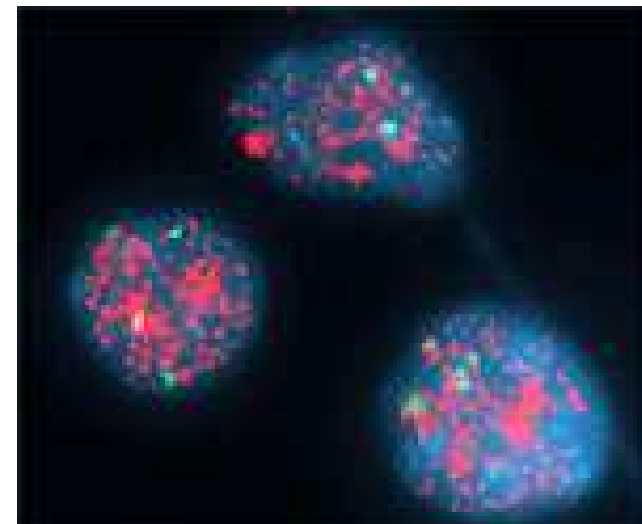
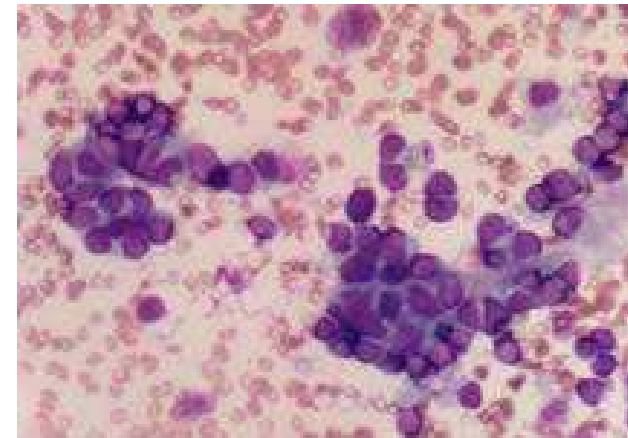
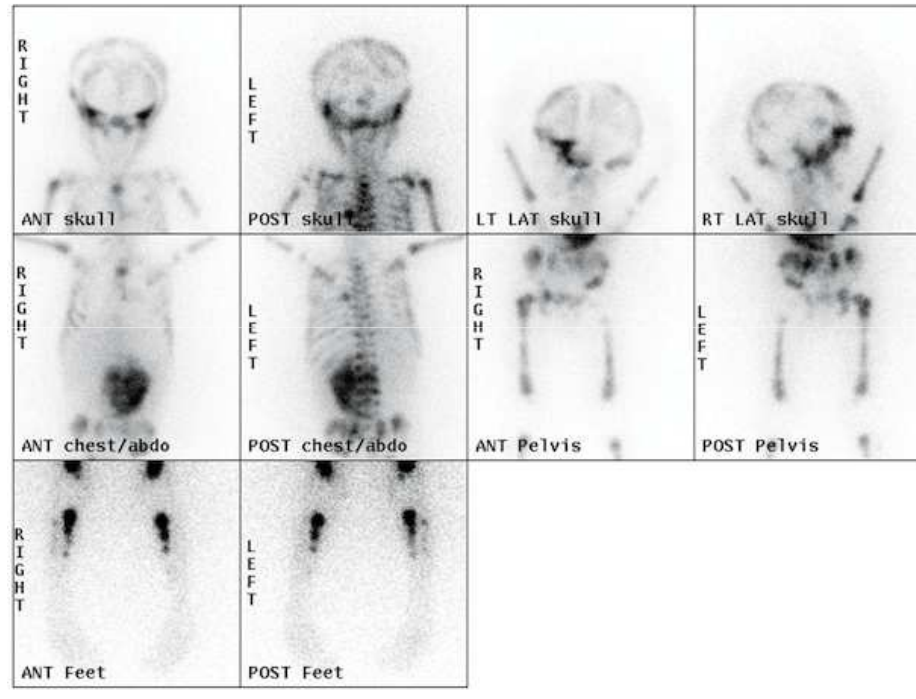


# Image Fusion PET/CT

uclh

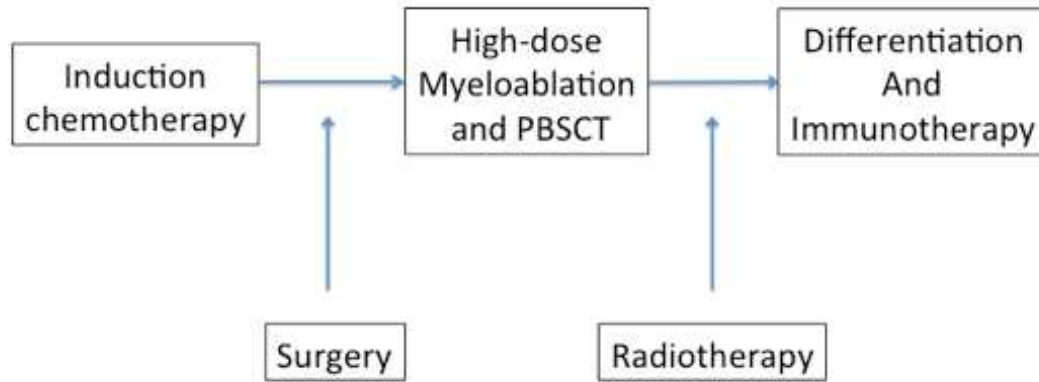


# Neuroblastoma

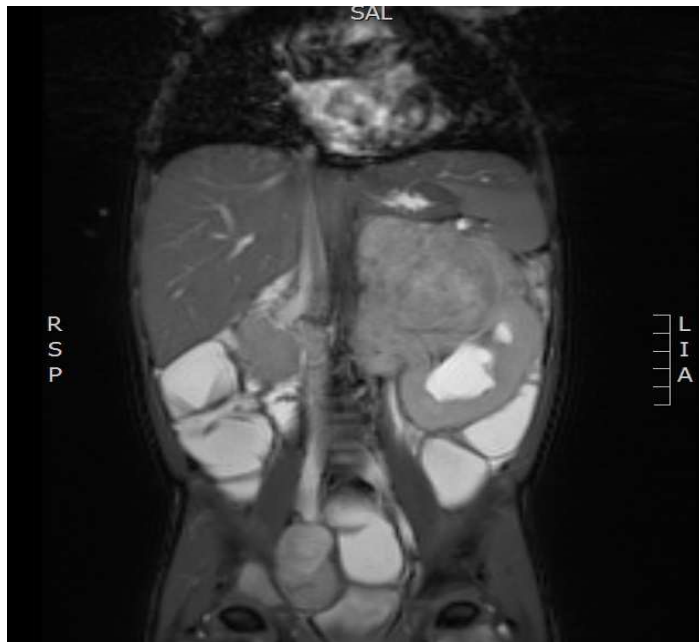




# Neuroblastoma



uclh

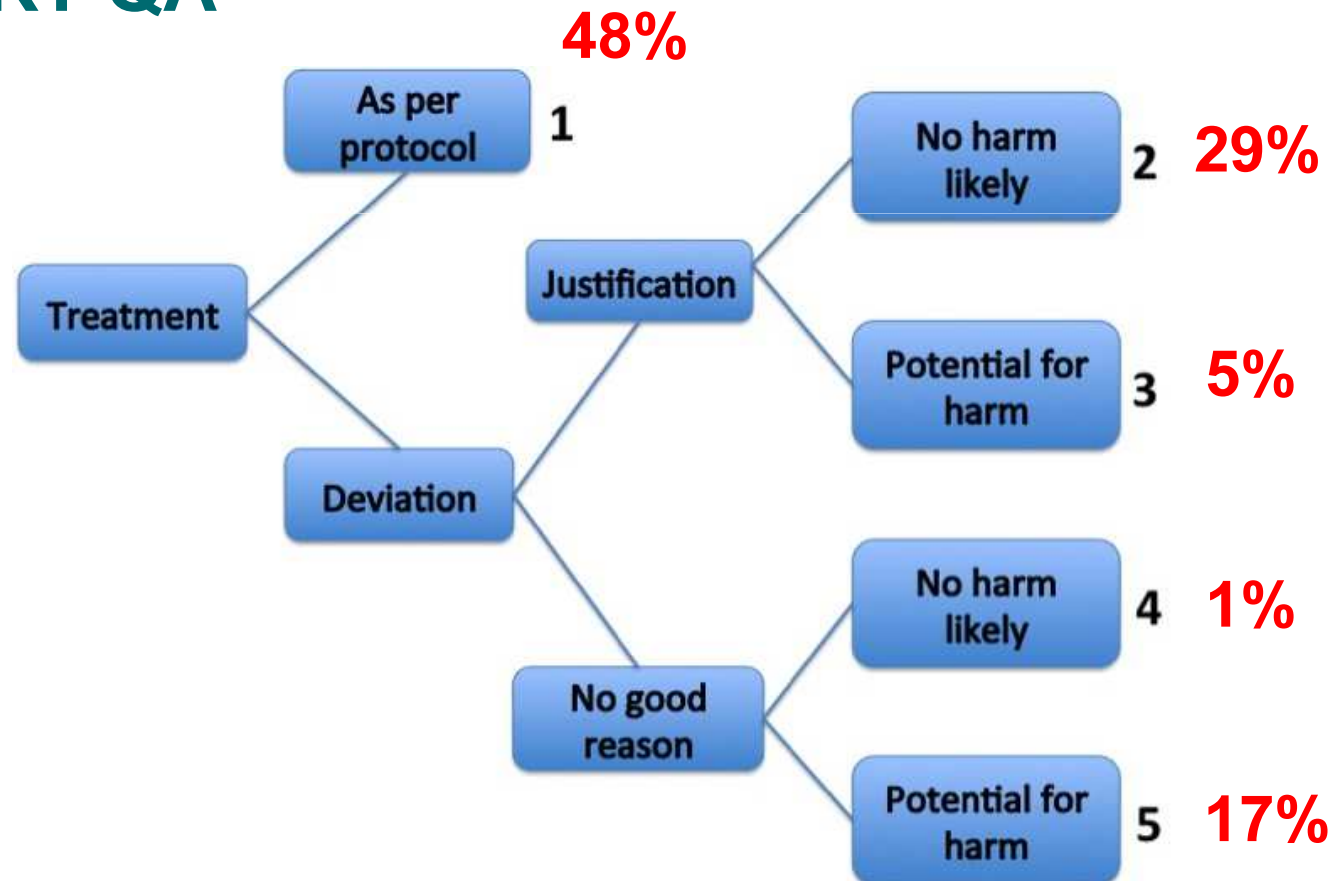


Int J Radiat Oncol Biol Phys. 2013 Jan 1;85(1):170-4. doi: 10.1016/j.ijrobp.2012.05.004. Epub 2012 Jun 30.

**Results of a quality assurance review of external beam radiation therapy in the International Society of Paediatric Oncology (Europe) Neuroblastoma Group's High-risk Neuroblastoma Trial: a SIOPEN study.**

Gaze MN<sup>1</sup>, Boterberg T, Dieckmann K, Hörmann M, Gains JE, Sullivan KP, Ladenstein R.

# SIOPEN RT QA



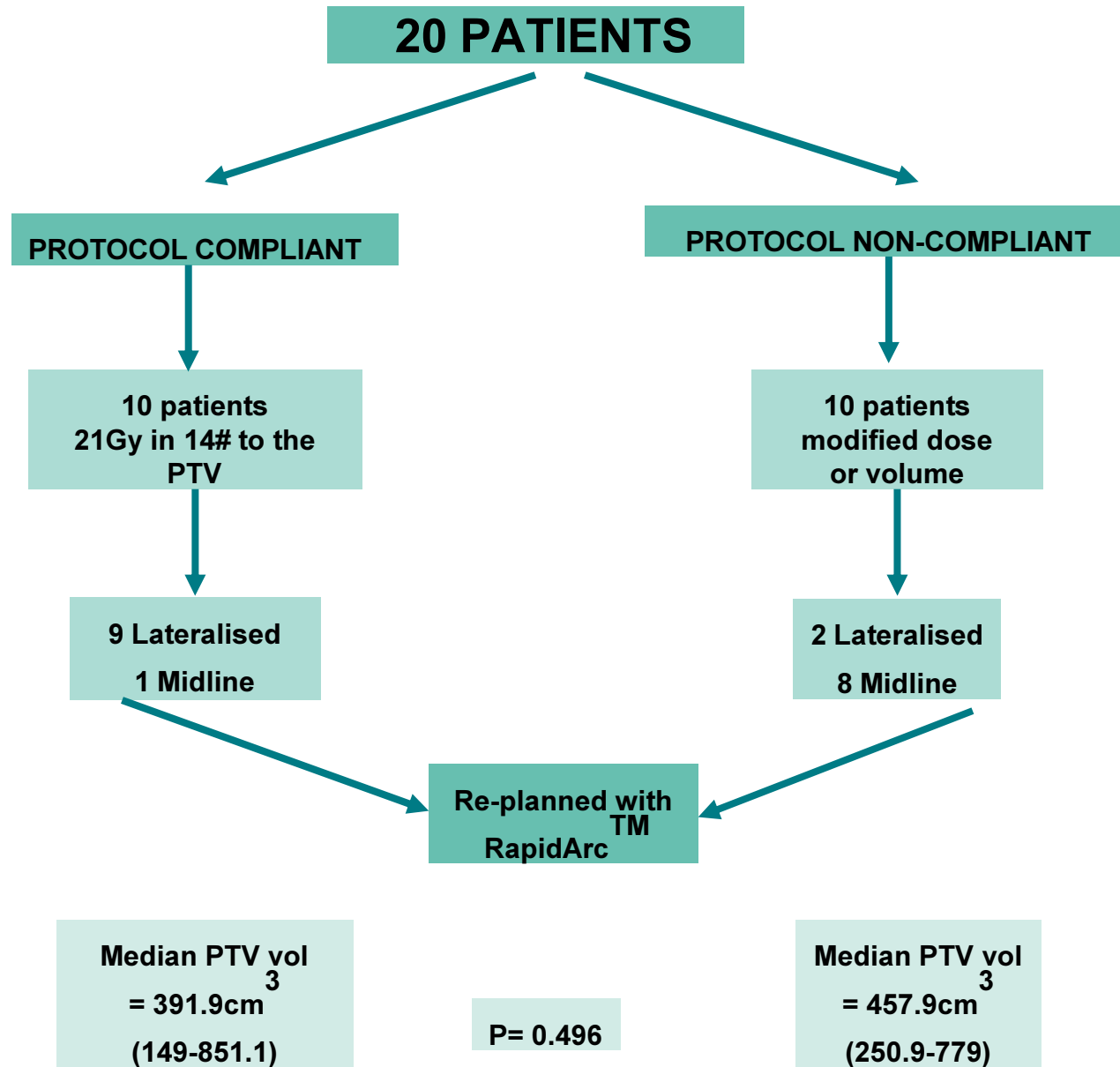
Future Oncol. 2013 Mar;9(3):439-49. doi: 10.2217/fon.12.199.

**Intensity-modulated arc therapy to improve radiation dose delivery in the treatment of abdominal neuroblastoma.**

Gains JE<sup>1</sup>, Stacey C, Rosenberg I, Mandeville HC, Chang YC, D'Souza D, Moroz V, Wheatley K, Gaze MN.

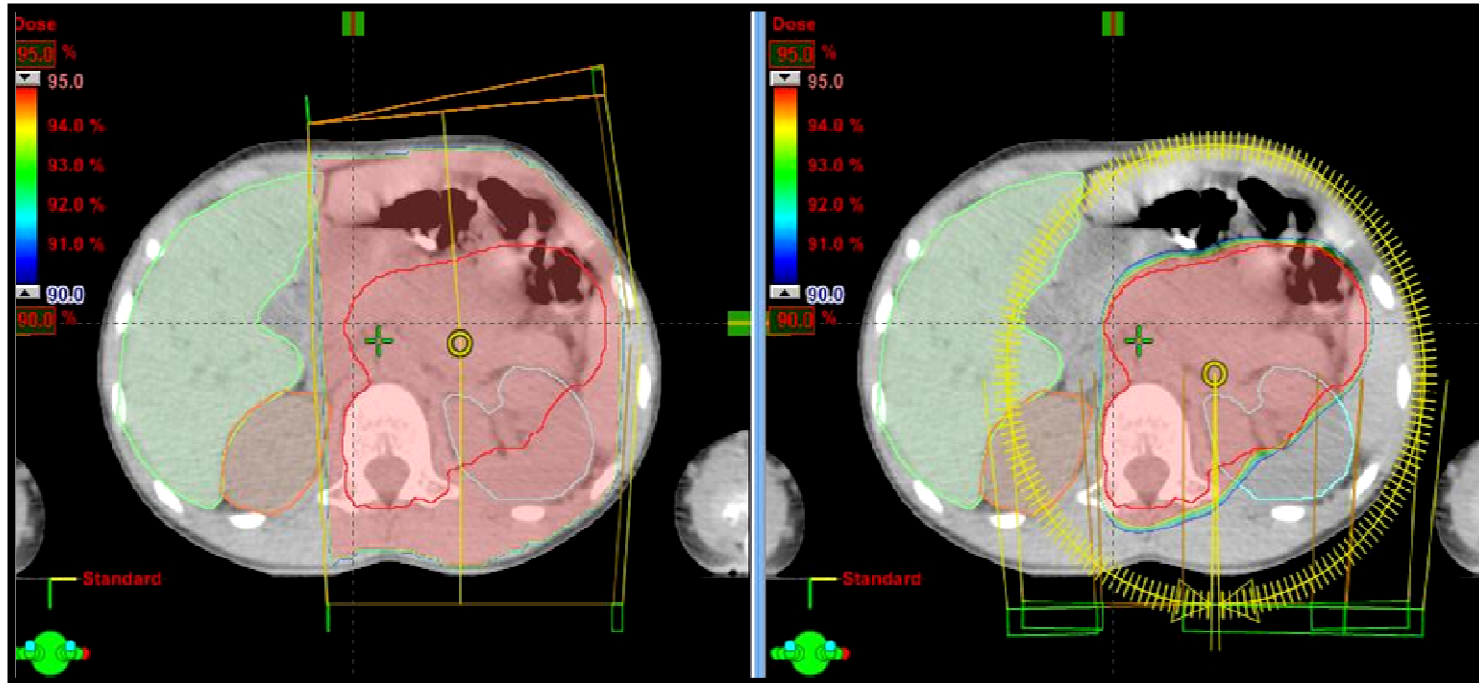
## Retrospective Planning Study

- To assess whether RapidArc™ (Varian Medical Systems), an IMAT technique could improve the number of patients where the full protocol dose could be delivered compared to conventional radiotherapy.



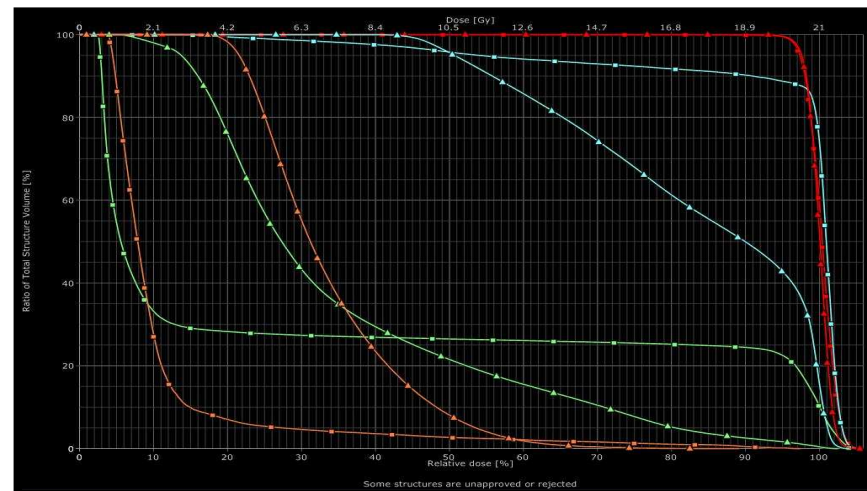
## PTV Coverage

	Conventional	RapidArc™	
<b>Median D<sub>2%</sub></b>	21.8Gy (15Gy-22.4Gy)	21.8Gy (21.5Gy-22.5Gy)	P=0.723
<b>Median D<sub>98%</sub></b>	15Gy (0.8Gy-20.3Gy)	19.9Gy (12.2Gy-20.5Gy)	P=<0.001
<b>Conformity Index</b>	1.75 (0.9-2.7)	1.1 (0.97-1.2)	P=<0.001
<b>Homogeneity Index</b>	0.33 (0.07-1.01)	0.09 (0.05-0.48)	P=<0.001



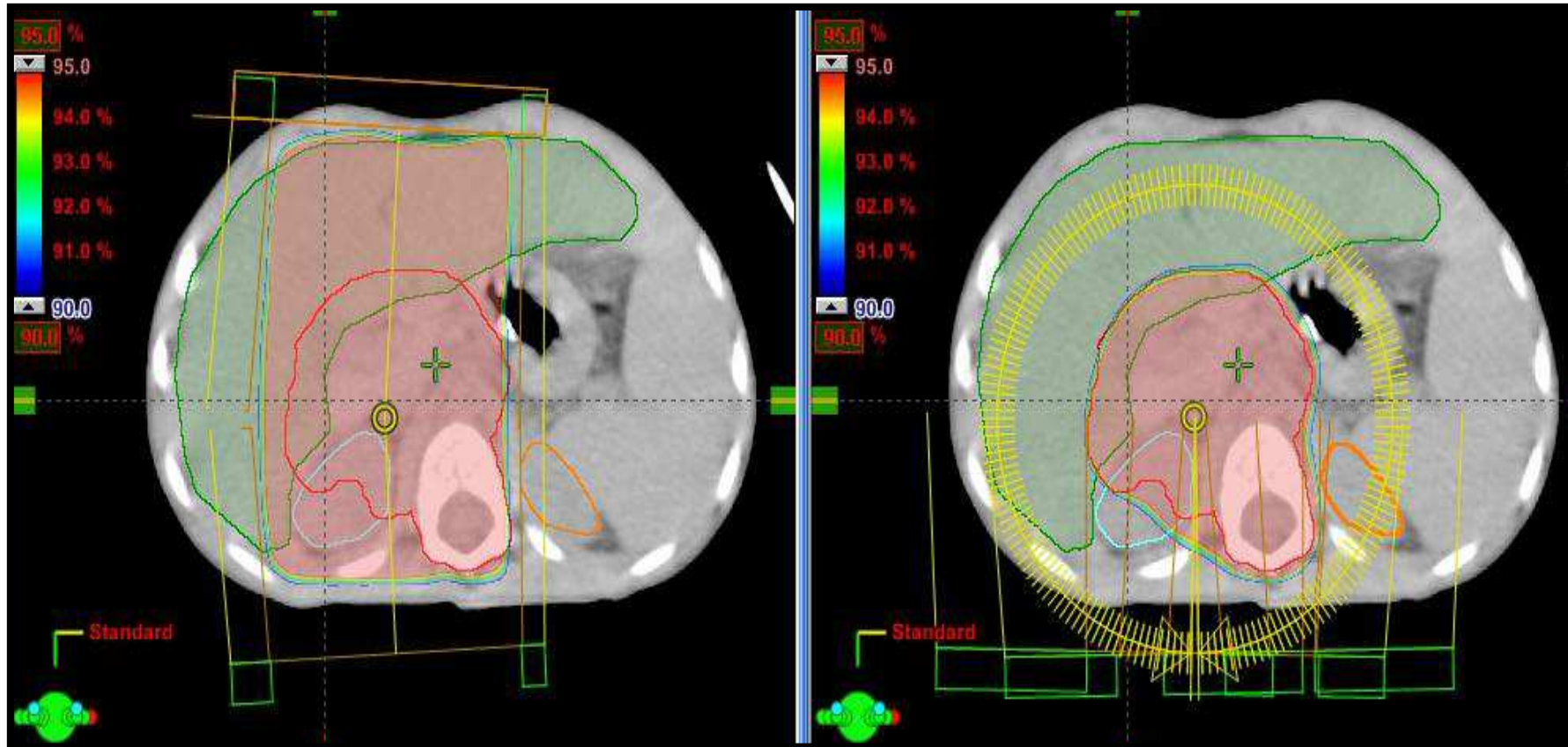
21Gy in 14#

21Gy in 14#



□ Conventional

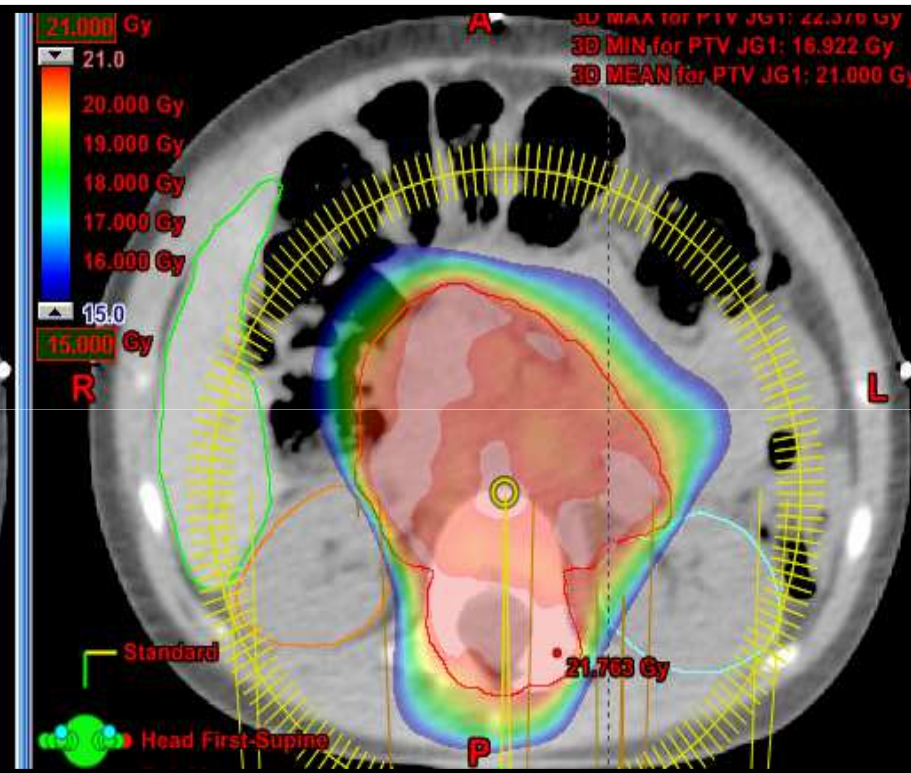
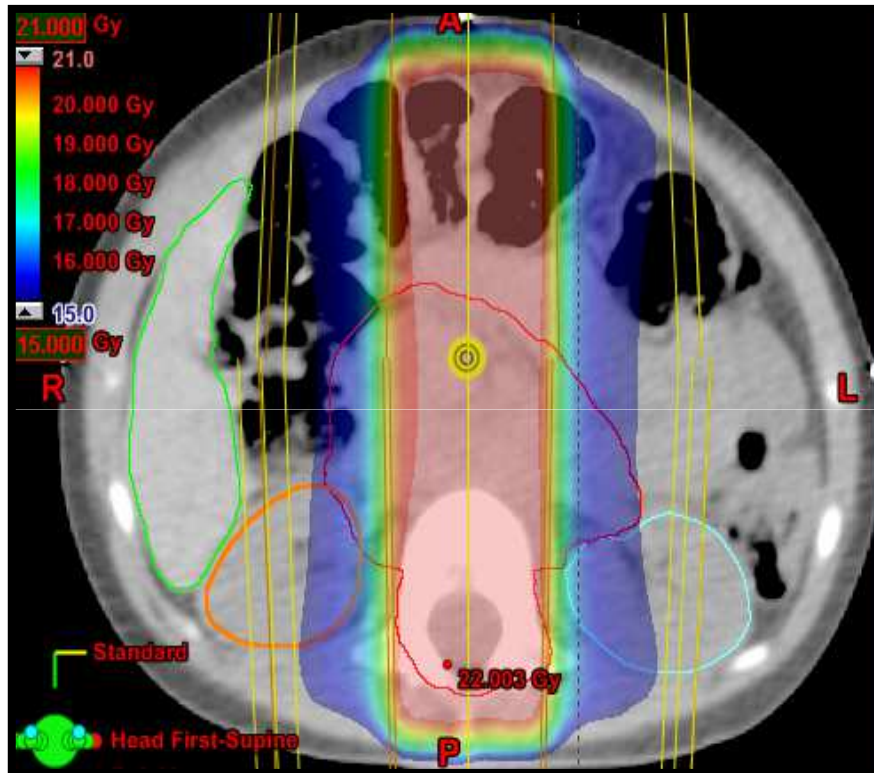
△ RapidArc



21 Gy in 14#

21 Gy in 14#

# Protocol Non-compliant Group

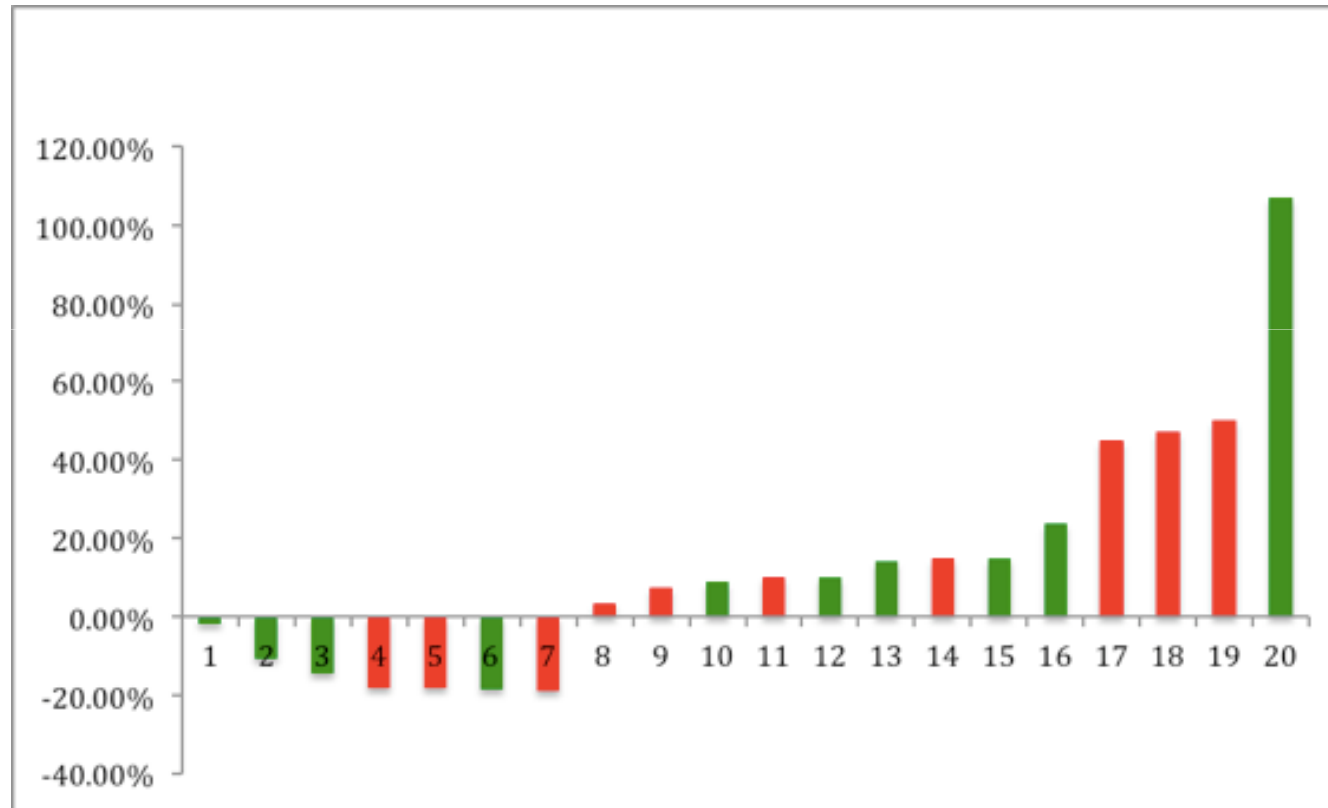


Phase 1 15Gy in 10#  
Phase 2 6Gy in 4#

21Gy in 14#

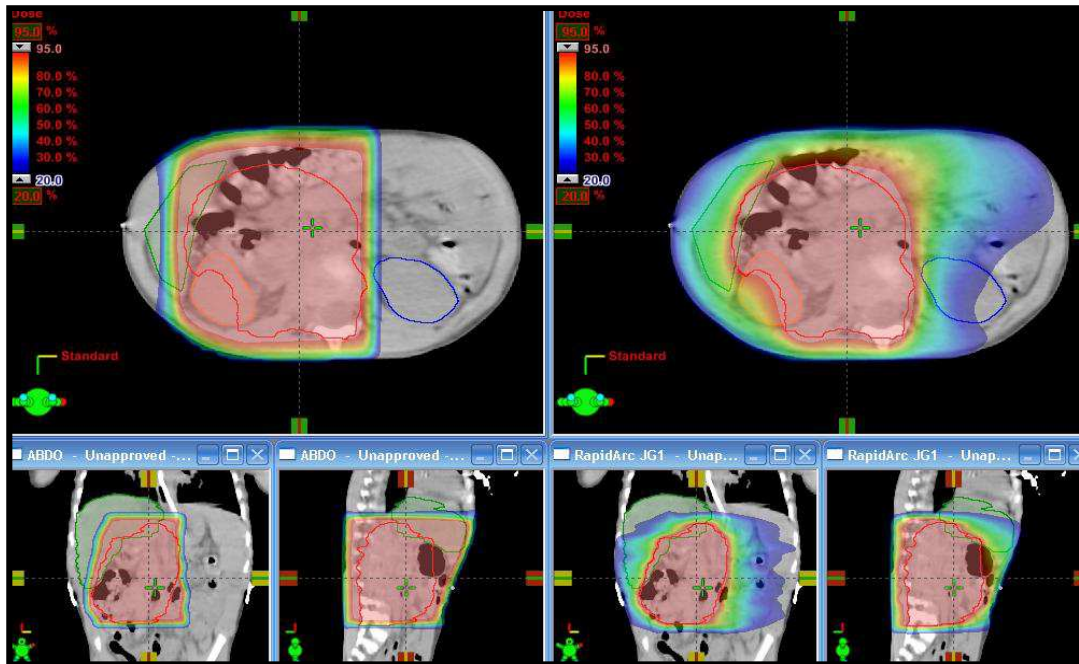


# Non-PTV Integral Dose

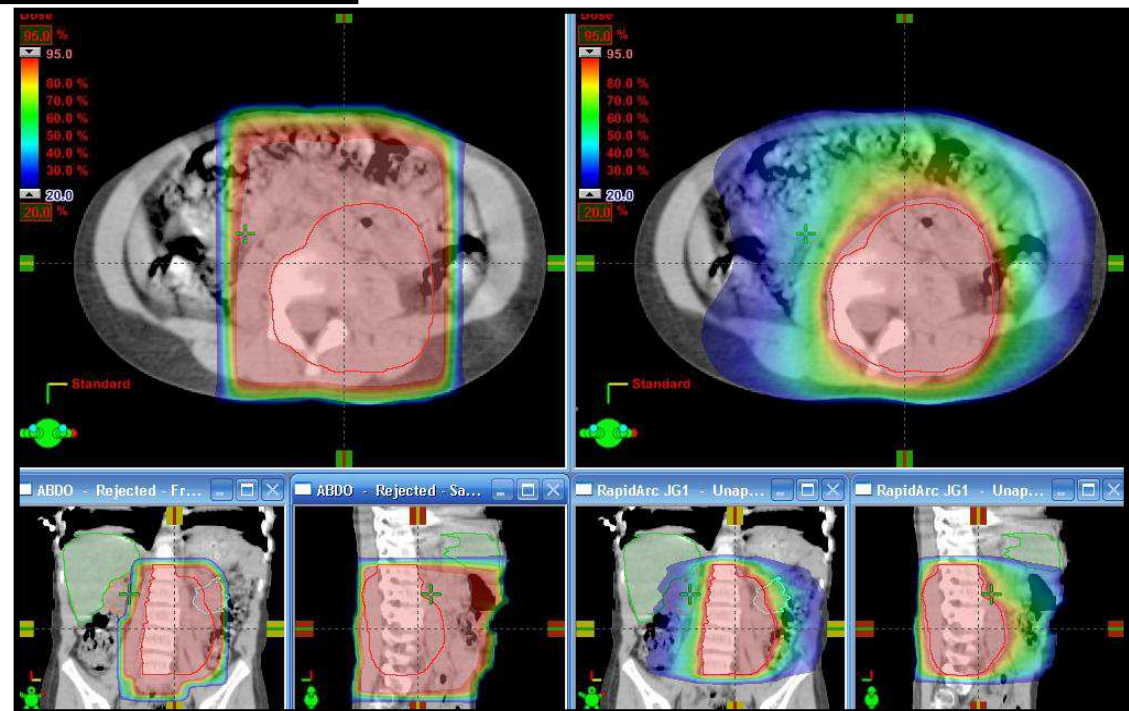


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# Increased NPID



# Reduced NPID



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# Conclusions

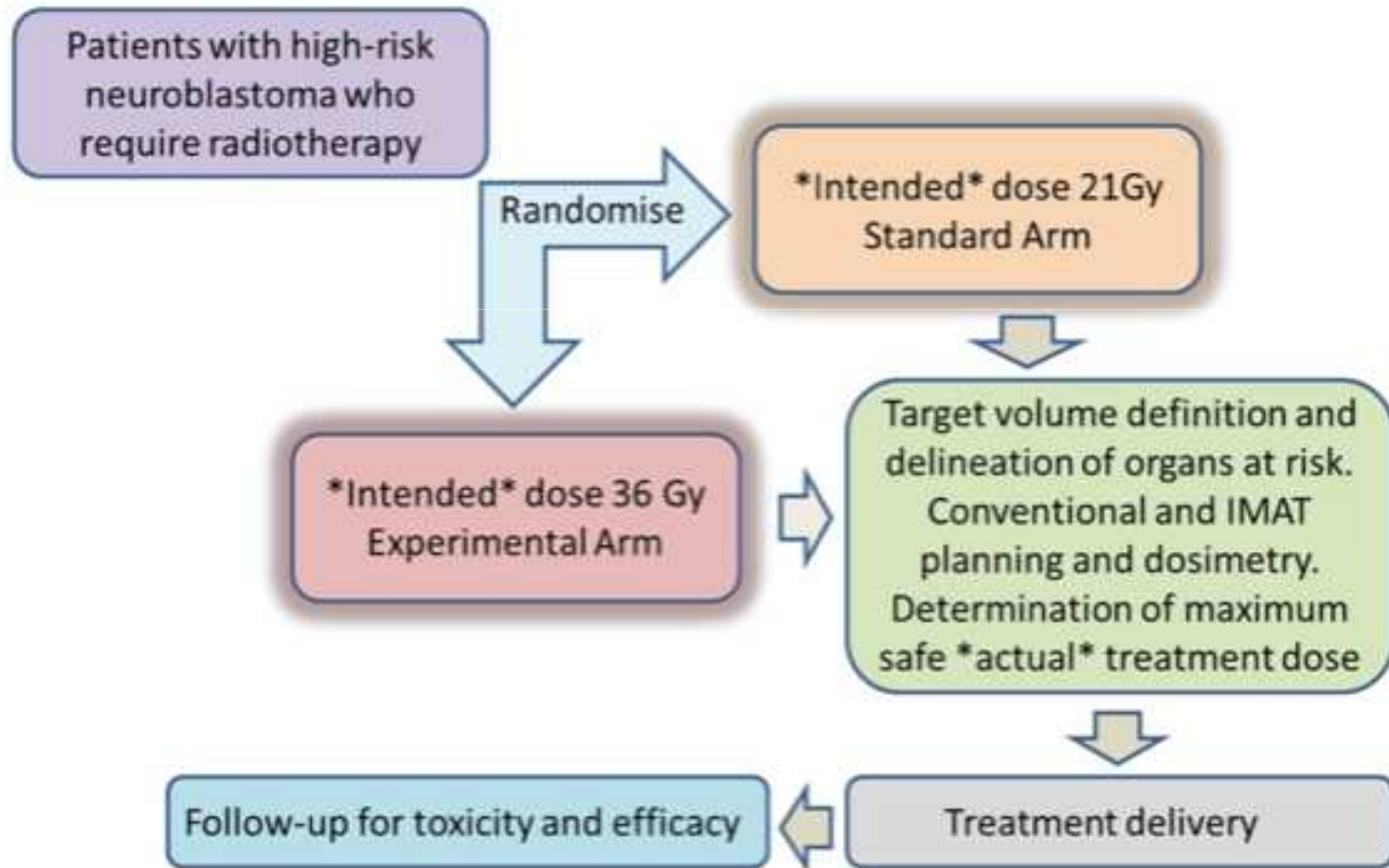
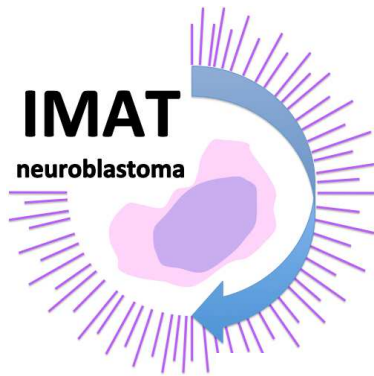
- RapidArc™ gave improved dose distributions and conformity to the PTV

## Main Advantages

- Midline tumours where conventional radiotherapy cannot deliver the dose within normal tissue tolerance
- Right sided tumours

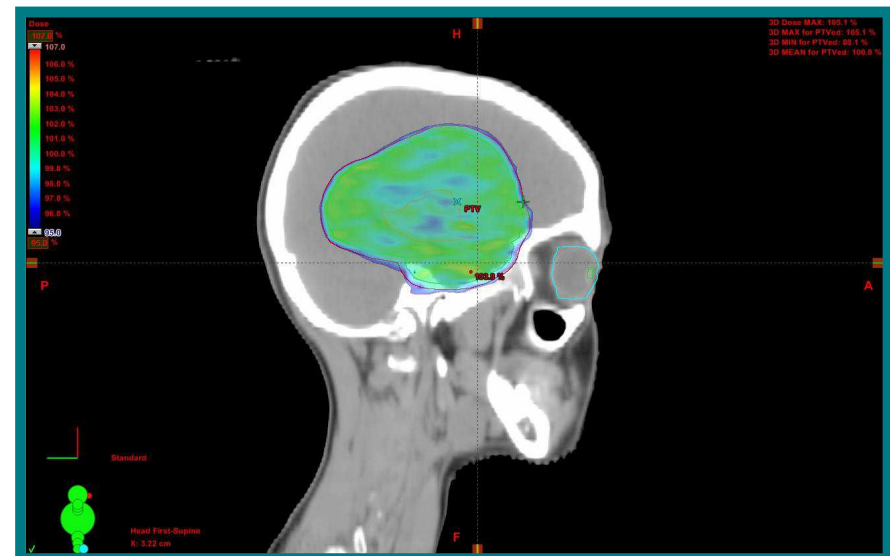
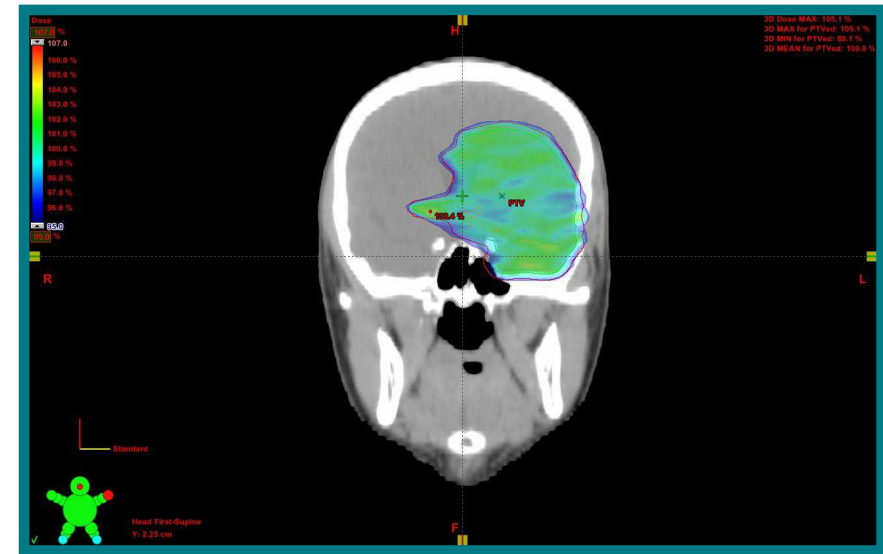
# Conclusions

- Long term risks of IMRT in paediatric setting are not quantified
- An inability to deliver dose to the PTV in high-risk neuroblastoma could impact on local control and possibly survival
- Dose escalation to gross residual disease unlikely to be possible with conventional techniques
- Essential that we prospectively evaluate new radiotherapy techniques in the paediatric group

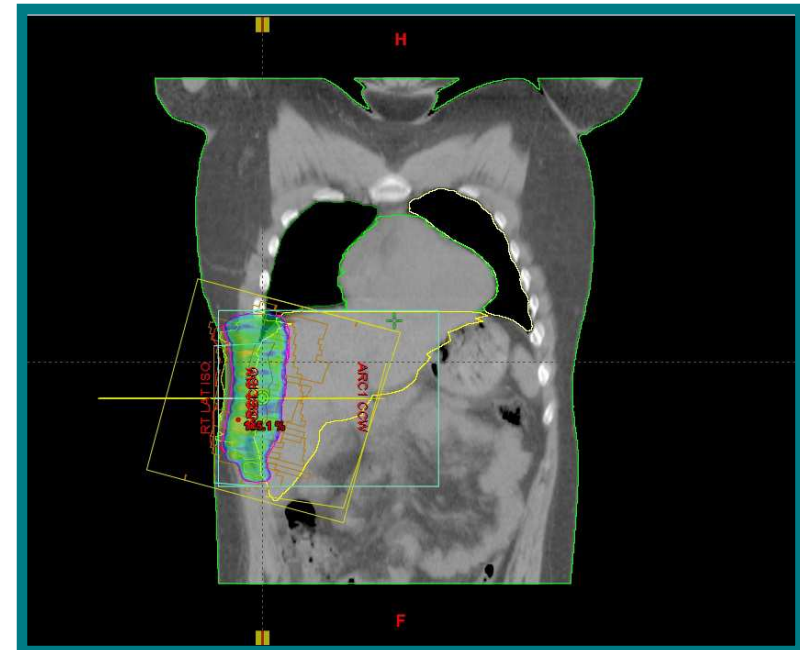
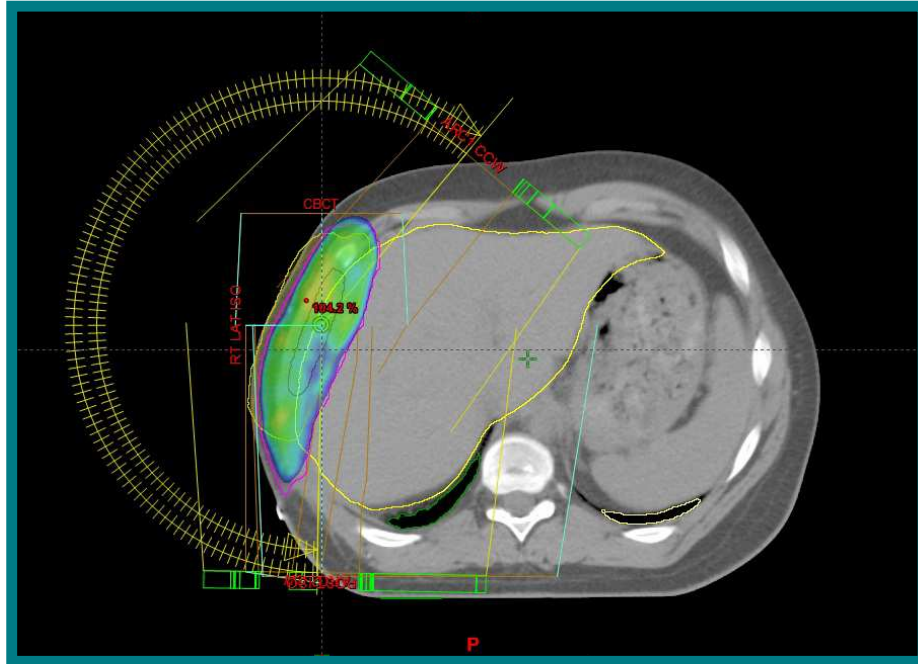


# Other Clinical Scenarios

# Supratentorial Brain Tumours

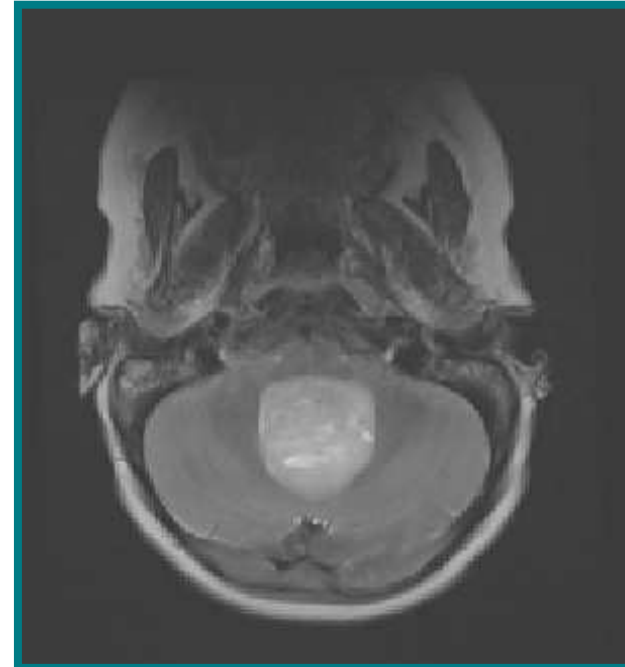


# Chest Wall Ewing's Sarcoma





# Medulloblastoma



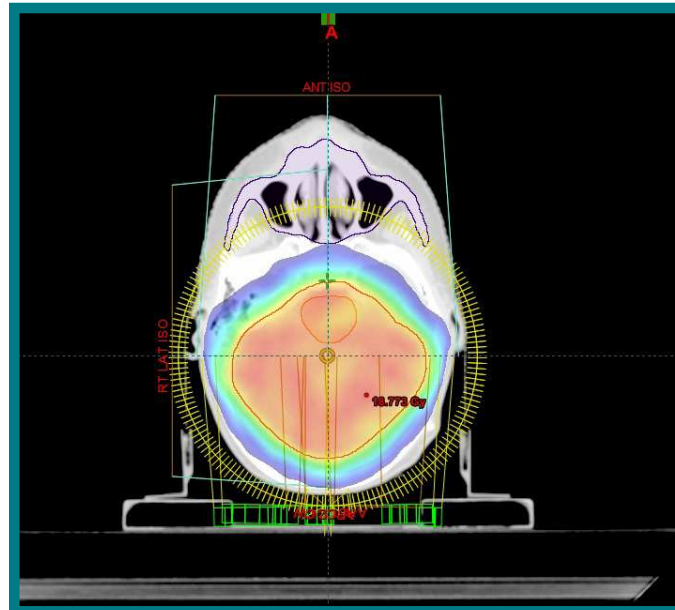
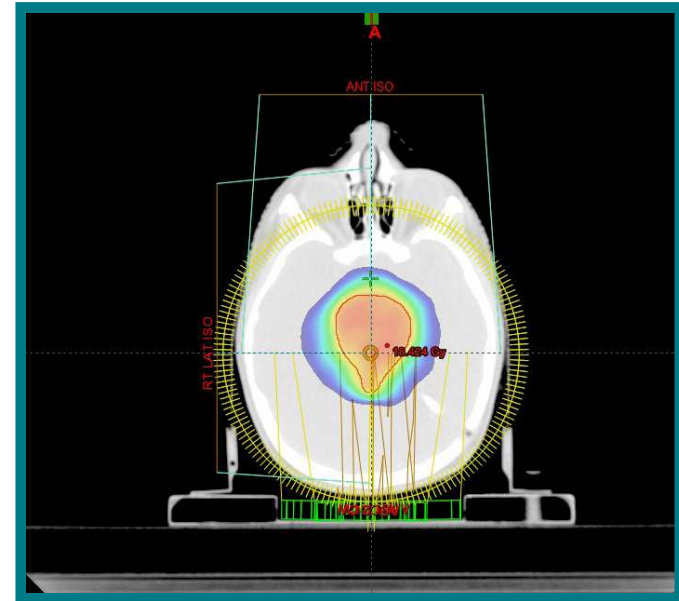
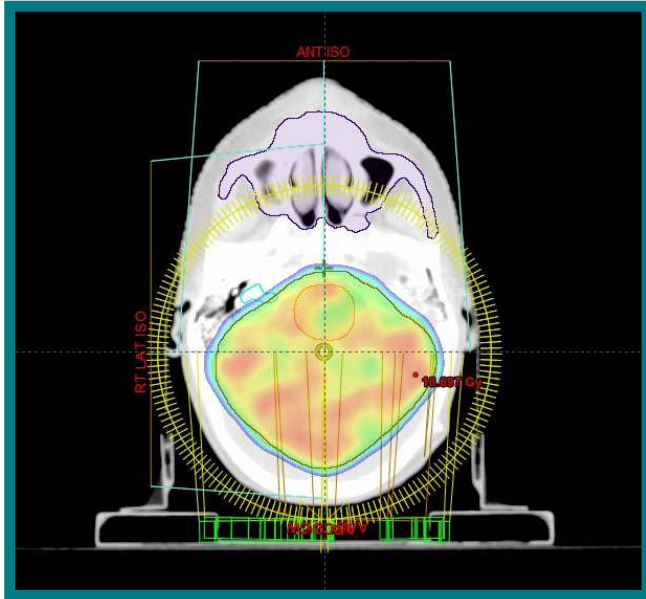
Int J Radiat Oncol Biol Phys. 2002 Mar 1;52(3):599-605.

**Intensity-modulated radiation therapy for pediatric medulloblastoma: early report on the reduction of ototoxicity.**

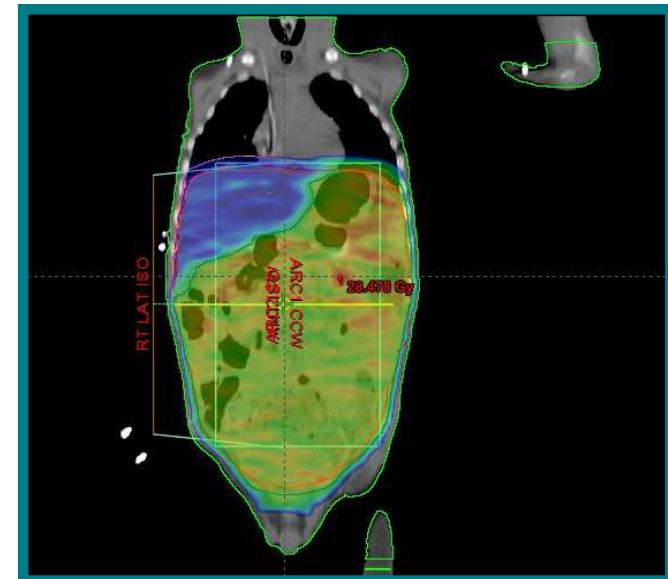
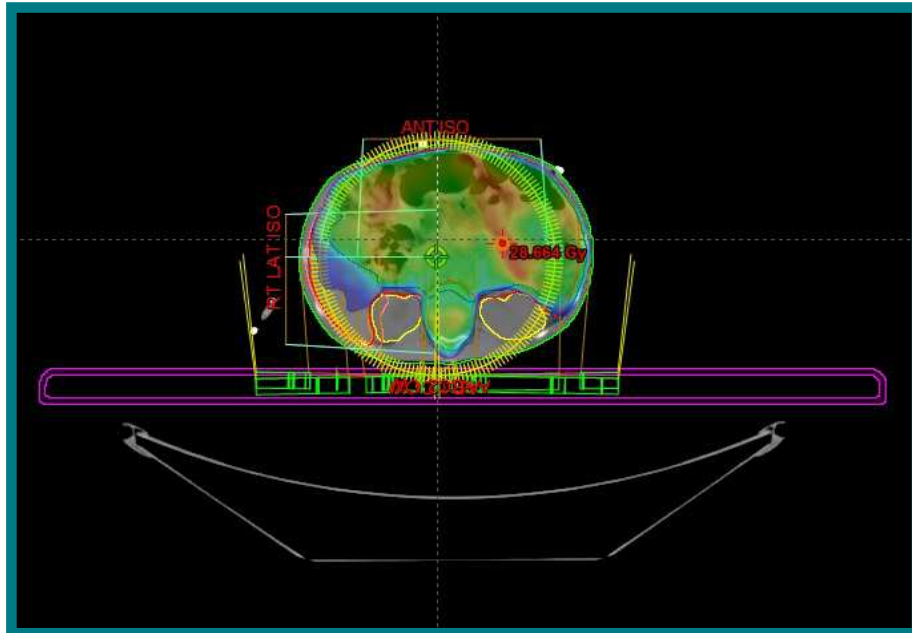
Huang E<sup>1</sup>, Teh BS, Strother DR, Davis QG, Chiu JK, Lu HH, Carpenter LS, Mai WY, Chintagumpala MM, South M, Grant WH 3rd, Butler EB, Woo SY.

- IMRT V Conventional
- Grade 3 or 4 hearing loss
- 13% IMRT v 64% Conventional (p <0.14)

# Medulloblastoma - Post Fossa Boost



# Desmoplastic small round cell tumour

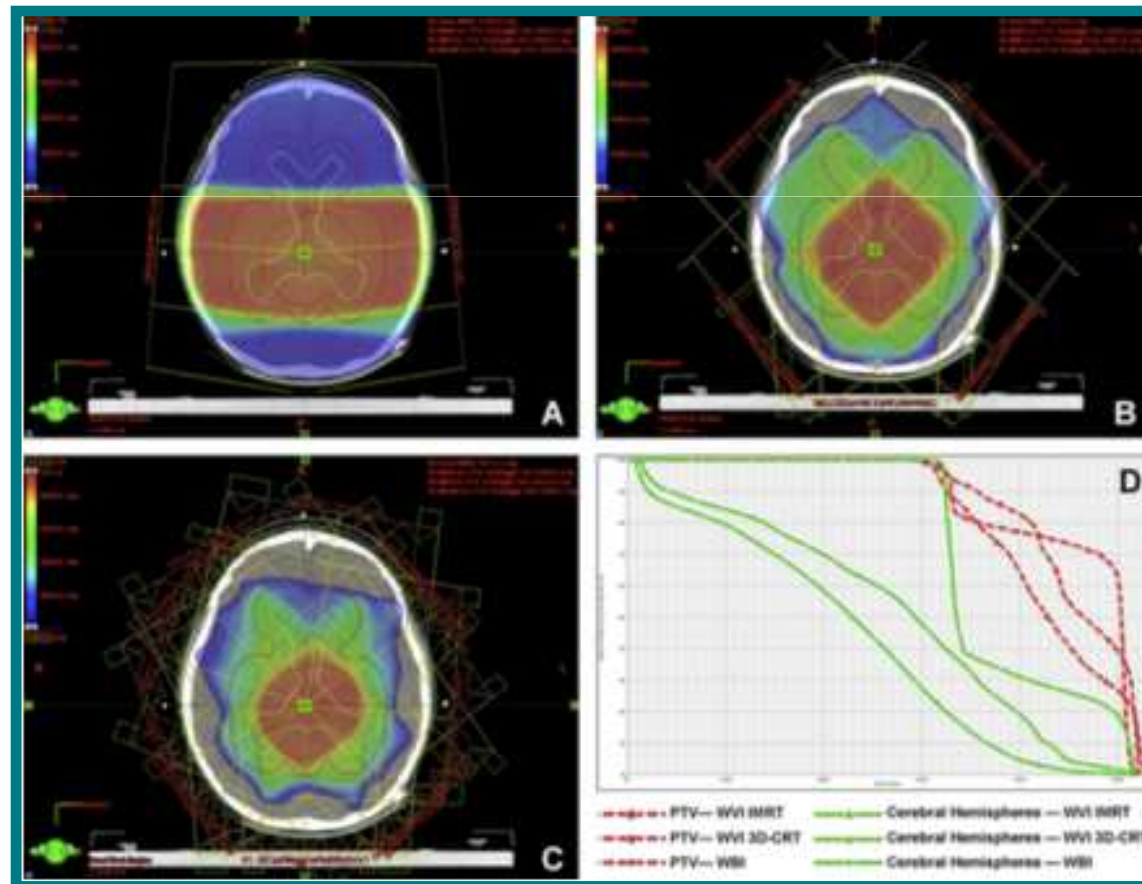


# Intracranial Germ Cell Tumours

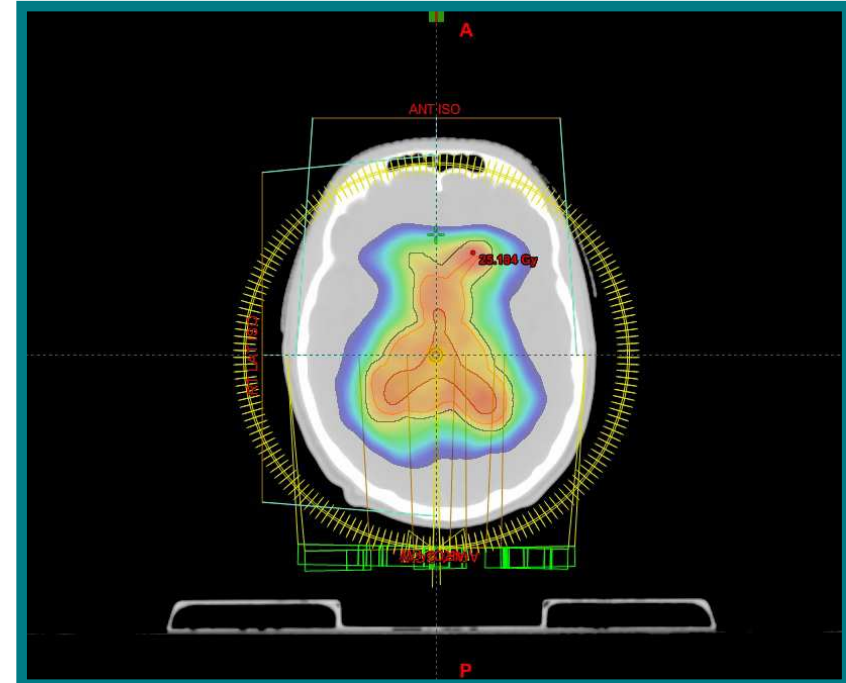
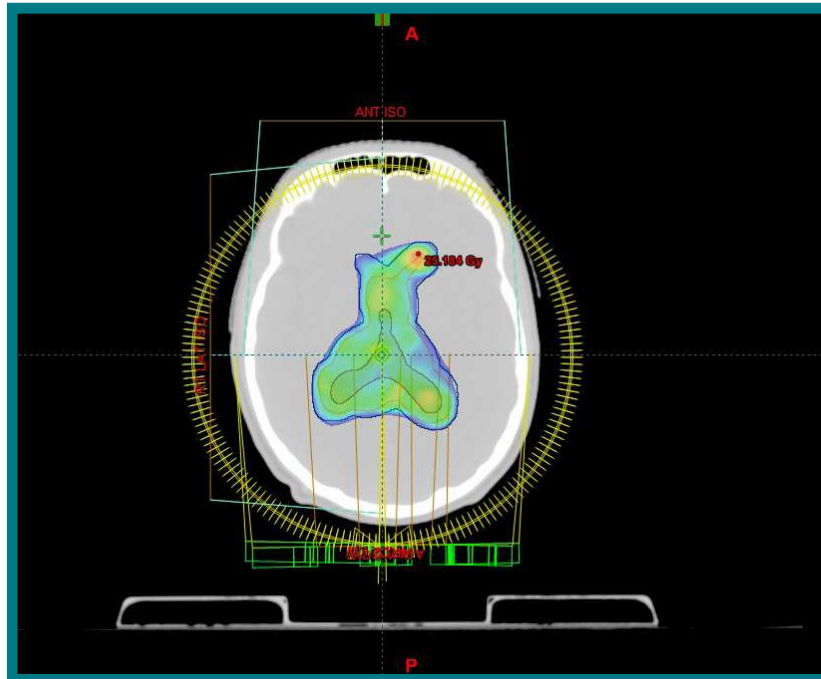
Int J Radiat Oncol Biol Phys. 2010 Feb 1;76(2):608-14. doi: 10.1016/j.ijrobp.2009.06.028. Epub 2009 Oct 30.

**Intensity-modulated and 3D-conformal radiotherapy for whole-ventricular irradiation as compared with conventional whole-brain irradiation in the management of localized central nervous system germ cell tumors.**

Chen MJ<sup>1</sup>, Santos Ada S, Sakuraba RK, Lopes CP, Gonçalves VD, Weltman E, Ferrigno R, Cruz JC.

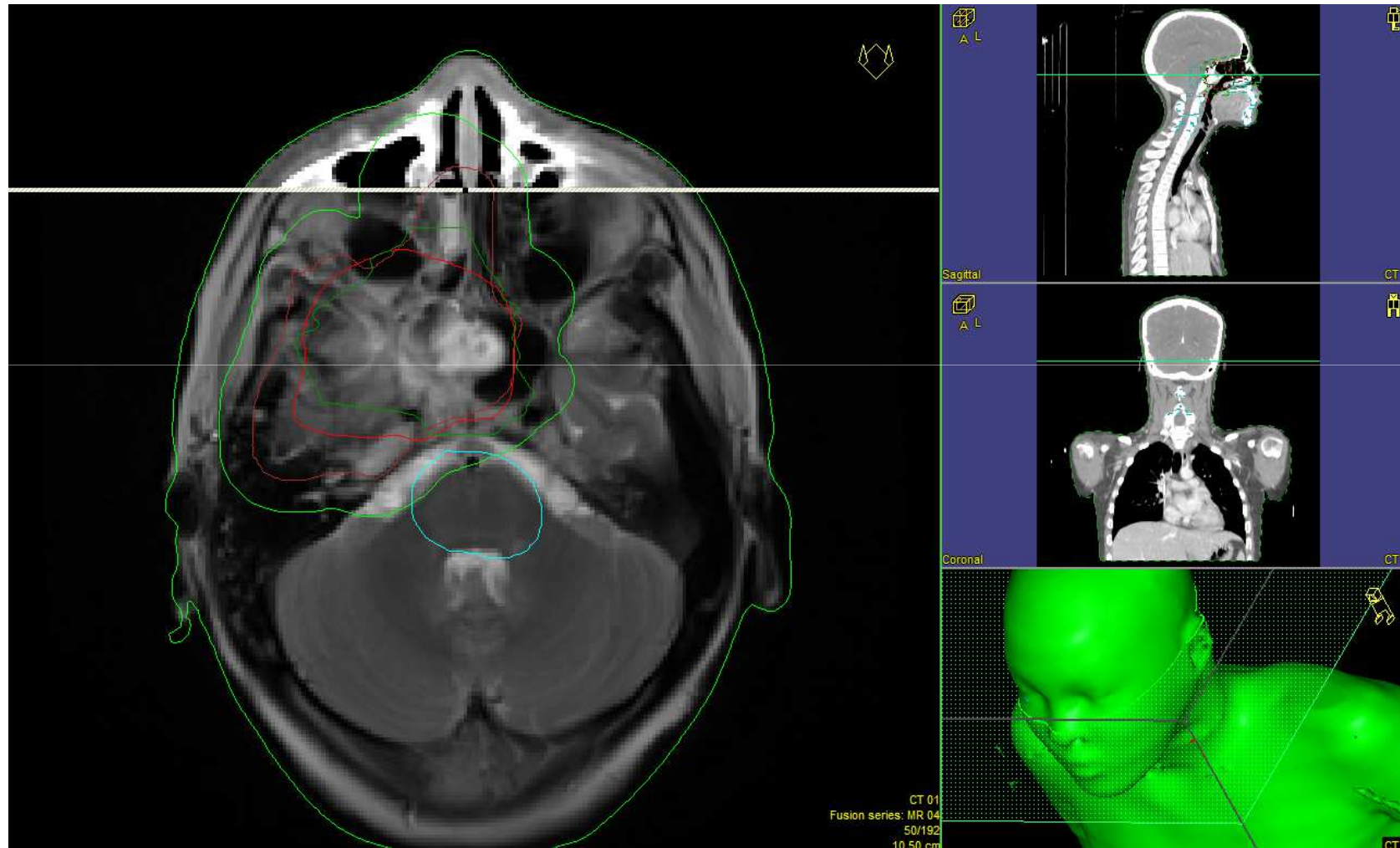


# Whole Ventricular Radiotherapy

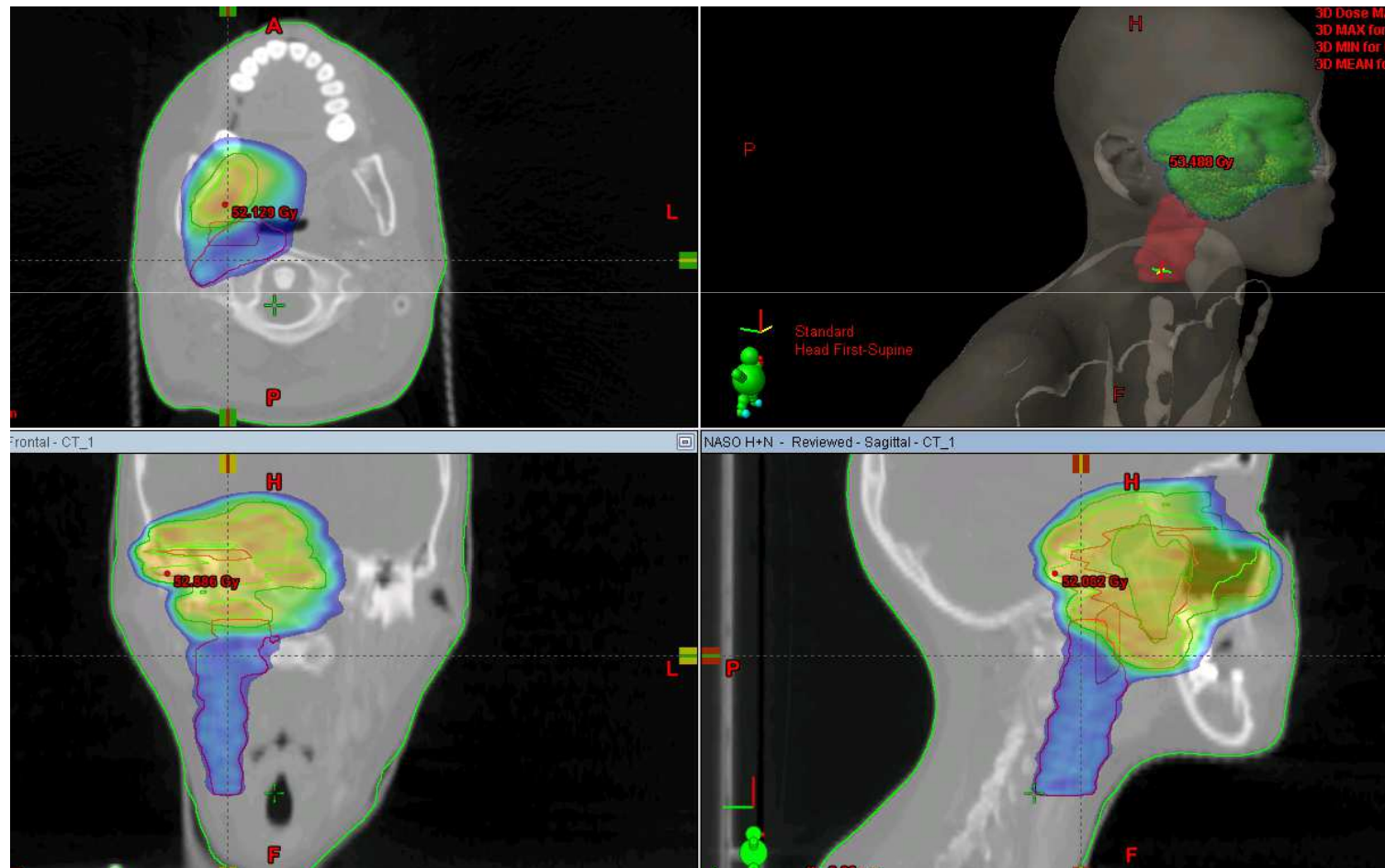


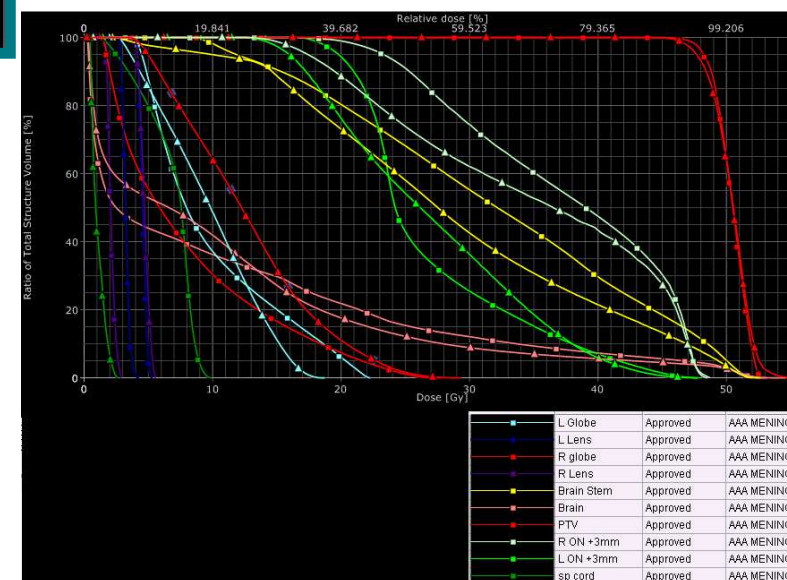
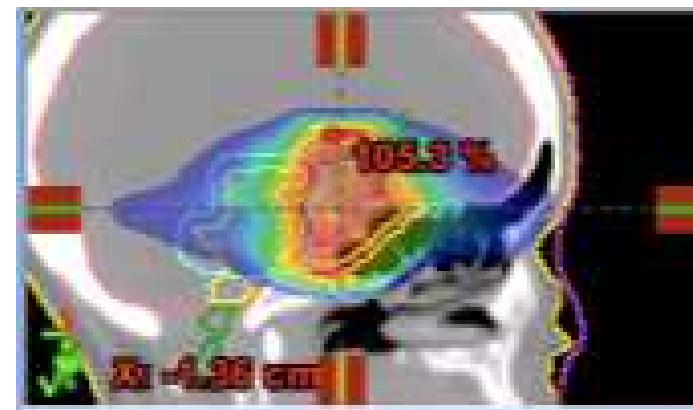
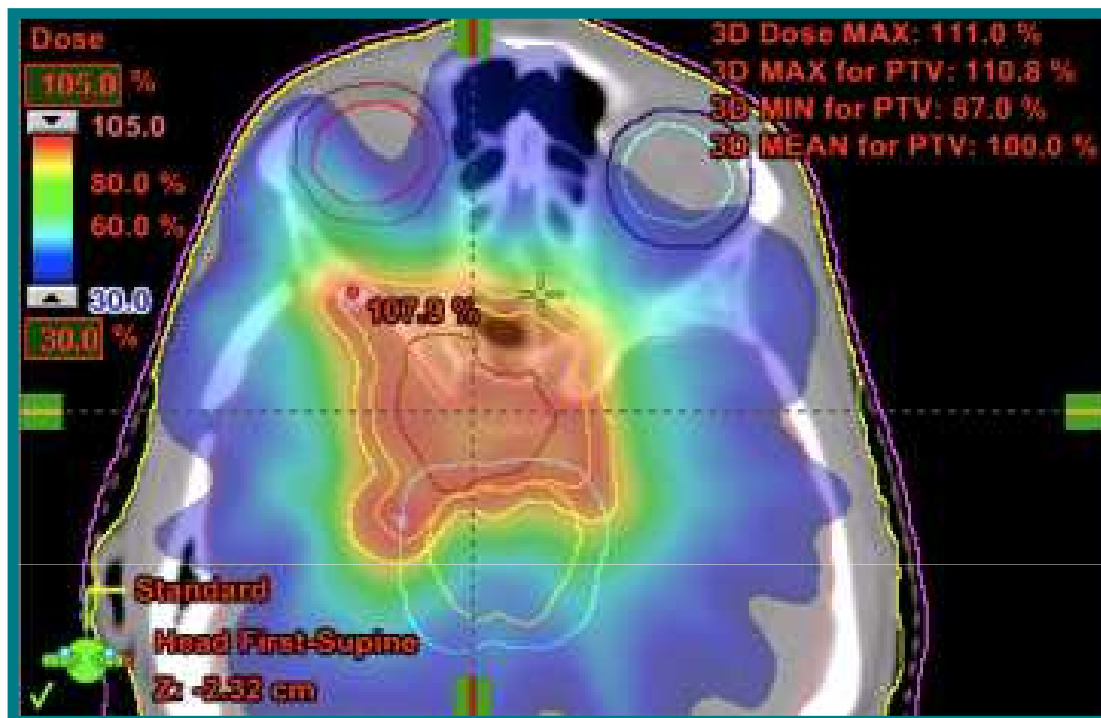
# Parameningeal Rhabdomyosarcoma

uclh



# Parameningeal Rhabdomyosarcoma



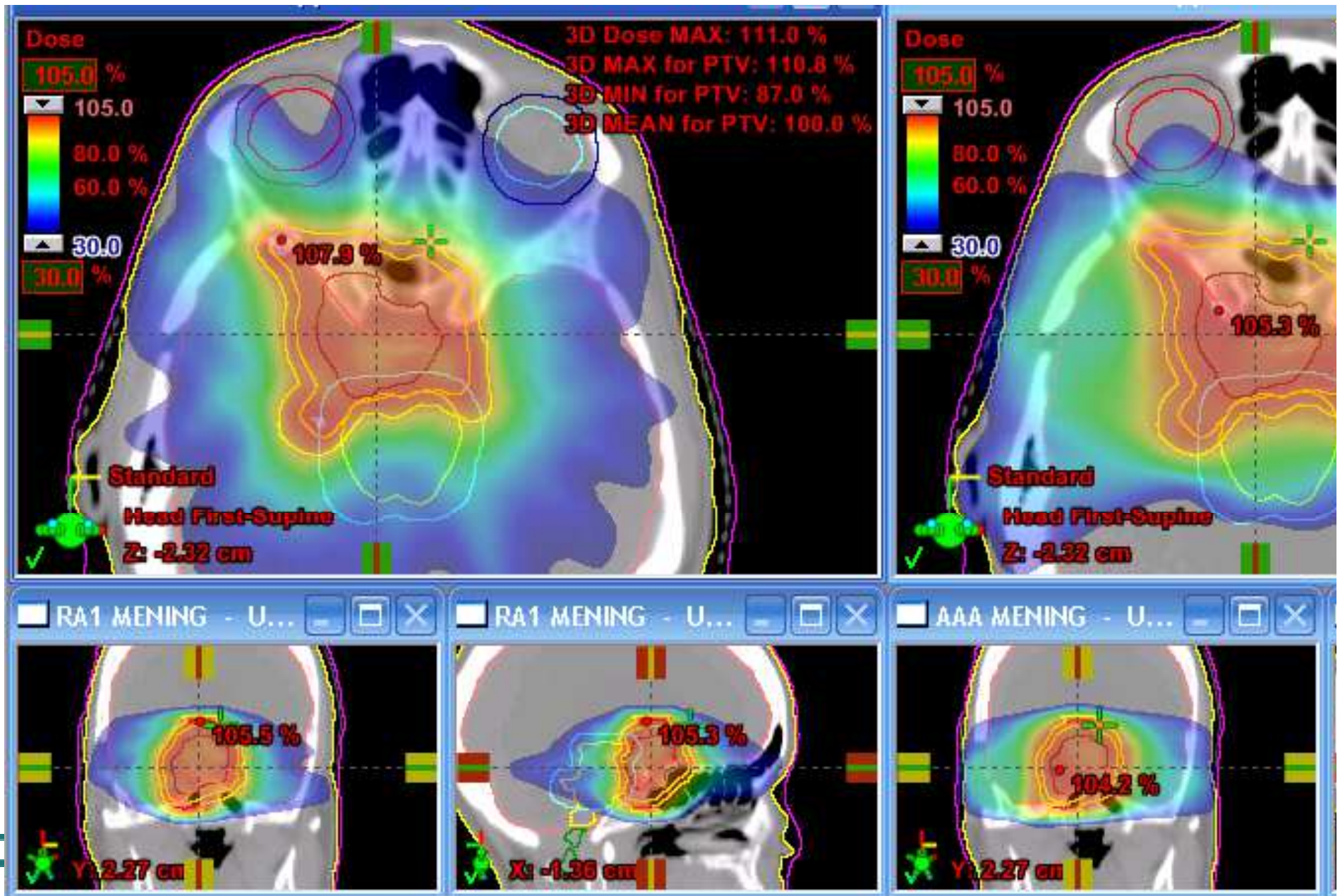




## Summary

- IMRT has an important role in improving dose distributions and reducing doses to OAR in paediatric patients
- Need to consider effects on growing tissues and balance the risks and benefits
- Studies with short follow up have not confirmed a rise in second malignancies
- Needs prospective evaluation and long term follow up

**Thank you for listening**



# Intensity modulated radiotherapy in sarcoma

Dr Beatrice Seddon  
Dr Franel le Grange

Sarcoma Unit, University College Hospital  
3<sup>rd</sup> April 2016 ESTRO teaching course on IMRT, London

## Radiotherapy in sarcoma

### Soft tissue sarcoma

- Most commonly in limbs
- Standard management is surgery  $\pm$  (neo)adjuvant RT
- Local control of primary tumour >80%
- Acute effects: wound healing
- Long term side effects: impact on limb function

## Radiotherapy in sarcoma

### Ewing Sarcoma

- Standard management with chemotherapy
- Local management: surgery/surgery + RT/ RT alone
- Young patients, need to minimise late effects of RT

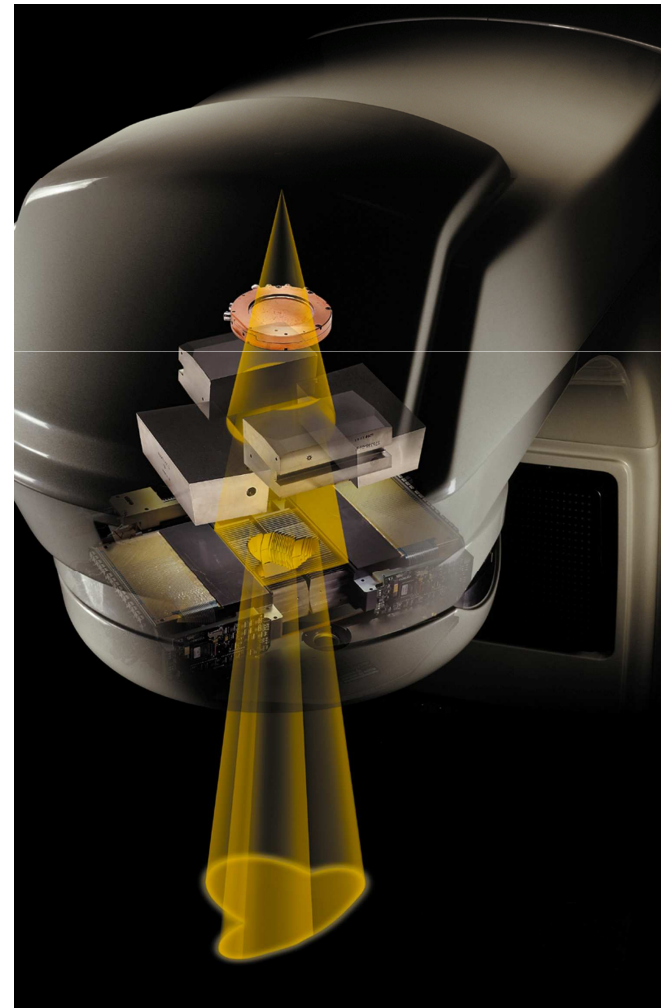
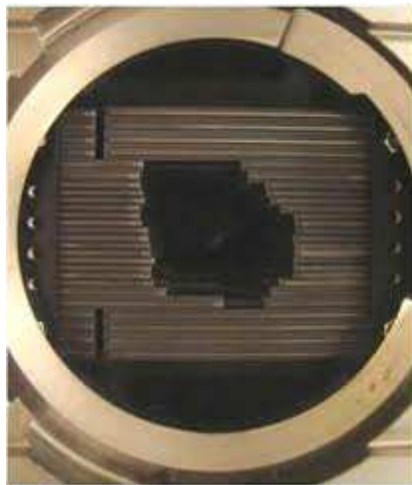
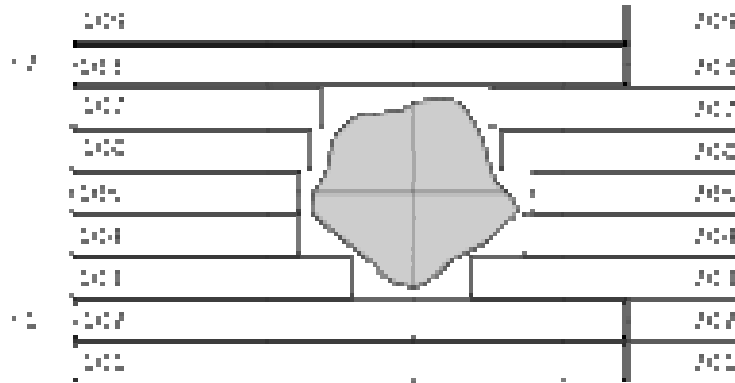
### Other primary bone sarcomas/ chordoma

- Curative management is surgery  $\pm$  chemotherapy
- Not radiosensitive, requires high doses to achieve local control

## Radiotherapy in sarcoma

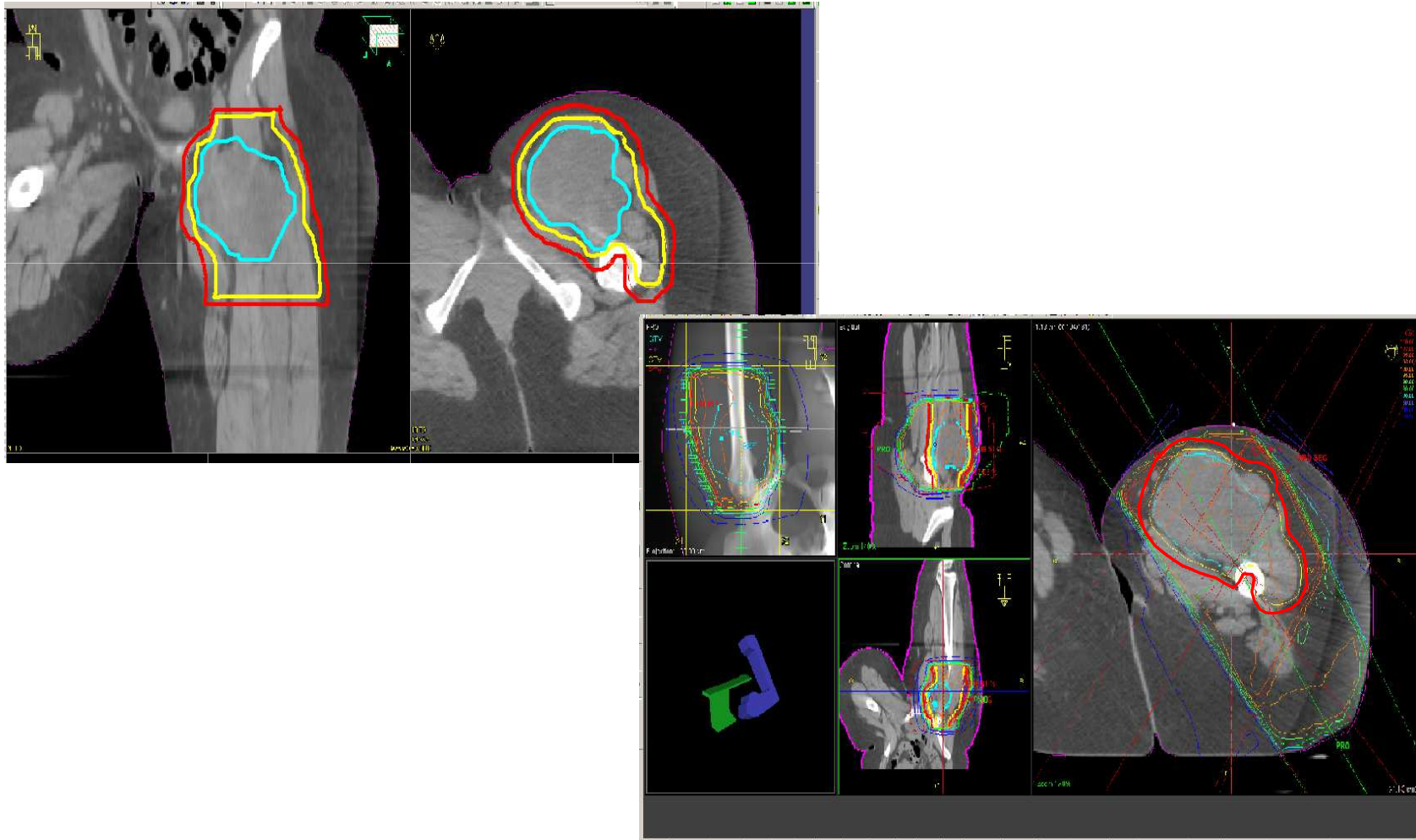
- Until recently standard technique was with 3D conformal radiotherapy
- Uses static beams which are shaped to conform to the tumour volume
- Results in:
  - Un-necessary treatment of large volumes of normal tissue
  - Dose inhomogeneity and hot spots in normal tissues
  - With potential consequences on toxicity and function

## 3D conformal radiotherapy



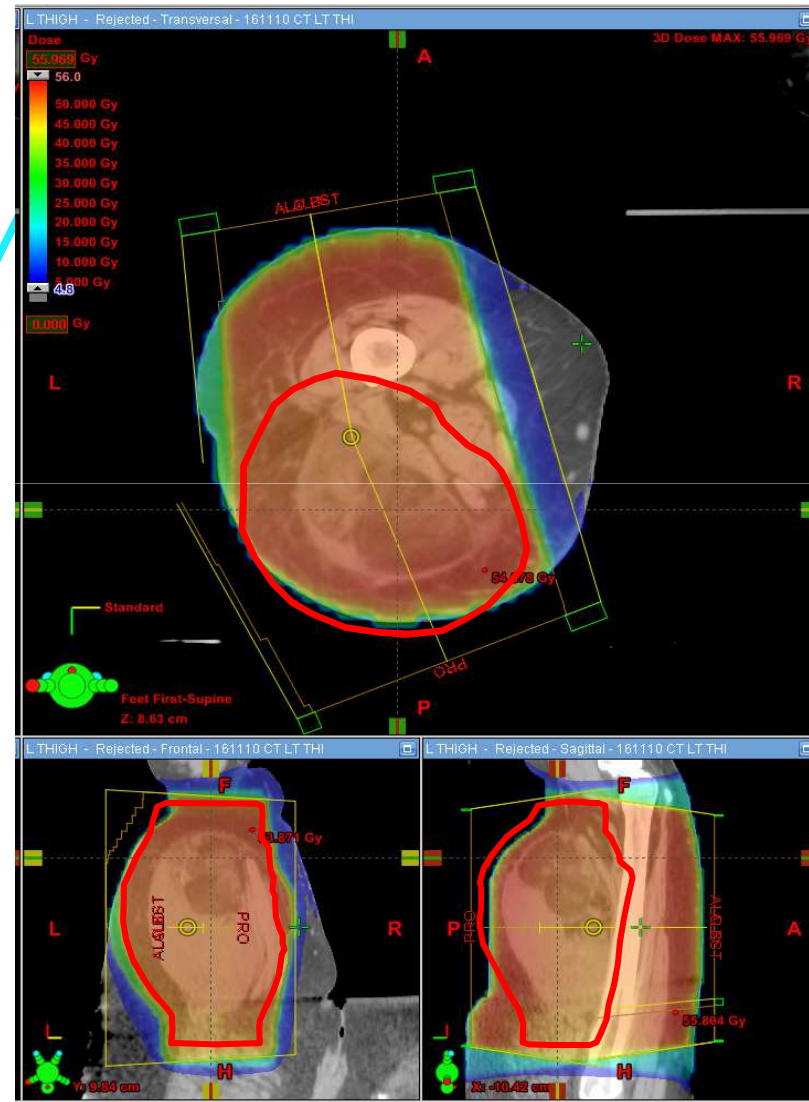
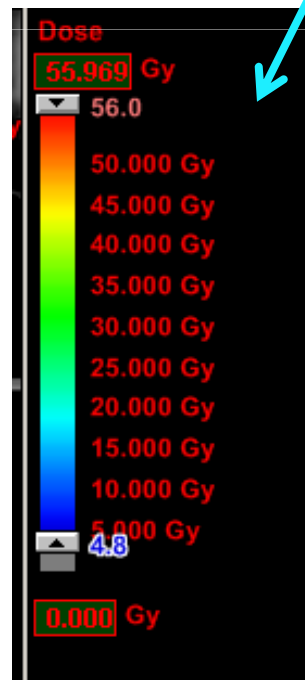


## Current standard: 3D conformal radiotherapy



## 3D conformal radiotherapy

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## Late toxicity after 3D conformal radiotherapy

- Late toxicity and limb function are related to treatment volumes and RT dose
  - Soft tissue fibrosis
  - Lymphoedema
  - Bone fractures, joint stiffness
- Rates of  $\geq$ grade 2 fibrosis in 48.2% with post-operative RT

Davis et al. Late radiation morbidity following randomization to preoperative versus postoperative radiotherapy in extremity soft tissue sarcoma. *Radiotherapy and Oncology* 2005, 75:48–53.

- Negative impact on function



50 Gy/25# pre-op



66 Gy/33# post-op



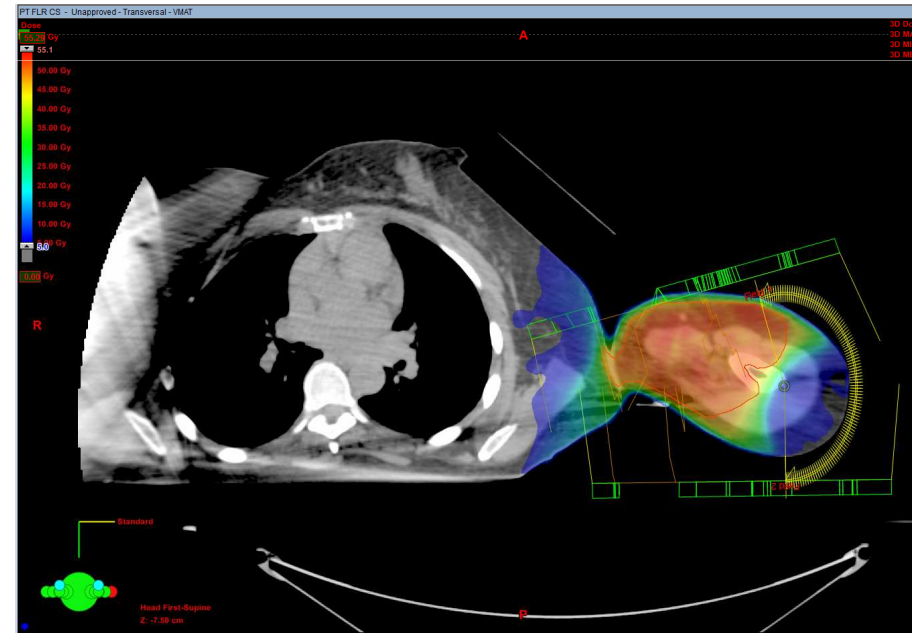
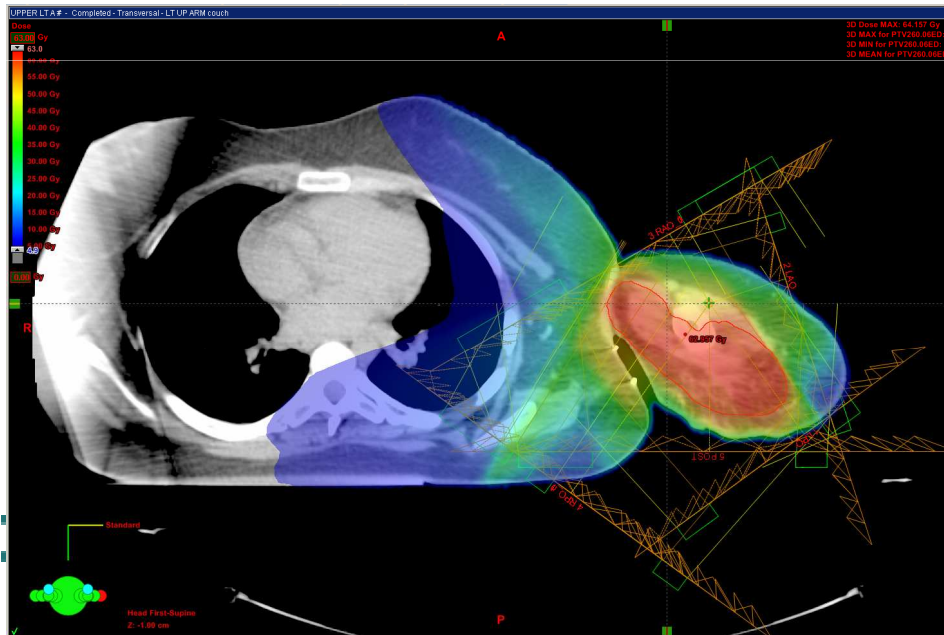
## Intensity modulated radiotherapy

- Offers the opportunity to:
  - Conform better to the planning target volume (PTV)
  - Treat with greater homogeneity within PTV
  - Vary dose within PTV ('dose painting' concept)
  
- Spare normal tissues – soft tissues and bone
- Allow dose escalation, improved local control, survival
- Reduce hot spots in normal tissues
- Reduce normal tissue acute and late toxicity
- Improve long term function

## Intensity modulated radiotherapy delivery

**Multiple fixed static beam angles  
'step and shoot'**

**Volumetric modulated arc  
therapy (RapidArc<sup>®</sup>),  
TomoTherapy<sup>®</sup>**



## IMRT opportunities in sarcoma

- To spare normal tissues and improve functional outcomes in limb sarcomas
- To achieve better tumour coverage in difficult locations:
  - Paraspinal tumours
  - Pelvic tumours
  - Ribs tumours
  - Head and neck tumours
  - Retroperitoneal tumours
- To deliver higher doses than normally achievable for inoperable tumours:
  - Osteosarcoma, spindle cell sarcoma of bone
  - Chondrosarcoma
  - Chordoma

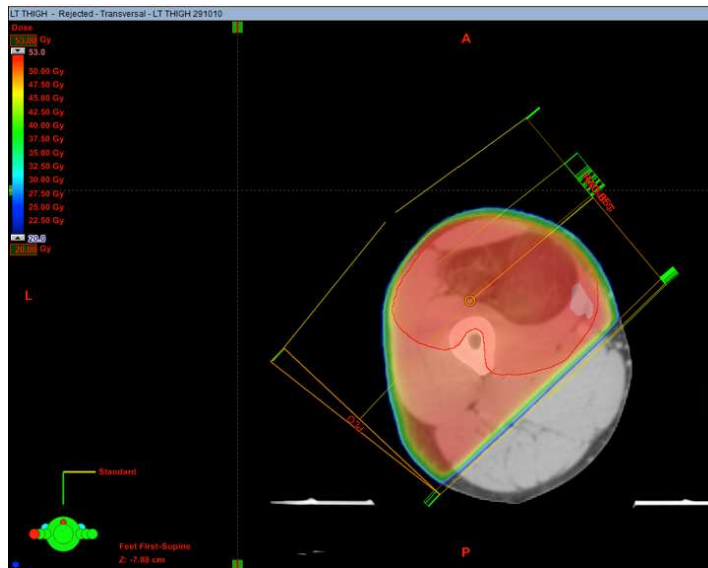
## IMRT in soft tissue sarcoma

# IMRT planning study: Limb soft tissue sarcoma Example cases

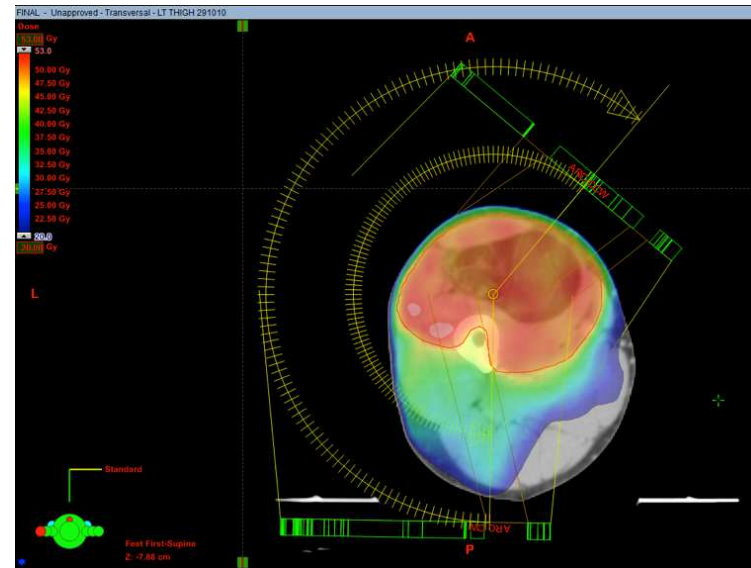
Dosimetric advantage for IMRT vs 3D-CRT:

- Reduction of volume of normal tissues receiving moderate or high doses of radiotherapy
- Sparing of normal tissues, e.g. femur

3DCRT



VMAT  
IMRT





Calf: 50Gy in 25#

### 3DCRT



### VMAT

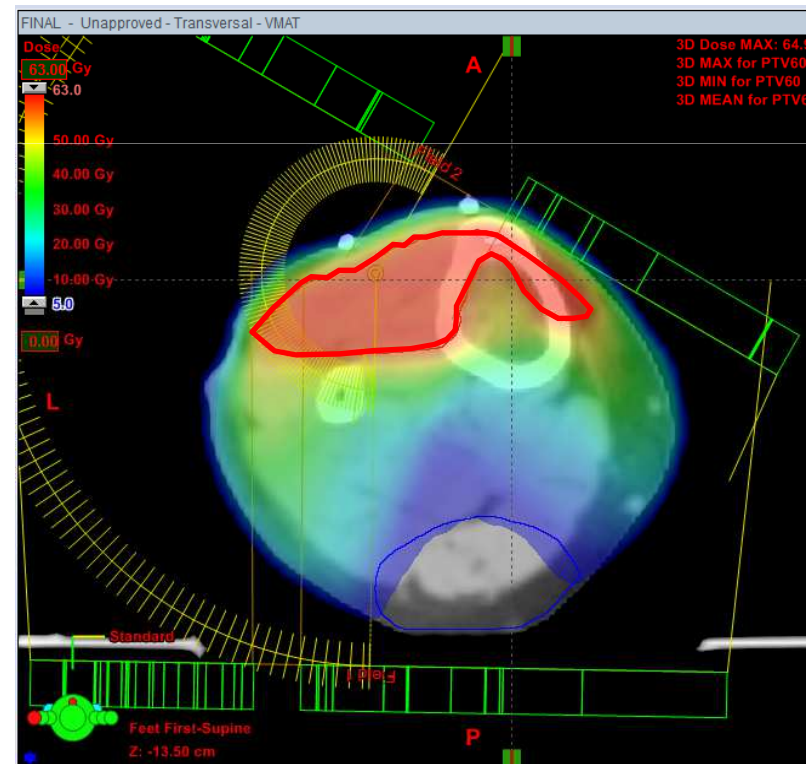


## Shin: 60Gy in 30#

### 3DCRT

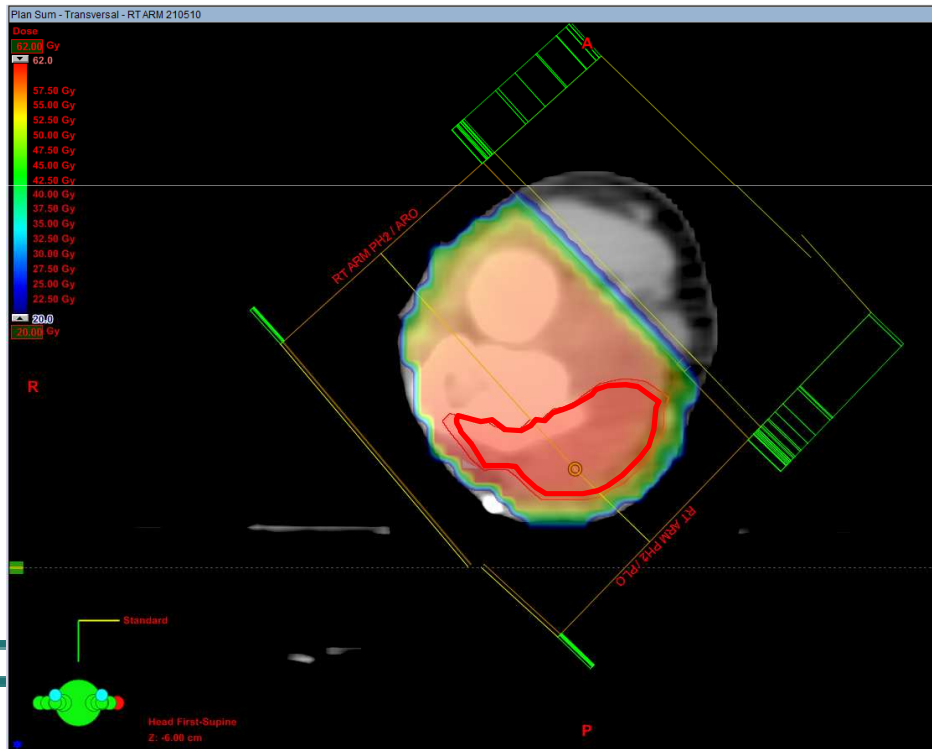


### VMAT

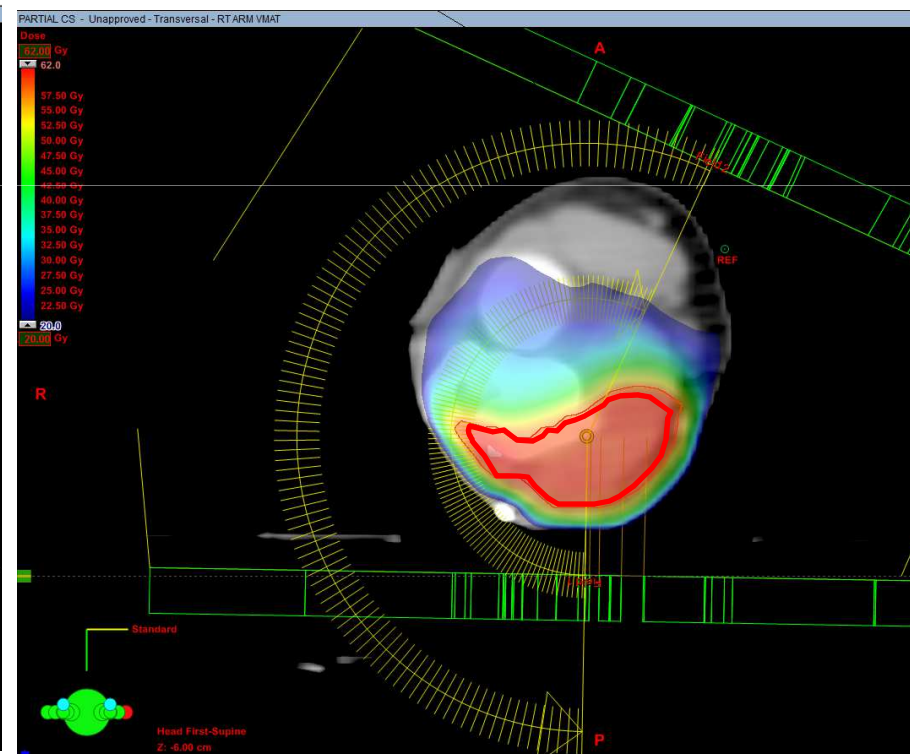


# Upper arm: 60Gy in 30#

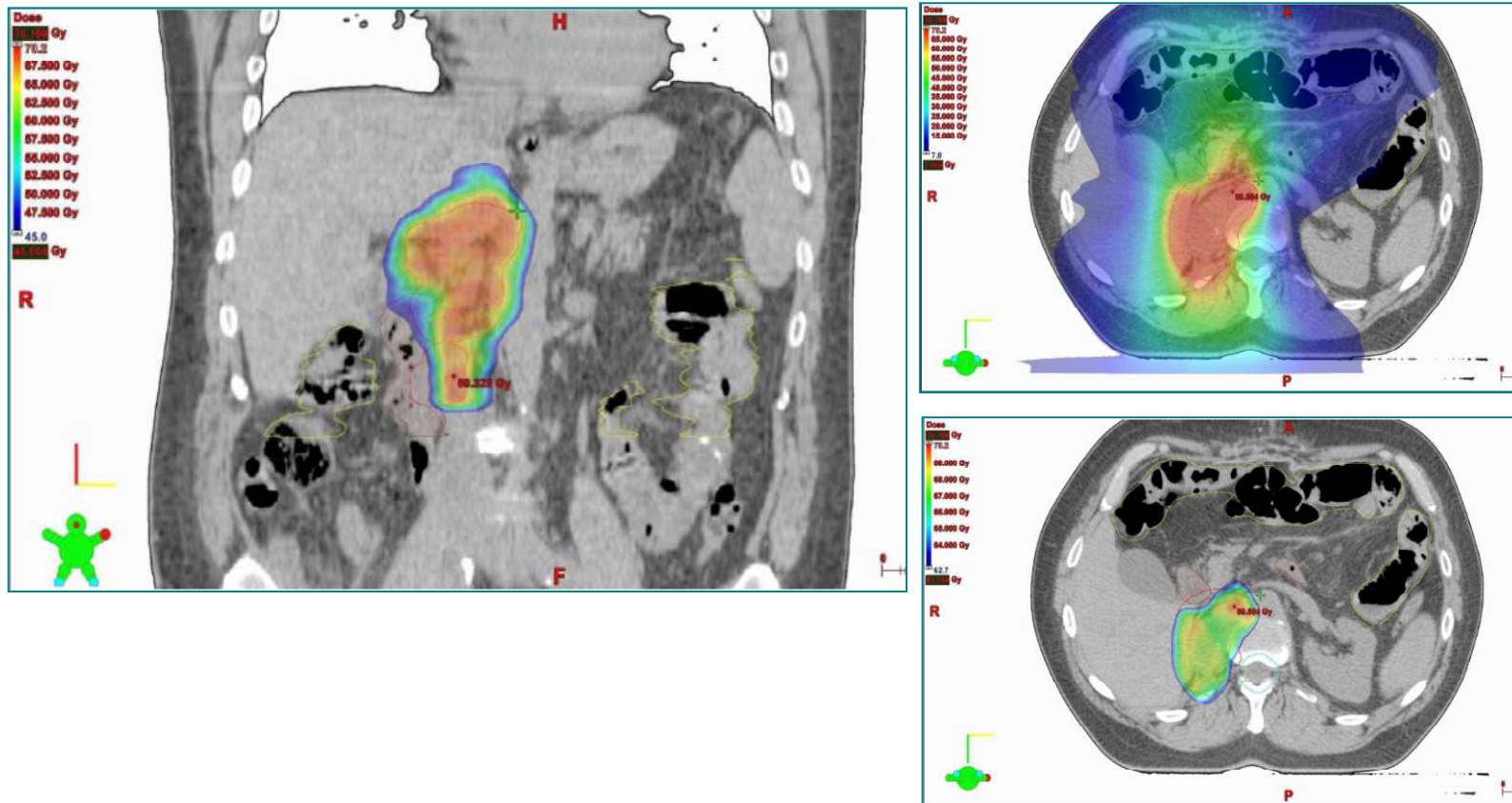
## 3DCRT



## VMAT



## Soft tissue sarcoma at other sites Retroperitoneal sarcoma: 66Gy in 33#



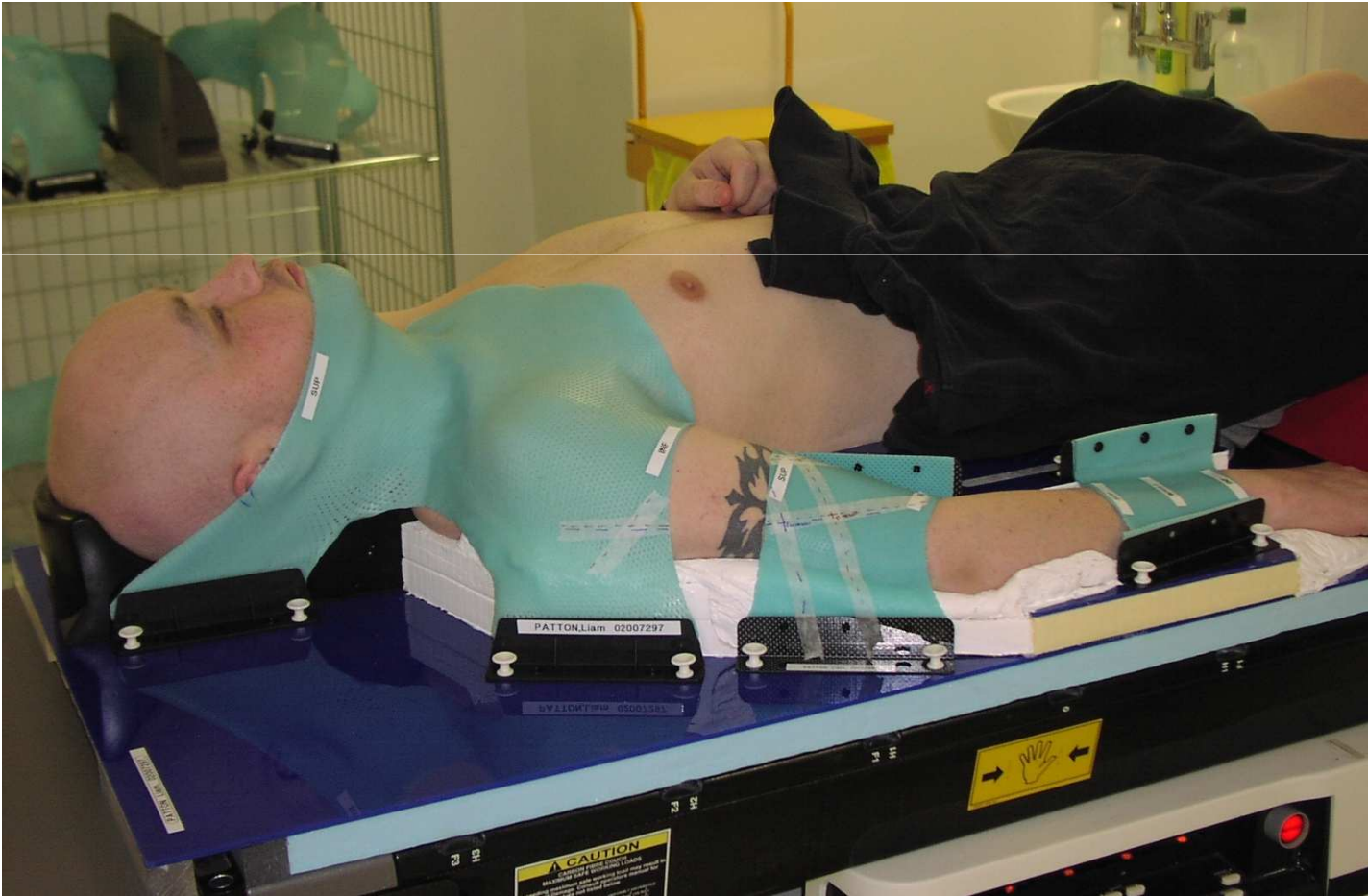
## Immobilisation for limb sarcomas

- Reduce day to day variation in patient position (potential source of error)
- Impression of limb with patient in the optimum treatment position:
  - Customised foam mould fixed to baseboard
  - sheet of thermoplastic (Orfit) moulded around limb, clipped to baseboard
  - Baseboard is indexed and fixed onto the treatment couch

## Immobilisation of lower limb



# Immobilisation of upper limb



## Evidence for using IMRT in soft tissue sarcomas

- Increasing use in soft tissue sarcomas
- Adoption by stealth
- Perceived superiority of IMRT
- Limited resource in some countries
- Little published, mostly retrospective data, in limb sarcomas



## IMRT Retrospective evidence: 1

### Local Control Comparison of Adjuvant Brachytherapy to Intensity-Modulated Radiotherapy in Primary High-Grade Sarcoma of the Extremity

Kaled M. Alekfiar, MD<sup>1</sup>; Murray F. Brennan, MD<sup>2</sup>; and Samuel Singer, MD<sup>2</sup>

**BACKGROUND:** Based on results of a prospective randomized trial, brachytherapy (BRT) had been the preferred form of adjuvant radiotherapy for patients with high-grade extremity soft tissue sarcoma (STS) at our institution. In recent years, intensity-modulated radiotherapy (IMRT) had been increasingly used. This study compared local control by IMRT versus by BRT in primary extremity STS. **METHODS:** Between January 1995 and December 2006, 134 adult patients with high-grade primary nonmetastatic STS of the extremity were treated at this institution with limb-sparing surgery and adjuvant radiotherapy (RT). Low-dose-rate BRT was given to 71 patients between January 1995 and November 2003 to a median dose of 45 Gray (Gy). IMRT was given between February 2002 and December 2006: preoperatively to 10 (50 Gy) and postoperatively to 53 (median, 63 Gy). Median follow-up was 46 months. **RESULTS:** Treatment groups were comparable in terms of gender, age, site, depth, histology (malignant fibrous histiocytoma vs other), and use of adjuvant chemotherapy. More IMRT patients had positive/close margins (<1 mm), large tumors (>10 cm), and bone or nerve stripping/resection ( $P = 0.006, 0.005, 0.02, \text{ and } 0.002$ , respectively). Median follow-up was 46 months for IMRT and 47 months for BRT. Five-year local control was 92% (95% confidence interval [CI], 85-100) for IMRT versus 81% (95% CI, 71-90) for BRT,  $P = 0.04$ . On multivariate analysis, IMRT was the only predictor of improved local control,  $P = 0.04$ . **CONCLUSIONS:** Local control with IMRT was significantly better than BRT despite higher rates of adverse features for IMRT in this nonrandomized comparison. IMRT should be further examined as the treatment of choice for primary high-grade extremity sarcoma. *Cancer* 2011;117:3229-34. © 2011 American Cancer Society.

**KEYWORDS:** IMRT, brachytherapy, sarcoma, extremity.

## IMRT Retrospective evidence: 1

- Retrospective comparison of 134 IMRT patients with 71 brachytherapy (BRT) patients
- 5 year local control 92% for IMRT vs 81% with BRT
- 'IMRT should be further examined as the treatment of choice for extremity sarcoma'
- But no toxicity data published

## IMRT Retrospective evidence: 2

### Comparison of Local Recurrence With Conventional and Intensity-Modulated Radiation Therapy for Primary Soft-Tissue Sarcomas of the Extremity

*Michael R. Folkert, Samuel Singer, Murray F. Brennan, Deborah Kuk, Li-Xuan Qin, Wendy K. Kobayashi, Aimee M. Crago, and Kaled M. Alektiar*

#### A B S T R A C T

##### **Purpose**

The use of intensity-modulated radiation therapy (IMRT) in the treatment of soft tissue sarcoma (STS) of the extremity is increasing, but no large-scale direct comparison has been reported between conventional external-beam radiation therapy (EBRT) and IMRT.

##### **Methods**

Between January 1996 and December 2010, 319 consecutive adult patients with primary nonmetastatic extremity STS were treated with limb-sparing surgery and adjuvant radiotherapy (RT) at a single institution. Conventional EBRT was used in 154 patients and IMRT in 165 with similar dosing schedules. Median follow-up time for the cohort was 58 months.

##### **Results**

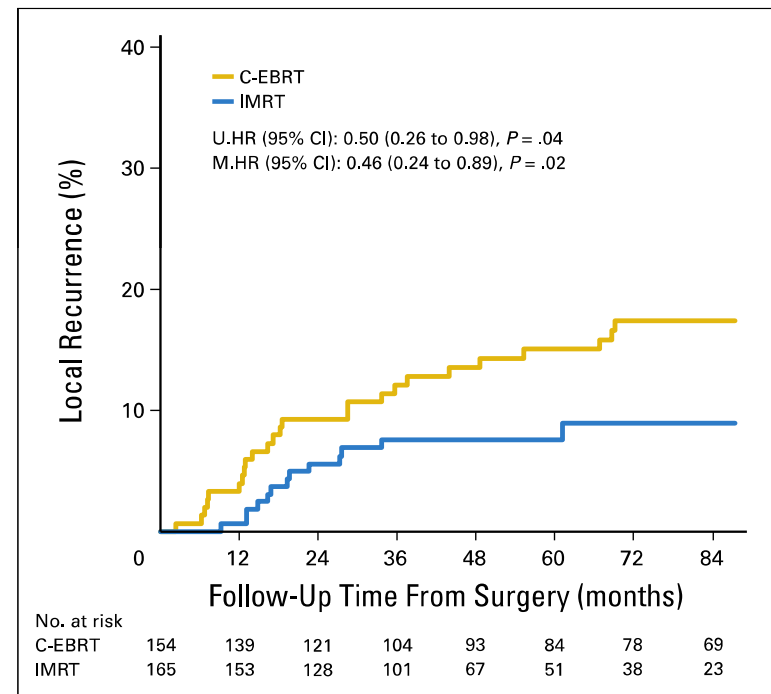
Treatment groups were comparable in terms of tumor location, histology, tumor size, depth, and use of chemotherapy. Patients treated with IMRT were older ( $P = .08$ ), had more high-grade lesions ( $P = .05$ ), close (< 1 mm) or positive margins ( $P = .04$ ), preoperative radiation ( $P < .001$ ), and nerve manipulation ( $P = .04$ ). Median follow-up was 90 months for patients treated with conventional EBRT and 42 months for patients treated with IMRT. On multivariable analysis adjusting for patient age and tumor size, IMRT retained significance as an independent predictor of reduced LR (hazard ratio = 0.46; 95% CI, 0.24 to 0.89;  $P = .02$ ).

##### **Conclusion**

Despite a preponderance of higher-risk features (especially close/positive margin) in the IM group, IMRT was associated with significantly reduced local recurrence compared with conventional EBRT for primary STS of the extremity.

## IMRT Retrospective evidence: 2

- 165 IMRT vs 154 3D-CRT patients
- Median time to local recurrence 18 months
- 5 year local recurrence rates:
  - IMRT 7.6%
  - 3D-CRT 15.1%  $p=0.05$
- Acute grade 2 skin reaction less with IMRT (48.7% vs 31.5%)
- Chronic  $\geq$  grade 2 toxicity (fractures, joint stiffness, oedema) no difference



# IMRT prospective clinical trials: 1

## Phase 2 Study of Preoperative Image-Guided Intensity-Modulated Radiation Therapy to Reduce Wound and Combined Modality Morbidities in Lower Extremity Soft Tissue Sarcoma

Brian O'Sullivan, MD<sup>1,2</sup>; Anthony M. Griffin, MSc<sup>3</sup>; Colleen I. Dickie, MSc<sup>1</sup>; Michael B. Sharpe, PhD<sup>1,2</sup>; Peter W. M. Chung, MD<sup>1,2</sup>; Charles N. Catton, MD<sup>1,2</sup>; Peter C. Ferguson, MD<sup>2,3</sup>; Jay S. Wunder, MD<sup>2,3</sup>; Benjamin M. Deheshi, MD<sup>2,3</sup>; Lawrence M. White, MD<sup>2,4</sup>; Rita A. Kandel, MD<sup>2,5</sup>; David A. Jaffray, PhD<sup>1,2</sup>; and Robert S. Bell, MD<sup>2,3</sup>

**BACKGROUND:** This study sought to determine if preoperative image-guided intensity-modulated radiotherapy (IG-IMRT) can reduce morbidity, including wound complications, by minimizing dose to uninvolved tissues in adults with lower extremity soft tissue sarcoma. **METHODS:** The primary endpoint was the development of an acute wound complication (WC). IG-IMRT was used to conform volumes to avoid normal tissues (skin flaps for wound closure, bone, or other uninvolved soft tissues). From July 2005 to June 2009, 70 adults were enrolled; 59 were evaluable for the primary endpoint. Median tumor size was 9.5 cm; 55 tumors (93%) were high-grade and 58 (98%) were deep to fascia. **RESULTS:** Eighteen (30.5%) patients developed WCs. This was not statistically significantly different from the result of the National Cancer Institute of Canada SR2 trial ( $P = .2$ ); however, primary closure technique was possible more often (55 of 59 patients [93.2%] versus 50 of 70 patients [71.4%];  $P = .002$ ), and secondary operations for WCs were somewhat reduced (6 of 18 patients [33%] versus 13 of 30 patients [43%];  $P = .55$ ). Moderate edema, skin, subcutaneous, and joint toxicity was present in 6 (11.1%), 1 (1.9%), 5 (9.3%), and 3 (5.6%) patients, respectively, but there were no bone fractures. Four local recurrences (6.8%, none near the flaps) occurred with median follow-up of 49 months. **CONCLUSIONS:** The 30.5% incidence of WCs was numerically lower than the 43% risk derived from the National Cancer Institute of Canada SR2 trial, but did not reach statistical significance. Preoperative IG-IMRT significantly diminished the need for tissue transfer. RT chronic morbidities and the need for subsequent secondary operations for WCs were lowered, although not significantly, whereas good limb function was maintained. *Cancer* 2013;119:1878-84. © 2013 American Cancer Society.

**KEYWORDS:** sarcoma, image-guided radiotherapy, intensity-modulated radiotherapy, preoperative radiotherapy, phase 2 study.

## IMRT prospective clinical trials: 1

- Phase II study to determine if preoperative IMRT is effective in minimizing the dose to skin and subcutaneous tissues used to close the resection site and reduce the risk of wound complications (PMH, Toronto)<sup>(1)</sup>
- Dose was reduced to the anticipated surgical flaps by using IMRT planning
- Primary endpoint: acute wound healing within 120 days
- Secondary endpoints: limb oedema and fibrosis, bone fracture, limb function, overall patient function
- 70 patients 2005 – 9
- Median 9.5cm, 93% G3, 98% deep to fascia

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(1) O'Sullivan B, Griffin AM, Dickie CI, et al. Phase 2 study of preoperative image-guided intensity-modulated radiation therapy to reduce wound and combined modality morbidities in lower extremity soft tissue sarcoma. *Cancer* 2013; **119**(10): 1878-84.

## IMRT prospective clinical trials: 1

- Wound complications in 30.5% (vs 43% in SR2 study) (p=0.2, NS)<sup>(1)</sup>
- Commonest sites: buttock, adductor and posterior compartments of thigh
- Reduced need for tissue transfer for closure
- Reduced second surgery for wound complications 33% vs 43% (SR2)
- Trend for increased dose to flap and increased volume of flap receiving 50Gy in patients with wound complications
- Negative result thought to be due to compromising of flap sparing in order to ensure adequate PTV coverage
- Grade 2+ fibrosis at 2 years 9.3% vs 31.5% (SR2)
- Moderate joint stiffness 5.4% vs 17.8% (SR2)

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(1) O'Sullivan B, Griffin AM, Dickie CI, et al. Phase 2 study of preoperative image-guided intensity-modulated radiation therapy to reduce wound and combined modality morbidities in lower extremity soft tissue sarcoma. *Cancer* 2013; **119**(10): 1878-84.

## IMRT prospective clinical trials: 2

JOURNAL OF CLINICAL ONCOLOGY

ORIGINAL REPORT

### Significant Reduction of Late Toxicities in Patients With Extremity Sarcoma Treated With Image-Guided Radiation Therapy to a Reduced Target Volume: Results of Radiation Therapy Oncology Group RTOG-0630 Trial

Dian Wang, Rush University Medical Center, Chicago, IL; Qiang Zhang, NRG Oncology Statistics and Data Management Center, Philadelphia, PA; Burton L. Eisenberg, Hoag/University of South

Dian Wang, Qiang Zhang, Burton L. Eisenberg, John M. Kane, X. Allen Li, David Lucas, Ivy A. Petersen, Thomas F. DeLaney, Carolyn R. Freeman, Steven E. Finkelstein, Ying J. Hitchcock, Manpreet Bedi, Anurag K. Singh, George Diandas, and David G. Kirsch

Wang et al Journal of Clinical Oncology 2015 Jul 10;33(20):2231-8. doi: 10.1200/JCO.2014.58.5828. Epub 2015 Feb 9.



## IMRT prospective clinical trials 2

- Preoperative IGRT 50Gy in 25 fractions prior to surgery
- IGRT used in order to reduce target volumes
- Primary endpoint: 15% absolute improvement in rate of grade  $\geq 2$  radiation morbidity (subcutaneous tissue fibrosis, joint stiffness, oedema) at 2 years, from 37% to 22%
- 79 patients (2008 – 2010)
- Could receive IMRT (74.7%) or 3DCRT (25.3%)
- Results:
  - 5/74 (7%) local recurrences (all in field)
  - 57 patients assessed for late toxicity – 10.5% experienced at least one grade  $\geq 2$  toxicity (vs 37% in SR2 trial)  $p < 0.001$
- Conclusion: The significant reduction in late toxicities, and absence of marginal recurrences suggest that the reduced volumes used were appropriate

## IMRT prospective clinical trials: 3

### IMRiS: Intensity Modulated Radiotherapy in Sarcoma

- UK wide multi-centre trial opened in March 2016
- Prospective phase II cohort study
- Questions:
  - How should IMRT be incorporated into current practice?
  - What is the incidence of toxicity related to IMRT?
  - Does IMRT improve function and quality of life?
- Three cohorts:
  - Cohort 1: limb soft tissue sarcoma
  - Cohort 2: Ewing's sarcoma pelvis and spine
  - Cohort 3: Primary non-Ewing's sarcomas of pelvis and spine (osteosarcoma, chondrosarcoma, chordoma, spindle cell sarcoma of bone)

## IMRiS: Intensity Modulated Radiotherapy in Sarcoma

- Cohort 1: Limb soft tissue sarcoma (110 patients)
  - Does use of IMRT reduce late toxicity?
  - Primary endpoint: rate of grade 2+ late soft tissue fibrosis at 2 years following radiotherapy (aim to reduce from 30% to 20%)
  - Secondary endpoints: acute and late toxicity, patient reported limb function and quality of life, wound complications, time to local recurrence

## IMRiS: Intensity Modulated Radiotherapy in Sarcoma

- Cohorts 2 and 3: Pelvic/spinal bone sarcomas (33 patients)
  - Does the use of IMRT enable achievement of a radiotherapy treatment plan that delivers the optimal dose while keeping within normal tissue tolerances?
  - Primary endpoint: The proportion of patients where the recommended optimal radiotherapy dose can be achieved with IMRT
    - Cohort 2 (Ewing's): Increase proportion of patients receiving 95% of optimal dose from 70% to 90%
    - Cohort 3 (non-Ewing's): Increase proportion of patients receiving 95% of optimal dose from 0% to 50%
  - Secondary endpoints: Toxicity, response, quality of life, time to local recurrence/disease progression, survival

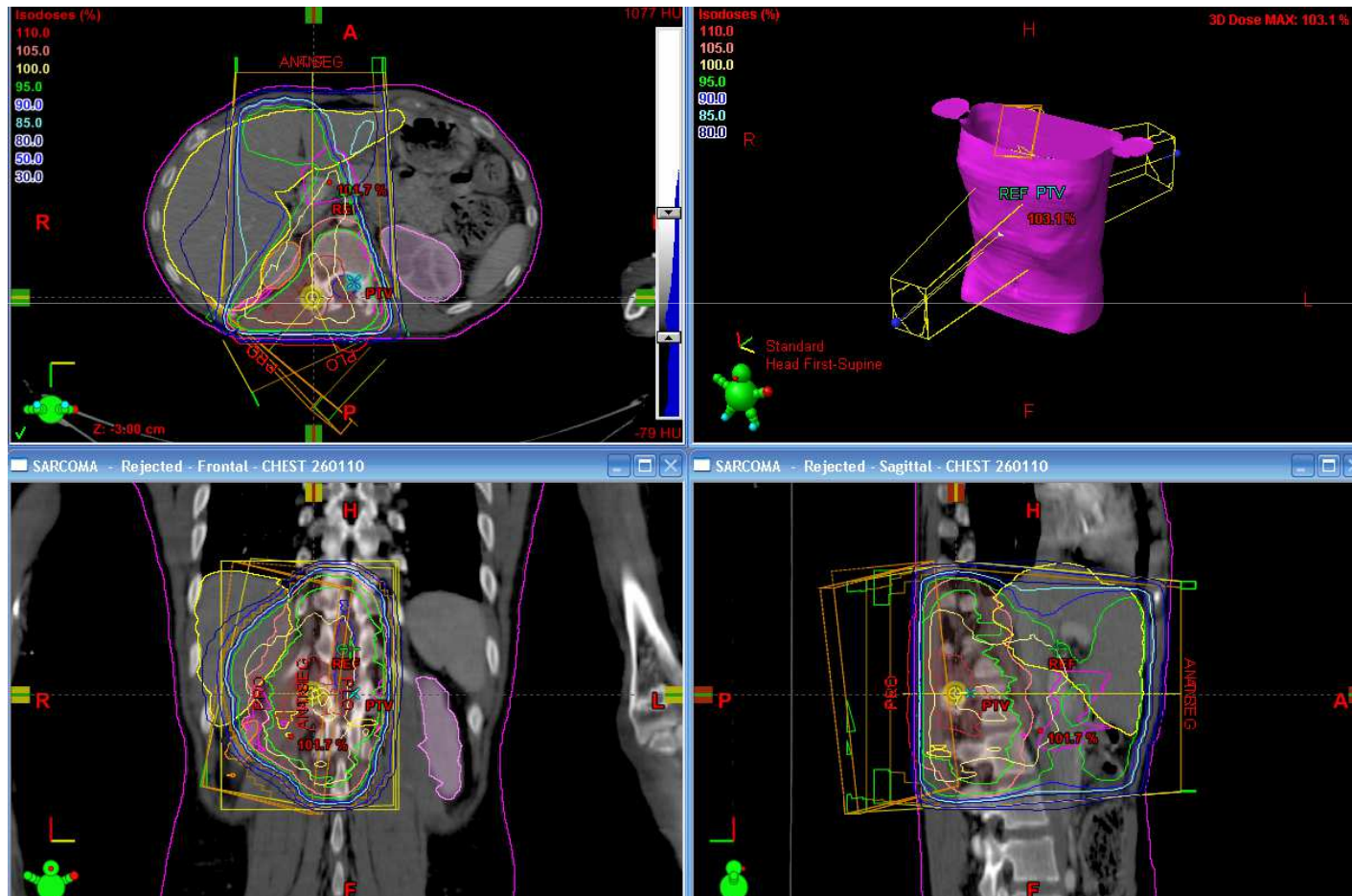
## IMRT in bone sarcomas

## IMRT in Ewing's Sarcoma

- IMRT shown to be superior to 3D-CRT in two small planning studies (5 patients)
- IMRT used in 43% of cases of a series of 33 spinal and pelvic tumours <sup>1</sup>
- Retrospective review at UCH of 24 cases of Ewing's sarcoma of pelvis/spine treated with 3D-CRT showed that the optimal radiotherapy dose could only be safely achieved in 70% (unpublished data)
- Increasing use of PBRT means that further data on IMRT unlikely

<sup>1</sup>La TH et al. Radiation therapy for Ewing's sarcoma: Results from Memorial Sloan Kettering in the modern era. Int J Rad Oncol Biol Phys, 2006;64:544-550.

## Ewing's sarcoma T12 spine: 49.5Gy in 33#



## Comparative planning study: IMRT vs PBT in pelvic Ewing Sarcoma

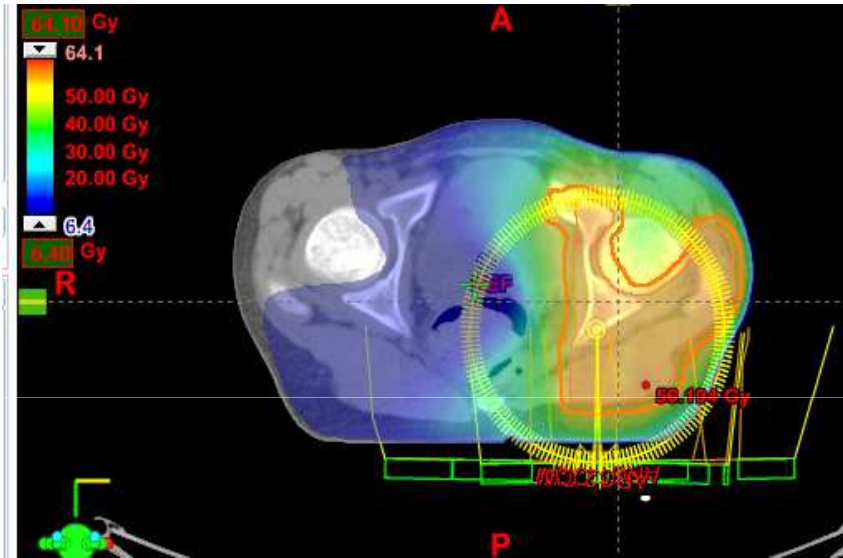
- Question: can PBT spare normal tissues (in particular uterus and ovaries) better than IMRT?
- Patients
  - 10 female patients (median age 20)
  - Ewing sarcoma of pelvic bones
- Dose: 54Gy in 30#
- Technique
  - VMAT
  - Intensity modulated PBT (pencil beam scattering)



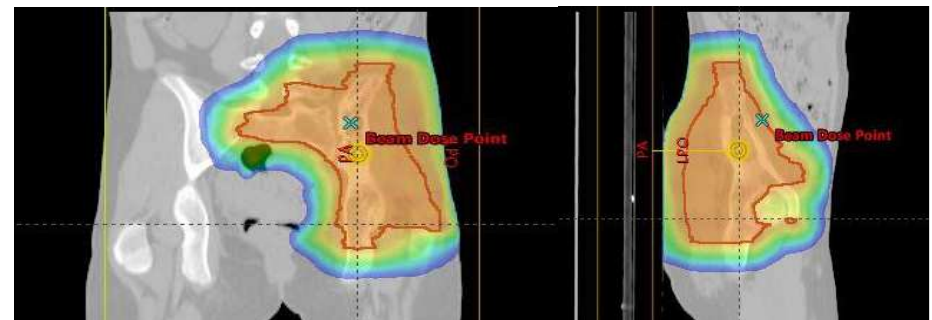
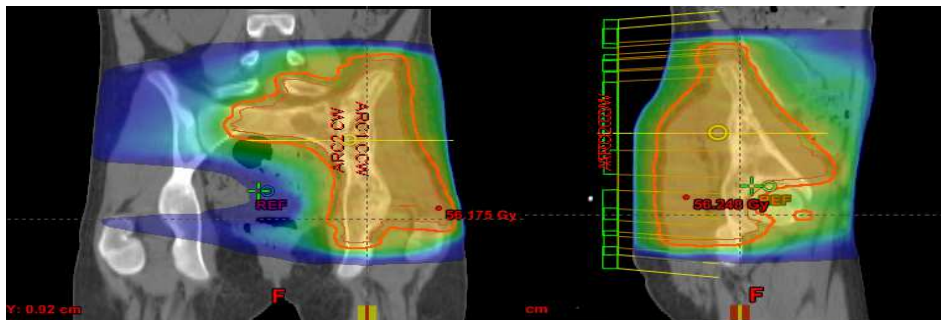
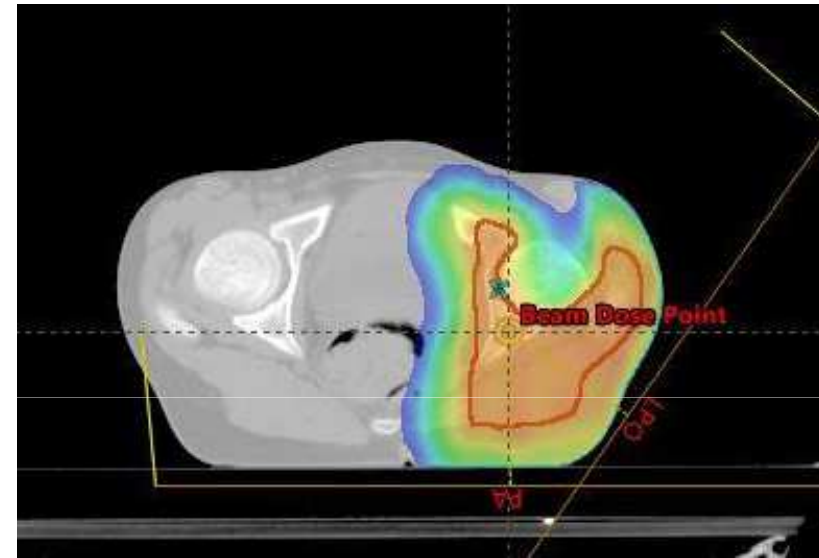
## Comparative planning study: IMRT vs PBT in pelvic Ewing Sarcoma

- **VMAT**
  - Good bowel, rectum and bladder sparing
  - Femoral head within tolerance
  - Spare one ovary to mean dose 4.3Gy
  - Uterus mean dose <10Gy in 80% of cases
  - Low dose bath
  
- **IMPT**
  - Superior sparing of:
    - Femoral head
    - Ovaries
    - Uterus
    - No low dose bath but high entry dose

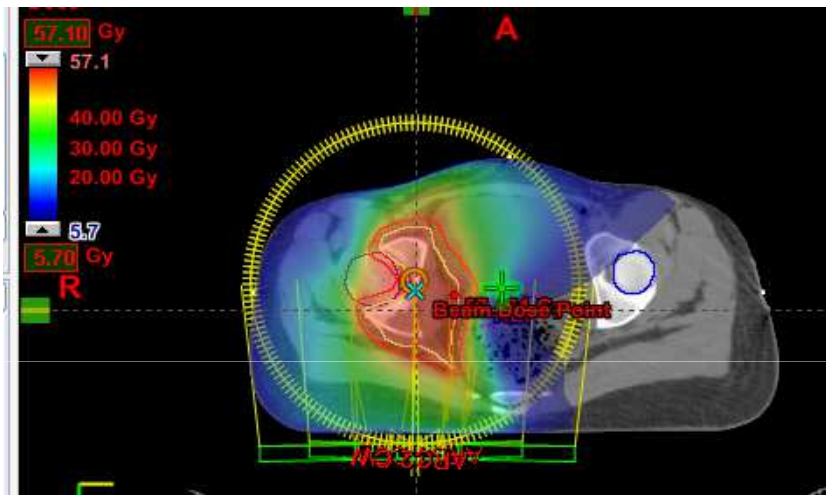
# Case 1: Iliac bone VMAT



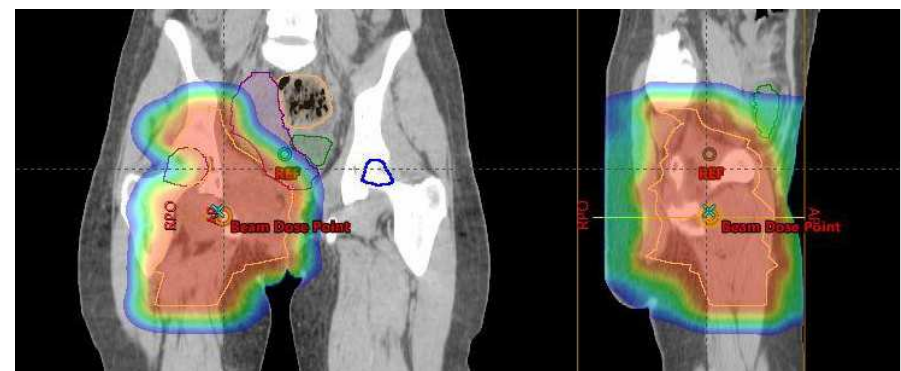
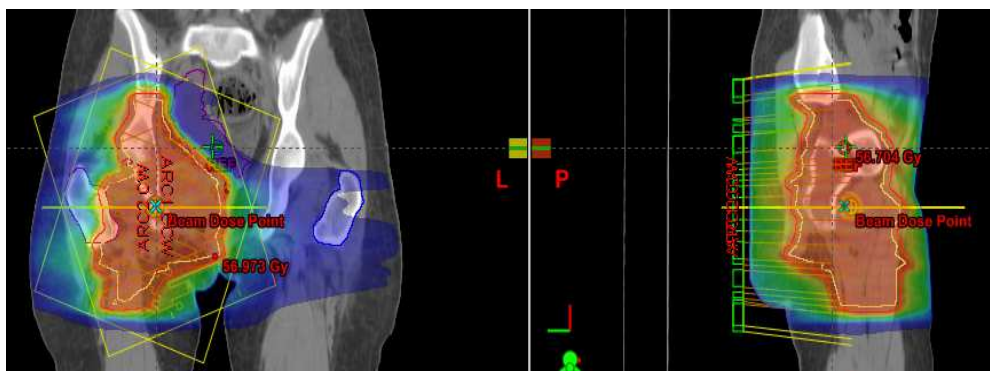
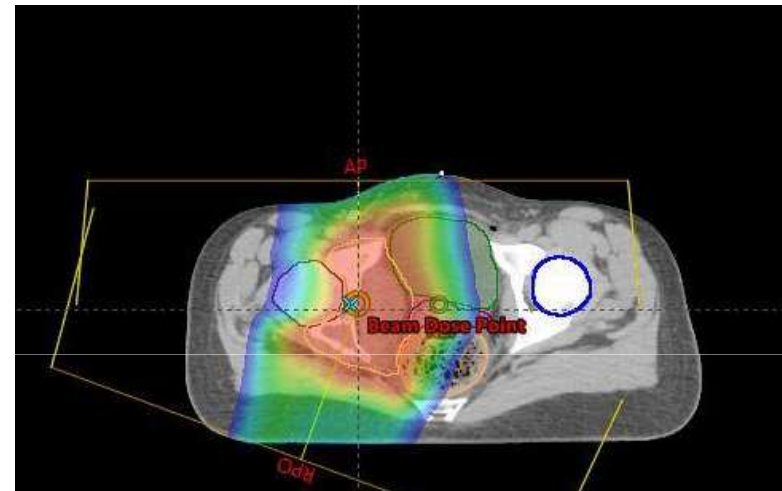
# IMPT



## Case 2: ischium VMAT

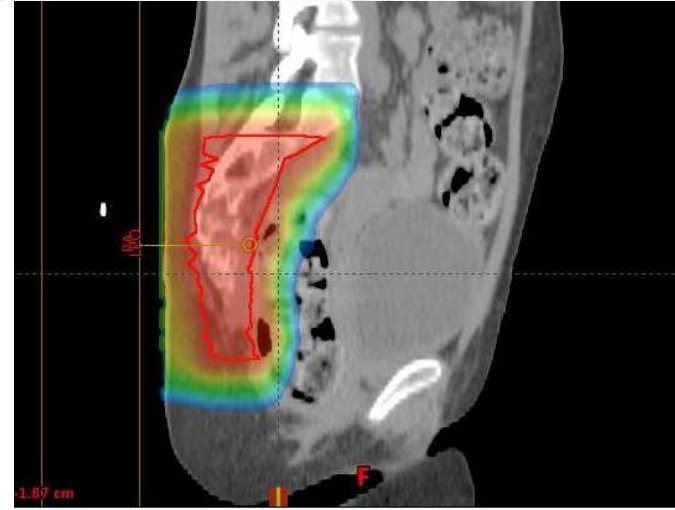
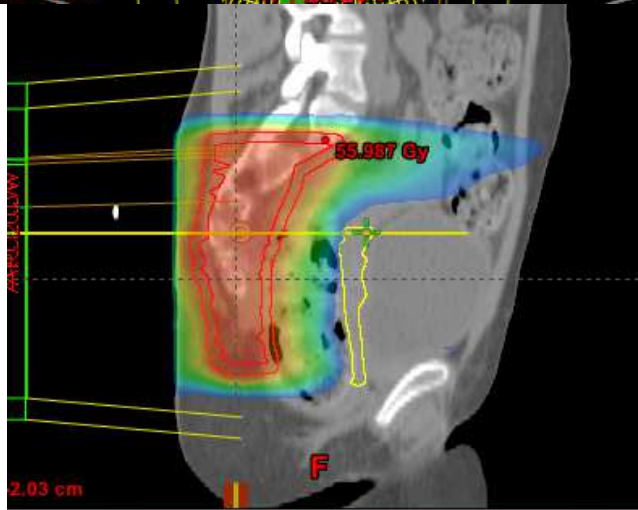
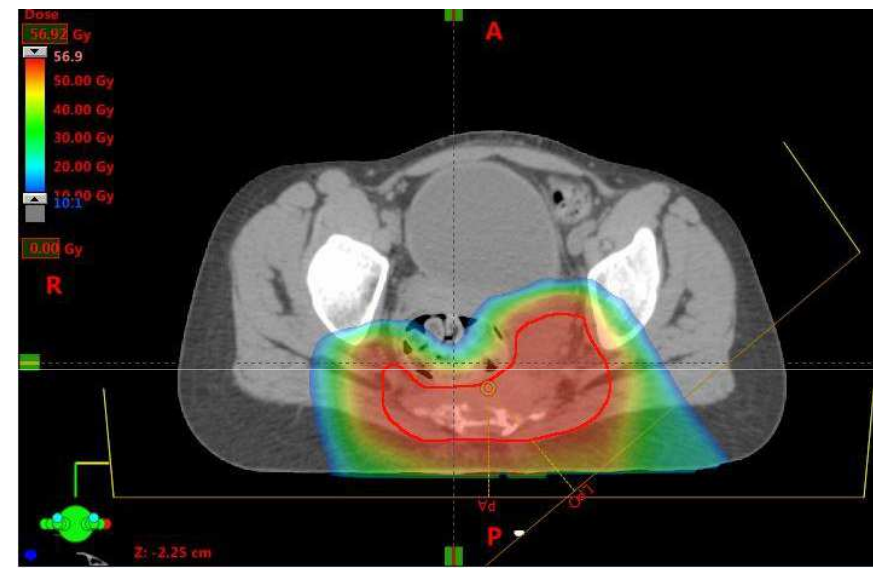
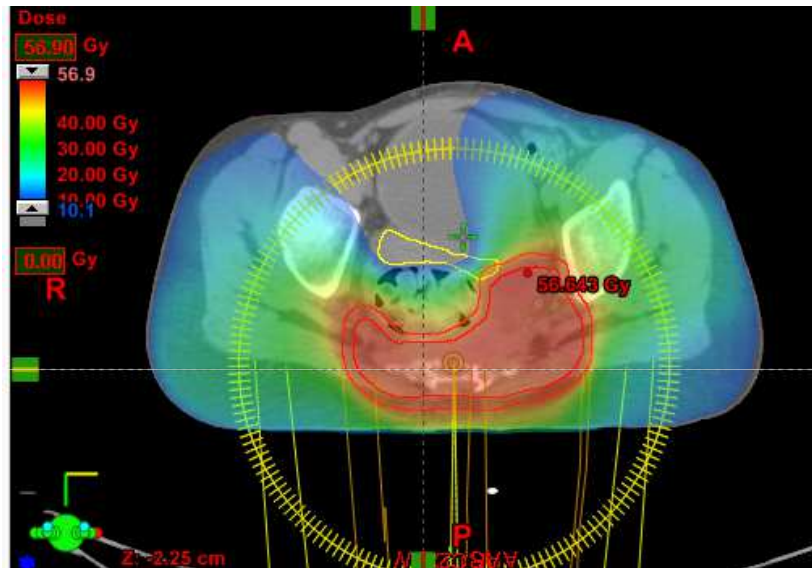


## IMPT



### Case 4: sacrum – uterus sparing VMAT

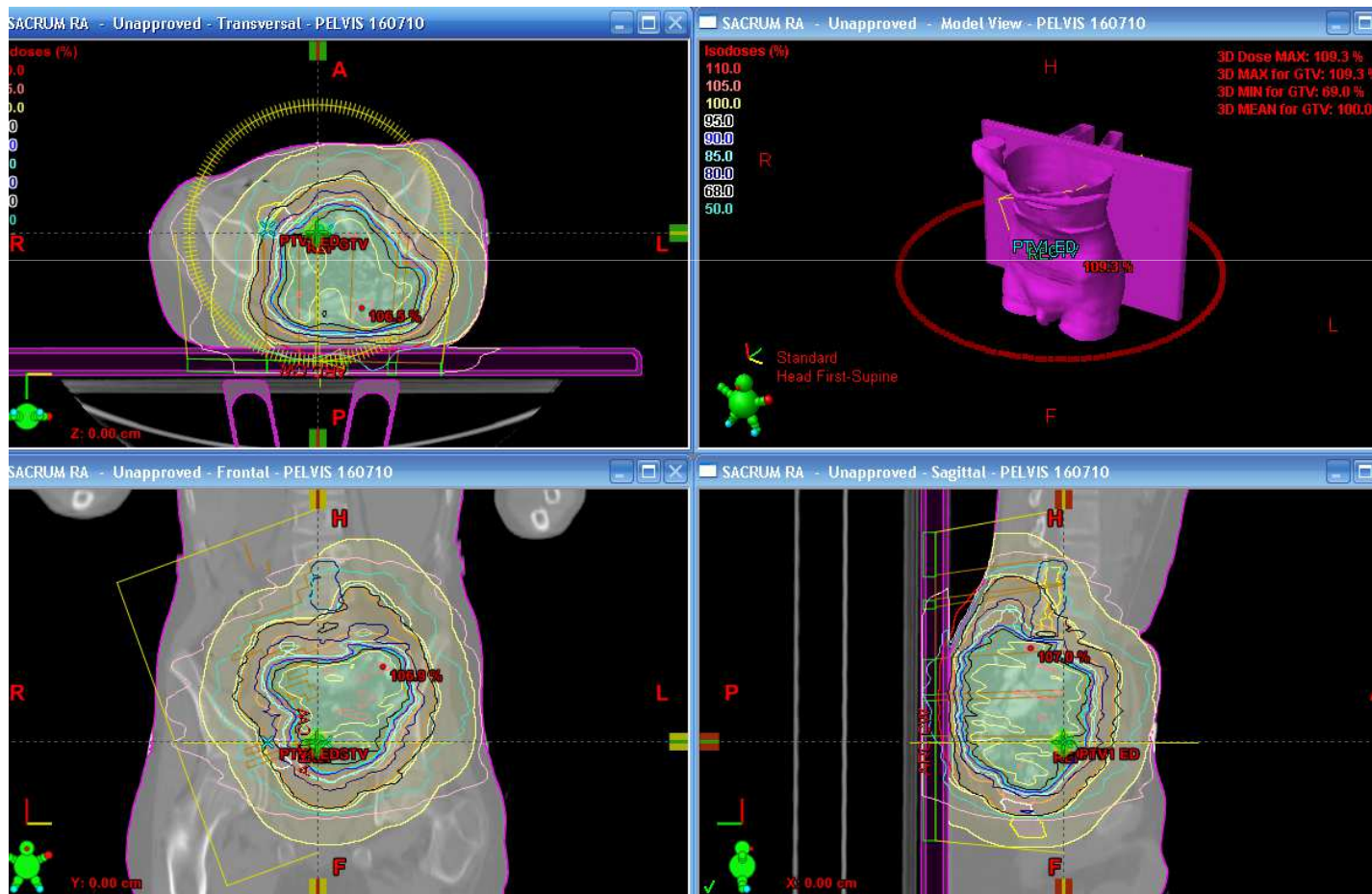
### IMPT



## IMRT in other bone sarcomas and chordoma

- More radio-resistant tumours
- High doses of radiotherapy required  $\geq 66 - 70 + \text{Gy}$
- Local control rates for RT alone around 40% at 5 years (protons +/- photons)<sup>1</sup>
- Increasingly, move towards using protons +/- photons, or carbon ions
- Inoperable tumours not approved for PBT in UK

# Osteosarcoma pelvis: PTV1 50Gy in 28#, PTV2 70Gy in 28#



## Conclusions

- IMRT offers opportunities across different sarcomas:
  - Soft tissue sarcomas – improved conformality to PTV, reduced dose to normal tissues, sparing of normal structures (e.g. bone), improved late toxicity?
  - Bone sarcomas:
    - Delivery of optimal dose to PTV with normal tissue sparing (Ewing's sarcoma)
    - Dose escalation for more radioresistant tumours (primary bone sarcomas, chondrosarcoma, chordoma)
    - PBT/carbon ions will offer advantage for some patients, but not easily accessible to all, so IMRT remains important

Thank you



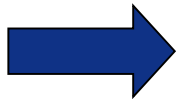
## IMRT - a physician's view

(As if physician's, physicists and RTs should have different views of the world.....)

One's own experience has the advantage of absolute certainty - *Schopenhauer*

No man's knowledge (here) can go beyond his own experience - *Locke*

Stupid is as stupid does - *Gump*



Some VERY SUBJECTIVE COMMENTARIES!!

## Disclosure

Research and Training Agreement, Expert Testimony  
and Travel Grants with Elekta/IBA/C-Rad

Board Member of C-Rad

Stock holdings Imuc

# Drivers of IMRT

Things weren't perfect prior to IMRT

Need to avoid Toxicity

Convenience / Economical Factors / Simplification of established paradigms

Evolution of Technology / IGRT / Online Adaptation

Chronification of Disease/Oligometastases

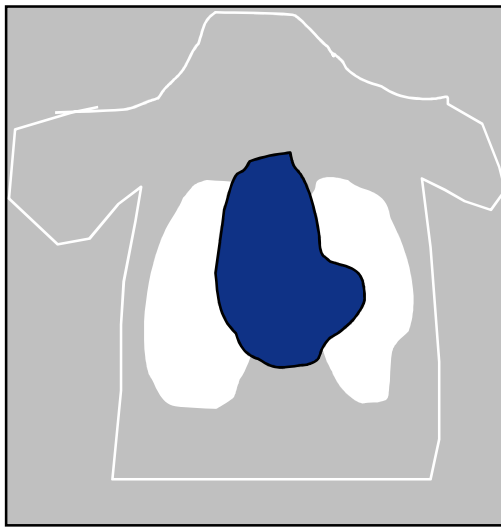
Expanding Indications for SBRT (e.g. Prostate with the need for dose shaping)

Potentially a new Paradigm in Combination with Immunotherapy

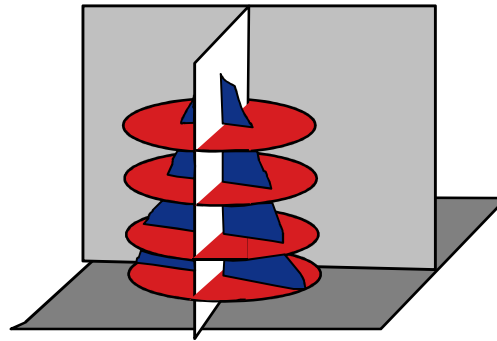
# Technical Basis

# Radiotherapy Treatment Planning

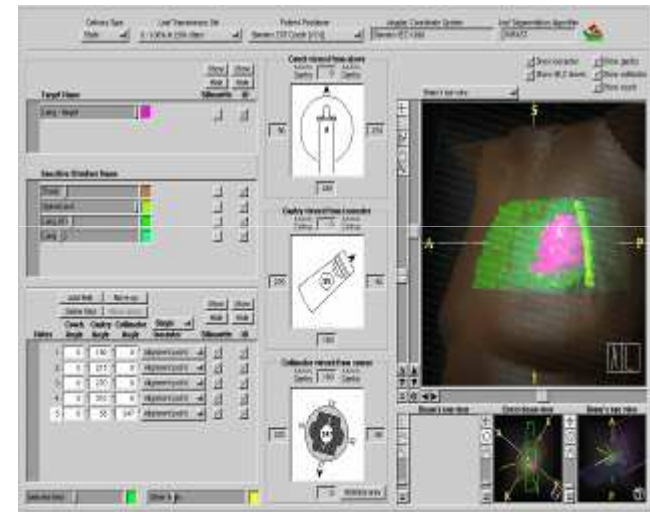
Simulator



2-D

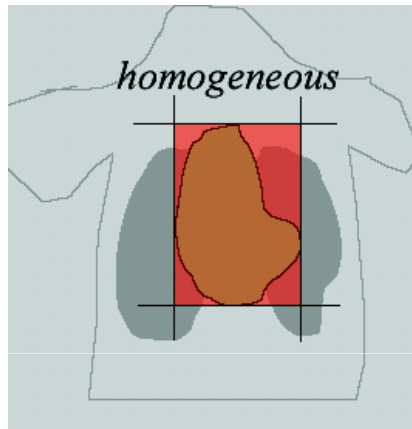


3-D

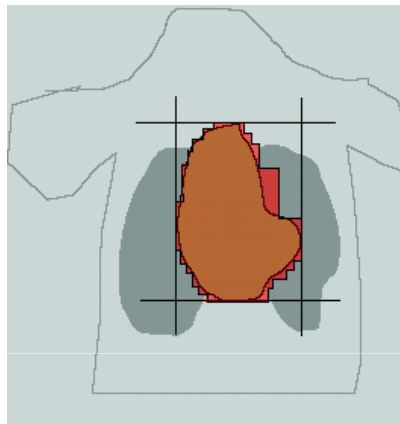


# Treatment Delivery

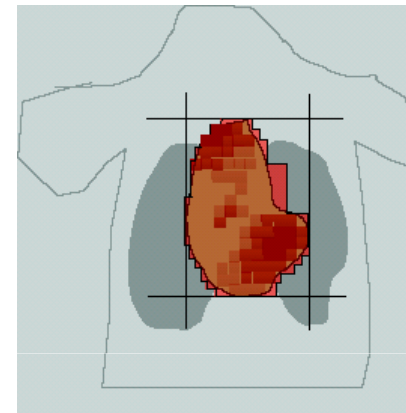
## Conventional



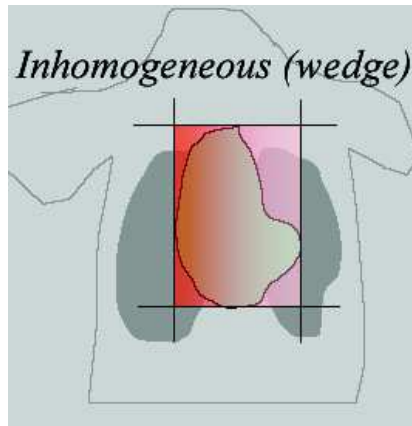
## Conformal



## IMRT



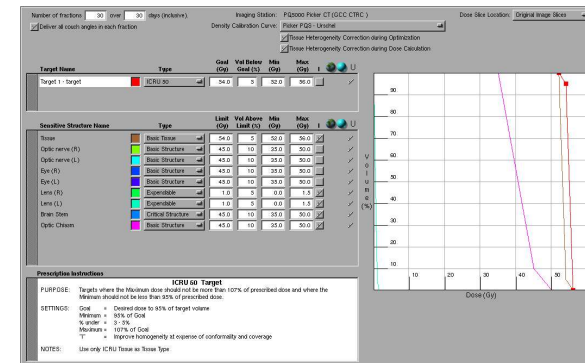
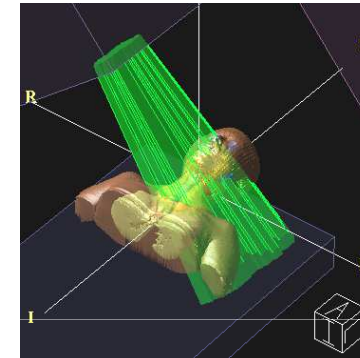
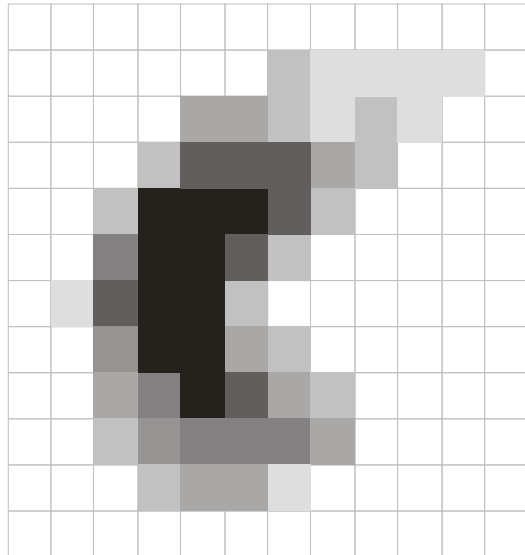
*Inhomogeneous (wedge)*



# Inverse Planning

## Inverse Planning (IP)

User enters port/arc layout, and treatment objectives, computer optimizes beam modulation





# Requirements

1. IMRT-Capable Delivery System
2. Inverse Planning System
3. Record & Verify / Console Module
4. QA Protocols
5. Training / On-Site Consultations



[www.nomos.com](http://www.nomos.com)

# Prescription

The Key to Inverse Planning is a prescription tool that easily and efficiently captures the physician's most critical clinical judgements

The screenshot displays the software interface for inverse planning. It includes a table for target and sensitive structures, a DVH graph, and prescription instructions. Three callout boxes highlight key features: clinically relevant tissue types, numerical/graphical goal entry, and on-screen optimization guidance.

Target Name	Type	Goal (Gy)	Vol Below Goal (%)	Min (Gy)	Max (Gy)
Lung - target	Basic	40.0	5	35.0	45.0

Sensitive Structure Name	Type	Limit (Gy)	Vol Above Limit (%)	Min (Gy)	Max (Gy)
Tissue	Basic Tissue	9.8	10	0.0	45.0
Spinal cord	Critical Structure	12.0	1	10.0	14.0
Lung (R)	Expendable	20.0	5	15.0	25.0
Lung (L)	Expendable	20.0	5	15.0	25.0

**Clinically relevant tissue types provide quantum leap in optimization quality**

**Numerical and/or graphical entry of dose/volume goals**

**On-screen optimization guidance**

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Everything works fine up to here

But:

How much time you spend everyday planning?

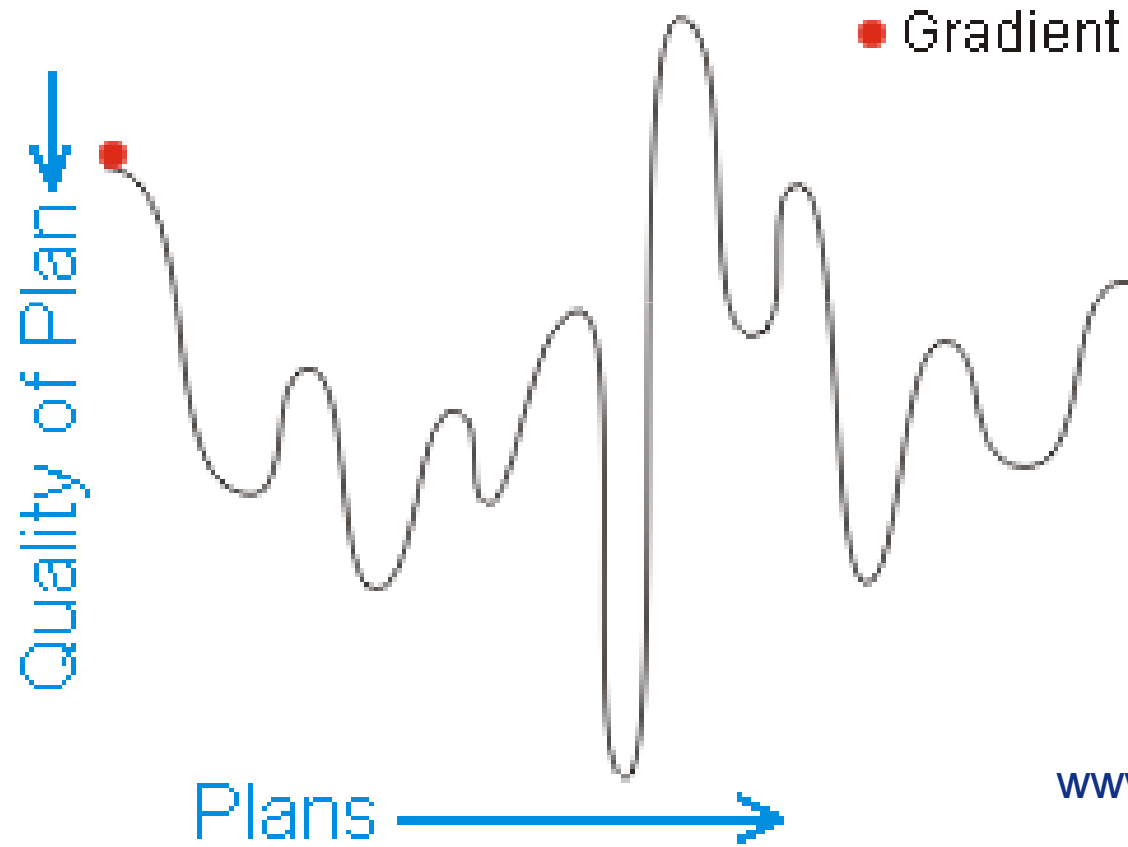
How many of you are using autopanning?

# Optimization

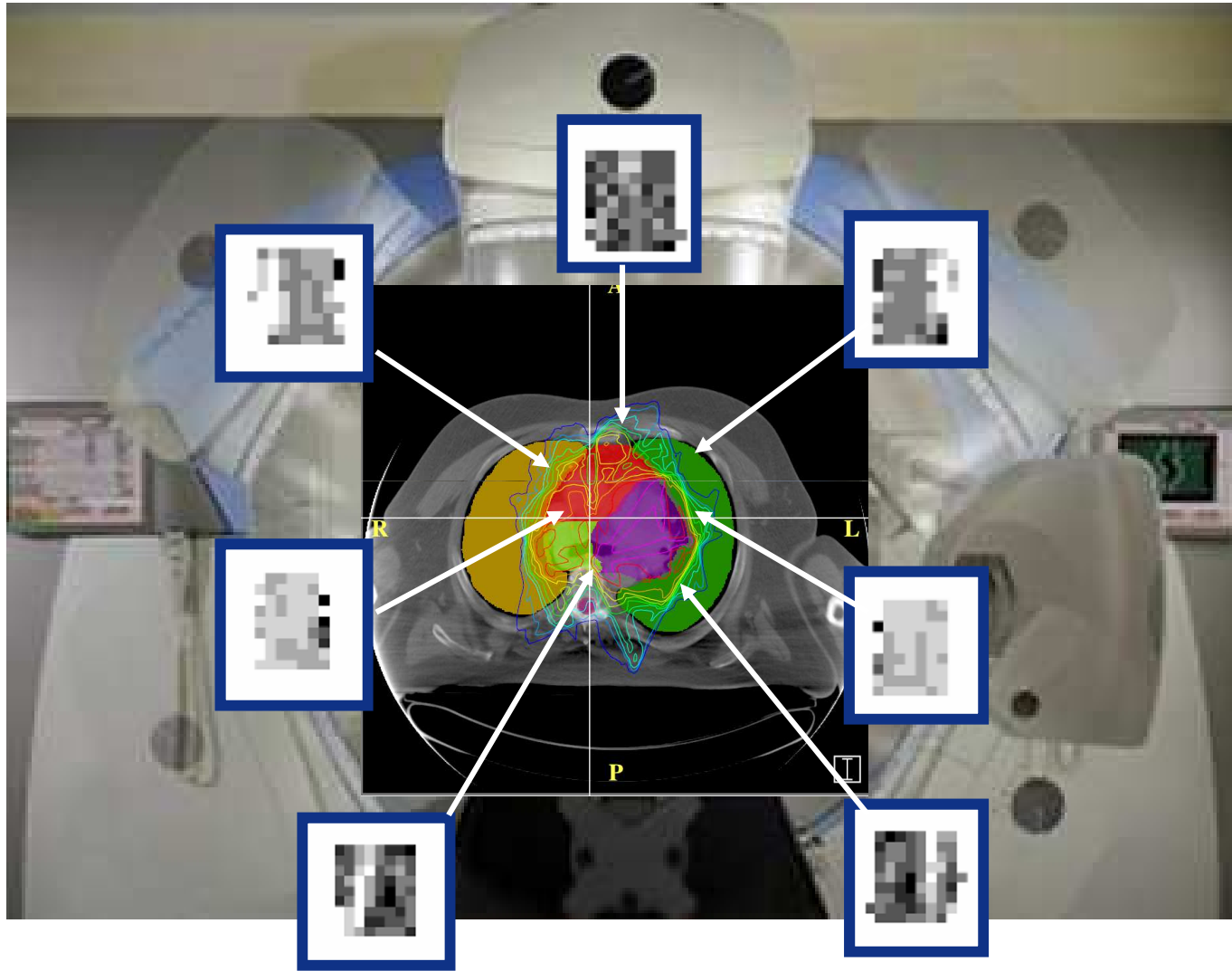
A “cost function” trades off different portions of the CDVH curves in order to arrive at a composite “**Optimal Result**”

# Optimization Strategies

## Gradient vs. Stochastic



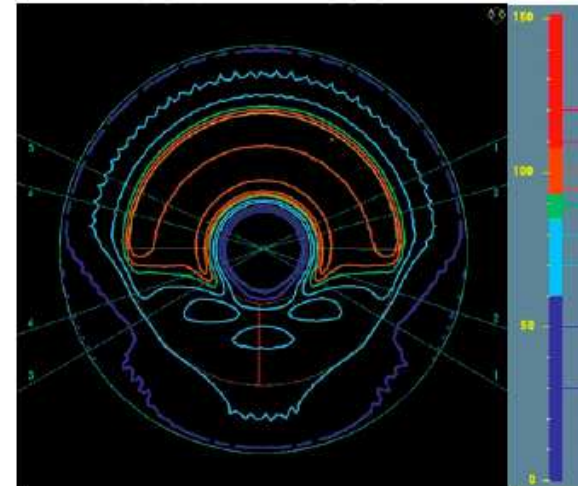
# IMRT-Capable Delivery System



# Basic treatment techniques

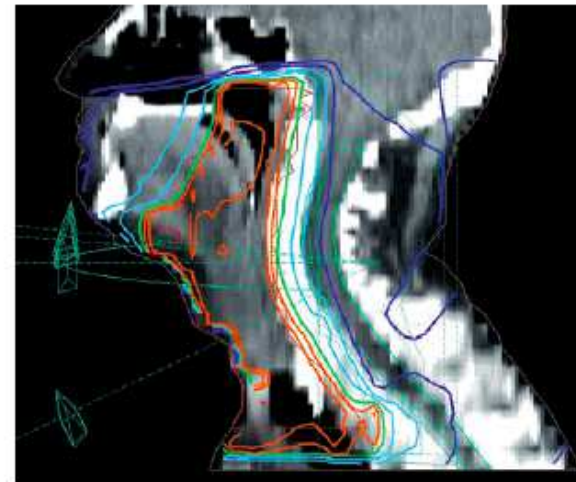
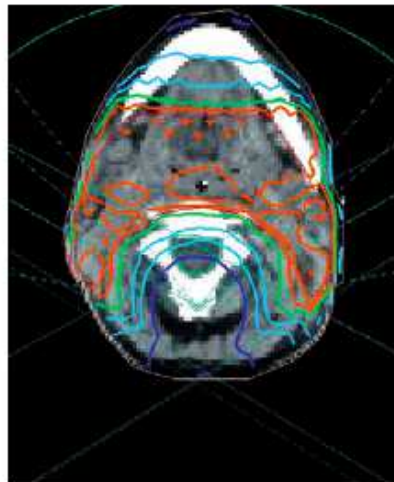
K. Bratengeier

In: Kiricuta, Definition of Target Volumes, 2001



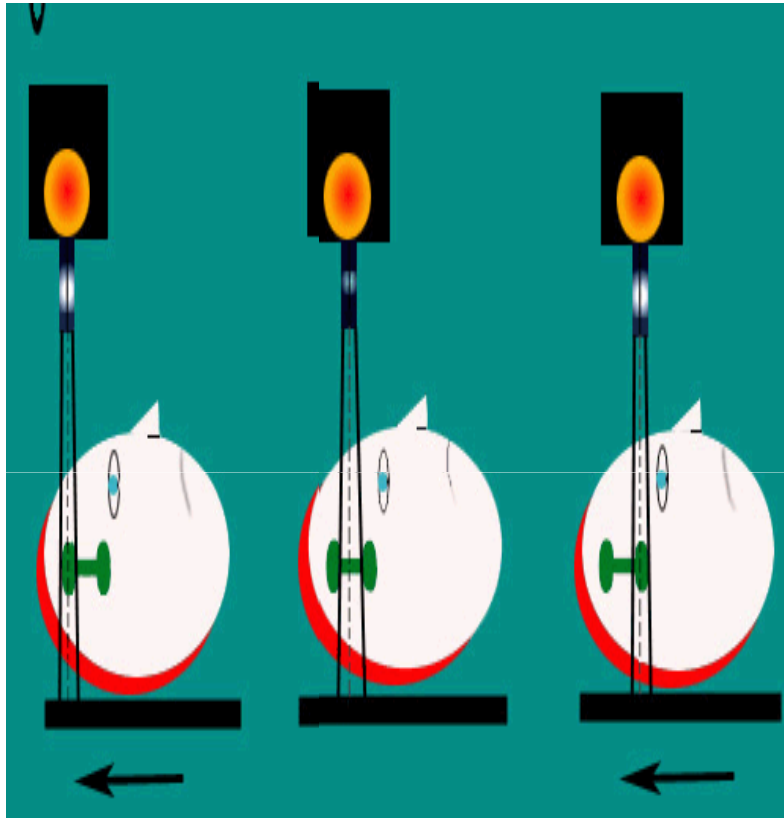
*Figure 8. Two-step IMAT in the case of a patient with Hypopharynx-Carcinoma.*

*Left: transversal plane. Right: sagittal plane; 30%, 50%, 70%, 80%, 90% and 95% isodoses are shown in the same colors as labelled in figure 7.*



## 2 “Slices” Treated per Rotation

[www.nomos.com](http://www.nomos.com)



Couch Indexing



Ok, everything is almost perfect up to this point

But:

How much time you spend everyday contouring?

How many of you are using autocontouring?

# Clinical Application of IMRT

# Most important indications and treatment philosophy

## 1. Head and Neck Cancer CNS

**Paranasal Sinus Tumors / Integrated Boost**  
(Better Tumor coverage and shortening of overall treatment time)

**NPC and other ENT Tumors**  
(Parotid sparing when possible, better tumor coverage for NPC)

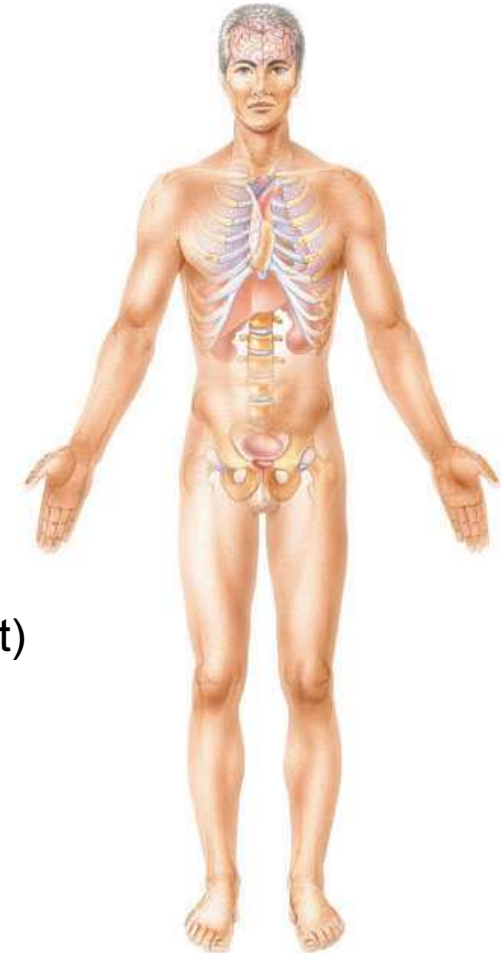
## 2. Prostate / Integrated boost (Potentially hypofractionation)

## 3. Gastric cancer (Better kidney sparing while treating the whole of the target)

## 4. Breast Cancer

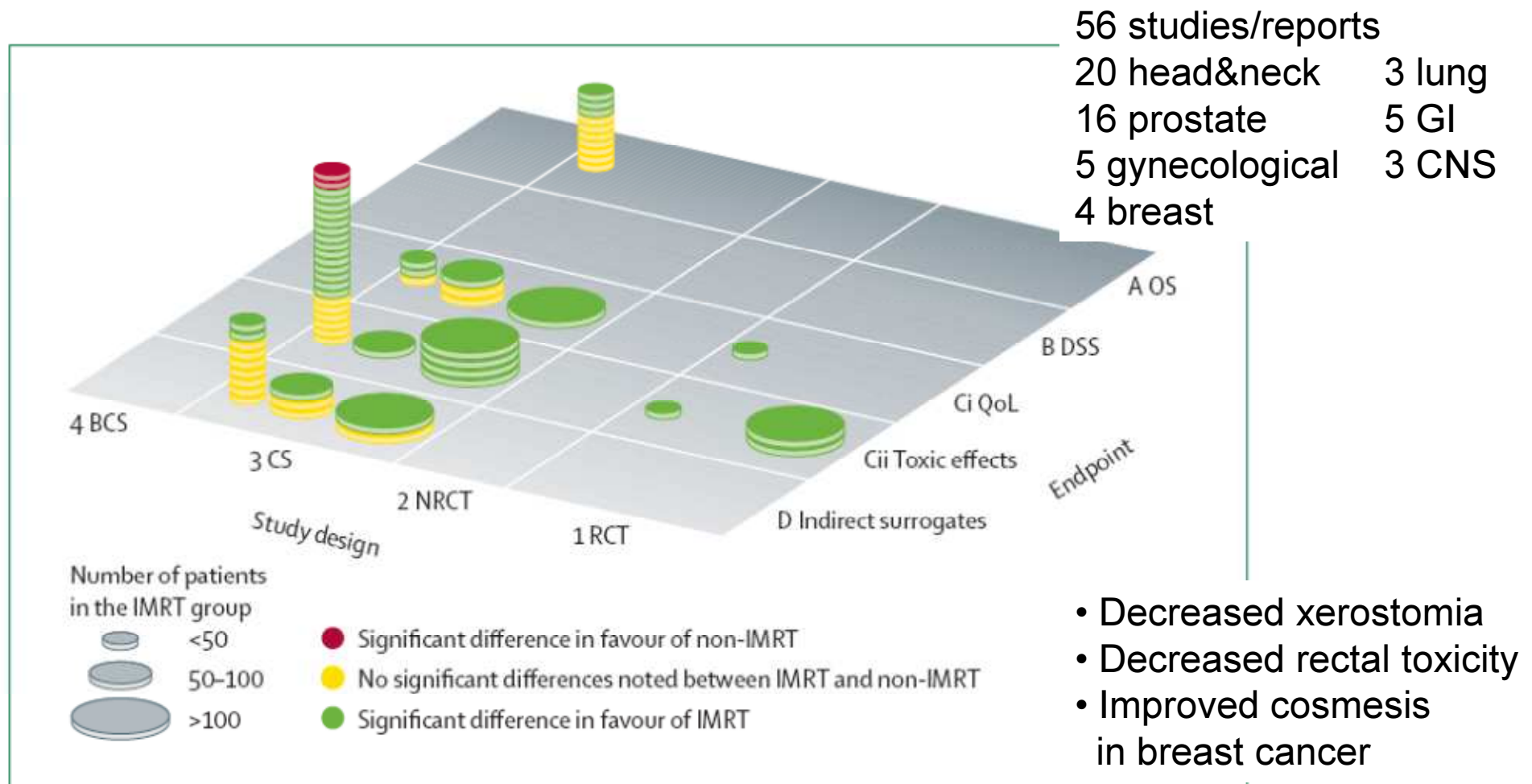
## 5. Lung Cancer

## 6. Metastases



# Evidence behind use of intensity-modulated radiotherapy: a systematic review of comparative clinical studies

Liv Veldeman, Indira Madani, Frank Hulstaert, Gert De Meerleer, Marc Mareel, Wilfried De Neve *Lancet Oncol* 2008; 9: 367-375



**Figure 3:** Evaluation tool for relevance of clinical statements reported in 56 studies of IMRT  
 BCS=best case series. CS=case series. NRCT=non-randomised controlled trial. RCT=randomised controlled trial.  
 OS=overall survival. DSS=disease-specific survival. QoL=quality of life.

# IMRT clinical outcome

Factors influencing the rational use of IMRT for head-and-neck cancer

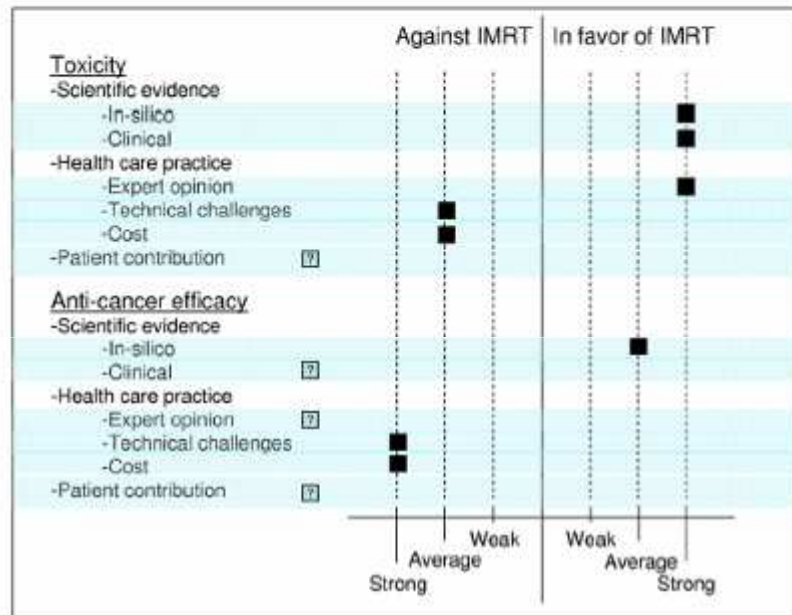


Figure 1 Factors in favor or against IMRT are represented by a rectangle on the right or on the left of the vertical solid line, respectively. A question mark inside a rectangle indicates too much uncertainty for using the factor in the graph.

Factors influencing the rational use of IMRT for whole breast irradiation

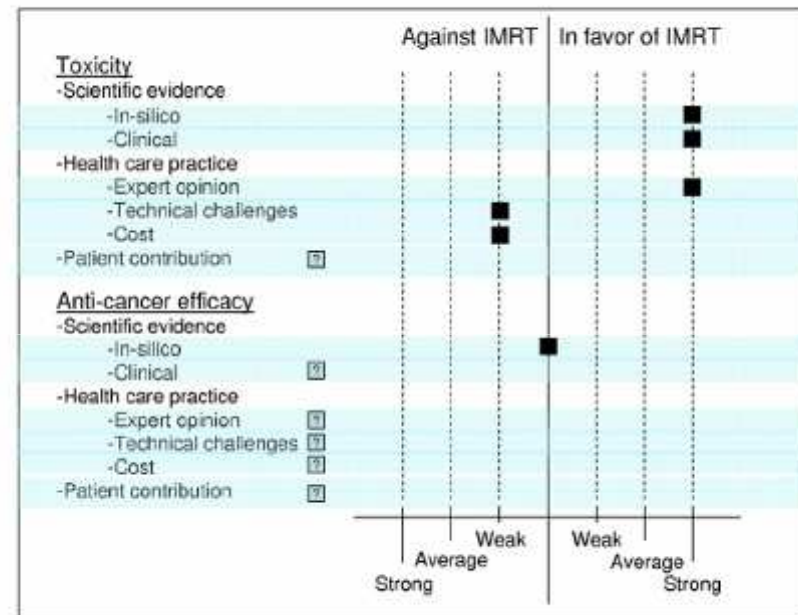
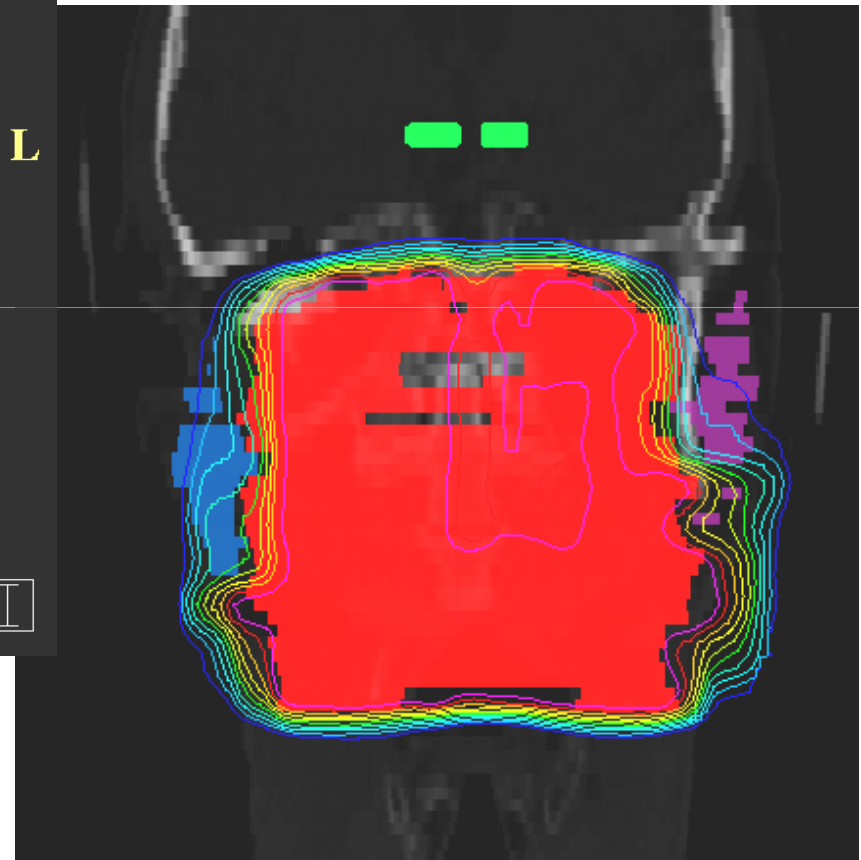
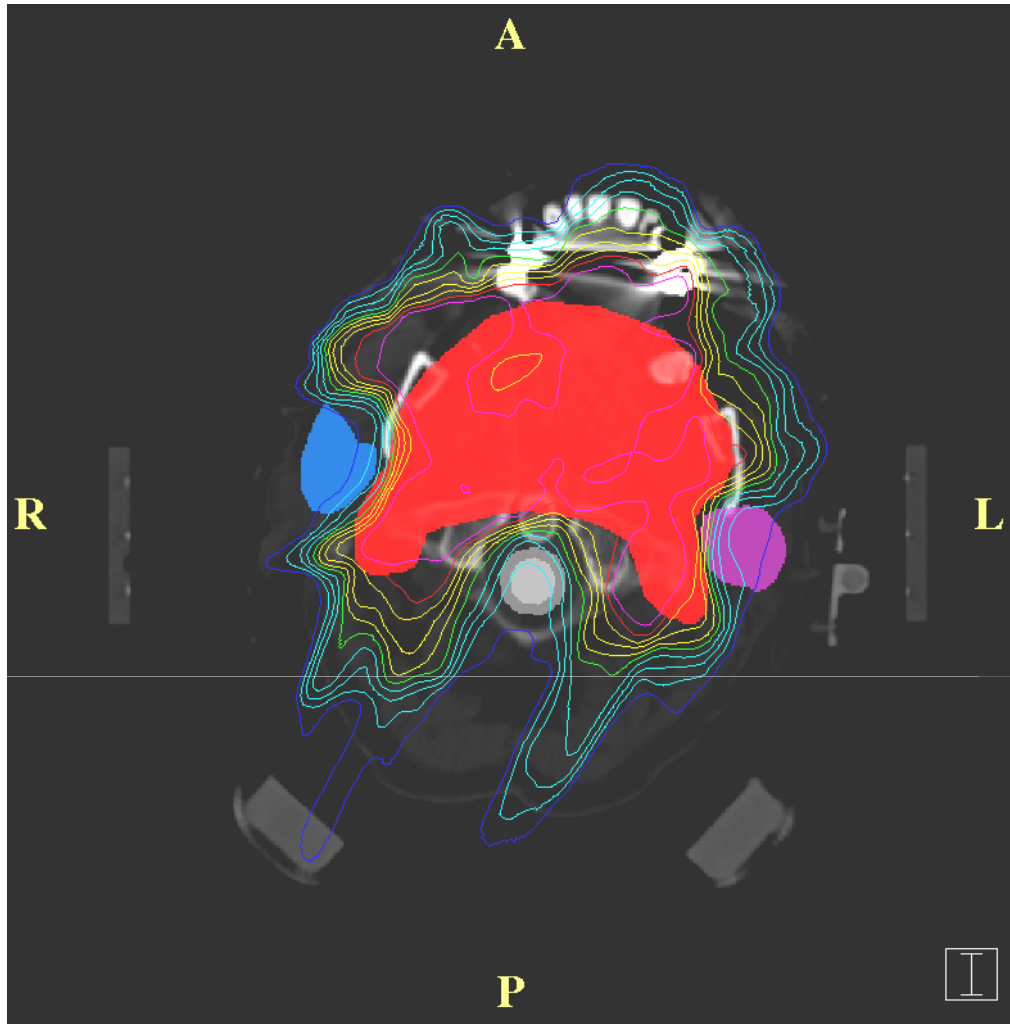


Figure 2 Factors in favor or against IMRT are represented by a rectangle on the right or on the left of the vertical solid line, respectively. A question mark inside a rectangle indicates too much uncertainty for using the factor in the graph.

De Neve et al. Sem Rad Onc, 2012

Avoiding unnecessary toxicity

Oropharynx (Tongue)  
T3N0 Bilateral Parotid  
Sparing





First results of a phase III multicenter randomized controlled trial (IMRT) versus conventional radiotherapy (RT) in head and neck cancer (PARSPORT: ISRCTN48243537; CRUK/03/005).

Presenter: Christopher Nutting, MD, FRCR

Slide 1 of 26

PARSPORT

# First Results of a Phase III Multi-Centre Randomised Controlled Trial of Intensity Modulated vs Conventional Radiotherapy in Head and Neck Cancer: PARSPORT (CRUK/03/005)

C. Nutting, R. A'Hern, M. S. Rogers, M. A. Sydenham, F. Adab, K. Harrington, S. Jefferies, C. Scrase, B. K. Yap, E. Hall, on behalf of the PARSPORT Trial Management Group



First results of a phase III multicenter randomized controlled trial of intensity modulated (IMRT) versus conventional radiotherapy (RT) in head and neck cancer (PARSPORT: ISRCTN48243537; CRUK/03/005).

Presenter: Christopher Nutting, MD, FRCR

Slide 6 of 26

## PARSPORT Trial Design

Head and neck cancer patients at risk of radiation induced xerostomia (oropharynx/hypopharynx)

Randomisation 1:1

Conventional radiotherapy (CRT)

Parotid-sparing IMRT

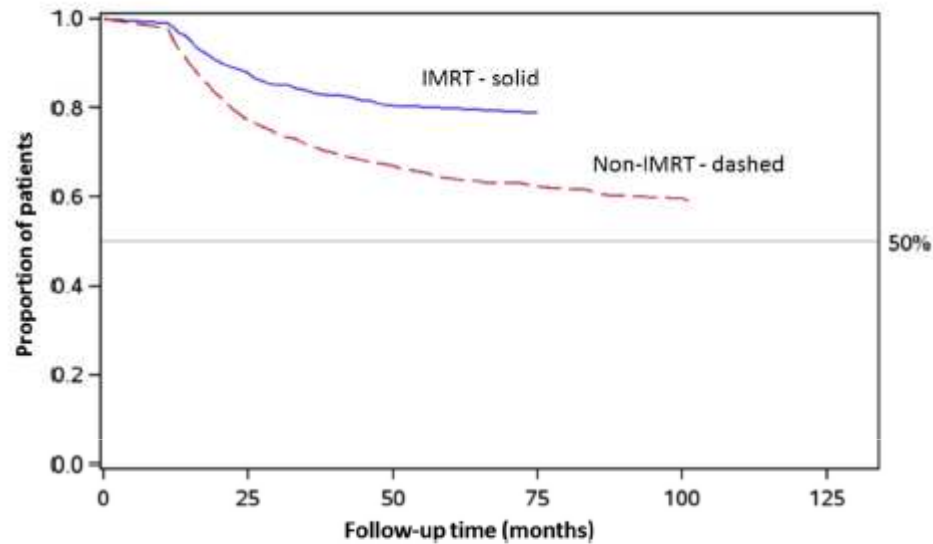
65Gy/30 fractions in 6 weeks - radical and post-operative R1/R2  
60Gy/30 fractions in 6 weeks - post-operative R0

First Results of the PARSPORT Trial, Proc ASCO 2009

- 1. Study Design
- 2. Principal Inclusion Criteria
- 3. Principal Exclusion Criteria
- 4. Randomisation
- 5. Radiotherapy Planning and Delivery
- 6. Primary Endpoints
- 7. Secondary Endpoints
- 8. Statistical Analysis
- 9. Results
- 10. Conclusions



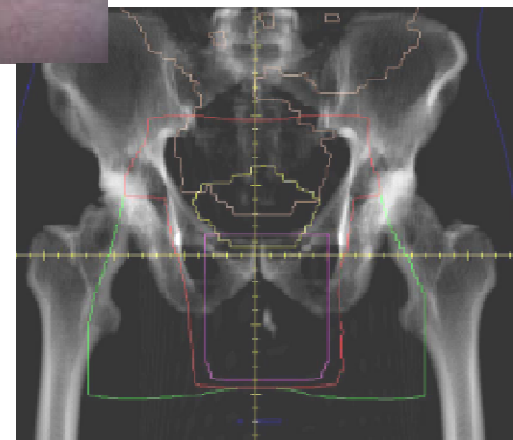
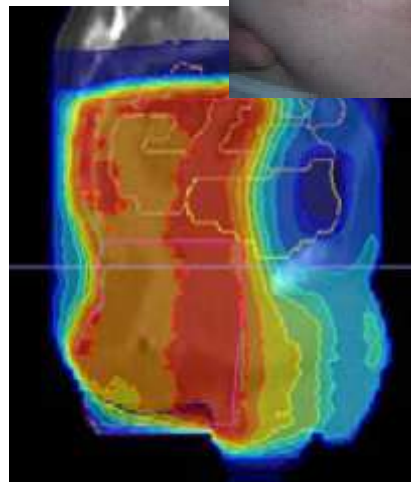
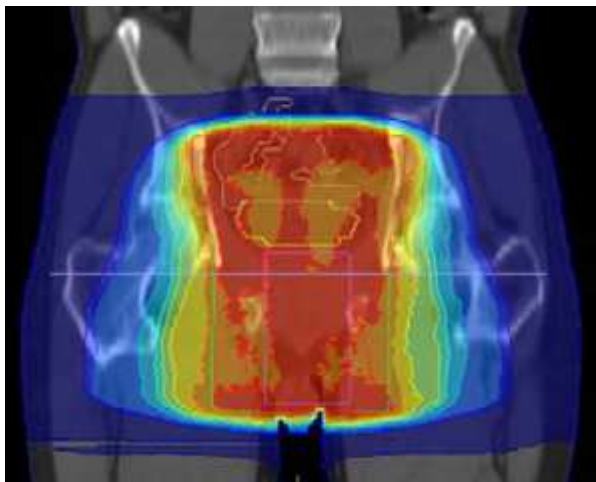
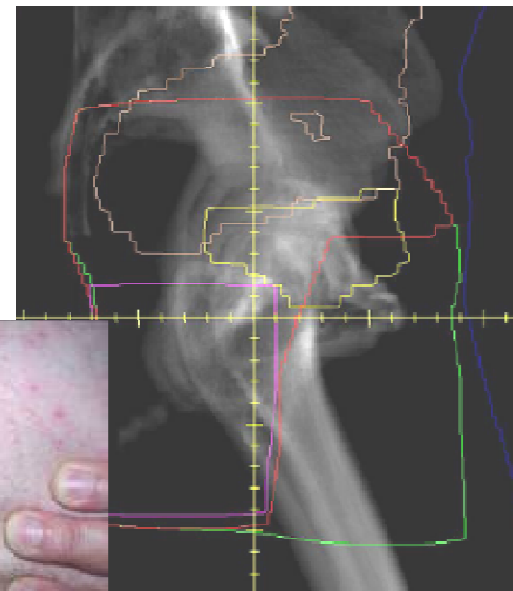
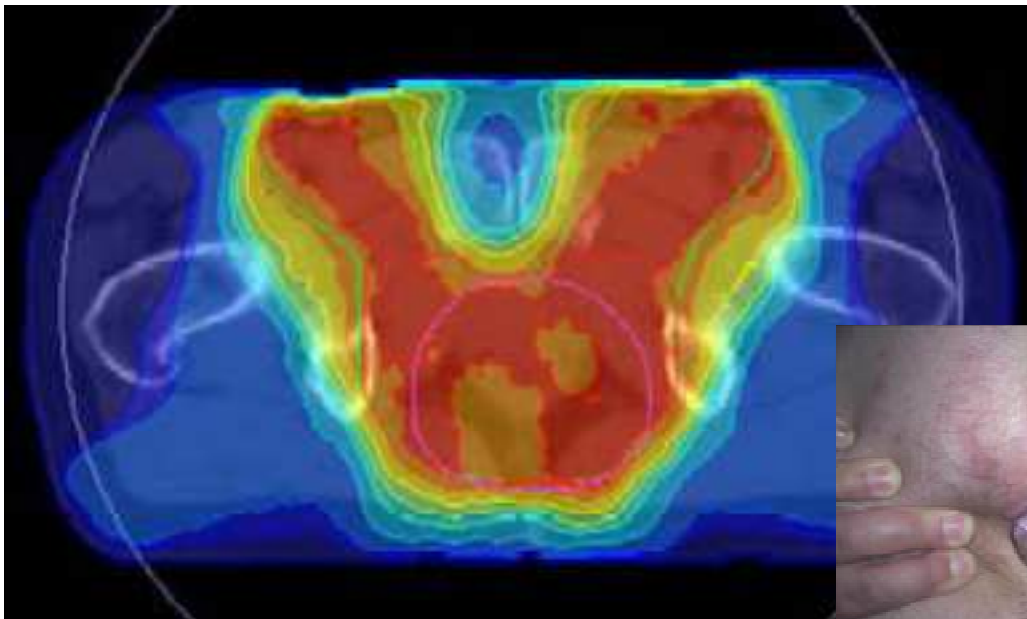
# IMRT is evil....is it? The SEER-Database suggests...



**Figure 1.** Impact of intensity-modulated radiation therapy (IMRT) on cause-specific survival. Kaplan-Meier curve depicting the cause-specific survival with time for patients treated with IMRT (dashed) compared to those treated with non-IMRT (solid).

Beadle et al., Cancer, 2014

Lohr, Mai, in:  
Wannenmacher, Strahlentherapie, 2013



Caveat: Marginal misses and high doses to large volumes

## Tata Memorial Randomized Trial

Rathod et al.,  
Oral Oncol, 2013

Caveat:

*At a median followup of 40 months (inter-quartile range 26-50 months):*

*The 3-year estimates of loco-regional control with 95% confidence intervals (95%CI) were*

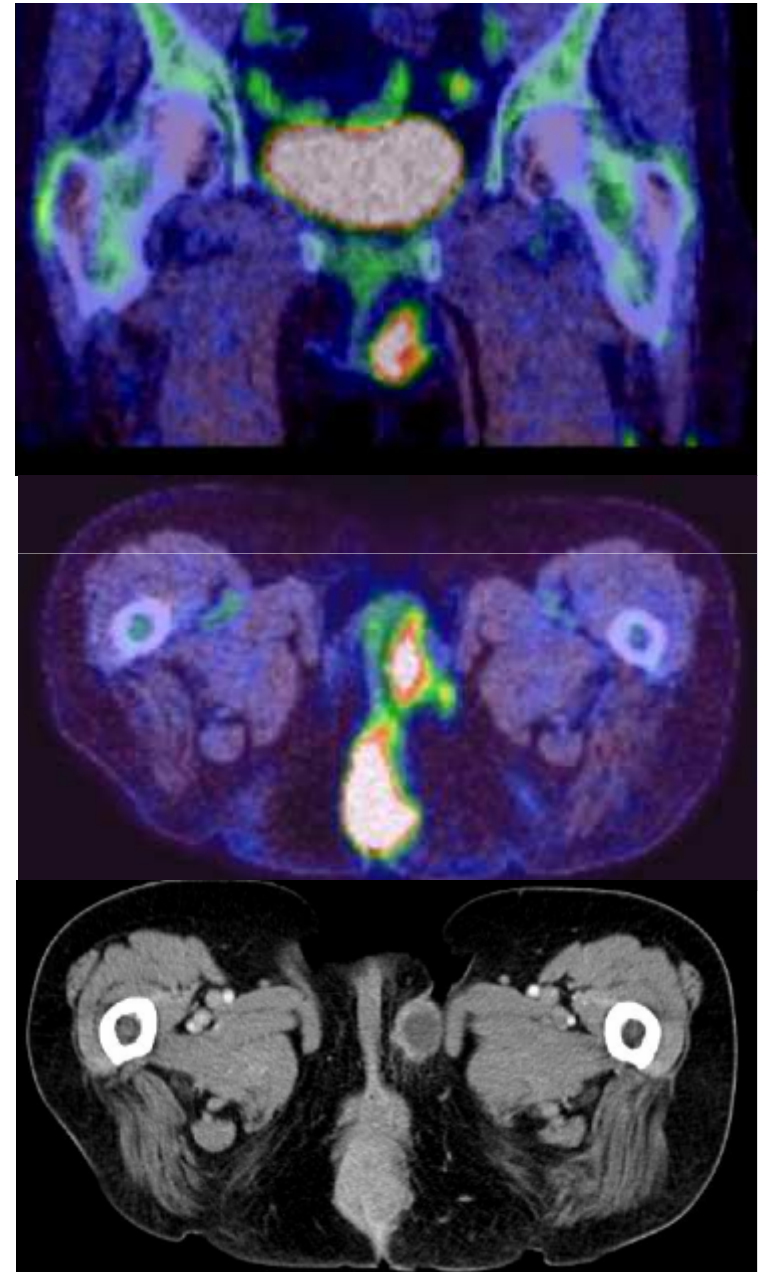
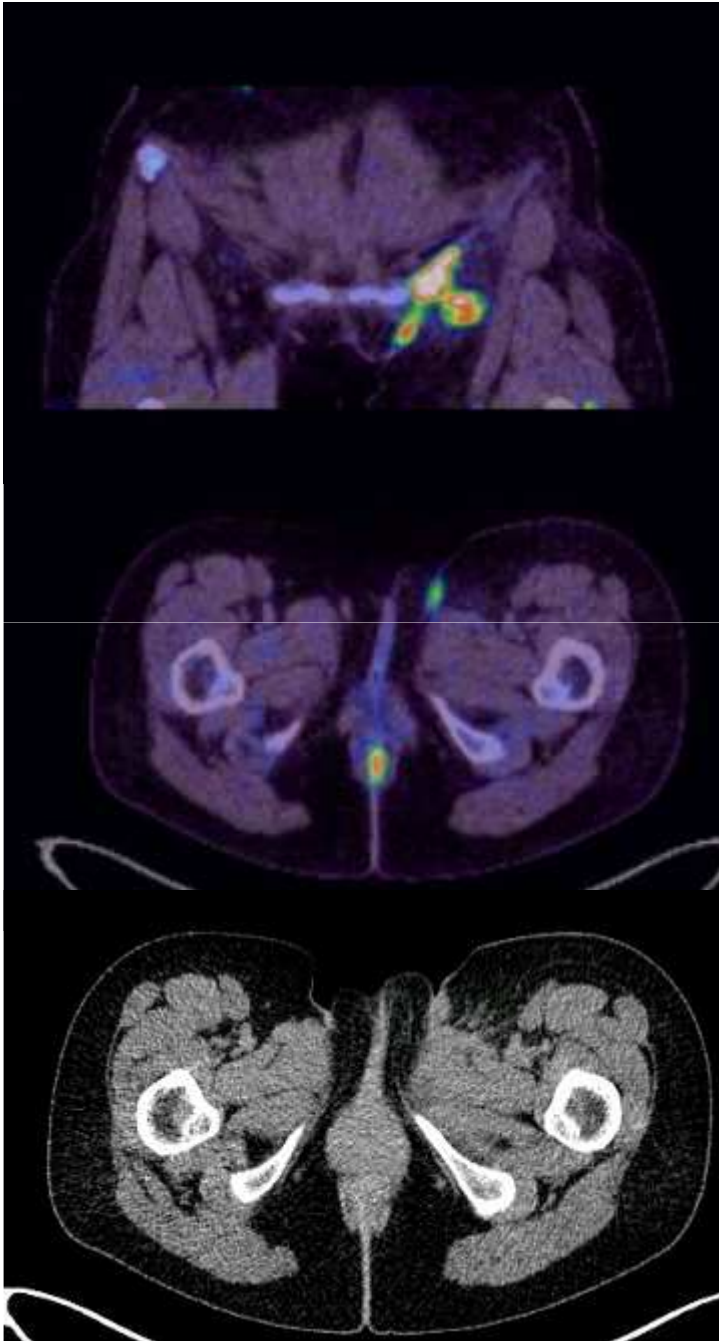
**88.2%** (75.4–100%) for **3D-CRT**

**80.5%** (66.1–94.9%) for **IMRT**

*(p = 0.45).*

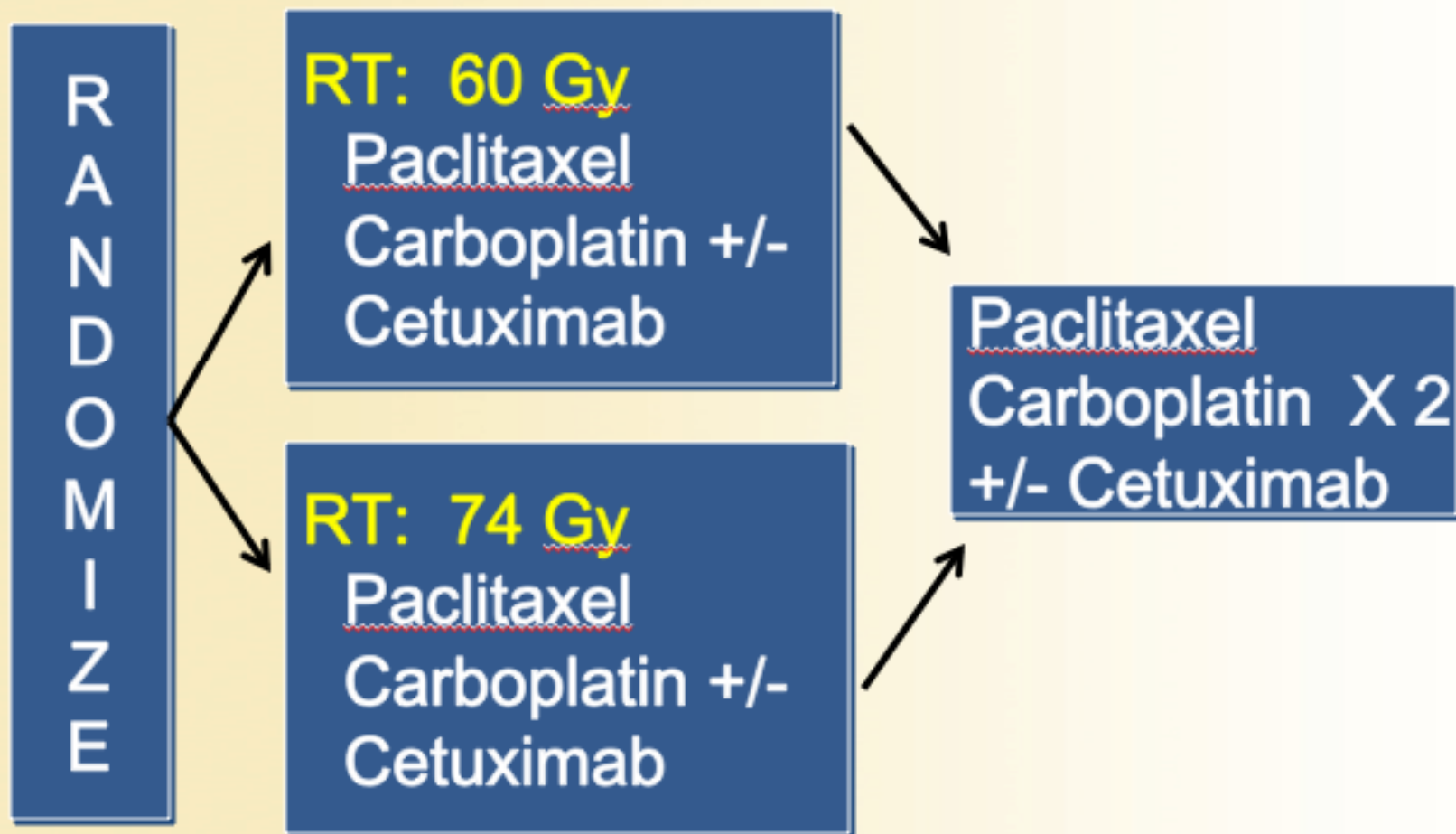
## Pitfalls

Koeck et al.,  
Radiation  
Oncology,  
2016



# RTOG 0617, NCCTG N0628, CALGB 30609

## Conventional vs. High Dose RT



Now comes the strange part.....

„**Local failure rates** at 18 months were

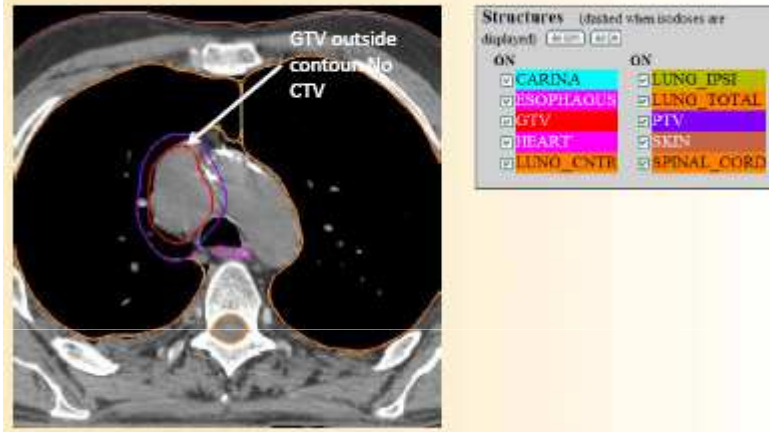
**25.1% vs 34.3% for SD and HD patients,**

respectively( $p=0.03$ ). Local-regional and distant failures at 18 months were 35.3% vs 44%( $p=0.04$ ) and 42.4% vs 47.8%( $p=0.16$ ) for SD and HD arms, respectively. Factors predictive of less favorable OS on multivariate analysis were higher radiation dose, higher esophagitis/dysphagia grade, greater gross tumor volume, and heart volume  $>5$  Gy“

Bradley et al., ASCO, 2013

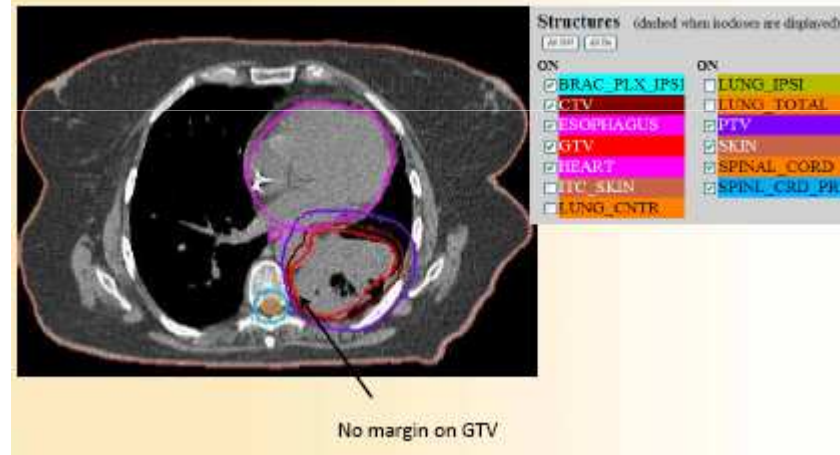
# Target Delineation

## Case 247



Bradley, 2014

## Case 344



<http://thoracicsymposium.org/MeetingProgram/documents/GSIXBradley.pdf>

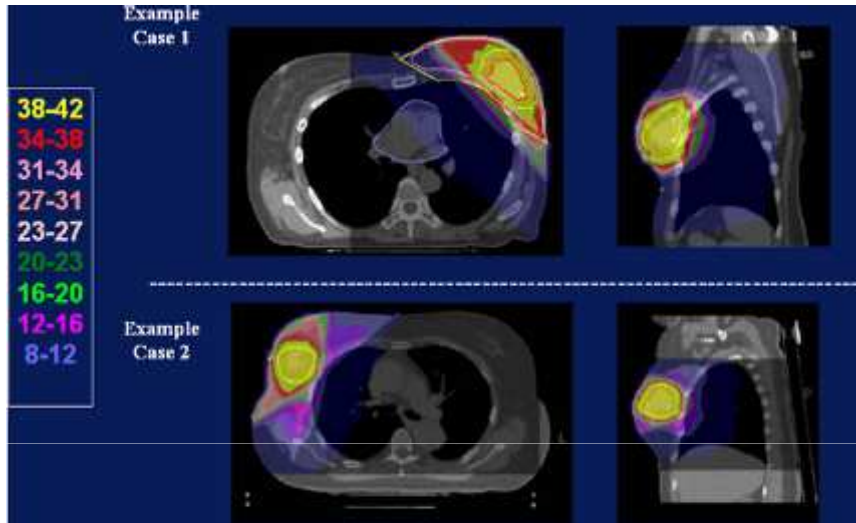


The good news, however.....

In this trial, IMRT was apparently clearly better than 3D and Lung V5 did not correlate with toxicity (V20 did, which is logical, since it marks a threshold dose.....as does V45 for heart)

This was a sneak preview to ASTRO 2015.  
It is free. Donations are nevertheless accepted.  
Beer above 8% Alcohol preferred currency!

# Hypofractionation/SIB-> Watch the Volume

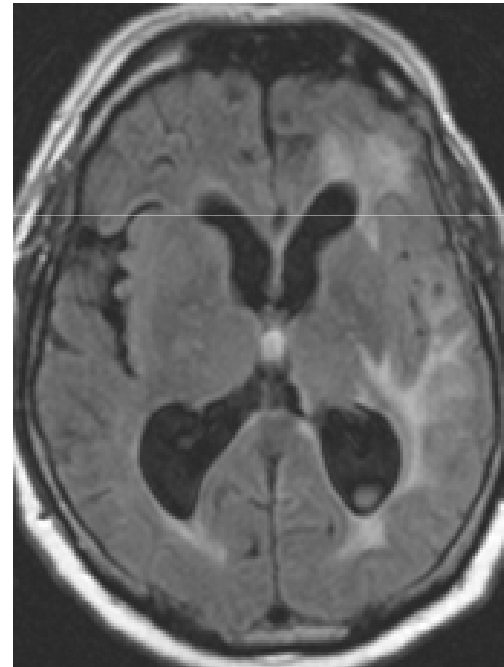
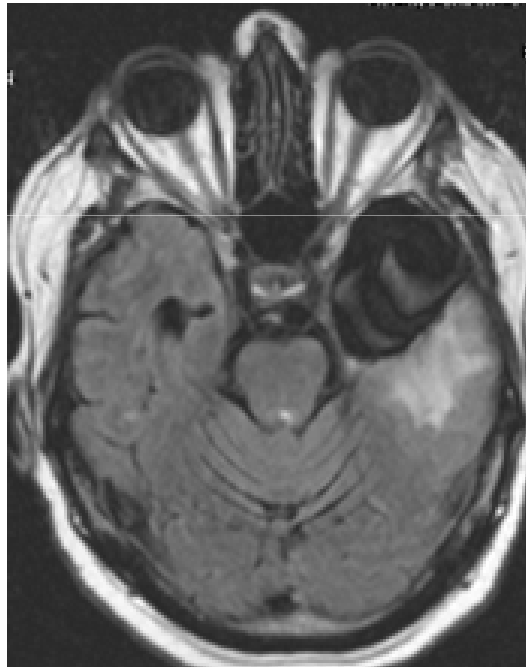


Jagsi et al., IJROBP,  
2009



Fig. 3. Visible impairment in cosmesis observed in 3 patients deemed to have unacceptable cosmesis after treatment.

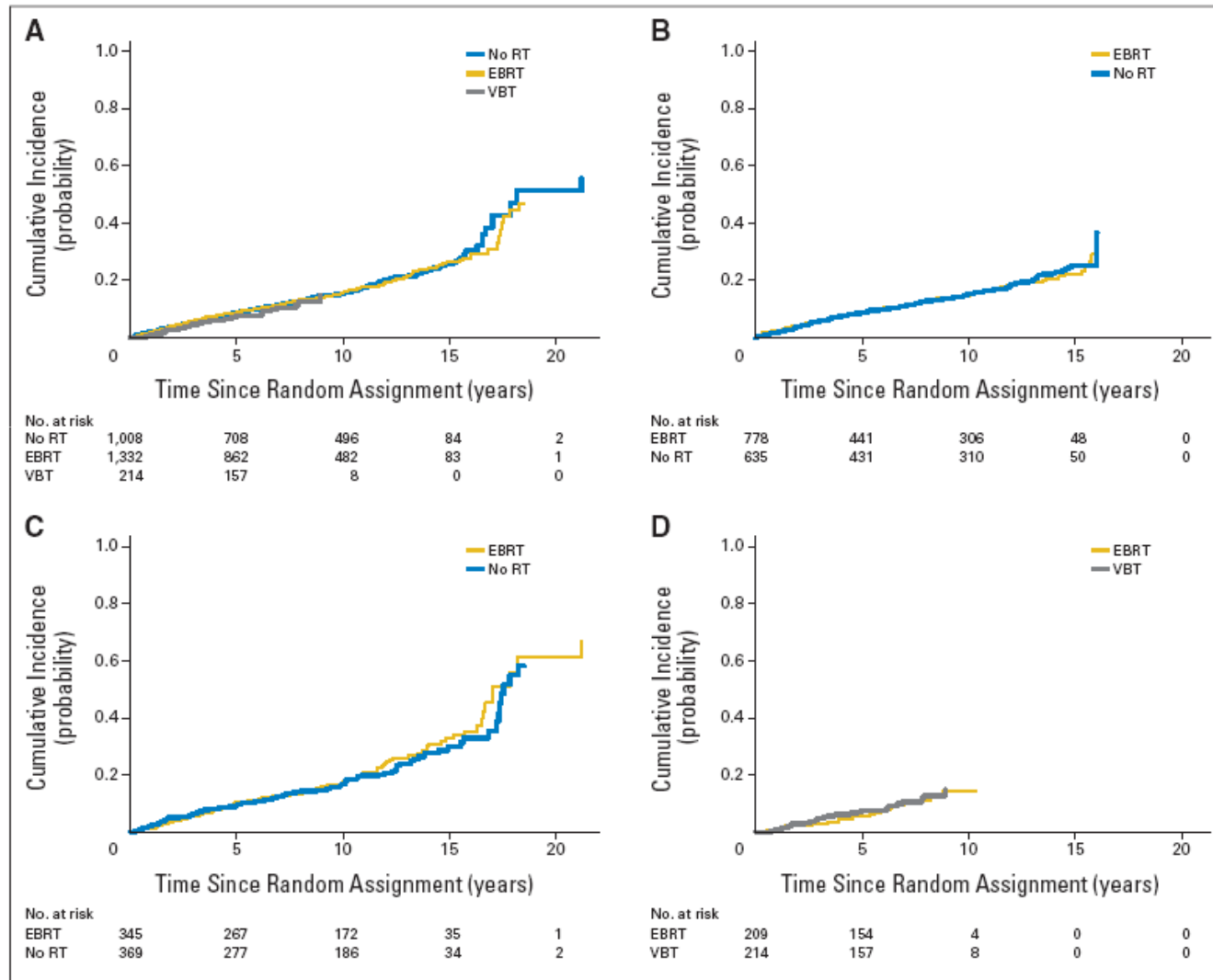
Brain tumor cells are interdispersed with normal cells  
The Brain is the central human organ. Severe damage here  
alters the personality....and thus effectively kills the patient  
alive



There is good news on the secondary tumor front:

# Randomized Data: PORTEC etc.

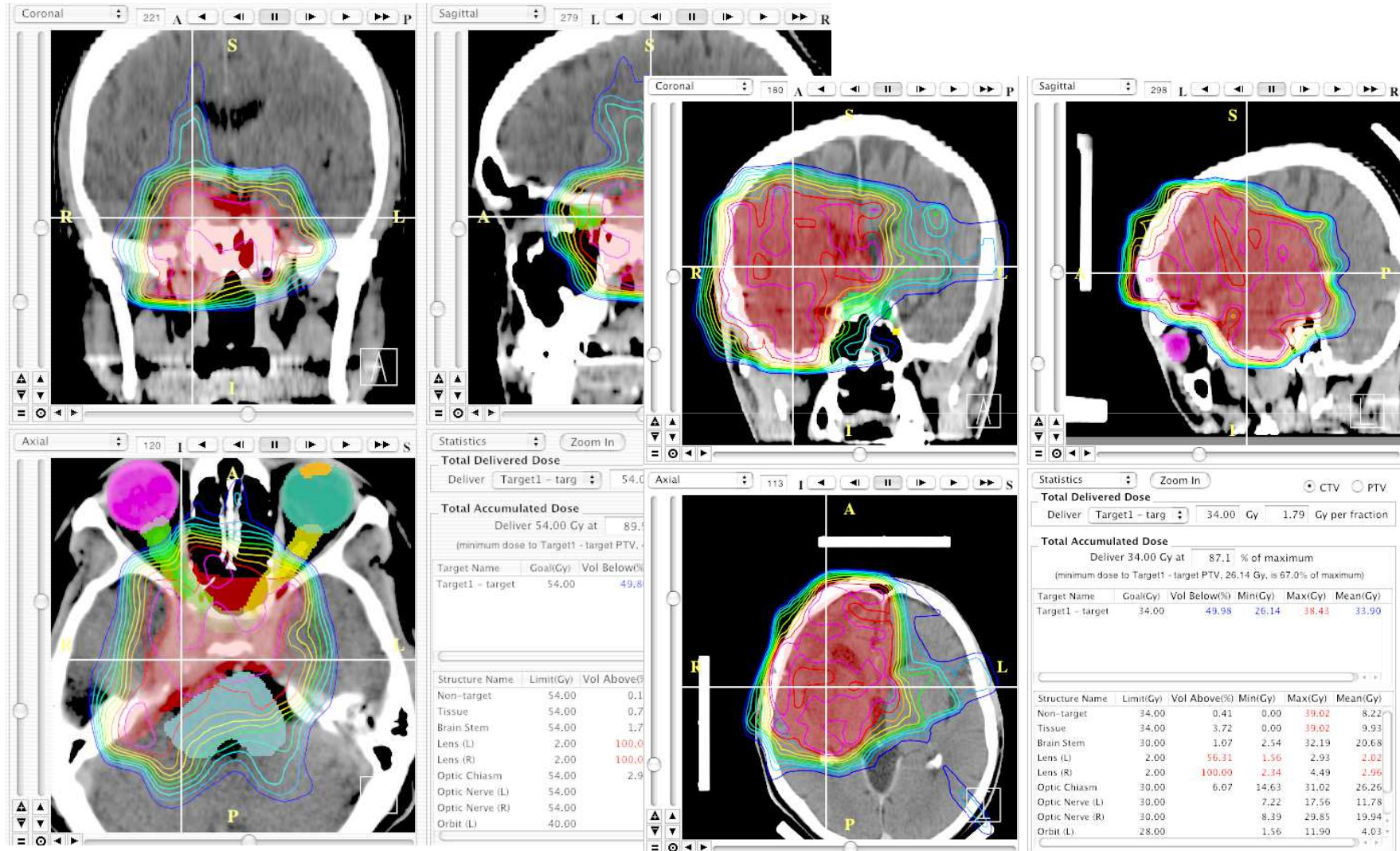
Wiltink et al., JCO, 2015



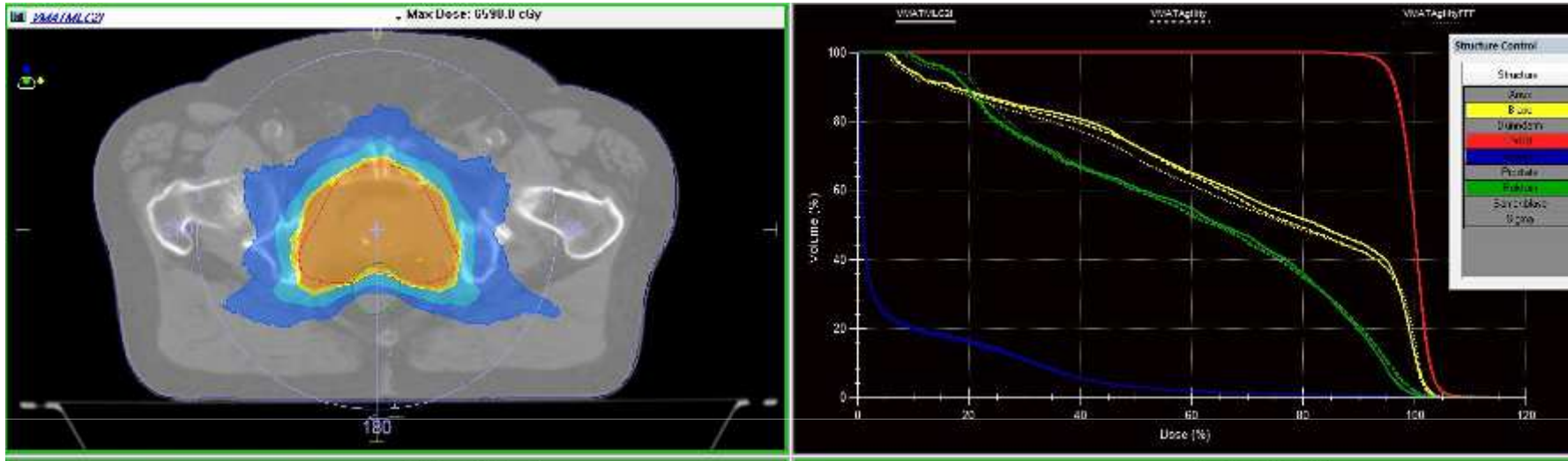
**Fig 2.** Cumulative probability of developing second cancer in (A) all, (B) TME (Total Mesorectal Excision), (C) PORTEC-1 (Post Operative Radiation Therapy in Endometrial Carcinoma 1), and (D) PORTEC-2 trials. NOTE. Because only four patients were included in no-RT group in the PORTEC-2 trial, these patients are not represented in panel D. EBRT, external-beam radiotherapy; RT, radiotherapy; VBT, vaginal brachytherapy.

# Convenience and Optimization of existing Paradigms

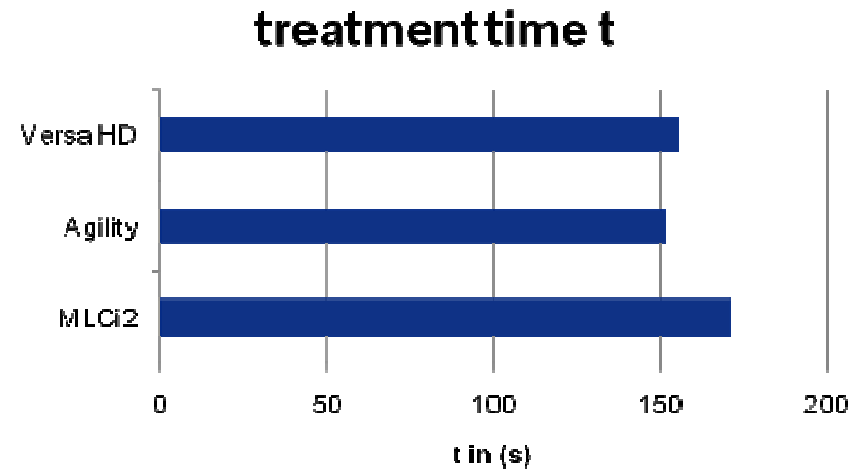
# Head and Neck



# Prostate – low degree of modulation, D= (30 × 2) Gy, 2 VMAT arcs

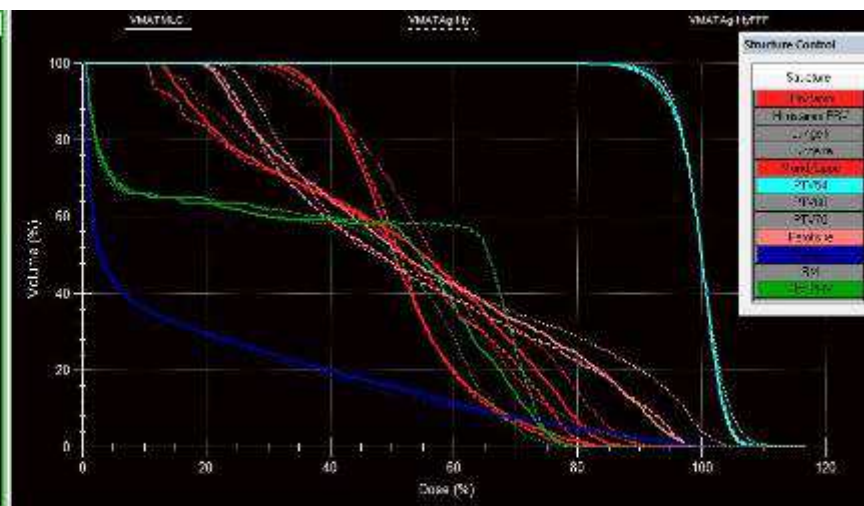
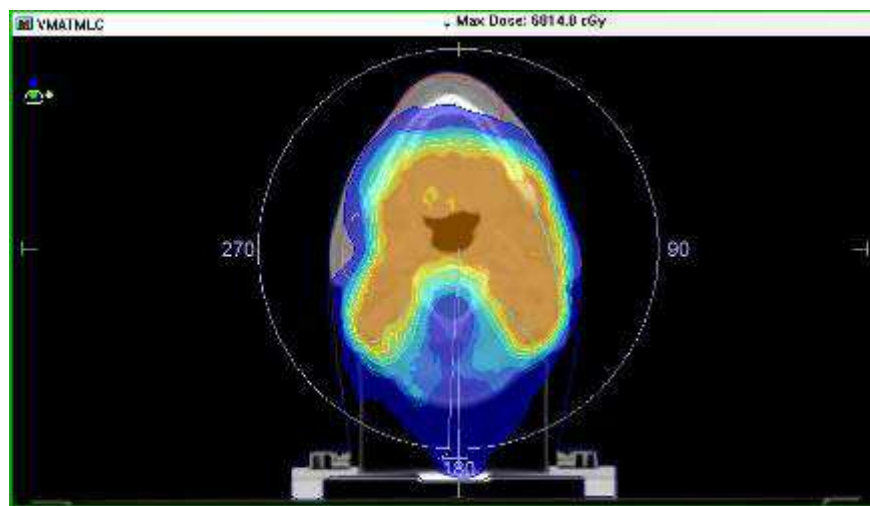


PROSTATE	MLCi2 Monaco 3.3	Agility Monaco 3.3	Versa HD Monaco 3.3
Homogeneity index	1.09	1.09	1.09
OAR Rectum, mean dose	35.8Gy	35.6	35.96 Gy
OAR Bladder, mean dose	42.3 Gy	41.7	40.95 Gy
beam-on time per fraction	171 s	152 sec	156 s
number of MU's delivered	789	762	915

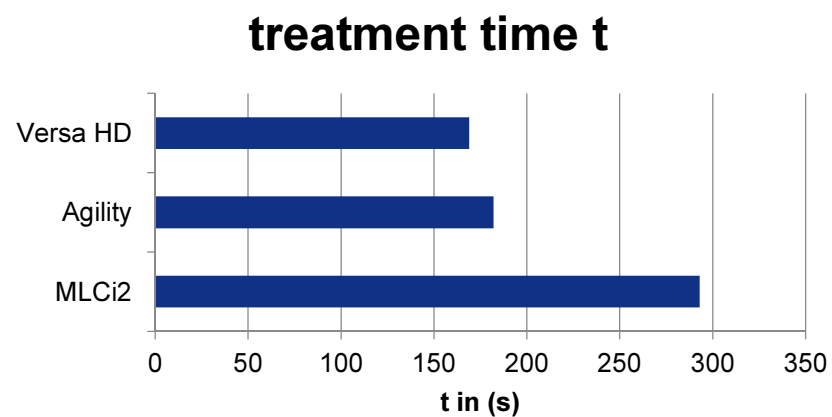




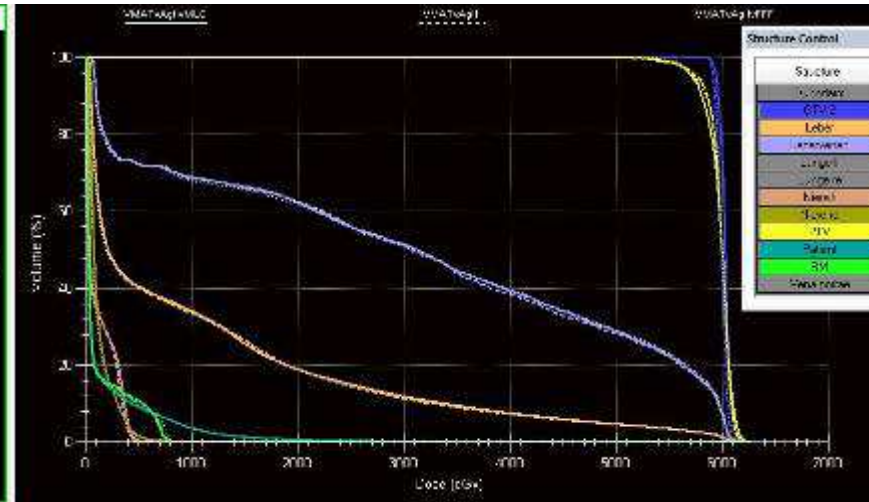
# Head & Neck - high degree of modulation, D= (30 × 1.8) Gy, 2 VMAT arcs



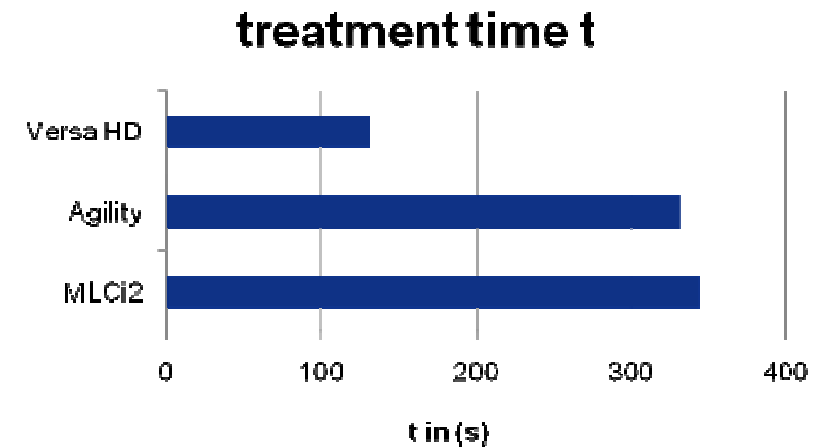
Head and neck	MLCi2 Monaco 3.3	Agility Monaco 3.3	Versa HD Monaco 3.3
Homogeneity Index	1.12	1.14	1.13
OAR Parotis, mean dose	29.79 Gy	28.86 Gy	30.91 Gy
OAR Spinal Cord, max dose	44.33 Gy	42.40 Gy	44.62 Gy
OAR Lips, Mean dose	27.99 Gy	28.01 Gy	30.82 Gy
OAR Brain stem, mean dose	28.32 Gy	26.94 Gy	29.46 Gy
beam-on time per fraction	293 s	182 s	169 s
number of MU's delivered	635	633	1123



# Liver – intermediate degree of modulation, D= (5 × 12) Gy, 2 VMAT arcs



LIVER	MLCi2 Monaco 3.3	Agility Monaco 3.3	Versa HD Monaco 3.3
Homogeneity index	1.07	1.06	1.06
OAD Liver, mean dose	10.57 Gy	10.46 Gy	10.44 Gy
OAD Kidney, max dose	8.63 Gy	8.15 Gy	8.13 Gy
OAD Spinal Cord, max dose	7.82 Gy	7.91 Gy	8.20 Gy
beam-on time per fraction	345 s	331 s	132 s
number of MU's delivered	2494	2710	2733



# Clinical Results with Tangential IMRT

2 Randomized trials, several retrospective analyses

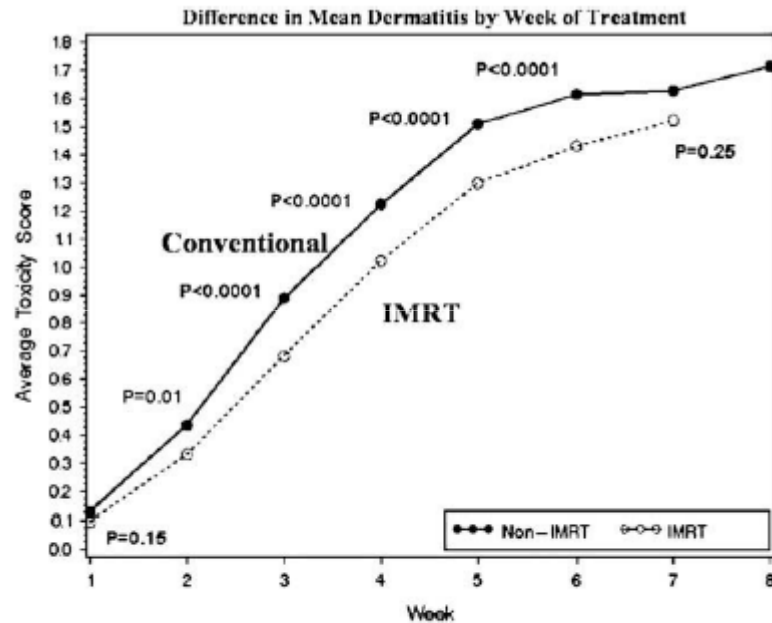
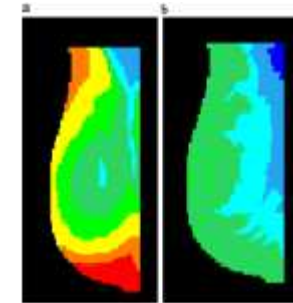


Fig. 1. Mean frequency of dermatitis by week of treatment during radiation therapy for patients treated with conventional radiation therapy ( $n = 405$ ) and intensity-modulated radiation therapy (IMRT;  $n = 399$ ).

Freedman et al., IJROBP, 2009

Score (change)	Standard (2D) Number (%)	IMRT (3D) Number (%)
<i>Photographic score at year 1</i>		
None	84 (64.1)	92 (74.2)
Mild	37 (28.2)	26 (21.0)
Marked	10 (7.6)	6 (4.8)
Total	131	124
<i>Photographic score at year 2</i>		
None	73 (56.6)	84 (65.1)
Mild	49 (38.0)	39 (30.2)
Marked	7 (5.4)	6 (4.7)
Total	129	129
<i>Photographic score at year 5</i>		
None	51 (41.8)	71 (60.2)
Mild	54 (44.3)	35 (29.7)
Marked	17 (13.9)	12 (10.2)
Total	122	118

Donovan et al., R&O, 2007  
Pignol et al., JCO, 2008

# Scatter Reduction with tangential IMRT

**Table 2:** Dose to various organs for various breast radiotherapy techniques.

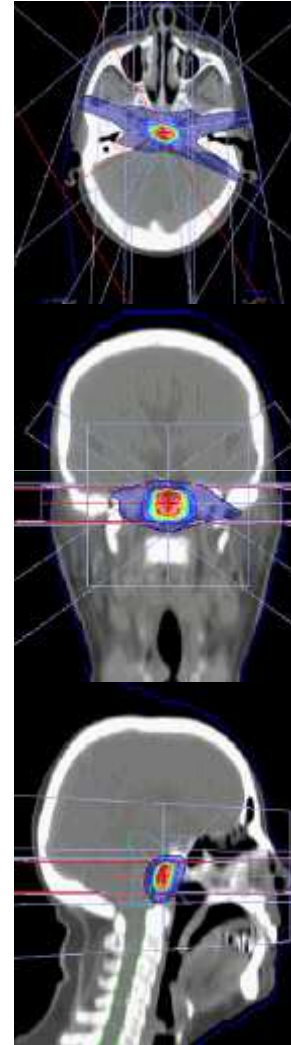
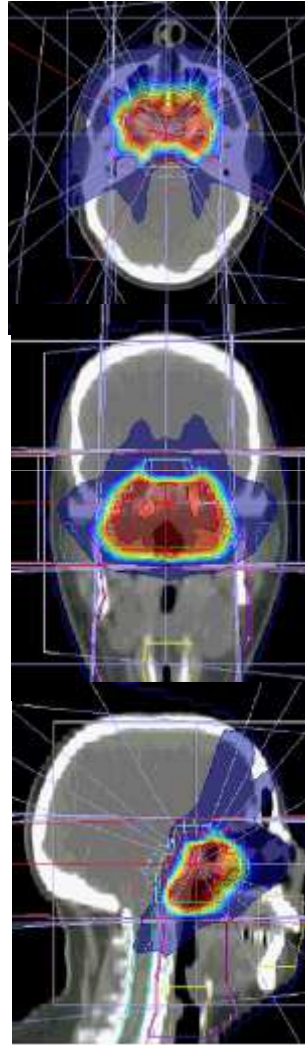
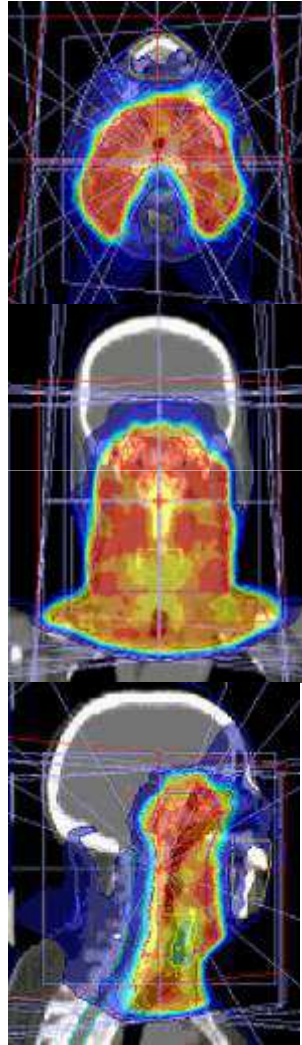
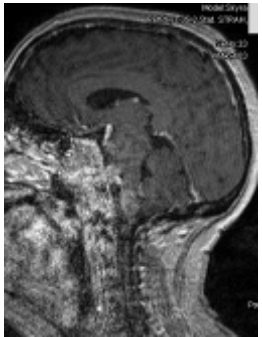
Technique	PBSI	HDR (catheters)	Wedge	IMRT	3D-CRT
Treated Breast	90 Gy	34 Gy	50 Gy	50 Gy	38.5 Gy
Contralateral Breast	2.2 mSv	230 mSv	1695 mSv	206 mSv	140 mSv
Spleen	44 mSv	1171 mSv	2300 mSv	810 mSv	130 mSv
Ipsilateral lung	790 mSv	2471 mSv	582 mSv	121 mSv	80 mSv
Heart (LAD)	0.7 Gy	3.6 Gy	2.7 Gy	1.1 Gy	0.7 Gy

Pignol et al., 2011

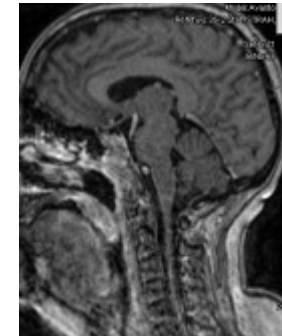
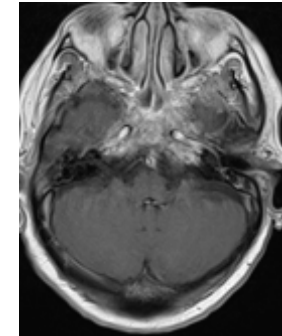
# NPC

## Treatment Sequence

before



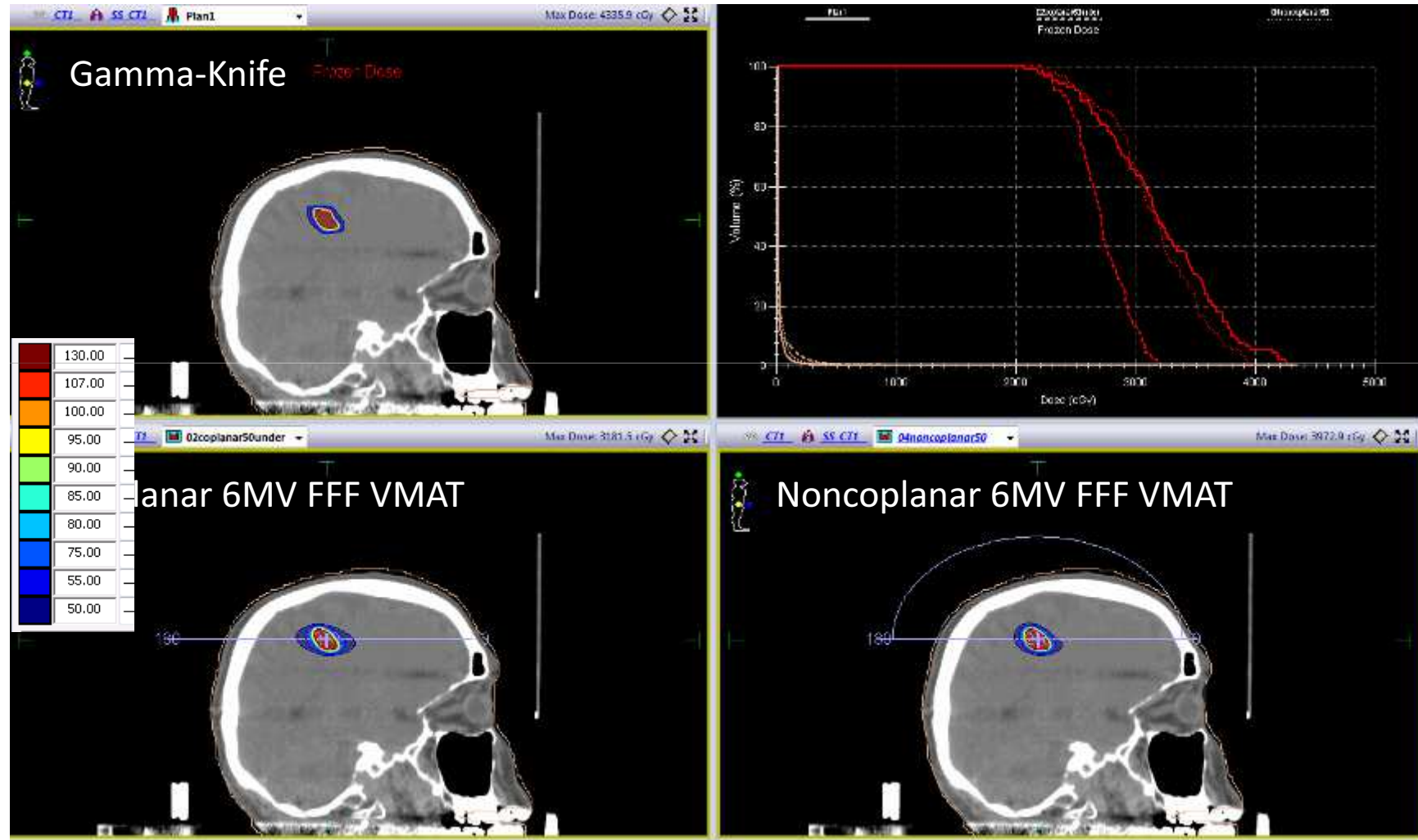
after



IMRT allows SRS with relatively large leaf sizes  
and facilitates multi-lesion treatments with one isocenter

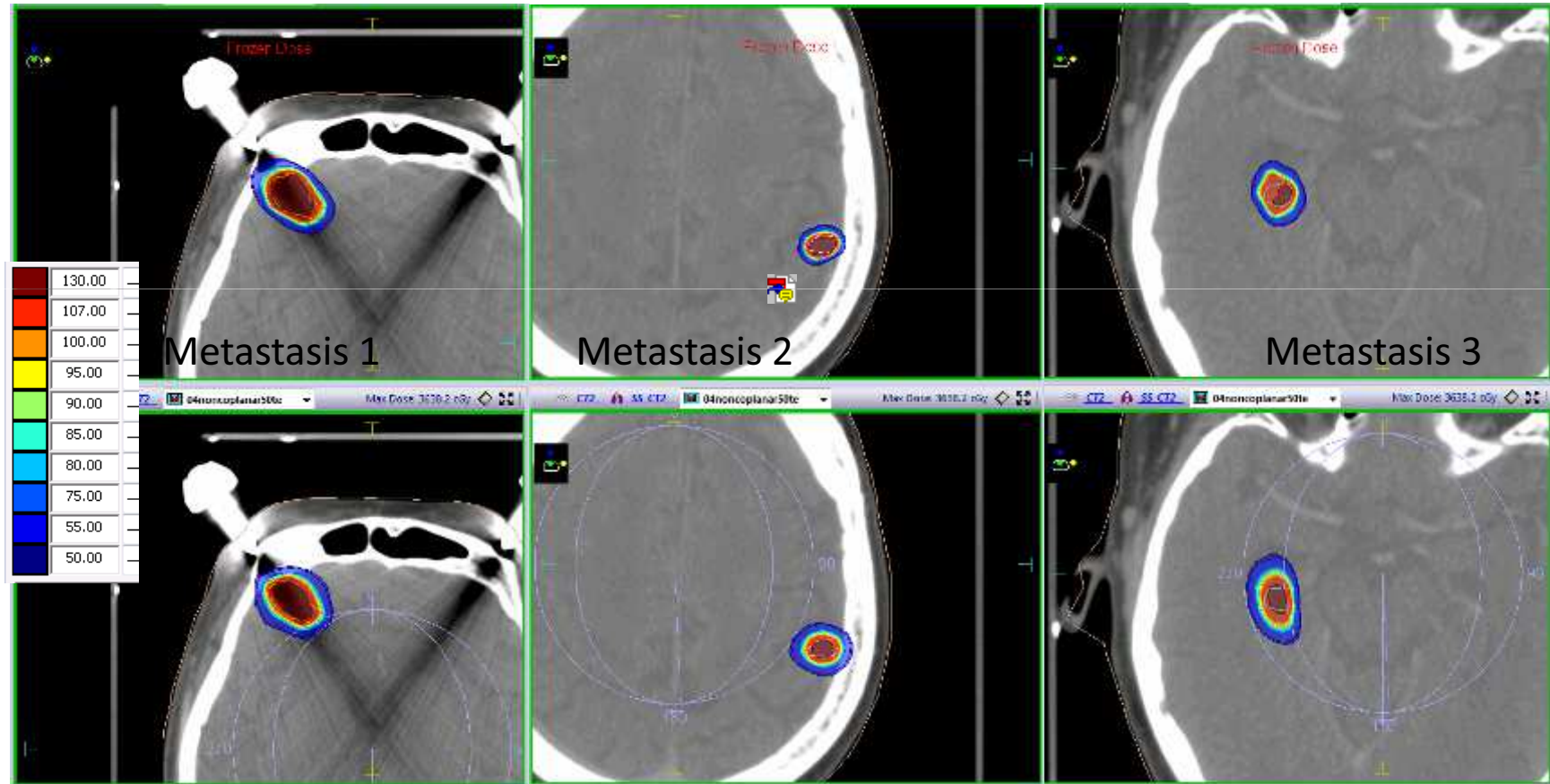
Courtesy L Jahnke, M. Polednik, F. Stieler

## Inhomogenous dose sagittal



Transversal inhomogenous

## Gammaknife



**Noncoplanar VERSA HD 6MV FFF VMAT**

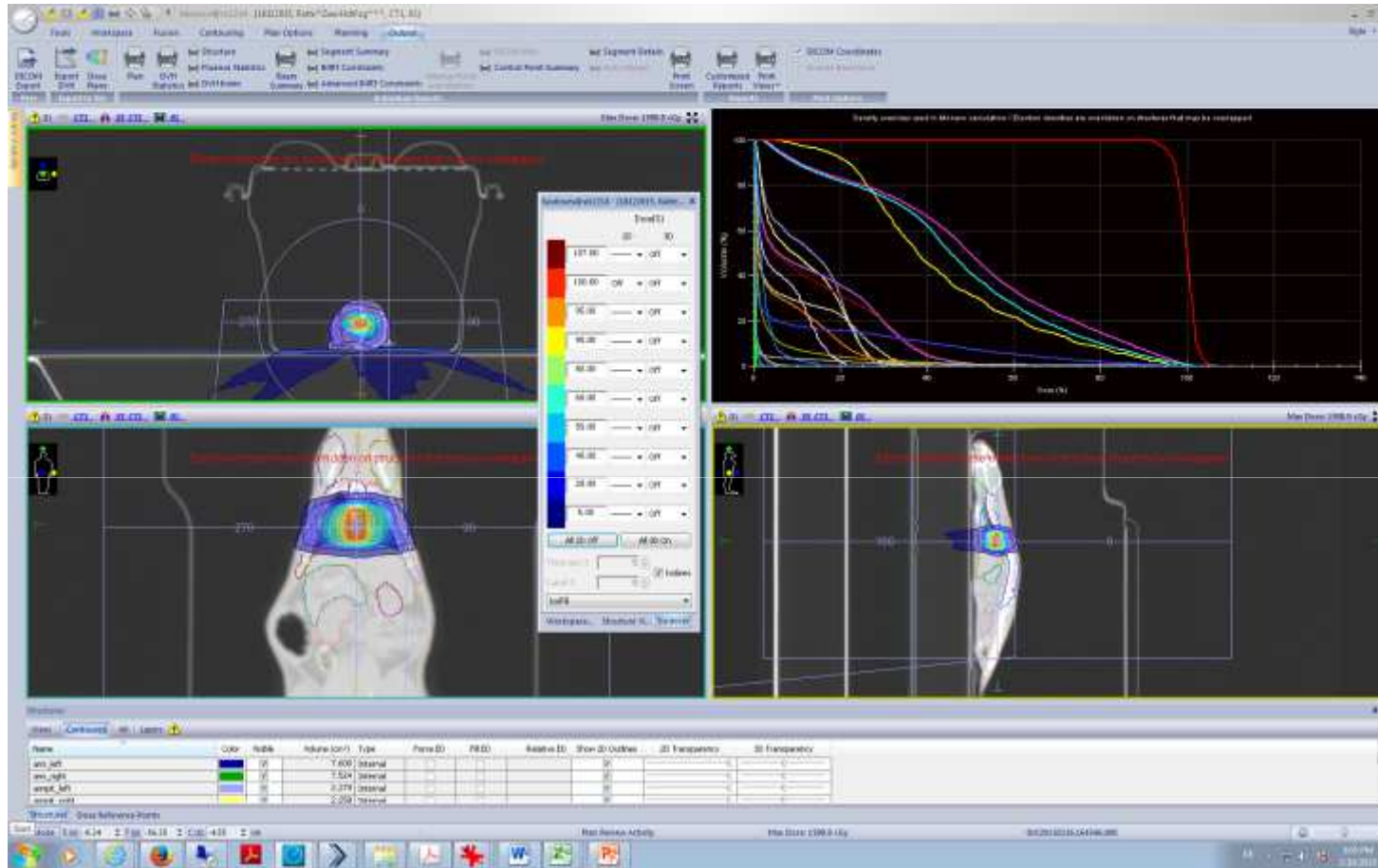


## Treatment Times

All Plans shown can be treated in  
<10 min beam on time

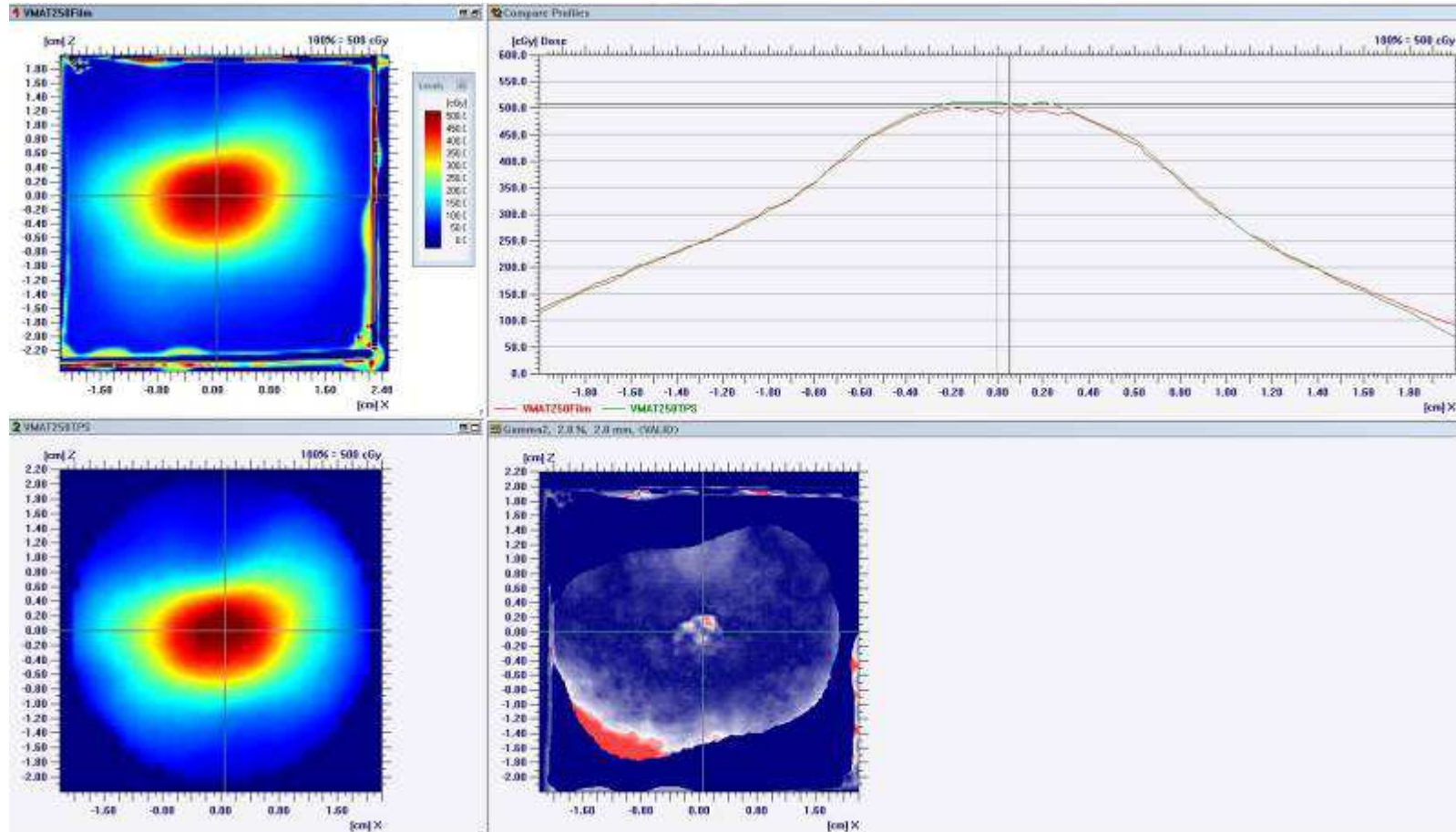
<15 min treatment time  
(plus ~4-5 in time for CBCT/positioning)

# A very special patient



Courtesy J. Fleckenstein

# Quality assurance with Gafchromic EBT3 films

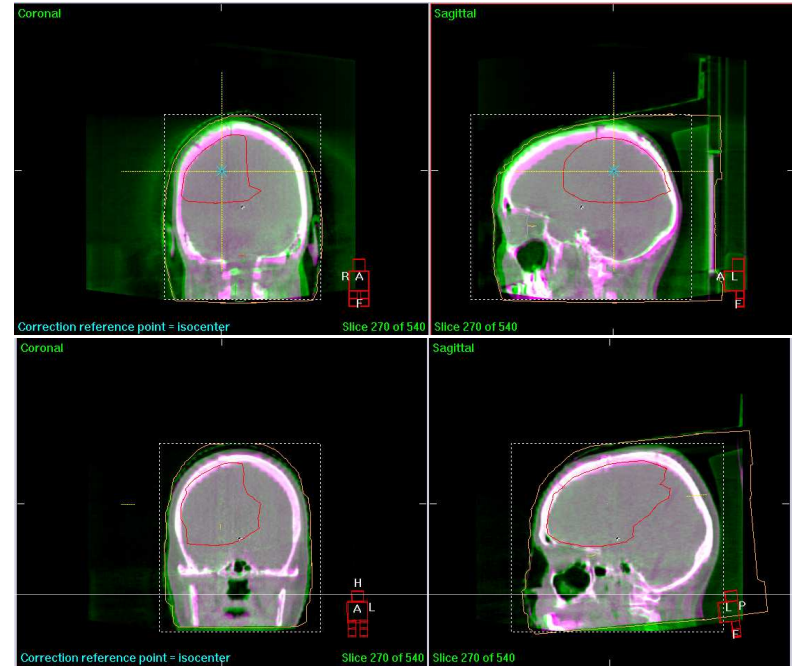
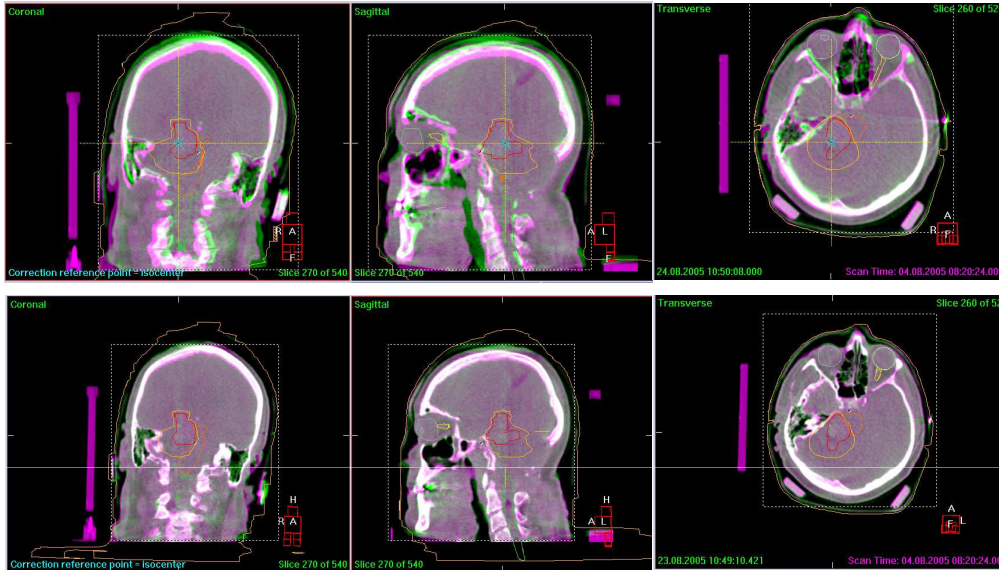


Courtesy J. Fleckenstein

# IGRT / Online-adaptation

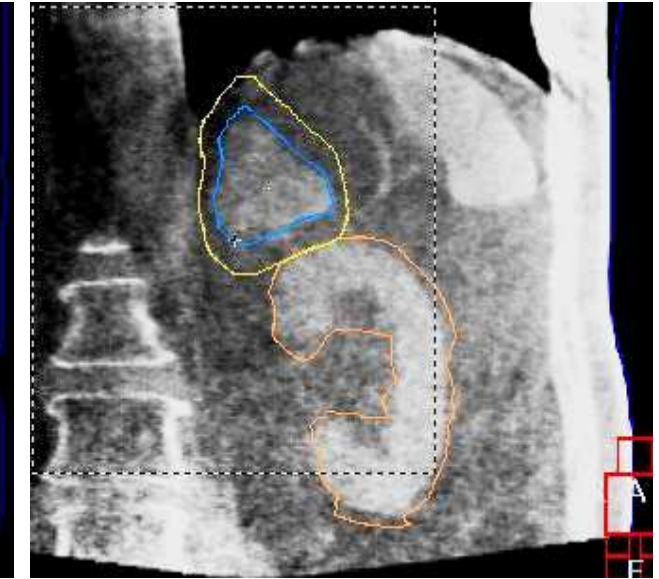
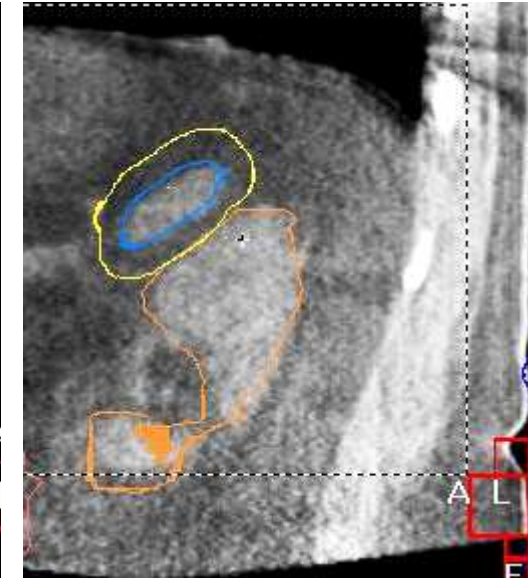
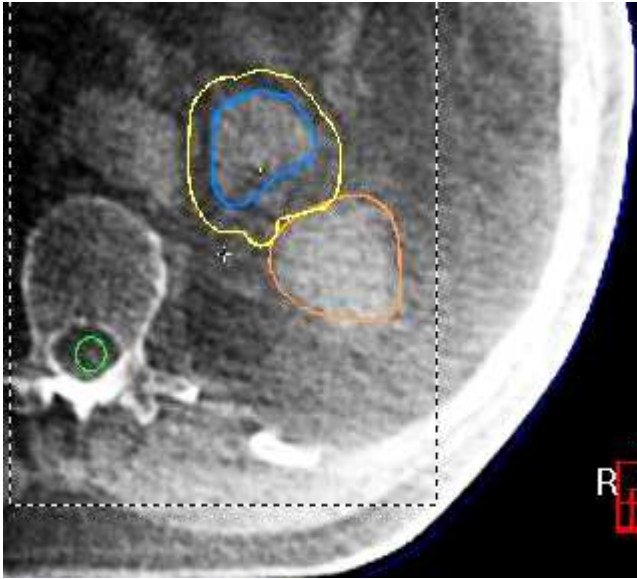
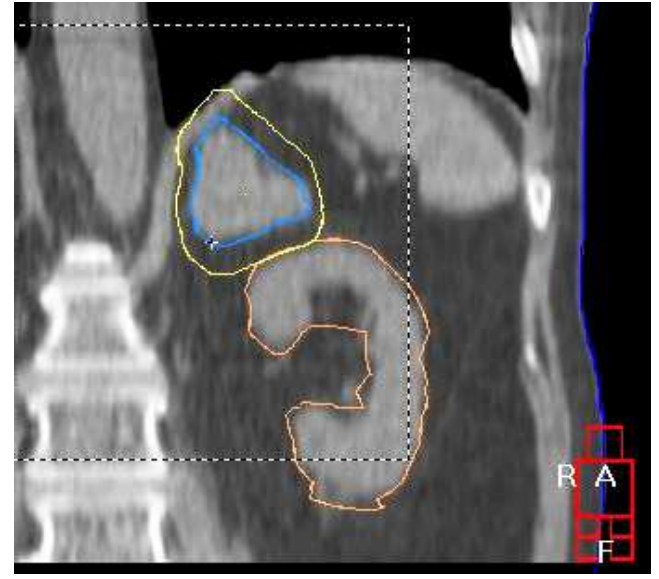
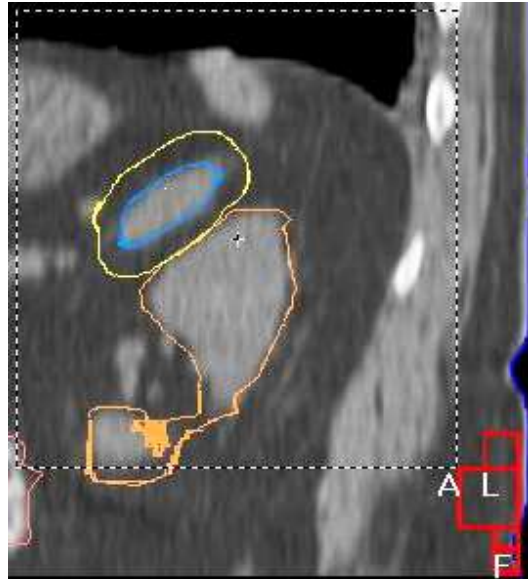
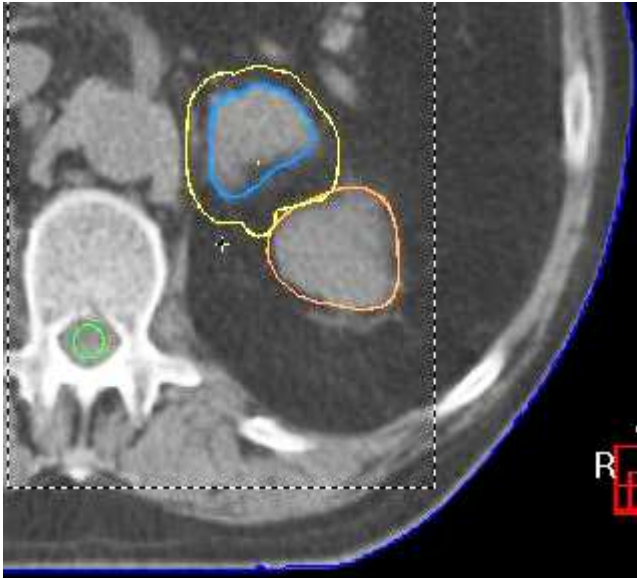
# Target / Organ Motion





	Translation (MV±SD, cm)				Rotation (degrees)		
	x	y	z	Vector (cm)	x	y	z
Delta-Cast <sup>M</sup> (Intracranial)	0.039±0.175	0.083±0.232	0.005±0.174	<b>0.312±0.152</b>	0.073±1.018	0.13±1.653	-0.25±0.0881
Thermoplastic masks (intracranial)	-0.02±0.227	0.23±0.233	-0.154±0.277	<b>0.472±0.174</b>	-1.47±1.75	-0.13±1.921	-0.06±2.18
Delta-Cast <sup>M</sup> (neck)	-0.158±0.207	0.225±0.241	0.179±0.479	<b>0.586±0.294</b>	1.027±3.527	1.013±2.556	1.257±3.008
Thermoplastic masks (neck)	0.205±0.298	0.407±0.516	0.142±0.393	<b>0.726±0.445</b>	-0.2±2.31	-1.3±2.69	-1.09±2.02

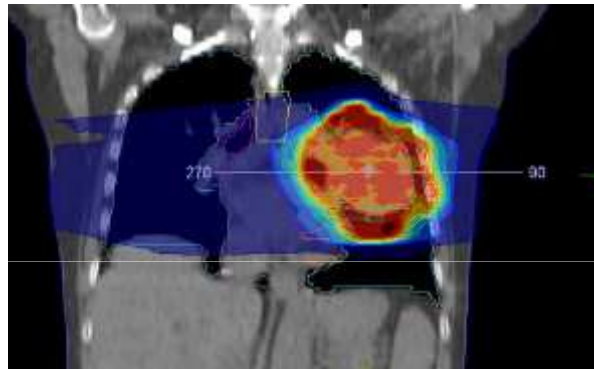
**Table 1.** Results with the example of automatic bony registration



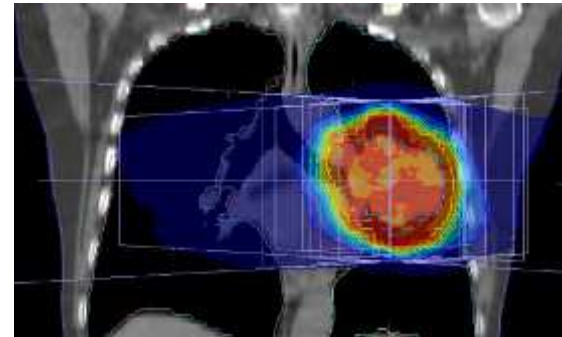
Possible (partial) remedy: IMRT/VMAT in computer-controlled deep-inspiration breath hold

CC-controlled DIB, ART-Sequence

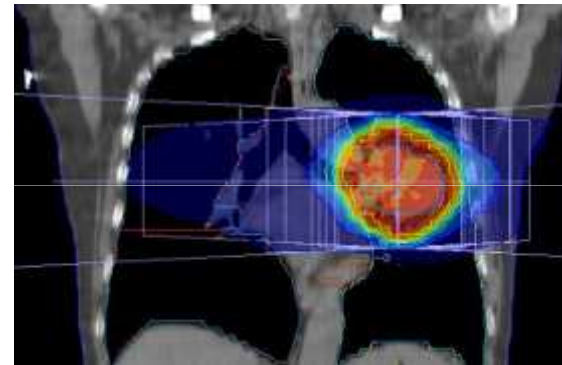
Midventilation CT



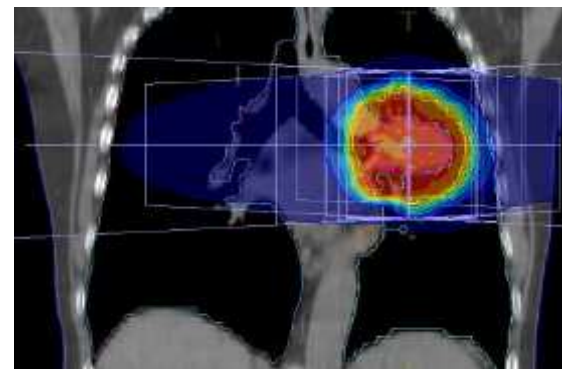
1.12.2011



5.12.2011



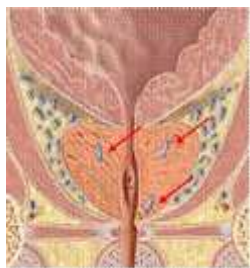
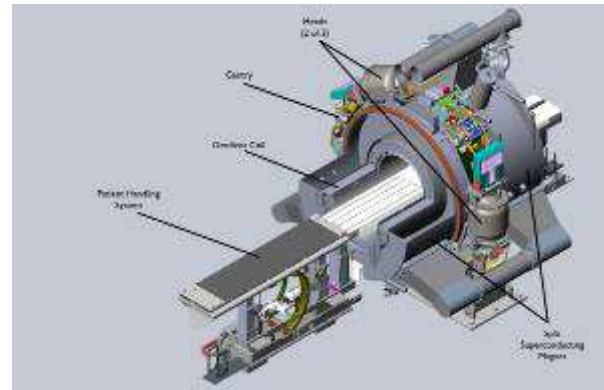
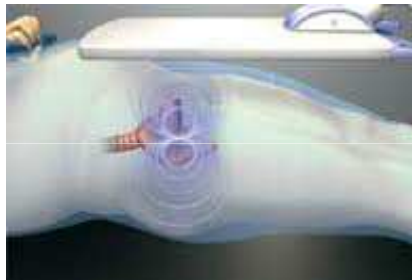
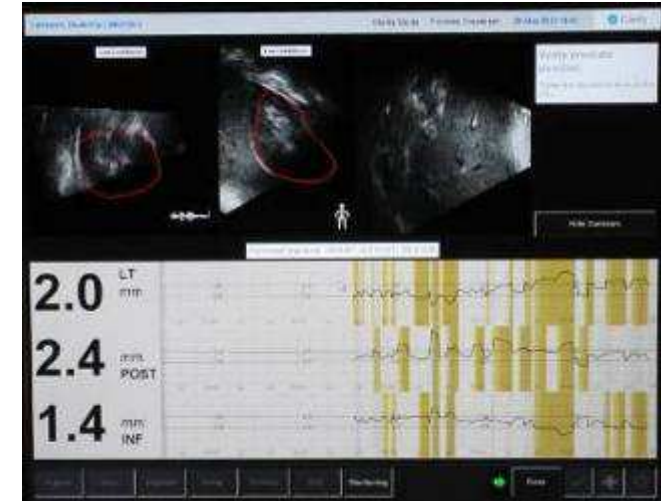
27.12.2011



10.01.2012



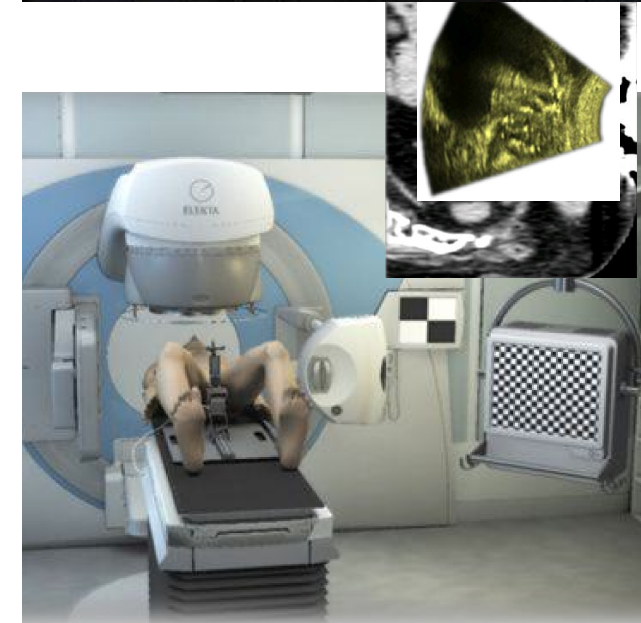
# Volumetric imaging - online during a treatment fraction



Beacon transponder



MR-IGRT



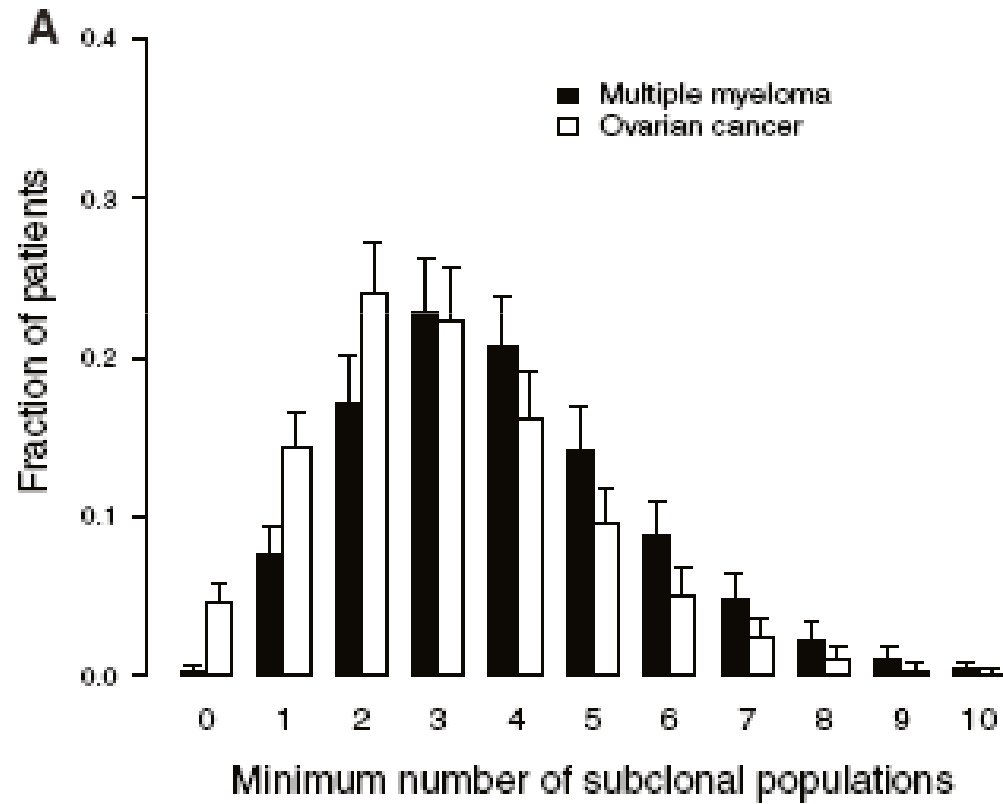
Ultraschall (Clarity, Elekta)

The good thing that comes out of these machines:

**Ultrafast treatment  
planning for the rest of us!!!**

New methods for detection of subclinical metastases  
a) in general ->Liquid Biopsy

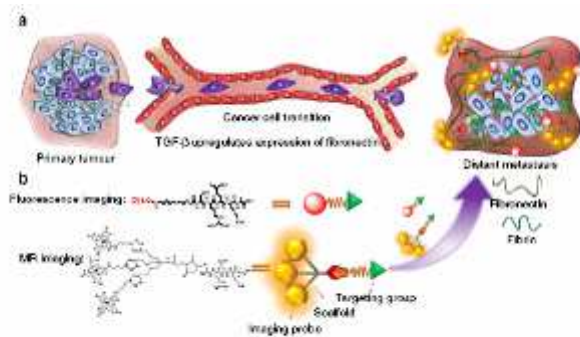
Polyclonality is always a problem with any (vaccination) strategy:



**Lohr, Cancer Cell, 2014**

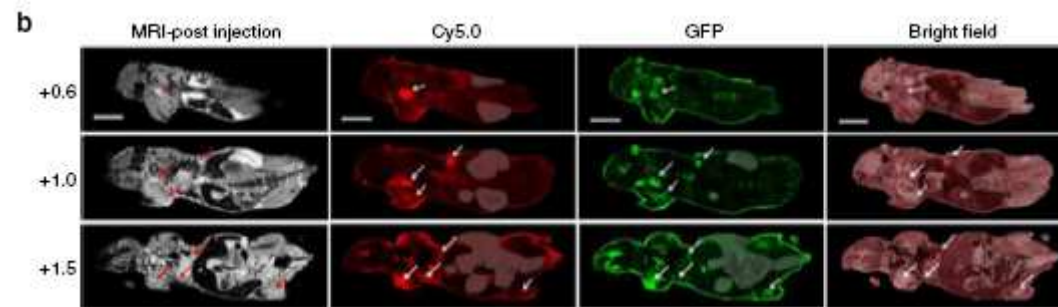
# New methods for detection of subclinical metastases

## b) providing topical information at high resolution->MRI



**Figure 1 | Targeting fibrin-fibronectin complexes for molecular MRI of breast cancer micrometastases.** (a) Cancer cells from the primary tumour invade into distant organs through epithelial-to-mesenchymal transition (EMT) and transmit signals to prepare 'soil' of 'pre-metastatic niche' for metastases. The expression of fibronectin and its associated complexes, such as the fibrin-fibronectin complex, is upregulated by TGF-β. (b) The abundant fibrin-fibronectin complex in the tumour ECM allow the binding of enough CREKA-Tris(Gd-DOTA)<sub>3</sub> to the ECM marker so as to generate sufficient signal enhancement for effective molecular MRI of small high-risk breast cancer and micrometastases.

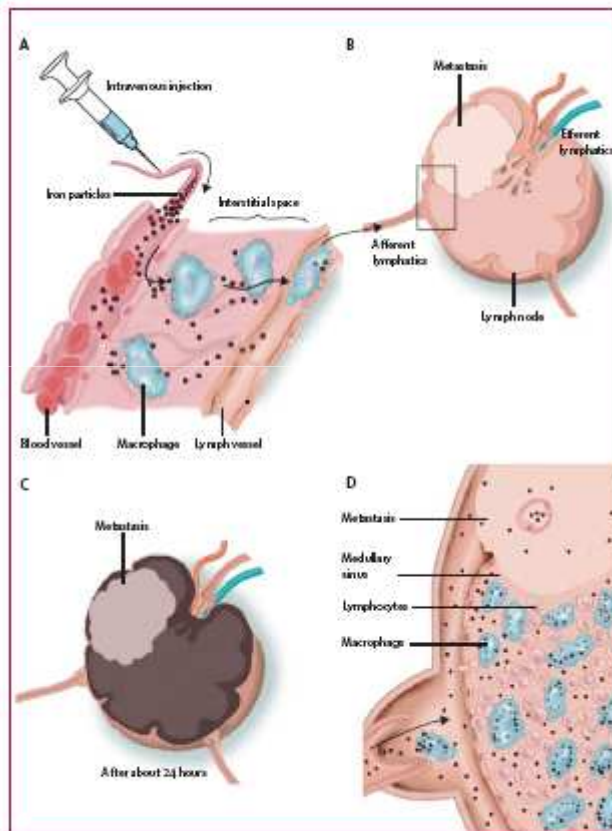
Zhou et al., Nature Comm, 2015



# MRI with a lymph-node-specific contrast agent as an alternative to CT scan and lymph-node dissection in patients with prostate cancer: a prospective multicohort study

Roel A M Heesakkers, Anke M Hövels, Gerrit J Jager, Harrie C M van den Bosch, J Alfred Witjes, Hein P J Raat, Johan L Severens, Eddy M M Adang, Christina Hulsbergen van der Kaa, Jurgen J Fütterer, Jelle Barentsz

9/2008



**Figure 1:** Mechanism of ferumoxtran-10  
 (A) Infused iron-particles slowly extravasate from the vascular to the interstitial space and are internalized by macrophages. (B) and (C) Iron-loaded macrophages are transported to lymph nodes via lymphatic vessels and accumulate in normal-sized lymph node tissue. These iron-loaded macrophages cause low signal intensity on T2\*-weighted MR images. Box in B shows area depicted in D. (D) Disturbances of lymph flow or nodal architecture by metastases leads to less macrophages, depicted at MR imaging by higher signal intensity.

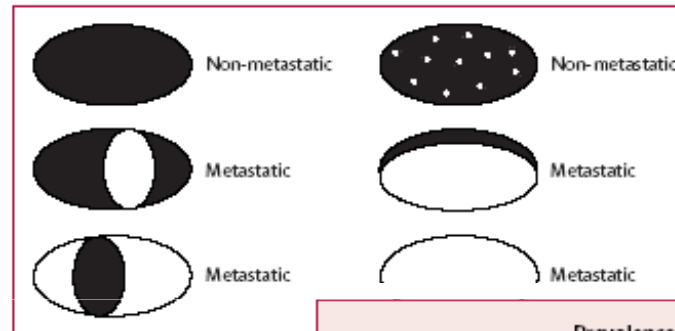
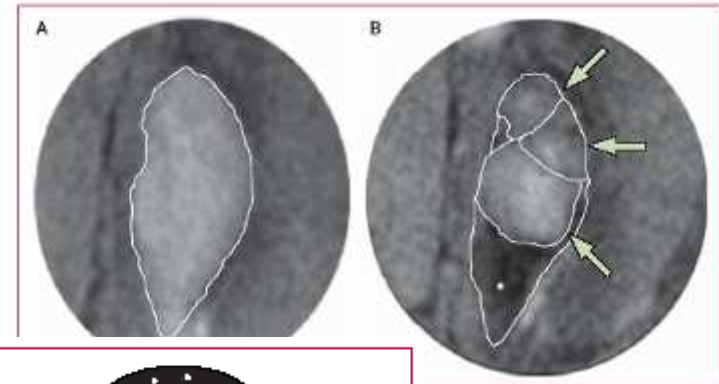


image with partial metastatic lymph node before cannot be discriminated. (B) MRL image, shows (arrows). Histopathology showed low signal

**Figure 3:** Classification of lymph node echo images

	Prevalence 61/375 (16%)	
	MDCT	MRL
Sensitivity (%) (95% CI)	21/61 (34) (23-48)	50/61 (82) (70-90)
Specificity (%) (95% CI)	303/314 (97) (94-98)	291/314 (93) (89-95)
PPV (%) (95% CI)	21/32 (66) (47-81)	50/73 (69) (56-79)
NPV (%) (95% CI)	303/343 (88) (84-91)	291/302 (96) (93-98)
Post-test probability of false-negative finding (%)	40/343 (12)	11/302 (4)

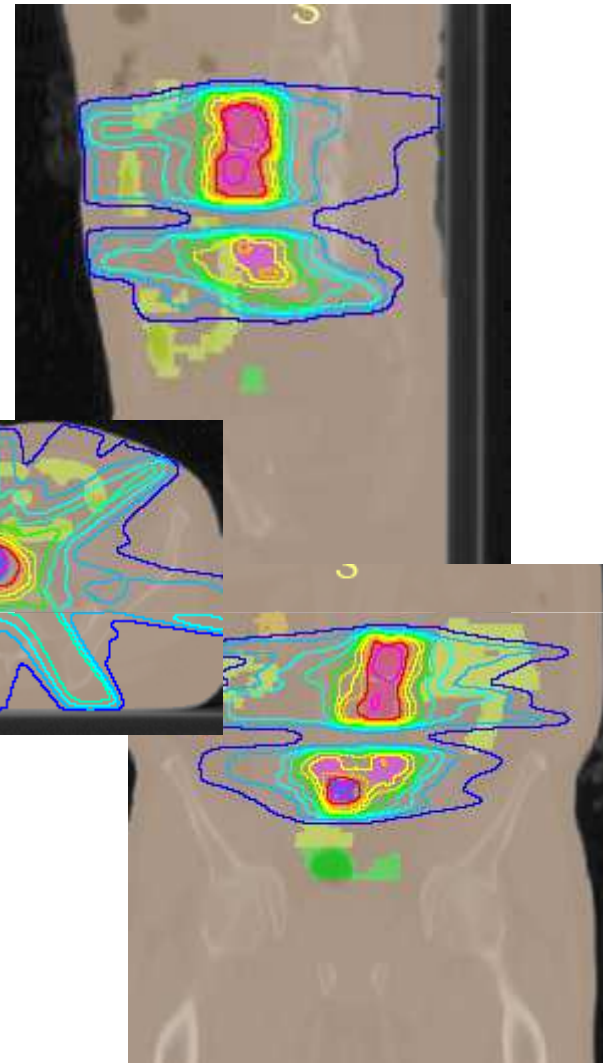
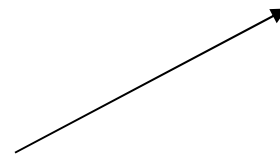
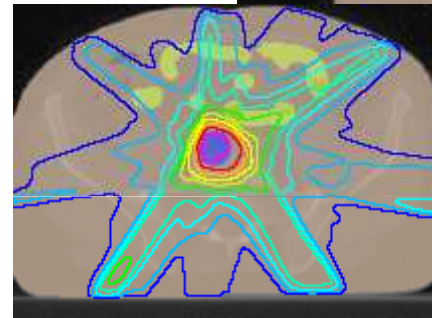
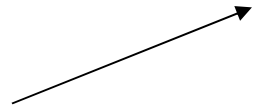
	Three experienced hospitals	Seven less experienced hospitals
Patients with MRL results, n	295	80
Sensitivity, n (%) (95% CI)	46/51 (90) (78-96)	4/10 (40) (14-73)
Specificity, n (%) (95% CI)	229/244 (94) (90-96)	62/70 (89) (78-96)
PPV, n (%) (95% CI)	46/61 (75) (62-85)	4/12 (33) (11-65)
NPV, n (%) (95% CI)	229/234 (98) (95-99)	62/68 (91) (81-96)
Post-test probability of false-negative finding (%)	5/234 (2)	6/68 (9)

**Table 3:** Results of MRL in experienced and less-experienced participating hospitals

**MRI with a lymph-node-specific contrast agent as an alternative to CT scan and lymph-node dissection in patients with prostate cancer: a prospective multicohort study**

*Roel A M Heesakkers, Anke M Hövels, Gerrit J Jager, Harrie C M van den Bosch, J Alfred Witjes, Hein P J Raat, Johan L Severens, Eddy M M Adang, Christina Hulsbergen van der Kaa, Jurgen J Fütterer, Jelle Barentsz*

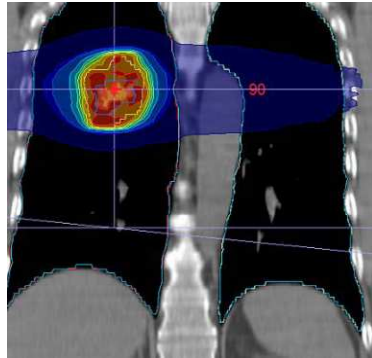
**9/2008**



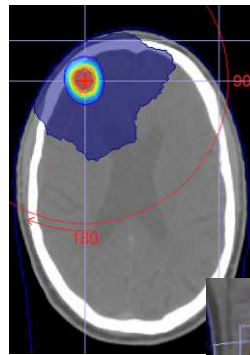
# Oligometastases/Multitargets



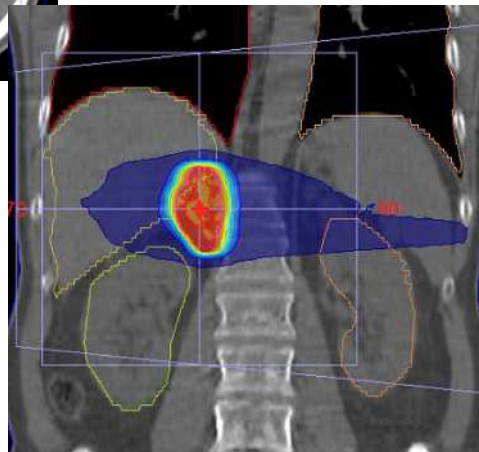
# Oligometets – all lesions on one device



Primary Lung Cancer (60/5Gy)  
after GR to Chemo 10/14



Brain Met Relapse  
after WBRT 11/14



Suprarenal Met 10/5Gy 7/2015

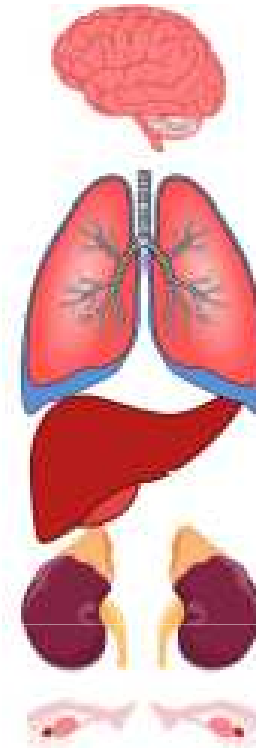


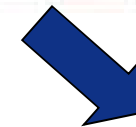
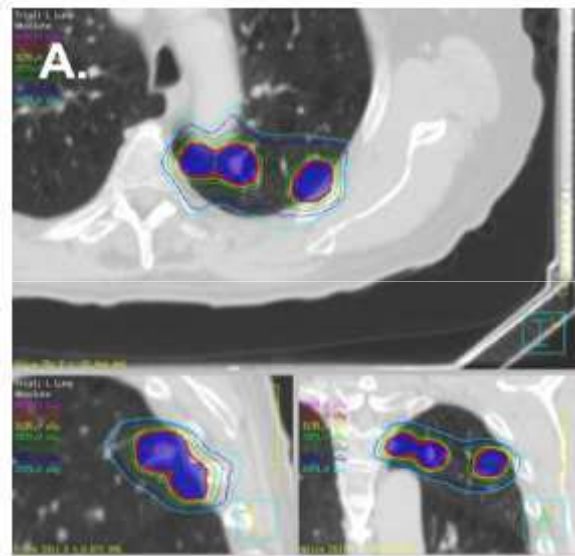
Fig. 1. The distribution of oligometastases across different organs is shown in the diagram. The diagram is used to illustrate the distribution of oligometastases across different organs.

Westover, Lung Cancer, 2015

# New treatment possibilities in metastatic patients

## Multiple lesions with one setup

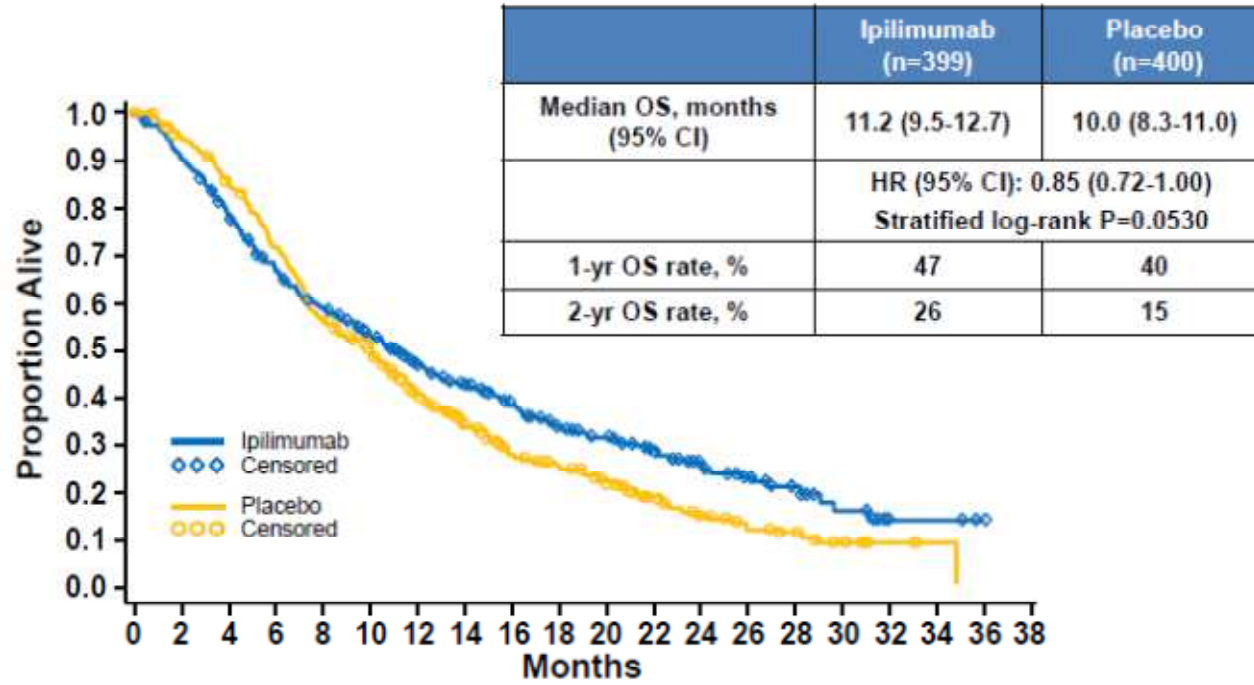
Gupta, Webmedcentral, 2011



There is initial clinical proof but further data are needed  
There is a strong clinical push in some indications such as Ewing's sarcoma

# Immuntherapie

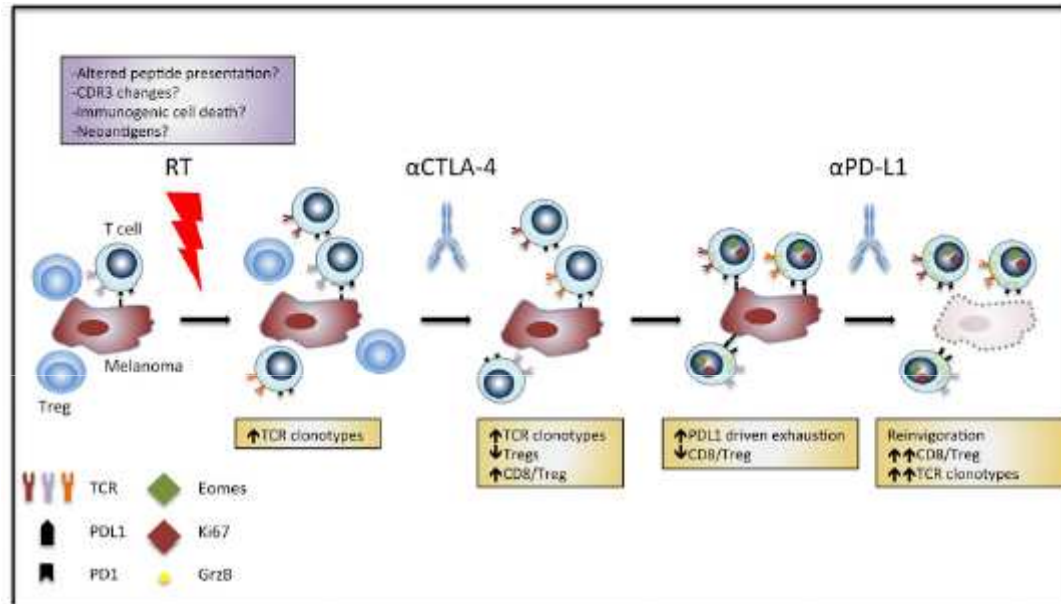
# Overall Survival: ITT Population



Patients at Risk

Ipiilimumab	399	362	306	260	228	195	155	131	106	85	69	52	37	24	15	9	4	3	1	0
Placebo	400	376	332	281	222	184	138	106	77	65	47	36	26	16	12	6	2	1	0	0

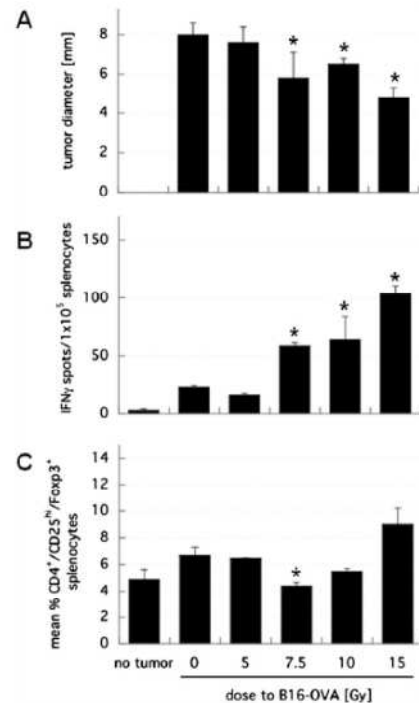
# CP-Inhibitor combinations



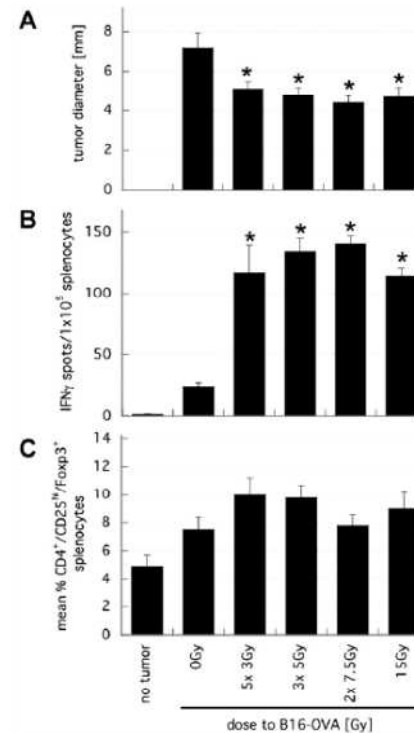
**Figure 1. Schematic Model for Non-redundant Mechanisms of RT/Anti-CTLA-4/Anti-PD-L1 Therapy to Combat Immune Resistance in Melanoma**  
Adapted from Victor et al. (2015) Extended Data Figure 6.

**Ngiow, Cancer Cell, 2015**

# RT Fraction Size



**Fig. 1.** Radiation dose-dependently increases tumor control and tumor-specific IFN $\gamma^+$  splenocytes and alters splenic regulatory T cells (Tregs). Mice were implanted with  $0.8 \times 10^6$  B16-OVA cells subcutaneously in the leg and treated 10 days later with various doses of radiation. Tumor size and splenic responses were measured 7 days after treatment. (A) Tumor size as mean diameter in millimeters (2 dimension) of  $n = 4-16 \pm$  standard error of the mean s.e.m. (B) Splenocytes were mixed *ex vivo* with EG7.OVA cells, and the number of IFN $\gamma$ -producing cells was determined by enzyme-linked immunospot assay. Data are mean number of spots per  $10^5$  splenocytes of  $n = 3-16 \pm$  s.e.m. (C) Splenocytes were stained for CD4, CD25, and Foxp3 and enumerated by flow cytometry. Data are mean CD4 $^+$ , CD25 $^+$ , and Foxp3 $^+$  Tregs as fraction of CD4 $^+$  splenocytes of  $n = 1-11 \pm$  s.e.m. \* $p < 0.05$  compared with 0 Gy.



**Fig. 2.** Fractionated radiation affects tumor control and tumor-specific IFN $\gamma^+$  splenocytes and alters splenic regulatory T cells (Tregs). Mice bearing B16-OVA tumors 4 mm in size were given fractionated radiation in 6 hour intervals of a total dose of 15 Gy and left for 7 days to recover. (A) Tumor size as mean diameter of  $n = 12 \pm$  standard error of the mean s.e.m. (B) Mean number of IFN $\gamma$ -enzyme-linked immunospots per  $10^5$  splenocytes of  $n = 3-6 \pm$  s.e.m. in response to EG7.OVA *ex vivo* restimulation. (C) Mean percent of splenic CD4 $^+$ CD25 $^+$ Foxp3 $^+$  Tregs as analyzed by Fluorescent Activated Cell Sorting FACS of  $n = 8-12 \pm$  s.e.m. \* $p < 0.05$  compared with 0 Gy.

.....and keeping in mind this.....

*„Nivolumab versus Everolimus in  
advanced renal cell carcinoma“*

*Motzer et al., NEJM, published online a few days ago*

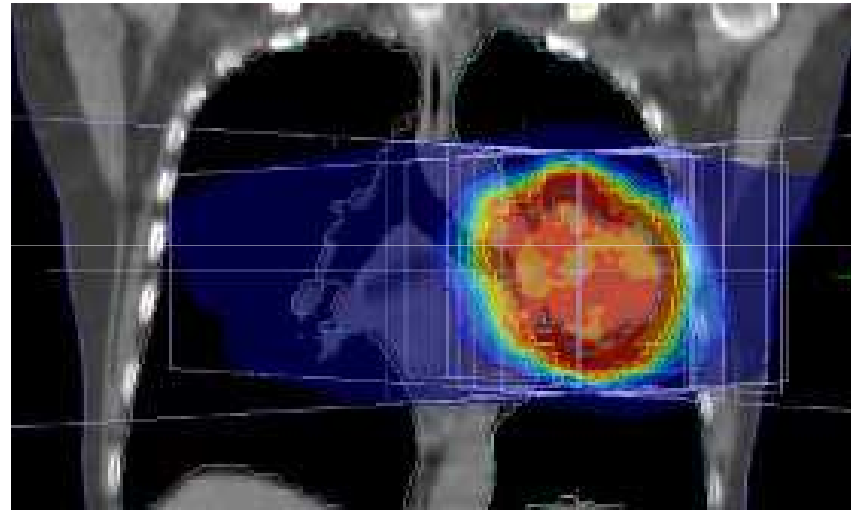
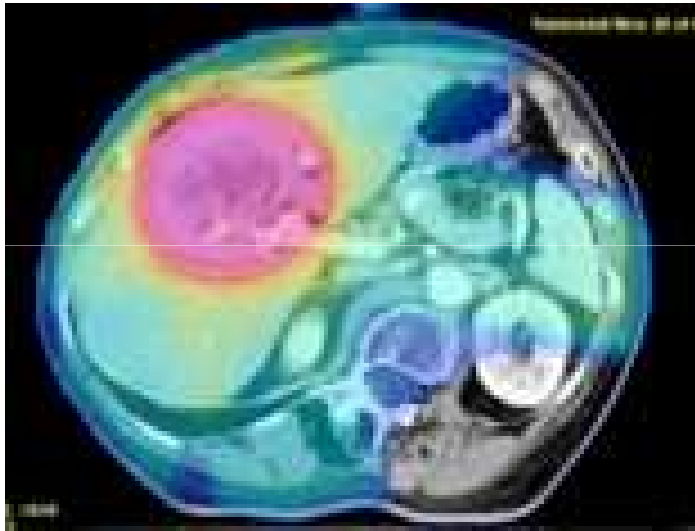
And finally: Is there anything left for.....





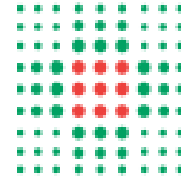
?

# Rationale for Particles in Radiosurgery



Large Liver and Lung Lesions

# Drivers of IMRT



SERVIZIO SANITARIO REGIONALE  
EMILIA-ROMAGNA  
Azienda Ospedaliero - Universitaria di Modena  
Policlinico

Things weren't perfect prior to IMRT

Need to avoid Toxicity

Evolution of Technology / IGRT / Online Adaptation

Chronification of Disease/Oligometastases

Convenience / Economical Factors / Simplification of established paradigms

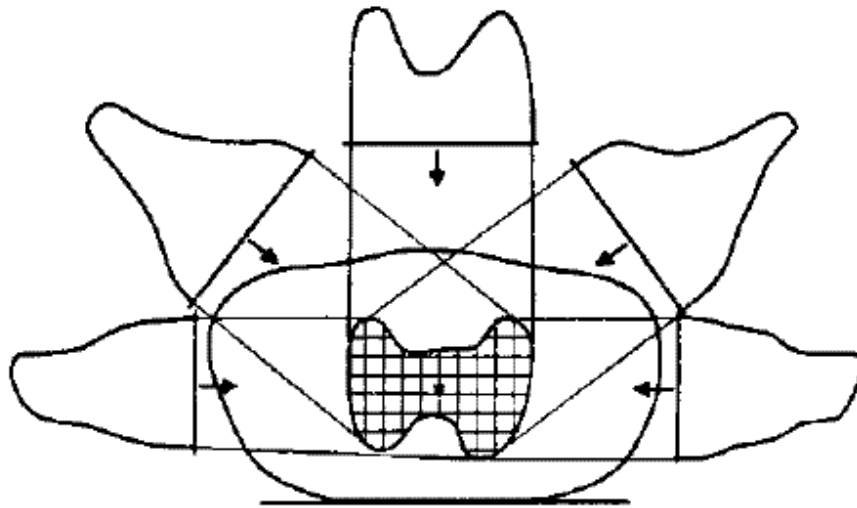
Expanding Indications for SBRT (e.g. Prostate with the need for dose shaping)

Potentially a new Paradigm in Combination with Immunotherapy



Centro di Protonterapia  
Azienda Provinciale per i Servizi Sanitari  
Trento, Italy

# IMRT dose delivery methods

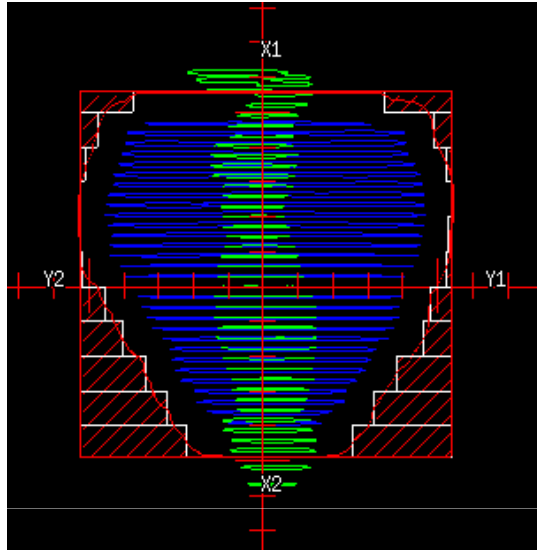


*Marco Schwarz*  
*marco.schwarz@apss.tn.it*

## Disclosure

My department has a contract with Philips Medical Systems concerning alpha and beta testing of treatment planning software for proton therapy with pencil beam scanning.

# Why did we end up with IMRT?

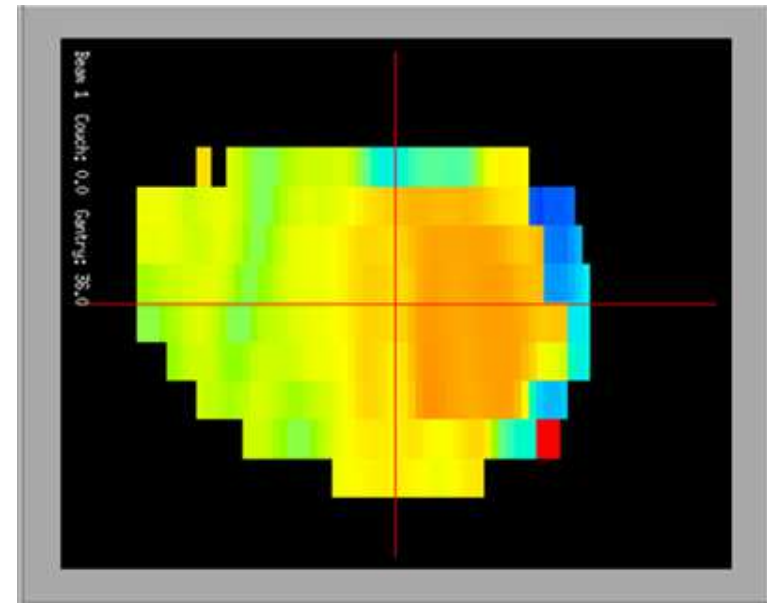


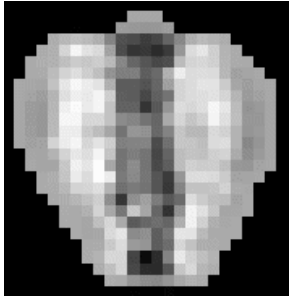
What we were calling '3D conformal RT' was often not that conformal.

With photons, achieving dose modulation with the falloff along the beam direction is hopeless

No technology, however fancy, will change that.

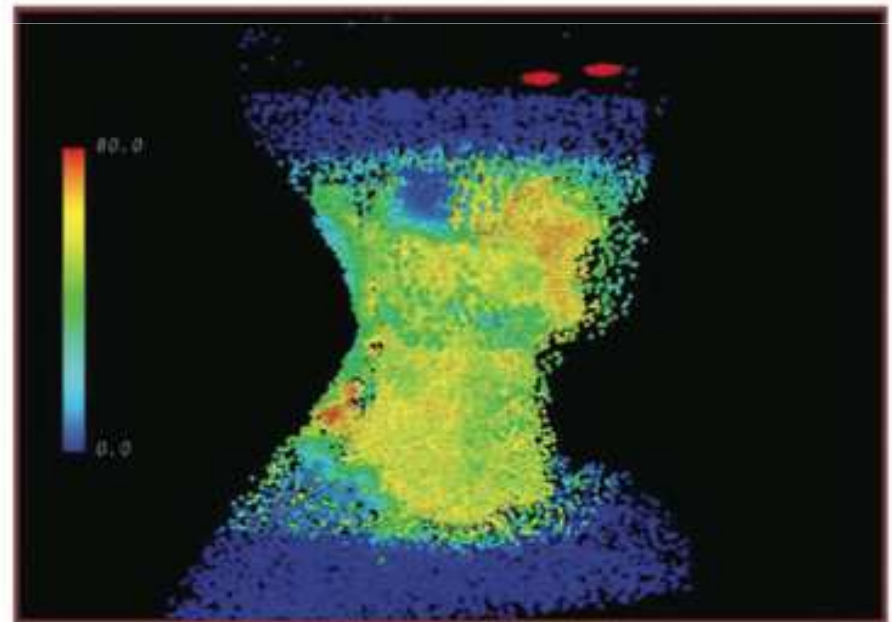
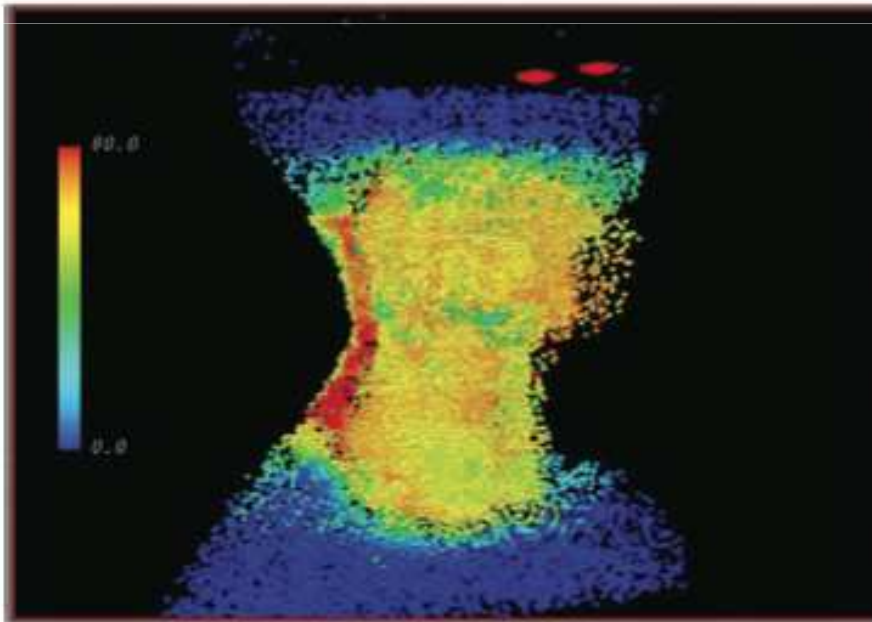
We are therefore left with modulating particles fluence in the cross plane, hence IMRT.





How can we modulated particle fluence?

In principle, by controlling the beam intensity at the level of the single beam elements ('bixel'/'beamlet')



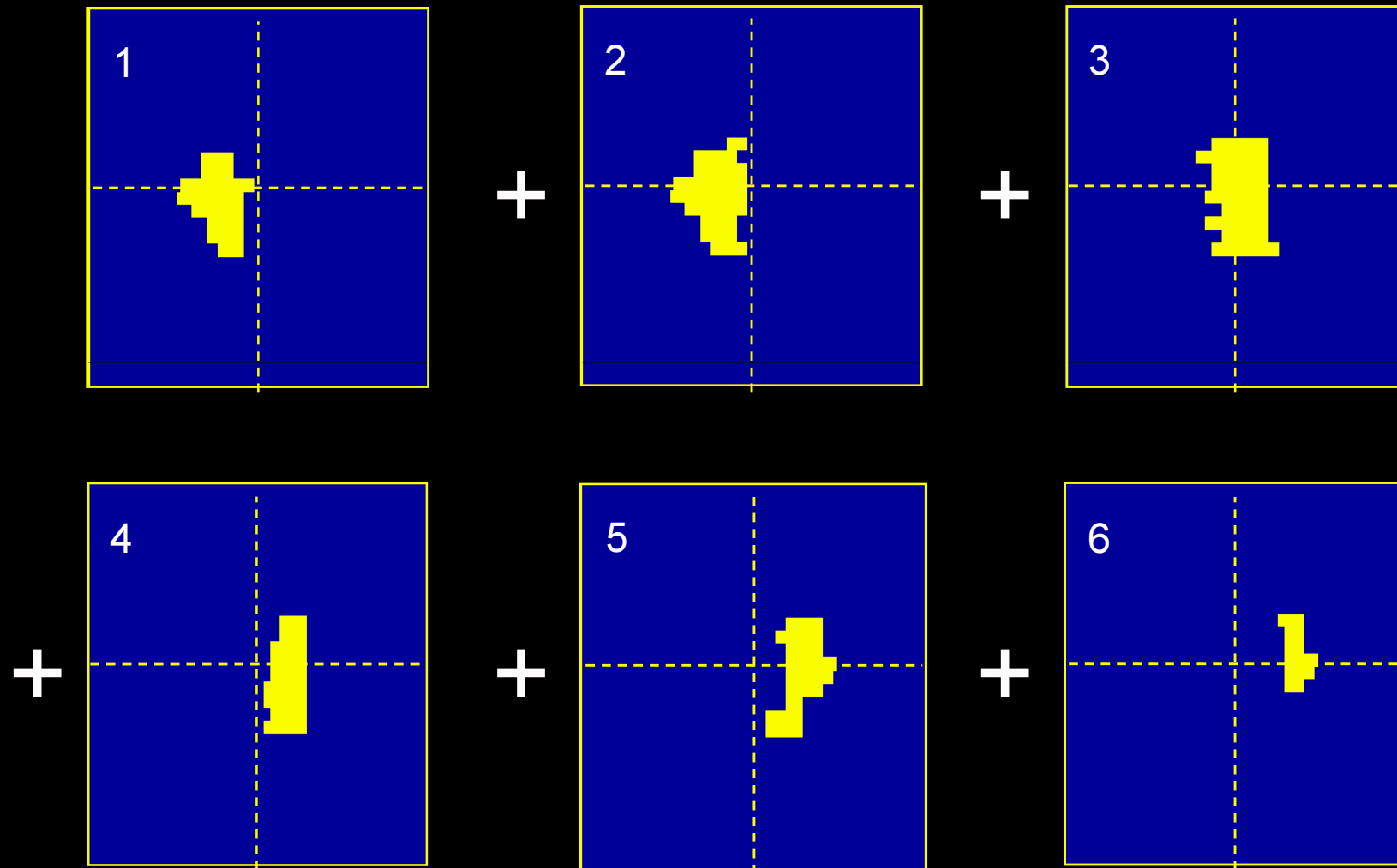
## Principle and terminology

Calculated fluence map, bixels, intensity levels

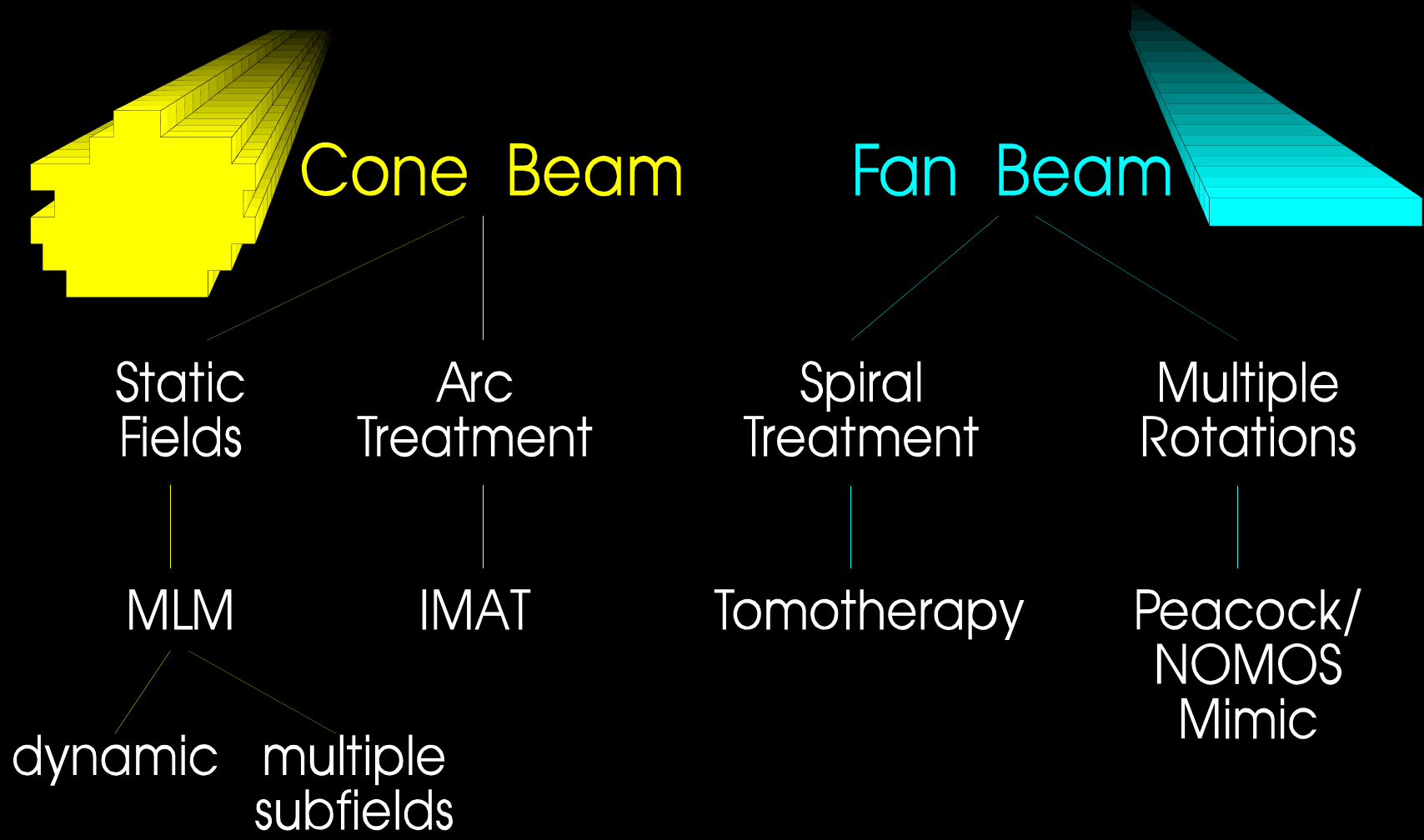




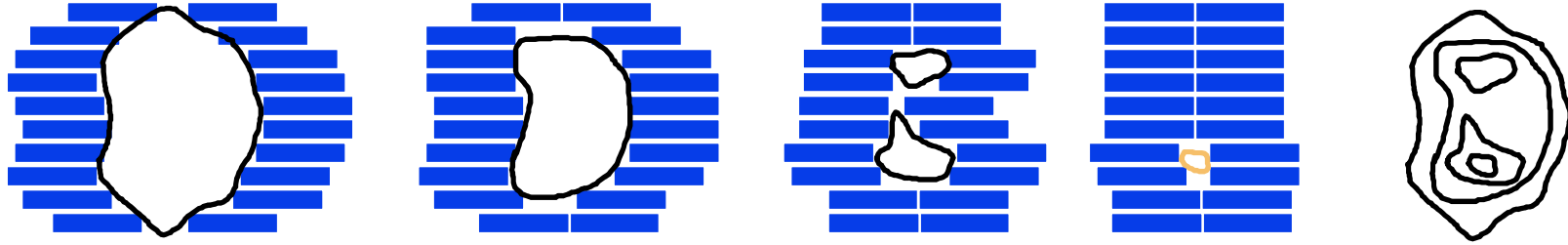
# Subfields (or segments)



# Intensity modulation with MLC

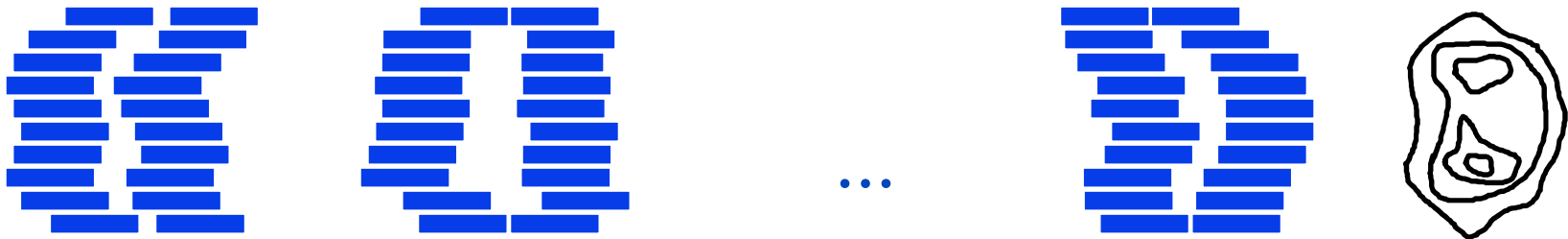


## 'Close-in' technique



A-Leaves B-Leaves

## 'Sweep' technique

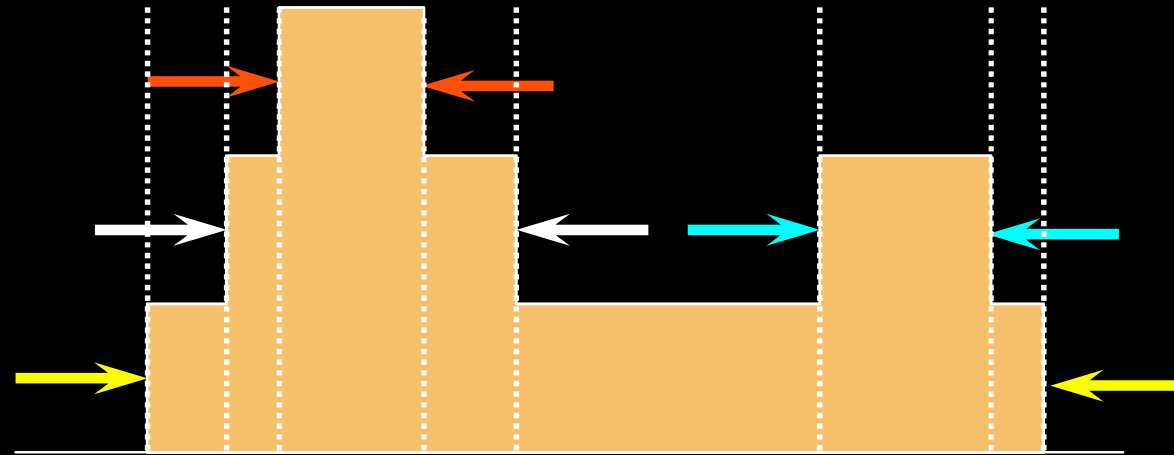


A-Leaves B-Leaves

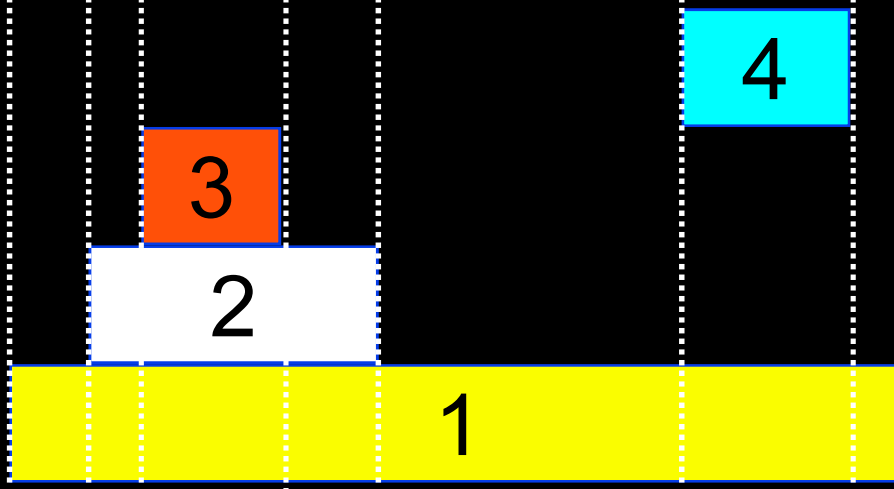
Close-in vs. sweep  $\neq$  static vs. dynamic

# “Close-in” technique

IM-Profile:

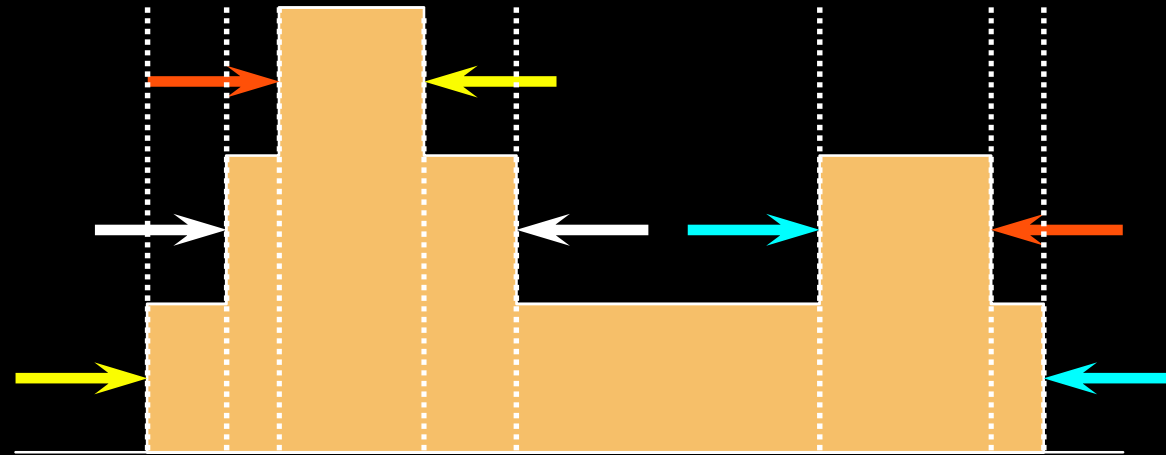


Trajectory:

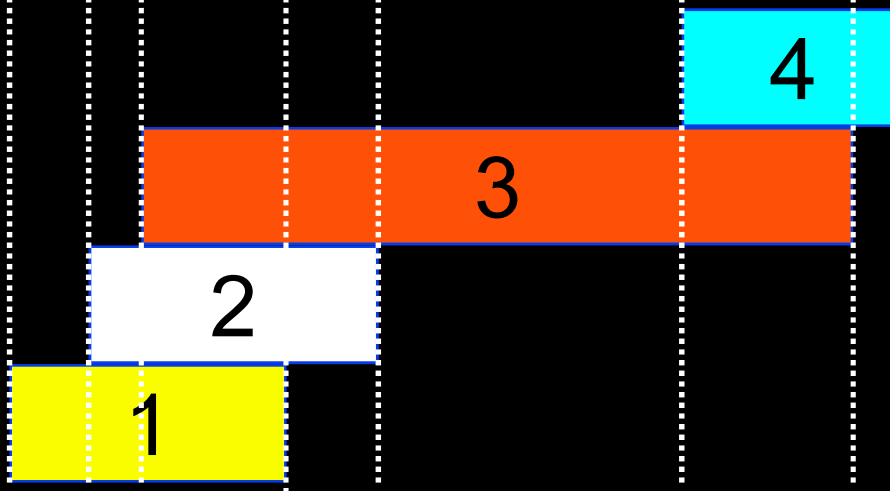


# “Sweep” technique

IM-Profile:



Trajectory:



## Pro's and Con's

### Pro static delivery

- Simpler extension of 3D-CRT techniques

- Somewhat more intuitive

- Somewhat easier to control the level of complexity

### Pro dynamic delivery

- Generally faster

- Better suited for highly complex profiles

- Enables rotational therapy, dynamic tracking

# Sequencing & Optimization: The “reducing levels” technique (Xia, Verhey)

Desired fluence map

1	6	7	3
1	4	4	2
2	0	5	7
3	2	6	3

## The “reducing levels” technique (Xia, Verhey)

Bixel values 4 or higher

1	6	7	3
1	4	4	2
2	0	5	7
3	2	6	3



## The “reducing levels” technique (Xia, Verhey)

Treat with 4 units

1	6	7	3
1	4	4	2
2	0	5	7
3	2	6	3

# The “reducing levels” technique (Xia, Verhey)

Remainder

1	2	3	3
1	0	0	2
2	0	1	3
3	2	2	3

# The “reducing levels” technique (Xia, Verhey)

Bixel values 2 or higher

1	2	3	3
1	0	0	2
2	0	1	3
3	2	2	3

# The “reducing levels” technique (Xia, Verhey)

Treat with 2 units

1	2	3	3
1	0	0	2
2	0	1	3
3	2	2	3

# The “reducing levels” technique (Xia, Verhey)

Remainder

1	0	1	1
1	0	0	2
0	0	1	3
1	0	0	1

# The “reducing levels” technique (Xia, Verhey)

Bixel values 2 or higher

1	0	1	1
1	0	0	2
0	0	1	3
1	0	0	1

# The “reducing levels” technique (Xia, Verhey)

Treat with 2 units

1	0	1	1
1	0	0	2
0	0	1	3
1	0	0	1

# The “reducing levels” technique (Xia, Verhey)

Remainder

1	0	1	1
1	0	0	0
0	0	1	1
1	0	0	1



# The “reducing levels” technique (Xia, Verhey)

Treat with 1 unit

1	0	1	1
1	0	0	0
0	0	1	1
1	0	0	1

# The “reducing levels” technique (Xia, Verhey)

Treat with 1 unit

0	0	1	1
0	0	0	0
0	0	1	1
0	0	0	1

## Delivered MUs

Affected by quality of sequencing algorithms

Tradeoff between quality of treatment and delivery efficiency

Significant issues with old-style MLCs

In the past 7-10 years the optimization of deliverable segments (available for years in research TPS platforms) became increasingly popular, allowing more efficient planning and delivery approaches

## IMRT-relevant features of MLCs

1 Geometric Design

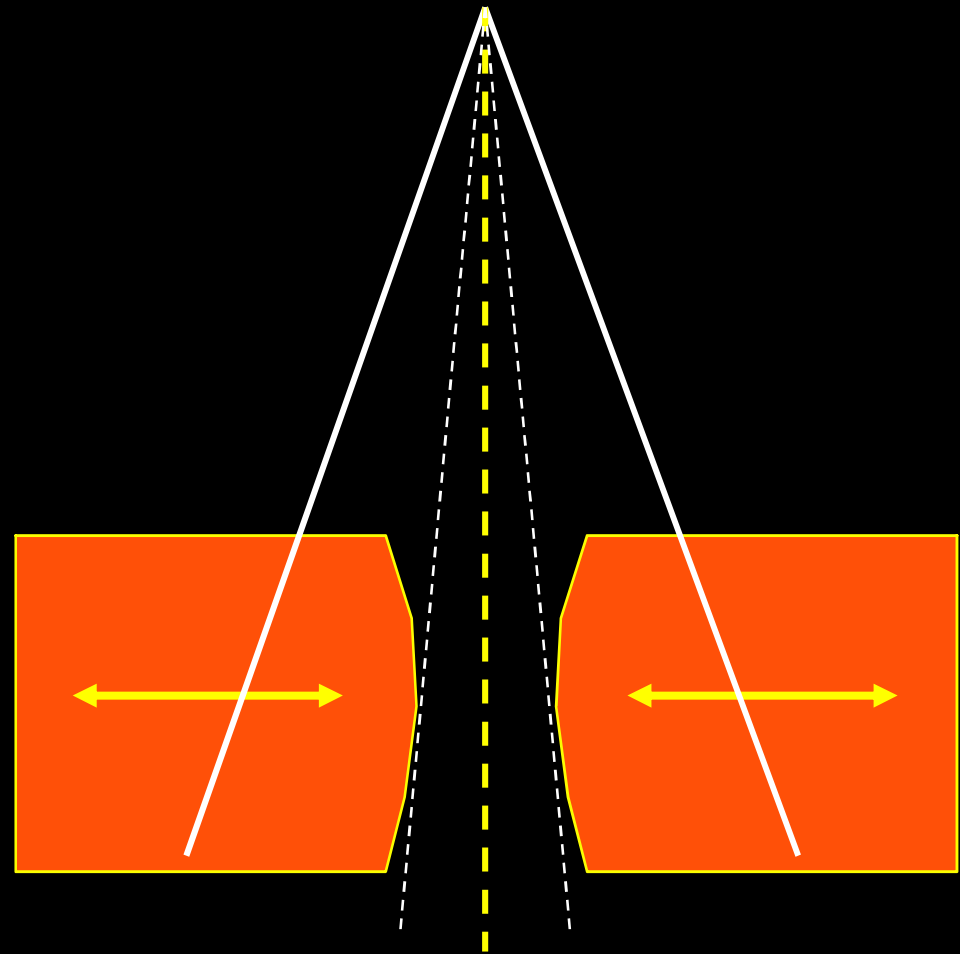
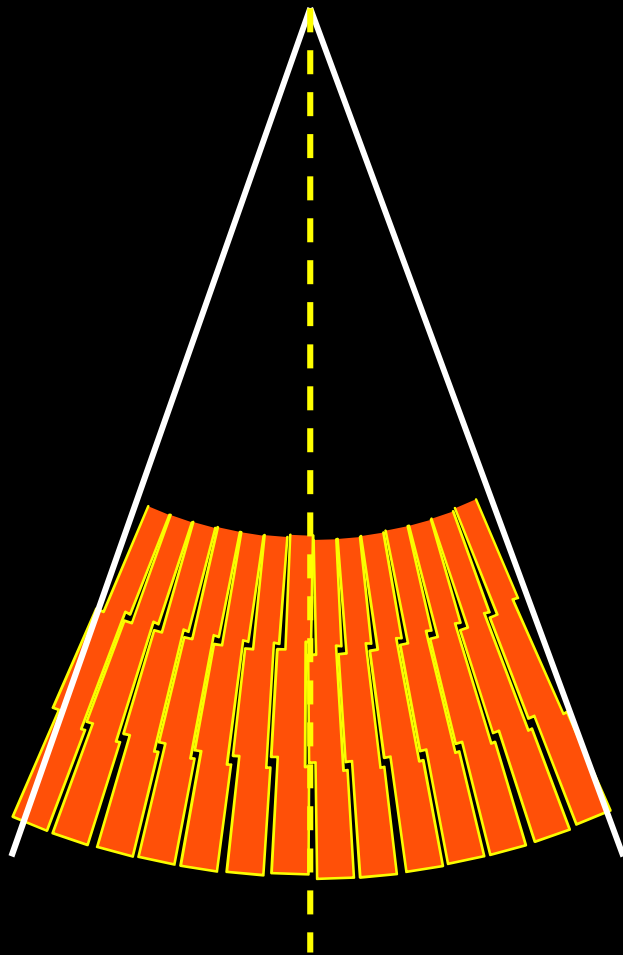
2 Tongue & Groove Construction

3 Collision Protection

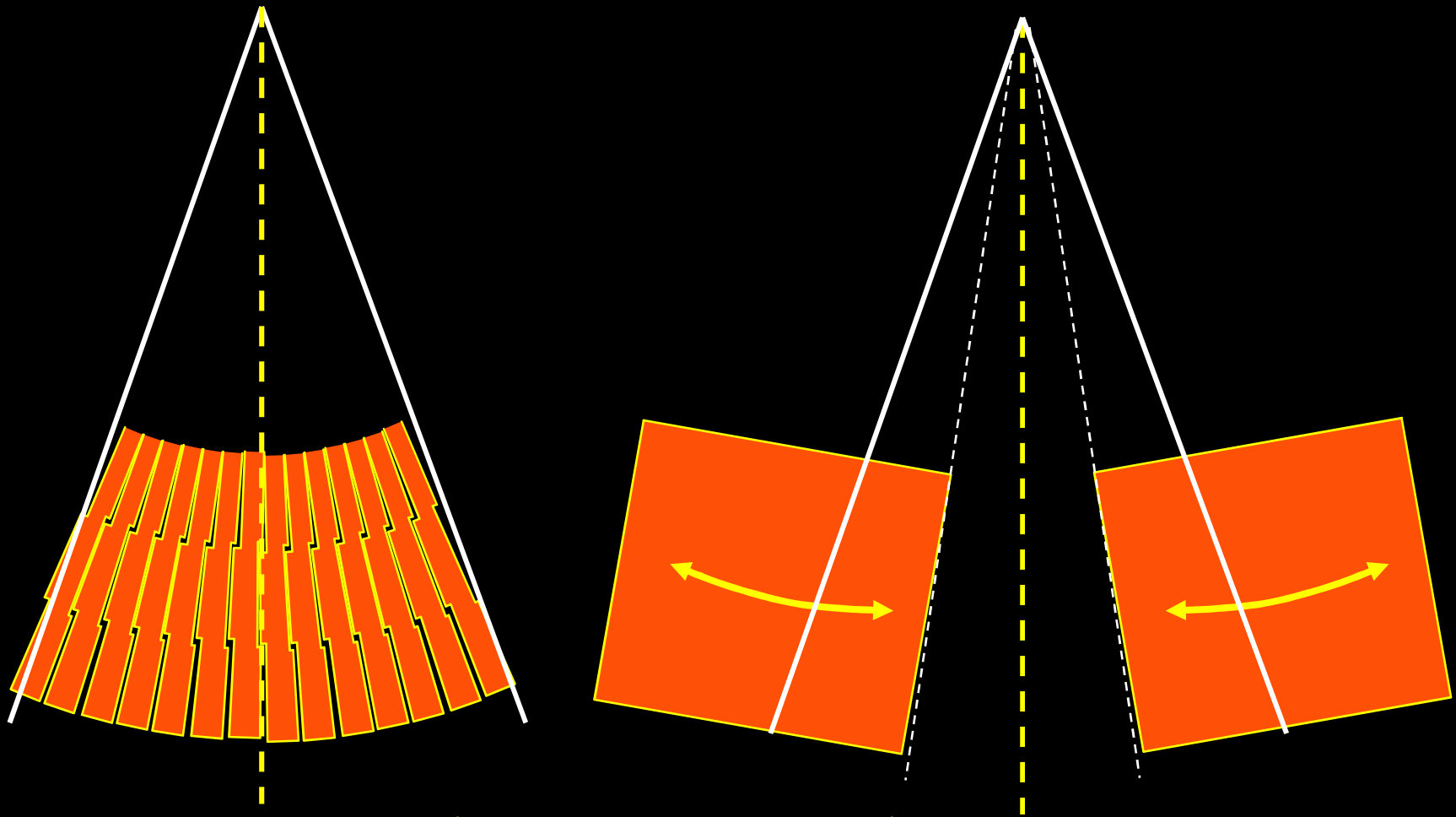
4 Leaf Transmission & Interleaf Leakage

5 MLC tip shape

# Geometric design: single focused



## Geometric design: double focused



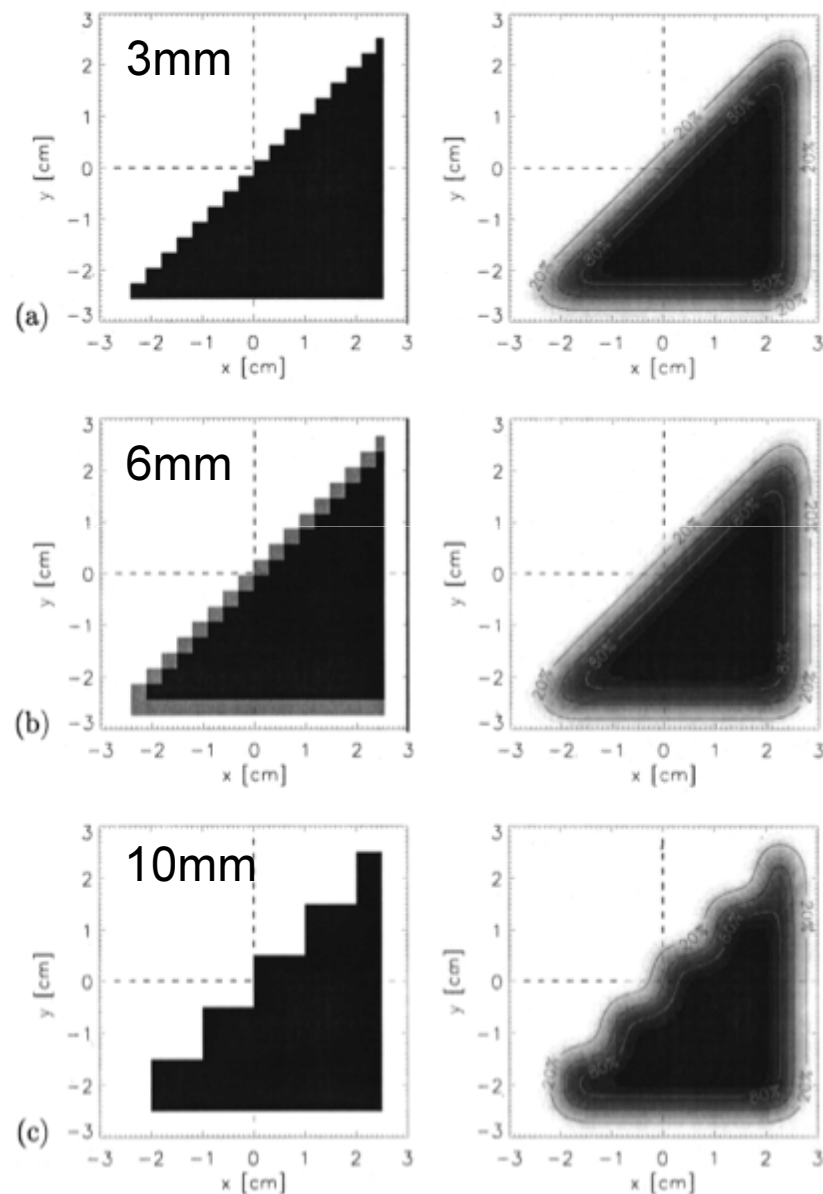
Saves about 0.5 mm penumbra  
Light field and radiation field coincide  
Leaves can be closed in the field

# What is the optimum leaf width ?

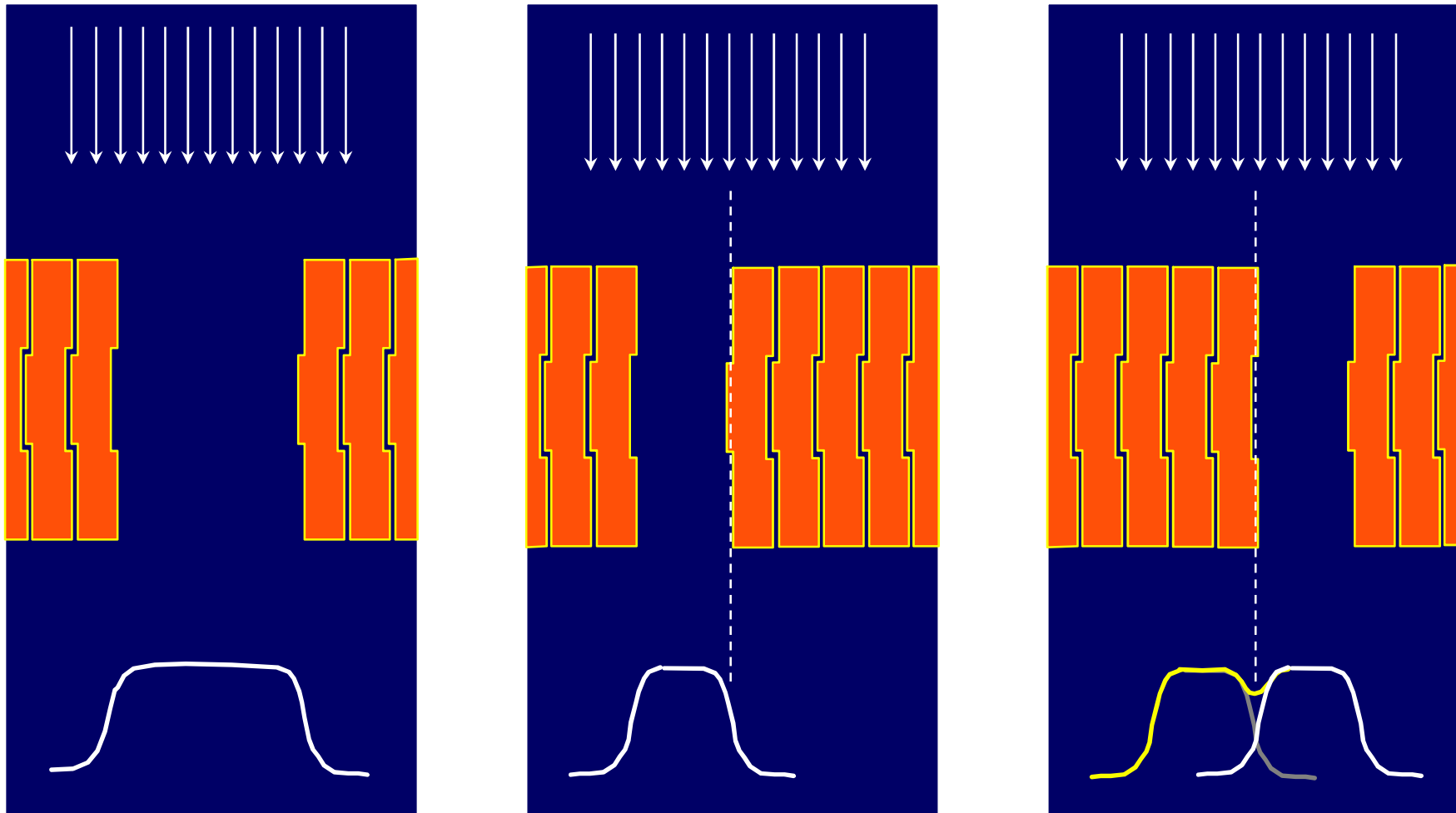
1.5 - 2 mm ideally  
(from sampling theory)

3 - 4 mm realistically

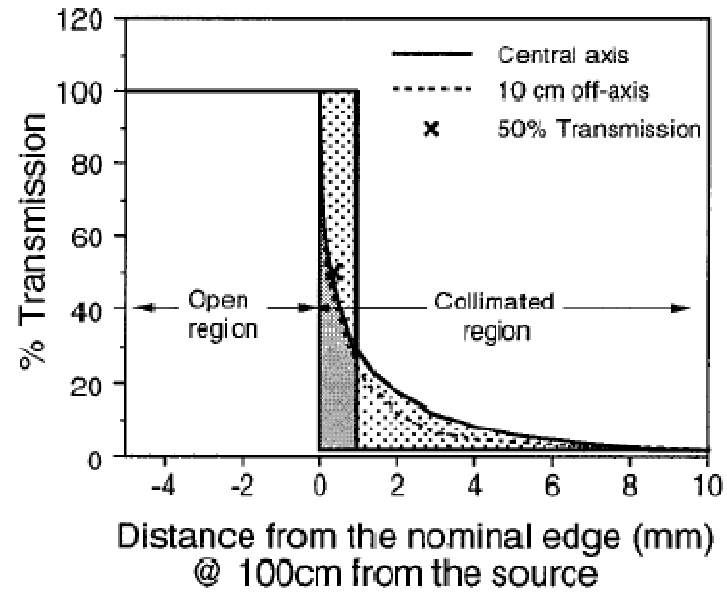
5 mm pragmatic solution for  
'general purpose' MLC



# Tongue & groove effect

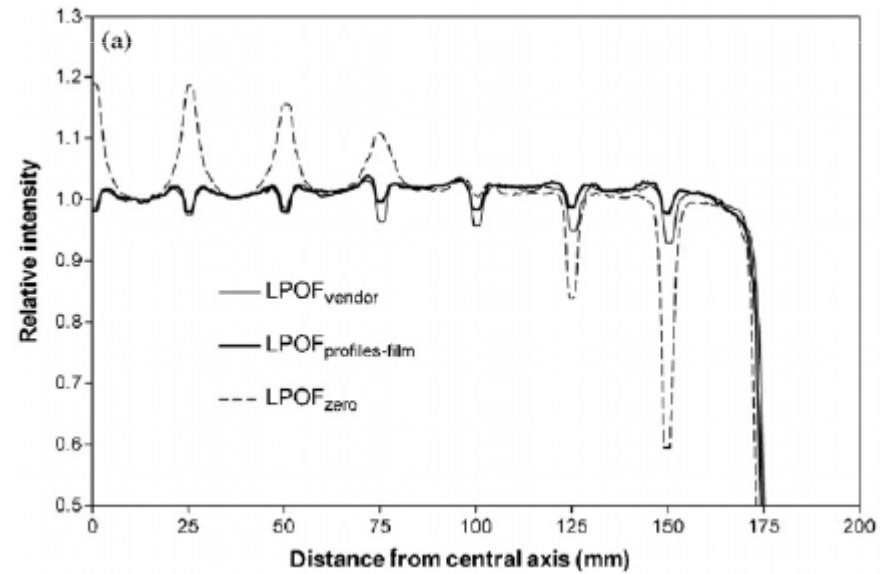






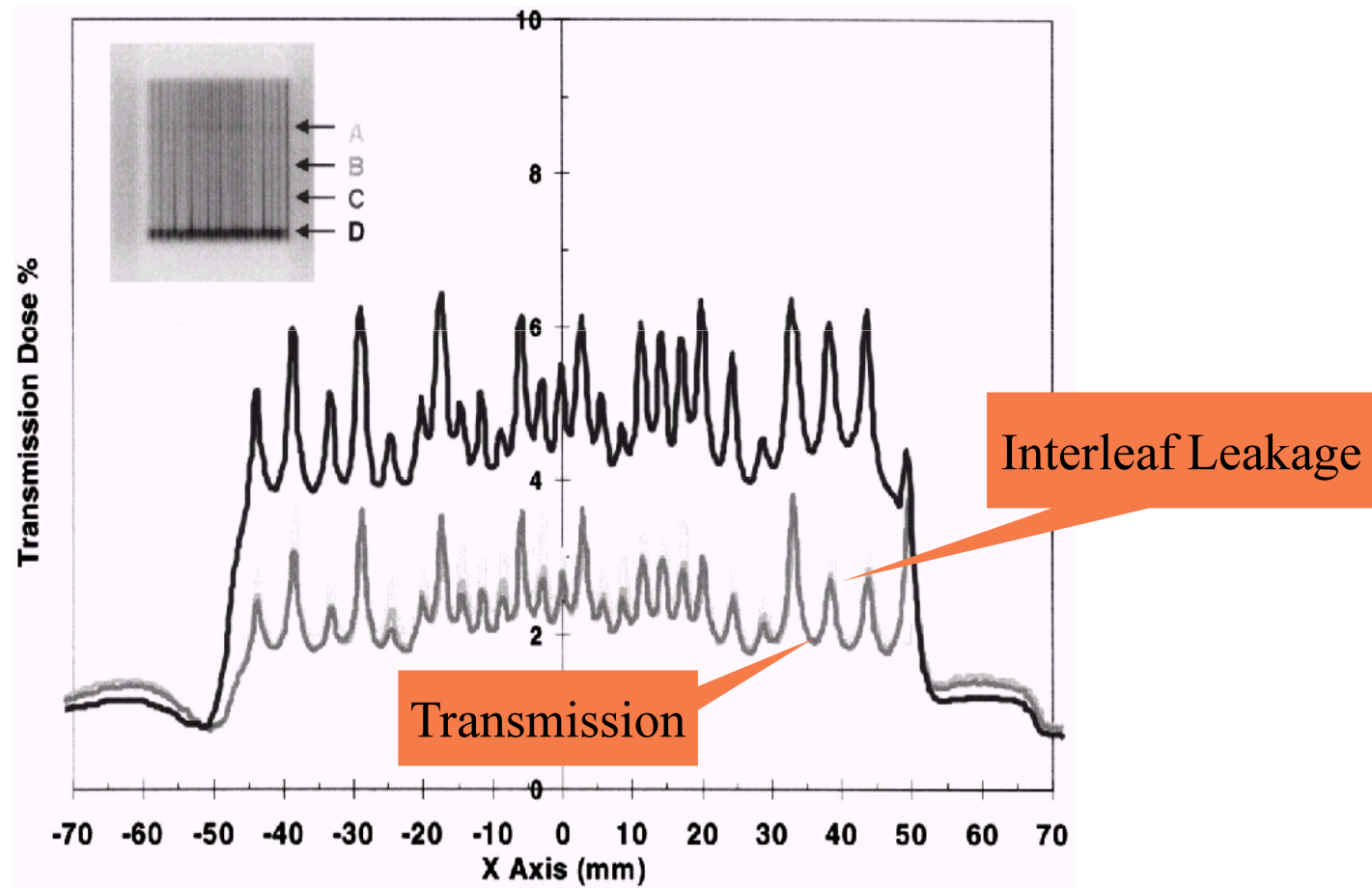
Dosimetric leaf separation

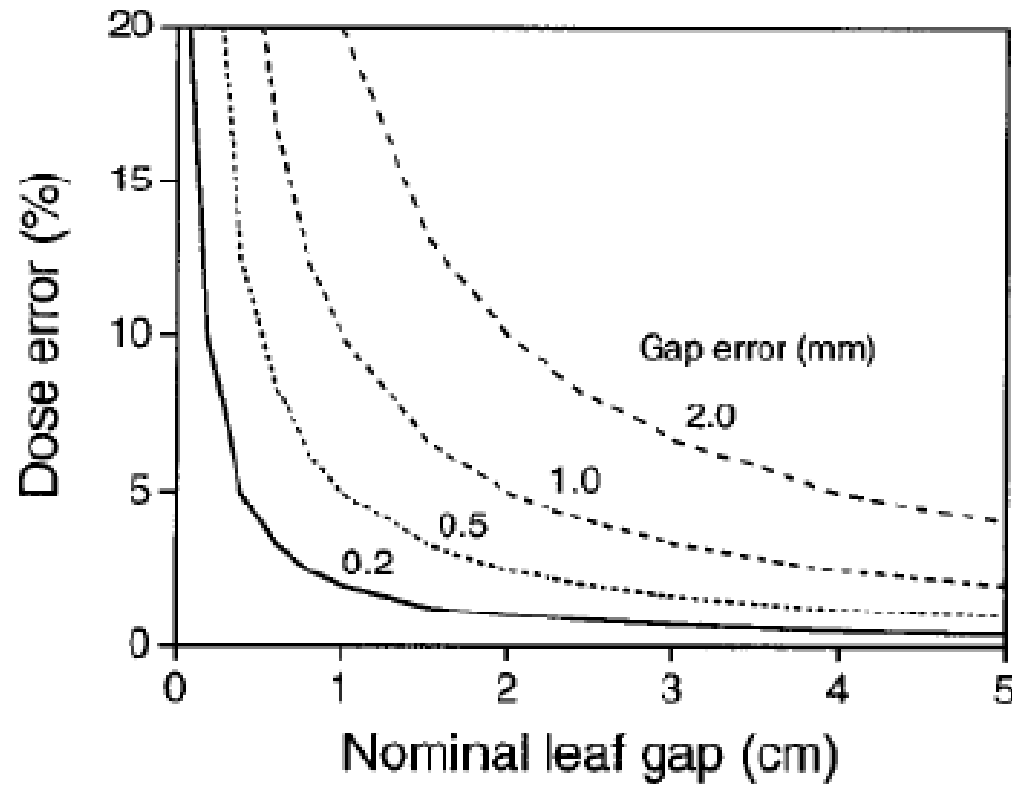
Need to correct  
for the MLC rounded tip



Vial et al, PMB '06

# Leaf transmission and interleaf leakage



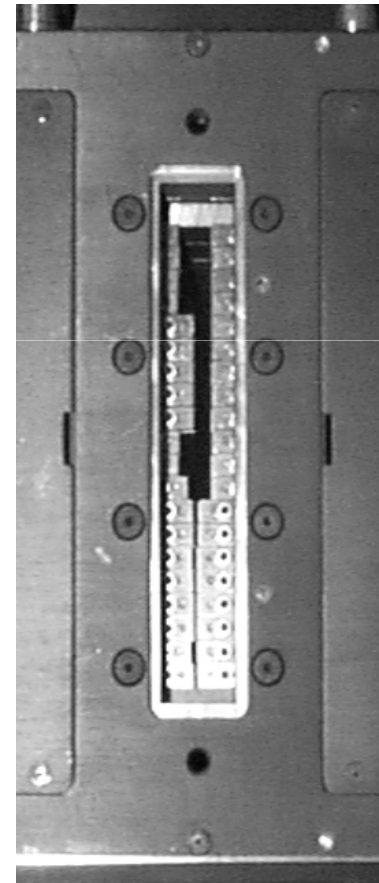
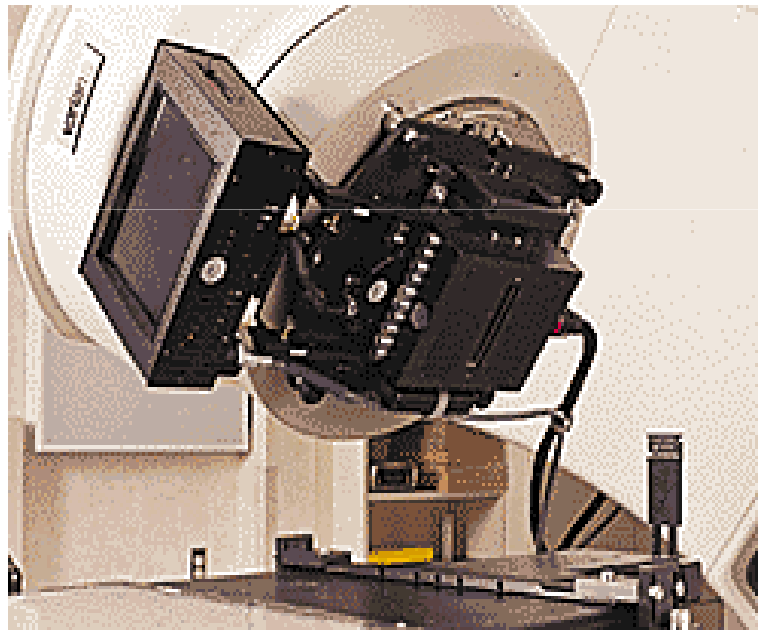


LoSasso et al, MedPhys '98

Tight(er) leaf position accuracy criteria, in particular for DMLC

MLCs through the years

# 'serial tomotherapy' mimic system



## Elekta MLCi2

Number of Leaf Pairs: 40

Field Size: 40 cm x 40 cm

Maximum Overtravel: 12.5 cm

Leaf Width at Isocenter: 1 cm

Maximum Leaf Speed: 2 cm/s

Clearance to Isocenter: 45 cm

Replaces Upper Jaw Pair  
(+ Backup Jaws)



## Elekta Agility

Number of Leaf Pairs: 80

Field Size: 40 cm x 40 cm

Maximum Overtravel: 15 cm

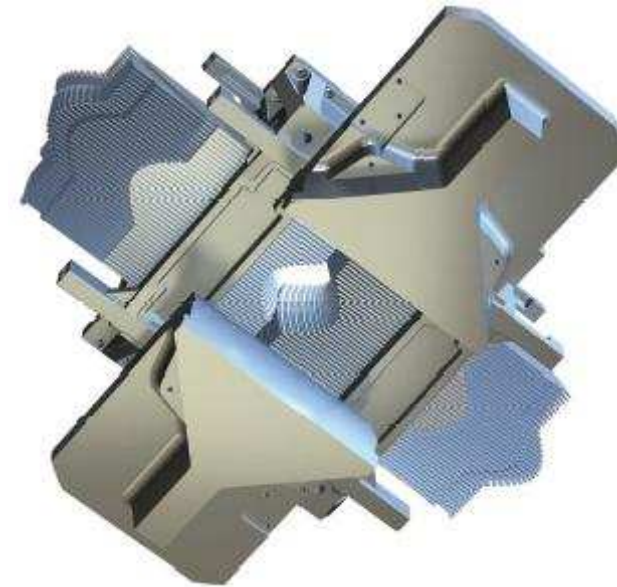
Leaf Width at Isocenter: 0.5 cm

Leaf Transmission: < 0.5%

Maximum Leaf Speed: 6.5 cm/s

Clearance to Isocenter: 45 cm

Replaces Upper Jaw Pair



Leaves	35mm/s
Dynamic Leaf Guides	30mm/s
Diaphragms	90mm/s

# Elekta Beam Modulator

Number of Leaf Pairs: 40

Field Size: 21 cm x 16 cm

Maximum Overtravel: 10.5 cm

Leaf Width at Isocenter: 0.4 cm

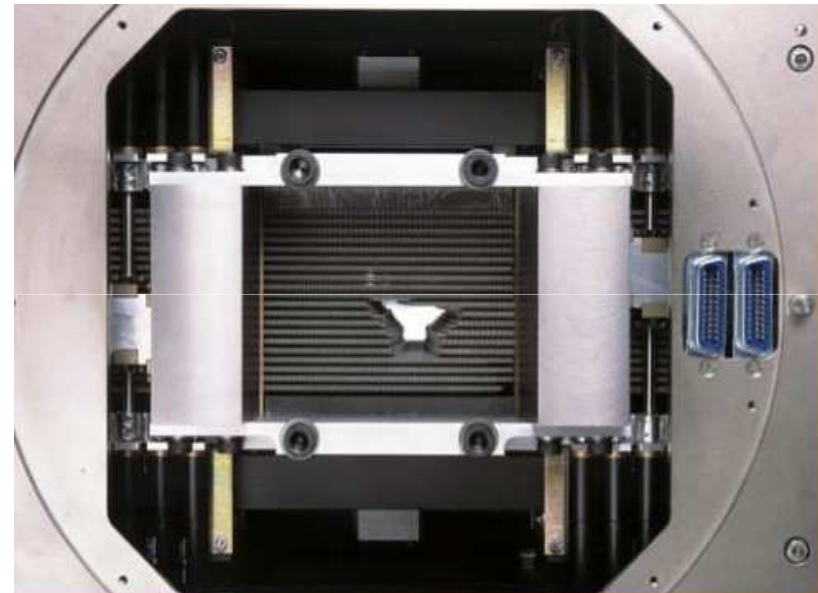
Leaf Transmission: < 1% @ 6MV

Maximum Leaf Speed: 2.2 cm/s

Clearance to Isocenter: 45 cm

Fixed jaws

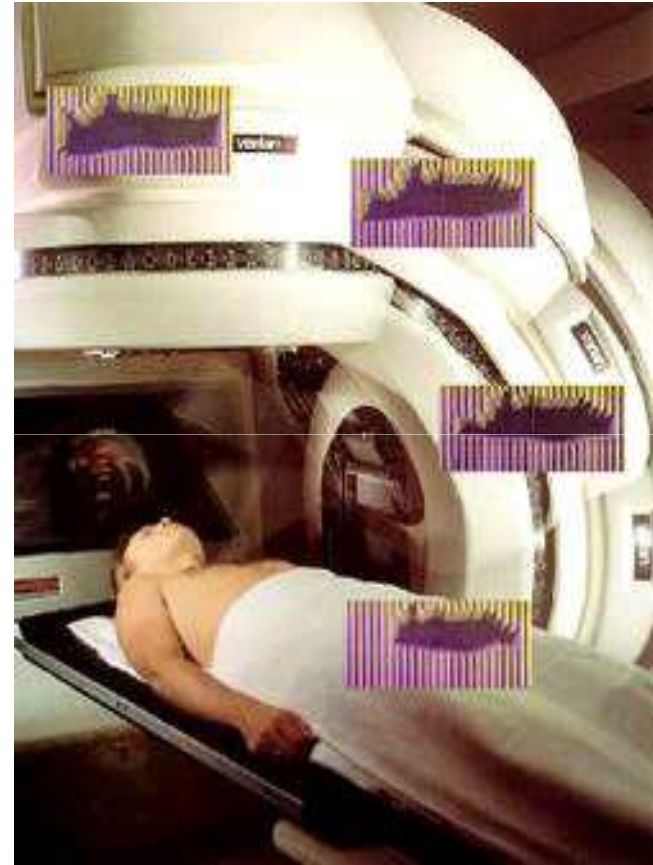
Leaves interdigitation allowed





## Varian MLCs - 1

Number of Leaf Pairs: 40 or 60  
Field Size: 40 cm x 40 cm  
Maximum Overtravel: 16 cm  
Maximum Leaf Separation: 14.5 cm  
Leaf Width at Isocenter: 1 cm  
or 0.5 cm  
Leaf Transmission: < 1.5-2%  
Maximum Leaf Speed: 1.5 cm/sec  
Clearance to Isocenter: 41.5 cm



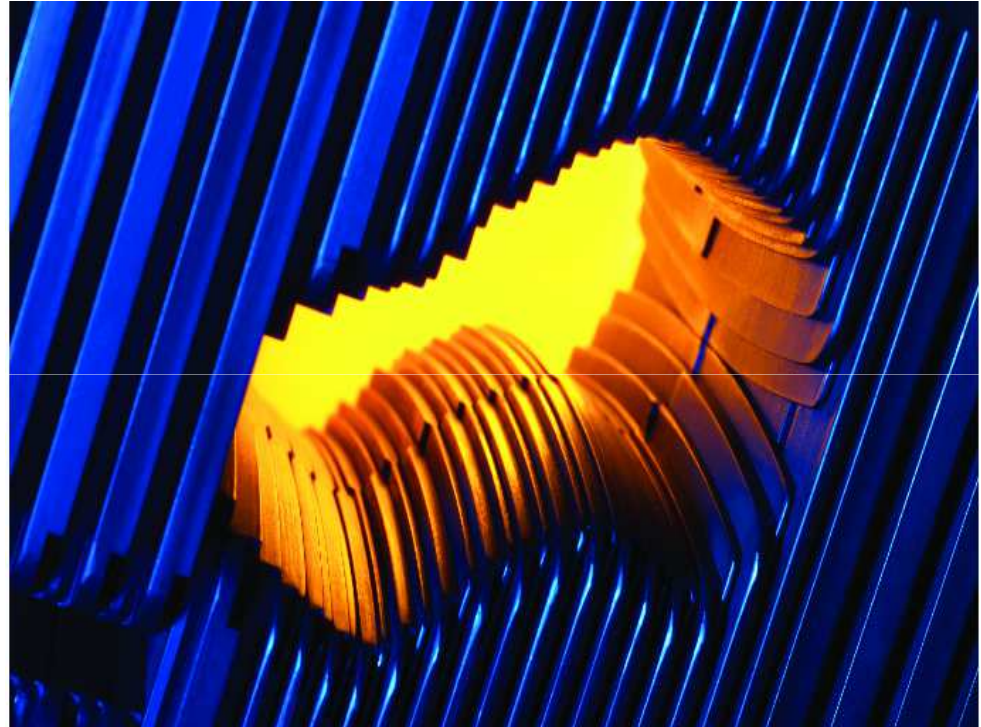
## VARIAN MLCs -2

HD 120

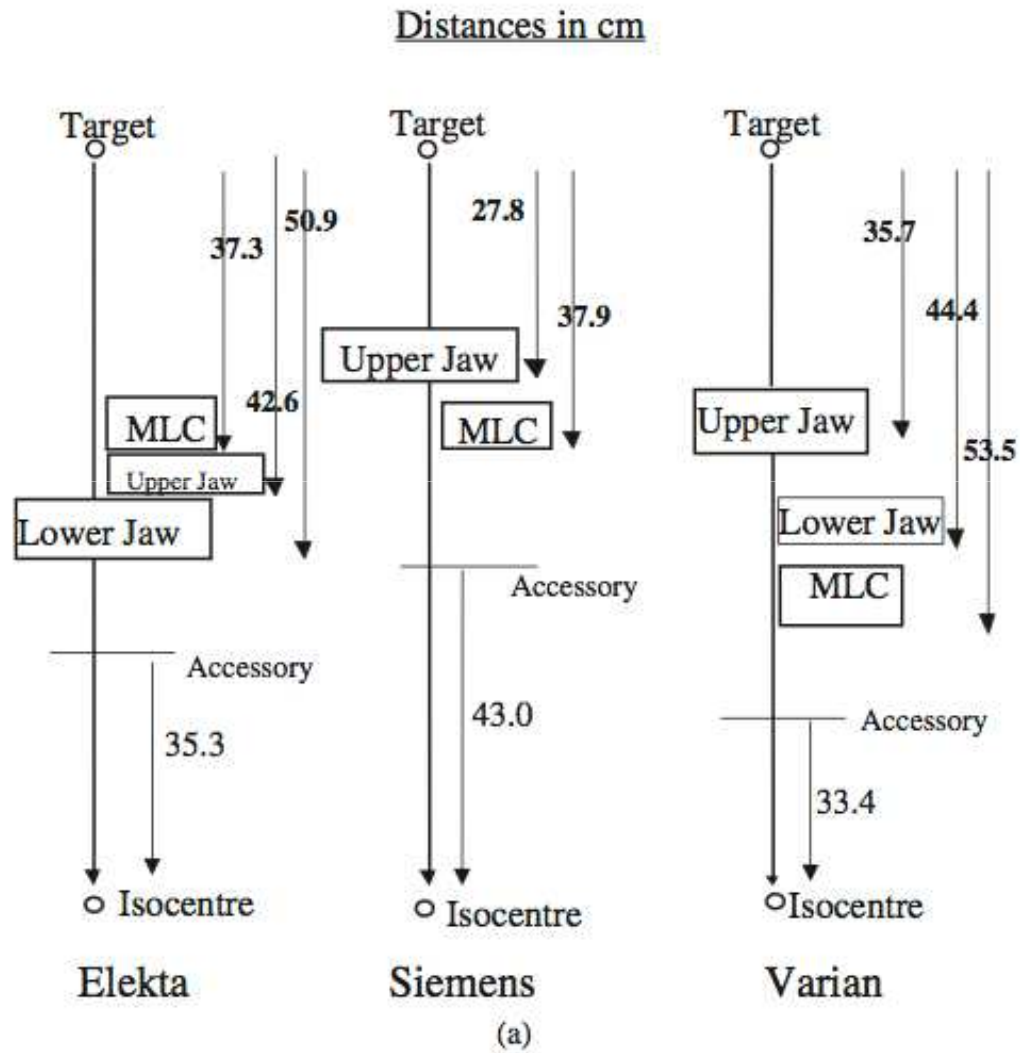
32 central LP    2.5  
mm leaf width

28 outer LP    5.0  
mm leaf width

Attenuation: 1%



# Collimation geometry

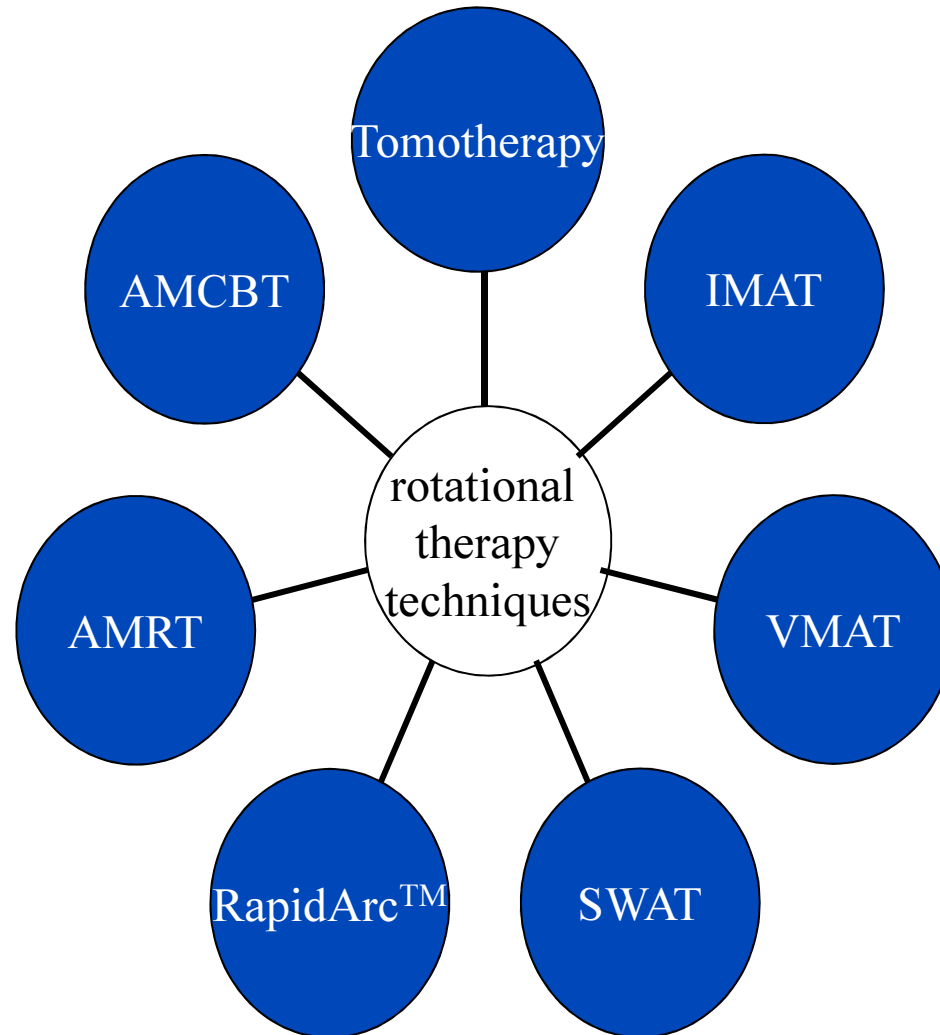


Huq et al.  
PMB 47 N159-N170  
2002

## Add-on MLCs

Company	Brain-LAB m3	Radionics	Siemens** (MRC) $\mu$ -MLC	Siemens (MRC) Moduleaf	3D Line	Direx AccuLeaf	
# Leaf pairs	26	31	40	40		36	
Field size (cm <sup>2</sup> )	10 x 10	10 x 12	7.3 x 6.4	12 x 10		LARANCIO	11 x 10
Overcenter travel (cm)	5	No data	1.4	5.5			3,3
Leaf width (mm)	3.0 – 5.5	4.0	1.6	2.5		3,1-4,6	
Leaf transmission (%)	< 4	< 2	< 1	< 1		LAZZURRO	< 2
Maximum speed (cm/s)	1.5	2.5	1.5	3			1.5
Clearance to isocenter (cm)	31	35	30	30		?	
Total weight (kg)	31	35	38	39.7		IL VERDE	31
Geometric design	Single focused	Single focused	Parallel	Single focused			Two sets of leaf pairs at 90°

# Dynamic rotational treatment techniques



# Dynamic rotation therapy

In dynamic rotation therapy the following parameters can be varied during dose delivery:

MLC leaf position

Dose rate

Gantry velocity

*Collimator angle*

*Table angle*



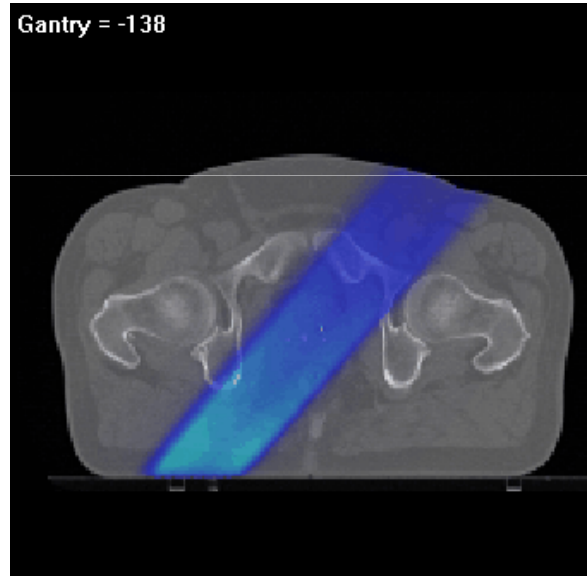
B. Mijnheer (NKI)

# VMAT in action

Shape and MU for a single gantry angle



Field dose



Cumulative dose



Courtesy B. Mijnheer

## Differences among techniques

Treatment machine (tomotherapy  $\Rightarrow$  fan beams  
conventional linac  $\Rightarrow$  cone beam)

Delivery parameters (variable dose rate, variable gantry speed, ...)

Number of arcs (single arc – multiple arcs)

Optimization concept (algorithm, DAO, ...)

...

See lecture on comparing rotational techniques

See review in Yu PMB 2011 for treatment delivery

See review in Unkelbach Med Phys 2015 for plan optimization



# Single Arc techniques

(Very) fast delivery in single rotation of the gantry

During gantry rotation the dose is delivered while varying

- MLC leave positions and
- **dose rate** and/or
- gantry rotation speed

Different optimization/sequencing algorithms

- Sweeping window arc therapy (SWAT)
- Arc-modulated cone beam therapy (AMCBT)
- Volumetric-modulated arc therapy (VMAT)
- RapidArc™
- Arc-modulated radiation therapy (AMRT)

# Quite some discussions on the subject

Phys. Med. Biol. 54 (2009) N9–N20

**NOTE**

## Single-Arc IMRT?

**Thomas Bortfeld<sup>1,3</sup> and Steve Webb<sup>2</sup>**

<sup>1</sup> Massachusetts General Hospital and Harvard Medical School, Department of Radiation Oncology, 30 Fruit St, Boston, MA 02114, USA

<sup>2</sup> Joint Department of Physics, Institute of Cancer Research, Sutton, Surrey, SM2 5PT, UK

Phys. Med. Biol. 54 (2009) L31–L34

[doi:10.1088/0031-9155/54/8/L01](https://doi.org/10.1088/0031-9155/54/8/L01)

**LETTER TO THE EDITOR**

## Comments on ‘Single-Arc IMRT?’\*

**W F A R Verbakel<sup>1</sup>, S Senan, F J Lagerwaard, J P Cuijpers and B J Slotman**

Phys. Med. Biol. 54 (2009) L35–L36

[doi:10.1088/0031-9155/54/8/L02](https://doi.org/10.1088/0031-9155/54/8/L02)

**LETTER TO THE EDITOR**

## Reply to ‘Comments on ‘Single-Arc IMRT?’

**Thomas Bortfeld<sup>1</sup> and Steve Webb<sup>2</sup>**

Phys. Med. Biol. 54 (2009) L37–L41

[doi:10.1088/0031-9155/54/8/L03](https://doi.org/10.1088/0031-9155/54/8/L03)

**LETTER TO THE EDITOR**

## Letter to the Editor on ‘Single-Arc IMRT?’\*

**Karl Otto**

Phys. Med. Biol. 54 (2009) L43–L44

[doi:10.1088/0031-9155/54/8/L04](https://doi.org/10.1088/0031-9155/54/8/L04)

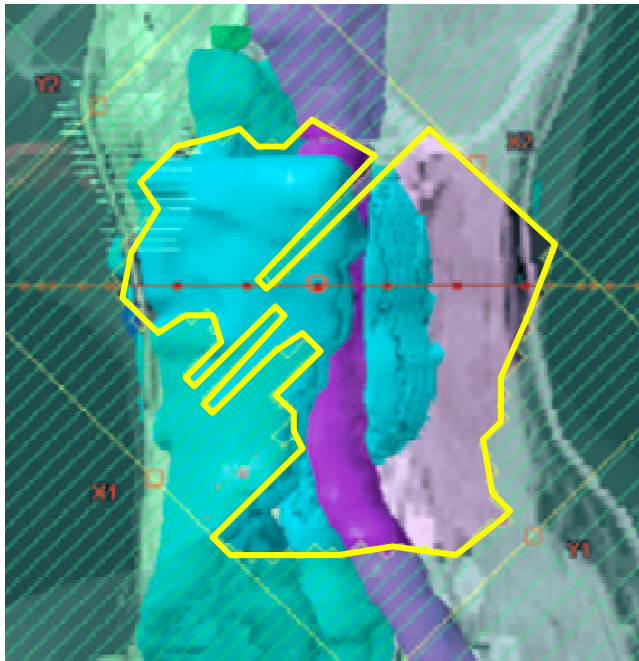
**LETTER TO THE EDITOR**

## Reply to ‘Letter to the Editor on ‘Single-Arc IMRT?’

**Thomas Bortfeld<sup>1</sup> and Steve Webb<sup>2</sup>**

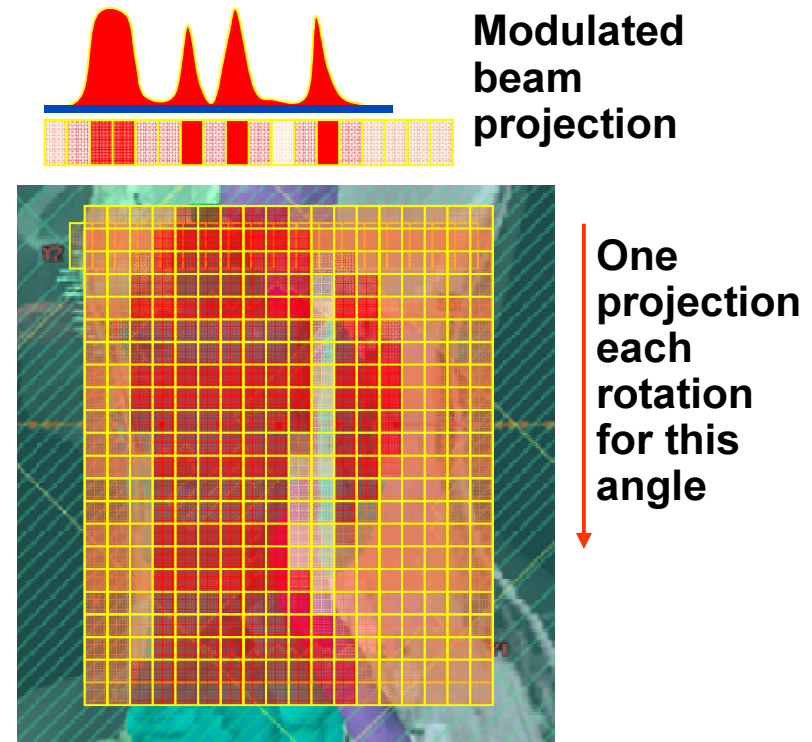
# Not all rotational techniques are created equal

## Single arc



Little or no modulation for the individual gantry angle

## Tomo



Multiple modulated beam projections

## Static field IMRT vs arc techniques

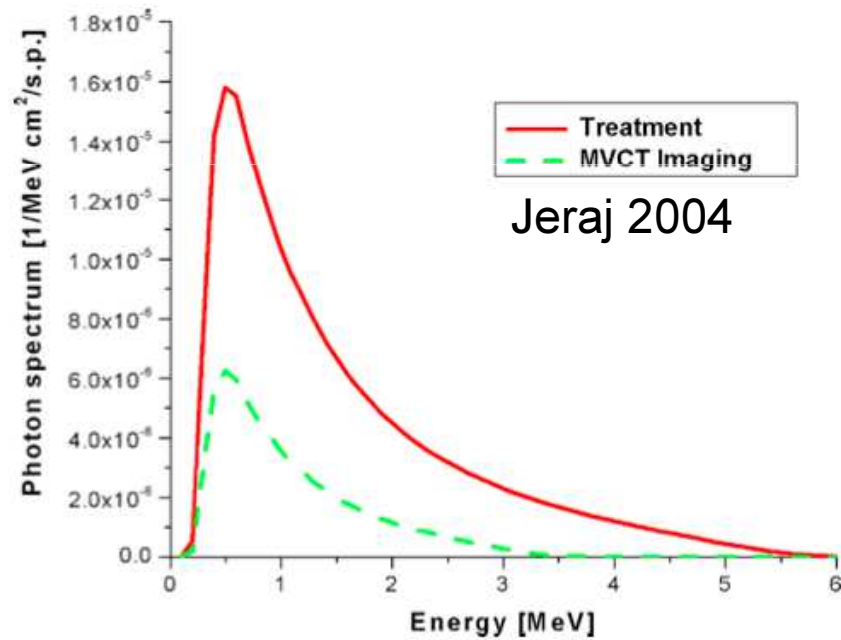
After the initial quite strong claims on (and heated discussions about) (linac-based) arc techniques, we are getting close to an objective assessment of the (dis)advantages of each techniques.

Is the focus on improved delivery efficiency (as opposed to quality of the dose distributions) an indication that we reached the limits of dose modulation with photons?

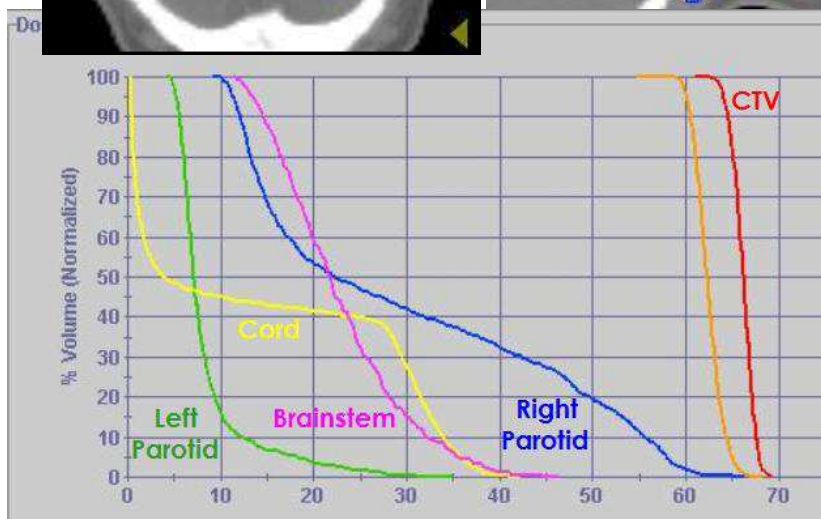
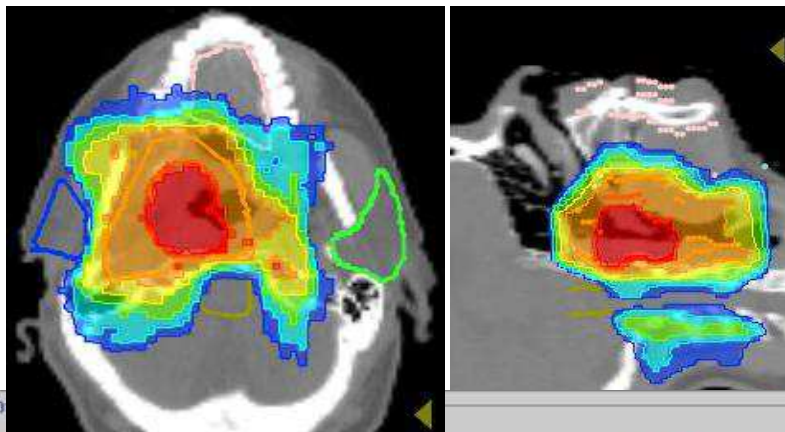
Dedicated IMRT/IGRT devices

# TomoTherapy HI-ART System

85 cm Aperture  
40 cm Image FOV



# HT dose delivery system



6mm binary MLC over a large field (40cm)

No flattening filter

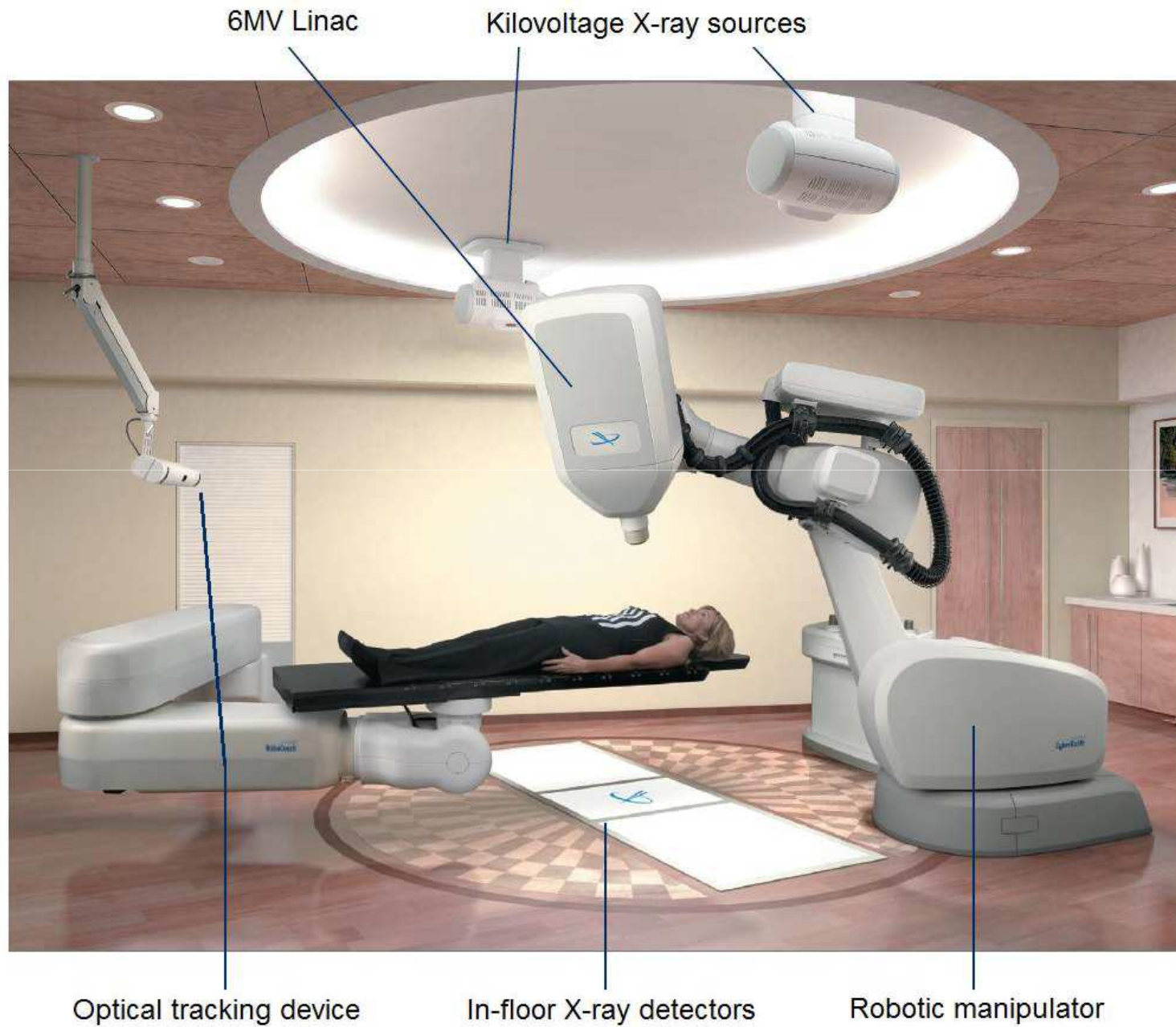
10 cm leaf thickness  
Designed for delivery of IMRT (i.e. low transmission)

Degrees of freedom in planning and delivery:

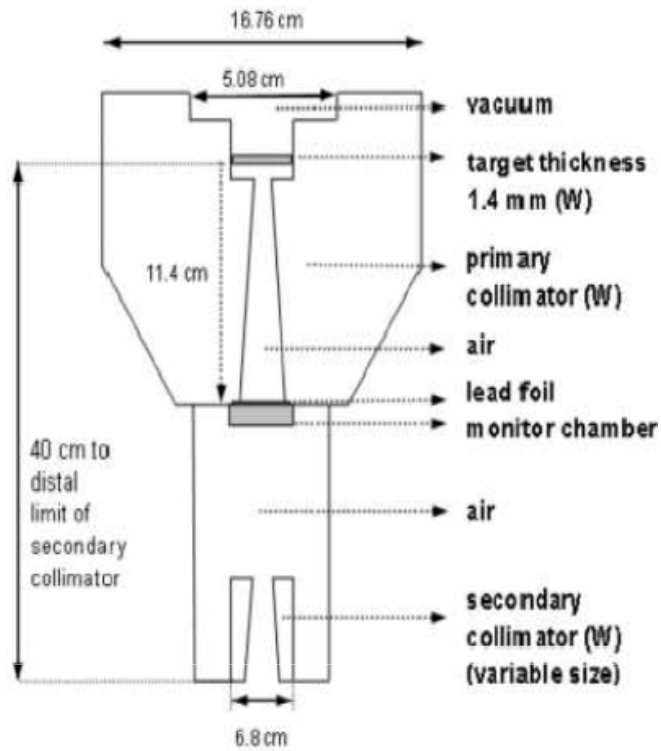
Field width  
Pitch  
Modulation factor



# Cyberknife







## LINAC

About 160 kg

6 MV X-rays

Dose rate up to 800 MU/min

No flattening filter

## Robotic arm

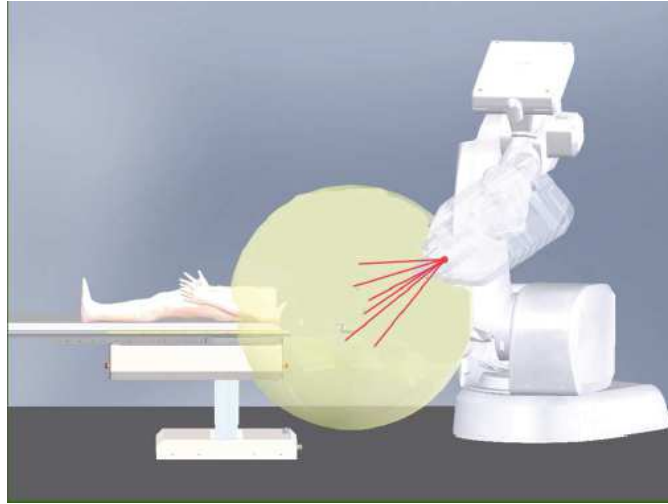
6 degrees of freedom

About 120 positions around the patient

12 beam directions per position →  
1440 possible beam entrances

Declared position accuracy < 0.12 mm



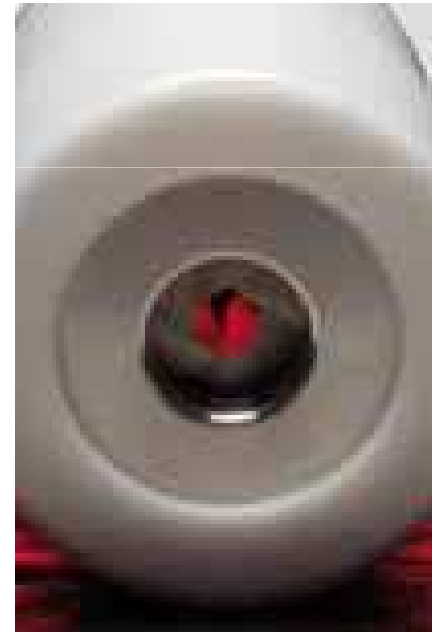


## Collimating systems

12 fixed circular collimators (5 to 60 mm)

IRIS□ – Variable aperture collimator

Its use is currently restricted to a set of 12 sizes corresponding to the sizes of the set of 12 fixed collimators, (5 to 60 mm)



# INCISE<sup>®</sup> – MLC

## INCISE™ MULTILEAF COLLIMATOR SPECIFICATION *As defined by IEC 60976*

<b>Beam Targeting</b>	Non-Isocentric, Non-Coplanar Beam Targeting
<b>Maximum Field Size</b>	Nominal: 120 mm (leaf motion direction) x 100 mm at 800 mm SAD
<b>Number of Leaves</b>	41 leaf pairs
<b>Leaf Thickness</b>	2.5 mm at 800 mm SAD
<b>Leaf Tilt</b>	Leaves tilted 0.5°
<b>Leaf Tip Design</b>	3-Sided
<b>Leaf Height</b>	90 mm
<b>Leaf Material</b>	Tungsten
<b>Distal Plane of Leaves to Linac Source Distance</b>	400 mm
<b>Leaf Positioning Accuracy</b>	0.5 mm at 800 mm SAD
<b>Mechanical Accuracy</b>	0.25 mm
<b>Leaf Positioning Reproducibility</b>	0.4 mm at 800 mm SAD
<b>Mechanical Reproducibility</b>	0.2 mm
<b>Leaf Over-Travel</b>	100%
<b>Leaf Inter-Digitation</b>	Full Leaf Inter-Digitation
<b>Transmission</b> Includes intra-leaf, inter-leaf and leaf tip transmission	<0.3% Average (<0.5% Maximum)
<b>Weight</b>	48 kg (~105 lbs)

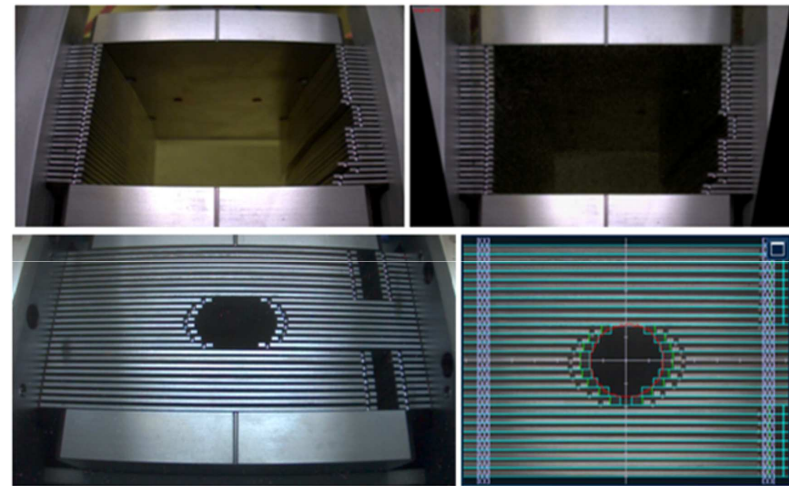


## INCISE □ 2 – MLC

---

Number of leaves	52
Leaf width (at 800 mm SAD)	3.85 mm
Maximum treatment field size (at 800 mm SAD)	115 mm × 100 mm
Leaf height	90 mm
Leaf tip design	Three flat, focussed edges
Leaf side design	Flat, focussed
Interdigitation	Yes, no restrictions
MLC assembly weight	54 kg
Source to collimator distance (lower side of leaves)	400 mm
MLC assembly physical envelope	375 mm tall × 572 mm wide × 295 mm deep
Maximum leaf speed (at 800 mm SAD)	25 mm s <sup>-1</sup>
Maximum leaf over-travel (at 800 mm SAD)	60 mm

---



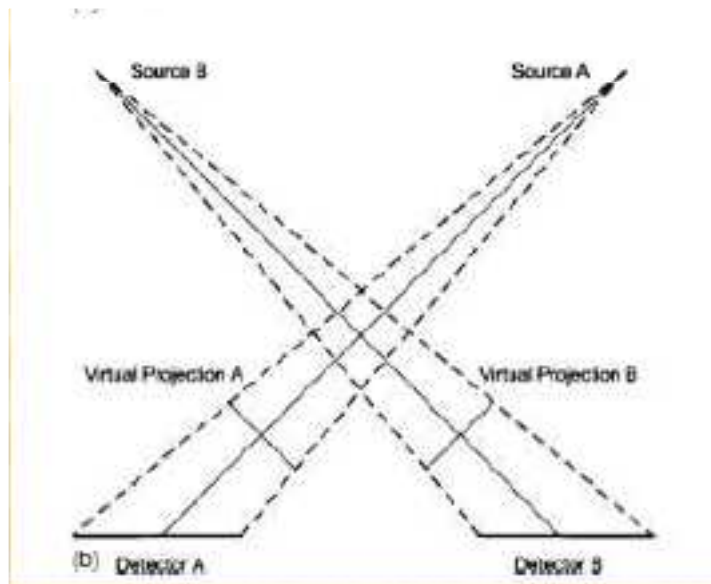
The design and physical characterization of a multileaf collimator for robotic radiosurgery, G. Asmerom et al., Biomed. Phys. Eng. Express 2 (2016) 017003

doi:10.1088/2057-1976/2/1/017003

# Imaging System

kV X-rays sources on the ceiling (100-150 kV)

Two amorphous silicon flat panels (1024x1024) on the floor



# General purpose vs dedicated devices

Advantages of dedicated devices should be weighted vs

Impossibility of decoupling TPS, imaging & delivery system (and Oncology Information Systems?)

- Highly 'integrated' devices designed to work on their own, simple needs (e.g. summing plans) may not have a simple solution

Operational issues

- multiple planning, delivery and imaging systems in the department
- Availability may not be as good as for linac. (What are you going to do in case of treatment failures?)

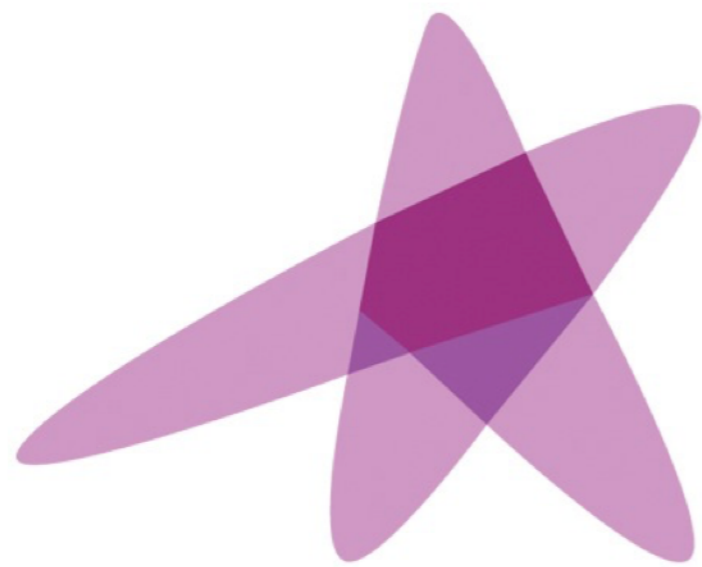
## Conclusions

IMRT delivery systems did significantly develop in the past 10+ years.

Users have multiple (reasonable and reliable) solutions available.

Abundance of options may be a problem if it's not combined with a clear understanding of why a given machine/performance is useful (or needed).

Be careful not to get lost in the supermarket of RT hardware.



**ESTRO**

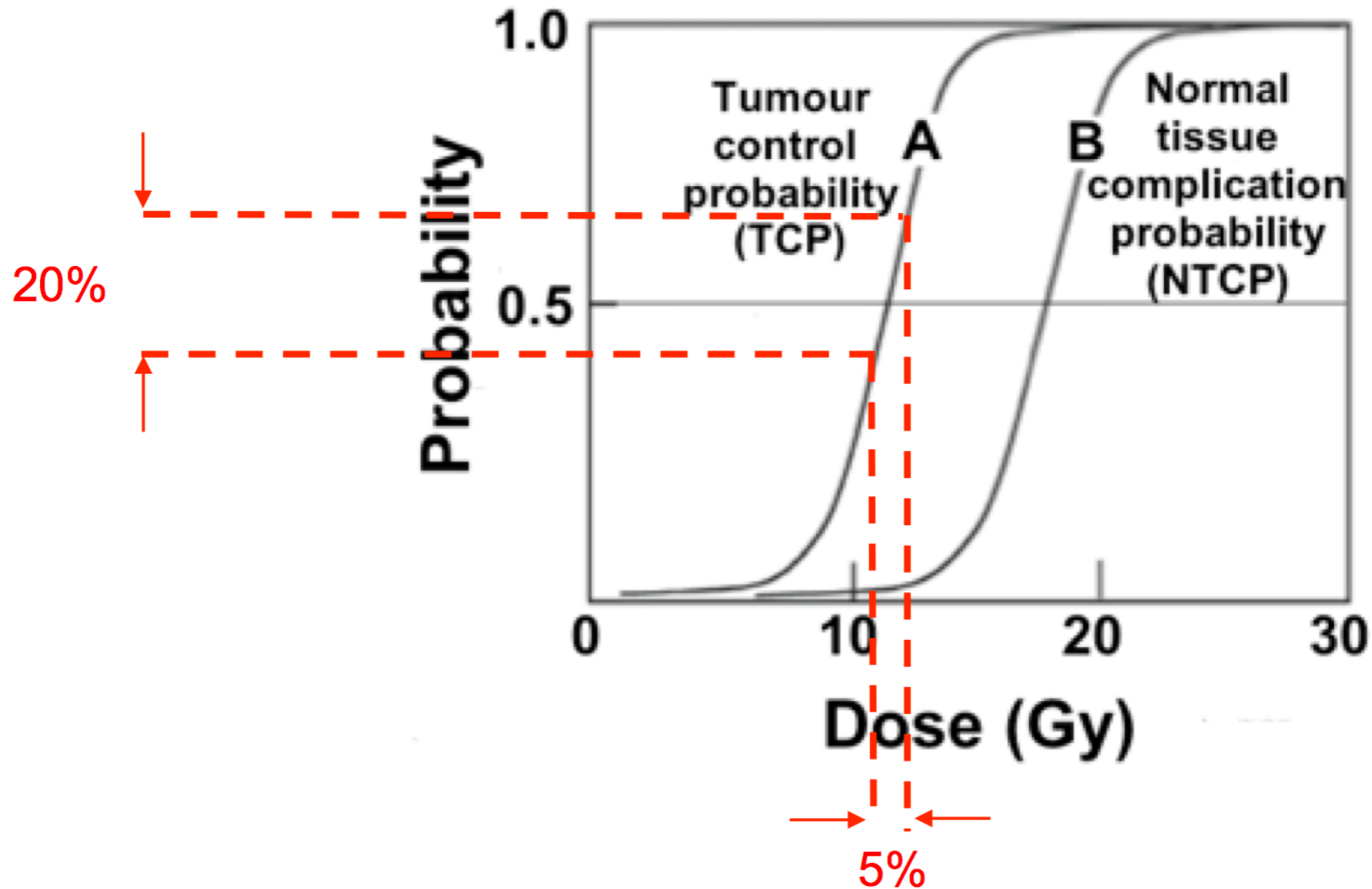
*School*



# Dosimetry issues in IMRT

Koen Tournel - Physicist  
Radiotherapy Department UZ Brussel

# The Big Picture



A 5% dose error can lead to a 20% difference in NTCP/TCP

# Back to basics...

Table 3  
Dose intercomparison under reference conditions: X-ray beams

Study	Year	Visiting team protocol	<i>n</i>		M	SD	$\Delta$
Scandinavia [6]	1982	NACP	50	PAT <sup>a</sup>	1.017	0.023	0.100
Europe [4]	1986	NACP	16	PAT	1.024	0.033	0.140
			16	COR <sup>b</sup>	1.013	0.022	0.090
The Netherlands [13]	1987	NCS	40	PAT	1.008	0.020	0.100
USA [1]	1991	AAPM	740	COR	1.008	0.019	0.140
UK [11]	1992	HPA	100	PAT	1.003	0.015	0.100
Belgium (this work)	1992	NCS	21	PAT	1.006	0.023	0.080
			21	COR	1.011	0.014	0.055

<sup>a</sup>PAT, 'Patient' value (see text).

<sup>b</sup>COR, 'Corrected' value (see text).

- Phew, this seems to be OK...
- IMRT 2.0, however, compares to this as driving a FI car to talking a walk

# What's the baseline?

There has been a marked improvement in radiation dosimetry over the past three decades, and the RPC has been monitoring it through its auditing tools. Between 1970 and 1980, the compliance rate ( $\pm 3\%$ ) for beam calibration increased from approximately 70% to 90%. Improvement since then has been gradual, with **compliance now near 98%**, for both photons and electron beam calibrations.

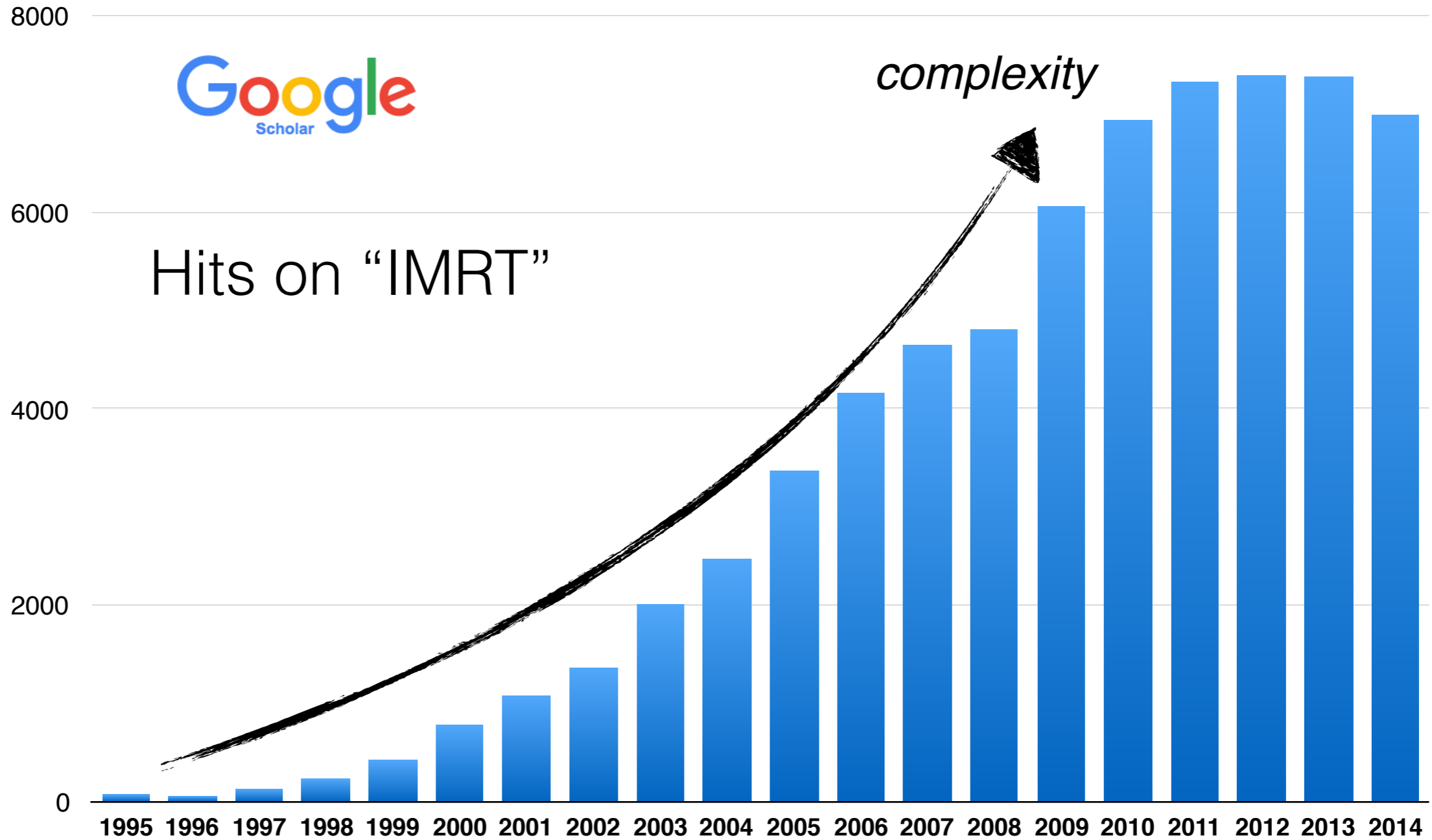
So we're doing well...on the basic dosimetry front that is...

But what about IMRT?



Hits on "IMRT"

*complexity*



Film, IC, TLD

Arrays, dose rec.

# IMRT

- Increasing complexity
- Sold as “plug and play” by vendors
- Clinical implementation under pressure of medical -and especially- management staff
- Clinical implementation not that straightforward : In the US 30% failed to deliver IMRT

With the **complexity of therapy increasing**, discrepancies in other components of the treatment are more prevalent. Over the past four years, at approximately **45%** of the institutions reviewed by an on-site review, the RPC found at least one clinical situation where patients could be at risk to receive a dose more than **5% different** from that intended.

What do audits tell us?



# RPC phantom results

Site	Institutions	Irradiations	Tolerance	Pass rate
H&N	472	631	7%/4mm	75
Pelvic	108	130	7%/4mm	82
Lung	67	77	5%/5mm	71
Liver	15	18	7%/4mm	50

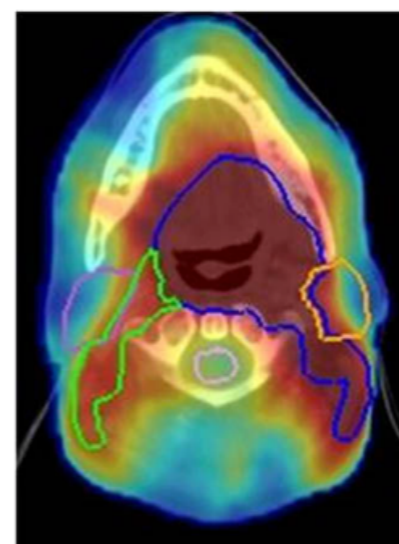
## Dosimetry audit for a multi-centre IMRT head and neck trial

Catharine H. Clark<sup>a,c,\*</sup>, Vibeke Nordmark Hansen<sup>c</sup>, Hannah Chantler<sup>d</sup>, Craig Edwards<sup>e</sup>, Hayley V. James<sup>f</sup>, Gareth Webster<sup>g</sup>, Elizabeth A. Miles<sup>b</sup>, M. Teresa Guerrero Urbano<sup>b</sup>, Shree A. Bhide<sup>b</sup>, A. Margaret Bidmead<sup>a</sup>, Christopher M. Nutting<sup>\*b</sup>, On behalf of the PARSPORT Trial Management Group<sup>1</sup>

Centre	Treatment planning system	Linac	Delivery technique	Dose point detector	Phantom for dose point and dose distribution*	Dose distribution verification	Plane for dose distribution
1	Eclipse 6.1.67	Varian 2100C	Dynamic	0.015 cc IC	Cylindrical PX (25d × 25l) Cuboidal SW (30 × 30 × 15)	EDR2	2 Coronal
2	Pinnacle 6.2b	Elekta SL series	Step and shoot	0.6 cc IC	Cuboidal SW (30 × 30 × 20)	EDR2/GC	2 Coronal
3	Eclipse 7.1.67	Varian 2300EX	Dynamic	0.015 cc IC	Cuboidal PX (15 × 15 × 10) Cuboidal SW (40 × 40 × 15)	XV or Diode array	Each field
4	Pinnacle 6.2	Elekta Precise	Step and shoot	0.125 cc IC	H&N PX (30x15x15or45) Cuboidal SW (15 × 15 × 10)	EDR2/GC	1 coronal
5	Xio 4.2	Siemens Primus, Oncor	Step and shoot	0.125 cc IC	In house SW (24 × 29 × 20) Cubic SW (20 × 20 × 20)	EDR2	Each field & 1 coronal
6	Eclipse 6.5	Varian 2100CD	Dynamic	IC array	IC array (40 × 40 × 5)	IC array	Each field

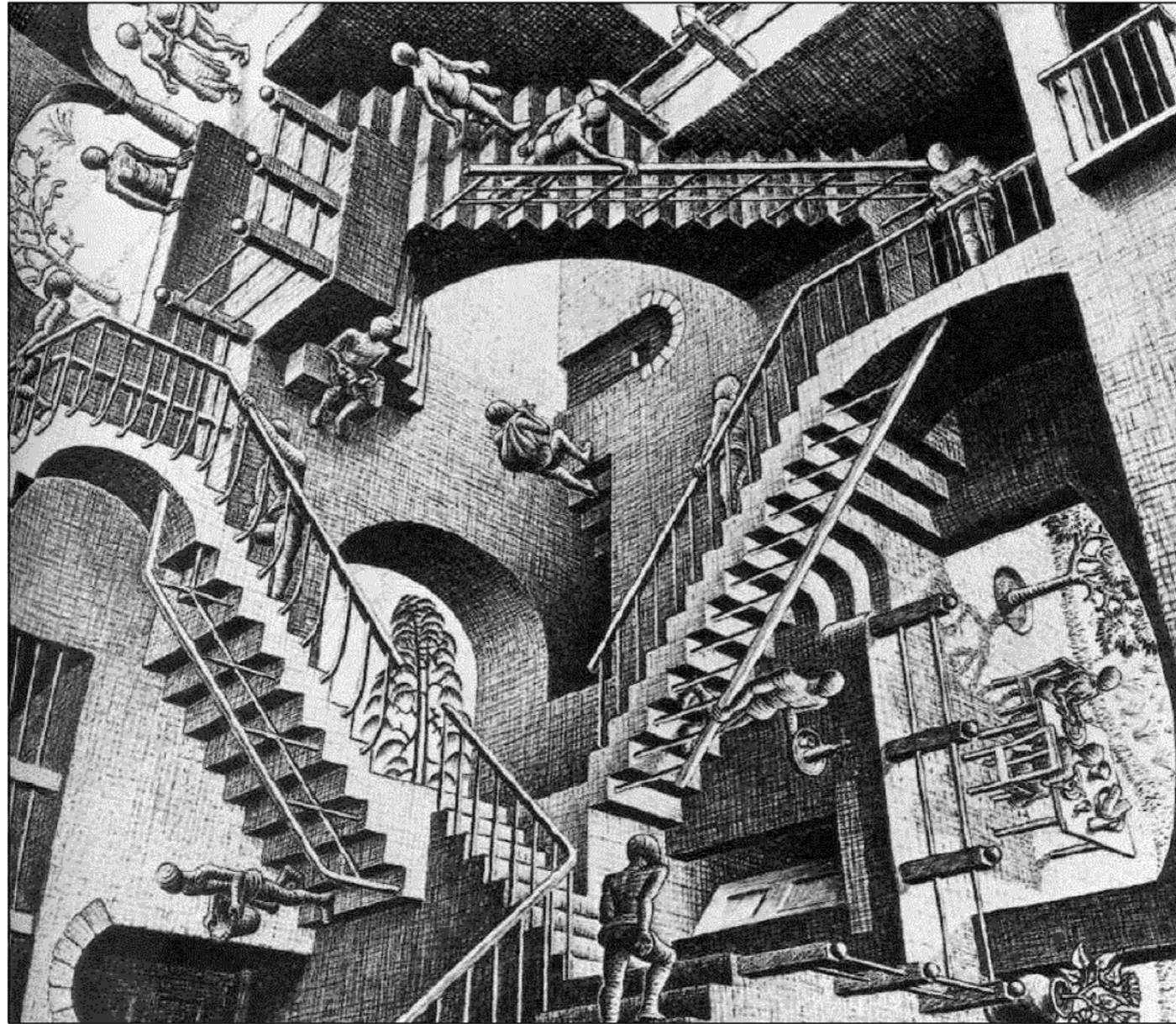
Results from the individual and combined field film tests. (Thresholds applied are 10% for individual beams and 20% for combined beams.)

Gamma parameters%/mm	2/2	3/2	3/3	4/3	4/4	5/3	5/4	5/5
% Individual films passing (/31)	48% (15)	84% (26)	94% (29)	100% (31)	100% (31)	-	-	-
Mean	90.9	95.0	97.7	98.7	99.4	-	-	-
% Combined films passing (/12)	-	-	67% (8)	75% (9)	83% (10)	92% (11)	92% (11)	100% (12)
Mean	-	-	94.9	97.4	98.2	98.7	99.1	99.4

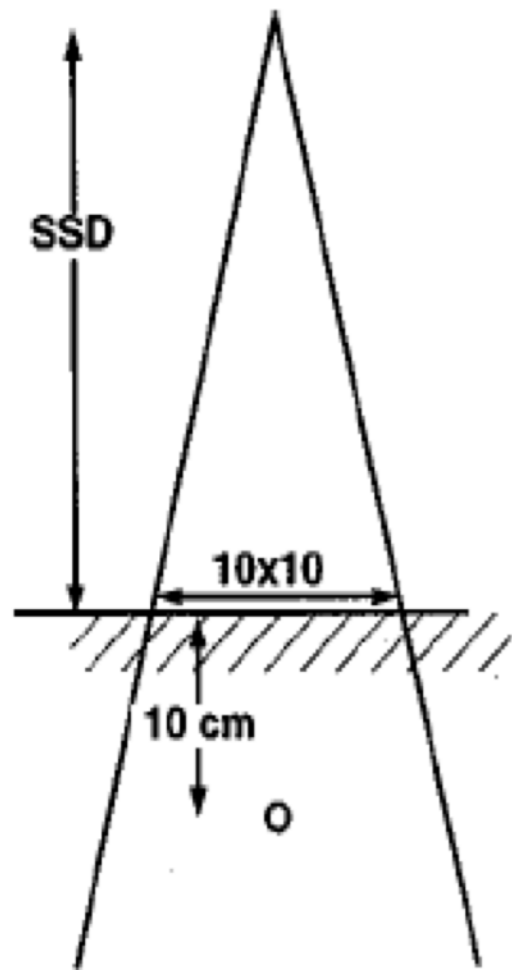


# Dose Measurement

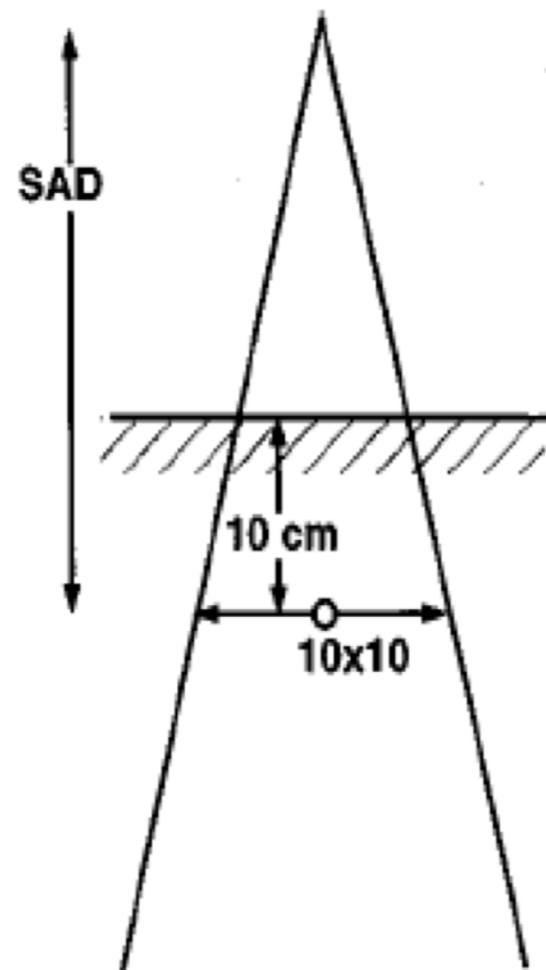
→ Let's start with the concept of “**Absolute Dose**” in IMRT



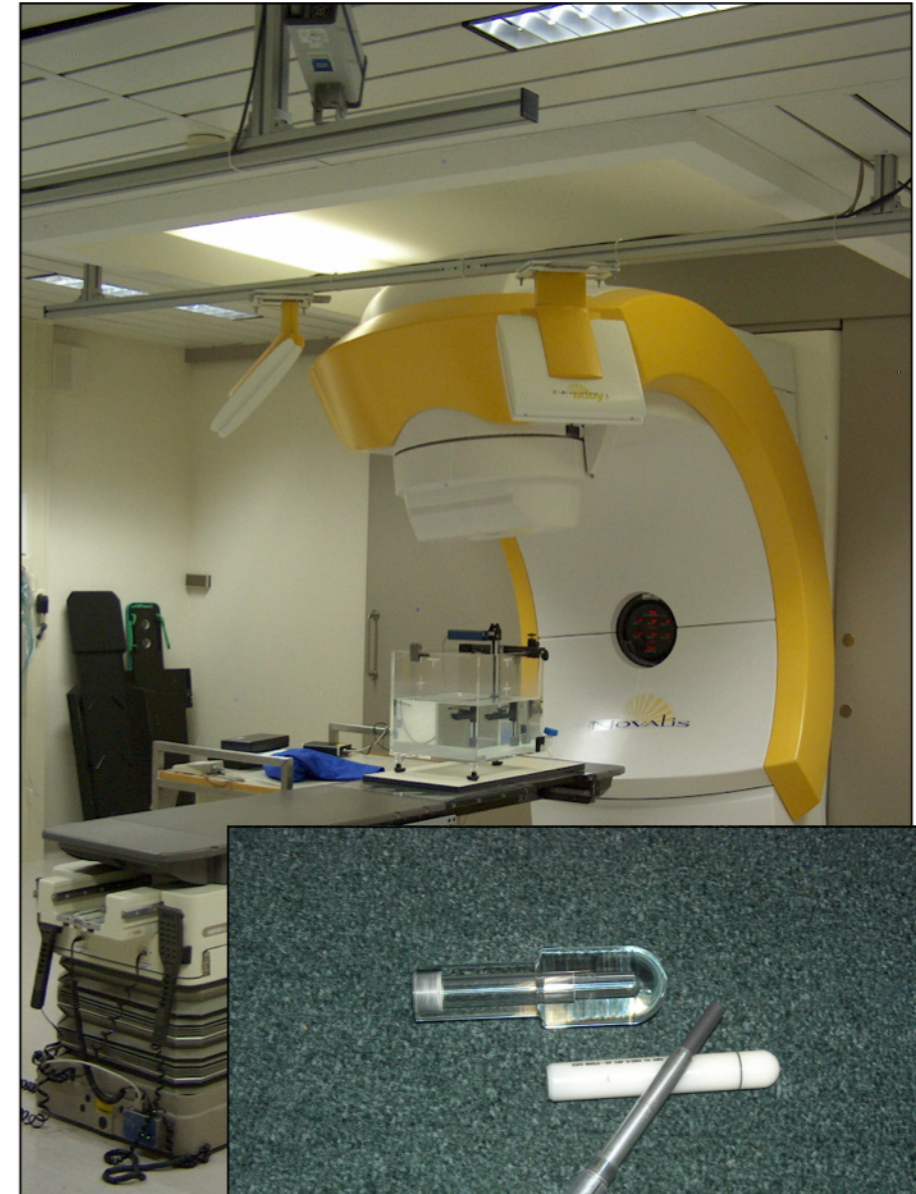
# “Reference conditions”



SSD Setup

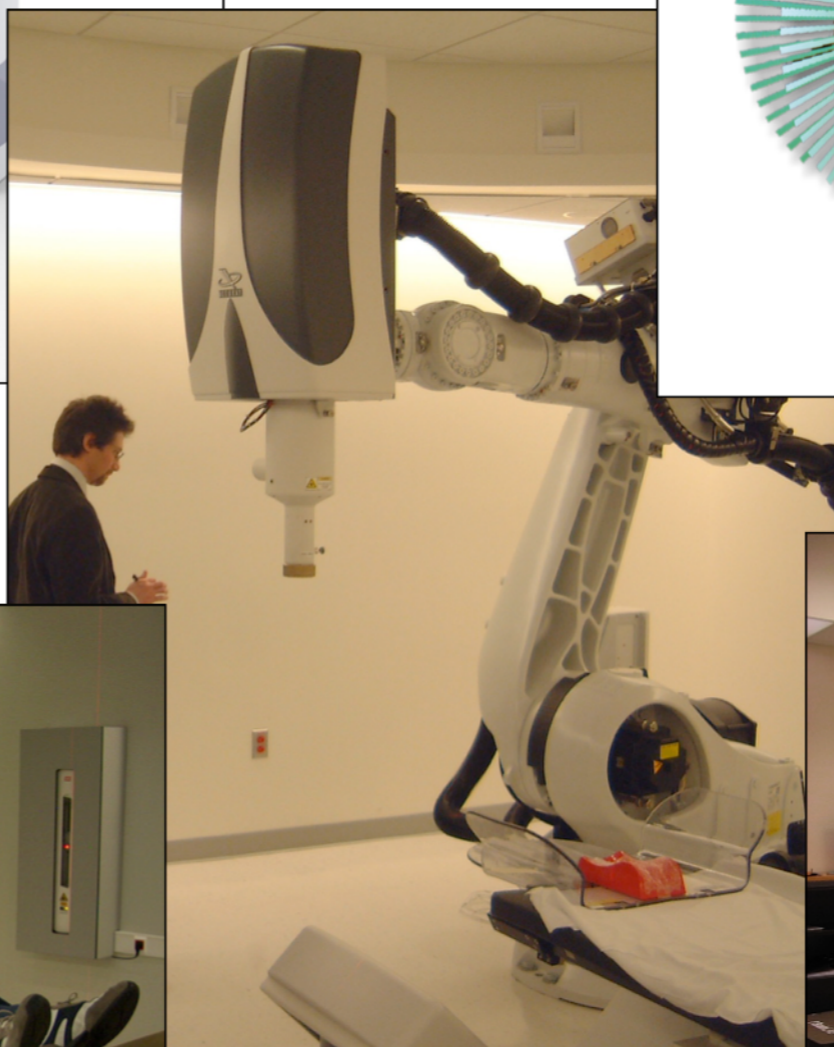
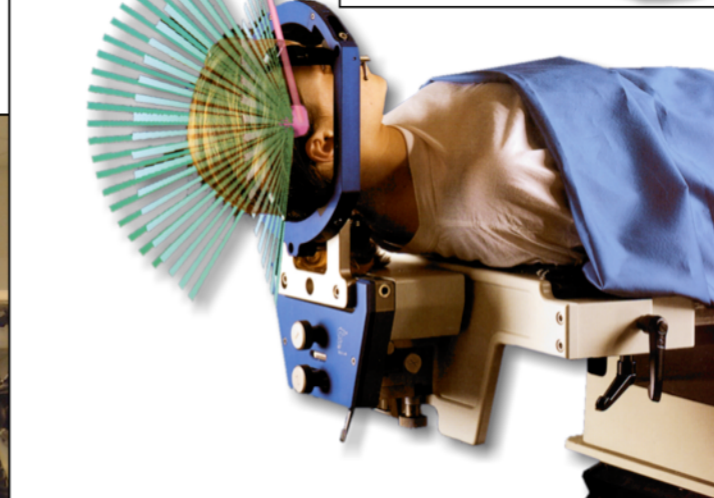
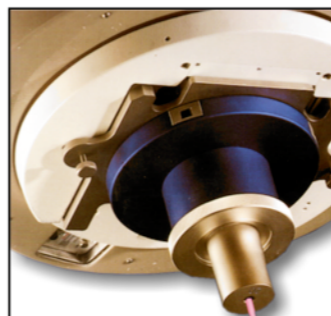
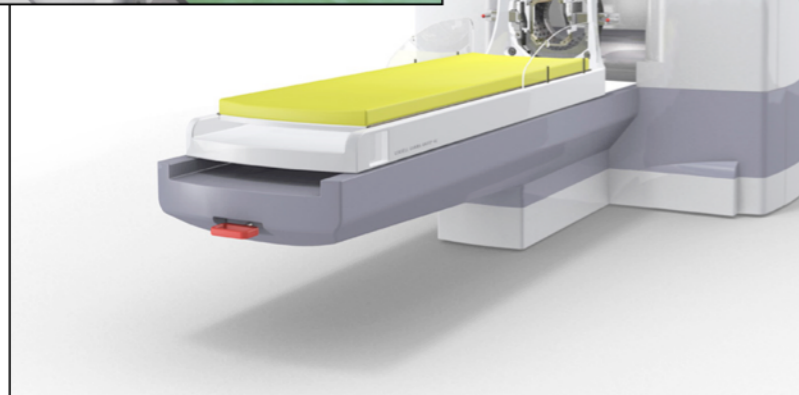


SAD Setup



$$D_w^Q = M k_Q N_{D,w}^{60Co} (Gy)$$

# Non-reference Conditions



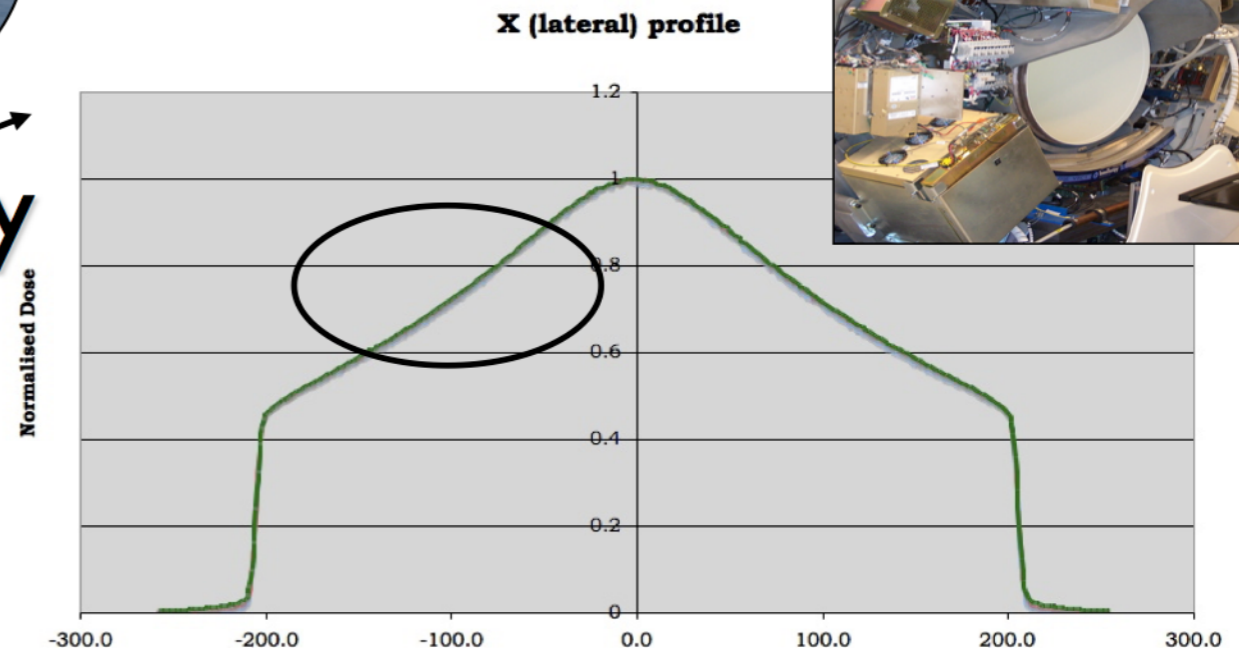
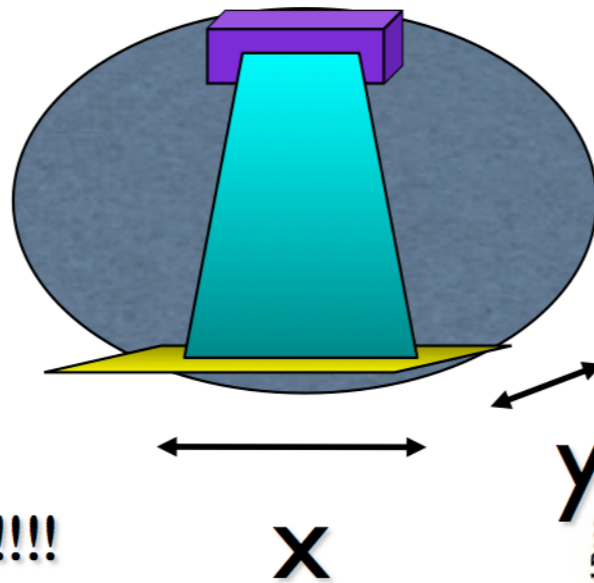
# Non-reference conditions

- Sudden fashion of using “flattening free” beams (tomotherapy, Varian, CK)
- High dose rate to speed up dose delivery (compensating for motion, delivering hypofractionation in conventional time slots)
  - Why bother with flattening filters as we are going to use IMRT anyway (e.g. tomotherapy), moreover it makes beam modeling easier as the scatter component is reduced

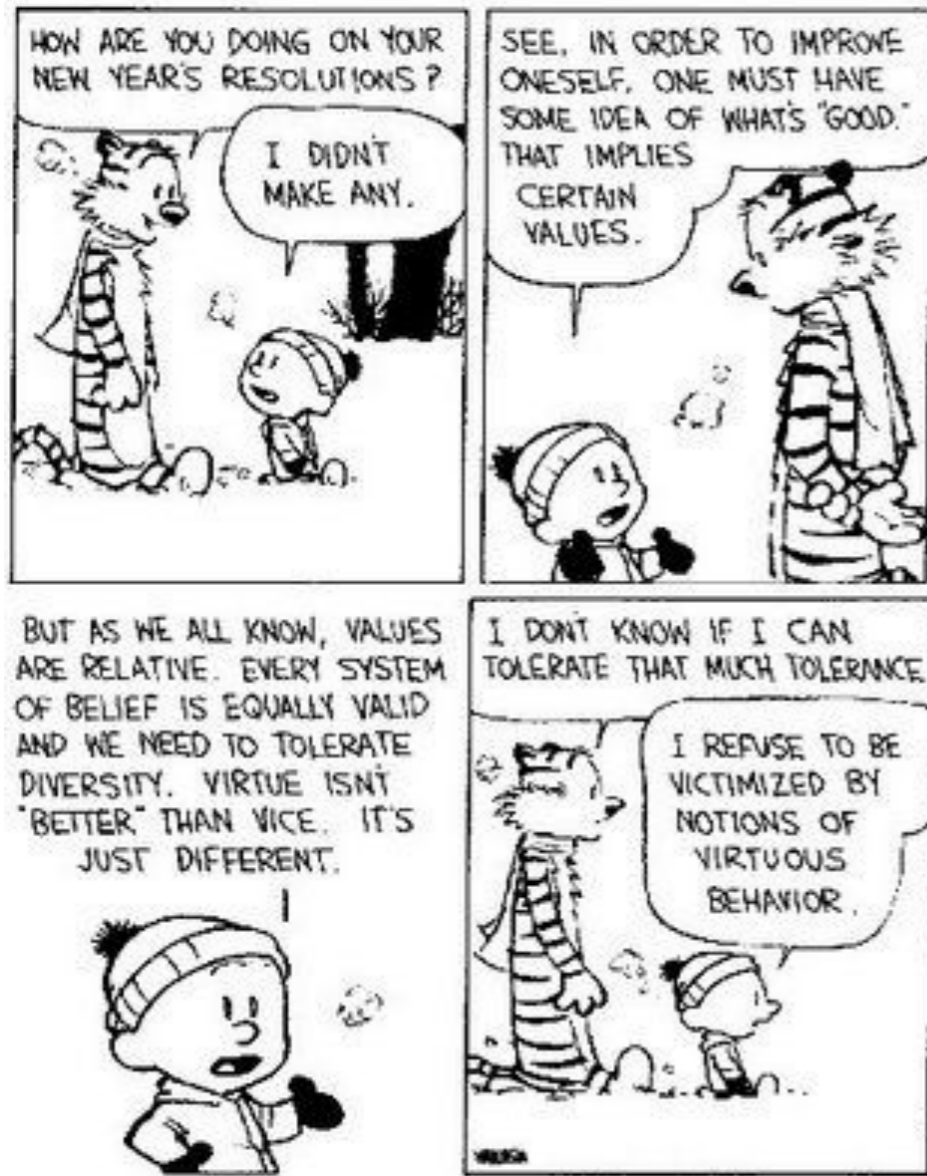
“40cm” x (1.0,2.5,5.0)cm

SSD 85cm

NO FLATTENING FILTER!!!!



# So, what is exactly the problem?



“A relativity problem”

Conversion from ionisation to absorbed dose to water based on cavity theory and using the currently available perturbation factors used in dosimetry protocols is **not accurate**

“Traceability”



# So, what is exactly the problem?

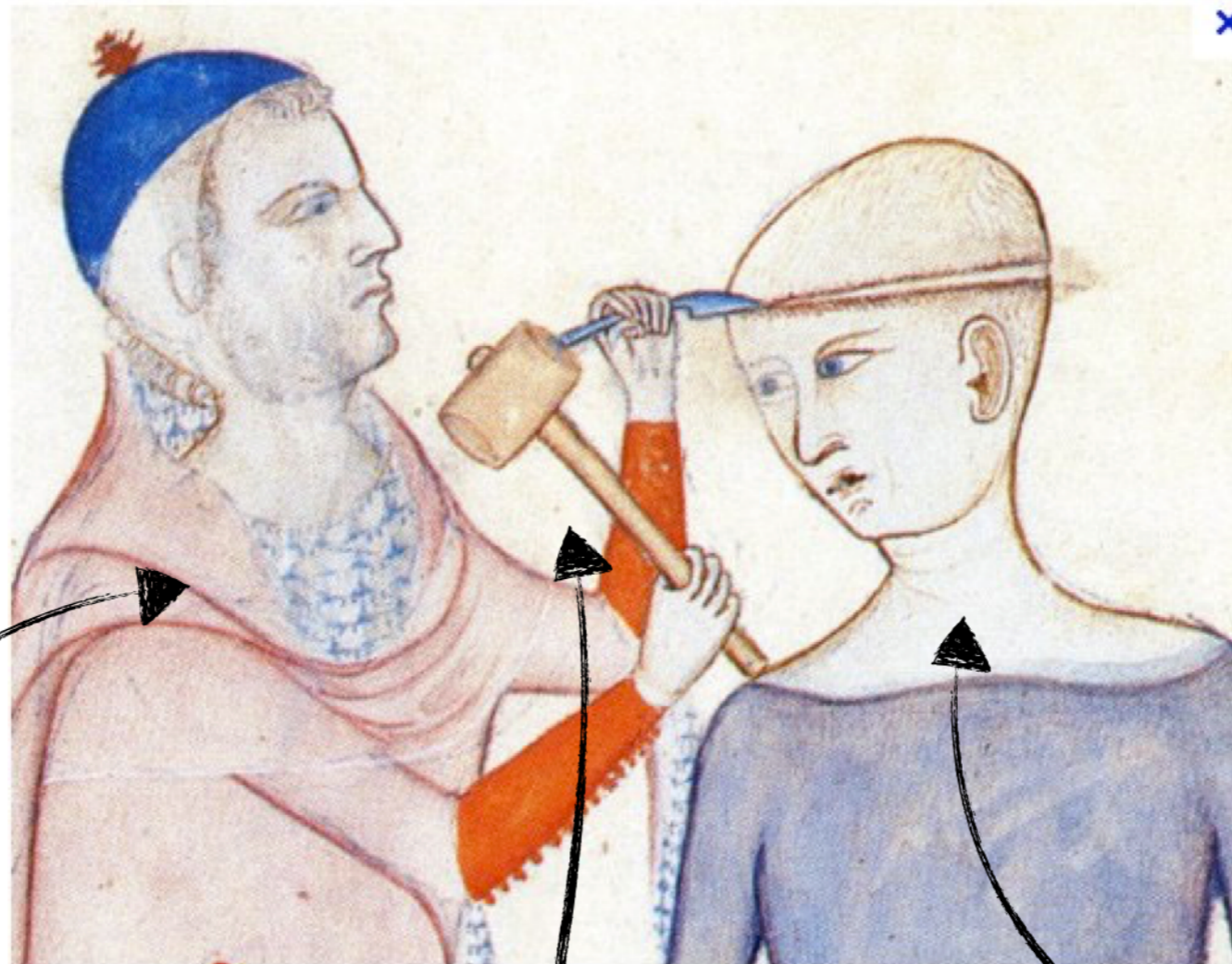
- Codes of Practice (CoP) in clinical dosimetry are undermined by the new developments in treatment delivery
  - Users have to decide by themselves how to perform clinical dosimetry. (*a highly uncomfortable situation*)
- Ionisation Chambers (IC) have traditionally been the “backbone” of radiotherapy dosimetry
  - However, they are not suitable/designed for many situations
    - High dose gradients
    - Time-dose variance
    - Non-uniform beams

# So what exactly is the problem?

It is **not possible** to establish reference conditions on some machines

IMRT treatments for “normal” size targets are “**composites**” of fields in which “normal” CoPs do not apply.

So what exactly is the problem?



Physicist

CoP

Poor  
IMRT-Treatment

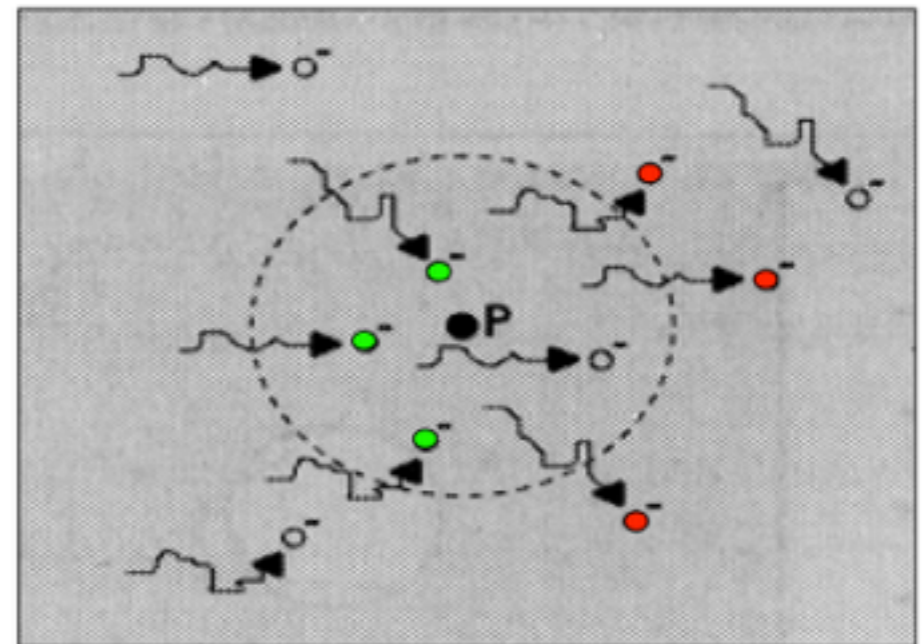
# Let's dig a bit deeper....

- IMRT Treatments are composite treatments of several small field segments\*
- We need careful characterisations of these segments
- And that's where small field dosimetry comes into the picture

\*Let's assume that neither gantry or collimator is rotating, it's hard enough as it is...

# What is a small field?

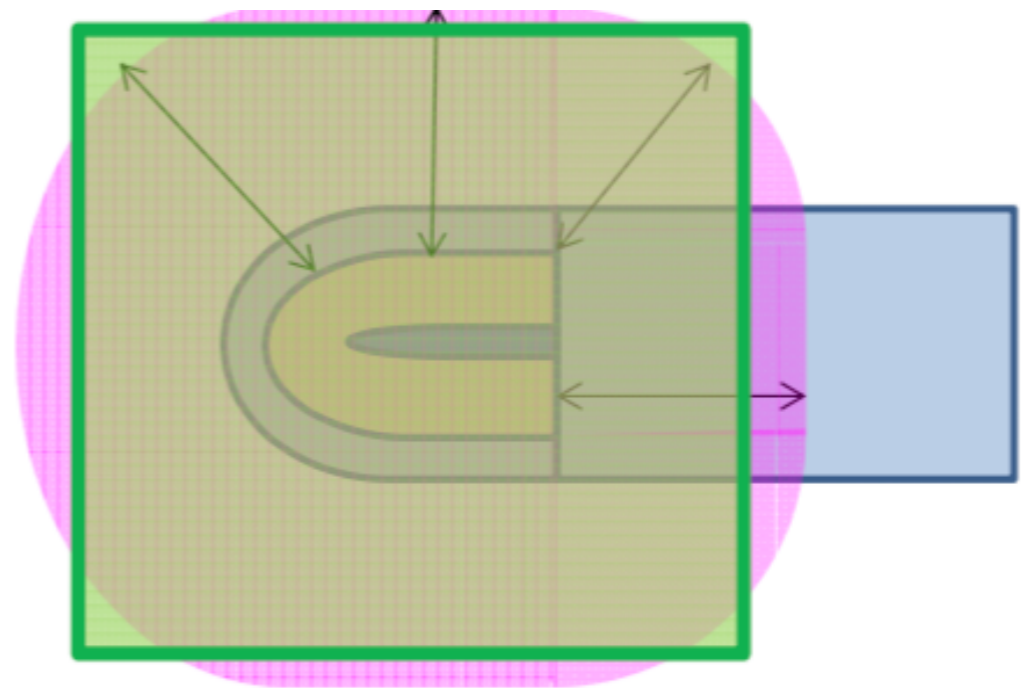
1. Loss of lateral equilibrium
2. Source Occlusion
3. Detector Size



# What is a small field? (1)

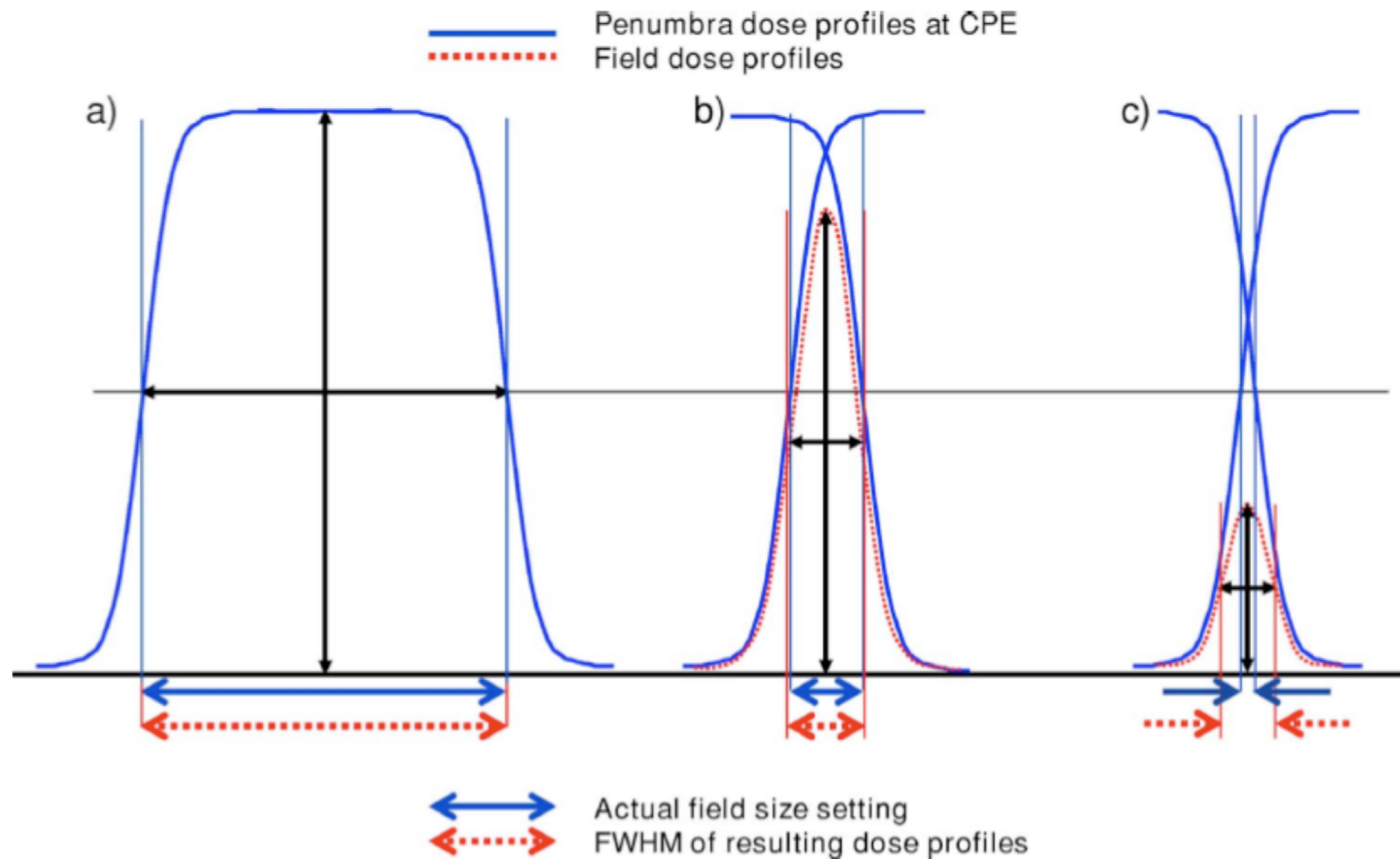
“Small field conditions” exist when one of the edges of the sensitive volume of the **detector** is less than the lateral charged particle equilibrium range away from the edge of the field

$$r_{\text{LCPE}} \text{ (in cm)} = 5.973 \cdot \text{TPR}_{20,10} - 2.688$$



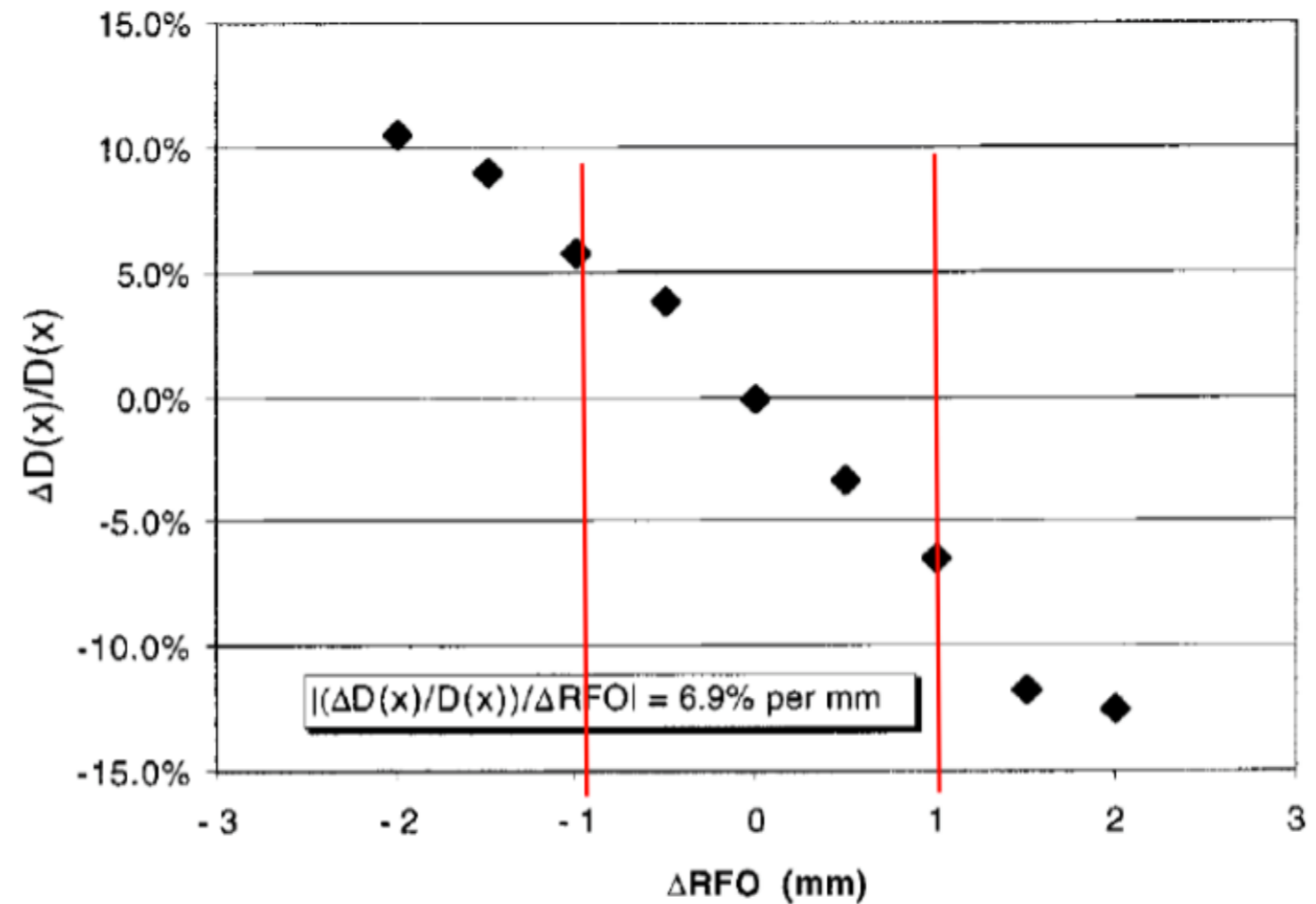
# “Small field”

“Beam Broadening Effect”  
field size definition?



FS of same order or smaller as charged particle diffusion distance: (b) and (c).

# Field size def. vs. machine precision



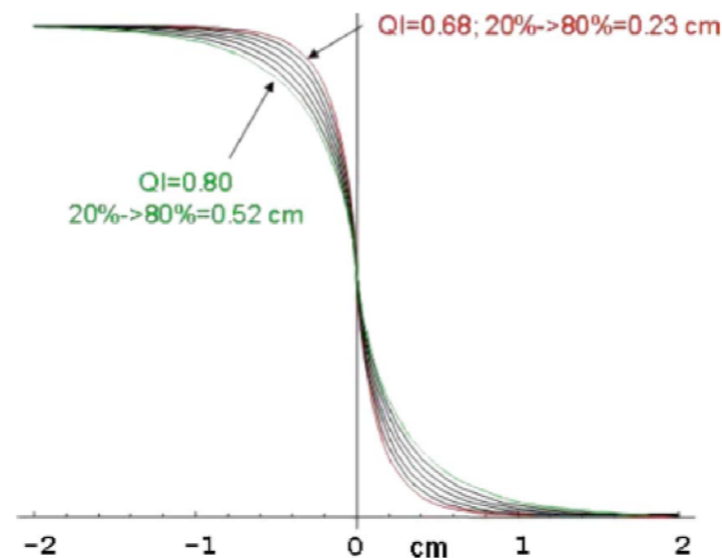
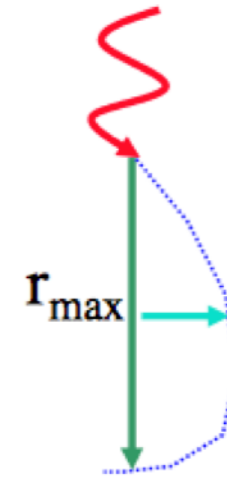
RFO: Radiation and light field offset at SAD for an MLC [mm]

Kung and Chen (2000), Med Phys 27(7): 1617-22



# Electron range for CPE

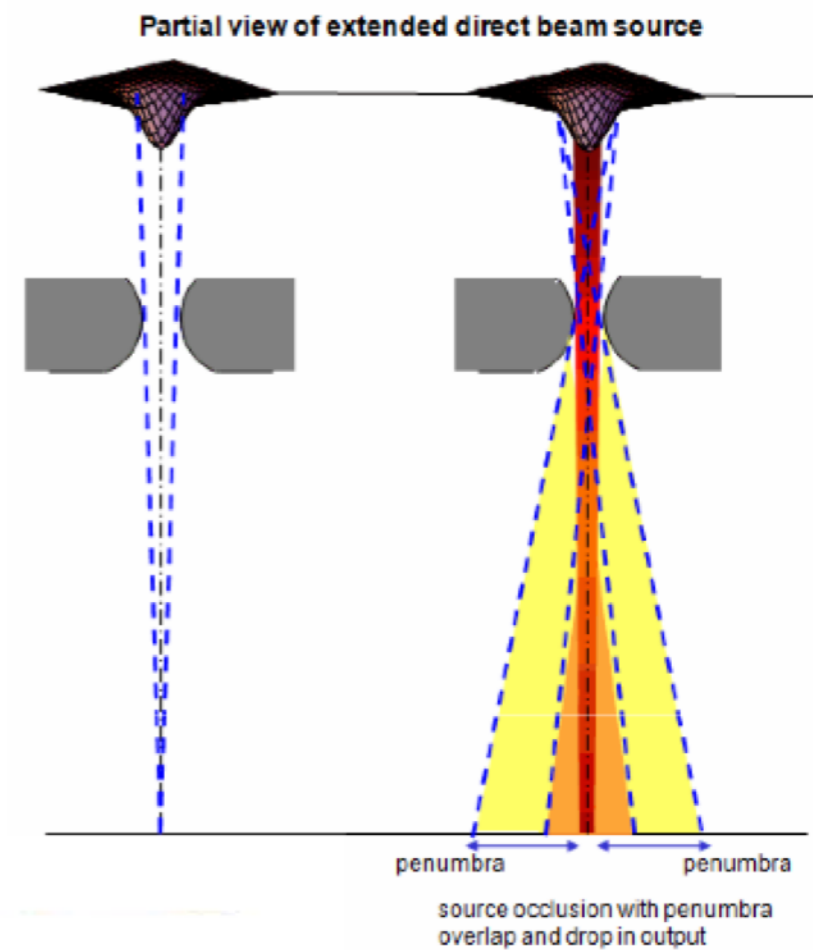
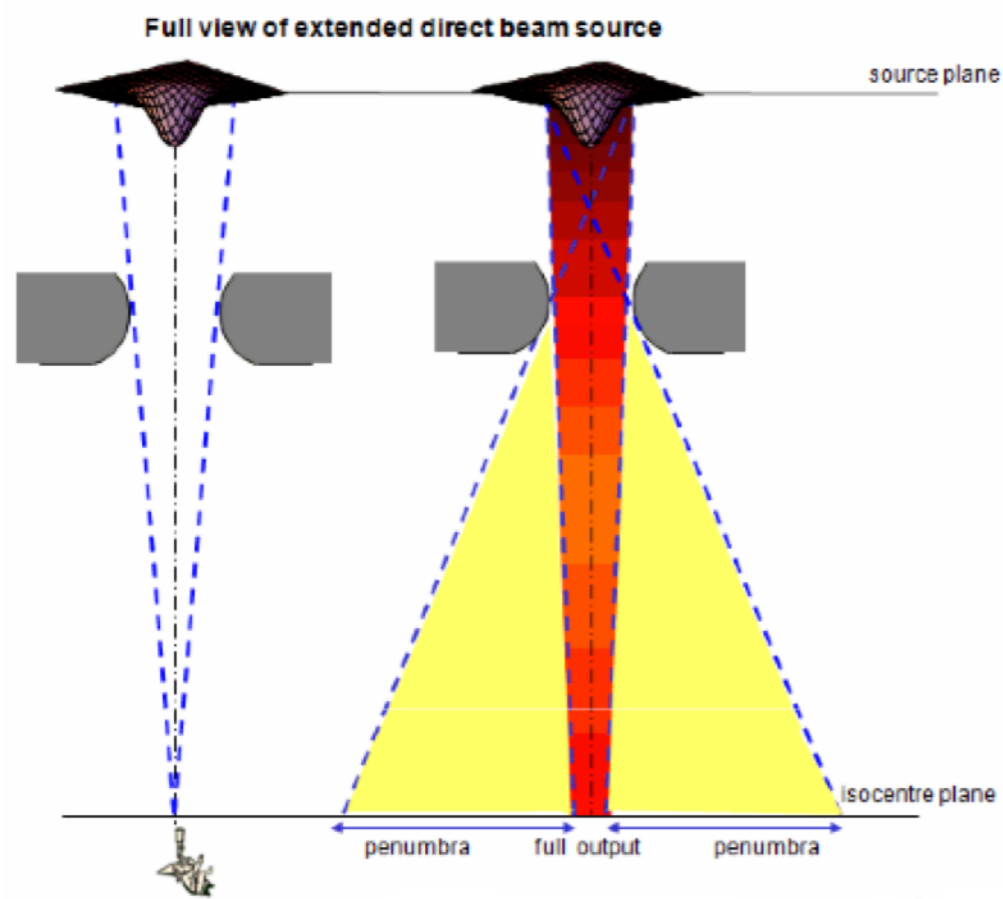
- Forward range :  $d_{max}$
- lateral range (ideal spot sizes)
  - equal to penumbra, depends on energy



- For decreasing field sizes the lateral scatter influences the dose in the center of the field
- Field size needed for CPE depends on lateral range

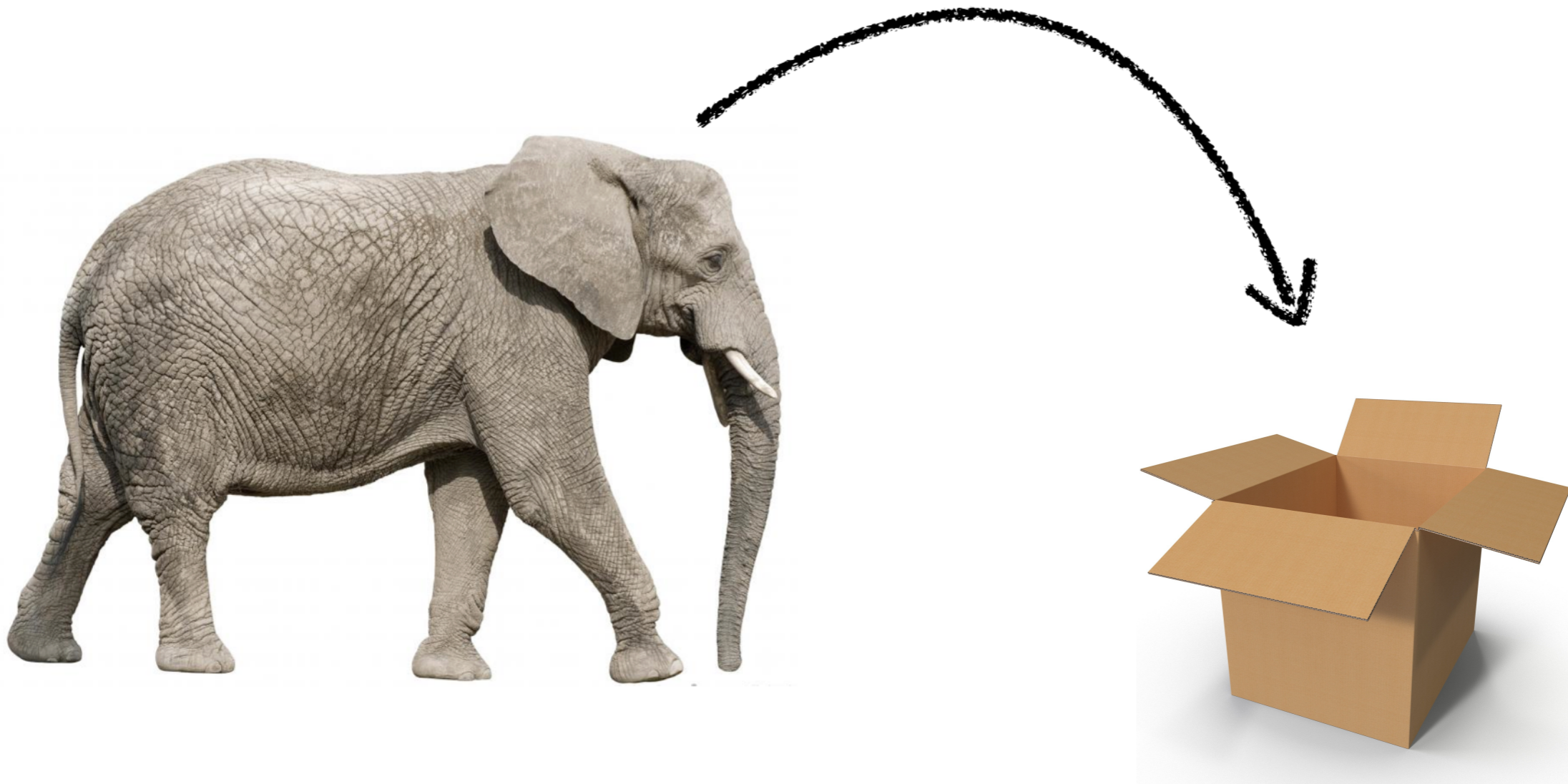
# Small Field (2)

“Source Occlusion”

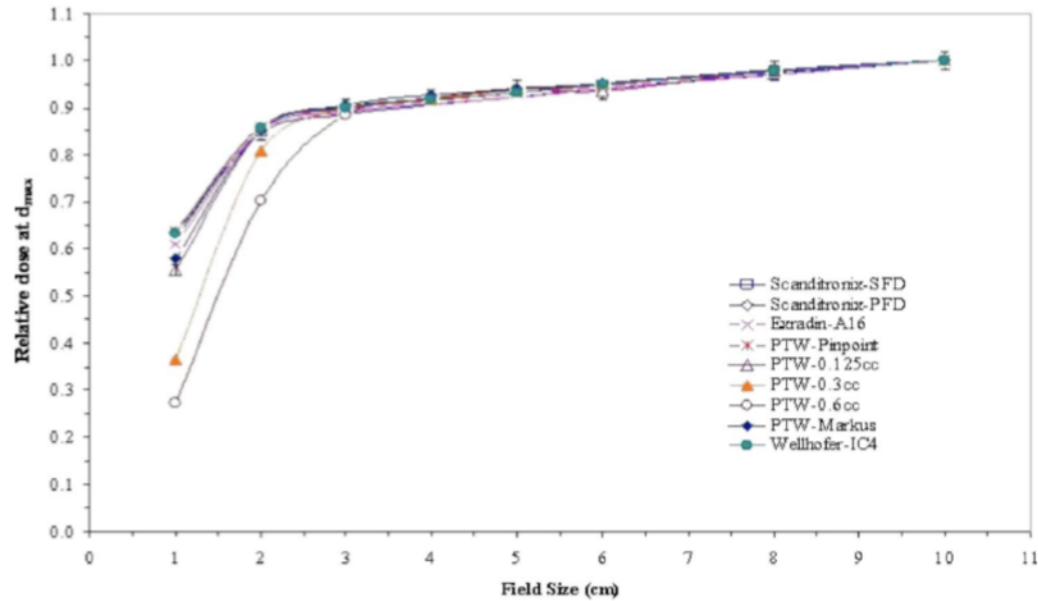
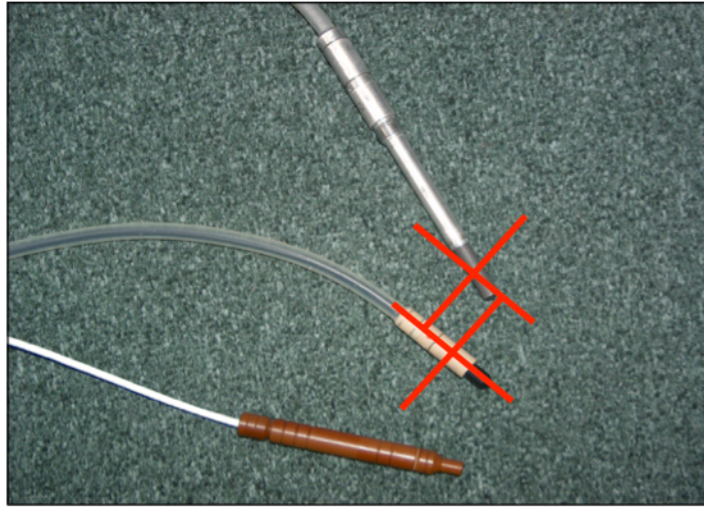


Entire Beam source not visible

# How to measure dose in small fields?



# Use the correct chamber?



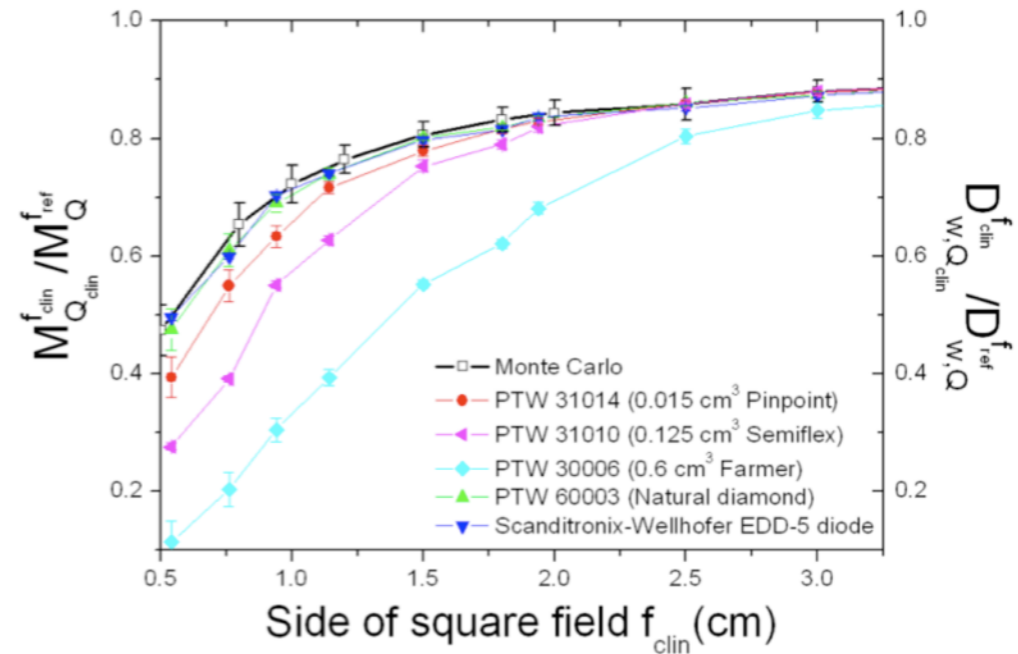
## Availability of detectors:

- **Standard chamber** ( $\approx 10^{-1} \text{ cm}^3$ ): the active volume of a standard Farmer-type IC is on average  $0.6 \text{ cm}^3$ .
- **Mini chamber** ( $\approx 10^{-2} \text{ cm}^3$ ): on average  $0.05 \text{ cm}^3$ .
- **Micro chamber** ( $\approx 10^{-3} \text{ cm}^3$ ): on average  $0.007 \text{ cm}^3$  ideally suited for high gradient dose regions and for small field dosimetry.



Each detector has limitations that need to be addressed!!!!

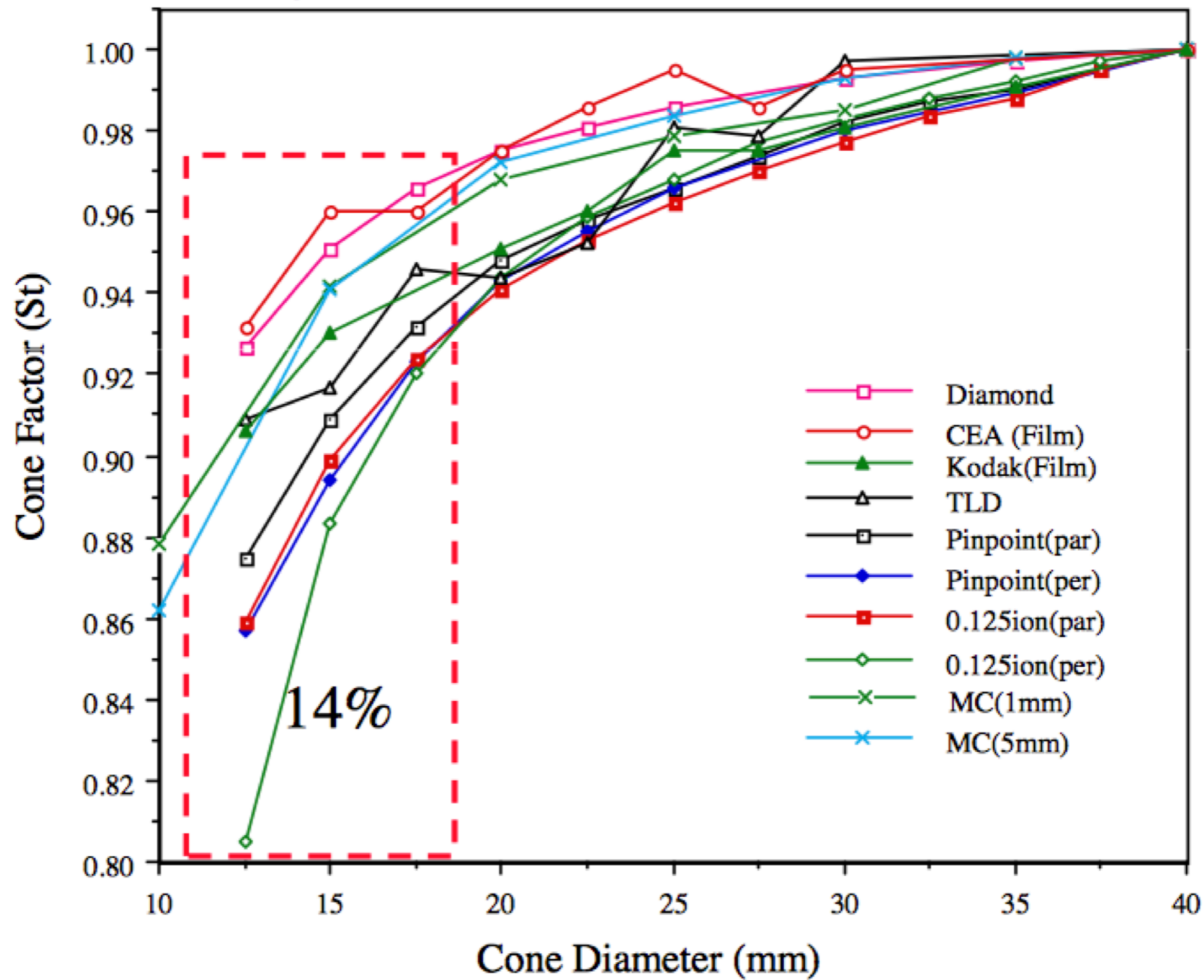
Das IJ, *et al.*, Med Phys, 2008



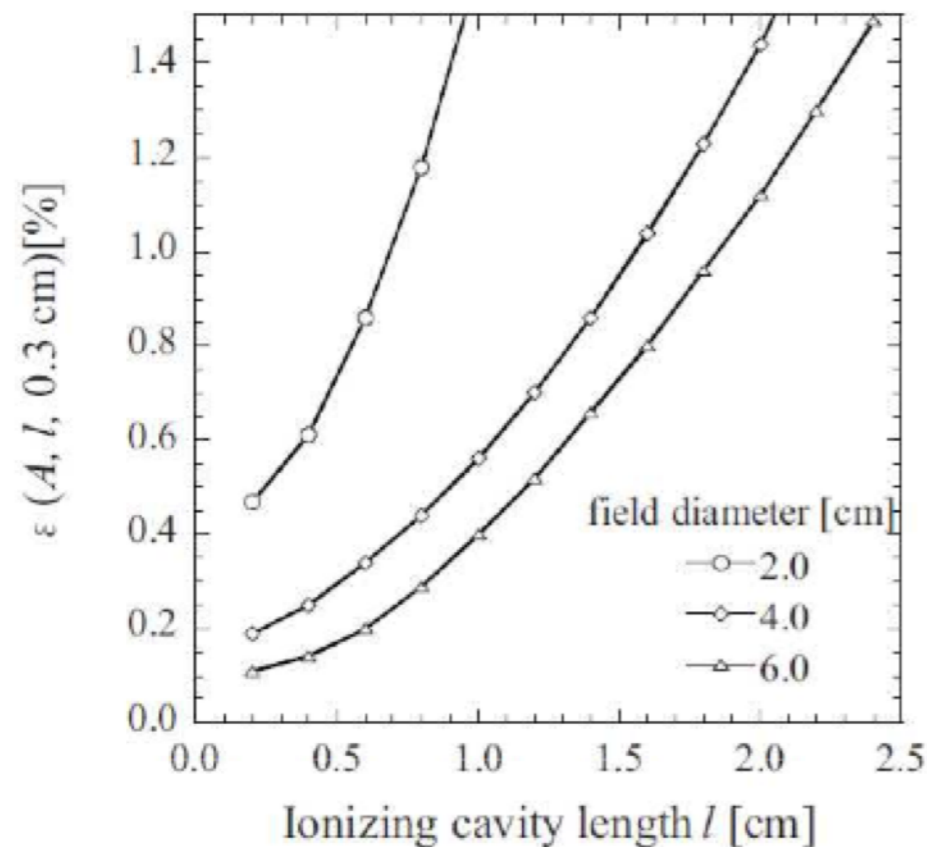
Sanchez-Doblado F. *et al.*, 2007

# SRS dosimetry

Total scatter factor with various detectors



# Chamber size : volume effect in FFF beams



$$\varepsilon(A, l, r) = \frac{100 \int_{-l/2}^{l/2} \int_{-r}^r |\text{OAR}(A, x, y) - 1| dx dy}{\int_{-l/2}^{l/2} \int_{-r}^r dr dy}$$

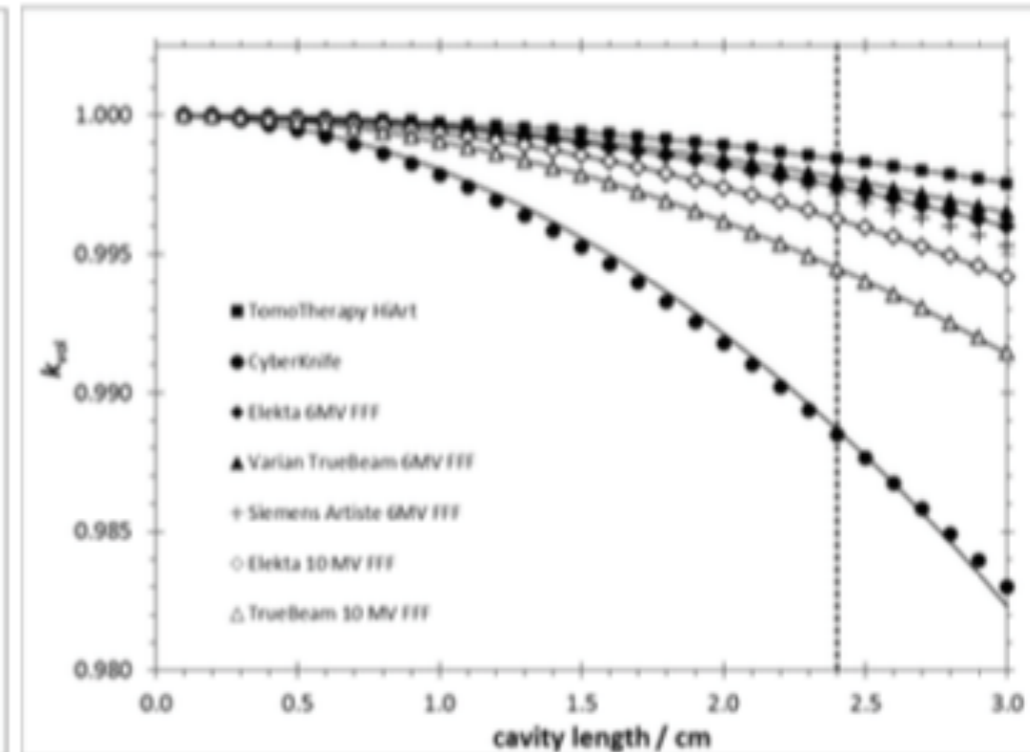
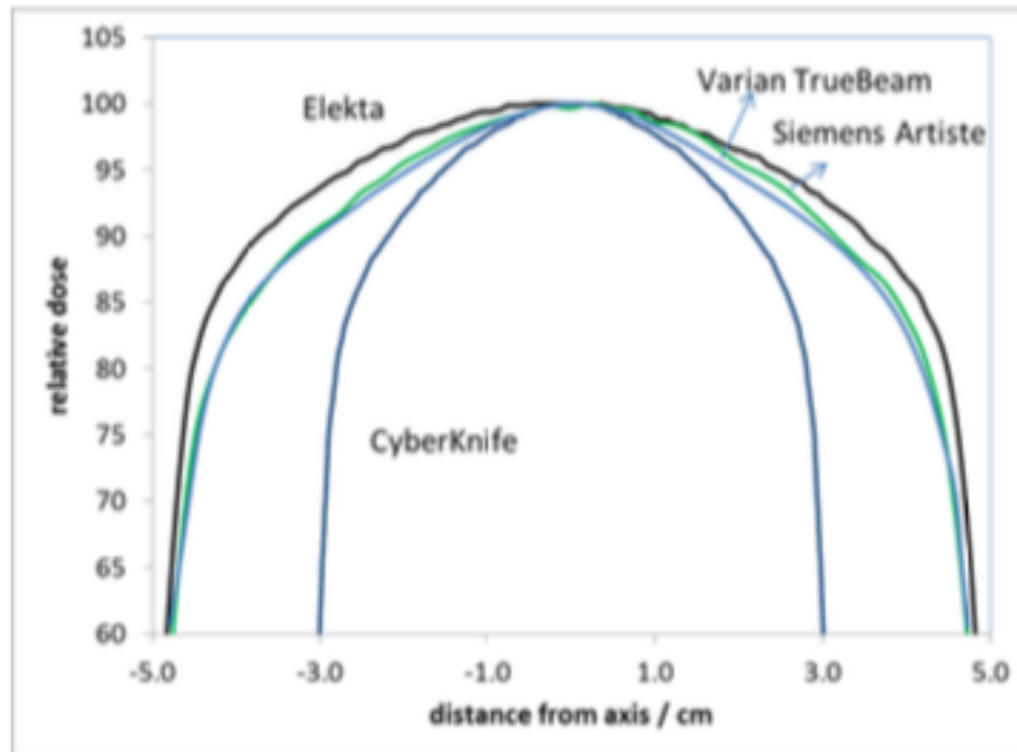
OAR(x,y) is the off axis distribution of field A in orthogonal directions x and y

FIG. 2. The error of dosimeter reading  $\varepsilon(A, l, 0.3 \text{ cm})$  (%) as a function of cavity length  $l$  of ionization chamber and field A. The cavity radius  $r$  is calculated in 0.3 cm, and these values are for a SCD of 80 cm at a depth of 10 cm in water.

Kawachi *et al* (2008), Med Phys 35 (10)

Using a 24mm cavity results in 1.5% dose underestimation for a 6cm field on CK

# Volume effect in FFF beams



Court. of H. Palmans



RÉPUBLIQUE FRANÇAISE

Bordeaux, le 29 mai 2007

**[http://www.asn.fr/sites/default/files/files/Toulouse\\_ASN\\_report1.pdf?nocache=1225460993.4](http://www.asn.fr/sites/default/files/files/Toulouse_ASN_report1.pdf?nocache=1225460993.4)**

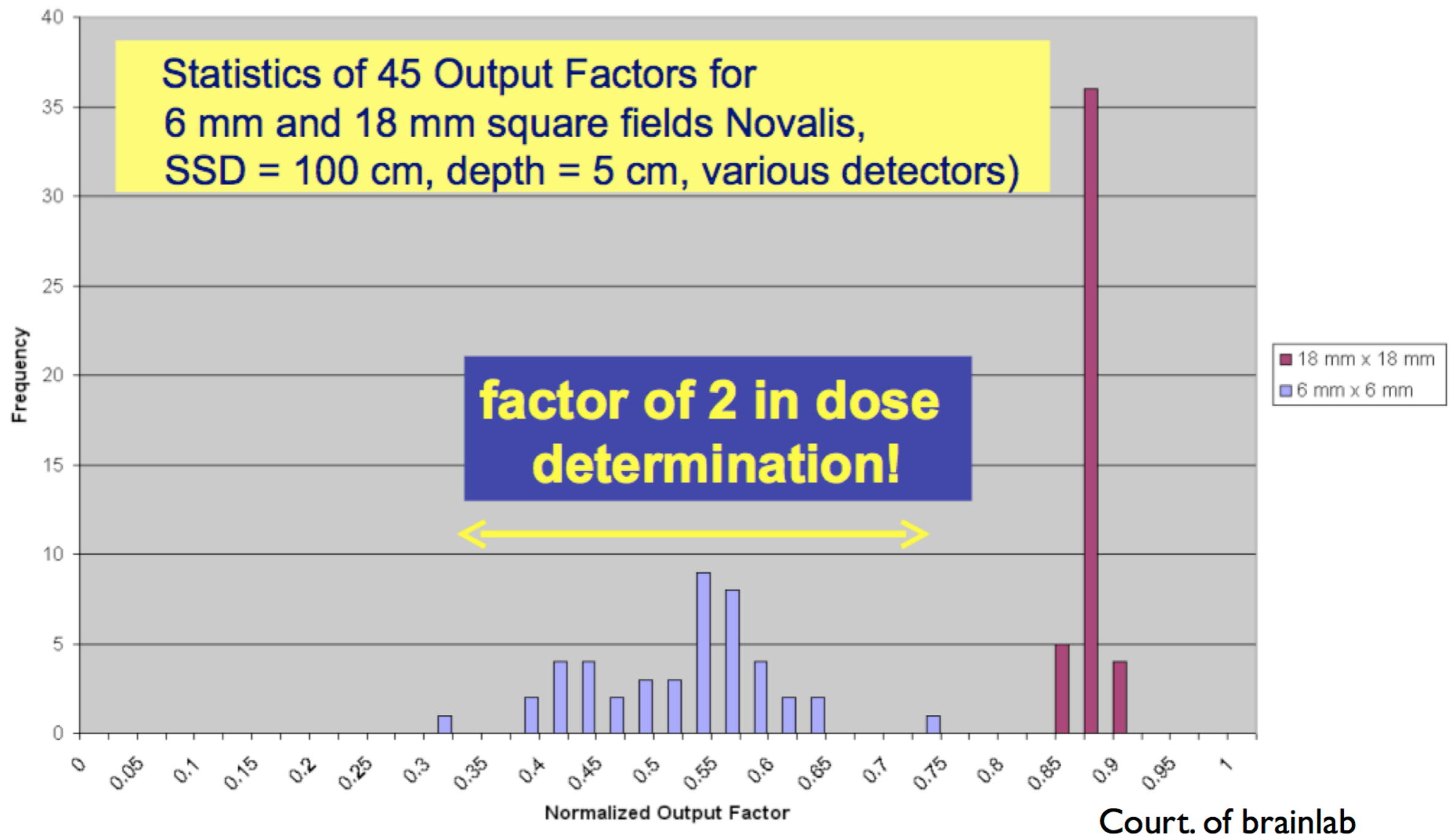
#### REPORT

concerning the radiotherapy incident at the university hospital centre (CHU) in Toulouse – Rangueil  
Hospital

Although the origin of the event is clearly identified (use of a measuring device which was inappropriate for calibrating microbeams), the underlying causes remain to be determined; this, however, was not the main goal of the inspection. The letter following the inspection therefore asks the CHU to analyse the organisational and human factors, especially human resources, work load, skills and training.



and even using the “correct” chamber...



Court. of brainlab

**Let's get even more  
basic : standard  
dosimetry protocols**

# Reference dosimetry

$$D_{water} = M \left[ \left( \frac{\overline{W}}{e} \right) \frac{1}{m_{gass}} \right] \left[ \left( \frac{\overline{S}}{\rho} \right)_{air}^{water} P_{corr} \right]$$

Correcting from water to air

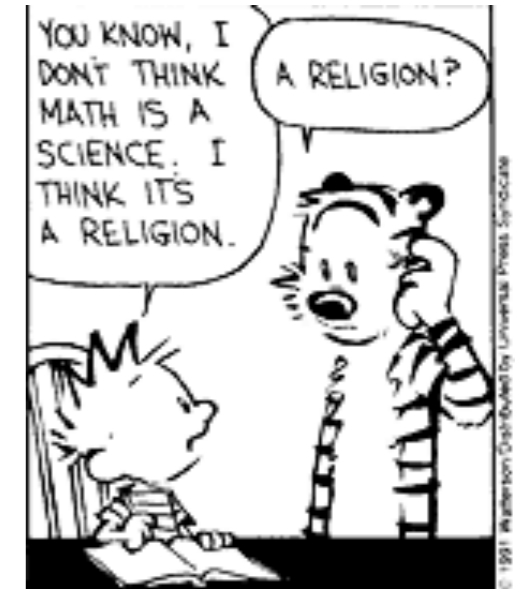
Correcting for the fact the chamber is not perfect and does not disturb the medium

$$P_{corr} = P_{wall} P_{cell} P_{repl}$$

$$D_{water,Q} = M_{water,Q} N_{D_w,Q_0} k_{Q,Q_0}$$

Kq= quality index

$$k_{Q,Q_0} = \frac{\left(\frac{W_{air}}{e}\right)_Q \left[ \left(\frac{\bar{S}}{\rho}\right)_{air}^{water} \right]_Q p_Q}{\left(\frac{W_{air}}{e}\right)_{Q_0} \left[ \left(\frac{\bar{S}}{\rho}\right)_{air}^{water} \right]_{Q_0} p_{Q_0}}$$



# Kq= quality index

$$k_{Q,Q_0} = \frac{\left( \frac{W_{air}}{e} \right)_Q \left[ \left( \frac{\bar{S}}{\rho} \right)_{air}^{water} \right]_Q p_Q}{\left( \frac{W_{air}}{e} \right)_{Q_0} \left[ \left( \frac{\bar{S}}{\rho} \right)_{air}^{water} \right]_{Q_0} p_{Q_0}}$$

YEAH. ALL THESE EQUATIONS ARE LIKE MIRACLES. YOU TAKE TWO NUMBERS AND WHEN YOU ADD THEM, THEY MAGICALLY BECOME ONE *NEW* NUMBER! NO ONE CAN SAY HOW IT HAPPENS. YOU EITHER BELIEVE IT OR YOU DON'T.





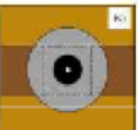
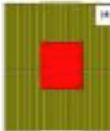

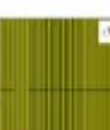
Kq= quality index



$$k_{Q,Q_0} = \frac{\left(\frac{W_{air}}{e}\right)_Q \left[ \left(\frac{\bar{S}}{\rho}\right)_{air}^{water} \right]_Q p_Q}{\left(\frac{W_{air}}{e}\right)_{Q_0} \left[ \left(\frac{\bar{S}}{\rho}\right)_{air}^{water} \right]_{Q_0} p_{Q_0}}$$

In small fields; the spectrum changes, how is this affecting this term?

# Spenser-Attix Stopping power ratios (central axis, d=5cm)

	Beam quality (TPR <sub>20,10</sub> )	$S_{w,air}$			$S_{PMMA,air}$		
		Andreo (1994) <sup>a</sup>	This work	Ratio this work/ Andreo	Andreo (1994) <sup>a</sup>	This work	Ratio this work/ Andreo
<b>6 MV beams</b>							
<b>Elekta SL-18 radiosurgery</b>							
 100 mm x 100 mm	0.690	1.1187	1.1188	1.000	1.0853	1.0856	1.000
 Beam $\varnothing$ 10 mm			1.1155	0.997		1.0819	0.997
 Beam $\varnothing$ 3 mm			1.1153	0.997		1.0817	0.997
<b>Siemens Primus MLC</b>							
 100 mm x 100 mm	0.677	1.1213	1.1221	1.001	1.0880	1.0892	1.001
 20 mm x 20 mm irregular on axis			1.1203	0.999		1.0870	0.999
 20 mm x 20 mm irregular off axis			1.1250	1.003		1.0922	1.004
			MLC transmission	1.1300	1.008		
			IMRT beam (10 x 10 cm <sup>2</sup> approx)	1.1201	0.999		

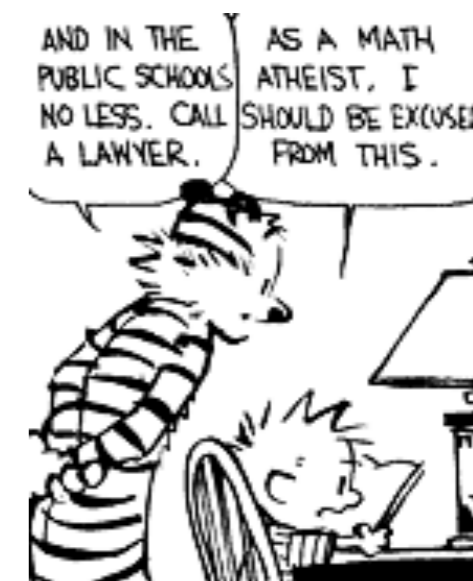
0.3%

0.5%

< 0.2%

<sup>a</sup> These are the values in the IAEA TRS-398 code of practice (Andreo *et al* 2000).

$K_q$  = quality index, for differences between calibration beam quality and clinical beam quality



$$k_{Q,Q_0} = \frac{\left(\frac{W_{air}}{e}\right)_Q \left[\left(\frac{\bar{S}}{\rho}\right)_{air}^{water}\right]_Q p_Q}{\left(\frac{W_{air}}{e}\right)_{Q_0} \left[\left(\frac{\bar{S}}{\rho}\right)_{air}^{water}\right]_{Q_0} p_{Q_0}}$$

What is the perturbation of the chamber on our medium  
(What does the elephant do to the interior of our box)



# Perturbation factors

TABLE IV. Wall correction factors, replacement correction factors, and calculated  $k_Q$  of cylindrical ion chambers for a Cyberknife system and a linear accelerator.

$$P_{\text{det}} \approx P_{\text{wall}} P_{\text{repl}}$$

Chamber type	$P_{\text{wall}}$		$P_{\text{repl}}$		$k_Q$	
	Linac	Cyberknife	Linac	Cyberknife	Linac	Cyberknife
PTW 31002 flexible	1.0005	1.0004	0.9905	0.9893	0.9914	0.9887
PTW 30001 Farmer	1.0007	1.0006	0.9895	0.9881	0.9913	0.9885
PTW 30002 Farmer	0.9947	0.9945	0.9895	0.9881	0.9949	0.9921
PTW 30004 Farmer	0.9947	0.9945	0.9895	0.9881	0.9959	0.9930
PTW 30013 Farmer	1.0004	1.0003	0.9895	0.9881	0.9916	0.9889
Exradin A 12 Farmer	0.9915	0.9914	0.9895	0.9881	0.9984	0.9957

$\pm 0.3\%$

TABLE I. Physical characteristics of cylindrical ion chambers.

Chamber type	Cavity volume (cm <sup>3</sup> )	Cavity dimensions		Wall		Central electrode material	Waterproof
		Length (mm)	Radius (mm)	Material	Thickness (g/cm <sup>2</sup> )		
PTW 31002 flexible	0.13	6.5	2.8	PMMA	0.078	Aluminum	Y
PTW 30001 Farmer	0.6	23.0	3.1	PMMA	0.045	Aluminum	N
PTW 30002 Farmer	0.6	23.0	3.1	Carbon	0.079	Carbon	N
PTW 30004 Farmer	0.6	23.0	3.1	Carbon	0.079	Aluminum	N
PTW 30013 Farmer	0.6	23.0	3.1	PMMA	0.057	Aluminum	Y
Exradin A 12 Farmer	0.65	24.2	3.1	C-552	0.088	C-552	Y

# Perturbation Factors

Cyberknife 8mmx8mm

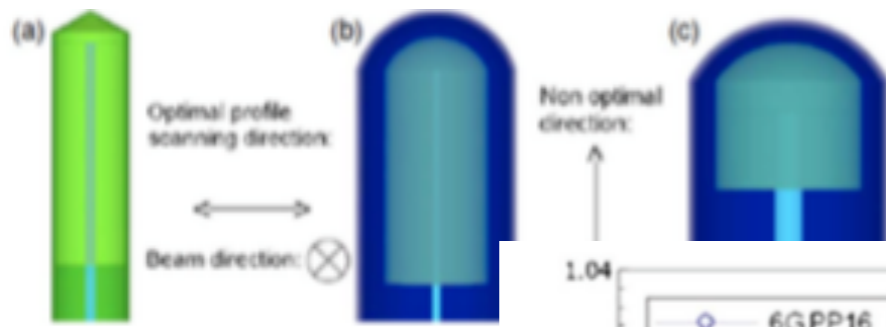
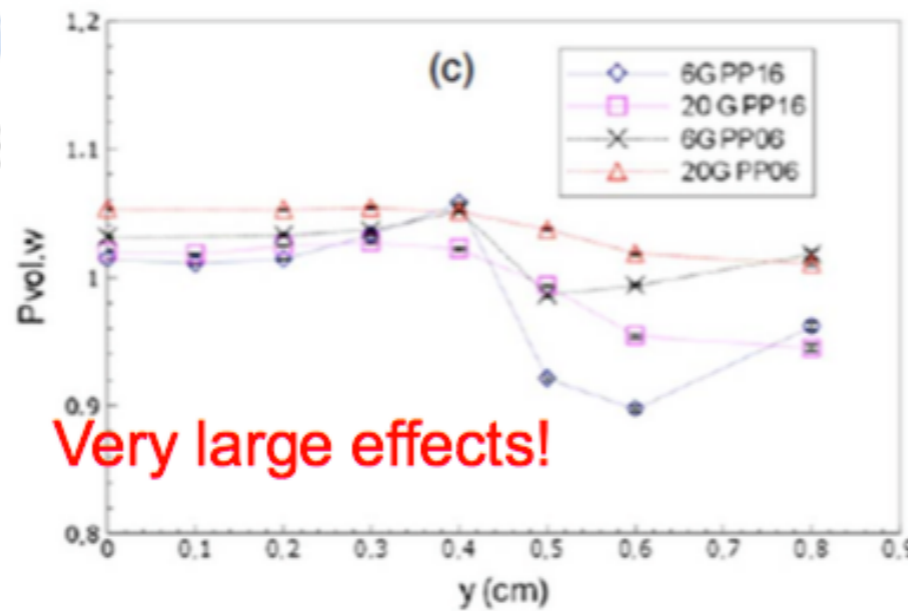
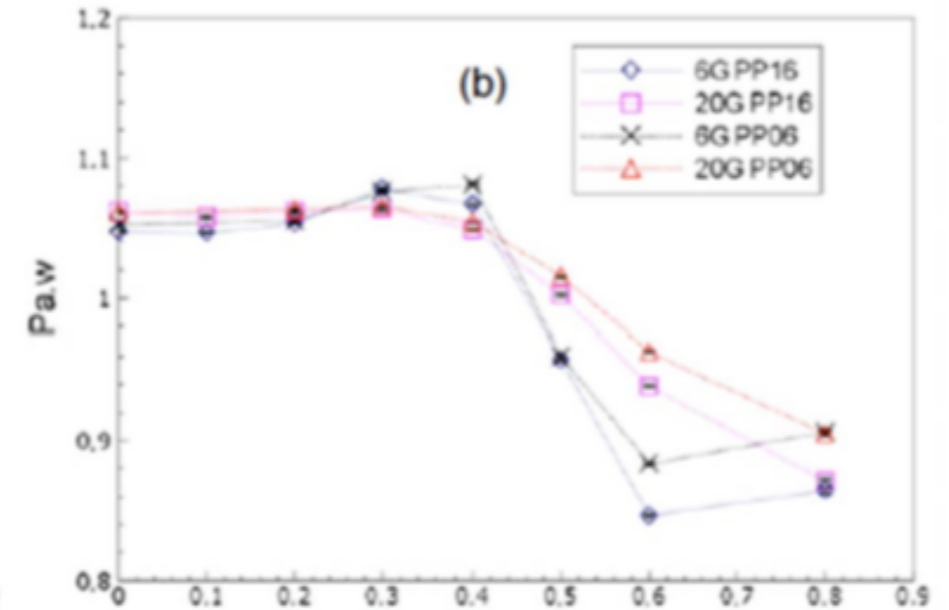
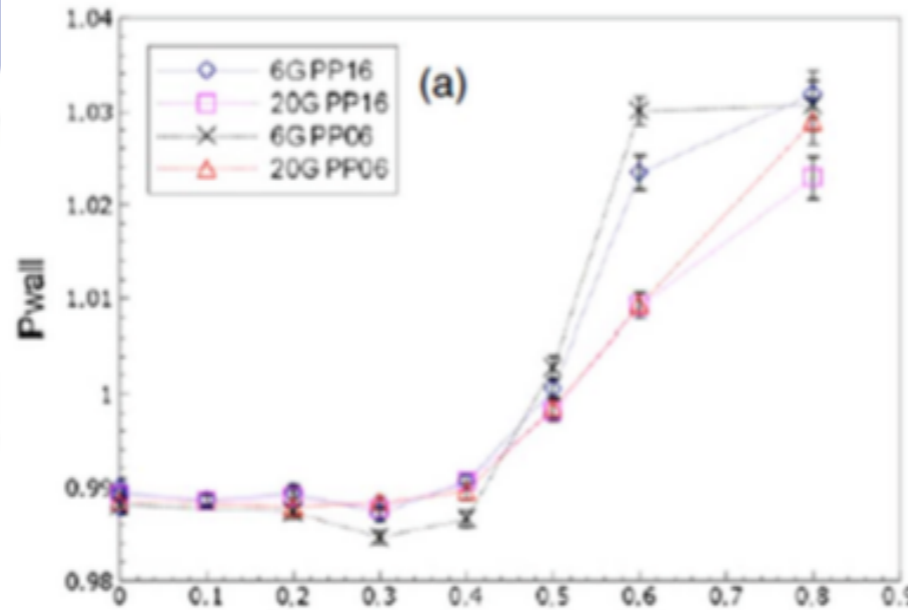
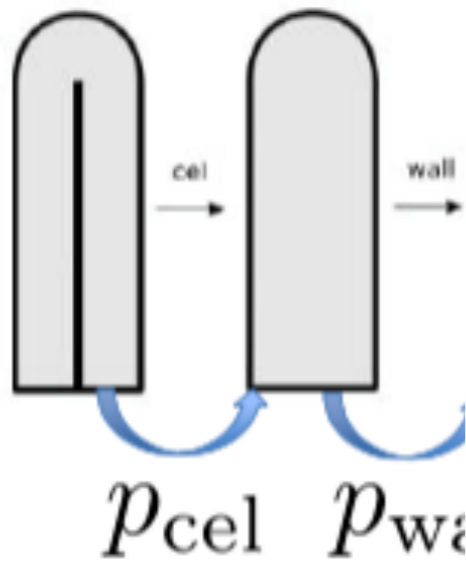
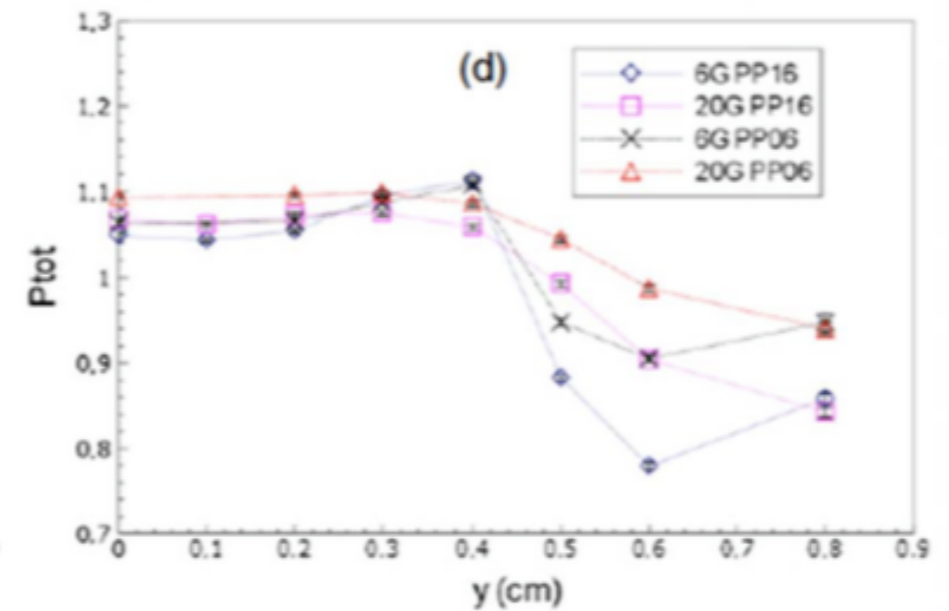


Figure 2. Geometrical models of (a) NE257 (images are not on the same scale).



Very large effects!



# So...

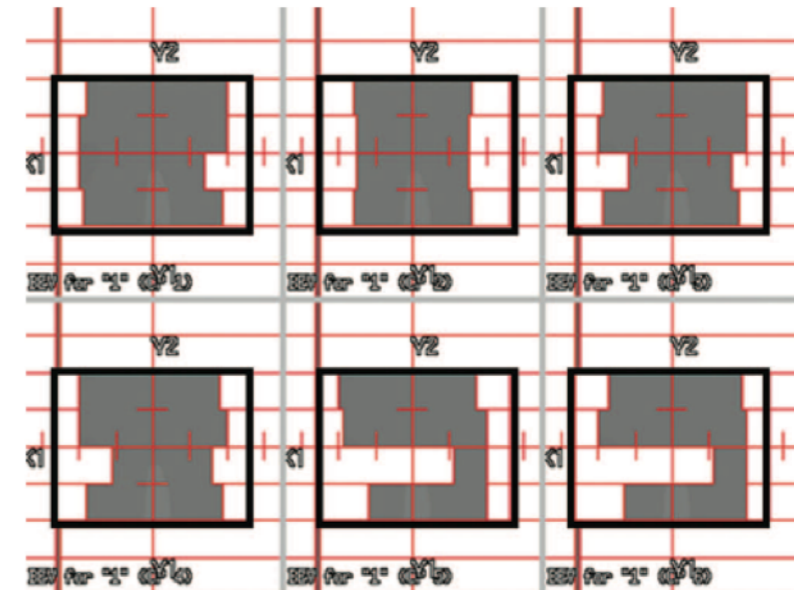
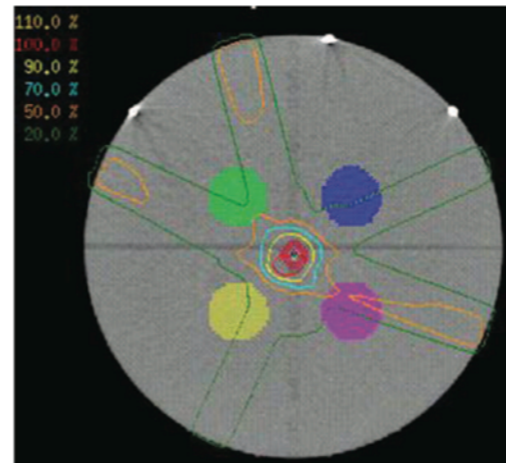
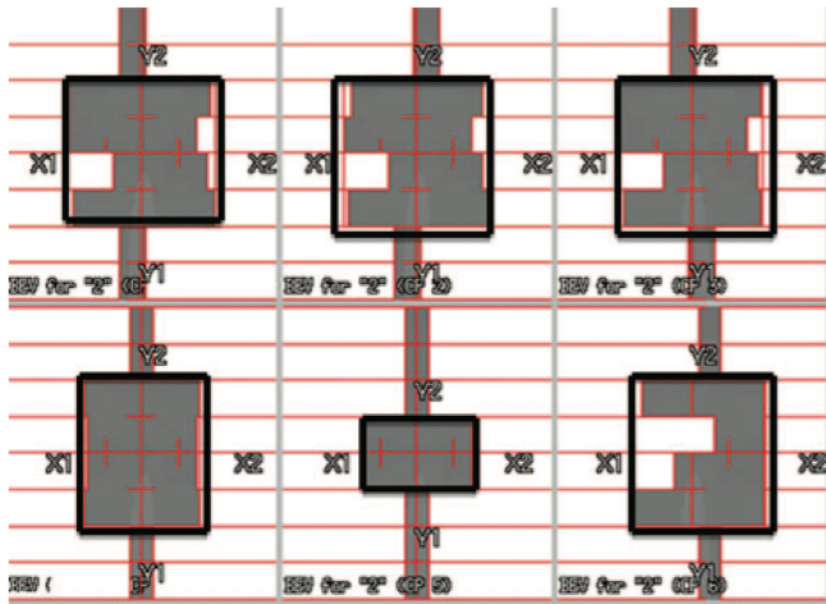
- We've got a lot of small effects, which of course all add up to a larger uncertainty
- All these effects will be present in OF measurements, profiles, PDD-curves, ...
  - ...and will be higher for low density materials (TPS)
  - dose2medium vs. dose2water?
- Certainty on factors is growing thanks to MC calculations.
- Measurements on larger SSD

# Effect of variation in output factors in TPS

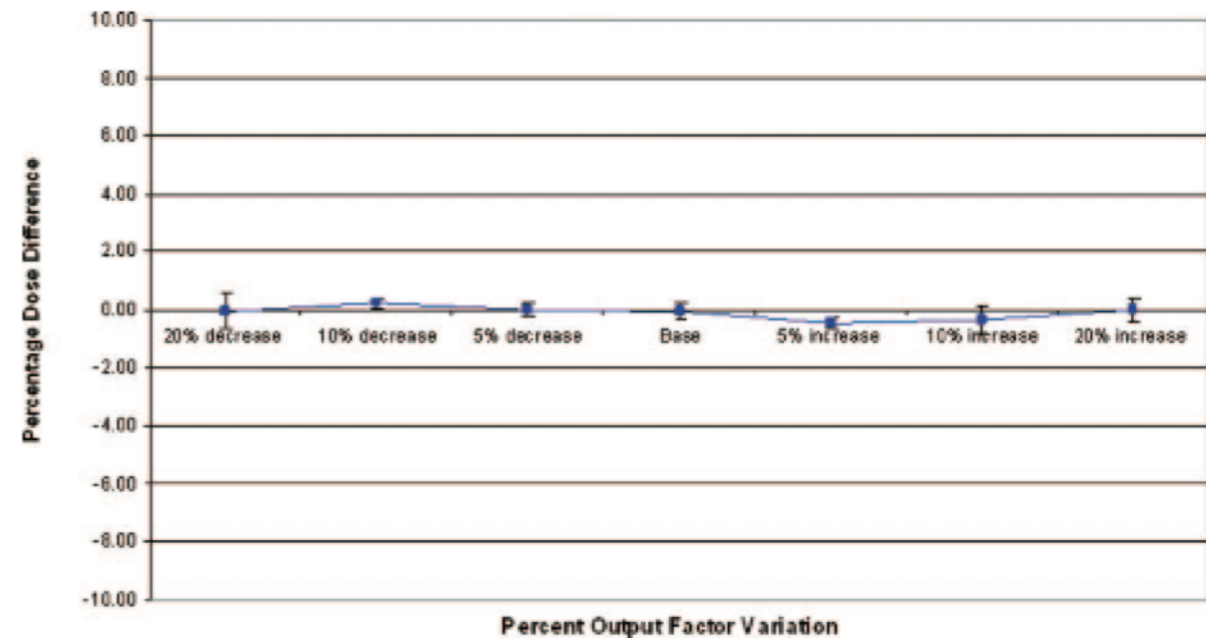
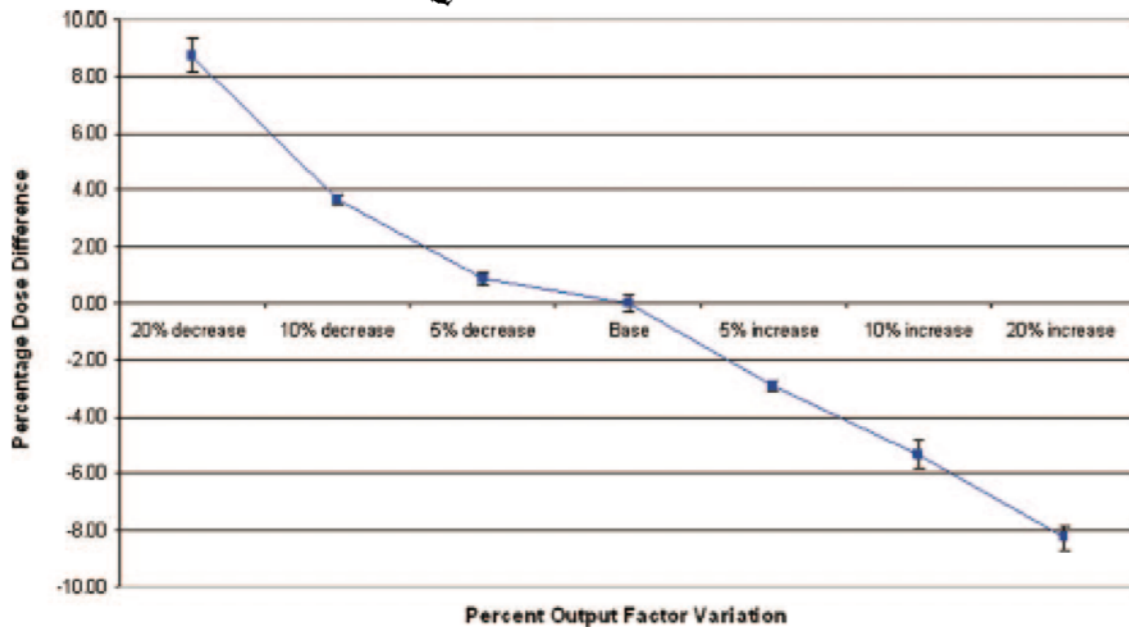
Elekta

“Identical” MLC  
Identical plan and TPS

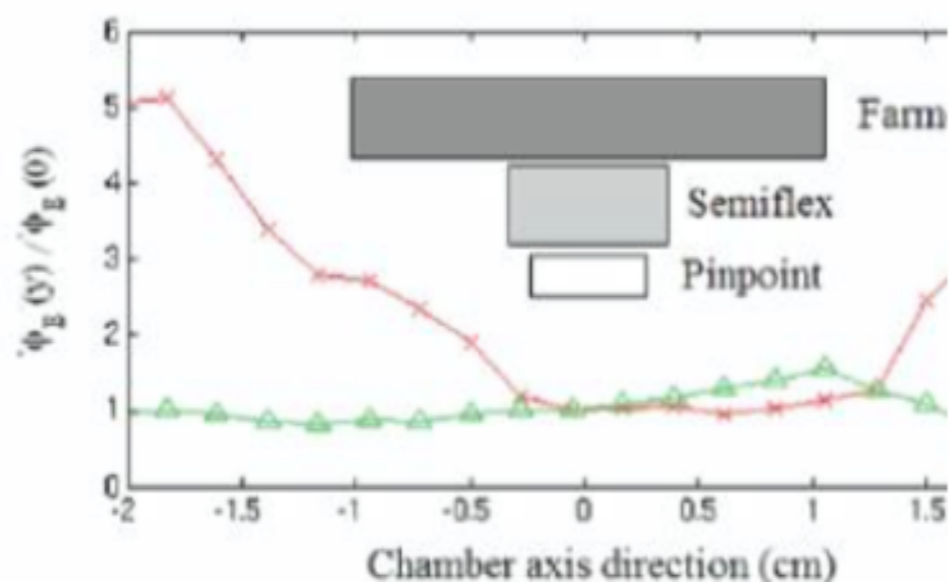
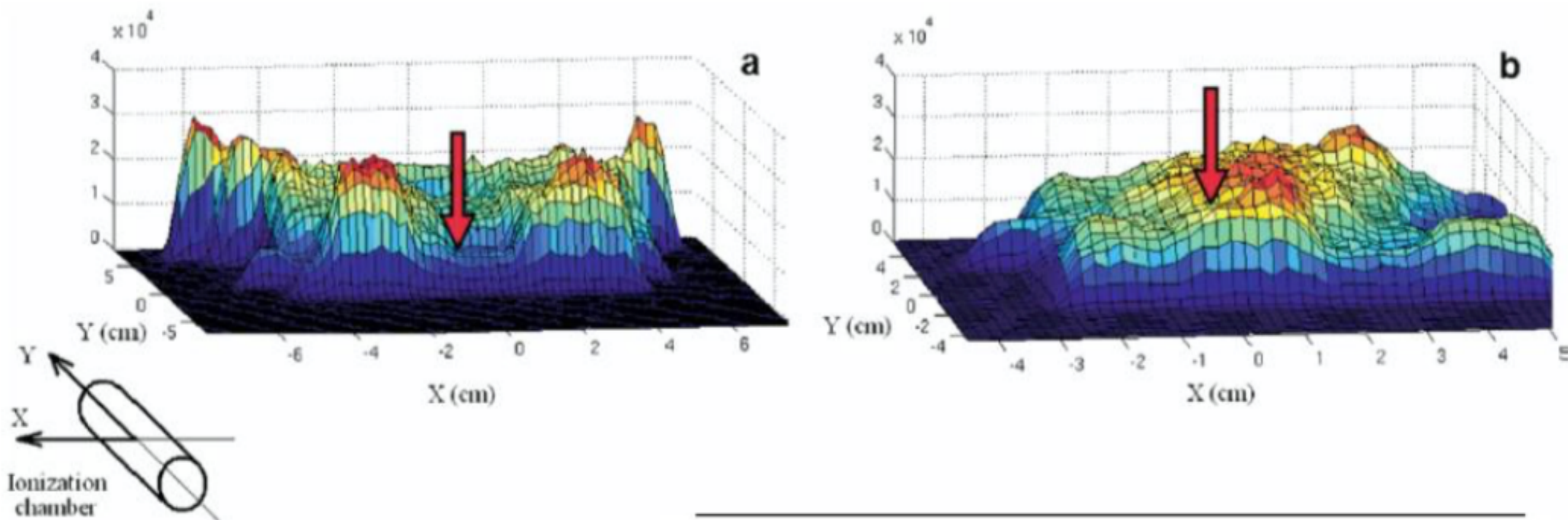
Varian



Introduction of errors in OF between up to 20%



# IMRT



Incident	Pinpoint	Semiflex	Farmer
0°	0.95	0.93	0.75
72°	1.02	1.01	0.99
144°	1.02	1.04	1.03
216°	1.00	1.02	1.02
288°	0.99	1.00	0.97

# Non-standard fields

→ For IMRT:

→ Even if the dose in the measurement area is large and homogeneous, generated by many beamlets, DON'T use large chambers to measure dose.

# Reference dosimetry in small fields

- Use of mini or micro (air filled) IC
  - ....that fits in the field
- Perturbation factors not known in many cases (effect worse for low densities)
- Leakage, cable and polarity effects?
- Use of diamond-detectors, diodes

**What do we do when  
reference conditions  
cannot be achieved?**



# Small & non-standard field

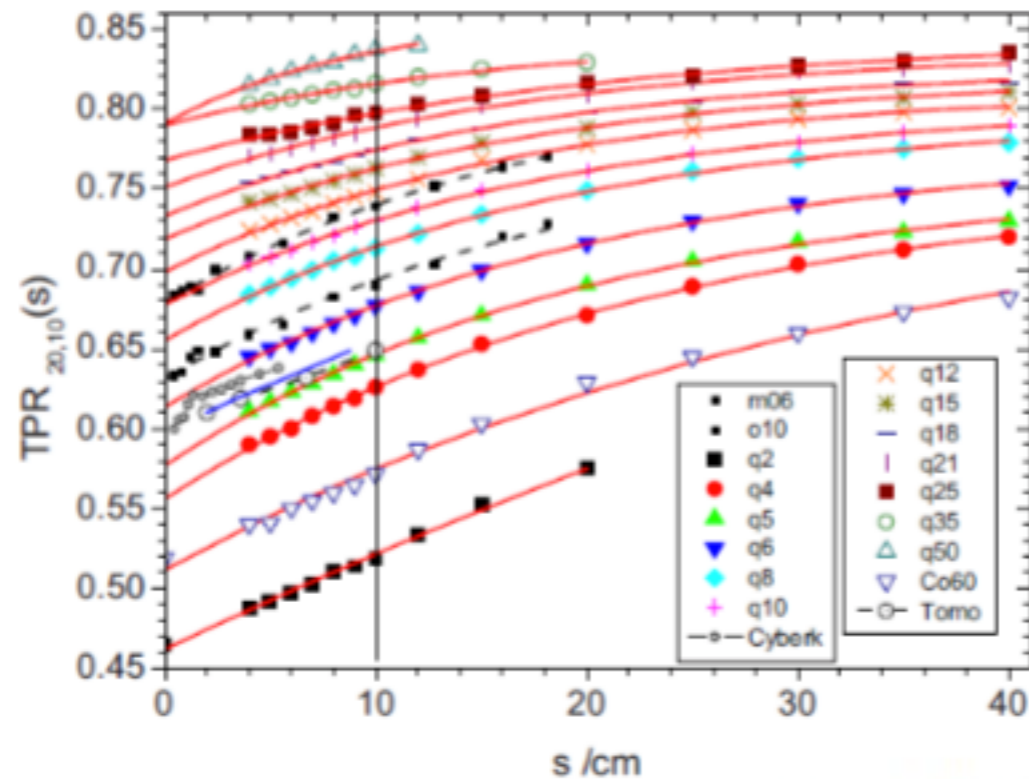
→ A proposal for a new formalism by the IAEA

- 2 routes
- Both routes require the **extension of the concept of reference field** to incorporate small and non-standard fields, as well as modified reference conditions such as phantom shape and material

# Small and non-standard field dosimetry

- Alternative procedures to determine beam quality
- The new IAEA/AAPM formalism (aka “alfonso formalism”)

# Alternative procedures to determine beam quality



Data from BJR Suppl 25

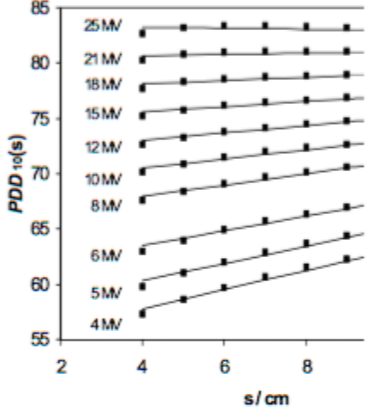
$$Q = \frac{\text{TPR}_{20,10}(s) - b_1 - A_1(1 - e^{-s/t})}{b_2 + A_2(1 - e^{-s/t})}$$

TABLE I. Parameters for Eq. (3) valid for  $0.62 < Q < 0.8$ .

$b_1$	$-0.208 \pm 0.022$	$A_1$	$+0.625 \pm 0.036$	$t$	$+19.5 \pm 2$ cm
$b_2$	$+1.213 \pm 0.030$	$A_2$	$-0.679 \pm 0.050$		

# Alternative procedures to determine beam quality

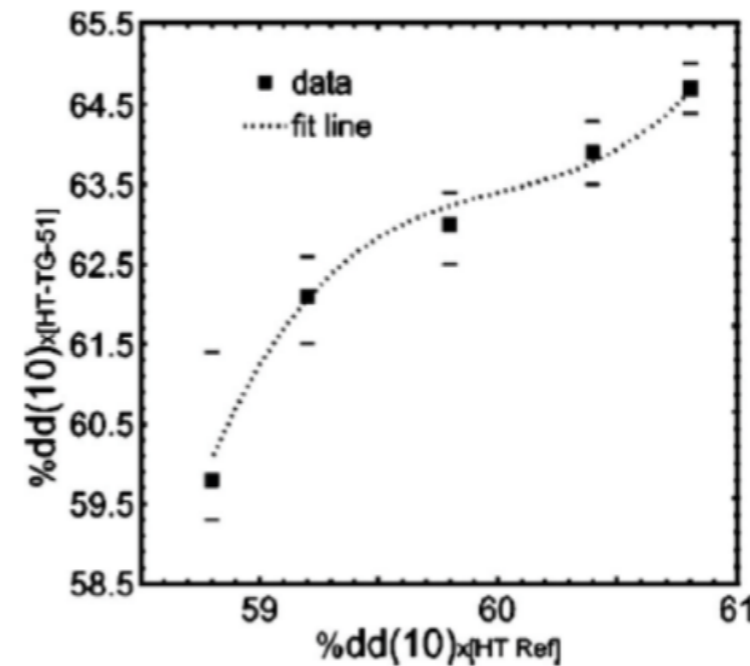
e.g. for  $PDD_{10x}(10) = \%dd(10)_x$

$$PDD_{10}(10) = \frac{PDD_{10}(s) + c_1 \cdot \left( e^{\frac{10-s}{t}} - 1 \right)}{1 + c_2 \cdot \left( e^{\frac{10-s}{t}} - 1 \right)}$$


$$PDD_{10x}(10) = \begin{cases} PDD_{10}(10), & PDD_{10}(10) < 75.0 \\ 1.267 \cdot PDD_{10}(10) - 20.0, & PDD_{10}(10) \geq 75.0 \end{cases} \quad (\text{TG-51})$$

Palmans et al, med phys (2012)

## Beam quality specifier for Tomotherapy



AAPM TG-148 (Langen et al. 2010 Med Phys 37:4817-53):  
 “ $dd(10)_{x[HT-ref]}$ ”

# IAEA/AAPM formalism

- Route 1
  - Small static field dosimetry for machines that cannot establish a conventional reference field
  - Introducing the intermediate **machine-specific-reference field (msr)**
- Route 2
  - Composite field dosimetry
  - Introducing **msr and plan-class-specific reference field (pcsr)**
  - The pcsr is a field that should be as close to a class of clinical plans as possible, while generating a homogeneous dose region “much” larger than the sensitive volume of the detector

# Machine-specific-reference



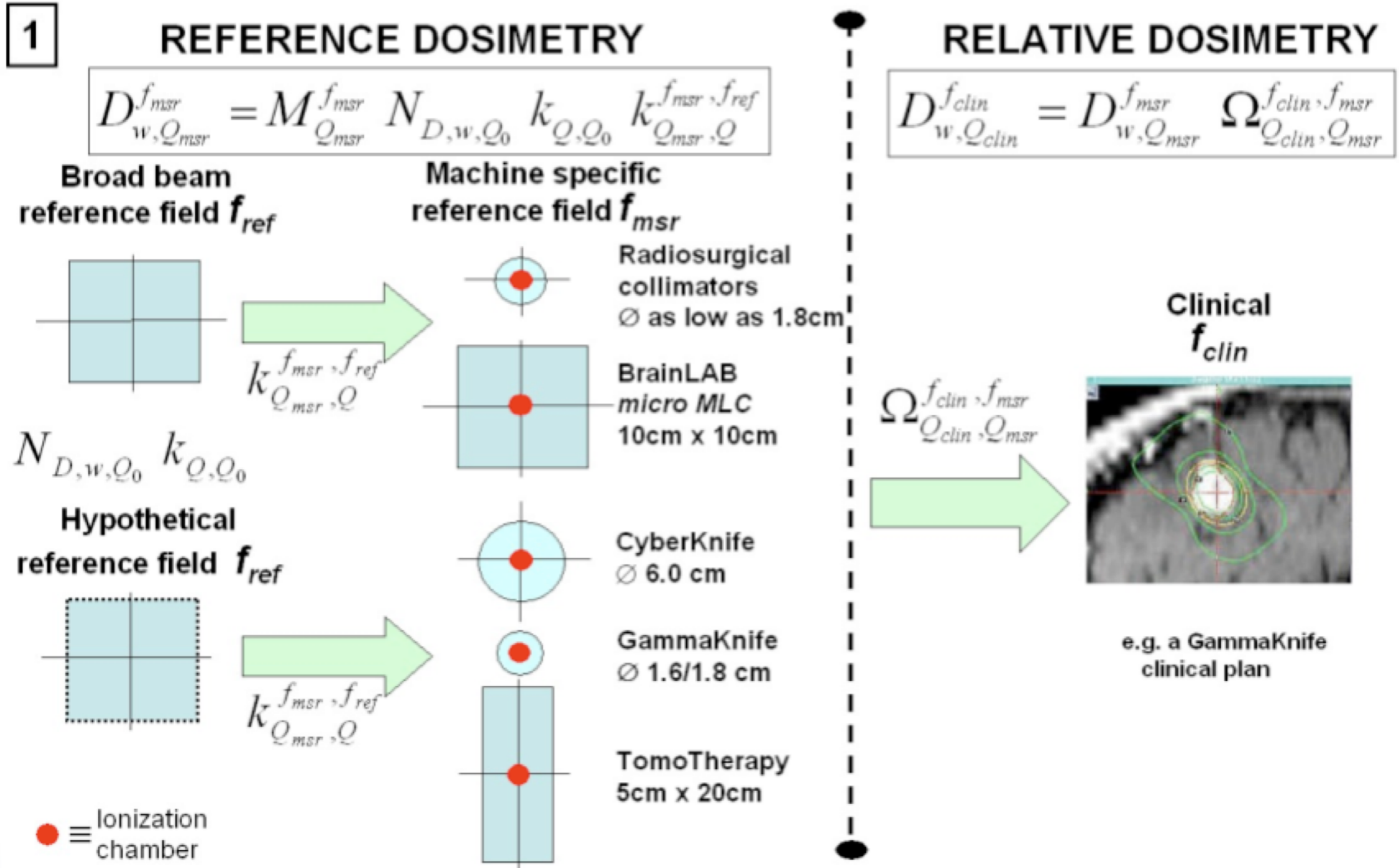
Reference calibration, reference field,  $f_{ref}$

$$D_{w, Q_{msr}}^{f_{msr}} = M_{Q_{msr}}^{f_{msr}} \cdot N_{D, w, Q_0} \cdot k_{Q, Q_0} \cdot k_{Q_{msr}, Q}^{f_{msr}, f_{ref}}$$

$$k_{Q_{msr}, Q}^{f_{msr}, f_{ref}} = \frac{D_{w, Q_{msr}}^{f_{msr}} / M_{Q_{msr}}^{f_{msr}}}{D_{w, Q}^{f_{ref}} / M_Q^{f_{ref}}}$$

- Is a factor which corrects for the differences between the conditions of field size, geometry, phantom material, and beam quality of the conventional reference field,  $f_{ref}$  and the machine-specific-reference field  $f_{msr}$
- This factor accounts for the difference between the responses of an ionisation chamber in the fields  $f_{ref}$  and  $f_{msr}$

# Machine-specific-reference field



# Plan-class-specific-reference field

→ Reference calibration, reference field,  $f_{pcsr}$

$$D_{w, Q_{pcsr}}^{f_{pcsr}} = M_{Q_{pcsr}}^{f_{pcsr}} \cdot N_{D, w, Q_0} \cdot k_{Q, Q_0} \cdot k_{Q_{pcsr}, Q}^{f_{pcsr}, f_{ref}}$$

or

$$D_{w, Q_{pcsr}}^{f_{pcsr}} = M_{Q_{pcsr}}^{f_{pcsr}} \cdot N_{D, w, Q_0} \cdot k_{Q, Q_0} \cdot k_{Q_{msr}, Q}^{f_{msr}, f_{ref}} \cdot k_{Q_{pcsr}, Q_{msr}}^{f_{pcsr}, f_{msr}}$$

$$k_{Q_{msr}, Q}^{f_{msr}, f_{ref}}$$

- This factor will generally be close to unity under the condition that the addition and geometrical matching of the composite fields in the homogeneous phantom compensates for the loss of CPE in the penumbra of individual fields.
- Ideally it would be obtained by direct calibration of the IC against a primary standard or another dosimeter such as alanine, or alternatively, Monte Carlo.



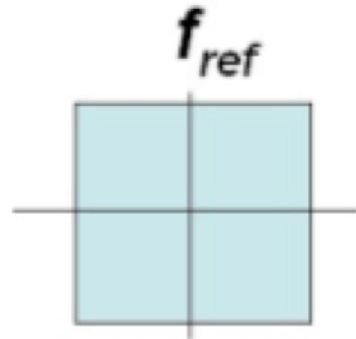
# Plan-class-specific-reference field

2

## REFERENCE DOSIMETRY

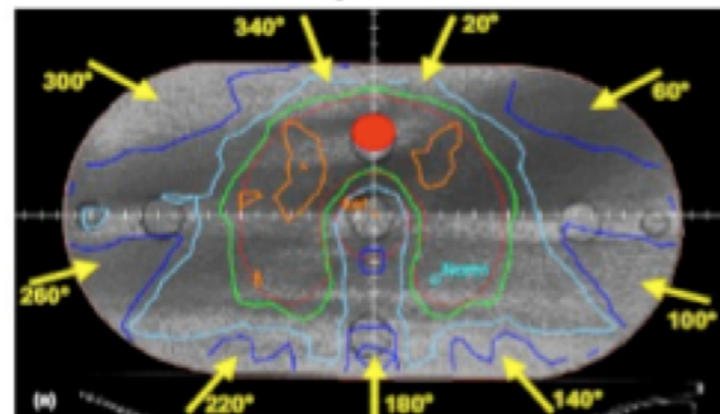
$$D_{w, Q_{pcsr}}^{f_{pcsr}} = M_{Q_{pcsr}}^{f_{pcsr}} N_{D, w, Q_0} k_{Q, Q_0} k_{Q_{pcsr}, Q}^{f_{pcsr}, f_{ref}}$$

Broad beam reference field



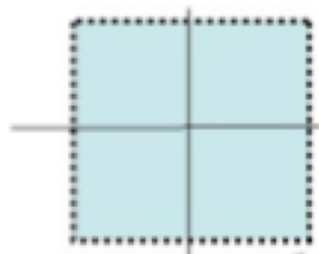
$k_{Q_{pcsr}, Q}^{f_{pcsr}, f_{ref}}$   
(e.g. IMRT Linac)

Plan-class specific reference field



$N_{D, w, Q_0} k_{Q, Q_0}$

Hypothetical reference field



$k_{Q_{msr}, Q}^{f_{msr}, f_{ref}}$



(e.g. TomoTherapy 5cm x 20cm)

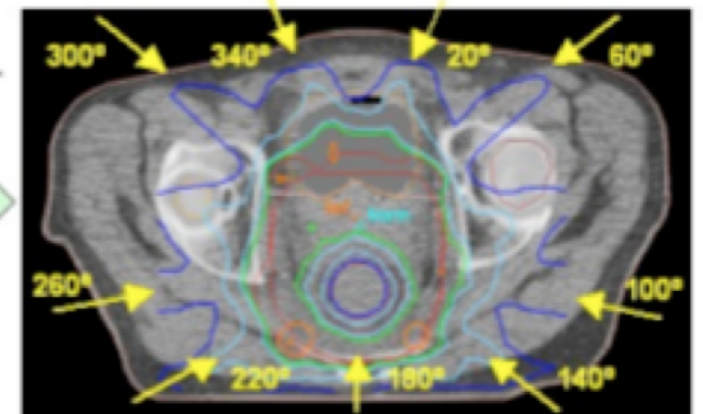
$k_{Q_{pcsr}, Q_{msr}}^{f_{pcsr}, f_{msr}}$

e.g. 9-field prostate pcscr

## RELATIVE DOSIMETRY

$$D_{w, Q_{clin}}^{f_{clin}} = D_{w, Q_{pcsr}}^{f_{pcsr}} \Omega_{Q_{clin}, Q_{pcsr}}^{f_{clin}, f_{pcsr}}$$

Clinical



e.g. 9-field prostate clinical plan

$\Omega_{Q_{clin}, Q_{pcsr}}^{f_{clin}, f_{pcsr}}$

● ≡ Ionization chamber

# Small and composite fields

- In both cases, small and composite fields, it can be seen that the formalism stays close to the one of conventional COPs in the sense that a calibration of a reference field is performed followed by the application of output factors or an equivalent type of factors for clinical fields.
- The main difference being the extension of the concept of reference field.
- In line with this approach, it might be possible that a standards laboratory is able to provide a direct calibration coefficient,  $N_{D,w,Q_{msr}}^{f_{msr}}$ , for an IC in the machine-specific-reference field.
- NCS report on Tomotherapy : I Kq, incorporating pcsr, msr and regular kq



Don't worry...let's get practical

# Practical Example

## Helical Tomotherapy

6MV

Non-Flat “coned” field  
max field size = 5x40cm<sup>2</sup>

# Practical Example

Use the msr-method on a 10x5 field

$$D_{w, Q_{msr}}^{f_{msr}} = M_{Q_{msr}}^{f_{msr}} \cdot N_{D, w, Q_0} \cdot k_{Q, Q_0} \cdot k_{Q_{msr}, Q}^{f_{msr}, f_{ref}}$$

Static output is  
correctly  
characterized



Clinical treatment  
is not static



# Practical Example

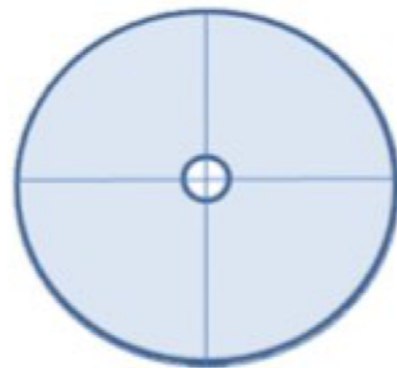
Use the msr-method on a nonmodulated **rotational field**

$$D_{w, Q_{msr}}^{f_{msr}} = M_{Q_{msr}}^{f_{msr}} \cdot N_{D, w, Q_0} \cdot k_{Q, Q_0} \cdot k_{Q_{msr}, Q}^{f_{msr}, f_{ref}}$$

Rotational output is  
correctly  
characterized



Clinical treatment  
is modulated



# Practical Example

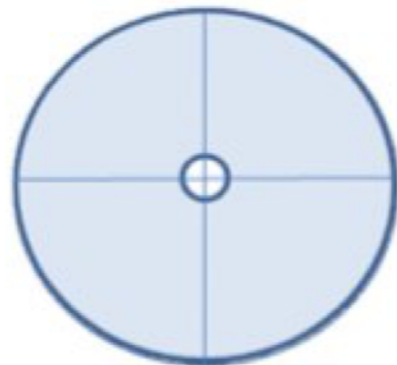
Use the pcsr-method on a **modulated rotational field**

$$D_{w, Q_{pcsr}}^{f_{pcsr}} = M_{Q_{pcsr}}^{f_{pcsr}} \cdot N_{D, w, Q_0} \cdot k_{Q, Q_0} \cdot k_{Q_{msr}, Q}^{f_{msr}, f_{ref}} \cdot k_{Q_{pcsr}, Q_{msr}}^{f_{pcsr}, f_{msr}}$$

Rotational output is  
correctly  
characterized



Tomodirect ?



# Results

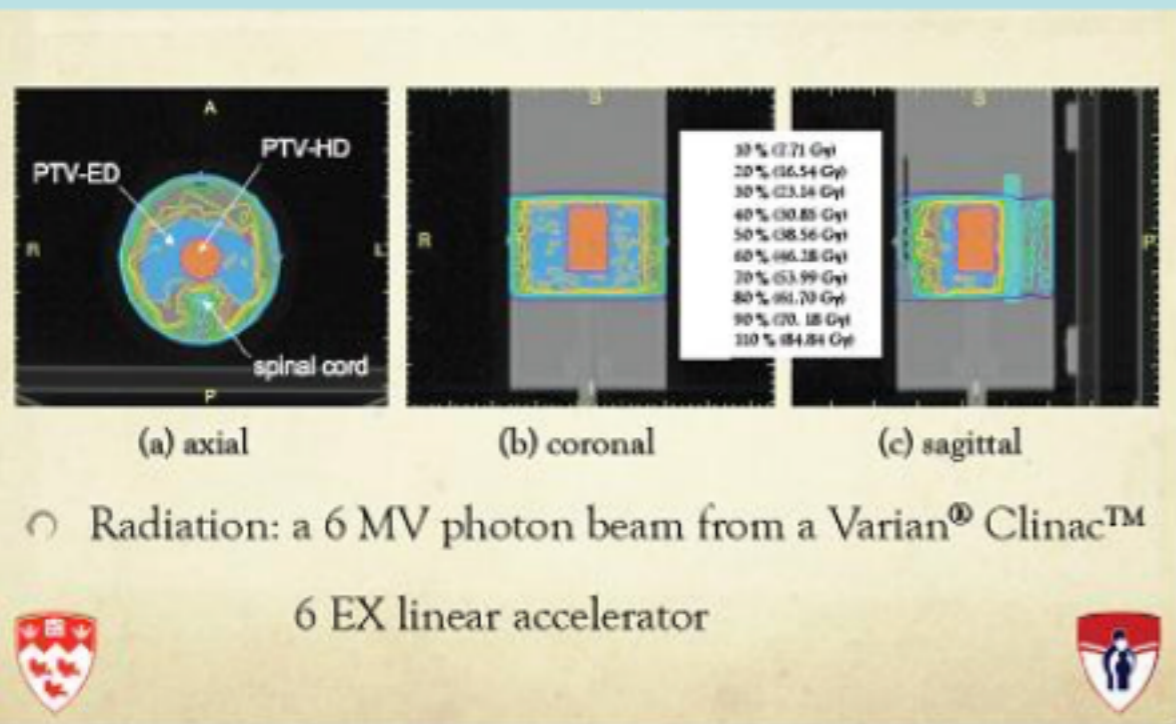
		Deventer (NL)		Lille (F)		Antwerp (B)		UCL (B)	
		Dose rate (Gy/min)	Ratio meas/TG148	Dose rate (Gy/min)	Ratio meas/TG148	Dose rate (Gy/min)	Ratio meas/TG148	Dose rate (Gy/min)	Ratio meas/TG148
Static msr	TG-148	6.303	—	6.261	—	6.168	—	6.220	—
Test 'A'	local prot.	6.345	1.007	6.260	1.000	—	—	6.215	0.992
	Alanine	6.280	0.996	6.265	1.001	6.178	0.998	6.217	0.999
Rot. msr	TG-148	6.313	—	6.250	—	6.159	—	6.298	—
Test 'B'	local prot.	6.355	1.007	6.260	1.002	—	—	6.309	1.002
	Alanine	6.350	1.006	6.325	1.012	6.157	0.996	6.284	0.998
pcsr/5 cm	TG-148	1.998	—	2.027	—	1.993	—	—	—
Test 'C'	local prot.	2.009	1.006	2.023	0.998	—	—	—	—
	Alanine	1.990	0.996	2.010	0.992	1.981	0.994	—	—
pcsr/2.5 cm	TG-148	2.000	—	—	—	1.993	—	2.017	—
Test "C"	local prot.	2.002	1.001	—	—	—	—	2.016	1.000
	Alanine	2.003	1.002	—	—	1.987	0.997	2.014	0.999

De Ost et al, med.phys.38(11)

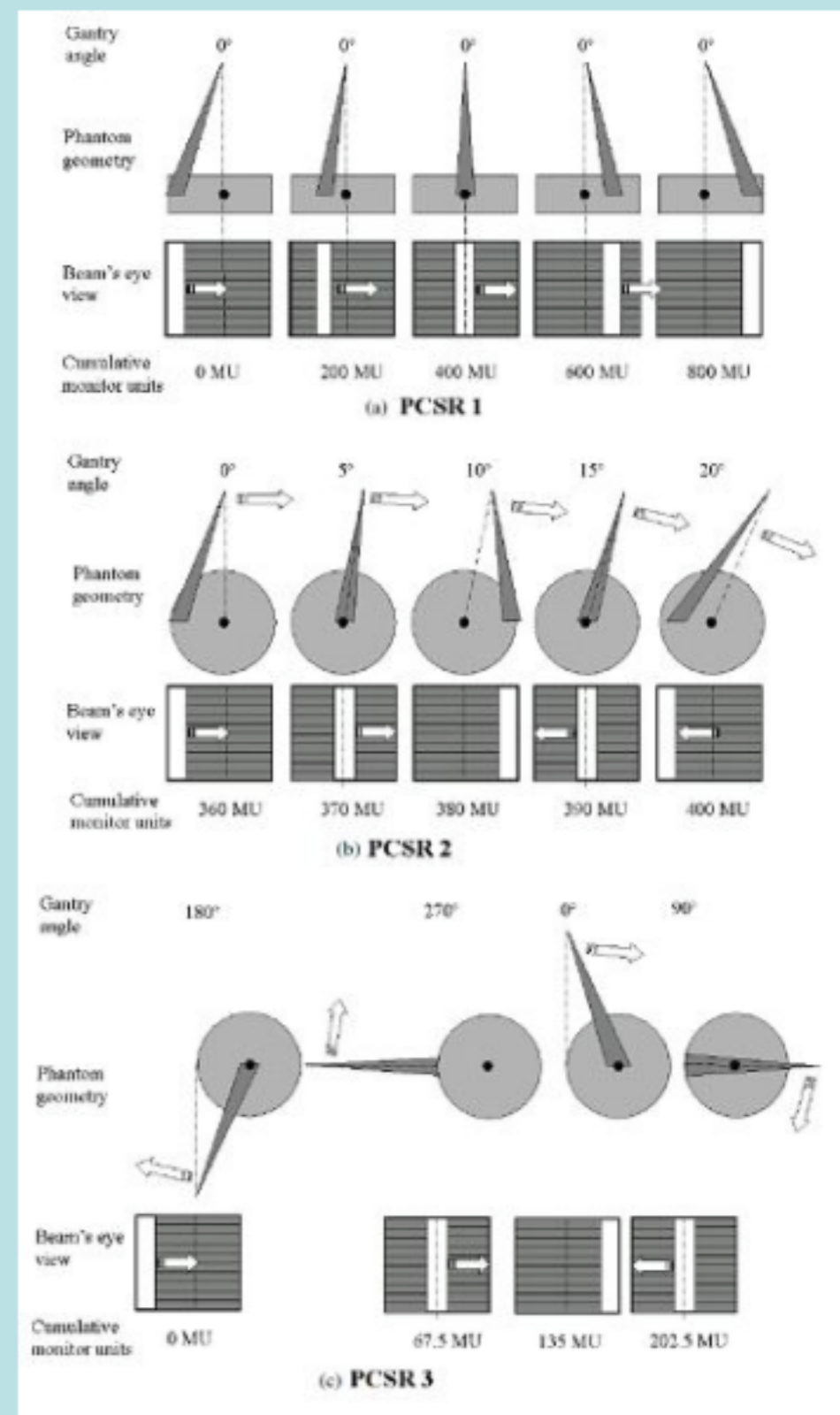
Checked with alanine and Monte Carlo



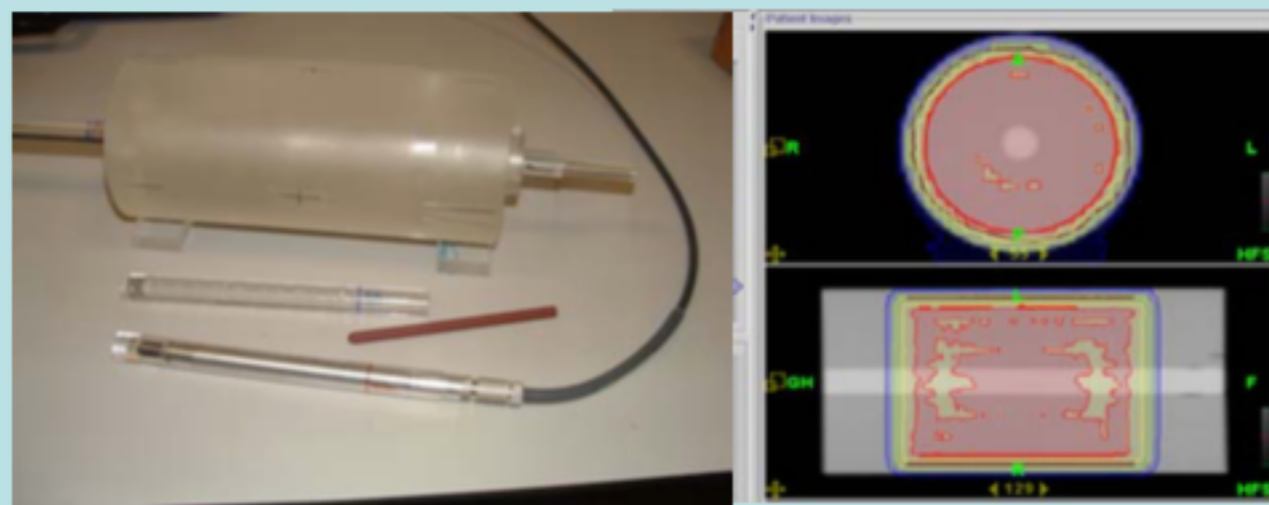
## Dynamic IMRT H&N – Chung et al. 2010 Med. Phys. 37:2404-13

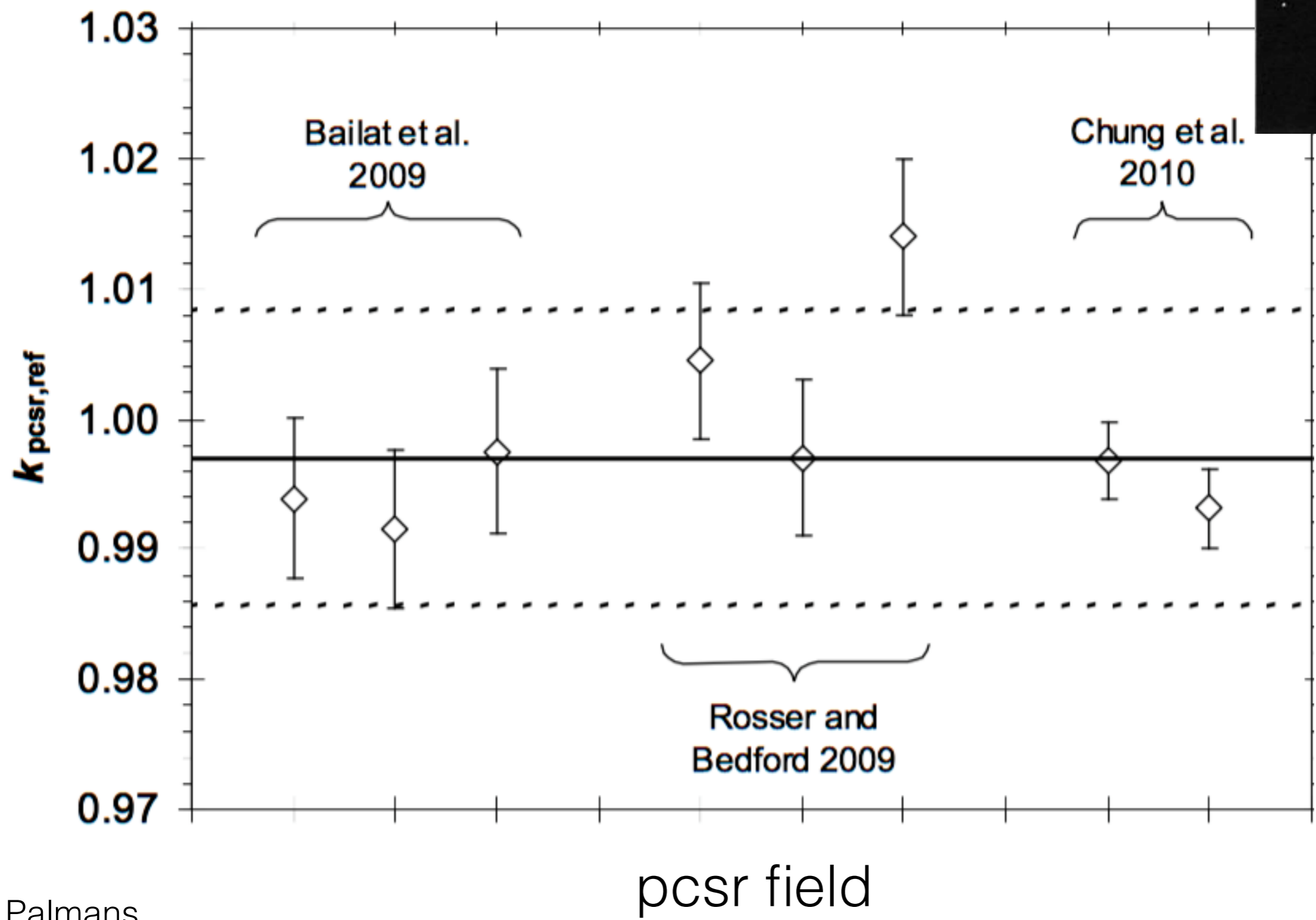


## VMAT – Rosser and Bedford 2009 Phys. Med. Biol. 54:7045-7061



## TomoTherapy – Bailat et al. 2009 Med. Phys. 36:3891-3896





court. Palmans

# Conclusion

- The concept of 2 intermediate calibration fields is introduced:
  - A static *machine-specific-reference field* for those modalities that cannot establish conventional reference conditions
  - A *plan-class-specific-reference field* closer to the patient-specific clinical fields.
- The main challenge will be the definition of a suitable *pcsr* field.
- Technology is evolving very fast...here today, gone tomorrow.
- Dosimetry has to catch up all the time
- When new techniques/new formalisms are not there yet....an independent check should always be found

# Take home message (physicists)

- Because of increasing complexity, depending on experience and “gut-feeling” is not enough.
- A good understanding of all small parameters affecting IMRT-dosimetry is a must
- CoPs are not straightforward applicable, traceability is a necessity.
- External audits can be a very good idea.

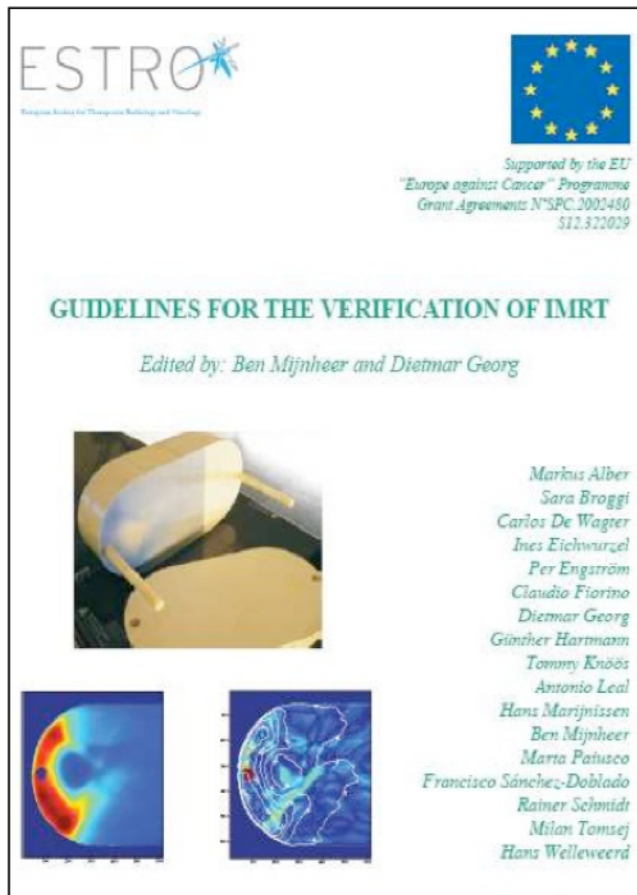


# Take home message for physicians

- IMRT is not, has not been and will not be plug-and-play, whatever the monkey in the suit told you
- It is not a case of “quickly doing some measurements”.
- After commissioning the fun is just starting : loads and loads of non-plug-and-play-patient QA which will keep your physics team busy and frustrated



# Take home message, cont'd



Physicist driving the black box

Physician going to the golf court



IMRT treatment



Azienda Provinciale per i Servizi Sanitari  
Trento, Italy

# TPS commissioning

*Marco Schwarz*  
*Marco.schwarz@apss.tn.it*

# *Overview*

## Generalities

## Commissioning of the TPS

- General approach

- MLC/small fields/dose profiles

- Dose calculation during optimisation

- Accuracy criteria



*Four steps to define, baseline and monitor the performances of radiotherapy equipment.*

- 1. Technical specifications*
- 2. Acceptance tests*
- 3. Commissioning*
- 4. (Equipment) QA*

1/4

**Define/agree upon the specifications**, i.e. the performance requirements that the equipment must/should fulfill

- ✓ *'The MLC must have a positional accuracy of less than x mm'*
- ✓ *'The beam output should be stable within y%'*
- ✓ *'Calculated output factors should be within x% for fields not smaller than y'*
- ✓ ...

2/4

**Perform Acceptance** (with the vendor), i.e. a series of test to demonstrate that the system complies with the specs.

*(E.g. Comparison between calculation and measurement of OF for 5 field sizes)*

**IF** the tests are successful  
you pay for the equipment, which becomes yours.

**ELSE**  
you ask for the problems to be fixed

**N.B. No specs means no meaningful acceptance tests !!**  
How did you TPS acceptance tests look like?

3/4

**Perform Commissioning** (on your own), i.e. a series of tests that fully characterize the system before clinical use.

*(E.g. Comparison between calculation and measurement of OF for 25 field sizes)*

In general commissioning tests are a superset of the acceptance tests.

Thorough commissioning provides baseline data for **equipment QA.**

4/4

**Perform equipment QA**, i.e. a series of periodic tests to assess system performances over time.

*(E.g. Every day, MLC positioning accuracy is tested on five out of the 25 field shapes used in commissioning are tested.*

*Check integrity of beam data in the TPS)*

Commissioning data are the (initial) baseline to judge the system performances

Question: what does it mean to perform QA on a software?

*Where does 'patient specific QA' fit into this scheme?*

It is an additional QA element, if it is **really** patient specific.  
*Hardware and software are just a part of the treatment chain. The bottom line is the dose in the patient.*

It may be a useful redundant check, in particular in the early phases of clinical implementation.

It is a way to compensate for incomplete/unsatisfactory commissioning.

## *Commissioning of TPS*

**Penumbra modeling**

**OF for small fields**

**Commissioning of the TPS**

**Heterogeneity corrections**

**MLC modeling**

**Modeling of off-axis fields**



*How to consider all variables in the acceptability criteria ?*

**Analytic approach**

Find and achieve for each variable the value that will ensure the acceptability of all treatment plans.

**This was the approach in CRT**

## ESTRO Booklets on QA for **NON-IMRT** beams

<b>Region</b>		<b>Homogenous, simple geometry</b>	<b>Complex geometry</b>	<b>More complex geometries</b>
$\delta_1$	<i>Central beam axis data</i> – high dose, low dose gradient	2%	3%	4%
$\delta_2^*$	<i>Build-up region of central axis beam, penumbra region of the profiles</i> - high dose, high dose gradient	2 mm or 10%	3 mm or 15%	3 mm or 15%
$\delta_3$	<i>Outside central beam axis region</i> - high dose, low dose gradient	3%	3%	4%
$\delta_4^{**}$	<i>Outside beam edges</i> – low dose, low dose gradient	3%-3mm	4%-4mm	5%-5mm
$RW_{50}$ ***	<i>Radiological width</i> – high dose, high dose gradient.	2 mm or 1%	2 mm or 1%	2 mm or 1%
$\delta_{50-90}$	<i>Beam fringe</i> – high dose, high dose gradient	2 mm	3 mm	3 mm

*“... the emphasis on QA of IMRT is shifted from acceptance testing and commissioning of a TPS to patient-specific QA. However, also for patient-specific QA no guidelines are available.”*

**ESTRO Booklet 9**  
**“Guidelines on the verification of IMRT”**

# ***First, what you can NOT commission/QA***

## **1. The optimisation algorithm**

How good/bad is in minimizing the cost function?

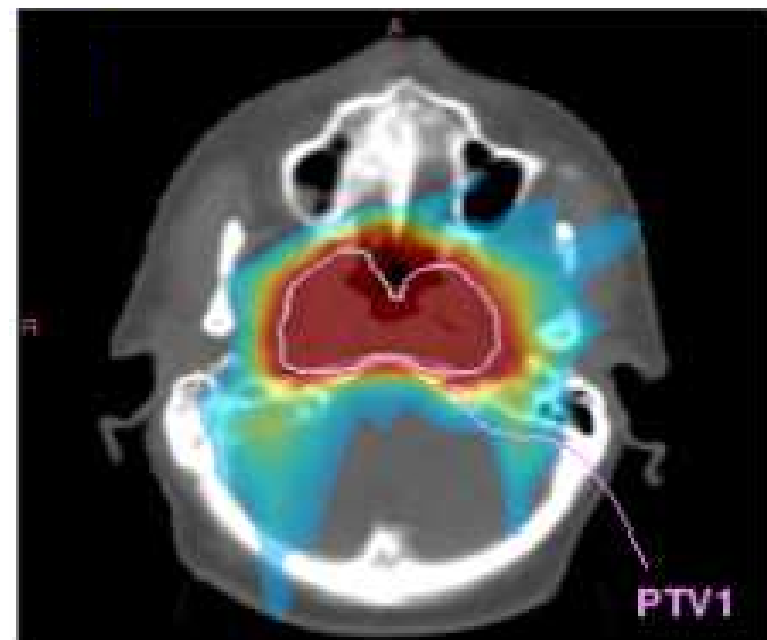
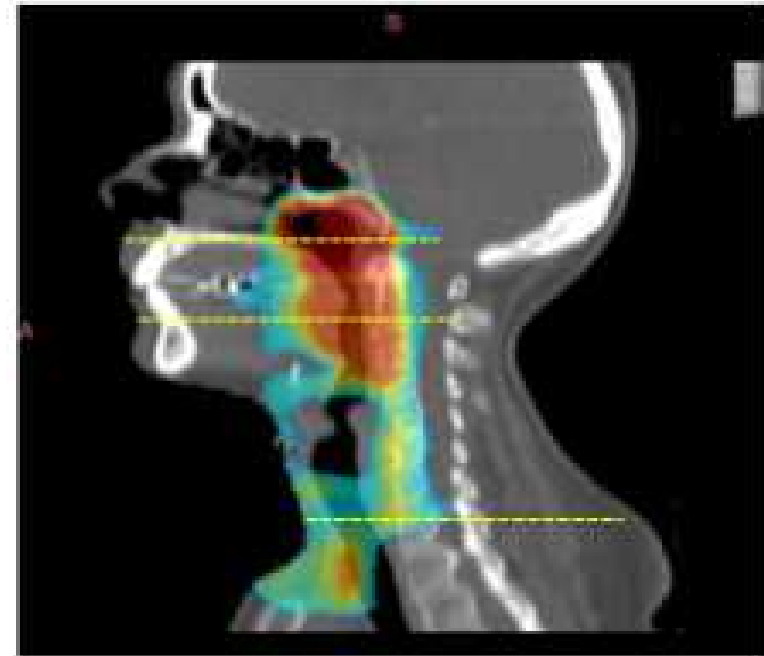
*(Unlikely to affect plan quality)*

## **2. The dose calculation algorithm used in fluence optimization**

How can I check that the solution space is properly described by the TPS ?

*(Potentially affecting plan quality if there is no optimization after segmentation)*

# IMRT planning & Dose calculation

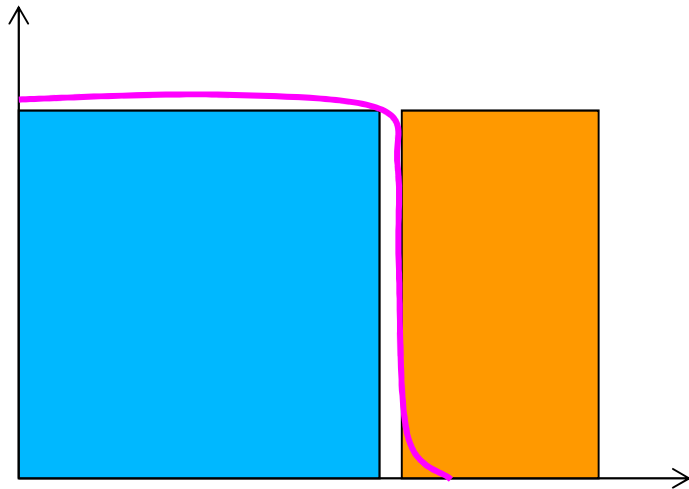
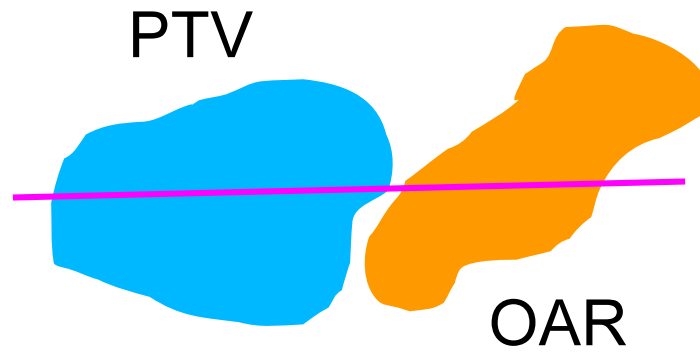


## *IMRT → Highly automated planning procedure*

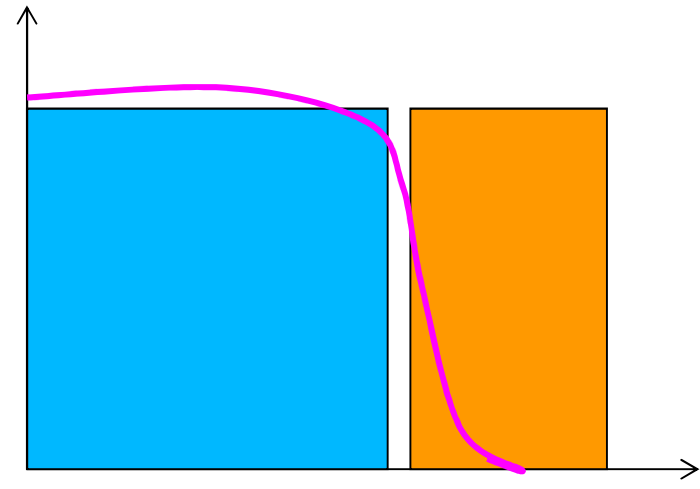
The solution is found within the solution space, which is shaped by

- ✓ Patient anatomy (geometry)
- ✓ The optimisation problem (cost function)
- ✓ The dose calculation

By defining the cost function in a dose range where calculation errors exist a bias is introduced in the optimisation problem

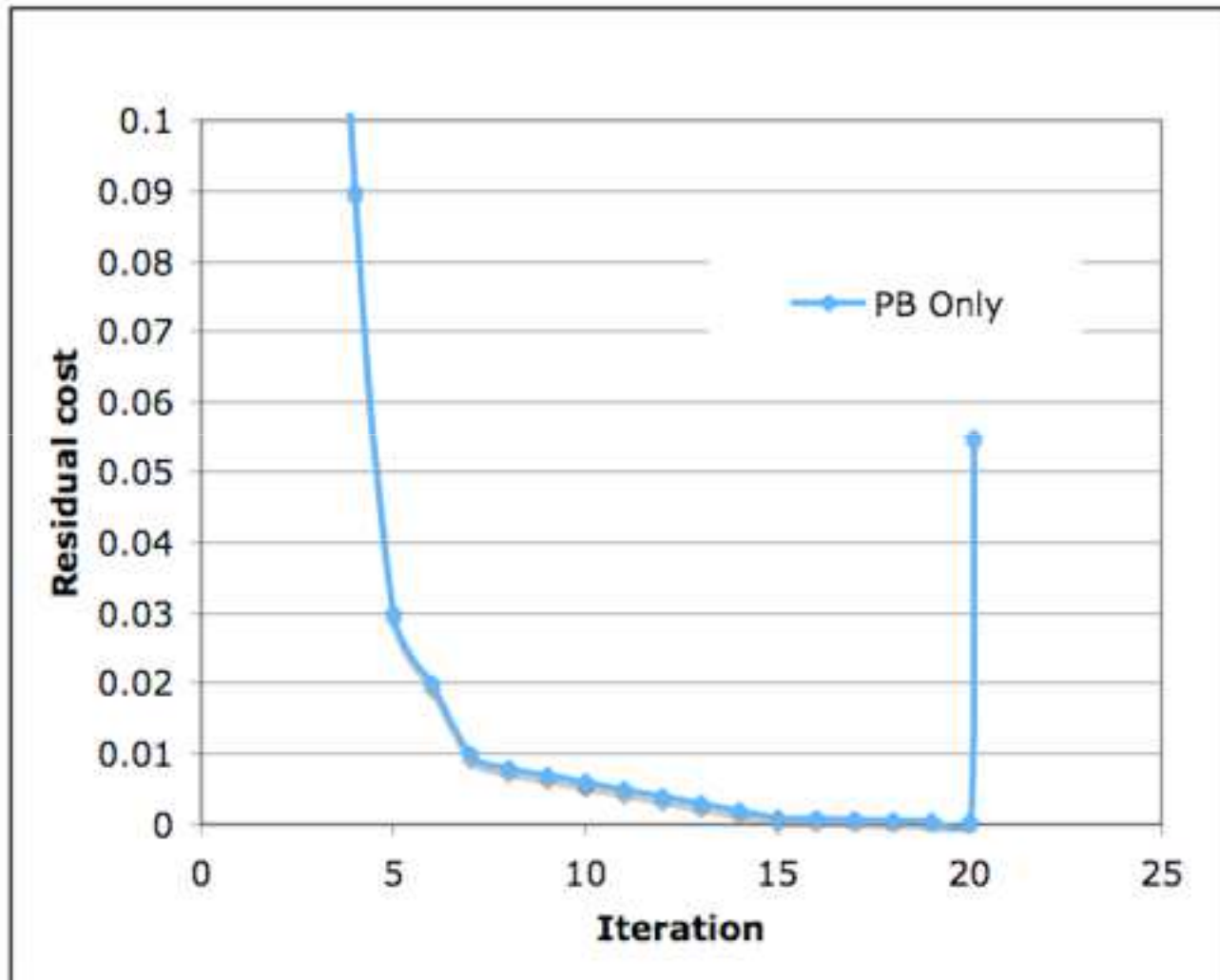


*Ideal penumbra*  
 Target coverage +  
 OAR sparing +



*Real penumbra*  
 Target coverage -  
 OAR sparing -

## *Dose calc accuracy **during** optimisation*

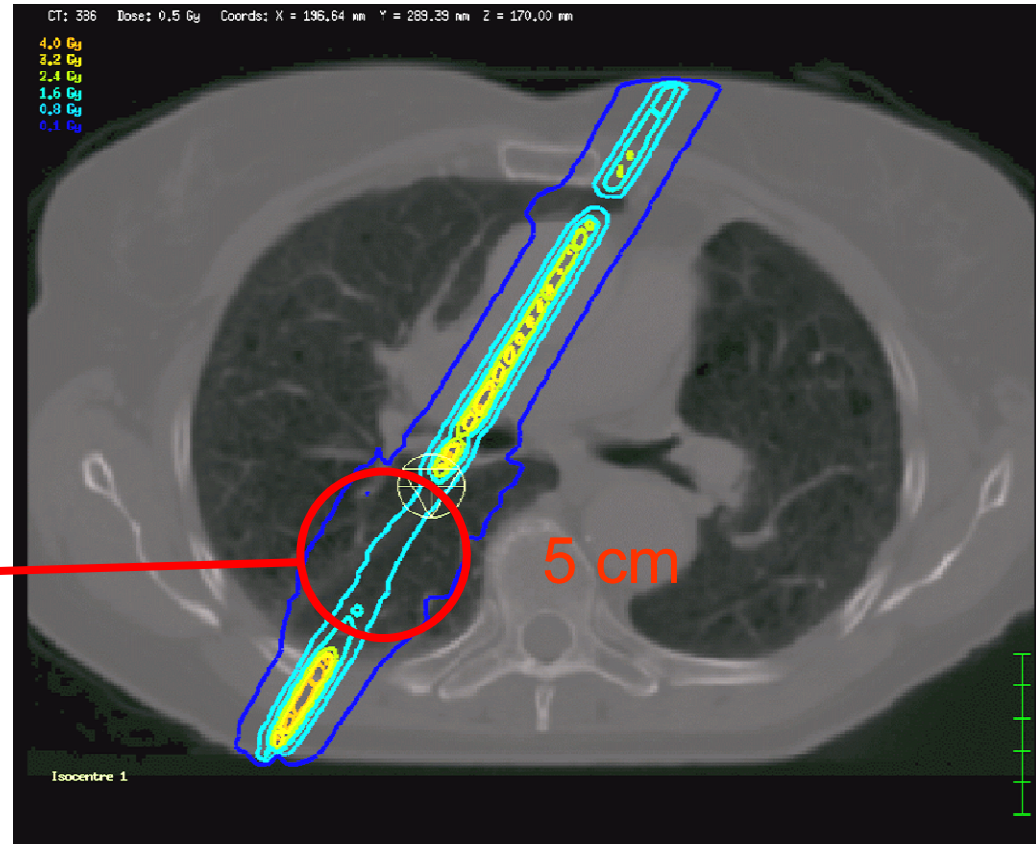
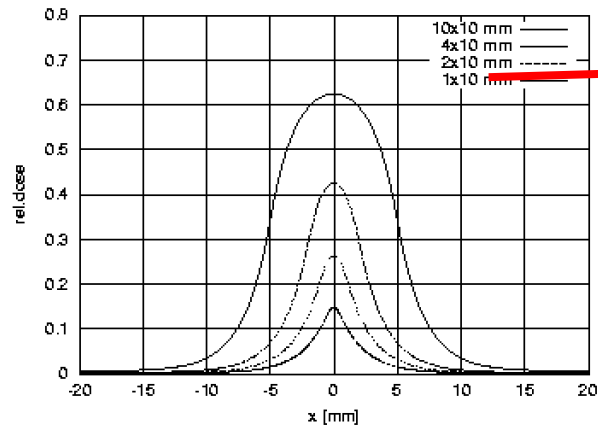




# Accurate dose computation during the optimisation

full 3D-density correction for beamlets

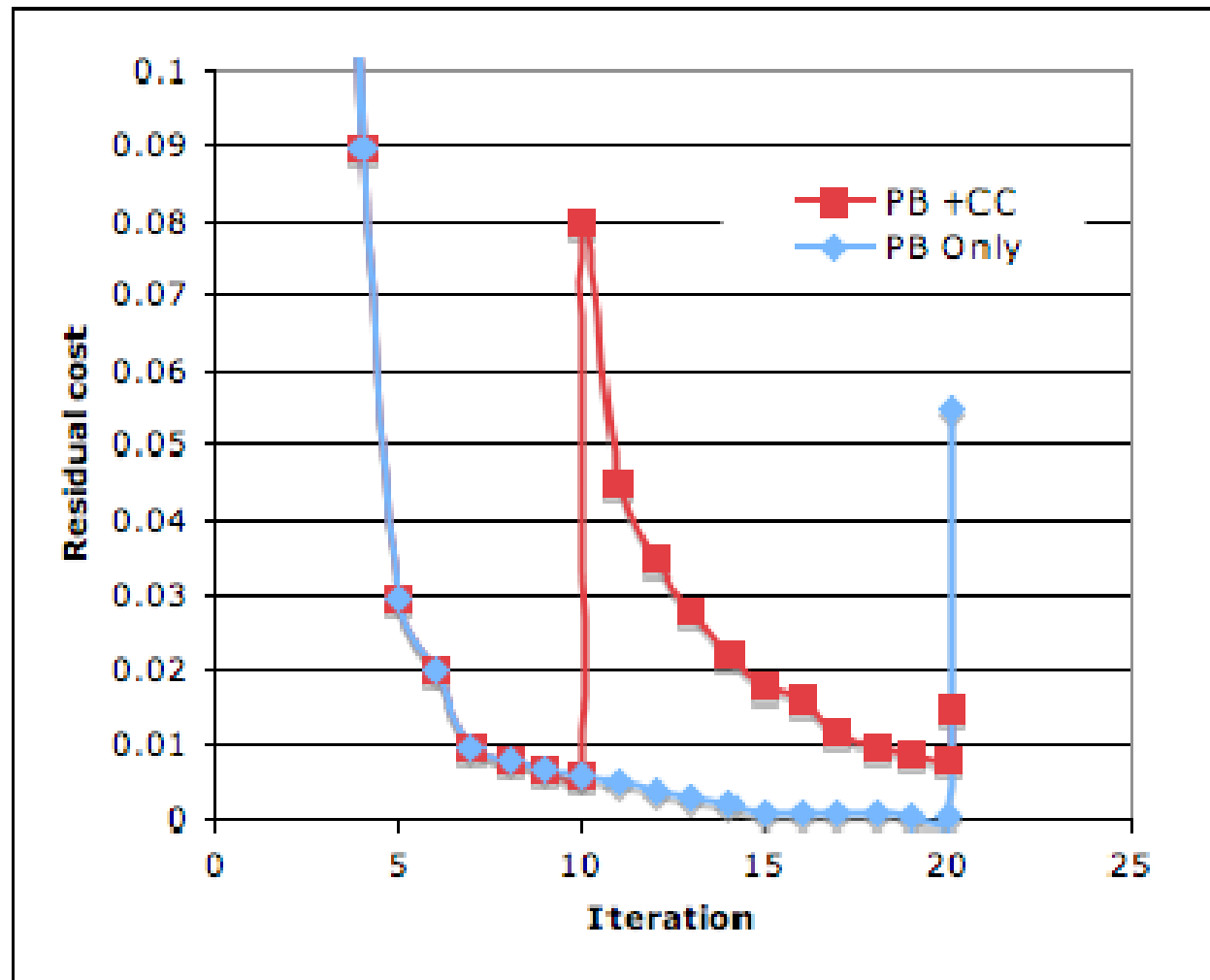
wide penumbra



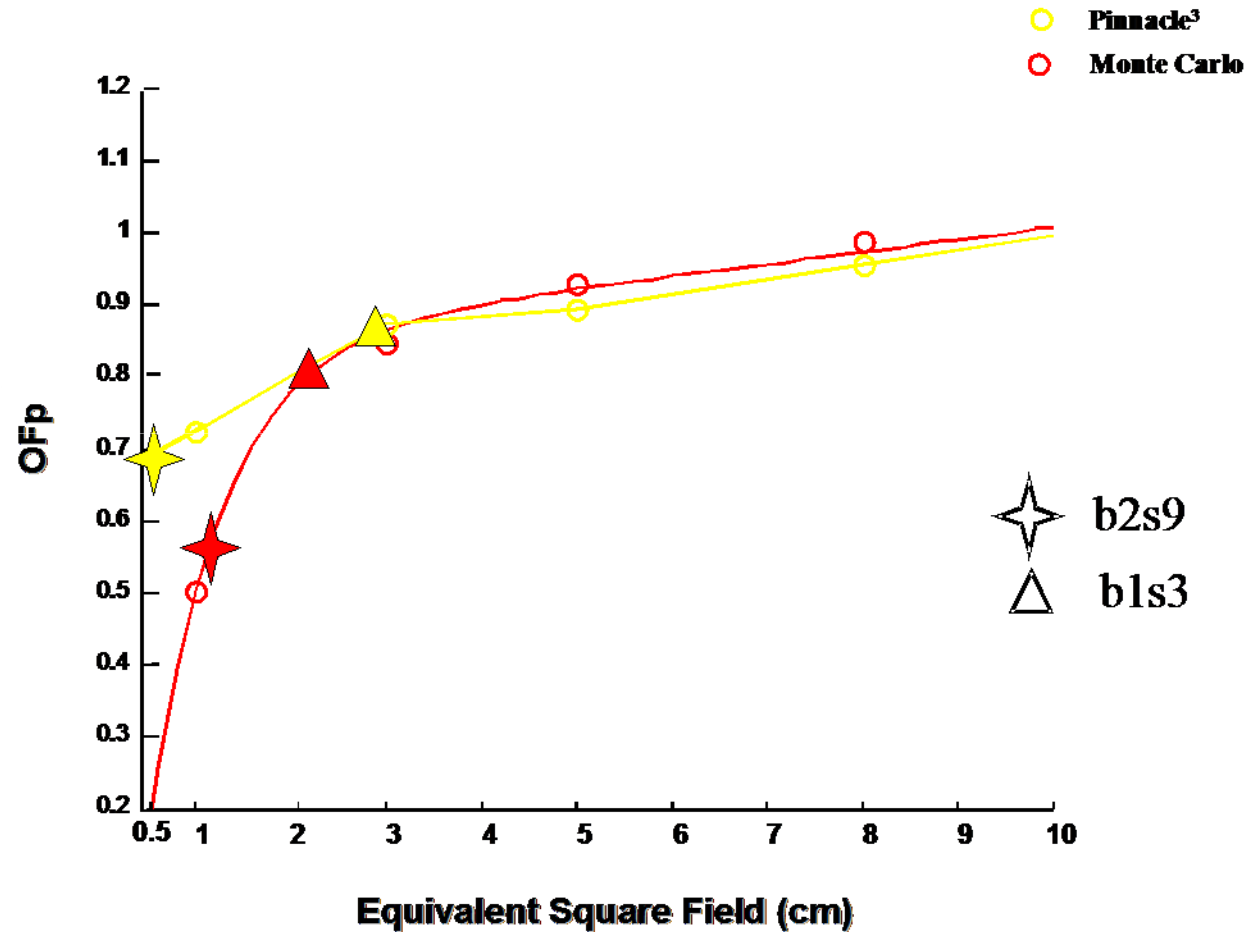
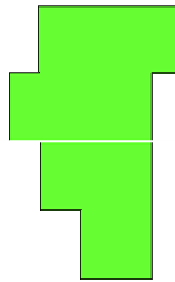
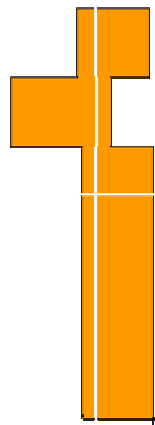
4 mm x 2 mm beamlet,  
15 MV

Courtesy M. Alber

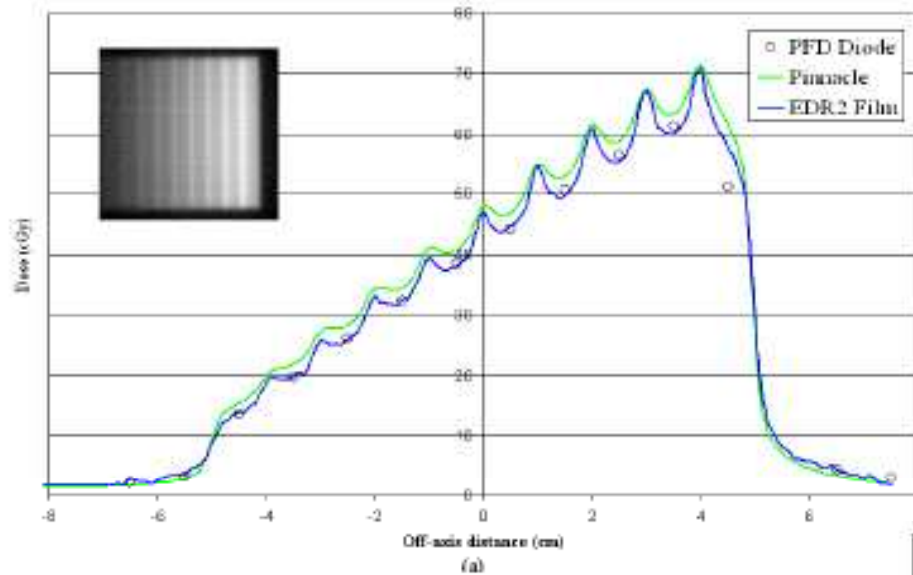
## *Combining different algorithms in the optimisation*



# 1. Modelling/measuring OF for small and/or elongated fields

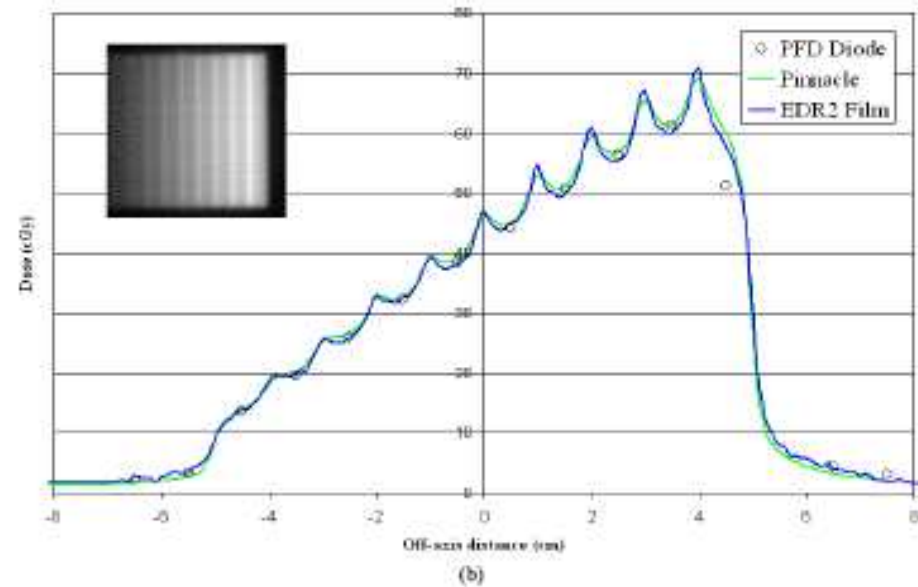


## 2. MLC modelling

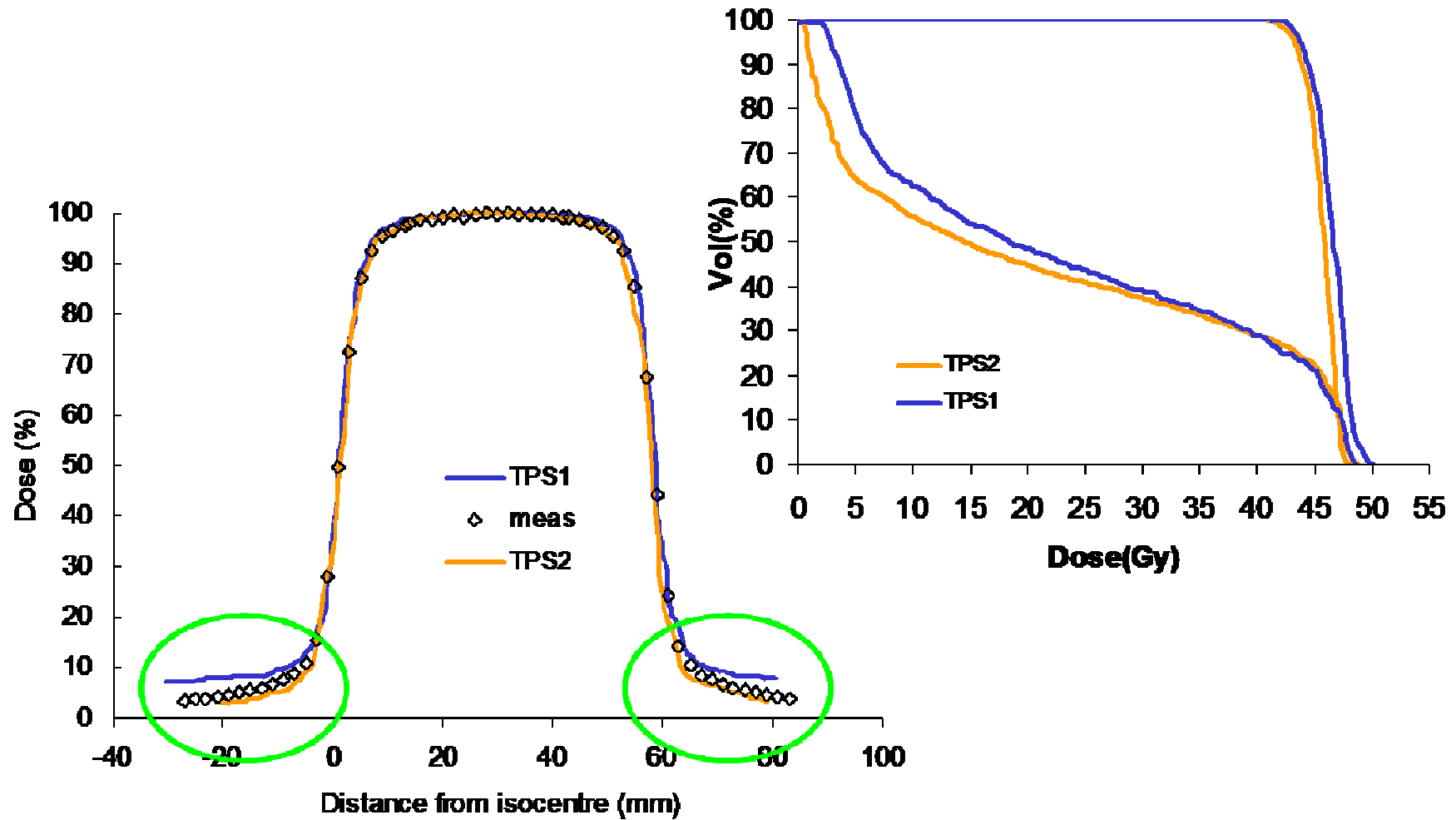


MLC attenuation: 0.023

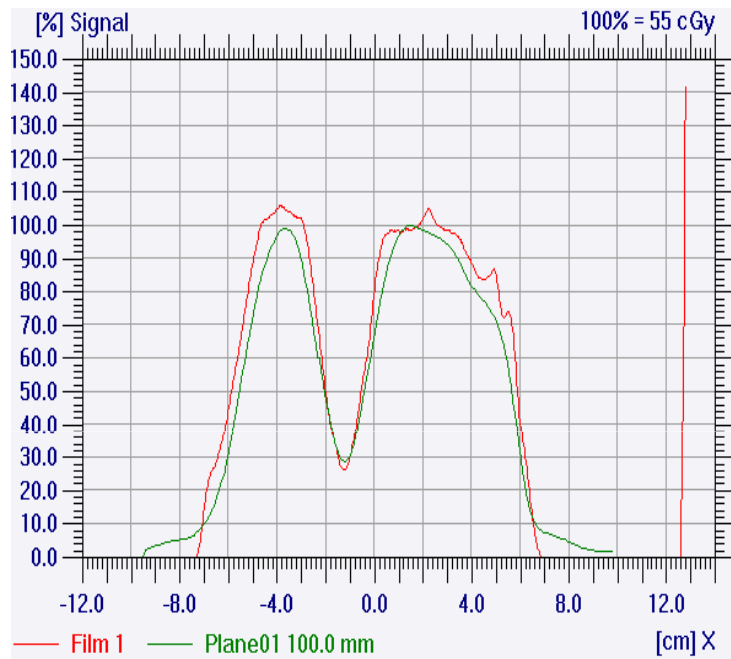
MLC attenuation: 0.018



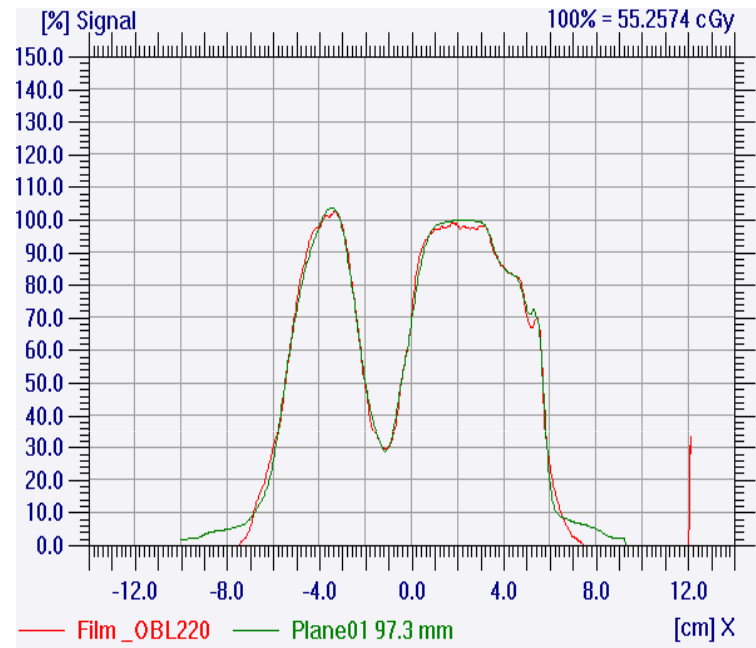
### 3. Beam 'tails' modeling



# 4. Sensitivity of beam model w.r.t. detector type



TPS characterized with IC measurements



TPS characterized with diode measurements

# *Measurements vs. calculations*

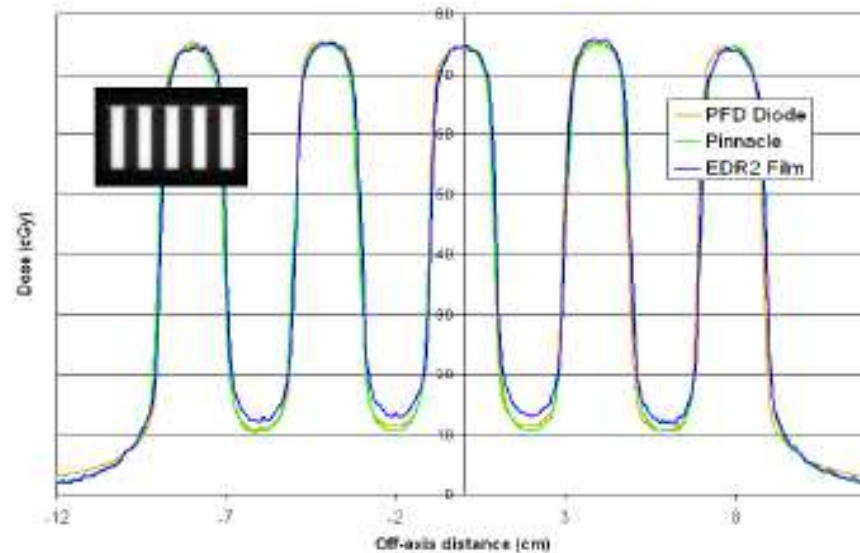
## *1D dose profiles*

Mostly used in the commissioning phase, to

Model/verify the profiles of small/off axis fields

Test the capability of the MLC/TPS to generate/calculate highly modulated dose profiles

The agreement can be evaluated with the classical dose-difference and DTA parameters



# *Measurements vs. calculations*

## *2-D dose distributions*

Typically used for TPS commissioning and patient pre-treatment verification

Applied per-field or on the total dose distribution

Combined with absolute dose point measurements

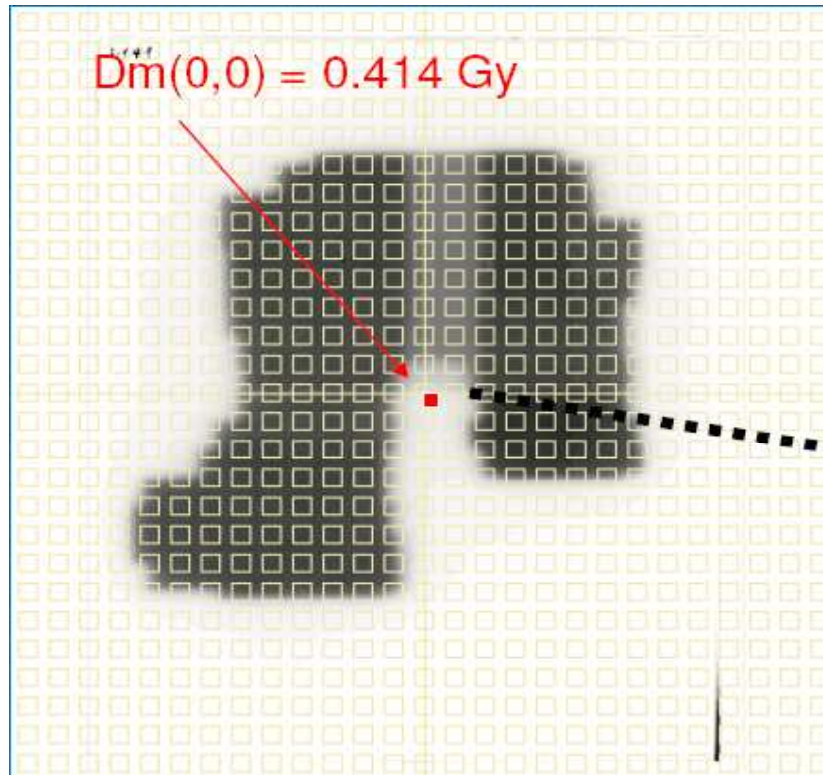
Film dosimetry or 2-D matrix of detectors are the standard tools to obtain them

The issue is how to summarize the results available comparing (stacks of) 2-D dose distributions

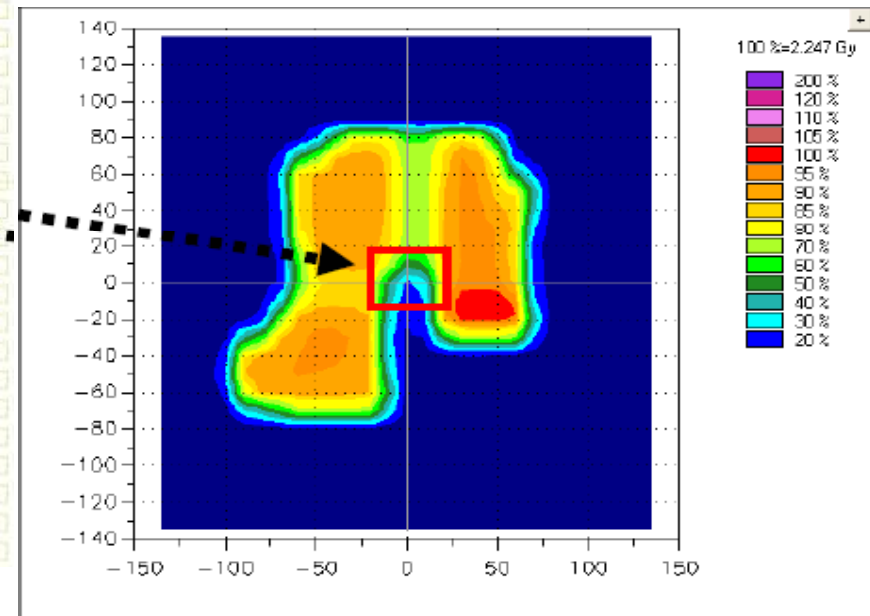




# *In practice*



Measurement



Calculation

TPS	-3	-2	-1	0	1	2	3
3	0.47	0.461	0.45	0.446	0.439	0.441	0.44
2	0.415	0.405	0.394	0.39	0.385	0.387	0.388
1	0.362	0.353	0.34	0.336	0.331	0.334	0.334
0	0.306	0.297	0.285	<b>0.281</b>	0.278	0.28	0.28
-1	0.258	0.25	0.239	0.235	0.232	0.235	0.234
-2	0.23	0.221	0.212	0.208	0.205	0.209	0.208
-3	0.206	0.197	0.189	0.186	0.183	0.186	0.185

Dmeas = 0.4 Gy

Tolerances: Dose = 3% DTA = 3mm

$$\Gamma_{r_m, r_e} = \sqrt{\left(\frac{D_{calc} - 0.4}{0.012}\right)^2 + \left(\frac{\|r_{calc} - r_{meas}\|}{3}\right)^2}$$

# *Gamma Matrix*

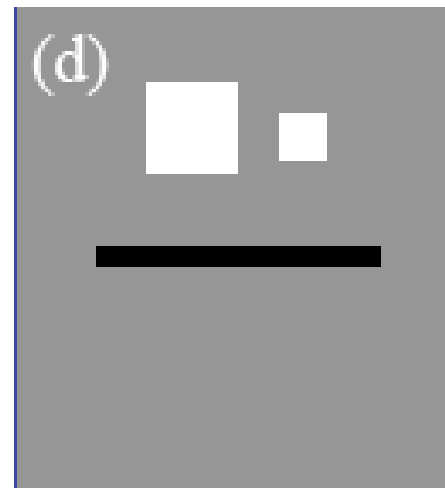
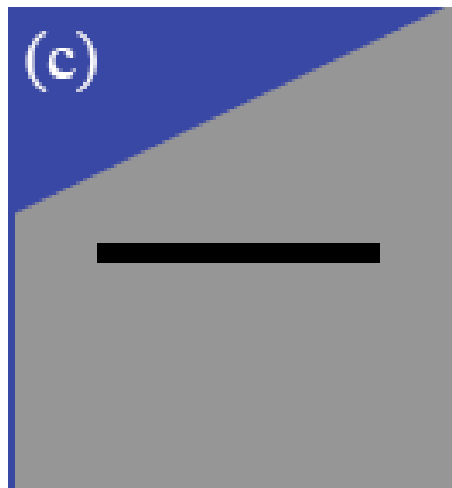
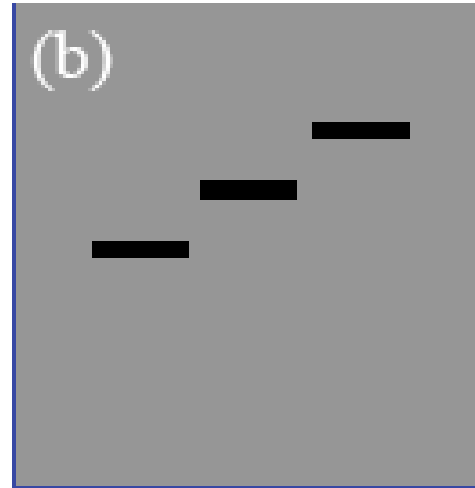
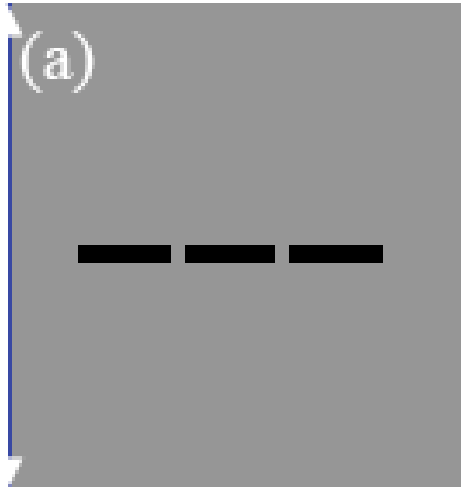
	-3	-2	-1	0	1	2	3
3	4.73	3.97	3.08	2.76	2.27	2.48	2.53
2	1.20	1.19	1.77	2.04	2.45	2.37	2.41
1	4.32	4.97	5.98	6.29	6.70	6.48	6.53
0	8.75	9.44	10.39	10.71	10.96	10.81	10.84
-1	12.60	13.23	14.10	14.42	14.66	14.43	14.53
-2	14.86	15.57	16.28	16.60	16.84	16.53	16.63
-3	16.81	17.51	18.15	18.38	18.63	18.40	18.49

Here is the gamma

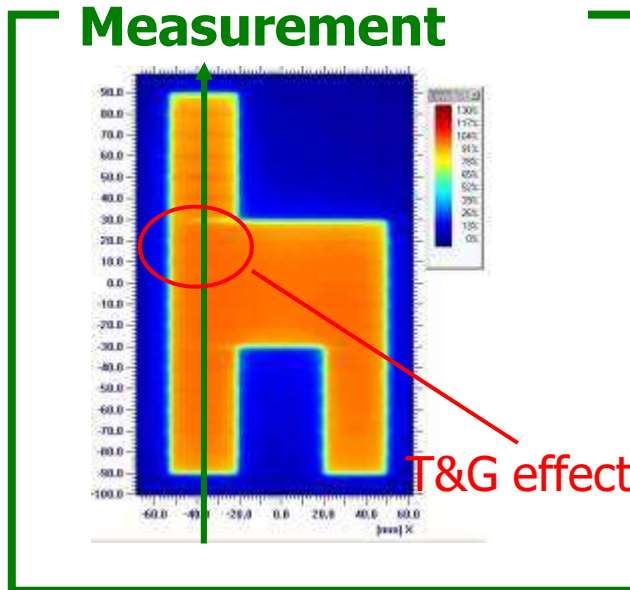
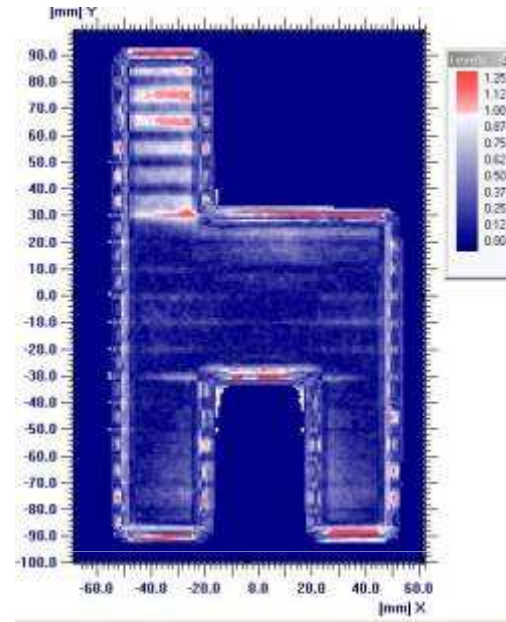
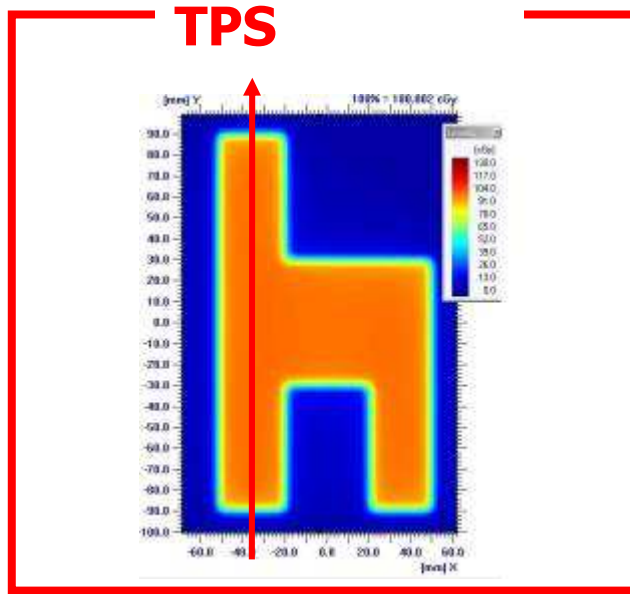
Be careful with noisy data !



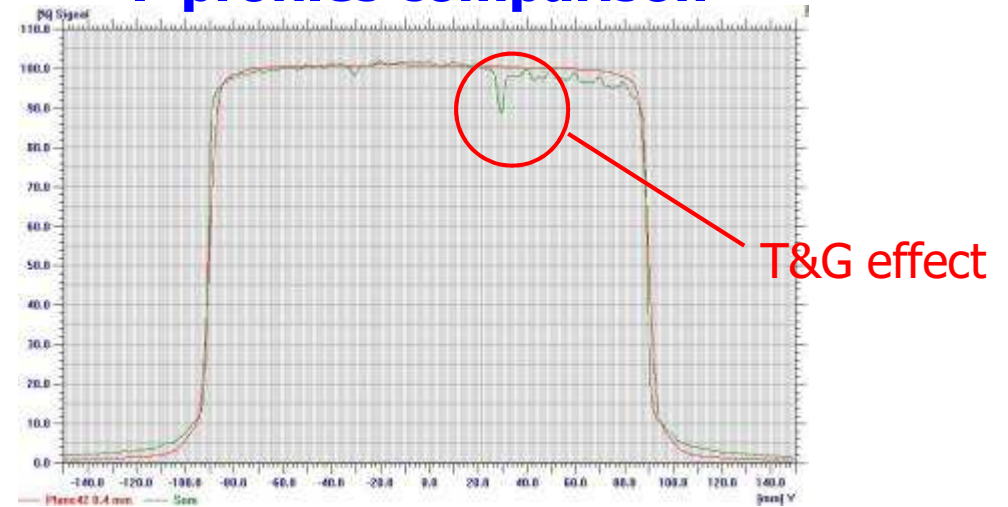
*Apply (and verify) 'test case' fluences*



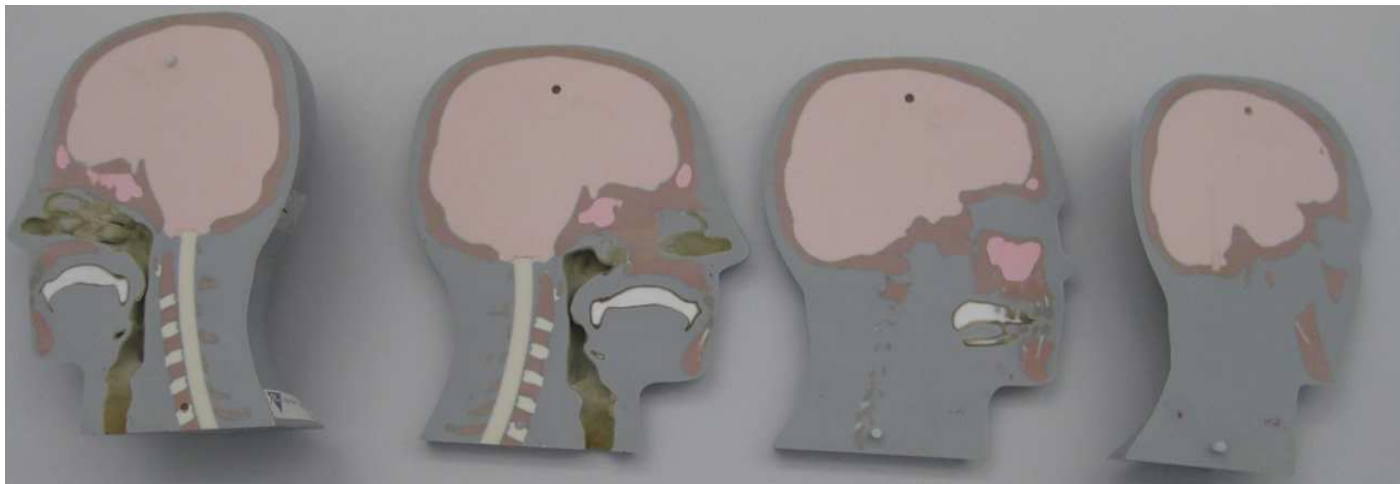
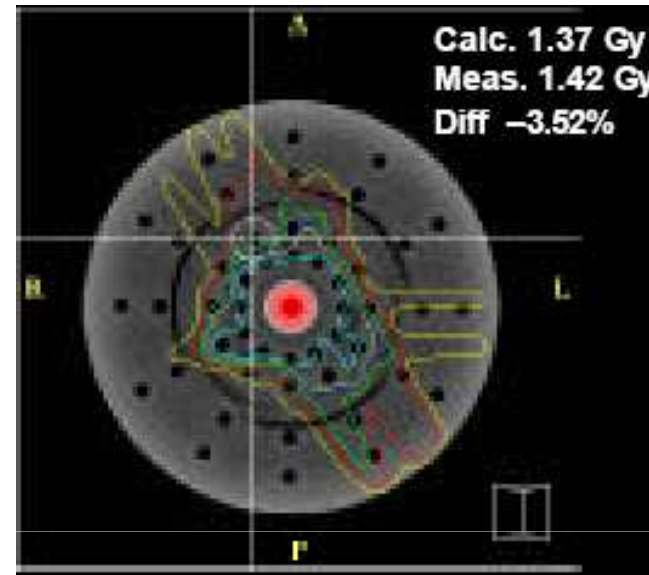
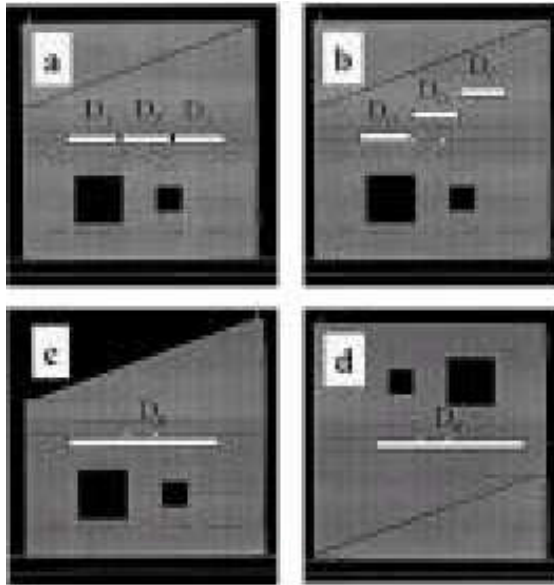
# Example - Chair Test



## Y-profiles comparison



# *Dedicated phantoms*





# Plastic vs realistic patient representations

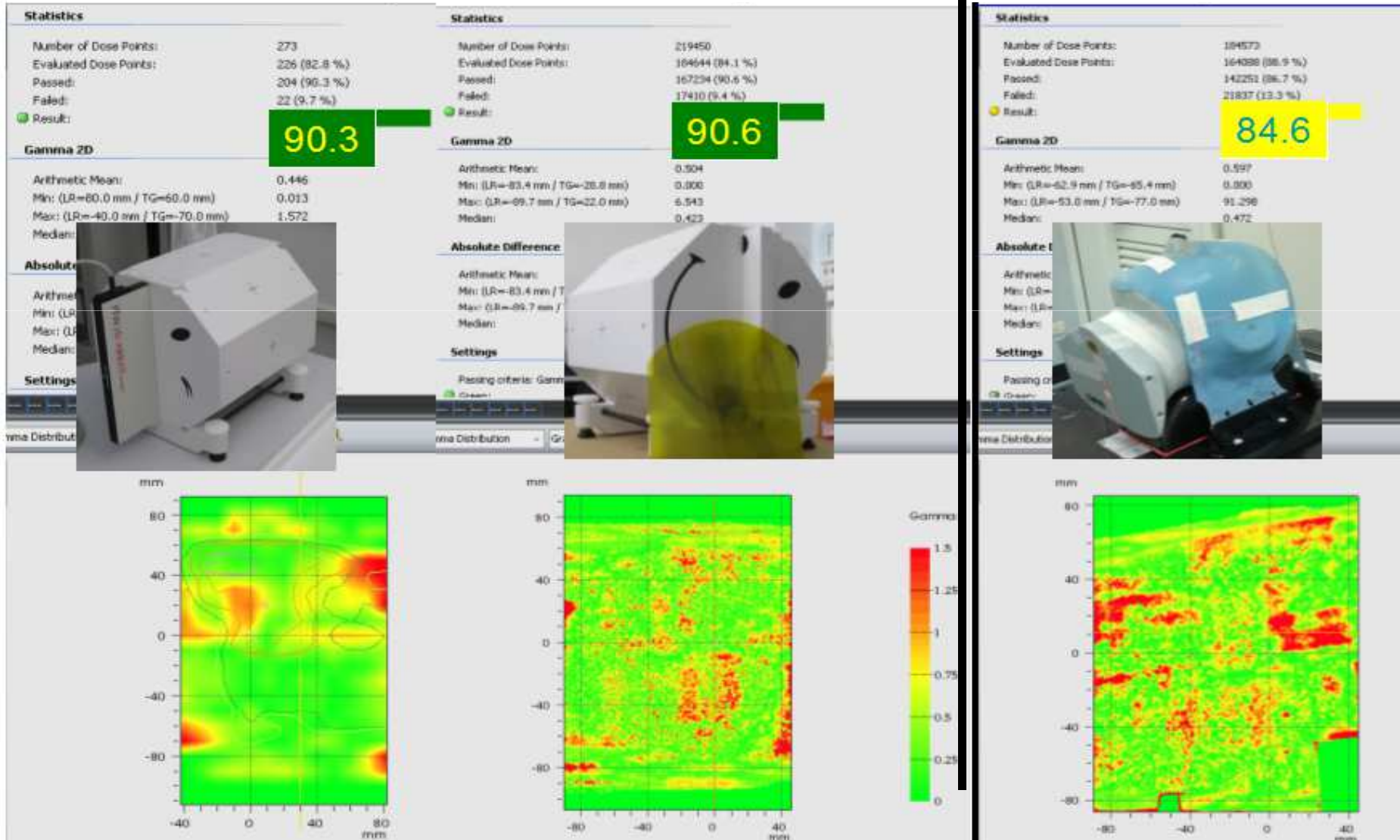
## Homogeneous

Octavius 2D array

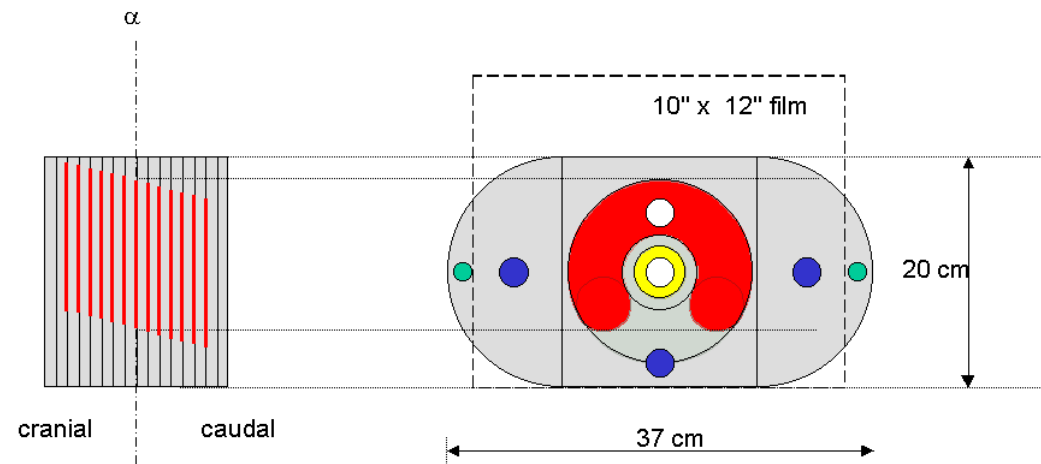
Octavius gafchromic

## Heterogeneous

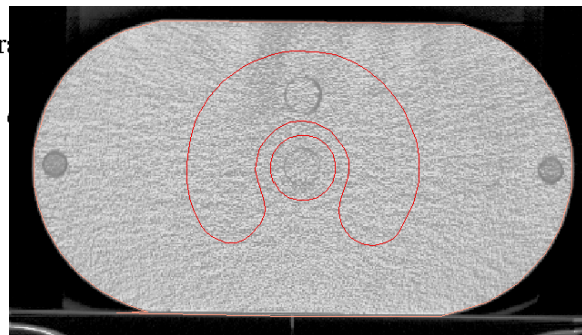
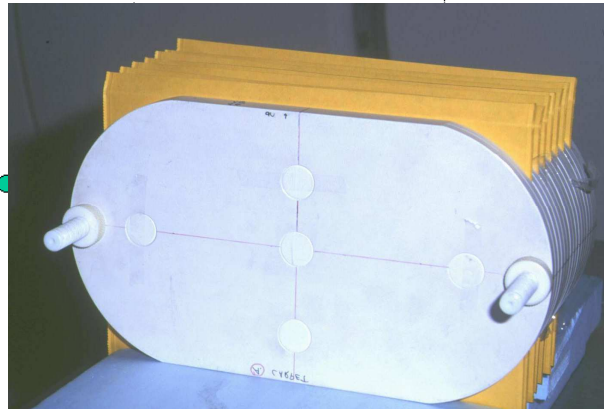
Charlie gafchromic



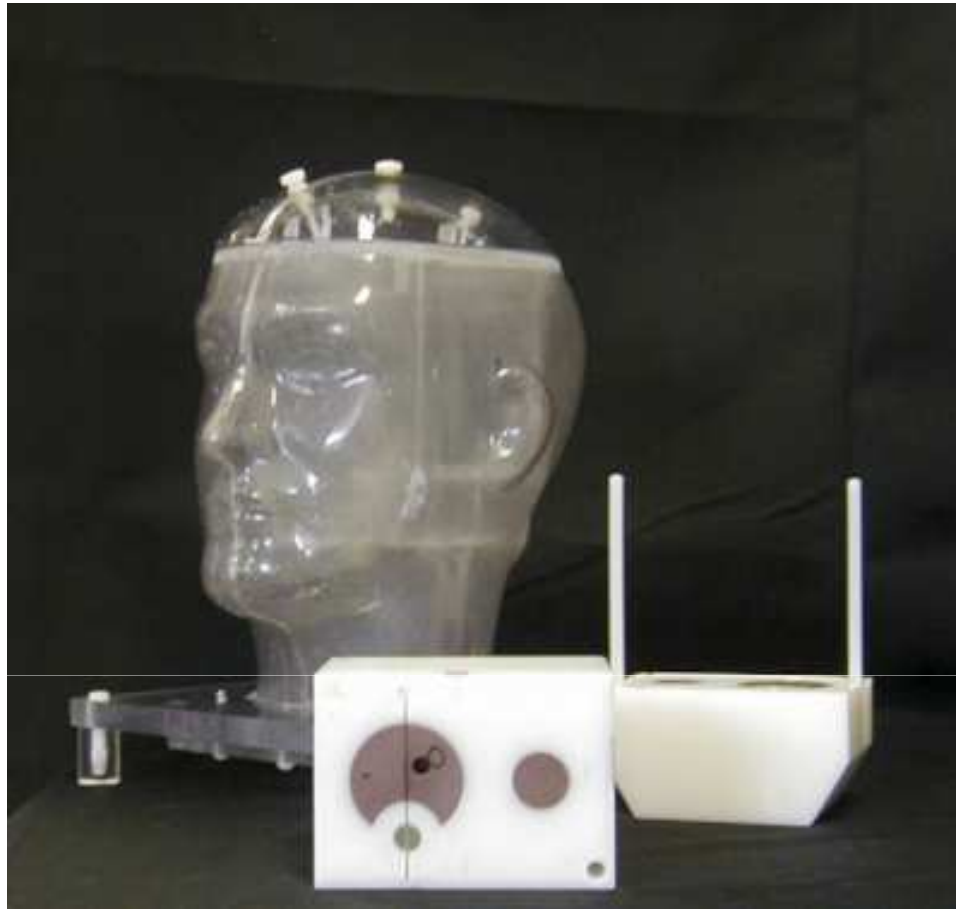
# *External audits in IMRT commissioning/verification*



Be sure to solve  
treatment planning  
problems  
representative of **your**  
clinical practice !



Gillis et al, R&O 2005



## *RPC experience - US*

Acceptance criteria: 7% and 4mm

Ibbott IJROBP 2008

Table 1. Institution passing rates with the Radiological Physics Center phantoms

Phantom	Head and neck	Prostate	Thorax	Liver
Irradiations	250	64	24	4
Pass	179	55	17	3
Fail	71	9	7	1
Year introduced	2001	2004	2004	2005

## Conclusions

IMRT is about 15 years old. You can learn from other people successes and mistakes.

Specs, Acceptance, Commissioning and QA should be approached as elements of an *unicum*.

IMRT on a large scale implies effective and fast QA, which implies satisfactory TPS commissioning.

## General Guidelines

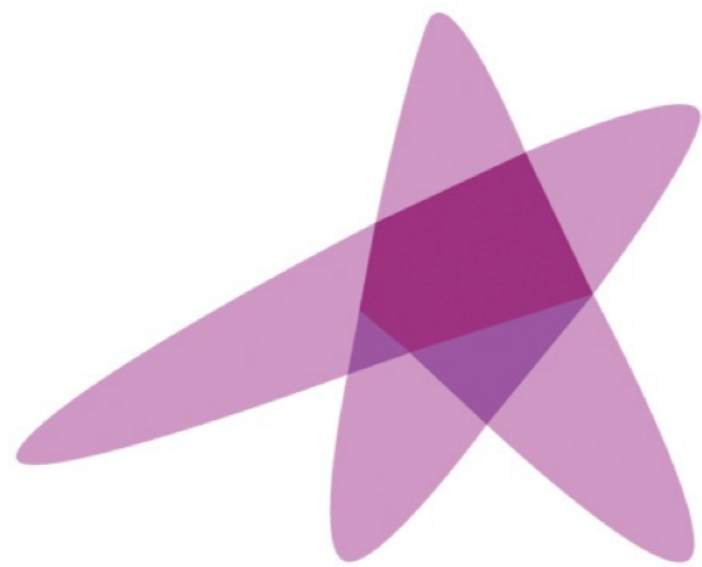
ESTRO Booklet 9, *Guidelines for the verification of IMRT*,

[http://www.estro-education.org/publications/Documents/Booklet9\\_Physics.pdf](http://www.estro-education.org/publications/Documents/Booklet9_Physics.pdf)

IPEM Project 527, *Guidance for the Clinical Implementation of Intensity Modulated Radiation Therapy*, IPEM 2008.

Galvin JM et al, *Implementing IMRT in clinical practice ...*, Int. J. Radiat. Oncol. Biol. Phys. 58, 1616–1634, 2004.

Ezzell GA et al, *Guidance document on delivery, treatment planning ...*, Med Phys. 30 2089–2115, 2003.



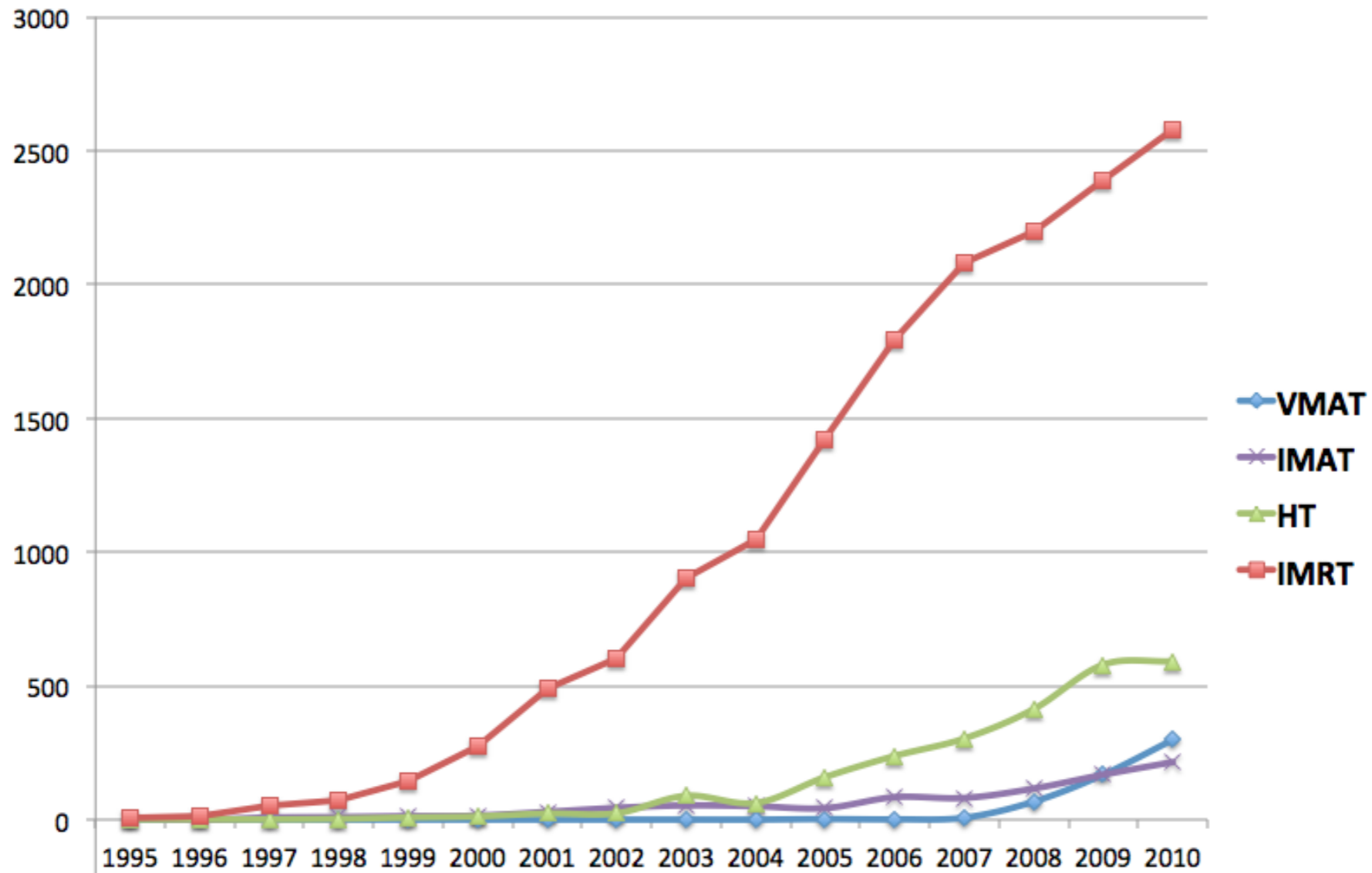
**ESTRO**

*School*

# Rotational Therapy

**Koen Tournel - Physicist**  
Radiotherapy Department UZ Brussel

# Evolution of interest



## Hits raise last year:

7.9% for IMRT (1982)  
(last 3 years 7.1%)

28% for IMAT (1995)  
(last 3 years 26.9%)

2.2% for HT (1995)  
(last 3 years 17.8%)

77% for VMAT (2005)  
(last 3 years 58.0%)



# Nothing new (1956)...

MAY 1956

*Automatic Control of the Tube Current as a Means of Dose Regulation in Tangential Rotation*

**AUTOMATIC CONTROL OF THE TUBE CURRENT AS A MEANS OF DOSE REGULATION IN TANGENTIAL ROTATION**

By PROFESSOR H. HOLTHUSEN, M.D., F. GAUWERKY, M.D., and F. HEINZEL, M.D.  
*From the Radiotherapy Department, St. George's General Hospital, Hamburg, Germany*  
(An invited contribution for the Diamond Jubilee Number)

SINCE the introduction of tangential pendulum irradiation or tangential rotation for post-operative X-ray treatment of cancer of the breast by Hare, Trump and Webster in 1952, a lively interest has arisen in Germany, particularly as the result of the publications by Rossmann (1954 and 1955), and Becker, Werner and Kuttig (1954), in this efficient method of irradiation. Tangential rotation offers excellent possibilities for optimum sparing of the

of the usual commercial moving-beam therapy appliances on a recumbent patient. In this case, according to the design of the pendulum apparatus, either the central ray is set eccentrically by tilting the tube out of the pendulum axis (Rossmann, 1954) or an eccentric tangential X-ray beam is diaphragmed from a tube unaltered in position. For this purpose, using the universal irradiation apparatus TU I of Messrs. C. H. F. Müller, Hamburg, which we have at our disposal, a continuously adjustable tangential slot diaphragm is used with whose aid tumour field

operated irradiation. It must be noted that the angle of the direct radiated beam is lateral circum-rotation of the phantoms in the part near

Of the two possibilities available in principle to carry out the desired compensation, namely **variable speed of the X-ray tube movement during irradiation on the one hand and variation of dose output on the other**, the latter was chosen since a regulation of the tube current in accordance with a pre-determined scheme could be achieved with less constructional difficulties. **Thus the tube current will have to be reduced in the higher dosed skin areas, and increased in the positions of the tube in which the surface areas are lower dosed.** For this purpose, distribution schemes for the tube current

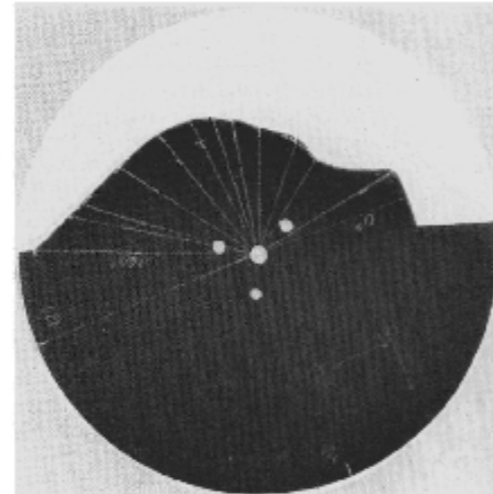
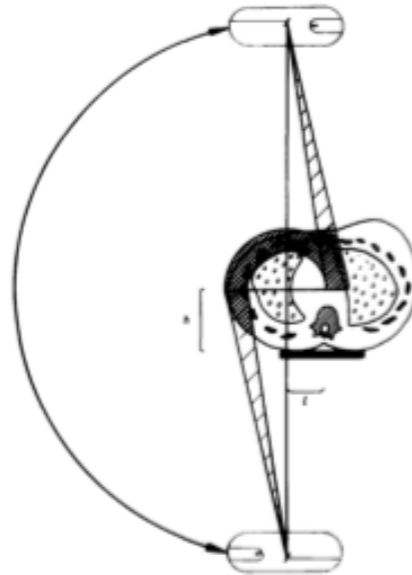


FIG. 1.

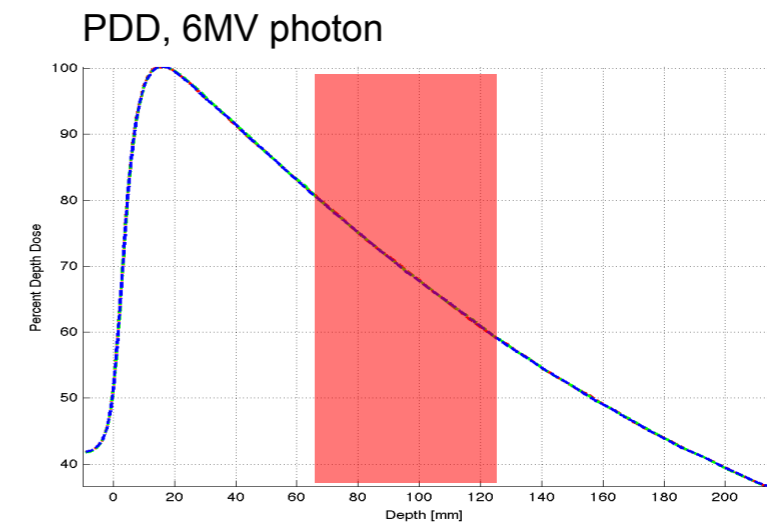
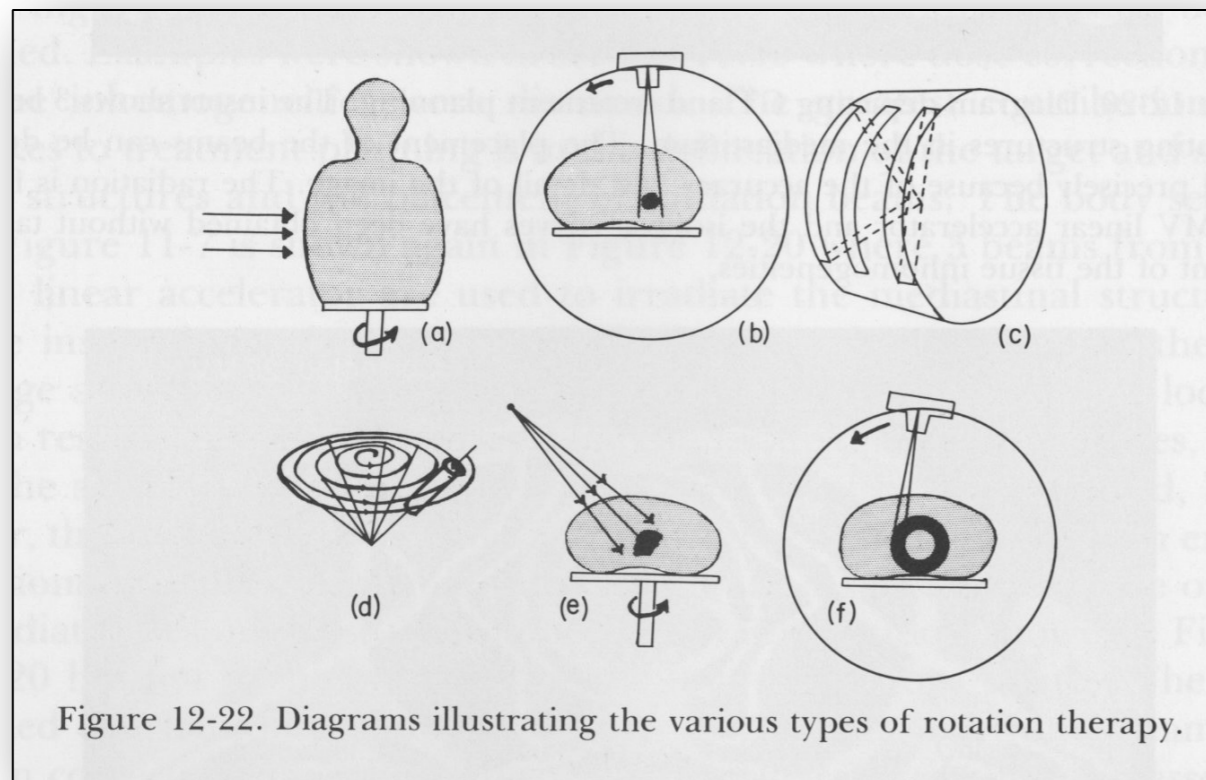
FIG. 2.

British Journal of Radiology, 1956

“Rotational RT has been around for some time, even longer than IMRT ...”

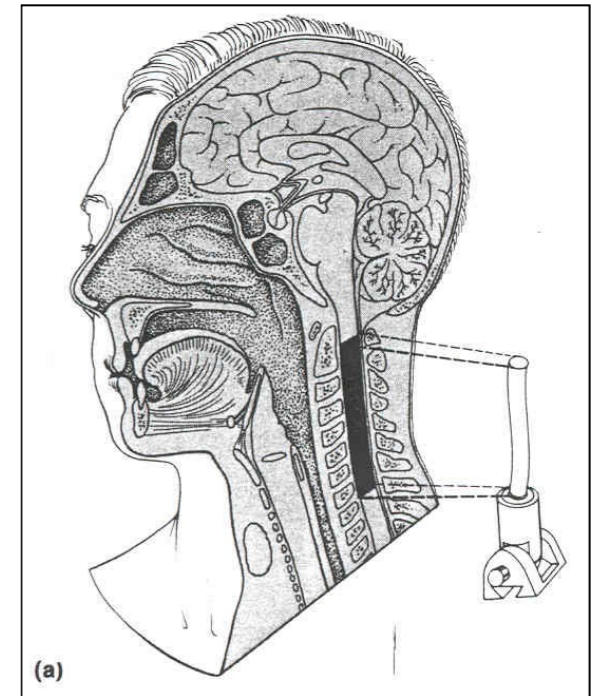
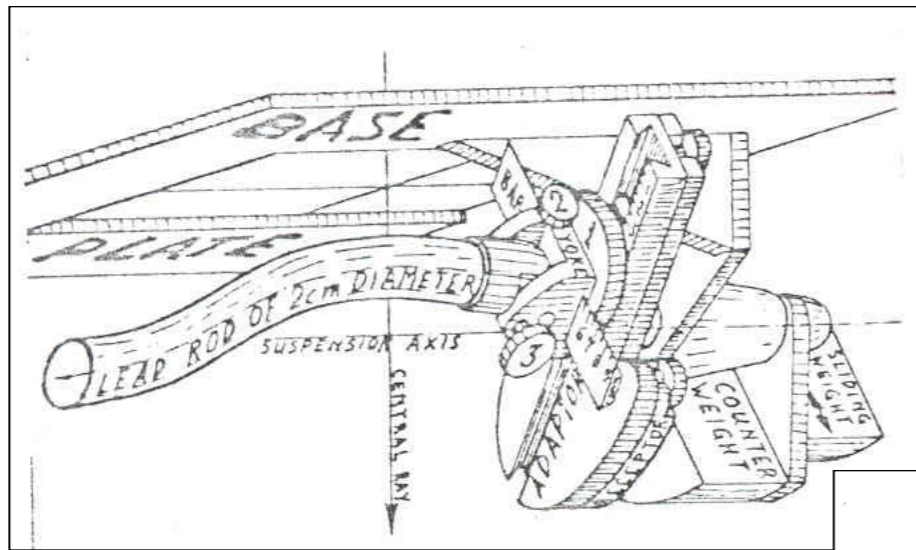
# Nothing new...

- “A logical extension of multiple beam therapy is to use 1 beam, have it directed towards the tumour, and cause the machine to rotate about an axis through the tumour, or keep the machine fixed and rotate the patient about this axis ...”
- When the radiotherapist was limited to the use of 250 kV X-rays, it was very difficult to get enough radiation into an internal tumour ... As a result many workers developed rotation techniques ...”

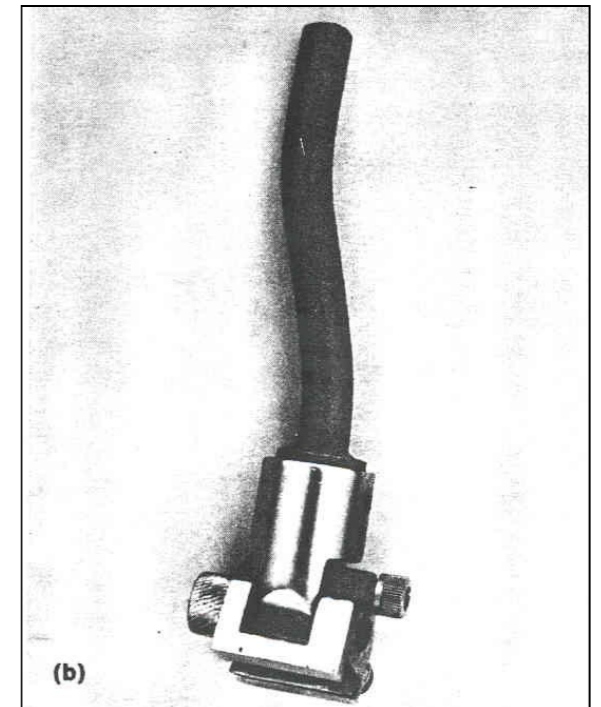
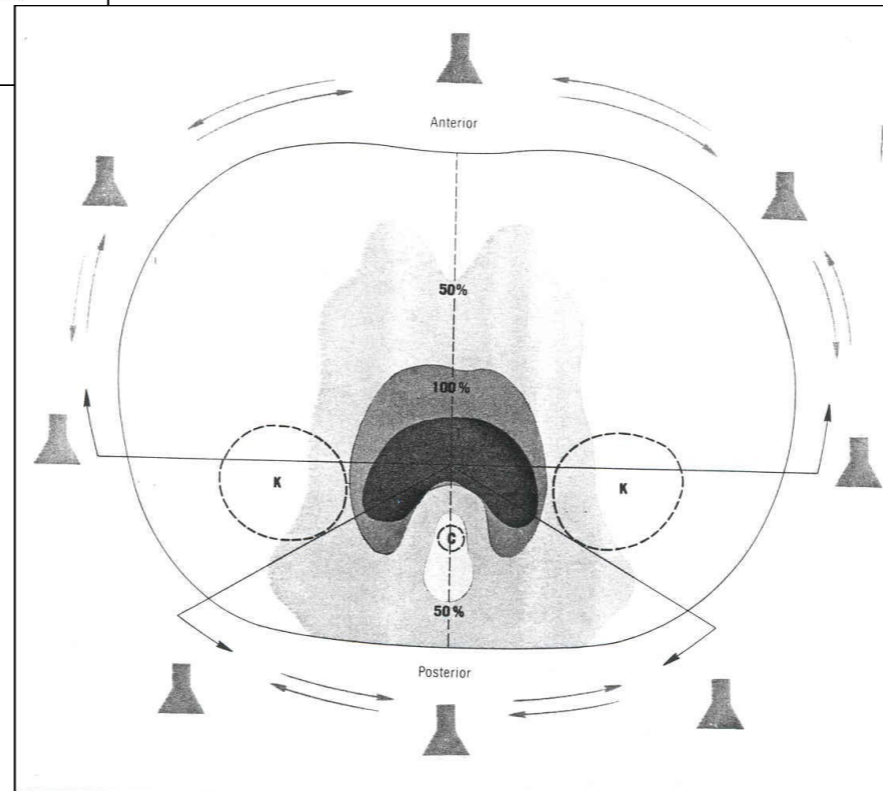


# Nothing new...(1966)

“Shielding spinal cord during arc therapy”



“Creative solutions for OAR sparing in arc therapy”



Nothing new : 1982

## Brahme's Seminal Paper on IMRT



“In 1982, Anders Brahme first showed that multiple intensity modulated fields of radiation would lead to more conformal dose distributions that would spare normal tissue.”

# Nothing new : 1993-2004

## Tomotherapy: A new concept for the delivery of dynamic conformal radiotherapy

T. Rock Mackie

*Department of Medical Physics and Human Oncology, University of Wisconsin, Madison, Wisconsin*

Timothy Holmes and Stuart Swerdloff

*Department of Medical Physics, University of Wisconsin, Madison, Wisconsin*

Paul Reckwerdt and Joseph O. Deasy

*Department of Medical Physics and Human Oncology, University of Wisconsin, Madison, Wisconsin*

James Yang

*Department of Medical Physics, University of Wisconsin, Madison, Wisconsin*

Bhudatt Paliwal

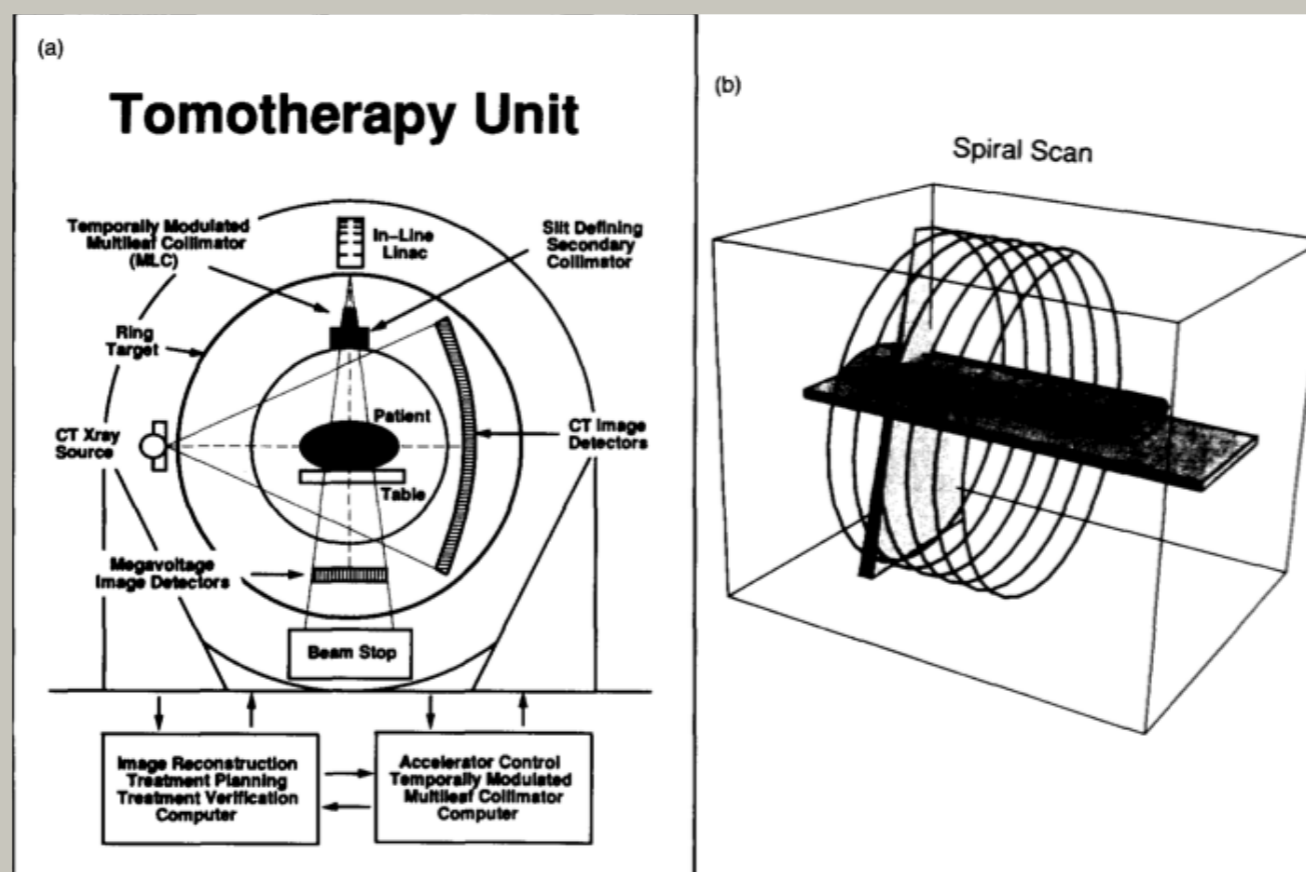
*Department of Medical Physics and Human Oncology, University of Wisconsin, Madison, Wisconsin*

Timothy Kinsella

*Department of Human Oncology, University of Wisconsin, Madison, Wisconsin*

(Received 20 July 1992; accepted for publication 14 June 1993)

Tomotherapy, literally "slice therapy," is a proposal for the delivery of dynamic conformal intensity-modulated strips of radiation. The proposed method uses a linear accelerator and another radiation-emitting device, which would be mounted on the gantry. The patient would move through the bore of the gantry simultaneously with the rotation of the gantry. The intensity modulation would be performed by temporarily closing the leaves that open and close across the slit opening. At any given time, the leaves are (1) closed, covering a portion of the slit, (2) open, allowing radiation to pass between these states. This method would result in the delivery of dynamic conformal intensity-modulated strips of radiation. Overall treatment times should be comparable with contemporary intensity-modulated radiotherapy. The gantry design would make it convenient to mount a narrow multi-leaf collimator system for beam verification and a CT scanner on the treatment unit. This system could become a powerful tool for treatment planning, conformal treatment, and verification using tomographic images. The physical properties of this treatment delivery are evaluated and the fundamental design specifications are justified.



# Nothing new...1995

- Cedric Yu's paper in PMB 1995 and patent
- Using multiple superimposed arcs in IMAT

## United States Patent [19]

Yu

[11] Patent Number: **5,818,902**

[45] Date of Patent: **Oct. 6, 1998**

[54] **INTENSITY MODULATED ARC THERAPY WITH DYNAMIC MULTI-LEAF COLLIMATION**

[75] Inventor: **Cedric X. Yu**, Bloomfield Hills, Mich.

[73] Assignee: **Elekta AB**, Stockholm, Sweden

[21] Appl. No.: **609,457**

[22] Filed: **Mar. 1, 1996**

[51] Int. Cl.<sup>6</sup> ..... **A61N 5/10**

[52] U.S. Cl. .... **378/65; 378/151**

[58] Field of Search ..... **378/65, 147, 150, 378/151, 152; 250/492.3, 505.1**

### [56] **References Cited**

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5,351,280 9/1994 Swerdloff et al. .  
5,394,452 2/1995 Swerdloff et al. .  
5,418,827 5/1995 Deasy et al. .  
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5,555,283 9/1996 Shiu et al. .... 378/65 X  
5,596,619 1/1997 Carol ..... 378/65

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*McGraw-Hill Encyclopedia of Science & Technology*, 6th Edition, vol. 15, pp. 154 and 155 (1987).

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*McGraw-Hill Encyclopedia of Science & Technology*, 6th Edition, vol. 13, pp. 413 and 414 (1987).

*McGraw-Hill Encyclopedia of Science & Technology*, 6th Edition, vol. 15, pp. 138 and 139 (1987).

*McGraw-Hill Encyclopedia of Science & Technology*, 6th Edition, vol. 4, pp. 292-293 (1987).

*McGraw-Hill Encyclopedia of Science & Technology*, 6th Edition, vol. 18, pp. 28 and 29 (1987).

Primary Examiner—David P. Porta

Attorney, Agent, or Firm—Jack D. Slobod; Dwight H. Renfrew

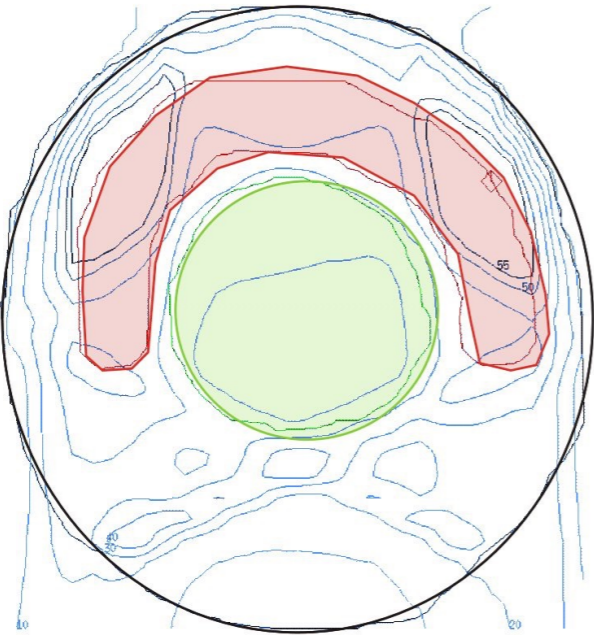
### [57] **ABSTRACT**

A method and apparatus for delivering optimized treatment plans to deliver relatively high doses of ionizing radiation to target tissues while minimizing dose to the surrounding healthy tissues. The present invention utilizes continuous gantry motion in which field shape, which is conformed with a multi-leaf collimator, changes during gantry rotation. Using multiple superimposing arcs, arbitrary two-dimensional beam intensity distribution at different beam angles can be delivered, giving arbitrary dose distribution in the patient to maximize the therapeutic ratio.

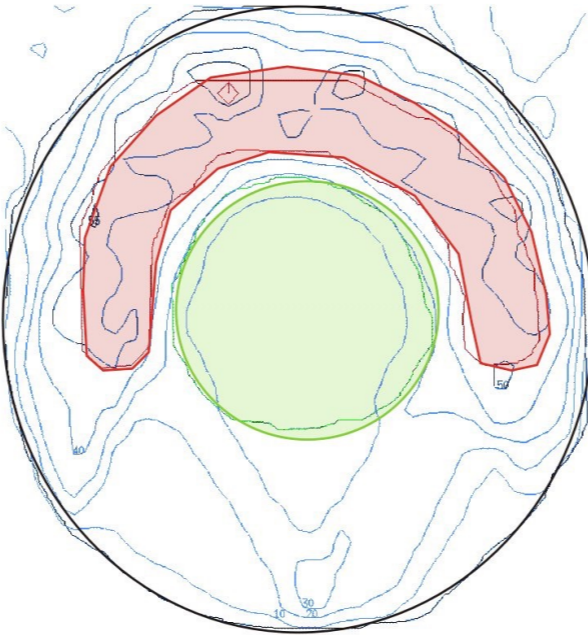
**25 Claims, 9 Drawing Sheets**

# Gent experience...

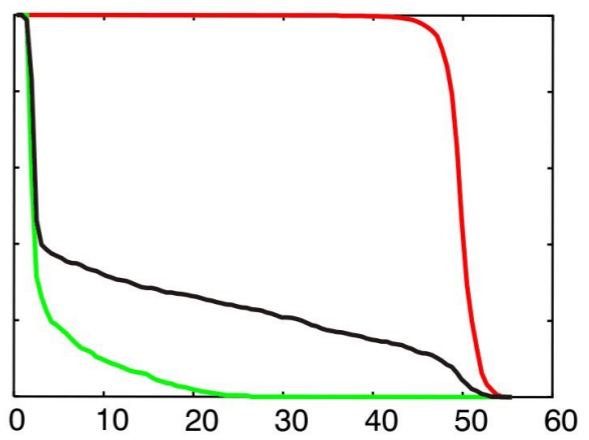
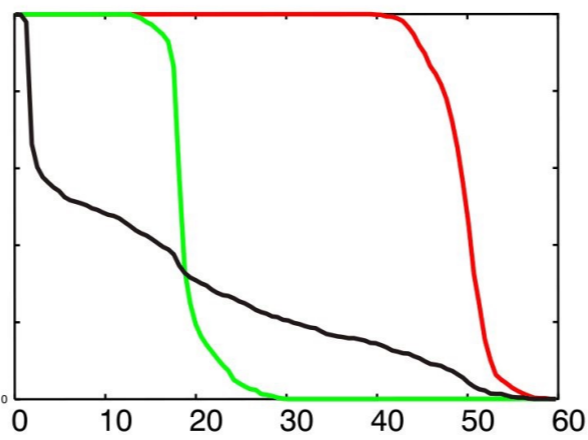
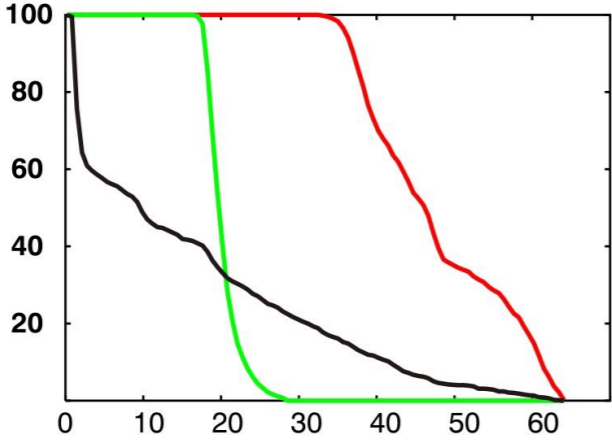
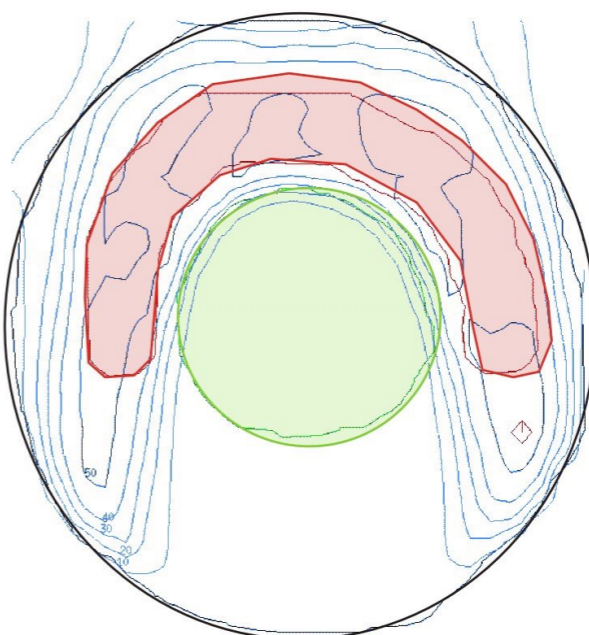
## 3 beam IMRT



## 7 beam IMRT



## IMAT



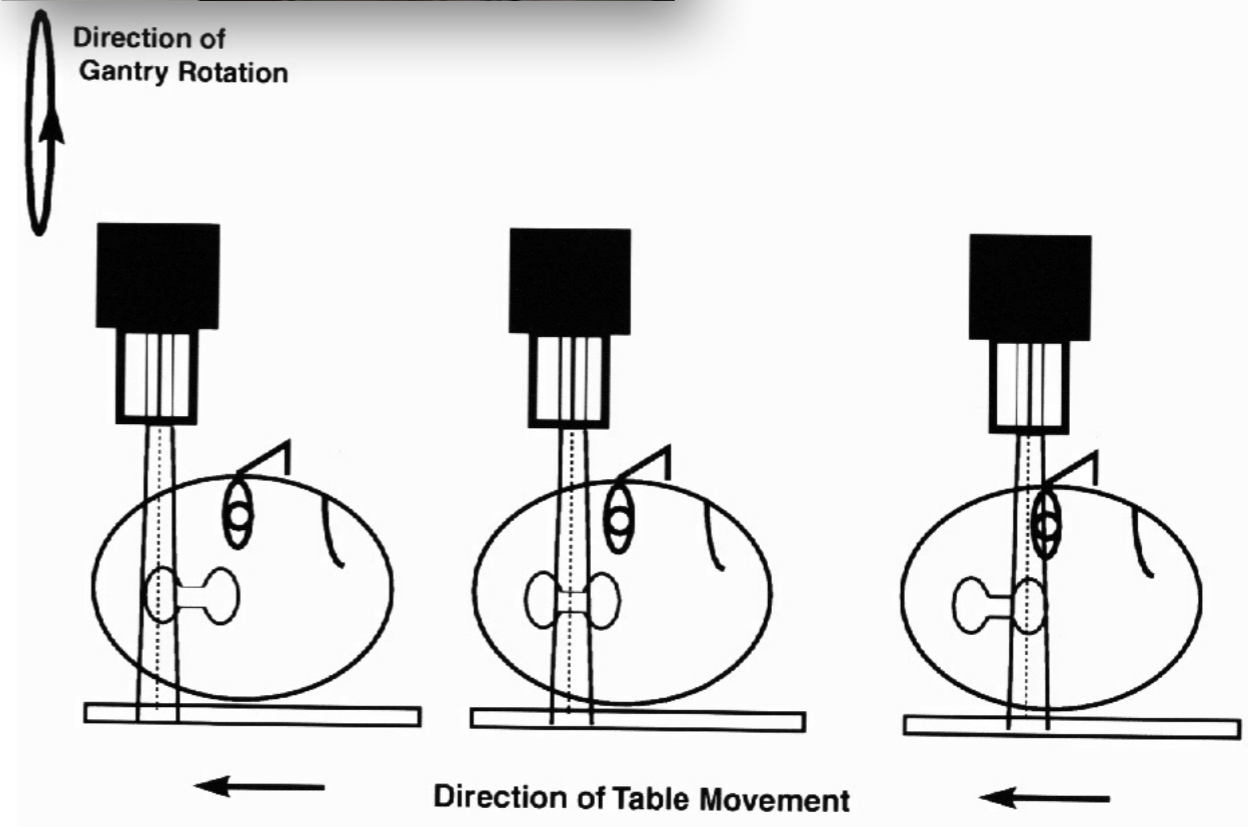
# Nothing new...1998

***“First commercial solutions but not a hit yet ...”***

Low et al. 1998

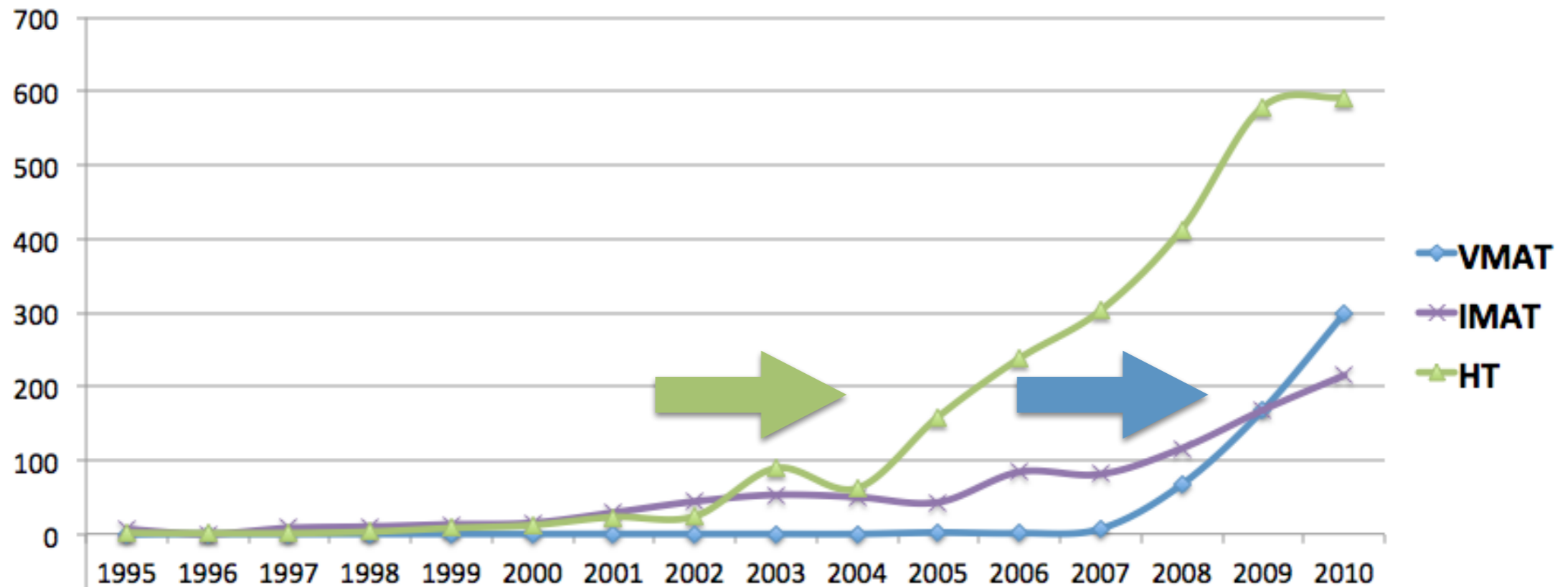
*“A **commercial serial tomotherapy** intensity-modulated radiation therapy (IMRT) treatment planning (**Peacock, NOMOS Corp., Sewickley, PA**) and delivery system is in clinical use. The dose distributions are highly conformal, with large dose gradients often surrounding critical structures, and require accurate localization and dose delivery. Accelerator and patient-specific quality assurance (QA) procedures have been developed that address the localization, normalization, and delivery of the IMRT dose distributions.”*

Nomos Peacock Add-on system





It took some time to get popular



**Hits raise last year:**

7.9% for IMRT (1982)  
(last 3 years 7.1%)

28% for IMAT (1995)  
(last 3 years 26.9%)

2.2% for HT (1995)  
(last 3 years 17.8%)

77% for VMAT (2005)  
(last 3 years 58.0%)

# Issues with early solutions

- Computer treatment planning systems (TPS), inverse planning approaches and optimizer algorithms were in their early days
- Dependence on another new technology at that time, the multi-leaf collimator (MLC), which was initially designed to replace cerrobend blocks



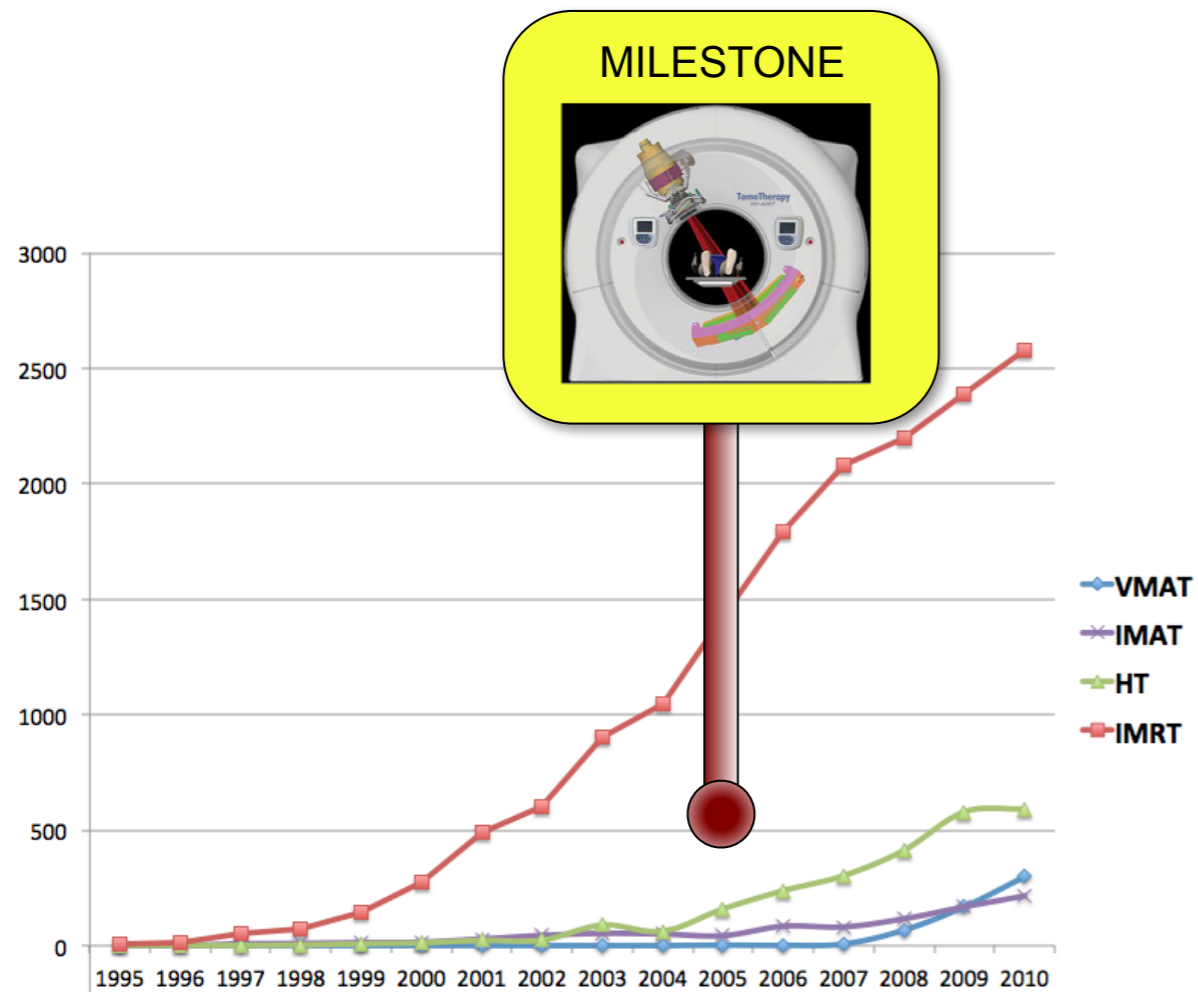
“A handful of research oriented centers developed their own **custom build solution of rotational therapy solutions** (IMAT, ...).”

-William Beaumont Hospital group, USA, C. Yu et al.

-UZ Gent, Belgium, De Neve et al.

-...

# An industry incentive...



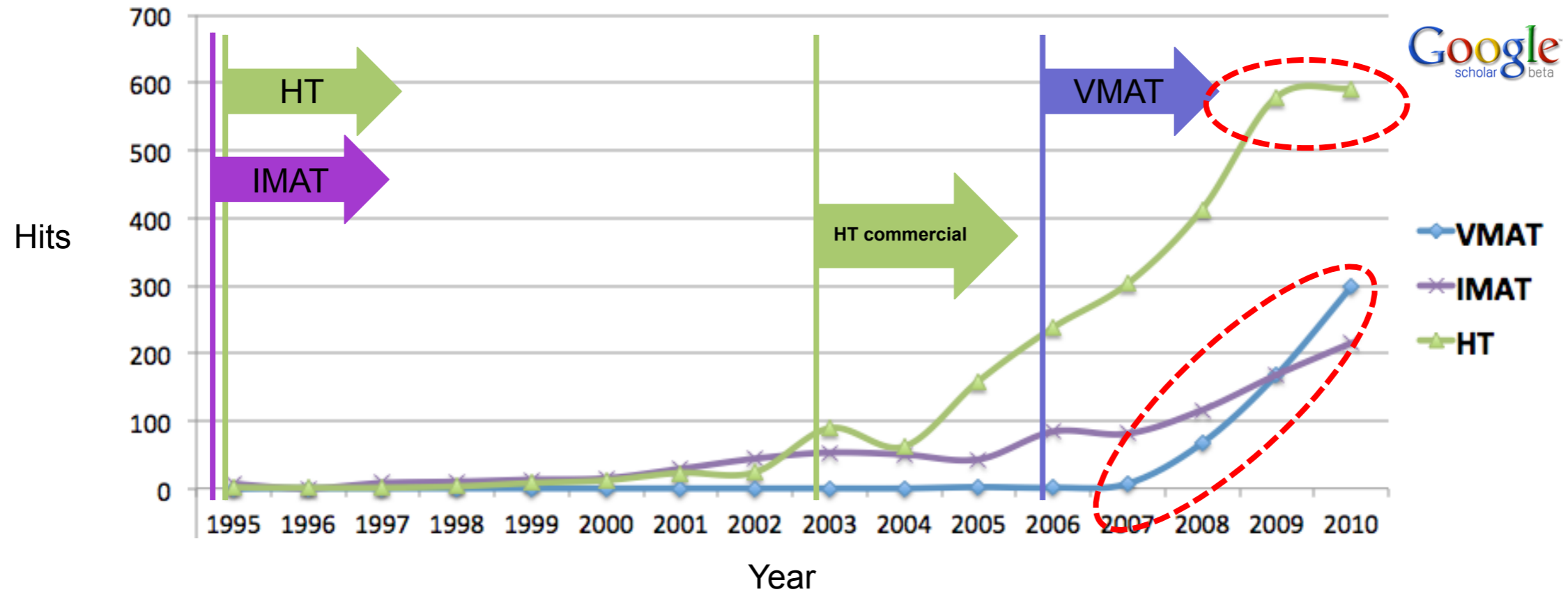
Introduction of the Tomotherapy Hi-Art system for helical tomotherapy:

“Not an add-on solution any more like serial tomo, but a new concept on a dedicated device”

“Brought rotational IMRT to a next level ...”

“A new competitor in a very competitive market with only a few players”

# An industry incentive



Marketing machine is not afraid to use words like

- "true REVOLUTION ..."

- "MAJOR advance ..."

- "DRAMATIC improvement ..."

But behind the screens the R&D got a lot of resources as well to at least approximate the promises made by marketing ...

The field today....

## Volumetric Modulated Arc Therapy



= "cone beam delivery"



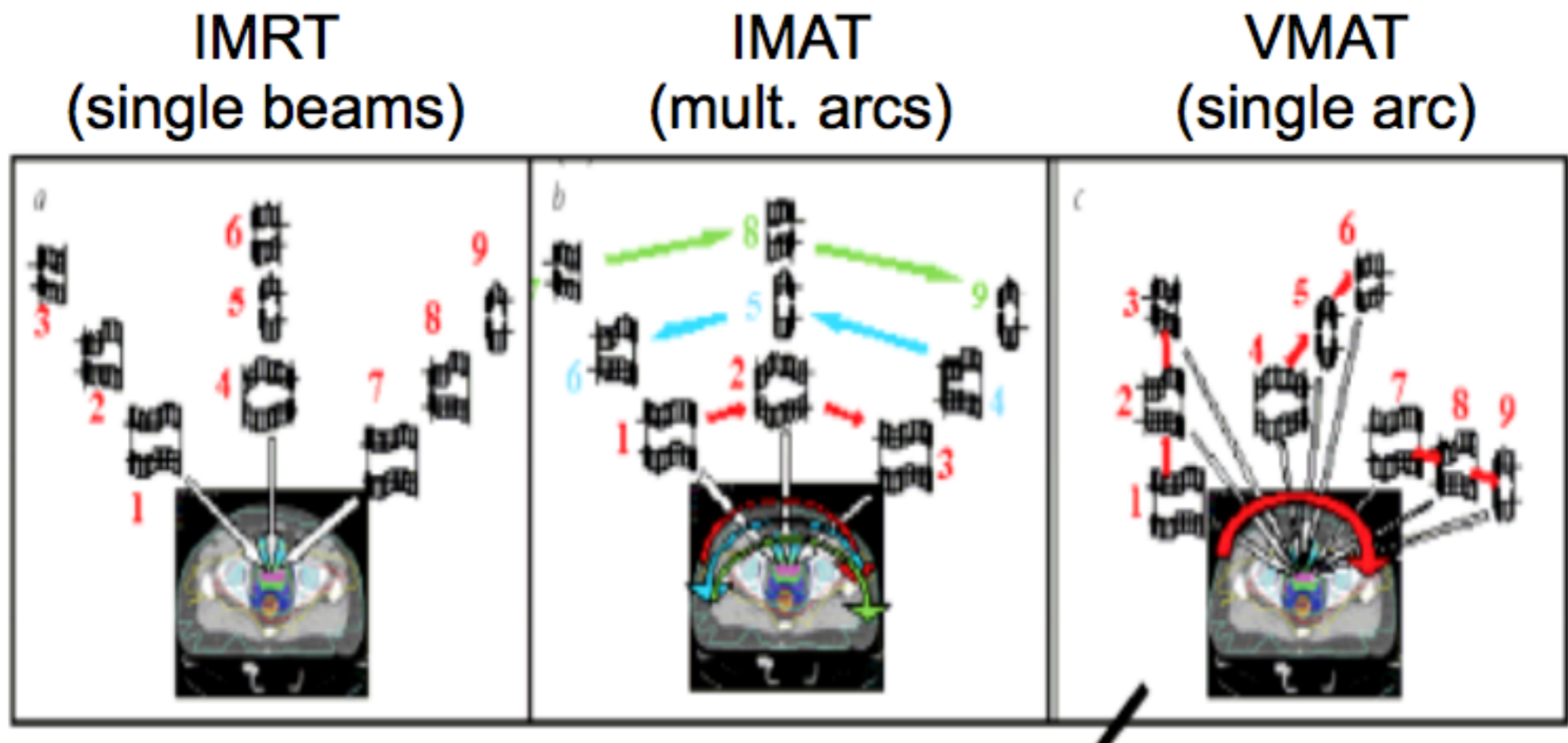
## Helical Tomotherapy

## Static IMRT



= "fan beam delivery"

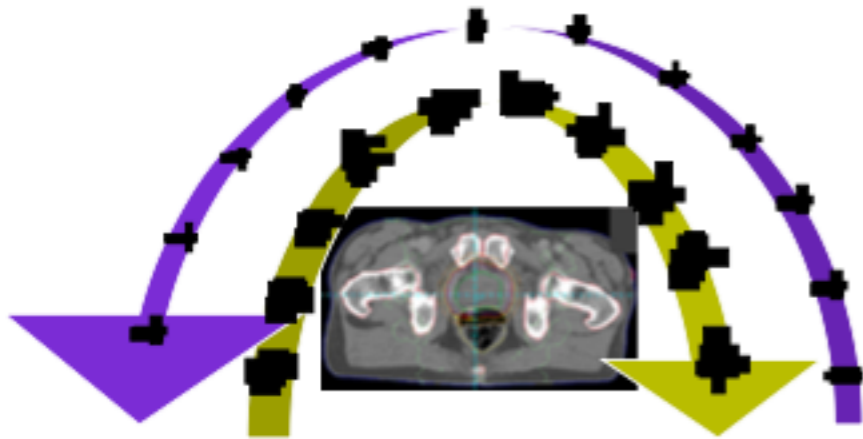
# Different names/interpretations



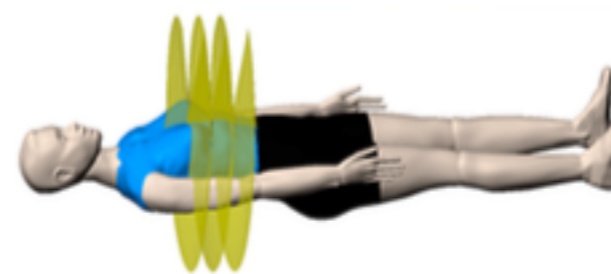
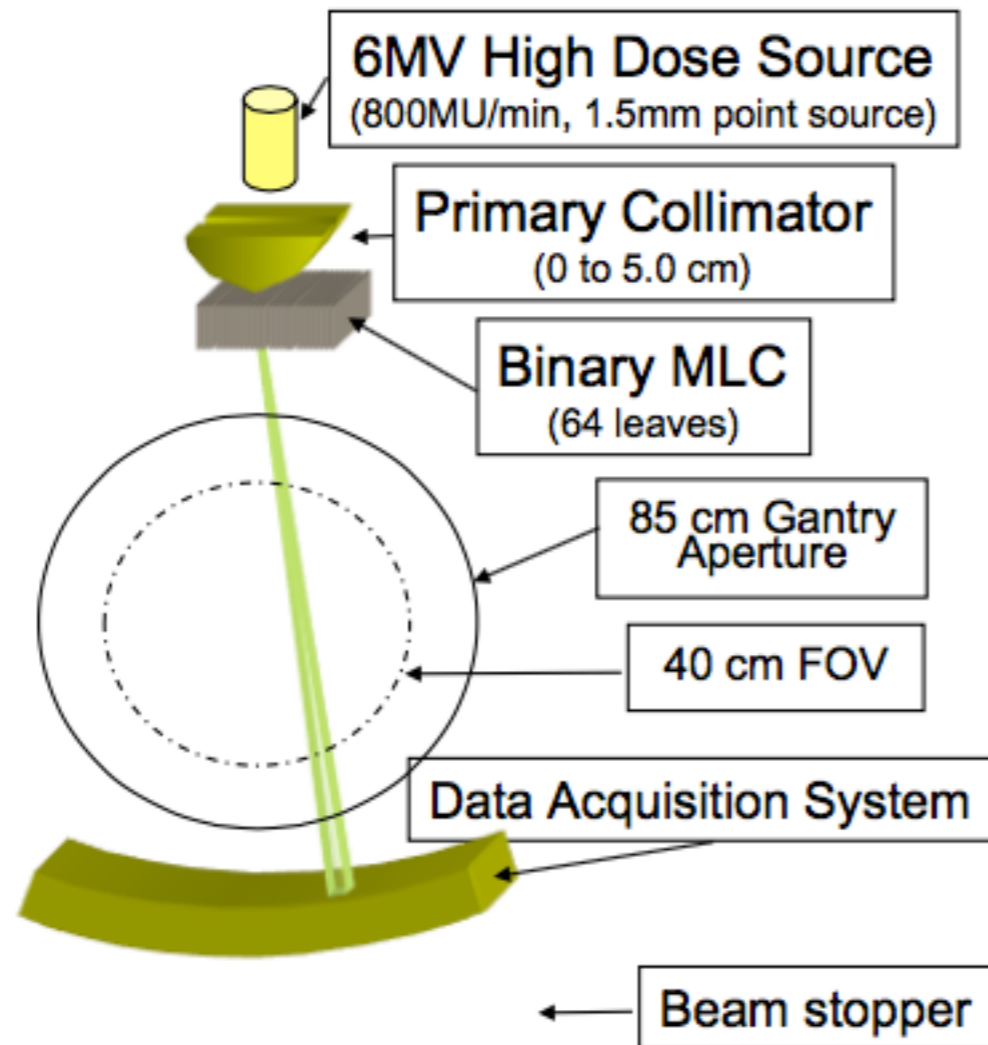
# VMAT / RapidArc /



- 1 or more rotations
- Modulation by gantryspeed/doserate/MLC



# Helical Tomotherapy (Linac meets CT)





# Helical Tomotherapy

- Helical Treatment
- Field of 40cmx(5, 2.5 of 1)cm
- Modulation by
  - 64 leaf-binary MLC
  - Pitch (around 0.3)
  - Modulation factor (average/max leaf open time)



“hot” a couple of years ago

- Is cone beam delivery better than helical fan-beam delivery
- Is arc therapy “better” than static beam IMRT
- Should all IMRT be rotational?

Still relevant

The battle was taking place on **two fronts**....

**Practical** : “Planning comparison studies between VMAT and HT and other IMRT solutions

**Theoretical** : Trying to prove differences between the various dose delivery techniques based on intrinsic/theoretical capabilities of the technique

# Planning studies

- Can be described as a pragmatic approach to show what we can do with actual clinical cases
- Only valid for specific implementations of different techniques
- prone to bias
  - you are comparing optimisers, not techniques
  - you are comparing planners, not plans (pareto-optimal?)
  - The link to biology was almost never made...
- General conclusion : I'm not a big fan



## VMAT (single arc) vs. IMRT (9 Fields)

- Palma et al 2008 (prostate)
- Cozzi et al 2008 (gynec)
- Fogliata et al (brain)

Simple cases (not a lot of modulation) :VMAT at least as good as IMRT  
VMAT : treatment time and MU reduction

## VMAT (single arc) vs. VMAT (Mult arc)

- Wu et al (2009) : spinal
- Guckenberger (2009), prostate and H&N
- Vanetti et al (2009), H&N
- Clivio et al (anal canal)
- Verbakel et al (2009), H&N
- Tang et al (2010), H&N, Brain, Lung, Prostate
- Yoo et al (2010), big prostate (incl nodes)

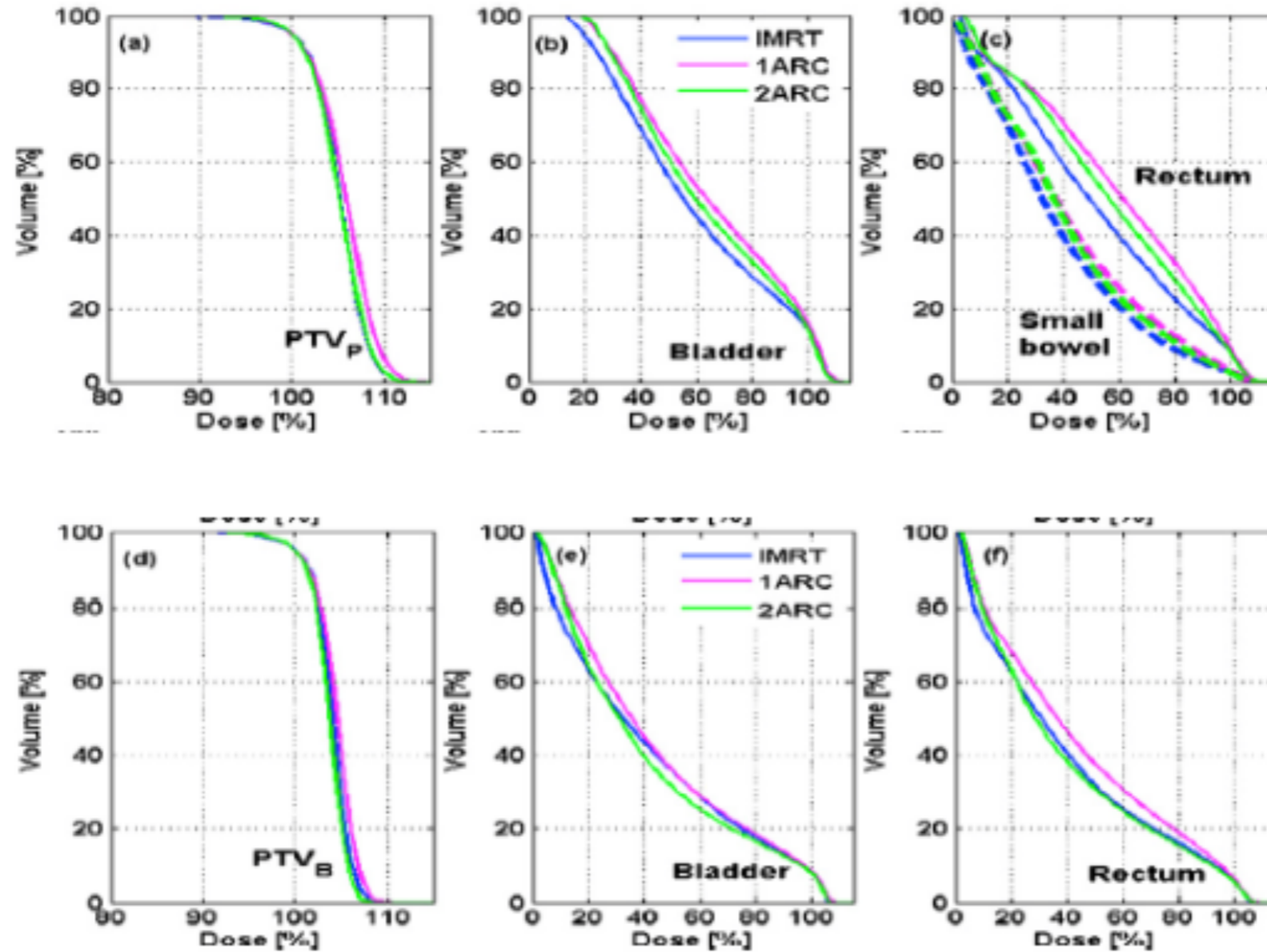
In complex cases single arc has inferior result aot IMRT

Multiple arcs can rise the quality of the treatment plan, especially in the homogeneity of the PTV.

Multiple arcs stay in a timeframe < IMRT

**RADIOTHERAPY TREATMENT PLANS WITH RAPIDARC FOR PROSTATE CANCER INVOLVING SEMINAL VESICLES AND LYMPH NODES**

SUA YOO, PH.D., Q. JACKIE WU, PH.D., W. ROBERT LEE, M.D., M.S., M.ED., AND FANG-FANG YIN, PH.D.



PTV<sub>p</sub> + SV + LN  
46.8Gy

PTV<sub>boost</sub> (P+SV)  
75.6Gy

# VMAT/IMAT vs. HT

- Cao et al (2007) : prostate, brain, H&N
- Iori et al (2008), prostate
- Ulrich et al (2009): Prostate, anal canal, H&N
- Clivio et al (anal canal)
- Fogliata et al (2008) : brain
- Fogliata et al (2009) : pediatric

In simple cases VMAT and HT **comparable** results

In complex cases **higher plan quality** for HT

Treatment times **higher** for HT

VMAT : **lower integral dose**

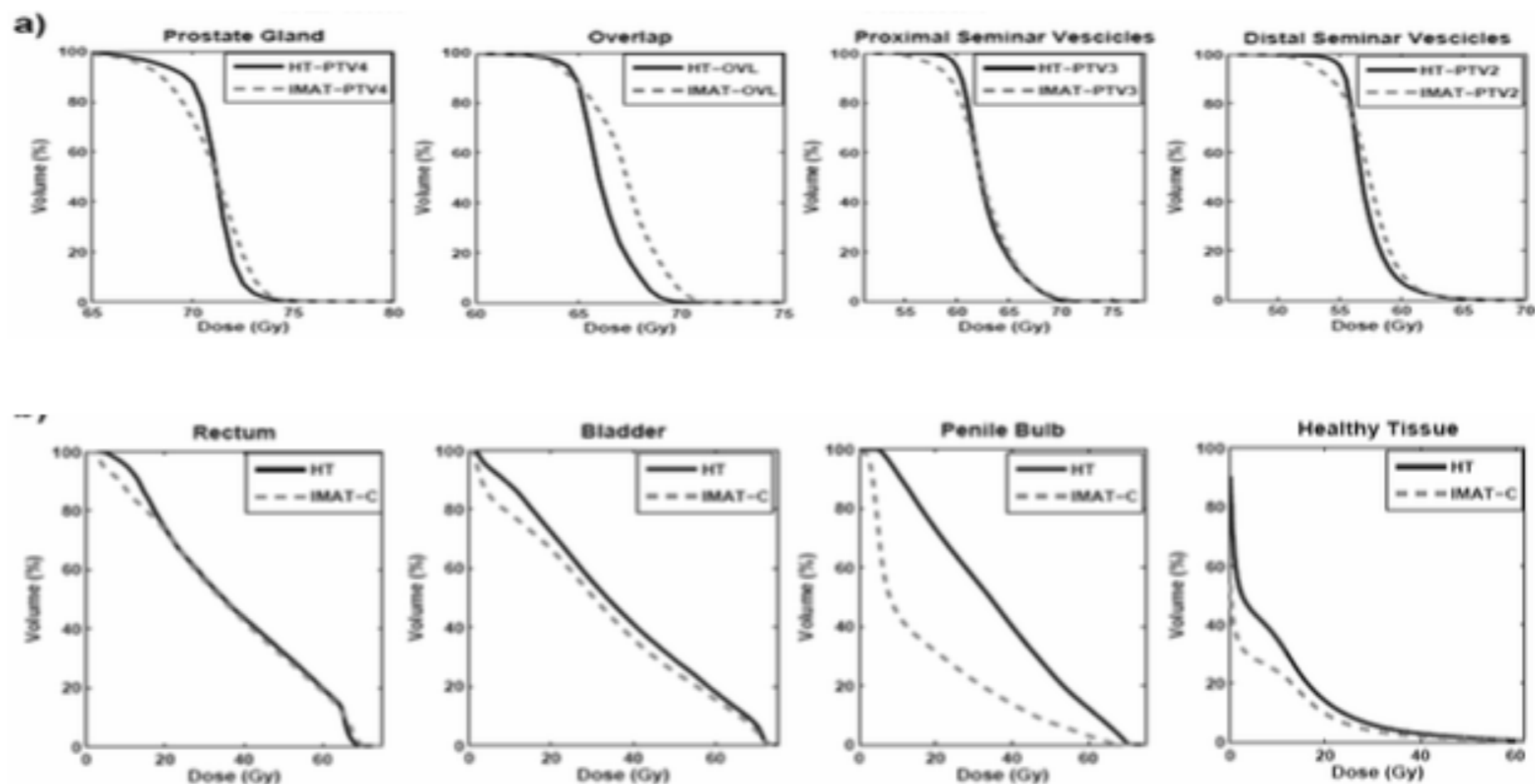
HT : **Gradient in CC direction inferior**



# Radiotherapy and Oncology 88 (2008) 34–45

## Dose–volume and biological-model based comparison between helical tomotherapy and (inverse-planned) IMAT for prostate tumours

Mauro Iori<sup>a,\*</sup>, Giovanni Mauro Cattaneo<sup>b</sup>, Elisabetta Cagni<sup>a</sup>, Claudio Fiorino<sup>b</sup>, Gianni Borasi<sup>a</sup>, Calandrino Riccardo<sup>b</sup>, Cinzia Iotti<sup>c</sup>, Ferruccio Fazio<sup>d</sup>, Alan E. Nahum<sup>e</sup>

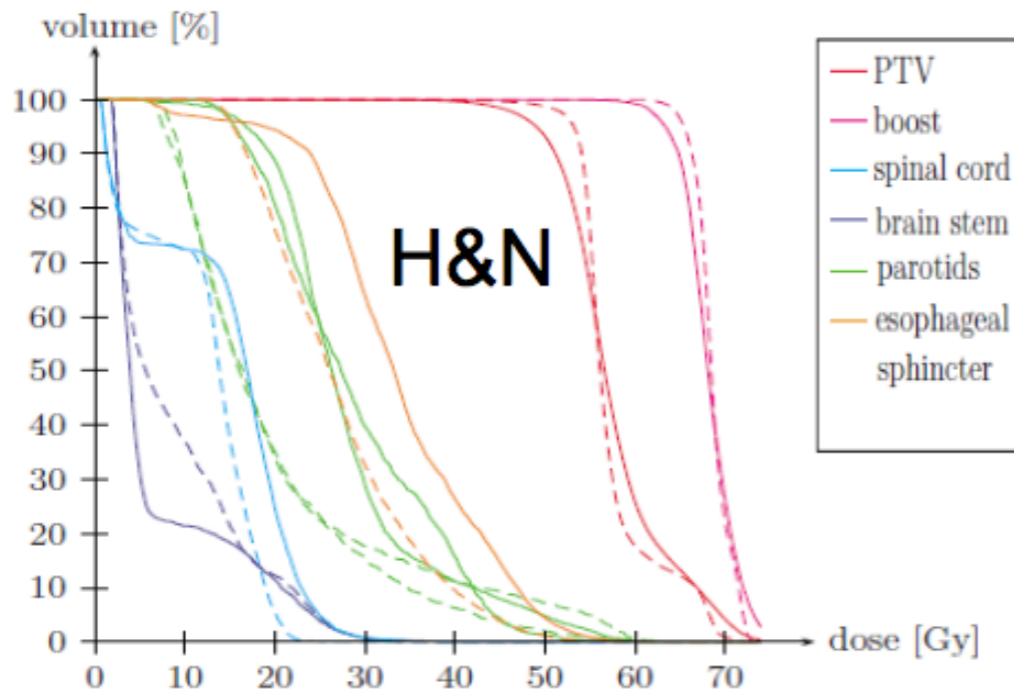
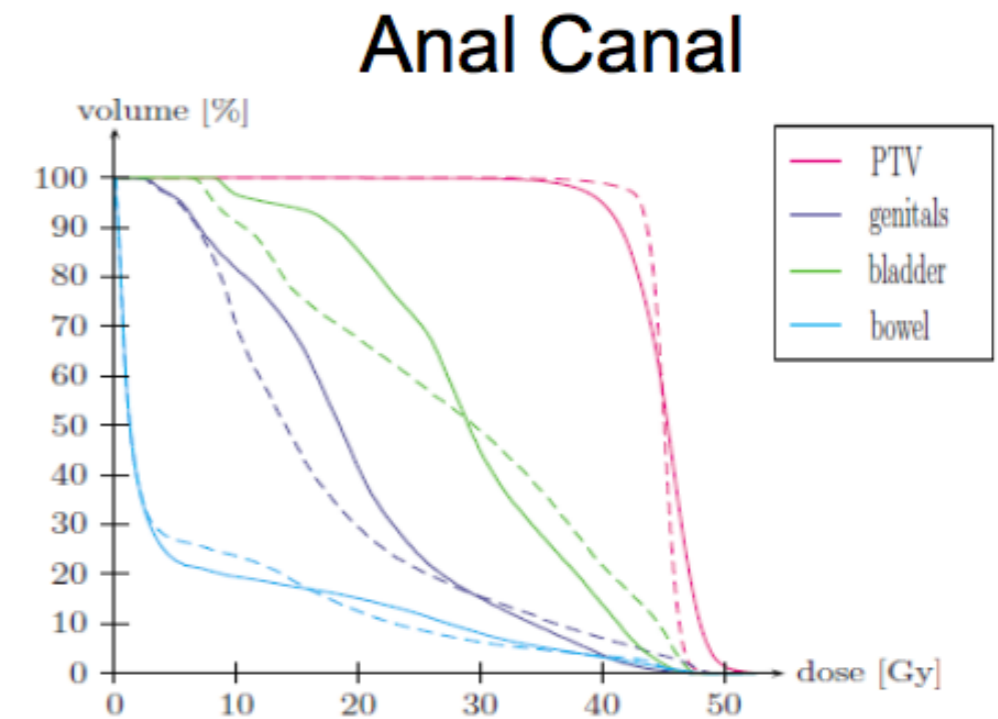
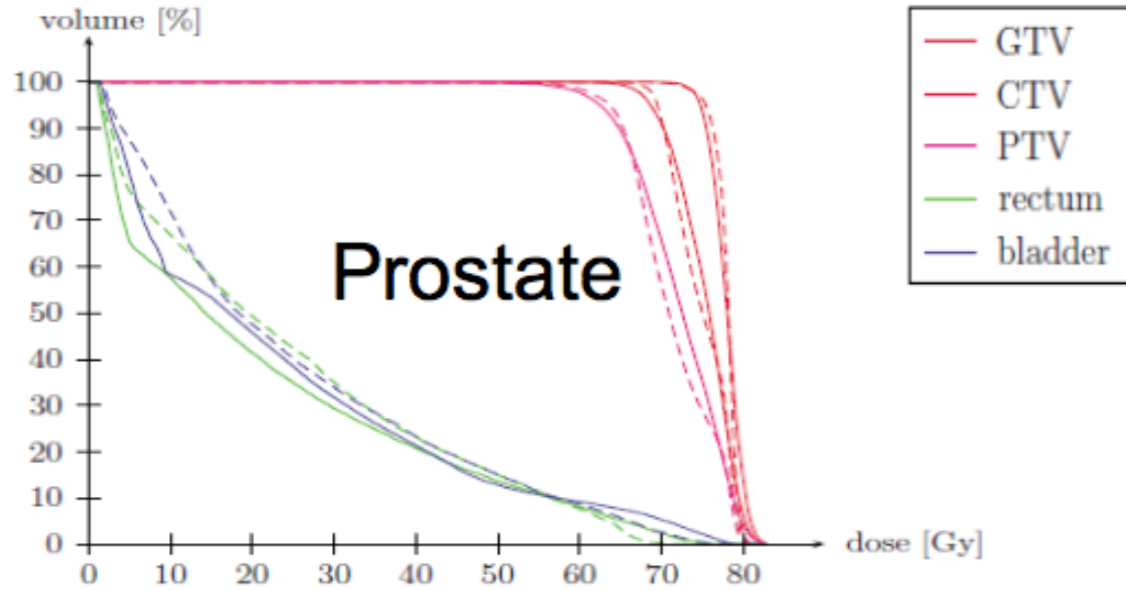


HT : More homogeneous target coverage  
 comparable results for OAR  
 HT : gradient in CC direction

# Comparison of arc-modulated cone beam therapy and helical tomotherapy for three different types of cancer

Silke Ulrich<sup>a)</sup>

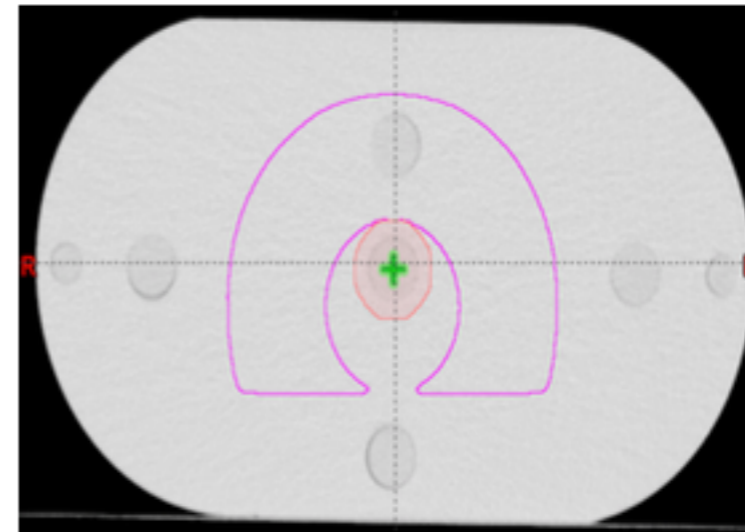
Medical Physics, Vol. 36, No. 10, October 2009



dashed = HT

# Phantom "Stress-Test"

Horse-shoe PTV  
Single OAR



Metrics:

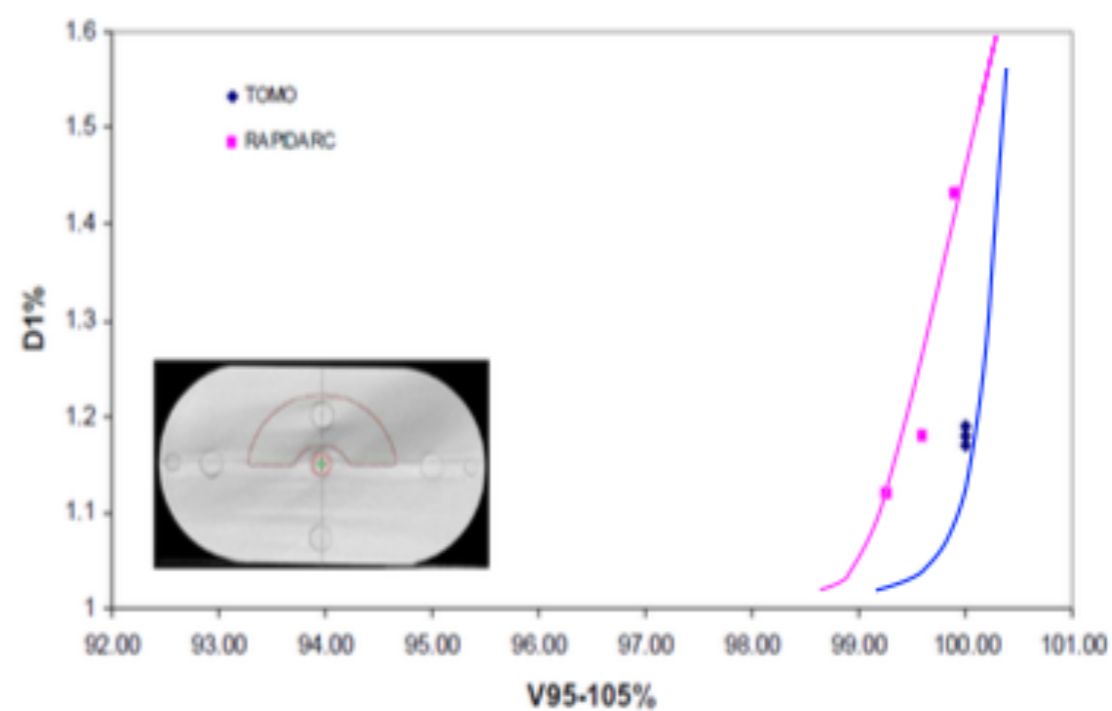
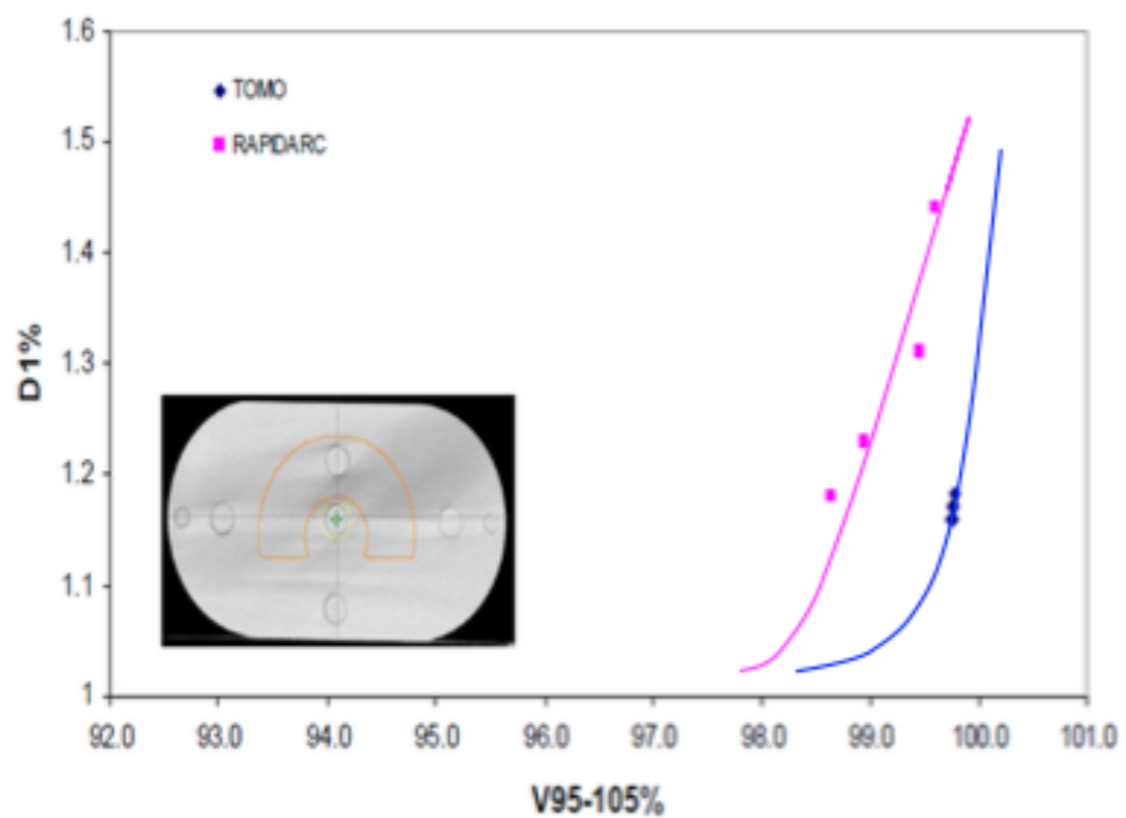
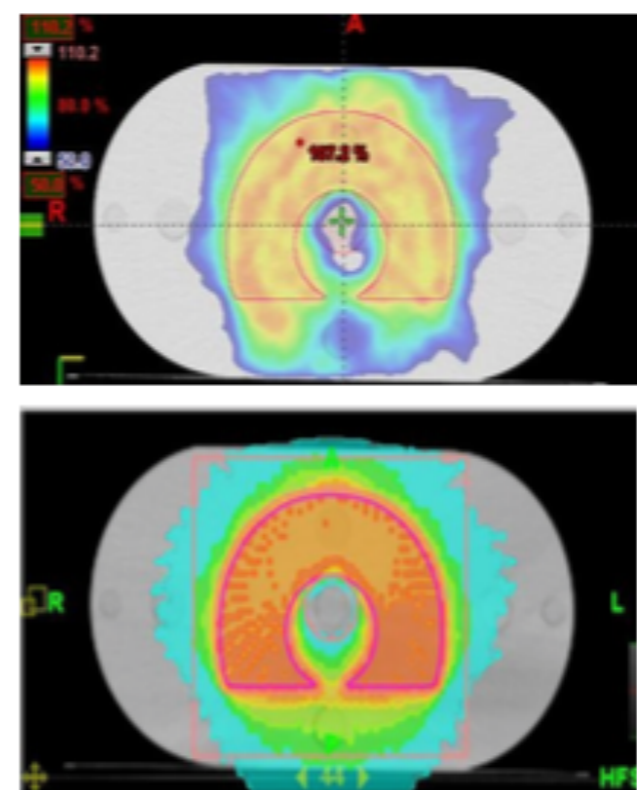
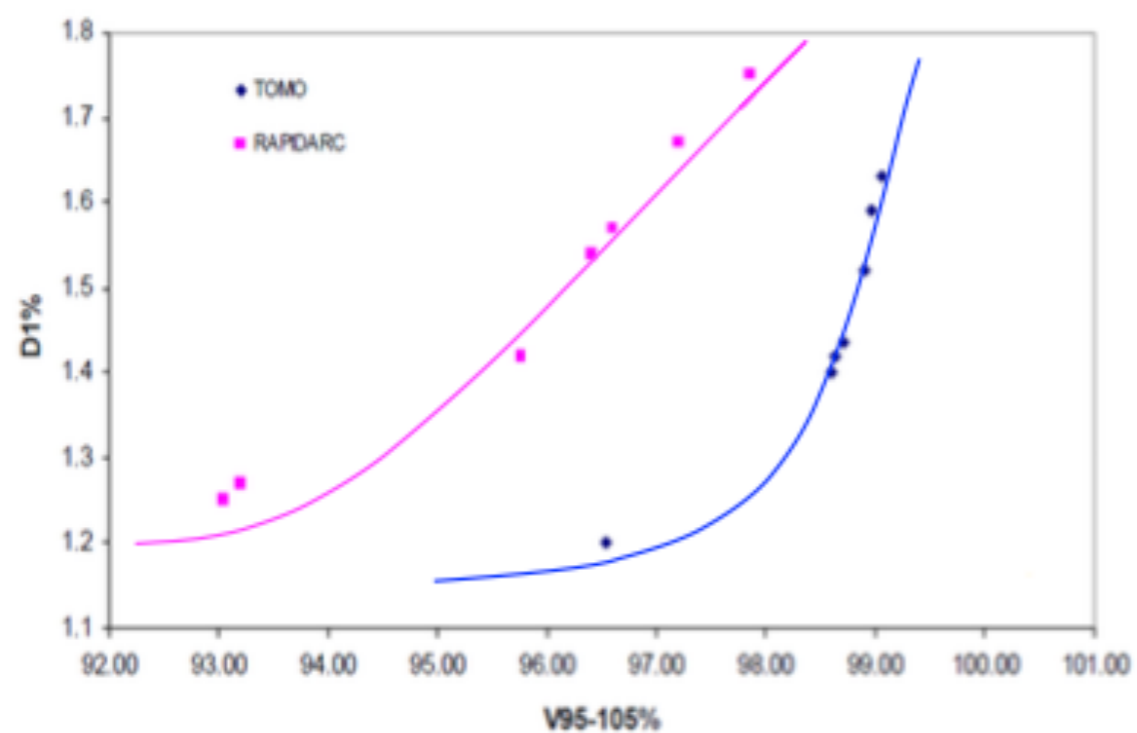
D1% (max dose) OAR  
PTV Homogeneity (V105-V95)

HT :

2.5cm field, pitch 0.287, MF 4

VMAT:

Rapidarc 2 rotations, collimator 45 degreed



## Comments

- Planning studies are plenty, all say the same, but most don't say a lot...
- Yes, HT can achieve better target coverage and nicer sparing
  - with an inferior CC gradient
  - and a longer treatment time
- The question is : is it clinically relevant for this patient, and all my patients?
- Most studies have been caught up by upgrades
  - HT : reduction of CC gradient by “dynamic jaws”
  - reduction of treatment time by use of 5cm field and increased rotation speed.

# Comparison approaches IMRT/VMAT/HT



## **Theoretical discussion:**

**“Trying to prove differences between the various dose delivery techniques based on intrinsic/theoretical capabilities of the technique.”**

- Independent of the maturity of currently available solutions in the clinic.
- Possibility of a general conclusion ?!

However ...

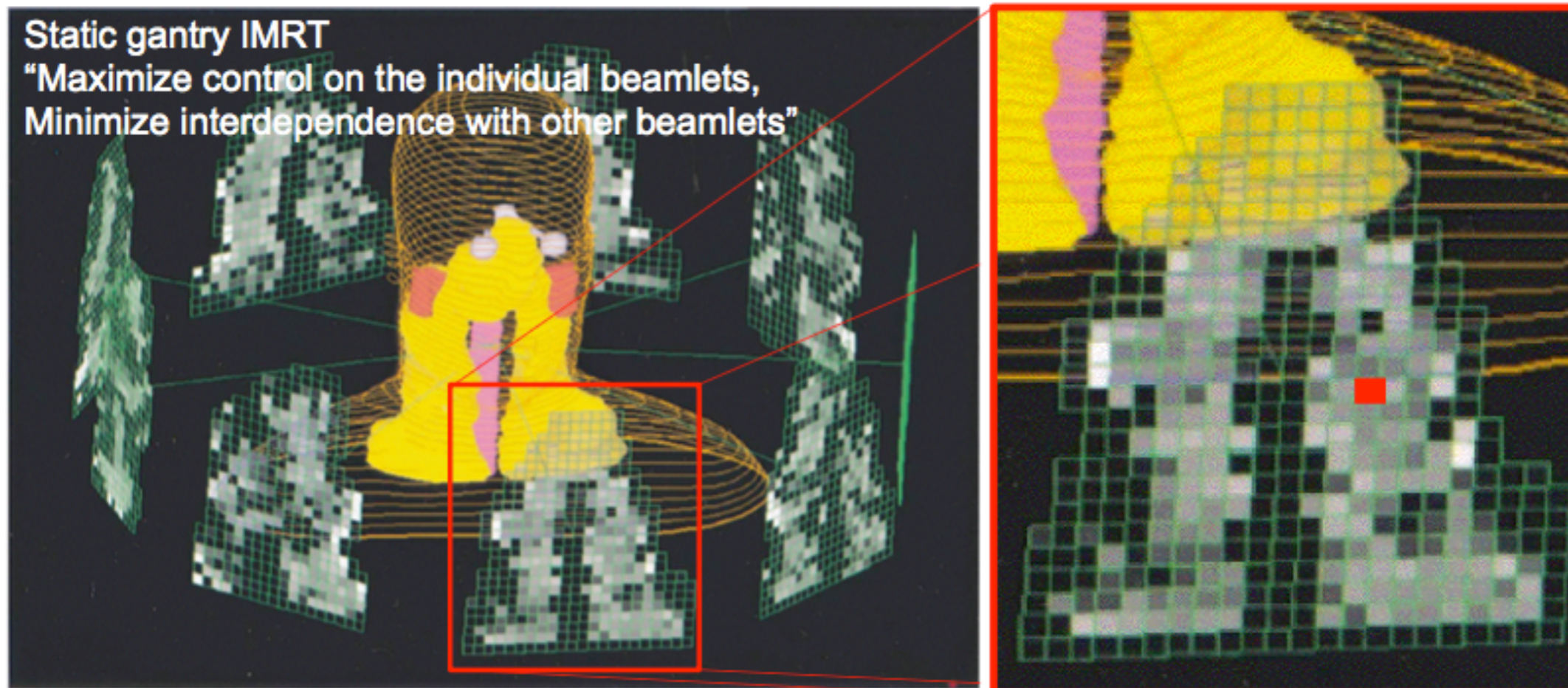
- A universal theoretical framework to make this comparison for VMAT is lacking
- Not considering some practical/implementation specific issues which do count in practice

Bortfeld&Webb, Verbakel et al., Otto et al., ...

# Theoretical comparisons IMRT/HT/VMAT



Dose delivery technique degrees of freedom for intensity modulation



## Comparing S-IMRT with Rotational IMRT:

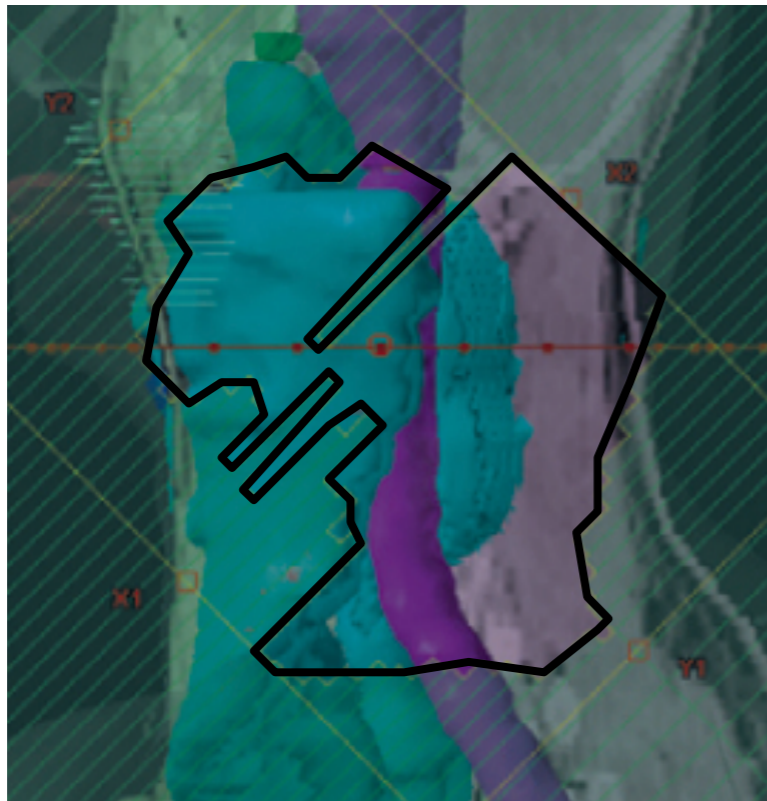
#Contributing beamlets from different gantry angle (VMAT,HT > S-IMRT)

**versus**

#Modulation in one individual beamlet (S-IMRT > VMAT,HT)

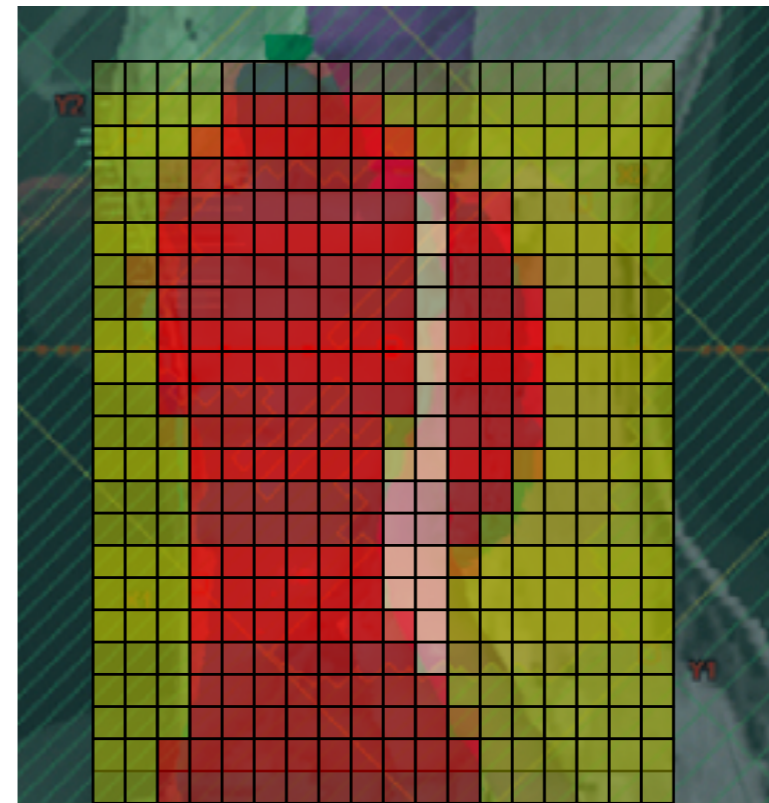
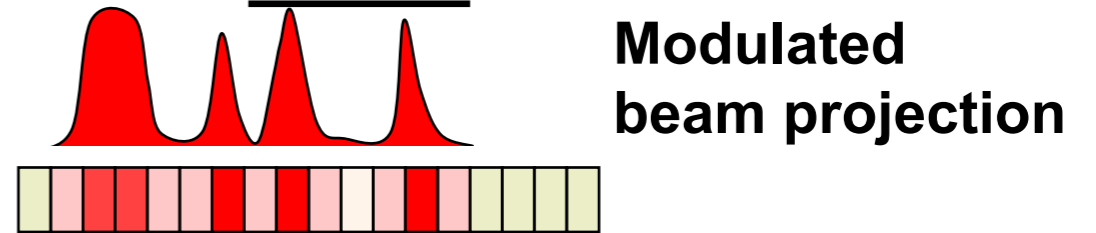
# Theoretical comparisons IMRT/HT/VMAT

## Single-Arc



One “un”-modulated beam “segment” at each **single** angle

## Tomo

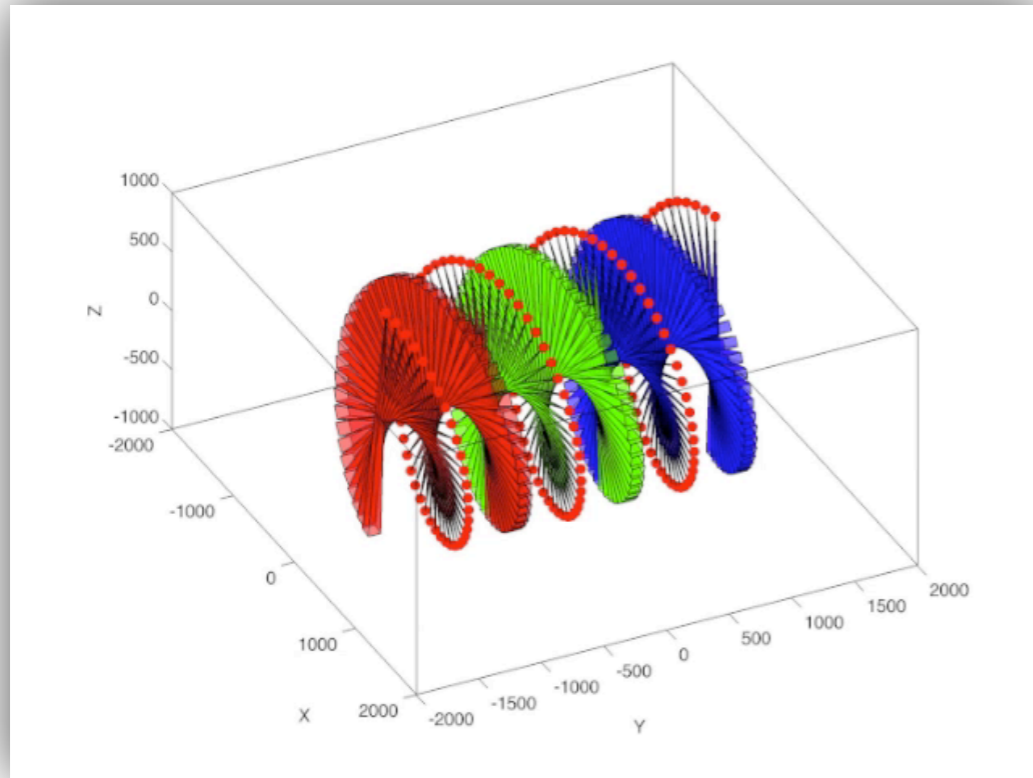


Many modulated beam projections at each angle

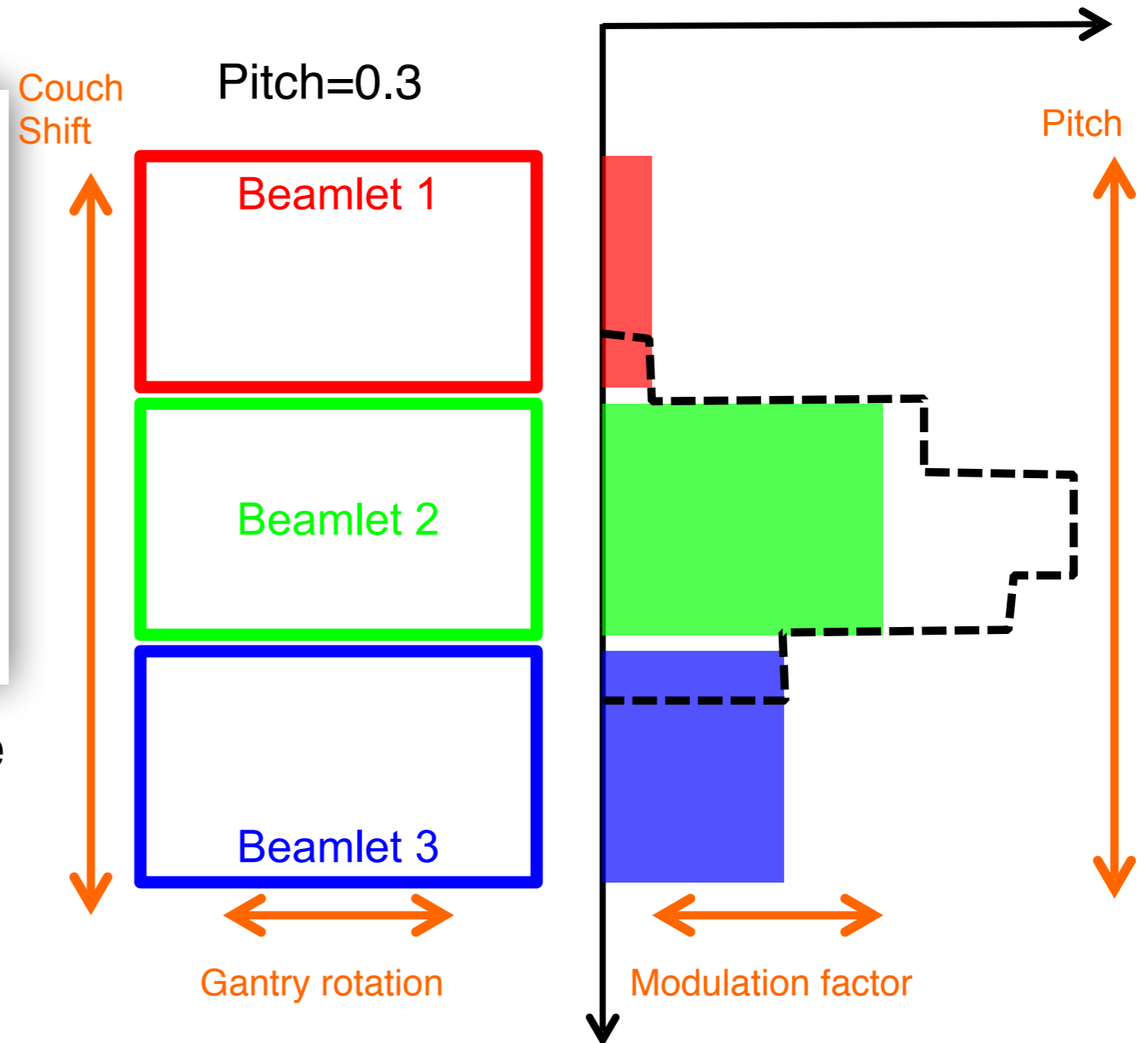


# Intensity modulation and interconnectivity: HT

The amount of modulation is determined by the pitch, modulation factor and field size

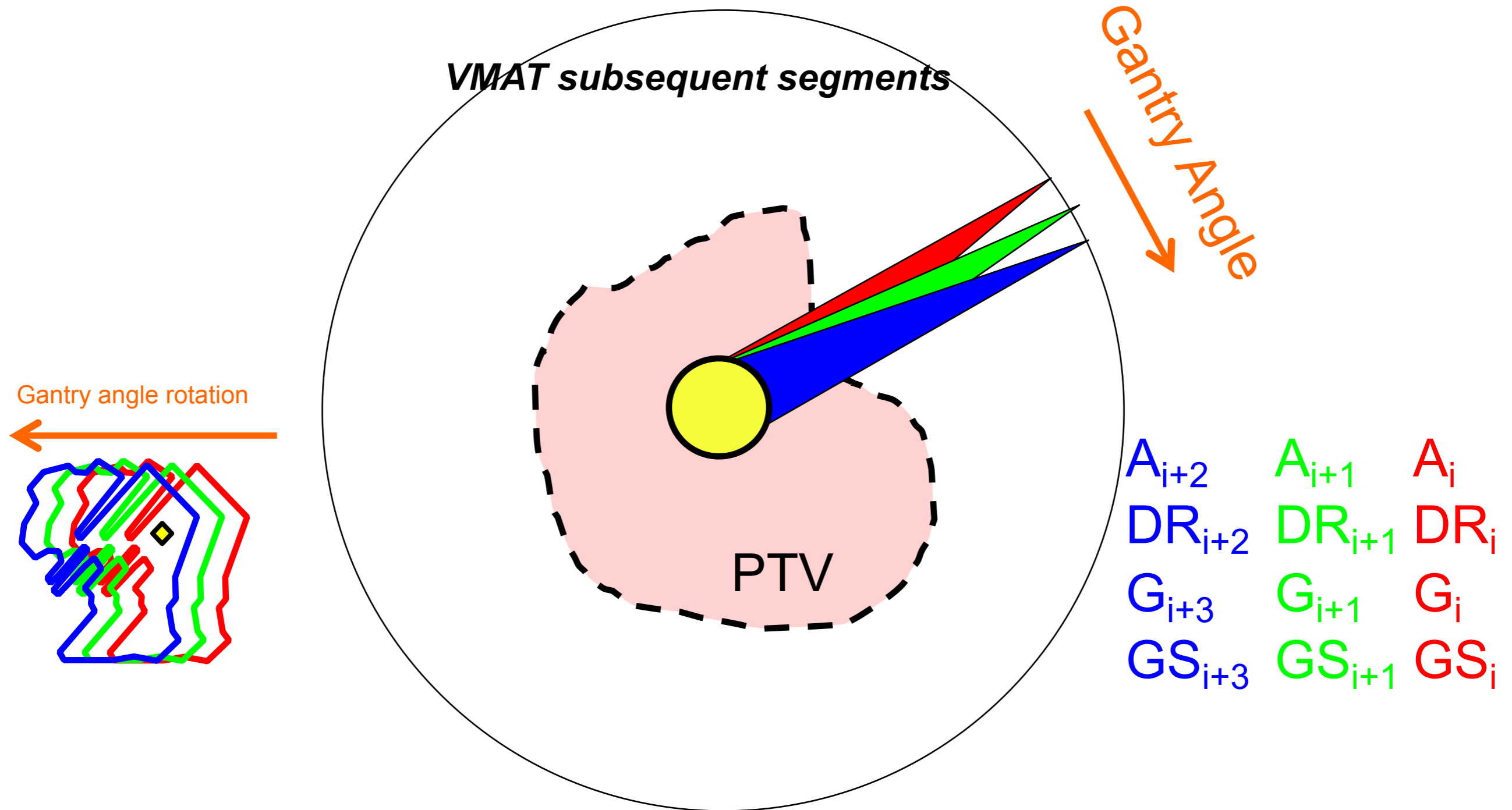


“Each individual beamlet can be intensity modulated changing **leaf opening time.**”



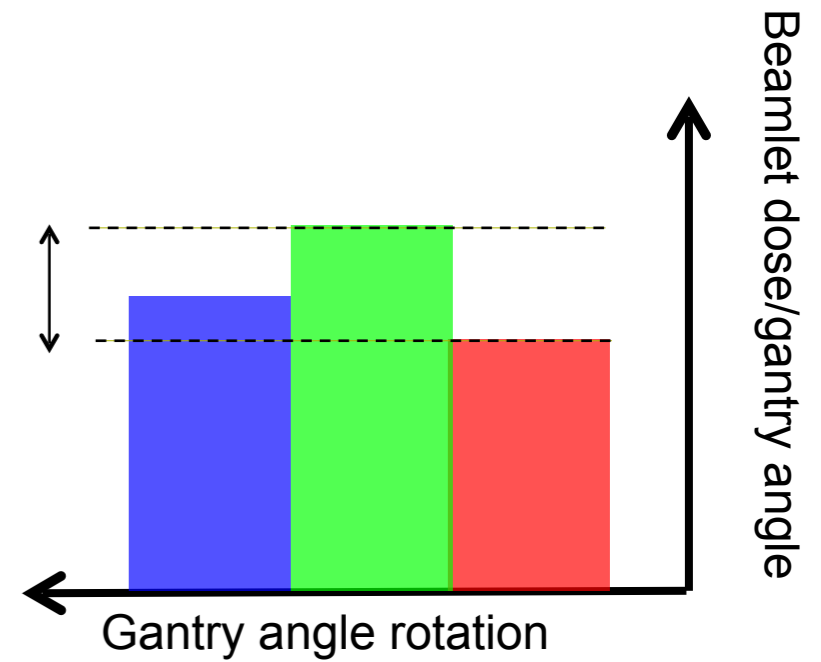
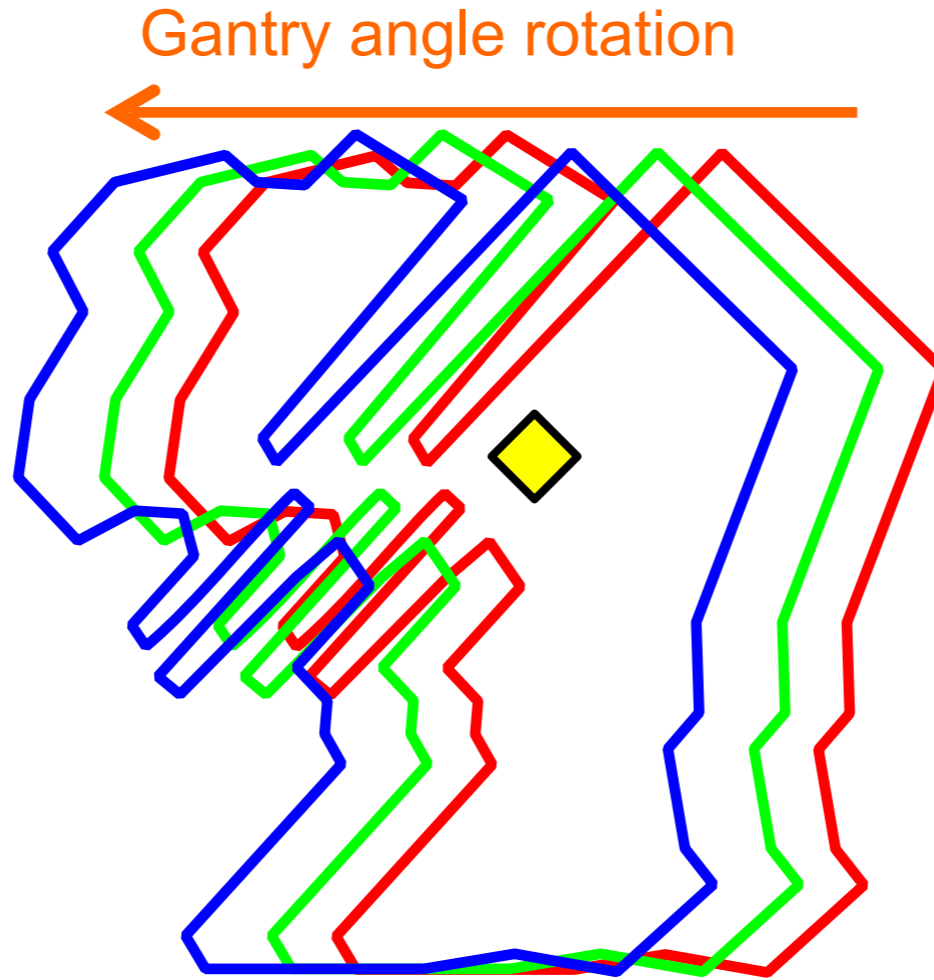
# Intensity modulation and interconnectivity: VMAT

Contribution of dose to a small subvolume of the target volume from a small angle



# Intensity modulation and interconnectivity: VMAT

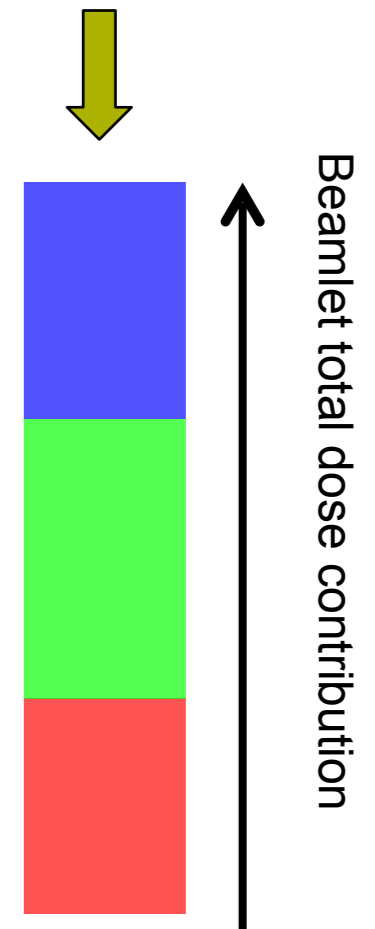
## Segment Interconnectivity



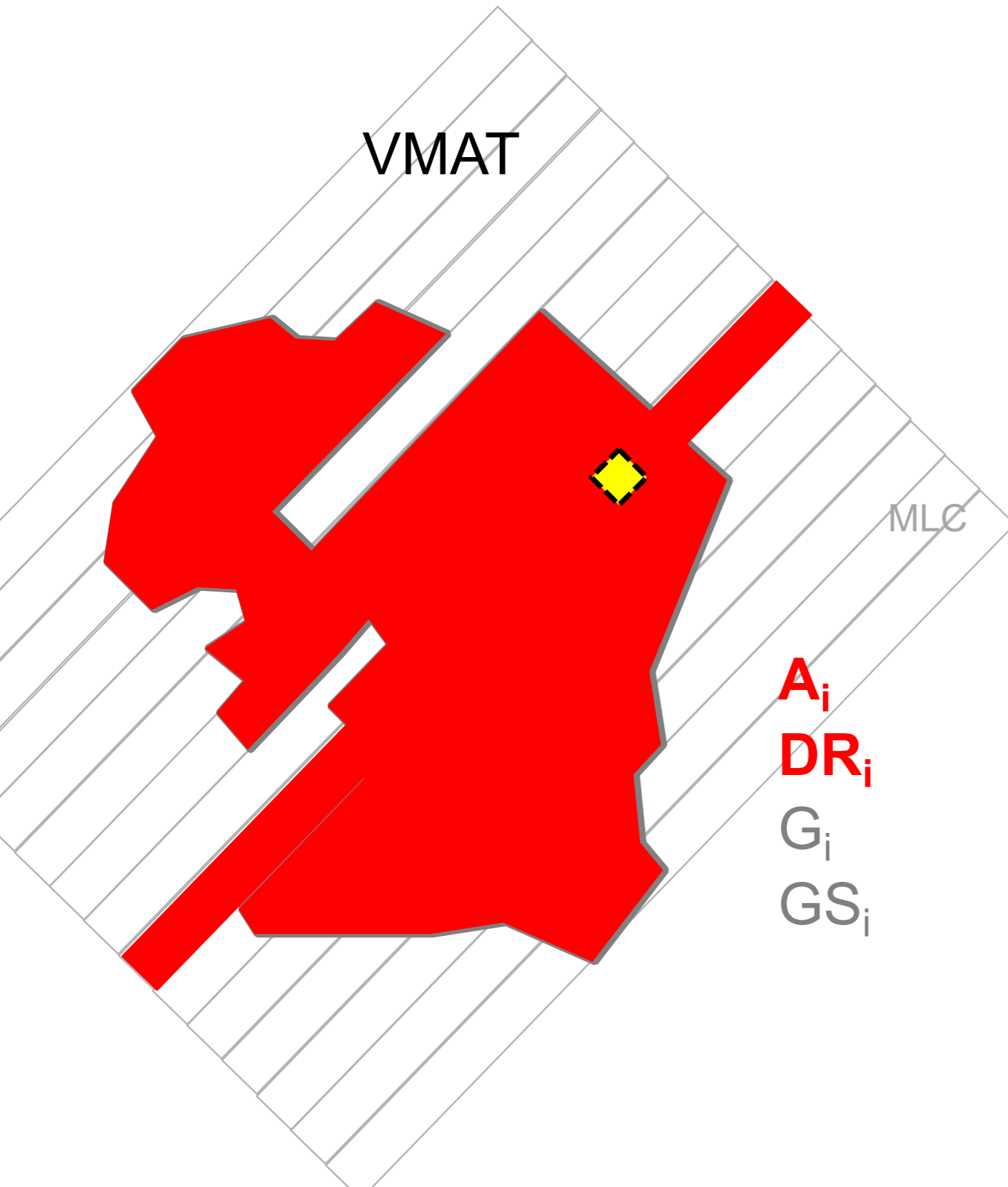
“**Limited amount of change allowed between subsequent segments** due to technical limitations (more than for HT)”

“Smooth changes”

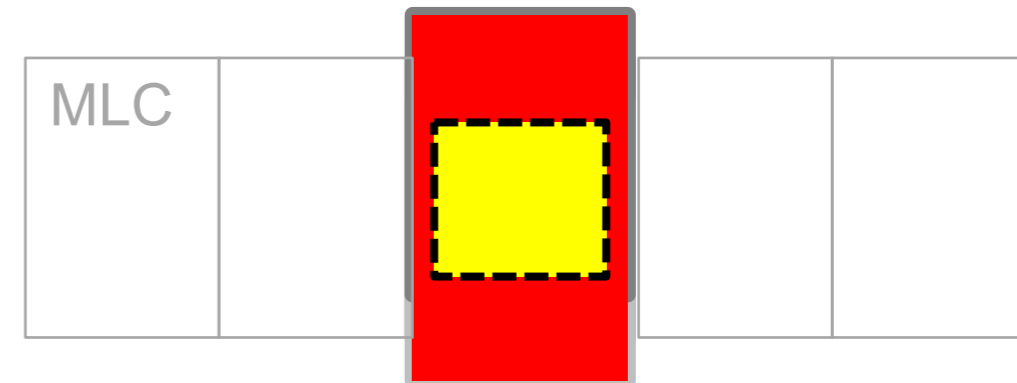
$A_{i+2}$	$A_{i+1}$	$A_i$
$DR_{i+2}$	$DR_{i+1}$	$DR_i$
$G_{i+3}$	$G_{i+1}$	$G_i$
$GS_{i+3}$	$GS_{i+1}$	$GS_i$



# Intensity modulation and interdependency



# Helical Tomotherapy



“Interdependency with other subvolumes of the target far away covered by aperture”

“VMAT > HT”

# Theoretical comparisons IMRT/HT/VMAT

To give an impression on how such a theoretical discussion goes ...

IOP PUBLISHING  
Phys. Med. Biol. 54 (2009) N9–N20

PHYSICS IN MEDICINE AND BIOLOGY  
doi:10.1088/0031-9155/54/1/N02

NOTE

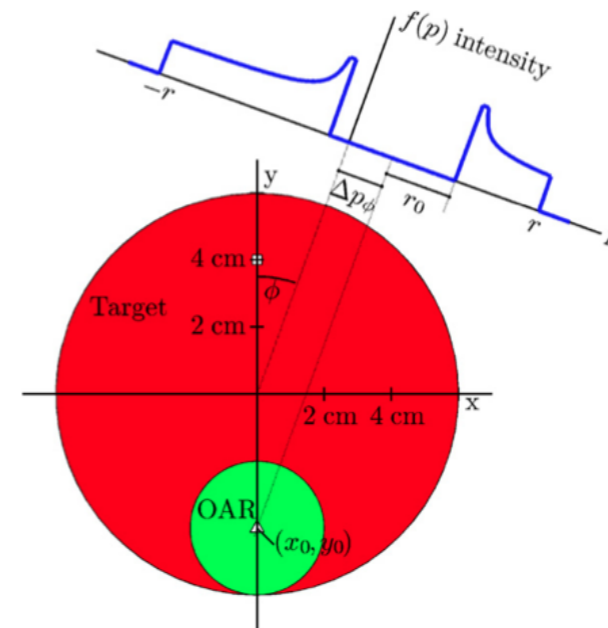
## Single-Arc IMRT?

Thomas Bortfeld<sup>1,3</sup> and Steve Webb<sup>2</sup>

<sup>1</sup> Massachusetts General Hospital and Harvard Medical School, Department of Radiation Oncology, 30 Fruit St, Boston, MA 02114, USA  
<sup>2</sup> Joint Department of Physics, Institute of Cancer Research and Royal Marsden NHS Foundation Trust, Downs Road, Sutton, Surrey, SM2 5PT, UK

Received 19 August 2008, in final form 16 November 2008  
Published 10 December 2008  
Online at [stacks.iop.org/PMB/54/N9](http://stacks.iop.org/PMB/54/N9)

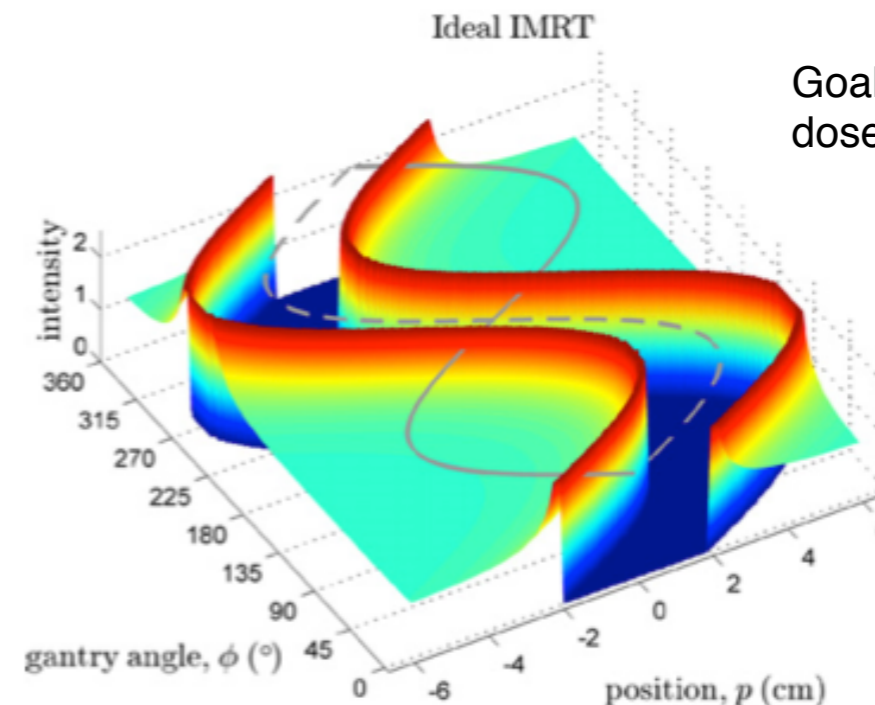
**Abstract**  
The idea of delivering intensity-modulated radiation therapy (IMRT) with a multileaf collimator in a continuous dynamic mode during a single rotation of the gantry has recently gained momentum both in research and industry. In this note we investigate the potential of this Single-Arc IMRT technique at a conceptual level. We consider the original theoretical example case from Brahme *et al* that got the field of IMRT started. Using analytical methods, we derive deliverable intensity ‘landscapes’ for Single-Arc as well as standard IMRT and Tomotherapy. We find that Tomotherapy provides the greatest flexibility in shaping intensity landscapes and that it allows one to deliver IMRT in a way that comes close to the ideal case in the transverse plane. Single-Arc and standard IMRT make compromises in different areas. Only in relatively simple cases that do not require substantial intensity modulation will Single-Arc be dosimetrically comparable to Tomotherapy. Compared with standard IMRT, Single-Arc could be dosimetrically superior in certain cases if one is willing to accept the spreading of low dose values over large volumes of normal tissue. In terms of treatment planning, Single-Arc poses a more challenging optimization problem than Tomotherapy or standard IMRT. We conclude that Single-Arc holds potential as an efficient IMRT technique especially for relatively simple cases. In very complex cases, Single-Arc may unduly compromise the quality of the dose distribution, if one tries to keep the treatment time below 2 min or so. As with all IMRT techniques, it is important to explore the tradeoff between plan quality and the efficiency of its delivery carefully for each individual case.



“Required ideal intensity modulation can be derived theoretically for this artificial situation”

“One AXIAL slice”

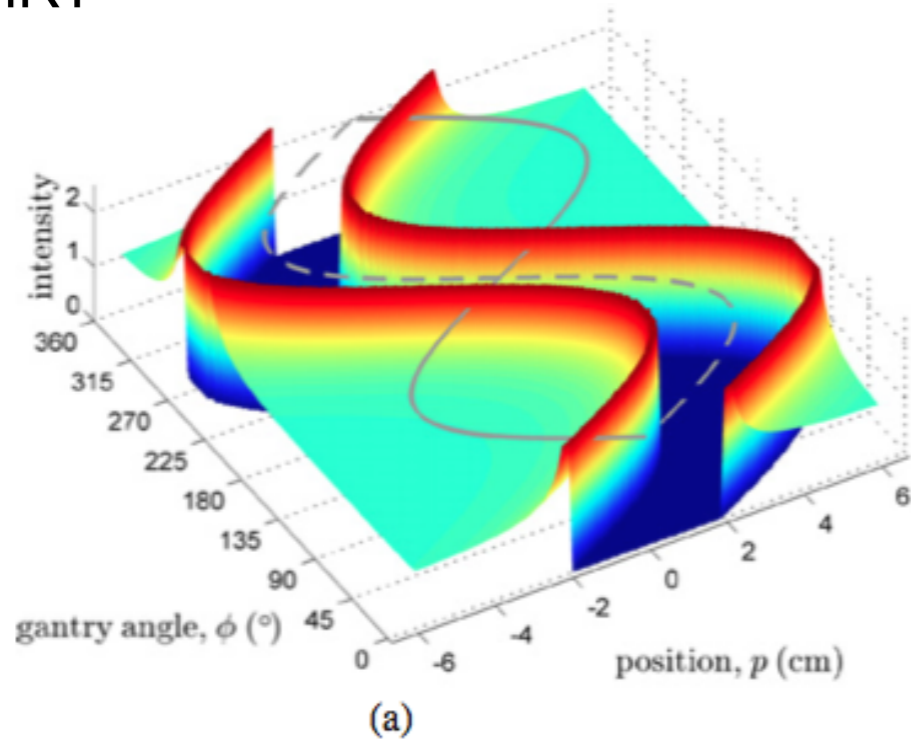
**Figure 1.** The archetypical IMRT example case where the target volume (red) wraps around an organ at risk (OAR) (Brahme *et al* 1982). This case requires substantial intensity modulation. Simply ‘blocking’ dose from reaching the OAR does not yield the desired uniform dose coverage of the target volume. One intensity profile from a gantry angle of  $\phi = 20^\circ$  is shown.



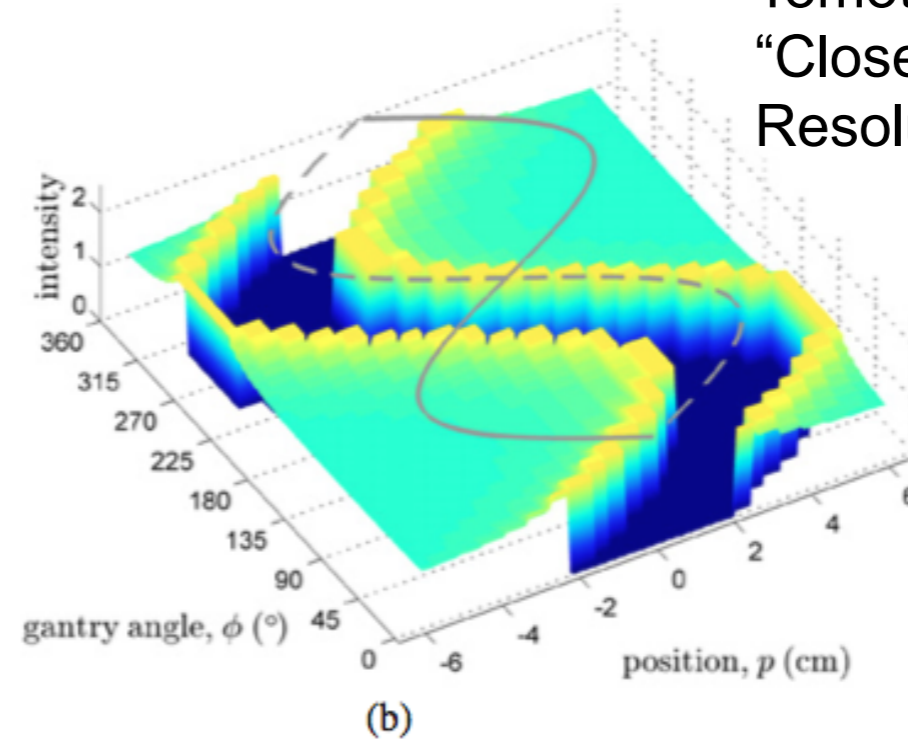
Goal: homogeneous dose in PTV

# Theoretical comparisons IMRT/HT/VMAT

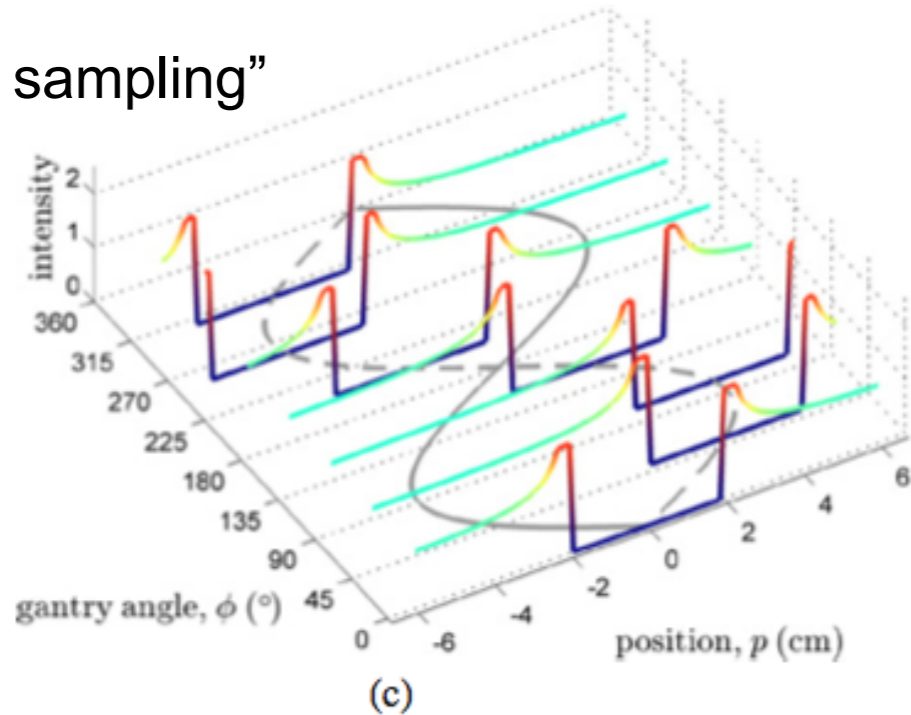
Ideal IMRT



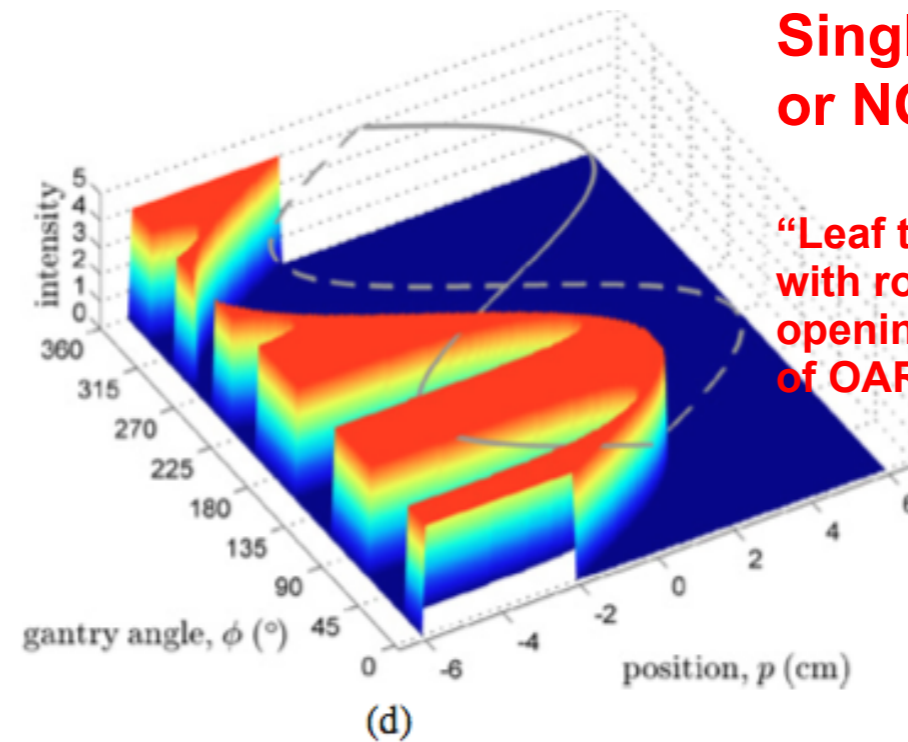
Tomotherapy  
“Close but limitations in Resolution”



S-IMRT  
“Coarse sampling”



**Single Arc IMRT  
or NOT?**

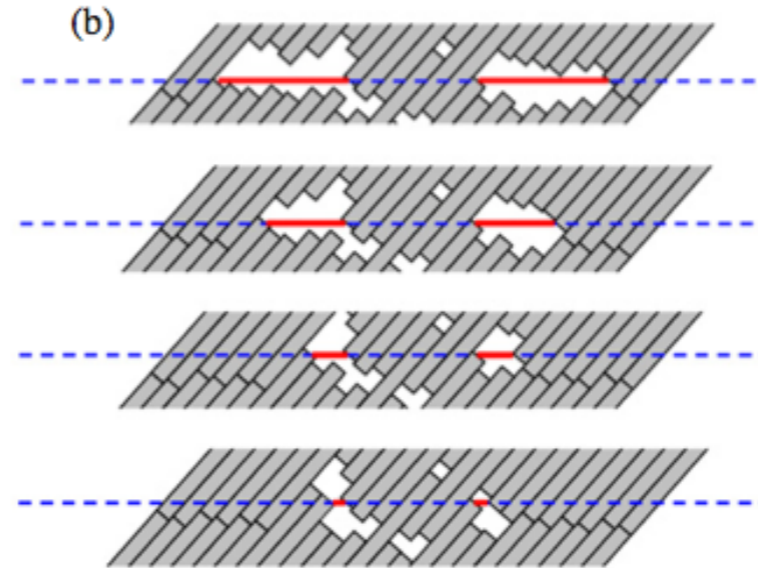
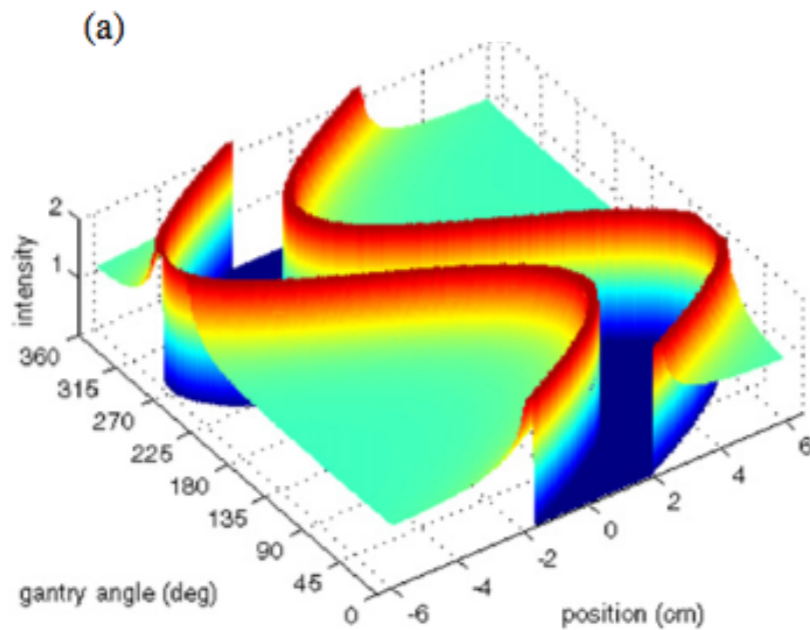


**“Leaf tracks inline  
with rotation direction,  
opening leaves on one side  
of OAR”**

# Theoretical comparisons IMRT/HT/VMAT

## Reply by Otto et al.

Ideal IMRT

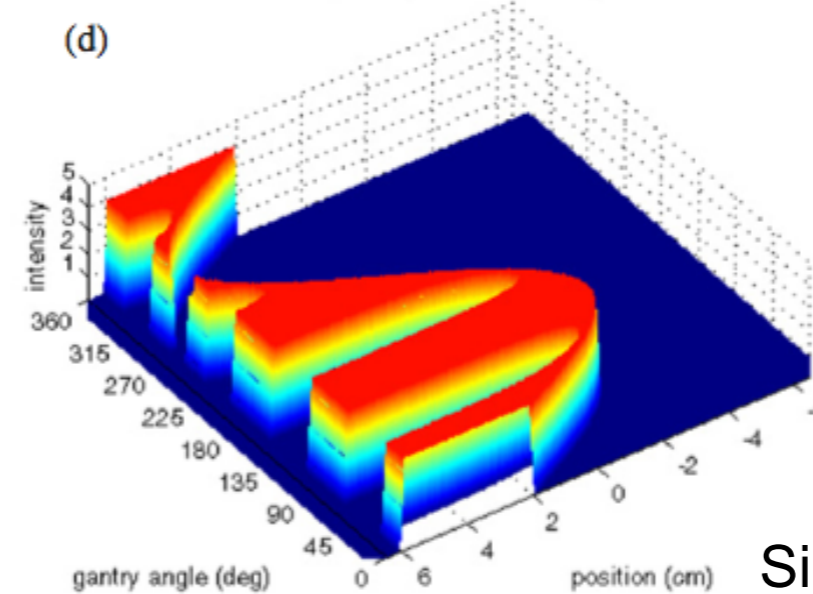
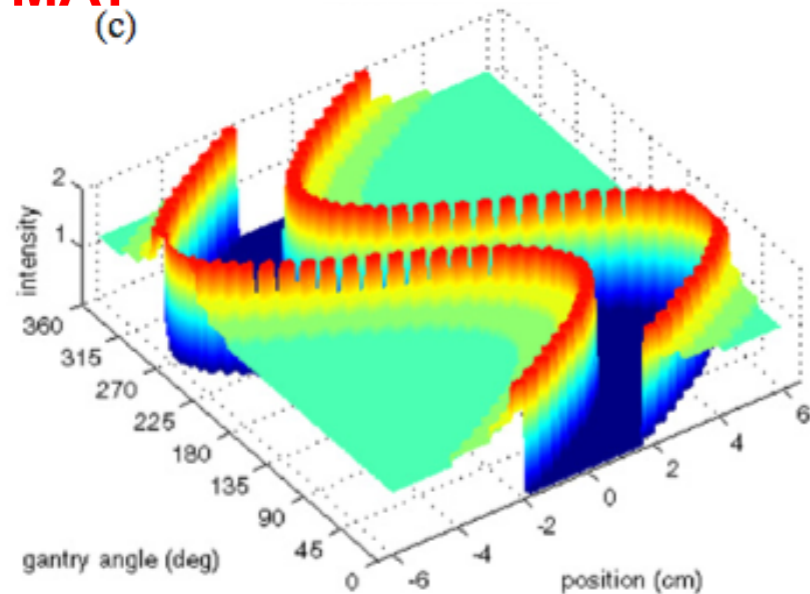


Possibility of exposure on both sides of the OAR in one aperture!

What is the precise definition of a VMAT technique?

“Extend discussion to more than one axial slice?”

RapidArc VMAT



Single Arc IMRT  
By Bortfeld&Webb

“Integration over small gantry angle range ( $360^\circ/51$ )”

# Theoretical comparisons IMRT/HT/VMAT

## *Moving toward a general conclusion?*

IOP PUBLISHING

PHYSICS IN MEDICINE AND BIOLOGY

Phys. Med. Biol. **54** (2009) 4345–4360

[doi:10.1088/0031-9155/54/14/001](https://doi.org/10.1088/0031-9155/54/14/001)

### **Some considerations concerning volume-modulated arc therapy: a stepping stone towards a general theory**

**S Webb and D McQuaid**

Joint Department of Physics, Institute of Cancer Research and Royal Marsden NHS Foundation Trust, Downs Road, Sutton, Surrey SM2 5PT, UK

Received 25 February 2009, in final form 19 May 2009

Published 23 June 2009

Online at [stacks.iop.org/PMB/54/4345](http://stacks.iop.org/PMB/54/4345)

#### **Webb&McQuaid:**

*“No universal theory of VMAT is known in the sense that there is no theory that can predict precisely the performance of a VMAT delivery in terms of the free parameters available (variable gantry speed, variable fluence-delivery rate, set of MLC shapes, MLC orientation, number of arcs, coplanarity versus non-coplanarity, etc). This is in stark contrast to the situation with several other IMRT delivery techniques where such theoretical analyses are known. In this paper we do not provide such a theory; the material presented is a stepping stone on the path towards this.”*



So...

In certain cases HT can be used as some kind of modulation “benchmark” for rotational therapy.

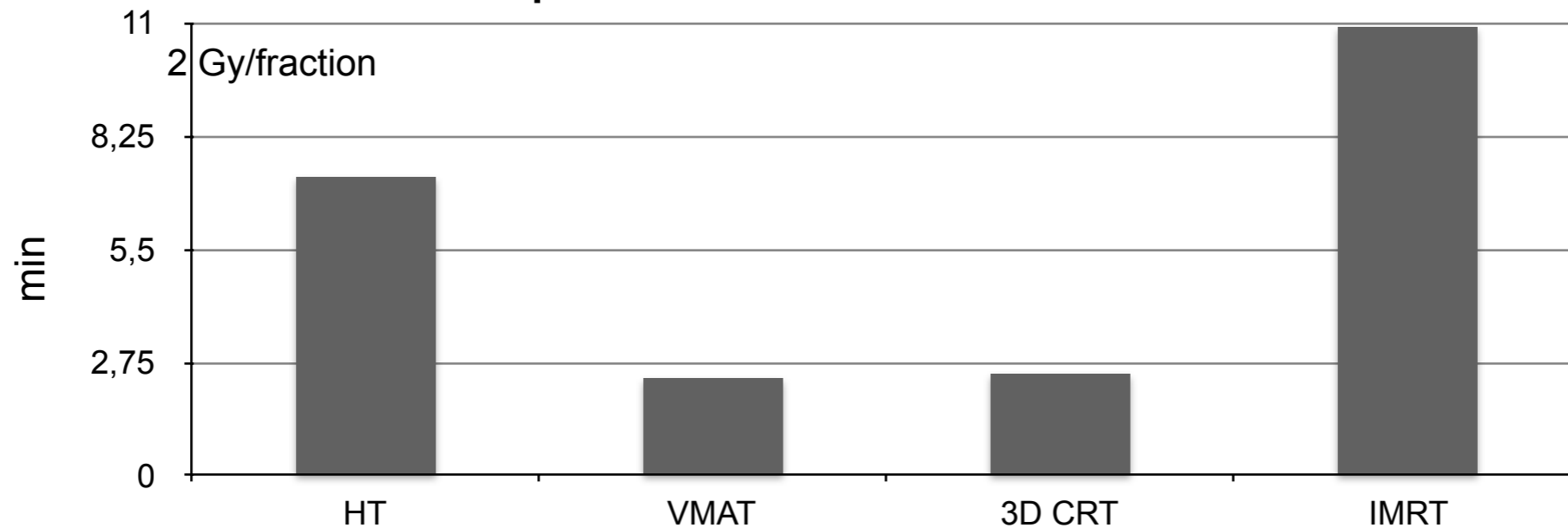


This does not mean it is clinically relevant to use it!

If not modulation, what could be another mode for comparison?

# Treatment delivery times

## Reports on treatment time



**Table 2**

Representative treatment times with volumetric modulated arc therapy (VMAT) techniques and tomotherapy. Treatment times may not be directly comparable across studies due to variation in plan complexity. 3D-CRT: 3D-conformal radiotherapy; IMRT: intensity-modulated radiation therapy; \* excludes time for patient setup or imaging.

Treatment site	Authors	Dose per fraction	Modalities reported	Treatment times* in minutes (mean or range, unless specified)
<i>Conventionally fractionated treatments</i>				
Several sites	Bauman et al. <sup>21</sup>	Various	Tomotherapy	6 [median]
	Bijdekerke et al. <sup>18</sup>	Various	Tomotherapy	11
	Sterzing et al. <sup>58</sup>	Various	Tomotherapy	10.7
Several sites – Pediatric	Fogliata et al. <sup>36</sup>	Various	Tomotherapy	4.9
			RapidArc	2.1
Lung	Bedford et al. <sup>34</sup>	Not specified	VMAT (Elekta)	1.7
Nasopharynx	Lee et al. <sup>24</sup>	1.8 Gy	3D-CRT	2.5
			Tomotherapy	8
Naso-, oro-, hypopharynx	Verbakel et al. <sup>31</sup>	2 Gy	IMRT	14
			RapidArc	1.3–3
Prostate	Cozzarini et al. <sup>59</sup> Shaffer et al. <sup>60</sup>	Up to 2.65 Gy Up to 2.4 Gy	IMRT	8–12
			Tomotherapy	4–6
			VMAT (RapidArc predecessor)	3.7
			IMRT	9.6
<i>Hypofractionated stereotactic treatments</i>				
Lung	Verbakel et al. <sup>33</sup>	7.5–18 Gy	RapidArc	4.5–11
			3D-CRT	11–13
Vestibular Schwannomas	Hodge et al. <sup>61</sup>	12 Gy	Tomotherapy	22
Liver and lung	Lagerwaard et al. <sup>62</sup>	12.5 Gy	RapidArc	4–5
Liver, lung and spine	Subgroup of Sterzing et al. <sup>58</sup> Fuss et al. <sup>63</sup>	Not specified 5–20 Gy	Tomotherapy	46
			Tomotherapy (helical and serial)	22–48

# The vocabulary of “Treatment Times”

Different “Times”:

- **Treatment slots:** reserved time on the machine, time from the patient entering the bunker, until leaving the bunker
- **Total treatment time:** time from the first MV photon hitting the patient until the last photon, includes gantry rotation without beam
- **Beam-on time:** time the therapeutic MV beam is actually on
- **Dose accumulation pattern:** the way a tissue volume is accumulating dose from primary beam during treatment

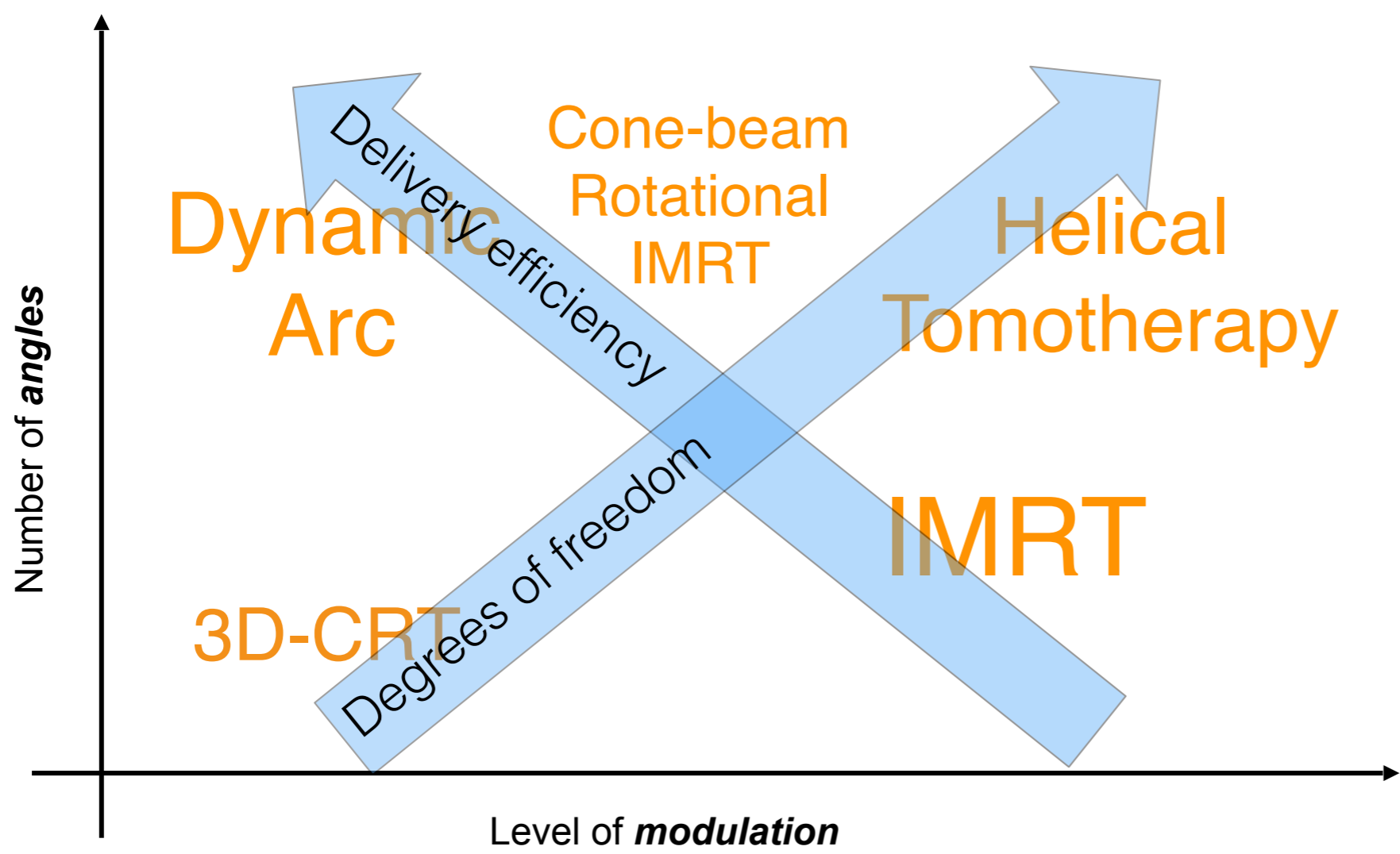
## Reports on treatment times

Verbakel et al. :

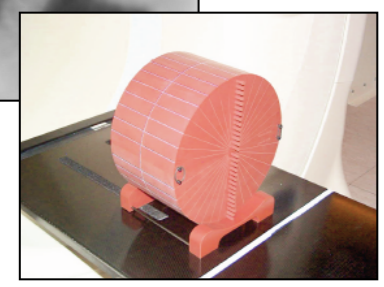
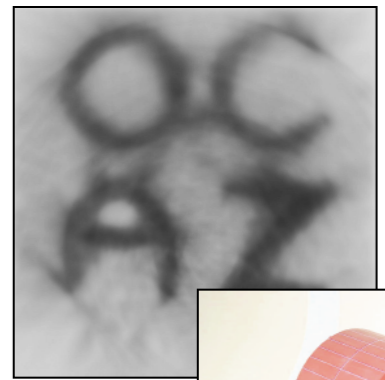
“Delivery times for **standard IMRT** using sliding window are much longer than for **RapidArc** for reasons which include the fact that

- (1) **no radiation** is delivered **during gantry rotation** between fields,
- (2) **multiple carriage groups** are needed for large PTVs and
- (3) time required for each field as **complex fluence** distributions require **small leaf openings**.”

# Treatment times : the trade-off



Axial slice



Tomotherapy

Verellen et al.

“High number of beam angles with moderate modulation seems to be more efficient in terms of delivery time on the current generation of treatment units”

# Treatment times

→ Conventional 3D CRT treatments usually take no more than a few minutes

- Low number of treatment beams

→ IMRT delivery times range usually from 3 to 15 minutes BUT

depending on:

- Machine type
- Delivery method (SMLC, DMLC, IMAT, VMAT, ...)
- Amount of IMRT beams/segments
- Tumor complexity (Volume, surrounding OAR, ...)
- Fraction size (hypofractionation schemes)

treatment times can increase to as long as 20 to 40 minutes

→ Why are short treatment times preferable?

→ Can Rotational IMRT techniques bring down the treatment times?

# Treatment delivery time

Impact of treatment duration on:

→ Hospital logistics, Economical aspect

→ IGRT and intra-fraction motion

→ Radiobiological efficiency loss

→ ~~Secondary Cancers~~

cfr. Frank/Andrea

# Treatment times : Delivery efficiency

Cozzi et al. :

“Faster treatments could have a clinical impact on single patients in terms of comfort on couch, immobility and **minimisation of internal organ’s displacement** (e.g. bladder or rectum filling changes over time). This could increase the daily treatment quality and **allows also more time for imaging procedures within standard time slots**. In addition, faster treatments have an impact on the system throughput allowing the possibility to **treat more patients per day**, and to eventually **reduce waiting list** for selected groups of patients.”

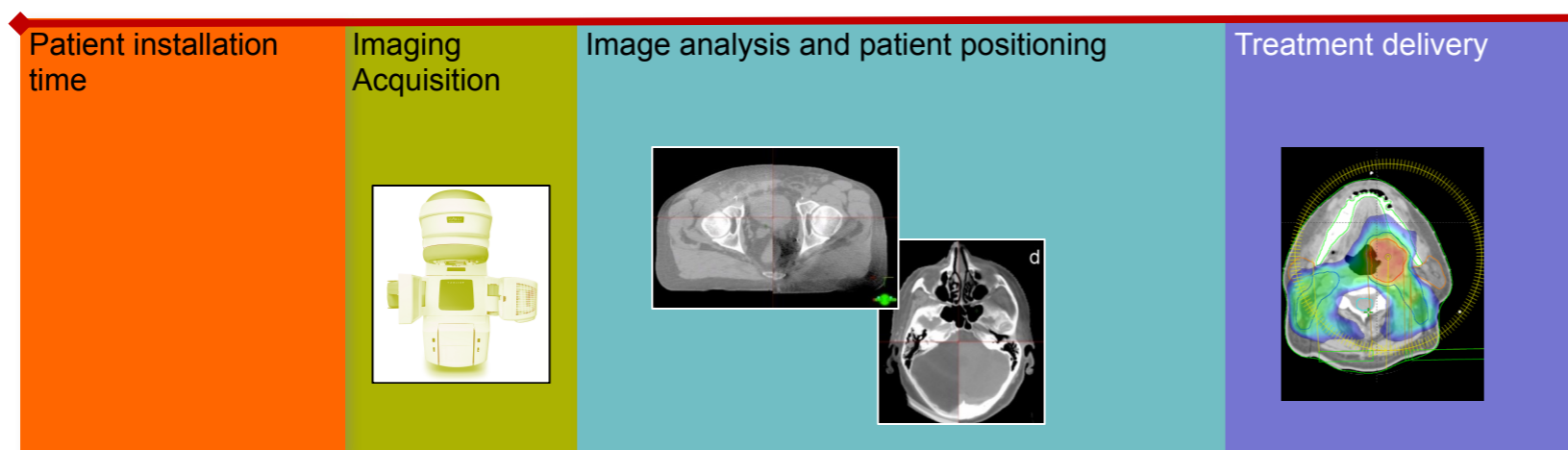


# Delivery efficiency : treatment times and hospital logistics

## Static Gantry IMRT workflow



## VMAT/RA workflow



20% reduction

**IMPORTANT:**  
“More efficient dose delivery is **NOT** more time for imaging and adaptation!”

# Treatment delivery time

Impact of treatment duration on:

→ Hospital logistics, Economical aspect

→ IGRT and intra-fraction motion

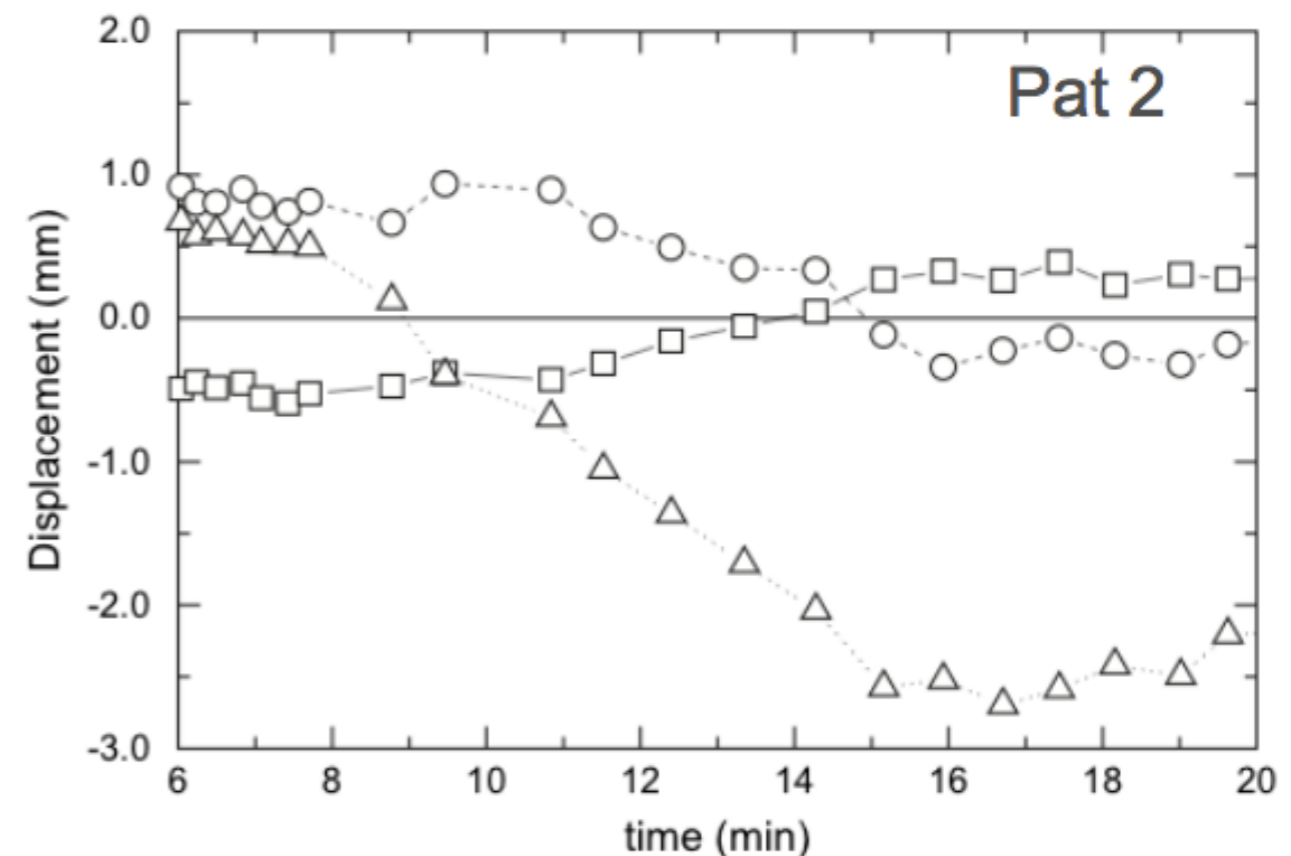
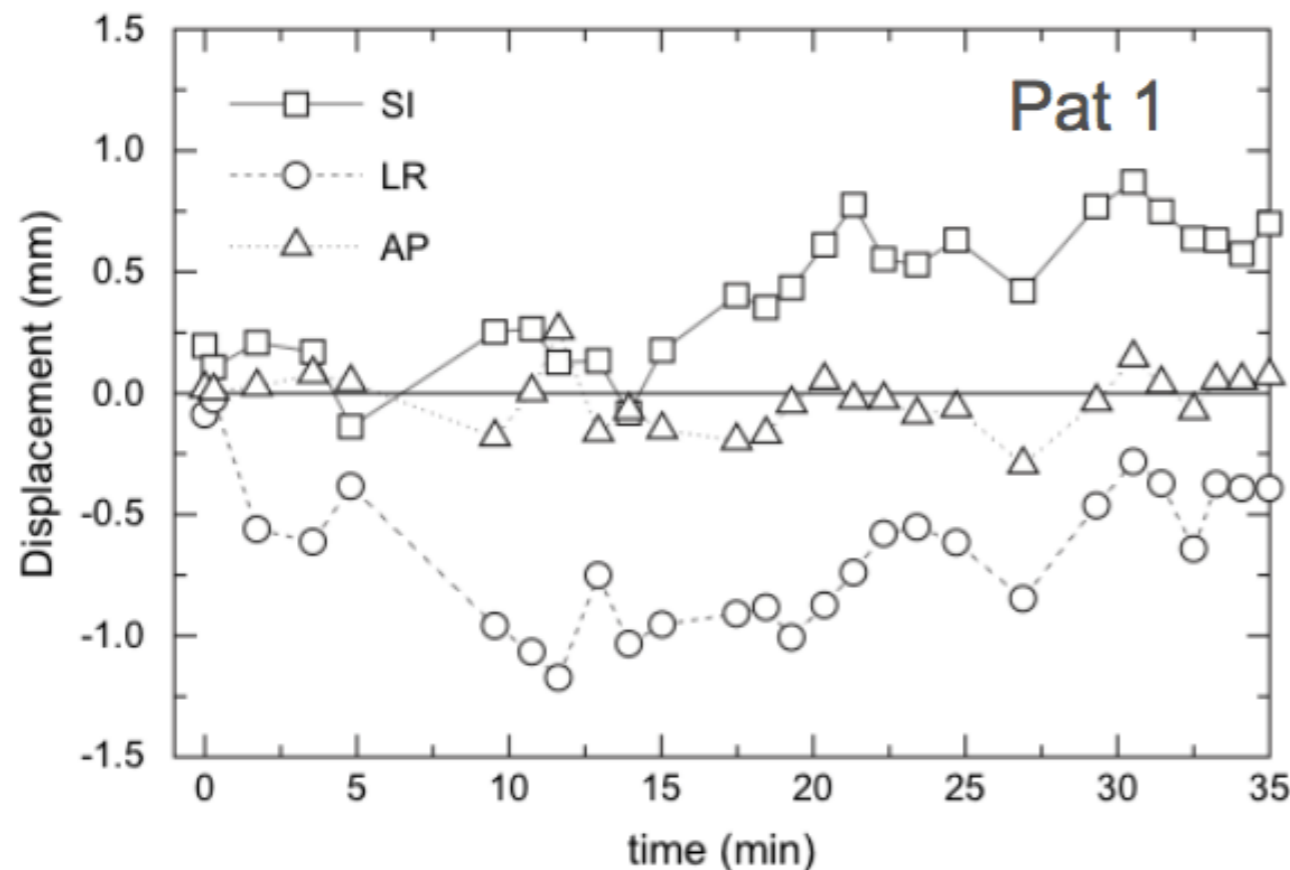
→ Radiobiological efficiency loss

→ ~~Secondary Cancers~~

cfr. Frank/Andrea

Treatment time : intrafractional movement

***Extracranial spine patients, immobilized in vacuum bag,  
Position recorded with stereoscopic X-ray system:***



**Conclusions:** Despite the applied immobilization devices, patients drift away from their initial position during a treatment fraction. These drifts are in general small if compared with conventional treatment margins, but will **significantly contribute to the margin for high-precision radiation treatments with treatment times of 15 min or longer.**

# Treatment delivery times : susceptibility to intra-fraction motion

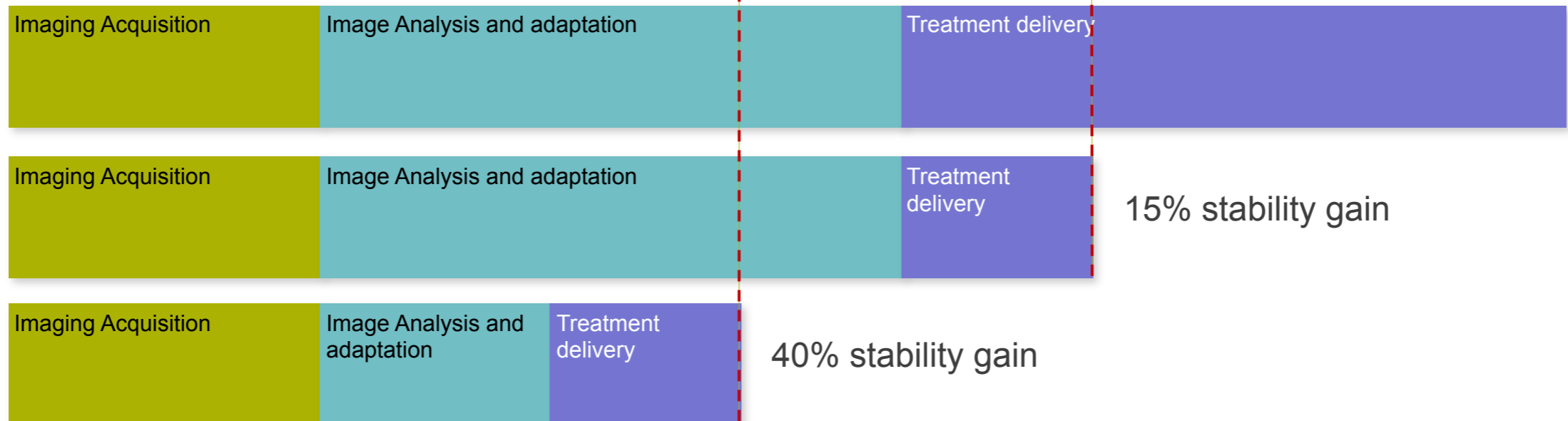
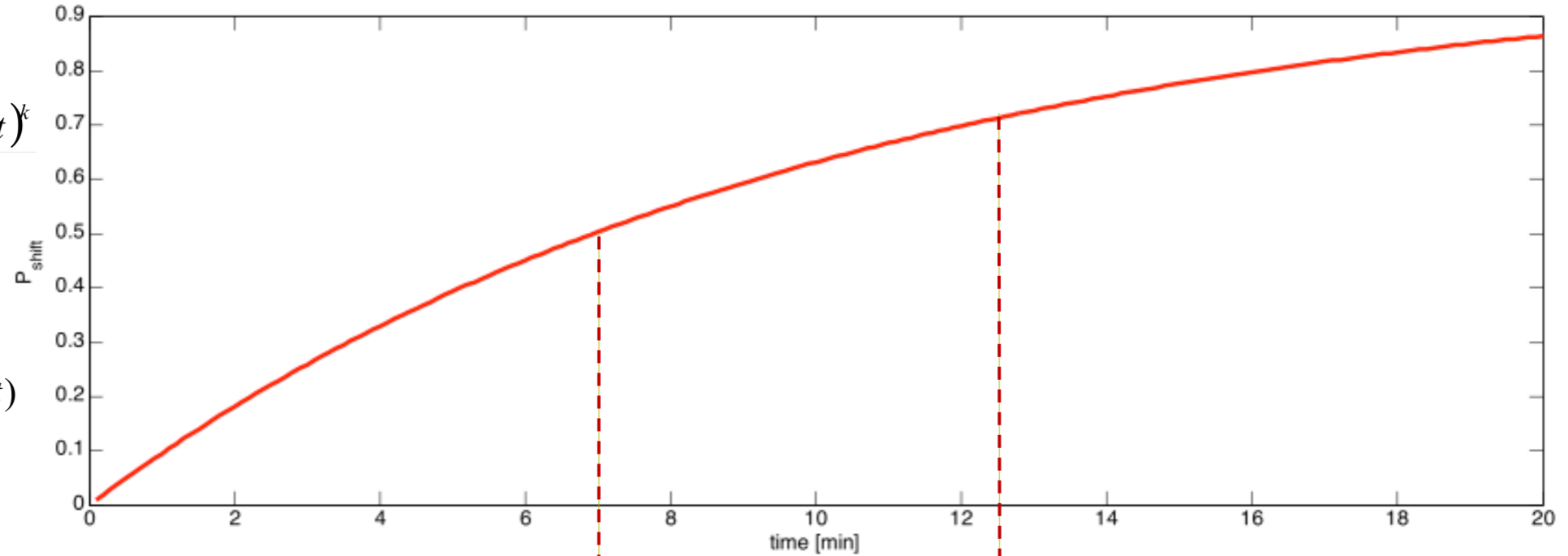
Geometric instability in the pelvic region (prostate, rectal cancer treatment)

Poisson Model:

$$f(k, \lambda t) = \frac{e^{-\lambda t} (\lambda t)^k}{k!}$$

$$\lambda = 0.1 / \text{min}^*$$

$$P_{\text{shift}} = 1 - f(0, \lambda t)$$



# Treatment delivery time

Impact of treatment duration on:

→ Hospital logistics, Economical aspect

→ IGRT and intra-fraction motion

→ Radiobiological efficiency loss

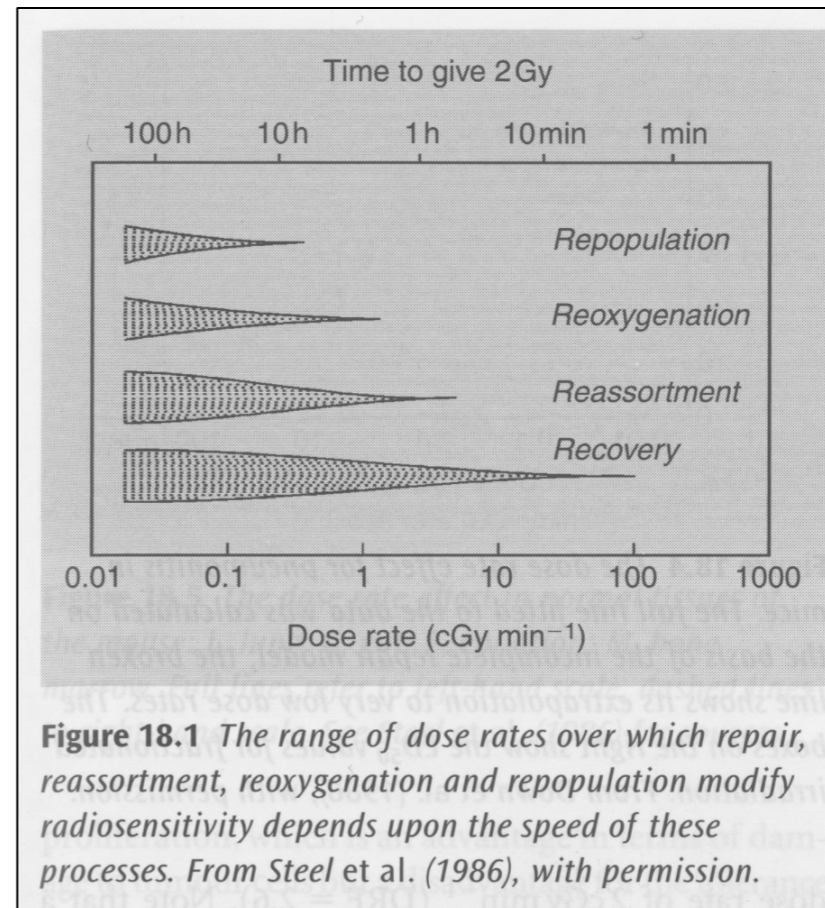
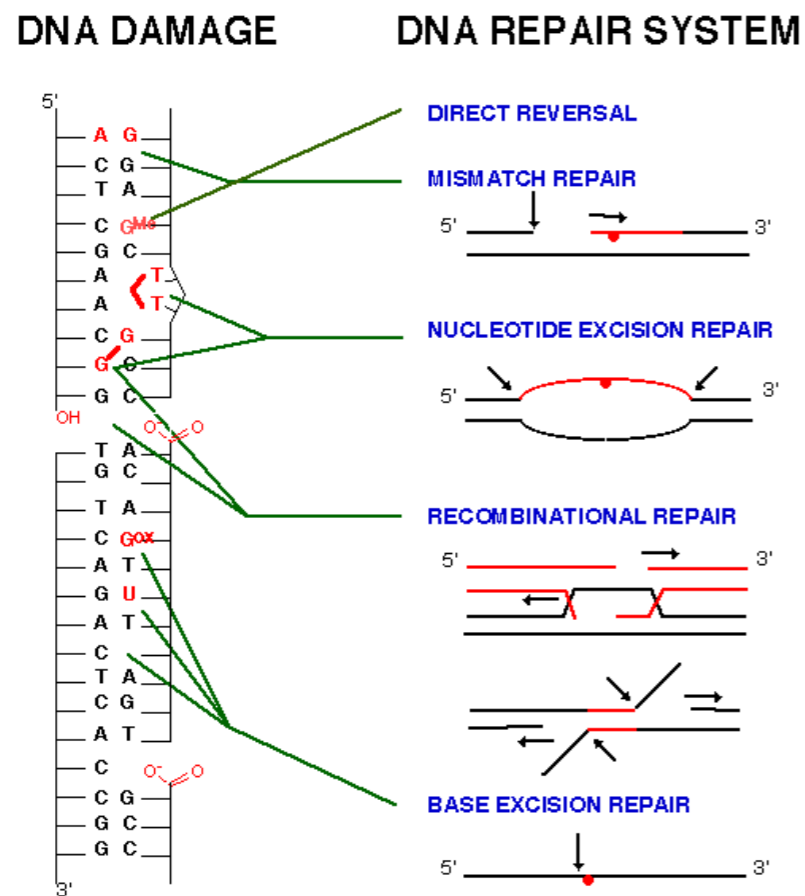
→ ~~Secondary Cancers~~

cfr. Frank/Andrea

# Biological efficiency loss

Verbakel et al. :

“... a reduction in fraction delivery time—from 17 min to a minute —may increase the effectiveness of a given dose by up to 20%, depending on the tumour type.”



Verbakel et al., Steel et al.

# Biological efficiency loss

Different “Times”:

- Treatment slots: time from the patient entering the bunker, until leaving the bunker
  - Total treatment time: time from the first MV photon hitting the patient until the last photon, includes gantry rotation without beam
  - Beam-on time: time the beam is actually on
- Dose accumulation pattern: the way a tissue volume is accumulating dose from primary beam during treatment

# Biological efficiency loss and dose accumulation pattern



Shaikh et al.:

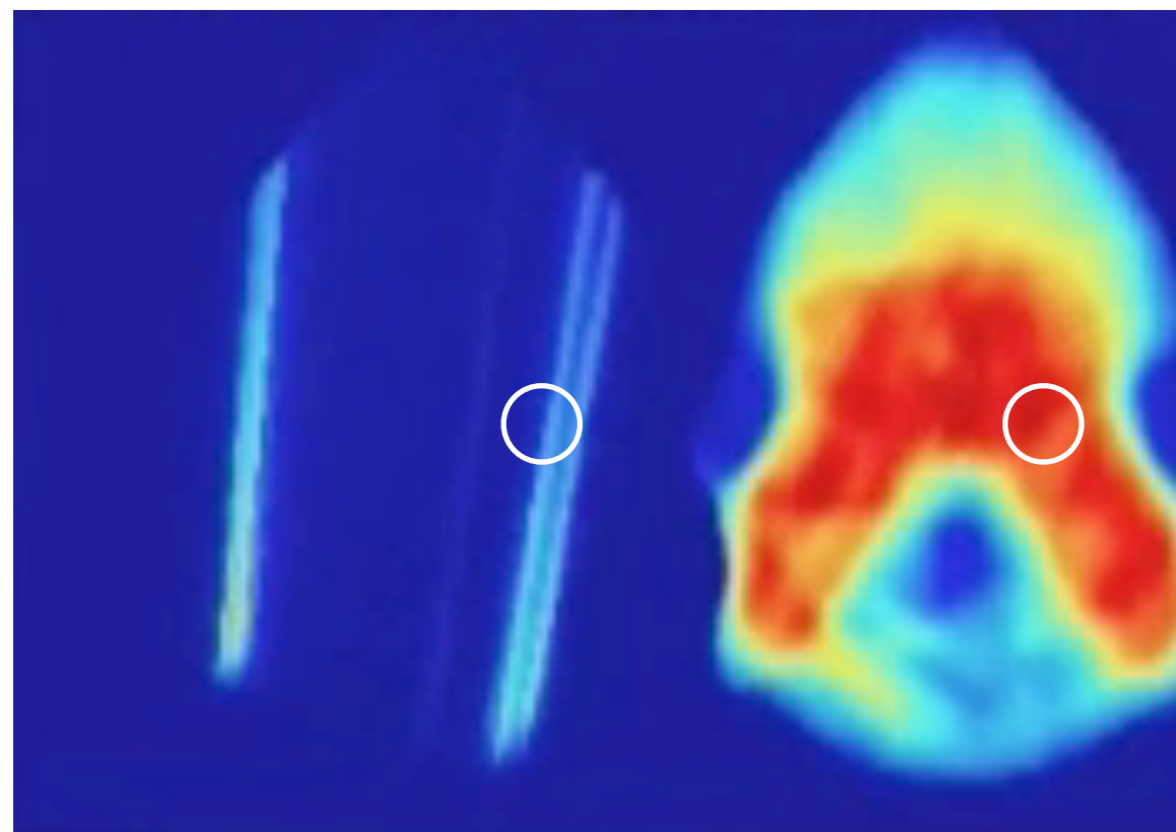
“Several studies have pointed out that some of the IMRT ‘treatment times’ are considerably longer than the average 2–5 min for a conventional treatment, which raise concerns about the **loss of biological effectiveness**. It is well established that protraction of dose over a **longer duration reduces cell kill** because prolonged treatments provide cells with an **opportunity to repair DNA damage**.

Modeling studies suggest that a **significant loss of biological effectiveness** can be expected if the fraction delivery time is **longer than 15–30 min.**”

Cell kill  Dose accumulation pattern



# Dose Accumulation pattern VMAT

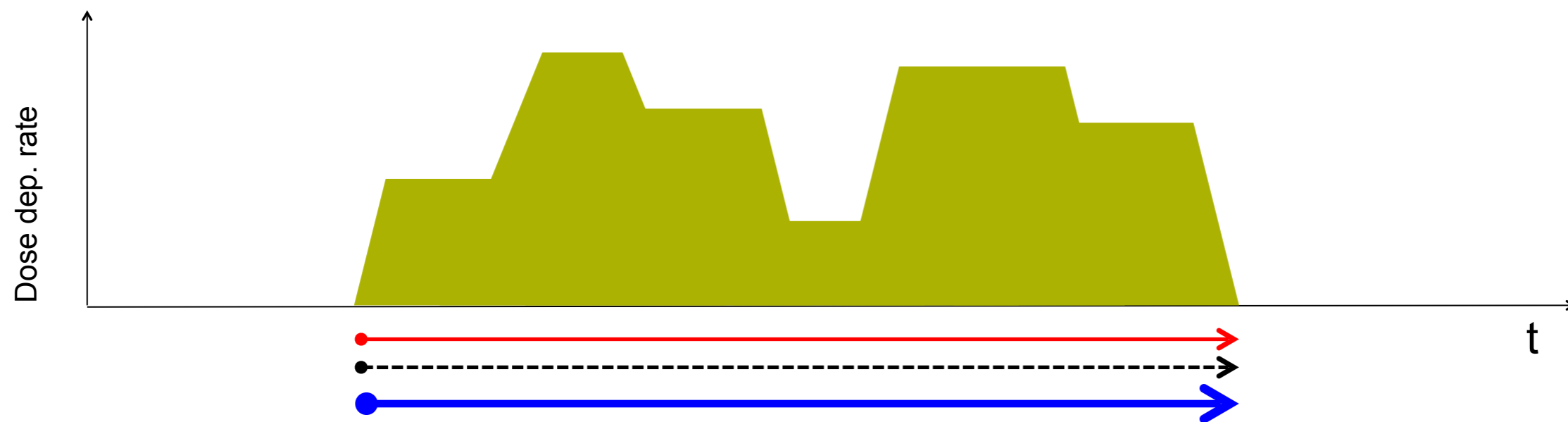


○ Target subvolume

→ Beam on

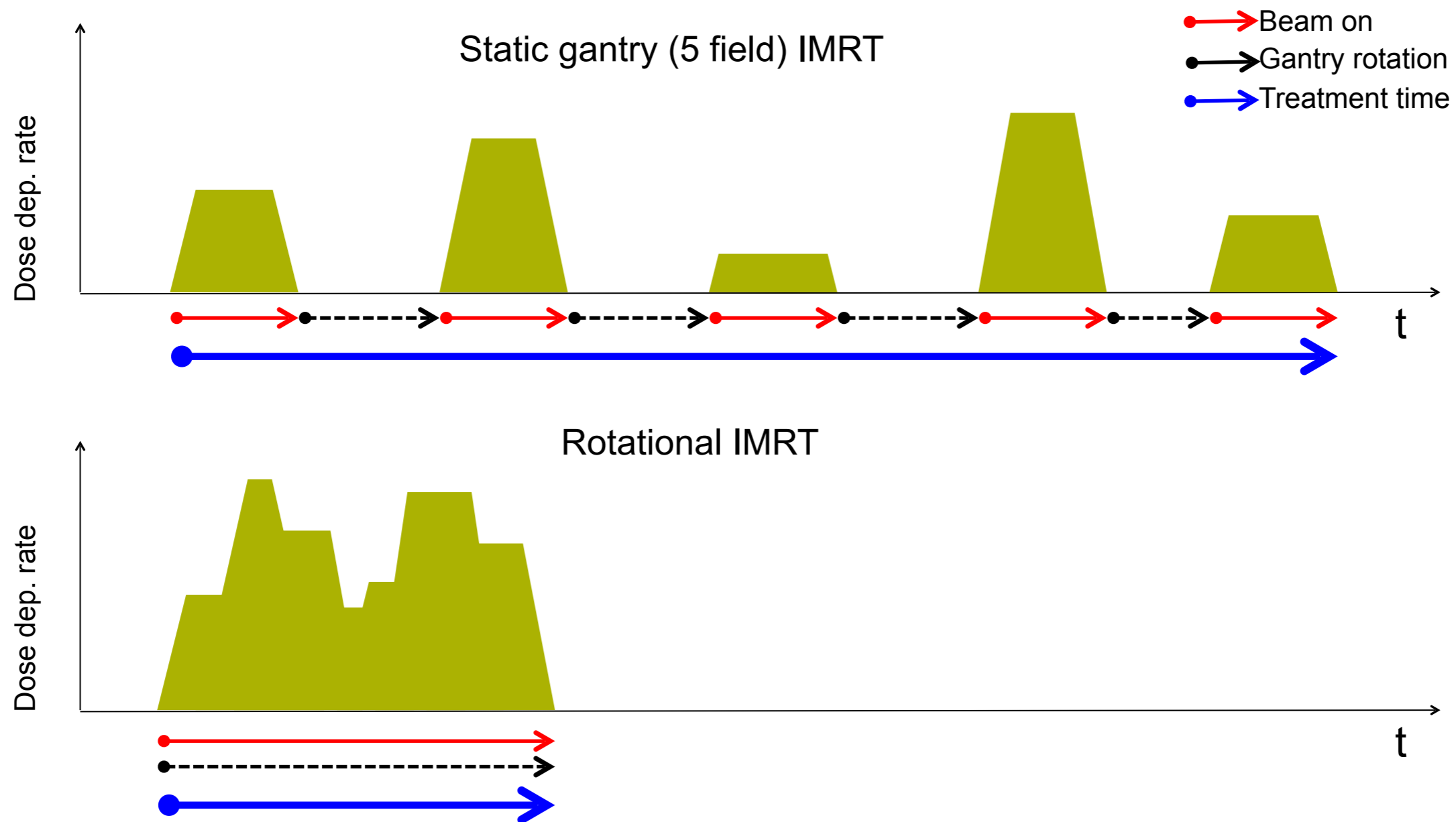
→ Gantry rotation

→ Treatment time



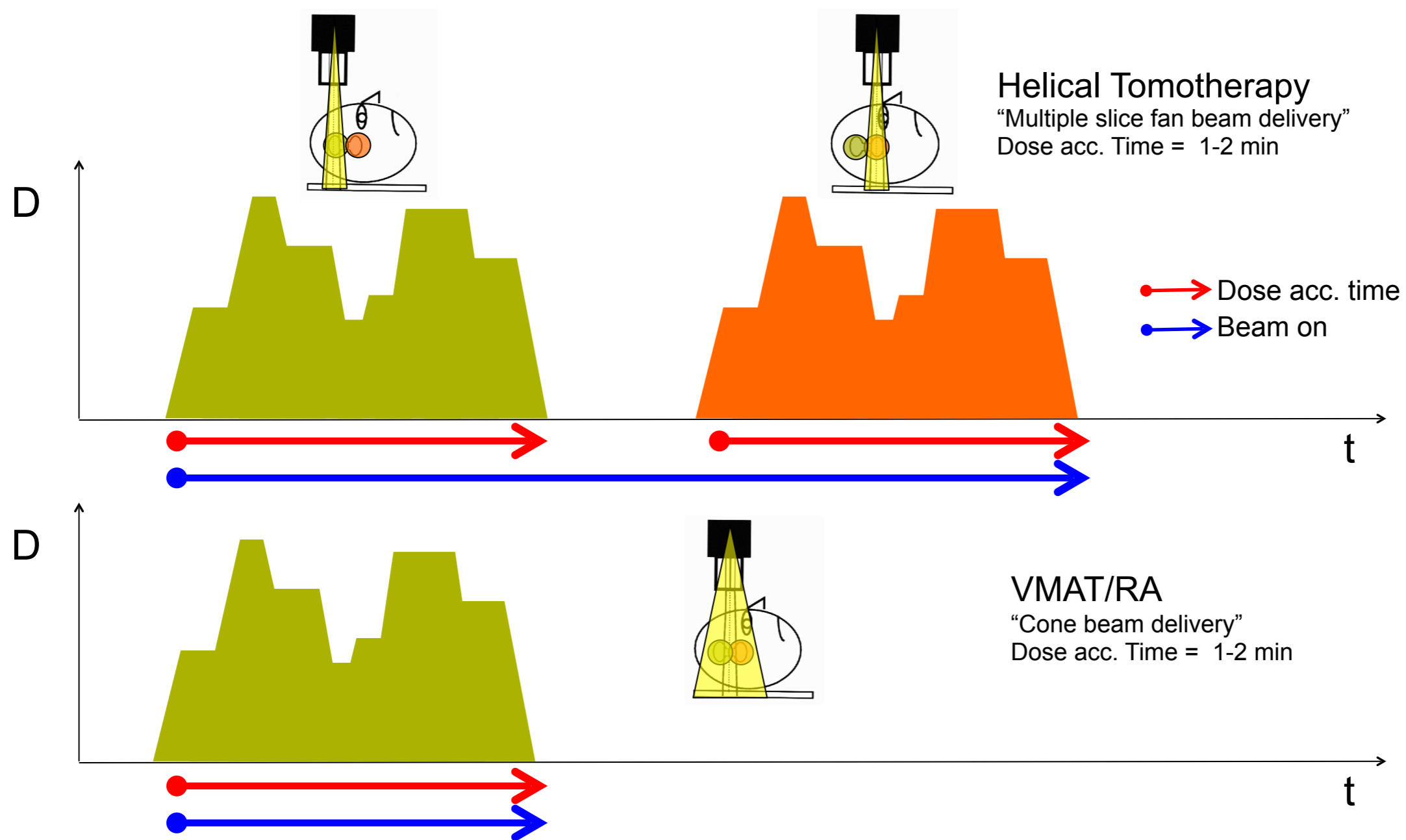
# Dose Accumulation patterns

Fraction dose deposition to a target subvolume:

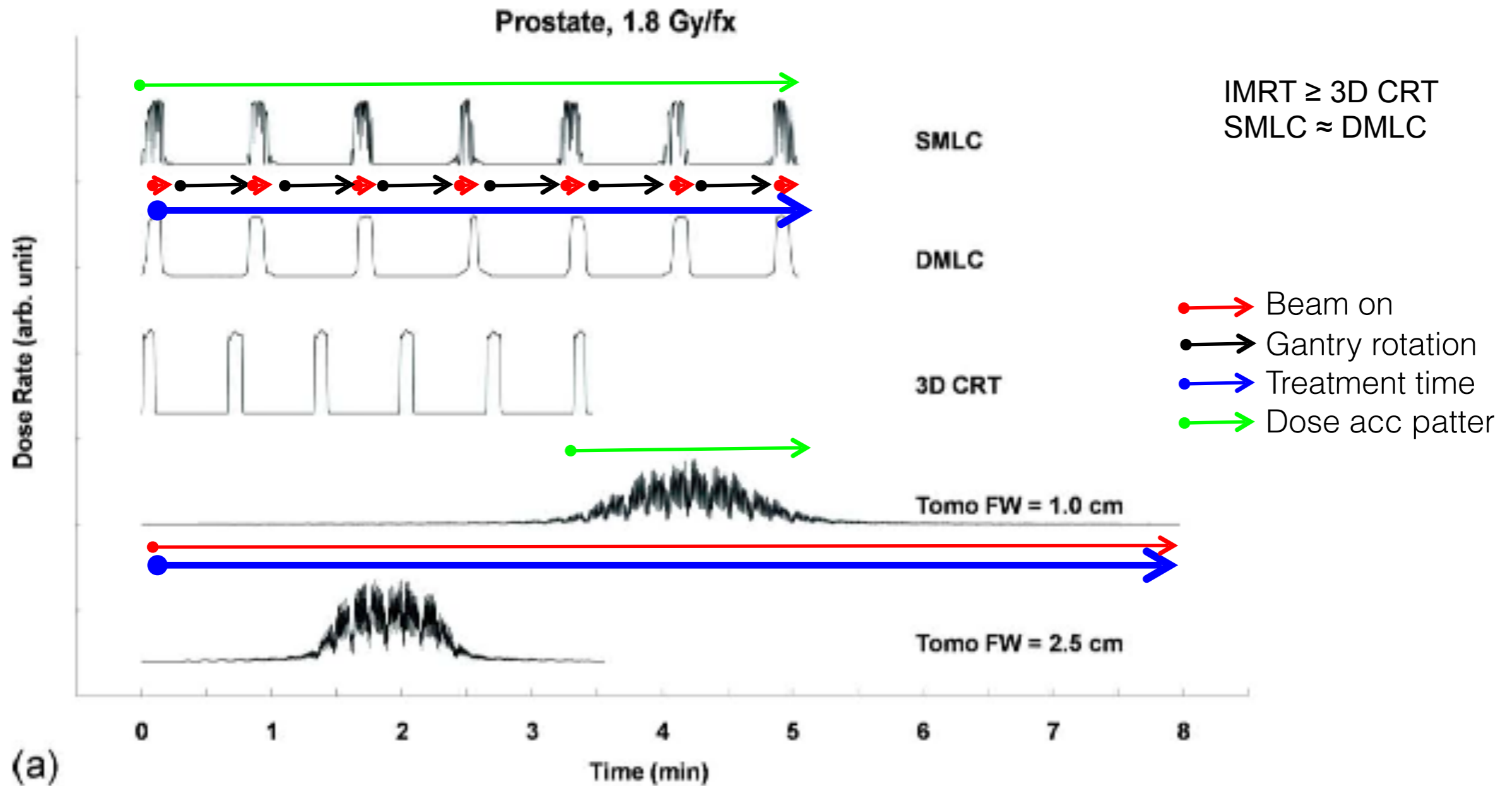


# Dose Accumulation patterns

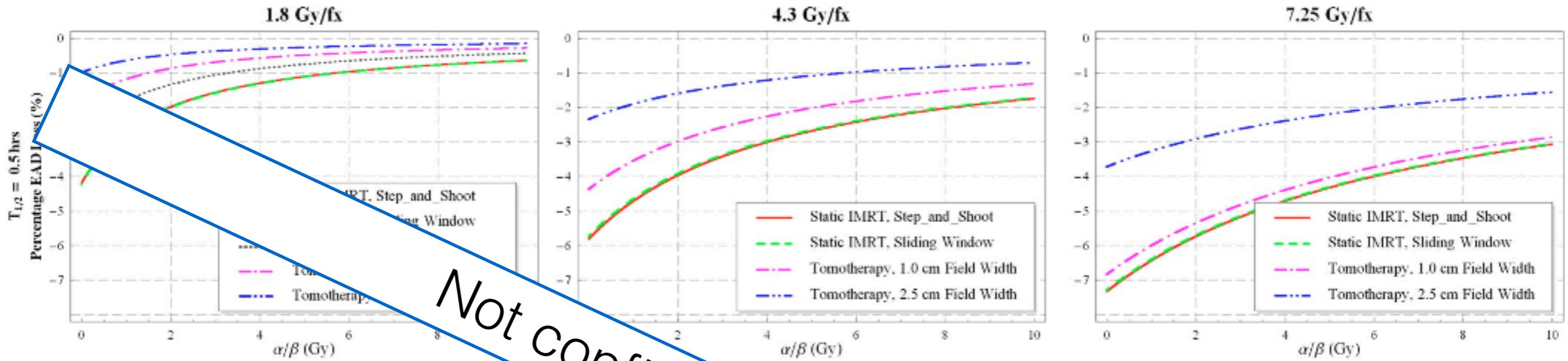
Fraction dose deposition to one target subvolume:



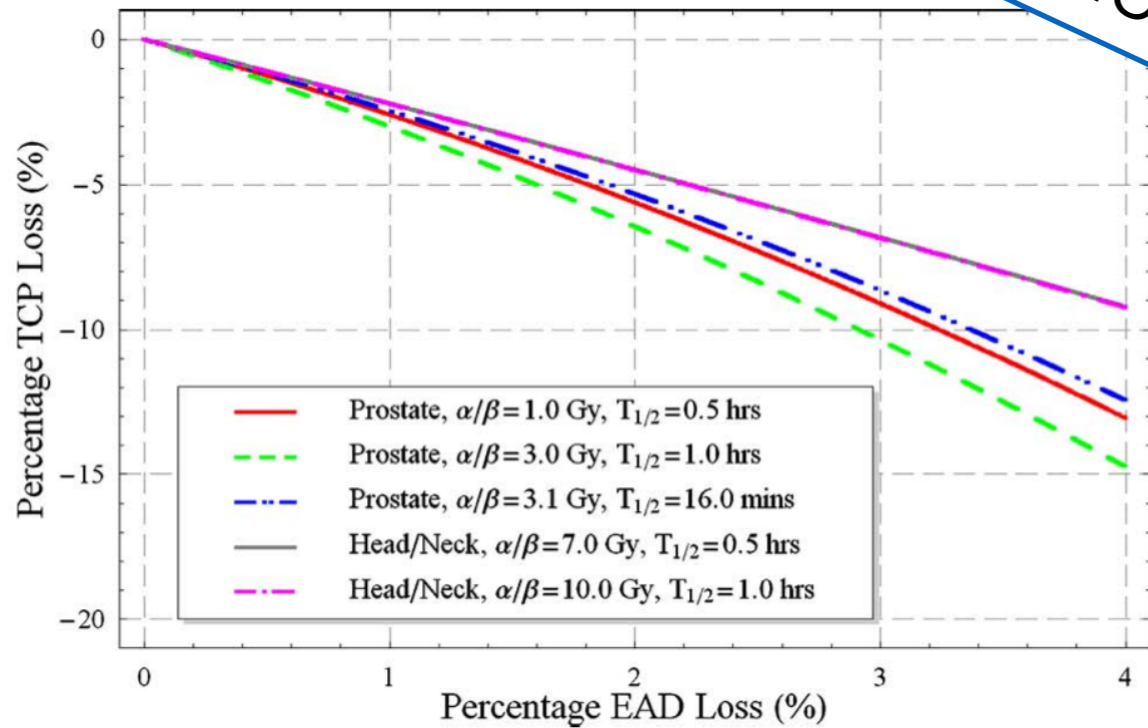
**Ionization chamber measurement of the dose accumulation in one single point/subvolume during treatment delivery:**



## Biological model results



Not confirmed by clinical data



... SMLC and DMLC IMRT delivery, for both prostate and head/neck, the expected additional loss in BED is about 10% compared to 3D CRT, which corresponds to a 3% reduction in TCP. For tomotherapy, the percentage loss is smaller in comparison to 3D CRT; hence, we expect a TCP increase of the order of 2%–3%. The observed differences are due to the dose accumulation...

Shaikh et al. :

“Our analysis finds that helical **tomotherapy treatments are generally superior to SMLC and DMLC** treatment plans in this aspect due to the relatively **rapid dose accumulation** seen by a given target volume element.”

“... Newer rotational delivery techniques such as **VMAT**, which are capable of total treatment delivery times shorter than any of the techniques studied here, should be expected to result in **similar biological effects to those from helical tomotherapy** as presented here.”

“If the **relationships between treatment time and biological effectiveness** were accurately known for clinical situations, one could modify the prescription dose to compensate. Unfortunately, these **relationships are not completely understood and depend on factors that are not accurately known** (i.e.,  $\alpha / \beta$  ratios and repair half-times, etc.)

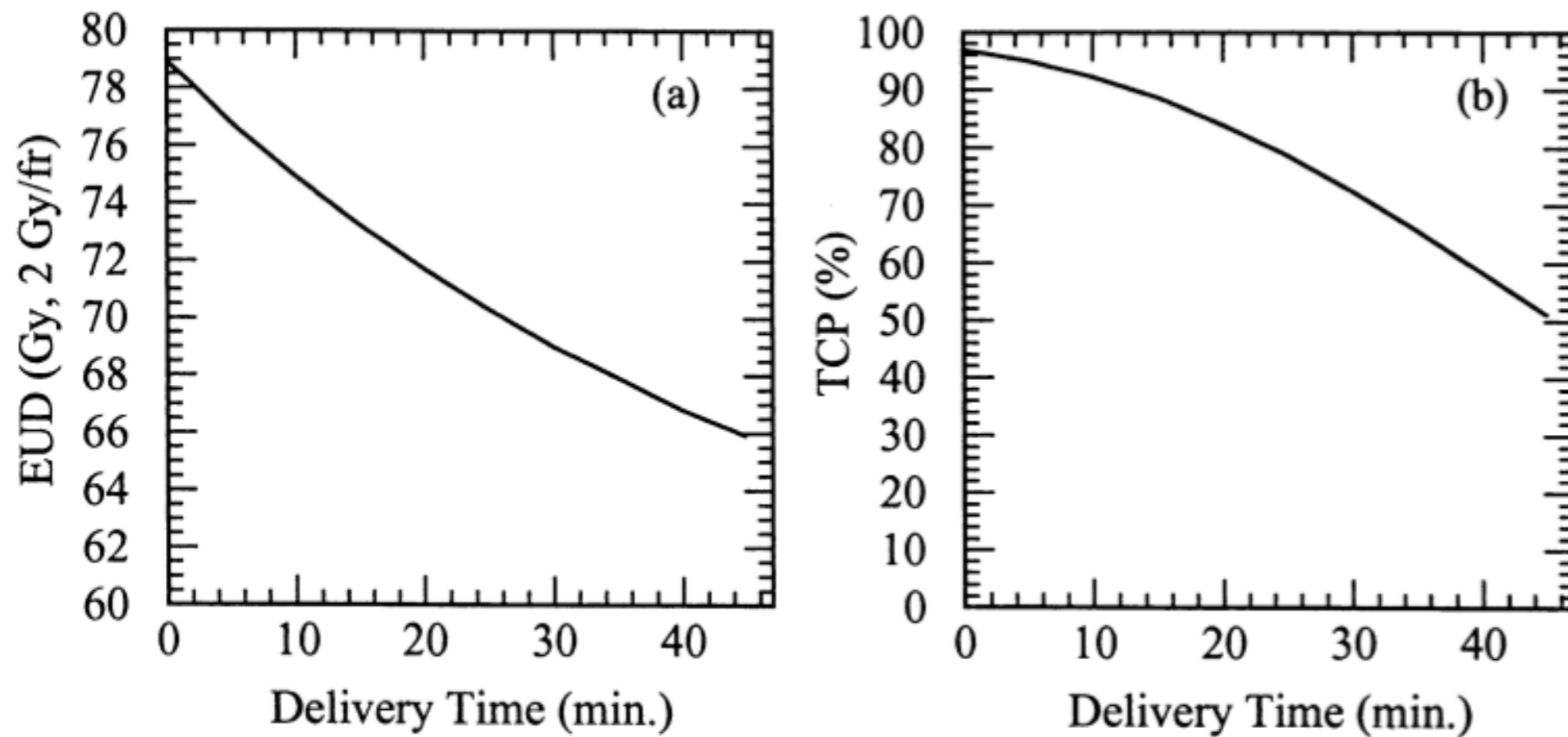


Fig. 5. (a) Equivalent uniform dose (EUD) and (b) tumor control probability (TCP) for an intermediate-risk patient group as a function of IMRT fraction delivery time for prostate cancer. The prescription dose is 81 Gy in 1.8 Gy fractions. Except where explicitly noted otherwise, the following LQ parameters were used in this study:  $\alpha = 0.15 \text{ Gy}^{-1}$ ,  $\alpha/\beta = 3.1 \text{ Gy}$ ,  $T_r = 16 \text{ min}$ , and clonogen number  $K = 3.0 \times 10^6$  (18).

# Biological efficiency loss and dose accumulation pattern



Shaikh et al. :

“Our analysis finds that helical **tomotherapy treatments are generally superior to SMLC and DMLC** treatment plans in this aspect due to the relatively **rapid dose accumulation** seen by a given target volume element.”

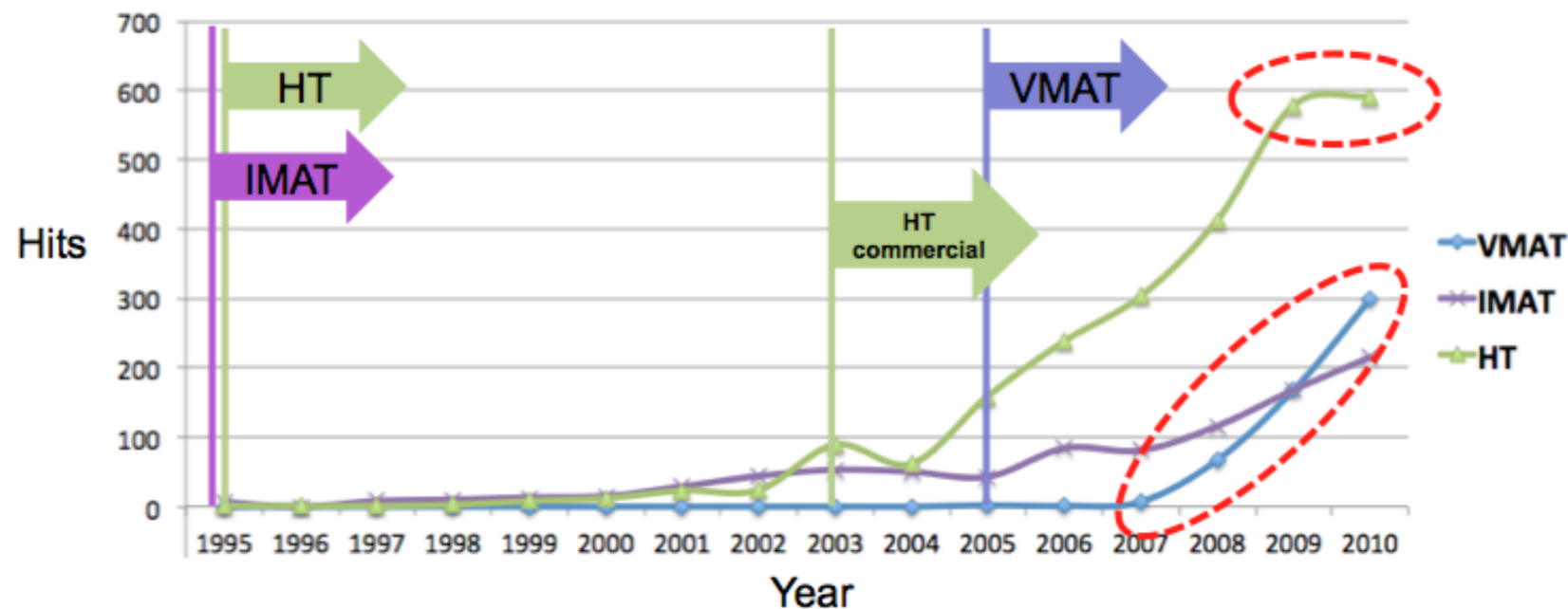
“... Newer rotational delivery techniques such as **VMAT**, which are capable of total treatment delivery times shorter than any of the techniques studied here, should be expected to result in **similar biological effects to those from helical tomotherapy** as presented here.”

“If the **relationships between treatment time and biological effectiveness** were accurately known for clinical situations, one could modify the prescription dose to compensate. Unfortunately, these **relationships are not completely understood and depend on factors that are not accurately known** (i.e.,  $\alpha / \beta$  ratios and repair half-times, etc.)

Trash







*“Yes, commercial interest of some companies have boosted rotational therapy especially the HT, VMAT, RapidArc competition.”*

-For technical innovation on equipment level **companies are an enabling partner** having the required resources for engineering and manufacturing.

-Important is that we, **radiation therapy professionals and users of this technology**, remain **cautious** and **critical** toward newly introduced equipment and techniques. This way **the commercial drive** is controlled/restrained with a feedback loop and **can be used to our and our patients advantage**.



## Should all IMRT be VMAT? or HT? or static IMRT?

- VMAT produces highly conformal dose distributions ... like the other IMRT and HT. **However, general superiority over other solutions has not been proven.**
- After a period of extreme discussion on all fronts the dust has settled down and every department should make a choice according to needs, resources and indications.
- **Reduction of treatment time** may be “strongest” argument in making a difference between VMAT and the other solutions: more economical, intra-fraction motion, patient comfort, hypofractionation, ... and if it would come with reduced biological efficiency loss that's even better.
- Both HT and VMAT have been upgraded and optimized since the era of the big discussion...

# Examples

- HT
  - Dynamic jaws, limiting CC gradient
  - Speed and reliability updates
  - No biological optimization
  - ask you to learn to speak “tomo”
- VMAT
  - faster MLCs (6cm/s), probably no more need for ultra-small leaves
  - Higher doserates
  - In-treatment verification possible
  - TPS are getting better/faster/have biological optimization

# Final conclusions

- Rotational therapy has gone mainstream.
- This has not made it the answer to life the universe and everything
- This has not made it any easier to implement, plan or QA
- In hospital economics, this time and effort should also be incorporated
- In planning:
  - Know your machine's limitations
  - Know and understand your optimizer and dose calculation
  - Don't treat it like a coffee machine
- Don't be afraid, be vigilant



# Highly conformal techniques in early stage lung cancer: indications, techniques, normal tissue constraints, results

Andrea Riccardo Filippi, MD

Department of Oncology

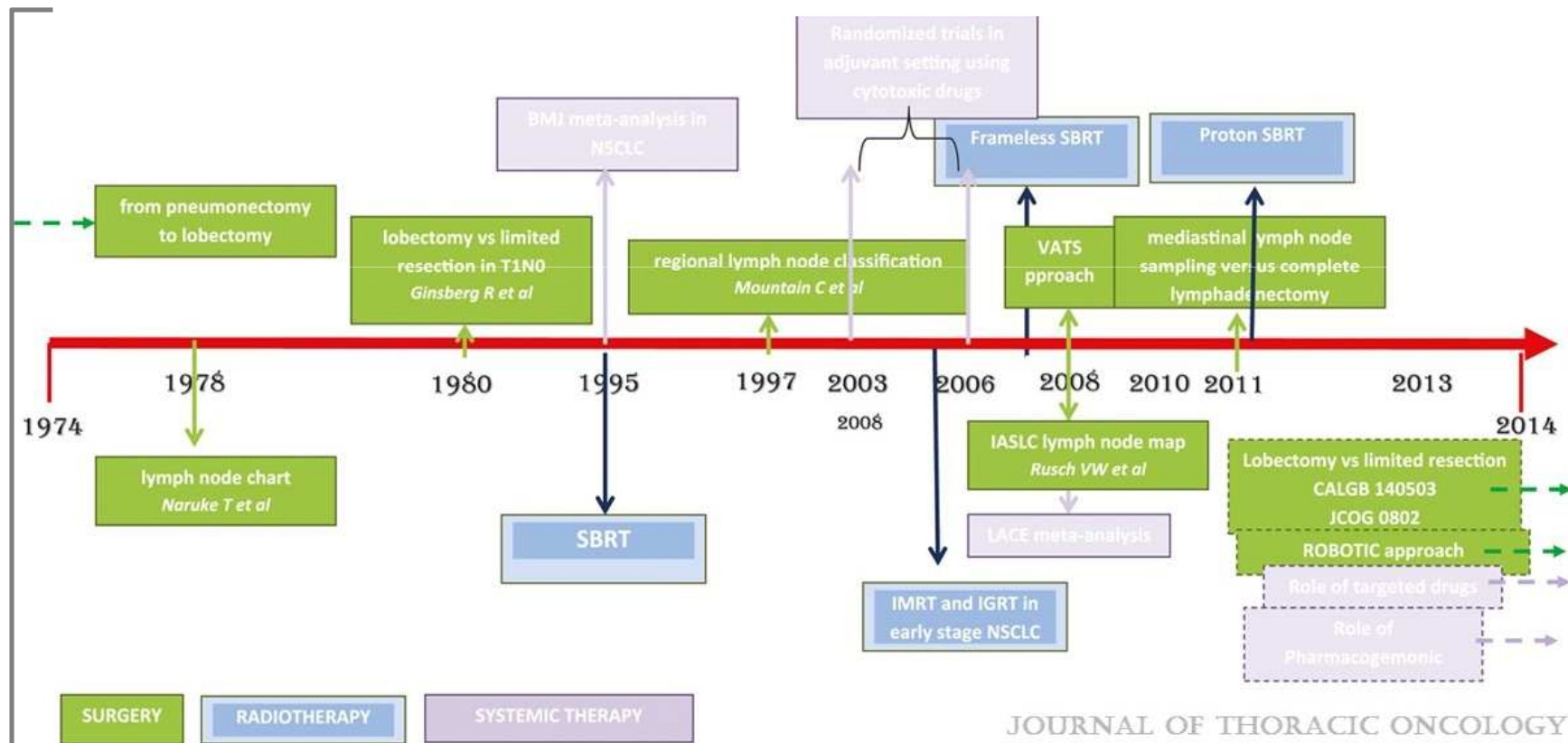
University of Torino, Italy

[andreariccardo.filippi@unito.it](mailto:andreariccardo.filippi@unito.it)

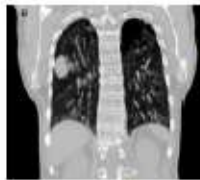
## Early-Stage Lung Cancer: 40s Anniversary

Novello, Silvia; Asamura, Hisao; Bazan, Jose; Carbone, David; Goldstraw, Peter; Grunenwald, Dominique; Ricardi, Umberto; Vansteenkiste, Johan

Journal of Thoracic Oncology. 9(10):1434-1442, October 2014

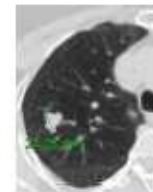


# Features of Lung SABR



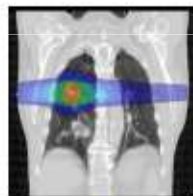
## Accounting for Motion

- 4D Planning



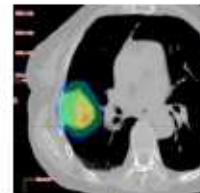
## Small tumour volumes

- Small margins



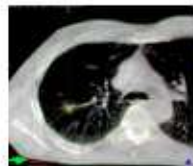
## Many Beam Directions

- 7-11 Beams / Arc Therapy



## Steep dose gradients

- Inhomogeneous target dose



## Accurate Targeting

- CBCT pre-RT



## High dose per fraction

- Short total treatment duration

# SBRT or SABR



tə'meɪtʊ



tə'maɪtʊ



# SABR for peripheral lung tumors

- ESMO Clinical Practice Guidelines 2013: SABR is the non surgical treatment of choice (dose to a biologically equivalent tumor dose  $\geq 100$  Gy)
- NCCN guidelines (version 3.2014): non surgical treatment of choice

# SABR for Stage I NSCLC: phase II studies

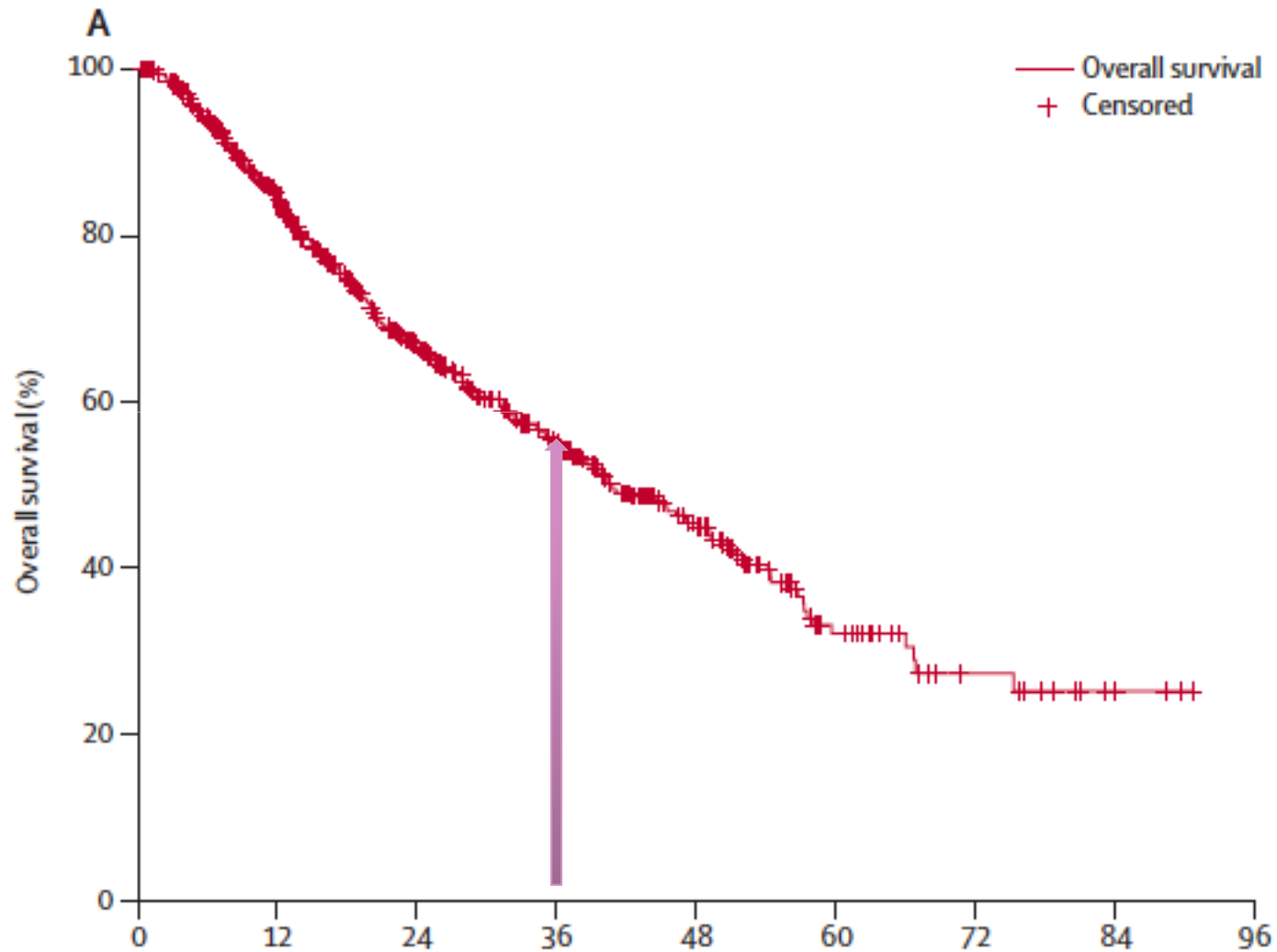
## DISCOVERY MEDICINE

**Table 1. Summary of Results of Recently Reported Prospective Trials of SBRT for Stage I NSCLC**

Author (Year)	Type/Stage	No. of Patients	Dose	Median Follow-up	Outcomes
Fakiris (Fakiris et al., 2009)	Phase II/Medically inoperable T1-2N0M0 NSCLC	70	T1: 20 Gy x 3 T2: 22 Gy x 3	50.2 months	3-year LC: 88.1% 3-year OS: 42.7% 3-year CaSS: 81.7%
Baumann (Baumann et al., 2009)	Phase II/Medically inoperable stage I NSCLC	57	15 Gy x 3 to 67%	35 months	3-year LC: 92% 1-, 2-, and 3-year OS: 86%, 65%, and 60% 1-, 2-, and 3-year CaSS: 93%, 88%, and 88% 3-year PFS: 52%
Koto (Koto et al., 2007)	Phase II/Stage I NSCLC	31	15 Gy x 3 (45 Gy) and 7.5 Gy x 8 (60 Gy)	32 months	3-year LC: 77.9% for T1 and 40% for T2 3-year OS: 71.7% 3-year CSS: 83.5%
Ricardi (Ricardi et al., 2010)	Phase II/Stage I NSCLC	62	15 Gy x 3	28 months	3-year LC: 87.8% 3-year CSS: 72.5% 3-year OS: 57.1%
Timmerman (Timmerman et al., 2010)	RTOG Phase II/ Medically inoperable T1-2N0M0 NSCLC (peripherally located)	55	18 Gy x 3	34.4 months	3-year LC: 97.6% 3-year DFS: 48.3% 3-year OS: 55.8%

Abbreviations: LC, local control; OS, overall survival; CSS, cause-specific survival; CaSS, cancer-specific survival; DFS, disease-free survival.

# Mono-institutional largest study, with/without histological diagnosis

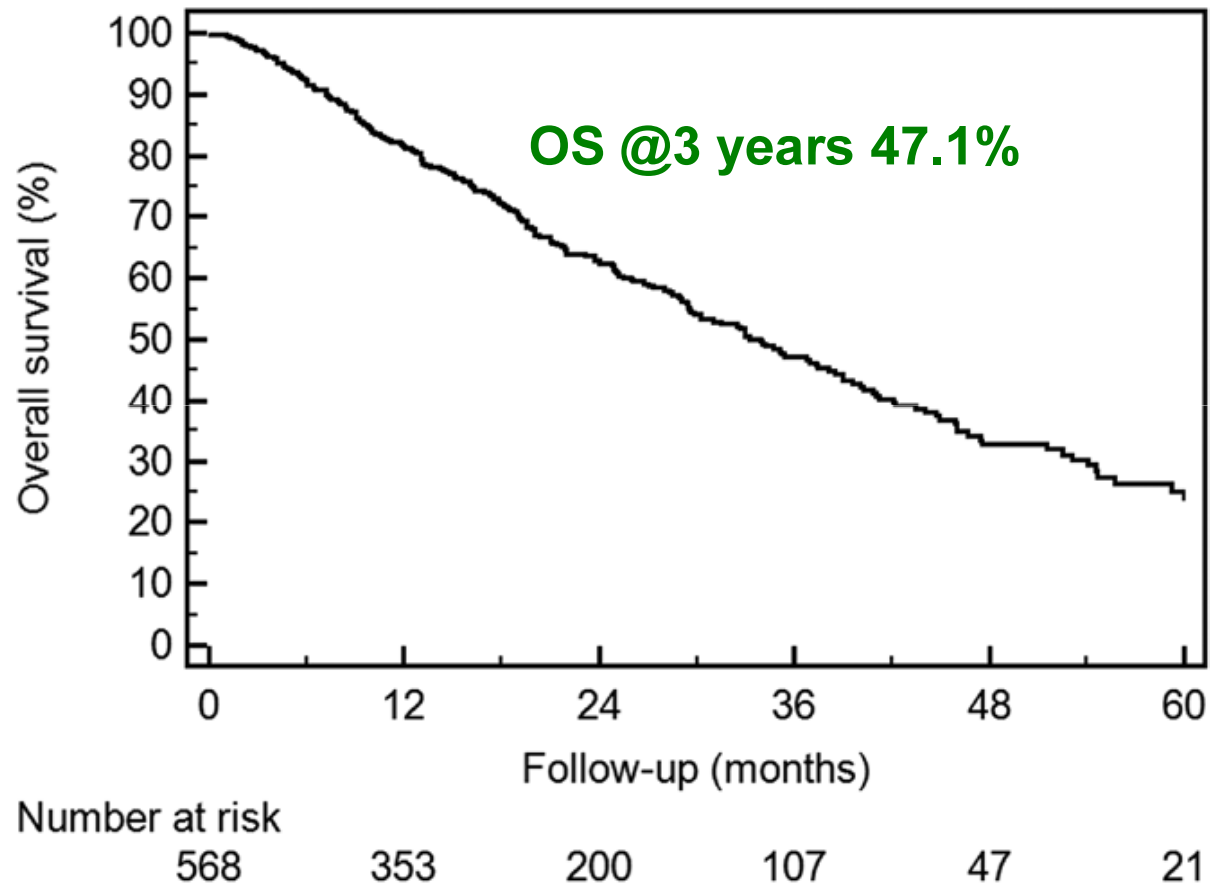


676 patients

Median follow-up time: 32.9 months

Senthi et al, Lancet Oncol 2012

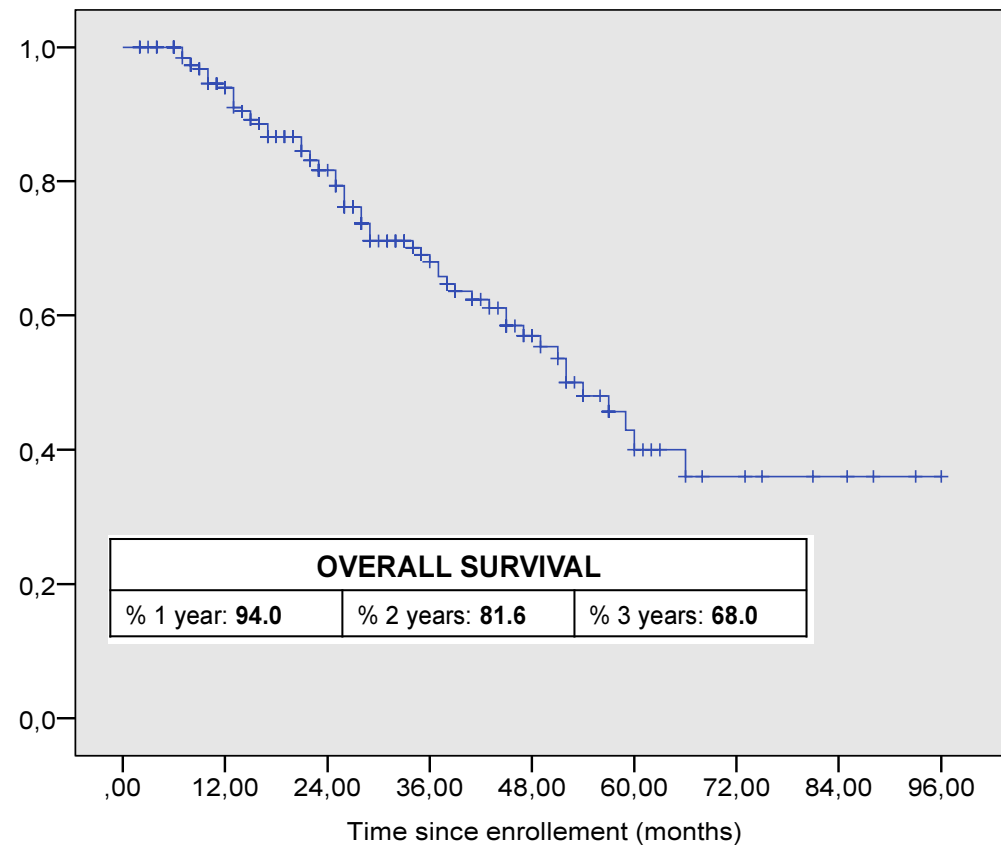
# The German Society of Radiation Oncology (DEGRO) Observational Multicentric Study



# SABR in stage I histologically proven NSCLC: an Italian multicenter observational study

**2B**

## OVERALL SURVIVAL



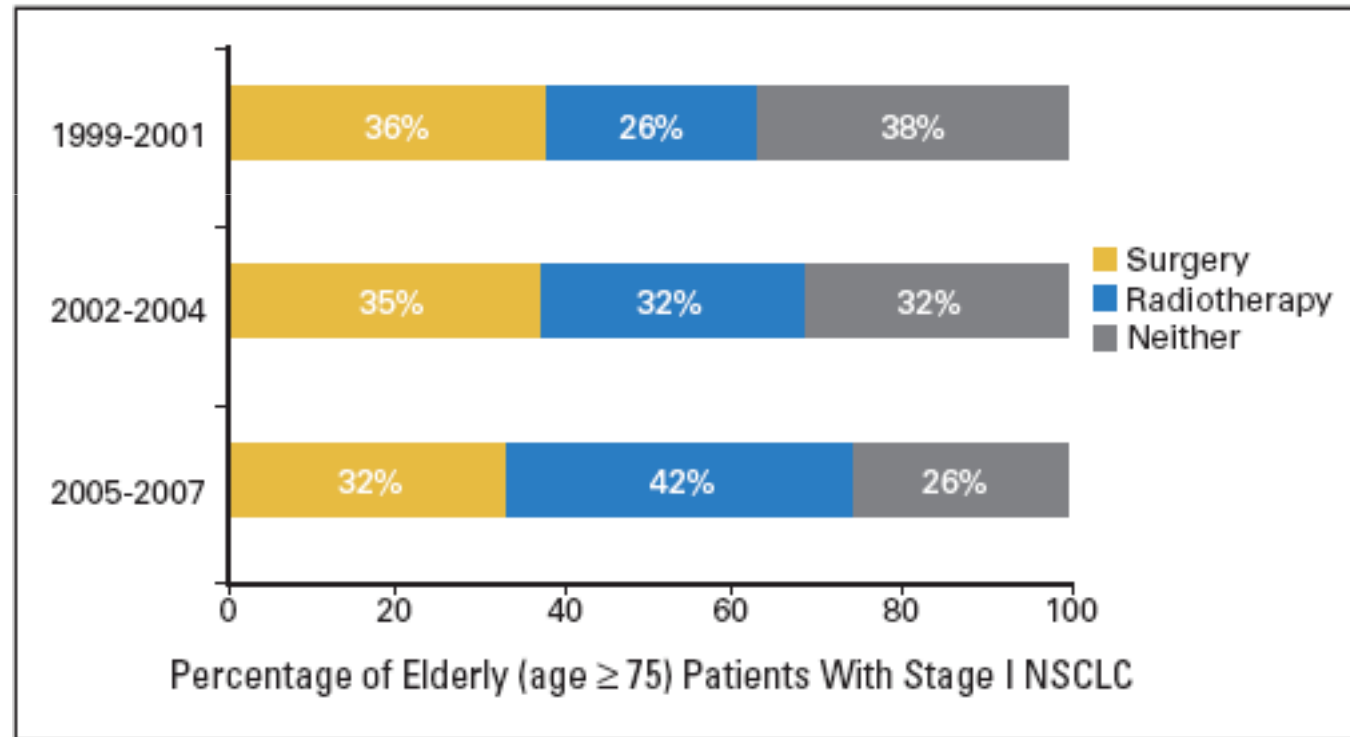
**Number at risk** 196 165 107 63 37 15 7 4 1

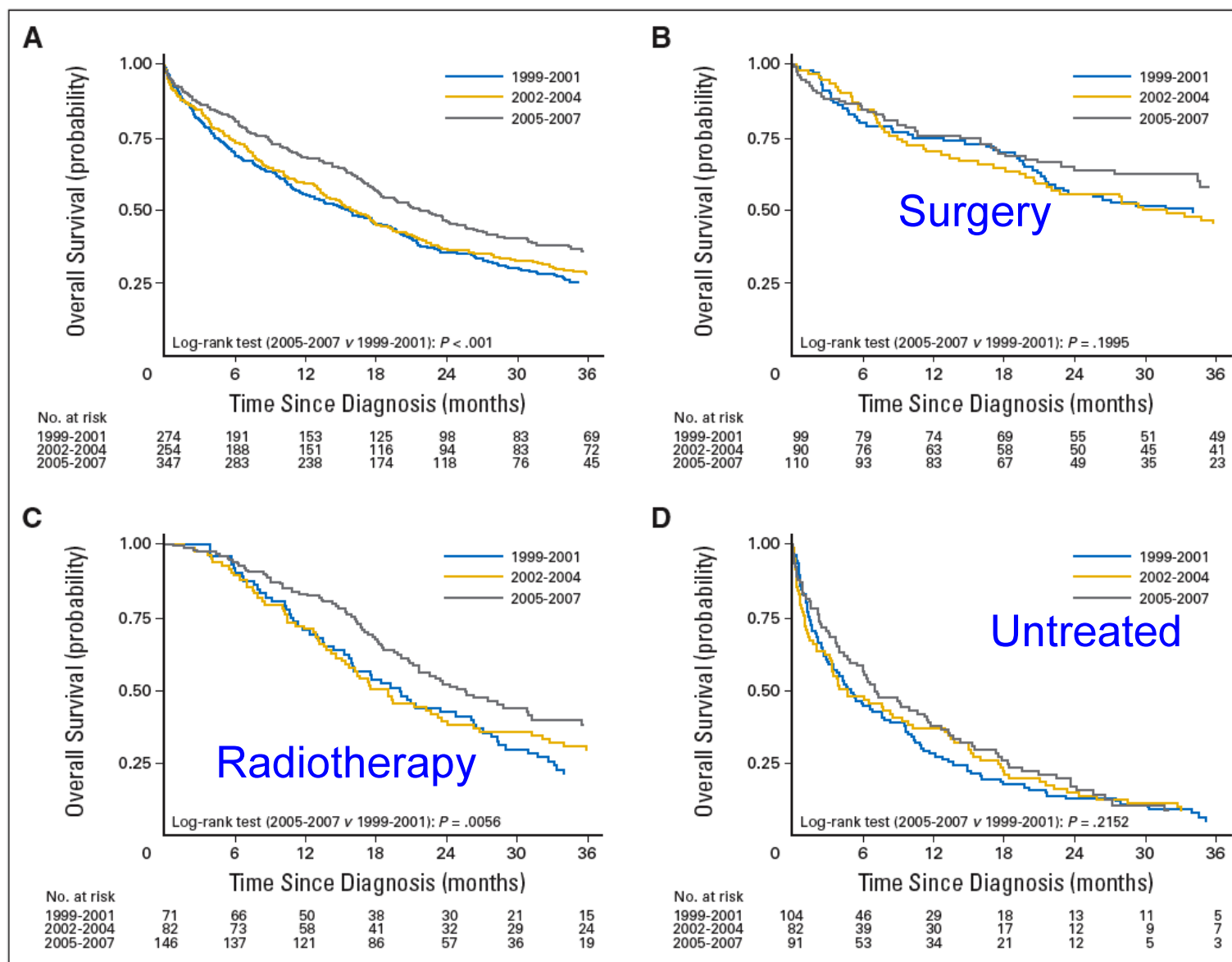
## Studies demonstrating the variable rates of pathologic confirmation worldwide prior to SABR

Reference	Study type	N° of patients	Region	% biopsy	Overall Survival
Haasbeek	Population registry	1570	Netherlands	72	50% (2 yrs)
Ricardi	Retrospective	196	Italy	100	68% (3 yrs)
Guckenberger	Retrospective	591	Central Europe	85	47% (3 yrs)
Grills	Retrospective	505	United States Canada Netherlands Germany	87-95 72 41 70	48% (3 yrs)
Onishi	Retrospective	2278	Japan	73	91% (2 yrs)
Senthi	Retrospective	676	Amsterdam	35	41 mo (md)
Baumann	Prospective	57	Sweden Denmark Norway	67	60% (3 yrs)
Timmerman	Prospective	55	North America	100	56% (3 yrs)

## Impact of Introducing Stereotactic Lung Radiotherapy for Elderly Patients With Stage I Non–Small-Cell Lung Cancer: A Population-Based Time-Trend Analysis

David Palma, Otto Visser, Frank J. Lagerwaard, Jose Belderbos, Ben J. Slotman, and Suresh Senan





**Fig 3.** Overall survival for elderly (age  $\geq 75$  years) patients with stage I non-small-cell lung cancer by time period. (A) All patients; (B) patients treated with surgery; (C) patients treated with radiotherapy; (D) untreated patients.



# Pattern of failure following SBRT

	Local	Regional	Distant
Actuarial 2-year rates	4.9%	7.8%	14.7%
Actuarial 5-year rates	10.5%	12.7%	19.9%

	Median time to event
Local recurrence	14.9 months (95% CI 11.4-18.4)
Regional recurrence	13.1 months (95% CI 7.9-18.3)
Distant recurrence	9.6 months (95% CI 6.8-12.4)
2nd primary tumors	18 months (95% CI 12.5-23.5)

- Stage I-II NSCLC (2003-2011); median follow-up 32.9 months (IQR 14.9 - 50.9);
- 66% of recurrences were distant (DR); isolated DR made up 46% of recurrences

# SABR is well tolerated: toxicity is uncommon

## Summary of common toxicity after SBRT for stage I Lung Cancer.

Toxicity	Incidence
Radiation pneumonitis	$\geq$ G3: 4–8% (12–15% in pulmonary fibrosis)
Chest wall pain	11–15% (2–3% rib fractures)
Skin toxicity	4–6% (<1% skin ulceration)
Brachial plexopathy	Rare
Fatal bleeding	Rare (but 2–3% in centrally located tumours)
Late radiological changes	50–80%

# SBRT and severe COPD?

## Systematic Review: Eligible patients had to have GOLD III-IV or a predicted postoperative FEV<sub>1</sub> of ≤40%

Table 3. Thirty-day mortality and complications associated with treatment of stage I NSCLC in patients with poor ventilatory function

First author	30-day mortality	Complications
<b>Surgery</b>		
Magdeleinat (26)	8%*	>90% admitted to ICU >45% with complications (pneumonia, air leak, and arrhythmia most common)
Lau (19)	25% after open lobectomy* 7% for open segmentectomy or VATS procedure*	Median hospital stay 8–12 days <10% admitted to ICU
<b>SBRT</b>		
Henderson (27)	0%*	>69% with Grade 1 or 2 toxicity of some kind <sup>†</sup>
Stephans (28)	0%*	No Grade 3 or higher pneumonitis
Palma (current study)	0%	6 patients (3%) with Grade 3 toxicity

*Abbreviations:* ICU = intensive care unit; VATS = video-assisted thoracoscopic surgery.

\* Denotes values measured from Kaplan-Meier curves.

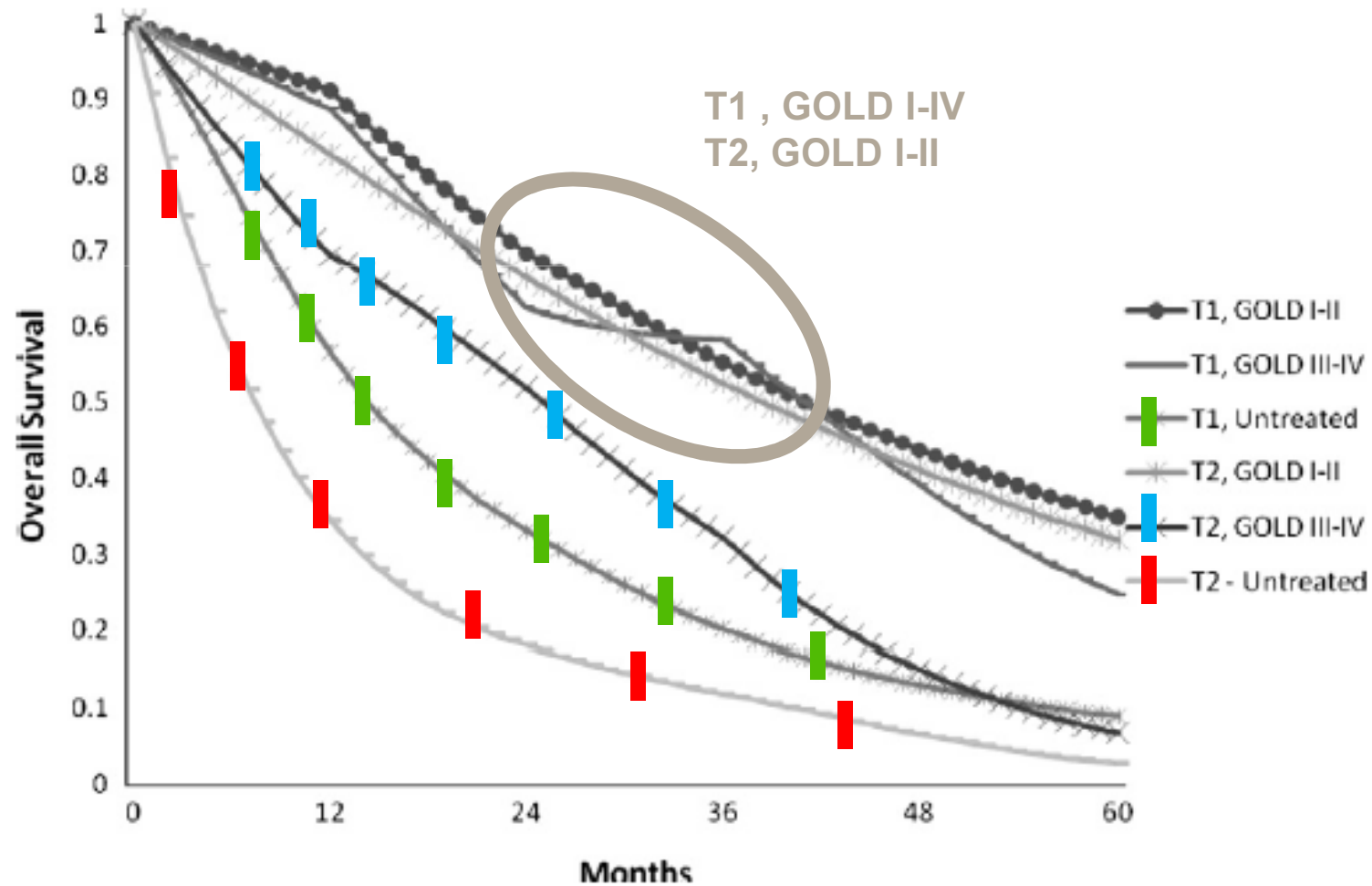
<sup>†</sup> 8% Grade 3–4 toxicity with some late deaths related to treatment of central tumors in larger Phase II study, but these rates not specified for subgroup with poor pulmonary function.



SBRT in lung cancer

Withholding stereotactic radiotherapy in elderly patients with stage I non-small cell lung cancer and co-existing COPD is not justified: Outcomes of a markov model analysis

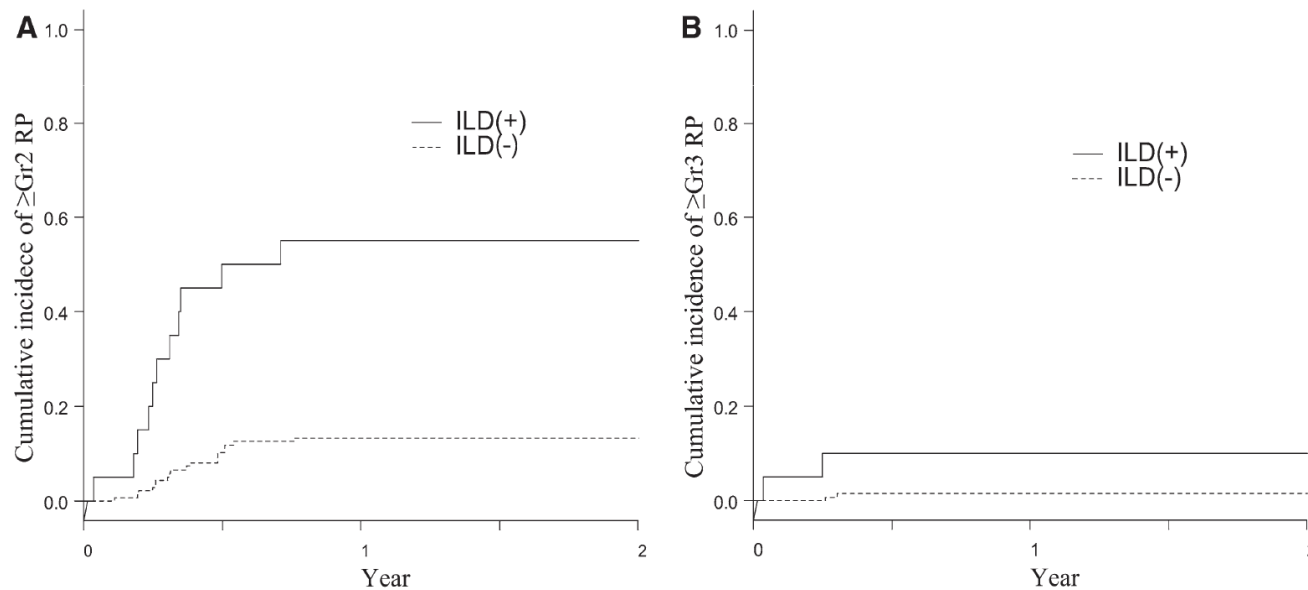
Alexander V. Louie<sup>a</sup>, George Rodrigues<sup>a,b,\*</sup>, Malek Hannouf<sup>b</sup>, Frank Lagerwaard<sup>c</sup>, David Palma<sup>a,c</sup>, Gregory S. Zaric<sup>b,d</sup>, Cornelis Haasbeek<sup>c</sup>, Suresh Senan<sup>c</sup>



# Impact of Pretreatment Interstitial Lung Disease on Radiation Pneumonitis and Survival after Stereotactic Body Radiation Therapy for Lung Cancer

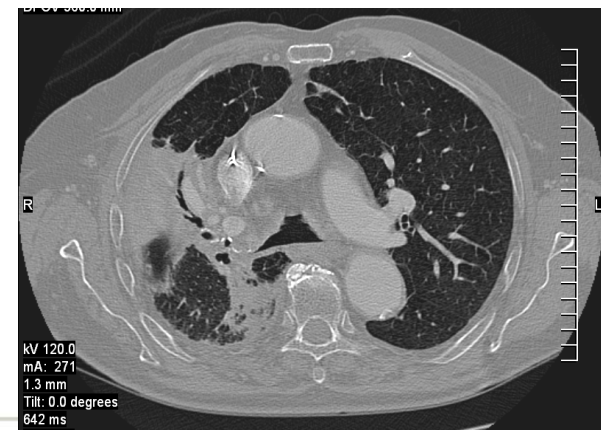
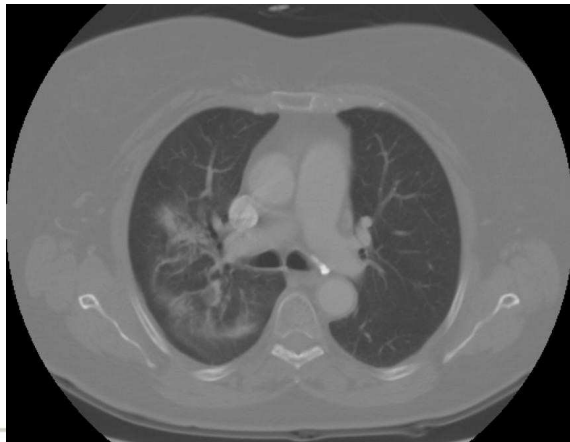
Nami Ueki, MD,\* Yukinori Matsuo, MD, PhD,\* Yosuke Togashi, MD,†‡ Takeshi Kubo, MD,§  
Keiko Shibuya, MD, PhD,|| Yusuke Iizuka, MD,\* Takashi Mizowaki, MD, PhD,\* Kaori Togashi, MD, PhD,§  
Michiaki Mishima, MD, PhD,‡ and Masahiro Hiraoka, MD, PhD\*

(*J Thorac Oncol.* 2015;10: 116–125)



# Acute radiological changes after SBRT

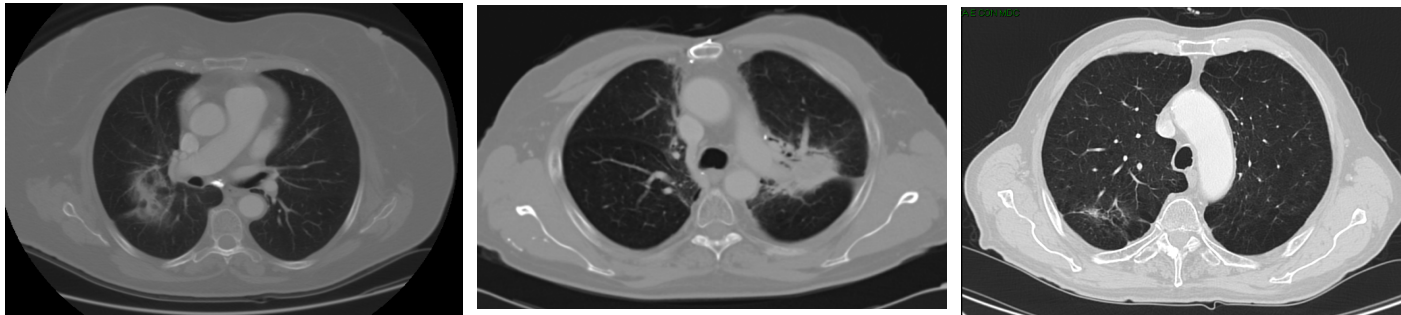
<b>Diffuse consolidation</b> (consolidation more than 5 cm in largest dimension)	20-30%
<b>Patchy consolidation</b> (consolidation less than 5 cm in largest dimension)	8-22%
<b>Diffuse ground glass opacities</b> (more than 5 cm of GGO)	4-8%
<b>Patchy ground glass opacities</b> (less than 5 cm of GGO)	10-15%
<b>No evidence of increased density</b>	20-40%

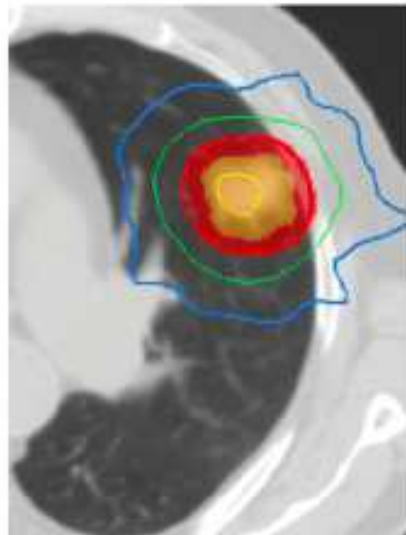


# Late radiological changes after SBRT

Radiation fibrosis (later than 6 months)  
(Koenig's classification, AJR 2002):

- Modified conventional pattern
- Mass-like pattern
- Scar-like pattern

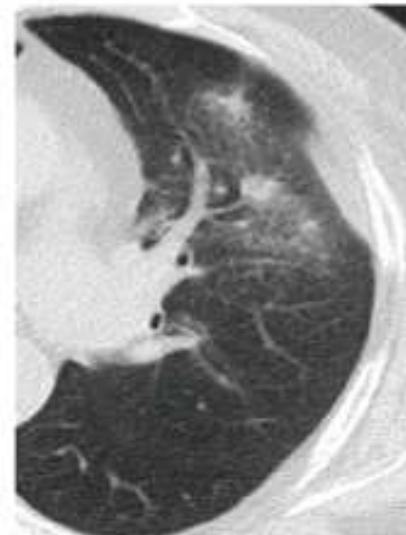




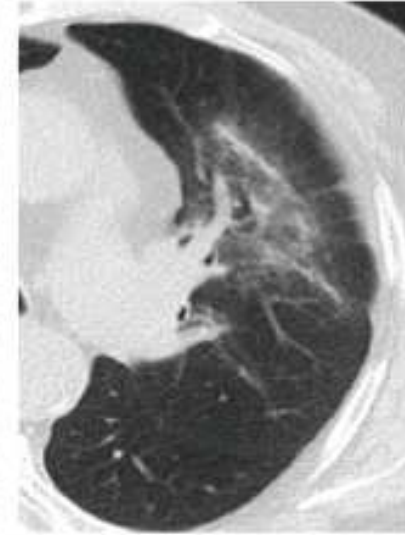
SABR plan



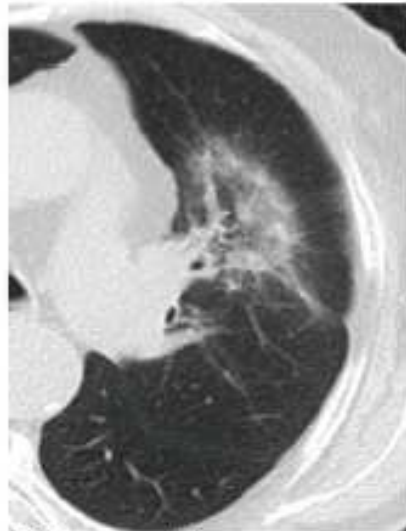
3 months



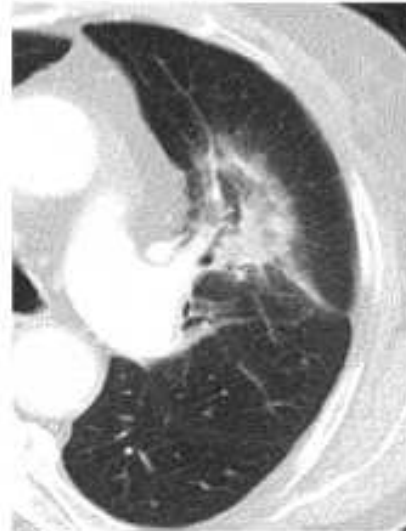
9 months



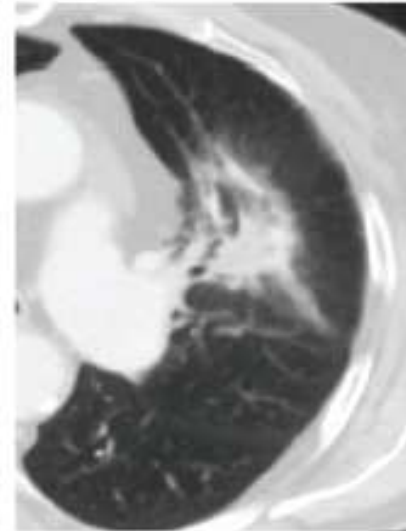
15 months



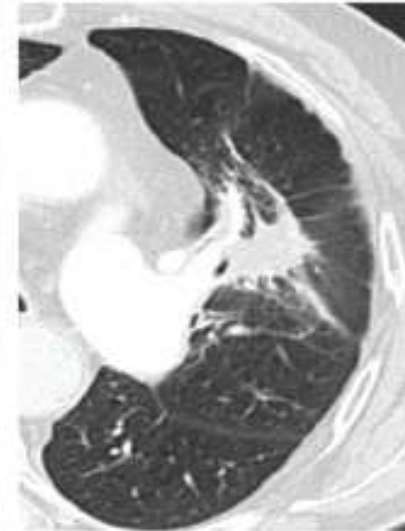
22 months



32 months



35 months



39 months



# Operable Patients

## CLINICAL INVESTIGATION

Lung

### STEREOTACTIC BODY RADIOTHERAPY (SBRT) FOR OPERABLE STAGE I NON-SMALL-CELL LUNG CANCER: CAN SBRT BE COMPARABLE TO SURGERY?

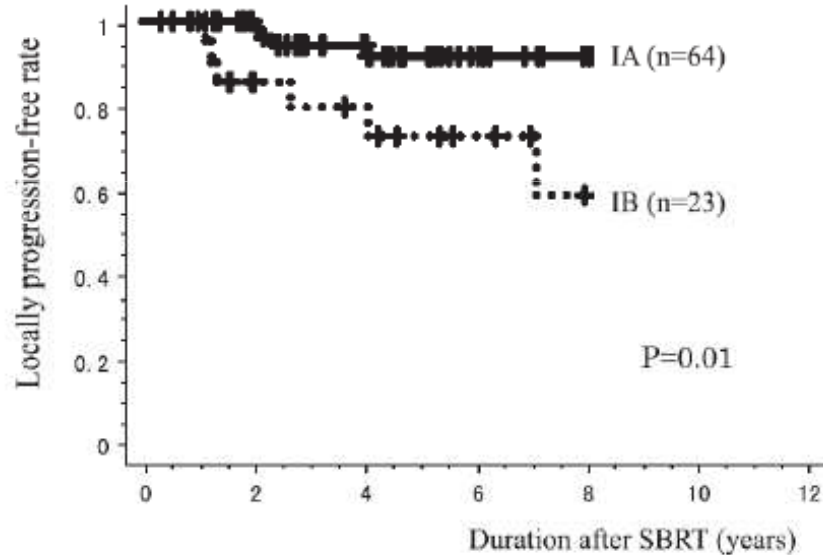


Table 3. Comparison of 5-y overall survival rate between surgical series and SBRT

Clinical stage	United States (1)	Japanese National Cancer Center (2)	Japanese National Survey (3)	SBRT
IA	61	71	77	76
IB	40	44	60	64

Abbreviation: SBRT = stereotactic body radiotherapy.  
Values are percentages.

Onishi et al IJROBP 2011

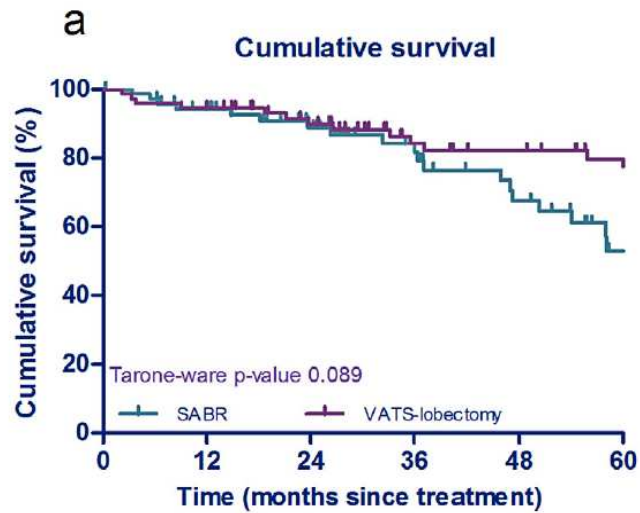
From DA Palma, ASTRO 2014

# Surgery vs. SBRT for stage I NSCLC

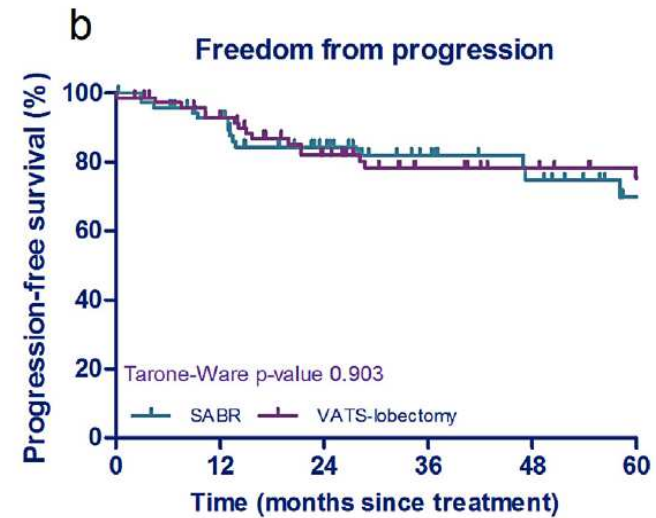
Studies comparing surgery and SBRT in stage I NSCLC.

Author (year)	Study design	No. of patients	Surgical procedure	Overall Survival Surgery SBRT
Grills et al. [37]	Retrospective	Surgery = 69 SBRT = 55	Wedge resection	87% 72% 30 mo 30 mo
Crabtree et al. [38]	Propensity-score matching	Unmatched: surgery = 458 SBRT = 151 matched: 112/group	(Bi) lobectomy, 78% sublobar, 19% pneumonectomy, 4%	78% 47% 3-year 3-year 68% 52% 3-year 3-year
Vertstegen [39]	Propensity-score matching	Unmatched: surgery = 86 SBRT = 527 matched: 64/group	VATS, lobectomy	77% 80% 3-year 3-year
Shirvani et al. [40]	SEER population, propensity-score matching	Unmatched: surgery = 8711 SABR = 382 matched: 251/group	Lobectomy 83% Sublobar 17%	Lobectomy vs SBRT, HR 1.01 (SA: 1.16-1.28)
Mokhles et al. [41]	Propensity-score matching	Unmatched: surgery = 96 SBRT = 481 matched: 73/group	VATS, lobectomy	95% 94% 1-year 1-year 80% 53% 3-year 3-year
Chang et al. [42]	Randomized Phase-3 Trial (ROSEL and STARS trials)	Surgery = 27 SBRT = 31	VATS, lobectomy	79% 95% 3-year 3-year

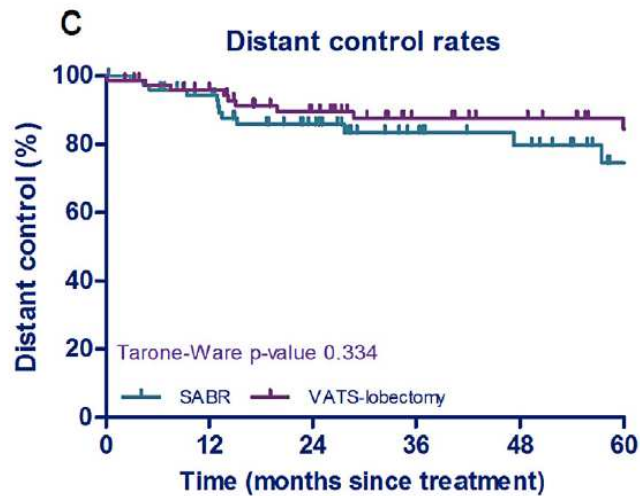
Abbreviations: Non-small-cell lung cancer (NSCLC); Video-assisted thoracoscopic surgery (VATS).



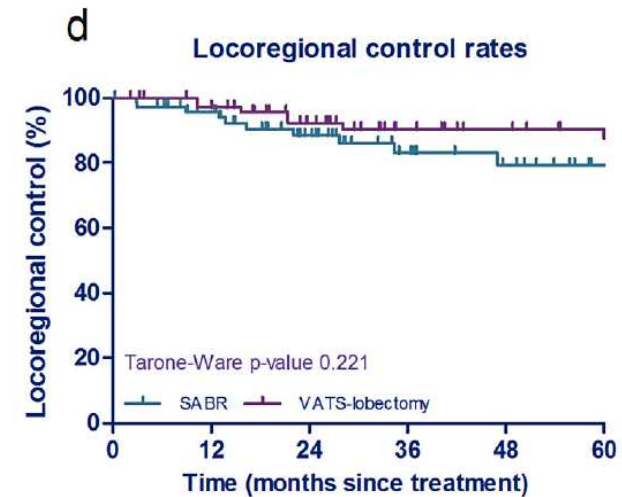
#Patients at risk	0	12	24	36	48	60
SABR	62	46	34	24	13	
VATS-lobectomy	70	58	42	38	32	



#Patients at risk	0	12	24	36	48	60
SABR	61	42	29	22	13	
VATS-lobectomy	67	50	38	34	29	



#Patients at risk	0	12	24	36	48	60
SABR	61	42	29	23	13	
VATS-lobectomy	66	54	40	36	29	

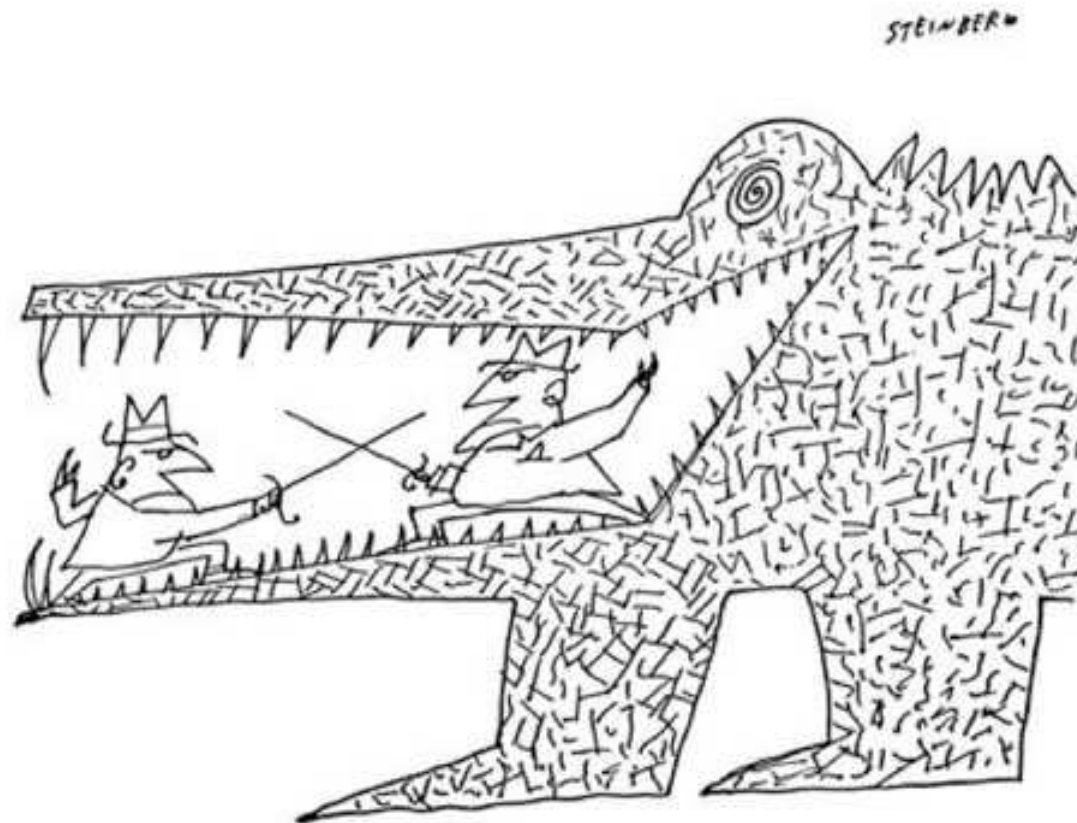


#Patients at risk	0	12	24	36	48	60
SABR	61	43	28	21	13	
VATS-lobectomy	69	54	41	36	32	

## Better outcome for surgery after 3 years:

- optimal lymph node staging: adjuvant therapy
- still some differences between the two groups:
  - matching was done with only a limited number of variables
  - (i.e., staging procedure not included as covariate)
- respiratory failure over time (RILI)
- unable to provide CSS rates

# SABR vs SURGERY: randomized trials?



# Trials of surgery versus SABR

**Table 1** – Approved Phase III Randomized Trials of Operable Stage I NSCLC Patients (all prematurely terminated due to poor accrual)

Dutch ROSEL trial, NCT00687986, “Randomized Clinical Trial of Stereotactic Radiotherapy or Surgery in Patients with Stage IA Non-Small Cell Lung Cancer who are fit to undergo Primary Resection”.

- Sponsored by the The Netherlands Organisation for Health Research and Development.
- Opened at 9 centers
- Opened 2008, Closed 2010
- Enrolled 22 of 960

STARS Trial, NCT00840749, “Randomized Study to Compare CyberKnife to Surgical Resection in Stage I Non-small Cell Lung Cancer”

- Sponsored by Accuray®
- Opened at 15 centers
- Opened 2009, Closed 2013
- Enrolled 36 of 1,030 patients

ACOSOG-Z4099/ROG-1021, NCT01336894, “A Randomized Phase III Study of Sublobar Resection (+/- Brachytherapy) versus Stereotactic Body Radiation Therapy in High Risk Patients with Stage I Non-Small Cell Lung Cancer (NSCLC)”

- Sponsored by American College of Surgeons
- Opened at 53 centers
- Opened 2011, Closed 2013
- Enrolled 10 of 420 patients



## Stereotactic ablative radiotherapy versus lobectomy for operable stage I non-small-cell lung cancer: a pooled analysis of two randomised trials

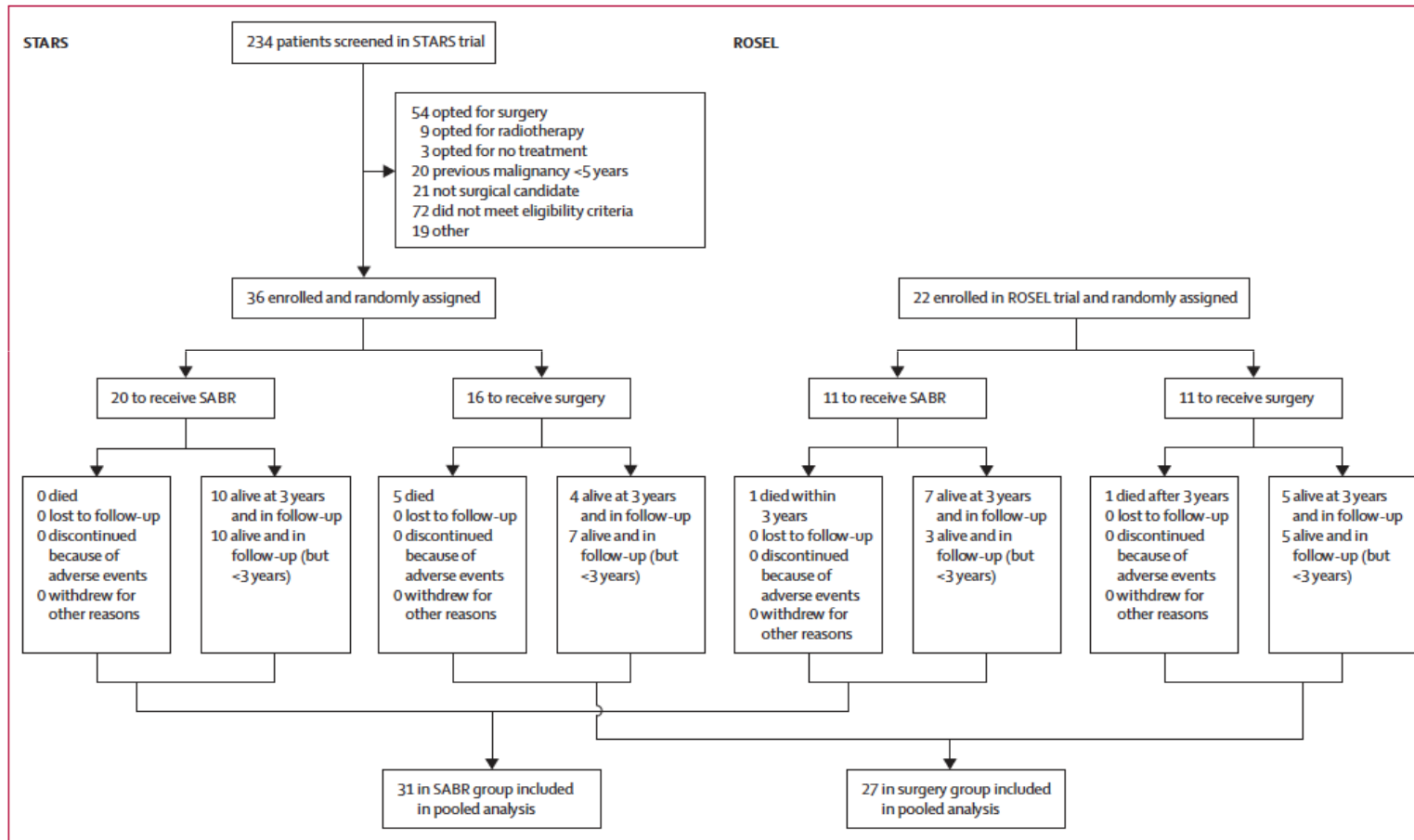
*Joe Y Chang\*, Suresh Senan\*, Marinus A Paul, Reza J Mehran, Alexander V Louie, Peter Balter, Harry J M Groen, Stephen E McRae, Joachim Widder, Lei Feng, Ben E E M van den Borne, Mark F Munsell, Coen Hurkmans, Donald A Berry, Erik van Werkhoven, John J Kresl, Anne-Marie Dingemans, Omar Dawood, Cornelis J A Haasbeek, Larry S Carpenter, Katrien De Jaeger, Ritsuko Komaki, Ben J Slotman, Egbert F Smitt, Jack A Roth†*

**Lancet Oncol 2015; 16: 630-37**

Published Online

May 14, 2015

- ✓ **58 patients** were enrolled and randomly assigned in the combined studies
- ✓ **31 SABR and 27 surgery**



**Figure 1: Study design for STARS and ROSEL trials**  
 SABR=stereotactic ablative radiotherapy.



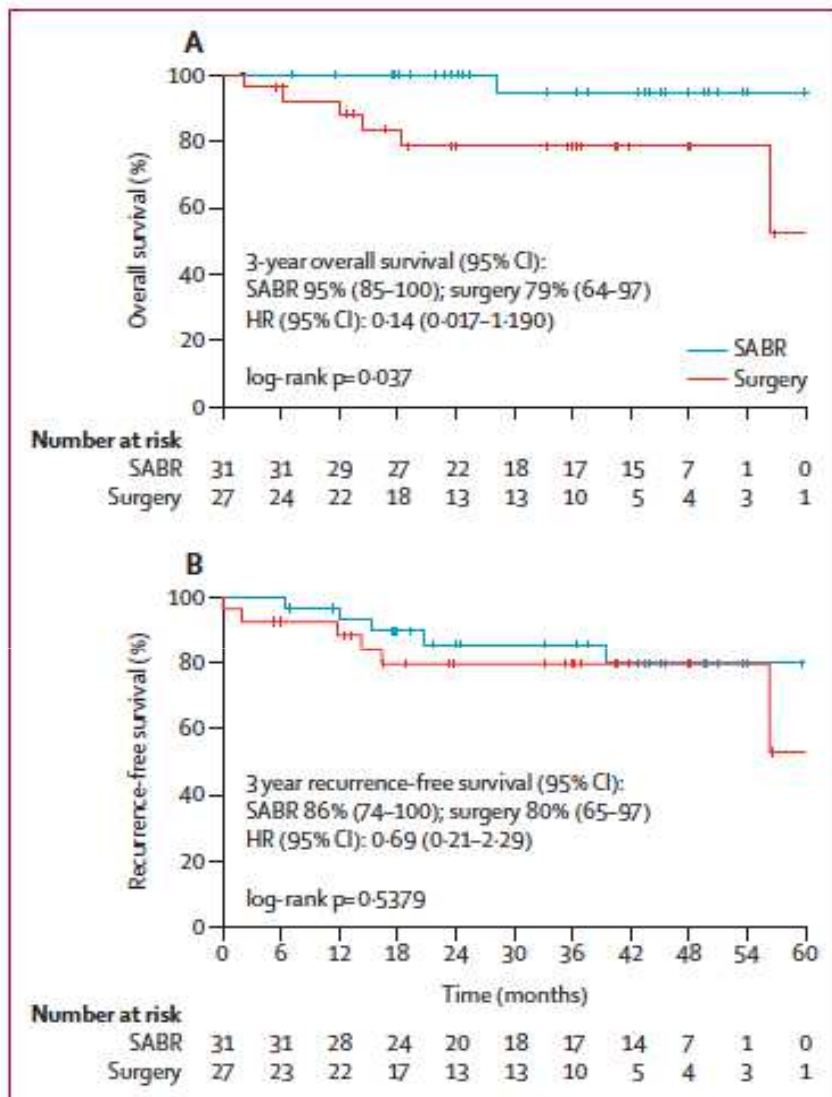
	SABR group (n=31)	Surgery group (n=27)	p value
Sex			0.73
Male	14 (45%)	11 (41%)	
Female	17 (55%)	16 (59%)	
Age (years)			
Mean (SD)	67.3 (9.2)	67.3 (8.2)	—
Median (range)	67.1 (43–82)	66.7 (51–85)	0.69
WHO performance status			0.31
0	21 (68%)	21 (78%)	
1	10 (32%)	5 (19%)	
2	0 (0%)	1 (4%)	
Histology before randomisation			0.62
Adenocarcinoma	16 (52%)	13 (48%)	
Squamous	5 (16%)	7 (26%)	
Other	2 (6%)	1 (4%)	
Unknown	8 (26%)*	6 (22%)*	
Tumour stage			0.41
T1a	16 (52%)	18 (67%)	
T1b	11 (35%)	8 (30%)	
T2a	4 (13%)	1 (4%)	
Tumour site			0.45
Left lower lobe	7 (23%)	4 (15%)	
Left upper lobe	7 (23%)	8 (30%)	
Right lower lobe	5 (16%)	1 (4%)	
Right middle lobe	3 (10%)	2 (7%)	
Right upper lobe	9 (29%)	12 (44%)	
Peripheral			0.66
No	2 (6%)	3 (11%)	
Yes	29 (94%)	24 (89%)	

Data are n (%) unless otherwise stated. SABR=stereotactic ablative radiotherapy.

\*Histology of six of the 14 tumours of unknown histology was confirmed after surgery in the ROSEL study (three adenocarcinoma, one bronchiolalveolar carcinoma, one squamous-cell carcinoma, and one benign disease); eight tumours in the SABR group had unknown histology in the ROSEL study.

**Table: Patient characteristics**

- ✓ **Any difference** in age, sex, PS, histology, T stage or tumour location
- ✓ **Median follow up** was 40,2 months in SABR group and 35.4 months in surgery group
- ✓ All stage I NSCLC and were operable for lobectomy with PS 0-2
- ✓ **TC-PET** for staging
- ✓ **20 patients lobectomies**, 5 video-assisted thoracotomy lobectomies, 1 video-assisted thoracotomy biopsy, 1 aborted resection during surgery due to disease progression
- ✓ **STARS**: 16 patients peripherally lesions 54 Gy/3 fr; 4 patients central lesions 50 Gy/4 fr
- ✓ **ROSEL**: 6 patients 54 Gy/3 fr in 5-8 days, 5 patients 60 Gy/5 fr in 10-14 days

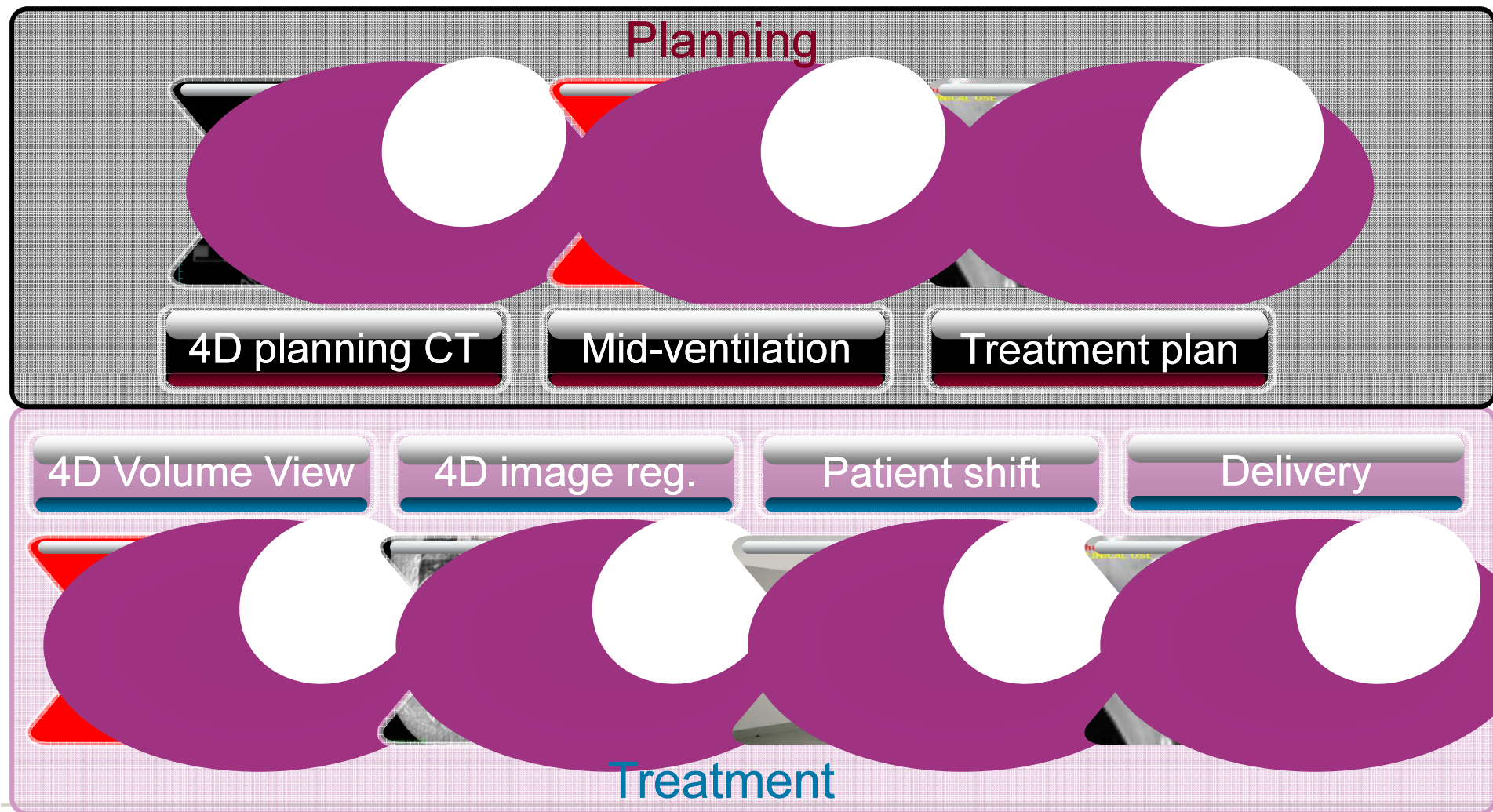


**Figure 2: Overall survival (A) and recurrence-free survival (B)**

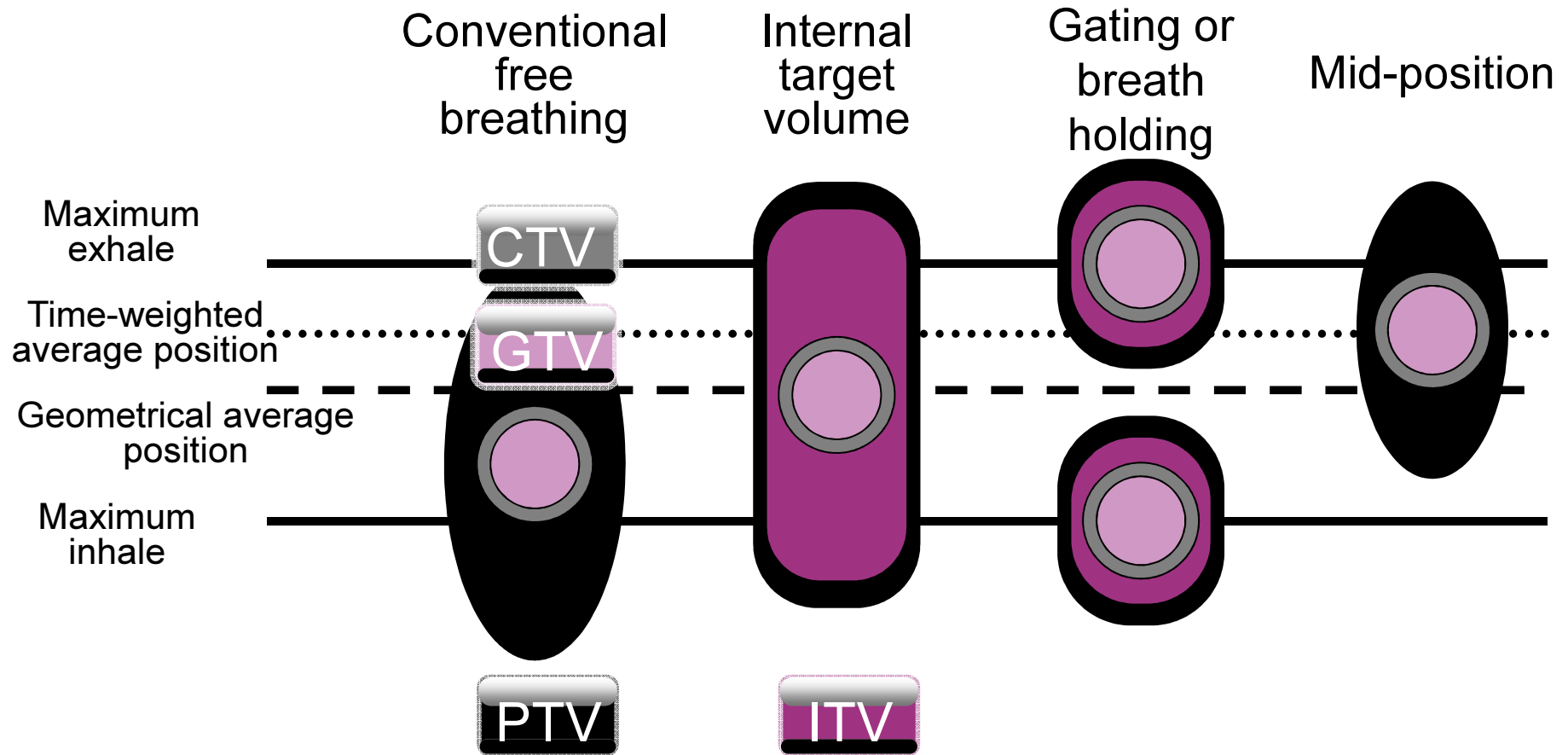
One patient died and five had recurrence in the SABR group compared with six and six patients, respectively, in the surgery group. SABR=stereotactic ablative radiotherapy. HR=hazard ratio.

- ✓ Pooled estimated OS at 1 and 3 years was 100% and 95% in SABR group; 88% and 79% in surgical group
- ✓ Difference in OS between the two groups was statistically significant
- ✓ This difference in OS is significant in STARS alone but not in ROSEL alone
- ✓ **7 patients died:** 6 in surgery group (2 PD, 1 secondary primary lung cancer, 1 surgical adverse event, 2 comorbidities) and 1 in SABR group (PD)

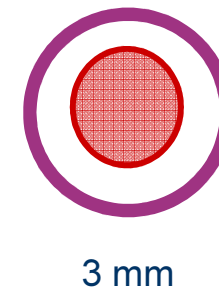
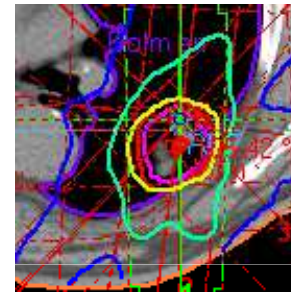
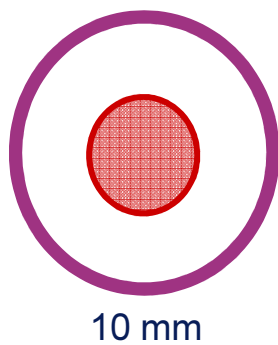
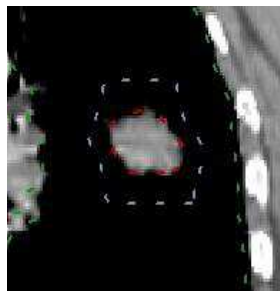
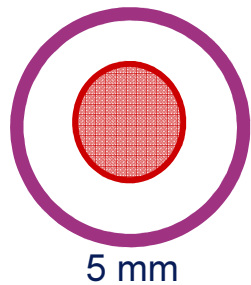
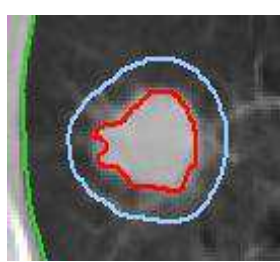
Technical Advances may have an impact on efficacy and toxicity



# Planning Concepts For Breathing



# Higher accuracy should translate in less toxicity and better PTV coverage



GTV=CTV

PTV

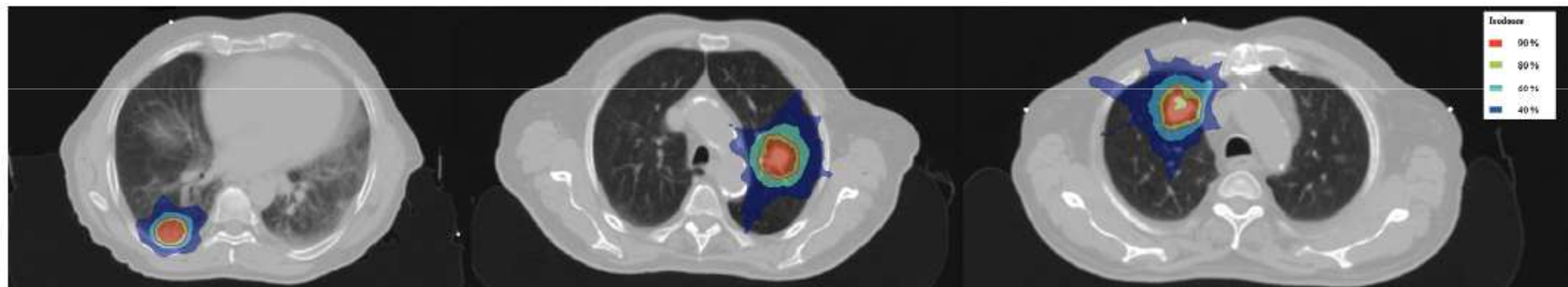
$$\text{PTV} = \text{ITV} + 3\text{-}5 \text{ mm isotropic}$$

# Patients' fixation: frameless SBRT

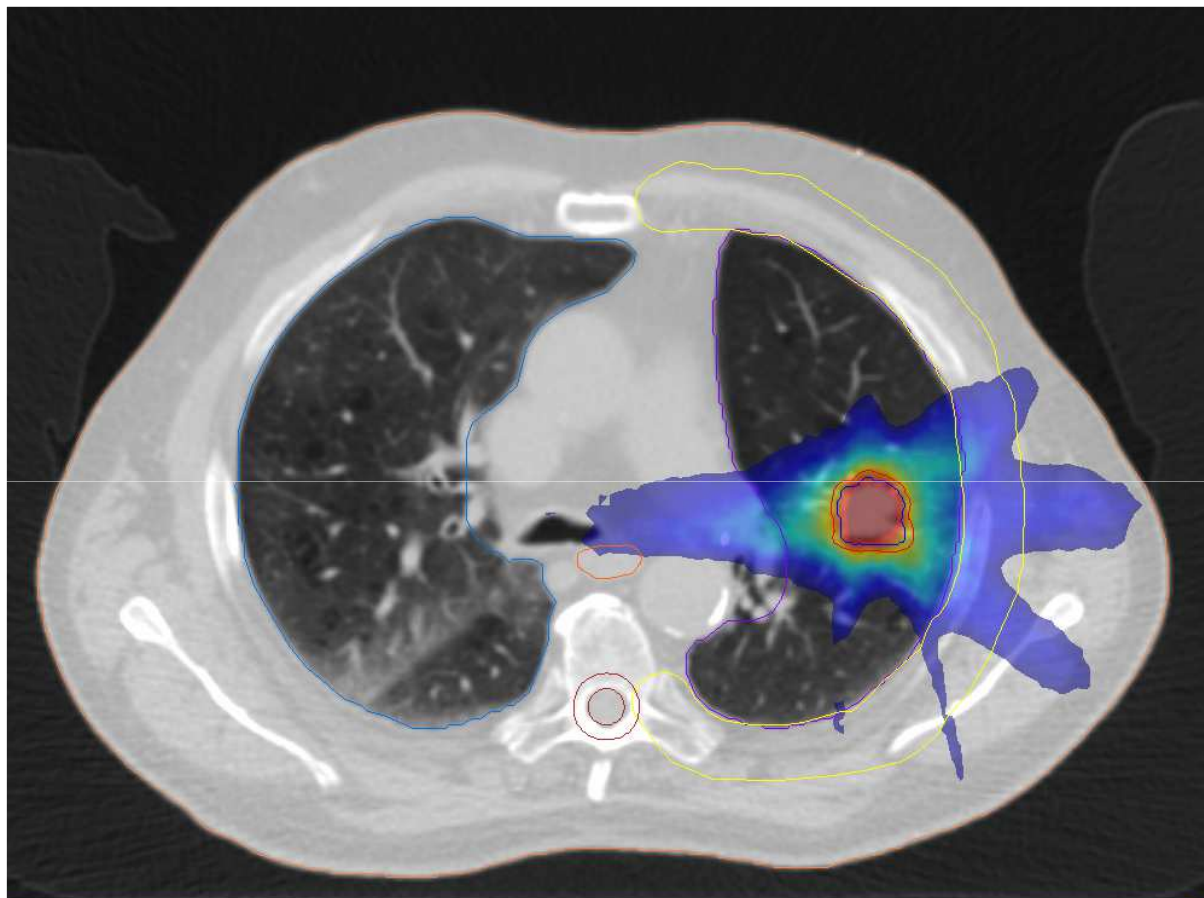
- non-invasive, dual vacuum activated immobilization and fixation system
- stable immobilization and repeatable positioning by minimizing both voluntary and involuntary patient movement
- patient comfort



# SBRT for peripheral and central tumors



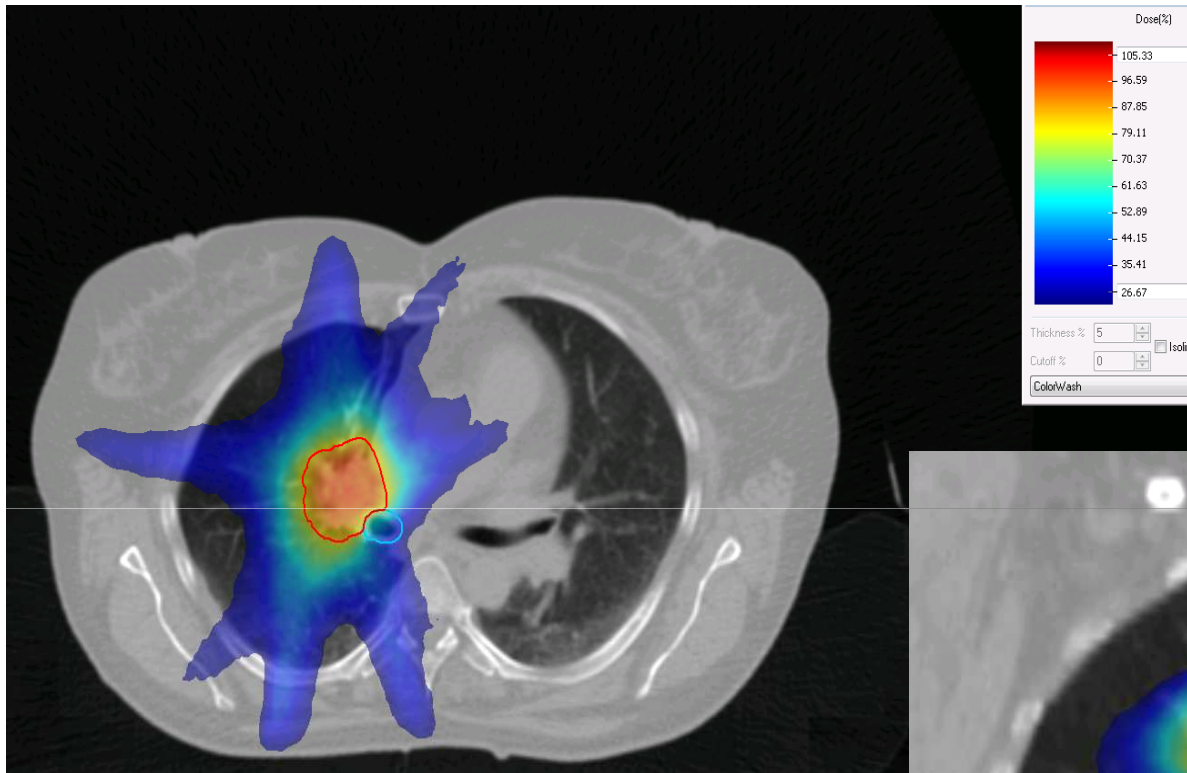
# VMAT for early stage lung cancer



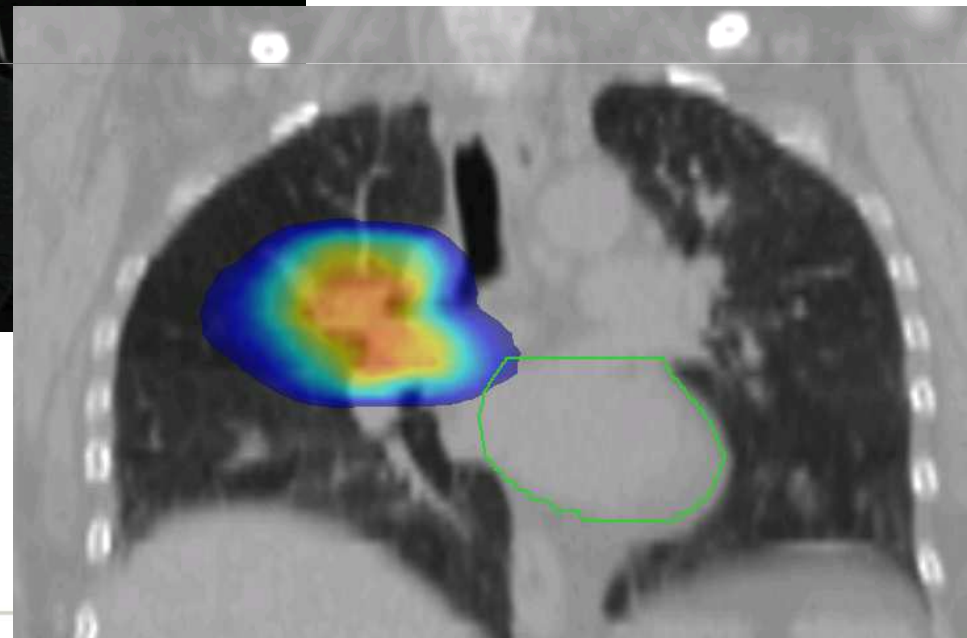
DFT 54 Gy/ 18 fx (80% isodose)



# VMAT for early stage lung cancer



DFT 60 Gy/ 8 fx (80% isodose)



SBRT of lung cancer

Volumetric modulated arc therapy with flattening filter free (FFF) beams for stereotactic body radiation therapy (SBRT) in patients with medically inoperable early stage non small cell lung cancer (NSCLC)

Pierina Navarra<sup>a,\*</sup>, Anna Maria Ascolese<sup>a</sup>, Pietro Mancosu<sup>a</sup>, Filippo Alongi<sup>a</sup>, Elena Clerici<sup>a</sup>, Angelo Tozzi<sup>a</sup>, Cristina Iftode<sup>a</sup>, Giacomo Reggiori<sup>a</sup>, Stefano Tomatis<sup>a</sup>, Maurizio Infante<sup>b</sup>, Marco Alloisio<sup>b</sup>, Alberto Testori<sup>b</sup>, Antonella Fogliata<sup>c</sup>, Luca Cozzi<sup>c</sup>, Emanuela Morengi<sup>a</sup>, Marta Scorsetti<sup>a</sup>

<sup>a</sup> Radiotherapy and Radiosurgery Department; <sup>b</sup> Department of Thoracic Surgery, Humanitas Cancer Center, Istituto Southern Switzerland, IOSI, Bellinzona, Switzerland

	VMAT		3DCRT		p
	N	(%)	N	(%)	
<b>Total patients</b>	<b>46</b>		<b>86</b>		
<b>Sex</b>					
Female	14	(30)	19	(22)	0.3
Male	32	(70)	67	(78)	
<b>Age (years)</b>					
Median	72.1	(66–83)	75.7	(65–82)	0.03
Range					
<b>Histology</b>					0.5
Unidentified	19	(41)	39	(45)	
Squamous cell carcinoma	6	(59)	10	(55)	
Adenocarcinoma	9		22		
<b>NSCLC NOS</b>	12		15		
<b>Stage (TNM)</b>					0.01
<b>IA</b>					
T1aN0M0	24	(52)	55	(64)	
T1bN0M0	15	(33)	24	(28)	
<b>IB</b>					
T2aN0M0	7	(15)	7	(8)	
<b>Tumor size</b>					
Median tumor diameter	26 mm		21 mm		0.01
Range	(16–36 mm)		(12–38 mm)		

	3DCRT	VMAT RA	<i>p</i>
<i>Ipsilateral lung</i>			
V <sub>5Gy</sub> [%]	31.4 ± 11.9 [6.6–57.8]	25.3 ± 11.8 [6.8–54.0]	0.03
V <sub>10Gy</sub> [%]	22.6 ± 9.9 [0.0–45.6]	16.4 ± 8.9 [3.8–46.0]	0.007
V <sub>20Gy</sub> [%]	11.8 ± 7.0 [0.0–26.7]	7.3 ± 4.9 [1.2–26.6]	0.002
MLD [Gy]	7.2 ± 3.0 [0.9–12.6]	4.9 ± 2.4 [1.2–13.3]	<0.001
<i>Contralateral lung</i>			
V <sub>5Gy</sub> [%]	2.9 ± 4.8 [0.0–18.7]	2.0 ± 3.0 [0.0–11.3]	0.31
V <sub>10Gy</sub> [%]	0.6 ± 2.5 [0.0–13.3]	0.0 ± 0.2 [0.0–0.9]	0.19
V <sub>20Gy</sub> [%]	0.1 ± 0.6 [0.0–3.5]	0.0 ± 0.0 [0.0–0.0]	0.31
MLD [Gy]	0.8 ± 0.8 [0.1–3.5]	1.0 ± 0.5 [0.1–2.6]	0.38

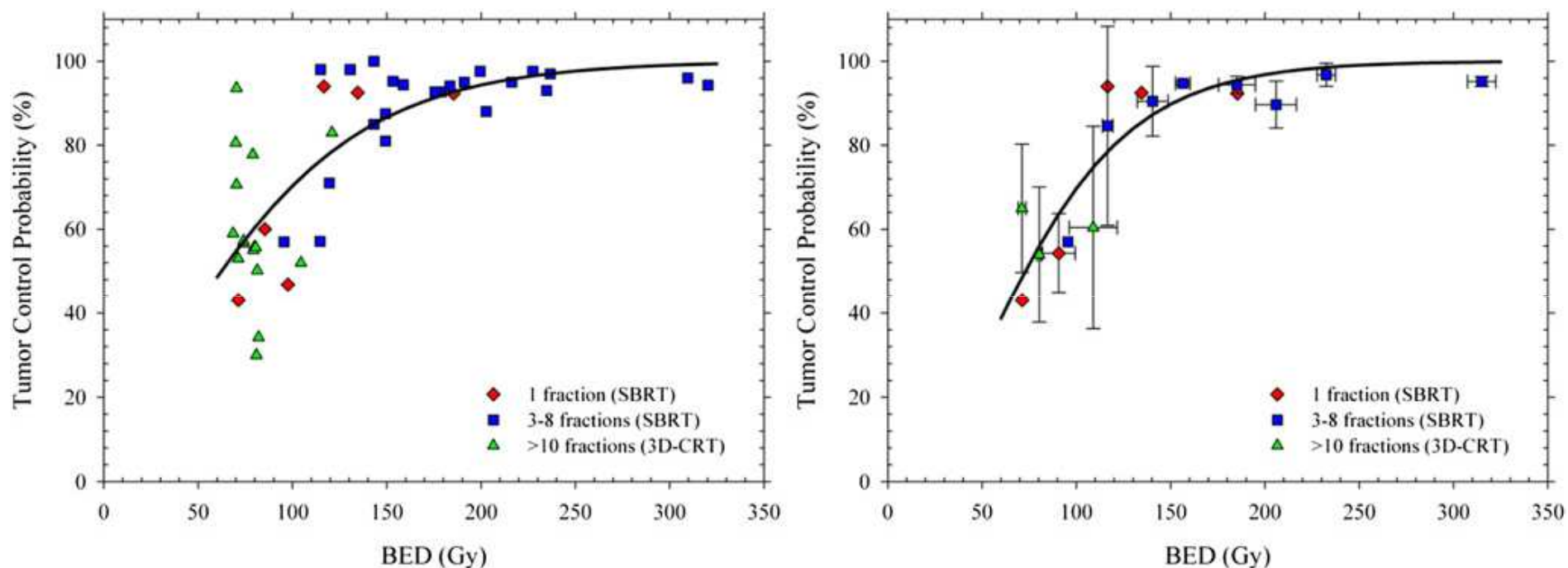
Response on CT morphological scan and on functional 18FDG CT-PET imaging.

Response	Control at 3 months		Control at 6 months				Control at 12 months			
	CT scan		CT scan		CT-PET		CT scan		CT-PET	
	3DCRT	RA	3DCRT	RA	3DCRT	RA	3DCRT	RA	3DCRT	RA
PD	6 (7%)	0	6 (7%)	0	4 (8%)	0	6 (7%)	0	6 (10%)	0
SD	28 (34%)	6 (15%)	20 (24%)	2 (5%)	3 (6%)	0	16 (20%)	2 (5%)	4 (6.5%)	0
PR	39 (48%)	21 (53%)	41 (50%)	6 (15%)	27 (56%)	3 (11%)	40 (49%)	2 (5%)	33 (53%)	0

PD, progression of disease; SD, stable disease; PR, partial remission; CR, complete remission; RA = volumetric modulated arc therapy with RapidArc; 3DCRT = 3D conformal therapy with conformal arcs.

# Biological Challenges to the 5 Rs for SABR

Crude local control rates (2 years) redrawn from a pooled analysis reported by Mehta et al (3D-CRT and SABR regimens)

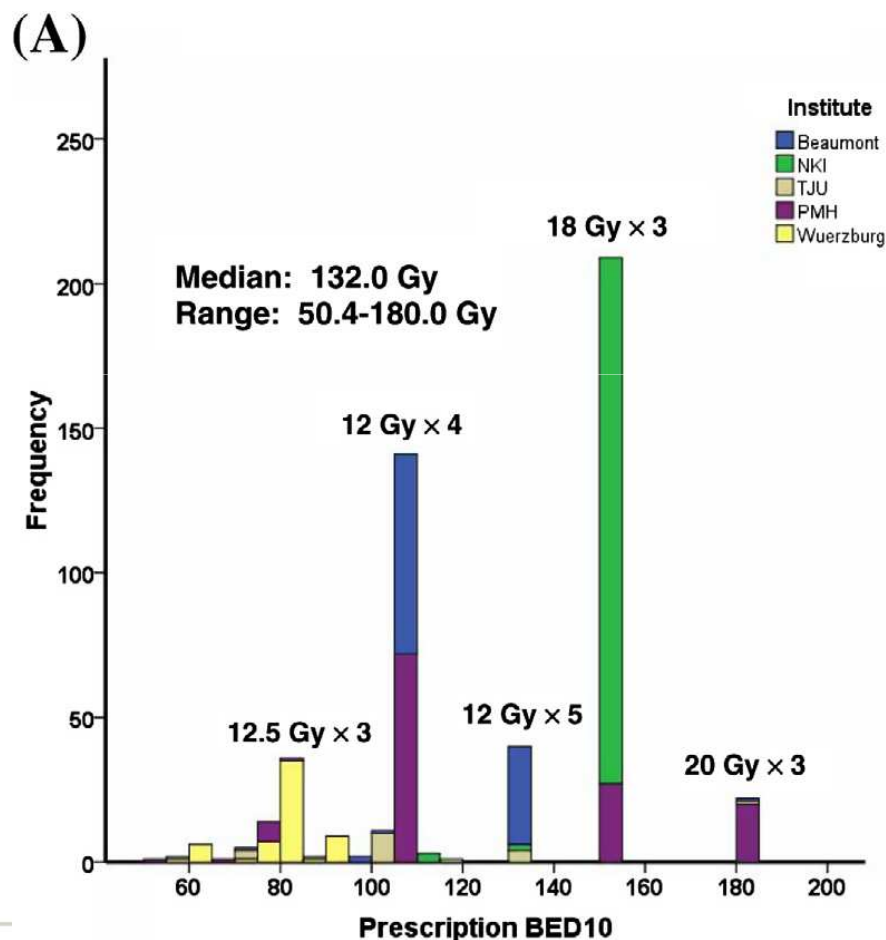


Dose Escalation, not “new biology,” can account for the efficacy of stereotactic body radiation therapy with non-small cell lung cancer

# Dose-response relationship with clinical outcome for lung stereotactic body radiotherapy (SBRT) delivered via online image guidance <sup>☆</sup>

Larry Kestin <sup>a,\*</sup>, Inga Grills <sup>b</sup>, Matthias Guckenberger <sup>c</sup>, Jose Belderbos <sup>d</sup>, Andrew J. Hope <sup>e</sup>,

Maria Werner-Wasik <sup>f</sup>, Jan-Jakob Sonke <sup>d</sup>, Jean-Pierre Bissonnette <sup>e</sup>, Ying Xiao <sup>f</sup>, Di Yan <sup>b</sup>,  
on behalf of the Elekta Lung Research Group



- 483 patients T1-2N0 NSCLC
- Five Institutions
- Variety of SBRT fractionations
- On-line IGRT with CBCT

**Prescription to PTV edge  
(10-40% target heterogeneity)**

# ROC curves for factors predicting local control

Parameter	p-Value	Optimal cut point	Sensitivity (%)	Specificity (%)	2-Year local control (%)
Prescription BED <sub>10</sub>	0.001	105.3 Gy	81	50	96 vs. 85
PTV <sub>mean</sub> BED <sub>10</sub>	0.02	125.8 Gy	84	57	96 vs. 83
GTV <sub>mean</sub> BED <sub>10</sub>	0.02	147.1 Gy	81	52	97 vs. 83
PTV <sub>max</sub> BED <sub>10</sub>	0.02	175.3 Gy	68	62	97 vs. 87
GTV <sub>max</sub> BED <sub>10</sub>	0.02	175.3 Gy	68	62	97 vs. 88
PTV <sub>min</sub> BED <sub>10</sub>	0.03	110.1 Gy	53	77	97 vs. 90
PTV D99 BED <sub>10</sub>	0.03	92.6 Gy	87	62	95 vs. 83
GTV <sub>min</sub> BED <sub>10</sub>	0.04	149.8 Gy	57	72	98 vs. 89
PTV D1 BED <sub>10</sub>	0.05	163.5 Gy	68	57	96 vs. 87
Treatment duration	0.01	11 days	50	82	96 vs. 86
GTV <sub>max</sub> dimension	0.05	2.7 cm	65	55	97 vs. 91

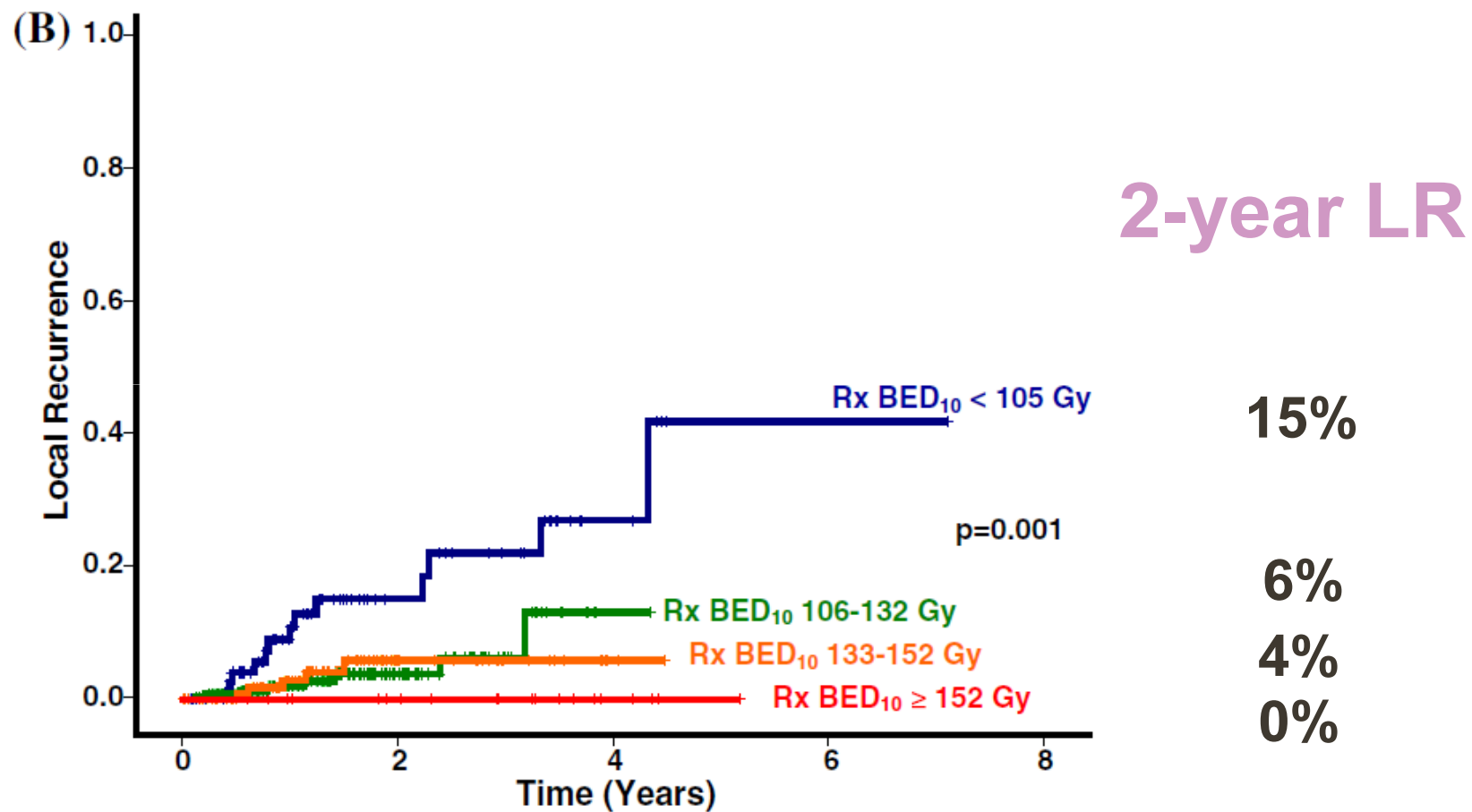
Prescription BED<sub>10</sub> → highest AUC (0.693; p < 0.001)

Optimal cut point of 105 Gy (sensitivity of 81% and specificity of 50%)

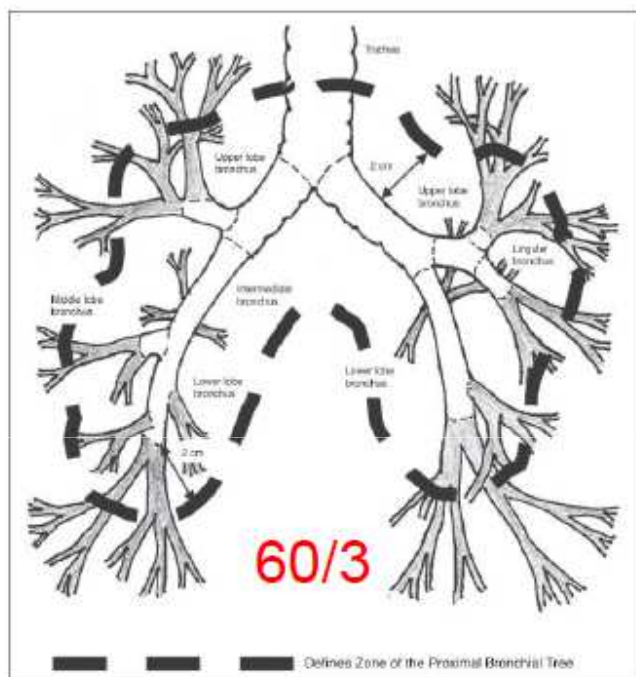
2-year LR BED<sub>10</sub> < 105 Gy 15%

BED<sub>10</sub> > 105 Gy 4% (p < 0.001)

# Local recurrence by prescription BED<sub>10</sub> quartile



# Central Tumors



60/8

TABLE 3. Early and Late Toxicity After SABR in 63 Patients with Central Stage Early-Stage NSCLC (Absolute Patient Numbers)

	Acute Toxicity			Late Toxicity (>3 mo)		
	I	II	III	I	II	III
Dyspnea	5	2	—	3	2	2
Chest wall pain	3	1	1	4	2	1
Fatigue	10	1	—	4	1	—
Coughing	5	—	—	—	—	—
Nausea	3	—	—	—	—	—
Radiation dermatitis	1	1	—	—	1	—
Hemoptysis	1	1	—	—	1	—
Esophagitis	1	—	—	—	—	—
Pleural effusion	—	—	—	—	1	—
Rib fracture	—	—	—	—	—	1
Bronchial stenosis	—	—	—	—	1	—
Total (% of patients)	29 (62)	6 (10)	1 (2)	11 (17)	9 (14)	4 (6)

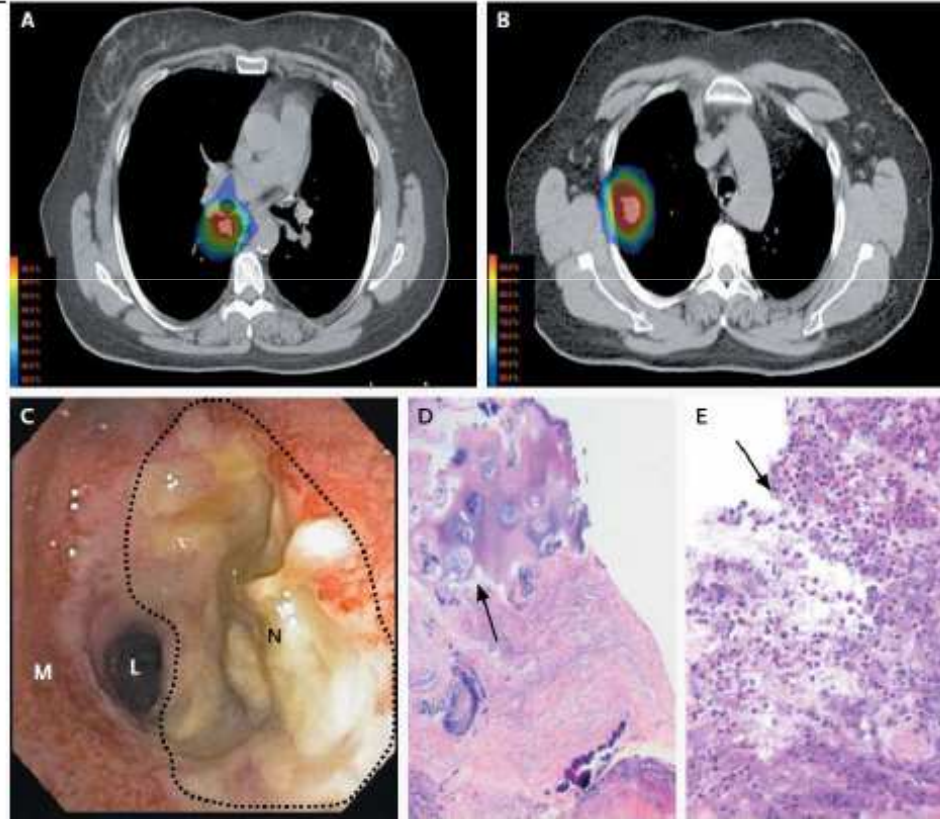
SABR, stereotactic ablative radiotherapy; NSCLC, non-small cell lung cancer.

- Meta-analysis (Senthi 2012):
  - $BED_{10} \geq 100$  to maximize local control
  - $BED_3 \leq 240$  to keep risk of fatal toxicity to 1%.



# Still need to be cautious

## Central-Airway Necrosis after Stereotactic Body-Radiation Therapy



Corradeeti, Haas, Rengan NEJM 2012

## CONSIDERATION OF DOSE LIMITS FOR ORGANS AT RISK OF THORACIC RADIOTHERAPY: ATLAS FOR LUNG, PROXIMAL BRONCHIAL TREE, ESOPHAGUS, SPINAL CORD, RIBS, AND BRACHIAL PLEXUS

Table 1. Dosimetric limits for thoracic organs at risk

Dose limits for OARs	3D-CRT (RTOG 0617)	3D-CRT (RTOG 0972/CALGB 36050)	SBRT (RTOG 0618, 3 fx)	SBRT (ROSEL European trial, 3 or 5 fx)
Spinal cord (point dose)	Point dose $\leq 50.5$ Gy	Any portion $\leq 50$ Gy	$\leq 18$ Gy (6 Gy/fx)	18 Gy (3 fx) 25 Gy (5fx)
Lung	Mean lung dose $\leq 20$ Gy, $V_{20} \leq 37\%$	$V_{20} \leq 35\%$	$V_{20} \leq 10\%^*$	$V_{20} < 5-10\%^\dagger$
Esophagus	Mean dose $\leq 34$ Gy	Not limited	$\leq 27$ Gy (9 Gy/fx)	24 Gy (3 fx) 27 Gy (5 fx)
Brachial plexus (point dose)	$\leq 66$ Gy	Not limited	$\leq 24$ Gy (8 Gy/fx)	24 Gy (3 fx) 27 Gy (5 fx)
Heart <sup>†</sup>	$\leq 60, \leq 45, \leq 40$ Gy for 1/3, 2/3, 3/3 of heart	$\leq 60, \leq 45, \leq 40$ Gy for 1/3, 2/3, 3/3 of heart	$\leq 30$ Gy (10 Gy/fx)	24 Gy (3 fx) 27 Gy (5 fx)
Trachea, bronchus	Not limited	Not limited	$\leq 30$ Gy (10 Gy/fx)	30 Gy (3 fx) 32 Gy (5 fx)
Ribs	Not limited	Not limited	Not limited <sup>§</sup>	Not limited
Skin	Not limited	Not limited	$\leq 24$ Gy (8 Gy/fx)	Not limited

*Abbreviations:* OARs = organs at risk; 3D-CRT = three-dimensional conformal radiotherapy; RTOG = Radiation Therapy Oncology Group; CALGB = Cancer and Leukemia Group B; SBRT = stereotactic body radiotherapy; ROSEL = *Radiosurgery Or Surgery for Early Lung Cancer*; fx = fraction;  $V_{20}$  = percentage of both lungs (without inclusion of gross tumor volume) receiving  $\geq 20$  Gy.

\* Other constraints limited dose within 2 cm of target.

<sup>†</sup>  $V_{20} \leq 5\%$  for tumor  $\leq 2$  cm,  $V_{20} \leq 5\%$  for tumor 2–5 cm.

# Normal Tissue Constraints

**Tab. 1** Normal tissue constraints according to published major clinical studies. Radiation Therapy Oncology Group (RTOG) protocols can be found on the RTOG website at <http://www.rtog.org/ClinicalTrials/ProtocolTable.aspx>

Organ at risk	Single fraction (RTOG 0915)	Three fractions (RTOG 0618/1021)	Four fractions (RTOG 0915)	Five fractions (RTOG 0813)	Eight fractions (Haasbeck et al. 2011 [76])
Trachea and large bronchus	D <sub>max</sub> 20.2 Gy	D <sub>max</sub> 30 Gy	D <sub>max</sub> 34.8 Gy 15.6 Gy <4 cc	D <sub>max</sub> 105% <sup>a</sup> 18 Gy <5 cc <sup>b</sup>	D <sub>max</sub> 44 Gy
Heart	D <sub>max</sub> 22 Gy 16 Gy <15 cc	D <sub>max</sub> 30 Gy	D <sub>max</sub> 34 Gy 28 Gy <15 cc	D <sub>max</sub> 105% <sup>a</sup> 32 Gy <15 cc	–
Esophagus	D <sub>max</sub> 15.4 Gy 11.9 Gy <5 cc	D <sub>max</sub> 25.2 Gy 17.7 G <5 cc	D <sub>max</sub> 30 Gy 18.8 Gy <5 cc	D <sub>max</sub> 105% <sup>a</sup> 27.5 Gy <5 cc <sup>b</sup>	D <sub>max</sub> 40 Gy
Brachial plexus	D <sub>max</sub> 17.5 Gy 14 Gy <3 cc	D <sub>max</sub> 24 Gy 20.4 Gy <3 cc	D <sub>max</sub> 27,2 Gy 23.6 Gy <3 cc	D <sub>max</sub> 32 Gy 30 Gy <3 cc	D <sub>max</sub> 36 Gy
Chest wall	D <sub>max</sub> 30 Gy 22 Gy <1 cc	30 Gy <30 cc 60 Gy <3 cc [77, 78]	D <sub>max</sub> 27,2 Gy 32 Gy <1 cc	30 Gy <30 cc 60 Gy <3 cc [77, 78]	–
Spinal cord	D <sub>max</sub> 14 Gy 10 Gy <0.35 cc	D <sub>max</sub> 18 Gy (RTOG 0236)	D <sub>max</sub> 26 Gy 28.8 Gy <0.35 cc	D <sub>max</sub> 30 Gy 22.5 Gy <0.25 cc	D <sub>max</sub> 28 Gy

# Prognostic factors

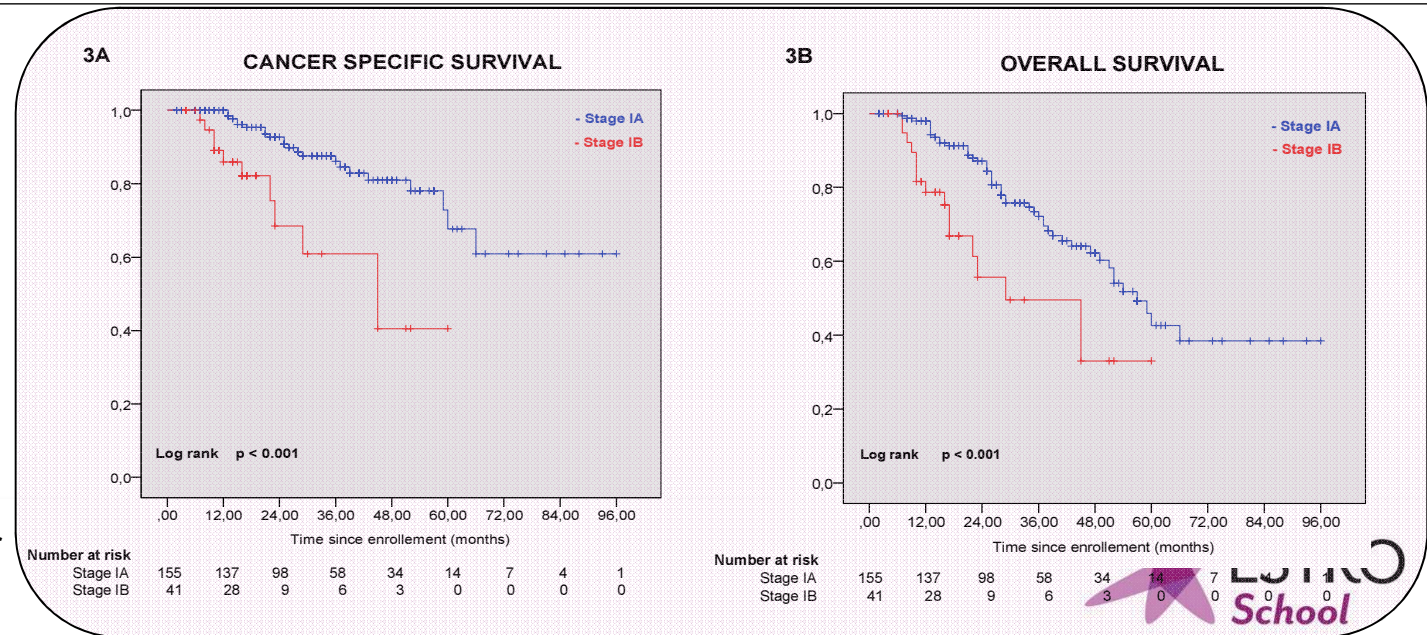
**TABLE 3.** Multivariate Analysis of Factors Influencing OS and FFLP

Parameter		OS			FFLP		
		<i>p</i>	HR	95% CI	<i>p</i>	HR	95% CI
Performance status	<80	0.02	1.44	1.05 to 1.97			
Clinical stage	IB	0.007	1.52	1.12 to 2.07	0.08	1.66	0.95 to 2.92
Baseline FEV <sub>1</sub> (%)	Continuous variable	0.07	0.99	0.99 to 1.00			
Biopsy status	No biopsy	0.09	1.49	0.94 to 2.35	0.02	2.53	1.17 to 5.48
Staging FDG-PET	Yes				>0.1		
Histology	SCC				0.03	2.03	1.06 to 3.89
PTV-encompassing dose (Gy BED)	≥106	0.01	0.62	0.43 to 0.90	0.04	0.39	0.16 to 0.93
Dose inhomogeneity (PTV-encompassing dose / maximum dose) (%)	≥ 80				0.06	1.74	0.98 to 3.08
IGRT technology	In-room IGRT				>0.1		
SBRT procedures/institution and year	<9	>0.1			>0.1		

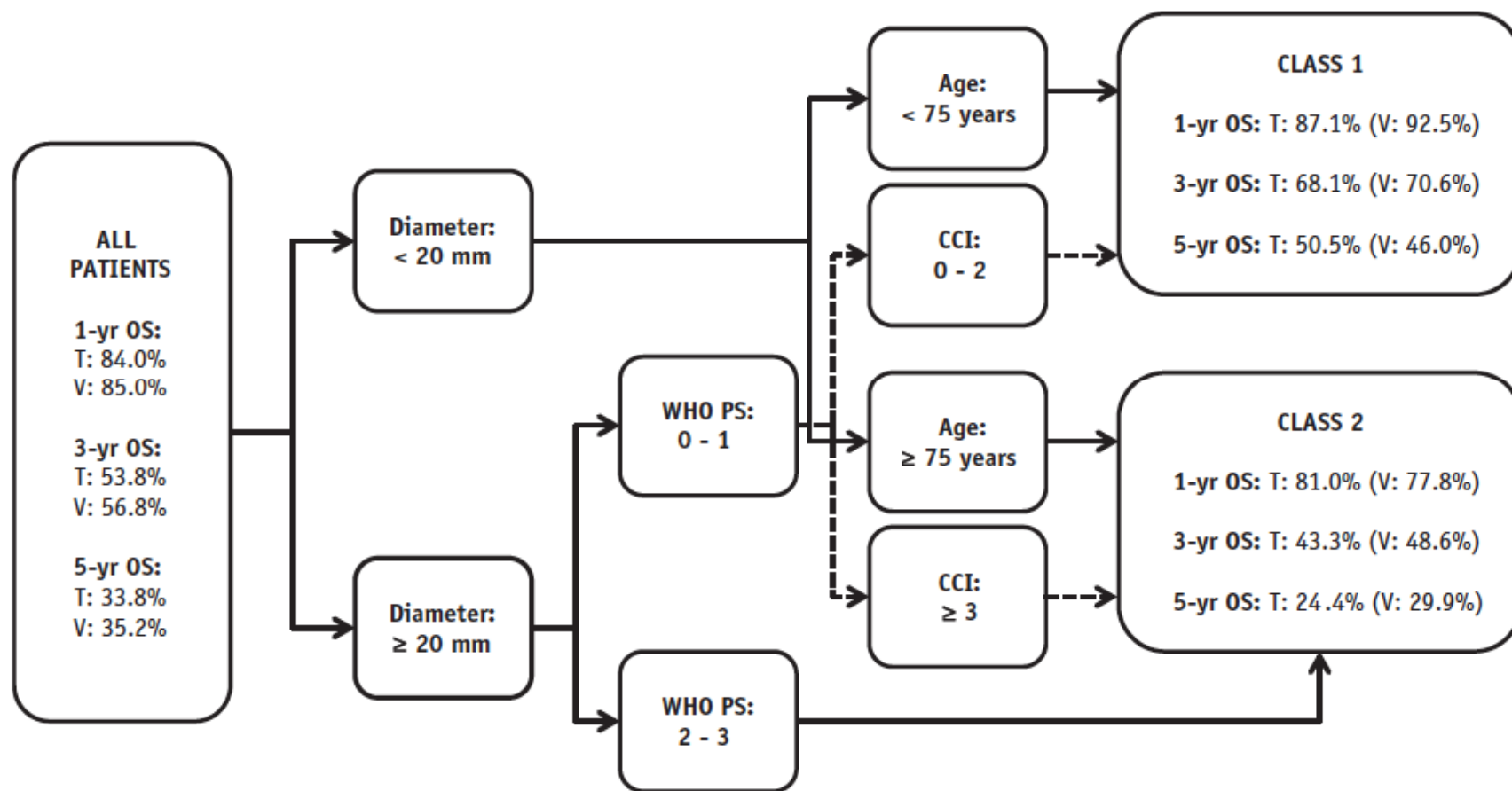
# Prognostic factors

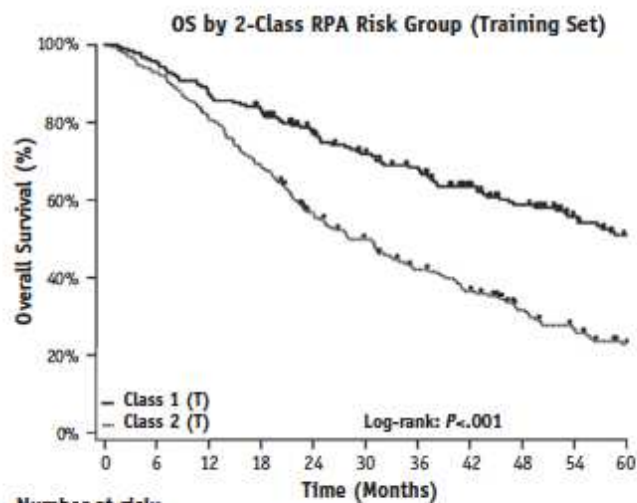
**Table 3**  
Multivariate analysis.

Parameter	LR		DFS		OS		CSS	
	HR (95% CI)	p	HR (95% CI)	p	HR (95% CI)	p	HR (95% CI)	p
Stage IB vs IA	0.55 (0.03–10.3)	0.69	3.06 (1.62–5.77)	0.001	2.46 (1.28–4.74)	0.007	3.47 (1.50–7.98)	0.003
GTV volume >13 cc vs ≤13 cc	4.4 (0.73–26.7)	0.1	1.04 (0.57–1.88)	0.89	1.04 (0.59–1.82)	0.89	1.37 (0.59–3.16)	0.45
Sex Male vs Female	0.5 (0.08–3.2)	0.47	1.05 (0.57–1.92)	0.87	0.94 (0.51–1.74)	0.86	0.79 (0.31–1.98)	0.61
Age >75 years vs ≤75 years	0.6 (0.15–2.57)	0.52	1.39 (0.83–2.36)	0.21	1.39 (0.83–2.32)	0.2	1.28 (0.63–2.61)	0.49
Histology Adenocarcinoma vs others	2.42 (0.39–14.84)	0.34	1.12 (0.64–1.97)	0.68	1.21 (0.68–2.16)	0.8	1.17 (0.52–2.61)	0.69



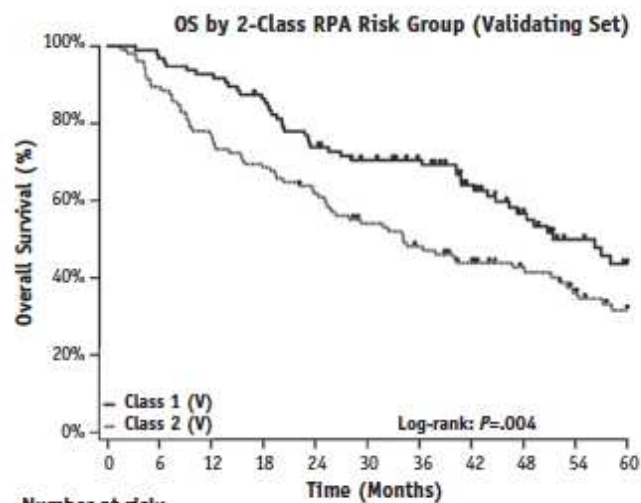
# Prognostic factors: RPA risk groups according to the Amsterdam model





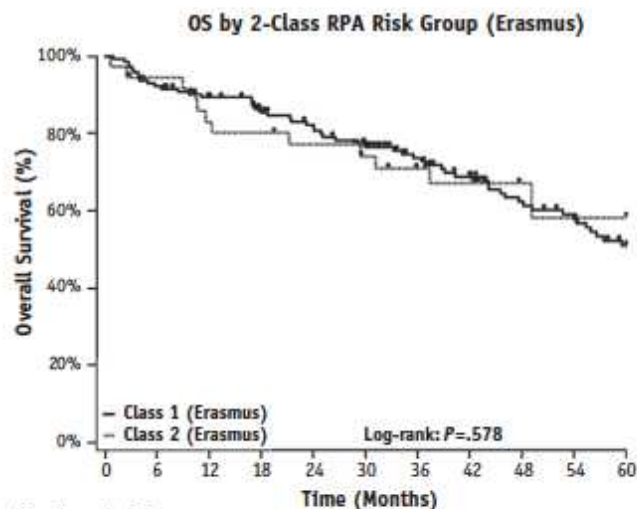
Number at risk:

C1(T):	195	170	136	114	84	60
C2(T):	212	171	114	78	49	29



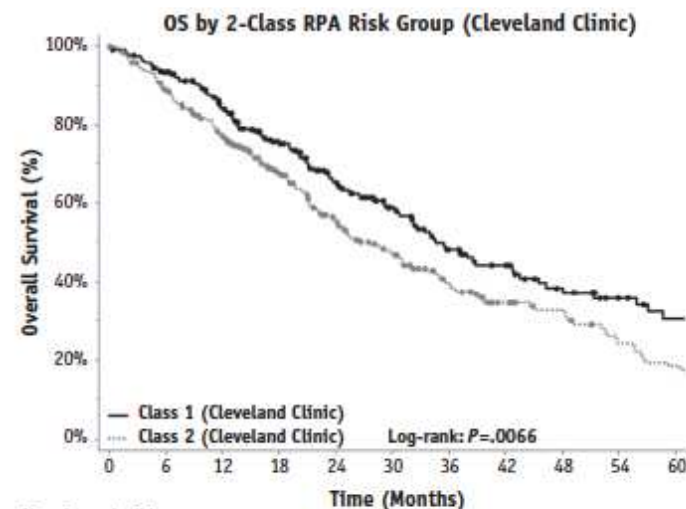
Number at risk:

C1(V):	96	89	70	58	35	20
C2(V):	105	81	64	47	33	20



Number at risk:

C1(E):	157	119	101	77	58	41
C2(E):	39	29	26	20	15	13



Number at risk:

C1 (CC):	242	184	106	53	31	18
C2 (CC):	300	217	112	60	37	19

# Toxicity and Quality of Life

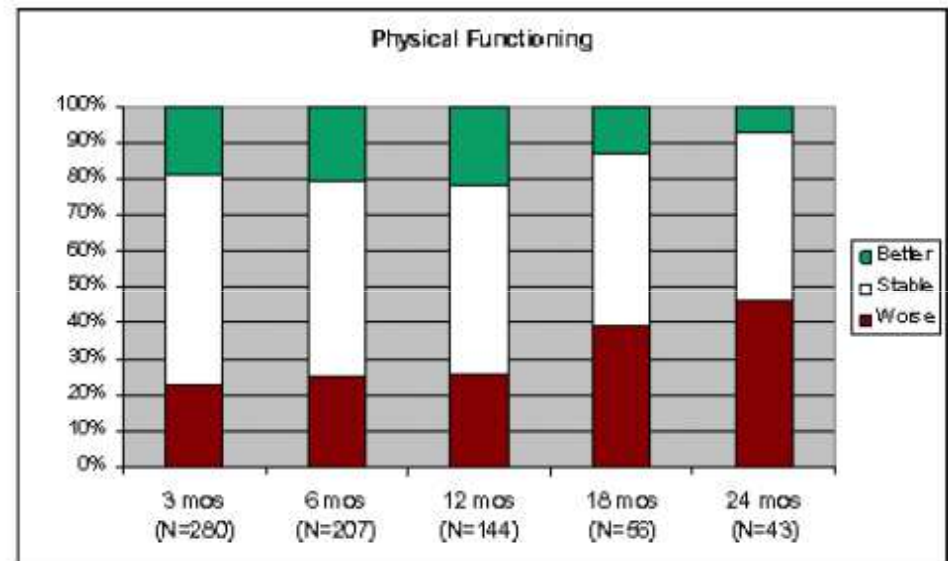
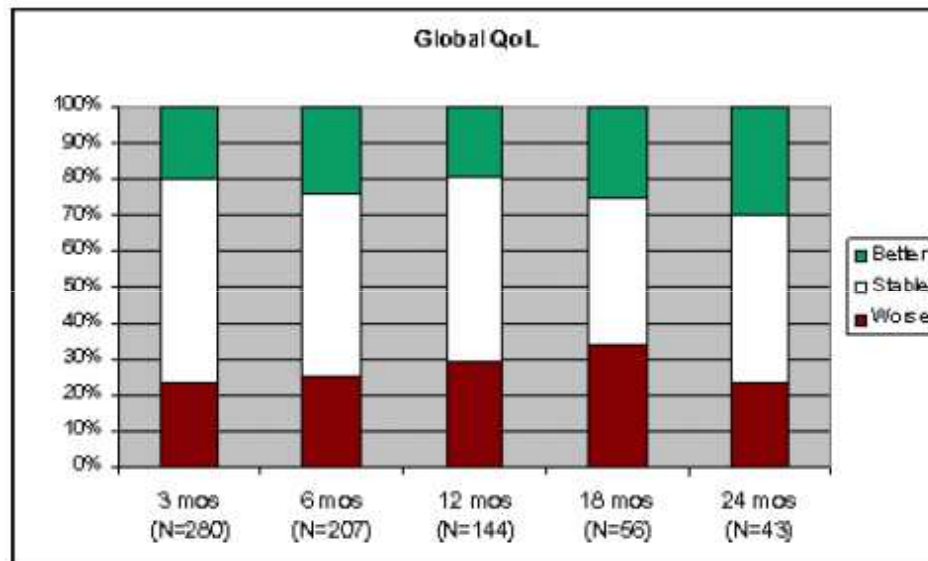




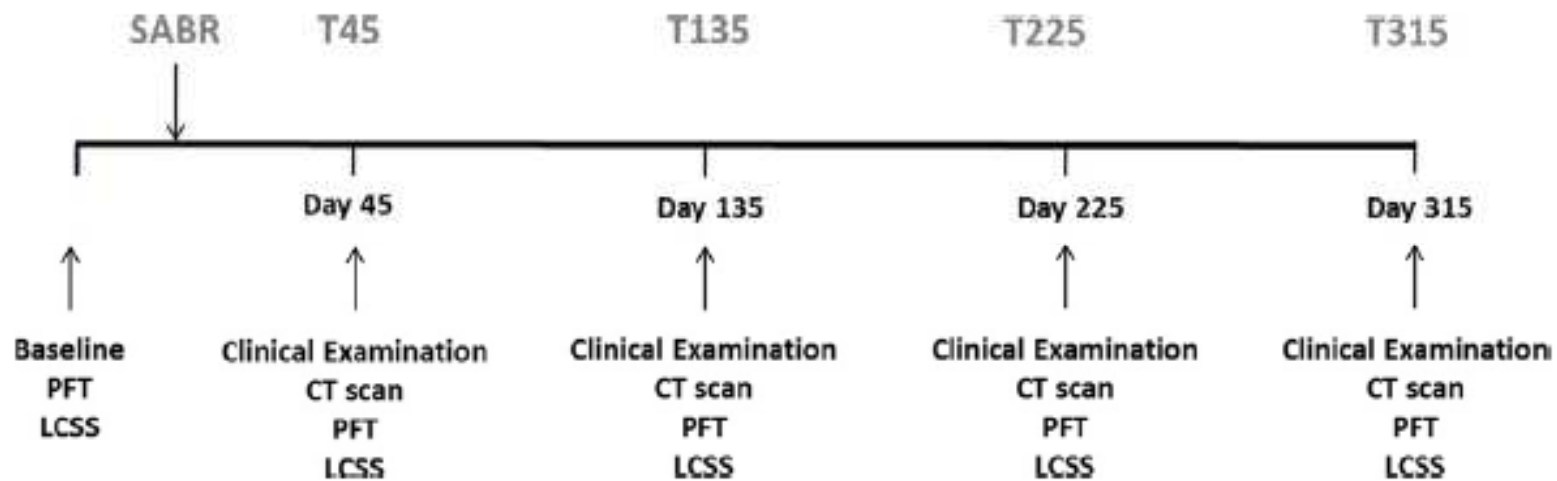
## **No Clinically Significant Changes in Pulmonary Function Following Stereotactic Body Radiation Therapy for Early-Stage Peripheral Non-Small Cell Lung Cancer: An Analysis of RTOG 0236**

- Poor baseline PFT did not predict decreased OS
- FEV1 mean decline 5.8%; DLCO mean decline 6.3% (SS at 6 weeks and 3 months)
- Minimal changes of arterial blood gases and no decline in oxygen saturation

# Quality of Life – self assessed



# Pulmonary function and quality of life: outline of a prospective study



## Pulmonary function and quality of life after VMAT-based stereotactic ablative radiotherapy for early stage inoperable NSCLC: a prospective study

Cinzia Ferrero<sup>a,1</sup>, Serena Badellino<sup>b,1</sup>, Andrea Riccardo Filippi<sup>b,\*</sup>, Luana Focaraccio<sup>b</sup>, Matteo Gaj Levra<sup>b</sup>, Mario Levis<sup>b</sup>, Francesco Moretto<sup>b</sup>, Roberto Torchio<sup>a</sup>, Umberto Ricardi<sup>b</sup>, Silvia Novello<sup>b</sup>

<sup>a</sup> Respiratory Function and Sleep Laboratory, S. Luigi Hospital, Orbassano, Italy

<sup>b</sup> Department of Oncology, University of Torino, Torino, Italy

Age (mean, range)	77 (61–84)
Male	23 (76.7%)
Female	7 (23.3%)
Former smokers	19 (63.3%)
Active smokers	8 (26.7%)
Never smokers	3 (10%)
Performance status (ECOG)	
0	23 (76.7%)
1	6 (20%)
2	1 (3.4%)
AA Charlson CI (mean, range)	6.9 (3–14)
<7	16 (53.3%)
≥7	14 (46.7%)
Stage	
IA	17 (56.7%)
IB	13 (43.3%)
Tumor max diameter, mm (mean, range)	25.5 (12–55)
Histology	
Adenocarcinoma	9 (30%)
Squamous cell carcinoma	8 (26.7%)
NSCLC NOS	4 (13.3%)
Unknown	9 (30%)
Treatment schedules	
45–54 Gy/3 fr	9 (30%)
55 Gy/5 fr	11 (37%)
60 Gy/8 fr	10 (33%)

# Changes in PFTs from baseline at different timepoints

Pulmonary function test	Baseline (n=30)			Days 45 post-SABR			Days 135 post-SABR			Days 225 post-SABR			Days 315 post-SABR		
	N	Raw	n	Raw	Change since baseline	n	Raw	Change since baseline	n	Raw	Change since baseline	n	Raw	Change since Baseline	
FEV <sub>1</sub> (liters)	30	1.7 ± 0.5	30	1.7 ± 0.5	-0.01 ± 0.18	28	1.6 ± 0.5	-0.73 ± 0.22	25	1.6 ± 0.5	-0.79 ± 0.23	19	1.5 ± 0.5	-0.19 ± 0.33	
FEV <sub>1</sub> (% predicted)	30	75.3 ± 23.1	30	75.5 ± 24.4	-0.49 ± 7.53	28	72.4 ± 25.1	-3.21 ± 9.18	25	72.9 ± 26.3	-3.26 ± 9.49	19	64.3 ± 23.4	-7.57 ± 11.66	
FEV <sub>1</sub> /SVC	30	61.6 ± 13.3	30	60.0 ± 12.9	-1.12 ± 3.74	28	59.8 ± 13.1	-0.93 ± 4.26	25	59.1 ± 14.2	-0.84 ± 5.68	19	57.1 ± 14.0	-1.85 ± 6.85	
FEV <sub>1</sub> /SVC (%predicted)	30	82.2 ± 19.1	30	80.3 ± 18.3	-1.41 ± 5.23	28	79.7 ± 18.5	-1.19 ± 6.48	25	78.7 ± 19.9	-0.72 ± 7.33	19	76.1 ± 19.9	-2.14 ± 9.35	
SVC (liters)	30	2.9 ± 0.8	30	2.9 ± 0.8	0.06 ± 0.24	28	2.8 ± 0.9	-0.10 ± 0.33	25	2.8 ± 0.7	-0.12 ± 0.34	19	2.7 ± 0.7	-0.26 ± 0.66	
SVC (% predicted)	30	92.1 ± 21.8	30	94.5 ± 23.5	1.05 ± 8.45	28	91.2 ± 25.9	-2.56 ± 14.67	25	92.3 ± 23.9	-3.12 ± 10.62	19	84.3 ± 20.8	-7.33 ± 16.88	
RV (liters)	30	3.2 ± 1	30	3.0 ± 1	-0.12 ± 0.54	28	3.0 ± 1.0	-0.97 ± 0.54	25	2.8 ± 0.9	-0.34 ± 0.52	19	3.0 ± 1.2	-0.22 ± 0.69	
RV (% predicted)	30	130.4 ± 47.0	30	123.6 ± 46.8	-5.02 ± 21.26	28	123.9 ± 49.3	-4.4 ± 21.53	25	117.6 ± 45.4	-14.28 ± 20.39	19	123.3 ± 58.7	-8.94 ± 28.38	
TLC (liters)	30	6.0 ± 1.4	30	5.9 ± 1.4	-0.11 ± 0.54	28	5.7 ± 1.5	-0.19 ± 0.61	25	5.6 ± 1.3	-0.46 ± 0.73	19	5.7 ± 1.5	-0.47 ± 0.97	
TLC (% predicted)	30	103.2 ± 20.2	30	100.9 ± 20.9	-2.11 ± 8.77	28	99.3 ± 22.5	-3.73 ± 11.09	25	96.9 ± 21.7	-8.46 ± 10.45	19	96.2 ± 25.7	-7.81 ± 14.25	
D <sub>1</sub> CO (ml/min/mmHg)	30	14.7 ± 4.5	30	13.3 ± 4.4	-1.48 ± 2.36	28	13.5 ± 3.9	-1.50 ± 2.63	25	13.1 ± 3.3	-1.73 ± 3.22	19	11.6 ± 4.6	-3.57 ± 3.55	
D <sub>1</sub> CO (% predicted)	30	67.0 ± 18.5	30	60.8 ± 18.8	-6.35 ± 11.34	28	62.2 ± 16.4	-6.32 ± 11.56	25	60.6 ± 17.2	-6.84 ± 14.83	19	51.6 ± 17.6	-14.61 ± 14.85	
D <sub>1</sub> CO/VA (ml/min/mmHg)	30	3.5 ± 1.4	30	3.1 ± 0.9	-0.4 ± 1.23	28	3.2 ± 0.9	-0.41 ± 1.34	25	3.2 ± 0.8	-0.39 ± 15.2	19	2.9 ± 0.8	-0.68 ± 1.43	
D <sub>1</sub> CO/VA (% predicted)	30	90.2 ± 27.3	30	84.0 ± 26.3	-5.9 ± 12.45	28	86.5 ± 29.5	-5.32 ± 14.72	25	85.0 ± 24.3	-3.92 ± 15.2	19	77.6 ± 23.9	-10.0 ± 16.33	
PaO <sub>2</sub> (mmHg)	30	75.1 ± 8.5	30	73.1 ± 9.7	-1.7 ± 7.89	28	72.7 ± 8.8	-3.11 ± 7.56	25	76.2 ± 6.4	-1.14 ± 7.63	19	74.6 ± 10.3	-1.02 ± 8.83	
PaCO <sub>2</sub> (mmHg)	30	38.3 ± 4.4	30	37.4 ± 3.7	-0.95 ± 4.05	28	37.7 ± 4.5	-0.48 ± 3.5	25	37.8 ± 3.6	0.32 ± 3.16	19	37.8 ± 4.7	-0.66 ± 5.18	

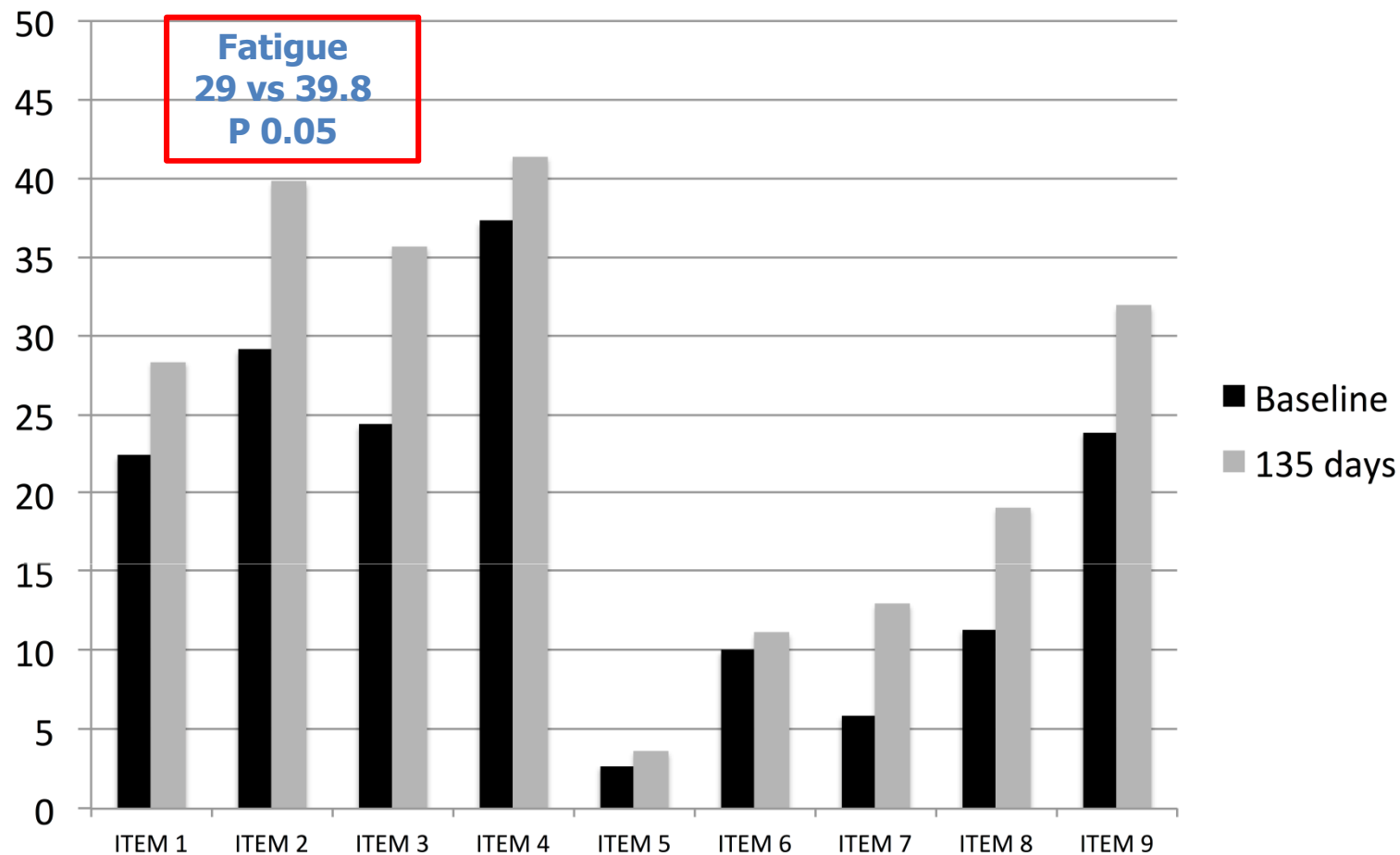
# Logistic regression analysis

Logistic regression model analysis of baseline pulmonary function tests and toxicity.

Pulmonary function test	Any pulmonary toxicity			Grade 2+ pulmonary toxicity			Any late radiological toxicity (Koenig)		
	No. of events/total	OR (95% CI)	p Value	No. of events/total	OR (95% CI)	p Value	No. of events/total	OR (95% CI)	p Value
FEV <sub>1</sub> (liters)	16/30	NA-unstable	-	11/30	NA-unstable	-	7/24	16 (0.1-200)	0.26
FEV <sub>1</sub> (%predicted)	16/30	1.5 (0.1-22)	0.75	11/30	3.1 (0.4-21.4)	0.26	7/24	NA-unstable	-
FEV <sub>1</sub> /SVC	16/30	NA-unstable	-	11/30	NA-unstable	-	7/24	NA-unstable	-
FEV <sub>1</sub> /SVC (%predicted)	16/30	0.02 (0-7.7)	0.2	11/30	0.02 (0-7)	0.18	7/24	NA-unstable	-
SVC	16/30	NA-unstable	-	11/30	NA-unstable	-	7/24	0.1 (0.003-7.8)	0.34
SVC (%predicted)	16/30	0.1 (0-5)	0.22	11/30	0.1 (0-6.7)	0.21	7/24	NA-unstable	-
RV (liters)	16/30	NA-unstable	-	11/30	NA-unstable	-	7/24	NA-unstable	-
RV (%predicted)	16/30	5.04 (0.4-75.2)	0.24	11/30	8.6 (0.5-150.3)	0.14	7/24	NA-unstable	-
TLC (liters)	16/30	NA-unstable	-	11/30	NA-unstable	-	7/24	0.7 (0.1-3.6)	0.68
TLC (%predicted)	16/30	0.03 (0-5.5)	0.19	11/30	0.008 (0-2.8)	0.11	7/24	NA-unstable	-
D <sub>L</sub> CO (ml/min/mmHg)	16/30	NA-unstable	-	11/30	0.001 (0-6.5)	0.12	7/24	0.9 (0.6-1.2)	0.39
D <sub>L</sub> CO (%predicted)	16/30	8.8 (0.6-136)	0.12	11/30	8.8 (0.7-105.4)	0.09	7/24	NA-unstable	-
D <sub>L</sub> CO/VA (ml/min/mmHg)	16/30	NA-unstable	-	11/30	NA-unstable	-	7/24	NA-unstable	-
D <sub>L</sub> CO/VA (%predicted)	16/30	3.9 (0.3-55)	0.31	11/30	3.7 (0.2-75.2)	0.4	7/24	NA-unstable	-
PaO <sub>2</sub> (mmHg)	16/30	1.0 (0.8-1.3)	0.94	11/30	0.9 (0.5-1.6)	0.72	7/24	1.1 (0.9-1.3)	0.41
PaCO <sub>2</sub> (mmHg)	16/30	0.8 (0.1-4.3)	0.75	11/30	0.3 (0.1-1.3)	0.12	7/24	NA-unstable	-

Normal lungs dose-volume distributions by development of any grade clinical lung toxicity.

Parameter	All patients (n= 30)	Pneumonitis (n= 14)	No pneumonitis (n= 16)	OR (95% CI)	P value
Ipsilateral lung V <sub>20Gy</sub> (%)	15.6 ± 5.5	15.1 ± 5.8	16.1 ± 5.4	1.03 (0.91-1.18)	0.61
Ipsilateral lung V <sub>10Gy</sub> (%)	24.5 ± 6.8	22.9 ± 6.9	26.1 ± 6.5	1.07 (0.96-1.21)	0.22
Ipsilateral lung V <sub>5Gy</sub> (%)	34.9 ± 8.6	31.7 ± 8.2	38.1 ± 8.0	1.11 (0.99-1.24)	0.058
Ipsilateral mean lung dose (EQD <sub>2Gy</sub> )	11.9 ± 3.5	11.7 ± 3.9	12.1 ± 3.1	1.03 (0.83-1.27)	0.82
Bilateral lung V <sub>20Gy</sub> (%)	7.8 ± 2.6	7.8 ± 2.8	7.8 ± 2.6	0.99 (0.75-1.32)	0.97
Bilateral lung V <sub>10Gy</sub> (%)	14.4 ± 5.1	14.6 ± 6.1	14.2 ± 3.9	0.98 (0.85-1.14)	0.84
Bilateral lung V <sub>5Gy</sub> (%)	24.8 ± 7.4	24.7 ± 8.9	24.8 ± 6.0	1.0 (0.90-1.10)	0.97
Bilateral mean lung dose (EQD <sub>2Gy</sub> )	6.9 ± 1.9	7.0 ± 2.2	6.9 ± 1.6	0.98 (0.66-1.44)	0.91
Absolute lung volume spared from a 5 Gy dose (VS5, in cc)	3088.9 ± 790.3	3157.4 ± 699	3020.4 ± 893.5	1.02 (0.78-1.17)	0.65



- Lung Cancer Symptom Scale (LCSS)
- Worsening of the item 2 "Fatigue" (mean basal value =29, mean value at T<sub>135</sub> = 39.8, p = 0.05)

# Survivorship following SABR

## Second primary lung cancer (SPLC):

risk of developing a SPLC at a rate of approximately 3% per year (smoking cessation!)



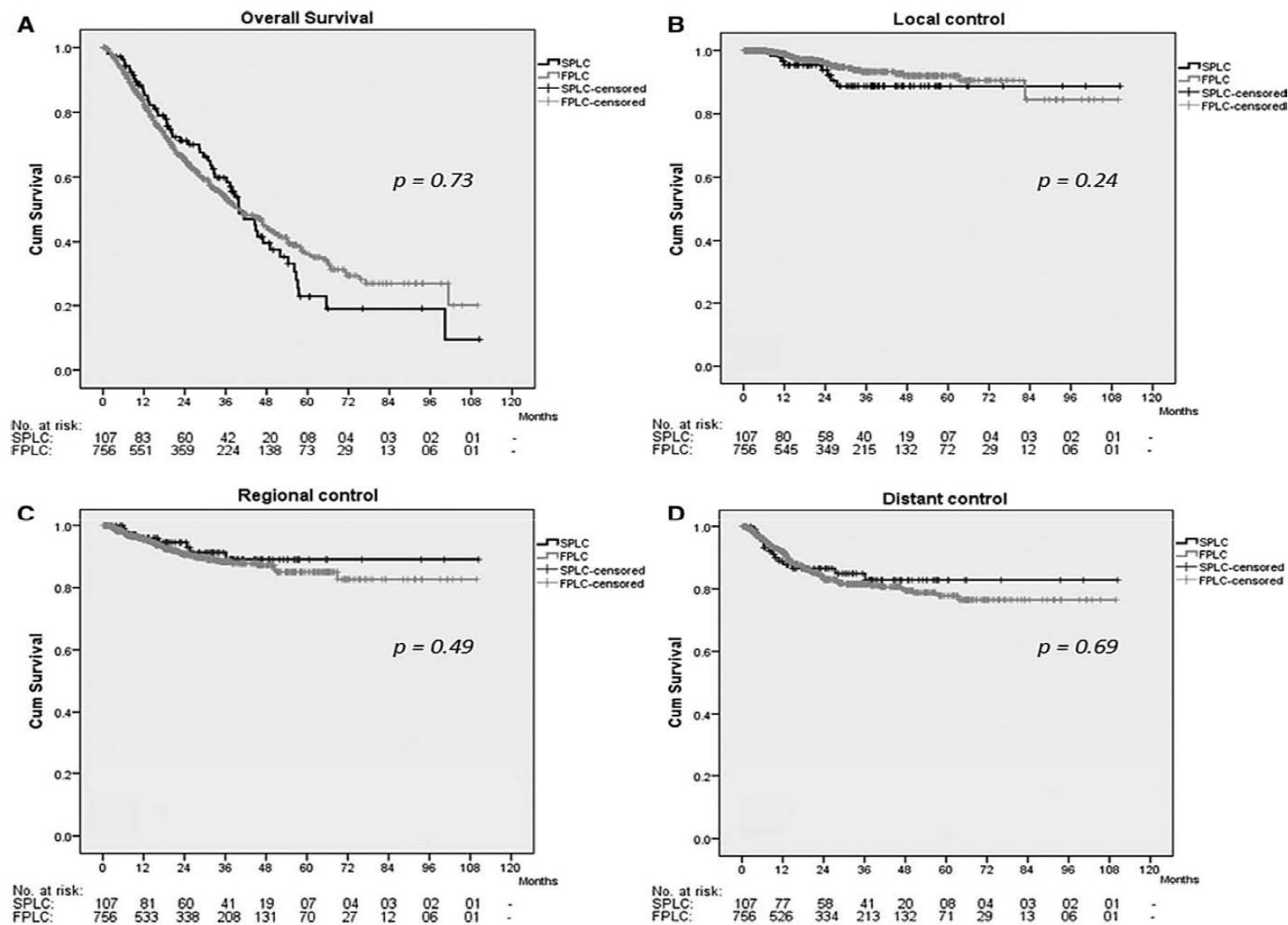
## A Brief Report on Outcomes of Stereotactic Ablative Radiotherapy for a Second Primary Lung Cancer: Evidence in Support of Routine CT Surveillance

Gwendolyn H.M.J. Griffioen, MD, Frank J. Lagerwaard, MD, PhD, Cornelis J.A. Haasbeek, MD, PhD, Ben J. Slotman, MD, PhD, and Suresh Senan MRCP, FRCR, PhD

**TABLE 1.** Patient, Tumor, and Treatment Characteristics  
(*n* = 107)

Characteristics	n (%) or Median (Range)
Male gender	73 (68%)
Age at SPLC (years)	72 (50–90)
Treatment interval (months)	48 (6–349)
COPD	85 (79.4%)
Charlson Comorbidity Index	3 (0–10)
WHO Performance Score (PS)	1 (0–3)
Stage initial lung cancer (7 <sup>th</sup> TNM)	
Stage I	67 (62.6%)
Stage II	18 (16.8%)
Stage III	17 (15.9%)
Stage IV	3 (2.8%)
Unknown	2 (1.9%)
Treatment initial lung cancer	
Lobectomy/bilobectomy/trimodality	78 (72.9%)
Pneumonectomy	17 (15.9%)
Wedge/segmentectomy	3 (2.8%)
CRT	7 (6.5%)
Palliative (chemo or RT)	2 (1.9%)

Metachronous second primary  
lung cancer (SPLC)

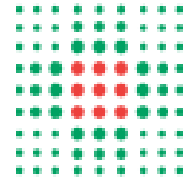


**FIGURE 2.** Kaplan-Meier curves comparing (A) overall survival and (B) local, (C) regional, and (D) distant control rates between patients treated with stereotactic ablative radiotherapy for a “first” primary lung cancer (FPLC; gray line,  $n = 756$ ) and a meta-chronous second primary lung cancer (SPLC; black line,  $n = 107$ )

# Final Remarks

- SBRT is currently widely accepted as the best alternative to surgery for inoperable early stage lung cancer
- SBRT might be offered also to operable patients
- IGRT-motion management are essential for prescribing high BED: IMRT is an option
- Mature data with long-term follow up are needed to better understand the pattern of relapse across time
- Predictive and prognostic factors are needed to possibly offer to higher risk patients adjuvant therapies





SERVIZIO SANITARIO REGIONALE  
EMILIA-ROMAGNA  
Azienda Ospedaliero - Universitaria di Modena  
Policlinico

# Breast IMRT Secondary Tumor Risk

Frank Lohr, M.D.  
Policlinico Modena

## Disclosure

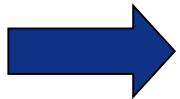
Research and Training Agreement, Expert Testimony  
and Travel Grants with Elekta/IBA/C-Rad

Board Member of C-Rad

One's own experience has the advantage of absolute certainty - *Schopenhauer*

No man's knowledge (here) can go beyond his own experience - *Locke*

Stupid is as stupid does - *Gump*



Literature overview plus some VERY SUBJECTIVE COMMENTARIES!!

# Clinical Application of IMRT



# Most important indications and treatment philosophy

## 1. Head and Neck Cancer CNS

**Paranasal Sinus Tumors / Integrated Boost**  
(Better Tumor coverage and shortening of overall treatment time)

**NPC and other ENT Tumors**  
(Parotid sparing when possible, better tumor coverage for NPC)

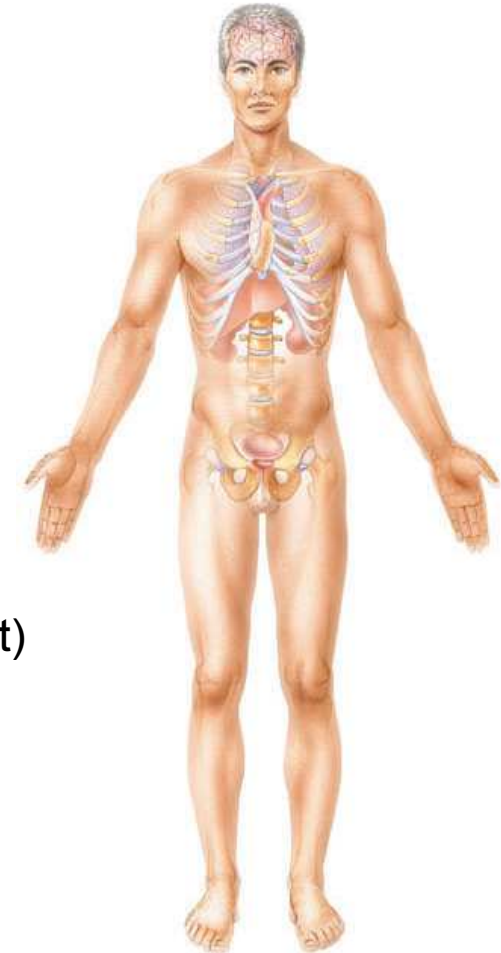
## 2. Prostate / Integrated boost (Potentially hypofractionation)

## 3. Gastric cancer (Better kidney sparing while treating the whole of the target)

## 4. Breast Cancer

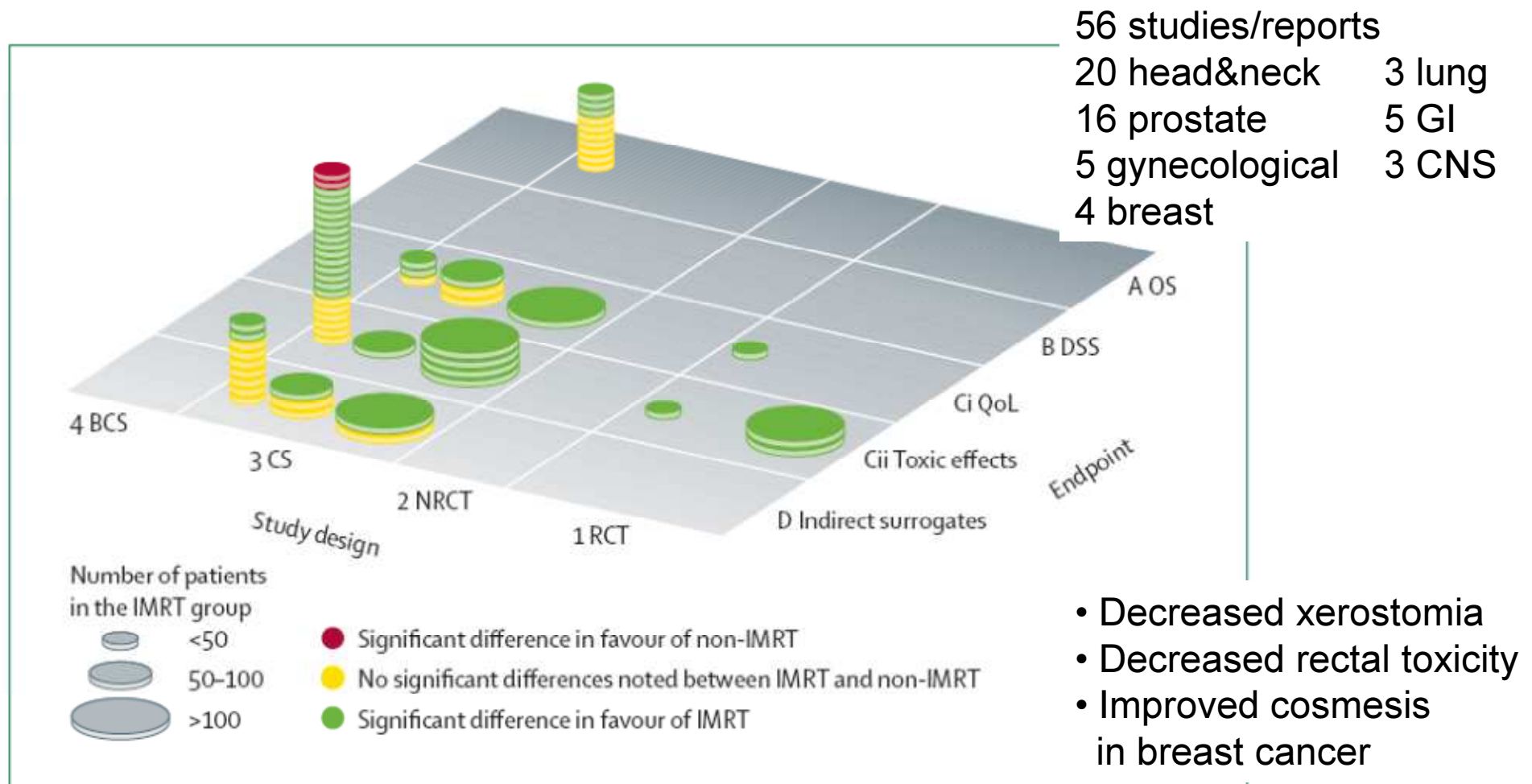
## 5. Lung Cancer

## 6. Metastases



# Evidence behind use of intensity-modulated radiotherapy: a systematic review of comparative clinical studies

Liv Veldeman, Indira Madani, Frank Hulstaert, Gert De Meerleer, Marc Mareel, Wilfried De Neve *Lancet Oncol* 2008; 9: 367-375



**Figure 3:** Evaluation tool for relevance of clinical statements reported in 56 studies of IMRT  
 BCS=best case series. CS=case series. NRCT=non-randomised controlled trial. RCT=randomised controlled trial.  
 OS=overall survival. DSS=disease-specific survival. QoL=quality of life.

# IMRT clinical outcome

Factors influencing the rational use of IMRT for head-and-neck cancer

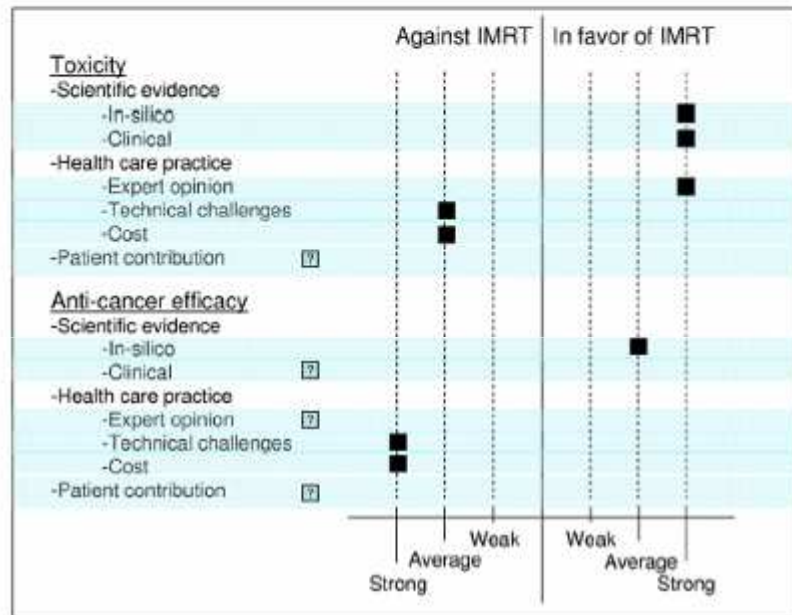


Figure 1 Factors in favor or against IMRT are represented by a rectangle on the right or on the left of the vertical solid line, respectively. A question mark inside a rectangle indicates too much uncertainty for using the factor in the graph.

Factors influencing the rational use of IMRT for whole breast irradiation

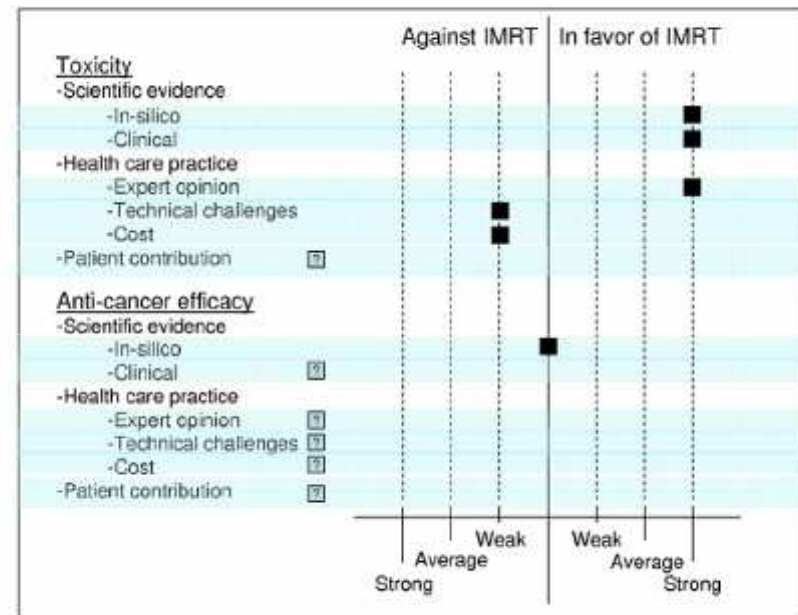


Figure 2 Factors in favor or against IMRT are represented by a rectangle on the right or on the left of the vertical solid line, respectively. A question mark inside a rectangle indicates too much uncertainty for using the factor in the graph.

De Neve et al. Sem Rad Onc, 2012

# Tumor Localizations

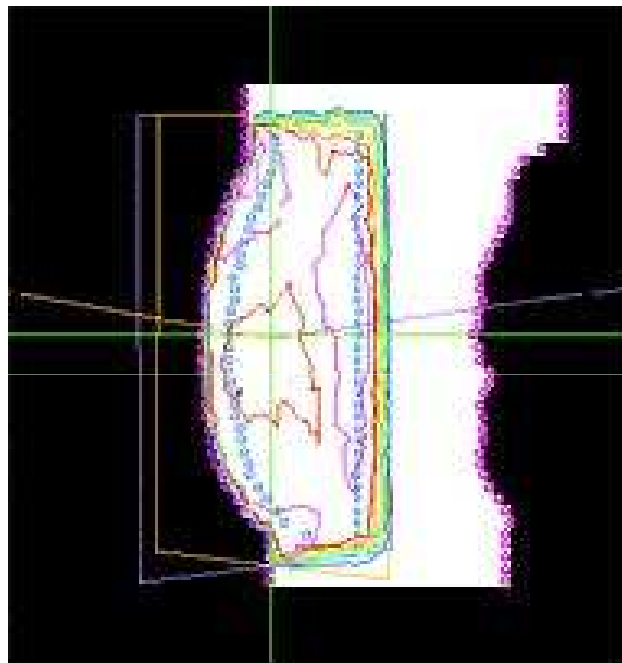
## 1. Breast Cancer

There are two different paradigms that have to be discussed separately:

1. Tangential IMRT

2. Multi-beam-angle/Multi-field IMRT

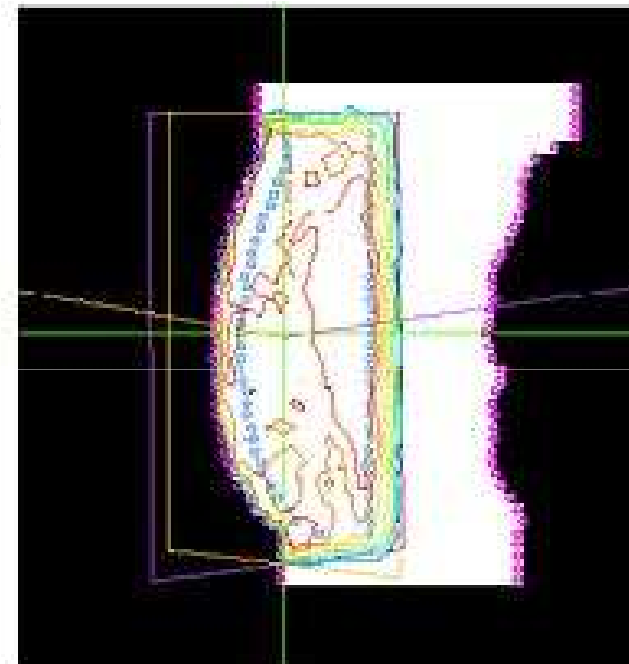
# 1. Improvement of Dose Homogeneity for Tangent Irradiation



Isodose values

- 105%
- 100%
- 98%
- 95%
- 90%
- 80%
- 70%
- 60%
- 50%

**Standard wedged treatment**



**Multiple static MLC fields**

[http://www.elekta.com/ContentInternational.nsf/pgs\\_Frameset?openpage&url=imrt\\_for\\_breast\\_cancer](http://www.elekta.com/ContentInternational.nsf/pgs_Frameset?openpage&url=imrt_for_breast_cancer)

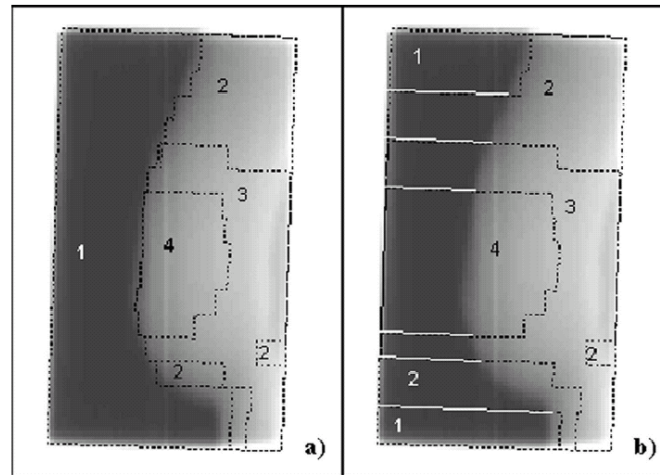
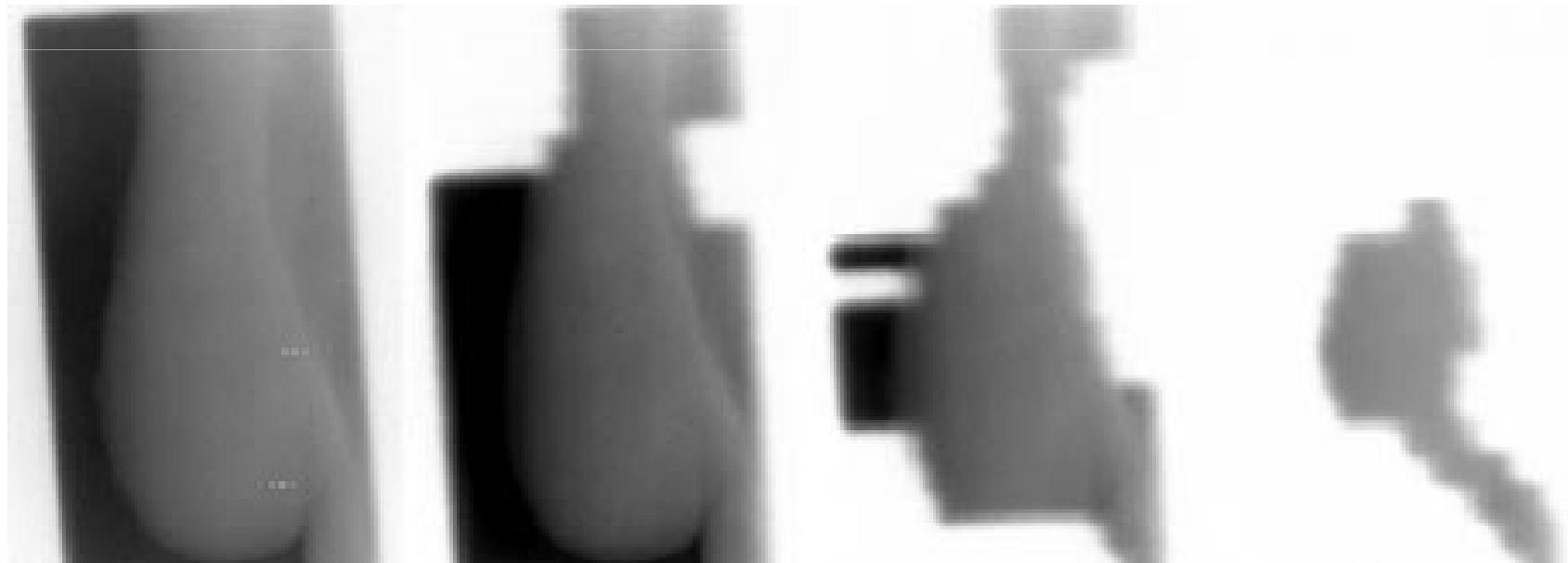


Fig. 2. Superposition of a patient image from the in-house EPID and the four fields to be delivered from this beam direction. A left medial image is shown. In (a) this is overlaid with the fields needed for flash method 1 and in (b) with the fields for flash method 2. The labels inside the images indicate the field numbers.



**OPTIMIZING BREAST CANCER TREATMENT EFFICACY WITH INTENSITY-MODULATED RADIOTHERAPY**

FRANK A. VEINI, M.D., MICHAEL SHARPE, Ph.D., LARRY KESTIN, M.D., ALVARO MARTINEZ, M.D., CHRISTINA K. MITCHELL, R.N., MICHELLE F. WALLACE, R.N., RICHARD MATTER, M.D., AND JOHN WONG, Ph.D.

Department of Radiation Oncology, William Beaumont Hospital, Royal Oak, MI

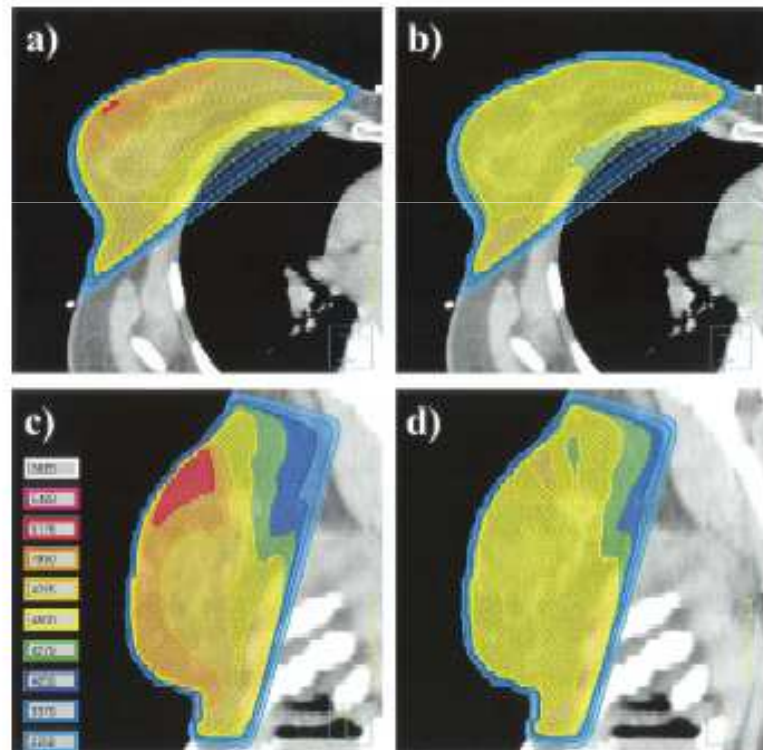
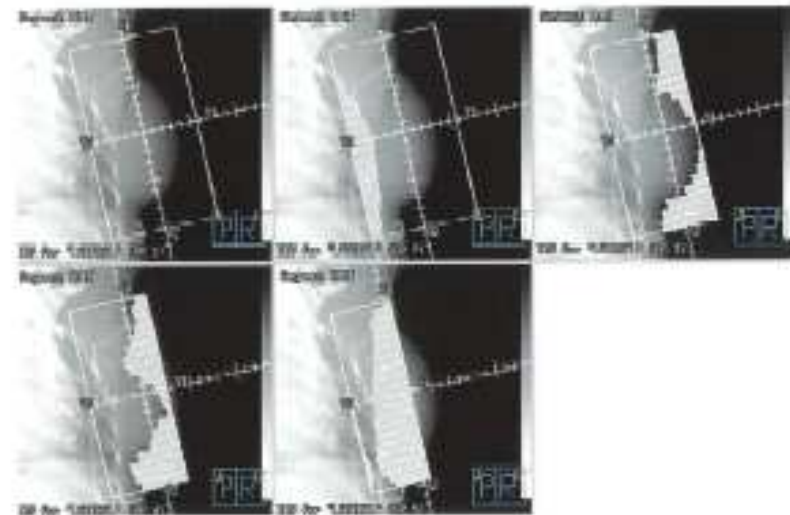


Fig. 1. (a) Transverse CT scan of breast showing uncompensated dose distribution. (b) Transverse CT scan of breast showing IMRT dose distribution. (c) Sagittal CT scan of breast showing uncompensated dose distribution. (d) Sagittal CT scan of breast showing IMRT dose distribution.

**a) Lateral IMRT Segments**



**b) Medial IMRT Segments**

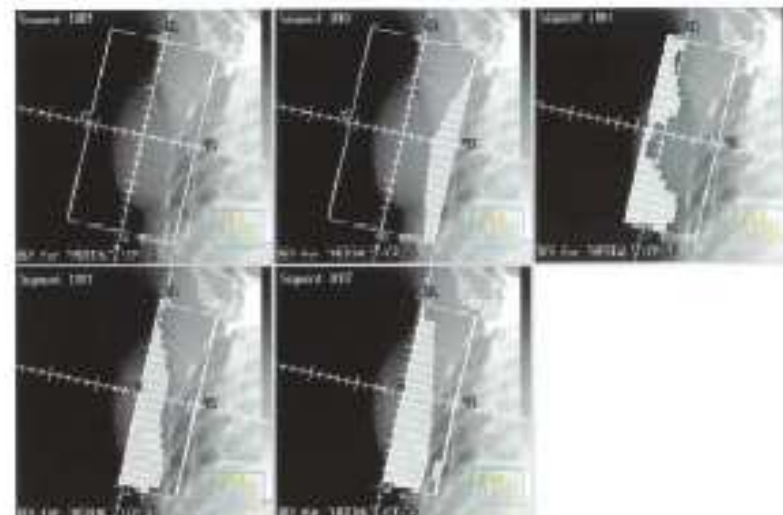
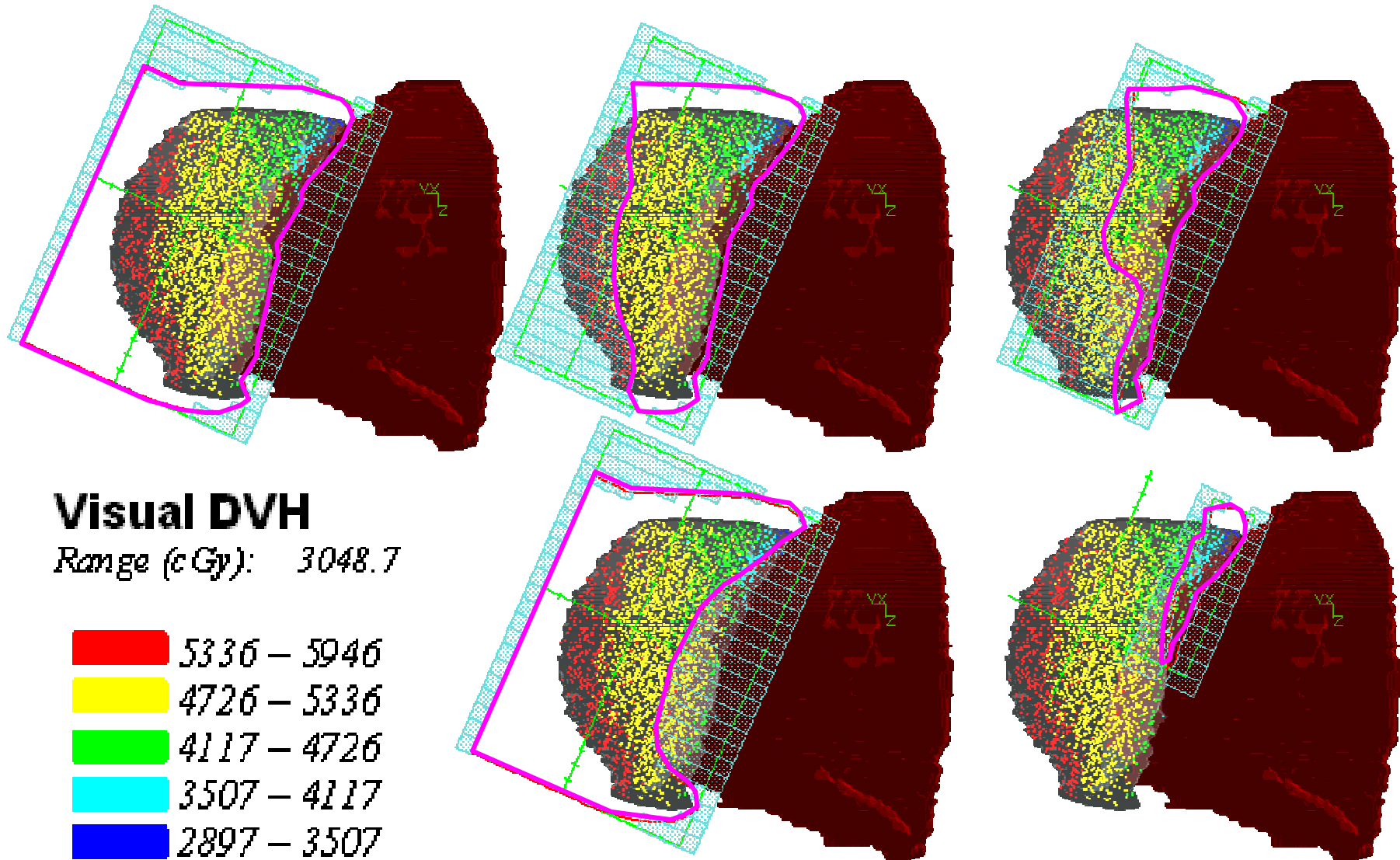


Fig. 2. (a) Lateral aMLC segments used for IMRT dose delivery. (b) Medial aMLC segments used for IMRT dose delivery.



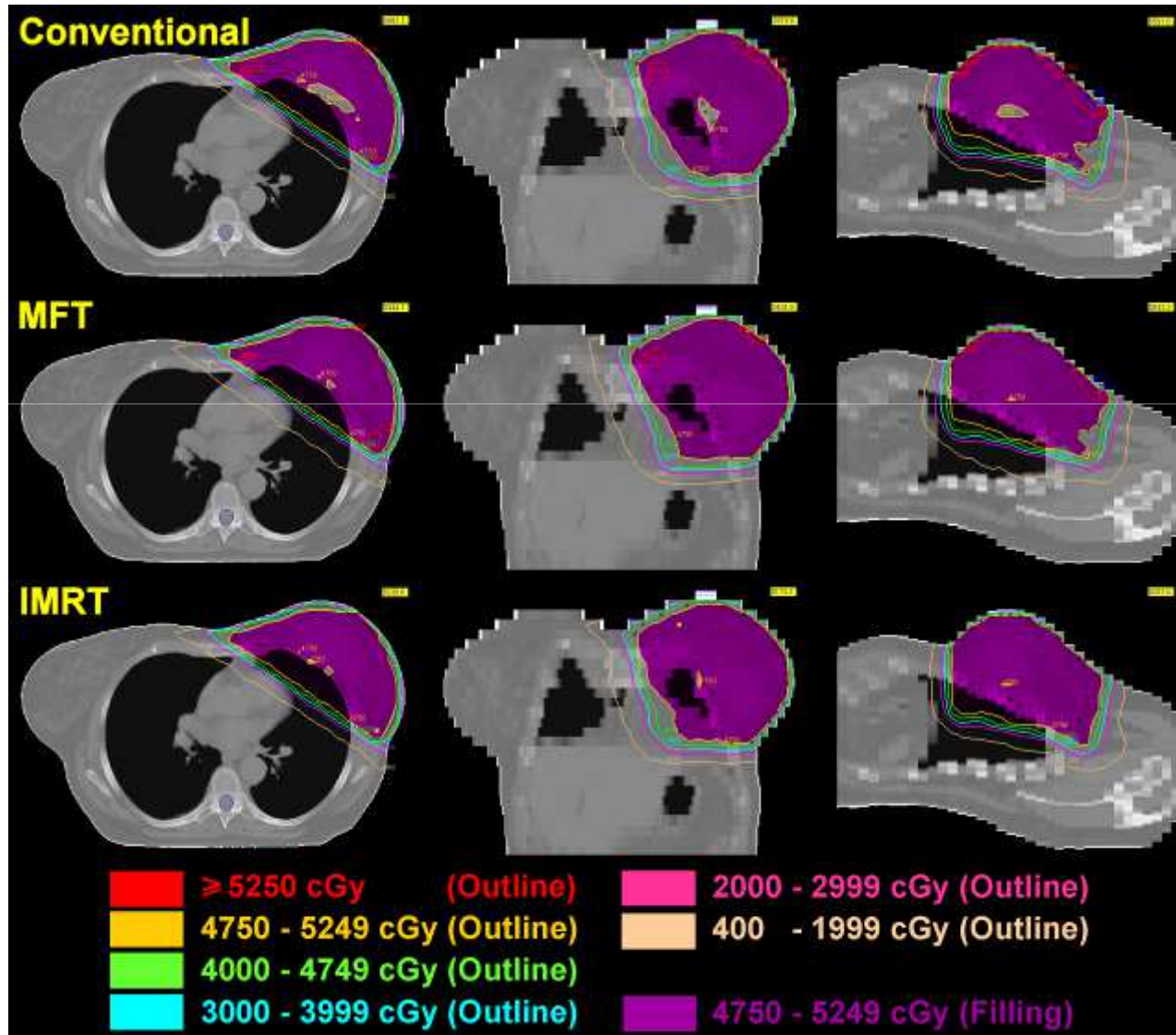
# Optimization of Tangent Irradiation

Abo Madyan et al., Strahlentherapie, 2007



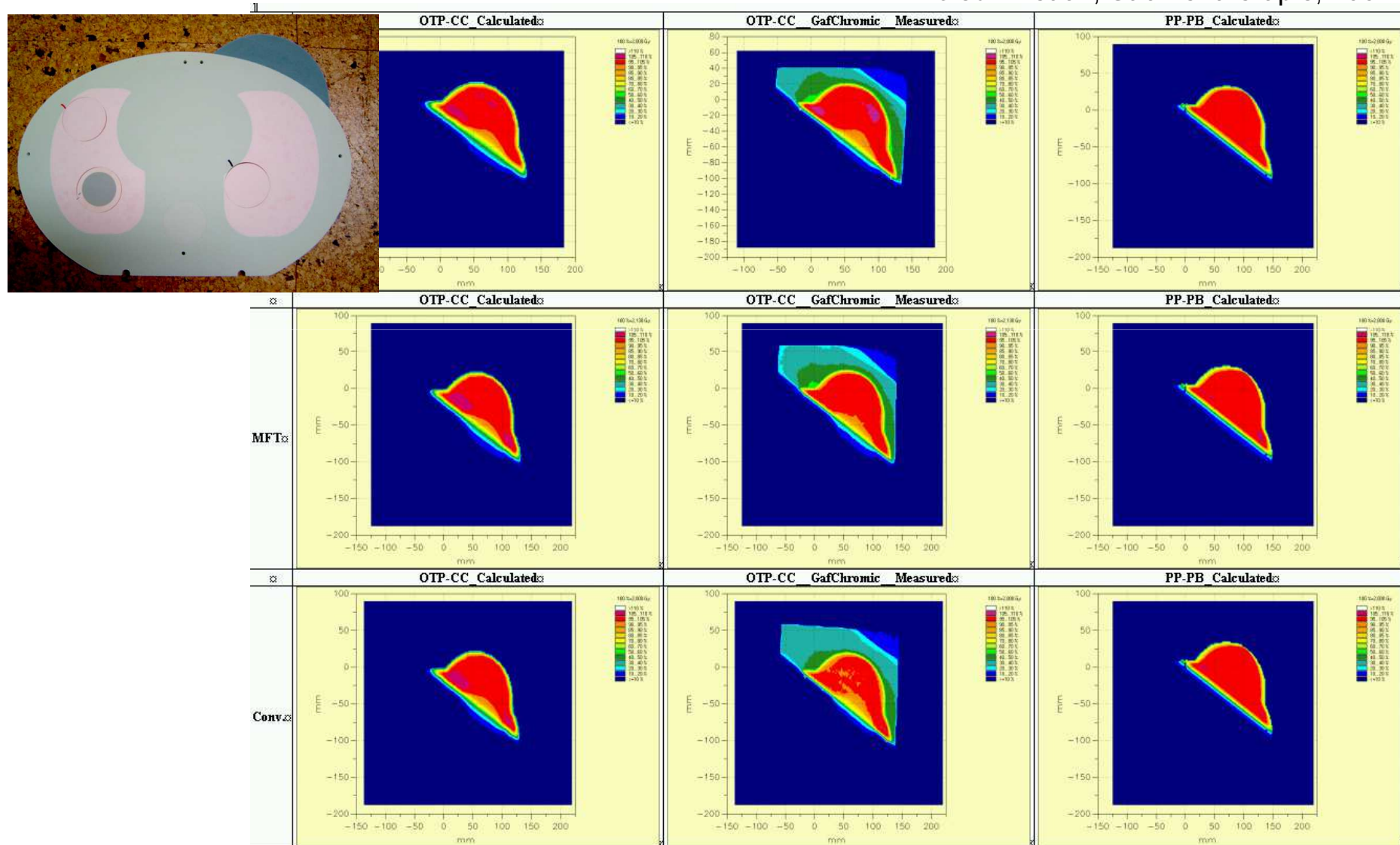
# Optimization of Tangent Irradiation

Abo Madyan et al., Strahlentherapie, 2007



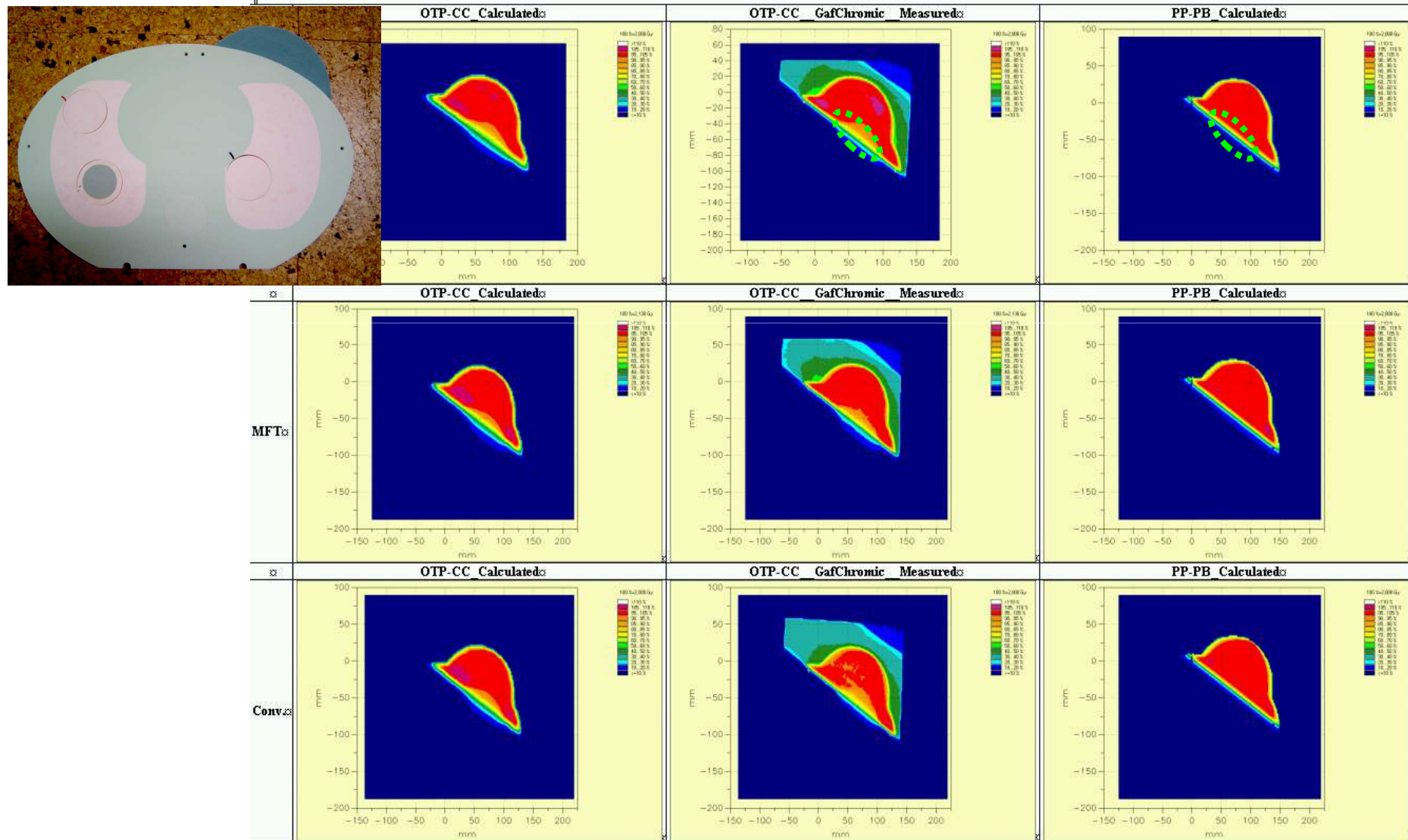
# Breast IMRT - Dose Calculation

Polednik et al., Strahlentherapie, 2007



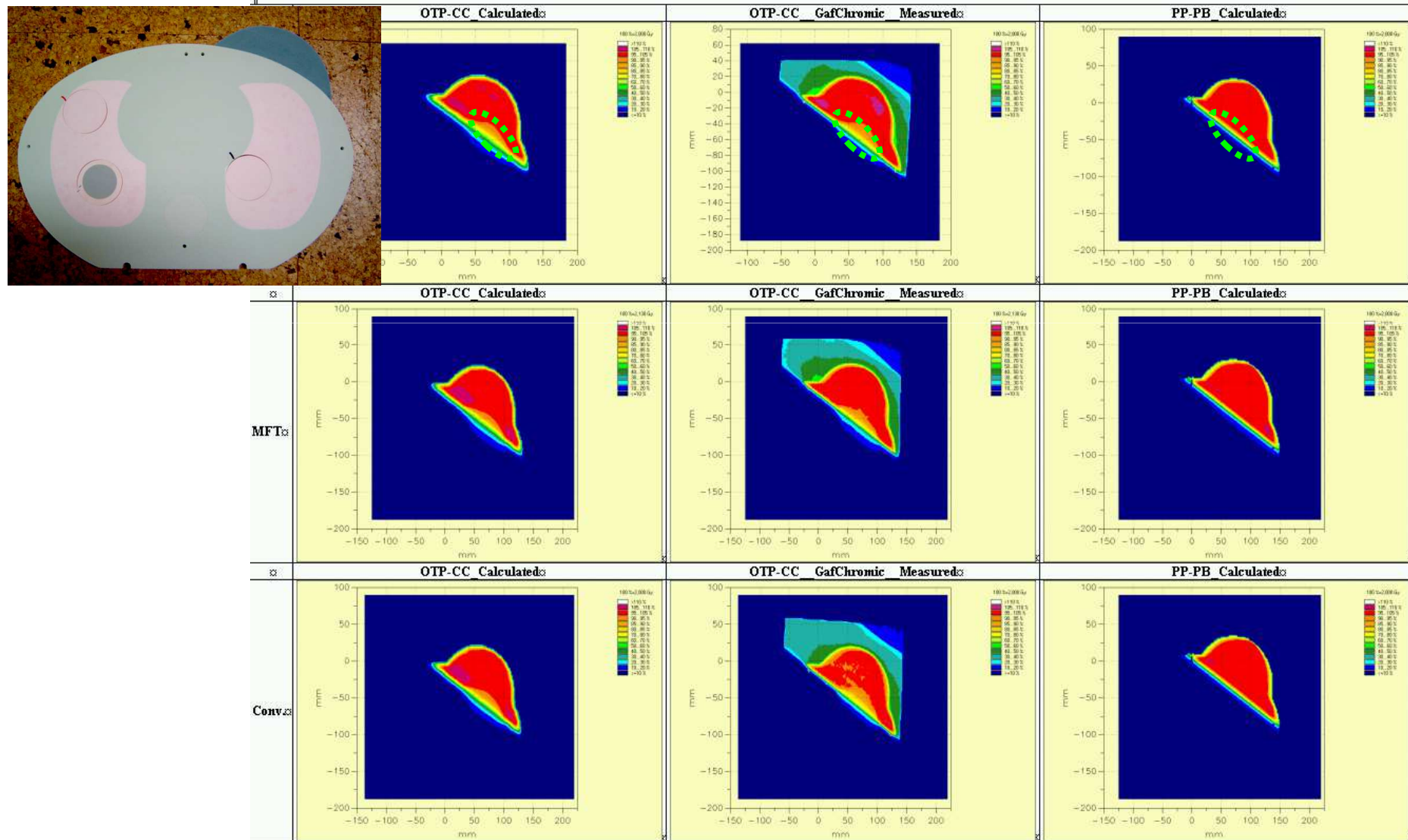
# Breast IMRT - Dose Calculation

Polednik et al., Strahlentherapie, 2007



# Breast IMRT - Dose Calculation

Polednik et al., Strahlentherapie, 2007



# Clinical Results with Tangential IMRT

2 Randomized trials, several retrospective analyses

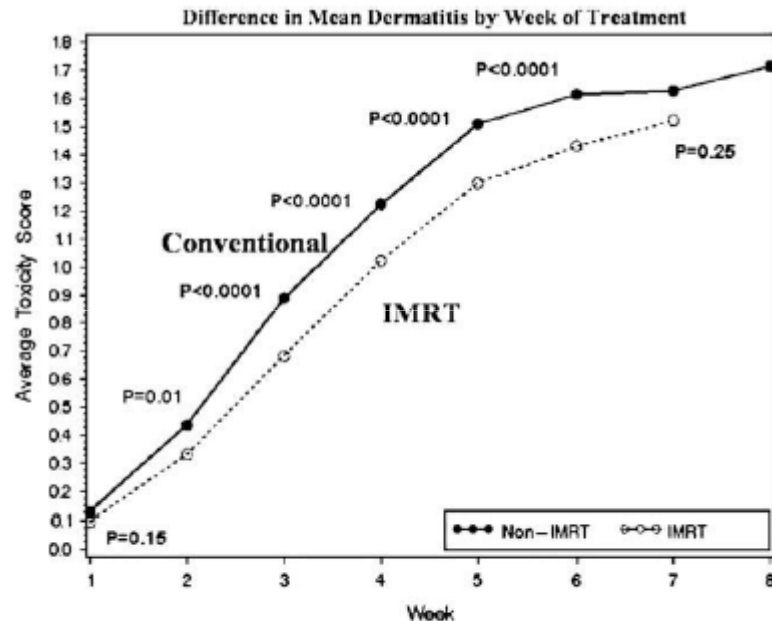
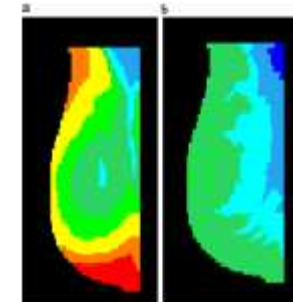


Fig. 1. Mean frequency of dermatitis by week of treatment during radiation therapy for patients treated with conventional radiation therapy ( $n = 405$ ) and intensity-modulated radiation therapy (IMRT;  $n = 399$ ).

Freedman et al., IJROBP, 2009

Score (change)	Standard (2D) Number (%)	IMRT (3D) Number (%)
<i>Photographic score at year 1</i>		
None	84 (64.1)	92 (74.2)
Mild	37 (28.2)	26 (21.0)
Marked	10 (7.6)	6 (4.8)
Total	131	124
<i>Photographic score at year 2</i>		
None	73 (56.6)	84 (65.1)
Mild	49 (38.0)	39 (30.2)
Marked	7 (5.4)	6 (4.7)
Total	129	129
<i>Photographic score at year 5</i>		
None	51 (41.8)	71 (60.2)
Mild	54 (44.3)	35 (29.7)
Marked	17 (13.9)	12 (10.2)
Total	122	118

Donovan et al., R&O, 2007  
Pignol et al., JCO, 2008

# Fox Chase experience, Median F/U 31 mo, 946 women 46 + 16 Gy

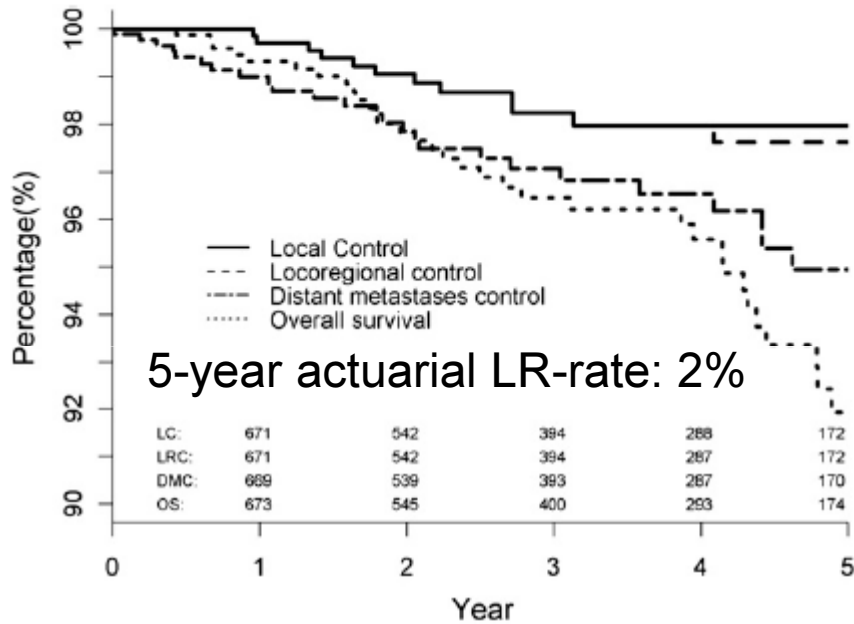


Fig. 1. Actuarial local control, locoregional control, distant metastasis, and overall survival after whole-breast IMRT.

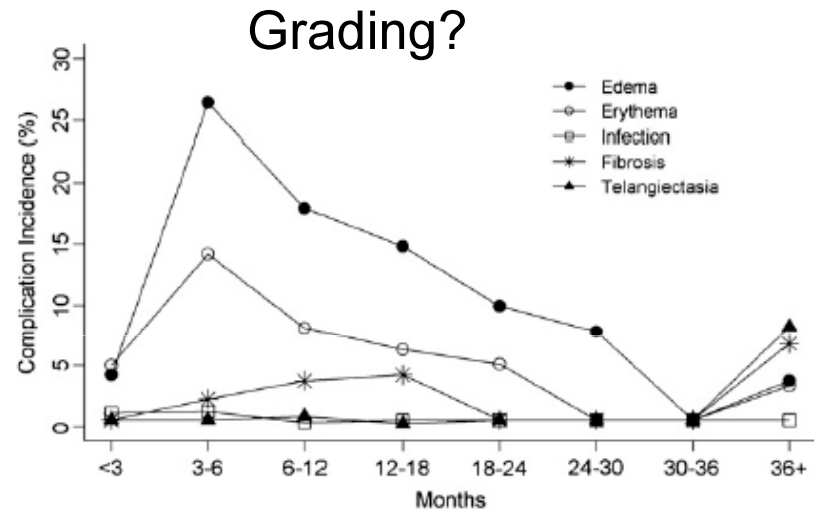


Fig. 2. Treatment-related side effects after whole-breast intensity-modulated radiation therapy (IMRT). Treatment-related effects observed in follow-up after whole breast IMRT, as recorded from the start of breast IMRT.

Keller et al., IJROBP, 2013

# Scatter Reduction with tangential IMRT

**Table 2:** Dose to various organs for various breast radiotherapy techniques.

Technique	PBSI	HDR (catheters)	Wedge	IMRT	3D-CRT
Treated Breast	90 Gy	34 Gy	50 Gy	50 Gy	38.5 Gy
Contralateral Breast	2.2 mSv	230 mSv	1695 mSv	206 mSv	140 mSv
Spleen	44 mSv	1171 mSv	2300 mSv	810 mSv	130 mSv
Ipsilateral lung	790 mSv	2471 mSv	582 mSv	121 mSv	80 mSv
Heart (LAD)	0.7 Gy	3.6 Gy	2.7 Gy	1.1 Gy	0.7 Gy

Pignol et al., 2011



# Peripheral dose after 2D, 3D and Tangential IMRT



Fig. 1. All delineated organs on the slices of the whole-body CT dataset are represented above in three dimensions.

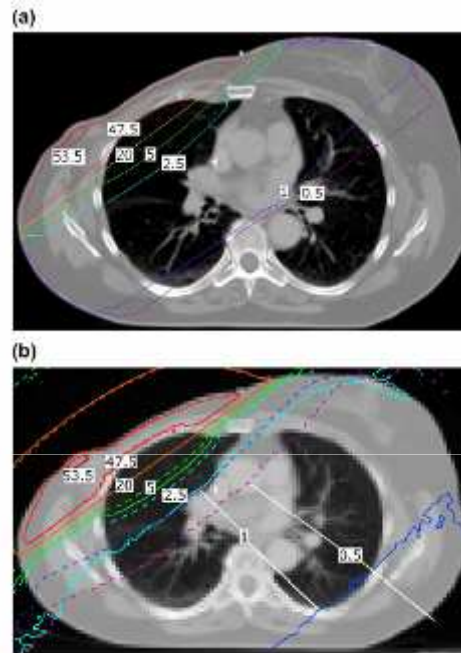
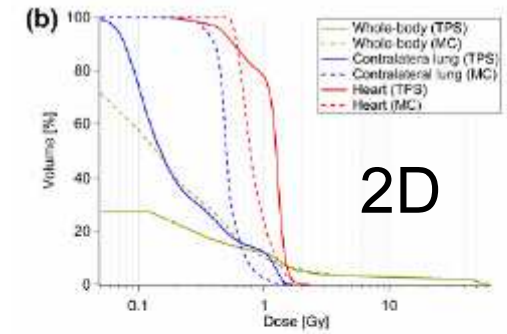
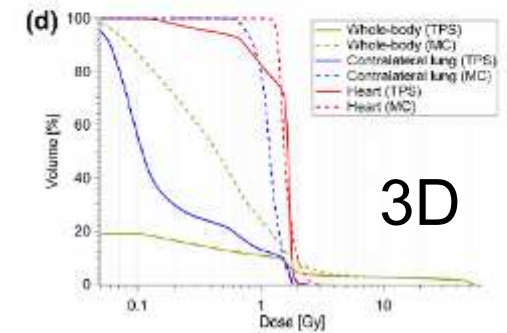


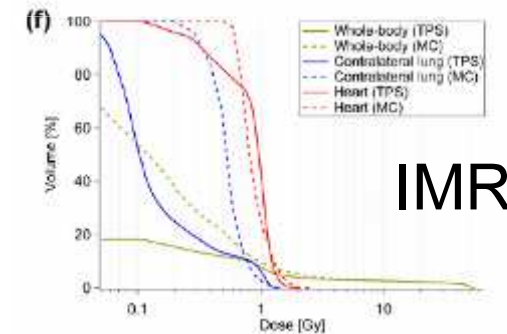
Fig. 2. Isodoses in Gy are shown for the 3DCRT plan of the chest wall for (a) TPS calculation (b) MC calculation. For the MC isodoses, full lines refer to the full MC simulations and dashed lines refer to MC simulations where the out-of-field fluence of the beam has been removed from the simulations using the LATCH option.



2D



3D



IMRT

*“In sharp contrast to popular belief, the IMRT technique investigated here does not increase the out-of-field dose compared to conventional techniques and may offer the most optimal plan.”*

Joosten et al., R&O, 2013

# Tangential (!!!) IMRT vs. DIBH

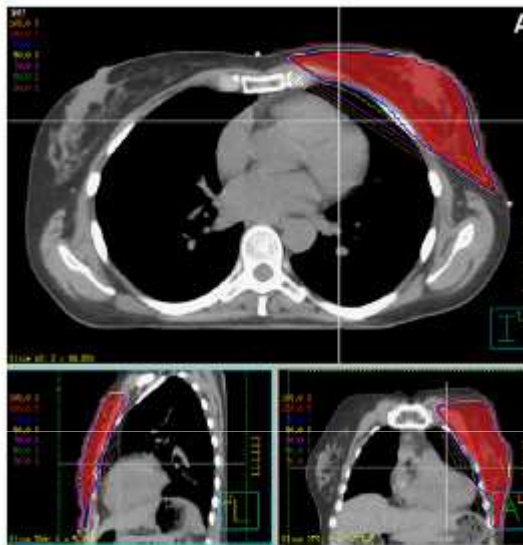


Fig. 1. A representative FB-IMRT isodose plan (A) and 3D-DIBH isodose plan (B) 3-dimensional location in the patient. The axial image is obtained at the T7 vertebral level.

Reardon et al.,  
Med Dosim, 2013

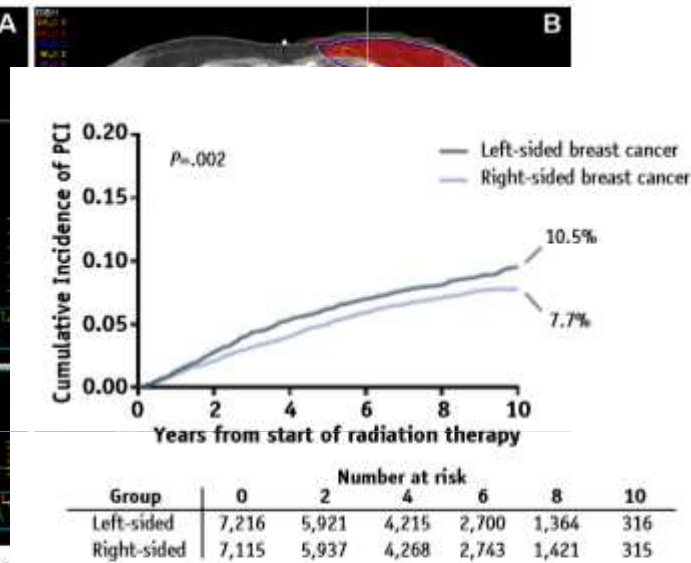


Fig. 2. Cumulative incidence of percutaneous coronary intervention (PCI) for left- and right-sided breast cancer after radiation therapy for patients with high cardiac risk. The number of patients at risk is shown below. Gray's test of equality was used to evaluate a significant difference between groups.

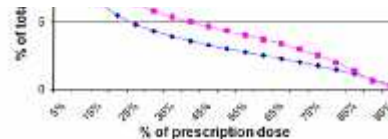
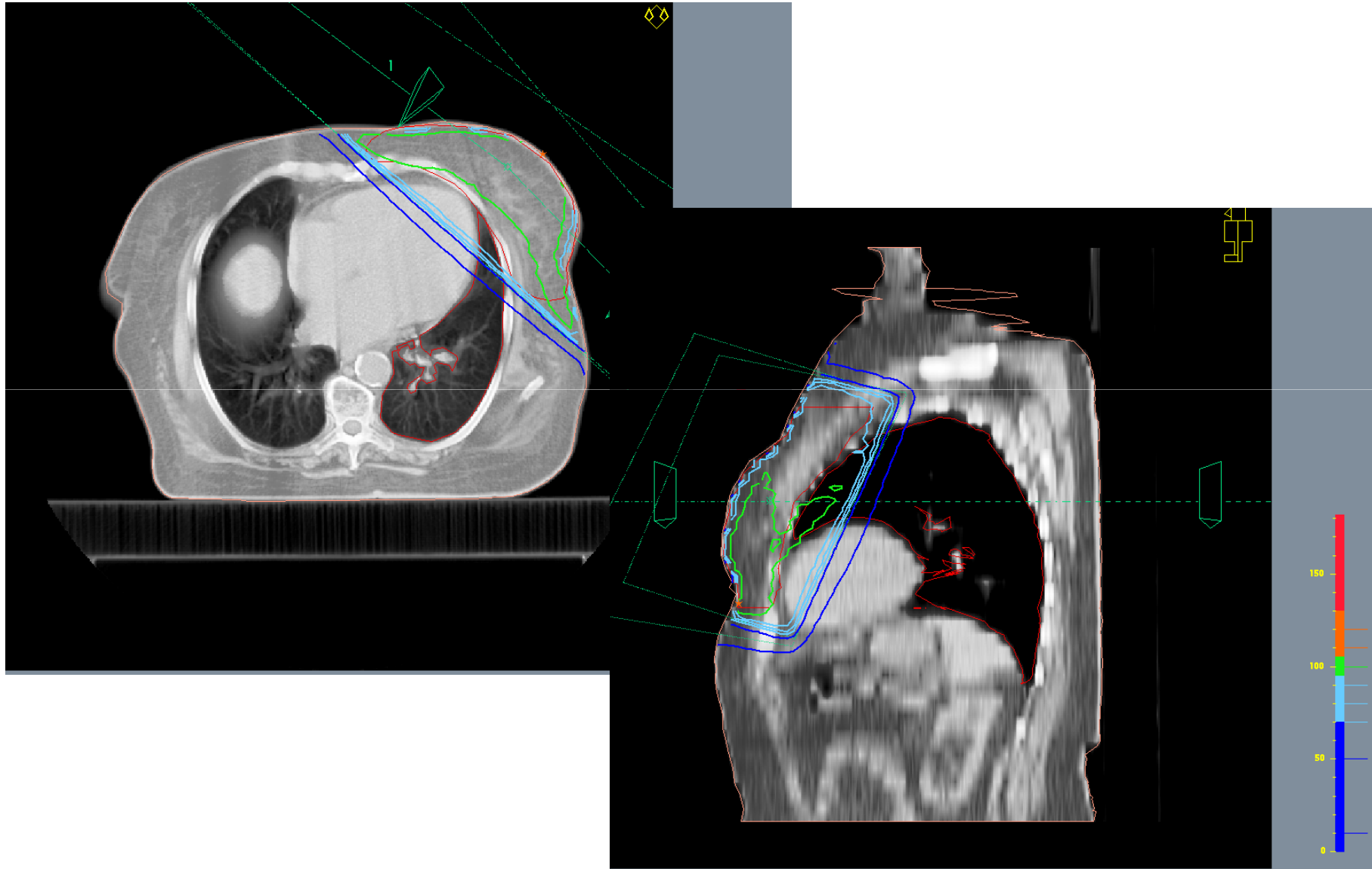
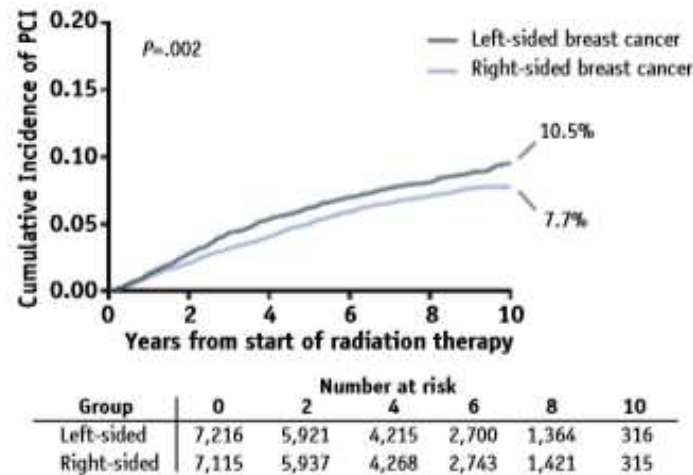


Fig. 2. Graphic comparisons of percent of mean volume of heart (A), LAD (B), total lung (C), and right breast (D) irradiated at different dose levels. Dose levels correspond to the percent of total dose prescribed. In all 4 figures, the blue-diamond line represents the IMRT plan and the pink-square line represents the DIBH plan.

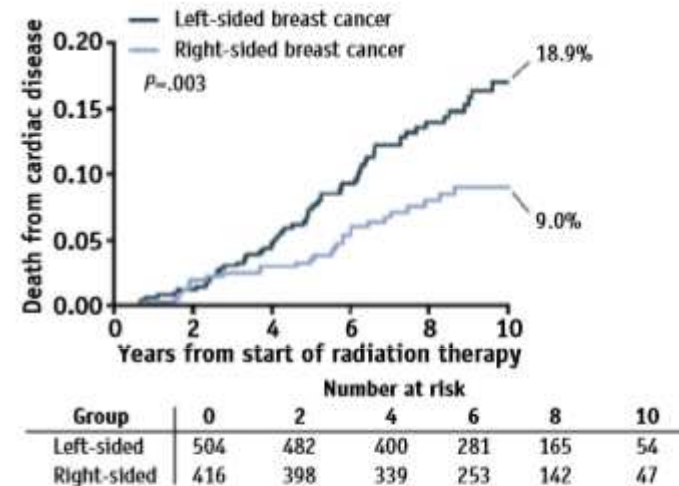
## 2. „Full“, Multifield IMRT for left heart sparing



# Is cardiotoxicity still a problem? In some situations apparently..... SEER 2000-2009



**Fig. 2.** Cumulative incidence of percutaneous coronary intervention (PCI) for left- and right-sided breast cancer after radiation therapy for patients with high cardiac risk. The number of patients at risk is shown below. Gray's test of equality was used to evaluate a significant difference between groups.



**Fig. 3.** The incidence of cardiac-associated mortality for left- and right-sided breast cancer patients who received percutaneous coronary intervention (PCI) after radiation therapy (n=920). The number of patients at risk is shown below. Gray's test of equality was used to evaluate a significant difference between groups.

Boero, IJROBP, 2016

# Distribution of Coronary Artery Stenosis After Radiation for Breast Cancer

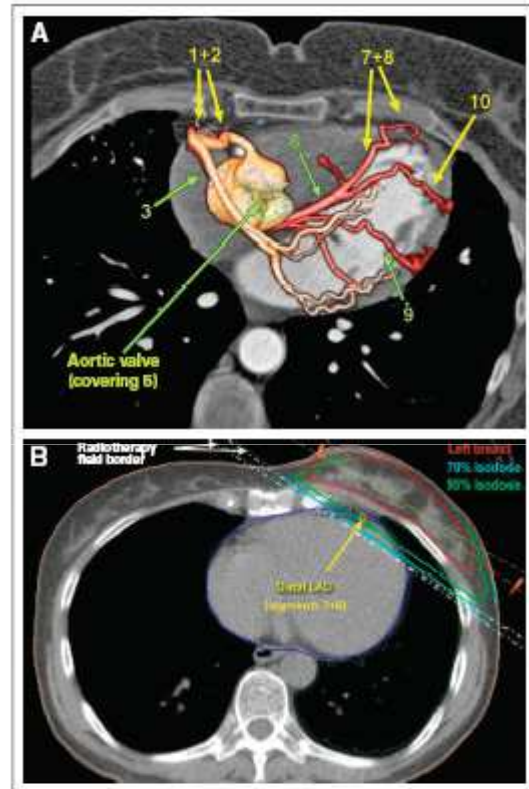


Fig 2. (A) Coronary angiogram superimposed on computed tomography (CT) of heart illustrating anatomy of coronary arteries with branches of right coronary artery (orange) and left circumflex and left anterior descending (LAD) arteries (red); numbered arrows indicate segments. (B) CT dose-planned left tangential breast irradiation showing distal LAD (yellow circle) and radiation fields.

Stenosis Grade	Patients With BC					
	All Segments			Hotspot Areas		
	LSMEANS Estimate	OR	95% CI	LSMEANS Estimate	OR	95% CI
1-5						
Low-risk RT/no RT	0.18	Ref		0.25	Ref	
High-risk RT	0.27	1.68	1.10 to 2.57	0.38	1.85	1.17 to 2.93
2-5						
Low-risk RT/no RT	0.08	Ref		0.12	Ref	
High-risk RT	0.10	1.25	0.80 to 1.94	0.16	1.33	0.83 to 2.13
3-5						
Low-risk RT/no RT	0.06	Ref		0.07	Ref	
High-risk RT	0.09	1.61	1.00 to 2.59	0.12	1.90	1.11 to 3.24
4-5						
Low-risk RT/no RT	0.04	Ref		0.04	Ref	
High-risk RT	0.08	2.06	1.21 to 3.51	0.08	1.87	1.14 to 3.09

Abbreviations: BC, breast cancer; LSMEANS, least-squares means; OR, odds ratio; Ref, reference; RT, radiotherapy.

Nilsson, JCO, 2012

# Supine Breast Movement – intra- and interfraction

**Table 5.** Combined results for the magnitude of intra-fraction motion in breast cancer patients

Parameter (mm)	Combined results		
	Range of average movement – 1SD	Average movement – 1SD	Range of maximum deviation†
CLD (five articles) <sup>5,7,39,47,49</sup>	0.7–1.8	1.19	1.5–13.1
CBESD (five articles) <sup>5,7,39,47,49</sup>	0.73–2.1	1.26	1.6–14.9
CCD (three articles) <sup>5,47,49</sup>	0.9–3.2	1.82	2.0–25.6

†Fein *et al.*<sup>7</sup> and Kron *et al.*<sup>39</sup> do not report a maximum deviation.

CBESD, central beam edge to skin distance; CCD, cranio-caudal distance; CLD, central lung distance; SD, standard deviation.

**Table 6.** Combined results for the magnitude of inter-fraction motion (random error) in breast cancer patients

Parameter (mm)	Combined results		
	Range of average movement – 1SD	Average movement – 1SD	Range of maximum deviations†
CLD (eight articles) <sup>5,7,39,40,45–47,49</sup>	1.7–4.4	2.21	2.6–11.6
CIW (three articles) <sup>46,47,49</sup>	0.81–2.9	1.9	3.6–18.2
CBESD (six articles) <sup>5,7,39,46,47,49</sup>	0.63–4.4	2.20	3.05–15.6
CCD (five articles) <sup>5,45–47,49</sup>	0.6–4.0	2.6	3.6–22.9
CBD (three articles) <sup>7,39,40</sup>	2.62–3.7	3.18	NA

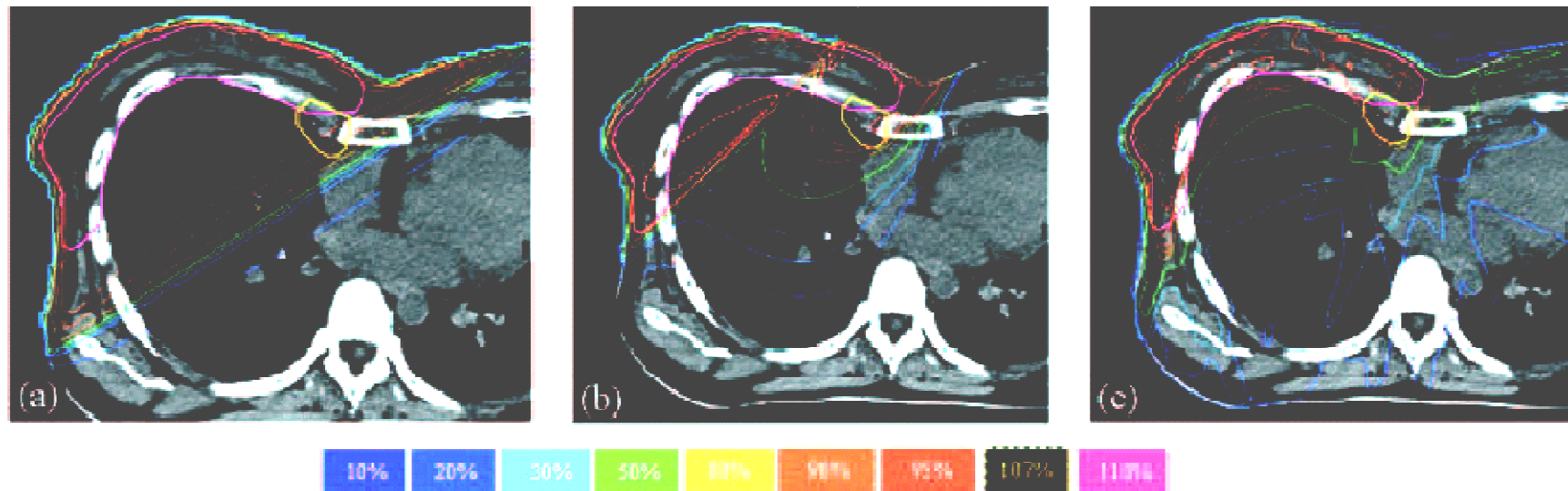
†Fein *et al.*,<sup>7</sup> Kron *et al.*,<sup>39</sup> Pradier *et al.*<sup>46</sup> and Koseoglu *et al.*<sup>40</sup> do not report a maximum deviation.

CBESD, central beam edge to skin distance; CBD, central breast distance; CCD, cranio-caudal distance; CIW, central irradiated width; CLD, central lung distance; SD, standard deviation.

Michalski et al.,  
JMIRO, 2013

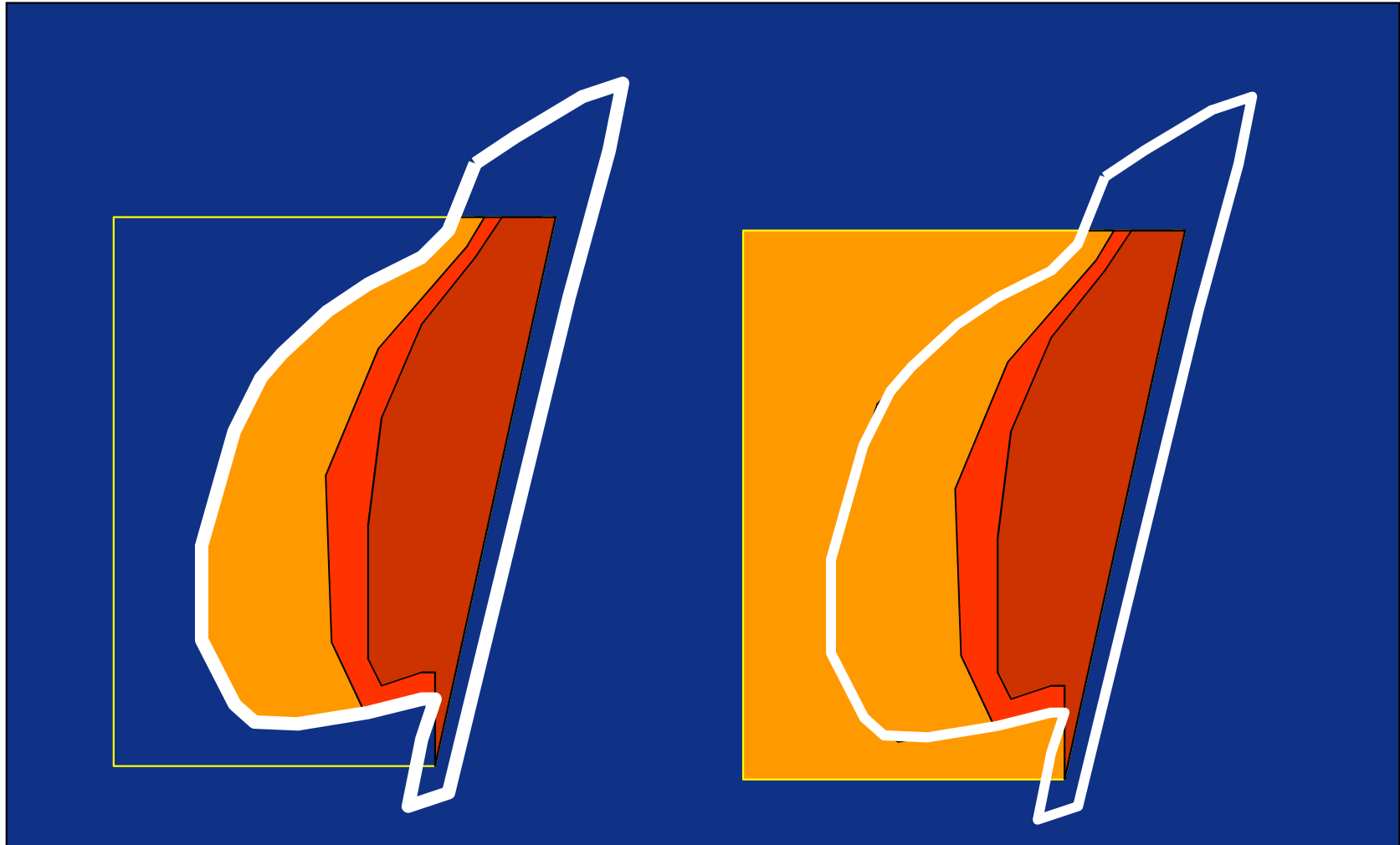
## Invers geplante intensitätsmodulierte Strahlen- behandlung bei einer Patientin mit rechtsseitigem Mammakarzinom und Trichterbrust

Christoph Thilmann<sup>1</sup>, Angelika Zabel<sup>1</sup>, Sabine Kuhn<sup>1</sup>, Rolf Bendl<sup>3</sup>, Bernhard Rhein<sup>1</sup>,  
Michael Wannemacher<sup>2</sup>, Jürgen Debus<sup>1,2</sup>

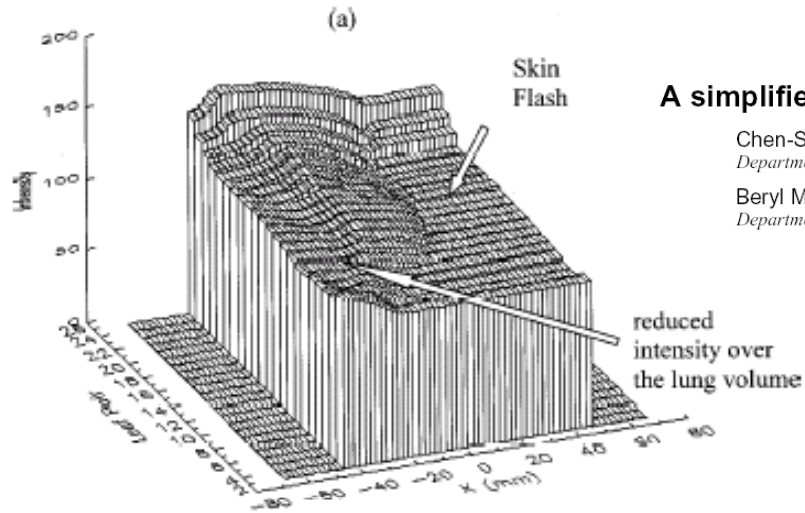


**Abbildung 1.** Vergleich der Dosisverteilung: a) konventionelle Technik mit tangentialen 6-MV-Keilfilter-Feldern, b) konventionelle Technik mit zusätzlichem 15-MeV-Elektronenfeld, c) IMRT mit zwölf intensitätsmodulierten 6-MV-Photonenfeldern mit sechs Intensitätsstufen (102 Segmente).

# Extension of Dose Matrix to cover „flash“ region







## A simplified intensity modulated radiation therapy technique for the breast

Chen-Shou Chui,<sup>a)</sup> Linda Hong, and Margie Hunt

*Department of Medical Physics, Memorial Sloan-Kettering Cancer Center, New York, New York 10021*

Beryl McCormick

*Department of Radiation Oncology, Memorial Sloan-Kettering Cancer Center, New York, New York 10021*

Medical Physics, Vol. 29, No. 4, April 2002

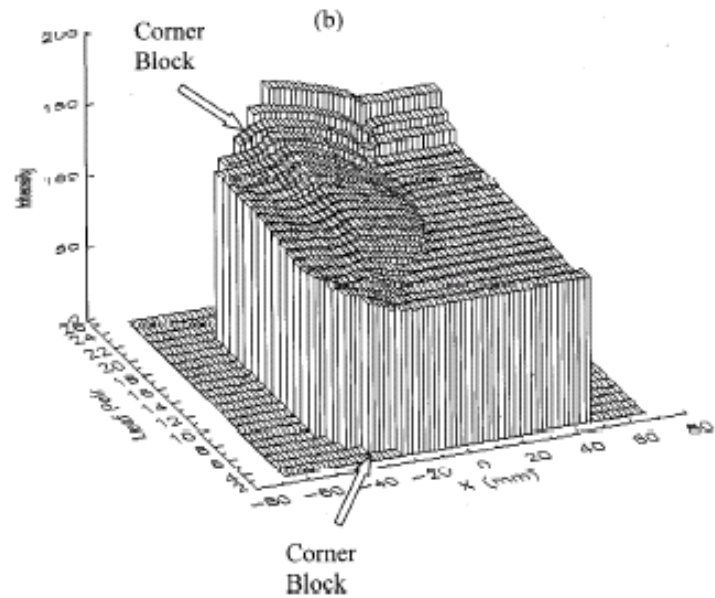


FIG. 2. (a) Intensity distribution for one of the tangential fields. In the skin flash region, the intensity is flat. (b) Corner blocks were used to define the shape of the distribution.

# Virtual Bolus

Strahlentherapie  
und Onkologie

Originalarbeit



**Abbildung 1.** Festlegung von Bolus und CTV zur inversen Optimierung: Der Bolus wird nur zur inversen Bestrahlungsplanung verwendet. Die abschließende Dosisberechnung und auch die Bestrahlung erfolgen ohne Bolus: a) Bolus der Dicke von 10 mm (Dichte =  $-60$  HE); b) CTV gemäß EORTC.

**Fragestellung:** Die intensitätsmodulierte Strahlenbehandlung (IMRT) verspricht eine verbesserte Schonung von Risikostrukturen. Wir untersuchten, in welcher Form eine inverse Bestrahlungsplanung zur IMRT der Restbrust beim Mammakarzinom durchführbar ist.

**Methodik:** Neben einer Bestrahlungsplanung in konventioneller Technik mit tangentialen 6-MV-Keilfilter-Feldern wurde eine IMRT-Bestrahlungsplanung mit inverser Planoptimierung (KonRad®) durchgeführt. Im Planungs-CT wurde ein Bolus von 10 mm Dicke und einer Dichte von  $-60$  HE definiert. Der Einfluss des Bolus auf die Planoptimierung wurde bestimmt, indem die Optimierung ohne Bolus, die nachfolgende Dosisberechnung ohne und mit Bolus durchgeführt wurden. Um den Einfluss des Bolus auf die Dosisberechnung zu bestimmen, erfolgte nach Optimierung mit Bolus eine Dosisberechnung ohne Bolus und mit verschiedenen Bolusdicken. Die Planungsergebnisse wurden jeweils mit der Dosisverteilung einer konventionellen Bestrahlung verglichen.

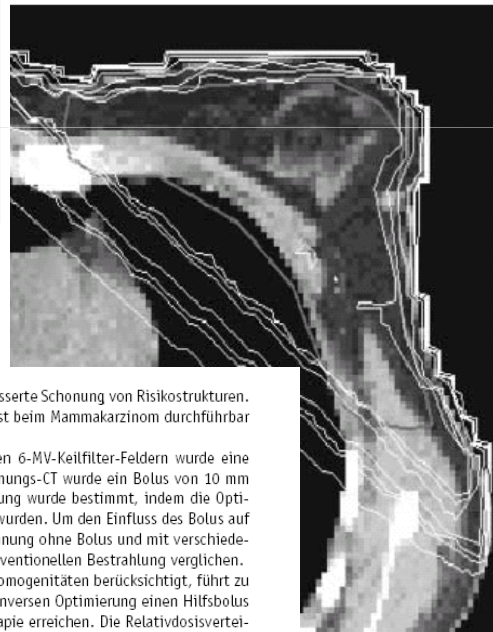
**Ergebnisse:** Die inverse Planungsoptimierung mit einem Dosisalgorithmus, der Gewebehomogenitäten berücksichtigt, führt zu einer erhöhten Hautbelastung. Diese lässt sich reduzieren, indem man ausschließlich zur inversen Optimierung einen Hilfsbolus verwendet. Es lässt sich damit eine Hautschonung entsprechend der konventionellen Therapie erreichen. Die Relativedosisverteilung bleibt von der Verwendung des Bolus bei einer Dicke von 10 mm weitgehend unbeeinflusst. Die Absolutdosis unterscheidet sich bei Dosisberechnung mit und ohne Bolus um 3,4%. Daher muss der Bolus im Sinne eines virtuellen Bolus vor der abschließenden Dosisberechnung wieder entfernt werden.

**Schlussfolgerungen:** Zur inversen Optimierung einer IMRT der Restbrust ist ein virtueller Bolus erforderlich. Damit ist eine IMRT-Bestrahlung gemäß Konsensus von EORTC, BCCG und EUSOMA (1991) möglich. Insbesondere kann die gleiche Zielvolumendefinition wie bei der konventionellen Therapie verwendet werden. Es sind hiermit IMRT-Techniken in konventioneller Feldgeometrie und in Vielfeldertechnik realisierbar.

**Schlüsselwörter:** Mammakarzinom · IMRT · Inverse Bestrahlungsplanung

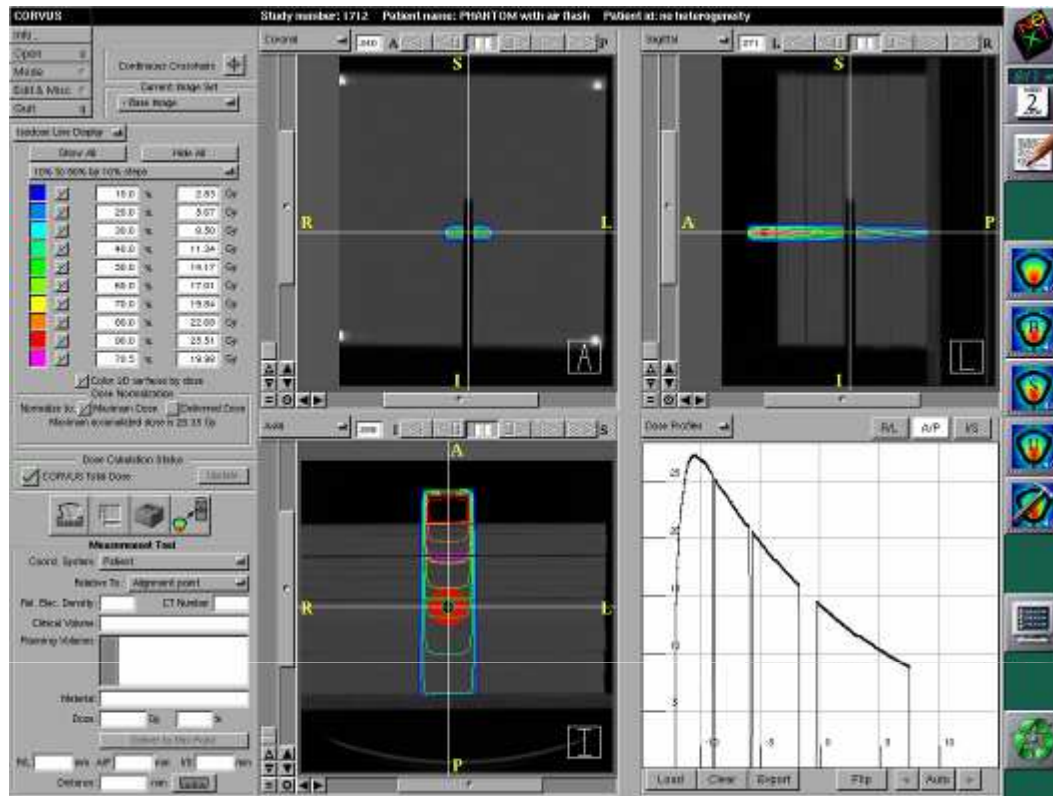
## Virtueller Bolus zur inversen Bestrahlungsplanung bei intensitätsmodulierter Radiotherapie des Mammakarzinoms im Rahmen der adjuvanten Therapie

Christoph Thilmann<sup>1</sup>, Karl Heinz Grosser<sup>1</sup>, Bernhard Rhein<sup>1</sup>, Angelika Zabel<sup>1</sup>, Michael Wannemacher<sup>2</sup>, Jürgen Debus<sup>1,2</sup>

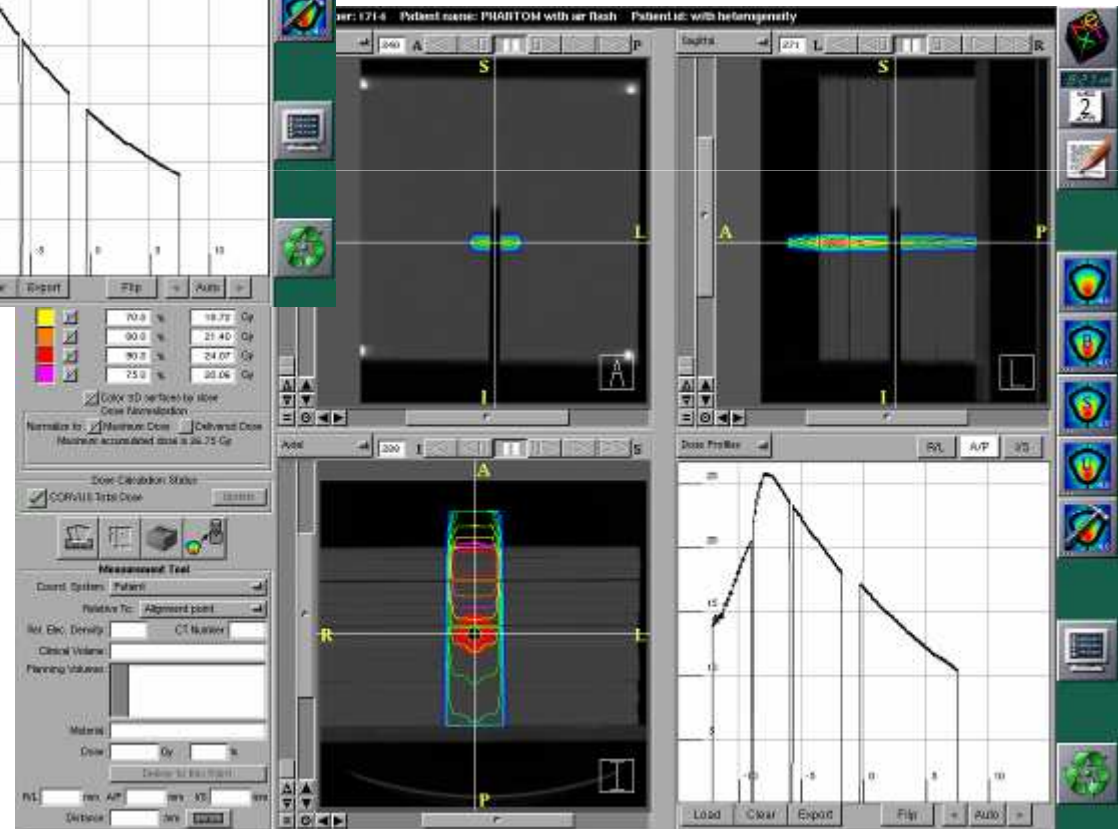


**Abbildung 3b – Figure 3b**

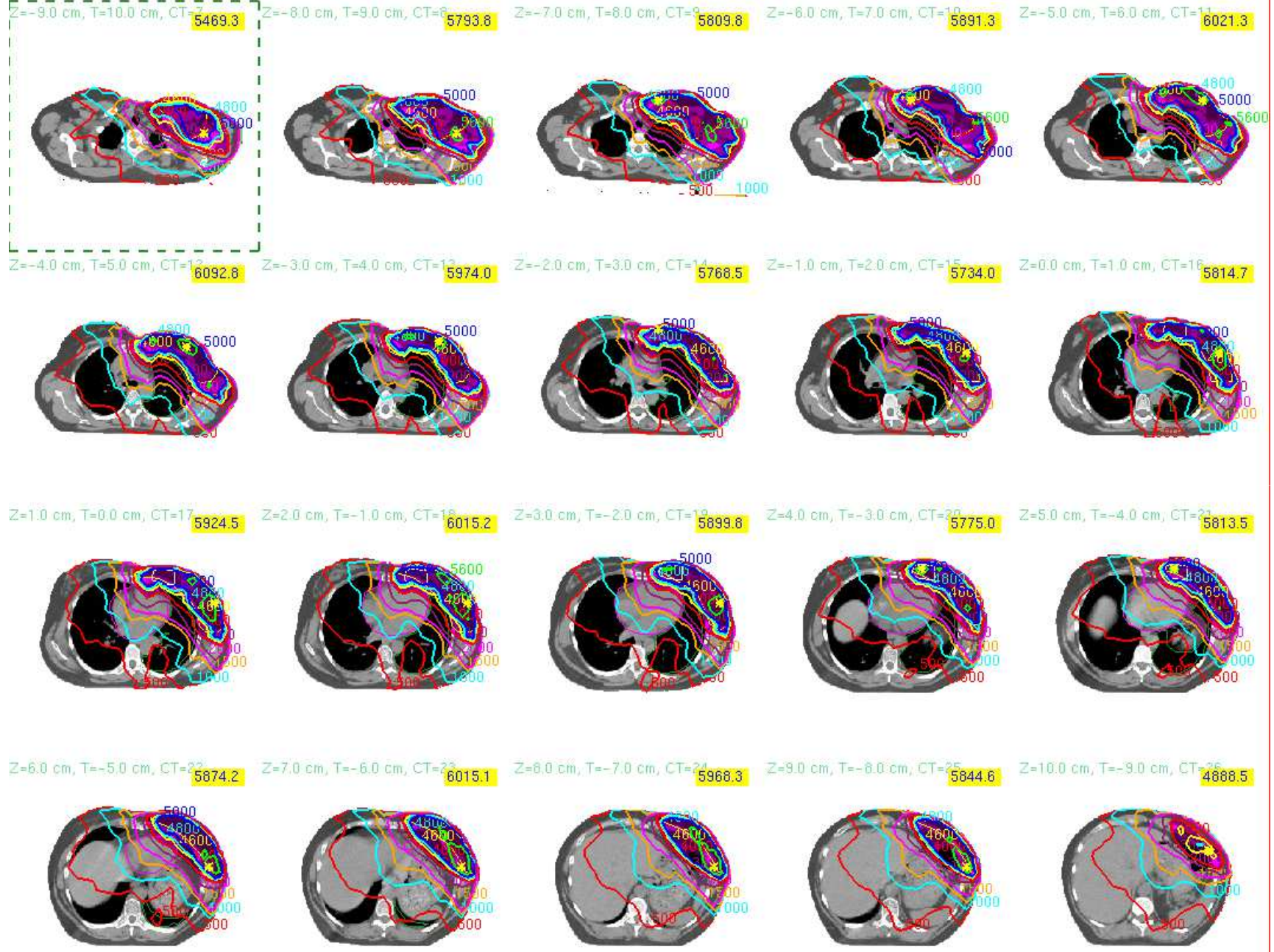
eilung bei inverser Optimierung ohne Bolus bei IMRT mit zwei tangentialen Feldern: a) inverse Optimierung ohne Bolus, Dosisberechnung mit 10-mm-Bolus. Dargestellte Isodosen: 10%, 20%, 30%, 40%, 50%, 60%, 70%, 80%, 90%, 100% (rel).

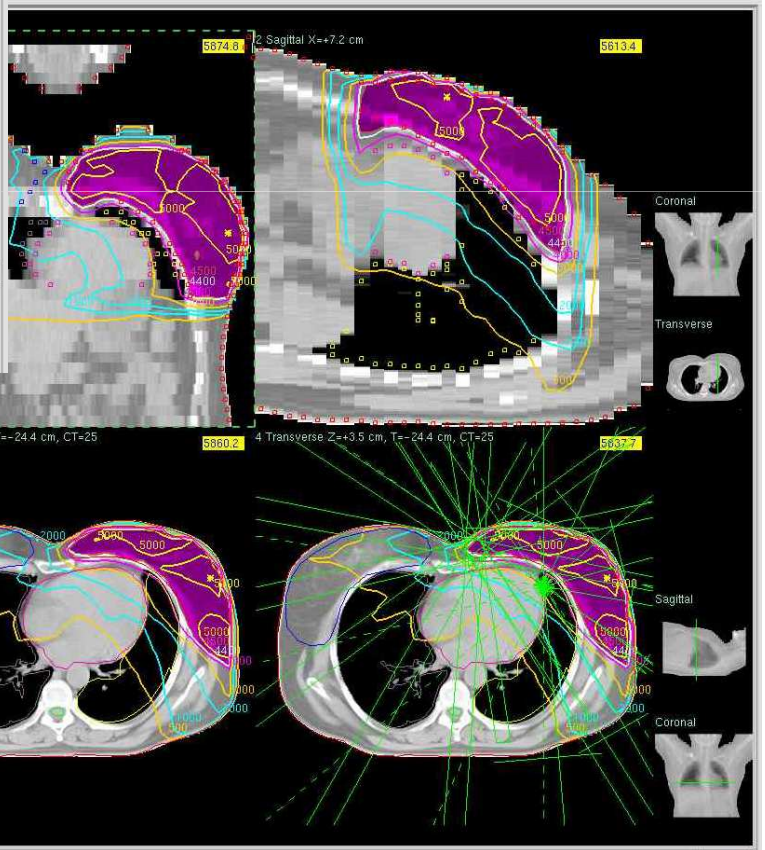
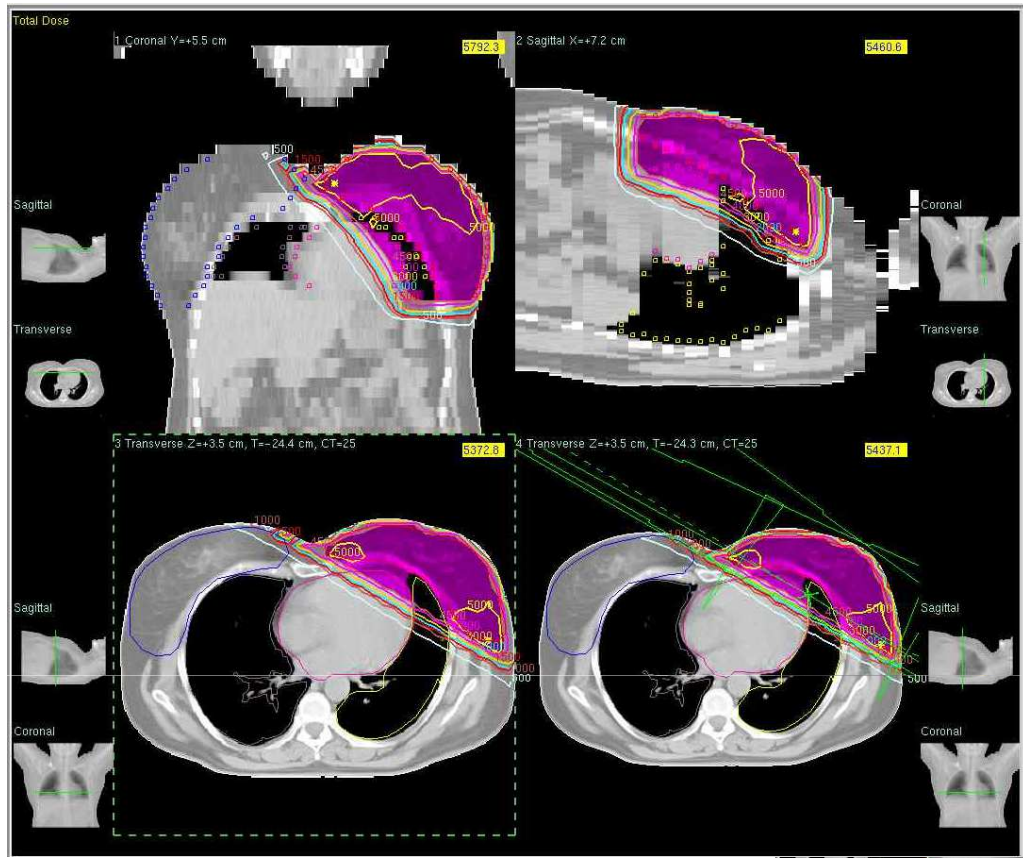


Erroneous Display  
of Buildup in Air



Total Dose

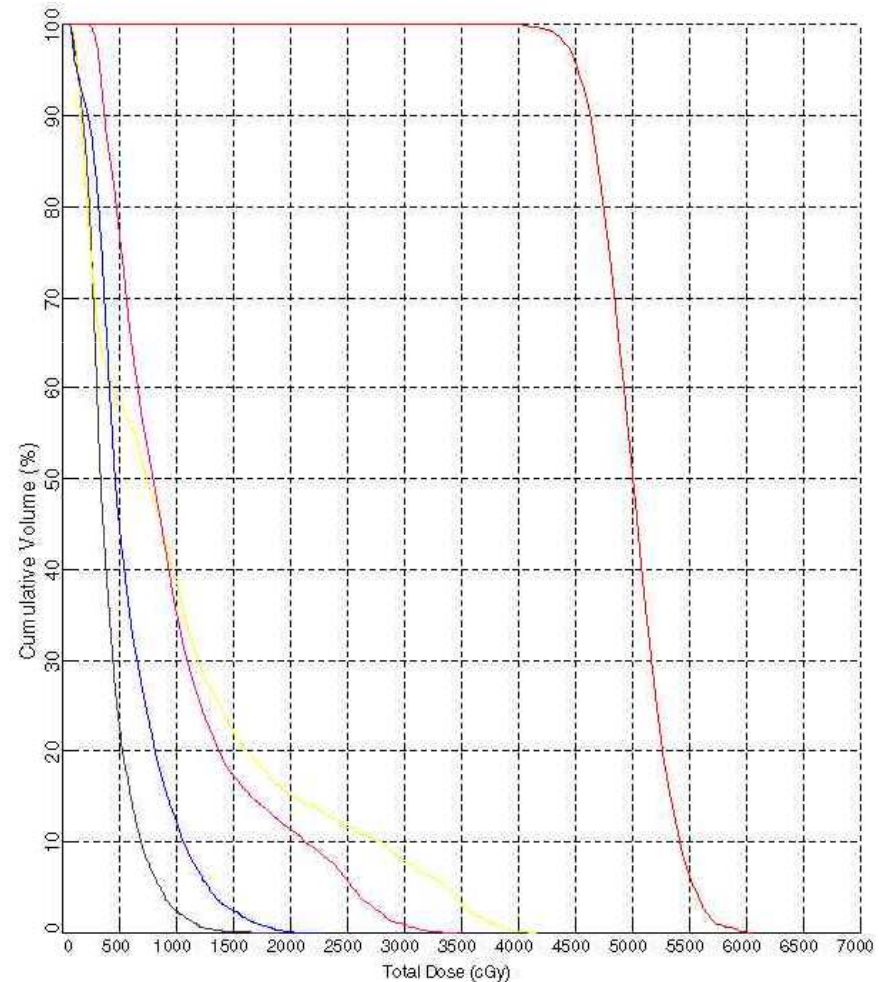
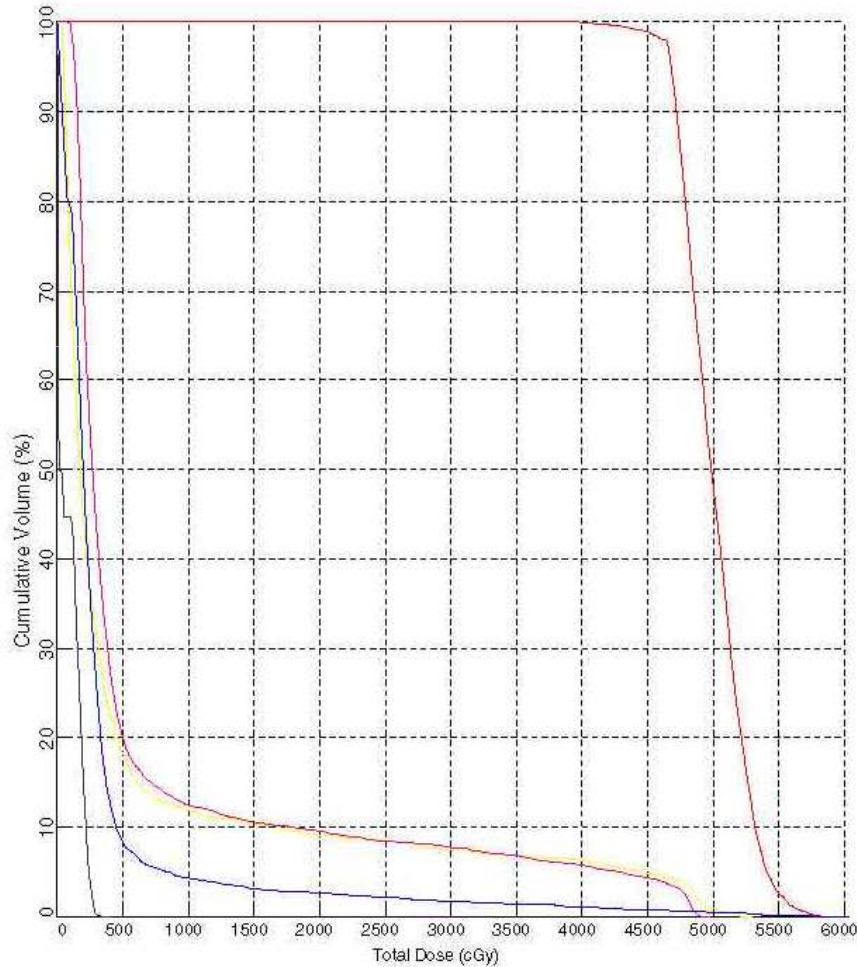




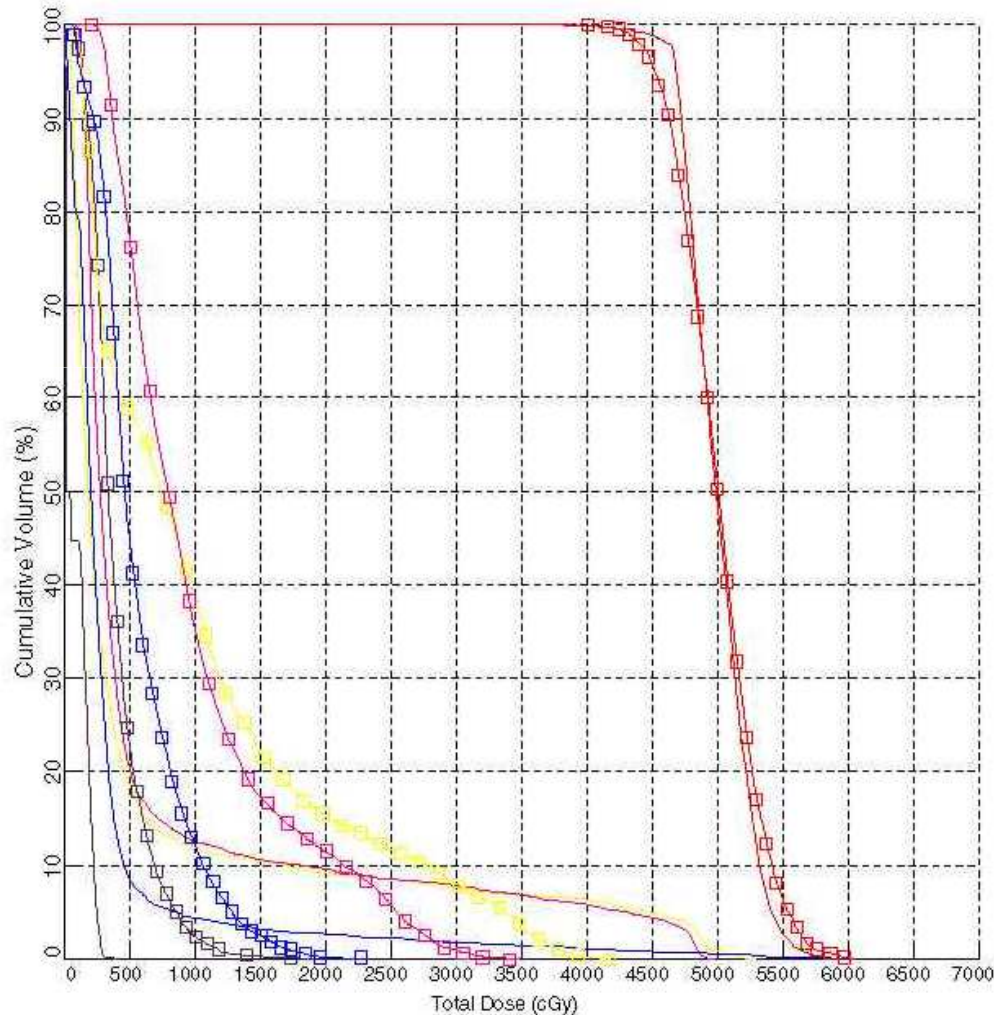
# Breast IMRT reduces Maximum dose to the heart at the expense of higher low dose exposure and a higher dose to the contralateral breast

Key	Structure	Plan	Min Dose (cGy)	Max Dose (cGy)	Mean Dose (cGy)	Total Vol. (cc)
	Lunge re	Bogges breast_study (09)	0	395	83	1065.0
	Lunge li	Bogges breast_study (09)	26	5242	605	816.4
	Heart	Bogges breast_study (09)	90	4929	675	564.8
	Lt Breast	Bogges breast_study (09)	3817	5914	5003	1243.0
	R. Breast	Bogges breast_study (09)	0	5981	323	1239.9

Key	Structure	Plan	Min Dose (cGy)	Max Dose (cGy)	Mean Dose (cGy)	Total Vol. (cc)
	Lunge re	Bogges breast_study (14)	56	1863	390	1065.0
	Lunge li	Bogges breast_study (14)	66	4105	1023	816.4
	Heart	Bogges breast_study (14)	223	3413	991	564.8
	Lt Breast	Bogges breast_study (14)	3691	6016	5009	1235.6
	R. Breast	Bogges breast_study (14)	61	2274	563	1239.9

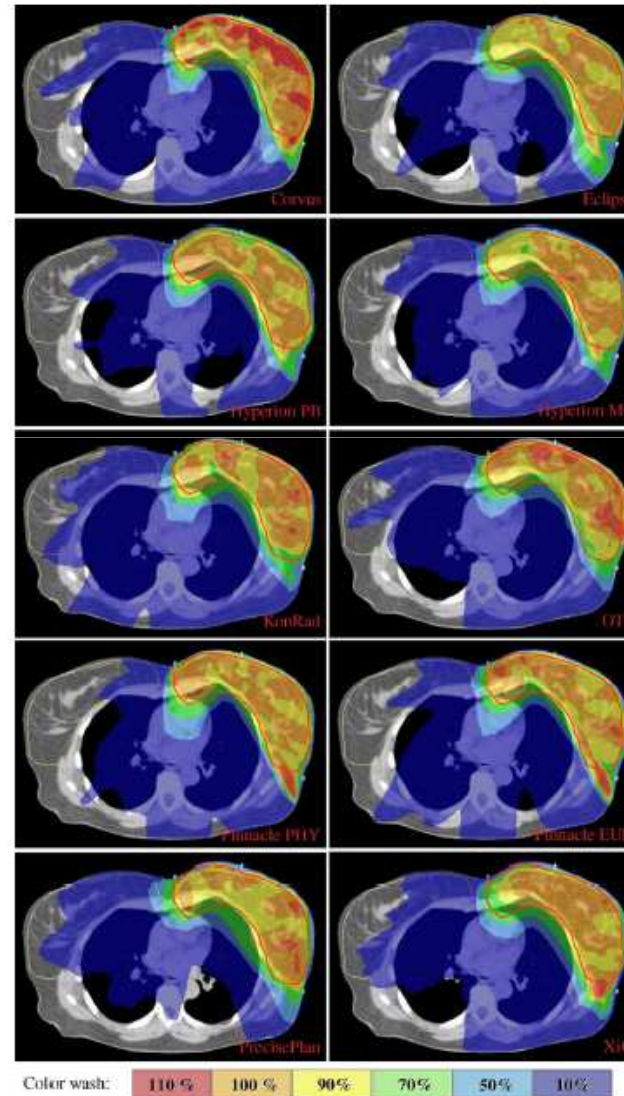
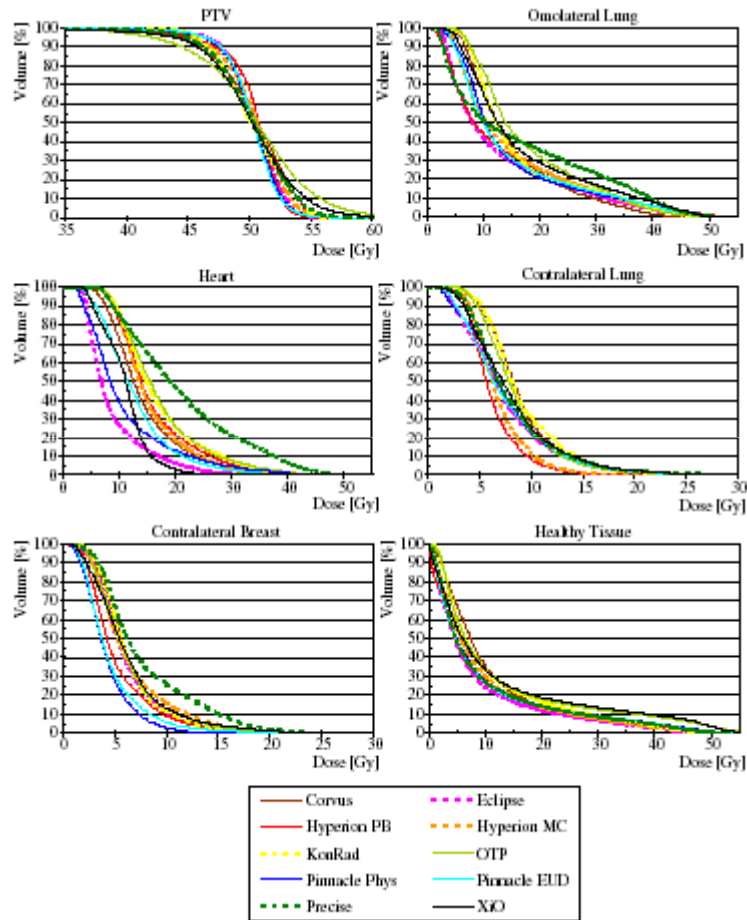


Key	Structure	Plan	Min Dose (cGy)	Max Dose (cGy)	Mean Dose (cGy)	Total Vol. (cc)
—	Lunge re	Boguess_breast_study(09)	0	395	83	1065.0
—	Lunge li	Boguess_breast_study(09)	26	5242	605	816.4
—	Heart	Boguess_breast_study(09)	90	4929	675	564.8
—	Lt Breast	Boguess_breast_study(09)	3817	5914	5003	1243.0
—	R Breast	Boguess_breast_study(09)	0	5981	323	1239.9
—	Lunge re	Boguess_breast_study(14)	56	1863	390	1065.0
—	Lunge li	Boguess_breast_study(14)	66	4105	1023	816.4
—	Heart	Boguess_breast_study(14)	223	3413	991	564.8
—	Lt Breast	Boguess_breast_study(14)	3691	6016	5009	1235.6
—	R Breast	Boguess_breast_study(14)	61	2274	563	1239.9



*“The model-based reduction of the probability for excess therapy-associated cardiac death risk was from **6.03%** for the 3D plans to **0.25%** for the IMRT plans.”*

# Current status of breast IMRT



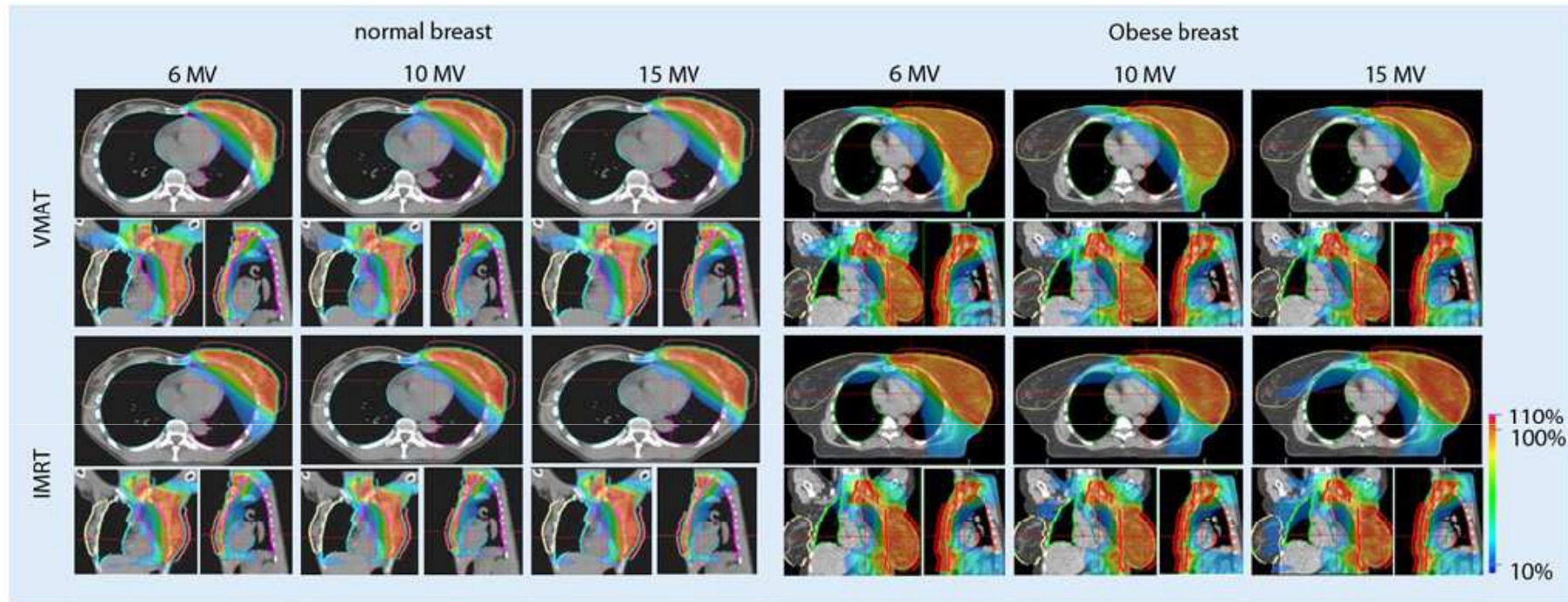
## IMRT for breast. A planning study

A. Fogliata<sup>a</sup>, G. Nicolini<sup>a</sup>, M. Alber<sup>b</sup>, M. Asell<sup>c</sup>, B. Dobler<sup>d</sup>, M. El-Haddad<sup>d,h</sup>,  
 B. Hårdemark<sup>k</sup>, U. Jelen<sup>j</sup>, A. Kania<sup>e</sup>, M. Larsson<sup>k</sup>, F. Lohr<sup>d</sup>,  
 T. Munger<sup>f</sup>, E. Negri<sup>g</sup>, C. Rodrigues<sup>i</sup>, L. Cozzi<sup>a,\*</sup>

Radiother Oncol, 2005



# IMRT vs. VMAT



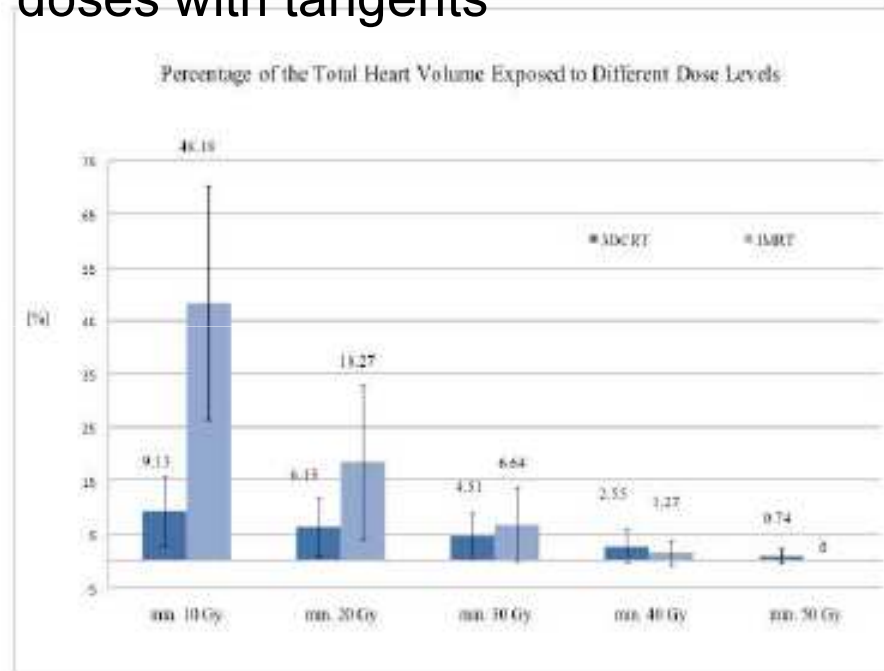
**Fig. 2** ▲ Sample isodose distributions in transverse, coronal and sagittal planes (ArtiView™) for VMAT and IMRT plans of a normal (*left*) and an obese (*right*) patient at 6, 10 and 15 MV

Pasler et al., SUON, 2013

## First clinical data

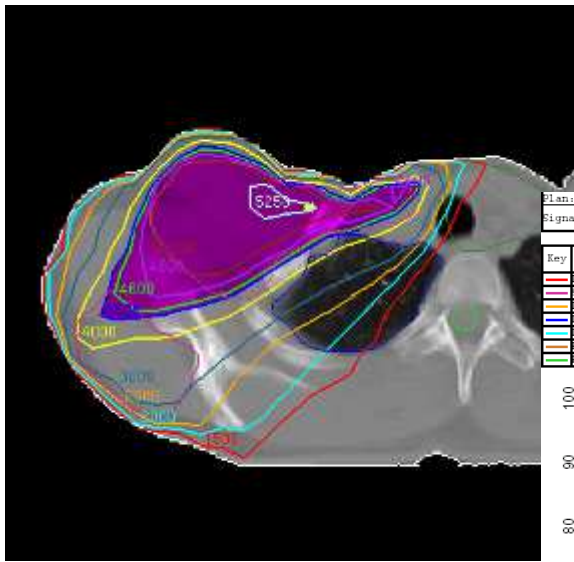
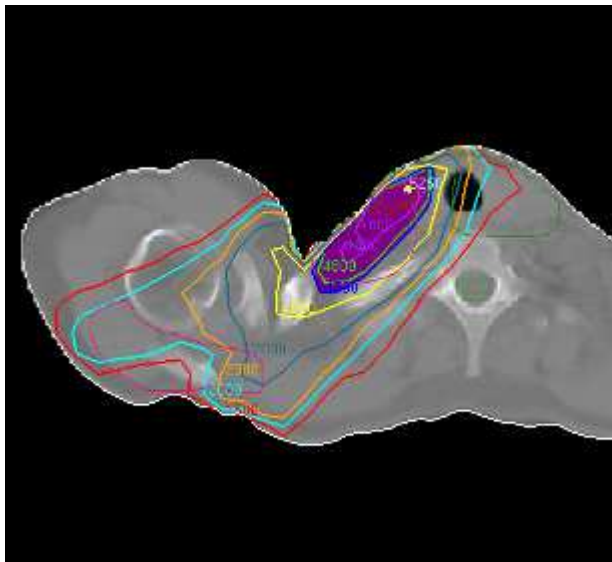
Heggemann et al., IJROBP, 2015

- 3D-CRT in Patients with small heart volumes irradiated with tangents
- IMRT in Patients that would have had large volumes irradiated to high doses with tangents



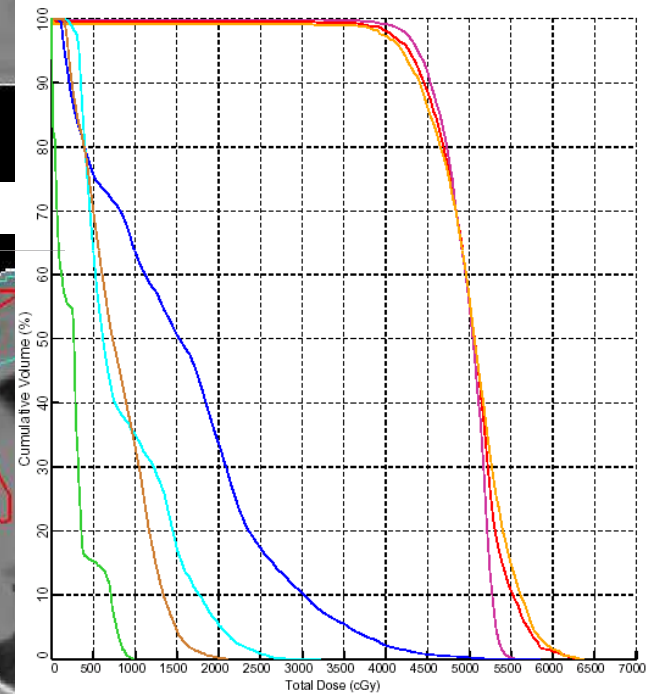
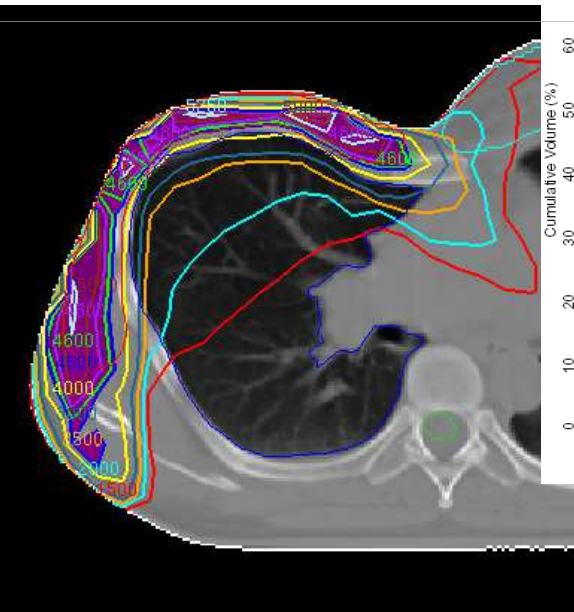
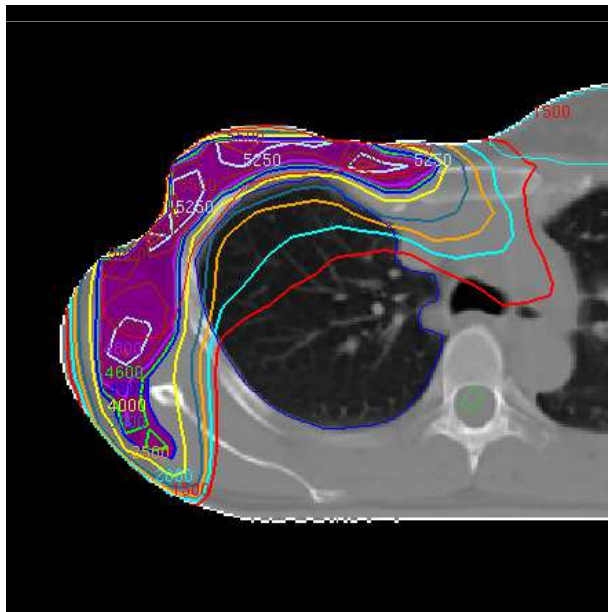
- Noisy data
- In both groups temporary depression of heart function parameters
- In both groups recovery at 2 years
- No relevant difference between groups

Mean Heart dose is potentially not the best parameter  
(ASTRO 2015: in RTOG 0617 V45 was the best predictor.....)



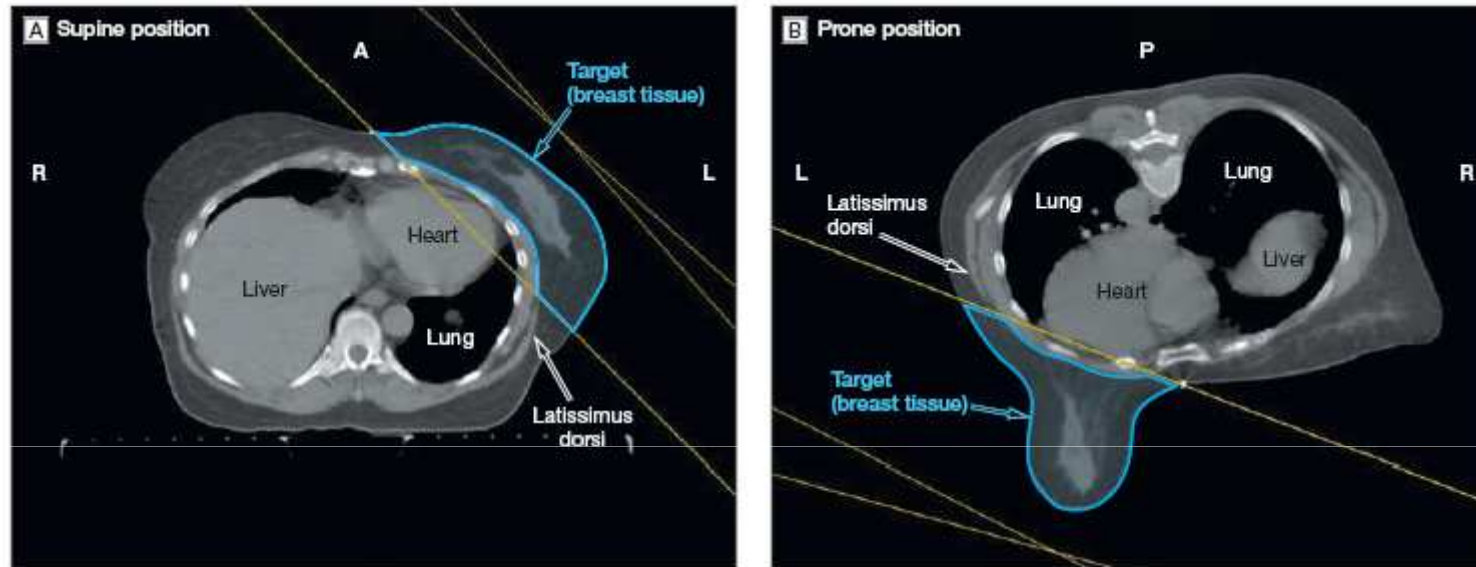
Plan: 37    plan 36 - hot spots out    Plan Date: 23-MAY-2006 22:54  
 Signature: 8 Beams - 35 segments - purged for <3 MUs - Flash region added

Key	Structure	Plan	Min Dose (cGy)	Max Dose (cGy)	Mean Dose (cGy)	Total Vol. (cc)
PTV	Benken Heike(37) Whole PTV		0	6288	5000	774.0
SLN	Benken Heike(37) Supraclavicular LNs		0	5549	4962	261.3
PTV 2	Benken Heike(37) Chest Wall		0	6330	5000	461.3
Lunge re	Benken Heike(37) Ipsilateral Lung		10	5776	1552	1635.0
Breast	Benken Heike(37) Contralateral Breast		0	3188	880	632.5
Heart	Benken Heike(37)		142	2030	783	409.3
SP	Benken Heike(37)		0	962	253	60.3



# Prone vs. Supine

Formenti et al., JAMA, 2012



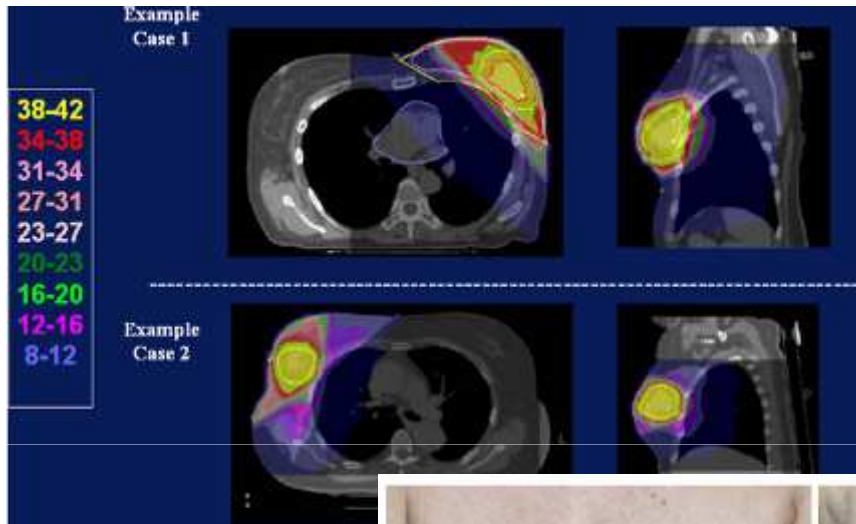
Placing the posterior edge of the fields on a plane connecting the midline to the anterior extent of the latissimus dorsi muscle ensures comparable breast coverage.

**Table.** Differences in Volumes of Heart and Lung Between Supine and Prone Positions by Breast Volume and Right vs Left Breast Cancer

Breast Volume, cm <sup>3</sup>	Right Breast Cancer <sup>a</sup>				Left Breast Cancer						
	No.	In-Field Lung Volume, Mean (95% CI), cm <sup>3</sup>		Difference of Supine Minus Prone <sup>b</sup>	No.	In-Field Lung Volume, Mean (95% CI), cm <sup>3</sup>		Difference of Supine Minus Prone <sup>b</sup>	In-Field Heart Volume, Mean (95% CI), cm <sup>3</sup>		Change From Supine to Prone <sup>b</sup>
		Supine	Prone			Supine	Prone		Supine	Prone	
<750	73	122.80 (106.90 to 138.71)	20.91 (15.54 to 26.29)	101.89 (87.05 to 116.73)	78	90.64 (76.80 to 104.47)	17.56 (10.59 to 24.54)	73.07 (60.42 to 85.72)	3.09 (1.56 to 4.61)	2.60 (1.17 to 4.02)	0.49 (-1.62 to 2.60)
750-1500	91	120.71 (105.31 to 136.11)	17.47 (11.19 to 23.74)	103.24 (88.91 to 117.58)	84	110.44 (94.28 to 126.57)	3.65 (1.93 to 5.38)	106.78 (90.75 to 122.82)	10.38 (7.19 to 13.57)	0.57 (0.22 to 0.92)	9.81 (6.60 to 13.02)
>1500	36	120.20 (84.11 to 156.28)	6.66 (0.96 to 12.36)	113.54 (78.58 to 148.49)	38	88.68 (63.63 to 113.73)	1.81 (-1.55 to 5.17)	86.87 (61.50 to 112.23)	16.80 (8.46 to 25.13)	0	16.79 (8.45 to 25.13)
Total	200	121.38 (110.44 to 132.32)	16.78 (13.15 to 20.41)	104.60 (94.26 to 114.95)	200	96.58 (88.77 to 106.39)	8.73 (5.72 to 11.74)	99.85 (80.16 to 99.55)	8.75 (6.53 to 10.97)	1.25 (0.66 to 1.84)	7.50 (5.16 to 9.85)

<sup>a</sup>There was no in-field heart volume in any of the patients with right breast cancer.  
<sup>b</sup>The 95% CIs are based on paired t statistics.

# Hypofractionation/SIB/APBI-> Watch the Volume



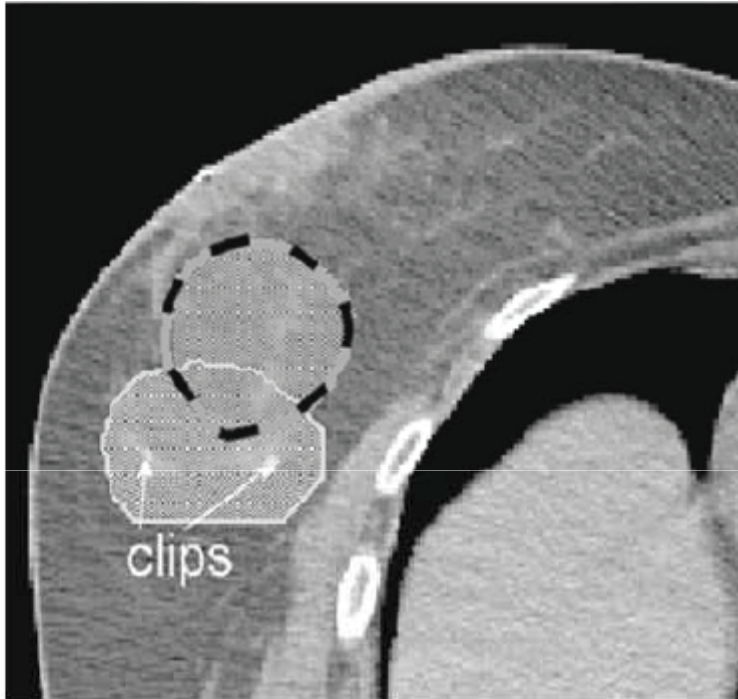
Jagsi et al., IJROBP, 2009



Fig. 3. Visible impairment in cosmesis observed in 3 patients deemed to have unacceptable cosmesis after treatment.

Several Trials with different concepts running (NSABP, IRMA, etc.), results to be expected during the next 2-5 years

## SIB Breast – Localization?



**Fig. 2** Examples in two patients (a and b) of partial breast irradiation. Subclinical doses of the tumor bed PTV marked with clips can be observed, as can be seen from the dose distribution (*black dashed line* corresponding to 40 Gy coverage) and the histograms

Gonzalez Sanchis et al.,  
Clin Transl Oncol, 2013

# SPECT Analysis of Cardiac Perfusion Changes After Whole-Breast/Chest Wall Radiation Therapy With or Without Active Breathing Coordinator: Results of a Randomized Phase 3 Trial

Similar Perfusion Changes in LAD region w and w/o ABC

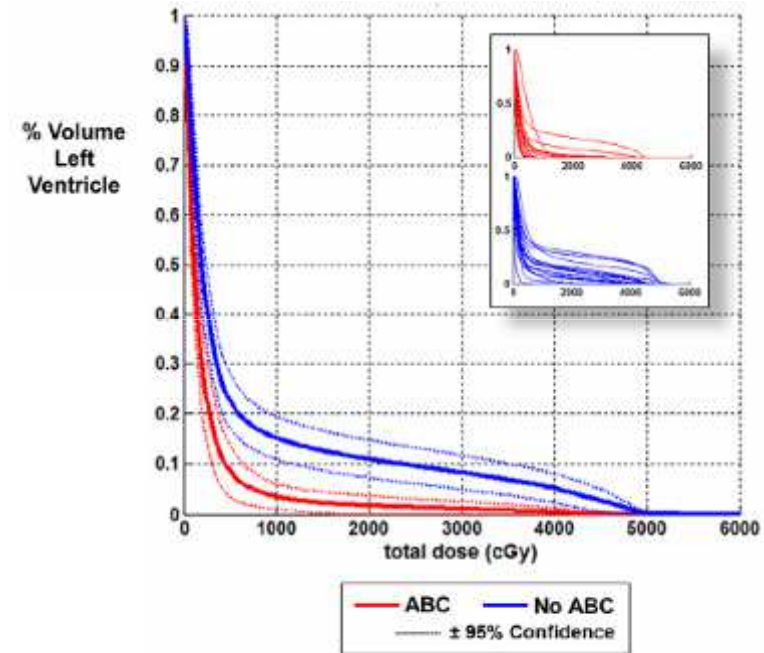
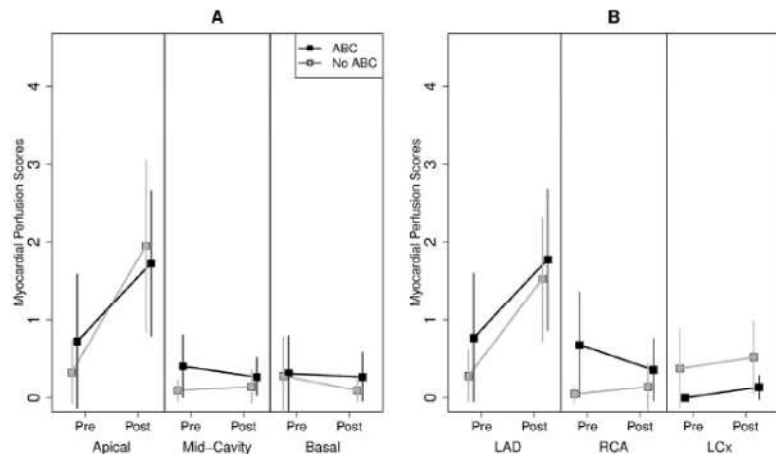


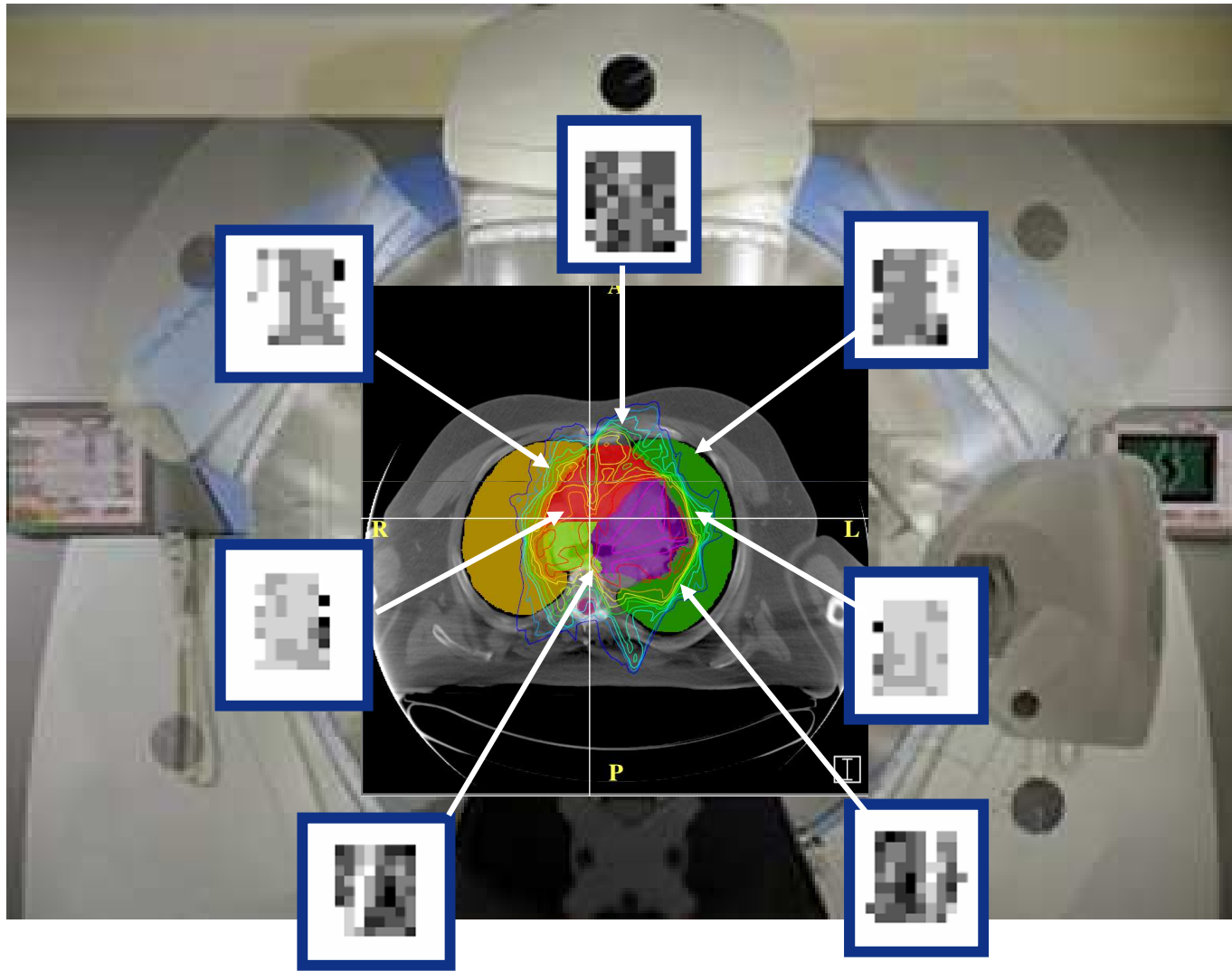
Fig. 2. Change in myocardial perfusion scores are based on quantitative polar mapping using (A) ring segmentation and (B) coronary artery distribution. Squares are estimated means at baseline and follow-up, with vertical lines indicating 95% confidence intervals for means.

Zellars, IJROBP, 2014

# Second Malignancies



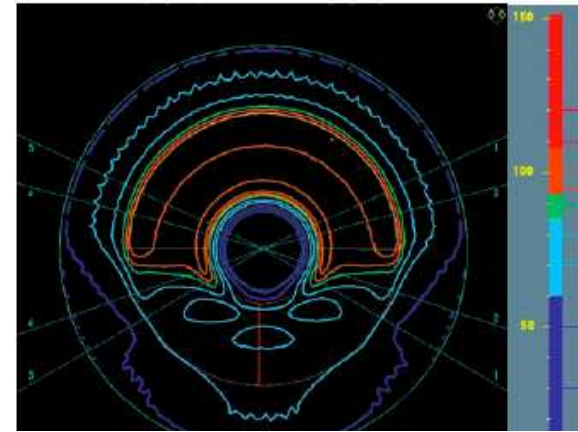
# IMRT-Capable Delivery System



# There is nothing new under the sun.....1

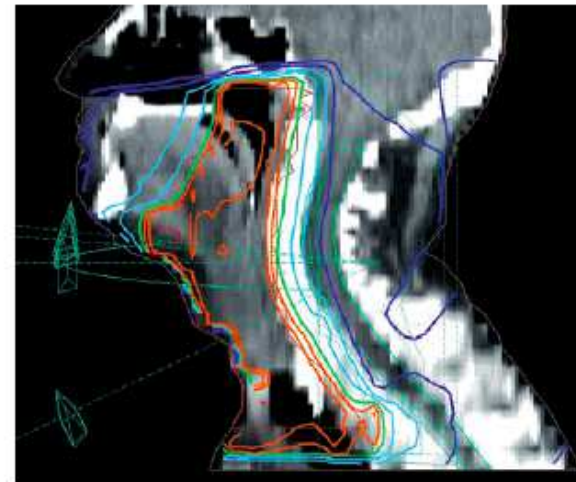
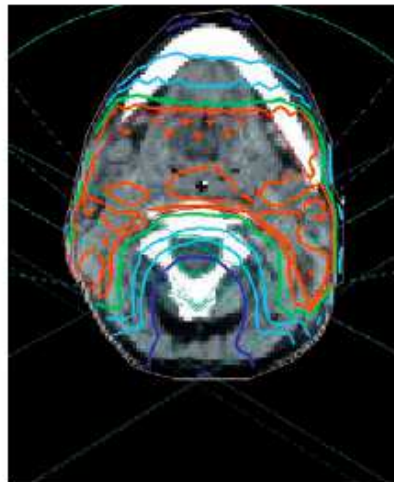
K. Bratengeier

In: Kiricuta, Definition of Target Volumes, 2001



*Figure 8. Two-step IMAT in the case of a patient with Hypopharynx-Carcinoma.*

*Left: transversal plane. Right: sagittal plane; 30%, 50%, 70%, 80%, 90% and 95% isodoses are shown in the same colors as labelled in figure 7.*



# There is nothing new under the sun.....2

Klaus Welker und Jürgen Richter

Die Geschichte  
der Strahlentherapie  
an der Robert-Rössle-Klinik  
in Berlin-Buch  
1950 bis 1984

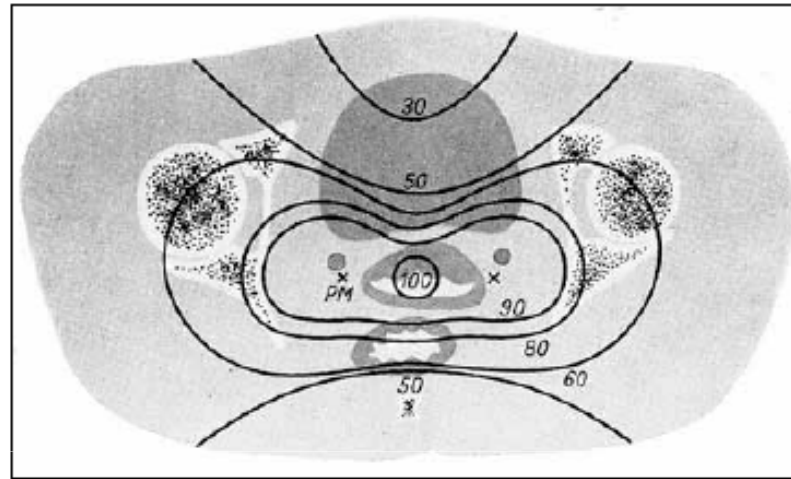


Abb. 2.6.1: Dosisverteilung für eine biaxiale Bewegungsbestrahlung.

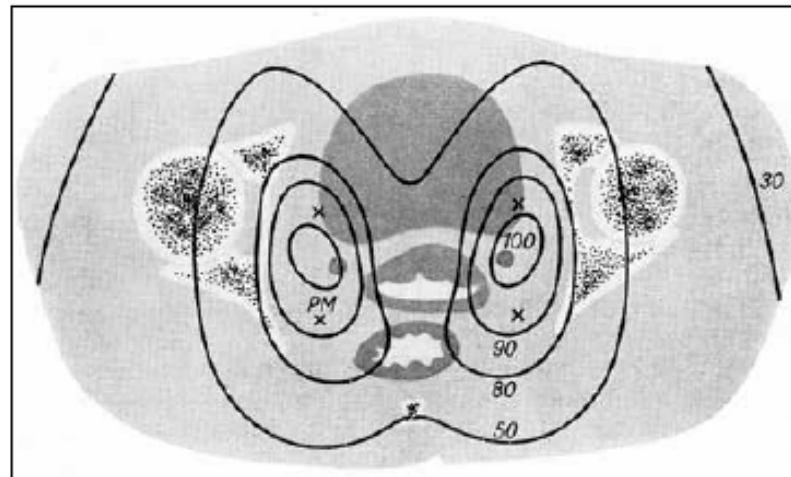


Abb. 2.6.2: Dosisverteilung für eine 4-axiale Bewegungsbestrahlung.

*“The most important prerequisite for the development of a second neoplasm is cure of the primary malignancy”*

Doerr, Hermann, SUON, 2008

-> Death as confounding factor has to be compensated for in estimates

The effect of fraction time in intensity modulated radiotherapy:  
theoretical and experimental evaluation of an optimisation problem

Xiangkui Mu<sup>a</sup>, Per-Olov Löfroth<sup>b</sup>, Mikael Karlsson<sup>b</sup>, Björn Zackrisson<sup>a,\*</sup>

<sup>a</sup>*Department of Radiation Sciences, Oncology, Umeå University, SE-901 87 Umeå, Sweden*

<sup>b</sup>*Department of Radiation Physics, Umeå University, SE-901 87 Umeå, Sweden*

Received 26 November 2002; received in revised form 11 April 2003; accepted 24 April 2003

**UNEXPECTED CHANGES OF RAT CERVICAL SPINAL CORD TOLERANCE  
CAUSED BY INHOMOGENEOUS DOSE DISTRIBUTIONS**

HENDRIK P. BIJL, M.D.,\* PETER VAN LUIJK,<sup>†</sup> ROB P. COPPES, PH.D.,\*<sup>‡</sup>  
JACOBUS M. SCHIPPERS, PH.D.,<sup>†1</sup> ANTONIUS W. T. KONINGS, PH.D.,<sup>‡</sup> AND  
ALBERT J. VAN DER KOGEL, PH.D.<sup>§</sup>

# Dental X-Rays and the Risk of Intracranial Meningioma

CANCER March 1, 2004 / Volume 100 / Number 5

## *A Population-Based Case–Control Study*

Effect of low doses of ionising radiation in infancy on cognitive  
function in adulthood: Swedish population based cohort study

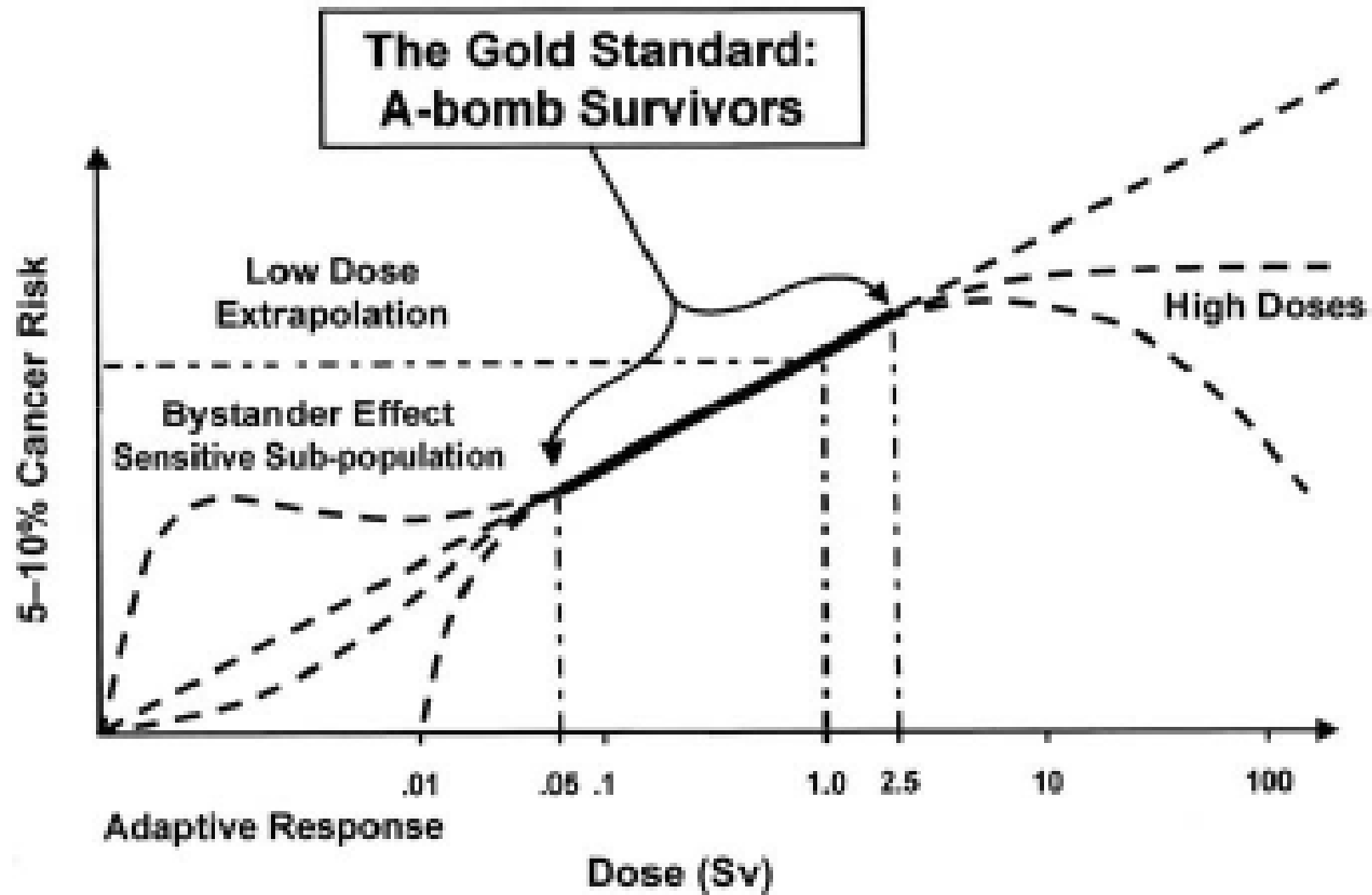
Per Hall, Hans-Olov Adami, Dimitrios Trichopoulos, Nancy L Pedersen, Pagona Lagiou, Anders Ekblom,  
Martin Ingvar, Marie Lundell, Fredrik Granath

BMJ VOLUME 328 3 JANUARY 2004 [bmj.com](http://bmj.com)

## Risk estimates for secondary cancer after exposure to ionizing radiation

1. Low dose estimates (0-2 Gy single dose exposure, based on the Atomic Bomb Survivor Study (Life Span Study, LSS), that forms the basis for the Biological Effects of Ionizing Radiation (BEIR VII model)
2. High dose estimates (>2 or >5 Gy, based on clinical follow up data after radiotherapy for benign or malignant disease)

# Different Aspects of Carcinogenesis - Synopsis



# Problems identifying true incidence numbers of secondary cancer after exposure to ionizing radiation

## 1. Low dose Estimates (LSS):

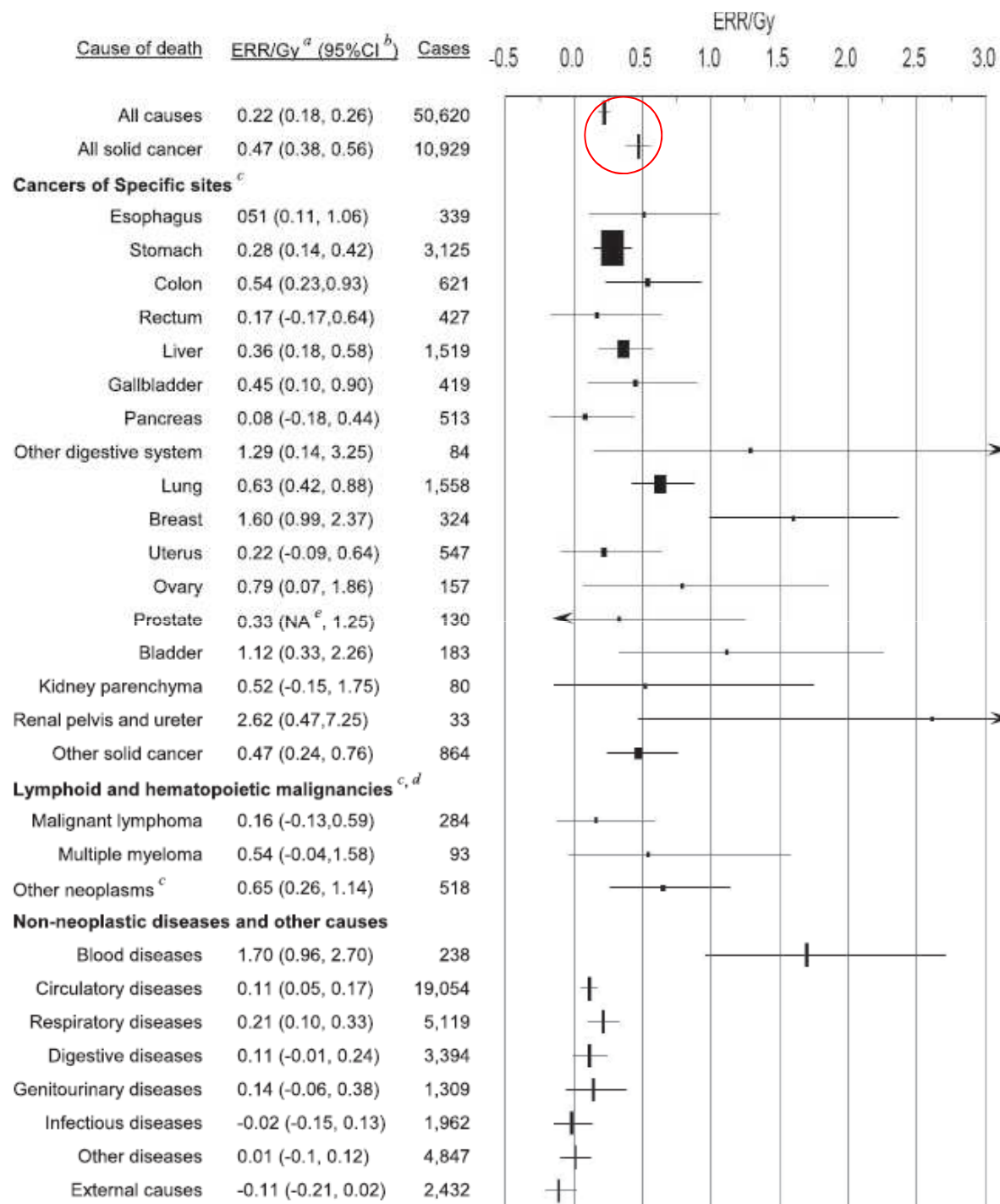
- Low number of events
- Uncertain Dosimetry
- Unclear effects of other toxins
- Difficulties to maintain long follow up
- Very limited dose range (limited by acute lethality of exposure and explosion force to 0-2 Gy with emphasis on <1 Gy)

## 2. High dose Estimates (clinical)

- Low number of events
- Combination Therapies
- Information on precise localization and doses at the site of second malignancies hard to obtain (10 year documentation.....)
- Long follow up necessary, hard to obtain without institutional data collection



# Low Dose Models



LSS,  
Ozasa,  
Rad Res,  
2012

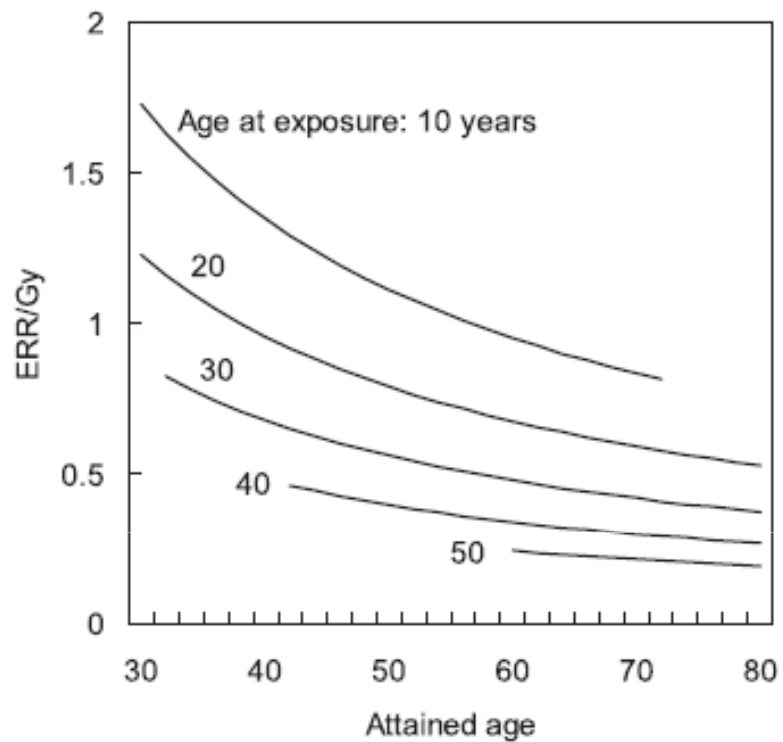


FIG. 2. Modification of the excess relative risk (ERR) for all solid cancer by age at exposure and attained age.

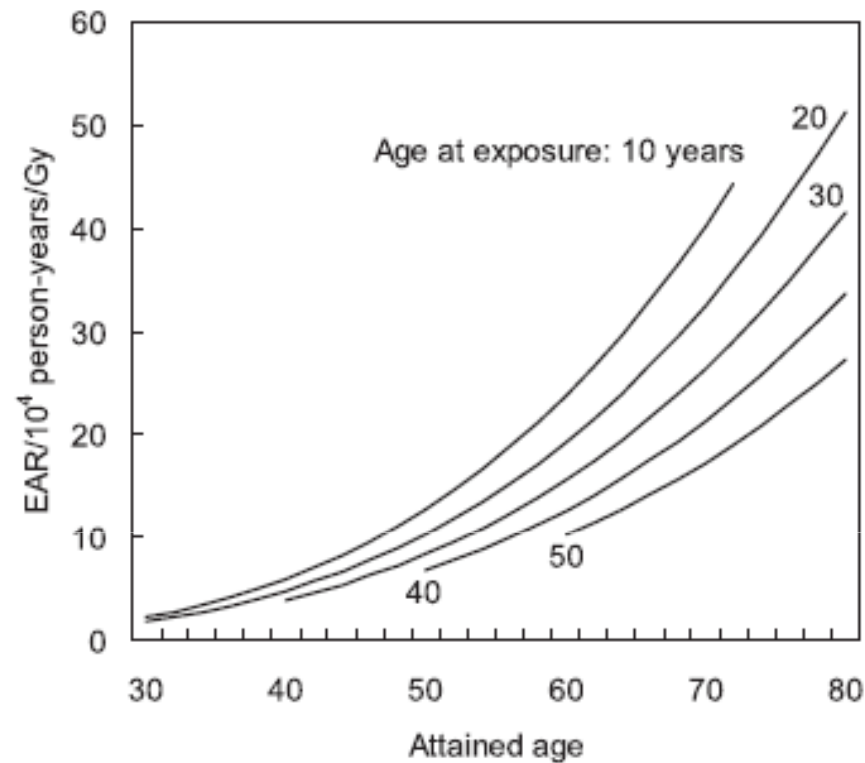


FIG. 3. Modification of the excess absolute risk (EAR) for all solid cancer by age at exposure and attained age.

LSS, Ozasa, Rad  
Res, 2012

High(er) Dose Exposure

# Low Doses are evil.....are they???

Im Feld	46 (51 %)
Am Feldrand	20 (22 %)
Außerhalb des Feldes	24 (27 %)

**Tabelle 4.** Lokalisation der Zweitmalignome in Beziehung zum Strahlenfeld.

**Table 4.** Localization of secondary malignancies with respect to the field size.

Gy	n
< 1	14 (16%)
1 – 10	12 (13%)
11 – 20	16 (18%)
21 – 30	8 ( 9%)
31 – 40	19 (21%)
41 – 50	20 (22%)
> 50	1 ( 1%)

**Tabelle 5.** Strahlendosis am Entstehungsort des Zweitmalignoms.

Hochrisikopatienten. Die Patienten mit zusätzlicher Polychemotherapie wiesen insgesamt ein signifikant höheres kumulatives Zweitmalignomrisiko auf als die Patienten mit Radiotherapie allein. Abbildungen 3a und 3b zeigen für das Kollektiv ab 1972 das kumulative Zweitmalignomrisiko selektiert für die typischen Kombinationstherapien (Radiotherapie in Kombination mit MOPP oder ABVD oder MOPP + ABVD) im Vergleich zur Radiotherapie allein, und zwar in Beziehung zur Primärtherapie (Abbildung 3a) und zur gesamten Therapie einschließlich Rezidivtherapie (Abbildung 3b). Die Kombination mit MOPP hatte, für sich betrachtet, bis zu 15 Jahren nach Therapie das geringste Zweitmalignomrisiko, so daß die Anhebung des Zweitmalignomrisikos im Gesamtkollektiv der Patienten mit Polychemotherapie signifikant zu Lasten der Kombinationen mit ABVD bzw. ABVD + MOPP ging (Abbildungen 3a und 3b).

	n		n
Mammakarzinom	14	Schilddrüsenkarzinom	2
Bronchialkarzinom	12	Hirntumor	2
Non-Hodgkin-Lymphome	9	Harnblasenkarzinom	1
Basaliom	8	Chondrosarkom	1
Weichteilsarkom *	7	Hautkarzinom	1
Kolorektales Karzinom	6	Hypopharynxkarzinom	1
Uteruskarzinom	5	Nierenkarzinom	1
Magenkarzinom	5	Parotiskarzinom	1
Seminom	3	Pleurakarzinom	1
AML	3	Vulvakarzinom	1
Pleuramesotheliom	2	Zungenkarzinom	1
Osteosarkom	2	Andere	1

\*Malignes Hämangioperizytom; malignes Histiozytom (2); Leiomyosarkom (2); Spindelzellsarkom; malignes Schwannom.

**Tabelle 3.** Spezifikation der Zweitmalignome (n = 90).

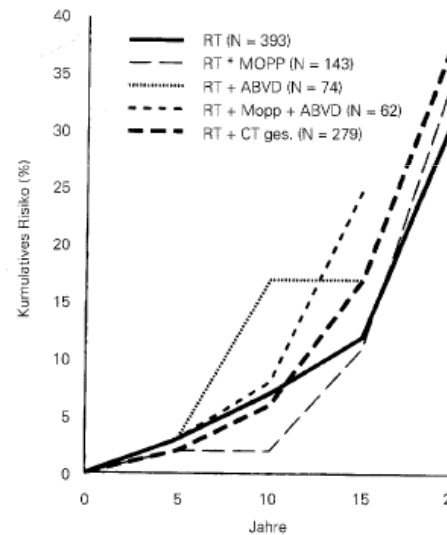


Abbildung 3a – Figure 3a

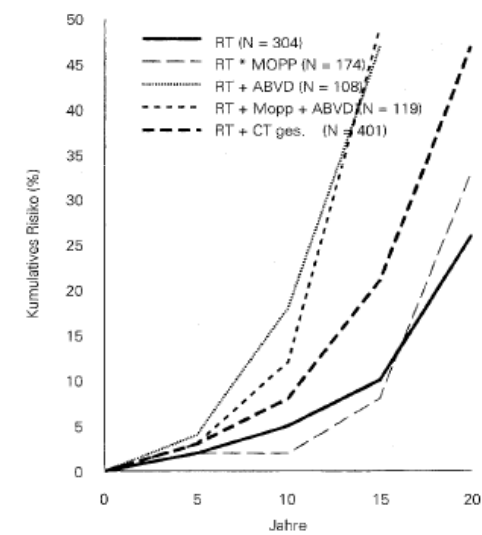


Abbildung 3b – Figure 3b

**Abbildungen 3a und 3b.** Morbus Hodgkin. Kumulatives Zweitmalignomrisiko in Beziehung a) zur primären Therapie: Radiotherapie (RT) allein oder in Kombination mit Chemotherapie (CT), hier mit MOPP oder ABVD oder MOPP + ABVD; RT vs. RT + CT (ges.)  $p < 0,001$ . Freiburg 1972 bis 1997, mediane Beobachtungszeit 10,4 Jahre,  $n = 672$ . b) Kumulatives Zweitmalignomrisiko in Beziehung zur gesamten Therapie: Radiotherapie (RT) allein oder in Kombination mit Chemotherapie (CT), hier mit MOPP oder ABVD oder MOPP + ABVD; RT vs. RT + CT (ges.)  $p < 0,001$ , RT + MOPP vs. RT + ABVD  $p < 0,01$ , RT + MOPP vs. RT + MOPP + ABVD  $p < 0,05$ . Freiburg 1972 bis 1997, mediane Beobachtungszeit 10,4 Jahre,  $n = 706$ .

Slanina et al., Strahlentherapie, 1999

# Hodgkin II (GHSG)

Behringer et al., IJROBP, 2004

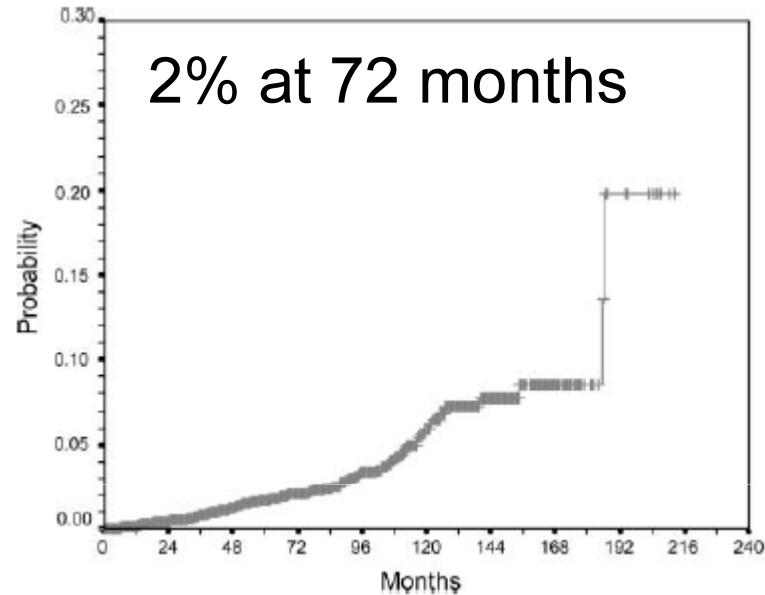


Figure 1. Cumulative risk of solid tumor by time since first treatment.

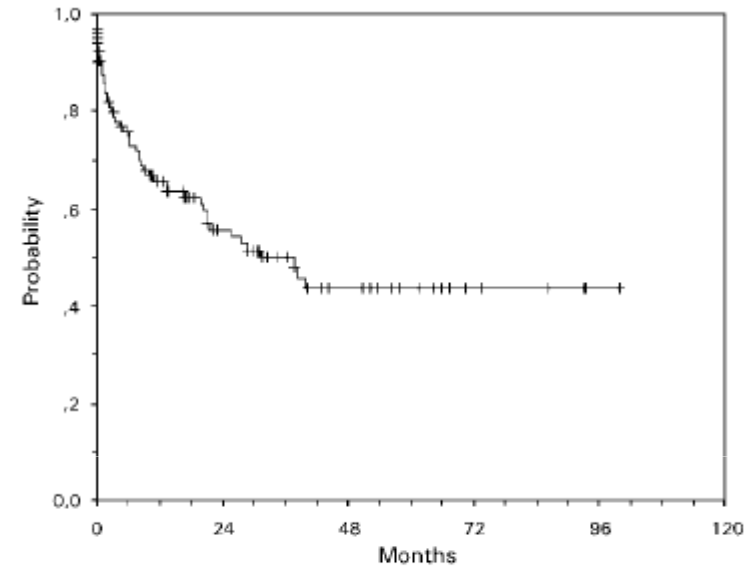


Figure 2. Overall survival from solid tumor.

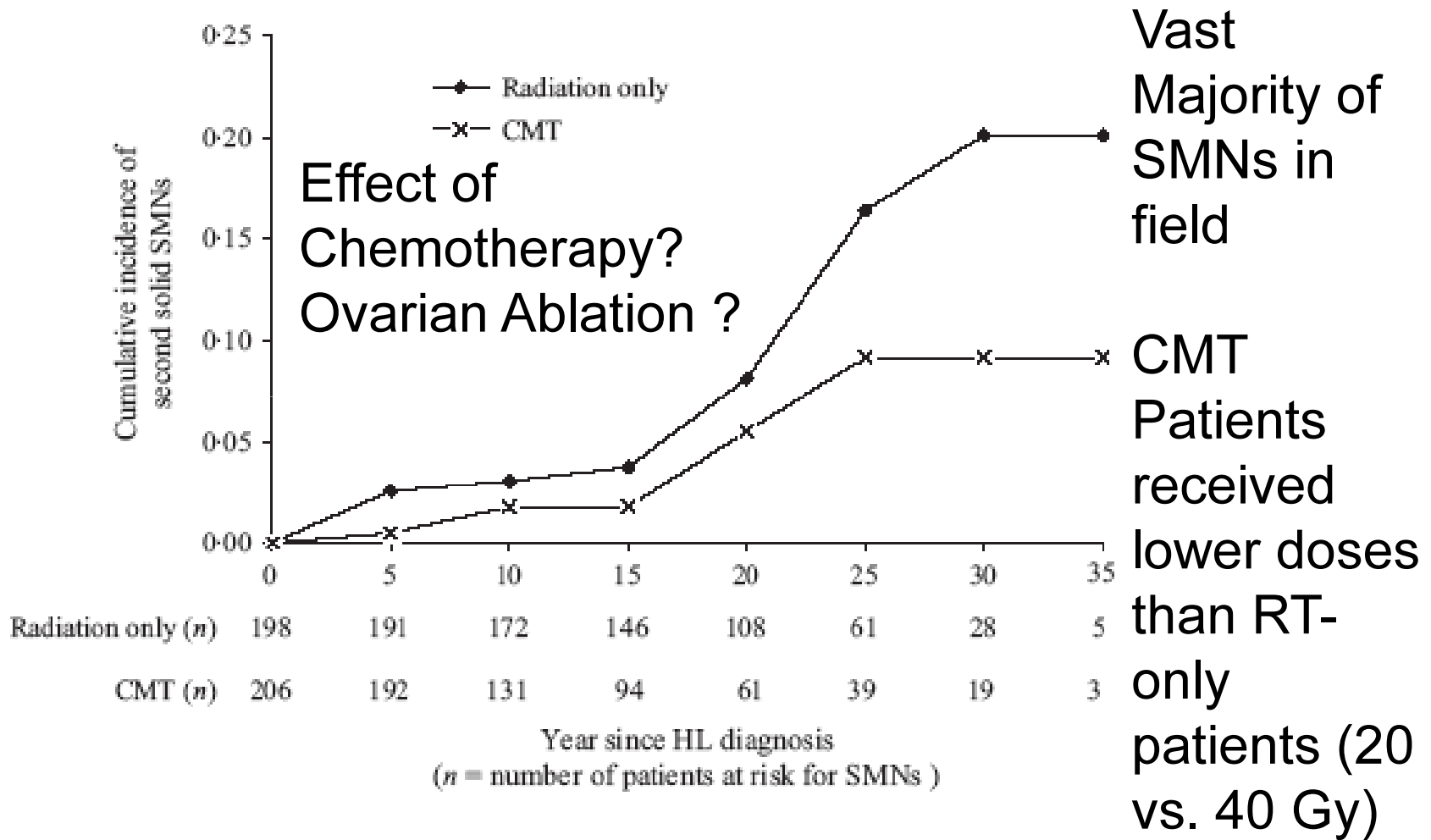
Table 8. Solid tumors within or adjacent to the initial irradiation field

Tumor entity	Location within the initial irradiation field		
	Probable	Not probable	Unknown
Breast	4	3	6
Lung	12	6	12
Thyroid	4	1	0

← Uncertainty about SM-Location

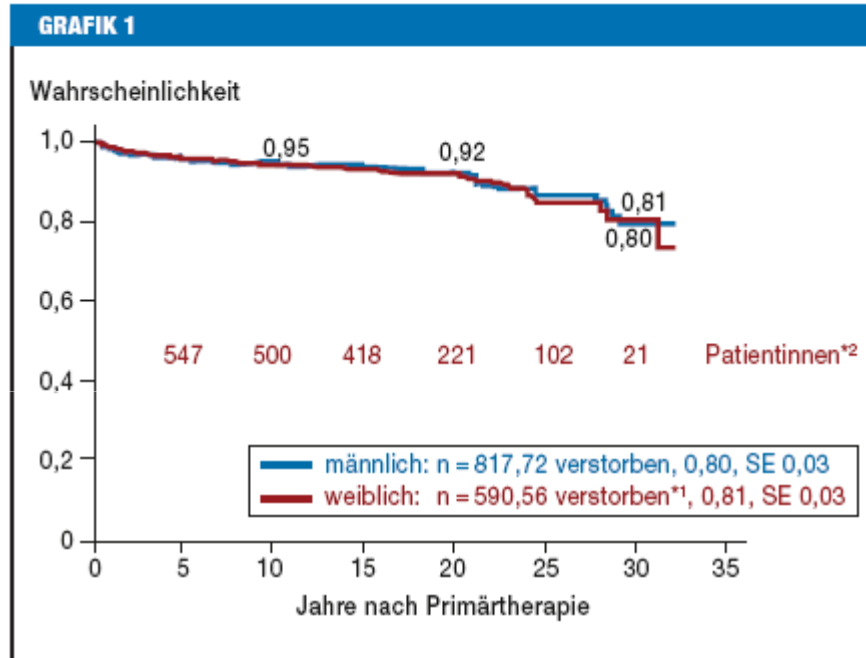
# Hodgkin III (Yale)

Omer et al., BJH, 2012



# Hodgkin III: Pediatric HD

96% of Secondary Cancers in-field



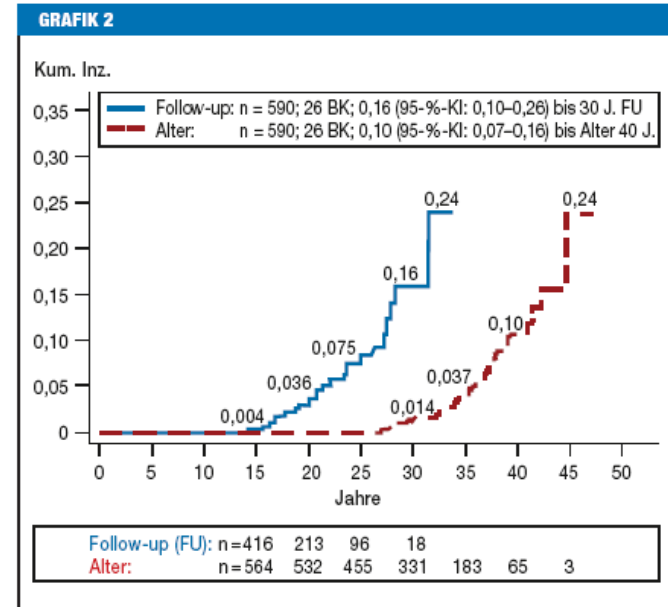
Gesamtüberleben („overall survival“ [OS] nach 30 Jahren) in den Morbus-Hodgkin-Therapiestudien HD-78 bis HD-90 bei Jungen und Mädchen (Stand: 1. Juli 2012).

\*1 Todesursachen bei den Patientinnen: Hodgkin-Lymphom (n = 18), Post-Splenektomie-Sepsis (n = 7), Sekundärmalignom (n = 15, davon 3 Brustkrebs), Herzerkrankungen (n = 6), sonstige (n = 10, inklusive Unfall, Suizid)

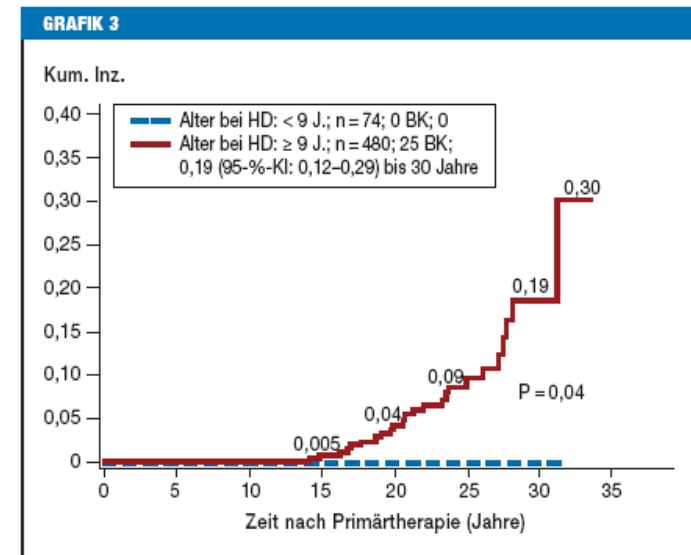
\*2 mit dokumentierten Verlaufsinformationen

SE, „standard error“

Schellong, Dt. Ä-Blatt, 2014



Kumulative Inzidenz (Kum. Inz.) für Brustkrebs (BK) in der Gesamtgruppe der Patientinnen aus den pädiatrischen Therapiestudien HD-78 bis HD-90 in Abhängigkeit von der Zeit seit Primärtherapie (blaue Linie), bzw. vom erreichten Lebensalter (rote unterbrochene Linie) mit 95%-Konfidenzintervall (95%-KI). Stand: 1. Juli 2012



Kumulative Inzidenz (Kum. Inz.) für Brustkrebs (BK) mit 95%-Konfidenzintervall (95%-KI) in der Gruppe der Patientinnen aus den pädiatrischen Therapiestudien HD-78 bis HD-90, die im Brustbereich bestrahlt worden sind. (Stand: 1. Juli 2012)



# Hodgkin III: Pediatric HD

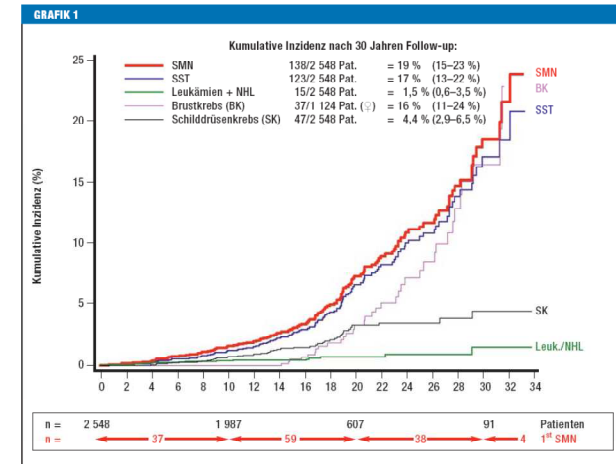
85% of Secondary Cancers in-field  
 Second Thyroid cancer only in patients  
 with Mediastinal or Neck RT

**TABELLE 3**  
**Kumulative Inzidenz von SMN nach Behandlung eines HL in der Kindheit und Jugend**

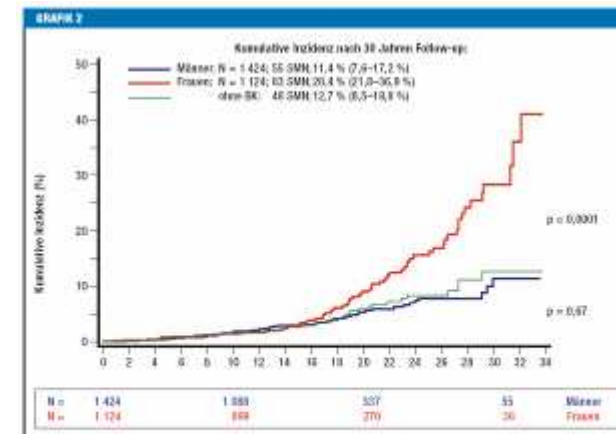
Erstautor (Literaturstelle)	Alter HL-Diagnose (Jahre)	Patienten-rekrutierung (Zeitraum)	Pat. (n)	Medianes Follow-up (Jahre)	Pat. mit SMN (n)	Kumulative Inzidenz (%)			SIR	95%-KI	
						nach 20 J.	nach 25 J.	nach 30 J.			
Sankila (14)	< 21	1943–87	1 641		62*	6,9		18	7,7	5,9–9,9	
Wolden (15)	< 21	1960–95	694	12,3	56*	m	9,7		m	10,6	6,6–16,0
						w	16,8		w	15,4	10,6–21,5
Green (16)	< 20	1960–89	182	17,1	28	12,7		26,3	m 9,4 w 10,2	4,1–18,5 5,6–17,1	
Metayer (17)	< 21	1935–94	5 925	10,5	195*	6,5	11,7		7,7	6,6–8,8	
Bhatia (18)	< 16	1955–86	1 380	17	212*	10,6		26,3	18,5	15,6–21,7	
Constine (19)	< 19	1960–90	930	16,8	102*		19,0		14,2	11,6–17,3	
O'Brien (20)	„Kinder“	1970–90	110	20,6	18*	17,0		29,4	22,9	14,2–35	
DAL/GPOH	< 18	1978–2002	2 548	14,3	138*	7,0	11,2	18,7	9,1	4,8–10,8	

Kumulative Inzidenzen und standardisiertes Inzidenzverhältnis von sekundären malignen Neoplasien nach Behandlung eines Hodgkin-Lymphoms in der Kindheit und Jugend in einigen europäischen und nordamerikanischen Studien.  
 DAL/GPOH: Die 7 DAL-/GPOH-Studien, die in dieser Publikation analysiert werden.  
 HL, Hodgkin-Lymphom; m, männlich; n, Anzahl; SIR, standardisiertes Inzidenzverhältnis; SMN, sekundäre maligne Neoplasien; w, weiblich; 95%-KI, 95%-Konfidenzintervall.  
 Pat., Patienten; J., Jahre; DAL, Deutsche Arbeitsgemeinschaft für Leukämie-Forschung und -Behandlung im Kindesalter; GPOH, Gesellschaft für Pädiatrische Onkologie und Hämatologie; HD, Hodgkin's disease  
 \*ohne Basaliome

Schellong, Dt. Ä-Blatt, 2015



Kumulative Inzidenzen sekundärer maligner Neoplasien (SMN ohne Basaliome) in den Studien DAL-HD-78 bis GPOH-HD-Intervall. Prozentangaben und 95%-Konfidenzintervalle für Patienten mit einem Follow-up bis zu 30 Jahren (Stichtag 30. September 2013). Subgruppen: SST (sekundäre solide Tumoren), Leuk. (Leukämien) und NHL (Non-Hodgkin-Lymphome), BK (Brustkrebs) und SK (Schilddrüsenkarzinom). n, Anzahl; 1<sup>o</sup> SMN, primär aufgetretene sekundäre maligne Neoplasie, also SMN im engeren Sinn; Pat., Patienten; DAL, Deutsche Arbeitsgemeinschaft für Leukämie-Forschung und -Behandlung im Kindesalter; GPOH, Gesellschaft für Pädiatrische Onkologie und Hämatologie; HD, Hodgkin's disease

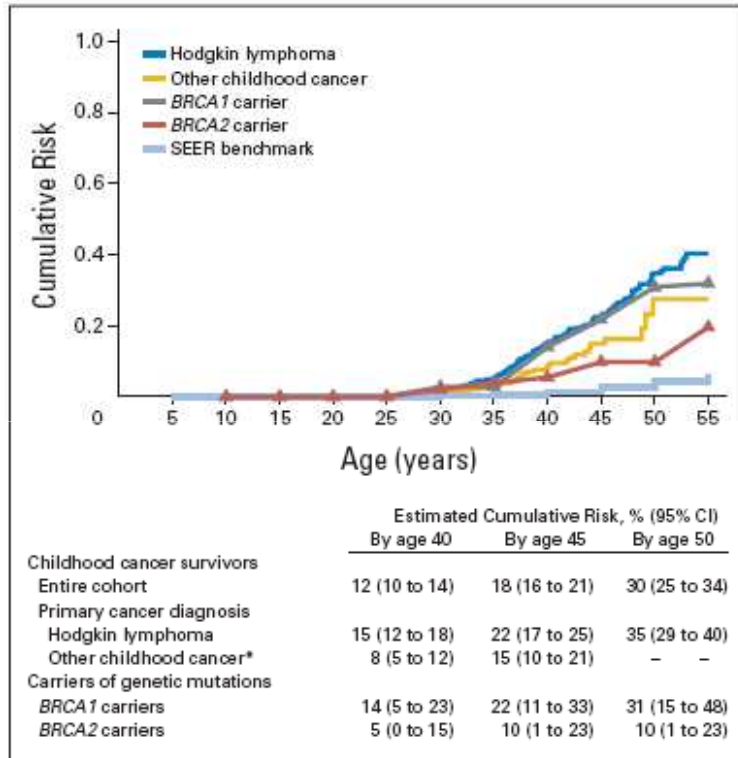


Kumulative Inzidenzen sekundärer maligner Neoplasien (SMN ohne Basaliome) in den Studien DAL-HD-78 bis GPOH-HD-Intervall bei Frauen mit und ohne Brustkrebsbehandlung und bei Männern. Prozentangaben und 95%-Konfidenzintervalle für Patienten mit einem Follow-up bis zu 30 Jahren (Stichtag 30. September 2013). BK, Brustkrebs; N, Gesamtzahl; DAL, Deutsche Arbeitsgemeinschaft für Leukämie-Forschung und -Behandlung im Kindesalter; GPOH, Gesellschaft für Pädiatrische Onkologie und Hämatologie; HD, Hodgkin's disease

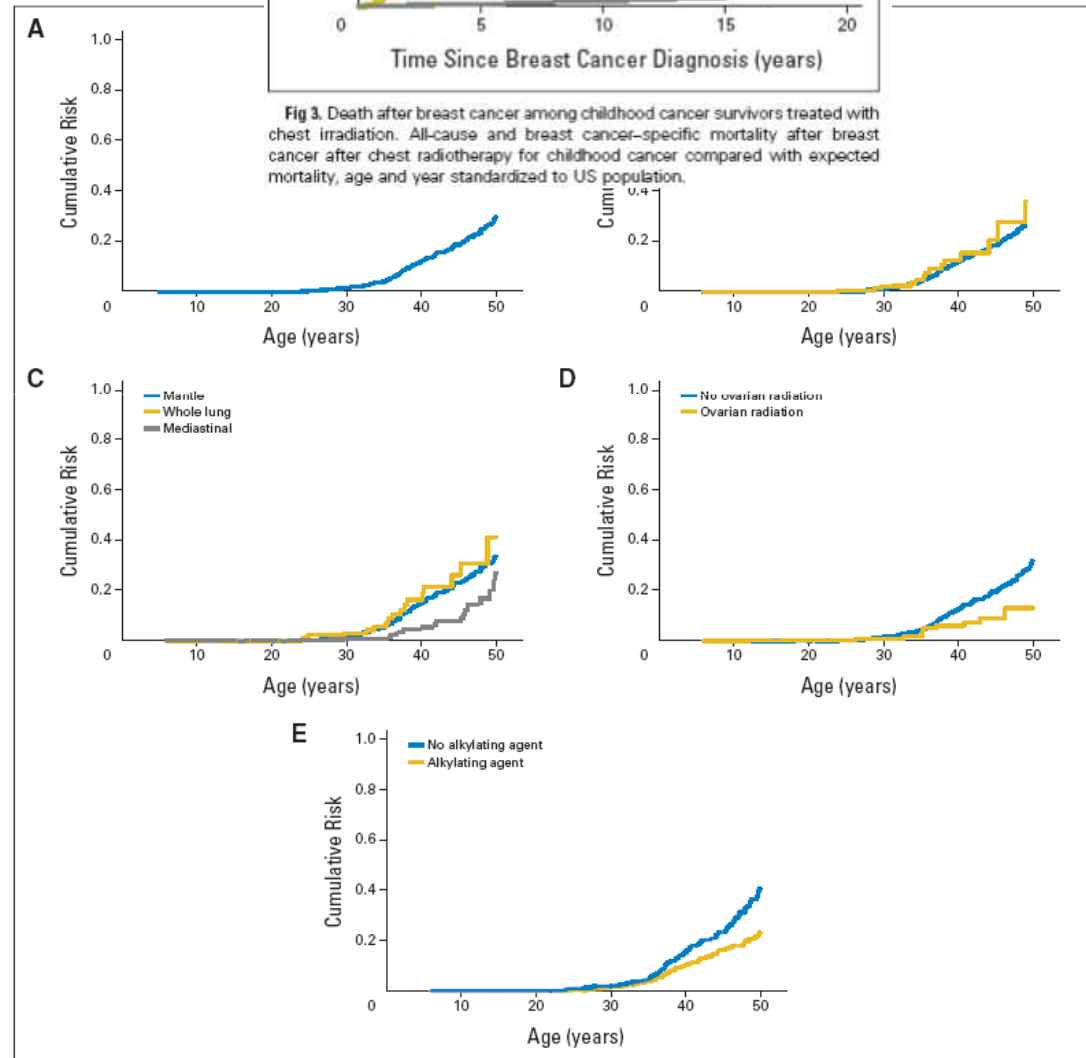
# Hodgkin III: Pediatric HD

Moskowitz, JCO, 2014

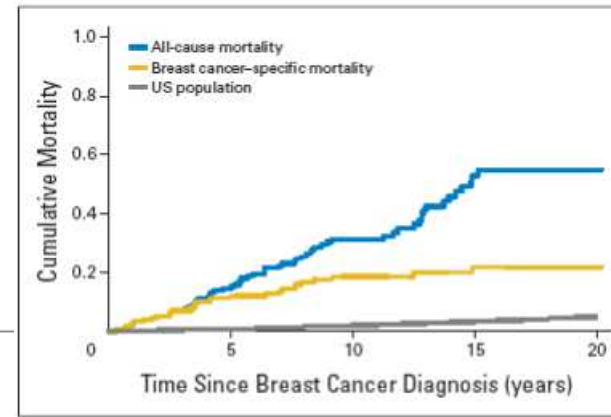
Suggestive of Dose and Volume linearity at >10 Gy



**Fig 2.** Cumulative risk of breast cancer. Breast cancer risk among women treated for childhood cancer with chest irradiation contrasted with breast cancer risk in female carriers of *BRCA1* or *BRCA2* deleterious mutations and women in general US population, with a birth-year distribution reflective of CCSS (Childhood Cancer Survivor Study) participants. (\*) Insufficient follow-up in this group to provide reliable estimates of cumulative risk of breast cancer by age 50 years.



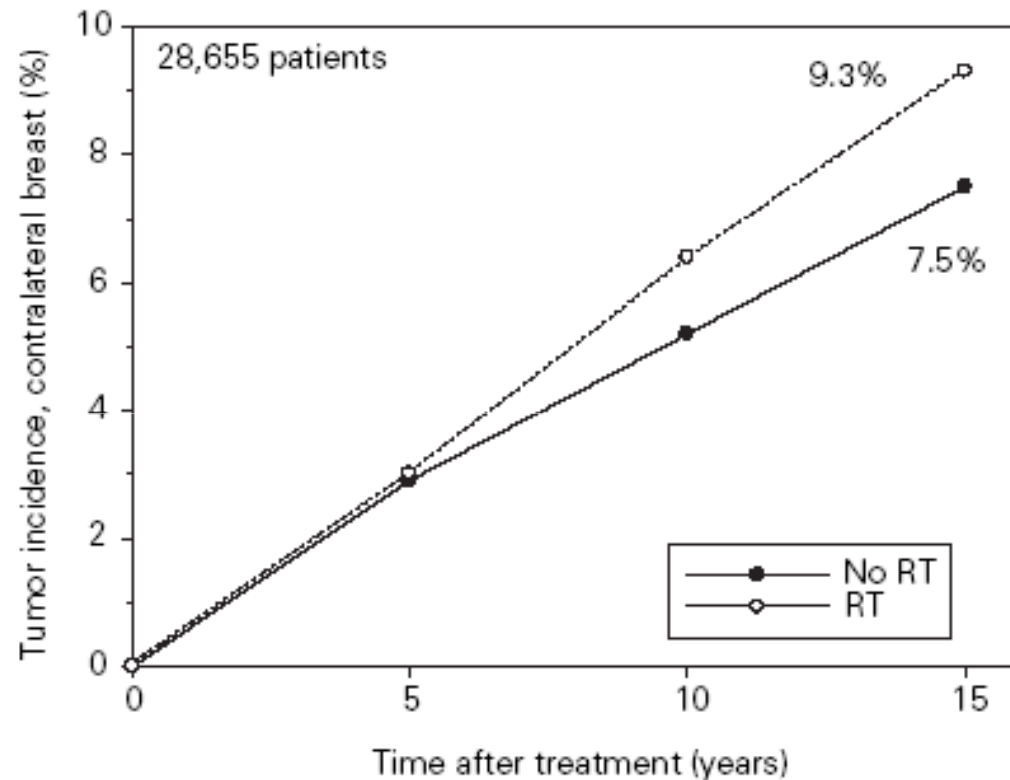
**Fig 1.** Cumulative risk of breast cancer among women treated for childhood cancer with chest irradiation (A) overall and by childhood cancer therapy: (B) chest radiation dose; (C) chest irradiation field; (D) ovaries in concurrent irradiation field; (E) alkylating agents.



**Fig 3.** Death after breast cancer among childhood cancer survivors treated with chest irradiation. All-cause and breast cancer-specific mortality after breast cancer after chest radiotherapy for childhood cancer compared with expected mortality, age and year standardized to US population.

# Breast I

Localization???



Doerr,  
Hermann,  
SUON,  
2008

**Figure 1.** Incidence of contralateral breast cancer. The figure displays the time-to-event for the incidence of tumors in the contralateral breast in patients with breast cancer treated either with surgery alone or with additional irradiation (data from [5], webfigure 7; <http://www.ctsu.ox.ac.uk/projects/ebctcg>, August 22, 2007).

## Breast II – Italian Data (Allegro Project)

*„Our initial patient number is very high, but the incidence of a second cancer is relatively low (0.02% of all patients and 0.019% of the patients treated with adjuvant irradiation)“*

Minimum F/U: 5 years

Median F/U: not given, but probably around 10 Years

Breast Cancers in High Dose Areas (in-field) excluded

Orecchia et al., Tumori, 2012

# Breast III

# Breast Cancer Survivors

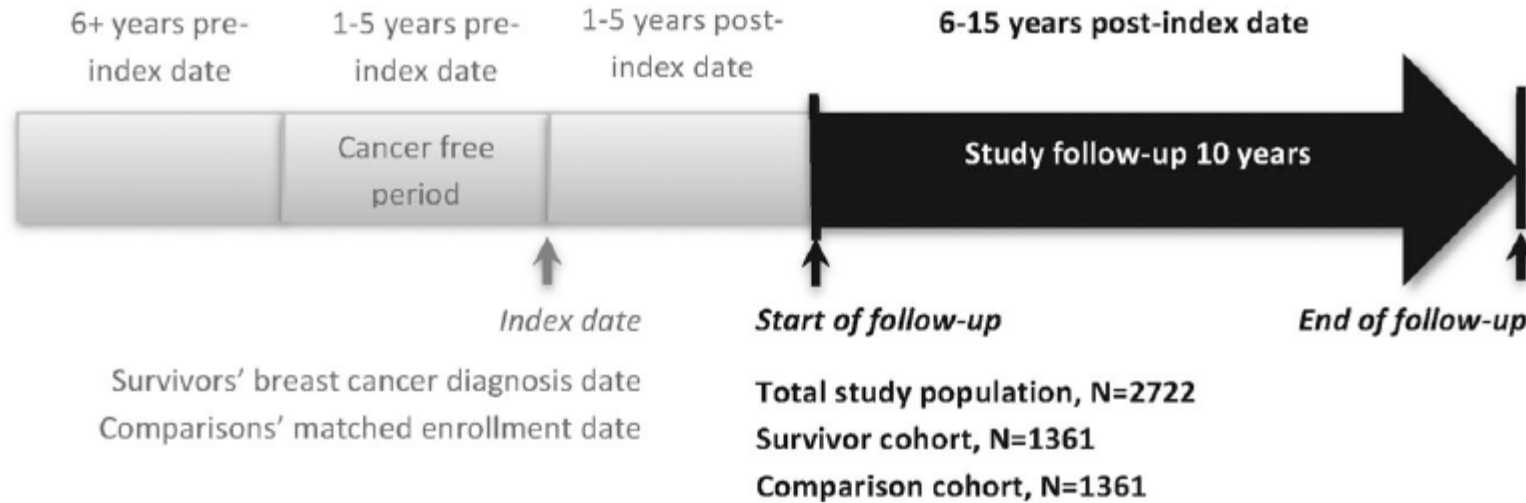


Figure 1. The study timeline is illustrated.

**TABLE 3.** The Risk of Incident Malignant Cancer in the Survivor Cohort Compared With the Comparison Cohort Adjusted for the Competing Risk of Death Over 10 Years of Follow-Up (6 to 15 Years After the Index Date), N = 2722<sup>a</sup>

	HR <sub>crude</sub> (95% CI)	HR <sub>adjusted</sub> (95% CI) <sup>b</sup>
First incident all-cause malignancy	1.16 (0.93-1.46)	1.17 (0.94-1.47)
Type of first incident malignancy		
Breast	1.26 (0.81-1.95)	1.28 (0.83-1.99)
Colorectal	0.66 (0.37-1.19)	0.66 (0.37-1.20)
Gynecologic	2.53 (0.89-7.18)	2.72 (0.96-7.74)
Lymphoma/leukemia	1.33 (0.62-2.84)	1.28 (0.59-2.75)
Lung	1.21 (0.68-2.18)	1.25 (0.69-2.25)
Melanoma	1.01 (0.36-3.32)	0.93 (0.29-2.94)
Other	1.20 (0.77-1.87)	1.19 (0.77-1.86)

Abbreviations: CI, confidence interval; HR, hazard ratio.

<sup>a</sup>The index date was either the date of the survivor's diagnosis or the date of the matched comparison woman's enrollment.

<sup>b</sup>Adjusted models included site, age, race, comorbidity, and history of cancer.

The adjusted hazard of developing a first incident malignancy was slightly elevated in survivors in relation to women in the comparison group, but it was not statistically significant (hazard ratio, 1.17; 95% confidence interval, 0.94-1.47)

## Breast III – DBCG Data (Allegro-Project)

Radiotherapy-associated sites:

HR 1.34 (95% CI 1.11–1.61)

10–14 years after RT: HR 1.55 (95% CI 1.08–2.24)

>15 years after: HR 1.79 (95% CI 1.14–2.81).

Non-radiotherapy-associated sites:

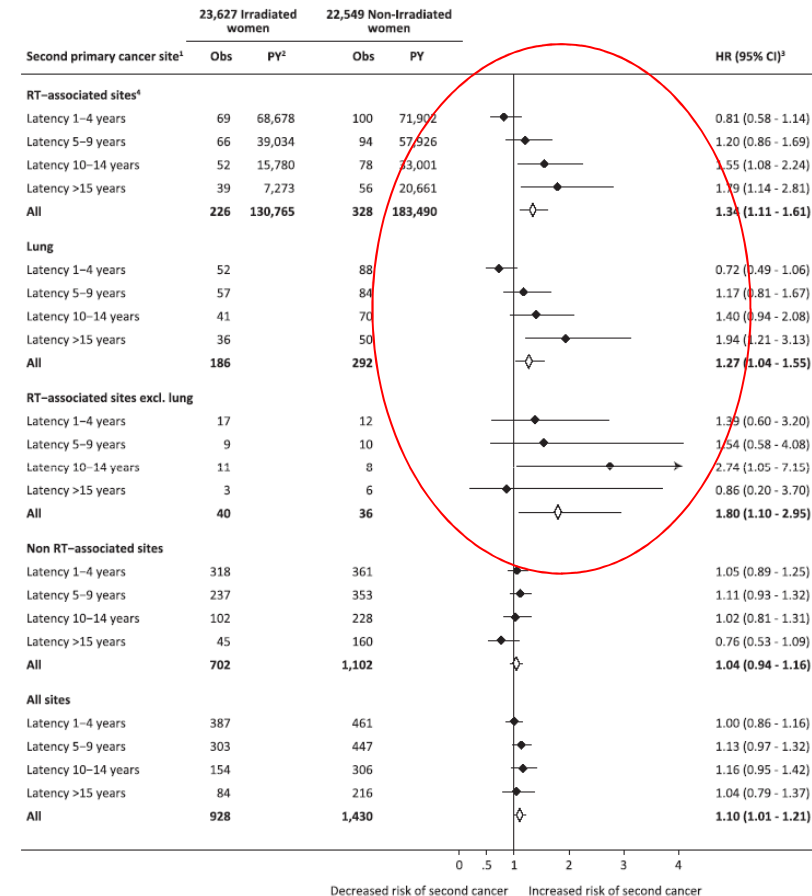
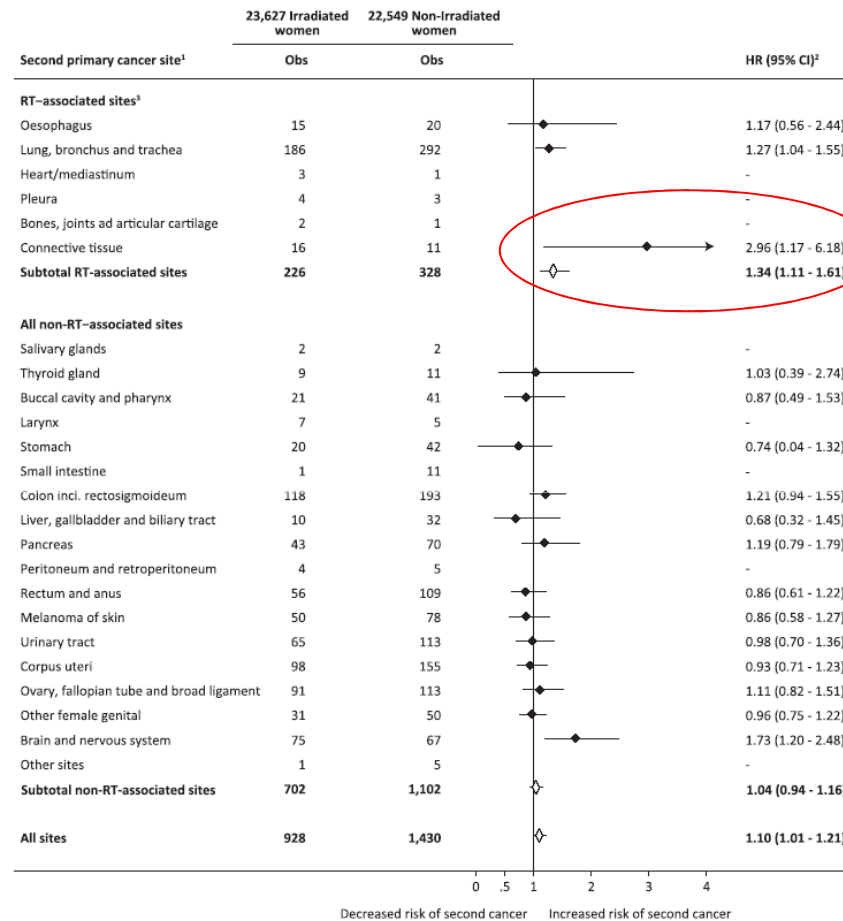
HR 1.04 (95% CI 0.94–1.1).

The estimated attributable risk related to radiotherapy for the radiotherapy-associated sites translates into one radiation-induced second cancer in every 200 women treated with radiotherapy.

The observed temporal-pattern for the RT-associated sites is consistent with the suggestion that radiation induced solid tumors have a minimum latency of 5–9 years

Granzau et al., R&O, 2013

# Breast III – DBCG Data (Allegro-Project)



Soft Tissue Sarcoma of thorax and upper arm.....  
 -> High Dose areas.....

Granzau et al., R&O, 2013

## And most recently.....

**ELIOT** (Veronesi, Lancet Oncol, 2013)

No significant difference in secondary cancers  
at median F/U of **5 years**

**Uppsala Örebrö** (Wickberg, JCO, 2014)

5% (contral. Breast cancer) and 2% (any cancer)  
absolute difference after **20 Years**

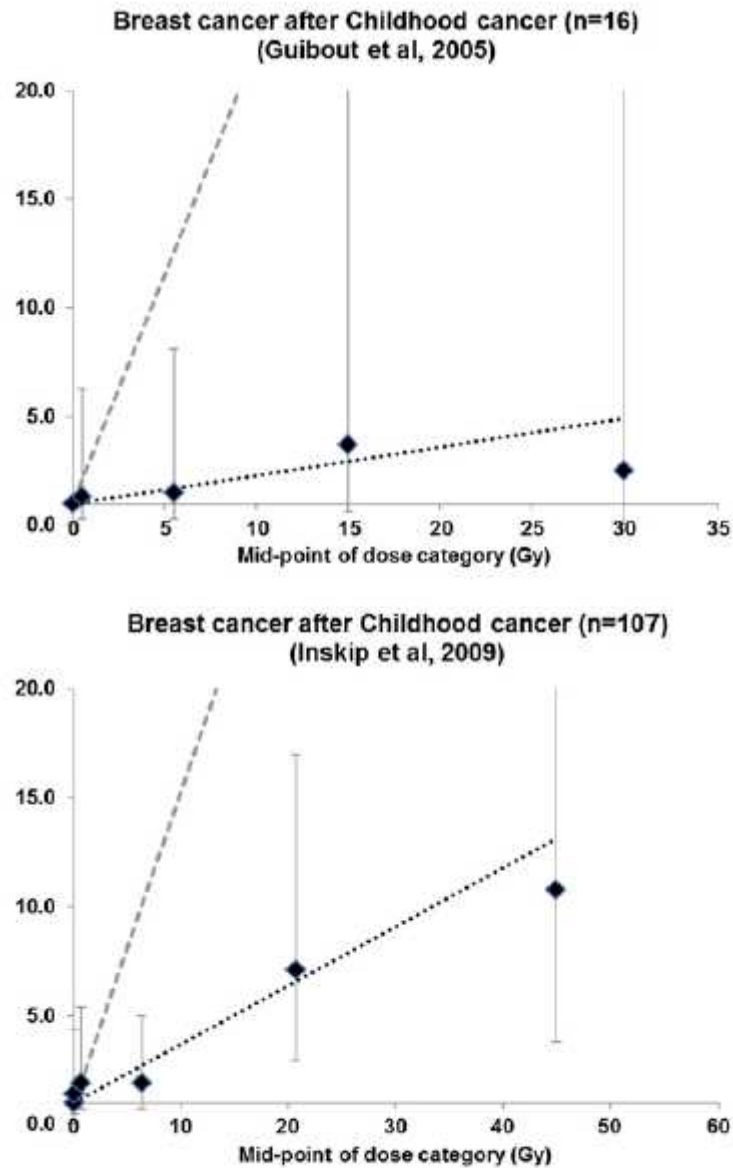
**Prime II** (Kunkler et al., SA-Breast Cancer 2013)

No significant) difference in secondary cancers  
at median F/U of **5 years (>65Y)**



This just in...

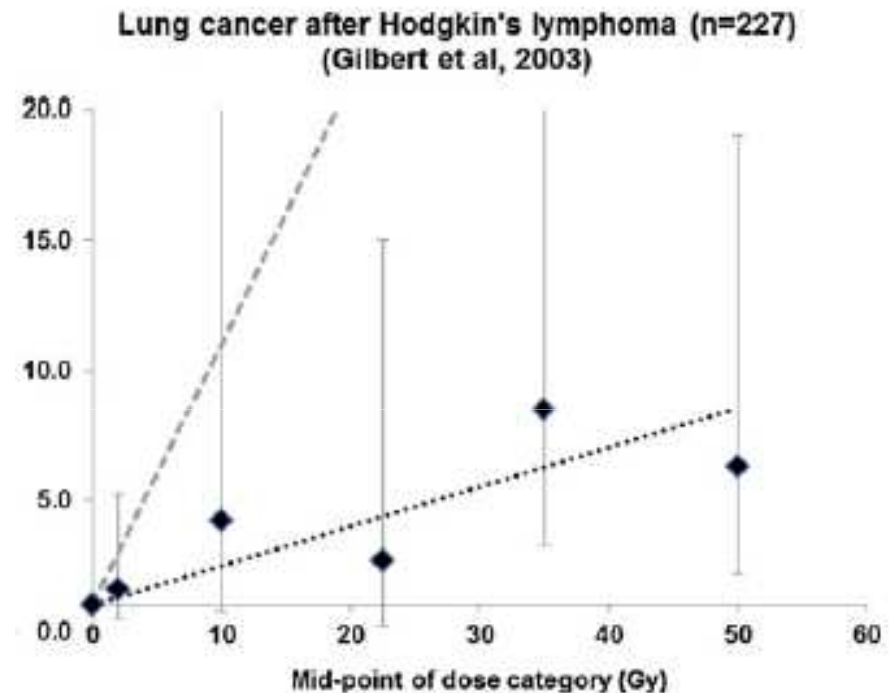
Berrington et al  
IJROBP, 2013



**Fig. 1.** Relative risk and 95% confidence interval for subsequent breast cancer according to the estimated absorbed radiation dose (Gy). Dotted black line indicates fitted linear dose-response

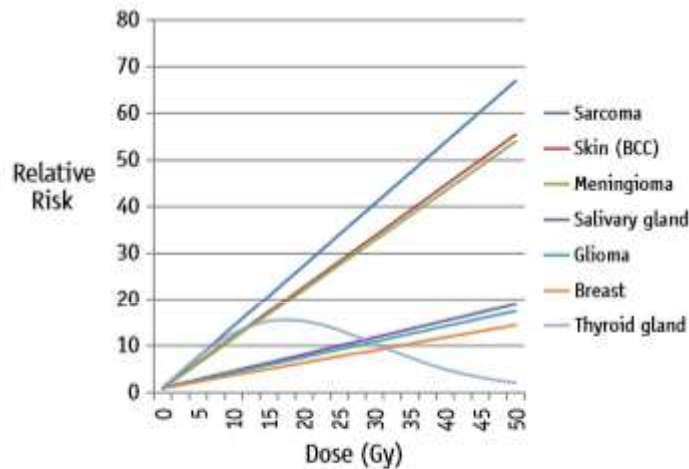
## This just in.....

Overall, there was little evidence that the dose-response curve was nonlinear in the direction of a downturn in risk, even at organ doses of >60 Gy. Thyroid cancer was the only exception, with evidence of a downturn after 20 Gy. **Generally the excess relative risk per Gray, taking account of age and sex, was 5 to 10 times lower than the risk from acute exposures of <2 Gy among the Japanese atomic bomb survivors.**

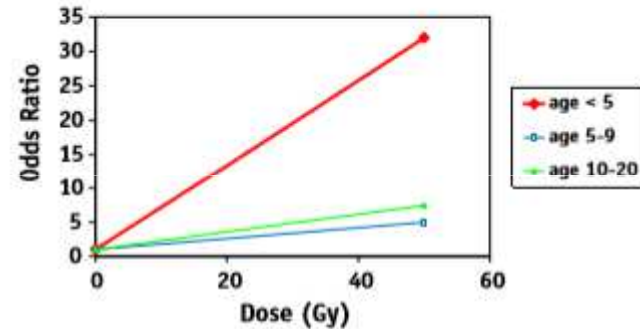


Berrington et al., IJROBP, 2013

# And linear again...and again....



**Fig. 1.** Fitted radiation dose-response by type of second cancer, based on previously published studies of second sarcoma (16), skin (18), meningioma (10) salivary gland (17), glioma (10), breast (11) and thyroid gland (14). The order of second cancers from top to bottom in the graph is the same as in the key to the right of the panel.



**Fig. 2.** Risk of glioma after radiation therapy for first cancer, by age at first cancer. Data from Neglia et al. New primary neoplasms of the central nervous system in survivors of childhood cancer: A report from the Childhood Cancer Survivor Study. *J Natl Cancer Inst* 2006;98:1528-1537.

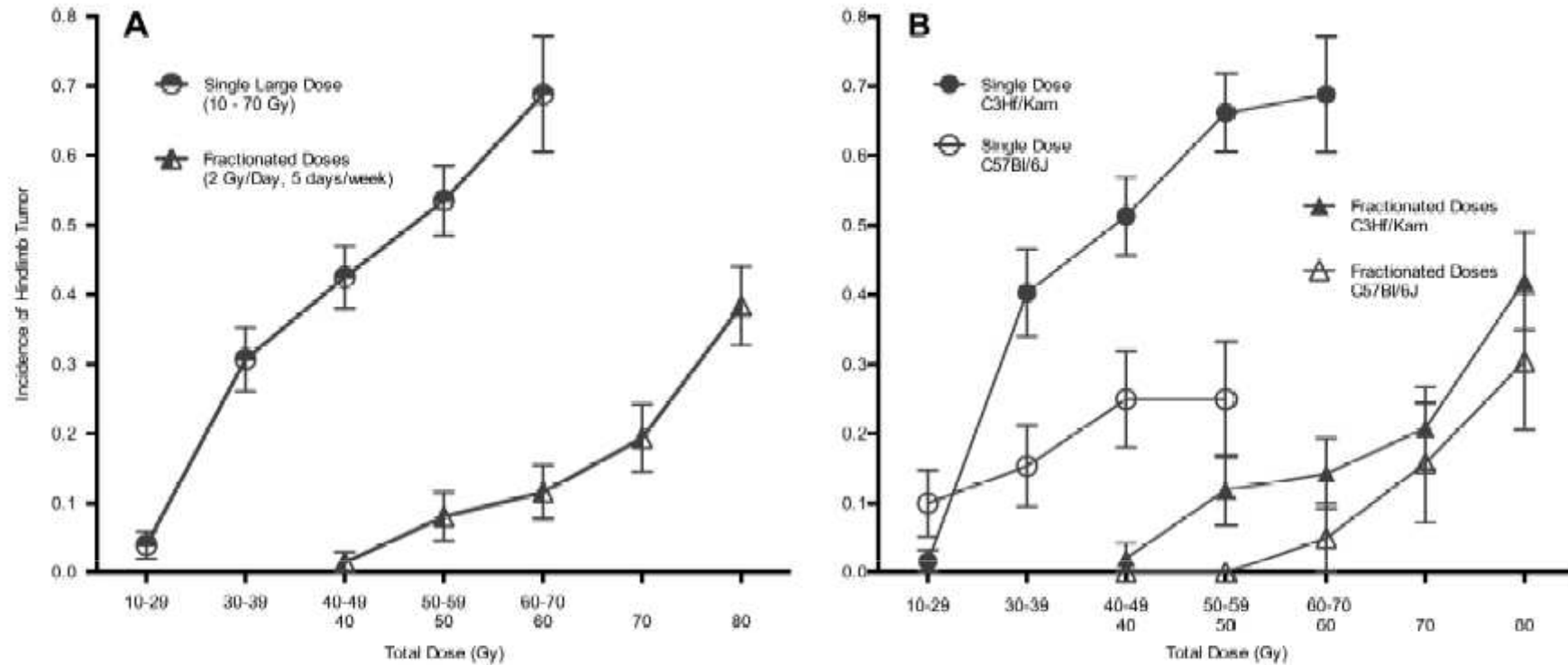


Figure 2. Incidence of hindlimb tumors by radiation dose. (A) Incidences of hindlimb tumors are significantly increased in mice exposed to a single large dose of radiation in comparison to mice exposed to fractionated radiation ( $p < 0.001$ ). (B) Incidences of hindlimb tumors by radiation dose and mouse strain. C3Hf/Kam mice have a significantly higher incidence of hindlimb tumors following single dose exposures than C57BL/6J mice ( $p < 0.001$ ). No significant difference in tumor incidence is observed between C3Hf/Kam and C57BL/6J mice following fractionated exposures. Single doses are grouped as 10-29, 30-39, 40-49, and 50-59 Gy. Fractionated doses were given as 2 Gy/day, 5 days/week for 4 to 8 weeks and are listed as total doses of 40, 50, 60, 70, and 80 Gy

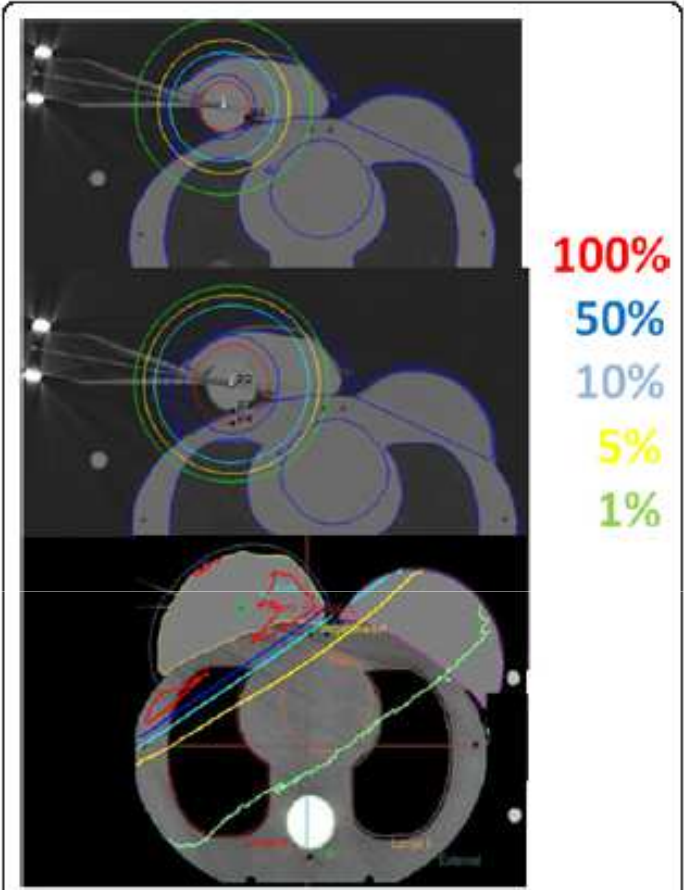


Figure 1 Planning CT of an anthropomorphic phantom with an intrabeam applicator in the upper outer quadrant of the right breast showing calculated isodoses (1%-100%). (a) IORT (20 Gy at 0 mm, 50 kV). (b) APBI (34 Gy at 10 mm, 50 kV). (c) EBRT (50 Gy, 6 MV).

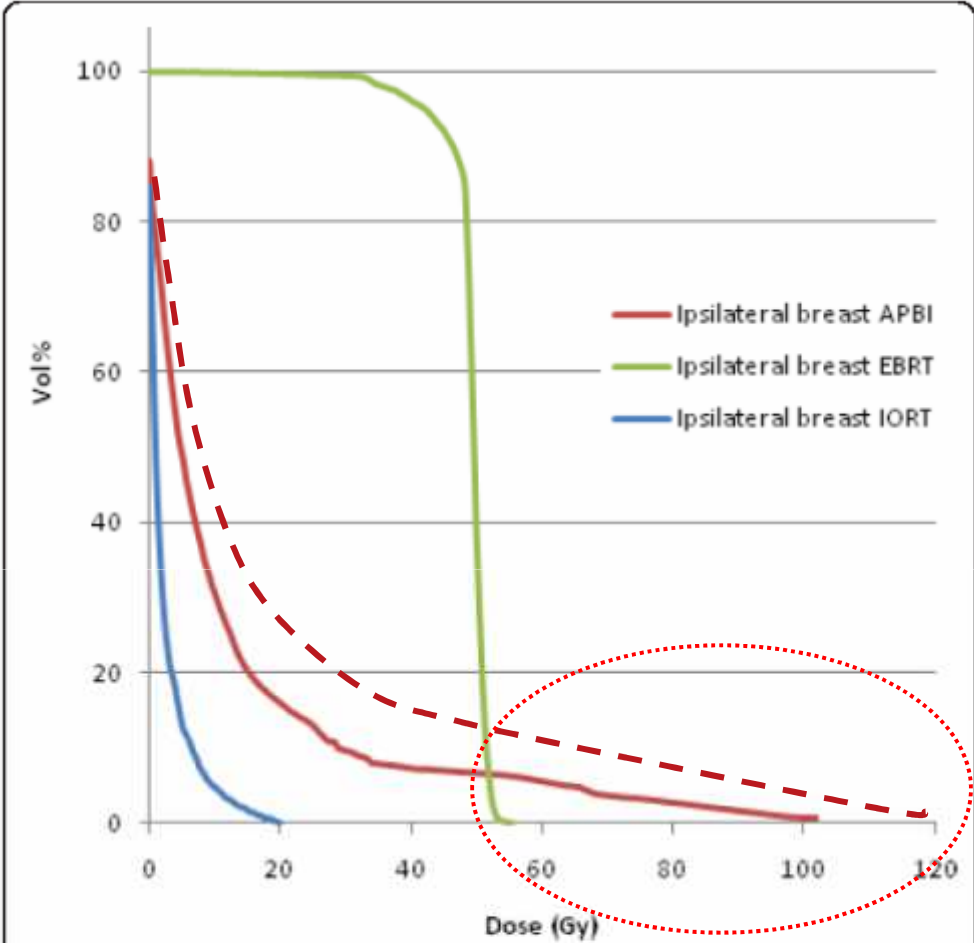


Figure 2 Cumulative DVH for ipsilateral breast for IORT, APBI and EBRT.

Aziz et al., Radiation Oncol, 2011

# KV Radiation

[CANCER RESEARCH 49, 229-234, January 1, 1989]

## Breast Cancer after Multiple Chest Fluoroscopies: Second Follow-up of Massachusetts Women with Tuberculosis

Zdenek Hrubec,<sup>1</sup> John D. Boice, Jr., Richard R. Monson, and Marvin Rosenstein

Table 1 Number of women with tuberculosis by follow-up status as of December 31, 1980, and exposure group

Follow-up status	Exposed (%)	Nonexposed (%)	Total (%)
Alive	653 (62.6)	462 (66.2)	1115 (64.0)
Dead	367 (35.2)	215 (30.8)	582 (33.4)
Lost	24 (2.3)	21 (3.0)	45 (2.6)
Total	1044 (100)	698 (100)	1742 (100)

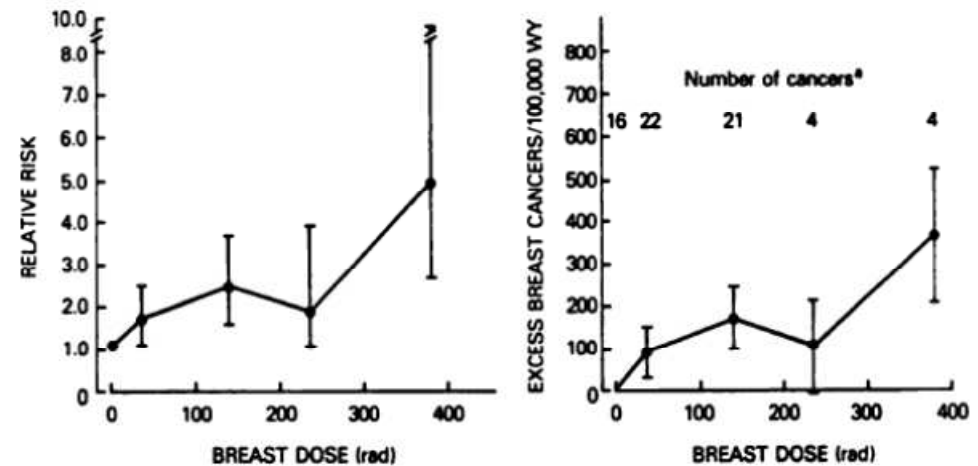
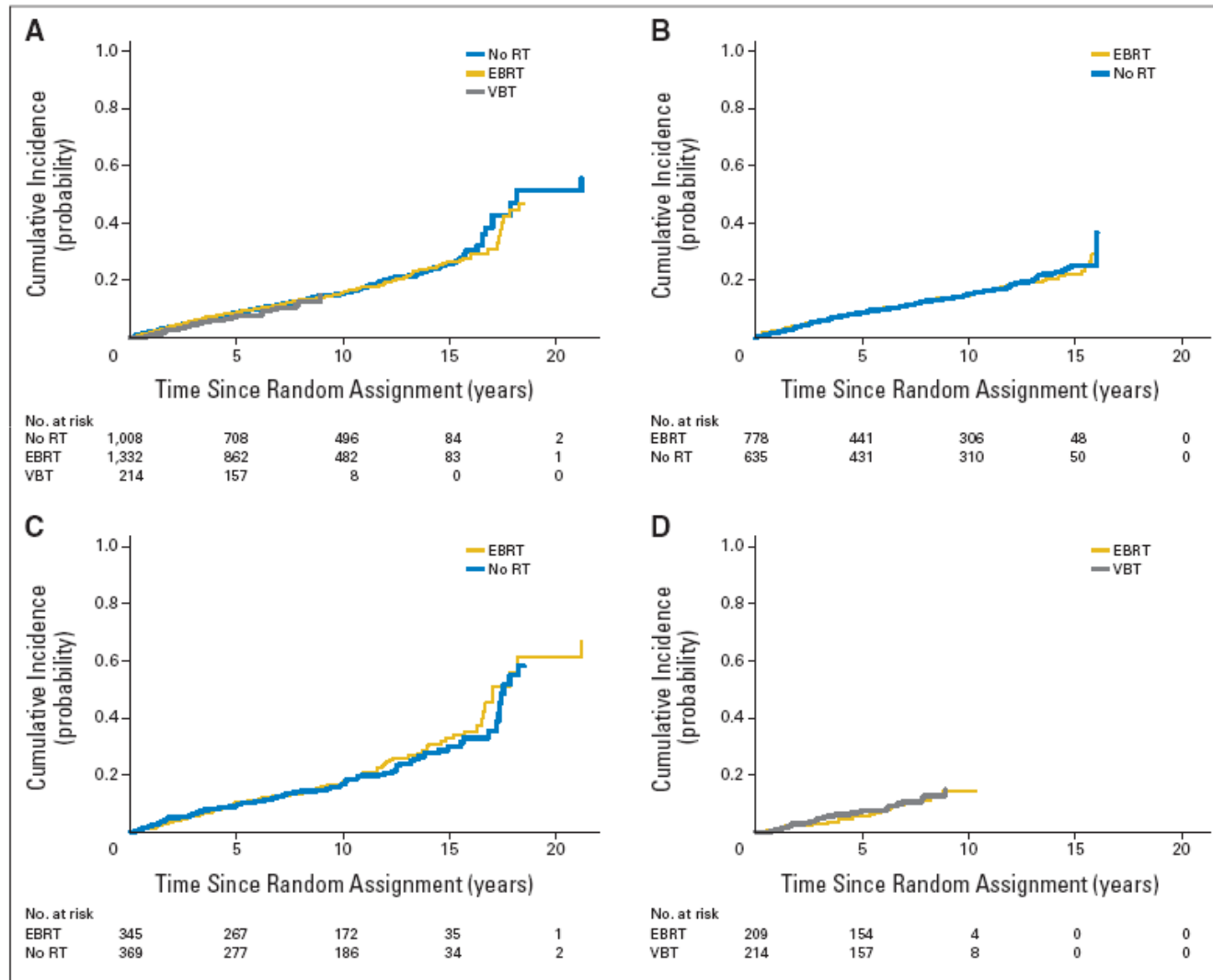


Fig. 1. Relative risk and excess risk of breast cancer and 80% confidence limits among 10-year survivors by absorbed dose to the breast. Nonexposed women as comparison group. \* See Table 5, footnote a.

# Randomized Data: PORTEC etc.

Wiltink et al., JCO, 2015



**Fig 2.** Cumulative probability of developing second cancer in (A) all, (B) TME (Total Mesorectal Excision), (C) PORTEC-1 (Post Operative Radiation Therapy in Endometrial Carcinoma 1), and (D) PORTEC-2 trials. NOTE. Because only four patients were included in no-RT group in the PORTEC-2 trial, these patients are not represented in panel D. EBRT, external-beam radiotherapy; RT, radiotherapy; VBT, vaginal brachytherapy.

*“The most important prerequisite for the development of a second neoplasm is cure of the primary malignancy”*

Doerr, Hermann, SUON, 2008

-> Death as confounding factor has to be compensated for in estimates

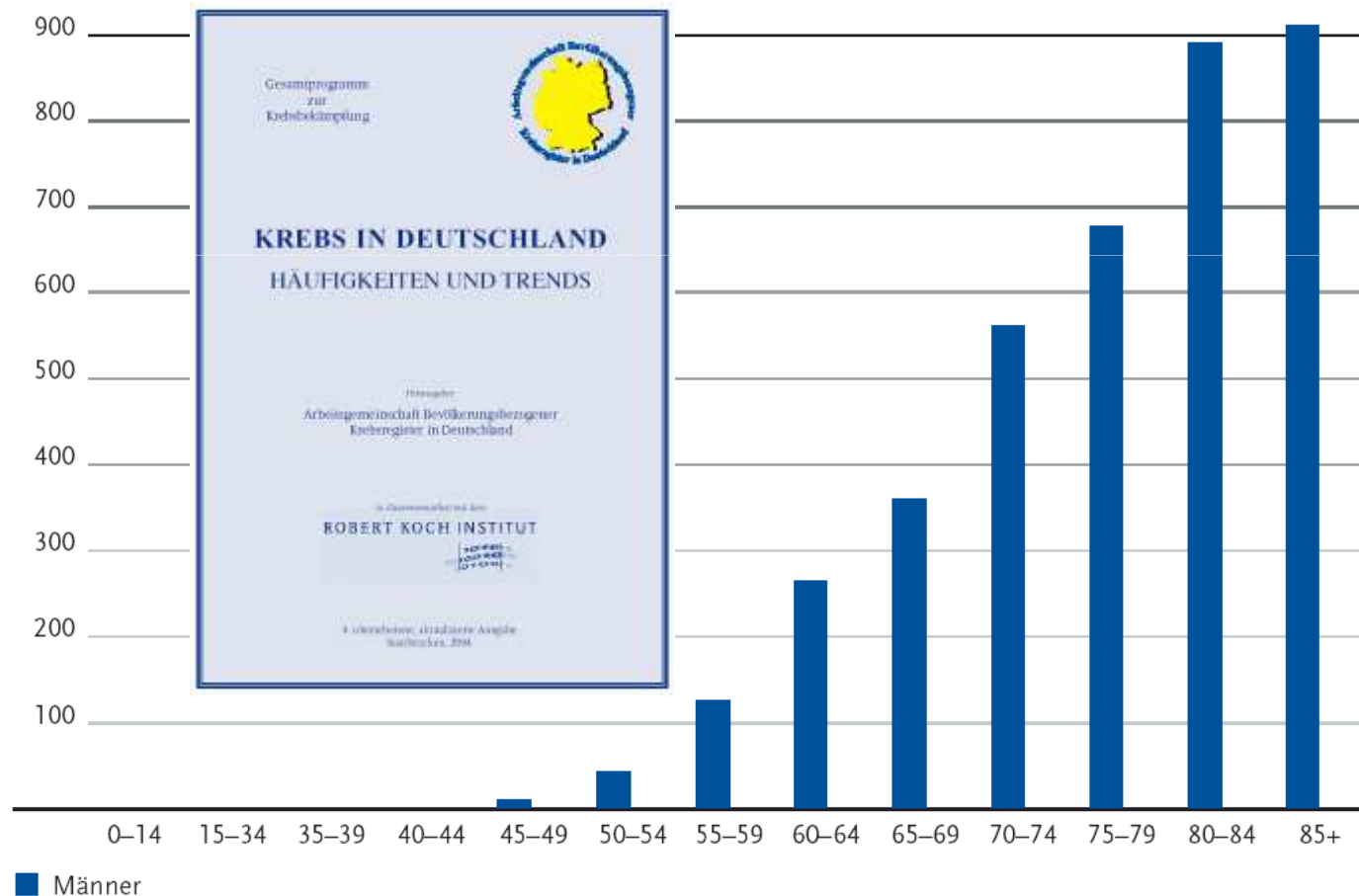


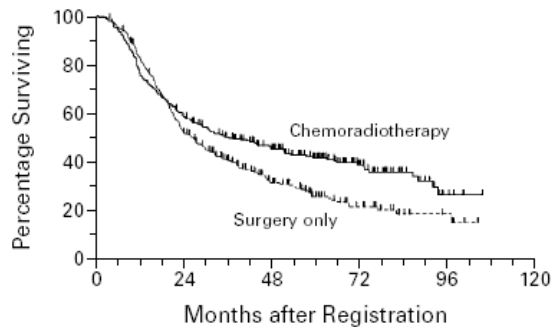
# Secondary Carcinoma is not a relevant problem for old patients

Prostata

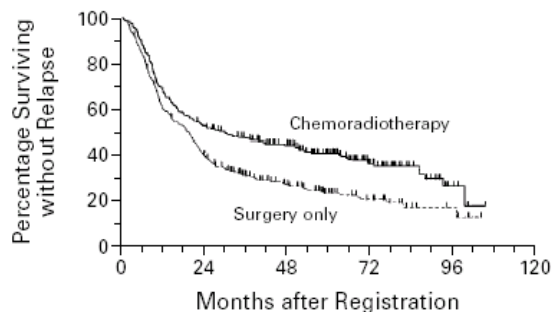
ICD-10 C61

Schätzung der altersspezifischen Inzidenz in Deutschland 2000  
Erkrankungen pro 100.000 in Altersgruppen





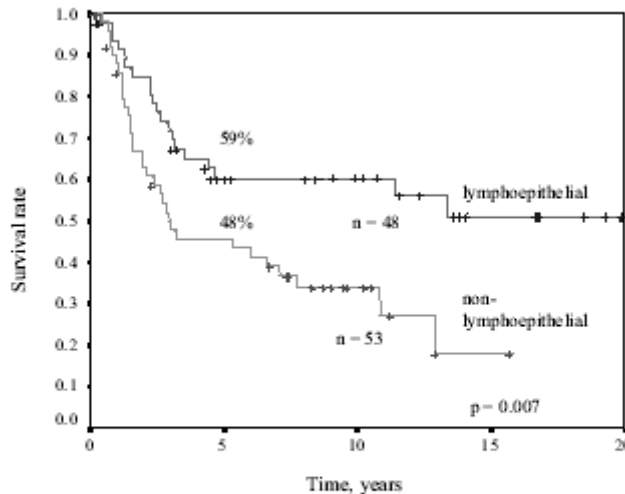
**Figure 1.** Overall Survival among All Eligible Patients, According to Treatment-Group Assignment. The median duration of survival was 27 months in the surgery-only group and 36 months in the chemoradiotherapy group. The difference in overall survival was significant ( $P=0.005$  by a two-sided log-rank test). A total of 169 of the 281 patients in the chemoradiotherapy group and 197 of the 275 patients in the surgery-only group died during the follow-up period.



**Figure 2.** Relapse-free Survival among All Eligible Patients, According to Treatment-Group Assignments. The median duration of relapse-free survival was 19 months in the surgery-only group and 30 months in the chemoradiotherapy group. This difference in relapse-free survival was significant ( $P<0.001$  by a two-sided log-rank test). A total of 174 of the 281 patients in the chemoradiotherapy group and 206 of the 275 patients in the surgery-only group died or had a relapse during the follow-up period.

CHEMORADIOOTHERAPY AFTER SURGERY COMPARED WITH SURGERY ALONE FOR ADENOCARCINOMA OF THE STOMACH OR GASTROESOPHAGEAL JUNCTION

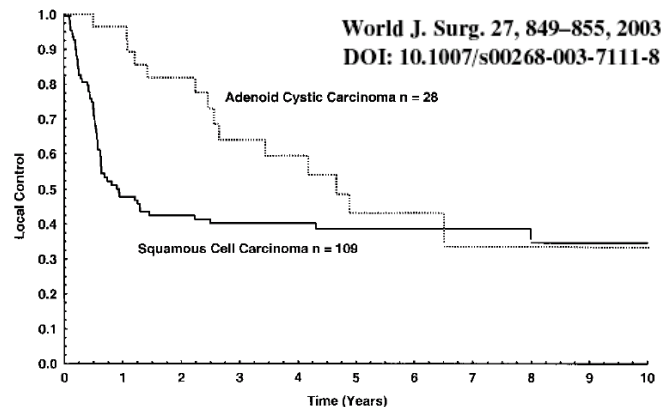
John S. Macdonald, M.D., Steven R. Scahill, M.D., Jacqueline Bardeci, Ph.D., Scott A. Hendon, M.D., Norman C. Ester, M.D., Grant N. Stemmermann, M.D., David G. Hickey, M.D., Jeffrey A. Ajani, M.D., Leonard L. Gundacker, M.D., J. Maxwell Joseph, M.D., and James A. Maresh, M.D.



**Fig. 1.** Overall survival and histology: Upper curve represents 48 patients with lymphoepithelial cancer, lower curve represents 53 patients with other histology ( $p = 0.007$ ).

Journal of Clinical Oncology, Vol. 21, No. 12, December 1, 2003; pp 2612-2618  
DOI: 10.1200/JCO.2003.01.1111

Chemotherapy in Patients with Adenoid Cystic Carcinoma of the Paranasal Sinus: A Retrospective Study



**Fig. 2.** Actuarial local control of paranasal sinus cancer according to histopathology: adenoid cystic carcinoma,  $n = 28$ ; squamous cell carcinoma,  $n = 109$ . Data from Waldron et al. [4].

Paranasal Sinus Cancer: Caveats and Controversies

John Waldron, M.D., M.Sc.,<sup>1</sup> Ian Witterick, M.D.<sup>2</sup>

<sup>1</sup>Department of Radiation Oncology, Princess Margaret Hospital, 416 University Avenue, Toronto, Ontario, Canada M5G 2M8

<sup>2</sup>Division of Otolaryngology, St. Michael's Hospital, University of Toronto, 800 University Avenue, Toronto, Ontario, Canada M5G 1X5

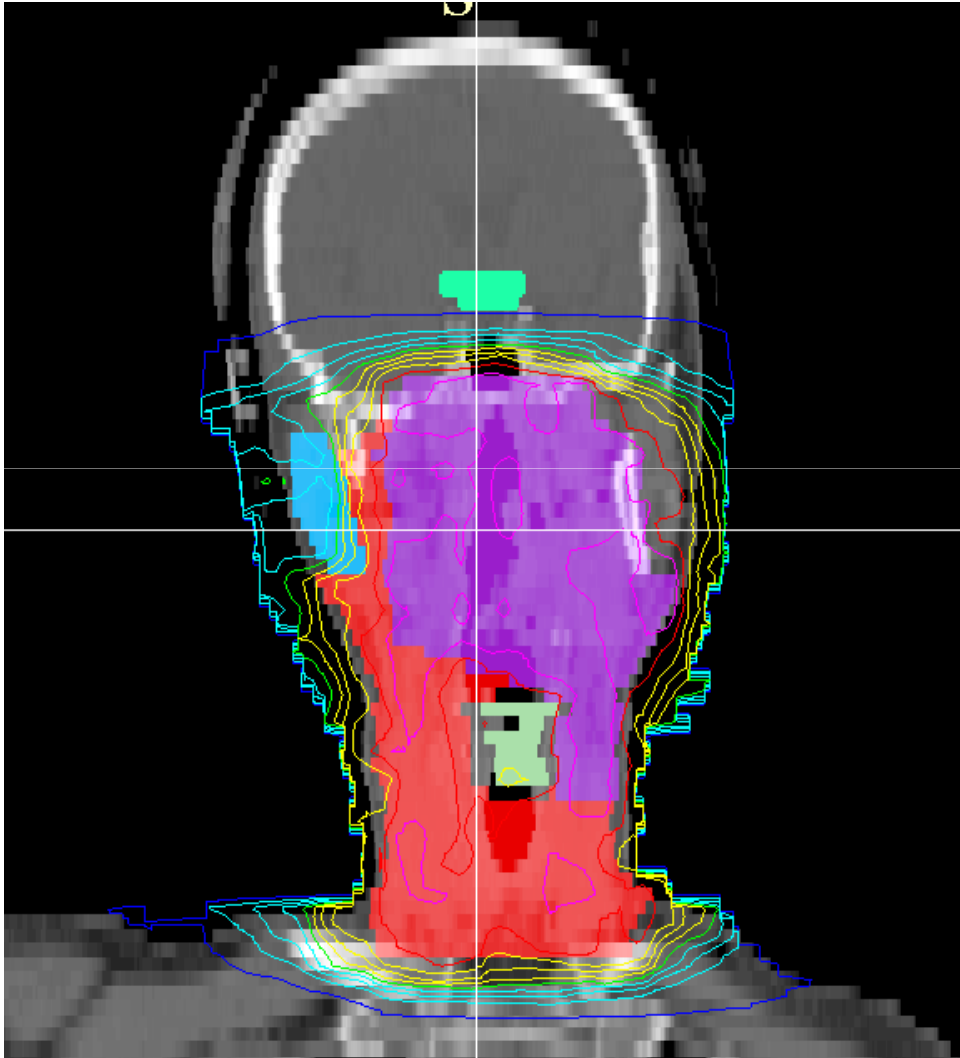
Published Online: May 26, 2003

# Secondary Carcinoma

is not a relevant problem when patients with a bad prognosis (such as it is the case with advanced gastric cancer) are treated. Achieving cure is the problem for these patients.

## Secondary Tumors: H&N

Risk is not different from 3D if the whole diameter is irradiated



Head and Neck:

Irradiation of (more or less) the whole neck circumference with therapeutic doses (volume very similar to conventional 3D [paradigms changing slowly])

->similar risk for secondary tumors for IMRT and 3D in the Neck area, probably slightly elevated risk outside neck due to elevated MU, increased scatter. High risk for secondary, non RT-induced cancer, though (Lung!!)

# Specific Problems with IMRT

# Secondary Tumors

Risk assessment of radiation-induced malignancies based on whole-body equivalent dose estimates for IMRT treatment in the head and neck region

Dirk Verellen<sup>a,\*</sup>, Filip Vanhavere<sup>b</sup>

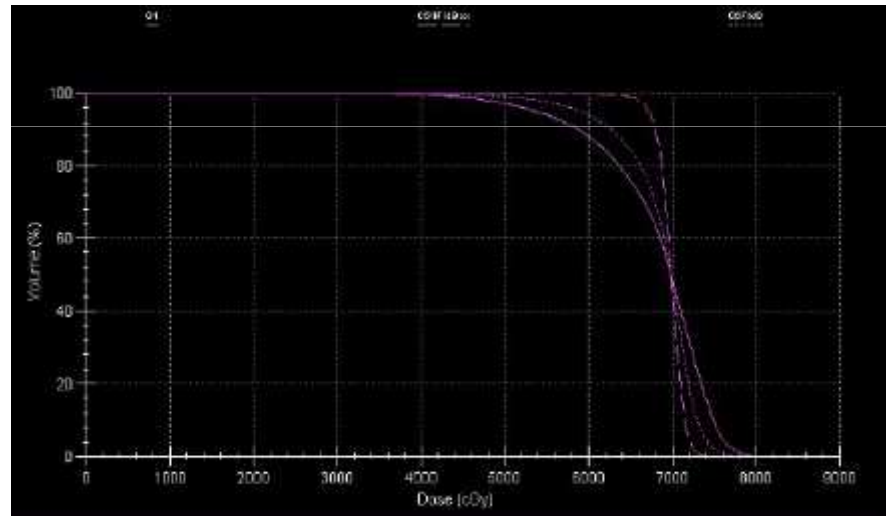
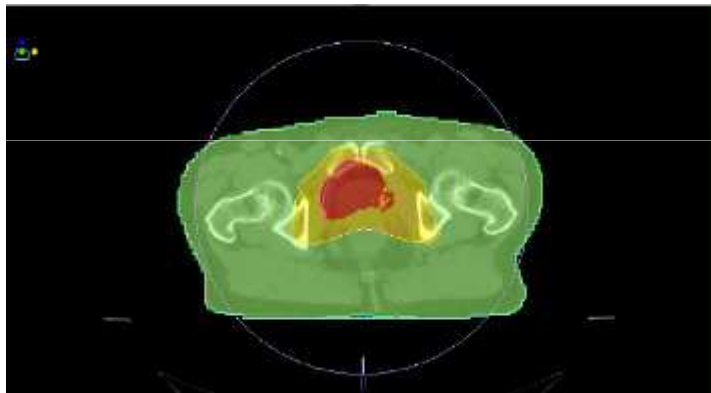
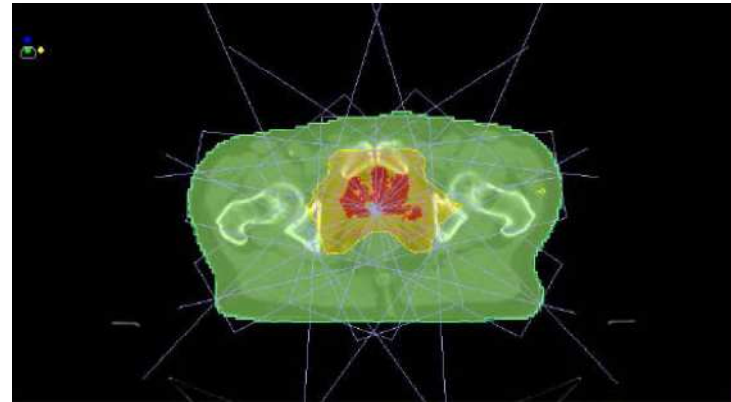
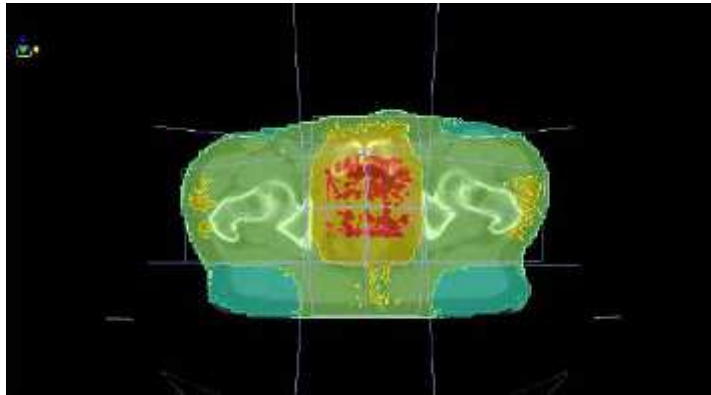
*Radiotherapy and Oncology* 53 (1999) 199–203

## **RADIATION-INDUCED SECOND CANCERS: THE IMPACT OF 3D-CRT AND IMRT**

ERIC J. HALL, D.Sc.\* AND CHENG-SHIE WUU, Ph.D.†  
*Int. J. Radiation Oncology Biol. Phys.*, Vol. 56, No. 1, pp. 83–88, 2003

## Reasons for a potentially increased incidence of secondary tumors by IMRT

1. Increased biological effectiveness of an elevated total body neutron dose
2. When compared to 3D-Conformal RT, IMRT irradiates a more tissue at lower doses
3. Increased scatter dose when dose-escalation is performed
4. Increased leakage radiation because of low MU-efficiency of IMRT



*1 Gy (blue), 5 Gy (green), 45 Gy (yellow) and 70 Gy (red)*

## Prostate IMRT

*“The risk of RISPC appears small, in the range of 1 in 220 to 1 in 290 over all durations of follow-up, based on older radiation techniques. Importantly, the risk appears to increase with time, and beyond 5 years, SPCs in the region of the original field may be considered RISPCs. To date there are insufficient clinical data to draw firm conclusions about the impact of more modern RT techniques, although limited evidence is encouraging”*

Murray et al. Radiother Oncol, 2014



# Secondary Tumors

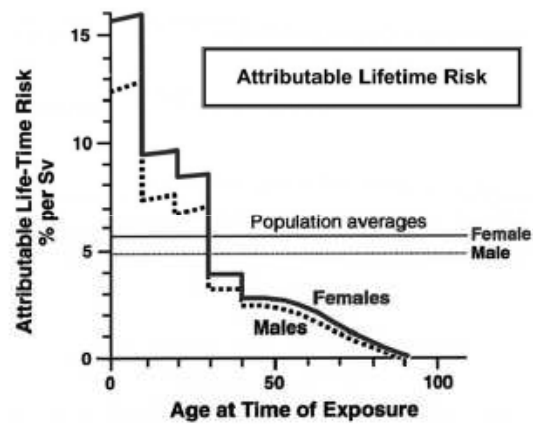


Fig. 6. The attributable lifetime risk from a single small dose of radiation at various ages at the time of exposure. Note the dramatic decrease in radiosensitivity with age. The higher risk for the younger age groups is not expressed until late in life. These estimates are based on a multiplicative model and on a dose and dose-rate effectiveness factor (DDREF) of 2. The figure was adapted from International Commission on Radiological Protection (ICRP) Publication 60 (14).

Same Leakage for Adult RT vs. Pediatric RT — But in Pediatric RT Scatter from the Treatment Volume Is More Significant

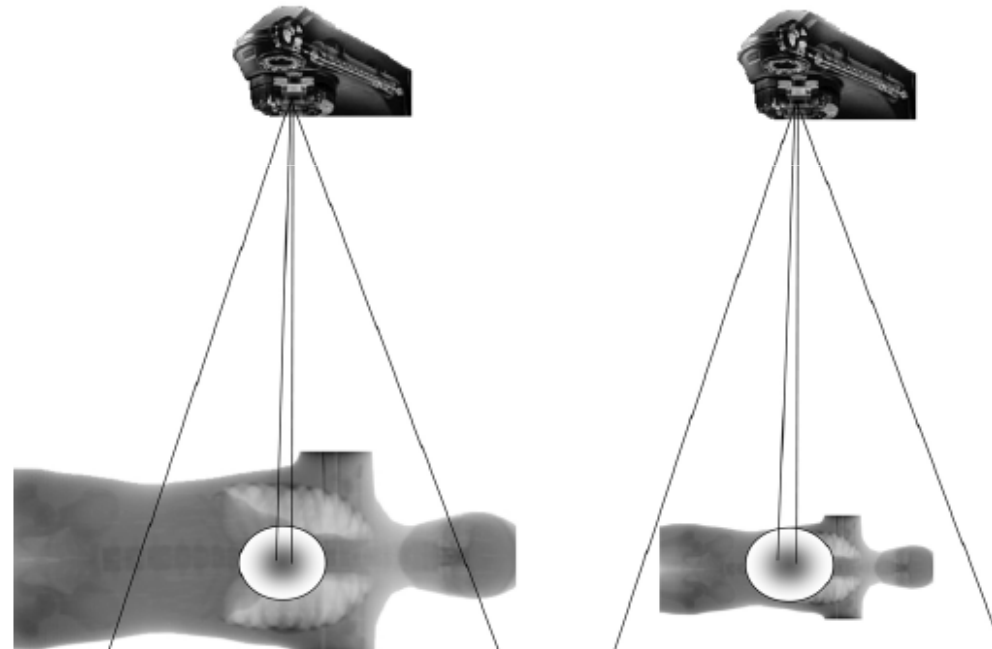


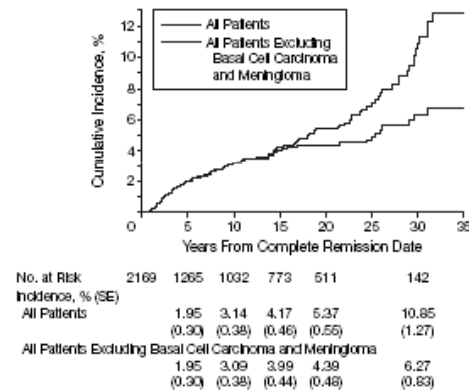
Fig. 7. When a primary tumor is treated with radiotherapy (RT) in a small child, nearby potentially radiogenic organs inevitably receive larger doses of radiation than when a comparable treatment is delivered to an adult, simply because of the closer proximity of organs in a child.

# Pediatric Oncology is a problem...but not a disastrous one

## The St. Jude Data....Conventional RT Techniques

Median is the line within each box; boxes indicates interquartile ranges and error bars indicate ranges.

**Figure 2.** Cumulative Incidence of Secondary Neoplasms Occurring in First Complete Remission



Hijiya, JAMA, 2007

**Table 3.** Incidence of Secondary Neoplasm in Patients in First Complete Remission Who Were Treated for Acute Lymphoblastic Leukemia in 1962-1998 vs US General Population

Cancer Type/Site	No. of Events		Standardized Incidence Ratio (95% Confidence Interval)*
	Observed	Expected	
<b>All tumors†</b>			
All patients	87	6.4	13.5 (10.9-16.8)
Cranial/craniospinal irradiation	69	5.1	13.6 (10.5-17.1)
No cranial/craniospinal irradiation	18	1.4	13.3 (7.9-21.0)
<b>Myeloid</b>			
All patients	41	0.3	150.9 (98.1-185.4)
Cranial/craniospinal irradiation	27	0.2	138.6 (88.9-196.4)
No cranial/craniospinal irradiation	14	0.1	182.2 (99.5-306.1)
<b>Central nervous system</b>			
All patients	22	0.7	31.8 (19.7-47.6)
Cranial/craniospinal irradiation	21	0.5	45.8 (26.0-64.2)
No cranial/craniospinal irradiation	1	0.2	4.3 (0.1-24.0)
<b>Lymphoma</b>			
All patients	3	1.0	3.0 (0.6-8.8)
Cranial/craniospinal irradiation	2	0.7	2.7 (0.3-9.7)
No cranial/craniospinal irradiation	1	0.3	4.0 (0.1-22.3)
<b>Other solid tumors†</b>			
All patients	21	4.5	4.7 (2.9-7.1)
Cranial/craniospinal irradiation	19	3.7	5.1 (3.1-8.0)
No cranial/craniospinal irradiation	2	0.8	2.5 (0.3-9.0)

\*See "Methods" section of the text for details on the calculation of standardized incidence ratios.

†These types of secondary neoplasms in first complete remission were omitted because they are not included or were only recently included in the Surveillance, Epidemiology, and End Results database: myelodysplastic syndrome (n = 7), meningioma (n = 16), and basal cell/squamous cell carcinoma (n = 16). Three malignancies occurring after meningioma or myelodysplastic syndrome were included (2 myeloid leukemias after myelodysplastic syndrome and 1 thyroid carcinoma after meningioma). See text for details.

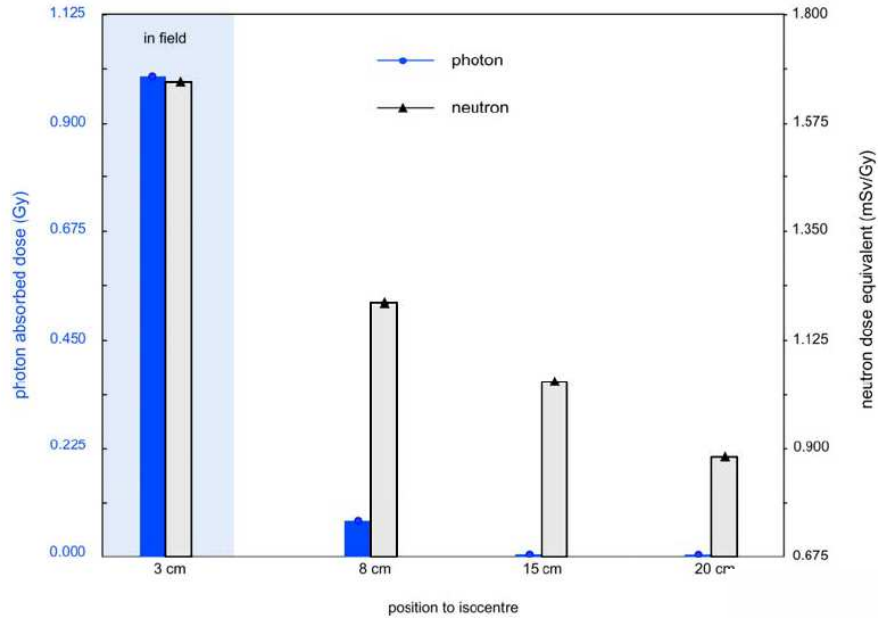


Figure 5. Siemens Mevatron. Integral photon absorbed dose (left-hand scale) and neutron dose equivalent (right-hand scale) calculated with MCNP-GN at various positions with respect to the isocentre.

But:  
 Threshold energy for  
 neutron generation is 6-8  
 MV,  
 thus relevant only at >10MV

RAPID COMMUNICATION

Analysis of photon-neutron spectra produced in medical accelerators

Carla Ongaro†, Alba Zanini‡, U Nastasi§, José Ródenas||, Giuseppe Ottaviano† and Claudio Manfredotti†

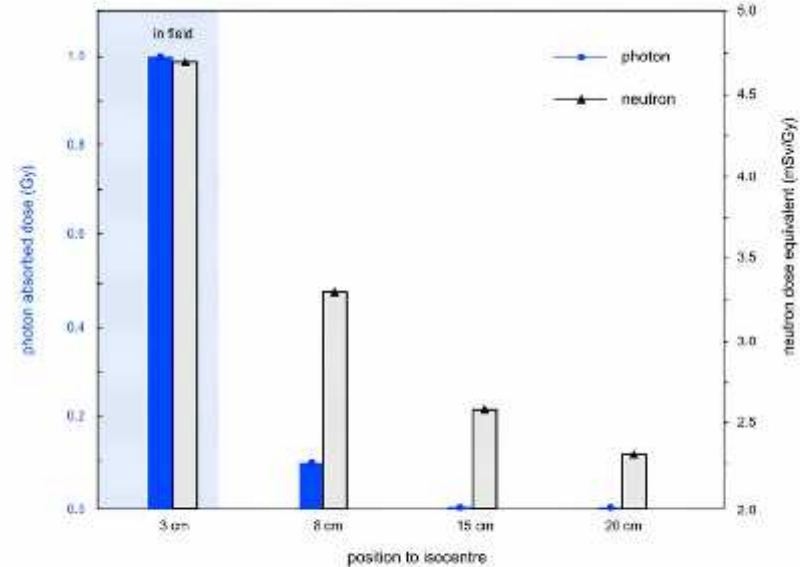


Figure 6. SELEKTA SL200. Integral photon absorbed dose (left-hand scale) and neutron dose equivalent (right-hand scale) calculated with MCNP-GN at various positions with respect to the isocentre.

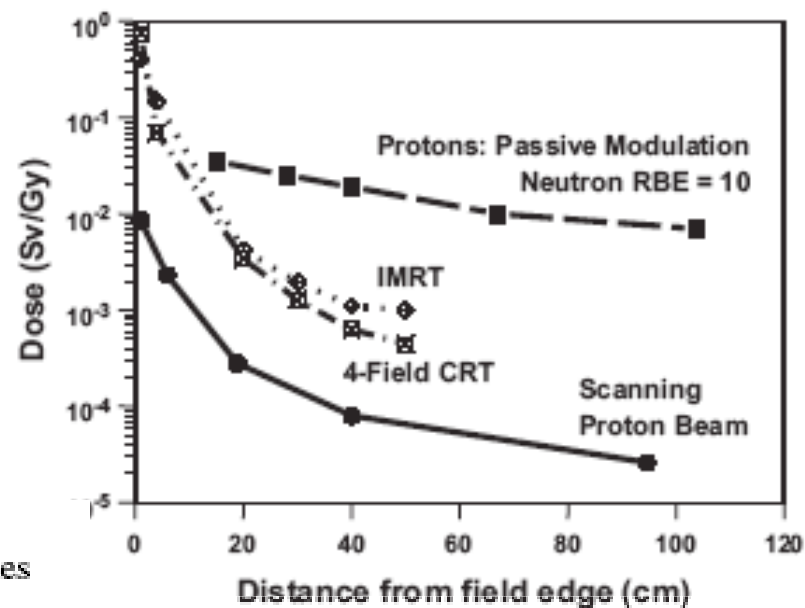
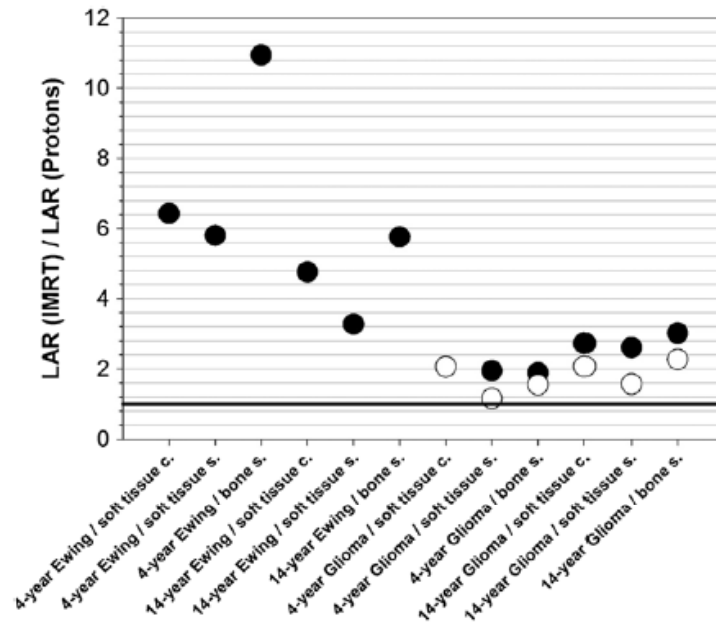


Table 3. Estimated risk of fatal radiation-induced malignancies after RT for prostate cancer (%/Sv)

Hall and Wu (4)	
Conventional 6 MV	1.5
IMRT 6 MV	3.0
Kry <i>et al.</i> (5)	
Conventional 18-MV Varian	1.7
IMRT 6-MV Varian	2.9
Siemens	3.7
IMRT 10-MV Varian	2.1
IMRT 15-MV Varian	3.4
Siemens	4.0
IMRT 18-MV Varian	5.1

*Abbreviations:* IMRT = intensity-modulated radiation therapy; MV = megavoltage; RT = radiation therapy.

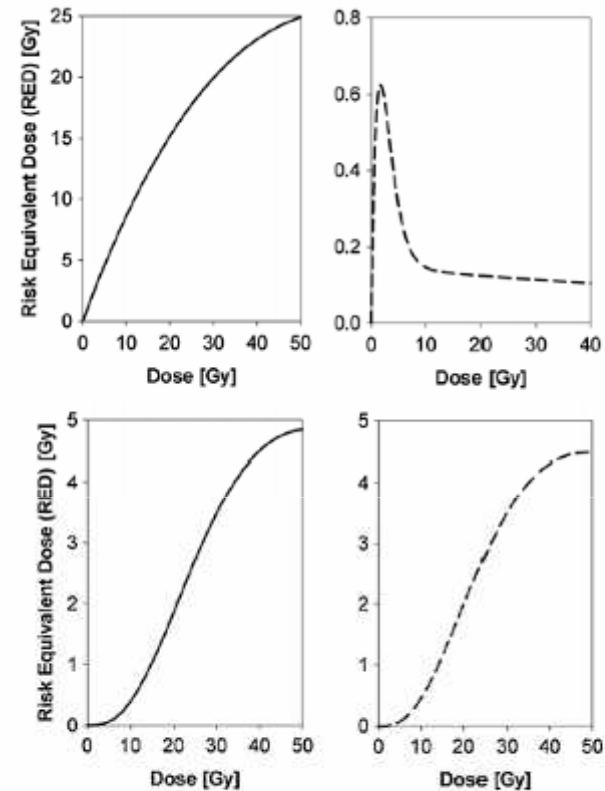
# Assessment of radiation-induced second cancer risks in proton therapy and IMRT for organs inside the primary radiation field



**Figure 4.** LAR from IMRT versus LAR from proton therapy based on tables 2–5. For the optic glioma cases, the advantage of proton therapy is, on average, slightly lower than the difference integral dose (table 7). For Ewing’s sarcoma cases, the advantage of protons is much higher than the integral dose difference. The open circles refer to the four-field proton plans.

**Table 6.** Total energy deposited (in Joules) in the patient for the treatment plans considered.

	Optic glioma			Ewing’s sarcom	
	Protons (three fields)	Protons (four fields)	IMRT	Protons	IMRT
4 year old	10.98	11.64	36.04	24.04	47.70
14 year old	10.73	12.05	29.57	75.48	148.00



**Figure 1.** Risk equivalent dose as a function of a point dose (a single voxel). The upper figures show the dose–response for carcinoma (tumor induction for brain/CNS (solid) and bowel (dashed); see table 1) while the lower figures show the dose–response for sarcoma (for soft tissue (solid) and bone (dashed); see parameters in table 1).

Paganetti et al., PMB, 2012

Does this sufficiently reflect reality?

$$\text{OED}_{\text{carcinoma}} = \frac{1}{N} \sum_i \frac{\exp(-\alpha'_i D_i)}{\alpha'_i R} \left( 1 - 2R + R^2 \exp[\alpha'_i D_i] - [1 - R]^2 \exp\left[-\frac{\alpha'_i R}{1 - R} D_i\right] \right) \quad (1)$$

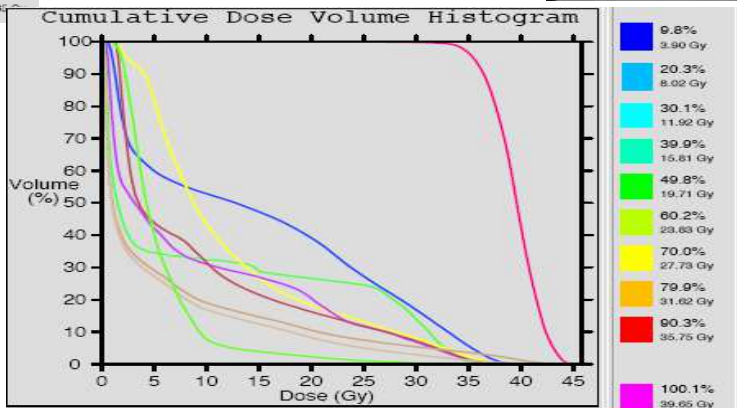
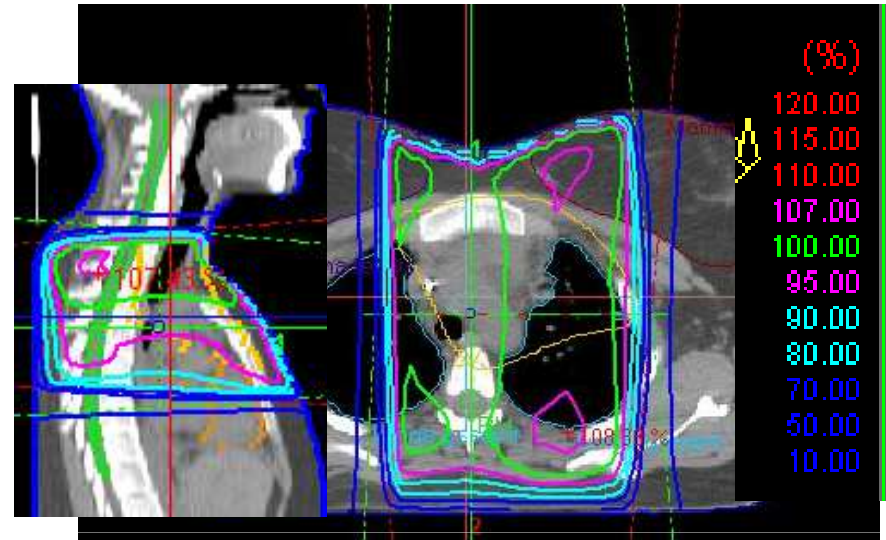
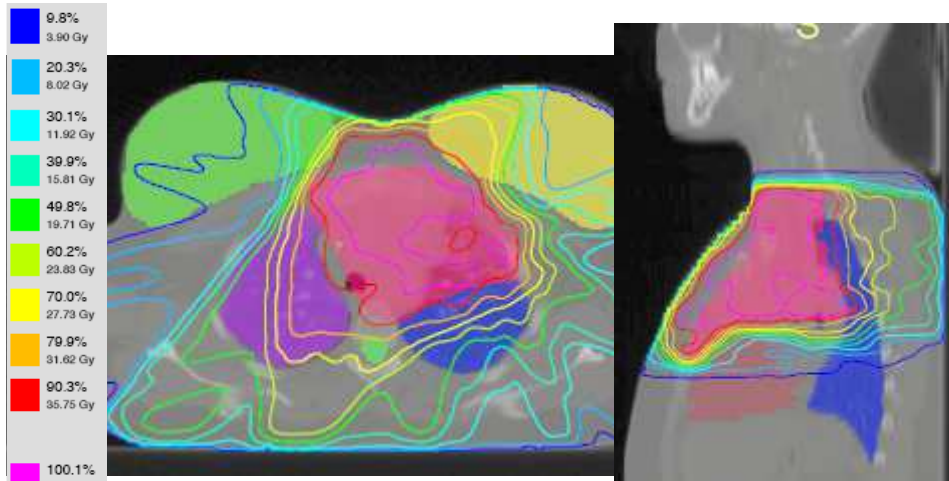
$$\text{OED}_{\text{sarcoma}} = \frac{1}{N} \sum_i \frac{\exp(-\alpha'_i D_i)}{\alpha'_i R} \left( 1 - 2R + R^2 \exp[\alpha'_i D_i] - \alpha'_i R D_i - [1 - R]^2 \exp\left[-\frac{\alpha'_i R}{1 - R} D_i\right] \right) \quad (2)$$

$$\alpha'_i = \alpha + \beta D_i \frac{d_F}{D}. \quad (3)$$

Paganetti et al., PMB, 2012

# Mediastinal Tumors: Hodgkin's Disease

Elevated median but reduced mean breast dose as a result of improved heart protection -> Consequences???



Tissue	Lung (L)	Heart	Spiral Cord	Lung (R)	Ref1 ( )	Ref2 ( )	Target1 - target
Limit (Gy)	39.60	49.92	323.83	18.56	45.83	39.37	2.33
Above Limit (%)	0.14	36.20	0.00	43.54	4.96	8.26	25026.39
Vol. (cc)	1.22	313.88	0.00	45.83	5.83	9.79	25675.03
Min (Gy)	14.00	48.50	318.60	0.46	41.02	14.58	12.57
Max (Gy)	14.00	27.81	352.91	0.23	39.88	8.78	10.56
Mean (Gy)	10.00	31.54	114.03	1.15	38.73	8.99	9.83
S.D. (Gy)	8.00	55.42	397.52	0.23	40.10	12.04	9.08
Vol. (cc)	6.00	30.57	215.19	0.46	38.67	5.42	4.27

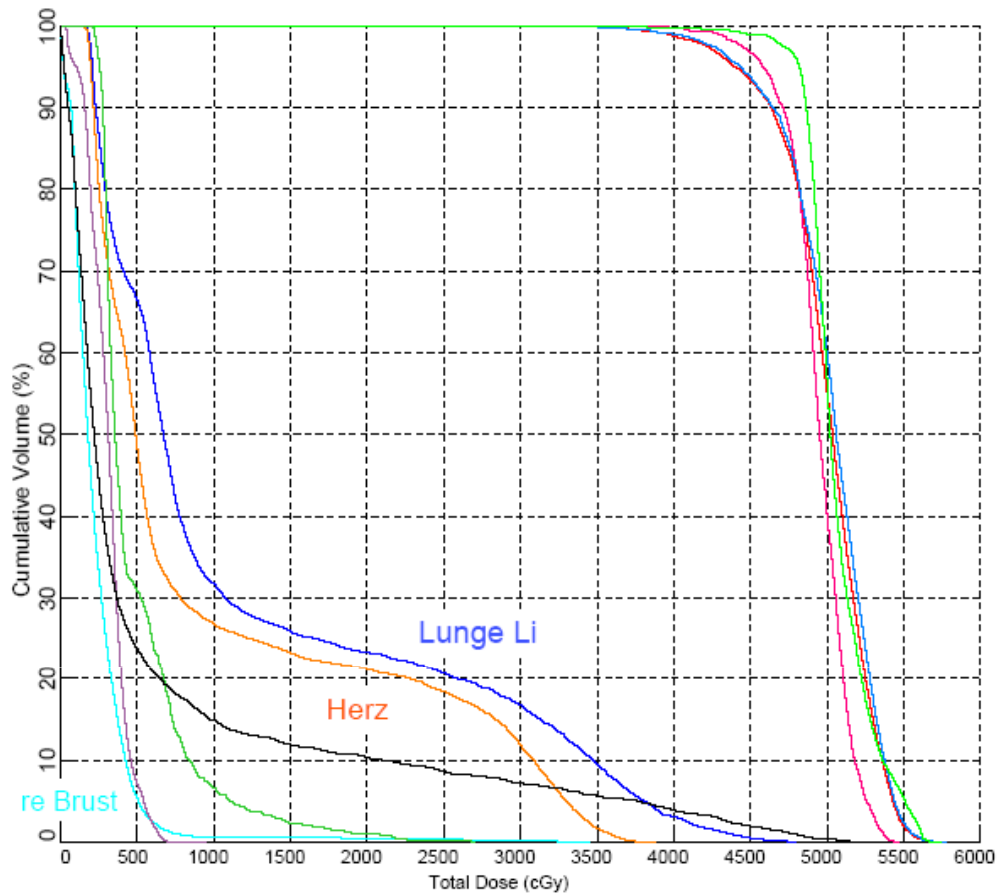
Name	Min [%]	Max [%]	Median [%]	Average [%]	Std. Dev. [%]	Calculated Points	Dose volume [ccm]	DICOM #
External	0.000	108.968	0.955	13.749	30.333	205265	25422.552	1
Lunge re	0.305	105.233	1.915	12.079	27.099	10205	1279.503	2
Lunge li	1.501	108.812	89.600	64.507	42.416	6133	774.489	3
Lunge gesamt	0.305	108.812	4.362	31.607	41.934	16327	2053.849	4
Herz	2.613	102.207	31.078	46.574	40.580	3113	388.984	5
RM	0.261	107.061	3.953	35.851	44.307	235	34.115	6
Mamma links	0.000	108.043	6.110	31.175	39.401	5670	706.555	7
Mamma rechts	0.000	102.953	1.701	7.613	19.809	5550	692.196	8
ZV	90.111	107.384	101.231	101.264	3.248	5132	635.266	9

## Problems with Modelling

“The mean estimated ERR for breast, lung and thyroid were significantly ( $p < 0.01$ ) lower with INRT than with IFRT planning, regardless of the radiation technique delivery used, assuming a linear dose-risk relationship. An ERR increase was however observed with the non-linear model. With the latter, mean ERR were significantly ( $p < 0.01$ ) increased with IMRT or RA when compared to 3DCRT planning for the breast, lung and thyroid using an IFRT paradigm. After INRT planning, IMRT or RA increased the risk of RIC for lung and thyroid only. “

Weber et al., IJROBP, 2011



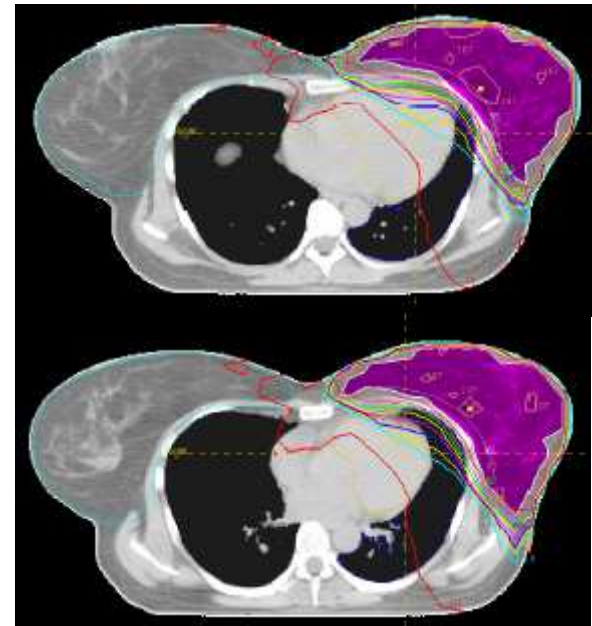
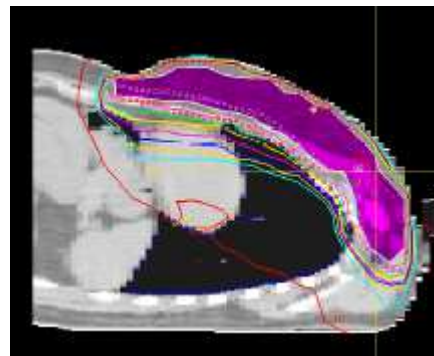


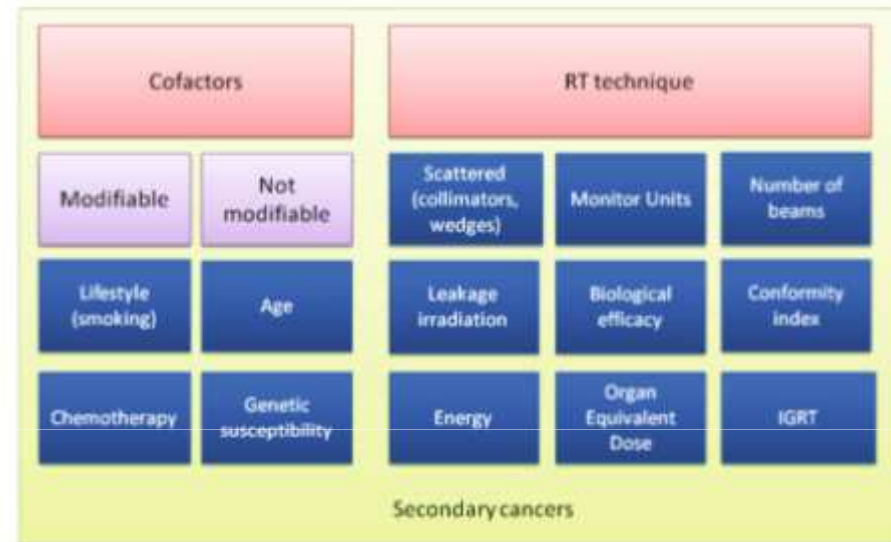
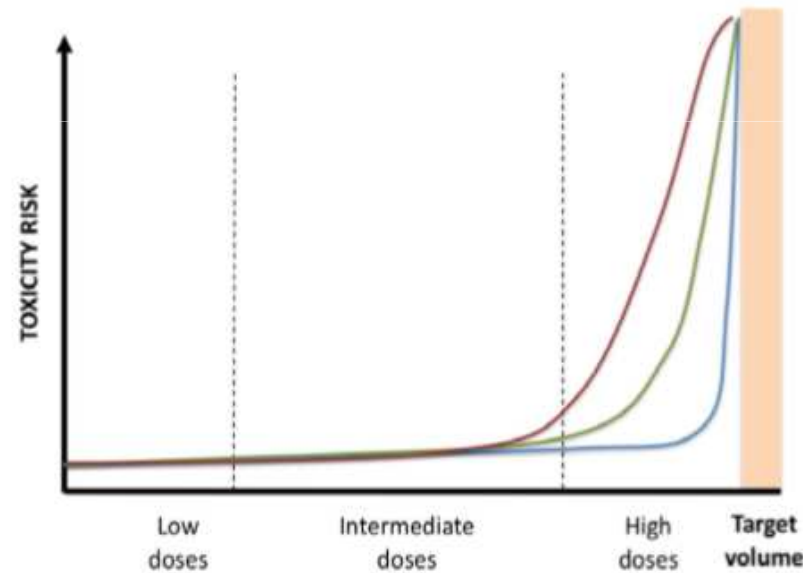
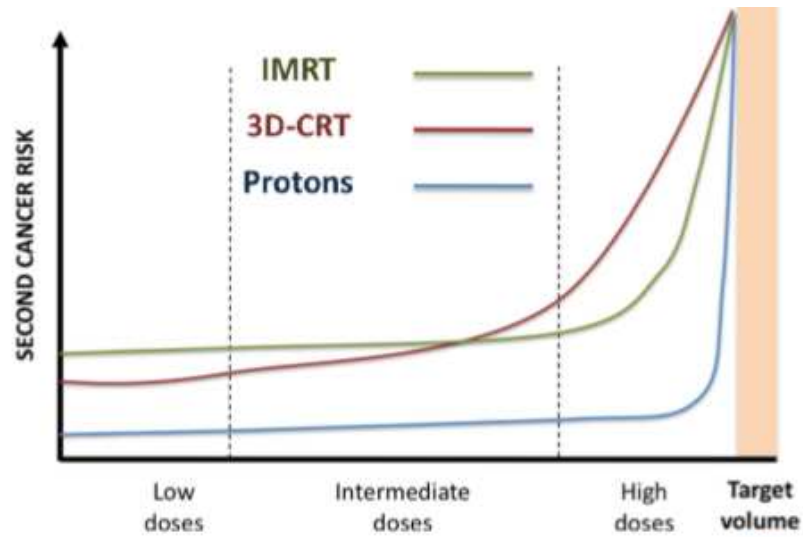
## Breast:

Increase of mean and median contralateral breast dose very moderate (from 1.5 to 2.5 Gy) while improved heart protection can be achieved

(Example:

23 Segments - 7 Beams - 362 MUs)





Chargari,  
Cancer Metast Review,  
2016

# Conclusions

1. Conventional RT probably goes with a secondary tumor risk of approximately 1% (High- and Low-dose Areas together). The dose/volume effects for secondary tumor risk are currently completely unclear.
2. **Conservative** estimates suggest an increase by IMRT to 2%, e.g. the secondary tumor risk doubles when compared to conventional RT.

But:

- It is still an overall low number.
- For most diseases, this is negligible in comparison to the risk of local recurrence.
- Given the predominantly old age of patients, this is mostly irrelevant anyway
- New planning systems create efficient plans, so that the number of monitor units decreases dramatically

3. **Realistic** estimates suggest a factor of  $<1.5$  between the secondary tumor risk of conventional RT and IMRT.
4. It is not completely improbable, that IMRT *reduces* the risk of secondary tumors
5. Precise knowledge of the dose at SMN origin is necessary to further refine our knowledge (prospective evaluation necessary!!!!)
6. IMRT for children and breast cancer has to be used with caution, weighing benefits against potential risk, but the same holds true for IORT

# Where the real danger lurks.....

## Dental X-Rays and Risk of Meningioma

Elizabeth B. Claus, MD, PhD<sup>1,2</sup>; Lisa Calvocoressi, PhD<sup>1</sup>; Melissa L. Bondy, PhD<sup>3</sup>; Joellen M. Schildkraut, PhD<sup>4</sup>; Joseph L. Wiemels, PhD<sup>5</sup>; and Margaret Wrensch, PhD<sup>5,6</sup>

## Cancer, 2012

Variable	Cases, n = 1433		Controls, n = 1350		OR (95% CI) <sup>b</sup>
	No.	%	No.	%	
<b>Ever had Panorex</b>					
Aged <10 y	22	2.1	5	0.4	4.9 (1.8-13.2)
Ages 10-19 y	91	8	69	6.1	1.5 (1.1-2.1)
Ages 20-49 y	349	30.3	355	31.5	0.9 (0.7-1.1)
Aged ≥50 y	253	29.9	223	27	1.2 (0.9-1.5)
Any age	536	46.7	541	46.7	1.0 (0.8-1.2)
<b>Frequency of Panorex</b>					
Aged <10 y					
Ever	22	2.1	5	0.4	4.9 (1.8-13.2)
Ages 10-19 y					
None	1040	92	1054	93.7	1.0
Less than yearly	74	6.5	63	5.6	1.3 (0.9-1.9)
Yearly or more	17	1.5	6	0.5	3.0 (1.2-7.8)
Ages 20-49 y					
None	803	69.7	773	68.5	1.0
Less than yearly	311	27	341	30.2	0.9 (0.7-1.0)
Yearly or more	38	3.3	14	1.2	2.7 (1.4-5.3)
Aged ≥50 y					
None	592	70.1	603	73	1.0
Less than yearly	214	25.3	209	25.3	1.0 (0.8-1.3)
Yearly or more	39	4.6	14	1.7	3.0 (1.6-5.6)

Abbreviations: CI, confidence interval; OR, odds ratio.

<sup>a</sup> Individuals who received therapeutic radiation to the head, neck, face, or chest were not included (114 cases and 60 controls).

<sup>b</sup> Adjusted for age, sex, education, race (white vs nonwhite), and history of head computed tomography.

**Table 3.** Reported History of Therapeutic Radiation to Head, Neck, Face, or Chest Among Meningioma Cases and Controls

Radiation Treatment For	Cases, n = 1433		Controls, n = 1350		OR (95% CI)
	No.	%	No.	%	
Cancer	58	4.1	37	2.7	1.5 (1.0-2.2) <sup>a</sup>
Benign tumor	15	1	5	0.4	2.8 (1.0-7.8) <sup>a</sup>
Tonsils/adenooids	5	0.4	0	0	P = .0628 <sup>b</sup>
Thyroid	9	0.6	2	0.2	P = .0660 <sup>b</sup>
Acne	10	0.7	6	0.4	P = .4566 <sup>b</sup>
Ringworm	4	0.4	0	0	P = .1253 <sup>b</sup>
Ear	3	0.2	1	0.1	P = .6254 <sup>b</sup>
Other	15	1.1	9	0.7	P = .3087 <sup>b</sup>
Any	114	8	60	4.4	1.8 (1.3-2.5) <sup>a</sup>

Abbreviations: CI, confidence interval; OR, odds ratio.

<sup>a</sup> Adjusted for age, sex, and race (white vs nonwhite).

<sup>b</sup> Fisher exact test (2-sided probability).



# Highly conformal techniques in locally advanced lung cancer: indications, techniques, normal tissue constraints, results

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# The “too much” heterogeneous Stage III

## Box 2

### Treatment of stage III NSCLC

#### *Standard therapy*

Concurrent chemotherapy plus definitive radiotherapy

Induction chemoradiotherapy followed by surgical resection (selected patients with non-bulky mediastinal lymph nodes who do not require pneumonectomy for adequate resection)

#### *Options in poor-risk patients*

Sequential chemotherapy followed by radiotherapy

Radiotherapy alone

#### *Pathologic stage III following surgical resection*

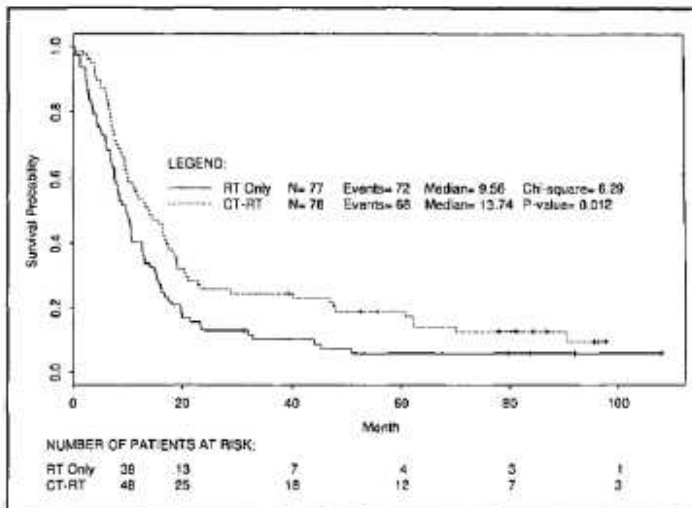
Adjuvant chemotherapy: platinum-based, 2-drug regimen × 4 cycles

Consider adjuvant radiotherapy after completion of chemotherapy

# Chemo + RT vs. RT alone

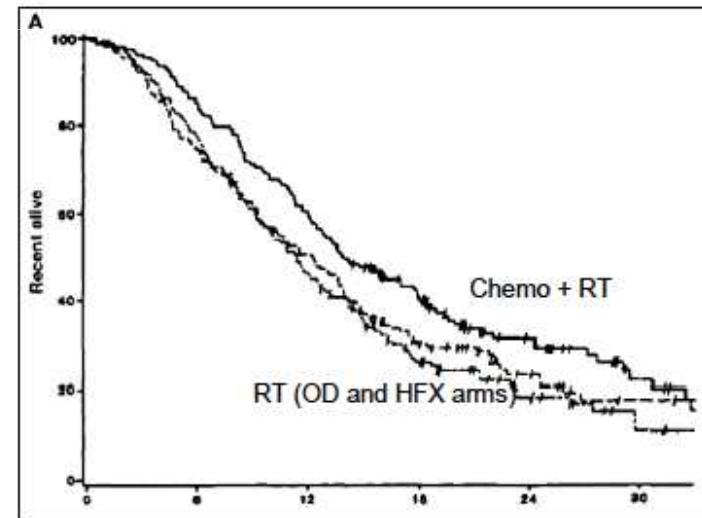
## Improved Survival in Stage III Non-Small-Cell Lung Cancer: Seven-Year Follow-up of Cancer and Leukemia Group B (CALGB) 8433 Trial

Robert O. Dillman, James Herndon, Stephen L. Seagren, Walter L. Eaton, Jr., Mark R. Green\*

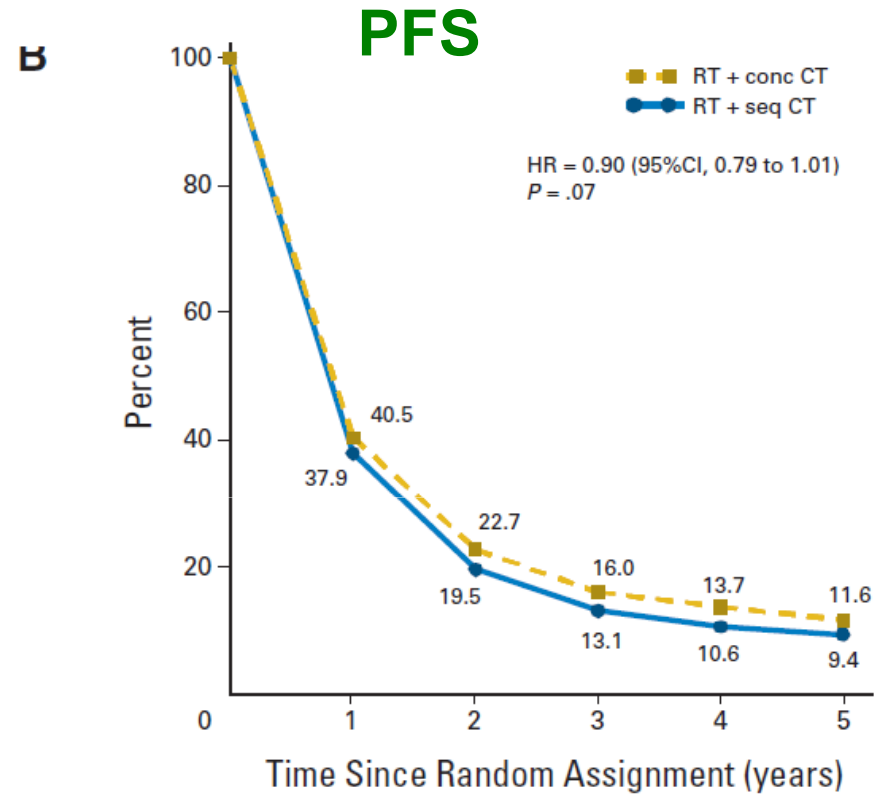
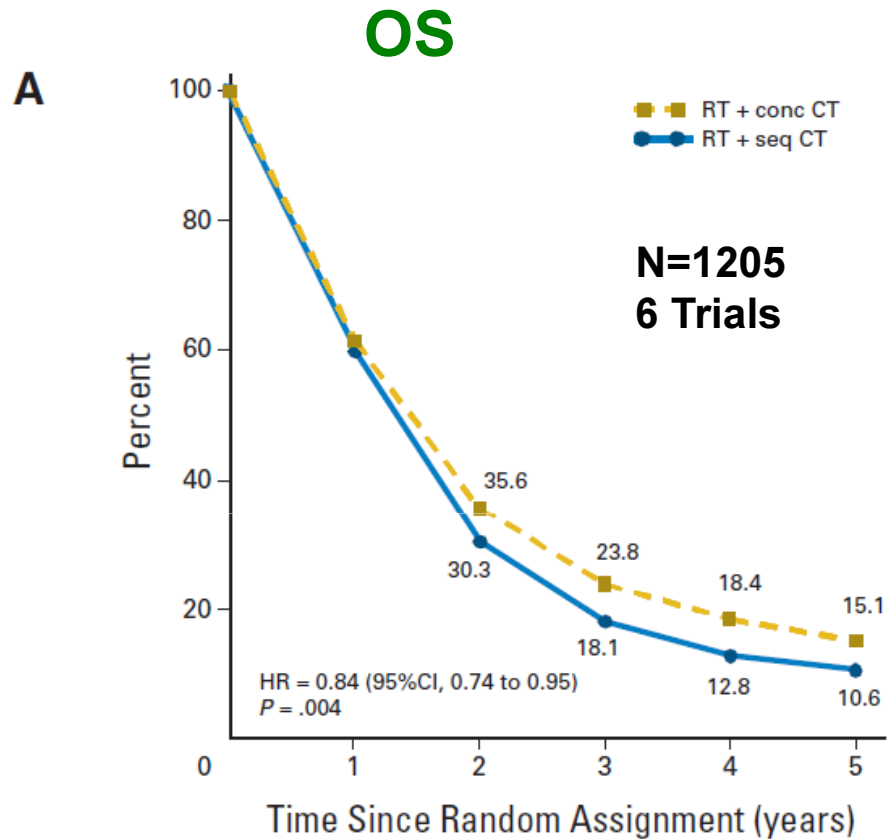


## Radiation Therapy Oncology Group (RTOG) 88-08 and Eastern Cooperative Oncology Group (ECOG) 4588: Preliminary Results of a Phase III Trial in Regionally Advanced, Unresectable Non-Small-Cell Lung Cancer

William T. Sause, Charles Scott, Samuel Taylor, David Johnson, Robert Livingston, Ritsuko Komaki, Bahman Emami, Walter J. Curran, Roger W. Byhardt, Andrew T. Turrisi, A. Rashid Dar, James D. Cox\*



# Concurrent vs. Sequential: Meta-analysis

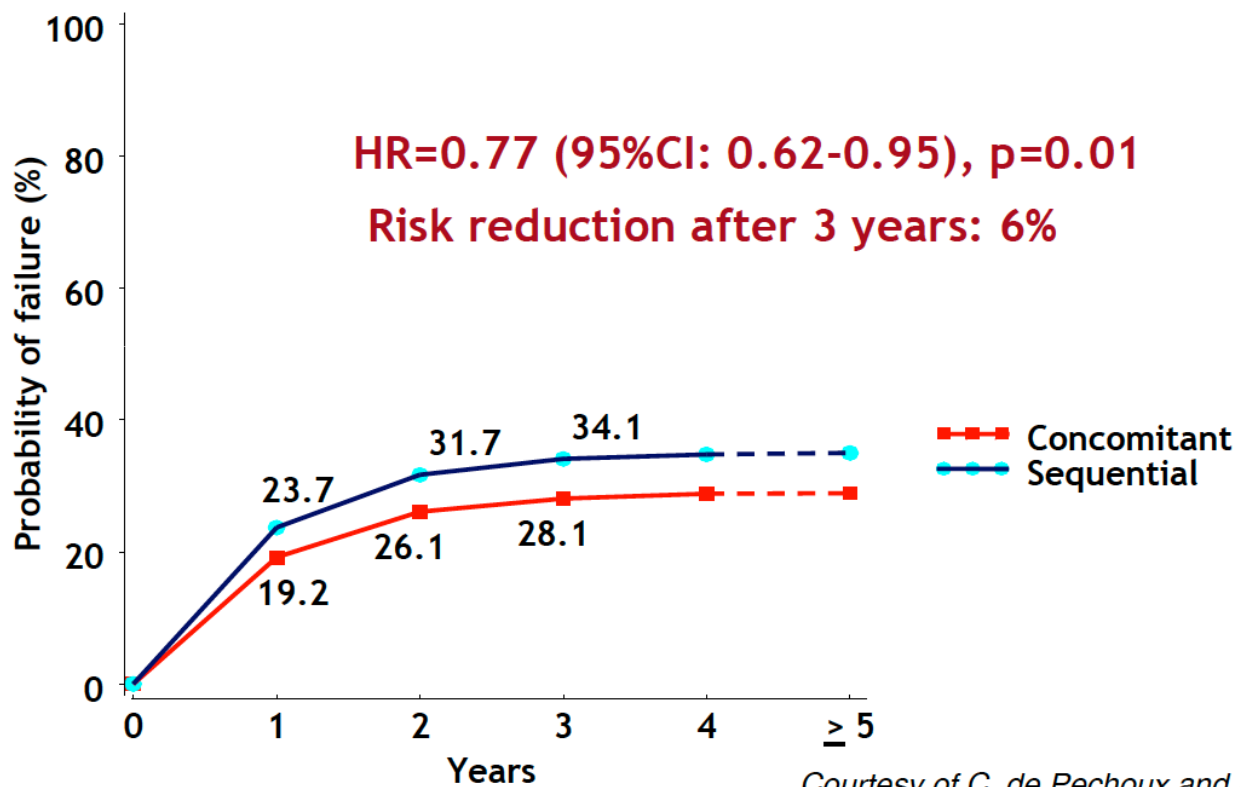


	Deaths/Person-Years by Period				
	0y-1y	1y-2y	2y-3y	3y-4y	> 4y
RT+ conc CT (n = 603)	240/498	147/276	67/171	30/116	37/186
RT+ seq CT (n = 602)	253/491	171/242	70/129	30/ 83	23/126

	Deaths/Person-Years by Period				
	0y-1y	1y-2y	2y-3y	3y-4y	> 4y
RT+ conc CT (n = 595)	365/408	98/170	36/104	12/80	21/134
RT+ seq CT (n = 589)	391/399	90/133	33/80	13/58	12/100



## Cumulative risk of locoregional failure



Courtesy of C. de Pechoux and A. Auperin

# Optimal Chemotherapy Unknown

VOLUME 33 · NUMBER 6 · FEBRUARY 20 2015

JOURNAL OF CLINICAL ONCOLOGY

E D I T O R I A L

## Concurrent Chemoradiotherapy in Stage III Non-Small-Cell Lung Cancer: What Is the Best Regimen?

Wilfried Ernst Erich Eberhardt, West German Cancer Centre; and University Hospital of University Duisburg-Essen, Essen, Germany

- Most common options in U.S. are carboplatin/paclitaxel and cisplatin/etoposide
- No phase III data to compare these
  - Pneumonitis rates appear higher with carbo/paclitaxel
  - Phase II survival data favors cisplatin/etoposide
- Cis-Vinca alkaloid also reasonable

# A Systematic Review of Carboplatin-Paclitaxel versus Cisplatin-Etoposide Concurrent with Thoracic Radiation for Stage III NSCLC Patients

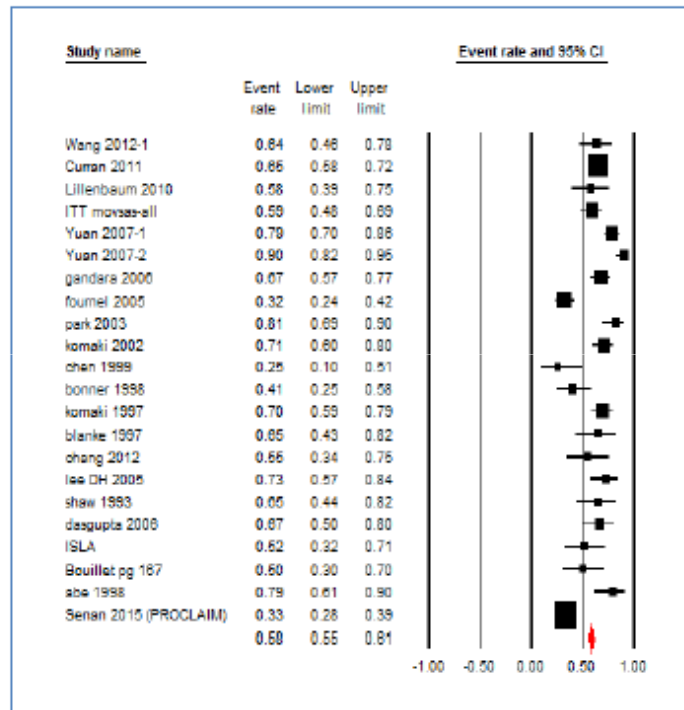
Conor E. Steuer, Madhusmita Behera, Kristin A. Higgins, Nabil F. Saba, Dong M. Shin, Suchita Pakkala, Rathi N. Pillai, Taofeek K. Owonikoko, Walter J. Curran, Chandra P. Belani, Fadlo R. Khuri, Suresh S. Ramalingam

The Winship Cancer Institute of Emory University (Atlanta)

September 8, 2015

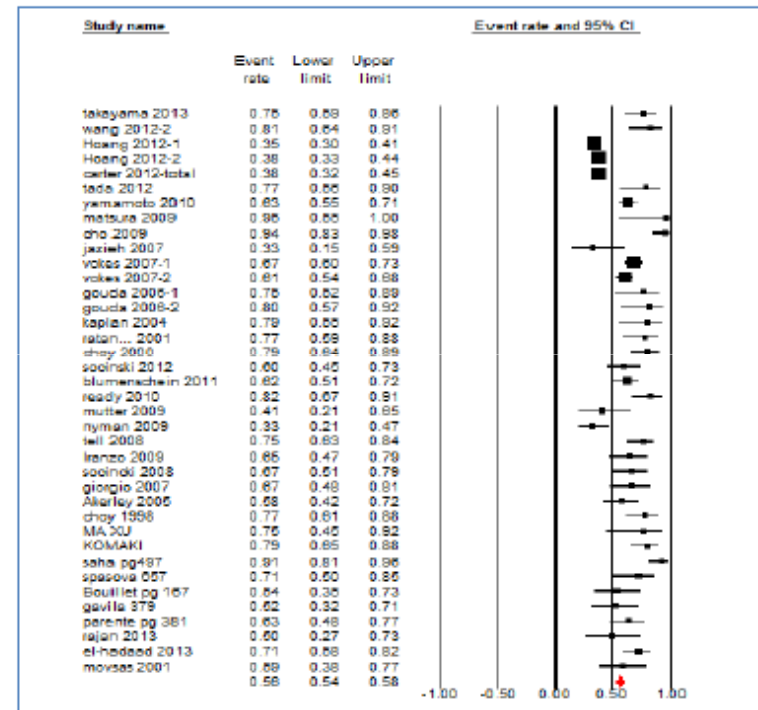
Abstract ID: 600

# Results: Overall Response Rate



Cisplatin/Etoposide

58% (CI 55%-61%); N=1457



Carboplatin/Paclitaxel

56% (CI 54%- 58%); N=2385 (p=0.28)

## Toxicities (Grade 3 and Above)

Toxicity	CE	CP	p-value
Pneumonitis	9%	7%	0.17
Esophagitis	20%	15%	0.18
N/V	20%	9%	0.018
Anemia	16%	8%	0.06
Thrombocytopenia	14%	6%	0.001
Neutropenia	54%	23%	<0.0001

**Safety Results of the Consolidation Phase of the Phase III PROCLAIM Trial:  
Pemetrexed, Cisplatin or Etoposide, Cisplatin plus Thoracic Radiation Therapy  
followed by Consolidation Cytotoxic Chemotherapy in Locally Advanced  
Nonsquamous Non-small Cell Lung Cancer**

Ramaswamy Govindan

Suresh Senan, Anthony Brade, Johan Vansteenkiste, Françoise Mornex, Helen Ross, Jan Van Meerbeeck, Christophe Hennequin, Nicolas Dickgreber, Yi-long Wu, Jai Prakash Agarwal, Konstantinos Syrigos, Frank Griesinger, Barbara Parente, Mariano Provencio, Anwar M Hossain, Belén San Antonio, Joseph Treat, Andrew G Koustenis, Nadia Chouaki, Everett Vokes

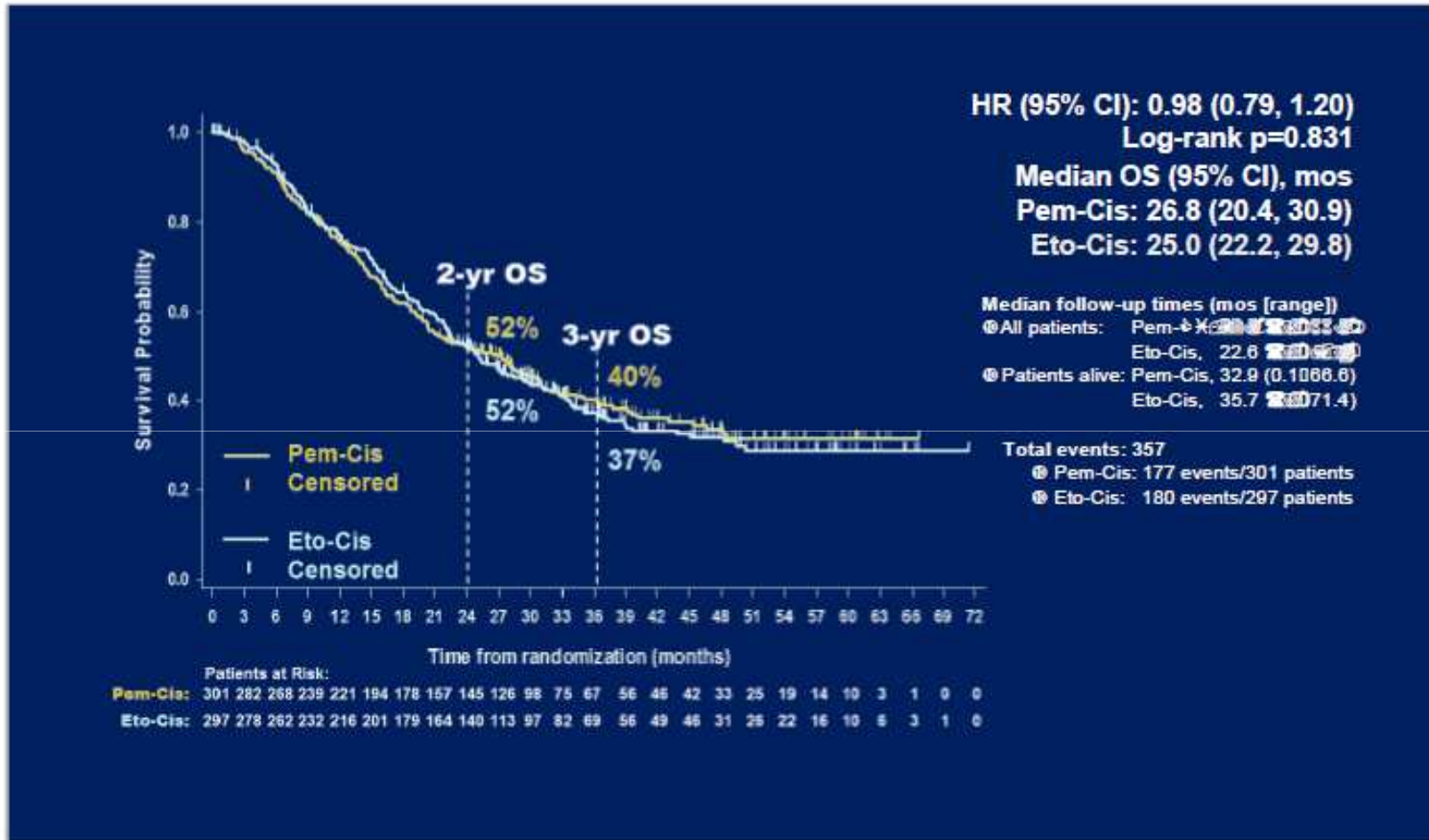


*Oral 20: PROCLAIM Consolidation Phase Safety Results – Ramaswamy Govindan*

## Selected AEs Possibly Related to Study Treatment by Arm Consolidation Phase

CTCAE Term*, % of patients	Arm A (N=229) Pem		Arm B (N=202) CE	
	Any Gr	Gr 3-4	Any Gr	Gr 3-4
<b>Patients with ≥1 CTCAE</b>	81.7	29.7	86.1	<b>50.5</b>
Hemoglobin	17.0	2.6	19.8	4.5
Neutrophils/granulocytes (ANC/AGC)	21.8	11.8	<b>43.1</b>	<b>37.6</b>
Platelets	6.1	2.2	<b>19.3</b>	5.0
Fatigue	14.4	0.9	13.9	2.0
Febrile neutropenia	3.1	3.1	3.5	3.5
Leukocytes	17.9	8.3	23.3	14.4
Lymphopenia	7.4	3.5	7.4	2.5
Pneumonia (with grade 3/4 neutrophils)	<b>5.2</b>	1.7	1.5	0
<b>Radiation-related AEs</b>				
Dysphagia	<b>5.7</b>	<b>1.3</b>	2.5	0.5
Esophagitis	1.3	0	3.5	1.5
Pneumonitis	<b>11.4</b>	2.2	4.0	1.0

# PROCLAIM: Primary Endpoint, OS





# Optimal RT Dose – RTOG 0617



**Standard-dose versus high-dose conformal radiotherapy with concurrent and consolidation carboplatin plus paclitaxel with or without cetuximab for patients with stage IIIA or IIIB non-small-cell lung cancer (RTOG 0617): a randomised, two-by-two factorial phase 3 study**

*Jeffrey D Bradley, Rebecca Paulus, Ritsuko Komaki, Gregory Masters, George Blumenschein, Steven Schild, Jeffrey Bogart, Chen Hu, Kenneth Forster, Anthony Magliocco, Vivek Kavadi, Yolanda I Garces, Samir Narayan, Puneeth Iyengar, Cliff Robinson, Raymond B Wynn, Christopher Koprowski, Joanae Meng, Jonathan Beitler, Rakesh Gaur, Walter Curran Jr, Hak Choy*

	Concurrent Treatment	Consolidation Treatment
R A N D O M I Z E D	<b>Arm A</b> <u>Concurrent chemotherapy:</u> Carboplatin & Paclitaxel RT to 60 Gy, 5 x per week for 6 weeks	<b>Arm A</b> <u>Consolidation chemotherapy:</u> Carboplatin & Paclitaxel
	Arm B: Closed 6/17/11 <u>Concurrent chemotherapy:</u> Carboplatin & Paclitaxel RT to 74 Gy, 5 x per week for 7.5 weeks	Arm B: Closed 6/17/11 <u>Consolidation chemotherapy:</u> Carboplatin & Paclitaxel
	<b>Arm C</b> <u>Cetuximab Loading Dose:</u> Week 1, Day 1 then <u>Concurrent chemotherapy:</u> Carboplatin & Paclitaxel, and Cetuximab RT to 60 Gy, 5 x per week for 6 weeks	<b>Arm C</b> <u>Consolidation therapy:</u> Cetuximab and Carboplatin & Paclitaxel
	Arm D: Closed 6/17/11 <u>Cetuximab Loading Dose:</u> Week 1, Day 1 then <u>Concurrent chemotherapy:</u> Carboplatin & Paclitaxel, and Cetuximab RT to 74 Gy, 5 x per week for 7.5 weeks	Arm D: Closed 6/17/11 <u>Consolidation therapy:</u> Cetuximab and Carboplatin & Paclitaxel

# Background for high dose RT with concurrent CT

Phase I and II Trials establishing safety and potential efficacy of 74 Gy delivered using 3D-Conformal Radiation Therapy

Study	Radiation dose (Gy)	Chemotherapy	Median survival time (months)
RTOG 0117	74	Carboplatin/paclitaxel	21.6
NCCTG 0028	74	Carboplatin/paclitaxel	37
North Carolina	74	Carboplatin/paclitaxel	24
Wake Forest	74	Gemcitabine	18
CALGB 30105	74	Carboplatin/paclitaxel	24

# RTOG 0617: objectives

To compare the overall survival of patients treated with high-dose versus standard-dose conformal radiation therapy with concurrent chemotherapy.

To compare the overall survival of patients treated with cetuximab versus without cetuximab with concurrent chemoradiotherapy.

# RTOG 0617: Trial Design

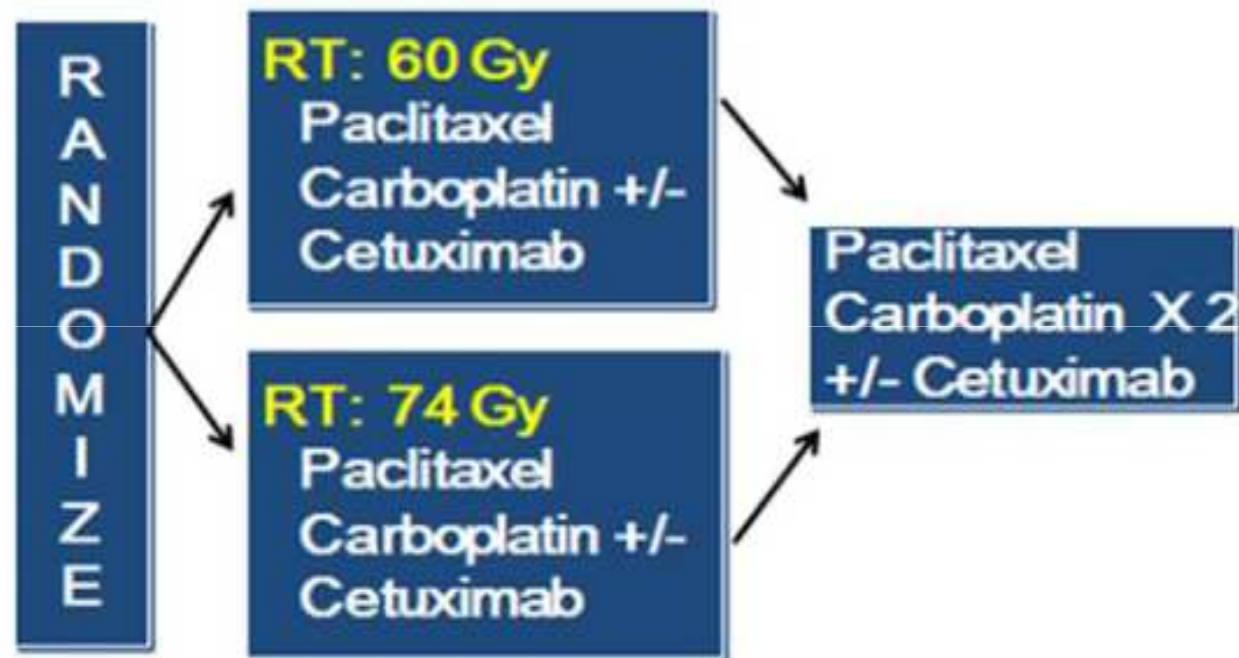
## Stratify:

-RT Technique  
(IMRT vs 3D)

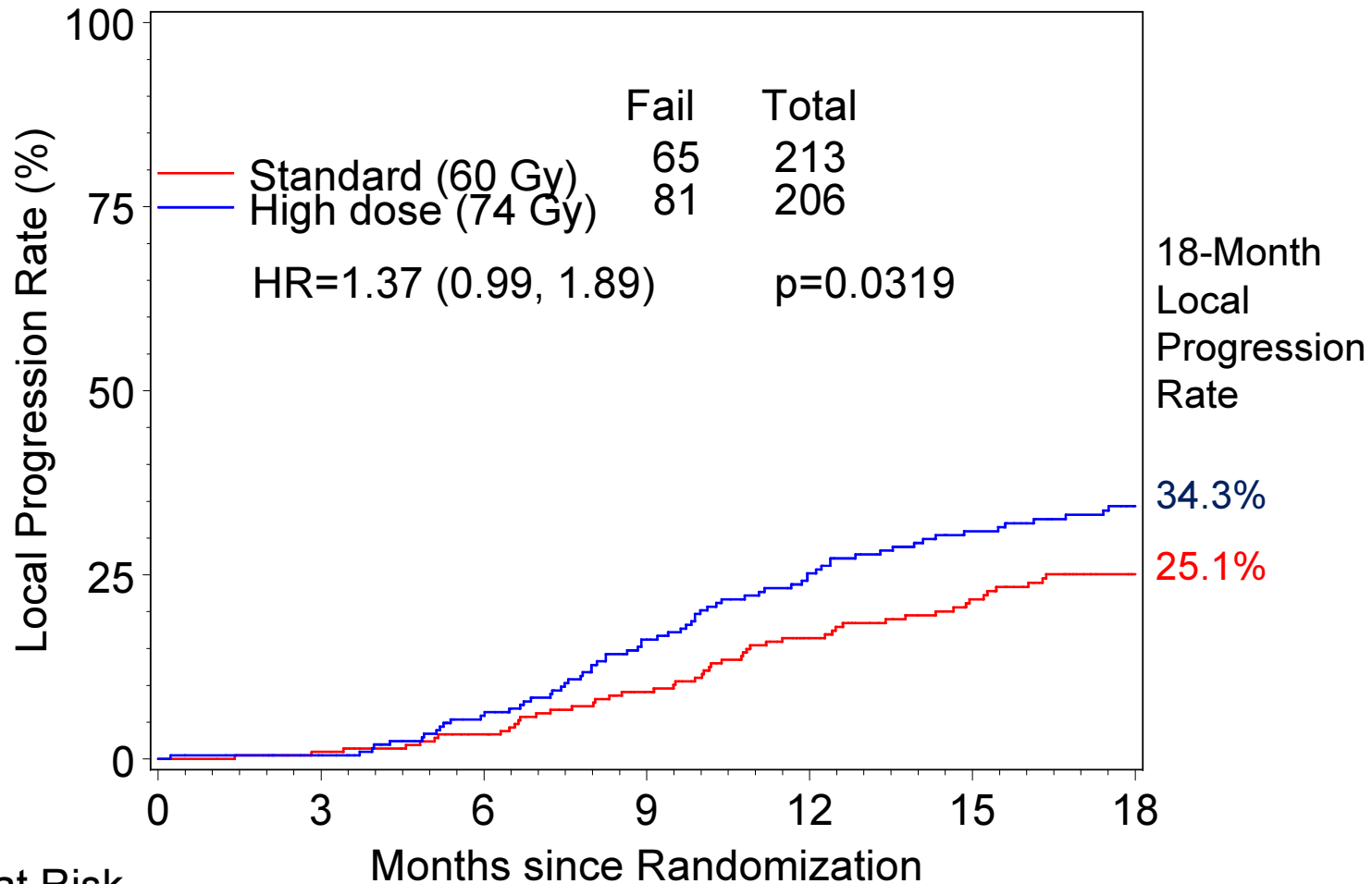
-Perf Status  
(0 vs 1)

-Histology  
(squamous vs other)

-PET staging  
(yes vs no)



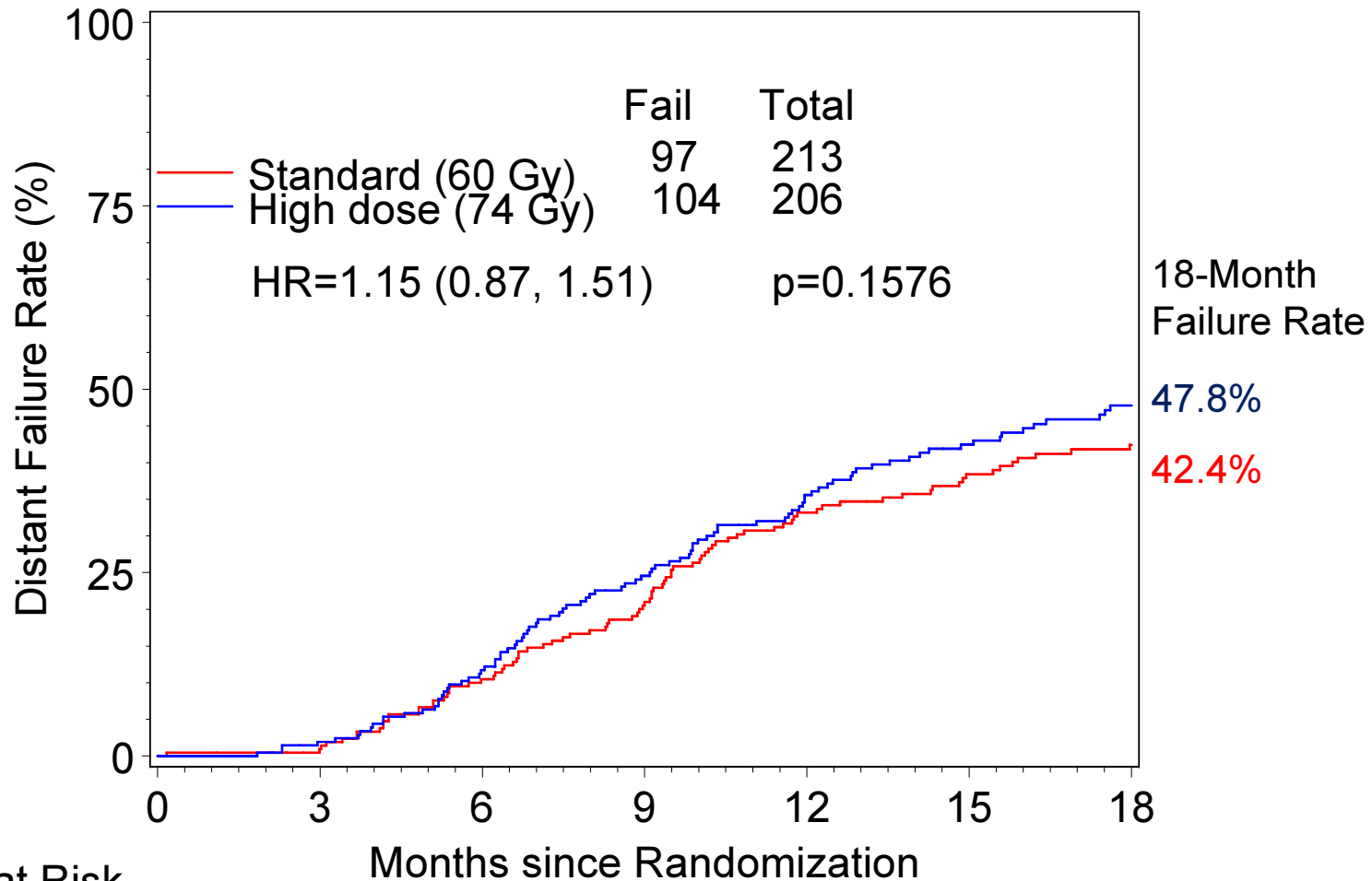
# RTOG 0617: Local Tumor Failure



## Patients at Risk

	0	3	6	9	12	15	18
Standard	213	205	187	165	137	113	85
High dose	206	197	170	134	105	80	62

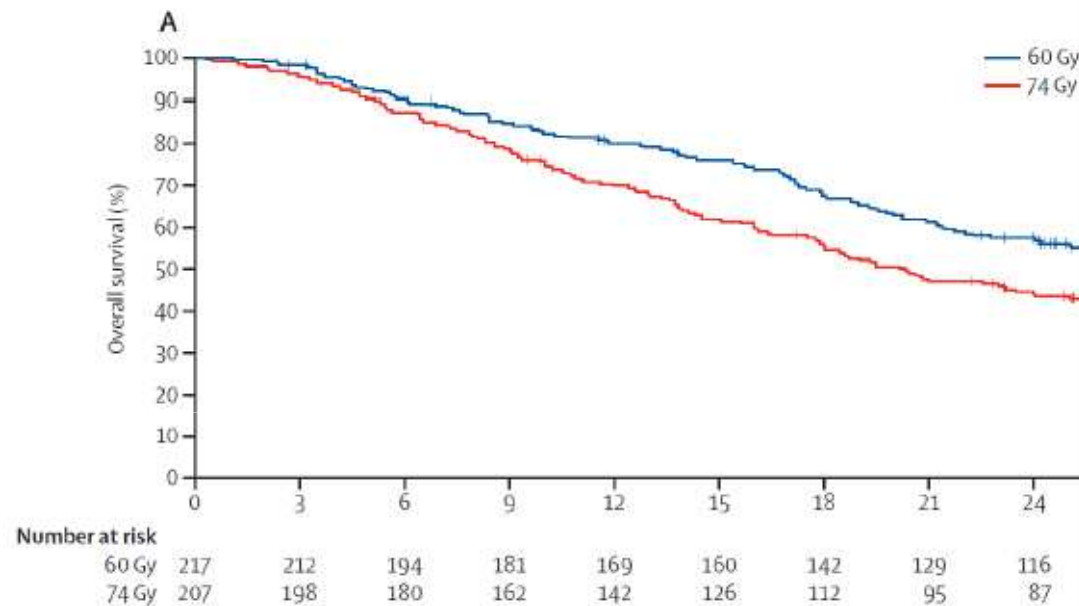
# RTOG 0617: Distant Failure



## Patients at Risk

	0	3	6	9	12	15	18
Standard	213	205	175	145	115	94	73
High dose	206	193	161	126	93	73	54

# Optimal Dose – RTOG 0617




- Factors predictive of OS:** Radiation dose (60 Gy), maximum esophagitis grade, PTV size, heart V5 and V30

	60 Gy (n=217)*	74 Gy (n=207)
<b>Overall survival</b>		
Dead	127	140
1 year	80.0% (73.9–84.7)	69.8% (63.1–75.6)
2 year	57.6% (50.6–63.9)	44.6% (37.7–51.3)
Median (months)	28.7 (24.1–36.9)	20.3 (17.7–25.0)
HR	1.38 (1.09–1.76)	..
p value (log-rank, one-sided)	0.004	..
<b>Progression-free survival</b>		
Fail	164	164
1 year	49.2% (42.3–55.6)	41.2% (34.4–47.8)
2 year	29.1% (23.1–35.3)	21.4% (16.1–27.3)
Median (months)	11.8 (10.2–14.3)	9.8 (8.8–11.6)
HR	1.19 (0.95–1.47)	..
p value (log-rank, two-sided)	0.12	..
<b>Local failure</b>		
Fail	77	86
1 year	16.3% (11.4–21.3)	24.8% (18.9–30.7)
2 year	30.7% (24.5–36.9)	38.6% (31.9–45.3)
HR	1.26 (0.93–1.71)	..
p value (Gray, two-sided)	0.13	..

# RTOG 0617: Dosimetric Data Distribution

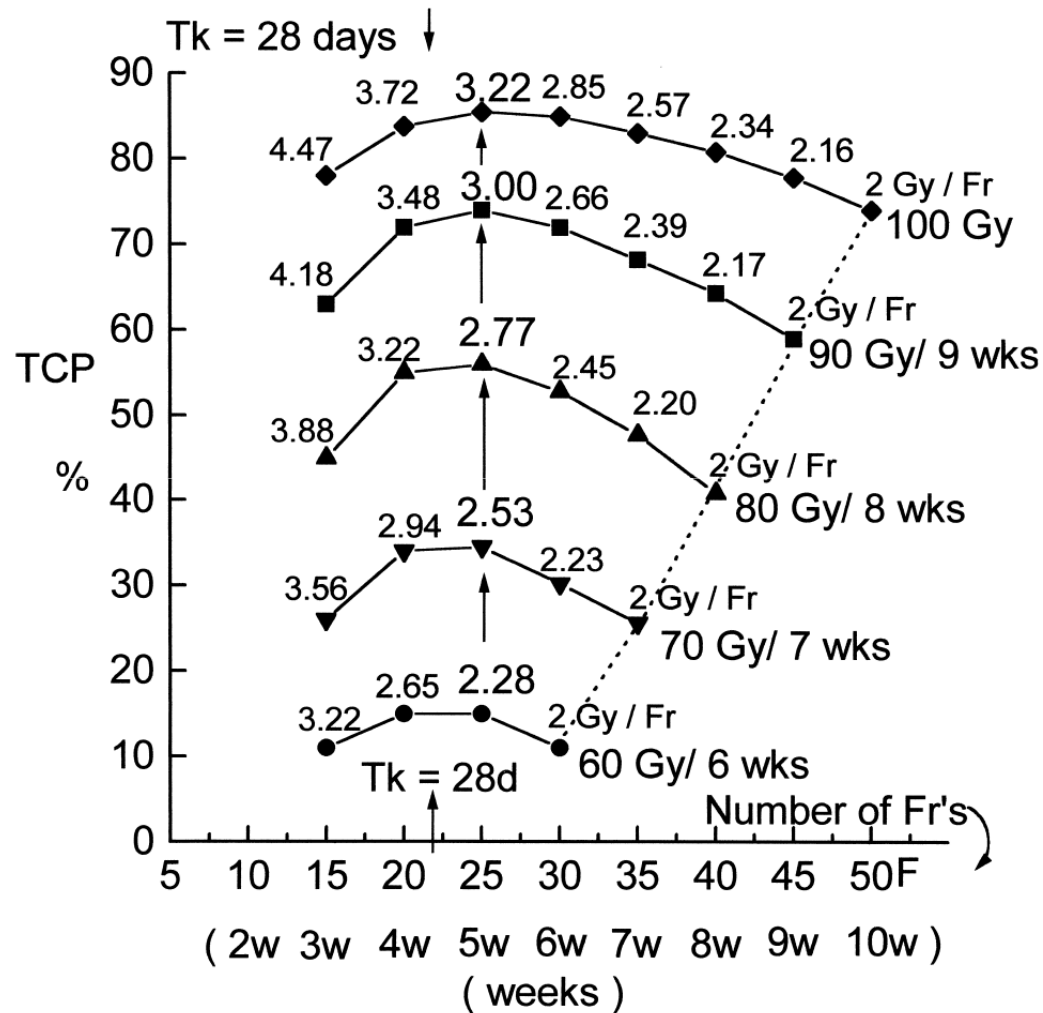
	<b>60 Gy (n=203) Mean (Median)</b>	<b>74 Gy (n=197) Mean (Median)</b>
GTV Volume (cc)	125 (92)	129 (96)
Heart V5 (%)	47 (46)	46 (46)
<b>Heart V50 (%)</b>	<b>7 (4)</b>	<b>11 (6)</b>
Lung V20 (%)	29 (29)	31 (32)
Esophagus Dose (Gy)	25 (25)	30 (29)
<b>Esophagus V60 (%)</b>	<b>15 (13)</b>	<b>26 (26)</b>
Mean Margin CTV to PTV (mm)	8 (7)	8 (7)





Can “adapted” hypofractionation be applied to lung cancer patients with LA disease through the use of high-tech radiotherapy?

# Gain in TCP from shortening overall treatment time to 5 weeks



Fewer and larger fractions calculated to deliver equal late complications also deliver higher biologic dose to tumors

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JOURNAL OF CLINICAL ONCOLOGY

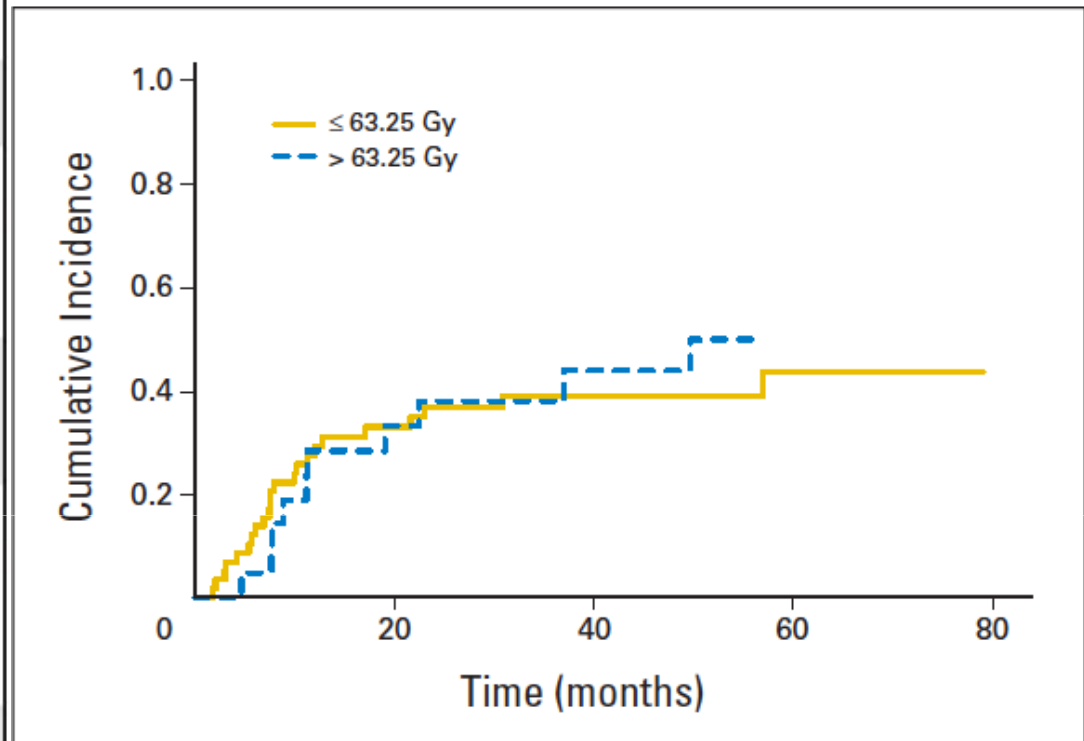
ORIGINAL REPORT

## Dose-Limiting Toxicity After Hypofractionated Dose-Escalated Radiotherapy in Non–Small-Cell Lung Cancer

*Donald M. Cannon, Minesh P. Mehta, Jarrod B. Adkison, Deepak Khuntia, Anne M. Traynor, Wolfgang A. Tomé, Richard J. Chappell, Ranjini Tolakanahalli, Pranshu Mohindra, Søren M. Bentzen, and George M. Cannon*

**Table 1.** Patient and Treatment Characteristics

Characteristic	No. of Patients	%
Total patients	79	100
Age, years		
< 50	6	9
50-69	47	59
≥ 70	26	32
Sex		
Female	33	42
Male	46	58
Stage		
II	7	9
IIIA	21	27
IIIB	35	44
IV	10	13
Recurrent	6	8
Histology		
Adenocarcinoma	24	30
Squamous cell carcinoma	26	33
NSCLC, NOS	22	28
Other NSCLC	7	9
Bin assignment, rNTD <sub>mean</sub>		
Pilot (NA)	5	6
1 (0.00-0.119)	6	7
2 (0.12-0.179)	8	10
3 (0.18-0.239)	27	34
4 (0.24-0.309)	29	37
5 (0.31-0.410)	4	5
Performance status		
0	48	61
1	30	38
Not recorded	1	1
Prescribed radiation dose, Gy		
57	47	59
63.25	11	14
69.25	3	4
75	12	16
80.5	4	5
85.5	2	3
Chemotherapy		
Neoadjuvant	17	21
Adjuvant	33	41
Both	3	4
None	25	32
Other*	1	1



## Local Failure

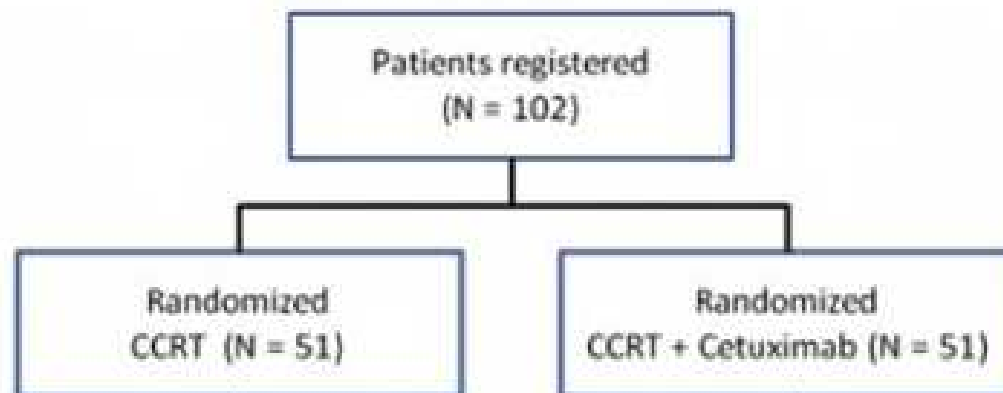
# Grade 4-5 toxicity

**Table 2** Patients With Grade 4-5 Toxicity

Age (years)	Sex	Stage	Bin	Dose (Gy)*	Grade	Interval (months)†	Toxicity
69	M	IIIB	3	63.25	5	1.2	HSV/CMV pneumonitis; history of pre-RT low-dose methotrexate
66	F	IIA	1	85.5	5	55	Fatal hemoptysis
58	M	IIIB	3	75	5	7.9	Fatal hemoptysis
63	M	IIIB	1	75	5	1.6	Lung abscess
62	M	IIIA	3	75	5	8.1	Fatal hemoptysis and abscess
61	F	IV	3	75	4	10.3	Lung abscess, bronchocavitary fistula, tracheoesophageal fistula

# Aim

To investigate 60-month OS in LA-NSCLC patients treated with concurrent chemoradiotherapy +/- cetuximab, using a hypofractionation scheme of 24 x 2.75 Gy



# Raditux trial

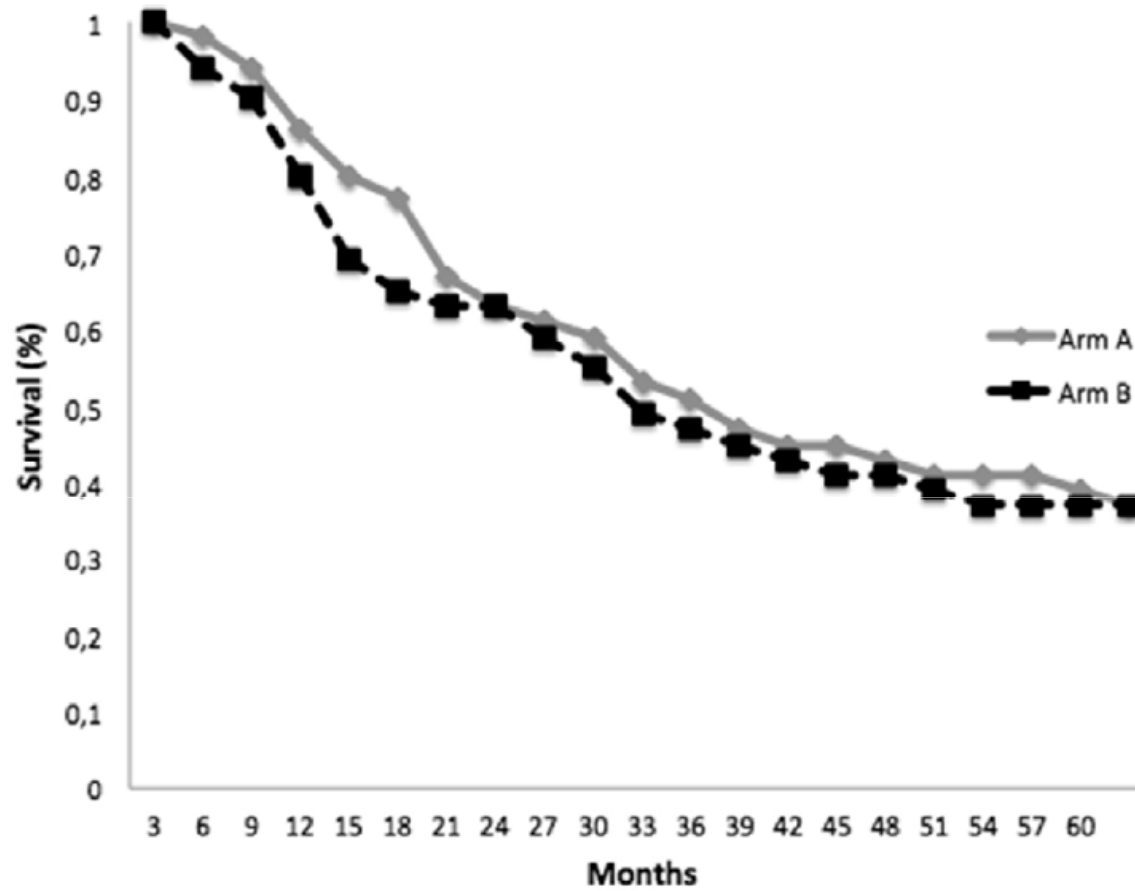
- **Randomized multicenter phase II trial**
  - To assess the beneficial effect of cetuximab on concurrent chemoradiotherapy
- **Inclusion criteria**
  - Inclusion between February 2009 and May 2011
  - 102 inoperable stage II & stage III A/B NSCLC patients
  - WHO performance status 0–1
- **Treatment**
  - 24 x 2.75 Gy
  - Daily low-dose cisplatin (6mg/m<sup>2</sup>)
  - +/- weekly cetuximab (loading dose 400mg/m<sup>2</sup> followed by a weekly dose of 250 mg/m<sup>2</sup> iv)

Overall survival rates.

	Total study population	Arm A	Arm B	P-value
Total	102 (100%)	51 (50%)	51 (50%)	-
Mortality	65 (63.7%)	32 (62.7%)	33 (65.7%)	0.837
6-month (%)	94 (92.2%)	48 (94.1%)	46 (90.2%)	0.461
1-year (%)	76 (74.5%)	41 (80.4%)	35 (68.6%)	0.173
2-year (%)	61 (59.8%)	31 (60.8%)	30 (58.8%)	0.840
5-year (%)	38 (37.3%)	19 (37.3%)	19 (37.3%)	1.000
Median (months)	31.5 (12.8–52.3)	33.0 (17.0–57.0)	30.0 (11.0–52.0)	0.722

P-values  $\leq 0.05$  were considered statistically significant.





Arm A	51	50	48	44	41	39	34	32	31	30	27	26	24	23	23	22	21	21	21	20
Arm B	51	48	46	41	35	33	32	32	30	28	25	24	23	22	21	21	20	19	19	19

# Overall survival

	Raditux trial	RTOG 0617 60 Gy	RTOG 0617 74 Gy
<b>Mortality</b>	64%*	58% <sup>a</sup>	67% <sup>a</sup>
<b>6-month OS (%)</b>	92%	89%	87%
<b>1-year OS (%)</b>	75%	78%	69%
<b>2-year OS (%)</b>	60%	53%	42%
<b>5-year OS (%)</b>	37%	-	-
<b>Median OS (months)</b>	32 months	29 months	20 months

\*based on 5-years of follow-up

<sup>a</sup>based on 2-years of follow-up

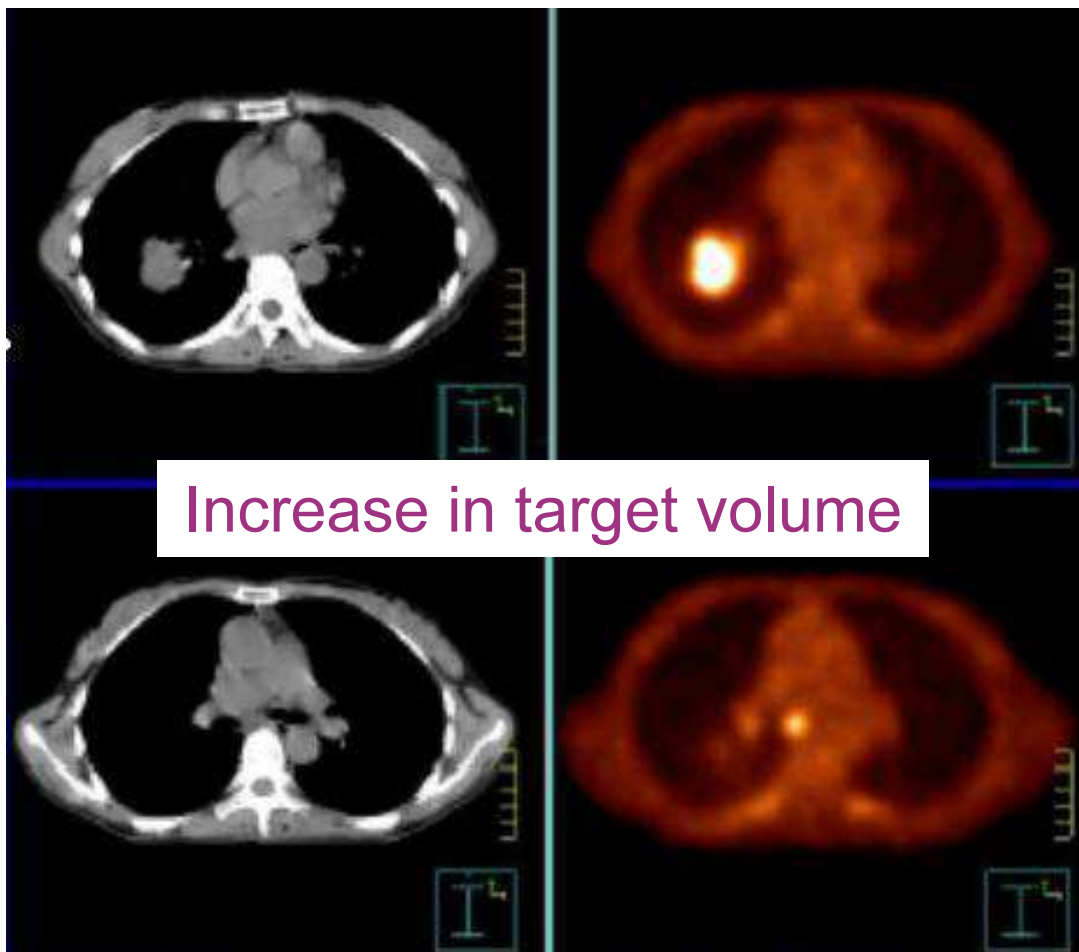
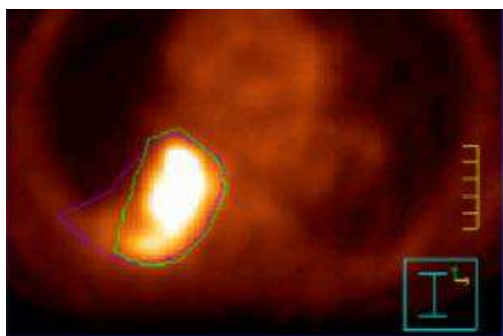
# What is the preferred dose-fractionation schedule?

- 60 Gy in 30 fractions is still the standard dose
- A slight hypofractionated regimen (66 Gy/24 fractions, EORTC) may be considered as a valid alternative

# GTV delineation: use of CT-PET

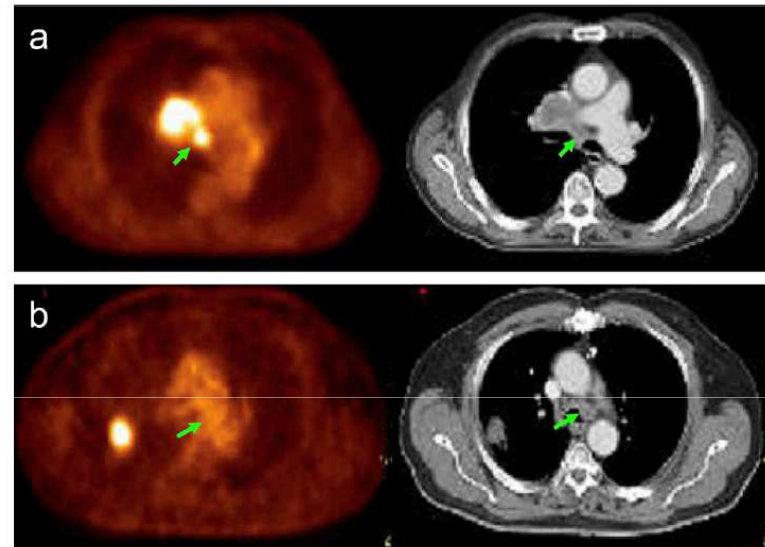


Decrease in target volume



# PET-guided nodal contouring definitely supports the use of Involved Fields RT

Recurrence	Patients (n)
<b>None</b>	<b>21 (35)</b>
<b>Local</b>	<b>9 (15)</b>
In field	3 (5.0)
Out of field	4 (6.7)
Both in field and out of field	2 (3.3)
Isolated local	2 (3.3)
Local and distant/nodal	7 (11.7)
<b>Nodal</b>	<b>20 (33.3)</b>
In field	8 (13.3)
Out of field	7 (11.7)
Both in field and out of field	5 (8.0)
Isolated nodal	2 (3.3)
Nodal and distant/local	18 (30.0)
<b>Distant</b>	<b>34 (56.7)</b>
Isolated distant	19 (31.7)
Distant and local/nodal	15 (25.0)
Isolated brain	9 (15.0)



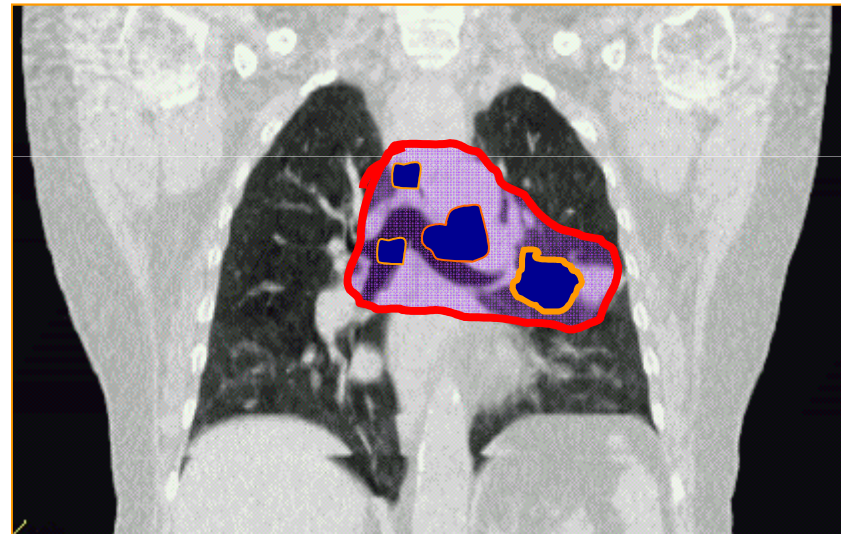
60 patients included  
3% of isolated nodal relapse

# Avoiding ENI

## Elective nodal RT



## Involved-field RT

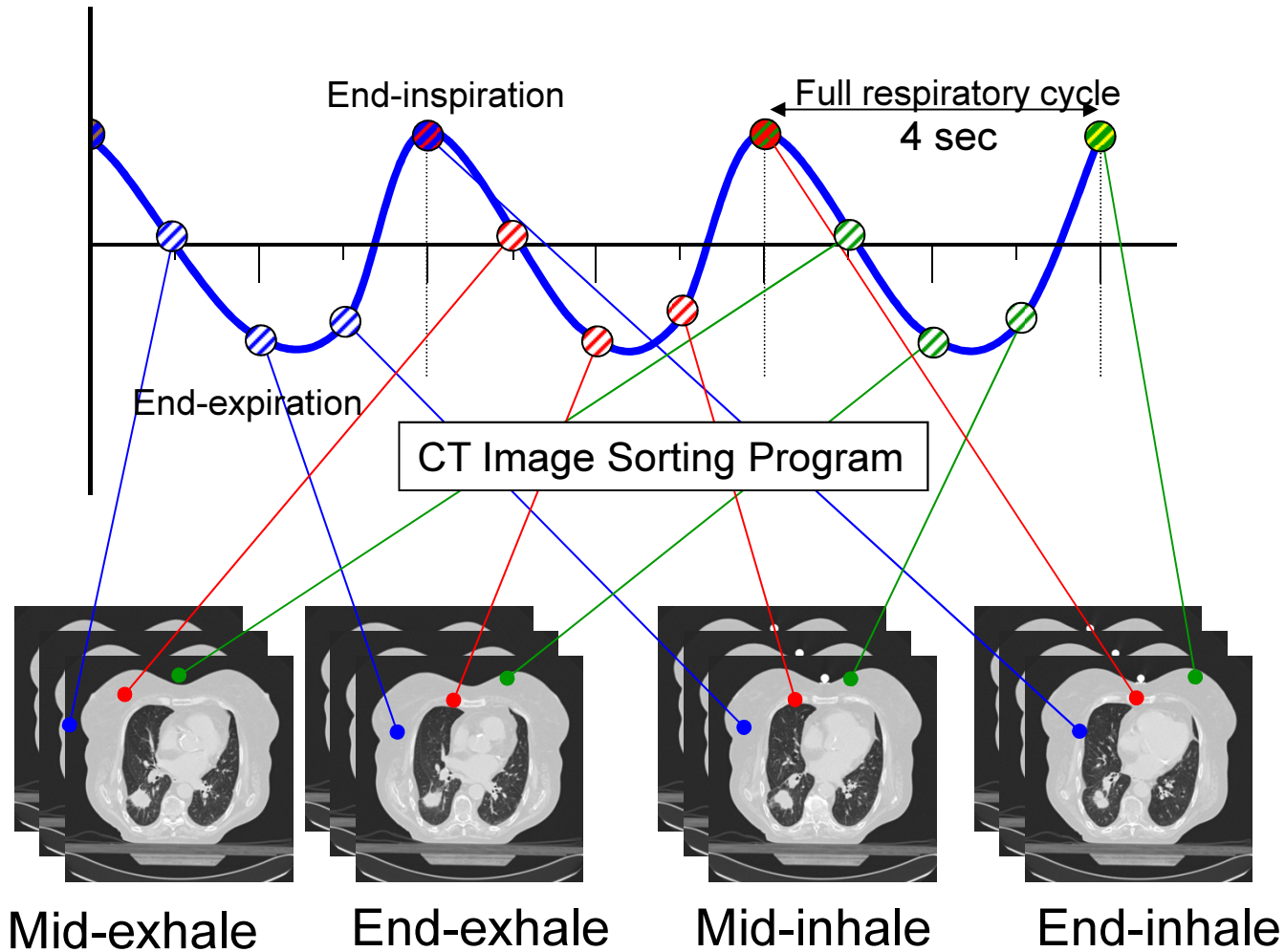


# RT volumes: EORTC recommendations

**Table 2.** EORTC Recommendations for Planning and Delivery of High-Dose, High-Precision Radiotherapy for Lung Cancer (continued)

Variable	Recommendation Grade
<b>CTV</b>	
A fixed, 5-mm CTV margin may be used, although adjustment according to the histology of the primary tumor and the size of the lymph nodes may be done	2B
Adjustment of the CTV according to normal tissues (eg, the bones) may be appropriate	2B
<b>PTV</b>	
Although many viable options to generate the PTV have been described, tumor delineation in the middle or average ventilation position with calculated adequate margin appears to be a feasible and appropriate strategy	1C
Adjustment of the PTV is not permitted, as the PTV takes into account set up errors and breathing motion	1C
<b>PRV</b>	
The use of a PRV margin around critical serial organs should be encouraged	2B

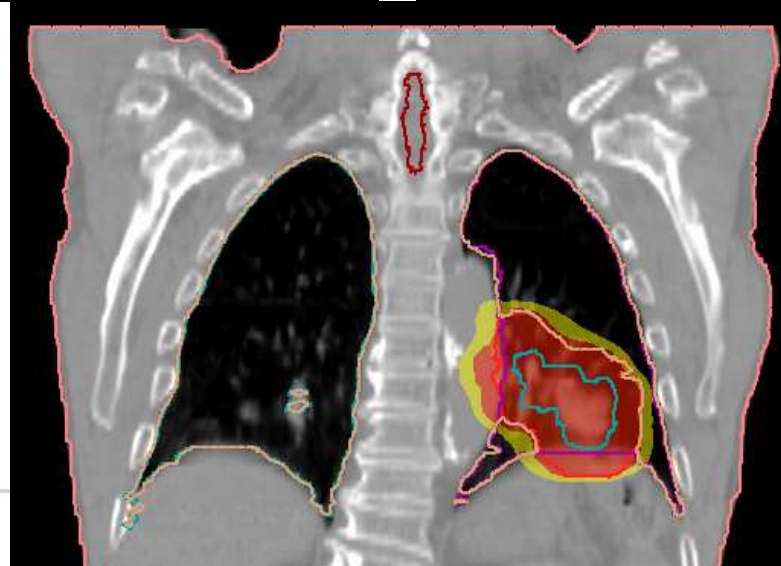
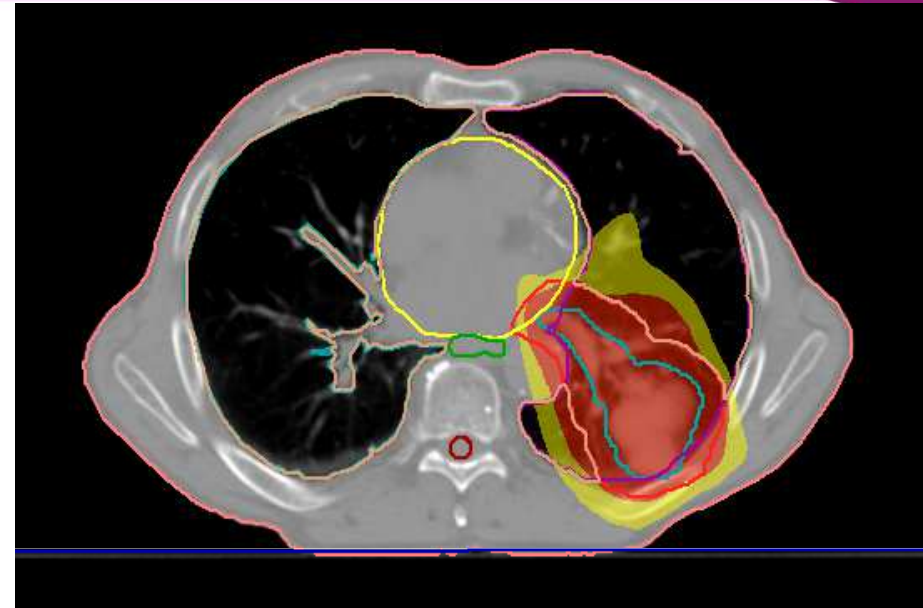
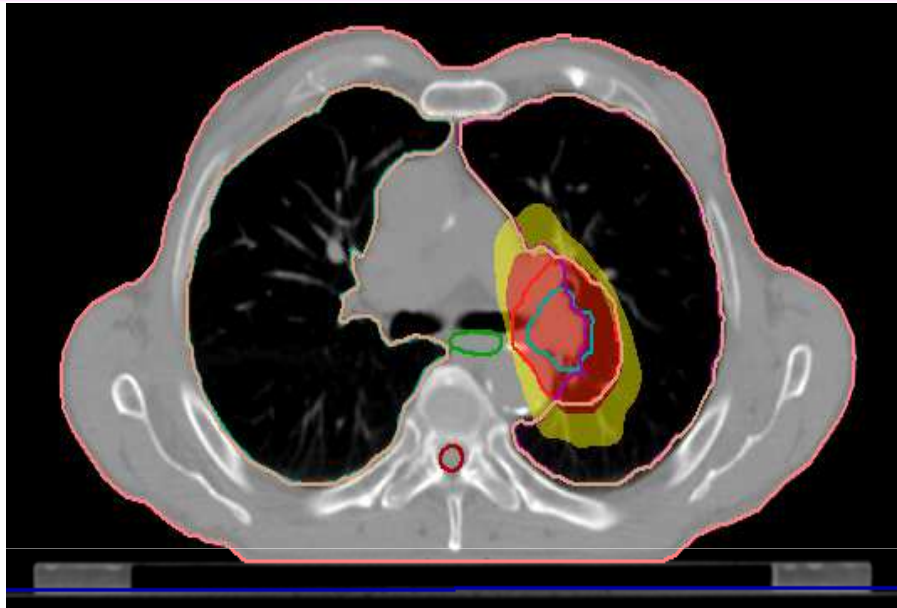
# Four dimensions CT (4D-CT)



Up to 20 respiratory 'bins' are obtained



# IMRT for locally advanced lung cancer



# 3D vs IMRT: PTV

<p>Murshed IJROBP 2004 (sliding window)</p>	<p>41 pts PTV: 623cc (75-1645)</p>	<p>Conformity Index 3D vs IMRT: 1.54 vs 1.41 (p&lt;0.01) (IMRT benefit ≈ 21%)</p>																					
<p>Liu IJROBP 2004 (sliding window)</p>	<p>10 pts PTV: 403 (65-762)</p>	<table border="0"> <tr> <td></td> <td>3D</td> <td>IMRT</td> <td></td> </tr> <tr> <td>CI min</td> <td>1.33</td> <td>vs 1.07</td> <td></td> </tr> <tr> <td>CI max</td> <td>4.53</td> <td>vs 2.09</td> <td></td> </tr> <tr> <td>CI med</td> <td>2.35</td> <td>vs 1.37</td> <td></td> </tr> <tr> <td colspan="4">p=0.012</td> </tr> </table>		3D	IMRT		CI min	1.33	vs 1.07		CI max	4.53	vs 2.09		CI med	2.35	vs 1.37		p=0.012				<p>IMRT benefit:</p> <p>20%</p> <p>54%</p> <p>42%</p>
	3D	IMRT																					
CI min	1.33	vs 1.07																					
CI max	4.53	vs 2.09																					
CI med	2.35	vs 1.37																					
p=0.012																							
<p>Christian IJROBP 2007 (step and shoot)</p>	<p>10 pts PTV 197 (103-271 cc)</p>	<p>PTV90/V20 IMRT benefit ≈ 25% (12-36%); 50% IMRT non planar or 9 fields</p>																					

# 3D vs IMRT: PTV

HOMOGENEITY INDEX by RTOG: Mean Dose/Presc Dose

HI95: D5-D95/dose mean (Palma IJROBP 2008)

Murshed IJROBP 2004	41 pts Stage IIIA-IIIB PTV: 623cc (75-1645) Upper location	Homogeneity Index 3D vs IMRT: 1.16 vs 1.12 (p<0.01) (<IMRT ≈ 3%)
Liu IJROBP 2004	10 pts Stage I-IIIB PTV: 403 (65- 762)	HI min 1.09 vs 1.06 HI max 1.22 vs 1.23 HI med 1.14 vs 1.15 p=0.813

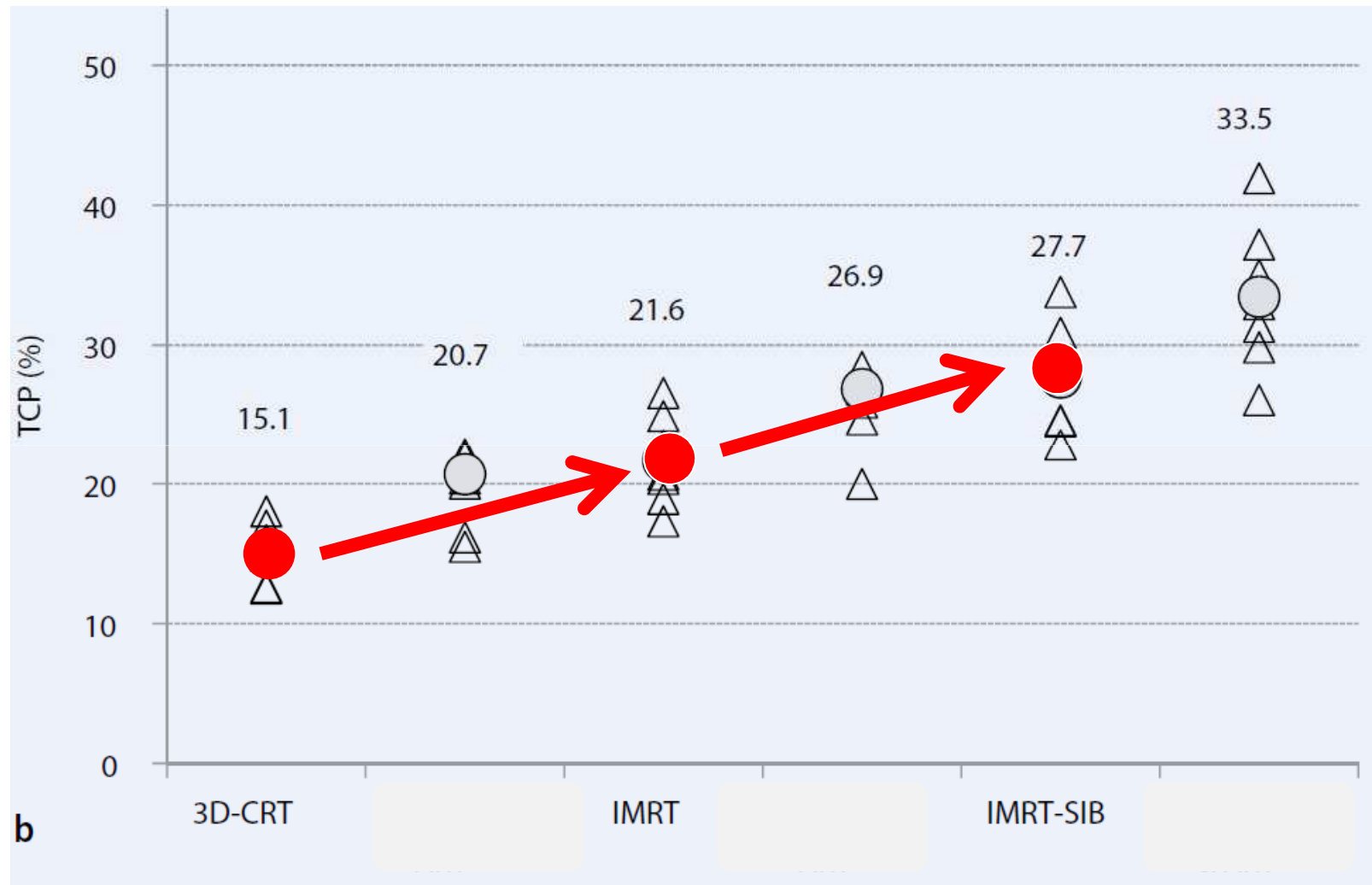
# 3D vs VMAT-TOMO: PTV

Cattaneo Radiot Oncol 2008	13 pts PTV 215- 745cc	PTV95%: 3D vs TOMO 92% vs 97.2% (p<0.01)	Dose distribution: 3D vs TOMO 2.4 vs 1.4 (p<0.01) (TOMO benefit 42%)
Rousseau Cancer Radioth 2012	10 pts PTV 723 (392-885 cc)	Conformity index: 3D 0.55±0.07 vs VMAT 0.89±0.07 (VMAT benefit 60%)	

## 3D vs IMRT: PTV Dose escalation in the target

Schwartz IJROBP 2005	“Dose heterogeneity is an option to further escalate the dose in the target rather than a price to pay for using IMRT” Dose escalation 3D vs IMRT: 6% vs 17-35%
Grills IJROBP 2003	Dose escalation: IMRT >> 25-30%
St Hilare Radiot Oncol 2009	Dose escalation: >78 Gy in 5/7 cases

# Tumor Control Probability: 3D vs IMRT-SIB PTV boost



Guckenberger M, Strahl Onkol 2012; 188:894-900

# Remarks on IMRT for LA lung cancer

- IMRT in comparison with 3D dose distribution to the target in locally advanced NSCLC allows to:
  1. benefit in conformity index
  2. possibility of heterogeneity

# 3D vs. IMRT: lung DVHs

<p>Murshed IJROBP 2004</p>	<p>41 pts Stage IIIA-IIIIB PTV: 623cc (75-1645) Upper location</p>	<p>V5: 52 vs 59 (ns) V10: 45 vs 38 (p&lt;0.01) (IMRT benefit 7-10%) V20: 35 vs 25 (p&lt;0.01) (IMRT benefit 7-10%) MLD 19 vs 17 Gy NTCP: 36 vs 9% (Burman); 13 vs 7% (Hyman)</p>
<p>Grills IJROBP 2003</p>	<p>Node - Node +</p>	<p>V20: 25.6 vs 23.6% 36.3 vs 23.6% (IMRT benefit ≈ 15%) MLD: 15.3 vs 15.4 Gy 21.5 vs 15.4</p>
<p>Liu IJROBP 2004</p>	<p>Stage I-III B 10 pts</p>	<p>V5: 3D benefit 8% V10: IMRT benefit 1.6% V20: IMRT benefit 8% V30: IMRT benefit 8.9%</p>



# 3D vs. IMRT: lung DVHs

Rousseau Cancer Radioth 2012	10 pts PTV 723 (392- 885 cc)	V5: 13% higher VMAT V20: 24 vs 20 (p<0.01) V30: 20 vs 14 (p<0.01) MLD 14 vs 12.2 Gy	
Cattaneo Radiot Oncol 2008	13 pts PTV: 215-745	Dmean: 20.4 vs 16.8 Gy V5: 67.4 vs 70.4% V10: 56.4 vs 54.2% V15: 43.1 vs 37.4% V20: 33.5 vs 25.8% V30: 27 vs 17.5%	p=0.001 p= 0.61 p=0.694 p=0.013 p= 0.002 p=0.001
Scrimger AMJCO 2003	5 pts PTV 169cc (132- 280)	TOMO: Mean lung dose reduction of 31% (10-53%) Mean V20 reduction of 22% (17-37%)	



Comparative studies on clinical outcomes  
following either 3D-CRT or IMRT

YOM 2007

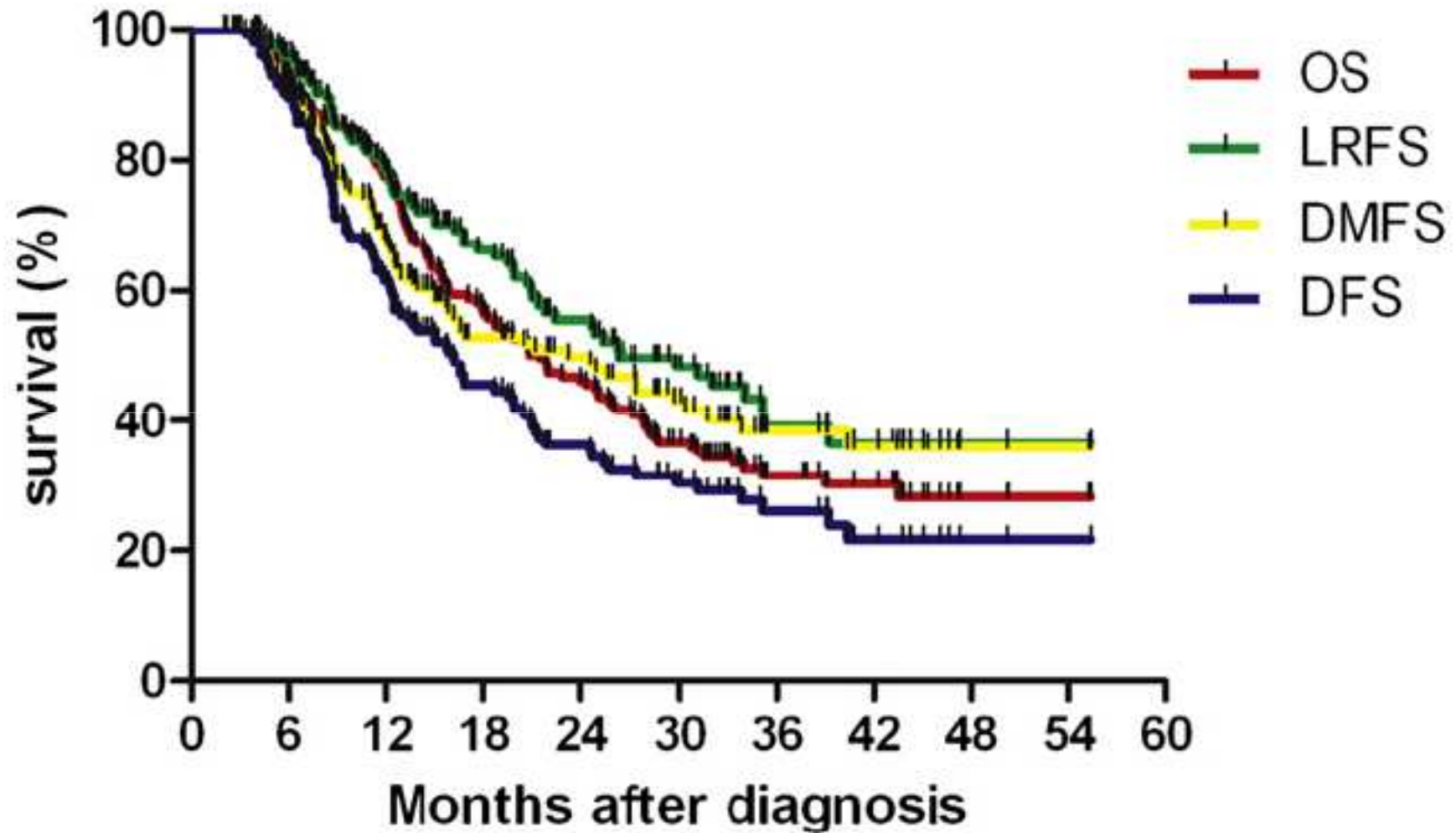
LIAO 2010

Comparison	Dose [median] (range)	Total number of patients	Disease stage
4DCT + IMRT + chemotherapy	63 Gy [50–72 Gy]	91	Stage I–III (80% stage III)
3DCRT* + chemotherapy	63 Gy [50–73]	318	Stage I–III (87% stage III)
IMRT + chemotherapy	63 Gy/1.8 Gy/fraction [50.4–76 Gy]	68	Stage II–IV (85% stage III)
3DCRT + chemotherapy	63 Gy/1.8 Gy/fraction [50.4–69.6 Gy]	222	Stage II–IV (90% stage III)

YOM 2007	V20 (median)	Local control (%)		Disease-free survival (%)		Overall survival (%)	
		6 month	12 month	6 month	12 month	6 month	12 month
		IMRT + chemotherapy	35% (3–48)	93.5	55.3	66.7	32.1
3DCRT + chemotherapy	38% (8–78) <i>P</i> < 0.001	NR	NR	NR	NR	NR	NR

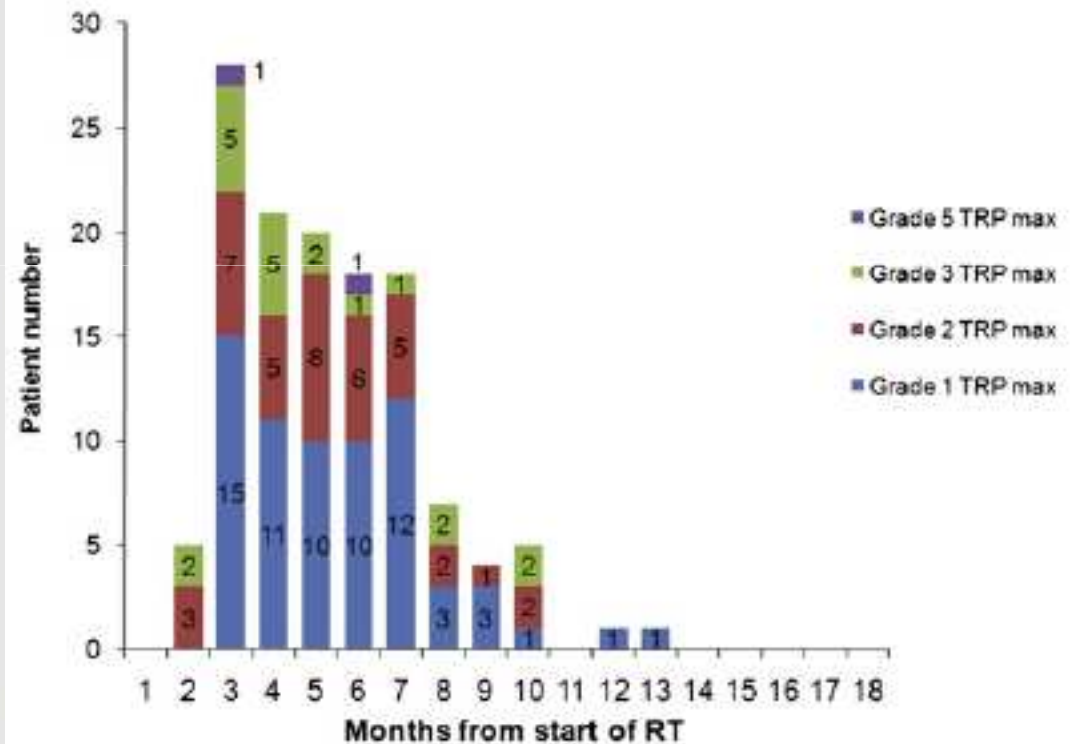
LIAO 2010	V20	Locoregional progression-free rate (%)	Distant metastasis-free rate (%)	Median survival (months)
		NR (estimated as about 78% at 2 years)	NR (estimated as about 48% at 2 years)	16.8
		NR (estimated as about 65% at 2 years) <i>P</i> = ns	NR (estimated at about 50% at 2 years) <i>P</i> = ns	10.2 <i>P</i> = 0.039

# Long term clinical outcomes of IMRT in NSCLC

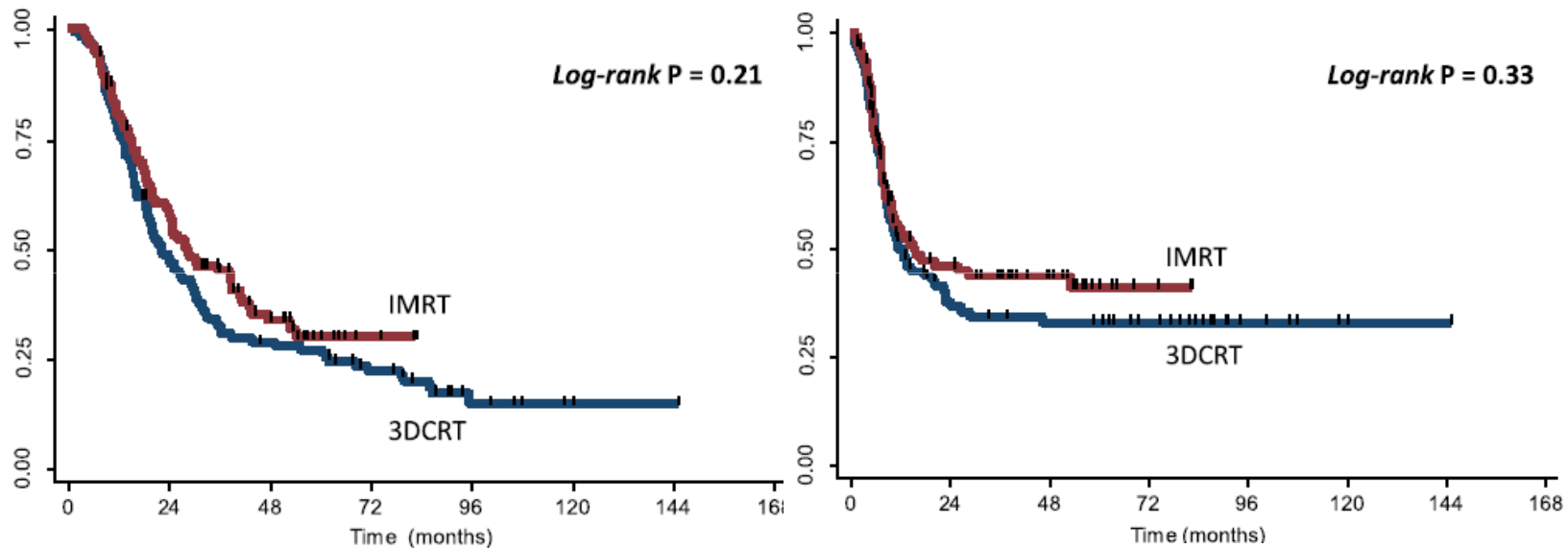


# Long term clinical outcomes of IMRT in NSCLC

Toxicity	Patients, <i>n</i> ( <i>n</i> = 165)	%
<b>First recorded TRP</b>		
Grade 0	37	22
Grade 1	98	59
Grade 2	23	14
Grade 3	7	4
Grade 4	0	0
Grade 5	0	0
<b>TRP<sub>max</sub></b>		
Grade 0	37	22
Grade 1	67	41
Grade 2	39	24
Grade 3	20	12
Grade 4	0	0
Grade 5	2	1
<b>Esophagitis<sub>max</sub></b>		
Grade 0	20	12
Grade 1	0	0
Grade 2	116	70
Grade 3	29	18
Grade 4	0	0
Grade 5	0	0
<b>Dermatitis<sub>max</sub></b>		
Grade 0	9	5
Grade 1	92	56
Grade 2	51	31
Grade 3	13	8
Grade 4	0	0
Grade 5	0	0



## Comparison of 2 Common Radiation Therapy Techniques for Definitive Treatment of Small Cell Lung Cancer

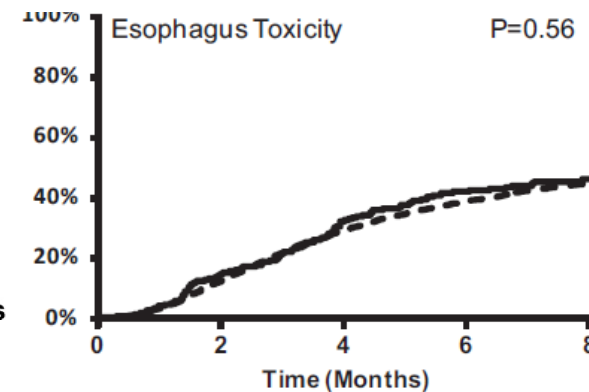
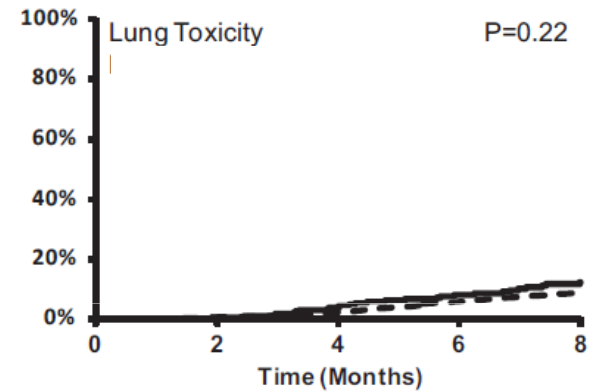
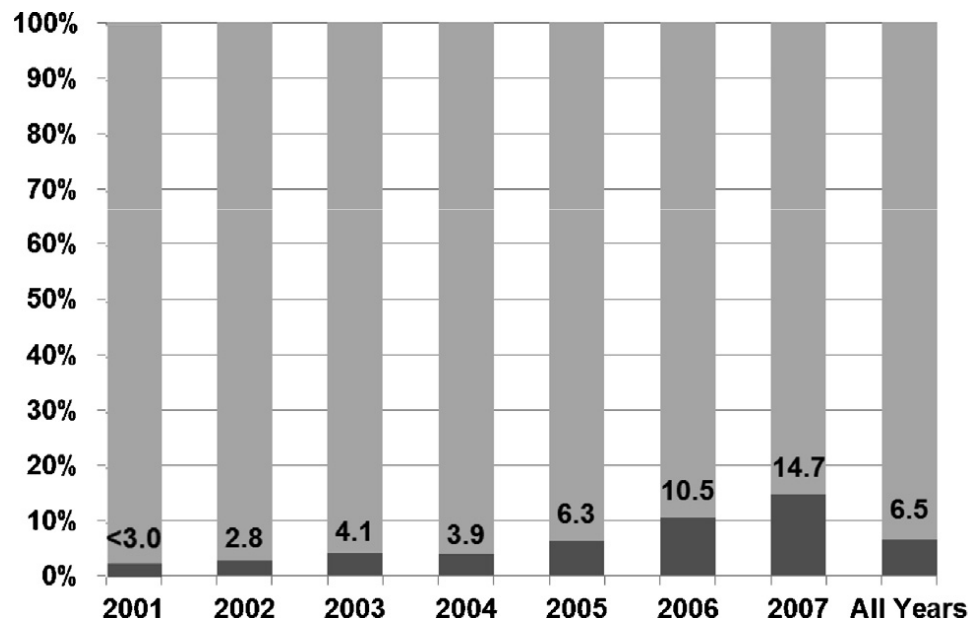


IMRT patients required significantly fewer percutaneous feeding tube placements (5% vs 17%, respectively,  $p < .005$ ).

# IMRT for NSCLC: toxicity outcomes

Surveillance, Epidemiology and End Results (SEER-) Medicare Records

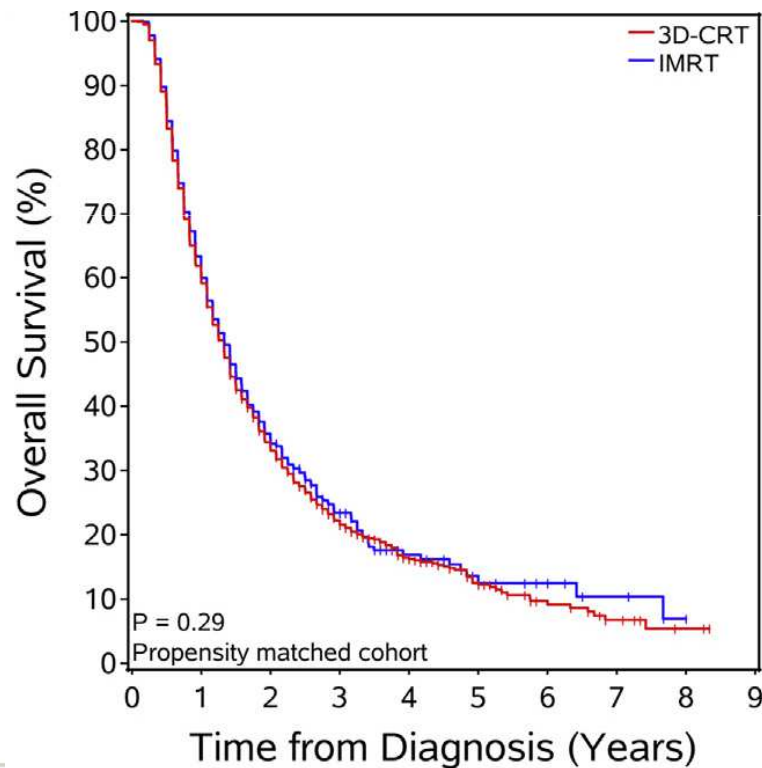
3986 patients stage III Lung Cancer 2001-2007 (257 IMRT (6.5%))



# IMRT for NSCLC: survival outcomes

*Surveillance, Epidemiology and End Results (SEER-) Medicare Records*

6894 patients stage III Lung Cancer 2002-2009 (716 IMRT (10%))



Summary of hazard ratios for IMRT versus 3D-CRT (reference)

	Multivariate adjusted	
	HR (95% CI)	P
OS	0.94 (0.85-1.04)	.23
CSS	0.94 (0.85-1.05)	.28
Early UGI toxicity	1.01 (0.87-1.19)	.86
Late UGI toxicity	0.94 (0.87-1.01)	.08
Early pulmonary toxicity	1.14 (0.92-1.43)	.23
Late pulmonary toxicity	1.22 (0.82-1.83)	.33
Cardiac toxicity	0.88 (0.64-1.21)	.44

IMRT is associated with similar toxicities while maintaining good outcomes



# IMRT for NSCLC: clinical outcomes

## Outcomes of Intensity Modulated and 3D-Conformal Radiotherapy for Stage III Non-Small Cell Lung Cancer in NRG Oncology/RTOG 0617

**Stephen G. Chun, M.D.**

Department of Radiation Oncology, M.D. Anderson Cancer Center

Chen Hu, Ph.D., Hak Choy, M.D., Ritsuko R Komaki, M.D., Robert D Timmerman, M.D., Steven E Schild, M.D., Jeff A Bogart, M.D., Michael C Dobelbower, M.D., Walter Bosch, D.Sc., James Galvin, D.Sc., Vivek S Kavadi, M.D., Samir Narayan, M.D., Puneeth Iyengar, MD, Ph.D., Clifford G Robinson, M.D., Raymond B Wynn, M.D., Adam Raben, M.D., Mark E Augspurger, M.D., Robert M MacRae, M.D., Rebecca Paulus, B.S., Jeffrey D Bradley, M.D.

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September 8, 2015

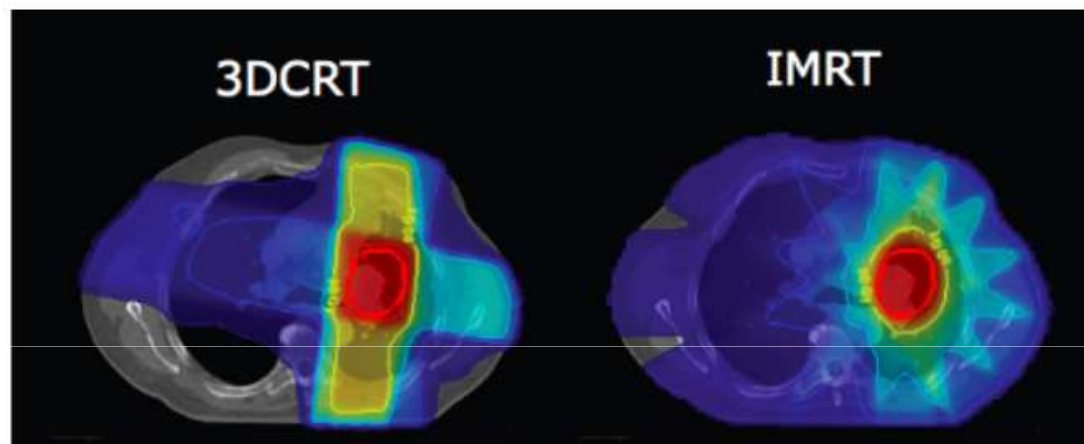


938: Outcomes of intensity modulated and 3D-conformal radiotherapy for Stage III NSCLC in NRG/RTOG 0617 – Stephen G. Chun, M.D.

 **ESTRO**  
School

# IMRT for NSCLC: clinical outcomes

## STUDY RATIONALE/HYPOTHESIS

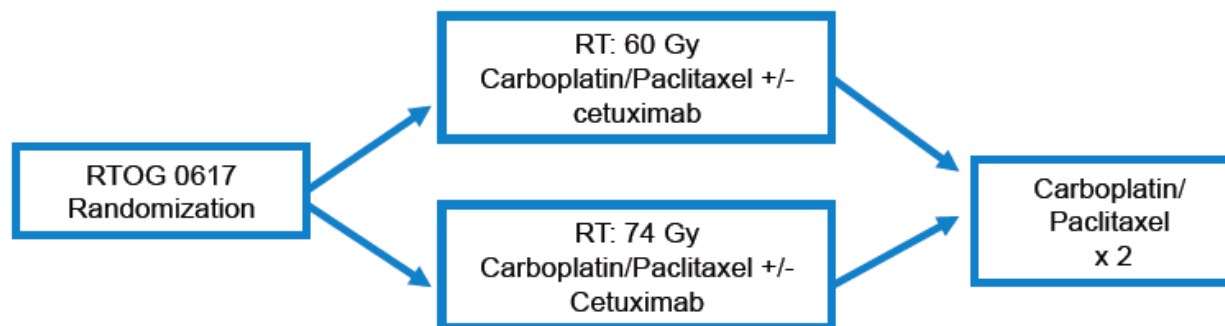


- Rationale
  - IMRT improves target conformity and **reduces both high and intermediate dose volumes**
  - Exchange for large low-dose bath
- Hypothesis: IMRT may improve outcomes in Stage III NSCLC

# IMRT for NSCLC: clinical outcomes

## STUDY METHODS

Secondary Analysis of NRG/RTOG 0617



### Compared RT technique in Univariate and Multivariate Analyses

- Stratified: 3D-CRT 53%, IMRT 47% in both RT dose arms
- Endpoints of secondary analysis
  - Survival – overall, progression free, local control
  - Grade 3+ toxicity
  - Amount of chemotherapy administered

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# IMRT for NSCLC: clinical outcomes

## RESULTS

### Baseline Characteristics

#### Deck stacked against IMRT

Characteristic	3D-CRT	IMRT	P-value
Stage IIIB	30%	39%	0.056
PTV	427 mL	486 mL	0.005
PTV:lung ratio	0.13	0.15	0.013

IMRT likely selected prior to randomization to treat more “difficult” tumors

# IMRT for NSCLC: clinical outcomes

## RESULTS

Effect of radiation technique on 2-year outcomes

Multivariate analysis 3D-CRT (reference) vs. IMRT

Outcome	OR (95% CI)	P-value
Overall survival	1.01 (0.8, 1.28)	0.95
Progression free survival	1.12 (0.91, 1.39)	0.28
Local control	0.91 (0.67, 1.23)	0.54
Distant metastasis free	0.92 (0.71, 1.19)	0.52

Overall and progression free survival similar  
*in spite* of more unfavorable tumors in IMRT group



938: Outcomes of intensity modulated and 3D-conformal radiotherapy for Stage III NSCLC in NRG/RTOG 0617 – Stephen G. Chun, M.D.

# RESULTS

## Benefits of IMRT

Outcome	3D-CRT	IMRT	P-value
Grade 3+ pneumonitis	8%	3.5%	0.0462
Heart V40	11.4%	6.8%	0.0026
Full consolidative chemotherapy	29%	37%	0.05

Esophagitis, weight loss, cardiovascular, neurologic adverse effects similar in IMRT and 3D-CRT

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# IMRT for NSCLC: clinical outcomes

## RESULTS

Multivariate Predictors of Grade 3+ pneumonitis

Co-variate	Comparison	OR (95% CI)	P-value
Technique	3D-CRT vs IMRT	0.44 (0.18, 1.04)	0.0621
Stage	IIIA vs. IIIB	2.35 (1.05, 5.29)	0.0385
Lung V20	Continuous	1.081 (1.02, 1.146)	0.009

### Low dose bath bigger with IMRT

Lung V5 – IMRT 62% vs. 3D-CRT 55% (P < 0.0001)

Lung V5 did not predict pneumonitis, P = 0.14, OR 1.02, 95% CI (0.994, 1.04)

MLD did not predict pneumonitis

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# IMRT for NSCLC: clinical outcomes

## CONCLUSIONS

- **IMRT Patient Outcomes**
  - Similar OS and PFS in spite of worse tumors
  - Improved Toxicity
  - Better tolerance of chemotherapy
- **Radiation Treatment planning**
  - Lung doses – V20 was significant  
(Lung V5/MLD was not)
  - Heart doses can be reduced with IMRT



938: Outcomes of intensity modulated and 3D-conformal radiotherapy for Stage III NSCLC in NRG/RTOG 0617 – Stephen G. Chun, M.D.

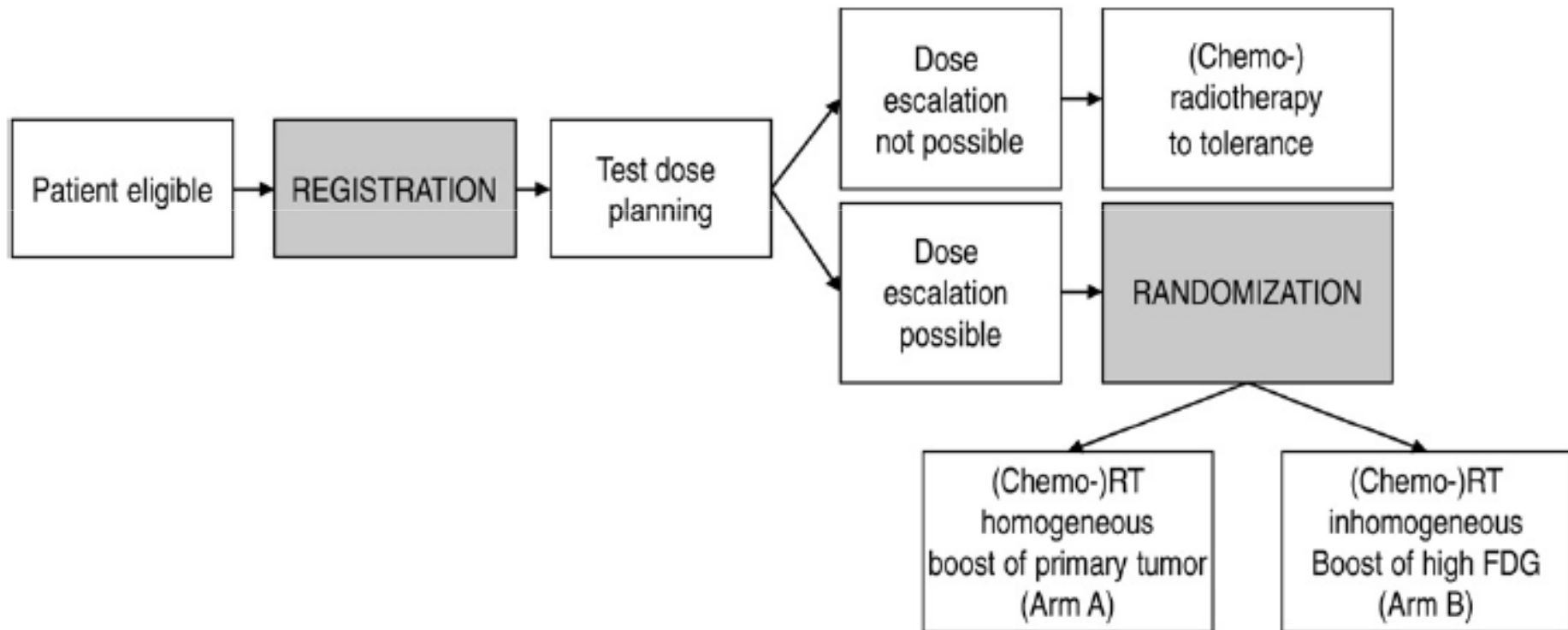


PET in lung cancer RT

## The PET-boost randomised phase II dose-escalation trial in non-small cell lung cancer

Wouter van Elmpt<sup>a,\*</sup>, Dirk De Ruyscher<sup>a</sup>, Anke van der Salm<sup>a</sup>, Annemarie Lakeman<sup>b</sup>,  
Judith van der Stoep<sup>a</sup>, Daisy Emans<sup>a</sup>, Eugène Damen<sup>b</sup>, Michel Öllers<sup>a</sup>, Jan-Jakob Sonke<sup>b</sup>, José Belderbos<sup>b</sup>

<sup>a</sup> Department of Radiation Oncology, Maastricht University Medical Centre, Maastricht; <sup>b</sup> Department of Radiation Oncology, The Netherlands Cancer Institute, Amsterdam, The Netherlands



**Table 2**

Dose parameters for the target structures.

Parameter	No escalation possible [N = 5]	Uniform boost (arm A) (arm A) [N = 15]	PET boost (arm B) (arm B) [N = 15]	p-value*
Prescribed dose/24 fractions	2.75 [1 patient 23#] in primary tumour	3.27 ± 0.31 [3.01–4.28] in primary tumour	3.63 ± 0.54 [3.20–5.40] in boost volume	0.001
Mean PTV dose: primary tumour [Gy]	65.5 ± 1.5 [63.3–67.3]	77.3 ± 7.9 [70.2–103.4]	77.5 ± 10.1 [71.4–112.0]	0.950
Mean PTV dose: boost area [Gy]	N.A.	79.2 ± 8.0 [72.0 – 104.9]	86.9 ± 14.9 [74.9–134.9]	0.001
Min. PTV dose ( $D_{99\%}$ ): primary tumour [Gy]	61.3 ± 2.9 [57.8–64.6]	66.7 ± 8.1 [55.0–92.4]	62.0 ± 3.3 [56.0–69.9]	0.001

\* The p-values were calculated using a Wilcoxon-Signed Rank test.

**Table 3**

Dose parameters for the organs at risk.

Organ-at-risk	Dose constraint	Uniform boost (arm A)	PET boost (arm B)	p-value*	Dose-limiting arm A	Dose-limiting arm B
Mean lung dose [mean dose Gy]	20 Gy (corrected to EQD2)	15.8 ± 3.9 [7.3–19.7] (corrected to EQD2)	15.7 ± 4.0 [7.3–19.9] (corrected to EQD2)	0.038	3/15	2/15
PRV mediast. [ $D_{0.1\%}$ Gy]	N.A (physical dose) <76 Gy (=EQD2 of 94 Gy)	16.7 ± 4.3 [7.8–21.5]	16.8 ± 4.4 [7.8–21.9]	0.340	3/15	2/15
Spinal cord [ $D_{0.1\%}$ Gy]	<51 Gy (=EQD2 of 53 Gy)	71.1 ± 11.4 [30.3–75.7]	72.1 ± 10.4 [34.9–76.8]	0.073	13/15	14/15
Oesophagus [ $V_{35Gy}$ ]	<80%	41.7 ± 8.4 [25.1–49.7]	42.0 ± 9.2 [22.1–50.1]	0.394	1/15	1/15
Heart [mean dose Gy]	<47 Gy (=EQD2 of 46 Gy)	38.8 ± 15.5 [10.7–62.8]	39.1 ± 15.8 [12.0–57.0]	0.727	0/15	0/15
Brachial plexus [ $D_{0.1\%}$ Gy] (if proximity of PTV)	<66 Gy (=EQD2 of 79 Gy)	13.2 ± 12.8 [0.3–30.9]	12.4 ± 11.9 [0.3–29.7]	0.271	0/15	0/15
		54.2 ± 14.2 [23.7–65.6]	53.7 ± 16.2 [17.3–65.7]	0.778	3/15	3/15

\* The p-values were calculated using a Wilcoxon-Signed Rank test.

# RTOG 1106

<sup>1</sup>**All Patients:** Baseline FDG-PET/CT scan within 28 days prior to start of treatment

<sup>2</sup>**Subset of Patients:** Baseline FMISO-PET/CT scan within 28 days prior to start of treatment, but not on same day as FDG-PET/CT scan

<b>S T R A T I F Y</b>	<b>Stage</b>	<b><sup>3</sup>R A N D O M I Z E</b>	<p><b>Arm 1: Concurrent Chemoradiotherapy</b> RT to 50 Gy in 25 fractions (nominally 5 fx/week) <sup>4</sup>Carboplatin and paclitaxel weekly</p> <p><b>Arm 2: Concurrent Chemoradiotherapy</b> RT to 46.2 Gy in 21 fractions (nominally 5 fx/week) <sup>4</sup>Carboplatin and paclitaxel weekly</p>
	1. IIIA 2. IIIB		
	<b>Primary Tumor Size</b>		
	<b>Histology</b>		
	1. > 5 cm 2. ≤ 5 cm		
	1. Squamous 2. Non-Squamous		

**ALL PATIENTS: During-RT FDG-PET/CT Scan between fractions 18 and 19 for Both Arms**  
For Arm 2, re-simulation with CT scan at fractions 18-19 (weeks 3-4)

**Arm 1:** Continuation of radiotherapy, per the initial plan, not based on during-RT FDG-PET/CT scan with carboplatin and paclitaxel for a total of 6 weekly cycles. No adaptation is allowed.

A total of 60 Gy in 30 daily fractions (nominally 5 fx/week)

**Arm 2:** Adaptive radiotherapy, based on during-RT FDG-PET/CT scan and resimulation with CT scan with carboplatin and paclitaxel for a total of 6 weekly cycles

19.8-34.2 Gy in 9 fractions; overall total of up to 80.4 Gy in 30 daily fractions in 6 weeks Individualized to MLD 20 Gy

**ALL PATIENTS: Consolidative Chemotherapy**

**Arms 1 and 2:** Carboplatin and paclitaxel q21 days X 3

# Remarks on IMRT for LA NSCLC

- IMRT is now an accepted standard for LA NSCLC radiotherapy
- IMRT could reduce V20 and heart dose
- IMRT could increase patients' compliance to chemotherapy
- Ongoing trials will clarify us the role of PET-guided hypofractionated boost and the best chemotherapy combination (these trials are made possible by the use of IMRT!)
- New drugs will hopefully amplify the benefit of the combined modality approach



uclh

# IMRT in Gynae and GI

Gemma Eminowicz

UCLH

- Dummies guide
- Upper GI IMRT
- Pelvic IMRT
- Important factors affecting IMRT

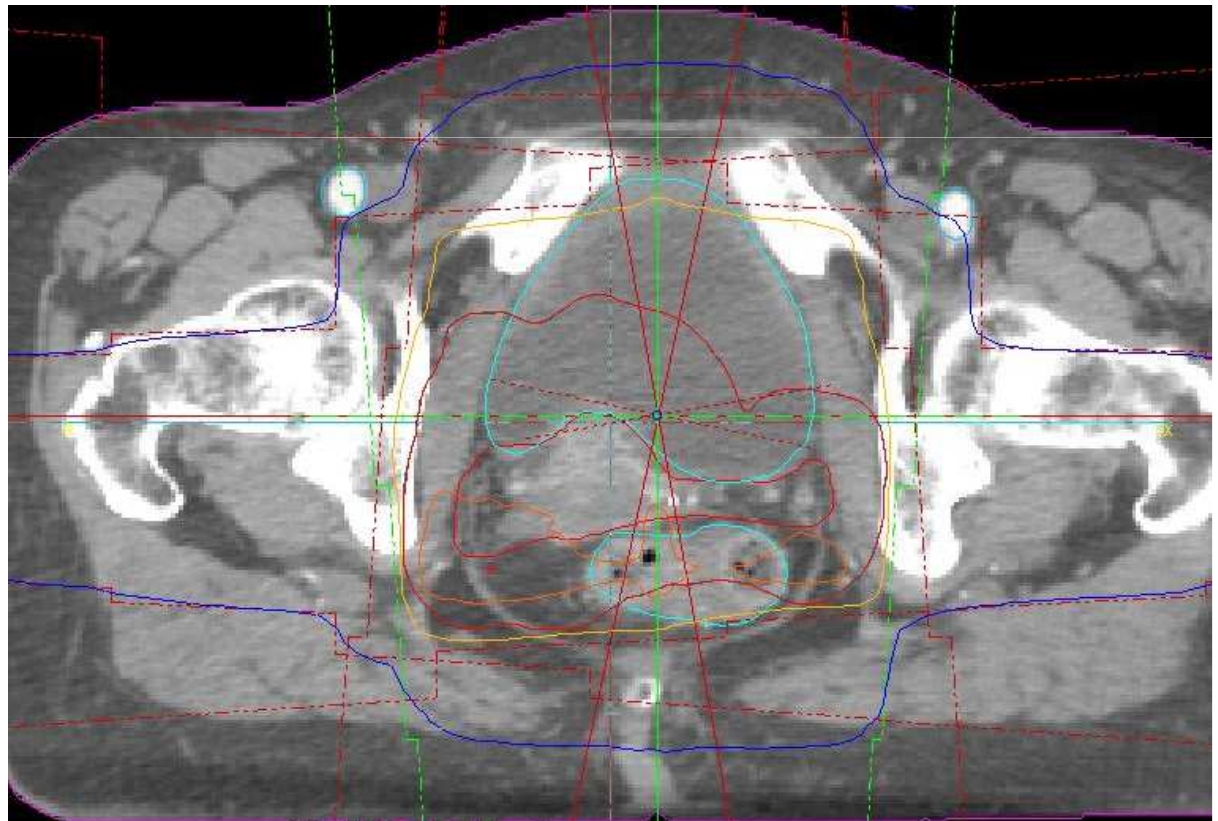
# Dummies guide to IMRT

- Better conformity to PTV
- Increased normal tissue sparing
- ?Less toxicity



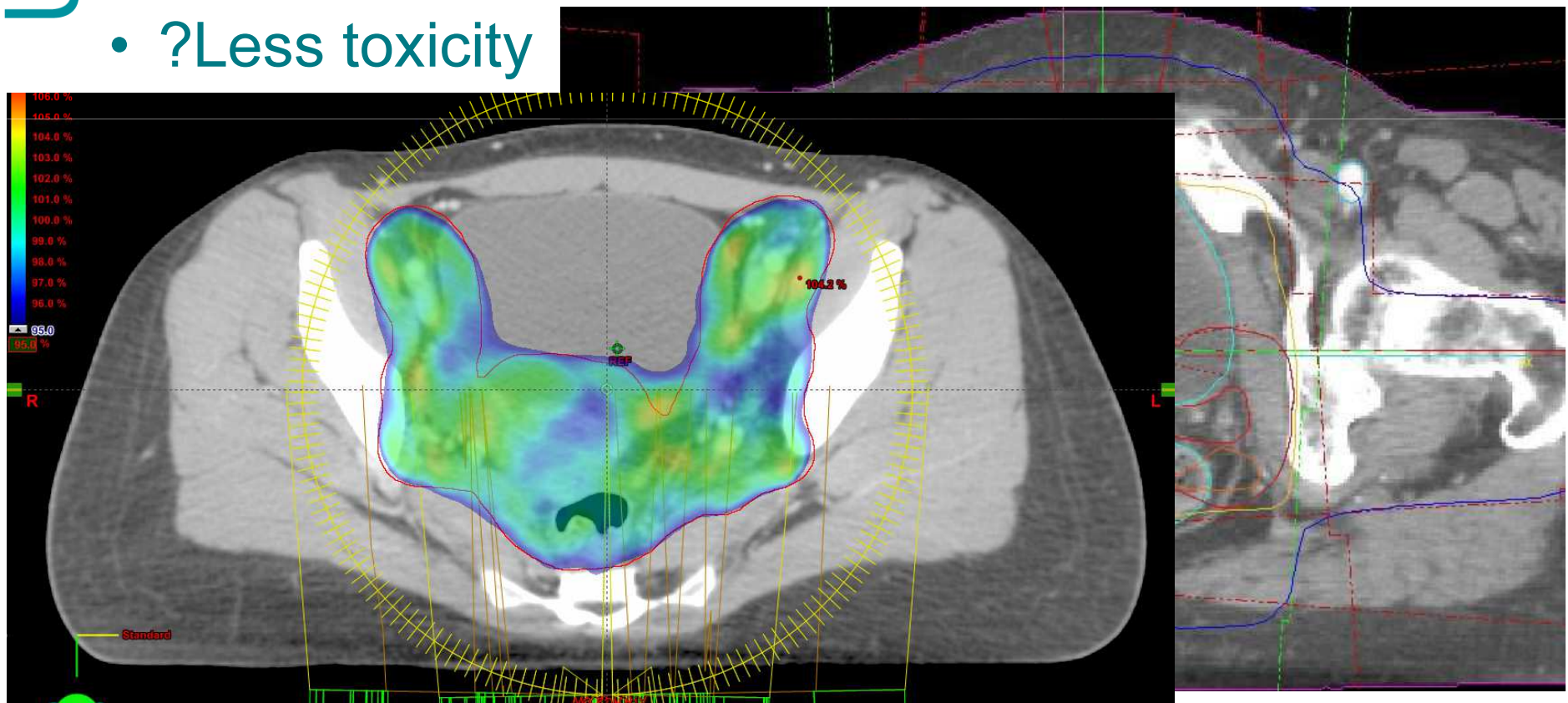
# Dummies guide to IMRT

- Better conformity to PTV
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# Dummies guide to IMRT

- Better conformity to PTV
- Increased normal tissue sparing
- ?Less toxicity



# Dummies guide to IMRT

- Better conformity to PTV
- Increased normal tissue sparing
- ?Less toxicity

BUT

- Better conformity to the delineated PTV
- Planned on snapshot in time
- Balance of PTV dose vs OAR tolerance

# Overview: Upper GI IMRT

UCLH

- Oesophageal
  - SCOPE 2 trial
  - Cervical tumours
- Pancreatic
  - SCALOP 2 trial
- Pneumonitis
- Hepatitis
- Renal function
- Neuropathy (cervical oesophageal tumours)

# Overview: Upper GI IMRT

UCLH

- **Oesophageal**
  - SCOPE 2 trial
  - **Cervical tumours**
- Pancreatic
  - SCALOP 2 trial

# Oesophageal tumours

- 50Gy with concurrent chemo
- Cervical oesophageal tumours
- Spinal cord sparing
- Lung sparing (mean dose)
- Heart sparing (V30, V45)
  
- Clinical benefit?
  - Less cardiac deaths



University College London Hospitals

NHS Foundation Trust



uclh

Study of Chemoradiotherapy in Oesophageal cancer with PET and dose Escalation

Use of IMRT to dose escalate/paint  
4D-CT planning

# Pancreatic tumours

- 50.4-60Gy/28-30# with concurrent chemo
- Small bowel sparing (D10%, D15%, V35, V45)
- Kidney sparing (mean, V20)
- Liver sparing (mean, V35)
  
- Clinical benefit?





uclh

## Systemic therapy and Chemoradiation in Advanced Localised Pancreatic cancer 2

Recommend 4D-CT or motion management  
IMRT priority: duodenum and bowel sparing

UCLH

# Overview: Upper GI IMRT

- Oesophageal
  - SCOPE 2 trial
  - Cervical tumours
  - Pneumonitis
  - Hepatitis
  - Renal function
- Pancreatic
  - SCALOP 2 trial
  - Neuropathy (cervical oesophageal tumours)

**PROBABLE CLINICAL BENEFIT**

# Overview: Pelvic/abdo IMRT

- Lower GI
  - Anal cancer
  - Rectal cancer
- Vulval
  - Extrapolated from anal ca
- Endometrial
  - Post operative RT using ITV
- Cervical
  - Adaptive RT or ITV
- Bowel toxicity
- Cystitis
- Proctitis
- Haem toxicity
- Skeletal events
- Dermatitis

# Overview: Pelvic/abdo IMRT

- Lower GI
  - **Anal cancer**
  - Rectal cancer
- **Vulval**
  - Extrapolated from anal ca
- **Endometrial**
  - Post operative RT using ITV
- Cervical
  - Adaptive RT or ITV

# Rectal IMRT

- 45-50.4Gy/25-28# with chemotherapy
- 14 prospective studies
- Reduced irradiated bowel volume (V45, V50)
- Benefit depends upon bowel proximity to PTV
- Some suggest improved acute toxicity
- Minimal late toxicity data
  
- RTOG 0822: Ph II evaluation of pre-operative CRT using IMRT with capox

# Rectal IMRT: RTOG 0822

UCLH

- Ph II evaluation of pre-operative CRT using IMRT with capox
- Prospective study of IMRT use
- Standardised RTOG contouring atlas
- 79 pts, 68 evaluable, 51%  $\geq$ G2 GI toxicity, 18% G3/4 diarrhoea
- NO reduction in rate of GI toxicity

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RADIATION THERAPY  
ONCOLOGY GROUP

# Rectal IMRT

- Ph II evaluation of IMRT-IGRT pre-operative CRT with SIB
- Prospective study of IMRT use
- 108 patients, 54/12 FU
- 9%  $\geq$ G3 late gastrointestinal toxicity
- 4%  $\geq$ G3 late urinary toxicity
- 13%  $\geq$ G3 any late toxicity
- Good LC, survival

# Anal IMRT

- Dose variation
  - Elective dose 30/40Gy
  - T1 40-45Gy
  - T2 50-59
  - T3/4 59-66Gy
- Significant G3/4 toxicity causes delays in RT
  - Haem 61%, Derm 48%, GI 36%, GU 4%
- Published series showing reduced toxicity



# Anal IMRT



- RTOG 0529
- Phase 2 dose painting study
- Stat sig lower G2 haem, G3 GI and derm toxicity
  - Reduce dose to bowel, bladder, genitalia, bone
  - [www.analimrtguidance.co.uk](http://www.analimrtguidance.co.uk)
- Single phase IMRT delivered in 28 daily fractions
- 50.4Gy primary, 53.2 if T3/4, 40Gy elective nodes

# Vulval IMRT

- Extrapolated from anal
- Complex volume
- Skin and genitalia sparing
- Less acute toxicity therefore less delays in Rx

# Endometrial IMRT

UCLH

- Post-operative 45Gy/25#
- RTOG 0418 (phase 2 haem toxicity)
- RTOG 0724 (adj chemo after adj CRT)
- ITV from bladder full and empty planning CT
- Reductions in bowel, bladder and rectum dose
- Bowel sparing affected by bladder filling status

# TIME-C trial

- Randomized Ph III Study Of Standard Vs. IMRT Pelvic Radiation For Post-Operative Treatment Of Endometrial And Cervical Cancer (TIME-C)--RTOG CCOP Study 1203
- Week 5 acute GI toxicity (PRO)
- Closed to recruitment 27/8/15 (289 pts)

# Cervical IMRT

UCLH

- 45-50.4Gy/25-28# with concurrent chemo
- Dosimetric benefits
  - Small bowel (V45 halved)
  - Bladder volume (V45 halved)
  - Rectal volume (V45↓7 fold)
  - Pelvic bone dose

# Cervical IMRT cont

UCLH

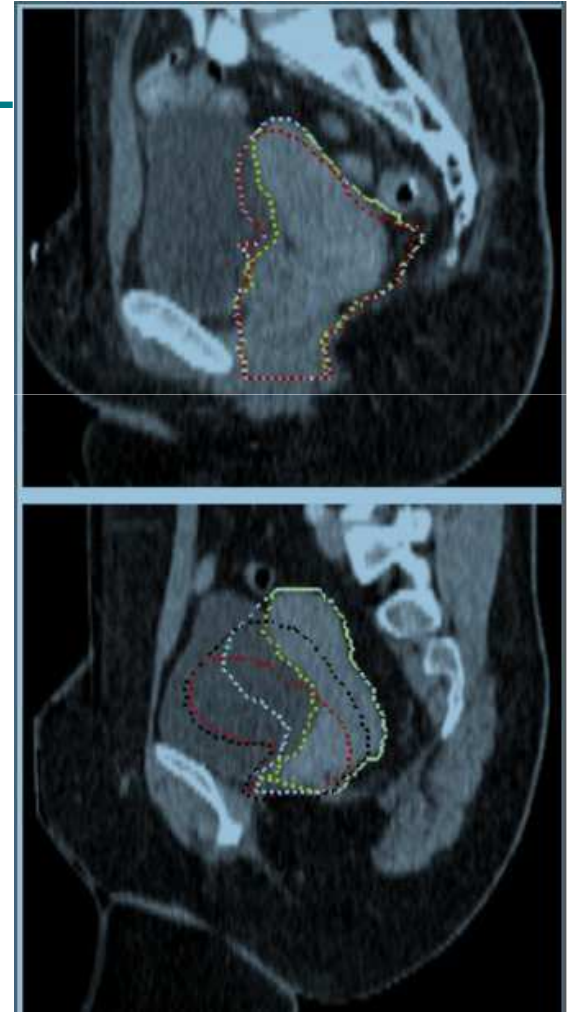
## Clinical benefits

- Stat sig reduced bowel tox (unmatched cohort)
  - Acute 95% to 53%; Chronic 50% to 11%
- Clin sig reduced genitourinary toxicity
  - 7% vs 16%
- Lower G2 white cell toxicity if chemo-RT
  - 60% vs 31%
- Bone complications/QoL
- PA nodal RT (cohorts only, bowel tox)
- Concurrent chemoradiation (haem tox)

# Cervical IMRT- Adaptive

UCLH

- ITV to cover all bladder filling
- Bladder full & empty planning CT
- Full and empty plan if 'mover'
- Fiducial markers in cervix
- Soft tissue matching
- 3D-CRT back up plan (18%)
  - uterus out 27.5%
  - markers out 21.3%
  - both out 21.7%
  - poor CBCT 10.5%



# Overview: Pelvic/abdo IMRT

- Lower GI
    - Anal cancer
    - Rectal cancer
  - Endometrial
  - Post operative RT using ITV
  - Cervical
  - Adaptive RT or ITV
  - Vulval
  - Extrapolated from anal ca
- Bowel toxicity
  - Cystitis
  - Proctitis
  - Haem toxicity
  - Skeletal events
  - Dermatitis

**CLINICAL BENEFIT**



# Important factors affecting IMRT

UCLH

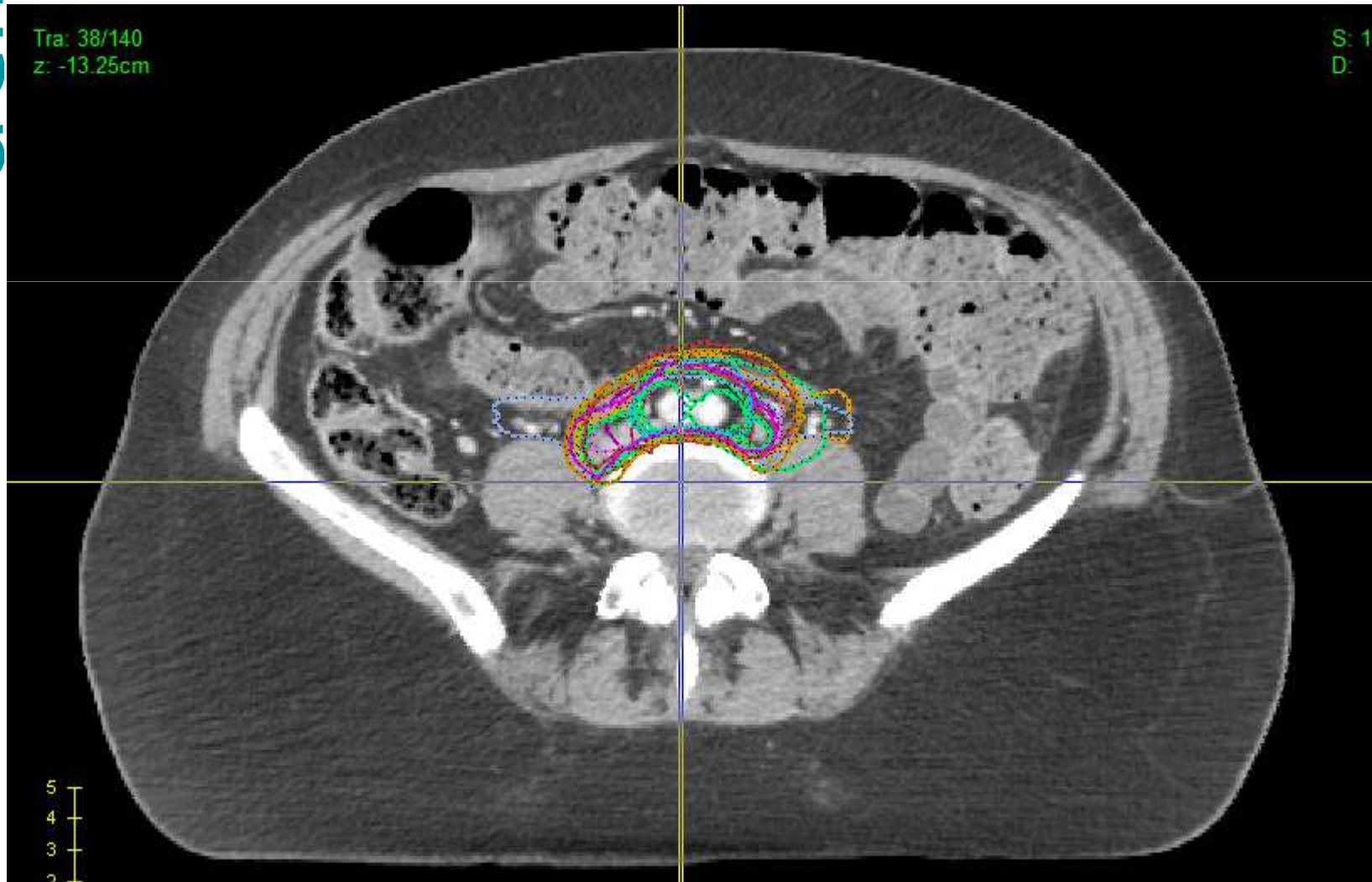
- Delineation accuracy
- Organ position accuracy
  
- Tumour regression during RT
- Low dose radiation increase
- Second Cancer Risk
  
- Cost effectiveness

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# Delineation

# Delineation

uclh



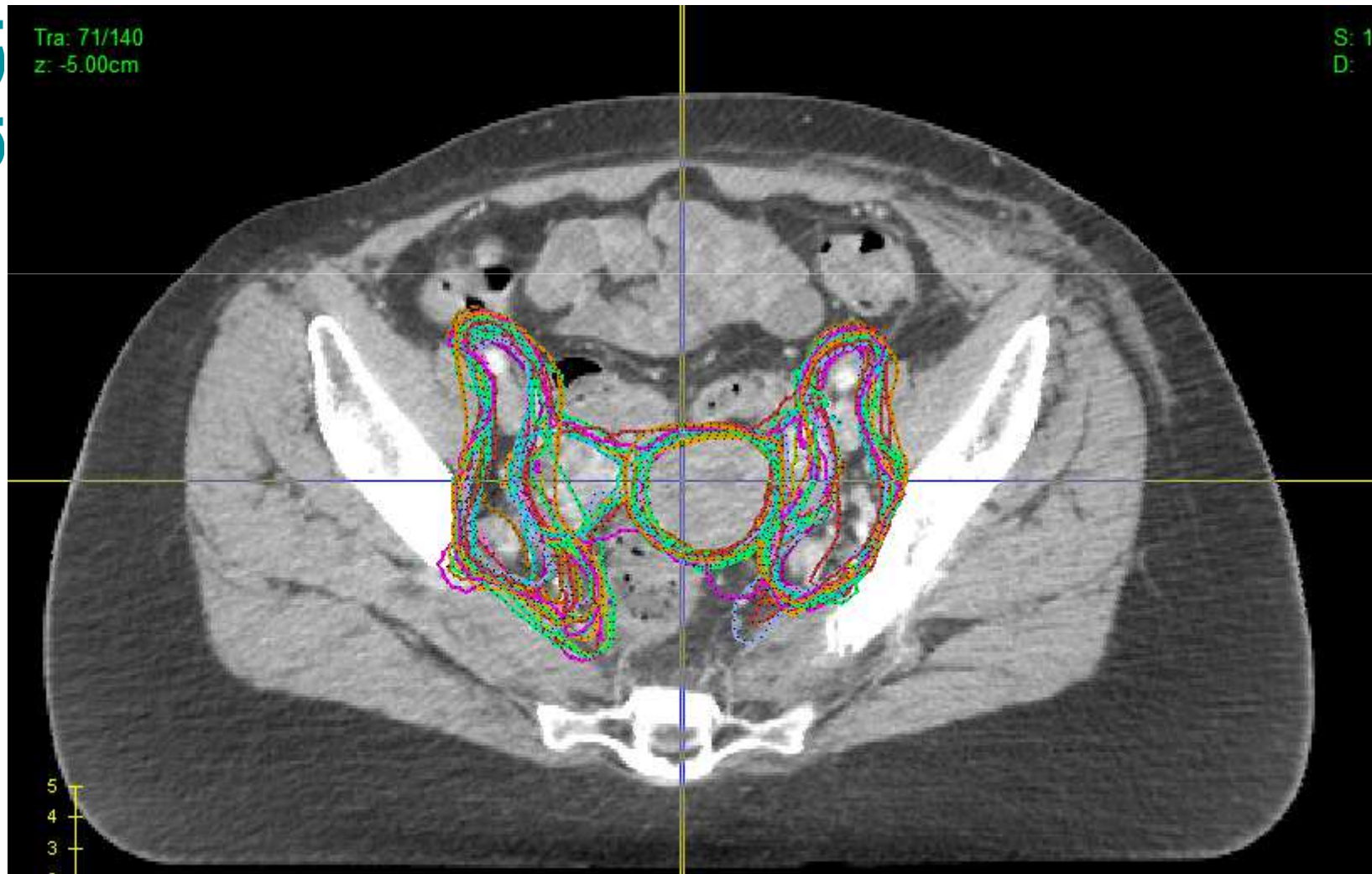
# Delineation

uclh



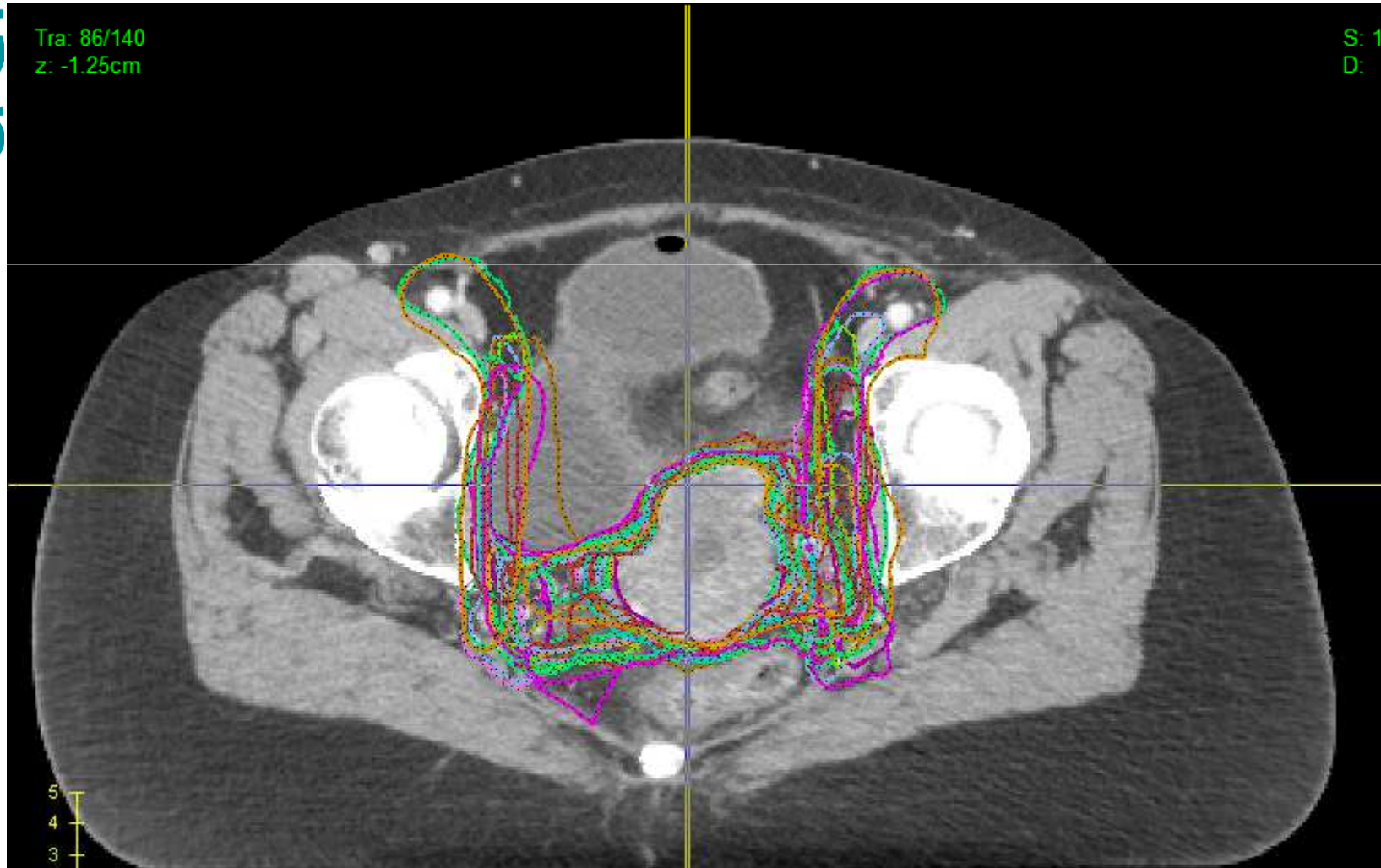
# Delineation

uclh



# Delineation

uclh



# Delineation

- Largest uncertainty in RT planning
- Cervical cancer
  - Two fold difference in CTV volume
  - Up to 4cm difference in superior border
  - JCI 0.51-0.81 vs gold standard

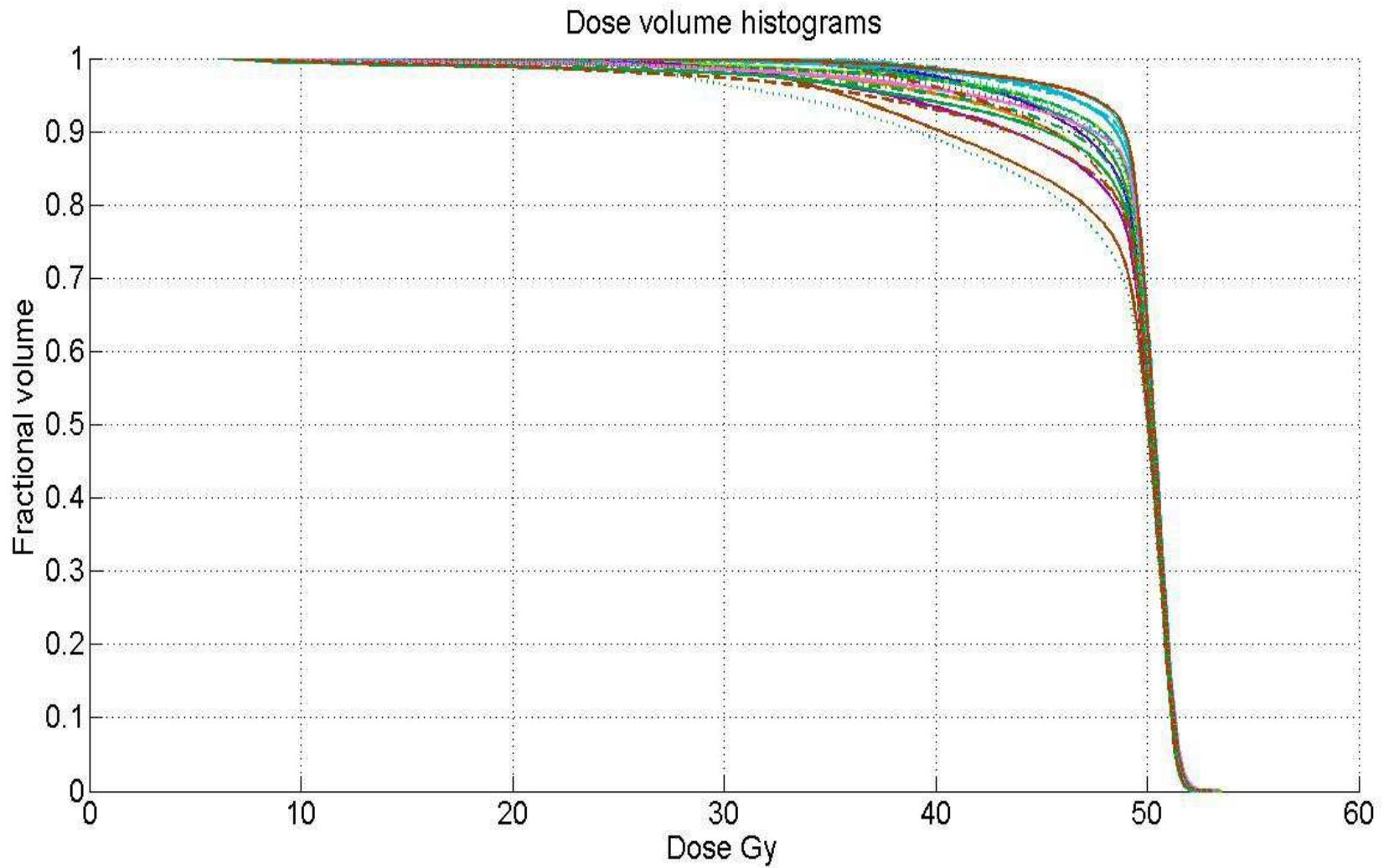
Anatomical region	Case 1 (%, 95% CI)	Case 2 (%, 95% CI)	Protocol
Common iliac nodal region	95 (76.2–99.9)	100 (84.6–100)	Yes
Internal iliac nodal region	100 (83.9–100)	100 (84.2–100)	Yes
External iliac nodal region	86 (63.7–97.0)	95 (77.2–99.9)	Yes
Obturator nodal region	52 (29.8–74.3)	50 (28.2–71.8)	Yes
Pudendal nodal region	43 (21.8–66.0)	73 (49.8–89.3)	No
Inguinofemoral nodal region	33 (14.6–57.0)	18 (5.2–40.3)	No
Presacral nodal region	67 (43.0–85.4)	59 (36.3–79.3)	Yes
Sacral foramina	29 (11.3–52.2)	41 (20.7–63.6)	No guide
Aortic bifurcation GS $\pm 0.5$ cm	71 (47.8–88.7)	91 (70.8–98.9)	
Vaginal length mean $\pm 0.5$ cm	67 (43.0–85.4)	64 (40.7–82.8)	
Overlap with muscle/bone	10 (1.2–30.4)	23 (7.8–45.4)	No
Lateral gaps	24 (8.2–47.2)	23 (7.8–45.4)	No

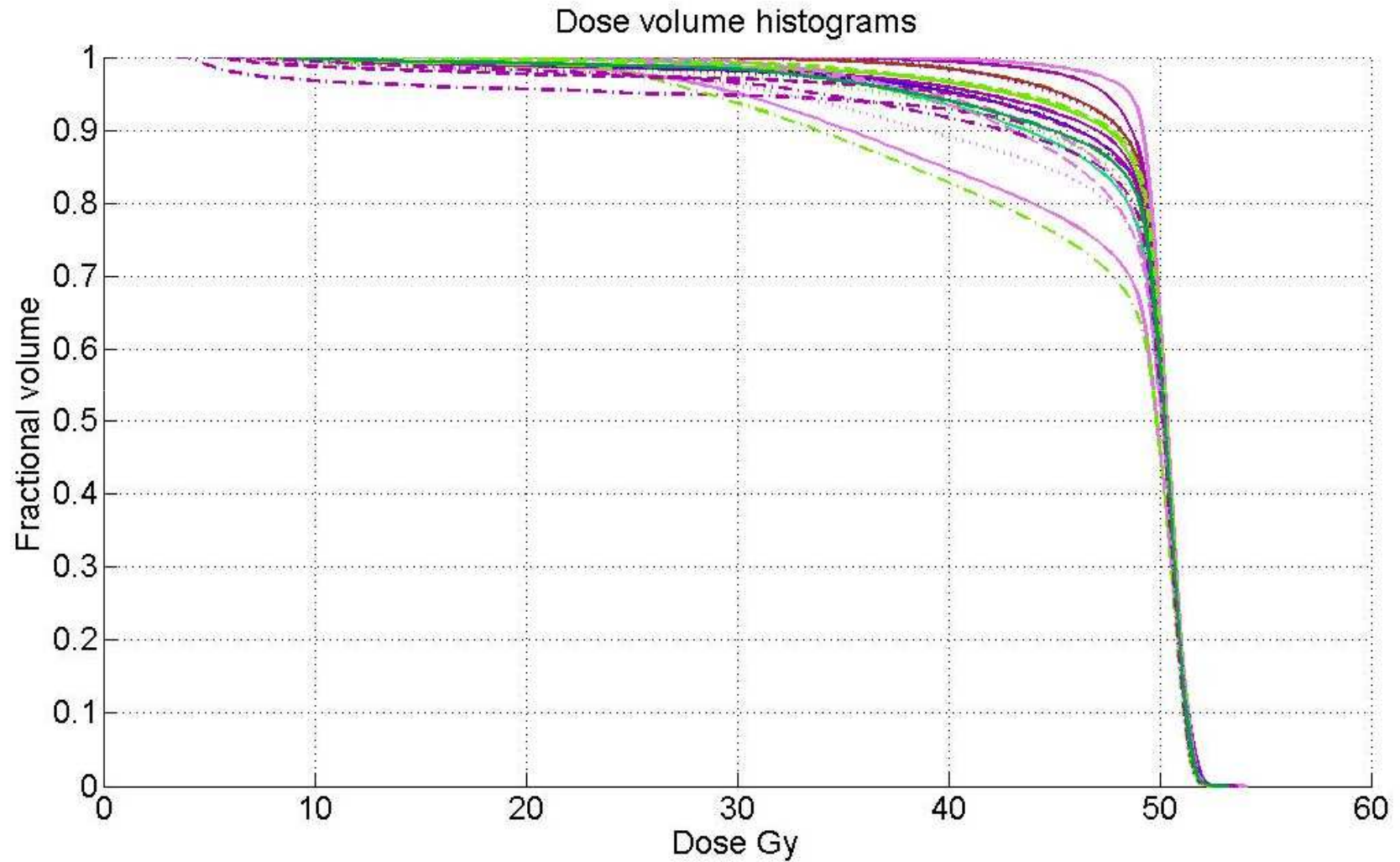


# Dose effect.....

UCLH

- Cervical ca (21 observers 2 cases):
  - No plans achieved GSPTV V95% $\geq$ 95%
  - V95% $\geq$ 90% not achieved in 29% and 36%
  - V95% $>$ 80% not achieved in 2 of both cases
  - Mean GSPTV V95%:85.9%/87.9% (range 70-95%)
- Rectal ca (4 observers, 10 cases)
  - Mean V95% to target PTV with IMRT was 86.5%
  - 3D-CRT maintained V95% at 93.7%
  - mean V95% improved to 94.5% with guidelines





# Delineation guidance

- Oesophagus: IJROBP 2015;92(4):911-920
- Rectal: <https://www.rtog.org/LinkClick.aspx?fileticket=DgflROvKQ6w%3d&tabid=231>
- Cervix: IJROBP 2011;79:348-55. (RTOG)
- Anal: [www.analimrtguidance.co.uk](http://www.analimrtguidance.co.uk)
- Trial protocols
  - INTERLACE/EMBRACE2
  - SCOPE2
  - SCALOP2
  - ARISTOTLE

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# Organ motion

# Organ motion

- Upper GI:
  - Respiratory movements
- Pelvis
  - Bladder filling
  - Rectal/bowel filling

# Compensatory approaches

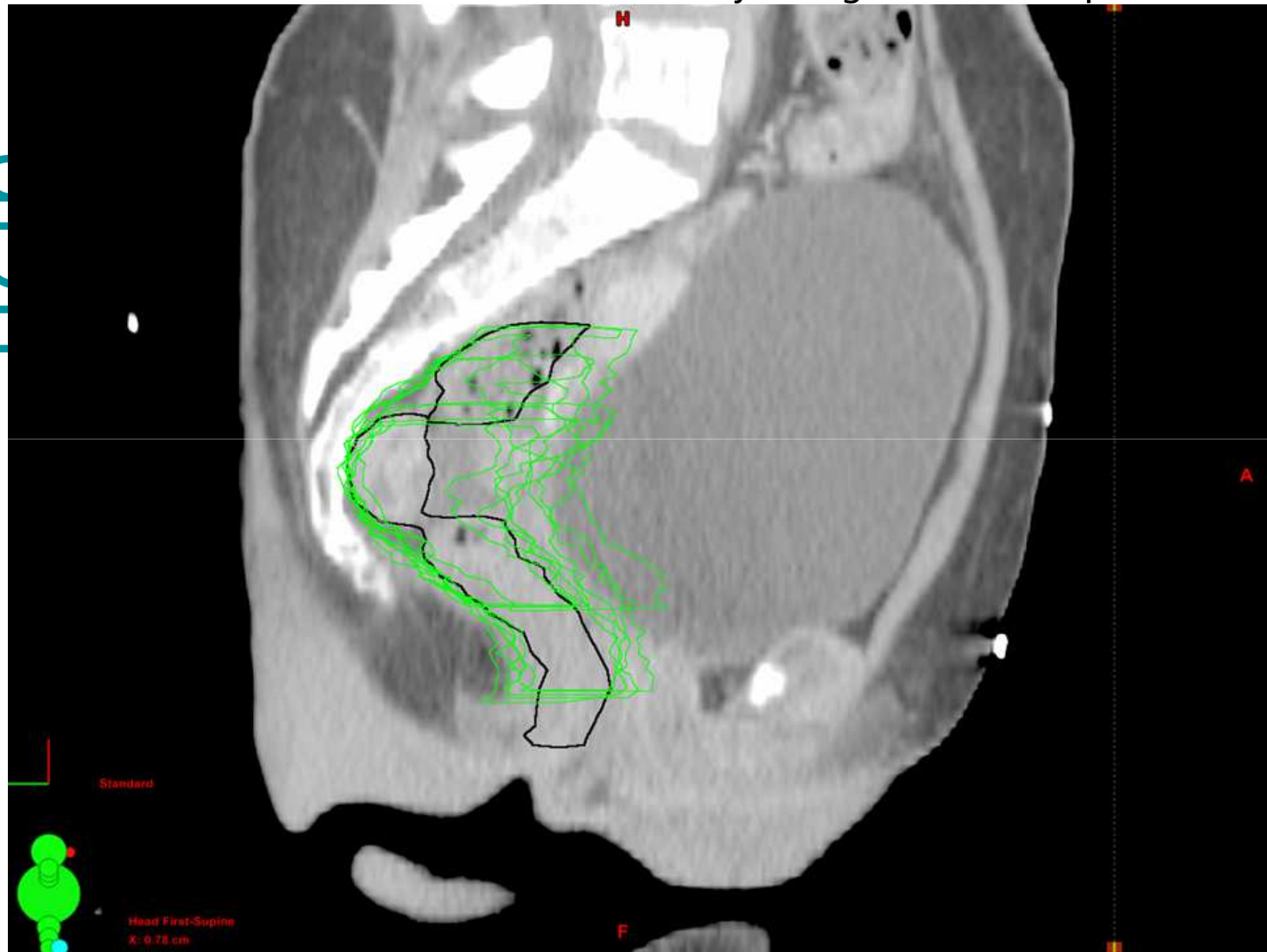
- Large margins
- Breath-holds/ Respiratory gating/ 4D-CT
- Internal Target Volume
- Plan of the day 'adaptive RT'

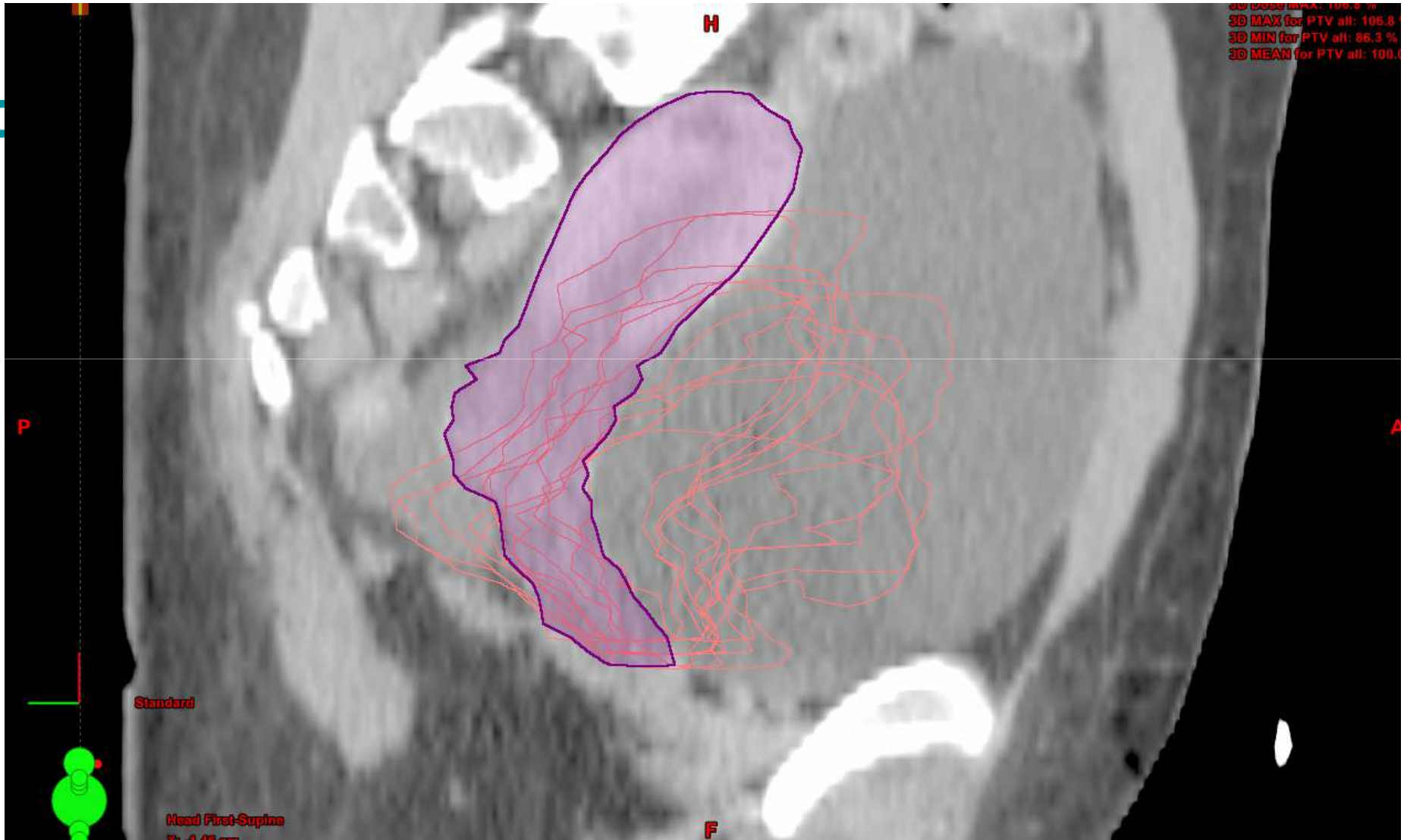
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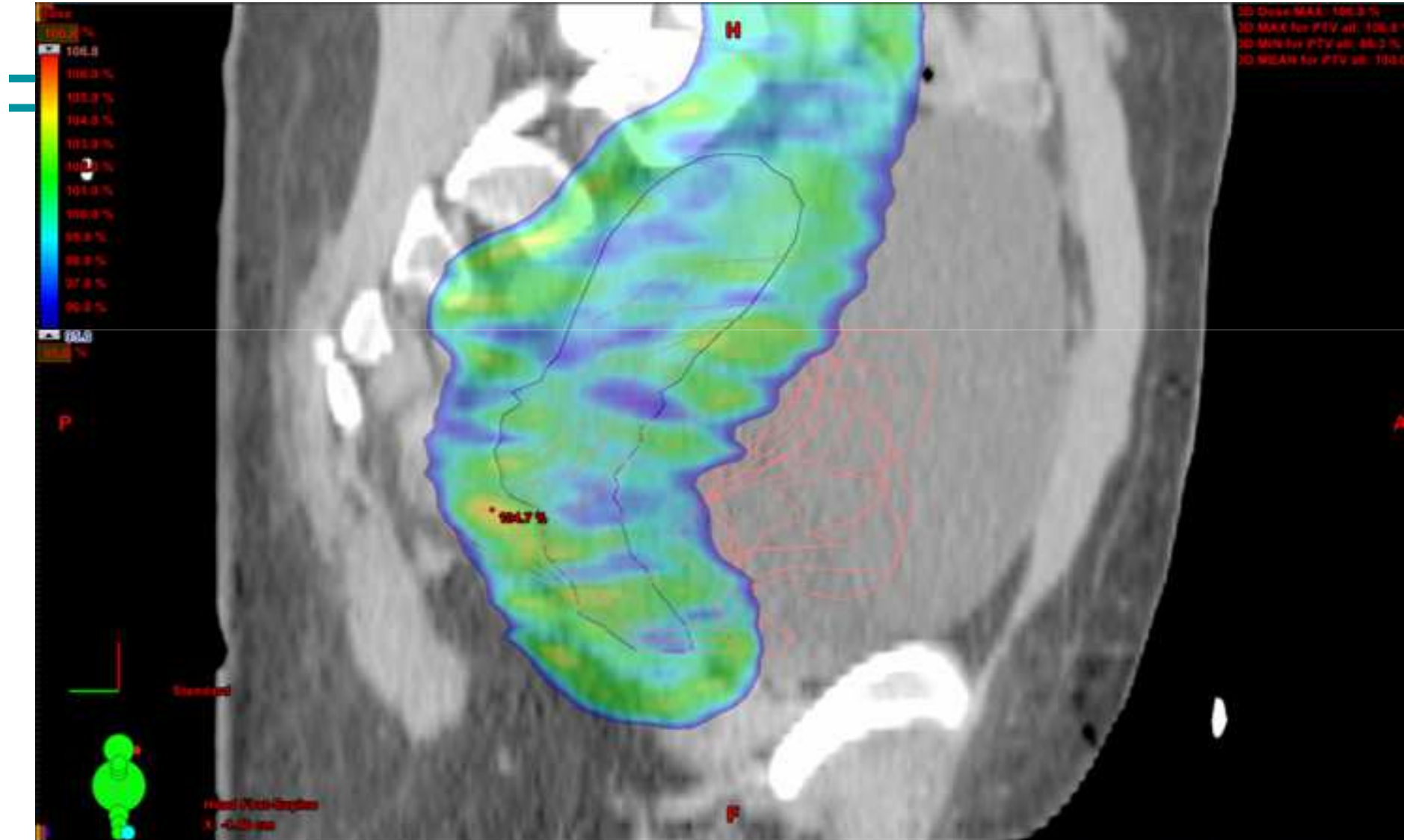




UCLH







# Tumour regression

- Large radiosensitive tumours decrease in volume during RT
- Cervical tumours reduce 31-70%
- Time to 50% reduction 21/7
- Less fixation → increased mobility?
  - No dosimetric detriment
- Replanning beneficial to spare bowel

# Unknown late effects

- Increased peripheral dose:
  - 0.12% prescribed dose
  - Less with 6MV vs 15MV
  - Clinical consequence unclear
- Second cancer risk
  - Absolute risk 1.75% at 10 years compared with 1% for 3D-CRT
  - Due to increased low dose volume (0.5%) and MU (0.25%)
  - Higher energy worse; <1% absolute increase risk

# Cost effectiveness

UCLH

- More expensive initial cost
- Gynaecological patients:
- Increasingly cost effective with time
  - Lower toxicity
  - Post operative pts
- Too expensive unless treating PA nodes

# Summary

- IMRT improves OAR protection
- Dosimetric benefit
- Clinical benefit likely
- Strict QA necessary
  - Image guidance
  - Delineation accuracy
  - Margins
  - Reproducibility
- Prospective randomised clinical trials needed

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Teoh et al. Rectal Radiotherapy–IMRT delivery delineation and doses. Clin Oncol 2016;28:93

Zwahlen DR et al. Effect of intensity-modulated pelvic radiotherapy on second cancer risk in the postoperative treatment of endometrial and cervical cancer. IJROBP 2009; 74: 539-45.

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# IMRT Optimization: Algorithms and Cost Functions

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# Disclosure

- I am involved in the development of the treatment planning system  which is the basis for Elekta Monaco®.
- My department (LMU Munich) currently receives research grants from Elekta and C-RAD.
- I am co-owner of the company 

Courtesy *M. Alber*:

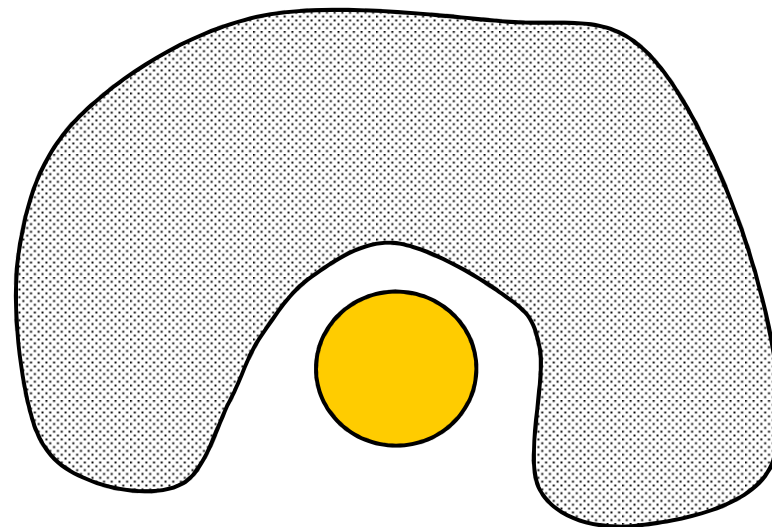
Many of the following slides are based on his presentations about “IMRT optimization” and “Costfunctions” at the ESTRO IMRT course 2012

## FAQ:

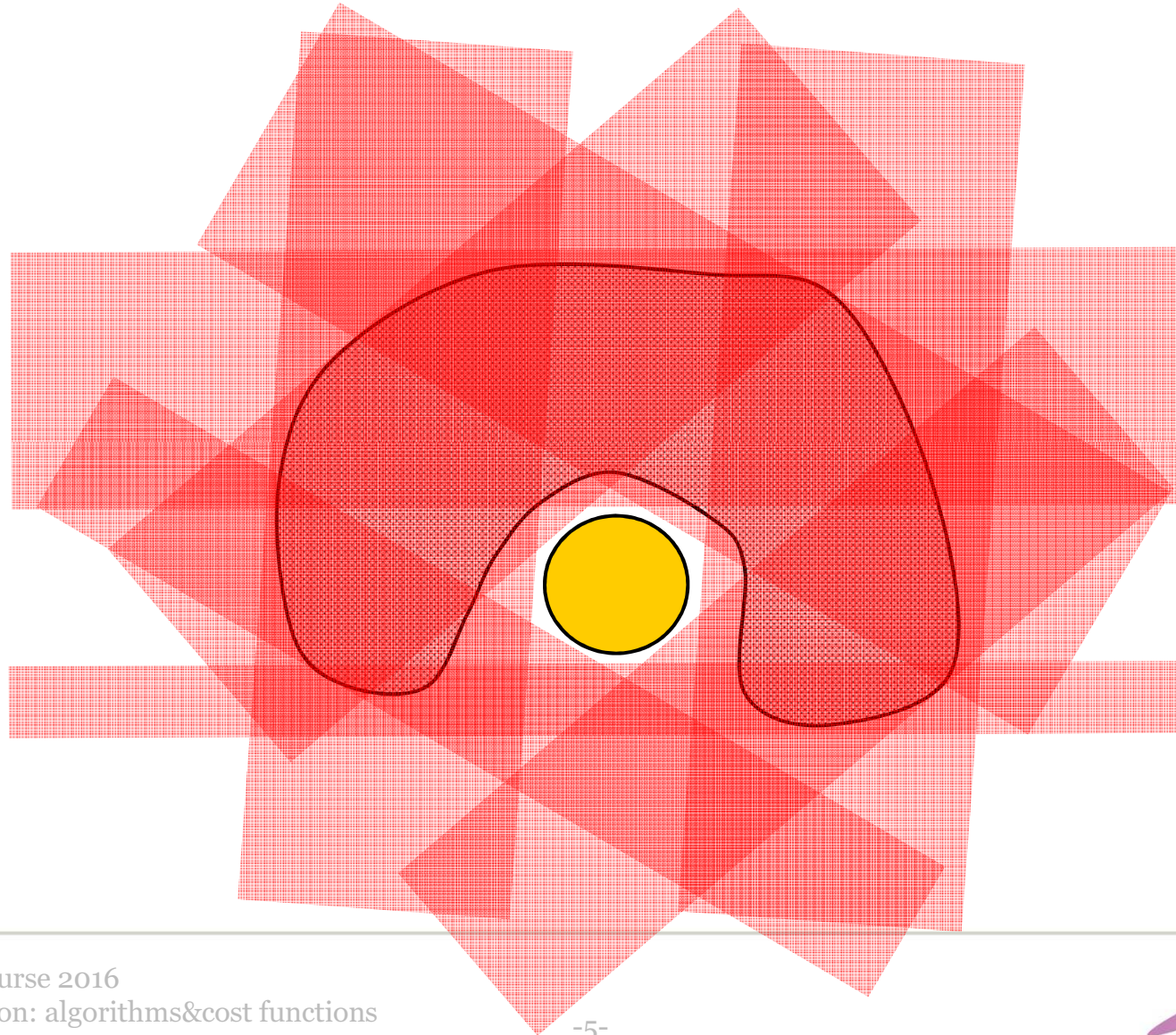
- Why do we need dose optimization if all we want to do is dose planning?

# How close are our objectives to a physically feasible dose?

*Paint a concave dose gradient!*

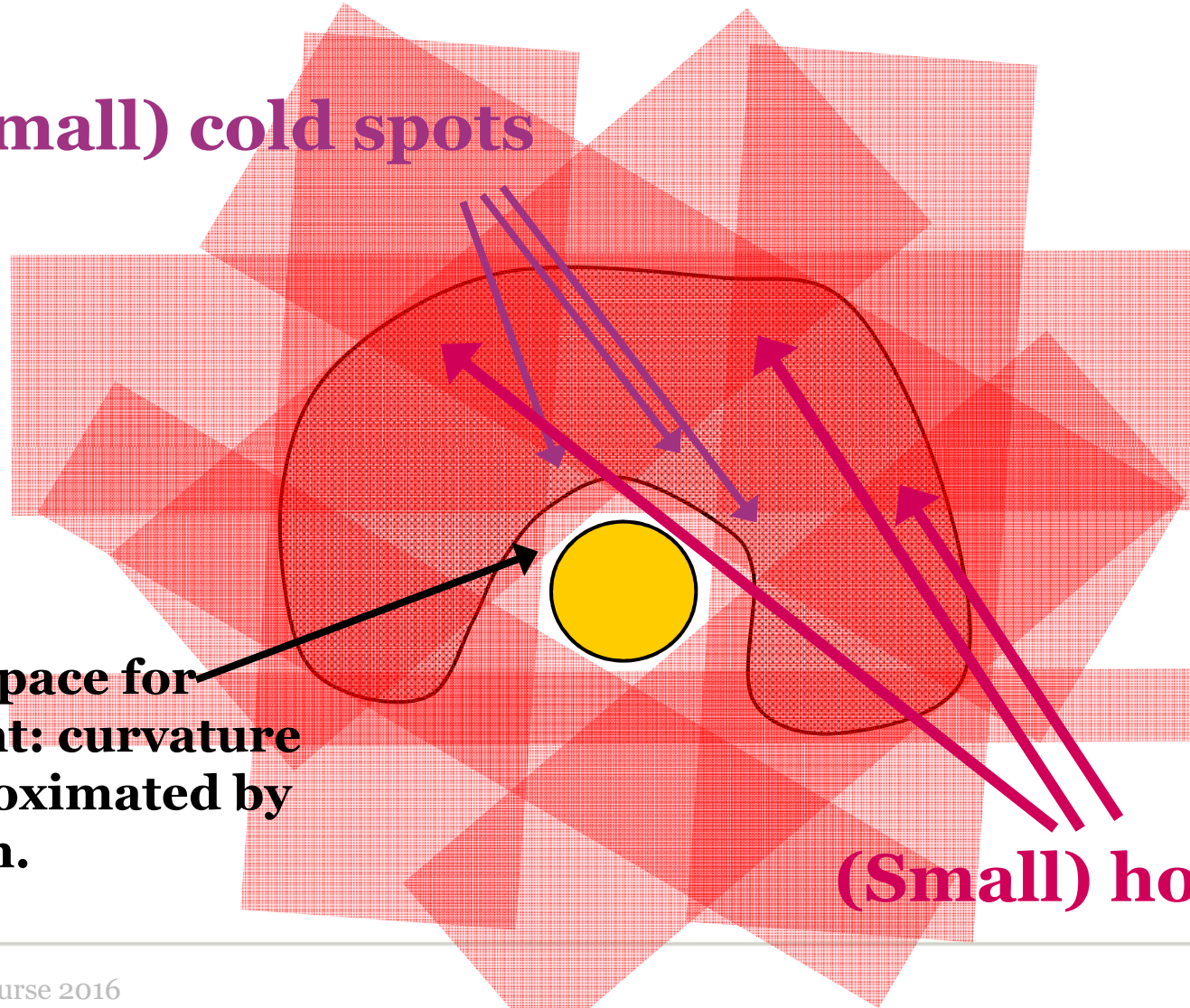


# With 4 beams...



# With 4 beams...

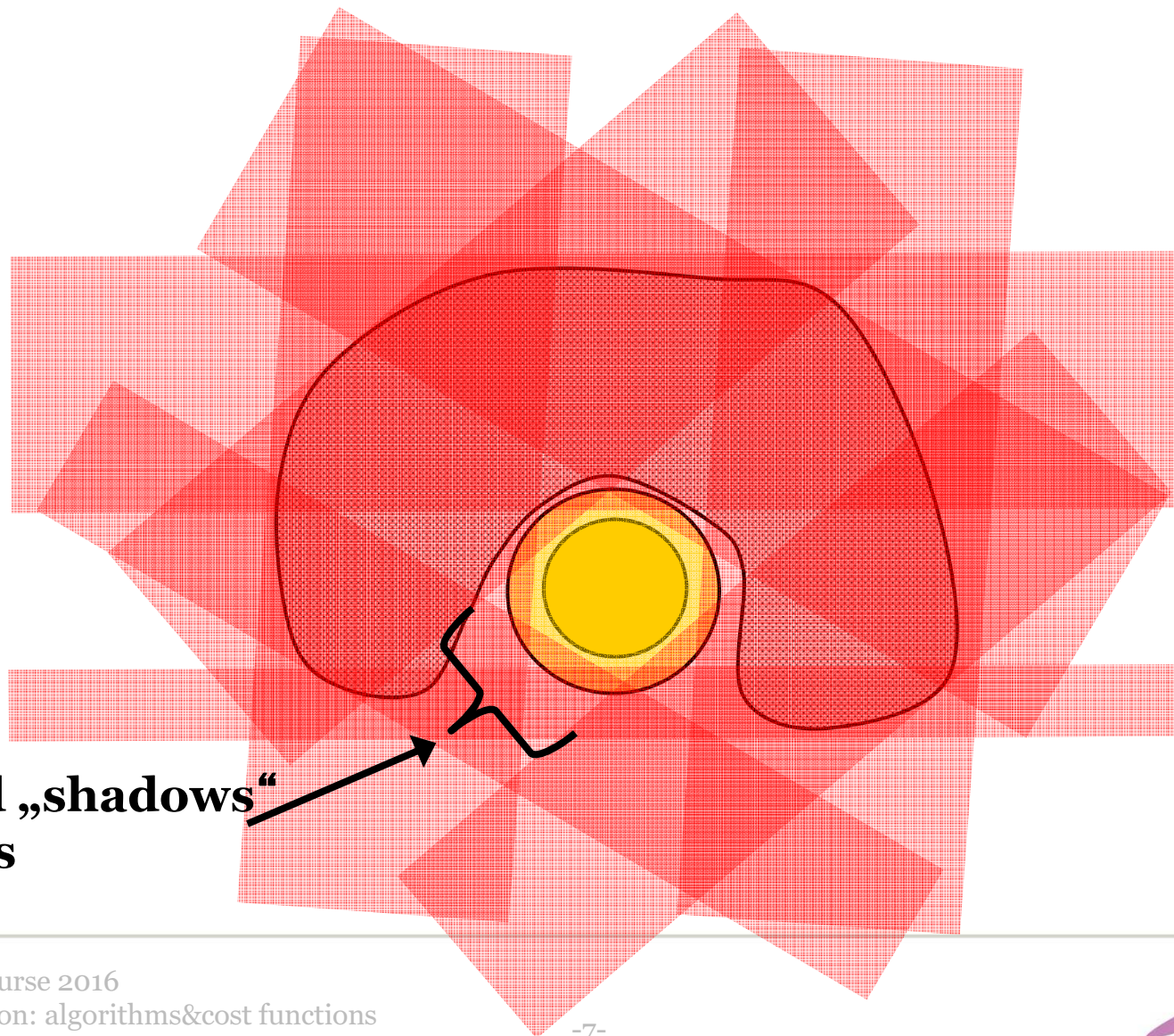
**(Small) cold spots**



**Large space for  
gradient: curvature  
is approximated by  
polygon.**

**(Small) hot spots**

# What if the gradient has to be tighter?

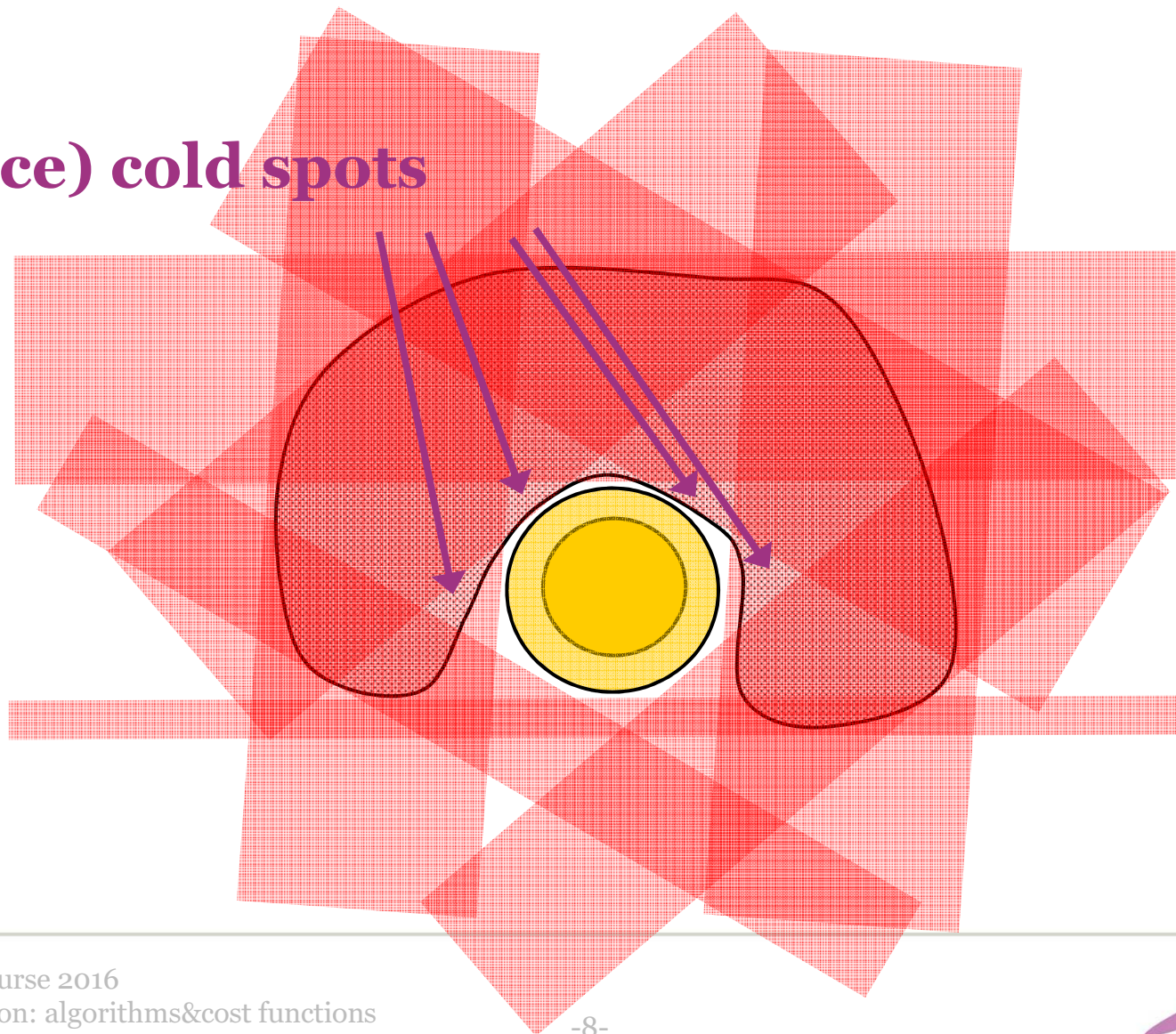


**Expand „shadows“  
in fields**

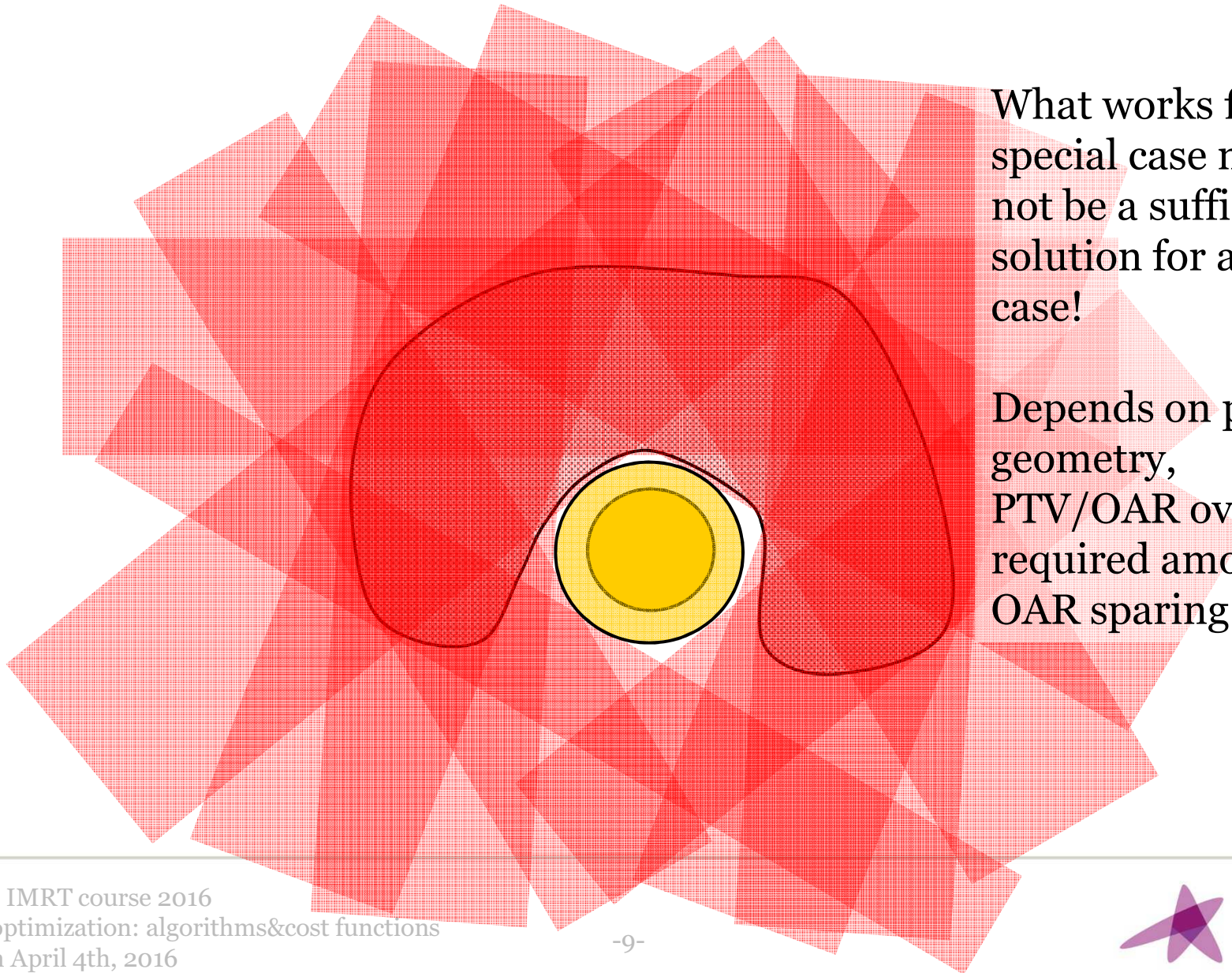


# What if the gradient has to be tighter?

**(Ice) cold spots**



# Solution for this special case: Use more beam angles!



What works for this special case might not be a sufficient solution for another case!

Depends on patient geometry, PTV/OAR overlaps, required amount of OAR sparing...

# So, why optimization?

The best physical dose distribution depends strongly on the patient geometry, the plan setup and the treatment machine's capabilities.

*What may be easy for one patient may be infeasible for another.*

Therefore, IMRT treatment planning *means exploring the limits of physics* for each patient. The big decisions still have to be made by the experts.

## FAQ:

- Why do we need dose optimization if all we want to do is dose planning? ✓
- What do we actually optimize? Dose? Cost functions? Beamlets?

# The IMRT optimization problem: What is optimized, and what are the variables?

(1) the treatment/dose goals are expressed in terms of **Cost Function(s)** for target and OARs.

(2) optimize a weighted sum of these CFs by finding an optimal fluence modulation pattern for the beams

$CF_{\text{target}}(\text{Dose}_{\text{target}})$

$CF_{\text{OAR}}(\text{Dose}_{\text{OAR}})$

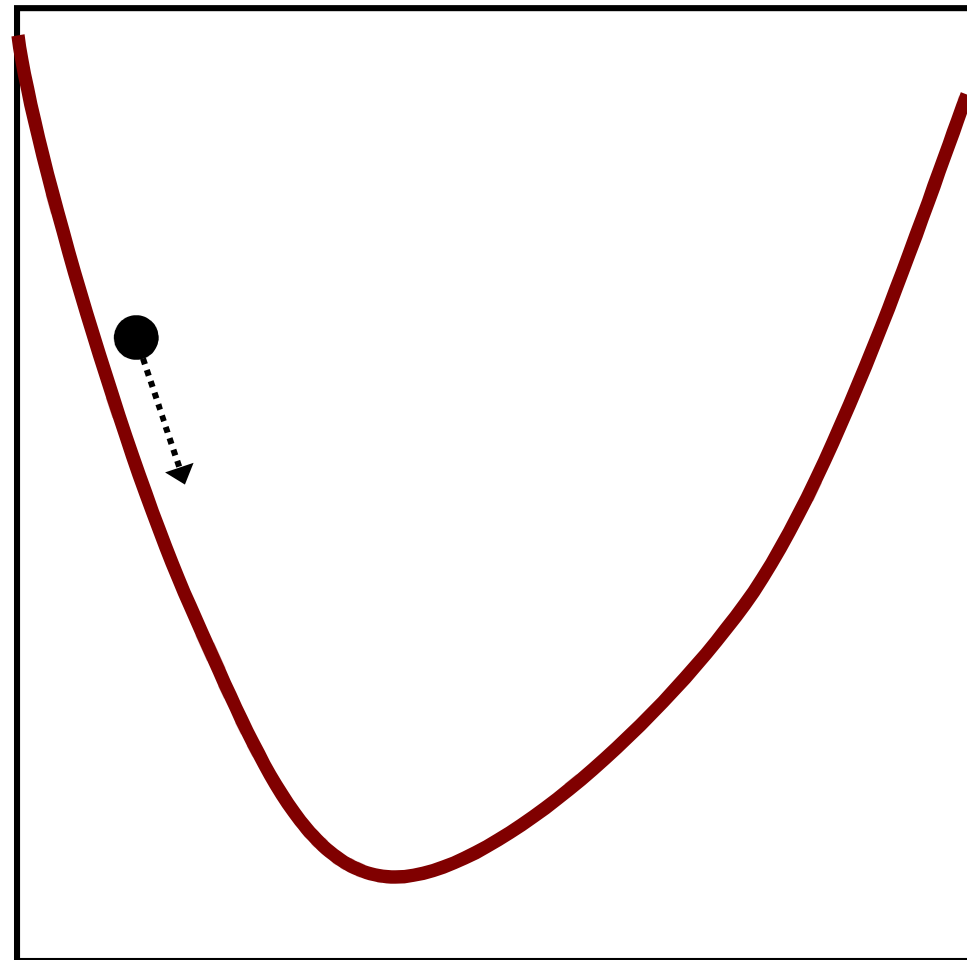
The optimization variables may be **beamlet weights** or **segment weights and -shapes**  
=> depending on the case, in the order of  $10^3$ - $10^4$  variables!

## FAQ:

- Why do we need dose optimization if all we want to do is dose planning? ✓
- What do we actually optimize? Dose? Cost functions? Beamlets? ✓
- What optimization algorithm to use, and why?

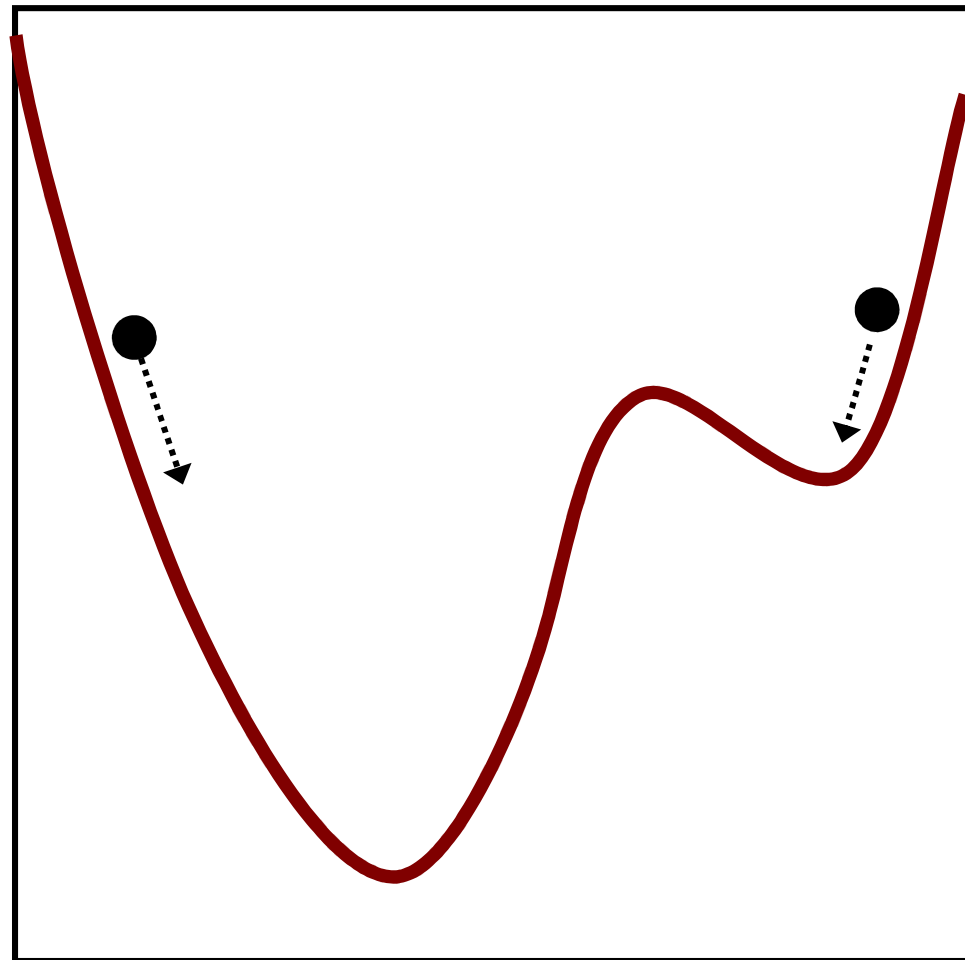
# The popular understanding

Reach minimum of cost function  
by going downhill in  
a well-defined direction  
to a well-defined minimum



# The popular fear

There are multiple minima,  
some not as good as the  
global minimum

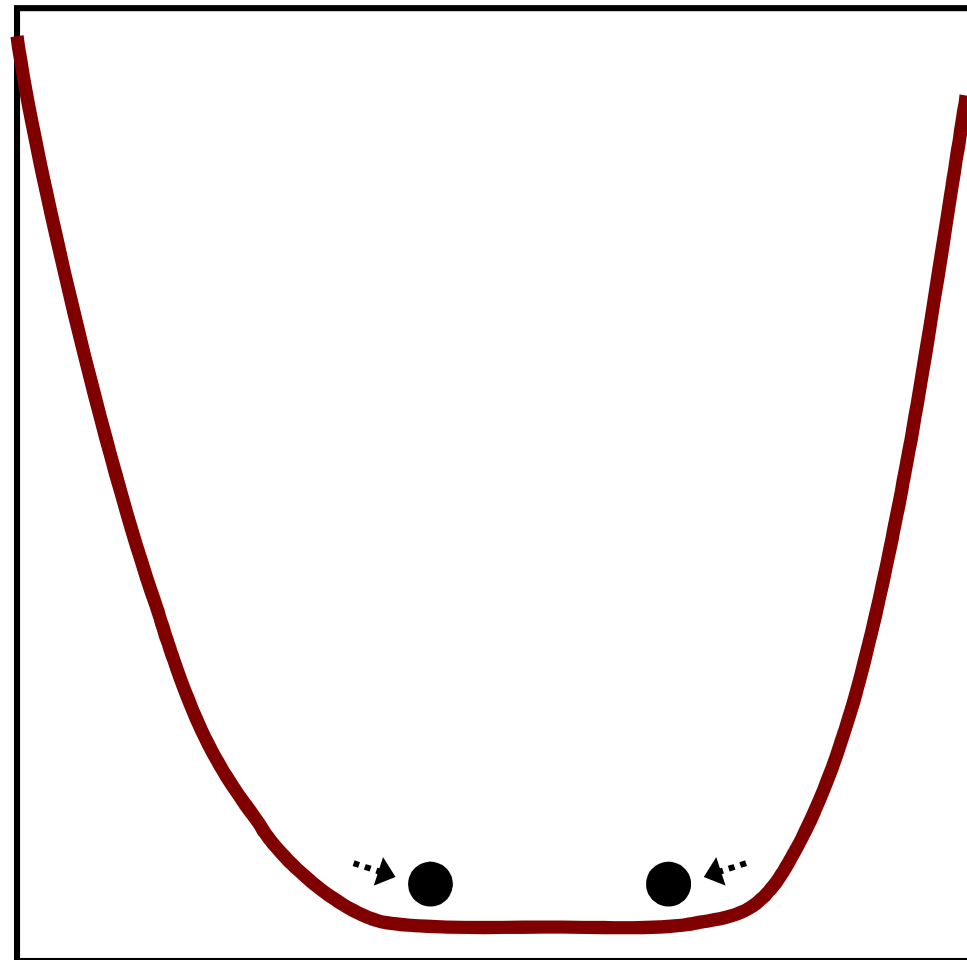




# The truth: degeneracy of the optimization problem, non-uniqueness of the solution

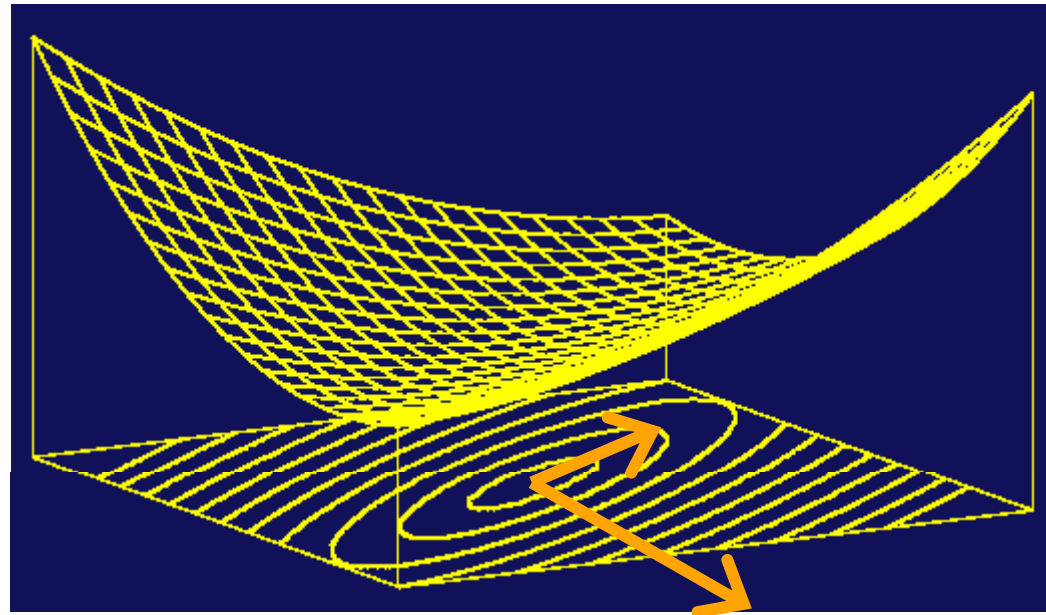
In some directions,  
the minimum is not  
sharp at all.

In others, it is.



# Fluence profile optimization (No MLC)

The minimum sits in a flat-pan valley, the search direction is well defined in few (ca. 100), but vague in most dimensions (ca. 10000), a multiplicity of optimum solutions exists.

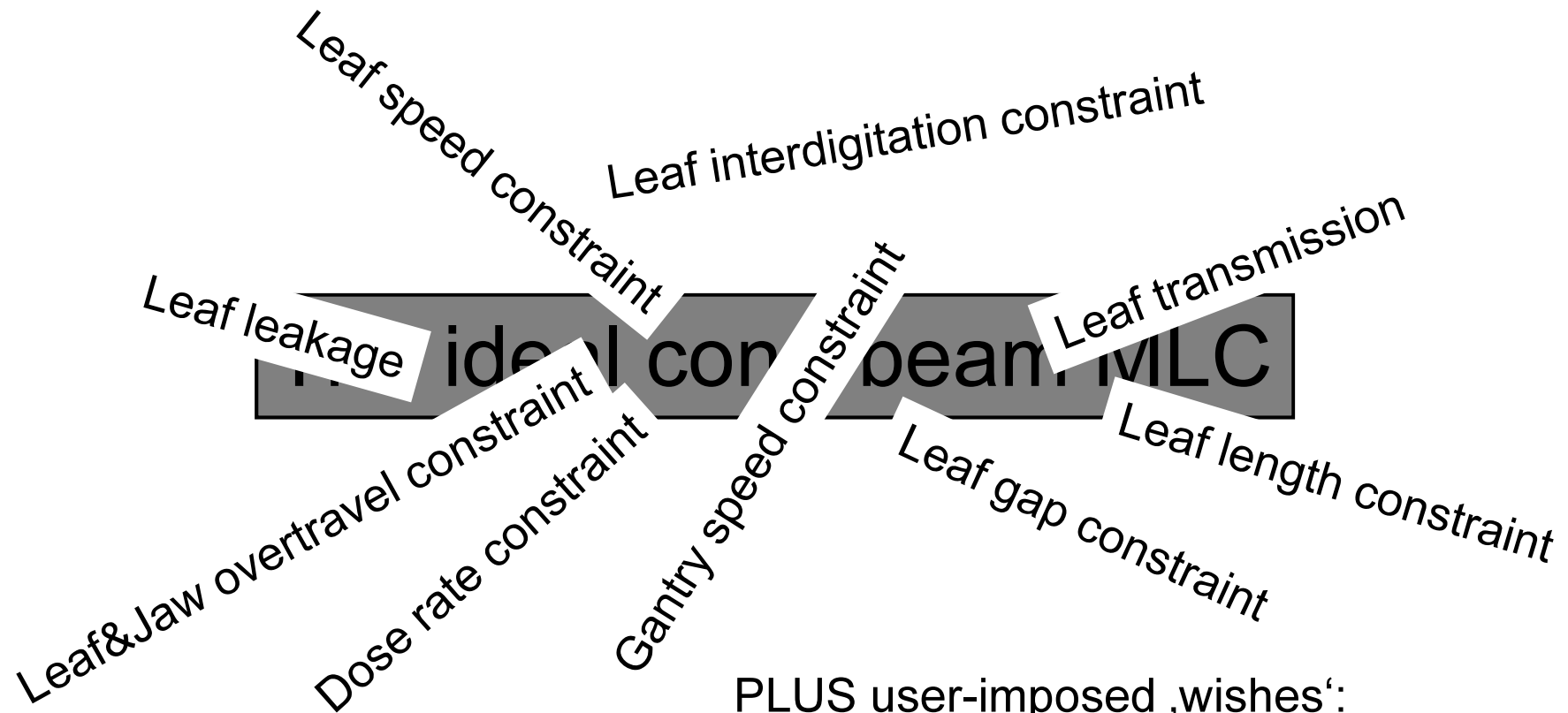


Problems for the optimization algorithm:

- **convergence** in end game is very **slow** –  
**terminate long before convergence**
- same **solution** may **not be reached** twice, although the cost functions have identical values
- no local minima, but still difficulties to find the optimum!

# Next: Sequencing

## Add (a lot of!) delivery constraints...



PLUS user-imposed ,wishes‘:

- max. #segments
- max. #arcs
- minimize #MUs, treatment time...

# Principles: The optimization problem for the different IMRT techniques

Static gantry, dMLC:  
(e.g. sliding window)

no distant local minima for 'easy' MLCs,  
proven algorithm for solution

Static gantry, step&shoot:  
(‘step&shoot’ IMRT)

local minima possible, but good heuristics for  
avoiding them (MLC sequencer)

(Notice: *algorithm* converges, *heuristic* produces a result)

Rotational-IMRT:  
(VMAT, Rapid Arc ©...)

So many local solutions that it becomes impossible to  
*identify* the global one, bold heuristics for avoiding  
the inevitable: getting trapped in a local minimum.

# Optimization Algorithms

- Optimization algorithms find a solution by minimizing a function.
- Virtually all algorithms use gradient information for the downhill search.
- The size of the optimization problem limits the number of available algorithms to *quasi-Newton methods* and *Conjugate Gradient search*. If implemented well, none should be superior to the others.
- These algorithms are deterministic, so that given infinite time, they find a minimum, which is unique in the absence of delivery constraints.
- Some delivery constraints make the problem virtually unsolvable.
- If nothing else helps: stochastic algorithms (like Simulated Annealing) which perform a sparse, random search of the entire solution space (which is very, very big). Stochastic algorithms are the last resort when the problem is otherwise intractable.

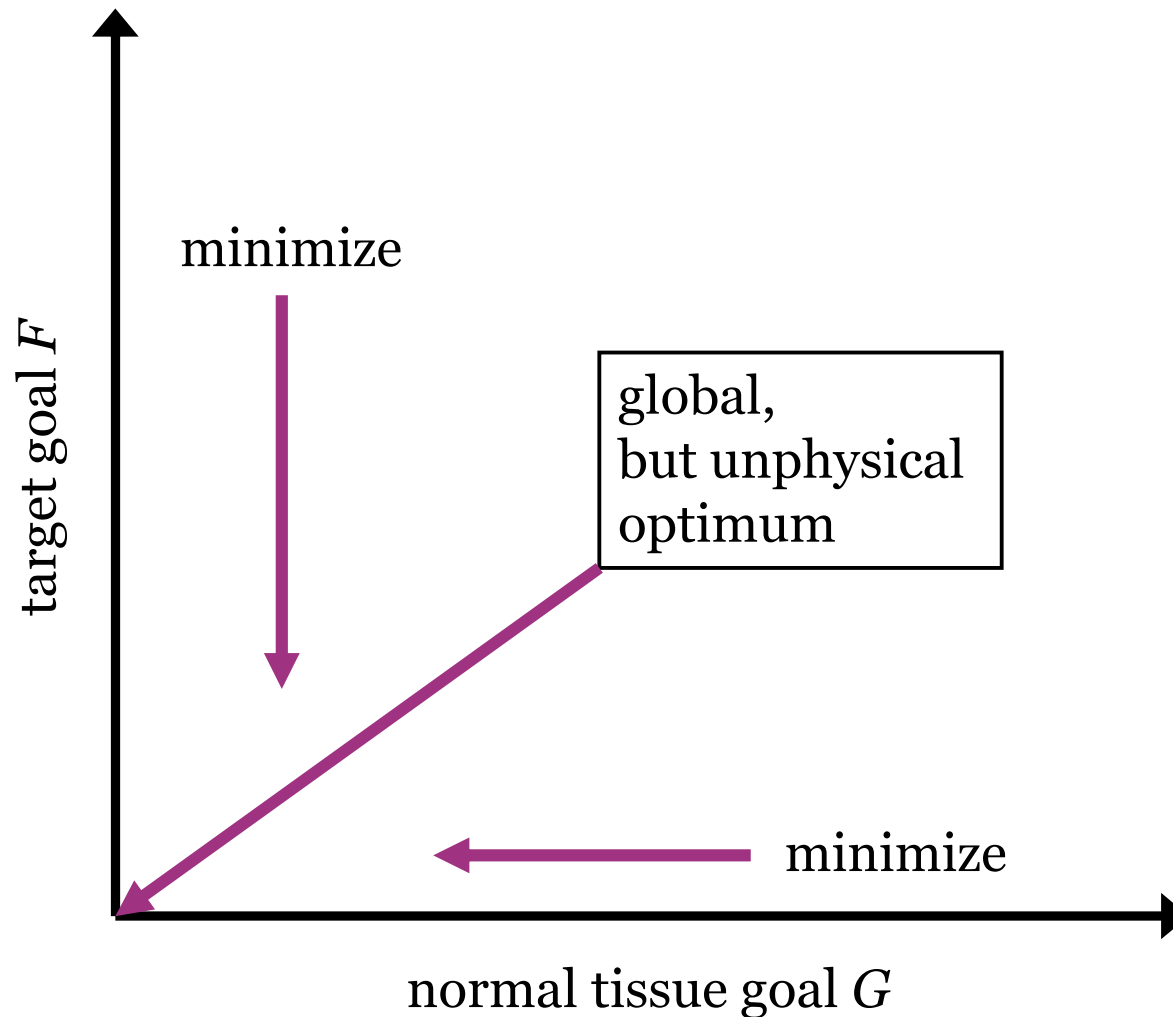
## FAQ:

- Why do we need dose optimization if all we want to do is dose planning? ✓
- What do we actually optimize? Dose? Cost functions? Beamlets? ✓
- What optimization algorithm to use, and why? ✓
- Weight Factors, Constraints, Objectives – why is it so complex?

# What are typical treatment goals?

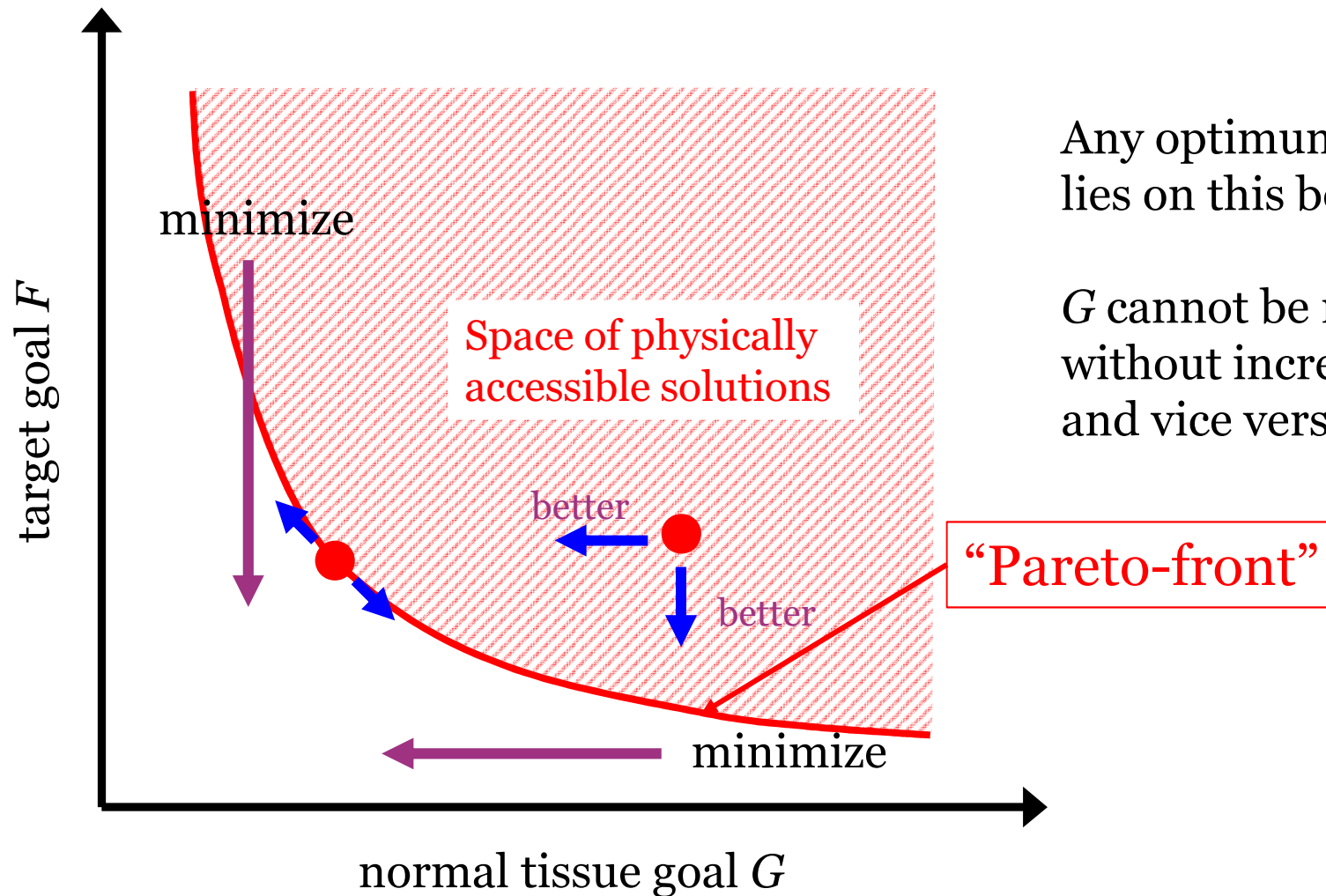
- Target goal: achieve a **sufficient target dose**
- Normal tissue goals: do not **exceed acceptable doses** in **N organs**
- Conformality goal: target dose should be **conformal**,  
**spare generic normal tissue**
- Homogeneity goal: **no large** or **excessive hot spots** in the **target**

# Without the laws of physics, all goals could be fulfilled simultaneously





# There exists a boundary that separates physical from unphysical solutions



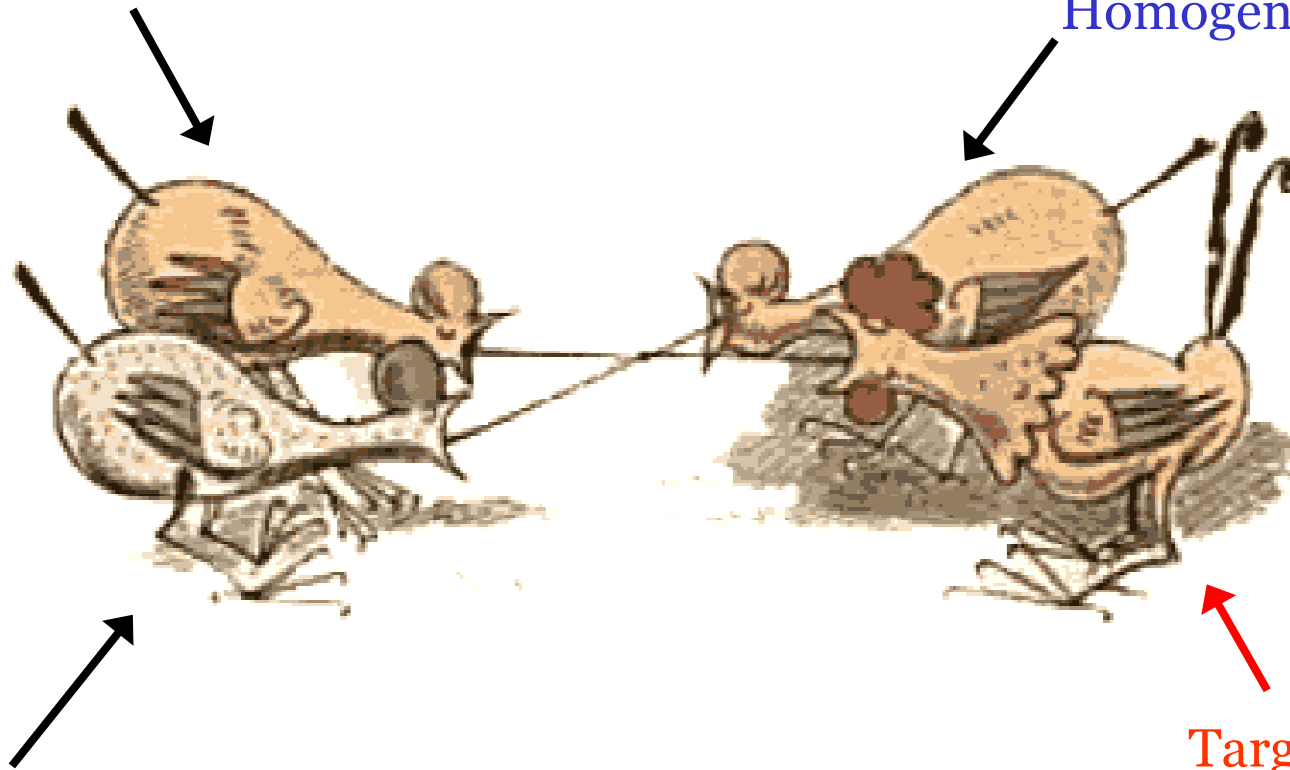
Any optimum solution lies on this boundary:

$G$  cannot be reduced without increasing  $F$  and vice versa.

# In other words: Treatment goals contradict each other! How can these be balanced?

Normal Tissue Goals

Target Dose  
Homogeneity Goal



Dose Conformality Goal

Target Goals:  
only goal aiming to  
*increase dose*

# Cost Functions can be Balanced by Weight Factors: the Lagrange Function

Combine all goals  
to one weighted sum:

$$L = \sum_{j=1}^M \lambda_j F_j$$
$$\sum_{j=1}^M \lambda_j = 1, \quad \lambda_j \geq 0$$

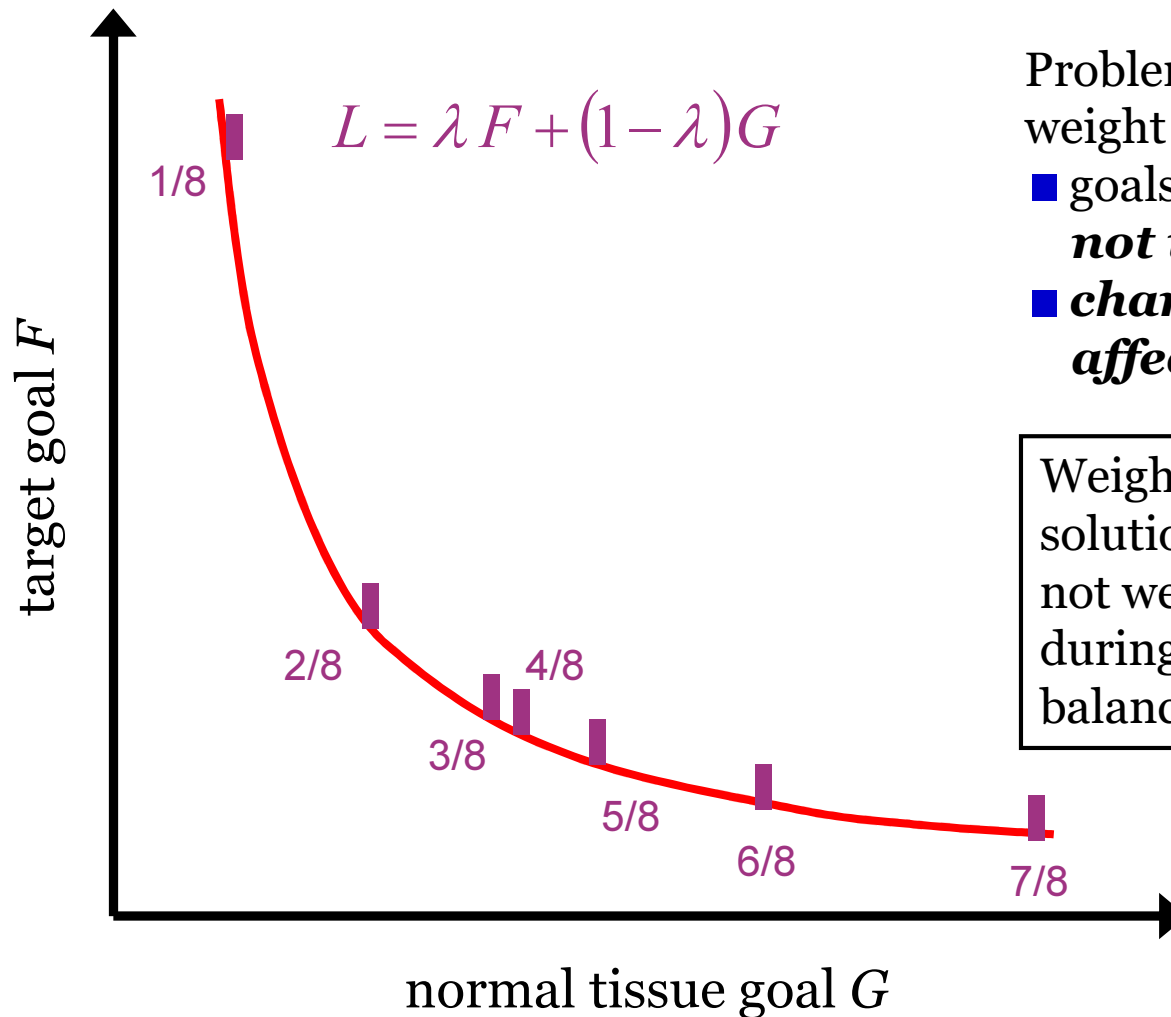
...and minimize the Lagrange Function  $L$ .

Tuning the weight factors  $\lambda_j$  makes all solutions in Solution Space accessible.

Problem: find the right set of weights that produces a dose distribution as minimizer of  $L$  which is ***closest to the intended*** treatment.

*This is a decision to be made by the user,  
not the software*

# Parametrisation of the Solution Space by Weight Factors

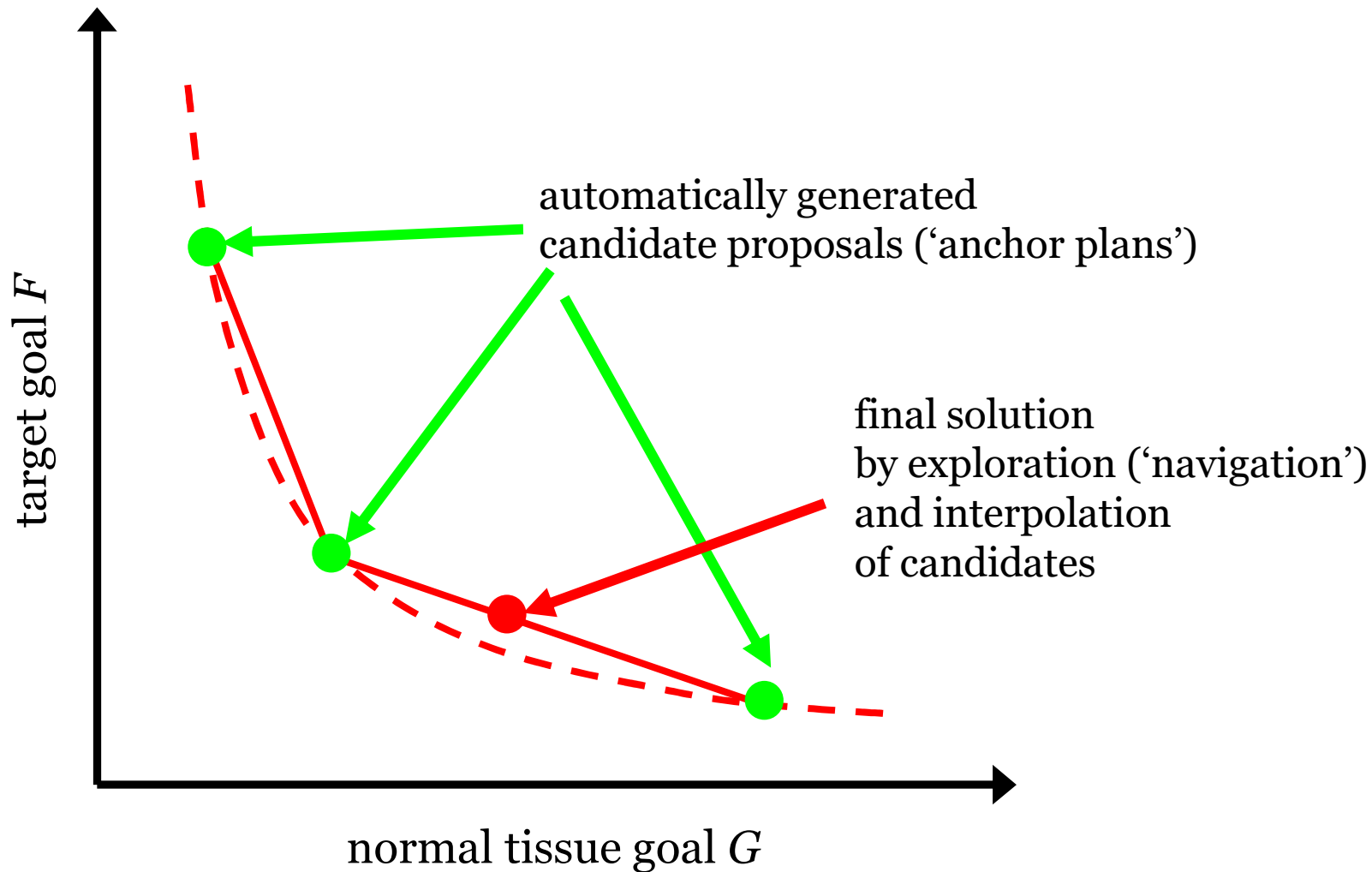


Problems with manual weight adjustments:

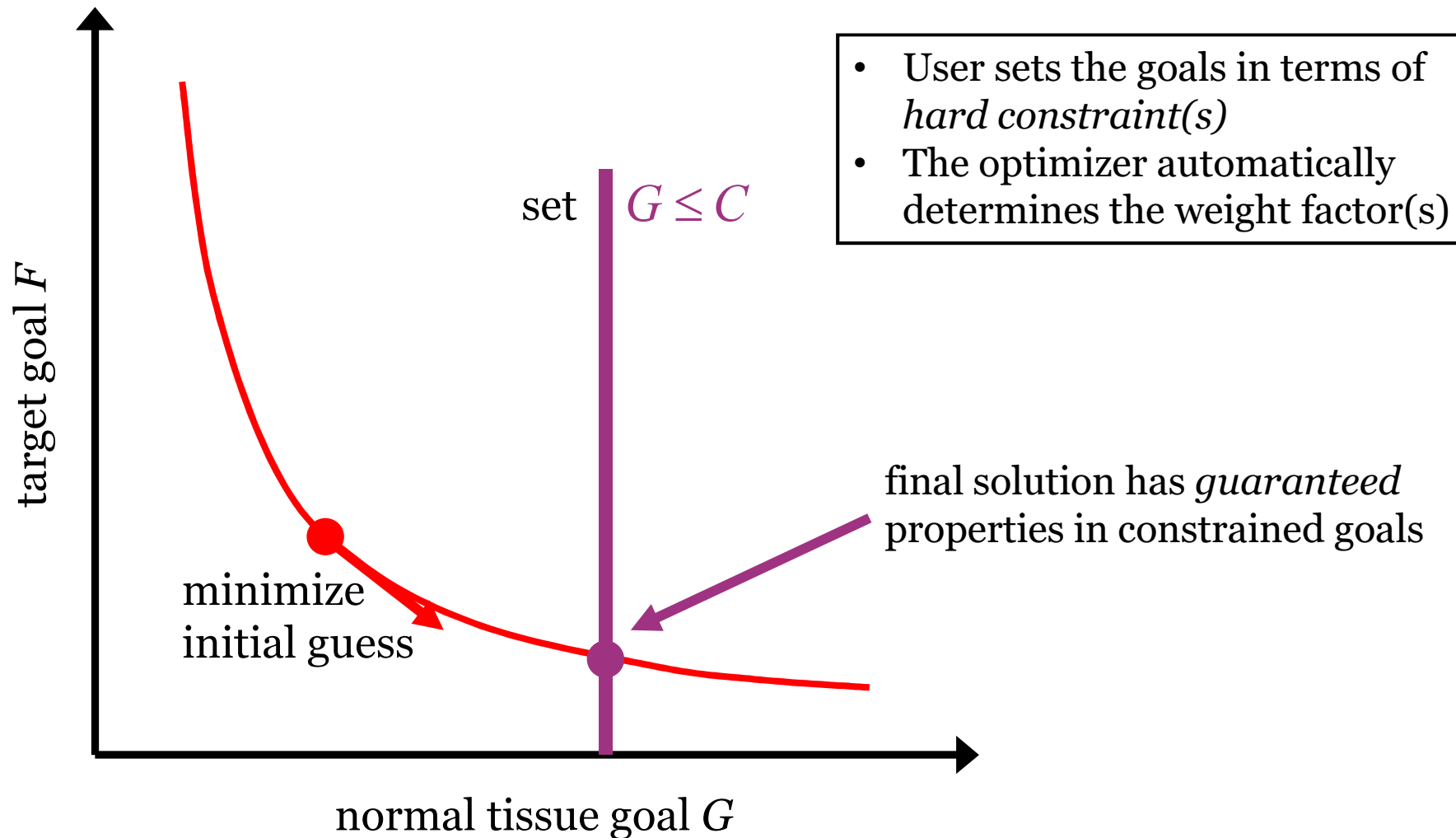
- goals and weight factors are ***not inversely proportional***
- ***changing one*** weight factor ***affects all*** other goals

Weight factors make the solution space accessible, but are not well suited for exploring it during the search for the best balance between goals.

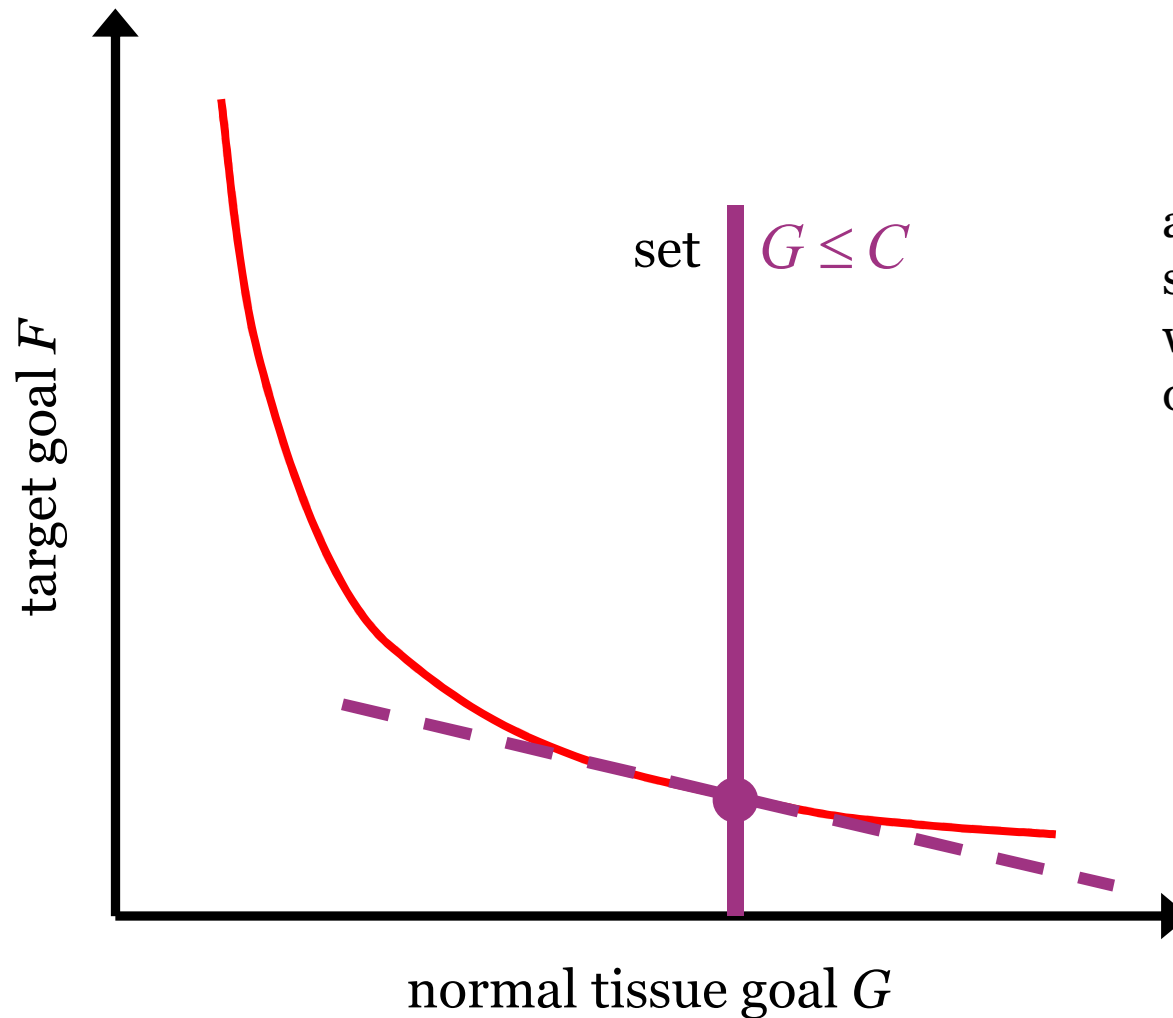
# Navigating the Solution Space: Libraries of Proposals ('multicriterial/Pareto-optimization')



# Navigating the Solution Space: Constrained Optimization



# Navigating the Solution Space: Constrained Optimization and Sensitivity



additional information:  
sensitivity of goal  $F$   
with respect to changes  
of the constraint  $G$

## „Automated planning“

Automatic choice of the „optimal“ pareto-optimal plan!

Basic idea/aim:

Automatically find a patient-specific set of CF-prescriptions/constraints as starting point of an IMRT optimization that will result in the *clinically most acceptable plan* from the solution space of this patient.

How does it work?

- Finding: In a population of patients, a similar compromise of PTV coverage vs. dose constraints will be *realizable* for patients with ‚similar geometry‘
- Use of prior knowledge to predict realizable DVHs/dose prescriptions for a new patient
- Algorithm based on database of patients/correlation model/machine-learning/...

To some extent institution-specific; the larger the ‚input‘ patient-database, the better it works...



## FAQ:

- Why do we need dose optimization if all we want to do is dose planning? ✓
- What do we actually optimize? Dose? Cost functions? Beamlets? ✓
- What optimization algorithm to use, and why? ✓
- Weight Factors, Constraints, Objectives – why is it so complex? ✓
- What is the point in optimizing undeliverable fluence profiles first?

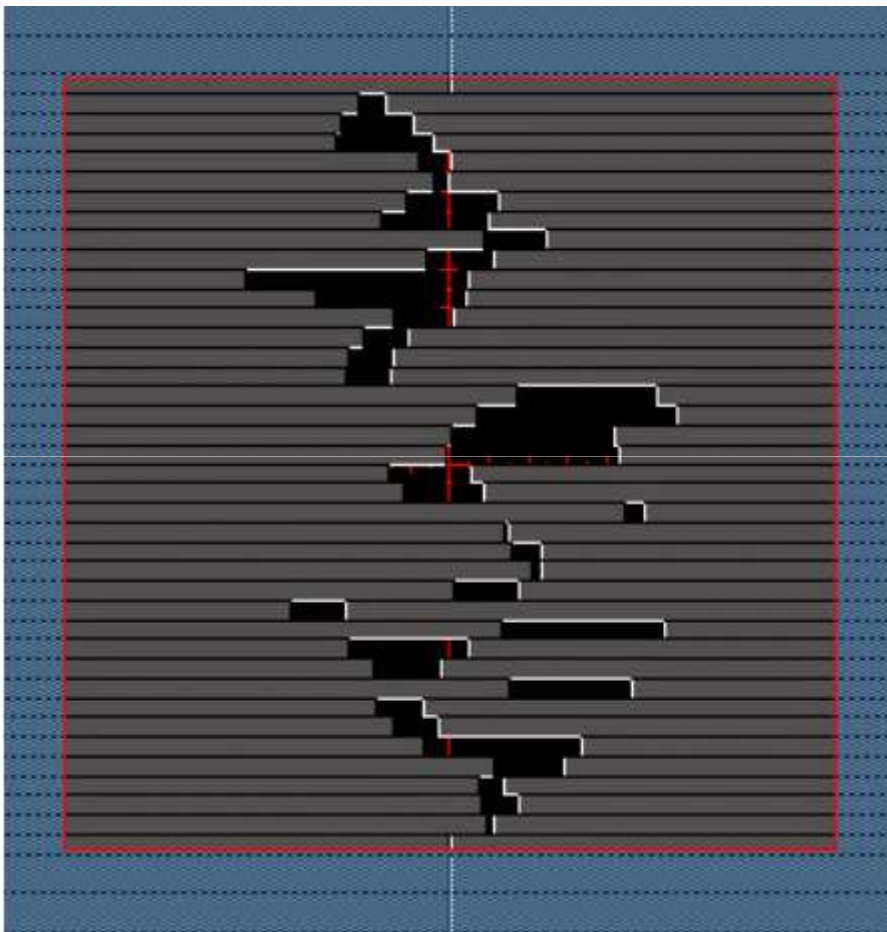
## Because:

- The ‘free fluence’ optimization problem is well behaved. Fast algorithms can be used.
- The solution space needs to be explored in an interactive manner. MLC sequencers and precise dose calculation are too slow yet.
- e.g. ‘Pareto-optimization/navigation’ currently only works for fluence distributions

...vs. Direct Aperture Optimization (DAO), Simulated Annealing (Rapid Arc ©)...:

- It is a long way to go from coarse field shapes to the patient-specific optimum fluence distribution. *It is very easy to get trapped in local minima when directly optimizing field shapes.*

# Direct aperture optimization needs a control of segment shapes and good initial segment shapes

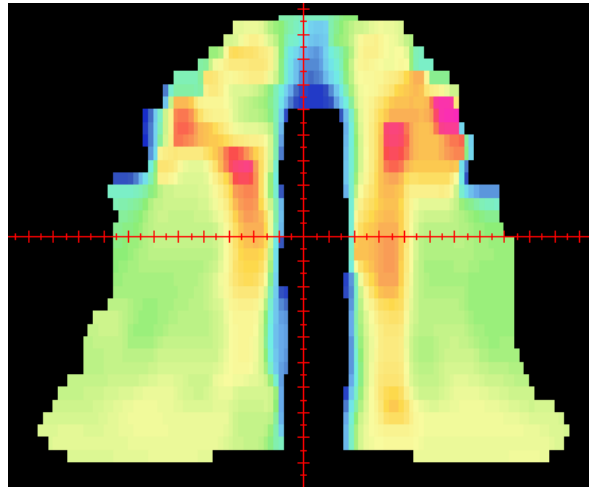


This is what a local minimum looks like

## FAQ:

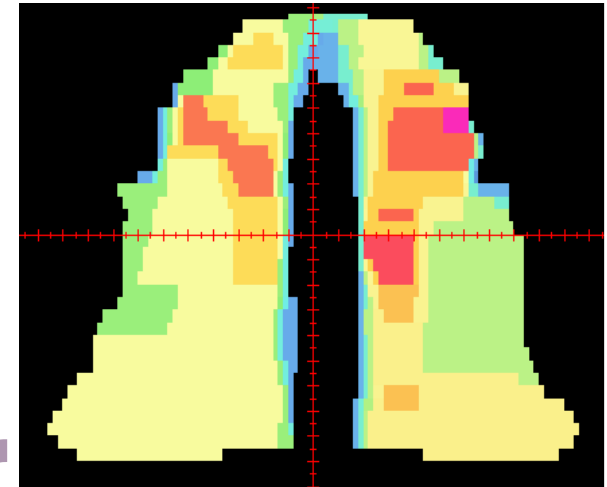
- Why do we need dose optimization if all we want to do is dose planning? ✓
- What do we actually optimize? Dose? Cost functions? Beamlets? ✓
- What optimization algorithm to use, and why? ✓
- Weight Factors, Constraints, Objectives – why is it so complex? ✓
- What is the point in optimizing undeliverable fluence profiles first? ✓
- Why does the dose get worse after MLC sequencing?

# MLC delivery deviates from the ideal profiles



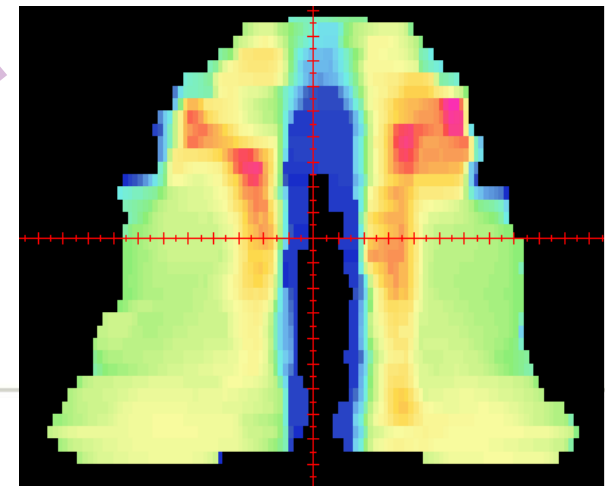
optimal fluence profile

sequencing: translation into  
(coarser, but deliverable)  
segments



step and shoot

sliding-window dynamic



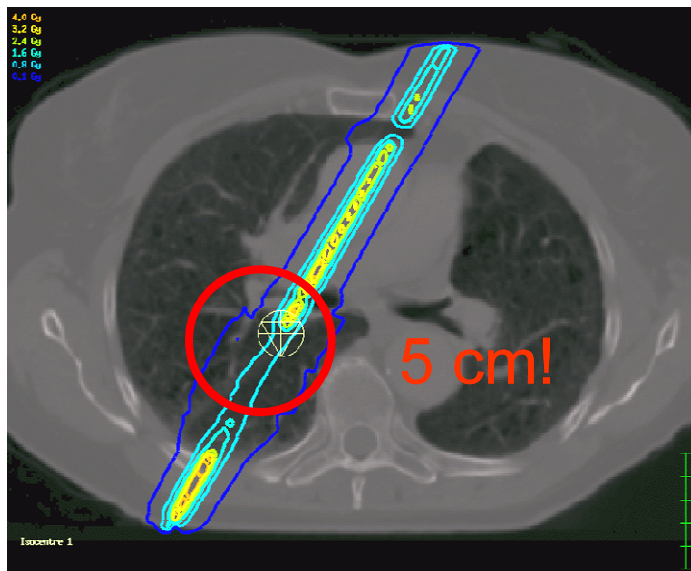
improvement

⇒ (1) **Quality loss due to *discretization***

⇒ (2) **Hi-quality dose calculation of segment doses changes dose distribution!**  
(usually: to the worse)

# Precise dose computation DURING fluence profile optimization is immensely expensive!

- computation time issue
- computer memory issue (10.000 beamlets can be ~Gigabyte memory)



4 mm x 2 mm beamlet, 15 MV, 3D-PB

This is why some planning system calculate simplified beamlet doses:

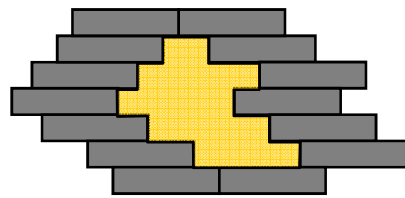
- too steep and/or truncated penumbra, esp. in presence of inhomogenities
- thus, OARs may wrongly appear sufficiently spared
- the final, more precise dose calculation reveals such initial simplifications!

→ **plan degradation of segmented plan!**

# How to tackle these problems...

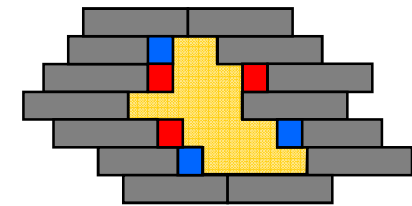
...perform a subsequent **Segment-Shape-Optimization!**

# Iterative segment shape (aperture) optimization



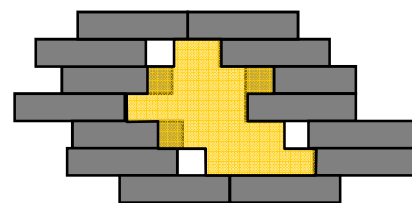
initial field

- *trial-and-error*  
(e.g. *simulated annealing*)
- *derivatives*
- *brute force*



candidates for **opening**  
or **closing** leaves

*chose next field,  
repeat*



altered field

*dose calculation*



# This is why...

...most IMRT planning methods follow a two stage process.

Idea:

**stage 1** produces a guess of a good solution

**stage 2** refines the result of stage 1 (Aperture optimization)

*Problem: the longer the way from the initial guess to the end result,  
the easier it is to get lost.*

## Rotational IMRT techniques: Alternatives for the creation of initial guesses

- + dynamic conformal arc
- + using the projection of target volumes / organs at risk to the beam apertures (e.g. Elekta ERGO++)
- + translating a fluence profile into sliding-window segments and arranging them on an arc (Philips Pinnacle SmartArc, Elekta Monaco)
- + an iterative angular resolution refinement, starting from beam shapes at large angular intervals and interpolating them (Varian RapidArc)

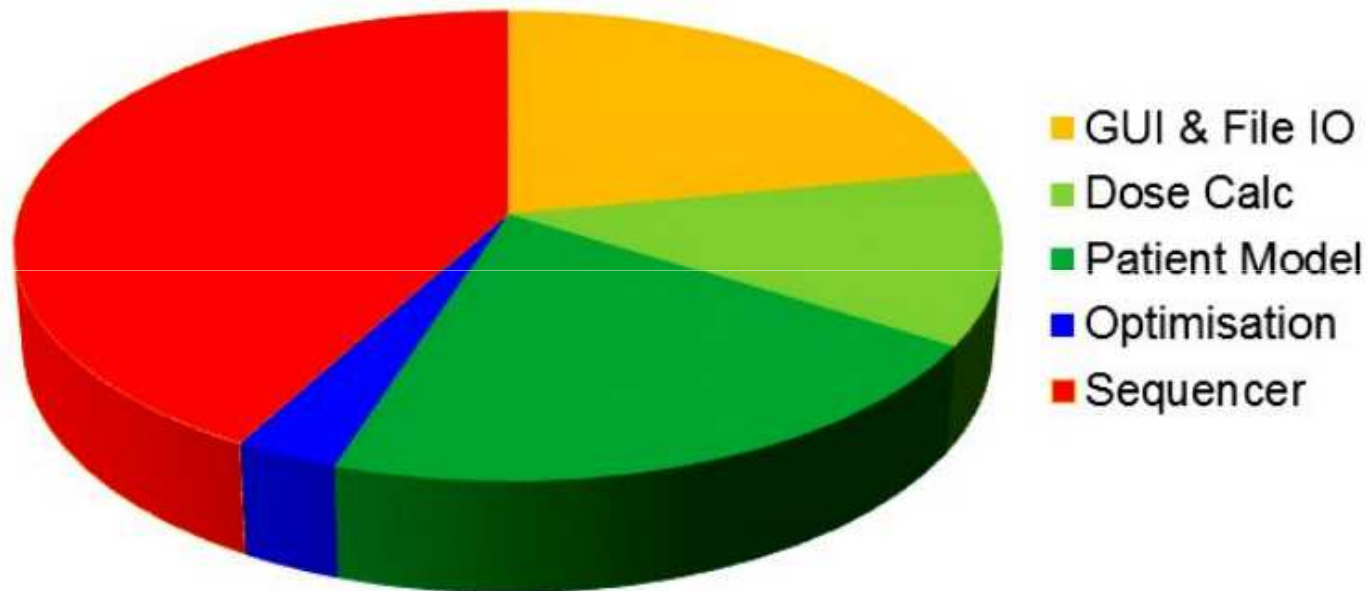
## FAQ:

- Why do we need dose optimization if all we want to do is dose planning? ✓
- What do we actually optimize? Dose? Cost functions? Beamlets? ✓
- What optimization algorithm to use, and why? ✓
- Weight Factors, Constraints, Objectives – why is it so complex? ✓
- What is the point in optimizing undeliverable fluence profiles first? ✓
- Why does the dose get worse after MLC sequencing? ✓
- How much optimization is actually in an IMRT optimizer?

# How much optimization is in an optimizer?

Fraction of code lines doing:

4



IMRT-TPS *Hyperion*  
(Univ. Tübingen)

## FAQ:

- Why do we need dose optimization if all we want to do is dose planning? ✓
- What do we actually optimize? Dose? Cost functions? Beamlets? ✓
- What optimization algorithm to use, and why? ✓
- Weight Factors, Constraints, Objectives – why is it so complex? ✓
- What is the point in optimizing undeliverable fluence profiles first? ✓
- Why does the dose get worse after MLC sequencing? ✓
- How much optimization is actually in an IMRT optimizer? ✓
- Cost functions: Which one to use when?

# Again: What are Typical Treatment Goals?

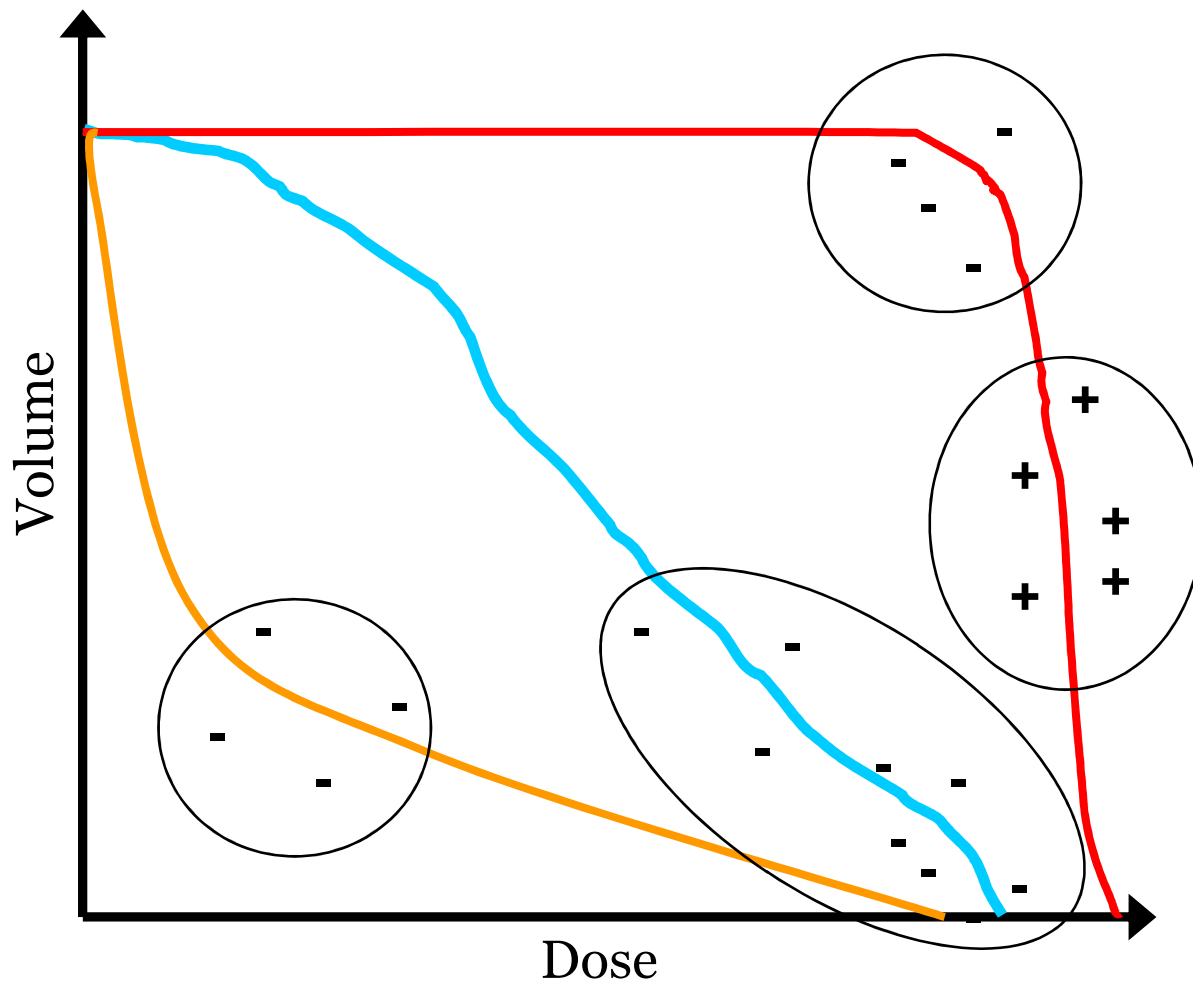
- Target goal: achieve a **sufficient target dose**
- Normal tissue goals: do not **exceed acceptable doses** in **N organs**
- Conformality goal: target dose should be **conformal**,  
**spare generic normal tissue**
- Homogeneity goal: **no large** or **excessive hot spots** in the **target**

*These goals need to be communicated to the planning algorithm  
in a **concise, comprehensive, transparent** and  
**numerically expedient** manner.*



**Cost Functions!**

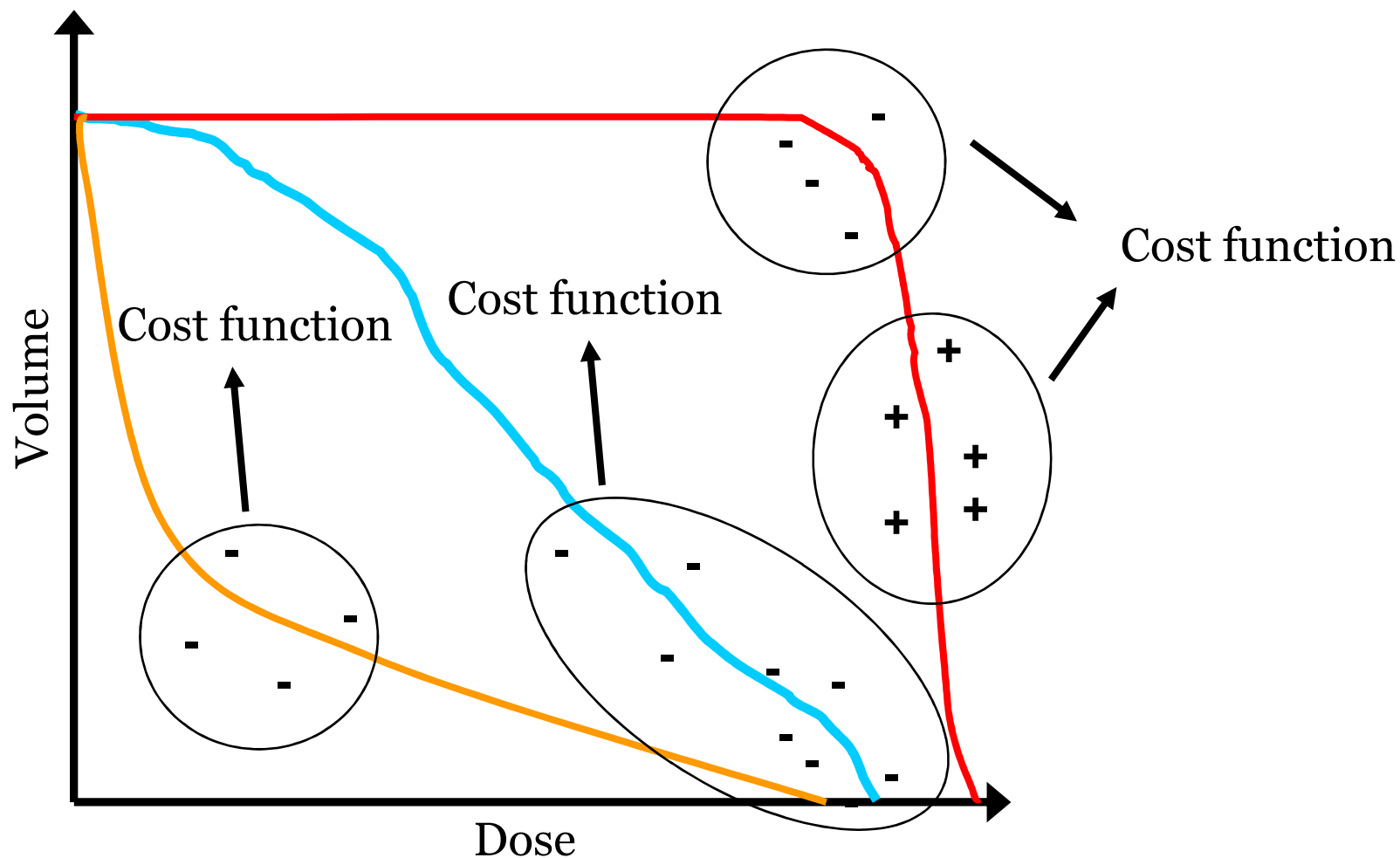
# A cost function rewards the positive aspects of a DVH and penalizes the negative ones in a single number



Different goals require different types of cost functions:

- *physical*: dose-, dose/volume-based
- *biological*

A cost function rewards the positive aspects of a DVH and penalizes the negative ones in a single number





# Properties of Cost Functions

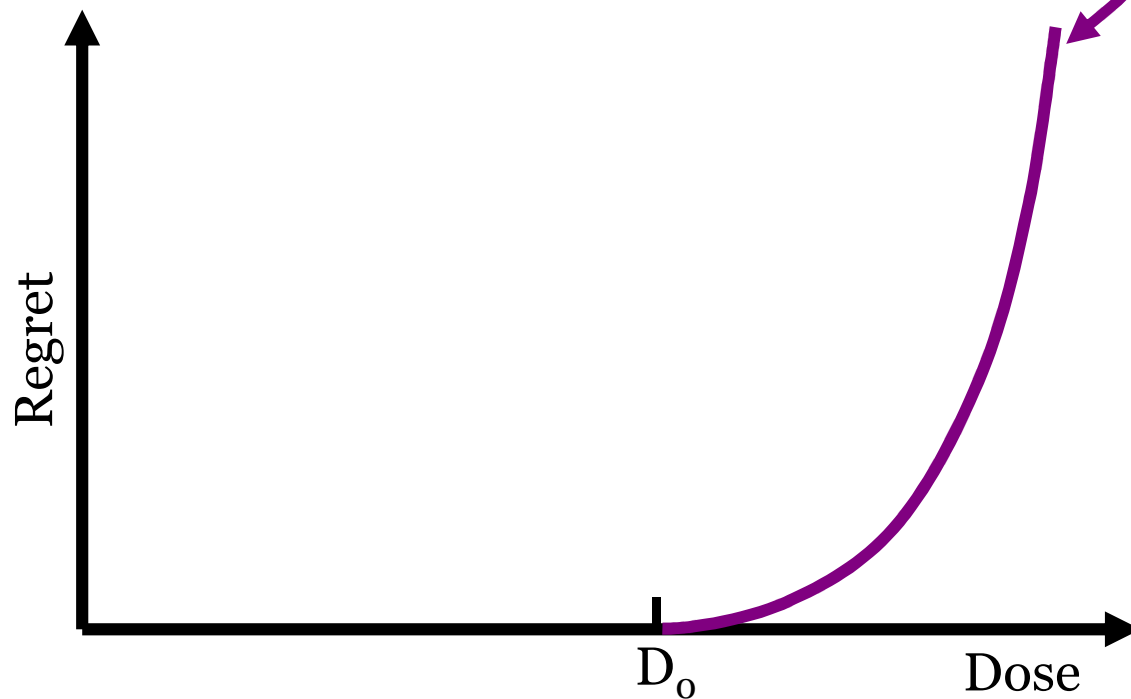
- Purpose: to **quantify** our concept of **quality** of a dose distribution
- By convention: if  $D_1$  is **better** than  $D_2$ , then  $F(D_1) < F(D_2)$  :  
search the **minimum** of  $F$ .
- Commonly, the cost function has the form:

$$F = \frac{1}{N} \sum_{i=1}^N f(D_i)$$

- $D_i$       Dose in volume element  $i$  of an organ/tumour
- $N$         Number of volume elements
- $f(D)$     number of **regret** assigned to the dose  $D$  in this volume element

# The most Common Physical Cost Function: One-Sided Quadratic Penalties

$$F_{\text{overdose}} = \frac{1}{N} \sum_{i=1}^N (D_i - D_0)^2$$

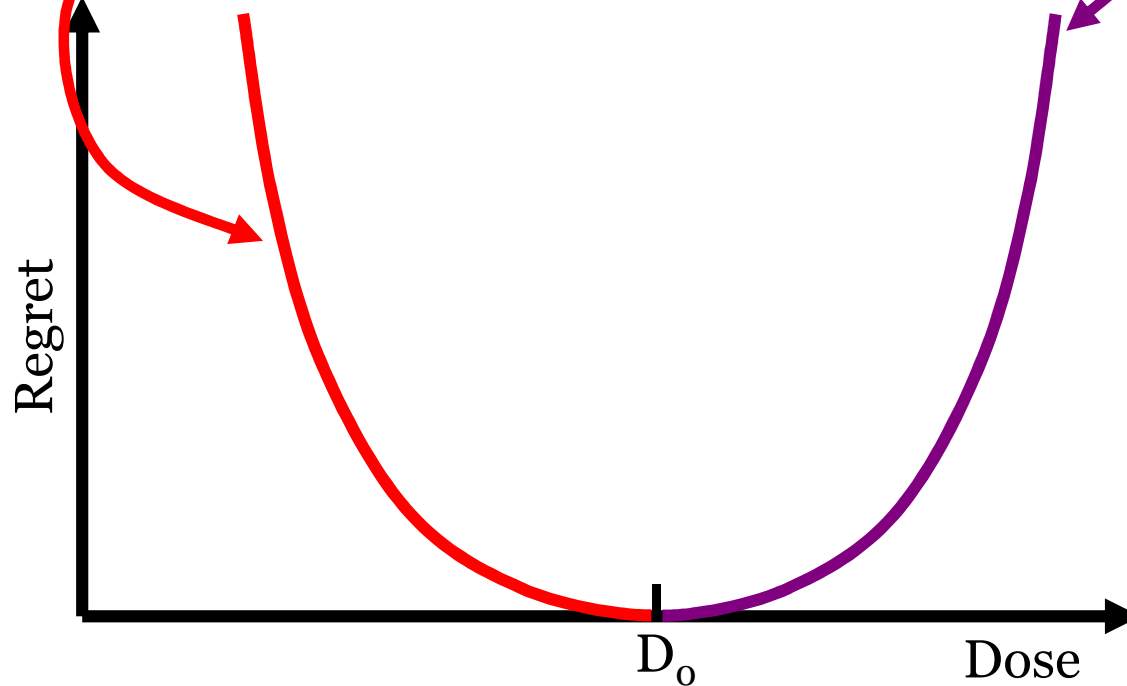


The *regret* grows quadratically with the violation of the required/ tolerated dose

# The most Common Physical Cost Function: One-Sided Quadratic Penalties

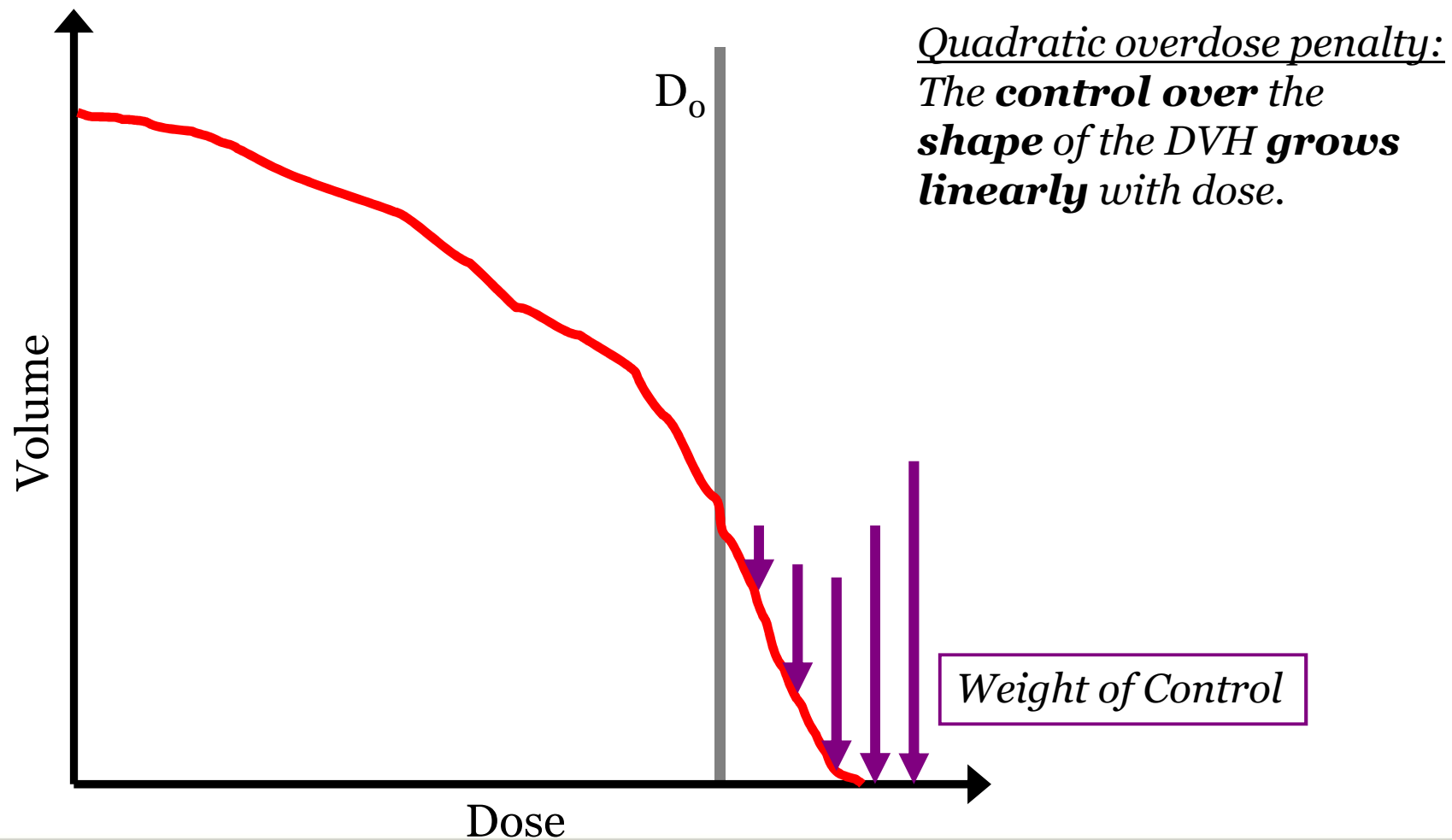
$$F_{\text{overdose}} = \frac{1}{N} \sum_{i=1}^N (D_i - D_0)^2 \theta(D_i - D_0)$$

$$F_{\text{underdose}} = \frac{1}{N} \sum_{i=1}^N (D_i - D_0)^2 \theta(D_0 - D_i)$$



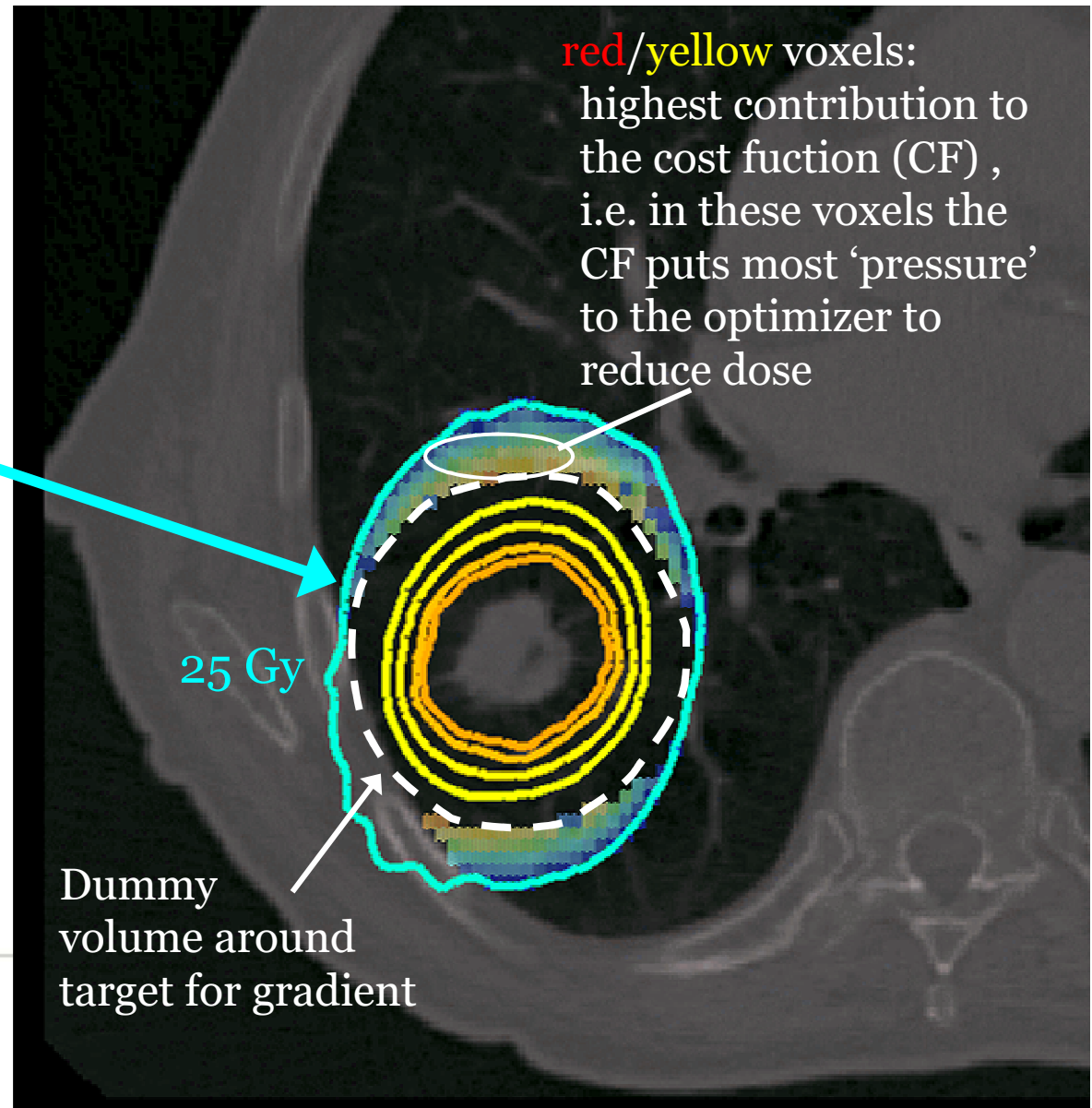
The *regret*  
**grows quadratically**  
with the violation  
of the required/  
tolerated dose

# The Purpose of a Cost Function is to control the Shape of the DVH: Control Weights

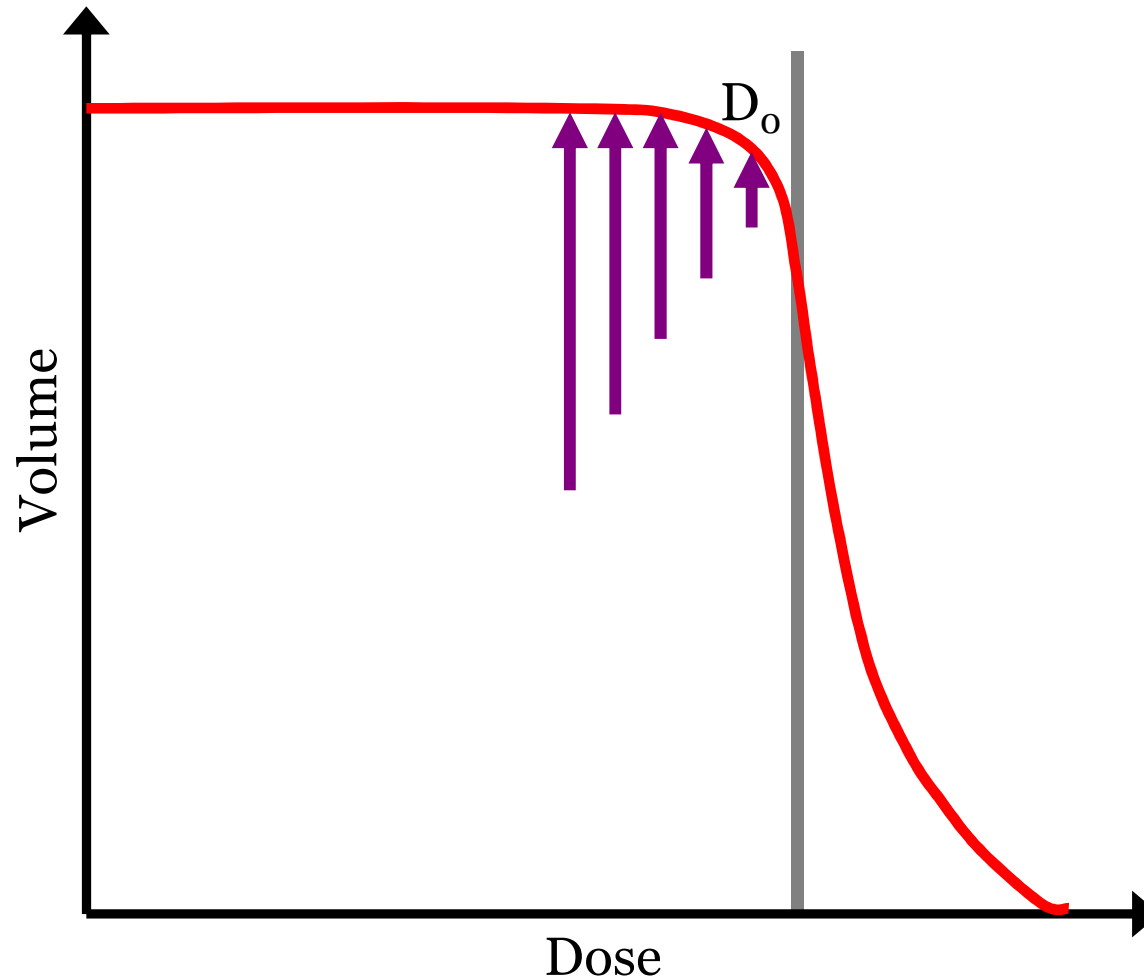


# Local Control of a Quadratic Overdose Penalty

example:  
quadratic overdose  
penalty for  $D_0=25\text{Gy}$ ,  
i.e. area of control  
only at doses  $> 25\text{ Gy}$



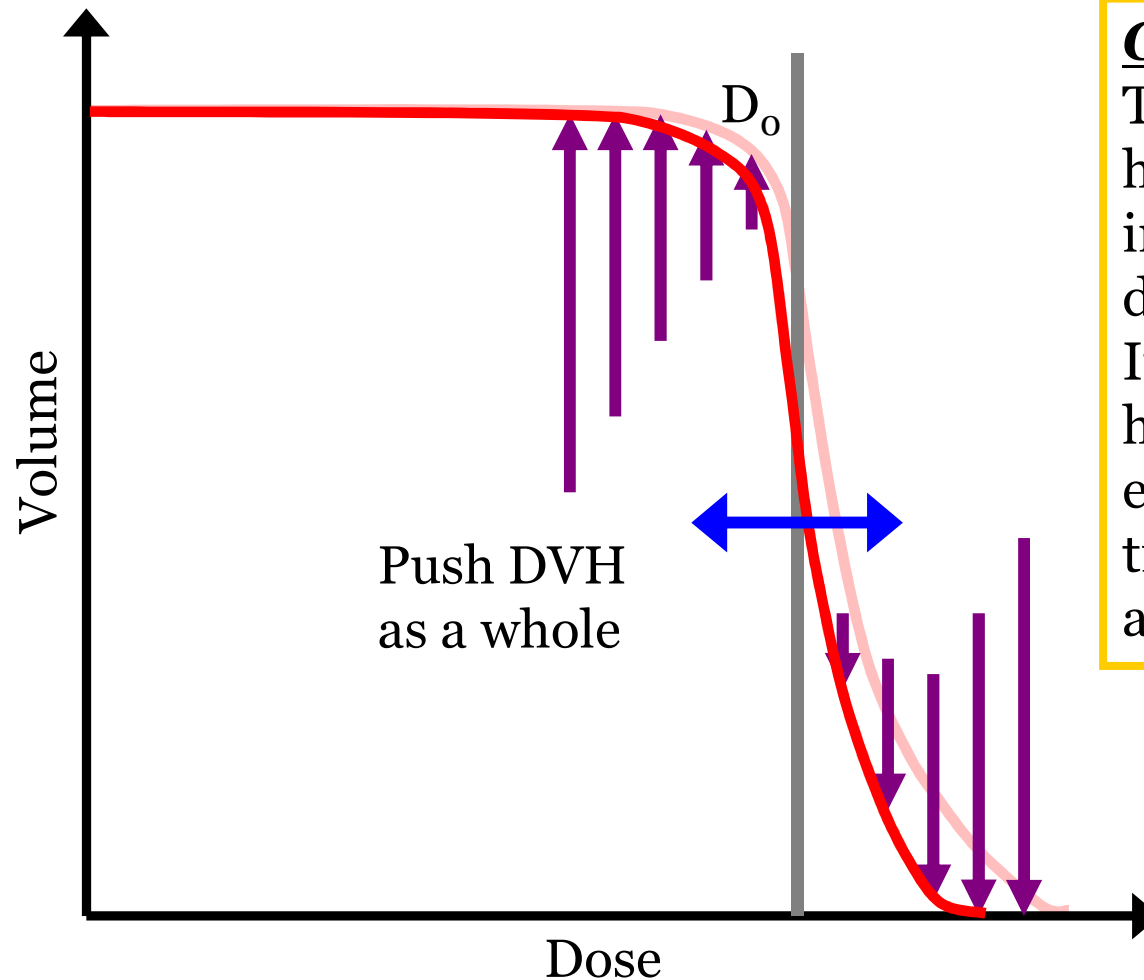
# Control of a Target DVH by a One-Sided Quadratic Underdosage Penalty



Only one aspect of the target DVH is controlled.

Add a one-sided quadratic overdosage penalty!

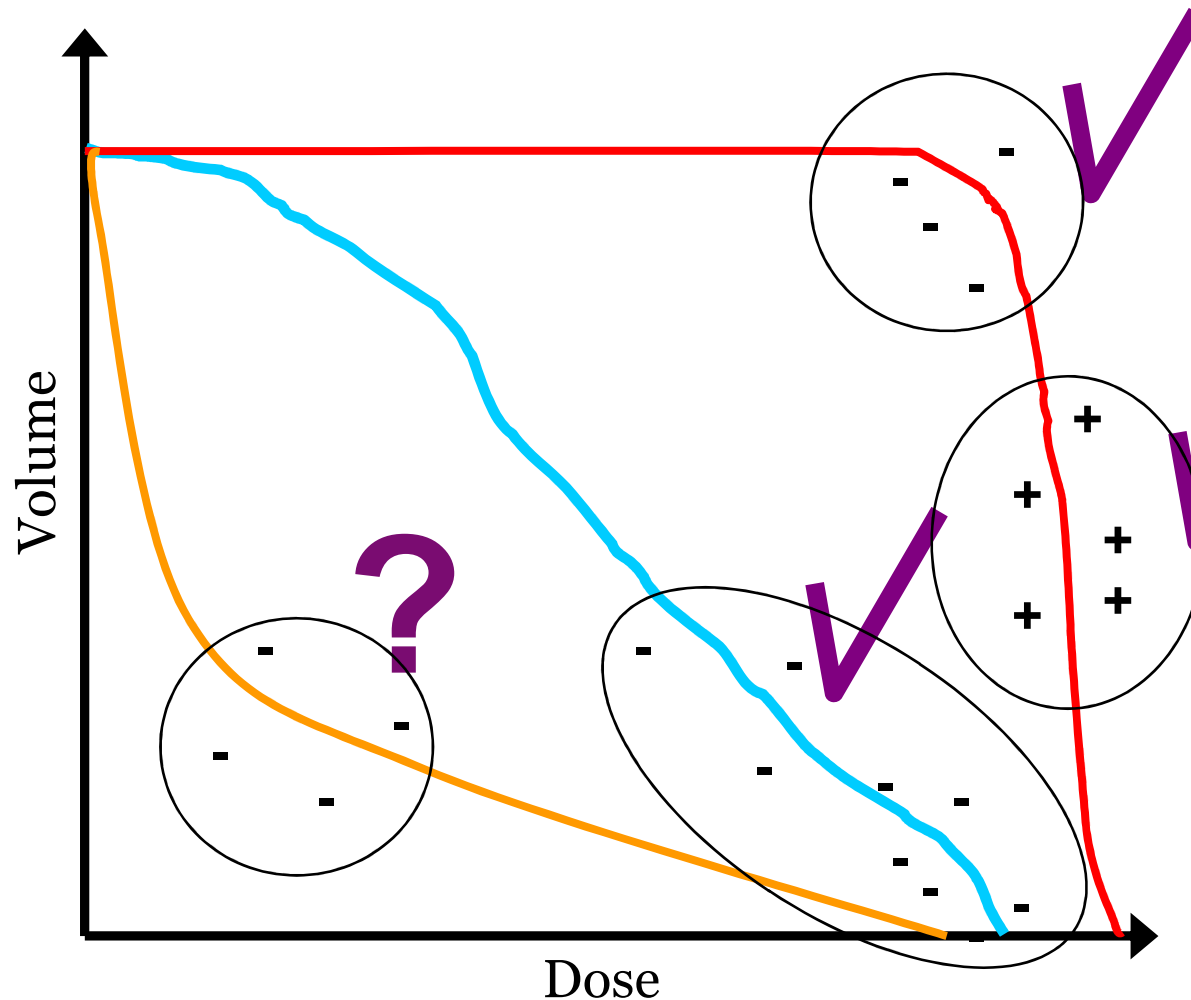
# Control of a Target DVH by Two One-Sided Quadratic Penalties



## **Golden Rule:**

The overall target dose homogeneity cannot be influenced by target dose penalties alone. If the target dose is not homogenous enough, either reduce normal tissue sparing, or add more beams.

# Can quadratic penalties control all aspects of dose?



## Application:

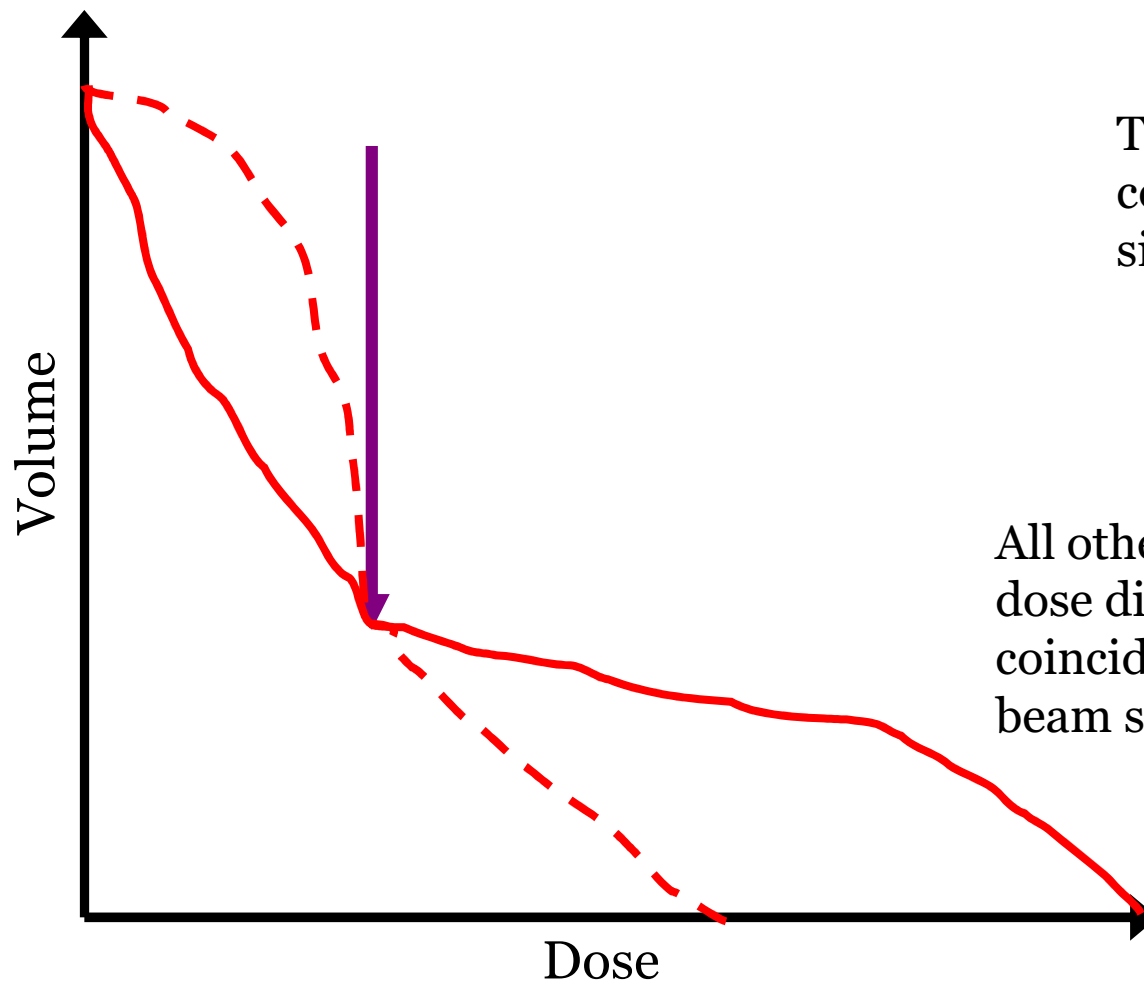
target hot and cold spots,  
OARs with maximum dose restrictions.

## Limitations:

- Cannot enforce a strict dose limit.
- Does not express organ specific volume effects.
- Cannot be applied to organs with a large volume effect.



# DVH Control for Organs with a Large Volume Effect: DVH Constraints



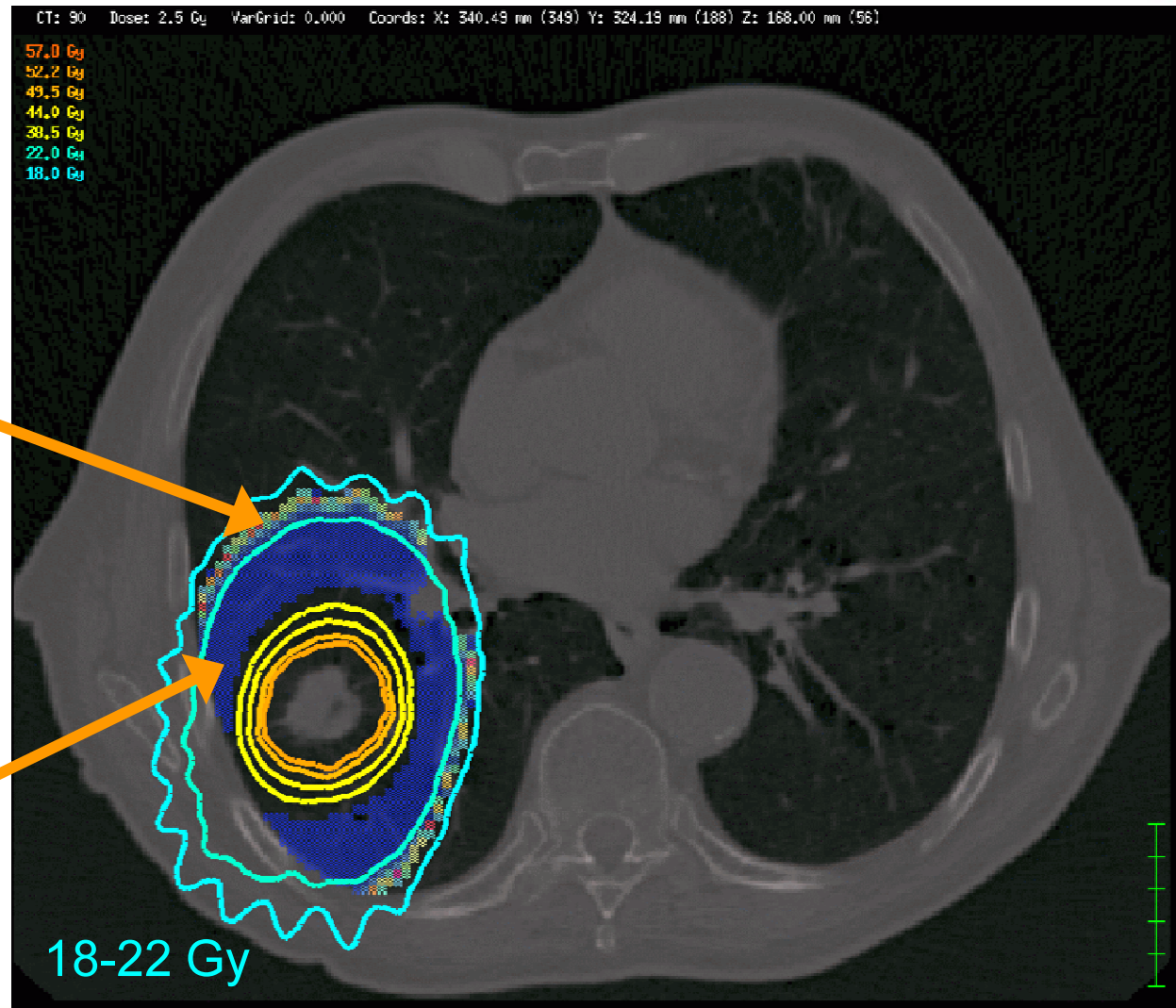
The constraint controls only a single point.

All other aspects of the dose distribution are merely coincidence (patient geometry, beam setup)

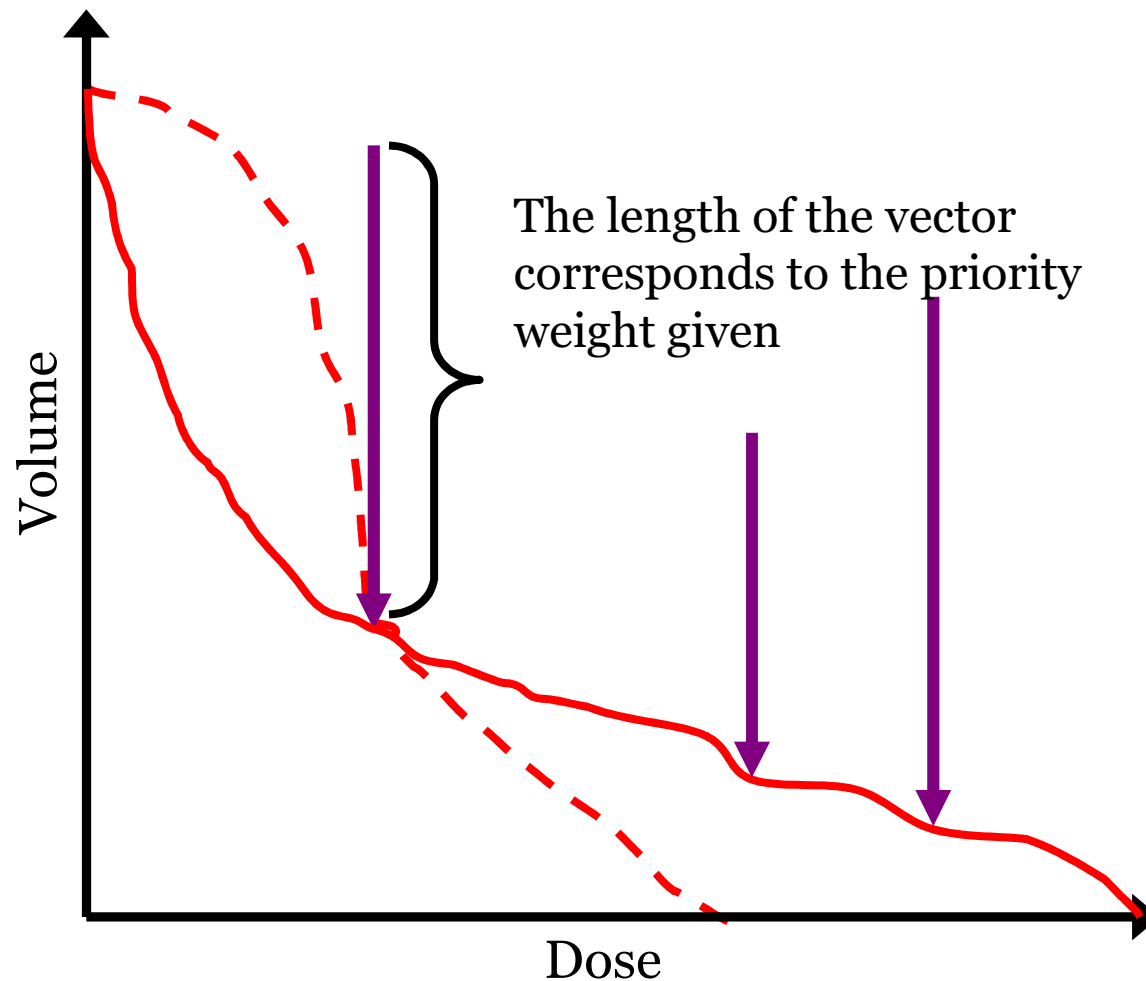
# Local Control of a DVH constraint:

example:  
constraint to  $V_{20}$ ,  
i.e. area of control  
only at dose = 20 Gy

Volume given up  
by cost function

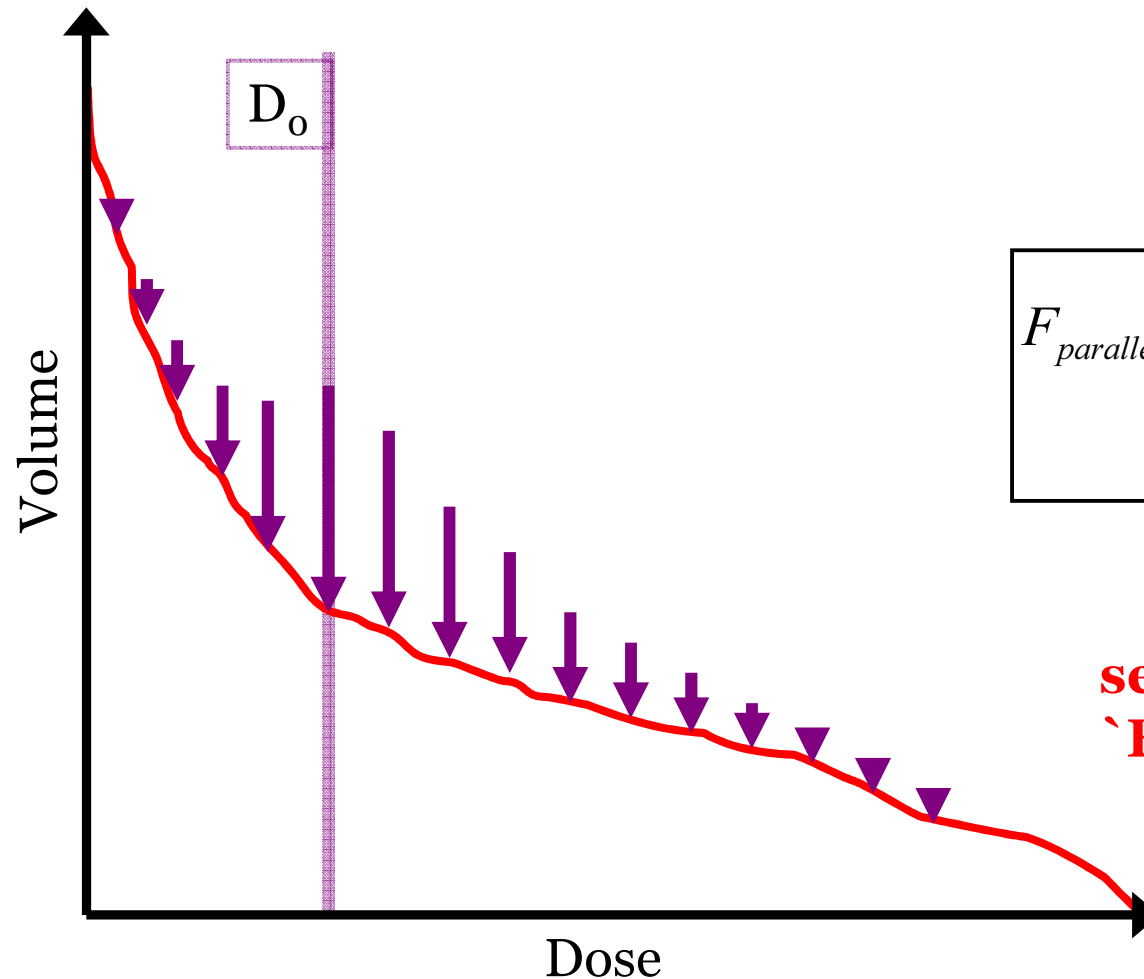


# DVH Control for Organs with a Large Volume Effect: Multiple DVH Constraints



Multiple constraints may be combined to enhance control over the dose distribution.

# How does a Parallel Complication Model control the DVH?



$$F_{parallel} = \frac{1}{N} \sum_{i=1}^N \frac{1}{1 + \left(\frac{D_0}{D}\right)^k}, \quad k \geq 1$$

(or similar functions)

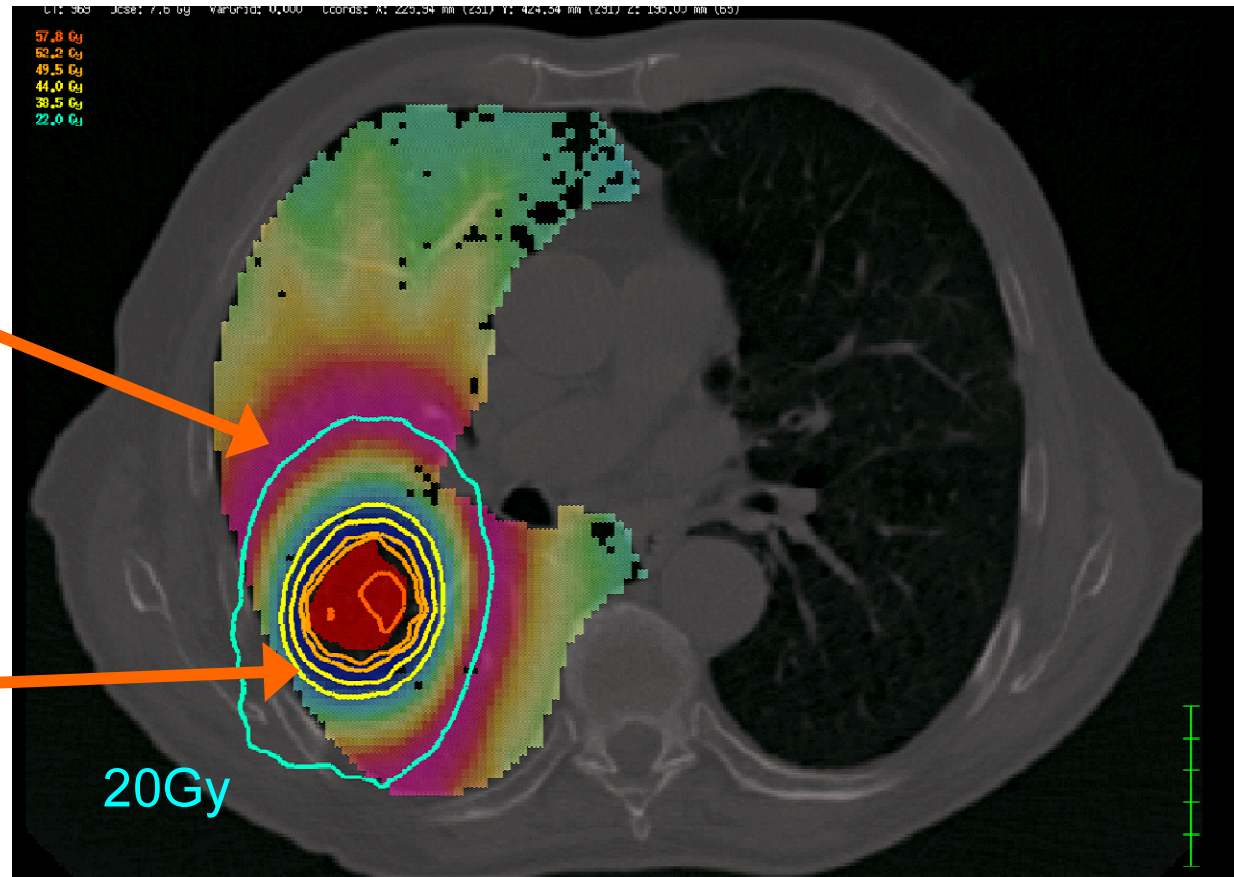
**see presentation  
`Biological Optimization`**

# Local Control of a Parallel Cost Function

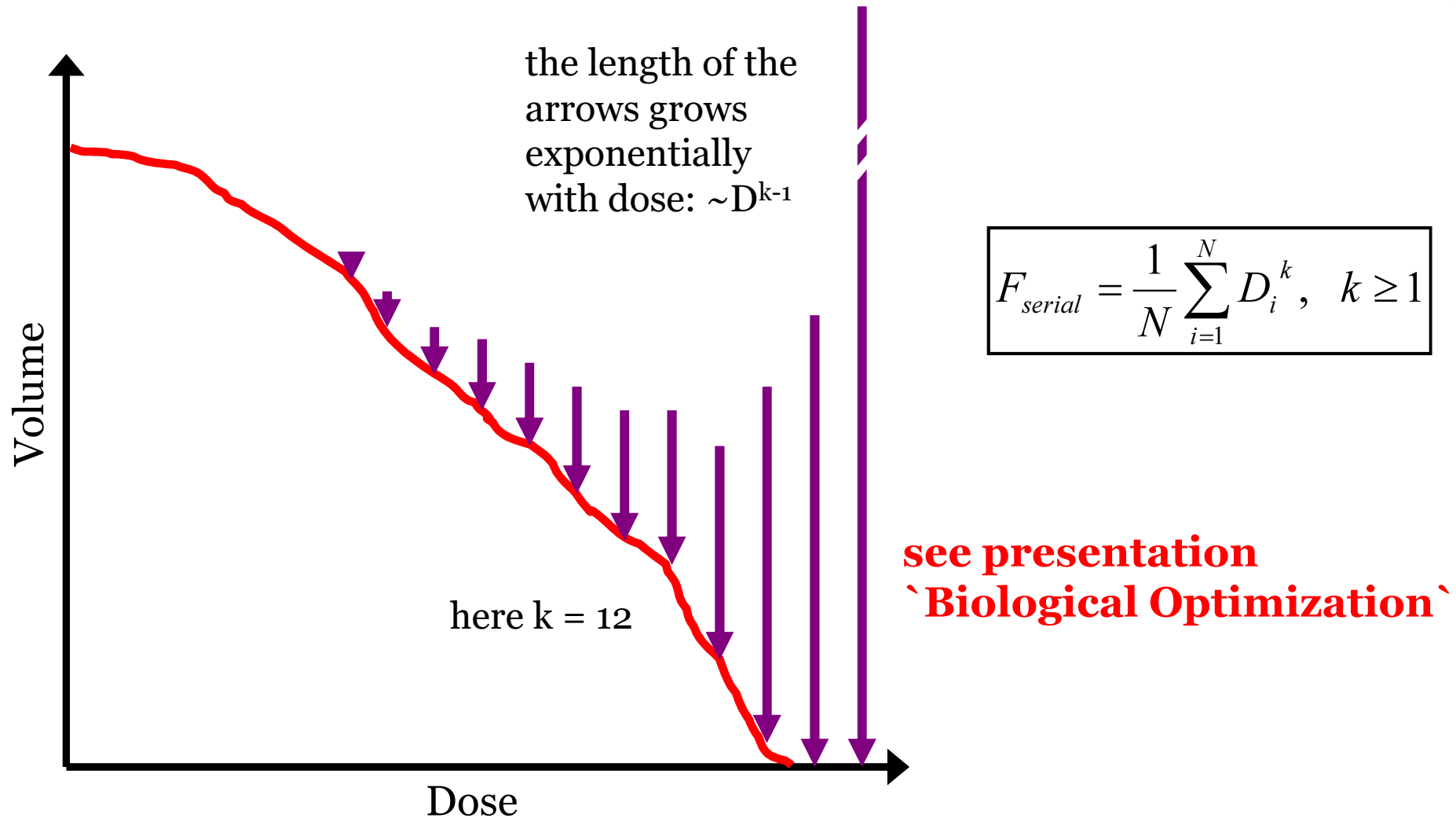
example:  
parallel constraint with  
 $D_0=20\text{Gy}$

Volume of greatest  
weight in optimization

Volume given up  
by cost function

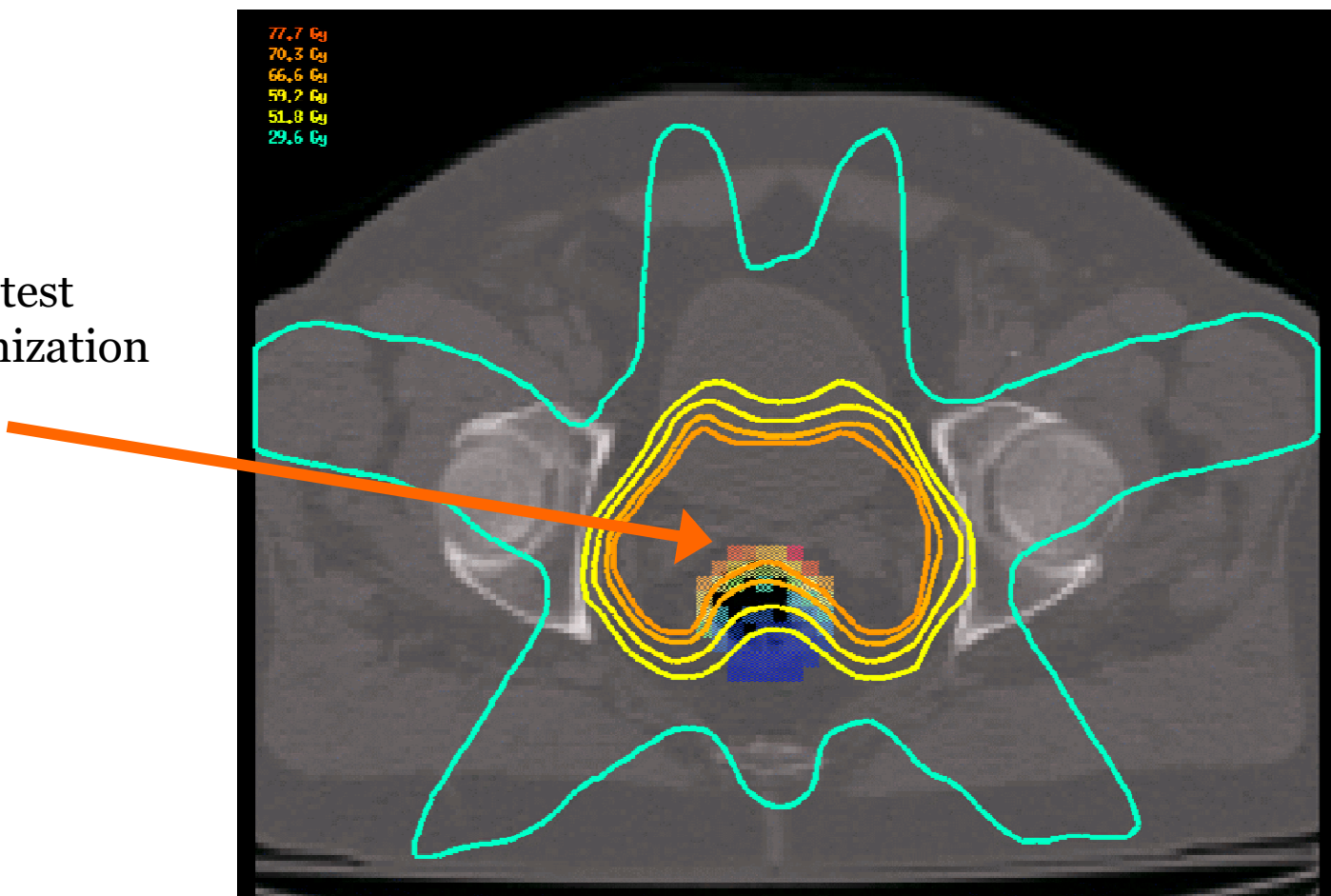


# How does a Serial Complication Model control the DVH ?



# Local Control of a Serial Cost Function

Volume of greatest weight in optimization



## FAQ:

- Why do we need dose optimization if all we want to do is dose planning? ✓
- What do we actually optimize? Dose? Cost functions? Beamlets? ✓
- What optimization algorithm to use, and why? ✓
- Weight Factors, Constraints, Objectives – why is it so complex? ✓
- What is the point in optimizing undeliverable fluence profiles first? ✓
- Why does the dose get worse after MLC sequencing? ✓
- How much optimization is actually in an IMRT optimizer? ✓
- Cost functions: Which one to use when? ✓
- Do we really need ‘complicated’ cost functions like the serial complication model CF?



# Clinical relevance of the serial cost function

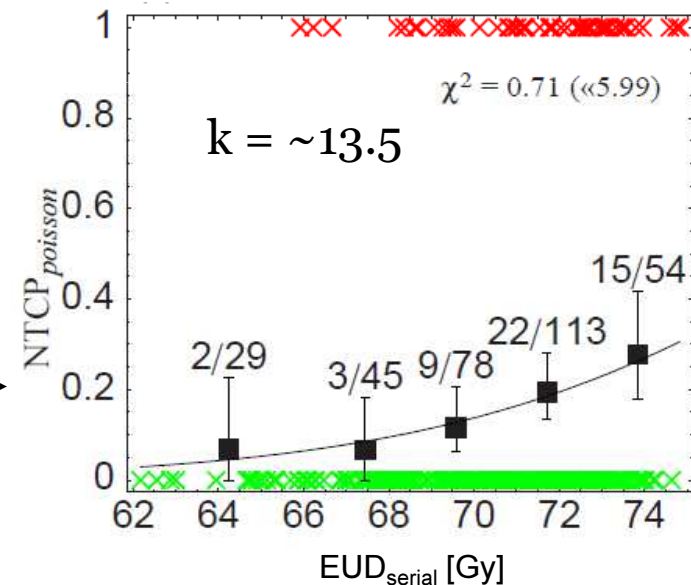
$$F_{serial} = \frac{1}{N} \sum_{i=1}^N D_i^k, \quad k \geq 1$$

directly related to...

$$EUD_{serial} = \sqrt[k]{\frac{1}{N} \sum_{i=1}^N D_i^k}$$

For rectal complications (grade  $\geq 2$  bleeding) strong clinical evidence, that NTCP is clearly related to rectal EUD!

M. Söhn et al. 2007 (IJROBP 67(4))  
 Quantec-Report: J. M. Michalski et al. 2010 (IJROBP 76(3))



# Summary & Conclusions

- **IMRT treatment planning means *exploring the limits of physics* for each patient:**
  - the ‘physics in the patient’: Is the desired dose distribution possible? (steepness and placement of gradients,...)
  - Applicability by MLC hardware
- numerous different and partly conflicting target and normal tissue goals need to be balanced — **IMRT *optimization algorithms* are tools to ‘navigate’ through the solution space**
- ‘navigation’: using weight factors, constraints, or a library of precalculated plans
- different approaches to arrive at applicable, segmented plans: typically a *two-stage optimization-process*

## Summary & Conclusions [2]

- Cost functions define numerical rules for the IMRT optimizer, which features of a dose distribution should be rewarded and which ones penalized. CFs are essential, because the *ideal* dose distribution does usually not exist
- The dose distribution in a volume results from a complex interplay of the cost functions defined in this volume, and all other cost functions.  
**It is therefore extremely important to understand which features of the dose distribution are controlled by a cost function and which are uncontrolled and thus random.**
- The ideal dose distribution cannot be arrived at by the perfect set of cost functions, but only in an interactive process of exploring physically possible trade-offs between different treatment goals.

⇒ IMRT optimization is about as much art as it is science  
⇒ BUT: experience helps!  
⇒ ...as does a good TPS: should make the effects of all actions on the dose distribution transparent to the user in order to reduce trial-and-error



# Modelling adverse effects after radiotherapy

Eva Onjukka (PhD), Medical Physicist

Section of Radiotherapy Physics and Engineering, Dept. of Medical Physics  
Karolinska University Hospital

[eva.onjukka@karolinska.se](mailto:eva.onjukka@karolinska.se)

# Contents

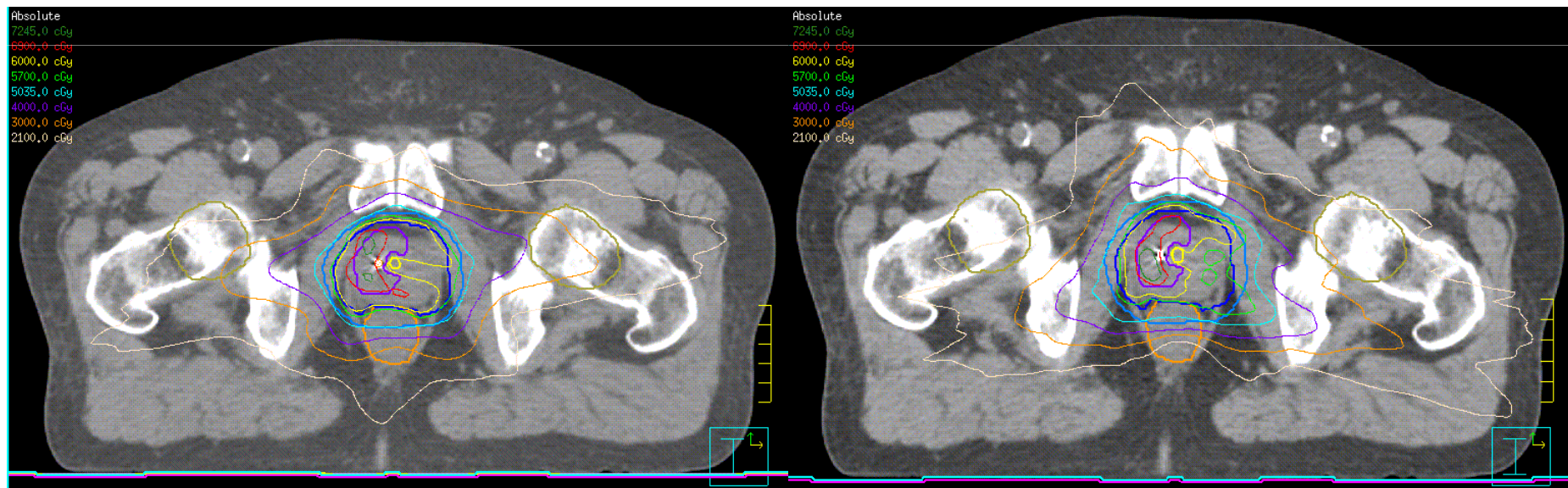
- Introduction (what, why, when?)
- Organ architecture and the volume effect
- From DVH to normal-tissue complication probability
- Models: LKB, critical volume, relative seriality
- Equivalent uniform dose
- Fractionation correction
- Model accuracy
- Applications



# Objective

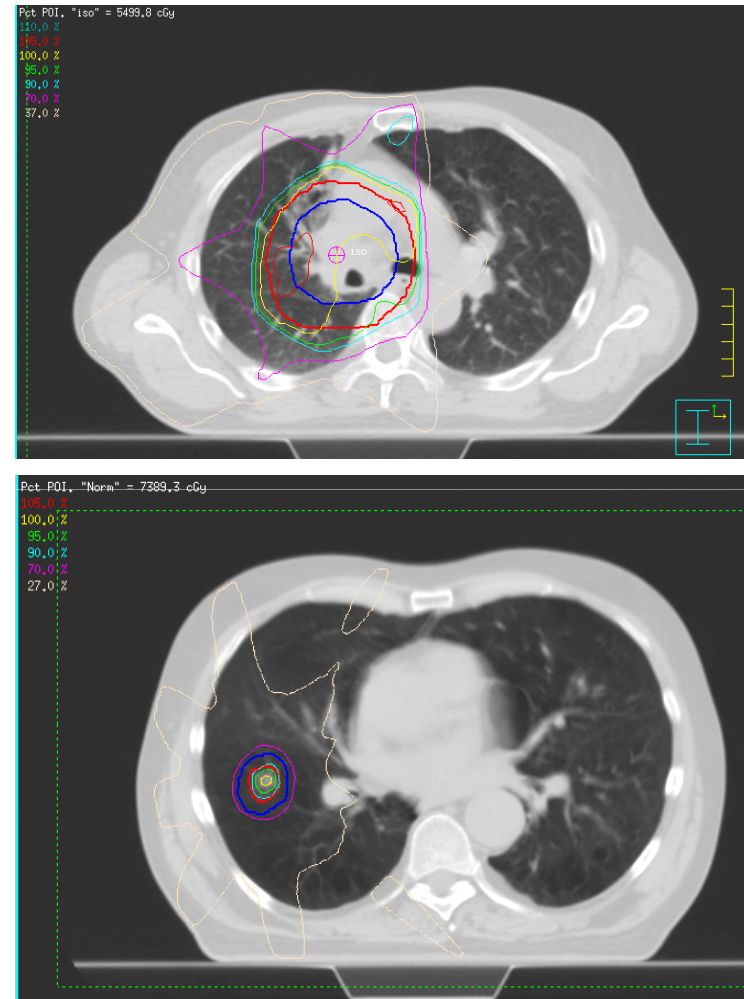
- IMRT/VMAT offers huge flexibility in distributing the dose around the target (many degrees of freedom)
- Optimisation/evaluation requires quantification/representation of the risk from very different dose distributions.

2 plans with equal estimated effect:



# Which 'dose' is relevant for normal tissues?

- All tumours of a certain type and stage prescribed the same dose
  - based on perceived risk of toxicity in the population
- Wide variation in dose throughout the tissue
- Different anatomy = different dose distribution
- Prescription dose not a good measure of normal-tissue complication probability (NTCP) for an individual
- Good NTCP models could help us limit the risk for each individual



# What is an NTCP model?

Complex models have been developed to describe what happens when you irradiate a patient. These are called *mechanistic* NTCP models.

- Limited understanding of mechanisms limits the scope of the models
- Requires too many parameters to allow fitting to clinical data
- Useful for generating hypotheses and testing methodology

Outcome modelling instead looks for a statistical relationship between treatment plan parameters and the incidence of a particular complication. This is called *empirical* or *phenomenological* NTCP modelling.

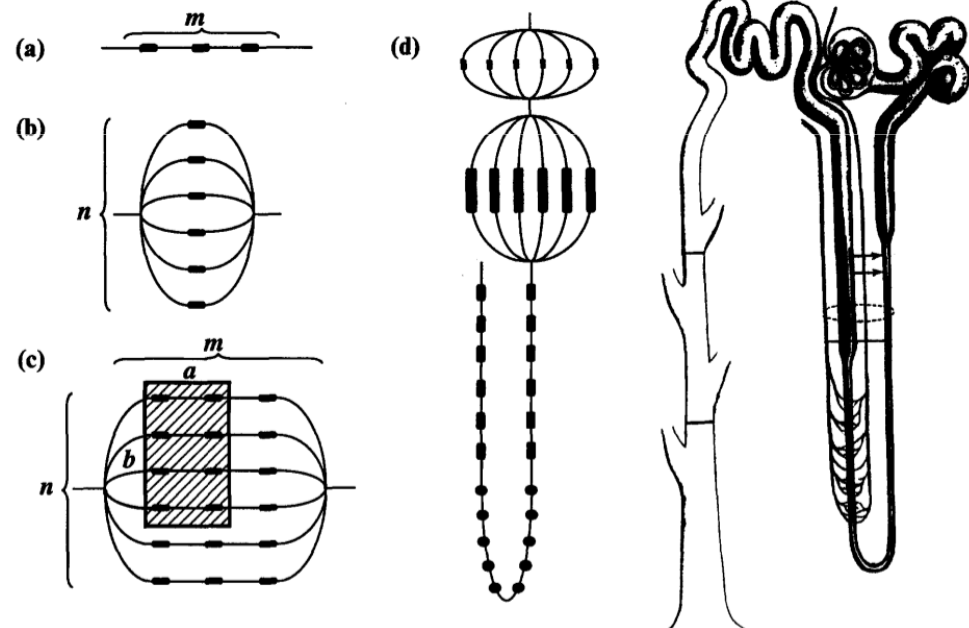
- Regression-type models
- A few parameters fitted to treatment and outcome data
- Can fill the same purpose as dose-volume constraints

This lecture will focus on empirical NTCP modelling.



# Organ architecture

- Consider normal tissues as consisting of multiple functional subunits (FSU)\*, for tissue repair purposes
- Each organ different in terms of FSU interaction
- Parallel type response like a rope: it can perform its function even if some strands break.
  - Large volume effect
- Serial type response like a chain: function is lost if any one link breaks.
  - Small volume effect
- Kidney: nephron

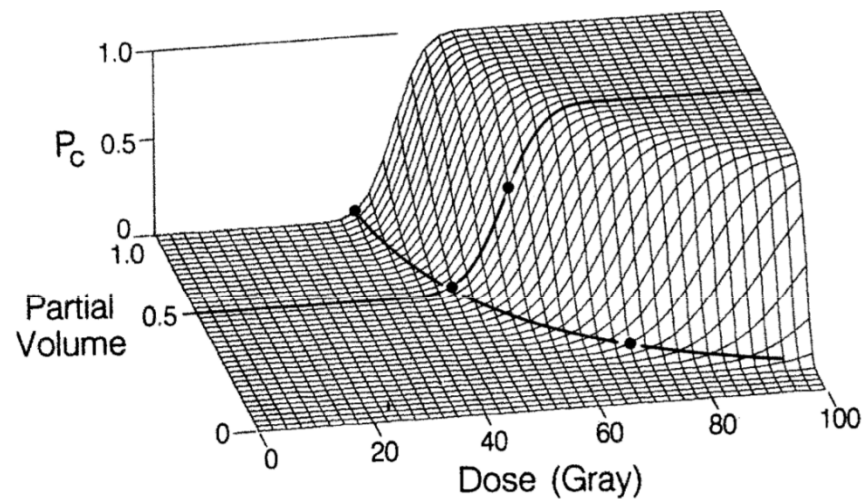


Källman et al. *Int J Radiat Biol*, 1992

\*Withers et al. 1988

# Volume effect

Sigmoid dose-response for partial (uniform) organ irradiation

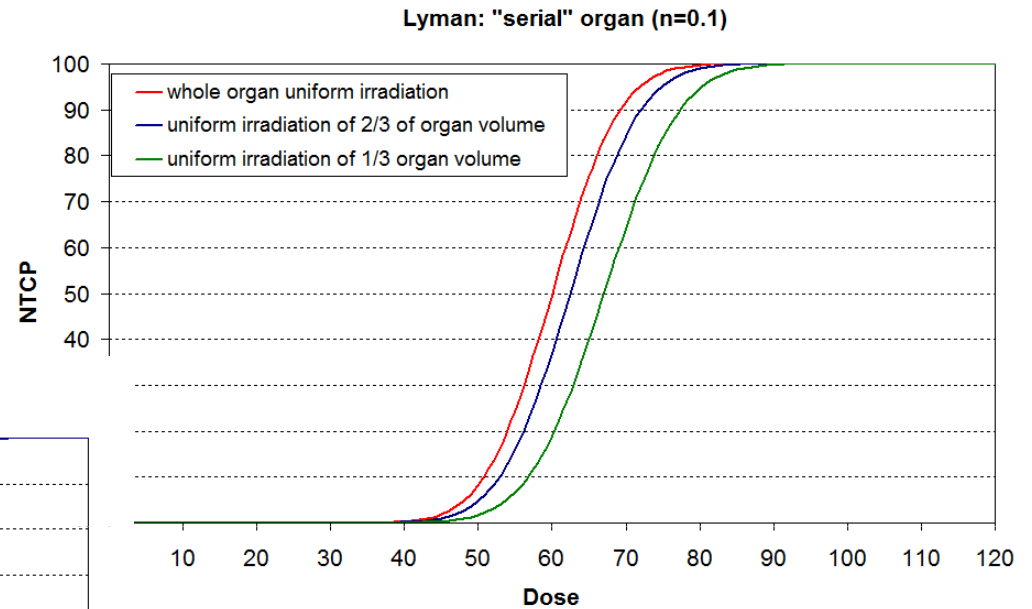
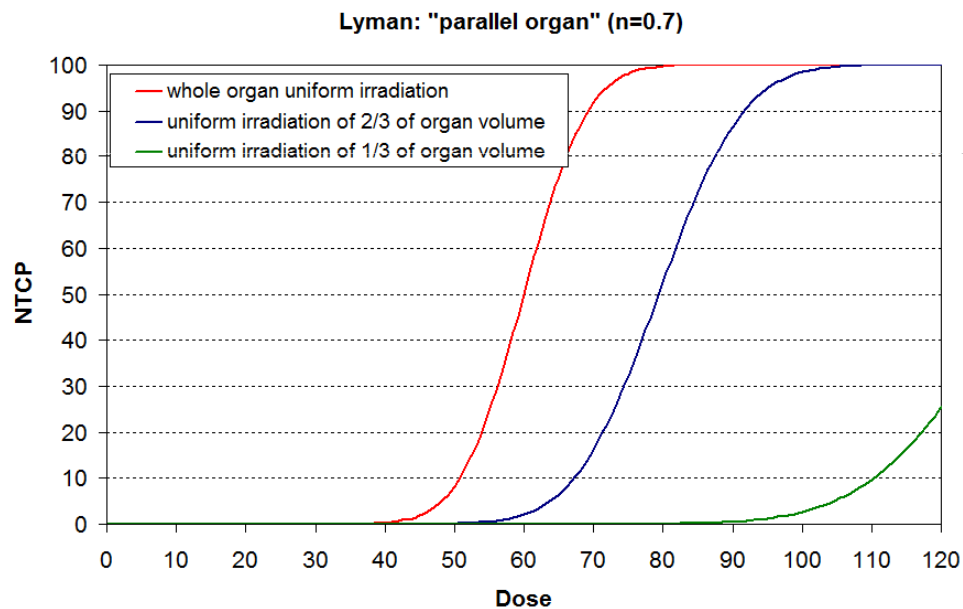


*Lyman, Radiat Res Suppl, 1985*

The volume effect depends on:

- Functional reserve
- Migration of cells
- Stochastic tissue damage
- Inflammatory response?

# Volume effect cont.



Courtesy Giovanna Gagliardi  
1991  $\Rightarrow$  parameters for 28 complications Burman et al, IJROBP

# Dose-volume constraints

- The relevant dose-volume parameter depends on the volume effect
- Small volume effect: maximum dose
- Large volume effect:  $V_x$  or mean dose
- Emami et al. (IJROBP 1991) published tolerance values for partial organ irradiation
- The QUANTEC initiative (IJROBP 2010) is a special issue with one article per organ



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0360-3016/91 \$3.00 + .00  
Copyright © 1991 Pergamon Press plc

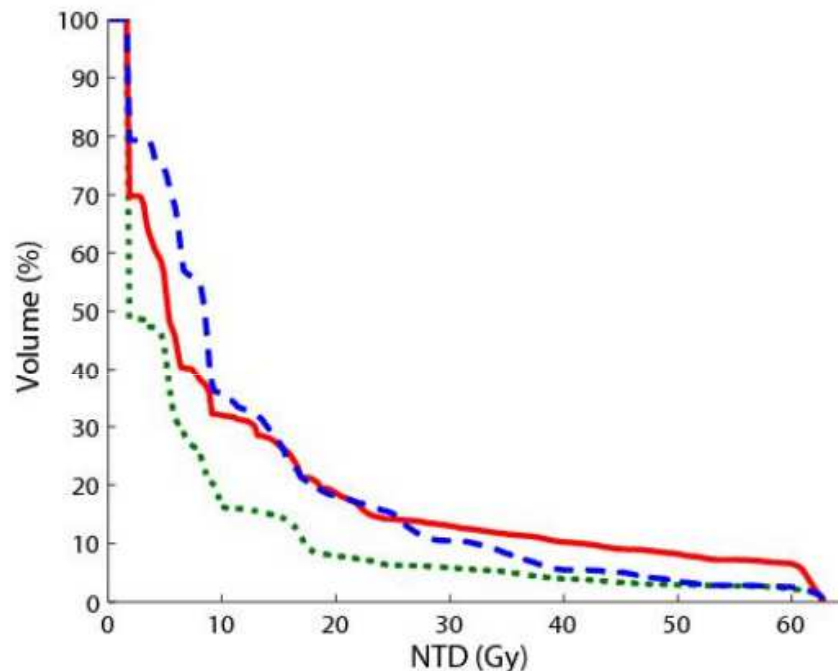
## ● *Original Contribution*

### TOLERANCE OF NORMAL TISSUE TO THERAPEUTIC IRRADIATION

B. EMAMI, M.D.,<sup>1</sup> J. LYMAN, PH.D.,<sup>5</sup> A. BROWN, M.D.,<sup>4</sup> L. COIA, M.D.,<sup>3</sup> M. GOITEIN, PH.D.,<sup>4</sup>  
J. E. MUNZENRIDER, M.D.,<sup>4</sup> B. SHANK, M.D.,<sup>2</sup> L. J. SOLIN, M.D.,<sup>3</sup> AND M. WESSON, M.D.<sup>2</sup>

<sup>1</sup>Mallinckrodt Institute of Radiology, Washington University School of Medicine, St. Louis, MO 63110; <sup>2</sup>Memorial Sloan-Kettering Cancer Center, New York, NY 10021; <sup>3</sup>Department of Radiation Therapy, University of Pennsylvania School of Medicine and the Fox Chase Cancer Center, Philadelphia, PA 19111; <sup>4</sup>Massachusetts General Hospital, Department of Radiation Medicine, Boston, MA 02114 and Harvard Medical School; and <sup>5</sup>University of California-Lawrence Berkeley Laboratory, Research Medicine and Radiation Biophysics Division, Berkeley, CA 94720

# Dose-volume histogram



Dose-volume histograms (DVHs) are used when evaluating a treatment plan.

- Useful for comparing alternative plans
- If two DVHs overlap it is not clear which one is better.
- Dose-volume constraints are surrogates for a normal-tissue complication probability (NTCP)
- What if we could associate a risk value to each DVH?

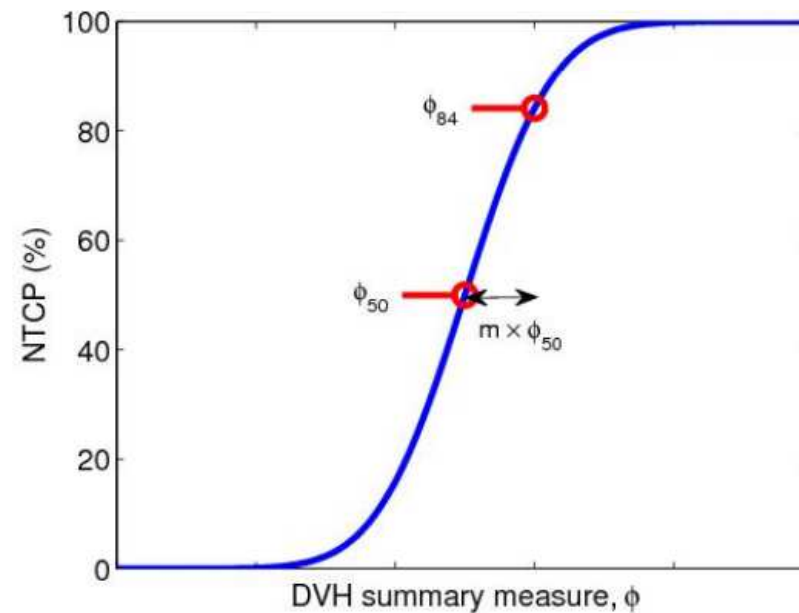
# NTCP model structure

Regression models cannot handle 3D dose distributions or DVHs; requires a summary measure  $\varphi$ , e.g. mean dose, which takes the volume effect into account.

The most widely used (Lyman) model uses a cumulative normal function with 2 parameters:  $\varphi_{50}$  and  $m$ . Additional parameters might be needed for the DVH summary.

$$\text{NTCP} = \frac{1}{\sqrt{2\pi}} \int_{-\infty}^t e^{-x^2/2} dx$$

$$t = \frac{\varphi - \varphi_{50}}{m \cdot \varphi_{50}}$$



# LKB model

The Lyman-Kutcher-Burman (LKB) model uses an Equivalent Uniform Dose (EUD)\*:

$$EUD = \sum_i \left( D_i^{\frac{1}{n}} \frac{V_i}{V_{tot}} \right)^n$$

{	$D_i$ = total dose to bin $i$
	$V_i$ = absolute volume in bin $i$
	$V_{tot}$ = total organ volume
	$n$ = volume effect parameter

Parameter 'n' is organ (endpoint) specific

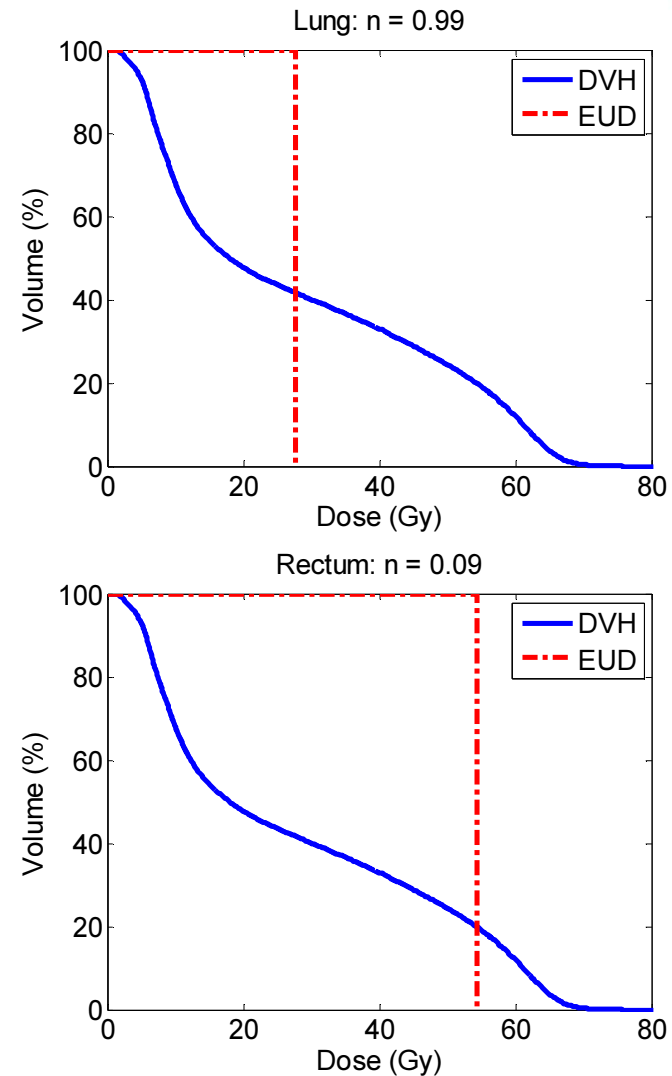
- Low ( $\approx 0$ ): small volume effect,  $EUD \approx \text{max dose}$
- High ( $= 1$ ): large volume effect,  $EUD = \text{mean dose}$

\*Original representation with *effective volume* rather than EUD

# Equivalent uniform dose

Note that to apply the LKB model as described, a whole-organ inhomogeneous dose distribution must be converted to a uniform dose, EUD, which has the same likelihood of causing a complication.

The parameter  $n$  is introduced to represent volume dependence of the organ.





# Generalised equivalent uniform dose

Different expressions for EUD have been proposed, but Niemierko's GEUD has been the most widely implemented.

The parameter 'a' is equivalent to 1/n (the LKB parameter for volume effect).

NTCP scales (non-linearly) with EUD; sufficient to minimise EUD

## WE-C2-09

A generalized concept of Equivalent Uniform Dose (EUD)  
A Niemierko\*, Massachusetts General Hospital, Boston, MA

Dose distributions are inherently non-uniform, especially for normal structures. Although IMRT is capable of delivering superior dose distributions tailored to the geometry of irradiated structures; it often produces inhomogeneous target dose distributions. To quantitatively evaluate a treatment plan one needs to know the consequences of dose inhomogeneity for all structures of interest. A concept of Equivalent Uniform Dose (EUD) for tumors, based on models of clonogen survival, has recently been developed and investigated in several clinical settings. Here we report on generalization of the EUD concept that applies to both tumors and normal tissues. Based on the analysis of outcomes for several clinical studies providing volumetric information for tumors and normal organs we propose that EUD for a structure of interest be estimated as:

$$EUD = \left( \frac{1}{N} \sum_{i=1}^N D_i^a \right)^{\frac{1}{a}} \quad \text{or, using a differential dose-volume histogram, } EUD = \left( \sum_{i=1}^N v_i D_i^a \right)^{\frac{1}{a}}$$

where  $\{v_i, D_i\}$  are bins of the histogram and "a" is a tissue-specific parameter. It is easy to see that EUD is bounded by the minimum and by the maximum dose, and is equal to the mean dose for "a" equal to one. The parameter "a" is negative for all tumors and it is positive for all normal structures. We estimated the maximum likelihood values of the parameter "a" for several tumors and normal structures and they range from -13.1 for local control of chordoma tumors to 17.7 for perforation of esophagus. We discuss the rationale for this generalized EUD concept and we will discuss the estimates of the parameter "a" for several important structures and end-points.

# EUD plan optimisation

The screenshot displays a radiotherapy planning software interface. At the top, there is a toolbar with various icons for settings, plan generation, ROI selection, and execution. Below the toolbar, the interface is divided into several panels:

- DVH Panel:** Shows a graph of Volume [%] versus Dose [Gy]. Multiple curves represent different plans or objectives. A legend indicates 'test3' and 'test1'.
- 2D Panel:** Displays a 2D dose distribution map for 'test3' with a color scale from 0 to 150 Gy. A text box indicates '72.50 Gy to median dose (D50%) in PTV\_prostate\_CT'.
- 2D - Ref Dose Panel:** Shows a sagittal CT scan with target and organ-at-risk contours. A color scale indicates 'Match points'.

A dialog box titled 'Add tradeoff objective' is open in the center. It contains the following information:

- ROI: rectum CT
- Physical Parameters:
  - Function type: Max EUD
  - Dose level: 0.00 Gy
  - Parameter A: 1.00

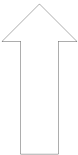
At the bottom of the interface, there is a table with columns for 'Dose', 'ROI/POI', 'Clinical goal', 'Value', and 'Result'.

Dose	ROI/POI	Clinical goal	Value	Result
test1	PTV_vesicles_CT	At least 99.00 % volume at 52.35 Gy dose	99.14 %	✓
test3	PTV_vesicles_CT	At least 99.00 % volume at 52.35 Gy dose	100.00 %	✓
test1	PTV_prostate_CT	At least 99.00 % volume at 68.88 Gy dose	99.11 %	✓

# Critical volume model

In the Critical Volume model the summary measure is a damaged volume, calculated using a local dose-effect function  $E(D_i)$ .

$$\text{Damaged volume} = \sum_i E(D_i) \frac{V_i}{V_{tot}}$$



$$\left\{ \begin{array}{l} D_i = \text{total dose to bin } i \\ V_i = \text{absolute volume in bin } i \\ V_{tot} = \text{total organ volume} \end{array} \right.$$

Different functions can be used, but the effect increases with dose; FSU inactivation?

# Local dose effect functions

$$E(D_i) = \frac{1}{1 + (D_{50}/D_i)^k}$$

2+2 parameters

$\left\{ \begin{array}{l} D_{50} = \text{dose causing 50\% local effect} \\ k = \text{parameter for slope of curve} \end{array} \right.$

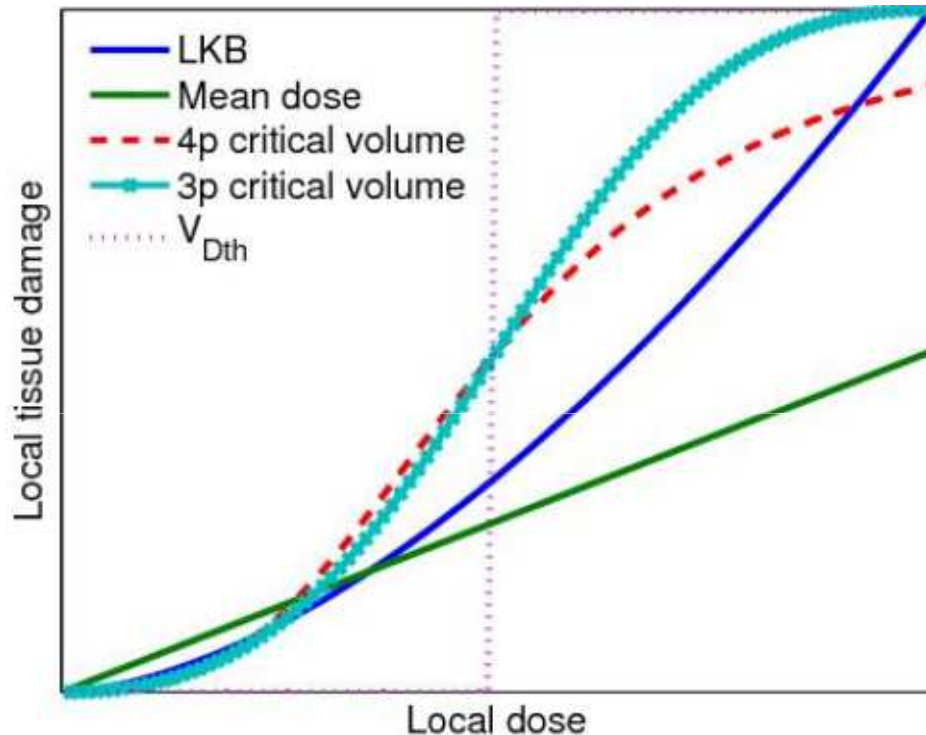
$$E(D_i) = \begin{cases} \frac{D_i/D_{50} - 1}{1 + (D_i/D_{50} - 1)^2} + 1/2 & \text{when } D_i < 2D_{50} \\ 1 & \text{when } D_i \geq 2D_{50} \end{cases}$$

2+1 parameters

$$E(D_i) = \begin{cases} 0 & \text{when } D_i < D_{50} \\ 1 & \text{when } D_i \geq D_{50} \end{cases}$$

2+1 parameters

# DVH reduction



Models use different DVH summary measures.

A function for DVH reduction can be used based on assumptions about the effect of the local dose on the local tissue (functional subunit inactivation).

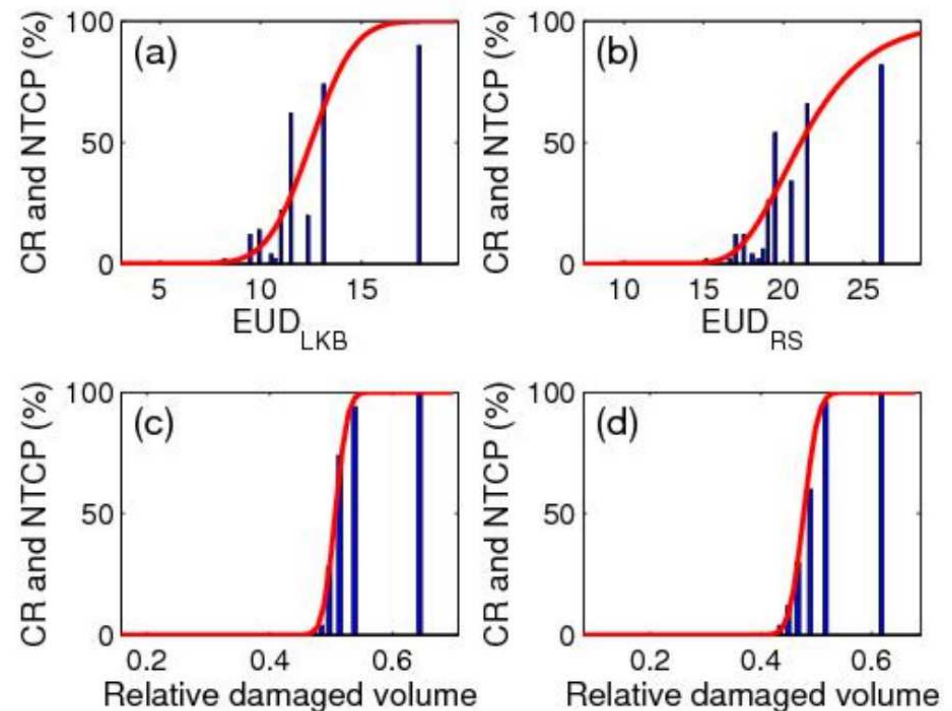
The main difference between models is whether a saturation of the response with dose is assumed.

# Choosing a summary measure

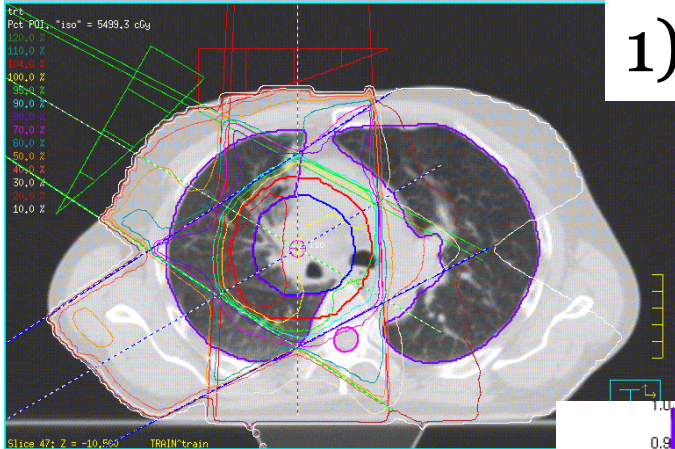
A model with a non-representative summary measure estimates the same NTCP for plans with different risk.

- Results in a shallow dose/volume-response function
- Less useful predictive tool
- Makes inefficient use of the data

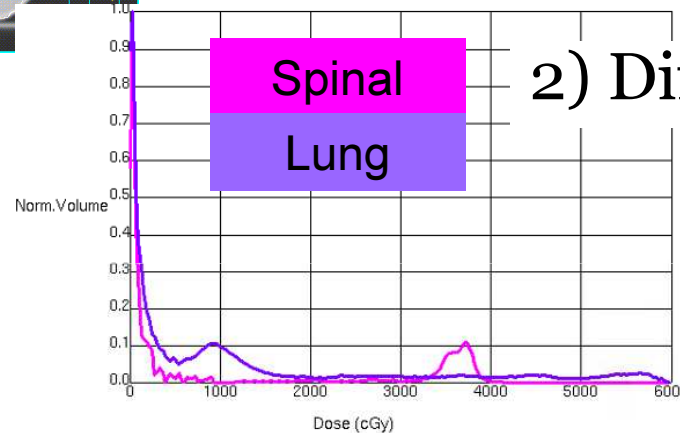
Example with simulated data



# 1) 3D organ dose distribution

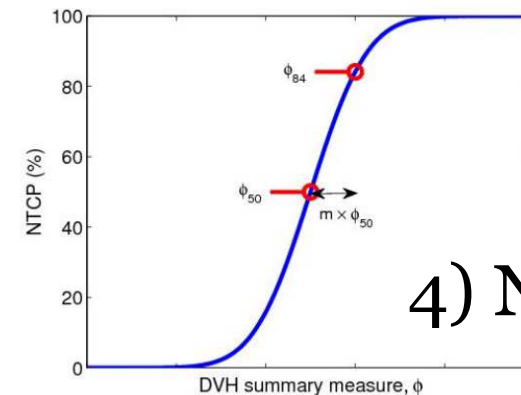


# 2) Differential DVH



# 3) EUD or 'damaged volume'

Parameters used in the models have been derived from data on previous treatments: DVHs and outcome.



# 4) NTCP

# The relative seriality model

The Relative Seriality model first calculates a probability of local damage for each dose bin in the DVH:

$$P(D_i) = 2^{-\exp\left(\gamma\left(1 - \frac{D_i}{D_{50}}\right)\right)}$$
$$\left\{ \begin{array}{l} D_i = \text{total dose to bin } i \\ D_{50} = \text{Dose causing 50\% probability} \\ \gamma = \text{slope of the curve} \end{array} \right.$$

Then NTCP is estimated using the relative seriality parameter 's'.

- Serial organs:  $s = 1$ , high doses to small volume important
- Parallel organs:  $s \approx 0$ , large irradiated volume important

$$\text{NTCP} = \left( 1 - \prod_i (1 - P(D_i)^s)^{\frac{V_i}{V_{tot}}} \right)^{1/s}$$
$$\left\{ \begin{array}{l} V_i = \text{absolute volume in bin } i \\ V_{tot} = \text{total organ volume} \\ s = \text{relative seriality parameter} \end{array} \right.$$



# How do I calculate NTCP?

- Available in biological packages in some treatment planning systems
- BIOSUITE: standalone executable developed by Dr Uzan and Prof Nahum at Clatterbridge Cancer Centre, UK. Available on request (dr.j.a.uzan@gmail.com).
- Good MATLAB based packages: CERR, DREES [www.cerr.info](http://www.cerr.info)
- VODCA: database software for radiotherapy data analysis [www.vodca.ch](http://www.vodca.ch)
- Straightforward to calculate from exported DVHs

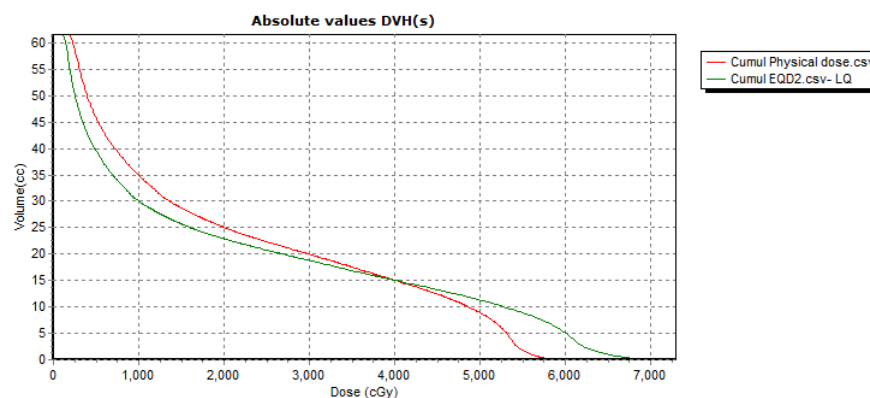
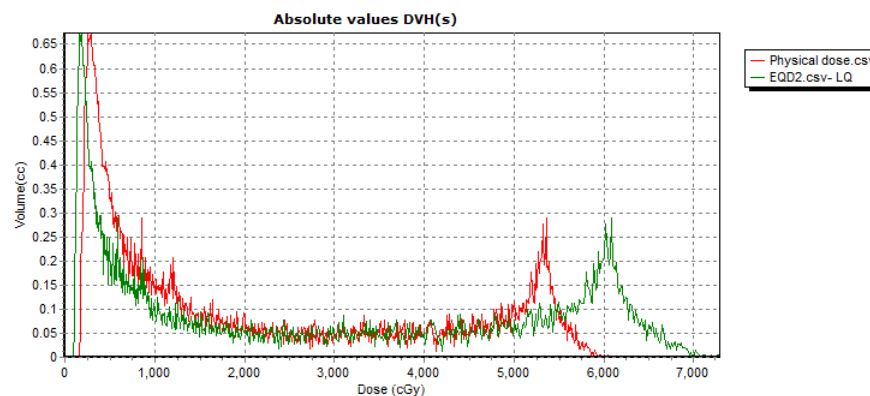
# Fractionation correction!

Each bin in the DVH receives a different dose per fraction.

- Convert each dose-bin to 2Gy fraction equivalence (reference conditions).

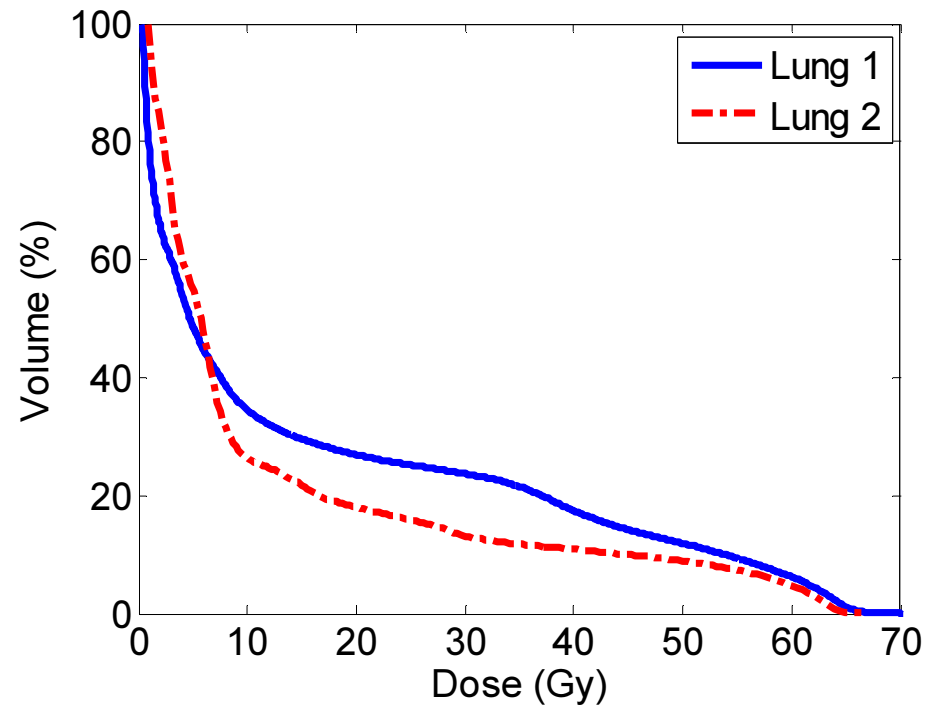
$$BED = D \left[ 1 + \frac{d}{(\alpha/\beta)} \right]$$

$$EQD_{2Gy} = D \left[ \frac{d + (\alpha/\beta)}{2 + (\alpha/\beta)} \right]$$



# Example

- DVHs for 2 lung cancer patients
- Total lung – GTV
- Fractionation correction ( $\alpha/\beta = 3$  Gy)
- NTCP estimated using 3 different models
- Parameter values from Seppenwoolde et al. 2003



	Patient 1	Patient 2
LKB	9.2%	5.4%
Critical Volume	9.9%	6.9%
Relative Seriality	8.5%	4.4%

# Which model should I use?

- Preferably several
- The LKB model is the most commonly used model and has parameter values published for many organs/endpoints (e.g. QUANTEC)
- All the above models are empirical
  - Statistical fits to clinical data
  - Biological interpretation of DVH reduction method should not be relied on blindly
- The more parameters, the more clinical data needed for parameter fitting; up to 3 parameters often appropriate
- Datasets often in the order of 100-300 patients. The more 'events', the more information to model on

# Parameter derivation

- Parameters are fitted to outcome data and DVHs (fractionation corrected) using the *maximum likelihood* method: finds parameters which makes current observation as likely as possible
- Outcome data generally binary (tox, no tox)
- Model is associated with the characteristics of the registered outcome data:
  - Endpoint, including grade
  - Co-morbidity, concomitant treatments etc.
- The confidence interval of the parameters depend on the quality of the data they're fitted to, and the sample size

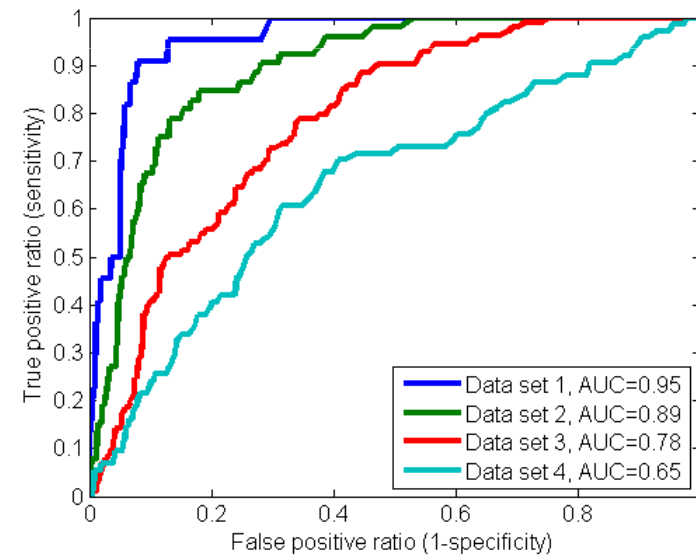


# Important considerations

- The model is only as good as the data used for parameter fitting.
  - Well-designed study (unbiased, representative)?
  - Sample size, number of events
  - Uncertainties in dose distributions (incl. organ motion, organ definition)
- The model is only reliable for plans reasonably similar to the plans included in the study (also applies to patient specific factors).
- When a model is used without knowledge of these factors, or for a different technique/patient group, the same limitations apply as to empirical 'tolerance doses'.
- NTCP is continuous but the patient outcome is binary
  - NTCP needs to be estimated because of limited information

# Model accuracy

- The figure shows how the performance of an NTCP model deteriorates as confounding factors are increased in the patient population to which the model is fitted
- The area under the curve (AUC) is a measure of accuracy, giving the probability that the model will correctly rank sampled 'complicator' & 'non-complicator' pairs from the data set
  - 0.5-0.7: poor
  - 0.7-0.9: moderate
  - 0.9-1: excellent



# When to use NTCP models

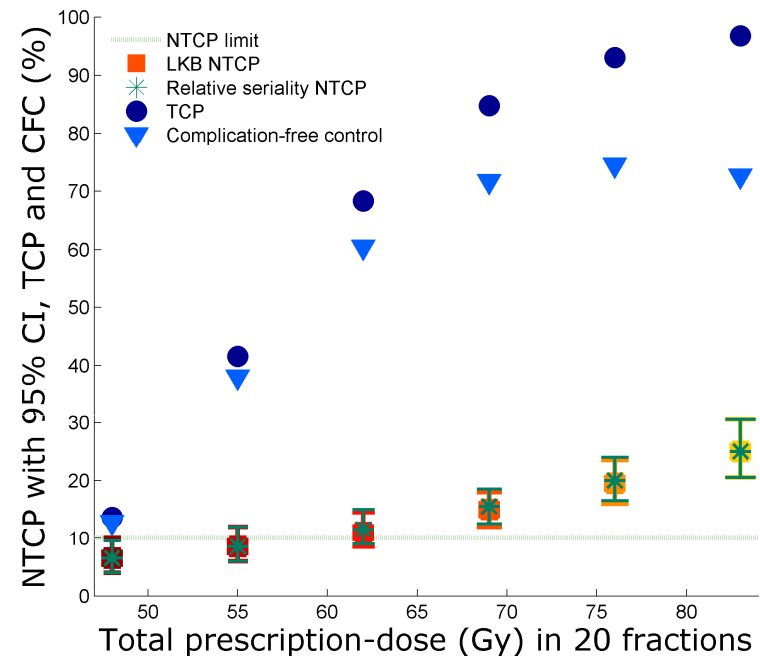
- ICRU report 83: level 3 dose reporting
- Dose-volume constraints
- IMRT/VMAT optimisation
- Ranking treatment plans
- Evaluation of treatment data from clinical studies; derive parameter values locally
  - Better to use locally derived parameter values than published values
- Develop new potential techniques
- Dose prescription



# Individualised dose prescription

Where individual dose prescription is adopted NTCP and TCP estimates for individual patients can be used by the clinician.

Due to anatomy differences between patients the TCP and NTCP estimates for a prescription dose will vary.



$$\text{Complication-free control} = \text{TCP}(1-\text{NTCP})$$

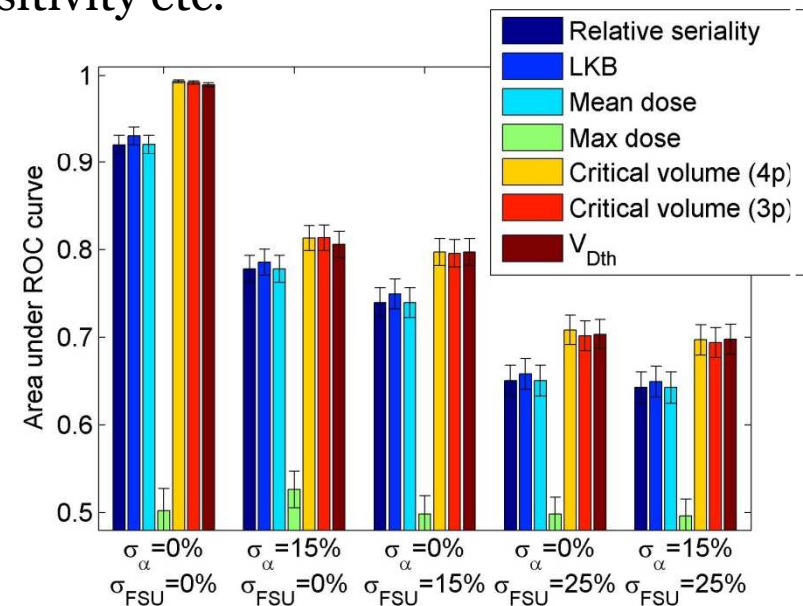
# Taking empirical models further

## Accounting for confounding factors

- Confounding factors include any non-dosimetric factors (X) influencing the outcome, as these are not modelled.
- Health status, chemo/surgery, radiosensitivity etc.
- Sharper slope, greater certainty

$$NTCP = \frac{1}{\sqrt{2\pi}} \int_{-\infty}^t e^{-x^2/2} dx$$

$$t = \frac{\varphi - \varphi_{50}}{m \cdot \varphi_{50}} + \beta_2 X_2 + \dots + \beta_n X_n$$



Onjukka et al. MedPhys 2015

# Selected bibliography

Lyman, J. T. Complication probability as assessed from dose-volume histograms. *Radiat Res Suppl*, 1985, 8, S13-S19

Kutcher, G. J. & Burman, C. Calculation of complication probability factors for non-uniform normal tissue irradiation: the effective volume method. *Int J Radiat Oncol Biol Phys*, 1989, 16, 1623-1630

Jackson, A. et al. Probability of radiation-induced complications for normal tissues with parallel architecture subject to non-uniform irradiation. *Med Phys*, 1993, 20, 613-625

Seppenwoolde, Y. et al. Comparing different NTCP models that predict the incidence of radiation pneumonitis. Normal tissue complication probability. *Int J Radiat Oncol Biol Phys*, 2003, 55, 724-735

Jin, J.-Y. et al. Impact of fraction size on lung radiation toxicity: hypofractionation may be beneficial in dose escalation of radiotherapy for lung cancers. *Int J Radiat Oncol Biol Phys*, 2010, 76, 782-788

Källman, P.; Ågren, A. & Brahme, A. Tumour and normal tissue responses to fractionated non-uniform dose delivery. *Int J Radiat Biol*, 1992, 62, 249-262

Onjukka, E. et al. The performance of normal-tissue complication probability models in the presence of confounding factors. *Med Phys*. 2015, 42, 2326-41

Thank you!

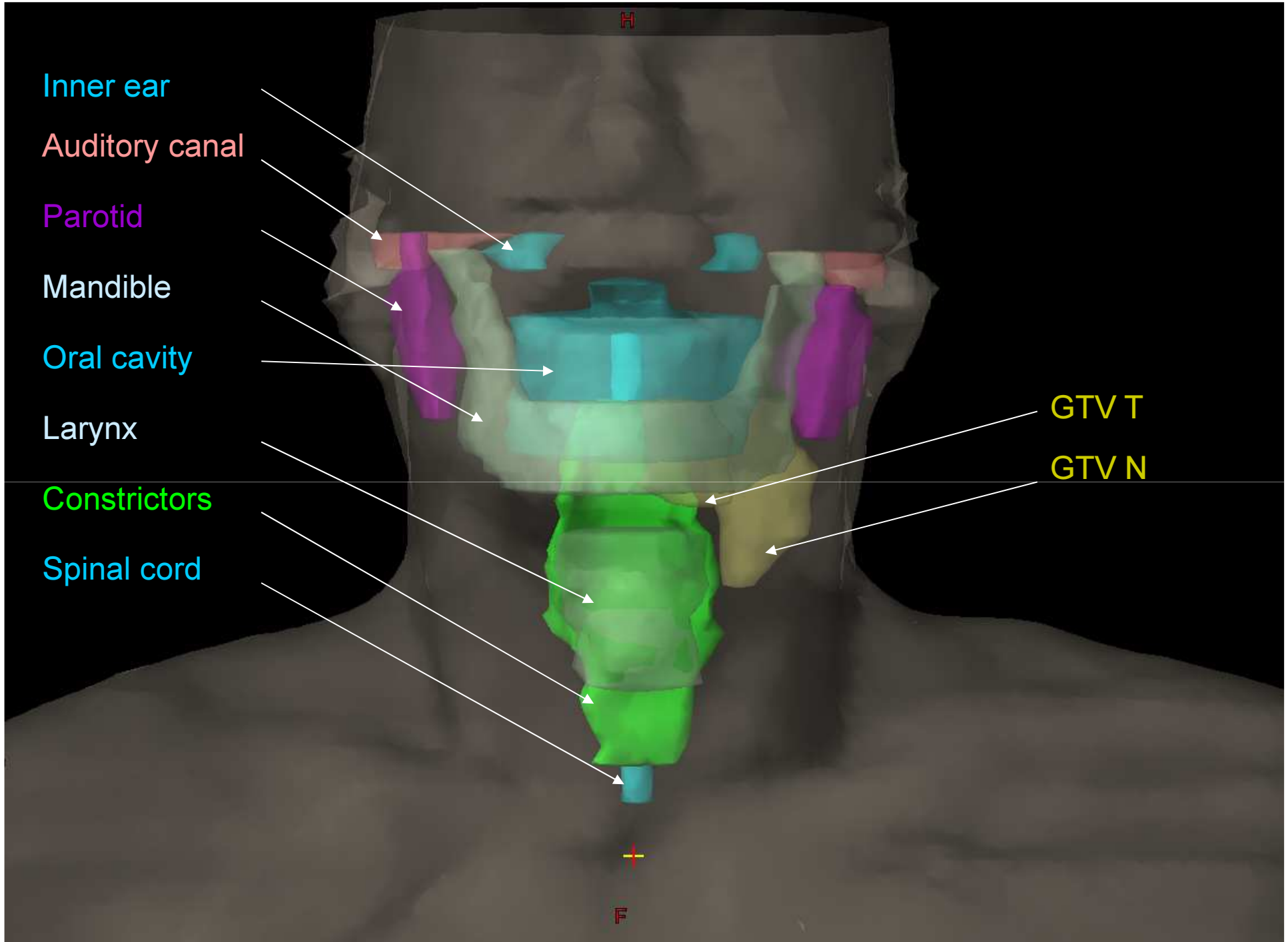


05/04/2016

# H&N irradiation: dose-volume predictors and NTCP parameters for some complication

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Giovanna Gagliardi  
Dept of Medical Physics  
Karolinska University Hospital, Stockholm



Inner ear

Auditory canal

Parotid

Mandible

Oral cavity

Larynx

Constrictors

Spinal cord

GTV T

GTV N

H

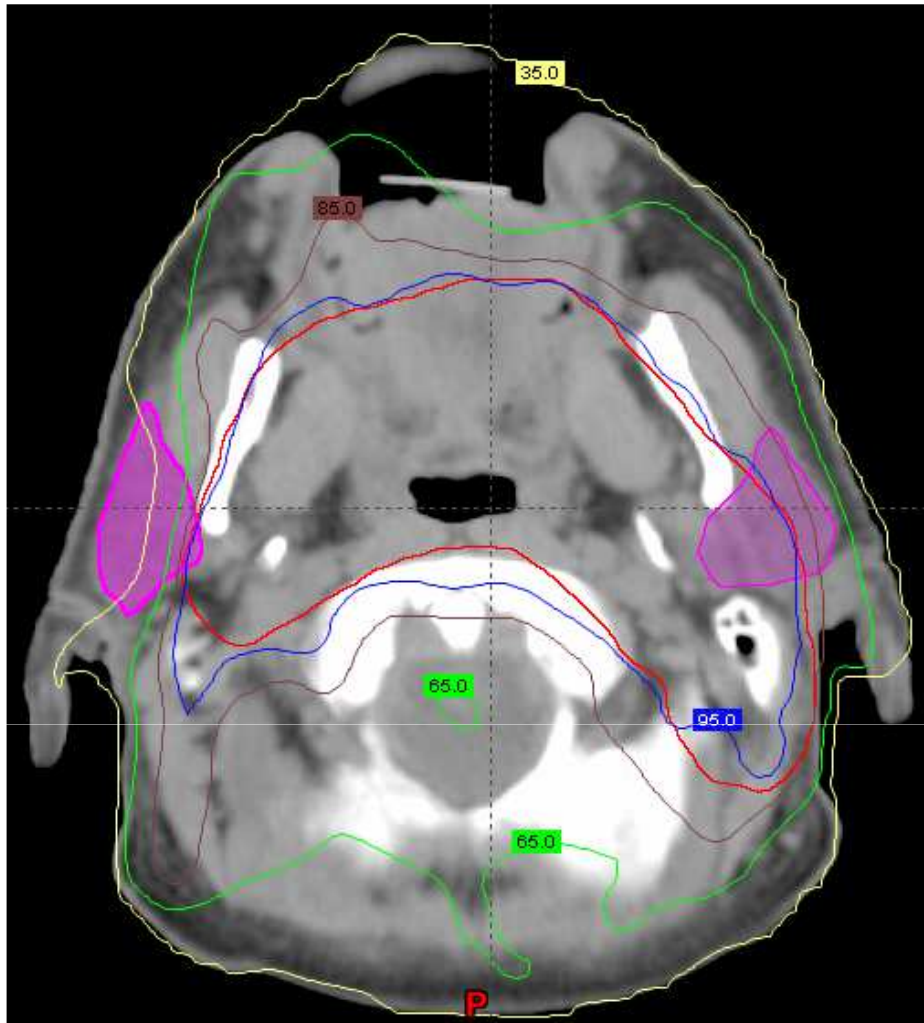
F

- Xerostomia
- Edema
- Swallowing

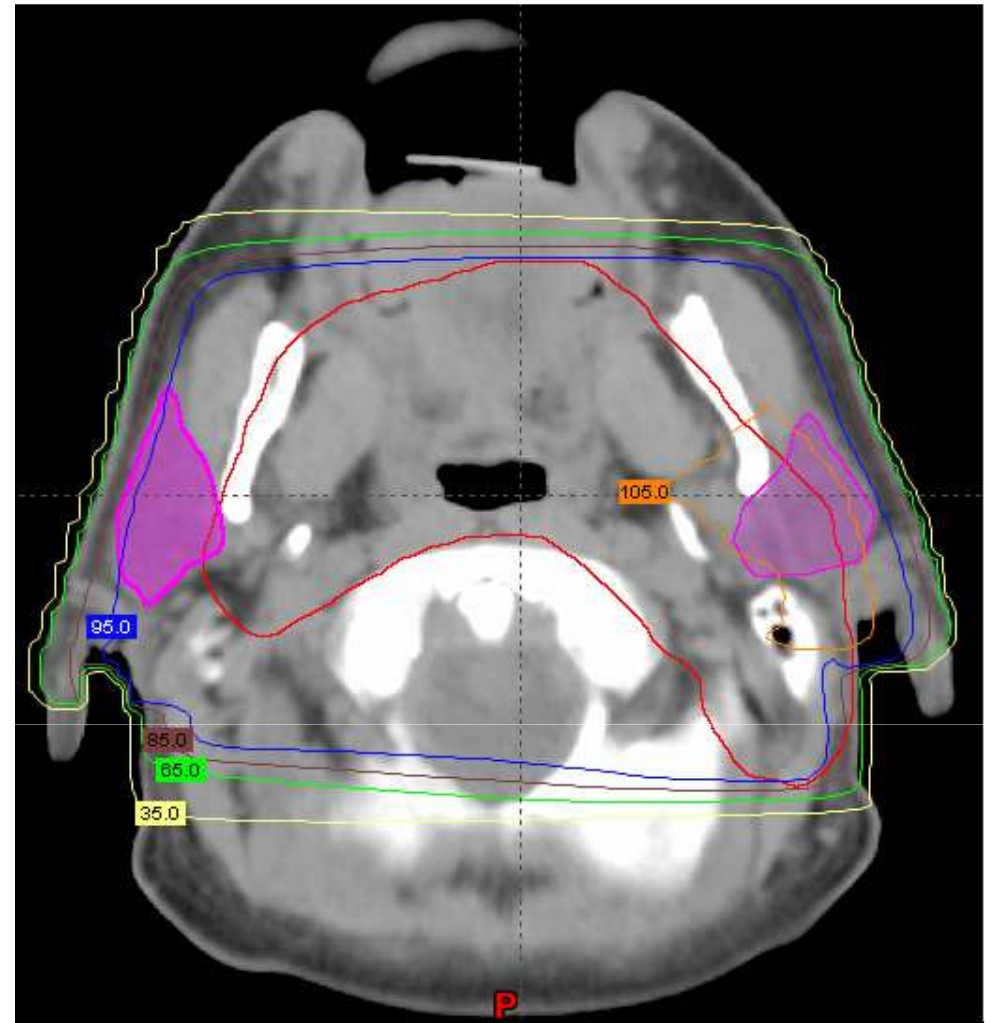
Parotid glands  
Larynx  
Pharynx/Mucosa



QUANTEC Supplement 2010



IMRT:  $D_{\text{mean}}$  (parotid dx)=18Gy  
 Parotid (pink) dose limit:  $D_{\text{mean}}$  26Gy



3DCRT  $D_{\text{mean}}$ (parotid dx)=42Gy

**BASELINE  
for parotid**



# Xerostomia - PAROTID GLANDS

- Outcomes:  
reduced salivary output, xerostomia  
alterations in speech and taste, nutritional problems, dental  
hygien
- Measure of the salivary flow: quantitative information about  
the secretory capacity of the glands
- Salivary gland: stimulated salivary production (60-70%)
- Submandibular and sublingual glands: unstimulated salivary  
production
- Subjective scoring and objective scoring mismatch  
(assessment by questionnaires interviews, patient diaries  
different from those performed with salivary gland flow rates,  
scintigraphic activity)

***Eisbruch et al, IJROBP 45, 3:577, 1999***

***Prospective study***

- 51 patients, parotid sparing bilateral neck RT  
(advanced head & neck)  
37 patients, unilateral neck RT (small lateral tumors)
- Saliva flow rates measured before and 1 year after RT
- Threshold mean dose to the glands for suppression of the salivary flow: 24 - 26 Gy

***Roesink et al, IJROBP 51, 4:938, 2001***

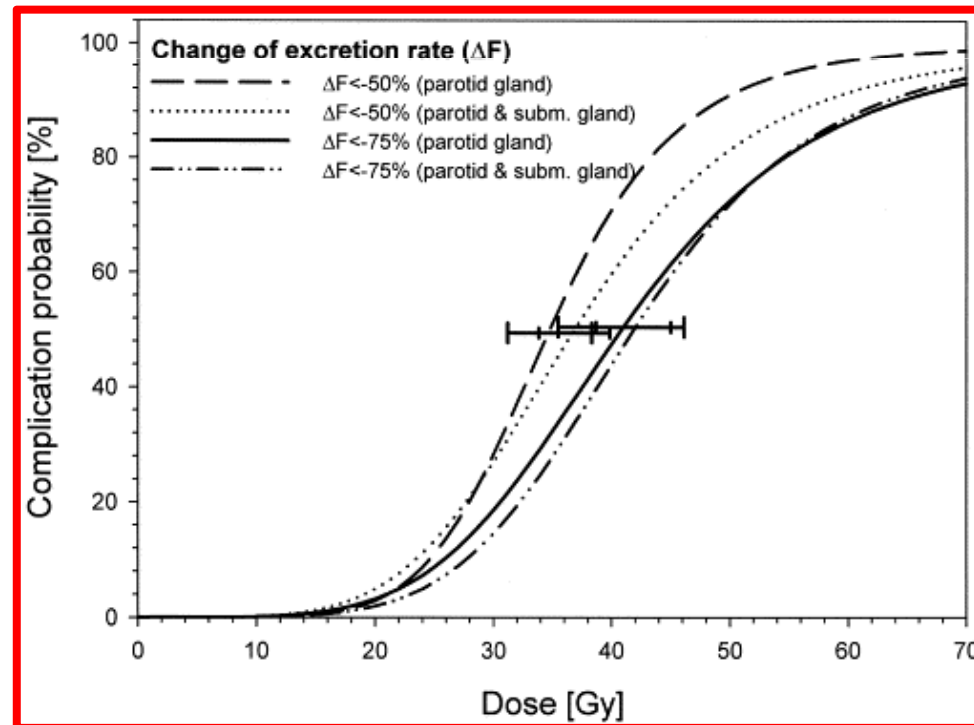
***Prospective study***

- 180 patients, 174 parotid glands
- Saliva flow rates measured before and after RT  
(6 weeks, 6 months, 1 year)
- Postradiotherapy saliva flow decreased with increasing irradiated volume to a mean dose between 35 and 45
- No threshold mean dose

## PAROTID GLANDS

---

- Large volume effects confirmed in several studies (Munter 2004, 2007, Dijkema 2008,2010)
- Reducing  $D_{\text{mean}} < 25\text{-}30$  Gy is not sufficient to reduce xerostomia



# Parotid glands – xerostomia

## NTCP description

LKB model	<i>TD50</i>	<i>m</i>	n
Emami (1991) No 3D - retrospect.	46 Gy	0.18	0.7
Eisbruch (1999) 88 pts – prosp.	28.4 Gy (25 – 34.7)	0.18 (0.10 – 0.33)	1 (fixed)
Roesink (2001) 180 pts – prosp. 95% CI	39 Gy (34 - 44)	0.45 (0.33 - 0.65)	1 (fixed)

**”early” modelling, large volume effect**

# PAROTID GLANDS – dose-volume recommendations for xerostomia < 20%

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Mean dose to one parotid gland < 20 Gy

Mean dose to both parotid glands < 25 Gy

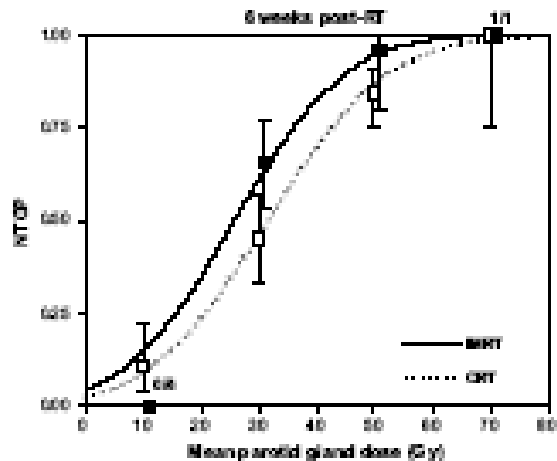
- ? Mean dose to submandibular gland < 35 Gy
- ? Threshold value, < 10 Gy

**Large volume effect** dependence, related to the parallel architecture of the glands

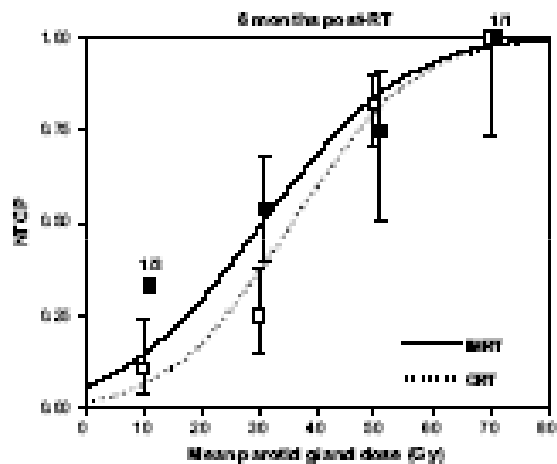
**QUANTEC recommendations**

# Parotids: other updates...

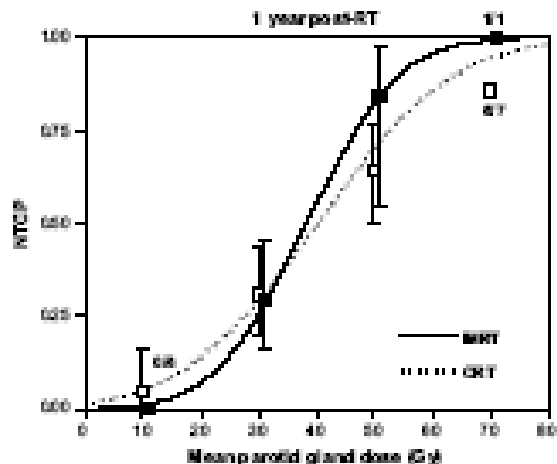
6w



6m



1y



- Utrecht data (221 pts), stimulated flow rates 6w, 6m, 1y.
- 3DCRT (n=157) vs IMRT (n=64)
- NTCP end point: reduction < 25% baseline
- **Different behaviour between IMRT and 3D**
- Spatial effects (different dose distribution @ same Dmean)...more important for early reactions

Dijkema et al 2008

# PAROTID GLANDS –

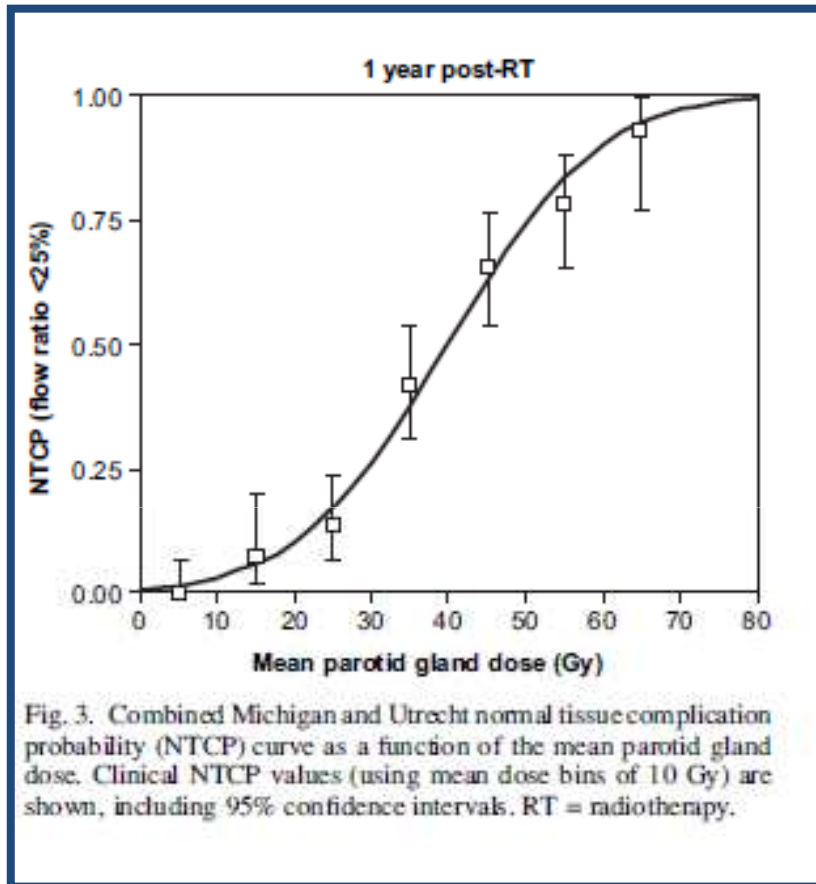


Table 4. Combined analysis: Parameters  $TD_{50}$ ,  $m$ , and  $n$  (95% CI) in terms of mean dose ( $n = 1$ ) and with the full LKB-model ( $n$ ) for flow data 1 year after radiotherapy

Parameter	Mean dose ( $n = 1$ )	Full LKB ( $n$ unrestricted)
$TD_{50}$ (Gy)	39.9 (37.3–42.8)	39.4 (33.8–41.8)
$m$	0.40 (0.34–0.51)	0.42 (0.36–0.58)
$n$	1	1.13 (0.75–14.3)
$\Delta$	339.2	340.6

Abbreviations: CI = confidence interval; LKB = Lyman-Kutcher-Burman model;  $TD_{50}$  = the uniform dose to the whole organ resulting in 50% complication probability;  $m$  = slope of the complication probability curve;  $n$  = volume dependency parameter. Goodness-of-fit is expressed as the deviance ( $\Delta$ ).

Utrecht & Ann Arbor data, 384 parotids,  
Stimulated flow rates 1 year after RT

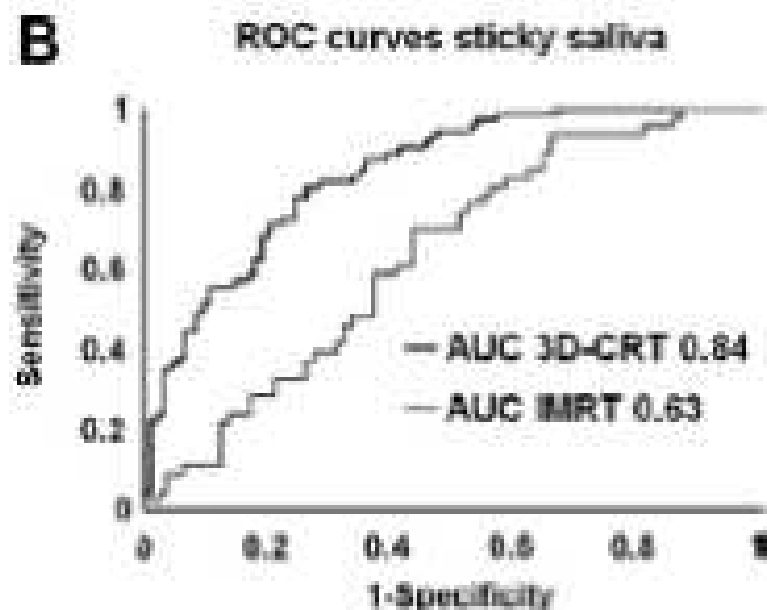
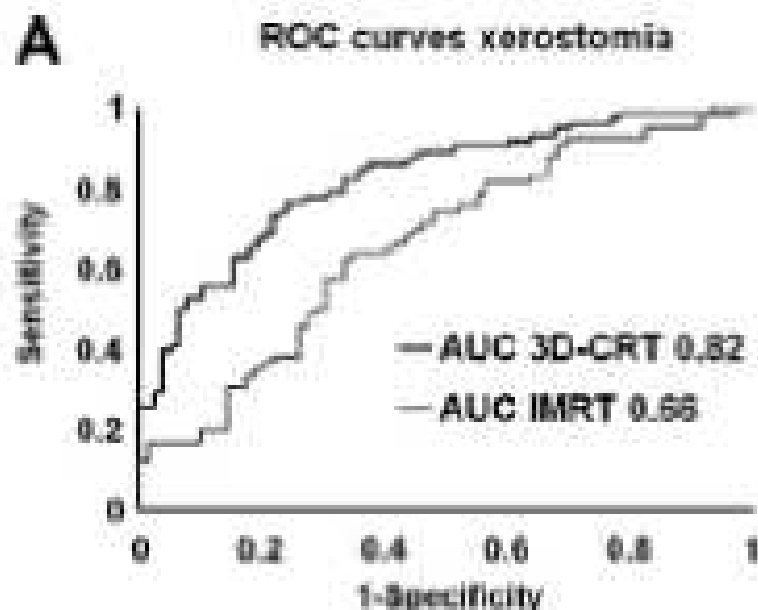
Endpoint: reduction < 25% baseline

**n=1, D mean model ok**

Dijkema et al, 2010

## Xerostomia: **spatial effects?** 3D vs IMRT

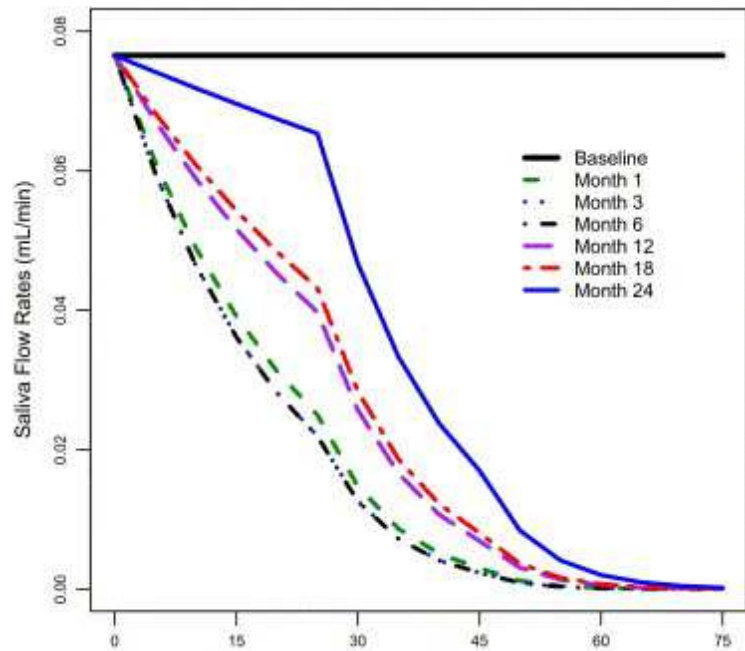
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- are there more sensitive sub regions?
- stem cells only seen in the excretory ducts (Feng 2009)
- selective sparing or stem cell transplanation to reduce xerostomia?



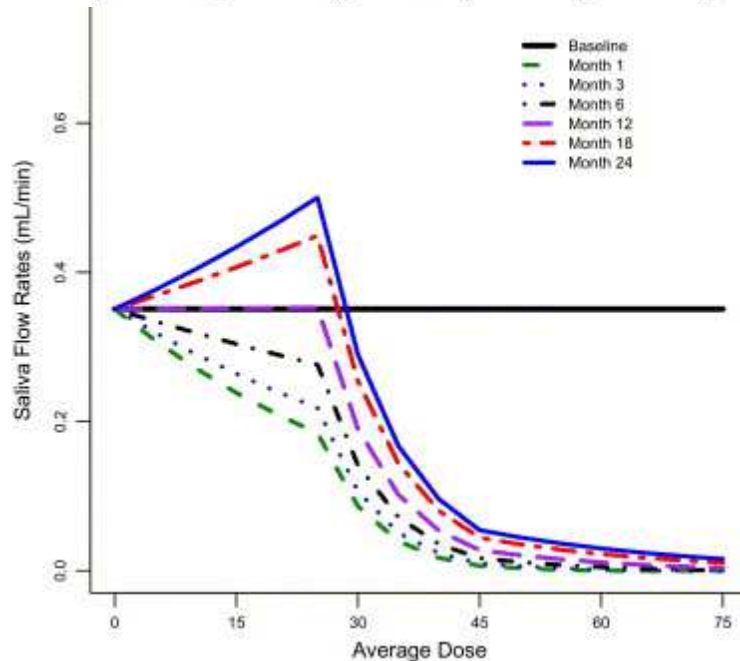
# Entity and speed of the recovery vs Dmean: a model



Unstimulated saliva  
flow rate

**Almost complete  
recovery at 12-24 months  
for Dmean < 25-30 Gy**

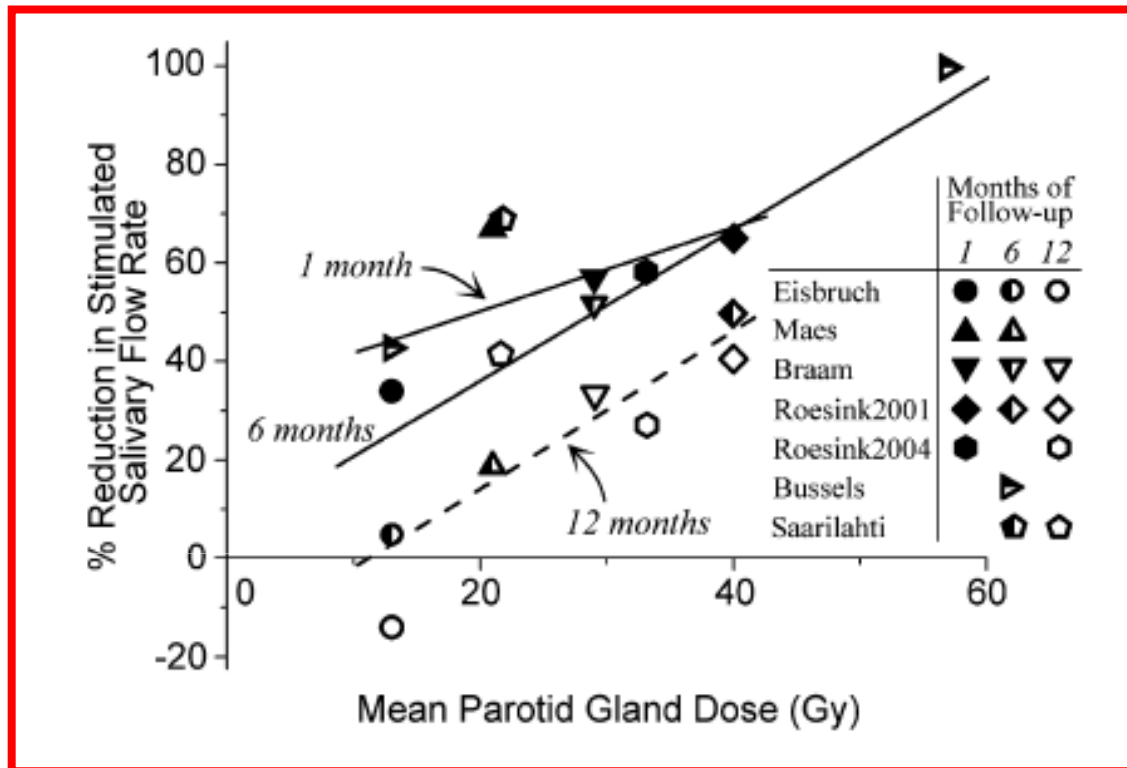
(Li 2007; 266 parotids; 142 pts)



Stimulated saliva  
flow rate

Courtesy C. Fiorino

# Xerostomia– time aspects



Relevance of the time of evaluation of the endpoint

- Outcome data (reduction in salivary flow) and sampling time
- @ 1month: almost independent of mean dose
  - shift to right: higher mean dose with longer follow-up

## PAROTID GLANDS –shrinkage and CT density

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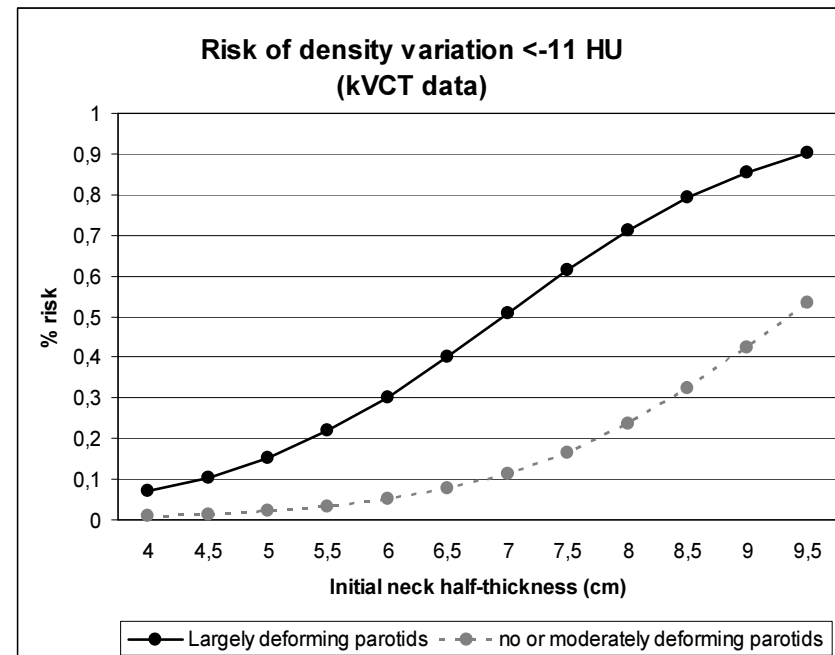
84 pts, (Fiorino *et al*, RO,2012), parotid reduced during IMRT

parotid deformation and average Hounsfield number changes evaluated through MVCT or kVCT diagnostic at treatment start

individual  $\Delta$ HU highly correlated with degree of local deformation in terms of volume change and with neck thickness variation

individual assessment of density changes reliable with kVCT

*density changes to score toxicity?*



See also Broggi *et al* 2010

# What is the best measure of xerostomia?

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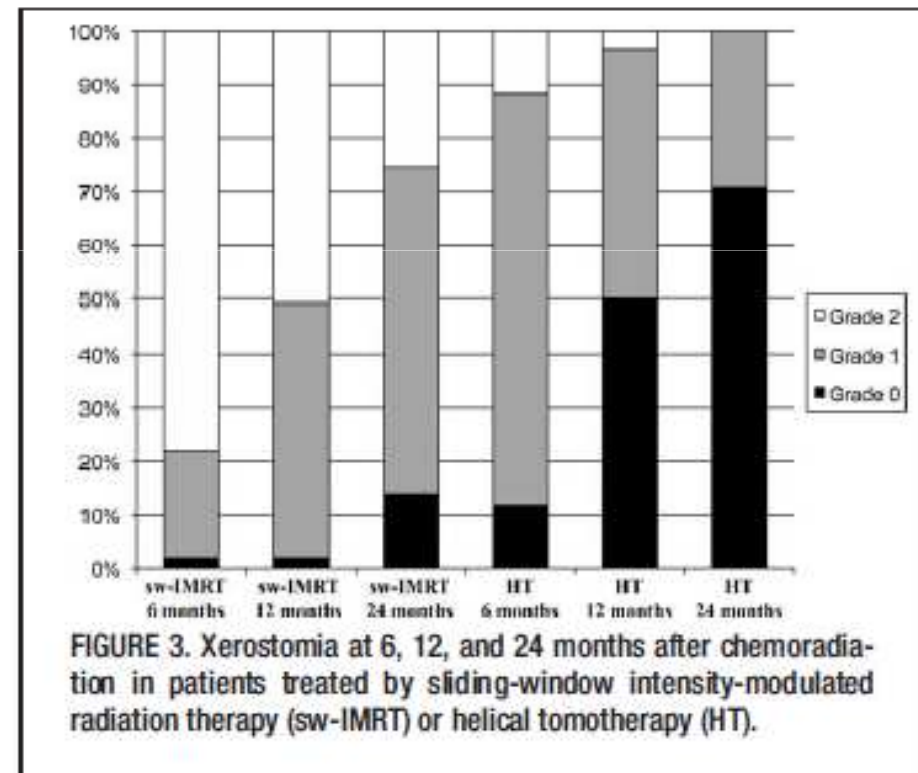
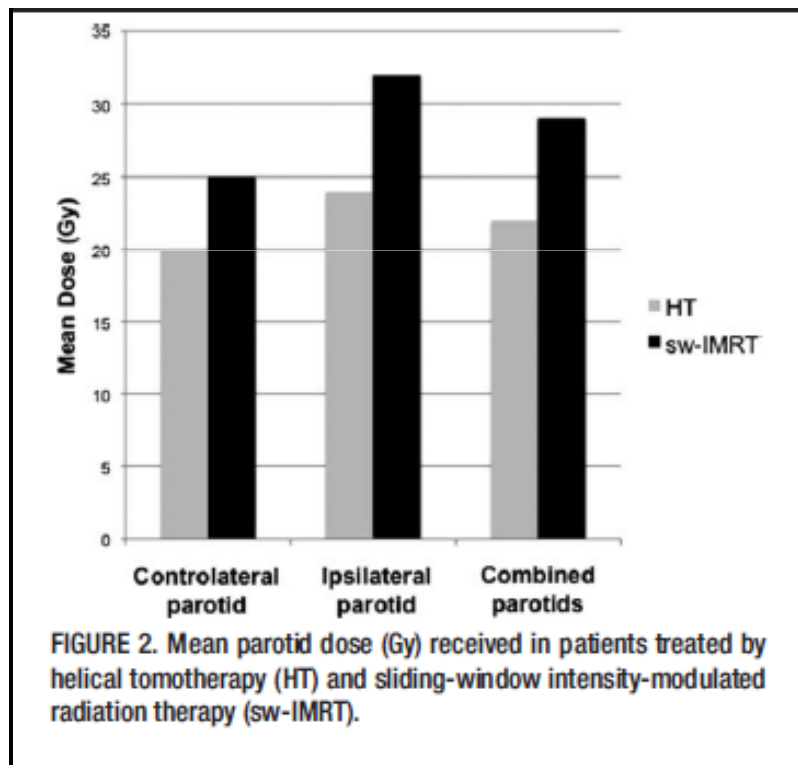
- Does the tolerance dose of parotid glands differ between subjective measures, objective measures and patient reported outcomes of xerostomia?

(data from the first multicenter randomized study of IMRT and conventional RT)

- Patient reported outcomes results are associated with a higher tolerance dose (D50= 33 Gy)
- LENT-SOMA subjective xerostomia is the most useful clinical measure of salivary function (easily measured and strongly associated with the dose-response of the parotid glands)

# Less xerostomy (RTOG scale) with tomotherapy than with sliding window IMRT

- 119 pts, oropharyngeal ca, concurrent chemo radio: 59 pts sw-IMRT, 60 pts HT



Ipsilateral parotid mean dose – HT 24 Gy; IMRT 32 Gy  
Controlateral parotid mean dose – HT 20 GY, IMRT 25 Gy

Fortin *et al*, 2013

# Validation of QUANTEC guidelines for xerostomia

---

- Lee F et al, R&O, 2013
- 238 pts, EORTG QoL questionnaires
- Validation of LKB parameters,

- Beetz I et al, Acta Oncologica, 2014
- 307 pts, chemoradio, 3D CRT (56%), IMRT (44%)
- Significantly **lower rates of xerostomia** in pts treated according to **guidelines**, but the criteria do not completely protect against xerostomia

- Moiseenko et al, IJROBP 2012
- 66 pts., flow measurements, gr 4 xerostomia; @3 mths, flow decreases to <25% than pretreatment
- Clinical use of the "20 Gy spared-gland rule" is justified

# LARYNX AND PHARYNX irradiation

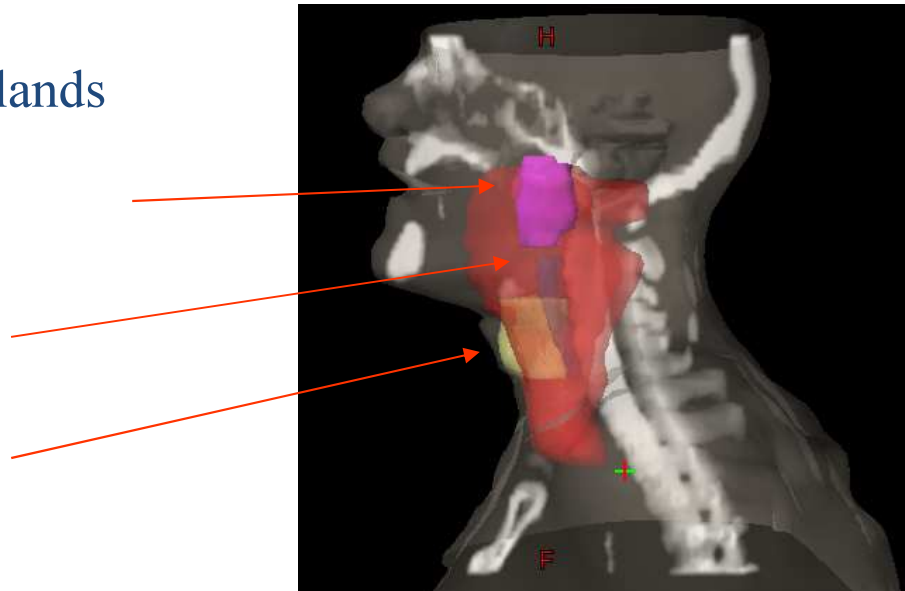
(1/4)

- RT advantage: larynx preservation, implying speech and swallowing retention
- RT damage: laryngeal edema/fibrosis, leading in long term to problems in speech and swallowing retention
- Larynx (and pharynx): often partially included in the target

Parotid glands

Pharynx

Larynx

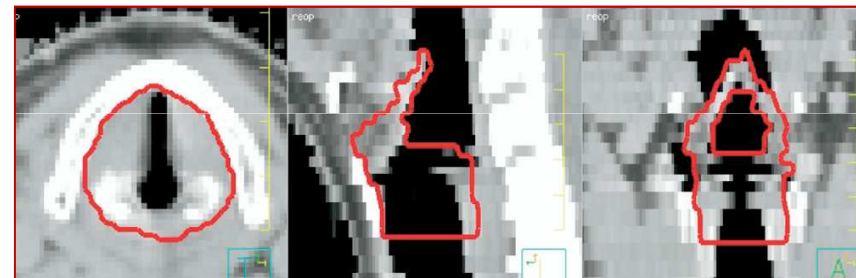
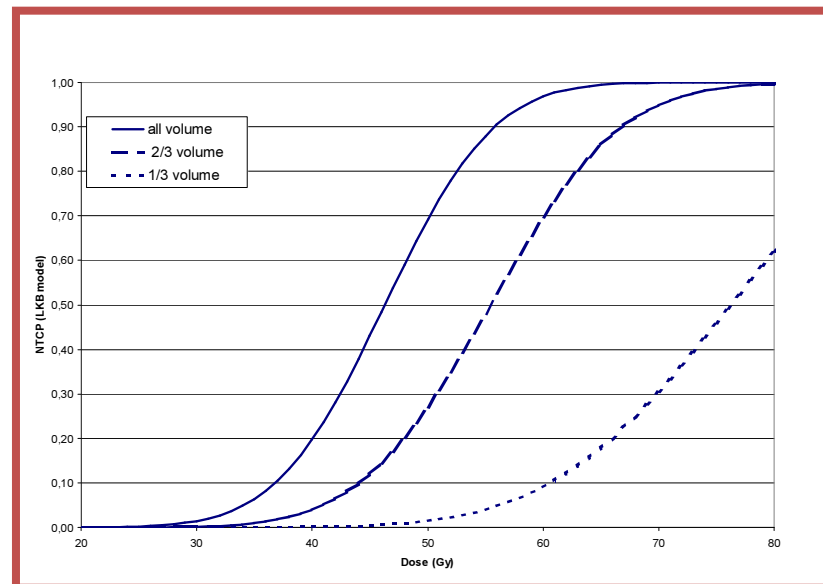


- Larynx/edema gr 2: 66 pts, videofluoroscopy assessed, fu=17.4m  
mean dose or V%> 50 Gy  
& neck stage (multivariate -  
Sanguineti *et al*, IJROBP 2007)  
  
V50<27%; mean dose < 43.5 Gy (EQD2)
- Larynx/vocal disfunction: complex physiology  
>66 Gy (prescription): decrease in function  
but not 3D data (Dornfeld *et al*, IJROBP 2007)
- Risk factors: concurrent chemo to high dose RT  
≥double risk of laryngeal edema and  
vocal dysfunction



LOGEUD	$TD_{50}$	$k$	
Rancati (2009)	$46.0 \pm 1.8$	$9.95 \pm 3.46$	

LKB	$TD_{50}$	$m$	$n$
Rancati (2009)	$46.3 \pm 1.8$	$0.16 \pm 0.05$	$0.45 \pm 0.028$

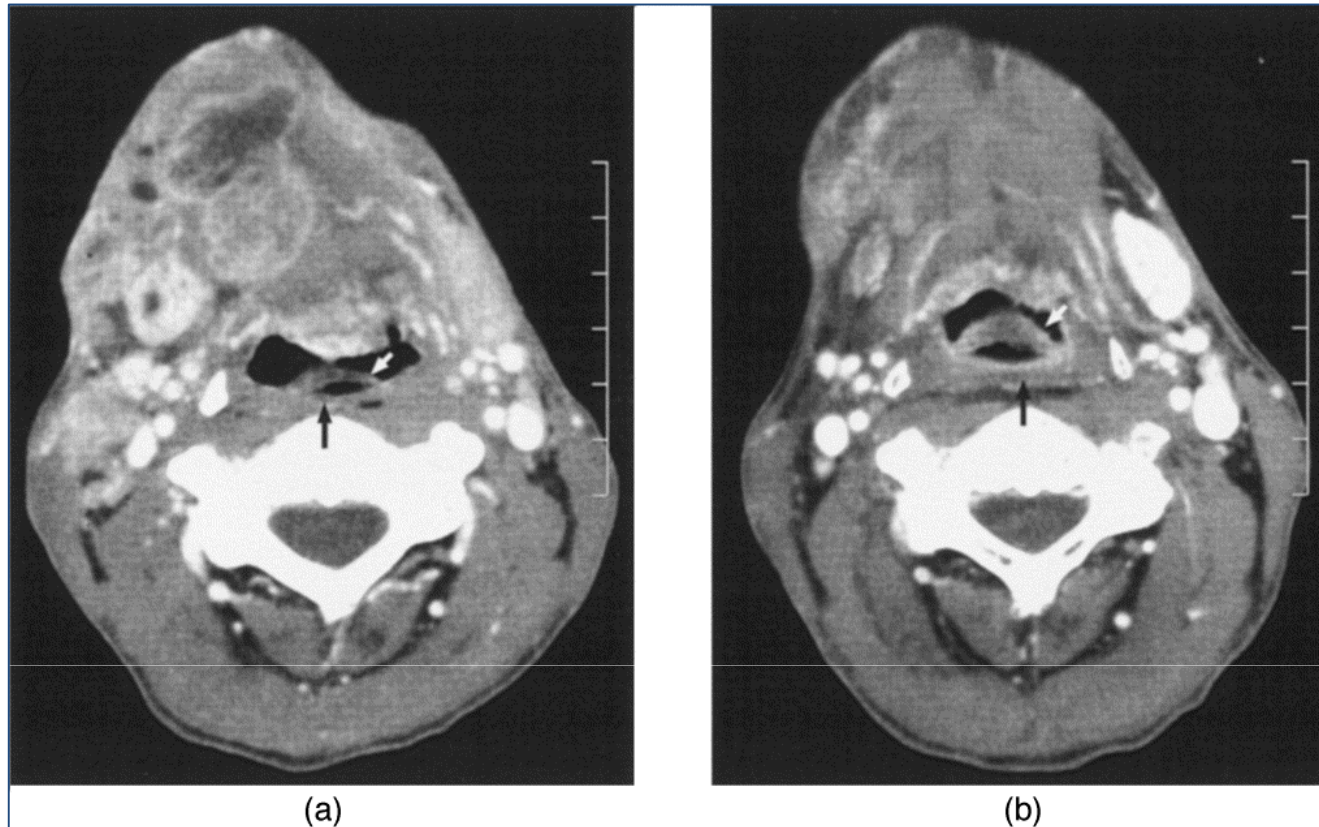


### Laryngeal edema:

- Large volume effect  
EUD < 30-35 Gy (n=0.45)
- $V_{50} \leq 27\%$ ;  
mean dose  $\leq 44$  Gy

Rancati et al *IJROBP* 2009

Larynx is parallel for edema



Black arrow, middle pharyngeal constrictor  
white arrow, epiglottis....

before (a) and 3 months after treatment (b)

# DYSPHAGIA

---

- Organs: supraglottic larynx and constrictor muscles
- Endpoints: aspiration and deglutition
- **Feng et al, 2006 (ASTRO):** evidence of a quantitatively assessed **dose-volume effect** for dysphagia & aspiration (36 pts)
- **Aspiration: mean dose & V50-V65 to pharyngeal constrictors, supraglottic larynx - correlated.**

**Most predictive: V65(PC)<50%**

# Validation of QUANTEC guidelines for dysphagia

---

- Anderson NJ *et al*, Acta Oncologica 2014
- 76 pts, advanced squamous cell ca,
- **QUANTEC larynx** recommendations: **V50 < 27 Gy, D mean < 44 Gy**
- Acute dysphagia toxicity, weekly measurements, prospectively scoring
  
- V50 < 27 Gy, Dmean > 40 Gy, Dmax < 66 Gy predicted for grade 3 dysphagia
- no clinical risk factors significantly predicted for gr. 3 dysphagia

**Usefulness of QUANTEC criteria in predicting acute toxicity**

# Xerostomia and dysphagia after IMRT

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Morbidity of head and neck RT

Late dysphagia after IMRT for head and neck cancer and correlation with dose-volume parameters

Hanna R. Mortensen<sup>a,\*</sup>, Kenneth Jensen<sup>a</sup>, Karin Aksglæde<sup>b,c</sup>, Marie Behrens<sup>d</sup>, Cai Grau<sup>a</sup>

Prospective study, 294 pts, IMRT 2006-2010

EORTC QoL-C30, H&N 35 questionnaires

65 pts: also objective measurements

- QoL data: low degree of dysphagia compared to objective measures
- Both subjective and objective measurements of late dysphagia correlated with **dose to PCM**, QoL points correlated with **DVH parameters in the glottis/supraglottic larynx**

**Both xerostomia and dysphagia reduced**  
**after introduction of IMRT**

# PHARYNX irradiation - QUANTEC

Table 3. Organs at risk and dose-volume relationship above which swallowing dysfunction increases significantly

Investigator/patients (n)	Critical organs	Dose-volume data					Endpoint	Evaluation method
		Mean dose (Gy)	Median dose (Gy)	V <sub>50</sub>	V <sub>60</sub>	V <sub>65</sub>		
Eisbruch <i>et al.</i> (13),	Larynx	60		50%	—	—	Aspiration	VF
Feng <i>et al.</i> (14)/36 patients	PC	66		80%	70%	50%	Aspiration	
IMRT + chemotherapy	PC			85%	70%	60%	Stricture	
Caglar (19)/96 patients	Larynx	48*		21%			Aspiration and stricture	VF
IMRT + chemotherapy	IC	54		51%				
Doomaert <i>et al.</i> (18)/81 patients	Pharyngeal mucosa and constrictors	45					QOL	RTOG/EORTC C30 and H/N 35
RT + chemotherapy								
O'Meara <i>et al.</i> (20)/148 patients	Pharyngoesophageal inlet		50				Grade 3 plus pharyngoesophageal dysfunction	RTOG late Toxicity
2D-RT plus chemotherapy								
Levandag <i>et al.</i> (15)/81 patients	Superior and middle constrictors	55					Grade >3 EORTC PSS-HN MDADI	RTOG QOL
3D-CRT/IMRT plus brachytherapy + chemotherapy								QOL
Domfeld <i>et al.</i> (7)/27 patients	Aryepiglottic fold	50					Diet score	QOL
IMRT + chemotherapy	False cord						HN QOL	Clinical assessment
	Lateral pharyngeal						Weight loss	
	Wall near false cord						PEG tube	
Jensen <i>et al.</i> (16)/25 patients	Larynx/upper esophageal sphincter	60					Aspiration	EORTC QOL
3D-CRT							QOL	FEES
RT alone								

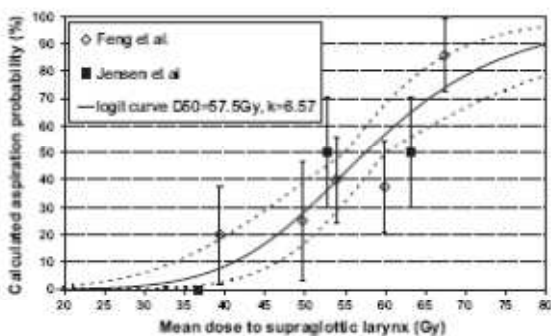


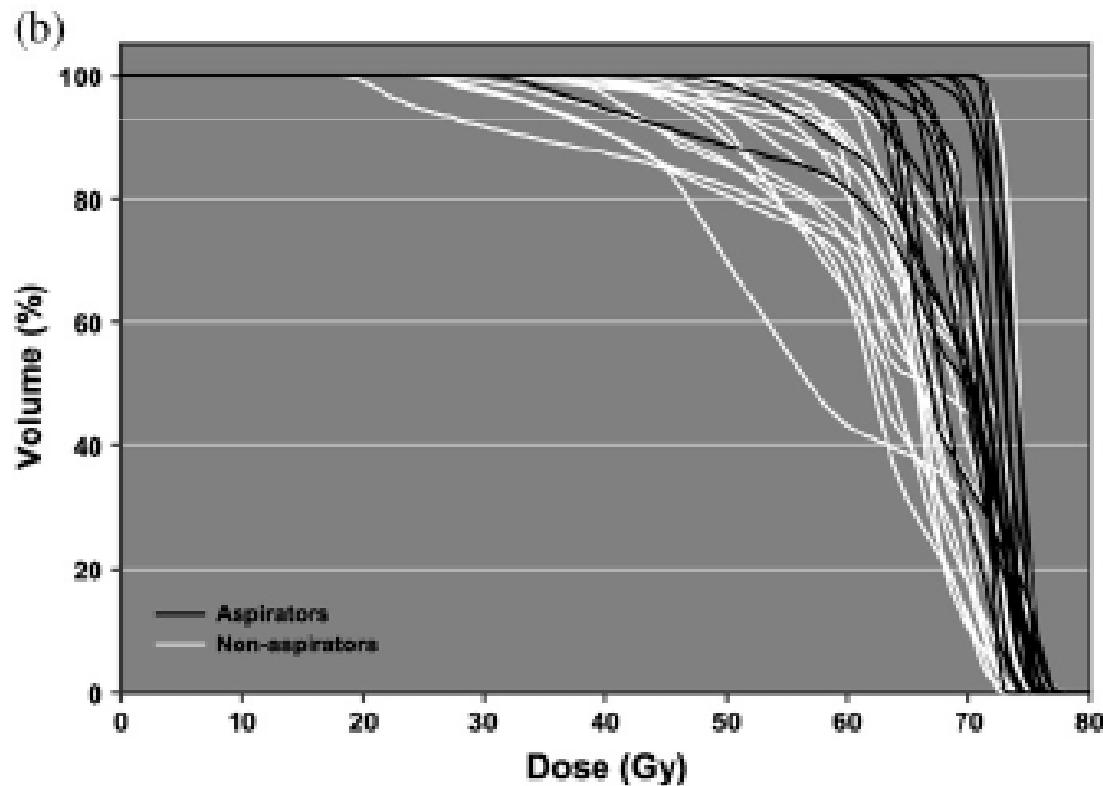
Fig. 1. Dose-effect relationship for dysphagia according to data from Feng *et al.* (14) and Jensen *et al.* (16). Solid line fit to combined data; dotted line fit to 68% confidence area for normal tissue complication probability-logit curve.

- **Data: Mean dose < 50 Gy < 20% incid.**
  - **Recommendations: minimize volume of the constrictors >60 Gy**
- reducing volume receiving > 50 Gy**

# SWALLOWING: Pharyngeal Constrictors and Supraglottic larynx

*IMRT of HN cancer aiming to reduce dysphagia: early dose–effect relationships for the swallowing structures*

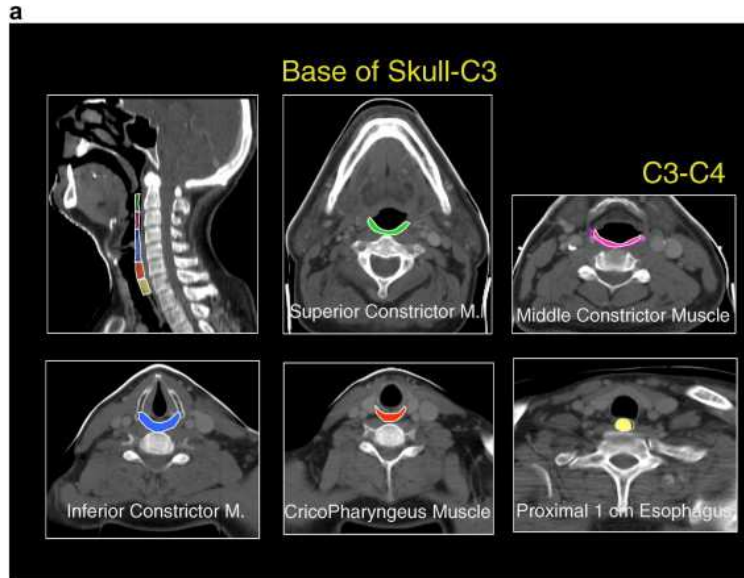
(Feng *et al.* IJROBP 2007)



Superior PC most predictive

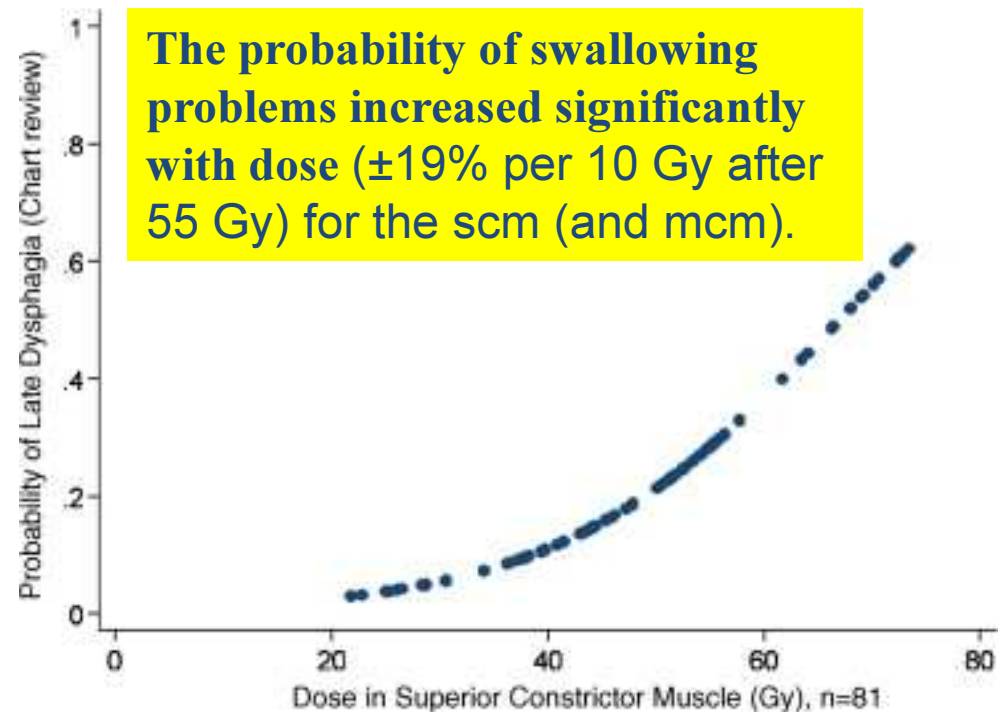
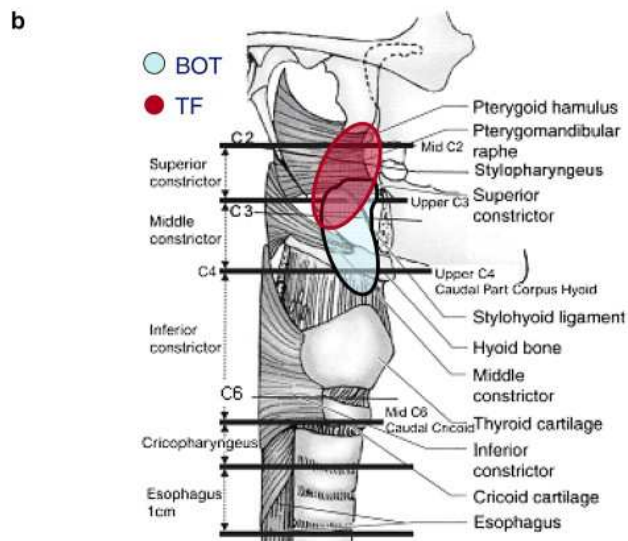
DVHs for the pharyngeal constrictor in patients with stricture (black) or without stricture (white).

# SWALLOWING: dose to superior and middle constrictor muscles



Dysphagia disorders in patients with cancer of the oropharynx are significantly affected by the radiation therapy dose to the superior and middle constrictor muscle: A dose-effect relationship

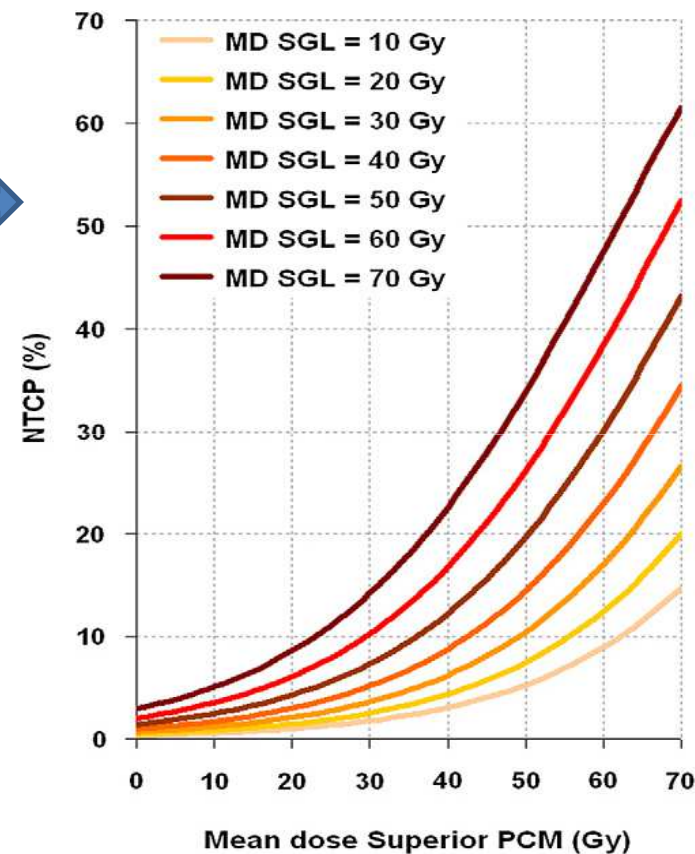
(Levendag, RO, 2007)





# SWALLOWING: predictors

- Christianen *et al*, 2011, prospective study, 354 pts
- Toxicity related to mean dose to superior pharyngeal constrictors and supraglottic larynx
- Age, previous symptoms, CHT
- **Oral mucosa irradiation**  
(Schwartz 2011, Sanguineti 2011)
- **PEG tube insertion** (Percutaneous endoscopic gastrostomic)  
(Caudell 2010, Sanguineti 2011)



ALL PATIENTS

NTCP curves for SWALM6 for each 10 Gy increase in dose to the supraglottic larynx.

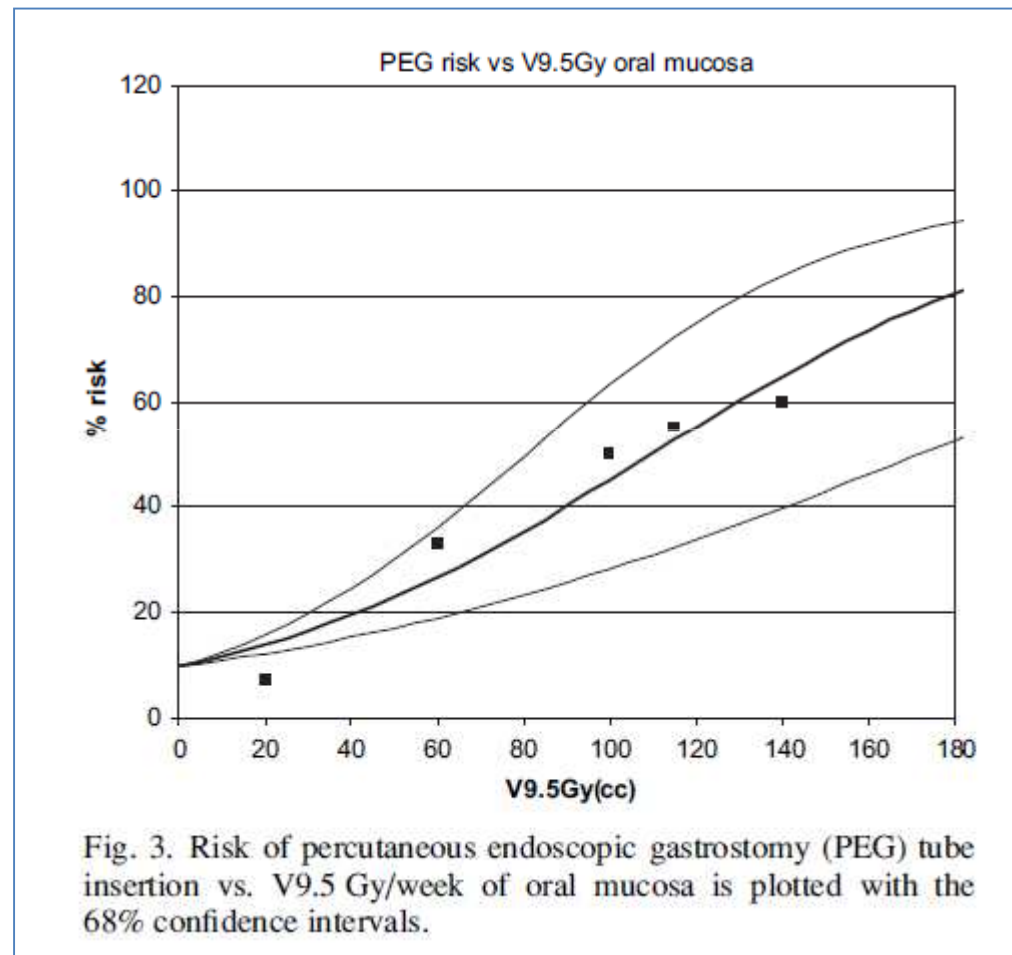
# SWALLOWING: oral mucosa irradiation/ risk of PEG (Sanguineti *et al*, 2011)

Cut off values:

**V 9.5 Gy/week < 64 cm<sup>3</sup>**

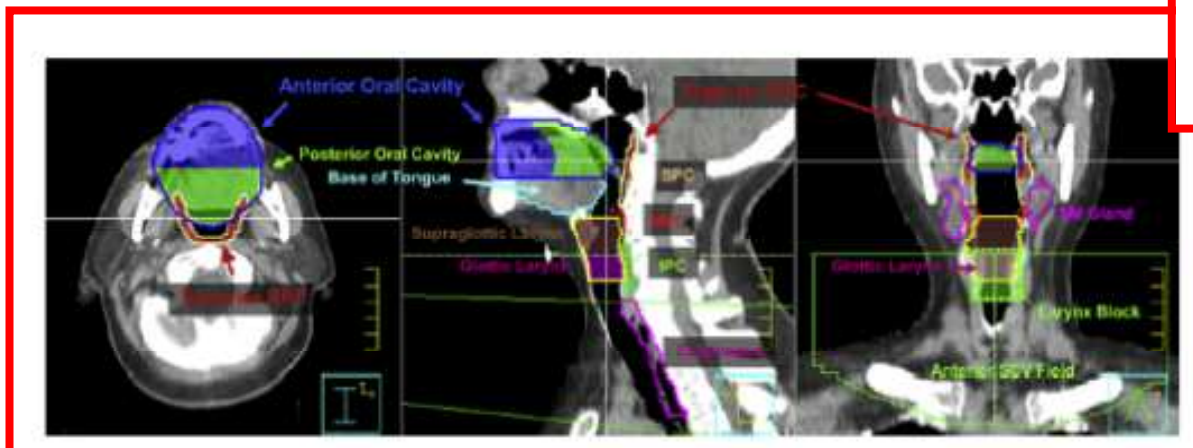
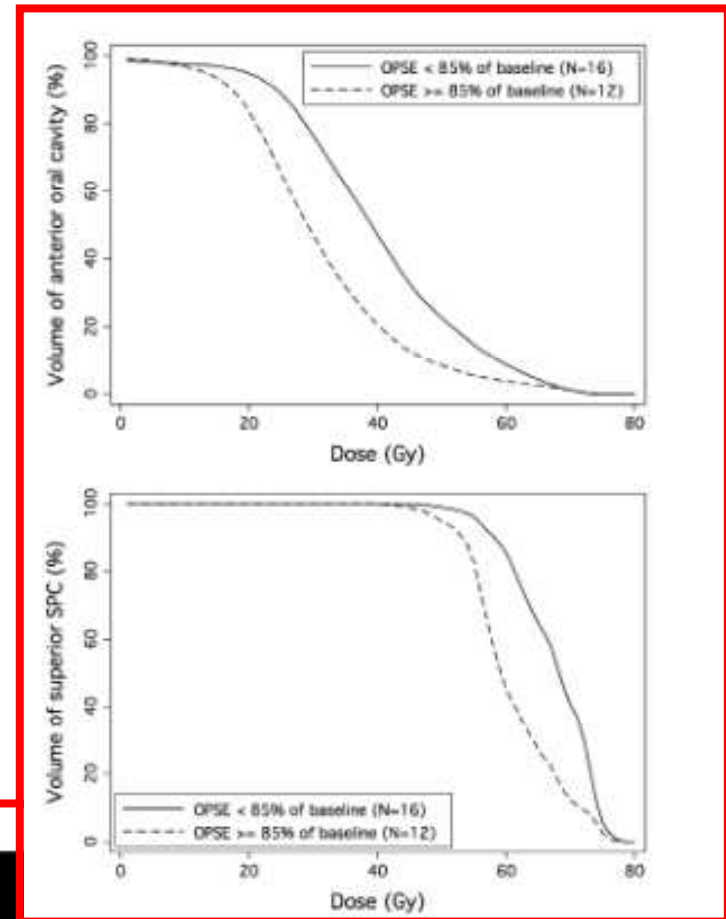
**V10 Gy/week < 54 cm<sup>3</sup>**

Multivariate analysis:  
risk of PEG reduced when Oral  
Mucosa receives:  
**V 9.5 Gy/week < 64 cm<sup>3</sup>**



# Confirming Oral Mucosa DVH related to late swallowing problems

- 31 IMRT pts, IV stage Oro cancer, no CHT (MDA)
- Prospective assessment of swallowing problems
- **Anterior and posterior oral mucosa DVHs as best predictors**
- Constrictors dose also correlated



Schwartz *et al.* 2011

Courtesy of C Fiorino

# Summary: HN dose-volume relationships - Xerostomia

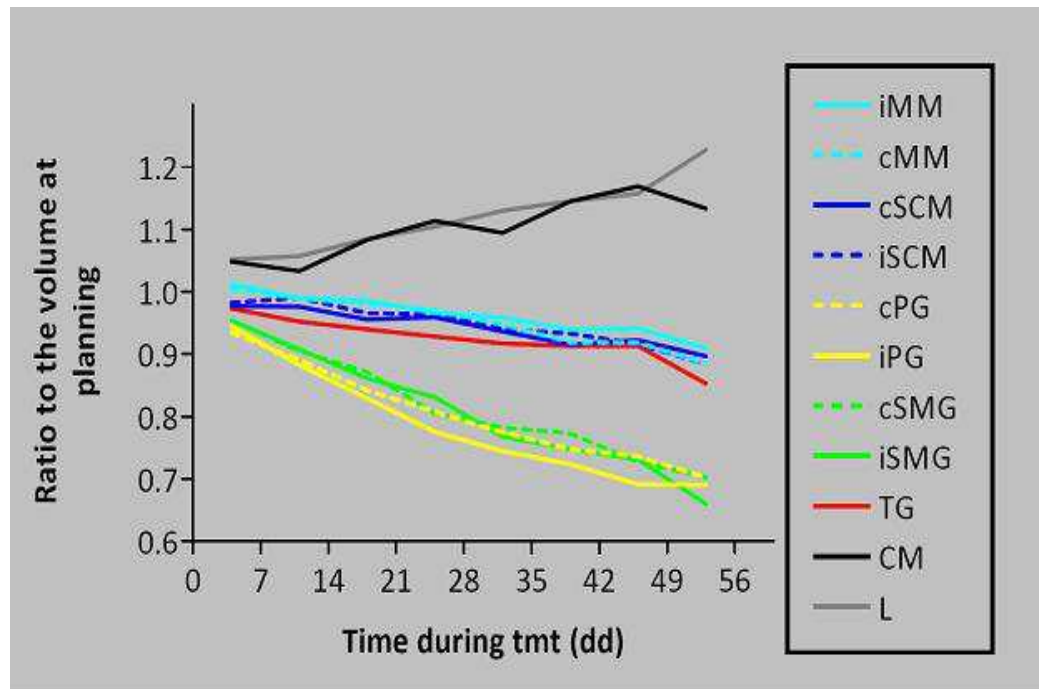
- Consensus : parotid as a parallel organ
- Mean dose, V20-40 best predictors
- Faster recovery of the damage with IMRT
- Different Mean dose vs xerostomia curves between 3DCRT and IMRT pts: spatial effects (?)
- Image-based assessment of early reactions (volumes, density, ....): high potential for better scoring and understanding radiation-induced parotid changes

## Summary: HN dose-volume relationships – Disphagia, Larynx edema

- Increasing evidence of **dose-volume effects for dysphagia** (especially sup. constrictor, larynx)
- Dose-volume effect for Oral mucosa and constrictors in predicting **PEG risk/swallowing problems**
- Impact of **CHT**
- NTCP model available for **laryngeal edema**
- **Conformal avoidance approach with IMRT may reduce toxicities** (even without quantitative dose-volume relationship)

# Volume changes during RT imaged by IGRT to assess normal tissue effects

- Volume variation of parotids and other organs during IMRT for HN cancer



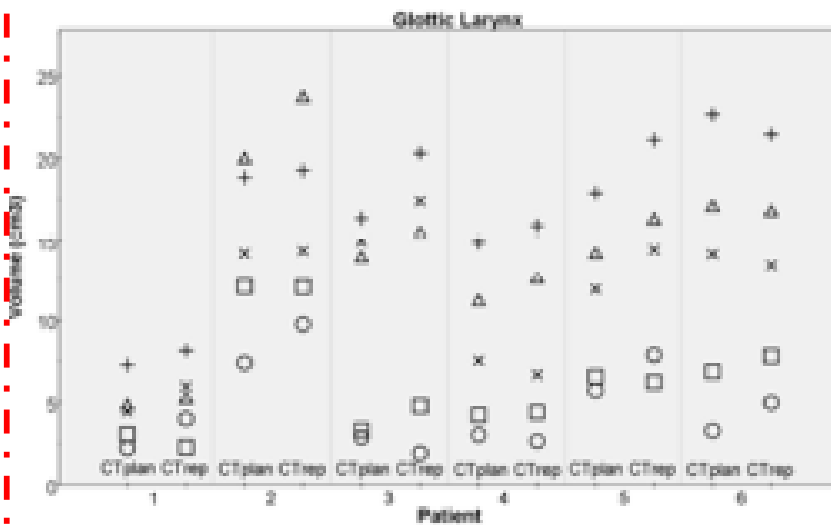
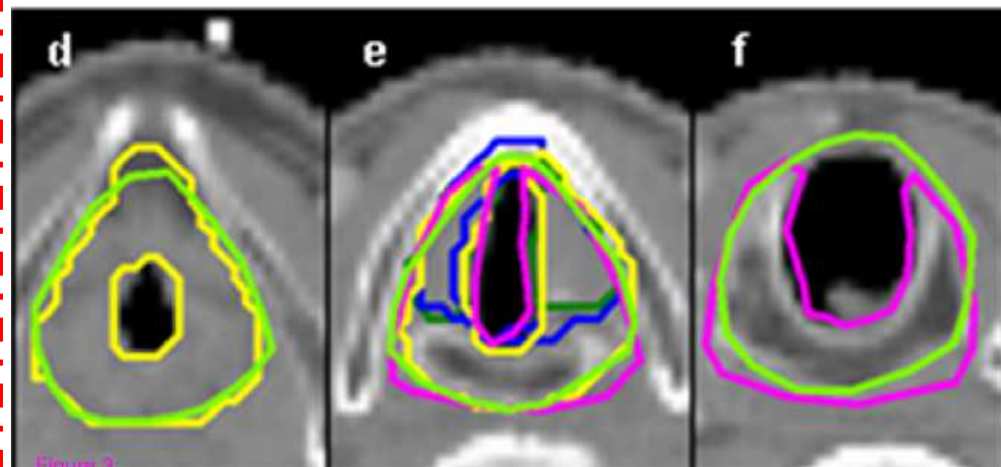
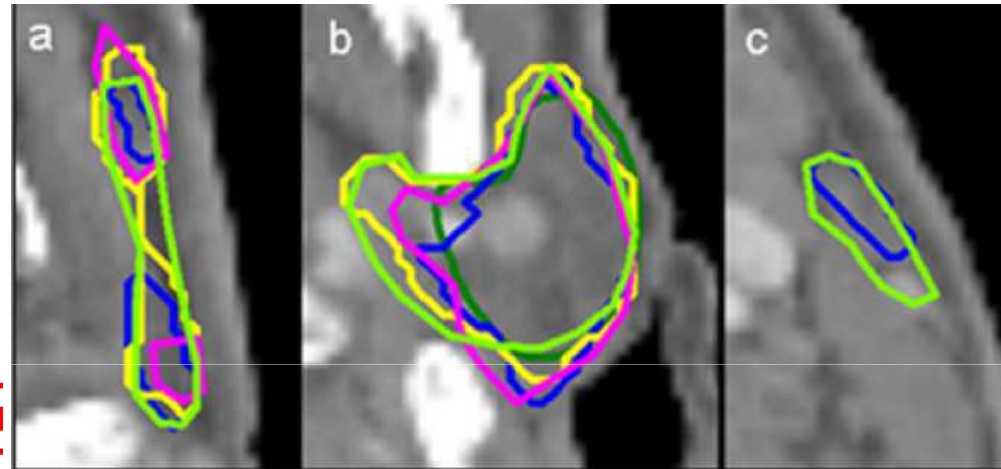
PG: parotid glands  
SMG: submandibular glands  
**TG: thyroid gland**  
CM: constrictor muscles  
SCM: sternocleidomastoid muscles  
**MM: masticatory muscles**  
L: larynx  
i=ipsi, c=contro

# 3D Variation in delineation of head and neck organs at risk

*Radiation Oncology* 2012, **7**:32 doi:10.1186/1748-717X-7-32

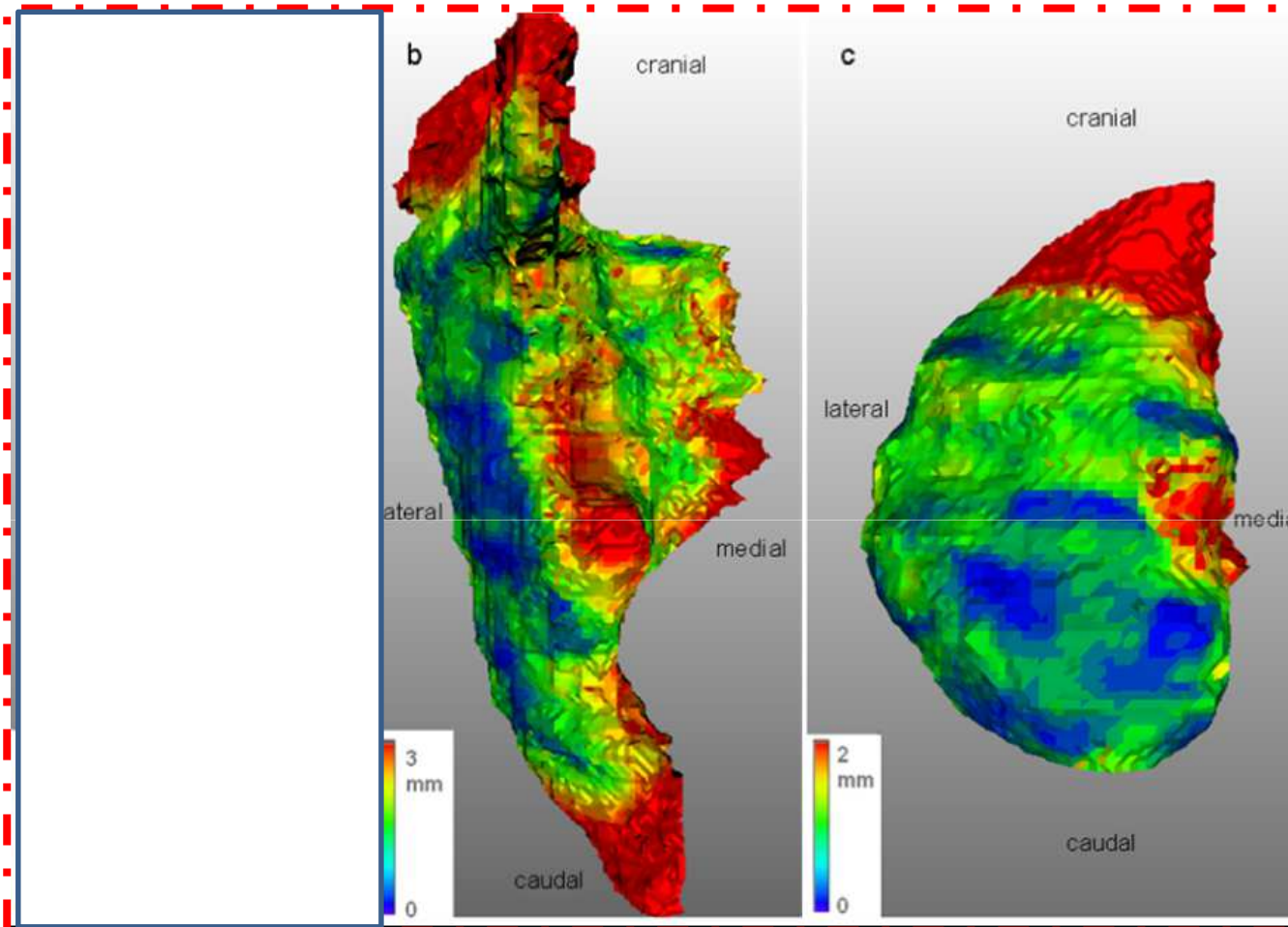
Brower et al

To study the magnitude and 3D localization of interobserver variability in the HN area



a) Larger interobserver variability for the glottix larynx

**cranial, caudal and medial regions of the OAR showed larger interobserver variabilities**



**3D Standard Deviations for a patient**

**-Right parotid  
-Right submandibular gland**

- parotid glands: image resolution in cc direction
- submandibular gland: poor discrimination in contrast from adjacent tissues

**Brower et al**



# Changes in anatomy over the course of treatment

---

- 10 H&N – oropharyngeal ca pts, IMRT
- Three radiation oncologists delineating OARs, three contouring sessions one week apart
- Mean difference in total volume for each OAR was 1 cm<sup>3</sup> for each OAR.
- Considerable spatial differences in contours, ipsilateral parotid and pharyngeal constrictors showing most variability.
- **Despite substantial differences in OAR contours, optimization was barely affected with less than a 1 Gy difference in dose (Feng *et al*, 2012)**

# Changes in anatomy over the course of treatment

---

- 10 H&N pts, IMRT
- CTVs and OARs delineated on CBCT at the 10th, 15th, 20th, 25th treatment session and then compared with the planning CT
- A statistically significant increase of larynx volume at the 20th and 25th CBCT was observed
- The main benefit of replanning could be to preserve parotis, not to reevaluate the target
- **Check point at the 3rd week of radiotherapy in this pts cohort** (Cozzolino *et al*, 2014)

# Changes in anatomy over the course of treatment

- 10 H&N – oropharyngeal ca pts, IMRT
- CBCT prospectively acquired weekly, OARs dose/volume compared to the planned CT
- Evaluation of the use of KV CBCT for dose monitoring and examine if dosimetric impact of such changes on the parotid and OARs require replanning
- Patient weight loss (mean:7.5 kg) and parotid volume shrinkage was observed, but no significant excess dose to OAR
- **No need for replanning in this patient cohort** (Ho *et al* 2011)

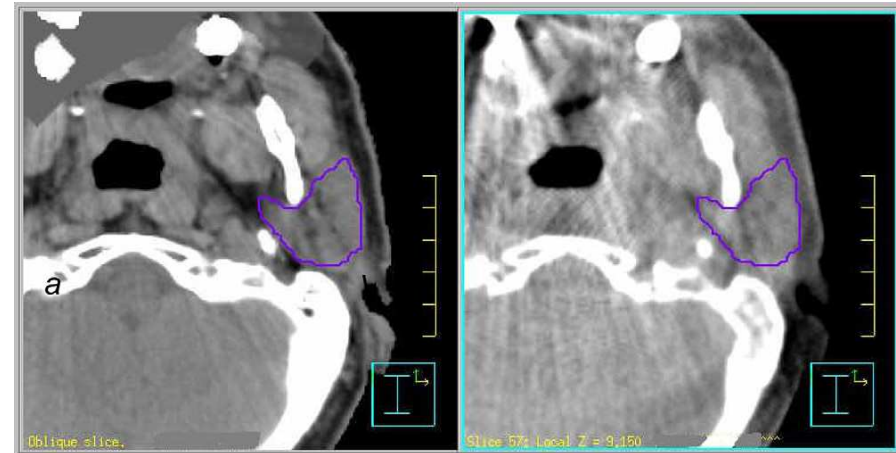
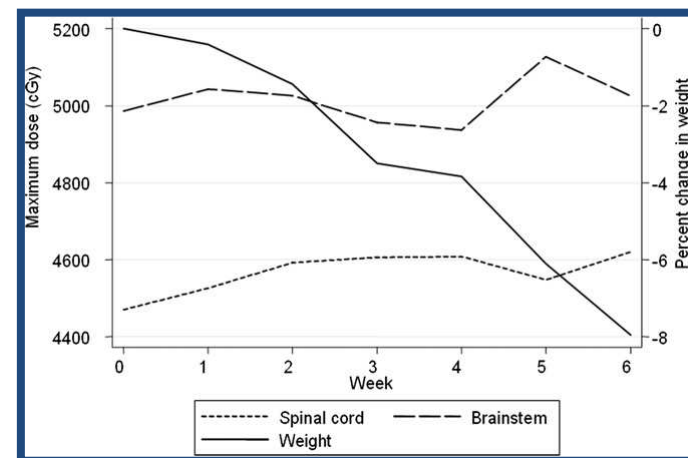


Fig. 1. Contouring parotid on cone beam computed tomography (CBCT) (b) using planning CT (a) as reference.



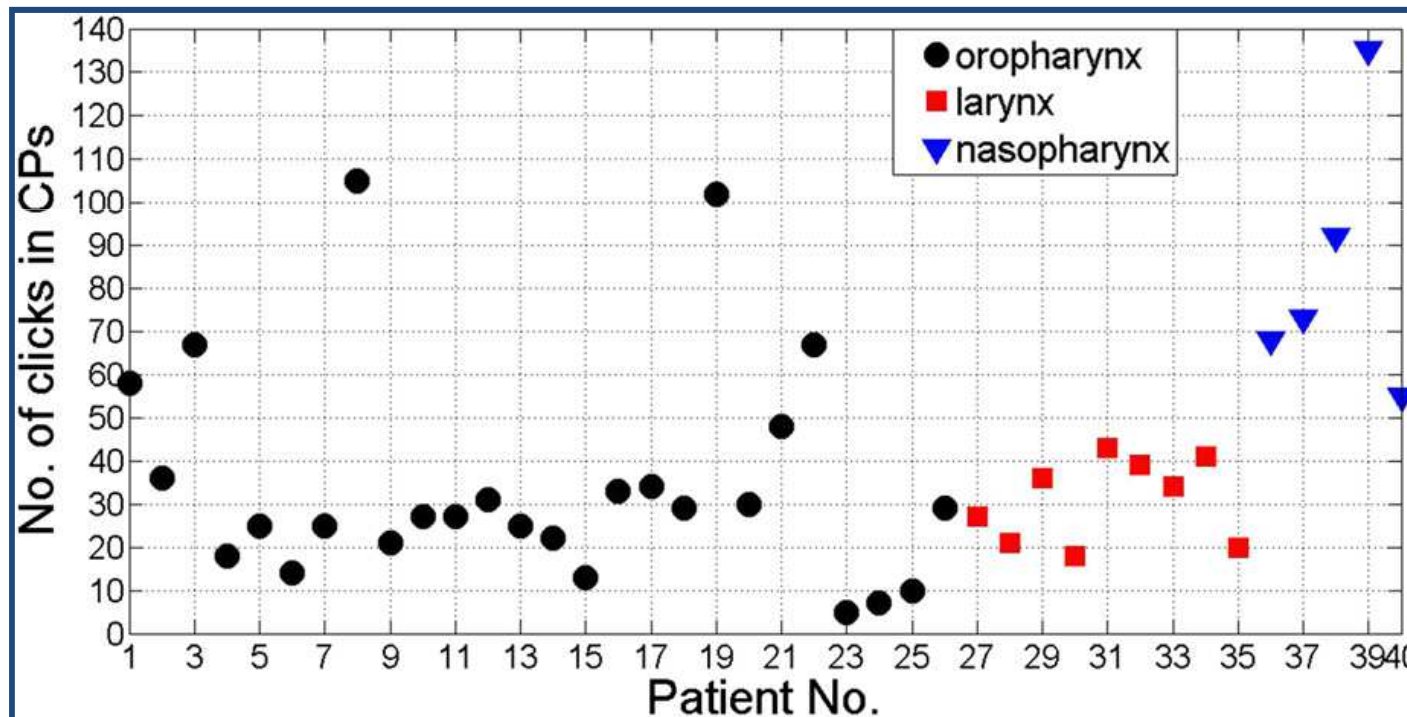
# Automated VMAT planning

---

- Overlap volume histogram-driven automated planning optimization (Wu *et al*, 2012)
- Purpose: to prospectively determine whether it can be introduced in the clinical workflow
- 40 H&N SIB-IMRT consecutive pts, ; for each pt a fully automated plan (AP), was compared to the clinical plan (CP) used for the treatment.
- The study would show the non-inferiority of APs with respect to PTC coverage and secondary organ sparing (parotid, brachial plexus, esophagus, larynx, inner ear, oral mucosa).
- In APs, average doses to secondary organs were reduced by 1.16%, overall average PTV coverage was increased by 1.26%.



- According to the physician, APs were superior to CP in 27/40 cases
- **The dosimetric results of the APs were not inferior to routinely generated clinical plans.**



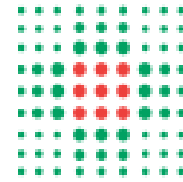
Distribution of the number of clicks of the “Start Optimization” button by dosimetrists in clinical planning. Average clicks in oropharynx, nasopharynx, and larynx planning is 35 (SD: 25.7), 84.6 (SD: 31.1), and 31 (SD: 9.7).

Thanks to:

Claudio Fiorino, HSR Milano

Tiziana Rancati, Istituto dei Tumori, Milano

Eva Onjukka, Karolinska University Hospital, Stockholm



SERVIZIO SANITARIO REGIONALE  
EMILIA-ROMAGNA  
Azienda Ospedaliero - Universitaria di Modena  
Policlinico

# Head and Neck IMRT Tolerance Doses (Parotid Gland, Spinal Cord, Optic Pathways)

Frank Lohr, M.D.  
Policlinico Modena

## Disclosure

Research and Training Agreement, Expert Testimony  
and Travel Grants with Elekta/IBA/C-Rad

Board Member of C-Rad



# Clinical Application of IMRT

# Most important indications and treatment philosophy

## 1. Head and Neck Cancer CNS

**Paranasal Sinus Tumors / Integrated Boost**  
(Better Tumor coverage and shortening of overall treatment time)

**NPC and other ENT Tumors**  
(Parotid sparing when possible, better tumor coverage for NPC)

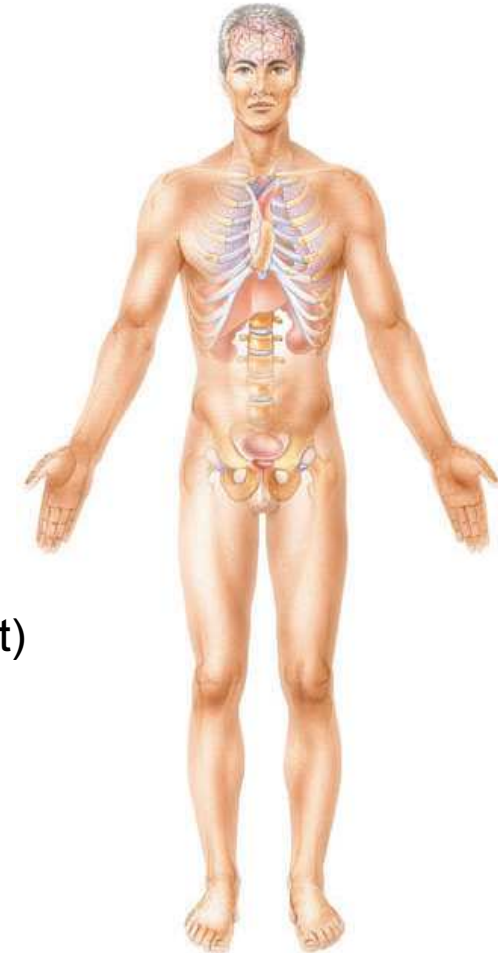
## 2. Prostate / Integrated boost (Potentially hypofractionation)

## 3. Gastric cancer (Better kidney sparing while treating the whole of the target)

## 4. Breast Cancer

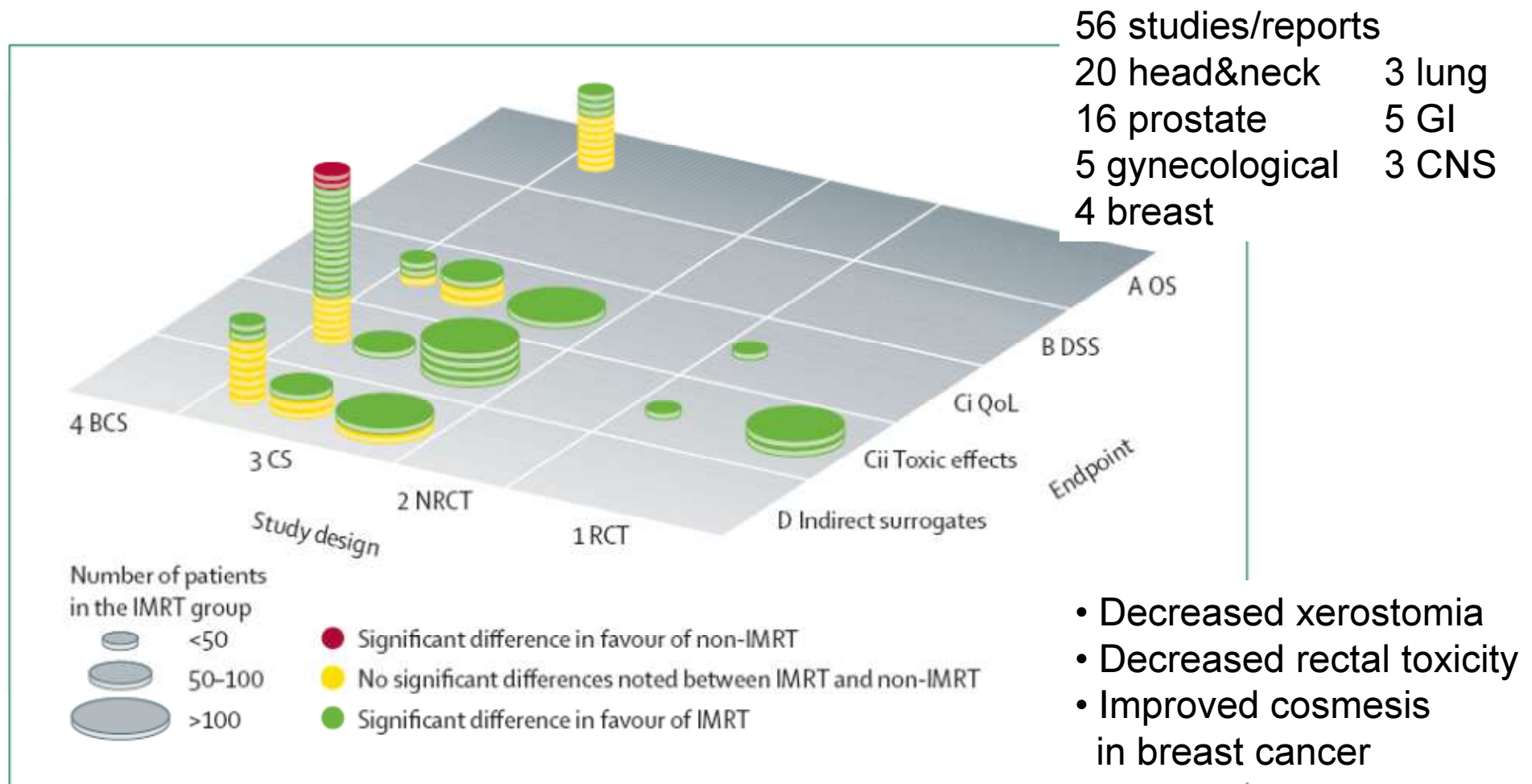
## 5. Lung Cancer

## 6. Metastases



# Evidence behind use of intensity-modulated radiotherapy: a systematic review of comparative clinical studies

Liv Veldeman, Indira Madani, Frank Hulstaert, Gert De Meerleer, Marc Mareel, Wilfried De Neve *Lancet Oncol* 2008; 9: 367-375



**Figure 3:** Evaluation tool for relevance of clinical statements reported in 56 studies of IMRT  
 BCS=best case series. CS=case series. NRCT=non-randomised controlled trial. RCT=randomised controlled trial.  
 OS=overall survival. DSS=disease-specific survival. QoL=quality of life.

# IMRT clinical outcome

Factors influencing the rational use of IMRT for head-and-neck cancer

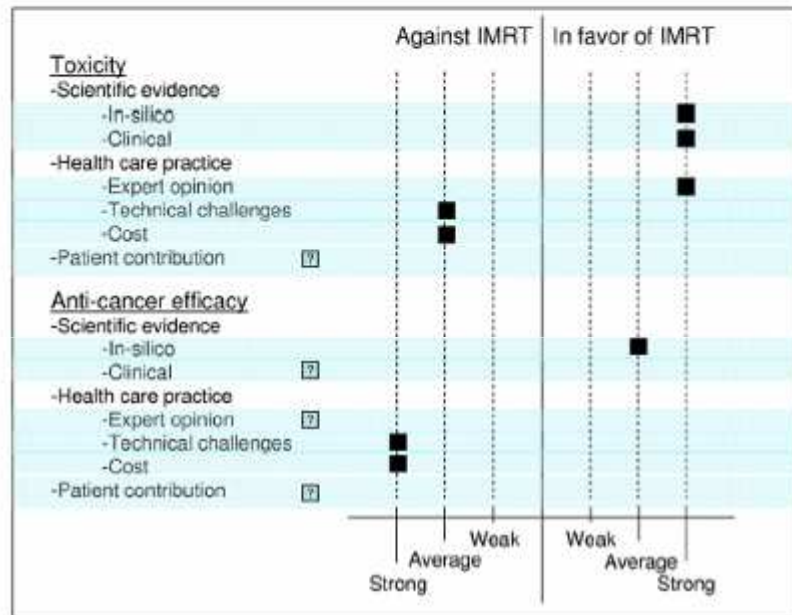


Figure 1 Factors in favor or against IMRT are represented by a rectangle on the right or on the left of the vertical solid line, respectively. A question mark inside a rectangle indicates too much uncertainty for using the factor in the graph.

Factors influencing the rational use of IMRT for whole breast irradiation

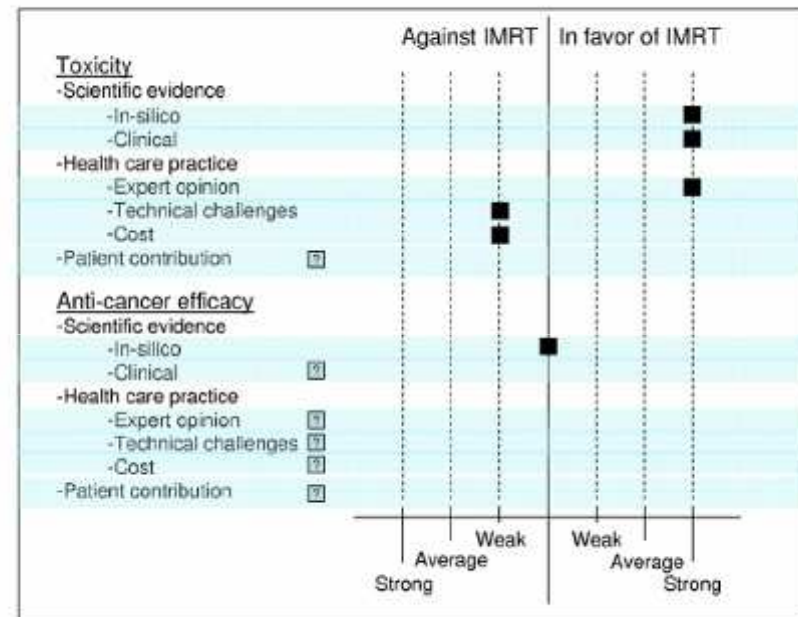


Figure 2 Factors in favor or against IMRT are represented by a rectangle on the right or on the left of the vertical solid line, respectively. A question mark inside a rectangle indicates too much uncertainty for using the factor in the graph.

De Neve et al. Sem Rad Onc, 2012

## Canadian H&N IMRT-Review and Consensus

*“The case for IMRT in head and neck cancer can be broadly outlined as follows:*

*1) The data identified in this review, as well as the earlier historical data described in the Introduction, support the contention that IMRT is, at worst, not inferior to two-dimensional CRT with respect to disease control.*

*2) The data identified in this review, as well as the earlier historical data, support the contention that with IMRT, there are clinically relevant and statistically significant differences in adverse event rates and quality of life compared with two-dimensional CRT.”*

O’Sullivan et al., Clin Oncol, 2012

# Cost Effectiveness of IMRT

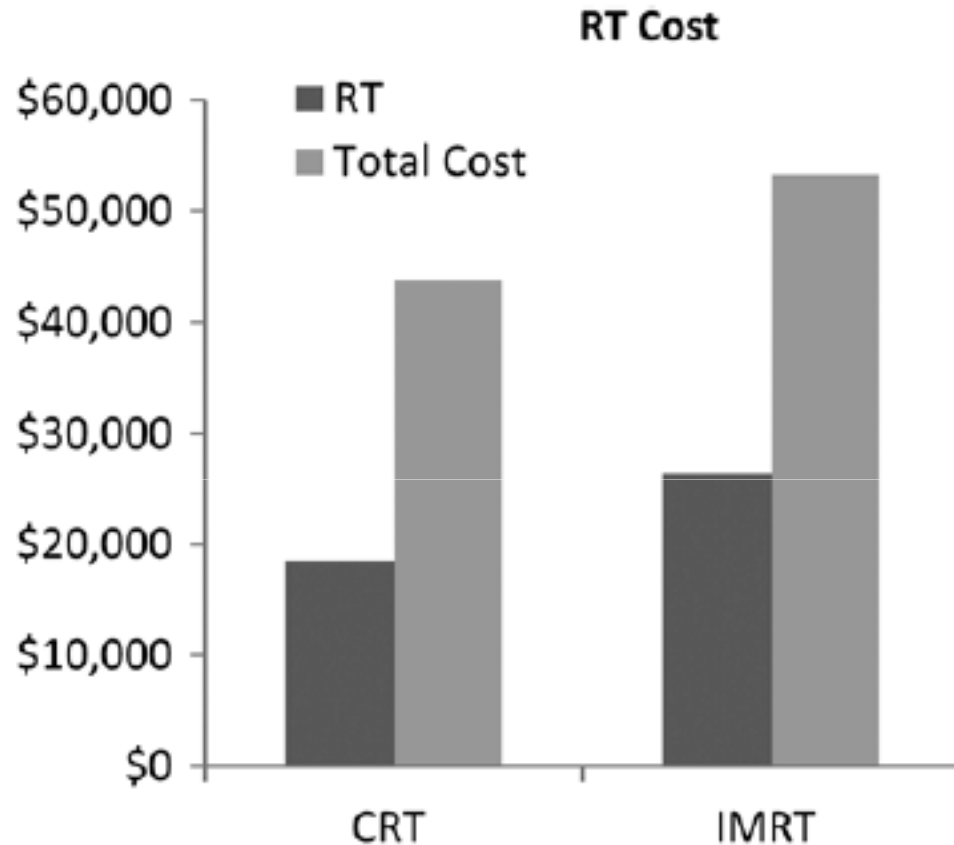


FIGURE 1. Radiation cost as a proportion of total cost from diagnosis through 1 year of follow-up.

-Exaggerated billing

-Cost F/u only recorded until 1 year after RT, therefore long term benefits not accounted for properly

Sheets et al.,  
Am J Clin Oncol, 2013

# Tumor Localizations

## 2. Head and Neck

# Recent Review

*The British Journal of Radiology, 85 (2012), 487–494*

## REVIEW ARTICLE

### **Clinical evaluation of intensity-modulated radiotherapy for head and neck cancers**

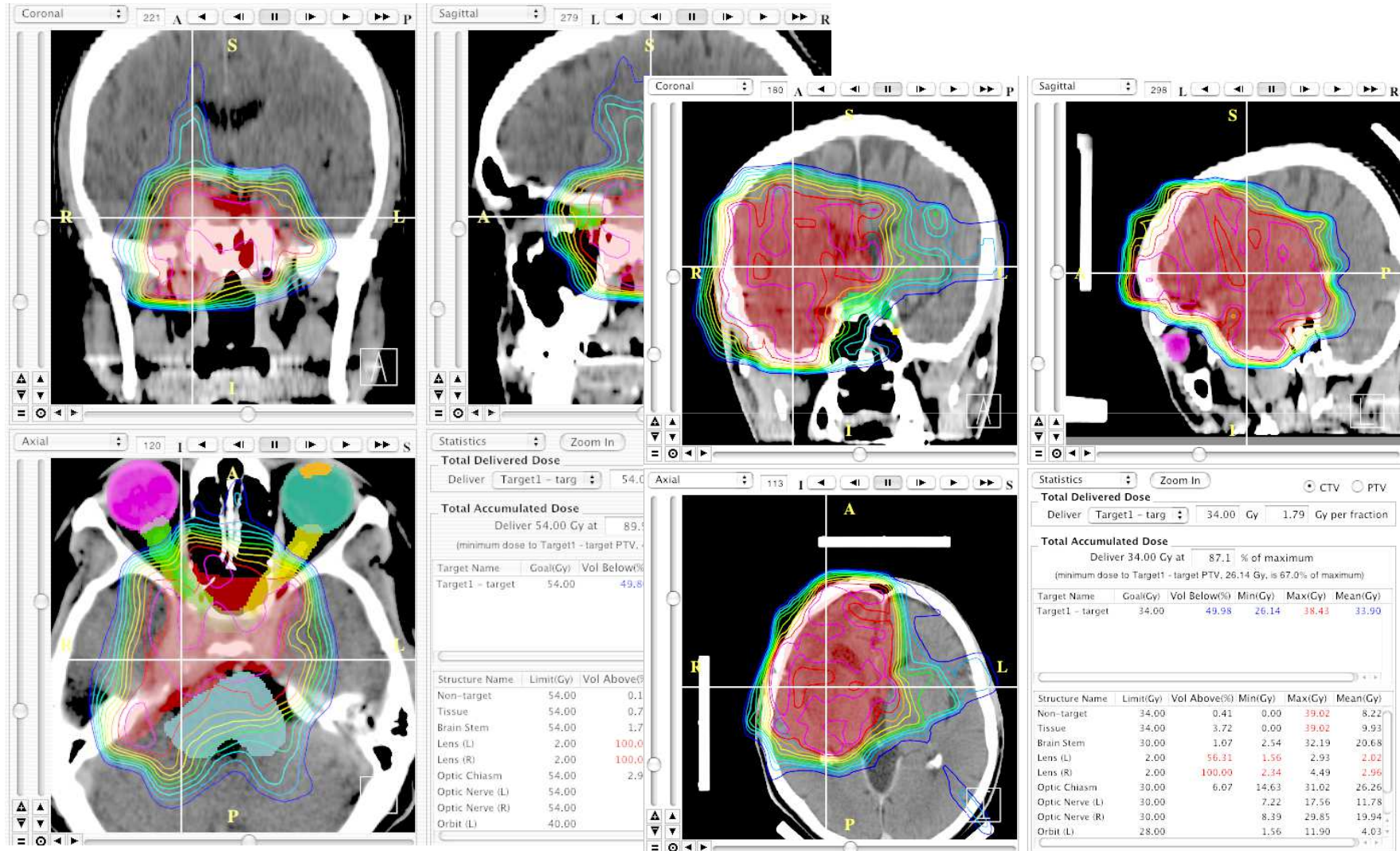
<sup>1</sup>S A BHIDE, PhD, FRCR, <sup>1</sup>K L NEWBOLD, MRCP, FRCR, <sup>2</sup>K J HARRINGTON, PhD, FRCR and  
<sup>2</sup>C M NUTTING, MD, FRCR

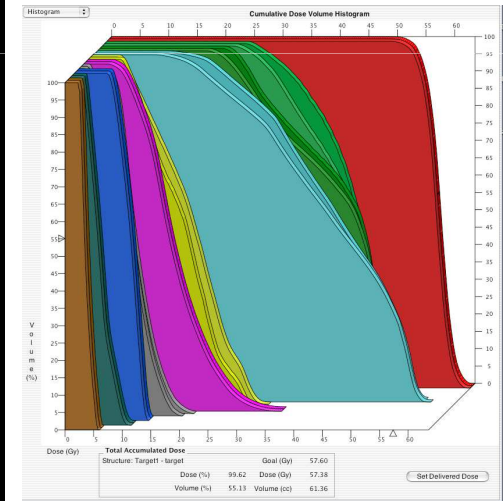
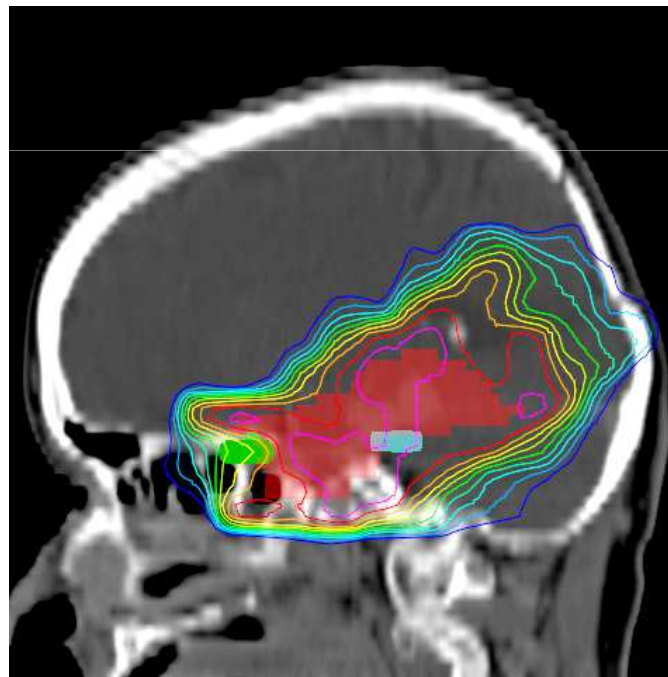
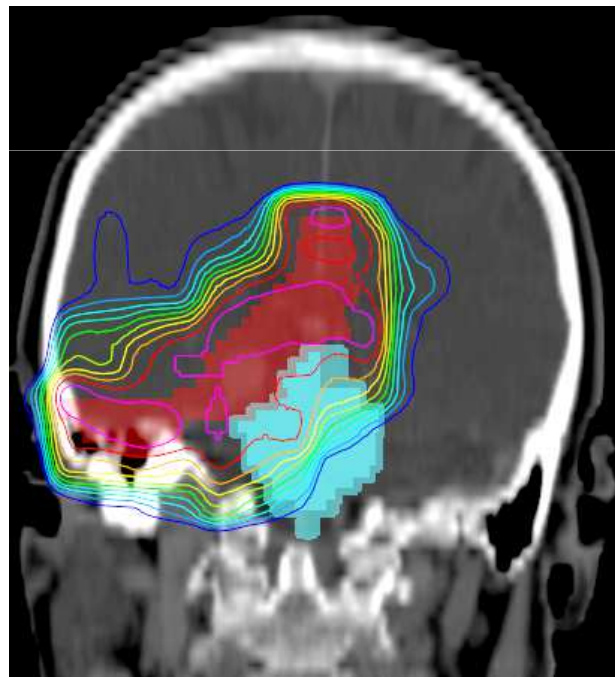
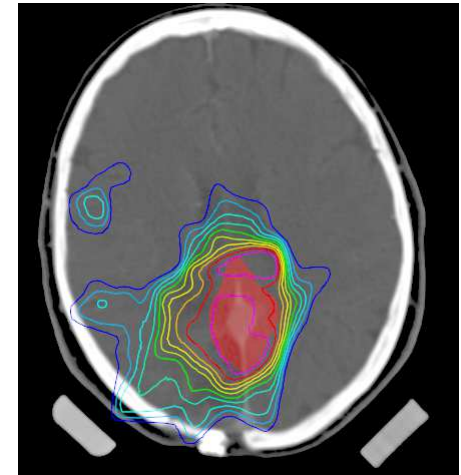
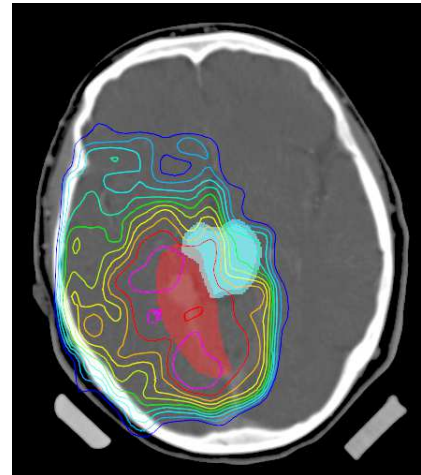
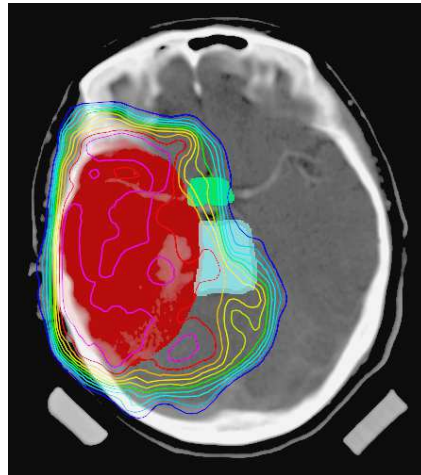
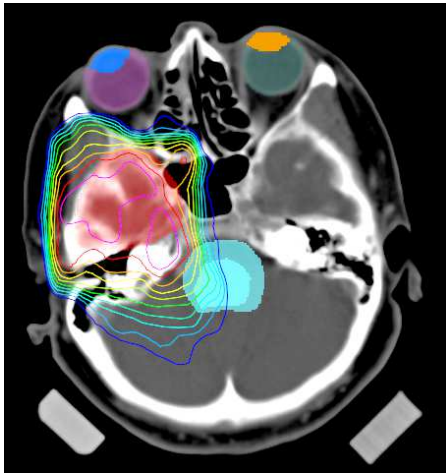


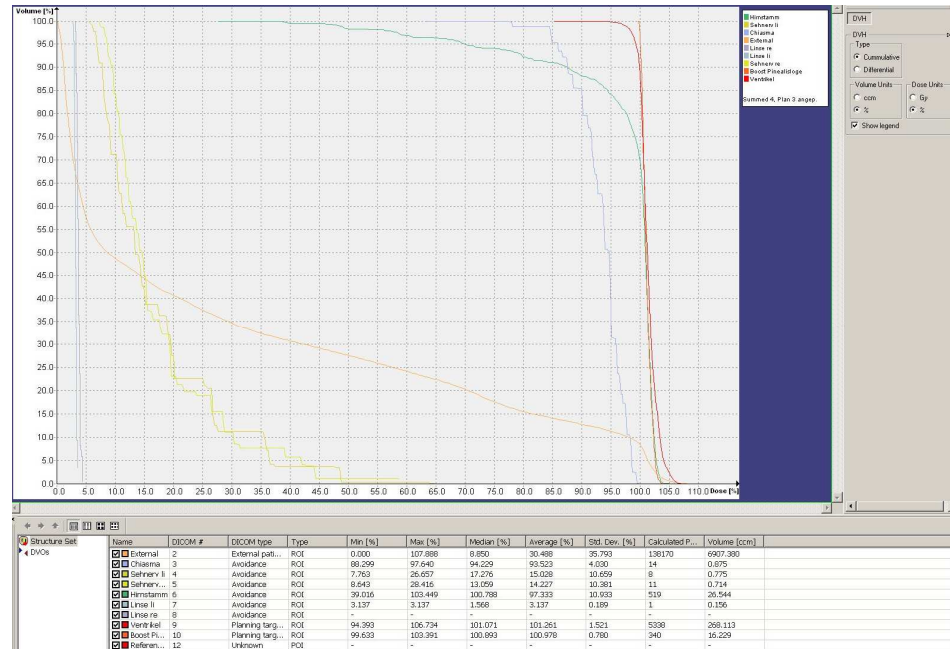
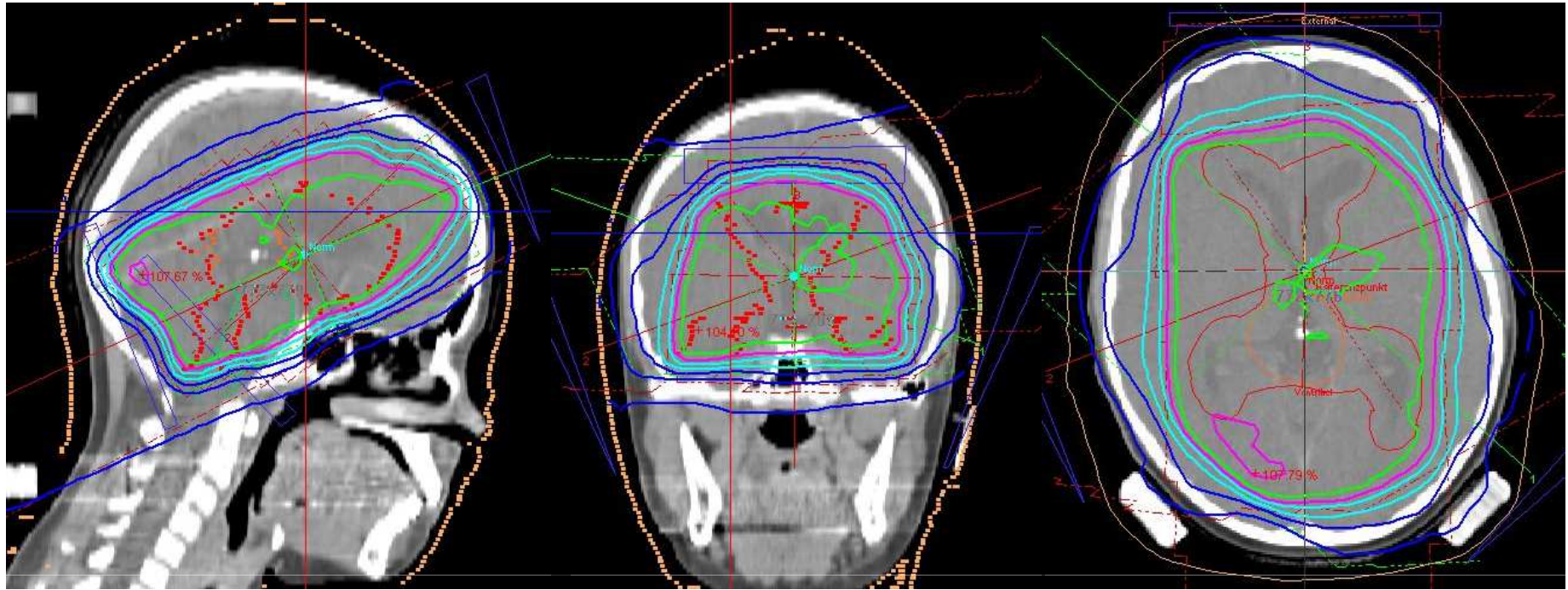
# 10J post full neck IMRT



# Head and Neck







Study number: 4267 Patient name: Masoff, Nick, gueltra Patient id: #1159535

Current Image Set: Base Image

Continuous Crosshairs

Windows Structures Couch Angles DDI

Show All Hide All

25% to 95% by 5% steps

15.25 Gy	15.25 Gy
16.01 Gy	16.01 Gy
16.78 Gy	16.78 Gy
17.54 Gy	17.54 Gy
18.31 Gy	18.31 Gy
19.07 Gy	19.07 Gy
19.84 Gy	19.84 Gy
20.60 Gy	20.60 Gy
21.37 Gy	21.37 Gy
22.13 Gy	22.13 Gy
22.90 Gy	22.90 Gy
23.66 Gy	23.66 Gy
24.43 Gy	24.43 Gy

Color 3D surfaces by dose

Dose Normalization  
Normalize to: Maximum Dose (selected) Divergent Dose  
Maximum accumulated dose = 32.00 Gy

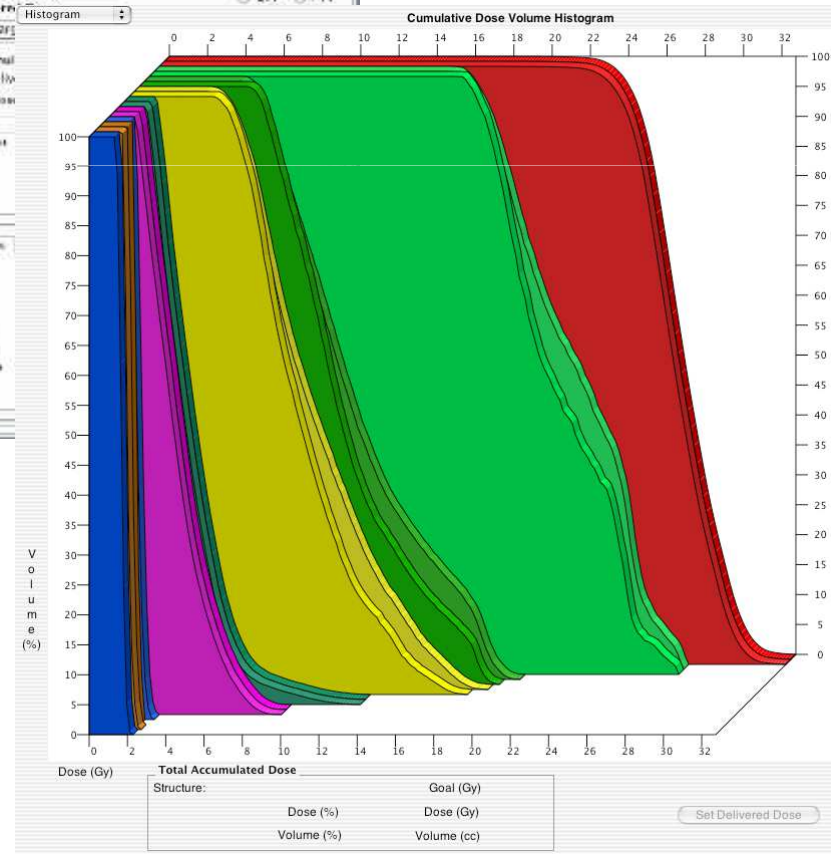
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CORVUS Total Dose

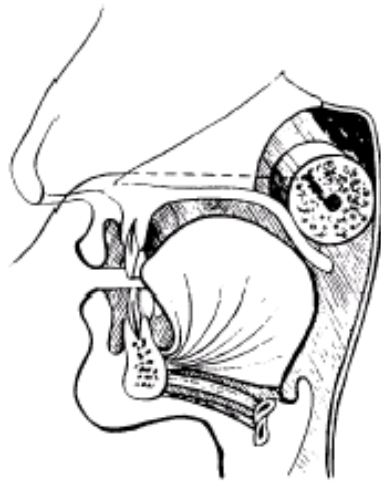
Measure Win/Level Reports Approve

Width: 151 Level: 40  
User Defined

Hide 3D for 2D control of colors. Hold Shift+R for auto-fine.

Coronal Sagittal Axial





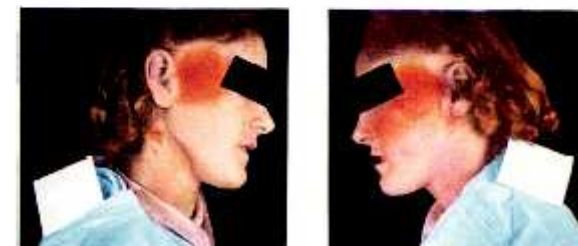
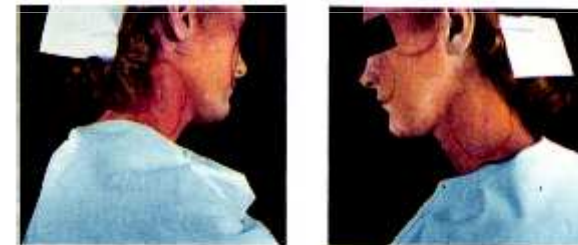
## Nasopharyngeal carcinoma: treatments and outcomes in the 20th century

<sup>1</sup>R F MOULD, MSc, PhD and <sup>2</sup>T H P TAI, FRCR, FRCPC

*The British Journal of Radiology*, 75 (2002), 307-339 © 2002 The British Institute of Radiology



*Review article: Nasopharyngeal carcinoma in the 20th century*

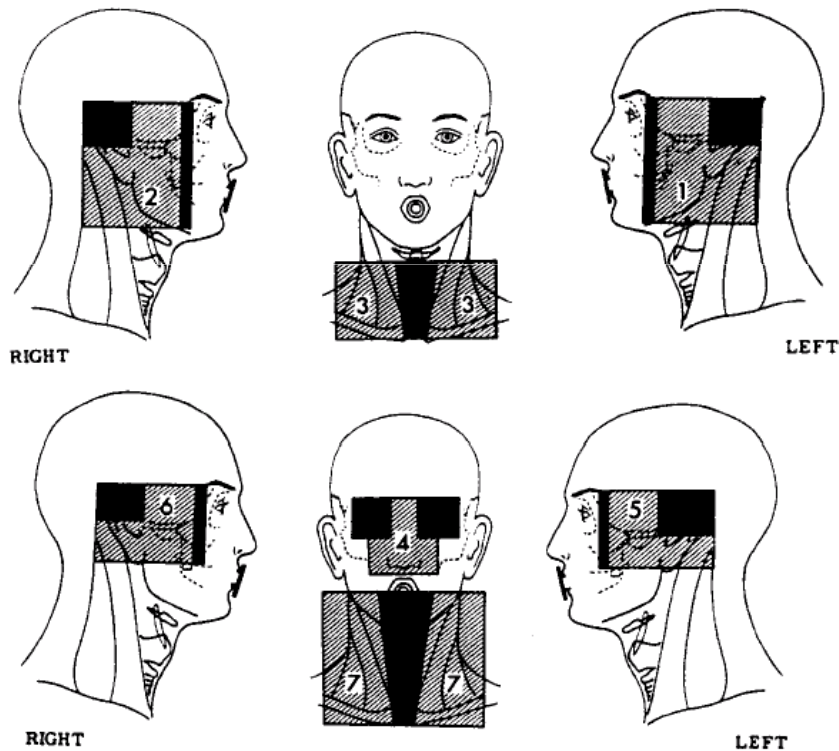


Year of publication	Centre	No. of cases	Symptom free cases	
			No.	%
1931	Radiumhemmet, Stockholm	70	8	11.4
1931	Zurich	32	2	6.2
1931	Mayo Clinic	194	4	2.1
1937	Amsterdam	47	5	10.6
1938	Fondation Curie, Paris	38	7	8.4
1940	Memorial, New York	80	20	25.0
1941	New York	42	7	16.7
1943	Copenhagen	37	9	24.3
1944	Copenhagen	266	59	22.2

## Nasopharyngeal carcinoma: treatments and outcomes in the 20th century

<sup>1</sup>R F MOULD, MSc, PhD and <sup>2</sup>T H P TAI, FRCR, FRCPC

*The British Journal of Radiology*, 75 (2002), 307-339 © 2002 The British Institute of Radiology



**Table 3.** Results of a world literature survey after Rosemarie Albrecht of the Head & Neck Clinic, Jena, former German Democratic Republic [41]

Year of publication	Centre	No. of cases	5-year survival	
			No.	%
1943	Mayo Clinic	271	35	12.9
1944	Zurich	40	12	30.0
1947	Innsbruck	18	4	22.2
1951	Boston	83	11	13.3
1951	Bologna	63	7	11.1
1951	Presbyterian & Montefiore, New York	91	23	25.3
1952	Tokyo	68	10	14.7
1952	Milan	72	4	5.5
1954	Fondation Curie, Paris	147	34	23.1
1954	Christie Hospital, Manchester	100	21	21.0
1954	Middlesex Hospital, London	46	7	15.2
1957	Toronto	77	7	9.1
1958	Germany (Albrecht)	397	55	13.8

**Table 9a.** 5-year local control results from various series

Series	Local control (total No. of patients)			
	T1	T2	T3	T4
<sup>a</sup> Hoppe et al [66], Stanford	87% (38)	94% (16)	68% (19)	44% (9)
<sup>b</sup> Chu et al [67], Louisville	76% (25)	79% (14)	37% (19)	55% (22)
<sup>c</sup> Vikram et al [68], MSKCC	65% (47)		100% (3)	48% (57)
<sup>d</sup> Wang [69], MGH	76% (17)	54% (23)	34% (11)	42% (10)
	<sup>f</sup> 67% (14)	<sup>f</sup> 84% (30)	<sup>f</sup> 78% (12)	<sup>f</sup> 52% (12)
<sup>e</sup> Perez et al [70], Mallinckrodt	85% (21)	75% (33)	67% (26)	45% (63)

**Table 9b.** 5-year survival results from various series

Series	5-year survival results (total No. of patients)			
	T1	T2	T3	T4
<sup>a</sup> Hoppe et al [66], Stanford	76% (38)	68% (16)	55% (19)	0% (9)
<sup>b</sup> Wang [69], MGH	60% (52)	48% (58)	27% (33)	29% (42)

**Table 11.** 5-year local control rates after radiotherapy as a function of cervical node status

Series	Local control rate (Total No. of patients)		
	N0–N1	N2	N3
	Bedwinek et al [73], Mallinckrodt <sup>a</sup>	98% (54)	71% (21)
Mesic et al [71], MD Anderson <sup>a</sup>	95% (65)	88% (59)	81% (111)

**Table 12.** 5-year disease-free survival rates as a function of cervical node status for two American series and for the Hong Kong series in Table 11

Series	Disease-free survival rate (Total No. of patients)	
	N0–N1	N2–N3
Frezza et al [74], Univ. of Bologna, Italy <sup>a</sup>	80% (36)	30% (42)
Perez et al [70, 75], Massachusetts General <sup>b</sup>	63% (115)	56% (144)
Lee et al [56], Hong Kong <sup>c</sup>	72% (1882)	40% (2848)

### Nasopharyngeal carcinoma: treatments and outcomes in the 20th century

<sup>1</sup>R F MOULD, MSc, PhD and <sup>2</sup>T H P TAI, FRCR, FRCPC



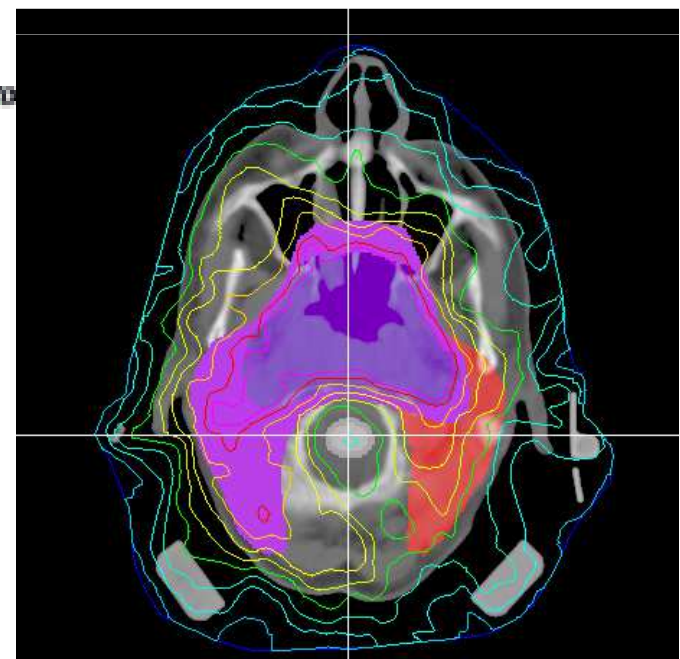
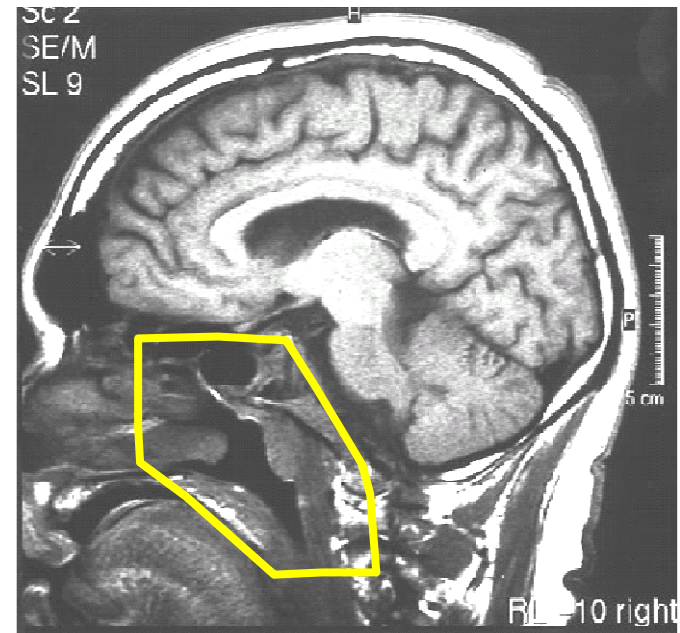
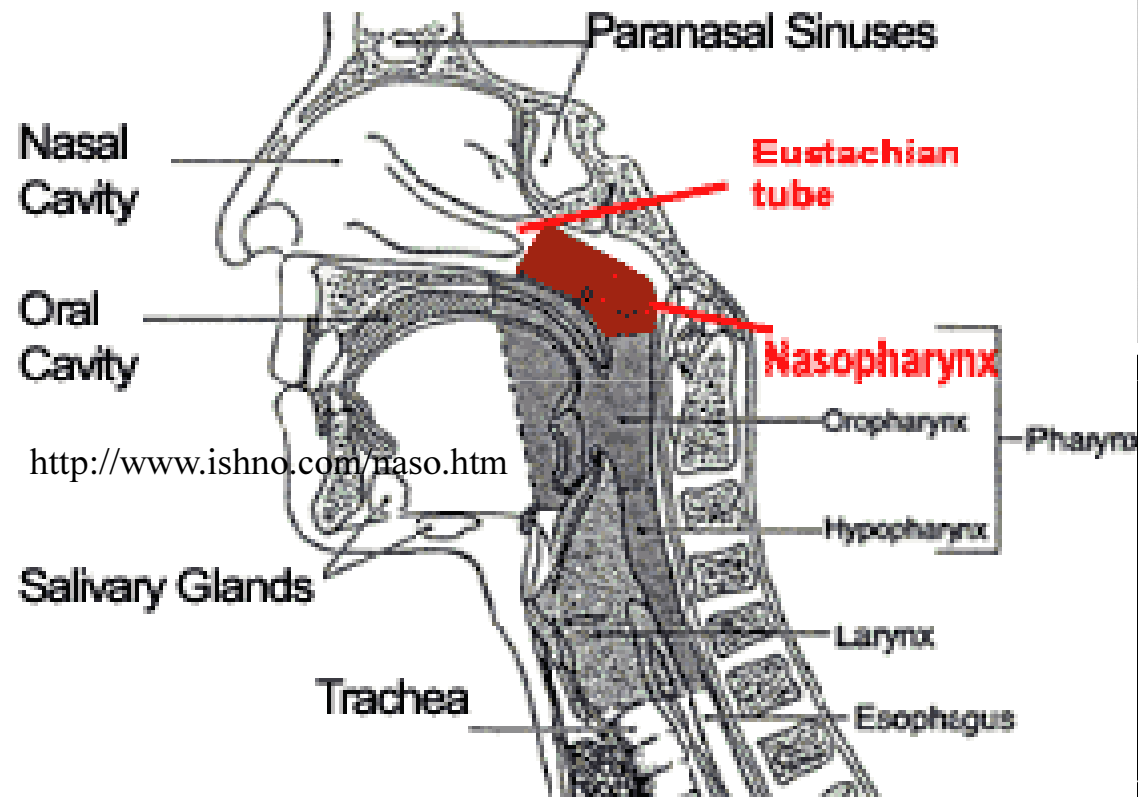


Table 3.1. Comparison between the TNM atlas terminology and the ROBBIN classification of the lymph nodes of the neck

TNM atlas for lymph nodes of the neck		ROBBINS classification	
Group No.	Terminology	Level	Terminology
1	Submental nodes	Ia	Submental group
2	Submandibular nodes	Ib	Submandibular group
3	Cranial jugular nodes	II	Upper jugular group
4	Medial jugular nodes	III	Middle jugular group
5	Caudal jugular nodes	IV	Lower jugular group
6	Dorsal cervical nodes along Spinal accessory nerve	V	Posterior triangle group
7	Supraclavicular nodes	V	Posterior triangle group
8	Prelaryngeal and paratracheal nodes	VI	Anterior compartment group
9	Retropharyngeal nodes	-	-
10	Parotid nodes	-	-
11	Buccal nodes	-	-
12	Retroauricular and occipital nodes	-	-

V. Grégoire · P. Scalliet · K. K. Ang (Eds.)

## Clinical Target Volumes in Conformal and Intensity Modulated Radiation Therapy

A Clinical Guide to Cancer Treatment

With Contributions by

A. Beddy · B. Beato · M. Cozic · K. L. Coia · E. L. Coles · G. Cozzani · T. Dagan  
 R. A. Garcia · M. Gospodarowicz · V. Grégoire · L. L. Gunderson · M. G. Haddock · M. Hamoir  
 K. Harshman · T. Hayashi · T. Harig · M. Jewett · L. C. Kilic · K. G. Lengyel  
 A. Lord · M. Lomas · P. Lody · M. M. Mendenhall · F. Mariani · S. Misra · B. D. N. S. Prasad  
 P. N. T. F. Ribeiro · L. Rivard · H. Royzman · F. S. Rossato · P. Scalliet · D. Sordani · P. van Houten  
 F. Verstra · J. Wenzel

Foreword by

L. W. Brady, H.-B. Hellmann and M. Mellé

Preface by

H. Suit

With 81 Figures in 205 Separate Illustrations, 49 in Color and 16 Tables

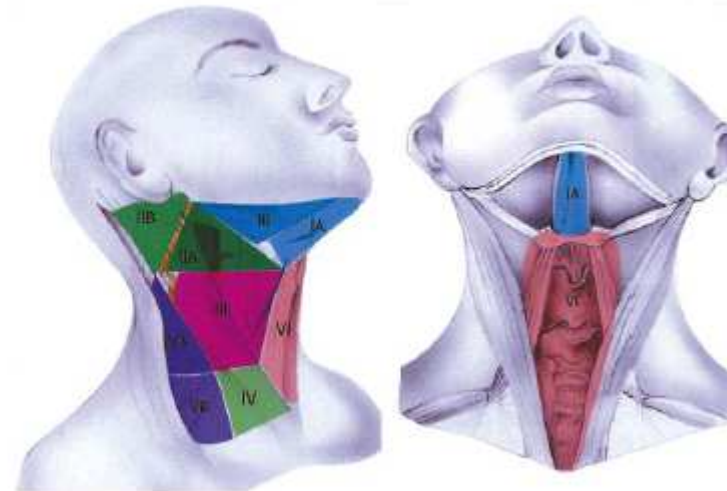


Fig. 3.1. Schematic representation of the various neck node groups: submental (Ia) and submandibular (Ib); upper jugular (II); middle jugular (III); lower jugular (IV); posterior triangle (V); anterior compartment (VI)

**Table 3.2.** Distribution of clinical metastatic neck nodes from head and neck SCC

Tumor site	Patients with N+ (%)	Distribution of metastatic lymph nodes per level (percentage of node-positive patients)					
		I	II	III	IV	V	Other <sup>a</sup>
Oral cavity ( <i>n</i> =787)	36	42/3.5 <sup>b</sup>	79/8	18/3	5/1	1/0	1.4/0.3
Oropharynx ( <i>n</i> =1479)	64	13/2	81/24	23/5	9/2.5	13/3	2/1
Hypopharynx ( <i>n</i> =847)	70	2/0	80/13	51/4	20/3	24/2	3/1
Supraglottic larynx ( <i>n</i> =428)	55	2/0	71/21	48/10	18/7	15/4	2/0
Nasopharynx ( <i>n</i> = 440)	80	9/5	71/56	36/32	22/15	32/26	15/10

<sup>a</sup> Parotid, buccal nodes

<sup>b</sup> Ipsilateral/contralateral nodes

Redrawn from BATAINI et al. (1985); LINDBERG (1972); SHAM et al. (1990)

**Table 3.3.** Incidence of retropharyngeal lymph nodes in head and neck primary tumors

Authors	Primary site	Incidence of retropharyngeal lymph nodes (percentage of total number of patients)		
		Overall	N0 neck <sup>a</sup>	N+ neck <sup>b</sup>
McLAUGHLIN et al. (1995)	Oropharynx			
	Pharyngeal wall	18/93 (19%)	6/37 (16%)	12/56 (21%)
	Soft palate	7/53 (13%)	1/21 (5%)	6/32 (19%)
	Tonsillar fossa	16/176 (9%)	2/56 (4%)	14/120 (12%)
	Base of tongue	5/121 (4%)	0/31 (0%)	5/90 (6%)
	Hypopharynx (piriform sinus or post-cricoid area)	7/136 (5%)	0/55 (0%)	7/81 (9%)
CHUA et al. (1997)	Supraglottic larynx	4/196 (2%)	0/87 (0%)	4/109 (4%)
	Nasopharynx	14/19 (74%)	2/5 (40%)	12/14 (86%)
CHONG et al. (1995)	Nasopharynx	106/364 (29%)	21/134 (16%)	85/230 (37%)
	Nasopharynx	Not stated	Not stated	59/91 (65%)

<sup>a</sup> Clinically negative nodes in levels I–V

<sup>b</sup> Clinically positive nodes in levels I–V

**Table 3.10.** Suggested guidelines for the treatment of patients with head and neck SCC

Location of primary tumor	Appropriate node levels to be treated	
	Stage N0–N1 (AJCC 1997)	Stage N2b (AJCC 1997)
Oral cavity	I, II <sup>a</sup> , and III (+IV for anterior tongue tumors)	I, II, III, IV and V <sup>c</sup>
Oropharynx	II, III, and IV (+ retropharyngeal nodes for posterior pharyngeal wall tumors)	I, II, III, IV, V and retropharyngeal nodes
Hypopharynx	II <sup>a</sup> , III, and IV (+ VI for esophageal extension)	I, II, III, IV, V and retropharyngeal nodes (+ VI for esophageal extension)
Larynx <sup>b</sup>	II <sup>a</sup> , III, and IV (+ VI for transglottic and subglottic tumors)	(I), II, III, IV and V (+ VI for transglottic and subglottic tumors)
Nasopharynx	II, III, IV, V and retropharyngeal nodes	II, III, IV, V and retropharyngeal nodes

<sup>a</sup> Nodes in level IIb could be omitted for N0 patients

<sup>b</sup> T1 glottic cancer excluded

<sup>c</sup> May be omitted if only levels I–III are involved

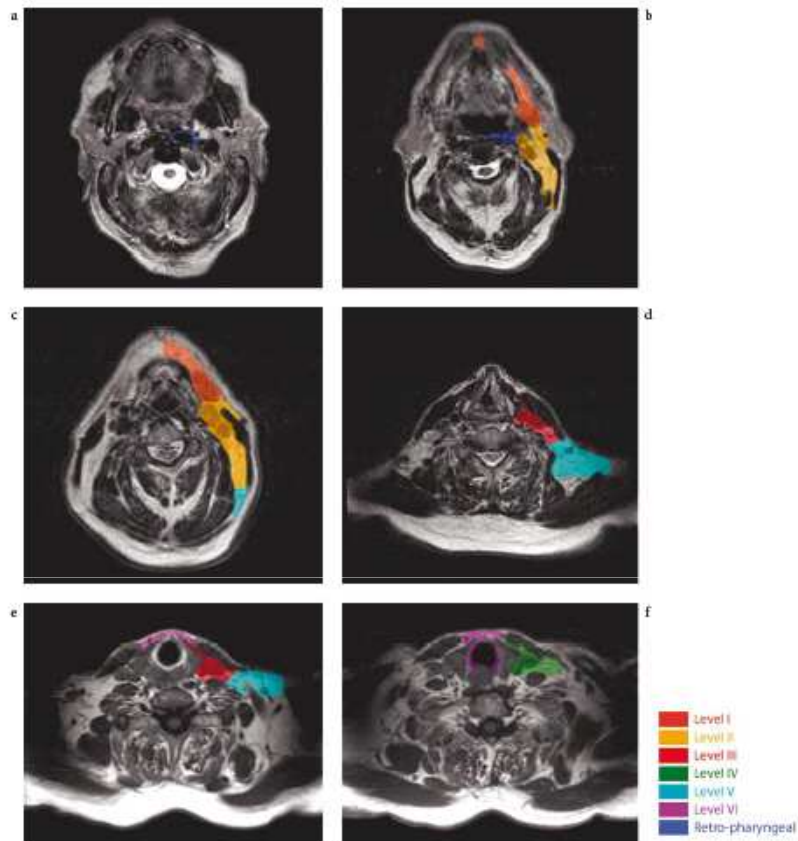


Fig. 3.3. MRI of the same patient with a T1N0M0 glottic SCC (see tumor in *panel d*). The examination was performed on a Gyroscan NT 1.5 T Philips Medical Systems (Eindhoven, the Netherlands) on an axial plane with a slice thickness of 4 mm, a gap of 2 mm, and a field of view of 240 mm. T2-weighted images (TR 7976 ms and TE 90 ms) are displayed in *panels a-d*. *Panels e and f* represent T1-weighted images (TR 598 and TE 12 ms). Sections were taken at the level of the bottom edge of C1 (*panel a*), the upper edge of C3 (*panel b*), mid C4 (*panel c*), the bottom edge of C6 (*panel d*), the bottom edge of C7 (*panel e*), and mid D1 (*panel f*). Neck node levels were drawn on each slice using the radiological boundaries detailed in Table 3.11. The slight difference in the shape of the various levels between Figs. 3.2 and 3.3 is explained by a difference in the positioning of the patient, leading to a slight difference in slice levels. Each node level corresponds to the CTV, and thus does not include a security margin for organ motion or set-up inaccuracy

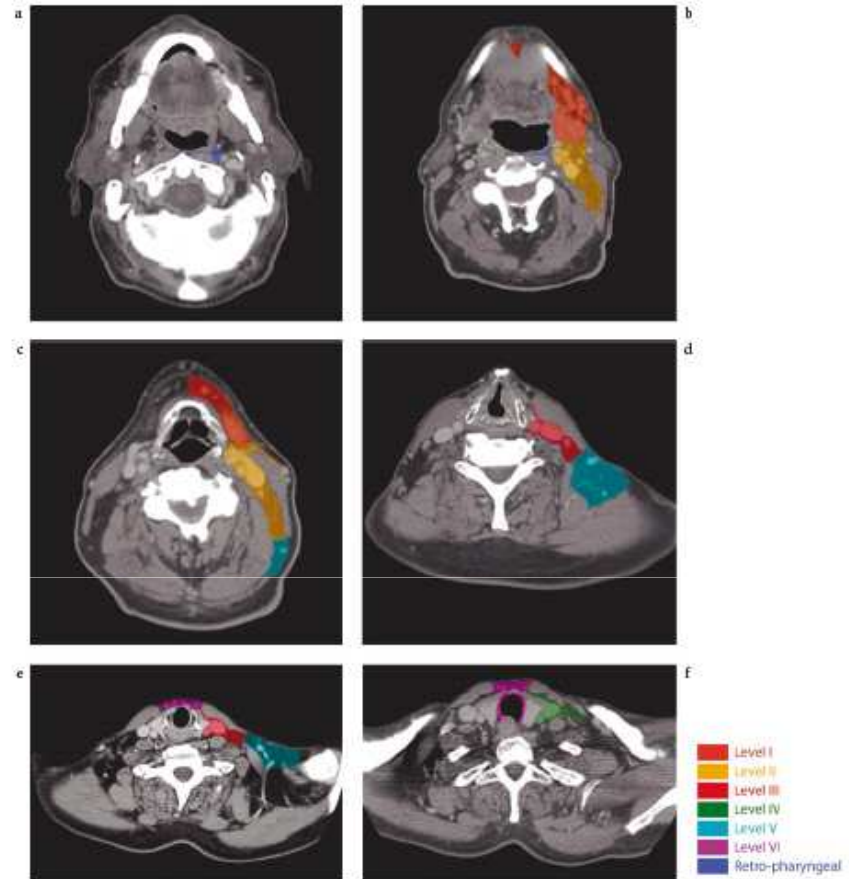


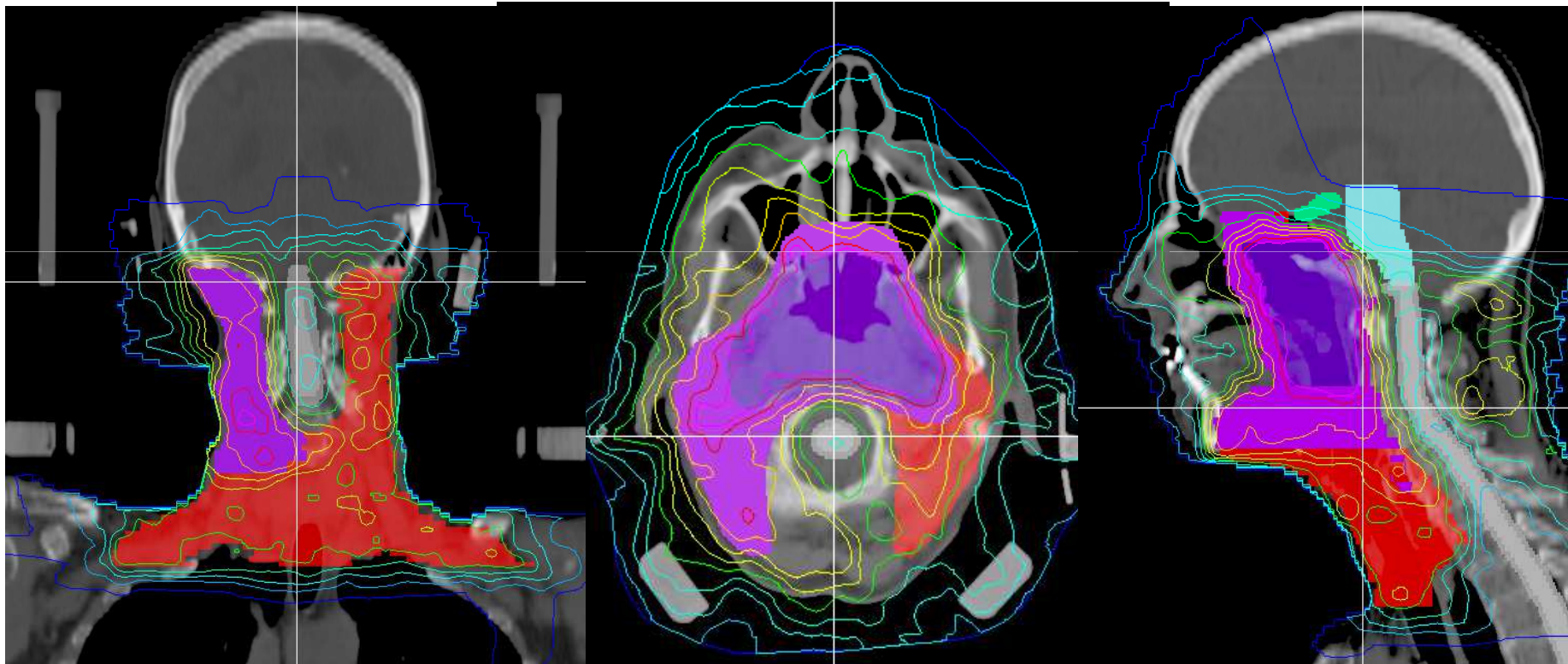
Fig. 3.2. CT imaging of a patient with a T1N0M0 glottic SCC (see tumor in *panel d*). The examination was performed on a dual-detector spiral CT (Elscent Twin, Haifa, Israel) using a slice thickness of 2.7 mm, an interval reconstruction of 2 mm and a pitch of 0.7. Contrast medium was injected intravenously at a rate of 2 ml/s with a total amount of 100 ml. Sections were taken at the level of the bottom edge of C1 (*panel a*), the upper edge of C3 (*panel b*), mid C4 (*panel c*), the bottom edge of C6 (*panel d*), the bottom edge of C7 (*panel e*), and mid D1 (*panel f*). Neck node levels were drawn on each CT slice using the radiological boundaries detailed in Table 3.11. Each node level corresponds to the CTV, and thus does not include a security margin for organ motion or set-up inaccuracy

V. Grégoire · P. Scalliet · K. K. Ang (Eds.)

## Clinical Target Volumes in Conformal and Intensity Modulated Radiation Therapy

A Clinical Guide to Cancer Treatment

# Nasopharynx Integrated Boost



# Principles of using Integrated Boost

1. If working with several dose levels, try not to move too far away from single doses with which there is clinical experience (e.g. 1.6 to 2.5 Gy)
2. Keep the high-total-dose / high-single-dose volume small and away from (especially serial) critical structures
3. Start with IMRT as early as possible in the course of a patient's treatment to keep the single dose spread as low as possible

# INTENSITY-MODULATED RADIATION THERAPY FOR HEAD-AND-NECK CANCER: THE UCSF EXPERIENCE FOCUSING ON TARGET VOLUME DELINEATION

NANCY LEE, M.D.,\* PING XIA, PH.D.,\* NANCY J. FISCHBEIN, M.D.,† PAM AKAZAWA, C.M.D.,\*  
CLAYTON AKAZAWA, C.M.D.,\* AND JEANNE M. QUIVEY, M.D., F.A.C.R.\*

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Int. J. Radiation Oncology Biol. Phys., Vol. 57, No. 1, pp. 49–60, 2003

Target volume delineation in IMRT for head-and-neck cancer • N. Lee et al.

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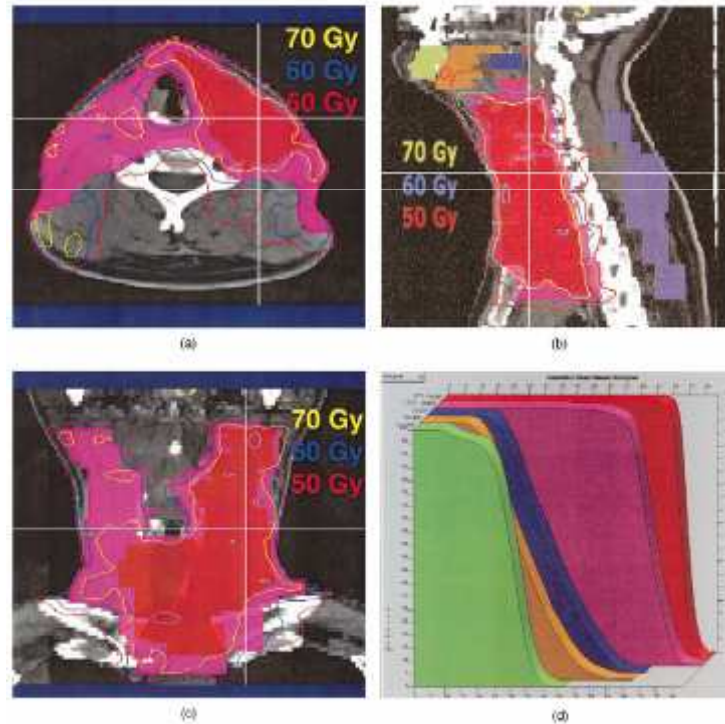


Fig. 3. An example of the target volume delineation for a postoperative case, a patient with T4b0 poorly differentiated thyroid carcinoma: (a) axial, (b) sagittal, (c) coronal, (d) DVH. The preoperative GTV is shown in red, and the CTV is shown in magenta. The purple colorwash represents a pericervical volume that is designed to force the algorithm to maximize co-treatment of posterior neck tissues.

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Int. J. Radiation Oncology • Biology • Physics

Volume 57, Number 1, 2003

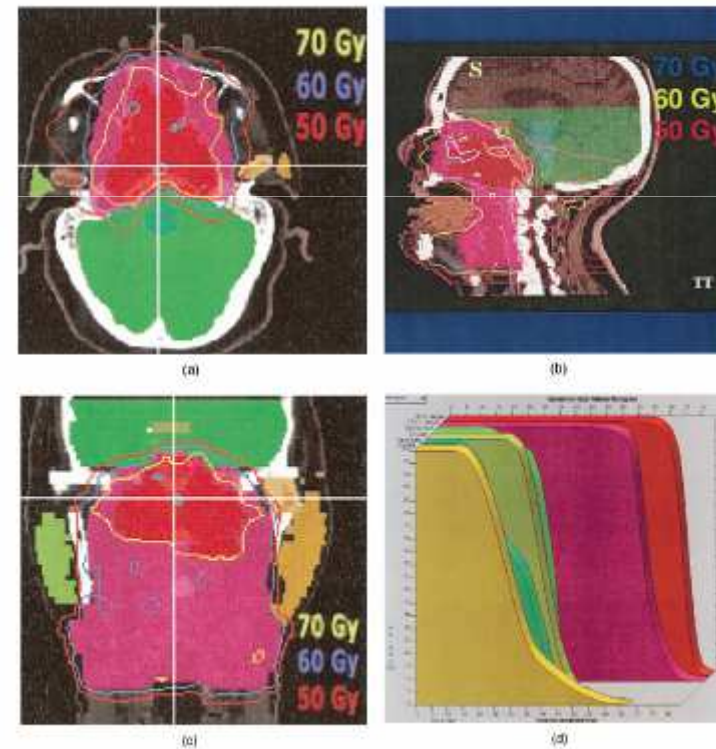


Fig. 2. An example of a target volume delineation for a definitive case, a patient with T3N0 nasopharyngeal carcinoma: (a) axial, (b) sagittal, (c) coronal, (d) DVH. The GTV is shown in red, and the CTV is shown in magenta.



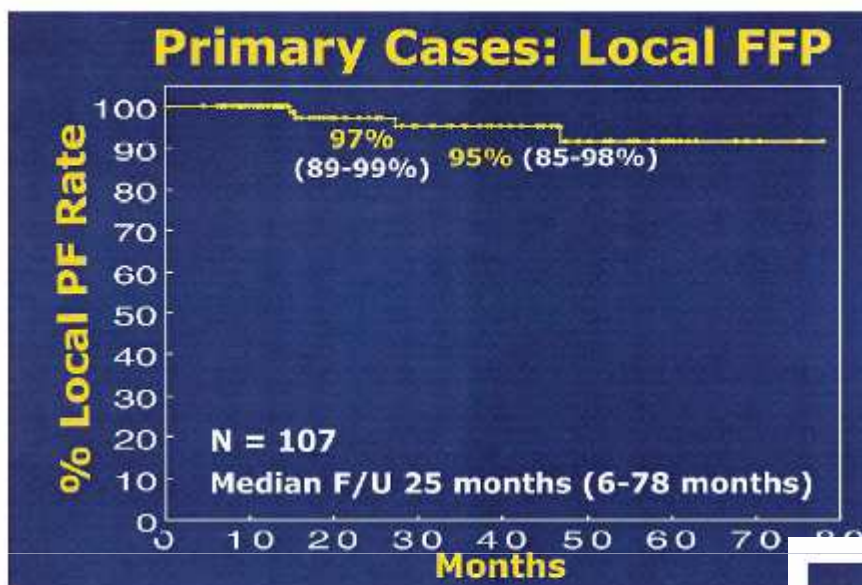


Fig. 4. Kaplan-Meier estimates of local freedom from progression rates for the definitive

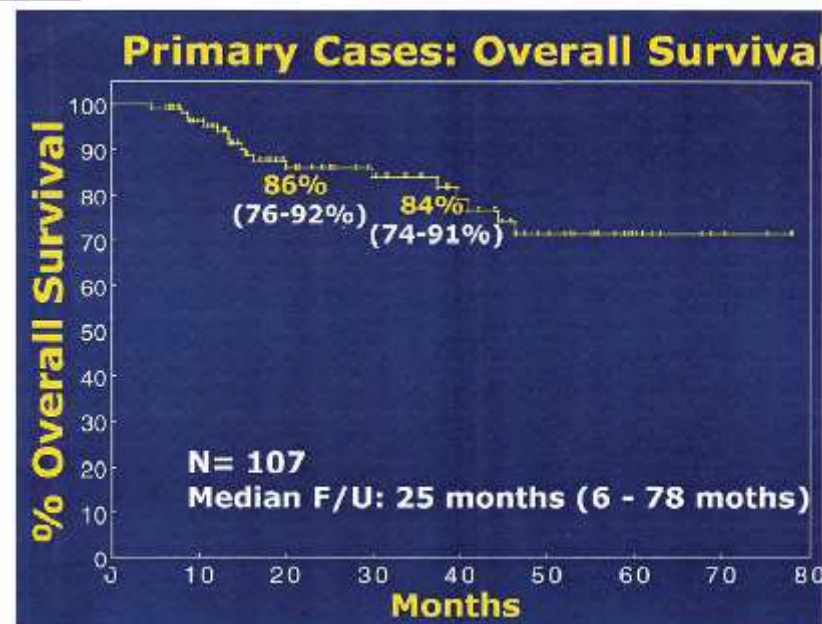
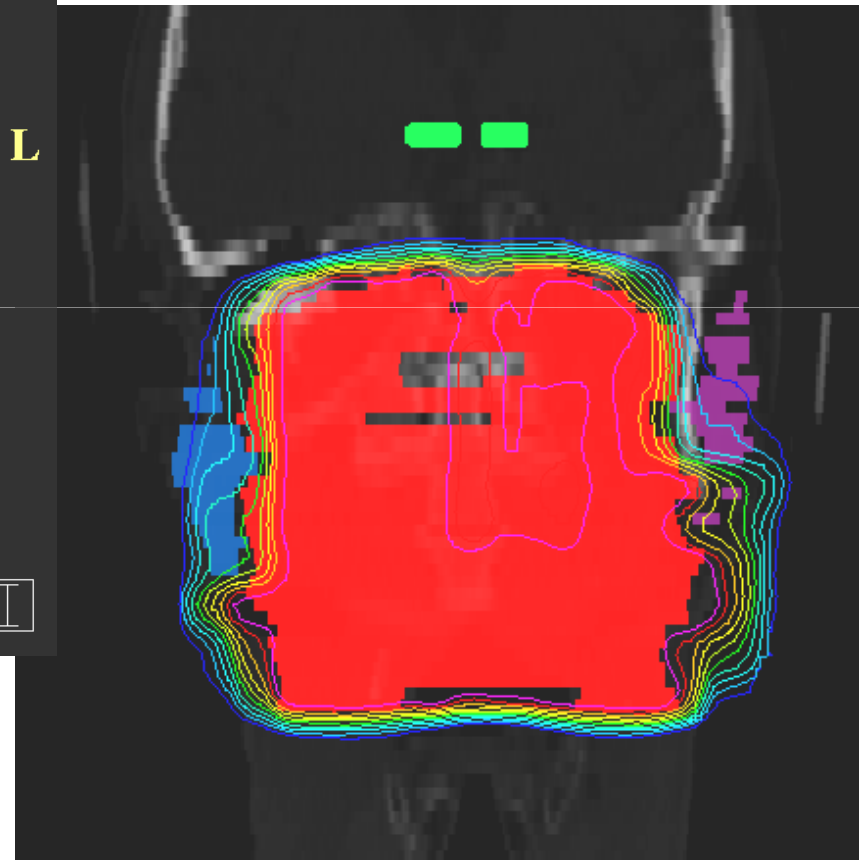
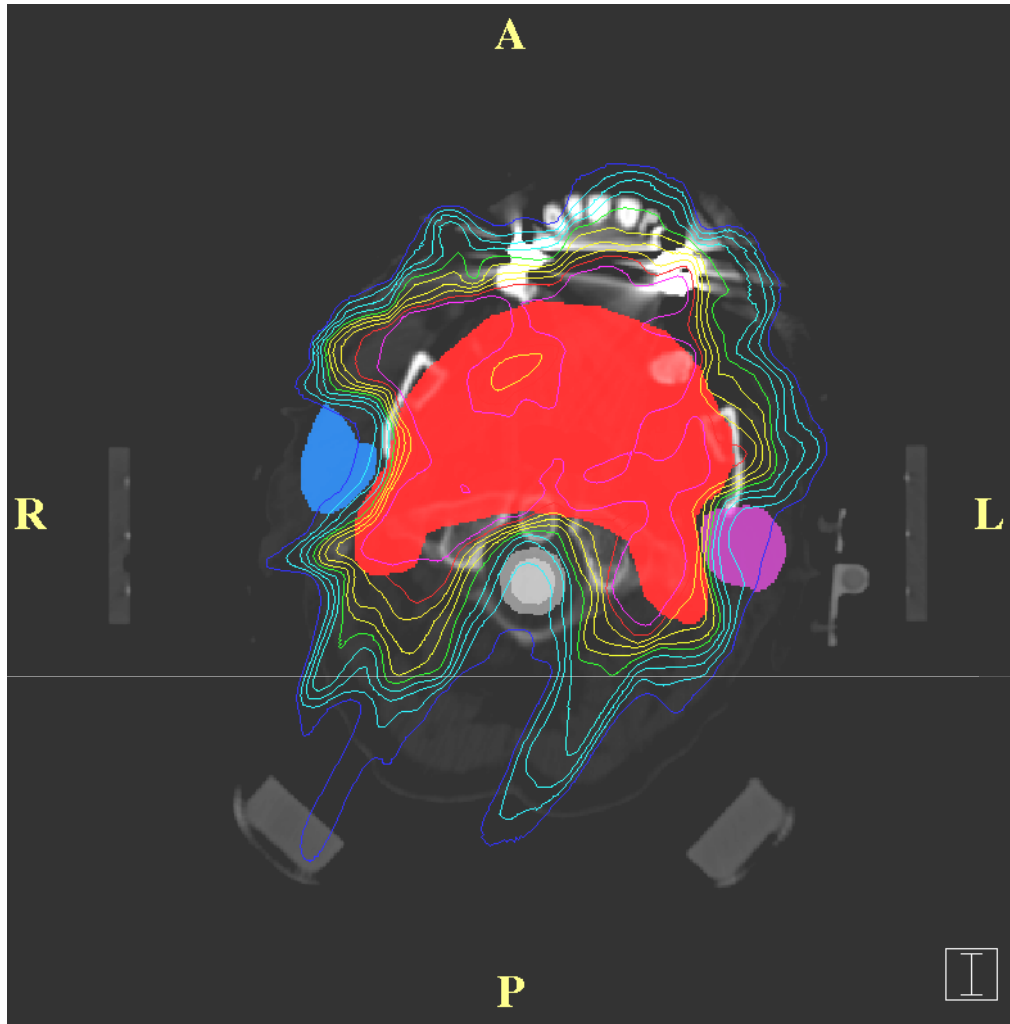


Fig. 5. Kaplan-Meier estimates of overall survival rates for the definitive cases.

Oropharynx (Tongue)  
T3N0 Bilateral Parotid  
Sparing



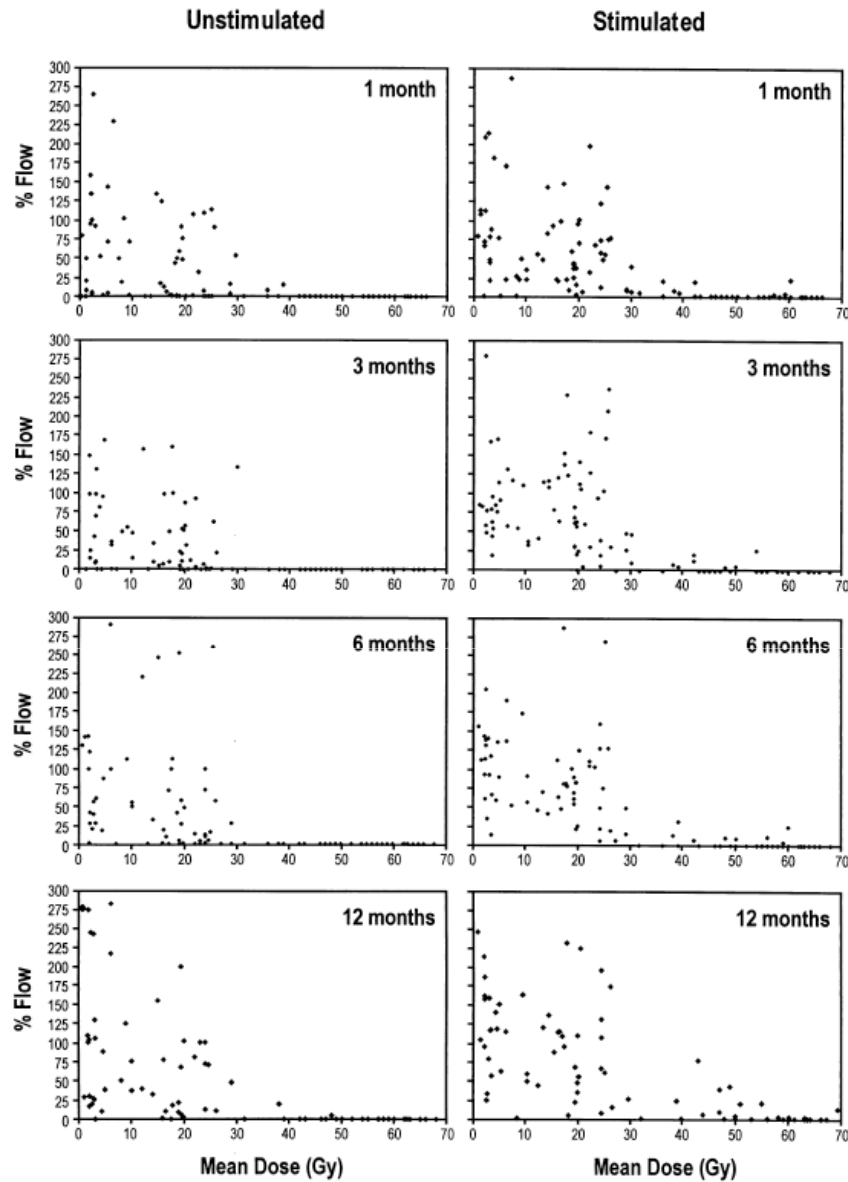


Fig. 2. Salivary flow rates, unstimulated and stimulated, vs. mean parotid gland dose at various time points after the completion of RT. The flows are expressed as the percentage of the pre-RT flow rates for each gland.

## Parotid Sparing

—

## Clinical Results

Eisbruch et al.,  
IJROBP, 1999

-> Aim for a median  
parotid dose of <26 Gy

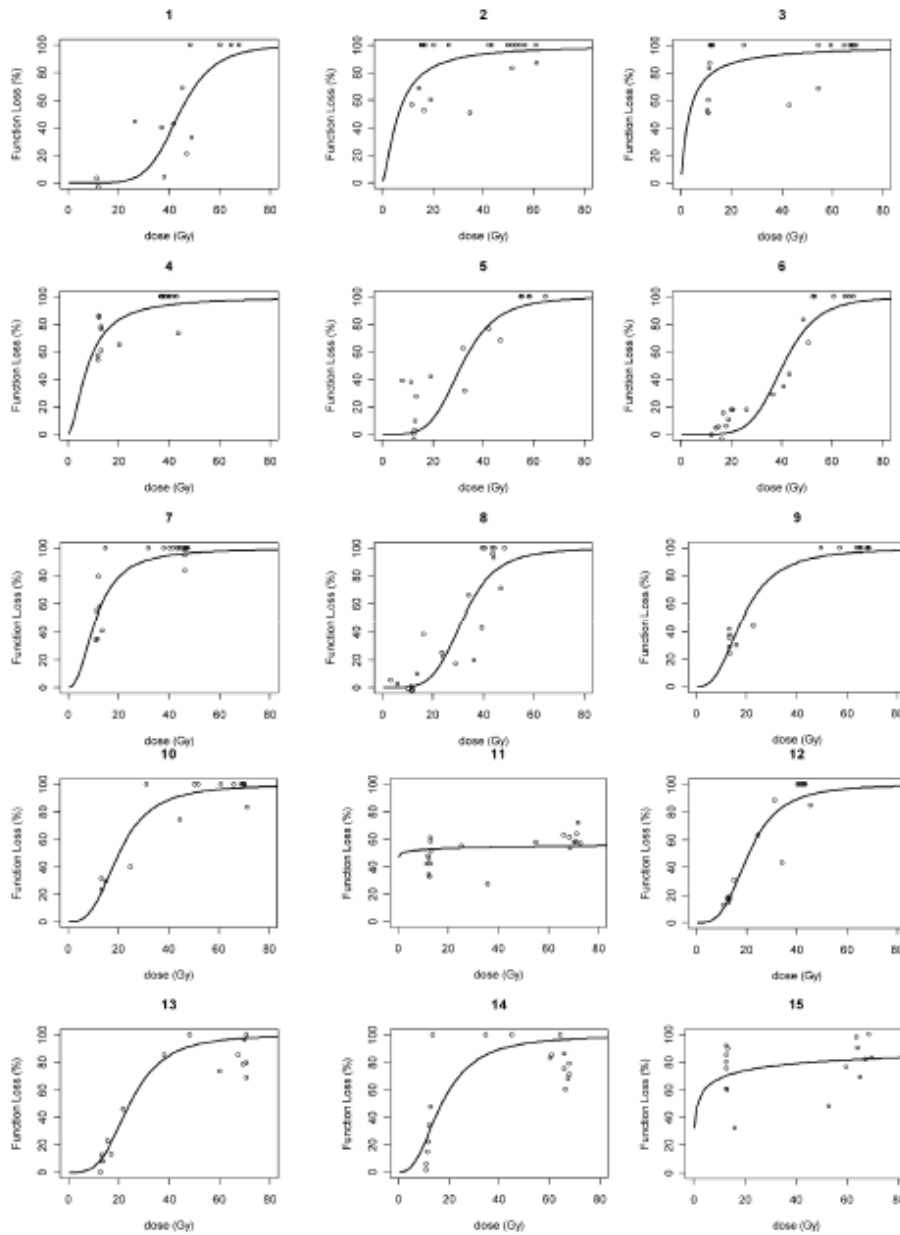


Fig. 5. The figure consists of the dose (Gy; X-axis) versus loss of function (dSEF; Y-axis) graphs for each of the 15 patients separately.

## Parotid Sparing

—

## Clinical Results

Bussels et al.,  
R&O, 2004

-> Radiosensitivity of Parotid  
might be individual

-> Parotid Tissue that is exposed  
to a dose that is lower than  
an individual threshold  
seems to remain functional

# Parotid Tolerance -> The (almost) definitive data....

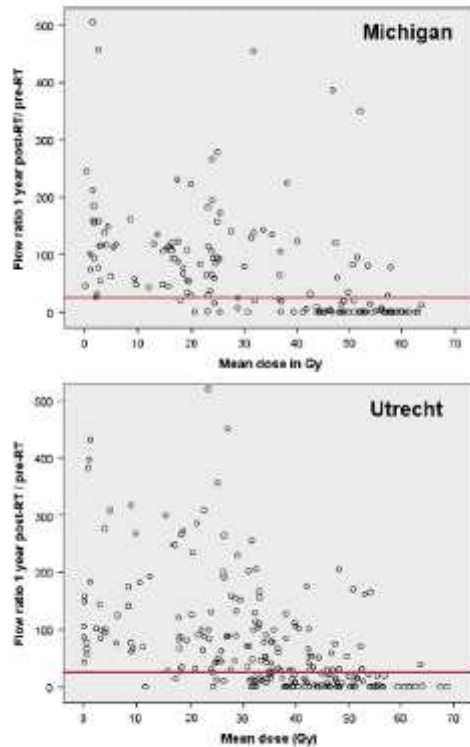


Fig. 1. Parotid flow ratio at 1 year after radiotherapy (RT) as a function of the mean parotid gland dose for Michigan (157 glands) and Utrecht (227 glands). The horizontal line indicates the complication threshold according to Radiation Therapy Oncology Group/European Organization for Research and Treatment of Cancer Grade 4 xerostomia (flow ratio <25% of pretreatment).

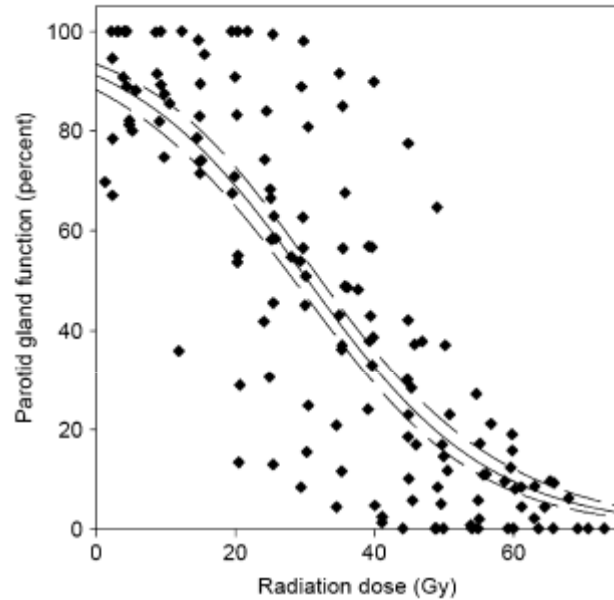


Fig. 4. Population-based dose vs. local function response (salivary function at rest) from imaging study by Buus *et al.* (2). Local functional decline in metabolic clearance of parotid salivary glands vs. local dose, according to voxel-by-voxel estimated time-activity curves of intravenously injected C11-methionine. Data points from 12 patients shown, along with best-fit curve and 95% confidence intervals of curve fit. Individual gland curves reported by Buus *et al.* (2) deviated significantly from this population average curve (reproduced from Buus *et al.* [2], used with permission.) This population curve demonstrated functional decline in salivary function even at low doses.

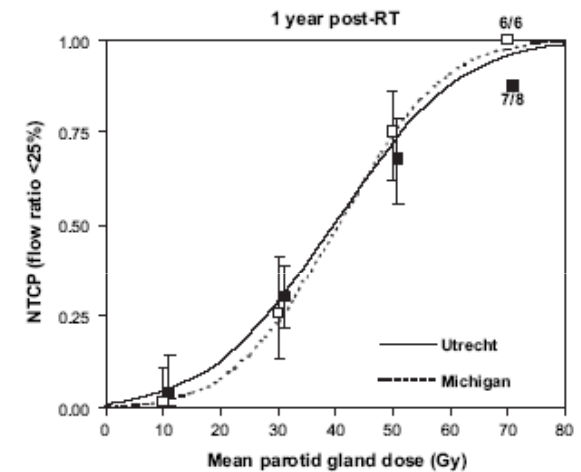


Fig. 2. Normal tissue complication probability (NTCP) curves as a function of the mean parotid gland dose for Michigan (dashed line) and Utrecht (solid line). Clinical NTCP values (using mean dose bins of 20 Gy) are shown for Michigan (open squares) and Utrecht (black squares), including 95% confidence intervals. RT = radiotherapy.

Deasy/Eisbruch, IJROBP, 2010  
Dijkema/Eisbruch, IJROBP, 2010

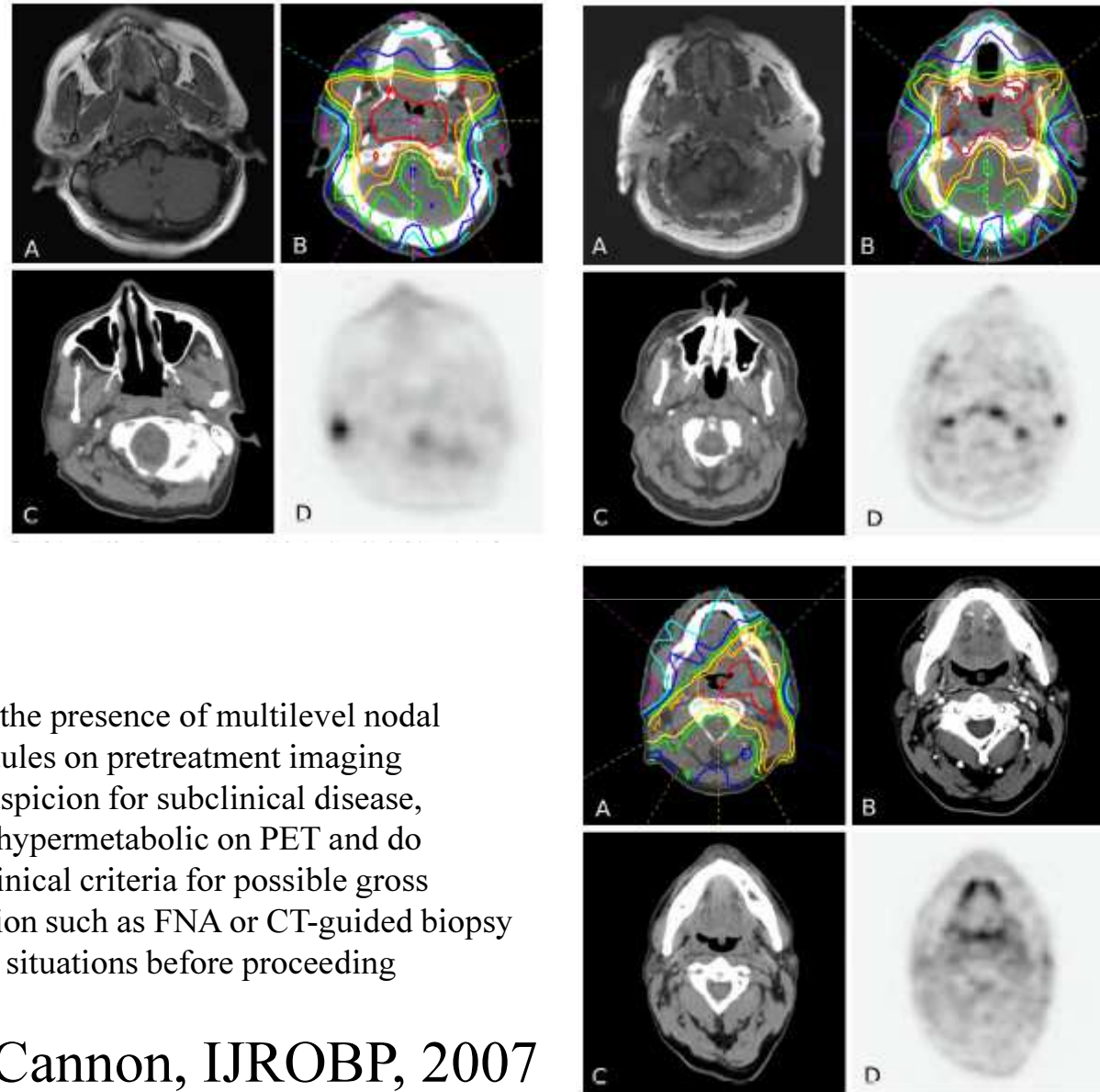
## Recurrences after conformal parotid-sparing radiotherapy for head and neck cancer

Barbara Bussels<sup>a,\*</sup>, Annelies Maes<sup>b</sup>, Robert Hermans<sup>c</sup>, Sandra Nuyts<sup>a</sup>, Caroline Weltens<sup>a</sup>,  
Walter Van den Bogaert<sup>a</sup>

>95% in-field relapse

➤ Parotid sparing with correct  
Patient selection does not seem  
to increase marginal misses

➤ ...is it?



„Our experience with the 2 NPC patients suggests that the presence of multilevel nodal disease and periparotid nodules on pretreatment imaging should raise the index of suspicion for subclinical disease, even if the nodules are not hypermetabolic on PET and do not meet radiographic or clinical criteria for possible gross disease. Additional evaluation such as FNA or CT-guided biopsy might be warranted in such situations before proceeding with definitive IMRT.“

Cannon, IJROBP, 2007

# It usually is, but you gotta watch out!

Chen et al., IJROBP, 2011

90 Pts  
11 In-Field Relapses  
6 Marginal Misses

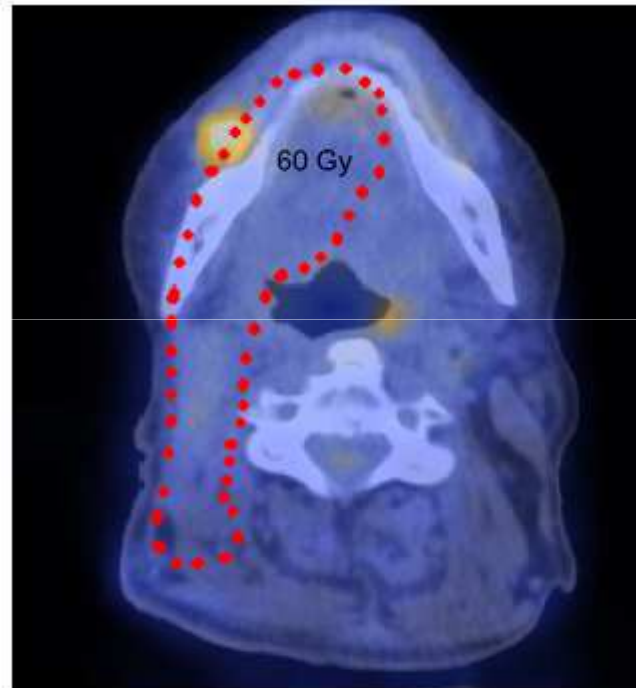


Fig. 4. Case illustration (marginal miss): This 69-year-old male underwent laser CO<sub>2</sub> excision for T1 squamous cell carcinoma of the right buccal mucosa and split-thickness skin grafting. Modified radical neck dissection on the ipsilateral side revealed six lymph nodes involved with malignancy at levels Ib and II with extracapsular extension. He subsequently completed postoperative IMRT with concurrent cisplatin chemotherapy. A dose of 60 Gy in 30 fractions was delivered to the primary tumor site and ipsilateral neck, with 54 Gy delivered to the contralateral left neck. Approximately 9 months later, the patient presented with a subcutaneous nodule arising from the right anterior aspect of his mandible. PET/CT suggested LRR, which was confirmed by fine-needle aspiration biopsy.

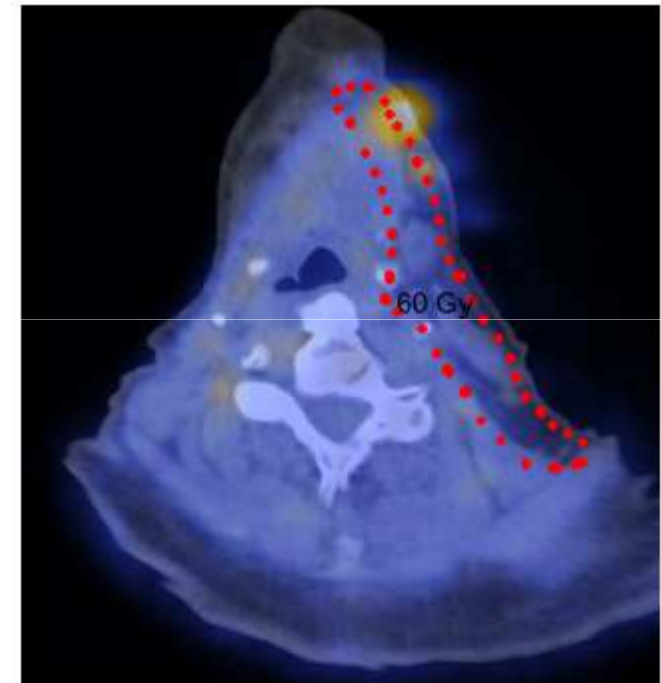


Fig. 5. Case illustration (marginal miss): This 70-year-old male underwent partial glossectomy for a 3.5-cm focus of squamous cell carcinoma involving the left oral tongue. Modified radical neck dissection on the ipsilateral side revealed 3 of 20 lymph nodes involved with disease at level Ib and II. There was no extracapsular extension. Postoperative radiation therapy was delivered to a dose of 60 Gy in 30 fractions to the primary site and ipsilateral cervical neck. The contralateral neck and supraclavicular fossae were treated to 54 Gy. Approximately 6 months after treatment, the patient presented with a suspicious erythematous lesion involving the left neck. PET/CT suggested LRR, which was confirmed by fine-needle aspiration biopsy.



# It usually is, but you gotta watch out!

Chen et al., IJROBP, 2011

90 Pts  
11 In-Field Relapses  
6 Marginal Misses

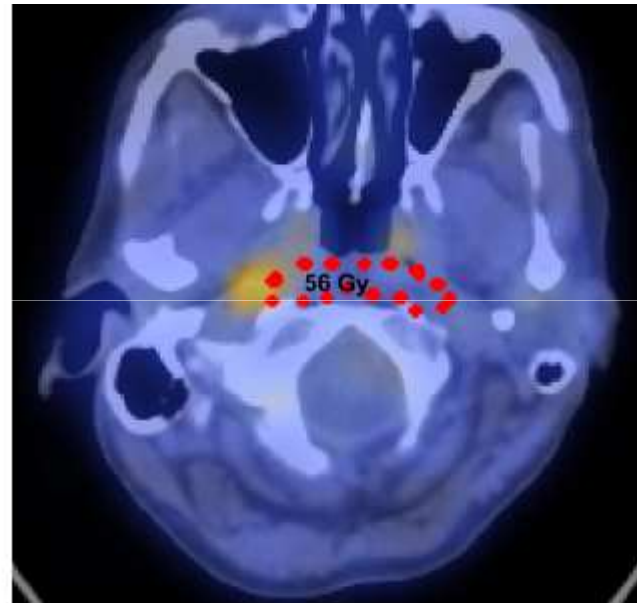


Fig. 2. Case illustration (marginal miss): This 70-year-old female underwent total laryngectomy and bilateral neck dissection for T4aN0 squamous cell carcinoma of the right pyriform sinus with extension through the thyroid cartilage. She subsequently completed postoperative IMRT at a dose of 63 Gy in 35 fractions to the primary surgical bed and 56 Gy (red outline) to the bilateral necks, including the retropharyngeal lymph nodes. A follow-up PET/CT scan performed approximately 12 months after completion of IMRT suggested regional recurrence in the retropharyngeal lymph nodes near the base of the skull. She also developed simultaneous lung metastasis. It should be noted that CTV delineation in this case was inadequate given that the consensus guidelines describe the lateral boundaries of the retropharyngeal nodal region as the medial edge of the internal carotid artery.

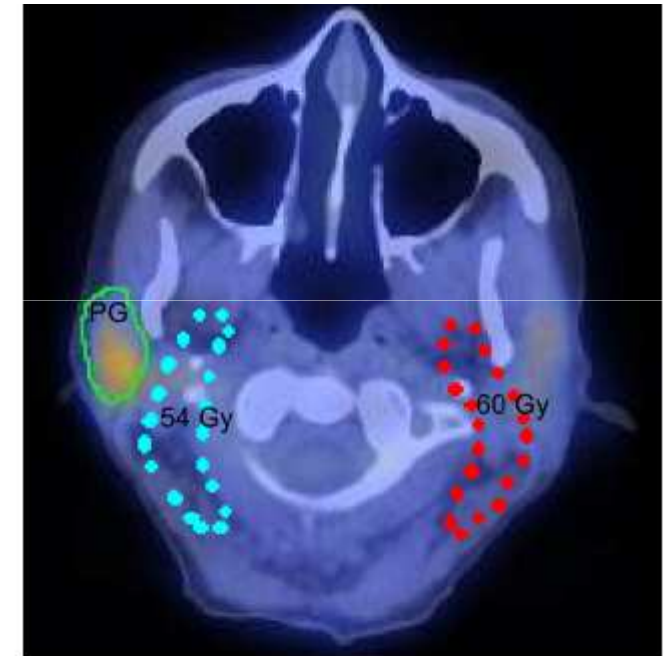
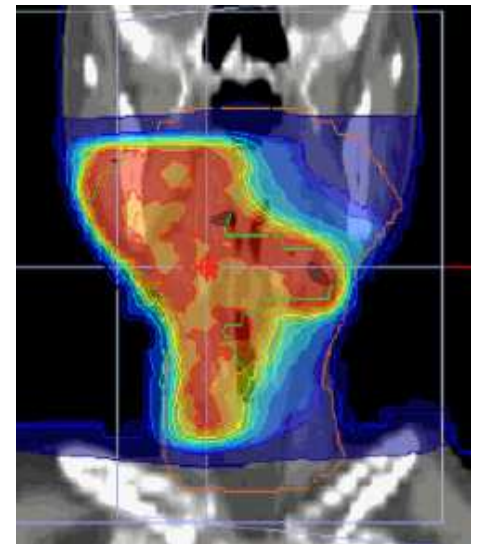
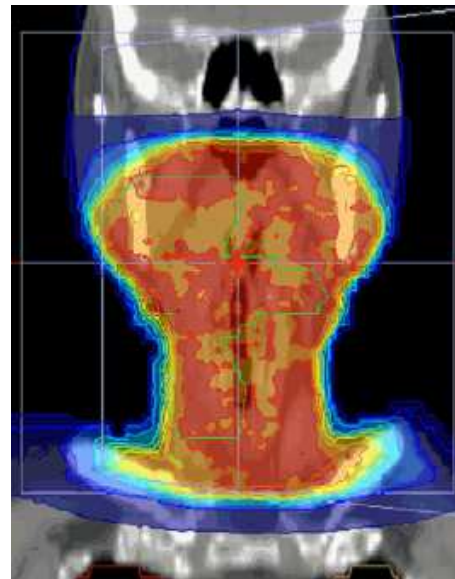
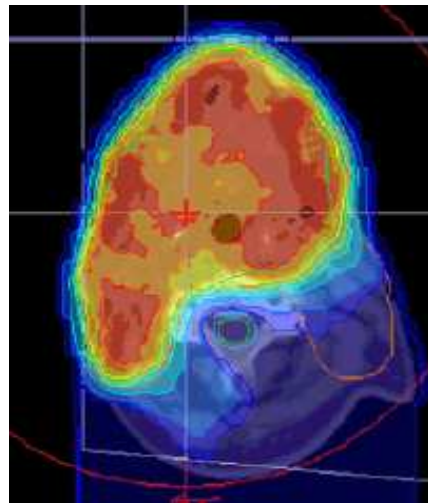
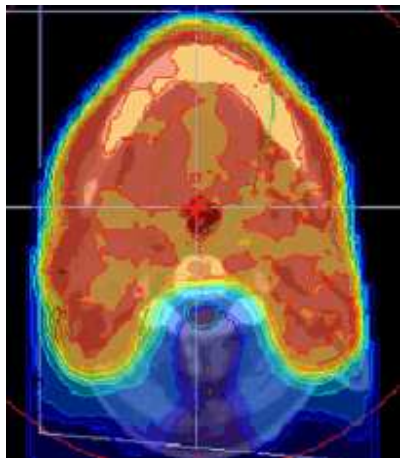
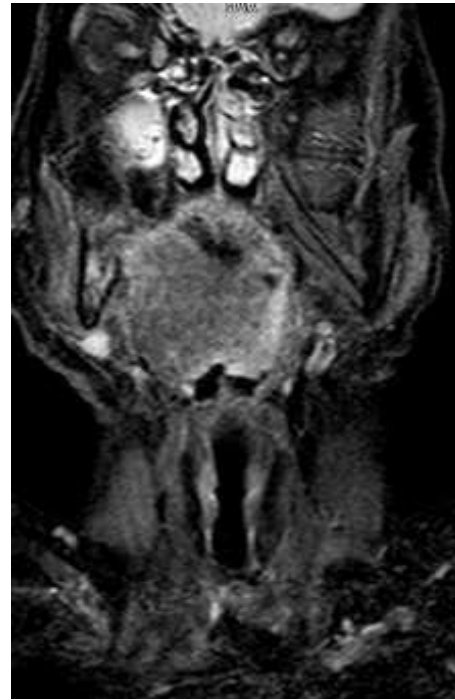
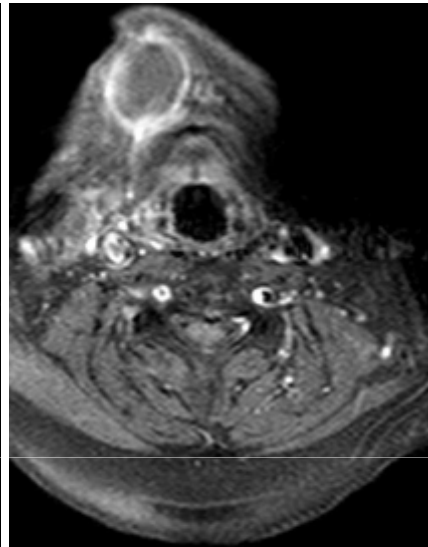
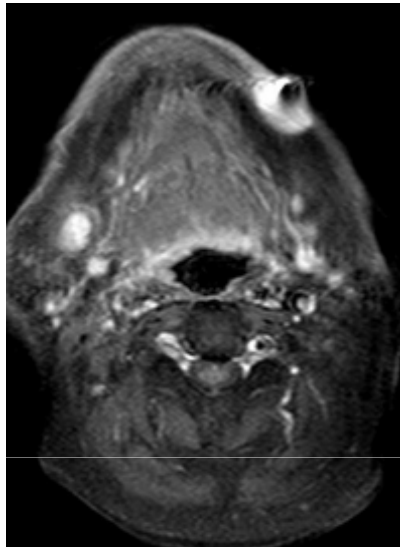


Fig. 3. Case illustration (marginal miss): This 67-year-old male underwent segmental mandibulectomy and open resection of a 3-cm squamous cell carcinoma of the left oral tongue. Modified radical neck dissection on the ipsilateral side revealed 4 of 15 lymph nodes involved at levels II and III. He subsequently completed postoperative IMRT with concurrent cisplatin chemotherapy. A dose of 60 Gy in 30 fractions (red outline) was delivered to the primary tumor site and ipsilateral neck. The contralateral right neck received a dose of 54 Gy (blue outline). Approximately 13 months later, the patient presented with fullness in the right parotid region. PET/CT scan suggested LRR near the region of the spared parotid gland (PG), which was confirmed by fine-needle aspiration biopsy.

# UMM example

– cancer of the lower lip



## Relapse Pattern OCC - PMH

*“Conclusions: Nearly a third (12/38) of LR recurrences were marginal or out-of-field following postoperative IMRT for OCSCC. Postoperative IMRT following gross total surgical resection requires careful and comprehensive target volume delineation, and larger volumes may be needed than the primary RT setting.”*

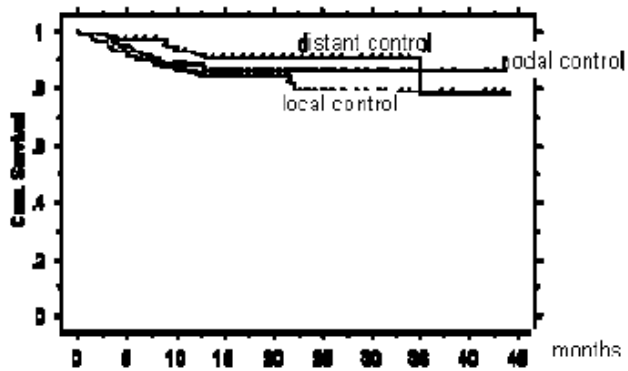
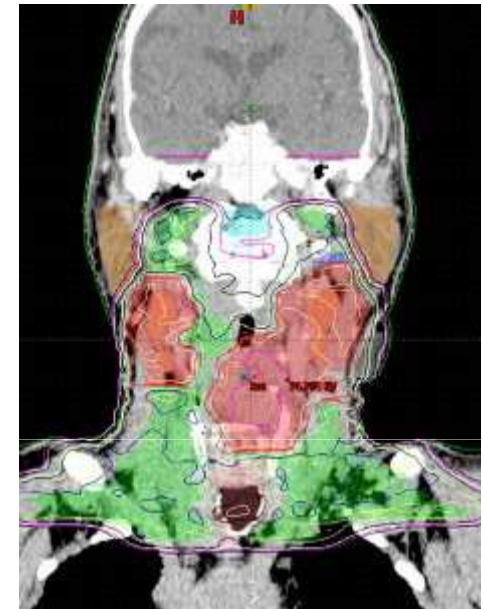
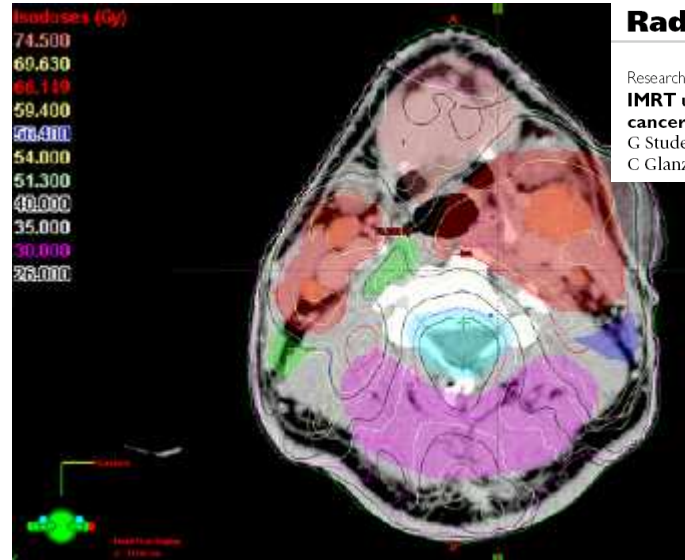
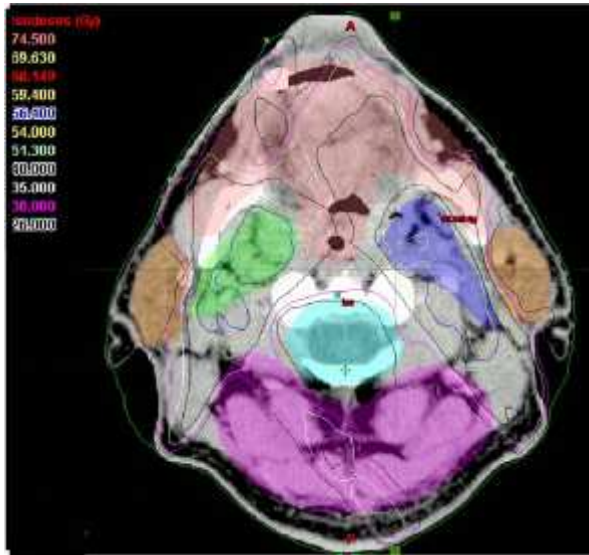
Chan, R&O, 2013

Research

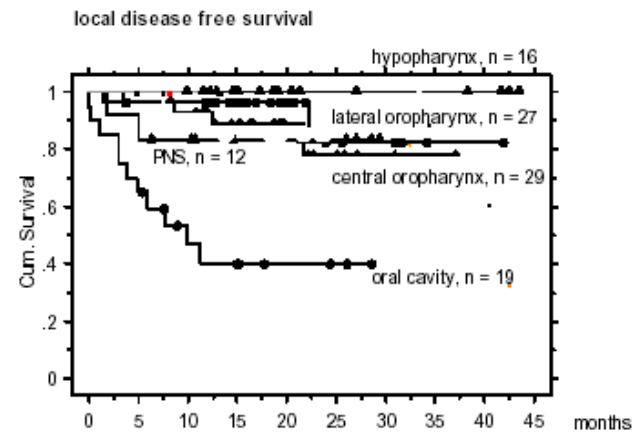
Open Access

IMRT using simultaneously integrated boost (SIB) in head and neck cancer patients

G Studer\*<sup>1</sup>, PU Huguenin<sup>1</sup>, JB Davis<sup>2</sup>, G Kunz<sup>2</sup>, UM Lütolf<sup>1</sup> and C Glanzmann<sup>1</sup>



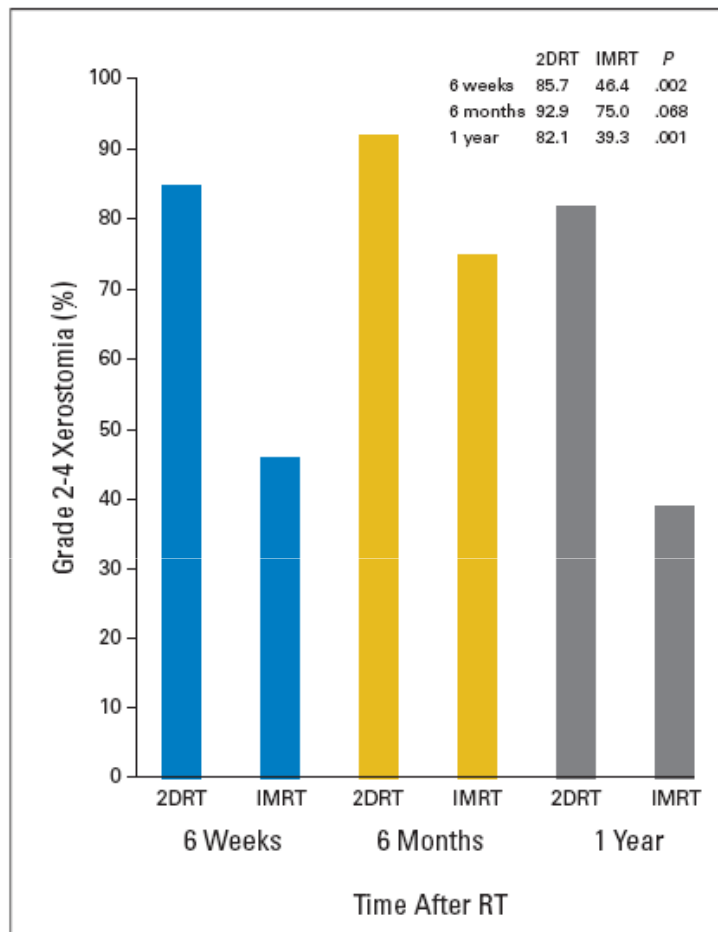
**Figure 1**  
Actuarial 2 year local, nodal, and distant disease free survival: 77 %, 87 %, and 78 %, respectively



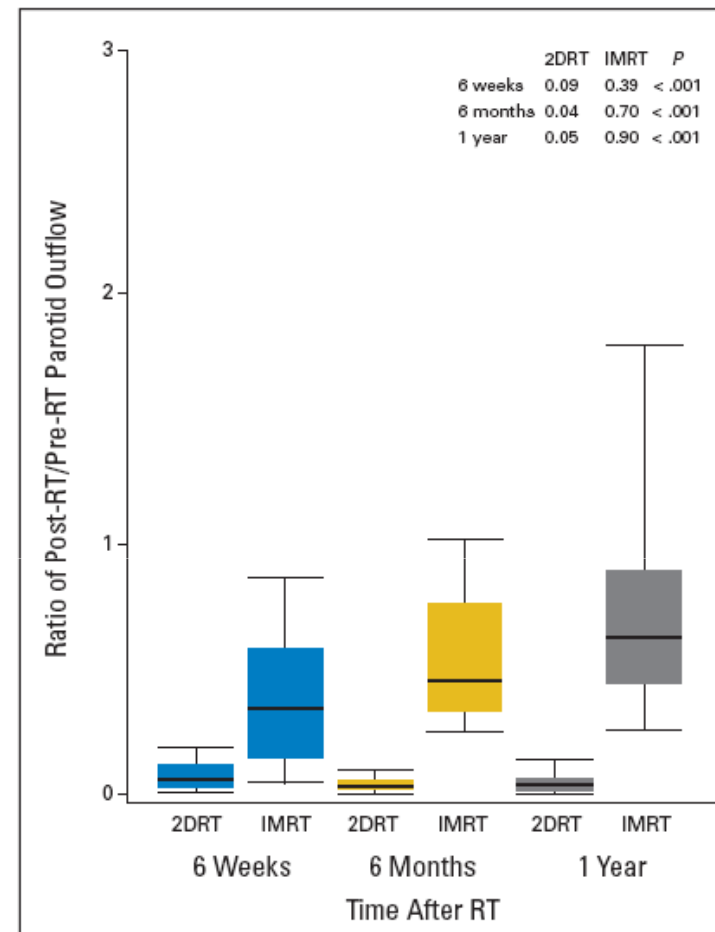
**Figure 2**  
Actuarial 2 year local disease free survival in different HNC entities. Hypopharyngeal tumors revealed the highest local control rates, while oral cavity tumors showed the lowest rate. This fact can not be explained by TN stages or tumor volumes, and is issue of further data analyses.

6% Grade  
3/4 late Tox

Table 3). 5 loco-regionally controlled patients suffered from distant failure.



**Fig 2.** Histogram showing the incidence of Radiation Therapy Oncology Group (RTOG)/European Organisation for the Research and Treatment of Cancer (EORTC) grade 2 to 4 xerostomia in patients treated by two-dimensional radiation therapy (2DRT) and intensity-modulated radiation therapy (IMRT).



**Fig 3.** Changes in fractional stimulated parotid flow rate (SPFR) after two-dimensional radiation therapy (2DRT) and intensity-modulated radiation therapy (IMRT). Spread of data denoted by box whiskers plot: box limits represent 25 and 75 percentiles, line within box median, whisker ends 1 and 99 percentiles; comparison of means denoted in inserts.

Good functional parotid sparing with IMRT.....

.....but no subjective gain. Really?

Kam, JCO, 2007

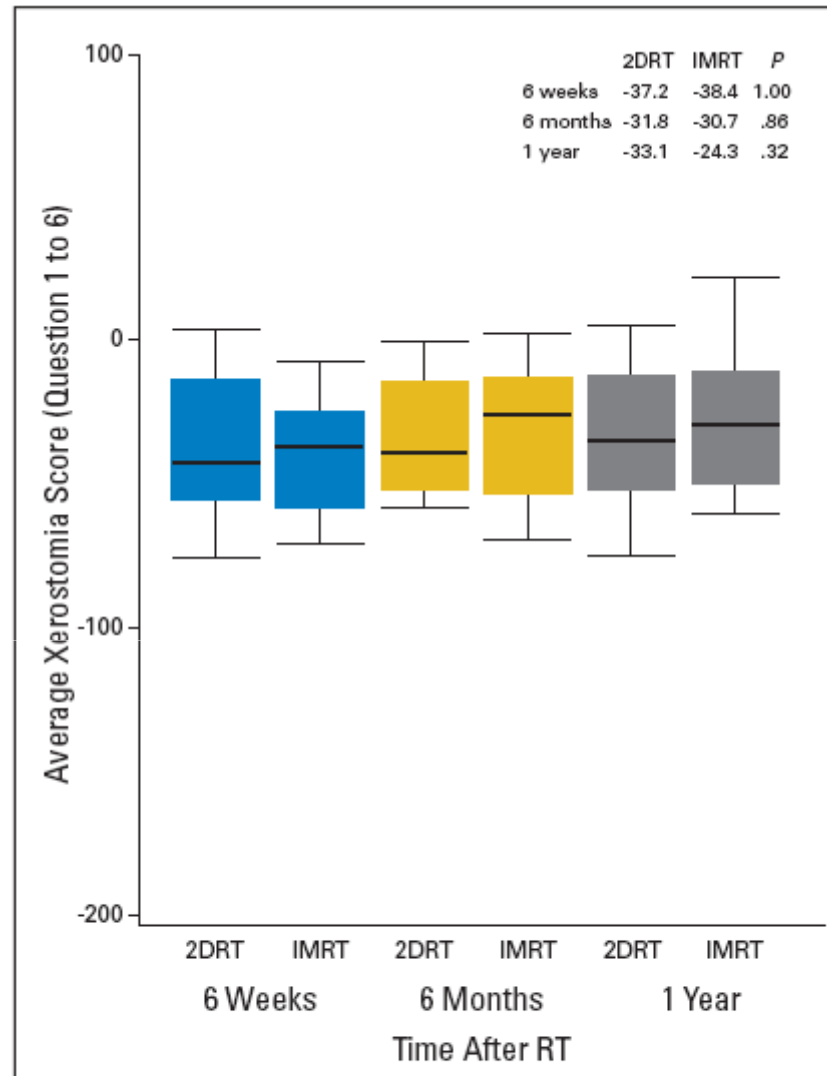


Fig 5. Average scores of the six-item xerostomia questionnaire in two-dimensional radiation therapy (2DRT) and intensity-modulated radiation therapy (IMRT) arms at various time point post-treatment. Positive score indicates improvement and negative score indicates deterioration. Spread of data denoted by box whiskers plot: box limits represent 25 and 75 percentiles, line within box median, whisker ends 1 and 99 percentiles; comparison of means denoted in inserts.

# Intensity-Modulated Radiation Therapy in Head and Neck Cancers

## *Dosimetric Advantages and Update of Clinical Results*

*Dev R. Puri, MD, William Chou, MD, and Nancy Lee, MD*

*American Journal of Clinical Oncology • Volume 28, Number 4, August 2005*

TABLE 2. Results From Series Treating NPC With IMRT +/- Chemotherapy

Study	n	Characteristics	Median Follow-up, mo	Time Point, y	Local Control, %	Regional Control, %	Distant Metastasis-free Rate, %	Overall Survival, %	Comments
Lee et al <sup>6</sup> (United States)	67	All stages	31	4	97	98	66	73	Most stage III/IV and received chemotherapy; GTV received 65–70 Gy; brachytherapy boost given
Kwong et al <sup>14</sup> (Hong Kong)	33	T1N0-N1, M0	24	3	100	92	100	100	Dose to GTV was 68–70 Gy; continuous recovery of salivary flow observed in the first 2 y after IMRT
Kam et al <sup>15</sup> (Hong Kong)	64	All stages	29	3	92	98	79	90	Dose escalation above 66 Gy was a significant determinant of progression-free survival and distant-metastasis free survival for advanced T-stage tumors

NPC, nasopharyngeal cancer; IMRT, intensity-modulated radiation therapy.



First results of a phase III multicenter randomized controlled trial (IMRT) versus conventional radiotherapy (RT) in head and neck cancer (PARSPORT: ISRCTN48243537; CRUK/03/005).

Presenter: Christopher Nutting, MD, FRCR

Slide 1 of 26

PARSPORT

# First Results of a Phase III Multi-Centre Randomised Controlled Trial of Intensity Modulated vs Conventional Radiotherapy in Head and Neck Cancer: PARSPORT (CRUK/03/005)

C. Nutting, R. A'Hern, M. S. Rogers, M. A. Sydenham, F. Adab, K. Harrington, S. Jefferies, C. Scrase, B. K. Yap, E. Hall, on behalf of the PARSPORT Trial Management Group



First results of a phase III multicenter randomized controlled trial of intensity modulated (IMRT) versus conventional radiotherapy (RT) in head and neck cancer (PARSPORT: ISRCTN48243537; CRUK/03/005).

Presenter: Christopher Nutting, MD, FRCR

Slide 6 of 26

## PARSPORT Trial Design

Head and neck cancer patients at risk of radiation induced xerostomia (oropharynx/hypopharynx)

Randomisation 1:1

Conventional radiotherapy (CRT)

Parotid-sparing IMRT

65Gy/30 fractions in 6 weeks - radical and post-operative R1/R2  
60Gy/30 fractions in 6 weeks - post-operative R0

First Results of the PARSPORT Trial, Proc ASCO 2009

- 1. Study Design
- 2. Primary Endpoints
- 3. Secondary Endpoints
- 4. Patient Population
- 5. Randomisation
- 6. Treatment Arms
- 7. Primary Inclusion Criteria
  - Histologically confirmed SCC/CA
  - Oropharynx or hypopharynx (T1-4 N0-3 M0)
  - Requires a postoperative (or preoperative) neck dissection (level I-IV)
  - Primary or post-operative radiotherapy
  - No subsequent chemotherapy
- 8. Primary Exclusion Criteria
  - Previous radiotherapy to head and neck
  - Previous radiotherapy to oral cavity
  - Pre-existing salivary gland pathology
  - Concurrent chemotherapy
- 9. Patient Considerations
  - Randomisation stratified by tumour site and stage
  - Single site - 24 participating centres (12 in the UK)
  - Randomised to either CRT or IMRT (1:1 ratio)
  - IMRT: parotid-sparing IMRT
  - IMRT: parotid-sparing IMRT
  - Analysis of heavy metals performed on all patients to allow dose rate control
  - Treatment for xerostomia, lymphedema and other side effects
- 10. Radiotherapy Planning and Delivery
  - PARSPORT uses the best available evidence to inform IMRT dose delivery
  - Dose: 65Gy/30 fractions (R1/R2) or 60Gy/30 fractions (R0)
  - Each centre had to submit specimen target volume (STV) and IMRT plan for approval prior to randomisation
  - Dose: 65Gy/30 fractions (R1/R2) or 60Gy/30 fractions (R0)



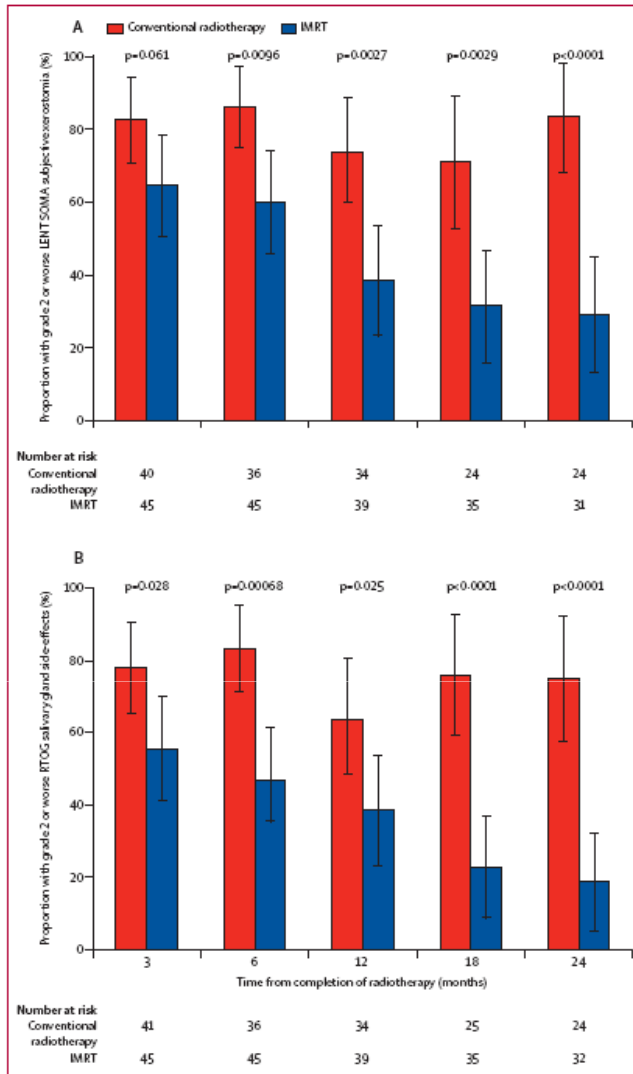


Figure 2: Proportion of patients reporting grade 2 or worse LENT SOMA subjective xerostomia and RTOG salivary gland side-effects  
 p values quoted compare proportions with grade 2 or worse side-effects in each group with a  $\chi^2$  test. Error bars represent 95% CIs. IMRT= intensity-modulated radiotherapy. LENT SOMA=Late Effects of Normal Tissues Subjective-Objective Management Analytic. RTOG= Radiation Therapy Oncology Group.

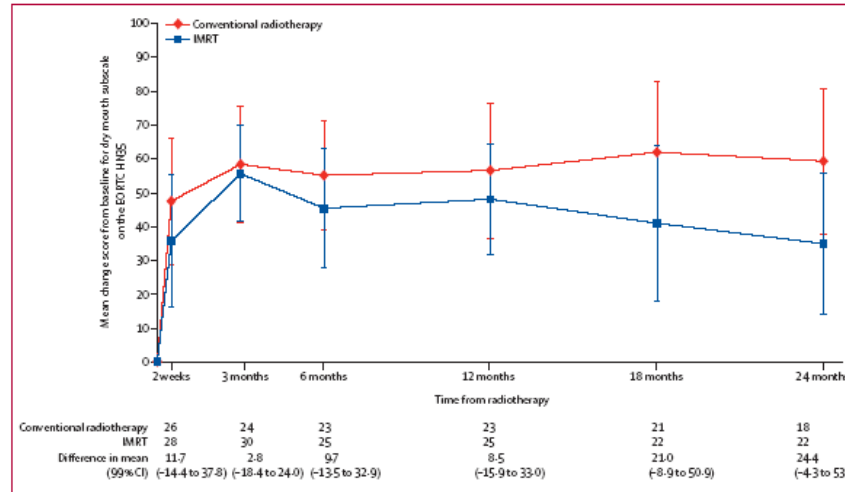


Figure 3: Mean EORTC HN35 dry mouth subscale score changes from baseline  
 IMRT= intensity-modulated radiotherapy. EORTC HN35= European Organization for Research and Treatment of Cancer head and neck specific module HN35.

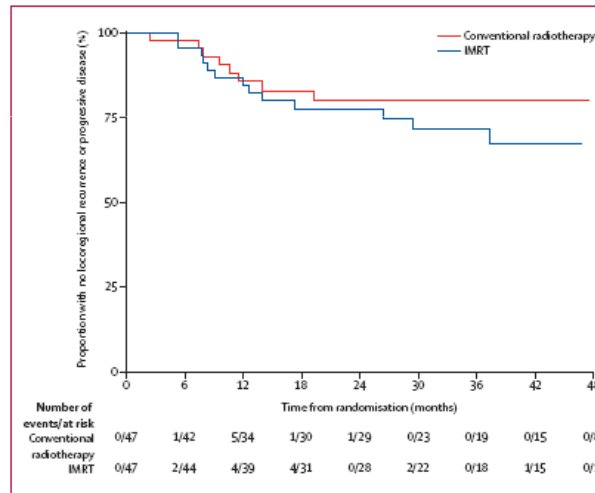
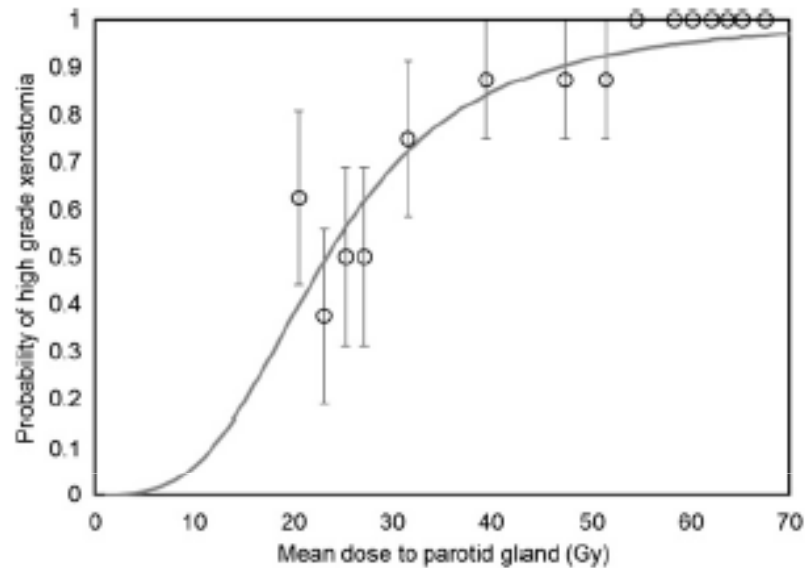


Figure 4: Kaplan-Meier plot of locoregional progression-free survival by treatment group  
 Hazard ratio 1.53 (95% CI 0.63 to 3.70). Log-rank test p=0.34. 2-year locoregional progression-free survival estimates for conventional radiotherapy 80% (95% CI 65 to 90) and for IMRT 78% (62 to 87); absolute difference 3% (-15 to 20). IMRT= intensity-modulated radiotherapy.

„Unexpectedly, acute fatigue was greater in patients treated with IMRT, which could be due to the greater radiation dose to non-tumour tissues. In an unplanned dosimetry review in a subset of patients, mean radiation doses to the posterior fossa were 20–30 Gy in the patients treated with IMRT compared with about 6 Gy in patients treated with conventional RT“

Nutting et al., Lancet Oncol, 2011

# Parsport – Parotid Dose Response Relationship



Miah et al., R&O, 2013 (I)

Fig. 3. Dose–response curve for probability of developing high grade xerostomia (no recovery of parotid saliva flow) at 12 months, error bars represent one standard error of mean.  $D_{50} = 23.4$  (95% CI: 20.6–26.2) and  $k = 3.2$  (95% CI: 1.9–4.5), line of best fit,  $R^2 = 0.85$ .

No differenced +/- Cht

Miah et al., R&O, 2013 (II)

# GORTEC 2004-3

Toledano et al., R&O, 2012

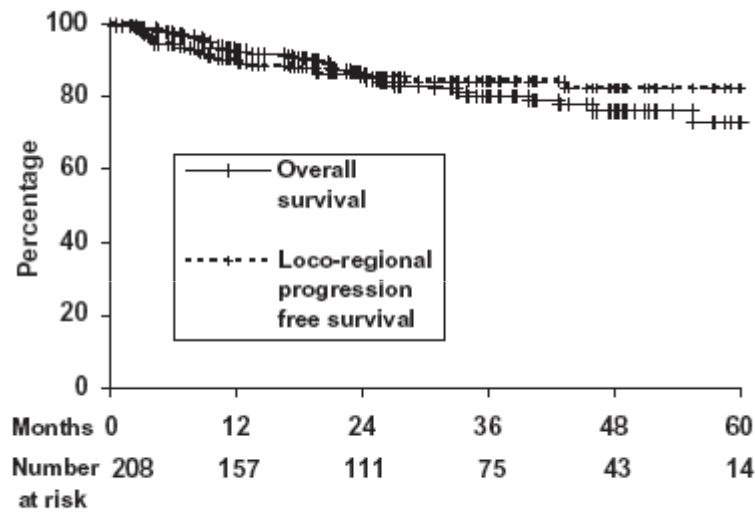


Fig. 1. Overall survival and loco-regional progression free survival (Kaplan-Meier method) of the population (208 patients).

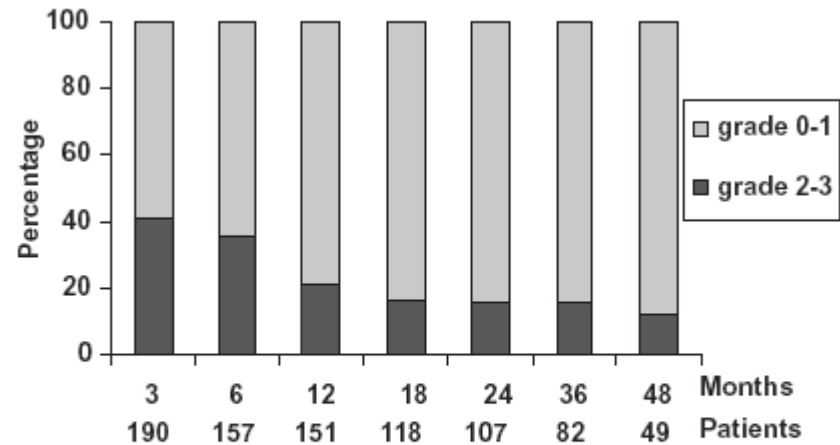


Fig. 3. Xerostomia evolution over the time (RTOG/EORTC classification).

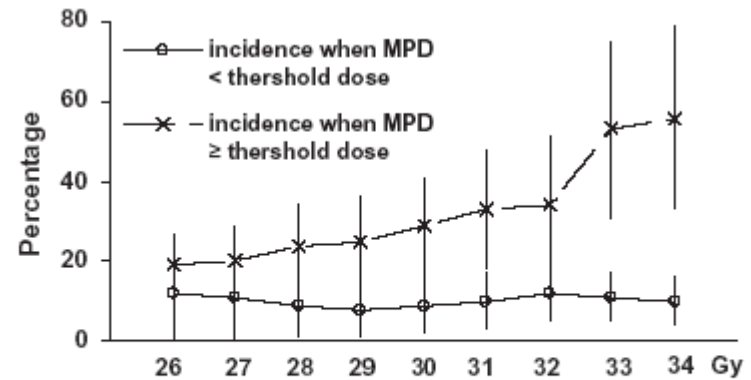


Fig. 4. Grade  $\geq 2$  xerostomia incidence according to the spared-parotid mean dose at 18 months (CI 95%). MPD: mean parotid dose, +3%/Gy of xerostomia grade  $\geq 2$  range 26-32 Gy, +7%/Gy of xerostomia grade  $\geq 2$  above 33 Gy.

# Tata Memorial Randomized Trial

Rathod et al.,  
Oral Oncol, 2013

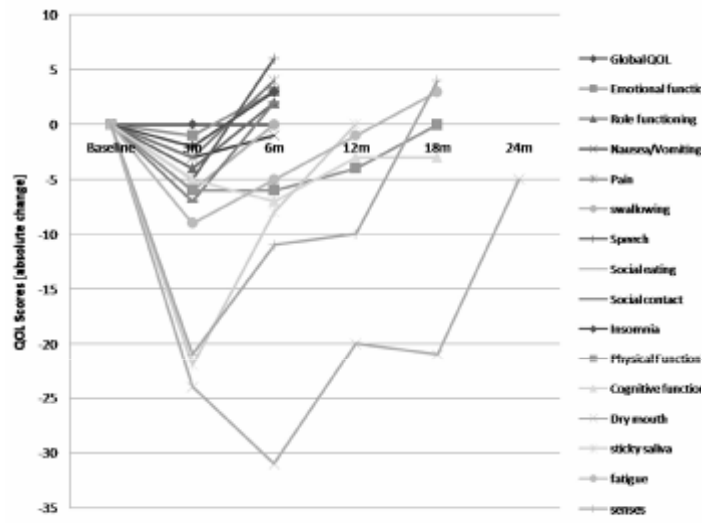


Figure 2 Patterns of recovery of different QOL domains in patients with head-neck cancers treated with high-precision conformal techniques (3D-CRT or IMRT)

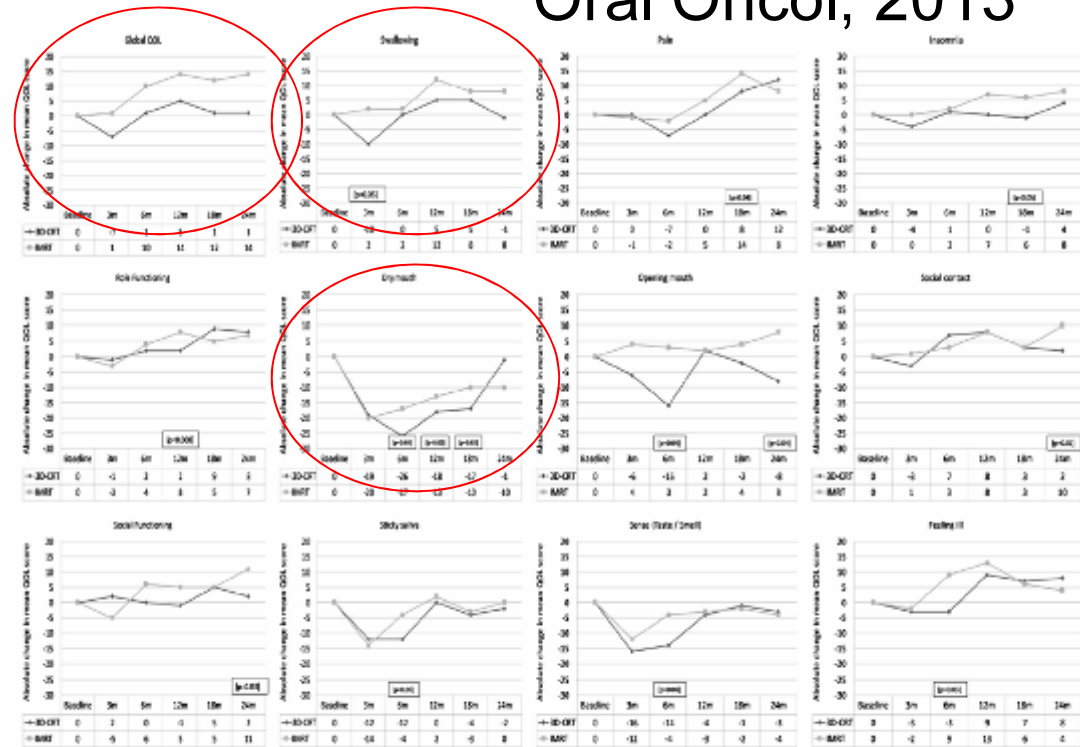


Figure 1 Comparison of absolute change in mean scores for several QOL domains and symptom subscales between 3D-CRT and IMRT at different time points. Statistically significant p-values are presented at appropriate time points.

*“Fiftyeight of 60 (96.7%) randomized patients filled at least one QOL questionnaire, while 22 (36.7%) filled the questionnaires at all the six time-points as per protocol”*

## Tata Memorial Randomized Trial

Rathod et al.,  
Oral Oncol, 2013

Caveat:

*At a median followup of 40 months (inter-quartile range 26-50 months):*

*The 3-year estimates of loco-regional control with 95% confidence intervals (95%CI) were*

**88.2%** (75.4–100%) for **3D-CRT**

**80.5%** (66.1–94.9%) for **IMRT**

*(p = 0.45).*

And if you want everything in one publication...

go for the Metaanalysis

Marta et al.,  
Radiother Oncol,  
2013

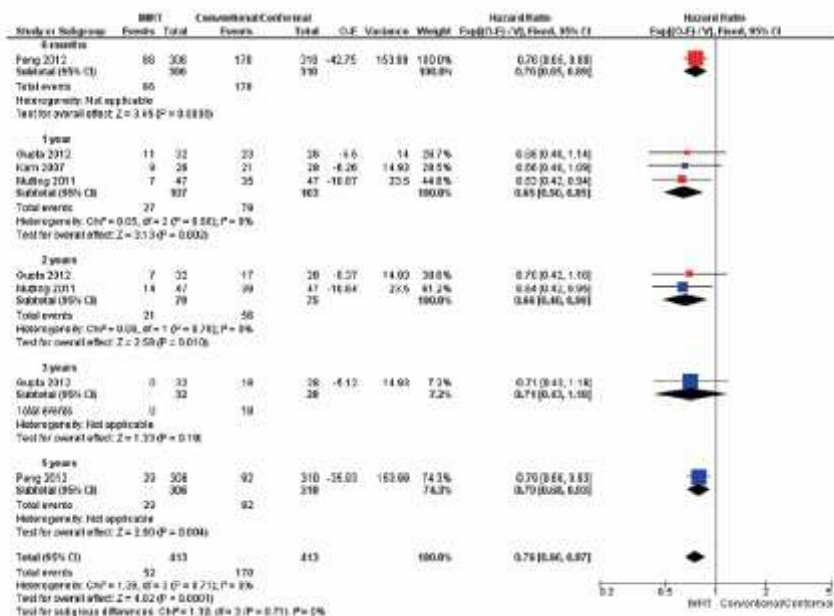


Fig. 2. IMRT versus conventional/conformal RT and symptom grade 2-4. Note: Data in red were not combined as they are from the same study - these data were used only to determine the size of the effect for the subgroup, each study were used (in blue).

G.N. Marta et al. / Radiotherapy and Oncology xxx (2013) xxx-xxx

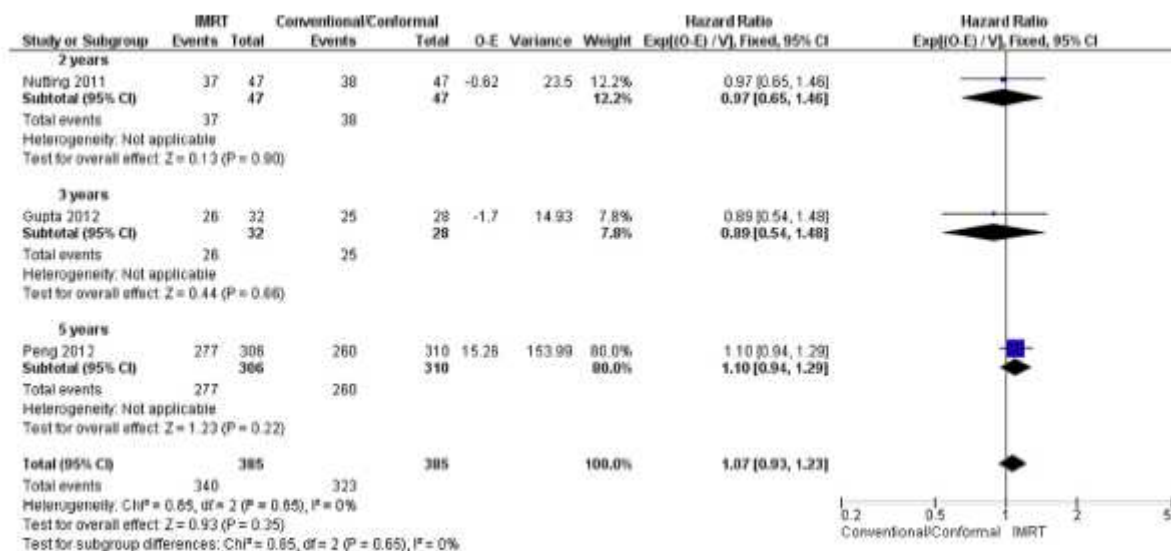
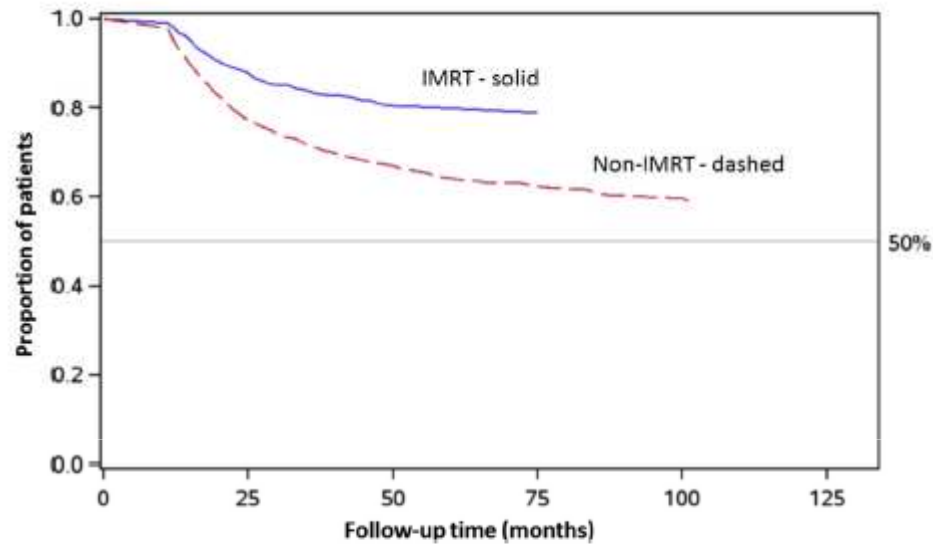


Fig. 3. Conventional/conformal RT versus IMRT and loco-regional control.

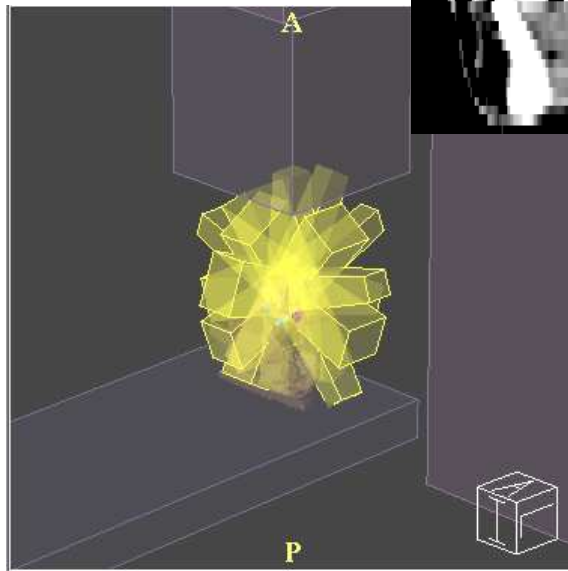
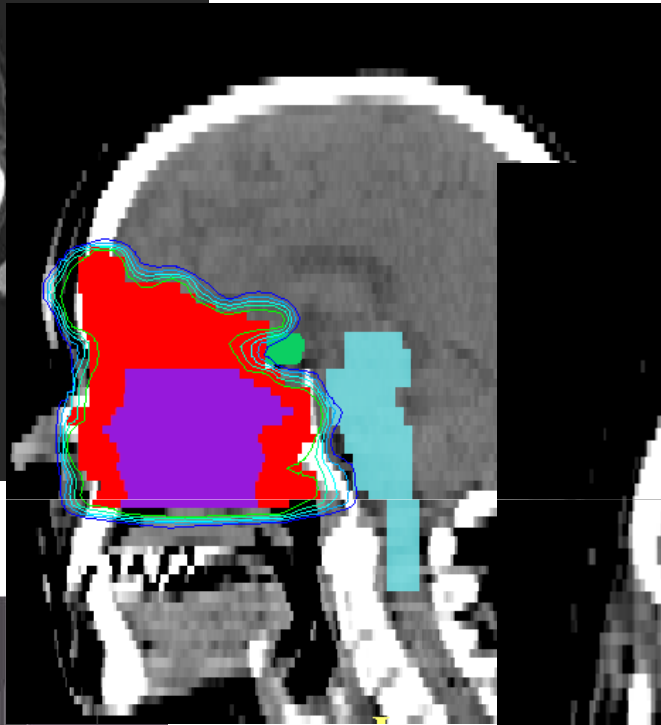
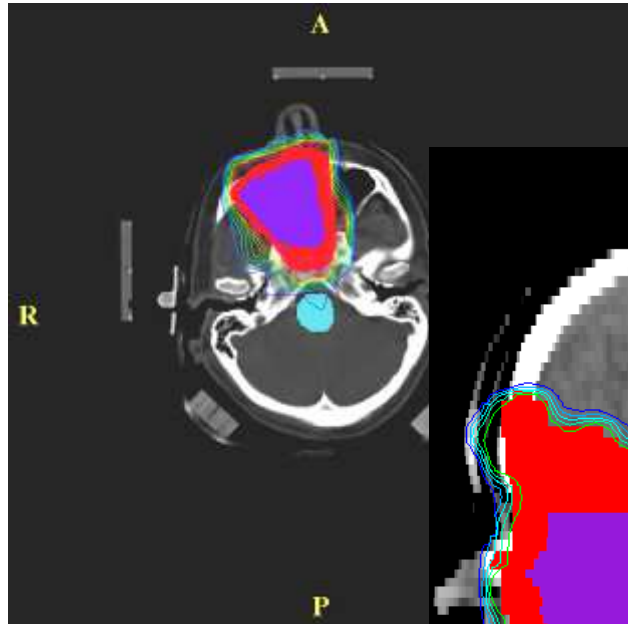
So IMRT is evil....is it? The SEER-Database suggests...



**Figure 1.** Impact of intensity-modulated radiation therapy (IMRT) on cause-specific survival. Kaplan-Meier curve depicting the cause-specific survival with time for patients treated with IMRT (dashed) compared to those treated with non-IMRT (solid).

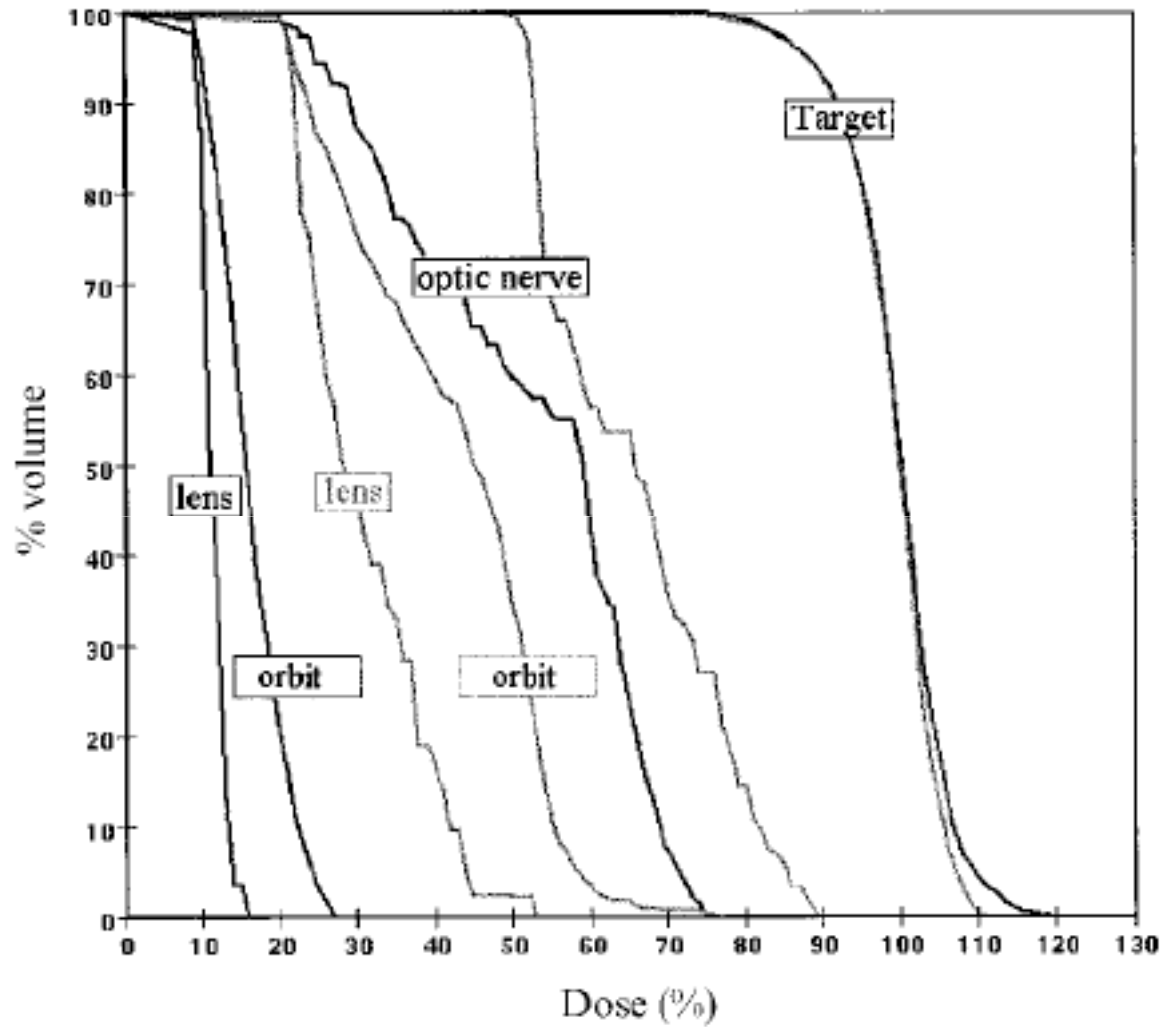
Beadle et al., Cancer, 2014

# Paranasal Sinus Integrated Boost



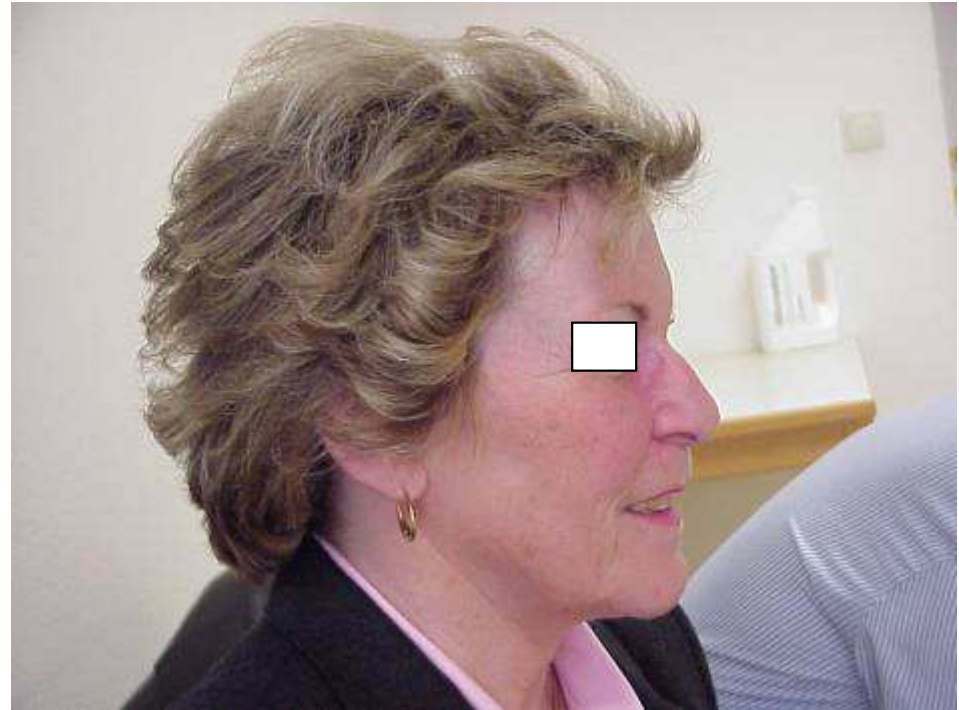
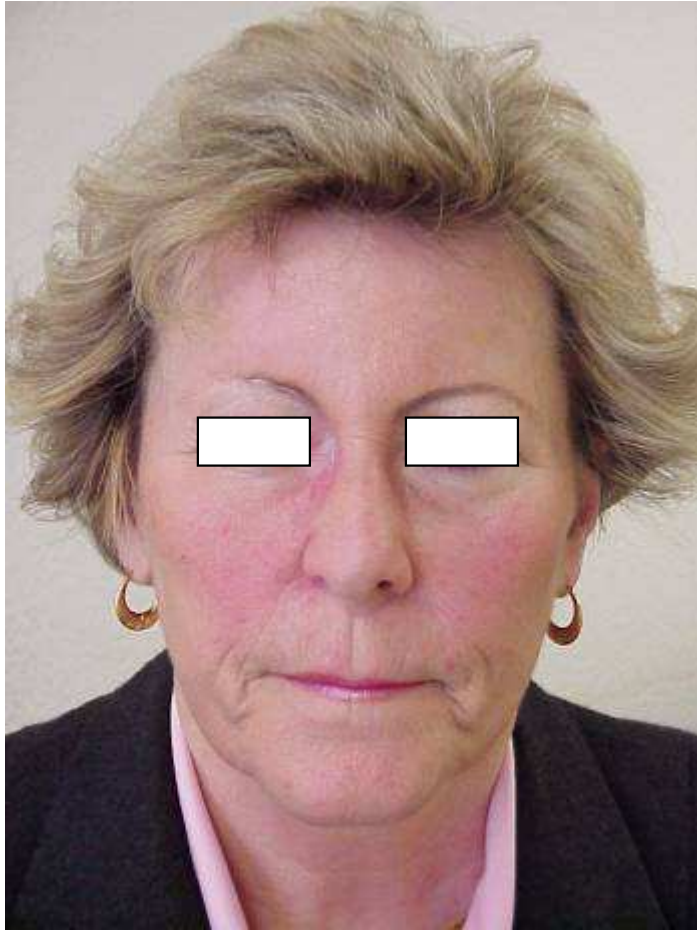


# Paranasal Sinus



Zabel et al.,  
BJR, 2002

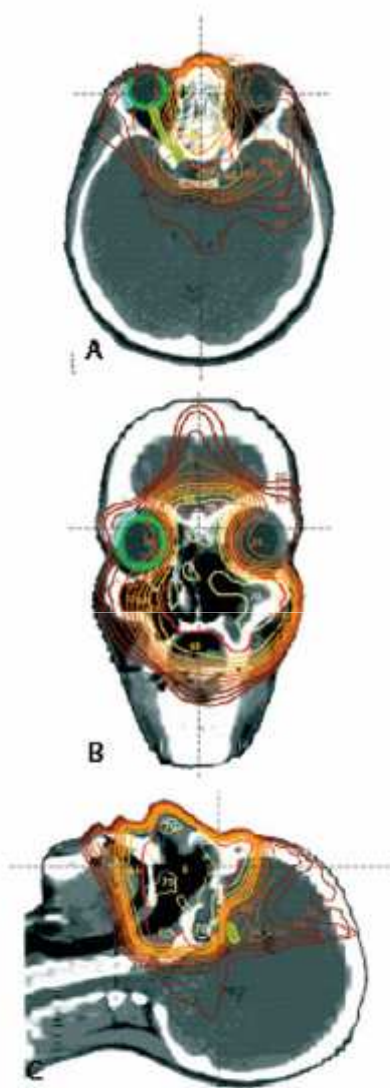
Status 1 Year post RT



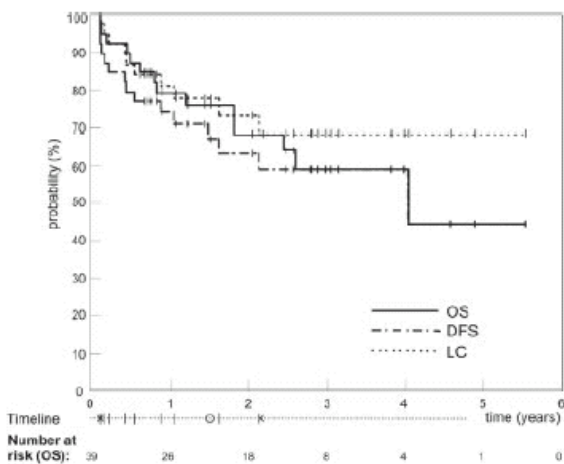
# Postoperative Intensity-Modulated Radiotherapy in Sinonasal Carcinoma

Clinical Results in 39 Patients

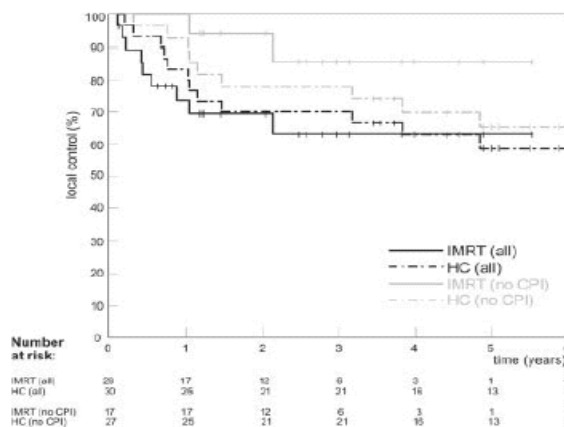
Wim Duthoy, M.D.<sup>1</sup>  
 Tom Boterberg, M.D., Ph.D.<sup>1</sup>  
 Filip Claus, M.D., Ph.D.<sup>1</sup>  
 Piet Ost<sup>1</sup>  
 Luc Vakaet, M.D., Ph.D.<sup>1</sup>  
 Samuel Brai, M.D.<sup>1</sup>  
 Frederic Duprez, M.D.<sup>1</sup>  
 Marianne Van Landuyt, M.D.<sup>1</sup>  
 Hubert Vermeersch, M.D., Ph.D.<sup>2</sup>  
 Wilfried De Neve, M.D., Ph.D.<sup>1</sup>



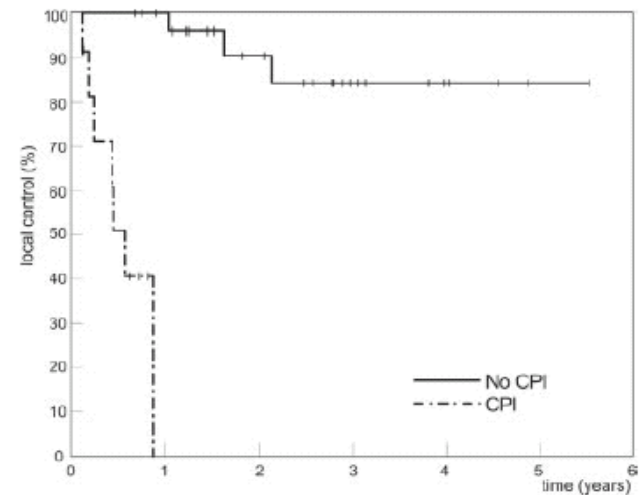
**FIGURE 1.** Dose distributions of a typical intensity-modulated radiotherapy plan for a patient with adenoid sinus carcinoma. Dose distributions are shown in a (A) transverse, (B) coronal, and (C) sagittal plane. The planning target volume (PTV) is shown in red. For clarity, the right-sided retina (dark green), optic nerve (light green), and lacrimal gland (blue) are depicted in Figures 1A and 1B. The optic chiasm (light green) is shown in C. The dashed lines represent the level at which the other planes are shown. Isodose lines are in gray (5y).



**FIGURE 3.** Overall survival (OS), disease-free survival (DFS), and local control (LC) for all patients. A timeline is shown, indicating the time of local (l), regional (r) and distant (x) disease recurrence.



**FIGURE 6.** Local control for patients treated with IMRT (solid lines) and for patients in the historical cohort (dashed lines). The black lines represent the data for all patients, whereas the gray lines represent the group of patients without invasion of the cribriform plate ("no CPI").



**FIGURE 5.** Local control in function of the presence of invasion through the cribriform plate (CPI).

# No Dry Eye Syndrome

INTENSITY-MODULATED RADIOTHERAPY FOR SINONASAL TUMORS: GHENT UNIVERSITY HOSPITAL UPDATE

INDIRA MADANI, M.D.,\* KATRIEN BONTE, M.D.,† LUC VAKAET, M.D., PH.D.,\*  
TOM BOTERBERG, M.D., PH.D.,\* AND WILFRIED DE NEVE, M.D., PH.D.\*

\*Department of Radiotherapy and †Division of Head and Neck Surgery, Ghent University Hospital, Ghent, Belgium

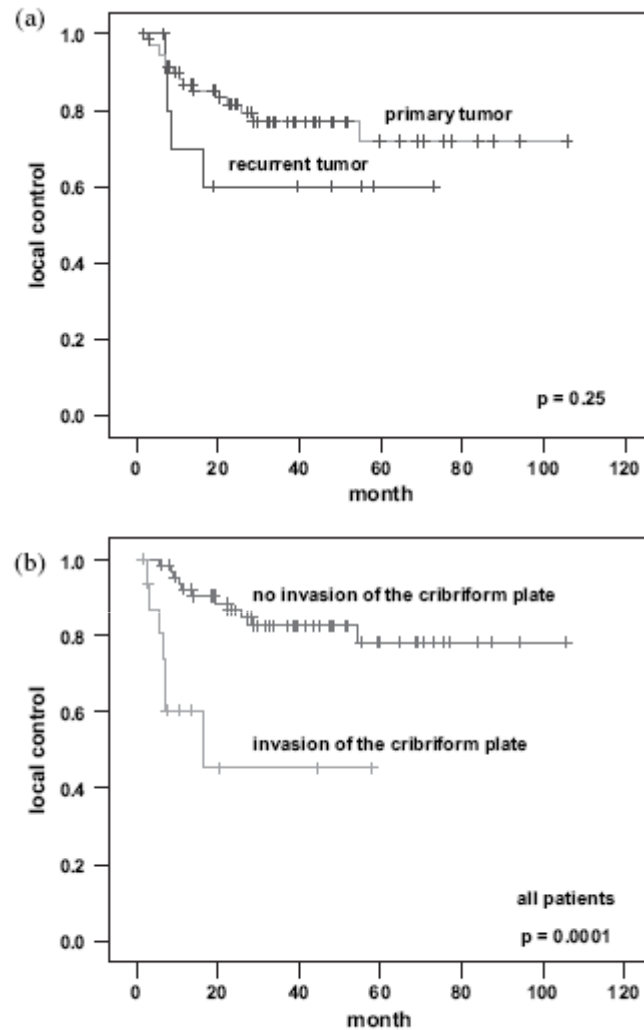


Fig. 1. (a) Local control for patients with primary and recurrent tumors and (b) with and without invasion of the cribriform plate in all patients.

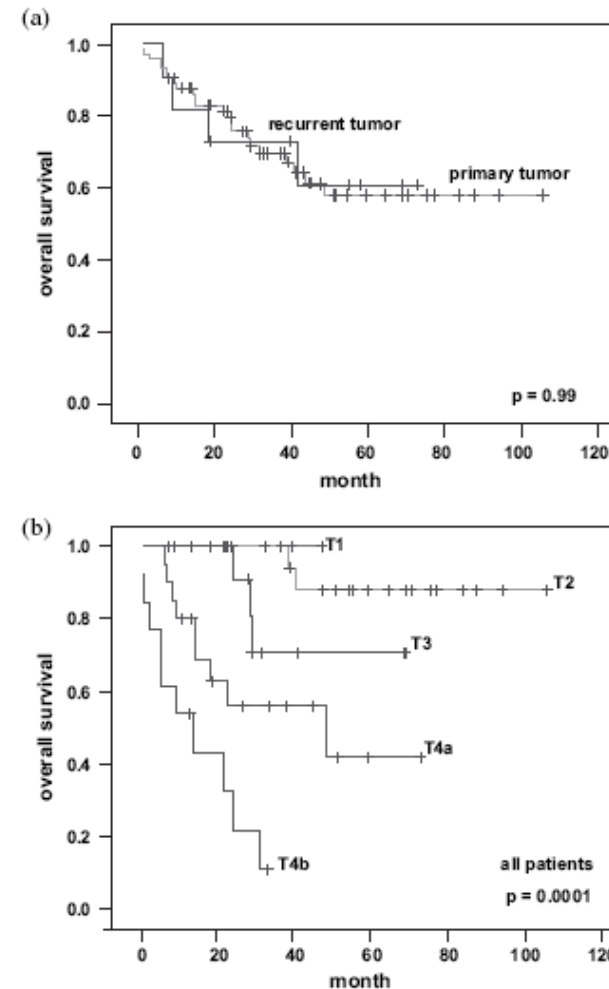


Fig. 2. (a) Overall survival for patients with primary and recurrent tumors, and (b) overall survival by T stage for all patients, except for those with esthesioneuroblastoma.

Table 8. Summary of studies reporting treatment outcome and late severe (grade 3) visual impairment after IMRT for sinonasal tumors

Investigator	Patients (n)	Histologic type*	Treatment	Median Dose (Gy)	Follow-up (mo)	Local control rate (y)	Overall survival rate (y)	Grade 3 visual impairment (n)
Claus <i>et al.</i> (8)	32	ADC (53)	S+IMRT or IMRT	70	15	NR	80% (1)	0
Duthoy <i>et al.</i> (9)	39	ADC (79)	S+IMRT	70	31	73% (2) 68% (4)	68% (2) 59% (4)	2
Combs <i>et al.</i> (22)	46	ACC (43.4)	S+IMRT or IMRT	64	16	85% (1) 81% (2)	96% (1) 90% (3)	0
Hoppe <i>et al.</i> (21) <sup>†</sup>	30		S+IMRT	60	23	NR	NR	0
Daly <i>et al.</i> (20)	36	SCC (33)	S+IMRT or IMRT	70	39	62% (2) 58% (5)	69% (2) 45% (5)	0
Chen <i>et al.</i> (19) <sup>‡</sup>	23		S+IMRT or IMRT	70	44	65% (5)	47% (5)	0
Dirix <i>et al.</i> (23)	25	ADC (68)	S+IMRT	60	27	81% (2)	88% (2)	0
Present study	84	ADC (64)	S+IMRT or IMRT	70	40	74.9% (3) 70.7% (5)	70.2% (3) 58.5% (5)	1

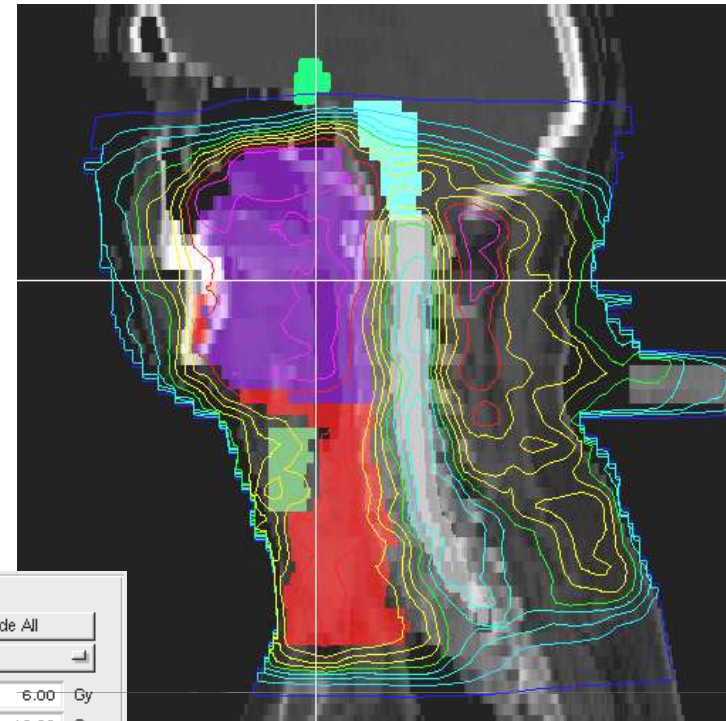
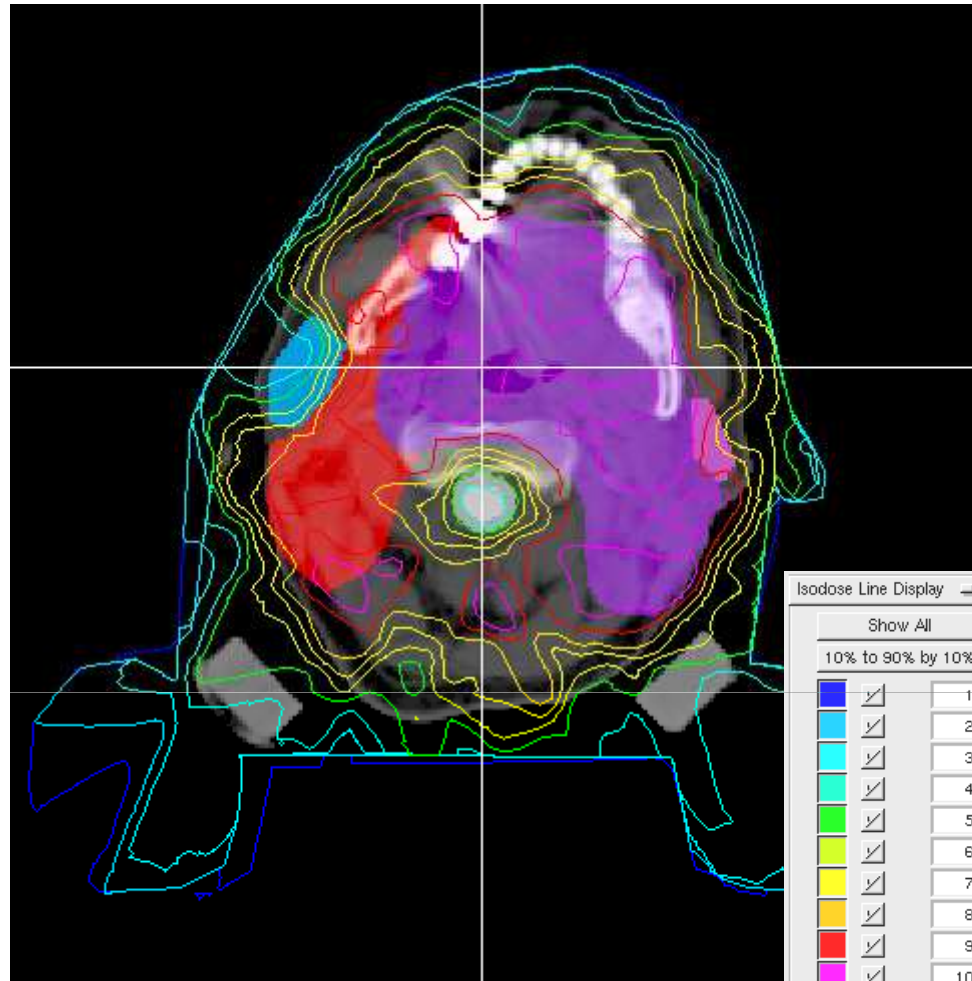
Abbreviations: S = surgery; IMRT = intensity-modulated radiotherapy; NR = not reported; other abbreviations as in Table 2.

\* Most frequent histologic type in patient cohort and its proportion in parentheses.

<sup>†</sup> Reported treatment outcome in 85 patients treated with three-dimensional conformal RT and IMRT.

<sup>‡</sup> Reported treatment outcome in 127 patients treated with conventional, three-dimensional RT and IMRT.

**No Grade 3 Dryness !!**



Isodose Line Display

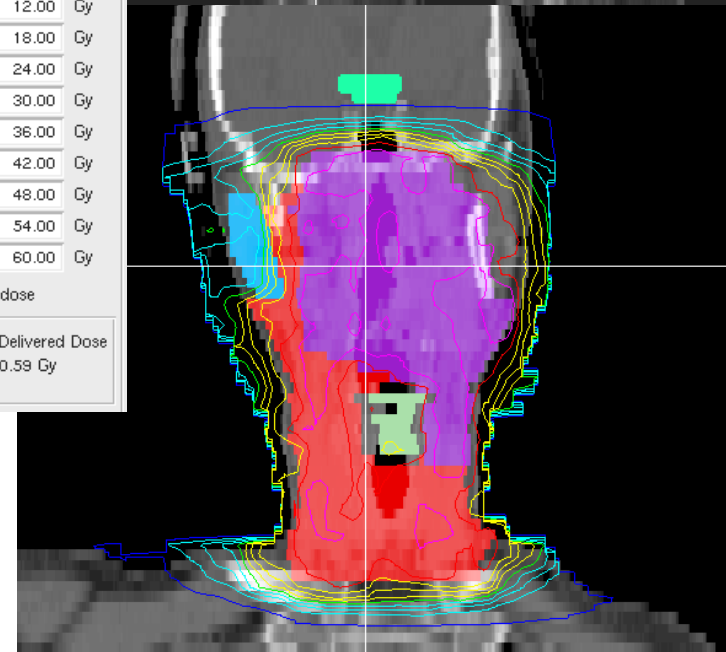
Show All Hide All

10% to 90% by 10% steps

<input checked="" type="checkbox"/>	10.0 %	6.00 Gy
<input checked="" type="checkbox"/>	20.0 %	12.00 Gy
<input checked="" type="checkbox"/>	30.0 %	18.00 Gy
<input checked="" type="checkbox"/>	40.0 %	24.00 Gy
<input checked="" type="checkbox"/>	50.0 %	30.00 Gy
<input checked="" type="checkbox"/>	60.0 %	36.00 Gy
<input checked="" type="checkbox"/>	70.0 %	42.00 Gy
<input checked="" type="checkbox"/>	80.0 %	48.00 Gy
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<input checked="" type="checkbox"/>	100.0 %	60.00 Gy

Color 3D surfaces by dose  
Dose Normalization

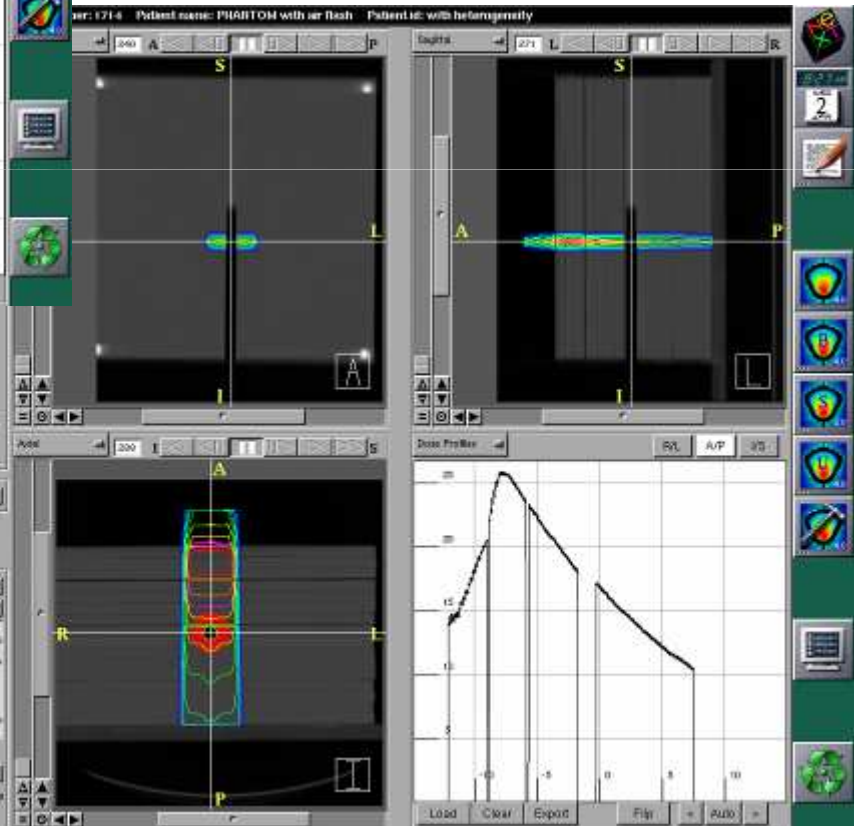
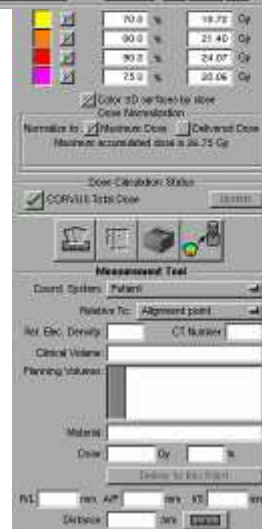
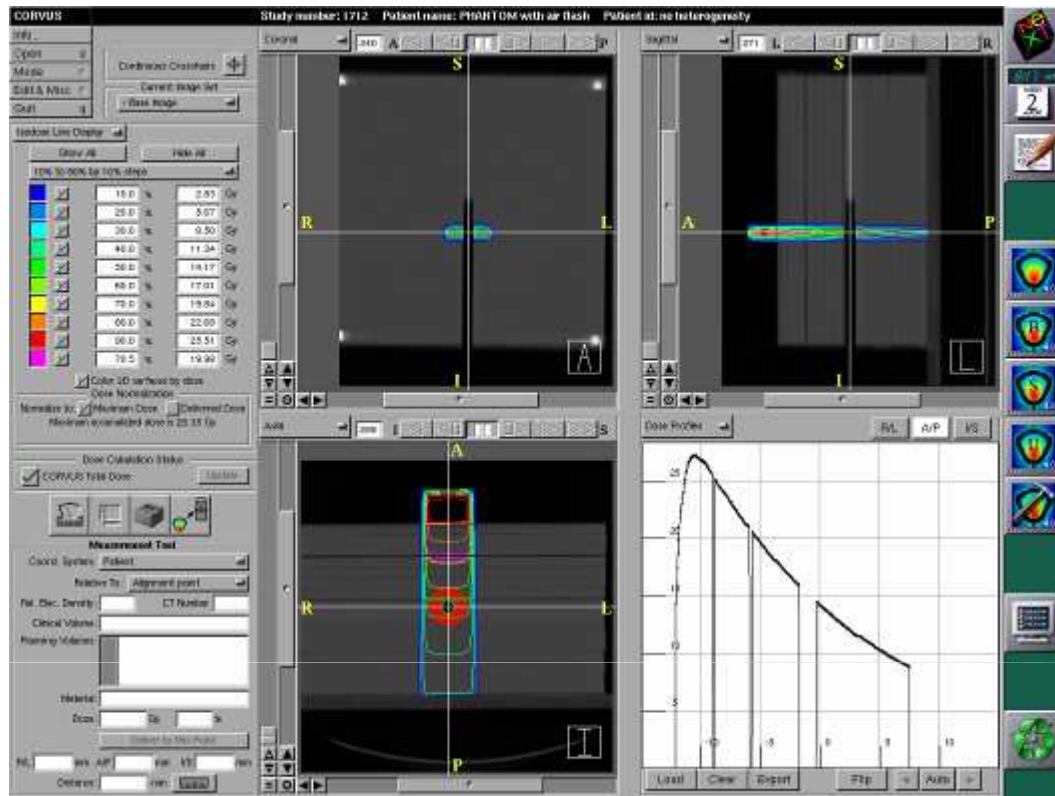
Normalize to:  Maximum Dose  Delivered Dose  
Maximum accumulated dose is 70.59 Gy  
(117.6%)



Oropharynx (Tonsil) T2N1  
Unilateral Parotid Sparing



# Display of Buildup





**CORVUS** Study number: 1580 Patient name: HERMANN KUNZI Patient id: 40504118

Info...  
 Open 0  
 Mode I  
 Edit & Misc I  
 Quit q

Continuous Crosshairs

Current Image Set  
 • Base Image

Isodose Line Display

Show All Hide All

10% to 90% by 10% steps

<input checked="" type="checkbox"/>	10.2 %	6.09 Gy
<input checked="" type="checkbox"/>	19.7 %	11.83 Gy
<input checked="" type="checkbox"/>	29.9 %	17.92 Gy
<input checked="" type="checkbox"/>	40.0 %	24.01 Gy
<input checked="" type="checkbox"/>	50.2 %	30.10 Gy
<input checked="" type="checkbox"/>	59.7 %	35.83 Gy
<input checked="" type="checkbox"/>	69.9 %	41.93 Gy
<input checked="" type="checkbox"/>	80.0 %	48.02 Gy
<input checked="" type="checkbox"/>	90.2 %	54.11 Gy
<input checked="" type="checkbox"/>	99.7 %	59.84 Gy

Color 3D surfaces by dose  
 Dose Normalization  
 Normalize to:  Maximum Dose  Delivered Dose  
 Maximum accumulated dose is 71.67 Gy (119.4%)

Dose Calculation Status  
 CORVUS Total Dose

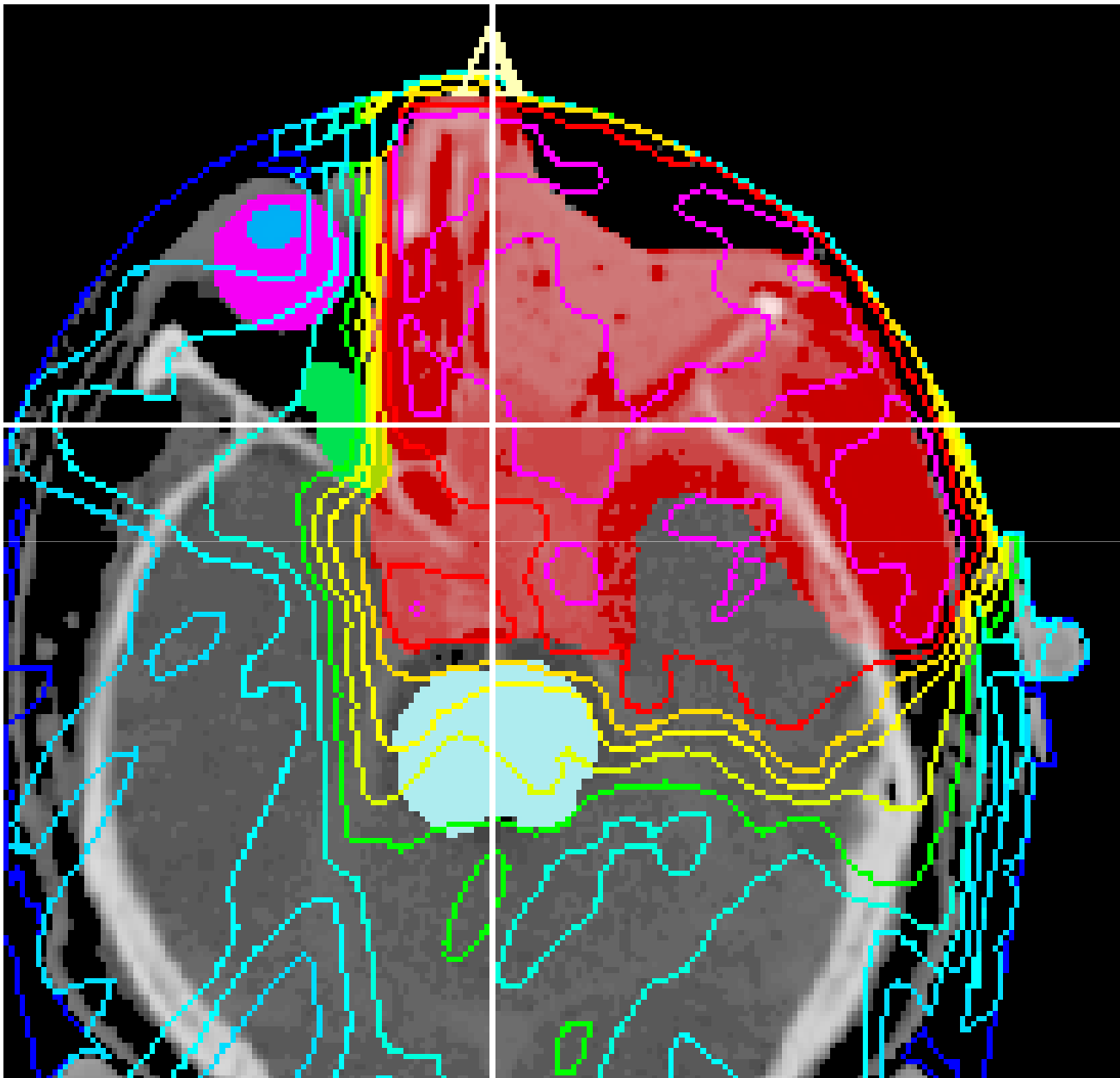
Window and Level

Show structures  
 Window: 4096 Level: 1945  
 User Defined   
 Hold Alt for fine control of sliders.  
 Hold Alt+Ctrl for extra-fine.

Coronal 103 A P  
 Sagittal 256 L R  
 Axial 190 I S

Paranasal Sinus  
 Exenterated  
 Orbit  
 Irradiation with  
 Bolus Material

Histogram Cumulative Dose Volume Histogram  
 100 0 10 20 30 40 50 60 70 100  
 90



Paranasal Sinus  
Exenterated Orbit  
Irradiation with  
Bolus Material

Use Bolus when  
sufficient dose is  
desired in  
superficial tissues.  
Dose calculation is  
not reliable in a  
buildup region

# Clinical Normal Tissue Toxicity

An excellent Reference just published:

Seminars in Radiation Oncology

Volume 26, No.2, April 2016

Normal Tissue Toxicity Modelling for SRS and SBRT

# Optic Nerve Toxicity: Quantec

Mayo et al., IJROBP, 2010

Few studies have adequate data for dose–volume outcome modeling. The risk of toxicity increased markedly at doses **>60 Gy at >1.8 Gy/fraction** and at **>12 Gy for single-fraction** radiosurgery.

The evidence is strong that radiation tolerance is increased with a reduction in the dose per fraction.

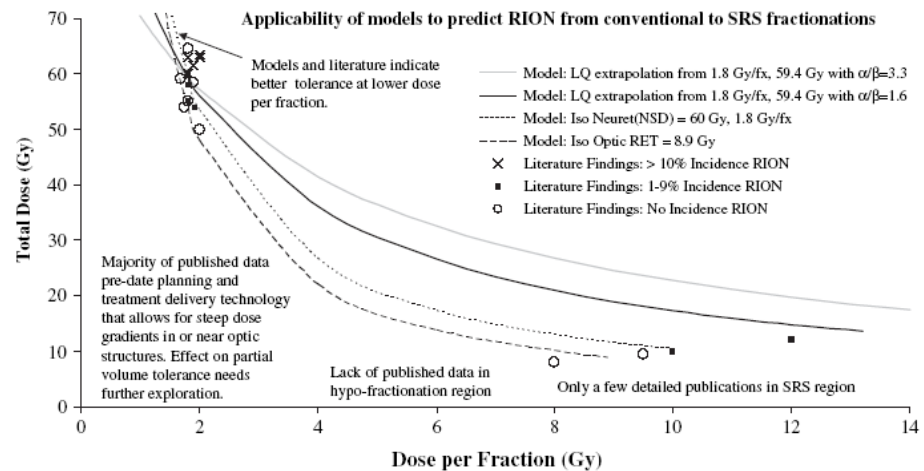
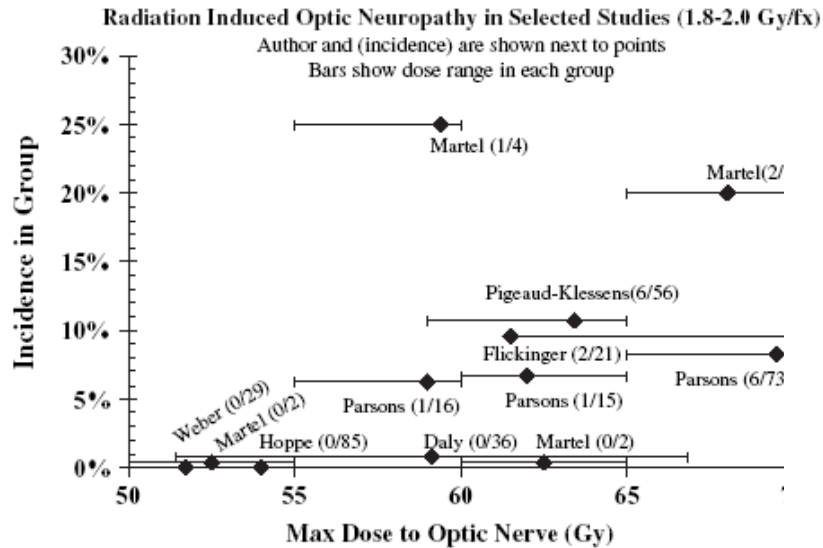


Fig. 2. Isoeffect linear-quadratic model extrapolations and alternative biologically effective threshold models (curves) compared with reported maximum optic nerve/chiasm doses detailing incidence of radiation-induced optic neuropathy (RION) (symbols) for full range of dose per fraction. Linear-quadratic model was unreliable for extrapolating from fractionated (1.8–2.0-Gy/fraction) dose range to single-fraction range. Detailed data needed for low (<1.8 Gy) and hypofractionated regions to better define organ response.

# No Optic Neuropathy at <45/1,8 Gy in Nonfunctioning Pituitary Adenoma - High SD are problematic

Van den Bergh, J Neuro-Ophthalmol, 2004

**TABLE 2. Reported cases of radiation optic neuropathy (RON) in irradiated patients with nonfunctioning pituitary adenomas in which radiation treatment characteristics are documented**

Author (year or publication)	Gender	Age at diagnosis of RON (y)	Surgery	Total dose (Gy)	Fraction size (Gy)	Treatment time (d)	Latency of RON (mo)	Visual status† to RON attributable
Crompton, 1961 (52)	F	56	Y	45	N/A	28	12	N/A
Harris, 1976 (24)*	F	41	N	45	2.25	32	6	OD: NFP OS: 20/20
Harris, 1976 (24)*	M	62	Y	45	2.5	26	15	OD: NLP OS: NLP
Harris, 1976 (24)*	M	66	N	45	2.5	26	6	OD: NLP OS: NLP
Harris, 1976 (24)*	F	37	N	45	2.5	26	2	N/A
Aristizabal, 1977 (12)	N/A	N/A	N/A	50	2	35	10	OD: NLP OS: NLP
Martins, 1977 (53)	F	61	Y	67	2.25	37	33	OD: LP OS: 20/20
Martins, 1977 (53)	F	44	Y	65.8	2.2	46	13	OD: NLP OS: 20/30
Lorenzo, 1978 (54)	F	28	N	50	NA	35	14	N/A
Fitzgerald, 1981 (22)	F	65	N	50	NA	42	13	OD: 20/20 OS: LP
Fukamach, 1982 (55)	F	49	Y	50	2	35	10	(helical isopters) OD: 20/400 OS: 20/100
Hammer, 1983 (15)	F	52	Y	42.5	2.8	21	13	OD: NLP OS: 20/200
Kline, 1985 (18)	M	73	Y	50	2	38	12	OD: V.A: 20/800 OS: 20/20
Kundra, 1990 (56)	M	40	Y	55	2.75	NA	6	N/A
Kundra, 1990 (56)	M	46	Y	55	2.2	NA	±6	N/A
Zimmerman, 1990 (57)	M	64	Y	50.4	1.8	28	14	OD: HM OS: 20/25
Millar, 1991 (58)	F	56	Y	45	1.8	35	10	OD: NLP OS: NLP
Guy, 1991 (21)	M	51	Y	53.4	2	NA	30	OD: 20/20 OS: 20/25
Hudgins, 1992 (59)	F	75	Y	54	1.8	NA	35	OD: N/A OS: 20/20
Sallet, 1992 (60)	F	40	Y	30	NA	NA	8	OD: 20/20 OS: NLP
Hughes, 1993 (61)	N/A	N/A	N/A	50	2.5	N/A	N/A	N/A
Hughes, 1993 (61)	N/A	N/A	N/A	50	2.5	N/A	N/A	OD: 20/20 OS: 20/20
McClellan, 1995 (62)	M	67	Y	45	1.8	36	3	but temporal field defect OD: HM OS: NLP
Colao, 1998 (47)*	N/A	N/A	Y	45	1.8	35	12	N/A
Breen, 1998 (48)*	N/A	N/A	N/A	50	2	N/A	54	N/A

Bold rows indicate patients in whom RON developed with "safe" radiation regimens.

F, female; M, male; Y, yes; N, no; VA, visual acuity; N/A, data not available.

\*These references are also included in Table 1, because patient and treatment characteristics were available.

†In some cases, visual acuity was documented as "normal," or when not normal, as attributable to a cause other than RON; for simplicity, 20/20 has been used in all such cases.

# No ON at Proton Doses of <55GyE

10% ON risk between 55 and 65GyE  
(two of 20 patients in series developed ON at doses of 55 and 65GyE  
at SD of <2Gy )

Habrand, IJROBP, 1989

# Single Dose vs. Fractionated Tolerance for Meningeoma

Shrieve et al., J Neurosurg, 2004

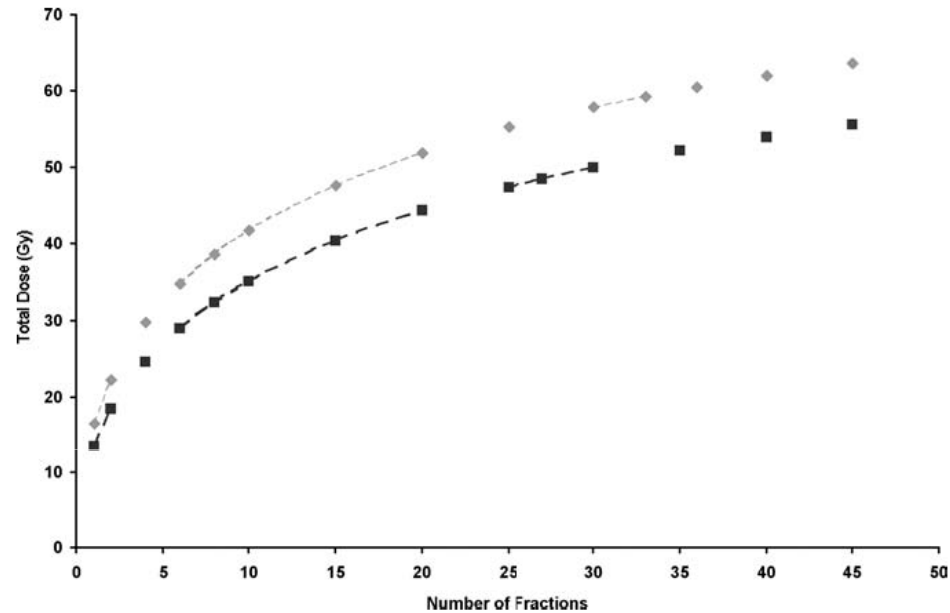


FIG. 4. Graph showing the range of total doses predicted to be associated with excellent control of meningioma (*dotted lines*) compared with the optic nerve tolerance for various equal daily doses (*solid line*). The therapeutic range exceeds optic nerve tolerance until at least 20 fractions are used.

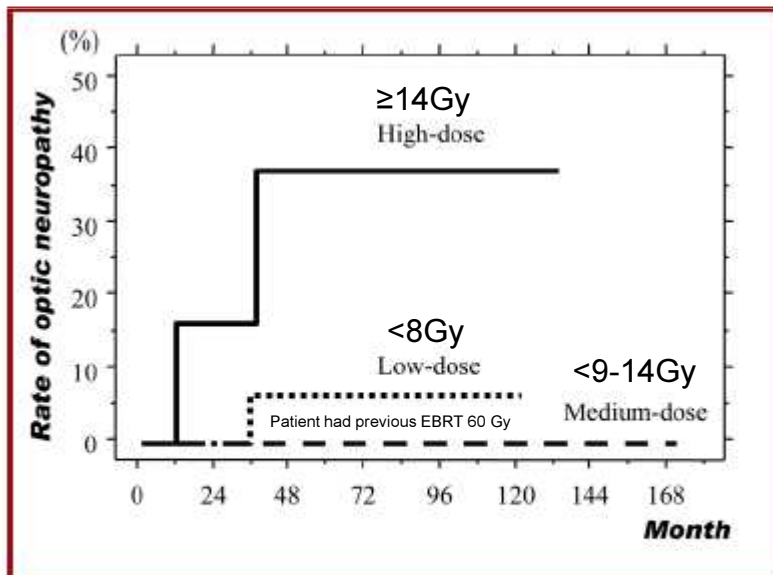
“Single doses of radiation required to treat benign meningioma optimally (13.5–16.5 Gy) clearly exceed the estimated and reported clinical tolerance of the optic nerves and chiasm. The application of equivalent biological doses in a small number of fractions continues to exceed optic tolerance until at least 25 fractions are applied.”

# Optic Neuropathy Risk after RS

The risk of developing a clinically significant RON was **1.1%** for patients receiving **12 Gy** or less with a median F/u of 40 months

Patients receiving prior or concurrent EBRT had a greater risk of developing RON after radiosurgery

Stafford et al., IJROBP, 2004



**FIGURE 5.** Kaplan-Meier curves demonstrating radiation-induced optic neuropathy rates on the basis of dose groups.

TABLE 3. Dose to Optic Chiasm (n = 53)	
Maximum Dose, Gy	No. of Patients (%)
<5	13 (25)
5-6	13 (25)
7-8	14 (26)
9-10	11 (21)
11-12	1 (2)
13-14	0 (0)
15-16	1 (2)

Hasegawa et al., Neurosurgery, 2010



# Optic Neuropathy Risk - Synopsis

A TD of 45Gy/SD 1.8 Gy and RS with 1 x 8 Gy seem to be completely safe

At TD 50-60Gy/SD <2 Gy and RS with 1 x 10-12 Gy a low incidence of ON is reported

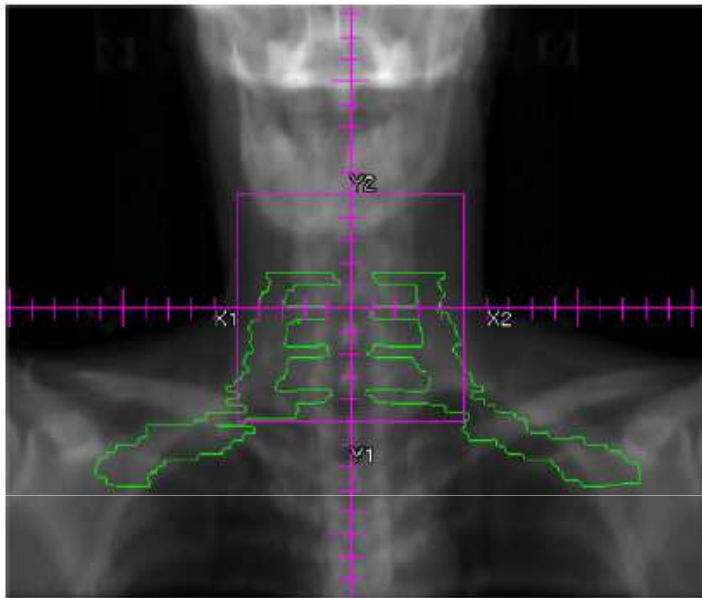
**At TD>60Gy with SD < 2 Gy and TD>50 Gy with SD >2 Gy**

as well as

**RS with >12 Gy,**

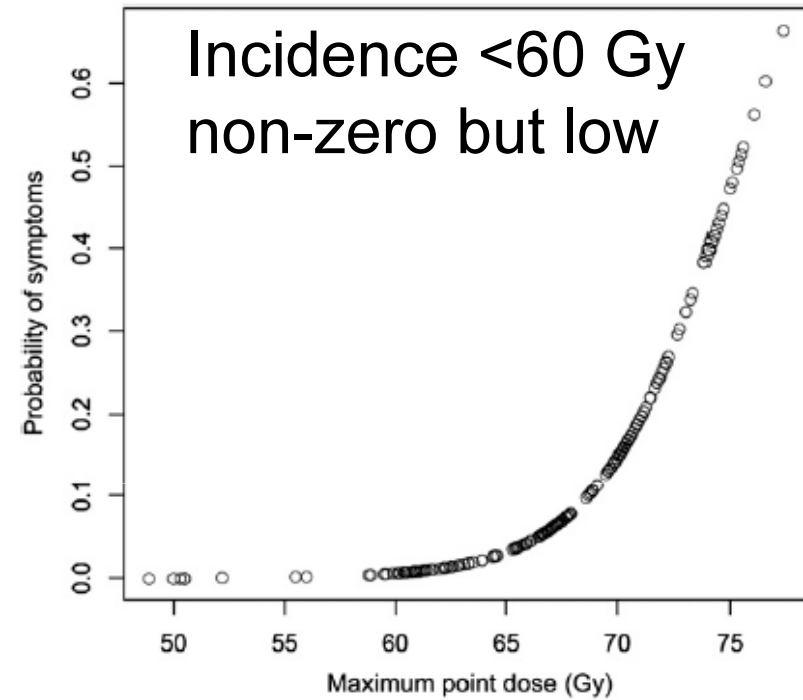
ON risk increases quickly with dose and SD

# Plexopathy



**Fig. 3.** Coronal section of the head and neck illustrating the anatomic location of the brachial plexus as depicted using the Radiation Therapy Oncology Group contouring atlas (available at [www.rtog.org](http://www.rtog.org)).

The brachial plexus begins at the ventral rami of nerve roots at the fifth cervical vertebrae and continues inferiorly to include the nerve roots exiting the neural foramen of the first thoracic vertebrae (Fig. 3). It then passes inferolaterally between the anterior and middle scalene muscles to innervate the cutaneous skin of the upper extremity and numerous muscles including the latissimus dorsi, pectoralis major and minor, levator scapulae, deltoid, and biceps brachii.

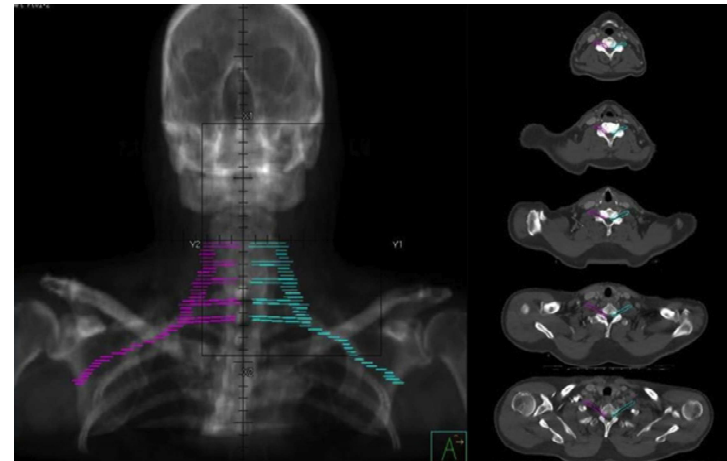


**Fig. 2.** Relationship between probability of developing neuro-pathic symptoms and maximum radiation point dose (Gy).

Chen et al., IJROBP, 2012

In this study cohort, at a minimum of two-years follow up, the mean dose of **68.7Gy**, a median dose to **69.5Gy to  $\leq 5\%$**  of ipsilateral BP, and a median Dmax of **72.96Gy** did not result in BP injury when patients were treated with S-IMRT technique.

However, longer follow up is needed.



Thomas, Rad Onc, 2015

Start with the close call....

Pak et al., IJROBP, 2012

# Lhermitte's (RT+Cht w/ Carbo/Tax)

Plan related spinal cord

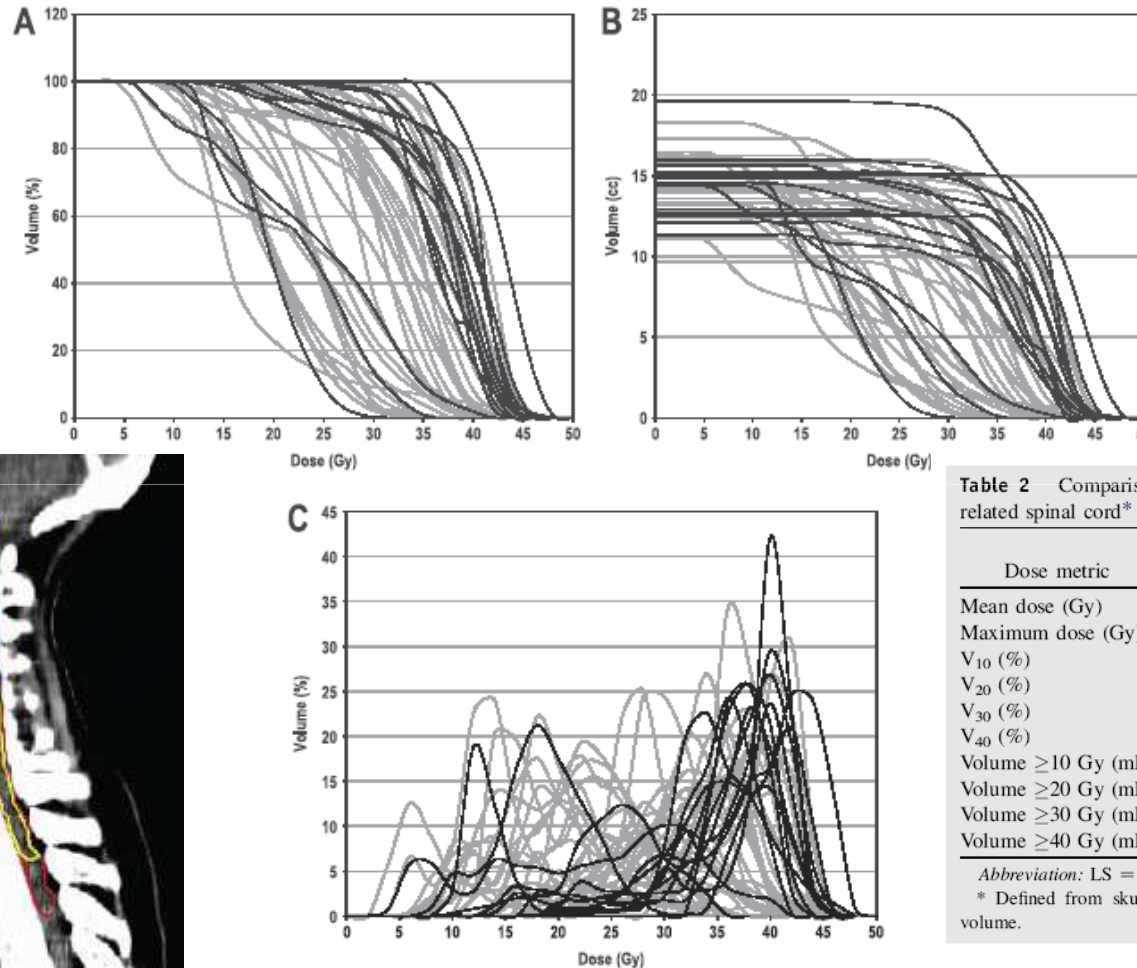
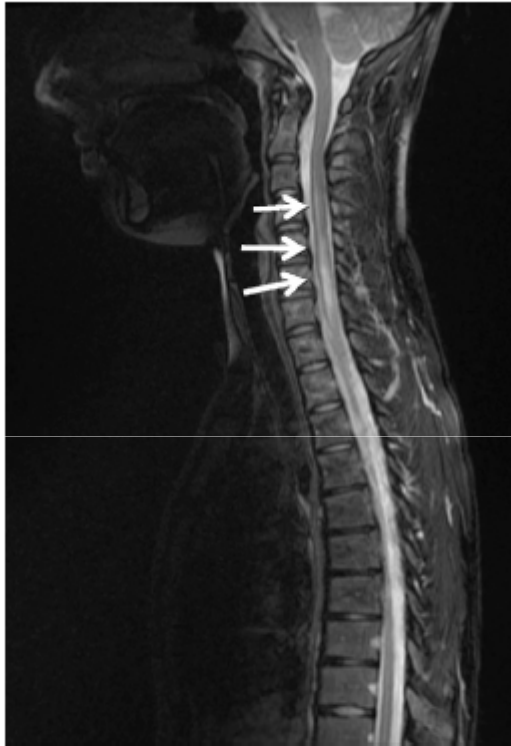


Fig. 2. Dose–volume histograms (DVHs) for plan-related spinal cord: non–Lhermitte sign (LS) patients (gray) and LS patients (black). Cumulative DVHs with (A) percent cord volume and (B) absolute cord volume. (C) Direct DVH with percent cord volume.

# Spinal Cord Damage – Review 1



Spinal Cord Damage risk ~0,5%  
for full cord exposure to 50 Gy

Fig. 1. Paraparésie sensitivomotrice, cinq mois après irradiation en mantelet incomplet d'une adolescente ayant préalablement reçu une chimiothérapie (protocole OEPA/COFP, vincristine, procarbazine, etoposide, prednisone, adriamycine, cyclophosphamide). Dose délivrée : 20 Gy en 11 séances par faisceaux antéro-postérieurs de photons de haute énergie. Aspect grêle et hyper T2 de la moelle cervicodorsale avec prises de contraste étagées, compatibles avec une myélite radique. Régression de la symptomatologie après bolus de corticoïdes. Tests de radiosensibilité négatifs (collection de l'institut Gustave-Roussy).

Habrand et al., Cancer Radiother, 2010

## Spinal Cord Damage – Review 2

Dose–volume data for myelopathy in humans treated with radiotherapy (RT) to the spine is reviewed, along with pertinent preclinical data. Using conventional fractionation of **1.8–2 Gy/fraction** to the full-thickness cord, the estimated risk of myelopathy is **<1% and <10% at 54 Gy and 61 Gy**, respectively, with a calculated strong dependence on dose/fraction ( $a/b = 0.87$  Gy.)

Reirradiation data in animals and humans suggest partial repair of RT-induced subclinical damage becoming evident about 6 months post-RT and increasing over the next 2 years.

Reports of myelopathy from **stereotactic radiosurgery** to spinal lesions appear rare (**<1%**) when the maximum spinal cord dose is limited to the equivalent of **13 Gy in a single fraction or 20 Gy in three fractions**. However, long-term data are insufficient to calculate a dose–volume relationship for myelopathy when the partial cord is treated with a hypofractionated regimen.

Kirkpatrick et al., IJROBP, 2010

# How much RT can I give after conventional RT?

Table 2. Risk score for development of radiation myelopathy

Factor	Score									
	0	1	2	3	4	5	6	7	8	9
Cumulative BED (Gy <sub>2</sub> )	≤120	120.1–130	130.1–140	140.1–150	150.1–160	160.1–170	170.1–180	180.1–190	190.1–200	>200
Interval <6 mo					X (4.5)					
One BED course ≥102 Gy <sub>2</sub>					X (4.5)					

50 Gy ~BED 100  
-> low risk at 50+20Gy

Abbreviation: BED = biologically effective dose.

Group	Score	Myelopathy (%)
Low risk	≤3	0/24 (0)
Intermediate risk	4–6	2/6 (33)
High risk	>6	9/10 (90)

Nieder et al., IJROBP, 2005

# Maximum Doses to Spinal cord of 20 Gy in 1-3 SD Lower than expected Tox – high parallelity of Spinal Cord

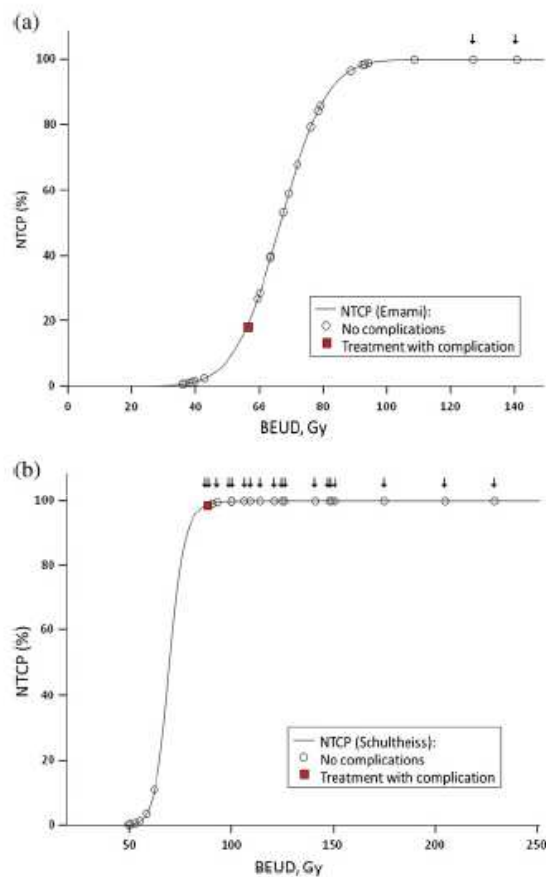


Fig. 1. a, Predicted normal tissue complication probability (NTCP) for spinal cord with NTCP modeling of clinical outcomes based on parameters of Emami (3). One cord toxicity was observed clinically (square) (with an NTCP of 19%). Seventeen other lesions with a higher NTCP, including two lesions with 100% NTCP (arrows), did not have toxicity. Therefore these modeling parameters were not predictive of the clinical outcomes. b, Predicted NTCP for spinal cord with NTCP modeling of clinical outcomes based on parameters of Schultheiss (5). One cord toxicity was observed clinically (square) (with an NTCP of 99.8%), whereas seventeen other lesions without toxicity had an NTCP of greater than 99% (arrows). These NTCP values overestimated the toxicity rates observed clinically. BEUD = biologically equivalent uniform dose.

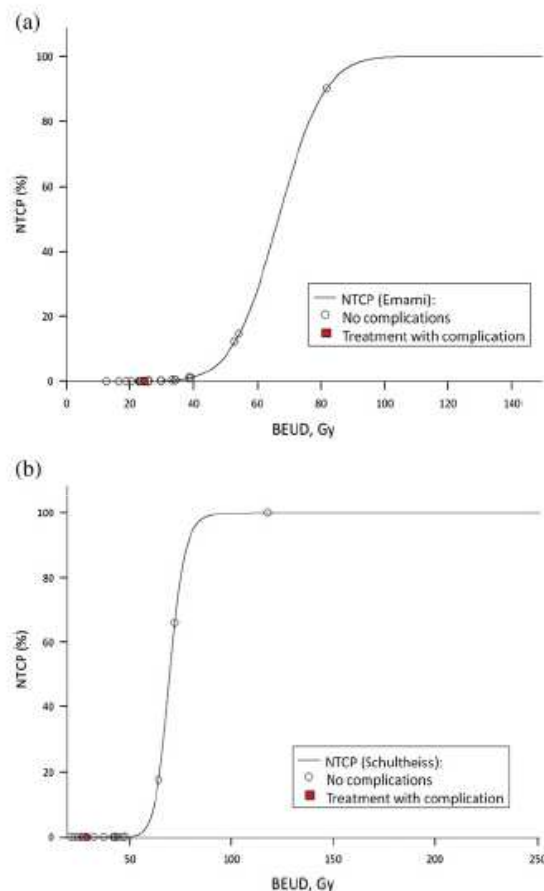


Fig. 2. a, Predicted normal tissue complication probability (NTCP) for spinal cord with NTCP modeling of clinical outcomes based on parameters of Emami (3), with optimization of the volume parameter ( $n = 0.31$ ), assuming a greater degree of parallel organization of the spinal cord. Although this optimization provided a better fit of the NTCP to the clinical data, these NTCP parameters continued to overestimate the risk of complications. b, Predicted NTCP for spinal cord with NTCP modeling of clinical outcomes based on parameters of Schultheiss (5), with optimization of the volume parameter ( $n = 0.43$ ), assuming a greater degree of parallel organization of the spinal cord. These modified parameters continued to overestimate the risk of complications observed clinically. BEUD = biologically equivalent uniform dose.

Table 2. Predicted and observed number of complications

Parameter used	No. of complications predicted	No. of complications observed
Emami	13	1
Schultheiss $n = 0.05$	18	1
Schultheiss $n = 0.43$	2	1
Emami with $\alpha/\beta$ of 13.2 Gy	0.7	1

Abbreviation:  $n$  = volume parameter.

Spinal cord $D_{max}$ [median (range)] (Gy)	
1 Session	22.7 (17.8–30.9)
2 Sessions	22.0 (21.3–26.6)
SF-BED (LQ model)	16.1 (15.6–19.4)
SF-BED (LQ-L model)	19.5 (18.8–24.1)
3 Sessions	21.3 (20.2–25.4)
SF-BED (LQ model)	13.2 (12.6–15.6)
SF-BED (LQ-L model)	16.3 (15.2–20.4)
Spinal cord $V_{10}$ [median (range)] ( $mm^3$ )	
1 Session	454 (226–2,543)
2 Sessions	711 (114–1,216)
3 Sessions	926 (780–1,240)
Spinal cord $D_{500}$ [median (range)] (Gy)	
1 Session	9.5 (5.3–22.5)
2 Sessions	12.8 (2.9–15.0)
3 Sessions	13.2 (12.3–14.1)

Daly et al., IJROBP, 2012



# Partial Volume Spinal Cord Reirradiation

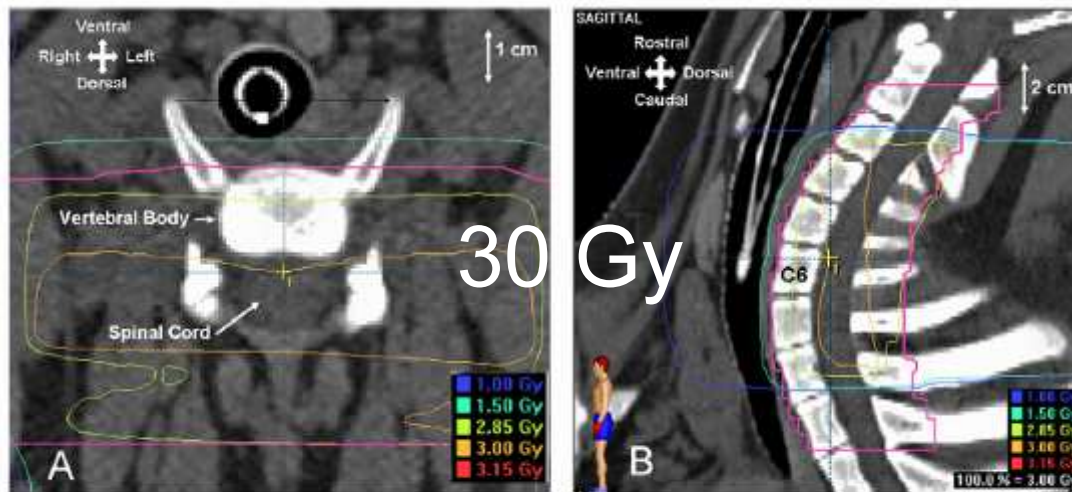


Fig. 1. Dose distribution for 30 Gy in 10 fractions in the axial (A) and sagittal (B) planes.



1 Year

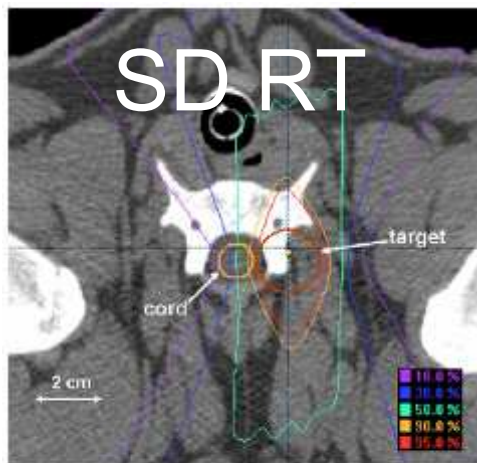


Fig. 2. Dose distribution for single-fraction radiosurgery in the axial plane is shown.



Medin et al, IJROBP, 2012

*„No deficits were noted on the unirradiated (right) side of any animal“*

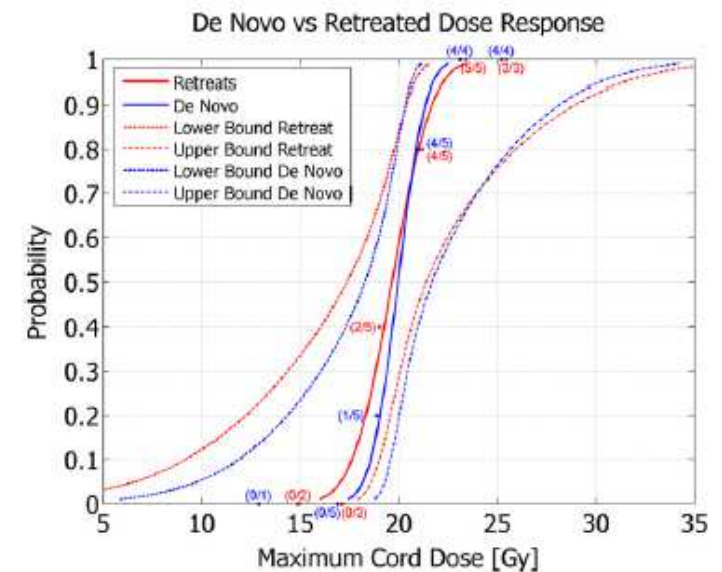


Fig. 3. Dose-response curves with 95% confidence limits for de novo radiosurgery versus retreatment are shown.

# How much SBRT can I give after conventional RT?

Table 6. Reasonable reirradiation SBRT doses to the thecal sac  $P_{max}$  following common initial conventional radiotherapy regimens

Conventional Radiotherapy (nBED)	1 fraction: SBRT dose to thecal sac $P_{max}$	2 fractions: SBRT dose to thecal sac $P_{max}$	3 fractions: SBRT dose to thecal sac $P_{max}$	4 fractions: SBRT dose to thecal sac $P_{max}$	5 fractions: SBRT dose to thecal sac $P_{max}$
0*	10 Gy	14.5 Gy	17.5 Gy	20 Gy	22 Gy
20 Gy in 5 fractions (30 Gy <sub>2/2</sub> )	9 Gy	12.2 Gy	14.5 Gy	16.2 Gy	18 Gy
30 Gy in 10 fractions (37.5 Gy <sub>2/2</sub> )	9 Gy	12.2 Gy	14.5 Gy	16.2 Gy	18 Gy
37.5 Gy in 15 fractions (42 Gy <sub>2/2</sub> )	9 Gy	12.2 Gy	14.5 Gy	16.2 Gy	18 Gy
40 Gy in 20 fractions (40 Gy <sub>2/2</sub> )	N/A	12.2 Gy	14.5 Gy	16.2 Gy	18 Gy
45 Gy in 25 fractions (43 Gy <sub>2/2</sub> )	N/A	12.2 Gy	14.5 Gy	16.2 Gy	18 Gy
50 Gy in 25 fractions (50 Gy <sub>2/2</sub> )	N/A	11 Gy	12.5 Gy	14 Gy	15.5 Gy

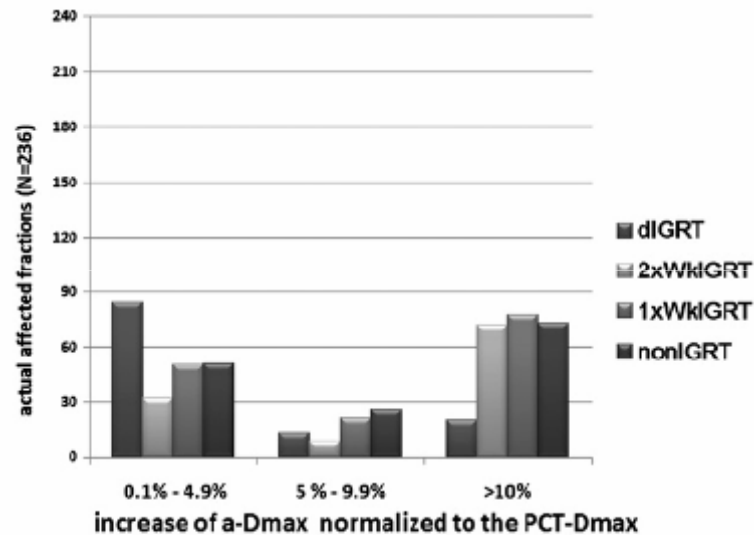
*Abbreviations:* N/A = not applicable; nBED = normalized biologically effective doses; SBRT = stereotactic body radiotherapy.

\* These dose limits are based on our prior publication for spinal cord tolerance in patients treated with SBRT and no prior history of radiation (7).

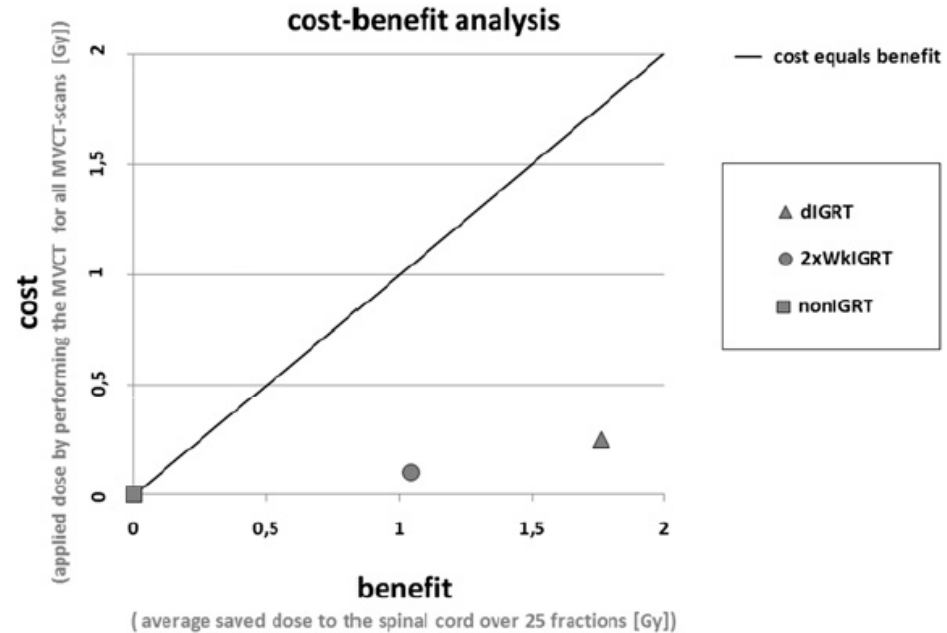
Saghal et al., IJROBP, 2012

# Daily vs. less frequent Imaging: Spinal cord dose

Duma et al., IJROBP, 2013

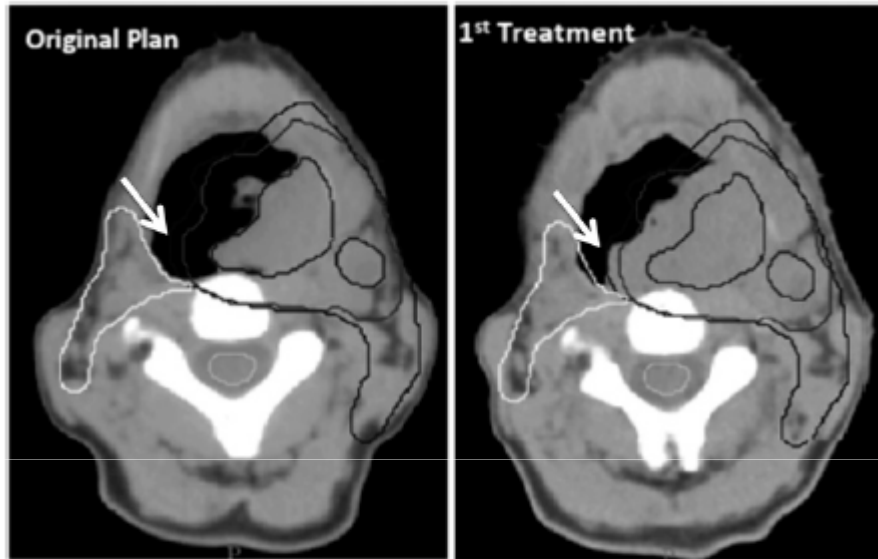


**Fig. 2.** The a-Dmax is higher than the planned Dmax. a-Dmax = actual delivered Dmax; plan-Dmax = Dmax on the planning kVCT.



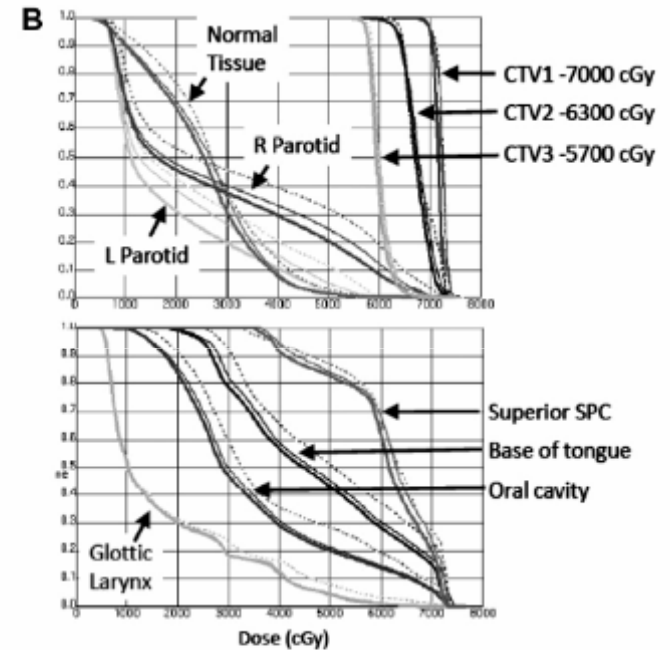
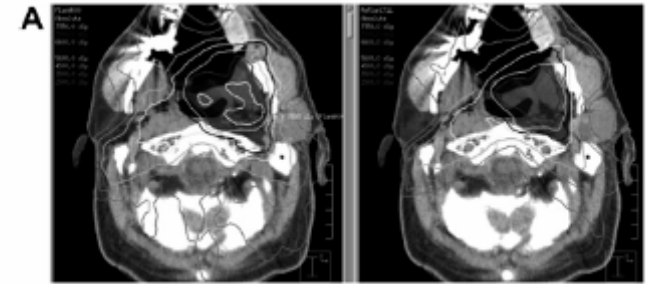
**Fig. 4.** Cost-benefit analysis of dIGRT and 2xWkIGRT compared to non-IGRT. Compared to the non-IGRT, the dIGRT and 2xWkIGRT scenarios save, on average, 1.76 and 1.04 Gy, respectively. That is calculated by the higher-than-planned Dmax for the non-IGRT (5.6%) minus the higher-than-planned Dmax for the dIGRT (1.2%); *e.g.*, 2.24 Gy – 0.48 Gy = 1.76 Gy; and for 2xWkIGRT, 2.24 Gy – 1.2 Gy = 1.04 Gy. The cost of one MVCT scan in the coarse mode is 0.01 Gy (dIGRT = 0.25 Gy; 2xWkIGRT = 0.12 Gy).

# ART (IMRT) – Dosimetric Benefits



**Fig. 1.** Detection of rapid tumor progression prior to start of treatment. The original plan is shown to the left; patient's anatomy on first treatment day is shown on the right. Primary GTV progressed by >50%. Arrows designate site of geographic miss for CTV1.

Try to keep Interval between CT and 1st RT short (2-3 days is possible)



**Fig. 3.** (A) Right: Emergence of dose heterogeneity within high-risk CTV1 in a tonsillar carcinoma case at treatment fraction #11; Left: Restoration of intended dose distribution within CTV1 by adaptive replanning without PTV margin expansions. (B) DVH comparison for the original IMRT plan of this case (dotted lines), ART1 replan designed on treatment day 15 (thin solid lines), and the ART2 replan (thick solid lines), all re-calculated on CT anatomy obtained on 25th treatment day.

Schwartz et al., R&O, 2013

# IMRT vs. Protons?

**Table 1** Method to calculate toxicity for the *IMPT if efficient* strategy: Illustrated for xerostomia 6 months after radiation therapy

Patient	Probability of xerostomia (%)		ICER	Preferred	Probability of xerostomia (%)
	IMPT	IMRT	IMPT vs IMRT (€)	IMPT/IMRT	<i>IMPT if efficient*</i>
1	25.5	41.3	93,302	IMRT	41.3
2	18.9	36.6	169,448	IMRT	36.6
3	23.6	55.2	44,358	IMPT	23.6
4	26.7	37.2	150,041	IMRT	37.2
↓	↓	↓	↓	↓	↓
25	25.8	45.1	89,593	IMRT	45.1
Mean probability of xerostomia for the IMPT if efficient strategy					37.1%

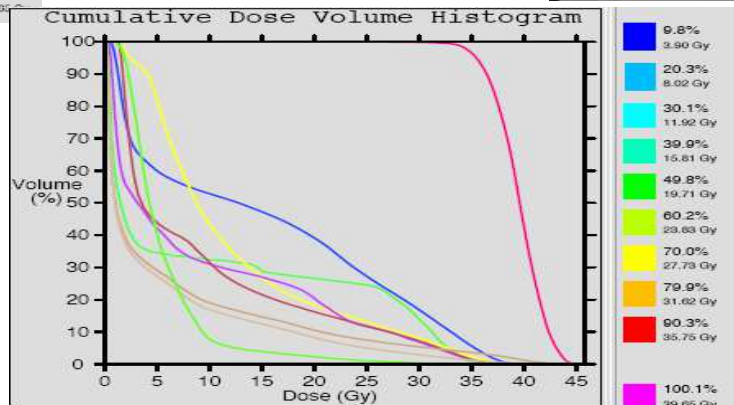
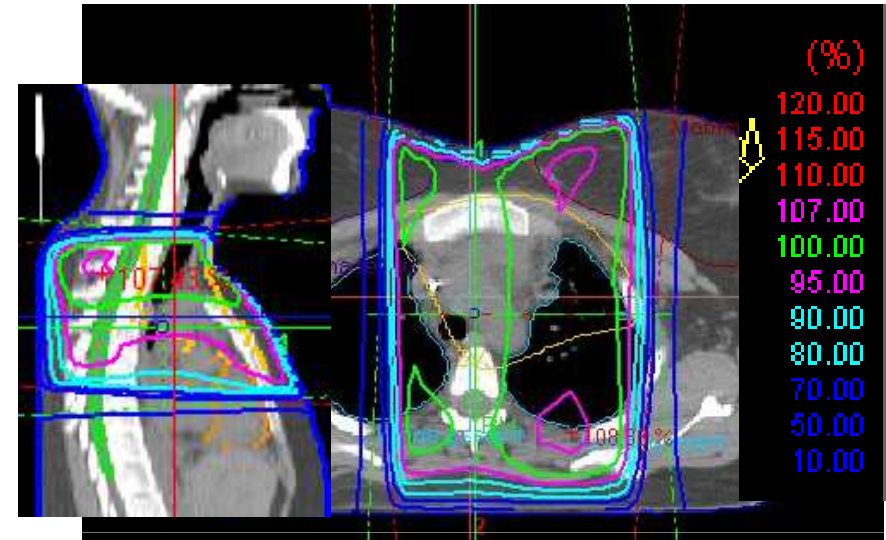
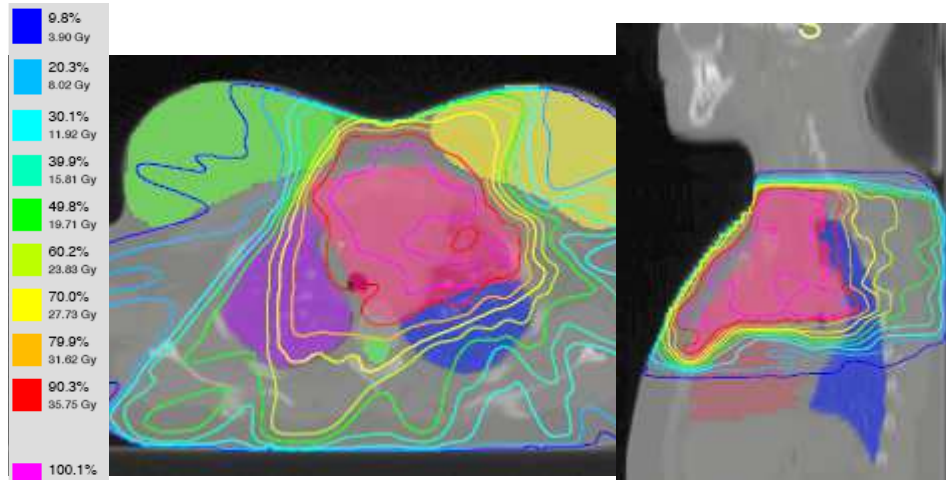
*Abbreviations:* ICER = incremental cost-effectiveness ratio; IMPT = intensity modulated proton radiation therapy; IMRT = intensity modulated radiation therapy with photons.

\* Patients will only receive IMPT in this scenario if IMPT is expected to be cost-effective compared with IMRT (grey fields), thus if the ICER is below the threshold of 80,000 per QALY gained.

Ramaekers et al., IJROBP, 2013

# Mediastinal Tumors: Hodgkin's Disease

Elevated median but reduced mean breast dose as a result of improved heart protection -> Consequences???



Tissue	Lung (L)	Heart	Spiral Cord	Lung (R)	Ref1 ( )	Ref2 ( )	Target1 - target
Target1 - target	39.60	49.92	323.83	18.56	45.83	39.37	2.33 648.84
	Limit (Gy)	Above Limit (%)	Limit (cc)	Min (Gy)	Max (Gy)	Mean (Gy)	S.D. (Gy) Vol. (cc)
Non-target Tissue	40.00	0.14	36.20	0.00	43.54	4.96	8.26 23026.39
Tissue	40.00	1.22	313.88	0.00	45.83	5.83	9.79 23673.03
Spiral Cord	35.00	1.35	0.95	0.23	37.35	9.57	12.75 70.49
Lung (L)	14.00	48.50	318.60	0.46	41.02	14.58	12.57 656.85
Lung (R)	14.00	27.81	352.91	0.23	39.88	8.78	10.56 1288.99
Heart	10.00	31.54	114.03	1.15	38.73	8.99	9.83 361.58
Ref1 ( )	8.00	55.42	397.52	0.23	40.10	12.04	9.08 717.29
Ref2 ( )	6.00	30.57	215.19	0.46	38.67	5.42	4.27 704.03



Name	Min [%]	Max [%]	Median [%]	Average [%]	Std. Dev. [%]	Calculated Points	Dose volume [ccm]	DICOM #
External	0.000	108.968	0.955	13.749	30.333	205265	25422.552	1
Lunge re	0.305	105.233	1.915	12.079	27.099	10205	1279.503	2
Lunge li	1.501	108.812	89.600	64.507	42.416	6133	774.489	3
Lunge gesamt	0.305	108.812	4.362	31.607	41.934	16327	2053.849	4
Herz	2.613	102.207	31.078	46.574	40.580	3113	388.984	5
RM	0.261	107.061	3.953	35.851	44.307	235	34.115	6
Mamma links	0.000	108.043	6.110	31.175	39.401	5670	706.555	7
Mamma rechts	0.000	102.953	1.701	7.613	19.809	5550	692.196	8
ZV	90.111	107.384	101.231	101.264	3.248	5132	635.266	9

# AMERICAN SOCIETY OF RADIATION ONCOLOGY RECOMMENDATIONS FOR DOCUMENTING INTENSITY-MODULATED RADIATION THERAPY TREATMENTS

IMRT DOCUMENTATION WORKING GROUP, TIMOTHY HOLMES, (CHAIRMAN), PH.D.,\* RUPAK DAS, PH.D.,<sup>†</sup>  
 DANIEL LOW, PH.D.,<sup>‡</sup> FANG-FANG YIN, PH.D.,<sup>§</sup> JAMES BALTER, PH.D.,<sup>||</sup> JATINDER PALTA, PH.D.,<sup>¶</sup>  
 AND PATRICIA EIFEL, M.D.,<sup>#</sup> FASTRO

Version: August 6, 2007 IJROBP, August 2009

Prescription

### Treatment Planning Directive: Head and Neck

Type:  IMRT  3D CRT PROTOCOL  3D Conformal  Post-Op  Palliative

Imaging: CT  MRI  Other \_\_\_\_\_

Dataset: \_\_\_\_\_ Target Descriptions: \_\_\_\_\_

Target(s):  GTV # \_\_\_\_\_  CT or  MR \_\_\_\_\_  
 CTV1 # \_\_\_\_\_  CT or  MR \_\_\_\_\_  
 CTV2 # \_\_\_\_\_  CT or  MR \_\_\_\_\_  
 Other \_\_\_\_\_  CT or  MR \_\_\_\_\_  
 PTV \_\_\_\_\_

GTV/CTV1/CTV2 = 0.3cm or \_\_\_\_\_ cm  
 Other \_\_\_\_\_

**Normal Structures:**

Parameter	Limit to 1% of volume
<input type="checkbox"/> Cord	Max $\leq 45$ Gy or _____
<input type="checkbox"/> Cord $\leq 0.5$ cm	Max $\leq 50$ Gy or _____
<input type="checkbox"/> Brainstem $\leq 0.5$ cm	Max $\leq 54$ Gy or _____
<input type="checkbox"/> Optic Chiasm $\leq 0.3$ cm	Max $\leq 50$ Gy or _____
<input type="checkbox"/> Rt Optic Nerve $\leq 0.3$ cm	Max $\leq 50$ Gy or _____
<input type="checkbox"/> Lt Optic Nerve $\leq 0.3$ cm	Max $\leq 50$ Gy or _____
<input type="checkbox"/> Rt Parotid	Mean $\leq 24$ Gy or Alim
<input type="checkbox"/> Lt Parotid	Mean $\leq 24$ Gy or Alim
<input type="checkbox"/> Subman Glands	Mean Alim or _____
<input type="checkbox"/> Oral Cav/Non-involved	Mean $\leq 30$ Gy or Alim
<input type="checkbox"/> Larynx	Mean $\leq 50$ Gy or Alim
<input type="checkbox"/> Pharyngeal Condr.	Mean $\leq 50$ Gy or Alim
<input type="checkbox"/> Esophagus	Mean $\leq 45$ Gy or Alim
<input type="checkbox"/> Mandible	Max $\leq 70$ Gy or _____
<input type="checkbox"/> Lips	Mean $\leq 30$ Gy or Alim
<input type="checkbox"/> Eyes	Mean $\leq 50$ Gy or _____
<input type="checkbox"/> Non-Specified	Max $\leq 95\%$ prescription
<input type="checkbox"/> Other:	_____

**Target Goals:**  
 PTV(s)  +/- 5%  Min to 1% of target = 99% of prescription dose or \_\_\_\_\_  
 Max + 7% or \_\_\_\_\_

**Dose Prescription:**  
 Sequential Plans - 2.5fx, 2.0fx, 1.8fx or \_\_\_\_\_  Single plan, differentially dosed targets

<input type="checkbox"/> GTV	70 Gy or _____ Gy	<input type="checkbox"/> GTV	70 Gy/2.0fx or _____ Gy/ _____ fx
<input type="checkbox"/> CTV1	60 Gy or _____ Gy	<input type="checkbox"/> CTV1	63 Gy/1.8fx or _____ Gy/ _____ fx
<input type="checkbox"/> CTV2	50 Gy or _____ Gy	<input type="checkbox"/> CTV2	59 Gy/1.7fx or _____ Gy/ _____ fx
<input type="checkbox"/> Other	Gy _____	<input type="checkbox"/> Other	Gy/ _____ fx

**Plan Parameters:**  
 Beam Energy:  6x  6x  6x MV (IMRT)  As planning dictates  
 Density Correction:  On or \_\_\_\_\_  
 Tx Devices:  MLC/block  Bolus  hard block  open fields

**Considerations:**  Previous tx  Pacemaker  Multiple tx sites  Concurrent chemo  Special Procedures

**Medical Necessity:**  
 \*Special Treatment procedures include: hyperfractionation (SD treatment), brachytherapy, planned combination with chemotherapy or other combined modality therapy, stereotactic radiotherapy, radiation response modifier, IMRT, retreatment of same site, concurrent multiple site treatment, any other special time-consuming treatment plan

**Other Instructions:** \_\_\_\_\_

Staff Physician/Date: \_\_\_\_\_

Reporting

Patient Name		John Doe		MRN	12345
Site		Head and Neck			
Plan		Composite			
Prescriptions (Gy)		PTV		80	
Total					
ROI	Margin (mm)	Volume (c.c)	Goal	Objective Dose (Gy)	Meet Goal
PTV		100.0			
Plan		95.0	95% Vol $\geq$ Rx(0)	80.0	YES 95% Vol = 80.0 Gy
		99.0	99% Vol $\geq$ 93% Rx(0)	55.8	YES 99% Vol = 58.0 Gy
		20.0	20% Vol $\leq$ 110% Rx(0)	88.0	YES 20% Vol = 12.0 Gy
		10.0	5%Vol $\leq$ 110% Rx(0)	88.0	Vol= 10% %
Cord(M)	2 2		0.1 cc Vol $\leq$ 50Gy		YES 0.1 cc Vol= 30.0 Gy
Brainstem(M)	2 2		0.1 cc Vol $\leq$ 55Gy		YES 0.1 cc Vol= 26.0 Gy
Chiasm(M)	0 0		0.1 cc Vol $\leq$ 50Gy		YES 0.1 cc Vol= 10.0 Gy
Parotid_RT(M)	3 3	25.0	Mean dose $\leq$ 28Gy	*	Mean dose= 30.0 Gy
Parotid_RT(M) $\times$ 30Gy	3 3	12.0	% Vol of 30Gy $\leq$ 50%	YES	%Vol of 30Gy= 48.0 %
Parotid_RT(M)P	3 3	10.0	Volume ratio outside PTV	YES	Vol= 48.0 %
Parotid_LT(M)	3 3	27.0	Mean dose $\leq$ 28Gy	YES	Mean dose= 12.0 Gy
Parotid_LT(M)P	3 3	12.0	Volume ratio outside PTV	YES	Vol= 44.8 %

Patient Name		Pedro Prostate		MRN	23456
Site		Prostate			
Plan		Composite			
Prescriptions (Gy)		77.4			
ROI	Margin (mm)	Volume (c.c)	Goal	Objective Dose (Gy)	Meet Goal
PTV		33.0			
		31.4	95% Vol $\geq$ Rx	77.4	YES 95% Vol = 77.4 Gy
		32.7	95% Vol $\geq$ 93% Rx	72.0	YES 99% Vol = 77.4 Gy
		6.6	20% Vol $\leq$ 110% Rx	85.1	YES 20% Vol = 22.0 Gy
		1.0	5%Vol $\leq$ 110% Rx	85.1	Vol= 3% %
Rectum Wall(M)	3 3		10 cc Vol $\leq$ 70Gy		YES 10 cc Vol= 65.0 Gy
Bladder Wall(M)	0 0		30 cc Vol $\leq$ 30Gy		* 30 cc Vol= 32.0 Gy
FemoralHeads(M)	0 0		0.1 cc Vol $\leq$ 55Gy		YES 0.1 cc Vol= 41.0 Gy

Fig. 2. Examples of an intensity-modulated radiation therapy (IMRT) dosimetry summary for head-and-neck and prostate cancer cases using a spread sheet with predefined treatment goals (provided with permission of the Department of Radiation Oncology, University of Florida).

# Current ASTRO Practice Guideline

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## REVIEW ARTICLE

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### American College of Radiology (ACR) and American Society for Radiation Oncology (ASTRO) Practice Guideline for Intensity-modulated Radiation Therapy (IMRT)

*Alan C. Hartford, MD, PhD,\* James M. Galvin, DSc,† David C. Beyer, MD,‡  
Thomas J. Eichler, MD,§ Geoffrey S. Ibbott, PhD,|| Brian Kavanagh, MD,¶  
Christopher J. Schultz, MD,# and Seth A. Rosenthal, MD\*\**

Am J Clin Oncol, 2012





# Patient-specific QA

Eva Onjukka (PhD), Medical Physicist

Section of Radiotherapy Physics and Engineering, Dept. of Medical Physics  
Karolinska University Hospital

[eva.onjukka@karolinska.se](mailto:eva.onjukka@karolinska.se)

# Contents

- What's special about IMRT?
- IMRT verification systems
- Data analysis: the gamma index
- The value of patient-specific QA

## ESTRO booklet 9

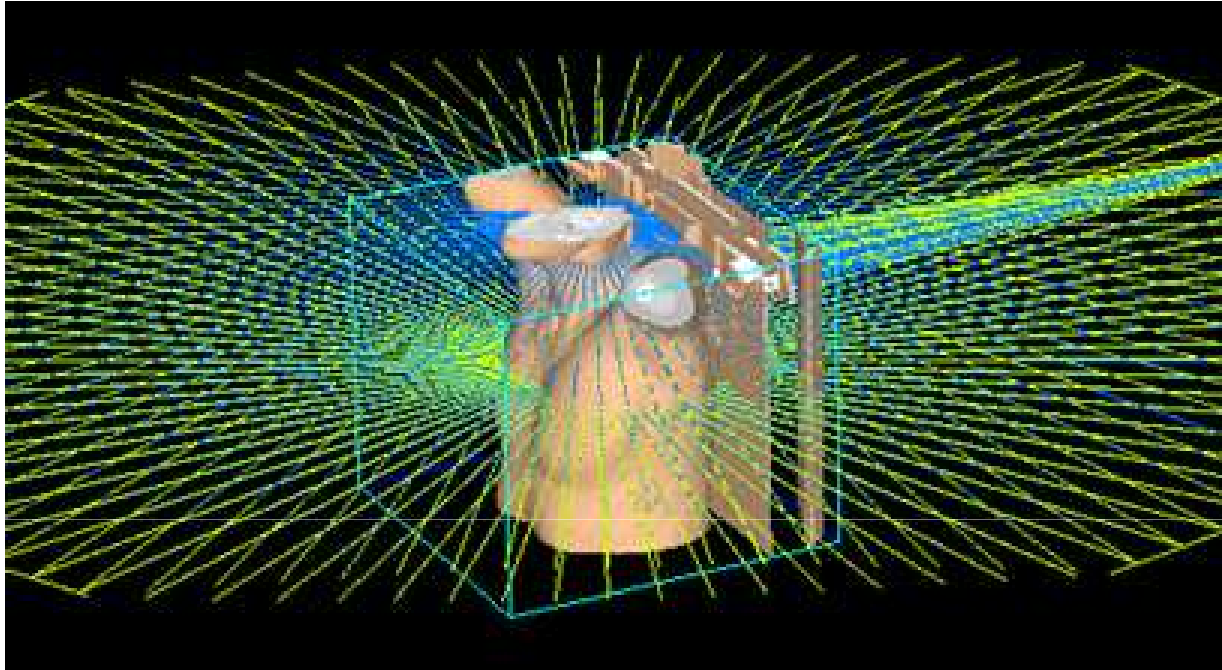
### GUIDELINES FOR THE VERIFICATION OF IMRT

Markus Alber  
Sara Broggi  
Carlos De Wager  
Ines Eichwurz  
Per Engström  
Claudio Fiorino  
Dietmar Georg  
Günther Hartmann  
Tommy Knöös  
Antonio Leal  
Hans Marijnissen  
Ben Mijnheer  
Marta Paiusco  
Francisco Sánchez-Doblado  
Rainer Schmidt  
Milan Tomsej  
Hans Welleweerd



## QA in the 3D-CRT era

- Successful radiotherapy requires correct delivery of planned dose
- Achieved through commissioning of treatment-planning system (TPS) and treatment machines
- Stable performance of machines monitored through a quality assurance (QA) programme
  - Absolute and relative dose
  - Collimator positions
- Plan check routines make sure patients get correct treatment plans
- Patient-specific QA an option but not necessarily a requirement

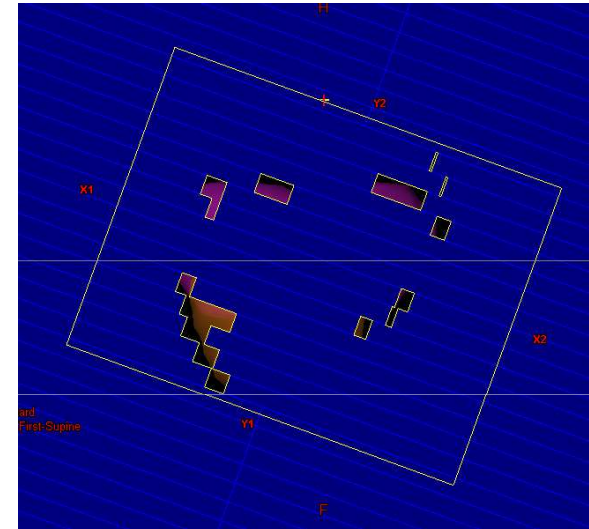


## WHAT'S DIFFERENT ABOUT IMRT?

# Modulation

Total dose delivered by multiple small beamlets

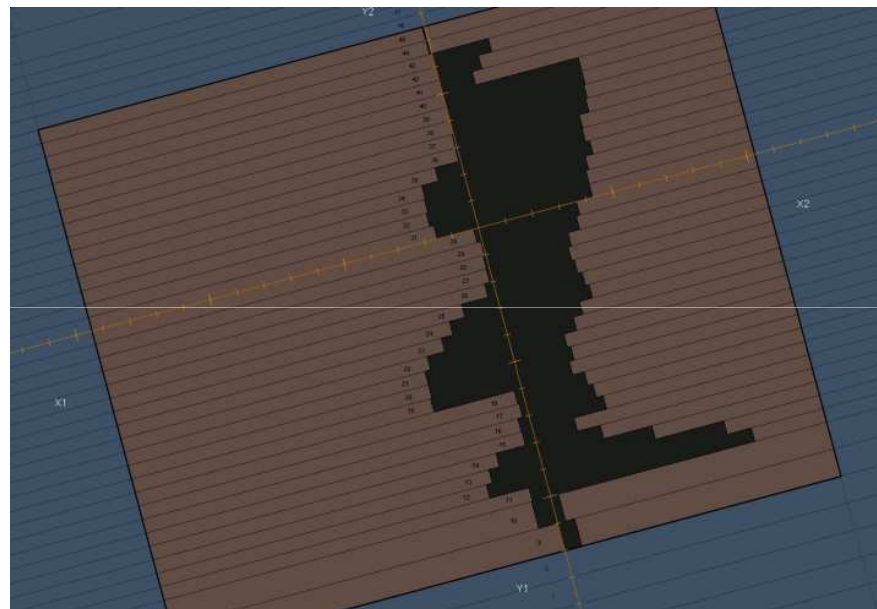
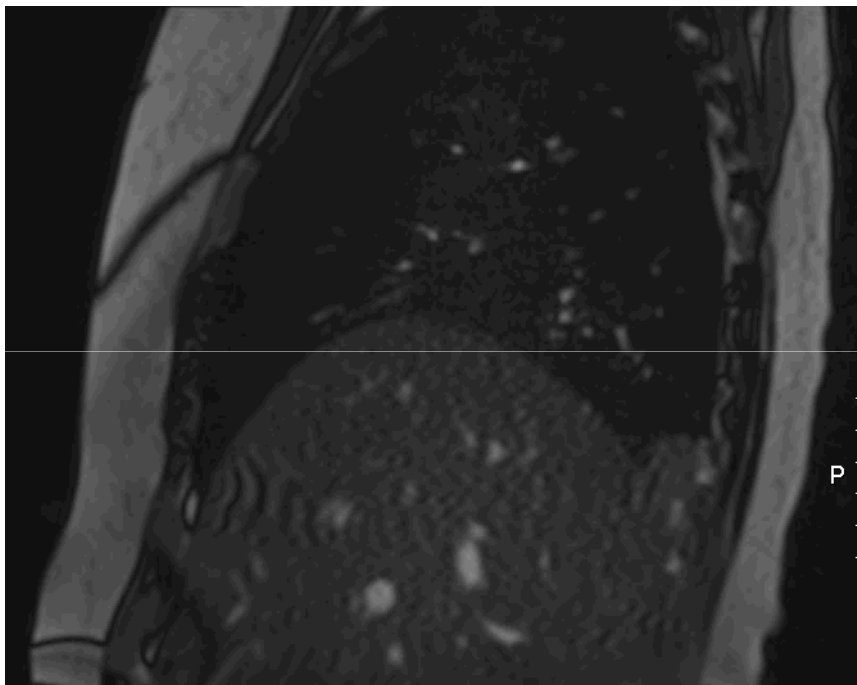
1. Output factor for very small fields difficult to measure/model
2. Field penumbra directly in the target
3. Inter-leaf leakage exposing the target
4. Secondary dose calculation complicated
5. Interplay effect



Limitations in beam modelling more important for IMRT.

Monte Carlo beam model could address 1-3 above.

# Interplay effect

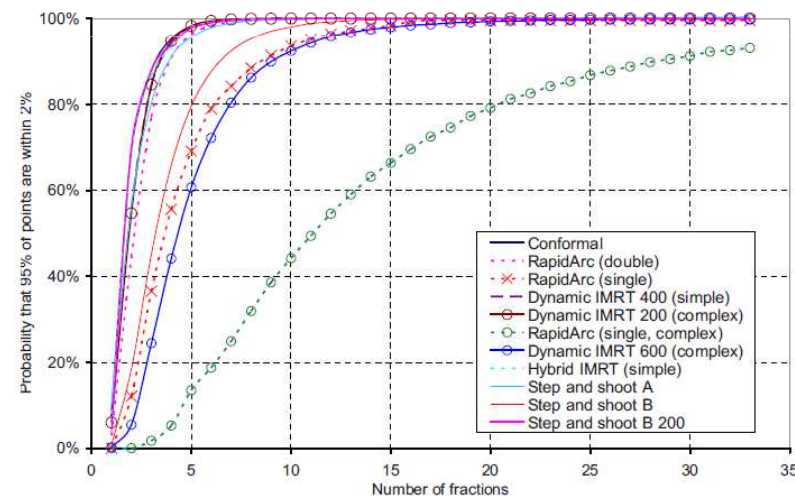


# Interplay effect

- Total dose distribution relies on different areas of the target being selectively exposed at different times
- Intrafraction motion would lead to the dose accumulating in a different distribution than planned

In reality, the interplay effect is rarely a problem:

- Over many fractions the dose averages out
- SABR: few fractions but longer delivery times (e.g. more breathing cycles)
- Very heavily modulated plans, single-arc VMAT
- Avoid by limiting modulation



Court et al. MedPhys 2010

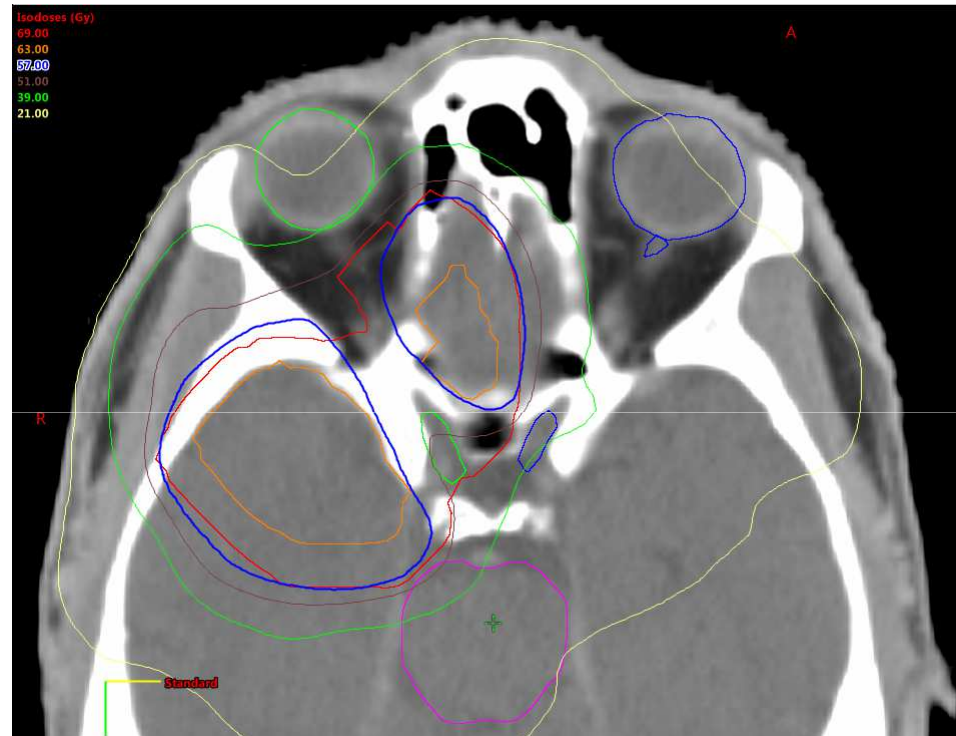
# Static or dynamic delivery

- Step-and-shoot IMRT
  - Multitude of low-weighted beamlets
  - Quick ramp-up of accelerator performance required
- Sliding window IMRT and VMAT
  - Dose-rate, MLC motion and gantry motion need to be perfectly synchronised
  - Influence of gravity on MLCs
  - MLC QA, e.g. picket fence test
  - Analysis of MLC log files
- Standard IMRT/VMAT plan measured regularly on phantom/array



# Complex modality

- Potentially high dose wrapping close around critical organs
- Sensitive to set-up errors
- High accuracy in delivery of planned dose *particularly* important for IMRT
- Difficult for treatment staff to discover mistakes



# IMRT audit results

- Dose determination audits with anthropomorphic phantoms show , in the target volume, an agreement between different centres of:
  - About 3% (1 standard deviation) for 3D-CRT
  - Up to 8% (1 standard deviation) for IMRT
- 30% of clinics failed to deliver correct IMRT H&N plans (7%/4mm) according to Ibbot et al. (IJROBP 2008), due to:
  - Incorrect output factors and percent depth dose data
  - Inadequate modeling of the penumbra at MLC leaf ends
  - Incorrect application of QA calculations or measurements
  - Inadequate QA of multileaf collimator
  - Incorrect patient positioning
  - Errors in treatment planning software

# Why patient-specific QA?

The agreement between planned and delivered dose may depend on plan-specific parameters, like the level of modulation

Seems unavoidable at least until:

- Stable history of machine QC for dynamic MLC and gantry
- Monte Carlo dose calculation algorithm
- Convenient secondary dose calculation
- Extensive experience of high pass rates for patient-specific plan measurements
- New history of patient-specific QA needs to be acquired after new class solution is introduced

May be a legal requirement in your country

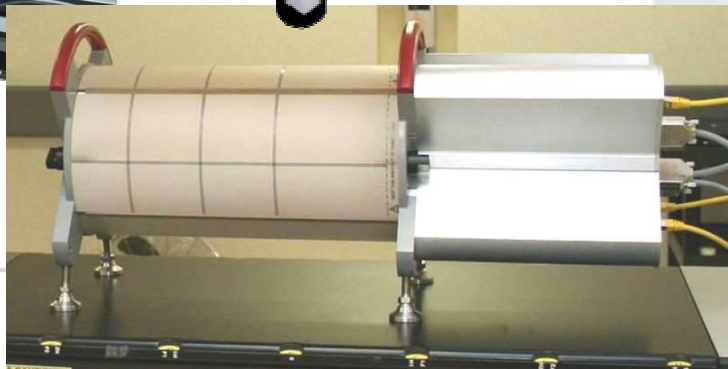
EURATOM directive 97/43

# IMRT treatment plan verification strategies

- Single field- versus composite-field verification
  - Ease of evaluation and investigation
  - Both fill the purpose of plan verification
- 1D, i.e. point measurement: too simplistic
- 2D, i.e. film, detector arrays, EPID: most common
- 3D, i.e. gels and similar: less common
- Pre-treatment verification and/or in-vivo verification

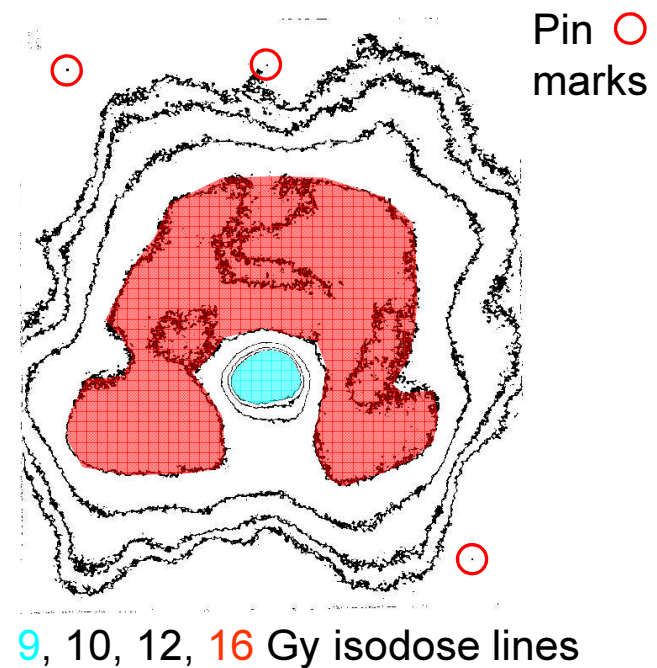
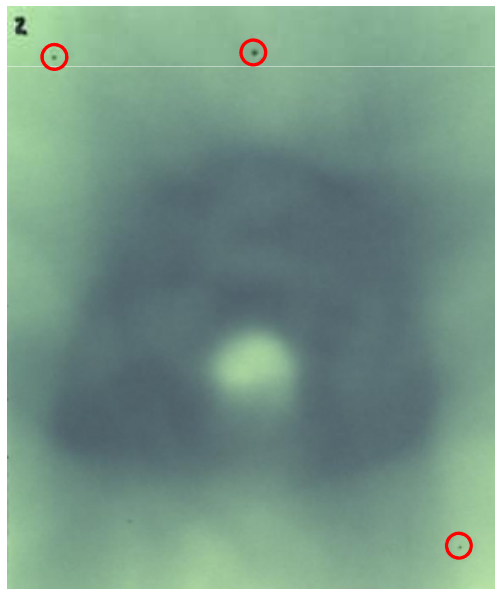
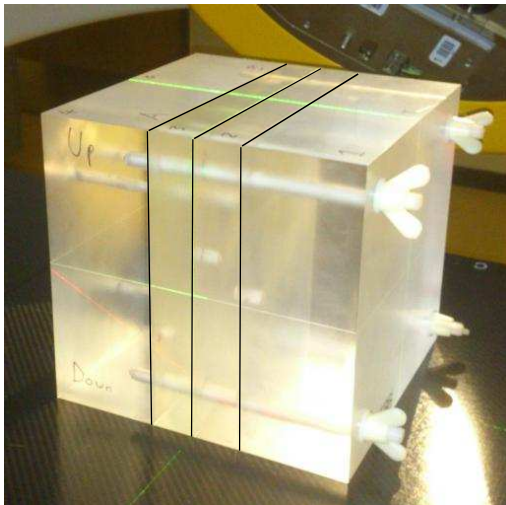
## 2D verification systems: detector arrays

- Detector arrays can be integrated in a phantom or attached to the gantry
- Patient plan copied, unmodified, onto a phantom scan and the dose calculated. This 'hybrid' plan is compared to measurement.
- Convenient, large sample of measurement points



# 2D verification systems: Radiochromic film

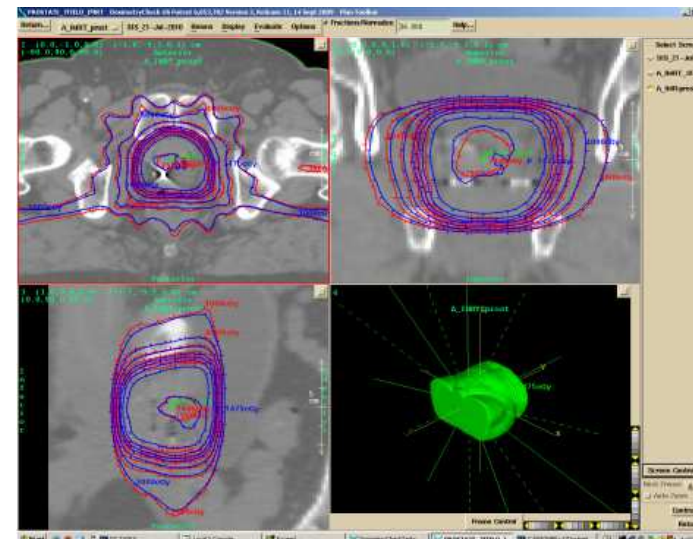
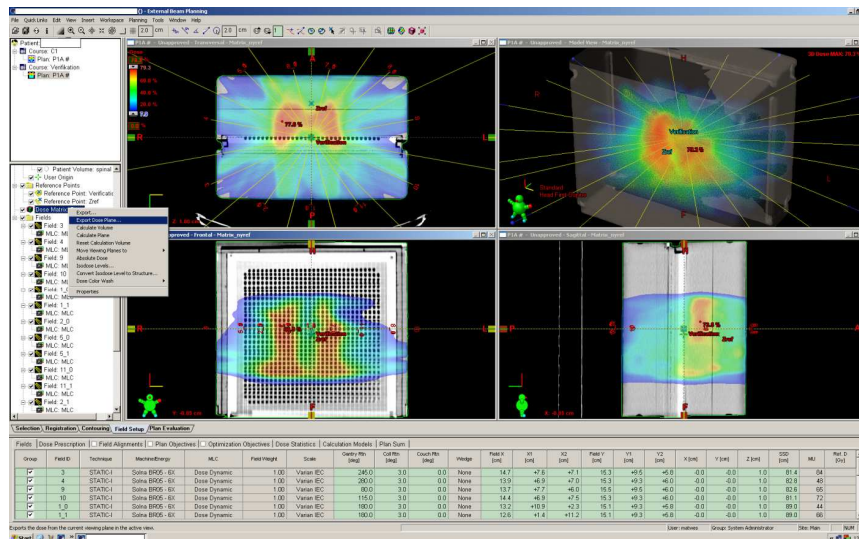
- Radiographic film was used extensively at the dawn of IMRT
- Today radiochromic film more commonly used
- Great spatial resolution, less convenient than detector arrays
- Allows verification of steep dose gradients



Courtesy Jonny Lee

# 2D verification systems: EPID

- The electronic portal imaging device (EPID) can be used for dose measurement, if correctly calibrated
- Higher resolution than detector arrays, not independent of gantry rotation
- Quick and convenient measurement, potentially integrated analysis tools
- Can also measure the exit dose during treatment: 3D in-vivo dosimetry



# 3D verification systems

A few 3D dosimetry systems available:

- Ferrous gel (Fricke)
- Polymer gels (PAG)
- Radiochromic plastics (Presage)

Read-out with MRI or optical CT

Takes time and requires specific equipment and expertise

Suitable for commissioning/end-to-end tests rather than patient-specific QA

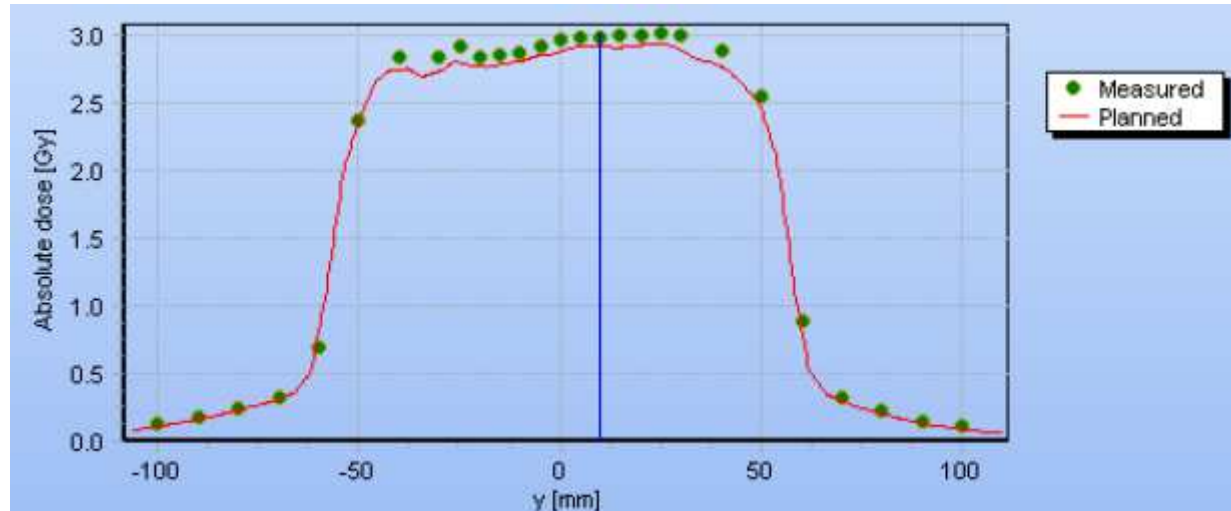


Vandecasteele PhysMedBiol 2013



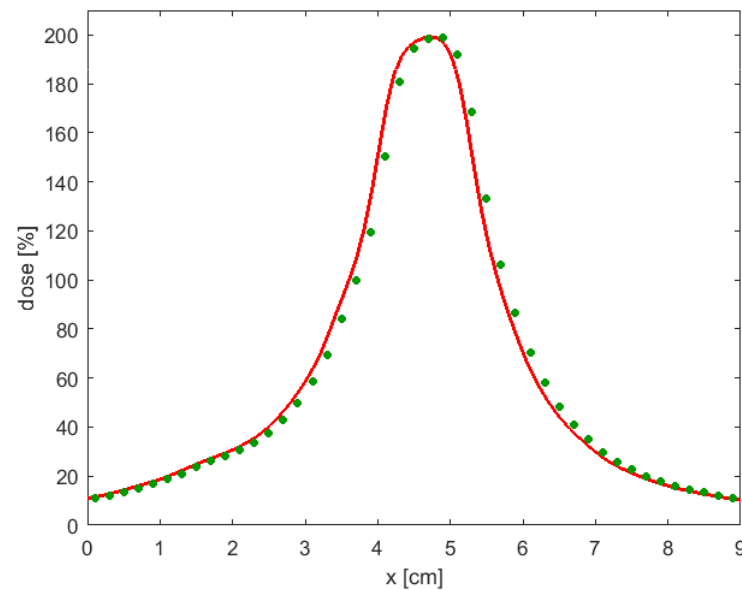
# Data analysis: local dose difference

- IMRT verification measurements need to be compared to planned 3D dose distributions
- Agreement can be assessed qualitatively by overlaying isodose lines or comparing dose profiles
- Quantitative evaluation of many measurement points?



# Data analysis: DTA

- Dose difference can be large at steep dose gradients for realistic phantom set-up uncertainties
- Distance to agreement (DTA) between pixel/voxel values a more relevant measure



# Data analysis: gamma index

A gamma analysis compares each calculated value to all measured values, both in terms of dose deviation and DTA.

$$\Gamma(r_m, r_c) = \sqrt{\frac{r^2(r_m, r_c)}{\Delta d_m^2} + \frac{\delta^2(r_m, r_c)}{\Delta D_m^2}}$$

$r_m$  = point in measured distribution  
 $r_c$  = point in calculated distribution  
 $r(r_m, r_c)$  = distance between  $r_m$  and  $r_c$   
 $\delta(r_m, r_c)$  = dose deviation in  $r_m$  to  $r_c$   
 $\Delta d_m$  = DTA criterion  
 $\Delta D_m$  = dose deviation criterion

For each  $r_m$ , the  $r_c$  with the lowest gamma value is identified.

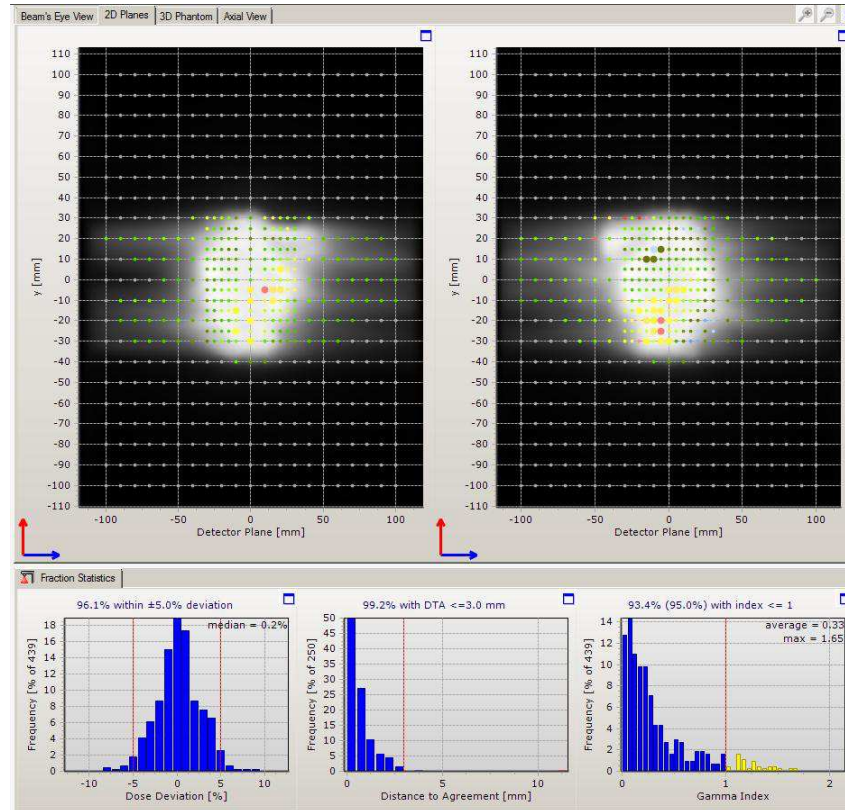
$$\gamma(r_m) = \min \{ \Gamma(r_m, r_c) \} \forall \{ r_c \}$$

All  $r_m$  with  $\gamma \leq 1$  have passed the combined gamma criterion. The percentage of acceptable  $\gamma$ -values can be used as an over all agreement measure.

# Gamma analysis considerations

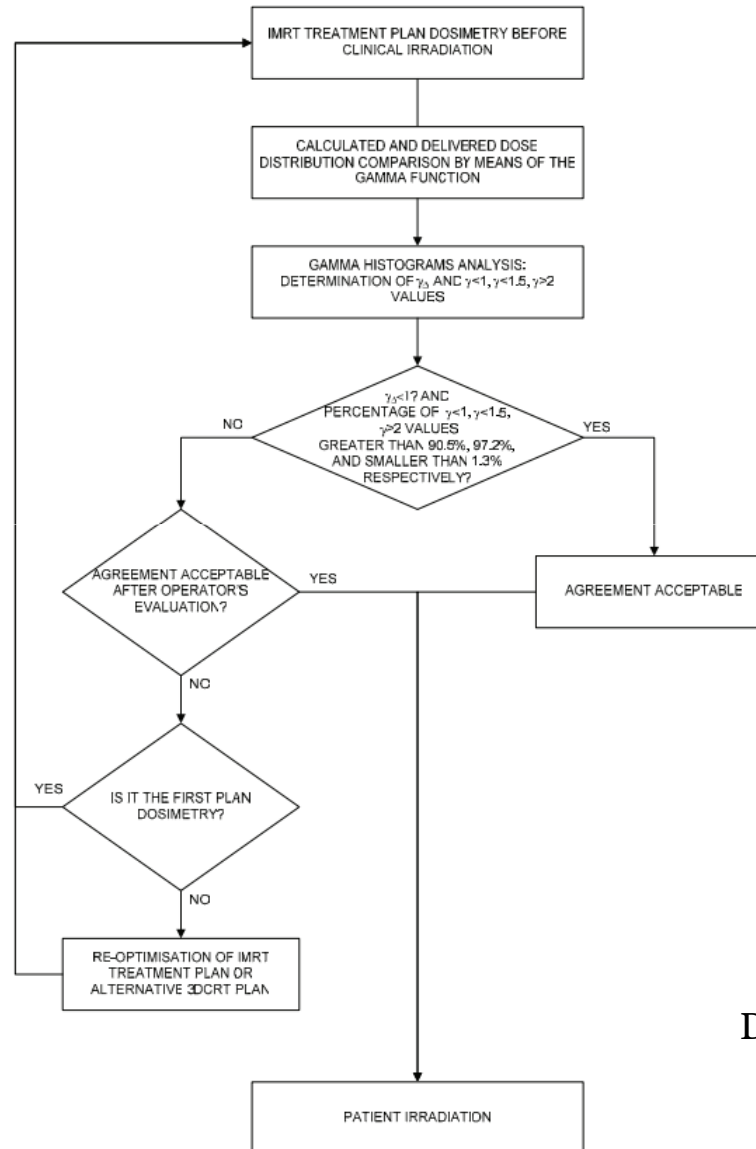
- Local or global dose deviation can be used
  - Global: deviation expressed as percentage of maximum or prescribed dose
  - Local: deviation expressed as percentage of local dose
  - Global dose deviation more forgiving, especially in low-dose region
- Gamma analysis fails extensively in the low-dose region, but this may not be of interest: cut-off often applied
- Can be performed in 2D or 3D: slightly better pass rates with 3D
- Adjustment for the linac output of the day?
- Adjust spatial registration of dose distributions for best result?

# Example



- 5%/3mm gamma analysis of VMAT plan
- 2D analysis with the Delta4 system
- Low-dose cut-off
- Pass rate: 93.4%
- Failed the 95% limit of the clinic

# Decision protocol



De Martin et al. Radiat Oncol 2007

# Comparison between QA solutions

TABLE III. Comparison between calculated and measured dose distributions. Percentage of points satisfying  $\Gamma$  (3%, 3 mm) < 1: Statistics for all plans and all dosimetric systems. The average pass-rate obtained over seven ERGO++ and seven Oncentra plans optimized for the same treatment cases is shown separately, and indicated as comparative study.

	ERGO++				Oncentra Vmat			
	Average (%)		Max (%)	Min (%)	Average (%)		Max (%)	Min (%)
	All plans	Comparative study			All plans	Comparative study		
EDR2	95.1	96.1	100.0	83.0				
EBT2	91.1	92.9	98.5	80.0	91.7	92.1	98.9	85.2
MAPCHECK	97.4	98.0	100.0	92.0	96.3	97.8	99.7	86.2
DELTA4	99.3	99.4	100.0	93.0	95.4	96.2	100.0	89.3
SEVEN29	99.6	99.8	100.0	99.1	98.0	97.5	100.0	88.0

Masi et al. Medical Physics 2011

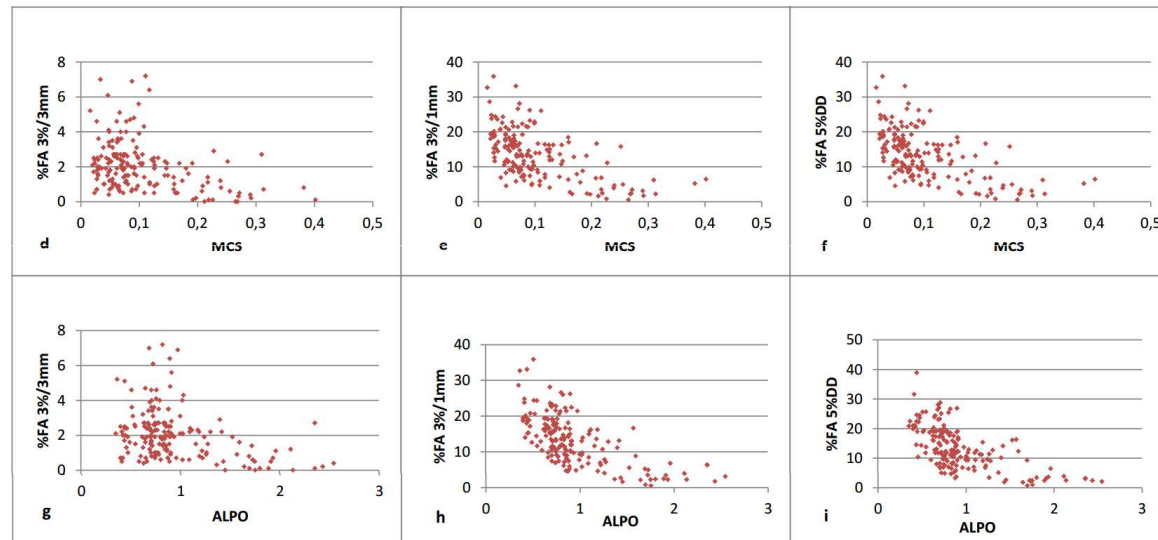
# Gamma analysis physically relevant?

- What do we want to detect with patient-specific QA?
- Do we have confidence in our MLCs?
  - Perhaps better addressed with machine QA
  - Thorough commissioning of IMRT with challenging plans and several measurement systems
  - Constancy checks with challenging plan
- Do we have confidence in our dose calculation algorithm?
  - Aware of limitations
  - Impact depends strongly on the individual plan
  - The gamma index seems to correlate with plan complexity/modulation
  - Gamma pass rates can probably highlight challenging cases



# Robust IMRT plans

- The Gothenburg group is looking at correlations of the gamma index to the modulation complexity score (MCS), and the average leaf pair opening (ALPO)



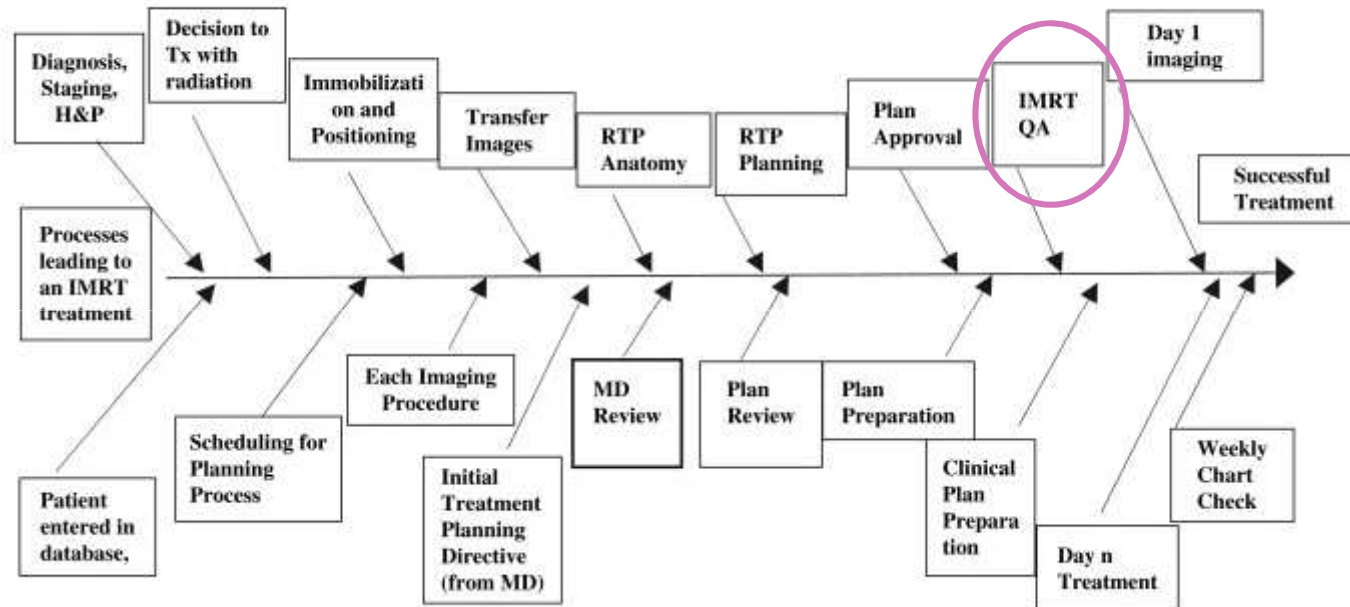
Olofsson, MSc  
thesis 2012

- For purposes of robustness, don't use more modulation than necessary
- Larger beamlets result in a better agreement between planned and delivered dose, and mitigates the interplay effect

# Gamma analysis clinically relevant?

- Studies have shown that clinically relevant parameters do not correlate well with gamma pass rates (Nelms et al. MedPhys 2011, Carver et al. RadiatOncol 2011)
- Dose deviation tolerance usually at a few percent
- Tumour control probability depends strongly on the dose deviation
- Comparison of isodoses is important for critical organs at risk
- Not sensitive to small systematic differences

# One piece of the puzzle

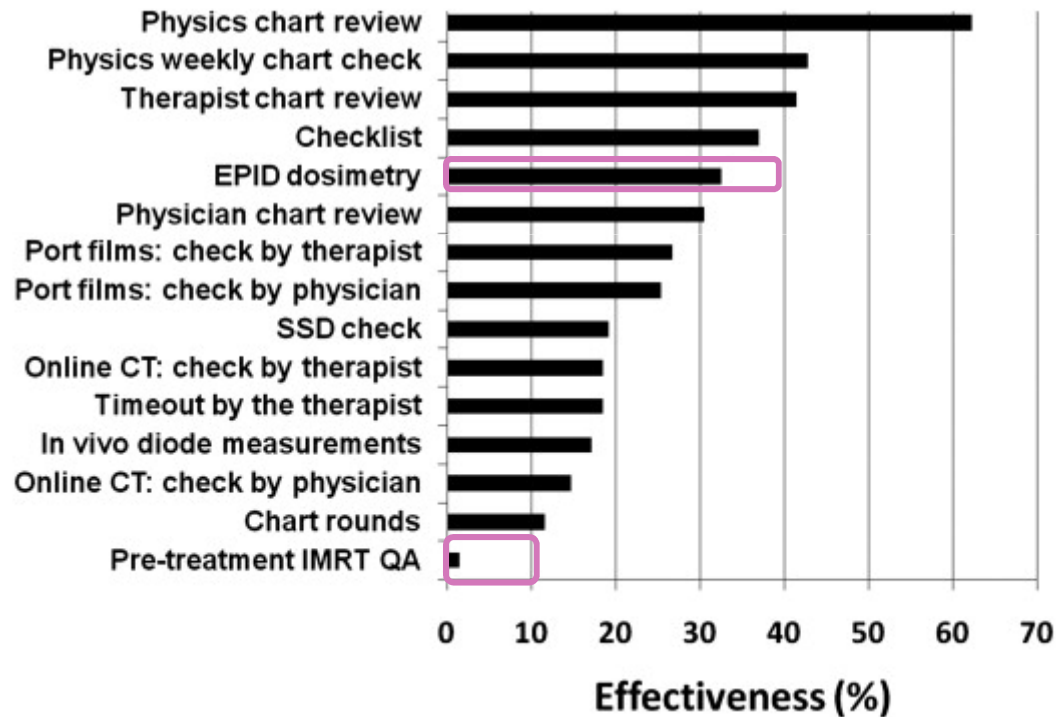


Huq et al. IJROBP 2008

# Error-detection efficiency

Few errors are spotted using pre-treatment IMRT QA.

EPID-based in-vivo dosimetry ranks highly, on the other hand.

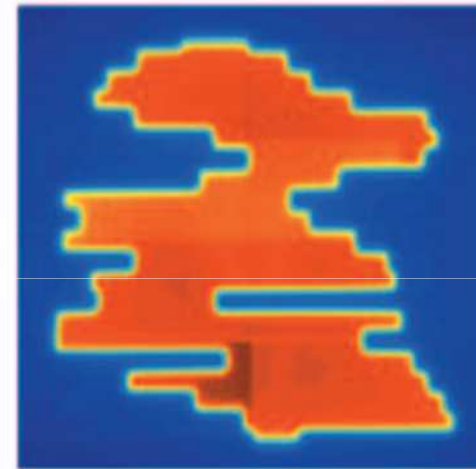


Ford et al. IJROBP 2012

# Transit dosimetry

- Transit dose measurement with the electronic portal imaging device (EPID)
- 2D: model to convert gray scale image to portal dose
- 3D: Reconstruction of dose in 3D using CBCT
- In-vivo dosimetry using only the equipment integrated on the linac, using the treatment field itself
- Commercial solutions being developed
- Generates a huge amount of data to be analysed
- Do we know how to address discrepancies?

Transit dose  
image  $D_p$



Nijsten MedPhys 2007

# Conclusions

- Ideally, in-vivo plan verification should be performed for all techniques
- IMRT potentially pushes the delivery- and planning equipments to their limits
- Confidence in the whole chain should be built through, at least, patient-specific pre-treatment plan verification
- Convenient 'IMRT QA' systems exist
- Gamma analysis is useful for a high-throughput QA programme
- Limitations of the gamma index require attention to dose deviation in selected cases
- Consider patient-specific QA as one among several important components of the QA programme

## Conclusions cont.

After initial successful learning phase, the QA programme might consist of:

- Regular machine QC, including dynamic MLC tests
- Monthly challenging standard plan measurement
- Secondary dose calculation of all plans
- Ideally, if possible, in-vivo dosimetry for all patients
- For new class solutions: patient-specific pre-treatment measurements for some time

# Guidelines

ESTRO Booklet 9, Guidelines for the verification of IMRT, 2008

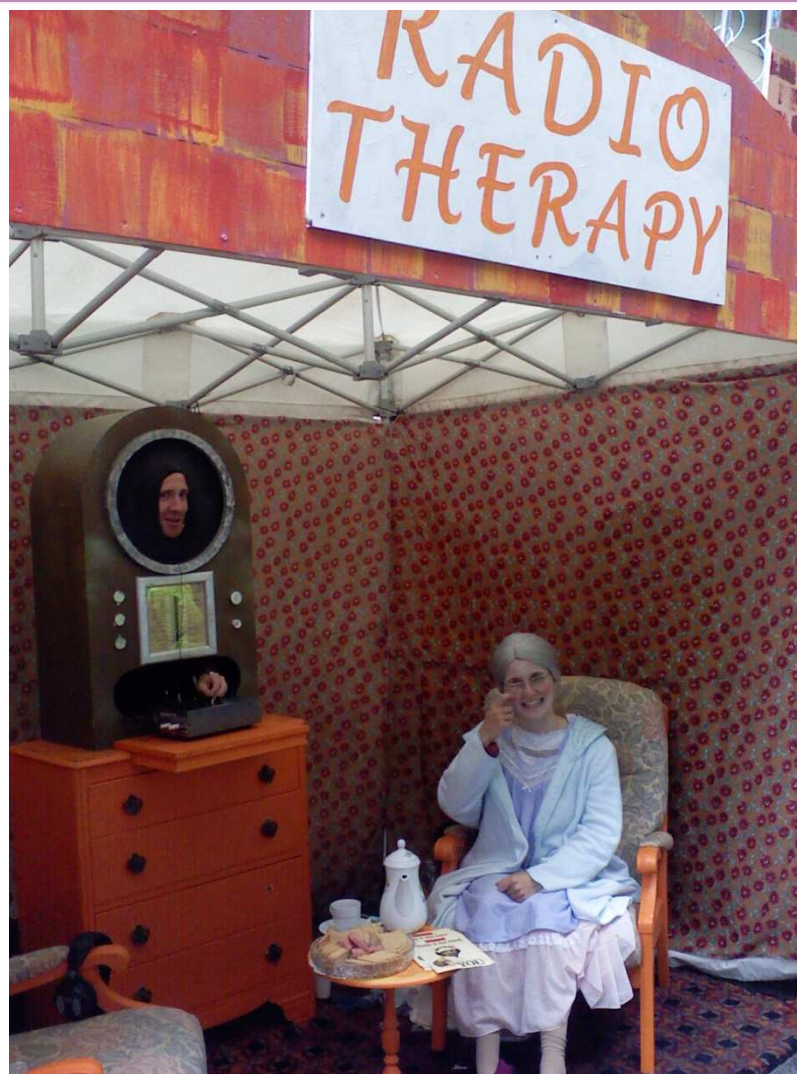
[http://www.estro-education.org/publications/Documents/Booklet9\\_Physics.pdf](http://www.estro-education.org/publications/Documents/Booklet9_Physics.pdf)

IPEM Project 527, Guidance for the Clinical Implementation of Intensity Modulated Radiation Therapy, IPEM 2008

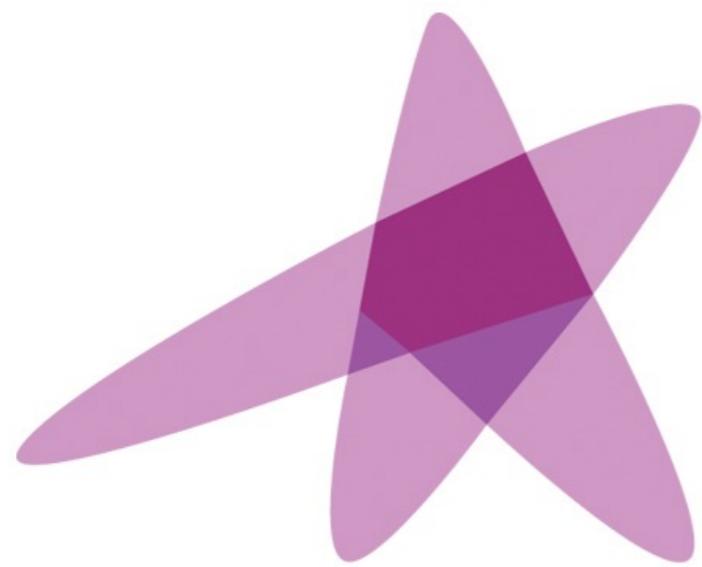
AAPM Task Group 120: Dosimetry tools and techniques for IMRT. MedPhys. 38, 1313-1338, 2011



# Questions



05/04/2016



**ESTRO**

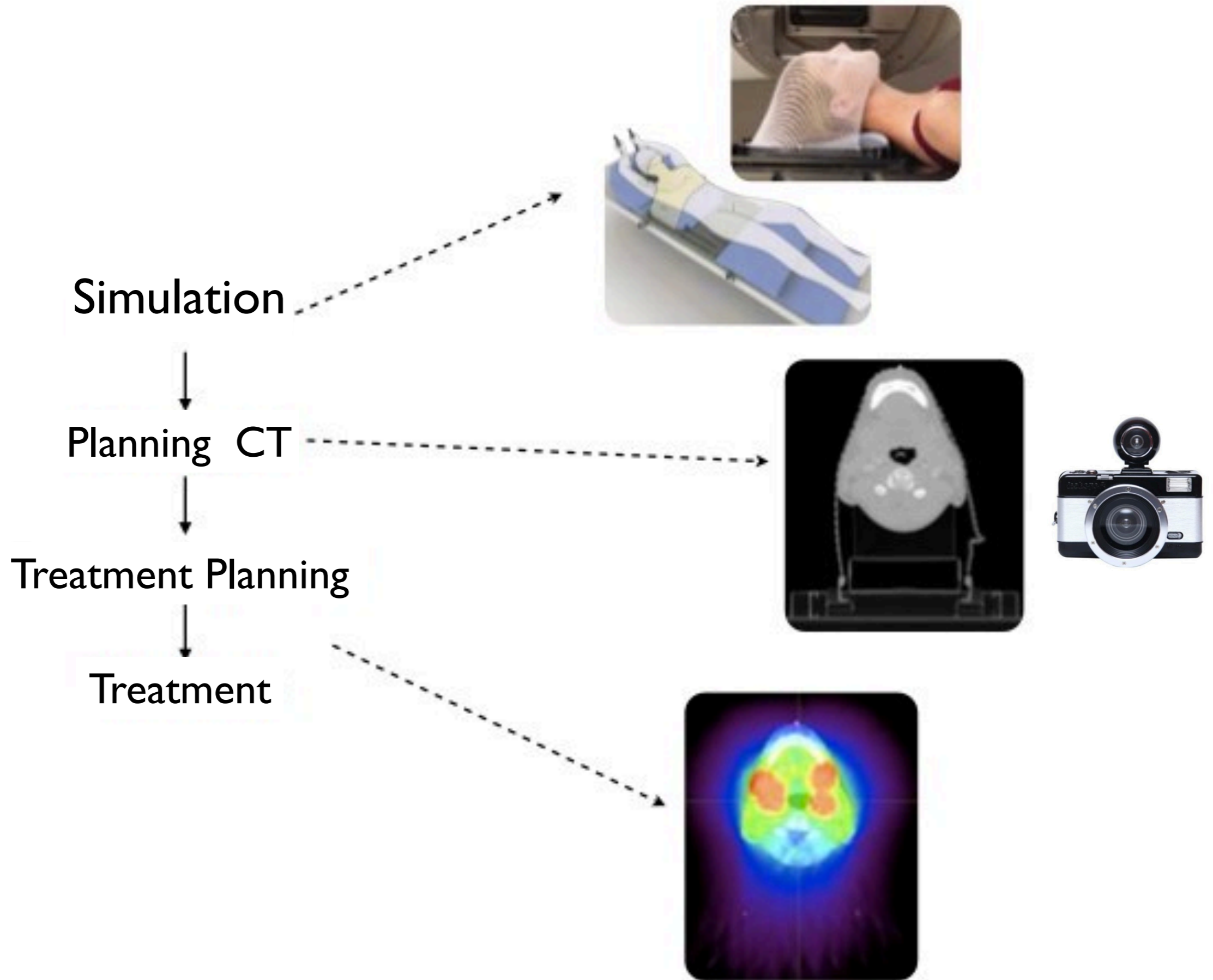
*School*

# Geometric uncertainties in IMRT

Koen Tournel - Physicist  
Radiotherapy Department UZ Brussel



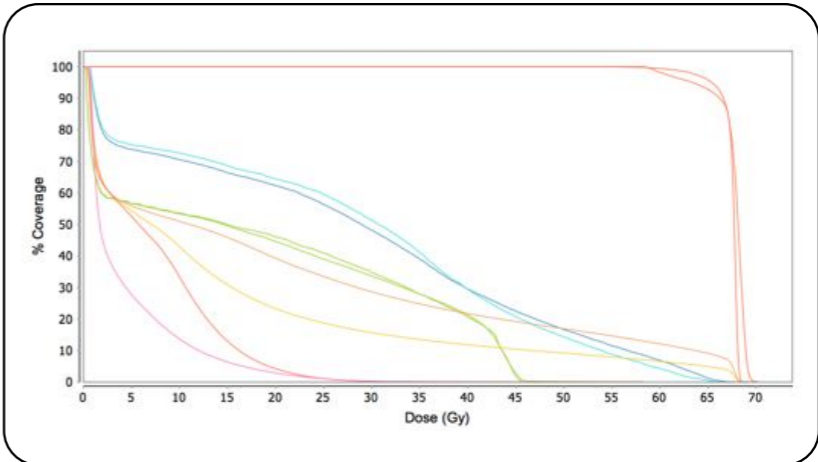
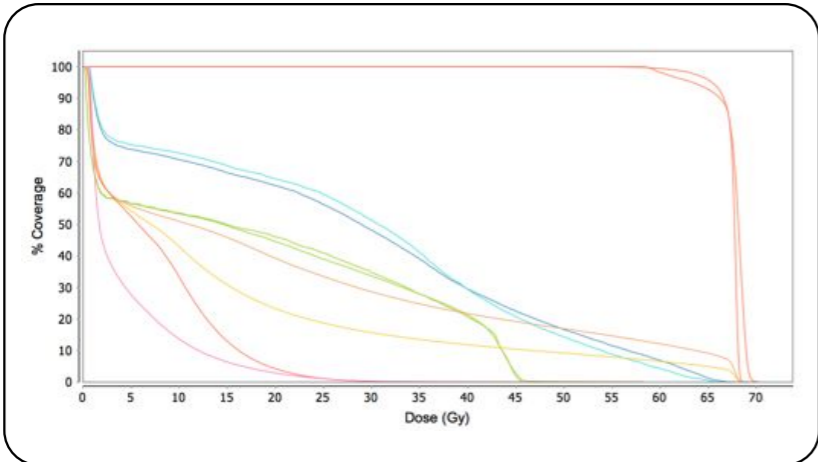
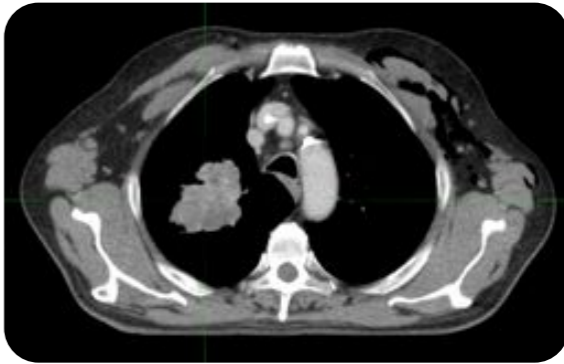
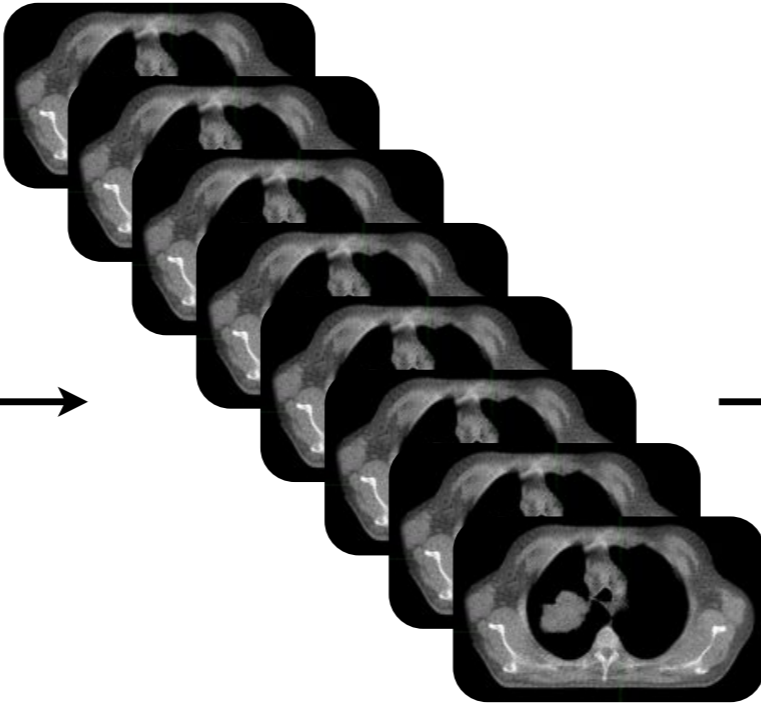
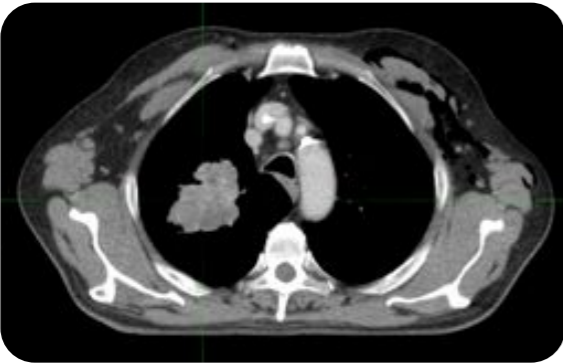
**'The snapshot paradigm'**



# Treatment fractions

## Start

## End



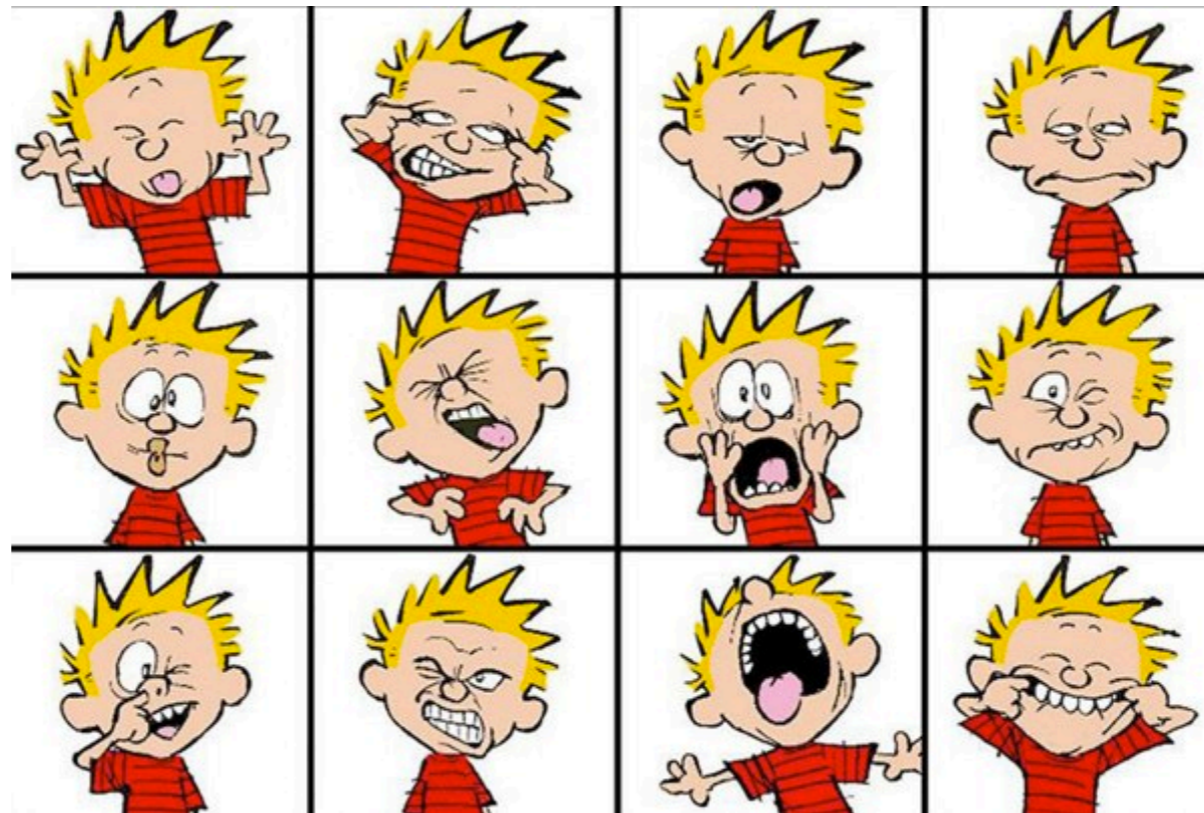
# Problem

- IMRT planning is based on a -well defined and researched - snapshot of reality.
- This snapshot has to be reproduced during the entire treatment chain.
- This ain't just gonna happen....we can't be that lucky



# Types of uncertainties

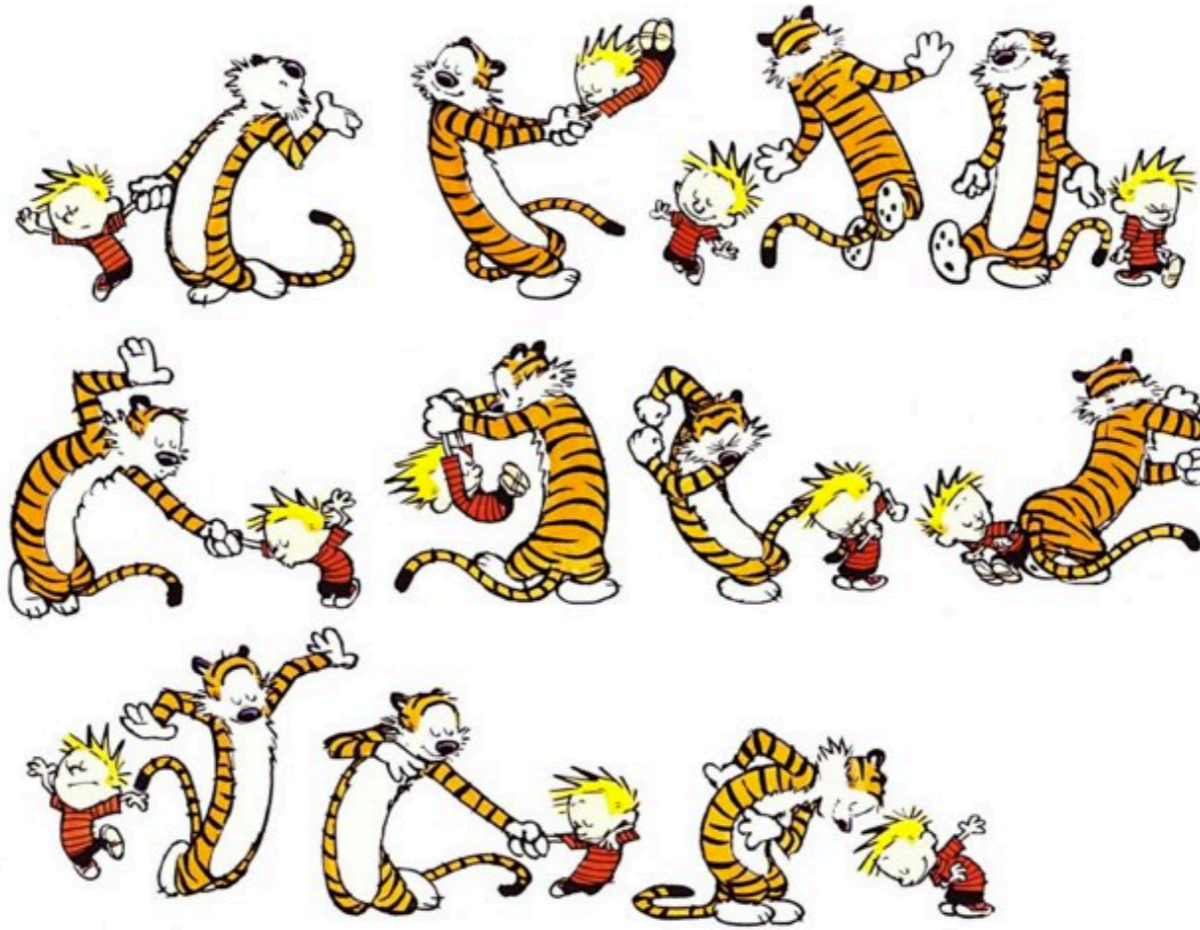
- Movement
  - (Rigid) Interfractional (positioning errors)
  - The position/shape of our tumor will vary day by day





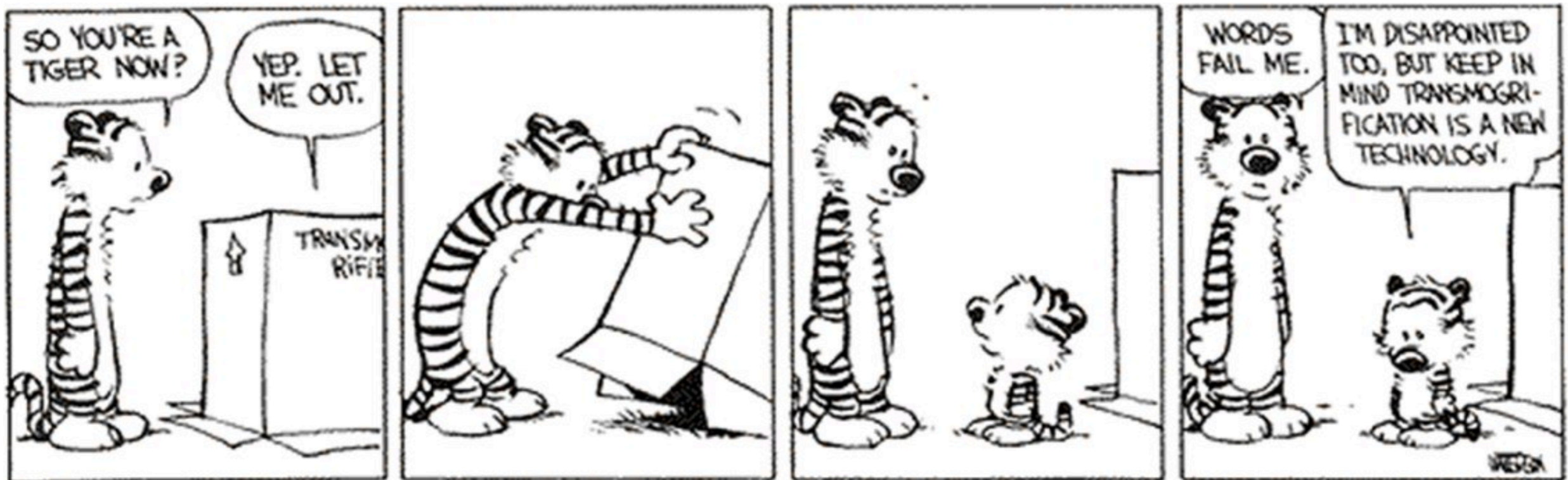
# Types of uncertainties

- Movement
- Intrafractional (periodic or non-periodic)
- Tumor and OAR are moving while we are treating them

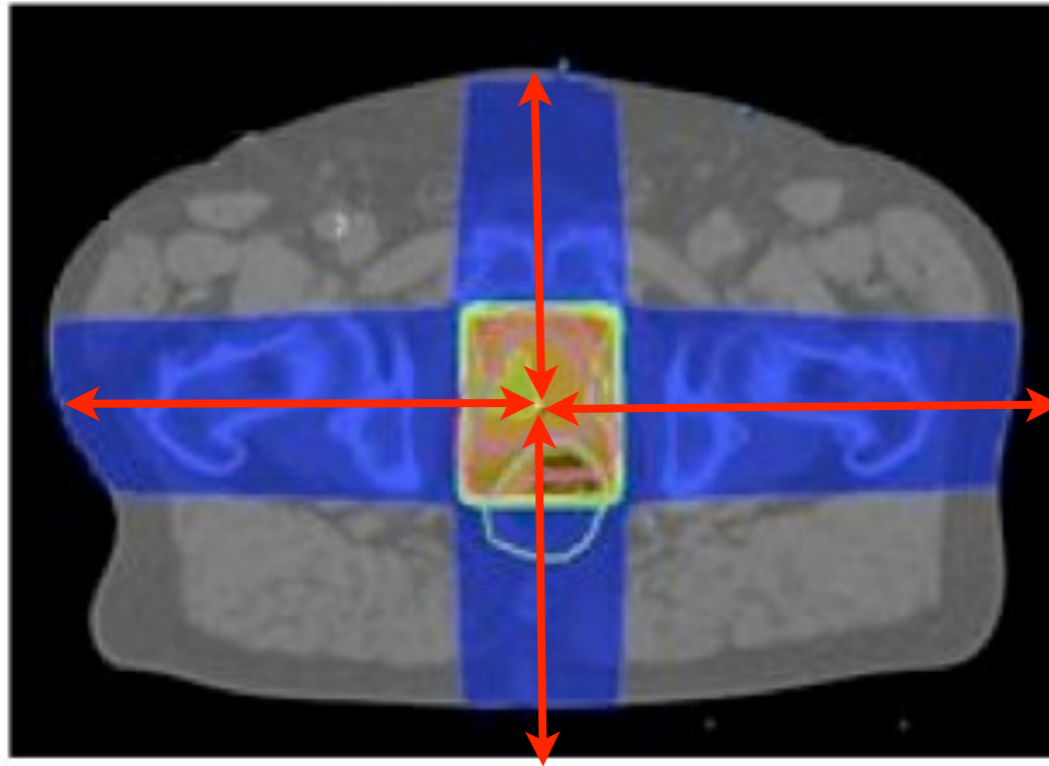


# Types of uncertainties

- Deformation
  - Tumors and OARs will change shape



What do we do in normal conventional RT?



Open fields, normalized at isocenter, MU scaled on beam axis.

# Conventional RT

- For movement there's the PTV concept.
- Minimization through movement management
  - IGRT, off-line/on line protocols
  - Gating/tracking
- Dose delivery will not change a lot when the radiological pathlength of the central axis does not change a lot
- Robustness of the dose

# Patient geometric uncertainties: homogeneous dose distributions

$$\mathbf{m}_{\text{ptv}} = \alpha \Sigma + \beta \sigma - \beta \sigma_p$$

Systematic errors

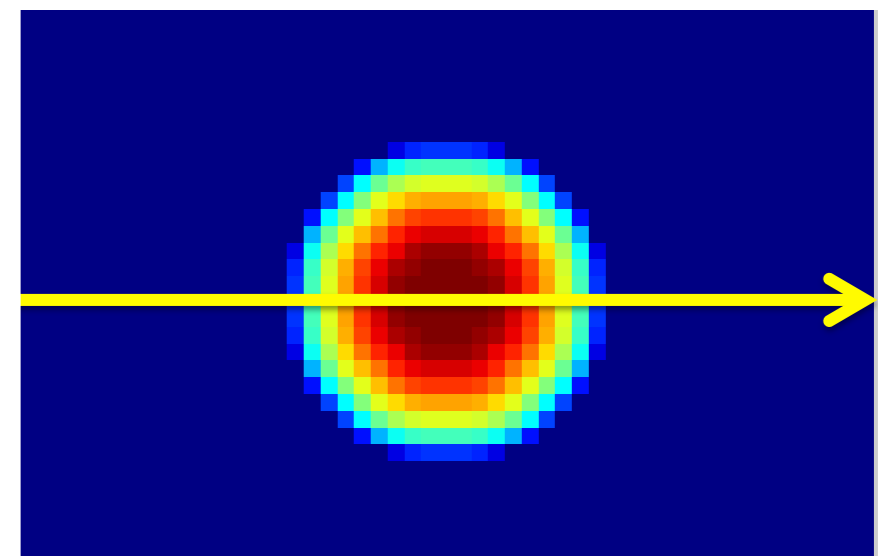
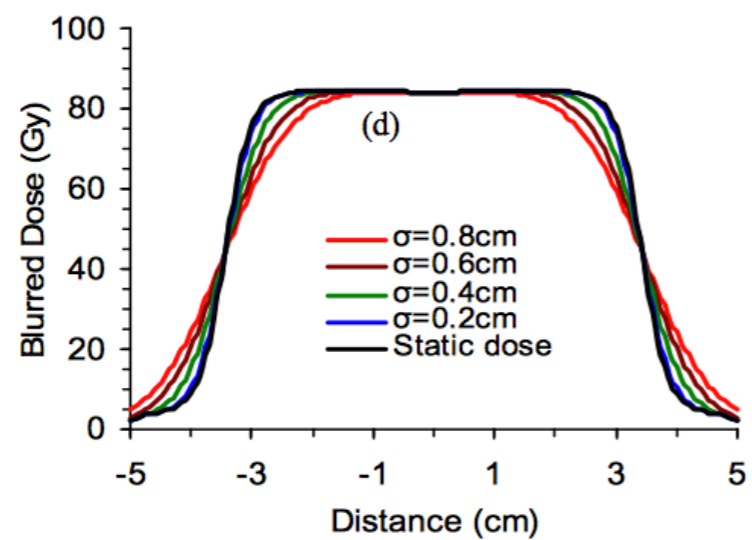
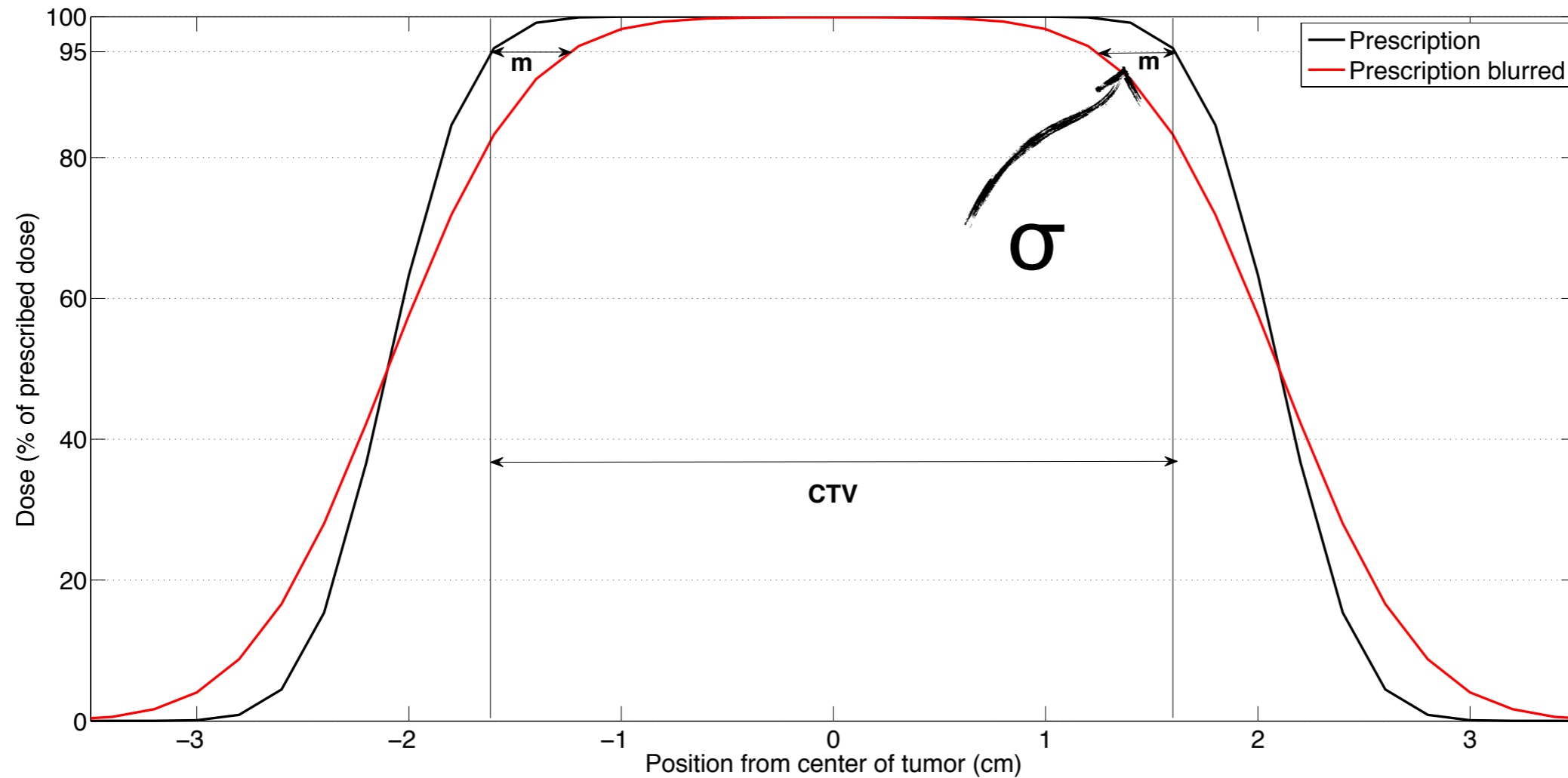
Random errors

Shift

Smoothing

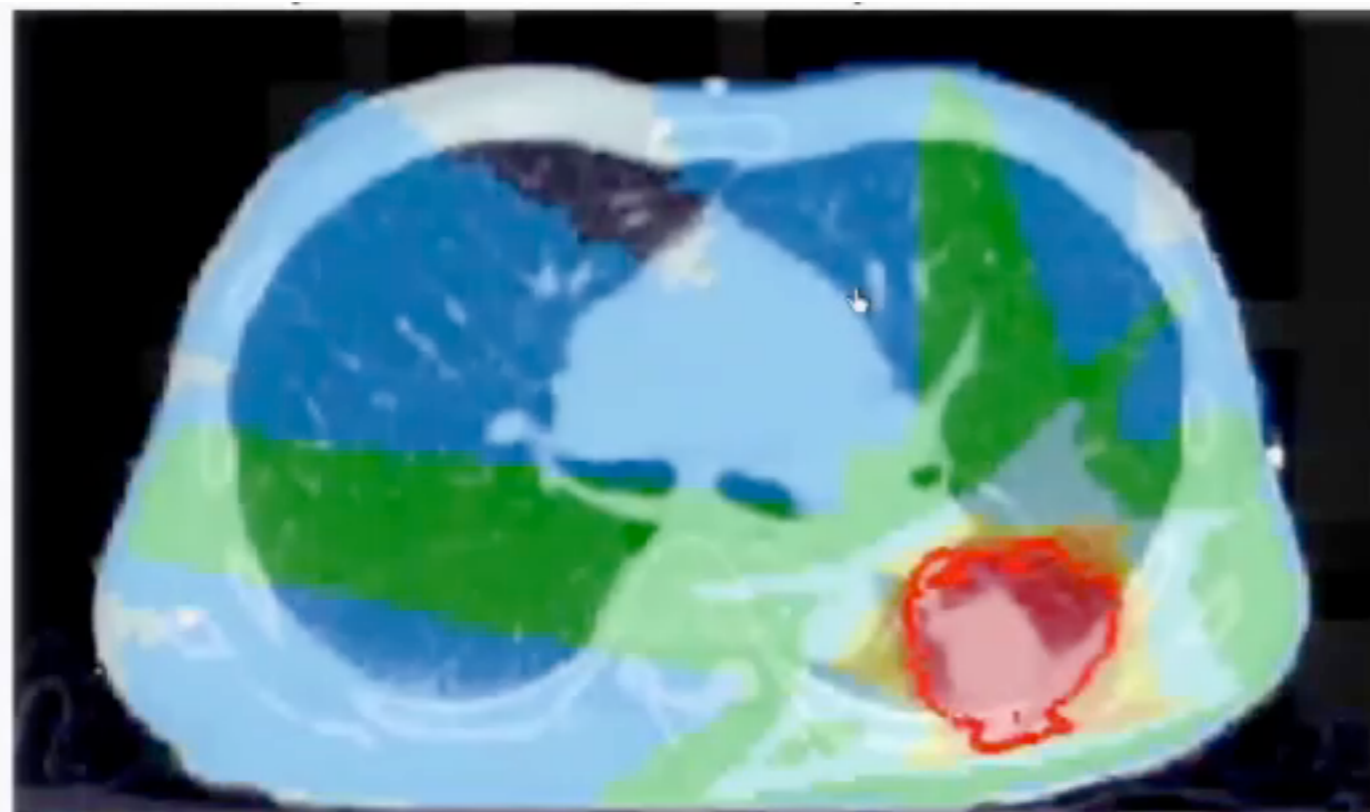
Assuming margins such that 90% of the population receives 95% of prescribed dose  $\alpha=2.5$  and  $\beta=1.64$  (Van Herk et al)

# effect of random errors - homogeneous prescription



# “Dose Cloud”

- Movement inside our nice, homogeneous dose region...robustness.
- In other phases dose will deform, but CTV will keep coverage



NO  
modulation

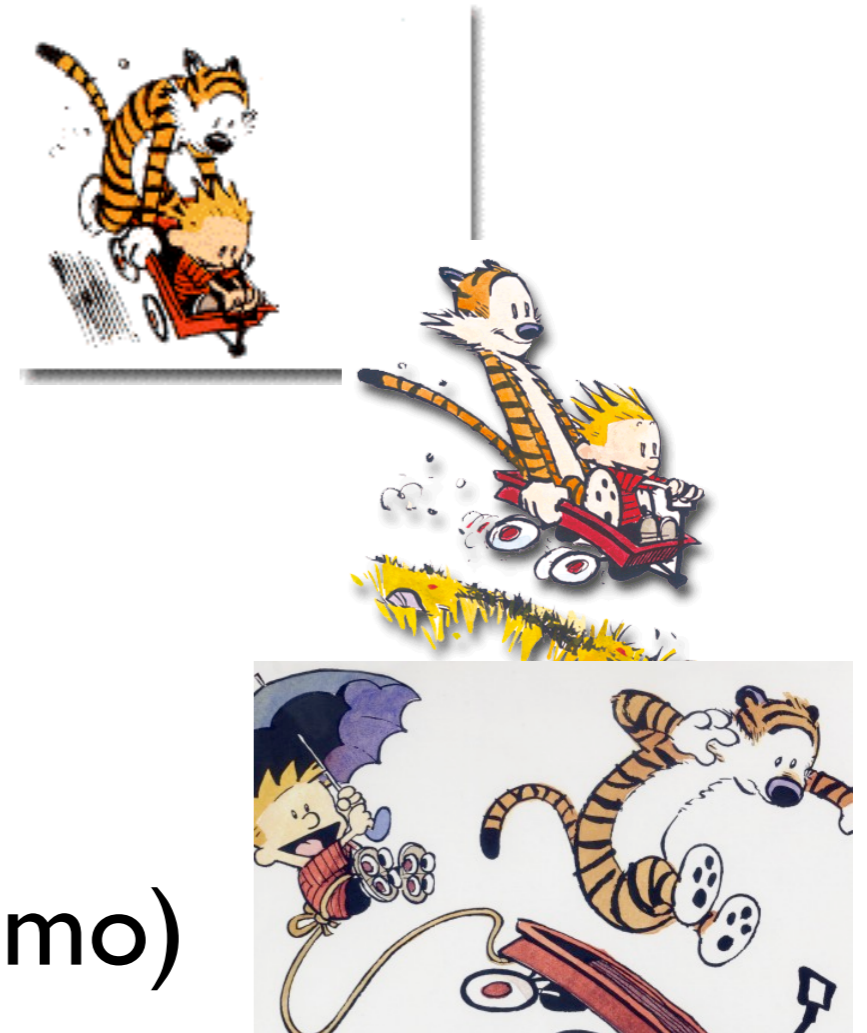
# IMRT

- “More money, more problems”
- Beamlets are optimized on one particular pathlength, on particular scatter conditions

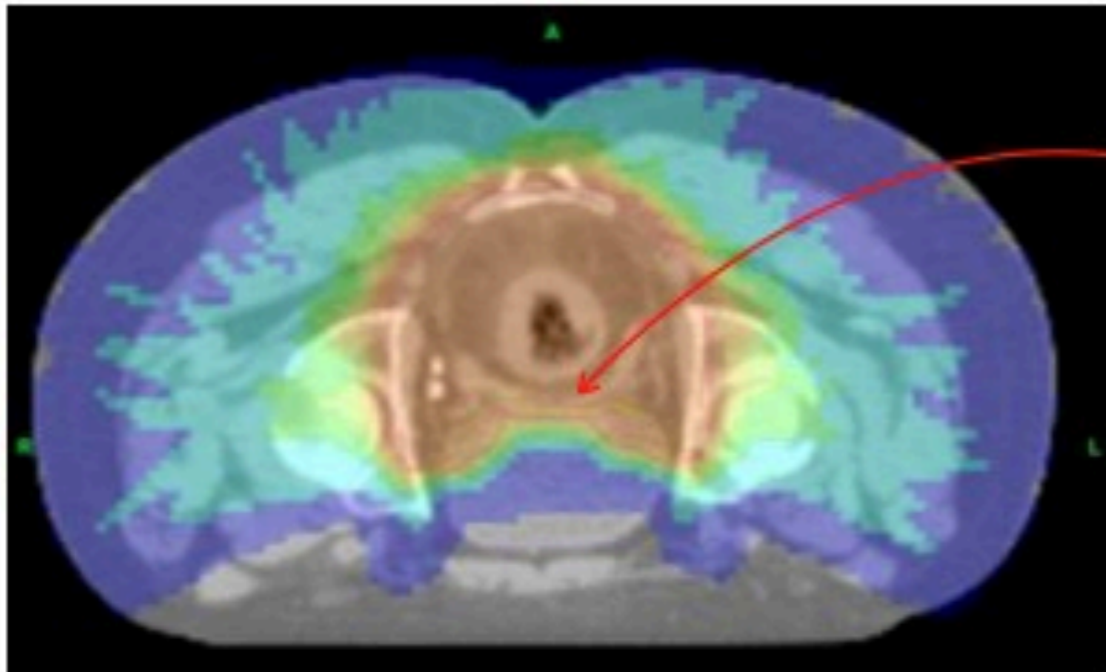


# IMRT

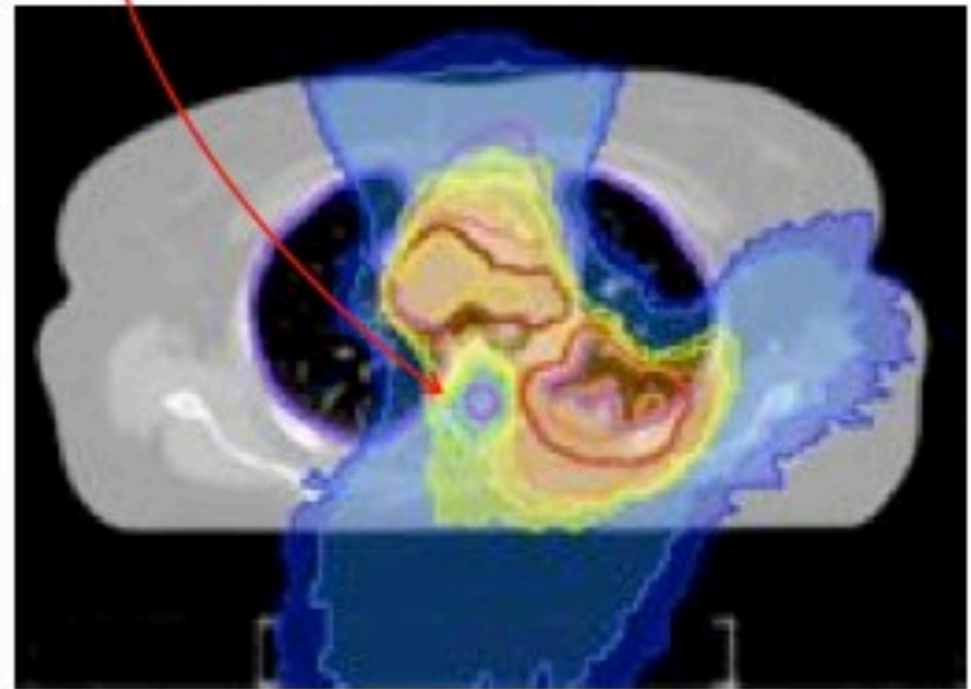
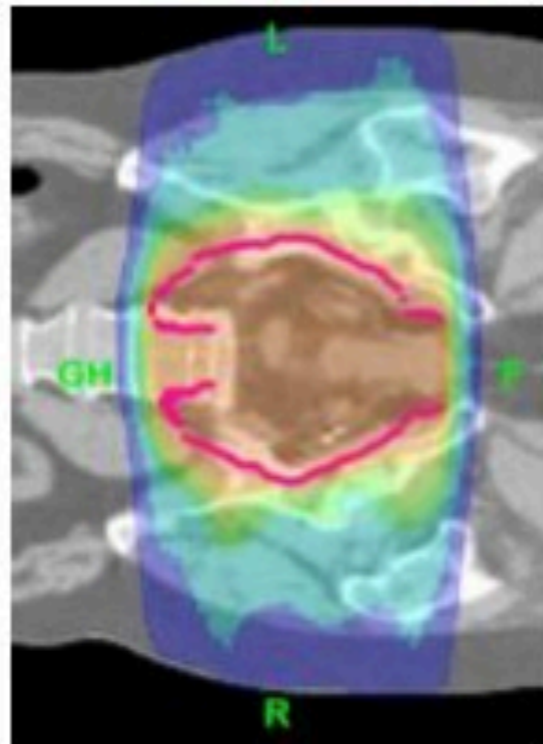
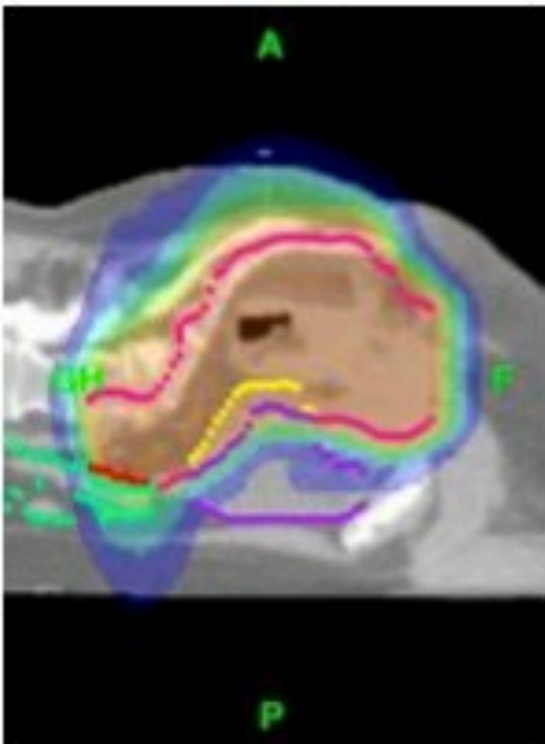
- A lot of movement.
- Tumor moves
- OARs move
- Leaves move (DMLC)
- Gantry moves (VMAT/Tomo)
- Table moves (Tomo/VMAT?)



All at different frequencies/speeds



Sharp  
gradients




*(NO IMRT WITHOUT IGRT)*

# The BIG question

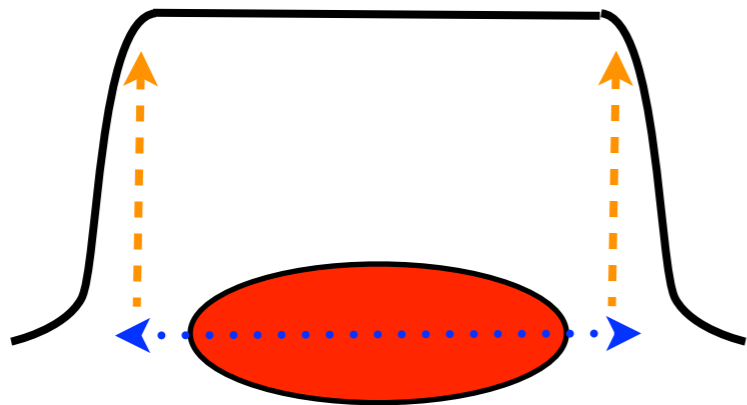
What is the effect of all of these geometric uncertainties on the IMRT dose distribution?

# The answer

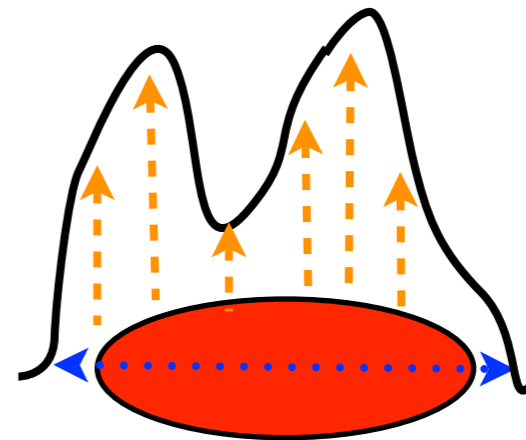
- 42
  - Interfraction movement
  - Intrafraction movement
  - Deformation
  - Robust Planning
- 

# PTV Margin?

Conventional



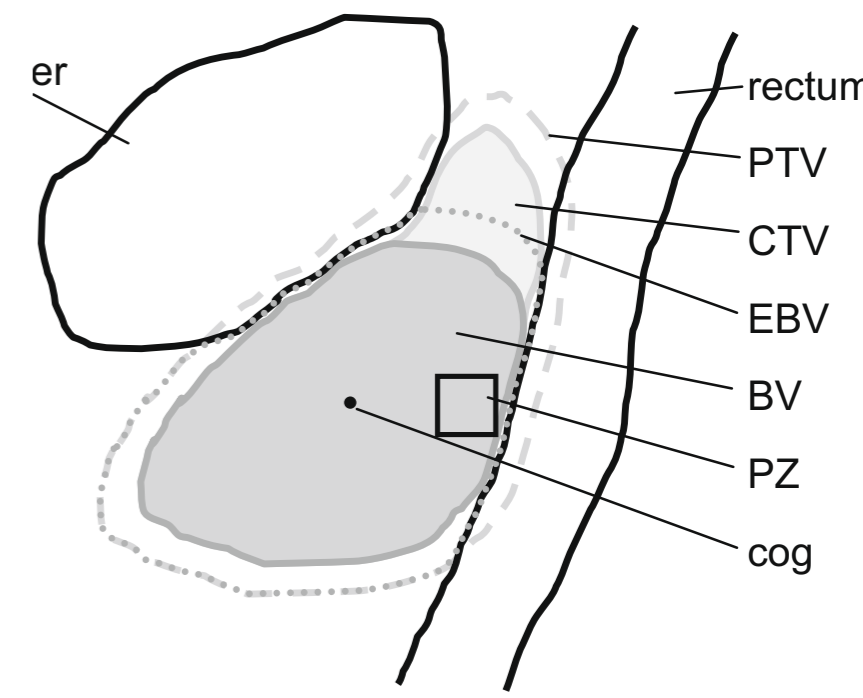
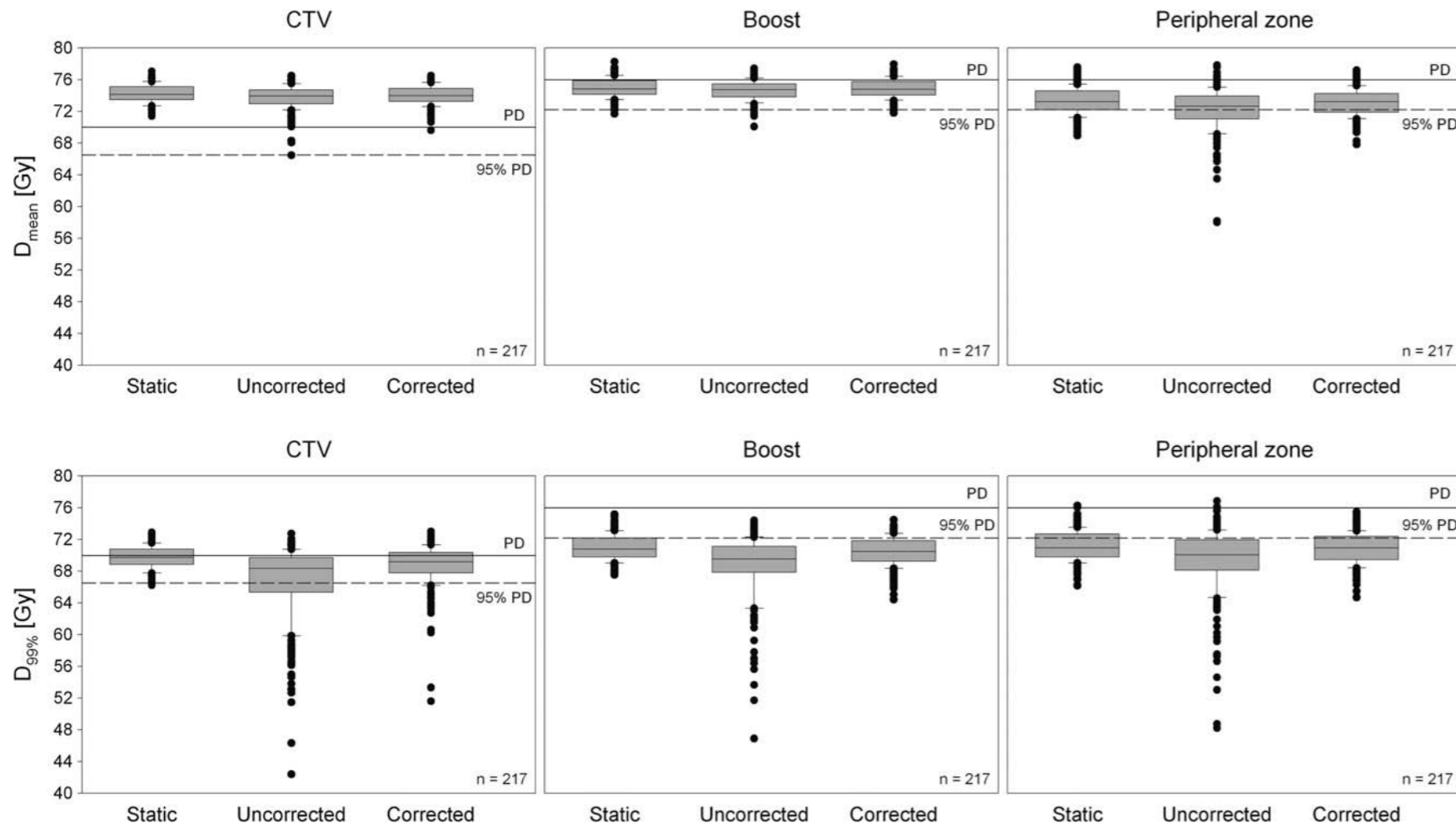
IMRT



# Interfraction Movement/setup errors

- NOT deformations
- Different effect of random and systematic errors
- Systematic error : your gradient could end up somewhere else
- random : averaging effect

# Prostate



215 patients

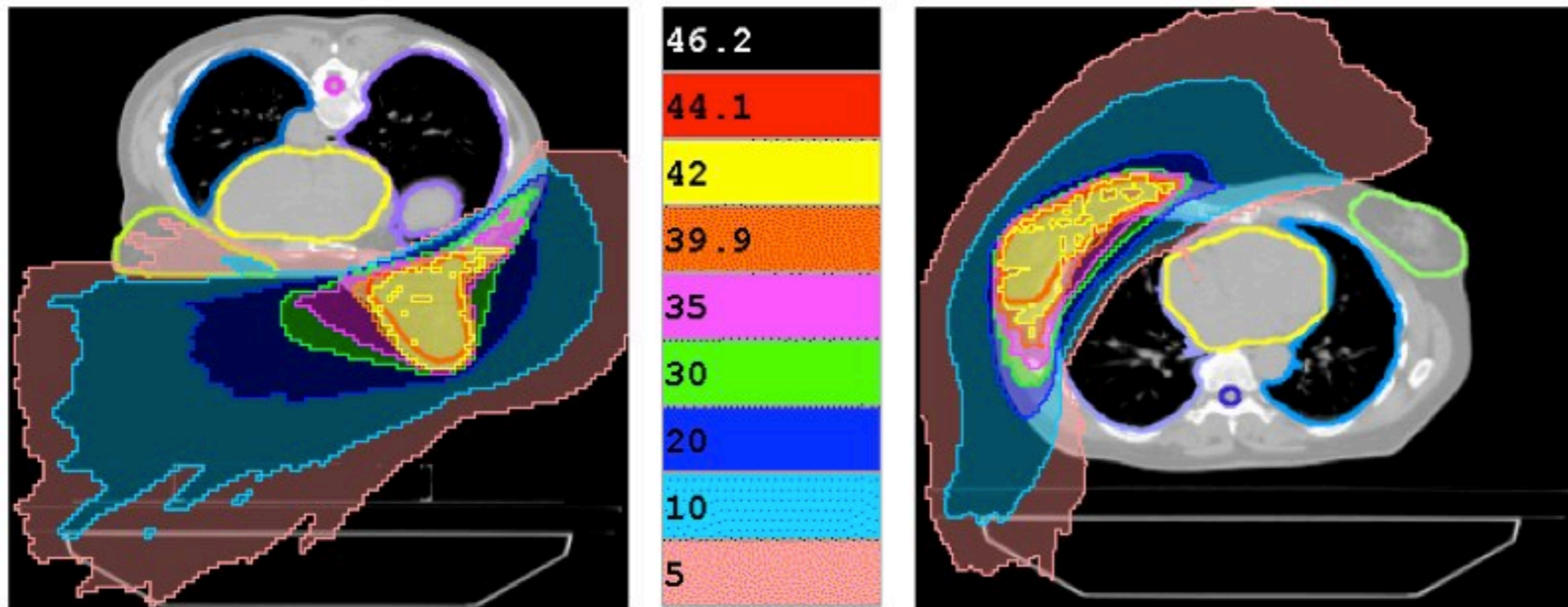
Changes can be accounted for by correction (IGRT) protocols or sufficient margins, when movement is limited <10mm

# Prostate

- “homogeneous region”
- Isodoselines are quite robust if the anatomy will not change.
- Watch out for systematic errors

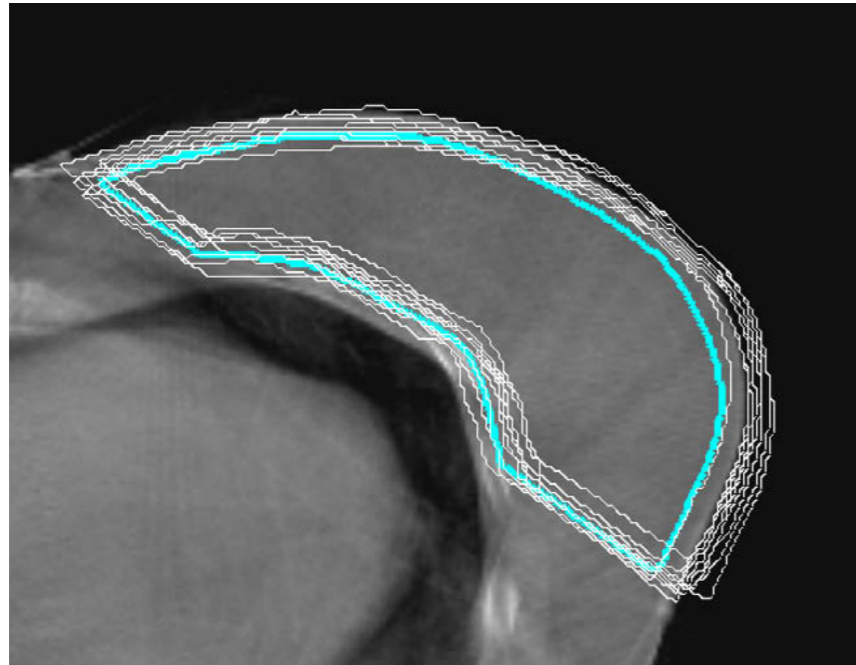


# Breast IMRT

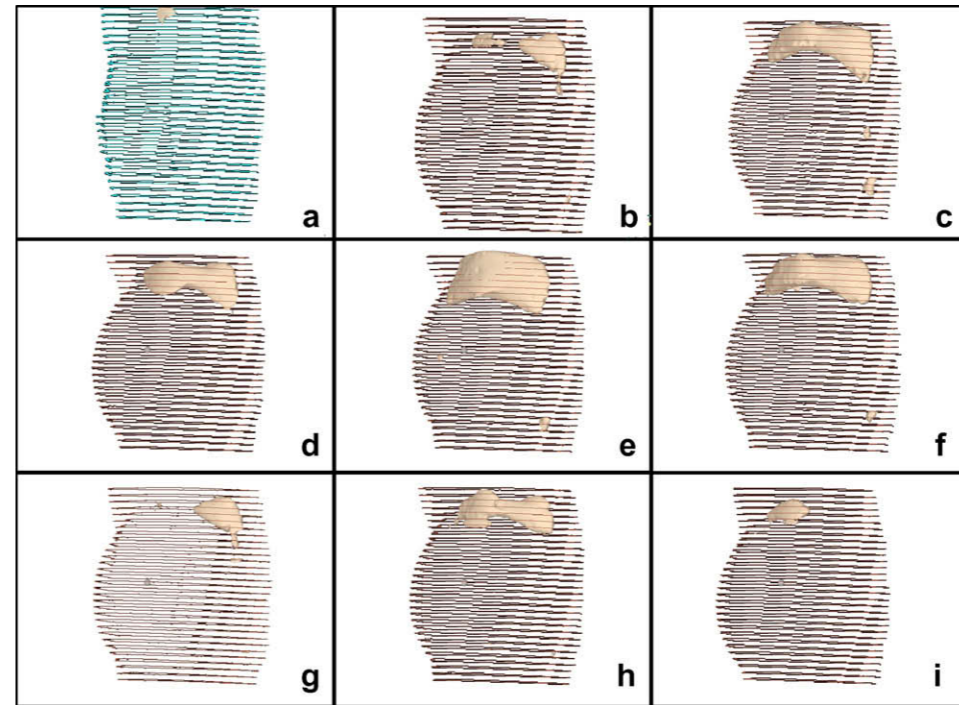


- Improves homogeneity
- You have to be sure that the extra few segments end up at the right place
- Susceptibility to movement?

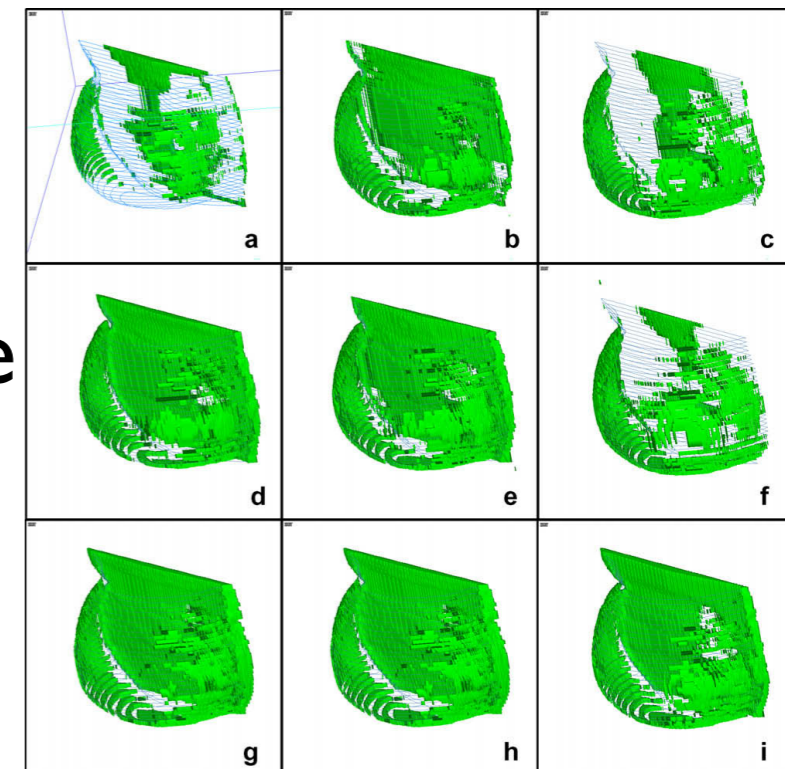
# Breast IMRT



PTV position



High dose



Low Dose

Impact of daily PTV variations upon IMRT and conventional RT plans.

Isodose level	IMRT plan		Standard tangential RT plan	
	Mean planned volume (% PTV)	Mean delivered volume (% PTV)	Mean planned volume (% PTV)	Mean delivered volume (% PTV)
>107%	0.1	0.3 ± 0.6	4.0	4.4 ± 1.9
>105%	0.5	1.8 ± 2.0	15.7	15.6 ± 3.7
>95% <105%	96.7	89.5 ± 5.1	82.5	79.3 ± 5.1
<95%	2.7	8.6 ± 4.0	1.8	5.1 ± 2.6
<90%	0.3	2.7 ± 2.9	0.2	1.9 ± 2.0

# Breast IMRT

Technique	$D_{mean,r}$ , mean (SD), Gy	$p$	$V_{95,r}$ , mean (SD), %	$p$	$V_{107,r}$ , mean (SD), %	$p$
Wedge	-0.2 ( $\pm$ 0.1)	<b>&lt;0.01</b>	-0.2 ( $\pm$ 0.2)	<b>&lt;0.01</b>	-1.4 ( $\pm$ 0.9)	<b>&lt;0.01</b>
FIF	-0.2 ( $\pm$ 0.2)	<b>&lt;0.01</b>	+0.1 ( $\pm$ 0.7)	0.74	0.0 ( $\pm$ 0.4)	0.69
Hybrid IMRT	-0.5 ( $\pm$ 0.3)	<b>&lt;0.01</b>	<b>-2.5 (<math>\pm</math> 3.7)</b>	<b>&lt;0.01</b>	0.0 ( $\pm$ 0.1)	0.65
Full IMRT	-0.6 ( $\pm$ 0.3)	<b>&lt;0.01</b>	<b>-4.3 (<math>\pm</math> 5.1)</b>	<b>&lt;0.01</b>	-0.1 ( $\pm$ 0.2)	0.38

Nakamura et al., 2010

Modulation vs. robustness to dose?

- Less is more?
- Max 20% modulation seems to preserve robustness
- Cave : internal mammary nodes
  - Sharon et al (IJROBP) : 28% change in V45 for M.I.
- What with SIB treatments?

# Interfractional movement

- As long as we are working in homogenous regions....
- or the modulation is not too large
- our concept of the robust dose cloud keeps up
- IGRT to the rescue

Intra-fraction movement

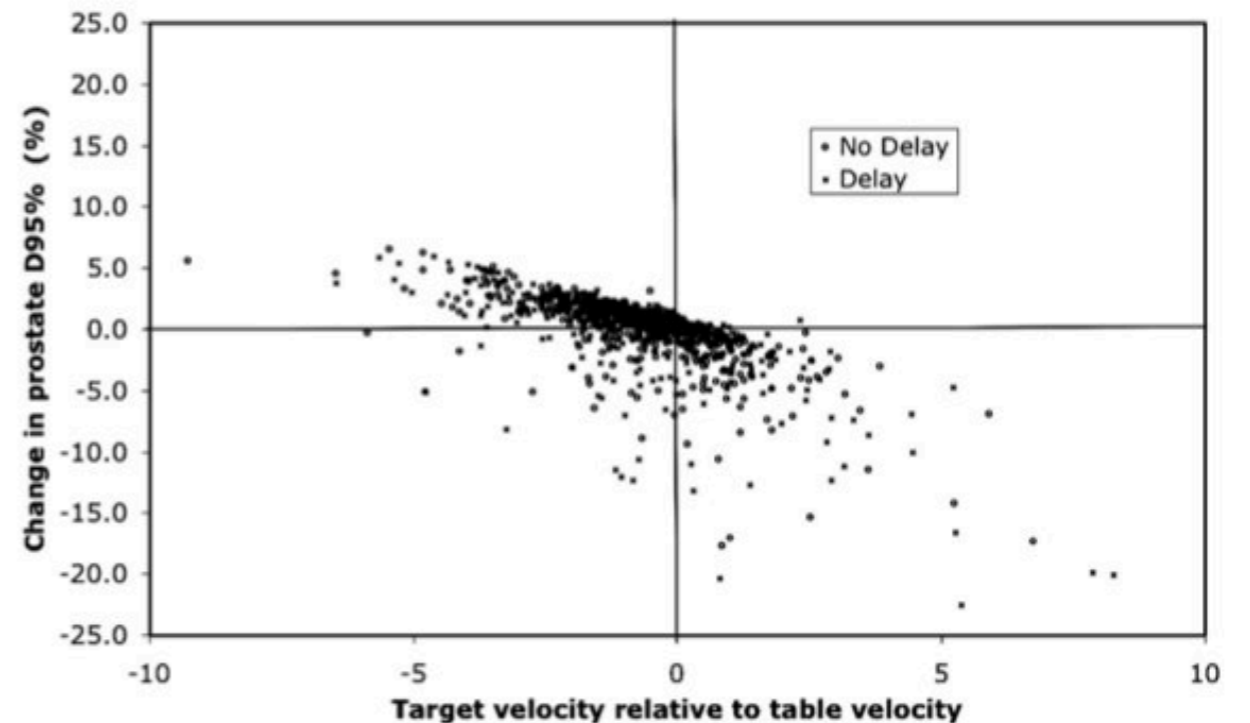
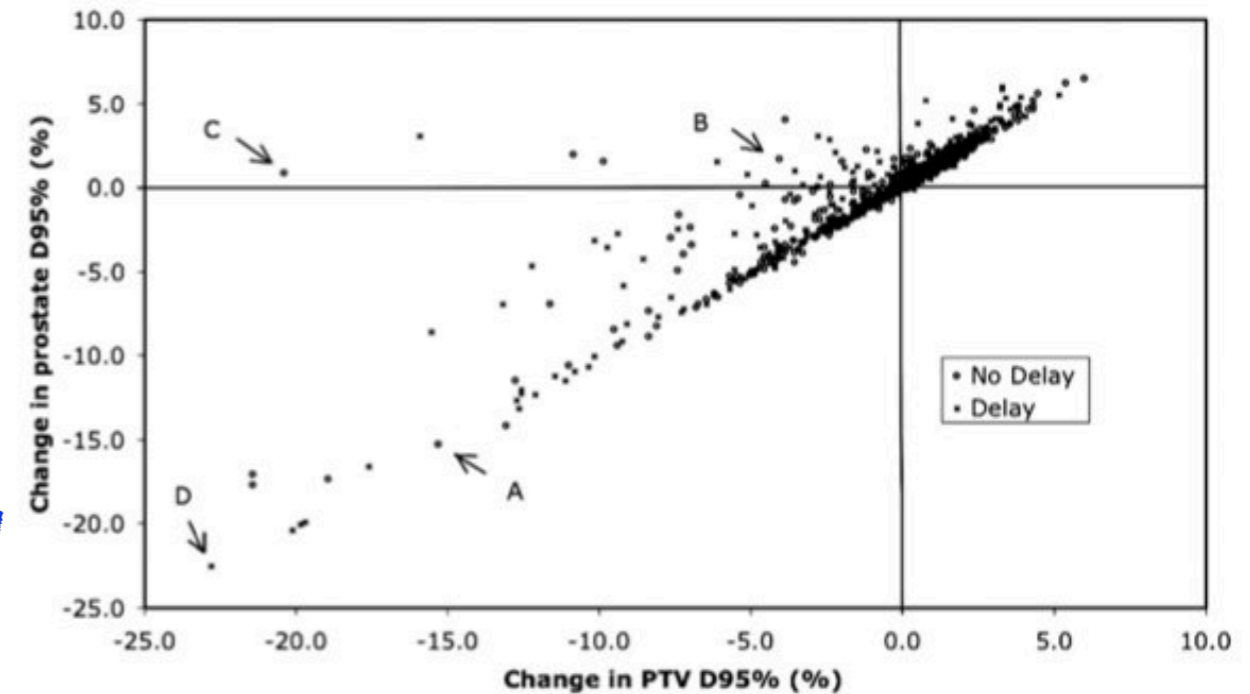
# Prostate (tomotherapy)

Effect not limited  
to the periphery

Margins???

Relative movement  
table vs. target

Correlation between amount of  
motion and effect not  
straightforward (dep. direction...)



“For prostate and HT, there CAN be a significant dosimetric effect in the cranio-caudal direction because table speed and tumor motion are of the same magnitude.” However...

#fx	Movement	Impact (dose)
1/3	<3mm	5%
2/3	<5mm	10%

Correction schemes not feasible! We don't know when (which fraction and timing in the fraction) to interrupt an correct

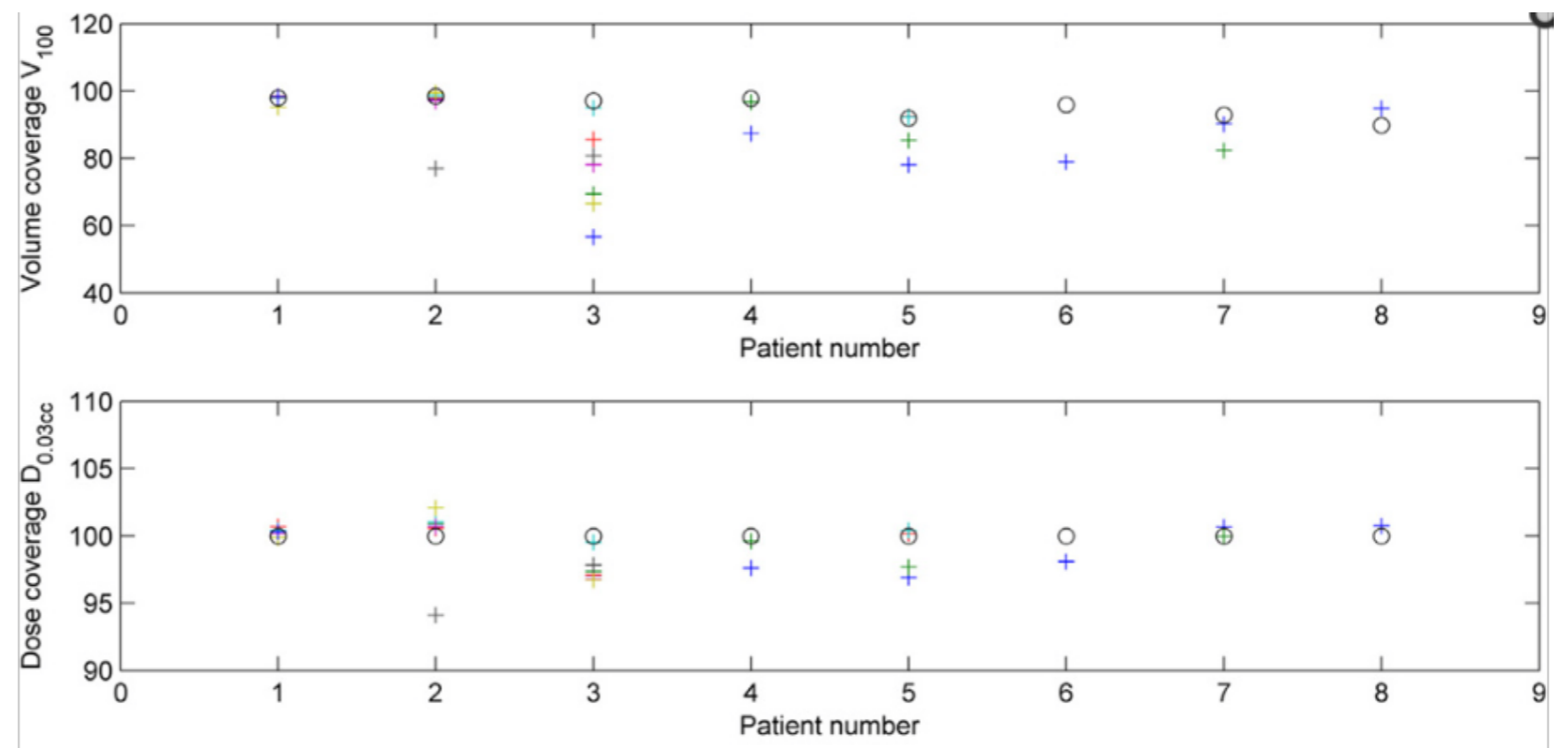
# Prostate (S&S)

- 486 fractions
- Mean deviation at  $D(95\%)_{CTV} = 0.2 \pm 0.5\%$  (ISD)
- Poor correlation between movement parameters and  $D(95\%)_{CTV}$
- No threshold possible

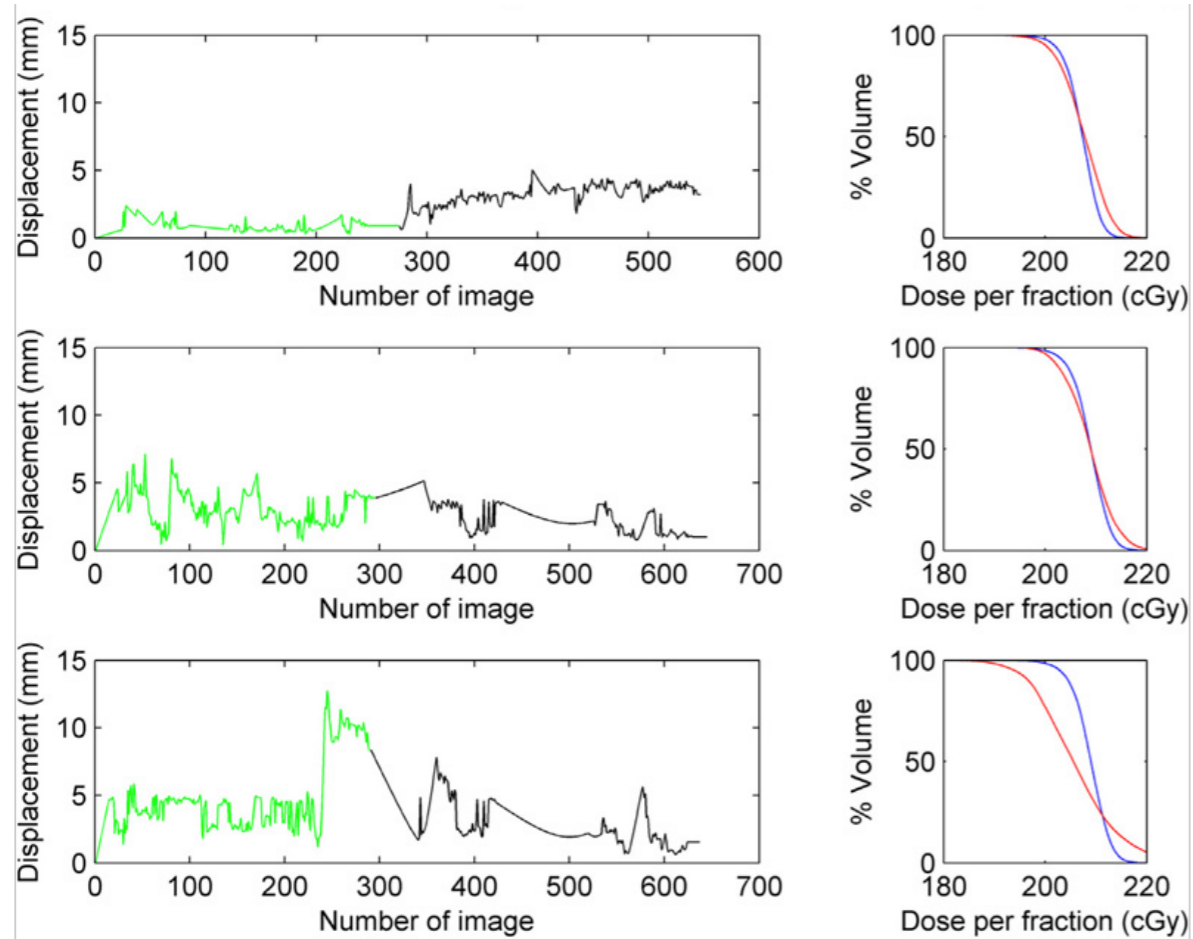


# Intrafraction movement VMAT - prostate

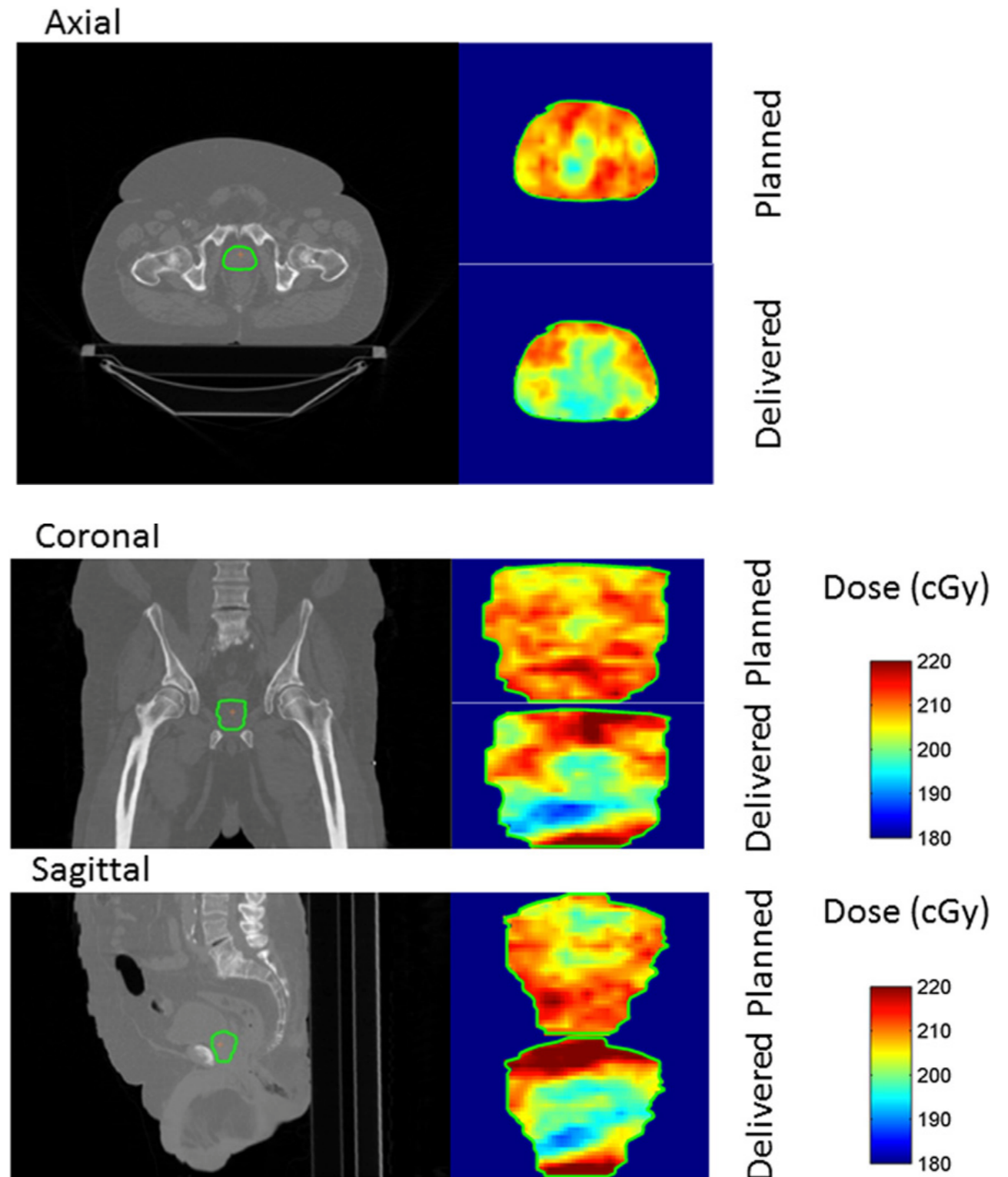
- Fiducial detection
- Linked to control points
- Recalculated using MC



# Intrafraction effect - VMAT prostate



- Possible large effects in single fractions
- Effect is reduced over 7-8 fractions
- Prostate tracking?



# Intrafraction movement VMAT - prostate

## DOSIMETRIC EFFECT OF INTRAFRACTION MOTION AND RESIDUAL SETUP ERROR FOR HYPOFRACTIONATED PROSTATE IMRT WITH CBCT IMAGE GUIDANCE

Justus Adamson, PhD,<sup>1,2,3</sup> Qiuwen Wu, PhD,<sup>1,3</sup> and Di Yan, Dsc.<sup>1</sup>

PDF constructed using pre-and post CBCT

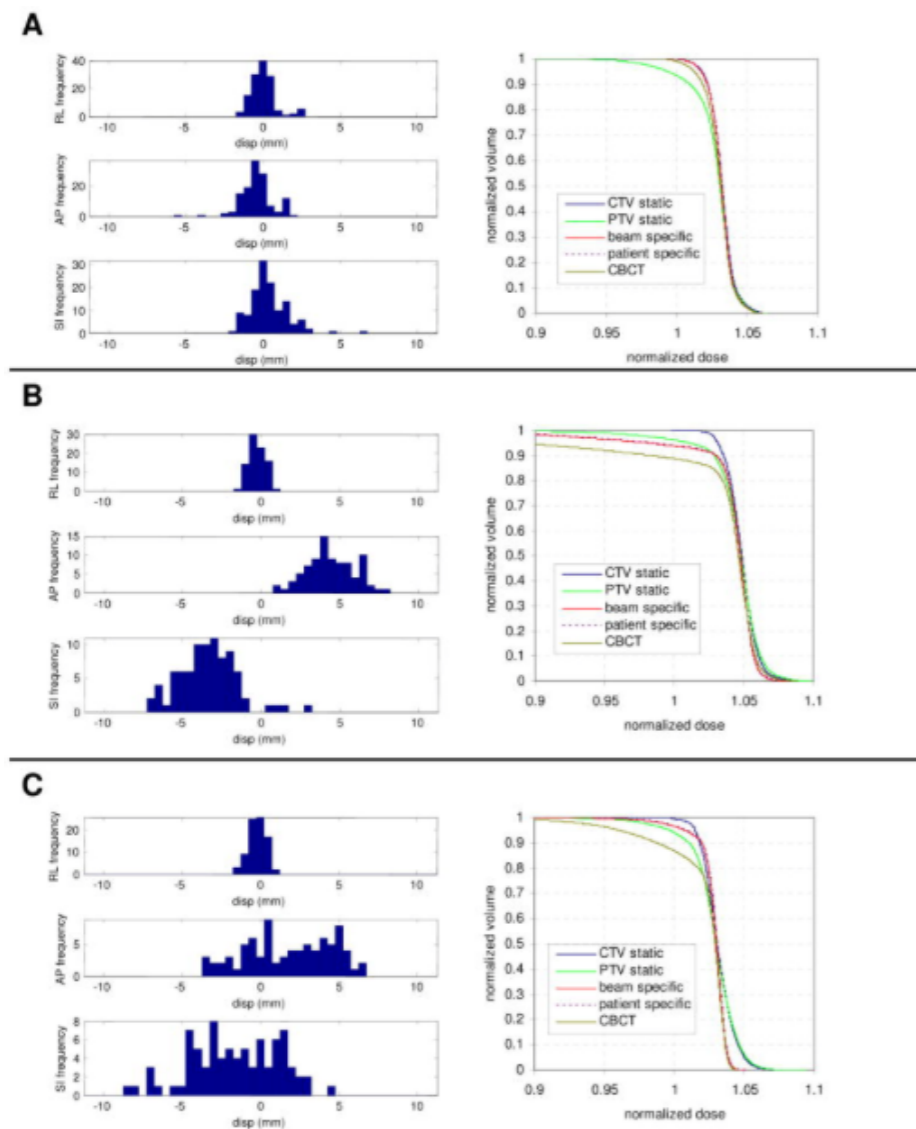
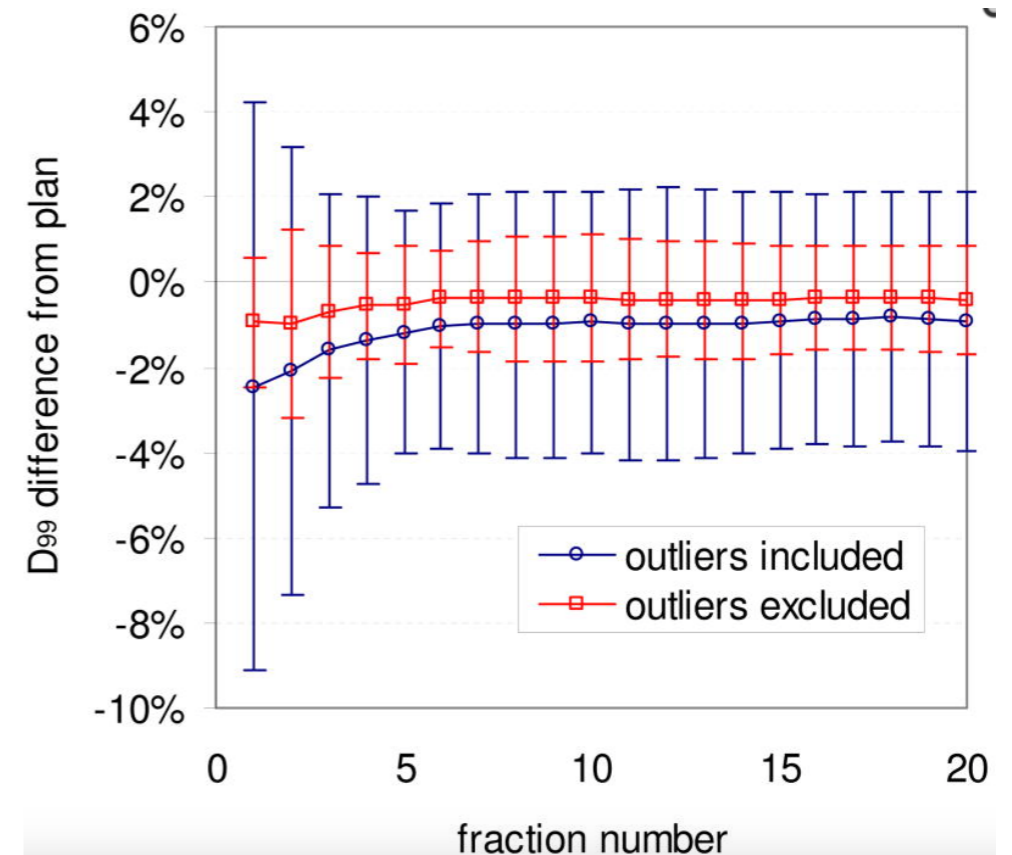


Figure 1

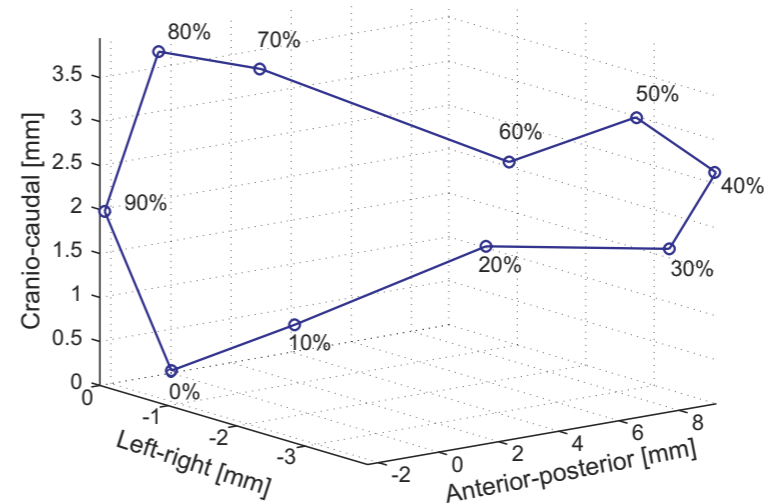
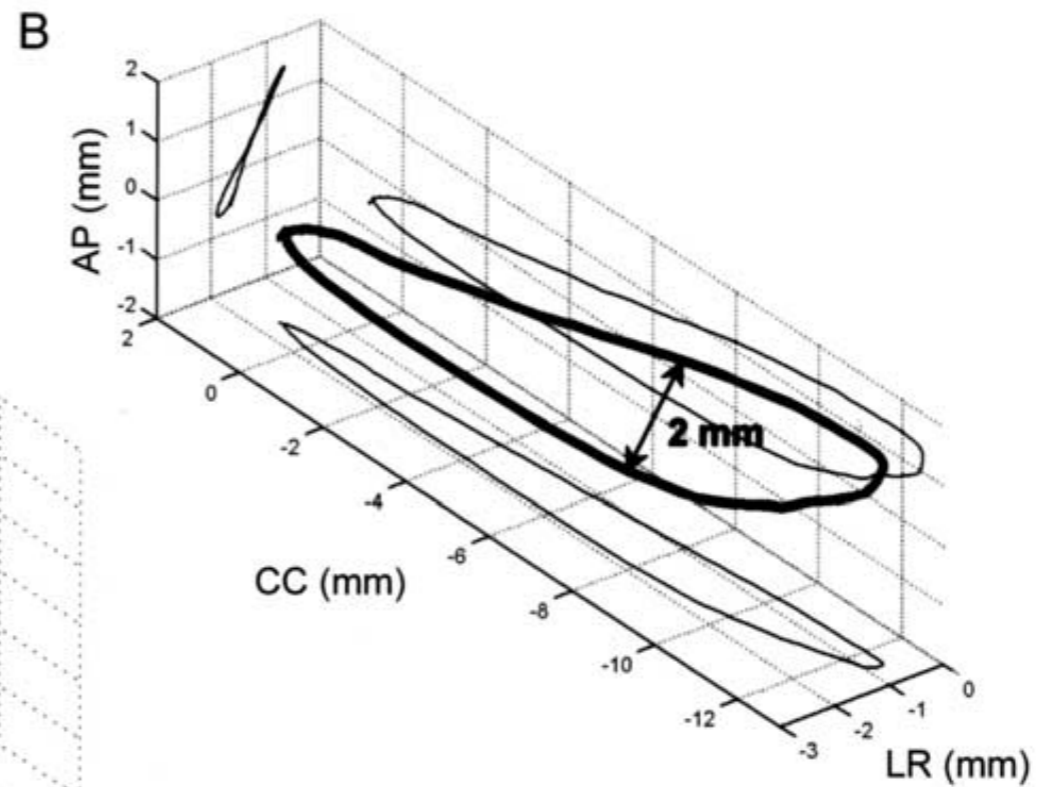
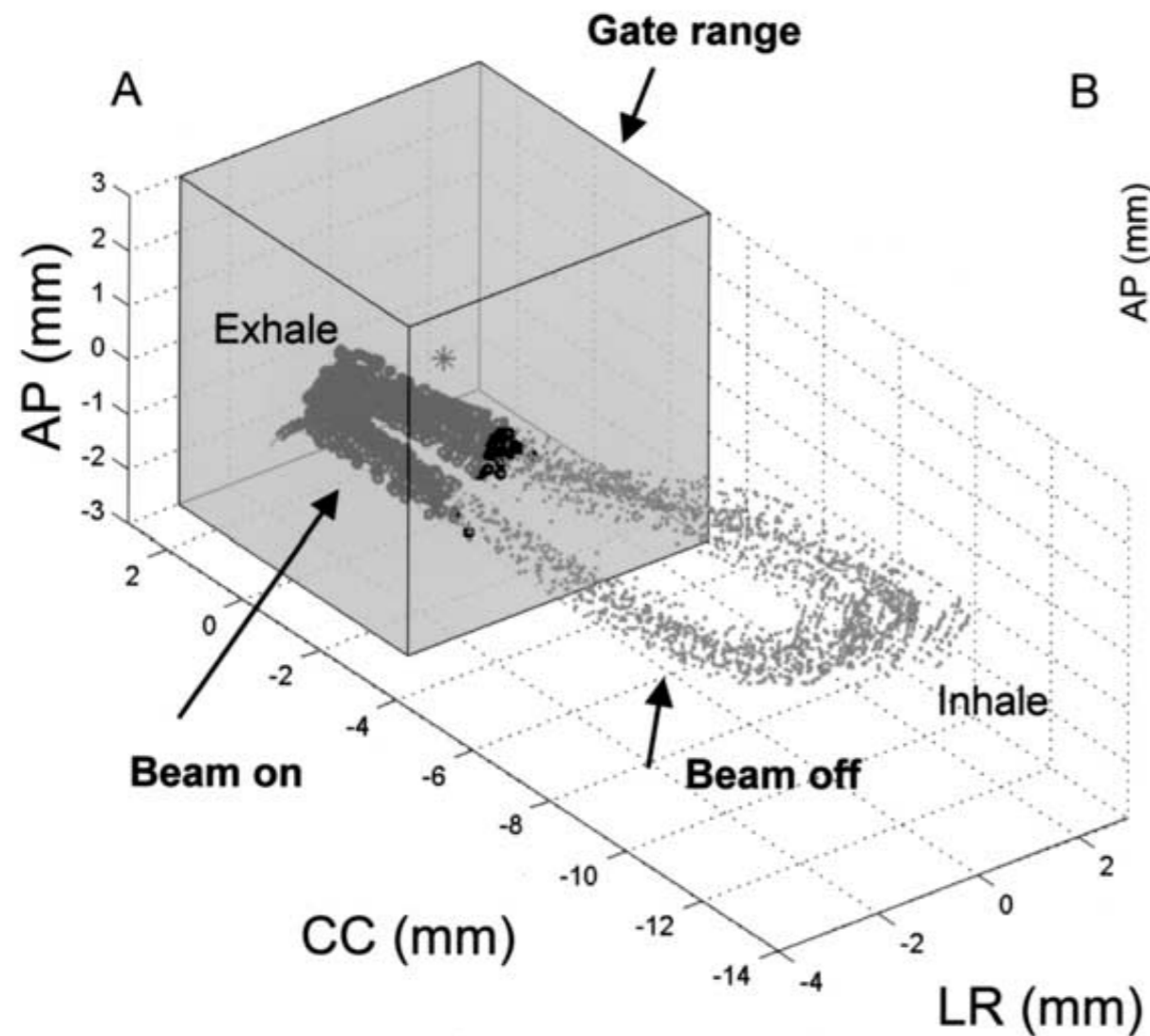
## Results



# Intrafraction movement

- Pretty good example : breathing motion
- (quasi)-periodic, around 8BPM
- not limited to craniocaudal axis, although a large CC-component is in there

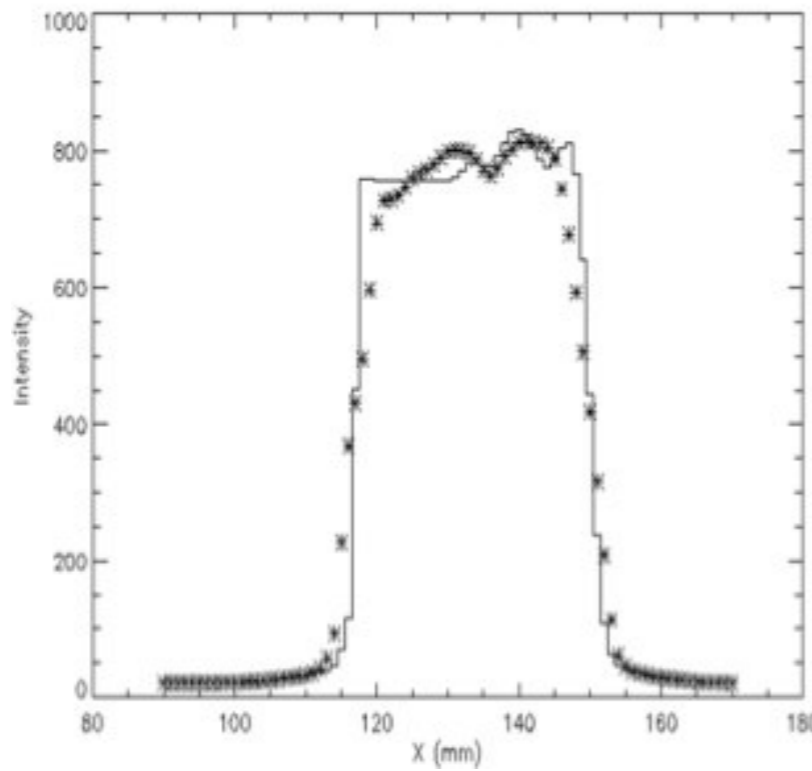
# movement in lung



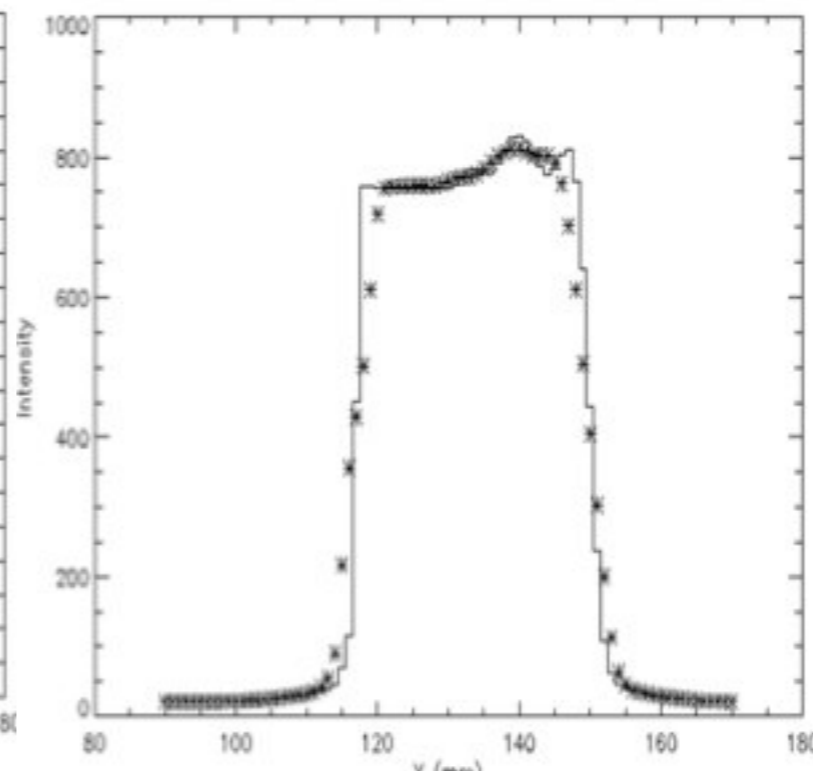
# Effect of delivery techniques

- Step and shoot
  - Leaves are not moving during irradiation
  - Smoothing, broadening of penumbra
  - Relatively small effect

1 fx



30fx

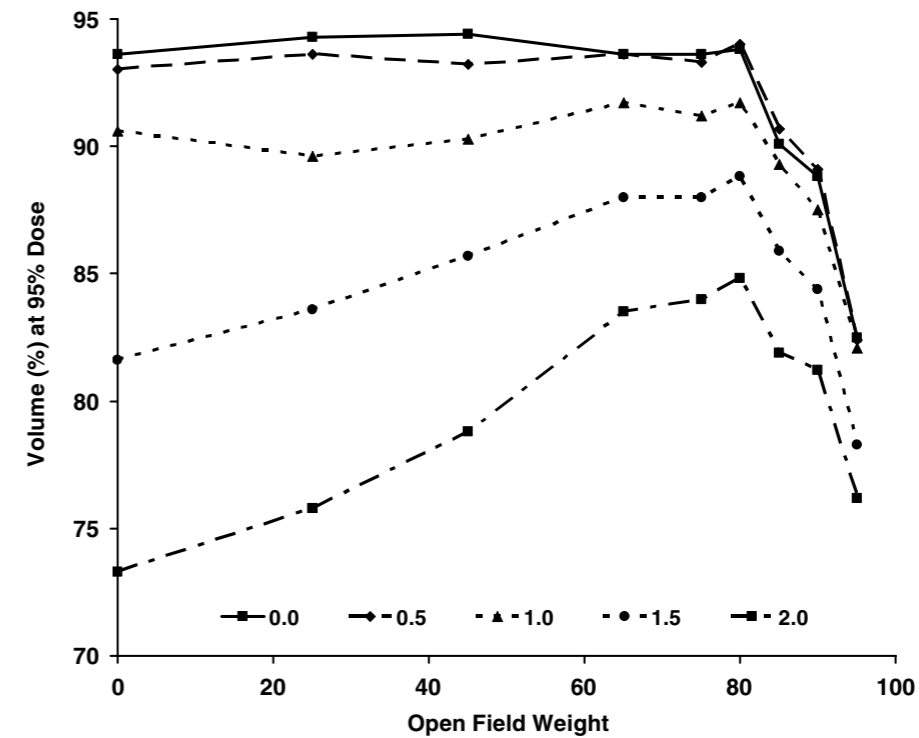
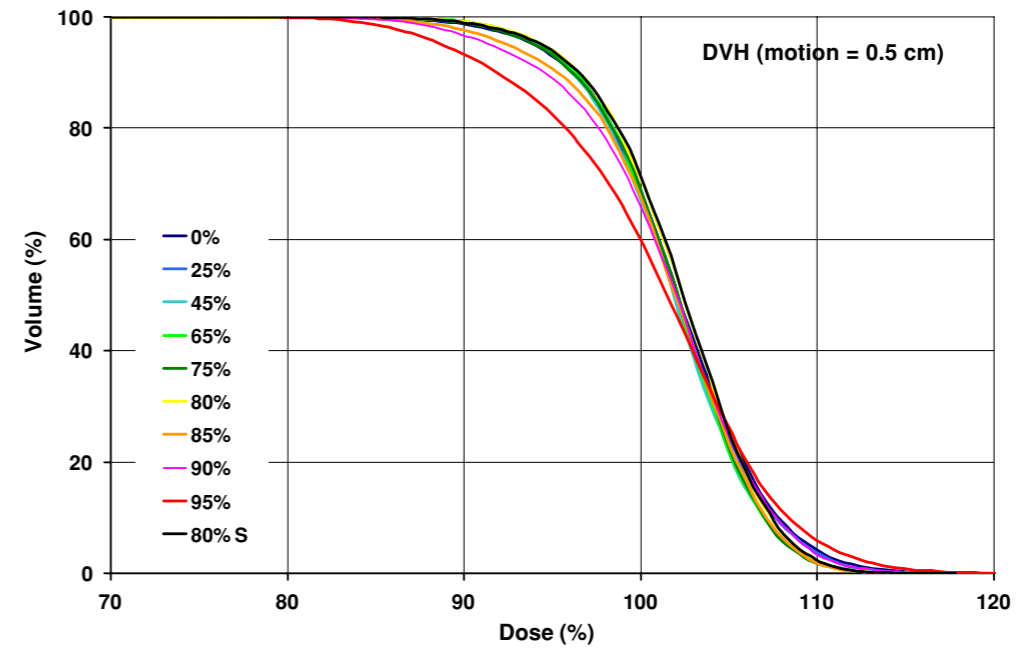
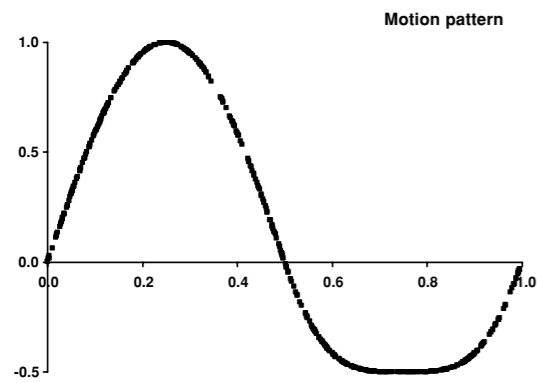


Chui et al , 2003

# Effect of delivery techniques

- Dynamic MLC
  - Leaves are moving during irradiation
  - Leafspeed and tumor speed is in the same range
  - Interplay effects
  - Depending on relative direction of movement

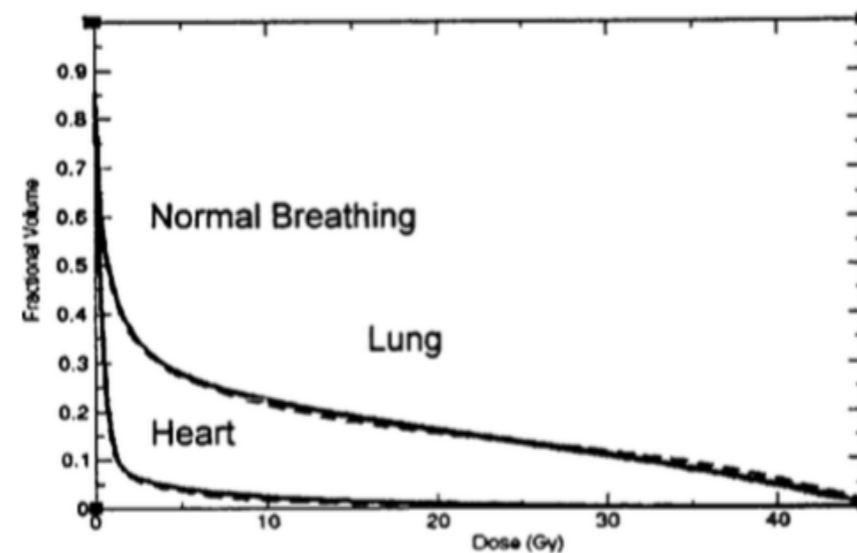
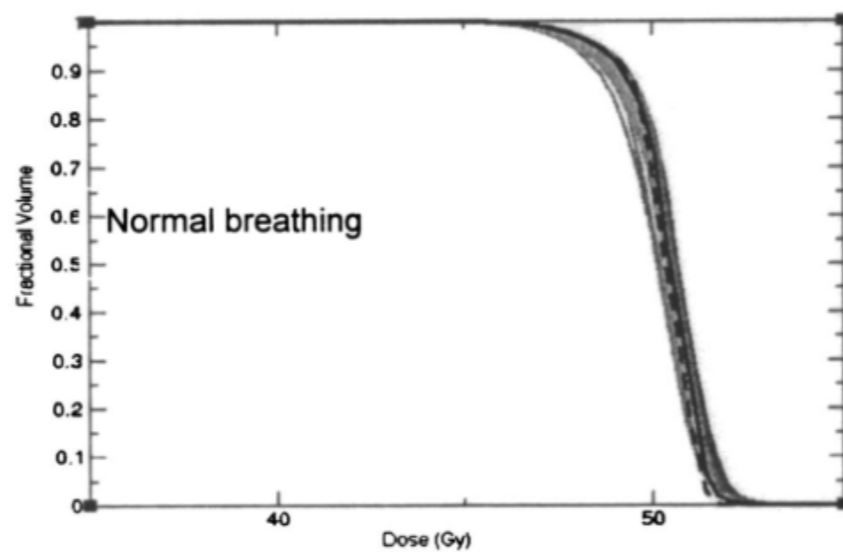
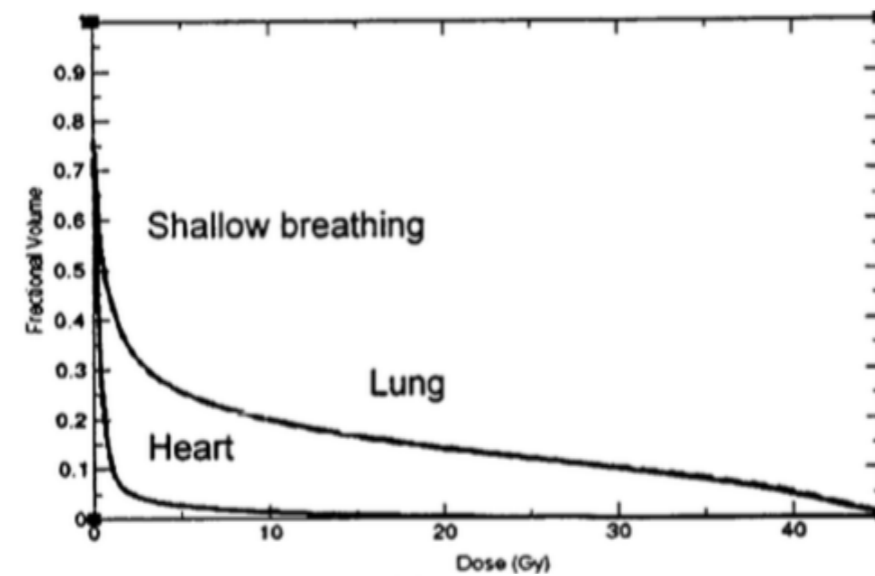
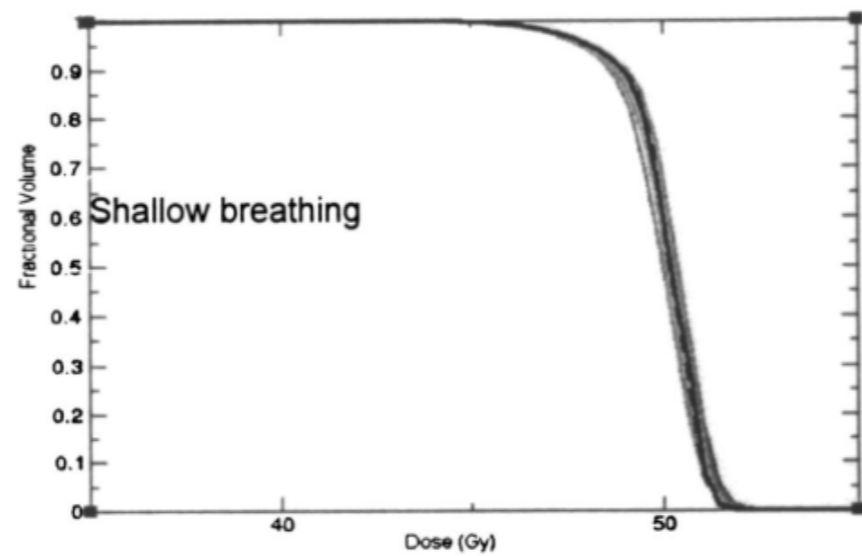
# Respiratory Movement in breast IMRT



- 7 segment DAO
- Effect of open field weight
- Breath-hold, breathing limiting devices, prone...

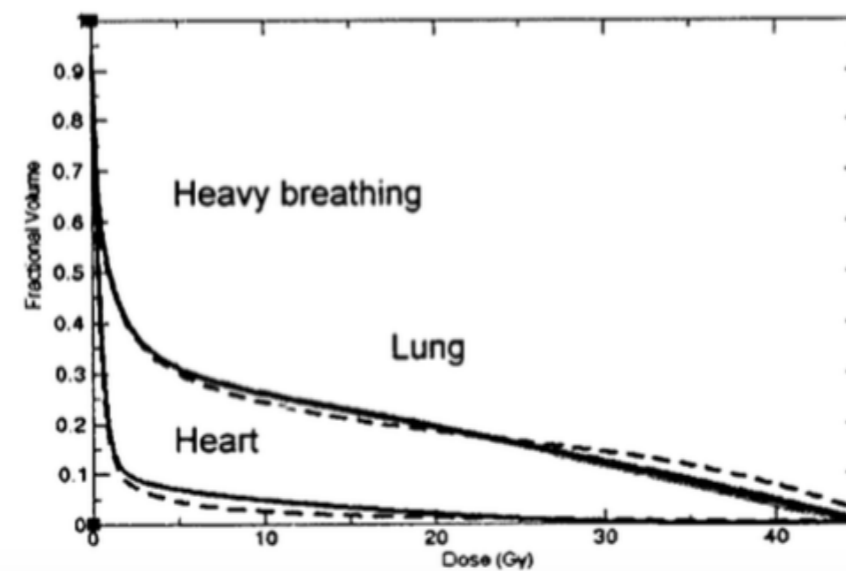
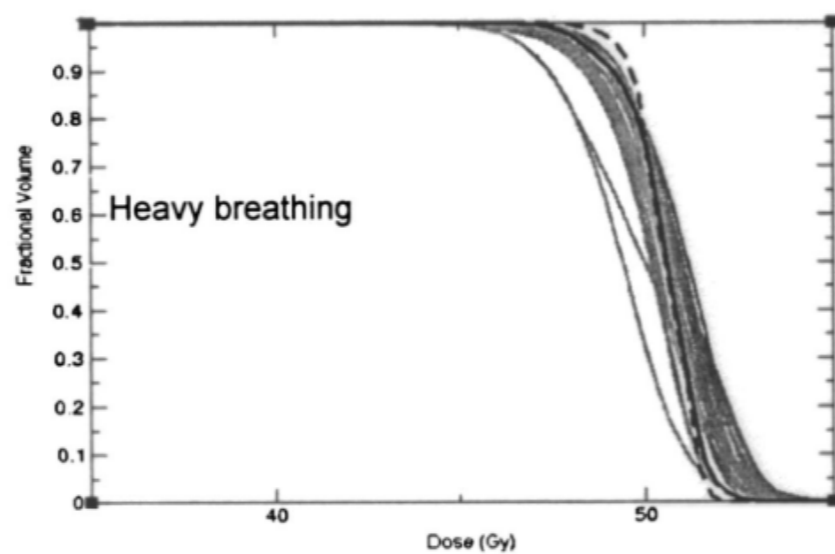


# Breast DMLC



(b)

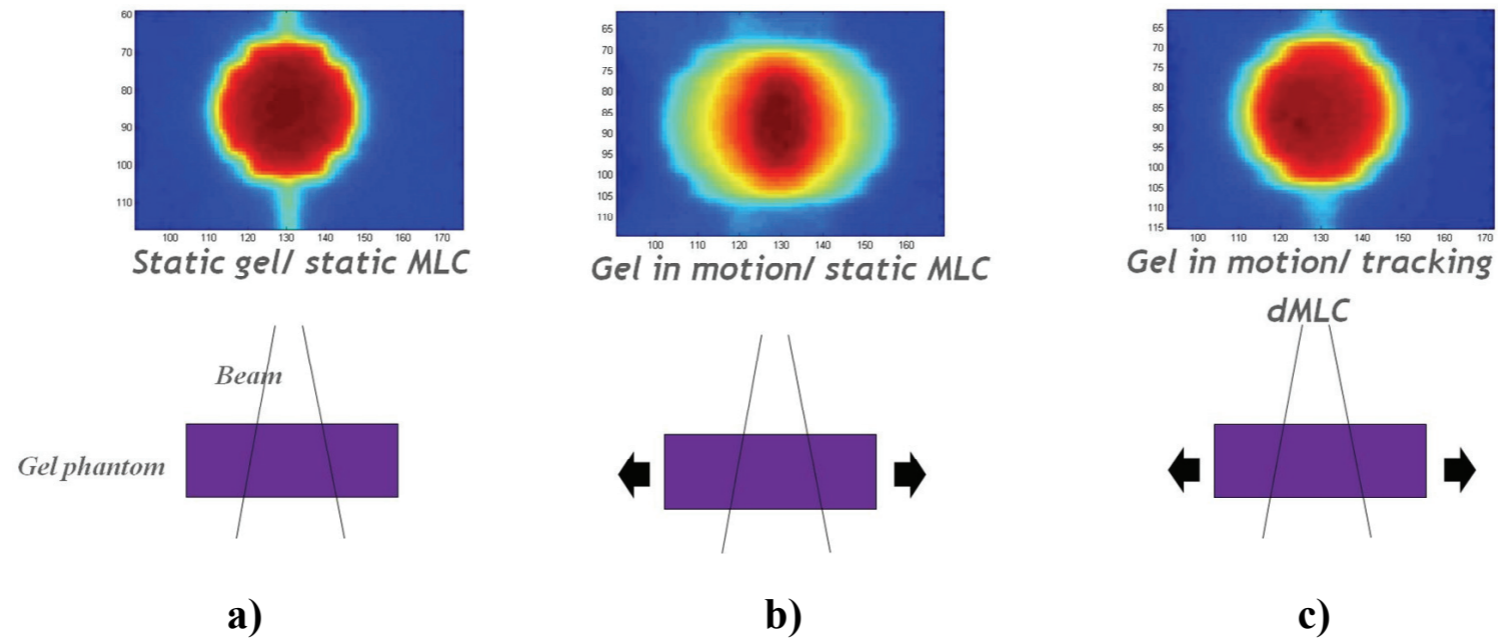
(b)



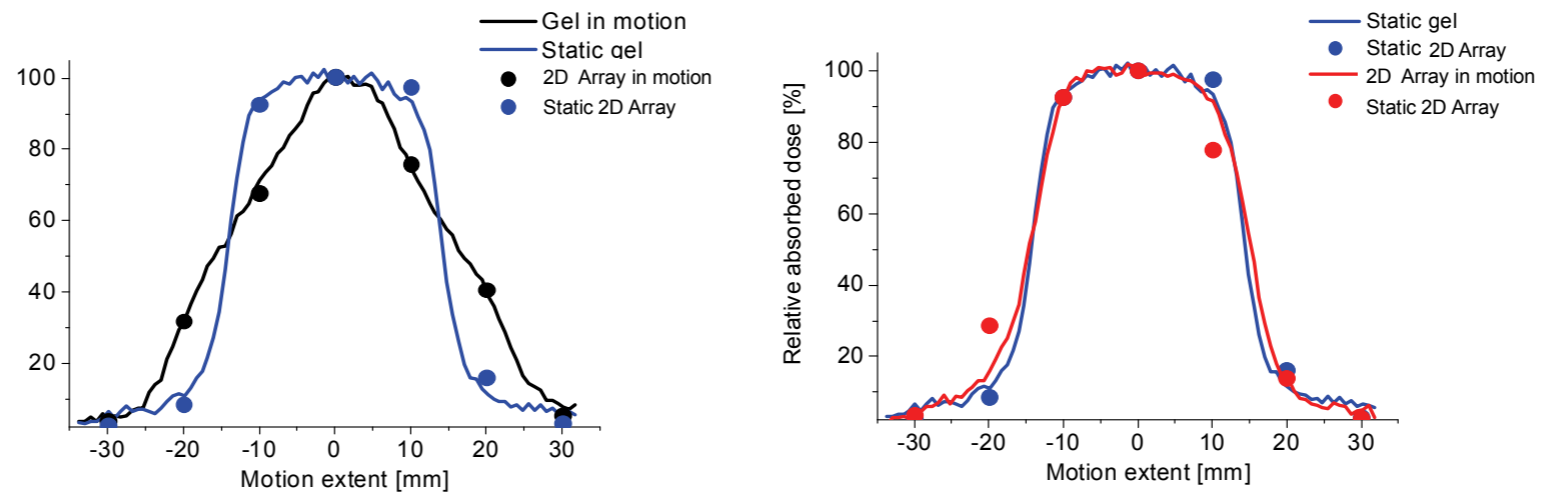
(c)

George et al.

# DMLC tracking

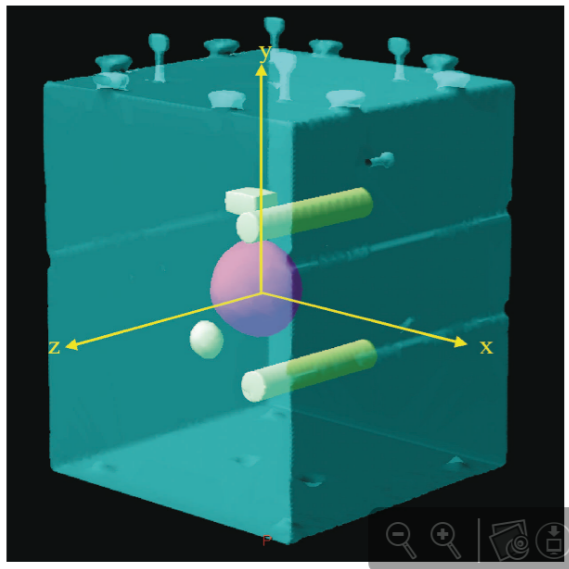


**Figure 16.** Dose distributions measured using gel dosimetry with and without the DMLC-tumour tracking connected.



# DMLC and breathing motion

Duan et al, med phys 2006



- DMLC on phantom
- 5, 7, 9 and 10 field-plan
- Measured over different
- with and without breathing

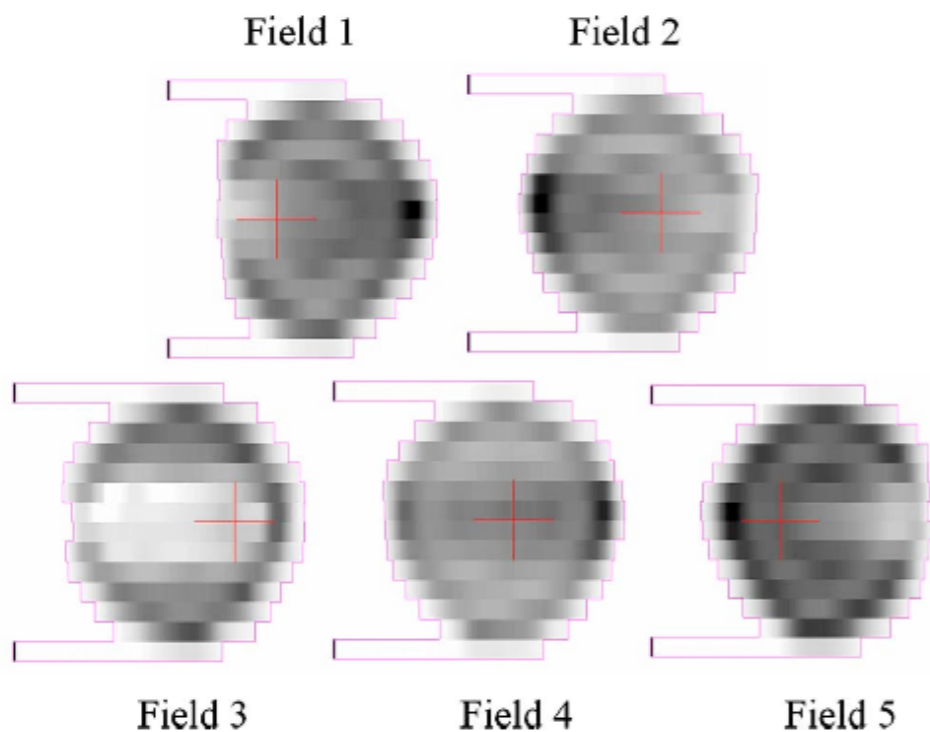
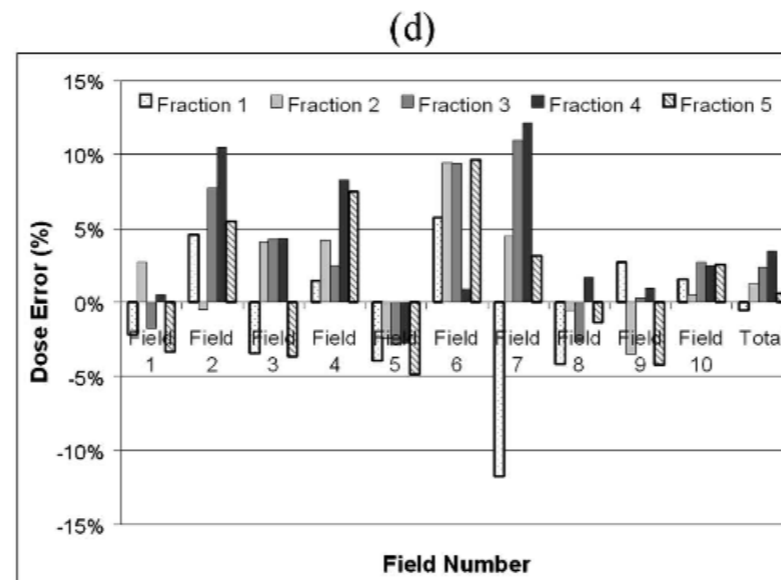
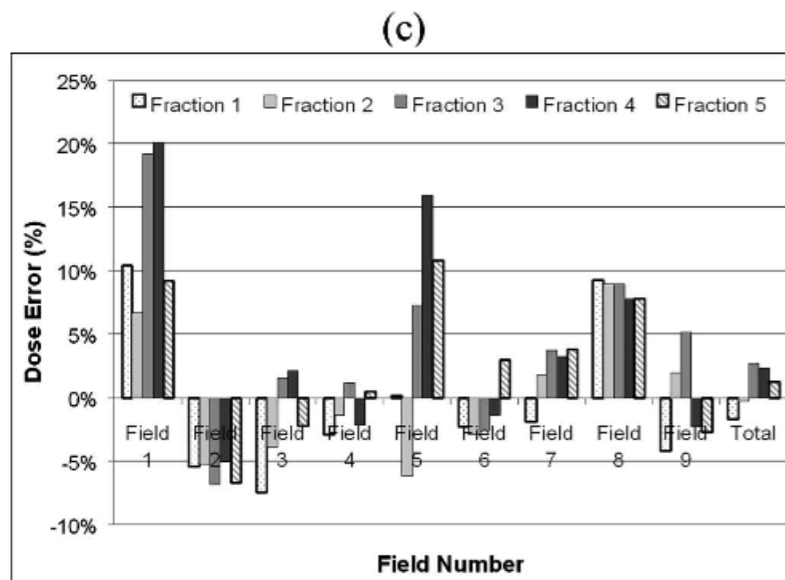
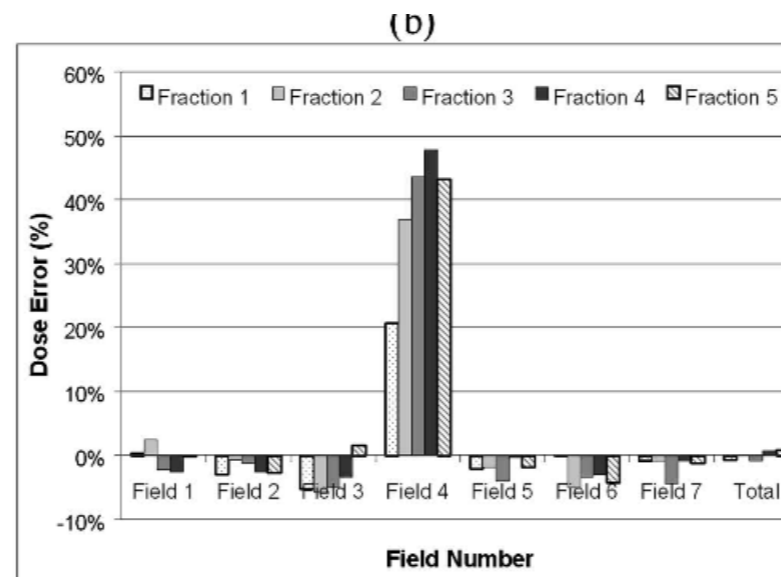
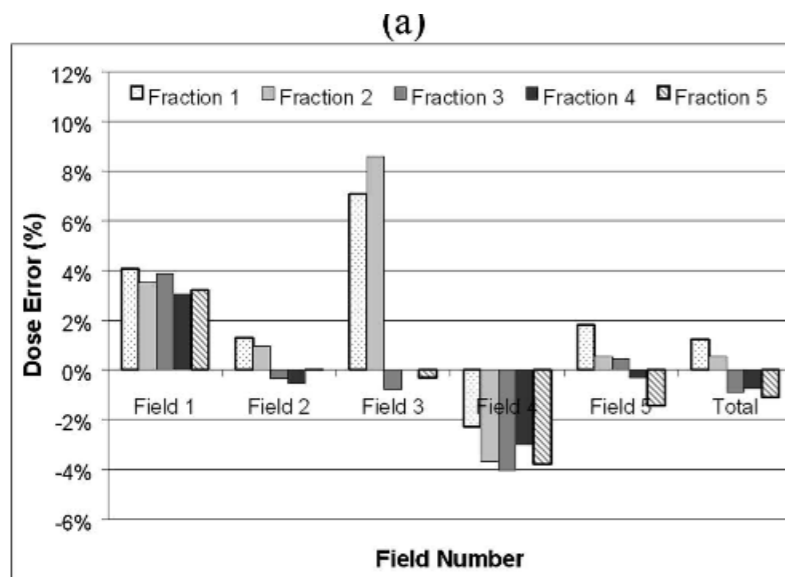
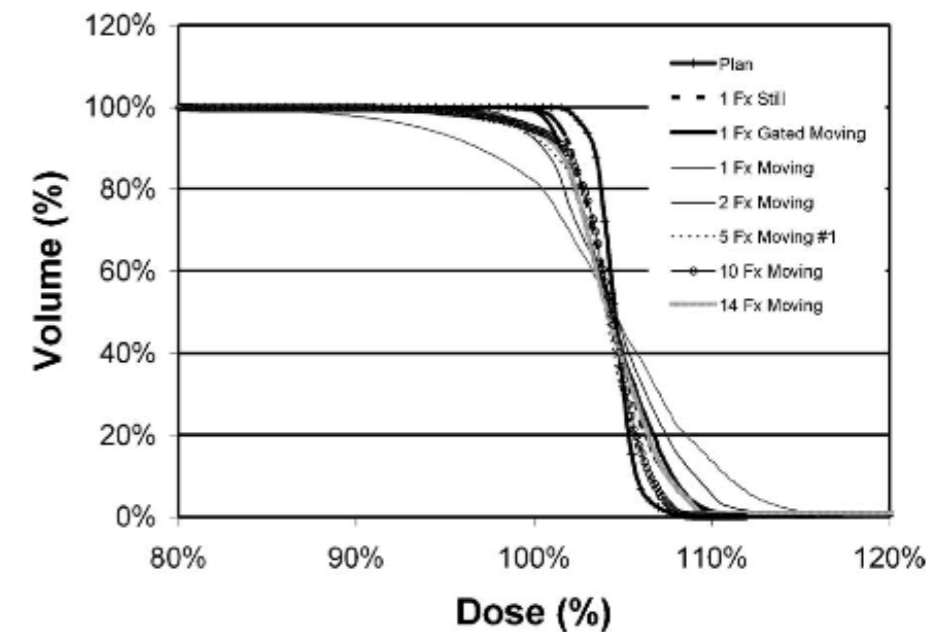


FIG. 2. Fluence maps for the five-field plan.

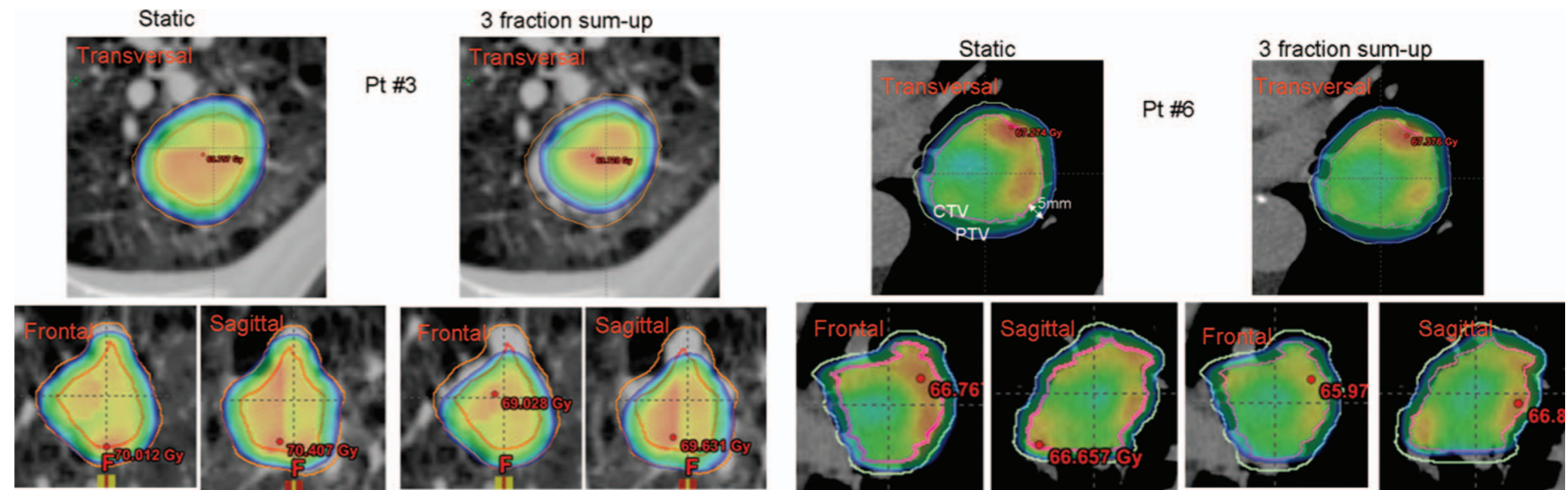
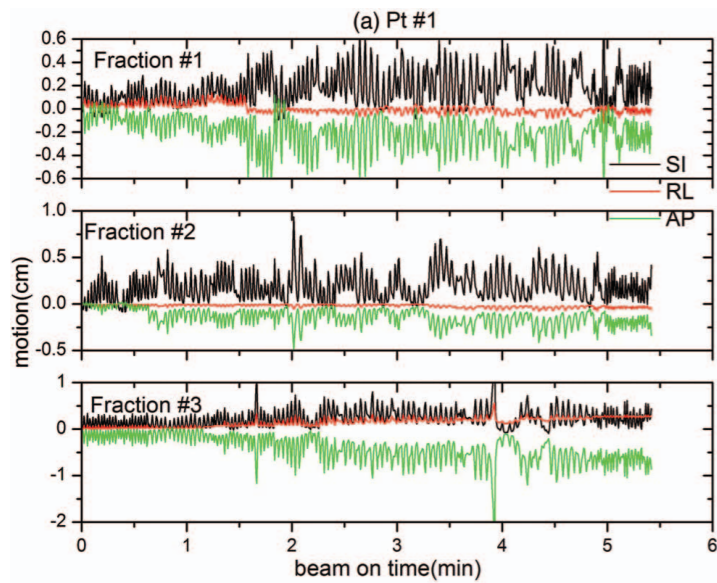
# DMLC and breathing



Field number	Treatment plan			
	Five fields	Seven fields	Nine fields	Ten fields
All	-0.2%	-0.1%	0.9%	1.4%
1	3.5%	-0.5%	13.1%	-0.8%
2	0.3%	-2.1%	-5.9%	5.6%
3	2.9%	-3.6%	-2.0%	1.1%
4	-3.4%	38.4%	-1.0%	4.8%
5	0.2%	-2.1%	5.6%	-3.4%
6		-3.2%	-1.2%	7.0%
7		-1.7%	2.1%	3.8%
8			8.5%	-1.4%
9			-0.4%	-0.7%
10				2.0%

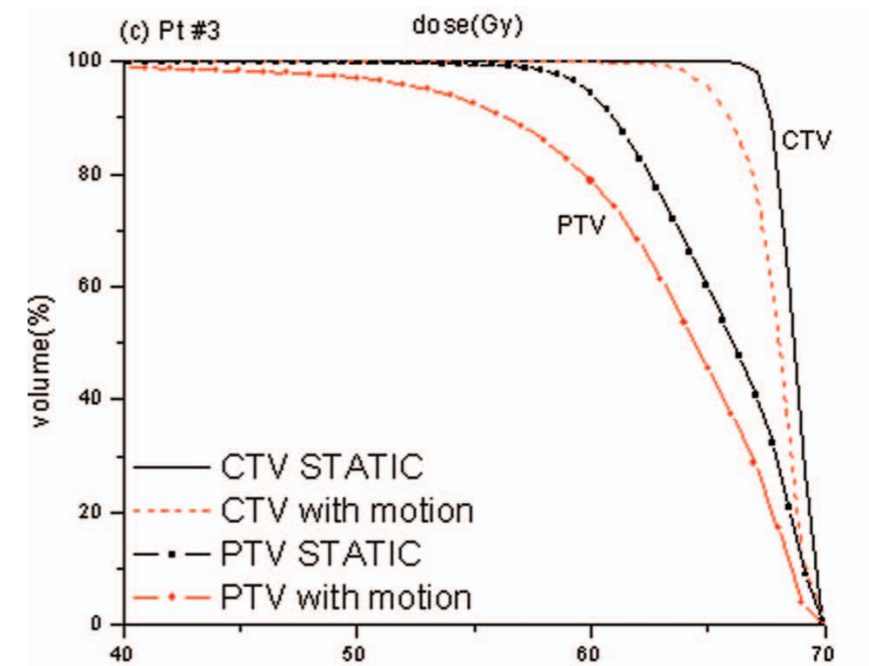


# DMLC Movement simulation

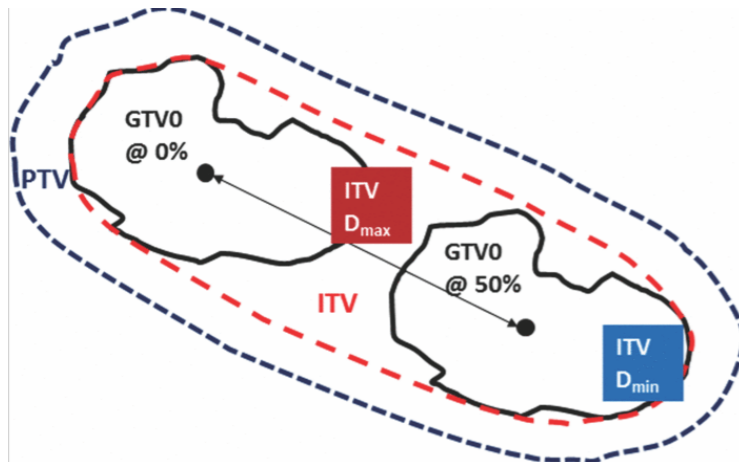


Movement tracks from  
Cyberknife

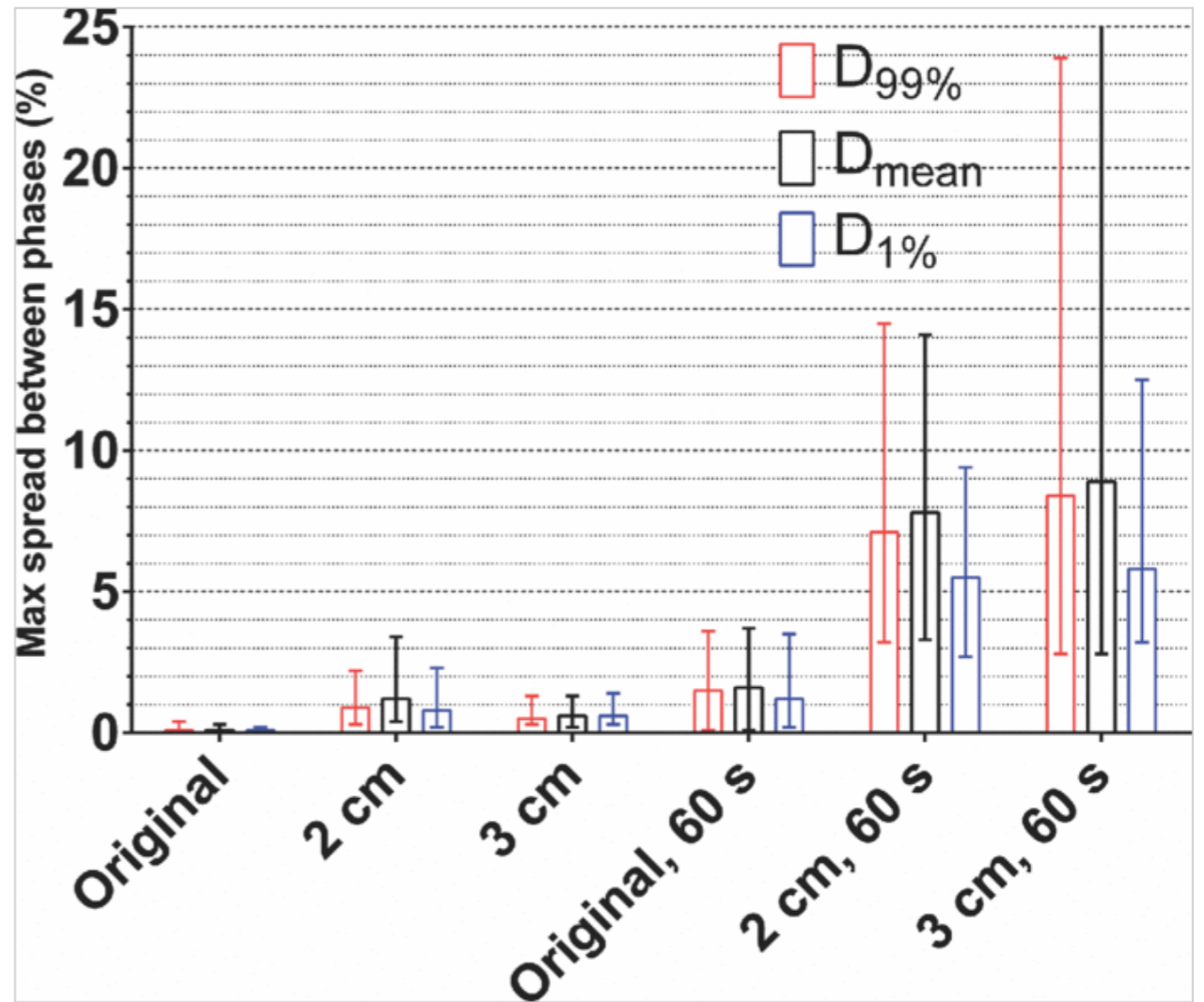
- Target motion converted into leaf motion using PDF from movement tracks
- Segment per segment modified
- Recalculation



# VMAT SBRT - interplay effect



- Motion kernels 4DCT
- Original and forced -unrealistic- interplay
- eliminated gradient effects
- Diminished effect for large # fractions



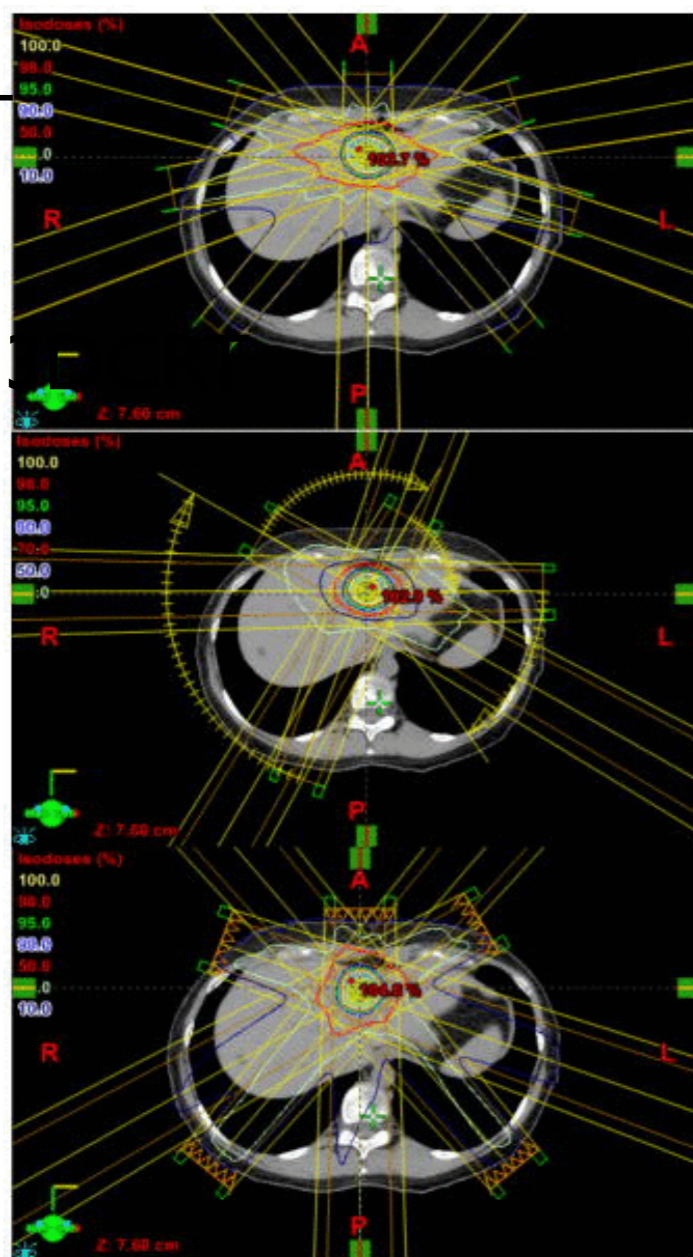
# The impact of respiratory motion and treatment technique on stereotactic body radiation therapy for liver cancer

Wu et al Med. Phys. **35**, 1440 (2008)

3DCRT

DArc

VMAT



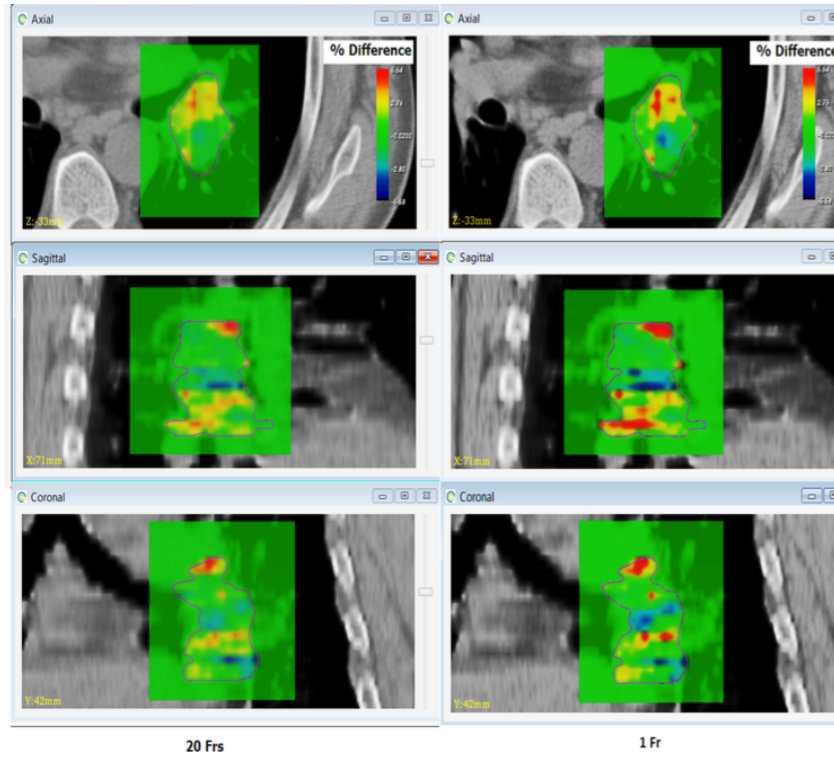
Case No.	Technique	PTV					CTV				
		$\Delta D99$	$\Delta D95$	$\Delta D90$	$\Delta Dmax$	$\Delta Mean$	$\Delta D99$	$\Delta D95$	$\Delta D90$	$\Delta Dmax$	$\Delta Mean$
1	3DCRT	-3.5	-1.5	-1.4	-0.4	-0.6	-0.9	-0.7	-0.4	-0.2	-0.3
	DARC	-6.3	-2.4	-1.4	-0.4	-0.6	-1.4	-0.7	-0.5	-0.2	-0.3
	IMRT	-10.0	-3.9	-3.0	-0.7	-1.3	-2.7	-1.7	-1.0	-0.2	-0.4
2	3DCRT	-0.3	-0.2	-0.1	0.0	-0.1	-0.2	0.0	-0.1	0.0	-0.1
	DARC	-0.5	-0.3	-0.2	-0.1	-0.1	-0.3	-0.1	-0.2	-0.1	-0.1
	IMRT	-2.4	-1.2	-0.9	-0.1	-0.3	-1.9	-0.9	-0.5	-0.1	-0.2
3	3DCRT	-1.3	-1.1	-0.8	-0.1	-0.3	-1.0	-0.7	-0.4	-0.1	-0.2
	DARC	-2.8	-1.8	-1.2	-0.1	-0.4	-2.4	-1.2	-0.8	-0.1	-0.3
	IMRT	-0.1	0.0	-0.1	0.0	0.0	-1.0	-0.7	-0.1	0.0	-0.1
4	3DCRT	-4.5	-3.1	-2.2	-0.6	-1.0	-1.8	-1.4	-1.1	-0.4	-0.6
	DARC	-3.3	-2.2	-1.6	-0.6	-0.8	-0.9	-0.7	-0.6	-0.3	-0.4
	IMRT	-16.9	-8.7	-7.0	-1.0	-2.6	-9.3	-5.5	-3.1	-0.4	-1.1
5	3DCRT	-8.1	-4.9	-2.9	-0.4	-1.1	-2.2	-1.6	-1.2	-0.5	-0.6
	DARC	-15.4	-9.9	-5.6	-0.6	-1.9	-4.7	-3.8	-2.5	-0.6	-1.0
	IMRT	-14.8	-12.6	-9.2	-1.4	-3.1	-8.9	-8.3	-6.3	-0.9	-2.0

# VMAT SBRT an overview...

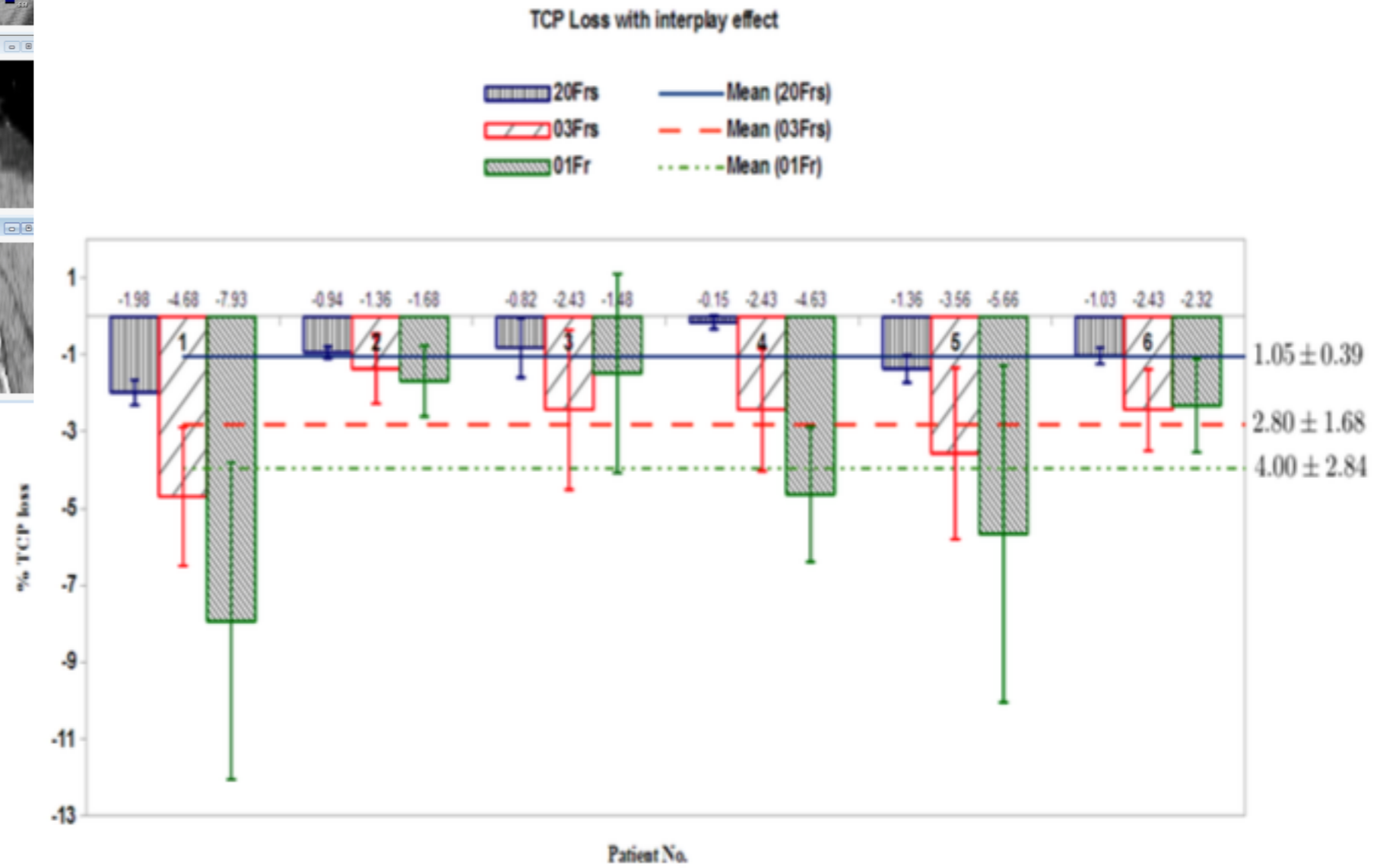
	Technique	Effect	Comment
Li et al	VMAT/FFF	PTV degraded up to 7%	Minimum margin of 5mm necessary
Riley et al	VMAT	<2%	4DCT tracks. Larger eff
Ong et al	2-arc VMAT SBRT	98.5% for large modulated plans met gamma 3%, 99.5% for low modulation	not homogeneous
Rao et al	VMAT	<1% for GTV	mapping on 4DCT
Zhao et al	VMAT	Mostly OK, some outliers in single patients	Simulation using CK-tracks
Yang et al	DMLC	<3%	6 patients
Ehrbar et al	VMAT	GTV<3.8% average	



# Lung DMLC

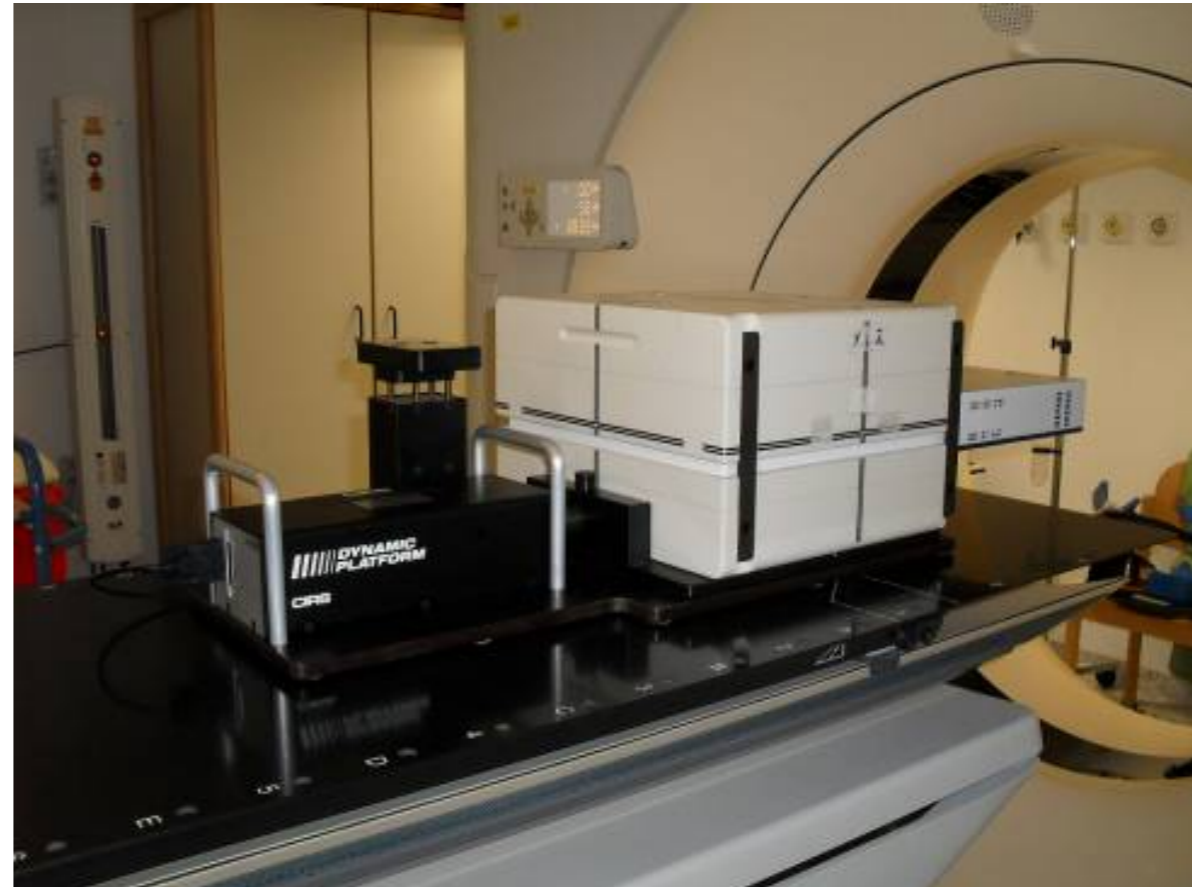


## MC simulation Connection to TCP



## Measurement setup

- IBA Matrixx Evolution
- IBA Multicube
- CIRS dynamic platform model 008PL (accuracy 0.05mm)
- VMAT plan generated in Monaco 2.0.3.beta



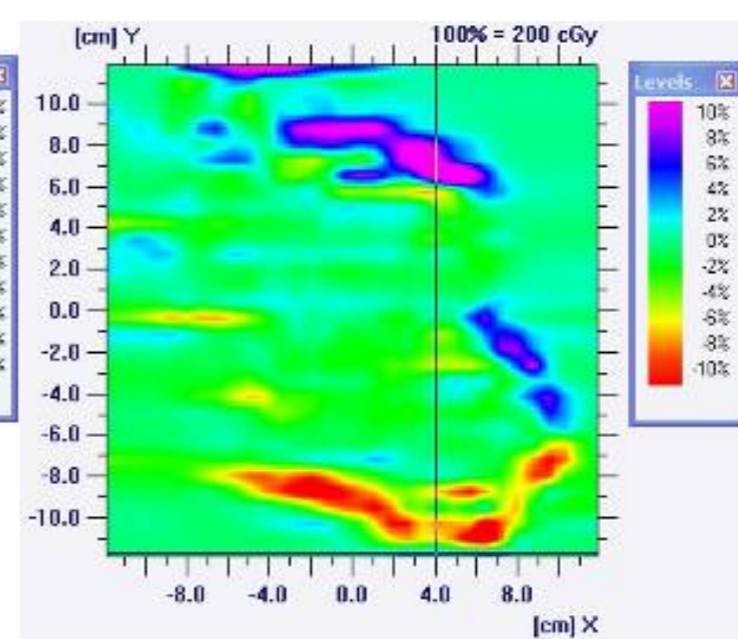
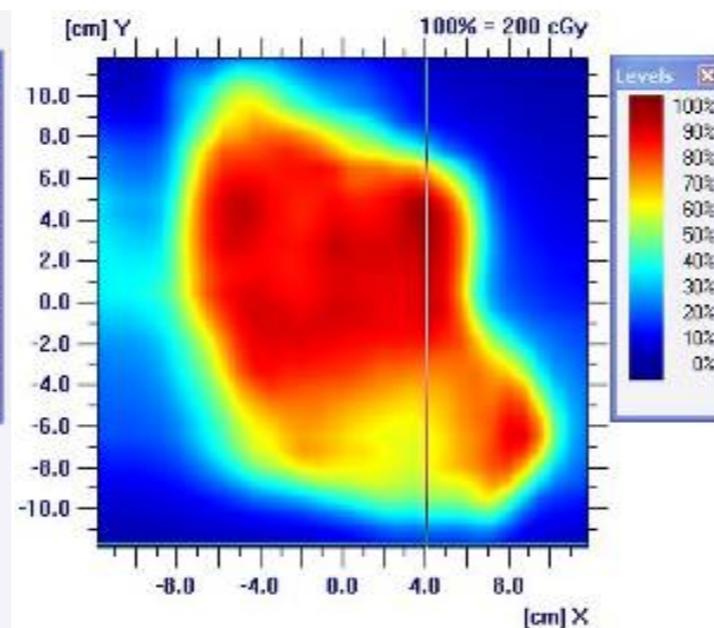
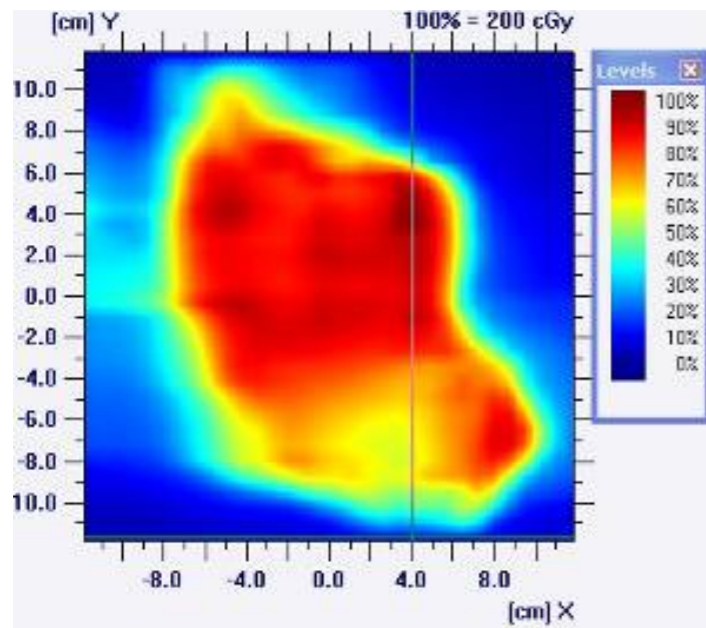
A=10mm, T=3.6s, cos4-motion trajectory



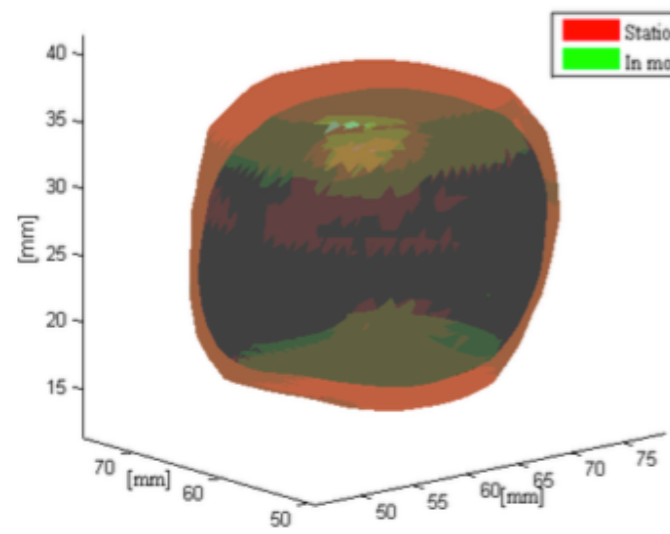
static case

with motion

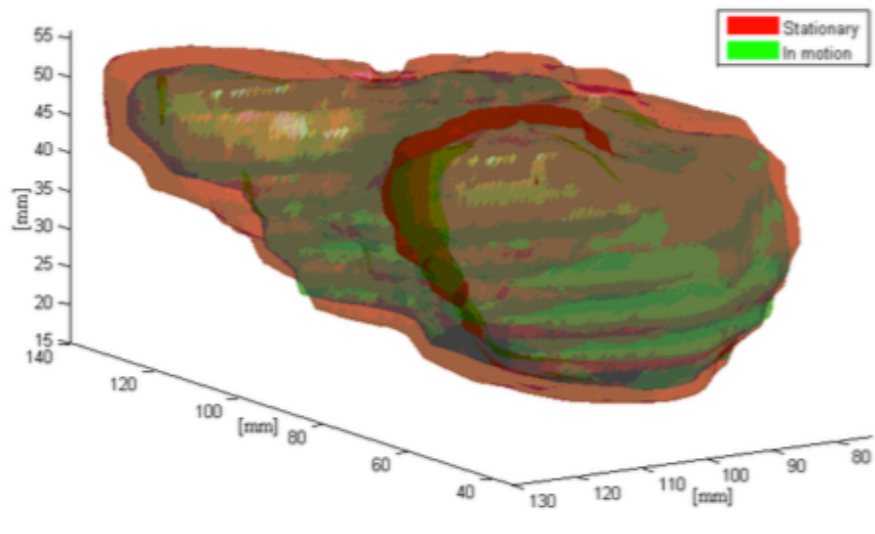
difference map



# VMAT- interplay effect : gel measurements



Lung, 1cm/4s



Prostate, 2cm/5s

$$D_{IP} = \frac{(D_{duringmotion} - D_{convolvedstat.})}{D_{convolvedstat.}} \times 100$$

$D_{static,corr} \otimes F_{motion}$

Motion blurring+IP+ME

2.5%

3-4%

Interplay Effect

1.4%

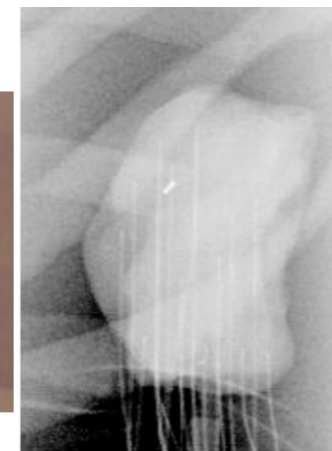
2.3%

# Phantom dependence?

TABLE I. Characteristics of the nine treatment plans created in this study. Minimum GTV dose and hot spot are point doses. The only difference between plans 3 and 3a and between plans 5 and 5a is the dose rate.

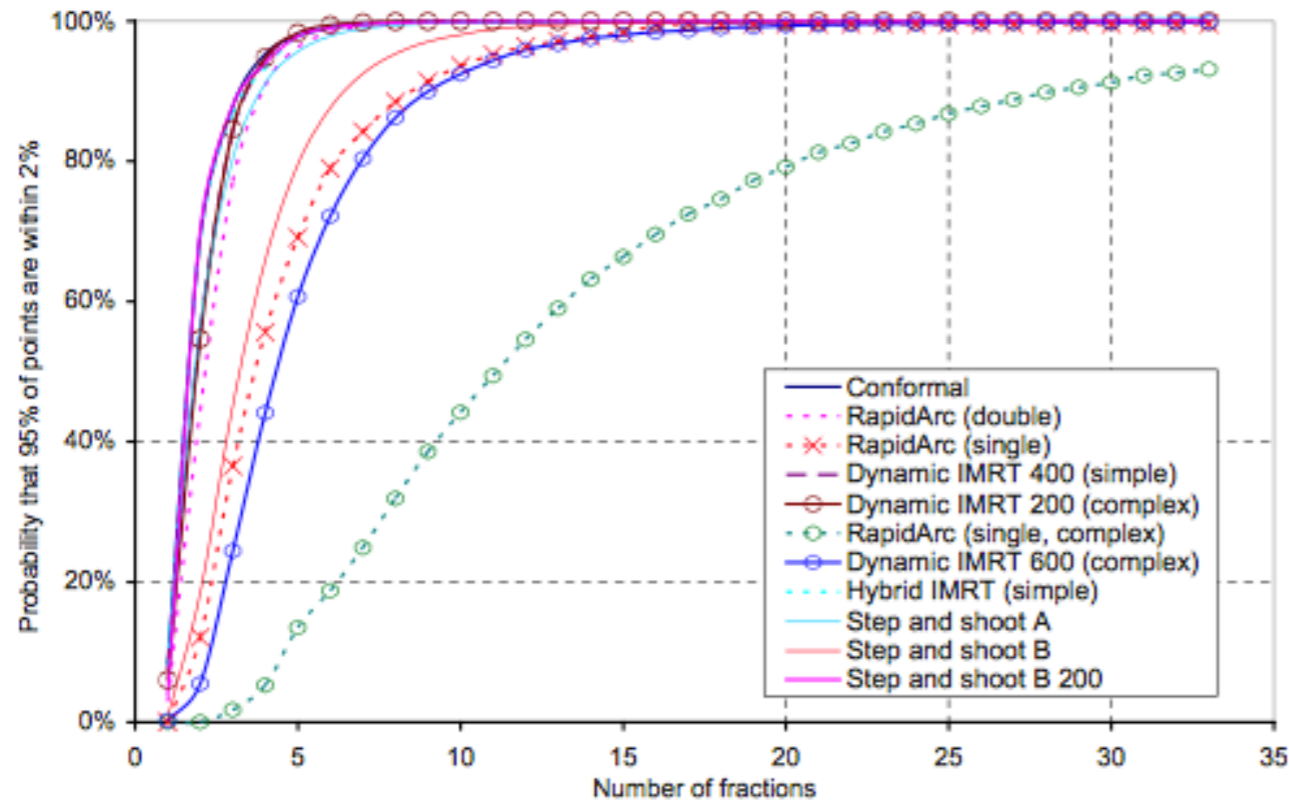
	Treatment plan	TPS	Linac	Number of fields	Dose rate (MU/min)	Total MU	Minimum GTV dose (%)	Hot spot (%)
1	Conformal RT	Eclipse	Tx	6	600	289	97	122
2	Step-and-shoot IMRT A	Pinnacle	iX	5	600	1696	92	118
3	Step-and-shoot IMRT B	XiO	iX	5	600	680	90	114
3a	Step-and-shoot IMRT B (low dose rate)	XiO	iX	5	200	680	90	114
4	Dynamic IMRT (simple)	Eclipse	Tx	6	400	503	98	117
5	Dynamic IMRT (complex)	Eclipse	Tx	8	600	818	97	123
5a	Dynamic IMRT (complex, low dose rate)	Eclipse	Tx	8	200	818	97	123
6	Hybrid IMRT	Eclipse	Tx	2/3 (conformal/IMRT)	600/400	137/259	99	119
7	RapidArc (single)	Eclipse	Tx	1	600	438	97	124
8	RapidArc (double)	Eclipse	Tx	2	600	435	97	127
9	RapidArc (single, complex)	Eclipse	Tx	1	600	980	95	130

Court et al (2010)

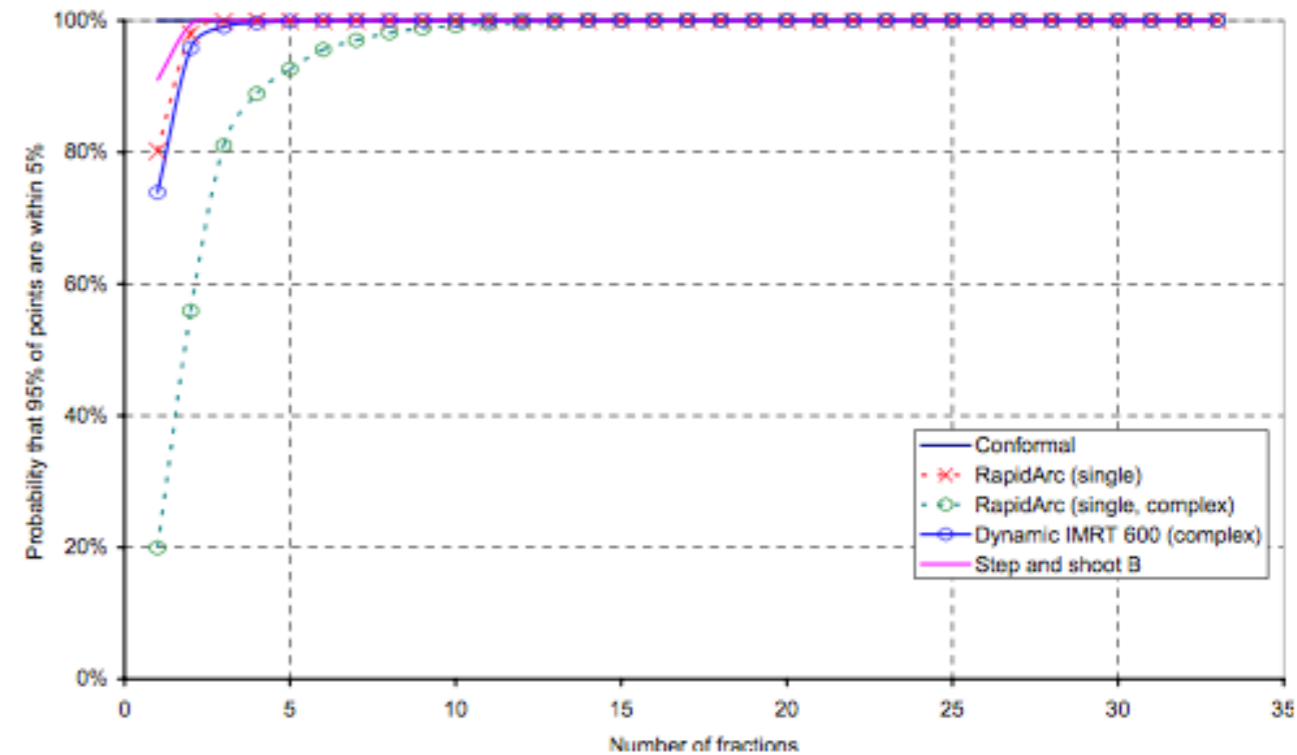


# Results

2%



5%



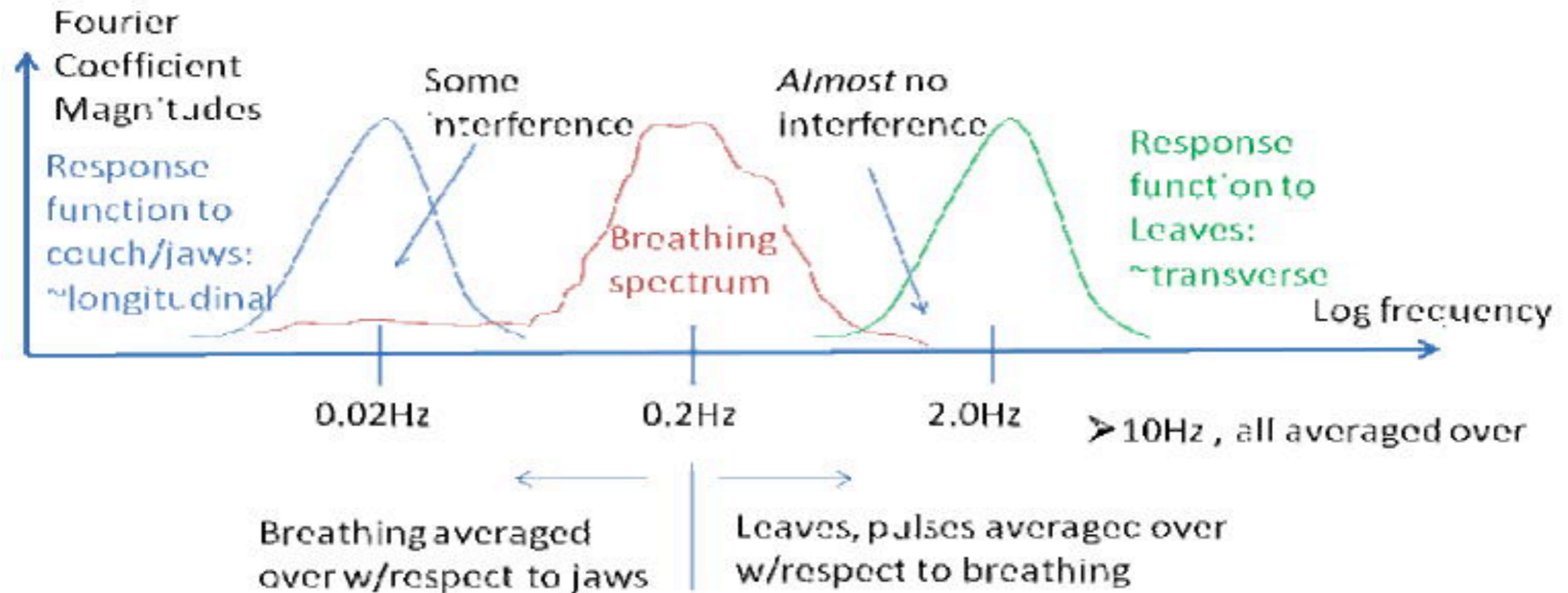
- All but 1 complex VMAT plan converged
- Result dependant on technique, doserate and modulation

# DMLC and breathing

- Interplay effect is there for single fields and single fractions.
- Depending on degree of modulation
- Depending on gantry angle
- levelled out by blurring effect over larger number of fractions

# TomoTherapy

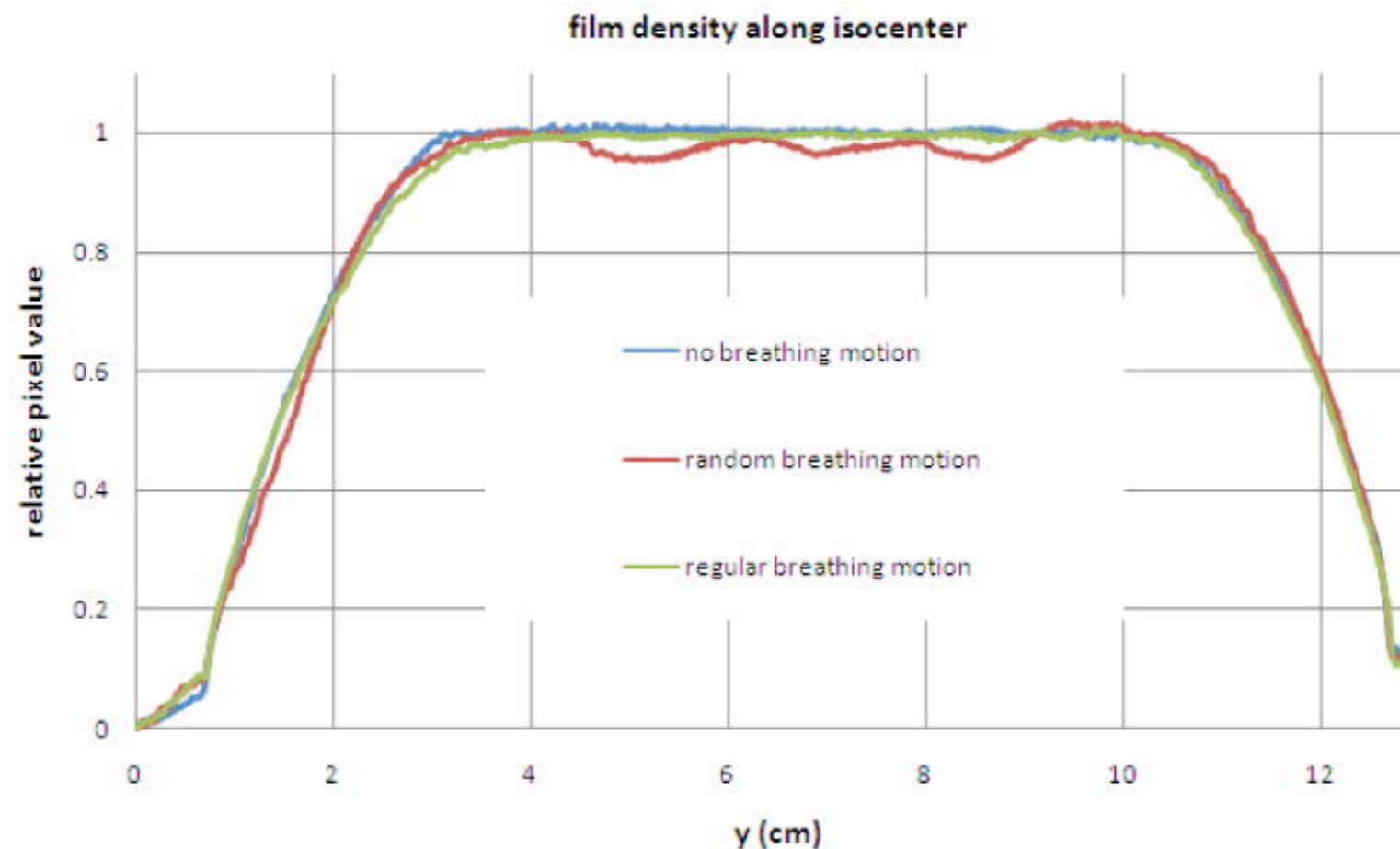
- Helical treatment, binary MLC
- Lateral modulation : leaf open times
- Longitudinal modulation : Table/Pitch



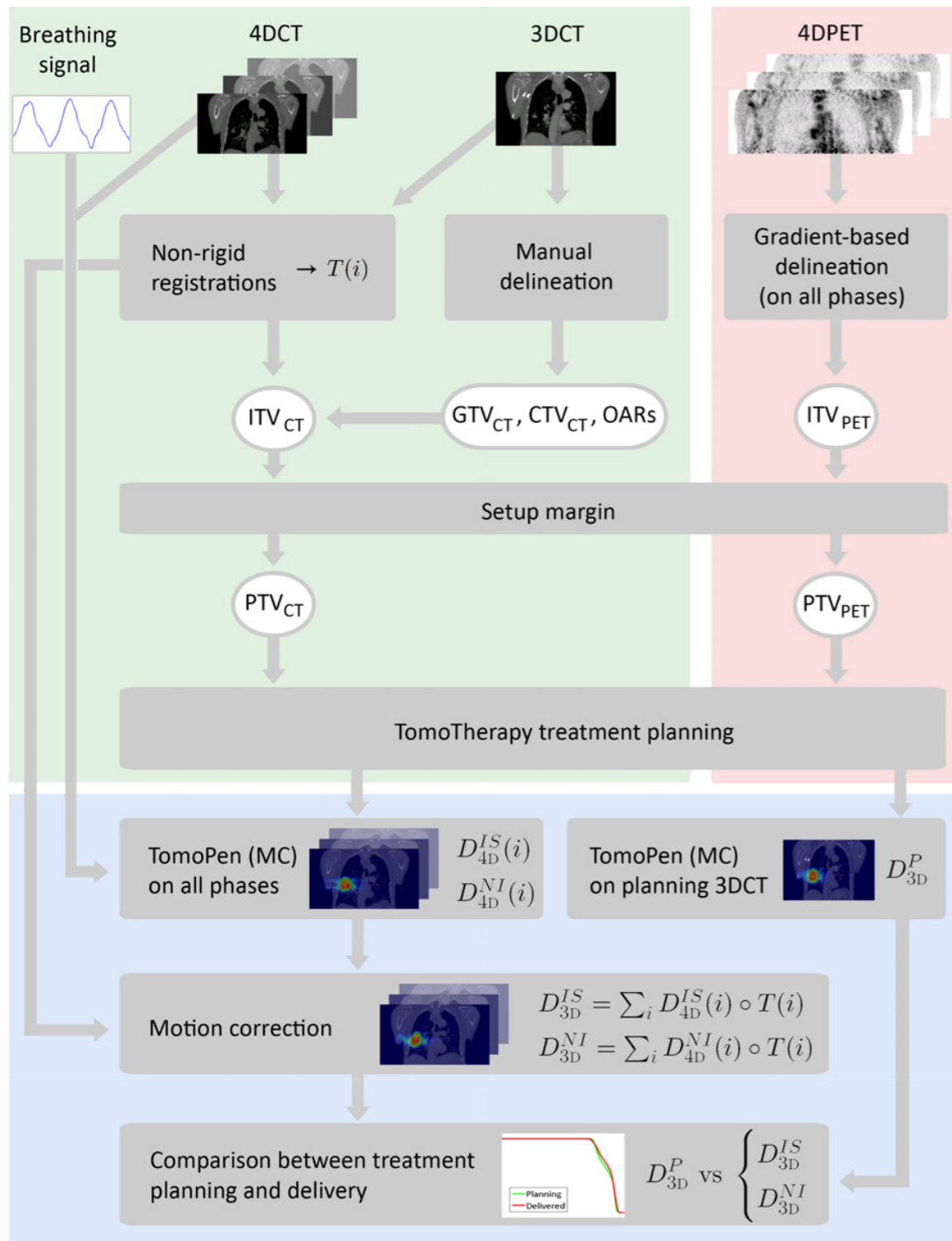


# TomoTherapy

- Regular Motion : small blurring
- irregular motion: small discrepancies when large as relative to fieldsize (2.5cm)



# Monte Carlo sim.



Tech.	$\Delta$ ( $D_{p,ptv} - D_{c,ctv}$ ) Interplay	$\Delta$ ( $D_{p,ptv} - D_{c,ctv}$ ) Mov. only
4DCT-SIB	0.45% (-1.3, 2.7)	0.47% (-1.4, 2.7)
4D-PET SIB	-0.34% (-1.2, 2.6)	-0.29% (-2.4, 2.1)
SBRT 3x18Gy	1.19% (-1.5, 2.8)	1% (-2, 2.8)

# Intrafraction movement in lung

- Dosimetric effects are linked to the relative frequencies of all the movements.
- There seems to be a possible problem with leaf/movement interplay in DMLC techniques (VMAT/DMLC) which can not be solved by PTV-margins.
- The size of this effect is dependent on the relative movement (size and speed).
- VMAT : depending on whatever your leaves are doing (can have low modulation)
- Possible solutions can be found in tracking, gating ...

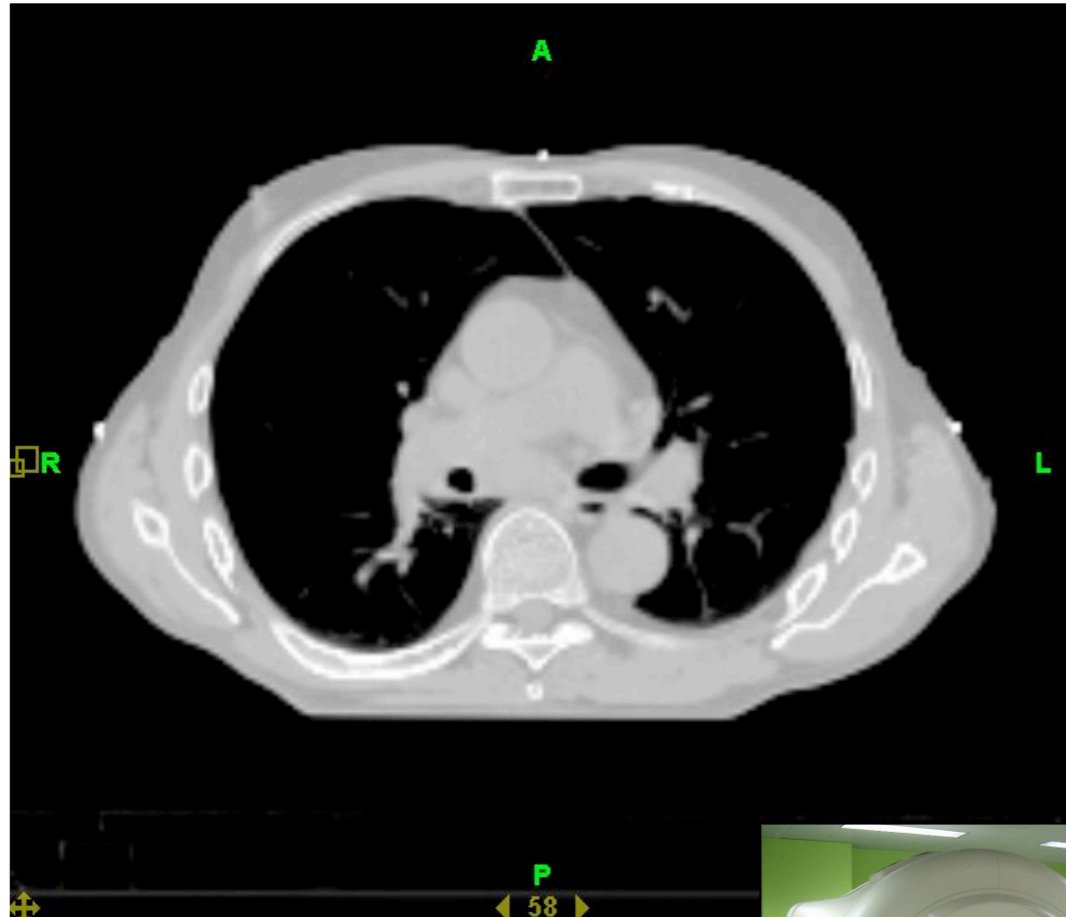
# In total...

- Effects on the dose distribution are not straightforward.
- Depending on frequency, modulation, patient
- Over all fractions things do not look that bad....
- Are homogeneous phantom studies a good way to study these effects? There is no change of scatter conditions there.

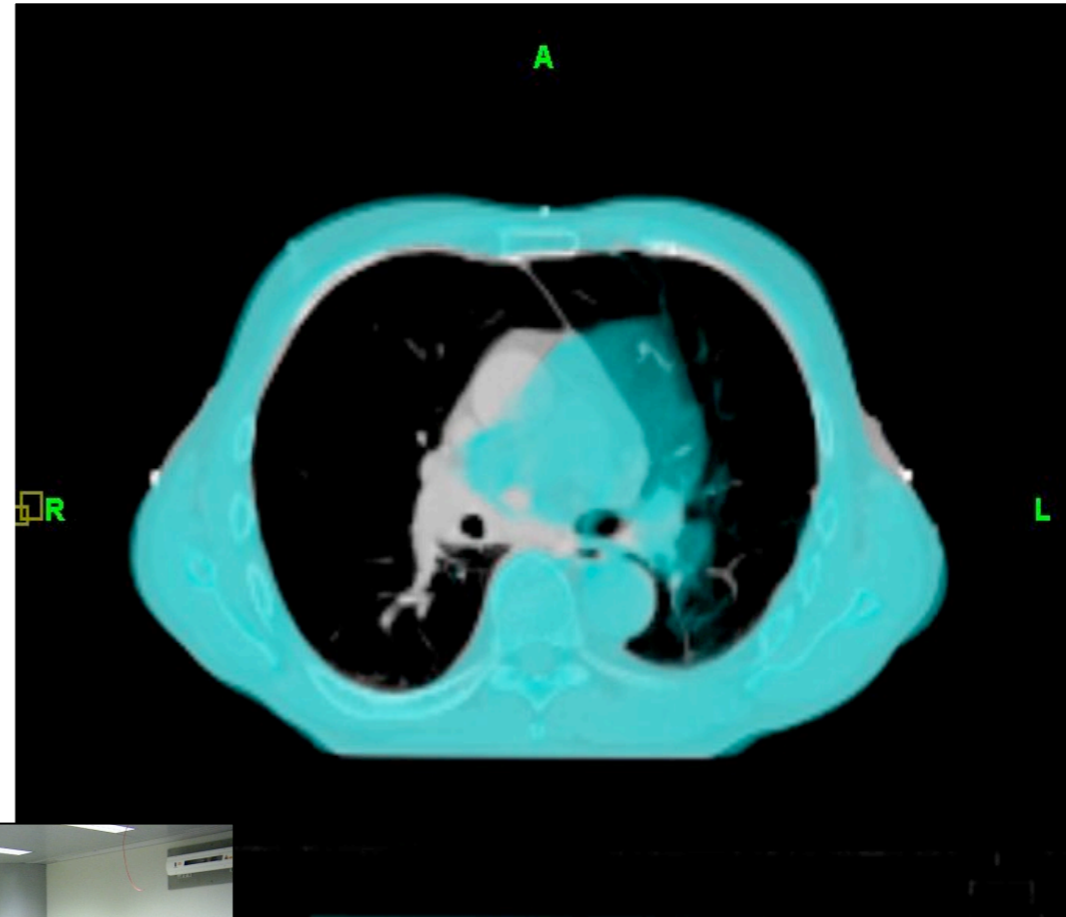
# Anatomical Deformations

# Anatomical deformation

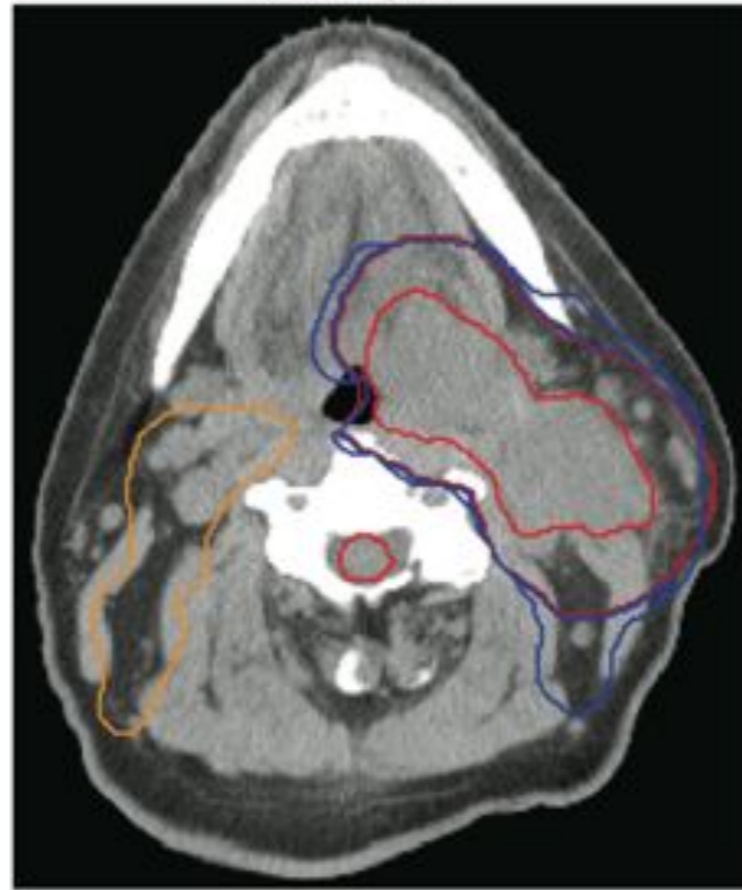
Planning CT



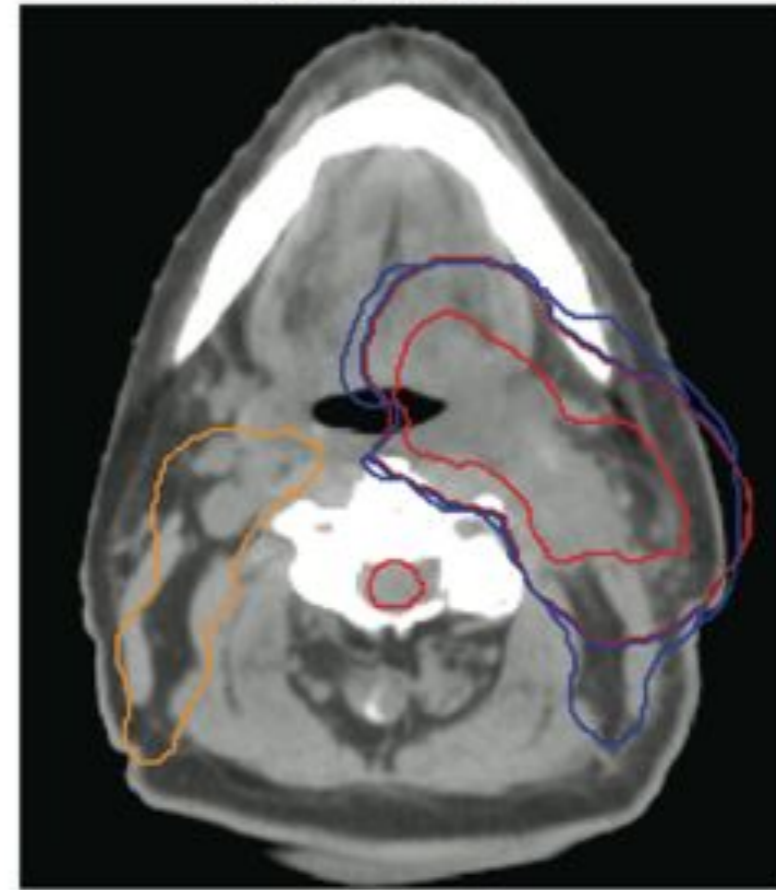
Day 2 of treatment



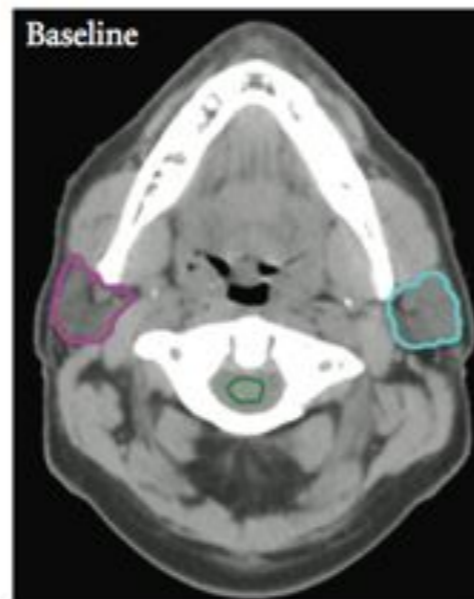
Planning CT



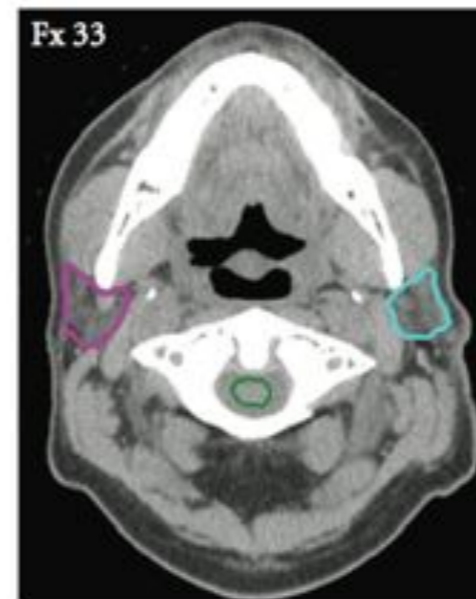
During treatment



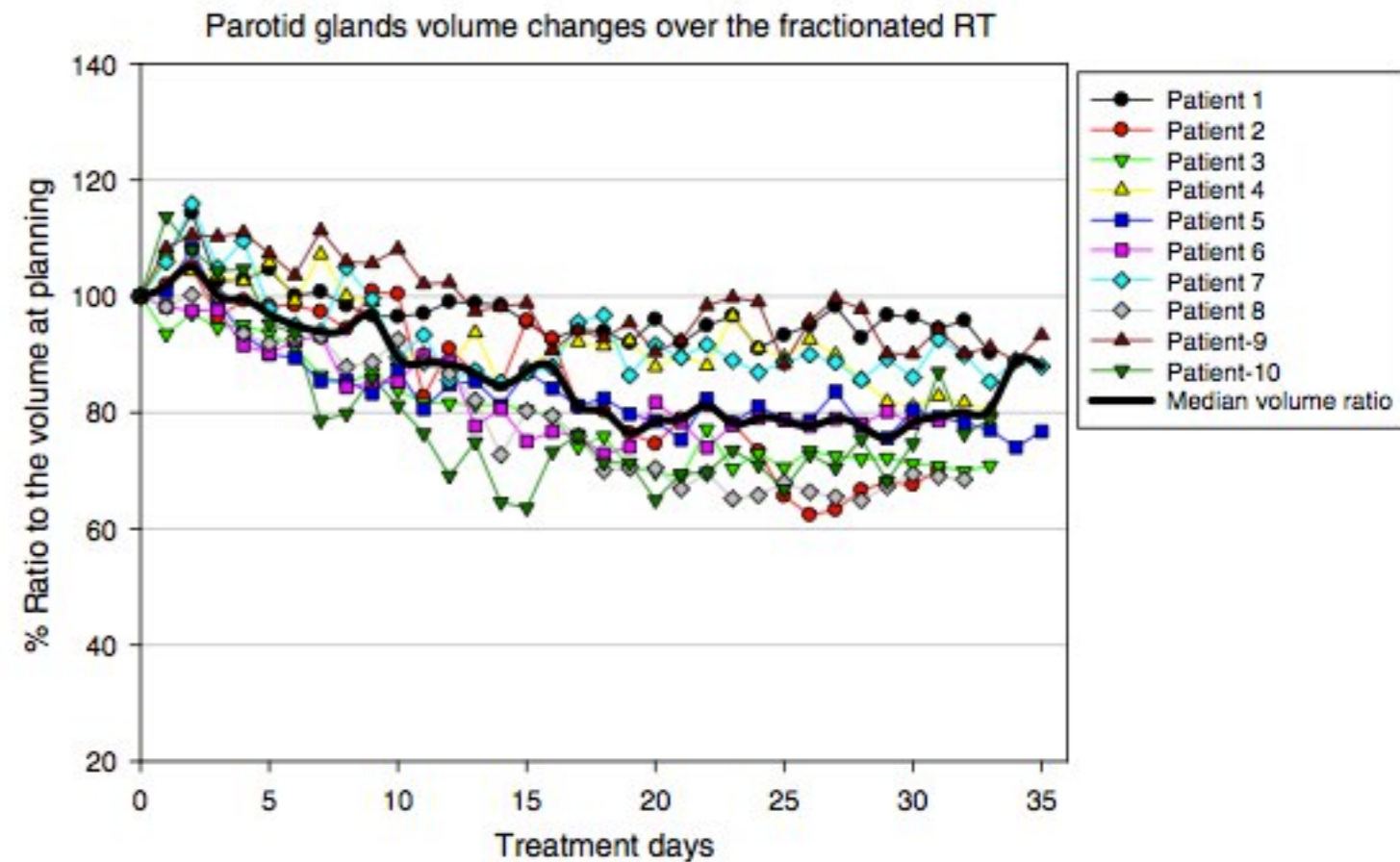
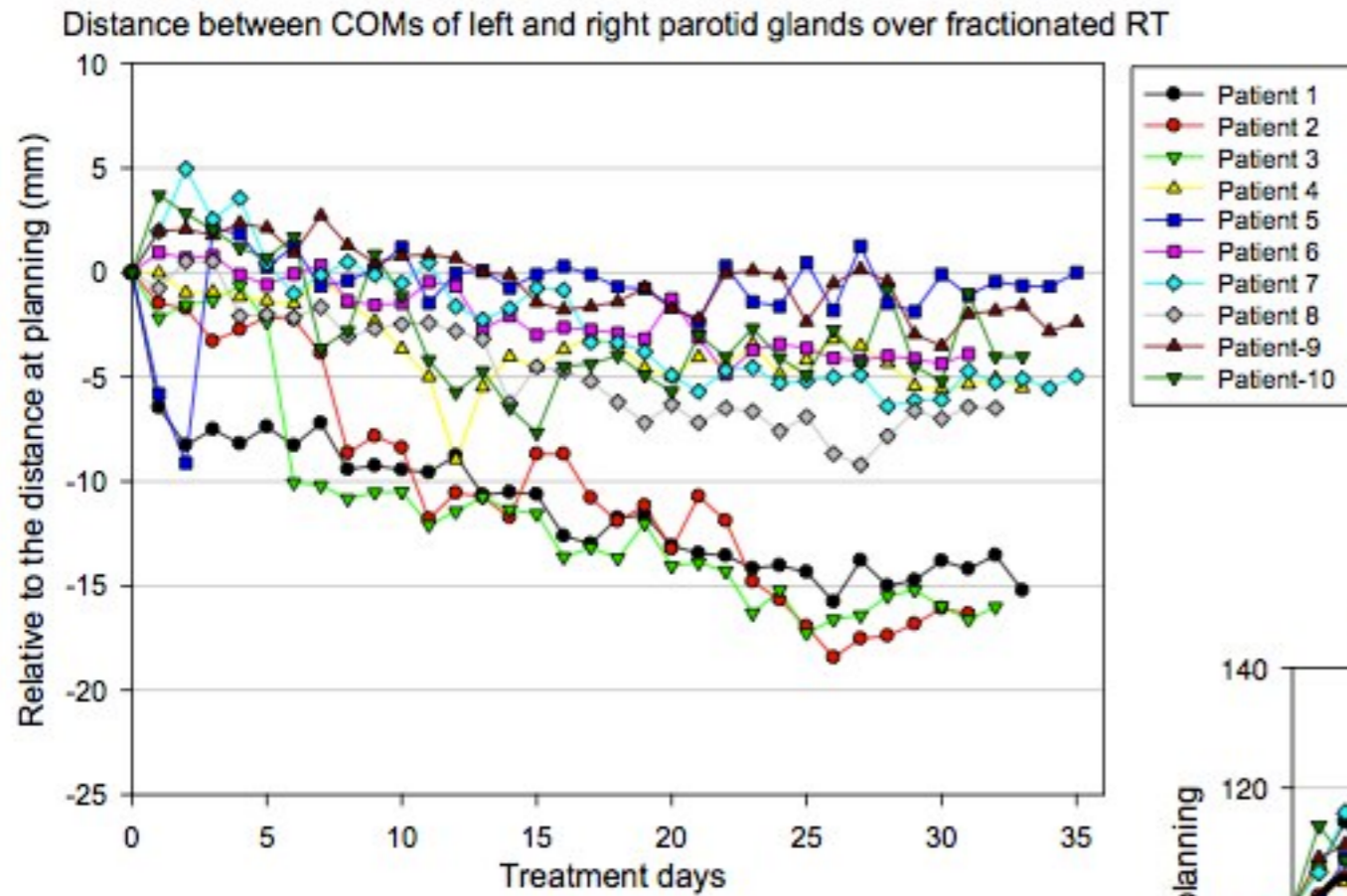
Baseline



Fx 33



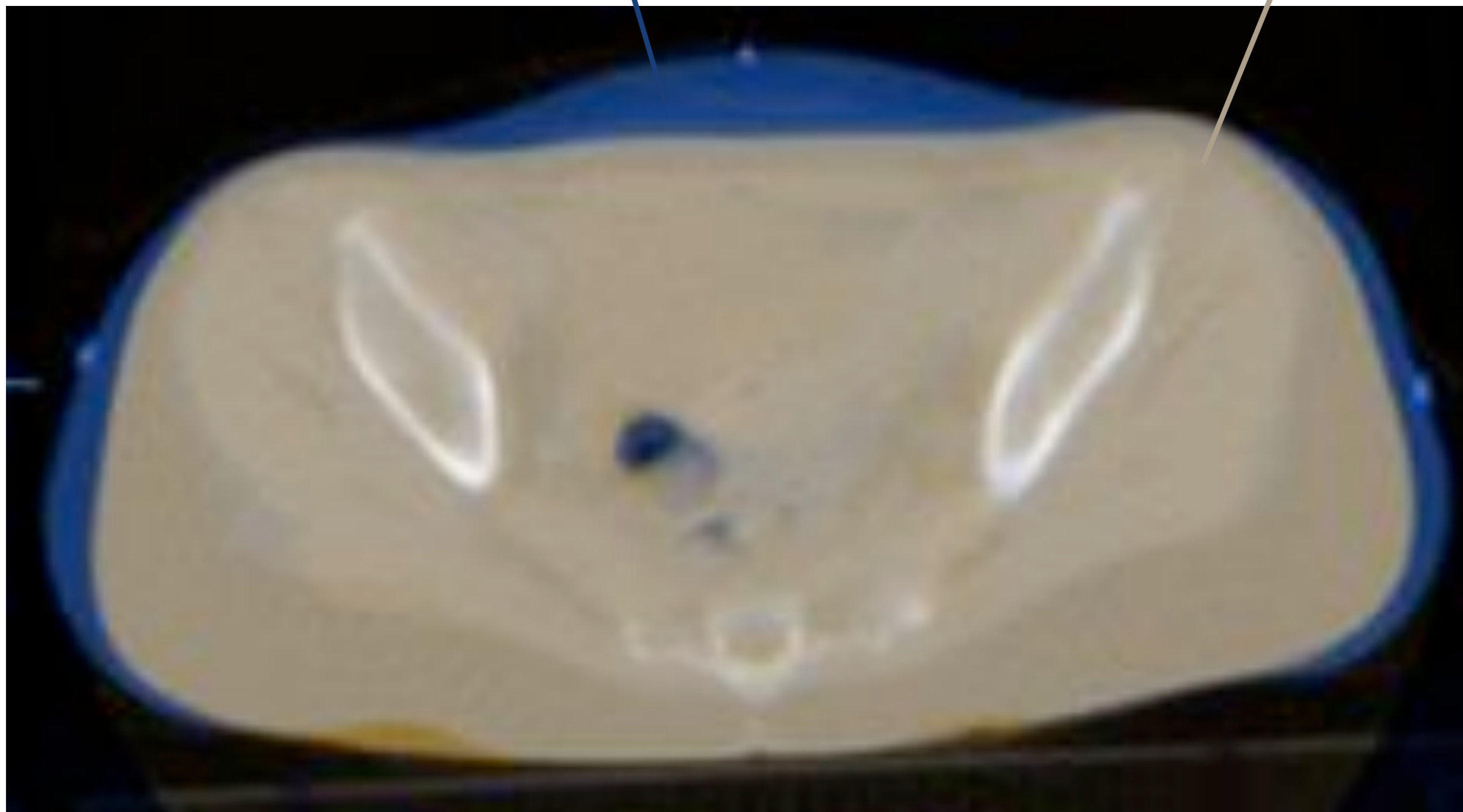
# QOL : Parotids





kV planning CT

MVCT

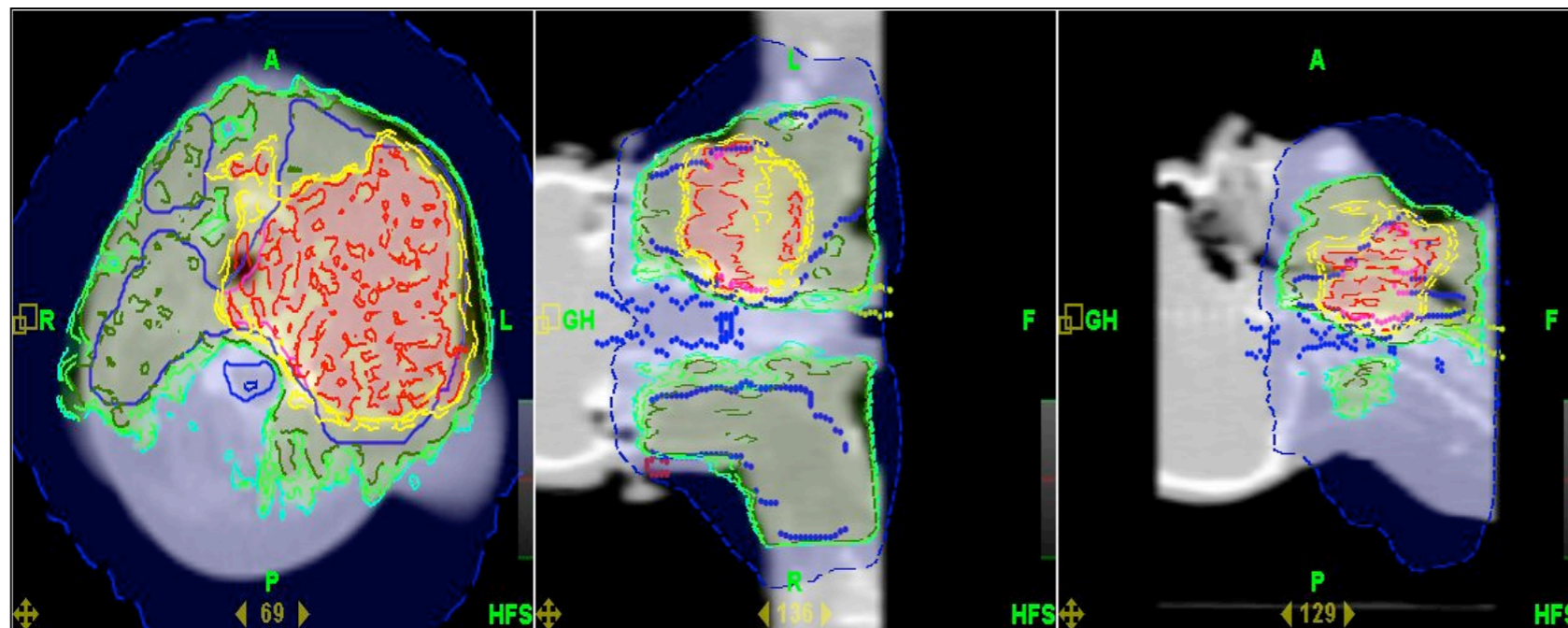
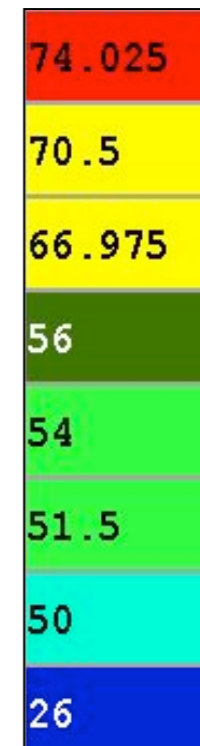
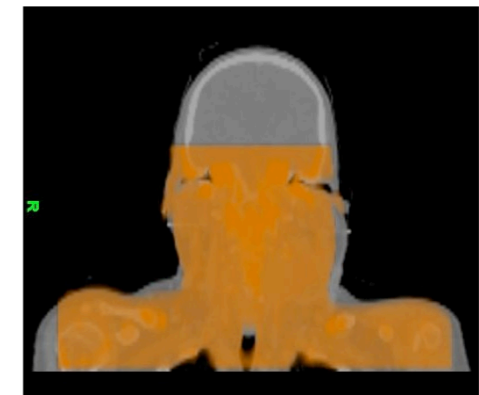
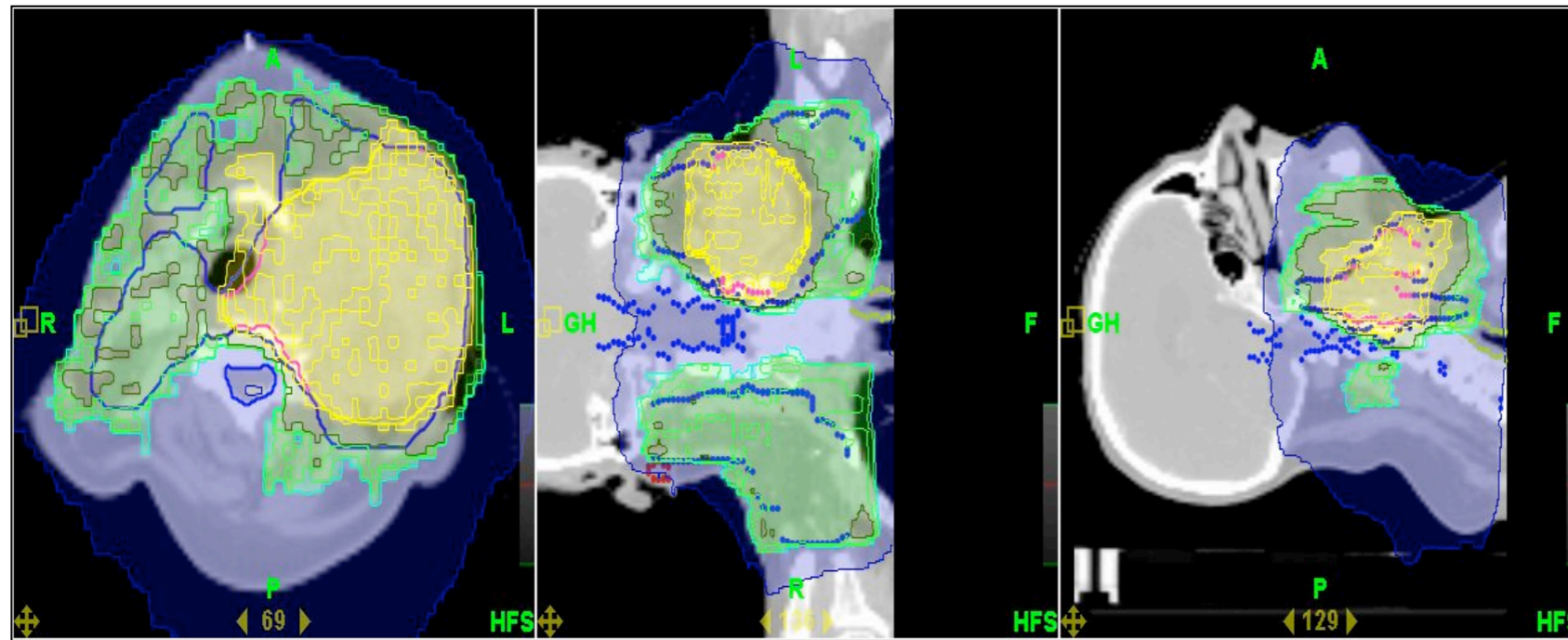


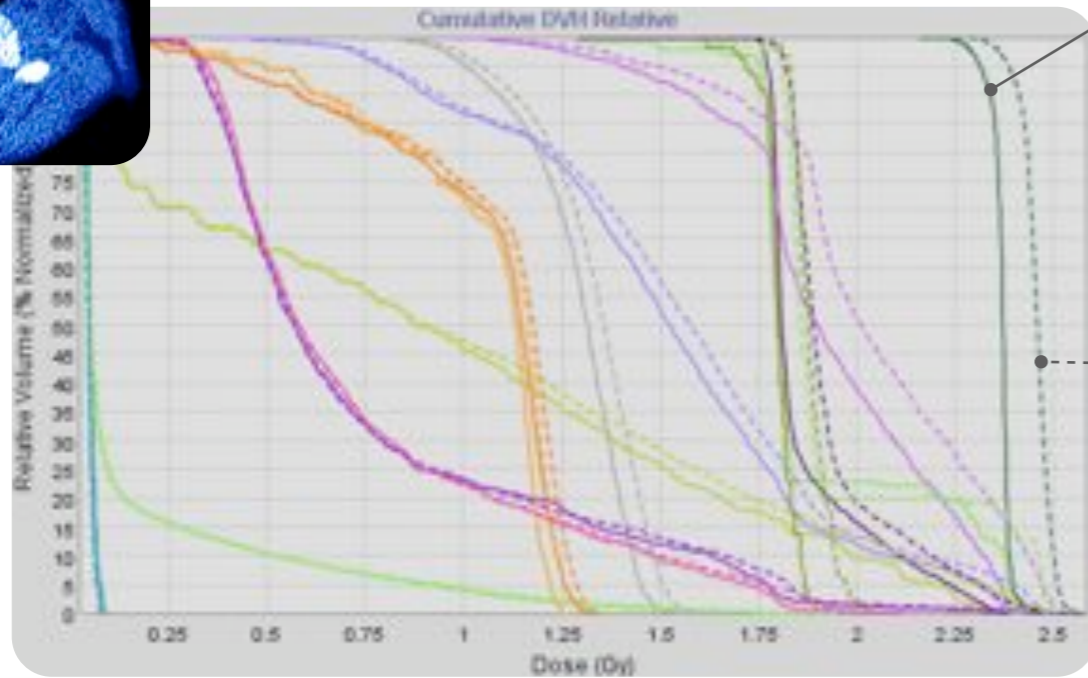
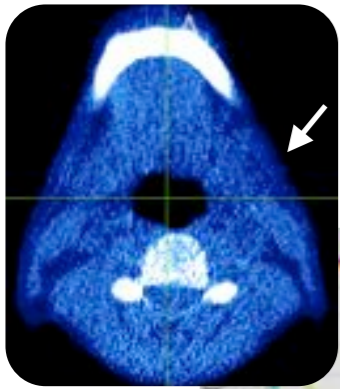
# Toolbox

- Deformable registration
  - Volumes
  - Dose (NOT verified)
- ART
  - Dose Recalculation
  - Plan of the day-approach



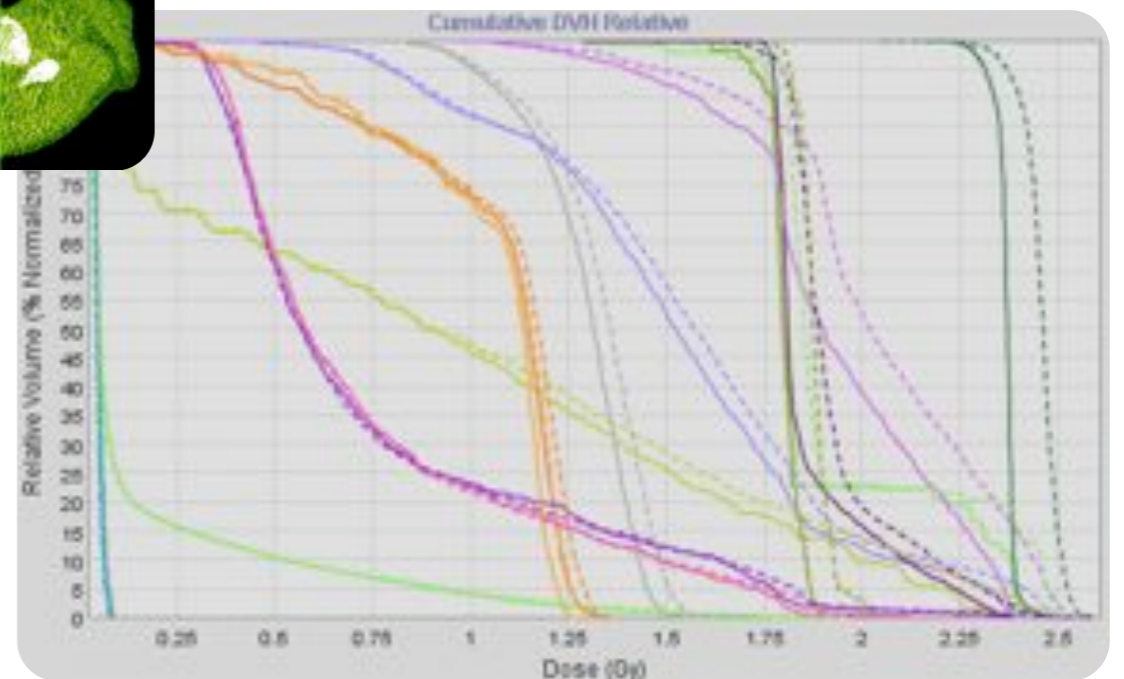
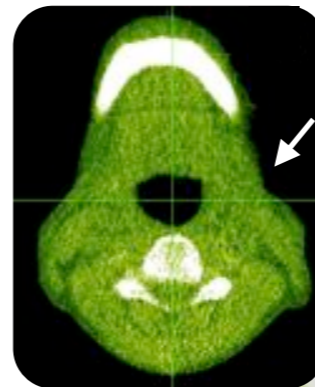
# Dose Recalculation





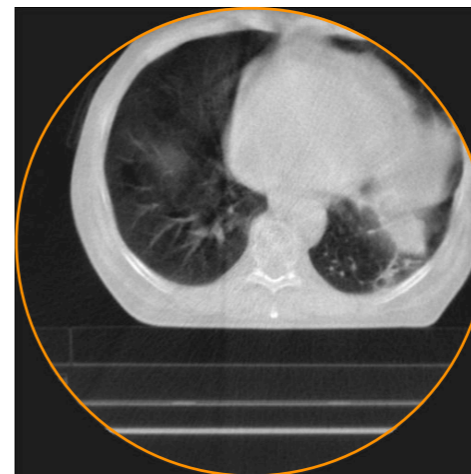
kV calculated dose

MV calculated dose



# What's the catch?

- Adaptive strategies allow us to recalculate daily dose and see the immediate effect of deformations or geometrical uncertainties.
- Since this was a “buzzword” vendors were aching to have us spend our cash on these expensive options.
- Are they feasible in clinical routine?
  - MVCT : Duchateau *et al* 2010 *Phys. Med. Biol.* **55** N329
  - ConeBeamCT
    - Daily calibration
    - Check contouring
    - Missing information



# Deformations : comment

ART will only work if there exists a clear, automatic workflow that is integrated in planning, imaging, treatment and follow-up.

# Deformable registration

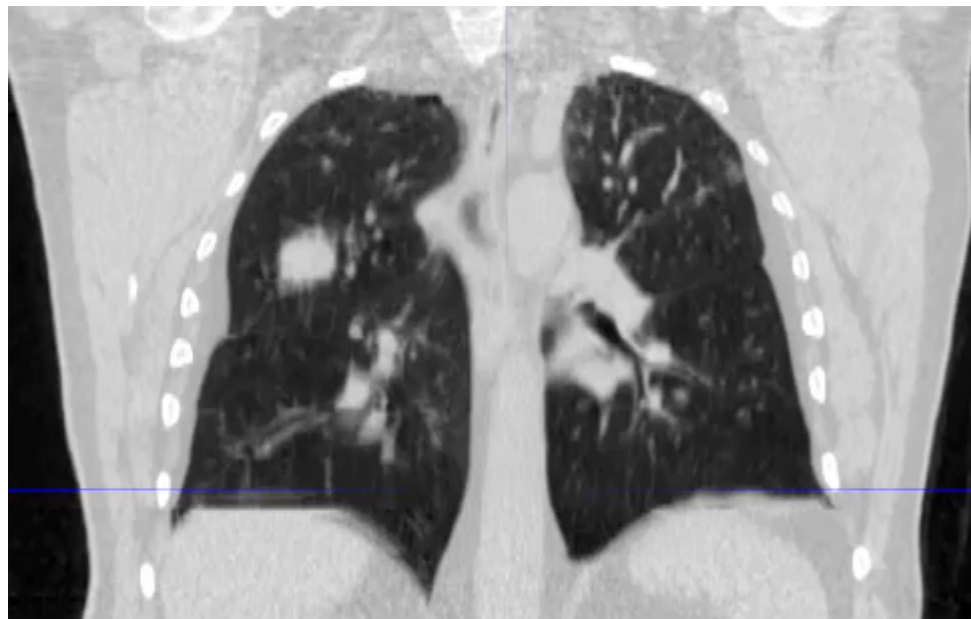
- The basis for a successful Adaptive Strategy
- The algorithm should be
  - usable in clinical routine
  - verified

# Verification

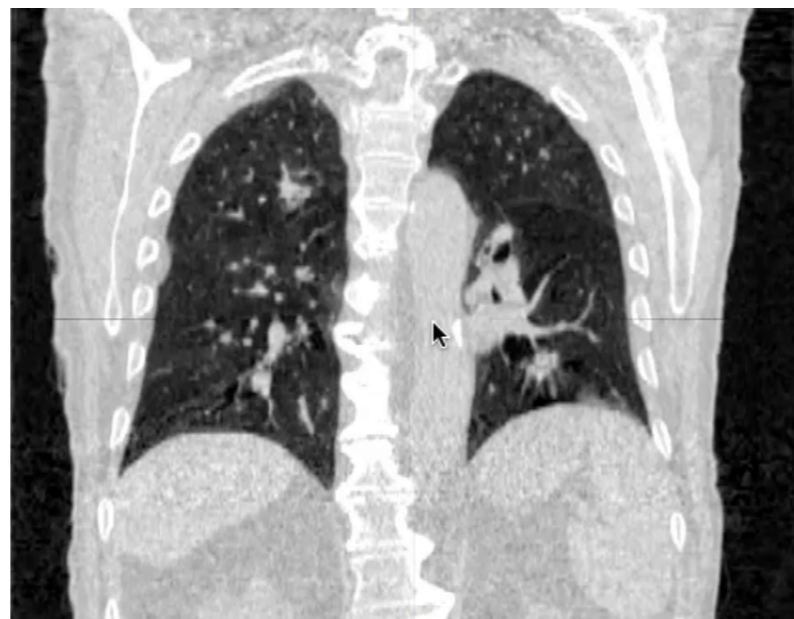
1. <http://www.creatis.insa-lyon.fr/rio/popri-model>
2. 6 4DCT Sets
  - 3 with 100 POI - All phases
  - 3 with 100 POI - In and exhale
3. POI on vessel and bronchial bifurcation
4. For *everyone* to download !



*Popi 1*

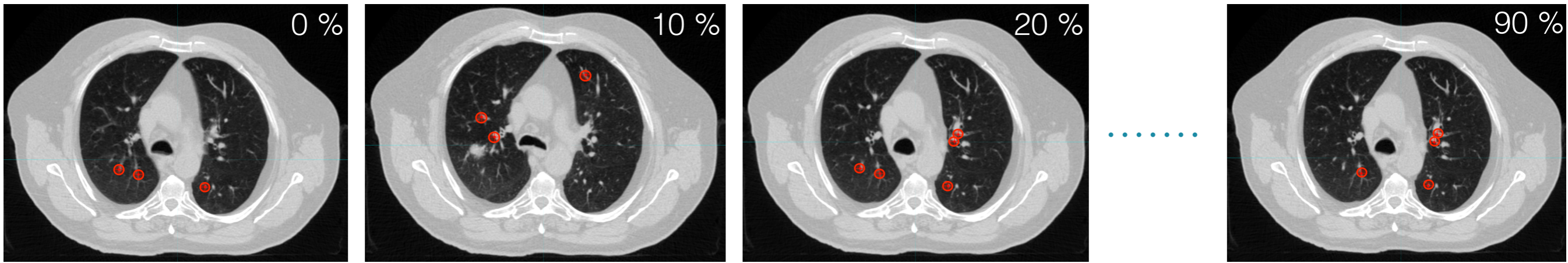


*Popi 2*



*Popi 3*



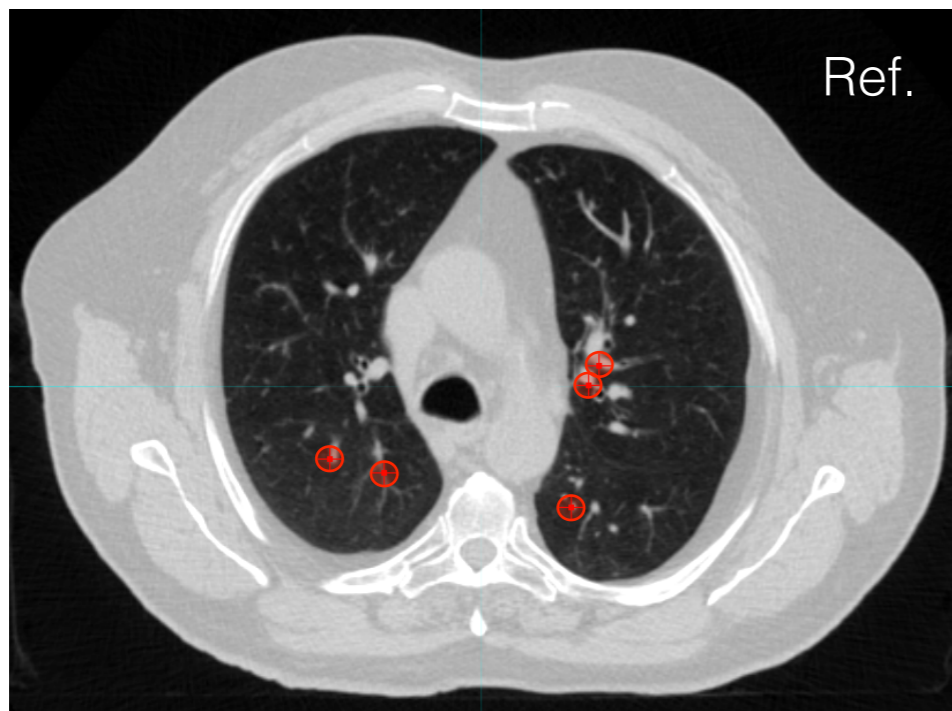


deformable  
+

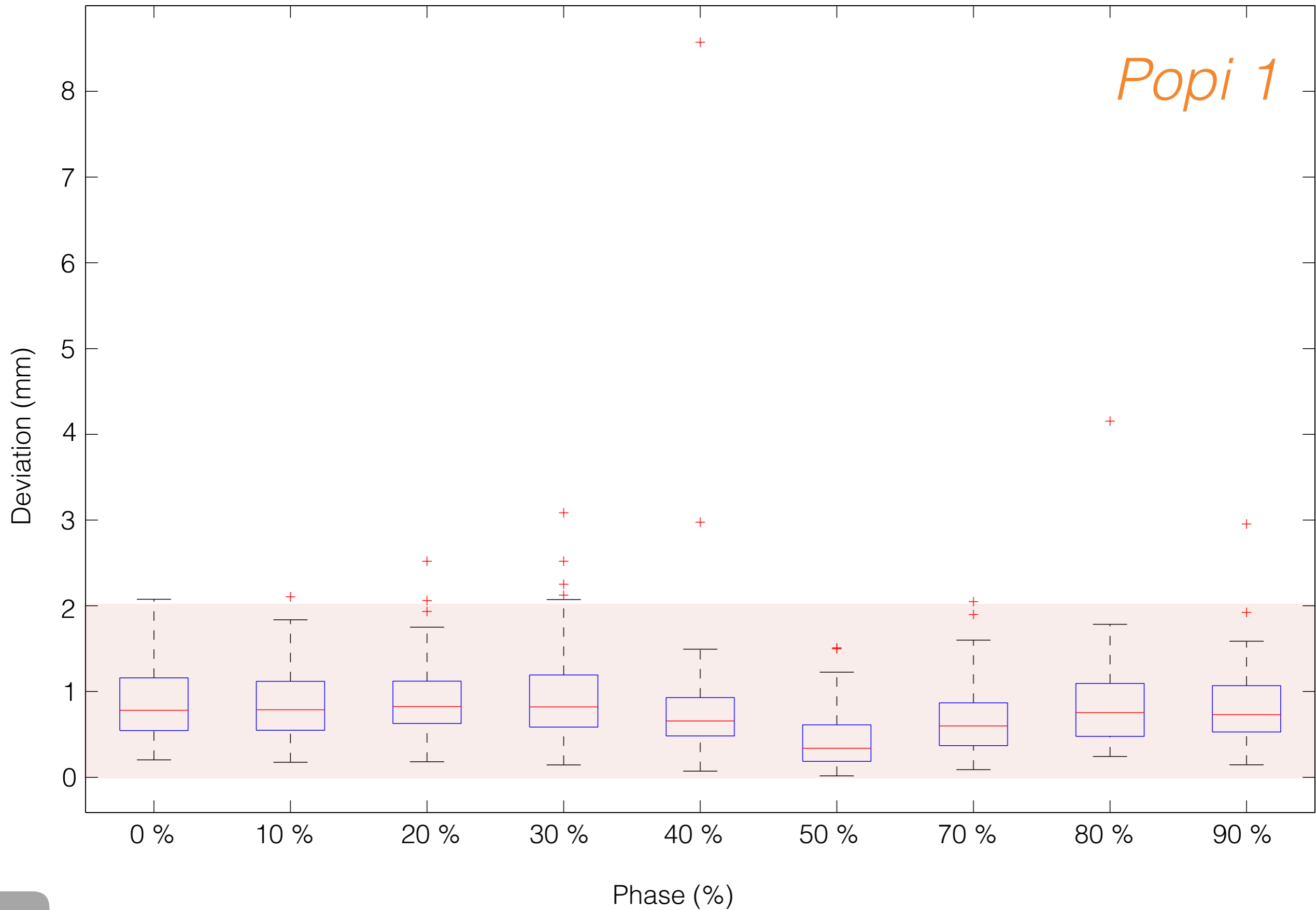
deformable  
+

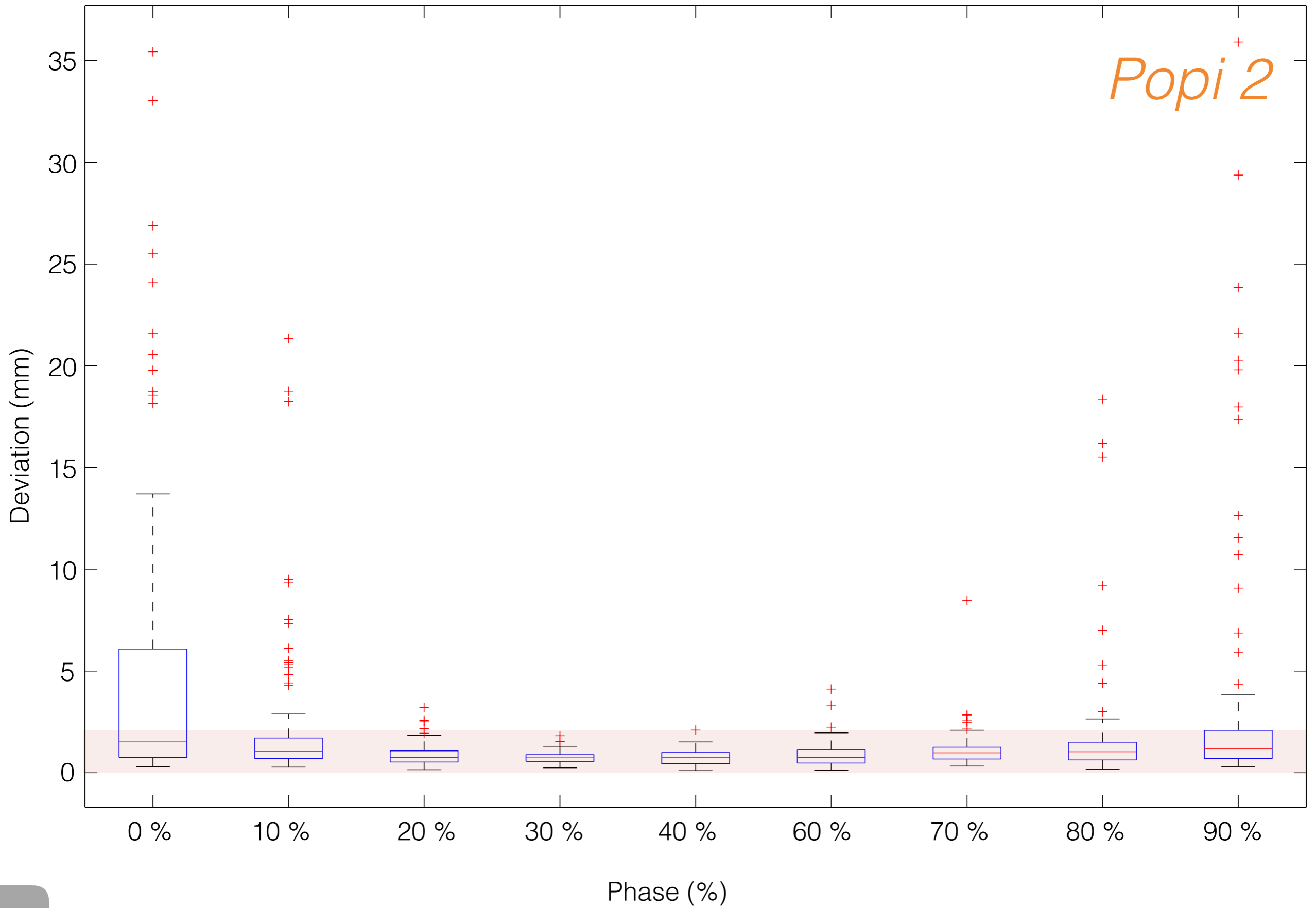
deformable  
+

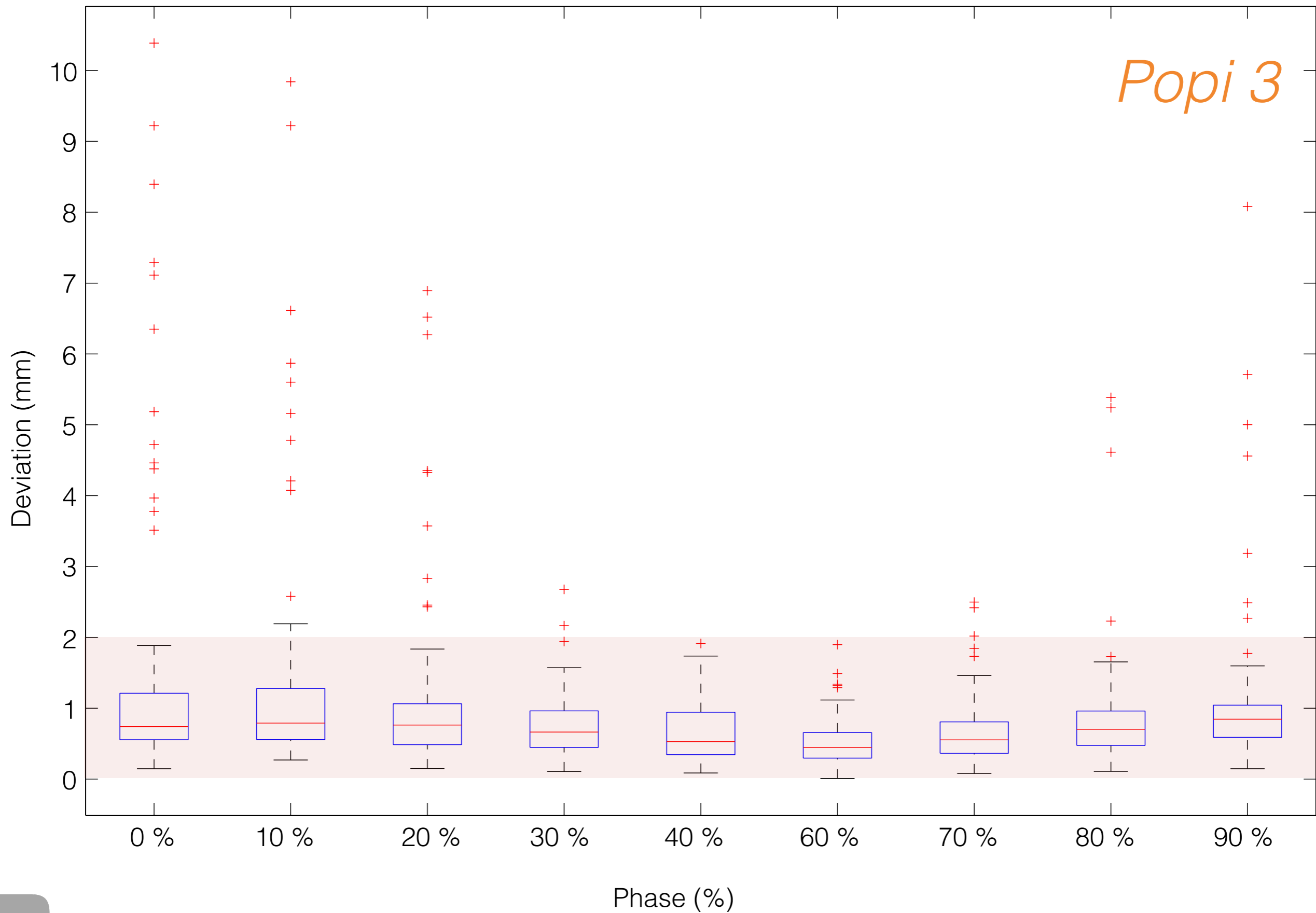
deformable  
+



$$d(p, q) = \sqrt{(p_1 - q_1)^2 + (p_2 - q_2)^2 + (p_3 - q_3)^2}$$



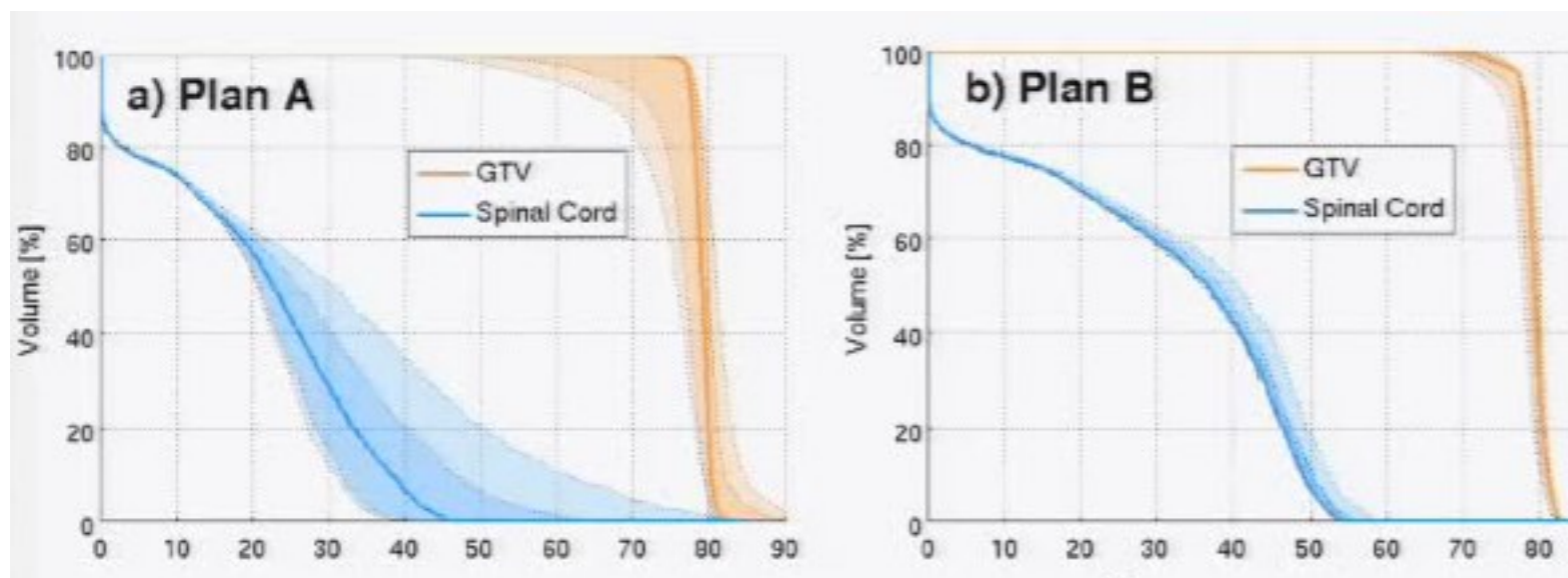




# Robust Planning

# Statistical models/Robust Planning

- Taking into account the possible geometric deviations (random and setup errors) at the planning stage.
- Creating a dose distribution that will be “robust” or “immune” against the “most likely” movements of the target volume



# How can we achieve this?

- Stochastic programming : statistical method, using defined probability density functions for all uncertainty parameters (e.g. gaussian model for setup errors, breathin cycle...). Feed this to our IMRT objective function.
- Worst case approach : make sure that constraints are always fulfilled, obtain the best plan in the worst case.



# Stochastic method

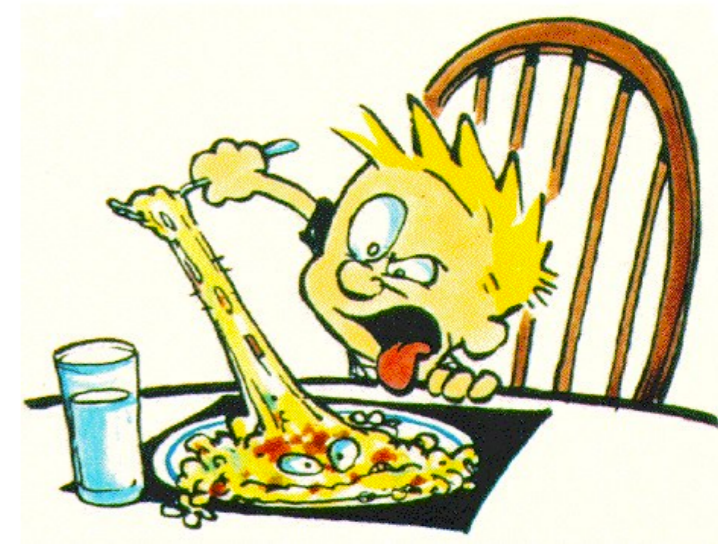
## Normal Optimization

$$d_i(x) = \sum_j D_{ij} x_j$$

$$f(d(x))$$

Dose in voxel i is a sum of the doses coming out of each bixel, weighed with the constraints

Is our IMRT objective function which has to be minimized



## Robust Optimization

$$d_i^s(x) = \sum_j D_{ij}^s x_j$$

$$\langle f \rangle = \sum_s p_s f(d^s(x))$$


Dose is calculate for all different scenarios = e.g. all possible positions

The objective function is now minimized over all possible scenarios, weighed with the probability function

# Worst case approach

$$d_i^s(x) = \sum_j D_{ij}^s x_j$$

Dose is calculate for all different scenarios = e.g. all possible positions

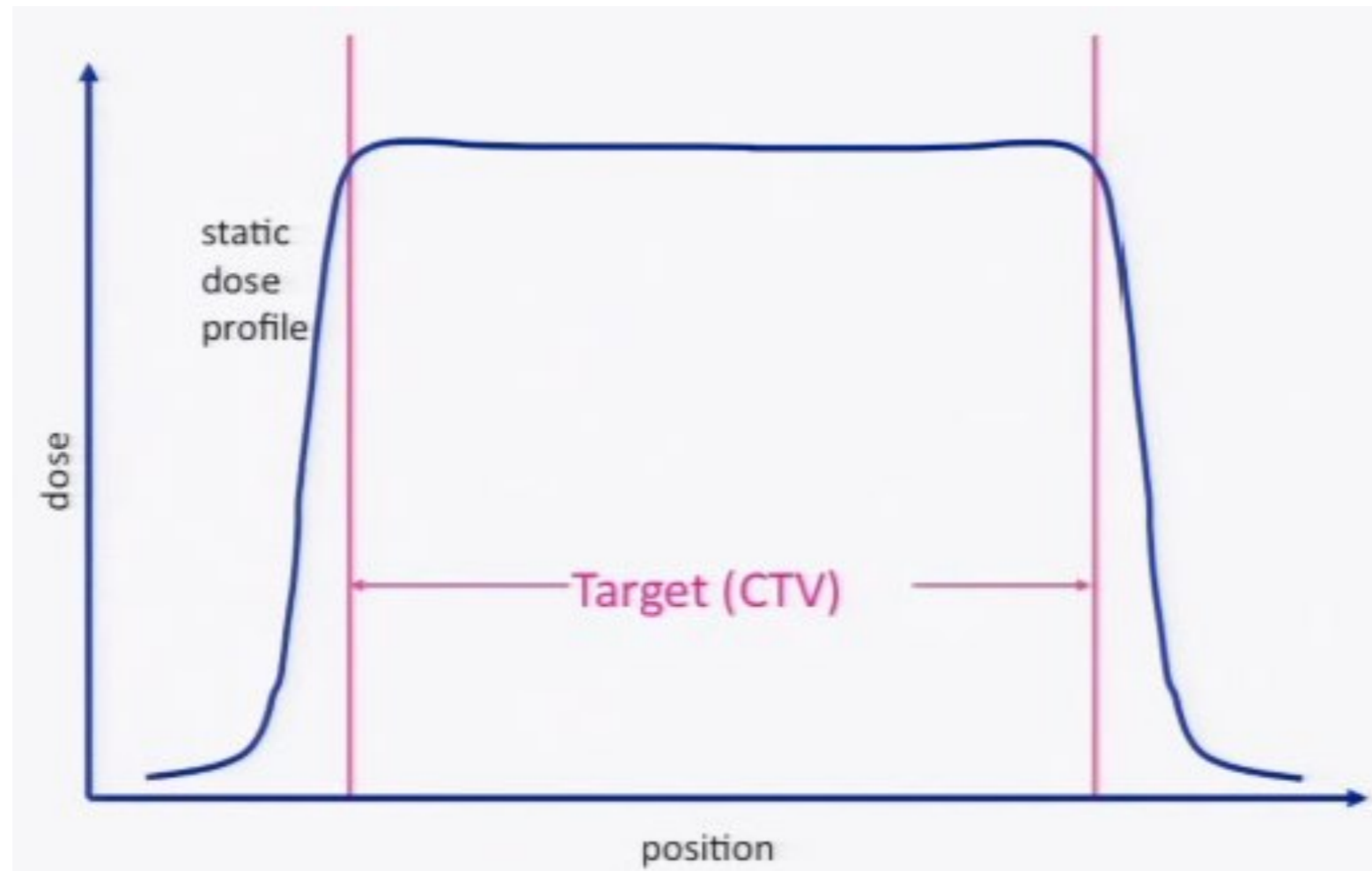

$$\left[ \max_s f(d^s) \right]$$

Function is minimized for the WORST possible case

The plan that comes out will fit the worst patient situation, but is probably too strict

# Example : Lung

Static



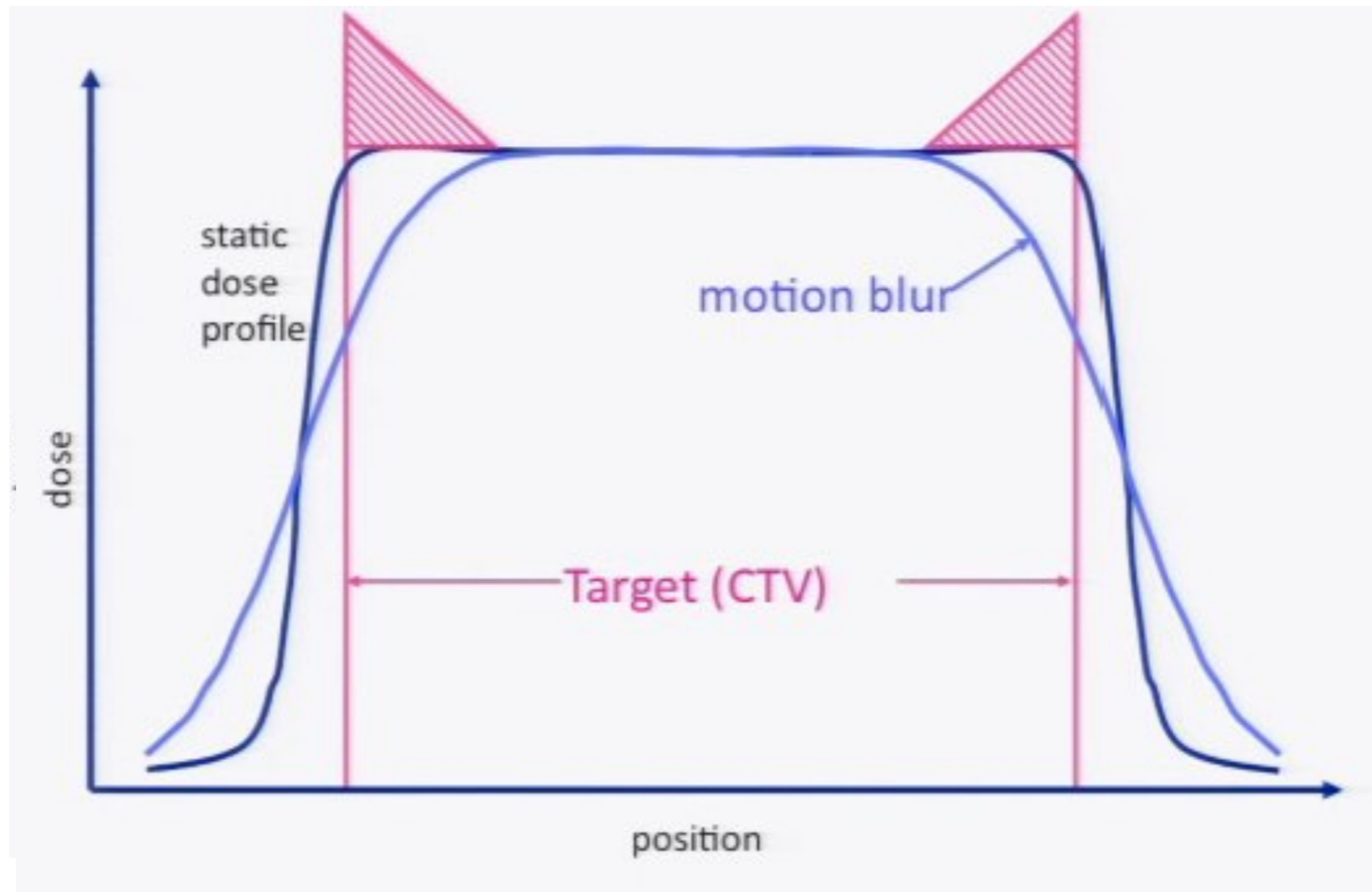
# Example : Lung

## Movement : Dose Blurring

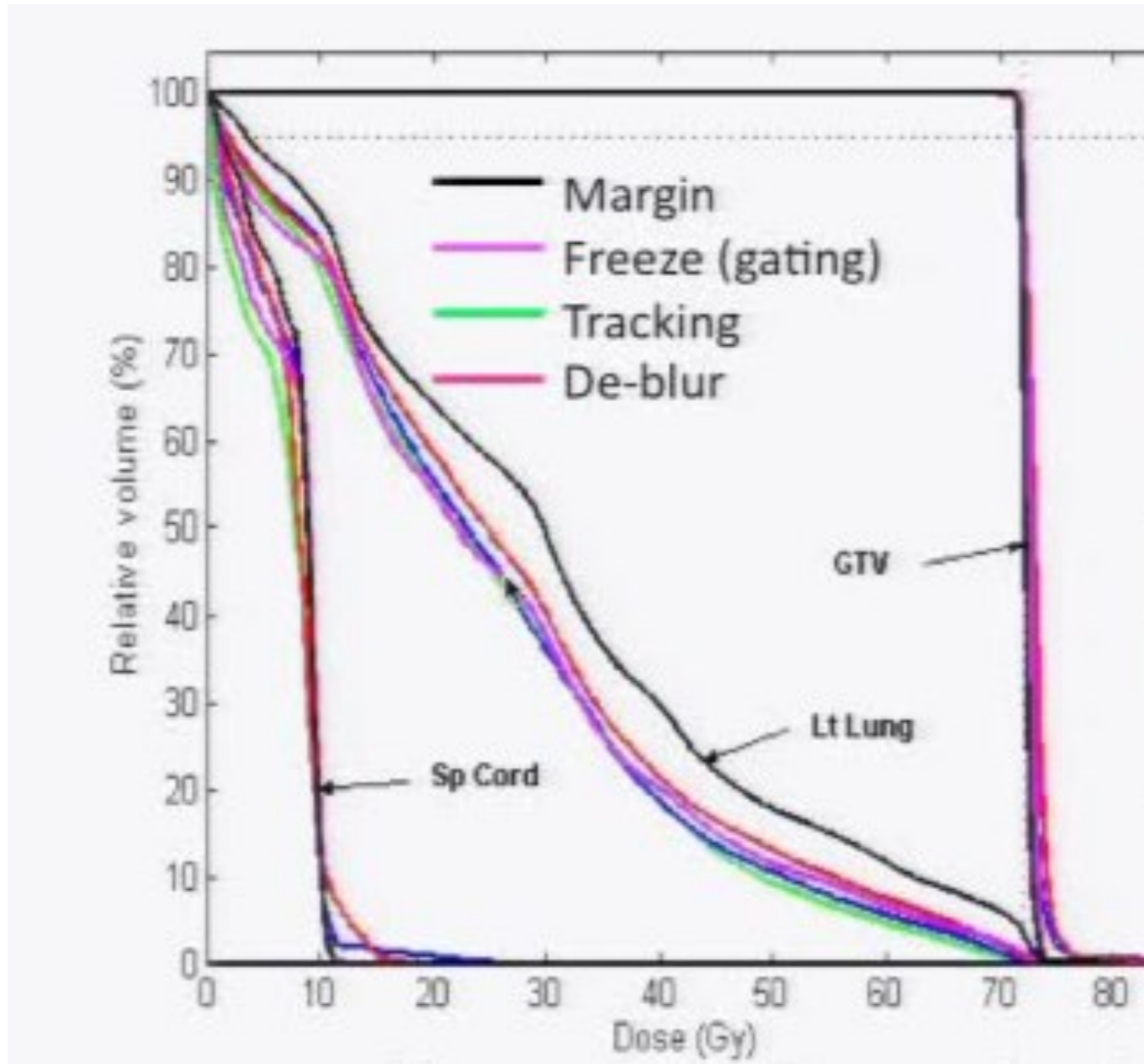


# Example : Lung

## Deblurring with IMRT : horns



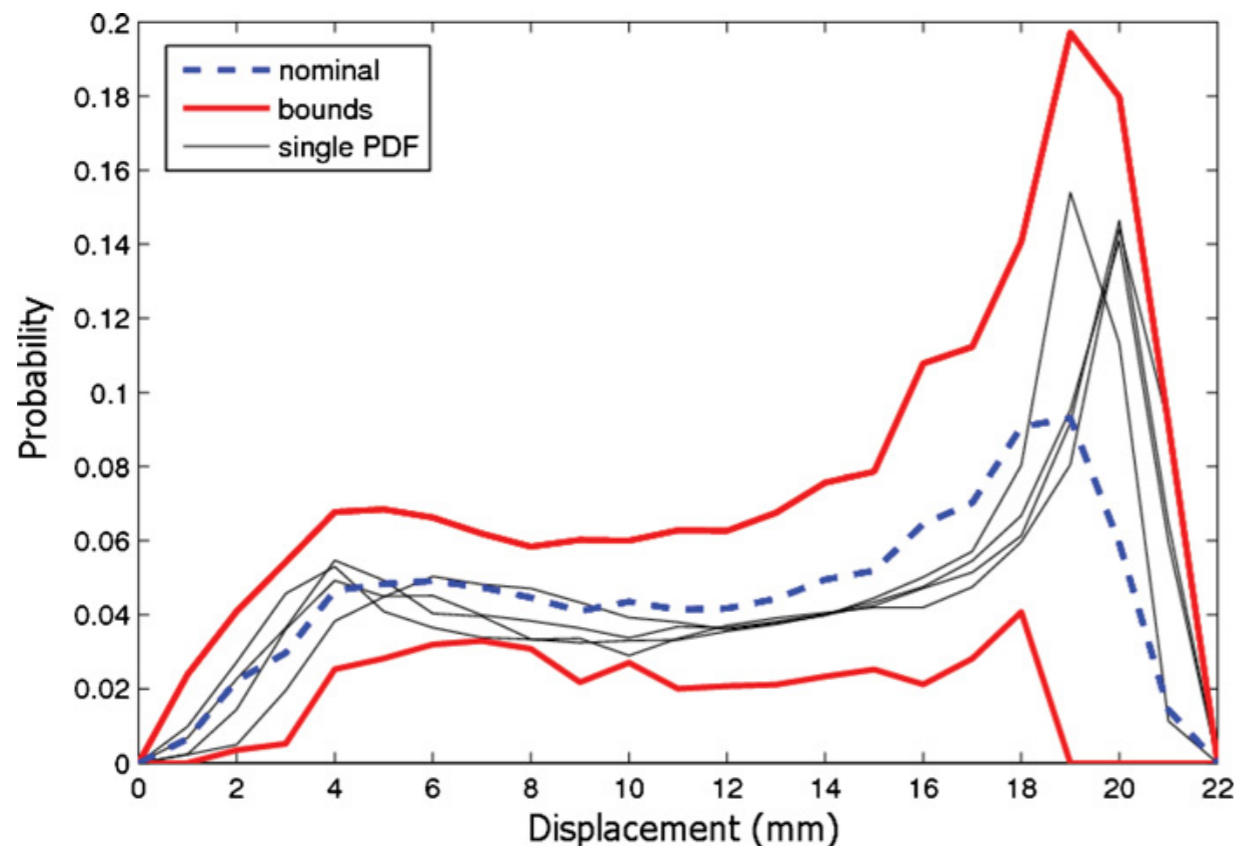
# Dose Volume Histogram : lung case



Mean dose to left lung is reduced by 20% a.r.t. adding margin

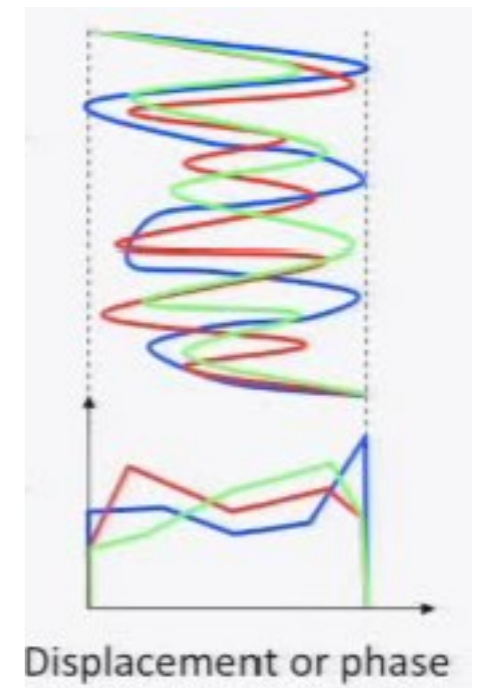
# Assumptions

- Based on probability functions for the breathing
- Has to be known perfectly
- Incorporation of this uncertainty
- Combination of horns and margins

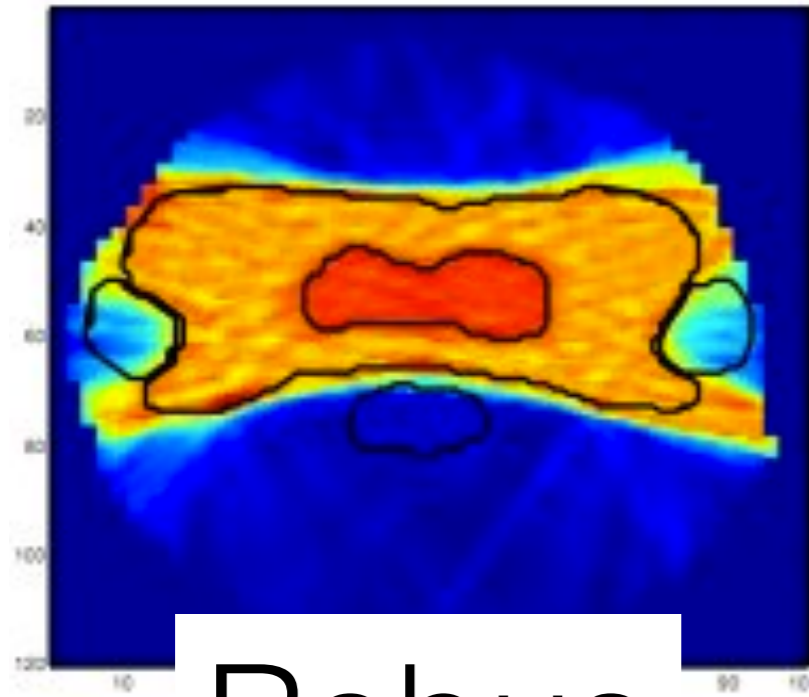


Breathing

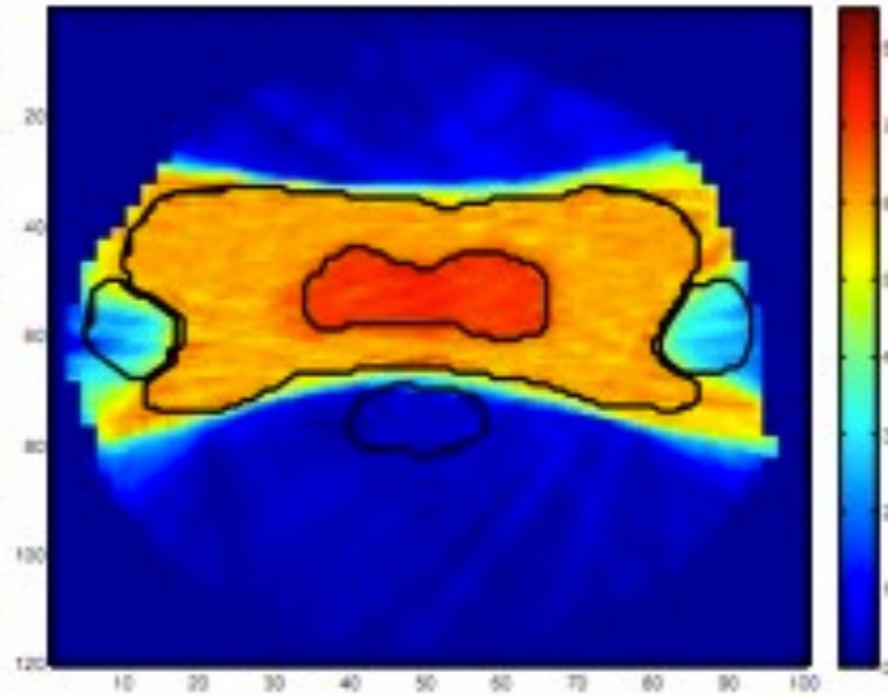
Prob Fct.



# Example H&N

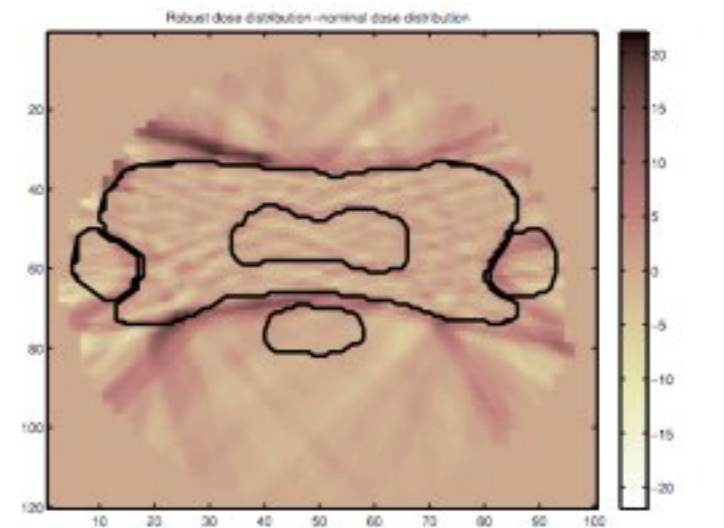


Robust  
t



(b)  
Non-robust

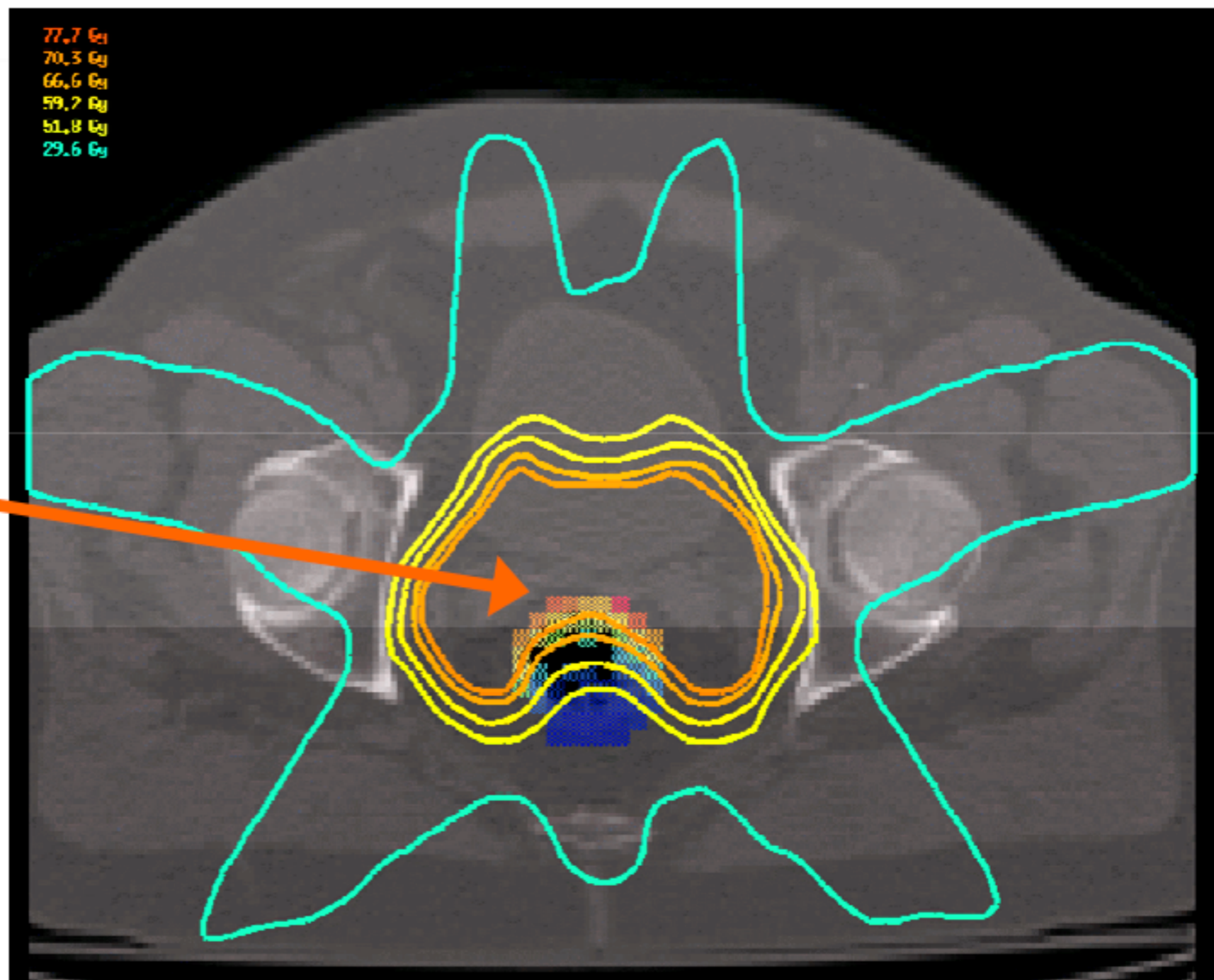
Difference



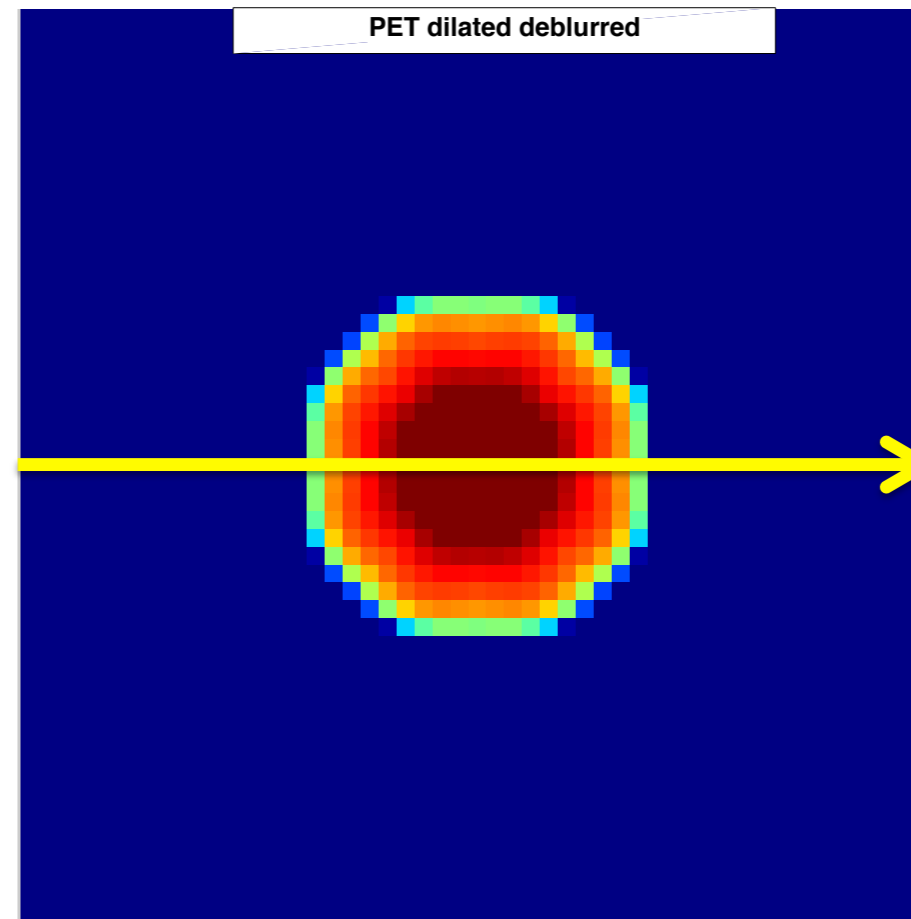
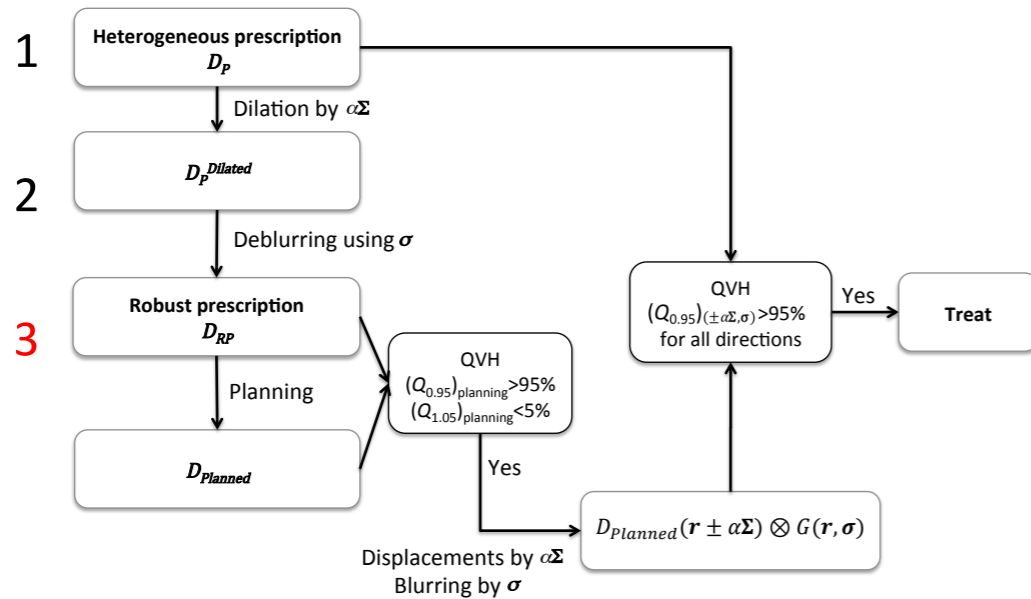


....look at the optimisation function!

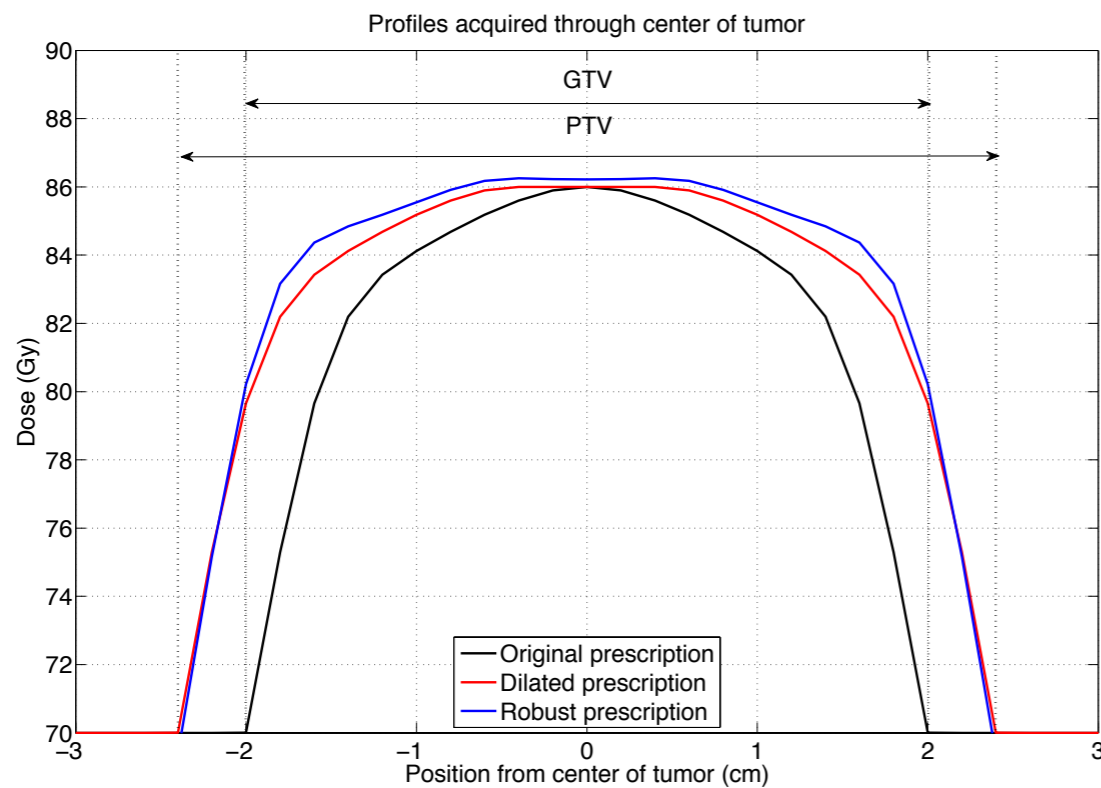
Volume of greatest weight in optimization



# Alternative : robust prescription



Spherical tumor



Only dependent on  $\sigma$  and  $\Sigma$   
independent of TPS

# Conclusions

- In cases with small movements IGRT strategies will counter the effects on the dose distribution.
- In Other cases the PTV concept might not suffice in maintaining coverage
- Effects depend on interplay between the frequencies and amplitudes of the different processes
- Gating and tracking might be a possible countermove in selected cases.

## Some wilder conclusions...

- Robust planning and optimisation could be the starting point of a new way of planning and evaluating dose distributions.
- Possibility of incorporating uncertainties into the planning phase (and very wild: dump the PTV notion?)
- To allow this uncertainties have to be known in a pretty high degree.
- to be continued...



A wise man's words...

“Simulating the effect of geometrical uncertainties on the individual patient plan should become part of the standard pre-treatment verification procedure.”

(M.Schwarz, 2006)

# Pelvis irradiation: overview of dose-volume predictors and NTCP parameters

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Giovanna Gagliardi  
Dept of Medical Physics  
Karolinska University Hospital, Stockholm

# Organs at risk

- Rectum
- Bladder
- Small bowel
- Penil bulb



Radiotherapy and Oncology 93 (2009) 153–167



ELSEVIER

Contents lists available at ScienceDirect

Radiotherapy and Oncology

journal homepage: [www.thegreenjournal.com](http://www.thegreenjournal.com)



Systematic review

Dose–volume effects for normal tissues in external radiotherapy: Pelvis

Claudio Fiorino <sup>a,\*</sup>, Riccardo Valdagni <sup>b</sup>, Tiziana Rancati <sup>b</sup>, Giuseppe Sanguineti <sup>c</sup>

## RECTUM – main complications

---

- rectal bleeding
- foecal incontinence
- stool frequency
  
- ca. prostate /cervical ca
  
- acute: diarrhea, pain, superficial ulcerations, treatment for anemia, transfusion
- late (3-4 ys after RT): stricture, diminished rectal compliance, fecal incontinence



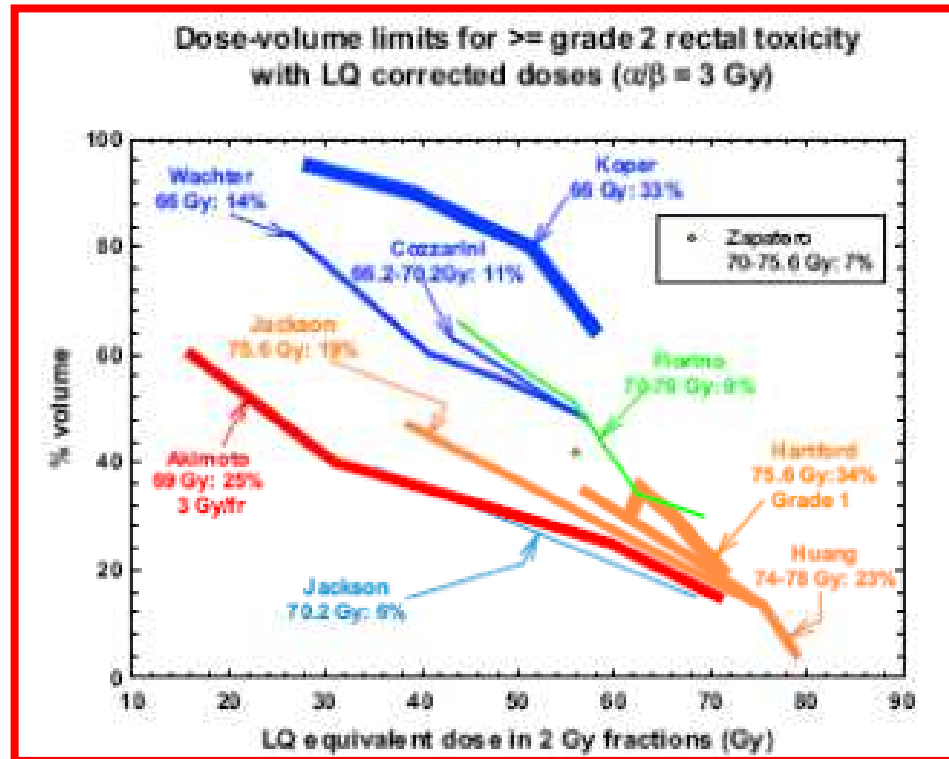
## Rectal bleeding

---

- Grade\_2: moderate diarrhea or colic, bowel movement, excessive rectal mucus, intermittent bleeding
- Grade 3: obstruction, bleeding requiring surgery

•**risk factors** for late rectal toxicity: hormonal therapy, diabetes, severe acute toxicity, advanced age, prior abdominal surgery

# RECTUM, late rectal bleeding DVH description



**Thicker** lines indicate higher rates of overall toxicity

- Late rectal toxicity: doses  $\geq 60$  Gy
- Convergence at the high dose range  $< 70$  Gy and volumes  $< 20\%$
- Uncertain interpretation of the role of the intermediate doses?

Michalski *et al*, *IJROBP* vol 76, n3, S123-S129, 2010

QUANTEC Supplement 2010

# RECTUM, late rectal bleeding

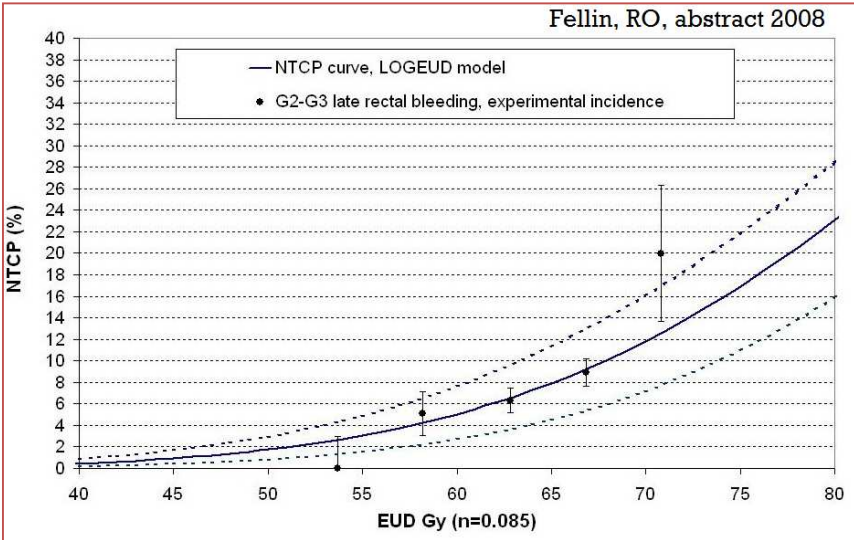
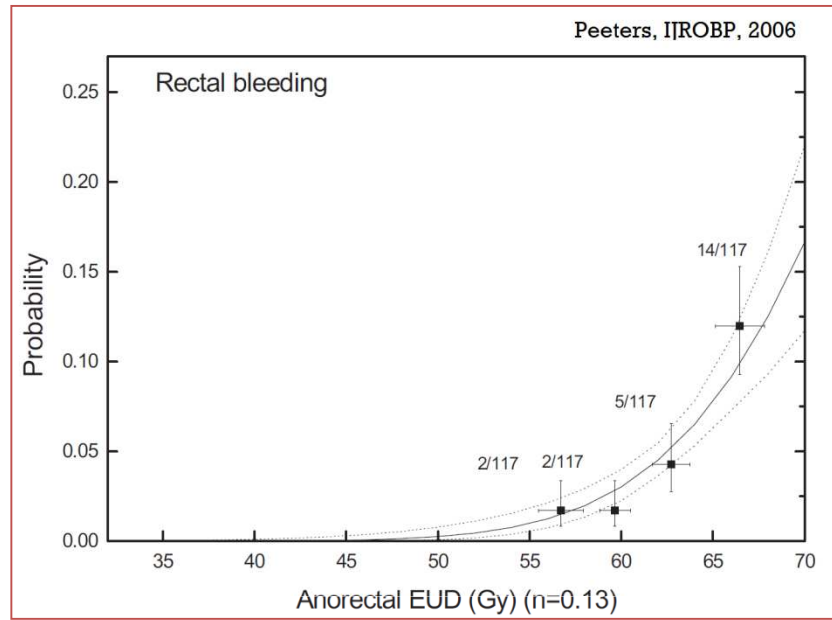
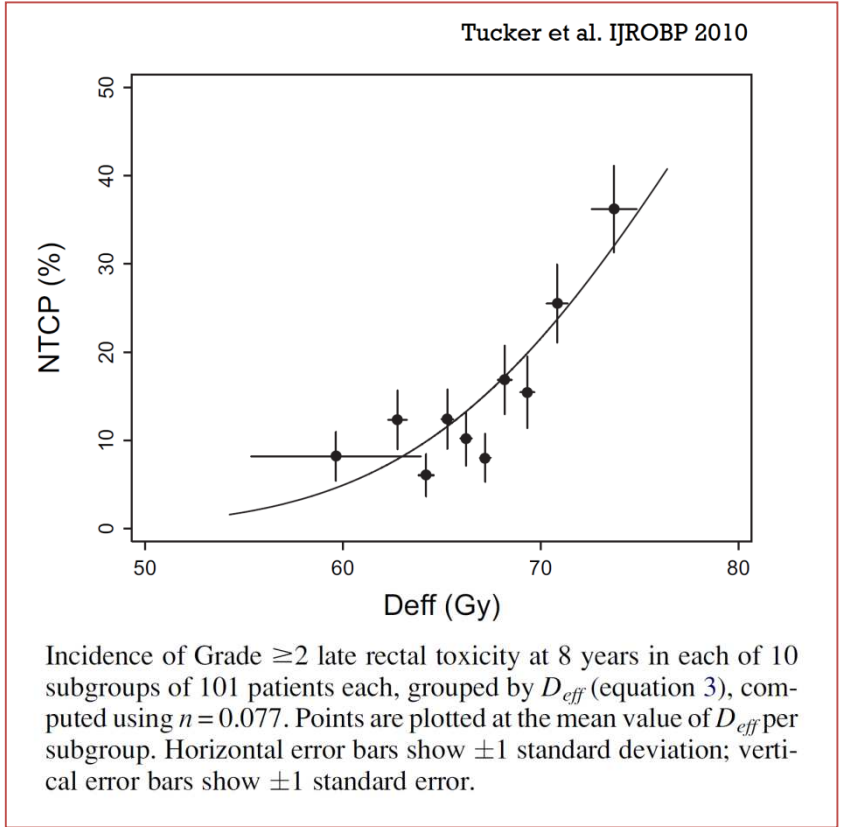
## NTCP description

<b>Organ: Rectum</b>			<b>End-point: late rectal bleeding (NTCP)</b>			
<i>Ref.</i>	<i>n° pts</i>	<i>Dose range</i>	<i>LKB model parameters</i>			<i>Comments</i>
			<i>n</i>	<i>D50(Gy)</i>	<i>m</i>	
Rancati 2004	547	64-79.2 Gy 1.8-2Gy/fr	0.24	81.9	0.19	<b>G2-G3 late rectal bleeding;</b> solid rectum including filling; including 90 non-conformal patients
Rancati 2004	547	64-79 Gy 1.8-2Gy/fr	0.06	78.6	0.06	<b>G3 late rectal bleeding;</b> solid rectum including filling; including non-conformal patients
Peeters 2006	468	68-78 Gy 2 Gy/fr	0.13	80.7	0.14	<b>G3 late rectal bleeding;</b> <i>rectal wall</i>
Soehn 2007	319	70.2-79.2 Gy 1.8 Gy/fr	0.08	78.4	0.11	<b>G2-G3 late rectal bleeding;</b> rectal wall defined starting from solid rectum contours + 3-4mm thickness
Fellin 2008	1119	64-81.6 Gy 1.8-2 Gy/fr	0.085	97.7	0.27	<b>G2-G3 late rectal bleeding;</b> solid rectum including filling; including 90 non-conformal patients
Tucker 2010	1010	68.4 -79.2Gy 1.8 Gy/fr	0.077	79.1	0.146	<b>G2-G3 late rectal bleeding;</b> solid rectum including filling
Gulliford 2012	388	64-74 Gy 2Gy/fr	0,12	68.2	0.14	<b>G2 late rectal bleeding</b> solid rectum including filling

# RECTUM, late rectal bleeding NTCP description

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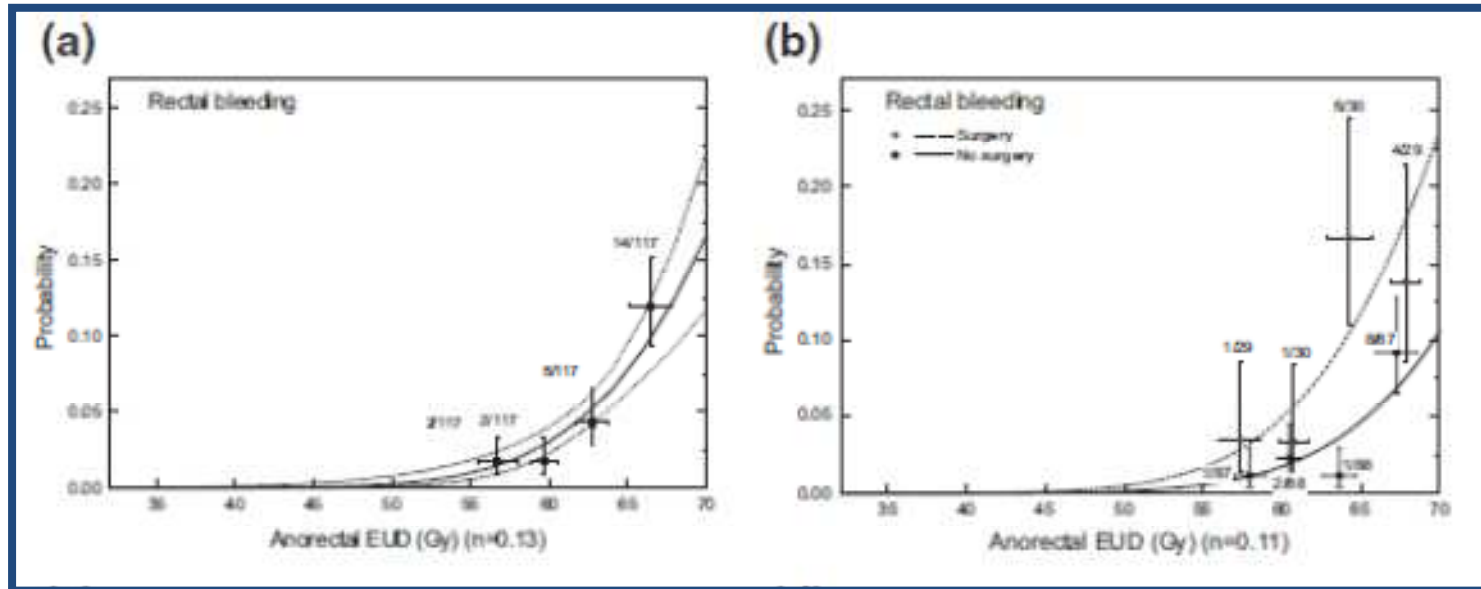
	<b>Organ:</b> Rectum	<b>End-point:</b> late rectal bleeding (NTCP)	
Re	<p style="text-align: center;"><b>Quantec NTCP parameters</b></p> <p style="text-align: center;"><math>n=0.09</math></p> <p style="text-align: center;"><math>D50=76.9\text{Gy}</math></p> <p style="text-align: center;"><math>m=0.14</math></p>		
Rancat			
Rancat			
Peeters			
Soehn			um
Fellin			
Tucker			
Gullifor			



# Large data collections

# RECTUM late rectal bleeding

## DVH + clinical risk factors (abdom. surgery)

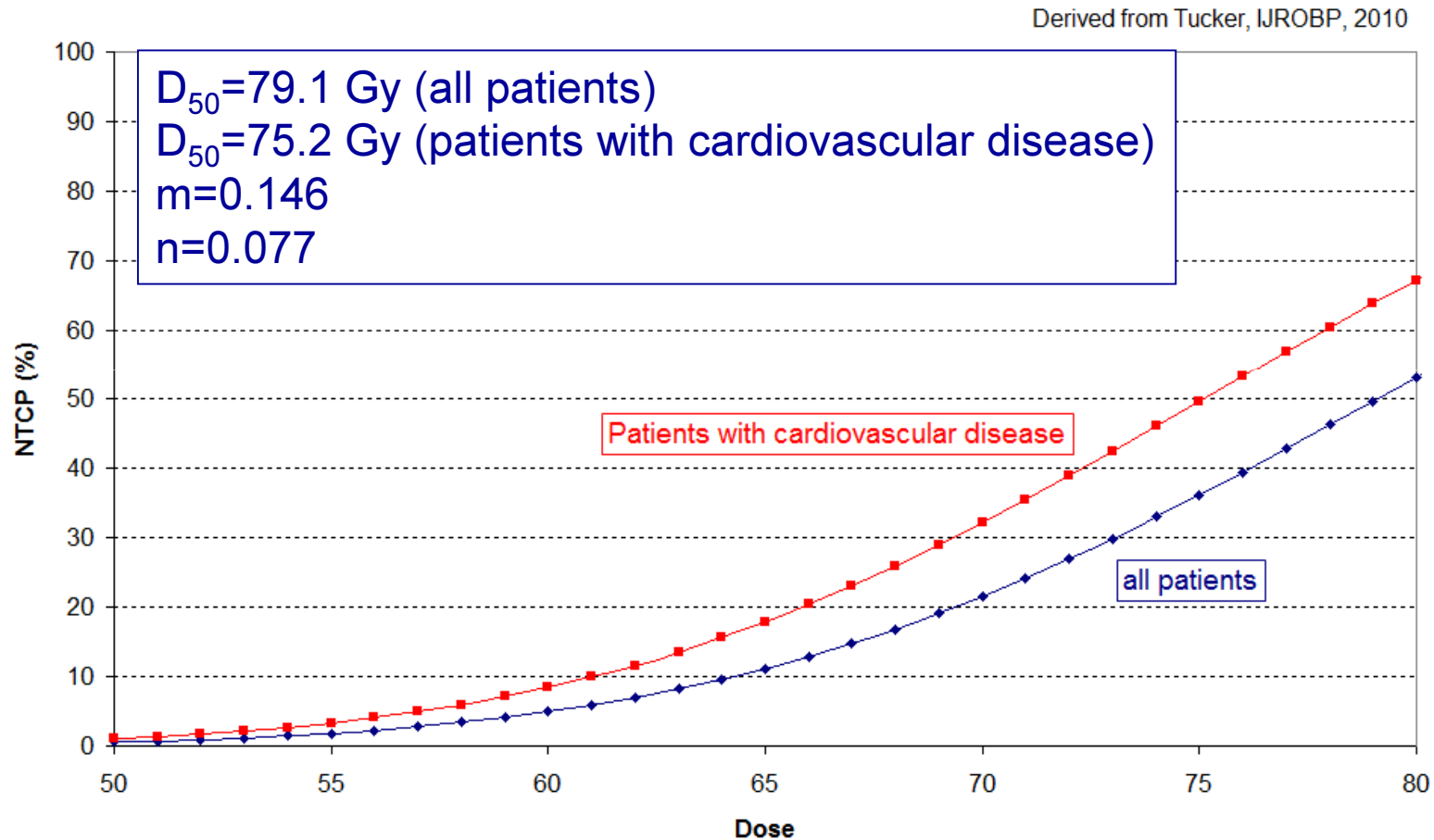


LKB	<i>TD50 (Gy)</i>	<i>m</i>	<i>n</i>
Observed	81 (75-90)	0.14 (0.11-0.19)	0.13 (0.04-0.25)
w/o previous abd. surgery	85 (78-96)	0.14 (0.11-0.19)	0.11 (0.02-0.23)
with previous abd. surgery	78 (72-89)		

Including clinical risk factor: lower tolerance to radiation (also for fecal incontinence)

# RECTUM: late rectal bleeding

## DVH + clinical risk factors (cardiovascular disease)

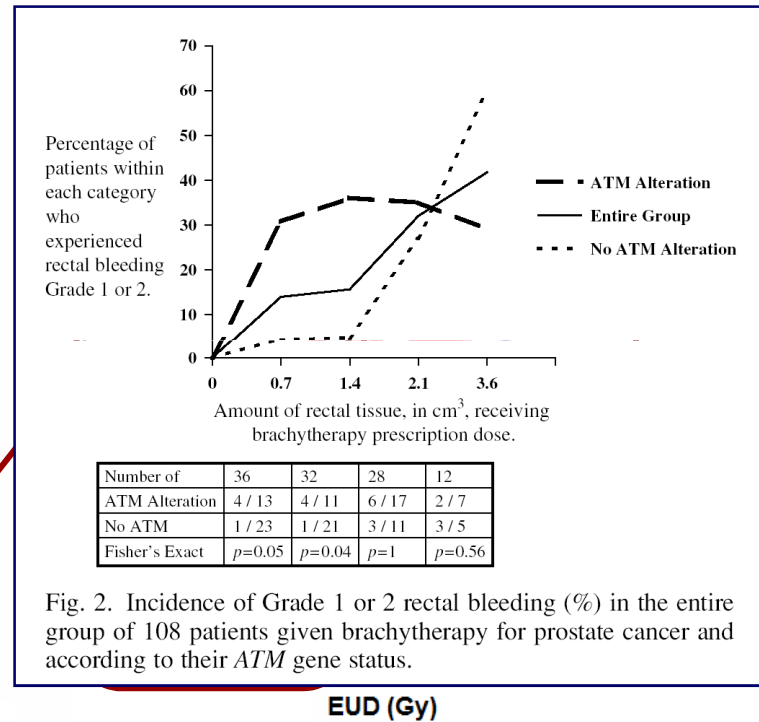


# Is there a genetic component in late rectal bleeding?

The data seem to confirm Cesaretti et al's findings (in brachytherapy) on the possible genetic component of rectal bleeding

Percentage of patients within each category who experienced rectal bleeding Grade 1 or 2

**Low-dose region: the genetic makeup might play the major role**

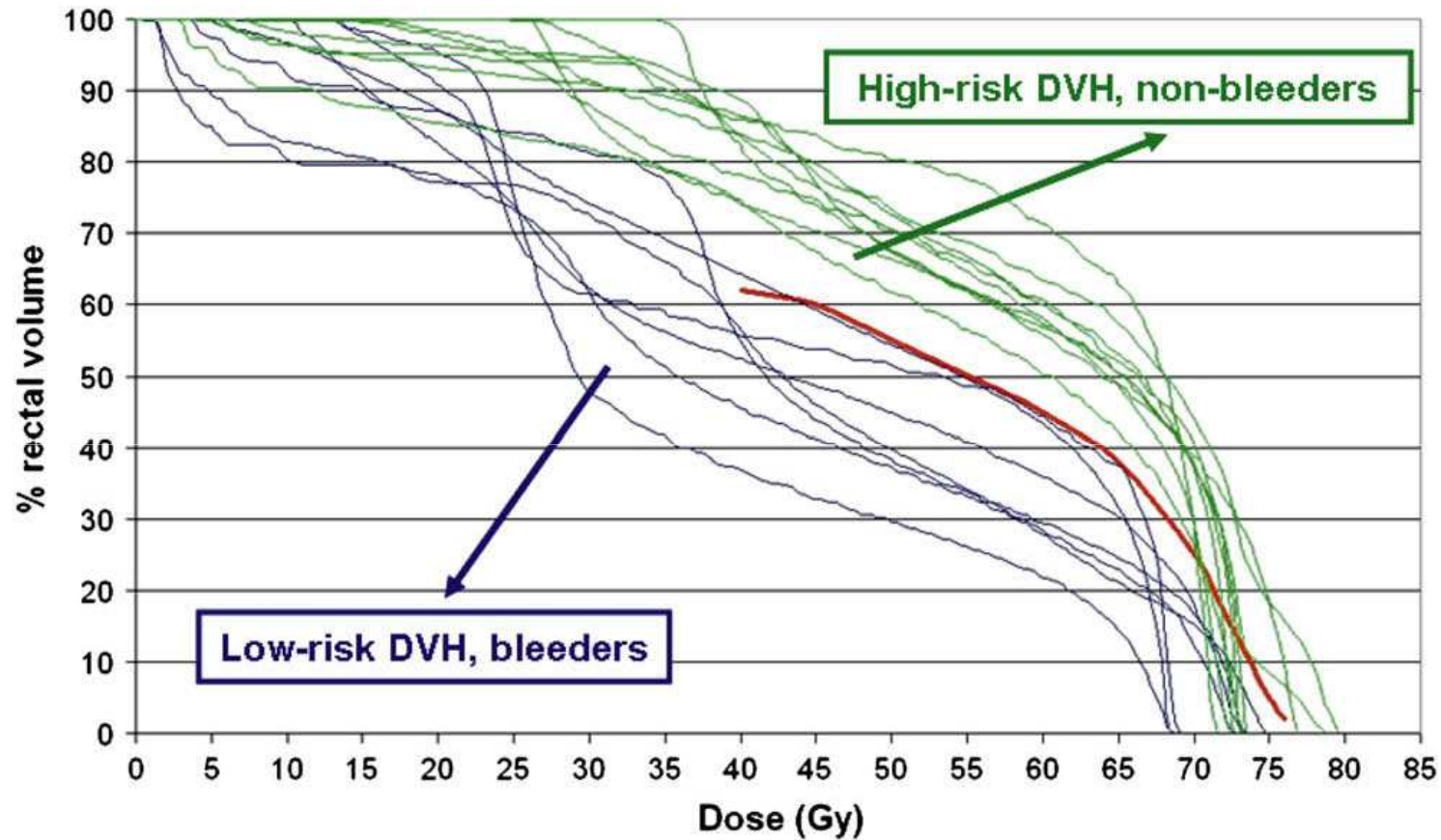


**High-dose region: the genetic makeup plays a minor role and the dose the major role**

LSM7 alteration  
Entire population  
no LSM7 alteration

When more pts are available, it might be reasonable to unveil the double nature of the dose-response relationship also for external radiation





Dose–volume histograms (DVHs) for patients belonging to the low-risk bleeder (blue lines) and high-risk nonbleeder groups (green line). The red line is the cut-off DVH value that can be derived from literature-based dose–volume constraints.

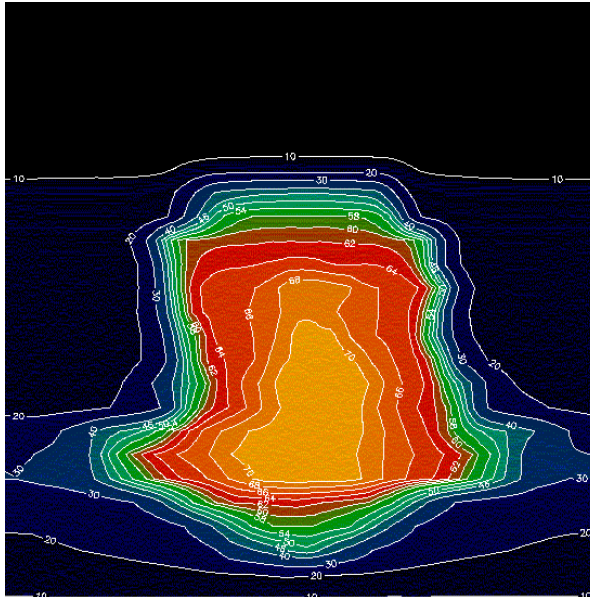
## Rectum, summary

Organ	Volume segmented	Irradiation type (partial organ unless otherwise stated)	Endpoint	Dose (Gy), or dose/volume parameter <sup>1</sup>	Rate (%)	Notes on dose/volume parameters
Rectum	Whole organ	3D-CRT	Gr <sub>de</sub> ≥ 2 late rectal toxicity, Gr <sub>de</sub> ≥ 3 late rectal toxicity	V <sub>50</sub> < 50%	<15 <10	Prostate cancer treatment
	Whole organ	3D-CRT	Gr <sub>de</sub> ≥ 2 late rectal toxicity, Gr <sub>de</sub> ≥ 3 late rectal toxicity	V <sub>60</sub> < 35%	<15 <10	
	Whole organ	3D-CRT	Gr <sub>de</sub> ≥ 2 late rectal toxicity, Gr <sub>de</sub> ≥ 3 late rectal toxicity	V <sub>65</sub> < 25%	<15 <10	
	Whole organ	3D-CRT	Gr <sub>de</sub> ≥ 2 late rectal toxicity, Gr <sub>de</sub> ≥ 3 late rectal toxicity	V <sub>70</sub> < 20%	<15 <10	
	Whole organ	3D-CRT	Gr <sub>de</sub> ≥ 2 late rectal toxicity, Gr <sub>de</sub> ≥ 3 late rectal toxicity	V <sub>75</sub> < 15%	<15 <10	

### Recommendations:

- Organ definition, from above the anal verge to the turn in the sigmoid colon
- $V_{50} < 15\%$ ,  $V_{60} < 35\%$ ,  $V_{65} < 25\%$ ,  $V_{70} < 20\%$ ,  $V_{75} < 15\%$   
 for  $\leq 15\%$  Gr<sub>de</sub> ≥ 2 late tox  
 for  $< 10\%$  Gr<sub>de</sub> ≥ 3 late tox
- IMRT: intermediate (40-60 Gy) doses for pts <78Gy ?

# Rectal bleeding: dose-volume, dose-surface or dose wall?



- Empty rectum:  $DWH \approx DVH$
- Full rectum:  $DWH \approx DSH$

Robust solutions:

**Empty the rectum at plan CT scan and use DVH**

**Use DSH for distended rectum (rectal balloon)**

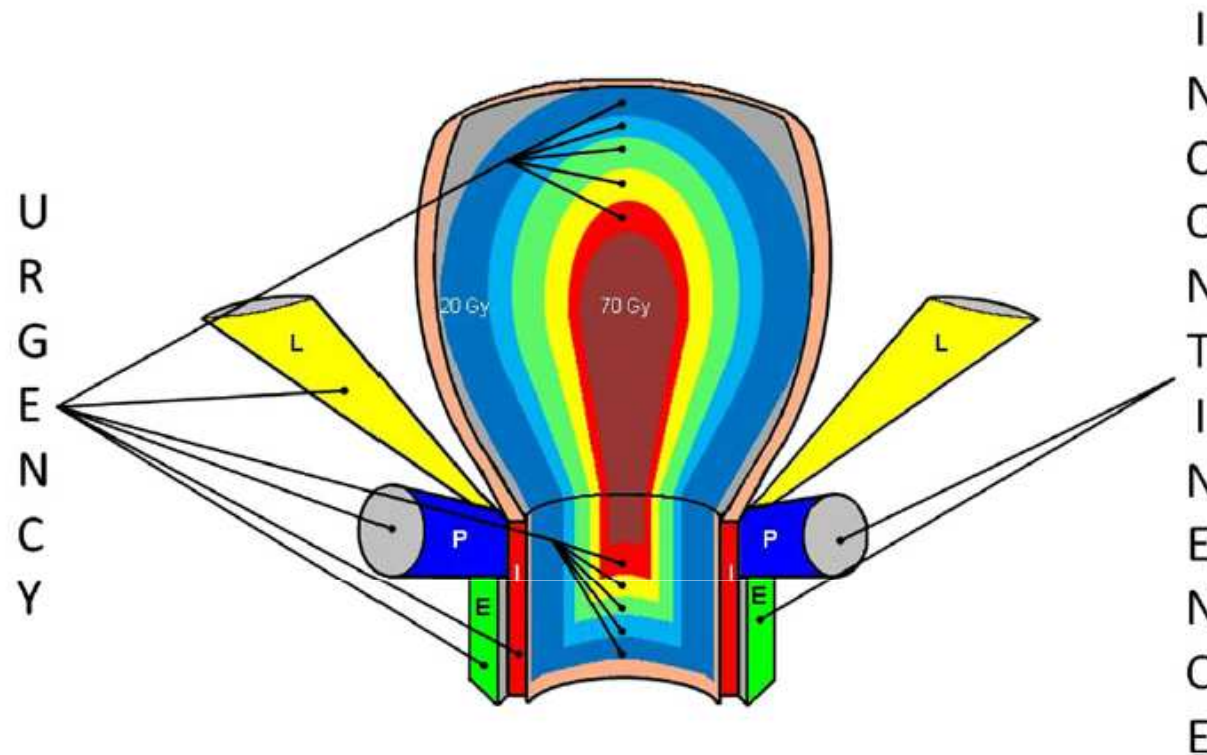
Several prospective studies ongoing

Sanchez-Nieto B, Fenwick J F, Nahum A E *et al* 2001  
Biological dose surface maps: evaluation of 3D dose data  
for tubular organs *Radiother. Oncol.* **61** S52

# FOECAL INCONTINENCE

---

- Volume(s) definition
- Quantification: need prospective studies/self reported toxicities/symptoms persistency
- Risk factors: age, baseline situation
- Complex anatomy and radiobiology
  
- Occur in ca 5% pts, but chronic symptoms
- V40 < 80% (or mean rectal dose < 45-50 Gy)



**Fig. 2.** Schematic image shows rectum, anal canal, and individual pelvic floor muscles. I = internal anal sphincter; E = external anal sphincter; P = puborectalis muscle; L = levator ani muscles. Lines represent associations between complaints and subsites.

- 48 pts, separate delineation of specific pelvic floor muscles
- Toxicity scale, scoring every 6 months
- EQD corrected doses

# Foecal incontinence

---

- Occurrence of urgency correlated to incontinence and frequency
- No significant different toxicities with conv vs IRMT
- All mean doses higher in the group with urgency
- Mean dose  $\leq 30$  Gy to the internal anal surface,  
 $\leq 10$  Gy to the external anal surface  
 $\leq 50$  Gy to the puborectalis muscle  
 $\leq 40$  Gy to levator ani muscle
- Endorectal balloon: fewer complaints in this group (28pts)

Constraints to pelvic muscle floor to reduce incontinence related complaints

# Foecal incontinence

---

- **Prospective** study (> **500 pts**), self reported questionnaires
- Taking into account **severity** and **duration** of symptoms
- **V40>80%**: predictor of Mean Incontinence Score together with previous bowel symptoms, antiypertensive, surgery
  
- **Two patterns for occurrence of foecal incontinence after RT:**
  - 1: consequent to **acute effects** and mainly responsible for **peak events** (ca 1/2 pts recovering from symptoms)
  - 2: needs longitudinal analysis- it results form the irradiation of large fraction of the rectum at intermediate doses (V40), presence of pretreatment bowel symptoms, previous abdominal-pelvive surgery for chronic incontinence
    - Vascular damage, more than damage to sacral nerve ( hypertensive drugs work)  
Fiorino *et al*, 2011

# Summary RECTUM: dose-volume response relationships

- Late rectal bleeding: **serial** description
- DVH/NTCP description: consistent ( $10^3$  pat)
- Abdominal/pelvic surgery- important predictor, modified dose-volume constraints and NTCP
  
- Foecal incontinence: seems parallel
- V40, D mean best predictors
- Clinical predictors important
- Longitudinal definitions of the endpoints
- Spatial aspects?



# BLADDER

---

## **4. REVIEW OF DOSE–VOLUME DATA**

To date, no studies have comprehensively reported the true three-dimensional (3D) bladder dosimetry in relation to toxicities. A single 3D image set (SimDVH) is of questionable validity. Data for whole-bladder RT vs. partial-bladder doses are discussed by cancer type.

QUANTEC, IJROBP, 2010

3-years GU (G2-3) toxicities correlated with both high and “low” dose if empty bladder (Harsolia, IJROBP, 2007)

V30Gy < 33.5 cc    2%    G3

V30Gy > 33.5 cc    20%    G3

V82Gy < 2.5 cc    2%    G3

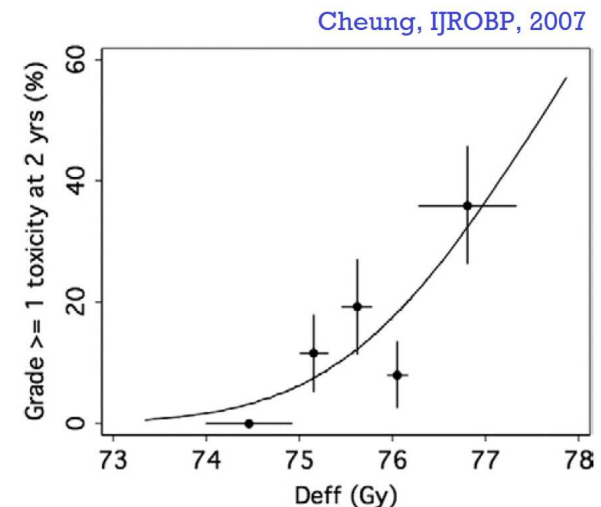
V82Gy > 2.5 cc    12%    G3

---

8 years GU (G1-3) toxicities correlated with **“hottest volume”**

V78Gy < 2.9 %    25 % 8-years risk

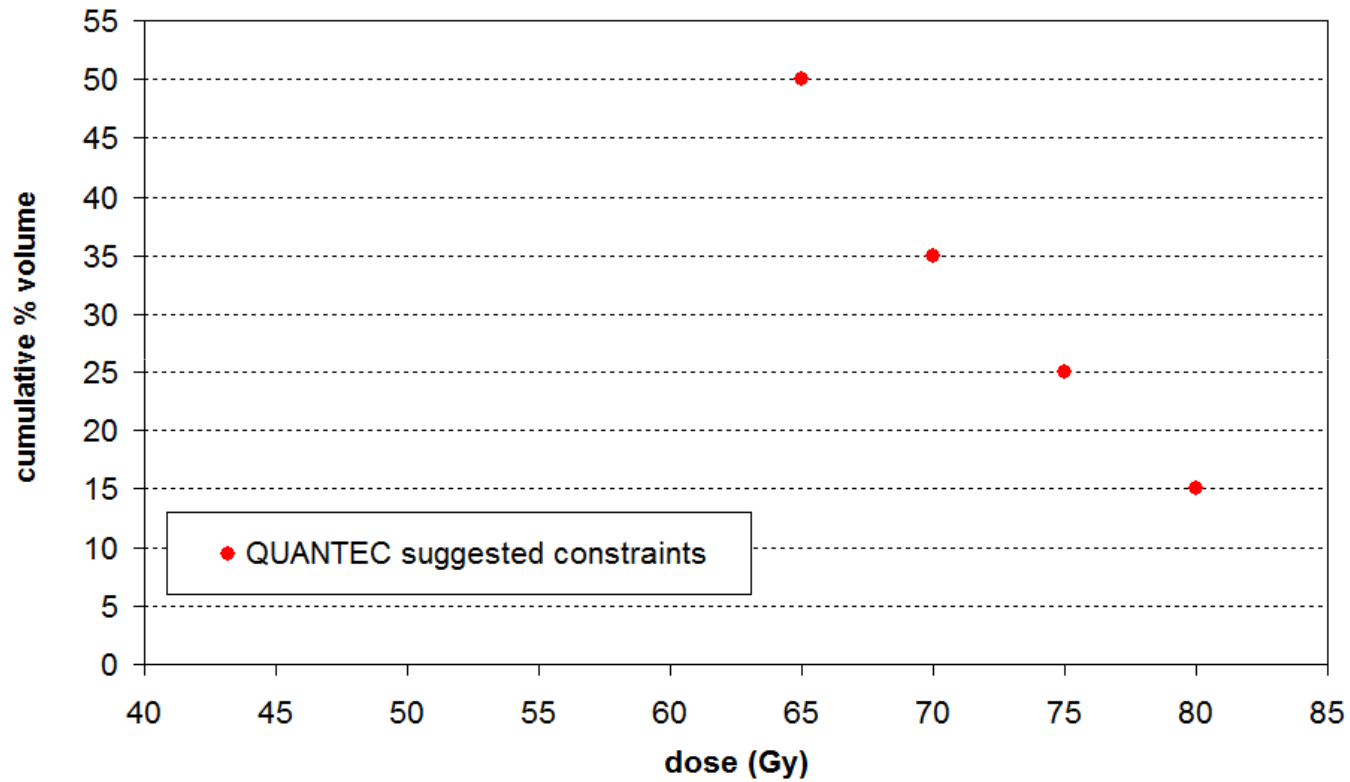
V78Gy > 2.9 %    50 % 8-years risk



# BLADDER: urinary toxicity

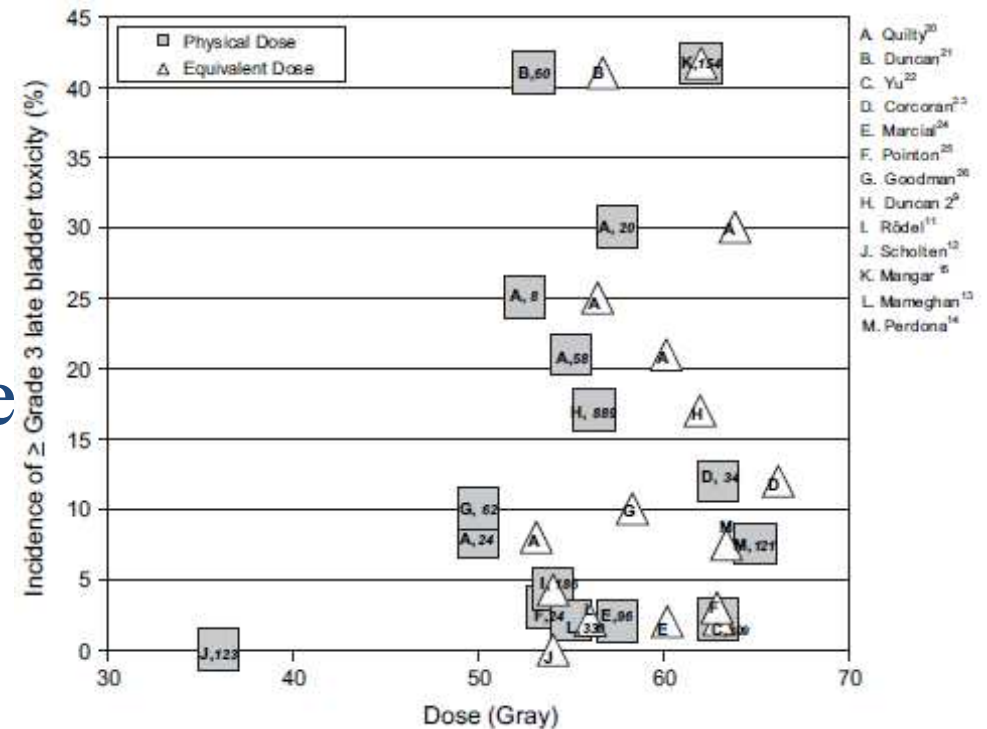
## QUANTEC constraints (based on RTOG 0415)

---



# GU toxicity- what do we know?

- Quantec summary: not much....
- Whole bladder irradiation: 55Gy threshold for late grade 3 RTOG tox
- Clinical factors hardly influence the outcome
- Variable filling?
- 8 ys fu: hottest volume
- 3 ys fu: both high and low dose



## Quantifying Unnecessary Normal Tissue Complication Risks due to Suboptimal Planning: A Secondary Study of RTOG 0126

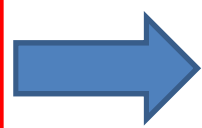
Moore *et al*, IJROBP, 2015

QC study on:            a) treatment planning  
                                  b) QUANTEC guidelines for rectal  
                                  complications >2gr

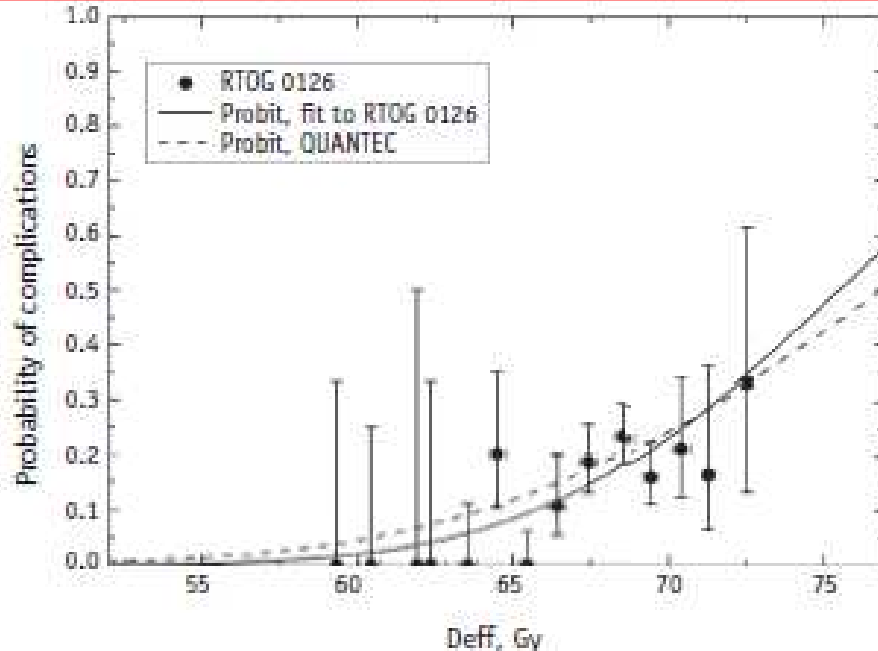
- Purpose - Effect of suboptimal planning in IMRT (frequency and clinical severity of quality deficiencies)
- Material - RTOG 0126, 219 pts from the high dose arm (79.2 Gy in 44 frcs)

(RTOG0126: Phase 3 randomised study of High Dose 3D CFRT/IMRT vs Standard Dose 3D CFRT/IMRT)

- Plans analyzed with knowledge-based DVH predictions, based on differences (dose metrics and NTCP values) between the predicted "best practice" plan and the clinical plan.



**Plan quality deficiencies in RTOG 0126 exposed patients to substantial excess risk for rectal complications (less for bladder)**



**QUANTEC validation for rectal complication > gr 2: ok!**

**Fig. 2.** Validation of QUANTEC LKB NTCP model. Observed grade  $\geq 2$  late GI toxicities agree with predictions based on QUANTEC (17) recommended LKB parameters (dotted line). Dose-response curve obtained with parameters fitted to RTOG 0126 data is shown for comparison (solid line). Vertical error bars are 68%

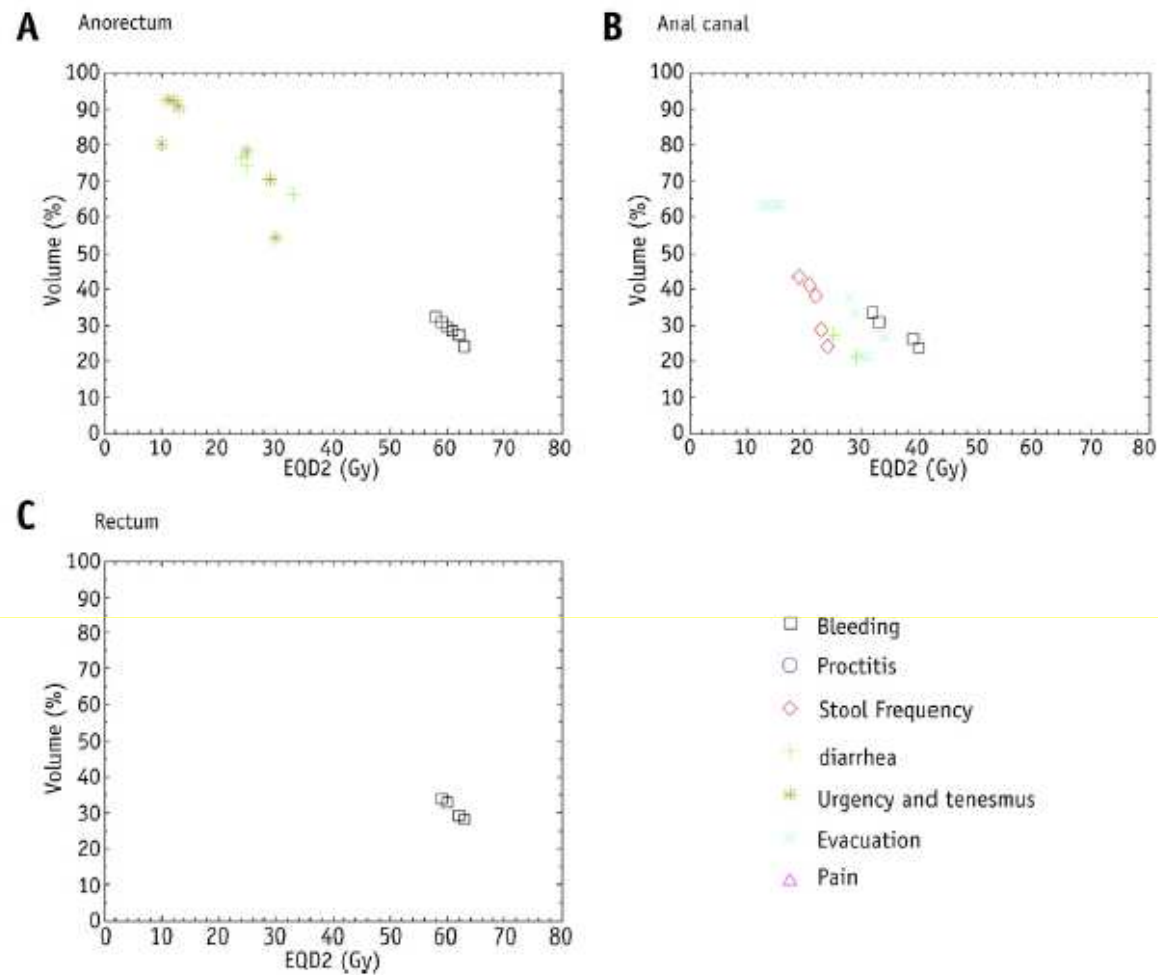
...more results from large studies!

**Gastrointestinal Dose-Histogram Effects in the Context of Dose-Volume–Constrained Prostate Radiation Therapy: Analysis of Data From the RADAR Prostate Radiation Therapy Trial**

**Dataset:** multicentral trial, **754 pts**, TROG 0304 RADAR LENT and SOMA **toxicity assessment**



- Anatomical dependence of specific GI toxicities
- Dose-volume constraints for different (parts of) OAR



**Fig. 5.** Important relative dose-volume constraints identified by multivariate regression for 3 GI regions, (a) anorectum, (b) anal canal, and (c) rectum. All values are for peak toxicity and have an OR > 1. EQD2 is for  $\alpha/\beta = 3.0$  Gy. EQD2 = equivalent dose in 2-Gy fractions; OR = odds ratio.



# BOWEL: dose-volume effect

Ref.	No. of pts	Doses <sup>a</sup>	Suggested constraints
Baglan [122]	40	45 Gy	Grade 3 CTC 3.0: V15Gy < 150 cc (V40Gy < 125 cc)
Roeske [123]	40	45 Gy	≥ Grade 2 RTOG: V45Gy < 150–200 cc
Tho [126]	41	45 Gy	≥ Grade 2 CTC 3.0: V15Gy < 100 cc (risk < 20%)
Huang [125]	80	39.6–45 Gy	≥ Grade 2 diarrhoea CTC 3.0: V16–18Gy and V40–45Gy independently predictive for pts without/with previous surgery, respectively
Robertson [127]	91	45 Gy	Grade 3 diarrhoea CTC 3.0: V15Gy < 120 cc V25Gy < 105 cc V40Gy < 71 cc
Sanguineti [124]	149	0/ 54 Gy <sup>b</sup>	≥ Grade 2 CTC 2.0: V15Gy < 1186 cc
Fiorino [128]	175	50.4–54 Gy	≥ Grade 2 RTOG: Intestinal cavity outside PTV/Whole cavity V50Gy < 35 cc/100 cc V45Gy < 100 cc/250 cc V40Gy < 150 cc/350 cc V30Gy < 300 cc/500 cc

# DOSE-VOLUME RELATIONSHIPS FOR ACUTE BOWEL TOXICITY IN PATIENTS TREATED WITH PELVIC NODAL IRRADIATION FOR PROSTATE CANCER

CLAUDIO FIORINO, PH.D.,\* FILIPPO ALONGI, M.D.,<sup>†‡</sup> LUCIA PERNA, PH.D.,\* SARA BROGGI, PH.D.,\* GIOVANNI MAURO CATTANEO, PH.D.,\* CESARE COZZARINI, M.D.,<sup>†</sup> NADIA DI MUZIO, M.D.,<sup>†</sup> FERRUCCIO FAZIO, PROF, M.D.,<sup>†</sup> AND RICCARDO CALANDRINO, PH.D.\*

Table 1. Modified Radiation Therapy Oncology Group/European Organization for Research and Treatment of Cancer acute toxicity scoring criteria used to assess bowel symptoms in current study

Grade 0	1	2	3	4
No change	Increased frequency or change in habits not requiring medication	Diarrhea requiring drugs/mucous discharge not necessitating sanitary pads/abdominal pain requiring analgesic	Diarrhea requiring parenteral support/severe mucous discharge requiring sanitary pads	Acute or subacute obstruction/abdominal pain or tenesmus requiring surgery

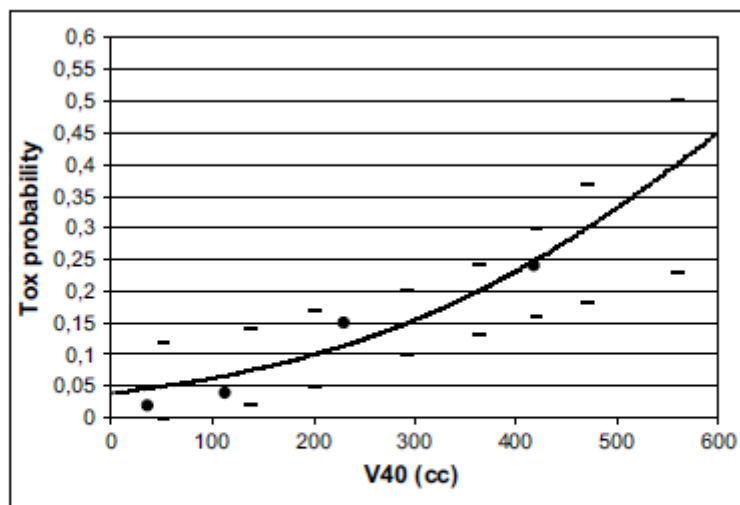
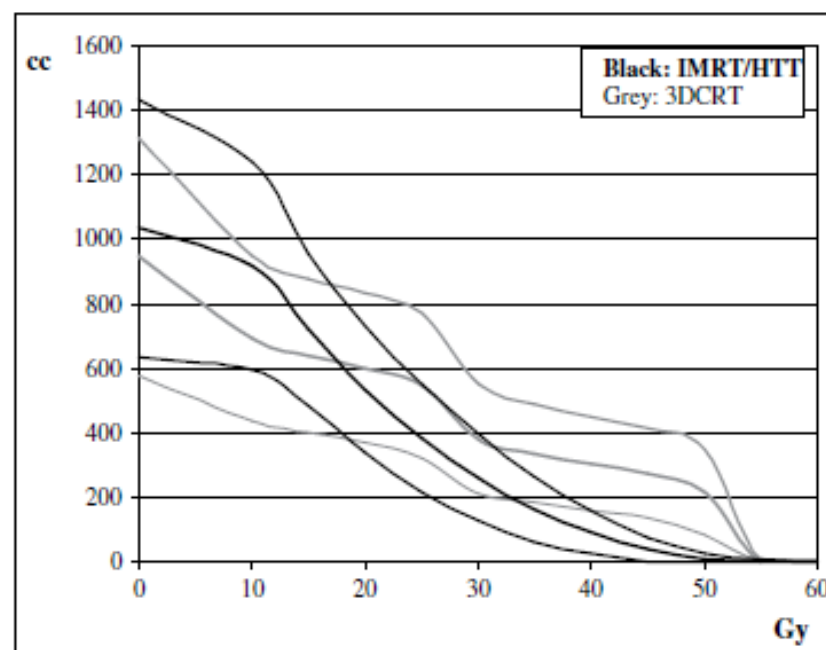


Fig. 1. The relationship between the V40 of the intestinal cavity (outside the planning target volume) and the risk of Grade 2–3 acute bowel toxicity is plotted, together with 95% confidence intervals (logistic curve).



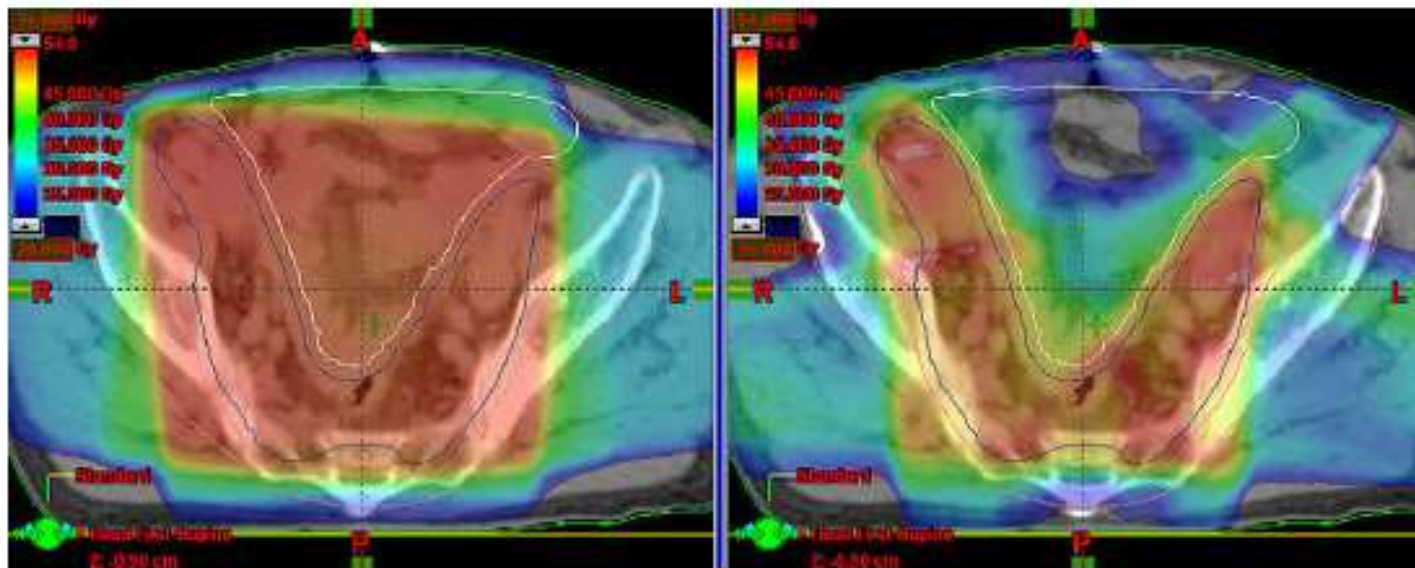
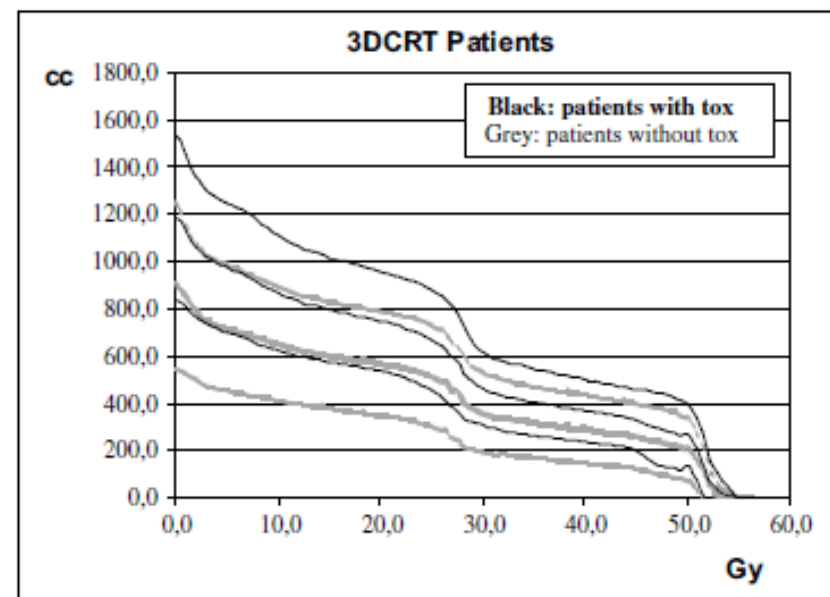
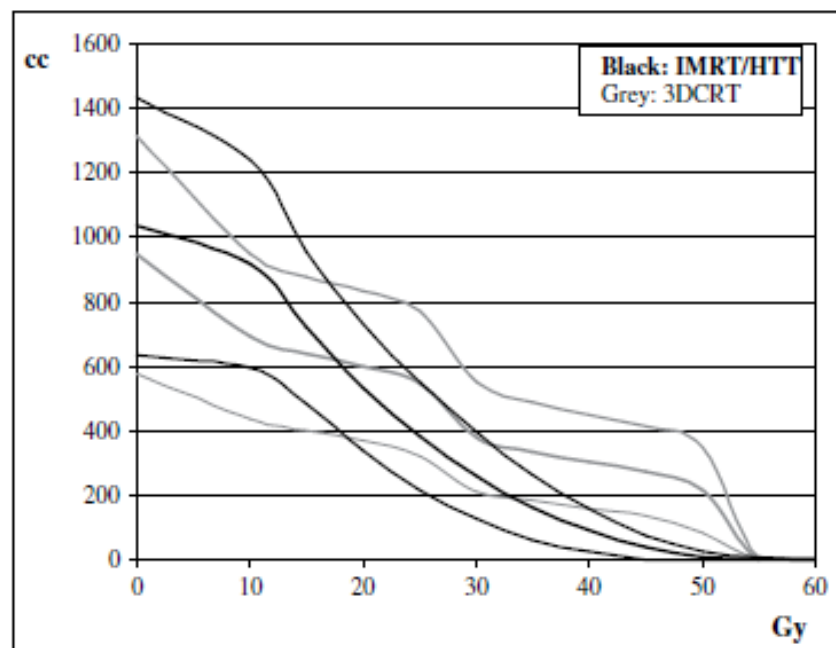
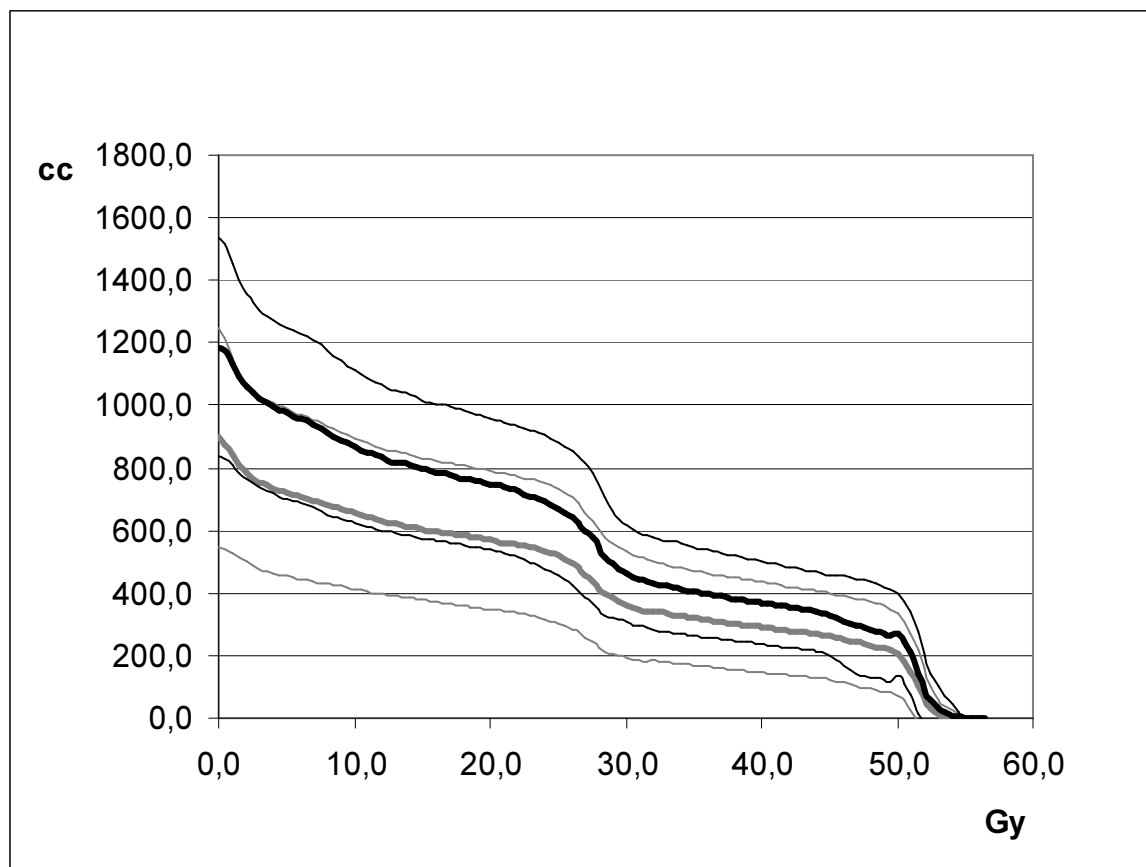


Fig. 5. An example of the dose distribution for three-dimensional conformal radiation therapy (3DCRT) and intensity-modulated radiation therapy (IMRT) on an axial slice passing through the pelvis: the 15- to 54-Gy dose range is shown in color wash. Dark contour = planning target volume (PTV); white contour = intestinal cavity outside PTV (IC).





**Black: pts with tox**

**Grey: pts without tox**

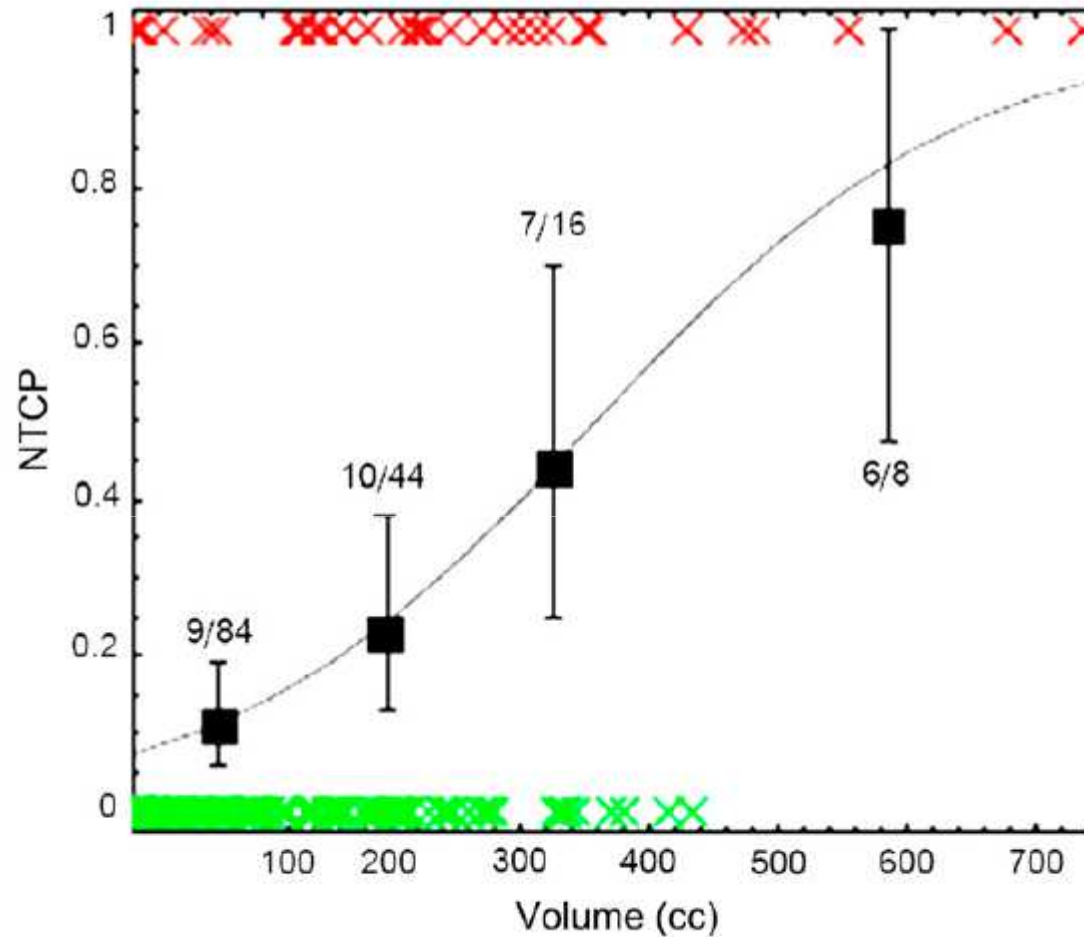
UVA: V15 best predictor compared to V40-

V50.....due to larger differences at low doses (geometry...no biology !!!!)

**V15 AS A SURROGATE OF THE HIGH-DOSE REGION IN THE BOX TECHNIQUE**

**NO BIOLOGICAL MEANING - DONT USE IT (ALONE) IN IMRT OPTIMIZATION...**

# BOWEL: dose-volume effect



V15Gy, rectal cancer patients, acute G3 diarrhea  
Concomitant chemotherapy  
Robertson IJROBP 2010

# PENILE BULB: erectile dysfunction

---

Erectile dysfunction (ED), the consistent inability to attain or maintain an erection of sufficient quality to permit satisfactory sexual intercourse, is a common complication resulting from radiotherapy (RT) for prostate cancer (1). Many patients choose RT for their clinically localized prostate cancer because they believe there may be a lower risk of ED compared with radical prostatectomy (RP); however, this remains controversial. Posttreatment ED rates have been reported to be approximately 24% (brachytherapy alone), 40% (brachytherapy plus external RT), 45% (external RT alone), 66% (nerve-sparing RP), 75% (non-nerve-sparing RP), and 87% for cryosurgery, but physician-reported rates are known to be less reliable than patient-reported outcomes, so the optimal comparison studies have yet to be done (2).

**QUANTEC 2010**

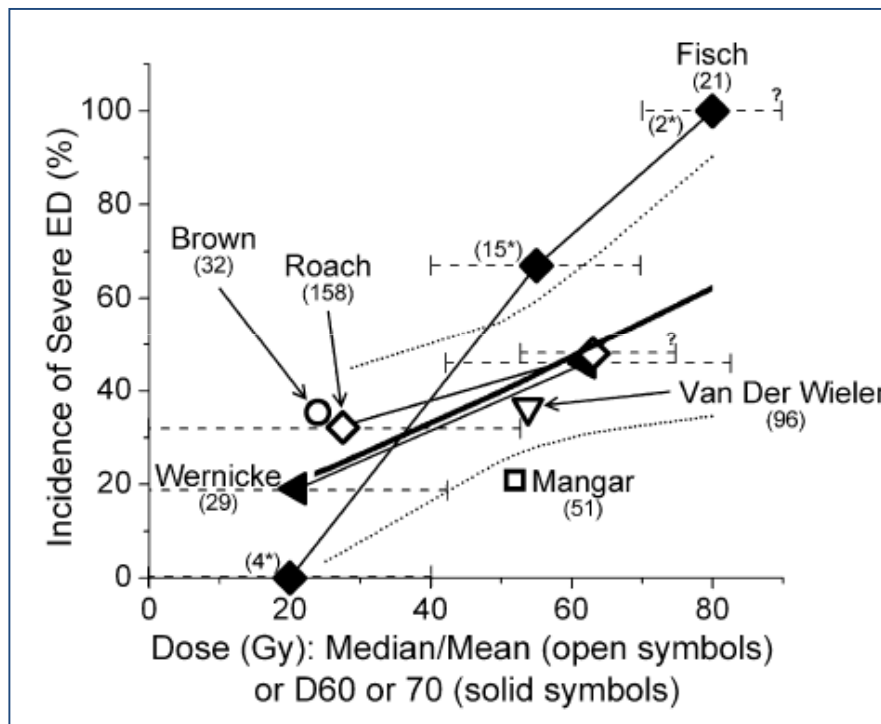
Table 1. Erectile dysfunction after external-beam radiotherapy and correlated parameters

First author, year (reference)	N	Assessment method*	Prescribed dose, treatment	OAR definition	Severe ED rate (%)	Correlated parameters	
						Dose-volume	Clinical
Fisch, 2001 (7)	21	Questionnaire <sup>†</sup>	65–72 Gy, 3D	Penile bulb	33 <sup>‡</sup>	D70 ≥ 70 Gy <sup>§</sup>	No other endpoints analyzed
Roach, 2004 (10)	158	Patient report, (RTOG) <sup>  </sup>	68.4 Gy, 73.8 Gy, 3D	Penile bulb <sup>¶</sup>	41	Median penile bulb dose ≥ 52.5 Gy <sup>¶</sup>	No other endpoints analyzed
Wemicke, 2004 (14)	29	Questionnaire <sup>†</sup>	66.6– 79.2 Gy, 3D	Penile bulb <sup>#</sup>	NS	D30 ≥ 67 Gy <sup>¶</sup> D45 ≥ 63 Gy <sup>¶</sup> D60 ≥ 42 Gy <sup>¶</sup> D75 ≥ 20 Gy <sup>¶</sup>	Alcohol and smoking not significant, dose and volume significant
Selek, 2004 (11)	28	Questionnaire <sup>†</sup>	78 Gy, 3D	Penile bulb <sup>#</sup>	35.7% at 2 y	Mean dose to penile structure 38.2 Gy, no dose-volume effect was found <sup>#</sup>	Up to 68% may have had ED posttreatment? ED correlated with hypertension
Mangar, 2006 (8)	51	Questionnaire <sup>†</sup>	64 Gy, 74 Gy, 3D	Penile bulb, crura and cavemosum <sup>**</sup>	24	D15, D30, D50, D90 of penile bulb <sup>¶</sup>	Adjusted for age, bulb volume, hypertension, and previous pelvic surgery
Zelefsky, 2006 (15)	561	Patient report (NCI) <sup>††</sup>	81 Gy, IMRT	##	49	Not evaluated	Hormone therapy
Brown, 2007 (5)	32	Questionnaire <sup>†</sup>	NS, IMRT	Penile bulb	34	No relationship noted	Hypertension, pre-RT erectile function
Cahlon, 2008 (6)	478	Patient report (NCI) <sup>††</sup>	86.4 Gy, IMRT	##	30	Not evaluated	Age >70 y, diabetes, hormone therapy
van der Wielen, 2008 (13)	70	Questionnaire <sup>†</sup>	68 vs. 78 Gy	Penile bulb	36	No correlations between ED and dose-volume of crura, or the penile bulb <sup>#</sup>	Adjusted for diabetes and history of cardiovascular disease
Pinkawa, 2009 (9)	123	Questionnaire <sup>†</sup>	70.2–72 Gy, 3D	NS	73 <sup>§§</sup>	Not evaluated	Age, diabetes

## QUANTEC 2010

Suggestion: D>40-50 Gy to the whole bulb associated with increased toxicity,  
 Observe: several studies do **not** find any correlation with dose

# Erectile dysfunction – dose response relationship?



## 8. RECOMMENDED DOSE/VOLUME LIMITS

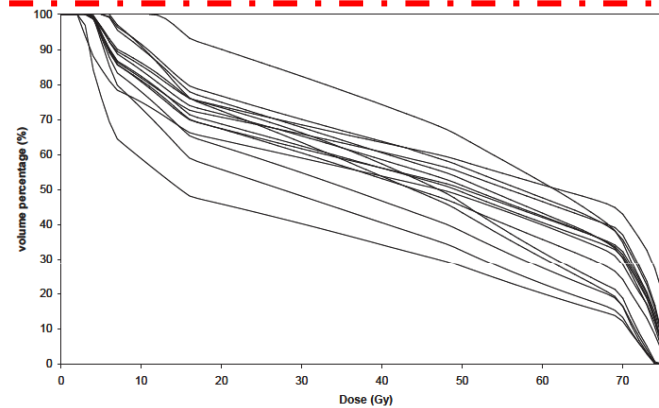
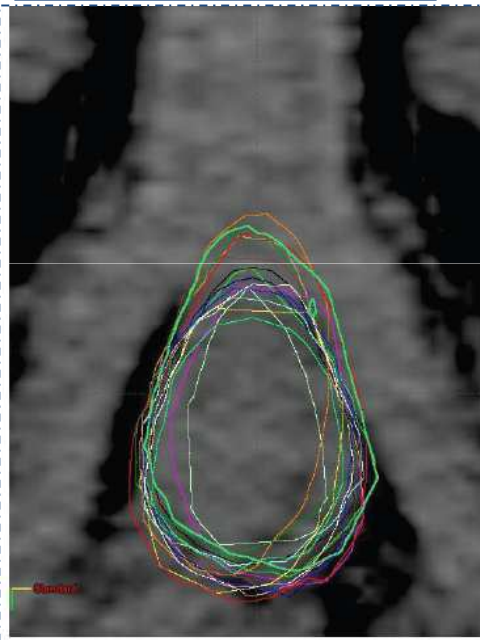
On the basis of the data available, it is prudent to keep the mean dose to 95% of the PB volume to <50 Gy. It may also be prudent to limit the D70 and D90 to 70 Gy and 50 Gy, respectively. It is acknowledged that the PB may not be the critical component of the erectile apparatus, but it seems to be a surrogate for yet to be determined structure(s) critical for erectile function for at least some techniques.



# Inter-observer variability in contouring the penile bulb on CT images for prostate cancer treatment planning

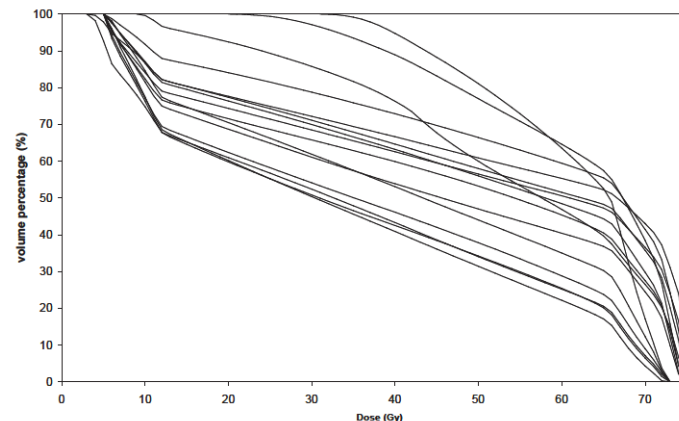
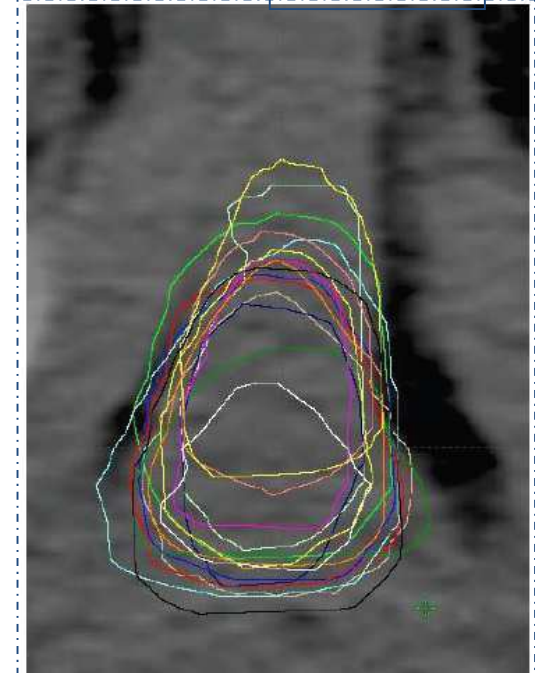
Pema et al. *Radiation Oncology* 2011, 6:123

**lowest**



**•Penile bulb volume:  
5-20cc**

**largest**



- Contouring: interobserver variability larger than interpatient variability
- Dose-metrics: interpatient variability larger than interobserver variability
- % DVH should be used, not absolute DVH in cc

## **Acknowledgments:**

Claudio Fiorino, HSR, Milano

Tiziana Rancati, Istituto dei Tumori, Milano

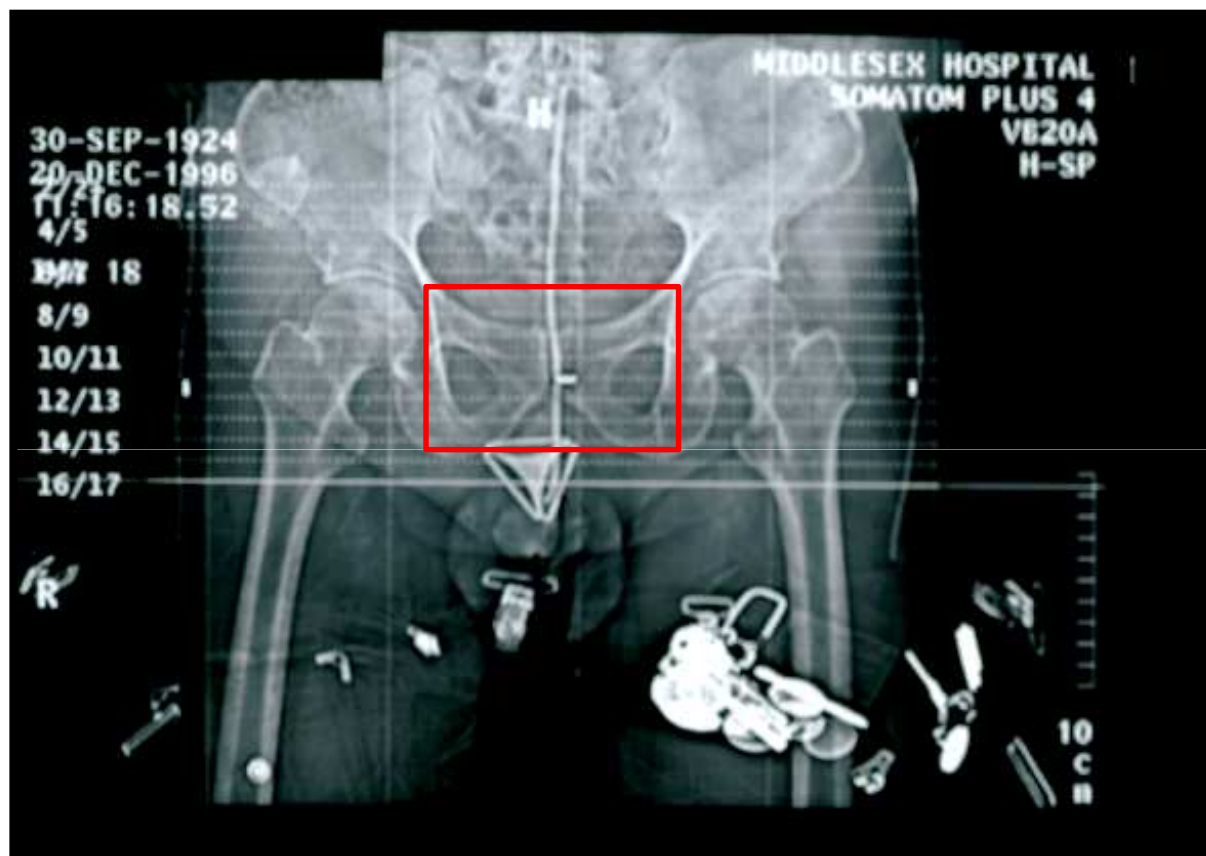
Eva Onjukka, Medical Physics Department, Karolinska University Hospital,  
Stockholm

# IMRT - Prostate Cancer

Heather Payne  
Clinical Oncology -UCH

**The Historical Efficacy and Toxicity of  
Conventional EBRT in Prostate Cancer  
was not good!**





# Results Radiotherapy Series

– *Hanks et al*

682 pts – 10 year follow up

<b>SURVIVAL</b>	<b>5 YRS</b>	<b>10YRS</b>
<b>A</b>	83%	62%
<b>B</b>	73%	46%
<b>C</b>	<b>58%</b>	<b>38%</b>



# Results - *Hanks 2*

Infield Recurrence –even worse!

	<b>5yrs</b>	<b>10yrs</b>
<b>A</b>	3%	3%
<b>B</b>	14%	26%
<b>C</b>	<b>26%</b>	<b>31%</b>

# Improving the Results of RT

- PROBLEMS

1. Inadequate dose delivered so poor efficacy despite the fact that it was known that dose escalation beneficial – calculated that increasing the dose of radiation by 10% can increase local control by 20%
2. Inability to dose escalate without unacceptable toxicity to surrounding normal structures
3. High risk disease also recurrence at nodal and distant sites

# Recent improvements in RT

- Innovative techniques allow dose escalation while sparing normal tissue:<sup>1,2</sup>

## 3D conformal RT (3D-CRT)

- Minimises organ damage
- Allows higher radiation dose

## Image-guided RT (IGRT)

- Fiducial markers
- Cone-beam imaging
- Tomotherapy

## High dose rate (HDR) brachytherapy boost

## Cyberknife and Proton Boost under investigation

## Intensity-modulated RT (IMRT)

- Optimised form of 3D-CRT
- Dose distribution shaped more precisely to target than 3D-CRT to spare organs at risk

## Hypofractionation

## Volumetric arc therapy

## Combined RT and ADT

- Can delay progression and improve overall survival
- Concomitant and adjuvant ADT mandatory for RT of high-risk PCa<sup>1</sup>

: Androgen deprivation therapy, PCa: prostate cancer, RT: Radiotherapy

1. Heidenreich A, et al. Eur Urol 2011; 59:61–71; 2. Pinkawa M et al. Strahlenther Onkol 2011;187:479–484

# 3D-CRT and IMRT

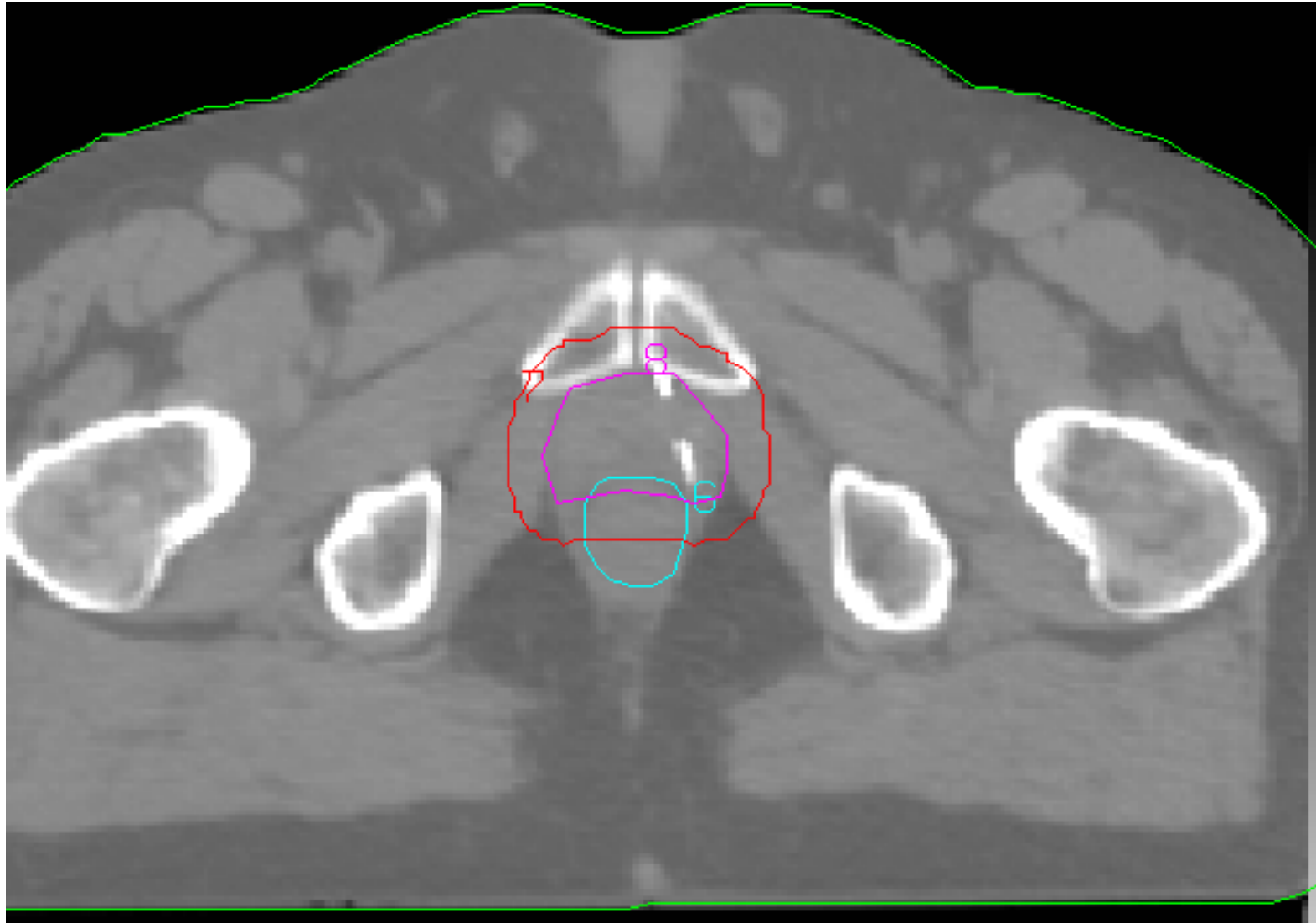
- **3D-CRT:**
  - better targeting of RT using 3D imaging to define target volume and critical organs at risk (OAR); multiple beams conform to the 3D tumour shape and maximally avoid the OAR<sup>1</sup>
- **IMRT:**
  - optimized high-precision form of 3D-CRT
    - allows better dose distributions and improved ability to conform treatment volume to concave shapes
    - Individual beamlets allow varying doses to exploit differences in position of tumour vs. normal tissue<sup>2</sup>
- **IMRT +IGRT:**
  - IGRT may improve outcome by reducing set-up error and accounting for organ motion<sup>2</sup>

3D-CRT, 3-dimensional conformal RT ; IMRT, Intensity modulated RT; IGRT, image-guided RT

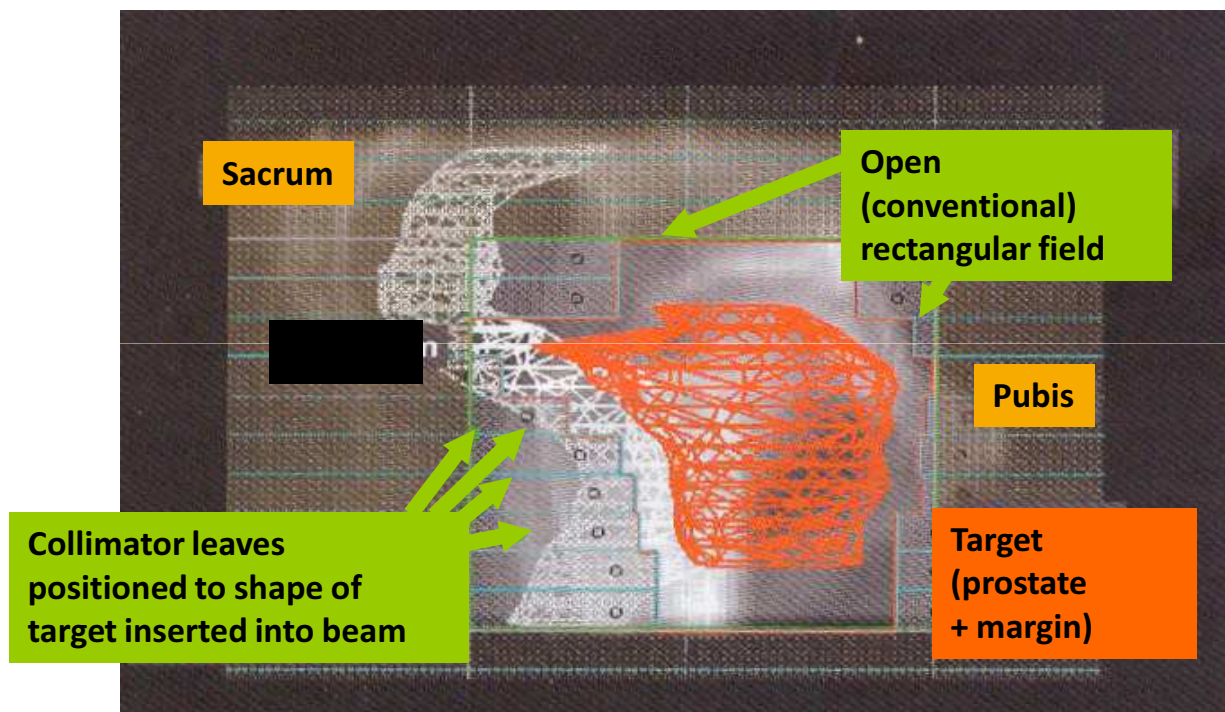
1. Hummel S, et al. Health Technol Assess 2010;14(47);

2. Wolff JM et al. BJU Int 2012; 109 (Suppl 6): 33–41

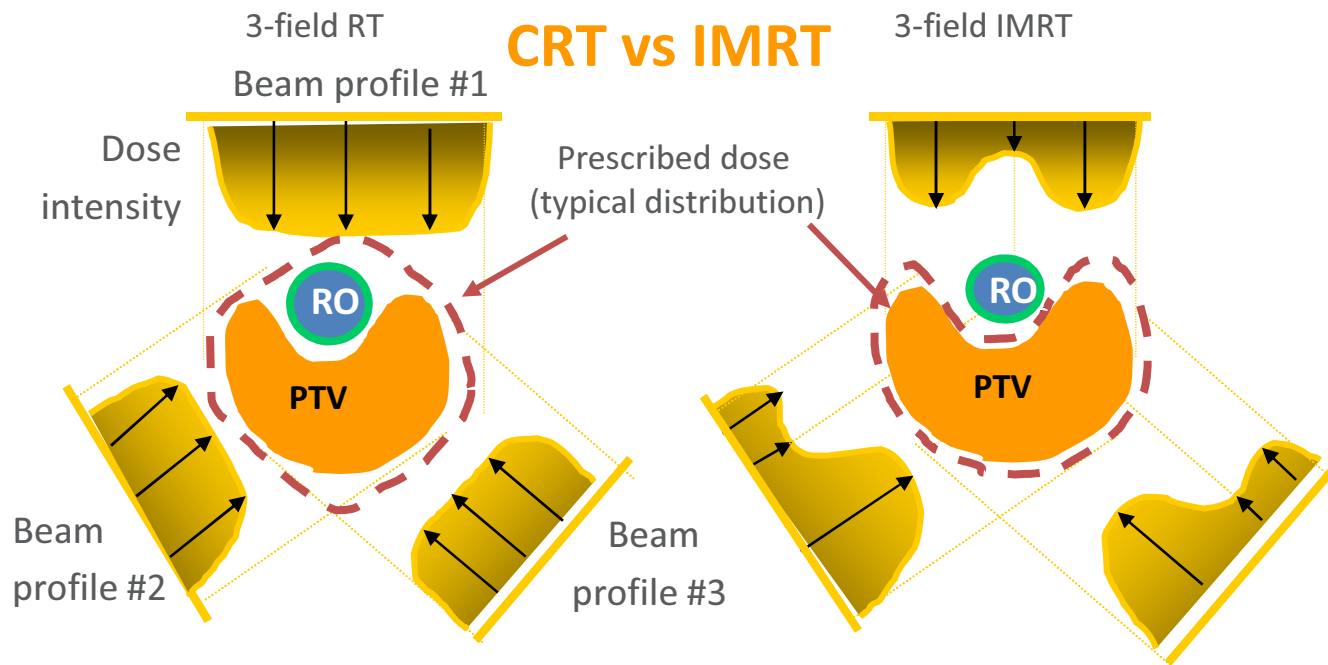
E



# Conformal Radiotherapy



# IMRT allows dose distribution to be shaped to the target, sparing at-risk organs



CRT: Conformal radiotherapy. IMRT: Intensity-modulated radiotherapy.  
PTV: Planning target volume. RO: Risk organ

# Volumetric modulated arc therapy (VMAT)

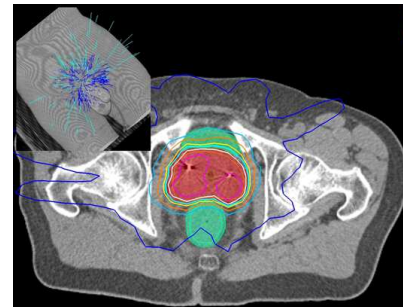
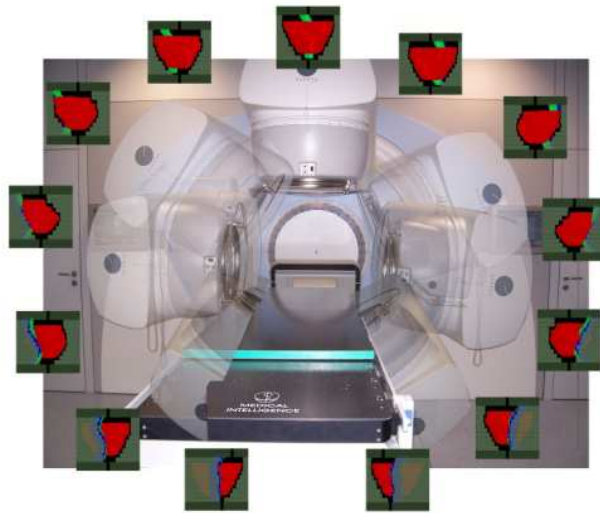
- Treatment of whole target volume using 1 or 2 arcs
- Simultaneous variation of 3 parameters:<sup>1</sup>
  - ➔ Gantry rotation speed
  - ➔ Treatment aperture shape via movement of multileaf collimators\*
  - ➔ Dose rate
- Highly conformal dose distributions with improved target volume coverage and sparing of normal tissues vs. conventional RT techniques;
  - ➔ Potential to reduce treatment delivery time vs. conventional static field IMRT<sup>1</sup>

1. Teoh M, et al. British J Radiol 2011;84:967–996

\* Each radiation beam is modulated by continuously moving multileaf collimators

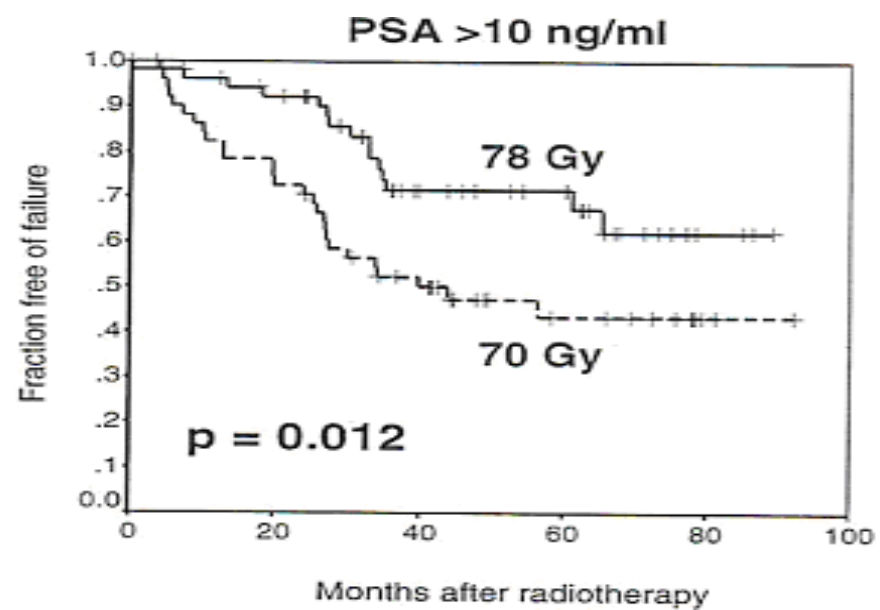
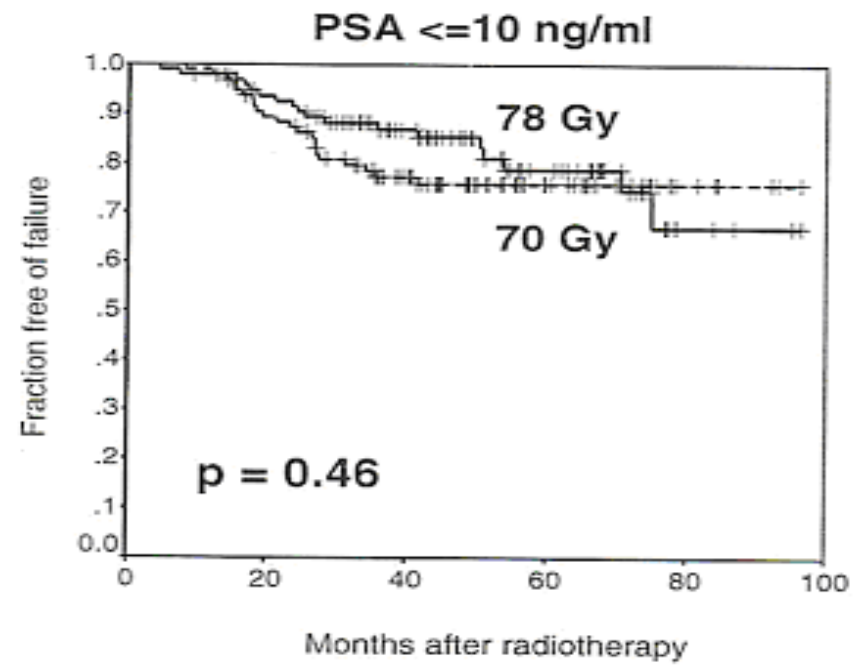


# VMAT images



# Conformal Dose Escalation

- MD Anderson 1000 patients treated 87 –97 patients T2 –T4 disease
- 4 year bNED
- Up to 67 Gy = 54%
- 67-77 Gy = 71%
- Above 77 Gy = 74%
- Good prognostic patients showed no additional benefit.
- Grade 3 morbidity = 1%



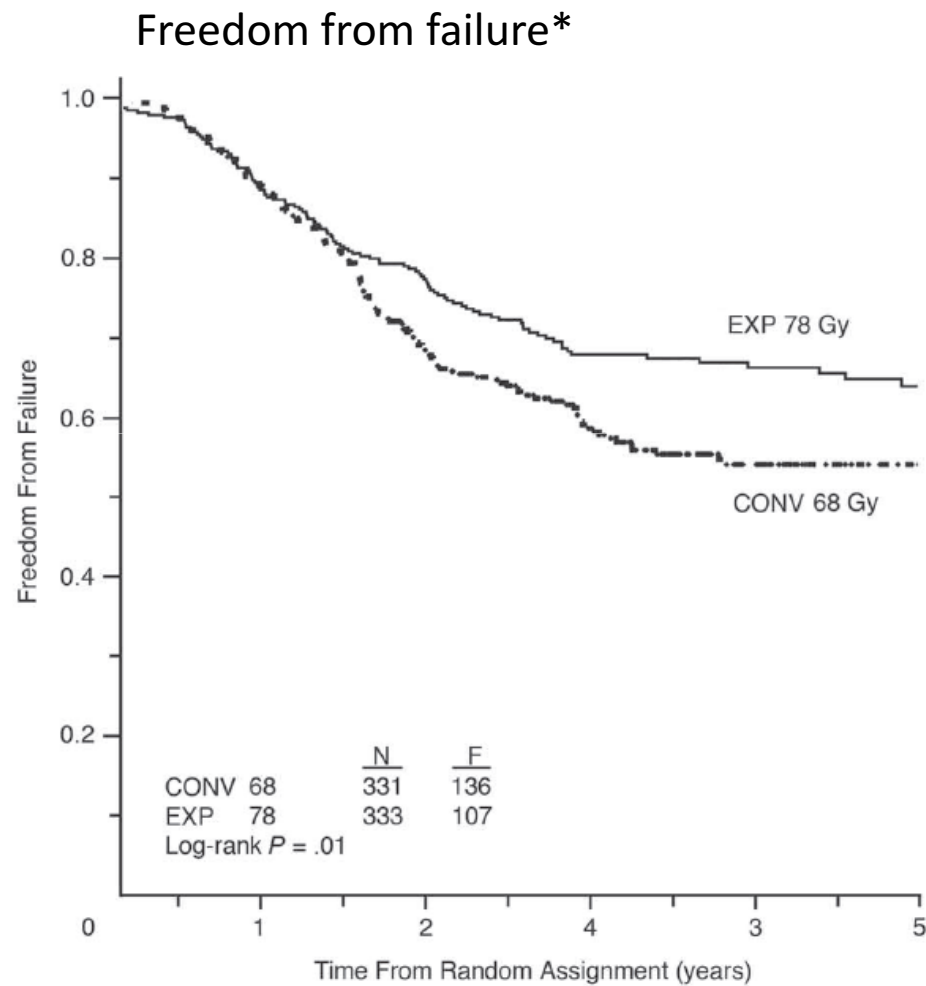
# Prostate cancer-specific mortality in RCTs for dose escalation

Trial	No.	Follow-up	High risk	PCa deaths
PROG <sup>1</sup>	393	9 yr	5%	4 (1%)
MDA <sup>2</sup>	305	9 yr	33%	10 (3%)
RT01 <sup>3</sup>	843	5 yr	44%	36 (4%)
NKI <sup>4</sup>	669	5.8 yr	55%	87 (13%)
RT01 <sup>5</sup>	843	10 yr	44%	91 (11%)
ICR/RMH <sup>6</sup>	126	14 yr	59%	19 (15%)

RCT; randomized clinical trial

. Zietman AL, et al. J Clin Oncol 2010;28:1106–11; 2. Kuban DA, et al. Int J Radiat Oncol Biol Phys 2011;79:1310–7; 3. Dearnaley DP, et al. Lancet Oncol 2007;8:475–87; 4. Peeters ST, et al. J Clin Oncol 2006;24:1990–6; 5. Dearnaley DP et al. ECCO/ESMO Sept 2011. Abstract 21LBA; 6. Creak AL, et al. ESTRO May 2011

# Netherlands Cancer Institute (NKI) study: Freedom from failure significantly better in dose-escalated arm



Biochemical failure was defined according to the ASTRO definition with backdating  
EXP, experimental; CONV, conventional; F, failures  
Peeters ST, et al. J Clin Oncol 2006;24:1990-6

## RT01 trial: 5-year follow-up: Improved bPFS with dose-escalation (44% high risk)

Median follow-up: 5 years	Standard dose CFRT 64 Gy/32 fractions (n=421)	Escalated-dose CFRT 74 Gy/37 fractions (n=422)	HR (95% CI)
bPFS at 5 years	60%	71%	0.67 (0.53-0.85) p<0.0007
bPFS events	149	108	
Clinical PFS	87%	90%	0.69 (0.47-1.02) p=0.064
Freedom from salvage androgen suppression	80%	84%	0.78 (0.57-1.07) p=0.12

At 5 years, using CFRT to increase RT dose from 64 Gy to 74 Gy had substantially improved prostate-cancer control in terms of bPFS

bPFS, biochemical progression-free survival; CFRT, conformal radiotherapy; HR, hazard ratio; all comparisons expressed relative to patients in standard group (i.e. HR <1 = 0 indicates decreased risk of event for escalated group)

Dearnaley DP et al. Lancet Oncol 2007;8:475–87

# RT01 trial: 10-year follow-up: No improvement in long-term survival with dose- escalation (44% high risk)

Median follow-up: 10 years	Standard dose CFRT 64 Gy/32 fractions (n=421)	Escalated-dose CFRT 74 Gy/37 fractions (n=422)	HR (95% CI)
Deaths	120	119	
Overall survival at 10 years	70%	70%	0.99 (0.77-1.28) assumption of proportional hazards met: p=0.337
bPFS at 10 years	42%	54%	0.688 (0.56-0.84) p<0.0001
bPFS events	224	172	
Long-term HT	220 men overall: fewer men starting HT and later in escalated-dose group		0.77 (0.59-1.00) p=0.05

In the longer-term perhaps 74 Gy is not a sufficient dose escalation

**No mandated IGRT**

bPFS, biochemical progression-free survival; CFRT, conformal radiotherapy; HR, hazard ratio; all comparisons expressed relative to patients in standard group (i.e. HR <1 • 0 indicates decreased risk of event for escalated group); HT, hormone therapy

Dearnaley DP et al. ECCO/ESMO Sept 2011. Abstract 21LBA

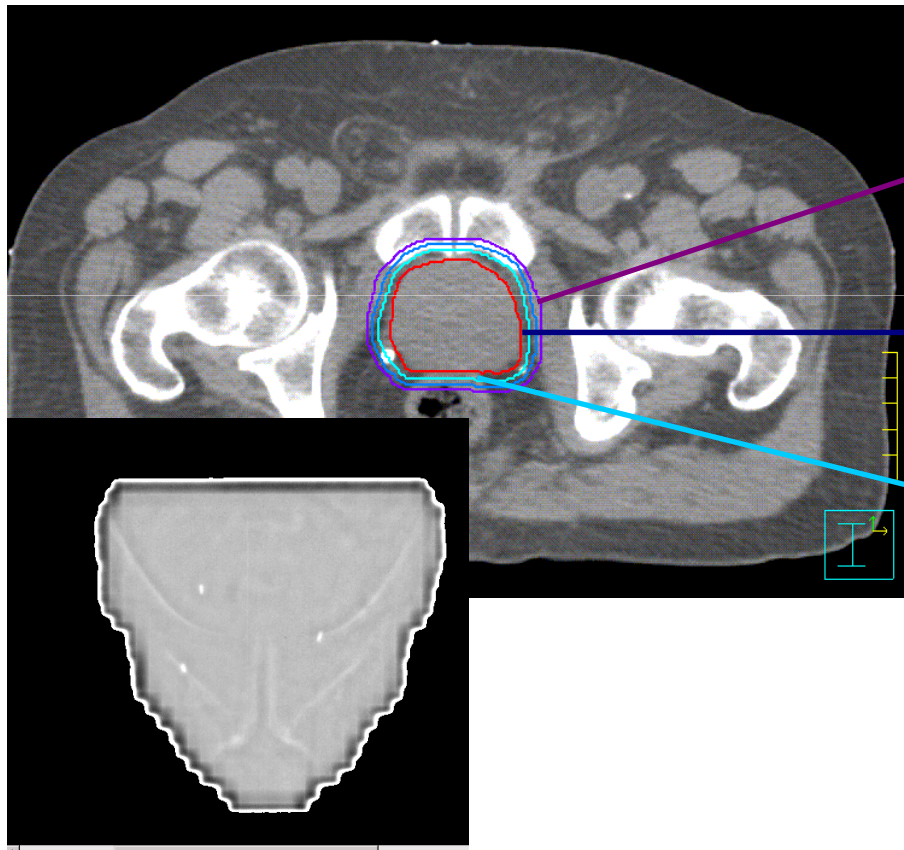
# IGRT

- Fiducial markers
- CT Cone Beam Imaging
- Tomotherapy
- Combinations.....



# IGRT gold seed fiducial markers and margin reduction

## CHHiP IGRT



10 mm margin

7 mm margin

34% reduction in volume

5 mm margin

55% reduction in volume

3 mm margin

74% reduction in volume

# IMRT for Prostate Cancer

## PATIENT SELECTION CRITERIA

Histologically confirmed non-metastatic prostate cancer

All patients referred for prostate +/- seminal vesicle radiotherapy +/- nodal therapy or prostate bed radiotherapy to be considered for inverse planned IMRT

WHO performance status 0 or 1

## EXCLUSION CRITERIA

Previous pelvic radiotherapy

Inflammatory Bowel Disease?

*Prior major pelvic surgery (e.g. Colectomy, colostomy, cystectomy)???*

*Significant bladder instability or urinary incontinence?????*

*Bilateral Hip Replacements????*

# IMRT for Prostate Cancer

## PRE-TREATMENT ASSESSMENT

TNM stage

Pathology – Gleason Score

PSA at presentation

Neo-adjuvant androgen deprivation for 3- 6 months prior to RT

Discuss adjuvant androgen deprivation therapy according to risk category

Prostate fiducial marker implant 3-4 weeks prior to CT planning scan

Ciprofloxacin antibiotics to be prescribed in clinic and started by patient the evening before fiducial marker insertion.

Patients on anticoagulation require switching from warfarin to S/C heparin prior to fiducial marker insertion.

Bowel and bladder preparation appointment booked 1 week before planning scan

Consent form signed in clinic scanned onto CDR as a permanent record

# IMRT for Prostate Cancer

Prostate and Seminal Vesicles

# IMRT for Prostate Cancer

## DECISION FOR TREATMENT VOLUME

**Roach Formula: Seminal vesicle risk (%) = PSA + [(Gleason Grade – 6) x 10]**

### Seminal Vesicle Low risk group

Clinical stages T1b/c or T2a/b and with PSA + [(Gleason Grade – 6) x 10] <15

### Seminal Vesicle Moderate/High risk group

Clinical stages T1b/c or T2a/b and with PSA + [(Gleason Grade – 6) x 10] >15  
T2c or T3a

## PATIENT RISK DEFINITION

Patients with **low risk disease** - T1-T2b, Gleason Grade ≤ 6, PSA ≤ 10

Patients with **intermediate risk disease** - T2c, Gleason Grade 7, PSA 10-20

Patients with **high risk disease** - T3a-T4 Gleason Grade ≥ 8, PSA ≥20

# IMRT for Prostate Cancer

## **POSITIONING AND IMMOBILISATION**

The patient will be immobilised head first supine on the combifix.

## **RADIOTHERAPY PLANNING**

CT planning scan of the pelvis with 2.5 mm slice thickness

Bladder and rectal filling - Comfortably full bladder (350mls of water 30 minutes before scan) and empty rectum achieved using microlette enemas)

Rescan the patient if the bladder is empty or the rectum is >5cm. Consider rescan if rectum > 3.5cm and rectum is deforming prostate.

Scanning levels: From the top of the L3 vertebral space to 5cm below the ischial tuberosities.

# IMRT for Prostate Cancer

## **VOLUME DELINEATION**

The volumes will be delineated with the aid of CT images

The clinician will outline

Gross tumour volume (GTV)

Clinical tumour volume (CTV)

Planning target volume (PTV)

The normal tissue organs at risk of radiation exposure will be outlined- rectum, bladder, femoral heads, bowel, urethral bulb, penile shaft

# IMRT for Prostate Cancer

## PLANNING TARGET VOLUMES

### Low and Intermediate Risk Patients:

GTVp	Prostate alone
GTVpb	Prostate and base of seminal vesicles
CTVpb	GTVpb+0.5cm (excluding rectum)
PTV74	GTVp + 0.5cm (excluding rectum)
PTV71	CTVpb + 0.5cm (isotropic)

### High Risk Patients:

GTVp	Prostate alone +/- any involved disease
GTVpsv	Prostate and seminal vesicles
CTVpsv	GTVpsv+0.5cm (excluding rectum)
PTV74	GTVp+0.5cm (excluding rectum)
PTV71	CTVpsv+0.5cm (isotropic)



# IMRT for Prostate Cancer

## STRUCTURES TO BE OUTLINED AS ORGANS AT RISK

### RECTUM

The circumference of the rectum should be outlined entirely. If the anterior-posterior diameter of the rectum is  $> 5\text{cm}$  at any level adjacent to the prostate, or is  $>3.5\text{cm}$  and deforming the prostate, the patient should be re-scanned. The outlining should extend to the bottom of the ischial tuberosities to the recto-sigmoid junction. At the recto-sigmoid junction, the rectum and the sigmoid will be outlined as different structures. The recto-sigmoid junction will be defined as the level at which there is an anterior inflection of the bowel.

# IMRT for Prostate Cancer

## **STRUCTURES TO BE OUTLINED AS ORGANS AT RISK**

### **BLADDER**

The outside of the bladder wall should be outlined. The entire bladder should be included.

### **BOWEL (SMALL BOWEL AND COLON)**

The small and large bowel should be outlined on all slices. The small and large bowel should be outlined as separate structures where possible. However, the combined structure will be used for the bowel dose constraint. The outlining will include the small bowel, large bowel and the sigmoid colon down to the level of the recto-sigmoid junction. The superior extent should be 3cm above the superior limit of the PTV.

### **FEMORAL HEADS**

The femoral heads should be outlined to the bottom of the curvature of their heads.

### **PENILE SHAFT AND BULB**

The penile shaft and penile bulb

# IMRT for Prostate Cancer

## RADIOTHERAPY TREATMENT

Inverse planned VMAT/IMRT using dynamic MLC, 6MV photons

VMAT: 1-2 Arcs

IMRT: 5-7 co-planar fields

Gantry: 0°, 52°, 100°, 157°, 203°, 260°, 308° ( 7 fields )

180, 91-100, 30, 330, 260-269 (5 fields)

Energy: 6 MV photons

# IMRT for Prostate Cancer

## RADIATION PRESCRIPTION

Low and intermediate risk patients

74Gy to MTD in 37# at 2Gy per # over 7.5 weeks

PTV74                      74Gy in 37# to target mean (2.0)

PTV71                      71Gy in 37# to target mean(1.92)

High risk patients

74Gy to MTD in 37# at 2Gy per # over 7.5 weeks

PTV74                      74Gy in 37# to target mean (2.0)

PTV71                      71Gy in 37# to target mean (1.92)

# IMRT for Prostate Cancer

## DOSE REQUIREMENTS

PTV 74

99% of the volume to be covered by 95-105% of prescribed dose (70.3 – 77.7Gy)

Median dose = 74Gy +/- 0.5Gy

Minimum dose to 99% volume =  $\geq 95\%$  (70.3Gy)

Maximum dose to 1% volume =  $<105\%$  (77.7Gy)

PTV71

99% of the volume to be covered by 95% of 71Gy (67.45Gy)

Median dose = 71Gy +/- 1Gy

# IMRT for Prostate Cancer

## MAXIMUM DOSE LIMITS FOR OAR

### RECTUM

V30	80%
V40	70%
V50	60% (Should aim to achieve < 50%)
V60	50% (Should aim to achieve < 40%)
V65	30%
V70	15%
V74	3%

### BLADDER

V50	50%
V60	25%
V74	5%

### FEMORAL HEADS

V50	50%
Max	55Gy

# IMRT for Prostate Cancer

<b>BOWEL GRADE</b>	<b>0</b>		<b>(Grade1)</b>
V45		78cc	158cc
V50		17cc	110cc
V55		14cc	28cc
V60		0.5cc	6cc
V65		0cc	0cc

Aiming to meet Grade 0 values

## **Urethral Bulb**

V50	50%
V60	10%

## **DVH LIMITS FOR OAR**

No hotspots (>103%) to occur in bowel

No dose > 105% to any OAR

Any hot spots outside the PTV should not to exceed 105%. Unexpected cold spots should be avoided.

# RT Prostate Morbidity

## *Acute*

- Lethargy
- Proctitis
- Urethritis
- Cystitis
- Diarrhoea

## *Late*

- Erectile Dysfunction– 40-50%
- Bowel Disturbance – 20%
- Surgery to Bowel – <1%
- Surgery to Bladder – <1%
- Risk Second Malignancy



# EORTC GI Toxicity Scores

- 0 = None
- 1 = Mild diarrhoea, Mild cramping, Bowel movement 5 times daily, Slight rectal discharge or bleeding
- 2 = Moderate diarrhoea and colic, Bowel movement >5 times daily, Excessive rectal mucus or intermittent bleeding
- 3 = Obstruction or bleeding requiring surgery
- 4 = Necrosis/ Perforation Fistula

# GI Toxicity

*Dutch CKVO96-10 PMID 18718725 -- "Role of intensity-modulated radiotherapy in reducing toxicity in dose escalation for localized prostate cancer." (Al-Mamgani A, Int J Radiat Oncol Biol Phys*

- Dutch randomized dose-escalation trial, subset treated at same institution to 78 Gy using 2 techniques: 3D-CRT and IMRT
- Outcome: 5-year bPFS IMRT 70% vs 3DCRT 61% (NS)
- Acute toxicity: G2+ IMRT 20% vs. 3DCRT 61% (SS)  
G3+ 0% vs 13%
- Late toxicity: G2+ IMRT 21% vs. 3DCRT 37% (NS); GI G3+ 0% vs 7%  
G3+ 0% vs 7%

Conclusion: IMRT reduced toxicity without compromising outcomes

# Hypofractionation

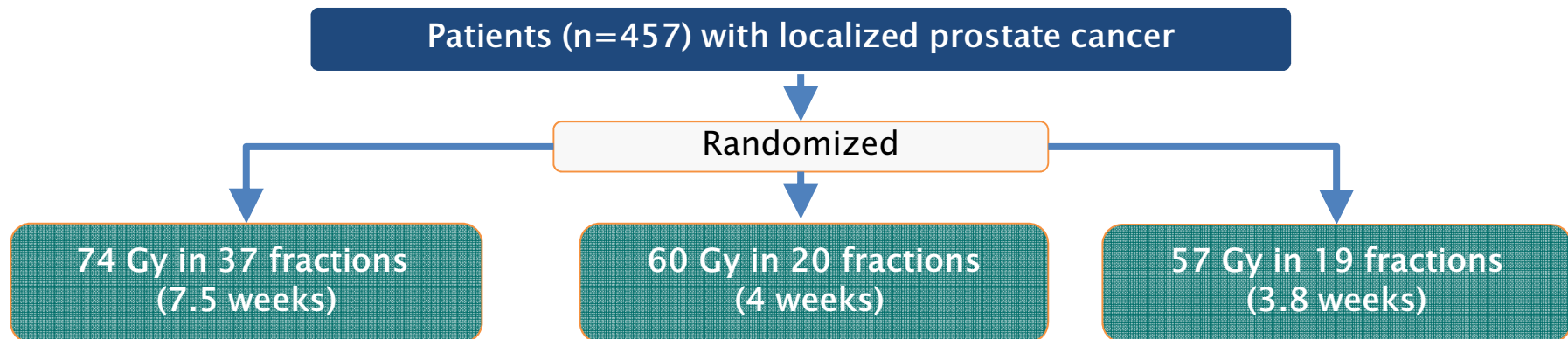
- Hypofractionation: delivery of larger doses of radiation ( $>2$  Gy) per fraction to reduce the overall length of treatment<sup>1</sup>
- A lower alpha/beta ratio\* in prostate cancer means that a larger dose of radiation per treatment may provide improved efficacy in terms of tumour control<sup>1,2</sup>
- Advantages include reduced health care costs and improved patient convenience<sup>2</sup>

1. Ritter M, et al. Cancer J 2009;15(1):1–6

2. Aneja S et al. Oncology: Perspectives on Best Practices 2012; 6:1-9

# CHHiP Study

3 x RT dose fractionations combined with  
3–6 months of neoadjuvant hormone therapy

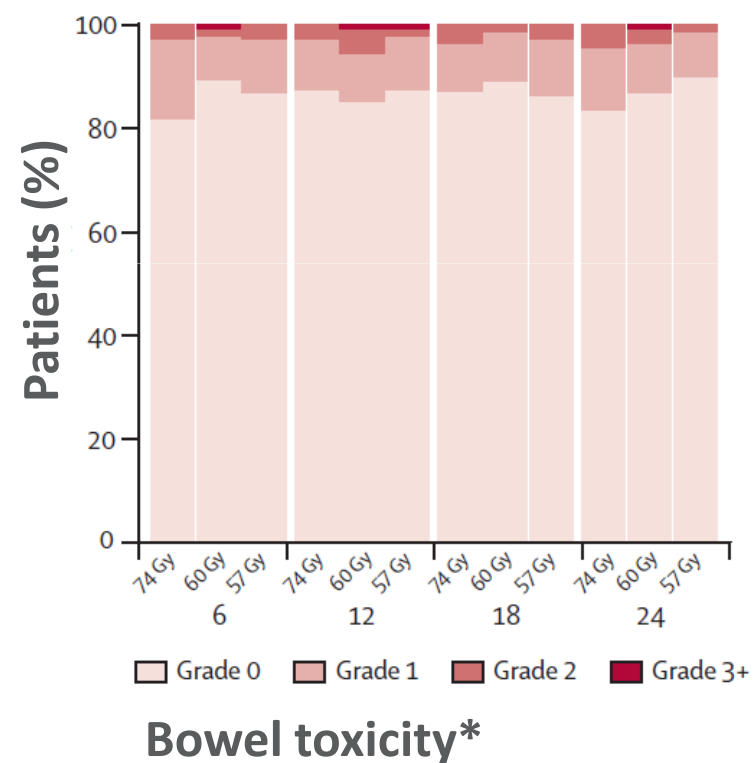
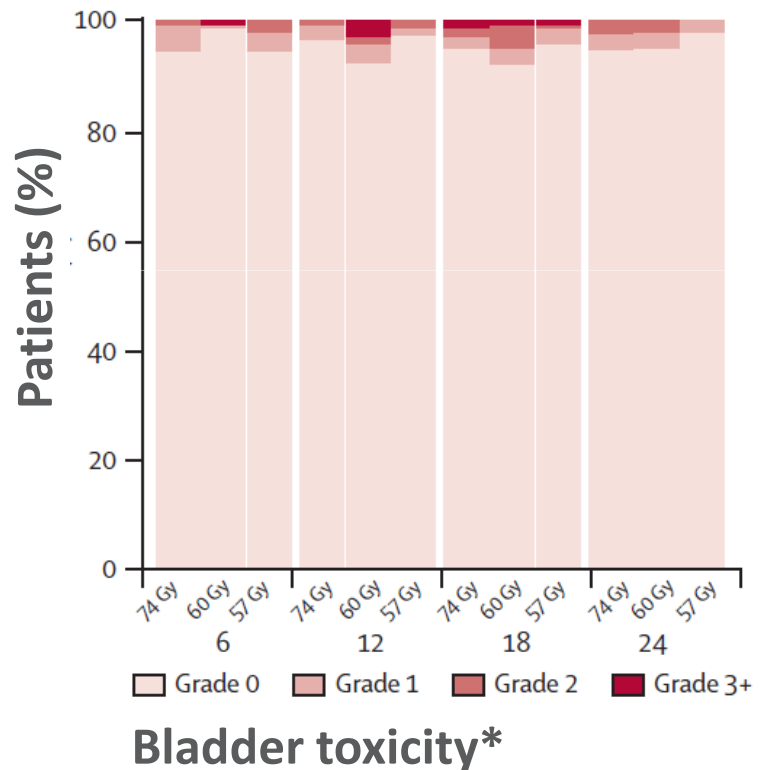


- Safety results (median follow-up 50.5 months):

	RT dose		
	57Gy	60Gy	74Gy
Grade 2+ bowel toxicity	1.4%	3.6%	4.3%
Grade 2+ bladder toxicity	0%	2.2%	2.2%

# CHHiP study comparing standard and hypofractionated RT+ADT

- No increased toxicity for hypofractionated regimes at 2 years – efficacy results awaited



# CHHiP Study

3216 men randomised

Risk group 15% low, 73% intermediate, 12% high.

Median duration of hormone therapy was 5.6m.

Median follow up of 62.3m

## **5yr progression-free rate**

74Gy: 88.3% (86.0%, 90.2%)

60Gy: 90.6% (88.5%, 92.3%)

57Gy: 85.9%(83.4%, 88.0%)

# CHHiP Study

## Late Toxicity

RTOG G2+ bowel toxicity at 2yr 74Gy (3.8%) 60Gy( 2.9%) 57Gy (1.8%)

No significant difference at 5yr 74Gy (1.3%) 60Gy (2.3%) 57Gy (2.0%)

G2+ bladder toxicity showed NSD at 2yr or 5yr.

Analysis of LENT-SOM and PROs supported these results.

Conclusions: After 5 years follow-up treatment with 60Gy/20f is non-inferior to 74Gy/37f for PCa progression and is not associated with significant differences in late toxicity. 57Gy/19f has not been shown to be non-inferior to 74Gy/37f. All schedules had a low side effect profile using trial specified IMRT techniques. 60Gy/20f appears effective and safe and may be recommended as a new standard of care.

# Neoadjuvant (NHT) and/or adjuvant (AHT) hormone therapy

- NHT, before definitive local treatment with curative intent, aims:
  - To reduce tumour bulk and prostate volume. Short-term ADT (3-4 m) reduces prostate size by 25-50%;<sup>1</sup> this may allow more focused RT
  - To treat micrometastatic disease and the primary lesion
- NHT responders may be candidates for AHT after surgery or RT
- NHT and/or AHT plus RT improves disease progression and/or overall survival vs. RT alone in high-risk localized and locally advanced PCa<sup>2</sup>
- Intermediate-risk patients may also benefit from combined RT and short-term ADT



# Key trials of neoadjuvant HT plus RT

BM1

Trial	Follow-up	HT duration	Improved	Not improved
RTOG 86-10 <sup>1</sup>	10 years	4 months	Disease-specific mortality, DFS, biochemical failure	OS, median survival time
TROG 96.01 <sup>2</sup>	10 years	3 or 6 months	Local progression, event-free survival (3 and 6 months) All-cause mortality, prostate cancer mortality (6 months)	Prostate cancer-specific mortality, all-cause mortality (3 months)

DFS, disease-free survival; HT, hormone therapy  
OS, overall survival; RT, radiotherapy

1. Roach M, et al. J Clin Oncol 2008;26:585-91  
2. Denham JW, et al. Lancet Oncol 2011;12:451-9

**Slide 46**

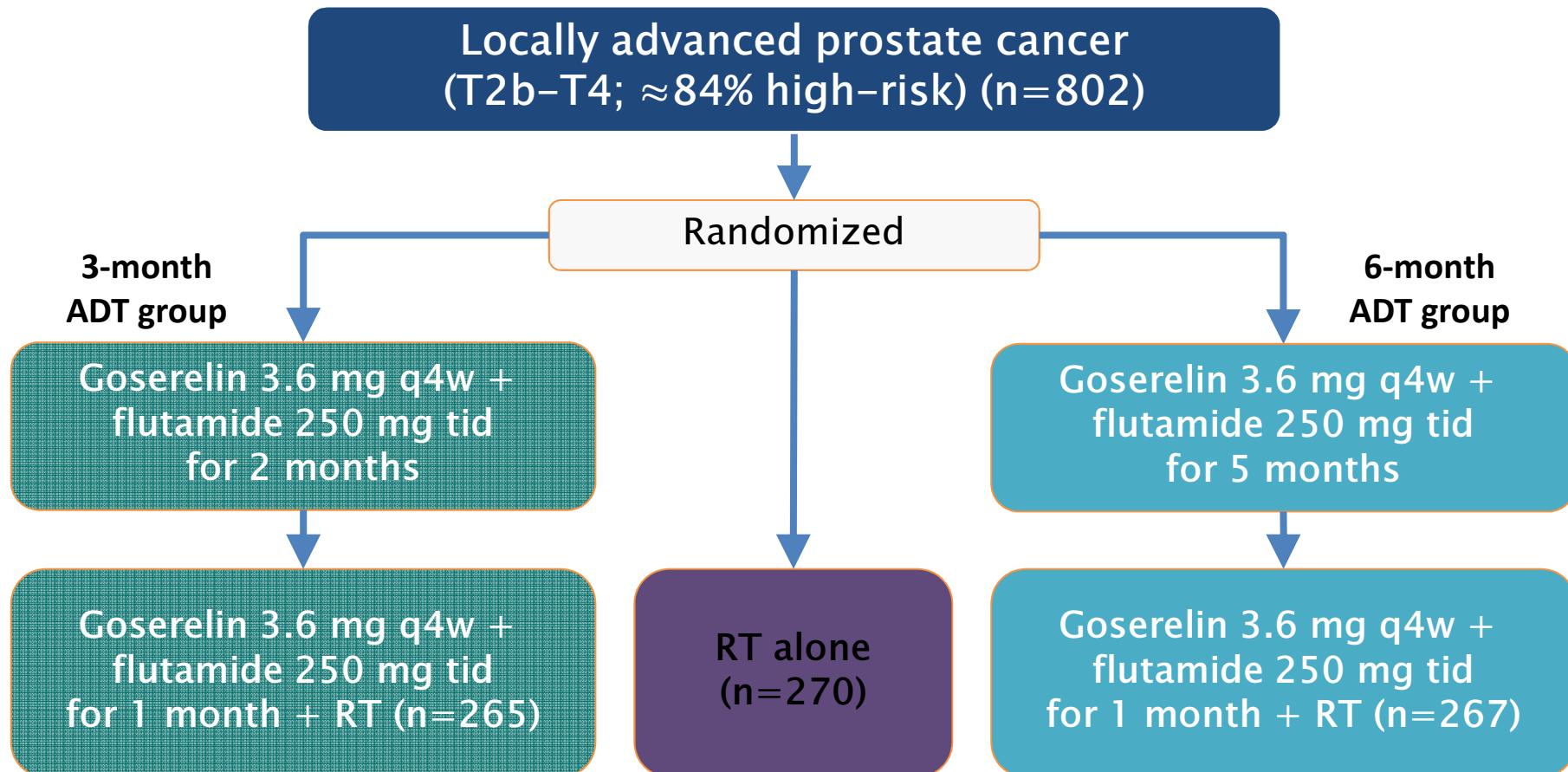
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**BM1**

Created overview table. Suggest to remove following 5 slides (have hidden).

Bioscript Medical; 12-01-16

# TROG 96.01: Neoadjuvant HT (3 or 6 months) plus RT vs RT alone



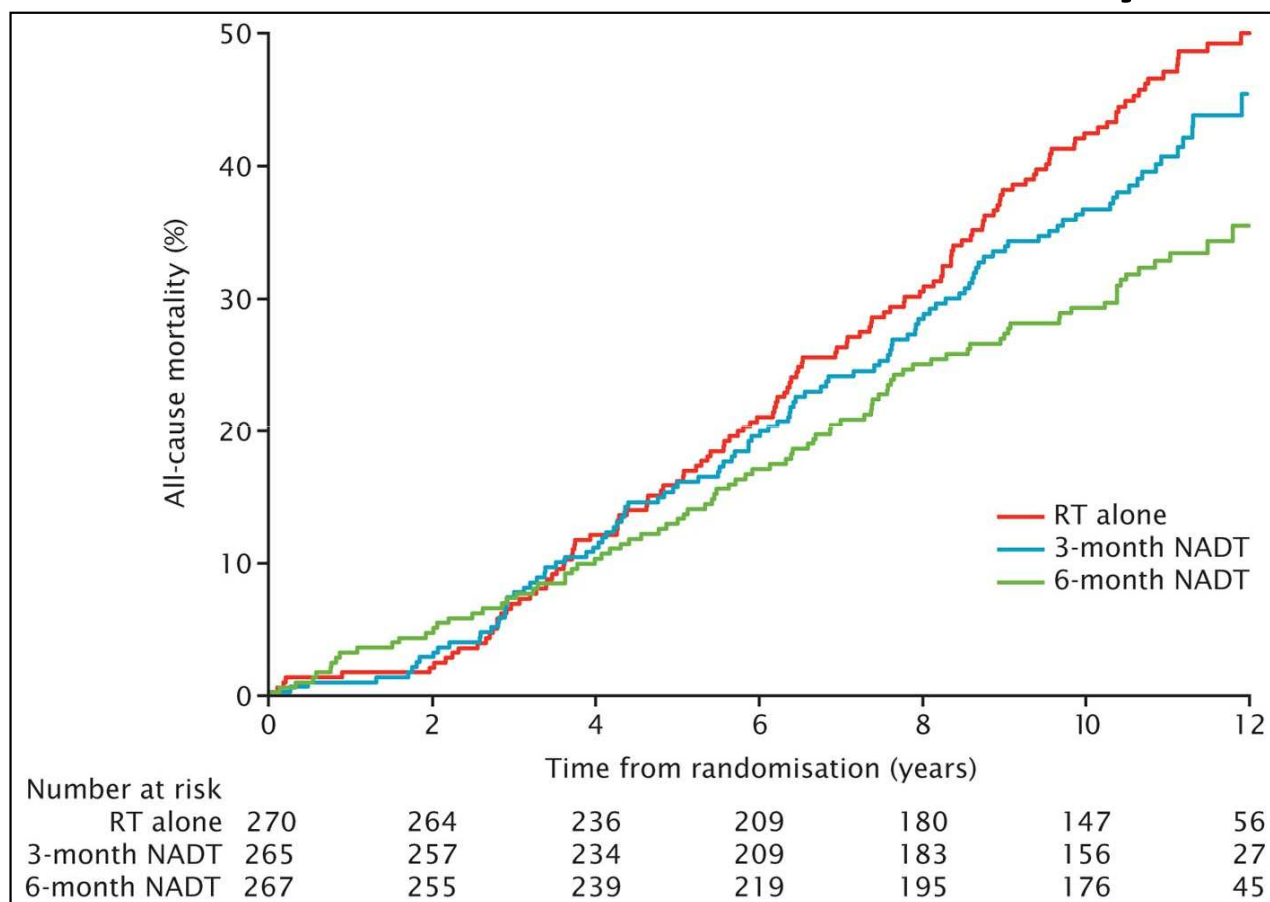
ADT, androgen deprivation therapy  
HT, hormone therapy; q4w, every 4 weeks  
RT, radiotherapy; tid, three times daily

# TROG 96.01: 10-year all-cause mortality

6-month ADT + RT  
vs RT alone:  
 $p=0.0008^a$

3- and 6-month ADT significantly reduced PSA progression, local progression and improved event-free survival

6-month ADT also significantly improved distant progression and prostate cancer mortality



<sup>a</sup>From multivariate model with covariates of treatment group, age, Gleason score, initial PSA and prostate cancer stage  
NADT, neoadjuvant androgen deprivation therapy  
PSA, prostate-specific antigen; RT, radiotherapy

# Adjuvant HT plus RT versus RT in high-risk PCa: 10-year results

- Significant reduction in most 10-year endpoints

Trial	Treatment groups	Local failure (%)	Distant metastases (%)	DFS (%)	OS (%)
EORTC 22863 <sup>1,2</sup>	RT (n=208)	NR	70	23	40
	RT + goserelin for 36 months [+ AA for 1 month] (n=207)	NR	49 <sup>‡</sup>	48 <sup>‡</sup>	58 <sup>†</sup>
RTOG 85-31 <sup>3</sup>	RT (n=489)	38	39	23 <sup>a</sup>	39
	RT + indefinite goserelin (n=488)	23 <sup>‡</sup>	24 <sup>†</sup>	37 <sup>a</sup>	49 <sup>**</sup>
RTOG 92-02 <sup>4,5</sup>	Goserelin + AA for 4 months before and during RT, then:				
	– No further adjuvant HT (n=763) – Goserelin for 24 months (n=758)	22 12 <sup>‡</sup>	23 15 <sup>‡</sup>	23 13 <sup>‡</sup>	52 54

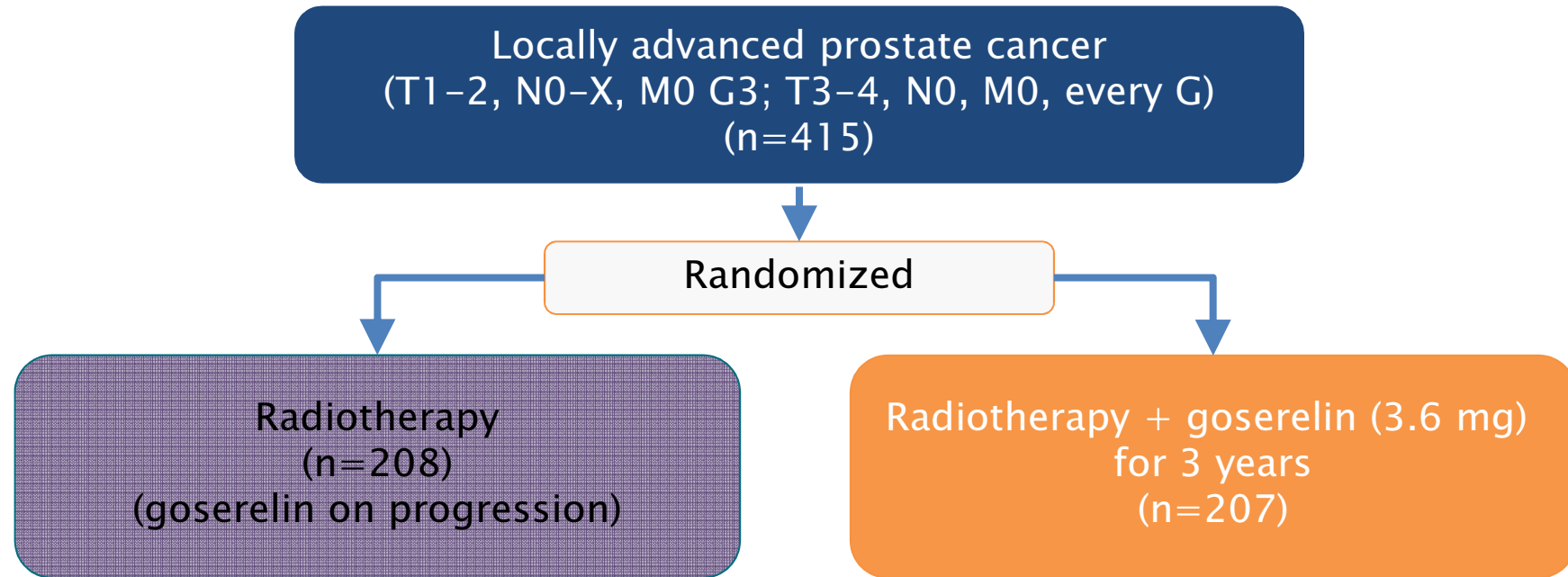
<sup>a</sup>No evidence of disease survival: survival in absence of locoregional failure/distant metastases

\*\*P<0.01; <sup>†</sup>P<0.001; <sup>‡</sup>P<0.0001 vs RT alone

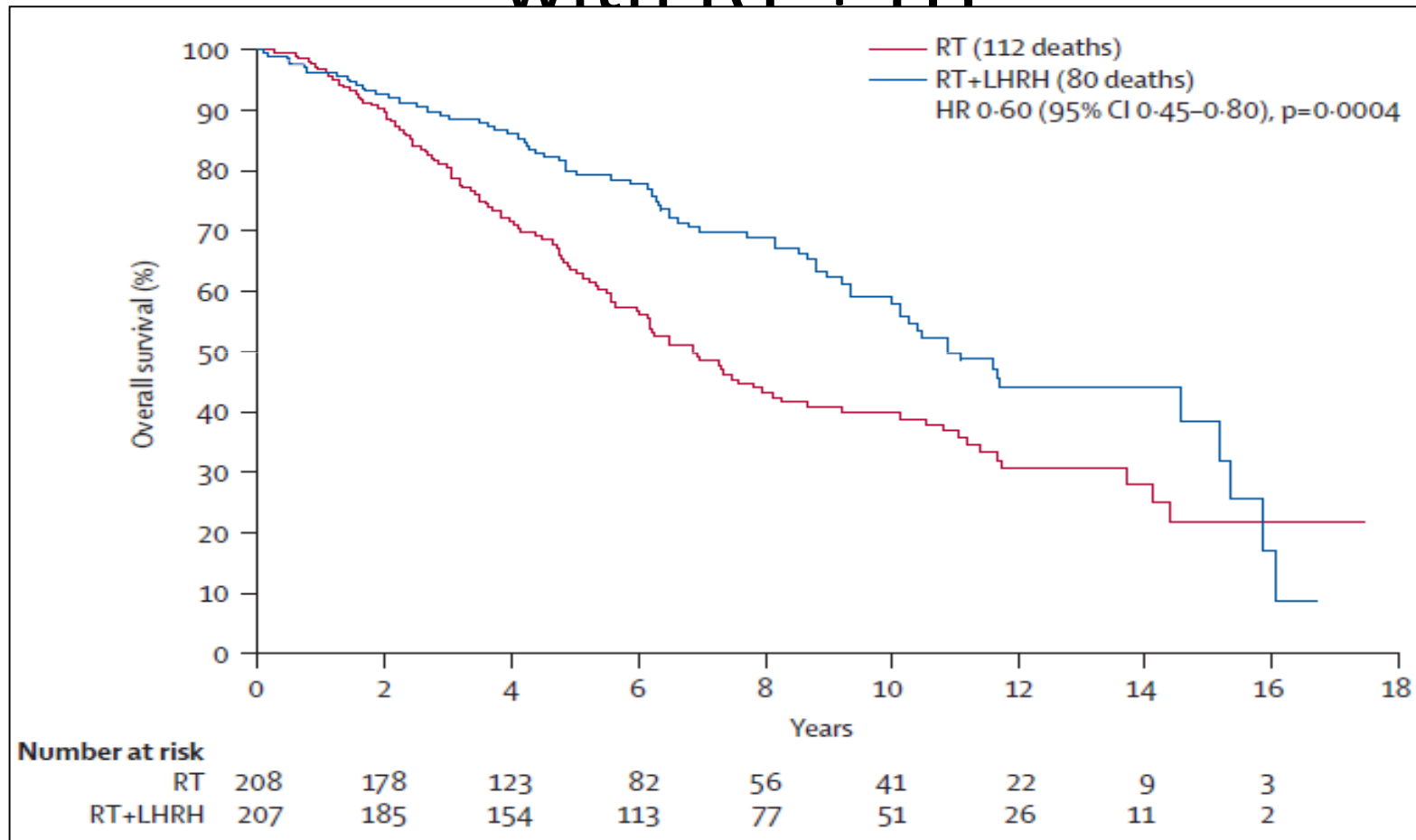
NR, not reported  
DFS, disease-free survival  
OS, overall survival

1. Bolla M, et al. Lancet 2002;360:103-8; 2. Bolla M, et al. Lancet Oncol 2010;11:1066-73; 3. Pilepich MV, et al. Int J Radiat Oncol Biol Phys 2005;61:1285-90; 4. Hanks GE, et al. JCO 2003;21:3972-8
5. Horwitz EM, et al. JCO 2008;26:2497-504

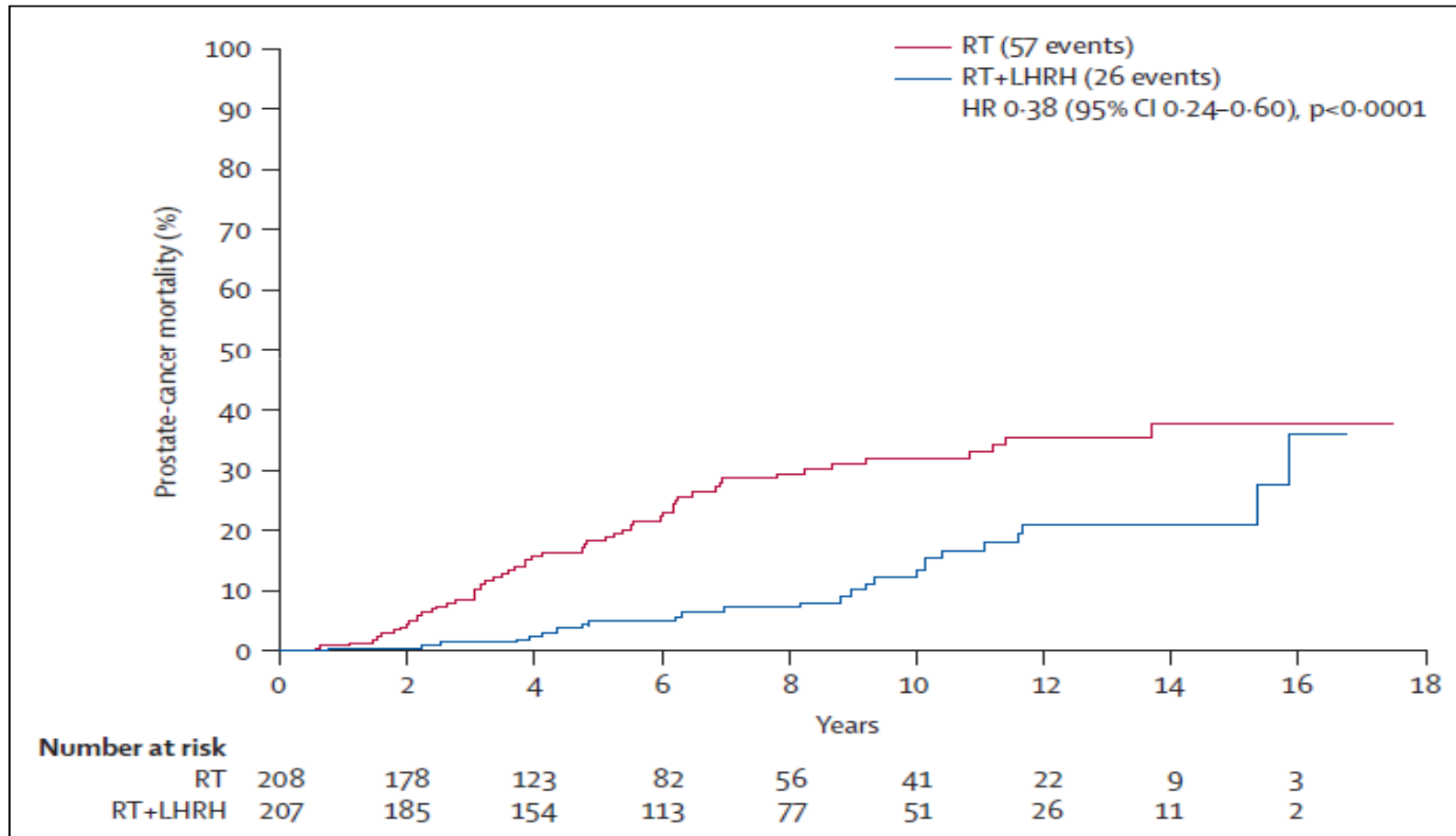
# EORTC 22863: RT + concomitant and adjuvant HT vs RT alone



# EORTC 22863: Improved overall survival with RT + HT

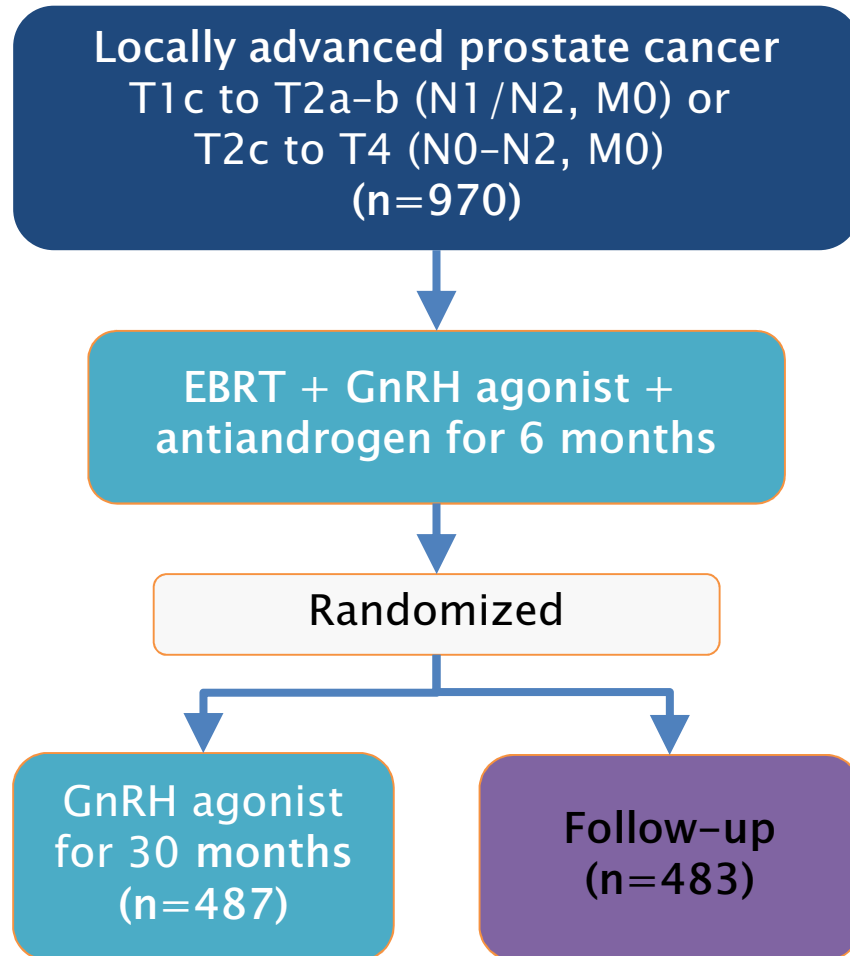


# EORTC 22863: Decreased prostate cancer mortality with RT + HT





# EORTC-22961: Superior survival with long-term adjuvant HT



- PCa mortality for short- and long-term adjuvant HT was 4.7% and 3.2%, respectively
  - Significant difference in PCa survival (HR=1.71; p=0.002)
- 5-year overall mortality was 19.0% and 15.2% short- and long-term groups, respectively
  - HR=1.42 (p=0.65 for non-inferiority)

**Slide 53**

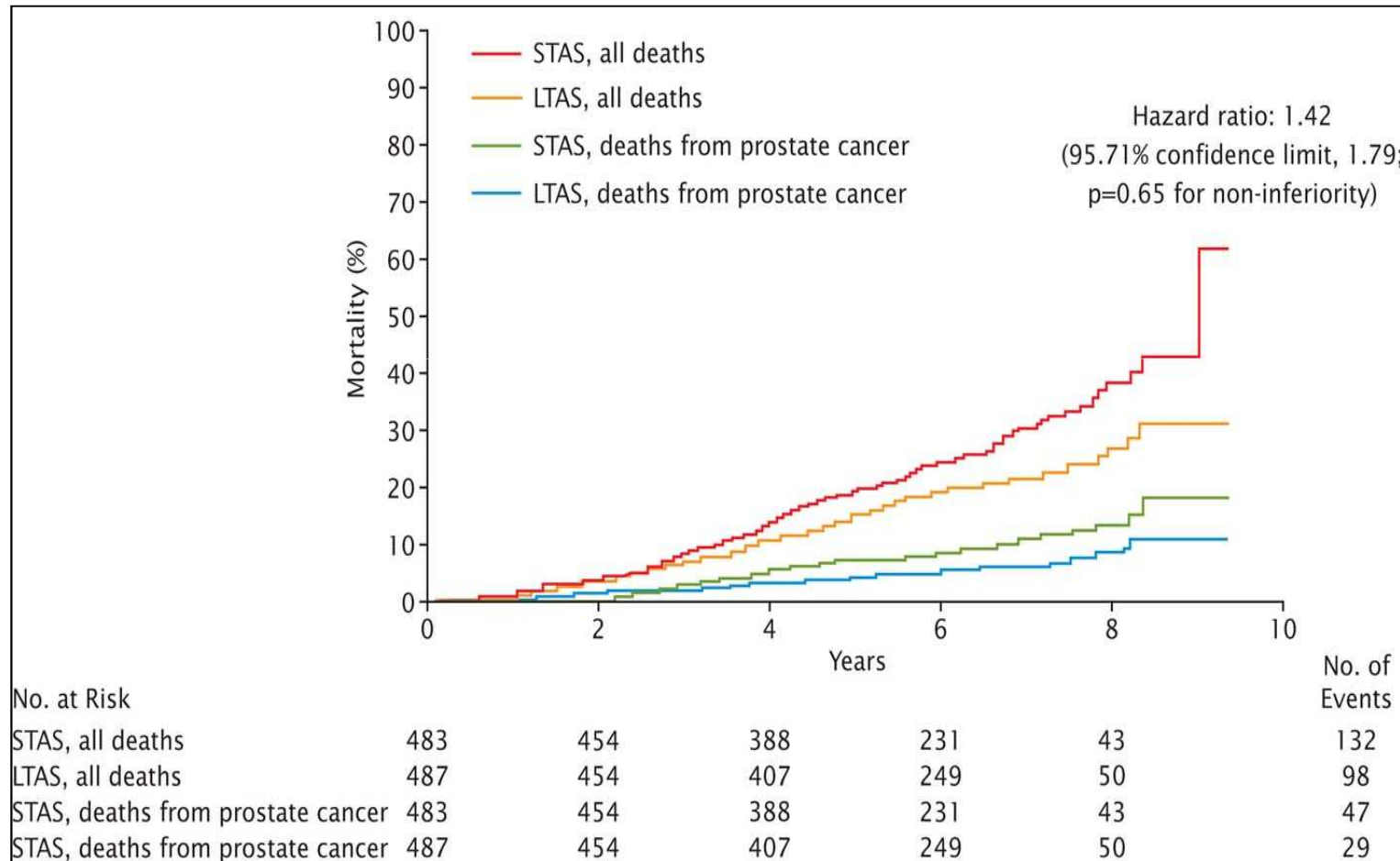
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**BM13**

Added text from slide 49.

Bioscript Medical; 13-01-16

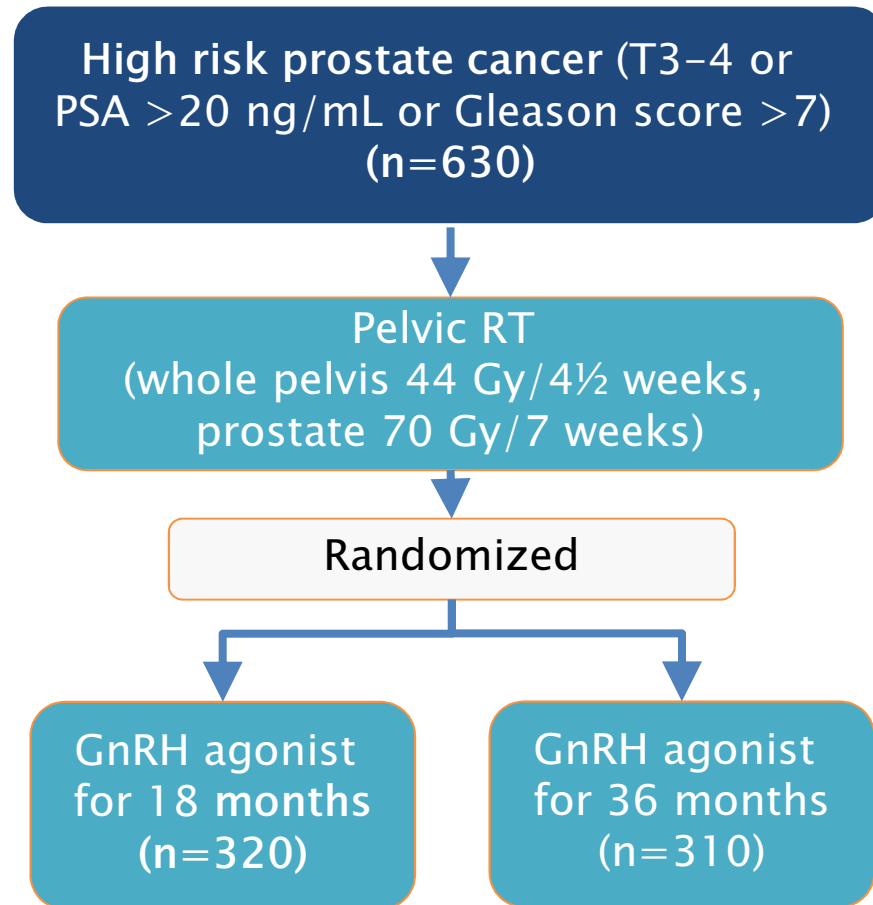
# EORTC-22961: Increased overall survival and reduced prostate cancer mortality with long-term adjuvant HT



HT, hormone therapy; STAS: short-term androgen suppression LTAS: long-term androgen suppression

Bolla M, et al. NEJM 2009;360:2516–27

# Adjuvant HT: 18 vs 36 months (PCS IV trial)



- Median follow-up 77 months
- 10 year overall survival
  - 63.6% (18 months) vs 63.2% (38 months),  $p=0.429$
- 10 year disease-specific survival
  - 87.2% (18 months) vs 87.2% (36 months),  $p=0.838$
- No significant differences in biochemical, regional or distant failure between arms

# Conclusions

- The benefits of EBRT can be enhanced by dose escalation:

Improves biochemical and/or clinical failure in localized or locally advanced PCa, with acceptable genitourinary and rectal safety<sup>1-</sup>

- The benefit of adding ADT to RT is established

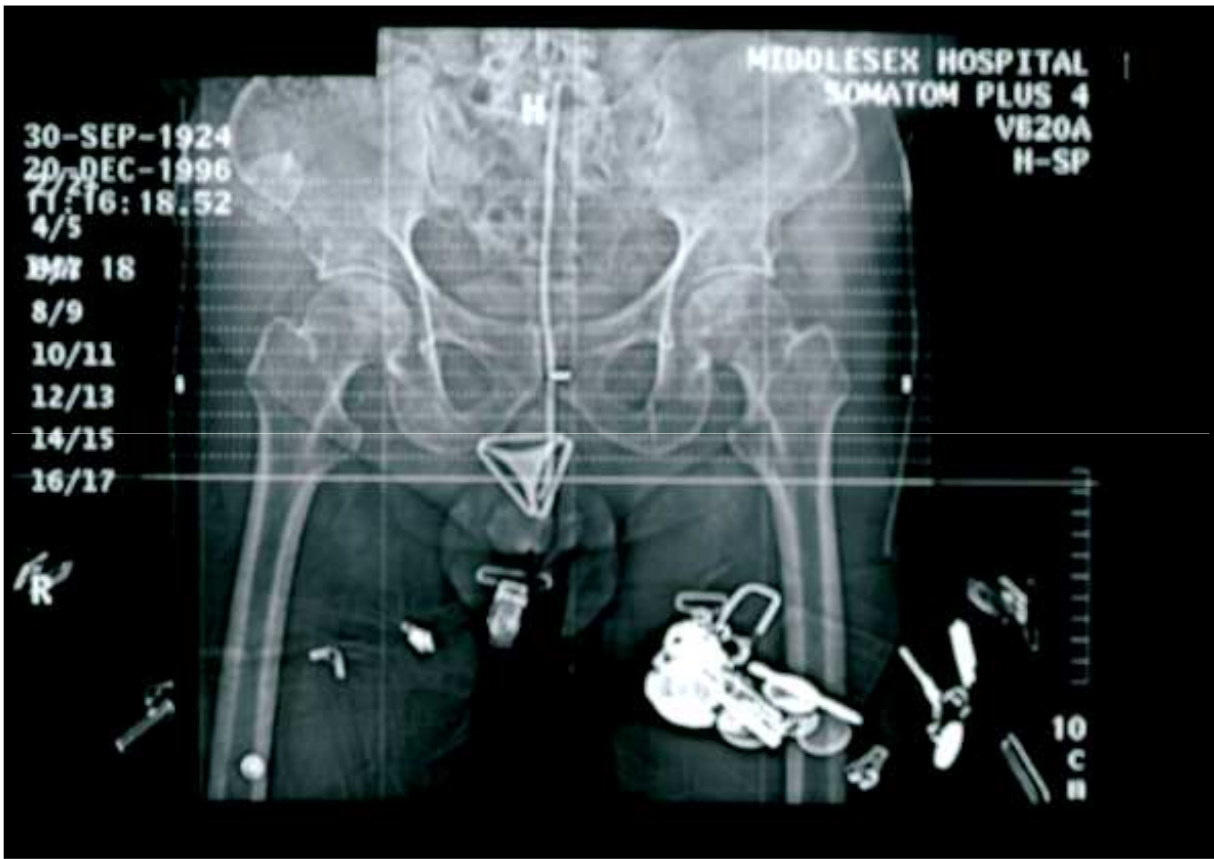
The exact timing and duration of ADT is still under investigation

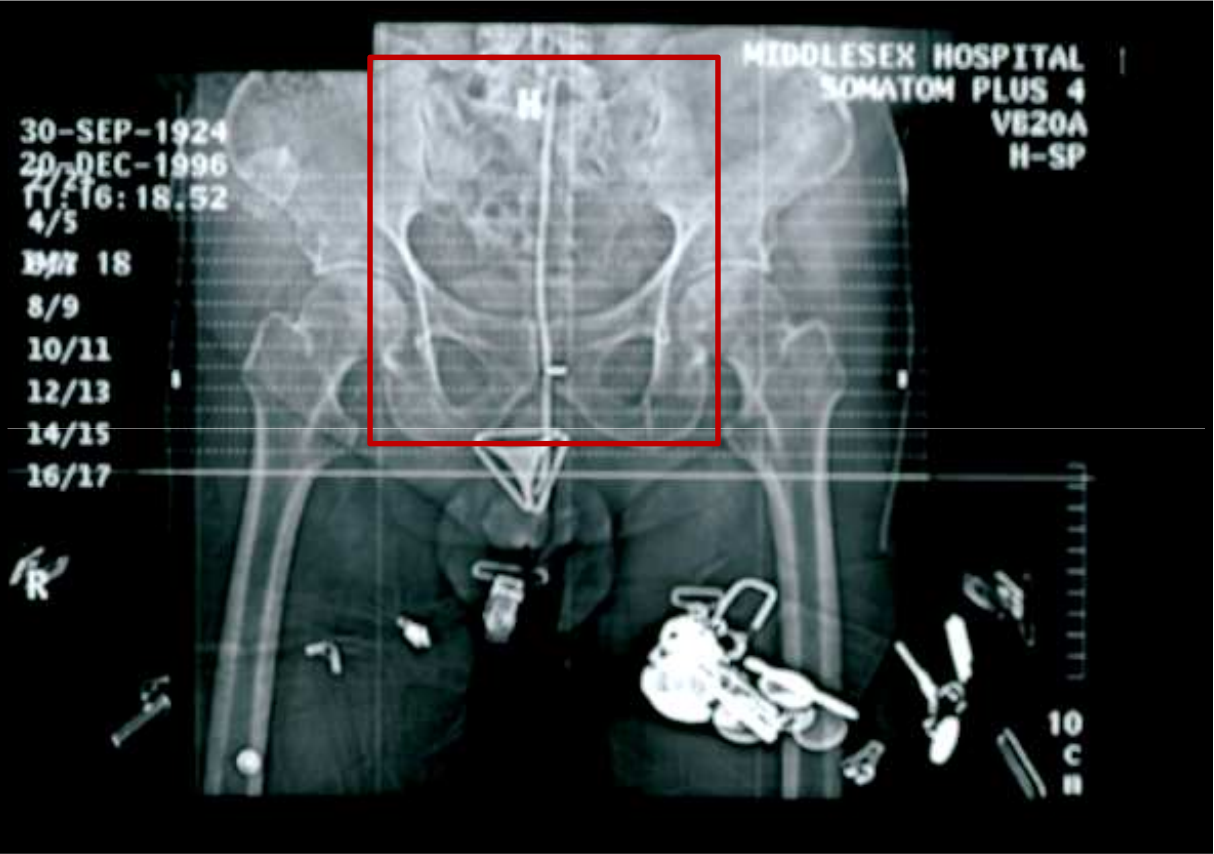
It remains to be established whether combining these approaches improves Prostate Cancer outcomes further

Heidenreich A et al. EAU guidelines 2012; 3. Pinkawa M et al. Strahlenther Onkol 2011;187:479–484;  
4. Payne H, Mason M Br J Cancer 2011;105):1628-34; 5. Smith MJ et al. Prostate Cancer 2012; article ID 280278 Epub 2012

Are we on the.....  
'The Node to Nowhere'









## WPRT vs. PORT: Retrospective Studies - Positive

Table 3  
Retrospective studies showing a benefit from WPRT

Study	Population	Field	No	DFS (%)	<i>P</i>	Survival (%)	<i>P</i>
McGowan [67]	T2-T3	WPRT	91	63 (5 years)	0.1	NA	NA
		PORT	44	35 (5 years)			
Ploysongsang [91]	T2	WPRT	32	68 (5 years)	NA	92 (5 years)	0.25
		PORT	60	NA		70 (5 years)	0.0004
	T3	WPRT	82	63 (3 years)	0.0004	72 (5 years)	
		PORT	41	30 (3 years)		40 (5 years)	
Seaward [105]	15% <LN+	WPRT	117	34.3°	0.0001	NA	NA
		PORT	84	21.0°		NA	NA
Seaward [106]	15% <LN+ <35%	WPRT	70	39.5	<0.0001	NA	NA
		PORT	60	22.5°			
	35% <LN+	WPRT	47	27.2°	NS	NA	NA
		PORT	24	20.8°			
Pan [76]	5% >LN+	WPRT	243	79.3 (5 years)	0.73	NA	NA
		PORT	466	79.0 (5 years)			
	5% <LN+ <15%	WPRT	176	60.2 (5 years)	0.02	NA	NA
		PORT	87	47.9 (5 years)			
15% <LN+	WPRT	274	45.7 (5 years)	0.57	NA	NA	
	PORT	35	45.3 (5 years)				

## WPRT vs. PORT: Retrospective Studies - Negative

Table 4  
Retrospective studies failing to show a benefit from WPRT

Study	Population	Field	No	Recurrence (%)	<i>P</i>	DFS (%)	<i>P</i>
Aristizabal [4]	All stages	WPRT	58	12	NA	NA	NA
		PORT	160	7.5	NA		
Rosen [99]	T2	WPRT	29	10	NS	60 (5 years)	NS
		PORT	48	12.5		60 (5 years)	
	T3	WPRT	49	14	NS	45 (5 years)	NS
		PORT	38	21		65 (5 years)	
Zagars [120]	T3	WPRT	202	NA	NA	54 (10 years)	NS
		PORT	233	NA		43 (10 years)	
Zagars [121]	T1b,c or T2	WPRT	22	NA	NA	92 (5 years)	NS
		PORT	55	NA		87 (5 years)	
Rasp [95]	+LN > 15%	WPRT	52	NA	NA	35 (5 years)	NS
		PORT	52	NA		29 (5 years)	

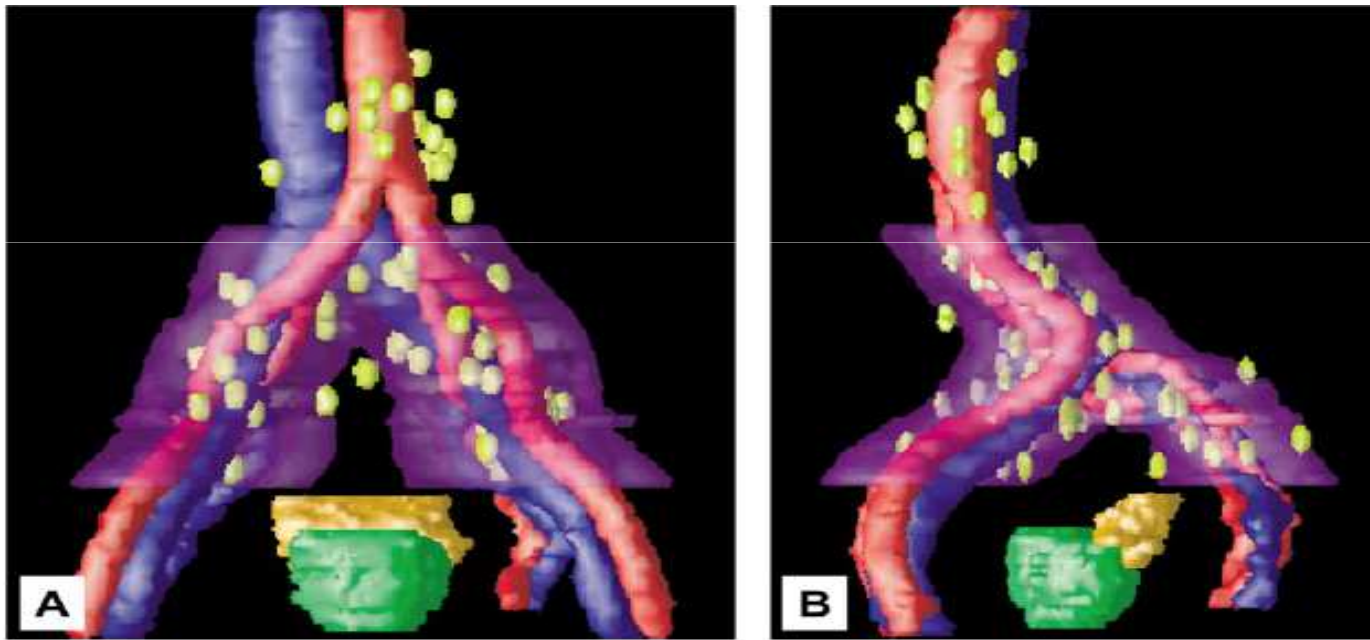
# What were the problems with these trials ?

- Recruitment of patients with low risk of LN mets (GETUG)
- Low doses of RT to prostate and pelvis approx. 66-70GY and 46-48Gy
- Inadequate treatment of some LN groups
- Interaction with scheduling of hormone treatment (RTOG)
- Some favourable subgroup analyses for LN RT

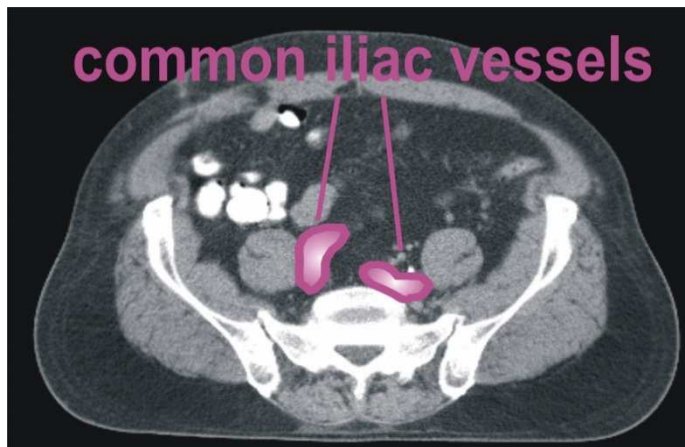
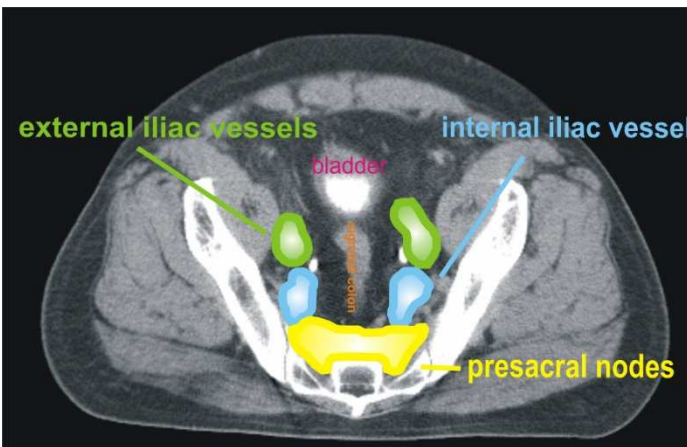
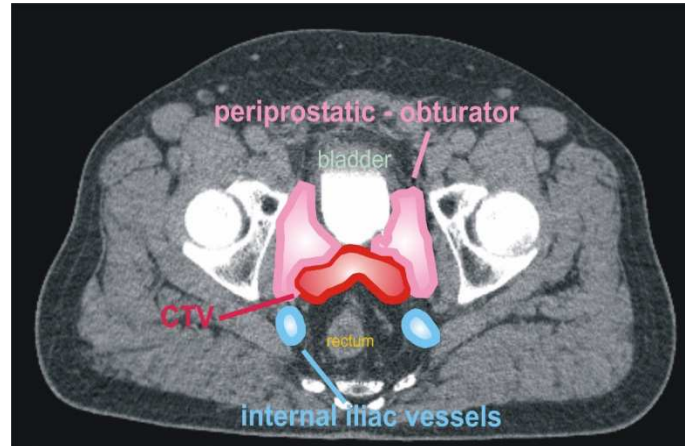
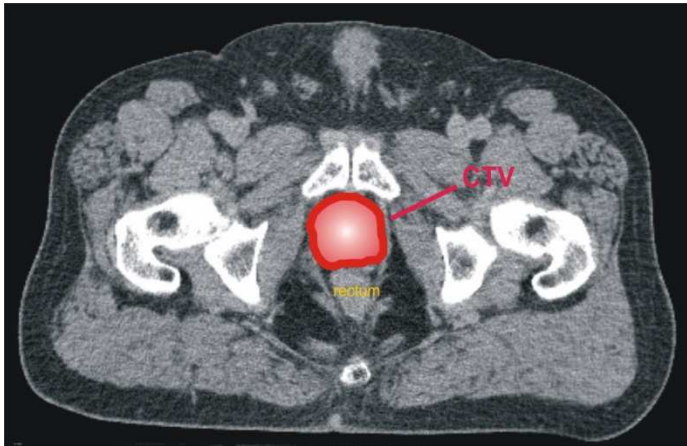
# **N0/N1 – non metastatic prostate cancer**

- Evidence unclear for concomitant RT to whole pelvis to treat pelvic LN for N0/N+ - studies N0 with different dose and RT techniques
- Historically associated with high toxicity
- Inadequate dose
- N0 to N+ - detection and imaging utilised
- N+ to N0 with neoadjuvant ADT= treat microscopic disease
- No RCT has assessed the role of RT N+ M0 patients and none are planned.

# Target volume for Treatment of pelvic lymph nodes

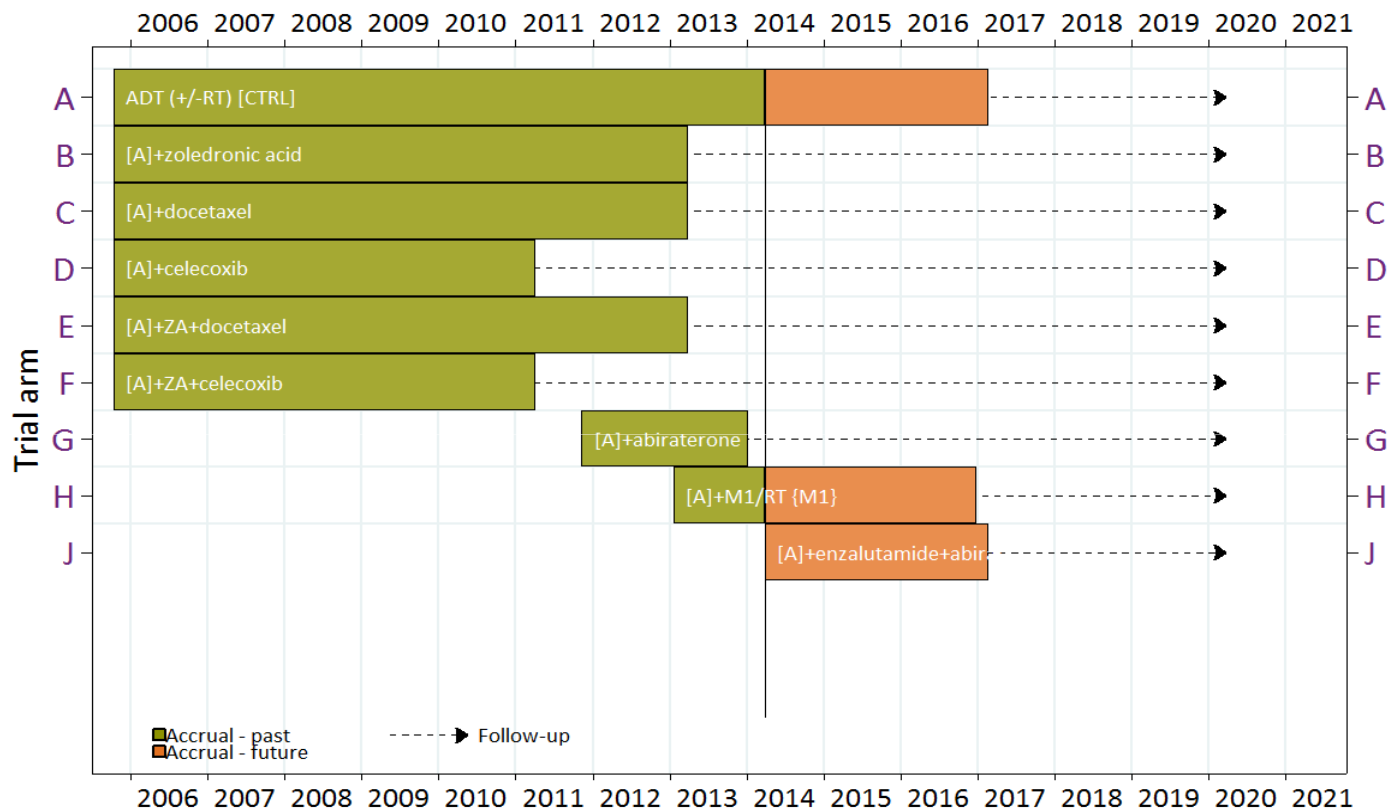


Metastatic nodes referenced to pelvic vessels with a 2.0-cm clinical target volume expansion to the region



Courtesy of Gert De Meerleer

### STAMPEDE: Enzalutamide + abiraterone comparison to be activated



Apr-2014: Third new comparison

# Patient Characteristics

1%	WHO PS 2	[s]
21%	WHO PS 1	[s]
65yr	Median age (min 40, max 84)	[s]
61%	Metastatic (85% Bony mets)	[s]
15%	N+M0	
24%	N0M0	
98%	LHRH analogues	[s]
29%	Planned for RT (72% of N0M0 pts)	[s]
6%	Previous local therapy	

Balanced by arm

[s] Stratification factors + hospital +  
NSAID/aspirin



# STAMPEDE

- Recruits men from 4 groups starting long-term ADT:
  1. High-risk localised (T3/4, PSA >40 or Gleason 8-10)
  2. Node-positive (N+) prostate cancer
  3. Newly-diagnosed metastatic (M1)
  4. High risk recurrence post surgery or RT
- Tests addition of further treatments to standard care
- Radical radiotherapy in standard care:
  - NOM0 patients; optional Oct 2005 – Nov 2011, mandatory from Nov-2011
  - N+M0 patients; optional

**Impact of node status and radiotherapy on  
failure-free survival in patients with newly-diagnosed  
non-metastatic prostate cancer:  
Data from >690 patients in the control arm of the  
STAMPEDE trial (MRC PR08, CRUK/06/019)**

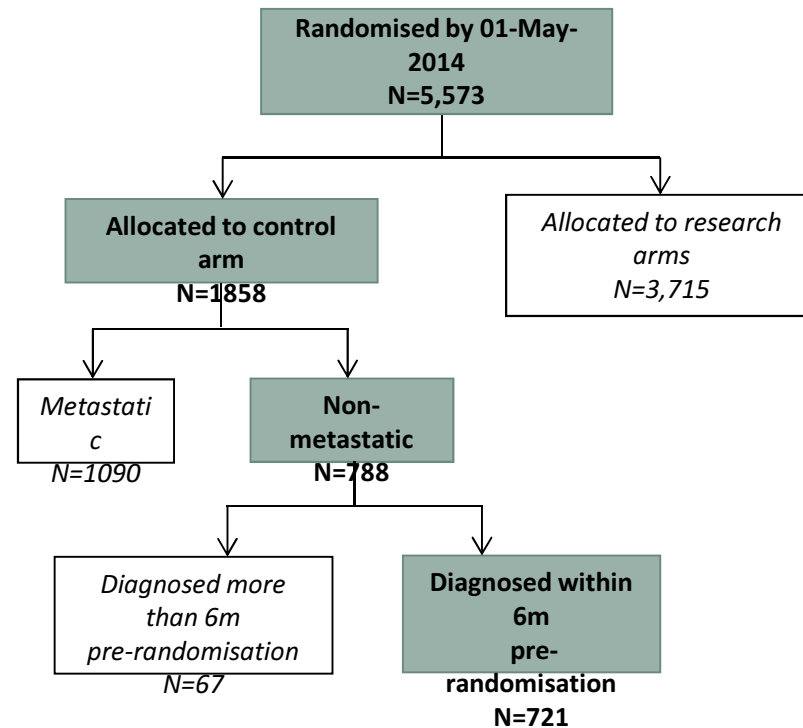
Nicholas James , MR Spears, NW Clarke, MR Sydes, CC Parker,  
DP Dearnaley, JM Russell, AWS Ritchie, G Thalmann, JS De  
Bono, G Attard, C Amos, MK Parmar, MD Mason and the  
STAMPEDE Investigators

# Aims

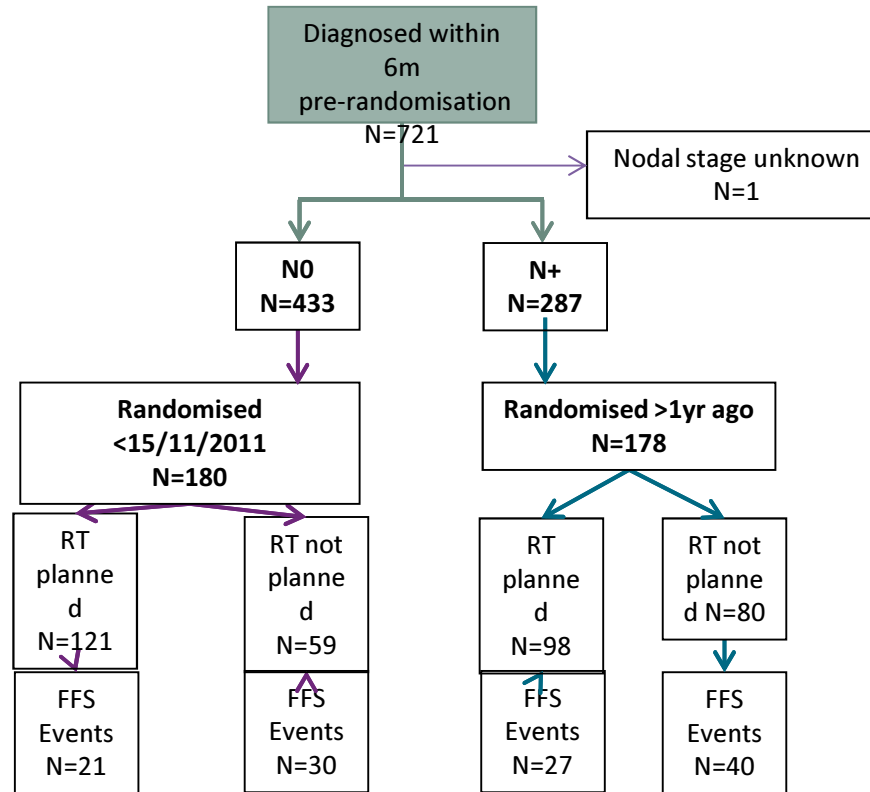
1. Describe prognosis for men with newly-diagnosed high-risk M0 disease
2. Describe impact of planned radical RT (6-9 months from randomisation) on time to progression
  - split by nodal status N0/N+

# Results

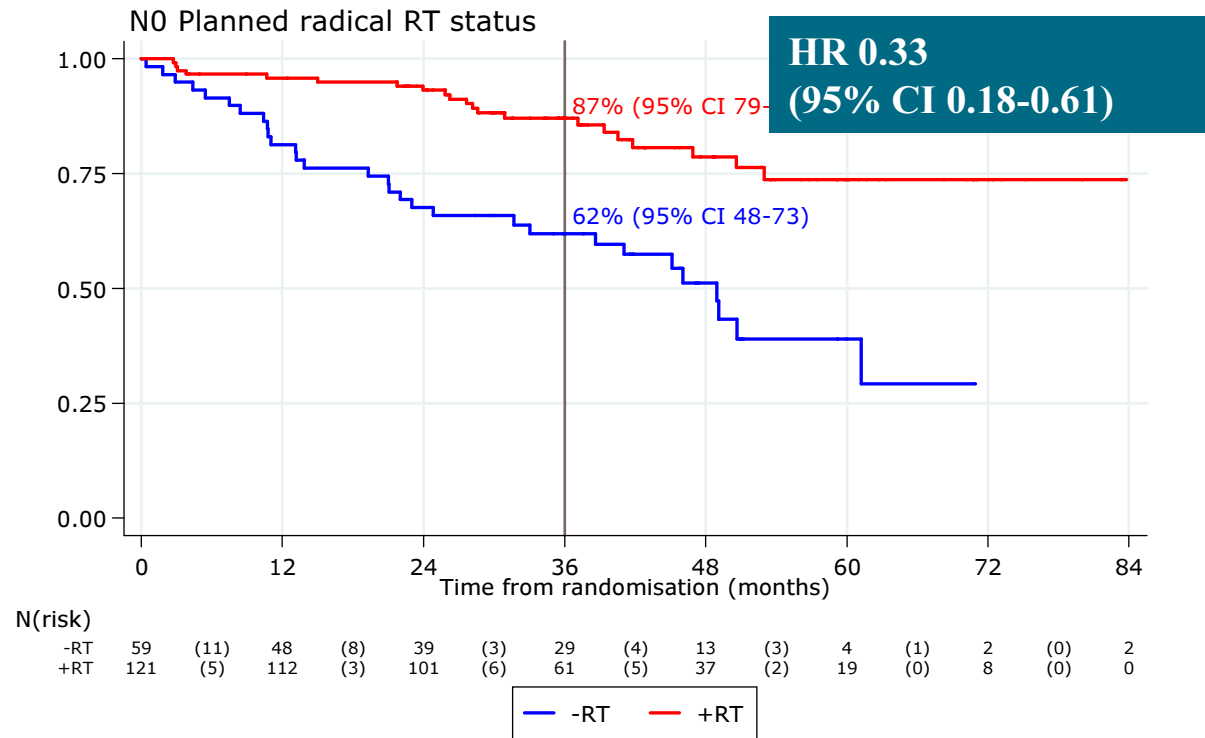
- Prognosis of newly-diagnosed high-risk M0 disease
- Cohort selection:



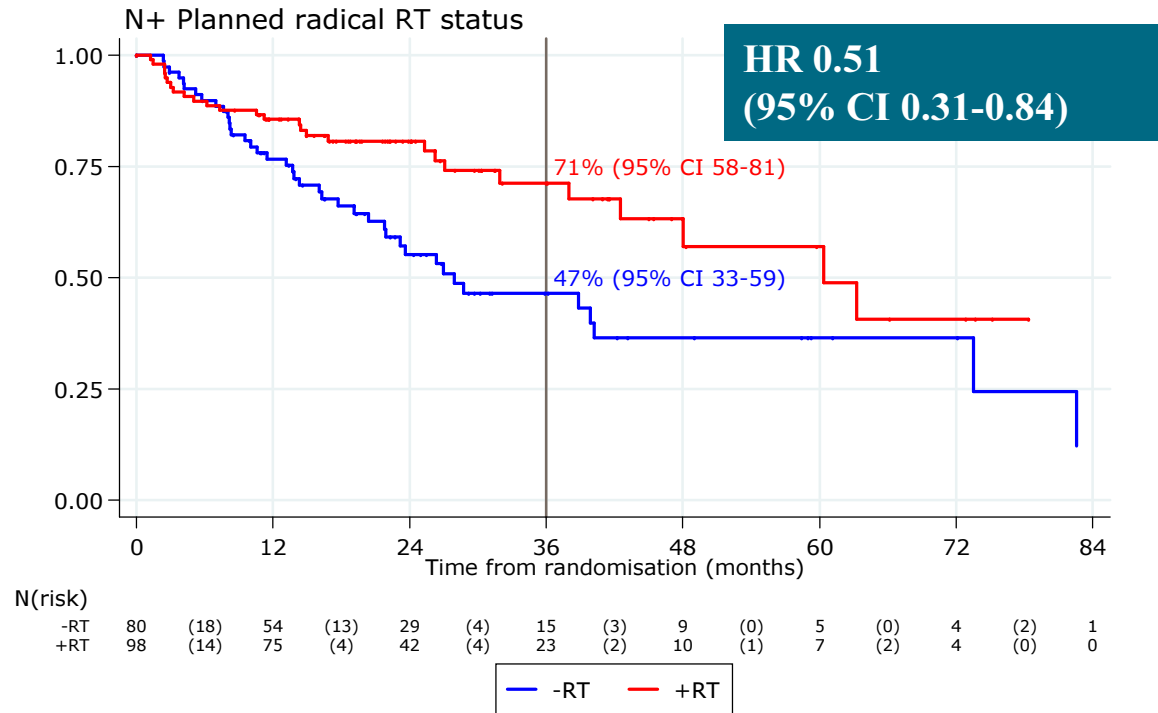
# Nodal Subgroups



# FFS by RT status: Node-negative cohort



# FFS by RT status: Node-positive cohort

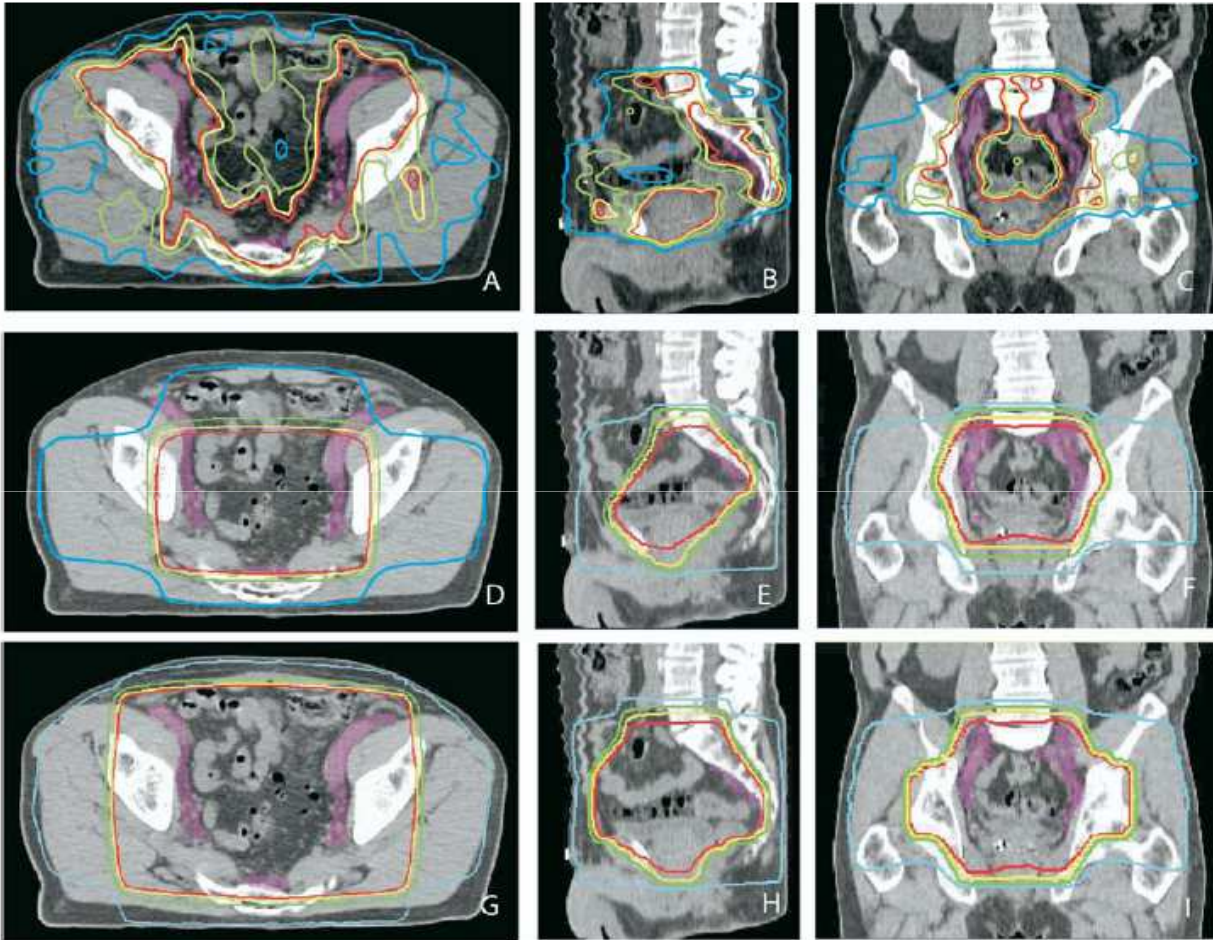


## Conclusions

- ❖ Survival better than anticipated at trial inception in 2005
  - In M0, control arm patients
- ❖ Effect of RT in NOM0 patients consistent with effect seen in previous large RCTs
- ❖ Effect of RT in N+ patients similar to effect in N0 patients
- ❖ Strongly supports routine use RT in node-positive prostate cancer
  - How best to administer?

ND James et al Proc ASTRO 2014.





# IMRT to Pelvic Lymph Nodes

- PLANNING TARGET VOLUMES
- GTVnodes      GTV nodes - vessels
- 
- CTVnodes      GTVnodes + 0.7cm with trim for muscle and bone. Superiorly CTV extends to lower border of L5, as seen on the sagittal view of the planning CT; inferiorly to the obturator nodes at superior extent of seminal vesicles.
- PTV55 = CTVnodes+ 0.5cm-0.7cm (to be specified on planning note)

## CONCLUSIONS

- RT to N+ pelvic lymph nodes – emerging data but no RCT – extrapolation of data
- Neoadjuvant ADT to reduce LN – treat microscopic disease
- EBRT can now be given with reduced toxicity
- Role of chemotherapy
- Role of Surgery

Thank You

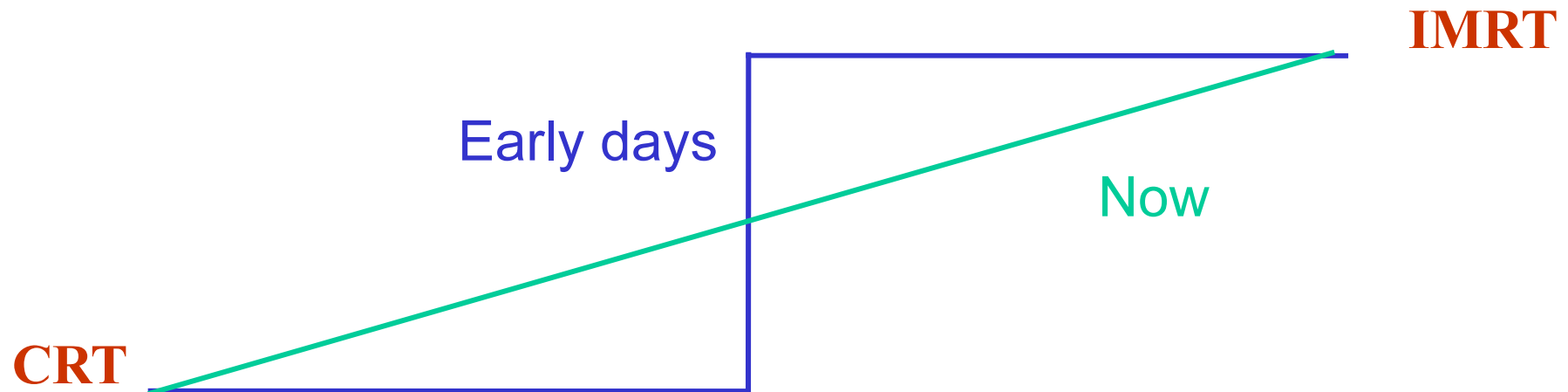


Azienda Provinciale per i Servizi Sanitari  
Trento, Italy

# Practical IMRT planning and 'biological optimization'

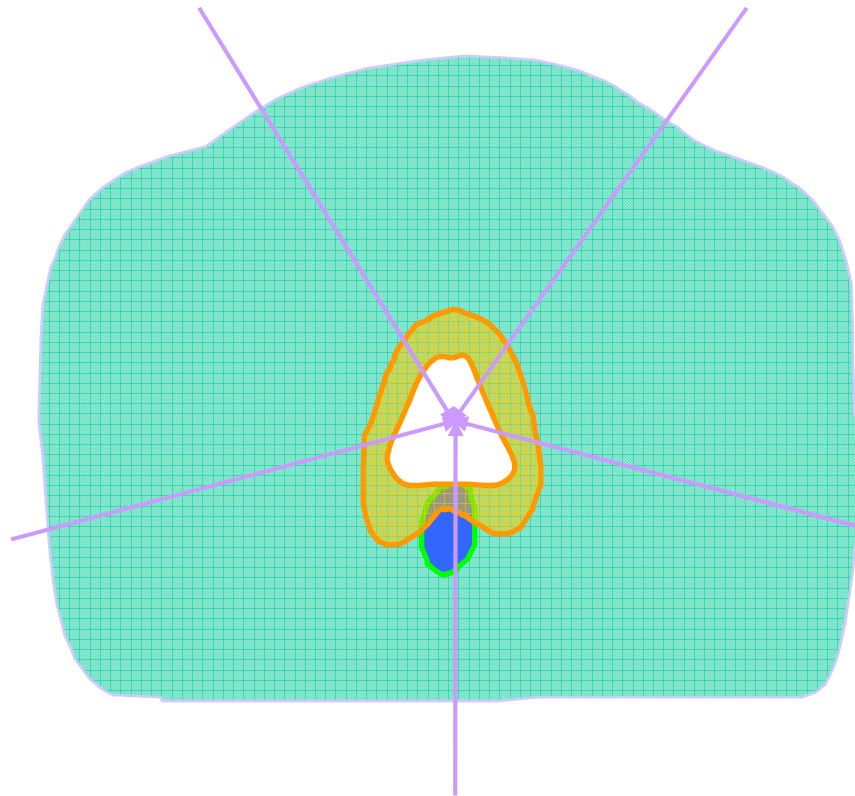
*Marco Schwarz*  
*Marco.schwarz@apss.tn.it*

‘IMRT’: one word for a number of different approaches



Choosing where to stay on this line will make quite some difference also in terms of treatment planning

# IMRT to solve simple problems

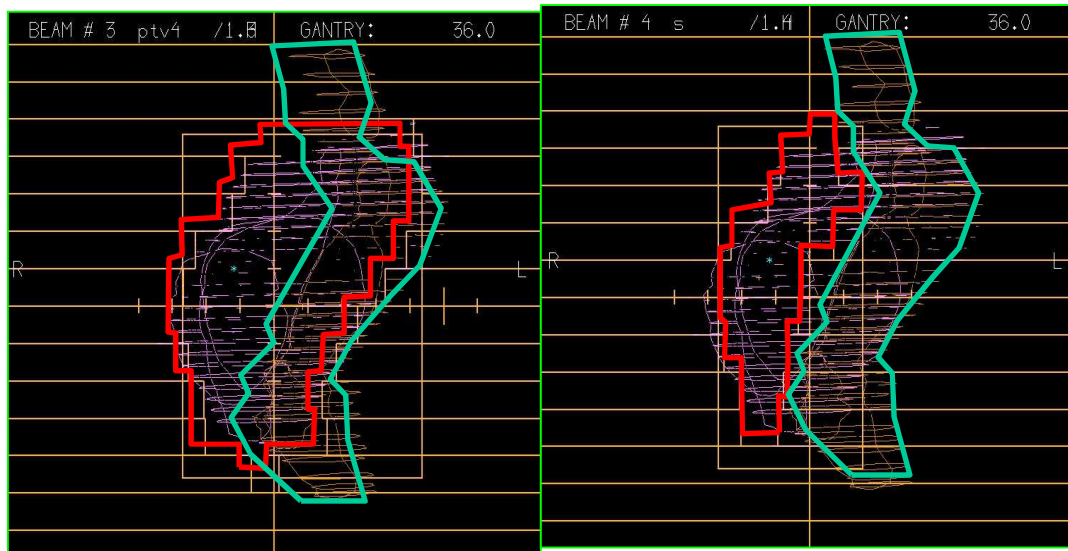
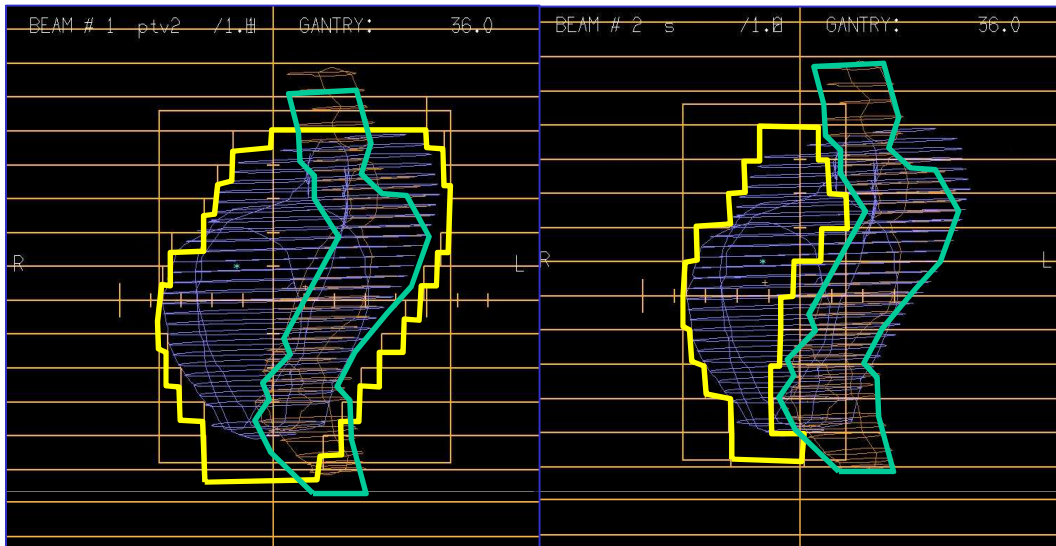


## The problem:

Deliver 78 Gy to prostate ( $\pm$  SV) + margin

CRT too risky for the rectal wall

1 conflict to deal with  
(PTV minimum dose vs high doses in the rectum)



## Possible solution:

‘Forward planning’ does work

Class solution for beam direction and segment shape

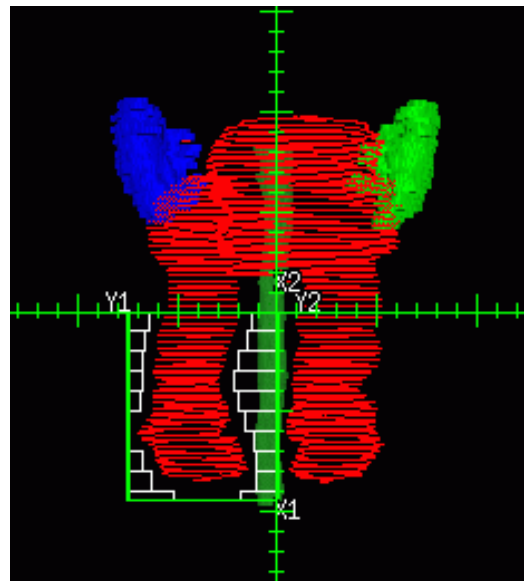
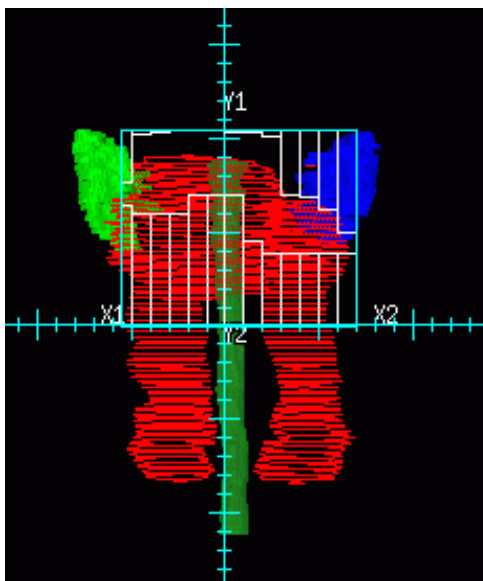
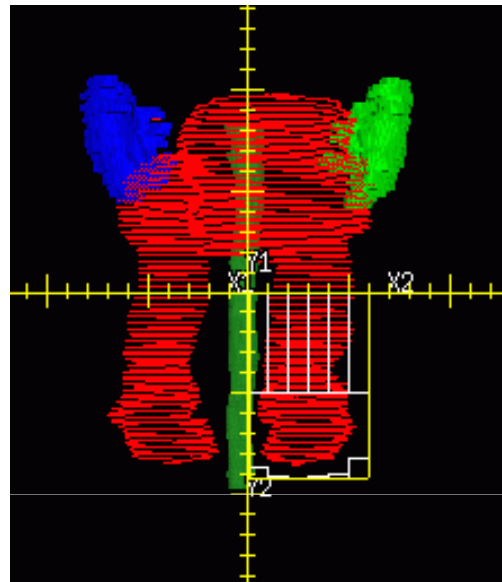
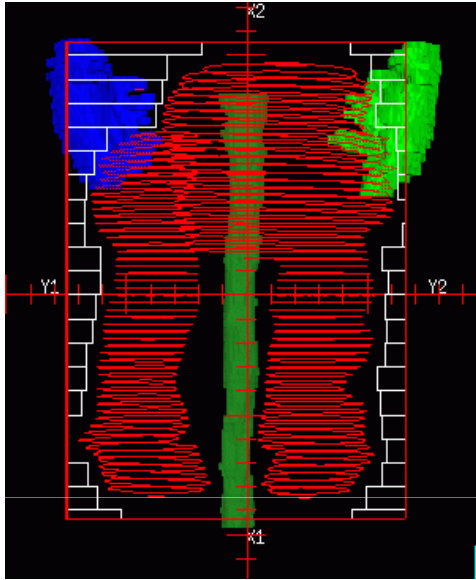
Simple cost function

12-18 segments overall

Simple plan verification



*... more complex problems*



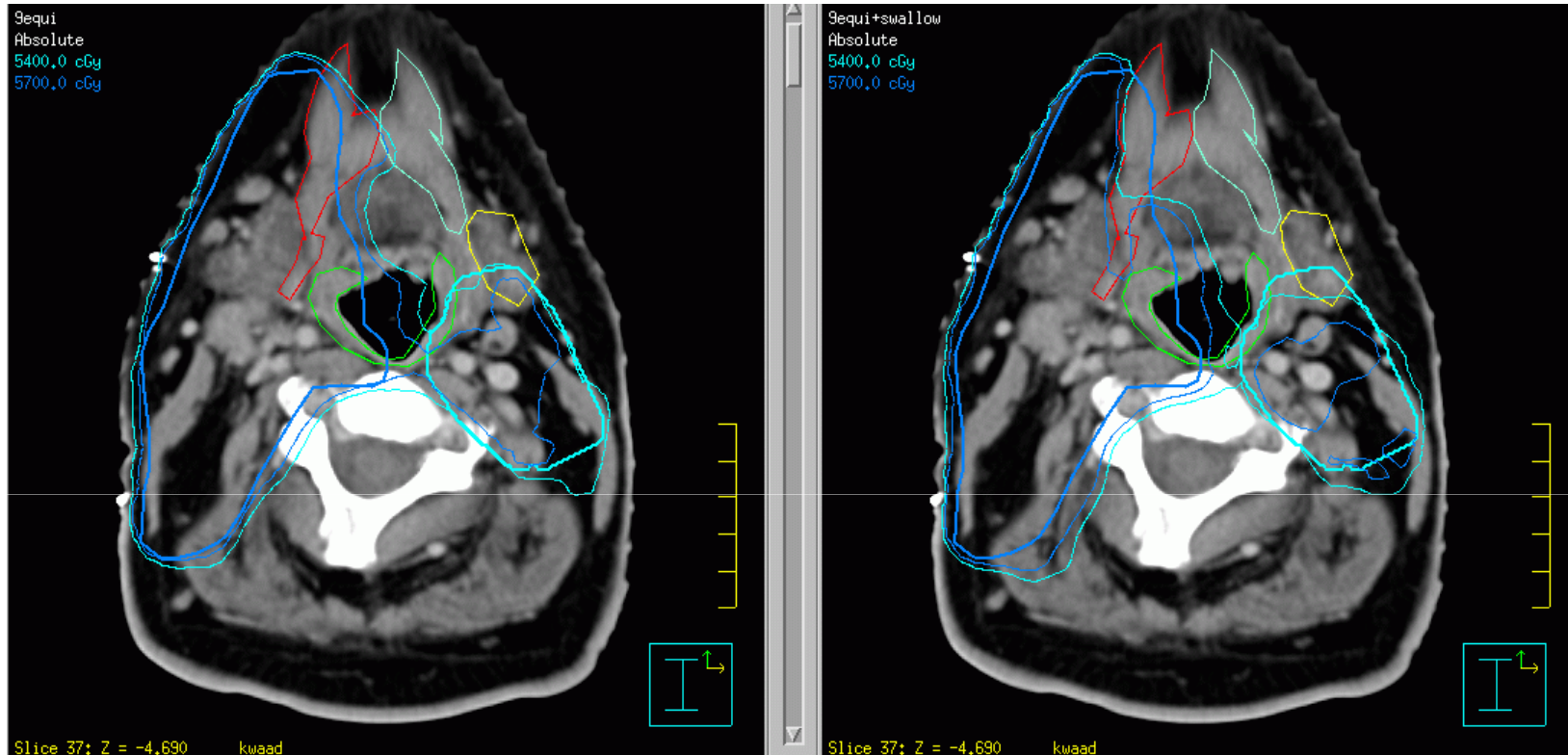
'forward planning'  
sort of works,  
but tricky  
without

*automatic segment  
definition*

and

*segment shape  
optimisation*

... even more complex problems



Complex IMRT cases require

- Elaborate cost functions,
- Efficient optimisation and segmentation techniques,
- Segment shape optimisation and
- Tools for sensitivity analysis.

*Defining the treatment goals -  
Cost function*

*One should define a cost function ...*

**1. Specific enough**

To define the boundaries of the search space.

*Example: Define the dose limits for the OAR over the whole dose range.*

**2. General enough**

Not to artificially restrict the solution space

*Example: if you want to obtain a specific OAR mean dose, do not set objectives for this OAR with DVH points.*

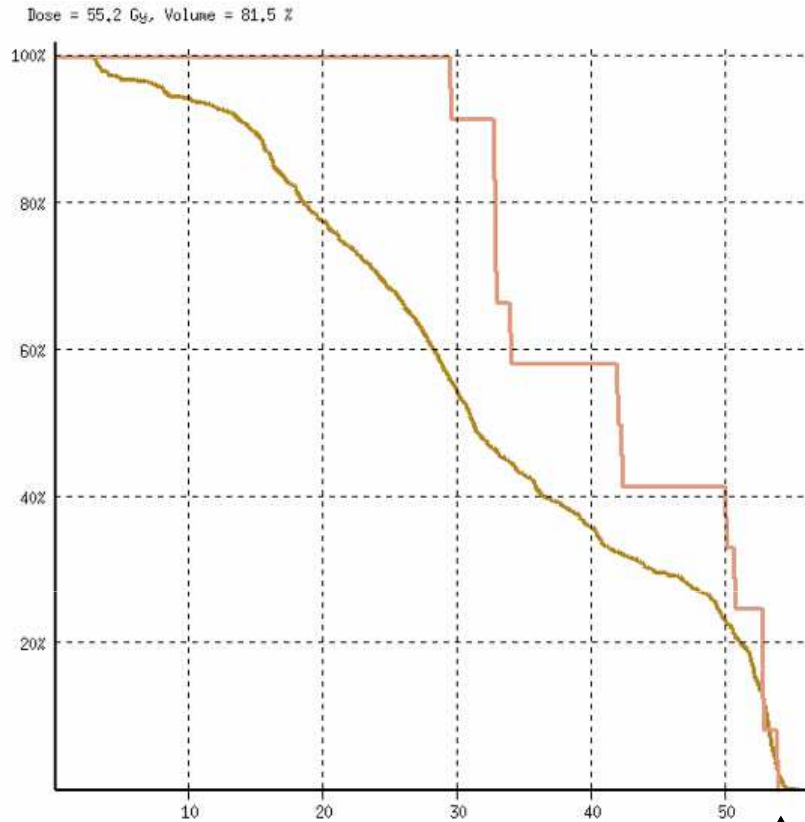
Common sense and implicit knowledge must be made explicit

# *Cost function vs. plan evaluation*

The dose distribution resulting from (unconstrained) optimisation typically contains

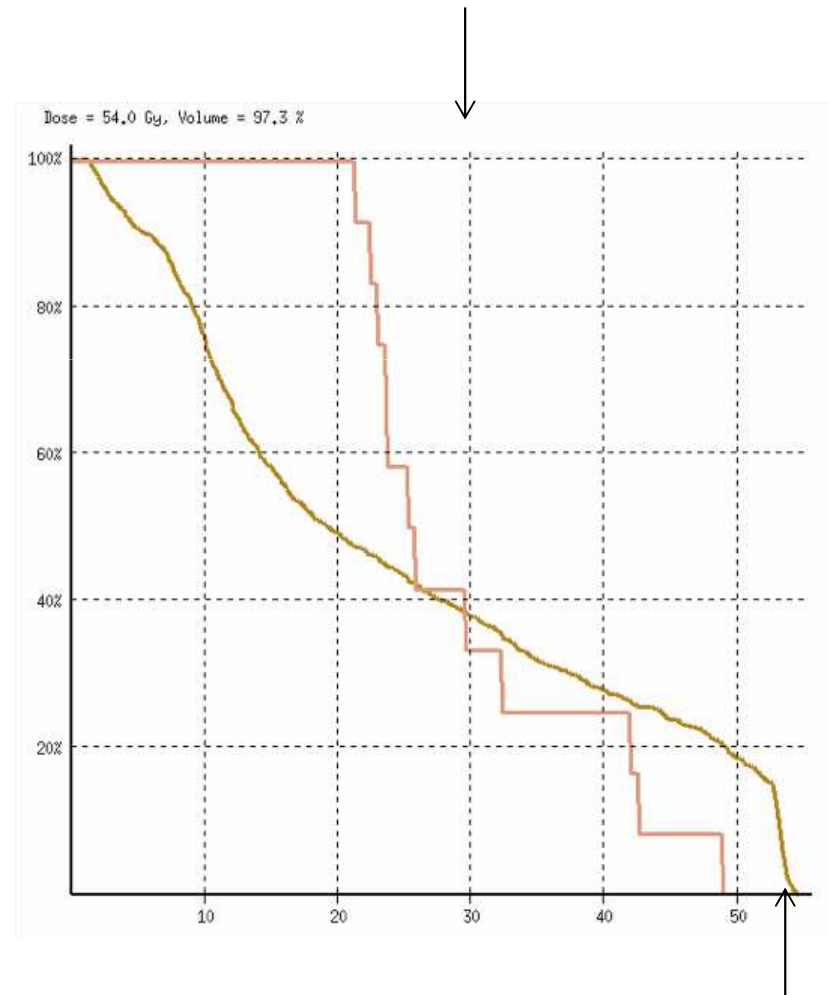
- Features you requested and you obtained
- Features you requested and you did not obtain
  
- Features you did **not** request
  - Neutral features
  - Negative features (you left a 'hole' in the cost function, and the optimisation took advantage from it)
  - Positive features (you got them 'for free', as a byproduct of other features)





Dmax objective

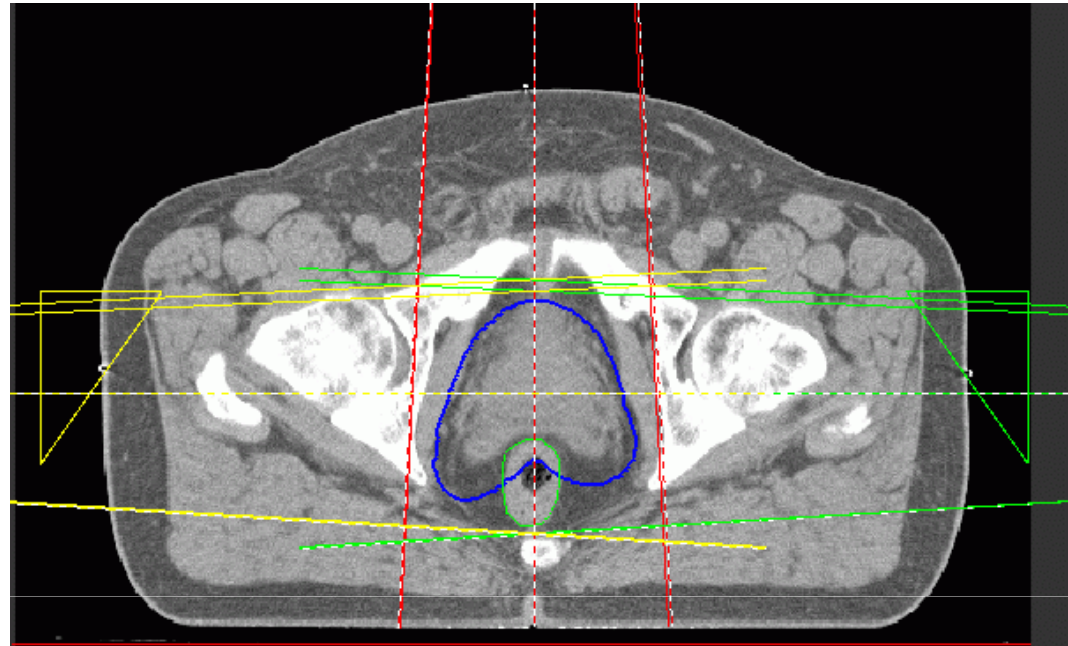
Dmax + Dmean  
objective



Think about this problem  
when you read paper on  
treatment planning studies

*Defining the treatment goals -  
VOI definition*

*PTV:  
advantages*



Forced people to incorporate geometrical uncertainties into treatment planning

ICRU concepts quite rapidly became a standard

Very appropriate tool for CRT: not too simple, not too complex.



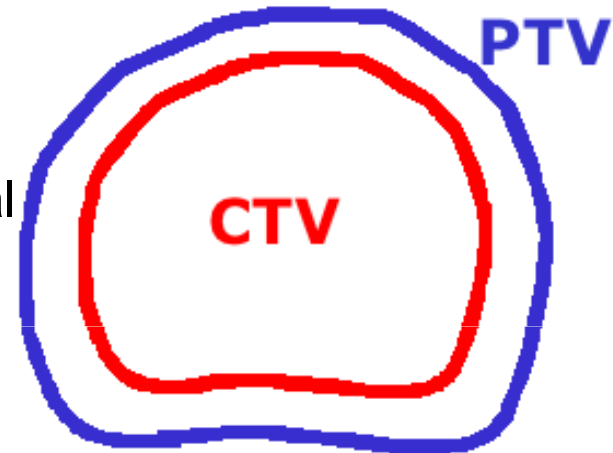
## *PTV: disadvantages*

The PTV concept works only when

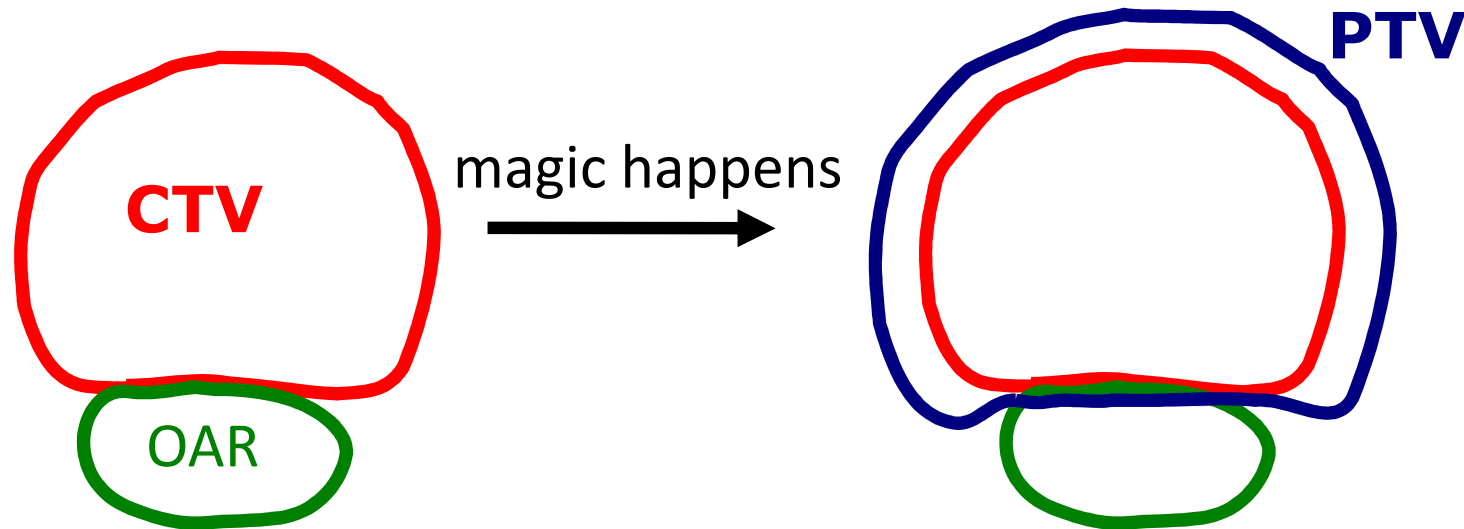
- a) The dose is homogeneous
- b) The dose is invariant after translations/rotations
- c) Margins are defined correctly w.r.t. the geometrical uncertainties

The tradeoff between target coverage and OAR sparing is unbalanced

N.B. IGRT aims at reducing PTV margins but does not address the shortcomings of PTV-based RT



*To compensate for the unbalance between target coverage and OAR sparing, we often cheat*



As if one should prefer homogeneous doses in the wrong PTV instead of heterogeneous doses in the right PTV

We just shift the problem from planning to dose delivery and dose reporting

*Reduced local control in prostate XRT with 3mm margin and daily IGRT (Engels IJROBP 2009)*

**CLINICAL INVESTIGATION**

**Prostate**

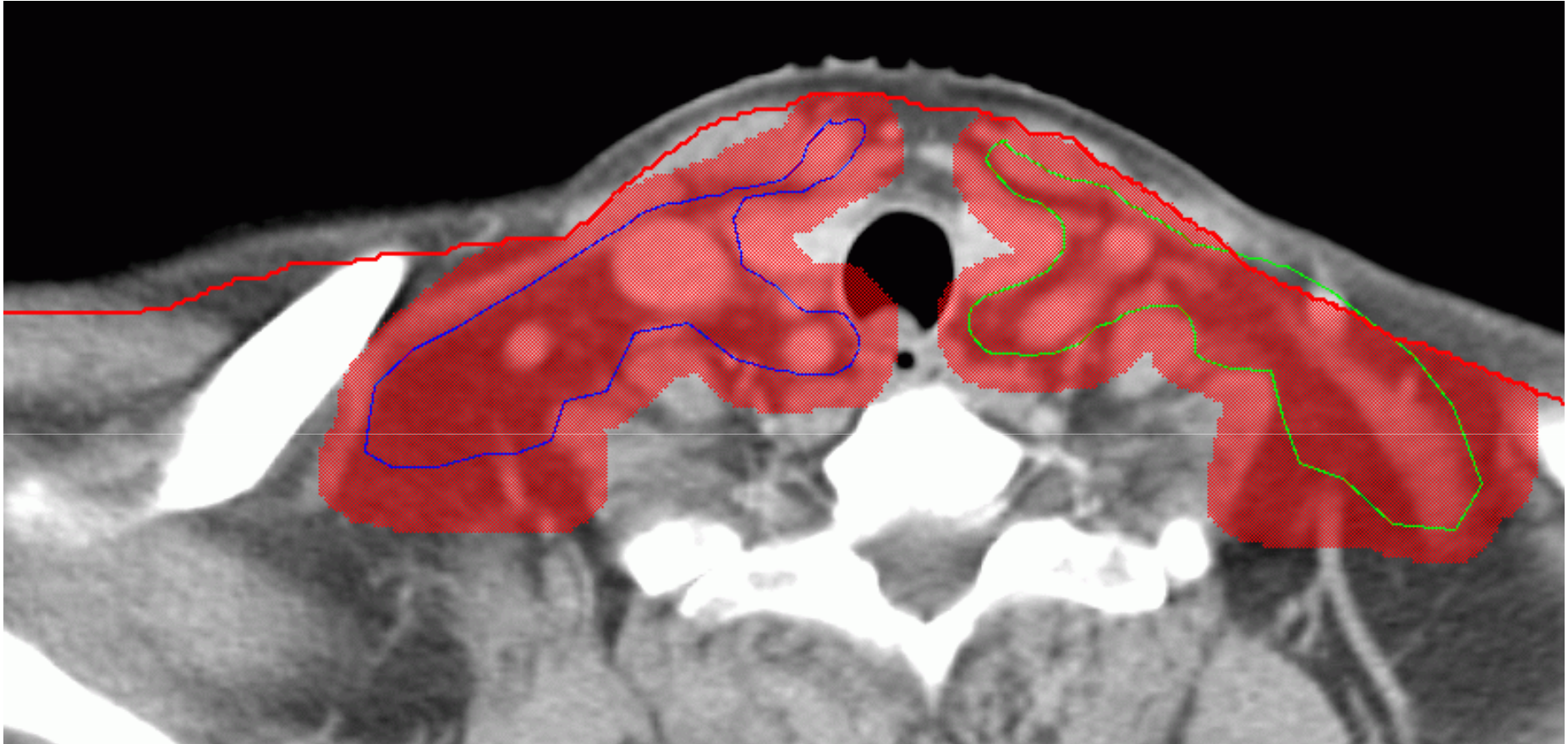
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**CONFORMAL ARC RADIOTHERAPY FOR PROSTATE CANCER: INCREASED  
BIOCHEMICAL FAILURE IN PATIENTS WITH DISTENDED RECTUM ON  
THE PLANNING COMPUTED TOMOGRAM DESPITE IMAGE GUIDANCE  
BY IMPLANTED MARKERS**

**BENEDIKT ENGELS, M.D., GUY SOETE, M.D., PH.D., D. VERELLEN, PH.D., AND GUY STORME, M.D., PH.D.**

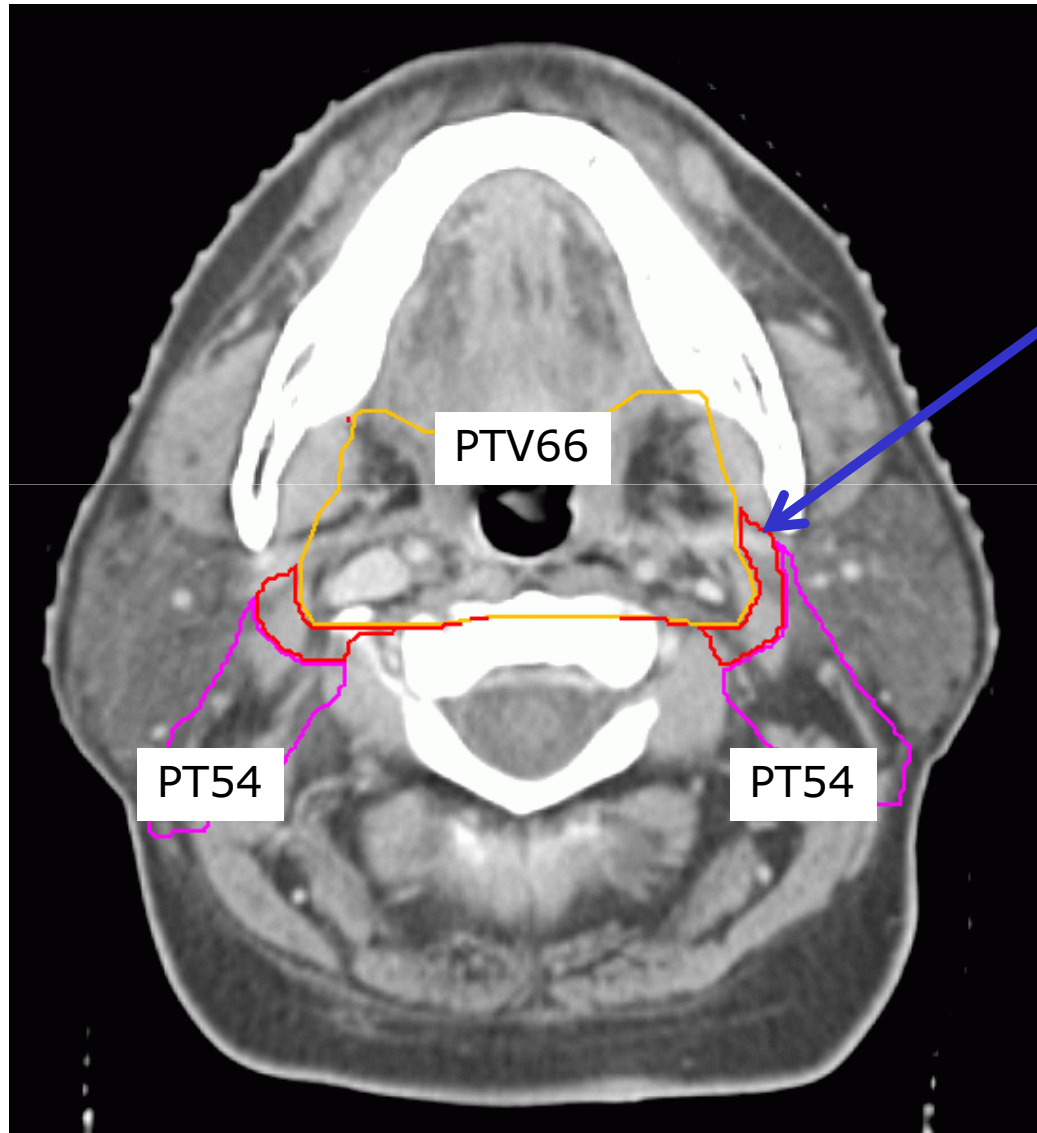
Department of Radiotherapy, University Hospital Brussels, Brussels, Belgium

## *VOI definitions & c.f. – build up region*



When the PTV is shallower than the depth of Dmax, defining an ad-hoc volume + using the 'skin flash' feature of your TPS will help defining better plans

## *SIB & dose gradients in the PTV*



IF

You want to control  
the Dmax in PTV 54

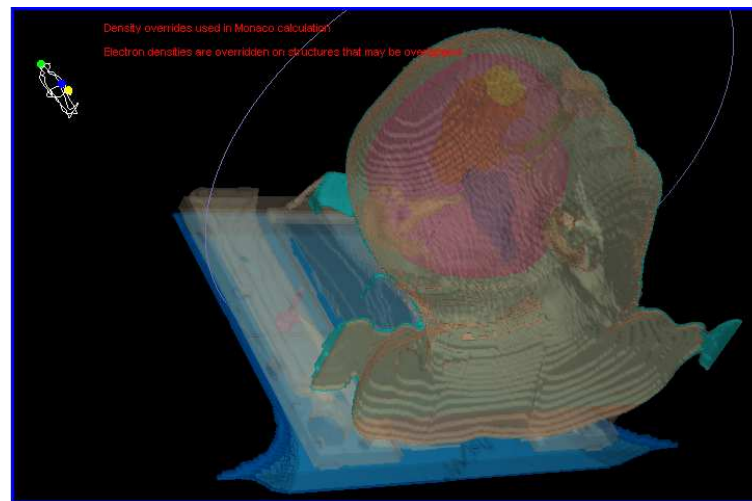
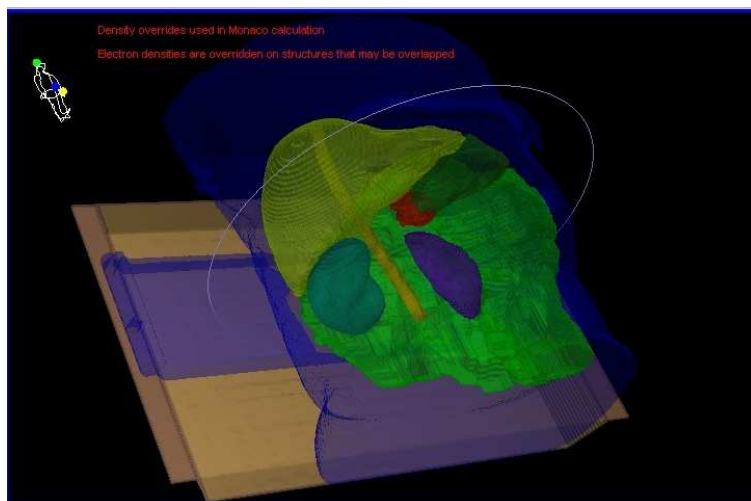
AND

Dmin in PTV66

THEN

You need to make  
room for the dose  
gradient

# Treatment couch modelling



With VMAT there is an increased need in accounting for the couch top (attenuation, increased skin dose, and target coverage effects).

**Dosimetric effects caused by couch tops and immobilization devices:  
Report of AAPM Task Group 176**

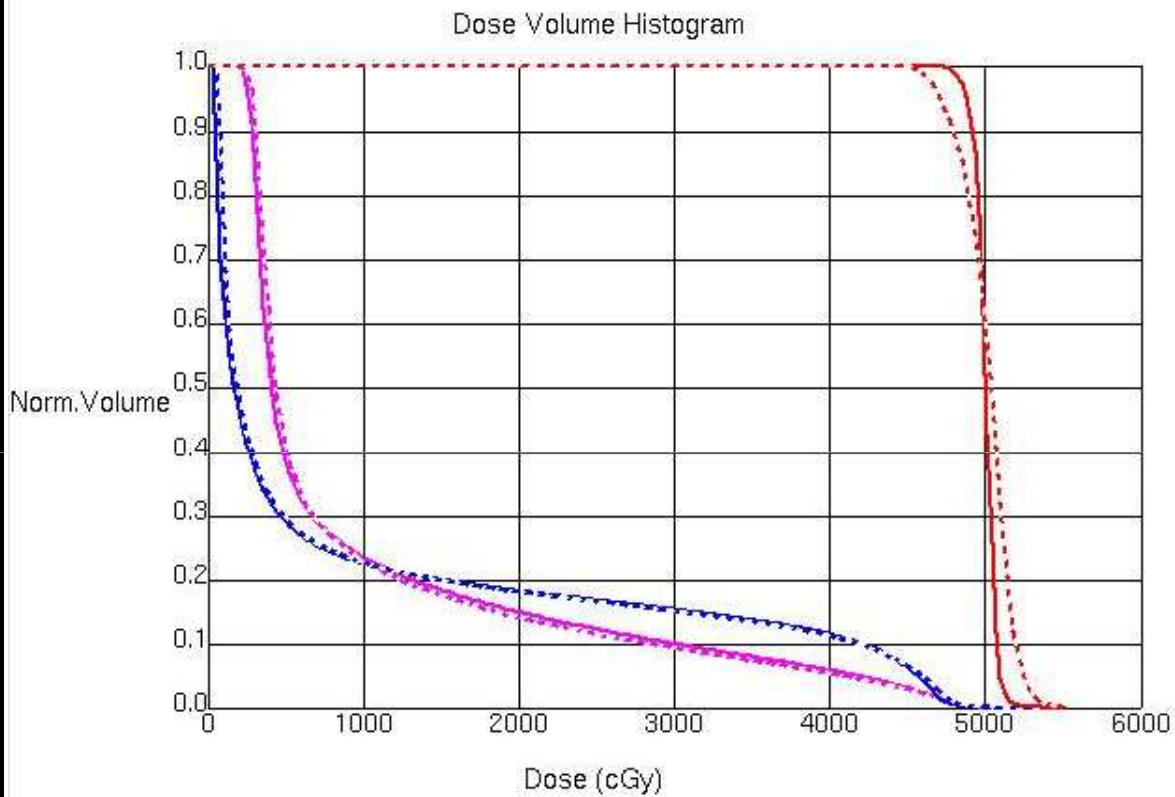
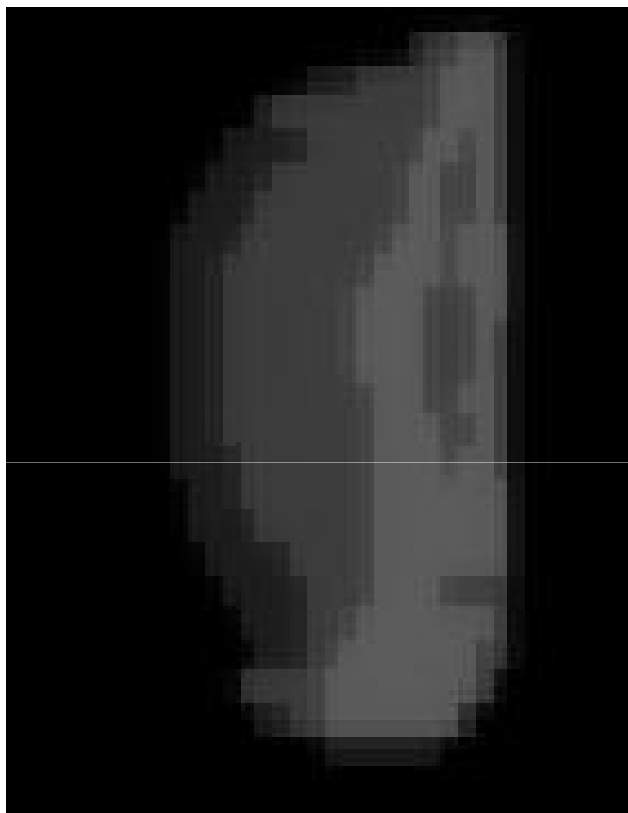
Arthur J. Olch<sup>a)</sup>  
Radiation Oncology Department, University of Southern California and Children's Hospital Los Angeles,  
Los Angeles, California 90027

Med Phys 2014

## *Urban legends on IMRT /1*

*"IMRT = dose heterogeneity in the PTV.  
Live with that."*

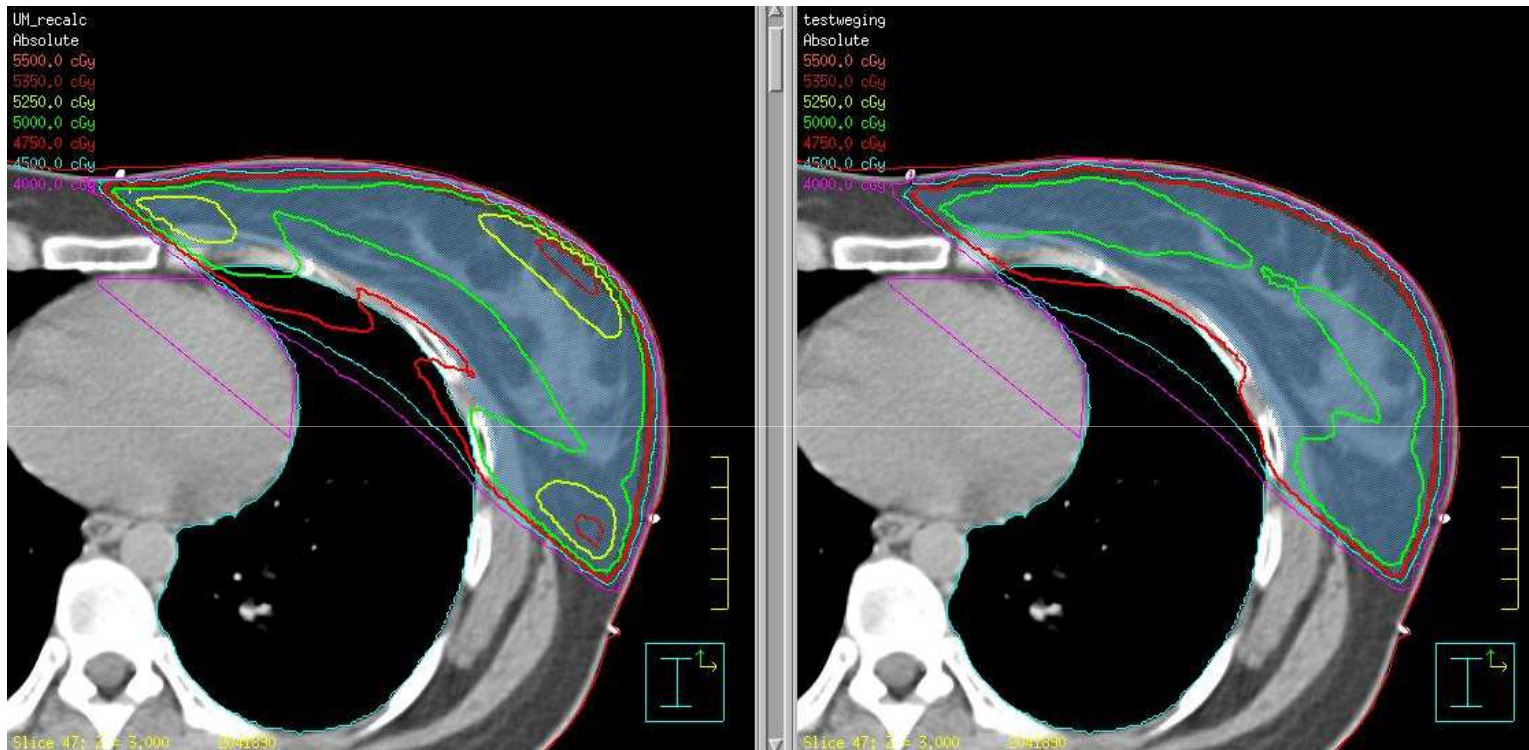
# *IMRT to increase PTV dose homogeneity*



B. van Asselen



# *IMRT to increase PTV dose homogeneity*

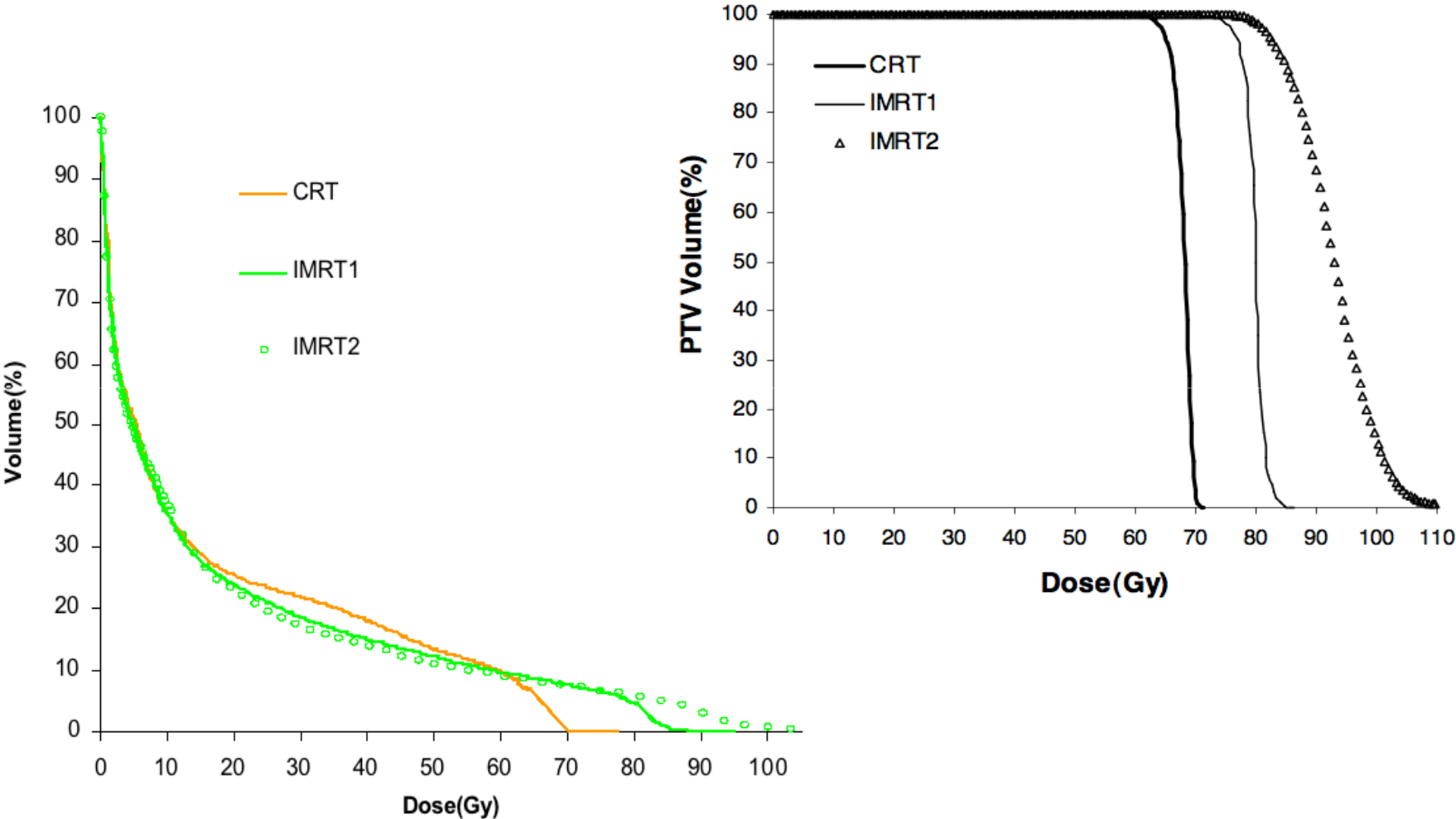


CRT

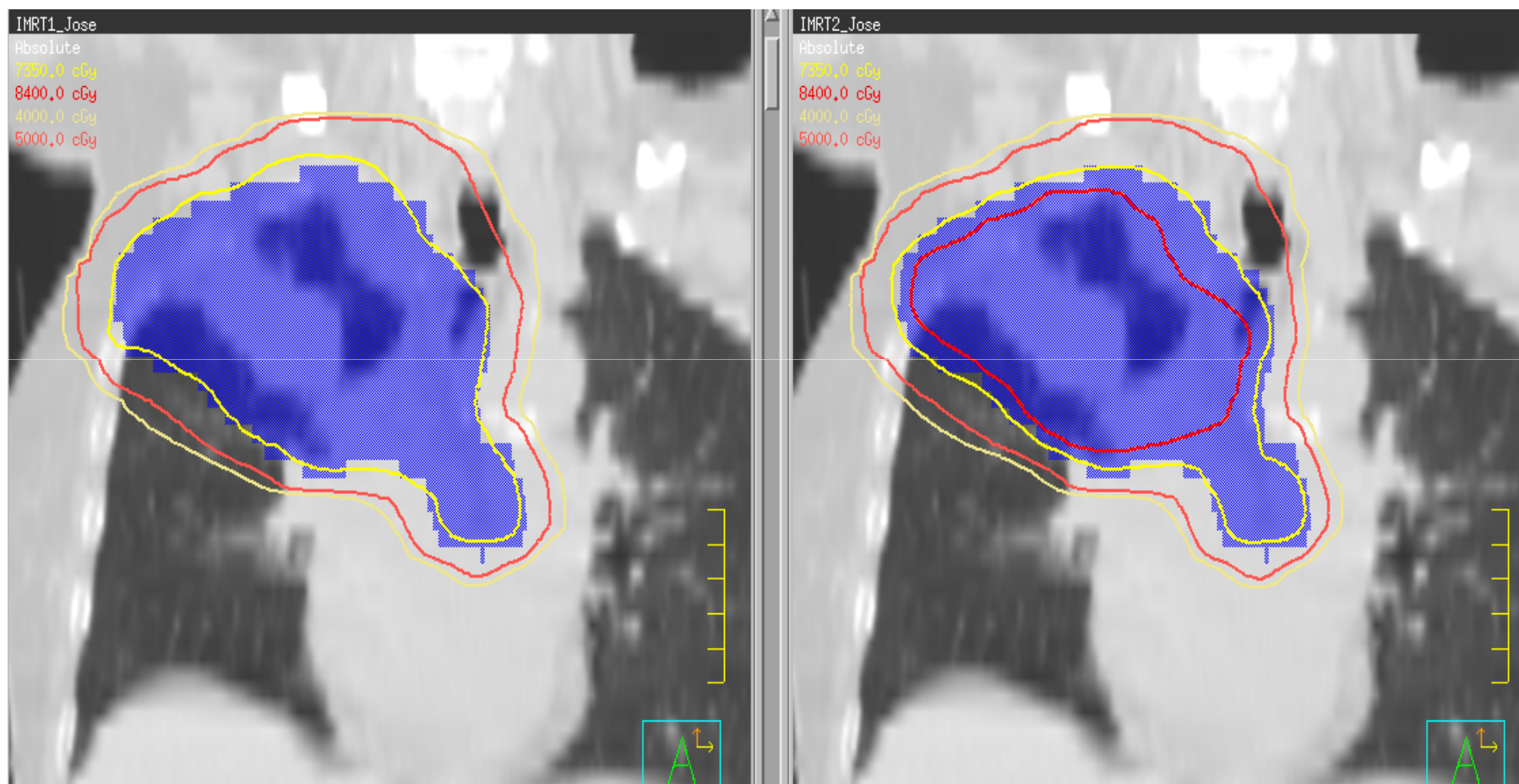
IMRT

van Asselen et al, R&O 2006

# *Dose heterogeneity is a feature, not a bug*



## *IMRT & dose heterogeneity in the PTV*



*"IMRT = dose heterogeneity in the PTV.  
Live with that."*

*Quite the contrary.*

*IMRT allows excellent dose homogeneity as long as you are willing to pay the price for it, so ask for homogeneity only if you actually need it.*

## *Urban legends on IMRT /2*

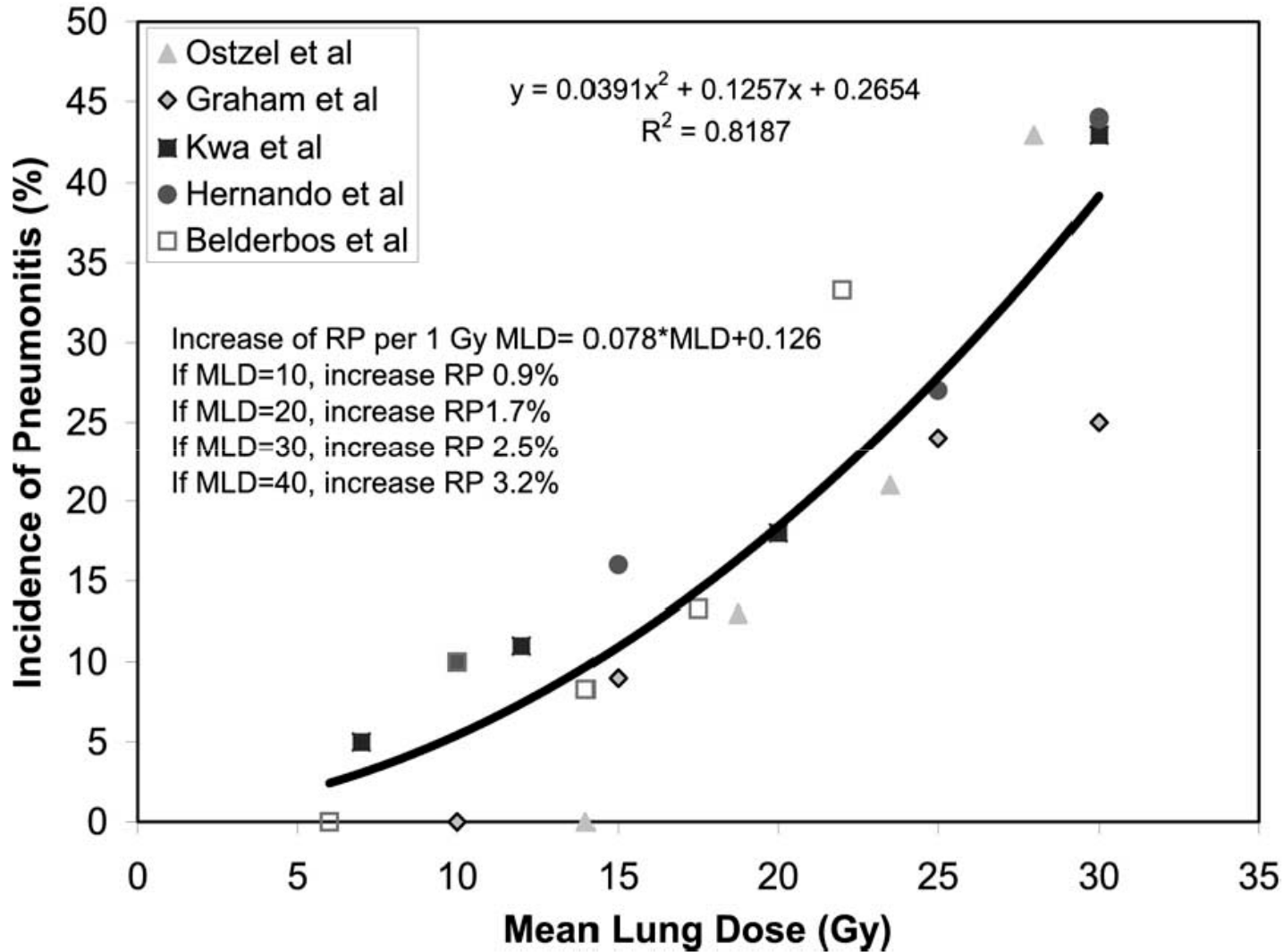
*"We are not yet ready for EUD/'biological' optimisation in the clinical practice"*

*For some OARs the estimates for the volume effect parameter is consistent*

Study	m	n	TD50
Rancati 2004	0.06	0.06	78.6
Peeters 2006	0.14	0.13	80.7
Sohn 2007	0.11	0.08	78.4
Tucker 2007	0.08	0.08	78
Rancati 2008	0.27	0.085	97.7

Rectum NTCP parameters with the LKB model

# Mean lung dose model



Kong et al, Sem. Rad. Oncol 2005

## Generalized EUD (gEUD)

$$gEUD(Gy) = \left( \sum_{i=1}^n v_i D_i^a \right)^{1/a}$$

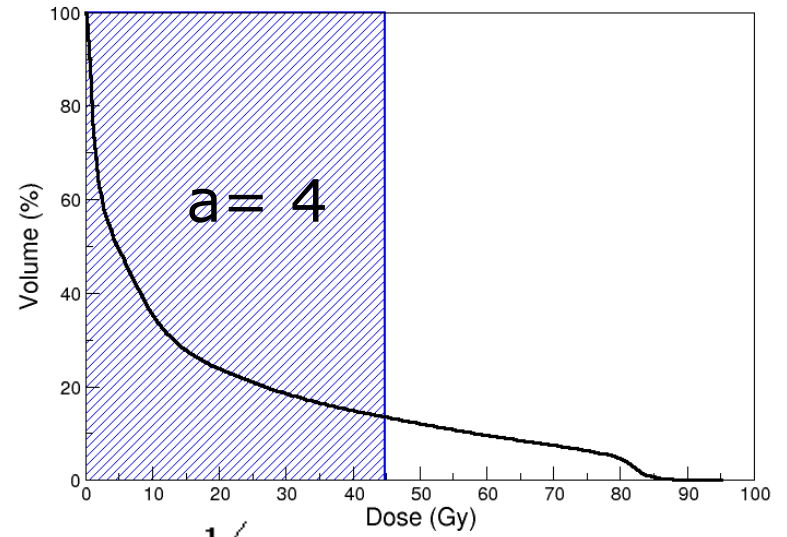
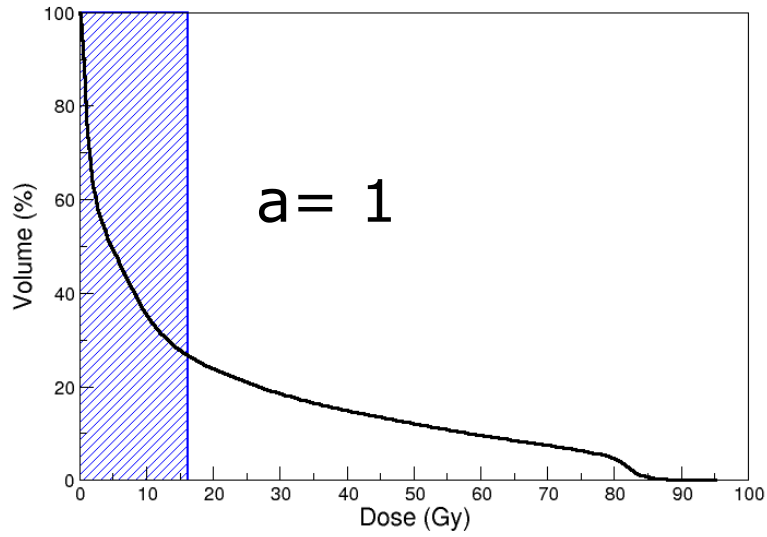
Niemierko 2000

$a = 1$	EUD = Dmean
$a \rightarrow -\infty$	EUD $\rightarrow$ Dmin
$a \rightarrow +\infty$	EUD $\rightarrow$ Dmax

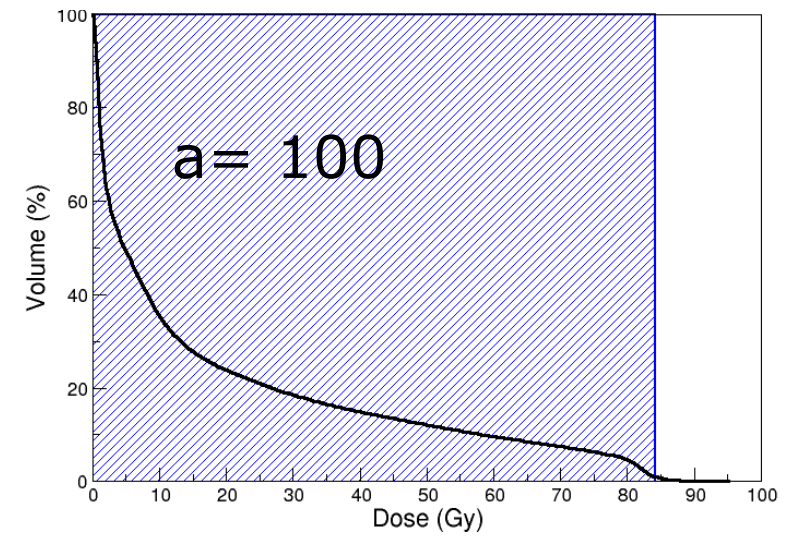
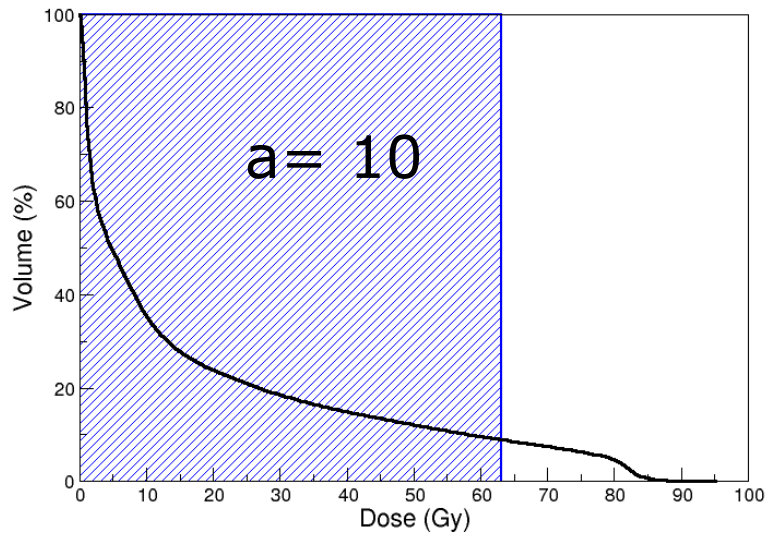
gEUD : [phenomenological description](#)  
of the biological response to the radiation

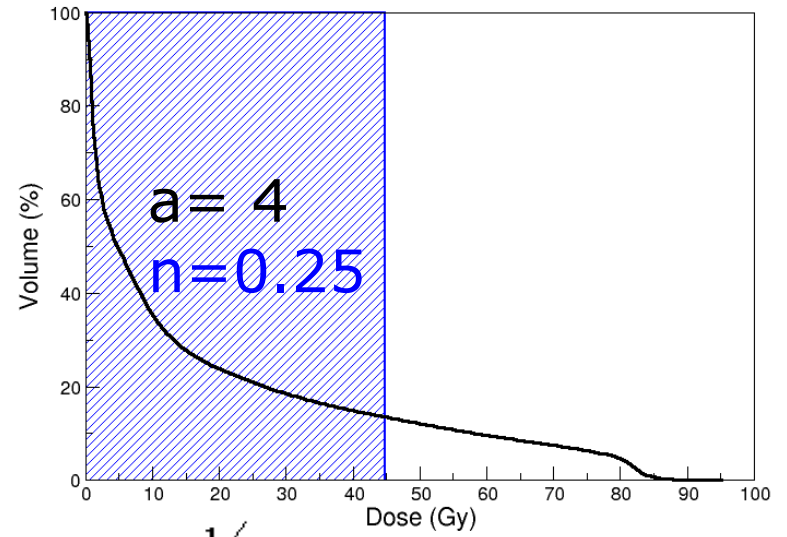
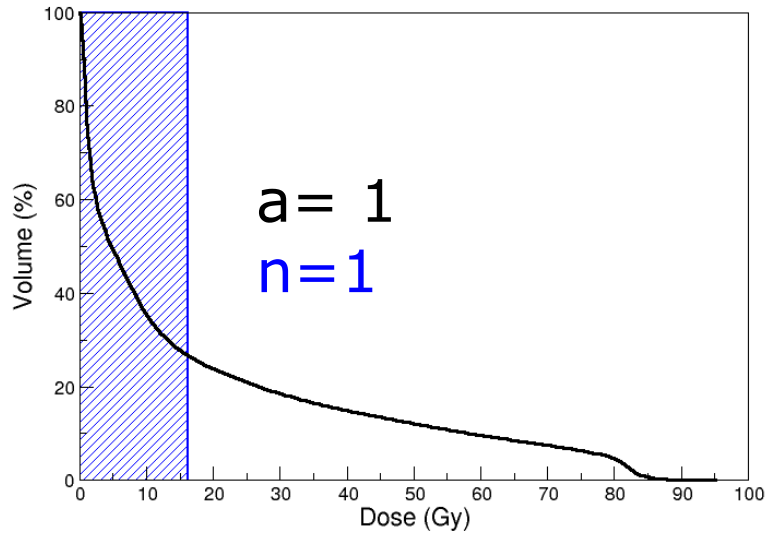
In principle applicable to target volumes and OARs



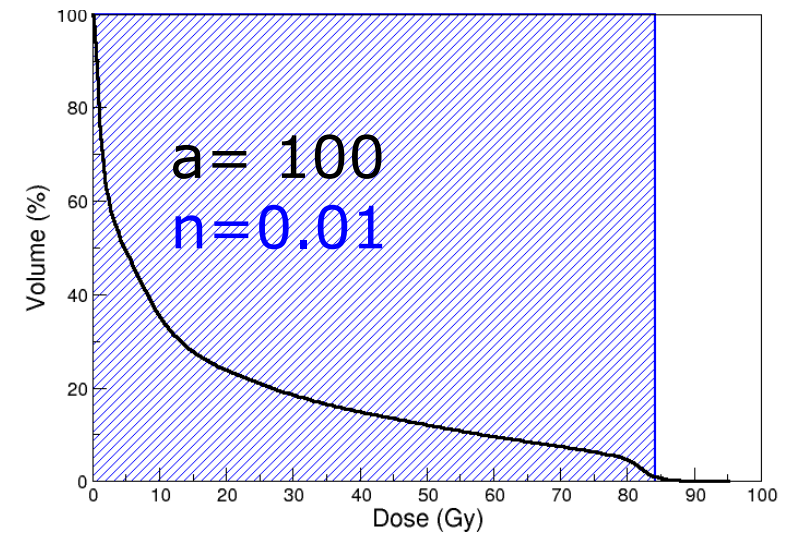
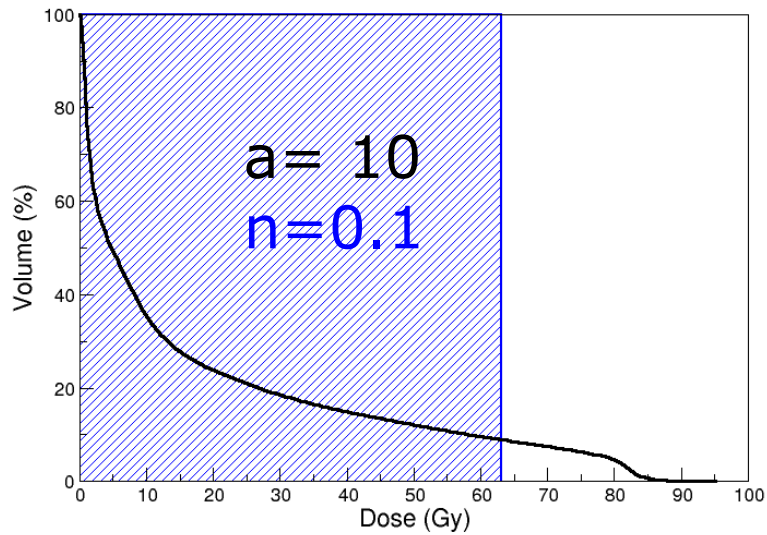


$$gEUD(Gy) = \left( \sum_{i=1}^n v_i D_i^a \right)^{1/a}$$

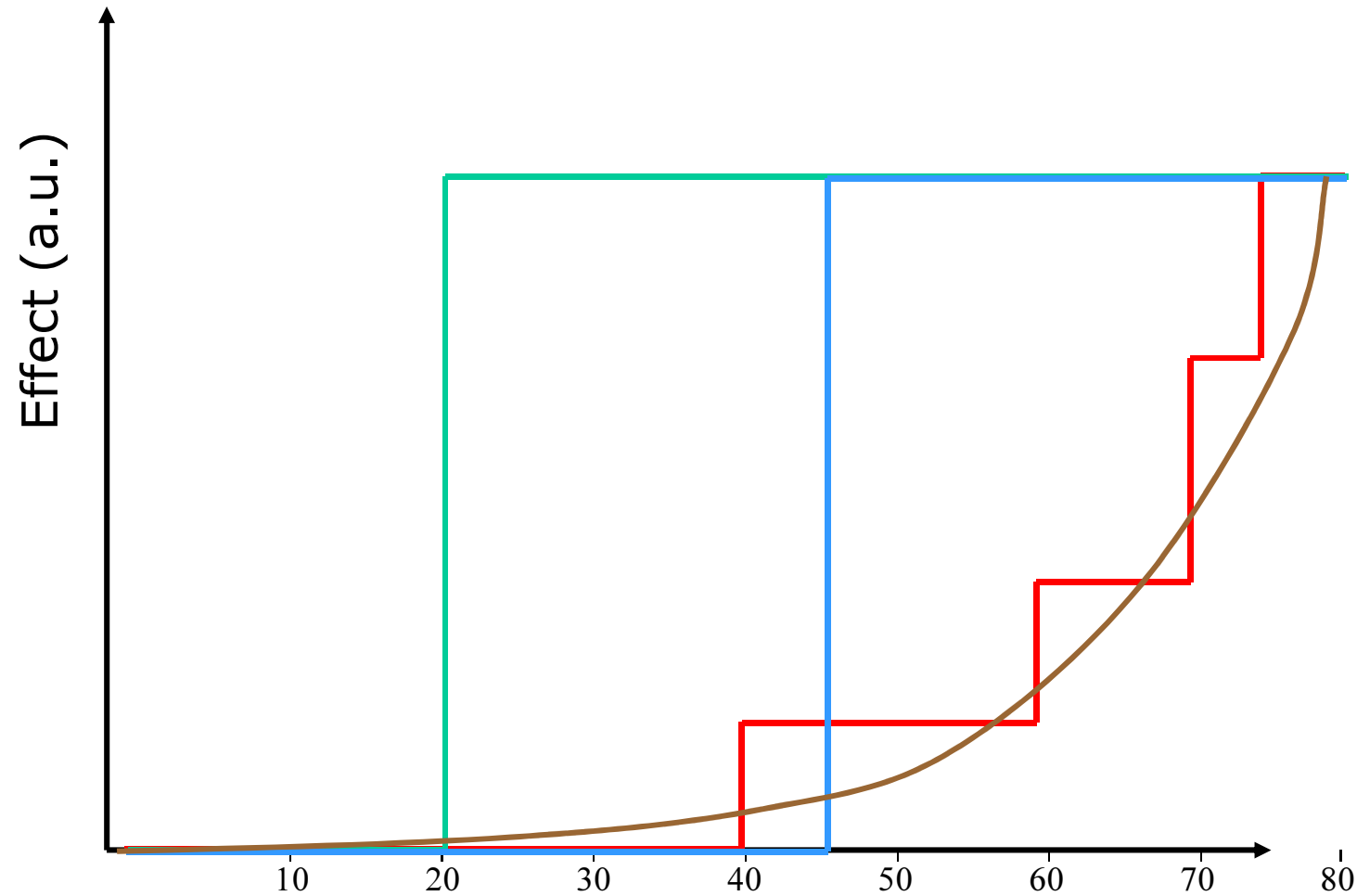




$$gEUD(Gy) = \left( \sum_{i=1}^n v_i D_i^a \right)^{1/a}$$



gEUD as a way to lock the relation between different DVH points (and getting rid of weights!)



# *Commercial implementations - 1*

## *(Philips/Raysearch/(Varian?))*

(g)EUD optimisation for target and OARs as an add-on to DVH-based optimisation

$$F(EUD)=\Theta(EUD-EUD_0)\left(\frac{EUD-EUD_0^2}{EUD_0}\right)$$

Unconstrained optimisation

Evaluation tool including TCP, NTCP & P+

## *Commercial implementations - 2 (Elekta-Monaco)*

EUD<sub>target</sub> = Poisson EUD,

Serial model = gEUD,

Parallel model 
$$Isoeffect = \frac{1}{n} \sum_{i=1}^n \left( 1 + \left( \frac{d_0}{d_i} \right)^k \right)^{-1}$$

Constrained (OAR) or multicriteria (target) dose optimisation

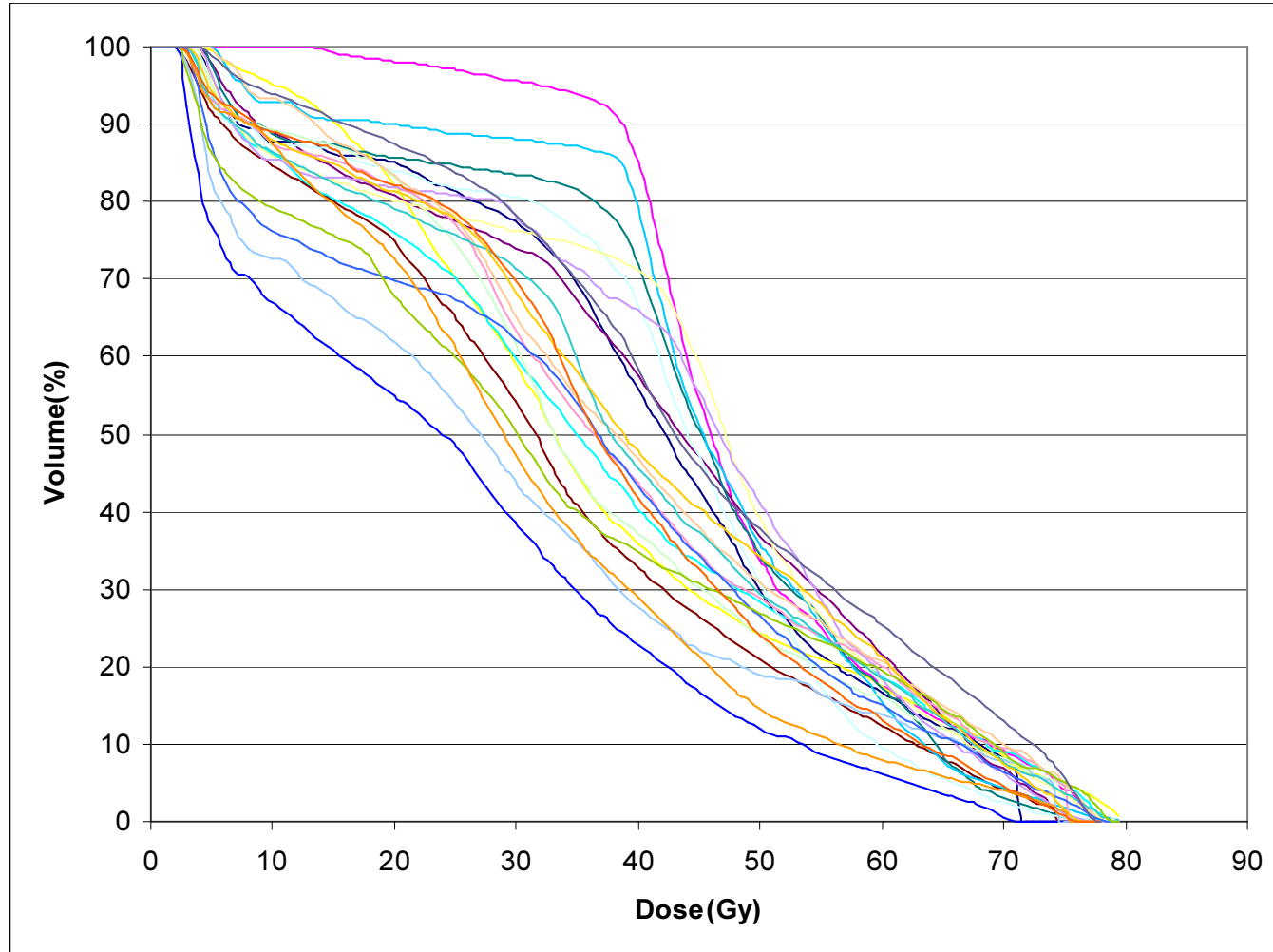
Tool for sensitivity analysis

(DVH constraints are available too.)

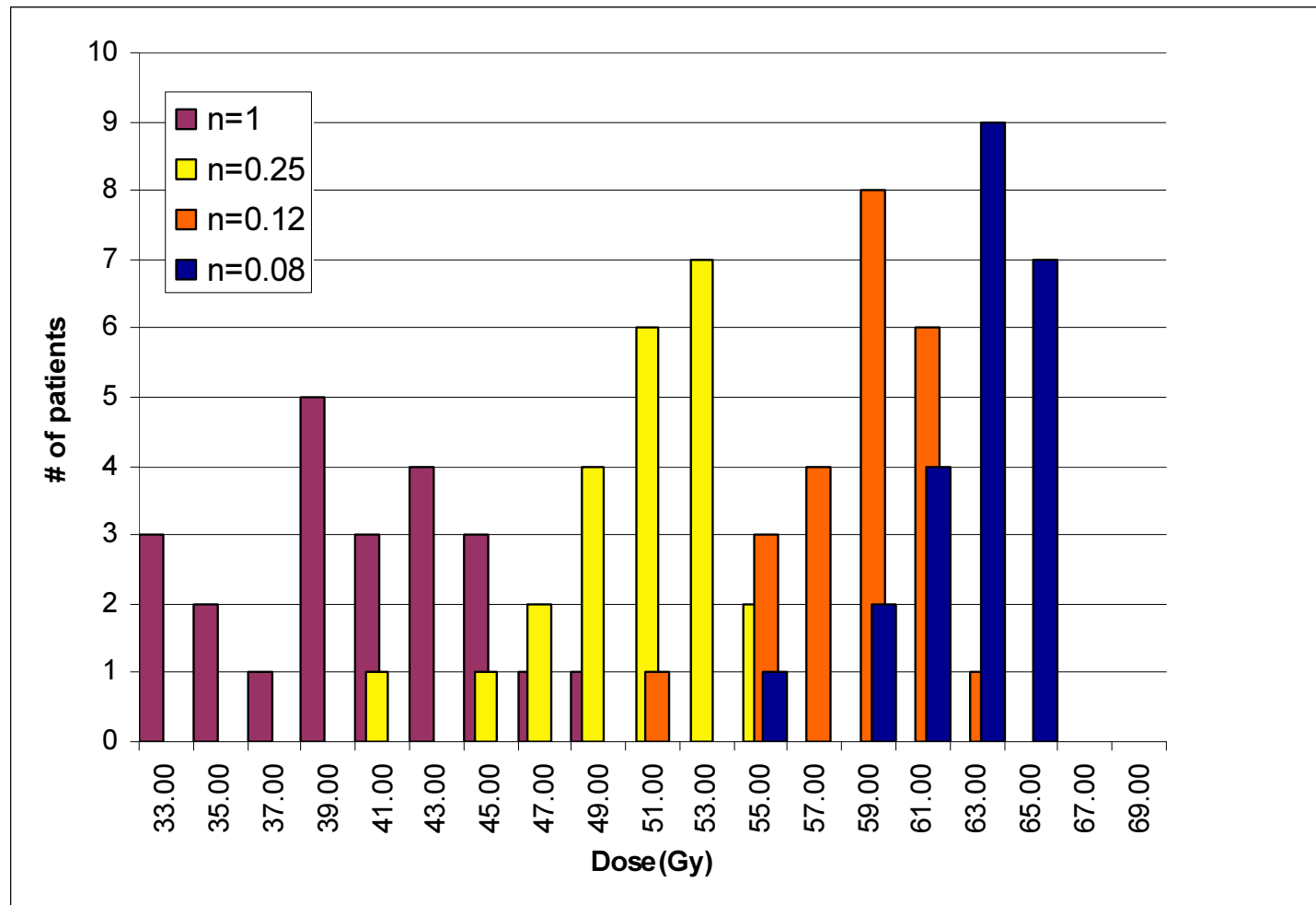
Fine, let's try EUD optimisation.

Where should I start?

*Start from your existing clinical practice.*

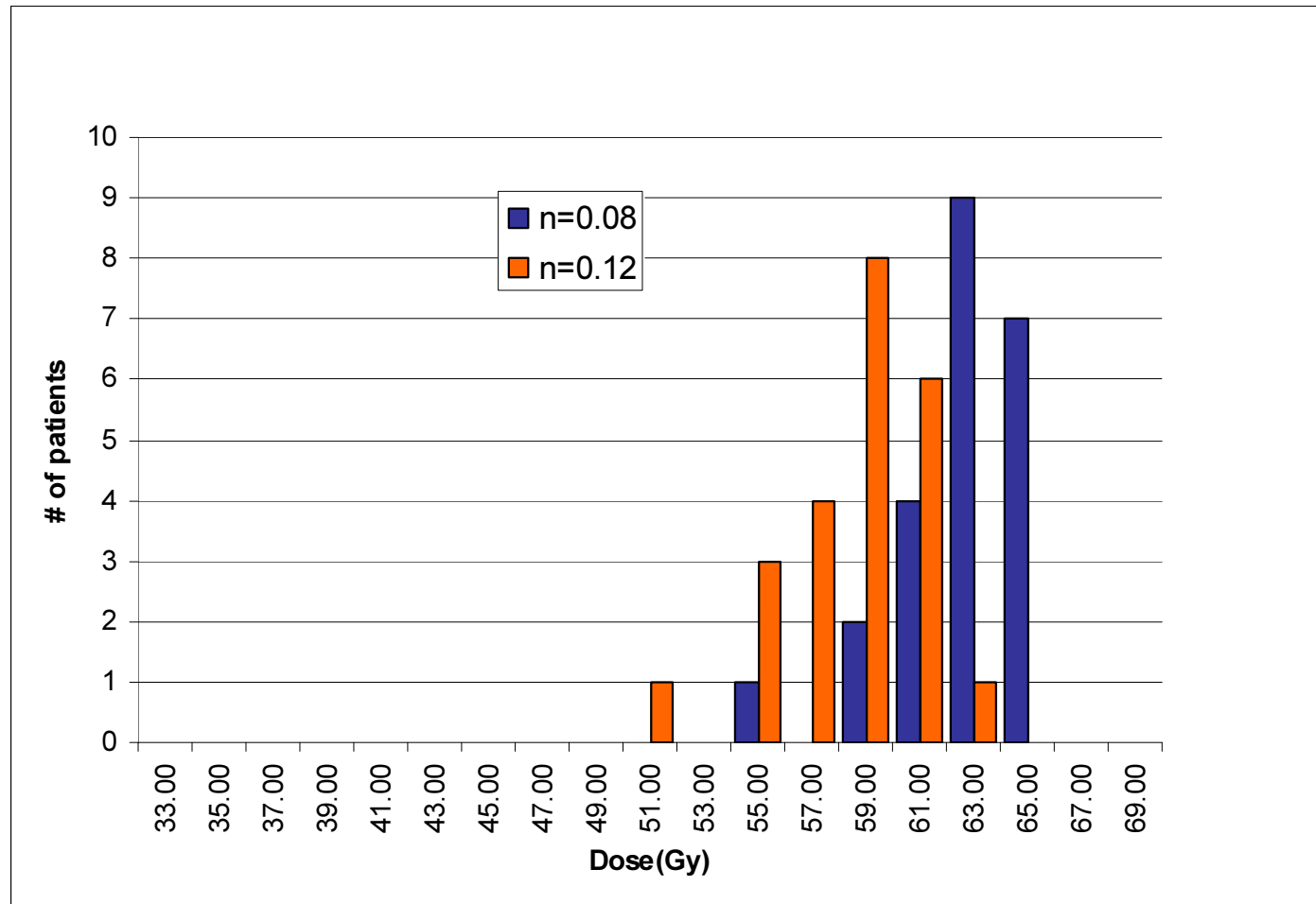


# Calculate EUD values for your plans

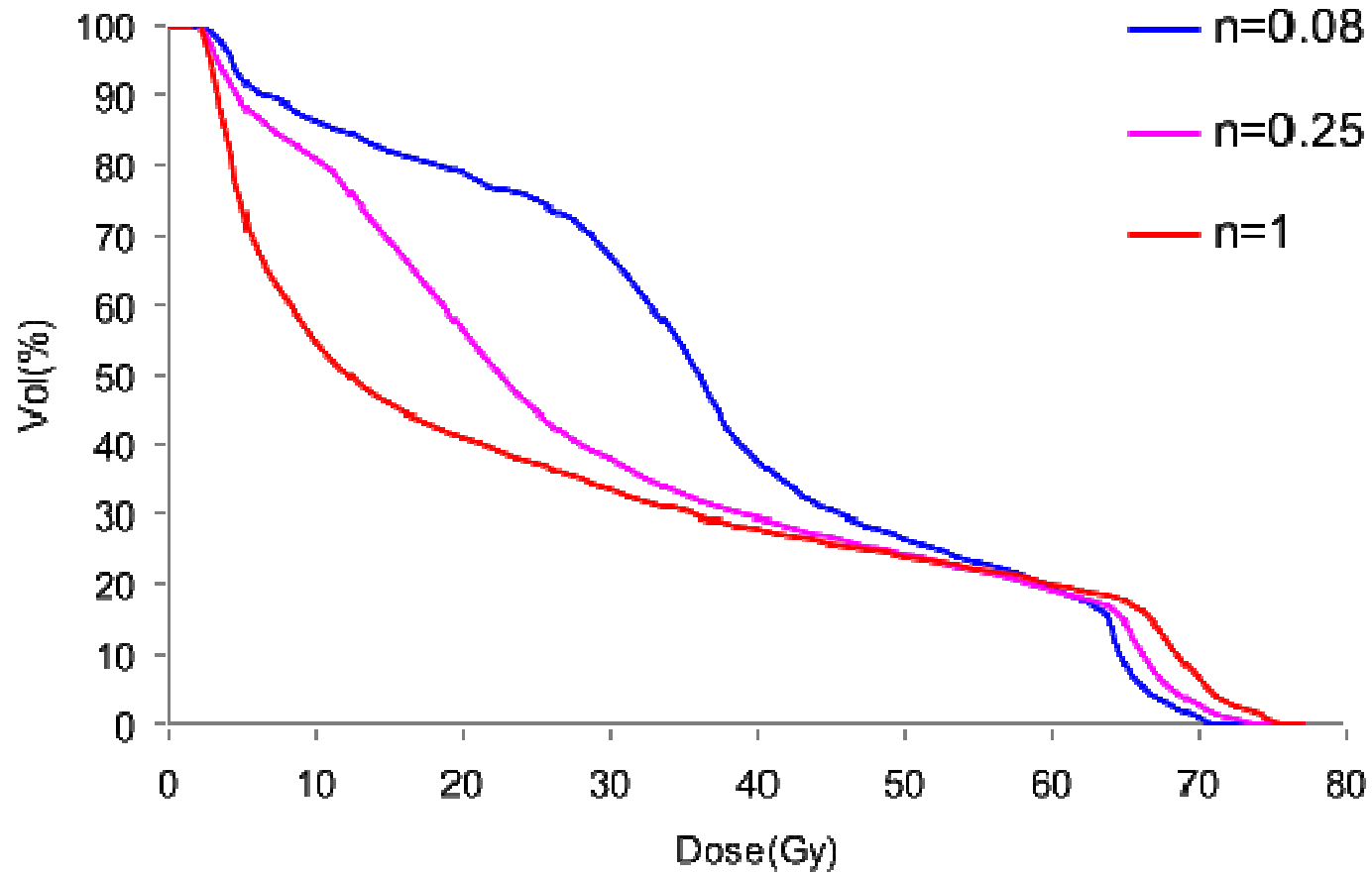




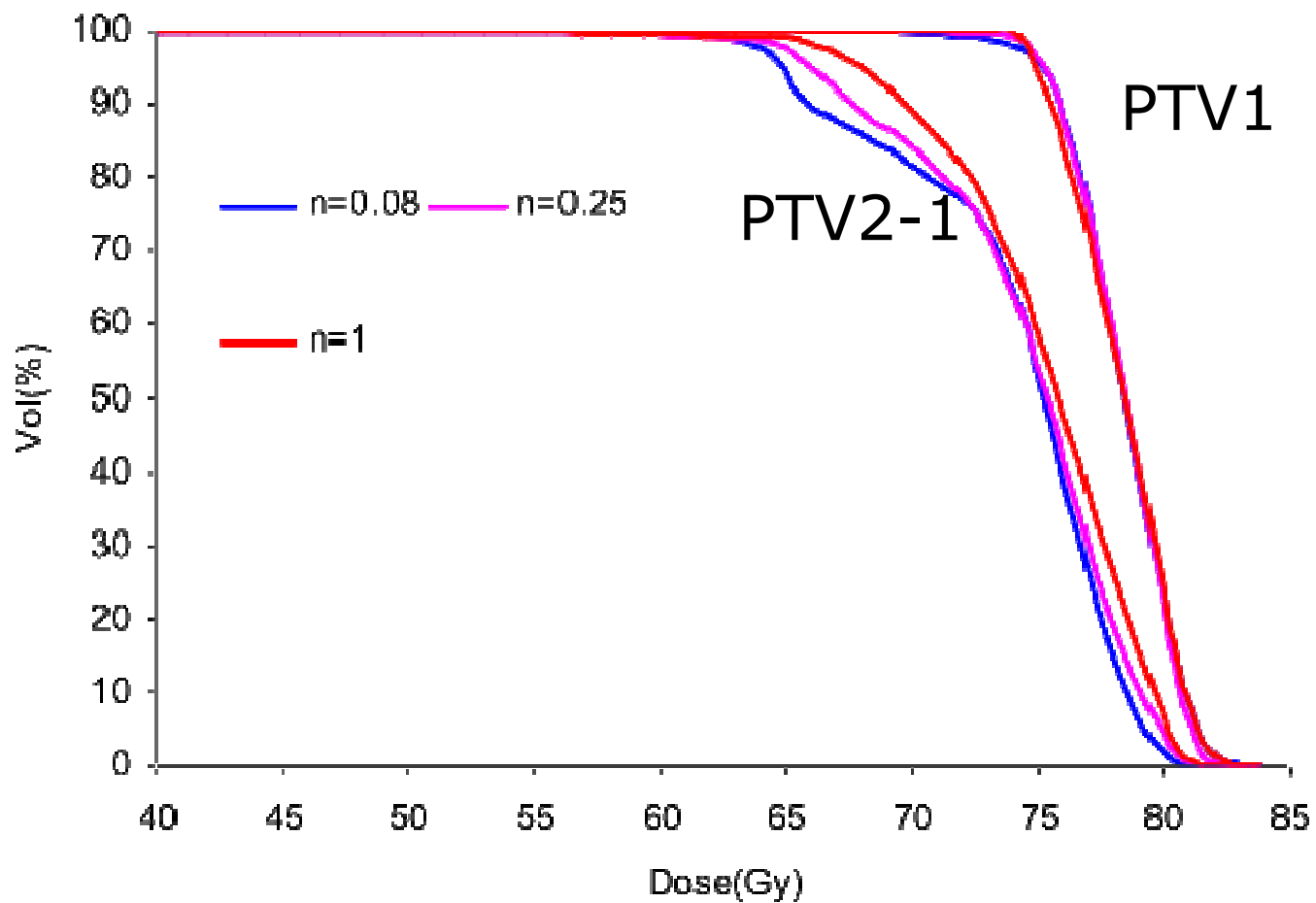
*Do the n values suggested in the literature make sense ?*



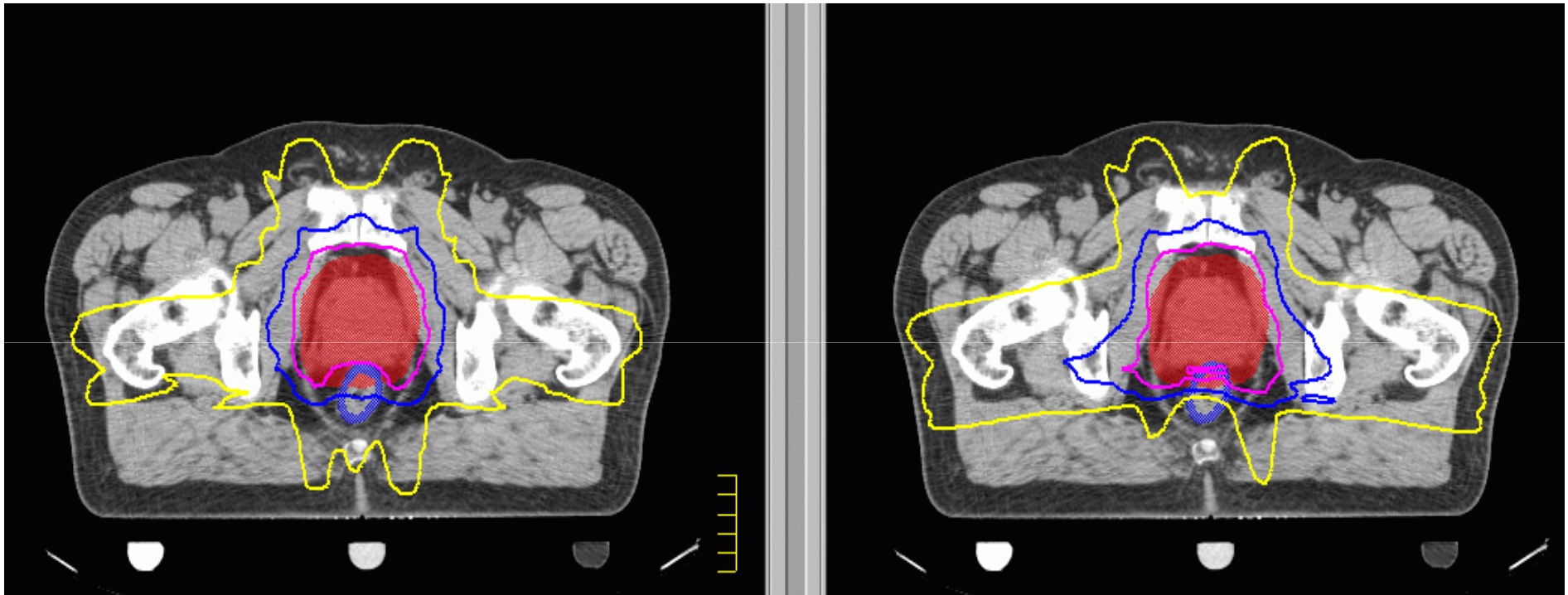
*Run a sensitivity analysis*



# PTVs



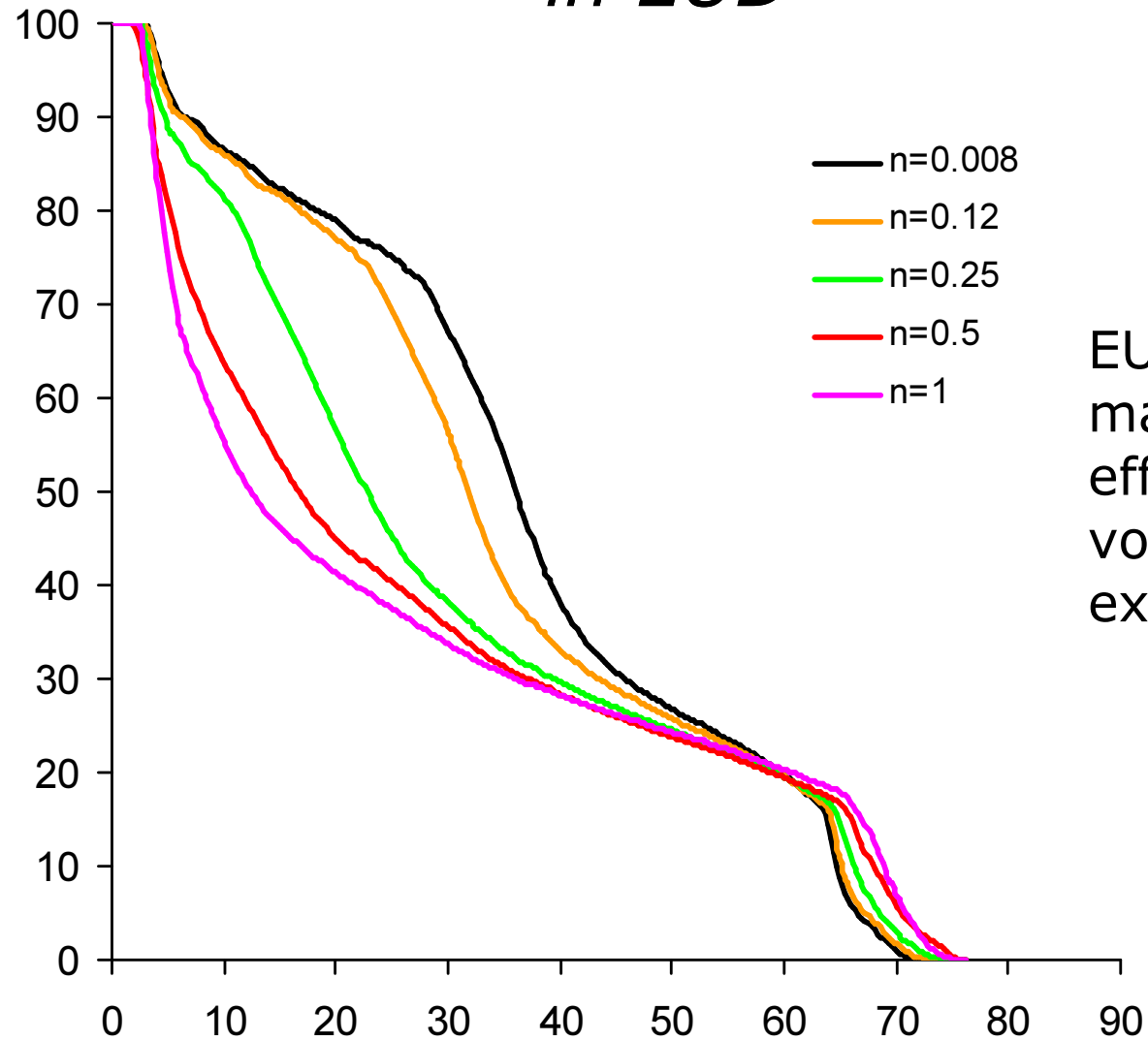
## 2D dose distributions



$n = 0.08$

$n = 1$

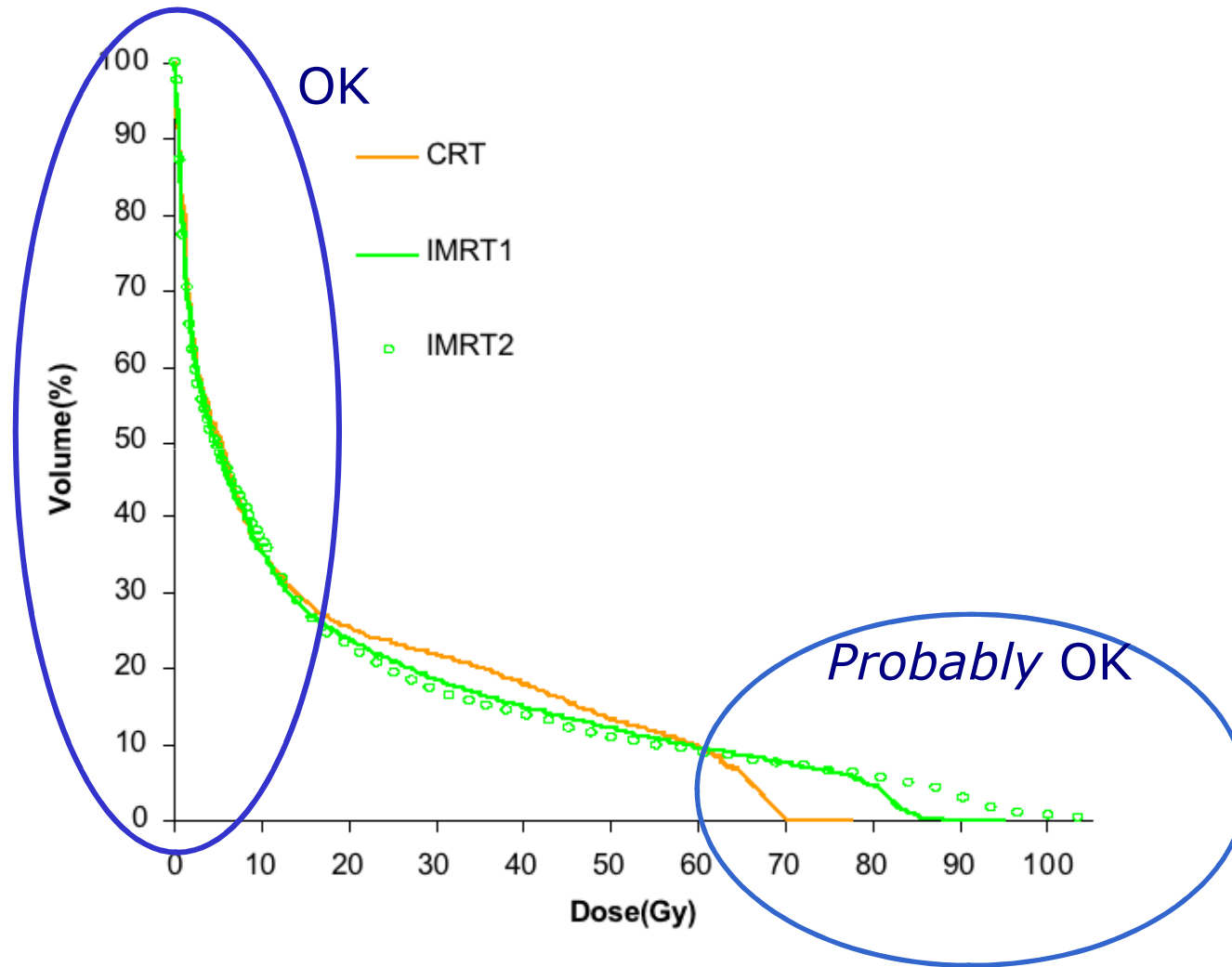
# *There's no magic in EUD*



EUD it's all about  
making volume  
effects (i.e. dose-  
volume tradeoffs)  
explicit

“IMRT optimisation can not be based on data obtained in the 3D-CRT era.”

# *Don't make the dose-response models break*



... but don't get stuck either

1. One uses IMRT to create plans as similar as possible to CRT

2. CRT-like dose distributions are delivered to patients

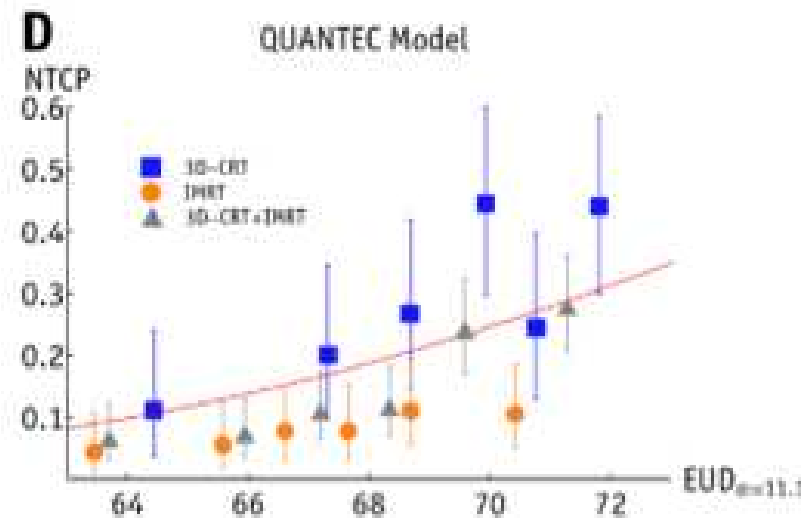
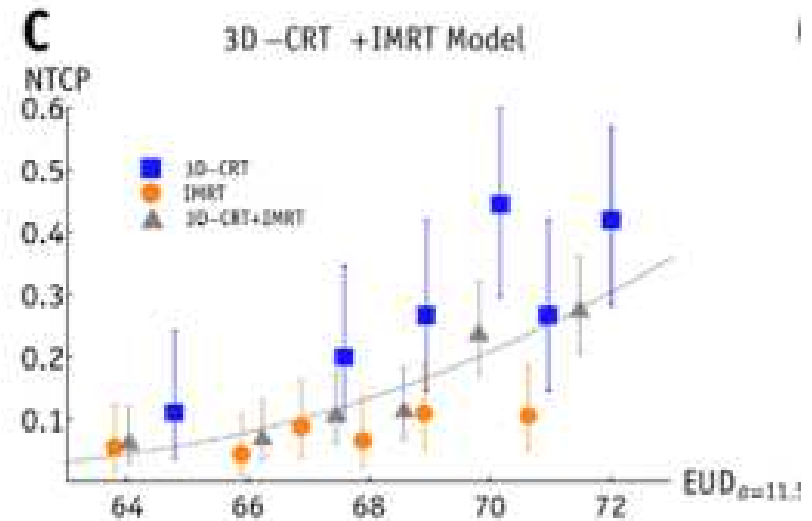
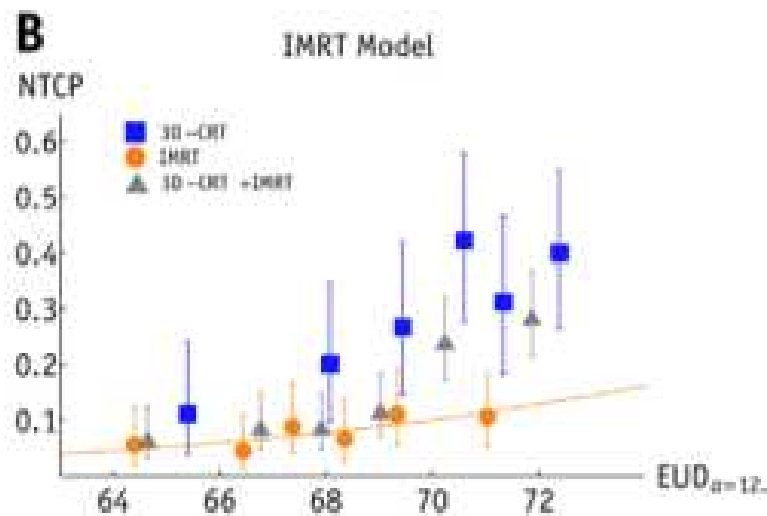
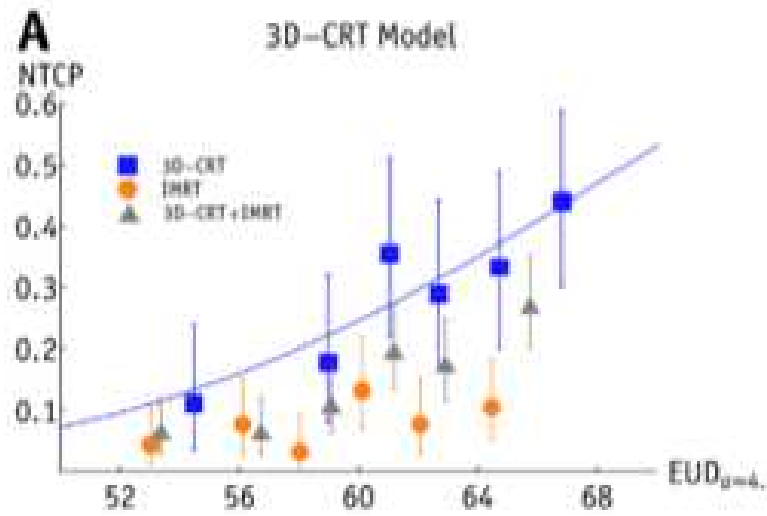
3. The follow up will provide further data on the effect of ... CRT-like dose distribution



The smaller the dose effect for a complication, the least you should be worried about potentially undue extrapolations



... And then you learn about puzzling results



Troeller IJROBP 2015

# General references

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ISSN 1742-3422 (online)

## Journal of the ICRU

### ICRU REPORT 83

**Prescribing, Recording, and Reporting  
Photon-Beam Intensity-Modulated  
Radiation Therapy (IMRT)**

**The use and QA of biologically related models for treatment planning: Short report of the TG-166 of the therapy physics committee of the AAPM<sup>a)</sup>**

X. Allen Li<sup>b)</sup>

*Radiation Oncology, Medical College of Wisconsin, Milwaukee, Wisconsin 53226*

Med Phys 2012

## *Plan reporting*

Current plan reporting (e.g. recent ASTRO recommendation) does not include 'error bars'.

If there are uncertainties in the planning procedure, they should be reflected in plan reporting.

E.g., reporting the PTV dose is correct only if

- The margins are correct

- The dose distribution is invariant for translations/rotations

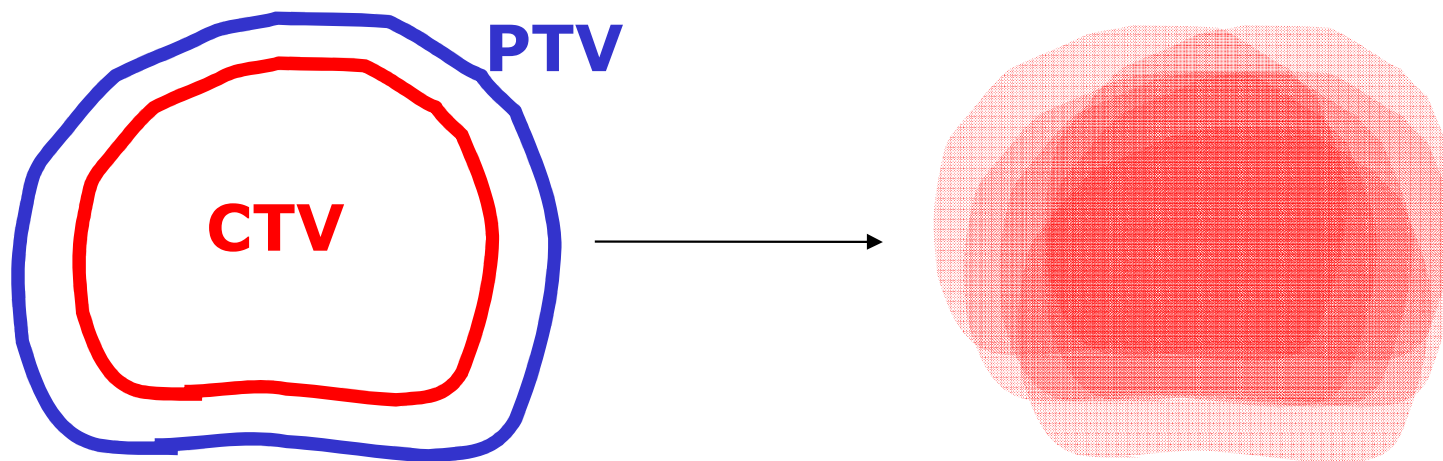
- The dose in the PTV is homogeneous

The same holds for 'biological planning':

- Uncertainty in the optimisation parameters (e.g. 'a') should result in a robustness analysis of the results.

*Current and future developments*

## *IMRT planning & geometrical uncertainties*



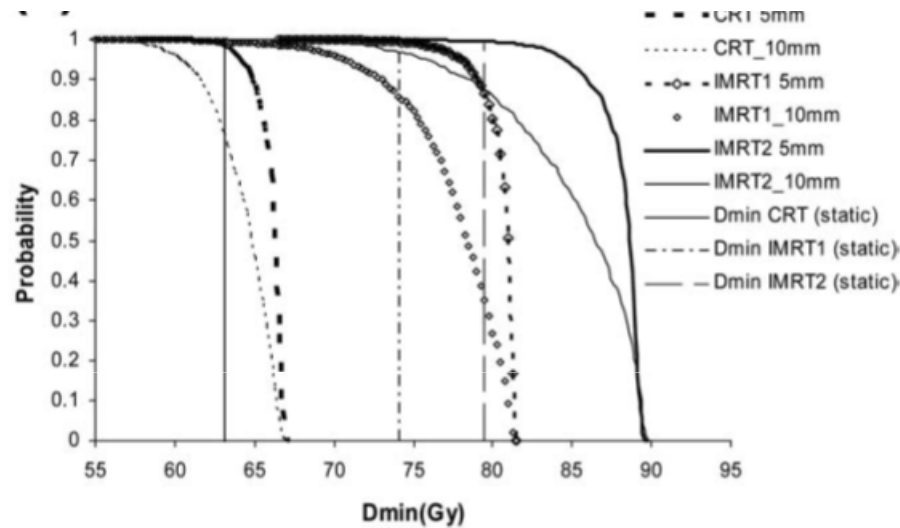
The PTV is an appropriate tool for CRT, but in IMRT one can do better than that.

'Robust optimisation' is an emerging field

Alternatives to the PTV particularly needed for charged particle therapy (e.g. p<sup>+</sup>).

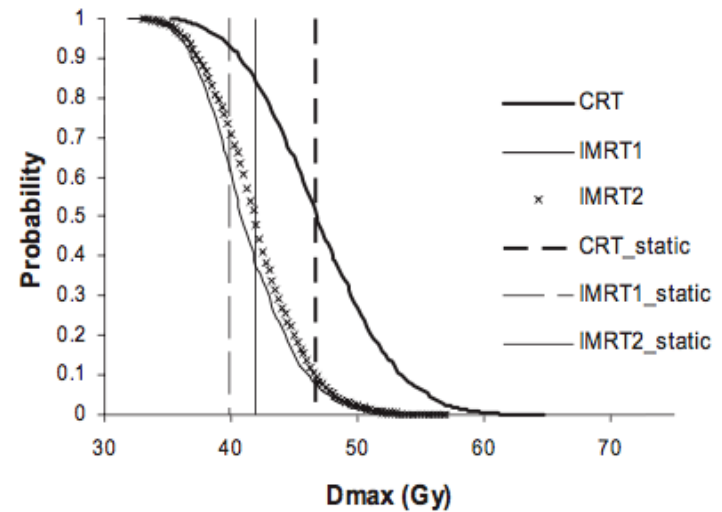
Current target dose reporting assumes homogeneous dose distributions in the appropriate PTV.

If **either** of the two is missing, we should report the dose differently.



Probabilistic dose reporting?

In the meantime, report the dose for the correct volumes



# ***Conclusions***

Class solutions  $\pm$  'forward planning' might facilitate a gradual transition to IMRT

Appropriate cost function and VOI definition are critical in 'steering' the optimisation in the desired direction.

'Biological' planning can be incorporated in everyday practice

Automatic planning is coming. Fast.

# Dose Calculation in Static and Rotational IMRT


Matthias Söhn, PhD

Radiation Oncology, Medical Physics  
University Hospital Grosshadern  
LMU Munich, Germany





# Disclosures

- I am involved in the development of the treatment planning system  which is the basis for Elekta Monaco®.

- My department (LMU Munich) currently receives research grants from Elekta and C-RAD.

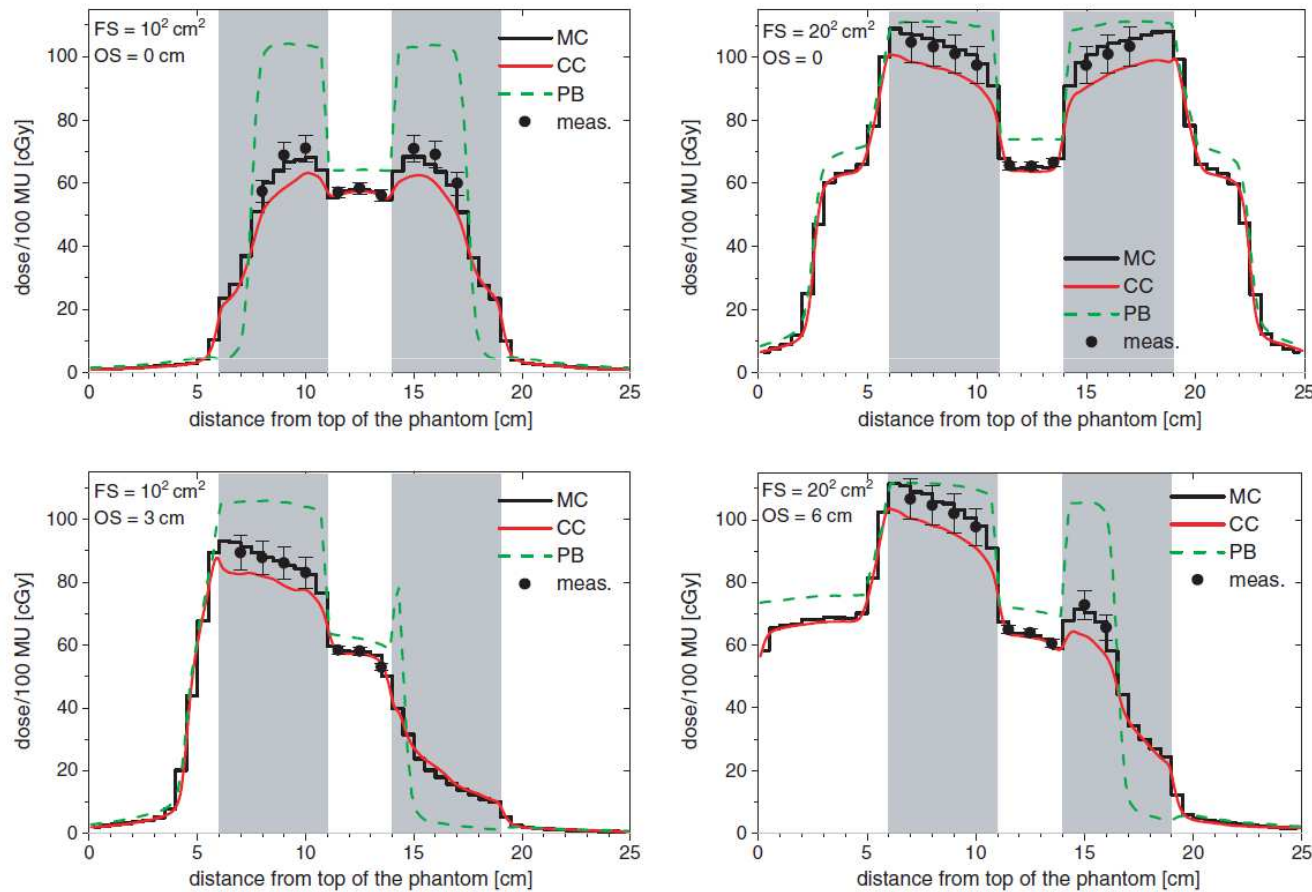
- I am co-owner of a company which specializes in the field of Monte-Carlo dose calculation



# Differences in Dose calculation algorithms – A clinically relevant issue?

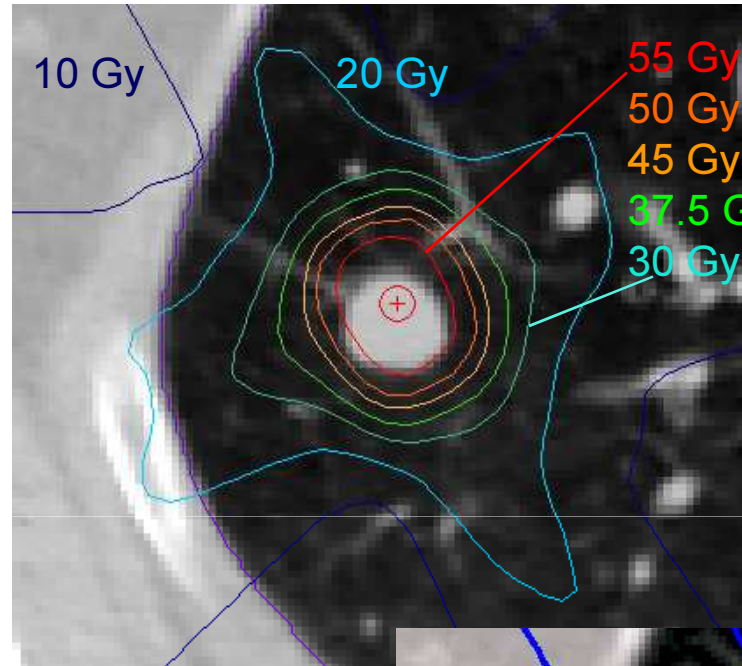
Krieger and Sauer 2005  
(PMB 50(5))

**Pencil Beam** vs. **Collapsed Cone** vs. Monte Carlo vs. measurement  
in a heterogeneous multi-layer phantom

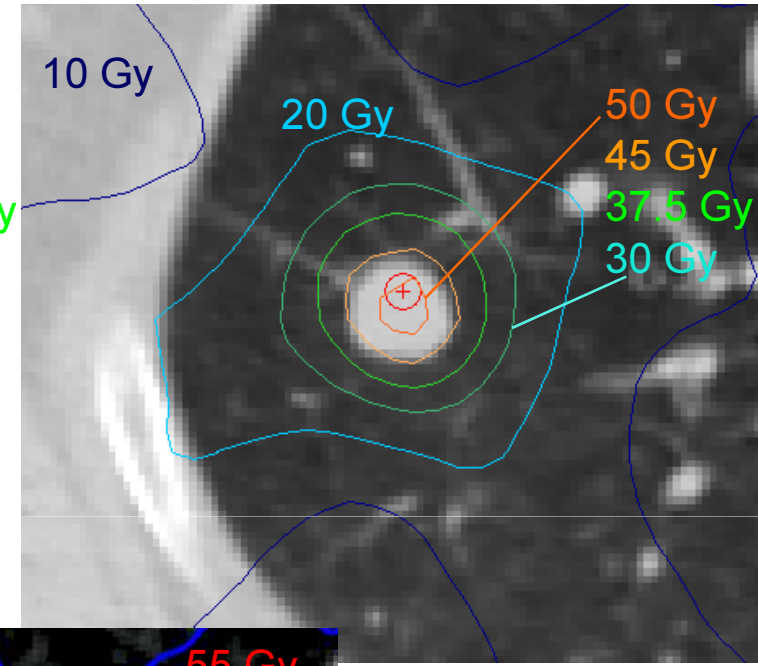


# Differences in Dose calculation algorithms

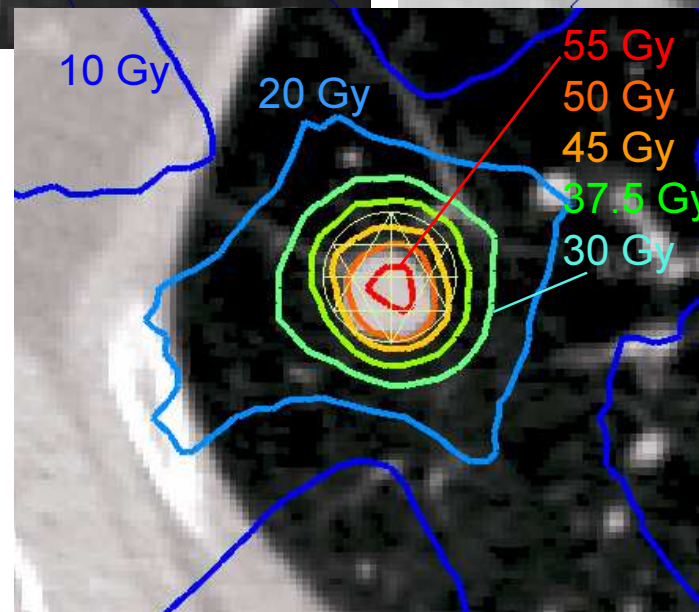
– A clinically relevant issue?



Pencil Beam



Collapsed Cone



Monte Carlo

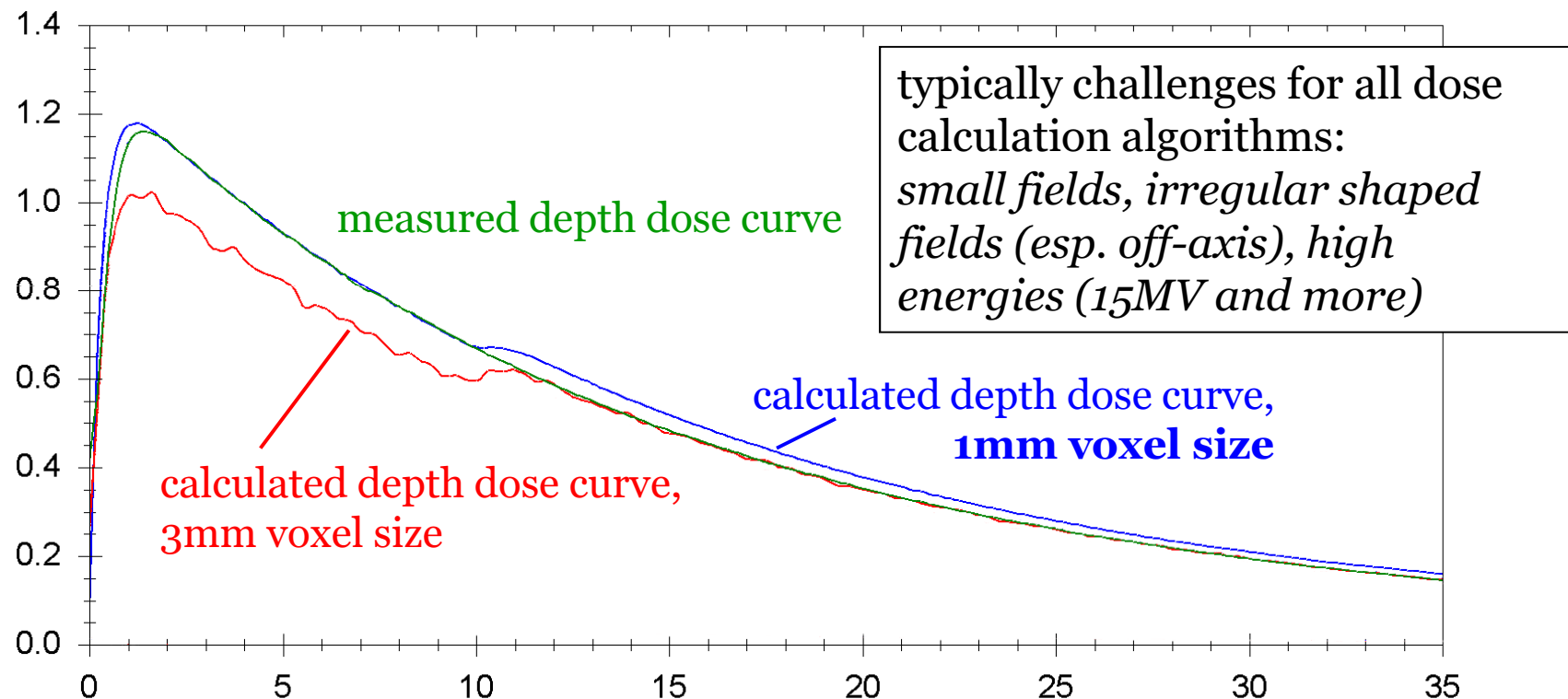
*Patient example:*

- small lung lesion
- fully surrounded by low density tissue

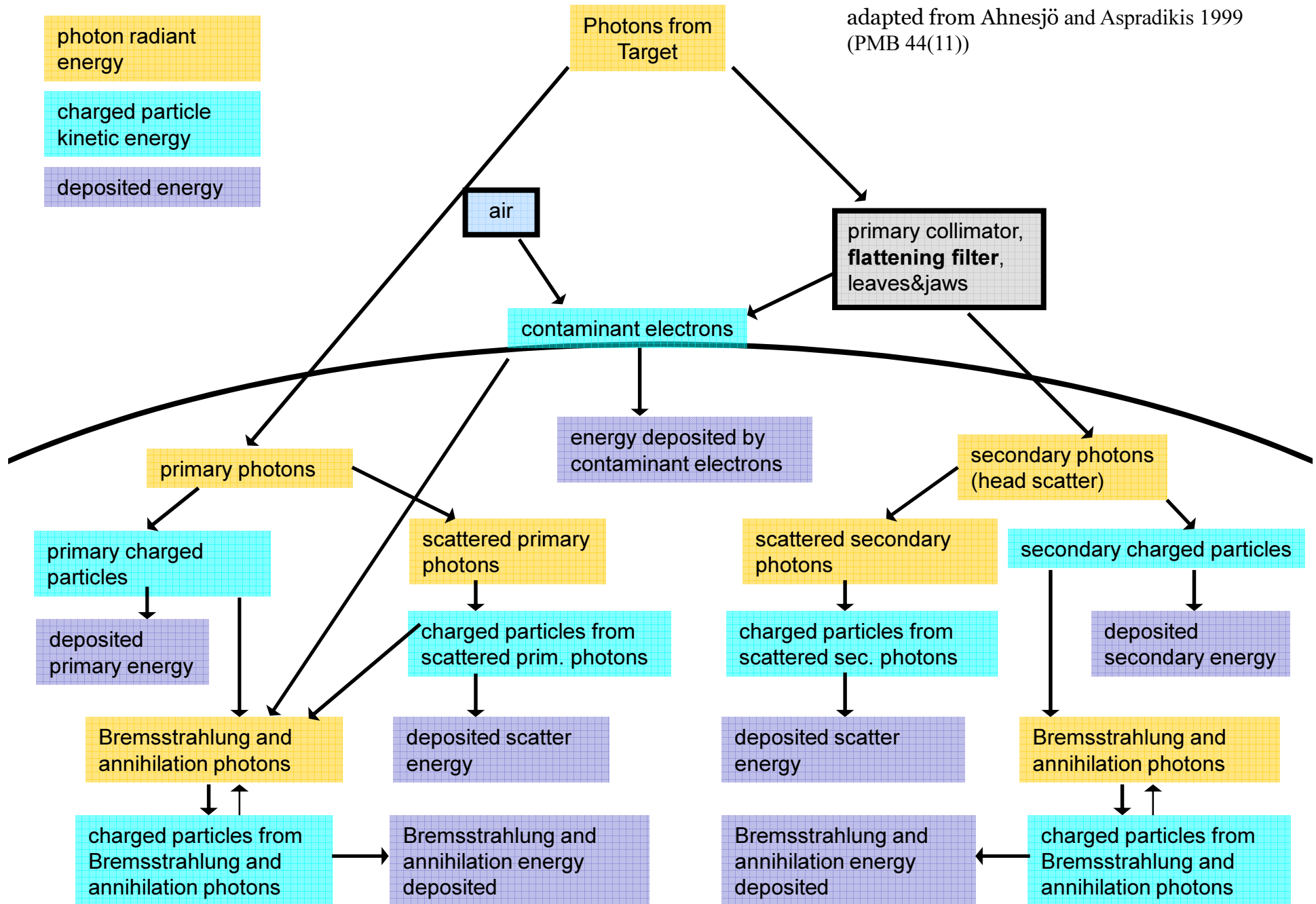
# Precise dose calculation is still a challenge: Be aware of potential software BUGS!

courtesy P. Lang,  
C. Heinz  
(LMU Munich)

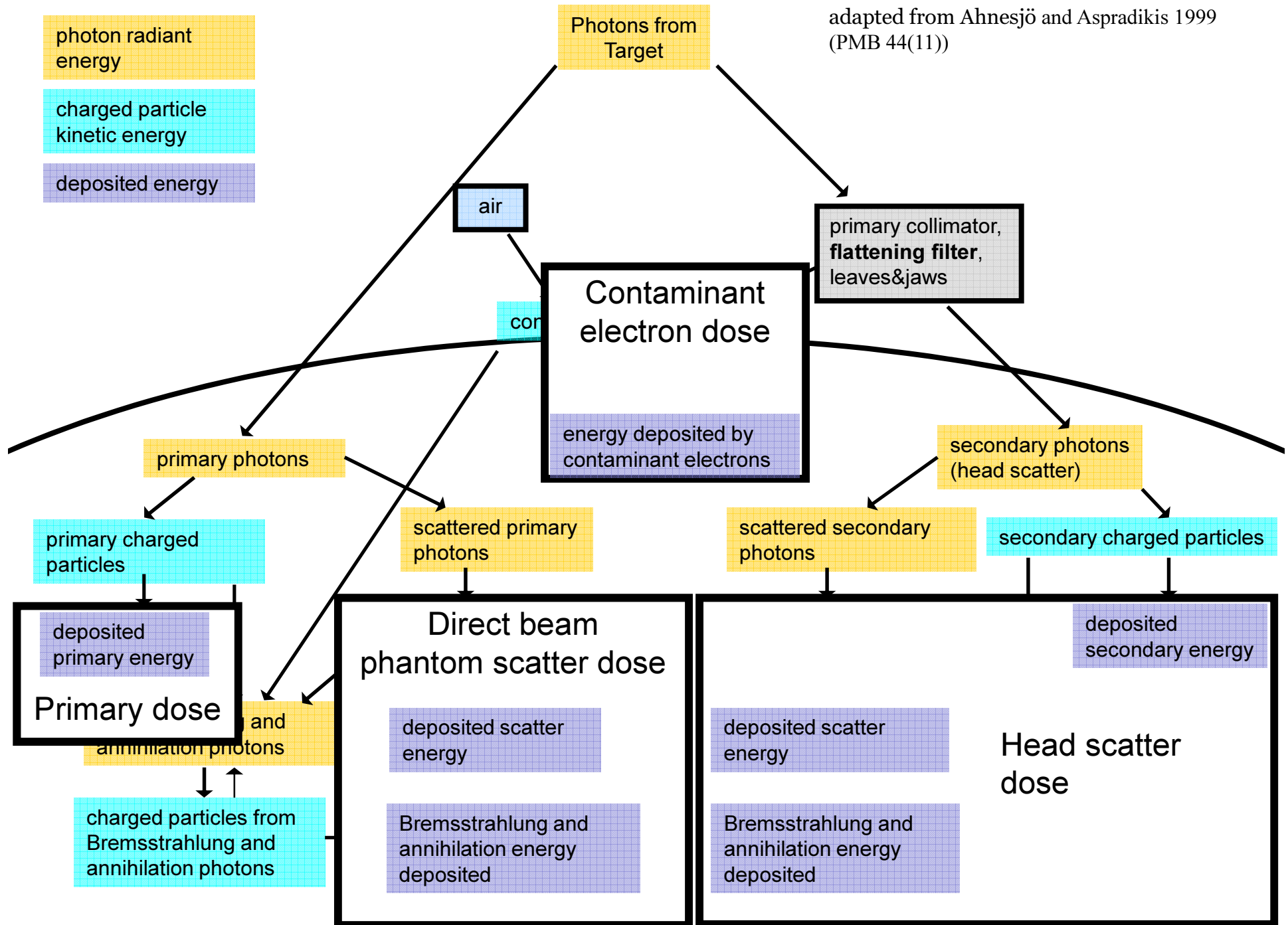
*Example:* depth dose curve *in water* for a central **1x1 cm field**, 6MV  
results of a dose calc. algorithm (here: CC) of a widely used commercial TPS...



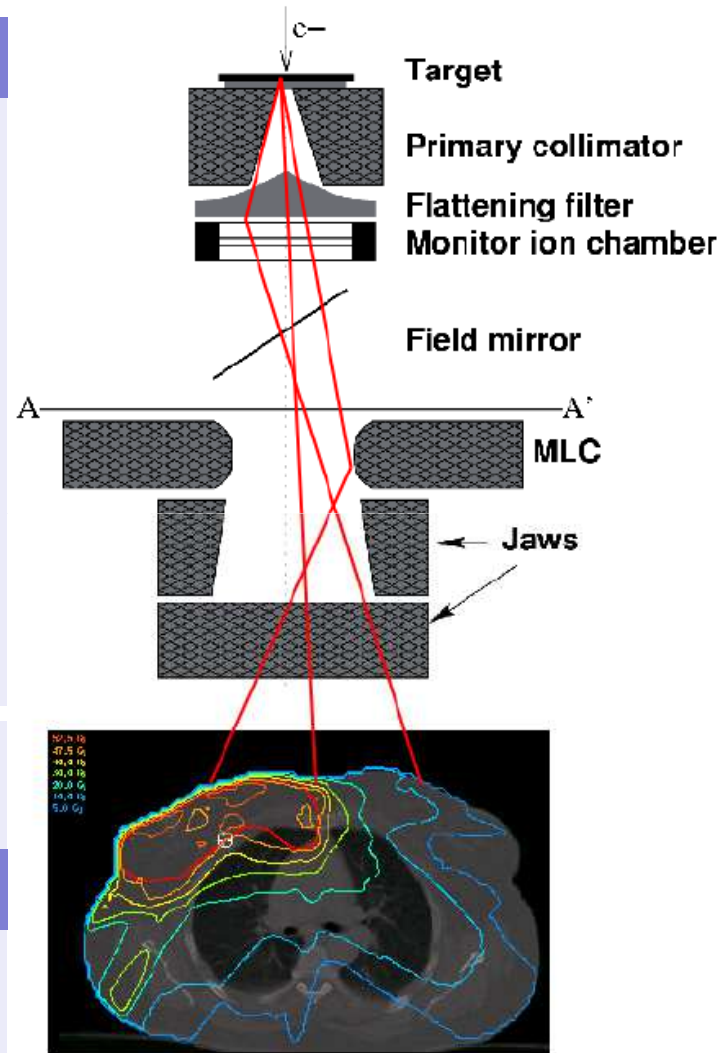
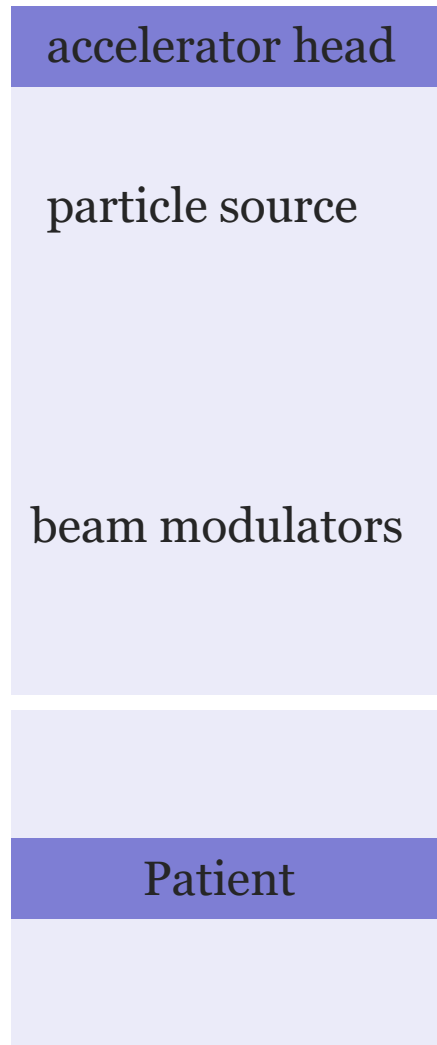
adapted from Ahnesjö and Aspradikis 1999  
(PMB 44(11))



adapted from Ahnesjö and Aspradikis 1999  
(PMB 44(11))



# Technical background: Typical components of an accelerator head



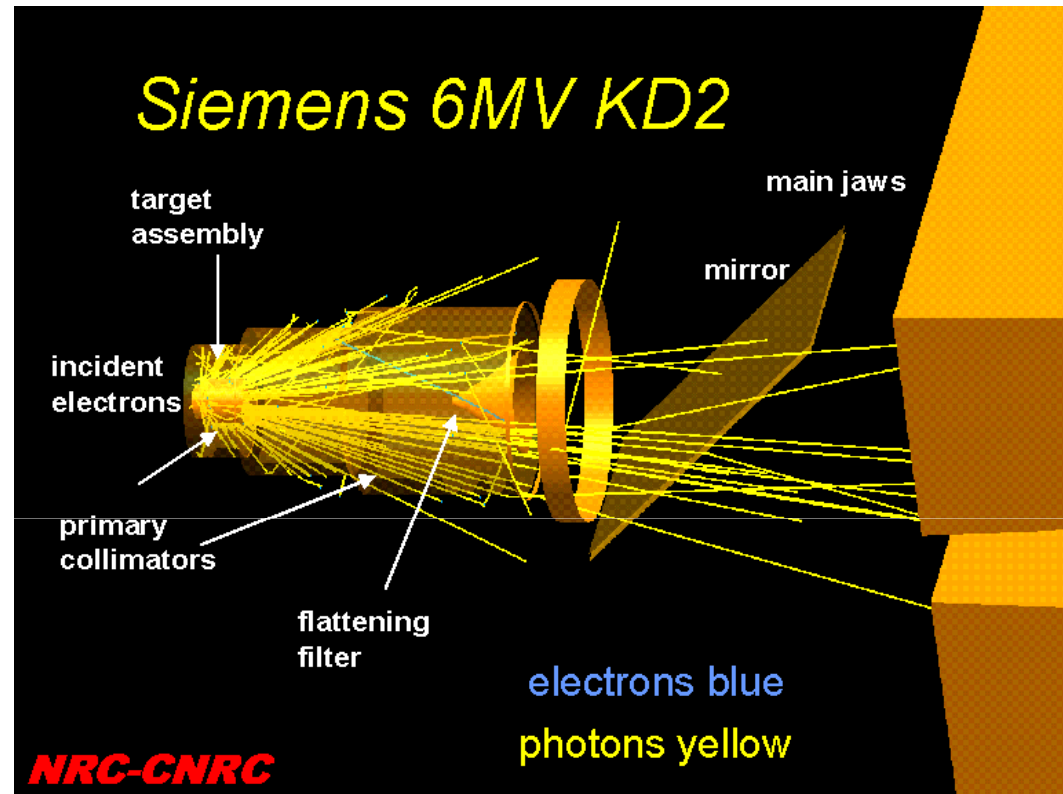
Dose calculation algorithms...

model of  
accelerator head

radiation transport  
in the patient  
model for  
(pencil beam, collapsed  
cone superposition,  
Monte Carlo, ...)

# Is it really important to focus *mainly* on radiation transport in the patient?

Monte Carlo simulations of a linac with BEAMnrc allow separate dose calculation for *primary photons*, *secondary photons* ('head scatter') and *contaminant electrons*

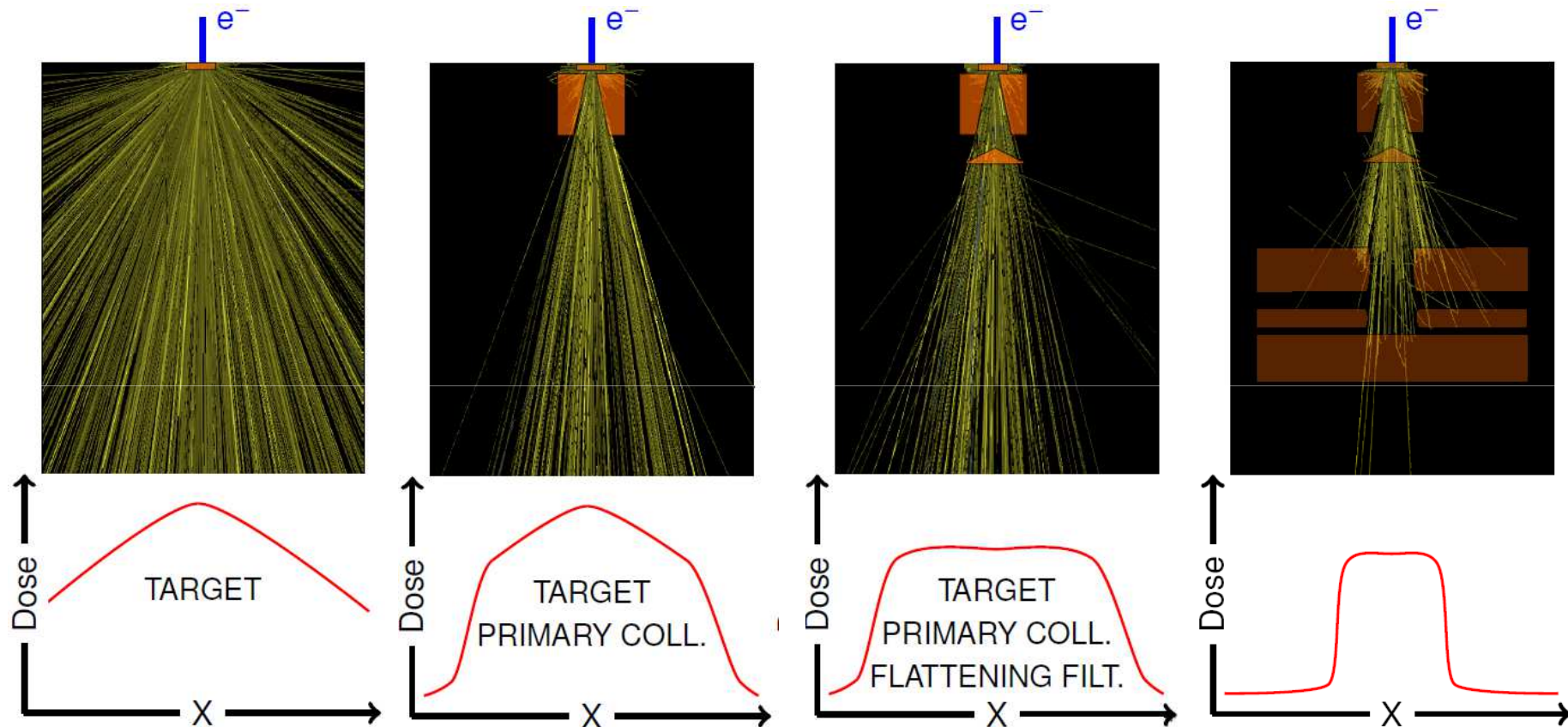


The following slides:

**Appropriate modelling of what happens in the accelerator head is of major importance for dose calculation accuracy!**



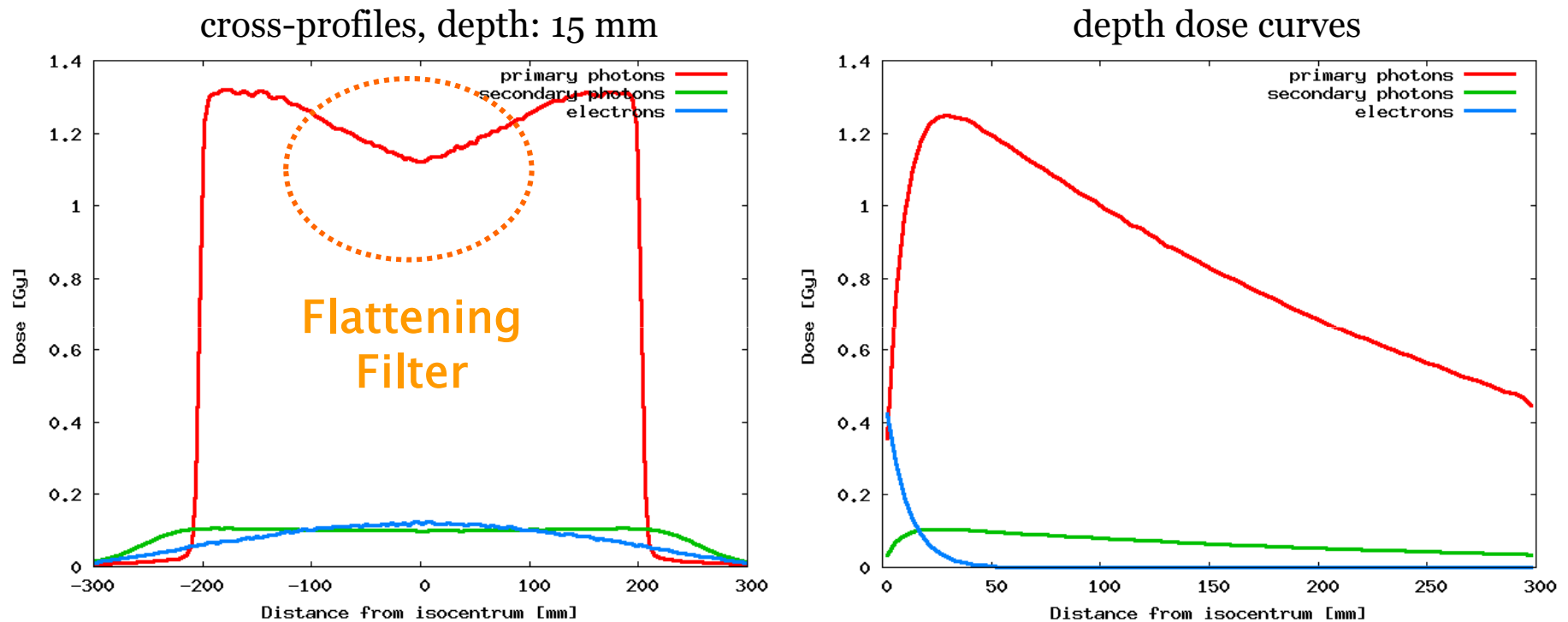
# Monte Carlo simulations of the accelerator head



# Crossprofiles and depth dose curves by components

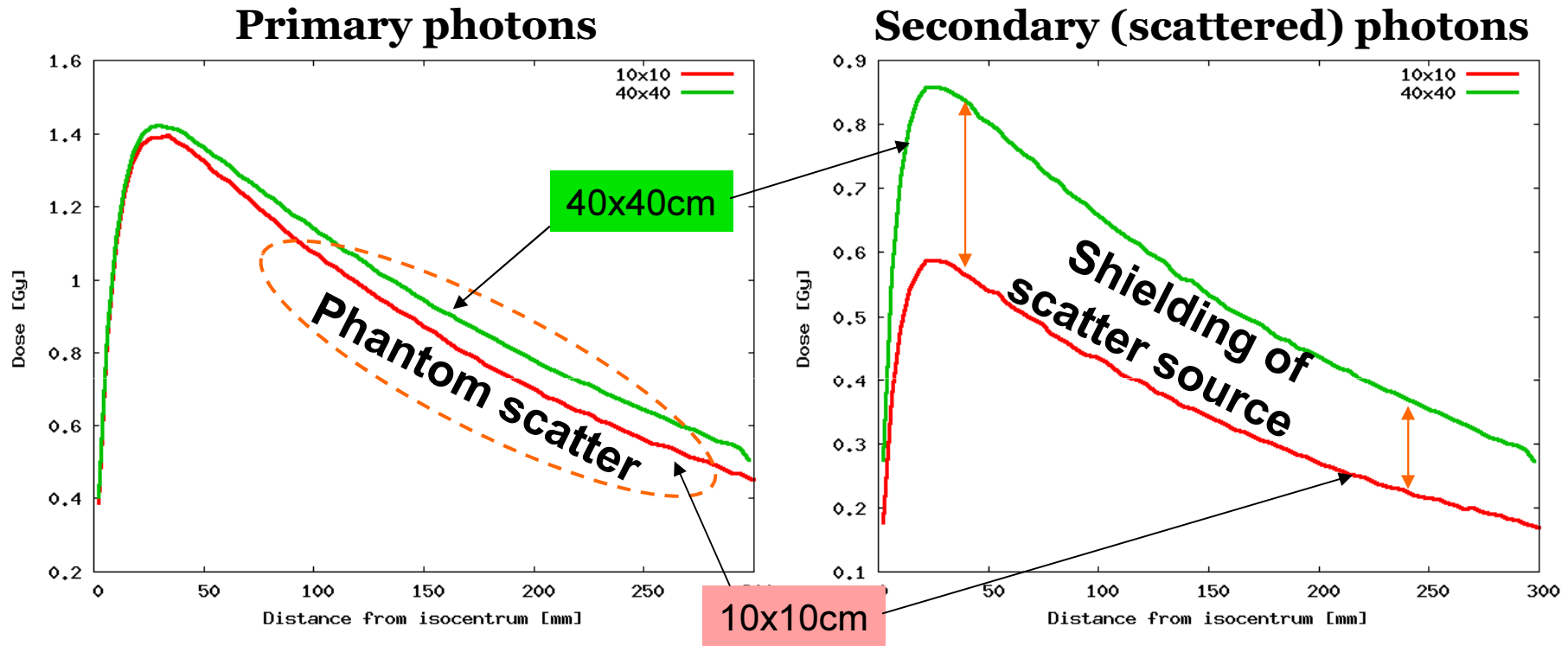
courtesy M. Sikora

energy: 15 MV



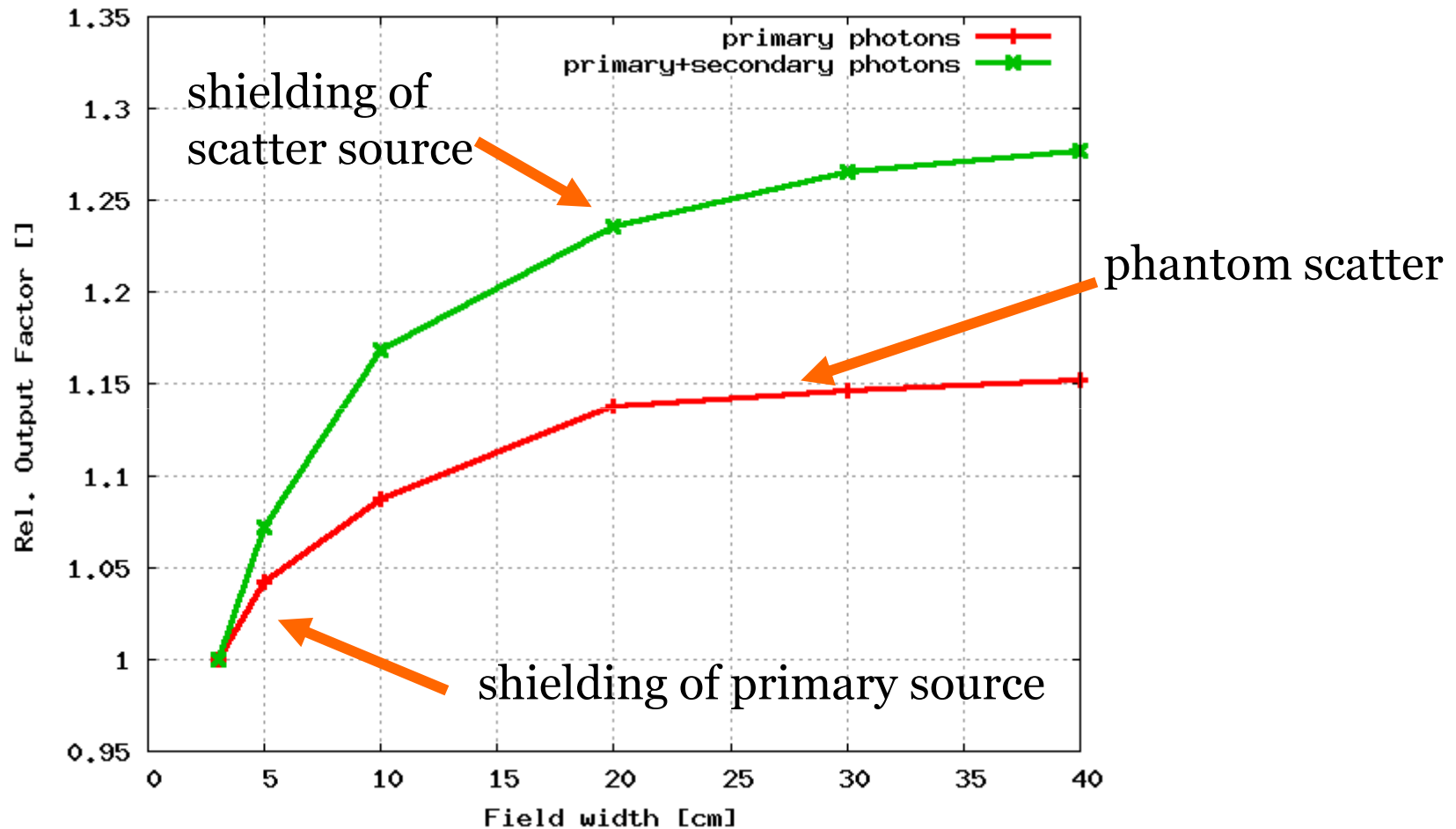
**primary photons:** do not scatter in the treatment head since creation in target  
**secondary photons** and **electrons:** scatter/interact anywhere since

# Variation of the output factor for primary and secondary photons courtesy M. Sikora

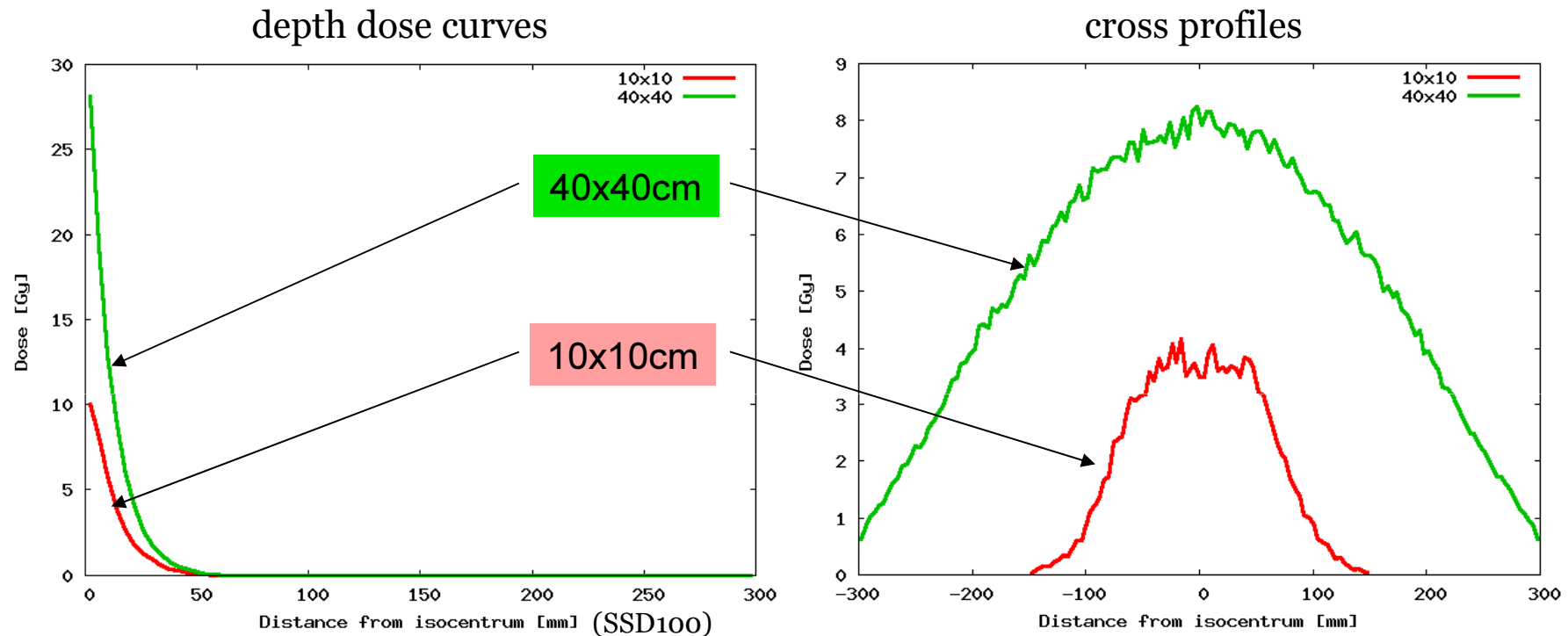


$$\text{OutputFactor} = \frac{\text{Dose}(\text{field size})}{\text{Dose}(\text{reference field size})}$$

# Output factors by Components



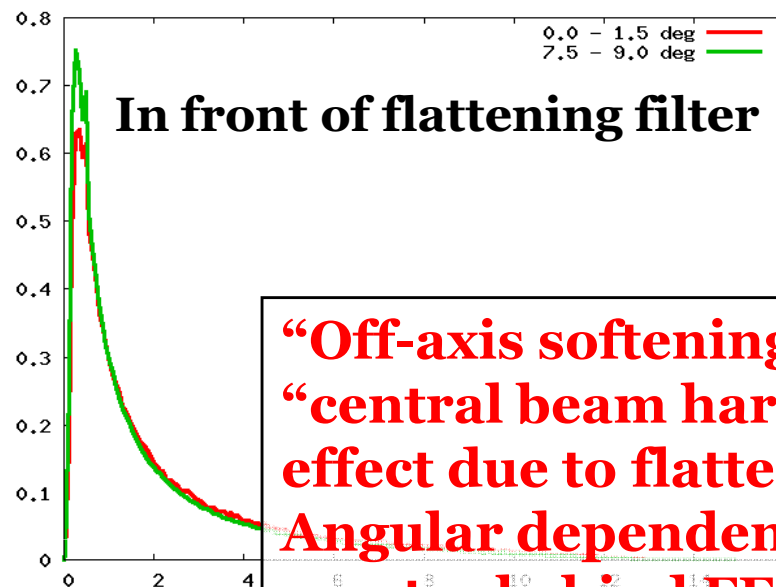
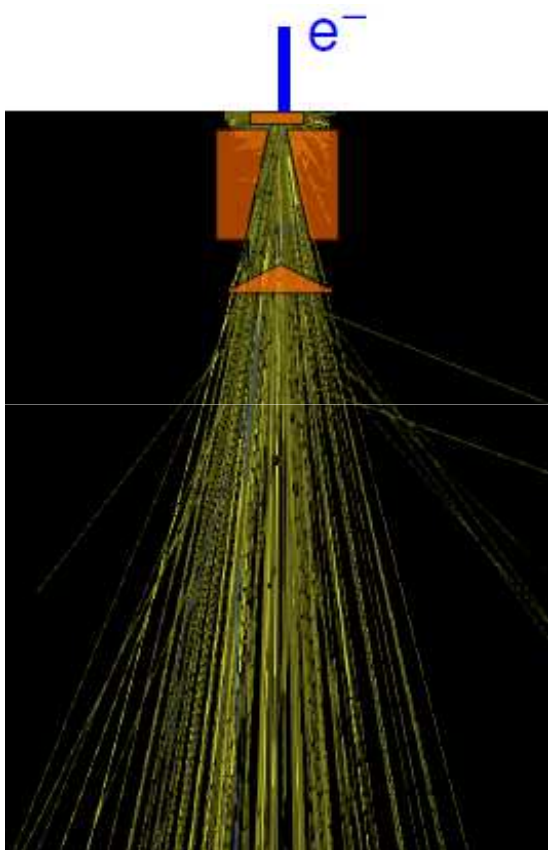
# Electron contamination



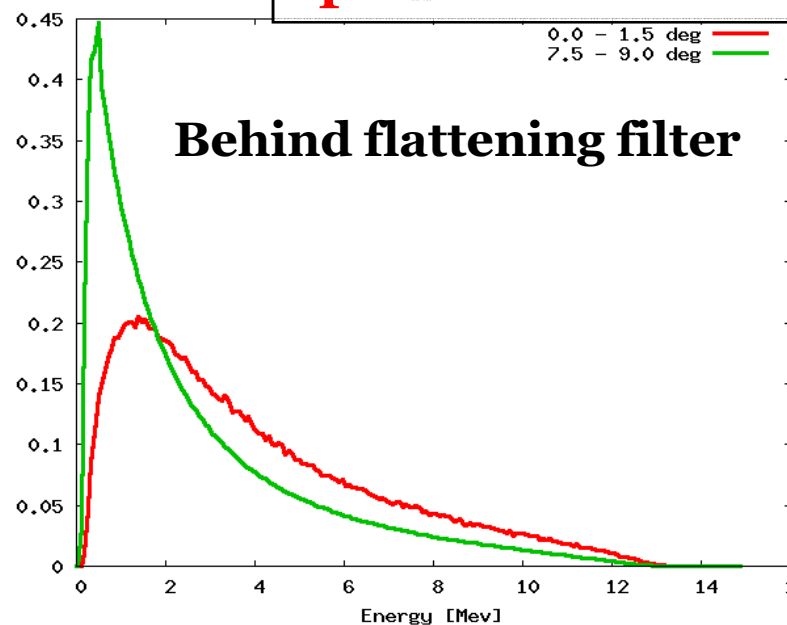
Contaminant electrons account for about 1% of the total energy fluence, with decreasing mean energy for larger fields

# Energy spectra of primary photons: Angular dependence

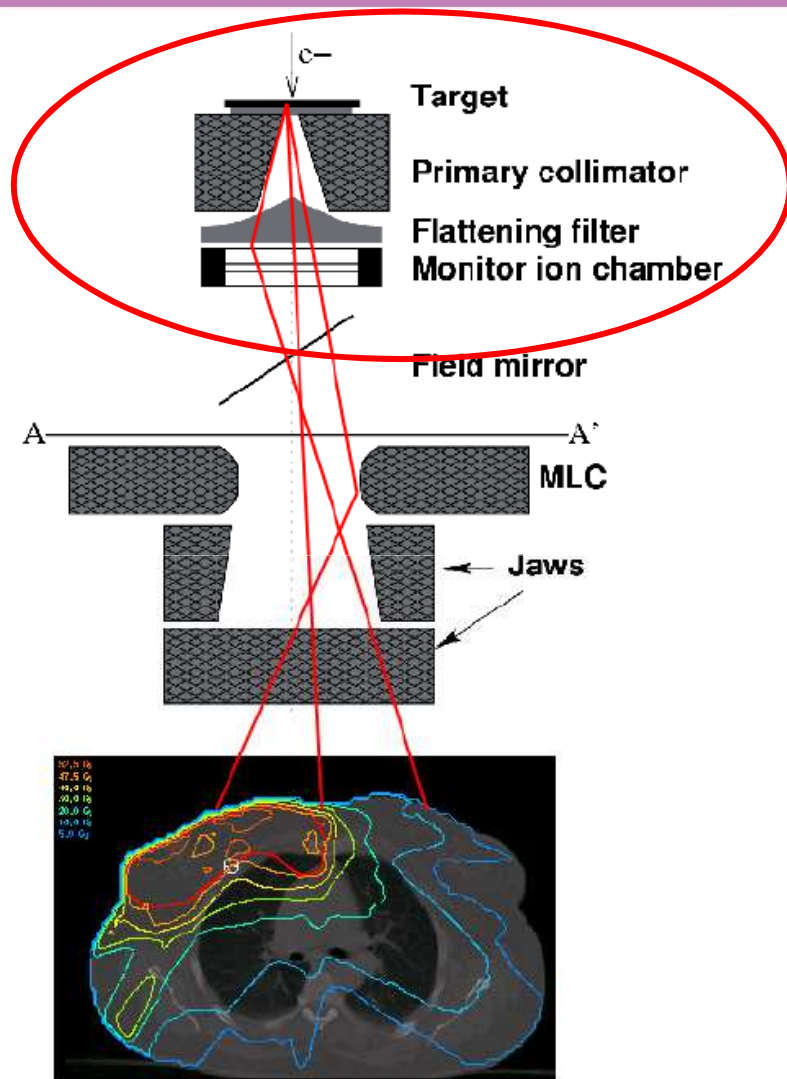
courtesy M. Sikora



**“Off-axis softening” (or: “central beam hardening”) effect due to flattening filter: Angular dependence of energy spectra behind FF!**



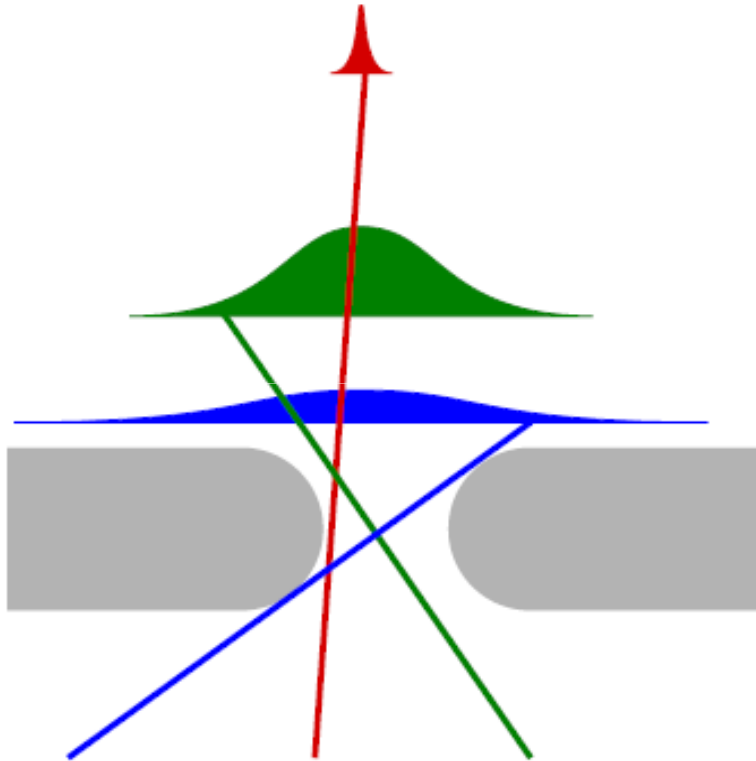
# Important Conclusion so far



- **A lot** happens in the accelerator head already!

- Thus, independent of the specific algorithm (pencil beam/collapsed cone/MC/...), dose calculation in the patient can only be as good as the *head model*

# Usual model of the radiation source



## Gaussian photon sources:

primary source (→target)

secondary source (→ head scatter,  
predominantly from flattening filter)

contamination electron source

- Description of energy fluence distribution
- Description of the spectra of all sources, including its angular distribution

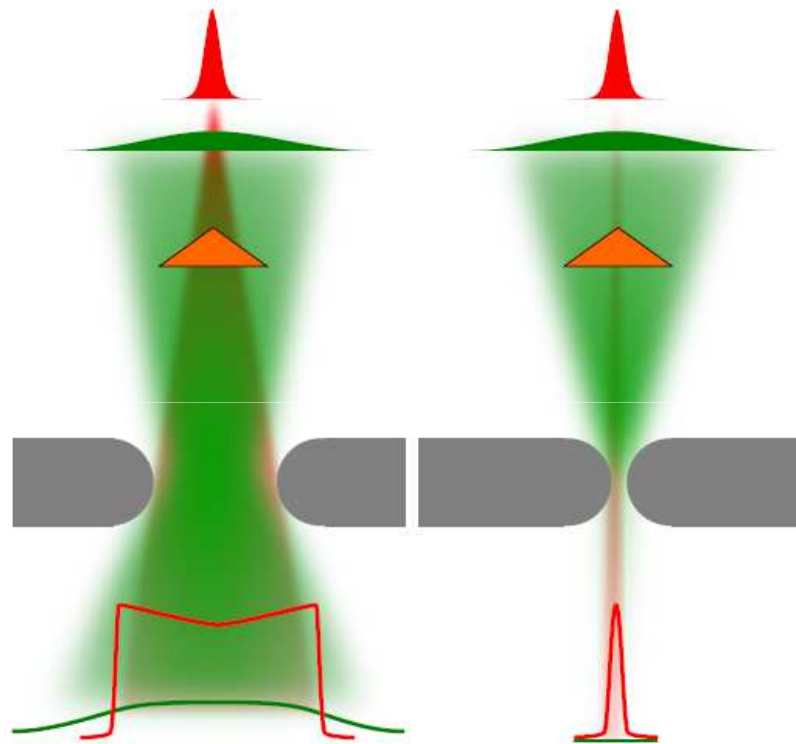


# Field-size dependence of Output-factor 'explained' ...

courtesy M. Sikora

## large fields:

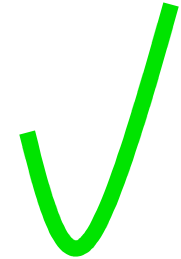
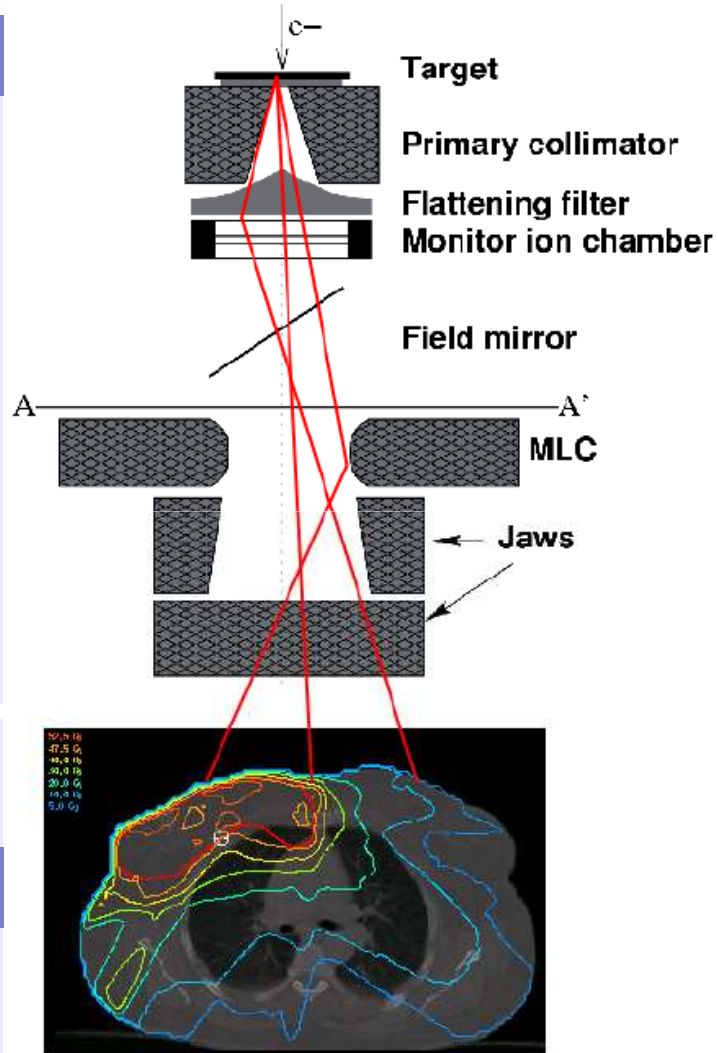
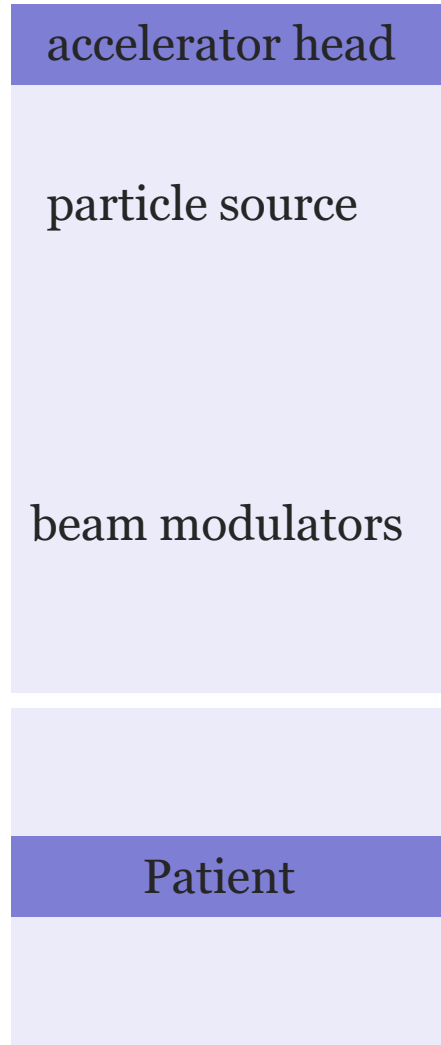
- primary source fully visible
- OF-dependence mainly due to partial shielding of secondary source



## small fields:

- secondary source almost shielded (=only minimal head scatter contribution)
- OF-dependence mainly due to partial shielding of primary source

# Technical background: Typical components of an accelerator head



# Example: Leaf and jaw transmission, inter-leaf leakage

courtesy M. Sikora

3 x 1 cm off-axis segment

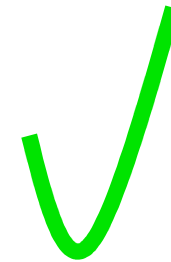
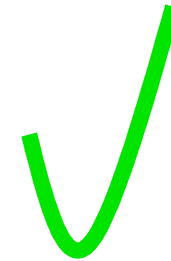
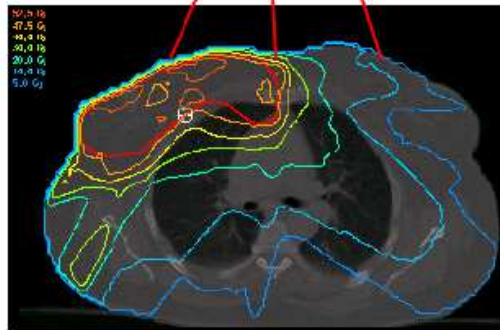
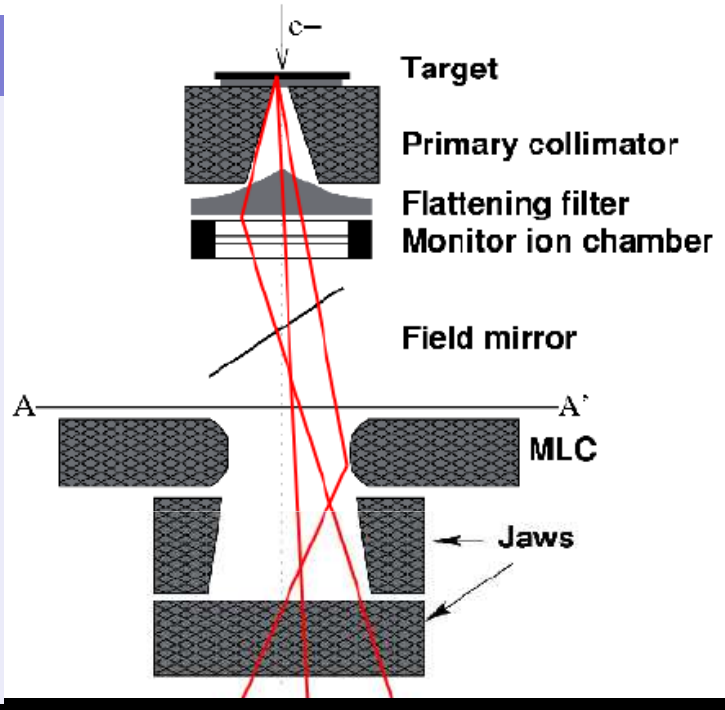
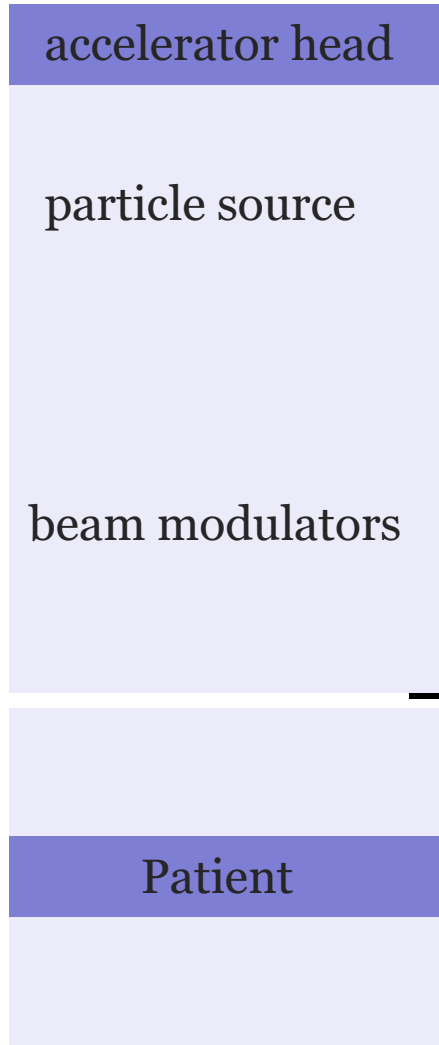


# Effects of the beam modulating elements that need to be modeled for high-precision dose calculation

- leaf transmission, (jaw transmission)
- inter-leaf leakage
- leaf/jaw tip factors (influence on penumbra shapes)
- tongue-and-groove
- systematic leaf offsets
- correction factors for MLC/jaw backscatter into Monitor chamber

→ *most of these effects were discussed in the presentations of Marco Schwarz:  
“IMRT delivery techniques”  
“TPS commissioning”*

# Where are we now?



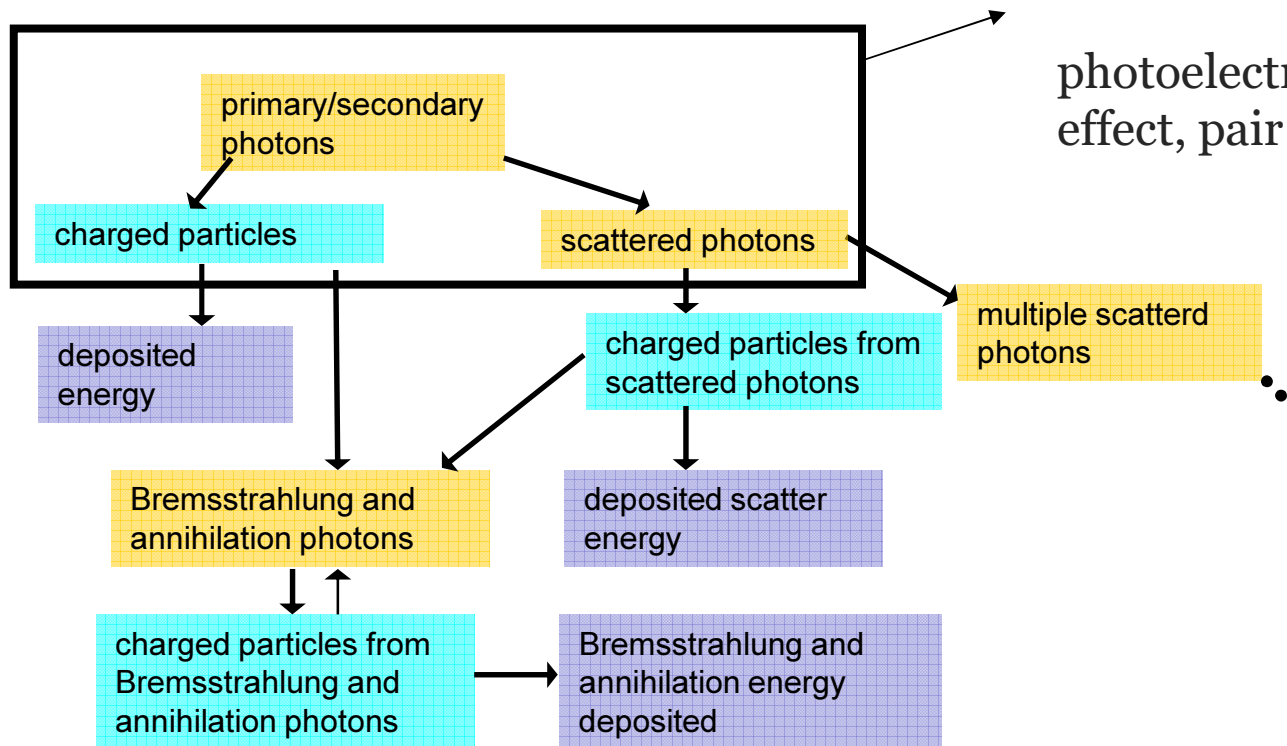
'Beam phase space'



# What happens in the patient...

*elementary physical processes:*

photoelectric effect, Compton effect, pair production



# Modelling particle transport in the patient: Dose calculation methods

## *Implicit, Kernel-based methods:*

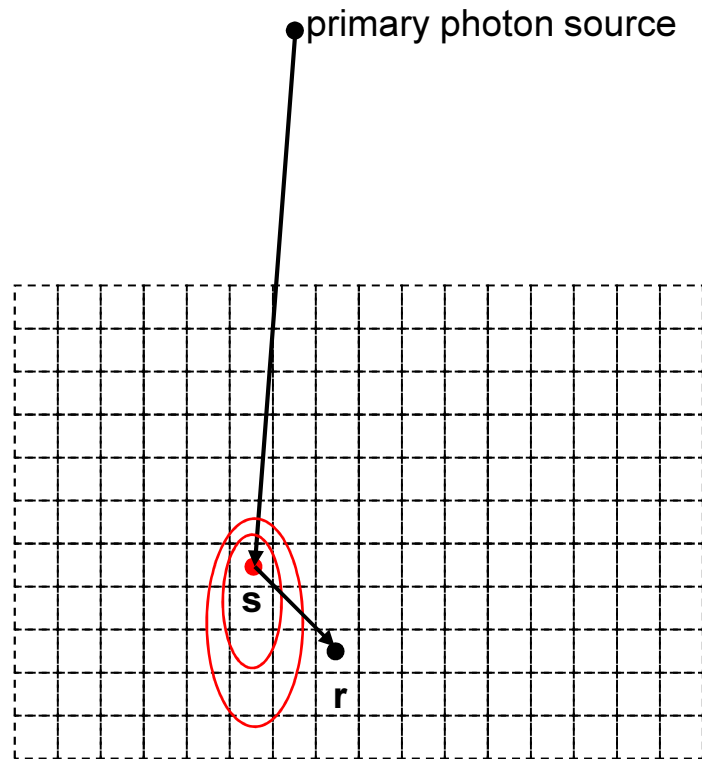
- Collapsed Cone Convolution Superposition
- Pencil Beam



## *Explicit methods:*

- stochastic particle transport modelling: Monte Carlo
- deterministic particle transport modelling: Boltzmann Transport Equation (LBTE) Solvers

# Kernel-based methods: Separation of Photon-fluence and energy deposition



TERMA ~ photon fluence

Photon transport from the primary photon source (or a secondary source) to an interaction point  $s$

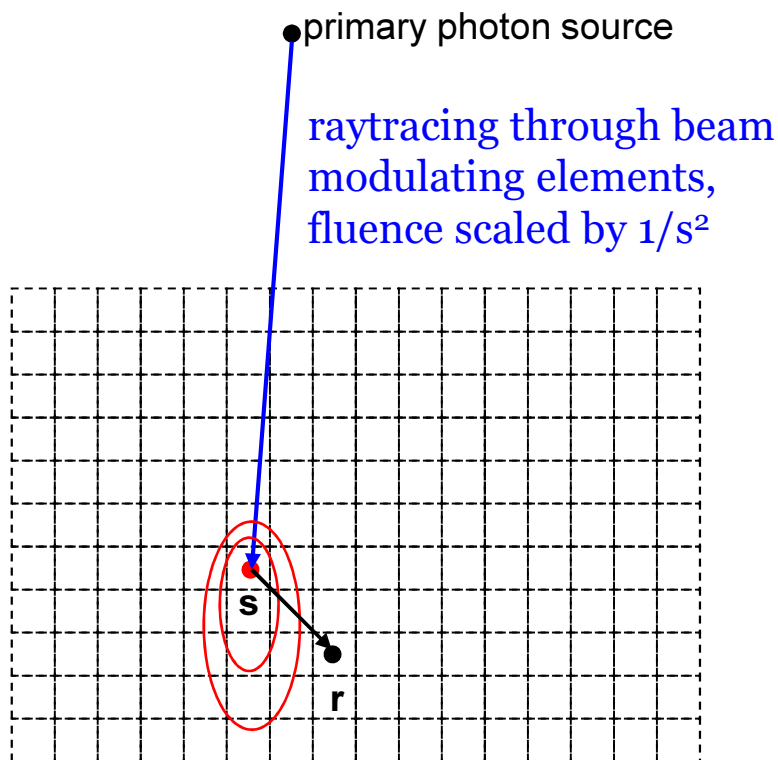
Energy release through physical interactions in medium

Energy transport from  $s$  to point  $r$  through secondary particles (photons and electrons)

KERNEL



# Kernel-based methods: The TERMA concept



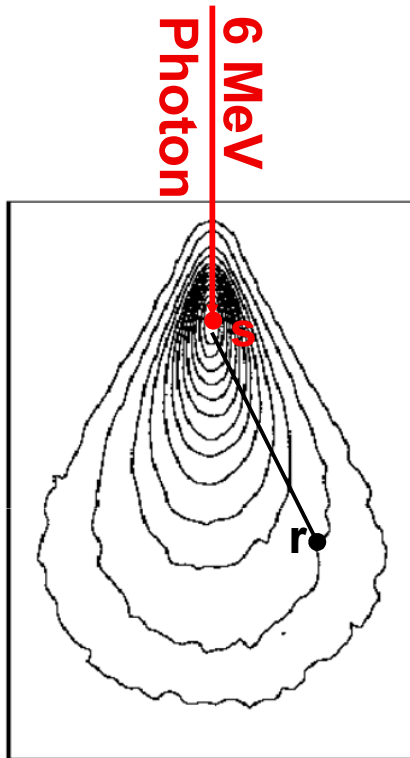
$$T(E, \vec{s}) = \underbrace{\frac{\mu(E, s)}{\rho(\vec{s})}}_{\text{mass attenuation coefficient}} \cdot E \cdot \underbrace{\Phi^{prim}(E, \vec{s})}_{\text{primary fluence}}$$

**TERMA:**  
**Total Energy Released per MAss**

all energy released at  $s$  through physical interactions of the **primary** photon with the medium: *photons, electrons, (positrons)*

↑  
Neglected in most commercial dose calc. algorithms

# Kernel-based methods: Energy deposition *point kernel*



The *point kernel* - also called *point spread function* (PSF)- summarizes energy deposition of all physical interaction processes:

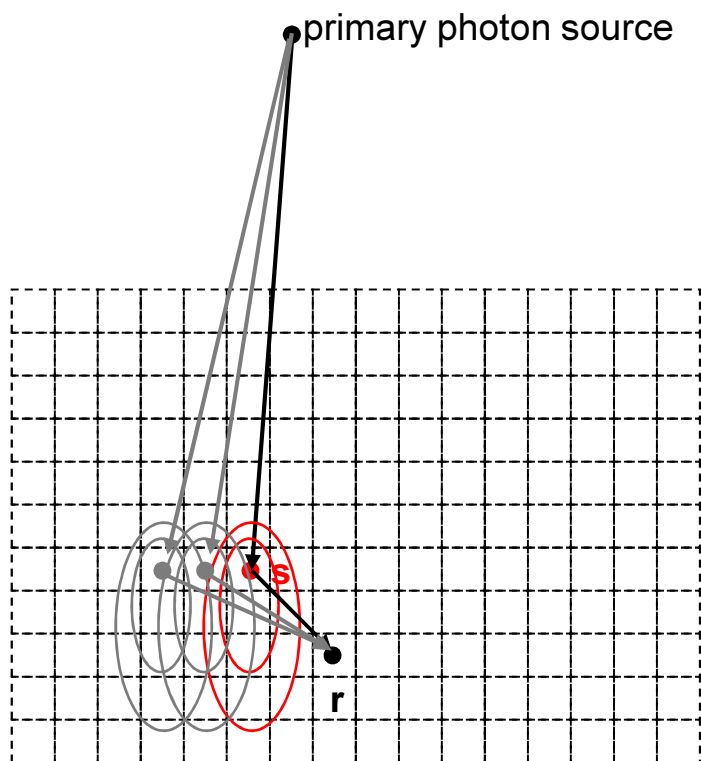
- pre-calculation e.g. with Monte Carlo dose calculation in water
- parameterization for efficient use

$$h^{water}(E, \vec{r}, \vec{s}) = h^{water}(E, \vec{r} - \vec{s})$$

↑  
homogeneous medium (water)  
→ symmetrical PSF!

# Kernel-based methods: Convolution/Superposition

Simplest possible situation:  
Monoenergetic incident photons,  
Homogeneous medium



Dose in point  $r$ ...

$$D(\vec{r}) = \int d^3s T(\vec{s}) h(\vec{r} - \vec{s})$$

...*superposition* of all point kernels originating  
around all possible points  $s$

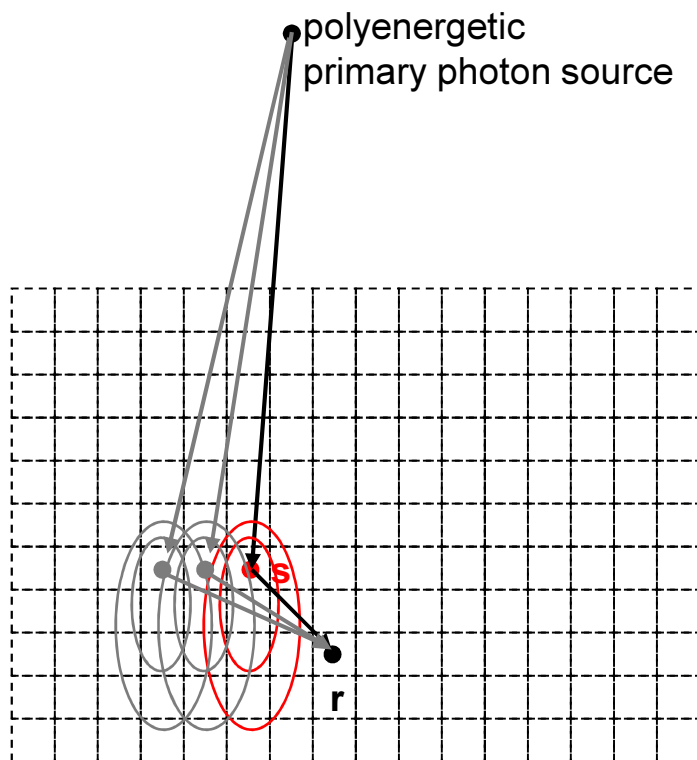
mathematically: *3D-convolution integral*

→ very efficient numerical implementation via  
fast fourier transform!

BUT necessary condition: point kernel  
independent of location (homogeneous  
medium)

# Kernel-based methods: Convolution/Superposition

more realistic situation:  
**Polyenergetic** incident photons,  
Homogeneous medium (water)



Dose in point  $r$ ...

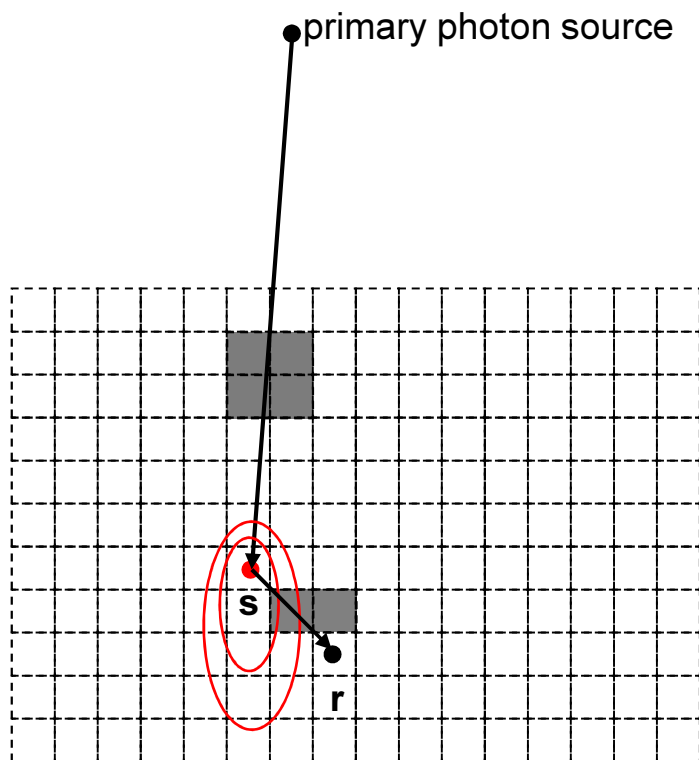
$$D(\vec{r}) = \int dE \int d^3s T(E, \vec{s}) h(E, \vec{r} - \vec{s})$$

3+1D-*superposition*:

weighted superposition of dose contributions for different energies

→ numerical less expedient than the previous idealized situation

# Kernel-based methods: Dose calculation in inhomogeneous media



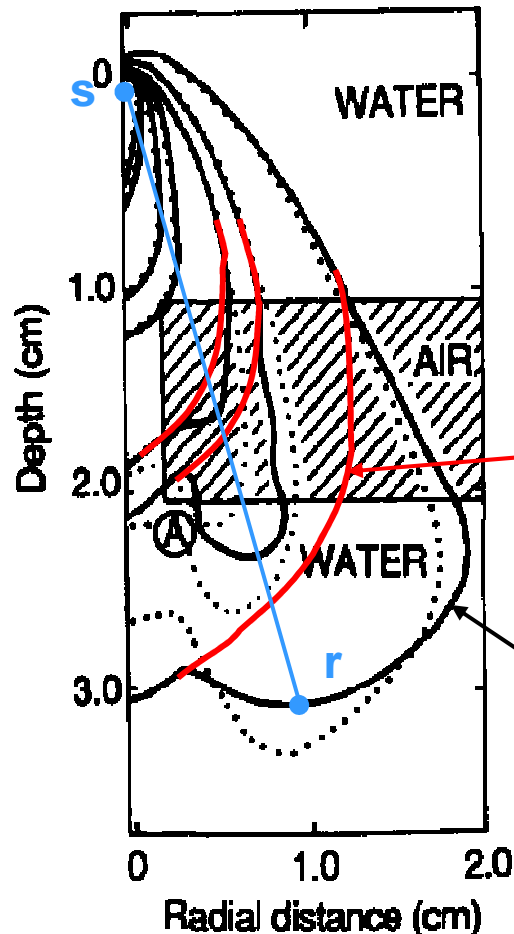
*How to handle inhomogeneous media in the kernel formalism?*

(1) rescale fluence according to the *effective pathlength* corrected by densities-along-the-way of raytracing

(2) 'rectilinear' density rescaling of kernel

# Kernel-based methods: Rectilinear density rescaling of the kernel

adapted from Woo and  
Cunningham 1990  
(Med. Phys. 17(2))



$$h(E, \vec{r}, \vec{s}) = \frac{\rho(\vec{r})}{\rho_{water}} \cdot \bar{\rho}_{rel}^2(\vec{r}, \vec{s}) \cdot h^{water}(E, \bar{\rho}_{rel}(\vec{r}, \vec{s}) \cdot (\vec{r} - \vec{s}))$$

this would be the  
kernel dose in a  
homogeneous  
medium:  $h^{water}$ !

...and after  
rectilinear  
rescaling

‘Rectilinear’ rescaling with the *mean relative density* along the path between  $s$  and  $r$ :

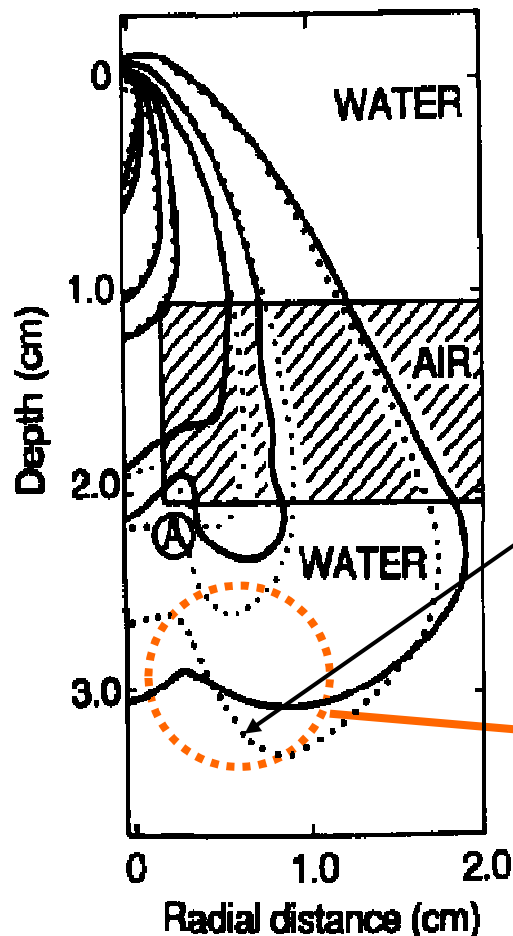
$$\bar{\rho}_{rel}(\vec{r}, \vec{s}) = \frac{1}{|\vec{r} - \vec{s}|} \int_{\vec{r}}^{\vec{s}} d\vec{s}' \frac{\rho(\vec{s}')}{\rho_{water}}$$

→ The point kernel dose is *dependent on location* inside of inhomogeneous media (patient!)

→ efficient numerical dose calculation w. fast fourier transform not possible without further approximations

# Kernel-based methods: Rectilinear density rescaling of the kernel

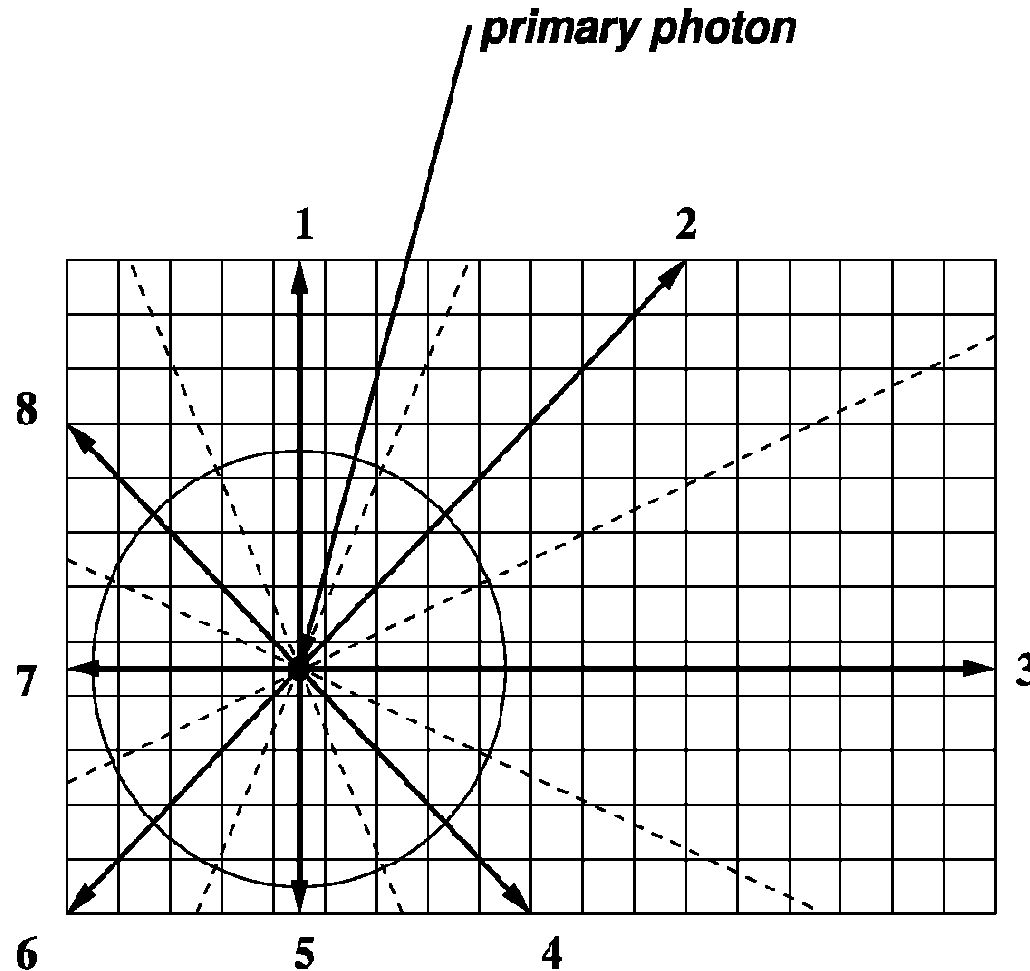
Woo and Cunningham 1990  
(Med. Phys. 17(2))



...dotted isodose lines: 'exact' point kernel dose from Monte Carlo calculations

Electrons do not move linearly on straight tracks!  
**Multiple scattering effects not exactly handled**

# Kernel-based methods: 'Collapsed Cone' approximation for efficient density rescaling

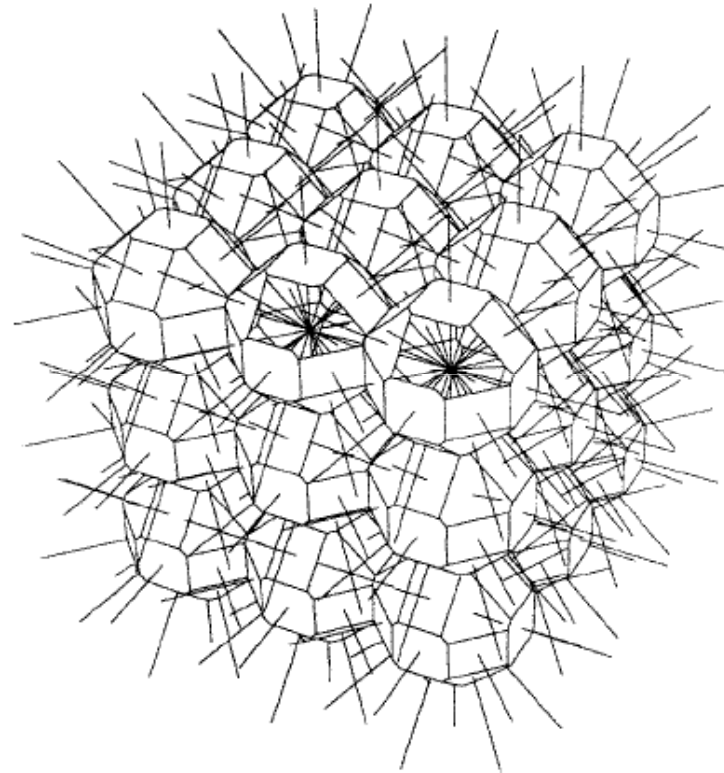
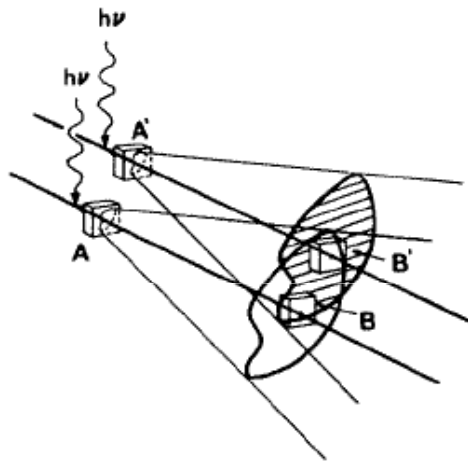


- Discretization of the point kernel into angular sectors ('cones')
- density rescaling only done for voxels on the central cone axis, i.e. the cones are 'collapsed' to their central axis
- precision and calculation time depend on resolution



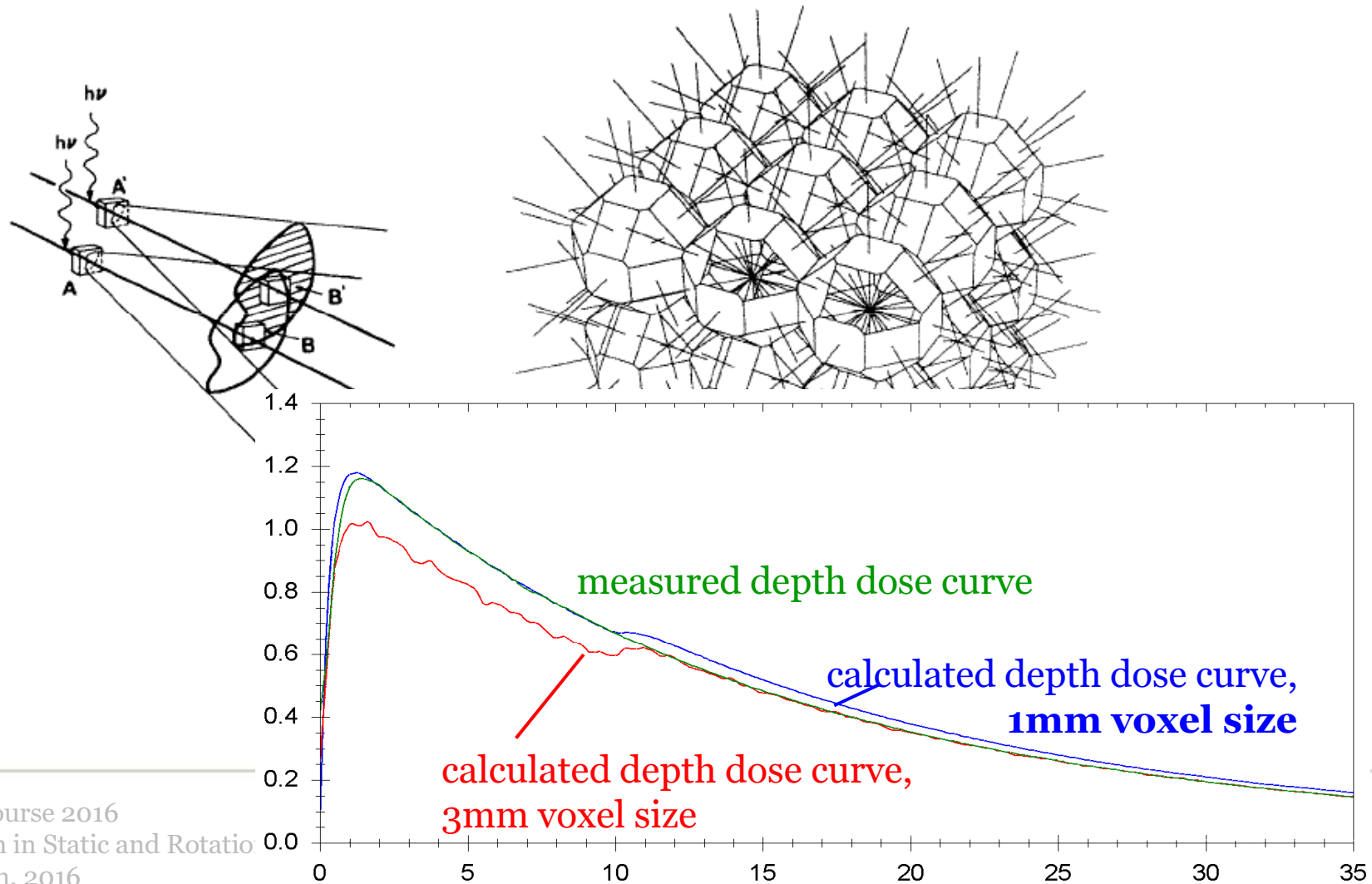
# Kernel-based methods: 'Collapsed Cone' approximation for efficient density rescaling

Ahnesjö and Aspradakis  
1999 (PMB 44(11))



# Kernel-based methods: 'Collapsed Cone' approximation for efficient density rescaling

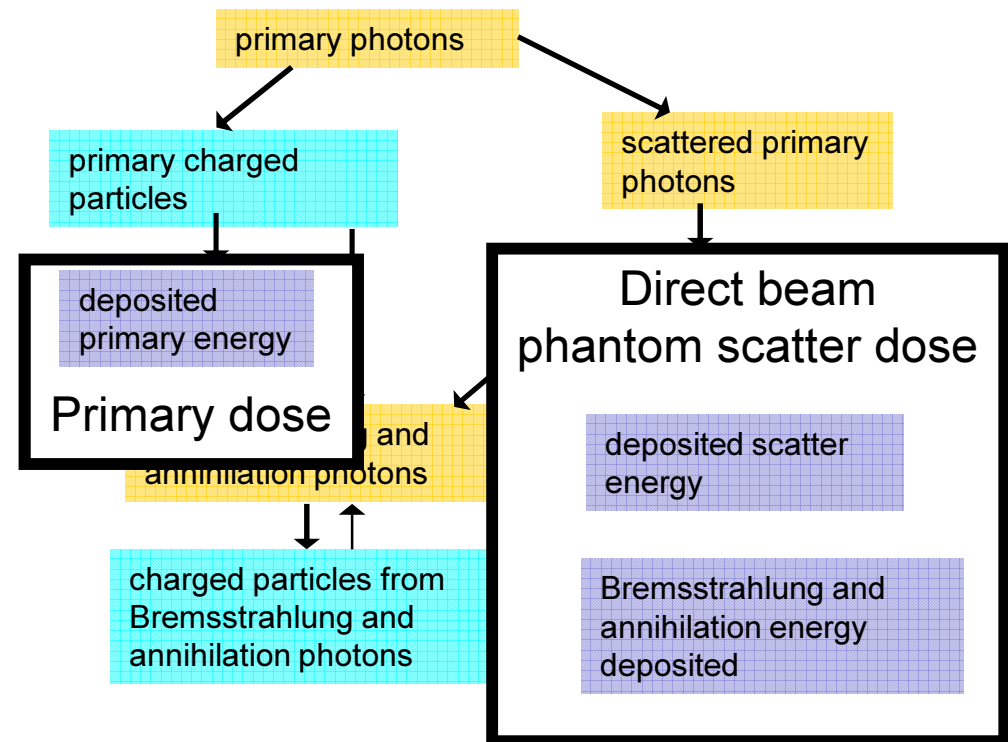
Ahnesjö and Aspradakis  
1999 (PMB 44(11))



# Kernel-based methods: 'Collapsed Cone' approximation for efficient density rescaling

Further 'smart' approximations for efficient numerical implementation:

- separate treatment of deposited primary energy and deposited phantom scattered dose
- further approximations for polyenergetic photon beams (details depend on implementation): Energy-averaged TERMA and point kernel; approximate or no modelling of beam hardening; ...



More details: Ahnesjö and Aspradakis 1999  
(PMB 44(11))

## Important to realize: There is not >>THE<< Collapsed-Cone algorithm

The specific accuracy of different *implementations* of Collapsed Cone algorithms does vary!

In fact, this is also true for Pencil Beam, and – to some extent (esp. head model) – for Monte Carlo...

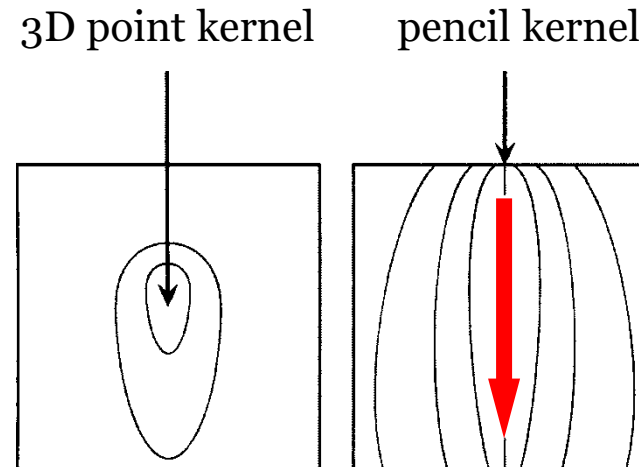
Further readings e.g...

- Vanderstraeten et al. 2006: Accuracy of patient dose calculation for lung IMRT: A comparison of Monte Carlo, convolution/superposition, and pencil beam computations. Med. Phys. 33(9), 3149-3158
- Huang et al. 2013: Investigation of various energy deposition kernel refinements for the convolution/superposition method. Med. Phys. 40, 121721

# Kernel-based methods: 2D-superposition methods: Pencil Kernel algorithms

Integrate 3D point kernels  
along beam direction  
(pre-convolution):

→ patient-specific *local* lateral  
scatter cannot be modelled well!



Dose calculation becomes a 2D-integration (superposition integral):

$$D(\vec{r}) = \int d^2s \Psi(\vec{s}) p(\vec{r}, \vec{s})$$

$\Psi(\vec{s})$ : Fluence on the patient surface

$p(\vec{r}, \vec{s})$ : Pencil Beam kernel

## Kernel-based methods: 2D-superposition methods: Pencil Kernel algorithms

As for 3D point kernel methods, the superposition integral is a convolution integral, if the pencil kernel is spatially invariant (**homogeneous media**)

→ **very fast dose calculation** using Fast Fourier Transformation

*approx. dose deposition in the patient*

**Approximate methods** to handle **inhomogeneous media**:

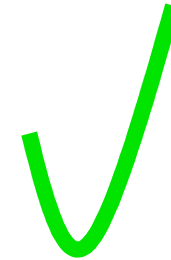
- density corrections: mainly effective path length rescaling along beam direction
- simplified modelling of phantom scatter: local *lateral scatter* effects not modelled correctly
- neglect energy spectrum changes
- simplified modelling of head scatter: requires *correction factors for the output-factor*

*...and approximations to the head model*

# Modelling particle transport in the patient: Dose calculation methods

*Implicit, Kernel-based methods:*

- Collapsed Cone Convolution Superposition
- Pencil Beam

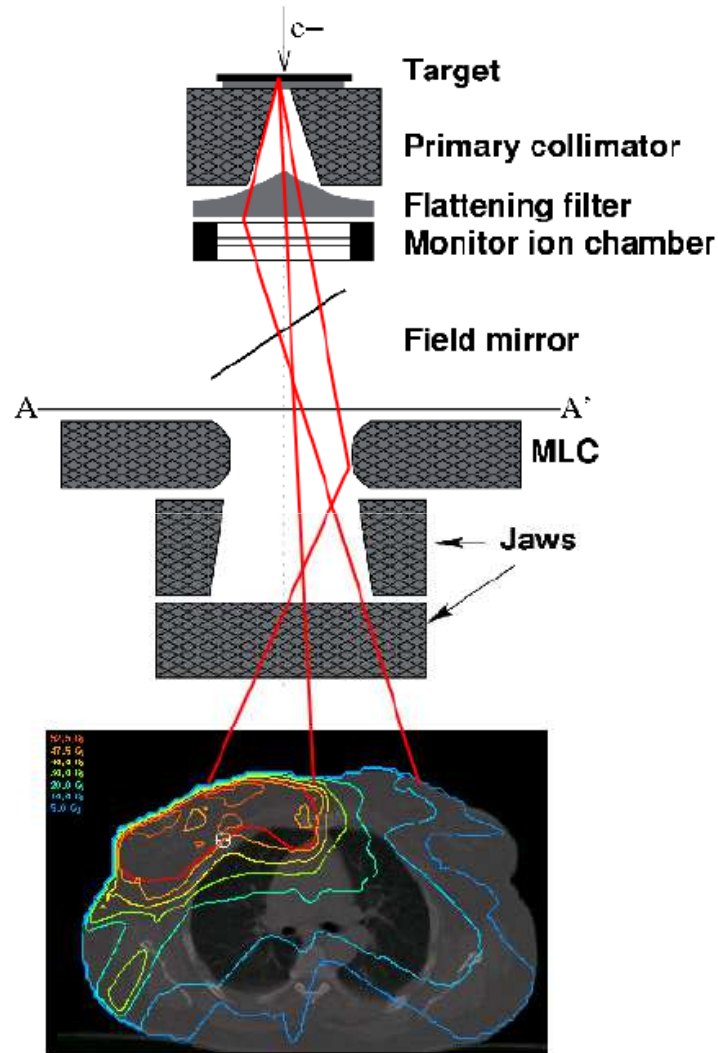


*Explicit methods:*

- stochastic particle transport modelling: Monte Carlo
- deterministic particle transport modelling: Boltzmann Transport Equation (LBTE) Solvers



# Monte Carlo Dose Calculation



‘Full’ Monte Carlo methods:

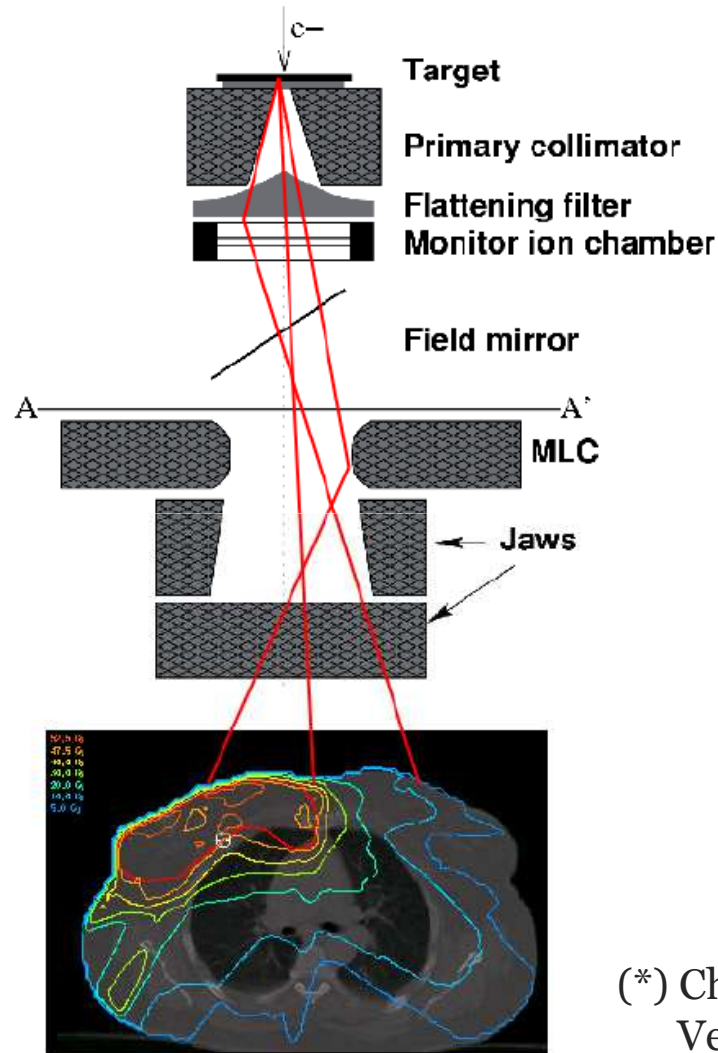
Explicit simulation of all physical interactions of all particle histories (photons, electrons, positrons and cross-sections of interactions)

starting from electrons hitting the target

ending with all local elementary dose depositions in the patient, considering exact material compositions



# Monte Carlo Dose Calculation

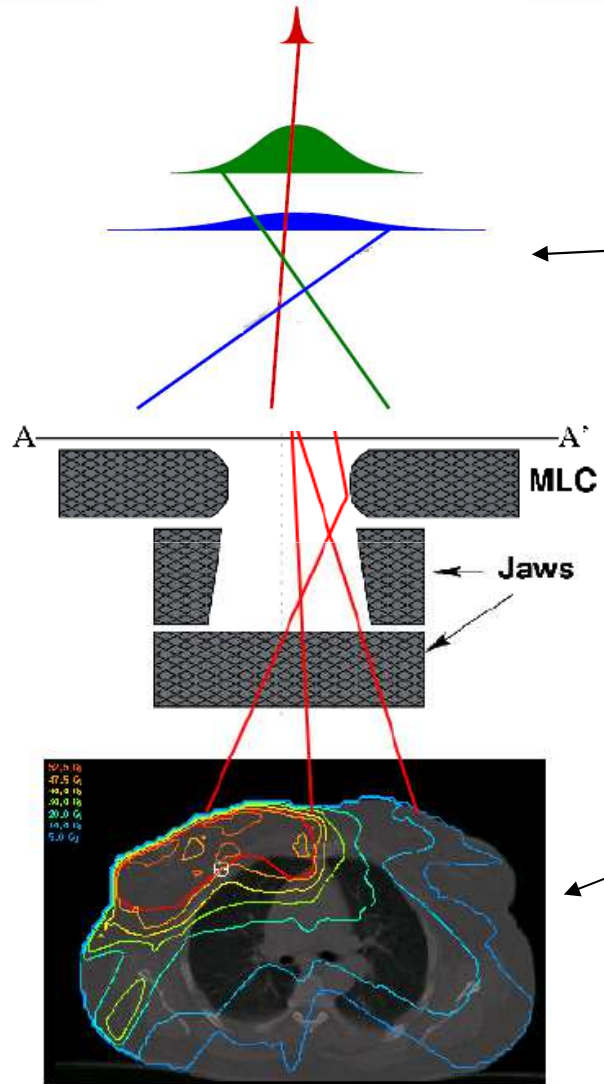


'Full' Monte Carlo method

Explicit simulation of all interactions of all particles (photons, electrons, positrons, neutrons, etc.) from the source to the target, including secondary particles and interactions of secondary particles with the target and surrounding tissues. This is a 'Gold standard' (\*) (if all geometries, material compositions etc. are correctly modelled, which is a challenge in itself!) BUT: very time consuming

(\*) Chetty et al. 2007, Med. Phys. 34(12)  
 Verhaegen and Seuntjens 2003, PMB 48(21)  
 Fraass et al. 2003, Med. Phys. 30(12)

# Monte Carlo Dose Calculation



Fast Monte Carlo codes:

Virtual Source Model (VSM)

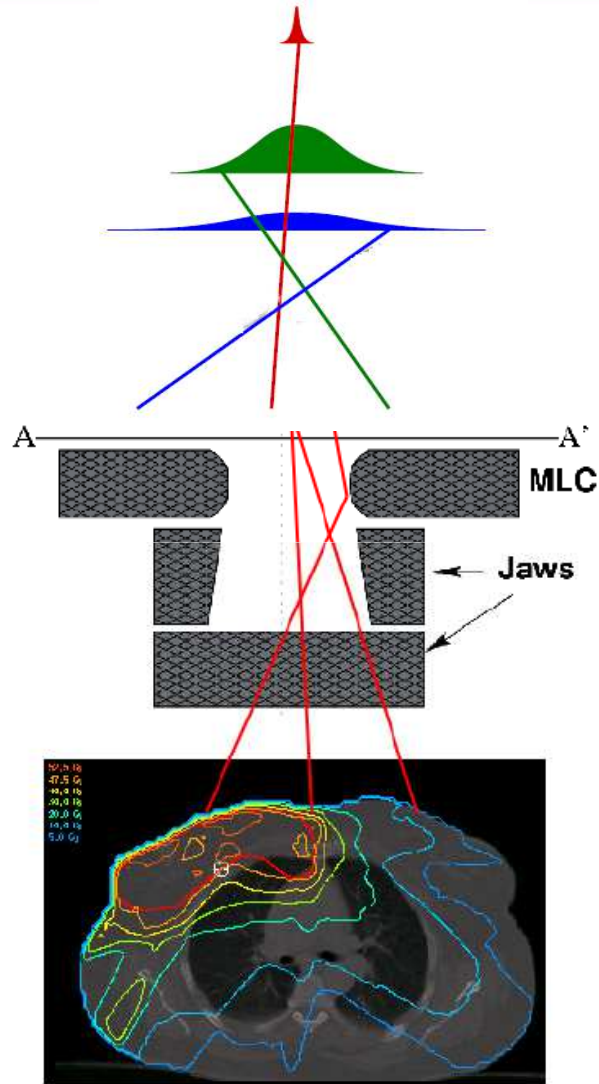
efficient (approximate) modelling  
of effects of beam modulating  
elements (transmission maps etc.)

In the patient: variance reduction  
techniques, history repetition;  
optimized for voxel geometries;  
optimized for energy range typical  
in RT and material compositions  
in human tissue, ...

see e.g. Fippel 1999, Med. Phys. 26(8)

Kawrakov and Fippel 2000, PMB 45(8)

# Monte Carlo Dose Calculation



Fast Monte Carlo codes

Virtual Source Model

potentially efficient  
effects of beam  
elementary

**...MUCH faster**  
and for all practical purposes in RT (typical  
energy range 6~20MeV, human tissue and  
tissue-like materials) same accuracy as full  
Monte Carlo  
reduction  
complex geometries;  
energy range typical  
material compositions  
tissue, ...  
etc.)

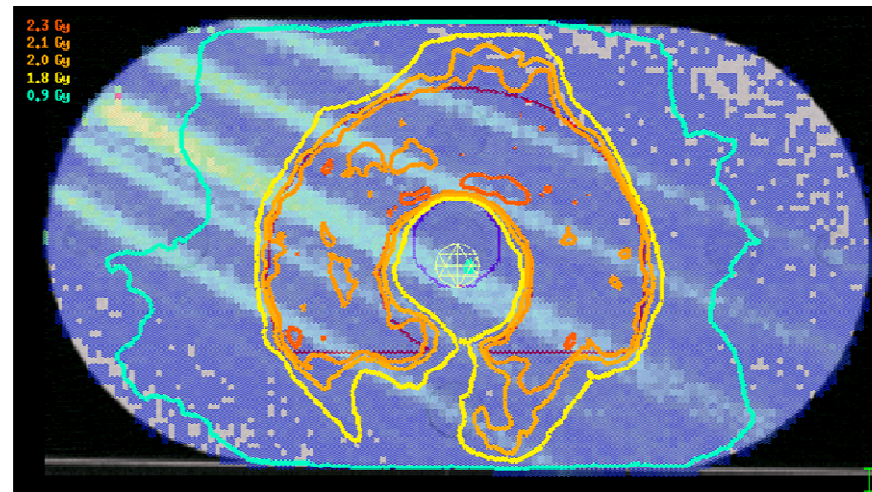
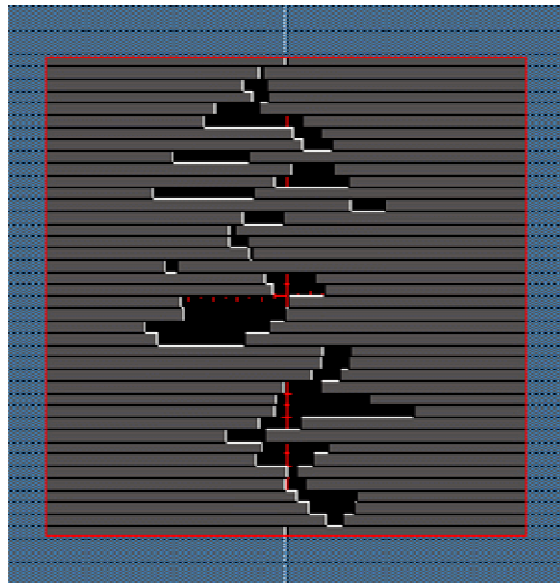
see e.g. Fippel 1999, Med. Phys. 26(8)

Kawrakov and Fippel 2000, PMB 45(8)

# Special issues for Rotational IMRT

Modern IMRT techniques, especially rotational IMRT:

- Complexity of field shapes are a challenge for dose calculation algorithms
- simple field-size dependent Output-Factor corrections (e.g. Pencil Beam) difficult!  
→ good head model required!



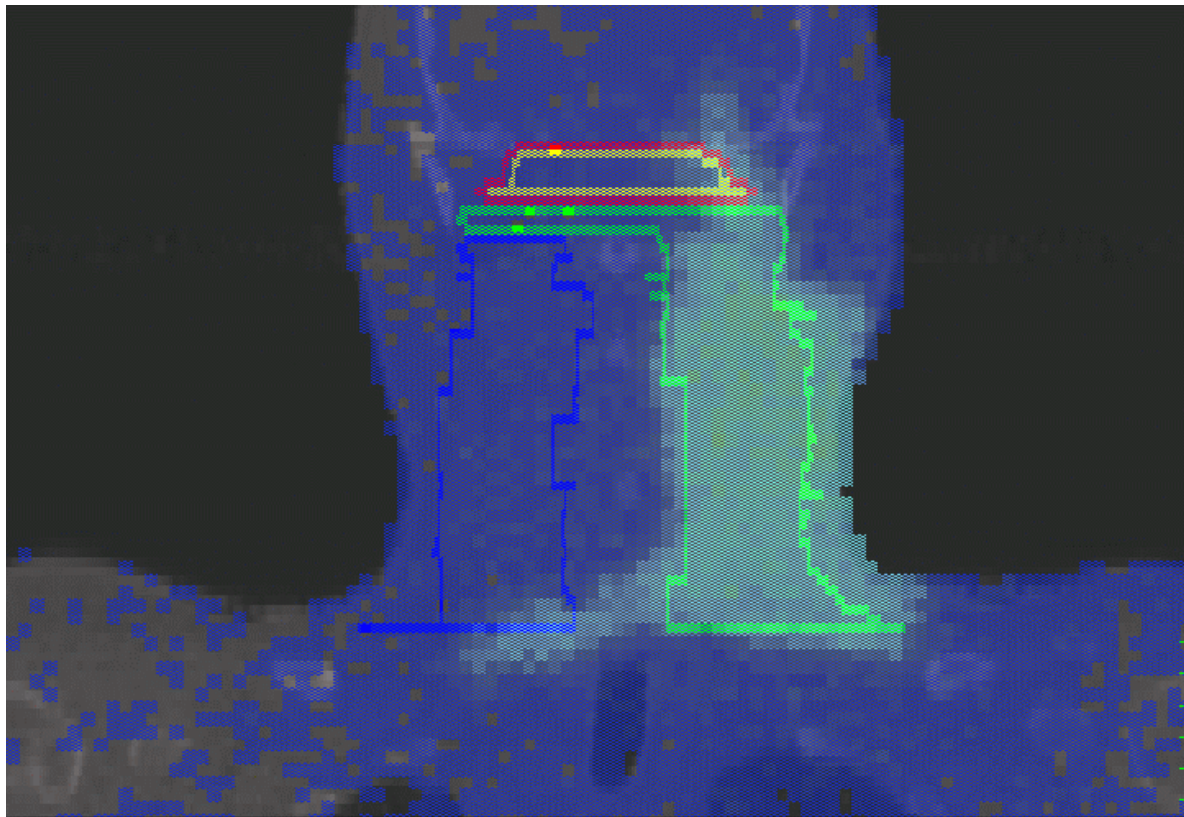
Treatment field obtained from Varian RapidArc and its dose distribution in the patient

# Special issues for Rotational IMRT: Discretized Arc vs. Continuous Arc Dose Calculation

courtesy M. Alber

Most algorithms calculate the dose of an Arc as sum of segment dose contributions from *discrete beam angles*

Monte Carlo: Beam angle can be treated as additional random variable!  
→ simulation of the rotating, dynamically modulated beam without discretization of the motion



Pinnacle SmartArc  
treatment plan,  
recomputed with  
Monte Carlo

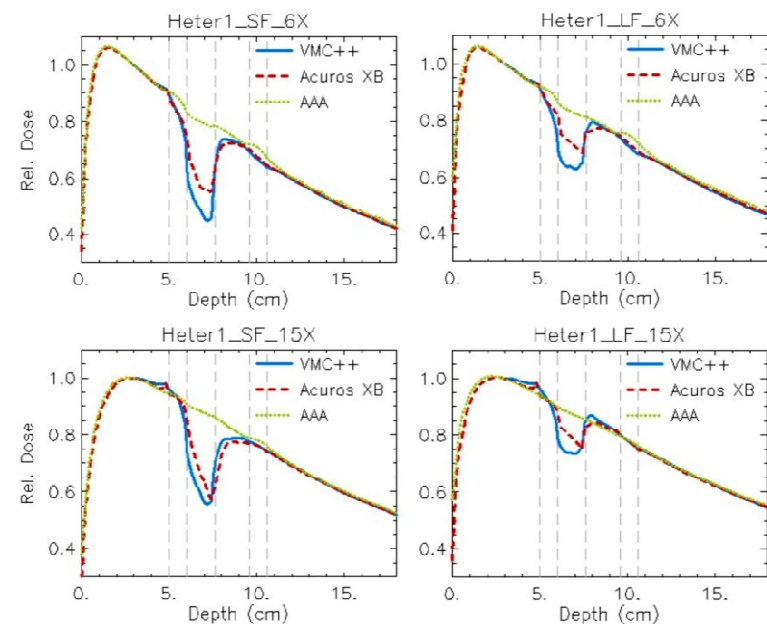
HYPERION

ESTRO  
School

# Linear Boltzmann Transport Equation (LBTE) Solvers

Dose Calculation as Energy Transport: *Explicit, deterministic 'solution'* of the Boltzmann equation vs. its *stochastic 'solution'* via Monte Carlo.

- Raytracing of incident photons and electrons from virtual sources in the accelerator head
- same head model as other 2-3-4D superposition algorithm can be used
- approximations in modelling of phantom scatter: weakly variable, thus rough discretization of raytracing possible
- *explicit modelling of local electron transport* — no kernel density rescaling necessary
- first implementation in clinical TPS as Varian Acuros XB



from Fogliata et al. 2011 (6:82)

## further reading...

about  
Monte Carlo...

sources the most demanding candidate for direct use of Monte Carlo dose calculations. Long calculation times are a pronounced problem in conformal therapy where optimization of dose distributions using iterative algorithms requires the dose to be recomputed many times during the planning procedure. Hence, it is likely that for another decade photon Monte Carlo will be used for beam characterization, benchmarking and other special studies rather than routine treatment planning. Nevertheless, a very ambitious Monte Carlo treatment planning

Anders Ahnesjö and Maria Mania Aspradakis 1999:  
**Dose calculations for external photon beams in radiotherapy**  
Phys. Med. Biol. **44**(11), R99-R155

...and LDPE  
Solvers...

number of iterations is proportional to the number of interactions. Hence, to completely solve the dose deposition in photon beams numerically by purely deterministic methods the electron transport must be solved by a more suitable method such as the phase space evolution originally developed for dose calculation in electron beams (Huizenga and Storchi 1989, McLellan *et al* 1992, Janssen *et al* 1997). The complexity and computational burden for such a complete deterministic approach would probably exceed that of the Monte Carlo approach (Börger 1998).

# Summary and Conclusions

- As clinical **users**, we don't have to know all details of dose calculation algorithms

The few things you should know and be aware of:

- Major effects happen in the accelerator head already
  - importance of a good head model!
- Pencil beam algorithms are very fast, but have major problems with accuracy in regions of the body with large density inhomogeneities (lung/thorax!, head-and-neck)
- thus, the final dose calculation should be done with Collapsed Cone algorithms, Linear Boltzmann Transport Equation Solvers or Monte Carlo algorithms
- be aware: There is not “THE” Pencil Beam or “THE” Collapsed Cone algorithm
  - accuracy and performance depend on vendor-specific implementational details
- finally, and most importantly: *Always keep a critical eye on what is calculated!*  
(Appendix)





# Appendix

## Dose calculation issues ,in practice‘

# Dose calculation issues ,in practice‘

Even when using allegedly ‘precise’ dose calculation algorithms:

**DON'T TRUST YOUR DOSE TOO MUCH!**

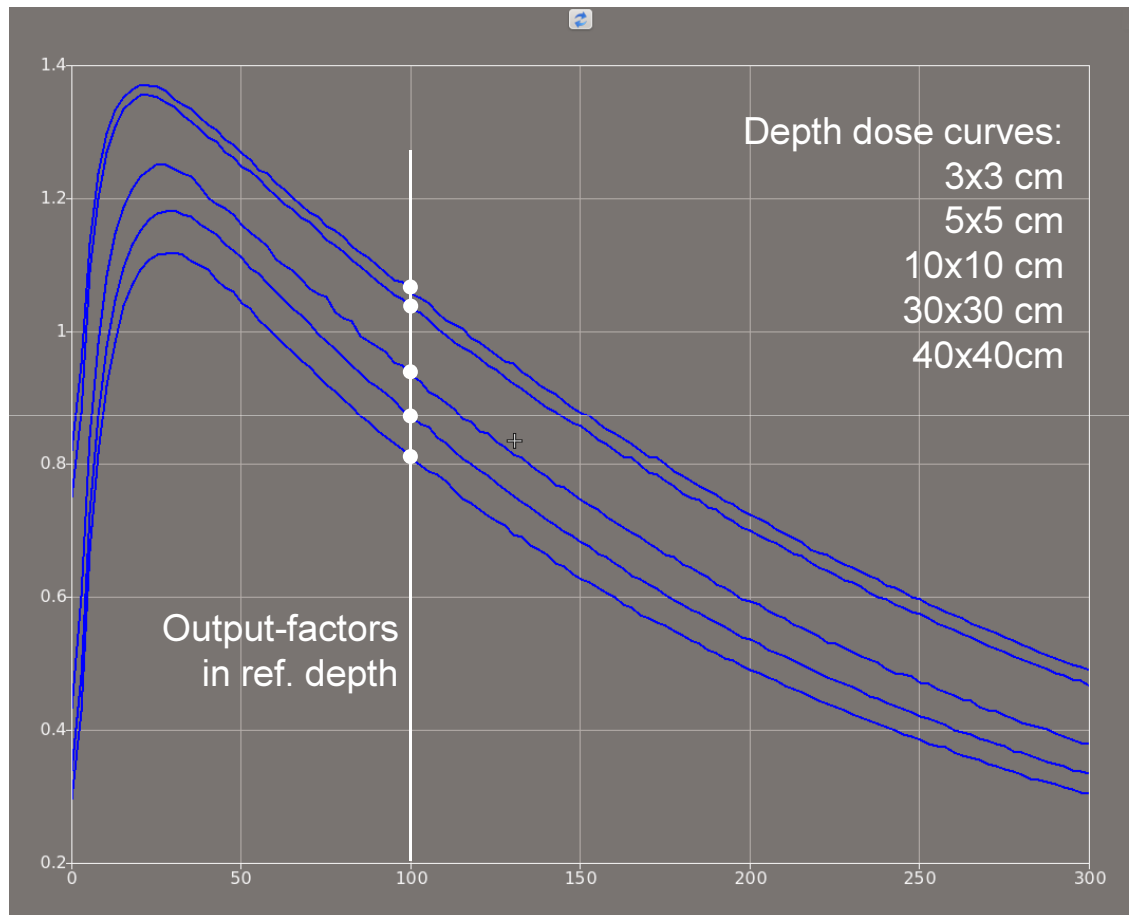
[i.e. always keep a critical eye on what is calculated]

Some examples for situations which need special care:

- small fields, irregular shaped fields (esp. off-axis), ...
- potential systematic errors in measured base-data
- air in rectum
- dose calculation in lung in presence of breathing motion (‘4D’ dose)
- dose absorption in the patient table/couch
- dose calc in presence of CT artifacts: dental&hip implants
- HU errors in CT-calibration

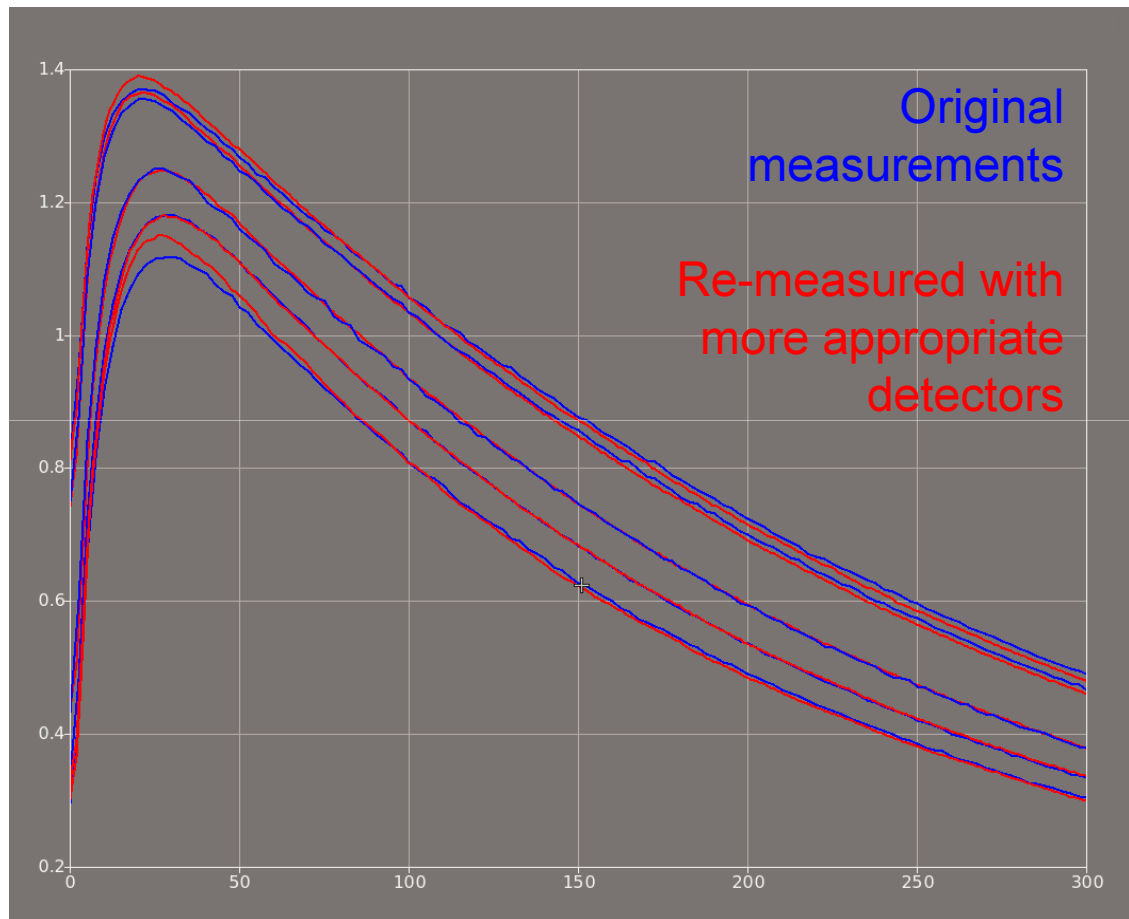
# Base-data for dose-calculations algorithms

Key-input for all types of dose-calculation algorithms: DDCs, OFs...



# Base-data for dose-calculations algorithms

...a classical *garbage-in garbage-out* problem:



- ...non-trivial optimal choice of detector(s) (ionisation chamber, diode, diamond, ...):
- Energy-dependence
  - Field size
  - DDC vs. cross-profile
  - ...

# Dose calculation issues ,in practice‘: Prostate RT: Rectal gas filling in planning CT

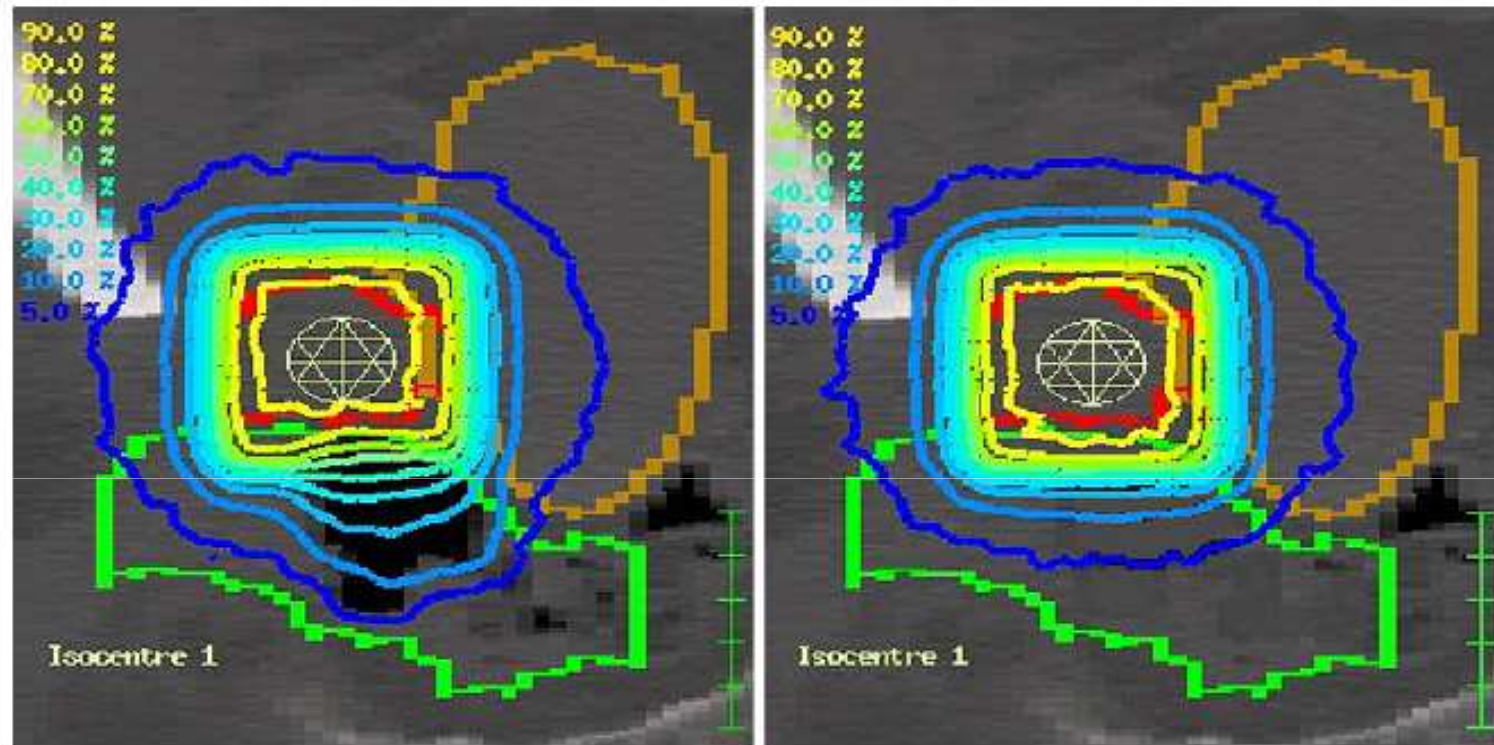


Fig. 4. Isodose distribution from sagittal view in isocentric plane for  $5 \times 5 \text{ cm}^2$  photon field (gantry  $90^\circ$ ). (Left) Original computed tomography (CT) scan. (Right) Density overwrite of rectal gas filling with water-equivalent density. Difficulty arises if planning target volume overlaps with cavity as optimizer compensates for dose loss resulting from lateral scatter.

Further reading: Soukup et al. 2009 (IJROBP 75(3))

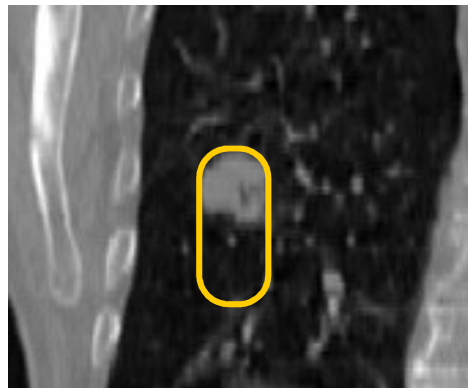
# Dose calculation issues ,in practice': Dose calculation for lung lesions

## A fundamental problem of the PTV-concept:

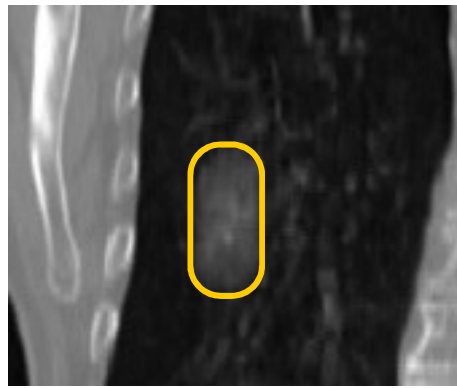


In the ICRU concept the PTV is a *virtual, non-anatomical* planning volume

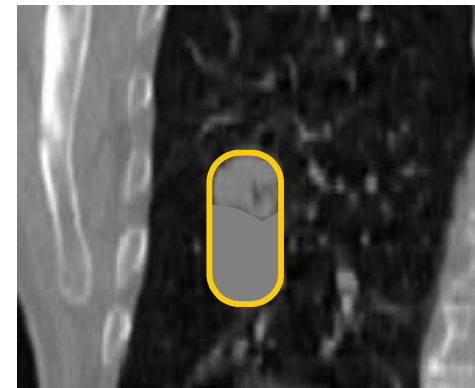
- › generally extends into low density region: lung tissue/air
- › *What density should be used for planning, so that reported PTV dose is well representative for the dose-to-moving-tumour?*



as-is (no density override)



average density CT based on RCCT



virtual density overwrite

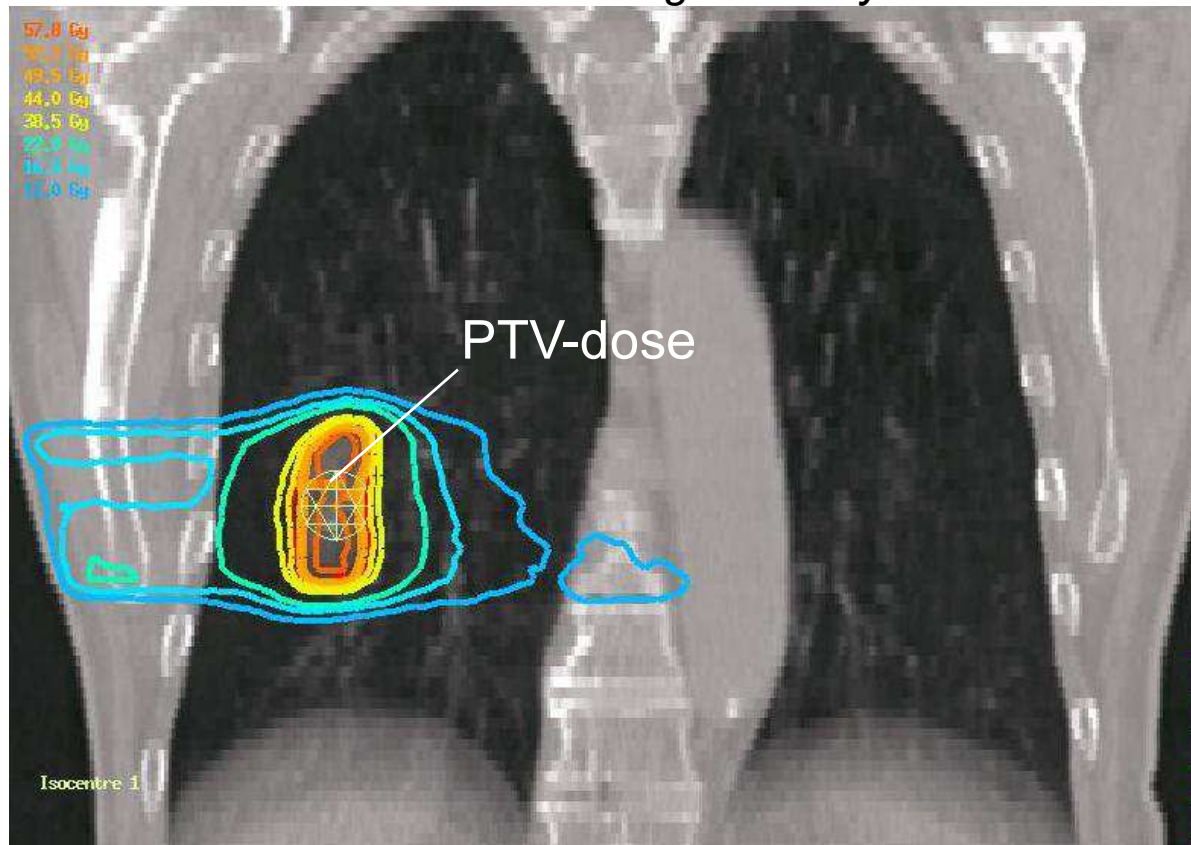
???

# Dose calculation issues ,in practice‘:

## Dose calculation for lung lesions

### What is planned...

dose distribution of static PTV plan...  
*calculated on average density CT*



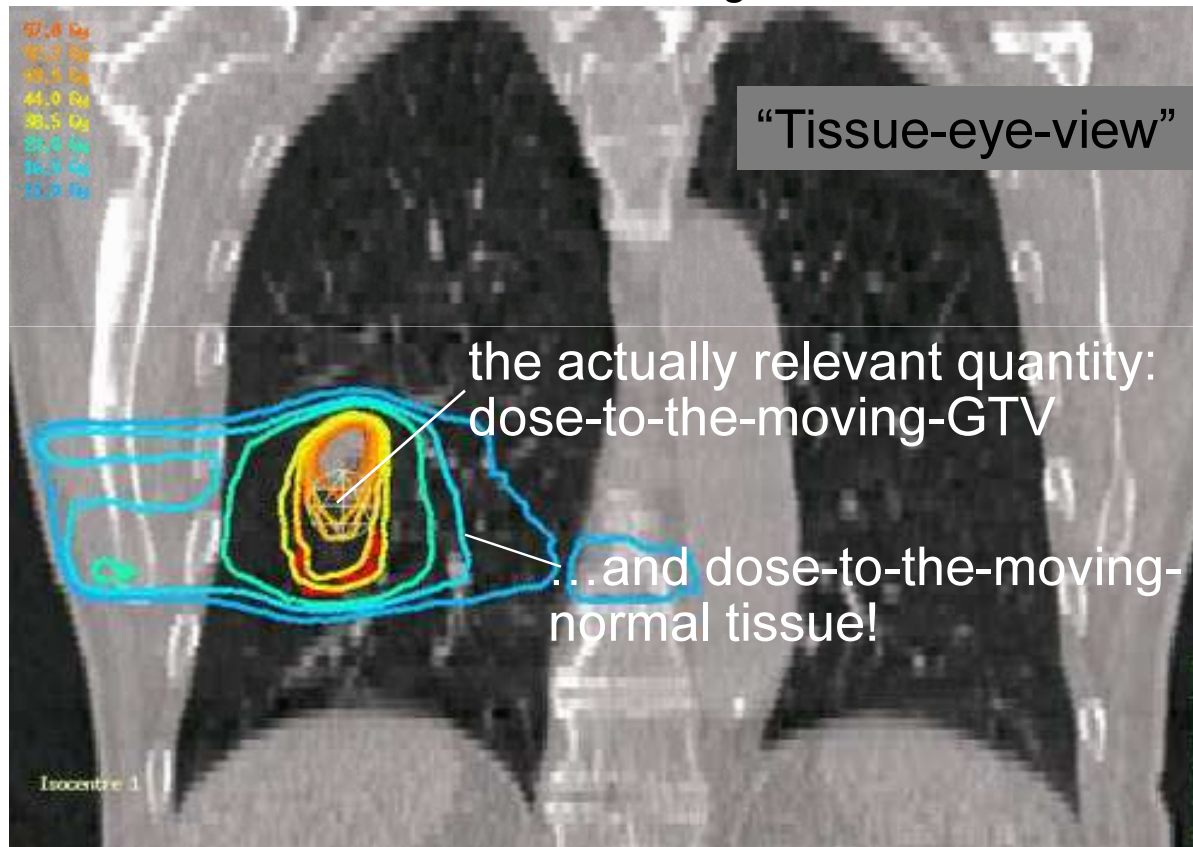
HYPERTON

# Dose calculation issues ,in practice‘:

## Dose calculation for lung lesions

**...and what actually happens:**

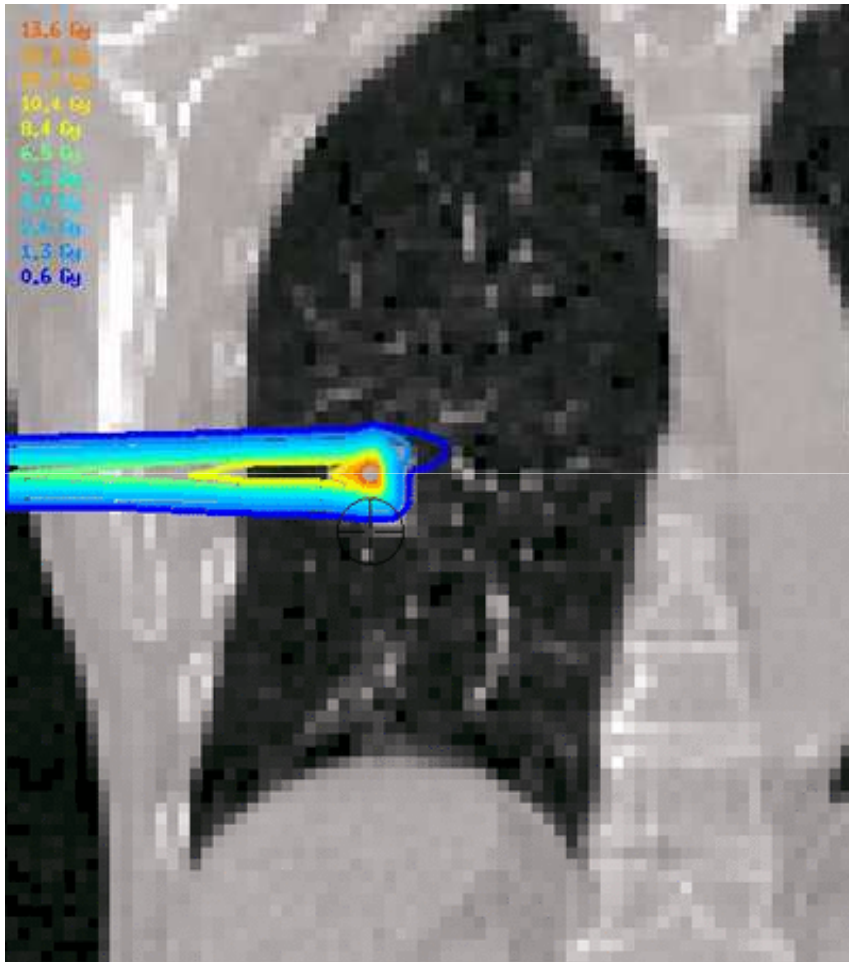
dose distribution of static PTV plan...  
*calculated in the different instance geometries of a 4D-CT*



HYPERTON



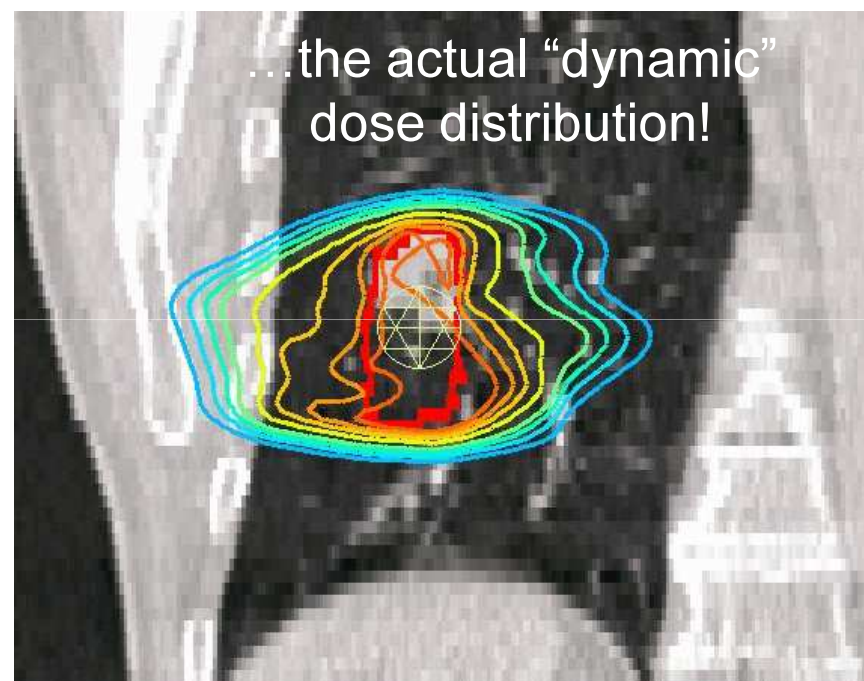
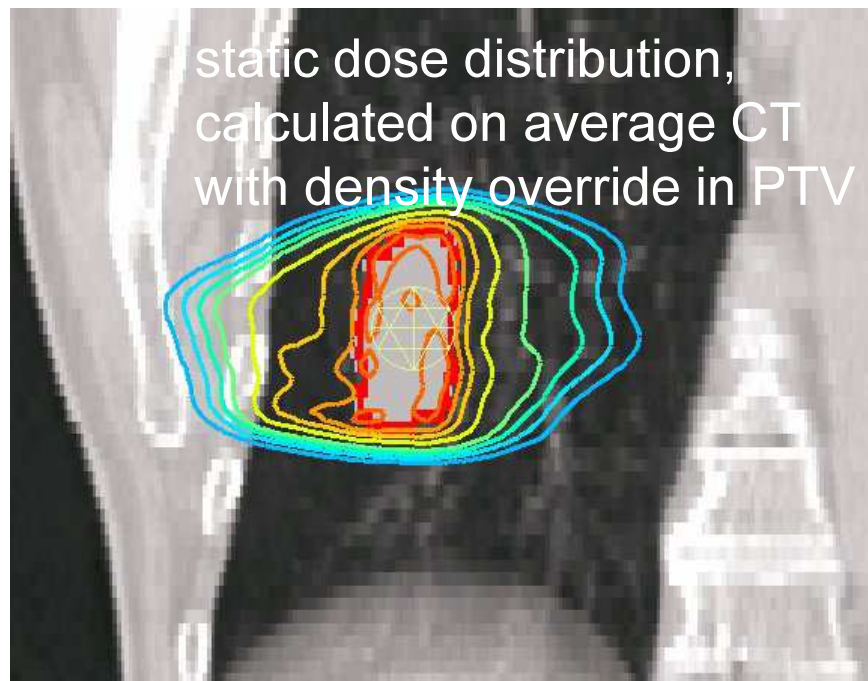
## Side track: Proton therapy of moving targets



*example:*  
**single proton pencil beam**  
of an IMPT plan (Intensity  
Modulated Proton Therapy)

HYPÉRION

## Side track: Proton therapy of moving targets



**HYPERION**

# Dose calculation issues ,in practice': Dose calculation for lung lesions

## Further reading:

- Choice of optimal density inside of PTV for static dose calculation by evaluating actual 4D-MC-doses; recommendation: raise density in voxels below  $0.4 \text{ g/cm}^3$  to this value:

Sikora et al. 2009 (Radiat. Oncol. 4:64):

Monte Carlo vs. Pencil Beam Based Optimization of Stereotactic Lung IMRT

- related -- highly recommended -- reading: Static (3D-)dose-to-GTV representative for actual 4D-dose (based on Collapsed Cone dose calculation):

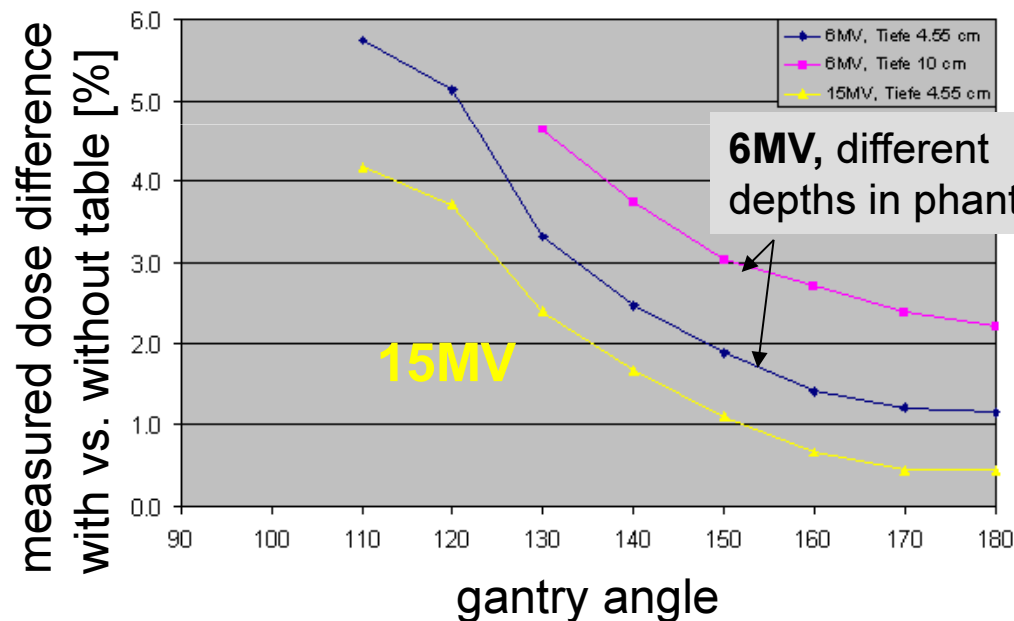
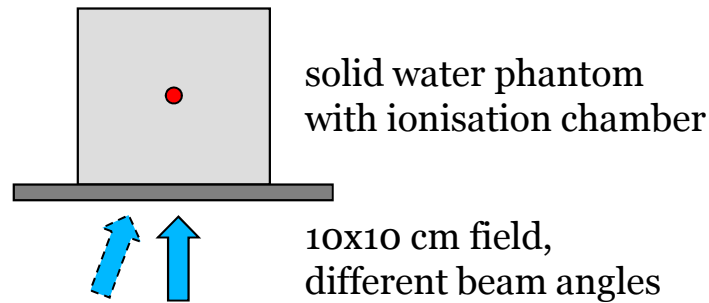
Guckenberger et al. 2007 (IJROBP 69(1)):

Four-Dimensional Treatment Planning For Stereotactic Body Radiotherapy

# Dose calculation issues ,in practice‘:

## Dosimetric effects of the treatment table/setup devices

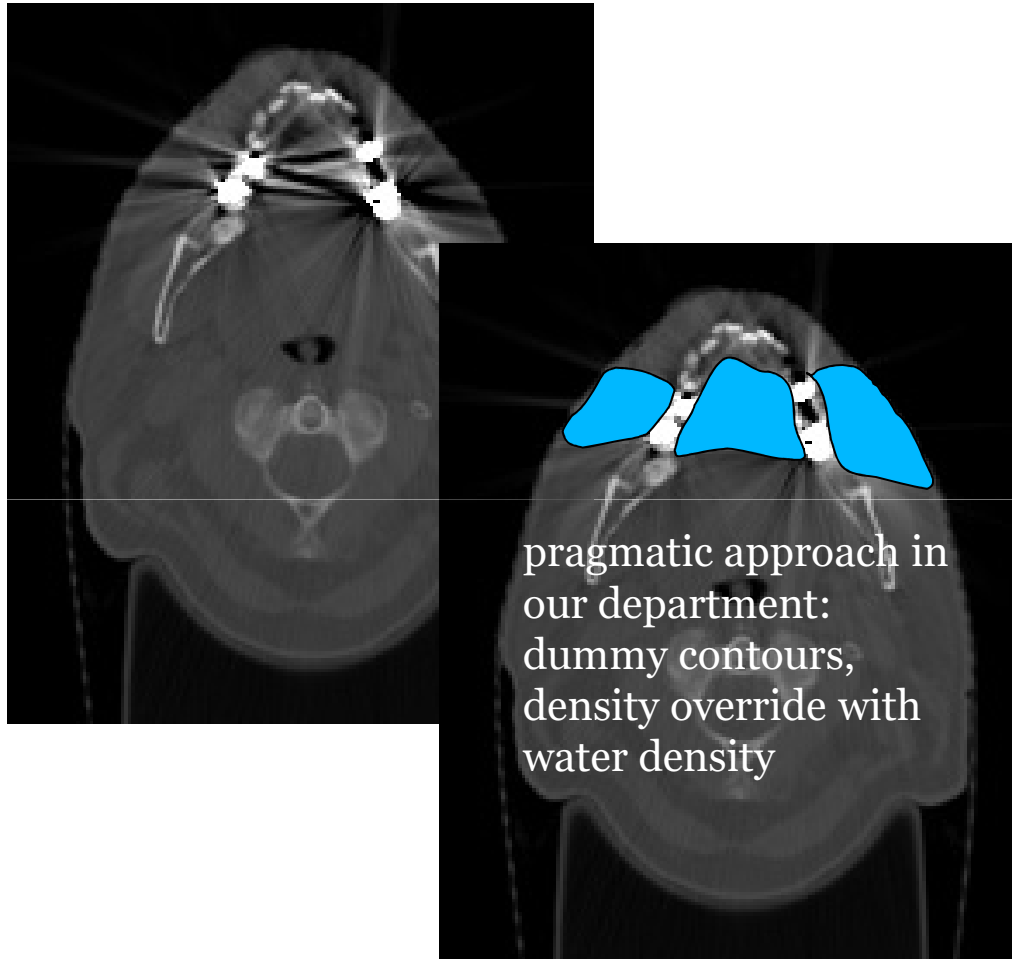
Reiner et al., DEGRO 2013



- depending on the vendor/model, treatment tables can have a water-equivalent thickness of ~1 cm w.r.t dose absorption
- depending on beam angle, dose absorption of several percent → should be explicitly considered for dose calculation, especially for rotational techniques (VMAT), where oblique angles through the table cannot be avoided
- Methods: Dose calculation with table/setup devices ‘as in CT’, or dummy contour with water density override. MIND: evaluate optimal method for your TPS/dose calculation algorithm!

# Dose calculation issues ,in practice‘:

## Dose calculation in presence of CT artifacts

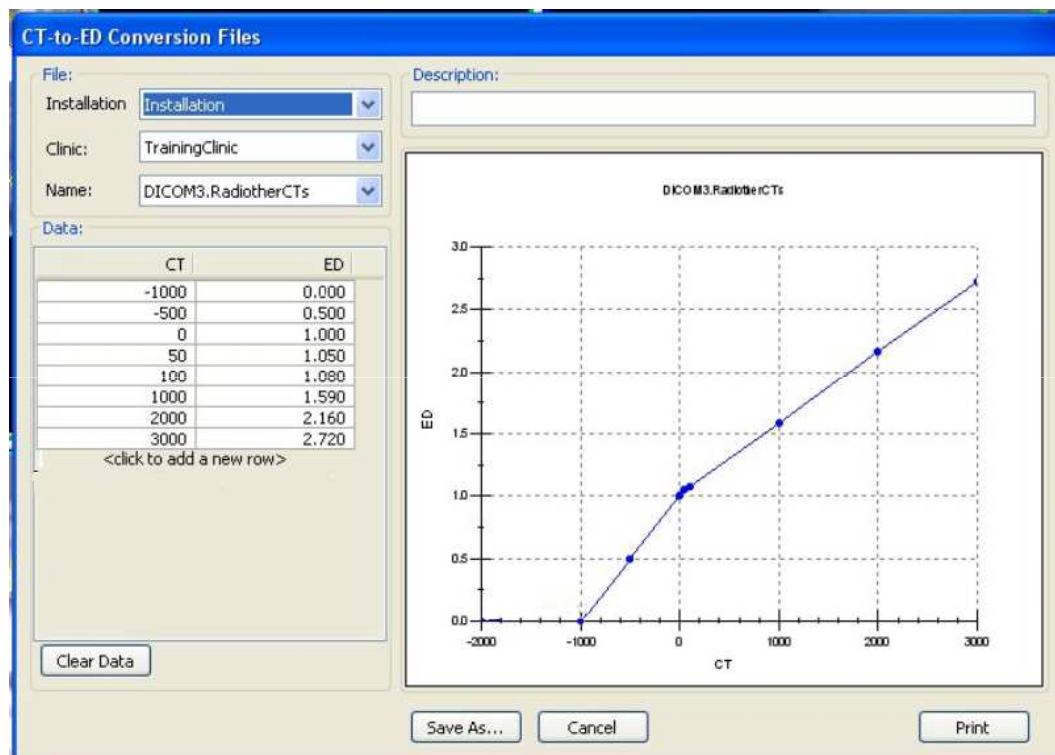


- typical cases in RT: dental metal implants, hip implants
- significant dose calculation errors possible in soft tissue artifact regions due to wrong Hounsfield (CT-) values
- Hounsfield values of metal structures itself wrong as well AND usually dose calculation algorithms do not model radiation transport through metal correctly
  - do not trust your dose ‘behind’ metal structures!
  - if possible (hip implants), try to avoid beam directions passing metal structures

further reading e.g. Kim et al. 2006 (Radiother. Oncol. 79(2))

# Dose calculation issues ,in practice‘: Hounsfield (CT-) value calibration

...a sometimes (!?) underrated issue!



- input for clinical dose calculation algorithms: local *electron or mass densities* in the patient
- these are typically determined based on the Hounsfield (CT-) values via calibration curves  
→ MIND potential errors in calibration curve, or Hounsfield stability problems of CT hardware

Related issue: Dose calculation based on Cone Beam CTs!



# Adaptive Radiotherapy

Matthias Söhn, PhD

Radiation Oncology, Medical Physics  
University Hospital Grosshadern  
LMU Munich, Germany

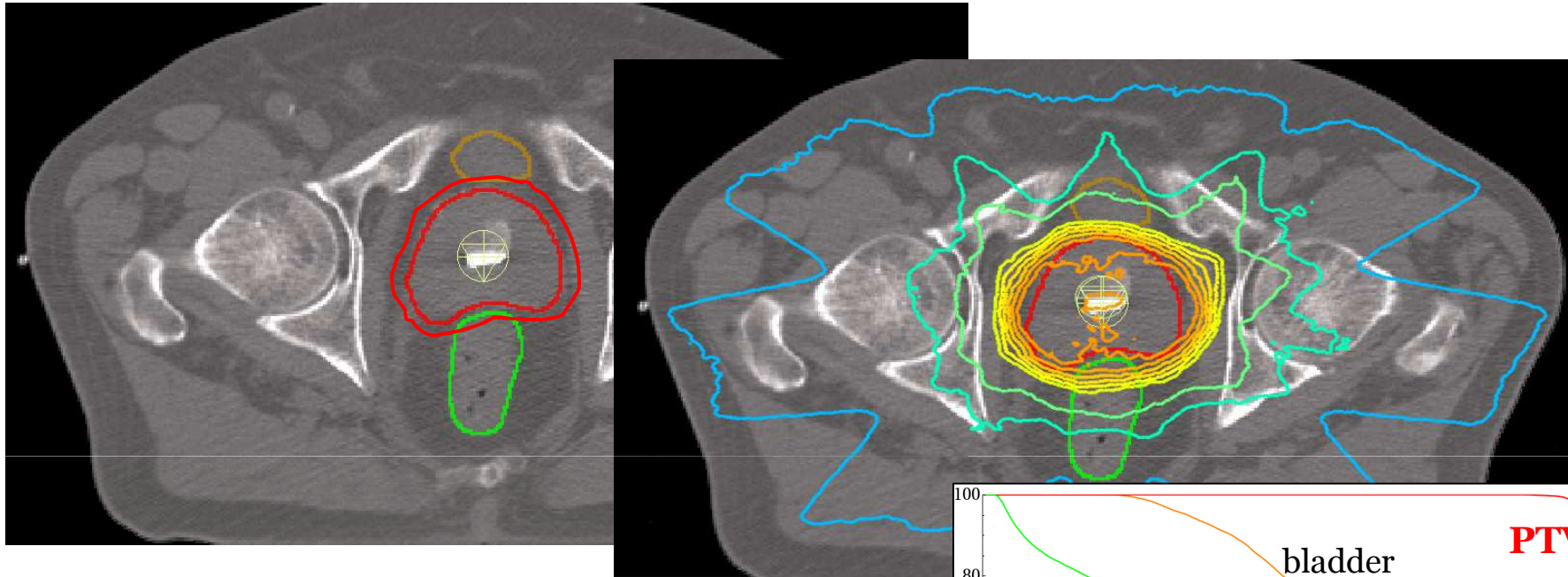


# Disclosure

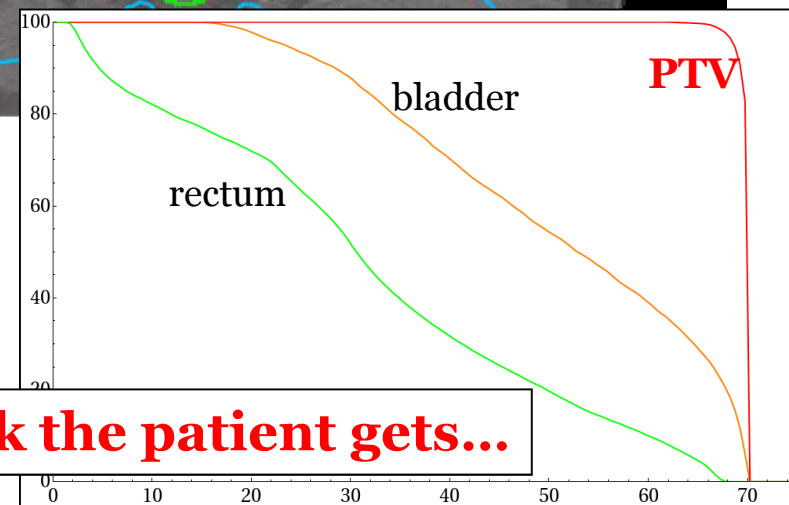
- I am involved in the development of the treatment planning system  which is the basis for Elekta Monaco®.
- My department (LMU Munich) currently receives research grants from Elekta and C-RAD.
- I am co-owner of the company 



# The common planning approach

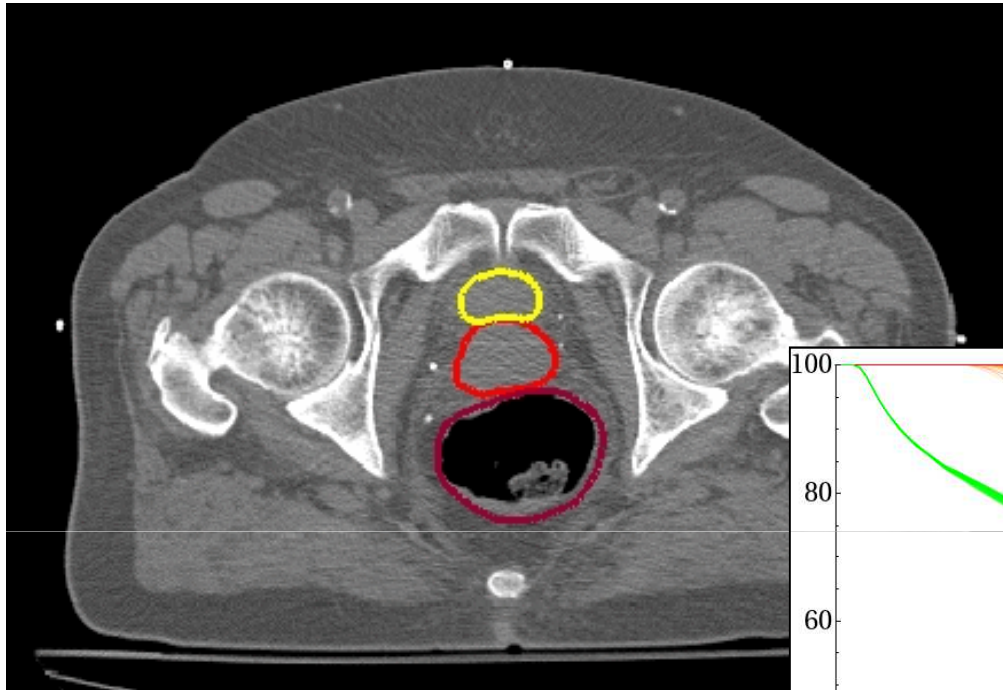


- (1) planning CT
- (2) add a margin
- (3) make a plan!

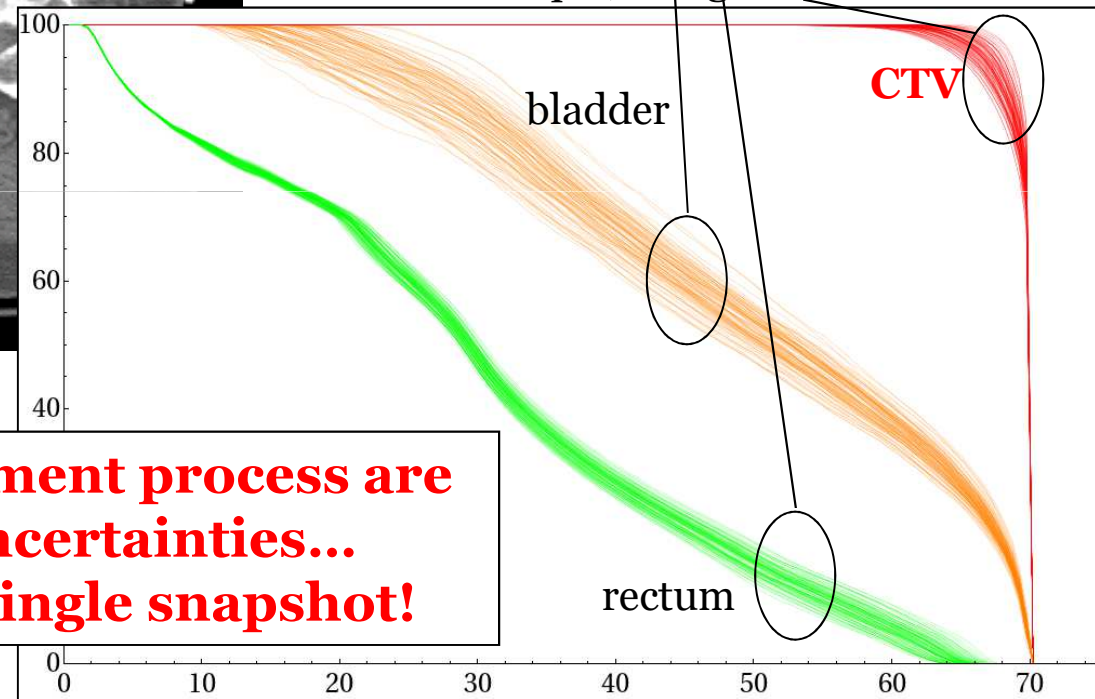


**This is the dose we may think the patient gets...**

# This is what actually happens!



Uncertainties depend on patient, treatment technique, margin size...



**Reason: Patient and treatment process are not a *static*, all kinds of uncertainties... The planning CT is just a single snapshot!**

# Classification of errors&uncertainties according to their *stochastic* nature

## Systematic errors:

**most deleterious!**

Imaging, segmentation, planning, application, patient-setup, organ-motion&deformation, ...

## Random errors:

Application, patient-setup, organ-motion&deformation, ...

**Most of this: patient-dependent!**

## Non-stationary ('trending') errors:

anatomic changes, functional changes, ...

# 'Adaptive RT': How it all started

Phys. Med. Biol. **42** (1997) 123–132. Printed in the UK

PII: S0031-9155(97)67292-9

## **Adaptive radiation therapy**

Di Yan†, Frank Vicini, John Wong and Alvaro Martinez

Department of Radiation Oncology, William Beaumont Hospital, Royal Oak, MI 48073, USA

Received 11 August 1995, in final form 29 August 1996

**Abstract.** Adaptive radiation therapy is a closed-loop radiation treatment process where the treatment plan can be modified using a systematic feedback of measurements. Adaptive radiation therapy intends to improve radiation treatment by systematically monitoring treatment variations and incorporating them to re-optimize the treatment plan early on during the course of treatment. In this process, field margin and treatment dose can be routinely customized to each individual patient to achieve a safe dose escalation.

...and follow-up papers

ESTRO IMRT course 2016  
Adaptive Radiotherapy  
London April 7th, 2016

Phys. Med. Biol. **43** (1998) 1605–1628. Printed in the UK

PII: S0031-9155(98)80274-1

## **An adaptive control algorithm for optimization of intensity modulated radiotherapy considering uncertainties in beam profiles, patient set-up and internal organ motion**

Johan Löf, Bengt K Lind and Anders Brahme

Department of Medical Radiation Physics, The Karolinska Institute and University of Stockholm,  
PO Box 260, S-171 76 Stockholm, Sweden

Received 9 December 1996

# Adaptive RT: The fundamental, yet abstract picture...

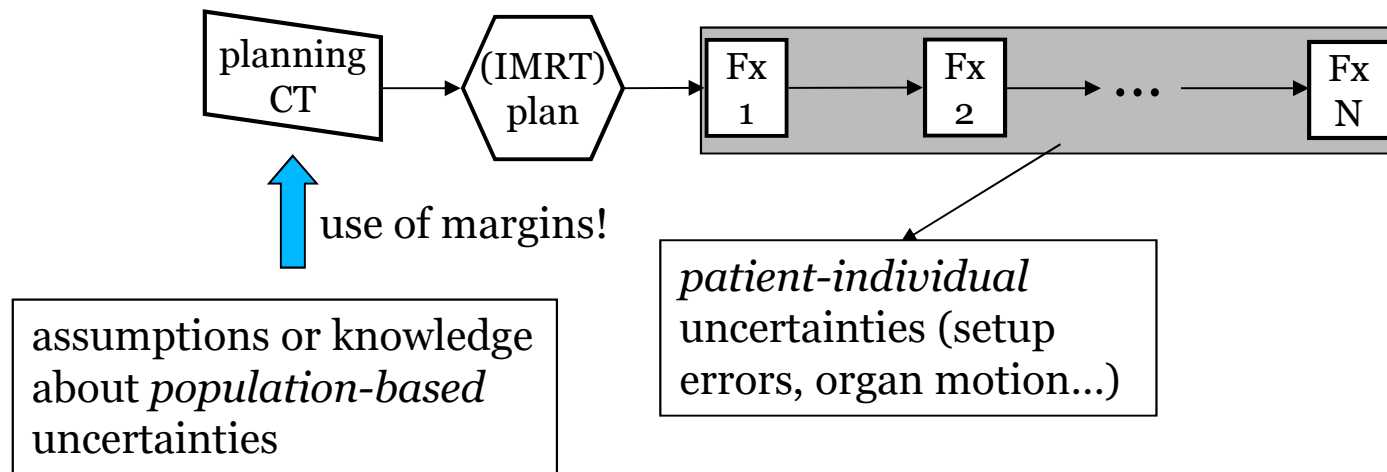
## *Adaptive radiotherapy:*

- explicitly acknowledge that radiotherapy treatment as a whole is a **process**
- this process is performed in presence of all kinds of **uncertainties**
- the uncertainties can be strongly **patient-dependent**
- uncertainties are understood as – stationary or non-stationary – random processes

⇒ new understanding of an *radiotherapy treatment process* as  
***'feedback control strategy'***

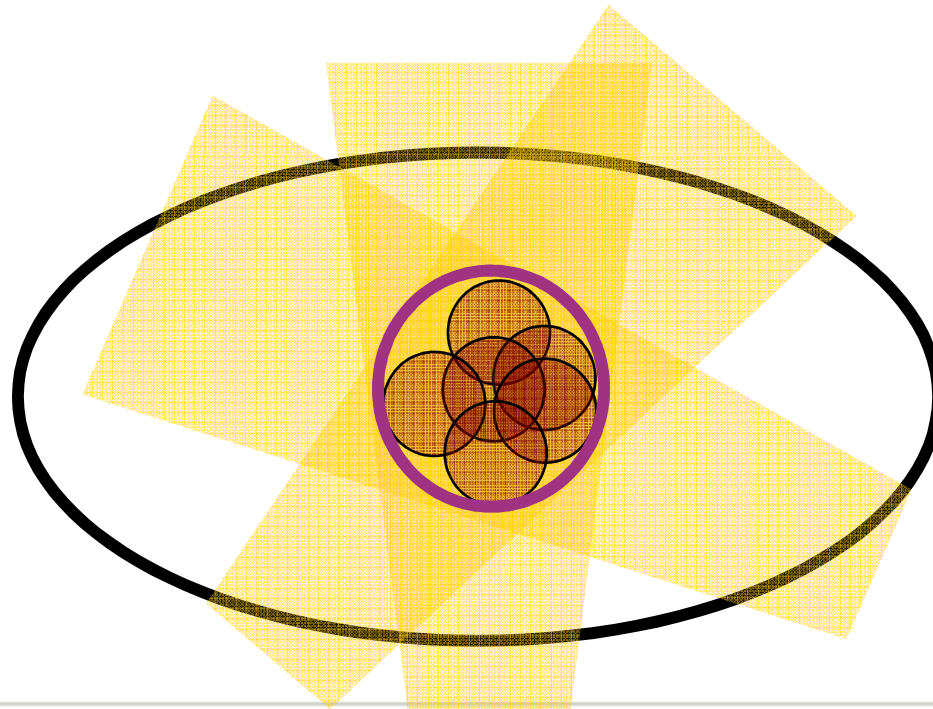
...versus the conventional approach:  
single planning in the beginning without further actions/corrections later

# The simplest, non-adaptive planning approach as *process*



# Margins: The PTV-concept

Irradiate a volume, which is large enough, such that the CTV will (almost) always stay inside!



# How large does the margin have to be?

A typical 'margin recipe'...:

systematic errors are more deleterious than random errors!

$$R = 2.5 \Sigma + 0.7 \sigma$$

$\Sigma$  systematic setup errors  
 $\sigma$  random setup errors

M. van Herk et al. 2000: The probability of correct target dosage: dose-population histograms for deriving treatment margins in radiotherapy. IJROBP 47(4)



## Which assumptions are behind this formula?

$$R = 2.5 \Sigma + 0.7 \sigma$$

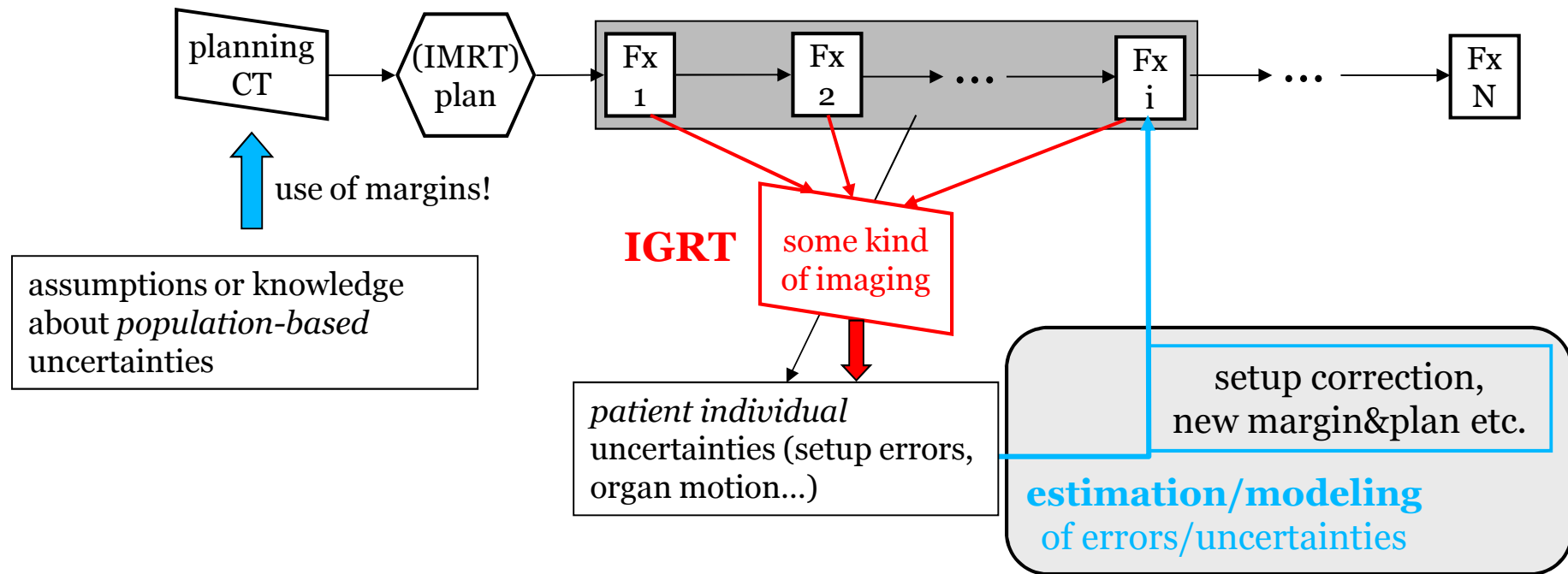
$\Sigma$  systematic setup errors

$\sigma$  random setup errors

- round CTV moves around within idealized round dose distribution with idealized penumbra, which drops to zero to all sides
- **population-based uncertainties**, effect quantized as TCP of a population

**For the individual patient, the margin will be either too large, or too small...**

# This is where adaptive RT comes in!

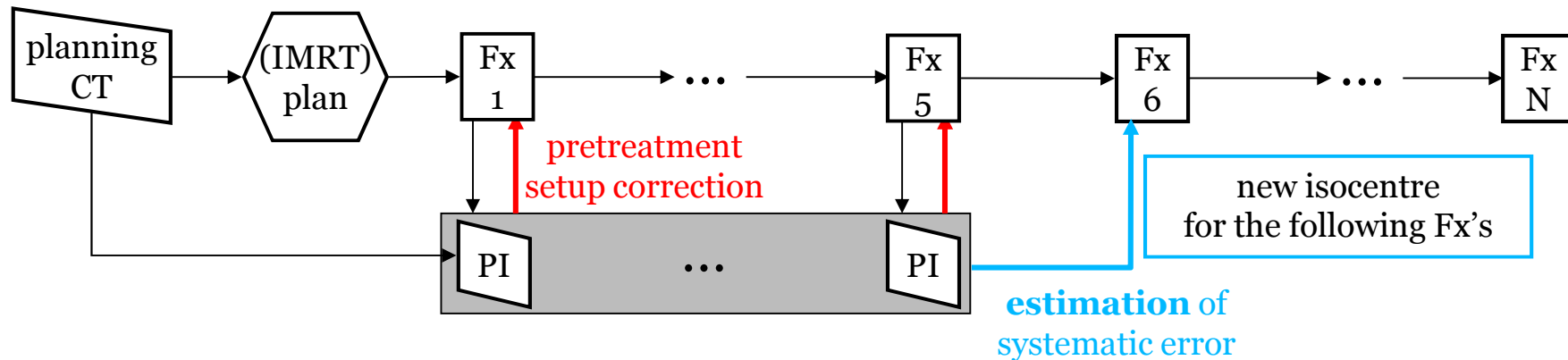


**“Feedback-loop”!**  
**This makes it ‘adaptive RT’!**

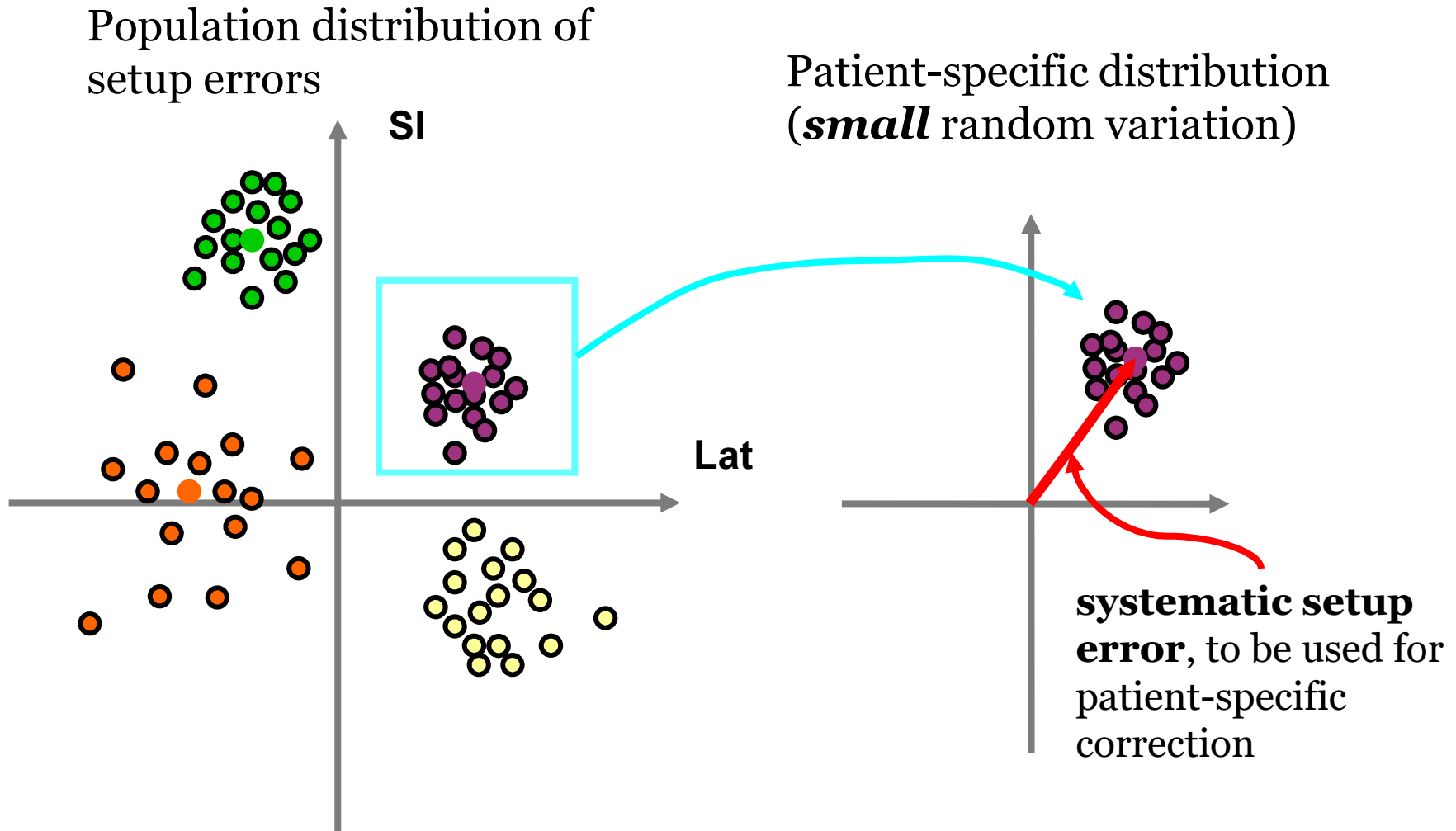
# Adapting the isocentre: Setup correction protocols

*An early adaptive RT workflow:*

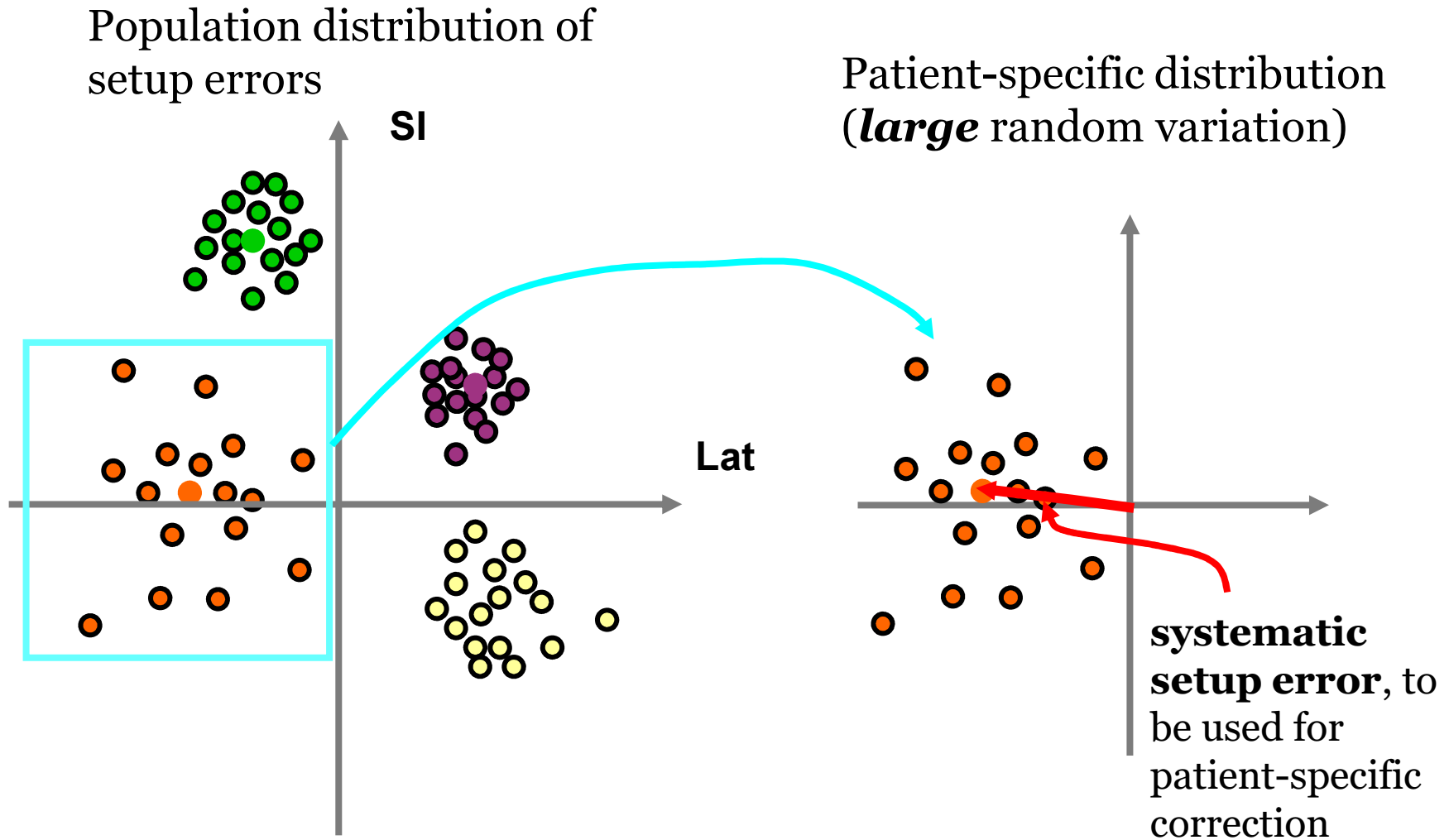
Patient-individual reduction of systematic and random setup errors via portal imaging!



# Estimation of the patient-individual setup error



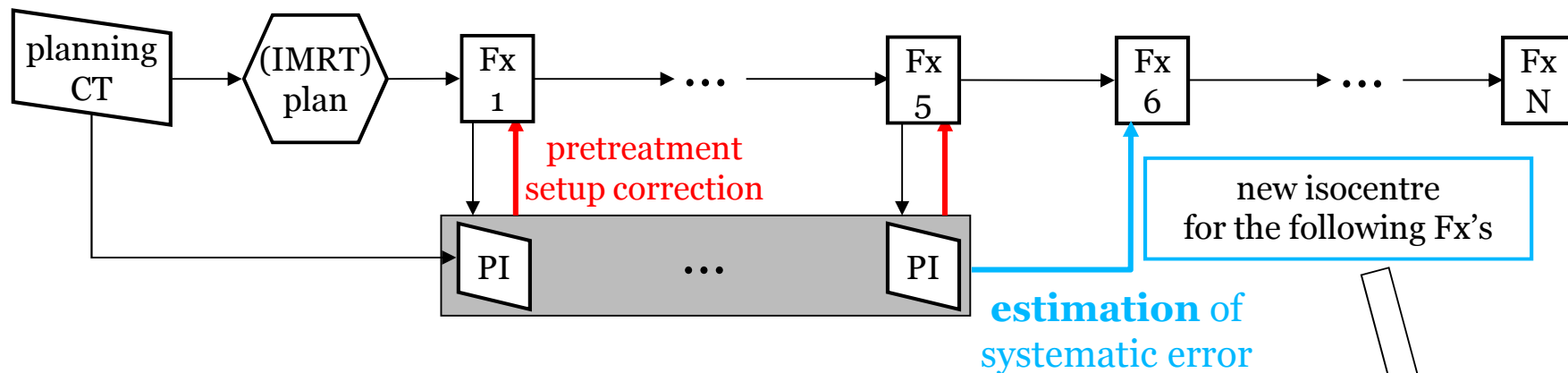
# Estimation of the patient-individual setup error



# Adapting the isocentre: Setup correction protocols

*An early adaptive RT workflow:*

Patient-individual reduction of systematic and random setup errors via portal imaging!



Note: The frequency and exact use of PI information depends on the **setup protocol** used!

reduction of setup error for the following Fx's!

# Some proposed setup protocols...

Offline setup protocols (e.g. DRR-PI match):

*no action level:*

correct setup by the average setup error after n days

*shrinking action level:*

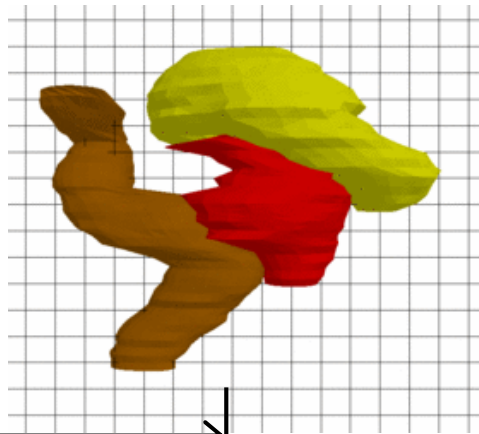
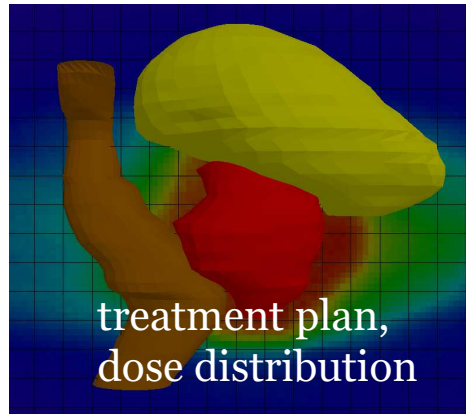
correct setup by the average setup error after n days, if above a threshold  $S(n)$

*Newcastle:*

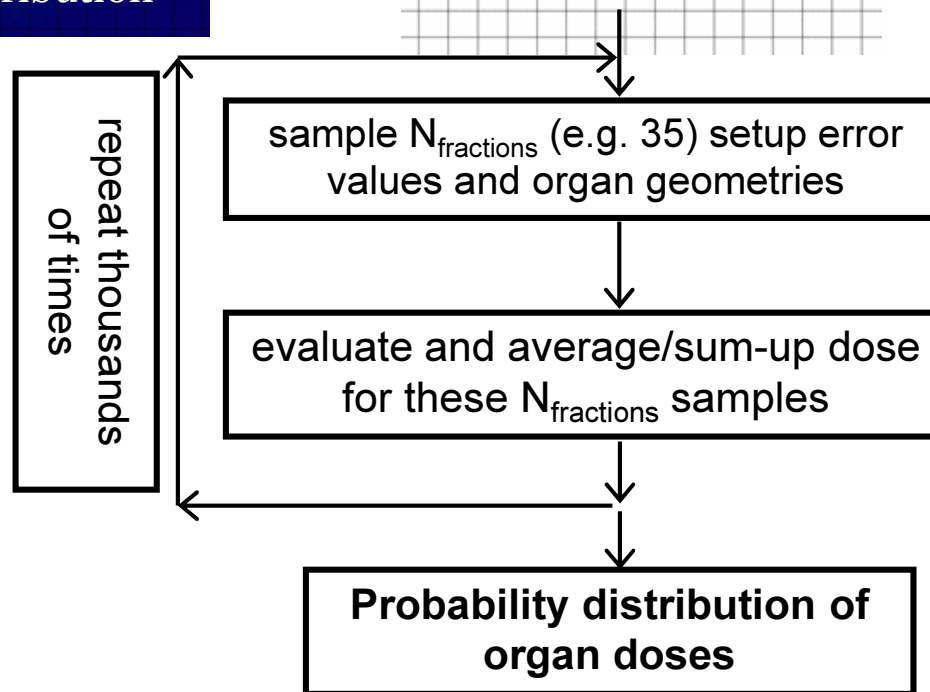
correct setup by the average setup error after n days, if the assumption of 'zero' error is not in confidence interval of estimated error distribution

...

# How to evaluate and find the best strategy?: Treatment course simulation approaches



- multiple organ contours and setup errors values
- either measured in a patient study
  - and/or simulated based on *motion model*

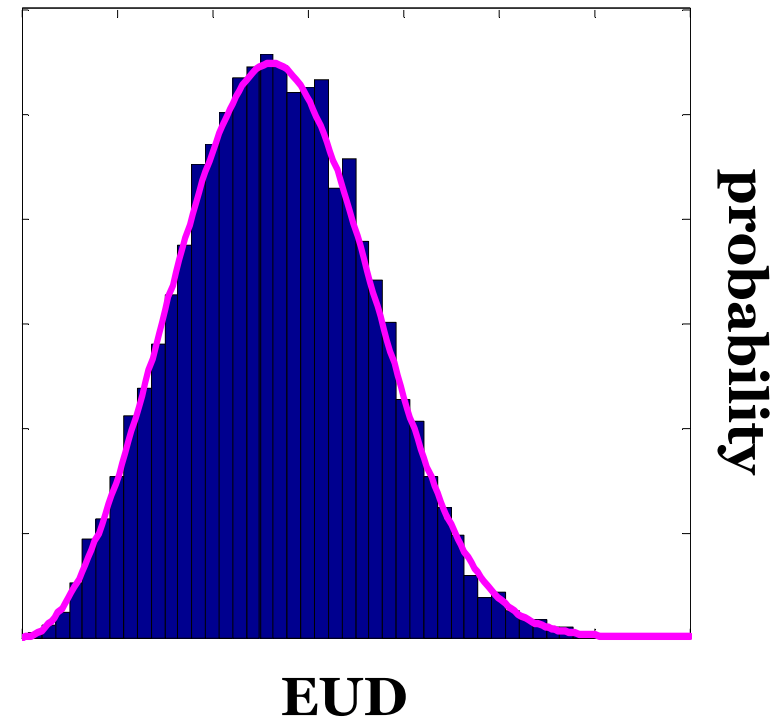
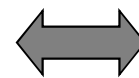
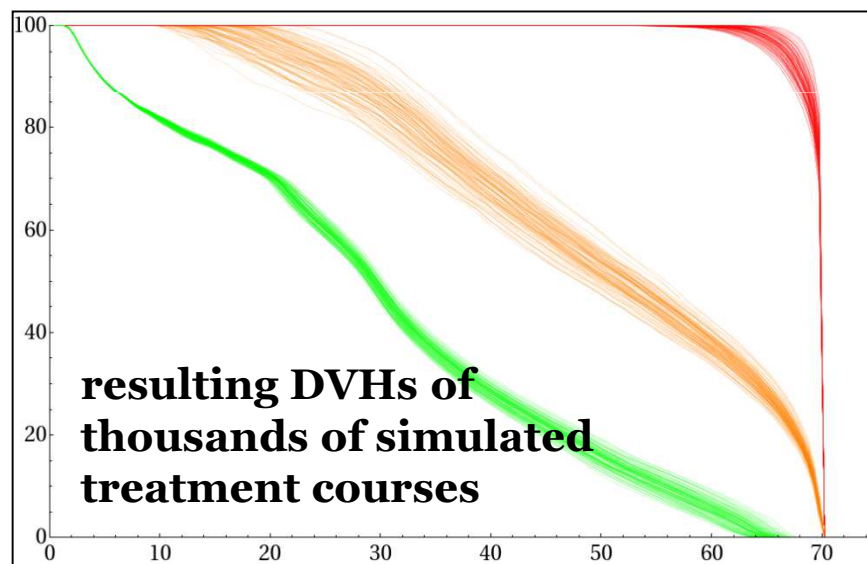




# How to evaluate and find the best strategy?: Treatment course simulation approaches

**Every treatment course realizes a dose distribution with a certain probability.**

Purpose of treatment course simulations: Estimate the probability distribution for a given plan and given uncertainties!



# Treatment course simulations for use of different setup protocols

C. Baum et al. 2005: Dosimetric consequences of the application of off-line setup error correction protocols and a hull-volume definition strategy for intensity modulated radiotherapy of prostate cancer. Rad. Onc. 76(1)

→ Almost all setup correction protocols are similarly efficient in ensuring CTV coverage in presence of setup errors!

*Important conclusion for clinical practice:*  
No need to do especially sophisticated and complicated corrections, but instead implement a workflow with a few simple corrections in a thorough way!

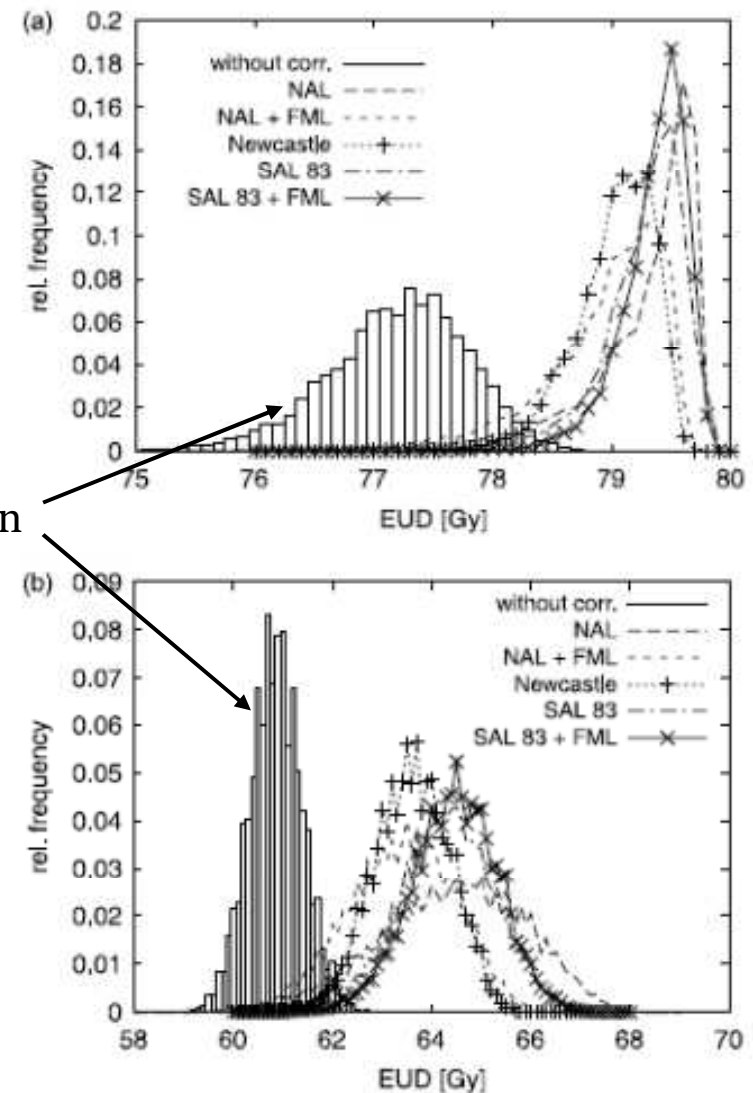


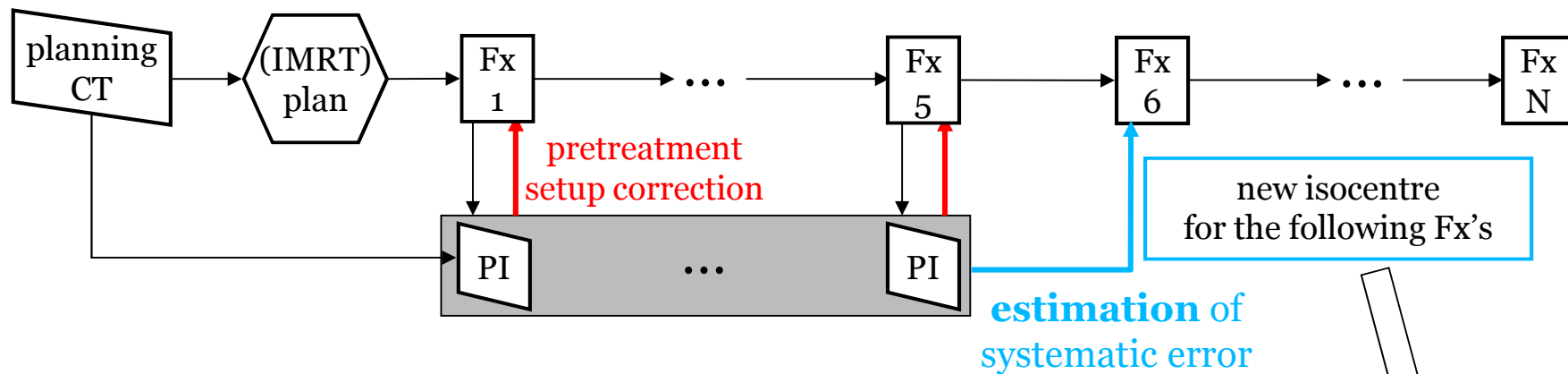
Fig. 4. Distribution of EUD of (a) CTV\_hull and (b) Rectum\_hull for artificial patient A6 of treatment simulations with and without setup correction.

# The 'Toolbox' of Adaptive RT

- IGRT – imaging!
- prediction methods/models
- evaluation approaches: prospective studies on real (and realistic!) patient data of a study cohort, treatment course simulations, motion models



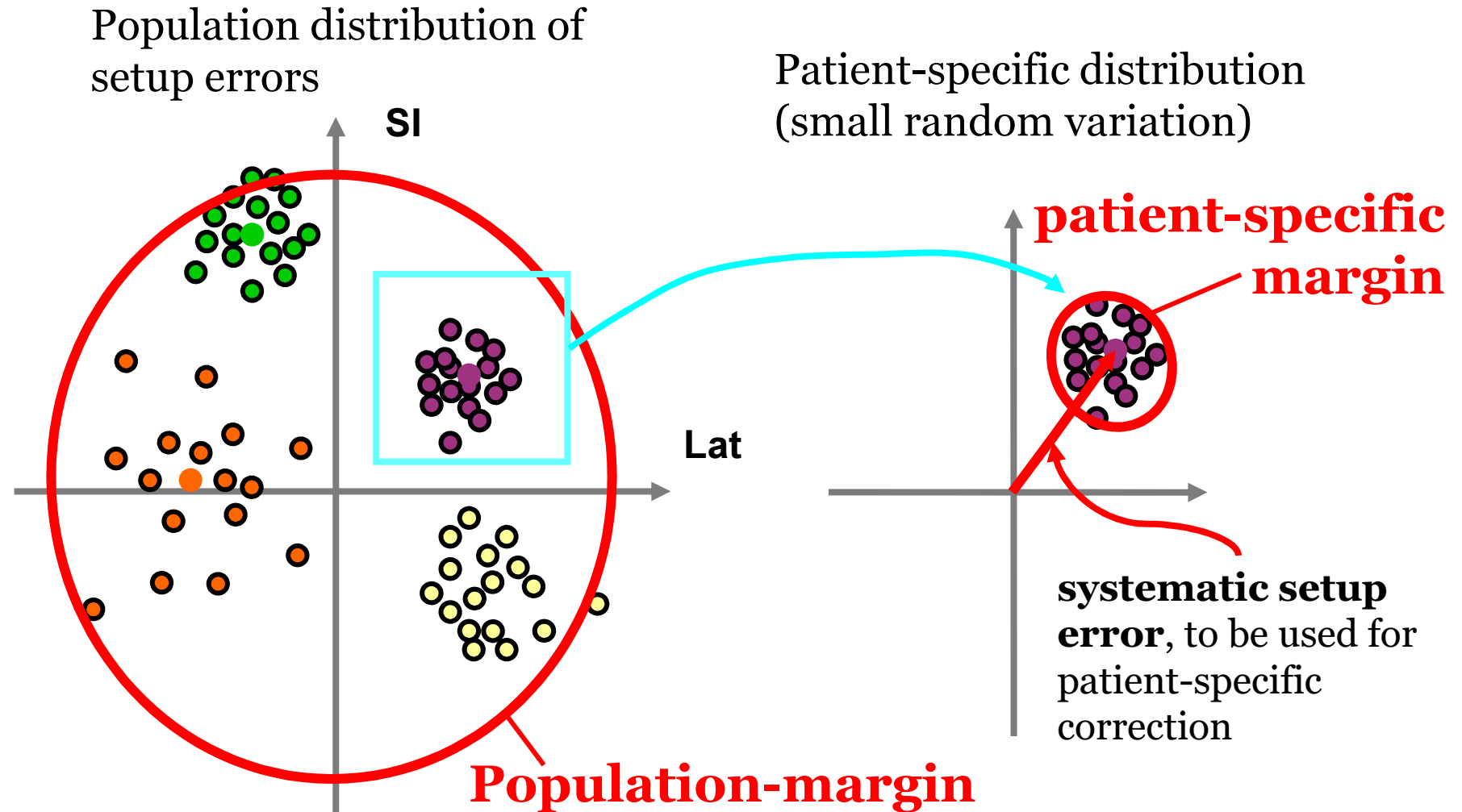
# One step further: Margin adaption



Now that we have determined and reduced the patient-individual systematic error:  
**Why not also reduce/adapt the margin???**

reduction of setup error for the following Fx's!

# Margin adaptation 'visually'

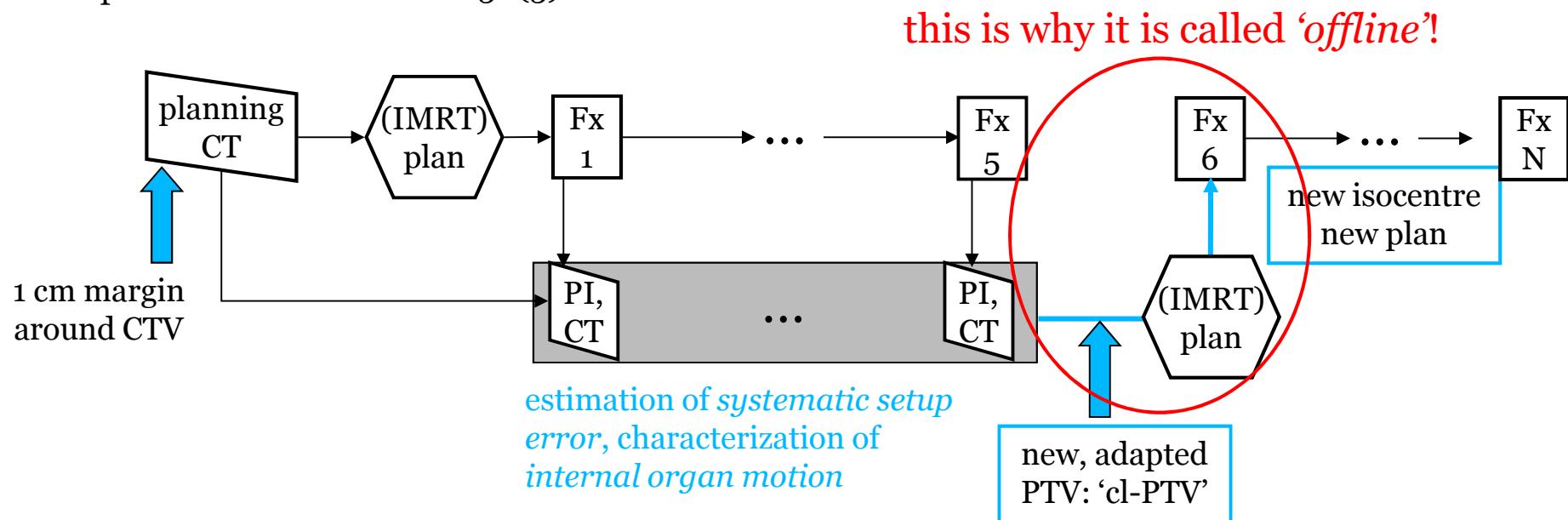


# The Beaumont offline adaptive RT approach

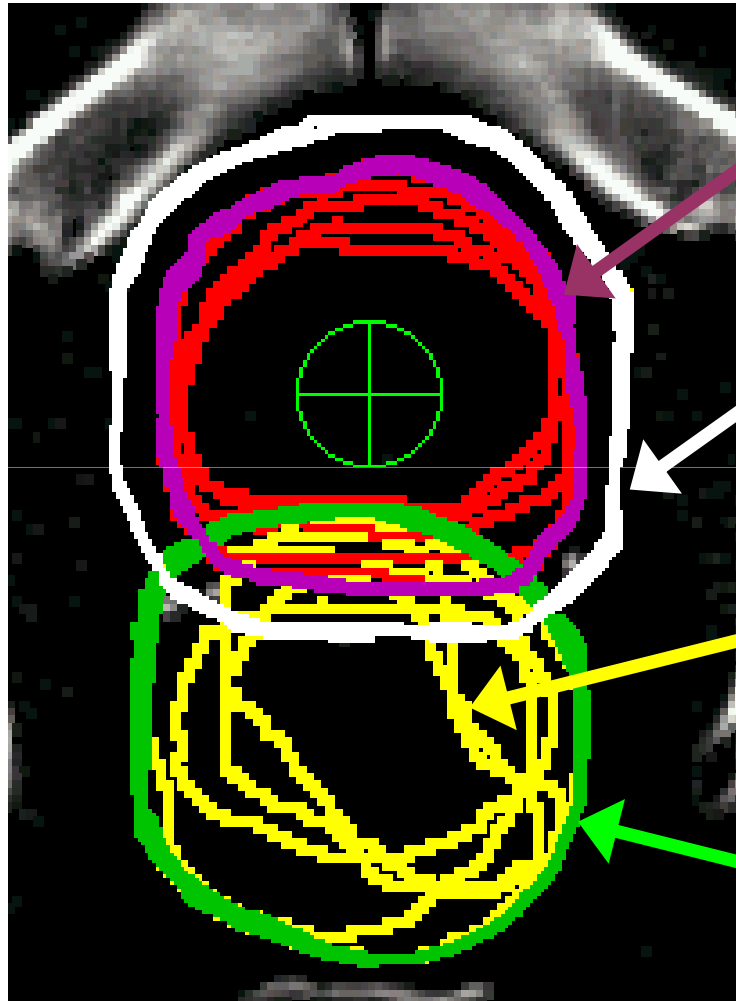
First systematic clinical adaptive RT implementation at Beaumont Hospital, Royal Oak, Michigan: since 1997!

Yan et al. 2000: An off-line strategy for constructing a patient-specific planning target volume in adaptive treatment process for prostate cancer. IJROBP 48(1)

Martinez et al. 2001: Improvement in dose escalation using the process of adaptive radiotherapy combined with three- dimensional conformal or intensity-modulated beams for prostate cancer. IJROBP 50(5)



# The Beaumont offline adaptive RT approach: Eliminate systematic errors of the organ geometry!



CTV\_hull = hull of 3-5 prostate contours  
(planning CTV and first days of treatment)

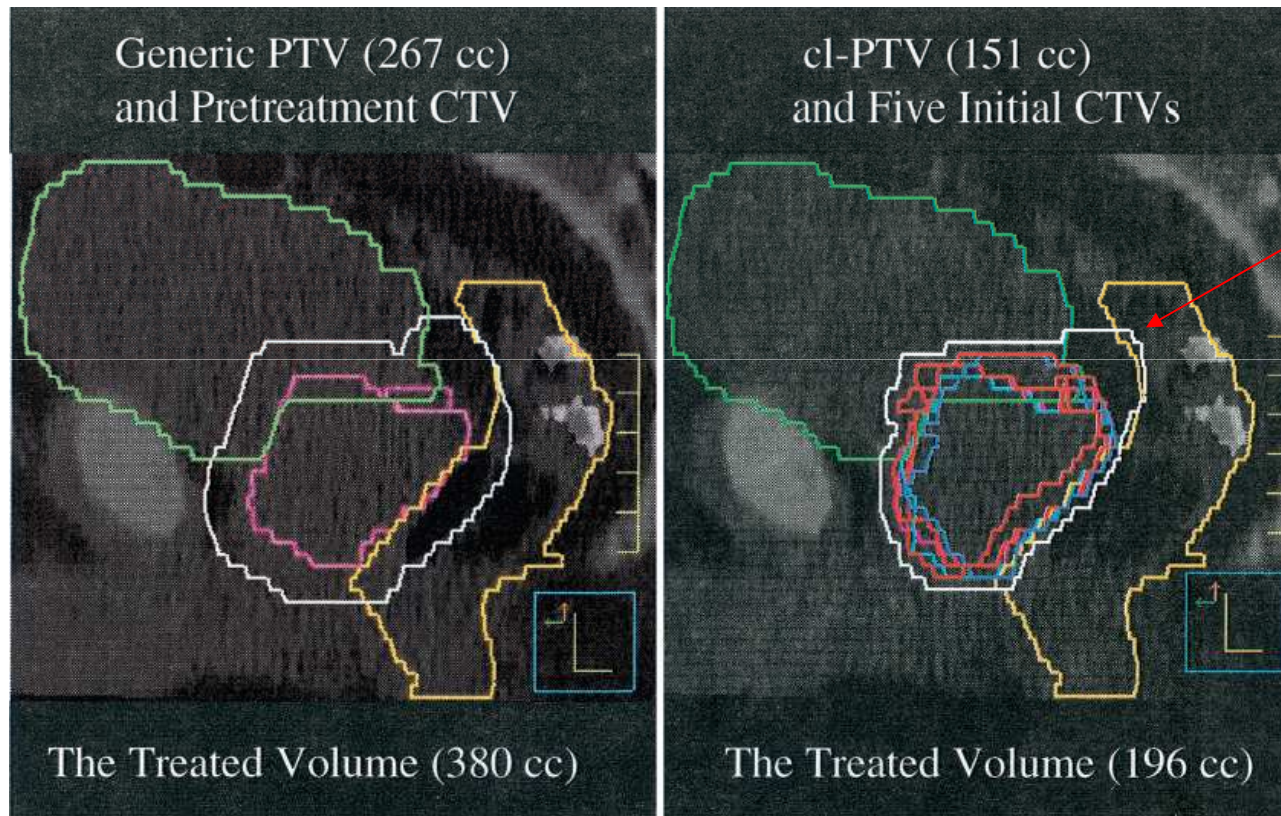
PTV = CTV\_hull + patient-individual margin,  
adapted according to setup errors  
measured during first 5 fractions  
(~5mm)

corresponding rectum contours of the first  
3-5 treatment days;  
Beaumont approach: dose constraint to  
rectal-wall structure as in planning CT

alternative approach:  
constraints to Rectum\_hull = hull of 3-5 rectum  
contours, no PRV margin

# The Beaumont offline adaptive RT approach

average PTV volume reduction (150 patients): 24%



**BUT:**

may also be larger

- locally
- or for 'large mover' patients!

=> adaptation to the patient-individual interfractional 'motion pattern'



# What are the clinical benefits?

NKI-AVL dose escalation study, **no margin adaptation** (1cm, 0-5mm for boost):

W. Heemsbergen et al. 2007: Increased risk of Biochemical and clinical failure for prostate patients with a *large rectum at radiotherapy planning*: results from the dutch trial of 68 Gy versus 78 Gy.  
IJROBP 67(5)

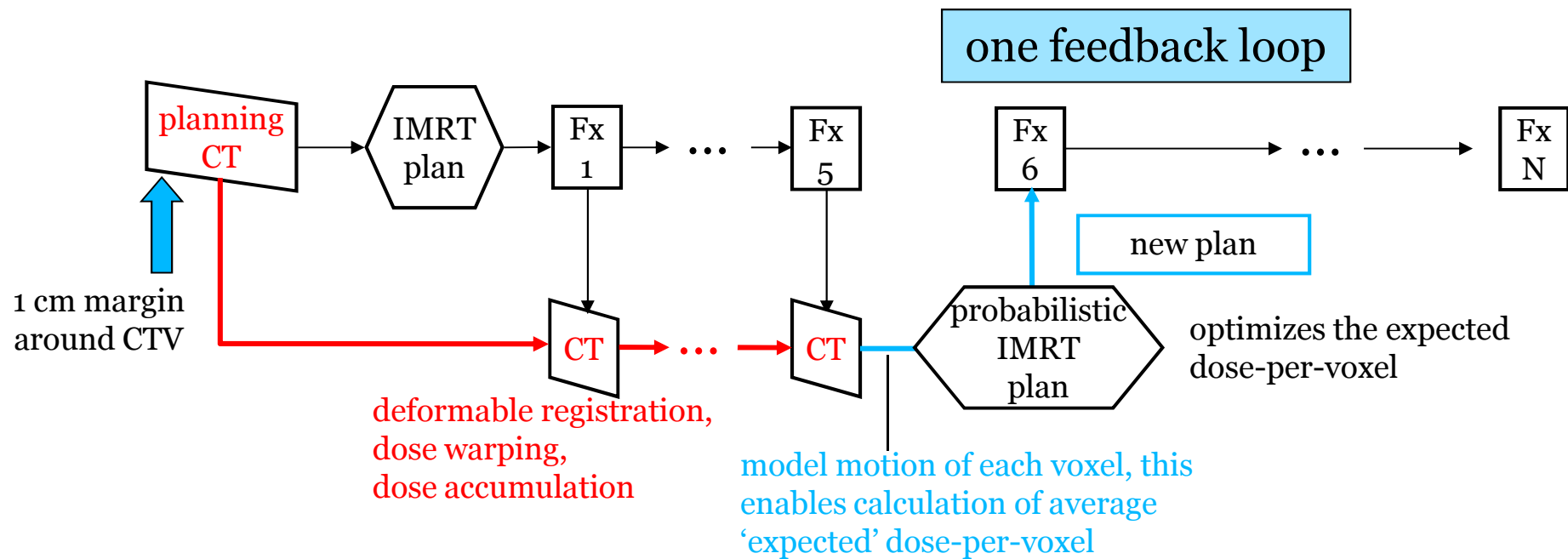
...versus clinical long-term follow-up results of Beaumont offline ART:

S. S. Park et al. 2012: Adaptive Image-guided Radiotherapy (IGRT) Eliminates the Risk of Biochemical Failure Caused by the *Bias of Rectal Distension* in Prostate Cancer Treatment Planning: Clinical Evidence.  
IJROBP 83(3)

# The next step: more than one re-optimization, dose accumulation, probabilistic planning

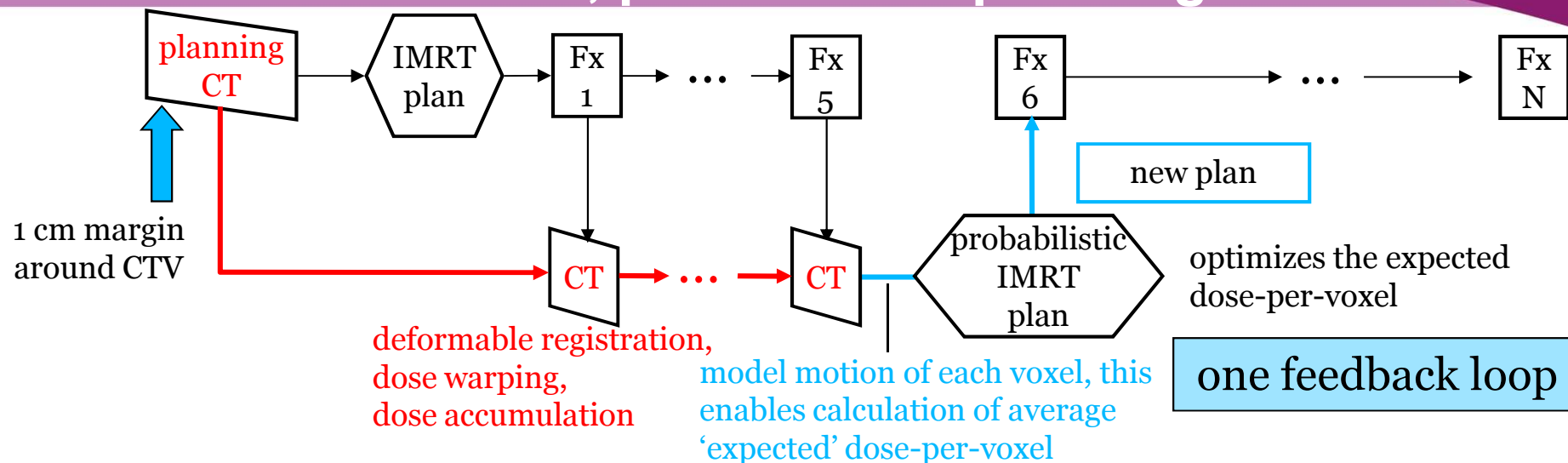
M. Birkner et al. 2003: Adapting inverse planning to patient and organ geometrical variation: algorithm and implementation.

Med. Phys. 30(10)

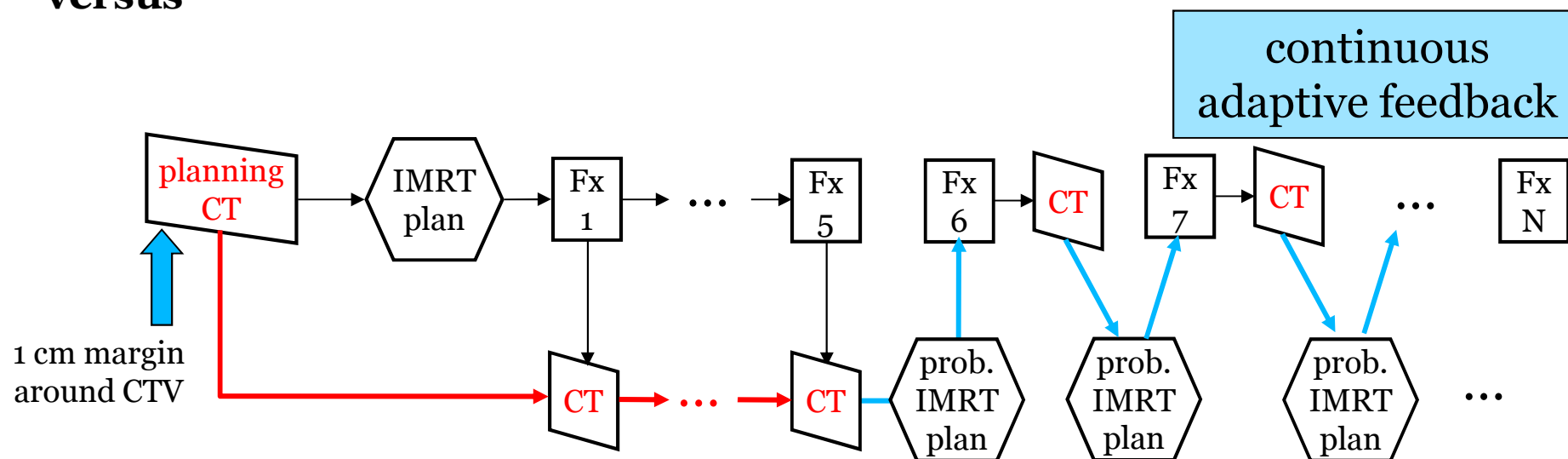


# The next step: more than one re-optimization, dose accumulation, probabilistic planning

Birkner et al. 2003  
(Med. Phys. 30(10))



**versus**



# The next step: more than one re-optimization, dose accumulation, probabilistic planning

Birkner et al. 2003  
(Med. Phys. 30(10))

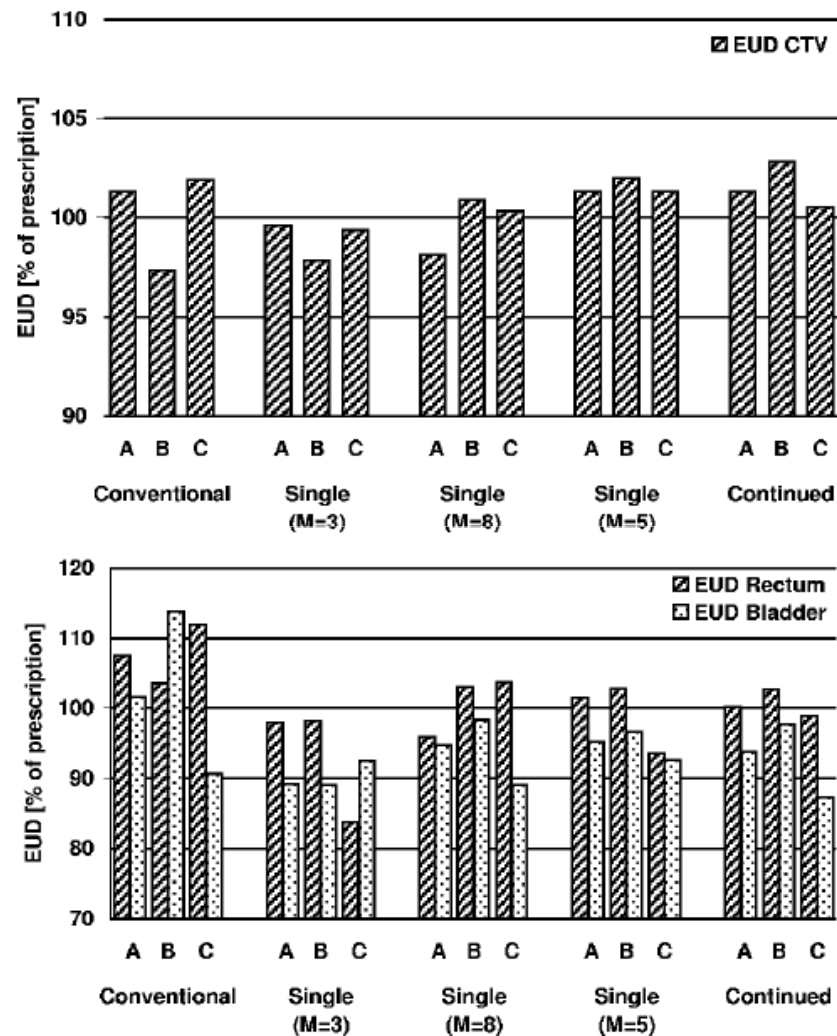


FIG. 4. Comparison of treatment prescription and treatment outcome for treatment strategies: Conventional IMRT planning (PTV=CTV+1 cm) without feedback, single adaptive feedback re-optimization after 3, 8, and 5 fractions, and continued adaptive feedback from fraction 6 to final fraction. All three patients (A, B, C) show a similar trend of convergence of CTV coverage with increasing number of feedbacks (top). For the critical structures (bottom), treatment outcome comes closer to the prescribed 100% EUD level with any adaptive feedback strategy, while at the same time inter-patient variability is reduced. Differences between single re-optimization after  $M=5$  fraction and continued feedback strategies are marginal.

→ one plan adaptation after 5 fractions almost 'does the full job', no significant additional benefits from more than one re-planning

# The 'Toolbox' of Adaptive RT

- IGRT – imaging!
- prediction methods/models
- evaluation approaches: prospective studies on real (and realistic!) patient data of a study cohort, treatment course simulations, motion models
- deformable registration, dose warping, dose accumulation
- probabilistic and robust planning approaches



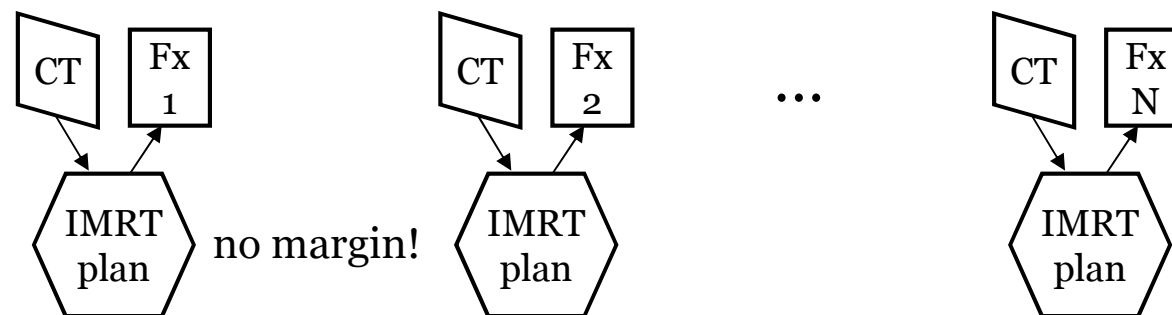
# This was all offline ART...

## Now: Online Adaptive Re-Planning

M. Ghilezan et al. (2004): Online image-guided intensity-modulated radiotherapy for prostate cancer: How much improvement can we expect? A theoretical assessment of clinical benefits and potential dose escalation by improving precision and accuracy of radiation therapy. IJRPBP 60(5)

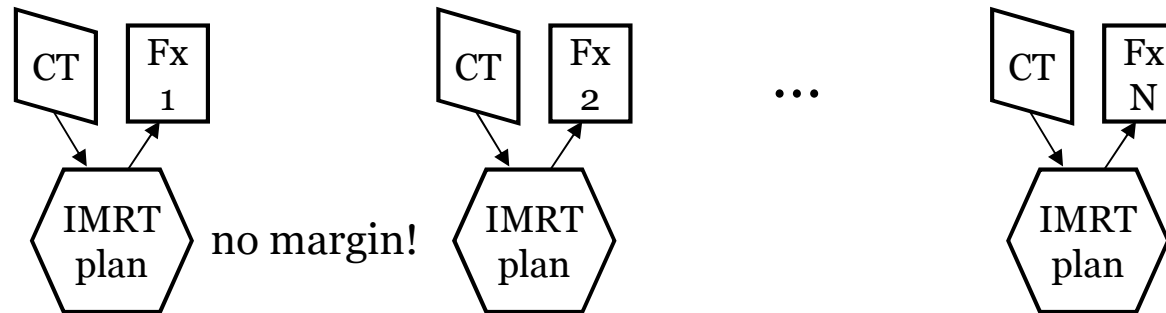
Idealized assumptions to investigate a 'gold-standard' benchmark online ART scheme:

- daily pre-treatment imaging and online IMRT replanning
- no intrafractional or other uncertainties => no PTV-margin necessary
- evaluation using deformable dose accumulation of multiple CTs of 18 patients



# This was all offline ART... Now: Online Adaptive Re-Planning

Ghilezan et al. 2004  
(IJROBP 60(5))



Results (in short):

- average dose escalation by 13% possible, but with large interpatient variation (5-41%).
- 27% of the patient would have only minimal benefit (<5% dose escalation), 32% would have significant benefit (>15% dose esc.)

Note!:

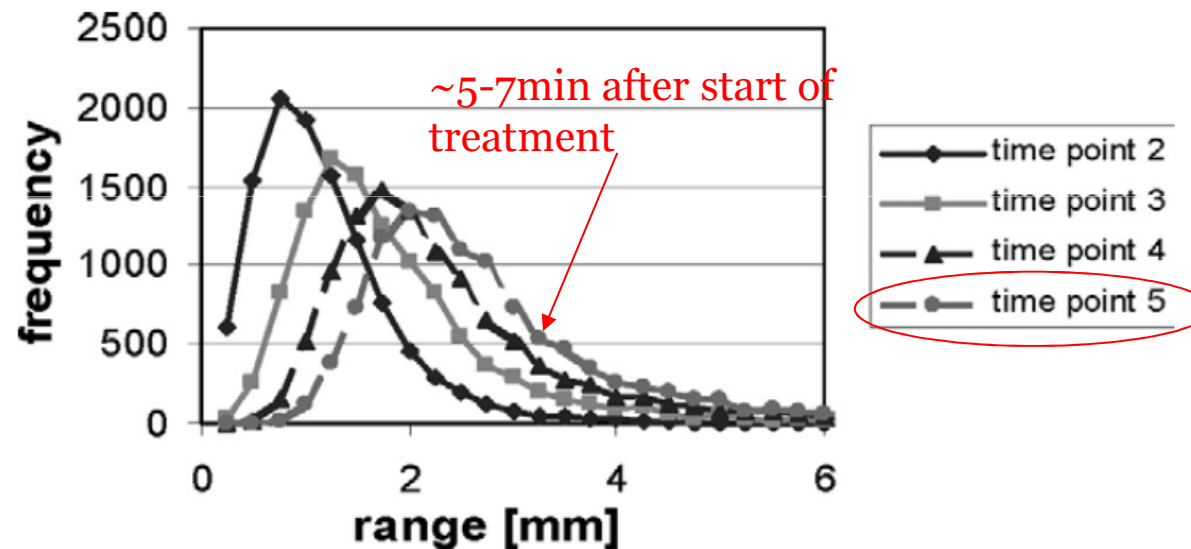
- comparison relative to non adaptive standard IMRT (1 cm margin), not to offline adaptive IMRT.
- no margin, intrafraction motion and all other uncertainties neglected

# Zero Margins in daily online ART/IGRT?

## Intrafraction motion: prostate

A. N. T. J. Kotte et al. 2007: Intrafraction motion of the prostate during external-beam radiation therapy: Analysis of 427 patients with implanted fiducial markers. IJROBP 69(2)

Motion of implanted fiducial markers as seen with PIs:  
11426 fractions of 427 pts.



- intrafraction motion range >2mm in 66% of all fractions, >3mm in 28%
- conclusion of the authors: lower limit of 2 mm for margins



# Zero Margins in daily online ART/IGRT?

## Intrafraction motion: prostate

D. Skarsgard et al. 2010: Planning target volume margins for prostate radiotherapy using daily electronic portal imaging and implanted fiducial markers. *Radiat Oncol.* 5:52

### RESULTS:

Without image guidance, margins of 0.57 cm, 0.79 cm and 0.77 cm, along the left-right, superior-inferior and anterior-posterior axes respectively, are required to give 95% probability of complete CTV coverage each day. With the above image guidance strategy, these margins can be reduced to 0.36 cm, 0.37 cm and 0.37 cm respectively. Correction of all isocenter placement errors, regardless of size, would permit minimal additional reduction in margins.

# Zero Margins in daily online ART/IGRT? Intrafraction motion: prostate

...this was all portal-image based visualization of implanted fiducial markers.  
*Improvements by daily Cone-Beam-CT-based setup?*

J. Adamson et al. 2011: Dosimetric effect of intrafraction motion and residual setup error for hypofractionated prostate intensity-modulated radiotherapy with online cone beam computed tomography image guidance. IJROBP 80(2)

## CONCLUSIONS:

For protocols with CBCT guidance, RL, AP, and SI margins of 2, 4, and 3 mm are sufficient to account for translational errors; however, the large variation in patient-specific margins suggests that adaptive management may be beneficial.

Note: This is not resulting from geometric considerations (CTV appropriately covered by PTV), but from dosimetric considerations: coverage by 95% isodose line.

→ Influence of planning strategy and TPS!

## Further limitations of daily rigid isocentre adaptations: Deformable uncertainties

again: prostate...

G. J. van der Wielen et al. 2008: Deformation of prostate and seminal vesicles relative to intraprostatic fiducial markers. IJROBP 72(5)

### CONCLUSION:

Although prostate deformation with respect to implanted fiducial markers was small, the **corresponding deformation of the seminal vesicles was considerable**. Adding marker-based rotational corrections to on-line translation corrections provided a limited reduction in the estimated planning margins.

# Further limitations of daily rigid isocentre adaptations: Deformable uncertainties

## Head-and-neck...

S. van Beek et al. 2010: First clinical experience with a multiple region of interest registration and correction method in radiotherapy of head-and-neck cancer patients. *Radiother. Oncol.* 94(2)



**Fig. 1.** Multiple regions of interest registration method on bony structures. (A) Definition of 13 regions of interest in the sagittal view. (B) Example registration of vertebrae C3 in green/purple overlay, note that other bony structures are not aligned. (C) Single assessment of all registration with a thin-plate-spline-based deformation.

In 40% of the CBCTs scans the errors in one or more subregions exceeded 5mm / 5°!

# Daily rigid isocentre adaptations/IGRT: Conclusions

- Daily online adaptive concepts further *reduce* uncertainties, but *do not remove uncertainties completely*
- *there is still a lower limit for margin size* – treatment with ‘zero margin’ is not safe even with online imaging efforts
- too much margin reduction might be dangerous for other reasons as well: extra-capsular extension, see Chao et al. 2006 (IJROBP 65(4))

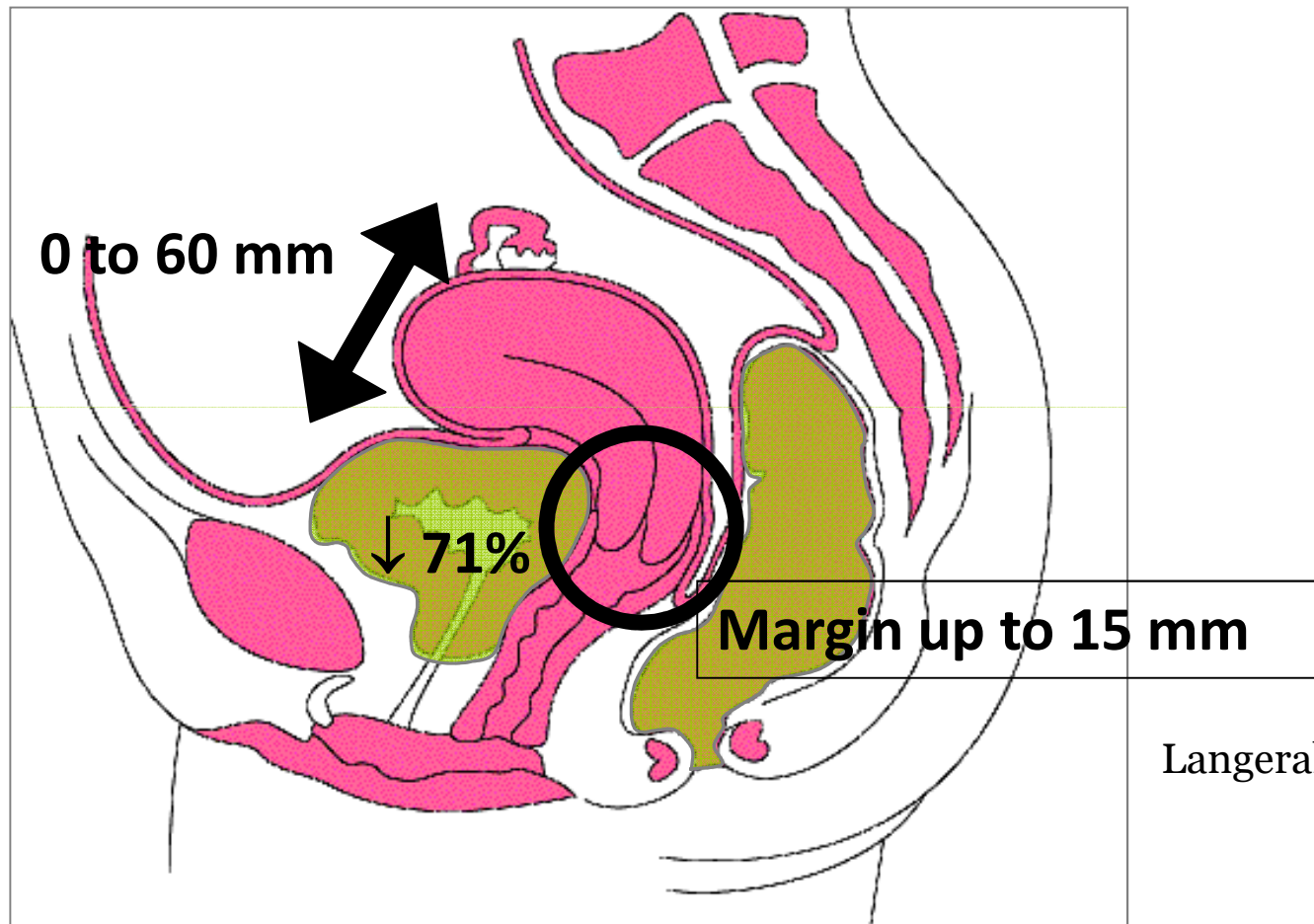
My personal opinion:

Even with advanced daily IGRT possibilities, there is still a need for ‘smart’ *probabilistic and robust planning approaches* – beyond the margin approach – due to the remaining uncertainties.

# Treatment of targets with large interfractional deformations: Plan-selection strategies

courtesy M. Hoogeman  
(Erasmus MC)

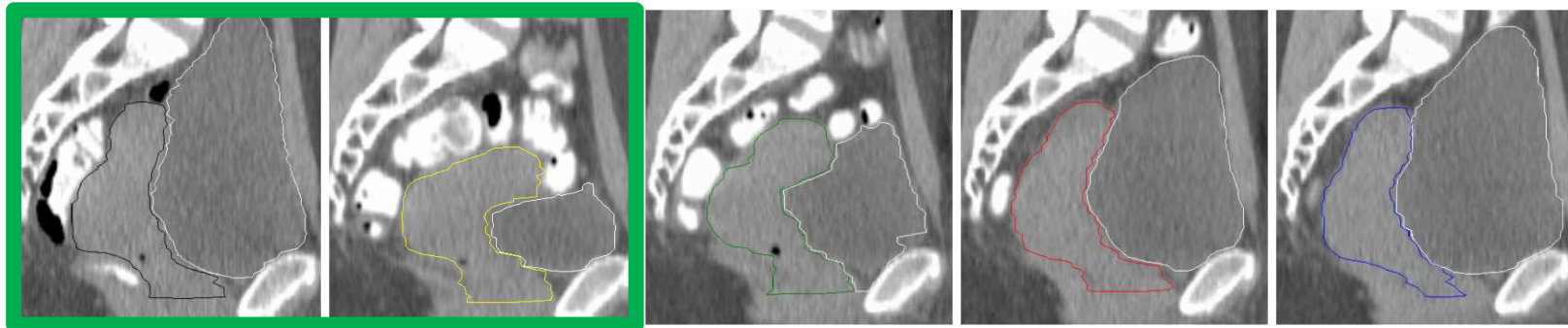
*Example: female pelvis*



Langerak et al.

# Treatment of targets with large interfractional deformations: Plan-selection strategies

*Example: female pelvis*



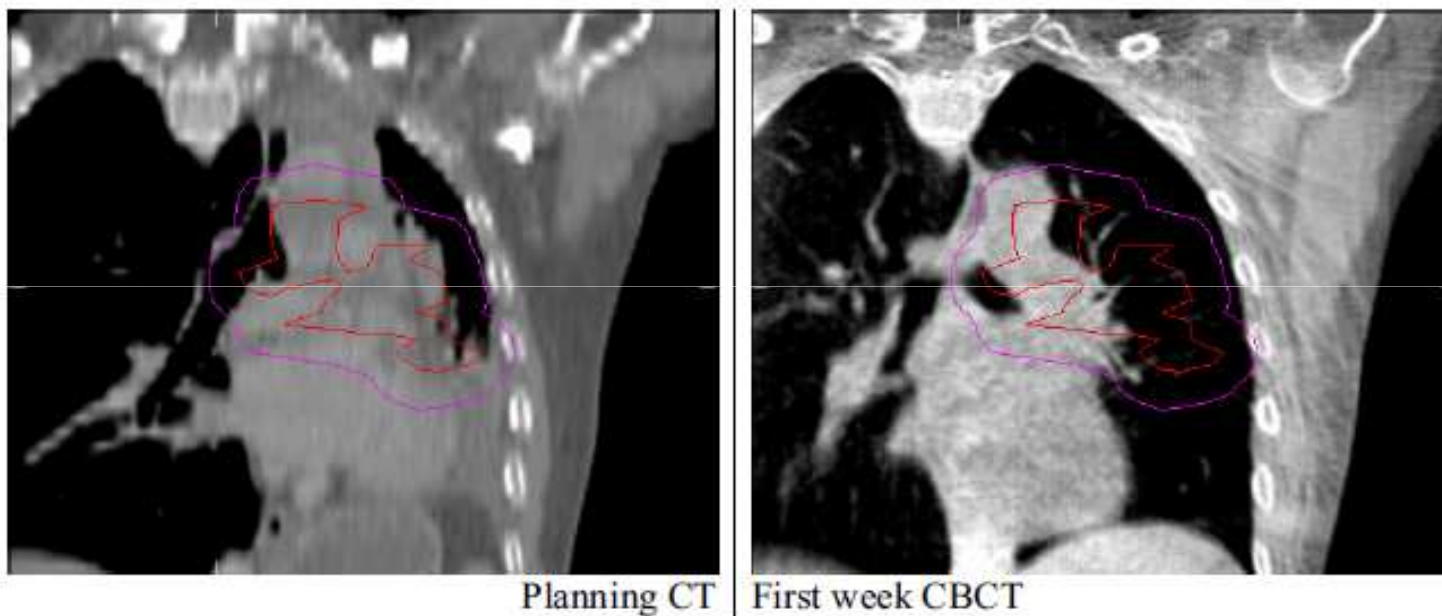
Bondar et al. 2011 (Rad. Onc. 99(2)); courtesy M. Hoogeman (Erasmus MC)

- ART for cervical cancer: For 90% of pts. with CTV coverage of  $\geq 95\%$ ...
  - \* non-ART population based **CTV-PTV volume  $1702 \pm 274\text{ml}$**  (38mm margin)
  - \* online-adaptive 2-plan library: **CTV-PTV volume  $764 \pm 165\text{ml}$**  (7mm margin)  
(Bondar et al. 2012, IJROBP 83(5))
- ART for bladder cancer, online adaptive 3-plan library:  
compared to non-ART,  **$V_{57\text{Gy}}$  of body tissue reduced by 34%**  
(Vestergaard et al. 2013, Rad. Onc. 109(3))  
and **median PTV volume reduction by 30%**  
(Vestergaard et al. 2014, Acta Oncol. 53(8))

# Anatomical changes of trending nature

Sonke and Belderbos 2010  
(Sem. Rad. Onc. 20(4))

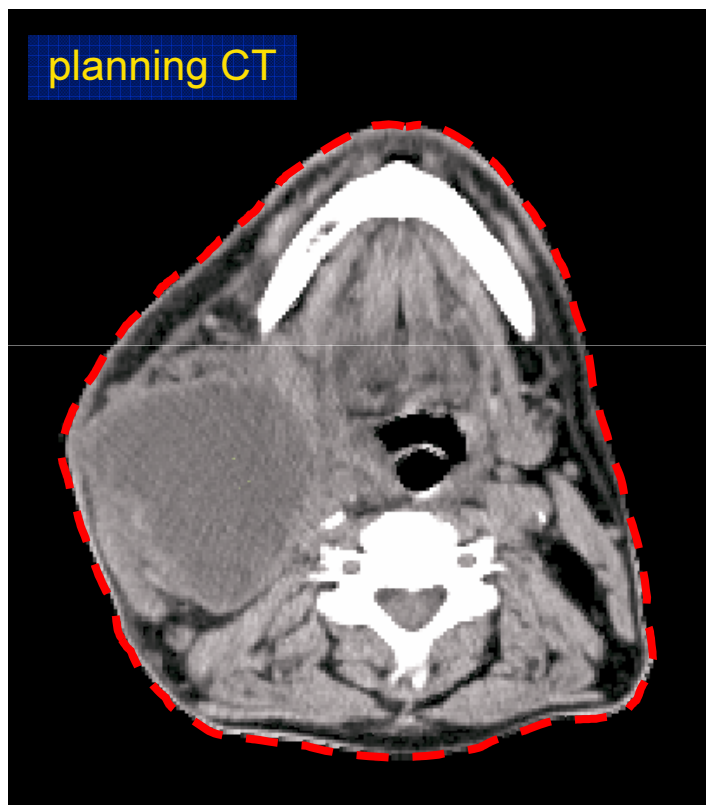
*example: lung*





# Anatomical changes of trending nature

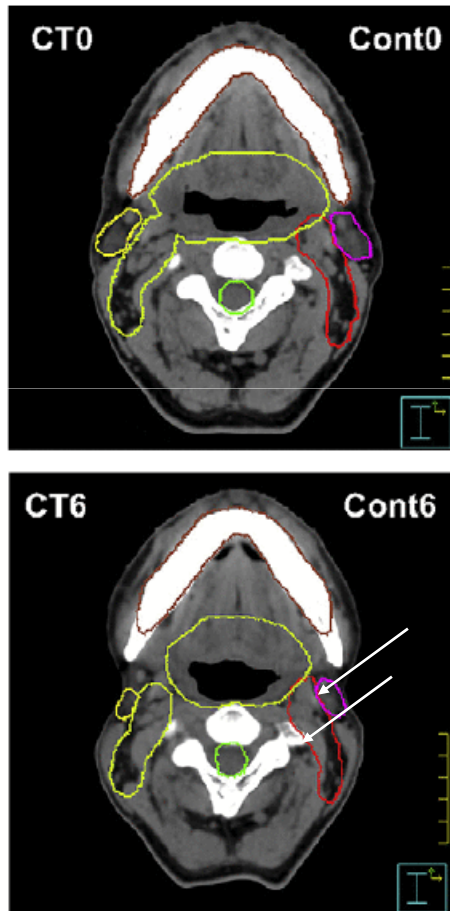
*example:* head-and-neck



# Anatomical changes of trending nature

Wu et al. 2009  
(IJROPB 75(3))

*example:*  
planning CT and 6 weeks later...



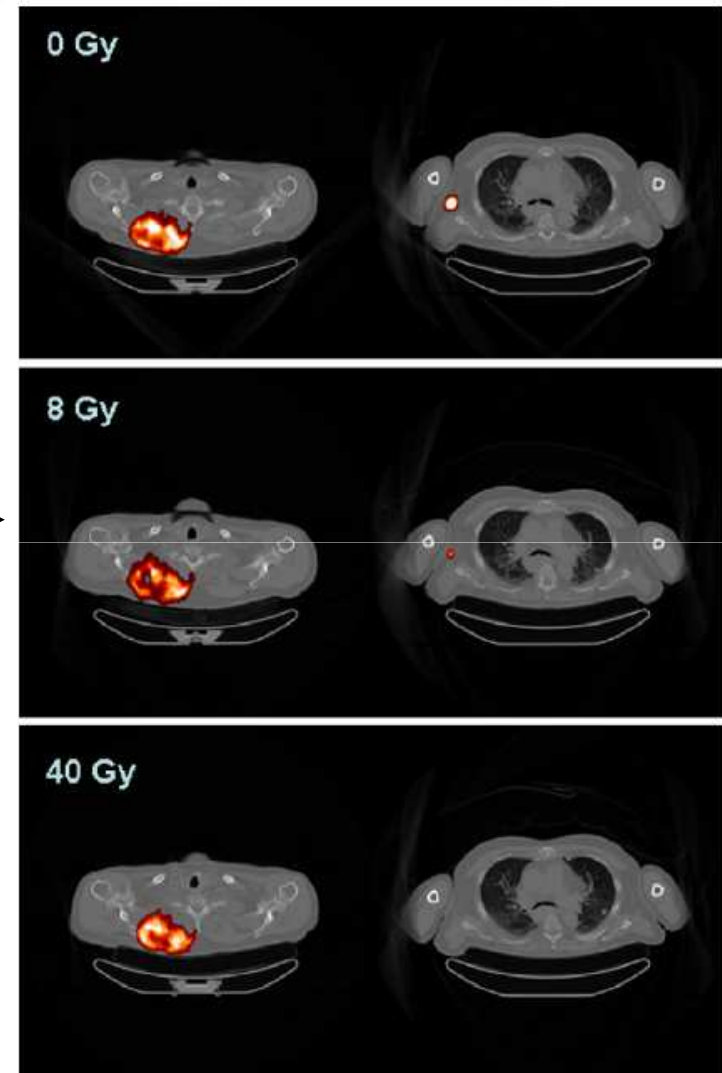
- systematic shrinkage of tumor/parotid volumes during treatment course, OARs move into high dose
- overdosage of OARs as compared to planning, and potential partial misses of CTVs
- more serious esp. for IMRT due to steep dose gradients

My personal opinion:

High(est) potential for clinical improvements by repeat imaging and multiple replanning/adaptation.

Wishful for the future: 'flag'/red light identifying patients who benefit most from one or multiple adaptations

- adaptation by patient-individual biological/functional feedback
- **tumor:** changes in perfusion, hypoxic areas/re-oxygenation, tumor cell metabolism →
- early predictive discrimination between 'responders' and 'non-reponders'
- **normal tissues:** e.g. lung perfusion changes, early detection of potential radiation-induced toxicity



**Figure 1** <sup>18</sup>F-FDG-PET/CT images of a patient with a sarcoma in the right scapula and a lymphoma in the right axillary lymph node. Images were taken before the start of radiotherapy, after 8 Gy (early treatment) and after 40 Gy (late treatment). PET/CT images courtesy of Jan Rødal.

## Further reading...

Issue on 'Adaptive Radiotherapy' in *Seminars in Radiation Oncology*,  
Vol. 20(4), 2010, p. 215-88

Beyond others, site-specific articles about Adaptive Radiotherapy of...

- Head and Neck cancer
- Lung cancer
- Liver cancer
- Bladder cancer
- Cervical Cancer
- Prostate Cancer

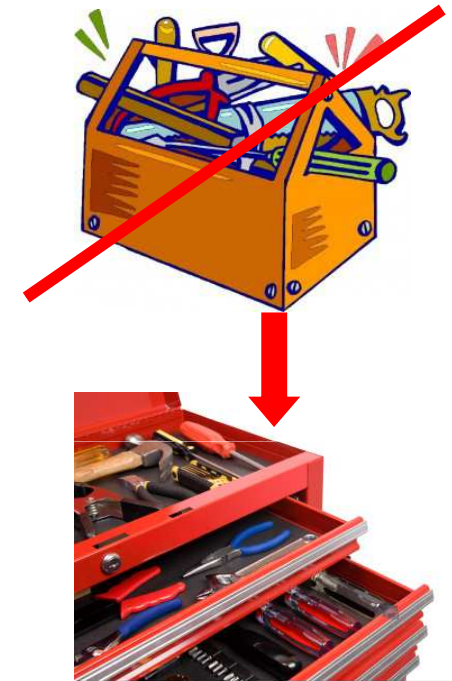
# Conclusions

- *offline adaptive* setup correction and margin adapting protocols with clinically proven benefits and high efficiency to reduce systematic errors
- *online adaptive* schemes can further reduce uncertainties for the price of more frequent imaging, but there is a lower limit for margin reduction

*provocative thought:* a single adaptation – if well implemented and its effects evaluated thoroughly – is better than some ‘latest’ complex IGRT/ART scheme with daily imaging and repeat adaptations  
– unless its benefits are clearly proven with treatment simulation approaches/patient data.

## Conclusions [2]

- A real broad, clinical implementation of full adaptive schemes probably won't happen before vendors provide easy, yet robust and mature software solutions for meaningful adaptive workflows



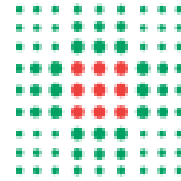
# Finally: Some (more) words of caution

The 'latest-and-greatest' ART schemes use methods from the 'toolbox', that start to find its way into commercial products and thus into clinical practice.



BUT be aware...

- Deformable registration errors => dose warping errors!  
Deformable registration is especially challenging for prostate/rectum
- Thus: Are hot/cold spots in an accumulated dose real? Should these be actively compensated for?
- Dose calculation on cone beam CT data: no high-precision dose calculation possible, thus dose-of-the-day calculation is subject to errors



SERVIZIO SANITARIO REGIONALE  
EMILIA-ROMAGNA  
Azienda Ospedaliero - Universitaria di Modena  
Policlinico

# IGRT for IMRT

Frank Lohr, M.D.  
University Medical Center Mannheim



## Disclosure

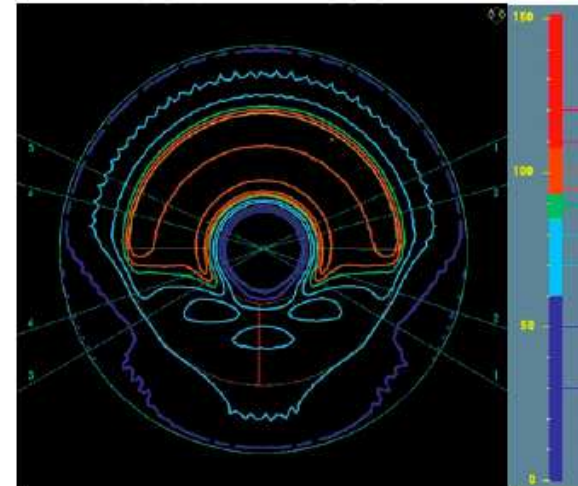
Research and Training Agreement, Expert Testimony  
and Travel Grants with Elekta/IBA/C-Rad

Board Member of C-Rad

# Basic treatment techniques

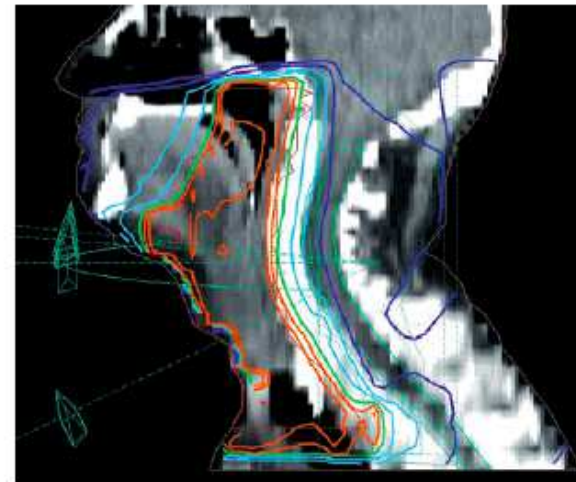
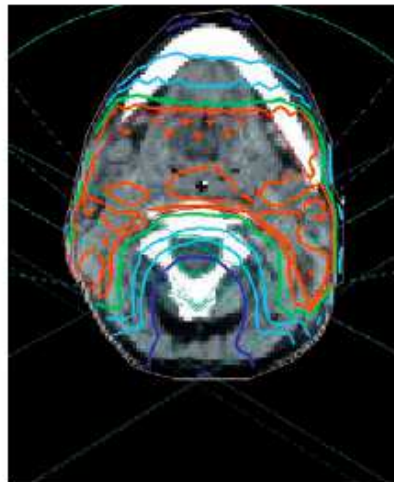
K. Bratengeier

In: Kiricuta, Definition of Target Volumes, 2001

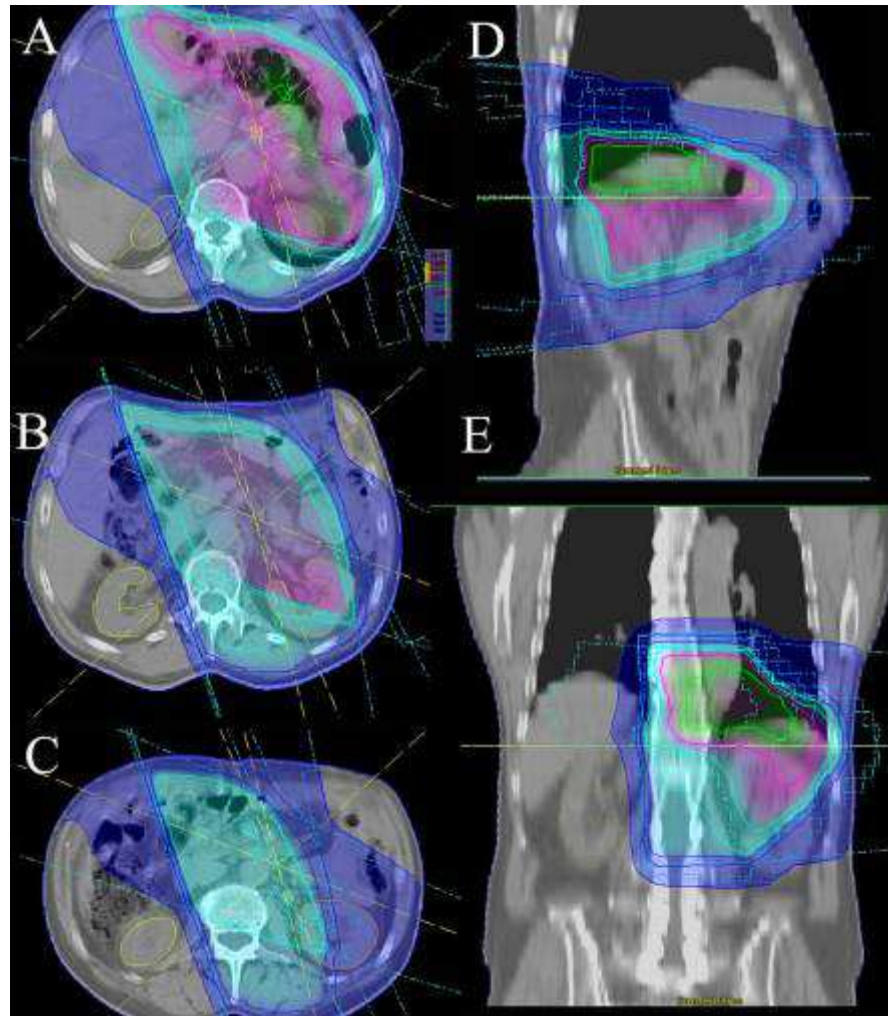


*Figure 8. Two-step IMAT in the case of a patient with Hypopharynx-Carcinoma.*

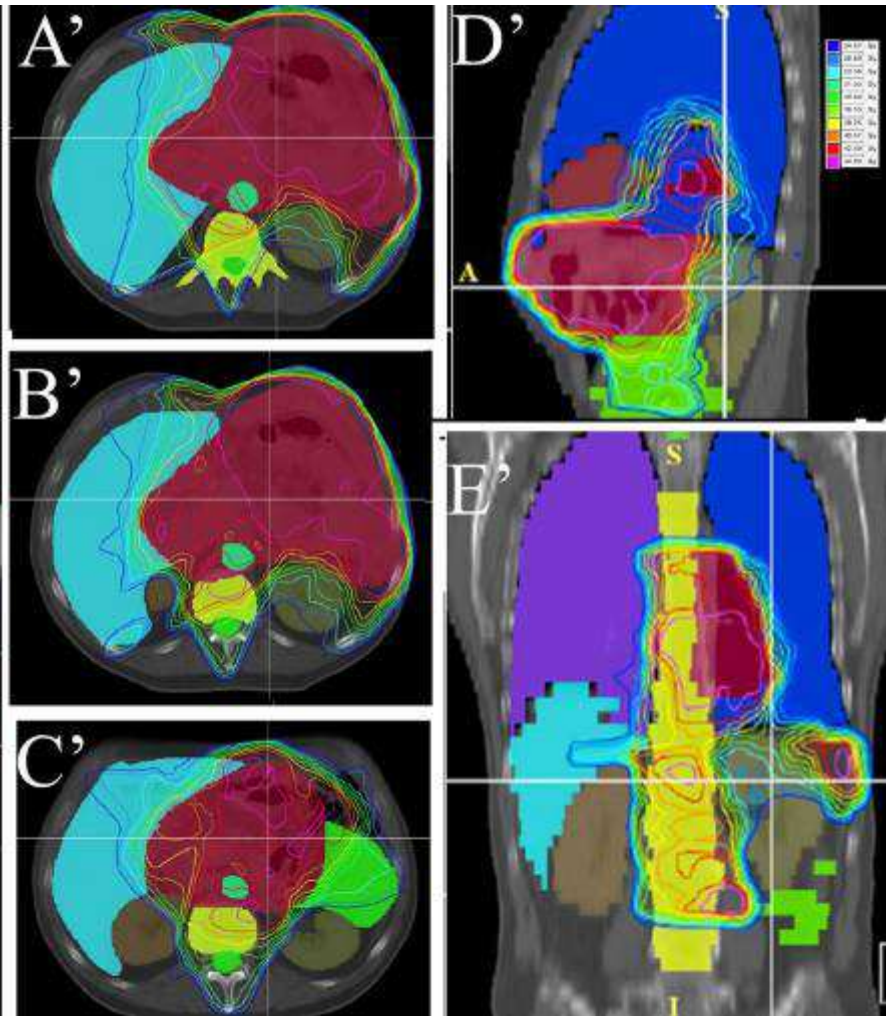
*Left: transversal plane. Right: sagittal plane; 30%, 50%, 70%, 80%, 90% and 95% isodoses are shown in the same colors as labelled in figure 7.*



### 3DCRT



### IMRT

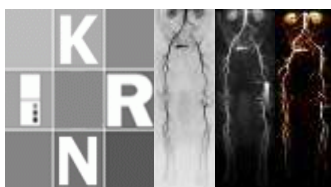


	Right Kidney (Gy)							Left Kidney (Gy)						
	Median	Mean	D30	D60	Cranial part	Middle part	Caudal part	Median	Mean	D30	D60	Cranial part	Middle part	Caudal part
<b>3DCRT-1</b>	2.52	3.18	3.3	2.4	5	<5	<5	41.07	36.9	46.3	38.4	47.8	45.3	25.2
<b>3DCRT-2</b>	3.2	7.76	8.1	2.7	22.5	4.5	<4.5	25.8	22.95	27	18	45	42.7	36
<b>IMRT-1</b>	1.49	1.61	1.77	1.39	11	5	0	20.25	22.18	26.68	18.15	29	26	9
<b>IMRT-2</b>	14.77	16.12	17.4	13.8	13	8	4	23.84	23.28	27.7	21.2	26.8	18.5	13.5

T2w: (A) IMRT vs. (B) 3D



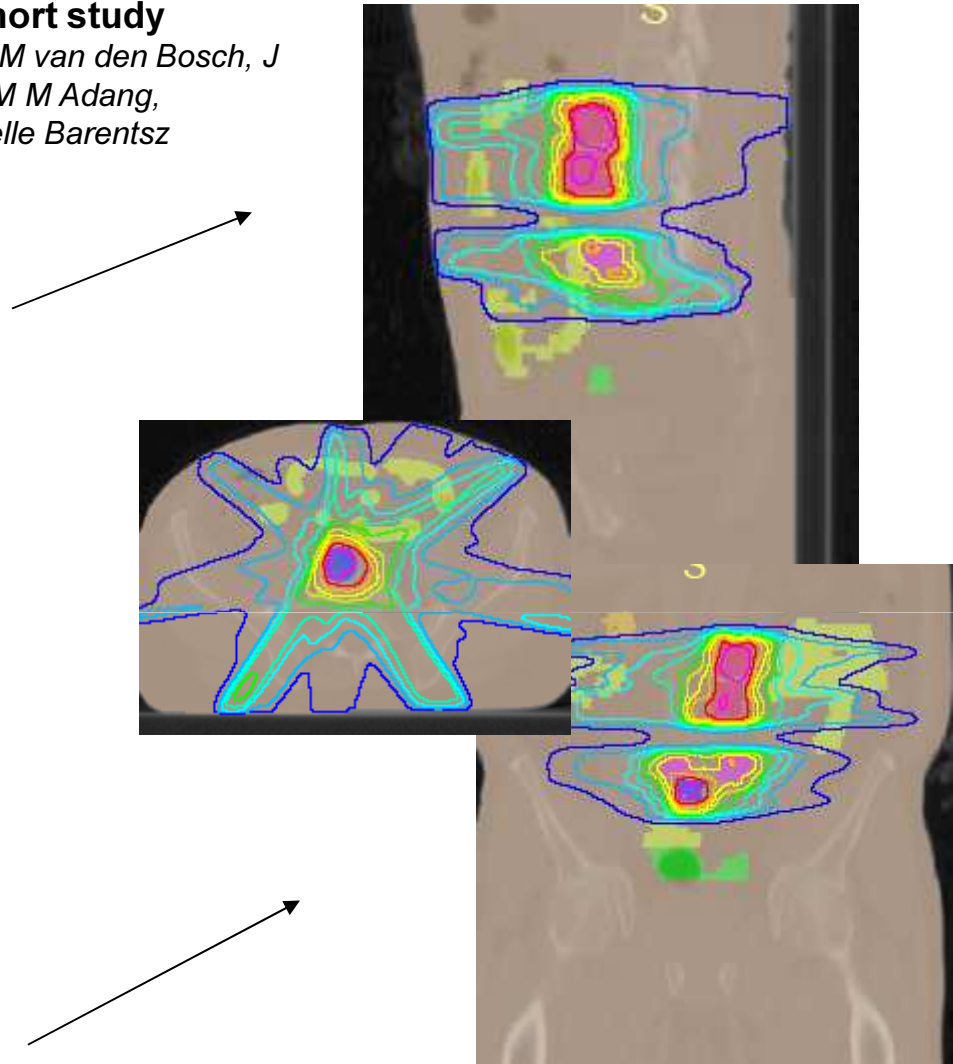
Haneder et al., SUON, 2012



**MRI with a lymph-node-specific contrast agent as an alternative to CT scan and lymph-node dissection in patients with prostate cancer: a prospective multicohort study**

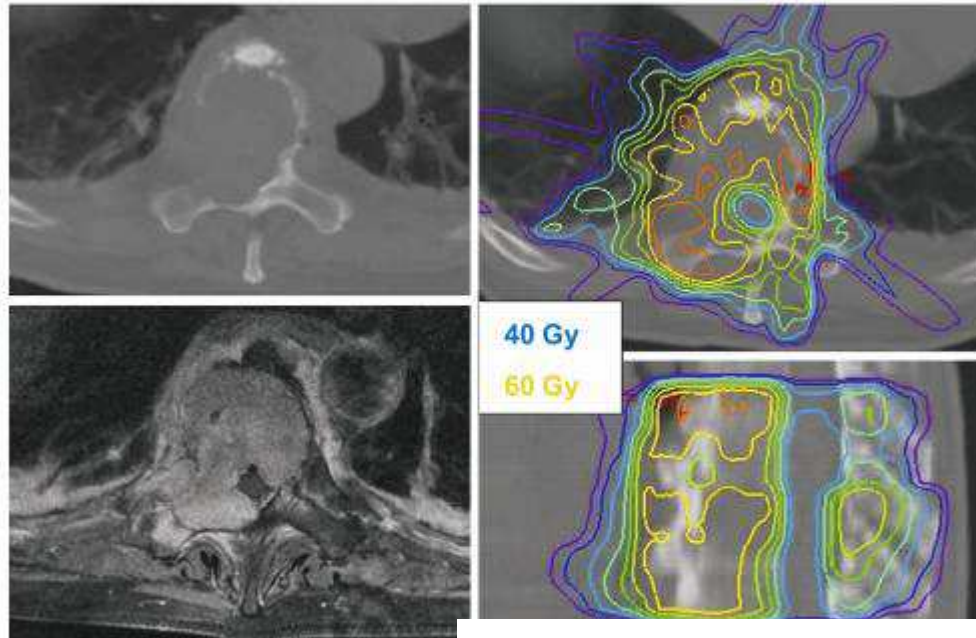
*Roel A M Heesakkers, Anke M Hövels, Gerrit J Jager, Harrie C M van den Bosch, J Alfred Witjes, Hein P J Raat, Johan L Severens, Eddy M M Adang, Christina Hulsbergen van der Kaa, Jurgen J Fütterer, Jelle Barentsz*

**9/2008**



Weidner et al., SUON, 2011

# Dose-Escalated Irradiation of Paraspinal Metastases



Guckenberger et al., 2009

40 Gy in the spinal canal in 2 Gy  
>50 Gy in PTV in SD > 2 Gy

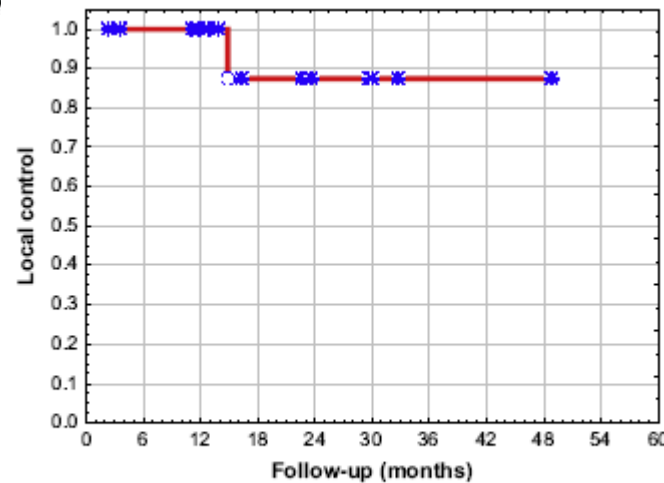


Fig. 2. Local control for all patients with spinal metastasis ( $n = 15$ ).

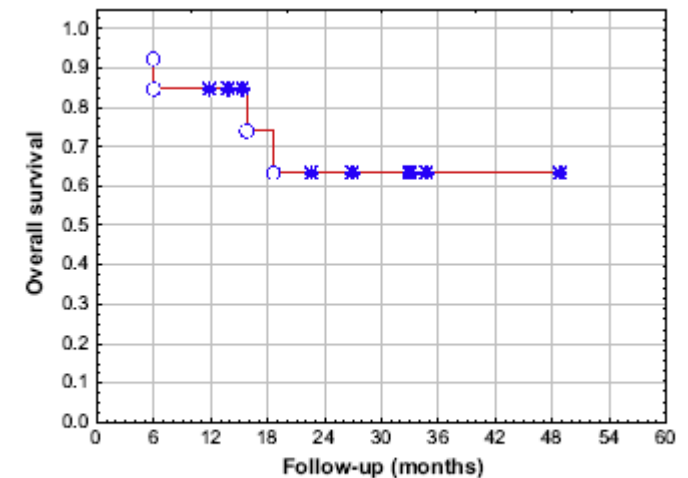
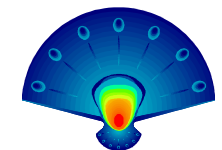


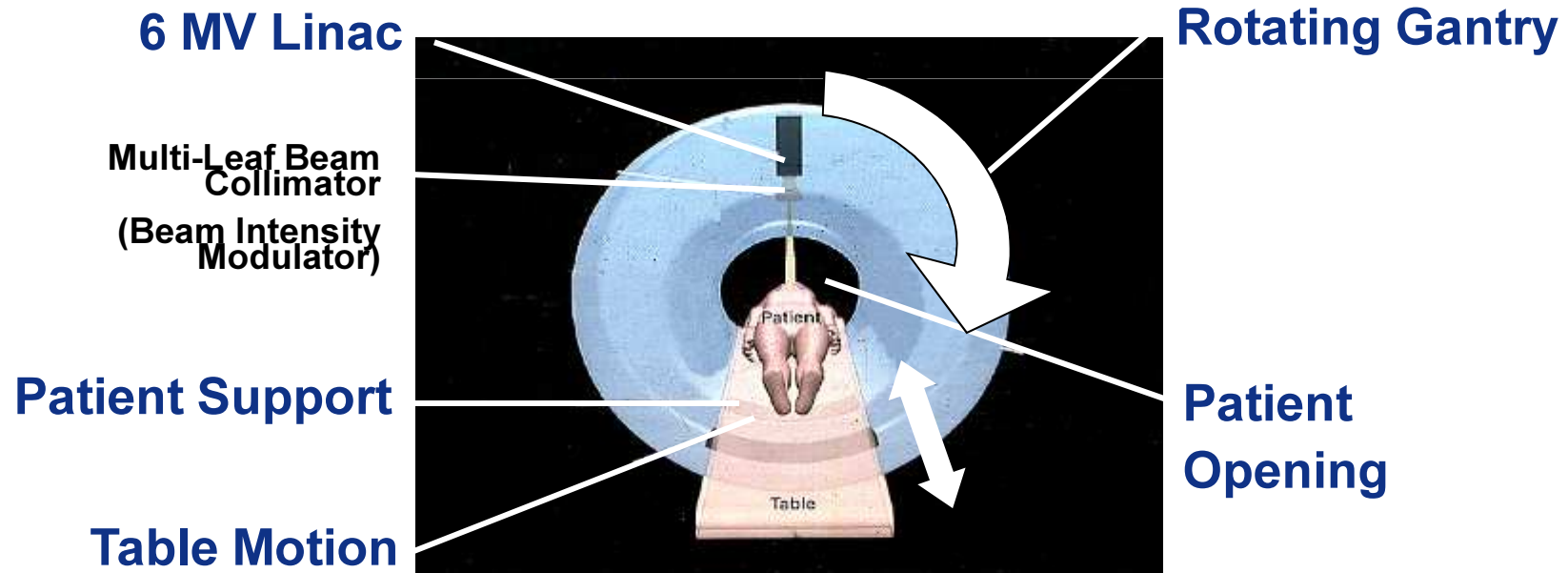
Fig. 4. Overall survival after radiotherapy for spinal metastasis ( $n = 13$ ).

MIMiC

[www.nomos.com](http://www.nomos.com)



# The HI•ART TomoTherapy System





# The HI•ART TomoTherapy System

Dr. T. Rock Mackie with the University of Wisconsin  
Tomotherapy Research Unit



[www.tomotherapy.com](http://www.tomotherapy.com)

# The HI•ART TomoTherapy System

UW Tomotherapy Research Unit

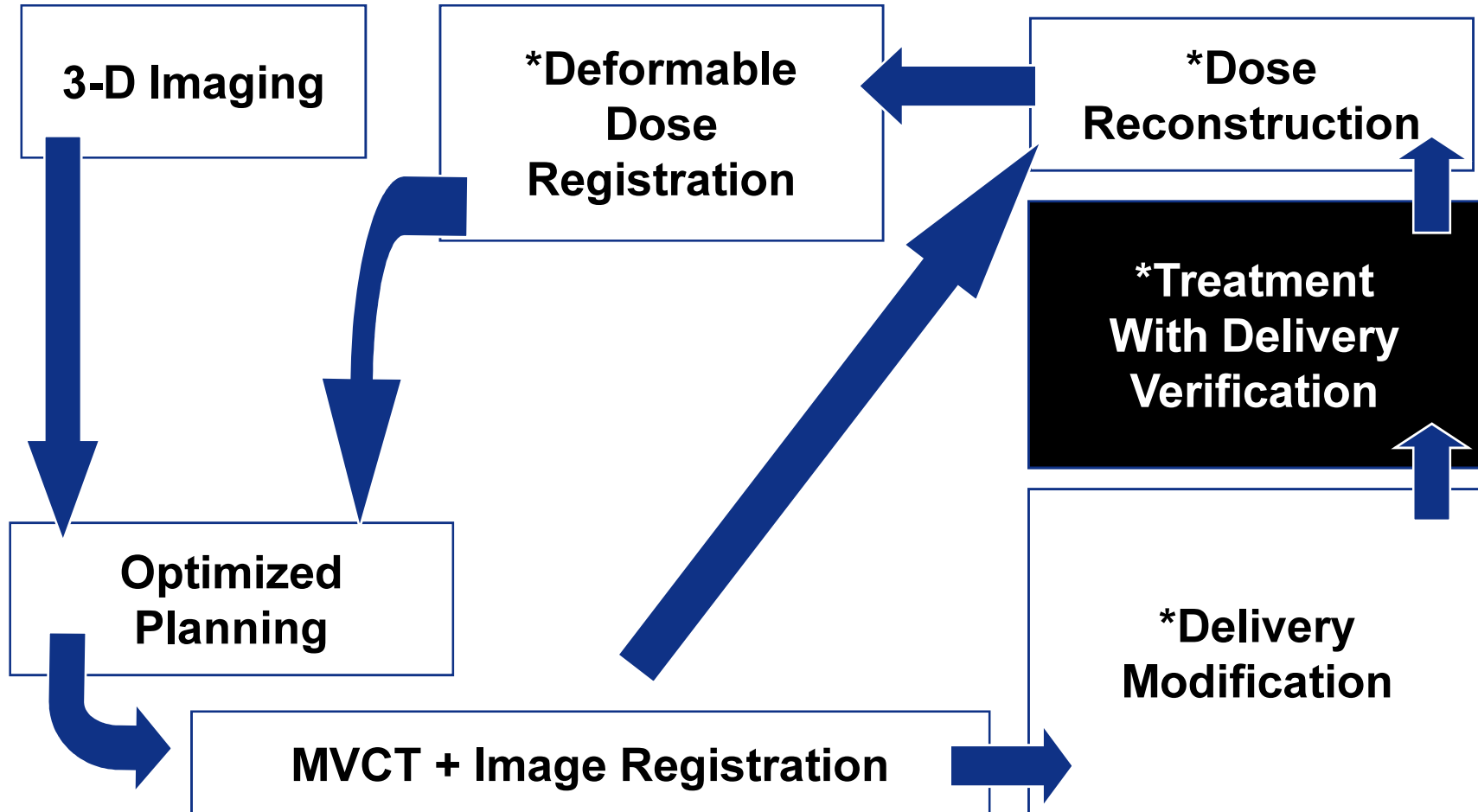


[www.tomotherapy.com](http://www.tomotherapy.com)

# Adaptive Radiotherapy

[www.tomotherapy.com](http://www.tomotherapy.com)

This is where we want to go

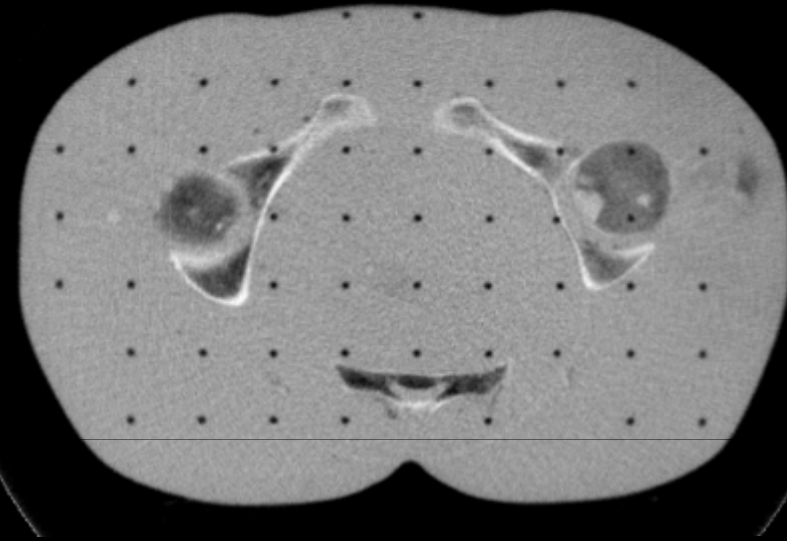
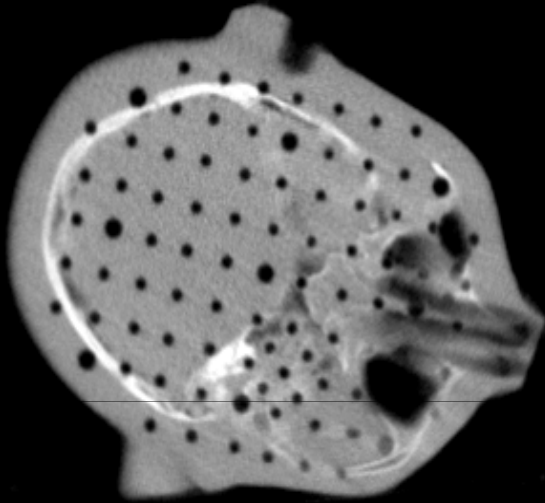


(\*These concepts are work in progress – product not available for sale.)

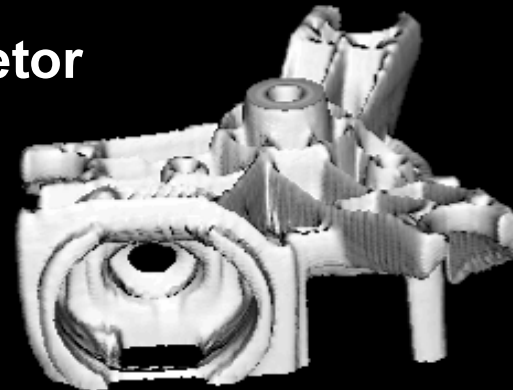
# Megavoltage CT Images

[www.tomotherapy.com](http://www.tomotherapy.com)

**Rando phantom**

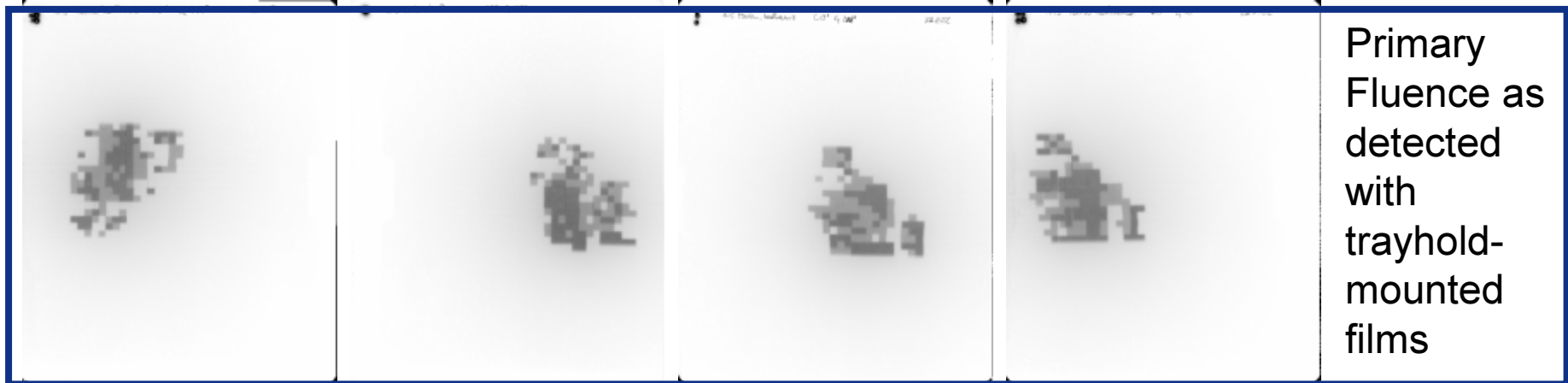


**Carburetor**

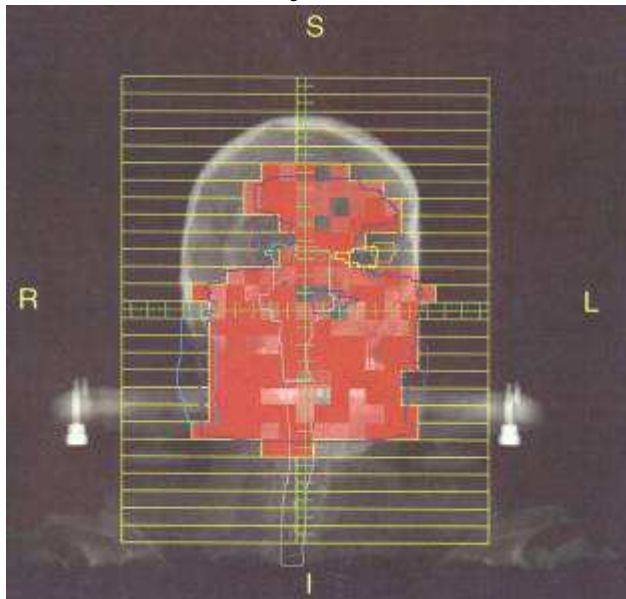


**These images taken  
with a 3 cGy dose.**

# Verification/QA



DRR with superimposed Intensity Matrix



Respective Double Exposure of actual treatment



# Positioning Errors

**CTV** ▲ **PTV** ▼ **PTV<sub>IG</sub>**

**How to minimize**

**PTV<sub>IG</sub>**



**Interfractional Error**

**Intrafractional Error**

# Interfractional Error

*Systematic Error*

*Random Error*

# Intrafractional Error

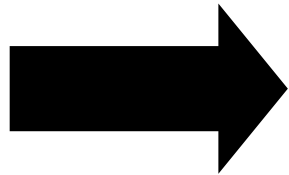
→ **PTV<sub>IG</sub>**

# Interfractional Error

~~*Systematic Error*~~

*Random Error*

# Intrafractional Error



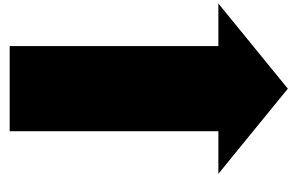
**PTV<sub>IG</sub>**

# Interfractional Error

~~*Systematic Error*~~

~~*Random Error*~~

# Intrafractional Error



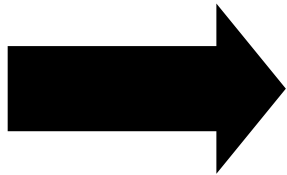
**PTV<sub>IG</sub>**

**Interfractional Error**

~~***Systematic Error***~~

~~***Random Error***~~

~~**Intrafractional Error**~~



**PTV<sub>IG</sub>**

# And for a more detailed Discussion of Positioning Errors please turn to:

## Errors and Margins in Radiotherapy

*Marcel van Herk*

Clinical radiotherapy procedures aim at high accuracy. However, there are many error sources that act during treatment preparation and execution that limit the accuracy. As a consequence, a safety margin is required to ensure that the planned dose is actually delivered to the target for (almost) all patients. Before treatment planning, a planning computed tomography scan is made. In particular, motion of skin with respect to the internal anatomy limits the reproducibility of this step, introducing a systematic setup error. The second important error source is organ motion. The tumor is imaged in an arbitrary position, leading to a systematic

organ motion error. The image may also be distorted because of the interference of the scanning process and organ motion. A further systematic error introduced during treatment planning is caused by the delineation process. During treatment, the most important errors are setup error and organ motion leading to day-to-day variations. There are many ways to define the margins required for these errors. In this article, an overview is given of errors in radiotherapy and margin recipes, based on physical and biological considerations. Respiration motion is treated separately.

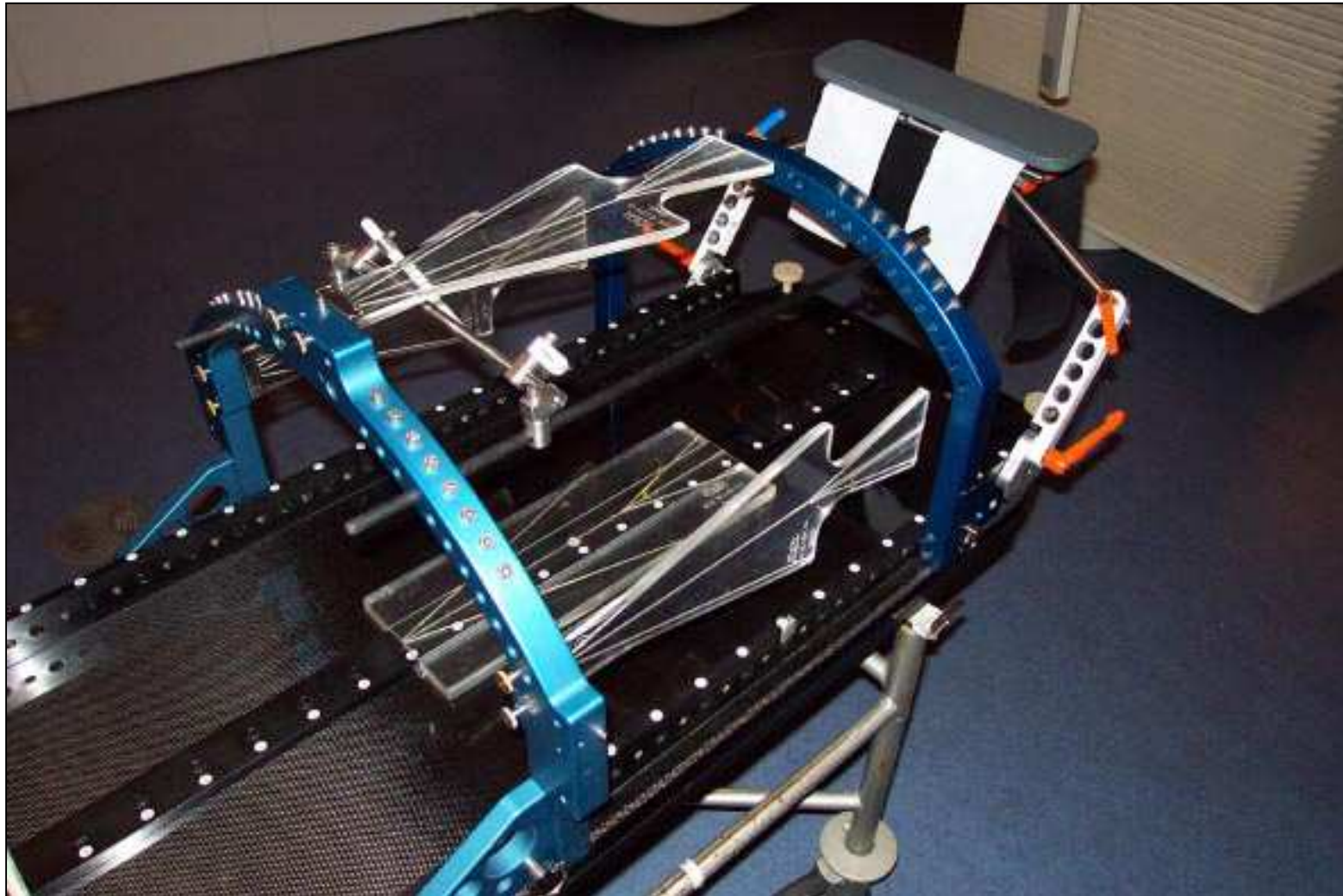
© 2004 Elsevier Inc. All rights reserved.

Sem Rad Onc, 2004

„Will positioning errors ever be zero?“

*Well, for photons we are getting sufficiently close to zero...*

# ECS Basis-System



30-JAN-1936  
18-MAR-1998  
12:53:54.36  
TP -102.0  
IMA 150  
SPI 16

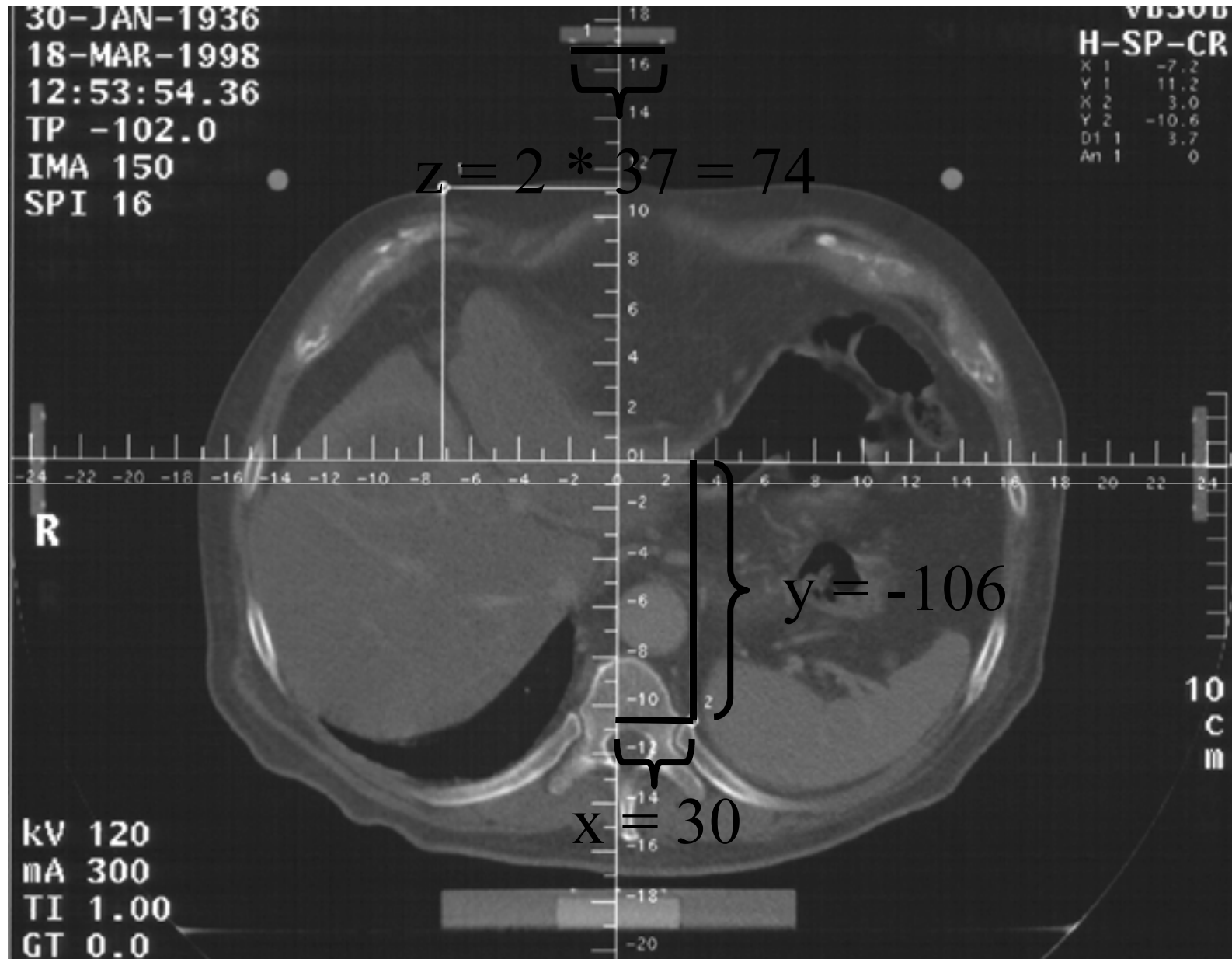
V8500  
H-SP-CR  
X 1 -7.2  
Y 1 11.2  
X 2 3.0  
Y 2 -10.6  
D1 1 3.7  
Am 1 0

$$z = 2 * 37 = 74$$

$$y = -106$$

$$x = 30$$

kV 120  
mA 300  
TI 1.00  
GT 0.0







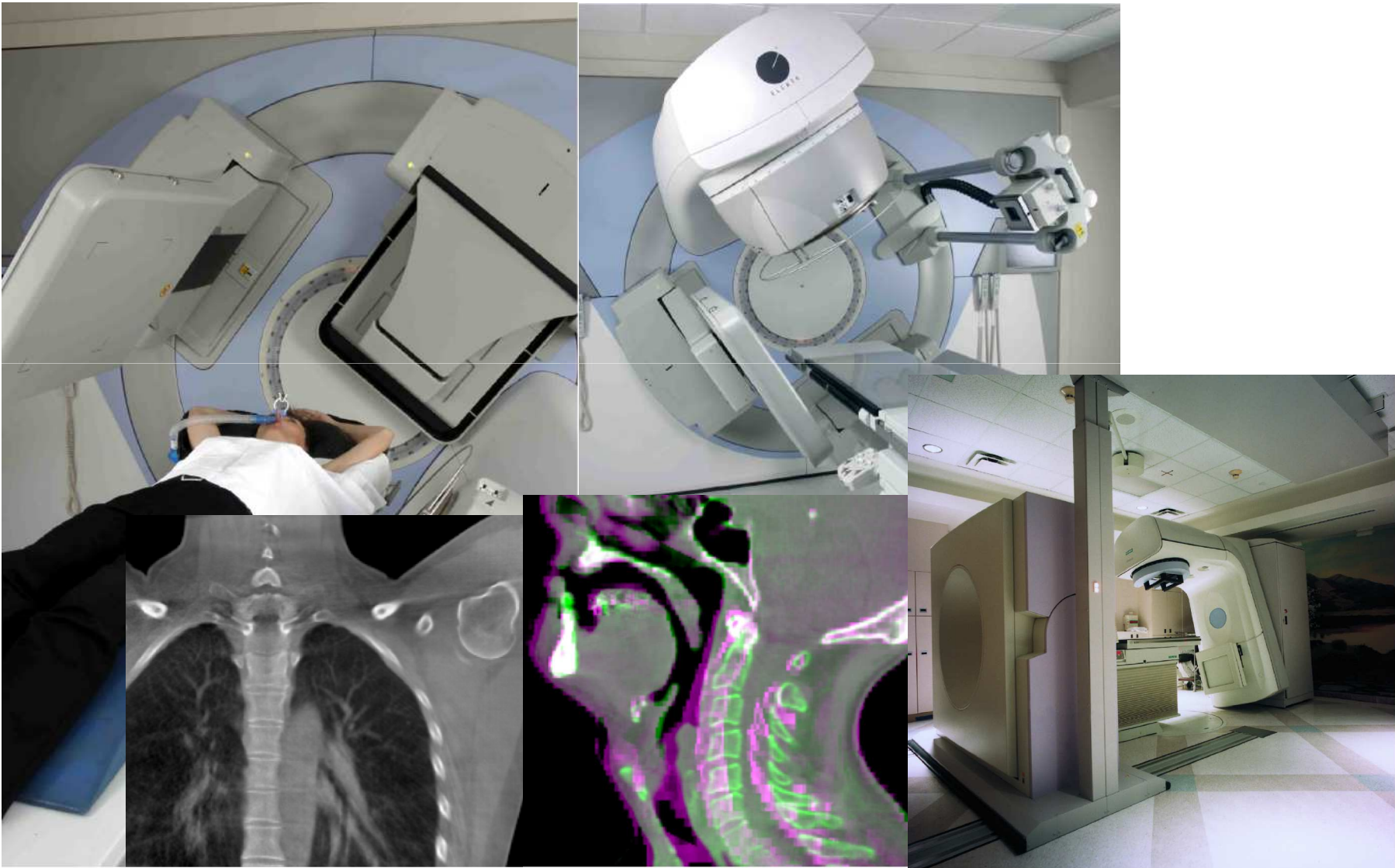
# Stereotactic Ultrasound-System



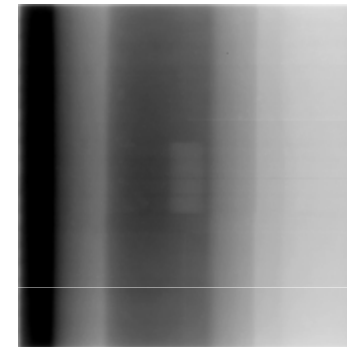
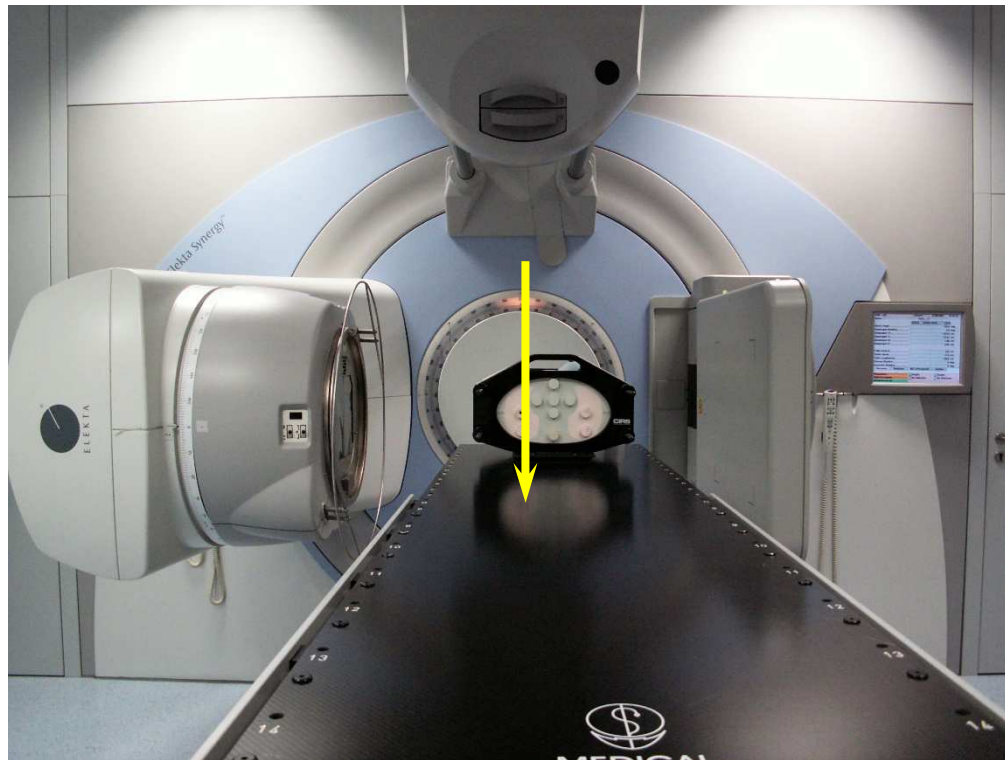
Stereotactic Ultrasound-System  
„BAT“



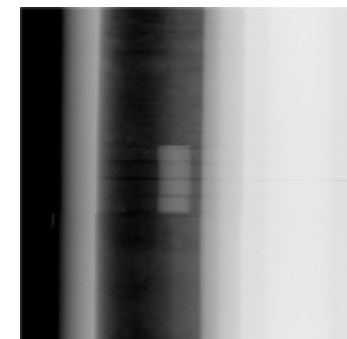
# Image Guided Radiotherapy (IGRT)



# Motivation for kV-Imaging...

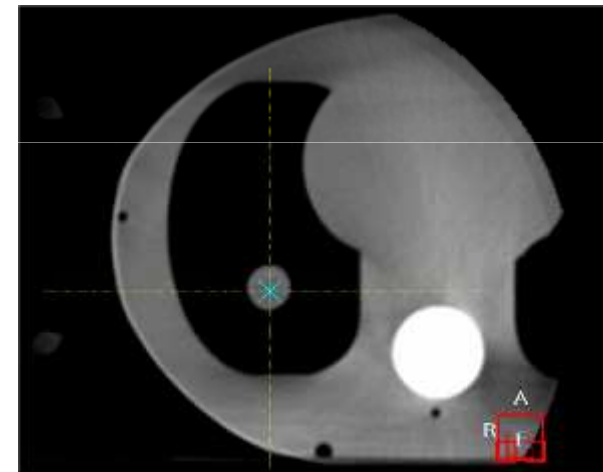


MV-Image  
(projection)



kV-Image  
(projection)

# ... and cone-beam scanning



6 MeV Photons

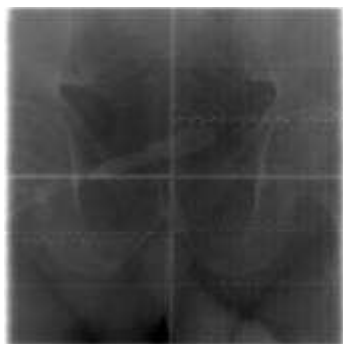
120 keV Photons

ap

lateral

ap

lateral



skin [mGy]

57.8

69.4

0.7

1.1

rectum  
[mGy]

33.9

31.7

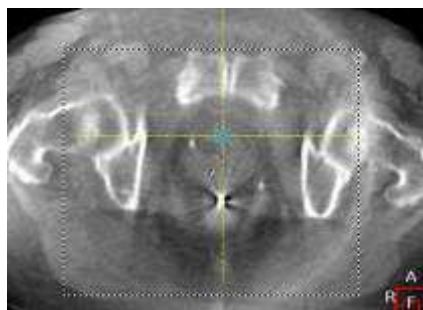
0.2

0.1

## Dose for positioning verification 2

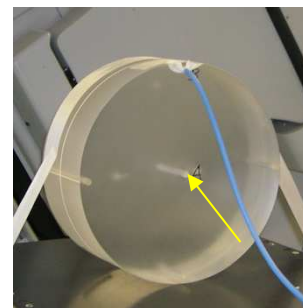
### RBE of 2 for kV radiation

cone-beam CT in-vivo dose measurement

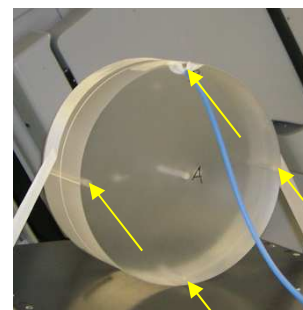


dose inside rectum: 17.2 mGy

cone-beam CT phantom dose measurement



central dose: 11.4 mGy



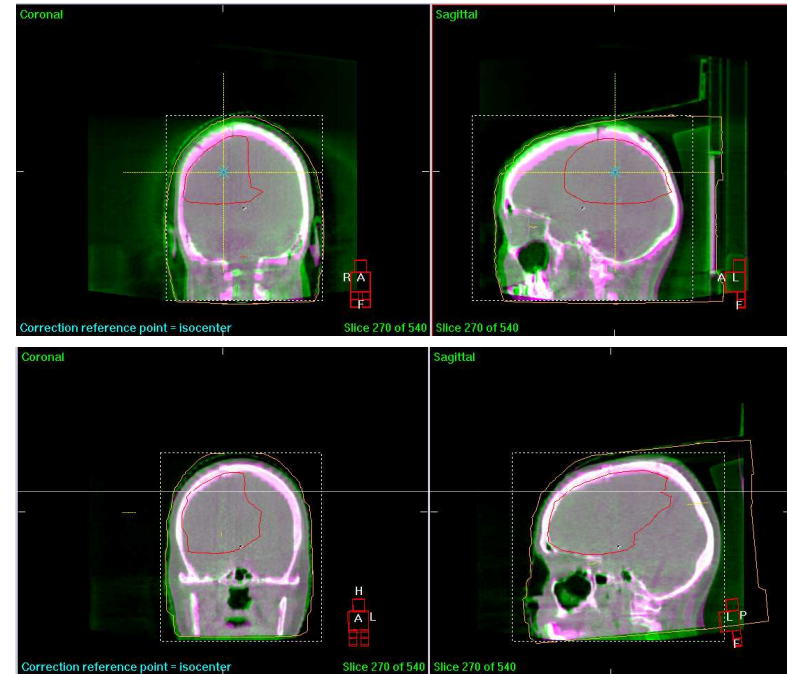
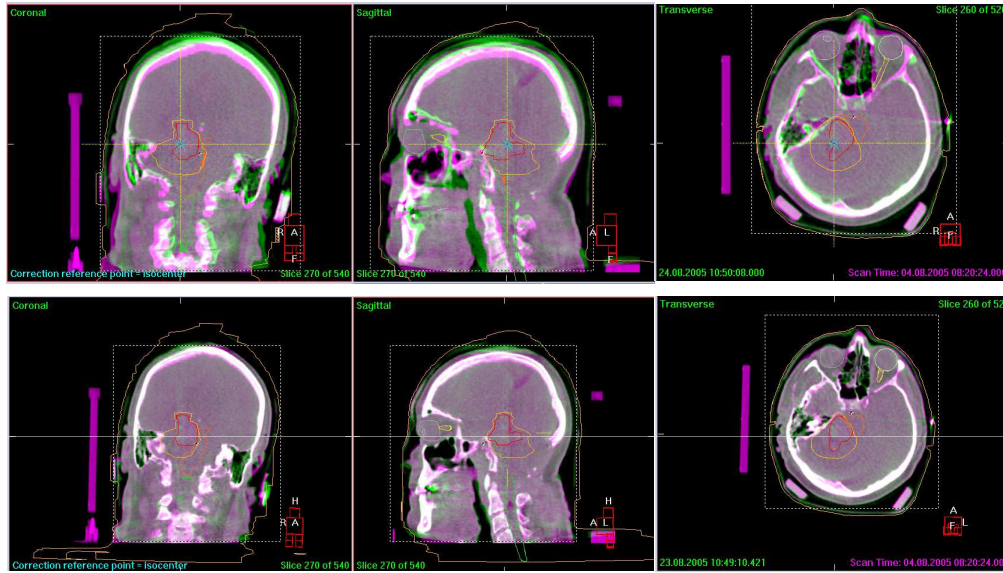
peripheral dose: 25.4 mGy

weighted dose: 20.7 mGy

# Target / Organ Motion



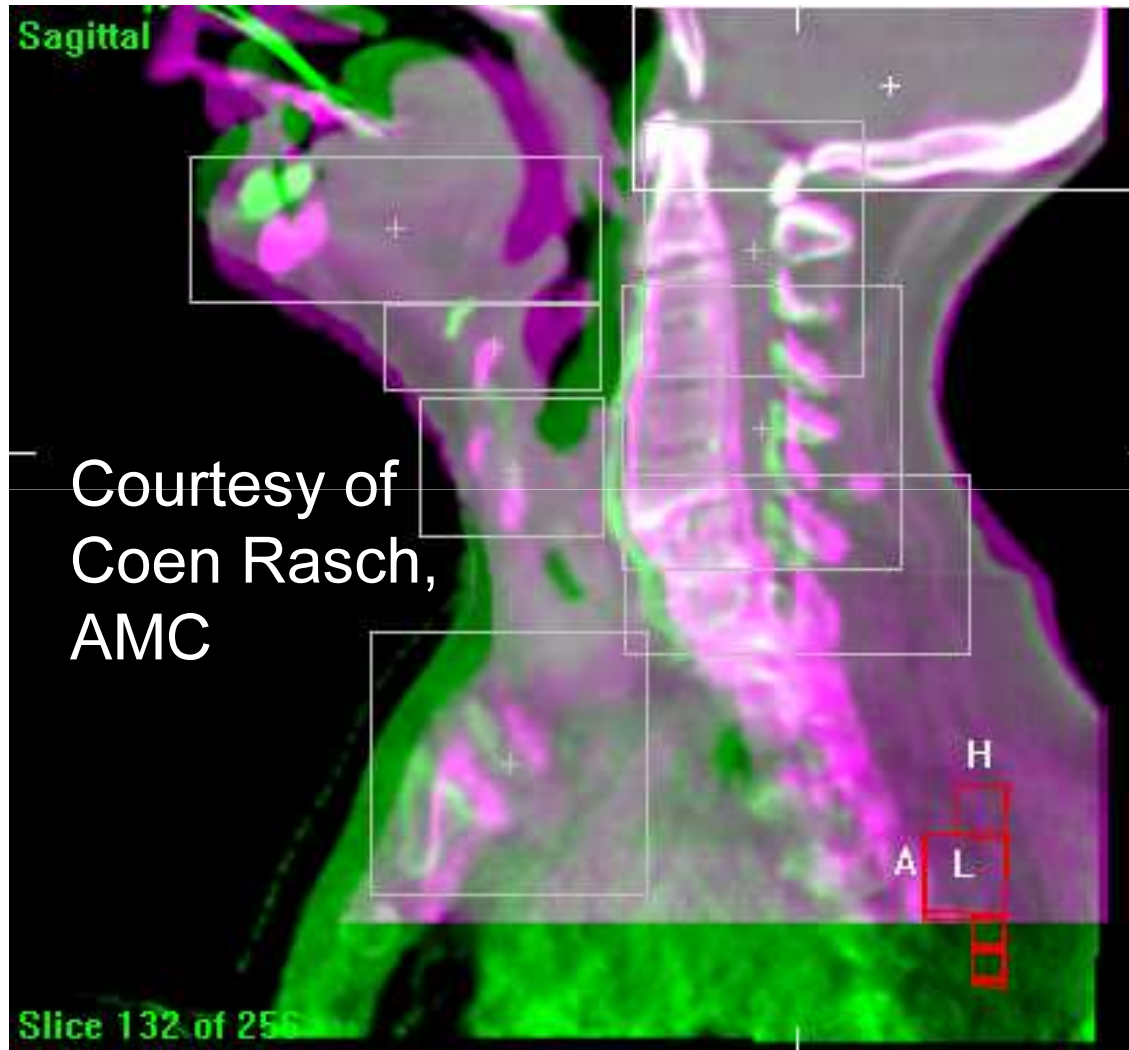




	Translation (MV±SD, cm)				Rotation (degrees)		
	x	y	z	Vector (cm)	x	y	z
Delta-Cast™ (Intracranial)	0.039±0.175	0.083±0.232	0.005±0.174	<b>0.312±0.152</b>	0.073±1.018	0.13±1.653	-0.25±0.0881
Thermoplastic masks (intracranial)	-0.02±0.227	0.23±0.233	-0.154±0.277	<b>0.472±0.174</b>	-1.47±1.75	-0.13±1.921	-0.06±2.18
Delta-Cast™ (neck)	-0.158±0.207	0.225±0.241	0.179±0.479	<b>0.586±0.294</b>	1.027±3.527	1.013±2.556	1.257±3.008
Thermoplastic masks (neck)	0.205±0.298	0.407±0.516	0.142±0.393	<b>0.726±0.445</b>	-0.2±2.31	-1.3±2.69	-1.09±2.02

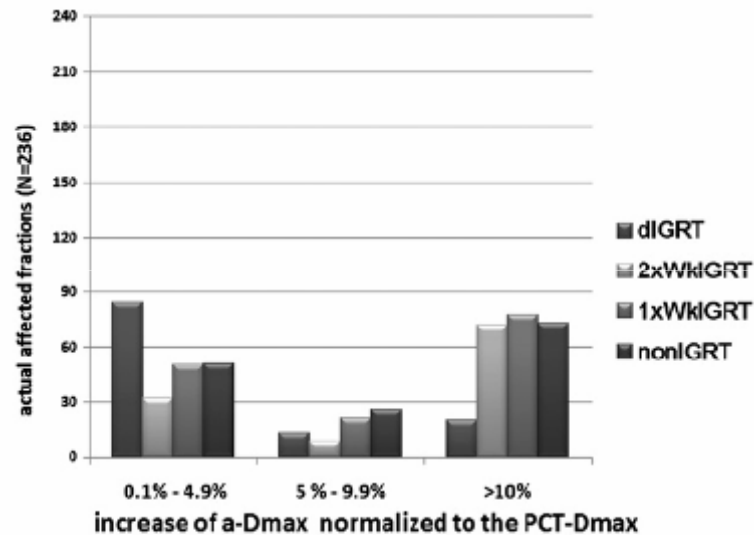
**Table 1.** Results with the example of automatic bony registration

# Neck Flexibility

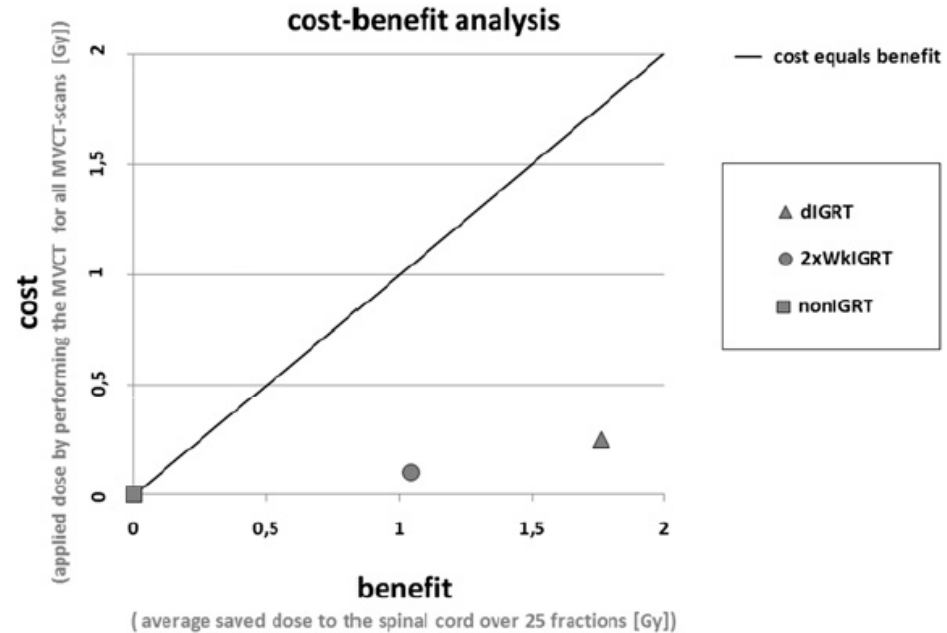


# Daily vs. less frequent Imaging: Spinal cord dose

Duma et al., IJROBP, 2013

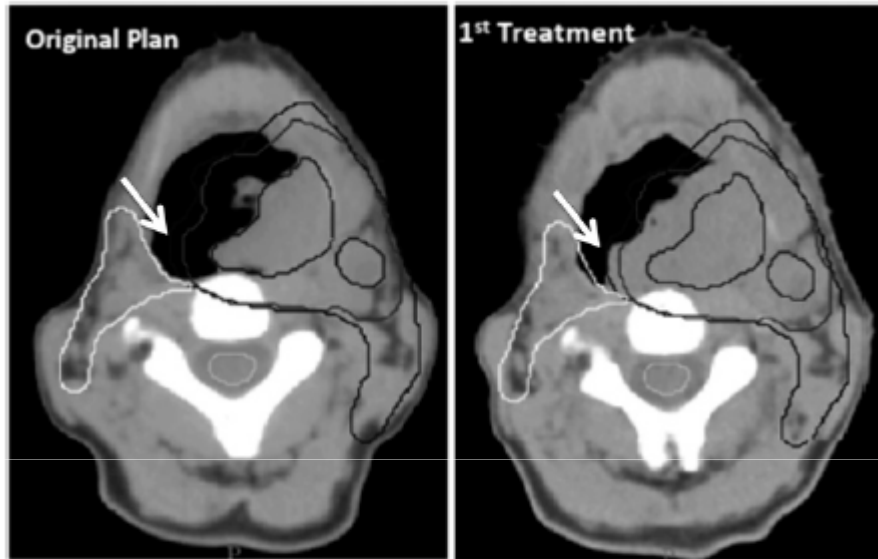


**Fig. 2.** The a-Dmax is higher than the planned Dmax. a-Dmax = actual delivered Dmax; plan-Dmax = Dmax on the planning kVCT.



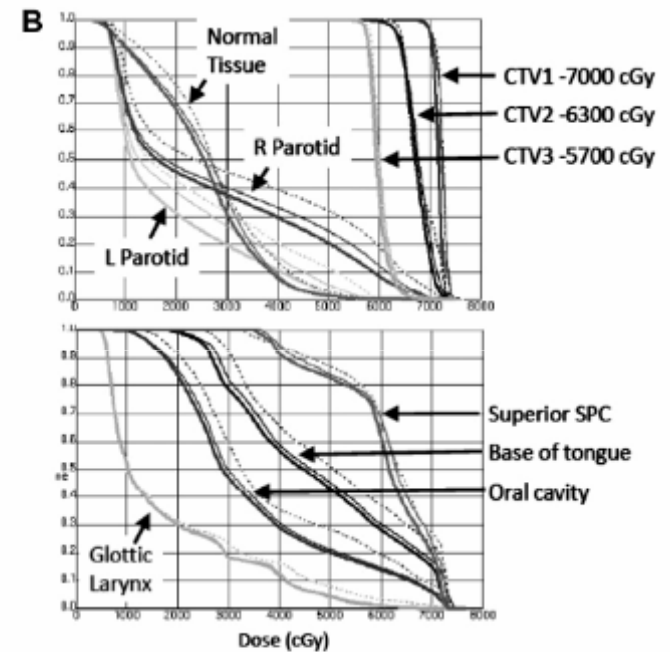
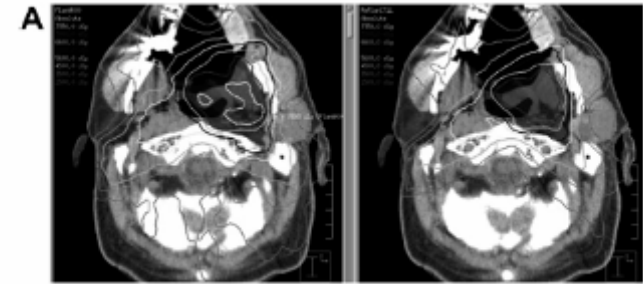
**Fig. 4.** Cost-benefit analysis of dIGRT and 2xWkIGRT compared to non-IGRT. Compared to the non-IGRT, the dIGRT and 2xWkIGRT scenarios save, on average, 1.76 and 1.04 Gy, respectively. That is calculated by the higher-than-planned Dmax for the non-IGRT (5.6%) minus the higher-than-planned Dmax for the dIGRT (1.2%); *e.g.*, 2.24 Gy – 0.48 Gy = 1.76 Gy; and for 2xWkIGRT, 2.24 Gy – 1.2 Gy = 1.04 Gy. The cost of one MVCT scan in the coarse mode is 0.01 Gy (dIGRT = 0.25 Gy; 2xWkIGRT = 0.12 Gy).

# ART (IMRT) – Dosimetric Benefits



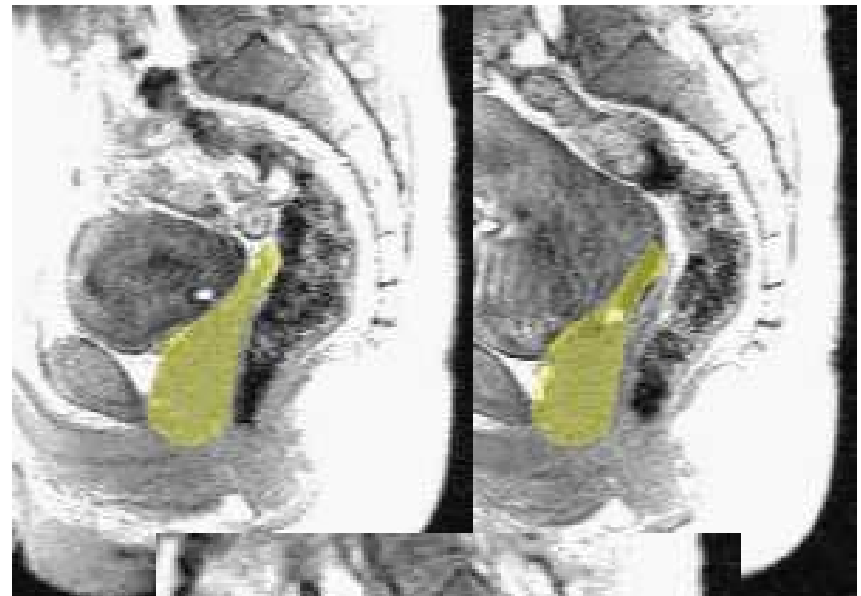
**Fig. 1.** Detection of rapid tumor progression prior to start of treatment. The original plan is shown to the left; patient's anatomy on first treatment day is shown on the right. Primary GTV progressed by >50%. Arrows designate site of geographic miss for CTV1.

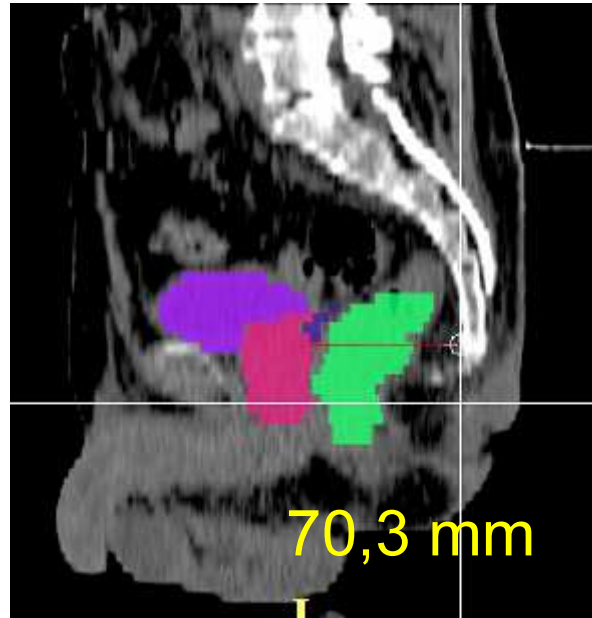
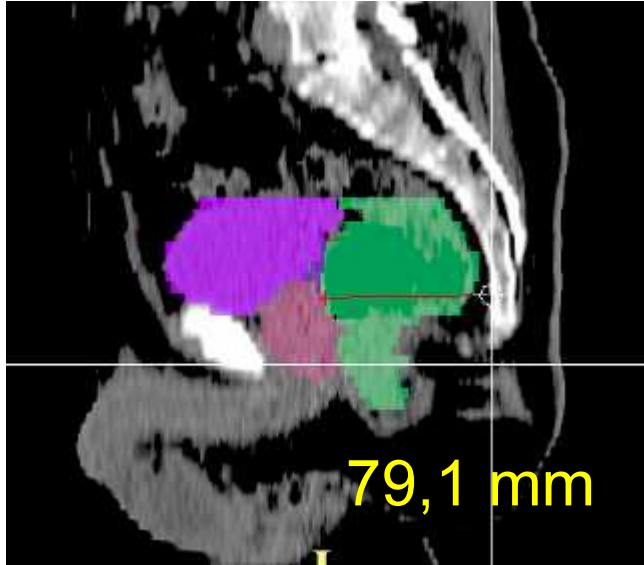
Try to keep Interval between CT and 1st RT short (2-3 days is possible)



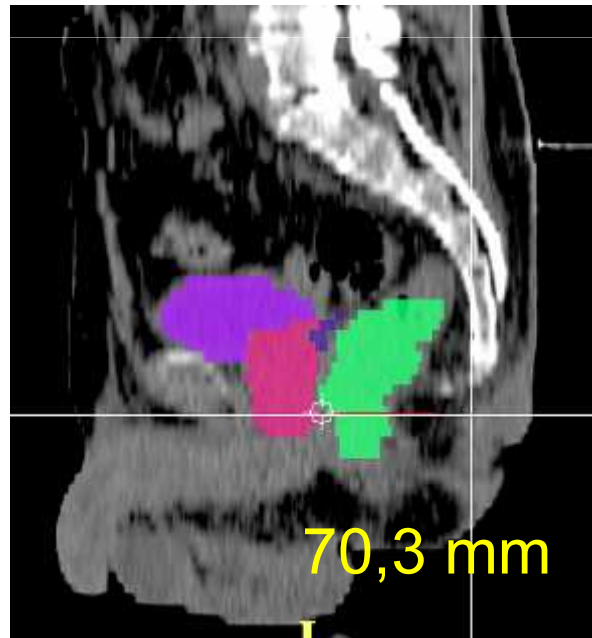
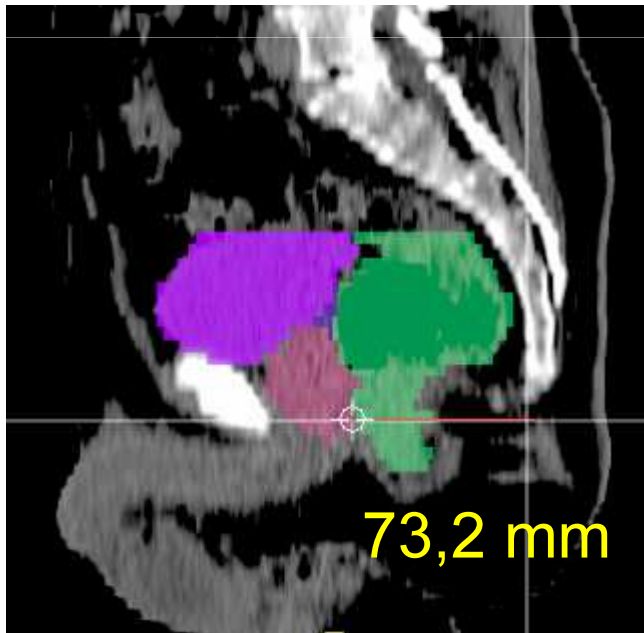
**Fig. 3.** (A) Right: Emergence of dose heterogeneity within high-risk CTV1 in a tonsillar carcinoma case at treatment fraction #11; Left: Restoration of intended dose distribution within CTV1 by adaptive replanning without PTV margin expansions. (B) DVH comparison for the original IMRT plan of this case (dotted lines), ART1 replan designed on treatment day 15 (thin solid lines), and the ART2 replan (thick solid lines), all re-calculated on CT anatomy obtained on 25th treatment day.

Schwartz et al., R&O, 2013





$\Delta=8,8$  mm



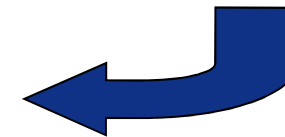
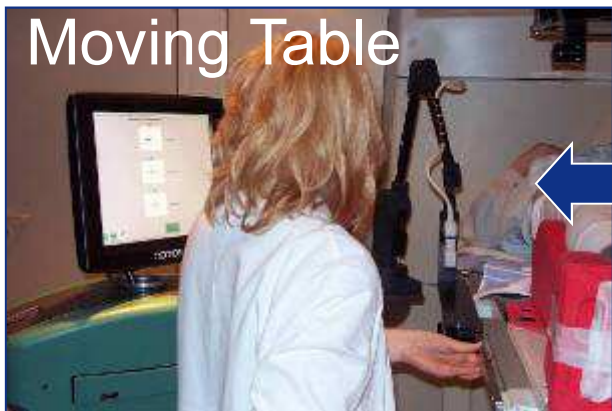
$\Delta=1,9$  mm

## Precision Immobilization for Pelvic Tumors



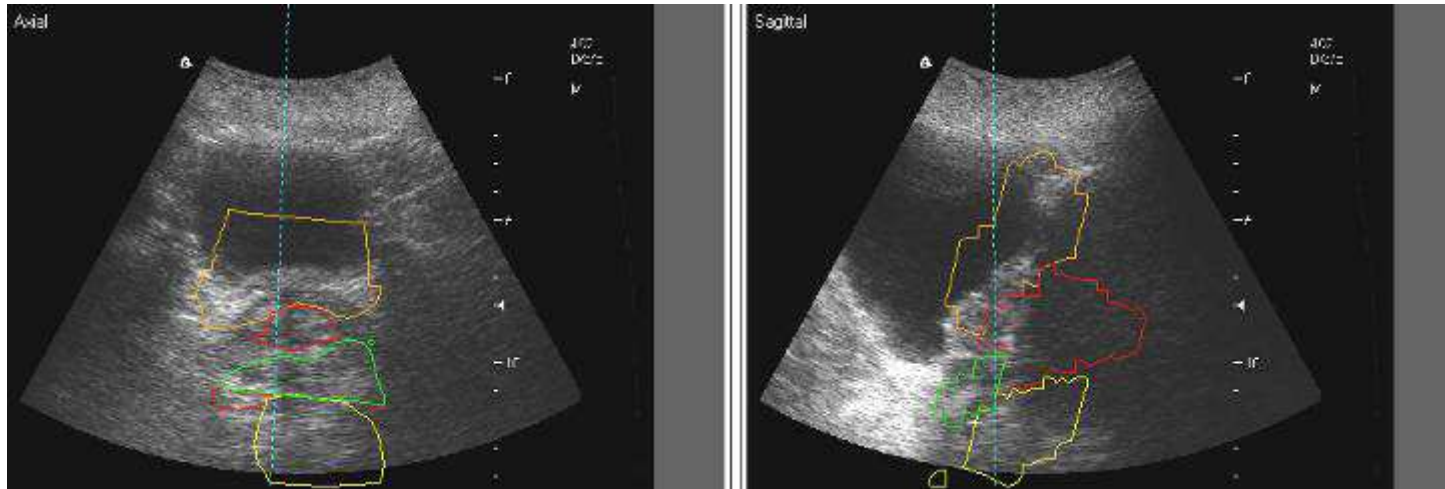
Herfarth et al., Strahlentherapie, 2000

# BAT-Procedure

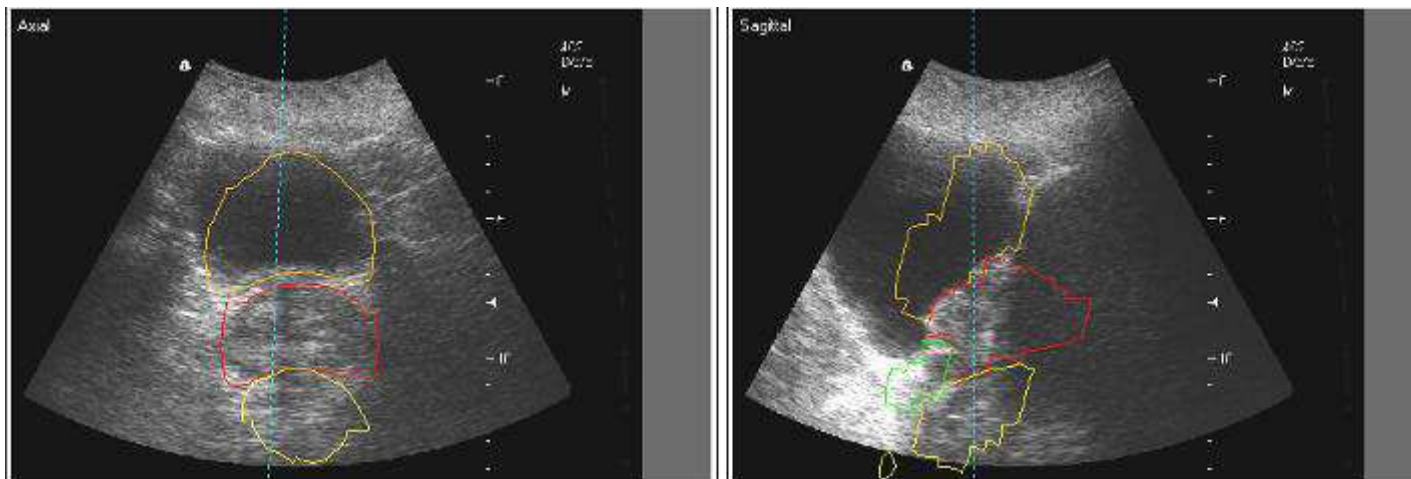




## Status pre Correction

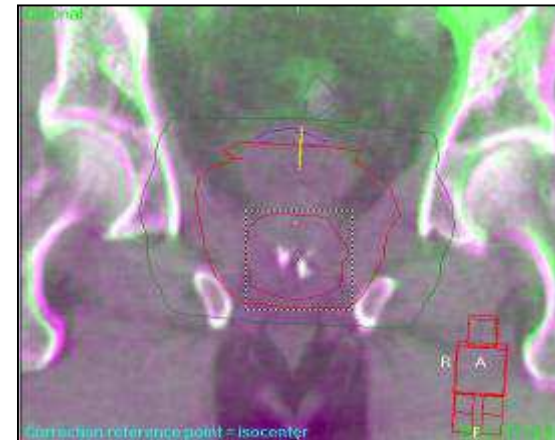
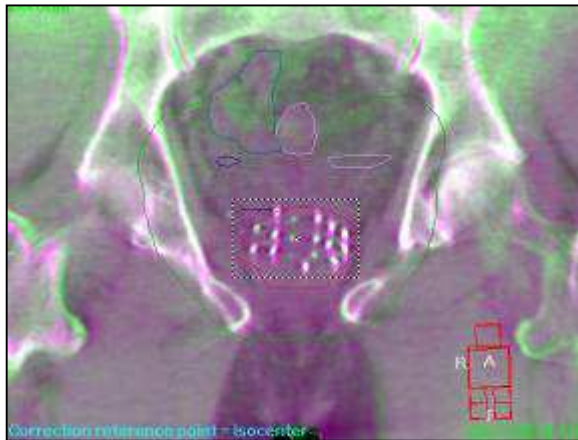


## Status post Correction

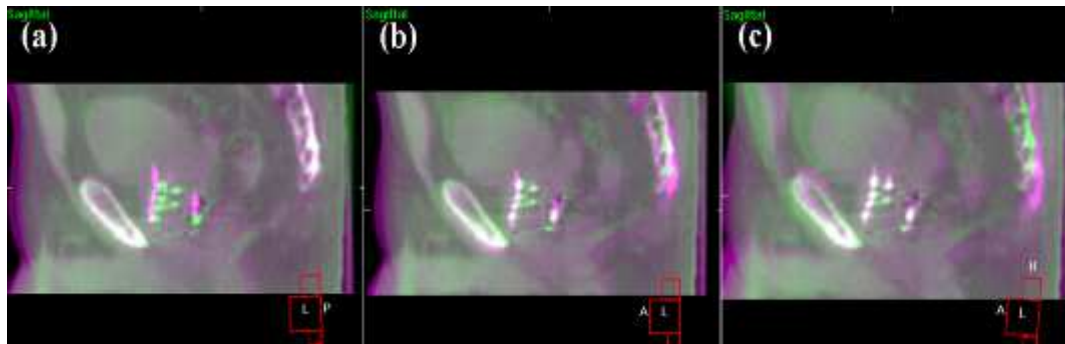


# XVI with natural or artificial Fiducials

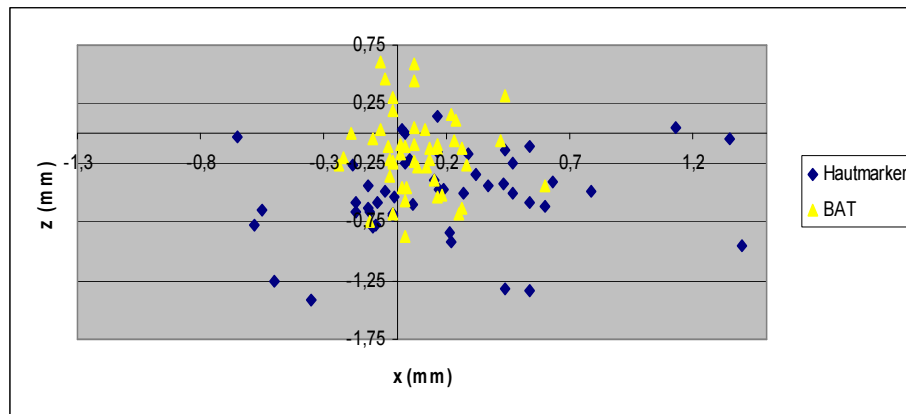
J. Boda-Heggemann/F. Köhler,  
IJROBP, 2008



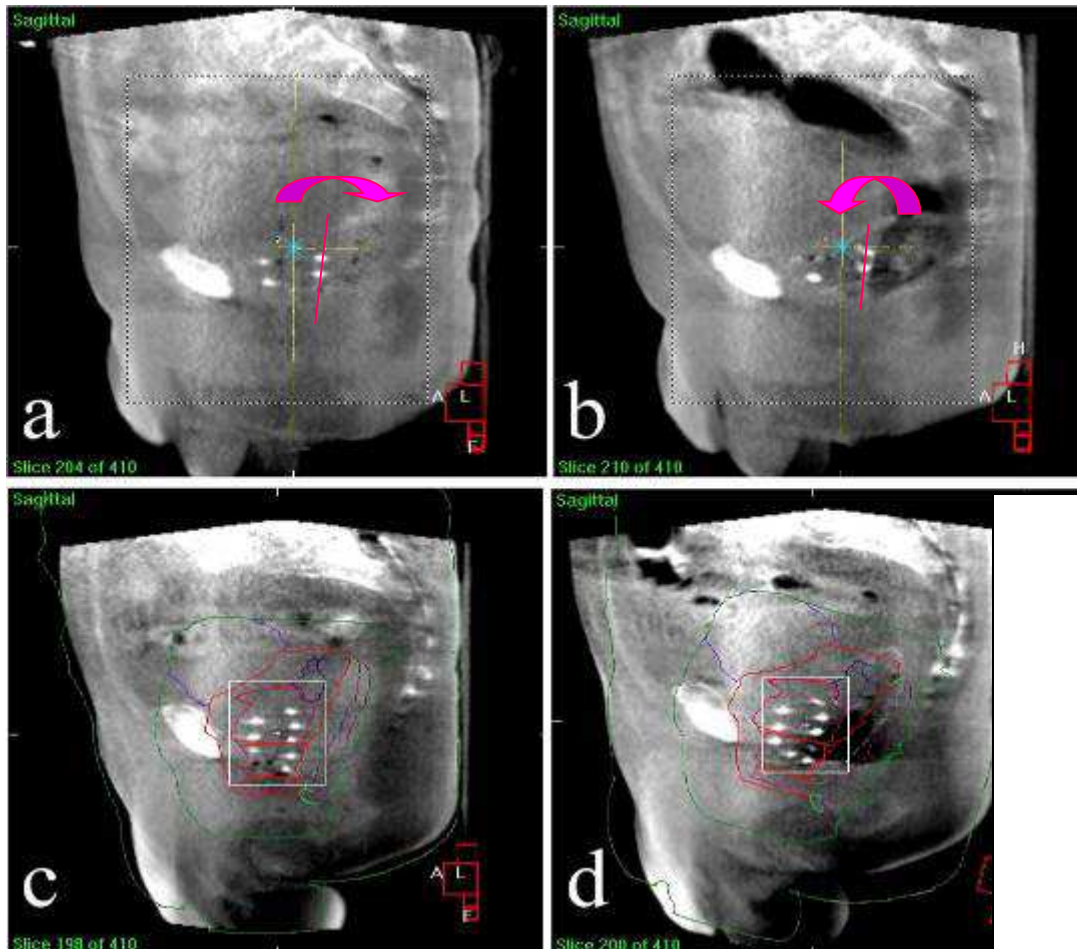
# Correlation Ultrasound/XVI



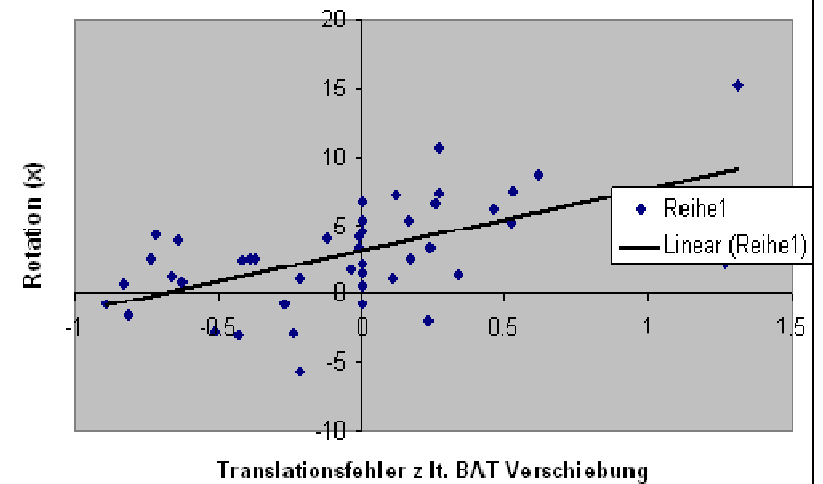
J. Boda-Heggemann/F. Köhler,  
IJROBP, 2008

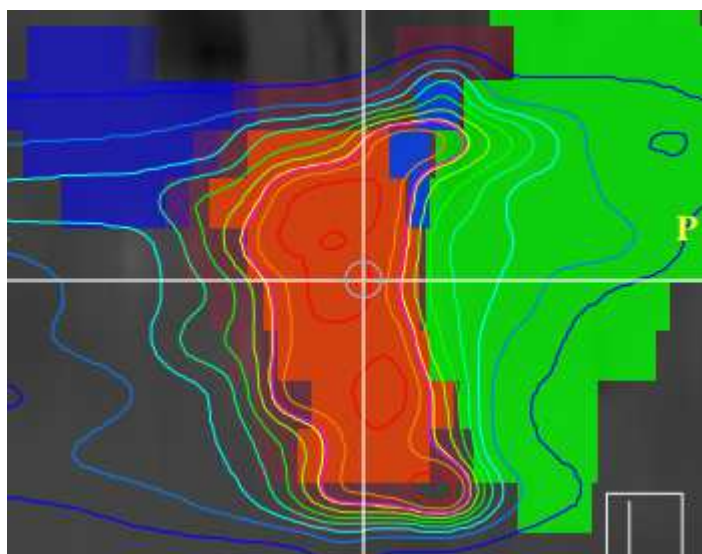
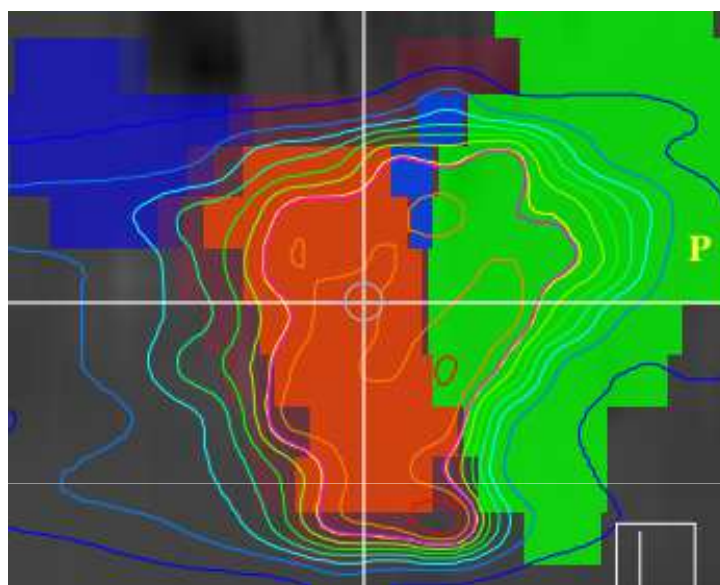
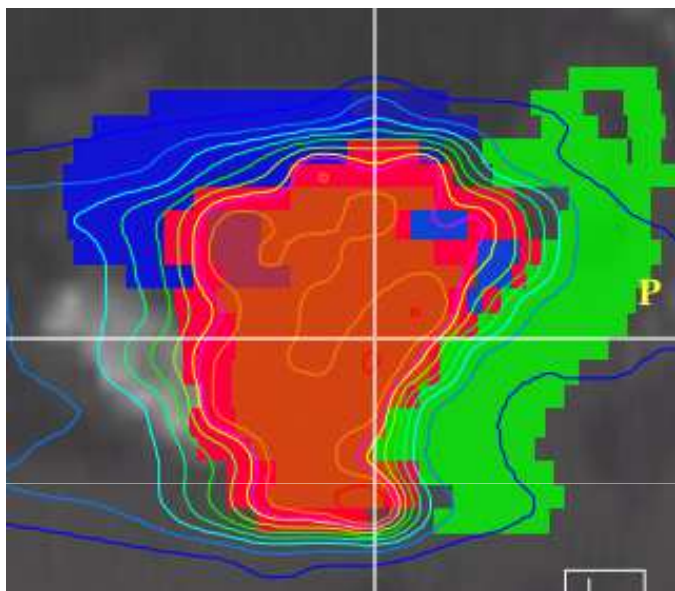


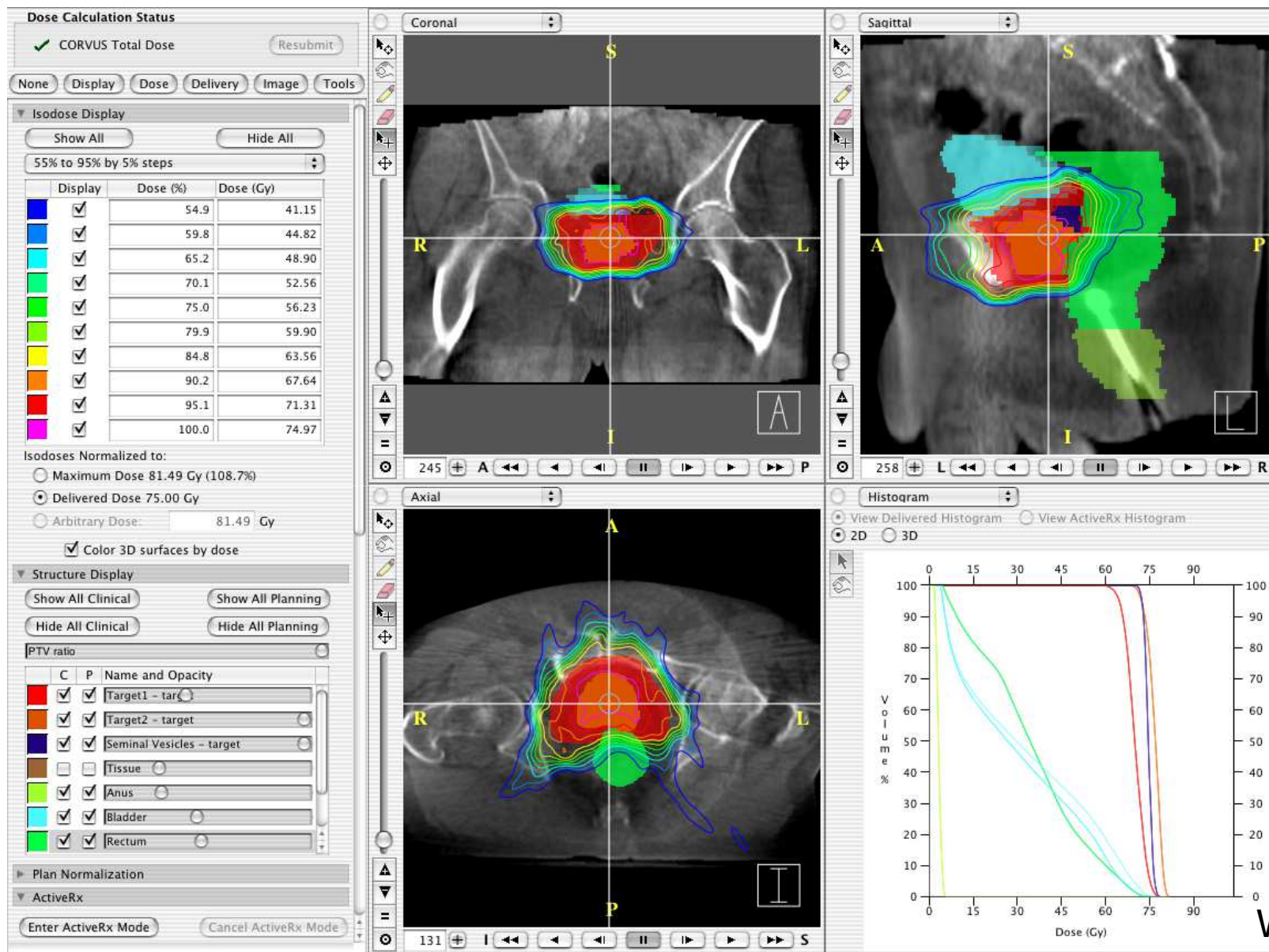
		Translation (mm)		
n=54		x	y	z
Skin marks	overall MV±SD	2.1±4.6	-4.8±8.5	-5.2±3.6
	M	2.7	-4.4	-4.8
	Σ	3.3	3.7	1.7
	σ	3.9	6.7	3.5
Bony anatomy	overall MV±SD	0.1±1.8	-3.5±6.8	-1.9±5.2
	M	0.2	-3	-2
	Σ	0.9	1.8	1.3
	σ	1.1	3.7	4
Soft tissue Repositioning (BAT®)	overall MV±SD	0.6±1.7	0.9±3.2	-1.7±3.5
	M	0.5	0.5	-1.7
	Σ	0.8	2.2	2.3
	σ	1.4	2.8	3



BAT-Verschiebung vs Rotation um x Achse







Wertz/  
 Abo  
 Madyan

# HexaPOD®

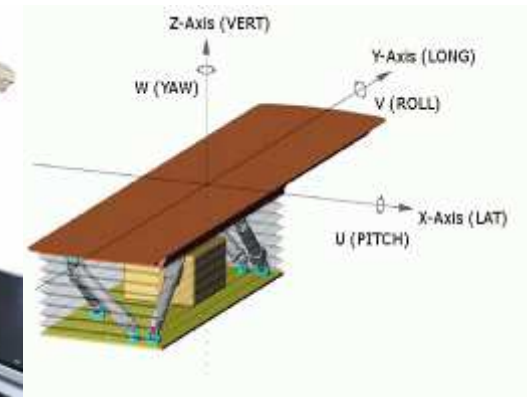


CBCT  
Volume-Scan



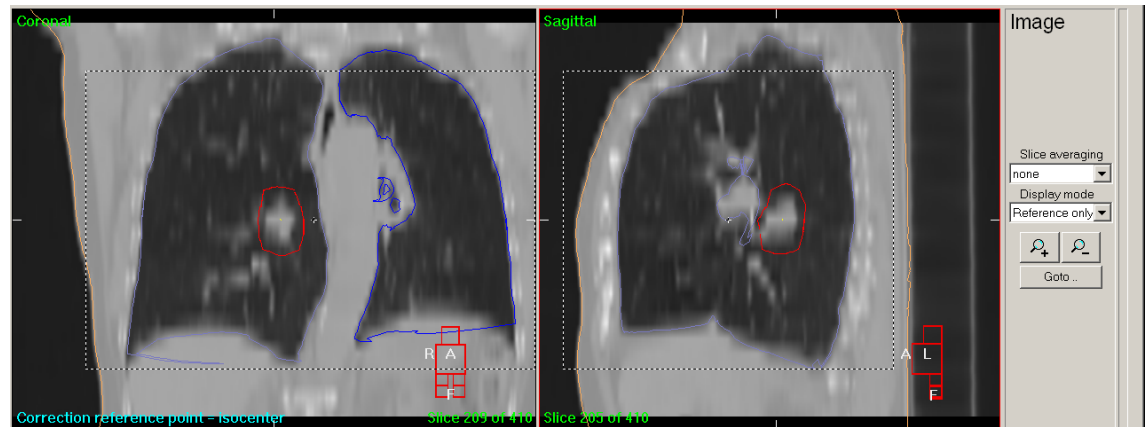
Position Error Translation (cm)		Rotation (dg)	
X	0.77	X	-1.4
Y	-1.25	Y	-0.9
Z	-1.14	Z	1.1

Verschiebungs- und  
Rotationsfehler

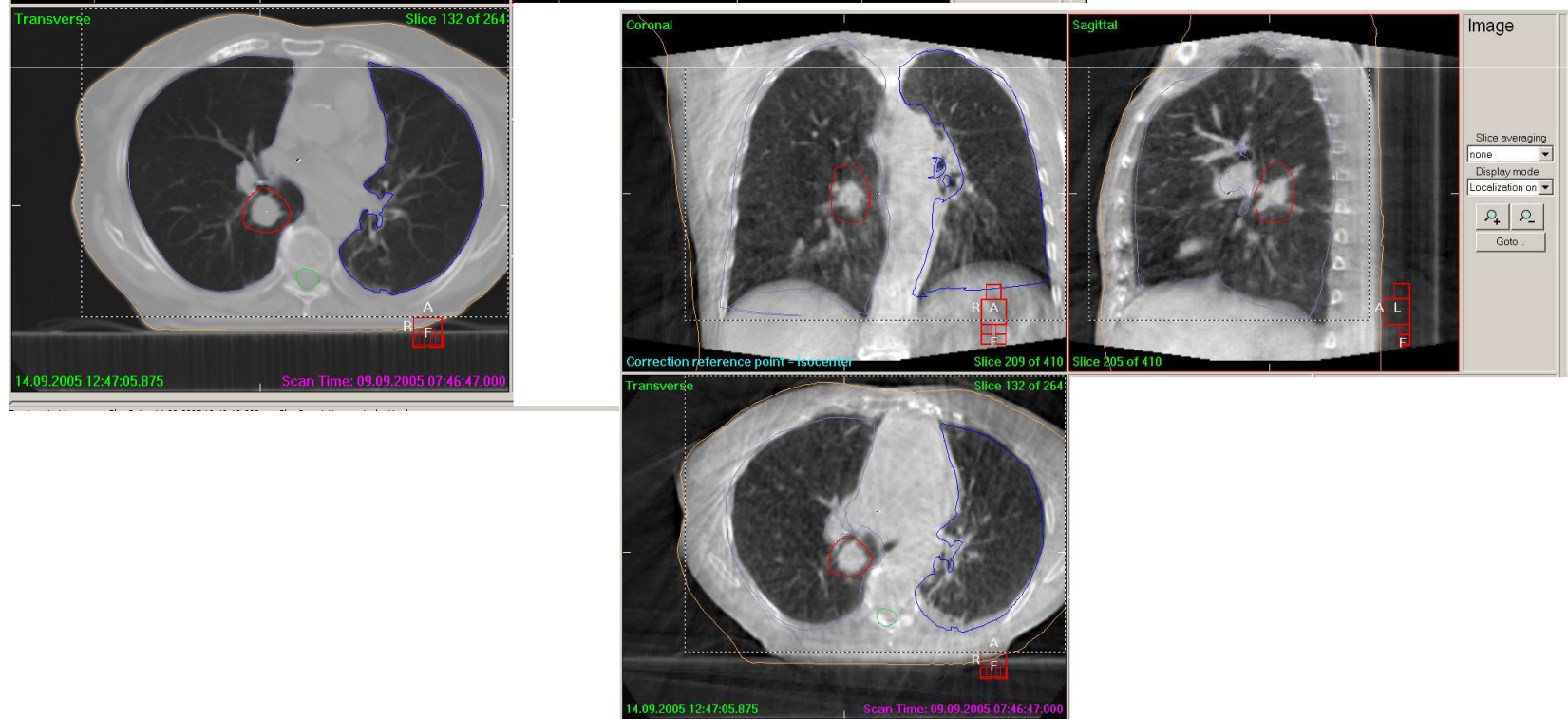


Positionskorrektur in 6  
Freiheitsgraden  
(HexaPOD®)

# IGRT: CBCT



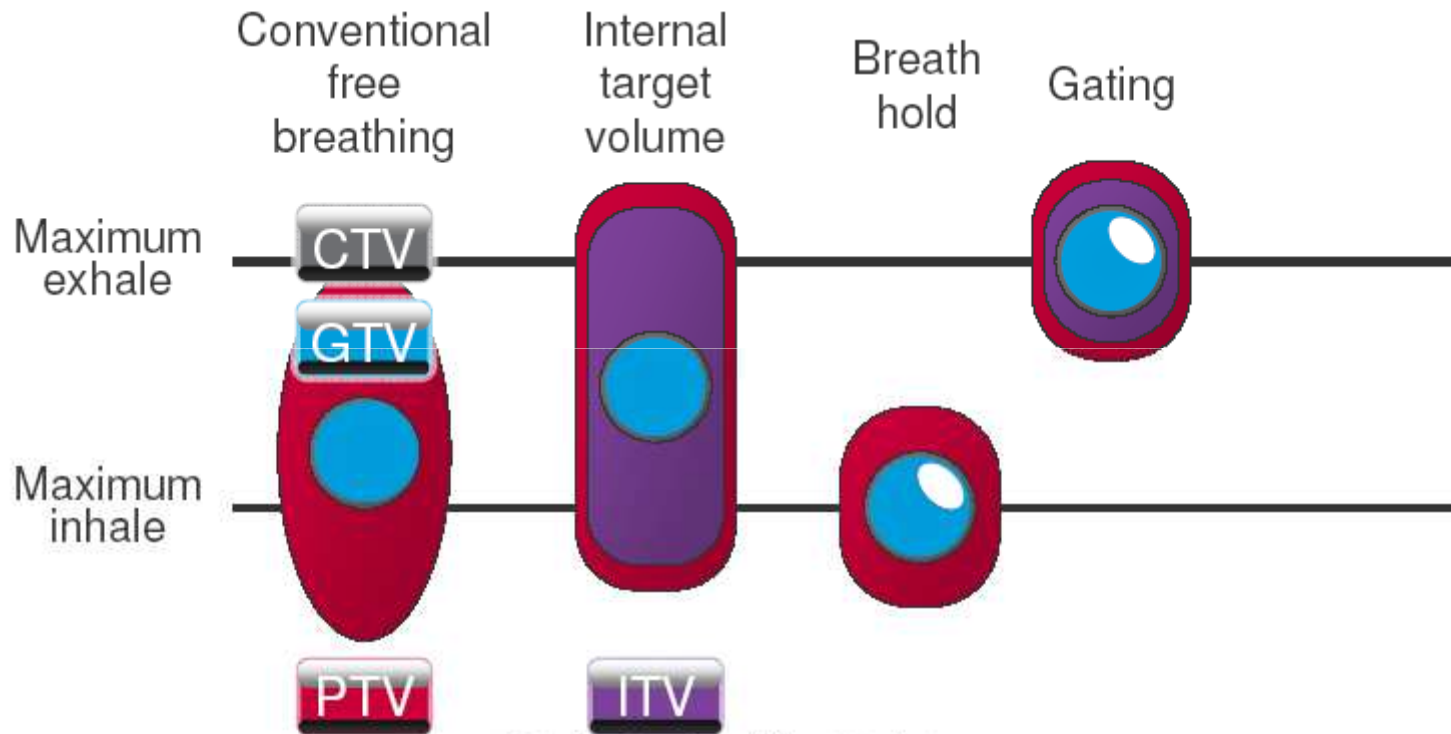
Fuss, Boda Heggemann, Salter  
Medical Dosimetry, 2006





# Why?

## Planning Concepts – current techniques

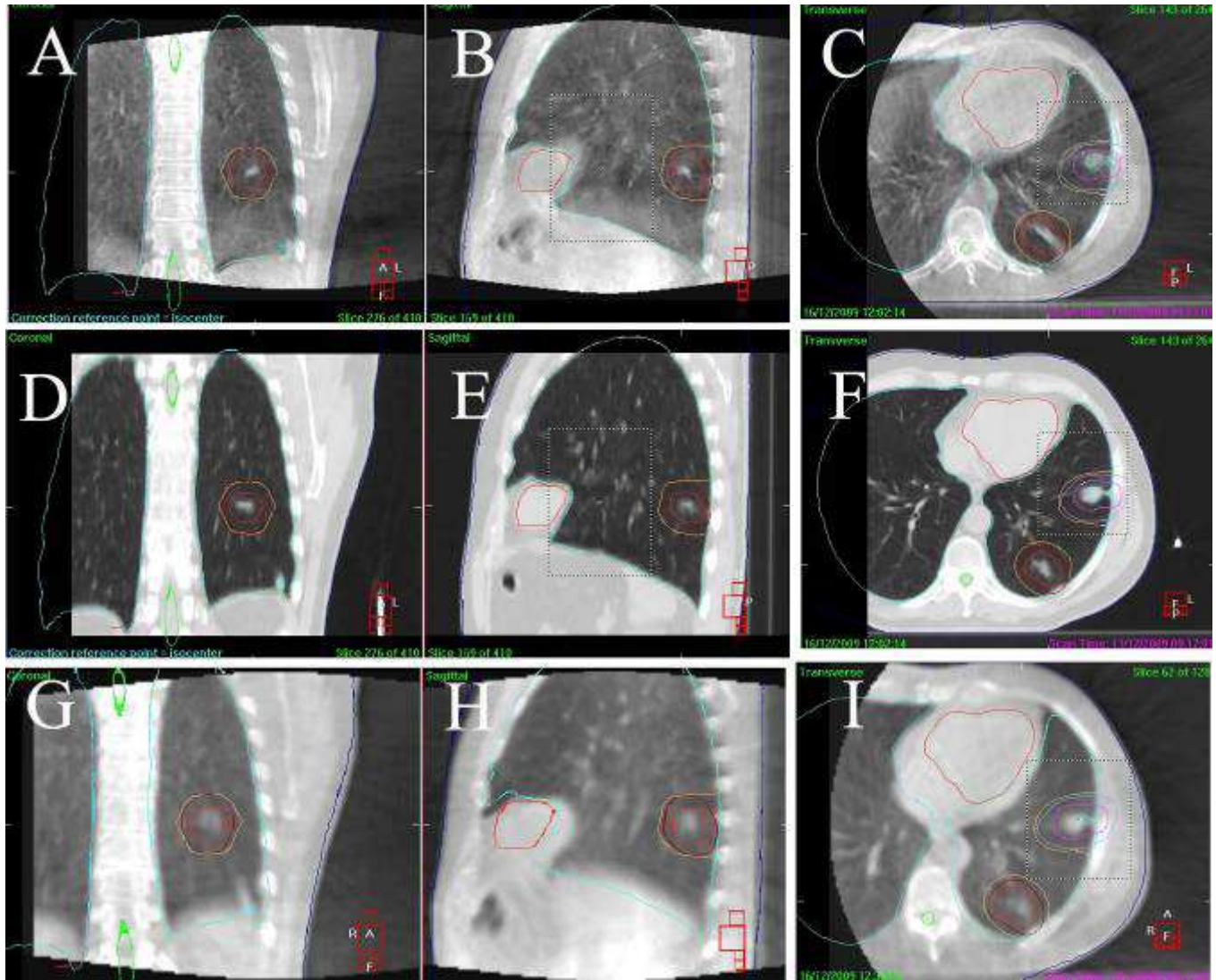


*Adapted from Comparison of different strategies to use four-dimensional computed tomography in treatment planning for lung cancer patients. Wolthaus et al.*



Boda Heggemann et al., Strahlentherapie, 4/2006  
Küpper, MTA, 2/2006 & 4/2006

Boda-Heggemann et al., Radiother Oncol, 2011

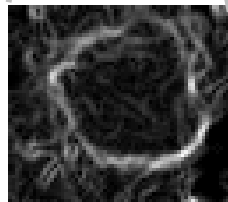
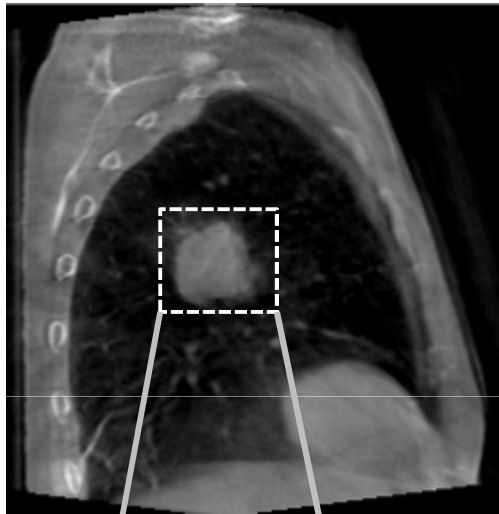


CBCT  
(ABC Breath hold)

Planning CT  
(ABC breathhold)

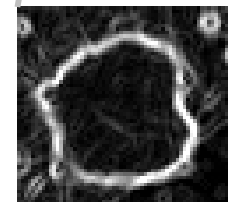
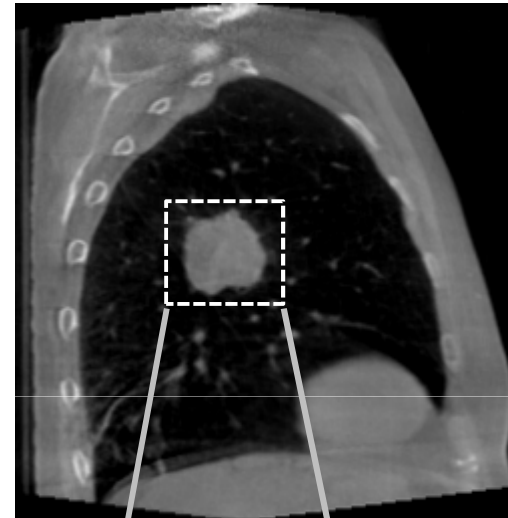
CBCT  
Free breathing

# CBCT (XVI) with ABC in partial breath-hold vs. total breath-hold



deep inspiration  
60 % breath-hold vs. 100 %

gradient image



Boda-Heggemann, Jahnke et al.,  
ASTRO/DEGRO 2014

# 4D-CBCT

Sweeney  
et al.,  
Radiation  
Oncol,  
2012

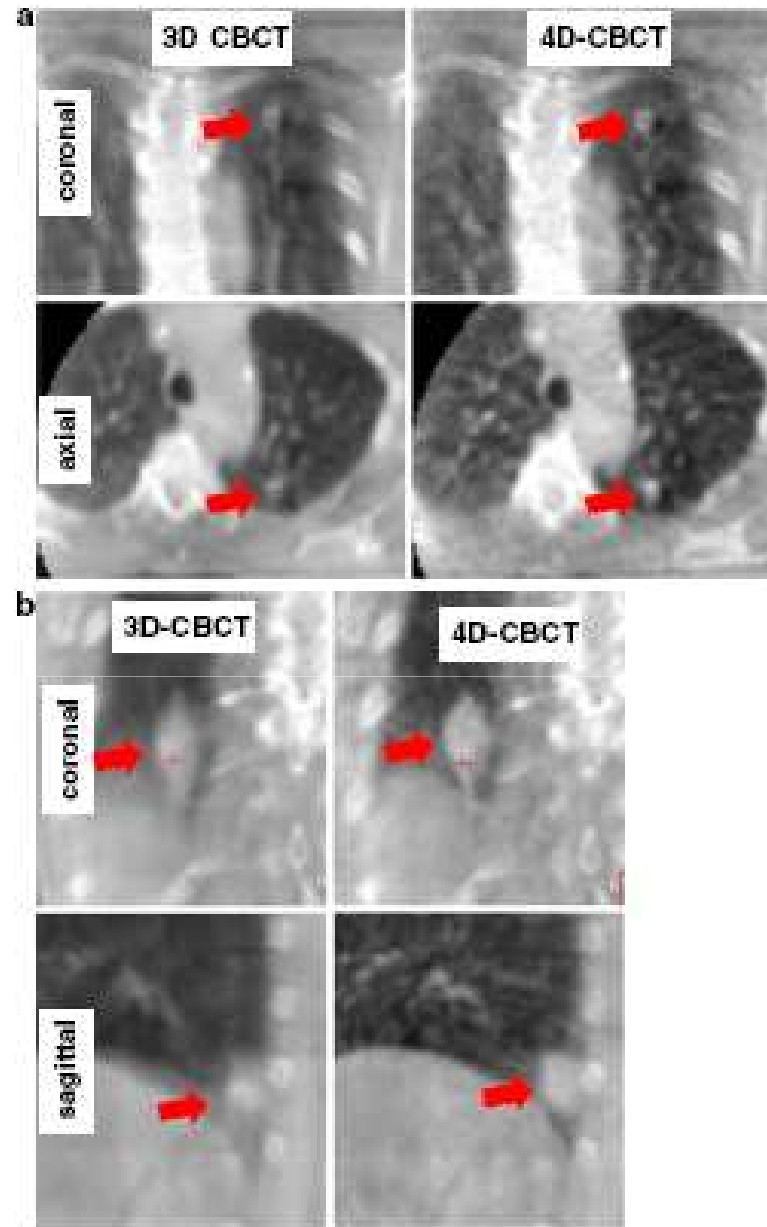
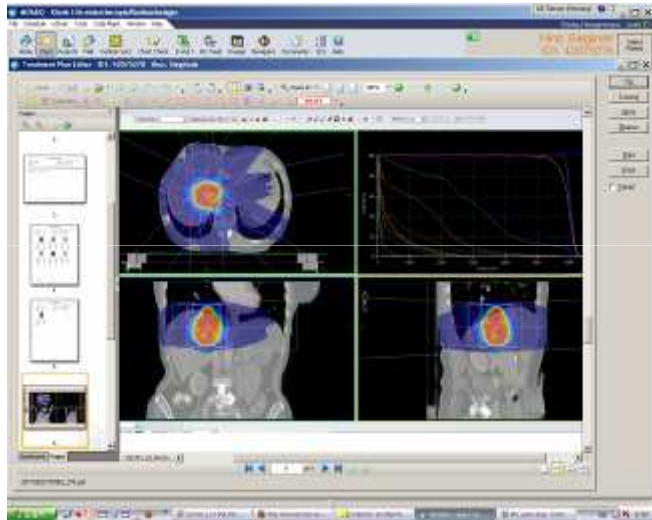
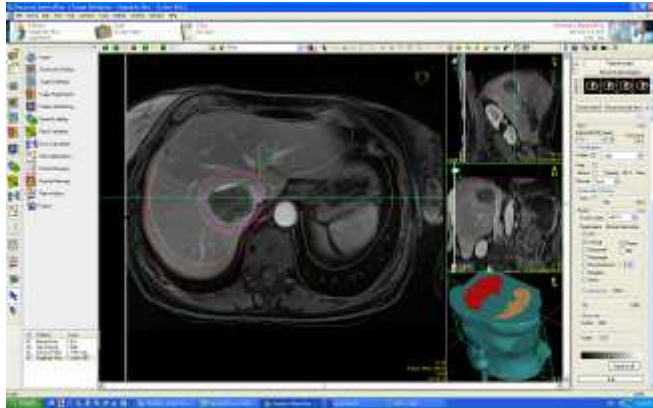


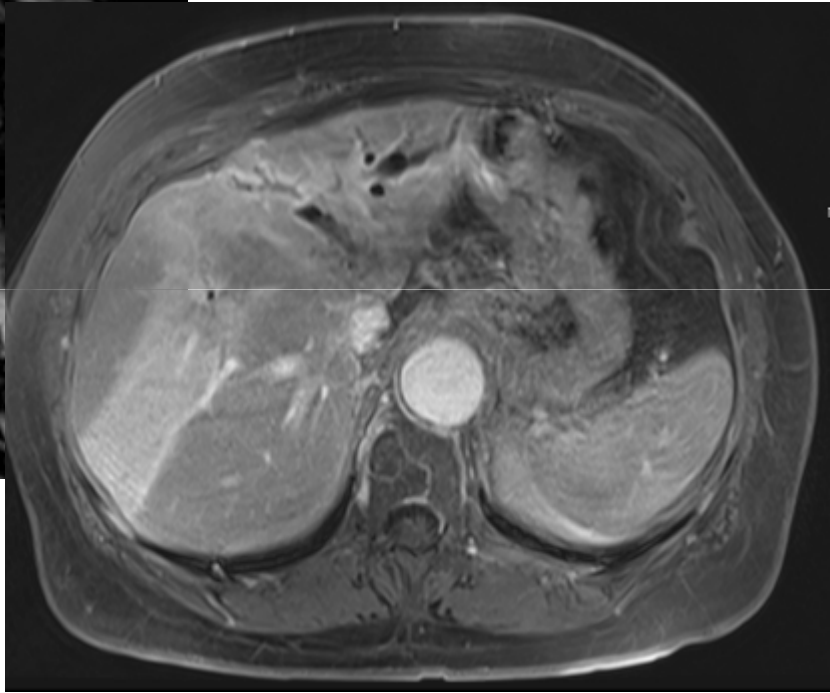
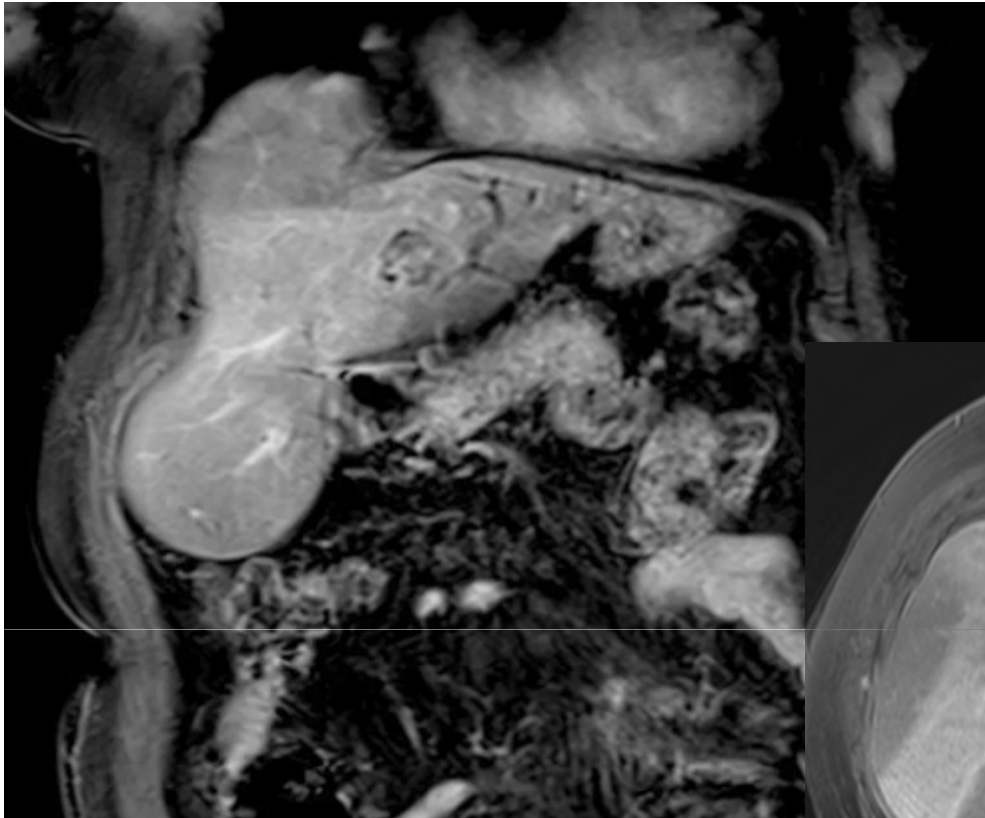
Figure 4 Examples of image quality in 3D-CBCT and 4D-CBCT. The arrow indicates the position of the target: a) Small target in the upper lobe with a 3D motion amplitude of 9.3 mm, where averaged image quality was 3.0 and 1.8 in 3D-CBCT and 4D-CBCT, respectively. b) Target in the lower lobe immediately superior to the diaphragm with a 3D motion amplitude of 16.6 mm, where averaged image quality was 3.0 and 1.3 in 3D-CBCT and 4D-CBCT, respectively.



Study Review Patient: EB01\_Sep04 | 42010270 | Study SA: 12162-1013 Treatment Machine: EB1-SYNERGY User: K100010

Daily Alignment	Reference	Patient Information	Set Contours
		<p>Approval Number: 1 Treatment Unit: EB1-SYNERGY            Initial Alignment: 4 Year: 2011            Session: 354 Default US Contour Set: Med. In</p> <p>Contour Measurements: Total Contour Measurements: 2            Contour Right 0.706cm, Contour Up 0.11cm, Contour Left 0.102cm            Contour Left 0.090cm, Contour Up 0.000cm, Contour Right 0.002cm</p> <p>Total Contour Measurements:            Contour Right 0.706cm, Contour Up 0.11cm, Contour Left 0.102cm</p>	<p>Align as Reference Alignment</p> <p>Alignment Selection  <input type="checkbox"/> Auto <input type="checkbox"/> Manual</p> <p>Daily Comment            FUJEP5R, Cox@k100010/2011 5:02:06 AM</p> <p>Last Report Status: All Done</p>
<p>Contours Legend</p> <ul style="list-style-type: none"> <li>Green: Lungs</li> <li>Red: Esophagus</li> <li>Yellow: Mediastinum</li> <li>Blue: Spine</li> </ul>	<p>Structures</p> <p>Dose %</p> <p>Isocenter</p>	<p>Previous</p> <p>Next</p> <p><input type="checkbox"/> Enable/Disable Graphics</p>	<p>Print</p> <p>Export</p> <p>Done</p>

6/25/2011 6:39 AM





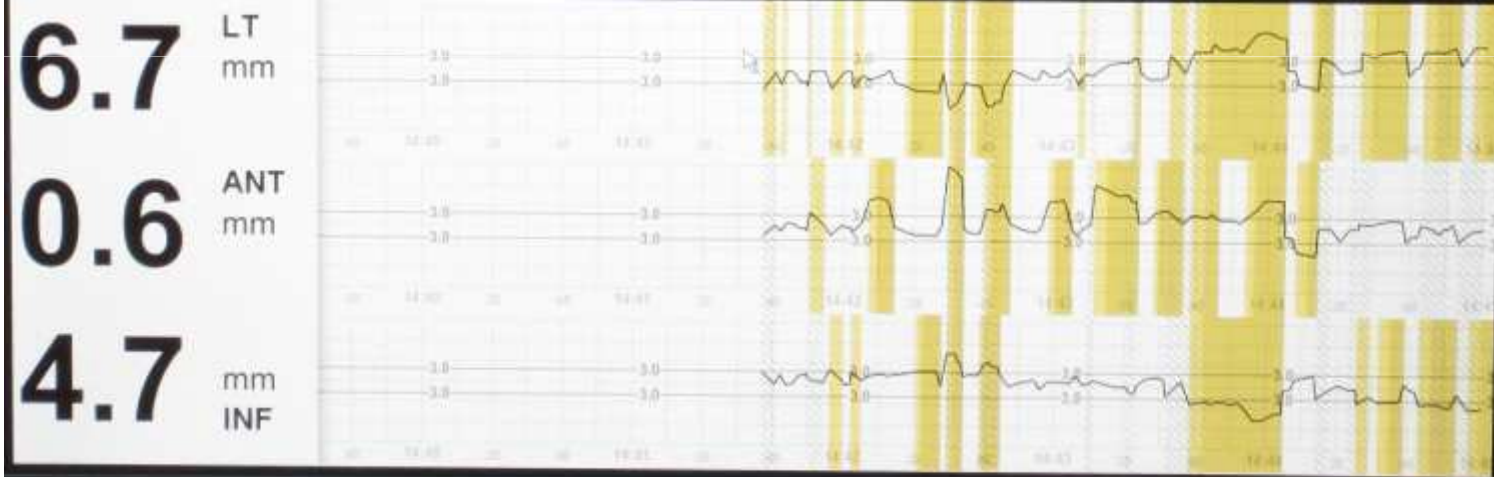


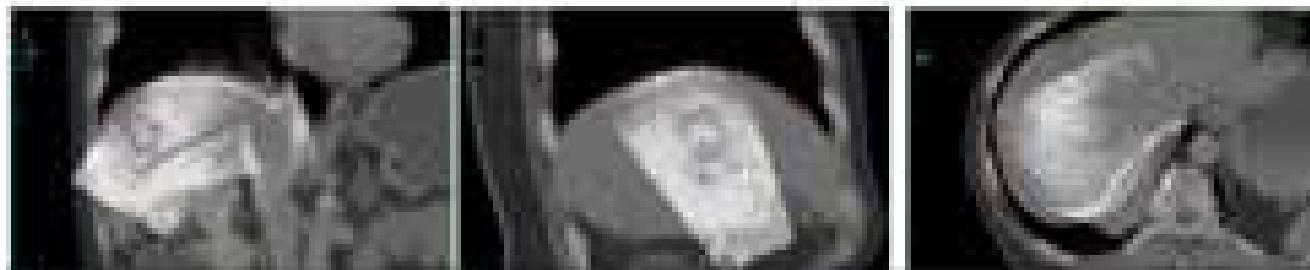
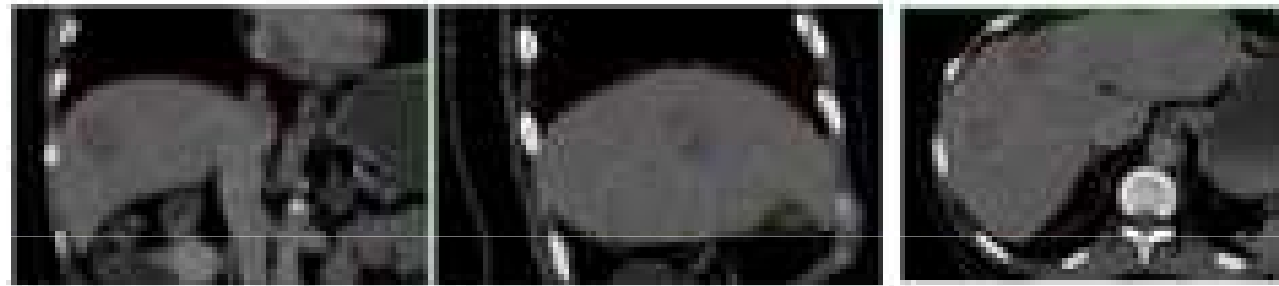
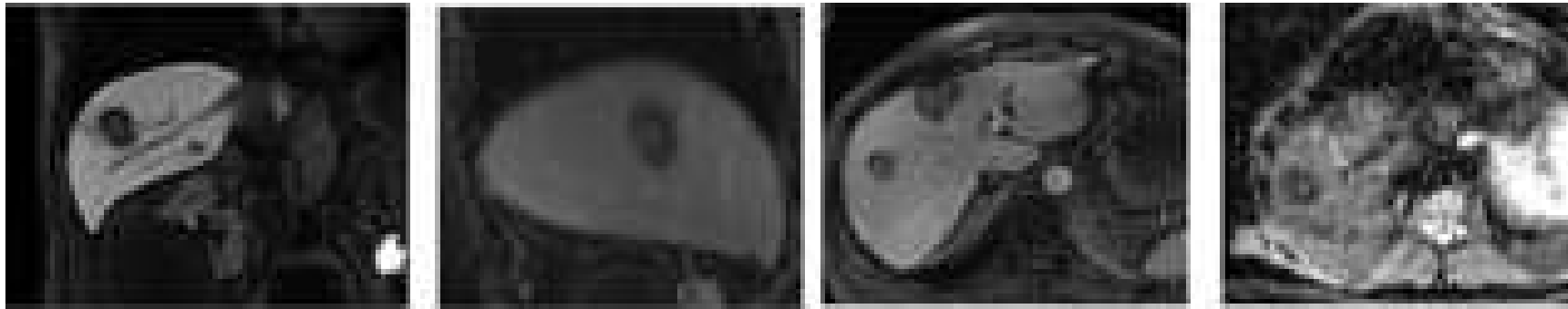


Out of thresholds.  
Target location detected beyond threshold  
4.7 LT 0.6 ANT 4.7 INF

Verify prostate position.  
Probe has moved relative to the CR.

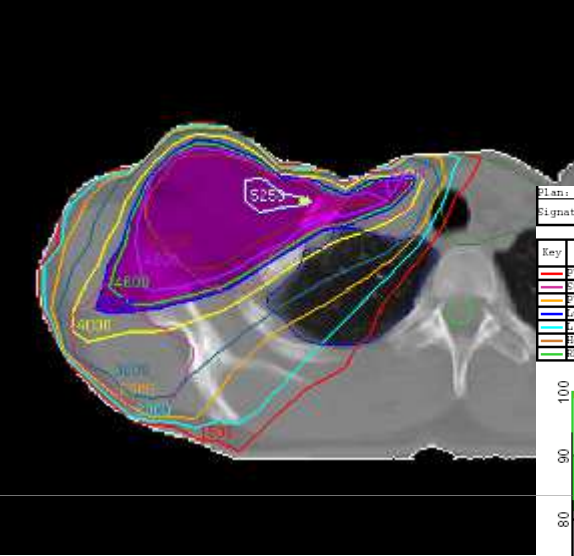
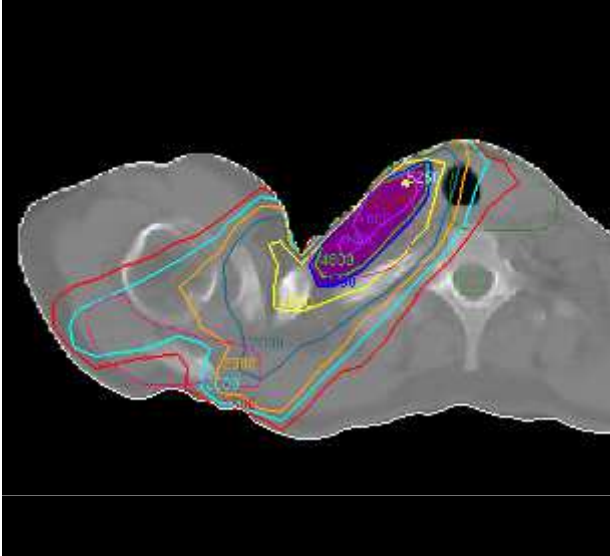
Hide Contours





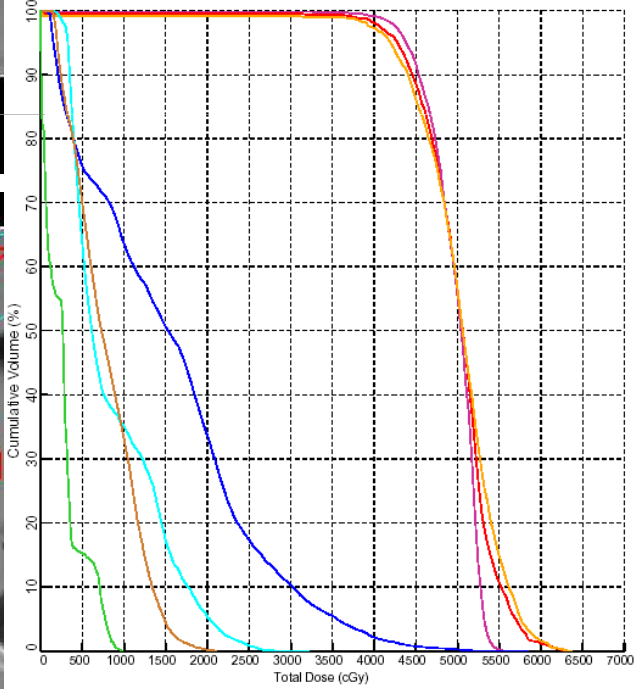
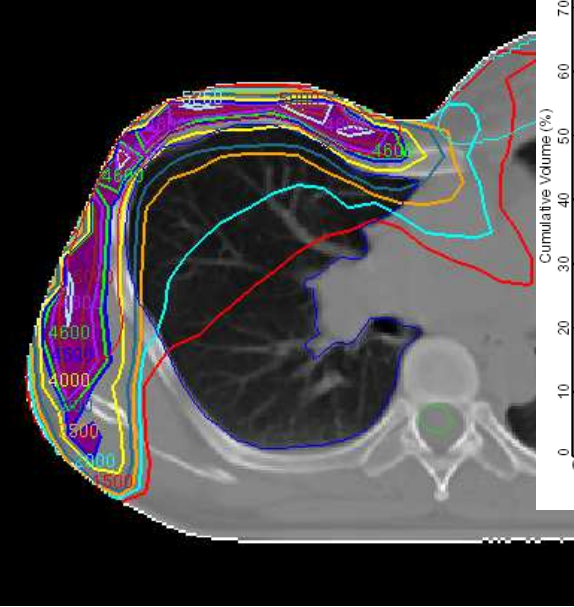
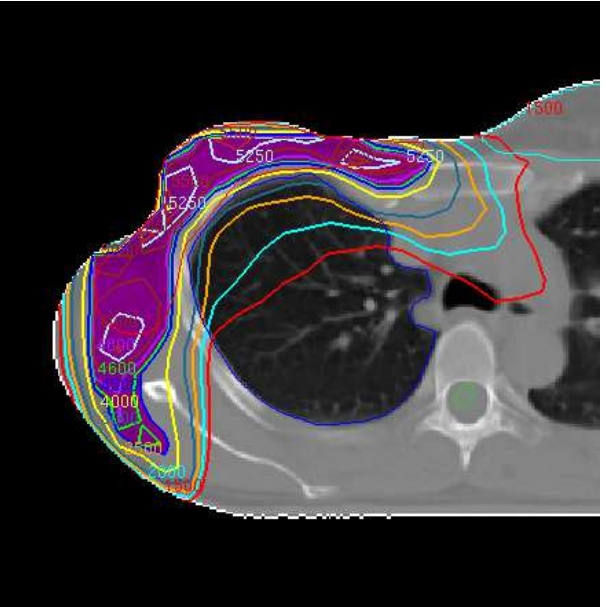
Boda-Heggemann et al.,  
In preparation

# Thoracic Wall IMRT



Plan: 37    Plan 36 - hot spots out    Plan Date: 23-MAY-2006 22:54  
 Signature: 8 Beams - 35 segments - purged for <3 MUs - Flash region added

Key	Structure	Plan	Min Dose (cGy)	Max Dose (cGy)	Mean Dose (cGy)	Total Vol (cc)
PTV	Renken Heike (37)	Whole PTV	0	4283	5000	774.0
SCLN	Renken Heike (37)	Supraclavicular LN	0	5543	4962	261.3
PTV 2	Renken Heike (37)	Chest Wall	0	6334	5000	461.7
Lunge re	Renken Heike (37)	Ipsilateral lung	10	5772	1552	1635.0
Breast	Renken Heike (37)	Contralateral Breast	0	3197	886	632.5
Heart	Renken Heike (37)		14	2093	785	409.3
M	Renken Heike (37)		0	96	258	60.3



# Positioning for Thoracic Wall IMRT

**Pre Correction**

Correction reference point = Isocenter

Position Error Translation (cm): X: 0.00, Y: 0.00, Z: 0.00

Rotation (dg): X: 0.0, Y: 0.0, Z: 0.0

**Post Correction**

Correction reference point = isocenter

Position Error Translation (cm): X: 0.90, Y: 2.19, Z: -1.49

Rotation (dg): X: 356.2, Y: 359.9, Z: 357.7

Table Correction (cm): Lateral, Longitudinal, Vertical

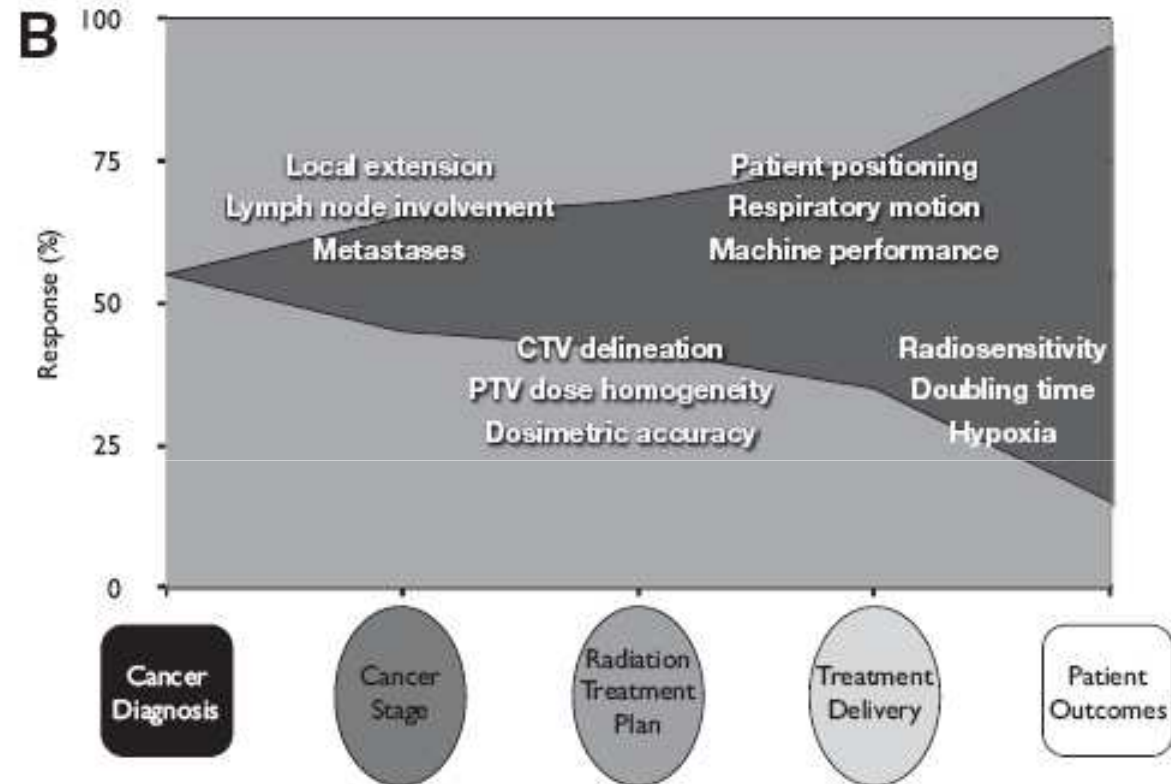
Reference Preset: Scan, Alignment Clipbox, Structures

Alignment: Automatic, Bune, Reset, Convert To Correction

Buttons: Dismiss, Accept

## Influence of IGRT on clinical outcome

*„Although it may be difficult to directly evaluate the limited evidence for IGRT it is possible to examine improved clinical outcomes that have been enabled by IGRT“*

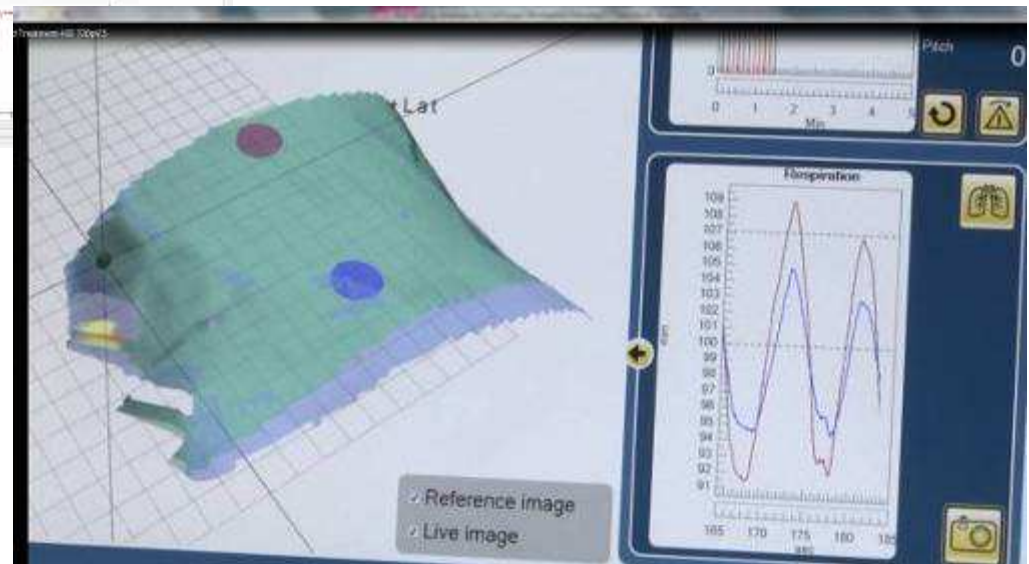
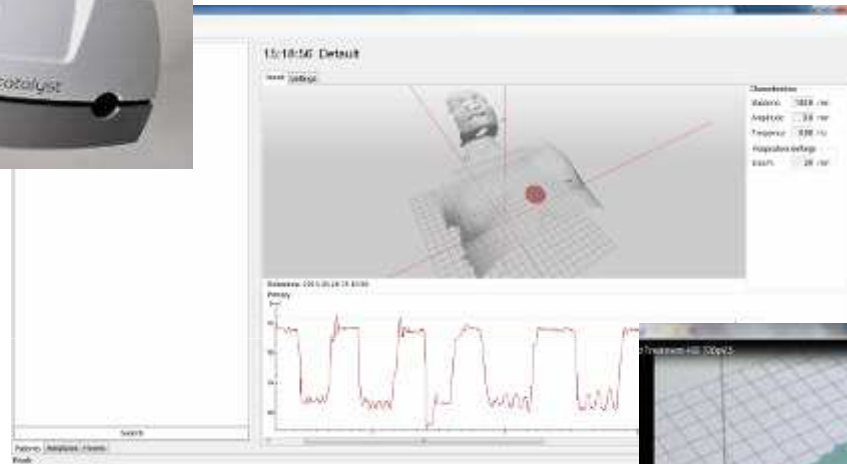


Bujold, Craig, Jaffray, Dawson, Sem Rad Onc, 2012

*“Although there are many guidelines for the quality assurance of IGRT equipment, there are few that specifically highlight the role of IGRT as quality assurance or the potential of IGRT to reduce patient treatment incidents”*

Bujold, Craig, Jaffray, Dawson, Sem Rad Onc, 2012

# Surface-based Surveillance



# Catalyst Characteristics

Stieler et al., submitted

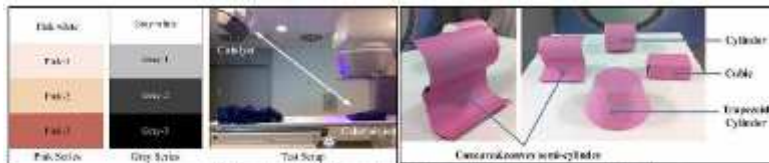


Figure 2a,b. Left: colour test setup. Right: shape test setup

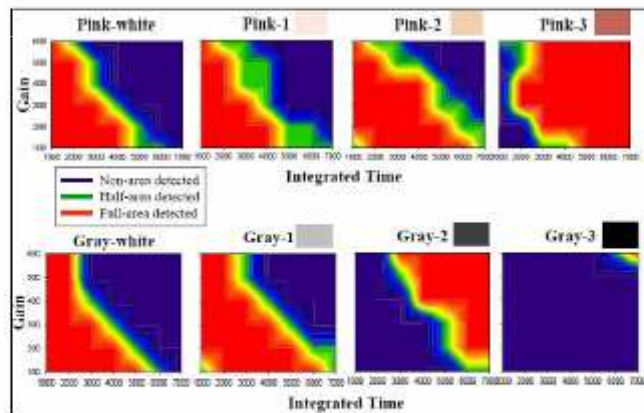


Figure 3: Plots of the color tests depending on gain and IT. Scans with settings that result with values in the blue area are not visible; scans with results in the red area are full visible.

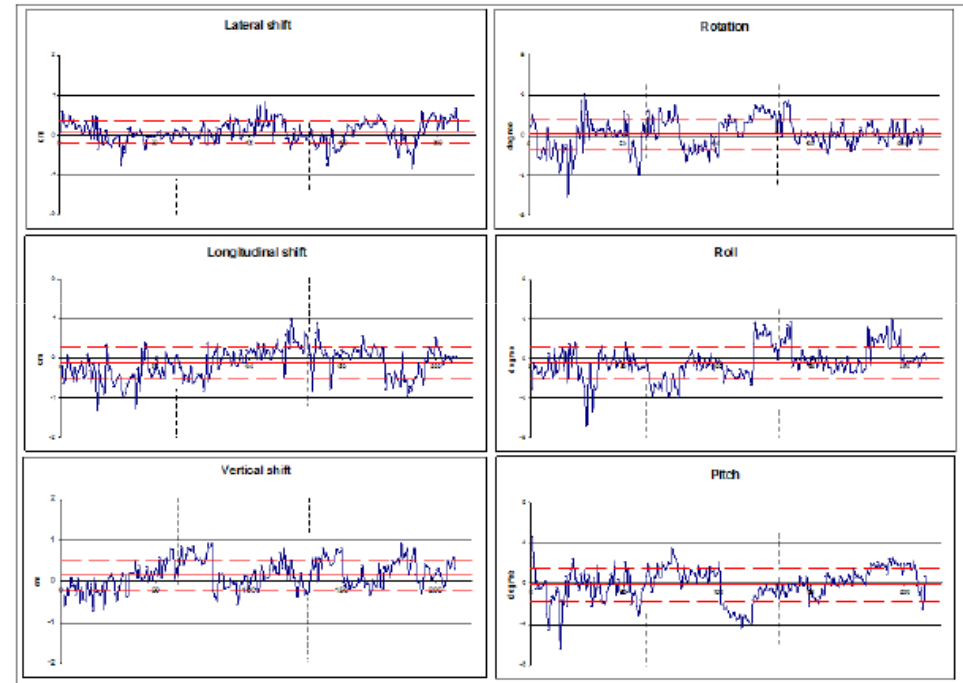
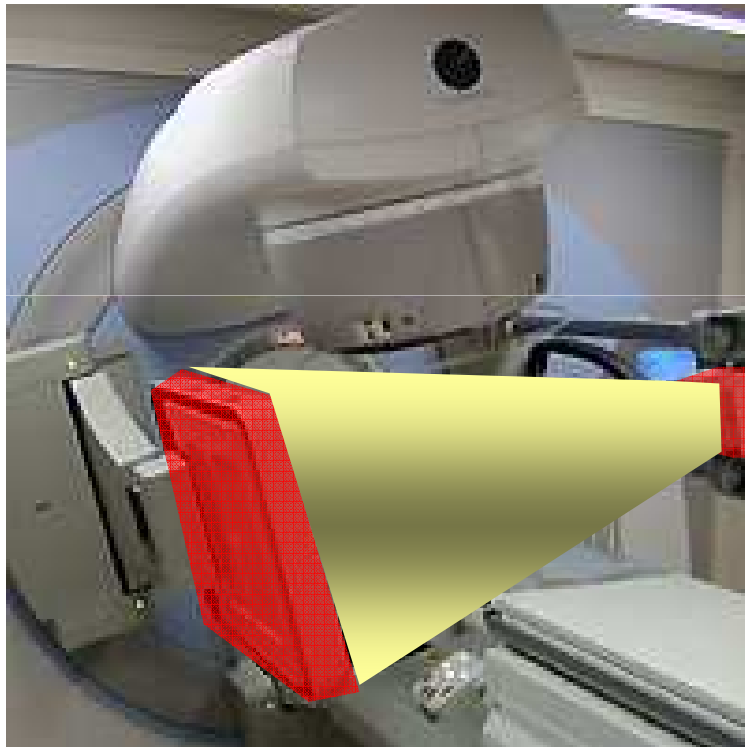


Figure 6: Shift vector in cm respectively degree for the 224 fraction for 3 translation directions (lateral, longitudinal and vertical) and 3 rotational directions (rotation, roll and pitch). First block: head-and neck targets, second block pelvic targets and third block thoracic targets.

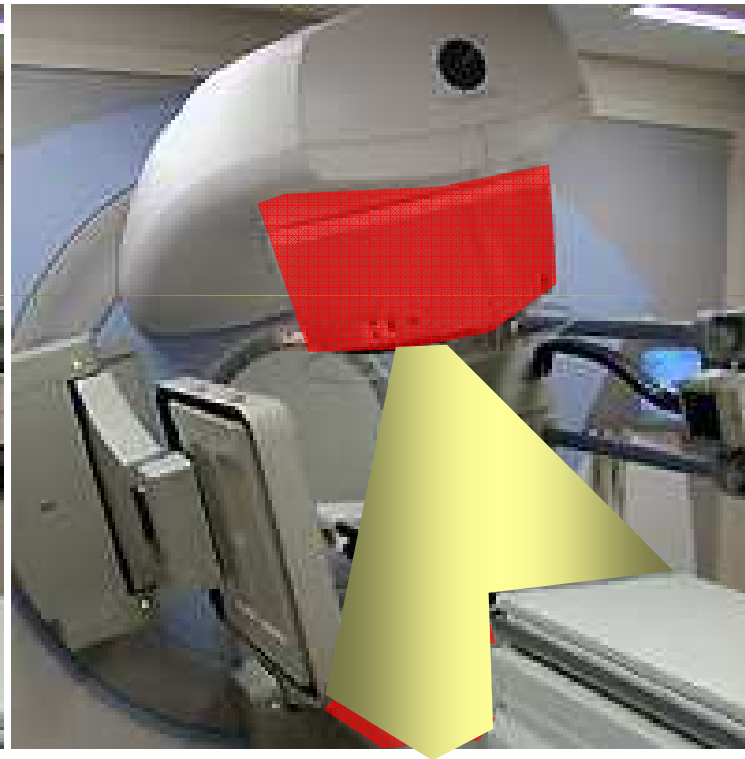


# Ansatz kV+MV-Rekonstruktion

kV

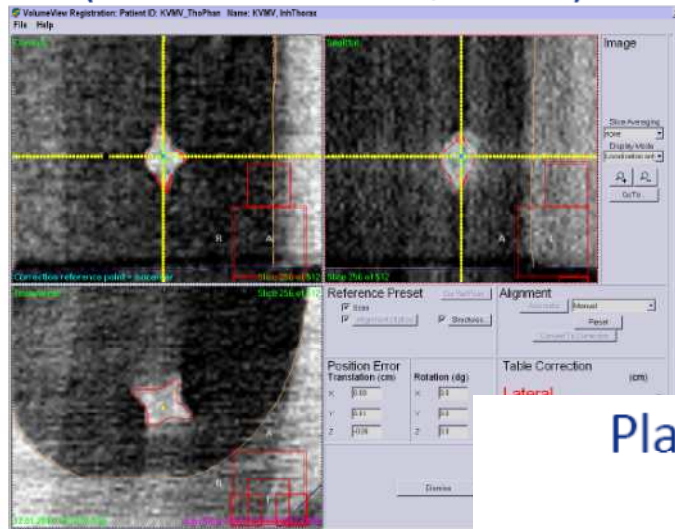


MV

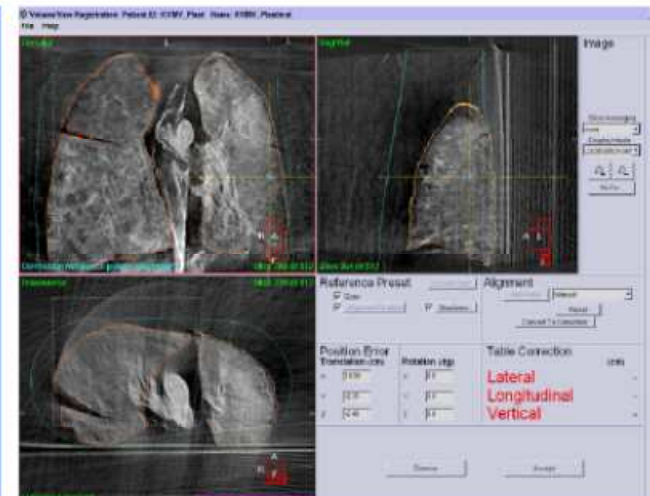
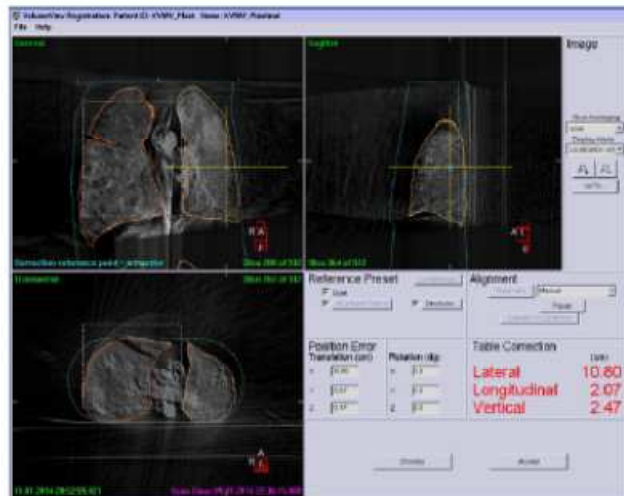


[http://www.elekta.com/healthcare\\_international\\_beaumont\\_work\\_results\\_breakthrough.php](http://www.elekta.com/healthcare_international_beaumont_work_results_breakthrough.php)

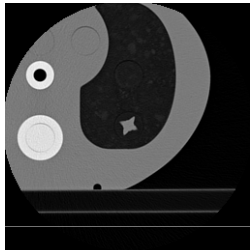
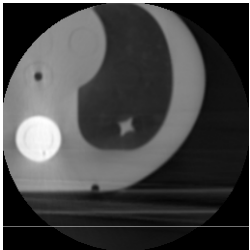
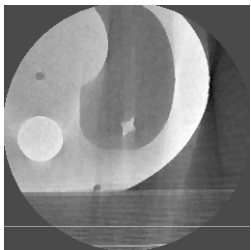
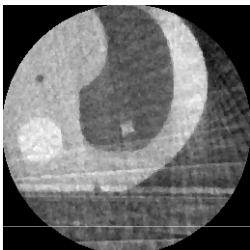
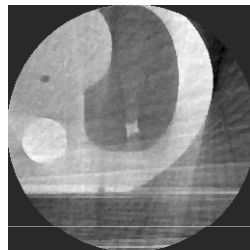
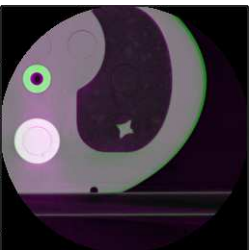

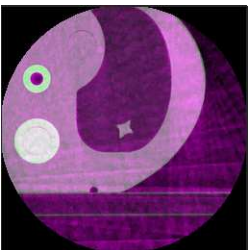


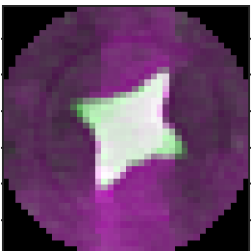
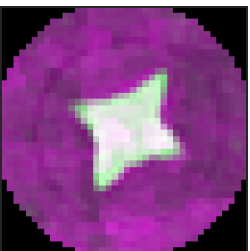
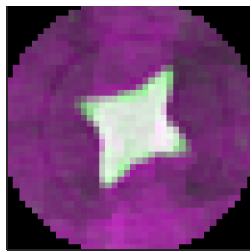
# Thorax phantom: star shaped inlay (15s kV-MV scan, 5 MU)



Plastinated Thorax (15s kV-MV mode, 5MU)  
different level/window settings

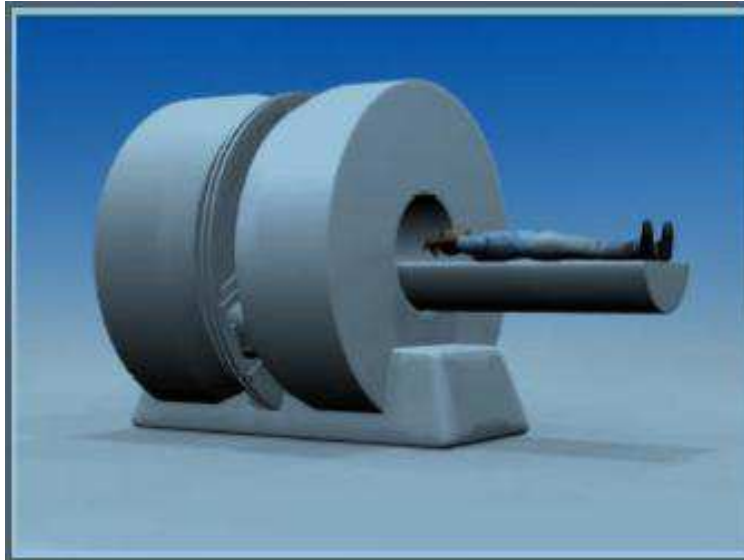


**Beispiel:** Tumor shape Star10, after registration of iso-shift 1  
 (automatic registration with in-house developed software - Matlab)

	pCT	kV-Chest CBCT * (360° ) (*clinical preset)	kV-only CBCT (180° )	MV-only CBCT (180° )	Combined kV- MV CBCT (90° )
Full Phantom (registered)					
Full phantom registration overlay	pCT CBCT				
Full tumor registration overlay	pCT CBCT				

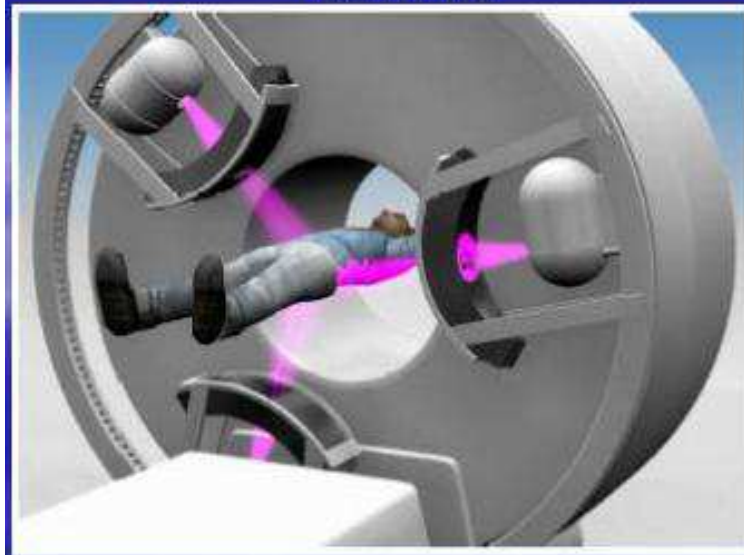
**NOTE:** kV-only, MV-only and combined kV-MV CBCT were simultaneously acquired → MV-scatter in kV-contribution

# 1- MRI guided Radiation Therapy



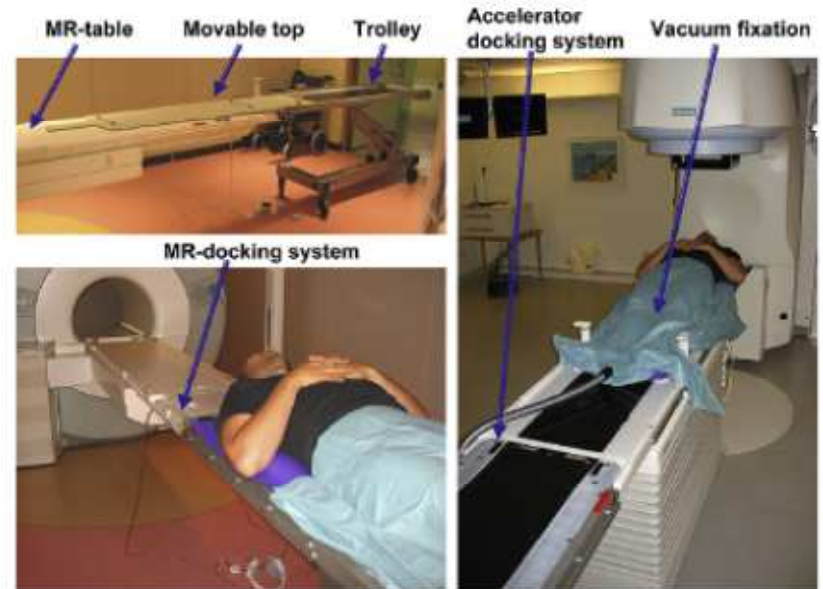
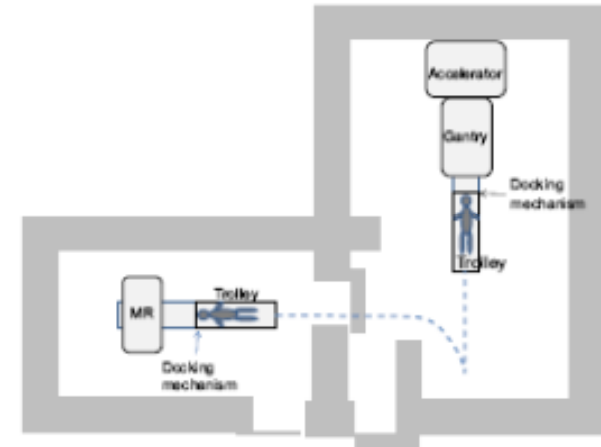
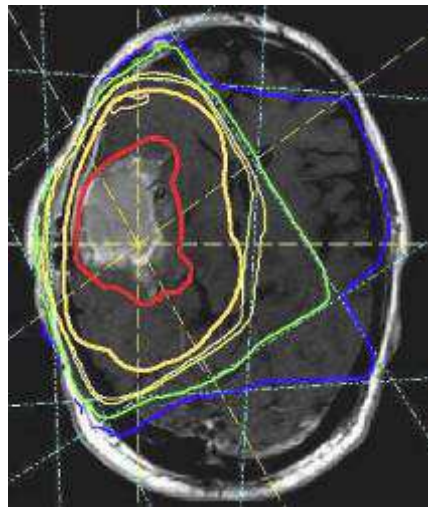
Renaissance™ System 1000  
ViewRay Inc.

MR scanner /<sup>60</sup>Co



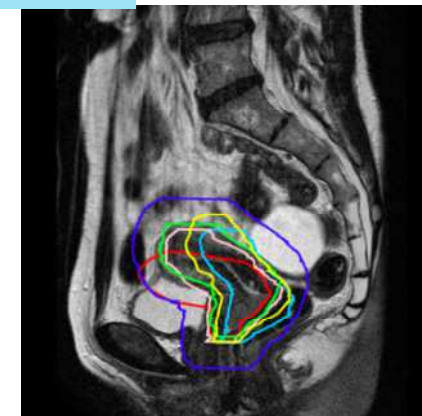
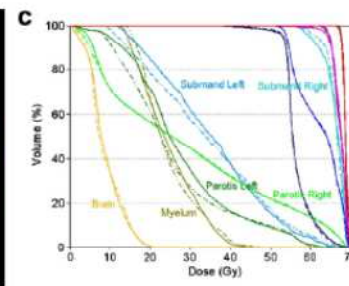
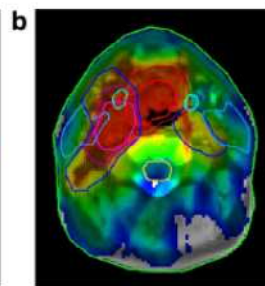
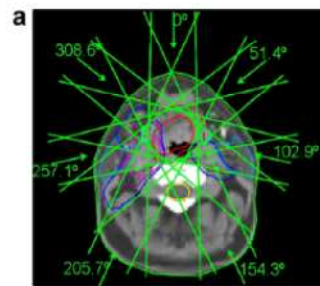
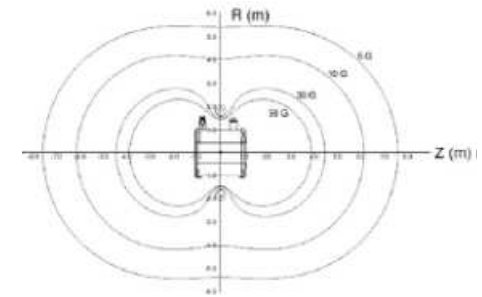
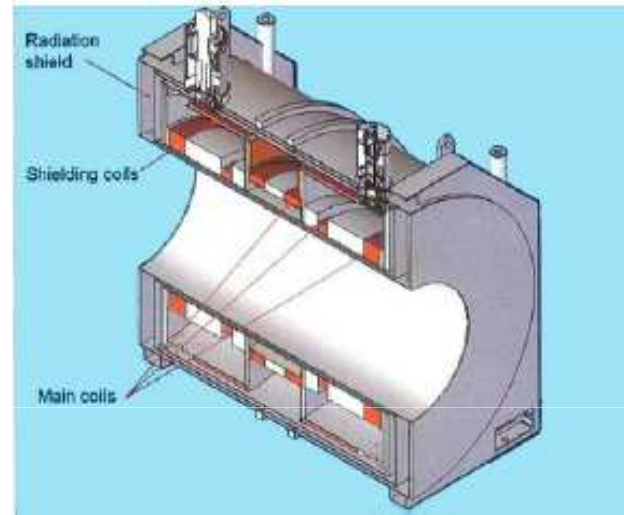
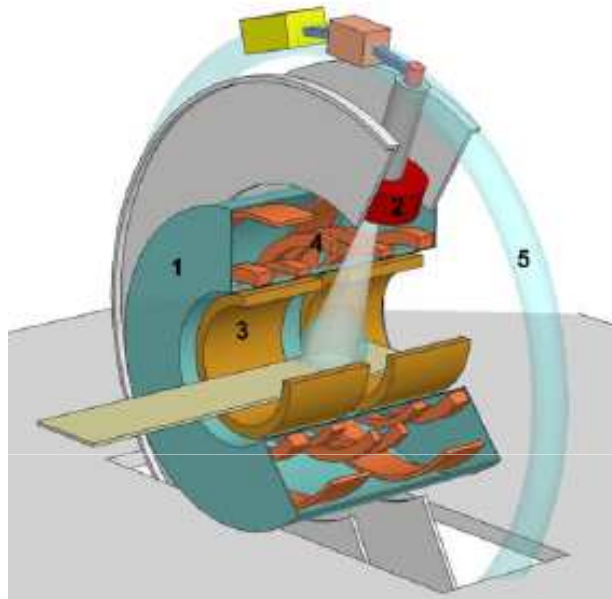
## 2- MRI and LINAC

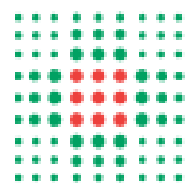
1.5-T Siemens Espree unit  
Siemens ONCOR (LINAC)



# 3- MR LINAC

Legendijka JW et al. Oncology, 2008  
 Raaymakers BW et al, Phys. Med. Biol. 2009  
 Crijs SPM et al, Phys. Med. Biol. 2012





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