

#### PROGRAMME

Basic Clinical Radiobiology Paris, France 16 - 20 September 2017

| Saturday 16 September |  |                |  |
|-----------------------|--|----------------|--|
| 08:00-09:00           | Registrations                                      |                |  |
| 09:00-09:20           | Introduction                                       | M. Joiner      |  |
| 09.20-10.00           | 1.1 Importance of radiobiology in the clinic       | V. Grégoire    |  |
| 10.00-10.30           | 1.2 Hallmarks of cancer                            | M. Koritzinsky |  |
| 10.30-11.00           | Coffee break                                       |                |  |
| 11.00-11.45           | 1.3 Molecular basis of cell death                  | M. Koritzinsky |  |
| 11.45-12.30           | 1.4 Cell survival - in vitro and in vivo           | R. Coppes      |  |
| 12.30-13.00           | General discussion                                 |                |  |
| 13.00-14.00           | Lunch  |                |  |
| 14.00-14.45           | 1.5 Models of radiation cell killing               | M. Joiner      |  |
| 14.45-15.45           | 1.6 Pathogenesis of normal tissue side effects     | W. Dörr        |  |
| 15.45-16.15           | Coffee break                                       |                |  |
| 16.15-17.00           | 1.7 Clinical side effects and their quantification | K. Haustermans |  |

| Sunday 17 September |   |                               |  |
|---------------------|---|-------------------------------|--|
| 09.00-09.45         | 2.1 The linear-quadratic approach to fractionation                | M. Joiner                     |  |
| 09.45-10.30         | 2.2 Molecular basis of radiation response: DNA repair/checkpoints | M. Koritzinsky                |  |
| 10.30-11.00         | Coffee break  |                               |  |
| 11.00-11.30         | 2.3 Normal tissues: radiosensitivity & fractionation              | W. Dörr                       |  |
| 11.30-12.30         | 2.4 Normal tissues: overall treatment time                        | W. Dörr                       |  |
| 12.30-13.00         | General discussion  |                               |  |
| 13.00-14.00         | Lunch   |                               |  |
| 14.00-15.00         | 2.5 Modified fractionation in radiotherapy                        | V. Grégoire                   |  |
| 15.00-15.45         | 2.6a The LQ-model in practice - introduction to calculations      | M. Joiner                     |  |
| 15:45-16:15         | Coffee break  |                               |  |
| 16.15-17.00         | 2.6b The LQ-model in practice - examples of calculations          | M. Joiner /<br>K. Haustermans |  |
|                     | Social Dinner   |                               |  |

| Monday 18 September |             |   |                                 |
|---------------------|-------------|---|---------------------------------|
|                     | 09.00-09.45 | 3.1 The volume effect in radiotherapy                         | W. Dörr                         |
|                     | 09.45-10.45 | 3.2 The oxygen effect, hypoxia and the tumor microenvironment | M. Koritzinsky                  |
|                     | 10.45-11.15 | Coffee break  |                                 |
|                     | 11.15-12.30 | 3.3 Clinical efforts to modify tumor hypoxia                  | K. Haustermans                  |
|                     | 12.30-13.00 | General discussion  |                                 |
|                     | 13.00-14.00 | Lunch   |                                 |
|                     | 14.00-14.45 | 3.4 Dose-response relationships in radiotherapy               | M. Joiner                       |
|                     | 14.45-15.30 | 3.5 LET and RBE   | M. Joiner                       |
|                     | 15.30-16.00 | Coffee break  |                                 |
|                     | 16.00-17.30 | 3.6 Clinical examples - Lower GU                              | K. Haustermans /<br>V. Grégoire |

| Tuesday 19 September |                          |  |                                 |
|----------------------|--------------------------|--|---------------------------------|
|                      | 09.00-09.45              | 4.1 Biological response modifiers in tumours - preclinical | M. Koritzinsky                  |
|                      | 09.45-10.30              | 4.2 Biological response modifiers in tumours - clinical    | K. Haustermans                  |
|                      | 10.30-11.00 Coffee break |  |                                 |
|                      | 11.00-11.45              | 4.3 Biological modifiers of normal tissue effects          | R. Coppes                       |
|                      | 11.45-12.30              | 4.4 Combined radiotherapy and chemotherapy                 | V. Grégoire                     |
|                      | 12.30-13.00              | General discussion   |                                 |
|                      | 13.00-14.00              | Lunch  |                                 |
|                      | 14.00-14.45              | 4.5 Retreatment tolerance of normal tissues                | R. Coppes                       |
|                      | 14.45-15.30              | 4.6 Biological image guided radiotherapy                   | V. Grégoire                     |
|                      | 15.30-16.00              | Coffee break   |                                 |
|                      | 16.00-17.30              | 4.7 Clinical examples - Head & Neck and Lung               | V. Grégoire /<br>K. Haustermans |

| Wednesday 20 September |  |                |  |
|------------------------|--|----------------|--|
| 09.00-09.45            | 5.1 Tumor growth and response to irradiation | K. Haustermans |  |
| 09.45-10.30            | 5.2 The dose-rate effect                     | R. Coppes      |  |
| 10.30-11.00            | Coffee break                                 |                |  |
| 11.00-11.45            | 5.3 Particles in radiotherapy                | V. Grégoire    |  |
| 11.45-12.30            | 5.4 Radiation-induced malignancies           | M. Joiner      |  |
| 12:30-13:00            | Course evaluation and certificates           |                |  |

# 39<sup>th</sup> ESTRO teaching course on Basic Clinical Radiobiology

# Paris, France September 2017

#### 2017 Roadmap to Teaching Courses





ESTRO European Society for RADIOTHERAPY & ONCOLOGY

### **Basic Clinical Radiobiology Locations**

| 1.  | Granada, Spain         | 16 – 20 November  | 1990 |
|-----|------------------------|-------------------|------|
| 2.  | Athens, Greece         | 5 – 9 October     | 1991 |
| 3.  | Aarhus, Denmark        | 18 – 22 October   | 1992 |
| 4.  | Tours, France          | 26 – 30 September | 1993 |
| 5.  | Prague, Czech Republic | 16 – 20 October   | 1994 |
| 6.  | Tübingen, Germany      | 24 – 28 September | 1995 |
| 7.  | Izmir, Turkey          | 24 – 28 November  | 1996 |
| 8.  | Como, Italy            | 12 – 16 October   | 1997 |
| 9.  | Lisboa, Portugal       | 25 – 29 October   | 1998 |
| 10. | Gdansk, Poland         | 17 – 21 October   | 1999 |
| 11. | Bratislava, Slovakia   | 8 – 12 October    | 2000 |
| 12. | Tenerife, Spain        | 7 – 11 October    | 2001 |
| 13. | St. Petersburg, Russia | 25 – 29 August    | 2002 |
| 14. | Uppsala, Sweden        | 5 – 9 May         | 2002 |
| 15. | Santorini, Greece      | 12 – 16 October   | 2003 |
| 16. | Lausanne, Switzerland  | 19 – 23 September | 2004 |
| 17. | Izmir, Turkey          | 2 – 6 October     | 2005 |
| 18. | Ljubljana, Slovenia    | 21 – 25 May       | 2006 |
| 19. | Lisboa, Portugal       | 17 – 21 September | 2006 |
| 20. | Beijing, China         | 3 – 7 June        | 2007 |
| 21. | Sicily, Italy          | 14 – 18 October   | 2007 |
|     |                        |                   |      |



## **Basic Clinical Radiobiology Locations**

| 22. | St. Petersburg, Russia    | 29 June – 3 July        | 2008 |
|-----|---------------------------|-------------------------|------|
| 23. | Dubrovnik, Croatia        | 5 – 10 October          | 2008 |
| 24. | Sydney, Australia         | 22 – 27 March           | 2009 |
| 25. | Shanghai, China           | 31 May – 5 June         | 2009 |
| 26. | Toledo, Spain             | 18 – 23 October         | 2009 |
| 27. | Prague, Czech Republic    | 16 – 20 May             | 2010 |
| 28. | Kuala Lumpur, Malaysia    | 5 – 9 December          | 2010 |
| 29. | Nijmegen, The Netherlands | 1 – 5 June              | 2011 |
| 30. | Rotorua, New Zealand      | 30 October – 3 November | 2011 |
| 31. | Athens, Greece            | 22 – 27 September       | 2012 |
| 32. | Poznan, Poland            | 5 – 9 May               | 2013 |
| 33. | Sydney, Australia         | 23 – 26 November        | 2013 |
| 34. | Istanbul, Turkey          | 25 – 29 May             | 2014 |
| 35. | Brussels, Belgium         | 7 – 11 March            | 2015 |
| 36. | Brisbane, Australia       | 21 – 24 November        | 2015 |
| 37. | Budapest, Hungary         | 27 February – 3 March   | 2016 |
| 38. | Chengdu, China            | 6 – 10 July             | 2016 |
| 39. | Paris, France             | 16 – 20 September       | 2017 |
| 40. | Melbourne, Australia      | 10 – 13 May             | 2018 |
| 41. | Dublin, Ireland           | 15 – 19 September       | 2018 |
| 42. |                           |                         |      |



## Where, When do we teach BCR most?

#### Where

**Three:** Spain, Greece, Turkey, Australia, China **Two:** Portugal, Italy, Czech Republic, Poland, Russia, France

## When

Three: 2009 (Spain, China, Australia) Two: 2002, 2006, 2007, 2008, 2010, 2011, 2013, 2015, 2016

Here we are again! (after 24 years...) Two: France



# Meet the Team Paris 2017





Rob Coppes, PhD

#### **Netherlands**

#### Radiobiologist

Dept of Radiation Oncology University Medical Center Groningen



#### Karin Haustermans, MD, PhD

#### **Belgium**

#### **Radiation Oncologist**

Dept of Radiation Oncology University Hospital Gasthuisberg Leuven



#### Vincent Grégoire, MD, PhD

#### **Belgium**

#### **Radiation Oncologist**

Dept of Radiation Oncology Université Catholique de Louvain St-Luc University Hospital Brussels



#### Wolfgang Dörr, DVM, PhD

#### Austria & Germany

#### Radiobiologist

Dept of Radiation Oncology Medical University of Vienna Wien



Marianne Koritzinsky, PhD

Canada & Norway

#### Radiobiologist

Dept of Radiation Oncology University of Toronto Ontario Cancer Institute Toronto



Mike Joiner, MA, PhD

#### USA & UK

#### Radiobiologist

Dept of Oncology School of Medicine Wayne State University Detroit, MI

# Meet the Book







Edited by G.Gordon Steel

#### 1st Ed: 1993





<section-header>



## 4th Ed: 2009





Chinese



Japanese

## Translations of 4<sup>th</sup> edition



Russian

Бином



# Basic Clinical Radiobiology



Edited by Michael C. Joiner Albert van der Kogel

# Appearing in 2018....

# Radiation Oncology education and training in Europe is the best in the world



# Countries attending BCR here in 2017

- 2 Australia
- 1 Austria
- 2 Belgium
- 1 Bosnia/Herzegov.
- 1 Brazil
- 1 Canada
- 6 Denmark
- 2 Estonia
- 5 Finland
- 8 France
- 6 Germany
- 1 Greece



- 1 Iran
- 2 Ireland
- 3 Italy
- 1 Kazakhstan
- 1 Lebanon
- 1 Lithuania
- 1 New Zealand
- 11 Norway
- 1 Philippines
- 2 Poland
- 6 Portugal
- 1 Republic Korea



- 1 Russian Fed
- 1 Serbia
- 1 Singapore
- 2 Slovenia
- 4 Spain
- 9 Sweden
- 6 Switzerland
- 2 Thailand
- 25 The Netherlands
- 6 Turkey
- 5 United Kingdom

# Specialities attending BCR here in 2017

| Clinical Oncologist      | 9   |
|--------------------------|-----|
| Computer scientist       | 1   |
| Dosimetrist              | 1   |
| Medical Oncologist       | 1   |
| Medical Physicist        | 43  |
| Nuclear Medicine         | 1   |
| Other Med Speciality     | 5   |
| Other non-Med speciality | 2   |
| Radiation Oncologist     | 53  |
| Radiobiologist           | 4   |
| RO industry – corporate  | 2   |
| Therapist                | 7   |
|                          | 129 |





#### Saturday 16 September

| 09:00-09:20<br>09.20-10.00<br>10.00-10.30 | Introduction<br>1.1 Importance of radiobiology in the clinic<br>1.2 Hallmarks of cancer   | M. Joiner<br>V. Grégoire<br>M. Koritzinsky |
|---|---|--|
| 10.30-11.00                               | Coffee break  |  |
| 11.00-11.45<br>11.45-12.30<br>12.30-13.00 | <ul><li>1.3 Molecular basis of cell death</li><li>1.4 Cell survival - in vitro and in vivo</li><li>General discussion</li></ul> | M. Koritzinsky<br>R. Coppes                |
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| 16.15-17.00                               | 1.7 Clinical side effects and its quantification  | K. Haustermans                             |
|   |   |  |





















#### 

|              | Example            | Dose<br>(Gy) | Tumor control (%)       |
|--------------|--------------------|--------------|-------------------------|
| Sensitive    | Seminoma, Lymphoma | ≤ <b>45</b>  | ≥ 90                    |
| Intermediate | SCC,               | 50           | $\geq$ 90 (subclinical) |
|              | Adeno-Ca           | 60           | ~ 85 (Ø 1 cm)           |
|              |                    | 70           | ~ 70 (Ø 3 cm)           |
|              |                    |              | ~ 30 (Ø 5 cm)           |
| Resistant    | Glioblastoma       | ≥ 60         | none?                   |
|              | Melanoma           | ≥ 60         | none?                   |
| STRO<br>)17  |                    |              |                         |













| Radiobiological and clinical issues in IMRT for HNSCC       |                                    |                     |  |  |
|---|------------------------------------|---------------------|--|--|
| Tissue proliferation and recovered dose D <sub>prolif</sub> |                                    |                     |  |  |
| Tissue D <sub>prolif</sub> (Gy.d <sup>-1</sup> )            | T <sub>k</sub> <sup>*</sup> (days) | 1                   |  |  |
| Early normal tissue reaction                                | <u>s</u>                           |                     |  |  |
| Skin (erythema)   | 0.12 (-0.12-0.22)                  | < 12                |  |  |
| Mucosa (mucositis)  | 0.8 (0.7-1.1)                      | < 12                |  |  |
| Lung (pneumonitis)  | 0.54 (0.13-0.95)                   | n.a.                |  |  |
| <u>Tumors</u>   |                                    |                     |  |  |
| Head and neck   |                                    |                     |  |  |
| • larynx  | 0.74 (0.3-1.2)                     | n.a.                |  |  |
| • tonsils   | 0.73                               | 30                  |  |  |
| • various   | 0.8 (0.5-1.1)                      | 21                  |  |  |
| • various   | 0.64 (0.42-0.86)                   | n.a.                |  |  |
| NSCLC   | 0.45                               | n.a.                |  |  |
| Medulloblastoma   | 0.52 (0.29-0.71                    | 0 – 21              |  |  |
| ESTRO * onset of accelerated proliferation<br>2017          |                                    | Bentzen et al, 2002 |  |  |






































# The Hallmarks of Cancer

#### Marianne Koritzinsky

Princess Margaret Cancer Centre Toronto, Canada Marianne.Koritzinsky@uhnresearch.ca

# Radiobiology

- The response to radiation is different in normal tissues and cancer:
  - at the cellular level
  - at the tissue level
- These differences are due to the underlying biological properties of different tissues and cancers

# **Tumor Radiobiology**

Fact: We deliver a known physical dose with a high degree of accuracy to similar tumors

Observation: The radiocurability of tumors varies widely

Aim: Understand the biological factors that influence the sensitivity of tumors and normal tissues to radiation

# What is Cancer?

### Cancer – Important Concepts

- Cancer cells are derived from normal cells in the body
- Cancer cells have acquired a series of changes which distinguishes them from normal cells.
  - These changes are the basis for much of the difference in the ways tumors respond to radiation compared to normal tissues
- There are multiple ways of creating cancer
  - This can explain why even tumors of the same type can differ dramatically in how they response to radiation

# Cancer is a genetic disease

- Disease involving changes in the genome
  - point mutations
  - gene amplification
  - chromosome instability
  - deletions, silencing
- 2 classes of cancer genes:
  - Oncogenes
  - Tumor suppressors
- "Driving" mutation:
  - Confers growth advantage
  - Causative of cancer
- "Passenger" mutation:
  - No growth advantage
  - No causative role in cancer



### **Cancer Analysis - TCGA**





#### B Vogelstein et al. Science 2013;339:1546-1558

# **Identifying Drivers**



#### Distribution of mutations in 127 SMGs across Pan-Cancer cohort.



•C Kandoth et al. Nature 502, 333-339 (2013) doi:10.1038/nature12634

nature

# Summary

- Most cancers contain mutations in 2-8 commonly mutated cancer genes
- Many cancers have additional but rare cancer genes
- Much larger background of passenger mutations
- Passenger mutations increase with age





# **Simplification!**

#### The Hallmarks of Cancer

Douglas Hanahan\* and Robert A. Weinberg<sup>†</sup> Cell, Vol. 100, 57–70, January 7, 2000, Copyright ©2000 by Cell Press

"The vast catalog of cancer cell genotypes is a manifestation of six essential alterations in cell physiology that collectively dictate malignant growth"

#### Hallmarks of Cancer: The Next Generation

Douglas Hanahan<sup>1,2,\*</sup> and Robert A. Weinberg<sup>3,\*</sup> 646 Cell *144*, March 4, 2011 ©2011 Elsevier Inc.

"Conceptual progress in the last decade has added two emerging hallmarks and two enabling characteristics."

### The 6 Hallmarks of Cancer



Hanahan and Weinberg, 2011

### 1) Sustaining proliferative signaling



### 1) Sustaining proliferative signaling



#### 2) Evading growth suppressors



#### 2) Evading growth suppressors



# 3) Resisting death



# 3) Resisting Apoptosis



# 4) Enabling Replicative Immortality







#### **Telomeres**



# 4) Enabling Replicative Immortality







**Tumor Progression** 

# 4) Avoiding Senescence and Crisis



# 5) Inducing Angiogenesis

#### **The Reductionist View**

#### A Heterotypic Cell Biology



# The Angiogenic Switch



### Mechanisms of tumor vascularization



#### From Hillen, Cancer Metastasis Reviews 2007

#### 6) Activating Invasion and Metastasis



## **Epithelial-Mesenchymal Transition**



### **New Hallmarks and Enablers**


#### **Biological contributors to outcome**



#### Hallmarks & Radiation Response



#### Hallmarks & Radiation Response



#### Conclusions

- Cancer is caused by a series (~2-8) changes in the genome
  - Additional ~10<sup>3</sup> passenger genetic alterations
- The changes which occur can be classified, giving rise to 6 essential acquired properties, 2 emerging properties and 2 enabling properties
- The hallmarks of cancer can be arrived at by many different genetic routes
  - As a result tumors are very heterogeneous. For each 'type' of cancer there are several genetic routes
- These hallmarks (and accompanying genetic alterations) affect treatment and radiation sensitivity in complex ways.
  - Understanding the molecular basis of cancer is important to understand radiation responses

#### Resources

- The International Cancer Genome Consortium (ICGC)
- The Cancer Genome Atlas (TCGA)
- Catalogue of Somatic Mutations in Cancer (COSMIC)
- cBioPortal
  - The cBioPortal for Cancer Genomics provides visualization, analysis and download of large-scale cancer genomics data sets.
  - http://www.cbioportal.org/

### **Molecular Basis of Cell Death**

#### Marianne Koritzinsky

Princess Margaret Cancer Centre Toronto, Canada Marianne Koritzinsky@uhnresearch.ca

> Basic Clinical Radiobiology

#### What do we mean by cell death?

- Cell death
  - Loss of reproductive (clonogenic) capacity
  - Cell may or may not appear dead
  - Cells are unable to contribute to tumor growth or metastasis – goal of treatment
- For normal cells, this definition may not be relevant
  - Has no meaning for non-dividing cells
  - Different definitions may be better

#### How do cells die?

| Type of death       | Morphology               |          |                        | Biochemistry            | Detection                        |
|---------------------|--------------------------|----------|------------------------|-------------------------|----------------------------------|
|                     | Nucleus                  | Membrane | Cytoplasm              |                         |                                  |
| Apoptosis           | Chromatin condensation   | Blebbing | Fragmentation          | Caspase-dependent       | Electron microscopy              |
| (Programmed I)      | Nuclear fragmentation    |          | (Apoptotic bodies)     |                         | TUNEL                            |
|                     | DNA laddering            |          |                        |                         | DNA fragmentation                |
|                     |                          |          |                        |                         | Mitochondrial membrane potential |
|                     |                          |          |                        |                         | Caspase activity                 |
| Autophagy           | Partial chromatin        | Blebbing | Autophagic vesicles    | Lysosomal activity      | Electron microscopy              |
| (Programmed II)     | condensation             |          |                        |                         | Protein degradation              |
|                     |                          |          |                        |                         | Autophagosome membrane markers   |
| Necrosis            | Random DNA fragmentation | Rupture  | Swelling               |                         | Electron microscopy              |
| (Programmed III)    | DNA clumping             |          | Vacuolation            |                         | Nuclear staining (loss)          |
|                     |                          |          | Organelle degeneration |                         | Tissue inflammation              |
|                     |                          |          | Mitochondrial swelling |                         |                                  |
| Senescence          | Heterochromatic foci     |          | Flattening             | SA-β-gal activity       | Electron microscopy              |
|                     |                          |          | Granularity            |                         | SA-β-gal staining                |
|                     |                          |          |                        |                         | Proliferation, P-pRB (loss)      |
|                     |                          |          |                        |                         | p53, INK4A, ARF (increased)      |
| Mitotic catastrophe | Micronuclei              |          |                        | CDK1/cyclinB activation | Electron microscopy              |
|                     | Nuclear fragmentation    |          |                        |                         | Mitotic markers (MPM2)           |

#### Apoptosis



U.S. National Library of Medicine



A decision to die is made





#### The 6 Hallmarks of Cancer



Hanahan and Weinberg, 2011

PRESS

#### **Apoptotic Machinery**

- Sensors
  - Monitor extracellular (extrinsic pathway) and intracellular (intrinsic pathway) environment for conditions of normality and abnormality e.g. hypoxia, growth factors, damage

Effectors

Intracellular proteases called caspases

#### **Effectors: Caspases**



- Executioners of apoptosis
  - Cleave proteins at certain sites
- Disassemble the cell
- Present in a proform (inactive)

#### Caspase cascade



#### Extrinsic Pathway – Death Receptors





Extrinsic – caspase 8 – signal given to the cell

Receptors TRAILR1, TRAILR2 TNFR1 FAS Ligands TRAIL TNF FASL

Nature Reviews | Cancer

#### Intrinsic Pathway – Mitochondria dependent

• Mitochondria induce apoptosis when pro-apoptotic factors outnumber anti-apoptotic factors



Step I)

Increase in the balance of proapoptotic to antiapoptotic factors (Bax/Bcl2)

#### **Intrinsic Pathway**



#### Mitochondria :

Storage site for apoptosis regulating molecules

Step 2) Release of cytochrome C, formation of apoptosome

Step 3) Activation of caspase 9

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#### Autophagy

- Important survival mechanism during shortterm starvation
  - Degradation of non-essential cell components by lysosomal hydrolases
  - Degradation products are transported back to cytoplasm for reuse in metabolism
- Important mechanism for quality control

   Removal of defective organelles, proteins

#### Autophagy -- to eat oneself



#### Autophagy – Survival or Death?



#### How do cells die?

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#### Necrosis

- Insults inducing necrosis
  - Defective membrane potential
  - Cellular energy depletion
  - Nutrient starvation
  - Damage to membrane lipids



Loss of function of ion channels/pumps

#### **Execution of necroptosis**



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| Necrosis            | Random DNA fragmentation | Rupture  | Swelling               |                         | Electron microscopy              |
| (Programmed III)    | DNA clumping             |          | Vacuolation            |                         | Nuclear staining (loss)          |
|                     |                          |          | Organelle degeneration |                         | Tissue inflammation              |
|                     |                          |          | Mitochondrial swelling |                         |                                  |
| Senescence          | Heterochromatic foci     |          | Flattening             | SA-β-gal activity       | Electron microscopy              |
|                     |                          |          | Granularity            |                         | SA-β-gal staining                |
|                     |                          |          |                        |                         | Proliferation, P-pRB (loss)      |
|                     |                          |          |                        |                         | p53. INK4A. ARF (increased)      |
| Mitotic catastrophe | Micronuclei              |          |                        | CDK1/cyclinB activation | Electron microscopy              |
|                     | Nuclear fragmentation    |          |                        |                         | Mitotic markers (MPM2)           |

# Senescence - Permanent loss of proliferative capacity





#### Senescence

- Associated with aging
  - Telomere shortening can induce senescence
  - Limits proliferation in normal cells
- Accelerated senescence
   Induced by oncogenes, DNA damage
- Genes involved in the G1 checkpoint are important



#### Other forms of cell death (emerging)

• Ferroptosis

- Iron linked death caused by ROS



Entosis
 – Cell engulfment



#### How do cells die?

| Type of death       | Morphology               |          |                        | Biochemistry            | Detection                        |
|---------------------|--------------------------|----------|------------------------|-------------------------|----------------------------------|
|                     | Nucleus                  | Membrane | Cytoplasm              |                         |                                  |
| Apoptosis           | Chromatin condensation   | Blebbing | Fragmentation          | Caspase-dependent       | Electron microscopy              |
| (Programmed I)      | Nuclear fragmentation    |          | (Apoptotic bodies)     |                         | TUNEL                            |
|                     | DNA laddering            |          |                        |                         | DNA fragmentation                |
|                     |                          |          |                        |                         | Mitochondrial membrane potential |
|                     |                          |          |                        |                         | Caspase activity                 |
| Autophagy           | Partial chromatin        | Blebbing | Autophagic vesicles    | Lysosomal activity      | Electron microscopy              |
| (Programmed II)     | condensation             |          |                        |                         | Protein degradation              |
|                     |                          |          |                        |                         | Autophagosome membrane markers   |
| Necrosis            | Random DNA fragmentation | Rupture  | Swelling               |                         | Electron microscopy              |
| (Programmed III)    | DNA clumping             |          | Vacuolation            |                         | Nuclear staining (loss)          |
|                     |                          |          | Organelle degeneration |                         | Tissue inflammation              |
|                     |                          |          | Mitochondrial swelling |                         |                                  |
| Senescence          | Heterochromatic foci     |          | Flattening             | SA-β-gal activity       | Electron microscopy              |
|                     |                          |          | Granularity            |                         | SA-β-gal staining                |
|                     |                          |          |                        |                         | Proliferation, P-pRB (loss)      |
|                     |                          |          |                        |                         | p53, INK4A, ARE (increased)      |
| Mitotic catastrophe | Micronuclei              |          |                        | CDK1/cyclinB activation | Electron microscopy              |
|                     | Nuclear fragmentation    |          |                        |                         | Mitotic markers (MPM2)           |

#### Mitotic Catastrophe



- Mitotic catastrophe
  - Cells attempt to divide without proper repair of DNA damage
- May lead to secondary death by apoptosis, necrosis, autophagy, or senescence

# Mitotic catastrophe is caused by chromosome aberrations



#### Mitotic Catastrophe





Figure 3 - Micronucleated erythrocyte (arrow) in *R. Catesbeiana* tadpole exposed to lambda-cyhalothrin. Giemsa-stained blood smear 1,000 x.

#### Mitotic Catastrophe

- Mitotic catastrophe takes place at long times after irradiation
  - Depends on proliferation rate
  - Influenced by DNA repair capacity
- Cell death may occur at different times following mitotic catastrophe
  - Nuclear fragmentation
  - Apoptosis, necrosis, senescence, autophagy
- Cells may attempt several divisions
  - Multiple failed divisions
  - Cell fusions
  - Giant cell formation, multiple micronuclei
- Genome becomes so unstable as to no longer support normal cell function

### What about radiation?

- What is the contribution of these death pathways to radiation sensitivity ?
  - The propensity to initiate programmed cell death varies widely
  - The genes controlling these pathways are frequently mutated in cancer



### How do cells die?

- Necrosis
- Senescence
- Apoptosis
- Autophagy

## Why do cells die?

- 1) Initial damage to DNA (sometimes other molecules)
- 2) Mitotic catastrophy

#### What is the *cause* of cell death?



# Two Types of Apoptosis - Pre and post mitotic



Endlich et al (2000)
## Apoptosis is Both a Reason for Cell Death and a Type of Funeral

- Early apoptosis: Apoptosis is the <u>reason</u> the cell dies - it is the most sensitive mode of cell death and genes that affect apoptosis also affect cell death e.g. some lymphomas and leukemias.
- Delayed apoptosis: The reason the cell dies is usually by mitotic catastrophe. However, the cell may, or may not, have an apoptotic "funeral". Changing apoptotic sensitivity does not change overall cell killing - e.g. most epithelial cancers.

# Apoptosis can change without affecting clonogenic survival of HCT116 tumor cells



# Affecting how cells die can dramatically influence the rate at which cells die



apoptosis difference

## Early Apoptosis explains:

• The sensitivity of lymphocytes at low radiation dose.

 The efficacy of low dose radiation dose in nonhodgkin lymphomas: 2x2 Gy results in a high proportion of responses in Low grade non-Hodgkin Lymphoma

### Apoptotic index and prognosis in cancer All studies using morphology or TUNEL since 2000 (*Wilson, 2003*)

| Cervix  | author<br>Jain<br>Gasinska<br>Lee<br>Kim<br>Liu<br>Zaghlo<br>Paxtor | n, treatment<br>76, Rx<br>130, Rx<br>86, ?<br>42, Rx<br>77, BY<br>Results | result<br>n.s. ⊕<br>n.s. ⊕<br>sig ⊕<br>sig ⊕ | <b>comment</b><br>no correlation with either p53 or bcl-2<br>Al/MI index significant<br>correlation with progression, MVD, Ki-6<br>high Al poor LTC, OS | 97 but not OS<br>IATs             |
|---------|---|---|--|---|-----------------------------------|
| NSCLC   | Hanac<br>Wang<br>Hwang 6 b<br>Maclus<br>Lange                       | etter out   | come   | with high Al  | h bcl-2 and TA<br>OS<br>ith bcl-2 |
| Breast  | Sriniva<br>Kato<br>Ikpatt<br>Villar<br>Lee<br>Wu                    | orse out<br>not signi <sup>.</sup>  | come<br>ficant                               | e with high Al  | bcl-2                             |
|         | de Jong   | 172, ?  | sig 🙂  | high AI worse OS positive correlation w   | ith MI                            |
|         | Lipponen  | 288.?   | sig 🙂  | high AI worse OS  |                                   |
| Rectum  | Sogawa<br>Schwander   | 75, pre Rx<br>160, surg   | n.s. 🙂<br>n.s. 😐                             | Al increased after Rx but not correlated inverse correlation with p53 and bcl-2   | I with OS                         |
| Bladder | Giannopolou<br>Moonen<br>Lara                                       | 53, ?<br>83, Rx<br>55, Rx   | n.s 😐<br>n.s. 😐<br>sig                       | no correlation with pro-apoptotic proteins bax, FAS-R casp-3 high AI better LTC not OS, low AI shorter time to reccurrence low AI better LTC and OS     |                                   |
| Esoph   | Rees<br>Shibata   | 58, Rx, CTX, surg<br>72, surg   | n.s 🙂<br>sig                                 | only TOPO II and not AI or Ki-67 showe<br>high AI better OS   | ed clinical utility               |

Summary of many clinical-preclinical studies

- The mechanism of killing of the cells of solid tumors is not by early apoptosis.
- Solid tumor cells may die of apoptosis, but it is by post-mitotic (delayed) apoptosis.
- Modification of post-mitotic apoptosis does not usually change overall cell kill.

(Brown and Attardi, Nat Rev Cancer, 5: 232, 2005)

## Mitotic Catastrophe

- The major form of cell killing after ionizing radiation and other DNA damaging agents.
- Almost all death occurs after cells attempt division one or more times



Movie

## Conclusions

- Most cell death is controlled or programmed in some way.
  - Major pathways include apoptosis, senescence, autophagy and necrosis
- Measuring one form of cell death (eg Apoptosis) will not necessarily correlate with how many cells die
  - Cell may die by other mechanisms
- The form of cell death may influence the rate at which cells die
  - Affect tumor regression
- Genetic changes may dramatically alter how cells die without changing if they will die



## **Clonogenic cell survival**

#### **Rob Coppes**

Departments of Radiation Oncology & Cell Biology University Medical Center Groningen, University of Groningen, The Netherlands



Many thanks to Bert van der Kogel for his slides







**Cancer Research Center Groningen** 

ESTRO BCR Course Paris 2017

## Dynamics of the cell cycle in a growing population









G1 - Growth

S - DNA synthesis

G2 - Growth and preparation for mitosis

M - Mitosis (cell division)

FUCCI imaging of the cell cycle: two interphase regulators, Cdt1 & Geminin.

Cdt1 (red) only expressed during G1 and early S Geminin (green) only expressed during S/G2.

human fibroblasts visualized by time-lapse live-cell imaging over period of 3 days Dynamics of the cell cycle in a growing population





FUCCI imaging of HeLa cells over 3.5 day period

Red: G1/early S Green: S/G2

#### G1 - early S - late S & G2

#### **Effects of irradiation on mitosis**





Mitosis and cell plate formation in a flattened endosperm cell of the African blood lily, *Haemanthus katherinae,* observed with phase contrast microscopy

Effects on mitosis in plant cells: endosperm of Haemanthus - time-lapse movie A. Bajer (1962)



#### **Effects of irradiation on mitosis**



Normal cell

#### Irradiated cell



## Effects of irradiation on clonogenic survival in vitro





Figure 6.1 Pedigree of a clone of mouse L-cells irradiated with a dose of 200R (*i.e.* röntgens) at the 4-cell stage, illustrating the concept of surviving and non-surviving clonogenic cells. From Trott (1972), with permission.





Pedigree of a colony formed from a cell irradiated with 2.5 Gy.

Each horizontal line represents the life of a cell, relative to the time of irradiation.

Black: cells which continue to divide (clonogenic survivors)

Red /orange : cells that die (apoptose) - but often after several divisions!



## HCT116 colon carcinoma wild-type after 12 Gy





## Cell death in HCT116 colon carcinoma cell colony (12 Gy, -G2/M)

14-3-3σ -/-

wild-type



#### HCT116 colon carcinoma p21-/after 12 Gy (-G1/M)

0 h

- 48 h



Delayed apoptosis after mitotic catastrophy



## heterogeneity in response of individual clones: HCT116 - p21-/-



heterogeneity in response of individual clones: p21/14-3-3σ double KO





## Colony assay: in vitro survival





## **Cell survival curves**





More in lecture by Michael Joiner

# Cell death in a tumor: think exponential!



free after Gary Larson

#### survival of HCT116 colorectal carcinoma cells (Chu, Dewey et al, 2004)









- The type of cell death has no relation with sensitivity
- Death and removal of cells after irradiation may take many days or even weeks



# Cell death and clonogenic survival in tumors

# In situ survival curves of AT17 carcinoma (at 17 d)



Kummerrmehr (1997)



Clones per 100 mg tumour



## Cell death and clonogenic survival in normal tissues





#### clonogenic survival in normal tissues: *spleen colony assay* (McCulloch&Till, 1962)





## **Dose-response for skin epithelium**

Withers 1966: Skin remains intact if clonogen survival is higher than about 5 per 10<sup>-6</sup> per cm<sup>2</sup>. Higher doses will cause moist desquamation.

Two clonally-derived islands of epithelium in a 1 cm diameter radiation-induced ulcer of the skin on the back of a mouse. Rapid regrowth on epithelial surfaces such as skin and mucosa provide a reason for protracting radiation therapy over several weeks.



20 days after 15Gy

Dose-survival curves for mouse skin epithelial clonogenic (stem) cells in conditions of hyperbaric oxygen, air breathing or ischemic hypoxia induced by compression.



## clonogenic survival in normal tissues: acute effects



Source: J. Hendry, Manchester, UK

## Segment of mouse intestine irradiated with varying doses



#### Day 13

XRT

Overt tissue response (e.g. ulceration) is dose-dependent with a threshold followed by a rapid increase in severity.

- a. Patchy breakdown of mucosa except in shielded mucosa at top of specimen.
- b. Ulcerated mucosa being resurfaced by near-confluent nodules regenerated from a large number of independently surviving jejunal clonogens.
- c. Severe ulceration but with about 60 discrete clonogen-derived mucosal nodules.
- d. As for c. but only 4 regenerated nodules.

### Jejunal crypt assay (Withers, 1974)











### Intestinal crypt assay: the "Swiss roll"



## Intestinal crypt assay: the "Swiss roll"



0 Gy



10 Gy



12 Gy



14 Gy



Sagittal Transversal

Courtesy of Kiltie & Groselj, 2015

## Clonogenic survival in normal tissues summary



Stem cells from different tissues show large differences in radiosensitivity, as determined in assays of clonogenic survival

This only partly reflects the different sensitivities of different organs, as many other factors determine the radiation response and tolerance of different organs, especially late responding organs like CNS, lung, kidney, etc
# What are adult/tissue stem cells



Clevers Lab | חירחעם שם

#### What is a stem cell





## Expansion of adult stem cells









#### Expansion of stem cell number





Nanduri et al Stem Cell Reports 2014 Maimets et al. Stem Cell Reports 2016

#### Differentiation of 1 cell to organoid





Johan de Rooij, UMCU

Martti Maimets Stem Cell Reports 2016





#### Model systems in life sciences



Yin et al Cell Stem Cell 2015

#### **Established organoid cultures**







#### Models to study CRT response





Adapted from Sachs and Clevers, Current Opinion in Genetics & Development 2014, 24:68–73



#### **Organoid radiation response assessment**





## Summary



- Tumor recurrence depends on surviving clones.
- Evaluation of the survival of clonogenic cells following treatment is an important aspect of experimental cancer therapy.
- Hyper-radiosensitivity at very low radiation doses may be of clinical importance for normal tissue.
- Patient specific normal and tissue organoid cultures may provide future assays to personalized medicine.



# Quantifying cell kill and cell survival

## **Michael Joiner**









Plating efficiency (PE)40/100 = 0.416/200 = 0.08Surviving fraction (SF) = 0.08/0.4 = 0.2



# Linear scale of *Surviving fraction*



#### Simple Model for cell kill versus dose

- 2 + 2 = 4 No !
- 2 + 2 = 22 Better...
- 2 + 2 = 10,000 Yes !

 $10^2 \times 10^2 = 10^4$ 





#### Cell sensitivity to radiation





## DNA is the principal target

#### Subcellular dose (Gy)

| Radiation<br>Source           | Nucleus | Cytoplasm | Membrane |
|-------------------------------|---------|-----------|----------|
| X-ray                         | 3.3     | 3.3       | 3.3      |
| <sup>3</sup> H-Tdr            | 3.8     | 0.27      | 0.01     |
| <sup>125</sup> I-concanavalin | 4.1     | 24.7      | 516.7    |

#### Warters et al. Curr Top Radiat Res Q 1977;12:389

#### DNA is the principal target

Microbeam experiments with  $\alpha$  particles from polonium show that the cell nucleus is the sensitive site



Munro TR. Radiat Res 1970;42:451



Inter-strand cross-link

| Modifier         | Cell kill | DSB | SSB | Base<br>damage | DPC |
|------------------|-----------|-----|-----|----------------|-----|
| 1 LET            | 1         | 1   | ↓   | Ļ              | -   |
| <b>1</b> hypoxia | Ļ         | Ļ   | Ļ   | 0              | 1   |
| <b>1</b> thiols  | Ļ         | Ļ   | Ļ   | 0              | Ļ   |
| <b>1</b> heat    | 1         | 1   | 0   | 0              | 0   |

From Frankenberg-Schwager (1989)



$$P(0 \text{ hits on a target}) = e^{-D/D0}$$

$$P(\ge 1 \text{ hit on a target}) = 1 - e^{-D/D0}$$

$$P(\ge 1 \text{ hit on } n \text{ targets}) = (1 - e^{-D/D0})^n$$

$$P(\text{not all targets hit}) = 1 - (1 - e^{-D/D0})^n$$

$$S = 1 - \left(1 - e^{-D/D_0}\right)^n$$

\_\_\_ 16

$$S = e^{-\alpha D - \beta D^2}$$
$$-\log_e S = \alpha D + \beta D^2$$





#### Curtis' LPL model



#### The concept of repair saturation



Radiation dose

#### The concept of repair saturation



## Lesion interaction vs repair saturation

Table 4.1 Different interpretations of radiobiological phenomena by lesion-interaction and saturable-repair models

| Observation                     | Explanation Lesion interaction                 | Repair saturation                           |
|---------------------------------|--|---|
| Curved dose-effect relationship | Interaction of sublesions                      | Saturation of capacity to repair sublesions |
| Split-dose recovery             | Repair of sublesions (sublethal damage repair) | Recovery of capacity to repair sublesions   |
| RBE increase with LET           | More non-repairable lesions<br>at high LET     | High-LET lesions are less repairable        |
| Low dose rate is less effective | Repair of sublesions during<br>irradiation     | Repair system not saturating                |

LET, linear energy transfer; RBE, relative biological effectiveneness. Adapted from Goodhead (1985). The Linear Quadratic Cubic model

 $\alpha/\beta = 3 \text{ Gy}$ SF2 = 0.5





## Low-dose hyperradiosensitivity

Short S, Mayes C, Woodcock M, Johns H, Joiner MC. *Int J Radiat Biol* 1999;75:847–55.

$$\mathbf{S} = \mathbf{e}^{-\alpha D - \beta D^2}$$

$$\alpha = \alpha_r \left( 1 + \left( \alpha_s / \alpha_r - 1 \right) e^{-D/D_c} \right)$$

First reported in 1986 in mouse epidermis and kidney


#### Int J Radiation Oncol Biol Phys, Vol. 91, No. 1, pp. 82-90, 2015

**Biology Contribution** 

#### Cytogenetic Low-Dose Hyperradiosensitivity Is Observed in Human Peripheral Blood Lymphocytes

Isheeta Seth, PhD,\* Michael C. Joiner, PhD,<sup>†</sup> and James D. Tucker, PhD\*

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Received Jun 18, 2014, and in revised form Sep 11, 2014. Accepted for publication Sep 13, 2014.

#### CrossMark

International Journal of Radiation Oncology biology • physics

www.redjournal.org

...Here we provide the first cytogenetic evidence of low-dose hyperradiosensitivity in human cells subjected to  $\gamma$  radiation in the G2 phase of the cell cycle...

- We use models to:
  - help make clinical predictions from experimental data
  - predict the change in outcome when we alter treatment
- This is possible because radiation biology is a quantitative discipline







# Pathogenesis of normal tissue side effects



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#### Chapter 13

**Basic Clinical** 

Radiobiology

Edited by Michael Joiner and Albert van der Kogel







#### **Early reactions: Sequence of events**





## **Turnover tissues: Hierarchical organisation**





## **Turnover tissues: Oral mucosal epithelium**



mouse tongue mucosa

© Photograph: W. Dörr



#### **Oral mucosa: Desquamation**



mouse tongue mucosa, 15 Gy, day 11

© Photographs: W. Dörr



#### **Turnover tissues: Changes in cell numbers**





## **Turnover tissues: Clinical time course**





## How to get a dose-effect relationship?

#### **Quantalisation**





# **Early radiation effects: Summary**





## Late radiation effects





#### minipig, 9 months post 5x9 Gye <sup>12</sup>C-irradiation

© Photographs: Dept. Radiation Oncology, TU Dresden, Germany



## Lung: Alveolar wall





## Lung: Parenchymal response



#### surfactant: intracellular ♥ => ↑ alveolar ↑ =>+/-

© Photograph: Dept. Radiation Oncology, TU Dresden, Germany



### Lung: Parenchymal response



depletion of epithelial ("parenchymal") cells

© Photographs: Dept. Radiation Oncology, TU Dresden, Germany



## Lung: Alveolar wall





#### Lung: Vascular response



© J. W. Hopewell



#### Lung: Vascular response



rat lung, control

rat lung, 5x6 Gy, 6 weeks "sausage-like" arterioles, loss of capillaries

Kwock et al., Radiat. Res. 111, 1987, 276-291



## **Skin: Vascular response**



dilation telangiectasia

loss of function bleeding

© Photograph: Dept. Radiation Oncology, TU Dresden, Germany



**Vascular response: Summary** 

endothelial detachment endothelial vacuolisation subendothelial edema endothelial cell loss

thrombus formation vascular occlusion

loss of capillaries

telangiectasia: loss of function, bleeding



## Lung: Alveolar wall





## **Fibroblast response**

#### collagen I immunohistochemistry



mitotic fibroblasts

#### postmitotic fibrocytes

© H. P. Rodemann



## **Fibroblast response**



© Photograph: Th. Herrmann, Dresden, Germany



### Lung: Alveolar wall









# Late radiation effects: Summary









## Late effects – Dose-effect relationship



# Tolerance doses for late responding tissues require information on the duration of follow up!



# **Consequential late effects (CLE)**

Late radiation effects, which are influenced by the extent (severity, duration) of the corresponding early effect *in the same organ/tissue*.



## **Consequential late effects (CLE): Mechanisms**



modified from: Dörr and Hendry, Radiother. Oncol. 61, 2001, 223-231





**Consequential late effects (CLE): Examples** 







*Conclusion:* A model for the prediction of fibrosis  $\text{RTOG}_{2-4}$  following R(C)T for head and neck cancer is presented with an AUC of 0.92. Interestingly, radiodermatitis grade  $\ge 3$  at the end of R(C)T is associated with  $\text{RTOG}_{2-4}$  fibrosis at 6 months.



# **Consequential late effects**




#### **CLE: Consequences**

#### **Effect of overall treatment time**





#### **CLE: Consequences**

#### **Effect of overall treatment time**



data from: Horiot et al., RTO 44, 1997, 111-121



#### CLE: Consequences Early biomarkers



Richter et al., Radiat. Oncol. Invest. 5, 1997, 275-282



#### **CLE: Consequences** Modulation of early effects

rat ileum: octeotride – reduction of proteolytic pancreatic activity





ESTRO BCR Course / Pathogenesis of NT effects – W. Dörr







#### Take home message I

#### **Early effects:**

- turnover tissues proliferating cells
- latent time: ≠ dose; ~ tissue biology
- maximum severity: ~ dose
- time to restoration: ~ dose











#### Take home message II

#### Late effects:

- all tissues
- complex pathogenesis
  - (parenchyma, fibroblasts, endothelium, macrophages)
- irreversible (?)
- dose-dependent latency
- dose-dependent progression rate
- incidence (tolerance) ~ follow up











#### Take home message III

#### **Consequential late effects (CLE):**

- radiobiological characteristics of early effects (fractionation, overall treatment time)
- correlate with markers for early effects
- modulated by treatment of early effects





# Clinical side effects and their quantification

#### Karin Haustermans

Department of Radiation Oncology, University Hospitals Leuven, Belgium







# Overview

- Why?
- What?
  - Early adverse events
  - Late adverse events
  - Relevant factors
- How?
- Take home messages







"As soon as we solve one problem, another one appears. So let's keep this problem going for as long as we can!"

## Why?



# Target volume includes normal tissue

- Microscopic tumor infiltration in surrounding normal tissue
- Normal tissues within tumor (soft tissue, blood vessels)
- Normal structures in entrance and exit dose of the radiation beam



Side-effects cannot, a priori, be considered a consequence of incorrect treatment



# Why assess adverse effects?

- To facilitate the evaluation of new cancer therapies, treatment modalities and supportive measures
- To monitor safety data
  - To aid in the recognition of severe toxicity & to ensure regulatory reporting
- Essential to standardize reporting
  - Within and across treatment modalities
  - Between investigators, institutions and studies



## Why assess adverse effects?

- To assess the therapeutic ratio
  - eg change in treatment strategy





## Why assess adverse effects?

 Manifestation of side-effects = indicator for optimum treatment and maximum TCP







What?



#### **Time-scale of radiation effects**



Radiation-induced effects may already appear during IR but may also extend up to many years after exposure to IR and are due to killing of stem cells



Typical clinical manifestation of EARLY normal tissue reactions

- Alopecia
- Bone marrow suppression
- Diarrhea
- Mucositis
- Pneumonitis
- Xerostomia
- Skin desquamation



# Early skin reactions grade 1-4



From Marianne Nordsmark





# Small bowel toxicity

- Acute toxicity
  - Results of cell death in proliferative compartment
  - Failure to replace the villus epithelium
  - Shortening of the villus
  - Endothelial cell swelling and loss with increased vascular permeability
  - Breakdown of the mucosal barrier
  - Mucositis





#### **Consequential late effects**





Typical clinical manifestation of LATE normal tissue reactions

- Fibrosis
- Lymphoedema
- Myelitis
- Nephritis
- Osteoradionecrosis
- Telangiectasia
  - Cosmetic problem vs bleeding



#### Late skin reactions: telangiectasia

Skin - cosmetic



#### Histopathology



Vessel dilatation



# Small bowel toxicity

• Radiation enteritis: oedema, hyperemia, stiffness





# Chronic radiation proctitis

- Due to damage to blood vessels
  - Rectum deprived from oxygen and nutrients
- Several months to years after the end of RT
- Symptoms: diarrhea, rectal bleeding, painful defecation, intestinal blockage, fistulae





# **Radiation proctitis**

- Radiation ulcer
- Fibrosis
- Bleeding





#### **Sacral fractures**

Kim et al., IJROBP 2012

- 492 RC patients
- Median follow-up = 3,5 years
- Incidence: 7,1% (35/492)
- 4-year sacral-free rate: 0,91





Underdiagnosed!

Lapina et al. Medicina 2014



#### **Sacral fractures**

| <ul> <li>Risk factors</li> </ul> |                        |                    | Kim et al., IJROBP 2012 |                  |
|----------------------------------|------------------------|--------------------|-------------------------|------------------|
| Characteristic                   | Univariate analysis    |                    | Multivariate analysis   |                  |
|                                  | Unadjusted HR (95% CI) | Unadjusted P value | Adjusted HR (95% CI)    | Adjusted P value |
| Age at radiotherapy, y           |                        |                    |                         |                  |
| $\leq 60$ (reference)            | 1                      | .01                | 1                       |                  |
| >60                              | 2.48 (1.22-5.07)       |                    | 2.50 (1.22-5.13)        | .01              |
| Sex                              |                        |                    |                         |                  |
| Male (reference)                 | 1                      |                    | 1                       |                  |
| Female                           | 2.81 (1.40-5.65)       | .004               | 2.64 (1.29-5.38)        | .008             |
| AJCC stage                       |                        | .57 (global)       |                         |                  |
| I/II (reference)                 | 1                      |                    |                         |                  |
| III/IV                           | 0.60 (0.28-1.27)       |                    |                         |                  |
| Recurrence                       | 0.86 (0.20-3.73)       |                    |                         |                  |
| NA                               | 0.96 (0.36-2.61)       |                    |                         |                  |
| Radiotherapy dose, cGy           | 111 Same 12            | .87 (global)       |                         |                  |
| 5040 (reference)                 | 1                      |                    |                         |                  |
| <5040                            | 0.61 (0.08-4.46)       |                    |                         |                  |
| >5040                            | 1.07 (0.15-7.83)       |                    |                         |                  |
| Chemotherapy regimen*            |                        | .90 (global)       |                         |                  |
| 5-FU based (reference)           | 1                      |                    |                         |                  |
| FOLFOX based                     | 1.21 (0.52-2.79)       |                    |                         |                  |
| Irinotecan based/other           | 0.90 (0.21-3.81)       |                    |                         |                  |
| History of osteoporosis          |                        |                    |                         |                  |
| No (reference)                   | 1                      |                    | 1                       | .02              |
| Yes                              | 4.84 (1.88-12.49)      | .001               | 3.23 (1.23-8.50)        |                  |



# Early versus late reactions

|   | Early reactions  | Late reactions   |
|---|--|--|
| Latency<br>(Time to onset of<br>clinical manifestion) | <90 days after onset RT; typically 3-9 weeks   | >90 days after onset RT; typically 0,5-5 years   |
|   | Not influenced by dose,<br>but severity and duration of<br>damage are dose-dependent | Inversely dependent on dose:<br>higher dose leads to shorter latent<br>period  |
| Fractionation sensitivity                             | Low (high $\alpha/\beta \sim 6-10$ Gy)   | High (low $\alpha/\beta \sim 1-5$ Gy)  |
| Influence of overall treatment time (OTT)             | Shorter OTT leads to greater injury  | No significant influence   |
| Clinical course                                       | Typically transient, but<br>consequential late reactions may<br>occur                | Progressive and irreversible<br>Compensation may occur<br>Rehabilitation or treatment for<br>complications may relieve |



- Organs in the irradiated volume
  - Normal tissue constraints
- Pathogenesis of functional tissue (L1.7)
  - Vascular component
  - Connective tissue
  - Specific functional tissue compartments
- Previous irradations
  - Retreatment tolerance (L4.5)



- Patient-related factors influencing normal tissue reactions
  - Age
  - Co-morbidity (e.g. cardiovascular diseases, diabetes)
  - Genetic syndromes (e.g. Ataxia Telangiectasia)
  - Infection (e.g. IBD, Crohn's disease)
  - Interaction with other treatments (e.g. chemotherapy)
  - Patient's general condition
  - Smoking



- Tumor-related factors influencing normal tissue reactions
  - Stage of disease
  - Volume of the tumor
  - Lymphatic spread
  - Radiation dose
  - Volume of normal tissue irradiated
  - Fractionation schedule
  - Use of concomitant chemotherapy



- Radiobiological-related factors influencing normal tissue reactions
  - Intrinsic radiosensitivity (L2.3)
  - Total radiation dose (L3.5)
  - Technique and irradiated volume (L3.6)
  - Fractionation schedule (late reactions) (L2.3)
  - Overall treatment time (early reactions) (L2.4)
  - Concomitant treatment (L4.4, L5.2)



- Radiobiological-related factors influencing normal tissue reactions
  - Intrinsic radiosensitivity (L2.3)
  - Total radiation dose (L3.5)
  - Technique and irradiated volume (L3.6)
  - Fractionation schedule (late reactions) (L2.3)
  - Overall treatment time (early reactions) (L2.4)
  - Concomitant treatment (L4.4, L5.2)



# **Relevant radiobiological factors**



Differential gene expression in irradiated fibroblasts between pts with variable risk of radiation-induced fibrosis



- Radiobiological-related factors influencing normal tissue reactions
  - Intrinsic radiosensitivity (L2.3)
  - Total radiation dose (L3.5)
  - Technique and irradiated volume (L3.6)
  - Fractionation schedule (late reactions) (L2.3)
  - Overall treatment time (early reactions) (L2.4)
  - Concomitant treatment (L4.4, L5.2)


Total radiation dose

Average MLD 10.3±5.6Gy MLD Pts with RP12.5±4.3Gy > MLD pts without RP MLD 9.9±5.8Gy



**Fig. 1.** Patients were grouped in bins of 5 Gy MLD, observed incidences of radiationinduced pneumonitis (RP) for the median dose within each bin are shown and the error bars represent the 68% confidence interval (CI) of the observed incidences. The thick solid line shows the best fit of the NTCP model based on the MLD and the dashed lines represent the 68% CI of the fitted curve.



Guckenberger Radiother Oncol 2010 59 pts

Dose-response relationship for radiation-induced pneumonitis (RP) after pulmonary stereotactic body radiotherapy

# **Relevant factors**

- Radiobiological-related factors influencing normal tissue reactions
  - Intrinsic radiosensitivity (L2.3)
  - Total radiation dose (L3.5)
  - Technique and irradiated volume (L3.6)
  - Fractionation schedule (late reactions) (L2.3)
  - Overall treatment time (early reactions) (L2.4)
  - Concomitant treatment (L4.4, L5.2)



• Technique: electrons vs photons



**Electron irraditation** 



Photon irraditation







• Technique: stereotactic ablative radiotherapy



Radiation pneumonitis - 3 months after resolution: organizing pneumonitis – 5 mg prednison



# Small bowel toxicity

Acute small bowel toxicity depends on irradiated volume

#### **Better modeling of preoperative patients**







Fig. 2. Dose–volume relationship for 30% risk of severe chest wall toxicity.

Chest wall volume receiving >30Gy predicts risk of severe pain and/or rib fracture after lung SBRT



# **Relevant factors**

- Radiobiological-related factors influencing normal tissue reactions
  - Intrinsic radiosensitivity (L2.3)
  - Total radiation dose (L3.5)
  - Technique and irradiated volume (L3.6)
  - Fractionation schedule (late reactions) (L2.3)
  - Overall treatment time (early reactions) (L2.4)
  - Concomitant treatment (L4.4, L5.2)



#### • Fractionation schedule START-A



Fig. 1. Number of patients randomised into each fractionation schedule and with available follow-up data.

Yarnold Radiother Oncol 2005



Yarnold Radiother Oncol 2005

Fractionation schedule: relation to EQD<sub>2Gy</sub>

| α/β = 3     | EQD <sub>2Gy</sub> |
|-------------|--------------------|
| 39Gy/13fx   | 46.8Gy             |
| 50Gy/25fx   | 50Gy               |
| 42.9Gy/13fx | 54Gy               |

α/β of 3.6 Gy (95% Cl 1.8-5.4 Gy)



Fig. 2. Probability of any change in breast appearance late radiation effect ten years after radiotherapy by fractionation schedule.



# **Relevant factors**

- Radiobiological-related factors influencing normal tissue reactions
  - Intrinsic radiosensitivity (L2.3)
  - Total radiation dose (L3.5)
  - Technique and irradiated volume (L3.6)
  - Fractionation schedule (late reactions) (L2.3)
  - Overall treatment time (early reactions) (L2.4)
  - Concomitant treatment (L4.4, L5.2)



• Overall treatment time



# **Relevant factors**

- Radiobiological-related factors influencing normal tissue reactions
  - Intrinsic radiosensitivity (L2.3)
  - Total radiation dose (L3.5)
  - Technique and irradiated volume (L3.6)
  - Fractionation schedule (late reactions) (L2.3)
  - Overall treatment time (early reactions) (L2.4)
  - Concomitant treatment (L4.4, L5.2)



• Combined modality treatment





Concomitant treatment







How?



# **Treatment-related toxicity**

- Underreported, vague symptoms ... → result in greater morbidity that is costly to patients and the health system
- Different scoring systems used
- Prospective vs retrospective data
- Patient vs physician
- Affects QoL
- Requires appropriate treatment
- Many patients have become long-term survivors



# How to measure normal tissue response?

- Scoring of gross tissue effects
  - Scoring systems: grade the severity of tissue damage using an arbitrary scale
- Assays of tissue function
  - Functional assays to measure radiation effects
    - E.g. blood counts as an indicator of bone marrow function
- Clonogenic assays (L1.4)
  - Methods by which colony of cells that derive from a single irradiated cell can be observed



# Scoring of side-effects: frequency

- Two aspects must be considered for documentation
  - Frequency
    - Early reactions can undergo considerable changes in clinical manifestation in short periods
      - Scoring at weekly basis: during and for some weeks after RT
    - Late reactions develop slowly and are usually irreversible
      - Scoring at intervals of several months after the end of RT (dynamics)
      - At later time points at annual intervals



# Scoring of side-effects: frequency

• Progressive nature of late reactions



Long latent times Large inter-patient variation



# Scoring of side-effects: frequency

• Long latent time of late reactions



# Scoring of side-effects: scoring sytems

- Two aspects must be considered for documentation
  - Scoring system used
    - WHO (World Health Organisation)
    - RTOG/EORTC (Radiation and Oncology Therapy Group/European Organisation for Research and Treatment of Cancer
    - CTCAE (Common Terminology Criteria for Adverse Events; latest version CTCAE v4.03)
    - LENT-SOMA (Late Effects of Normal Tissues Subjective, Objective, Managment & Analytical)
    - **IPSS** (International Prostate System Score)



## Scoring of side-effects: scoring sytems

| Table 13.1 Systems for documentation of side effects, with | examples for oral mucositis. |
|--|------------------------------|
|--|------------------------------|

| Grade | General                      | RTOG/EORTC  | CTCAE v3   | WHO<br>No change                        |  |
|-------|------------------------------|---|--|---|--|
| 0     | No change                    | No change   | No change  |   |  |
| 1     | Mild                         | Erythema, mild<br>soreness, painless<br>erosions    | Erythema; normal<br>diet   | Soreness, erythema                      |  |
| 2     | Moderate/<br>clear           | Painful erythema,<br>edema or ulcers; can<br>eat    | Patchy ulceration;<br>can eat and swallow<br>modified diet   | Erythema, ulcers;<br>can eat solids     |  |
| 3     | Severe/<br>significant       | Painful erythema,<br>edema or ulcers;<br>cannot eat | Confluent<br>ulcerations, bleeding<br>with minor trauma;<br>unable to adequately<br>aliment or hydrate<br>orally | Ulcers;<br>requires liquid diet<br>only |  |
| 4     | Life-threatening             | Requires parental or<br>enteral support             | Tissue necrosis;<br>significant<br>spontaneous<br>bleeding   | Alimentation not<br>possible            |  |
| 5     | Death due to side<br>effects | Death due to side<br>effects                        | Death due to side<br>effects   | Death due to side<br>effects            |  |

Need for therapeutic intervention



# Scoring of side-effects: scoring systems

• Trade-off between specificity and patient relevance



Bentzen Sem Rad Oncol 2003



# Scoring of side-effects: scoring systems

• Patient's role in toxicity reporting: how well do different scoring systems compare?



Patient-reported late toxicities have a negative impact on QoL

Patient-based questionnaires are an important contributor to capturing late RT effects

Bentzen Sem Rad Oncol 2003

Ho Radiother Oncol 2010



## Patient-reported outcome measures

Howell D et al Ann Oncol 2015

- PROM
  - = 'any report coming directly from the patient about a health condition and its treatment' using a self-reported measure'
  - focus on physical symptoms, treatment toxicities, psychosocial problems or global health-related QoL impacts of a health condition
  - valued for ensuring that the patients' experience of cancer and treatment is represented in the measurement of health and for capturing the effectiveness of clinical interventions



#### Patient-reported outcome measures

Howell D et al Ann Oncol 2015

• Trend of published articles citing PROMs





# Implementation of PROMs

Howell D et al Ann Oncol 2015

- Implementation of PROMs in routine cancer care
  - Increased patient satisfaction with clinical consultations
  - Better perceived quality of care
  - Improved overall patient well-being
  - Early detection and monitoring of symptoms / improved symptom management
  - Improved patient-physician communication (emotional, psychosocial, sensitive issues)
  - Support clinical decision making / psychosocial referrals
  - No significant impact on length of clinical encounter (if results available before consultation)



# Implementation of PROMs

Howell D et al Ann Oncol 2015

#### Barriers

- Clinicians
  - Time constraints
  - Lack of training on the use and interpretation of PROMs
  - Value add
  - Liability issues

#### Enablers

- Clinicians
  - Integration with clinical practice guidelines
  - Automatic 'flagging' of clinically important scores
  - Provide longitudinal interpretation of what signifies a clinically important difference in PROMs data



# Implementation of PROMs

Howell D et al Ann Oncol 2015

#### Barriers

- Patients
  - Length and complexity of the scale
  - Availability of translated and culturally meaningful versions
  - Patient comfort level with technology
  - Degree of disability

#### Enablers

- Patients
  - More disease-specific questions
  - Simplifying scales



# PROMs in the evaluation of toxicity

Di Maio M, Nature 2016

Underreporting of anticancer treatment-related toxicity by physicians





# Effect of PROMs on QoL and survival

Basch E et al JAMA 2017;318(2):197

• On-line self-reporting of symptoms improves QoL and extends survival

766 consecutive patients initiating routine chemotherapy for metastatic solid tumor

Randomization: usual care vs electronic PROMs

Median OS was 5 months longer in PROMs group (p = .03)



Figure. Overall Survival Among Patients With Metastatic Cancer Assigned to Electronic Patient-Reported



# PROMs and radiotherapy

#### Niska JR et al Qual Life Res 2017

- Electronic patient-reported outcomes and toxicities during RT for HNSCC
  - 65 pts
  - Electronic, real-time, 12-item LASA
  - Timepoints: baseline, before biweekly appointments and at last week of RT
  - Changes in QoL domains over time

#### Linear Analogue Self Assessment (LASA)

Please check the number (0-10) best reflecting your response to the following that describes your feelings during the past week, including today. How would you describe:

| N/A       | 00             | 01              | 02             | 03             | 04            | ō s            | 06            | 07          | 08     | 09  | 0 10 |
|-----------|----------------|-----------------|----------------|----------------|---------------|----------------|---------------|-------------|--------|-----|------|
| . Your or | verali menta   | l (intellectual | ) well being?  | (0=As bad      | as it can be, | 10=As good     | as it can be  | )           |        |     |      |
| N/A       | 00             | 01              | 02             | 03             | 0.4           | 0 s            | 06            | 07          | 08     | 09  | 0 10 |
| Your of   | verall physic  | al well being   | ? (0=As bad    | as it can be   | 10=As goo     | d as it can b  | e)            |             |        |     |      |
| N/A       | 00             | 01              | 02             | 03             | 0.4           | 0.5            | 06            | 07          | 0.8    | 0.9 | 0 10 |
| . Your o  | verall emotik  | onal well bein  | g? (0=As ba    | id as it can t | ie, 10=As go  | od as it can   | be)           |             |        |     |      |
| N/A       | 00             | 01              | 02             | 03             | 0.4           | 05             | 0.6           | 07          | 08     | 0.9 | 0 10 |
| . Your le | vel of social  | activity? (0    | As bad as R    | can be, 10     | As good as    | it can be)     |               |             |        |     |      |
| N/A       | 0 0            | 01              | 0 2            | 63             | 04            | 0 5            | 06            | 07          | 08     | 09  | 0 10 |
| . Your o  | verall spiritu | al well being   | ? (0=As bad    | as it can be   | 10=As goo     | d as it can be | e)            |             |        |     |      |
| N/A       | D a            | 01              | 02             | 03             | 0.4           | 0 s            | 06            | 07          | 08     | 09  | 0 10 |
| . The fre | quency of y    | our pain? (0    | =No pain, 10   | =Constant      | pain)         |                |               |             |        |     |      |
| N/A       | 0              | 01              | 02             | 03             | 0.4           | 0 5            | 06            | 07          | 0.8    | 09  | 0 10 |
| . The set | verity of you  | ur pain, on t   | he average?    | (0=No pain,    | 10=Pain as l  | bad as you c   | an imagine)   |             |        |     |      |
| N/A       | 0.0            | 01              | 0 2            | 03             | 0.4           | 0.5            | 06            | 07          | 08     | 0.9 | 0 10 |
| . Your le | vel of fatigu  | e (weariness    | , tiredness)   | on average?    | (Q×No fatig   | ue, 10=Fatig   | ue as bad as  | you can imi | agine) |     |      |
| • N/A     | 00             | 01              | 02             | 03             | 0.4           | 0.5            | 06            | 07          | 08     | 09  | 0 10 |
| 0. Your l | evel of supp   | port from fra   | ends and fan   | uly? (0=No s   | apport, 10=   | Highest level  | l of support) |             |        |     |      |
| * N/A     | 00             | 01              | 0 2            | 03             | 0.4           | 0.5            | 0.6           | 07          | 08     | 0.9 | 0 10 |
| 1. Your I | inancial con   | cerns? (0=0     | onstant con    | cerns, 10=N    | o concerns)   |                |               |             |        |     |      |
| N/A       | 0.0            | 01              | 02             | 00             | 0.4           | 05             | 06            | 07          | 0.0    | 0.9 | 0 10 |
| 2. Your I | egal concer    | ns (will, adva  | inced directiv | es, etc.)? (0  | =Constant o   | oncerns, 10    | =No concern   | s)          |        |     |      |
| P 147A    | 0.0            | 0.1             | 6.2            | 0.1            | 0.4           | 0.4            | 0.6           | 07          | 0.8    | 0.4 | 0 10 |



#### **PROMs and radiotherapy**

Niska JR et al Qual Life Res 2017

 Most pts had meaningful decreases in all QoL domains except level of support, financial and legal concerns



**Fig. 3** Overall LASA scores (0=Low QOL; 100=High QOL). LASA indicates linear analog self-assessment; QOL quality of life; WB well-being



## PROMs and radiotherapy

Niska JR et al Qual Life Res 2017

• Real-time ePROs allow providers to monitor QoL at multiple time points during RT, potentially allowing early intervention to improve QOL and mitigate AEs.

#### Patient XYZ01 : LASA Scores by Week Since Treatment Started

Return to Main Menu | Click here to log out

Please click on the QOL domain links for Disease Management Pathways.

Items in BLUE represent complaints that are worse than average (5 points or below for QOL OR 5 points or above for Pain/Fatigue) and may warrant attention.

Items in RED represent a drop of 2 points or more

Items in GREEN represent a 2 points or more improvement on the measure since the last visit.

| Factor Measured (0=Worst QOL and<br>10=Best QOL) or **(0=No Pain/Fatigue and<br>10=Constant Pain/Tiredness) | Baseline | Week 1 | Week 3 | Week 5 | Last Week of Tx | Last Week of Tx<br>Minus Week 5 |
|---|----------|--------|--------|--------|-----------------|---------------------------------|
| Quality of Life   | 9        | 4      | 6      | 7      | 6               | -1                              |
| Mental (intellectual) WB  | 9        | 4      | 6      | 8      | 7               | -1                              |
| Physical WB   | 8        | 4      | 7      | 5      | 6               | 1                               |
| Emotional WB  | 7        | 4      | 7      | 7      | 7               | 0                               |
| Social Activity Level   | 9        | 6      | 8      | 7      | 6               | -1                              |
| Spiritual WB  | 8        | 8      | 9      | 9      | 8               | -1                              |
| Pain Frequency**  | 1        | 6      | 5      | 4      | 3               | 1                               |
| Pain Severity**   | 1        | 3      | 3      | 2      | 2               | 0                               |
| Fatigue Level**   | 2        | 5      | 7      | 5      | 7               | -2                              |
| Level of Support  | 10       | 8      | 10     | 9      | 9               | 0                               |
| Financial Concerns  | 8        | 3      | 3      | 3      | 8               | 5                               |
| Legal Concerns  | 1        | 2      | 10     | 0      | 10              | 10                              |

Fig. 2 Data display provided to clinicians. LASA indicates linear analog self-assessment; QOL quality of life; WB well-being



# Scoring of side-effects: key points

- Use a published system
- Minimize the number of variables
- Use forms easy to read
- Define endpoints
- Test inter-observer variability
- Document observations (e.g. pictures)
- Record
  - Baseline morbidity
  - Invasive procedures
  - Comorbidity
  - Other relevant treatments



## Take home messages

- Normal tissue side effects are mandatory to score
  - Therapeutic ratio
  - Quality assurance (QA)
- Both early and late reactions may develop in the same organ
- Use validated scoring systems to record normal tissue effects
- Score before, during and after RT
  - Extend follow-up to several years after RT to get knowledge on late morbidity




# The Linear-Quadratic approach to fractionation

**Michael Joiner** 



Paris 2017

Thames HD, Withers HR, Peters LJ, Fletcher GH. *Int J Radiat Oncol Biol Phys* 1982;8:219







### Less effect per gray at low doses per fraction







| n  | D    | d     | 1/D   | 1/n     |
|----|------|-------|-------|---------|
| 1  | 16.5 | 16.5  | .0606 | 1.0     |
| 2  | 21.9 | 10.95 | .0457 | .5      |
| 4  | 29.4 | 7.35  | .0340 | .25     |
| 8  | 39.0 | 4.88  | .0256 | .125    |
| 16 | 50.3 | 3.14  | .0199 | .0625   |
| 32 | 60.9 | 1.90  | .0164 | .03125  |
| 64 | 69.3 | 1.08  | .0144 | .015625 |



Damage from a single fraction =  $\alpha d + \beta d^2$ Total damage from n fractions,  $E = n(\alpha d + \beta d^2)$ 

 $E = \alpha D + \beta d D$   $E/D = \alpha + \beta d$   $1/D = (\alpha/E) + (\beta/E)d$ 



Damage from a single fraction =  $\alpha d + \beta d^2$ Total damage from n fractions,  $E = n(\alpha d + \beta d^2)$  $E/n = \alpha d + \beta d^2$   $1/n = (\alpha/E)d + (\beta/E)d^2$ 

### $\alpha/\beta$ for early and late responding animal normal tissues

|                 | Early      | reactions                    | Late reactions         |           |                                |  |  |  |
|-----------------|------------|------------------------------|------------------------|-----------|--------------------------------|--|--|--|
|                 | α/β        | 10.6 Gy                      |                        | α/β       | 3.0 Gy                         |  |  |  |
| Skin            |            |                              | Spinal cord            |           |                                |  |  |  |
| Desquamation    | 9.1 - 12.5 | Douglas and Fowler (1976)    | Cervical               | 1.8 - 2.7 | van der Kogel (1979)           |  |  |  |
| •               | 8.6 - 10.6 | Joiner et al (1983)          | Cervical               | 1.6 - 1.9 | White and Hornsey (1978)       |  |  |  |
|                 | 9 - 12     | Moulder and Fischer (1976)   | Cervical               | 1.5 - 2.0 | Ang et al (1983)               |  |  |  |
| Jejunum         |            |                              | Cervical               | 2.2 - 3.0 | Thames et al (1988)            |  |  |  |
| Clones          | 6.0 - 8.3  | Withers <i>et al</i> (1976)  | Lumbar                 | 3.7 - 4.5 | van der Kogel (1979)           |  |  |  |
|                 | 6.6 - 10.7 | Thames <i>et al</i> (1981)   | Lumbar                 | 4.1 - 4.9 | White and Hornsey (1978)       |  |  |  |
| Colon           |            |                              |                        | 3.8 - 4.1 | Leith et al (1981)             |  |  |  |
| Clones          | 8 - 9      | Tucker e <i>t al</i> (1983)  |                        | 2.3 - 2.9 | Amols, Yuhas (quoted by        |  |  |  |
| Weight loss     | 9 - 13     | Terry and Denekamp (1984)    |                        |           | Leith et al, 1981)             |  |  |  |
| Testis          |            |                              | Colon                  |           |                                |  |  |  |
| Clones          | 12 - 13    | Thames and Withers (1980)    | Weight loss            | 3.1 - 5.0 | Terry and Denekamp (1984)      |  |  |  |
| Mouse lethality |            |                              | Kidney                 |           |                                |  |  |  |
| 30d             | 7 - 10     | Kaplan and Brown (1952)      | Rabbit                 | 1.7 - 2.0 | Caldwell (1975)                |  |  |  |
| 30d             | 13 - 17    | Mole (1957)                  | Pig                    | 1.7 - 2.0 | Hopewell and Wiernik (1977)    |  |  |  |
| 30d             | 11 - 26    | Paterson <i>et al</i> (1952) | Rats                   | 0.5 - 3.8 | van Rongen <i>et al</i> (1988) |  |  |  |
| Tumour bed      |            |                              | Mouse                  | 1.0 - 3.5 | Williams and Denekamp          |  |  |  |
| 45d             | 5.6 - 6.8  | Begg and Terry (1984)        | Mouse                  | 0.9 - 1.8 | Stewart e <i>t al</i> (1984 a) |  |  |  |
|                 |            |                              | Mouse                  | 1.4 - 4.3 | Thames <i>et al</i> (1988)     |  |  |  |
|                 |            |                              | Lung                   |           |                                |  |  |  |
|                 |            |                              |                        | 4.4 - 6.3 | Wara e <i>t al</i> (1973)      |  |  |  |
|                 |            |                              |                        | 2.8 - 4.8 | Field <i>et al</i> (1976)      |  |  |  |
|                 |            |                              |                        | 2.0 - 4.2 | Travis e <i>t al</i> (1983)    |  |  |  |
|                 |            |                              | Breathing rate         | 1.9 - 3.1 | Parkins and Fowler (1985)      |  |  |  |
|                 |            |                              | Bladder                |           |                                |  |  |  |
|                 |            |                              | Frequency,<br>capacity | 5 - 10    | Stewart e <i>t al</i> (1984 b) |  |  |  |

Table 8.1, Basic Clinical Radiobiology 4<sup>th</sup> Ed



## Fractionation in prostate cancer

Int J Radiation Oncology Biol Phys

#### **CLINICAL INVESTIGATION**

2011;79:195-201

#### CONFIRMATION OF A LOW $\alpha/\beta$ RATIO FOR PROSTATE CANCER TREATED BY EXTERNAL BEAM RADIATION THERAPY ALONE USING A POST-TREATMENT REPEATED-MEASURES MODEL FOR PSA DYNAMICS

Cécile Proust-Lima, Ph.D.,\*<sup>†</sup> Jeremy M. G. Taylor, Ph.D.,<sup>‡§</sup> Solène Sécher, Ph.D.,\*<sup>†</sup> Howard Sandler, M.D.,<sup>||</sup> Larry Kestin, M.D.,<sup>¶</sup> Tom Pickles, M.D.,<sup>#</sup> Kyoungwha Bae, Ph.D.,\*\* Roger Allison, F.R.A.N.Z.C.R.,<sup>††</sup> and Scott Williams, M.D., F.R.A.N.Z.C.R.,<sup>‡‡</sup>



**Results:** Adjusted for other factors, total dose of EBRT and sum of squared doses per fraction were associated with long-term rate of change of PSA level (p = 0.0017 and p = 0.0003, respectively), an increase of each being associated with a lower rate of rise. The  $\alpha/\beta$  ratio was estimated at 1.55 Gy (95% confidence band, 0.46–4.52 Gy). This estimate was robust to adjustment of the linear mixed model.

## Fractionation in prostate cancer



**1.86** (0.7–5.1) Gy 274 patients Leborgne F Biochem disease free survival at 5 years d/f < **3.15 Gy** Single institution, no risk-group dependence Int J Radiat Oncol Biol Phys 2012;82:1200-7

## Fractionation in breast cancer

#### Effect of radiotherapy fraction size on tumour control in patients with early-stage breast cancer after local tumour excision: long-term results of a randomised trial

J Roger Owen, Anita Ashton, Judith M Bliss, Janis Homewood, Caroline Harper, Jane Hanson, Joanne Haviland, Soren M Bentzen, John R Yarnold Summary Lancet Oncol 2006: 7: 467–71

**Background** Standard curative schedules of radiotherapy to the breast deliver 25 fractions of  $2 \cdot 0$  Gy over 5 weeks. In a randomised trial, we tested whether fewer, larger fractions were at least as safe and as effective as standard regimens. In this analysis, we assessed the long-term results of tumour control in the same population.

Published Online May 17, 2006 DOI:10.1016/S1470-2045(06) 70699-4

Department of Radiotherapy,

den Hospital,

nold FRCR); nt of Oncology,

(J Hanson BSc,

shire Oncology eltenham, UK

RCR, A Ashton RCN)

Methods In 1986–98, we randomly assigned 1410 women with invasive breast cancer (tumour stage 1–3 with a maximum of one positive node and no metastasis) who had had local tumour excision of early stage breast cancer (tumour stage 1–3 with a page 445

to receive 50 C given over 5 elsewhere. He appearance of

Mean = 4.0 [CL 1.0–7.8]

#### **Findings** After

ipsilateral tumour recapse after 10 years was  $12 \cdot 1\%$  (95% CI  $0 \cdot 0 - 15 \cdot 5$ ) in the 50 Gy group,  $14 \cdot 0\%$  (11 $\cdot 2 - 10 \cdot 5$ ) in the 39 Gy group, and  $9 \cdot 6\%$  ( $6 \cdot 7 - 12 \cdot 6$ ) in the 42 $\cdot 9$  Gy group (difference between 39 Gy and 42 $\cdot 9$  Gy groups,  $\chi^2$  test, p=0 $\cdot 027$ ). The sensitivity of breast cancer to dose per fraction was estimated to be 4 $\cdot 0$  Gy (95% CI  $1 \cdot 0 - 7 \cdot 8$ ), similar to that estimated for the late adverse effects in healthy tissue from breast radiotherapy.

**Interpretation** Breast cancer tissue is probably just as sensitive to fraction size as dose-limiting healthy tissues. If this finding is confirmed, radiotherapy schedules can be greatly simplified by the delivery of fewer, larger fractions without compromising effectiveness or safety, and possibly improving both.

Cumicar mals and Statistics Unit (ICR-CTSU), Section of Clinical Trials, Institute of Cancer Research, Sutton, UK (Prof J M Bliss MSc, J Homewood BSc, C Harper MSc, J Haviland MSc); and Department of Human Oncology, University of Wisconsin Medical School, Madison, WI, USA

| Та                     | ble 9.1: $\alpha/\beta$ ratios f | or <b>human</b> r | normal tiss                    | ues and tumors                                      |
|------------------------|----------------------------------|-------------------|--------------------------------|---|
| Tissue/organ           | Endpoint                         | α/β (Gy)          | 95% CL (Gy)                    | Source  |
| Early reactions        | <b>F</b> a the second            | 0.0               | 0.0.44.0                       |   |
| Skin                   | Erythema                         | 8.8<br>12 3       | 6.9; 11.6<br>1 8: 22 8         | Iuresson and Inames (1989)<br>Bentzen et al. (1988) |
|                        | Dry desquamation                 | ≈ 8               | N/A                            | Chogule and Supe (1993)                             |
| 0.1                    | Desquamation                     | 11.2              | 8.5; 17.6                      | Turesson and Thames (1989)                          |
|                        | Mucositis                        |                   | 5 g· 17 u                      |   |
|                        |                                  |                   |                                |   |
| Late reactions         | ΝΛα                              |                   |                                |   |
| Skin/vasculature       |                                  |                   |                                | 989)  |
|                        |                                  |                   |                                | 1991)   |
| Subcutis               |                                  |                   |                                | 1991)   |
| Breast                 | R 4                              |                   |                                | 008)  |
| Muscle/vasculati       |                                  | n Farl            | N 10 6                         |   |
| Nerve                  |                                  |                   | IY IU.U                        |   |
|                        |                                  |                   |                                |   |
| Spinal cord            |                                  |                   |                                |   |
| Eye                    | LIONI I.                         | in a tir          | mara k                         | iah   |
| Bowel                  |                                  |                   |                                |   |
| Bowel                  |                                  |                   |                                | ·········   |
| Lung                   |                                  |                   | 4                              | _   |
| Head and neck          | Rraget D                         | rnetata           | h tuma                         | re lour   |
| Head and neck          |                                  | <b>U</b> 31010    |                                |   |
| Oral cavity + oro      | •                                |                   |                                |   |
| Tumours                |                                  |                   |                                |   |
| Head and neck          |                                  | 10 F              | C F: 00                        | Stupplys and Thomas (1999)                          |
| l arvnx                |                                  | 10.5              | 6.5, 29<br>4 9 <sup>.</sup> 24 | Rezvani et al. (1993)                               |
| Vocal con              | d                                | ≈ 13              | 'wide'                         | Robertson et al. (1993)                             |
| Buccal m               | ucosa                            | 6.6               | 2.9; ∞                         | Maciejewski et al. (1989)                           |
| Ionsil<br>Nasonhai     | rvpx                             | 7.2<br>16         | 3.6; ∞<br>_11· 43              | Maciejewski et al. (1989)<br>Lee et al. (1995)      |
| Skin                   |                                  | 8.5               | 4.5; 11.3                      | Trott et al. (1984)                                 |
| Prostate               |                                  | 1.1               | -3.3; 5.6                      | Bentzen and Ritter (2005)                           |
| Breast                 |                                  | 4.6               | 1.1; 8.1                       | START Trialists Group (2008)                        |
| Oesopnagus<br>Melanoma |                                  | 4.9<br>0.6        | 1.5; 17<br>-1.1: 2.5           | Gen et al. (2006)<br>Bentzen et al. (1989)          |
| Liposarcoma            |                                  | 0.4               | -1.4; 5.4                      | Thames and Suit (1986)                              |









Basic LQ equation:  

$$-\log_e SF_n = E = n(\alpha d + \beta d^2) = D(\alpha + \beta d)$$

LQ equation with incomplete repair:  

$$E = D\left(\alpha + \beta d\left(1 + H_m\right)\right)$$

*m* is the number of fractions per day

*H<sub>m</sub>* varies from: 0 ("full repair") to *m*-1 ("no repair")

#### Incomplete repair factors: fractionated irradiation ( $H_m$ factors)

| Repair               | Interval for <i>m</i> = 2 fractions per day |       |       |       |       | Interval for <i>m</i> = 3 fractions per day |       |       |       |       |       |
|----------------------|---|-------|-------|-------|-------|---|-------|-------|-------|-------|-------|
| half-time<br>(hours) | 3   | 4     | 5     | 6     | 8     | 10  | 3     | 4     | 5     | 6     | 8     |
| 0.50                 | 0.016                                       | 0.004 | 0.001 | 0.000 | 0.000 | 0.000                                       | 0.021 | 0.005 | 0.001 | 0.000 | 0.000 |
| 0.75                 | 0.063                                       | 0.025 | 0.010 | 0.004 | 0.001 | 0.000                                       | 0.086 | 0.034 | 0.013 | 0.005 | 0.001 |
| 1.00                 | 0.125                                       | 0.063 | 0.031 | 0.016 | 0.004 | 0.000                                       | 0.177 | 0.086 | 0.042 | 0.021 | 0.005 |
| 1.25                 | 0.190                                       | 0.109 | 0.063 | 0.036 | 0.012 | 0.004                                       | 0.277 | 0.153 | 0.086 | 0.049 | 0.016 |
| 1.50                 | 0.250                                       | 0.158 | 0.099 | 0.063 | 0.025 | 0.010                                       | 0.375 | 0.227 | 0.139 | 0.086 | 0.034 |
| 2.00                 | 0.354                                       | 0.250 | 0.177 | 0.125 | 0.063 | 0.031                                       | 0.555 | 0.375 | 0.257 | 0.177 | 0.086 |
| 2.50                 | 0.435                                       | 0.330 | 0.250 | 0.190 | 0.109 | 0.063                                       | 0.707 | 0.512 | 0.375 | 0.277 | 0.153 |
| 3.00                 | 0.500                                       | 0.397 | 0.315 | 0.250 | 0.158 | 0.099                                       | 0.833 | 0.634 | 0.486 | 0.375 | 0.227 |
| 4.00                 | 0.595                                       | 0.500 | 0.420 | 0.354 | 0.250 | 0.177                                       | 1.029 | 0.833 | 0.678 | 0.555 | 0.375 |
| 5.00                 | 0.660                                       | 0.574 | 0.500 | 0.435 | 0.330 | 0.250                                       | 1.170 | 0.986 | 0.833 | 0.707 | 0.512 |

Table 8.2

| Tissue               | Species | Dose delivery <sup>#</sup> | T <sub>1/2</sub> (hours) | Source                              |
|----------------------|---------|----------------------------|--------------------------|-------------------------------------|
| Haemopoietic         | Mouse   | CLDR                       | 0.3                      | Thames <i>et al</i> . (1984)        |
| Spermatogonia        | Mouse   | CLDR                       | 0.3-0.4                  | Delic <i>et al</i> . (1987)         |
| Jejunum              | Mouse   | F                          | 0.45                     | Thames <i>et al.</i> (1984)         |
|                      | Mouse   | CLDR                       | 0.2-0.7                  | Dale et al. (1988)                  |
| Colon (acute injury) | Mouse   | F                          | 0.8                      | Thames <i>et al</i> . (1984)        |
|                      | Rat     | F                          | 1.5                      | Sassy <i>et al</i> . (1988)         |
| Lip mucosa           | Mouse   | F                          | 0.8                      | Ang <i>et al</i> . (1985)           |
|                      | Mouse   | CLDR                       | 0.8                      | Scalliet <i>et al</i> . (1987)      |
|                      | Mouse   | FLDR                       | 0.6                      | Stüben <i>et al</i> . (1991)        |
| Tongue epithelium    | Mouse   | F                          | 0.75                     | Dörr <i>et al</i> . (1993)          |
| Skin (acute injury)  | Mouse   | F                          | 1.5                      | Rojas <i>et al</i> . (1991)         |
|                      | Mouse   | CLDR                       | 1.0                      | Joiner <i>et al.</i> (unpublished)  |
|                      | Pig     | F                          | 0.4 + 1.2*               | van den Aardweg and Hopewell (1992) |
|                      | Pig     | F                          | 0.2 + 6.6*               | Millar <i>et al</i> . (1996)        |
| Lung                 | Mouse   | F                          | 0.4 + 4.0*               | van Rongen <i>et al.</i> (1993)     |
|                      | Mouse   | CLDR                       | 0.85                     | Down <i>et al</i> . (1986)          |
|                      | Rat     | FLDR                       | 1.0                      | van Rongen (1989)                   |
| Spinal cord          | Rat     | F                          | 0.7 + 3.8*               | Ang <i>et al</i> . (1992)           |
|                      | Rat     | CLDR                       | 1.4                      | Scalliet <i>et al</i> . (1989)      |
|                      | Rat     | CLDR                       | 1.43                     | Pop <i>et al</i> . (1996)           |
| Kidney               | Mouse   | F                          | 1.3                      | Joiner <i>et al</i> . (1993)        |
|                      | Mouse   | F                          | 0.2 + 5.0                | Millar <i>et al</i> . (1994)        |
|                      | Rat     | F                          | 1.6–2.1                  | van Rongen <i>et al.</i> (1990)     |
| Rectum (late injury) | Rat     | CLDR                       | 1.2                      | Kiszel <i>et al</i> . (1985)        |
| Heart                | Rat     | F                          | >3                       | Schultz-Hector et al. (1992)        |

#### Half times for recovery $(T_{1/2})$ in normal tissues

\* Two components of repair with different half-times.

<sup>#</sup> continuous low dose rate; F, acute dose fractions; FLDR, fractionated low dose rate.

Tables 8.4, 9.2





Figure 11.1: Dorr & Kummermehr 1990, Dorr et al 1993, Ruifrok et al 1992, Landuyt et al 1997

Do NOT put proliferation factors in your LQ calculations. Consider the effect of proliferation separately from changes in dose per fraction and interfraction interval. EQD2...

Coming up... Calculations!

## Molecular basis of the DNA damage response

#### Marianne Koritzinsky

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# **DNA - The Main Target of Radiation**









• Only molecule which is repaired

## Initial cellular responses to radiation



# Endogenous DNA damage

- In every human cell per day:
  - 50,000 SSB
  - 10,000 depurinations
  - 600 deaminations
  - 2000 oxidative base damages
  - 5000 alkylation damage
  - 10 cross links
  - 10 DSB's

# Ionizing radiation damage

Primary target is the DNA

1Gy of low LET Xrays produces:

1000 single strand breaks
40 double strand breaks
1000 altered bases

1000 altered bases



## Multiple damaged sites



## **DNA Damage Response**



## Initial cellular responses to radiation


#### DNA damage signaling



## The Sensors

| M N<br>R ATM         | ATM<br>MRN    | <ul> <li>PIKK kinase</li> <li>mutated in Ataxia Telangiectasia</li> <li>patients are radiosensitive</li> <li>activated by DNA damage (DSB), phosphorylates many proteins</li> <li>important for ATM activation, recruitment</li> <li>involved in processing damage</li> <li>nuclease activity</li> </ul> |
|----------------------|---------------|--|
| Ku70<br>Ku80 DNAPKcs | DNAPKcs<br>Ku | <ul> <li>PIKK kinase</li> <li>activated by DNA damage (DSB)</li> <li>involved directly in repair</li> <li>DNA end binding proteins</li> </ul>  |
|                      |               | - recognize damage, recruit DNAPKCS  |
| ATRIP                | ATR           | <ul> <li>PIKK kinase</li> <li>ATM and rad3 related kinase</li> <li>not involved in recognizing DSB</li> <li>important for replication stress, stalled forks</li> <li>is often activated during DSB repair</li> </ul>   |

## IRIF

- Form rapidly after irradiation minutes
- Occur at sites of damage
- Foci extend over larges region around the break





#### Checkpoints

# Checkpoints occur at several points in the cell cycle





#### **IRIF mark DSBs**



#### IR induces 4 distinct checkpoints



## G1, S and Early G2 checkpoints

- Rapidly activated after IR
- Blocks entry into the next cell cycle phase
- Not important for intrinsic radiosensitivity
  - To single doses!
- Often altered in cancer
  - Important for avoiding mutations
  - Tumor cells and normal cells proliferate differently after IR
- Involved in activation of premature senescence

## Late G2 checkpoint

- Not part of the initial DDR
   Becomes evident many hours after irradiation
- Checkpoint is activated in cells irradiated in G1, S and G2 that arrive at mitosis with damage

Protects against mitotic catastrophe

• Important for radiation sensitivity

#### G1 checkpoint and early apoptosis





### **DNA Repair and Fractionation**

- The fractionation effect is due mainly to DNA repair
- ½ time for recovery is similar to ½ time for repair



#### **DSB** Repair

Non-Homologous End-joining (NHEJ) Homologous Recombination (HR)

## HR and NHEJ

#### Non-homologous end-joining



#### Homologous recombination



Resolution of intermediates, ligation

#### Cell-cycle dependence of HR repair

• HR requires a homologous template



#### DNA Repair Through the cell cycle



## HR versus NHEJ

#### • NHEJ

- Repairs most DSB 80%
- Very important for radiosensitivity
- Error prone
- All parts of the cell cycle
- Similar in all cell types

#### • HR

- Repairs fewer DSB 20%
- Important for radiosensitivity
- Error free
- S and G2 phase
- responsible for change in sensitivity in the cell cycle
- Varies more between cell lines (high in stem cells)
- Defects common in cancer

### Recruitment of repair machinery - HR



BRCA1 aids recruitment of HR machinery

HR machinery influenced by FANCD2 and BRCA2

#### Homologous Recombination - HR



Accurate repair of a double stand break

Requires a sister chromatid as undamaged template



#### NHEJ



#### NHEJ



# **Clinical: DNA repair inhibitors**

- BRCA tumours
  - BRCA proteins are haplosufficient tumor suppressors
  - BRCA biallelic loss causes mild radiosensitivity
- Synergy with PARP inhibition (Base excision repair, Single strand break repair)

## Summary of DNA damage repair

- DSBs are the most important damage produced by IR
- DSBs are sensed by ATM and MRN
  - Apoptosis (rarely)
  - Checkpoint activation
  - DNA repair
- Repair requires large repair factories containing many proteins
  - NHEJ (DNAPKcs, Ku70/80, Artemis, XRCC4, Ligase)
  - HR (BRCA1/2, Rad51/52, FANCD2)
- Impaired DNA repair machinery (NHEJ) causes (extreme) radiosensitivity







## Normal tissues: Radiosensitivity and fractionation



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#### **Radiation effects - 5 Rs of radiotherapy**

Radiation sensitivity Recovery Redistribution Repopulation Reoxygenation



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#### Intrinsic (tissue) radiosensitivity





#### **Radiation effects - 5 Rs of radiotherapy**

Radiation sensitivity Recovery Redistribution Repopulation Reoxygenation



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#### **Recovery – in vitro**





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#### **Recovery and DNA-Repair – in vitro**



#### in vitro: DNA-Repair ? in vivo ??? – more complex, DNA-Repair +++

modified from: Klokov et al., RTO 80, 2006, 223-229



#### **Dose fractionation: Split course studies**





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#### **Dose fractionation: Split course studies**



modified from: Stewart et al., Radiat. Res. 98, 1984, 407-420



#### **Dose fractionation: LQ formalism**

#### $\alpha/\beta$ -value - early effects / experimental data

| •               | Tissue/reaction   | $\alpha/\beta$ -value [Gy] | Ref.                                  |
|-----------------|-------------------|----------------------------|---------------------------------------|
| Epidermis       | Desquamation      | 9.1-12.5                   | Douglas and Fowler 1976               |
|                 |                   | 8.6-10.6                   | Joiner et al. 1983                    |
|                 |                   | 9-12                       | Moulder and Fischer 1976              |
|                 |                   | 49.6 [42.28.6]             | Ruifrok et al. 1994                   |
| Oral            | Ulceration        | 7.9 [1]                    | ∆ng et al. 1985                       |
| mucosa          |                   |                            | Most and Kummermehr 1986 (Reanalysis) |
|                 |                   | 7.                         | challiet et al. 1987                  |
|                 |                   | 16.4 114.6:18.2            | Stüren et al. 1991                    |
|                 |                   |                            | Dörr et al. 1993                      |
|                 |                   | 1 [7.4:20.2]               | Nickstadt and Dörr, unpublished       |
| Intestine       | Crypt survival    | 6.0-8                      | Withers et a. 1976                    |
|                 |                   | 6.6-11.7                   | Thames et al. 1981                    |
|                 |                   |                            | Tucker et al. 1983                    |
|                 |                   | 13.3                       | Huczkowski and Trott 1984             |
|                 | Weight loss       | 43                         | Tucker and Denekamp 1984              |
| Urinary bladder | Impaired function | 13.9 [8.4:24.6]            | Dörr and Schultz-Hector 1992          |
|                 |                   | 11.0 [7.5;16.1]            | Dörr 1995                             |
| Testis          | clon. survival    | 12-13                      | Thames and Withers 1980               |



#### **Dose fractionation: LQ formalism**

#### $\alpha/\beta$ -value - late effects / experimental data

| Tissue / reaction                 | α/β-value [Gy] | Ref.                      |
|-----------------------------------|----------------|---------------------------|
| Spinal cord cervical              | 1.8-2.7        | van der Kogel 1979        |
|                                   | 1.6-1.9        | White and Hornsey 1978    |
|                                   | 1.5-2.0        | Ang et al. 1983           |
|                                   | 2.2-3.0        | Thames et al. 1981        |
| lumbar                            | 3.7-4.         | van der Kogel 1979        |
|                                   | 4.1-4.9        | White and Hornsey 1978    |
|                                   | 3-9-4.1        | Leith et al 1981          |
|                                   |                | Amals and Yuhas           |
| Intestine Weight loss             | 1-5            | Terry and Denekamp        |
| Rectal stenosis                   |                | rott and Kummermehr 1994  |
| Kidney                            | 1.7-2.0        | Caldwell 1975             |
|                                   | 7-2.0          | Hopewell and Wiernik 1977 |
|                                   | 3.5-3.8        | van Rongen et al. 1988    |
|                                   | 1.0            | liams and Denekamp 1984   |
|                                   | 0.9-22         | Stewart et al. 1984       |
|                                   | 1.4 .3         | Thames et al. 1988        |
| Urinary bladder Impaired function | 6.6 [2 2;14    | Stewart et al. 1984       |
|                                   | 5.8 [3.1 3.8]  | Bentzen et al. 1992       |
|                                   | 4.4 [2.0;8.4]  | Dörr and Bentzen 1999     |
| Lung LD50                         | 4.4-6.3        | Wara et al. 1973          |
|                                   | 2.8-4.8        | Field et al 1976          |
|                                   | 2.0-2.4        | Travis et al. 1983        |
| Breathing frequency               | 1.9-3.1        | Parkins and Fowler 1985   |



ESTRO BCR Course / NT radiosensitivity and fractionation – W. Dörr

#### **Dose fractionation: LQ formalism - Tumours**

| Tissue/organ  | Endpoint | α/β<br>(Gy) | 95% CL<br>(Gy) | Source                        |
|---------------|----------|-------------|----------------|-------------------------------|
| Tumours       |          |             |                |                               |
| Head and neck |          |             |                |                               |
| Various       |          | 10.5        | [6.5; 29]      | Stuchke and Thames (1999)     |
| Larynx        |          | 14.5*       | [4]; 242       | Rez ni et al. (1993)          |
| Vocal cord    |          | ~13         | "W ~"          | Robertson et al. (1993)       |
| Buccal mucosa |          | 6.0         | 9; infinity]   | Maciejewski et al. (1989)     |
| Tonsil        |          | 2           | ; infinity]    | Maciejewski et al. (1989)     |
| Nasopharynx   |          | 16          | [-11; 43]      | Lee et al. (1995)             |
| Skin          |          | 8.5*        | [4.5; 11.3]    | Trott et al. (1984)           |
| Prostate**    |          | 1.1         | [-3.3; 5.6]    | Bentzen and Ritter (2005)     |
| Breast        |          | 4.6         | [1.1; 8.1]     | START Trialists' Group (2008) |
| Esophagus     |          | 4.9         | [1.5; 17]      | Geh et al. (2006)             |
| Melanoma      |          | 0.6         | [-1.1; 2.5]    | Bentzen et al. (1989)         |
| Liposarcoma   |          | 0.4         | [-1.4; 5.4]    | Thames and Suit (1986)        |

Table 9.1 Fractionation sensitivity of human normal tissues and tumours.

Basic Clinical Radiobiology, 4<sup>th</sup> Ed.



#### mouse 22 tongue ED50 for fractionated irradiation [Gy] mucosa, 20 early 18 response 16 $\alpha/\beta$ =11.6 Gy T<sub>1/2</sub>=46 min 14 12 10 8 60 120 180 240 300 360 420 480 540 0 Time between fractions [min] Dörr et al., RTO 27, 1993, 36-45





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#### **Dose fractionation: Time interval**

| Endpoint                 | Dose<br>delivery* | T1/2<br>(hours) | 95% CL<br>(hours) | Source                     |
|--------------------------|-------------------|-----------------|-------------------|----------------------------|
| Erythema, skin           | MFD               | 0.35 and 1.2**  | ?                 | Turesson _ 189)            |
| Mucositis, head and neck | MFD               | 2–4             | ?                 | P96)                       |
|                          | FLDR              | 0.3-0.7         | -                 | . et al. (1995)            |
| Laryngeal oedema         | MFD               | 4.9             | SL                | Bentzen et al. (1999)      |
| Radiation myelopathy     | MFD               | 101             | ?                 | Dische and Saunders (1989) |
| Skin telangiectasia      | MFD               | r00             | ?                 | Turesson and Thames (1989) |
|                          | 77                | 3.8             | [2.5; 4.6]        | Bentzen et al. (1999)      |
| Subcutaneous fibrosis    | 12                | 4.4             | [3.8; 4.9]        | Bentzen et al. (1999)      |
| Temporal lobe ner        | MFD               | > 4             | ?                 | Lee et al. (1999)          |
| Various p-               | HDR/LDR           | 1.5-2.5         | ?                 | Fowler (1997)              |

Table 9.2 Repair halftime for human normal-tissue endpoints.

\*\*Evidence of two components of repair with different halftimes.

Basic Clinical Radiobiology, 4<sup>th</sup> Ed.



#### Dose fractionation: Equal effect of dose per fraction (???)





ESTRO BCR Course / NT radiosensitivity and fractionation – W. Dörr


Dörr et al., Int. J. Radiat. Biol 76, 2000, 383-390



| Treatment protocol       | Fractionation: $\text{ED}_{50} \pm \sigma$ (Gy) | $p^{\mathbf{a}}$ |    |
|--------------------------|---|------------------|----|
| Fractions: repair capac  | ity study                                       |                  | Da |
| 1                        | $4.0 \pm 1.8$                                   |                  |    |
| 2                        | $5.9 \pm 2.6$                                   | 0.829            |    |
| 3                        | $4.3 \pm 2.2$                                   | 0.808            |    |
| 5                        | $5.3 \pm 1.8$                                   | 0.048            |    |
| Interval (min): repair k | cinetics study                                  |                  |    |
| 5                        | $2.8 \pm 1.3$                                   | 0.020/-          |    |
| 10                       | $3.3 \pm 0.7$                                   | 0.101/0.179      |    |
| 15                       | $2.5 \pm 1.0$                                   | 0.009/0.544      |    |
| 30                       | $3.4 \pm 1.3$                                   | 0.234/0.281      |    |
| 45                       | $3.3 \pm 1.1$                                   | 0.183/0.281      |    |
| 60                       | $2.8 \pm 1.4$                                   | 0.030/0.808      |    |
| 90                       | $2.8 \pm 0.8$                                   | 0.017/0.999      |    |
| 120                      | $2.6 \pm 1.0$                                   | 0.009/0.643      |    |
| 240                      | $3.4 \pm 1.2$                                   | 0.147/0.228      |    |

Dörr et al., Int. J. Radiat. Biol 76, 2000, 383-390



| Treatment protocol       | Fractionation: $ED_{50} \pm \sigma$ (Gy) | $p^{\mathrm{a}}$ |
|--------------------------|--|------------------|
| Fractions: repair capac  | ity study                                |                  |
| 1                        | $5.8 \pm 2.1$                            |                  |
| 2                        | $5.6 \pm 0.9$                            | 0.828            |
| 3                        | $5.3 \pm 1.3$                            | 0.142            |
| 5                        | $6.4 \pm 0.8$                            | 0.576            |
| Interval (min): repair k | inetics study                            |                  |
| 5                        | $4.5 \pm 1.8$                            | 0.260/-          |
| 10                       | $5.3 \pm 2.1$                            | 0.139/0.268      |
| 15                       | $5.5 \pm 2.4$                            | 0.595/0.126      |
| 30                       | $6.1 \pm 2.5$                            | 0.903/0.032      |
| 45                       | $5.8 \pm 2.2$                            | 0.809/0.067      |
| 60                       | $6.3 \pm 2.3$                            | 0.681/0.011      |
| 90                       | $5.5 \pm 2.1$                            | 0.498/0.175      |
| 120                      | $6.6 \pm 3.0$                            | 0.543/0.008      |
| 240                      | $6.0 \pm 2.4$                            | 0.981/0.044      |

Dörr et al., Int. J. Radiat. Biol 76, 2000, 383-390





Pabst et al. IJROBP 58, 2004, 485-492







ESTRO BCR Course / NT radiosensitivity and fractionation – W. Dörr

# Dose fractionation: LQ at high doses per fraction (???)





ESTRO BCR Course / NT radiosensitivity and fractionation – W. Dörr

#### **Dose fractionation: LQ at high doses per fraction (???)**



**F**<sub>e</sub>-**Plot** Douglas und Fowler 66, 1976, 401-426









# Take home message

#### **Recovery:**

- marked for late effects (low  $\alpha/\beta$ -value)
- significant but less pronounced for early effects
  - and tumours (exceptions!)
  - and consequential late effects
- time interval between fractions important











# Normal tissues: Overall treatment time



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### Chapter 11

**Basic Clinical** 

Radiobiology

Edited by Michael Joiner and Albert van der Kogel

# **Radiation effects - 5 Rs of radiotherapy**

Radiation sensitivity Recovery Redistribution Repopulation Reoxygenation

# Repopulation

**Regeneration response of turnover** tissues and tumours to radiotherapy, resulting in increased radiation tolerance with increasing overall treatment time. ==> overall treatment time ==> accelerated radiotherapy

### **Repopulation – clinical observations** CHART head-and neck-trial



# **Repopulation – clinical observations**

#### Healing of mucositis during last treatment weeks



## **Repopulation – clinical observations**

**Mucositis after treatment breaks** 



Maciejewski et al., RTO 22, 1991, 7-11

### **Repopulation – clinical observations**

#### **Changes in consequential late effects**



data from: Horiot et al., RTO 44, 1997, 111-121

#### **Top-up design - fractionated irradiation**



3 Gy

No irradiation

Top-up irradiation (test irradiation) Graded doses, 5 dose groups x 10-12 animals each



Dörr and Kummermehr, RTO 17, 1990, 249-259



modified from: Dörr and Kummermehr, RTO 17, 1990, 249-259

### **Repopulation – dose dependence**



Dörr, Int. J. Radiat. Biol. 79, 2003, 531-537



## Repopulation

| Tissue                             | EQD2 / day<br>(number of 2 Gy-fractions | Reference<br>s/day)   |
|------------------------------------|---|---|
| human oral mucosa                  | a 0.6<br>1.0<br><1<br><0.5              | Fletcher 1962<br>Maciejewski et al. 1991<br>ham et al. 1996<br>Jzen et al. 2001 |
| mouse oral mucosa<br>lip<br>tongue | 0.5<br>0.9                              | Ang et al. 1985<br>Dörr et al. 1990, 1995                                       |
| rat epidermis                      | GY 1.2                                  | Moulder&Fischer 1976<br>Van Rongen&Kal 1984                                     |
| mouse epiderm                      | 0.2<br>1.4-2.0                          | Denekamp et al. 1973]<br>Abe&Urano 1990   |
| pig epidermis                      | 0.3                                     | Van den Aardweg et al.<br>1988  |

Extended from: Dörr, Habil. Thesis 1997

### **Mechanisms of repopulation**





Target cell hypothesis / Stem cell concept







### **Repopulation: Mechanisms** complete symmetry, differentiation block











| Observation                  | Mechanism      |
|------------------------------|----------------|
| dose compensation            | asymmetry loss |
| rate of dose<br>compensation | acceleration   |

#### Observation 3: Compensation of normal cell loss



control

mouse tongue mucosa 10 x 2 Gy/2 weeks



© Photographs: W. Dörr



Dörr et al., Int. J. Radiat. Biol. 66, 1994, 157-167



Dörr et al., IJROBP 52, 2002, 911–917








ESTRO BCR Course / NT overall treatment time – W. Dörr

## **Repopulation: Mechanisms**

| Dose    | relative stem cell number | cell cycle time |
|---------|---------------------------|-----------------|
| control | 100 %                     | 3.5 d           |
| 5x2 Gy  | 9 %                       | 8 h             |
| 5x3 Gy  | 2 %                       | 2 h             |



ESTRO BCR Course / NT overall treatment time – W. Dörr

### **Repopulation: Mechanisms**





### **Repopulation: Mechanisms**









# Take home message

### **Overall treatment time / repopulation:**

- early effects (turnover tissues) and tumours
- not in late effects (exception: CLE)
- biology/mechanisms complex

### **NTCP models:**

• no time factor !!!







| 1.8 -         | Conventional fr<br>2.0 Gy per fraction,<br>IIIII IIIII IIIII IIIII | actionatio<br>5 fraction | n<br>s per week<br>IIIII |
|---------------|--|--------------------------|--------------------------|
|               | Example  | Dose<br>(Gy)             | Tumor control (%)        |
| Sensitive     | Seminoma, Lymphoma   | ≤ <b>45</b>              | ≥ 90                     |
| Intermediate  | SCC,   | 50                       | $\ge$ 90 (subclinical)   |
|               | Adeno-Ca   | 60                       | ~ 85 (Ø 1 cm)            |
|               |  | 70                       | ~ 70 (Ø 3 cm)            |
|               |  |                          | ~ 30 (Ø 5 cm)            |
| Resistant     | Glioblastoma   | ≥ 60                     | none?                    |
|               | Melanoma   | ≥ 60                     | none?                    |
| ESTRO<br>2017 |  |                          |                          |

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|               | Toxi                               | city of RT in       | HNSC       | С   |      |  |  |
|---------------|------------------------------------|---------------------|------------|---|------|--|--|
|               | Early effect in a                  | ccelerated or hyp   | perfration | ation RxTh  |      |  |  |
|               | Author Regimen Grade 3-4 mucositis |                     |            |   |      |  |  |
|               |                                    |                     | Control    | Experimental                                      |      |  |  |
|               | Horiot (n=356)                     | HF                  | 49%        | 67%   |      |  |  |
|               | Horiot (n=512)                     | Acc. fract. + split | 50%        | 67%   |      |  |  |
|               | Dische (n=918)                     | CHART               | 43%        | 73%   |      |  |  |
|               | Fu (n=536)                         | Acc. frac (CB)      | 25%        | 46%   |      |  |  |
|               | Fu (n=542)                         | Acc. fract. + split | 25%        | 41%   |      |  |  |
|               | Fu (n=507)                         | HF                  | 25%        | 42%   |      |  |  |
|               | Skladowski (n=99)                  | Acc. Fract.         | 26%        | 56%   |      |  |  |
| ESTRO<br>2017 |                                    |                     | D<br>H     | ishes, 1997 Fu, 2000<br>oriot, 1992 Skladowski, 2 | 2000 |  |  |







| Tissue                        | D <sub>prolif</sub> (Gy.d <sup>-1</sup> ) | T <sub>k</sub> <sup>*</sup> (days) |
|-------------------------------|---|------------------------------------|
| Early normal tissue reactions |   |                                    |
| Skin (erythema)               | 0.12 (-0.12-0.22)                         | < 12                               |
| Mucosa (mucositis)            | 0.8 (0.7-1.1)                             | < 12                               |
| Lung (pneumonitis)            | 0.54 (0.13-0.95)                          | n.a.                               |
| <u>Tumors</u>                 |   |                                    |
| Head and neck                 |   |                                    |
| • larynx                      | 0.74 (0.3-1.2)                            | n.a.                               |
| • tonsils                     | 0.73                                      | 30                                 |
| • various                     | 0.8 (0.5-1.1)                             | 21                                 |
| • various                     | 0.64 (0.42-0.86)                          | n.a.                               |
| NSCLC                         | 0.45                                      | n.a.                               |
| Medulloblastoma               | 0.52 (0.29-0.71                           | 0 - 21                             |

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|               | Toxi                               | icity of RT in      | HNSCC          |  |  |  |  |  |  |
|---------------|------------------------------------|---------------------|----------------|--|--|--|--|--|--|
|               | Early effect in a                  | accelerated or hyp  | erfrationat    | ion RxTh                                     |  |  |  |  |  |
|               | Author Regimen Grade 3-4 mucositis |                     |                |  |  |  |  |  |  |
|               |                                    |                     | Control        | Experimental                                 |  |  |  |  |  |
|               | Horiot (n=356)                     | HF                  | 49%            | 67%  |  |  |  |  |  |
|               | Horiot (n=512)                     | Acc. fract. + split | 50%            | 67%  |  |  |  |  |  |
|               | Dische (n=918)                     | CHART               | 43%            | 73%  |  |  |  |  |  |
|               | Fu (n=536)                         | Acc. frac (CB)      | 25%            | 46%  |  |  |  |  |  |
|               | Fu (n=542)                         | Acc. fract. + split | 25%            | 41%  |  |  |  |  |  |
|               | Fu (n=507)                         | HF                  | 25%            | 42%  |  |  |  |  |  |
|               | Skladowski (n=99)                  | Acc. Fract.         | 26%            | 56%  |  |  |  |  |  |
| ESTRO<br>2017 |                                    |                     | Dishe<br>Horio | s, 1997 Fu, 2000<br>t, 1992 Skladowski, 2000 |  |  |  |  |  |













| Randomized trials 1970-2010 (no postop RT)  |  |                 |   |  |                        |                          |                       |                              |  |
|---|--|-----------------|---|--|------------------------|--------------------------|-----------------------|------------------------------|--|
| 33 trials                                   | 33 trials included (11423 patients, individual data) |                 |   |  |                        |                          |                       |                              |  |
|   | Comparisons<br>(n)                                   | Patients<br>(n) | Proportion of<br>patients with<br>toxicity<br>receiving altered<br>fractionation<br>radiotherapy* | Proportion of<br>patients with<br>toxicity receiving<br>conventional<br>radiotherapy,<br>n/N (%) | Odds ratio<br>(95% CI) | p value<br>for<br>safety | <b>1</b> <sup>2</sup> | p value for<br>heterogeneity |  |
| Acute toxicities                            |  |                 |   |  |                        |                          |                       |                              |  |
| Mucositis (all trials)                      | 20   | 8541            | 38-9%   | 1155/4233  | 2.02 (1.81-2.26)       | <0.0001                  | 78%                   | <0.0001                      |  |
| Mucositis (no heterogeneity)                | 16   | 7051            | 35-2%   | (27·3%)<br>845/3499<br>(24·1%)   | 2·10 (1·84–2·41)       | <0.0001                  | 0%                    | 0.66                         |  |
| Dermatitis (all trials)                     | 15   | 4997            | 17-7%   | 410/2483 (16.5%)   | 1.09 (0.93-1.29)       | 0.29                     | 36%                   | 0.083                        |  |
| Dermatitis (no heterogeneity)               | 13   | 4314            | 20.1%   | 376/2143 (17.5%)   | 1.20 (1.01-1.42)       | 0.041                    | 0%                    | 0.83                         |  |
| Weight loss (all trials)                    | 5  | 2053            | 3-6%  | 43/1023 (4-2%)   | 0.87 (0.56–1.36)       | 0.54                     | 7%                    | 0.37                         |  |
| Need for feeding tube (all trials)          | 6  | 2859            | 52-1%   | 563/1420 (39-6%)   | 1.75 (1.49-2.05)       | <0.0001                  | 89%                   | <0.0001                      |  |
| Need for feeding tube<br>(no heterogeneity) | 4  | 1871            | 35.6%   | 252/929 (27.1%)  | 1.63 (1.34–1.99)       | <0.0001                  | 3%                    | 0.38                         |  |
| Late toxicities                             |  |                 |   |  |                        |                          |                       |                              |  |
| Xerostomia (all trials)                     | 12   | 4726            | 51-3%   | 1193/2337<br>(51-0%)   | 1.01 (0.88–1.14)       | 0.94                     | 20%                   | 0.25                         |  |
| Xerostomia (no heterogeneity)               | 11   | 4414            | 54-6%   | 1181/2182<br>(54·1%)   | 1.02 (0.90–1.17)       | 0.73                     | 0%                    | 0.50                         |  |
| Bone toxicity (all trials)                  | 11   | 3219            | 4-4%  | 64/1585 (4·0%)   | 1.12 (0.80–1.57)       | 0.52                     | 0%                    | 0.77                         |  |
| Mucosal toxicity (all trials)               | 8  | 2298            | 14.5%   | 149/1114 <b>(</b> 13·4%)   | 1.10 (0.87–1.40)       | 0.41                     | 49%                   | 0.058                        |  |
| Mucosal toxicity (no heterogeneity)         | 7  | 1921            | 14-4%   | 140/937 (14-9%)  | 0.96 (0.74-1.24)       | 0.74                     | 0%                    | 0.64                         |  |
| Neck fibrosis (all trials)                  | 15   | 5557            | 7.6%  | 188/2744 (6.9%)  | 1.13 (0.92–1.39)       | 0.23                     | 70%                   | <0.0001                      |  |
| Neck fibrosis (no heterogeneity)            | 12   | 4250            | 7.0%  | 138/2109 (6.5%)  | 1.09 (0.85-1.38)       | 0.50                     | 0%                    | 0.45                         |  |
| Neck fibrosis (no heterogeneity)            | 12   | 4250            | 7-0%  | 138/2109 (6.5%)  | 1.09 (0.85-1.38)       | 0.50                     | 0%                    | 0.45                         |  |





Meta-analysis on altered fractionation in loc. adv. NSCLC Randomized trials 1970-2005 (no postop RT) 10 trials included (2000 patients, individual data)

|  | Avai             | ilability          |                                     |   |                     |              |            |        |                |
|--|------------------|--------------------|-------------------------------------|---|---------------------|--------------|------------|--------|----------------|
| Severe Toxicity                              | No. of<br>Trials | No. of<br>Patients | Toxicity Rate in<br>Control Arm (%) | Toxicity Rate in<br>Experimental Arm (%)* | Result<br>OR 95% CI |              | P Efficacy | l² (%) | P Heterogeneit |
| lon-small-cell lung cancer<br>Acute toxicity |                  |                    |                                     |   |                     |              |            |        |                |
| Esophageal                                   | 10               | 1,968              | 9                                   | 19  | 2.44                | 1.90 to 3.14 | < .001     | 57     | .01†           |
| Pulmonary                                    | 9                | 1,390              | 7                                   | 5   | 0.67                | 0.42 to 1.05 | .08        | 0      | .65            |
| Cardiac                                      | 6                | 940                | 1                                   | 1   | 1.33                | 0.46 to 3.83 | .59        | 0      | .92            |
| Hematologic‡                                 | 5                | 607                | 34                                  | 29  | 0.79                | 0.48 to 1.32 | .38        | 0      | .54            |
| Neutrophils                                  | 5                | 600                | 33                                  | 28  | 0.80                | 0.46 to 1.40 | .44        | 3      | .39            |
| Platelets                                    | 5                | 595                | 13                                  | 8   | 0.55                | 0.32 to 0.96 | .03        | 0      | .98            |
| Hemoglobin                                   | 6                | 677                | 1                                   | 1   | 1.36                | 0.46 to 4.08 | .58        | 0      | .86            |
| Pulmonary                                    | 7                | 866                | 15                                  | 16  | 1.07                | 0.73 to 1.56 | .73        | 0      | .56            |
| Esophageal                                   | 7                | 861                | 3                                   | 4   | 1.24                | 0.61 to 2.56 | .55        | õ      | .89            |
| Cardiac                                      | 4                | 515                | 1                                   | 1   | 1.49                | 0.40 to 5.60 | .55        | 0      | .96            |
| Any of above                                 | 4                | 533                | 13                                  | 16  | 1.27                | 0.79 to 2.06 | .33        | 0      | .97            |
| Any of above                                 | 4                | 533                | 13                                  | 16  | 1.27                | 0.79 to 2.06 | .33        | 0      | .97            |









#### Hypofractionation in prostate Ca

Conventional versus hypofractionated high-dose intensity-modulated radiotherapy for prostate cancer: 5-year outcomes of the randomised, non-inferiority, phase 3 CHHiP trial

David Dearnaley, Isabel Syndikus, Helen Mossop, Vincent Khoo, Alison Birtle, David Bloomfield, John Graham, Peter Kirkbride, John Logue, Zafar Malik, Julian Money-Kyrle, Joe M O'Sullivan, Miguel Panades, Chris Parker, Helen Patterson\*, Christopher Scrase, John Staffurth, Andrew Stockdale, Jean Tremlett, Margaret Bidmead, Helen Mayles, Olivia Naismith, Chris South, Annie Gao, Clare Cruickshank, Shama Hassan, Julia Pugh, Clare Griffin, Emma Hall, on behalf of the CHHiP Investigators

74 Gy (37 x 2 Gy) in 7.4 w >< 60 Gy (20 x 3.0 Gy) in 4w >< 57 Gy (19 x 3 Gy) in 3.8w

ESTRO 2017

Dearnaley et al., Lancet Oncology, 2016









ESTRO 2017

Lancet Oncology, 2013







|           |  | ,                     | radiotiterapy for primary no   | ii sinaii een ie                     |   |                                |   |  |   |
|-----------|--|-----------------------|--|--------------------------------------|---|--------------------------------|---|--|---|
| Reference | Type of<br>publication                       | Number of<br>patients | Accounting for tumour<br>movement  | Location                             | Dose  | Follow-up                      | Local control   | Overall survival   | Grade ≥3<br>radiation<br>toxicity                       |
| [21]      | Multi-centre<br>retrospective<br>series      | 257                   | Varied (breath hold;<br>respiratory gating; slow<br>computed tomography<br>scan) | Peripheral<br>or central             | 30-84 Gy/1-14<br>fractions                                    | Median<br>38 months<br>(2-128) | 5 year 84% for<br>BED ≥ 100 Gy                          | 5 year 47% (71%<br>for medically<br>operable, and<br>BED ≥ 100 Gy) | 5.4% lung<br>1% oesophagit<br>1.2% dermatiti            |
| [23]      | Multi-centre<br>retrospective<br>series      | 138                   | Abdominal pressure if<br>needed  | Peripheral<br>(mainly) or<br>central | 30-48 Gy/2-4<br>fractions                                     | Median<br>33 months            | 88% at median<br>33 months                              | 3 year 55%   | 10%   |
| [26]      | Multi-centre<br>phase II                     | 57                    | Abdominal pressure if<br>needed  | Peripheral                           | 45 Gy/3<br>fractions  | Median<br>35 months            | 3 year 92%  | 3 year 60%   | 26% grade 3<br>2% grade 4                               |
| [24]      | Single centre<br>retrospective<br>series     | 68                    | Planning target volume<br>margins guided by<br>computed tomography<br>assessment | Peripheral<br>or central             | 24–40 Gy/3–5<br>fractions                                     | Mean<br>17 months              | 3 year 88%  | 3 year 53%   | 6% pneumonit<br>3% rib fracture                         |
| [14]      | Single centre<br>phase I, dose<br>escalation | 47                    | Abdominal pressure   | Peripheral<br>or central             | 24 Gy/3<br>fractions<br>escalating to<br>72 Gy/3<br>fractions | Median<br>15 months            | 79% at median<br>15 months                              | -  | 11% lung<br>2% pericardial<br>2% dermatitis             |
| [27]      | Single centre<br>phase II                    | 70                    | Abdominal pressure   | Peripheral<br>or central             | 60–66 Gy/3<br>fractions                                       | Median<br>17.5 months          | 2 year 95%  | 2 year 54%   | 20% (includes<br>possible grade<br>5 cases)             |
| [28]      | Single centre<br>retrospective<br>series     | 27                    | Four-dimensional<br>computed tomography<br>planning                              | Central or<br>superior               | 40-50 Gy/4<br>fractions                                       | Median<br>17 months            | 100% at median<br>17 months<br>(50 Gy) or<br>57% (4 Gy) | -  | 11% grade 2-3<br>pneumonitis/<br>chest wall pai         |
| [30]*     | Single centre<br>retrospective<br>series     | 59                    | Synchrony respiratory<br>tracking system   | Peripheral<br>or central             | 15–67.5 Gy/1–5<br>fractions                                   | 1-33 months                    | 90% free from<br>persistent or<br>recurrent<br>disease  | 86%  | 0% grade 4/5<br>toxicity<br>7% grade 1–3<br>pneumonitis |
| [9]*      | Single centre<br>retrospective<br>series     | 70                    | Synchrony respiratory<br>tracking system   | Peripheral                           | 45 or 60 Gy/3<br>fractions                                    | Median<br>15 months            | 2 year 96%<br>(60 Gy) or<br>78% (45 Gy)                 | 2 year 62%   | 10% late<br>toxicity<br>4% acute                        |





# Correcting dose errors in radiation treatment delivery

**Michael Joiner** 



Paris 2017

# Example:

Standard treatment is 35 × 2 Gy to 70 Gy.

Initially the schedule is given in error as 4 Gy per fraction for the first 6 fractions

*i.e.* the first 24 Gy is given "hypofractionated"

How do you correct?

Considering late injury, using  $\alpha/\beta = 3$  Gy,

$$EQD2_{late} = 24\left(\frac{4+3}{2+3}\right) = 33.6$$

Therefore, giving the rest of the treatment as 70 - 33.6 = 36.4 Gy in 2 Gy fractions would give equal late injury as  $35 \times 2$  Gy. In practice,  $36.4 \div 2 \approx 18$  (maybe 19) fractions. Considering tumor effect, using  $\alpha/\beta = 10$  Gy,

$$EQD2_{tumor} = 24\left(\frac{4+10}{2+10}\right) = 28$$

Therefore, giving the rest of the treatment as 70 - 28 = 42 Gy in 2 Gy fractions

would give equal tumor effect as 35 × 2 Gy.

## Thus:

To maintain equal late injury, total tumor *EQD2* is 28 + 36.4 = 64.4 Gy underdosing by 8% 12–20% loss in LTCP?

To maintain equal tumor effect, total late injury *EQD2* is 33.6 + 42 = 75.6 Gy overdosing by 8% 10–30% increase in complications?

# A better solution:

The initial error was *hypo*fractionated.

It should be corrected by *hyper*fractionating to achieve identical tumor effect *and* late injury as expected with 35 × 2 Gy.

# **Solution numerical:**

Propose to give the balance of the treatment as **d** Gy per fraction to total dose **D**.

$$D\left(\frac{d+3}{2+3}\right) = 36.4 \quad \text{for equal late injury}$$
$$D\left(\frac{d+10}{2+10}\right) = 42 \quad \text{for equal tumor effect}$$
$$\therefore \quad \frac{d+10}{d+3} = \frac{504}{182} \quad 10D - 3D = 504 - 182$$

Thus *d* = 0.9565[217] Gy and *D* = 46 Gy

**Observation:** 

# 24 Gy (4 Gy/#) + 46 Gy (0.96 Gy/#) = 70 Gy (2 Gy/#)

*i.e. the total doses of "error" plus "correction" sum to the original total dose prescribed* 

## How general is this result?
### **Definitions:**

Planned:p Gy per fraction to P GyError:e Gy per fraction to E GyCorrectdion:d Gy per fraction to D Gy

#### Int. J. Radiation Oncology Biol. Phys., Vol. 58, No. 3, pp. 871-875, 2004

#### A SIMPLE $\alpha/\beta$ -INDEPENDENT METHOD TO DERIVE FULLY ISOEFFECTIVE SCHEDULES FOLLOWING CHANGES IN DOSE PER FRACTION

MICHAEL C. JOINER, M.A., PH.D.

Department of Radiation Oncology, Karmanos Cancer Institute, Wayne State University, Detroit, MI

Purpose: Dosimetric errors in delivering the prescribed dose per fraction made early in a treatment can be corrected by modifying the dose per fraction and total dose given subsequently to discovery of the error, using the linear-quadratic model to calculate the correcting doses which should be completed within the same overall time as originally prescribed. This study shows how these calculations can be carried out independently of any  $\alpha/\beta$  ratios to bring the treatment back exactly to planned tolerance simultaneously for all tissues and tumor involved.

Methods: Planned treatment is defined as p Gy per fraction to a total dose P Gy; the initial error is e Gy per fraction given to a total of E Gy. The linear-quadratic formula is assumed to describe all isoeffect relationships between total dose and dose per fraction.

Results and Conclusion: An exact solution is found that describes a compensating dose of d Gy per fraction to a total of D Gy. The formulae are:

$$D = P - E$$
$$d = \frac{Pp - Ee}{P - E}$$







## Example revisited:

Standard treatment is 35 × 2 Gy to 70 Gy. Initially the schedule is given in error as 4 Gy per fraction for the first 6 fractions *i.e.* the first 24 Gy is given "hypofractionated"

### **Compensation:**

 $\frac{70 - 24 = 46}{70 \times 2 - 24 \times 4} = 0.9565...$ 

46/0.9565... = **48** fractions; *d* = **0.958 Gy** 

## Another example:

Standard treatment is 35 × 2 Gy to 70 Gy. Initially the schedule is given in error as 4 Gy per fraction for the first 3 fractions *i.e.* the first 12 Gy is given "hypofractionated"

### **Compensation:**

 $\frac{70 - 12 = 58}{70 \times 2 - 12 \times 4} = 1.5862...$ 

58/1.5862... = **37** fractions; *d* = **1.568 Gy** 

### Common errors - summary Planned 35 × 2 Gy to 70 Gy, Error = 4 Gy per fraction

| Error                    | Correction |       |    |
|--------------------------|------------|-------|----|
| EIIOI                    | D Gy       | d Gy  | n  |
| 1 × 4 Gy to <b>4</b> Gy  | 66         | 1.886 | 35 |
| 2 × 4 Gy to <b>8</b> Gy  | 62         | 1.722 | 36 |
| 3 × 4 Gy to <b>12</b> Gy | 58         | 1.568 | 37 |
| 4 × 4 Gy to <b>16</b> Gy | 54         | 1.421 | 38 |
| 5 × 4 Gy to <b>20</b> Gy | 50         | 1.190 | 42 |
| 6 × 4 Gy to <b>24</b> Gy | 46         | 0.958 | 48 |

## Further example:

Standard treatment is 35 × 2 Gy to 70 Gy. Initially the schedule is given in error as 1 Gy per fraction for the first 4 fractions *i.e.* the first 4 Gy is given "*hyper*fractionated"

### **Compensation:**

 $\frac{70 - 4 = 66}{70 \times 2 - 4 \times 1} = 2.0606...$ 

66/20.0606... = **32** fractions; *d* = **2.063 Gy** 

### Common errors - summary Planned 35 × 2 Gy to 70 Gy, Error = 1 Gy per fraction

| Error                   | Correction |       |    |
|-------------------------|------------|-------|----|
| LIIOI                   | D Gy       | d Gy  | n  |
| 1 × 1 Gy to <b>1</b> Gy | 69         | 2.029 | 34 |
| 2 × 1 Gy to <b>2</b> Gy | 68         | 2.000 | 34 |
| 3 × 1 Gy to <b>3</b> Gy | 67         | 2.030 | 33 |
| 4 × 1 Gy to <b>4</b> Gy | 66         | 2.063 | 32 |
| 5 × 1 Gy to <b>5</b> Gy | 65         | 2.097 | 31 |
| 6 × 1 Gy to <b>6</b> Gy | 64         | 2.065 | 31 |

### Remember...



Joiner MC. Int J Radiat Oncol Biol Phys 2004;58:871-5

## Generalization

Any plan (P, p) of dose per fraction p to total dose P, may be given to identical effect in all tissues and tumors using components (Q, q), (R, r), (S, s), (T, t) etc., where:

$$P = Q + R + S + T + \dots$$
$$Pp = Qq + Rr + Ss + Tt + \dots$$

# **Radiobiology in practice**

Mike Joiner Karin Haustermans







- Woman, 75 y
- 11-2016: D/ adenocarcinoma of the colon -> surgery: pT4bN2b with peritoneal metastasis
- 01-2017: liver and lung metastasis -> mFOLFOX
- 07-2017: progressive disease (liver) -> mFOLFIRI
- 08-2017: osteolytic bone metastasis: C6 + Th1



• MRI



• Planned treatment: 13 x 3 Gy = 39 Gy











• Planned treatment: 13 x 3 Gy = 39 Gy



- PTV: red
- Spinal cord: pink



• Spinal cord Dmax 105% or 40,76 Gy (physical dose)





Do we exceed the myelum tolerance dose, regarding the biological dose in the overlap zone?
 (α/β is assumed to be 2 Gy for spinal cord late toxicity)

- EQD<sub>2</sub> = D 
$$\frac{d + (\alpha/\beta)}{2 + (\alpha/\beta)}$$

- EQD<sub>2</sub> = 40,76 x 
$$\frac{3,135+2}{2+2}$$

- $EQD_2 = 40,76 \times 1,284$
- $EQD_2 = 52,34$











• Volumetric modulated arc therapy (VMAT)







• Volumetric modulated arc therapy (VMAT); DVH



- PTV: red
- Spinal cord: pink



- A patient was treated with 39 Gy in 13 fractions to the craniospinal axis.
- A shift was foreseen after 7 fractions.
- As result of a mistake an overlap of 1 cm was created instead of a gap of 1 cm after 7 fractions. This mistake was applied for 2 fractions.
- In the overlap region of 1 cm, the spinal cord received 7x 3.1 Gy Dmax and 2 x 6.2 Gy.



### Planning technique of craniospinal irradiation

#### Gap-junction method No Field Edge Matching



Junction shift Dose feathering



 Not robust for setup errors, i.e. 100% dose difference expected for setup errors larger than 5-7 mm



• What is the physical dose in the overlap zone?

• Do we exceed the spinal cord tolerance in the overlap zone?



- What is the physical dose in the overlap zone?
- $D = 7 \times 3,1 \text{ Gy} + 2 \times 6,2 = 33,9 \text{ Gy}$
- Do we exceed the spinal cord tolerance, regarding the biological dose in the overlap zone?
   (α/β is assumed to be 2 Gy for spinal cord late toxicity)

- 
$$EQD_2 = D \frac{d + (\alpha/\beta)}{2 + (\alpha/\beta)}$$
  
-  $EQD_2 = 21.7 \times \frac{3.1 + 2}{2 + 2} = 27,67 \text{ Gy} (7 \text{ fractions})$   
-  $EQD_2 = 12.4 \times \frac{6.2 + 2}{2 + 2} = 25,42 \text{ Gy} (2 \text{ overlap fractions})$ 



- Do we exceed the spinal cord tolerance dose?
   (α/β is assumed to be 2 Gy for spinal cord late toxicity)
- EQD<sub>2</sub> = 27,67 Gy + 25,42 Gy = 53,09 Gy (Total)



#### EQD<sub>2</sub>

| % Pres dose | Phys dose<br>(cGy) | α/β = 1,0 Gy | α/β = 10,0 Gy |
|-------------|--------------------|--------------|---------------|
| 130         | 260                | 310          | 275           |
| 120         | 240                | 270          | 250           |
| 110         | 220                | 235          | 225           |
| 100         | 200                | 200          | 200           |
| 90          | 180                | 170          | 175           |
| 80          | 160                | 140          | 155           |
| 70          | 140                | 110          | 135           |



### Planning technique of craniospinal irradiation

- IMRT: The inferior edges of the lateral brain fields and the superior edge of the lower posterior spine field are manually designed with long, smooth dose gradients by IMRT field-infield (FIF) techniques.
- More robust for setup errors
- 1%/mm dose gradient in junction
- 1 cm shift will result in 5-10% dose difference







• Lung cancer patient; planned treatment: 24 x 2,75 Gy = 66Gy



- PTV: red
- Spinal cord: pink

Heart: orangeLung-GTV: blue



• Lung cancer patient; planned treatment: 24 x 2,75 Gy = 66Gy

| • PTV            |  |         |
|------------------|--|---------|
| Volume           | Constraint   | Case    |
| 99 %             | 90 % PD  | 92,14 % |
| 95 %             | 95 % PD  | 95,28 % |
| D <sub>max</sub> | < 115 %  | 105 %   |
|                  | lative der (%)<br>66.06 7.737<br>Состоя 1.747<br>Состоя 1.7477<br>Состоя 1.7477<br>Состоя 1.7477<br>Состоя 1.7477<br>Состоя 1.7477<br>Состоя 1.7477<br>Состоя 1.74777<br>Состоя 1.74777<br>Состоя 1.74777<br>Состоя 1.747777<br>Состоя 1.7477777<br>Состоя 1.7477777777777777777777777777777777777 |         |



#### Tumor DVH



#### TCP & Geographic Underdosage

- Magnitude of underdosage is the major factor in decreasing TCP
- Fastest rate of decline in TCP is when volume underdosed is small
- Significant inhomogeneity, esp. to small volumes, are likely to occur in CRT/IMRT applied to mobile tumors



- Lung cancer patient; planned treatment: 24 x 2,75 Gy = 66Gy
- Spinal cord = Serial

| Constraint       | EQD <sub>2</sub> | Case  |
|------------------|------------------|-------|
| D <sub>max</sub> | 50 Gy            | 48 Gy |





- Lung cancer patient; planned treatment: 24 x 2,75 Gy = 66Gy
- Spinal cord = Serial

| Constraint       | 24x 2,75 Gy | EQD <sub>2</sub> | Case  |
|------------------|-------------|------------------|-------|
| D <sub>max</sub> | 49 Gy       | 50 Gy            | 48 Gy |





• Spinal cord = serial





- Lung cancer patient; planned treatment: 24 x 2,75 Gy = 66Gy
- Lung (– GTV) = Parallel

| Constraint        | EQD <sub>2</sub> | Case  |
|-------------------|------------------|-------|
| D <sub>mean</sub> | < 20 Gy          | 20 Gy |





- Lung cancer patient; planned treatment: 24 x 2,75 Gy = 66Gy
- Lung (– GTV) = Parallel


• Lung (– GTV) = Parallel





- Lung cancer patient; planned treatment: 24 x 2,75 Gy = 66Gy
- Heart = Serial? Parallel?



• Heart = Serial? Parallel?





 Most of the DVH is irrelevant and the relevant regions (curves) are different for different tissues





- D in DVH should be biological dose
- Significance of Normal Tissue DVH is organ specific
- Most of Tumor DVH is irrelevant



### Radiobiology in practice

- Power outage during start up of the linear accelerators in the morning
- 4 out of 5 machines do not start
- Waiting rooms are completely full of patients
- Other patients are on their way
- Which patients can we cancel and in which order?



### Radiobiology in practice

 Which of following patients may be postponed and which patients would you irradiate today?

1) Woman, 46 y, adjuvant radiotherapy for breast cancer, day 11

2) Man, 56 y, palliative radiotherapy of 2 bone metastases, metastatic lung cancer

3) Woman, 58 y, chemoradiation treatment for cervical cancer, day 19



### Radiobiology in practice

• Which of following patients may be postponed and which patients would you irradiate today?

4) Man, 62 y, esophageal cancer, preoperative chemoradiation, day 1

5) Man, 75 y, radiotherapy for primary prostate cancer, day 23









# The volume effect in radiotherapy



Wolfgang Dörr

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Medical University of Vienna, Austria



### Chapter 14

Edited by

Michael Joiner and Albert van der Kogel

**Basic Clinical** 

Radiobiology

RAD

#### Radiotherapy and Oncology 123 (2017) 209-217



Brain radiotherapy

Regional susceptibility to dose-dependent white matter damage after brain radiotherapy



Michael Connor<sup>a</sup>, Roshan Karunamuni<sup>a,e</sup>, Carrie McDonald<sup>a,c,e</sup>, Tyler Seibert<sup>a,e</sup>, Nathan White<sup>b,e</sup>, Vitali Moiseenko<sup>a</sup>, Hauke Bartsch<sup>b,e</sup>, Nikdokht Farid<sup>b,e</sup>, Joshua Kuperman<sup>b,e</sup>, Anitha Krishnan<sup>b,e</sup>, Anders Dale<sup>b,c,d,e</sup>, Jona A. Hattangadi-Gluth<sup>a,e,\*</sup>

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Fig. 4. Regional sensitivity to radiation. Tracts are filled with their corresponding coefficient for percent change in FA (from the model correlating mean dose to changes in the whole atlas ROI) and color coded according to value. Only statistically significant coefficients are shown. The signs for coefficients are flipped, i.e. FA is expected to decrease, so greater decreases in FA are represented by positive numbers and intensifying red color.





(CrossMark

**Physics Contribution** 

#### Modeling Urinary Dysfunction After External Beam Radiation Therapy of the Prostate Using Bladder Dose-Surface Maps: Evidence of Spatially Variable Response of the Bladder Surface

Noorazrul Yahya, PhD,\*\*<sup>†</sup> Martin A. Ebert, PhD,<sup>†,‡</sup> Michael J. House, PhD,<sup>†</sup> Angel Kennedy, BSC,<sup>‡</sup> John Matthews, FRANZCR,<sup>§</sup> David J. Joseph, FRANZCR,<sup>‡,||</sup> and James W. Denham, FRANZCR<sup>¶</sup>

\*School of Health Sciences, National University of Malaysia, Kuala Lumpur, Malaysia; <sup>†</sup>School of Physics, University of Western Australia, Perth; <sup>†</sup>Department of Radiation Oncology, Sir Charles Gairdner Hospital, Nedlands, Western Australia, Australia; <sup>§</sup>Department of Radiation Oncology, Auckland City Hospital, Auckland, New Zealand; <sup>II</sup>School of Surgery, University of Western Australia, Perth, Western Australia; and <sup>§</sup>School of Medicine and Public Health, University of Newcastle, Newcastle, New South Wales, Australia

**Results:** The associations of the spatially specific dose measures to urinary dysfunction were dependent on the presence of specific symptoms. The doses received by the anteroinferior and, to lesser extent, posterosuperior surface of the bladder had the strongest relationship with the incidence of dysuria, hematuria, and  $\Delta IPSS10$ , both with and without adjustment for clinical factors. For the doses to the posteroinferior region corresponding to the area of the trigone, the only symptom with significance was incontinence.



## **Radiation effects** - 6 Rs of radiotherapy

Radiation sensitivity Recovery Redistribution Repopulation Reoxygenation iRradiated volume





# QUANTEC:

### <u>Quantitative Analysis</u> of <u>Normal Tissue</u> <u>Effects in the Clinic</u>



Int. J. Radiation Oncology Biol. Phys., Vol. 76, No. 3, Supplement, pp. S1–S2, 2010 Copyright © 2010 Elsevier Inc. Printed in the USA. All rights reserved 0360-3016/10/05-see front matter

doi:10.1016/j.jrobp.2009.08.075

INTRODUCTORY PAPER

#### GUEST EDITOR'S INTRODUCTION TO QUANTEC: A USERS GUIDE

LAWRENCE B. MARKS, M.D.,\* RANDALL K. TEN HAKEN, Ph.D.,<sup>†</sup> GUEST EDITORS, AND MARY K. MARTEL, Ph.D.,<sup>‡</sup> ASSOCIATE GUEST EDITOR

\*University of North Carolina, Chapel Hill, North Carolina; <sup>†</sup>University of Michigan, Ann Arbor, Michigan; and <sup>†</sup>M. D. Anderson Cancer Center, Houston, Texas



#### **Introductory Papers**

### **QUANTEC**

History/Overview/Scientific Issues Application of QUANTEC metrics/models into clinical practice

#### **Organ-Specific Papers**

| 1.  | Brain              | 9.  | Heart               |
|-----|--------------------|-----|---------------------|
| 2.  | Optic Nerve/Chiasm | 10. | Esophagus           |
| 3.  | Brain Stem         | 11. | Liver               |
| 4.  | Spinal Cord        | 12. | Stomach/Small Bowel |
| 5.  | Ear                | 13. | Kidney              |
| 6.  | Parotid            | 14. | Bladder             |
| 7.  | Larynx/Pharynx     | 15. | Rectum              |
| 8.  | Lung               | 16. | Penile Bulb         |
| Vi  | sion Papers        |     |                     |
| Tr  | ue Dose            |     |                     |
| Im  | aging              |     |                     |
| Bie | omarkers           |     |                     |

Imaging Biomarkers Data Sharing Lessons of QUANTEC

### **BED rather than EQD2**

2AD

Missing: oral cavity, skin, femoral heads, .....

| Eacl<br>1. | h with 10 sections<br>Clinical Significance- Describes the clinical situations where<br>the organ is irradiated, and the incidence/significance of<br>organ injury.   |
|------------|---|
| 2.         | Endpoints- Describes the different endpoints often<br>considered when assessing injury, the impact of endpoint-<br>selection on the reported injury rates, the challenges/utilities<br>of different endpoints, and the time course of organ injury.   |
| 3.         | Challenges Defining Volumes- Describes how the organ is<br>typically defined (or segmented) on treatment planning<br>images. Includes a discussion of uncertainties/challenges in<br>organ definition (e.g. changes in organ volume/shape during<br>therapy), and the associated impact on DVH's and<br>dose/volume/outcome analyses. |
| 4.         | Review of Dose/Volume Data- A comprehensive summary<br>of reported 3D dose/volume data for clinically-relevant<br>outcomes.   |
| 5.         | Factors Affecting Risk- Other clinical factors affecting the<br>risk of injury are noted (e.g. age, combined modality<br>therapy, dose fractionation).  |
| 6.         | Mathematical/Biological Models- Models that have been<br>used to relate 3D dose/volume data to clinical outcomes are<br>summarized, along with associated model parameters,<br>limitations and uncertainties.   |
| 7.         | Special Situations- Most of the data discussed relates to<br>conventional fractionation. This section describes situations<br>were the presented data/models may not apply (e.g. hypo-<br>fractionation).   |
| 8.         | Recommended Dose/Volume Limits- The available<br>information is condensed into meaningful dose/volume<br>limits, with associated risk rates, to apply clinically.   |
| 9.         | Future Toxicity Studies- Describes areas in need of future study.   |
| 10.        | Toxicity Scoring- Recommendations on how to score organ<br>injury.  |

## **QUANTEC+**

# Radiation Oncology

A QUESTION BASED REVIEW

**Boris Hristov** Steven H. Lin John P. Christodouleas

O within Cover Lippercett area di Wilsing

### **APPENDIX**

### Normal Tissue Constraint Guidelines

The radiation dose constraints below are meant to serve as a guide only and may not be applicable to all dinical scenarios. Most doses are derived from randomized studies or consensus guidelines and we have attempted to provide the sources for these recommendations. Please refer to the individual pediatric chapters for dose constraints in the pediatric population as these can vary greatly from protocol to protocol and tend to be particularly site- and age-dependent.

| What are the recommended dose constraints for<br>the following organs and clinical scenarios? |  |  |  |
|---|--|--|--|
| ORGAN   | CONSTRAINTS  |  |  |
| CNS (1.8-2.0 Gy/fx)   |  |  |  |
| Spinal cord   | max 50 Gy (full cord cross-section);<br>tolerance increases by 25% 6 mos<br>after 1 <sup>st</sup> course (for re-irradiation)<br>(QUANTEC) |  |  |
| Brain   | max 72 Gy (partial brain); avoid<br>>2 Gy/ fx or hyperfractionation<br>(QUANTEC)   |  |  |
| Chiasm/optic nerves   | max 55 Gy (QUANTEC)  |  |  |
| Brainstem   | Entire brainstem <54 Gy, V59 Gy<br><1-10 cc (QUANTEC)  |  |  |
| Eyes (globe)  | Mean <35 Gy (RTOG 0225), max 54 Gy<br>(RTOG 0615)  |  |  |
| Lens  | max 7 Gy (RTOG 0539)   |  |  |
| Retina  | max 50 Gy (RTOG 0539)  |  |  |
| Lacrimal Gland  | max 40 Gy (Parsons)  |  |  |
| Inner ear/cochlea   | mean ≤45 Gy (consider constraining to<br>≤35 Gy with concurrent cisplatin)<br>(QUANTEC)  |  |  |
| Pituitary gland   | max 45 Gy (for panhypopituitarism,<br>lower for GH deficiency) (Emami)   |  |  |
| Cauda equina  | Max 60 Gy (Emami)  |  |  |
| CNS (single fraction)   |  |  |  |
| Spinal cord   | max 13 Gy (if 3 fxs, max 20 Gy)<br>(QUANTEC)   |  |  |

567



OR CNS Spir

### OUANTEC +++

Datei Bearbeiten Ansicht Chronik Lesezeichen Extras Hilfe 8 oar dose constraints radiot... × + (\*) (\*) www.ncbi.nlm.nih.gov/pubmed ELSEVIER SNCBI Resources 🛛 How To 🖸 Pub Med.gov PubMed oar dose constraints radiotherapy LIS National Library of Medicin National institutes of Health Create RSS Create alert Advanced Article types Summary - 20 per page - Sort by Most Recent -Clinical Trial Review Search results Customize ... Steven A. Durton Dwight E. Heron Items: 1 to 20 of 87 Jinyu Xue Meng-Sang Chew Text availability Abstract Filters activated: Publication date from 2010/01/01 to 2015/12/31. Clear all to show Leslie A. Modlin Mark McLaughlin Free full text A feasibility study: Selection of a personalized radiotherapy fracti 1000 Full text 1 optimization. Kita Seiger Michael S. Binkley Frank Kinases PubMed Commons Kim M, Stewart RD, Phillips MH. Reader comments Med Phys. 2015 Nov;42(11):6671. doi: 10.1118/1.4934369. Jeremy P Harris Michael T. Milan Trending articles PMID: 26520757 Similar articles Gregory Kubicek Ashish Patel Publication dates clear Benjamin Goldsmith Marloes Duijm 5 years Multicentre treatment planning inter-comparison in a national conte 10 years 2. radiotherapy case. Griffith R. Hard W. Schillemans ✓ From 2010/01/01 to Esposito M, Maggi G, Marino C, Bottalico L, Cagni E, Carbonini C, Casa 2015/12/31 Giglioli FR, Landoni V, Martinotti A, Nigro R, Strigari L, Villaggi E, Mancos Phys Med. 2015 Oct 20. pii: S1120-1797(15)00910-2. doi: 10.1016/j.ejmp.2015.05 Species PMID: 26498378 Humans Similar articles Other Animals Nicholas Plowman Beenish Rashid Reporting small bowel dose in cervix cancer high-dose-rate brachy 3 Liao Y. Dandekar V. Chu JC, Turian J. Bernard D, Kiel K. Clear all Med Dosim. 2015 Jul 30. pii: S0958-3947(15)00072-2. doi: 10.1016/j.meddos.201 Arjun Sahgal Show additional filters PMID: 26235549 Similar articles Yongqian Zhang Ellen Yorke David A. Clump Semiautomated head-and-neck IMRT planning using dose warping knowledge database containing potentially suboptimal plans. 4 Schmidt M, Lo JY, Grzetic S, Lutzky C, Brizel DM, Das SK. Med Phys. 2015 Aug;42(8):4428-34. doi: 10.1118/1.4923174. PMID: 26233173 Similar articles Dose planning objectives in anal canal cancer IMRT: the TROG AN Brown E, Cray A, Haworth A, Chander S, Lin R, Subramanian B, Ng M. 5. J Med Radiat Sci. 2015 Jun;62(2):99-107. doi: 10.1002/jmrs.99. Epub 2015 Feb 1 PMID: 26229674 Free PMC Article Similar articles

Seminars in RADIATION ONCOLOGY

Volume 26 / Number 2 / April 2016

Joel E. Tepper, MD Editor

#### Normal Tissue Tolerance in Stereotactic Body Radiation Therapy

Guest Editor Jimm Grimm, PhD

http://www.semradonc.com

#### 21. Nov. 2015





### **Concept of <u>Functional Sub-Units</u> (FSUs)**



### **Concept of <u>Functional Sub-Units</u> (FSUs)**



© Dörr



### **Endpoints and target structures**



http://www.aboutcancer.com/anatomy\_rectum.gif (13.05.2014)



### **Endpoints and target structures**



Target structures/ Subvolumes -Identification -Dose-Effect -Fractionation effect -(time factor)

http://antranik.org/the-urinary-system-ureter-and-urinary-bladder (13.05.2014)



### **Endpoints and target structures**



#### Original article

Is there a relation between the radiation dose to the different sub-segments of the lower urinary tract and urinary morbidity aft brachytherapy of the prostate with I-125 seeds?

Marcel J. Steggerda<sup>\*</sup>, Thelma Witteveen, Ferrie van den Boom, Luc M.F. Moonen Department of Radiation Oncology, The Netherlands Cancer Institute -Antoni van Leeuwenhoek Haspital, Amsterdam, The Netherlands

*Results*: The dose to 0.5 cm<sup>3</sup> of the bladder neck  ${}^{1}D_{0.5cc}$ -blne' (p = 0.002 and p = 0.005), the prostate volume prior to treatment ' $V_{pr}$ -0' (p = 0.005 and p = 0.024) and the pre-treatment IPSS (both p < 0.001) were independently correlated with mean and maximum IPSS, respectively. Of the patients with a  $D_{0.5cc}$ -blne  $\ge 175$  Gy and a  $V_{pr}$ -0  $\ge 42$  cm<sup>3</sup>, 68% suffered from enhanced LUTS, against just 30% of the other patients (p < 0.0001).



Fig. 1. 3D image of the prostate and the lower urinary tract after implantation the seeds. BW = bladder wall, BN = bladder neck, Ur = urethra, ES = external sphin ter, Pr = prostate.



### **Definition of volumes / volume parameters**



Delineation – subjective component/department philosophy



### **Definition of volumes / volume parameters**

Delineation – subjective component/department philosophy

|  | Radiotherapy and Oncology 117 (2015) 542-547                    |  |
|--|---|--|
|  | Contents lists available at ScienceDirect                       |  |
|  | Radiotherapy and Oncology                                       |  |
| ELSEVIER                                 | journal homepage: www.thegreenjournal.com                       |  |
| Cervical cancer radiothe                 | erapy   |  |
| Variability of clir<br>radiotherapy in c | nical target volume delineation for definitive<br>cervix cancer |  |
| Gemma Eminowicz*                         | Mary McCormack  |  |

University College Hospital, London, UK

**Fig. 2.** Transverse CT images of CTVs(white) and GSCTV1 + 2(black) for case 1 (a and b) and 2 (c and d) at sacro-iliac level (a and c) and superior to femoral heads (b and d).



*Results:* 21 outlines were compared for case 1 and 22 for case 2. Volume ranged from 340 cc to 676 cc (case 1) and from 458 cc to 806 cc (case 2). A maximum 4 cm difference between outlines was observed in one direction. JCI ranged from 0.51 to 0.81 (case 1) and 0.57 to 0.81 (case 2). Variation in anatomical areas included in CTV exists between the two cases and between centres.

*Conclusions:* Significant inter-observer variation in cervical cancer delineation has been demonstrated. Ongoing efforts are needed to ensure inter-observer consistency through education, guidelines and multi-centre collaboration.



## **Dose-Volume Histogram (DVH)**





## Lung

| Reference                      | Severity of pneumonitis | V <sub>dose</sub>       | Observed<br>rate |
|--------------------------------|-------------------------|-------------------------|------------------|
| Armstrong et al.,              | Grade $\geq 3$          | V <sub>25</sub> >30%    | 38%              |
| 1995 (45)                      |                         | $V_{25}^{25} < 30\%$    | 4%               |
| Graham et al.,                 | Grade $\geq 2$          | $V_{20}^{-2} < 22\%$    | 0%               |
| 1999 (46)                      |                         | $V_{20}^{20}$ 22%–31%   | 7%               |
|                                |                         | V <sub>20</sub> 32%-40% | 13%              |
|                                |                         | $V_{20}^{20} > 40\%$    | 36%              |
| Hernando et al.,               | Grade ≥1                | $V_{30}^{20} < 22\%$    | 6%               |
| 2001 (34)                      |                         | V <sub>30</sub> 22%-31% | 24%              |
|                                |                         | V <sub>30</sub> 32%-40% | 18%              |
|                                |                         | $V_{30} > 40\%$         | 29%              |
| Claude et al.,                 | Grade ≥1                | $V_{10} > 33\%$         | 53%              |
| 2004 (36)                      |                         | $V_{20} > 18\%$         | 56%              |
| Charles and the Charles and An |                         | $V_{30}^{-5} > 13\%$    | 56%              |
|                                |                         | $V_{40} > 10\%$         | 56%              |
|                                |                         | $V_{50} > 5\%$          | 53%              |

#### Mehta et al., IJROBP 63, 2005, 5-24









### **Definition of volumes / volume parameters**





– "snap-shot"

### - Changes in anatomy/morphology

physiologically





– "snap-shot"

### - Changes in anatomy/morphology

- physiologically
- ~ Therapy (edema, shrinkage, weight loss, ...)



From the Departments of \*Radiation Oncology and Molecular Radiation Sciences, <sup>†</sup> Oncology, and <sup>‡</sup>Speech Therapy, Johns Hopkins University, Baltimore, MD, USA

### Constrictor muscle / larynx Planning CT vs. week 7







### Individual tolerance levels – Function of the unirradiated (residual) volume ?



Mehta et al. IJROBP 63, 2005, 5-24 (reprinted from Seppenwolde et al., IJROBP 2003)



### Individual tolerance levels – Function of the unirradiated (residual) volume ?





### Individual tolerance levels – Function of the unirradiated (residual) volume ?

|   | International Journal of<br>Radiation Oncology<br>biology • physics<br>www.redjournal.org  |   |   |   |
|---|--|---|---|---|
| Clinical Investigation: Thoracic Cancer   |  |   |   |   |
| Predicting Radiation Pneumonitis After<br>Therapy for Lung Cancer: An Internation<br>Patient Data Meta-analysis   | Chemoradiation<br>al Individual  |   |   |   |
| David A. Palma, MD, MSc, PhD, * Suresh Senan, MRCP, FRCR, F<br>Robert B. Barriger, MD, <sup>§</sup> Ramesh Rengan, MD, PhD, <sup>  </sup> Marta<br>Jeffrey D. Bradley, MD,** Tae Hyun Kim, MD, <sup>††</sup> Sara Ramel<br>Lawrence B. Marks, MD, <sup>§§</sup> Luigi De Petris, MD, PhD, <sup>    </sup> Larry | PhD, <sup>†</sup> Kayoko Tsujino, MD, <sup>‡</sup> ple ana<br>Moreno, MD, <sup>¶</sup> pneum<br>la, MD, <sup>‡‡</sup><br>Stitt, MSc, <sup>¶¶</sup>   | ilysis of<br>ionitis in                   | factors predition   | ictive of<br>n dataset                              |
|   |  | NI NI                                     | ultivariable au   | nalysis   |
| and George Rodrigues, MD, MSC <sup>20</sup>   | Factor   | OR  | ultivariable an<br>95% CI   | nalysis<br>P value                                  |
| and George Rodrigues, MD, MSC <sup>20</sup>   | Factor<br>Age (per 10-y increase)<br>Chemotherapy regimen  | OR<br>1.38                                | ultivariable an<br>95% CI<br>0.95-2.01  | nalysis<br><u>P</u> value<br>.089<br><.001          |
| and George Rodrigues, MD, MSC   | Factor<br>Age (per 10-y increase)<br>Chemotherapy regimen<br>Cisplatin-etoposide<br>Carboplatin-paclitaxel<br>Other  | OR<br>1.38<br>1<br>5.52<br>3.39           | 0.95% CI<br>0.95-2.01<br>Reference<br>2.25-13.55<br>1 50-7 68                               | nalysis<br><i>P</i> value<br>.089<br><.001          |
| and George Rodrigues, MD, MSC   | $\begin{tabular}{ c c c c }\hline Factor \\ \hline Age (per 10-y increase) \\ Chemotherapy regimen \\ \hline Cisplatin-etoposide \\ Carboplatin-paclitaxel \\ Other \\ \hline Volume of lung receiving \geq 2 \\ \hline Gy (V_{20}) \\ \hline \end{tabular}$ | OR<br>1.38<br>1<br>5.52<br>3.39<br>0 1.07 | ultivariable an<br>95% CI<br>0.95-2.01<br>Reference<br>2.25-13.55<br>1.50-7.68<br>1.03-1.11 | nalysis<br><u>P</u> value<br>.089<br><.001<br><.001 |



### **Regional variations in tolerance (same endpoint)**



mouse lung

modified from Liao et al., IJROBP 32, 1995, 1359-1370



### **Regional variations in tolerance**



Joos V. Lebesque, M.D., Ph.D.





Gilles Defraene<sup>a,b,\*</sup>, Wouter van Elmpt<sup>c</sup>, Wouter Crijns<sup>d</sup>, Dirk De Ruysscher<sup>a,c</sup>

*Conclusions:* Limited amount of damage was observed in LD subvolumes, while the relative density increase of all subvolumes was well predictable. This could allow dose redistribution preferentially targeting low-density lung regions.


#### **Regional variations in tolerance**



Konings et al. IJROBP 94, 2006, 98-105





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#### Ca floor of the mouth, pT1 pN1



**Conventional plan** 

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#### Interactions between volumes/organs

#### Physiological Interaction of Heart and Lung in **Thoracic Irradiation**

Ghazaleh Ghobadi, MSc, \*<sup>,†</sup> Sonja van der Veen, MD, \*<sup>,†</sup> Beatrijs Bartelds, # Rudolf A. de Boer, MD, PhD,<sup>§</sup> Michael G. Dickinson, MD,<sup>‡</sup> Johan R. de Jo www.redjournal.org Hette Faber, \*<sup>,†</sup> Maarten Niemantsverdriet, PhD, \*<sup>,†</sup> Sytze Brandenburg, Pnu, \* Rolf M.F. Berger, PhD,<sup>‡</sup> Johannes A. Langendijk, MD, PhD,\* Robert P. Coppes, PhD,\*<sup>,†</sup> and Peter van Luiik. PhD\*



#### Summary

Coirradiation of the heart enhances risk and severity of radiation-induced lung toxicity through an unknown mechanism. We show that irradiation of heart, lung, or both independently induces specific cardiac dysfunction and pulmonary vascular damage, mutually enhancing each other. These results show that treatment of thoracic cancer with radiation therapy requires optimization for both pulmonary and cardiac function to reduce the risk of toxicity.

International Journal of Radiation Oncology

biology • physics





#### Interactions between volumes/organs

Acta Oncologica, 2014; 53: 590-596

informa healthcare

ORIGINAL ARTICLE

Is there an impact of heart exposure on the incidence of radiation pneumonitis? Analysis of data from a large clinical cohort

SUSAN L. TUCKER<sup>1</sup>, ZHONGXING LIAO<sup>2</sup>, JEFFREY DINH<sup>2</sup>, SHELLY X. BIAN<sup>2</sup>, RADHE MOHAN<sup>3</sup>, MARY K. MARTEL<sup>3</sup> & DAVID R. GROSSHANS<sup>2</sup>



Figure 2. Kaplan-Meier incidence of Grade  $\geq 3$  radiation pneumonitis (RP) in subgroups of 52–53 patients each, plotted as a function of mean lung dose (MLD) (panel A) or effective dose to lung  $(D_{eff})$  computed using volume parameter n = 0.5 (panel B). Patients were first sorted into six subgoups by lung exposure, as in Figure 1, with each group then divided in half according to smaller (blue symbols) versus larger (red symbols) heart D10 values. Points, error bars, and curves are as in Figure 1.



#### Interactions between volumes/organs

OPEN OACCESS Freely available online

PLOS ONE

#### Complication Probability Models for Radiation-Induced Heart Valvular Dysfunction: Do Heart-Lung Interactions Play a Role?

Laura Cella<sup>1,2</sup>\*, Giuseppe Palma<sup>1</sup>, Joseph O. Deasy<sup>3</sup>, Jung Hun Oh<sup>3</sup>, Raffaele Liuzzi<sup>1,2</sup>, Vittoria D'Avino<sup>1</sup>, Manuel Conson<sup>1,2</sup>, Novella Pugliese<sup>4</sup>, Marco Picardi<sup>4</sup>, Marco Salvatore<sup>2</sup>, Roberto Pacelli<sup>1,2</sup>

1 Institute of Biostructure and Bioimaging, National Council of Research (CNR), Naples, Italy, 2 Department of Advanced Biomedical Sciences, Federico II University School of Medicine, Naples, Italy, 3 Department of Medical Physics, Memorial Sloan Kettering Cancer Center, New York, New York, United States of America, 4 Department of Clinical Medicine and Surgery, Federico II University School of Medicine, Naples, Italy

#### Abstract

*Purpose:* The purpose of this study is to compare different normal tissue complication probability (NTCP) models for predicting heart valve dysfunction (RVD) following thoracic irradiation.

*Methods:* All patients from our institutional Hodgkin lymphoma survivors database with analyzable datasets were included (n = 90). All patients were treated with three-dimensional conformal radiotherapy with a median total dose of 32 Gy. The cardiac toxicity profile was available for each patient. Heart and lung dose-volume histograms (DVHs) were extracted and both organs were considered for Lyman-Kutcher-Burman (LKB) and Relative Seriality (RS) NTCP model fitting using maximum likelihood estimation. Bootstrap refitting was used to test the robustness of the model fit. Model performance was estimated using the area under the receiver operating characteristic curve (AUC).

**Results:** Using only heart-DVHs, parameter estimates were, for the LKB model:  $D_{s0}$  = 32.8 Gy, n = 0.16 and m = 0.67; and for the RS model:  $D_{s0}$  = 32.4 Gy, s = 0.99 and  $\gamma$  = 0.42. AUC values were 0.67 for LKB and 0.66 for RS, respectively. Similar performance was obtained for models using only lung-DVHs (LKB:  $D_{s0}$  = 33.2 Gy, n = 0.01, m = 0.19, AUC = 0.68; RS:  $D_{s0}$  = 24.4 Gy, s = 0.99,  $\gamma$  = 2.12, AUC = 0.66). Bootstrap result showed that the parameter fits for lung-LKB were extremely robust. A combined heart-lung LKB model was also tested and showed a minor improvement (AUC = 0.70). However, the best performance was obtained using the previously determined multivariate regression model including maximum heart dose with increasing risk for larger heart and smaller lung volumes (AUC = 0.82).

*Conclusions:* The risk of radiation induced valvular disease cannot be modeled using NTCP models only based on heart dose-volume distribution. A predictive model with an improved performance can be obtained but requires the inclusion of heart and lung volume terms, indicating that heart-lung interactions are apparently important for this endpoint.

Citation: Cella L, Palma G, Deasy JO, Oh JH, Liuzzi R, et al. (2014) Complication Probability Models for Radiation-Induced Heart Valvular Dysfunction: Do Heart-Lung Interactions Play a Role? PLoS ONE 9(10): e111753. doi:10.1371/journal.pone.0111753



Volume

#### **Normal tissue tolerance**

#### TOLERANCE OF NORMAL TISSUE TO THERAPEUTIC IPKAT

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The importance of knowledge on tolerar be overemphasized. Unfortunately treatment planning and dose r critical. As a part of the Nr and an extensive liter updated informer available dar

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partment of Radiation Medicine, awrence Berkeley Laboratory, celev, CA 94720

to irradiation by radiation oncologists cannot than adequate. With the increasing use of 3-D arly volumeters information. will become even more a task force, chaired by the primary author, was formed out to address this issue. In his manuscript we present the al tissues of concern in the protocols of this contract, based on on partial volume effects. Due to a lack of provise and comprehensive **PERIOPERIOPENITY Set The Dependence of the second of** 





BAD



## **Hypoxia and Tumor Microenvironment**

#### Marianne Koritzinsky

Princess Margaret Cancer Centre Toronto, Canada mazinsky@gmail.com

## Tumor hypoxia

1. How and why hypoxia arises in tumors

2. Heterogeneity in tumor oxygenation

3. Cellular consequences of hypoxia

## Tissue hypoxia – poor oxygenation

Air: 21% O2 Tissue normoxia: 5-7% O2 Tissue hypoxia: < 3% O2

#### Physiology

- Development
- Exercise
- Altitude

#### Pathology

- Wound
- Stroke
- Infarctation
- Solid tumors



# 1) How and why hypoxia arises

# Tumor hypoxia

Abnormal vasculature is a prime cause of hypoxia in cancer



Corrosion castings

## The vasculature in tumors is abnormal

Leads to low overall levels of oxygen in most tumors, with many areas being extremely hypoxic.



# Chronic versus acute hypoxia



## Chronic versus acute hypoxia



# Different types of hypoxia

#### Hypoxia: CCI-103F (-2.5h) Proliferation: BrdU (-0.5h) Vessels



#### Perfusion-limited ("acute") Diffusion-limited ("chronic")

#### Hypoxia Vessels



# Hypoxia is a result of:

- Oxygen delivery
- Oxygen consumption
- Hypoxia tolerance

# 2) Heterogeneity of tumor oxygenation

# Heterogeneity in Oxygenation

- a) Amount (%) amongst patients
- b) In severity
- c) In space
- d) In time

## a) Heterogeneity in hypoxia (%) amongst patients







## Hypoxia predicts for poor outcome



Fig. 2. Actuarial overall survival rate for patients with less hypoxic tumors (HP<sub>2.5</sub> $\leq$ 19%, thin line) compared with more hypoxic tumors (HP<sub>2.5</sub>>19%, bold line), *P*=0.006.

# b) Heterogeneity in severity







## Severity and radiation response



Cell killing by radiation will be reduced as a function of distance from the capillary.

# c) Heterogeneity in space



# c) Heterogeneity in space



# c) Consequences of spatial heterogeneity

- Hypoxia can exist around all vessels in a tumor
  - No relationship between hypoxia and tumor size!
- Oxygenation varies at the cellular (micron) level
- Imaging hypoxia always involves averaging over very large numbers of cells.
  - Hypoxic cells are likely to exist in all imaging voxels
  - It will never be possible to deliver dose specifically to hypoxic cells

# d) Heterogeneity in time



# d) Heterogeneity in time

[CANCER RESEARCH 64, 6183-6189, September 1, 2004]

#### Quantifying Transient Hypoxia in Human Tumor Xenografts by Flow Cytometry

#### Kevin L. Bennewith and Ralph E. Durand

Medical Biophysics Department, British Columbia Cancer Research Centre, Vancouver, British Columbia, Canada



# Oxygenation is dynamic



### Hypoxic cells vary at every fraction

# 3) Cellular consequences of hypoxia

## **Treatment resistance - Radiotherapy**



Höckel M. et al. Cancer Res 56, 4509-4515 (1996)
### Hypoxia and Treatment Outcome - Surgery

**Overall survival** 



# Hypoxia is a prognostic factor

- Hypoxic tumors are more malignant
  - Cervix tumors have larger extensions, more frequent parametrial spread, more lymph-vascular space involvement
  - recurrent tumors are more hypoxic than primary tumors
  - predicts for the likelihood of distant metastases in soft tissue sarcomas
  - hypoxia is a strong prognostic factor (*Independent of primary mode of treatment*)

# Hypoxia and malignancy – mechanisms

- a) Tumor hypoxia can "select" for cells that are more malignant
- b) Cellular response to hypoxia affect cell behavior in an adverse way

# Hypoxia activates p53



# Hypoxia mediated selection of cells



Graeber, Nature 1996

# The concept of hypoxia tolerance



# Hypoxia tolerance varies amongst tumors



# Cellular responses to hypoxia promote malignancy

- Hypoxia causes biological changes that promote
  - Metabolic adaptation
  - Angiogenesis / vasculogenesis
  - Migration, invasion and metastasis (EMT)
  - Genetic instability
  - Cell cycle checkpoints

Biological changes are a consequence of altered protein activity and gene expression

# Oxygen sensors: cytochrome c oxidase



- ~80% oxygen consumption
- Reprogrammed metabolism
- ATP drop - Signaling pathways

# Oxygen sensors: HIF hydroxylases



# **HIF** activation



# HIF mediated pathways



Nature Reviews | Cancer

# HIF and cancer

- Loss of VHL causes overexpression of HIF and renal cell carcinoma
- HIF is overexpressed in many cancers
  - Mimics hypoxia biology in normoxia

# Oxygen sensors: Ribonucleotide reductase



# Oxygen sensors: disulfide oxidases



# Molecular consequences of hypoxia



# Hypoxic severity affects cellular response



# Summary of tumor hypoxia

- Mechanisms responsible for tumor hypoxia
  - chronic and acute
  - Supply, demand, tolerance
- Hypoxia is heterogeneous
  - amount, spatial, severity, time
- Hypoxia can promote malignancy
  - Tumors become hypoxia tolerant (selection for p53 mutations)
  - Hypoxia alters cellular function through transcription, translation and protein activity

# Clinical efforts to modify tumor hypoxia

### Karin Haustermans

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# Overview

- Raising O<sub>2</sub> content of inspired gas
- Hypoxic cell radiosensitizers
- Increasing haemoglobin
- Overcoming acute hypoxia
- Meta-analysis
- Take home messages





• Human tumors are hypoxic

| Table 1   Oxygenation of tumours and the surrounding normal tissue |   |  |                                |  |  |  |  |  |
|--|---|--|--------------------------------|--|--|--|--|--|
| Tumour type  | Median tumour pO <sub>2</sub> *<br>(number of patients) | Median normal pO,<br>(number of patients | * References                   |  |  |  |  |  |
| Glioblastoma   | 4.9 (10)<br>5.6 (14)                                    | ND<br>ND                                 | 128<br>129                     |  |  |  |  |  |
| Head and neck carcinoma  | 12.2 (30)<br>14.7 (23)<br>14.6 (65)                     | 40.0 (14)<br>43.8 (30)<br>51.2 (65)      | 130<br>131<br>132              |  |  |  |  |  |
| Lung cancer  | 7.5 (17)  | 38.5 (17)                                | Q. Le (personal communication) |  |  |  |  |  |
| Breast cancer  | 10.0 (15)   | ND                                       | 133                            |  |  |  |  |  |
| Pancreatic cancer  | 2.7 (7)   | 51.6 (7)                                 | 134                            |  |  |  |  |  |
| Cervical cancer  | 5.0 (8)<br>5.0 (74)<br>3 (86)                           | 51 (8)<br>ND<br>ND                       | 135<br>136<br>137              |  |  |  |  |  |
| Prostate cancer  | 2.4 (59)  | 30.0 (59)                                | 138                            |  |  |  |  |  |
| Soft-tissue sarcoma  | 6.2 (34)<br>18 (22)                                     | ND<br>ND                                 | 139<br>140                     |  |  |  |  |  |

\*p0<sub>2</sub> measured in mmHg. Measurements were made using a commercially available oxygen electrode (the 'Eppendorf' electrode). The values shown are the median of the median values for each patient. ND, not determined; pO<sub>2</sub>, oxygen partial pressure.



• Hypoxia = worse outcome to radiotherapy

| Mayr (2010)98                   | Cervix     | DCE MRI | 0/16   | 17/82   |            |                  |
|---------------------------------|------------|---------|--------|---------|------------|------------------|
| Andersen (2012)99               | Cervix     | DCE MRI | 1/41   | 8/40    | -0         |                  |
| DCE MRI all                     |            |         | 4/82   | 34/147  |            | 0.17 (0.06-0.52) |
| Hermans (1999) <sup>97</sup>    | HNSCC      | CTperf  | 9/21   | 10/20   |            |                  |
| Bisdas (2009)##                 | HNSCC      | CTperf  | 2/11   | 4/10    | - 0        |                  |
| Truong (2011) <sup>89</sup>     | HNSCC      | CTperf  | 0/6    | 2/6     | + 0        |                  |
| CT perfusion all                |            |         | 11/38  | 16/36   |            | 0.52 (0.19-1.42) |
| Urtasun (1996) <sup>102</sup>   | HNSCC      | IAZA    | 3/10   | 3/4     |            | 20 G             |
| Dehdashti (2003) <sup>70</sup>  | Lung       | CUATSM  | 0/8    | 6/6     | -          |                  |
| Lehtiö (2004) <sup>52</sup>     | HNSCC      | FETNIM  | 4/9    | 5/8     | * *        | -                |
| Rajendran (2006) <sup>53</sup>  | HNSCC      | FMISO   | 10/37  | 18/36   |            |                  |
| Rischin (2006) <sup>28</sup>    | HNSCC      | FMISO   | 1/10   | 8/13    |            |                  |
| Thornwarth (2006) <sup>54</sup> | HNSCC      | FMISO   | 1/6    | 4/6     |            |                  |
| LI (2006) <sup>104</sup>        | Lung       | To-HL91 | 8/16   | 12/16   |            |                  |
| Eschmann (2007)55               | HNSCC      | FMISO   | 2/4    | 4/8     |            |                  |
| Dehdashti (2008)71              | Cervix     | CUATSM  | 9/22   | 6/16    |            | <u> </u>         |
| Dietz (2008)72                  | Rectal     | CUATSM  | 1/9    | 4/8     |            |                  |
| Khamly (2008)ss                 | Sarcoma    | FAZA    | 3/9    | 7/8     |            |                  |
| Spence (2008)57                 | CNS        | FMISO   | 9/11   | 11/11   |            | <u></u>          |
| Dirix (2009) <sup>68</sup>      | HNSCC      | FMISO   | 2/6    | 5/6     | *          |                  |
| Lee (2009) <sup>59</sup>        | HNSCC      | FMISO   | 0/7    | 1/11    |            | -                |
| LI (2010) <sup>50</sup>         | Lung       | FETNIM  | 8/13   | 12/13   |            |                  |
| Schuetz (2010)47                | Cervix     | FAZA    | 0/10   | 2/5     |            |                  |
| Nkuchi (2011) <sup>61</sup>     | HNSCC      | FMISO   | 3/10   | 5/8     |            |                  |
| Minagawa (2011) <sup>73</sup>   | HNSCC      | CUATSM  | 0/5    | 6/10    |            |                  |
| Mortensen (2012) <sup>62</sup>  | HNSCC      | FAZA    | 1/17   | 7/25    |            |                  |
| Yue (2012) <sup>63</sup>        | Oesophagus | FETNIM  | 1/14   | 11/14   |            |                  |
| Zips (2012) <sup>64</sup>       | HNSCC      | FMISO   | 3/13   | 5/12    | -          |                  |
| PET/SPECT all                   |            |         | 69/244 | 142/244 | $\diamond$ | 0.25 (0.16-0.39) |
| All studies                     |            |         | 84/364 | 192/427 |            | 0.27 (0.18-0.39) |

Horsman et al, Nat Rev Clin Oncol 2012



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| 2/427 🔶 0.27 (0.18-0.39) |
|                          |

4



Begg et al, Nat Rev Cancer 2011









# **Raising O2 content of inspired gas**



# Hyperbaric oxygen (HBO) therapy

• An increase in the barometric pressure of the gas breathed by the patient during radiotherapy





# Hyperbaric oxygen (HBO) therapy

• MRC HBO trial – pts with stage III cervical cancer



Basic Clinical Radiobiology – From Watson et al 1978



# HBO and radiotherapy

#### Table 1

Randomized clinical trials with hypoxic modification of radiotherapy in HNSCC.

| References Trial acronyr | Trial acronym Year | Year | Year | No. pts | No. pts  | fxª           | RT schedule | Hypoxic modification | En | dpoi | nt <sup>b</sup> | •          |  | Obs. time |
|--------------------------|--------------------|------|------|---------|--|---------------|-------------|----------------------|----|------|-----------------|------------|--|-----------|
| [21]                     | van den Brenk      | 1968 | 30   | нн      | 7.75 Gy x4vs7.25 Gy x4 with HBO                                  | HBO 4 atm     | L           | D                    | S  |      |                 | 2 + years  |  |           |
| [22]                     | Evans 1            | 1970 | 40   | LL      | 60 Gy/30 fx  | Normobaric 02 | L           | D                    | S  |      |                 | 2 + years  |  |           |
| [23]                     | Tobin              | 1971 | 17   | LL      | 60 Gy/30 fx  | HBO 3 atm     | L           | D                    | S  |      |                 | 2-3 years  |  |           |
| [24]                     | Chang              | 1973 | 51   | HHL     | 6 Gy x6+ HBO vs 6 Gy x7 or 60 Gy/30 fx                           | HBO 3 atm     | L           | D                    | S  | M    | C               | 5 years    |  |           |
| [25]                     | Shigamats u        | 1973 | 31   | HH      | 60-79 Gy/10 fx vs. 40-50 Gy/8-10 fx + HBO                        | HBO           | L           | D                    | S  |      |                 | 2 + years  |  |           |
| [26]                     | Evans 2            | 1975 | 44   | LL      | 60 Gy/30 fx  | Normobaric 02 | L           | D                    | S  | M    | C               | 2 + years  |  |           |
| [27]                     | MRC 1 trial        | 1977 | 276  | HH      | 35-45 Gy x10   | HBO 3 atm     | L           | D                    | S  | M    | C               | 4 + years  |  |           |
| [26]                     | MRC 3, trial       | 1979 | 24   | HL      | 45-50/15 el 48.5-55/20 air vs. 40-45/10 HBO                      | HBO           | L           | D                    | S  |      | с               | 5 years    |  |           |
| [29]                     | RTOG 70-02         | 1979 | 254  | LL      | 60-70 Gy/30 fx   | Carbogen      | L           | D                    | S  | M    | с               | 2 + years  |  |           |
| [30]                     | Sause              | 1979 | 44   | HL      | 48 Gy/12 fx + HBO vs. 62 Gy/25 fx                                | HBO 3 aim     | L           | D                    | S  |      | с               | 2 + years  |  |           |
| [31]                     | Giaux              | 1962 | 56   | 11      | 50 Gy/16 fx  | MISO          | L           | D                    | S  |      |                 | 34 months  |  |           |
| [32]                     | Sealy 1            | 1962 | 97   | HH      | 36 Gy/6 fx/17 days   | MISO          | L           |                      |    |      |                 | >1 year    |  |           |
| [33]                     | B run in           | 1963 | 101  | LL      | 72 Gy/36 fx  | MISO          | L           | D                    | S  |      |                 | 2 years    |  |           |
| [34]                     | MRC 10 fx          | 1964 | 162  | HH      | 40-45 Gv/10 fx   | MISO          | L           | D                    | S  |      | с               | 3 + years  |  |           |
| [34]                     | MRC 20 fx          | 1964 | 89   | LL      | 50-57 Gy/20 fx   | MISO          | L           | D                    | S  |      |                 | 3 + years  |  |           |
| [35]                     | Panis              | 1964 | 52   | MM      | Split-course 1.1 Gy x6 daily/ 5 days –<br>4 weeks split-repeat   | MISO          | L           | D                    | S  |      | с               | 2 + years  |  |           |
| [36,37]                  | EORTC 22S111       | 1966 | 330  | MM      | 1.6 Gy x3/10 days – 3 weeks split +<br>same to total of 67–72 Gy | MISO          | L           | D                    | S  |      | c               | 5 + years  |  |           |
| [38,39]                  | MRC 2, trial       | 1966 | 103  | HL      | 64 Gy/30 fx vs. 41-44 Gy/10 fx + HBO                             | HBO 3 aim     | L           | D                    | S  | M    | с               | 4 + years  |  |           |
| [40]                     | Sealy 2            | 1966 | 124  | HL      | 63 Gy/30 fx (air); 36 Gy/6 fx (HBO)                              | HBO/MISO      | L           | D                    | S  | M    | с               | 1-2-year   |  |           |
| [41,42]                  | IAEA study         | 1967 | 36   | LL      | 70 Gy/35 fx  | On ids zo e   | L           | D                    | S  |      | С               | 2 + years  |  |           |
| [43,44]                  | RTOG 79-15         | 1967 | 297  | LL      | 66-74/33-37 fx   | MISO          | L           | D                    | S  | M    | с               | 2 + years  |  |           |
| [45]                     | Galecki            | 1969 | 35   | LL      | 70 Gy/35 fx vs. 66 Gy/30 fx vs. 80.5 Gyx 70 fx                   | Metronidazole | L           | D                    | S  |      | C               | 3 + years  |  |           |
| [46]                     | Dahanca 2          | 1969 | 622  | LL      | 68-72/34-36 fx eller 61/22/9.5 weeks                             | MISO          | L           | D                    | S  | M    | с               | 5 + years  |  |           |
| [47]                     | RTOG 79-04         | 1969 | 40   | HH      | 4 Gy 11–13 fx  | MISO          | L           | D                    | S  |      | с               | 2 + years  |  |           |
| [48]                     | RTOG 8S-27         | 1995 | 504  | LL      | 66-74 Gy/33-37 fx  | Etanidazole   | L           | D                    | S  | M    | C               | 5 + years  |  |           |
| [49]                     | Huilgol            | 1996 | 18   | LL      | 54 Gy/45 fx/22 days  | AK-2123       | L           | D                    | S  |      |                 | 2 + years  |  |           |
| [50]                     | European trial     | 1997 | 374  | LL      | 66-74 Gy/33-37 fx  | Etanidazole   | L           | D                    | S  |      | С               | 5 + years  |  |           |
| [51,52]                  | Dahanca 5          | 1998 | 414  | LL      | 66-68/33-34  | Nimorazole    | L           | D                    | S  | M    |                 | 5 years    |  |           |
| [53]                     | Haffty             | 1999 | 48   | HH      | 12.65 Gy x2 vs. 11.50 Gy x2 + HBO                                | HB04 atm      | L           | D                    |    | M    | с               | 5 + years  |  |           |
| [54]                     | Mendenhall         | 2005 | 101  | MM      | 76 Gy/1.2 Gy fx BID  | 02 Carbogen   | L           | D                    | s  | M    |                 | 5 + years  |  |           |
| [55]                     | Ullal              | 2006 | 46   | LL      | 60 Gy/30 fx  | AK-2123       | L           |                      |    |      |                 | 3 + months |  |           |
| [56]                     | ARCON              | 2010 | 345  | LL      | 64-68 Gy/32-34 fx accelerated fx                                 | Nicotinamide  | L           | D                    | S  |      |                 | 2 years    |  |           |

<sup>a</sup> H: Hypofract; L: conventional tract; M: hyperfract (multiple fx/day).

<sup>b</sup> L: Loco-regional failure; D: disease specific death; S: overall death; M: distant metastasis; C: complications.





# Hypoxic cell radiosensitizers



# Radiosensitization

• Oxygen enhancement ratio





Brown J. & Wilson W. Nat Rev Cancer 2004 12

# **Bioreductive drugs**

- Chemical radiosensitization of hypoxic cells by mimicking the effect of oxygen
- Nitroimidazoles

Brown J. & Wilson W. Nat Rev Cancer 2004





# Hypoxic cell radiosensitizers

• Most potent is 2-nitroimidazole, misonidazole



Basic Clinical Radiobiology



# **DAHANCA** trials

• Nimorazole in Danish HNSCC studies



Overgaard J





# **DAHANCA** trials

• DAHANCA 5 (1986-90; 414 pts)





**Basic Clinical Radiobiology** 



# Hypoxic gene signature: toward treatment personalisation





Toustrup K et al. Cancer Res 2011;71:5923-5931

## Hypoxic 15 gene signature in H&N cancer





Toustrup K, Radiother Oncol, 2012
#### Hypoxic 15 gene signature in H&N cancer





Toustrup K, Radiother Oncol, 2012

EORTC – 1219-ROG-HNCG A blind randomized multicenter study of accelerated fractionated chemo-radiotherapy with or without the hypoxic radiosensitizer nimorazole (Nimoral), using a 15 gene signature for hypoxia in the treatment of squamous cell carcinoma of the head and neck

> Pr. Vincent Grégoire, Cliniques Universitaires Saint-Luc, Brussels, Belgium Pr. Jens Overgaard, Aarhus, Denmark



The future of cancer therapy







#### Study design

- Phase III superiority study
- Primary endpoint: loco-regional control rate
- Secondary endpoints: local control, regional control, time to distant metastases, overall survival, disease-free survival, disease-specific survival, acute and late morbidity
- Stratification for:
  - Institution
  - Localization: hypopharynx vs oropharynx vs larynx
  - T-stage: T1-2 vs T3-4
  - N-stage: N0-1 vs N2-3
  - WHO PS: 0-1 *vs* 2
  - Hypoxic gene-profile: positive vs negative vs undetermined
- Size: 640 patients (320 in each treatment arm) (the recruitment will continue until 200 patients are available in the hypoxic signature positive subgroup)



The future of cancer therapy

#### HeadSTART



Rischin et al, JCO 2010

No selection for the presence of hypoxia!



# F-miso PET-CT for outcome prediction in HNSCC: residual tumor hypoxia week 2



Lock et al, Radiother Oncol 2017



### Hypoxia-mediated dose-painting

 Labelling nitroimidazole compounds with 18F for PET imaging of hypoxia → dose-painting





Horsman et al Nat Rev Clin Oncol 2012



### Increasing haemoglobin concentration



# Haemoglobin as prognostic factor

• Pts with low haemoglobin levels have a reduced local-regional tumor control





#### Haemoglobin as prognostic factor

• Pre-treatment Hb is associated with poor prognosis



### Smoking and treatment outcome

• Amount of oxygen delivered to tumors by the blood is important for a curative result!





**Basic Clinical Radiobiology** 

#### Smoking and treatment outcome



#### Effect of transfusion





#### Effect of transfusion



Fig. 2. Haemoglobin level during RT treatment as a function of gender.

Hoff et al Radiother Oncol 2010



#### Effect of transfusion



Hoff et al Radiother Oncol 2010



# Conclusions from DAHANCA 5

- Low hemoglobin level is associated with poor prognosis
- Hemoglobin level was raised with transfusion during radiotherapy
- Transfusion was unable to improve the effect of radiotherapy in head and neck cancer patients



# Erythropoietin

- EPO is another approach to increase the haemoglobin levels
  - Gradual increase of oxygen supply over time





#### EPO and radiotherapy



#### EPO and radiotherapy

|   |              |          |             |         |            | Lambin P et al Cochrane review 2009 |                   |                 |      |                     |    |  |
|---|--------------|----------|-------------|---------|------------|-------------------------------------|-------------------|-----------------|------|---------------------|----|--|
|   | RT + E       | PO       | RT          |         |            | Peto Odds Ratio                     |                   | Peto Odds Ratio |      |                     |    |  |
| Study or Subgroup   | Events Total |          | Events      | Total   | Weight     | Peto, Fixed, 95% Cl                 | to, Fixed, 95% Cl |                 |      | Peto, Fixed, 95% Cl |    |  |
| 1.1.1 Overall survival  |              |          |             |         |            |                                     |                   |                 | 2    |                     |    |  |
| Henke 2003  | 71           | 180      | 82          | 171     | 27.5%      | 0.71 [0.46, 1.08]                   |                   | -               | 5    |                     |    |  |
| Hoskin 2004   | 122          | 151      | 127         | 149     | 13.5%      | 0.73 [0.40, 1.33]                   |                   | -               | -    |                     |    |  |
| Machtay 2007  | 37           | 72       | 37          | 69      | 11.3%      | 0.91 [0.47, 1.77]                   |                   | -               | 7 72 |                     |    |  |
| Overgaard 2007  | 97           | 255      | 133         | 260     | 40.5%      | 0.59 [0.42, 0.83]                   |                   |                 | 202  |                     |    |  |
| Rosen 2003  | 28           | 47       | 19          | 43      | 7.2%       | 1.84 [0.81, 4.19]                   |                   |                 | •    | 33                  |    |  |
| Subtotal (95% CI)   |              | 705      |             | 692     | 100.0%     | 0.73 [0.58, 0.91]                   |                   | •               |      |                     |    |  |
| Total events  | 355          |          | 398         |         |            |                                     |                   |                 |      |                     |    |  |
| Heterogeneity: Chi <sup>2</sup> = 6.79, df = 4 (P = 0.15); I <sup>2</sup> = 41% |              |          |             |         |            |                                     |                   |                 |      |                     |    |  |
| Test for overall effect:  | Z = 2.82 (   | (P = 0.0 | 005)        |         |            |                                     |                   |                 |      |                     |    |  |
| 4.4.2 Mithaut studios   | aunalan      |          | iron to i   |         | tion wood  | m anh i                             |                   |                 |      |                     |    |  |
| 1.1.2 Without studies   | supplen      | ienting  | Iron to II  | iterver | ition grou | ip only                             |                   | 1.000           |      |                     |    |  |
| Henke 2003  | 71           | 180      | 82          | 171     | 33.7%      | 0.71 [0.46, 1.08]                   |                   |                 | ī    |                     |    |  |
| Hoskin 2004   | 122          | 151      | 127         | 149     | 16.6%      | 0.73 [0.40, 1.33]                   |                   |                 |      |                     |    |  |
| Overgaard 2007  | 97           | 255      | 133         | 260     | 49.7%      | 0.59 [0.42, 0.83]                   |                   |                 |      |                     |    |  |
| Subtotal (95% CI)   |              | 586      |             | 580     | 100.0%     | 0.65 [0.51, 0.83]                   |                   | •               |      |                     |    |  |
| Total events  | 290          |          | 342         |         |            |                                     |                   |                 |      |                     |    |  |
| Heterogeneity: Chi <sup>2</sup> =   | 0.62, df =   | 2 (P =   | 0.73); l² = | = 0%    |            |                                     |                   |                 |      |                     |    |  |
| Test for overall effect: Z = 3.46 (P = 0.0005)                                  |              |          |             |         |            |                                     |                   |                 |      |                     |    |  |
|   |              |          |             |         |            |                                     | 10 17             |                 |      | 2.0                 |    |  |
|   |              |          |             |         |            |                                     | 0.1 0.2           | 0.5 1           | 2    | 5                   | 10 |  |



RT RT + EPO

### Conclusions from EPO trials

- RT plus EPO has a negative influence on outcome as opposed to RT alone (non-haemopoietic effects of EPO?)
- EPO should not be administered as an addition to RT outside the experimental setting for patients with head and neck cancer.





#### **Overcoming acute hypoxia**



# Overcoming acute hypoxia

• Most procedures have no or little influence on perfusion-limited acute hypoxia





Horsman et al Nat Rev Clin Oncol 2012

# Nicotinamide

- Nicotinamide: prevents transient fluctuations in tumor blood flow that lead to development of acute hypoxia
- Hypothesis: combine nicotinamide with treatments that specifically target chronic hypoxia





# ARCON

Accelerated Radiotherapy + CarbOgen + Nicotinamide



Chronic hypoxia









# Carbogen



# carbogen $(98\% O_2 / 2\% CO_2)$



# Carbogen and nicotinamide reduce hypoxia in mouse colon carcinoma



#### **ARCON** phase II trial



#### Hypoxia and vessels in H&N cancer biopsies



Loco-regional tumor control after RT: hypoxic vs non-hypoxic tumors



#### ARCON for cT2-4 larynx carcinoma



**Fractionation schedule:** 



|         | primary | metastatic nodes |
|---------|---------|------------------|
| Acc. RT | 68 Gy   | 68 Gy            |
| ARCON   | 64 Gy*  | 68 Gy            |



\*Aim: improve tumor control with equal toxicity between arms!

#### ARCON for cT2-4 larynx carcinoma

345 patients



Janssens et al., J Clin Oncol 2012



# ARCON improves loco-regional control in anemic patients



Kaanders ESTRO 2012



# RT ± Carbogen & nicotinamide for bladder cancer







#### **Meta-analysis**



#### Hypoxic modification of RT in HNSCC

|               | Endpoint: Loco-regional failure |                 |                                  |                                      |            |                         |             |                | 4805 patien                |                  |               |                      |        |
|---------------|---------------------------------|-----------------|----------------------------------|--------------------------------------|------------|-------------------------|-------------|----------------|----------------------------|------------------|---------------|----------------------|--------|
|               |                                 |                 | Endpoint: Disease specific death |                                      |            |                         |             |                |                            |                  |               |                      | •      |
| Normobaric 19 |                                 |                 |                                  |                                      |            | Endpoint: Overall death |             |                |                            |                  |               |                      |        |
|               | 19                              |                 | 2                                |                                      |            |                         |             |                |                            |                  |               |                      |        |
|               | 20                              | Normobarie 10   |                                  | Trial M                              | dification | Evente                  | /Total      |                | Odds re                    | tio and 05% Cl   |               |                      |        |
|               | 20                              | orveen 1        |                                  | i riai i ini                         | Janication | Lveins                  | 710141      |                | Guusia                     | the and be /o of |               |                      |        |
|               | Su                              | 11              |                                  |                                      | 1          | Hypoxic                 | Centrel     |                |                            |                  |               |                      |        |
| perbaric      | 19                              | 20              |                                  | 104.10731_2+0.11778                  |            | oumcatio                | n Control   |                |                            | 112              | 0.000         |                      |        |
| ygen          | 19                              | 20              | Normobaric                       | 1970 Evans 1                         | 02         | 14/15                   | 23/25       |                |                            | <u>.</u>         | $\rightarrow$ |                      |        |
|               | 19                              | S               | oxygen                           | 1975 Evans 2                         | 02         | 14/20                   | 20/24       |                |                            |                  |               |                      |        |
|               | 19                              | Hyperbaric 19   |                                  | 1979 RTOG 70-02                      | Carbogen   | 78/121                  | 84 / 133    |                |                            |                  |               |                      |        |
|               | 19                              | oxygen 15       |                                  | 2005 Mendenhall                      | Carbogen   | 21/50                   | 24/51       |                | 1.0                        |                  |               |                      |        |
|               | 19                              | 11              |                                  | 2010 ARCON                           | Carb+Nic   | 64/171                  | 65/174      |                |                            |                  |               |                      |        |
|               | 19                              | 11              | Harris Annala                    | Subtotal (Normobari                  | c axygen)  | 191/3//                 | 216/40/     |                | -                          |                  |               | DR: 0.96 (0.71-1.29) | p=0.80 |
|               | 10                              | 15              | Hyperbaric                       | 1968 Van den Bren<br>1971 Tabia 1971 | HBO        | 3/15                    | 4/11        | 2              |                            |                  |               |                      |        |
|               | S                               | 16              | oxygen                           | 1971 Topin 1971                      | HBO        | 3/ 3                    | 20/25       |                | 39                         | _i               |               |                      |        |
| poxic         | 19                              | 15              |                                  | 1073 Chang 1973                      | HBO        | 10/18                   | 10/16       |                | <u></u>                    |                  |               |                      |        |
| sitizer       | 19                              | 11              |                                  | 1077 MPC 1 Irial                     | HBO        | 02/125                  | 107/151     |                |                            |                  |               |                      |        |
|               | 19                              | 15              |                                  | 1979 MBC 3 trial                     | HBO        | 3/9                     | 12/15       | <del>~ •</del> |                            |                  |               |                      |        |
|               | 19                              | S               |                                  | 1979 Sause                           | HBO        | 9/21                    | 11/23       |                | <u></u>                    |                  |               |                      |        |
|               | 19                              | Hypoxic 15      |                                  | 1986 MBC 2 trial                     | HBO        | 24/53                   | 34/50       |                | 3 1993                     | i                |               |                      |        |
|               | 19                              | sensitizer 19   |                                  | Subtotal (Hymerheri                  | (nanwen)   | 166 / 276               | 204/299     |                | -                          |                  |               | DR: 0.73 (0.51-1.05) | n=0.09 |
|               | 19                              | 15              | Hypoxic                          | 1982 Giaux                           | MISO       | 28/30                   | 23/26       |                |                            |                  | > `           |                      | p-0.00 |
|               | 19                              | 15              | sensitizer                       | 1983 Brunin                          | MISO       | 19/51                   | 22/50       |                |                            | •i  -            |               |                      |        |
|               | 19                              | 15              |                                  | 1984 MRC 10 fx                       | MISO       | 41/82                   | 47/83       |                |                            | ╼┼╂──            |               |                      |        |
|               | 19                              | 15              |                                  | 1984 MRC 20 fx                       | MISO       | 22/44                   | 23/46       |                | 10                         |                  |               |                      |        |
|               | 19                              | 11              |                                  | 1984 Panis                           | MISO       | 24/26                   | 25/26       | <              |                            |                  |               |                      |        |
|               | 19                              | 16              |                                  | 1986 Sealy 2                         | HBO/MISC   | 39/60                   | 49/64       |                |                            |                  |               |                      |        |
|               | 19                              | 11              |                                  | 1986 EORTC 22811                     | 1 MISO     | 120/167                 | 128 / 163   |                |                            | <del>■ i I</del> |               |                      |        |
|               | 19                              | 11              |                                  | 1987 European tria                   | d ETA      | 124 / 187               | 122 / 187   |                |                            |                  |               |                      |        |
|               | 19                              | 11              |                                  | 1987 IAEA study                      | Omidazole  | 12/18                   | 13/18       |                |                            |                  |               |                      |        |
|               | 10                              | 15              |                                  | 1987 RTOG 79-15                      | MISO       | 122/147                 | 119/150     |                |                            |                  |               |                      |        |
|               | 20                              | 16              |                                  | 1989 Dananca 2                       | MISO       | 197/328                 | 1/3/294     |                |                            |                  |               |                      |        |
|               | Su                              | 15              |                                  | 1989 RIOG /9-04                      | MISO       | 1//21                   | 16/19       |                |                            | 1                |               |                      |        |
| All trials w  | ith                             | 15              |                                  | 1969 Galecki<br>1965 DTOO 95 97      | Metro      | 104/050                 | 170/050     |                | <sup>322</sup> 37 <u>-</u> |                  |               |                      |        |
|               |                                 | 11              |                                  | 1000 Hulas                           | AK-9199    | 4/0                     | 7/0         | 1              | 102                        | -i               |               |                      |        |
|               |                                 | S               |                                  | 1009 Dahanca 5                       | MIM        | 157/210                 | 150/105     |                | 12 <u></u>                 |                  |               |                      |        |
| ant for h     | -1-                             | All trials with |                                  | Subtotal (iteration                  | (antition) | 1093 /1659              | 1099 / 1599 |                |                            | 4                |               | B-0 87 (0 75-1 02)   | n-0.08 |
| lest for h    | ete                             |                 | All triale w                     | ith hypoxic mod                      | fication 1 | 450 / 2312              | 1519/2305   |                |                            | 4                |               | 08: 0.87 (0.77-0.98) | n=0.03 |
|               |                                 |                 | An trials w                      | in hypoxic mod                       | inealion i |                         |             | 0.1            | 2 0.5                      | 1 2              | 5 10          | and the fair and     |        |
| eta Ana       | lys                             | Test for hetero | Test for he                      | eterogeneity: p =                    | 0.78       |                         | 1           | Hypoxic mo     | dification be              | tter Control bet | ter           |                      |        |
|               |                                 | Meta Analy      |                                  |                                      |            |                         |             |                |                            |                  |               |                      |        |

Meta Analysis - Hypoxic modification of radiotherapy in HNSCC



### Hypoxic modification of RT in HNSCC

Overgaard Radiother Oncol 2011



#### Head and neck cancer - meta analysis - summary

Meta Analysis - Hypoxic modification of radiotherapy in HNSCC

\* 95% Cl.

\*\* Numbers of patients Needed to Treat to achieve benefit in one patients.

#### Level 1a evidence in favor of adding hypoxic modification to radiotherapy in HNSCC


# Back to the future: SBRT & tumor hypoxia

- With the developments in image-guided radiotherapy (IGRT), the use of high single doses or a few large fractions is rapidly gaining popularity in the clinic
- Stereotactic Body RadioTherapy (SBRT) is now widely used for early stage lung cancer, but also metastases in various sites
- One reason fractionated radiotherapy became standard was the absence of a therapeutic window with large single doses, predominantly because of hypoxia!



# Back to the future: SBRT & tumor hypoxia

#### Table 2

Effect of hypoxic modification of radiotherapy of HNSCC given with different dose per fraction schedules.

| Fractionation pattern                   | Endpoint and Odds Ratio (95% CI) |                        |                                  |  |  |  |  |  |
|---|----------------------------------|------------------------|----------------------------------|--|--|--|--|--|
|   | Loco-regional failure            | Disease specific death | Late radiation related morbidity |  |  |  |  |  |
| Hypo-fractionation <sup>a</sup>         | 0.56 (0.40-0.77)                 | 0.62 (0.44-0.86)       | 1.83 (1.05–3.18)                 |  |  |  |  |  |
|   | p > 0.001                        | p > 0.001              | p > 0.03                         |  |  |  |  |  |
| Conventional fractionation <sup>a</sup> | 0.77 (0.67–0.89)                 | 0.78 (0.67–0.90        | 0.90 (0.71–1.14)                 |  |  |  |  |  |
|   | p > 0.001                        | p > 0.001              | p > 0.39                         |  |  |  |  |  |

\* The same fractionation pattern has been applied in hypoxic modification and control arms.

Overgaard Radiother Oncol 2011



# Targeting hypoxia – holy grail of radiotherapy?

- Hypoxia targeting has come a long way, from increasing oxygen supply and enhancing perfusion, to inhibitors of specific signaling or metabolic pathways
- Tumor hypoxia represents a highly dynamic condition, distributed heterogenously in tumors and changing over time
- The concept of acute vs chronic hypoxia is clearly an oversimplification of a complex condition
- The comeback of large doses/fx or even single doses also needs consideration of adding relatively non-toxic hypoxic sensitizers like nimorazole: "back to the future"



# Patient selection

Endogenous biomarkers for hypoxia

Treatment Subsite Study EMH HR (95% CI) п Radiotherapy only OPSCC Aebersold 2001 HIF-1a 98 0.46 (0.28 - 0.75) LSCC Schrijvers 2008 HIF-1a 91 0.34 (0.14 - 0.82) Wachters 2013 HIF-1a 60 0.81 (0.27 - 2.38) 60 0.83 (0.04 - 2.58) CA-IX OPN 60 0.99 (0.44 - 2.21) ARCON Low EMH LSCC Rademakers 2013 CA-IX 261 0.70 (0.50 - 1.10) Xueguan 2008 HIF-1a 59 0.09 (0.01 - 0.68) Chemoradiation expression Hui 2002 NPC HIF-1a 90 0.47 (0.21 - 1.04) CA-IX 90 0.72 (0.33 - 1.56) (HIF-1a, CA-IX, Kitagawa 2013 HIF-1a 74 0.49 (0.27 - 0.88) HNSCC Brockton 2011 55 0.99 (0.35 - 2.77) CA-IX v.d. Broek 2009 HIF-1a 91 0.72 (0.55 - 0.97) GLUT-1, OPN) Surgery only OSCC Avirovic 2013 OPN 86 0.55 (0.30 - 0.99) Chien 2009 OFN 256 0.12 (0.04 - 0.34) Choi 2008 CA-IX 117 0.52 (0.21 - 1.30) Kang 2013 HIF-1a 49 0.28 (0.11 - 0.73) Eckert 2010 GLUT-1 80 0.19 (0.05 - 0.80) Better prognosis HIF-1a 89 0.43 (0.20 - 0.95) Liang 2011 HIF-2a 89 0.72 (0.39 - 1.32) (overall survival) Zheng 2013 HIF-1a 120 0.33 (0.17 - 0.62) Zhu 2010 97 0.38 (0.22 - 0.68) HIF-1a HIF-2a 97 0.78 (0.45 - 1.37) Surgery + postoperative radiotherapy OSCC Kim 2007 60 0.59 (0.16 - 2.11) CA-IX Therapy not standardized OSCC Perez-Sayans 2012 CA-IX 50 0.34 (0.10 - 1.20) OPSCC Hong 2013 HIF-1a 233 0.72 (0.48 - 1.03) Other therapies NPC Wan 2012 HIF-1a 144 0.53 (0.31 - 1.01) 0.1 0.01 1 10 fevore low expression fevors high expression

Swartz JE et al Cancer Med 2015



Favors high expression Favors low expression

# Add

- Reduce oxygen consumption metformine
- Hypoxia tolerance HIF1a inhibitors



## **Patient selection**

#### Table 2. Clinical outcome: radiotherapy/ARCON.

Swartz JE et al Cancer Med 2015

| Study                               | Treatment                                | Stage             | EMH    | Pos/n              | Cutoff           | Correlations | LRC                           | OS                | DFS              | DSS           |
|-------------------------------------|--|-------------------|--------|--------------------|------------------|--------------|-------------------------------|-------------------|------------------|---------------|
| Oropharyngeal carcinoma             |  |                   |        |                    |                  |              |                               |                   |                  |               |
| Aebersold et al. [20]               | XRT                                      | Any               | HIF-1a | 92/98              | 10% N            | Tumor grade  |                               | 0.46 (0.28-0.75)  | 0.50 (0.30-0.83) |               |
| Silva et al. [21]                   | XRT                                      |                   | HIF-1a | 43/79              | 10%              | Low Hb       | 0.2 (0.1-0.42)                |                   |                  |               |
| Laryngeal carcinoma                 |  |                   |        |                    |                  |              |                               |                   |                  |               |
| Douglas et al. [22]                 | XRT                                      | 1-11              | HIF-1a | 124/271            | 10% N            | None         | 0.96 (0.79-1.16)              |                   |                  | LR $P = 0.22$ |
| Kwon et al. [23]                    | XRT                                      | 1-11              | HIF-1a | 7/42               | 50% N            | ns           | 0.13 (0.02-0.82)              |                   |                  |               |
|                                     |  | 1-11              | CA-IX  | 17/42              | 30% M            | ns           | 0.11 (0.01-0.96)              |                   |                  |               |
| Rademakers et al. [24]              | ARCON/XRT1                               | III-IV            | CA-IX  | 132/261            | Med <sup>2</sup> | None         |                               | 0.7 (0.5-1.1)     |                  |               |
| Schrijvers et al. [25] <sup>3</sup> | poxia-mo                                 | dified            | HIF-1a | 46/91<br>tment s   | chequ            | esuitor pa   | tiente with l                 | 0.34 (0.14-0.82)  | ion of           |               |
|                                     | 1. A A A A A A A A A A A A A A A A A A A | 1-11              | GLUT-1 | 53/91              | 35% M            | ns           | <b>Q</b> S                    |                   |                  |               |
| Wachters et al. [26]                | XRT                                      | 1-11              | HIF-1a | endoge             | enous i          | iypoxia r    | narse (25-3.45)               | 0.81 (0.27-2.38)  |                  |               |
|                                     |  | 1-II              | CA-IX  | 11/60              | 12.5% M          | None         |                               | 0.83 (0.04-2.58)  |                  |               |
|                                     |  | 1-11              | OPN    | 20/60              | 0.5% C           | ns           |                               | 0.99 (0.44-2.21)  |                  |               |
| Wildeman et al. [27]                | XRT                                      | Any               | HIF-1a | 59                 | N/M %4           | ns           | 1.08 (0.91-1.29)5             |                   |                  |               |
|                                     |  | Any               | HIF-1a | 59                 | Int              | ns           | 0.92 (0.56-1.49)5             |                   |                  |               |
|                                     |  | Any               | CA-IX  | 59                 | int              | ns           | 1.21 (0.96-1.52) <sup>5</sup> |                   |                  |               |
| Nasopharyngeal carcinoma            | а  | 50000 <b>*</b> 10 |        |                    |                  |              |                               |                   |                  |               |
| Xueguan et al. [28]                 | ARCON                                    | Any               | HIF-1a | 40/59              | 10% N            | None         | 0.41 (0.06-2.69)              | 0.09 (0.01-0.68)6 | 0.26 (0.07-0.97) |               |
| Multiple subsites                   |  |                   |        |                    |                  |              |                               |                   |                  |               |
| Nordsmark et al. [29]               | XRT                                      | Any               | HIF-1a | 19/59 <sup>7</sup> | 50% N            | ns           | 0.22 (0.06-0.81)              |                   |                  |               |
|                                     |  | 2.500640          | CA-IX  | 26/577             | 10% M            | ns           | 0.35 (0.12-1.01)              |                   |                  |               |
|                                     |  |                   | OPN    | 17/57              | Int D            | ns           | 0.83 (0.35-2.00)              |                   |                  |               |
| Jonathan et al. [30]                | ARCON                                    | Any               | CA-IX  | 29/58              | 25% M            | ns           | 4.23 (1.07-16.76)6            | ns                |                  |               |
|                                     |  | a 097539          | GLUT-1 | 29/58              | Int D            | ns           | ns                            | LR $P = 0.001$    |                  |               |

The outcomes locoregional control (LRC), overall survival (OS), disease-free survival (DFS), and disease-specific survival (DSS) are shown as hazard ratio (95% confidence interval). Hazard ratios <1 indicate beneficial prognosis for nonhypoxic tumors. Significant values are shown in bold Cutoff: EMHs were scored according to nuclear (N), membranous (M), cytoplasmic (C), or diffuse (D) staining patterns. XRT: radiotherapy. ARCON; accelerated radiotherapy, carbogen gas breathing and nicotinamide. Pos: number of patients with staining above the mentioned cutoff. LR: Logrank test. ns: not specified. Multiple subsites, patients were not analyzed per subsite. EMH, endogenous markers of hypoxia; HIF-1, hypoxia-inducible factor 1.



# Take home messages

- Hypoxic cell radioresistance is a significant cause of faillure in local tumor control in particular in SCC of head and neck and uterine cervix
- Using high oxygen content gas breathing, chemical radiosensitizers or blood transfusion have shown mixed results
- Meta-analysis of randomized trials does however demonstrate a significant benefit and level 1a evidence for head and neck tumors





# Dose-response relationships in radiotherapy

**Michael Joiner** 



Paris 2017

#### Definitions

**Dose Response:** Relationship between a given physical absorbed dose and the resulting biological response

**Endpoint:** A specific event that may or may not have occurred at a given time after irradiation



Relationship between given dose and each clinically relevant outcome needs to be defined

*i.e.* Define the incidence or probability of a certain outcome after a defined dose

#### Dose response: Empirical data

Sigmoid curves indicate variability of clinical radioresponse



Holthusen. Strahlentherapie 1936;57:254-68

## Examples of dose response relationships



Bentzen and Overgaard (1991)

#### Dose response models

Most frequently used models to fit sigmoid dose-response curves:

- Poisson model ...tumor
- Logistic model ...normal

Dose response model: Tumor control

The target cell hypothesis: Munro & Gilbert 1961

- Relevant is the number of tumor stem cells (clonogenic cells) left at the end of treatment
- This is reduced with dose in a manner which accounts for randomness in radiation effects, described by Poisson statistics
- The probability of tumor cure depends on the average number of clonogens surviving per tumor

#### Simulation of a Poisson distribution of surviving cells

| 0 | 0 | 0 | 2 | 1 | 1 | 1 | 0 | 0 | 0 |
|---|---|---|---|---|---|---|---|---|---|
| 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 |
| 0 | 0 | 0 | 2 | 1 | 2 | 1 | 2 | 0 | 1 |
| 1 | 0 | 0 | 0 | 0 | 2 | 1 | 0 | 1 | 2 |
| 1 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 3 |
| 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 1 | 0 |
| 1 | 0 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| 0 | 0 | 0 | 0 | 0 | 3 | 0 | 0 | 1 | 0 |
| 0 | 3 | 1 | 1 | 1 | 1 | 0 | 0 | 0 | 1 |
| 0 | 1 | 2 | 1 | 1 | 0 | 0 | 1 | 1 | 0 |

100 tumors. Average number of surviving clonogens per tumor = 0.5

Each box indicates the number of surviving clonogens actually in that tumor

#### Poisson Statistics – a reminder

In the **Poisson** statistical distribution, the probability P(x) of obtaining x surviving cells per tumor when the mean number of surviving cells per tumor is  $\lambda$ , is:

$$P(x) = \frac{e^{-\lambda}\lambda^{x}}{x!}$$

*Condition*: a very, very **large** number of cells in each tumor, but the probability that *any given cell* survives is very, very **small** 

#### Poisson Statistics: local tumor control ("cure")

Tumor Control Probability, *TCP*, is the probability of **no** surviving cells in the tumor (*i.e.* x = 0).

*TCP* is therefore given by:

$$TCP = P(\mathbf{0}) = \frac{e^{-\lambda}\lambda^{0}}{0!} = e^{-\lambda} = \exp(-\lambda)$$

 $\lambda$  is the mean number of surviving cells per tumor

#### Poisson "predicted" versus Monte Carlo "observed"

Average number of surviving clonogens = 0.5

Poisson distribution is confirmed by "observation"



But  $\lambda$  is a function of: dose per fraction, *d*, and number of fractions, *n*.

Remember that:

$$S = \lambda / N_0 = e^{-n(\alpha d + \beta d^2)} = \exp(-\alpha D - \beta dD)$$

Therefore:

$$TCP = \exp\left[-N_0 \exp\left(-\alpha D - \beta dD\right)\right]$$

#### Definition of dose-response curve slope



Normalized dose response gradient,  $\gamma$  :

$$\Delta P \approx \gamma \frac{\Delta D}{D}$$

1% change in dose gives increase in response =  $\gamma$  %

Usually defined at the steepest part of curve: With Poisson model, at Response = 37% (0.3679...,  $e^{-1}$ )

#### Interesting consequence of Poisson

It can be shown that:

$$\gamma_{37} = \frac{\ln N_0}{e}$$

This may be used for deducing the number of "tumor clonogens" but any relevance to normal tissue response is doubtful

#### Logistic model of response

$$P = \frac{\exp(u)}{1 + \exp(u)} \qquad u = \ln\left(\frac{P}{1 - P}\right)$$

$$U = a_0 + a_1 D + a_2 D d + \dots$$

P/(1-P) is called the **odds** of the response, *u* is called the **logit** of *P* 

With Logistic, the inflection (max slope) occurs at Response = 50% (*P* = 0.5, *u* = 0)

#### Beware: $\gamma$ changes with response level

|     | Response level, % |     |     |     |     |     |     |     |     |  |
|-----|-------------------|-----|-----|-----|-----|-----|-----|-----|-----|--|
| Y50 | 10                | 20  | 30  | 40  | 50  | 60  | 70  | 80  | 90  |  |
| 1   | 0.2               | 0.4 | 0.7 | 0.9 | 1.0 | 1.1 | 1.0 | 0.9 | 0.6 |  |
| 2   | 0.5               | 1.1 | 1.5 | 1.8 | 2.0 | 2.0 | 1.9 | 1.5 | 0.9 |  |
| 3   | 0.9               | 1.7 | 2.3 | 2.8 | 3.0 | 3.0 | 2.7 | 2.1 | 1.3 |  |
| 4   | 1.2               | 2.3 | 3.2 | 3.7 | 4.0 | 3.9 | 3.5 | 2.8 | 1.6 |  |
| 5   | 1.6               | 3.0 | 4.0 | 4.7 | 5.0 | 4.9 | 4.4 | 3.4 | 2.0 |  |

 $\gamma$  is only useful when you are "on the curve"!

#### Clinical estimates of $\gamma$

3

Average  $\gamma_{37}$  for H&N ≈ 2%

From studies in which dose per fraction was fixed

Larynx Head & neck Supraglottic Pharynx 2 IIIIII Neck nodes  $\gamma_{37}$ 1 0 Thames Taylor Hjelm-Hansen ] Overgaard Stewart Stewart Thames Cohen\_ Tokars. Bentzen . Stewart. Moench. Thames. Thames Ghossein \_ Ghossein Thames

Bentzen (1994)

#### Value of $\gamma$ in some late-reacting tissues

Compared with tumors,  $\gamma$  is larger

Dose response curves can be steeper, more so when fixed fraction number, *i.e.* higher dose per fraction

Bentzen (1994) Bentzen and Overgaard (1996)



### Balancing risks and benefits: The therapeutic window

*Example:* protraction of overall treatment time is detrimental!

Bentzen and Overgaard (1996)



#### Modifying the steepness of the dose-response

Oropharyngeal cancer

Homogeneous patient populations with radiosensitivity equal to selected percentiles of radiosensitivity distribution in total population

Bentzen (1994)



#### Clinical data to test modeling

# **③** Five compared with six fractions per week of conventional radiotherapy of squamous-cell carcinoma of head and neck: DAHANCA 6&7 randomised controlled trial

Jens Overgaard, Hanne Sand Hansen, Lena Specht, Marie Overgaard, Cai Grau, Elo Andersen, Jens Bentzen, Lars Bastholt, Olfred Hansen, Jørgen Johansen, Lisbeth Andersen, Jan F Evensen, on behalf of the Danish Head and Neck Cancer Study Group

#### Lancet 2003;362:933-40

Convert from a change in dose to a change in response rate



#### From change in dose to change in RR

$$\Delta R \approx \gamma \times \frac{\Delta D}{D} \times 100\%$$
$$= 1.6 \times \frac{4.9}{66} \times 100 = 12\%$$



#### Dose-volume models for normal tissues

- Predicting normal tissue toxicity has become more complicated by the use of IMRT, non-uniform dose distributions and partial organ irradiation
- Mathematical and biophysical models are developed to describe late normal tissue toxicity
- Toxicity is assessed from the complete dose distribution throughout an OAR in an integrative manner

#### NTCP models

#### **Example:**

The Lyman model of dose-volume effects in normal tissue

- Relates NTCP to dose and volume irradiated
- Assumes a normal distribution of complications as a function of dose for each uniformly irradiated fractional organ volume

Lyman model of dose-volume effects in normal tissue

$$NTCP(D,V) = \frac{1}{\sqrt{2\pi}} \cdot \int_{-\infty}^{u(D,V)} \exp(-\frac{1}{2} \cdot x^2) dx$$
$$u(D,V) = \frac{D - D_{50}(V)}{m \cdot D_{50}(V)} \qquad \begin{array}{l} 0 < n < 1\\ \text{Larger } n, \text{ more volume effect} \end{array}$$
$$D_{50}(V) = \frac{D_{50}(1)}{V^n} \qquad (\text{see BCR book, Ch 5.9})$$

 $D_{50}$  = uniform dose producing 50% incidence of specific effect n = denotes influence of volume effect in organ of interest m = inverse of dose response curve gradient

#### NTCP models

| Organ         | Toxicity              | TD <sub>50</sub> | Volume<br>effect (n)                 | Dosimetric<br>descriptor |
|---------------|-----------------------|------------------|--------------------------------------|--------------------------|
| Parotid gland | Xerostomia            | 28.4 Gy          | large (1)                            | mean dose                |
| Lung          | gr ≥ 2 pneumonitis    | 30.8 Gy          | large (0.99)                         | V20, MLD                 |
| Heart         | RIHD                  |                  | intermediate (0.35–0.64)             | Vd, MHD                  |
| Spinal cord   | myelopathy            |                  | marginal (except very small volumes) | EQD2                     |
| Liver         | RILD                  | 40-45 Gy         | large (0.69–0.97)                    | MLD, Vd                  |
| Rectum        | proctitis, ulceration | 80 Gy            | small (serial)                       | V70, V50                 |

Kong et al. Semin Radiat Oncol 2007;17:108-20

#### Complications versus mean lung dose



Seppenwoolde et al. Int J Radiat Oncol Biol Phys 2003;55:724-35
## Summary

- Dose-response data are defined in terms of probability
- Steepness of dose response at defined level can be used to convert change in dose to response
- Dose-response curves for normal tissues are steeper than those for tumors
- Heterogeneity in population data tend to make dose-response curves less steep
- NTCP models are not well validated and require caution when applied to clinical data; simpler dosimetric descriptors may be more useful



# LET and RBE

#### **Michael Joiner**

Paris 2017



#### Wide spectrum of DNA damage

| Class | Initial<br>physical<br>damage | Typical energy<br>and target<br>dimensions | Possible<br>target | Frequency<br>of<br>occurrence<br>(cell <sup>-1</sup><br>Gy <sup>-1</sup> )† | Comment                                       |
|-------|-------------------------------|--|--------------------|---|---|
| 1     | Sparse                        | Few tens of eV within $\sim 2 \text{ nm}$  | DNA<br>segment     | $\sim 10^{3}$   | Little biological rel SSB                     |
| 2     | Moderate<br>cluster           | $\sim 100  eV$<br>within $\sim 2  nm$      | DNA<br>segment     | ~20-100   | Charac<br>~repa simple DSB                    |
| 3     | Large<br>cluster              | $\sim$ 400 eV<br>within 5–10 nm            | Nucleosome         | ~4-100  | Characteristic of high_I FT<br>~u complex DSB |
| 4     | Very<br>large<br>cluster      | $\sim$ 800 eV<br>within 5–10 nm            | (Nucleosome)       | ~0-4<br>Ve  | Unique to high-LET;<br>ry complex DSB         |

†These frequencies assume that the targets are as in the previous column and that all the cell's DNA ( $\sim 6$  pg) is arranged in this way (Goodhead and Nikjoo 1989).

## Linear Energy Transfer (LET)

# LET = dE/dl

Where:

dE is the average energy locally imparted to the medium by a charged particle of a specified energy in traversing a distance of length dl.

Units are typically keV µm<sup>-1</sup> (keV/µm)



*LET*: Linear Energy Transfer. A measure of average ionization density.  $LET \propto \frac{charge^2}{velocity^2}$ 

#### Charged particle tracks in a cloud chamber

Cloud chamber photograph shows many high-energy electrons (thin tracks), low energy electrons (thicker tracks), and  $\alpha$  particles (thickest tracks)



#### Charged particle slows from lower right to upper left



#### Initial DNA damage from an α particle



## **Typical LET values**

| Radiation                   | Linear Energy Transfer, KeV/µm |             |  |  |
|-----------------------------|--------------------------------|-------------|--|--|
| Cobalt-60 γ-rays            |                                | 0.2         |  |  |
| 250-kV x-rays               |                                | 2.0         |  |  |
| 10-MeV protons              |                                | 4.7         |  |  |
| 150-MeV protons             |                                | 0.5         |  |  |
| •                           | Track Avg.                     | Energy Avg. |  |  |
| 14-MeV neutrons             | 12                             | 100         |  |  |
| 2.5-MeV $\alpha$ -particles | 1                              | 66          |  |  |
| 2-GeV Fe ions               | 1,0                            | 00          |  |  |

#### Relative Biological Effectiveness (RBE)

# $RBE = \frac{dose \ of \ a \ standard \ radiation}{dose \ of \ the \ test \ radiation}$

to produce the same biological effect, where the "standard radiation" is usually either orthovoltage X rays (~250 kVp) or  $^{60}Co \gamma rays$ 

Note: The RBE between 250kVp X and  $^{60}$ Co  $\gamma$  (and MV) is about 1.10–1.15 (depending on dose)







## Dependence of RBE on type of cell irradiated



dose in rad (x 100)

#### Dependence of RBE on the type of cell irradiated

- Cells which exhibit large shoulders in their X-ray survival curves tend to have high RBEs
- Cells with *little, if any, shoulder* tend to have *low RBEs*
- There are exceptions, due to the different interaction mechanisms between low and high LET radiations *e.g.* cell-cycle effect



#### Effect of dose and dose per fraction on the RBE

At low doses (and low doses per fraction), the RBE is higher since the dose in the numerator of the RBE will be relatively higher at low doses than in the denominator because of repair at low doses with the low-LET standard radiation





# RBE increases

with *decreasing* dose per fraction



#### Factors which influence the RBE

#### RBE depends upon:

- radiation quality (LET)
- radiation dose (dose per fraction)
- dose rate
- biological system or endpoint
- conditions, *e.g.* oxygenation

#### **Applications in Radiation Protection**

## Radiation Weighting Factor ( $W_R$ ) Equivalent Dose = dose × $W_R$

where  $W_R$  is a "rounded" value of the RBE.

A "rounded" (approximate) RBE is needed in radiation protection to cover all biological systems, doses, and endpoints.

## Radiation weighting factors (W<sub>R</sub>) ICRP 92 (2003), ICRP 103 (2007)

| Radiation type                                  |  |  |  |  |
|---|--|--|--|--|
|   |  |  |  |  |
| Photons (X-rays and gamma-rays):                |  |  |  |  |
| Electrons and muons:                            |  |  |  |  |
| Neutrons: function of neutron energy            |  |  |  |  |
| Protons and charged pions:                      |  |  |  |  |
| Alpha-particles, fission fragments, heavy ions: |  |  |  |  |

#### W<sub>R</sub> for neutrons ICRP 92 (2003), ICRP 103 (2007)



- LET is the average energy transferred per unit path length of the track of a charged particle
- X rays and gamma rays are usually referred to as low LET, although this is actually the LET of the charged particles released when they interact

Typical values of LET are:

- ~0.3 keV  $\mu$ m<sup>-1</sup> for high-energy X and  $\gamma$  rays
- ~2 keV µm<sup>-1</sup> for orthovoltage (~250 kVp) X rays
- >100 keV  $\mu$ m<sup>-1</sup> for heavy charged particles

- RBE is the ratio of dose of a "standard" radiation to dose of the radiation of interest producing the same biological effect
- The "standard" radiation is either orthovoltage X rays (~250 kVp) or <sup>60</sup>Co gamma rays
- RBE increases with LET up to a maximum at ~100 keV µm<sup>-1</sup>, and thereafter decreases due to the "overkill" effect

- RBE increases as the dose per fraction (or dose rate) decreases or the LET increases
- RBE depends on:
  - radiation quality (LET)
  - radiation dose (dose/fraction)
  - dose rate
  - biological system or endpoint
  - conditions

- The radiation weighting factor (W<sub>R</sub>) is used in radiation protection (that is, NOT in radiation oncology!) as a surrogate for RBE because the RBE depends on so many variable factors
- Equivalent Dose is: Dose × W<sub>R</sub>

## The LQ model in practice A prostate cancer case

#### Karin Haustermans

Department of Radiation Oncology, University Hospitals Leuven, Belgium







#### Prostate cancer case

- A famous Belgian man 65 years old
- WHO performance status 1
- PSA 9,6 μg/l



- MR guided biopsy in the right lobe: Gleason score 3+4 in two cylinders (60% and 85%)
- Bone scan en CT scan of the pelvis negative
- cT2aN0M0







- We proposed him 35 fractions of 2,2 Gy (TD 77 Gy) with 6 months of ADT
- What would be the chance to achieve biochemical control for this patient?
- $\alpha/\beta$  is assumed to be 1,5 Gy for prostate cancer





#### Prostate cancer case

- Calculation: • EQD<sub>2</sub>=  $D \frac{d + (\alpha/\beta)}{2 + (\alpha/\beta)}$ - EQD<sub>2</sub>= 77 x  $\frac{2,2+1,5}{2+1,5}$ - EQD<sub>2</sub>= 81,4 Gy
- Difference = 90,4 Gy 81,4 Gy = 9 Gy

→ 1,8% / 1 Gy = 16,2 %



#### Prostate cancer case

• He went to the UK for a second opinion ...





#### **CHHiP-trial**

- 74 Gy / 2 Gy (37#) vs 60 Gy / 3 Gy (20#) vs 57 Gy / 3 Gy (19#)
- 3152 analysable patients
- 73% Intermediate risk; 15% Low risk; 12% High risk (NCCN)
- 97% Androgen deprivation (3-6 months)
- IMRT
- Non-inferior design (bNED or cNED -free survival ± 5% at 5 years)

#### EQD2 for prostate cancer in these 3 arms?


Question 2: Which study-arm is biologically the most isoeffective with the standard-arm (74 Gy), regarding tumour control?

• Calculation: 
$$E/\alpha = BED = D\left(1 + \frac{d}{(\alpha/\beta)}\right)$$
  
- 74 Gy - arm  $= 74\left(1 + \frac{2}{1.5}\right) = 172,67$  Gy  
- 60 Gy - arm  $= 60\left(1 + \frac{3}{1.5}\right) = 180$  Gy  
- 57 Gy - arm  $= 57\left(1 + \frac{3}{1.5}\right) = 171$  Gy



# CHHiP-trial

- 60 Gy / 3 Gy (20#) = non-inferior (5y DFS)
- 57 Gy / 3 Gy (19#) = could not be claimed non-inferior (5y DFS)



# EQD2 for OAR in these 3 arms? Acute? Late?



Question 3: Which arm will cause theoretically the most late toxicity?

• Calculation:  $E/\alpha = BED = D(1 + \frac{d}{(\alpha/\beta)})$ - 74 Gy - arm:  $= 74(1 + \frac{2}{3}) = 123,33$  Gy - 60 Gy - arm:  $= 60(1 + \frac{3}{3}) = 120$  Gy - 57 Gy - arm:  $= 57(1 + \frac{3}{3}) = 114$  Gy



# **CHHiP-trial**

- Acute toxicity: greater peak for acute bowel toxicity
- Late toxicity: no significant differences between the 74 Gy and the 60 Gy group



• Bowel symptoms peaked sooner in hypofractionated schedules

• RTOG grade 2 or worse bowel toxicity

- 74 Gy: 25%
- 60 Gy: 38%
- 57 Gy: 38%

18

682

697

679



found out that recurrences most frequently occur at the primary tumor site ...











• FLAME-trial

Randomized phase III trial

- Standard arm: 77 Gy/2.2 Gy (35 fr) to the prostate
- Experimental arm: additional integrated boost to macroscopically visible tumor, delineated based on 2 different imaging techniques, to a maximal total dose of 95 Gy (35 fr of 2.7 Gy)
- Primary endpoint
  - To decrease the 5-year biochemical relapse rate with at least 10%

# EQD2 for prostate cancer in these 2 arms?



# EQD2 for OAR in these 2 arms? Acute? Late?





#### A. Grade 2 or worse GU events over time

#### B. Grade 2 or worse GI events over time

#### He wanted a shorter OTT due to his busy professional life ...









## **Extreme hypofractionation**

Hypo – FLAME (phase II – trial)



- Patients are treated by external beam radiotherapy with a SBRT technique with 35 Gy in 5 weekly fractions and an additional simultaneously integrated focal boost to the tumor nodule(s) visible on MRI up to 50 Gy.
- The dose constraints for the bladder and rectum are maintained as in the Canadian SBRT PATRIOT protocol (which were proven safe and were associated with a very low rate of severe toxicity). To achieve equal or less toxicity compared to the current radiotherapy protocols, the organs at risk dose will be prioritised



He decided to join the phase II Hypo-FLAME trial



→ Dose constraints (GTV boost)

D<sub>99%</sub> ≥ 40 Gy D<sub>0,1cc</sub> < 52 Gy



# Additional constraints corresponding to our standard dose constraints (2,2 Gy fractions) to prevent rectal toxicity

| Volume | Max dose<br>(35 fractions) |
|--------|----------------------------|
| < 50%  | 42,9 Gy                    |
| < 70%  | 36,2 Gy                    |
| < 75%  | 28,6 Gy                    |





• Extreme Hypofractionation for PCa

Calculation: 
$$E/\alpha = BED = D(1 + \frac{d}{(\alpha/\beta)})$$
  
V42,9  $\rightarrow$  BED = 42,9  $(1 + \frac{42,9/35}{3}) = 60$ 

SBRT 
$$\rightarrow$$
 BED = D  $(1 + \frac{D/5}{(\alpha/\beta)})$   
 $60 = D (1 + \frac{D/5}{3})$   
 $60 = D (1 + \frac{D}{15})$   
 $\frac{1}{15}D^2 + D - 60 = 0$ 



Extreme Hypofractionation for PCa

Calculation:  $E/\alpha = BED = D \left(1 + \frac{d}{(\alpha/\beta)}\right)$ SBRT  $\rightarrow \frac{1}{15}D^2 + D - 60 = 0$ 

$$D = \frac{-1 \pm \sqrt{1^2 - 4 * \frac{1}{15} * (-60)}}{2 * \frac{1}{15}} \qquad (x = \frac{-b \pm \sqrt{b^2 - 4ac}}{2a})$$
$$D = \frac{-1 \pm \sqrt{1^2 + 16}}{\frac{2}{15}}$$
$$D = 23,4 \text{ Gy}$$



| Volume | Max dose<br>(35 fractions) | Max dose<br>(5 fractions) | BED     |
|--------|----------------------------|---------------------------|---------|
| < 50%  | 42,9 Gy                    | 23,4 Gy                   | 60 Gy   |
| < 70%  | 36,2 Gy                    | 20,5 Gy                   | 48,5 Gy |
| < 75%  | 28,6 Gy                    | 16,9 Gy                   | 36 Gy   |





# General aspects SBRT for PCa

→ During radiation treatment: Automated Beam Hold







- The first fraction was delivered
- We noticed a change in rectum distension when we compared CBCT before and after
- Rectum D<sub>max</sub> was 9,5 Gy while Rectum D<sub>max</sub> = 42 Gy (5 fractions) or 8,4 Gy / fraction



Which dose may be delivered to the rectum in the 4 remaining fractions to not exceed Rectum  $D_{max}$ ?





- Question 5: Which dose may be delivered to the rectum in the 4 remaining fractions to not exceed Rectum  $D_{max}$ ? ( $\alpha/\beta$  is assumed to be 3 for late toxicity)
- Given: Fraction 1(Rectum) Tolerance (Rectum)  $- D_{max} = 9,5 \text{ Gy} - D_{max} = 42 \text{ Gy}$  - n = 1 - n = 5- d = 9,5 Gy - d = 8,4 Gy



• Given: Fraction 1(Rectum) Tolerance (Rectum)  $- D_{max} = 9,5 \text{ Gy} - D_{max} = 42 \text{ Gy}$  - n = 1 - n = 5- d = 9,5 Gy - d = 8,4 Gy

• Calculation: 
$$E/\alpha = BED = D \left(1 + \frac{d}{(\alpha/\beta)}\right)$$
  
 $\rightarrow BED_{fraction 1} = 9,5 \left(1 + \frac{9,5}{3}\right) = 39,58 \text{ Gy}$   
 $\rightarrow BED_{tolerance} = 42 \left(1 + \frac{42/5}{3}\right) = 159,6 \text{ Gy}$ 



• Calculation:  
• BED<sub>fraction 1</sub> = 9,5 
$$(1 + \frac{9,5}{3}) = 39,58 \text{ Gy}$$
  
• BED<sub>tolerance</sub> = 42  $(1 + \frac{42/5}{3}) = 159,6 \text{ Gy}$   
• BED<sub>rest</sub> = BED<sub>tolerance</sub> - BED<sub>fraction1</sub>  
= 159,6 Gy - 39,58 Gy  
= 120,0 Gy

 $\rightarrow$  BED<sub>rest/fraction</sub> = 120,0 Gy / 4 = 30,0 Gy



## $\rightarrow$ 1st plan



 $\rightarrow$  2nd plan





| GTV_Bo  | ost : GTV_BOOST           |         |               | GTV_Bo  | ost : GTV_BOOST   |         |                  |
|---------|---------------------------|---------|---------------|---------|---|---------|------------------|
| Status  | Constraint                | Result  | Manual Check  | Status  | Constraint  | Result  | Manual Check     |
|         | $D_{99\%} \ge 40 Gy$      | 43.38Gy |               |         | D <sub>99%</sub> ≥ 40Gy                                     | 40.38Gy |                  |
|         | $V_{40Gy} \ge 99\%$       | 99.93%  |               |         | $V_{40Gy} \ge 99\%$   | 99.80%  |                  |
|         | $D_{0.1cc} \leq 52Gy$     | 51.38Gy |               |         | $D_{0.1cc} \leq 52Gy$                                       | 50.04Gy |                  |
| Status  | Constraint<br>V35Gv > 99% |         | <b>Result</b> | Status  | $\frac{\text{Constraint}}{\text{V}_{35\text{Gy}} \ge 99\%}$ |         | Result<br>99.84% |
|         | $V_{35Gy} \ge 99\%$       |         | 99.85%        |         | $V_{35Gy} \ge 99\%$   |         | 99.84%           |
| PTVp1_0 | 04_3500 : PTVp1_04_3500   |         |               | PTVp1_0 | 04_3500 : PTVp1_04_3500                                     |         |                  |
| Status  | Constraint                |         | Result        | Status  | Constraint  |         | Result           |
|         | $D_{99\%} \ge 33.25 Gy$   |         | 33.60Gy       |         | $D_{99\%} \ge 33.25 Gy$                                     |         | 33.72Gy          |
|         | $V_{33.25Gy} \ge 99\%$    |         | 99.55%        |         | $V_{33.25Gy} \ge 99\%$                                      |         | 99.59%           |



| Rectum : Rectum |   |                |
|-----------------|---|----------------|
| Status          | Constraint                                | Result         |
|                 | $D_{max} \le 40Gy$                        | 36.33Gy        |
|                 | $D_{0.035cc} < 40Gy$                      | 35.58Gy        |
| 8               | $V_{38Gy} \leq 1cc$                       | Not available! |
|                 | $V_{35Gy} \le 1 \text{cc}$ Softcsontraint | 0.25cc         |
|                 | $V_{35Gy} \leq 2cc$                       | 0.25cc         |
|                 | $V_{32Gy} \leq 15\%$                      | 4.14%          |
|                 | $V_{28Gy} \le 20\%$                       | 8.37%          |
|                 | $V_{23.5Gy} \leq 50\%$                    | 13.38%         |
|                 | $\mathrm{V}_{20.5Gy} \leq 70\%$           | 17.56%         |
|                 | $V_{17Gy} \le 75\%$                       | 23.76%         |



| Structure     | Volume                             | Dose                    | Plan 1        | Plan 2      |
|---------------|------------------------------------|-------------------------|---------------|-------------|
| PTV           | Maximum dose (1 cm³)               | ≤ 107 % of prescription | 137,6 % (SIB) | 128,1 (SIB) |
|               | Minimum dose to 95 % of PTV        | 100 % of prescription   | 100 %         | 100 %       |
| Rectum        | Maximum dose (1 cm <sup>3</sup> )  | ≤ 105 % of prescription | 97,8 %        | 98,3 %      |
|               | Maximum dose (3 cm³)               | ≤ 95 % of prescription  | 92,5 %        | 93,3 %      |
|               | Dose to 50 %                       | ≤ 50 % of prescription  | 25,2 %        | 24,5 %      |
| Bladder       | Maximum dose (1 cm <sup>3</sup> )  | ≤ 105 % of prescription | 101,5 %       | 100,9 %     |
|               | Dose to 10 %                       | ≤ 90 % of prescription  | 74,8 %        | 74,3 %      |
|               | Dose to 50 %                       | ≤ 50 % of prescription  | 38,1 %        | 38,2 %      |
| Penile bulb   | Maximum dose (voxel)               | 100 % of prescription   | 7,1 %         | 7,1 %       |
|               | Maximum dose (3 cm <sup>3</sup> )  | ≤ 54 % of prescription  | 0 %           | 0 %         |
| Femoral heads | Maximum dose (voxel)               | ≤ 81 % of prescription  | 41,8 %        | 43 %        |
|               | Maximum dose (10 cm <sup>3</sup> ) | ≤ 54 % of prescription  | 33,2 %        | 36 %        |
| Urethra       | Maximum dose (voxel)               | ≤ 107 % of prescription | 105,8%        | 106,1       |



# Thank you for your attention and enjoy Paris!







# **Dose Escalation = Improved Biochemical Outcome**

- Reduction in biochemical relapse of 1.8% per 1 Gy
- Predicted radiation doses to achieve a 100% BC rate:

| Risk              | Dose (EQD2) |
|-------------------|-------------|
| Low risk          | 86,5 Gy     |
| Intermediate risk | 90,4 Gy     |
| High Risk         | 95,5 Gy     |





Viani et al. int J Radiat Oncol Biol Phys. 2009

# **Dose escalation but ...**

• There is probably also an overall time factor ... dose equivalent of proliferation of 0.24 Gy/day



Thames et al, Radiother Oncol 2010

# **Dose escalation but ...**

#### • There is probably also an overall time factor ...



• Could reduce the effect of some hypo-fractionated schedules

Vogelius et al. Int J Radiat Oncol Biol Phys. 2013

# What if ...

- The fractionation sensitivity of prostate cancer is uniquely high ( $\alpha/\beta$  1.5 Gy)?
- The  $\alpha/\beta$  is lower than in normal tissues at risk ( $\alpha/\beta$  3 to 4 Gy)?

# Hypofractionation in prostate cancer

- Fractionation sensitivity of prostate tumors is uniquely high ( $\alpha/\beta$  1.5 Gy)
- The  $\alpha/\beta$  is lower than normal tissues at risk ( $\alpha/\beta$  3-4 Gy)





Ritter et al. Semin. Radiat. Oncol. 2008

#### **HYPOFRACTIONATION HYPERFRACTIONATION** Current data with prostate tumors at 100 $\alpha B = 1.5 GY$ $\alpha/\beta = 1.5$ Gys 90 Total Iso-effect Dose (Gy) $\alpha/B = 3 \text{ Gy}$ $\alpha/\beta$ = 10 Gy $\alpha/\beta = 10$ Gy 80 70 60 Late complications 50 $\alpha/\beta$ = 3.0 Gy d/Fr =4 - 5 Gy 40 2 Gy 1.6 Gy 1.2 Gy 1.0 Gy 20 40 80 60 ( ) Number of dose fractions
#### Hypofractionation in prostate cancer



2016

#### Hypofractionation in prostate cancer

Non-disease related advantages

• Improvement in patient comfort and convenience

 Decline in workload for radiation oncology departments: UK: 2014-2015: Prostate cancer: 455638 attendances (27% workload)
 → 20-fraction schedule: - 200 000 attendances

#### **Possible strategies**

If  $\alpha/\beta$  is significantly lower for prostate cancer than for rectum

- Equal tumor effect
- Equal late complications

Try to keep the total dose per week below 13 Gy?

#### **Hypofractionation – Conclusion**

#### BUT ...

"Here's where the alpha/beta ratio is flawed: normal tissue can be seriously injured functionally without necessarily killing the cells involved."

"To me radiation therapy is all about the tortoise and the hare. You want to get to the destination safely, but the rapidity with which you get there is a secondary and essentially minor issue."





# Biological response modifiers Preclinical

#### Marianne Koritzinsky

Process Margaret Cancer Centre Toronto, Canada mazinsky@gmail.com

### Molecular Targeting of Cancer





### Individualization



"Here's my sequence..."

Nature, 2000

### Molecular Targeting of Cancer



### Molecular Targeting of Cancer

### The New York Times

February 2010



### **Biological response modifiers**

- New drugs designed to target the function of specific molecules
  - Small molecules
  - Antibodies
- Can have low toxicity
- Can have extremely high specificity

| Name              | Target           | Company              | Class               |
|-------------------|------------------|----------------------|---------------------|
| Bevacizumab       | VEGF             | Genentech            | Monoclonal antibody |
| BIBW 2992 (Tovok) | EGFR and Erb2    | Boehringer Ingelheim | Small molecule      |
| Cetuximab         | EGFR             | Imclone/BMS          | Monoclonal antibody |
| Imatinib          | Bcr-Abl          | Novartis             | Small molecule      |
| Trastuzumab       | Erb2 (Her2)      | Genentech/Roche      | Monoclonal antibody |
| Gefitinib         | EGFR             | AstraZeneca          | Small molecule      |
| Ranibizumab       | VEGF             | Genentech            | Monoclonal antibody |
| Pegaptanib        | VEGF             | OSI/Pfizer           | Small molecule      |
| Sorafenib         | Multiple targets | Onyx/Bayer           | Small molecule      |
| Dasatinib         | Multiple targets | BMS                  | Small molecule      |
| Sunitinib         | Multiple targets | Pfizer               | Small molecule      |
| Erlotinib         | EGFR             | Genentech/Roche      | Small molecule      |
| Nilotinib         | Bcl-Abr          | Novartis             | Small molecule      |
| Lapatinib         | EGFR/Erb2        | GSK                  | Small molecule      |
| Panitumumab       | EGFR             | Amgen                | Monoclonal antibody |

+ many more

## Mechanisms of mAB Action

- Signal transduction changes
  - Ligand-receptor interaction
  - Clearance of ligand
- Delivery of cytotoxic payloads
  - Radioisotopes
  - Toxins
- Interaction with immune system
  - Antibody-dependent cellular cytotoxicity
  - Complement-dependent cytotoxicity



### **EGFR-signaling**



#### Proliferation, DNA repair, angiogenesis

## Cetuximab prevents EGFR-signaling



Proliferation, DNA repair, angiogenesis

### Small molecules



- COX-2

## Small molecule EGFR inhibitors



#### IRESSA / ZD1839

- orally bioavailable
- selective inhibitor of EGFR tyrosine kinase
- competitive inhibitor of ATPbinding

Proliferation, DNA repair, angiogenesis

### Canadian clinical trials with RT

| Phase  | Agent       | Site                     | Target          |
|--------|-------------|--------------------------|-----------------|
| I      | Sorafenib   | SCCHN                    | Raf/MEK/ERK     |
| l I    | Sorafenib   | Thorax, abdomen, pelvis  | Raf/MEK/ERK     |
| l I    | Sorafenib   | Hepatocellular carcinoma | Raf/MEK/ERK     |
| I      | Sunitinib   | brain met                | PDGFR/VEGFR/KIT |
| 1/11   | Sorafenib   | bone mets, RCC           | Raf/MEK/ERK     |
| 1/11   | Sorafenib   | Unresectable liver mets  | Raf/MEK/ERK     |
| 1/11   | Sorafenib   | Cervix                   | Raf/MEK/ERK     |
| 1/11   | Nimotuzumab | NSCLC                    | EGFR            |
| II     | Erlotinib   | NSCLC                    | EGFR            |
| II     | Nimotuzumab | Brain met NSCLC          | EGFR            |
| II     | Vandetanib  | SCCHN                    | VEGFR, EGFR     |
| 11/111 | CDX-110     | GBM                      | EGFRvIII        |
| III    | Cetuximab   | HN                       | EGFR            |
| III    | Cetuximab   | Esophageal               | EGFR            |
| III    | Panitumumab | SCCHN                    | EGFR            |
| III    | Avastin     | glioblastoma             | VEGF-A          |

### Targeting with RT: achieving cure

New targeted drugs unlikely to be effective stand-alone therapies

- Number of cells
- Heterogeneity in the target
- Adaptation to the agent



### Targeting with RT: the last drop







## Making choices: Therapeutic index



#### Synthetic lethality

#### PARP/BRCA2

Contextual synthetic lethality

VEGF (Avastin) Hypoxia tolerance

### Example 1: Target driven lethality - EGFR

#### Tumors showing high EGFR expression

NSCLC 40-80% • 40-80% Prostate • 33-74% Gastric 14-91% Breast • 25-77% Colorectal • Pancreatic 30-50% • Ovarian 35-70% • 31-48% Bladder • Renal cell 50-90% • 80-100% H&N • 40-63% Glioma Esophageal 43-89% •

## High expression generally associated with

- Invasion
- Metastasis
- Late-stage disease
- Chemo-/Radiotherapy resistance
- Poor outcome

### Example 1: Target driven lethality (EGFR)





Nature Reviews | Cancer

#### Example 1: Target driven lethality (EGFR)



### The Concept of Synthetic Lethality



### Example 2 – Synthetic lethality



#### 2. Synthetic lethality: PARP inhibitors for BRCA2-/-



#### 2. Synthetic lethality: PARP inhibitors for BRCA2-/-



Ashworth, A. J Clin Oncol; 26:3785-3790 2008

#### 2. Synthetic lethality: Temozolomide for MGMT silencing

The NEW ENGLAND JOURNAL of MEDICINE

ORIGINAL ARTICLE

#### *MGMT* Gene Silencing and Benefit from Temozolomide in Glioblastoma

Monika E. Hegi, Ph.D., Annie-Claire Diserens, M.Sc., Thierry Gorlia, M.Sc., Marie-France Hamou, Nicolas de Tribolet, M.D., Michael Weller, M.D., Johan M. Kros, M.D., Johannes A. Hainfellner, M.D., Warren Mason, M.D., Luigi Mariani, M.D., Jacoline E.C. Bromberg, M.D., Peter Hau, M.D.,
René O. Mirimanoff, M.D., J. Gregory Cairncross, M.D., Robert C. Janzer, M.D., and Roger Stupp, M.D.



#### 2. Synthetic lethality: Temozolomide for MGMT silencing



#### 2. Synthetic lethality: CYCLOPS

Copy number alterations Yielding Cancer Liabilities Owing to Partial losS



Tumor suppressor CYCLOPS gene

Nijhawan et al., Cell 2012

### **Example 3: Contextual lethality - VEGF**



### Example 3: Contextual lethality - VEGF

- VEGF plays central role in tumor angiogenesis
- VEGF is induced by hypoxia and expressed by many tumors
- VEGF circulates in the blood, and acts directly on endothelial cells





### Normalisation of Tumour Vasculature



#### VEGF targeting can improve radiation response



#### Molecular targeting: Challenges Tumor subpopulations










Amado, JCO 2008



### Challenge - High quality translational research

- New targeted therapies require different clinical trials
  - New therapies may be highly TUMOR or PATIENT specific need biomarkers
  - Single attributes (eg hypoxia) or single molecules (EGFR) are targets
  - Benefit limited to specific, perhaps small patient populations

Radiation will become a part of curative systemic therapies

### Oligometastases



### Immune therapies: Blocking CTLA4 and PD1 signaling



### Immune therapy







The total dose and fractionation dose affect these processes in a way that may be distinct from effects on cell survival

### Summary

- New biological agents are here and more are coming monthly
- Biological agents can be combined with radiation in a rational way
  - Target something important/different in cancer
  - Target something important for radiotherapy
- Patient selection/individualization will become more important as these agents enter the clinic

## Biological response modifiers Clinical

#### Karin Haustermans

Department of Radiation Oncology, University Hospitals Leuven, Belgium







# Overview

- Introduction
- Target driven lethality
  - EGFR inhibitors
- Synthetic lethality
  - DNA-repair inhibitors
- Contextual lethality
  - VEGF inhibitors
  - Vascular disrupting agents
  - Immune activation
- Take home messages





### Framework



# Targeting the hallmarks of cancer

- High specificity
- Low toxicity (different from RT)
- Interaction with RT
  - Radiosensitivity
  - Hypoxia
  - Proliferation
  - Immune activation
- High therapeutic index







## **Target driven lethality**



# Target driven lethality

- High specificity
- Low toxicity (different from RT)
- Interaction with RT
  - Radiosensitivity
  - Hypoxia
  - Proliferation
  - Immune activation
- Therapeutic index
  - Target driven

estro

- Synthetic lethality
- Contextual lethality



# EGFR signaling





Debucquoy Clin Cancer Res 2010

# EGFR expression & prognosis

- Independent prognostic indicator for OS and DFS
  - Conventional radiotherapy, mean absorbance





Ang Cancer Res 2002

### EGFR expression & prognosis



HNSCC with high EGFr expression respond better to moderately accelerated radiotherapy than tumors with low EGFr

**DAHANCA 6 and 7** 

## EGFR expression & prognosis



HNSCC with high EGFr and well/moderate differentiation benefit from moderately accelerated radiotherapy regarding LR control





Such effect was not seen in tumors with low EGFr and/or poor differentiation



### Cetuximab (c225)



Proliferation, DNA repair, angiogenesis



## The landmark trial

Radiotherapy plus cetuximab for locoregionally advanced head and neck cancer: 5-year survival data from a phase 3 randomised trial, and relation between cetuximab-induced rash and survival

James A Bonner, Paul M Harari, Jordi Giralt, Roger B Cohen, Christopher U Jones, Ranjan K Sur, David Raben, Jose Baselga, Sharon A Spencer, Junming Zhu, Hagop Youssoufian, Eric K Rowinsky, K Kian Ang

.



Bonner et al NEJM 2006 Bonner Lancet Oncol 2010

# Phase III RCT RT ± Cetuximab

Primary tumor site: oropharynx, hypopharynx, larynx

#### Stratify by

- Karnofsky score: 90-100 vs. 60-80
- Regional Nodes: Negative vs. Positive
- Tumor stage: AJCC T1-3 vs. T4
- RT fractionation: Concomitant boost vs. Once daily vs. Twice daily





# Efficacy

Cetuximab+RT improves OS compared with RT





### Adverse events

| Adverse Event              | Radiotherapy Alone (N=212) |            | Radiotherapy plus | Cetuximab (N=208) | P Value†   |            |  |  |
|----------------------------|----------------------------|------------|-------------------|-------------------|------------|------------|--|--|
|                            | All Grades                 | Grades 3-5 | All Grades        | Grades 3-5        | All Grades | Grades 3-5 |  |  |
| percent of patients        |                            |            |                   |                   |            |            |  |  |
| Mucositis                  | 94                         | 52         | 93                | 56                | 0.84       | 0.44       |  |  |
| Acneiform rash             | 10                         | 1          | 87                | 17                | <0.001     | <0.001     |  |  |
| Radiation dermatitis       | 90                         | 18         | 86                | 23                | 0.24       | 0.27       |  |  |
| Weight loss                | 72                         | 7          | 84                | 11                | 0.005      | 0.12       |  |  |
| Xerostomia                 | 71                         | 3          | 72                | 5                 | 0.83       | 0.32       |  |  |
| Dysphagia                  | 63                         | 30         | 65                | 26                | 0.68       | 0.45       |  |  |
| Asthenia                   | 49                         | 5          | 56                | 4                 | 0.17       | 0.64       |  |  |
| Nausea                     | 37                         | 2          | 49                | 2                 | 0.02       | 1.00       |  |  |
| Constipation               | 30                         | 5          | 35                | 5                 | 0.35       | 1.00       |  |  |
| Taste perversion           | 28                         | 0          | 29                | 0                 | 0.83       | <u></u> 2  |  |  |
| Vomiting                   | 23                         | 4          | 29                | 2                 | 0.18       | 0.42       |  |  |
| Pain                       | 28                         | 7          | 28                | 6                 | 1.00       | 0.84       |  |  |
| Anorexia                   | 23                         | 2          | 27                | 2                 | 0.26       | 1.00       |  |  |
| Fever                      | 13                         | 1          | 26                | 1                 | 0.001      | 1.00       |  |  |
| Pharyn <mark>g</mark> itis | 19                         | 4          | 26                | 3                 | 0.10       | 0.80       |  |  |
| Dehydration                | 19                         | 8          | 25                | 6                 | 0.16       | 0.57       |  |  |
| Oral candidiasis           | 22                         | 0          | 20                | 0                 | 0.63       | -          |  |  |
| Coughing                   | 19                         | o          | 20                | <1                | 1.00       | 0.50       |  |  |
| Voice alteration           | 22                         | 0          | 19                | 2                 | 0.47       | 0.06       |  |  |
| Diarrhea                   | 13                         | 1          | 19                | 2                 | 0.11       | 0.50       |  |  |
| Headache                   | 8                          | <1         | 19                | <1                | 0.001      | 1.00       |  |  |
| Provitus                   | 4                          | •          | 16                | 0                 | ≈0.001     |            |  |  |
| Infusion reaction          | 2                          | 0          | 15                | 3                 | < 0.001    | 0.01       |  |  |
| Insomnia                   | 14                         | 0          | 15                | 0                 | 0.89       |            |  |  |
| Dyspepsia                  | 9                          | 1          | 14                | 0                 | 0.13       | 0.50       |  |  |
| Increased sputum           | 15                         | 1          | 13                | <1                | 0.78       | 0.62       |  |  |
| Infection                  | 9                          | 1          | 13                | 1                 | 0.28       | 1.00       |  |  |
| Anxiety                    | 9                          | 1          | 11                | <1                | 0.75       | 1.00       |  |  |
| Chills                     | 5                          | 0          | 11                | 0                 | 0.03       |            |  |  |
| Anemia                     | 13                         | 6          | 3                 | 1                 | < 0.001    | 0.006      |  |  |



Bonner NEJM 2006

## Acneiform rash

• Predictive of response to therapy?





Segaert S Ann Oncol, 2005

### Acneiform rash

Prominent cetuximab-induced rash ~ better survival



Figure 4: The onset of cetuximab-induced rash following the initiation of first treatment



Figure 5: Overall survival by severity of rash in cetuximab-treated patients

Bonner JA, Lancet Oncol 2010



### Predictive biomarkers for cetuximab in HNSCC?

Bonner J Oncologist 2017;22:811

 p16 and HPV are not predictive for outcomes of cetuximabcontaining treatment regimens in patients with locoregionally advanced or recurrent/metastatic HNSCC (despite their prognostic value)

| Trial, n<br>Extent of disease | IMCL-9815, n = 424<br>LA SCCHN                                  | EXTREME, $n = 442$<br>R/M SCCHN  |  |  |  |
|-------------------------------|---|--|--|--|--|
| Trial design                  | Phase III, randomized   | Phase III, randomized  |  |  |  |
| Arm 1                         | RT  | Platinum + 5-FU  |  |  |  |
| Arm 2                         | Cetuximab + RT  | Cetuximab + platinum + 5-FU  |  |  |  |
| Tumor sites included          | <ul><li>Hypopharynx</li><li>Larynx</li><li>Oropharynx</li></ul> | <ul> <li>Hypopharynx</li> <li>Larynx</li> <li>Oral cavity</li> <li>Oropharynx</li> </ul> |  |  |  |
| Primary endpoint              | LRC   | OS   |  |  |  |
| Selected secondary endpoints  | <ul> <li>OS</li> <li>PFS</li> <li>Safety</li> </ul>             | <ul> <li>PFS</li> <li>Response rate</li> <li>Safety</li> </ul>                           |  |  |  |

Table 1. Trial designs for IMCL-9815 and EXTREME



### Predictive biomarkers for cetuximab in HNSCC?

Bonner J Oncologist 2017;22:811

Patients with p16+ tumors had superior OS than those with p16tumors in both the cetuximab + RT arm and RT alone treatment arm.

Although the treatment effects were stronger in the p16+ subgroup, interaction tests revealed no significant interaction between p16 status and treatment





- Benefit with chemotherapy? RTOG0522 trial
  - Randomized Phase III, stage III and IV HNSCC
  - Concurrent accelerated radiation + cisplatin (arm A; n = 447) vs concurrent accelerated radiation + cisplatin + cetuximab (arm B; n = 444)
  - Adding cetuximab to radiation-cisplatin did not improve outcome; leads to more acute grade 3-4 toxicity



Benefit with chemotherapy? RTOG0522 trial





Fig 2. Kaplan-Meler estimates of (A) progression-free and (B) overall survival and cumulative incidence estimates of (C) locoregional failure and (D) distant metastasis by assigned treatment. HR, hazard ratio; RT, radiotherapy.

Ang KK, JCO 2014;32:2940

### Benefit with chemotherapy? RTOG0522 trial

|   |                       | % of #     | Patients                             |            |            |            |
|---|-----------------------|------------|--------------------------------------|------------|------------|------------|
|   | Arm A: RT + Cisplatin |            | Arm B: RT + Cisplatin +<br>Cetuximab |            | Pt         |            |
| Adverse Event*                                | All Grades            | Grades 3-4 | All Grades                           | Grades 3-4 | All Grades | Grades 3-4 |
| Acute period:                                 |                       |            |                                      | 1.10       |            |            |
| No. of patients                               | 4                     | 47         | 444                                  |            |            |            |
| Any event                                     | 97                    | 87         | 97                                   | 89         | .70        | .61        |
| Dusnhania                                     | 99                    | 57         | 92                                   | 52         | no         | 35         |
| Radiation mucositis                           | 72                    | 33         | 82                                   | 43         | < .001     | .002       |
| Skin reaction outside portals                 | 14                    | 1          | 82                                   | 20         | < .001     | < .001     |
| Skin reaction inside portal                   | 79                    | 15         | 78                                   | 25         | .87        | < .001     |
| Fatigue                                       | 60                    | 9          | 65                                   | 14         | .17        | ,03        |
| Anorexta                                      | 32                    | 11         | 32                                   | 16         | .89        | .04        |
| Salivary gland diacrost 1903                  | 31                    | 2          | 27                                   |            | .24        | .07        |
| Hyposibuminemia                               | 25                    | 1          | 30                                   | 2          | .11        | ,09        |
| Oral pain                                     | 24                    | 7          | 28                                   | 10         | .17        | .19        |
| Hypocalcemia                                  | 16                    | 1          | 26                                   | 3          | < .001     | .09        |
| Hunetglunemia NOS                             | 22                    | 0          | 25                                   | 2          | 49         | <b>D/I</b> |
| Hypokalemia                                   | 18                    | 5          | 25                                   | 10         | .007       | .005       |
| Blood creatinine increased                    | 24                    | 2          | 17                                   | 2          | .02        | 1.00       |
| Platelet count decreased                      | 21                    | 2          | 22                                   | 2          | .74        | 1.00       |
| Lymphopenia                                   | 18                    | 13         | 18                                   | 14         | 1.00       | .63        |
| Pyrexia                                       | 11                    | 0          | 18                                   | < 1        | .003       | .50        |
| Laryngitis NOS                                | 17                    | 2          | 16                                   | 2          | .59        | .64        |
| ALT Increased                                 | 14                    | 1          | 16                                   | 2          | .35        | .30        |
| Tinnitus                                      | 16                    | 1          | 15                                   | <1         | .85        | .12        |
| Diarrhea NOS                                  | 10                    | 1          | 16                                   | 2          | .02        | .58        |
| Mucositis/stomatitis (clinical exam); laryrix | 13                    | 5          | 13                                   | 5          | 1.00       | .76        |
| Alopecia                                      | 13                    | 0          | 11                                   | 0          | .40        | -          |
| AST Increased                                 | 11                    | < 1        | 12                                   | < 1        | .40        | 1.00       |
| Cough   | 11                    | < 1        | 12                                   | 1          | .67        | 37         |
| Headache                                      | 4                     | 0          | 12                                   | 1          | < .001     | .12        |
| Laryngeal ederna                              | 11                    | 2          | 10                                   | 1          | .83        | .77        |



Ang KK, JCO 2014;32:2940

- Other EGFR inhibitors? CONCERT trials
  - CONCERT-1
    - Open-label RCT phase II trial, stage III and IV HNSCC
    - RCT (n=63) vs RCT + Panitumumab (n=87)
  - CONCERT-2
    - Open-label RCT phase II trial, stage III and IV HNSCC
    - RCT (n= 61) vs **RT** + Panitumumab (n=90)



### • Other EGFR inhibitors? CONCERT trials



## EGFR inhibition + RCT in rectal cancer

• Relatively low pCR in pts receiving cetuximab along with CRT as preop R\ in rectal cancer in phase I/II

Cetuximab, capecitabine, and RT

Machiels Ann Oncol 2007

**Table 3.** Preoperative T stage compared with pathologic T stage (n = 19)

| Preoperative staging <sup>a</sup> | pT (no. of patients) |     |     |     |     |  |  |
|-----------------------------------|----------------------|-----|-----|-----|-----|--|--|
|                                   | pT0                  | pT1 | pT2 | pT3 | pT4 |  |  |
| T2 $(n = 2)$                      | 1                    | 0   | 1   | 0   | 0   |  |  |
| T3 $(n = 29)$                     | 1                    | 0   | 6   | 21  | 1   |  |  |
| T4 $(n = 6)$                      | 0                    | 1   | 2   | 3   | 0   |  |  |
| Total $(n = 37)$                  | 2                    | 1   | 9   | 24  | 1   |  |  |

<sup>a</sup>By endorectal ultrasound.

Cetuximab, capecitabine, oxaliplating and RT

Rödel C Int J Radiat Oncol Biol Phys 2008

Table 4. Pathologic stage for 45 operated patients treated at recommended capecitabine dose level of 1,650 mg/m<sup>2</sup>

| Baseline stage | Pathologic stage |      |      |      |      |      |      |      |  |
|----------------|------------------|------|------|------|------|------|------|------|--|
|                | ypT0             | ypT1 | ypT2 | урТ3 | ypT4 | ypN0 | ypN1 | ypN2 |  |
| T3 $(n = 39)$  | 4                |      | 12   | 21   | 2    |      |      |      |  |
| T4 $(n = 6)$   |                  |      | 2    | 3    | 1    |      |      |      |  |
| N - (n = 9)    |                  |      |      |      |      | 7    | 1    | 1    |  |
| N+(n=36)       |                  |      |      |      |      | 21   | 5    | 10   |  |
| Total          | 4                | )    | 14   | 24   | 3    | 28   | 6    | 11   |  |

pCR = 9% (4/45)



## EGFR inhibition + RCT in rectal cancer

• Importance of translational research





Debucquoy JCO 2009

## EGFR inhibition + RCT in rectal cancer

- CRT might have been compromised by cetuximab pretreatment
  - Pre-CRT initial dose of cetuximab decreased tumor cell proliferation
  - Capecitabine needs to be taken up by proliferating cells to exert its effects



Debucquoy JCO 2009


# Synthetic lethality

- High specificity
- Low toxicity (different from RT)
- Interaction with RT
  - Radiosensitivity
  - Hypoxia
  - Proliferation
  - Immune activation
- Therapeutic index
  - Target driven
  - Synthetic lethality
  - Contextual lethality







# **Synthetic lethality**



## PARP inhibition and BRCA status





## PARP inhibition and BRCA status





# Key mechanisms of action of PARPi

Chalmers AJ et al Semin Radiat Oncol 2010





# PARP inhibitors + radiotherapy

Chalmers AJ et al Semin Radiat Oncol 2010

 Mechanisms by which PARP inhibitors may increase clinical radiocurability





## PARP inhibitors + radiotherapy

Powell C et al Cancer Treat Rev 2010

#### • In vivo

#### Table 2

Published data showing the radiosensitisation effect of PARP inhibitors in vivo in mouse xenograft models.

| Author                              | PARP inhibitor   | Xenograft  | Efficacy with radiotherapy                            |
|-------------------------------------|--|--|---|
| Kelland and<br>Tonkin <sup>63</sup> | 3-Aminobenzamide   | Human cervix carcinoma                                 | Enhancement ratio                                     |
|                                     |  | 70 cG/min  | 1.5-2.4   |
|                                     |  | 5 cGy/min  | 1.02-1.37   |
| Calabrese<br>et al. <sup>60</sup>   | AG14361  | Colorectal cancer (LoVo and SW620)                     | Tumour growth delay increased by<br>18 days (2-fold)  |
| Albert et al.56                     | ABT-888  | Lung cancer (H460)                                     | Tumour growth delay increased by<br>6.5 days (2-fold) |
| Khan et al. <sup>64</sup>           | GPI-15427<br>(10-(4-Methyl-piperazin-1-ylmethyl)-2H-7-oxa-1,2-diaza-<br>benzo[de]-anthracen-3-one) | Head and neck squamous cell carcinoma                  | Reduced tumour volume                                 |
| Russo et al. <sup>59</sup>          | E7016  | Glioblastoma (U251) (in combination with temozolomide) | Tumour growth delay 10.8 days (1.5-<br>fold)          |
| Donawho<br>et al. <sup>65</sup>     | ABT-888  | Colon cancer (HCT-116)                                 | Median survival time increased by 13 days (1.5-fold)  |



### Clinical trials: PARP inhibitors + radiotherapy

- Several ongoing trials (clinical trials.gov)
  - Veliparib With Radiation Therapy in Patients With Inflammatory or Loco-regionally Recurrent Breast Cancer
  - Olaparib and Radiotherapy in Inoperable Breast Cancer
  - Olaparib and Radiotherapy in Inoperable Breast Cancer
  - A Trial Evaluating Concurrent Whole Brain Radiotherapy and Iniparib in Multiple Non Operable Brain Metastases





## **Contextual lethality**



# **Contextual lethality**

- High specificity
- Low toxicity (different from RT)
- Interaction with RT
  - Radiosensitivity
  - Hypoxia
  - Proliferation
- Therapeutic index
  - Target driven

ESTRO

- Synthetic lethality
- Contextual lethality
- Immune modulation



## Immune modulation

Herrera FG et al CA Cancer J Clin 2017

- Clinical efficacy of RT
  - Traditionally: local effect, through direct tumor cell death (DNA damage)
  - More recently: systemic effects on "out-of-field" tumor deposits = abscopal effect, mediated by immune mechanisms
  - RT induces 'in situ' vaccination
  - RT reprograms the tumor micro-environment



# Immune mechanisms triggered by RT

Herrera FG et al CA Cancer J Clin 2017





### RT reprograms the tumor microenvironment





### RT reprograms the tumor microenvironment





Dörthe Schaue and William H. McBride, Nature 2015

# Radioimmunotherapy combinations

- 3 main clinical scenario's
  - IT + hypofractionated RT for oligometastatic disease
    - Clinical goal: reduce distant failures (effect outside radiation field)
    - Mechanism: in situ vaccination effect coupled to local and systemic effects IT
  - IT + chemoRT
    - Clinical goal: enhance efficacy of chemo-RT locally and reduce distant failures
    - Mechanism: local and distant synergies between RT and immunomodulation
  - RT + IT
    - Clinical goal: maximize efficacy of IT against specific tumor deposit (effect within radiation field)
    - Mechanism: RT = biological response modifier



# Radioimmunotherapy combinations



# Boosting in situ vaccination effect of RT

Herrera FG et al CA Cancer J Clin 2017

- Pharmacological activation of APCs → because immunomodulatory effects of RT are often not sufficient to trigger effective antitumor immune response due to potent immune suppression in tumor micro-environment and draining lymph node
- E.g. agonists of stimulator receptor CD40 and to TLR





# T-cell priming

Herrera FG et al CA Cancer J Clin 2017

- Agonistic antibodies directed against costimulatory molecules on T-cells and/or blocking antibodies against coinhibitory molecules to increase T-cell function
- E.g. CTLA-4 blockade (ipilimumab)





Ribas, NEJM 2015

# T-cell trafficking, infiltration, killing

Herrera FG et al CA Cancer J Clin 2017

- Antibodies directed against coinhibitory T-cell receptors (TCR) or TGF-blocking drugs
- E.g. PD-1 or PD-L1 antibodies (pembrolizumab, nivolumab)





Ribas, NEJM 2015

#### Rationale for combination with anti-PD-L1 Ab

Golden et al., Lancet Oncology, 2015 and Frey, Gaipl, Lancet Oncology,

#### Radio(chemo)-immunotherapy: works with various solid tumors

Local radiotherapy and granulocyte-macrophage colonystimulating factor to generate abscopal responses in patients with metastatic solid tumours: a proof-of-principle trial







In Golden and colleagues' study,<sup>5</sup> the combination of granulocyte-macrophage colony-stimulating factor (GM-CSF) with radiochemotherapy resulted in abscopal responses in four (22%) of 18 patients with non-smallcell lung cancer and five (36%) of 14 patients with breast cancer. These findings emphasise that systemic anti-tumour immunity can be induced by rendering the tumour cells immunogenic. Radiotherapy alone

Figure 1: Treatment and assessment schema for induction and determination of abscopal responses

### Radioimmunotherapy combinations: challenges

- Define optimal RT dose/fractionation schemes to create maximal interactions with IT
- Identify type, dose and schedule of immunogenic chemotherapy and type and schedule of immunomodulatory drugs for combination with chemo-RT
- Role of particle radiation and radionuclide therapy for their potential immunomodulatory effects



# Dose scheduling for RT+IT combinations

Dovedi et al. Cancer Res 2014

 Dosing schedule is critical for outcome of combined radioimmunotherapy – concurrently is beneficial



## Take home messages

- Numerous trials in progress combining RT + targeted agents
- Challenges
  - Bridge between preclinical and clinical models (tumor growth delay vs tumor control (TCD<sub>50</sub>))
  - Translational research
    - Biomarkers
  - Trial design patient stratification
  - New toxicities late effects



# Biological modifiers of normal tissue effects

Rob Coppes Departments of Radiation Oncology & Cell Biology University Medical Center Groningen, University of Groningen, The Netherlands







**Cancer Research Center Groningen** 

ESTRO BCR Course Budapest 2016

#### **Mechanism of normal tissue damage**







Stone et al., Radiat. Res. 2001 (NCI Workshop Report) Coleman et al., Radiat. Res. (2003)

#### **Mechanism of normal tissue damage**





| Mechanism                           | Agents that prevent/mitigate the radiation injury mechanism | Agents that treat the radiation injury mechanism |
|-------------------------------------|---|--|
| Production of free radicals         | Antioxidants  | Antioxidants                                     |
|                                     | Amifostine  | SOD mimetics                                     |
|                                     | Curcumin  |  |
| Activation of inflammatory pathways | ACE inhibitors/ARBs   | Systemic steroids                                |
|                                     | Statins   |  |
|                                     | Topical steroids  |  |
|                                     | Probiotics  |  |
| Vascular endothelial dysfunction    | Pentoxifylline  | Pentoxifylline                                   |
| -                                   | Hyperbaric oxygen   | Hyperbaric oxygen                                |
|                                     |   | Bevacizumab                                      |
|                                     |   | Anticoagulation                                  |
| Decreased normal tissue resilience  | Memantine   | Methylphenidate                                  |
| and function                        | Pilocarpine   | Pilocarpine                                      |
|                                     | Growth factors  | PDE-5 inhibitors                                 |
|                                     | Supportive care   | Supportive care                                  |

#### Table 1 Generalized radiation injury mechanisms and agents that target these mechanisms

Abbreviations: ACE = angiotensin-converting enzyme; ARBs = angiotensin II receptor blockers; PDE-5 = phosphodiesterase-5; SOD = superoxide dismutase.

#### Kalman et al IJROBP 2017



**Radiation Dose** 

#### Radical scavenging/detoxification Targeting Free Radical Production



Endogenous: increase MnSOD production in cells

Exogenous: Add radical scavengers

**Radical scavenging/detoxification** 

**Targeting Free Radical Production** 

#### **Mn-SOD** gene therapy



#### Radical scavenging/detoxification Targeting Free Radical Production

#### **Distribution** Amifostine (=WR2721)





**Targeting Free Radical Production** 



#### Radical scavenging/detoxification Targeting Free Radical Production



Fleischer and Dörr, Strahlenther. Onkol. 182, 2006, 567-575

#### Amifostine Systematic review

#### **Mucositis**

#### **Xerostomia**





Gu et al Plos One 2014
#### Radical scavenging/detoxification Targeting Free Radical Production

#### Vitamin E



Ücüncü et al., J. Radiat. Res. 47, 2006, 91-102



#### Radical scavenging/detoxification Targeting Free Radical Production Pentoxifylline, Vitamin E Skin fibrosis:



Delanian et al., JCO 21, 2003, 2545-2550

#### Radical scavenging/detoxification Targeting Free Radical Production

#### Pentoxifylline, Vitamin E



Skin fibrosis:

Radiotherapy and Oncology 73 (2004) 133-139



www.elsevier.com/locate/radonline

Double-blind placebo-controlled randomised trial of vitamin E and pentoxifylline in patients with chronic arm lymphoedema and fibrosis after surgery and radiotherapy for breast cancer

Lone Gothard<sup>a</sup>, Paul Cornes<sup>a</sup>, Judith Earl<sup>b</sup>, Emma Hall<sup>c</sup>, Julie MacLaren<sup>d</sup>, Peter Mortimer<sup>e</sup>, John Peacock<sup>a</sup>, Clare Peckitt<sup>c</sup>, Mary Woods<sup>d</sup>, John Yarnold<sup>a,\*</sup>

Change in inducation score of fibrosis of 2 grades or more by randomisation at 12 months from baseline (n=64)

| Induration | All patients | Placebo     | Treatment   | P-value |
|------------|--------------|-------------|-------------|---------|
| Site 1     | 6/37 = 16.2  | 4/20=20.0   | 2/17=11.8   | 0.45    |
| Site 2     | 8/28 = 28.6  | 4/14 = 28.6 | 4/14 = 28.6 | 1.00    |

| Table 2         Representation   | ative prevention/mitigation   | n studies targeting free radical   | production  |  |
|--|---|--|---|--|
| Agent  | Study   | Patients   | Mechanism of action   | Radiation therapy<br>details   |
| Alpha-tocopherol<br>and β-carotene (7)   | Bairati et al, 2005   | 540 patients with Stage I-II head and neck cancer  | Antioxidant   | Definitive radiation therapy<br>per treating physician   |
| Amifostine (8, 9)  | RTOG 98-01<br>(Movsas et al, 2005;<br>Lawrence et al, 2013)                   | 242 patients stage II to IIIB<br>NSCLC   | Free radical<br>scavenger   | Induction chemotherapy then<br>concurrent chemoradiotherapy<br>69.6 Gy at 1.2 Gy BID<br>(50.4 Gy to larger volume)   |
| Curcumin (10)  | Ryan et al, 2013  | 30 patients with localized breast cancer   | Anti-inflammatory<br>Antioxidant  | Breast radiation to at<br>least 42 Gy in daily fractions   |
| Inter  | vention   | Results (*p<br>endpoint  | rimary<br>[s])  | Comments   |
| Alpha-tocopherol (40<br>β-carotene (30 mg/<br>154 patients enrolle<br>for 3 y afterwards | 0 IU/d) (vitamin E) and<br>d) (discontinued after<br>ed) during radiation and | *Odds ratio of acute side effec<br>0.72 (95% CI 0.52-1.02)<br>If received both α-tocopherol a<br>0.38 (95% CI 0.20-0.74)<br>Acute grade 3-4 toxicity durin<br>19% vs 25%                         | ets with supplementatio<br>and β-carotene, odds ra<br>g radiation therapy | n Odds of local recurrence<br>higher in supplement arm<br>(hazard ratio 1.37; 95%<br>CI 0.93-2.02)<br>Beta-carotene stopped after<br>another study showed its<br>use was associated with<br>increased lung cancer<br>incidence |
| Amifostine 500 mg I<br>during radiation the<br>afternoon treatment                       | V 4 times per week<br>erapy given before                                      | Acute:<br>*Grade 3+ esophagitis 30% vs<br>Grade 2+ cardiovascular (hypo<br>vs 7% (P=.0001)<br>Grade 2+ nausea 33% vs 21%<br>Grade 2+ vomiting 30% vs 14<br>Chronic:<br>Carde 2+ nausea sitic 26% | s $34\% (P=.9)$<br>otension) 16%<br>o (P=.03)<br>4% (P=.007)              | During treatment, swallow<br>scores, weight loss, and<br>pain scores favored<br>amifostine arm<br>(P=.025, .045, and<br>.015, respectively)<br>No difference in overall  |
| Curcumin 2 g per os<br>therapy   | TID during radiation  | <ul> <li>*Dermatitis at end of treatment</li> <li>*Mean grade 2.6 vs 3.4 (P=</li> <li>*Moist desquamation 29% vs</li> </ul>  | (P=.002)  | No curcumin-related<br>toxicities  |

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#### Anti-inflammation/Immunomodulation *Misoprostol (PGE<sub>2</sub>-Analogue)* Rectum





**Targeting Inflammatory Pathways** 

Statins (or HMG-CoA reductase inhibitors)



Haydont et al., IJROBP 68, 2007, 1471-1482



#### Anti-TGFß





Moulder et al., Int. J. Radiat. Biol. 73, 1998, 415-421

| rable 5 Kepresen   | auve prevention/mit  | igation studies targeting in   | naninatory pathways   |   |
|--|--|--|---|---|
| Agent  | Study  | Patients   | Mechanism of action   | Radiation therapy details   |
| Captopril (88, 89)   | Cohen et al, 2008<br>and 2012  | 55 patients undergoing<br>stem cell transplant   | ↓TGF-β levels<br>Free radical scavenger   | Total body irradiation 14 Gy<br>in 9 fractions over 3 d<br>with at least 4 h between<br>fractions<br>Shielding to limit kidney dose<br>to 9.8 Gy and lung dose to<br>5-7 Gy |
| Statins (90, 91)   | Anscher et al,<br>2016   | 53 patients with prostate<br>cancer with portion of<br>rectum receiving >60<br>Gy  | ↓Inflammatory cytokines<br>and pathways<br>↓Endothelial dysfunction<br>and fibrosis   | 78-79 Gy to the prostate in<br>1.8- to 2-Gy fractions, or<br>45-46 Gy plus a brachytherapy<br>boost, or brachytherapy<br>monotherapy  |
| Steroid cream (92)   | Ulff et al, 2013   | 102 patients with breast<br>cancer   | Numerous anti-inflammatory<br>effects   | 50 Gy in 2-Gy fractions to<br>breast ± lymph nodes after<br>breast conservation surgery or<br>mastectomy ± lymph node<br>dissection   |
| Probiotic VSL#3<br>(lactobacilli<br>preparation) (93)                              | Delia et al, 2002  | 190 patients with<br>colorectal or cervical<br>cancer  | ↓Inflammatory pathways<br>Protects intestinal barrier   | Postoperative radiation therapy<br>per treating physician   |
| Inter  | rvention   | Results (*pri  | imary endpoint[s])  | Comments  |
| Captopril 6.25 mg E<br>TID if tolerated)<br>after neutrophil en                    | BID (escalated to 25<br>for total of 1 y starti<br>ngraftment        | mg 1 y:<br>ng *Serum creatinine 0.95<br>*Glomerular filtration ra<br>4 y:<br>Chronic renal failure 11<br>Pulmonary mortality 11<br>8-y survival 37% ys 226 | 5 vs 1.10 mg/dL ( $P$ =.2)<br>tte 86 vs 77 mL/min ( $P$ =.07)<br>% vs 17% ( $P$ >.2)<br>% vs 26% ( $P$ =.15)<br>% ( $P$ =.26) | Average time on drug was 1.8 mo<br>At 4 y, survival in the captopril<br>group higher but not<br>statistically significant ( $P$ >.2)  |
| Lovastatin (20-80 m<br>radiation, for 12 r   | g/d) starting day 1 o<br>no  | of *Physician-reported gra<br>during first 2 y show<br>relative to historical<br>Erectile function and o<br>immediately after tre                          | ade 2+ rectal toxicity I<br>ved no difference<br>series<br>rgasmic function declined<br>eatment but was preserved             | Late grade 2 rectal injury in 38% of patients   |
| Betametasone-17-va<br>emollient creams,<br>week for 5 wk of<br>first week of radia | lerate cream vs 2<br>given 7 days per<br>radiation starting<br>ation | <ul> <li>*Acute dermatitis bette<br/>creams:</li> <li>*4 wk: P=.003</li> <li>*5 wk: P=.01</li> </ul>   | n irritation improved   | Patients at greatest risk benefited<br>more, including those<br>postmastectomy, with lymph node<br>irradiation, and with fair skin  |
| VSL#3 PO TID dur   | ing radiation therapy  | *Any diarrhea 38% vs<br>*Grade 3-4 diarrhea 38<br>*Grade 1-2 diarrhea 30<br>*Mean daily BMs 5 vs   | 55% (P=.001) % vs 29% (P=.001)<br>% vs 21% (NS)<br>12 (P<05)  | No patients reported toxicity from VSL#3  |

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| Table 4         Representative p   | revention/mitigation st                                       | udies targeting vascular e   | ndothelial dysfunction  |  |
|--|---|--|---|--|
| Agent  | Study   | Patients   | Mechanism of action   | Radiation therapy details  |
| Pentoxifylline + vitamin<br>E (140)  | Jacobson et al,<br>2012                                       | 53 patients with<br>localized breast<br>cancer   | ↑Microvascular blood<br>flow<br>Anti-inflammatory                               | 46.8-50.4 Gy in 1.8-Gy<br>fractions to breast/chest<br>wall followed by a 10-Gy<br>boost                     |
| Hyperbaric oxygen (141)  | Teguh et al, 2009   | 19 patients with<br>oropharyngeal<br>or nasopharyngeal<br>cancer   | ↑Oxygenation of<br>hypoxic tissue<br>Reduction of edema<br>Anti-inflammatory    | Head and neck radiation<br>therapy to 46-70 Gy with<br>possible brachytherapy or<br>Cyberknife boost         |
| Intervention   | n   | Results (*primary e  | ndpoint[s])   | Comments   |
| Pentoxifylline (400 mg per<br>vitamin E (400 IU per os<br>after completion<br>of radiation therapy | os TID) and *1<br>daily for 6 mo) N                           | Median difference in tissu<br>between treated and untr<br>18 mo: 1.0 mm vs 2.4 m<br>o difference physician-rep   | the compliance Mean<br>reated breast at $(P=.0478)$ a<br>ported late toxicity u | asurements were obtained<br>sing tissue compliance meter<br>t mirror sites on treated and<br>ntreated breast |
| Hyperbaric oxygen 2.5 abso<br>90 min daily for 30 treats<br>starting within 2 d of con             | olute atmospheres */<br>ments over 6 wk<br>npleting radiation | Improved quality of life s<br>*Swallowing ( $P$ =.011)<br>*Dry mouth ( $P$ =.009)<br>*Sticky saliva ( $P$ =.01)<br>*Eating in public ( $P$ =.02<br>*Mouth pain visual analogical ( $P$ <.0001) | cores at 3-18 mo: Hyp<br>to<br>27)<br>ogue scale                                | berbaric oxygen is mostly used<br>treat radiation-induced injuries,<br>ot mitigate potential toxicity        |

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#### Keratinocyte Growth Factor (Palifermin)



Spielberger et al., NEJM 35, 2004, 2590-2598

Keratinocyte Growth Factor (Palifermin)



Henke et al., J Clin Oncol 2011,29:2815-2820.

Le et al., J Clin Oncol 2011,29:2808-2814.

#### Intervention with signaling Keratinocyte Growth Factor (Palifermin) Salivary gland



Stem Cell Expansion!!!!

#### Intervention with signaling / stem cell therapy

#### Bone marrow stem cell mobilisation (G-CSF)





Lombaert et al Clin. Can. Res. 2008

Mesenchymal cells Secrete KFG, FGFs, etc. Stimulate resident surviving stem cells

### Intervention with signaling / stem cell therapy Bone marrow stem cell mobilisation (G-CSF)



# Works only when enough surviving stem cells are presence

| Manarti   | DTOC 06 14 D  | 500 anti-at-a int t  | Distant ND (C) t   | White he had been the set of a set   |
|---|---|--|--|--|
| Memantine (197)   | RTOG 06-14 (Brown<br>et al, 2013)   | 508 patients with brain<br>metastases  | Blocks NMDA receptors<br>to prevent neurotoxic<br>excessive NMDA<br>stimulation                | Whole-brain radiation 37.5 Gy<br>in 15 daily fractions of<br>2.5 Gy  |
| Pilocarpine (198,<br>199)   | RTOG 97-09 (Fisher<br>et al, 2003; Scaratino<br>et al, 2006)                          | 213 patients with head and neck cancer and $\geq$ 50% of major salivary glands receiving 50 Gy   | Cholinergic receptor<br>agonists promoting<br>salivary secretion                               | 60-70 Gy using standard or<br>BID fractionation without<br>chemotherapy  |
| Palifermin (200)  | Le et al, 2011  | 188 patients stage III-IVB<br>cancer of head<br>and neck   | Keratinocyte growth<br>factor<br>↑cell turnover  | 70 Gy in 2-Gy fractions<br>with concurrent cisplatin   |
| Tadalafil (202)   | RTOG 08-31 (Pisansky<br>et al, 2014)  | 221 patients stage II<br>prostate cancer and<br>intact erectile function   | Phosphodiesterase = 5<br>inhibitor<br>↑Nitric acid production                                  | Prostate radiation therapy<br>75-79.2 Gy in daily fraction<br>of 1.8-2 Gy or prostate<br>brachytherapy with 145 Gy<br>( <sup>125</sup> ), or 125 Gy ( <sup>103</sup> Pd) |
| Skin washing<br>(203)   | Roy et al, 2000   | 99 breast cancer patients  | ↓Inflammatory response<br>and damage to basal<br>cell layers by reducing<br>bacteria and fungi | Radiation to breast or chest<br>wall to 45 Gy in 2.25 Gy<br>fractions or 50 Gy in 2-Gy<br>fractions, with electron boos<br>to 7.5-11.25 Gy in some<br>patients           |
| Int   | revention   | Results (*primary  | endpoint[s])   | Comments   |
| Memantine 5 mg<br>to 10 mg BID t<br>for 24 weeks st<br>within 3 days o<br>therapy | PO daily (escalated<br>by week 4 if tolerated)<br>arting<br>f initiation of radiation | *HVLT-R delayed recall me<br>decline 0.0 vs 0.9 (P=.0<br>HVLT-R delayed recognitio<br>decline 0.0 vs 1.0 (P=.0<br>MMSE median decline 0 vs<br>at 24 wk   | edian 33<br>(59) at 24 wk<br>on median<br>(149) at 24 wk<br>s 1 (P=.0093)                      | % of patients died before 24 wk  |
| Pilocarpine (5 mg<br>starting at time   | per os QID for 3-6 mo)<br>of radiation initiation                                     | *Unstimulated salivary flow<br>end of radiation therapy,<br>( <i>P</i> =.002, .047, and .093,<br>*No difference self-reported<br>scores in pain, chewing,  | y improved at<br>3 mo, and 6 mo<br>, respectively)<br>d quality of life<br>swallowing, taste,  | umerous previous studies have<br>shown limited preventative effect<br>ough objective increase in saliva,<br>not reflected in patient's<br>self-assessment                |
| Palifermin (180 μ<br>starting Friday<br>radiation therap                          | g/kg IV weekly $\times$ 8 wk)<br>before initiation of<br>y                            | Incidence of grade 3-4 ora<br>Incidence of grade 3-4 ora<br>vs 65% ( $P=.041$ )<br>Duration of severe oral muc<br>vs 26 d ( $P=.112$ )<br>Days to development of sev<br>mucositis 47 vs 35 ( $P=.$ | no<br>ul mucositis 54% Sin<br>cositis 5 d<br>vere oral<br>157)                                 | nilar benefit seen in postoperative<br>patients (201)  |
| Tadalafil (5 mg pe<br>starting with ra  | er os daily for 24 wk)<br>diation therapy   | Incidence of supplemental n<br>vs 55%<br>No difference in overati sur<br>Retained erectile function:<br>• *At 28-30 wk, 79% vs 74<br>• At 52 wk, 72% vs 71% (                                      | nutrition 67%<br>vival Ad<br>4% (P=.49)<br>P=.93)  | lditionally, tadalafil did not<br>improve overall sexual satisfactio   |
| Gentle washing of<br>warm water and   | f treatment field with<br>I mild soap   | *Acute skin toxicity maxim<br>with skin washing ( <i>P</i> = .0<br>• *Grade 0 0% vs 2%<br>• *Grade 1 64% vs 41%<br>• *Grade 2 34% vs 57%<br>• *Grade 3 2% vs 0%                                    | um scores improved Al<br>)4):  | so trend toward decreased<br>pain and burning  |
|   |   | Mean time to maximal toxi significant: 3.3 wk vs 3.1 w   | city score not<br>/k   |  |

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# Intervention with signaling / stem cell therapy



Stem cell therapy: MSC transplantation

> Do not participate in the tissue do no differentiate into tissue cells

Inhibit apoptosis Reduce inflammation Inhibit fibrosis Induce proliferation of stem cells

Francois et al., Ann Hematol. 86, 2007, 1-8

# Intervention with signaling / stem cell therapy



Benderitter et al 2010

### **Stem cell therapy**



#### **Differentiation of 1 cell to organoid**



Martti Maimets et al Stem Cell Reports 2016

Johan de Rooij, UMCU

## **Stem cell therapy**







### **Stem cell therapy**





Pringle et al Stem Cells 2016

Restoration of tissue + endocrine stimulation

- Re-entrance in cell
   cycle
- ECM remodelling
- Reduction of fibrosis
- Re-vascularisation

Nanduri et alRadiother & Oncol 2013

# Impact on function: human



Excretory duct Parotid gland



Van Luijk et al Science Translational Medicine 2015

# Protons vs. Photons



#### **Optimum intervention strategies required**

- > precise knowledge of the signaling chains - cell type/ tissue specific/tumor?
- Clarification of mechanisms
- validation in suitable animal models
  - with clinically relevant endpoints
  - with relevant treatment protocols
- proof of selectivity (tumour studies, same premises)
- Modification cocktails!? Localize effect? Long-term effects?







| Pelvic radiation with con-<br>with pelvic and para-aor      | ncurrent chemo<br>tic radiation for<br>cancer. | therapy compared<br>high-risk cervical |
|---|--|--|
| M. Morris et al, N  | EJM, 340:1137                                  | -1143, 1999.                           |
|   | RT<br>(n=193)                                  | RT+Chemo<br>(n=195)                    |
| 5y overall survival   | 58%  | 73 (p=0.004)                           |
| LR recurrence   | 35%  | 19% (p<0.001)                          |
| Distant relapse   | 33%  | 14% (p<0.001)                          |
| RT: 45 Gy + brachythera<br>Chemo: cddp (75mg/m <sup>2</sup> | apy (total dose ≥<br>, d1), 5Fu (1g/m          | 85 Gy)<br><sup>2</sup> /d, d1-4), x3   |









| Stage 1<br>(very favora | l and II Ho<br>able and fa | dgkin disea<br>vorable cat | ase<br>egories)               |
|-------------------------|----------------------------|----------------------------|-------------------------------|
|                         | RT<br>(EF, 40 Gy)          | CH<br>(MOPP/ABVD)          | <b>CH+RT</b><br>(IF, ≤ 40 Gy) |
| 10 y over. survival     | 80-90%                     | 80-90%                     | ≈90%                          |
| Complications (RR)      |                            |                            |                               |
| -leukemia               | 11.0                       | 70.0                       | reduced                       |
| -lymphoma               | 21.0                       | 22.0                       | reduced                       |
| -solid tumor            | 2.8                        | 1.1                        | reduced                       |
| -cardiac                | 2.2-3.1                    | ≈1.0                       | reduced                       |




























#### Combined chemo- and radiotherapy treatment: Cellular / molecular interaction

#### Antimetabolites

|         | DNA da<br>induction | amage<br>repair | Chromosome aberration | Cell<br>Cycle | Apoptosis |
|---------|---------------------|-----------------|-----------------------|---------------|-----------|
| 5-Fu    | _                   | -/+             | _                     | +             | ?         |
| MTX     | ?                   | ?               | ?                     | ?             | ?         |
| HU      | ?                   | -/+             | +                     | +             | ?         |
| dFdC    | -                   | -               | +                     | +             | -         |
| F-ara-A | _                   | _               | +                     | +             | -?        |

| <u>Alkylating a</u> | gents  |                 |                          |               |           |
|---------------------|--------|-----------------|--------------------------|---------------|-----------|
|                     | DNA da | amage<br>repair | Chromosome<br>aberration | Cell<br>Cycle | Apoptosis |
| Cis-platinum        | +?     | +               | ?                        | -             | ?         |
| BCNU                | ?      | +               | -                        | ?             | ?         |
| Cyclophosphamide    | ?      | ?               | _                        | ?             | ?         |

#### Combined chemo- and radiotherapy treatment: Cellular / molecular interaction

#### Topo-isomerase inhibitor

|               | DNA da induction | amage<br>repair | Chromosome<br>aberration | Cell<br>Cycle | Apoptosis |
|---------------|------------------|-----------------|--------------------------|---------------|-----------|
| Adriamycine   | -                | ±               | ±                        | +             | ?         |
| Etoposide     | ?                | +?              | -                        | +             | +         |
| Camptothecine | ?                | ?               | -                        | -/+           | -/+       |
|               |                  |                 |                          |               |           |
|               |                  |                 |                          |               |           |
|               |                  |                 |                          |               |           |
|               |                  |                 |                          |               |           |

Combined chemo- and radiotherapy treatment: Cellular / molecular interaction Anti-microtubule agents DNA damage Chromosome Cell Apoptosis induction repair aberration Cycle ? ? ? Vinca-alcaloides + \_ ? Taxanes + \_ + + ESTRO 2017

| Antibiotic    | <u>s</u>         |                 |                       |               |           |
|---------------|------------------|-----------------|-----------------------|---------------|-----------|
|               | DNA da induction | amage<br>repair | Chromosome aberration | Cell<br>Cycle | Apoptosis |
| Mitomycin-C   | ?                | ?               | -                     | ?             | ?         |
| Bleomycin     | ?                | -               | -/+                   | +             | ?         |
| Actinomycin-D | ?                | +?              | ?                     | ?             | -         |







| Combined chemo- and r  | radiotherapy treatmen  | t:normal tissue toxicity                                  |
|--|--|---|
|  | Acute effect   | Late effect   |
| Antimetabolites<br>5-Fu<br>MTX<br>HU<br>dFdC<br>F-ara-A                      | ++ (GI, skin)<br>++ (GI)<br>++ (GI)<br>++ (GI)<br>++ (GI)          | ± (lung)<br>± (SNC)                                       |
| Alkylating agents<br>cis-platinum<br>BCNU<br>cyclophosphamide                | ++ (GI)<br>++ (GI)<br>++ (GI, skin)                                | + (kidney)<br>+ (lung)<br>+ (lung, bladder, SNC)          |
| Antimetabolites<br>adriamycine<br>mitomycin-C<br>bleomycin<br>actinomycine-D | ++ (GI, skin)<br>++ (GI, BM)<br>++ (skin, GI)<br>++ (GI, BM, skin) | + (heart, lung)<br>+ (lung)<br>+ (skin, lung)<br>+ (lung) |
| Plant derivatives<br>Vinca-alcaloides<br>Etoposide<br>Taxanes                | - (GI, BM)<br>?<br>+ (GI)  | ???????????????????????????????????????                   |
| 2017   | İ  |   |

| Pelvic radiation with concu<br>pelvic and para-aortic rad                     | Pelvic radiation with concurrent chemotherapy compared with pelvic and para-aortic radiation for high-risk cervical cancer. |                     |  |  |  |  |  |
|---|---|---------------------|--|--|--|--|--|
| M. Morris et al, N  | EJM, 340:11   | 37-1143, 1999.      |  |  |  |  |  |
|   | RT<br>(n=193)   | RT+Chemo<br>(n=195) |  |  |  |  |  |
| Early toxicity (G3-5)   | 10 (5%)   | 88 (45%)            |  |  |  |  |  |
| Early toxicity* (G3-5)  | 4 (2%)  | 20 (10%)            |  |  |  |  |  |
| Late toxicity (G3-5)<br>* non hematologic only                                | 22 (11%)  | 24 (12%)            |  |  |  |  |  |
| RT: 45 Gy + brachytherapy (tota<br>Chemo: cddp (75mg/m <sup>2</sup> , d1), 5F | $1 \text{ dose} \ge 85 \text{ Gy})$<br>u (1g/m <sup>2</sup> /d, d1-4),  | x3                  |  |  |  |  |  |
| ESTRO<br>2017   |   |                     |  |  |  |  |  |









# Retreatment tolerance of normal tissues

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- Reirradiation of previously treated areas: why?
  - New primary tumor
    - Cancer survivors are at an increased risk of developing secondary malignancies
      - Pts still retain more risk (e.g. molecular predisposition)
      - Aetiological factors can continue (e.g. Smoking)
      - Therapy itself
    - Within or close to initial high-dose treatment volume
  - Recurrence
    - Within or close to original gross tumor volume
  - Nodes and metastases



- Factors influencing decision on how to retreat
  - Previous dose/fractionation and volume irradiated
  - Organs at risk eg. spinal cord
  - Time from the first treatment
  - Local disease or metastases
  - Curative or palliative intent
  - Alternatives to reirradiation



Changes in normal tissue tolerance with time



Long-term recovery from radiation injury in some tissues (not all!)



#### No further treatment

- If the radiation tolerance within a given volume or organ has already been exceeded during the first treatment
- And function is lost (or loss is to be expected)

#### **Retreatment possible**

- If initial radiation treatment was in subtolerance dose range
- With the induction of only subclinical or minimal damage
- And with possible long-term recovery or potential residual damage after longer periods



Pathogenesis of normal tissue radiation effects





 Retreatment tolerance depends on the level of cell kill and regeneration



"E" Level of cell kill for tissue damage



- Some concepts
  - EQD<sub>2</sub>: equivalent dose in 2-Gy fractions
    - Calculated using LQ-model with  $\alpha/\beta$  values
      - 10 Gy for early reactions
      - 3 Gy for late reactions
  - EQD<sub>2tol</sub>: tolerance doses
    - Threshold doses above which defined grades of toxicity are observed
  - % EQD<sub>2tol</sub>: intensity of the initial treatment or the retreatment



### Experimental studies Early effects

### **Epidermis**



Retreatment tolerance of mouse epidermis

Recovery to full tolerance within 1-2 months







Time after exposure



## Retreatment skin and oral mucosa

- Rapid proliferative recovery begins within 2 weeks
- Full re-irradiation tolerance for early injury is reached within 2-3 months
- Re-irradiation tolerance for late damage will be less (cfr. slides mouse limb)

#### **Bone marrow**

- Toxicity of initial treatment must be considered, independently of blood cell counts that may be misleading!

Earlier recovery of peripheral cell number does not reflect recovery of stem cell population (*i.e.* restoration of radiation tolerance)



### Urinary bladder (mouse)



 Original tolerance restored between 25-50 days



### Retreatment principles: early effects



- Can achieve complete restoration of the initial tolerance
  - Epidermis: 2-3 months (rodents)
  - Oral mucosa: 12 days (but long term effects possible)
- Restoration of the stem cell compartment may take longer than "morphological" recovery



### Experimental studies Late effects

#### Skin



#### Late radiation effects – mouse hind-limb

Two 10-fraction courses separated by 6 months

Effect of re-irradiation more pronounced after more aggressive initial treatment

Poorer retreatment tolerance than for early skin reactions







#### Retreatment tolerance of the mouse lung

Initial dose <50% tolerance: *full recovery*, 2 months

Higher initial doses: *partial recovery*, 3 months

Only applies for pneumonitis phase: retreatment tolerance fibrosis might be poorer



### **Kidney**



#### Retreatment tolerance mouse kidney

No recovery between 1 day and 6 months after initial treatment

Progression of (subclinical) damage

Retreatment tolerance decreases with time

Extreme caution when re-irradiating kidneys!



### **Urinary bladder**



#### Retreatment tolerance mouse bladder

No recovery between 1 day and 9 months after initial treatment

Progression of (subclinical) damage results in shortening of latent times after retreatment

Extreme caution when re-irradiating urinary bladder!









#### **Summary experimental data**





Modified from Stewart FA & van der Kogel AJ Semin Radiat Oncol 1994

## Several, but not all, normal tissues are able to tolerate considerable retreatment with radiation



### **Clinical studies**

#### **Pitfalls**



- Problems with clinical data!
  - Extremely heterogeneous populations
  - Curative and palliative intent in the same series
  - Changes in staging and radiotherapy techniques
  - Changes in normal tissue scoring

Experimental animal systems <u>have been</u> essential to understand the radiobiology of retreatment tolerance

#### Head & neck



Review post-op RT for recurrent HNSCC

- Major late complications are fibrosis, mucosal ulceration/necrosis and osteoradionecrosis
- Nevertheless, highdose re-irradiation recommended

| Author                                     | Clinical response rate  | Survival   | Acute complications   | Late<br>complications  | Treatment-related deaths              |
|--|---|--|---|--|---------------------------------------|
| Emami <sup>31</sup><br>(1967—1985)         | CR at 3 months: 81%<br>PR at 3 months: 4%   | 2 years OS: 45%<br>5 years OS: 20%   | Not reported  | Marked fibrosis:<br>16/99 (16%) <sup>1</sup><br>Trismus: 3/99 (3%)<br>Fistula: 3/99 (3%)<br>Esophagal stenosis:<br>2/99 (2%)<br>Osteoradionecrosis:<br>1/99 (1%) | None                                  |
| Benchalal <sup>32</sup><br>(1988—1996)     | Local recurrence<br>(in field): 9/14 (64%)<br>Local recurrence<br>(out field): 2/14 (14%) | 1 years OS: 64%<br>2 years OS: 36%<br>Mean survival:<br>21 months                      | Mucositis grade III:<br>9/19 (47%)<br>Trismus: 1/19 (5%)  | There were 15 late<br>complications:<br>Grade III 2/17 (12%)<br>Osteoradionecrosis: 1 pt<br>Dry eye syndrome: 1 pt   | None                                  |
| De Crevoisier <sup>33</sup><br>(1991–1996) | 6-months LC: 64%  | 2 years OS: 48%<br>2 years DFS: 36%<br>5 years OS:<br>not reported<br>5 years DFS: 26% | Mucositis grade III-IV:<br>13/25 (52%)<br>Grade III dermatitis:<br>3/25 (12%)<br>Hand and foot<br>syndrome:4/25 (16%)<br>Grade III hematotoxicity:<br>1/25 (4%) | Fibrosis grade II–III:<br>11/25 (44%)<br>Trismus: 6/25 (24%)<br>Osteoradionecrosis:<br>4/25 (16%)<br>(2 required<br>hemimandibulectomy)                          | None                                  |
| Errington <sup>34</sup><br>(1971–1983)     | CR at 6 months: 82%<br>PR at 6 months: 18%  | 2 years OS: 42%<br>5 years OS: 30%   | Not reported  | Grade I–III necrosis 7/28 (25%)<br>Grade IV necrosis 6/28 (21%)<br>(skin/subcutis, bone, facial nerve,<br>and temporal bone)                                     | Carotid rupture:<br>4% (1/28)         |
| Nag <sup>35</sup><br>(1992—1997)           | 6-months LC: 33%<br>2 years LC: 4%<br>Median time to LR-failure: 4 months                 | 2 years OS: 21%<br>3 years OS: 8%<br>Median survival:<br>7 months                      | Wound dehiscence:<br>1/38 (3%)  | Orocutaneous fistula: 2/38 (5%)<br>Tracheal dehiscence: 1/38 (3%)<br>Carotid occlusion: 1/38 (3%)<br>Tracheovascular fistula (FX):<br>1/28 (3%)                  | Tracheovascular<br>fistula: 3% (1/38) |

Complications not specified for patients who underwent salvage surgery and postoperative reirradiation Abbreviations: CR = complete response, PR = partial response, NR = no response C = local control, LRC = local-regional control, LRRFS = local-regional recurrence free survival, OS = overall survival, DFS = disease free survival.

#### Lee AWM et al. Int J Radiat Oncol Biol Phys 20000

#### Head & neck

- Risk of late damage is higher in retreated patients...
- But cumulative total dose for 20% complication rate at 5 y is higher than predicted from single course treatment (EQD2<sub>3</sub> = 86 vs 67 Gy) indicating partial recovery!





### Head & neck



#### Table 7. Grade 4–5 complications\*

| Complication          | п  |
|-----------------------|----|
| Carotid hemorrhage    | 6  |
| Osteoradionecrosis    | 13 |
| Brain necrosis        | 0  |
| Myelopathy            | 1  |
| Peripheral neuropathy | 1  |

\* Using common terminology criteria for adverse events.

#### 115 patients reirradiation + various CT

Initial treatment median 68 Gy Retreatment median 65 Gy

> 18% LT 16% fatal

> > Salama Int J Radiat Oncol Biol Phys 2006
## Head & neck



#### Head & neck reirradiation: selection criteria

- Patient related considerations
  - No severe sequelae of previous radiation treatment
  - No significant comorbidities
  - PET-CT is suggested for staging
  - Interval between RT courses: at least 6 months, preferably longer (1y)
  - Better prognosis:
    - Previous surgery
    - Small (<30cm<sup>3</sup>) tumor size; caution with bulky tumors (>60cm<sup>3</sup>)
    - True second primary tumors (as compared to recurrences)
    - Tumors in nasopharynx and larynx
    - EGFR expression/HPV status: uncertain (needs to be evaluated in the context of re-irradiation)

## Head & neck



• Head & neck reirradiation: selection criteria

#### Treatment related considerations

- Previous treatment plan: previous dose in area of recurrence ≤50Gy preferred (≥60-70Gy higher risk)
- CTV = GTV + margin
- Re-irradiation dose:
  - $\ge 60$ Gy to achieve more local control
- Critical structures:
  - Spinal cord: do not exceed 50Gy (total cumulative dose)
  - No cases of myelopathy if cumulative doses ≤60Gy in 2Gy equivalent doses
- Brachytherapy for small recurrences in oral cavity and oropharynx
- IMRT or SBRT to reduce treatment-related toxicity

#### Head & neck



- Head & neck reirradiation: selection criteria
   General considerations
  - Treatment decision in multidisciplinary team
  - Consider including patient in clincial trial if possible

### Rectum



- Palliative reirradiation for recurrent rectal cancer (n=52)
  - Median reirradiation dose 30.6 Gy,
  - 2 × 1.2 Gy/f per day or
    2 Gy/f per day
- Significantly lower risk of late complications with hyperfractionated treatment delivery (2 × 1.2 Gy/day)

| Table 2. Late toxicity  |             |  |  |  |  |  |
|-------------------------|-------------|--|--|--|--|--|
| RTOG Grade 3 toxicity   | 12/52 (23%) |  |  |  |  |  |
| Small bowel obstruction | 9/52 (17%)  |  |  |  |  |  |
| Cystitis                | 3/52 (6%)   |  |  |  |  |  |
| RTOG Grade 4 toxicity   | 5/52 (10%)  |  |  |  |  |  |
| Fistula                 | 4/52 (8%)   |  |  |  |  |  |
| Skin ulceration         | 1/52 (2%)   |  |  |  |  |  |

|                       |                 |       |       | onfidence |  |
|-----------------------|-----------------|-------|-------|-----------|--|
| _                     |                 | Odds  |       |           |  |
| Factor                | <i>p</i> -Value | ratio | Upper | Lower     |  |
| RT technique          | < 0.04          | 3.937 | 1.074 | 14.438    |  |
| Disease-free interval | NS              |       |       |           |  |
| Reirradiation dose    | NS              |       |       |           |  |
| Total cumulative dose | NS              |       |       |           |  |

Table 4. Logistic regression analysis of factors influencing late toxicity

## Rectum



- Pre-op retreatment (hyperfractionation + chemotherapy) for rectal cancer
- Initial dose ≤55Gy; med interval 27 months
- Re-irradiation dose 30Gy + boost of 10.8Gy with 2x1.2Gy per day
- Low acute toxicity and acceptable incidence of late complications

Valentini Int J Radiat Oncol Biol Phys 2006

| Table 8. Acute toxicity (chemoradiation) |            |           |            |          |          |  |  |  |
|--|------------|-----------|------------|----------|----------|--|--|--|
| Grade                                    | 0          | 1         | 2          | 3        | 4        |  |  |  |
| Hematologic                              | 53 (89.8%) | 5 (8.5%)  | 1 (1.7%)   | 0 (0.0%) | 0 (0.0%) |  |  |  |
| Skin                                     | 57 (96.6%) | 2 (3.4%)  | 0 (0.0%)   | 0 (0.0%) | 0 (0.0%) |  |  |  |
| Gastrointestinal                         | 29 (49.2%) | 14 (23.7) | 13 (22.0%) | 3 (5.1%) | 0 (0.0%) |  |  |  |
| Urologic                                 | 49 (83.0%) | 7 (11.9%) | 3 (5.1%)   | 0 (0.0%) | 0 (0.0%) |  |  |  |

Table 9. Late toxicity

| Toxicity                 | n % |
|--------------------------|-----|
| Skin fibrosis            | 2   |
| Male impotence           | 2   |
| Urinary incontinence     | 1   |
| Small bowel obstruction* | 1   |
| Dysuria                  | 1   |

\* Requiring surgery.

#### **Prostate**

 Brachytherapy is a feasible salvage option for pts with local recurrences after initial RT for prostate cancer

Table 1 Studies of re-irradiation for salvage of prostate cancer failures after primary radiotherapy

| Study                                   | No. of<br>patients | Treatment<br>(No. of pts)                      | Median<br>follow-up<br>(months) | Biochemical/<br>DFS<br>(%) [years] | Definition of failure                            | Survival %<br>(years)             | Percent<br>receiving<br>ADT (%) |
|---|--------------------|--|---------------------------------|------------------------------------|--|-----------------------------------|---------------------------------|
| Goffinet et al. 1980; Cumes et al. [21] | 14                 | I <sup>125</sup>                               | 6-36 (range)                    | 79 <sup>°</sup>                    | Palpable DRE abnormality                         | NR                                | 29                              |
| Wallner et al. [33]                     | 13                 | I <sup>125</sup>                               | 36                              | 51 [5]                             | Progressive DRE Abnormality                      | OS 59 [5]                         | 0                               |
| Loening and Turner [28]                 | 31                 | Au <sup>198</sup>                              | 23(mean)                        | 40 [1]                             | Cancer present at biopsy                         | OS 67 [5]                         | 3                               |
| Dattoli et al. [22]                     | 17                 | Pd <sup>103</sup>                              | 38                              | 65°                                | PSA>1 ng/mL                                      | NR                                | 100                             |
| Butler et al. [35]; Teh et al. [31]     | 30                 | Au <sup>198</sup>                              | 54                              | 17 <sup>a</sup>                    | 3 consecutive rises, PSA >1,<br>metastases       | NR                                | 0                               |
|   | tive s             | studies  | heed                            | iëd to                             | better defir                                     | OS 56 [5]                         | 14                              |
| Beyer [19]                              | 17                 | I <sup>125</sup> (15)<br>Bd <sup>103</sup> (2) | 62                              | 53 [5]                             | ASTRO, clinical evidence, or ADT                 | OS 93 [5]                         | 47                              |
| Koutrouvelis et al [23]                 | <sup>31</sup> e    | fficacy  | and                             | oxicit                             | <sup>A</sup> STRO <sup>b</sup> or nadir ≻4 ng/mL | OS 100 <sup>a</sup>               | 97                              |
| Wong et al. [34]                        | 17                 | I <sup>125</sup> (9)                           | 44                              | 75 [4]                             | ASTRO  | OS 71 [4]                         | 100                             |
|   |                    | Pd <sup>105</sup> (8)                          | 17                              | <b>20</b> 143                      | Photo I.   | DSS 100"                          |                                 |
| Nguyen et al. [30]                      | 25                 | 1.25   | 47                              | 70 [4]                             | Phoenix  | NR                                | 0                               |
| Lee et al. [26]                         | 21                 | HDR<br>125 cm                                  | 19                              | 89 [2]                             | ASTRO  | OS 100"                           | 52                              |
| Allen et al. [18]                       | 12                 | Pd <sup>103</sup> (8)                          | 45                              | 63 [4]                             | ASTRO  | OS 54 [4]<br>DSS 100 <sup>a</sup> | 100                             |
| Lee et al. [27]                         | 21                 | Pd <sup>103</sup>                              | 36                              | 38 [5]                             | ASTRO  | OS 81 [5]<br>DSS 100 <sup>a</sup> | 57                              |
| Tharp et al. [32]                       | 7                  | $HDR \pm EBRT$                                 | 58                              | 71ª                                | ASTRO  | OS 71 <sup>a</sup>                | 100                             |
| Aaronson et al. [17]                    | 24                 | I <sup>125</sup> (19)<br>Pd <sup>103</sup> (5) | 30                              | 88*                                | Phoenix  | DSS 96 <sup>a</sup>               | 17                              |
| Burri et al. [20]                       | 37                 | Pd <sup>103</sup> (36)<br>I <sup>125</sup> (1) | 86                              | 65 [5]<br>54 [10]                  | Phoenix  | OS 94 [5]<br>DSS 96 [5]           | 84                              |
| Moman et al. [29]                       | 31                 | I <sup>125</sup>                               | 108 (mean)                      | 20 [5]                             | Phoenix  | OS 72 [5]<br>DSS 74 [5]           | 16                              |
| Jo et al. [24]                          | 11                 | HDR  | 29 (mean)                       | 64*                                | ASTRO  | NR                                | 45                              |

#### **Prostate**

#### Brachytherapy is a feasible salvage option for pts with local recurrences after initial RT for prostate cancer



Ramey World J Urol 2013

#### Toxicity fairly high

Treatment Modality, dosea Study Number of GU GU Incontinence ED (%) Fistula GI GL Grade 3-4 formation (%) patients Grade 3-4 Grade 1-2 Grade 1-2 (%) (%) (%) (%) (%) Butler et al. [35]; 30 Au198, 20 Gy A-37 0 A-13 0 NR NR NR Teh et al. [31] L-7 L-3 53 65 Wong et al. [34] 17 I125, 127-139 Gy 47 6 1**8**b NR 0 Pd103, 119 Gy ospective studies needed to better define Nguyen et al. [30] 13 HDR, 36 Gy/6 fractions efficacy and toxicity 92 Lee et al. [26] 21 0 Allen et al. [18] 12 NR 25 0 NR Lee et al. 27 21 Pd103, 90 Gy NR 0 29 HDR, 6-9 Gy/2-6 fractions ? 71 14 Tharp et al. [32] 7 29c 100 0 I125/Pd103, 72 Gy Aaronson et al. [17] 33 0 24 Burri et al. [20] Pd103, 110 Gy 32 8 3 75 3 37 5 I125, 135 Gy A-87 A-3 A-55 NR NR Moman et al. [29] 6 31 I125, 145 Gy A-0 L-55 L-19 L-51 L-6 Jo et al. [24] HDR, 22 Gy/2 fractions 0 NR 11 "Low" 0 0 0

#### Lung



G3 lung (23%); G5 lung (0.5%); G5 bleeding (0.5%)

G5 bleeding (12%)

High-dose re-irradiation for locoregional recurrent NSCLC might be beneficial in selected patients

|                               | Number of<br>patients | Median<br>follow-up | Median interval<br>first RT and re-R | Median overall<br>survival | Median time<br>to progression |    |                               | Re-RT<br>technique | Grade 1–2 toxicity        | ≥Grade 3 toxicity   |
|-------------------------------|-----------------------|---------------------|--------------------------------------|----------------------------|-------------------------------|----|-------------------------------|--------------------|---------------------------|---|
|                               |                       | (months)            | (months)                             | (months)                   | (months)                      |    | Wu et al <sup>28</sup>        | 3DCRT              | G1+G2 lung (22%);         | None  |
| Wu et al²8                    | 23                    | 15                  | 13                                   | 14                         | Not stated                    |    |                               |                    | G1+G2 oesophagus (9%      |   |
| Okamoto et al <sup>29</sup>   | 18 (radical)          | Not stated          | 23                                   | 15                         | Not stated                    |    | Okamoto et al <sup>29</sup>   | 3DCRT              | G2 oesophagus (24%)       | G3 lung (21%); G3 oesophagus (6%)                         |
| Peulen et al³⁰                | 29                    | 12                  | 14                                   | 19                         | Not stated                    |    | Peulen et al <sup>30</sup>    | SABR               |                           | G4 fistula and stenosis* (one case); G5 bleeding<br>(10%) |
| Coon et al <sup>31</sup>      | 12                    | 12                  | Not stated                           | Not stated                 | 7.7                           |    | Coon et al <sup>31</sup>      | SABR               |                           | None  |
| Kelly et al <sup>32</sup>     | 36                    | 15                  | 22                                   | 24                         | 12                            |    | Kelly et al <sup>32</sup>     | SABR               | G2 lung (31%)             | G3 lung (19%); G3 oesophagus (8%); G3 skin (6%);          |
| Evans et al <sup>33</sup>     | 35                    | 42                  | Not stated                           | Not stated                 | Not stated                    |    |                               |                    |                           | G3 cough (3%)   |
| Liu et al <sup>34</sup>       | 72                    | 16                  | 21                                   | Not stated                 | No staled                     |    | Evans et al <sup>33</sup>     | SABR               |                           | G5 bleeding (6%)  |
| Meijneke et al <sup>35</sup>  | 20                    | 12                  | Not                                  | <b>ICITY</b>               | DI NIC                        | n- |                               | S LE C             | <b>Oata</b>               | G3 lung (19%); G5 lung (1%)                               |
| Melfricke et all?             | 20                    | 12                  |                                      | 11.1                       |                               |    | Me jneke et al <sup>35</sup>  | SABR               |                           | None  |
| MCAVOY et al <sup>3</sup>     | 33                    | 11                  | 30                                   | 11-1                       | 4.5                           |    | McAvoy et al <sup>36</sup>    | Protons            |                           | G3 lung (21%); G3 oesophagus (9%); G4 lung (6%);          |
| Reyngold et al <sup>37</sup>  | 39                    | 12.6                | 37                                   | 22                         | 13.8                          |    |                               |                    |                           | G4 oesophagus (3%)  |
| Kilburn et al <sup>38</sup>   | 33                    | 17                  | 18                                   | 21                         | 16                            |    | Reyngold et al <sup>37</sup>  | SABR               | G2 lung (18%); G2         | G3 lung (5%)  |
| Yoshitake et al <sup>39</sup> | 17                    | 12.6                | Not stated                           | 18                         | 8                             |    |                               |                    | wall pain (13%); G2 chest |   |
|                               |                       |                     |                                      |                            |                               |    |                               |                    | (3%)                      |   |
| RT=radiotherapy. Re           | -RT=re-irradiati      | ion. OS=overall su  | urvival.                             |                            |                               |    | Kilburn et al <sup>38</sup>   | SABR               | G2 (all) (30%)            | G3 lung (3%); G5 bleeding (3%)                            |
| Table 4: Efficacy of          | hiah-dose re          | irradiation         |                                      |                            |                               |    | Yoshitake et al <sup>39</sup> | 3DCRT              |                           | None  |
|                               |                       |                     |                                      |                            |                               |    |                               |                    |                           |   |

De Ruysscher Lancet Oncol 2014

Re-RT=re-irradiation. 3DCRT=three-dimensional radiotherapy. SABR=stereotactic ablative radiotherapy. G=grade. Lung=pneumonitis. \*Fistula between the trachea and a gastric tube reconstruction/superior vena cava stenosis.

Table 3: Normal tissue toxicity after high-dose re-irradiation

(25%)

G1+G2 oesophagus

(46%); G1+G2 cough (42%); G1+G2 skin (33%); G1+G2 fatigue

SABR

Trovo et al40 Griffioen et al41 3DCRT

#### **Breast**



 Partial breast irradiation after second BCS is viable alternative to mastectomy

| Table 1<br>Drimary treatment and time to   | IPTP  |   |  | SedImayer The Breast 2013   |
|--|---|---|--|---|
| Study  | <i>N</i> (pts.)   | Primary treatment   |  | Time to IBTR (months)   |
|  | I   | EQD <sub>2 (max. to the tumour bed)</sub>   | Technique  | Minimum Median  |
| Chadha 2008<br>Hannoun-Levi 2004<br>Trombetta 2009<br>Guix 2010<br>Hannoun-Levi 2011<br>Polgar 2012<br>Kauer-Dorner 2012<br>Hesch 1002<br>Leut ch 1002<br>Kraus-Herenbacher 2007 | ide <sup>36</sup><br>a <sup>36</sup><br>a <sup>39</sup><br>a <sup>39</sup><br>o a <sup>39</sup><br>a <sup>39</sup><br>out e | Not reported<br>50 Gy + boost (not specified)<br>50 Gy - 75.9 Gy<br>50 Gy - 60 St In the specified)<br>50 Gy - 60 St In the specified (1)<br>50 Gy - 60 St In the specified)<br>50 Gy - 60 St In the specified (1)<br>50 Gy - 60 St In the spe | Not reported<br>EBRT<br>EBRT<br>EBRT<br>EBRT + boost (HDR)<br>Not reported<br>EBRT + boost (LDR or H<br>EBRT + boost (LDR or H<br>EBRT + boost (LDR or H | 28      94        Not reported      70        4.8      96        0re      \$12016        Not reported      79.7        12      131        EBRT or IO      120 |
| Table 2<br>Secondary treatment.<br>Study   | Secondary treatment   |   |  |   |
|  | Physical dose (max)   | Fractionation   | Technique  | Treated volumes   |
| Chadha 2008<br>Hannoun-Levi 2004   | 45 Gy<br>50 Gy  | 0.5 Gy/h<br>Not reported  | LDR<br>HDR   | Not stated<br>Not stated in ccm information on implant<br>sizes: one vs. two planes, <vs. td="" wires<="" ≥5=""></vs.>  |
| Trombetta 2009<br>Guix 2010<br>Hannoun-Levi 2011   | 34 Gy or 50 Gy<br>30 Gy<br>34 Gy  | 3.4 Gy bid or 0.5 Gy/h<br>12 fx/5 d<br>10 fx/5 d  | HDR or LDR<br>HDR<br>HDR   | V100: 105 ccm (36–260)<br>Not stated<br>PTV: mean 68 ccm (31.2–146); V100:  |
| Polgar 2012<br>Kauer-Dorner 2012<br>Resch 2002<br>Deutsch 2002<br>Kraus-Tiefenbacher 2007  | 22 Gy<br>50.1 Gy<br>30 Gy + 12.8 Gy<br>50 Gy<br>14.7 Gy – 20 Gy   | 5 fx/5 d<br>0.8 Gy/h<br>2 Gy/d + 0.8 Gy/h<br>2 Gy/d<br>Single dose  | HDR<br>PDR<br>EBRT + PDR or PDR alone<br>EBRT<br>50-KV-IORT  | 90 ccm (60–97)<br>Not stated<br>PTV 58 ccm (18 SD)<br>PTV 58.3 ccm (25–152)<br>Not stated<br>Not applicable   |

## Dose tolerance of brachial plexus





Chen et al. IJROBP 2017

## **Summary clinical data**



- Re-irradiation is an option for patients with recurrent or second tumors
- Risk of normal tissue damage and impact on quality of life must be taken into account

## Take home messages



 If tolerance has already been exceeded: no re-irradiation possible without loss of function

#### Early effects

- Low to moderate doses:
  - Restitution of original tolerance may be complete after tissue-specific and dose-dependent time intervals

#### – High doses:

 Residual damage may remain for longer intervals, particular at the stem cell level, which is not necessarily reflected in functional tissue compartments

## Take home messages



- Late-responding tissues
  - Partial (CNS, lung) or complete (skin) restoration of tolerance after low to moderate doses (<60% initial tolerance)</li>
  - Progression of damage at subclinical level (kidney, urinary bladder) must be expected thus precluding re-irradiation without exceeding tolerance

#### Take home messages



- Strategies for retreatment
  - Alternative treatment options must be considered before re-irradiation
  - If (curative) re-irradiation is to be considered
    - Use best available treatment planning
    - Consider hyperfractionation for treatment with curative intent
    - Consider combined EBRT and brachytherapy

















| Detection of N2-N3 in NSCLC   |          |      |           |     |           |   |  |  |
|---|----------|------|-----------|-----|-----------|---|--|--|
|   | Poncelet |      | Pieterman |     | Kernstine |   |  |  |
| n   | 6        | 54   | 1         | 88  | 237       |   |  |  |
|   | СТ       | PET  | СТ        | PET | PET       |   |  |  |
| sens  | 55       | 67   | 75        | 91  | 82        | _ |  |  |
| spec  | 68       | 85   | 66        | 86  | 82        |   |  |  |
| PPV   | 23       | 43   | -         | 74  | 51        |   |  |  |
| NPV   | 90       | 93.6 | -         | 95  | 95        |   |  |  |
| Acc   | 66       | 82   | 69        | 87  | 82        |   |  |  |
| Poncelet et al. Eur J Cardiothorac Surg 2001;20:468-475<br>Pieterman et al. N Engl J Med 2000;343:254-261<br>Kernstine et al. Ann Thorac Surg 2002;73:394-402 |          |      |           |     |           |   |  |  |



|                               | and Organ Metastases (M stage)<br>Positive Predictive Negative Predictive<br>Sensitivity Specificity Value Value |       |              |       |              |       |              |       |              |           |
|-------------------------------|--|-------|--------------|-------|--------------|-------|--------------|-------|--------------|-----------|
| Study                         | Year   | Value | 95% CI       | Prevalenc |
| Block et al <sup>33</sup>     | 1997   | 0.65  | 0.42 to 0.87 | 0.97  | 0.90 to 1.03 | 0.92  | 0.76 to 1.07 | 0.83  | 0.62 to 1.04 | 0.36      |
| Kole et al <sup>34</sup>      | 1998   | 1.00  | _            | 0.95  | 0.85 to 1.05 | 0.75  | 0.33 to 1.17 | 1.00  | _            | 0.13      |
| Rankin et al <sup>35</sup>    | 1998   | -     | _            | -     | -            | _     | _            | _     | -            | -         |
| Kobori et al <sup>36</sup>    | 1999   | 0.87  | 0.70 to 1.04 | 0.94  | 0.84 to 1.05 | 0.93  | 0.79 to 1.06 | 0.90  | 0.73 to 1.05 | 0.45      |
| Choi et al <sup>38</sup>      | 2000   | 0.56  | 0.32 to 0.81 | 1.00  | -            | 1.00  | _            | 0.82  | 0.73 to 1.05 | 0.33      |
| Flamen et al <sup>42</sup>    | 2000   | 0.74  | 0.59 to 0.88 | 0.90  | 0.81 to 0.99 | 0.86  | 0.74 to 0.99 | 0.80  | 0.65 to 0.95 | 0.46      |
| Meltzer et al <sup>41</sup>   | 2000   | 0.70  | 0.42 to 0.98 | 0.92  | 0.83 to 1.01 | 0.70  | 0.42 to 0.98 | 0.92  | 0.75 to 1.09 | 0.22      |
| Jageretal <sup>43</sup>       | 2001   | 0.80  | 0.45 to 1.51 | 1.00  | _            | 1.00  | _            | 0.93  | 0.68 to 1.18 | 0.28      |
| Junginger et al <sup>39</sup> | 2002   | 0.33  | 0.07 to 0.60 | 1.00  | -            | 1.00  | _            | 0.64  | 0.17 to 1.11 | 0.46      |
| Kato et al <sup>37</sup>      | 2002   | 0.71  | 0.48 to 0.95 | 1.00  | -            | 1.00  | _            | 0.82  | 0.58 to 1.06 | 0.44      |
| Wren et al <sup>40</sup>      | 2002   | 0.67  | 0.40 to 0.93 | 0.92  | 0.76 to 1.07 | 0.89  | 0.68 to 1.09 | 0.73  | 0.44 to 1.02 | 0.50      |
| Yoon et al <sup>44</sup>      | 2003   | 0.43  | 0.06 to 0.80 | 0.99  | 0.96 to 1.01 | 0.75  | 0.33 to 1.17 | 0.95  | 0.73 to 1.17 | 0.09      |
| Pooled estimate               |  | 0.67  | 0.58 to 0.76 | 0.97  | 0.90 to 1.0  | _     | -            | _     | _            | _         |



| Comparison of ADC                       | d TEE MD Eindir                 | are Paced on I | umph Nodo Sizo         |           |
|---|---------------------------------|----------------|------------------------|-----------|
| Comparison of ADC <sub>60-1000</sub> at | iu ise wik rinuii<br>≥10 mm Lyi | nph Nodes      | 4–9-mm Lyi             | mph Nodes |
| Parameter                               | ADC <sub>60-1000</sub>          | TSE MR         | ADC <sub>b0-1000</sub> | TSE MR    |
| No. of true-positive findings           | 30                              | 31             | 32                     | 3         |
| No. of false-positive findings          | 2                               | 9              | 12                     | 1         |
| No. of true-negative findings           | 8                               | 1              | 205                    | 216       |
| No. of false-negative findings          | 2                               | 1              | 10                     | 39        |
| Sensitivity (%)                         | 94                              | 97             | 76                     | 7         |
| Specificity (%)                         | 80                              | 10             | 94                     | 99.5      |
| Accuracy (%)                            | 90                              | 76             | 92                     | 85        |
| PPV (%)                                 | 94                              | 78             | 73                     | 75        |
| NPV (%)                                 | 80                              | 50             | 95                     | 85        |













































| Randomized trials on dose painting / dose    |                             |                           |   |                |  |  |  |  |
|--|-----------------------------|---------------------------|---|----------------|--|--|--|--|
| escalation in locally advanced HNSCC         |                             |                           |   |                |  |  |  |  |
| Acronym                                      | Stage                       | Molecular<br>imaging      | Design  | Due date       |  |  |  |  |
| Xuzhou Medical<br>College, China             | III-IV§                     | F-Miso PET<br>and FDG-PET | RT-CH >< dose escalation on FDG >< dose<br>escalation on F-Miso | Dec J015?      |  |  |  |  |
| De Neve*                                     | II-IV                       | FDG-PET                   | 69 Gy IMRT >< 84 Gy IMRT  | Q1 2018        |  |  |  |  |
| Eisbruch*                                    | III-IV                      | DCE-MRI                   | 70  Gy + carbo/cddp > < 80  Gy + carbo/cddp                     | Dec 2020       |  |  |  |  |
| INTELHOPE*                                   | III-IV                      | FDG-PET                   | 66  Gy + cddp > < 73.5  Gy + cddp                               | Dec 2020       |  |  |  |  |
| Zips*  | III-IV                      | F-Miso PET                | 70 Gy + CH >< 77 Gy +CH   | Dec 2022       |  |  |  |  |
| Escalox<br>(Munich)                          | III                         | F-Miso PET                | 70 Gy + CDDP (w1, w5) >< 80.6 Gy +<br>CDDP (w1, w5)             | > July<br>2015 |  |  |  |  |
| § nasopha<br>* randomi                       | ryngeal carc<br>zed phase-I | cinoma<br>I               |   |                |  |  |  |  |
| ESTRO<br>2017 ClinicalTrials.gov, April 2017 |                             |                           |   |                |  |  |  |  |













# Tumor growth and response to irradiation

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## Overview

- Tumor growth
- Tumor response to radiation
- Factors influencing local tumor control
- Take home messages







## **Tumor growth**



## Tumor growth

• Disturbed tissue homeostasis, driven by functional capabilities aquired during tumorigenesis





## Exponential and non-exponential growth



Figure 7.1 Relationship between the number of doublings from a single cell and the number of resulting cells in a tumour. To calculate the tumour weight, a cell number of 10<sup>9</sup> per gram was assumed. The clinically observable phase represents a minor part in the history of the tumour. Tumour weight is plotted on a logarithmic scale. If the doubling time is constant, a straight line indicates exponential tumour growth.



Figure 7.2 The same data as used for Fig. 7.1 but tumour weight is plotted on a linear scale. This may lead to the erroneous impression that tumour growth accelerates during the clinically observable phase.

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## Definitions

- Tumor volume doubling time (VDT): time required for tumor to double its volume
- Growth fraction (GF): cells in the compartment of actively dividing cells
- Cell-cycle time (Tc): time required to complete the cell cycle
- Ts: duration of S-phase
- Potential doubling time (Tpot): cell doubling time without any cell loss (Tpot = Tc/GF)
- Cell loss factor (CLF): tumor cell loss during growth (CLF = 1 – Tpot/VDT)



## Volume doubling time

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- Tumor growth rate varies considerable between tumors
- Tumors grow fast if growth fraction is high, cell-cycle time short and cell loss low
- ESTRO School

Table 7.1 Volume doubling times (VDTs) for human tumours taken from a review of early data on the growth rate of human tumours

| Site and histology                        | Number of tumours<br>measured | Mean VDT*<br>(days) | Confidence<br>limits (days) |
|---|-------------------------------|---------------------|-----------------------------|
| Lung metastases                           |                               |                     |                             |
| Colon-rectum, adenocarcinoma              | 56                            | 95                  | 84-107                      |
| Breast, adenocarcinoma                    | 44                            | 74                  | 56-98                       |
| Kidney, adenocarcinoma                    | 14                            | 60                  | 37-98                       |
| Thyroid, adenocarcinoma                   | 16                            | 67                  | 44-103                      |
| Uterus, adenocarcinoma                    | 15                            | 78                  | 55-111                      |
| Head and neck, squamous cell<br>carcinoma | 27                            | 57                  | 43-75                       |
| Fibrosarcoma                              | 28                            | 65                  | 46-93                       |
| Osteosarcoma                              | 34                            | 30                  | 24-38                       |
| Teratoma                                  | 80                            | 30                  | 25-36                       |
| Superficial metastases                    |                               |                     |                             |
| Breast carcinoma                          | 66                            | 19                  | 16-24                       |
| Primary tumours                           |                               |                     |                             |
| Lung, adenocarcinoma                      | 64                            | 148                 | 121-181                     |
| Lung, squamous cell carcinoma             | 85                            | 85                  | 75-95                       |
| Lung, undifferentiated                    | 55                            | 79                  | 67-93                       |
| Colon-rectum                              | 19                            | 632                 | 426-938                     |
| Breast                                    | 17                            | 96                  | 68-134                      |

\*Geometric mean.

## **Growth fraction**

| Tumour type and site    | Mean/Median<br>Ki67 LI (%) | Ki67 Ll<br>(% range) | Reference                     |
|-------------------------|----------------------------|----------------------|-------------------------------|
| Prostate                | 8.5                        | 1-28.4               | Taftachi <i>et al.</i> (2005) |
| Central nervous system: |                            |                      |                               |
| Meningeoma              | 4.4                        | 0-58                 | Roser et al. (2004)           |
| Astrocytoma             | 21.5                       | 0-47.3               | Rautiainen et al. (1998)      |
| Head and neck           | 27.8                       | 8.2-80.8             | Roland et al. (1994)          |
| Colorectal              | 37.2                       | 18.9-71.4            | Lanza et al. (1990)           |
| Breast                  | 31.6                       | 0-99                 | Thor et al. (1999)            |
| Lung (non-small cell)   | 36.7                       | 0-93                 | Hommura et al. (2000)         |
| Pancreas                | 29.7                       | 0.5-82.1             | Linder et al. (1997)          |
| Soft-tissue sarcoma     | 12                         | 1-85                 | Jensen et al. (1998)          |
| Renal cell carcinoma    | 11                         | 0-43                 | Haitel et al. (1997)          |
| Bladder                 | 35                         | 3-55                 | Hoskin et al. (2004)          |
| Oesophagus              | 33                         | 6-95                 | Sarbia et al. (1996)          |

Table 7.2 Growth fractions determined by Ki67 labelling for different human tumour types

LI, labelling index.

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## Cell cycle kinetics

Table 7.4 Cell kinetic parameters of human tumours derived from *in vivo* labelling with iododeoxyuridine (ldUrd) or bromodeoxyuridine (BrdUrd) and measured by flow cytometry

| Site                   | Number of patients | LI (%)          | T <sub>s</sub> (hours) | T <sub>pot</sub> (days) |
|------------------------|--------------------|-----------------|------------------------|-------------------------|
| Head and neck          | 712                | 9.6 (6.8-20.0)  | 11.9 (8.8-16.1)        | 4.5 (1.8-5.9)           |
| Central nervous system | 193                | 2.6 (2.1-3.0)   | 10.1 (4.5-16.7)        | 34.3 (5.4-63.2)         |
| Upper intestinal       | 183                | 10.5 (4.9-19.0) | 13.5 (9.8-17.2)        | 5.8 (4.3-9.8)           |
| Colorectal             | 345                | 13.1 (9.0-21.0) | 15.3 (13.1-20.0)       | 4.0 (3.3-4.5)           |
| Breast                 | 159                | 3.7 (3.2-4.2)   | 10.4 (8.7-12.0)        | 10.4 (8.2-12.5)         |
| Ovarian                | 55                 | 6.7             | 14.7                   | 12.5                    |
| Cervix                 | 159                | 9.8             | 12.8                   | 4.8 (4.0-5.5)           |
| Melanoma               | 24                 | 4.2             | 10.7                   | 7.2                     |
| Haematological         | 106                | 13.3 (6.1-27.7) | 14.6 (12.1-16.2)       | 9.6 (2.3-18.1)          |
| Bladder                | 19                 | 2.5             | 6.2                    | 17.1                    |
| Renal cell carcinoma   | 2                  | 4.3             | 9.5                    | 11.3                    |
| Prostate               | 5                  | 1.4             | 11.7                   | 28.0                    |

Fraction of cells in S phase (L), duration of S phase ( $T_S$ ) and potential doubling time ( $T_{pot}$ ) were taken from Haustermans *et al.* (1997) and Rew and Wilson (2000). Ranges (in parenthesis) represent variations in median values between studies; ranges for individual tumours are considerably larger.

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## Cell loss factor

- Tpot is much shorter than VDT!?
- Vast majority of newly produced cells are lost from the GF (e.g. by differentiation, necrosis, metastasis), explaining the slow growth rate of tumors



## **Cell loss factor**

Table 7.5 Calculation of cell loss factors (CLFs) for human tumours based on labelling with radiolabelled thymidine or thymidine analogues and volume doubling times (VDTs) in separate series

| Site                                   | LI (%) | T <sub>pot</sub> (days) | VDT (days) | CLF (%) |
|--|--------|-------------------------|------------|---------|
| Undifferentiated bronchus carcinoma*.1 | 19.0   | 2.5                     | 90         | 97      |
| Sarcoma*.1                             | 2.0    | 23.3                    | 39         | 40      |
| Childhood tumours*,1                   | 13.0   | 3.6                     | 20         | 82      |
| Lymphoma*.1                            | 3.0    | 15.6                    | 22         | 29      |
| Head and neck**,2                      | 9.6    | 4.1                     | 45         | 91      |
| Colorectal**.2                         | 13.1   | 3.9                     | 90         | 96      |
| Melanoma**.2                           | 4.2    | 8.5                     | 52         | 84      |
| Breast**,2,3                           | 3.7    | 9.4                     | 82         | 89      |
| Prostate**2,4                          | 1.4    | 28.0                    | 1100       | 97      |

\*,\*\*Labelling with radiolabelled thymidine or thymidine analogues, respectively.

<sup>1</sup>From Steel (1977), calculations assume  $T_5 = 14$  hours,  $\lambda = 0.8$ .

<sup>2</sup>Fraction of cells in S phase (LI) and potential doubling time ( $T_{pot}$ ) from Haustermans *et al.* (1997) and Rew and Wilson (2000); calculations assume  $\lambda = 0.8$  (Steel, 1977).

<sup>3</sup>VDT values for pulmonary metastases from Spratt et al. (1996).

4VDT from PSA doubling times from Schmid et al. (1993), Fowler et al. (1994) and Lee et al. (1995).

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## Tumor growth in animal models

• Types of mouse model used to test new cancer therapies



Francia et al Nat Biotech 2010



# Orthotopic tumors: lung bioluminescence imaging





Mordant et al, Plos One 2011

#### Tumor growth in animal models

Patient-derived xenografts

Tentler et al Nat Rev Clin Oncol 2012



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## **Tumor response to radiation**



## Endpoints

- Tumor regression  $\rightarrow$  non-specific endpoint
- Tumor regrowth delay → difficult or impossible to accurately estimate cell kill
- Local tumor control
  - Aim of curative RT → improvements in LC often translate into prolonged survival
  - When all clonogenic cells (i.e. cells with the capacity to proliferate and to cause recurrence after RT) have been inactivated



#### Clonogenic cell survival after RT



Figure 7.3 Relationship between clonogenic cell survival, radiation dose and different endpoints to assay tumour response, assuming a tumour consisting of 10<sup>9</sup> clonogenic cells and a surviving fraction after 2 Gy of 50 per cent.

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#### Local tumor control

- TCP as a function of radiation dose – Poisson distribution
- Random distribution of radiation-induced cell kill within a population of clonogenic cells



| 1 |   |   |      | 1 | 2 |
|---|---|---|------|---|---|
| 2 | 3 | 1 | 2    | 1 |   |
|   | 1 |   | 2    |   | 1 |
| 1 | 1 | 2 | 53 S | 4 | 1 |
| 1 | 1 |   | 3    | 1 |   |
|   | 2 | 1 | 1    |   |   |

Figure 7.4 A model tumour consisting of 36 clonogenic tumour cells (each square represents one clonogenic cell) after irradiation with a dose sufficient to inflict an average of one 'lethal hit' per clonogenic cell. Owing to random distribution of the 'lethal hits' among the tumour, some clonogenic cells received one (1), two (2), three (3) or four (4) lethal hits. These cells subsequently die (grey shadow). According to Poisson statistics (SF = exp(-m), see text) 37 per cent of the clonogenic cells (i.e. a total of 13 cells (received no 'lethal hit' and survived white background). The tumour control probability (TCP) after this 'treatment' can be calculated as TCP =  $exp(-13) = 2.3 \times 10^{-7}$ . This means that only 1 out of 23 million tumours will be locally controlled in this situation. In Table 7.6 and Fig. 7.5, the dose effects on surviving cell fraction (SF) and TCP are illustrated.

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#### Local tumor control

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Table 7.6 Relationship between radiation dose, fraction of surviving clonogenic tumour cells (SF) and local tumour control probability (TCP) according to Poisson statistics for the 'treatment' of a model tumour consisting of 36 clonogenic tumour cells.

| Radiation dose<br>(relative units) | Number of 'lethal hits'<br>per clonogenic cell (m) | SF = exp <sup>(-m)</sup> (%) | Number of surviving<br>clonogenic tumour cells<br>$(N = SF \times 36)$ | TCP = exp <sup>(-N)</sup> (%) |
|------------------------------------|--|------------------------------|--|-------------------------------|
| 1                                  | 36/36 = 1  | 37                           | 13   | <0.0001                       |
| 2                                  | 72/36 = 2  | 14                           | 5  | 1                             |
| 3                                  | 108/36 = 3   | 5                            | 2  | 17                            |
| 4                                  | 144/36 = 4   | 1.8                          | 0.7  | 52                            |
| 5                                  | 180/36 = 5   | 0.7                          | 0.2  | 78                            |
| 6                                  | 216/36 = 6   | 0.25                         | 0.09   | 91                            |
| 7                                  | 252/36 = 7   | 0.09                         | 0.03   | 97                            |
| 8                                  | 288/36 = 8   | 0.03                         | 0.01   | 99                            |





Figure 7.5 Illustration of the 'treatment effects' on the model tumour consisting of 36 clonogenic cells (compare Fig. 7.4 and Table 7.6). Values for the number of surviving clonogens and tumour control probability (TCP) were taken from Table 7.6.

## Ex-vivo assays

- Clonogenic assays (plating assays)
  - Tumors are excised, reduced to single cells and grown in a test environment
  - Provide a direct measure of the surviving fraction of clonogenic cells.
  - Limitation: relationship between clonogens (in test environment) and stem cells (in situ) is uncertain.



#### Clonogenic cell survival: ex vivo





## Ex-vivo assays

- Culturing as organoids
  - Tumors are excised, reduced to single cells, and grown in 3D matrix
  - Measurement of tumor stem cells
  - Show potential to differentiate in all tumor subtype cells
  - Lack of environmental factors and vascularisation



## Cancer Stem Cells (CSCs)

- Self-renewal
- Capability to develop into multiple lineages
- Chemo- and radiation resistant
- Formation of spheres in suspension culture
- Generation of tumors when transplanted in immunodeficient mice with limited number of cells





Jordan et. al. NEJM 2006

## Measuring CSC content





Smit et. al. Radiother Oncol 2013

#### Cancer stem cells



Smit et. al. Radiother Oncol 2013

#### CSC derived organoids?





Boj et al Cell 2015

## In situ assays

- In Situ assays (growth delay, tumor control):
  - Tumors left in place
  - Measure response of effective and potential stem cells
  - Limitation: no quantification of stem cells; surviving fraction is difficult to assess



#### Tumor regression $\neq$ cell survival





Hermens and Barendsen, Eur. J. Cancer 1969

#### Tumor regression $\neq$ cell survival



drug X = VEGFR2 inhibitor



## Regrowth delay assay

- Comparison of growth curves of treated and untreated tumors gives the delay caused by treatment
- Relationship between growth delay and surviving fraction of stem cells is complex
- Regrowing cells have different environment: surrounded by dead and dying cells; vascular network is already in place
- Tumor bed effect



Growth Kinetics of Tumors, G.G. Steel, 1977



#### Vascular damage: tumor bed effect



Time (days)



#### Application of Regrowth Delay Assay Comparison of different treatments





Barendsen and Broerse, Eur. J. Cancer 1969.

#### Delay independent of regression rate



#### Delay independent of regression rate



## Growth delay depends on doubling time





## Summary growth delay assay

- Dependent on reliable volume measurement (difficult!)
  - with ultrasound imaging or bioluminescence more reliable than manual caliper
- Only suitable for few logs of tumor cells (selection)
- Reflects growth rate of clonogenic and non-clonogenic cells
- Dependent on growth rate of tumor
  - comparison of different tumors difficult
  - drugs may change growth rate (overestimation of efficacy)
  - radiation damage of vessels changes growth rate (tumor bed effect; overestimation of efficacy)



## Local tumor control assay

- Irradiation of tumors in vivo
- Groups of tumors, different dose levels (graded doses)
- Follow up: local control or recurrence
- Evaluation of local control rates for each dose level
- Construction of dose response curves


## Tumor Control (Cure) – TCD50

- The radiation dose which cures 50% of a homogeneous population of tumors (TCD50) is estimated.
- This assay most directly assesses the sensitivity of the stem cell population in the tumor.



Moulder & Rockwell, IJROBP 1984



## Local tumor control





# Killing all cancer stem cells is necessary for local tumour control



Baumann, Krause, Hill, Nature Rev Cancer 545-554, 2008



# Killing all cancer stem cells is necessary for local tumour control



Baumann, Krause, Hill, Nature Rev Cancer 545-554, 2008



## Summary TCD50 assay

- Best assay available for experimental radiotherapy
- Most relevant for clinical practice
- Tumour cells remain in situ
- Dependent only on clonogenic cells
- All clonogenic cells are assayed, not only some logs
  - Thus also survival of small resistant subgroups of clonogens can be assayed
- Good for radiobiological modelling





## **Factors influencing local tumor control**



## **Biological contributors to outcome**





#### Effect of irradiation on tumors: cell death and proliferation



Proliferating cells Apoptotic cells Blood vessels





#### Temporal changes in hypoxia and proliferation after irradiation (15 Gy SD)



unirra

green: hypoxic cells

ue / white: blood vessels

#### Proliferation & hypoxia in s.c.c. xenograft





Ki67 PIMO Vessels

#### Proliferation & hypoxia in s.c.c. xenograft after 8 X 3 Gy/4 weeks





#### Proliferation & hypoxia in s.c.c. xenograft after 8 X 3 Gy/4 weeks + VEGFR-inhibitor



## Repopulation of clonogenic tumor cells



Figure 7.13 Rate, kinetics and underlying mechanism of repopulation of clonogenic tumour cells in FaDu squamous cell carcinoma growing in nude mice



## Tumor volume

• Important determinant of local tumor control!





## Summary

- Tumor response to radiation depends on
  - Intrinsic cellular radiosensitivity
  - Stromal interactions (vasculature)
  - Microenvironment (hypoxia)
  - Tumour volume (stem cell number)
  - Cellular proliferation (repopulation)



## Take home messages

- Tumor models can be used to explore
  - Different treatment regimes
  - Importance of biological pathways
- Volume response:
  - Measure time to regrowth, not regression.
  - Correct for doubling time when comparing tumors
- Tumor cure: gold standard
  - Not possible with drugs alone (insufficient kill)
  - Many animals and long time, so only use as confirmation



# Brachytherapy & Radiobiology of low dose rate

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many thanks to **Bert van der Kogel** for his slides





**Cancer Research Center Groningen** 

ESTRO BCR Course Paris 2017







#### Claudius Regaud 1870-1940 Father of Fractionation Low Dose Rate Radium Treatment of Tongue and Cervical Cancer 1918

# LDR Brachytherapy



## **Prostate Brachytherapy**



#### Prostate External Beam RT





## **Prostate Brachytherapy**



## Prostate Brachytherapy

LDR (<sup>192</sup>Ir, <sup>137</sup>Cs)

HDR (<sup>192</sup>lr)









I-125 seeds

38 Gy/4 f in 2 days > 60 Gy/hr

80 Gy ~ 6 days 0.6 Gy/hr

145 Gy Permanent < 0.1 Gy/hr

### External Beam vs Brachytherapy





|               | EBRT      | Brachytherapy  |
|---------------|-----------|----------------|
| Homogeneity   | Tight     | Huge hot areas |
| Dose          | High      | Very High      |
| Volume        | Variable  | Small          |
| Dose Fall-Off | Moderate  | Very Rapid     |
| Dose Rate     | High      | Variable       |
| Duration      | 5-8 weeks | days - months  |

# Schedules & dose rates for (prostate) brachytherapy





## Treatment plan for brain implant

Inverse of "double trouble" at a distance from implants:

- decreasing dose rates
- decreasing total dose
  In addition:

Small volumes



### Cell survival curves for different dose rates



### Cell survival curves for human cell lines



low dose rate: better discrimination between cells with different radiosensitivity

# Dose rate effects in normal tissues

### Dose-rate effect for pneumonitis in mice



Down et al. (1986)

Dose-rate effects in rat spinal cord: continuous irradiation using <sup>192</sup>Ir- wires (= 6 different constant dose rates)



#### Dose-rate effect in murine normal tissues



### Effect of cell proliferation during brachytherapy



In HDR & LDR brachytherapy, both the α/β ratio and repair half-times are mutually involved in the radiobiological effectiveness of a treatment

#### Half times for recovery from radiation damage $(T_{1/2})$ in various normal tissues

| Tissue               | Species | Dose delivery <sup>#</sup> | <i>T</i> <sub>1/2</sub> (hours) | Source                              |
|----------------------|---------|----------------------------|---------------------------------|-------------------------------------|
| Haemopoietic         | Mouse   | CLDR                       | 0.3                             | Thames <i>et al</i> . (1984)        |
| Spermatogonia        | Mouse   | CLDR                       | 0.3-0.4                         | Delic <i>et al</i> . (1987)         |
| Jejunum              | Mouse   | F                          | 0.45                            | Thames <i>et al</i> . (1984)        |
|                      | Mouse   | CLDR                       | 0.2-0.7                         | Dale <i>et al</i> . (1988)          |
| Colon (acute injury) | Mouse   | F                          | 0.8                             | Thames <i>et al</i> . (1984)        |
|                      | Rat     | F                          | 1.5                             | Sassy <i>et al</i> . (1988)         |
| Lip mucosa           | Mouse   | F                          | 0.8                             | Ang <i>et al</i> . (1985)           |
|                      | Mouse   | CLDR                       | 0.8                             | Scalliet <i>et al</i> . (1987)      |
|                      | Mouse   | FLDR                       | 0.6                             | Stüben <i>et al</i> . (1991)        |
| Tongue epithelium    | Mouse   | F                          | 0.75                            | Dörr <i>et al</i> . (1993)          |
| Skin (acute injury)  | Mouse   | F                          | 1.5                             | Rojas <i>et al</i> . (1991)         |
|                      | Mouse   | CLDR                       | 1.0                             | Joiner <i>et al</i> . (unpublished) |
|                      | Pig     | F                          | 0.4 + 1.2*                      | van den Aardweg and Hopewell (1992) |
|                      | Pig     | F                          | $0.2 + 6.6^*$                   | Millar <i>et al</i> . (1996)        |
| Lung                 | Mouse   | F                          | 0.4 + 4.0*                      | van Rongen <i>et al</i> . (1993)    |
|                      | Mouse   | CLDR                       | 0.85                            | Down <i>et al</i> . (1986)          |
|                      | Rat     | FLDR                       | 1.0                             | van Rongen (1989)                   |
| Spinal cord          | Rat     | F                          | 0.7 + 3.8*                      | Ang <i>et al</i> . (1992)           |
|                      | Rat     | CLDR                       | 1.4                             | Scalliet <i>et al</i> . (1989)      |
|                      | Rat     | CLDR                       | 1.43                            | Pop <i>et al</i> . (1996)           |
| Kidney               | Mouse   | F                          | 1.3                             | Joiner <i>et al</i> . (1993)        |
|                      | Mouse   | F                          | 0.2 + 5.0                       | Millar <i>et al</i> . (1994)        |
|                      | Rat     | F                          | 1.6-2.1                         | van Rongen <i>et al</i> . (1990)    |
| Rectum (late injury) | Rat     | CLDR                       | 1.2                             | Kiszel <i>et al</i> . (1985)        |
| Heart                | Rat     | F                          | >3                              | Schultz-Hector et al. (1992)        |

\* Two components of repair with different half-times.

<sup>#</sup> continuous low dose rate; F, acute dose fractions; FLDR, fractionated low dose rate.
### $T_{1/2}$ for late-responding human tissues

| Endpoint                | T <sub>1/2</sub> (h) | 2.5%-tile (h) | 97.5%-tile (h) |
|-------------------------|----------------------|---------------|----------------|
| Laryngeal oedema        | 4.9                  | 3.7           | 6.1            |
| Skin telangiectasia     | <b>3.8</b>           | 2.9           | 4.5            |
| Subcutaneous<br>changes | 4.4                  | 4.0           | 4.8            |

Bentzen et al. Radiother & Oncol 53: 219 (1999)

# Effects at different dose rates: variation in $\alpha/\beta$ ratio



Tissue with low  $\alpha/\beta$  more sensitive to change in dose rate

#### Low $\alpha/\beta$ values: variation in repair half-times ( $t_{1/2}$ )



### Loss of effect with increased treatment time in IMRT?



Joiner et al, Med. Phys. June 2010



### intermittent irradiation: loss of effect?



in vitro: loss of effect with short intervals

in vivo: recovery of sublethal damage compensated by reoxygenation

(Tomita et al, 2008)



# Effectiveness of very high dose rate

With the development of flattening filter-free linear accelerators for radiotherapy, the instantaneous dose rate has increased by approximately a factor 4. The present study investigates the radiobiological effect of this high instantaneous dose rate on two cell lines

B.S. Sørensen et al. Radiother & Oncol 101 (2011) 223-225



# Effectiveness of very high dose rate Flash: 40 Gy/s



Fauvodon et al. Sci Transl Med. 2014





# Summary

- Continuous low dose rate irradiation
  - Irradiation times (hours days) are long as compared to the half time of repair (0.5 1.5 hour). Effect dominated by repair capacity ( $\alpha/\beta$  value)
- High dose rate irradiation
  - Irradiation time is too short for repair during the irradiation, unless repair is very fast (in the order of minutes).
- IMRT
  - − For complex treatments lasting ≥20-30 min, loss of effective dose may be 5-10%, but depends on  $\alpha/\beta$  and T<sub>1/2.</sub>
  - Possibly compensated by reoxygenation in vivo
  - High instantaneous dose rate (flattening filter-free): no change in effect



#### Particles in radiotherapy

Vincent GREGOIRE, MD, PhD, Hon. FRCR

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 JOURNAL OF CLINICAL ONCOLOGY
 REVIEW ARTICLE

 Promise and Pitfalls of Heavy-Particle Therapy

 Timur Mitin and Anthony L. Zietman

 A B S T R A C T

 Proton beam therapy, the most common form of heavy-particle radiation therapy, is not a new invention, but it has gained considerable public attention because of the high cost of installing and operating the rapidly increasing number of treatment centers. This article reviews the physical properties of proton beam therapy and focuses on the up-to-date clinical evidence comparing proton beam therapy with the more standard and widely available radiation therapy treatment alternatives. In a cost-conscious era of health care, the hypothetical benefits of proton beam therapy treatment, through its scale and its cost, a battleground for the policy debate around managing expensive technology in modern medicine.

 J Clin Oncol 32:2855-2863. @ 2014 by American Society of Clinical Oncology ESTRO

DLUME 32 · NUMBER 26 · SEPTEMBER 10 2014



| Uncharged        | Charged  |             |
|------------------|--|-------------|
| X rays<br>γ rays | e⁻<br>p⁺<br>He²⁺   | Low<br>LET  |
| Neutrons         | C <sup>6+</sup><br>Ne <sup>10+</sup><br>Si <sup>14+</sup><br>Ar <sup>18+</sup> | High<br>LET |



| In                      | provement of radiotherap   |
|-------------------------|--|
|                         | Ballistic selectivity  |
| Inc<br>wh<br>sur        | reasing the dose to the tumo<br>ile reducing the dose to th<br>rounding normal tissues                             |
|                         | Differential effect  |
| Co<br>the<br>on<br>tis: | mpared to conventional radiation<br>e effect is relatively more marke<br>the tumour than on the norm<br>sues (RBE) |



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# Potential **clinical benefit** of Protons

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| REGION                | LESION   |
|-----------------------|--|
| Brain and spinal cord | Isolated brain metastases<br>Selected brain tumor recurrences<br>Pituitary adenomas<br>Arteriovenous malformations (AVMs)                        |
| Base of skull         | Meningiomas<br>Acoustic neuromas<br>Chordomas and chondrosarcomas  |
| Eye                   | Uveal melanomas<br>Macular degeneration  |
| Head and neck         | Nasopharynx (primary and recurrent) tumors<br>Oropharynx (locally advanced) tumors<br>Paranasal sinus tumors                                     |
| Chest and abdomen     | Medically inoperable non-small-cell lung cance<br>Chordomas and chondrosarcomas<br>Hepatic tumors<br>Retroperitoneal tumors<br>Paraspinal tumors |
| Pelvis                | Prostate tumors<br>Chordomas and chondrosarcomas   |
| Pediatric lesions     | Brain and spinal cord tumors<br>Orbital and ocular tumors<br>Sarcomas of the base of skull and spine<br>Abdominal and pélvic tumors              |
|                       |  |



|                        | Treatment               | #pts           | EQD <sub>2,T</sub> (Gy)                         | #Fracti          | ons            |
|------------------------|-------------------------|----------------|---|------------------|----------------|
|                        | CRT                     | 1326           | 42-63   | 20-4             | 3              |
|                        | SBRT                    | 895            | 33-176  | 3-10             |                |
|                        | Protons                 | 180            | 63-111  | 2-6              |                |
| reatment               | 2-year overall sur-     | mai            | (936-61)  | SBRT             | Protons        |
| Treatment              | 2-year overall sur      | rival          | (95% CI)  | p-Value"<br>SBRT | Protons        |
| SBRT<br>Protons        | 0.702<br>0.612          |                | (0.633-0.770)<br>(0.474-0.750)                  |                  | 0.262          |
|                        | 2-year disease-spe      | cific survival |   |                  |                |
| CRT<br>SBRT<br>Protony | 0.674<br>0.834<br>0.740 |                | (0.587-0.761)<br>(0.751-0.917)<br>(0.607-0.874) | 0.006            | 0.430<br>0.246 |







| Rew | Seved | Status                       | Study Title  | Conditions  | Interventions   |
|-----|-------|------------------------------|--|---|---|
| 1   | 0     | Recruiting                   | Proton Therapy vs. MRT for Low or<br>Intermediate Risk Prostate Cancer   | Prostate Caroler  | Radiation: Proton Beam Therapy;<br>Radiation: Intensity Modulated Radiation Therapy   |
| 2   |       | Recruiting                   | Randomized Trial of Intensity-Modulated Proton<br>Beam Therapy (MPT) Versus Intensity-<br>Modulated Proton Therapy (MPT) for the<br>Theatest of Oropharyngeal Cancer of the<br>Hand and Neck | Head And Neck Cancer  | Radiation: Intervity-Modulated X-Ray Therapy (MRT),<br>Radiation: Intervity-Modulated Proton Beem Therapy<br>(IMPT), Procedure: Modified barium evallow (MBS)<br>Behavioral: Questionnairee   |
| 3   |       | Recruiting                   | Comparing Photon Therapy To Proton Therapy<br>To Treat Patients With Lung Cancer   | Stage IA Non-amat Cell Lung Cancer,<br>Stage IB Non-amat Cell Lung Cancer,<br>Stage IIA Non-amat Cell Lung Cancer,<br>Stage IIB Non-amat Cell Lung Cancer | Radiaton: photon beam radiaton therapy:<br>Radiaton: proton beam radiaton therapy: Drug pacilitaxet,<br>Drug carbopiatri, Drug etopoade, Drug cisplatin;<br>Procedure: quellip-ch-le assessment,<br>Other: quellionnaire administration |
| 4   |       | Active,<br>nut<br>recruiting | Proton Therapy for High Risk Prostate Cancer   | Prostate Cancer   | Radiation: Radiation therapy (XRT);<br>Other: Androgen Deprivation Therapy (ADT);<br>Other: Chemotherapy  |
| 5   |       | Not yet<br>recruiting        | Randomized Carbon lons vs Standard<br>Radiotherapy for Radionesistant Tumors   | Malignant Tumore as Chordoma,<br>Adenoid Cystic Carcinoma and Santoma   | Radiation: Carbon ions therapy: Radiation: Advanced<br>external radiotherapy by Xreys or protons.   |
| - 6 |       | Not yet<br>recruiting        | Prevention of Nervescular Glaucoma by<br>Intervitival hypotions of Anti-VEGF in Patients<br>Treated With Proton Therapy for a Large<br>Choroidal Melanoma                                    | Ocular Melanoma   | Drug Aliberospi Injection: Drug False rejection   |
| 7   |       | Recruiting                   | Proton Radiotherapy Versus Radiothequency<br>Ablation for Patients With Medium or Large<br>Hepatocellular Carcinome  | Carcinoma, Hepatocellular   | Radiation: Proton radiotherapy:<br>Prisodum: Radiotherapyhykbiation   |
|     |       | Recruiting                   | Pregnatic Randomized Trai of Proton vs.<br>Photon Therapy for Patients With Non-<br>Matastatic Breakl Cancer: A Radiotherapy<br>Comparitive Effectiveness (BADCOMP)<br>Consortium Trai       | Breast Cancer   | Rediator: Protor: Rediator: Proton  |
|     |       | Recruiting                   | Trail of Photon Versus Carbon lan Rediation<br>Therapy in Patients With Chordome of the Skull<br>Base  | Chordoma; Tumor; Treatment  | Radiation: Carbon lian; Radiation: Protons  |
| 10  |       | Recruiting                   | Trial of Proton Versus Carton Ion Radiation<br>Therapy in Patients With Low and Inter-mediate  | Chondrosartoma  | Radiation carbon ion therapy. Radiation: proton therapy   |



| PROTON THERAPY INDICATIONS   |   |
|--|---|
| POINT/COUNTERPOINT<br>Pediatric medulloblastoma: Is proton beam the<br>ethically appropriate radiation treatment?<br>Anthony Zietman, MD, FASTRO, Editor-in Chief IJROBP | International Journal of<br>Reditation Chocology<br>biology • physics<br>www.actjournal.org |
| ESTRO<br>2017  |   |















































































|                       | Total number of<br>patients (%) | Clinical pract |
|-----------------------|---------------------------------|----------------|
| Prostate              | 1731 (22%)                      | 1399           |
| Bone and soft tissue  | 1033 (13%)                      | 780            |
| Head and neck         | 854 (11%)                       | 529            |
| Lung                  | 795 (10%)                       | 207            |
| Liver                 | 485 (6%)                        | 250            |
| Post-operative rectum | 408 (5%)                        | 338            |
| Pancreas              | 353 (4%)                        | 113            |
| Gynaecological        | 207 (3%)                        | 10             |
| Eye                   | 128 (2%)                        | 86             |
| CNS                   | 106 (1%)                        | 0              |
| Para aortic lymph nod | e 94 (1%)                       | 87             |
| Skull base            | 85 (1%)                         | 56             |
| Oesophagus            | 71 (1%)                         | 0              |
| Lacrimal gland        | 24 (<1%)                        | 1              |
| Scanning              | 11 (<1%)                        | 0              |
| Miscellaneous         | 1547 (20%)                      | 715            |



| indication                                | end point | results, photons | results, ions<br>-NIRS-             | results, ions<br>-GSI- |
|---|-----------|------------------|-------------------------------------|------------------------|
| Nasopharynx carcinoma<br>(advanced state) | 5y-S      | 40 - 50 %        | 63 %                                |                        |
| Chordoma                                  | LCR       | 30 - 50 %        | 65 %                                | 70 %                   |
| Chondrosarcoma                            | LCR       | 33 %             | 88 %                                | 89 %                   |
| Glioblastoma                              | AST       | 12 month         | 16 month                            |                        |
| Choroid melanoma                          | 5y-S      | 95 %             | 96 %<br>preservation of<br>evesight |                        |
| Paranasal sinuses<br>tumors               | LCR       | 21 %             | 63 %                                |                        |
| Pancreatic carcinoma                      | AST       | 6.5 month        | 7.8 month                           |                        |
| Liver tumors                              | 5y-S      | 23 %             | 100 %                               |                        |
| Salivary gland tumors                     | LCR       | 24 - 28 %        | 61 %                                | 77.5 %                 |
| Soft-tissue carcinoma                     | 5v-S      | 31 - 75 %        | 52 - 83 %                           |                        |





| Publication                   | Number of patients | Tumour  | Treatment   | Median follow-up                           | Local control   | Overall survival   |
|-------------------------------|--------------------|---|---|--|---|--|
| Jingo et al., 2012            | 27                 | Head-and-neck<br>sarcoma  | 70.4 Gy E/16<br>fractions                                 | 37 months (range<br>4.1-73 months)         | 91.8% at 3 years  | 74.1% at 3 years   |
| Schulz-Ertner<br>et al., 2005 | 29                 | Adenoid-cystic-<br>carcinoma  | Photon 50 Gy E,<br>Carbon Boost<br>18 Gy E/6<br>fractions | 16 months (2-60<br>months)                 | 78% at 5 years  | 76% at 5 years   |
| Yanagi et al.,<br>2009        | 102                | Mucosal melanoma  | Carbon ions   | 49.2 months (range<br>16.8-108.5)          | 84.1% at 5 years  | 27% at 5 years   |
| Mizoe et al.,<br>2012         | 236                | Malignant<br>melanoma,<br>adenocarcinoma,<br>squamous cell<br>carcinoma,<br>adenoid-cystic<br>carcinoma | Carbon ion<br>radiotherapy                                | 54 months (mean;<br>range 3-162<br>months) | 5-years:<br>75% for malignant<br>melanoma,<br>73% for adenoid<br>cystic carcinoma,<br>73% for<br>adenocarcinoma,<br>61% for papillary<br>adenocarcinoma,<br>61% for squamous cell<br>carcinoma and<br>24% for the 14<br>with sarcomas | 5-years:<br>68% for adenoid<br>cystic carcinoma,<br>56% for<br>adenocarcinoma,<br>35% for<br>malignant<br>melanoma |



| Publication              | Number of patients | Tumor                     | Treatment  | Median follow-up                       | Local<br>control                               | Overall<br>survival |
|--------------------------|--------------------|---------------------------|--|--|--|---------------------|
| Miyamoto et al.,<br>2003 | 81                 | NSCLC                     | Carbon ion<br>59.4-95.4 Gy E/18 fractions/6 weeks<br>68.4-79.2 Gy E/9 fractions                  | 52.6 months (minimum<br>30 months)     | 59%<br>at 5 years                              | 42% at<br>5 years   |
| Miyamoto et al.,<br>2007 | 50                 | NSCLC                     | Carbon ion<br>72 Gy E/9 fractions/3 weeks  | 59.2 months (range<br>6-83 months)     | 95%<br>at 5 years                              | 50% at 5 year       |
| Miyamoto et al.,<br>2007 | 79                 | NSCLC                     | Carbon ion<br>T1: 52.8 Gy E/4 fractions/1 week<br>T2: 60 Gy E/4 fractions/1 week                 | 38.6 months (range<br>2.5-72.2 months) | T1: 98%<br>at 5 years<br>T2: 80%<br>at 5 years | 45% at<br>5 years   |
| Iwata et al.,<br>2013    | 27                 | NSCLC                     | 60 Gy E/10 fractions; 52.8 Gy E/4<br>fractions;<br>66 Gy E/10 fractions; 80 Gy E/20<br>fractions | 51 months (range<br>24–103 months)     | 75%<br>at 4 years                              | 58% at<br>4 year    |
| Yamamoto et al.,<br>2012 | 91                 | Metastatic<br>lung tumors | 40-80 Gy E, 1-16 fractions   | 2.3 years (range<br>0.3-13.1 years)    | 91.9%<br>at 2 years                            | 71.2% at<br>2 years |



|                                       | Treatment                        | #pts                                 | EQD <sub>2,T</sub> (G                    |            | #Fractions     |                         |
|---------------------------------------|----------------------------------|--------------------------------------|--|------------|----------------|-------------------------|
|                                       | CRT                              | 1326                                 | 42-63                                    |            | 20-43          |                         |
|                                       | SBRT                             | 895 33-176 3<br>180 63-111           |  | 3-10       |                |                         |
|                                       | Protons                          |                                      |  | 63-111 2-6 |                |                         |
|                                       | Carbon ions                      | 210                                  | 53-125                                   |            | 4-9            |                         |
| Treatment                             | 2-year overall survival          | (95% (                               | 1)                                       | p-Value"   |                |                         |
|                                       | 1                                |                                      |  | SBRT       | Protons        | Carbon-ion              |
| CRT<br>BRT<br>Protons<br>Carbon-ions  | 0.531<br>0.702<br>0.612<br>0.737 | (0.464<br>(0.633<br>(0.474<br>(0.609 | -0.599)<br>-0.770)<br>-0.750)<br>-0.864) | <0.001     | 0.310<br>0.262 | 0.006<br>0.638<br>0.180 |
|                                       | 2-year disease-specific surv     | ival                                 |  |            |                |                         |
| CRT<br>BBRT<br>Protons<br>Carbon-ions | 0.674<br>0.834<br>0.740<br>0.815 | (0.587<br>(0.751<br>(0.607<br>(0.700 | -0.761)<br>-0.917)<br>-0.874)<br>-0.930) | 0.006      | 0.430<br>0.246 | 0.065<br>0.797<br>0.391 |



| Row | Saved | Status             | Study Title   | Conditions   | Interventions  |
|-----|-------|--------------------|---|--|--|
| 1   | 0     | Recruiting         | Trial of Proton Versus Carbon Ion Radiation Therapy in Patients<br>With Chordoma of the Skull Base                                      | Chordoma; Tumor; Treatment   | Radiation: Carbon ion; Radiation: Protons  |
| 2   |       | Not yet recruiting | Randomized Carbon Ions vs Standard Radietherapy for<br>Radioresistant Tumors  | Malignant Tumors as Chordoma,<br>Adencid Cystic Carcinoma and<br>Sarcoma | Radiation: Carbon ions therapy; Radiation<br>Advanced external radiotherapy by Xrays or<br>protons |
| 3   |       | Recruiting         | Trial of Proton Versus Carbon Ion Rediation Therapy in Patients<br>With Low and Inter-mediate Grade Chondrosercoma of the Skull<br>Base | Chondrosarcoma   | Radiation: carbon ion therapy;<br>Radiation: proton therapy  |



Potential indications of ions...?

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#### Pending questions... Are hadrons really needed?

- For which patients?
- With which setting?
- For which money?












| Table 1 Treatme<br>Base Chordon | ent Results<br>nas | After Charg          | ed Particle R            | for Skull       |
|---------------------------------|--------------------|----------------------|--------------------------|-----------------|
| Author,<br>Year                 | Patients<br>(n)    | RT<br>Modality       | Tumour-<br>Dose<br>(GGE) | Local<br>Contro |
| Munzenrider,<br>1999            | 375                | Protons +<br>Photons | 66–83                    | 73%/5 y         |
| Hua. 1999                       | 58                 | Protons              | 64.8-79.2                | 59%/5 y         |
| Noel, 2003                      | 67                 | Protons +<br>Photons | 67 (median)              | 71%/3 y         |
| Schulz-Ertner, 2004             | 67                 | Carbon               | 60 (median)              | 74%/4 y         |























| PROTON | THERAPY: | CLINICAL | RESULTS |
|--------|----------|----------|---------|

| PRIMARY TUMOR                                   | D <sub>RBE</sub><br>Gy (RBE)            | NUMBER<br>OF<br>PATIENTS | LOCAL CONTROL   | REFERENCE                                     |
|---|---|--------------------------|---|---|
| Uveal melanoma                                  | 70 in 5 Fx                              | 990<br>1922              | 99 % at 5 yr<br>96 % at 10 yr                                   | Egger et al. (2001)<br>Gragoudas et al. (2002 |
| Skull base<br>chondrosarcoma                    | ~ 69                                    | 202                      | 95 % at 10 years  | Liebsch, N., Personal<br>communication (2005) |
| Chordoma  | ~ 69                                    | 132                      | 59 % / 44 % at 5 / 10 yr  | Terahara et al. (1999)                        |
| Prostate TIII - TIV<br>(photons ± proton boost) | 67.2 vs. 75.6<br>(Phase III trial)      | 202                      | 80 % vs. 92 % at 5 yr<br>60 % vs. 77 % at 8 yr                  | Shipley et al. (1995)                         |
| Prostate Tia - Til                              | 74                                      | 1255                     | 75 % / 73 % biochemical<br>disease-free survival<br>at 5 / 8 yr | Slater et al. (2004)                          |
| Prostate TI - TII<br>(photons ± proton boost)   | 70.2 vs. 79.2                           | 393                      | 61.4% vs. 80.4% at 5 yr   | Zietman et al. (2005)                         |
| Non-small cell lung<br>cancer. Stage I          | 73.8                                    | 27                       | 86% at 2 yr   | Bush et al. (2004a)                           |
| Hepatic cancer                                  | 72 (16 Fx in 29 days)<br>63 (15 Fx in 3 | 162<br>34                | 87 % at 5 yr<br>75% at 2 yr                                     | Chiba et al. (2005)<br>Bush et al. (2004b)    |
| Glioblastoma multiforme                         | 90 BID in 5 weeks                       | 23                       | 34 % / 18 % survival<br>at 2 / 3 yr                             | Fitzek et al. (1999)                          |
| Adenocystic carcinoma of<br>the paranasal sinus | 76± surgery                             | 23                       | 93% at 5 years  | Pommier et al. (2005)                         |
| Axial skeleton:<br>Chondrosarcoma<br>Chordoma   | 72.2<br>74.6                            | 6<br>14                  | 100% at 5 yr<br>53% at 5 yr                                     | Hug et al. (1995)                             |





# Radiation-induced malignancies

# **Michael Joiner**



Paris 2017

Radiation induced cancers

Radiotherapy induced cancers

# 0.4 nCi

# **BED = Banana Equivalent** Dose

0.5 g potassium per banana, 15 Bq radioactivity 37 MBq = 1 mCi

http://en.wikipedia.org/wiki/Banana\_equivalent\_dose

#### Sources of radiation dose to the general population in 1980



http://www.ans.org/pi/resources/dosechart/



### First reports on harmful effects of radiation

- 1902: radiation-induced skin cancer reported
- 1911: radiation-induced leukemia described
- 1920s: *bone cancer* in radium dial painters
- 1930s: *liver cancer and leukemia* from Thorotrast
- 1940s: excess *leukemia* in first radiologists





PART OF THE CHART OF THE NUCLIDES.

This shows successive radioactive disintegrations in the **uranium 238** series. Shorter-lived nuclides, for example those shown as dotted squares, decay into the chain but do not occur naturally on earth.



#### 10000 No Malignancy Malignancy ٠ 1000-Systemic intake in microcuries 100 10. 0.1-0.01 -1910 1920 1930 1940 1950 Year of entry into the dial industry

Pre-1950 female dial painters

Rowland RE. Radium in Humans: A Review of U.S. Studies. Argonne National Lab, Argonne III, 1994





- Suspension containing particles of thorium dioxide
  - Contrast medium in X-ray diagnostics in 1930s and 40s
- Excellent images: thorium has high absorption cross section
- The naturally abundant nuclide <sup>232</sup>Th is slightly unstable, decays through emission of an alpha particle
- Drug is distributed to liver, spleen, lymph nodes, bone
- Biological half-life is 22 years, physical half life >10<sup>10</sup> years!

#### **Thorotrast cancers**

| Site                                    | Relative risk | 95% CL     |
|---|---------------|------------|
| All cancer                              | 3.4           | 2.9 - 4.1  |
| Stomach                                 | 2.7           | 1.1 – 7.9  |
| Liver                                   | Ø             | 44 – ∞     |
| Bile ducts                              | 26            | 4.3 – 1133 |
| Gall bladder                            | 11            | 1.3 – 391  |
| Pancreas                                | 3.8           | 1.3 – 12.3 |
| Peritoneum, other digestive             | œ             | 1.7 – ∞    |
| Ovary, tube, broad ligament             | 4.3           | 1.1 – 24.3 |
| Prostate                                | 4.5           | 1.6 – 16.3 |
| Kidney                                  | 5.7           | 1.9 – 21.0 |
| Leukemia, all non-CLL                   | 15            | 4.4 – 149  |
| Thorotrast related cancers <sup>†</sup> | 76            | 32 – 248   |

<sup>†</sup>non-CLL and primary cancers of liver, gall bladder and bile ducts

Travis LB et al. *Radiat Res* 2003;160:691-706

# Relative risk is preferred to Absolute risk

#### • Relative risk (RR)

expression of excess risk relative to the underlying (baseline) risk. If excess risk is zero, RR is 1 (100%). If excess risk equals the baseline risk, RR is 2 (200%)

#### Absolute risk

expression of excess risk based on the assumption that the excess risk from radiation exposure adds to the underlying risk by an increment dependent on dose but independent of the underlying natural risk

#### Studies of Japanese A-bomb survivors

## Lifetime excess cancer incidence 0.5% overall, 4% per Sv

# Summary of the 1958–1994 cancer incidence data in A bomb survivors

| Colon dose, Sv            | Subjects | Solid cancers | Estimated<br>excess |
|---------------------------|----------|---------------|---------------------|
| beyond >3,000 m           | 23,493   | 3,230         | 0                   |
| <0.005 Sv within <3,000 m | 10,159   | 1,301         | 1                   |
| 0.005-0.1                 | 30,524   | 4,119         | 77                  |
| 0.1–0.2                   | 4,775    | 739           | 60                  |
| 0.2–0.5                   | 5,862    | 982           | 164                 |
| 0.5–1                     | 3,048    | 582           | 177                 |
| 1–2                       | 1,570    | 376           | 165                 |
| >2                        | 470      | 126           | 80                  |

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Pierce DA and Preston DL. Radiat Res 2000;154:178-86

#### Excess cancer mortality Lifetime risk per 100,000 at 0.1 Sv

|                  | BEIR V (U.S | S. Population) |                    |                 |
|------------------|-------------|----------------|--------------------|-----------------|
|                  | Males       | Females        | UNSCEAR 88 (Japane | ese Population) |
| Breast           |             | 70             | Breast             | 60              |
| Respiratory      | 190         | 150            | Lung               | 151             |
| Digestive system | 170         | 290            | Stomach            | 126             |
|                  |             |                | Colon              | 79              |
| Other solid      | 300         | 220            | Other solid        | 194             |
| Leukemia         | 110         | 80             | Leukemia           | 100             |
| Total            | 770         | 810            | Total              | 710             |





#### Cancer risk in 95,000 nuclear industry workers



From DJ Brenner

#### Thyroid tumors following thymus irradiation

0.1%

Shore RE et al. JNCI 1985;74:1177-84



#### Breast cancer following fluoroscopy



Boice JD et al. *Radiology* 1979;131:589-97







#### Risk of cancer lethality by radiation \*ICRP 103 (2007)

|                    | High dose<br>High dose rate    | Low dose<br>Low dose rate     |
|--------------------|--------------------------------|-------------------------------|
| Working population | 8.2 × 10 <sup>-2</sup> per Sv  | 4.1 × 10 <sup>-2</sup> per Sv |
| Whole population   | 11.0 × 10 <sup>-2</sup> per Sv | 5.5 × 10 <sup>-2</sup> per Sv |

\*International Commission on Radiological Protection http://www.icrp.org

#### Radiation weighting factors (W<sub>R</sub>) ICRP 92 (2003), ICRP 103 (2007)

| Radiation type                                  |                        | $W_{R}$ |
|---|------------------------|---------|
|   |                        |         |
| Photons (X-rays and ga                          | amma-rays):            | 1       |
| Electrons and muons:                            |                        | 1       |
| Neutrons:                                       | function of neutron en | ergy    |
| Protons and charged pions:                      |                        | 2       |
| Alpha-particles, fission fragments, heavy ions: |                        | 20      |

Radiotherapy induced cancers Average annual cancer incidence in the United Kingdom by sex and attained age



# Spontaneous cancer incidence risk

| Age at treatment | Cancer risk within the next 5 years (%) |         |  |
|------------------|---|---------|--|
| (years)          | Males                                   | Females |  |
| 50               | 1.5                                     | 2.0     |  |
| 55               | 2.5                                     | 2.7     |  |
| 60               | 5.0                                     | 3.6     |  |
| 65               | 7.0                                     | 4.6     |  |
| 70               | 10.0                                    | 5.4     |  |
| 75               | 12.5                                    | 6.3     |  |

Follow-up period 5 years, in patients treated with radiotherapy at different ages. Data from UK, England and Wales 1983–1987

#### 2nd cancers after RT of cervix Ca

| Site of<br>second<br>cancer | Radiation dose<br>(Gy) | Number of 2 <sup>nd</sup><br>cancers after<br>radiotherapy/surgery | Relative risk after<br>>10 years       |
|-----------------------------|------------------------|--|--|
| Rectum                      | 30–60                  | 274 / 33   | 2 after 10 y<br>4 after 30 y           |
| Colon                       | 24                     | 296 / 56   | no increase                            |
| Bladder                     | 30–60                  | 265 / 23   | >2 after 10 y<br>6 after 30 y          |
| Stomach                     | 2                      | 143 / 19   | 1.2                                    |
| Lung                        | 0.3                    | 276 / 91   | no increase                            |
| Breast                      | 0.3                    | 366 / 114  | decrease 20–40%<br>after 10 y and 30 y |
| Leukaemia                   | 4.5                    | 82 / 15  | 2                                      |

Kleinerman RA et al. Cancer 1995;76:442-52

#### 2nd cancers after RT of prostate Ca

|                               | Relative Risk                 |                       |  |
|-------------------------------|-------------------------------|-----------------------|--|
|                               | After >5 years                | After >10 years       |  |
| All second cancers            | 1.11 (p<0.007)                | 1.27 (p<0.002)        |  |
| Bladder                       | 1.55 (p<0.0001)               | 1.77 (p<0.01)         |  |
| Rectum                        | 1.35 (p<0.06)                 | 2.05 (p<0.03)         |  |
| Lung                          | 1.22 (p<0.01)                 | 1.42 (p<0.02)         |  |
| Leukaemia in first 10 years:  |                               |                       |  |
| Surgery patients              | Irradiated patients           | Relative risk in 10 y |  |
| 39 in<br>343,690 person-years | 25 in<br>112,422 person-years | 2 (p<0.05)            |  |

Brenner DJ et al. Cancer 2000;88:398-406

#### 2nd cancers after RT of prostate Ca

Percentage Increase in Relative risk for RT vs. Surgery %



Brenner DJ et al. Cancer 2000;88:398-406

#### 2nd cancers after RT of prostate Ca

Percentage Increase in Relative risk for RT vs. Surgery %

#### Sarcomas in or near the treatment field



Brenner DJ et al. Cancer 2000;88:398-406
# 2nd cancers after RT of breast Ca

| Duration of<br>follow-up<br>(years) | Number of second cancers |               | Lung cancer |
|-------------------------------------|--------------------------|---------------|-------------|
|                                     | Ipsilateral              | Contralateral | ratio       |
| <10                                 | 161                      | 134           | 1.2         |
| 10–15                               | 65                       | 44            | 1.5         |
| >15                                 | 57                       | 21            | 2.7         |

Ipsilateral and contralateral second lung cancers in patients treated with post-operative radiotherapy of breast cancer, 1973-2001

Darby SC et al. Lancet Oncol 2005;6:557-65

### Summary: Radiation 1

- Radiation carcinogenesis is a stochastic effect
- Human experience includes early workers exposed occupationally, patients exposed to medical irradiation, survivors of A-bomb attacks on Hiroshima and Nagasaki, and Chernobyl
- Shortest latency is for leukemia, which peaks at 5 to 7 years.
  For solid tumours, latency may extend to > 60 years
- Radiation-induced cancer risks are usually based on a time-related Relative Risk (RR) model
- A dose and dose-rate effectiveness factor (DDREF) converts risk estimates from acute exposures (*e.g.* A-bomb data) to the low dose and low dose rates encountered in radiation protection.
   ICRP conservatively assumes DDREF = 2

#### Summary: Radiation 2

- For working populations, ICRP risk estimates of excess cancer mortality: 8.2 × 10<sup>-2</sup> per sievert for high doses and high dose rates 4.1 × 10<sup>-2</sup> per sievert for low doses and low dose rates
- For the general population, ICRP risk estimates are: 11.0 × 10<sup>-2</sup> per sievert for high doses and high dose rates 5.5 × 10<sup>-2</sup> per sievert for low doses and low dose rates
- Workers in the nuclear industry are not more likely to develop cancer than non-nuclear workers
- Irradiation *in utero* by diagnostic X rays gives RR = 1.4 for leukemia and childhood cancers. This is high because malignancies in children are rare, but absolute risk is about 6% per gray, similar to risk in adult A-bomb survivors

# Summary: Radiotherapy 1

- In radical radiotherapy, radiation exposure to non-involved organs and tissues may cause 2<sup>nd</sup> cancers several decades later
- In adult cancer patients, the risk of radiation-induced 2<sup>nd</sup> cancers is much smaller than the risk of recurrent primary cancer
- In adults, >90% of 2<sup>nd</sup> cancers after radiotherapy are due simply to increased life expectancy after cure of primary
- Risk of radiation-induced 2<sup>nd</sup> cancers is much greater in younger cancer patients; these increased cancer rates may persist lifelong
- Most radiation-induced 2<sup>nd</sup> cancers occur in the high-dose volume but also appear in the low dose (<2 Gy) volume</li>

# Summary: Radiotherapy 2

- Pronounced differences in types of radiation-induced 2<sup>nd</sup> cancers exist between children, young adults and elderly patients treated with radiotherapy
- Types of 2<sup>nd</sup> cancers after radiotherapy are different from those induced by low-dose total body irradiation, *e.g.* in the A-bomb survivors
- Different biological mechanisms can lead to 2<sup>nd</sup> cancers after radiotherapy, depending on dose distribution and age of the irradiated patient. Dose risk relationships, therefore, can be complex
- Risk of radiotherapy-induced 2<sup>nd</sup> cancers should *not* be estimated using the effective dose method proposed by ICRP for radiation protection purposes